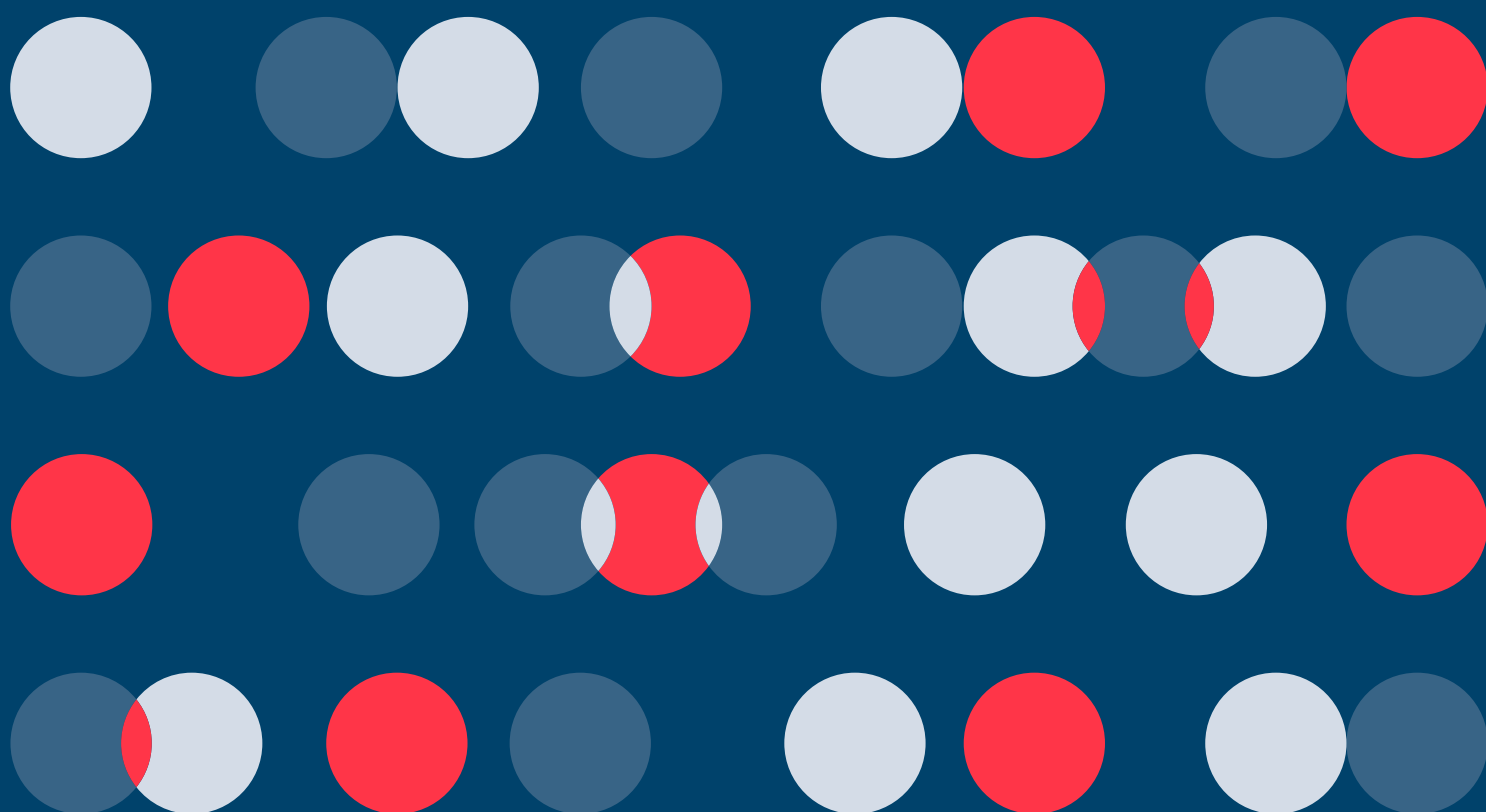


證券及衍生產品市場季報

2016年第一季度



市場概況

主板

概況	2016年第1季	2015年第1季
上市公司數目	1,656	1,565
上市證券數目	8,392	8,834
股份		
普通股	1,657	1,566
優先股	8	6
認股權證	4,196	4,818
股份	12	12
衍生	4,184	4,806
股票掛鈎票據	0	0
牛熊證	1,613	1,641
單位信託及互惠基金	149	138
債券	769	665
非上市可交易股份數目		
Nasdaq 股份	6	7
iShares	2	2
交易日數	59	61
總成交金額(百萬港元)*	4,262,404.49	5,240,239.32
總成交股數(百萬股)	12,958,166.14	11,390,784.22
總成交宗數(宗數)	69,912,665	72,556,007
上市公司市價總值(百萬港元)	23,195,583.34	26,534,266.95
平均市盈率(倍)	10.14	10.90
平均週息率(百分率)	3.56	3.10
交易所參與者數目		
開業	526	500
非開業	33	36
成交金額(百萬港元)		
最高	107,199.72 (07/01)	148,923.55 (31/03)
最低	54,246.46 (10/03)	46,715.84 (17/02)
成交股數(百萬股)		
最高	299,010.95 (20/01)	246,004.16 (22/01)
最低	164,211.59 (11/03)	92,542.49 (02/01)
總成交宗數(宗數)		
最高	1,652,054 (07/01)	1,917,607 (31/03)
最低	939,682 (05/02)	706,185 (17/02)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2016年第1季	2015年第1季
上市公司數目	227	210
上市證券數目	229	210
股份	227	210
認股權證	2	0
交易日數	59	61
總成交金額(百萬港元)*	25,669.47	31,767.18
總成交股數(百萬股)	85,659.35	74,102.98
總成交宗數(宗數)	731,277	912,664
上市公司市價總值(百萬港元)	248,978.34	207,611.93
平均市盈率(倍)	57.93	60.53
平均週息率(百分率)	0.30	0.63
成交金額(百萬港元)		
最高	714.28 (23/03)	927.12 (24/03)
最低	293.89 (14/03)	214.00 (23/02)
成交股數(百萬股)		
最高	4,254.12 (23/03)	2,345.86 (13/03)
最低	788.91 (15/02)	475.85 (23/02)
總成交宗數(宗數)		
最高	23,086 (23/03)	31,517 (15/01)
最低	8,185 (11/02)	7,321 (17/02)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2016年第1季	2015年第1季
所有期貨及期權產品(股票期權除外)		
交易日數	59.0	61.0
總合約成交量	30,221,499	18,870,729
股市指數產品	29,939,552	18,625,326
股票產品	92,936	168,602
利率產品	12	80
人民幣貨幣期貨	184,531	58,303
黃金期貨 [#]	-	0
倫敦金屬期貨小型合約	4,468	18,418
平均每日合約成交量	512,229	309,356
季末未平倉合約		
股市指數產品	3,170,906	1,857,234
股票產品	3,135,347	1,826,327
利率產品	7,121	17,347
利率產品	0	35
人民幣貨幣期貨	28,215	12,949
黃金期貨 [#]	-	0
倫敦金屬期貨小型合約	223	576

[#] 黃金期貨由2015年5月27日停止買賣

股票期權		
新開辦期權類別	0	0
股票期權數目(於季末數目)		
類別	84	80
系列	29,288	22,764
期權金總額(百萬港元)		
認購	11,846.68	17,410.66
認沽	4,099.73	9,284.26
認沽	7,746.95	8,126.40
平均每日期權金總額(百萬港元)	200.79	285.42
總合約成交量	17,289,771	22,162,657
認購	8,197,431	11,465,247
認沽	9,092,340	10,697,410
平均每日合約成交量	293,047	363,322
季末未平倉合約	5,368,449	6,545,827
認購	2,661,499	3,322,929
認沽	2,706,950	3,222,898
總成交宗數	750,314	960,023
平均每日成交宗數	12,717	15,738
已行使合約總數	1,853,205	2,333,192
每張合約平均期權金(港元)	685.18	785.59
每宗交易平均合約數目	23	23
認沽數量／認購數量	1.11	0.93
平均每日合約成交量／未平倉合約	5.5%	5.6%

所有期貨及期權產品		
總合約成交量	47,511,270	41,033,386
季末未平倉合約	8,539,355	8,403,061

市場概況

結算及交收

中央結算系統營運資料	2016年第1季	2015年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
- 交易宗數	1,196,563	1,182,398
- 交易金額(十億港元)	72.6	85.0
- 涉及股數(十億股)	221.1	187.7
經中央結算系統處理的交收指示(每日平均數)		
- 交收指示數目	85,208	90,242
- 交收指示涉及金額(十億港元)	170.1	209.8
- 涉及股數(十億股)	47.1	45.0
經中央結算系統處理的投資者交收指示(每日平均數)		
- 交收指示數目	249	401
- 交收指示涉及金額(百萬港元)	89.2	165.4
- 涉及股數(百萬股)	129.9	49.5
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.93	99.93
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
- 涉及經紀數目	3	4
- 補購宗數	3	4
- 補購涉及金額(百萬港元)	1.5	2.6
存放於中央結算系統證券存管處的股份*		
- 股數(十億股)	5,558.0	4,489.3
- 獲納入系統的證券佔已發行股本總數的百分比	74.67	71.67
- 股份價值(十億港元)	14,395.9	15,975.6
- 獲納入系統的證券佔市價總值的百分比	56.61	54.46
衍生產品結算及交收系統營運資料		
季末未平倉合約		
- 股市指數期貨	644,456	490,485
- 股票期貨	7,121	17,347
- 黃金期貨^	0	0
- 利率期貨	0	35
- 人民幣貨幣期貨	28,215	12,949
- 倫敦金屬期貨小型合約#	223	576
- 股市指數期權	2,490,891	1,335,842
- 股票期權	5,368,449	6,545,827
累積行使股票期權		
- 涉及股數(百萬股)	2,116	2,814
- 涉及金額(十億港元)	33	53

* 股數及市價總值包括非港元證券

^ 黃金期貨由2015年5月27日停止買賣

倫敦金屬期貨小型合約由2014年12月01日開始買賣

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2015	第 1 季	774,786.99	3,405,759.83	56,467,243
	第 2 季	2,486,785.70	6,796,873.72	94,261,256
	第 3 季	1,241,453.02	4,136,147.76	73,808,111
	第 4 季	944,007.06	2,888,836.92	56,185,286
2016	第 1 季	862,976.22	2,639,071.92	55,813,607

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2015	第 1 季	61	55,832.13	60,314
	第 2 季	60	113,281.23	72,107
	第 3 季	63	65,653.14	56,039
	第 4 季	63	45,854.55	51,416
2016	第 1 季	59	44,730.03	47,284

主 板 股 份

20種升幅最大之股份

2016年第1季

順次	股份代號	股份	收市價		升幅
			2016年3月底	2015年12月底	
1	02323	至卓國際	3.240	0.980	230.61
2	03966	馬仕達國際	3.040	1.450	109.66
3	01069	中國農林低碳	0.350	0.183	91.26
4	00802	宏霸數碼	0.510	0.270	88.89
5	01568	承達集團	3.470	1.880	84.57
6	02322	仁瑞投資	6.550	3.630	80.44
7	01421	工蓋有限公司	3.420	1.900	80.00
8	01112	合生元	28.500	15.980	78.35
9	03889	大成糖業	0.310	0.176	76.14
10	00251	爪哇控股	21.050	12.000	75.42
11	00847	哈薩克礦業-S	18.760	10.980	70.86
12	00520	呷哺呷哺	5.200	3.060	69.93
13	01386	盈進集團	1.650	0.980	68.37
14	00426	萬華媒體	1.420	0.850	67.06
15	01303	滙力資源	1.440	0.870	65.52
16	00805	GLENCORE-S	16.600	10.200	62.75
17	00572	中達金融集團	0.300	0.186	61.29
18	00660	偉俊礦業集團	0.113	0.072	56.94
19	00033	和協海峽金融集團	0.177	0.113	56.64
20	02698	魏橋紡織	5.590	3.630	53.99

A 經調整

20種跌幅最大之股份

2016年第1季

順次	股份代號	股份	收市價		跌幅
			2016年3月底	2015年12月底	
1	00911	恒發洋參	0.049	0.700	-93.00
2	01323	NEWTREE GROUP	0.380	2.610	-85.44
3	00943	意科控股	0.120	0.750	-84.00
4	00928	野馬國際	0.325	2.000	-83.75
5	00975	MONGOL MINING	0.046	0.175	-73.71
6	03788	中國罕王	1.080	3.820	-71.73
7	02211	大健康國際	0.850	3.000	-71.67
8	00431	大中華金融	0.400	1.400	-71.43
9	00307	優派能源發展	0.153	0.445	-65.62
10	01733	永暉控股	0.052	0.145	-64.14
11	00275	錦興集團	0.285	0.787	A -63.79
12	00616	永義實業	0.138	0.365	-62.19
13	01831	十方控股	0.940	2.360	-60.17
14	00283	高銀地產	3.730	8.940	-58.28
15	01305	偉志控股	1.580	3.680	-57.07
16	06108	新銳醫藥	0.460	1.050	A -56.19
17	01685	博耳電力	6.120	13.960	-56.16
18	03882	天彩控股	1.120	2.500	-55.20
19	00362	中國天化工	0.325	0.710	-54.23
20	01613	協同通信	0.204	0.444	A -54.05

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2016年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00700	騰訊控股	170,839.34	6.47
2	02318	中國平安	84,104.23	3.19
3	00005	匯豐控股	83,613.96	3.17
4	01299	友邦保險	77,780.91	2.95
5	00941	中國移動	74,868.45	2.84
6	00939	建設銀行	72,250.90	2.74
7	02628	中國人壽	69,177.28	2.62
8	00388	香港交易所	63,073.24	2.39
9	01398	工商銀行	61,127.58	2.32
10	03988	中國銀行	57,113.82	2.16
11	00883	中國海洋石油	41,396.93	1.57
12	00688	中國海外發展	38,371.45	1.45
13	00001	長和	34,190.40	1.30
14	01928	金沙中國有限公司	33,645.80	1.27
15	00386	中國石油化工股份	28,077.50	1.06
16	00027	銀河娛樂	27,507.71	1.04
17	00857	中國石油股份	27,381.55	1.04
18	00016	新鴻基地產	26,113.69	0.99
19	03968	招商銀行	24,506.38	0.93
20	01288	農業銀行	22,673.78	0.86
總 額			1,117,814.87	42.36

20種成交最活躍股份(股數)

2016年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00261	中建置地	69,841.31	8.09
2	00911	恒發洋參	64,184.67	7.44
3	00397	君陽金融	23,448.54	2.72
4	03988	中國銀行	18,618.39	2.16
5	01246	毅信控股	17,947.59	2.08
6	00939	建設銀行	15,205.91	1.76
7	01398	工商銀行	14,996.04	1.74
8	01076	博華太平洋	11,607.32	1.35
9	00981	中芯國際	9,500.85	1.10
10	01063	新確科技	9,075.00	1.05
11	00362	中國天化工	8,471.66	0.98
12	01288	農業銀行	8,254.12	0.96
13	01051	國際資源	6,659.72	0.77
14	00386	中國石油化工股份	6,310.70	0.73
15	00857	中國石油股份	5,618.68	0.65
16	01019	康宏金融	5,579.86	0.65
17	00883	中國海洋石油	5,195.37	0.60
18	00745	中國國家文化產業	5,068.54	0.59
19	00364	坪山茶業	4,956.54	0.57
20	00299	新體育	4,795.96	0.56
總 數			315,336.78	36.54

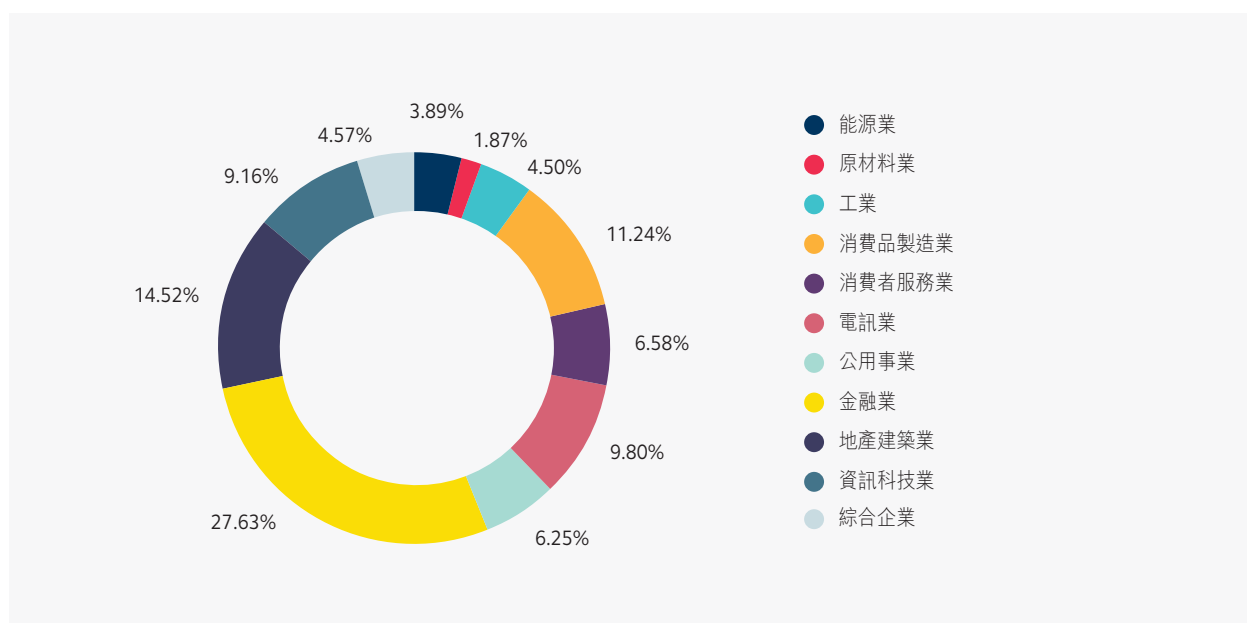
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2015	第 1 季	26,534,266.95
	第 2 季	28,754,383.61
	第 3 季	22,965,987.58
	第 4 季	24,425,554.96
2016	第 1 季	23,195,583.34

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2016年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,770,105.50	7.63
2	00700	騰訊控股有限公司	1,489,624.35	6.42
3	00939	中國建設銀行股份有限公司—H股	1,190,065.73	5.13
4	00005	匯豐控股有限公司	955,756.97	4.12
5	01299	友邦保險控股有限公司	529,524.95	2.28
6	00883	中國海洋石油有限公司	408,970.70	1.76
7	00001	長江和記實業有限公司	388,669.62	1.68
8	01398	中國工商銀行股份有限公司—H股	376,686.15	1.62
9	02378	英國保誠有限公司 *	—	374,322.48 N.A.
10	00267	中國中信股份有限公司	343,265.10	1.48
11	02318	中國平安保險(集團)股份有限公司—H股	276,305.10	1.19
12	00016	新鴻基地產發展有限公司	274,437.81	1.18
13	03988	中國銀行股份有限公司—H股	269,263.73	1.16
14	00011	恒生銀行有限公司	262,113.64	1.13
15	01928	金沙中國有限公司	254,993.73	1.10
16	00762	中國聯合網絡通信(香港)股份有限公司	245,218.11	1.06
17	02388	中銀香港(控股)有限公司	244,759.86	1.06
18	00688	中國海外發展有限公司	242,077.27	1.04
19	00388	香港交易及結算所有限公司	225,754.59	0.97
20	00066	香港鐵路有限公司	225,003.20	0.97
21	00805	Glencore plc *	—	220,172.53 N.A.
22	00945	Manulife Financial Corporation *	—	216,124.90 N.A.
23	01038	長江基建集團有限公司	201,053.78	0.87
24	01113	長江實業地產有限公司	192,790.94	0.83
25	03328	交通銀行股份有限公司—H股	178,560.50	0.77
26	00002	中電控股有限公司	177,230.51	0.76
27	00006	電能實業有限公司	169,353.66	0.73
28	02888	渣打集團有限公司	168,762.41	0.73
29	00003	香港中華煤氣有限公司	167,633.74	0.72
30	00566	漢能薄膜發電集團有限公司	163,231.16	0.70
31	00012	恒基兆業地產有限公司	157,565.80	0.68
32	02628	中國人壽保險股份有限公司—H股	142,424.09	0.61
33	01109	華潤置地有限公司	137,925.70	0.59
34	00386	中國石油化工股份有限公司—H股	129,863.40	0.56
35	00004	九龍倉集團有限公司	128,515.56	0.55
36	00027	銀河娛樂集團有限公司	123,988.64	0.53
37	01972	太古地產有限公司	122,557.50	0.53
38	00019	太古股份有限公司	120,872.43	0.52
39	00857	中國石油天然氣股份有限公司—H股	108,870.32	0.47
40	00656	復星國際有限公司	95,053.09	0.41
41	01288	中國農業銀行股份有限公司—H股	85,761.32	0.37
42	00288	萬洲國際有限公司	82,324.13	0.35
43	03333	恒大地產集團有限公司	81,918.31	0.35
44	01044	恒安國際集團有限公司	81,749.22	0.35
45	06823	香港電訊有限公司	80,866.21	0.35
46	02601	中國太平洋保險(集團)股份有限公司—H股	80,483.70	0.35
47	00023	東亞銀行有限公司	76,586.92	0.33
48	00083	信和置業有限公司	75,132.76	0.32
49	03968	招商銀行股份有限公司—H股	74,831.69	0.32
50	00151	中國旺旺控股有限公司	73,239.47	0.32
總額			13,451,743.07	57.99
市場總值			23,195,583.34	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2015	第1季	502,684.62	5,272,006.50	9.53
	第2季	812,595.30	9,894,068.82	8.21
	第3季	673,434.03	6,395,260.35	10.53
	第4季	467,982.84	4,529,285.89	10.33
2016	第1季	529,347.58	4,288,073.96	12.34

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2015	第1季	42,342.54	11,464,887.20	0.37
	第2季	66,878.15	14,614,838.29	0.46
	第3季	63,796.36	14,314,202.23	0.45
	第4季	45,874.78	13,299,980.60	0.34
2016	第1季	54,180.01	13,043,825.48	0.42

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2015	第1季	16	3	19,653.73
	第2季	16	2	108,838.86
	第3季	19	7	26,086.55
	第4季	39	2	105,769.28
2016	第1季	13	1	27,635.34

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2016年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
03326	保發集團國際控股有限公司	2016/01/04	450,000,000	104.63
03773	年年卡集團有限公司	2016/01/07	415,000,000	115.00
01459	巨匠建設集團股份有限公司—H股	2016/01/12	133,360,000	180.04
03301	融信中國控股有限公司	2016/01/13	1,352,348,000	1,821.59
01565	成實外教育有限公司	2016/01/15	3,088,761,000	2,013.03
03963	中國融眾金融控股有限公司	2016/01/28	412,509,000	237.39
02768	佳源國際控股有限公司	2016/03/08	1,867,500,000	1,283.40
06833	興科蓉醫藥控股有限公司	2016/03/10	1,615,220,000	332.18
02138	香港醫思醫療集團有限公司	2016/03/11	980,827,000	744.86
01557	劍虹集團控股有限公司	2016/03/18	400,000,000	95.00
01578	天津銀行股份有限公司—H股	2016/03/30	1,721,144,563	7,356.75
02016	浙商銀行股份有限公司—H股	2016/03/30	3,300,000,000	13,068.00
03638	晶芯科技控股有限公司*	2016/03/30	293,880,000	—
02239	國微技術控股有限公司	2016/03/30	300,000,000	283.50
總額				27,635.34

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2016年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
01025	北京物美商業集團股份有限公司—H股	2015/12/30	2016/01/07
00773	中國金屬再生資源(控股)有限公司	2013/01/25	2016/02/04

主 板 股 份

公司名稱更改

2016年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
00756	中國天溢控股有限公司	天溢(森美)控股有限公司	2015/11/12	2016/01/08
00877	昂納光通信(集團)有限公司	昂納科技(集團)有限公司	2015/12/04	2016/01/08
01001	萬順昌集團有限公司	滬港聯合控股有限公司	2015/12/04	2016/01/18
00378	事安集團有限公司	五龍動力有限公司	2015/12/17	2016/01/21
00587	華瀚生物製藥控股有限公司	華瀚健康產業控股有限公司	2015/12/21	2016/01/29
01103	上海棟華石油化工有限公司－H股	上海大生農業金融科技股份有限公司－H股	2016/01/04	2016/02/01
01010	弘茂科技控股有限公司	太睿國際控股有限公司	2015/12/18	2016/02/05
01115	西藏5100水資源控股有限公司	西藏水資源有限公司	2015/12/30	2016/02/05
02889	中國鎳資源控股有限公司	鎳資源國際控股有限公司	2016/01/18	2016/02/16
00572	中國富佑集團有限公司	中達金融集團有限公司	2016/01/19	2016/02/17
00209	中國瀛晟娛樂傳媒控股有限公司	瀛晟科學有限公司	2016/01/07	2016/02/17
00620	太元集團有限公司	大唐西市絲路投資控股有限公司	2016/01/27	2016/02/18
00640	星謙化工控股有限公司	星謙發展控股有限公司	2016/01/11	2016/02/22
00872	錦恒汽車安全技術控股有限公司	啟迪國際有限公司	2016/01/28	2016/02/25
00383	中國網絡資本有限公司	中國醫療網絡有限公司	2016/01/04	2016/02/29
00904	中國粗糧王飲品控股有限公司	中國綠色食品(控股)有限公司	2016/01/28	2016/03/01
00379	必美宜集團有限公司	中國恒嘉融資租賃集團有限公司	2016/01/21	2016/03/01
01908	西南環保發展有限公司	建發國際投資集團有限公司	2015/12/29	2016/03/01
00711	俊和發展集團有限公司	中國城建集團股份有限公司	2016/01/25	2016/03/10
00231	盛明國際(控股)有限公司	平安證券集團(控股)有限公司	2016/01/25	2016/03/10
01830	必瘦站(中國)控股有限公司	必瘦站美容科技有限公司	2016/02/23	2016/03/14
00438	彩虹集團電子股份有限公司－H股	彩虹集團新能源股份有限公司－H股	2016/01/28	2016/03/23
01225	隆成集團(控股)有限公司	隆成金融集團有限公司	2016/02/15	2016/03/23
00651	中海船舶重工集團有限公司	中海重工集團有限公司	2016/02/26	2016/03/24
01143	中慧國際控股有限公司	華夏健康產業集團有限公司	2016/02/04	2016/03/29
03898	株洲南車時代電氣股份有限公司－H股	株洲中車時代電氣股份有限公司－H股	2016/03/18	2016/03/31

主 板 股 份

紅股／紅利認股權證

2016年第1季

股份代號	公司	詳情	除淨日
00361	順龍控股有限公司	1送4	2016/01/11
00474	昊天發展集團有限公司	10送1	2016/01/21
01141	天順證券集團有限公司	5股送1份認股權證	2016/01/25
00471	中國移動多媒體廣播控股有限公司	每供1股送1股股份	2016/03/17

股份拆細及合併

2016年第1季

股份代號	公司	詳情	生效日期
00736	中國置業投資控股有限公司	5股合併為1股	2016/01/12
00905	環球大通投資有限公司	4股合併為1股	2016/01/12
01348	滙達富控股有限公司	1股分拆為4股	2016/01/13
00485	實華發展有限公司	4股合併為1股	2016/01/13
00318	黃河實業有限公司	40股合併為1股	2016/02/11
00243	品質國際集團有限公司	4股合併為1股	2016/02/29
01082	香港教育(國際)投資集團有限公司	5股合併為1股	2016/03/11
06108	新銳醫藥國際控股有限公司	5股合併為1股	2016/03/15
00897	位元堂藥業控股有限公司	20股合併為1股	2016/03/16
01046	寰宇國際控股有限公司	10股合併為1股	2016/03/18
01613	協同通信集團有限公司	5股合併為1股	2016/03/24
01101	中國華榮能源股份有限公司	5股合併為1股	2016/03/29
00275	錦興集團有限公司	10股合併為1股	2016/03/30

主 板 股 份

供股／公開售股

2016年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
1月	00262	迪臣發展國際集團有限公司	公開售股2供1，每股供0.32港元	104.31
	00080	中國新經濟投資有限公司	股權2供1，每股供0.235港元	72.63
	01226	中國投融資集團有限公司	公開售股1供8，每股供0.25港元	418.15
			(5股合併為1股後)	
	00689	長盈集團(控股)有限公司	股權1供5，每股供0.14港元	509.50
	00149	中國農產品交易有限公司	股權2供3，每股供0.42港元	293.16
			(5股合併為1股後)	
	03800	保利協鑫能源控股有限公司	股權5供1，每股供1.12港元	3,469.68
	00910	中國三迪控股有限公司	股權1供2，每股供0.20港元	329.78
	00451	協鑫新能源控股有限公司	股權8供3，每股供0.45港元	2,340.87
	00488	麗新發展有限公司	股權2供1，每股供0.092港元	924.35
	01378	中國宏橋集團有限公司	股權50供7，每股供4.31港元	3,842.58
2月	00896	興勝創建控股有限公司	股權2供1，每股供1.00港元	345.23
	00485	實華發展有限公司	公開售股1供4，每股供0.086港元	251.17
			(4股合併為1股後)	
	02369	酷派集團有限公司	股權20供3，每股供1.10港元	718.51
3月	00715	中泛控股有限公司	股權2供1，每股供0.85港元	4,573.75
	00630	雋泰控股有限公司	公開售股2供1，每股供0.13港元	80.72
	00243	品質國際集團有限公司	公開售股1供5，每股供0.32港元	368.34
			(4股合併為1股後)	
總額				18,642.73

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2016					
1 月	00963	華熙生物科技	9,687,500	12.0000	116.25
	01094	中國公共採購	50,000,000	0.2200	11.00
	00542	新嶺域集團	3,750,000,000	0.1000	375.00
	00815	中國白銀集團	50,000,000	1.7000	85.00
	01240	青建國際	90,202,500	2.4000	216.49
	00339	安利時投資	19,000,000	0.7000	13.30
	01808	企展控股	580,450,000	0.0980	56.88
	01262	蠟筆小新食品	200,000,000	0.7000	140.00
	01227	國盛投資	200,000,000	0.3200	64.00
	00850	中亞能源	666,668,000	0.1300	86.67
	00482	聖馬丁國際	152,500,000	0.5000	76.25
	00223	神州資源	33,000,000	0.1530	5.05
	00990	榮暉國際	877,000,000	0.1400	122.78
	01327	時間由你	192,000,000	0.2300	44.16
	01178	天年生物	1,600,000,000	0.1000	160.00
	00318	黃河實業	893,755,000	0.0481	42.99
2 月	01803	瀚洋物流	30,370,000	0.6500	19.74
	00810	中國互聯網投資	63,192,000	0.3000	18.96
	01041	中國新能源動力	190,500,000	0.1100	20.96
	00370	國華	2,000,000,000	0.1800	360.00
	06168	中國優通	50,000,000	1.0000	50.00
	00354	中國軟件國際	85,109,515	2.8000	238.31
	01332	中國光電控股	575,063,972	0.1600	92.01
	02880	大連港	1,180,320,000	3.6700	4,331.77
	01103	大生農業金融	1,500,000,000	0.8000	1,200.00
	00764	永恒策略	536,000,000	0.1250	67.00
	00729	五龍電動車	1,000,000,000	0.4650	465.00
	00033	和協海峽金融集團	1,000,000,000	0.1000	100.00
	00419	中國9號健康	6,837,619,860	0.0800	547.01
	00885	仁天科技控股	500,000,000	0.4200	210.00
	00747	瀋陽公用發展股份	100,896,000	1.1900	120.07
	01831	十方控股	200,000,000	0.8000	160.00
	00539	冠華國際控股	86,000,000	0.6500	55.90
	01315	允升國際	900,000,000	0.3000	270.00
3 月	01194	中國貴金屬	1,513,043,477	0.2300	348.00
	01038	長江基建集團	131,065,097	71.1302	9,322.69
	01103	大生農業金融	534,400,000	0.8000	427.52
	00905	環球大通投資	70,128,000	0.4000	28.05
	00585	意馬國際	1,900,000,000	0.0900	171.00
	00295	江山控股	5,177,000,000	0.6600	3,416.82
	01466	民生珠寶	53,200,000	1.0500	55.86

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	03966	馬仕達國際	25,200,000	2.4100	60.73
	00276	蒙古能源	9,170,183	0.1730	1.59
	02322	仁瑞投資	250,000,000	2.0000	500.00
	00061	北亞資源	38,000,000,000	0.0100	380.00
	02323	至卓國際	200,000,000	0.9250	185.00
總 額					24,839.79

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬元)	衍生權證 (百萬元)	總額 (百萬元)
2015	第1季	1,242.98	897,585.47	898,828.45
	第2季	1,727.22	1,721,585.57	1,723,312.78
	第3季	723.63	1,090,657.45	1,091,381.08
	第4季	242.28	794,250.41	794,492.69
2016	第1季	92.83	915,484.74	915,577.57

認股權證市價總值

		數目	股份認股權證 (百萬元)	數目	衍生權證 (百萬元)	總數	總額 (百萬元)
2015	第1季	12	2,484.83	4,806	148,902.89	4,818	151,387.72
	第2季	14	4,383.11	5,680	197,036.57	5,694	201,419.68
	第3季	13	2,472.60	5,633	84,057.90	5,646	86,530.49
	第4季	12	1,836.69	4,590	60,433.79	4,602	62,270.48
2016	第1季	12	1,444.55	4,184	58,444.35	4,196	59,888.90

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬元)
2015	第1季	2	1,498	40,081.11
	第2季	2	2,124	68,662.15
	第3季	1	1,552	46,018.14
	第4季	2	1,162	27,608.36
2016	第1季	2	1,276	31,890.47

新上市股份認股權證

2016年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬元)
01562	華耐控股有限公司2017年認股權證	154,153,680	2016/01/14	2017/07/12	-
01153	天順證券集團有限公司2017年認股權證	252,364,025	2016/02/15	2017/02/13	-
總額					-

主 板 認 股 權 證

新上市衍生權證

2016 年 第 1 季

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
24795	Credit Suisse AG – Agricultural Bank European Wts Oct 2016 A	80,000,000	2016/01/04	2016/10/04	15.20
24801	Credit Suisse AG – BOC HK European Warrants Aug 2016 A	70,000,000	2016/01/04	2016/08/02	11.20
24803	Credit Suisse AG – BOC HK European Warrants Oct 2016 B	70,000,000	2016/01/04	2016/10/04	10.50
24811	Credit Suisse AG – BOCL European Warrants Sep 2016 A	80,000,000	2016/01/04	2016/09/29	12.00
24794	Credit Suisse AG – China Mobile European Warrants Jun 2016 A	80,000,000	2016/01/04	2016/06/23	33.60
24793	Credit Suisse AG – ChiUnicom European Warrants Oct 2017 A	100,000,000	2016/01/04	2017/10/30	15.00
24786	Credit Suisse AG – Fosun Intl European Warrants Jul 2016 B	80,000,000	2016/01/04	2016/07/08	13.60
24818	Credit Suisse AG – Galaxy Ent European Put Wts May 2016 B	60,000,000	2016/01/04	2016/05/27	12.00
24774	Credit Suisse AG – HKEX European Warrants Jul 2016 B	180,000,000	2016/01/04	2016/07/05	36.00
24776	Credit Suisse AG – HKEX European Warrants Nov 2016 A	180,000,000	2016/01/04	2016/11/02	27.00
24780	Credit Suisse AG – HKEX European Warrants Dec 2016 A	180,000,000	2016/01/04	2016/12/28	27.00
24819	Credit Suisse AG – HKEX European Put Warrants Jul 2016 C	150,000,000	2016/01/04	2016/07/29	22.50
24817	Credit Suisse AG – HSBC European Put Warrants Jul 2016 B	60,000,000	2016/01/04	2016/07/05	15.00
24832	Credit Suisse AG – HSCEI European Put Warrants Jun 2016 B	100,000,000	2016/01/04	2016/06/29	15.00
24825	Credit Suisse AG – HSI European Warrants Jul 2016 A	150,000,000	2016/01/04	2016/07/28	22.50
24821	Credit Suisse AG – HSI European Warrants Sep 2016 A	150,000,000	2016/01/04	2016/09/29	22.50
24831	Credit Suisse AG – HSI European Put Warrants Jun 2016 A	150,000,000	2016/01/04	2016/06/29	30.00
24830	Credit Suisse AG – HSI European Put Warrants Sep 2016 B	150,000,000	2016/01/04	2016/09/29	25.50
24815	Credit Suisse AG – Ping An European Warrants Jul 2016 A	80,000,000	2016/01/04	2016/07/05	20.00
24764	J P Morgan SP BV – A50 China European Warrants Jul 2016 B	100,000,000	2016/01/04	2016/07/04	39.90
24763	Macquarie Bank Ltd. – Evergrande European Wts Jan 2017 A	65,000,000	2016/01/04	2017/01/04	10.66
24766	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Oct 2016 A	100,000,000	2016/01/04	2016/10/05	26.00
24768	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2016 A	150,000,000	2016/01/04	2016/10/05	33.00
24773	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 2017 A	150,000,000	2016/01/04	2017/04/06	22.50
24769	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2016 A	100,000,000	2016/01/04	2016/10/05	25.00
24771	SGA Societe Generale Acceptance N.V. – HS H-SH Eu Put Wt Aug16B	50,000,000	2016/01/04	2016/08/03	21.25
24770	SGA Societe Generale Acceptance N.V. – JIANGC Eu Wt Mar 2017 A	70,000,000	2016/01/04	2017/03/03	10.50
24842	Credit Suisse AG – CKH European Warrants Jun 2016 B	70,000,000	2016/01/05	2016/06/27	23.10
24854	Credit Suisse AG – CNOOC European Warrants Aug 2018 A	150,000,000	2016/01/05	2018/08/02	22.50
24868	Credit Suisse AG – Sinopec Corp European Warrants Aug 2016 A	80,000,000	2016/01/05	2016/08/03	20.00
24869	Credit Suisse AG – China Railway European Warrants Aug 2016 A	60,000,000	2016/01/05	2016/08/03	43.20
24852	Credit Suisse AG – China Tel European Warrants Jun 2016 A	70,000,000	2016/01/05	2016/06/30	10.50
24861	Credit Suisse AG – Geely Auto European Warrants Jul 2016 A	60,000,000	2016/01/05	2016/07/06	30.00
24845	Credit Suisse AG – Galaxy Ent European Warrants Jul 2016 A	80,000,000	2016/01/05	2016/07/04	13.60
24866	Credit Suisse AG – Jiangxi Copper European Wts Aug 2016 A	60,000,000	2016/01/05	2016/08/03	35.40
24853	Credit Suisse AG – PetroChina European Warrants Aug 2016 B	70,000,000	2016/01/05	2016/08/02	15.40
24855	Credit Suisse AG – SHK Ppt European Warrants Jul 2016 A	70,000,000	2016/01/05	2016/07/06	28.00
24889	Goldman Sachs SP (Asia) – CM Bank European Wts Jul 2016 A	100,000,000	2016/01/05	2016/07/29	21.90
24877	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2016 A	80,000,000	2016/01/05	2016/07/05	48.00
24833	Goldman Sachs SP (Asia) – CNOOC European Warrants Dec 2018 A	80,000,000	2016/01/05	2018/12/28	20.08
24874	Goldman Sachs SP (Asia) – HKEX European Warrants Jul 2016 B	300,000,000	2016/01/05	2016/07/05	75.30
24872	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2016 A	80,000,000	2016/01/05	2016/07/05	20.24

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24887	Goldman Sachs Sp (Asia) – ICBC European Warrants Jul 2016 B	100,000,000	2016/01/05	2016/07/29	25.00
24880	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2016 A	80,000,000	2016/01/05	2016/07/05	34.88
24888	Goldman Sachs SP (Asia) – SHK Ppt European Wts Jul 2016 A	40,000,000	2016/01/05	2016/07/29	14.36
24840	Macquarie Bank Ltd. – BOCL European Put Warrants Jul 2016 A	25,000,000	2016/01/05	2016/07/05	10.00
24835	Macquarie Bank Ltd. – Ping An European Warrants Sep 2016 A	40,000,000	2016/01/05	2016/09/02	10.00
24911	Credit Suisse AG – BYD European Warrants Aug 2016 A	70,000,000	2016/01/06	2016/08/03	42.00
24913	Credit Suisse AG – BYD European Warrants Dec 2017 A	150,000,000	2016/01/06	2017/12/29	37.50
24900	Credit Suisse AG – CC Bank European Warrants Aug 2016 A	80,000,000	2016/01/06	2016/08/31	12.00
24920	Credit Suisse AG – China Cinda European Warrants Aug 2016 A	60,000,000	2016/01/06	2016/08/03	15.60
24910	Credit Suisse AG – China Life European Warrants Aug 2016 A	80,000,000	2016/01/06	2016/08/03	12.00
24906	Credit Suisse AG – CRCC European Warrants Jul 2016 A	70,000,000	2016/01/06	2016/07/05	10.50
24924	Credit Suisse AG – HS H-SHARE European Warrants Aug 2016 A	70,000,000	2016/01/06	2016/08/03	35.00
24907	Credit Suisse AG – PICC Group European Warrants May 2016 A	60,000,000	2016/01/06	2016/05/30	13.20
24908	Credit Suisse AG – Sands China European Warrants Jul 2016 A	80,000,000	2016/01/06	2016/07/22	13.60
24914	Credit Suisse AG – Stanchart European Warrants Aug 2016 A	70,000,000	2016/01/06	2016/08/03	35.00
24899	Goldman Sachs SP (Asia) – PICC European Warrants Sep 2016 A	80,000,000	2016/01/06	2016/09/30	20.00
24892	HK Bank – BOCL European Warrants Oct 2016 A	80,000,000	2016/01/06	2016/10/31	20.00
24895	HK Bank – Minsheng Bank European Warrants Jul 2016 C	50,000,000	2016/01/06	2016/07/29	20.00
24894	HK Bank – Galaxy Ent European Warrants Oct 2016 A	60,000,000	2016/01/06	2016/10/31	18.00
24896	HK Bank – HS H-SHARE European Warrants Sep 2018 A	180,000,000	2016/01/06	2018/09/28	27.00
24930	BOCI Asia Ltd. – AIA European Warrants Jul 2016 A	100,000,000	2016/01/07	2016/07/11	28.00
24925	BOCI Asia Ltd. – HKEX European Warrants Sep 2016 A	100,000,000	2016/01/07	2016/09/12	16.00
24928	BOCI Asia Ltd. – Tencent Holdings European Wts Sep 2016 A	100,000,000	2016/01/07	2016/09/28	15.00
24926	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2016 C	100,000,000	2016/01/07	2016/12/28	17.00
24944	Credit Suisse AG – AIA European Warrants Jul 2016 B	80,000,000	2016/01/07	2016/07/06	12.00
24958	Credit Suisse AG – CKP European Warrants Jul 2016 A	70,000,000	2016/01/07	2016/07/06	18.20
24945	Credit Suisse AG – HSBC European Wts Jul 2016 C	80,000,000	2016/01/07	2016/07/05	12.00
24954	Credit Suisse AG – ICBC European Warrants Sep 2016 A	80,000,000	2016/01/07	2016/09/05	20.00
24937	Bank of East Asia – CGN Power European Warrants Dec 2016 B	20,000,000	2016/01/07	2016/12/16	10.60
24934	Bank of East Asia – CGS European Warrants Jun 2017 A	60,000,000	2016/01/07	2017/06/28	10.20
24938	Bank of East Asia – Minsheng Bank European Warrants Dec 2018 A	80,000,000	2016/01/07	2018/12/10	20.00
24933	Bank of East Asia – Sinopec Corp European Warrants Jul 2016 A	65,000,000	2016/01/07	2016/07/06	10.40
24931	Bank of East Asia – PetroChina European Warrants Oct 2016 A	38,000,000	2016/01/07	2016/10/05	10.07
24981	Goldman Sachs SP (Asia) – BEA European Warrants Sep 2016 A	50,000,000	2016/01/07	2016/09/29	12.70
24972	Goldman Sachs SP (Asia) – CITIC European Warrants Mar 2017 A	100,000,000	2016/01/07	2017/03/30	26.00
24970	Goldman Sachs SP (Asia) – Ch Overseas European Wts Sep 2016 A	60,000,000	2016/01/07	2016/09/30	24.48
24976	Goldman Sachs SP (Asia) – A50 China European Wts Jul 2016 A	60,000,000	2016/01/07	2016/07/29	68.82
24980	Goldman Sachs SP (Asia) – HS Bank European Warrants Sep 2016 A	30,000,000	2016/01/07	2016/09/29	20.94
24978	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Jul 2016 A	80,000,000	2016/01/07	2016/07/29	57.76
24969	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2016 C	80,000,000	2016/01/07	2016/08/05	20.24
24967	Macquarie Bank Ltd. – China Shenhua European Wts Feb 2017 A	40,000,000	2016/01/07	2017/02/02	10.04
24966	Macquarie Bank Ltd. – GCL-Poly Energy European Wts Oct 2016 A	34,000,000	2016/01/07	2016/10/04	10.03
24993	Credit Suisse AG – China Life European Warrants Jun 2016 A	80,000,000	2016/01/11	2016/06/30	15.20
24994	Credit Suisse AG – Ping An European Warrants Aug 2016 C	80,000,000	2016/01/11	2016/08/03	24.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25005	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2016 A	80,000,000	2016/01/11	2016/07/29	22.88
25008	Goldman Sachs SP (Asia) – PetroChina European Wts Jul 2016 A	80,000,000	2016/01/11	2016/07/29	44.88
24987	J P Morgan SP BV – AIA European Warrants Sep 2016 A	150,000,000	2016/01/11	2016/09/01	43.65
24989	J P Morgan SP BV – China Railway European Wts Oct 2017 A	150,000,000	2016/01/11	2017/10/31	22.50
24986	J P Morgan SP BV – A50 China European Warrants Nov 2016 A	300,000,000	2016/01/11	2016/11/01	45.60
24988	J P Morgan SP BV – HSBC European Warrants Sep 2016 A	100,000,000	2016/01/11	2016/09/02	25.20
24984	J P Morgan SP BV – HSI European Warrants Jul 2016 C	300,000,000	2016/01/11	2016/07/28	75.00
25004	Macquarie Bank Ltd. – China Life European Wts Aug 2016 A	36,000,000	2016/01/11	2016/08/02	10.01
25010	Macquarie Bank Ltd. – Minsheng Bank European Wts Aug 2016 B	11,000,000	2016/01/11	2016/08/02	10.10
24985	Macquarie Bank Ltd. – Link REIT European Warrants Aug 2016 A	24,000,000	2016/01/11	2016/08/15	10.10
24990	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Sep16A	50,000,000	2016/01/11	2016/09/05	21.00
24992	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 D	150,000,000	2016/01/11	2016/08/03	37.50
24991	SGA Societe Generale Acceptance NV – CSOP A50 ETF Eu Wt Aug16D	30,000,000	2016/01/11	2016/08/03	27.00
25002	UBS AG – CAM CSI300 European Warrants Jun 2016 A	50,000,000	2016/01/11	2016/06/23	17.75
25003	UBS AG – BOCL European Warrants Jul 2016 B	60,000,000	2016/01/11	2016/07/11	15.00
24998	UBS AG – A50 China European Warrants Oct 2016 A	300,000,000	2016/01/11	2016/10/27	52.20
25470 #	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2016 B	150,000,000	2016/01/11	2016/03/30	10.50
25017	BOCI Asia Ltd. – China Mobile European Warrants Jul 2016 B	100,000,000	2016/01/12	2016/07/18	37.00
25013	BOCI Asia Ltd. – Ch Overseas European Warrants Nov 2016 A	100,000,000	2016/01/12	2016/11/14	28.00
25018	BOCI Asia Ltd. – Chi Res Land European Warrants Nov 2016 A	100,000,000	2016/01/12	2016/11/28	33.00
25021	BOCI Asia Ltd. – Ping An European Warrants Jul 2016 A	100,000,000	2016/01/12	2016/07/25	27.00
25012	BOCI Asia Ltd. – SHK Ppt European Warrants Sep 2016 A	100,000,000	2016/01/12	2016/09/05	54.00
25071	Credit Suisse AG – China Mobile European Warrants Aug 2016 A	80,000,000	2016/01/12	2016/08/03	36.80
25060	Credit Suisse AG – CSOP A50 ETF European Warrants Apr 2016 C	30,000,000	2016/01/12	2016/04/29	13.80
25061	Credit Suisse AG – CSOP A50 ETF European Warrants Aug 2016 C	30,000,000	2016/01/12	2016/08/03	16.80
25064	Credit Suisse AG – A50 China European Warrants Aug 2016 D	30,000,000	2016/01/12	2016/08/03	16.20
25070	Credit Suisse AG – A50 China European Warrants Oct 2016 B	150,000,000	2016/01/12	2016/10/27	22.50
25078	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jul 2016 A	80,000,000	2016/01/12	2016/07/29	34.64
25053	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2017 A	300,000,000	2016/01/12	2017/04/28	45.00
25077	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jul 2016 D	80,000,000	2016/01/12	2016/07/29	80.64
25076	Goldman Sachs SP (Asia) – HKEX European Warrants Jun 2016 D	120,000,000	2016/01/12	2016/06/28	27.24
25034	J P Morgan SP BV – AIA European Put Warrants Aug 2016 A	100,000,000	2016/01/12	2016/08/02	25.10
25041	J P Morgan SP BV – BOC HK European Warrants Aug 2016 A	100,000,000	2016/01/12	2016/08/01	15.00
25040	J P Morgan SP BV – CKP European Warrants Aug 2016 A	60,000,000	2016/01/12	2016/08/01	15.60
25037	J P Morgan SP BV – China Life European Warrants Jun 2016 B	200,000,000	2016/01/12	2016/06/30	36.40
25039	J P Morgan SP BV – China Mob European Wts Aug 2016 B	100,000,000	2016/01/12	2016/08/02	42.80
25036	J P Morgan SP BV – CSOP A50 ETF European Warrants Dec 2016 B	200,000,000	2016/01/12	2016/12/30	30.20
25038	J P Morgan SP BV – PICC European Warrants Aug 2017 A	100,000,000	2016/01/12	2017/08/01	25.10
25033	Macquarie Bank Ltd. – AAC Acoustic European Wts Sep 2016 A	12,000,000	2016/01/12	2016/09/19	10.01
25050	Macquarie Bank Ltd. – China Taiping European Wts Aug 2016 A	40,000,000	2016/01/12	2016/08/02	10.00
25030	Macquarie Bank Ltd. – Kingdee Int'l European Wts Nov 2016 A	13,000,000	2016/01/12	2016/11/16	10.01
25055	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jun 2016 C	150,000,000	2016/01/12	2016/06/29	22.50
25057	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jul 2016 D	150,000,000	2016/01/12	2016/07/28	22.50
25043	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts Aug16C	50,000,000	2016/01/12	2016/08/03	36.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25074	UBS AG – China Mobile European Warrants Jun 2016 C	100,000,000	2016/01/12	2016/06/29	37.00
25148	Credit Suisse AG – HSBC European Wts May 2016 B	120,000,000	2016/01/13	2016/05/03	24.00
25146	Credit Suisse AG – HSI European Warrants Jun 2016 B	150,000,000	2016/01/13	2016/06/29	22.50
25173	Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Sep 2017 A	100,000,000	2016/01/13	2017/09/29	25.00
25178	Goldman Sachs SP (Asia) – CRRC European Warrants Sep 2017 A	100,000,000	2016/01/13	2017/09/29	29.80
25182	Goldman Sachs SP (Asia) – Fosun Intl European Wts Aug 2016 A	100,000,000	2016/01/13	2016/08/31	25.10
25184	Goldman Sachs SP (Asia) – A50 China European Wts Nov 2016 A	100,000,000	2016/01/13	2016/11/03	15.20
25171	Goldman Sachs Sp (Asia) – ICBC European Warrants Jul 2017 A	100,000,000	2016/01/13	2017/07/11	42.80
25082	HK Bank – China Life European Warrants Jun 2016 E	100,000,000	2016/01/13	2016/06/27	16.00
25079	HK Bank – China Life European Warrants Jul 2016 A	80,000,000	2016/01/13	2016/07/29	20.00
25093	HK Bank – China Mobile European Warrants Jul 2016 B	60,000,000	2016/01/13	2016/07/29	27.00
25090	HK Bank – HSI European Warrants Jun 2016 E	180,000,000	2016/01/13	2016/06/29	28.80
25080	HK Bank – PetroChina European Warrants Jul 2016 B	50,000,000	2016/01/13	2016/07/29	22.50
25097	HK Bank – Tencent European Warrants Feb 2017 A	100,000,000	2016/01/13	2017/02/20	15.00
25162	J P Morgan SP BV – BOCL European Warrants Oct 2016 A	100,000,000	2016/01/13	2016/10/31	15.00
25152	J P Morgan SP BV – CC Bank European Wts Sep 2016 A	100,000,000	2016/01/13	2016/09/02	15.90
25165	J P Morgan SP BV – China Mob European Put Wts Aug 2016 C	80,000,000	2016/01/13	2016/08/01	35.76
25169	J P Morgan SP BV – HKEX European Put Wts Dec 2016 B	200,000,000	2016/01/13	2016/12/30	50.00
25118	J P Morgan SP BV – HSCEI European Wts Jun 2016 C	200,000,000	2016/01/13	2016/06/29	30.00
25116	J P Morgan SP BV – HSCEI European Wts Jul 2016 C	200,000,000	2016/01/13	2016/07/28	30.00
25159	J P Morgan SP BV – ICBC European Warrants Sep 2016 A	100,000,000	2016/01/13	2016/09/02	25.00
25163	J P Morgan SP BV – PetroChina European Warrants Oct 2016 A	80,000,000	2016/01/13	2016/10/03	31.76
25119	J P Morgan SP BV – Tencent European Warrants Oct 2016 A	200,000,000	2016/01/13	2016/10/05	30.00
25115	Macquarie Bank Ltd. – Anhui Conch European Wts Sep 2016 A	37,000,000	2016/01/13	2016/09/02	10.03
25113	Macquarie Bank Ltd. – Ch Molybdenum European Wts Jul 2016 A	38,000,000	2016/01/13	2016/07/13	10.03
25123	Macquarie Bank Ltd. – China Overseas European Wts Nov 2016 A	40,000,000	2016/01/13	2016/11/02	10.00
25122	Macquarie Bank Ltd. – Ping An European Put Warrants Dec 16 A	40,000,000	2016/01/13	2016/12/02	10.00
25107	Macquarie Bank Ltd. – Techtronic Ind European Wts Sep 2016 A	20,000,000	2016/01/13	2016/09/19	10.00
25110	Macquarie Bank Ltd. – Goldwind European Warrants Dec 2016 A	26,000,000	2016/01/13	2016/12/16	10.01
25138	UBS AG – CC Bank European Warrants Jul 2016 B	100,000,000	2016/01/13	2016/07/25	16.60
25134	UBS AG – China Life European Warrants Jun 2016 B	100,000,000	2016/01/13	2016/06/30	15.00
25125	UBS AG – Minsheng Bank European Warrants Jul 2016 B	20,000,000	2016/01/13	2016/07/12	10.80
25141	UBS AG – HSBC European Warrants Jun 2016 E	100,000,000	2016/01/13	2016/06/27	15.00
25140	UBS AG – PetroChina European Warrants Jul 2016 B	50,000,000	2016/01/13	2016/07/12	23.50
25145	UBS AG – Ping An European Warrants Jul 2016 A	100,000,000	2016/01/13	2016/07/05	25.50
19901 #	BOCI Asia Ltd. – Ping An European Warrants Sep 2016 A	250,000,000	2016/01/13	2016/09/30	10.25
20663 #	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2016 A	120,000,000	2016/01/13	2016/10/05	10.56
25189	BOCI Asia Ltd. – HSCEI European Warrants Jul 2016 B	100,000,000	2016/01/14	2016/07/28	15.00
25192	BOCI Asia Ltd. – HSCEI European Put Warrants Oct 2016 A	100,000,000	2016/01/14	2016/10/28	25.00
25186	BOCI Asia Ltd. – China Vanke European Warrants Nov 2016 A	100,000,000	2016/01/14	2016/11/07	45.00
25261	BNP Paribas Arbit Issu B.V. – AIA European Wts Jul 2016 A	60,000,000	2016/01/14	2016/07/05	18.60
25255	BNP Paribas Arbit Issu B.V. – BOCL European Wts Sep 2016 B	70,000,000	2016/01/14	2016/09/02	12.60
25257	BNP Paribas Arbit Issu B.V. – BYD European Wts Sep 2017 A	120,000,000	2016/01/14	2017/09/04	19.20
25231	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2016 B	60,000,000	2016/01/14	2016/09/02	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25230	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts May 2017 A	80,000,000	2016/01/14	2017/05/04	12.00
25256	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2016 B	30,000,000	2016/01/14	2016/07/05	17.10
25281	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Aug 2016 A	50,000,000	2016/01/14	2016/08/02	18.00
25253	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2016 A	50,000,000	2016/01/14	2016/08/02	15.00
25270	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2016 A	100,000,000	2016/01/14	2016/07/28	25.00
25272	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2016 B	100,000,000	2016/01/14	2016/07/28	26.00
25280	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Aug 2016 B	50,000,000	2016/01/14	2016/08/02	20.00
25275	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2016 B	80,000,000	2016/01/14	2016/08/02	23.20
25232	BNP Paribas Arbit Issu B.V. – Ping An European Wt Dec 2016 B	80,000,000	2016/01/14	2016/12/30	12.00
25225	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Wts Jul 2016 A	30,000,000	2016/01/14	2016/07/05	12.00
25287	Credit Suisse AG – AIA European Warrants Aug 2016 A	80,000,000	2016/01/14	2016/08/03	28.00
25283	Credit Suisse AG – China Life European Warrants Aug 2016 B	80,000,000	2016/01/14	2016/08/03	20.00
25291	Credit Suisse AG – China Mobile European Warrants Aug 2016 B	80,000,000	2016/01/14	2016/08/05	40.80
25297	Credit Suisse AG – Sinopec Corp European Warrants Aug 2016 B	80,000,000	2016/01/14	2016/08/03	25.60
25300	Credit Suisse AG – HKEX European Warrants Jun 2016 F	180,000,000	2016/01/14	2016/06/27	27.00
25288	Credit Suisse AG – HSBC European Wts Aug 2016 A	120,000,000	2016/01/14	2016/08/03	45.60
25296	Credit Suisse AG – Ping An European Warrants Aug 2016 D	80,000,000	2016/01/14	2016/08/05	28.00
25334	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2016 B	100,000,000	2016/01/14	2016/07/29	42.70
25328	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2016 B	100,000,000	2016/01/14	2016/07/29	30.20
25336	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Aug 2016 A	80,000,000	2016/01/14	2016/08/30	27.36
25337	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2016 B	100,000,000	2016/01/14	2016/07/29	36.00
25324	Goldman Sachs SP (Asia) – HSCEI European Warrants Jun 2016 B	150,000,000	2016/01/14	2016/06/29	24.45
25323	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Jul 2016 B	80,000,000	2016/01/14	2016/07/28	57.60
25326	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2016 B	150,000,000	2016/01/14	2016/07/28	38.40
25330	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2016 B	100,000,000	2016/01/14	2016/07/29	42.20
25327	Goldman Sachs SP (Asia) – Stanchart European Wts Jul 2016 B	40,000,000	2016/01/14	2016/07/29	21.16
25193	HK Bank – Sinopec Corp European Warrants Jul 2016 C	50,000,000	2016/01/14	2016/07/29	20.00
25196	HK Bank – A50 China European Warrants Oct 2016 A	180,000,000	2016/01/14	2016/10/31	27.00
25211	HK Bank – HKEX European Warrants May 2016 C	180,000,000	2016/01/14	2016/05/31	27.00
25201	HK Bank – HSCEI European Warrants Apr 2016 E	180,000,000	2016/01/14	2016/04/28	32.40
25206	HK Bank – HSCEI European Warrants Jun 2016 B	180,000,000	2016/01/14	2016/06/29	27.00
25203	HK Bank – HSCEI European Warrants Jul 2016 B	180,000,000	2016/01/14	2016/07/28	27.00
25207	HK Bank – HSCEI European Put Warrants May 2016 C	180,000,000	2016/01/14	2016/05/30	27.00
25198	HK Bank – HSI European Warrants Apr 2016 F	180,000,000	2016/01/14	2016/04/28	37.80
25195	HK Bank – HSI European Put Warrants Jun 2016 F	180,000,000	2016/01/14	2016/06/29	27.00
25210	HK Bank – ICBC European Warrants Jul 2016 B	80,000,000	2016/01/14	2016/07/29	20.00
25322	J P Morgan SP BV – CAM CSI300 European Warrants Aug 2016 B	80,000,000	2016/01/14	2016/08/02	20.16
25240	J P Morgan SP BV – China Life European Warrants Aug 2016 A	100,000,000	2016/01/14	2016/08/03	25.10
25315	J P Morgan SP BV – CNOOC European Warrants Dec 2018 A	200,000,000	2016/01/14	2018/12/31	30.20
25314	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2016 B	80,000,000	2016/01/14	2016/07/04	24.00
25237	J P Morgan SP BV – China Shenhua European Wts Aug 2017 A	80,000,000	2016/01/14	2017/08/01	20.00
25307	J P Morgan SP BV – HSCEI European Put Warrants Dec 2016 A	200,000,000	2016/01/14	2016/12/29	30.00
25236	J P Morgan SP BV – HSI European Warrants Aug 2016 B	300,000,000	2016/01/14	2016/08/30	75.00
25306	J P Morgan SP BV – HSI European Put Warrants Jul 2016 D	300,000,000	2016/01/14	2016/07/28	75.30

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25321	J P Morgan SP BV – Haitong Sec European Warrants Oct 2016 A	100,000,000	2016/01/14	2016/10/31	25.20
25239	J P Morgan SP BV – JX Copper European Warrants Nov 2017 A	80,000,000	2016/01/14	2017/11/30	20.08
25318	J P Morgan SP BV – Ping An European Warrants May 2016 B	100,000,000	2016/01/14	2016/05/04	16.40
25310	J P Morgan SP BV – Sands China European Warrants Aug 2016 A	100,000,000	2016/01/14	2016/08/02	25.10
25320	J P Morgan SP BV – Stanchart European Warrants Sep 2016 A	30,000,000	2016/01/14	2016/09/01	10.71
25312	J P Morgan SP BV – Tencent European Warrants Dec 2016 E	200,000,000	2016/01/14	2016/12/29	30.00
25212	Macquarie Bank Ltd. – CNOOC European Put Warrants Sep 2016 A	22,000,000	2016/01/14	2016/09/02	10.01
25224	Macquarie Bank Ltd. – Sinopec Corp European Wts Sep 2016 A	35,000,000	2016/01/14	2016/09/02	10.15
25305	Macquarie Bank Ltd. – Hang Seng Bank European Wts Aug 2016 A	40,000,000	2016/01/14	2016/08/03	10.00
25213	Macquarie Bank Ltd. – PetroChina European Put Warrants Nov16A	25,000,000	2016/01/14	2016/11/02	10.00
25267	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Put Wt Jan18A	300,000,000	2016/01/14	2018/01/04	51.00
25215	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2016 A	100,000,000	2016/01/14	2016/08/03	31.50
25218	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jan17B	500,000,000	2016/01/14	2017/01/05	80.00
25222	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Jan17C	500,000,000	2016/01/14	2017/01/05	75.00
25251	UBS AG – BYD European Warrants Jul 2016 A	20,000,000	2016/01/14	2016/07/13	10.20
25241	UBS AG – China Life European Warrants Jul 2016 A	100,000,000	2016/01/14	2016/07/08	15.00
25249	UBS AG – Sinopec Corp European Warrants Jul 2016 A	60,000,000	2016/01/14	2016/07/13	22.50
25246	UBS AG – HSCEI European Warrants Jul 2016 B	200,000,000	2016/01/14	2016/07/28	30.00
25242	UBS AG – Stanchart European Warrants Jul 2016 A	50,000,000	2016/01/14	2016/07/13	20.50
25345	BOCI Asia Ltd. – ICBC European Warrants Jul 2016 A	100,000,000	2016/01/15	2016/07/29	19.00
25341	BOCI Asia Ltd. – PetroChina European Warrants Jul 2016 B	100,000,000	2016/01/15	2016/07/20	36.00
25385	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Aug 2016 A	40,000,000	2016/01/15	2016/08/02	10.00
25382	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Jul 2016 A	50,000,000	2016/01/15	2016/07/05	10.00
25380	BNP Paribas Arbit Issu B.V. – Ch Unicom Euro Wt Jan 2018 A	60,000,000	2016/01/15	2018/01/03	10.80
25390	BNP Paribas Arbit Issu B.V. – PICC European Wts Oct 2016 A	60,000,000	2016/01/15	2016/10/04	10.20
25403	Credit Suisse AG – Anhui Conch European Warrants Oct 2016 A	50,000,000	2016/01/15	2016/10/03	12.50
25410	Credit Suisse AG – Ch Comm Cons European Warrants Aug 2016 A	30,000,000	2016/01/15	2016/08/04	27.00
25399	Credit Suisse AG – ChiUnicom European Warrants Dec 2017 A	100,000,000	2016/01/15	2017/12/29	15.00
25409	Credit Suisse AG – GCL-Poly Energy European Wts Sep 2016 A	40,000,000	2016/01/15	2016/09/05	10.00
25415	Credit Suisse AG – Geely Auto European Warrants Aug 2016 A	30,000,000	2016/01/15	2016/08/04	17.40
25397	Credit Suisse AG – HSCEI European Warrants Jun 2016 C	100,000,000	2016/01/15	2016/06/29	15.00
25407	Credit Suisse AG – HTSC European Warrants Sep 2016 A	50,000,000	2016/01/15	2016/09/30	13.00
25401	Credit Suisse AG – Haitong Sec European Warrants Jul 2016 A	60,000,000	2016/01/15	2016/07/04	10.20
25404	Credit Suisse AG – Kingsoft European Warrants Aug 2016 A	50,000,000	2016/01/15	2016/08/03	14.00
25400	Credit Suisse AG – PICC European Warrants Sep 2016 A	70,000,000	2016/01/15	2016/09/30	10.50
25438	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2016 B	100,000,000	2016/01/15	2016/07/18	59.40
25440	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Nov 2017 A	80,000,000	2016/01/15	2017/11/30	20.08
25435	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Aug 2016 B	80,000,000	2016/01/15	2016/08/30	67.44
25347	HK Bank – AIA European Warrants Aug 2016 A	80,000,000	2016/01/15	2016/08/31	24.00
25348	HK Bank – CNOOC European Warrants Dec 2018 A	180,000,000	2016/01/15	2018/12/24	27.00
25351	HK Bank – CSOP A50 ETF European Warrants Jan 2017 A	180,000,000	2016/01/15	2017/01/04	27.00
25354	HK Bank – HSCEI European Put Warrants May 2016 D	180,000,000	2016/01/15	2016/05/30	27.00
25356	HK Bank – HSI European Put Warrants Apr 2016 G	180,000,000	2016/01/15	2016/04/28	27.00
25357	HK Bank – HSI European Put Warrants Jul 2016 A	180,000,000	2016/01/15	2016/07/28	45.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25353	HK Bank – Sands China European Warrants Aug 2016 B	80,000,000	2016/01/15	2016/08/31	20.00
25428	J P Morgan SP BV – Car Inc European Warrants Dec 2016 A	80,000,000	2016/01/15	2016/12/30	20.16
25433	J P Morgan SP BV – HKEX European Warrants Jul 2016 B	300,000,000	2016/01/15	2016/07/05	45.00
25430	J P Morgan SP BV – HKEX European Warrants Nov 2016 A	300,000,000	2016/01/15	2016/11/30	45.00
25429	J P Morgan SP BV – HS H-SHARE European Warrants Aug 2016 A	100,000,000	2016/01/15	2016/08/01	48.50
25427	J P Morgan SP BV – Shimao Property European Wts Aug 2017 A	60,000,000	2016/01/15	2017/08/01	15.00
25363	Macquarie Bank Ltd. – AIA European Warrants Aug 2016 A	30,000,000	2016/01/15	2016/08/02	10.11
25375	Macquarie Bank Ltd. – Chi Res Land European Wts Sep 2016 A	40,000,000	2016/01/15	2016/09/02	10.00
25368	Macquarie Bank Ltd. – Kingsoft European Warrants Aug 2016 A	29,000,000	2016/01/15	2016/08/02	10.01
25369	Macquarie Bank Ltd. – PetroChina European Wts Aug 2016 A	20,000,000	2016/01/15	2016/08/02	10.00
25371	Macquarie Bank Ltd. – Ping An European Warrants Sep 2016 B	40,000,000	2016/01/15	2016/09/02	10.00
25374	Macquarie Bank Ltd. – Ping An European Warrants Dec 2016 B	40,000,000	2016/01/15	2016/12/02	10.00
25394	SGA Societe Generale Acceptance N.V. – Galaxy Eu Put Wt Aug16B	80,000,000	2016/01/15	2016/08/03	20.00
25379	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt May16C	150,000,000	2016/01/15	2016/05/30	24.75
25376	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2016 F	150,000,000	2016/01/15	2016/05/30	27.00
25377	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul16B	150,000,000	2016/01/15	2016/07/28	37.50
25395	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Sep16C	120,000,000	2016/01/15	2016/09/05	30.00
25396	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Aug16B	80,000,000	2016/01/15	2016/08/03	20.00
25417	UBS AG – AIA European Warrants Jun 2016 A	100,000,000	2016/01/15	2016/06/27	22.50
25420	UBS AG – AIA European Warrants Jul 2016 A	100,000,000	2016/01/15	2016/07/25	35.50
25425	UBS AG – China Mobile European Put Warrants Jul 2016 A	100,000,000	2016/01/15	2016/07/14	59.00
25421	UBS AG – ICBC European Warrants Jul 2016 A	100,000,000	2016/01/15	2016/07/22	18.90
25422	UBS AG – ICBC European Warrants Sep 2016 A	100,000,000	2016/01/15	2016/09/27	16.80
25423	UBS AG – Ping An European Put Warrants Jul 2016 B	70,000,000	2016/01/15	2016/07/14	21.00
25426	UBS AG – Tencent Holdings European Warrants Dec 2016 B	300,000,000	2016/01/15	2016/12/21	45.00
25463	Credit Suisse AG – Agricultural Bank European Wts Jul 2016 A	70,000,000	2016/01/18	2016/07/05	10.50
25462	Credit Suisse AG – BOCL European Warrants Jul 2016 B	80,000,000	2016/01/18	2016/07/05	12.00
25467	Credit Suisse AG – CC Bank European Warrants Aug 2016 B	80,000,000	2016/01/18	2016/08/03	24.00
25449	Credit Suisse AG – China Life European Warrants Aug 2016 C	80,000,000	2016/01/18	2016/08/05	22.40
25471	Credit Suisse AG – HS H-SHARE European Warrants Aug 2016 B	70,000,000	2016/01/18	2016/08/05	25.20
25447	Credit Suisse AG – HSI European Warrants Jul 2016 B	150,000,000	2016/01/18	2016/07/28	22.50
25469	Credit Suisse AG – ICBC European Warrants Jul 2016 B	80,000,000	2016/01/18	2016/07/29	20.00
25466	Credit Suisse AG – PetroChina European Warrants Aug 2016 C	70,000,000	2016/01/18	2016/08/03	25.90
25509	Bank of East Asia – BYD European Warrants Sep 2017 A	80,000,000	2016/01/18	2017/09/01	12.00
25522	Bank of East Asia – Ch Comm Cons European Wts Jul 2017 A	70,000,000	2016/01/18	2017/07/27	11.20
25511	Bank of East Asia – R&F Ppt European Warrants Jul 2017 A	40,000,000	2016/01/18	2017/07/27	10.00
25518	Bank of East Asia – HKEX European Warrants Dec 2016 A	40,000,000	2016/01/18	2016/12/22	10.00
25521	Bank of East Asia – HSBC European Warrants Sep 2016 A	40,000,000	2016/01/18	2016/09/21	10.80
25524	Goldman Sachs SP (Asia) – AIA European Warrants Jul 2016 A	80,000,000	2016/01/18	2016/07/29	29.28
25544	Goldman Sachs SP (Asia) – CITIC Sec European Wts Jul 2016 A	80,000,000	2016/01/18	2016/07/29	20.00
25531	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2016 A	100,000,000	2016/01/18	2016/08/31	33.60
25536	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Aug 2016 A	40,000,000	2016/01/18	2016/08/31	24.32
25473	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2016 F	150,000,000	2016/01/18	2016/06/29	25.20
25538	Goldman Sachs SP (Asia) – PetroChina European Wts Aug 2016 A	80,000,000	2016/01/18	2016/08/31	44.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25532	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2016 D	100,000,000	2016/01/18	2016/08/31	49.40
25529	Goldman Sachs SP (Asia) – Sands China Euro Wts Aug 2016 A	80,000,000	2016/01/18	2016/08/31	22.32
25525	Goldman Sachs SP (Asia) – Tencent European Wt Jun 2016 E	300,000,000	2016/01/18	2016/06/27	45.90
25444	HK Bank – CRCC European Warrants Nov 2016 A	80,000,000	2016/01/18	2016/11/30	12.00
25442	HK Bank – Galaxy Ent European Warrants Jul 2016 A	80,000,000	2016/01/18	2016/07/29	20.00
25441	HK Bank – Haitong Sec European Warrants Sep 2016 A	80,000,000	2016/01/18	2016/09/30	20.00
25445	HK Bank – Stanchart European Warrants Sep 2016 A	50,000,000	2016/01/18	2016/09/02	20.00
25457	J P Morgan SP BV – HSI European Warrants Jul 2016 E	300,000,000	2016/01/18	2016/07/28	75.30
25523	J P Morgan SP BV – Sands China European Put Wts Aug 2016 B	80,000,000	2016/01/18	2016/08/01	20.00
25452	Macquarie Bank Ltd. – BOCL European Warrants Aug 2016 A	36,000,000	2016/01/18	2016/08/02	10.01
25545	Macquarie Bank Ltd. – BYD European Warrants Dec 2018 A	40,000,000	2016/01/18	2018/12/04	10.00
25453	Macquarie Bank Ltd. – China Life European Wts Aug 2016 B	28,000,000	2016/01/18	2016/08/02	10.14
25502	Macquarie Bank Ltd. – China Mobile European Wts Jul 2016 C	56,000,000	2016/01/18	2016/07/05	10.08
25504	Macquarie Bank Ltd. – China Mobile European Put Wts Aug16A	40,000,000	2016/01/18	2016/08/02	10.00
25455	Macquarie Bank Ltd. – China Overseas European Wts Aug 2016 B	40,000,000	2016/01/18	2016/08/02	10.00
25498	Macquarie Bank Ltd. – HSBC European Warrants Jul 2016 D	67,000,000	2016/01/18	2016/07/05	10.05
25501	Macquarie Bank Ltd. – HSBC European Put Warrants Aug 2016 B	40,000,000	2016/01/18	2016/08/02	10.00
25450	Macquarie Bank Ltd. – China Mengniu European Wts Nov 2016 A	40,000,000	2016/01/18	2016/11/02	10.00
25505	Macquarie Bank Ltd. – New World Dev European Wts Sep 2016 A	22,000,000	2016/01/18	2016/09/02	10.25
25507	Macquarie Bank Ltd. – Towngas China European Wts Sep 2016 A	21,000,000	2016/01/18	2016/09/12	10.42
25508	Macquarie Bank Ltd. – China Vanke European Warrants Oct16A	40,000,000	2016/01/18	2016/10/04	10.00
25478	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Aug 2016 A	100,000,000	2016/01/18	2016/08/03	28.50
25476	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2016 B	100,000,000	2016/01/18	2016/10/05	25.00
25474	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 16 C	80,000,000	2016/01/18	2016/08/03	30.00
25475	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Sep 2016 A	60,000,000	2016/01/18	2016/09/05	24.90
25446	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Dec16A	60,000,000	2016/01/18	2016/12/05	15.00
25487	UBS AG – CKP European Warrants Jul 2016 B	50,000,000	2016/01/18	2016/07/18	17.75
25491	UBS AG – China Life European Warrants Jul 2016 B	100,000,000	2016/01/18	2016/07/22	15.70
25496	UBS AG – China Life European Warrants Jul 2016 C	100,000,000	2016/01/18	2016/07/25	25.00
25494	UBS AG – Galaxy Ent European Warrants Jul 2016 B	70,000,000	2016/01/18	2016/07/18	20.30
25493	UBS AG – HSCEI European Put Warrants Dec 2016 B	200,000,000	2016/01/18	2016/12/29	50.00
25479	UBS AG – HSI European Warrants Jul 2016 A	300,000,000	2016/01/18	2016/07/28	75.00
25483	UBS AG – HSI European Warrants Sep 2016 B	300,000,000	2016/01/18	2016/09/29	75.00
25485	UBS AG – HSI European Warrants Dec 2016 A	300,000,000	2016/01/18	2016/12/29	75.00
25486	UBS AG – HSI European Put Warrants Dec 2016 B	300,000,000	2016/01/18	2016/12/29	75.00
25488	UBS AG – KECL European Warrants Jul 2016 A	20,000,000	2016/01/18	2016/07/18	10.60
25490	UBS AG – Sands China European Warrants Sep 2016 A	70,000,000	2016/01/18	2016/09/08	17.50
25585	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2016 C	40,000,000	2016/01/19	2016/07/05	13.60
25595	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2016 A	50,000,000	2016/01/19	2016/09/02	10.50
25598	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Aug 2016 C	40,000,000	2016/01/19	2016/08/02	15.20
25599	Credit Suisse AG – HSI European Warrants Jun 2016 C	150,000,000	2016/01/19	2016/06/29	22.50
25600	Credit Suisse AG – HSI European Warrants Aug 2016 A	150,000,000	2016/01/19	2016/08/30	37.50
25611	Goldman Sachs SP (Asia) – China Life Euro Put Wts Dec 2016 A	60,000,000	2016/01/19	2016/12/29	16.08
25604	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Aug 2016 A	80,000,000	2016/01/19	2016/08/30	37.12

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25617	Goldman Sachs SP (Asia) – HKEX European Put Wts Jun 2016 E	150,000,000	2016/01/19	2016/06/30	22.80
25547	Goldman Sachs SP (Asia) – HSCEI European Put Wts Dec 2016 B	150,000,000	2016/01/19	2016/12/29	37.50
25548	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2016 A	150,000,000	2016/01/19	2016/09/29	38.70
25606	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Aug 2016 E	80,000,000	2016/01/19	2016/08/30	23.92
25554	HK Bank – CAM CSI300 European Warrants Sep 2016 A	80,000,000	2016/01/19	2016/09/30	32.00
25551	HK Bank – BOCL European Warrants Aug 2016 C	80,000,000	2016/01/19	2016/08/31	20.00
25550	HK Bank – BYD European Warrants Aug 2017 A	100,000,000	2016/01/19	2017/08/31	15.00
25562	J P Morgan SP BV – HSCEI European Wts Jul 2016 D	200,000,000	2016/01/19	2016/07/28	30.00
25618	J P Morgan SP BV – HSI European Warrants Jun 2016 F	300,000,000	2016/01/19	2016/06/29	45.00
25561	J P Morgan SP BV – HSI European Warrants Jul 2016 F	300,000,000	2016/01/19	2016/07/28	75.00
25619	J P Morgan SP BV – PetroChina European Warrants Aug 2016 C	80,000,000	2016/01/19	2016/08/31	32.80
25549	Macquarie Bank Ltd. – CKH European Warrants Aug 2016 A	12,000,000	2016/01/19	2016/08/02	10.03
25557	Macquarie Bank Ltd. – Evergrande European Wts Oct 2016 A	60,000,000	2016/01/19	2016/10/21	10.56
25559	Macquarie Bank Ltd. – Longfor PPT European Wts Aug 2017 A	40,000,000	2016/01/19	2017/08/02	10.00
25570	Macquarie Bank Ltd. – Tencent European Warrants Aug 2016 A	24,000,000	2016/01/19	2016/08/02	10.01
25563	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2016 A	150,000,000	2016/01/19	2016/11/03	44.25
25566	SGA Societe Generale Acceptance N.V. – CLife Eu Put Wt Sep16B	150,000,000	2016/01/19	2016/09/05	37.50
25567	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Oct 2016 B	200,000,000	2016/01/19	2016/10/05	50.00
25569	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Jan 2017 A	200,000,000	2016/01/19	2017/01/05	50.00
25580	UBS AG – China Mobile European Warrants Jul 2016 B	100,000,000	2016/01/19	2016/07/18	45.00
25577	UBS AG – CNOOC European Warrants Dec 2018 A	100,000,000	2016/01/19	2018/12/19	17.60
25575	UBS AG – HKEX European Warrants Jun 2016 H	300,000,000	2016/01/19	2016/06/02	67.50
25574	UBS AG – HKEX European Warrants Oct 2016 B	300,000,000	2016/01/19	2016/10/31	75.00
25584	UBS AG – HSBC European Warrants Jul 2016 A	100,000,000	2016/01/19	2016/07/18	25.50
25579	UBS AG – HSI European Put Warrants Sep 2016 C	300,000,000	2016/01/19	2016/09/29	75.00
25583	UBS AG – Ping An European Warrants Jul 2016 C	100,000,000	2016/01/19	2016/07/18	31.00
25634	BOCI Asia Ltd. – AIA European Warrants Aug 2016 A	100,000,000	2016/01/20	2016/08/08	32.00
25642	BOCI Asia Ltd. – CAM CSI300 European Warrants Sep 2016 A	100,000,000	2016/01/20	2016/09/05	37.00
25633	BOCI Asia Ltd. – BYD European Warrants Aug 2017 A	100,000,000	2016/01/20	2017/08/28	15.00
25638	BOCI Asia Ltd. – China Life European Warrants Jul 2016 B	100,000,000	2016/01/20	2016/07/28	15.00
25639	BOCI Asia Ltd. – China Life European Put Warrants Aug 2016 A	100,000,000	2016/01/20	2016/08/15	25.00
25631	BOCI Asia Ltd. – China Mobile European Warrants Aug 2016 A	100,000,000	2016/01/20	2016/08/08	38.00
25629	BOCI Asia Ltd. – HKEX European Warrants Dec 2016 A	100,000,000	2016/01/20	2016/12/19	25.00
25636	BOCI Asia Ltd. – Ping An European Warrants Aug 2016 A	100,000,000	2016/01/20	2016/08/22	29.00
25635	BOCI Asia Ltd. – Sands China European Warrants Aug 2016 A	100,000,000	2016/01/20	2016/08/29	25.00
25655	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Aug 2016 A	50,000,000	2016/01/20	2016/08/02	12.50
25654	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jan 2017 B	150,000,000	2016/01/20	2017/01/03	22.50
25653	BNP Paribas Arbit Issu B.V. – Tracker Fund Euro Wts Apr17A	70,000,000	2016/01/20	2017/04/03	11.20
25658	Credit Suisse AG – China Life European Put Wts Aug 2016 D	60,000,000	2016/01/20	2016/08/05	15.00
25657	Credit Suisse AG – China Mobile European Put Wts Aug 2016 C	60,000,000	2016/01/20	2016/08/05	19.20
25659	Credit Suisse AG – Ping An European Put Warrants Sep 2016 B	60,000,000	2016/01/20	2016/09/19	15.60
25675	Goldman Sachs SP (Asia) – CITIC European Warrants Jul 2017 A	80,000,000	2016/01/20	2017/07/28	20.16
25671	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Dec 2017 B	80,000,000	2016/01/20	2017/12/29	16.40
25677	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2016 C	100,000,000	2016/01/20	2016/06/02	21.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25664	Goldman Sachs SP (Asia) – HSCEI European Warrants Jul 2016 B	150,000,000	2016/01/20	2016/07/28	37.50
25660	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2016 C	150,000,000	2016/01/20	2016/07/28	38.40
25662	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2016 D	150,000,000	2016/01/20	2016/07/28	38.55
25673	Goldman Sachs SP (Asia) – Tracker Fund European Wts Dec16A	80,000,000	2016/01/20	2016/12/29	21.60
25621	HK Bank – China Mobile European Put Warrants Jun 2018 A	100,000,000	2016/01/20	2018/06/29	22.00
25622	HK Bank – HKEX European Put Warrants Dec 2016 A	100,000,000	2016/01/20	2016/12/30	25.00
25627	HK Bank – HSCEI European Put Warrants Sep 2016 A	180,000,000	2016/01/20	2016/09/29	45.00
25625	HK Bank – HSI European Put Warrants Sep 2016 A	180,000,000	2016/01/20	2016/09/29	45.00
25624	HK Bank – Ping An European Warrants Jun 2016 B	80,000,000	2016/01/20	2016/06/27	12.00
25623	HK Bank – Ping An European Warrants Jul 2016 A	80,000,000	2016/01/20	2016/07/29	20.00
25620	HK Bank – Tencent European Put Warrants Sep 2016 B	100,000,000	2016/01/20	2016/09/30	15.00
25669	J P Morgan SP BV – China Life European Put Wts Oct 2016 B	100,000,000	2016/01/20	2016/10/03	25.00
25645	J P Morgan SP BV – HSI European Put Warrants Apr 2016 H	300,000,000	2016/01/20	2016/04/28	45.00
25651	Macquarie Bank Ltd. – BOCHK European Warrants May 2017 A	40,000,000	2016/01/20	2017/05/04	10.00
25652	Macquarie Bank Ltd. – China Life European Put Warrants Oct16A	40,000,000	2016/01/20	2016/10/04	10.00
25650	Macquarie Bank Ltd. – Tencent European Put Wts Aug 2016 B	18,000,000	2016/01/20	2016/08/02	10.01
19309 #	Goldman Sachs SP (Asia) – Ping An European Wts Dec 2016 A	190,000,000	2016/01/20	2016/12/30	11.40
25679	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2016 C	100,000,000	2016/01/21	2016/08/30	15.00
25691	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Jun 2018 A	200,000,000	2016/01/21	2018/06/28	43.80
25692	Goldman Sachs SP (Asia) – HKEX European Warrants Nov 2016 B	300,000,000	2016/01/21	2016/11/14	75.00
25689	J P Morgan SP BV – BOCL European Warrants Oct 2016 B	100,000,000	2016/01/21	2016/10/03	25.10
25688	J P Morgan SP BV – China Life European Warrants Sep 2016 B	100,000,000	2016/01/21	2016/09/02	25.10
25701	J P Morgan SP BV – HSBC European Warrants Oct 2016 A	100,000,000	2016/01/21	2016/10/03	25.10
25699	J P Morgan SP BV – HSCEI European Put Warrants Oct 2016 A	200,000,000	2016/01/21	2016/10/28	50.20
25686	J P Morgan SP BV – HSI European Warrants Sep 2016 A	300,000,000	2016/01/21	2016/09/29	75.00
25698	J P Morgan SP BV – HSI European Put Warrants Aug 2016 C	300,000,000	2016/01/21	2016/08/30	75.00
25702	J P Morgan SP BV – PetroChina European Put Warrants Sep16A	100,000,000	2016/01/21	2016/09/01	42.80
25700	J P Morgan SP BV – Ping An European Warrants Oct 2016 B	100,000,000	2016/01/21	2016/10/03	34.10
25690	J P Morgan SP BV – Sands China European Warrants Sep 2016 A	100,000,000	2016/01/21	2016/09/02	25.00
25684	J P Morgan SP BV – Tracker Fund European Warrants Oct 2017 A	100,000,000	2016/01/21	2017/10/03	25.00
25694	Macquarie Bank Ltd. – CC Bank European Warrants Aug 2016 A	29,000,000	2016/01/21	2016/08/02	10.06
25695	Macquarie Bank Ltd. – Foxconn European Warrants Dec 2016 A	20,000,000	2016/01/21	2016/12/02	10.00
25693	Macquarie Bank Ltd. – Tencent European Warrants Aug 2016 C	11,000,000	2016/01/21	2016/08/02	10.02
25710	BOCI Asia Ltd. – A50 China European Warrants Dec 2017 A	100,000,000	2016/01/22	2017/12/27	17.00
25706	BOCI Asia Ltd. – HSBC European Warrants Aug 2016 A	100,000,000	2016/01/22	2016/08/05	25.00
25709	BOCI Asia Ltd. – HSBC European Put Warrants Jul 2016 B	100,000,000	2016/01/22	2016/07/12	35.00
25712	BOCI Asia Ltd. – HSI European Warrants Jul 2016 A	100,000,000	2016/01/22	2016/07/28	17.00
25713	BOCI Asia Ltd. – HSI European Put Warrants Oct 2016 A	100,000,000	2016/01/22	2016/10/28	25.00
25727	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts Aug 2016 A	40,000,000	2016/01/22	2016/08/02	10.00
25723	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2016 B	50,000,000	2016/01/22	2016/08/02	10.50
25726	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Sep 2016 B	40,000,000	2016/01/22	2016/09/02	12.80
25741	Credit Suisse AG – Ch Overseas European Warrants Aug 2016 A	50,000,000	2016/01/22	2016/08/03	12.50
25740	Credit Suisse AG – Galaxy Ent European Warrants Aug 2016 A	80,000,000	2016/01/22	2016/08/03	20.80
25737	Credit Suisse AG – Sands China European Warrants Aug 2016 A	80,000,000	2016/01/22	2016/08/03	16.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25720	Goldman Sachs SP (Asia) – HSI European Warrants May 2016 D	150,000,000	2016/01/22	2016/05/30	22.95
25714	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2016 I	150,000,000	2016/01/22	2016/04/28	27.30
25722	HK Bank – HSI European Warrants Jun 2016 G	180,000,000	2016/01/22	2016/06/29	27.00
25721	HK Bank – HSI European Put Warrants Apr 2016 H	180,000,000	2016/01/22	2016/04/28	27.00
25765	J P Morgan SP BV – CC Bank European Wts Sep 2016 B	100,000,000	2016/01/22	2016/09/30	25.00
25764	J P Morgan SP BV – Ping An European Warrants Aug 2016 C	120,000,000	2016/01/22	2016/08/15	30.00
25766	J P Morgan SP BV – Tencent European Warrants Jun 2016 B	200,000,000	2016/01/22	2016/06/02	106.20
25749	Macquarie Bank Ltd. – Bank of EA European Wts Jan 2017 A	40,000,000	2016/01/22	2017/01/04	10.00
25763	Macquarie Bank Ltd. – CNOOC European Warrants Sep 2016 B	12,000,000	2016/01/22	2016/09/02	10.01
25753	Macquarie Bank Ltd. – CPIC European Warrants Oct 2016 A	40,000,000	2016/01/22	2016/10/04	10.00
25745	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jan 2017 B	60,000,000	2016/01/22	2017/01/04	10.02
25742	Macquarie Bank Ltd. – A50 China European Warrants Jan 2018 B	50,000,000	2016/01/22	2018/01/03	10.00
25747	Macquarie Bank Ltd. – HS H-SHARE European Warrants Aug 2016 A	40,000,000	2016/01/22	2016/08/02	10.20
25728	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2016 B	100,000,000	2016/01/22	2016/09/05	32.00
25732	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Aug16D	80,000,000	2016/01/22	2016/08/03	23.20
25734	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Sep16A	100,000,000	2016/01/22	2016/09/05	32.50
25729	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2016 B	100,000,000	2016/01/22	2016/09/05	27.00
25756	UBS AG – CC Bank European Warrants Jul 2016 C	100,000,000	2016/01/22	2016/07/29	27.00
25758	UBS AG – China Life European Warrants Aug 2016 A	100,000,000	2016/01/22	2016/08/01	25.00
25760	UBS AG – China Life European Warrants Aug 2016 B	100,000,000	2016/01/22	2016/08/08	32.50
25761	UBS AG – Hang Seng Bank European Warrants Aug 2016 A	20,000,000	2016/01/22	2016/08/22	10.00
25757	UBS AG – HSCEI European Warrants Dec 2016 C	200,000,000	2016/01/22	2016/12/29	50.00
25762	UBS AG – ICBC European Warrants Jul 2016 B	100,000,000	2016/01/22	2016/07/29	15.00
25754	UBS AG – SHK Ppt European Warrants Jul 2016 A	50,000,000	2016/01/22	2016/07/21	33.00
25775	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2017 A	60,000,000	2016/01/25	2017/07/04	16.20
25776	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2017 A	50,000,000	2016/01/25	2017/07/04	14.50
25770	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jun 2017 A	40,000,000	2016/01/25	2017/06/02	14.40
25777	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Jul 2017 A	100,000,000	2016/01/25	2017/07/04	25.00
25769	Goldman Sachs SP (Asia) – HKEX European Warrants Jun 2016 F	300,000,000	2016/01/25	2016/06/02	56.70
25818	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2016 C	80,000,000	2016/01/25	2016/07/28	24.16
25819	J P Morgan SP BV – BEA European Warrants Feb 2017 A	60,000,000	2016/01/25	2017/02/01	15.24
25823	J P Morgan SP BV – CITIC Bank European Warrants Sep 2016 A	100,000,000	2016/01/25	2016/09/02	31.50
25790	J P Morgan SP BV – China Life European Warrants Aug 2016 B	100,000,000	2016/01/25	2016/08/01	21.90
25820	J P Morgan SP BV – Henderson Land Dev European Wt Oct 2016 A	60,000,000	2016/01/25	2016/10/04	16.44
25785	J P Morgan SP BV – HSCEI European Wts Sep 2016 A	200,000,000	2016/01/25	2016/09/29	50.00
25787	J P Morgan SP BV – HSI European Warrants Aug 2016 D	300,000,000	2016/01/25	2016/08/30	75.00
25824	J P Morgan SP BV – ICBC European Warrants Oct 2016 B	100,000,000	2016/01/25	2016/10/04	25.50
25825	J P Morgan SP BV – KECL European Warrants Jul 2018 A	200,000,000	2016/01/25	2018/07/03	30.00
25822	J P Morgan SP BV – MTRC European Warrants Oct 2016 A	60,000,000	2016/01/25	2016/10/03	15.24
10376	J P Morgan SP BV – N225 Index Euro Wts Mar 2017 A	100,000,000	2016/01/25	2017/03/10	25.20
25821	J P Morgan SP BV – SHK Ppt European Warrants Jul 2016 A	60,000,000	2016/01/25	2016/07/25	16.20
25791	J P Morgan SP BV – Tencent European Warrants Jun 2016 C	200,000,000	2016/01/25	2016/06/15	30.00
25826	J P Morgan SP BV – ZTE European Warrants Mar 2017 A	60,000,000	2016/01/25	2017/03/01	15.18
25784	Macquarie Bank Ltd. – China Life European Wts Sep 2016 A	28,000,000	2016/01/25	2016/09/02	10.02

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25794	Macquarie Bank Ltd. – Haier Elec European Wts Mar 2017 A	28,000,000	2016/01/25	2017/03/16	10.02
25783	Macquarie Bank Ltd. – HKEX European Warrants Jun 2016 B	50,000,000	2016/01/25	2016/06/02	10.00
25782	Macquarie Bank Ltd. – HKEX European Warrants Nov 2016 A	40,000,000	2016/01/25	2016/11/02	10.00
25805	Macquarie Bank Ltd. – HKEX European Put Warrants Nov 2016 B	40,000,000	2016/01/25	2016/11/02	10.00
25806	Macquarie Bank Ltd. – Ping An European Warrants Oct 2016 A	40,000,000	2016/01/25	2016/10/04	10.00
25810	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jan 2019A	300,000,000	2016/01/25	2019/01/04	45.00
25779	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2016 B	100,000,000	2016/01/25	2016/10/05	32.50
25808	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun16H	150,000,000	2016/01/25	2016/06/29	32.25
25807	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec16A	150,000,000	2016/01/25	2016/12/29	33.75
25780	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Sep16D	120,000,000	2016/01/25	2016/09/05	30.00
25809	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jan17D	500,000,000	2016/01/25	2017/01/05	92.50
25801	UBS AG – Agricultural Bank European Warrants Sep 2016 A	70,000,000	2016/01/25	2016/09/23	10.99
25802	UBS AG – CITIC Bank European Warrants Aug 2016 A	40,000,000	2016/01/25	2016/08/08	10.20
25798	UBS AG – HKEX European Warrants Sep 2016 A	300,000,000	2016/01/25	2016/09/19	75.00
25796	UBS AG – HSCEI European Warrants Sep 2016 B	200,000,000	2016/01/25	2016/09/29	50.00
25797	UBS AG – HSCEI European Warrants Dec 2016 D	200,000,000	2016/01/25	2016/12/29	50.00
25803	UBS AG – HSI European Warrants Sep 2016 D	300,000,000	2016/01/25	2016/09/29	75.00
25804	UBS AG – HSI European Warrants Sep 2016 E	300,000,000	2016/01/25	2016/09/29	75.00
25838	BOCI Asia Ltd. – CNOOC European Warrants Aug 2016 B	100,000,000	2016/01/26	2016/08/17	58.00
25831	BOCI Asia Ltd. – Sinopec Corp European Warrants Aug 2016 A	100,000,000	2016/01/26	2016/08/12	34.00
25841	BNP Paribas Arbit Issu B.V. – HKEX European Wts Jun 2016 D	60,000,000	2016/01/26	2016/06/02	10.20
25840	BNP Paribas Arbit Issu B.V. – HKEX European Wts Oct 2016 B	60,000,000	2016/01/26	2016/10/04	11.40
25850	Credit Suisse AG – CNOOC European Warrants Aug 2016 A	60,000,000	2016/01/26	2016/08/03	28.20
25842	Credit Suisse AG – HKEX European Warrants Jun 2016 G	180,000,000	2016/01/26	2016/06/02	27.00
25853	Credit Suisse AG – HSBC European Put Warrants Aug 2016 B	60,000,000	2016/01/26	2016/08/02	19.20
25848	Credit Suisse AG – Stanchart European Warrants Jul 2016 A	50,000,000	2016/01/26	2016/07/29	23.50
25827	Bank of East Asia – CNOOC European Warrants Dec 2018 A	65,000,000	2016/01/26	2018/12/11	10.60
25871	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2016 C	80,000,000	2016/01/26	2016/07/28	33.28
25867	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2016 B	200,000,000	2016/01/26	2016/11/30	30.80
25870	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2016 C	80,000,000	2016/01/26	2016/07/28	58.00
25866	Goldman Sachs SP (Asia) – Tencent European Wt Jul 2016 A	200,000,000	2016/01/26	2016/07/28	50.60
25860	J P Morgan SP BV – Ch Railway Cons European Wts Sep 2016 A	80,000,000	2016/01/26	2016/09/30	12.08
25862	J P Morgan SP BV – A50 China European Warrants Aug 2016 C	100,000,000	2016/01/26	2016/08/01	56.50
25858	J P Morgan SP BV – HKEX European Put Wts Nov 2016 B	100,000,000	2016/01/26	2016/11/02	25.00
25859	J P Morgan SP BV – HSBC European Put Warrants Sep 2016 B	100,000,000	2016/01/26	2016/09/01	30.00
25861	J P Morgan SP BV – China Mengniu European Wts Dec 2016 A	60,000,000	2016/01/26	2016/12/01	15.00
25847	Macquarie Bank Ltd. – CITIC Bank European Wts Oct 2016 A	33,000,000	2016/01/26	2016/10/04	10.07
25844	Macquarie Bank Ltd. – ICBC European Warrants Sep 2016 A	40,000,000	2016/01/26	2016/09/02	10.00
25843	Macquarie Bank Ltd. – ICBC European Warrants Nov 2016 A	40,000,000	2016/01/26	2016/11/02	10.00
25839	Macquarie Bank Ltd. – Ping An European Put Warrants Aug16A	40,000,000	2016/01/26	2016/08/02	10.00
25854	UBS AG – BOC HK European Warrants Mar 2017 A	80,000,000	2016/01/26	2017/03/21	20.00
25856	UBS AG – ZTE European Warrants Nov 2016 A	40,000,000	2016/01/26	2016/11/08	10.00
25885	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2016 B	50,000,000	2016/01/27	2016/10/04	12.50
25874	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2016 C	50,000,000	2016/01/27	2016/08/02	13.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25886	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Nov 2016 A	60,000,000	2016/01/27	2016/11/02	15.00
25922	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Sep 2016 A	100,000,000	2016/01/27	2016/09/29	25.00
25910	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2016 C	100,000,000	2016/01/27	2016/07/28	19.00
25883	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2016 A	50,000,000	2016/01/27	2016/08/02	12.50
25919	Credit Suisse AG – HSI European Warrants Jul 2016 C	150,000,000	2016/01/27	2016/07/28	22.50
25921	Credit Suisse AG – Ping An European Warrants Aug 2016 E	80,000,000	2016/01/27	2016/08/03	27.20
25948	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2016 A	150,000,000	2016/01/27	2016/08/30	37.50
25949	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2016 B	150,000,000	2016/01/27	2016/08/30	22.65
25895	HK Bank – CC Bank European Warrants Aug 2016 C	80,000,000	2016/01/27	2016/08/31	24.00
25893	HK Bank – China Life European Warrants Jul 2016 B	100,000,000	2016/01/27	2016/07/18	18.00
25894	HK Bank – HKEX European Warrants Sep 2016 B	180,000,000	2016/01/27	2016/09/30	34.20
25908	HK Bank – HSCEI European Warrants Jul 2016 C	180,000,000	2016/01/27	2016/07/28	27.00
25898	HK Bank – HSI European Warrants Jul 2016 B	180,000,000	2016/01/27	2016/07/28	32.40
25909	HK Bank – HSI European Warrants Jul 2016 C	180,000,000	2016/01/27	2016/07/28	27.00
25892	HK Bank – Jiangxi Copper European Warrants Nov 2017 A	80,000,000	2016/01/27	2017/11/27	14.40
25887	HK Bank – KECL European Warrants Jun 2018 A	80,000,000	2016/01/27	2018/06/29	12.00
25890	HK Bank – PICC European Warrants Nov 2016 A	80,000,000	2016/01/27	2016/11/28	14.40
25896	HK Bank – Ping An European Warrants Jul 2016 B	80,000,000	2016/01/27	2016/07/29	20.00
25907	J P Morgan SP BV – Anhui Conch European Warrants Nov 2016 A	80,000,000	2016/01/27	2016/11/01	20.00
25945	J P Morgan SP BV – Agricultural Bk Euro Warrants Aug 2016 A	100,000,000	2016/01/27	2016/08/01	15.60
25935	J P Morgan SP BV – AIA European Warrants Aug 2016 B	100,000,000	2016/01/27	2016/08/01	26.90
25943	J P Morgan SP BV – CITIC Sec European Warrants Aug 2016 B	100,000,000	2016/01/27	2016/08/01	20.30
25947	J P Morgan SP BV – Sinopec Corp European Warrants Aug 2016 B	100,000,000	2016/01/27	2016/08/30	38.60
25905	J P Morgan SP BV – CSOP A50 ETF European Warrants Dec 2016 C	200,000,000	2016/01/27	2016/12/01	30.40
25906	J P Morgan SP BV – A50 China European Warrants Dec 2017 A	200,000,000	2016/01/27	2017/12/29	35.80
25933	J P Morgan SP BV – Galaxy Ent European Warrants Aug 2016 B	100,000,000	2016/01/27	2016/08/02	25.00
25936	J P Morgan SP BV – HKEX European Warrants Oct 2016 A	200,000,000	2016/01/27	2016/10/03	31.00
25940	J P Morgan SP BV – HKEX European Warrants Nov 2016 C	200,000,000	2016/01/27	2016/11/01	50.20
25931	J P Morgan SP BV – HSBC European Warrants Aug 2016 A	100,000,000	2016/01/27	2016/08/01	25.50
25903	J P Morgan SP BV – HSCEI European Wts Aug 2016 A	200,000,000	2016/01/27	2016/08/30	50.20
25902	J P Morgan SP BV – HSI European Warrants Aug 2016 E	300,000,000	2016/01/27	2016/08/30	75.60
25901	J P Morgan SP BV – HSI European Warrants Sep 2016 B	300,000,000	2016/01/27	2016/09/29	75.30
25946	J P Morgan SP BV – HSI European Put Warrants Aug 2016 F	300,000,000	2016/01/27	2016/08/30	76.50
25941	J P Morgan SP BV – PetroChina European Warrants Aug 2016 D	100,000,000	2016/01/27	2016/08/02	41.50
25926	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Oct 2016 B	100,000,000	2016/01/27	2016/10/05	39.50
25912	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 E	150,000,000	2016/01/27	2016/08/03	43.50
25929	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Sep 2016 A	80,000,000	2016/01/27	2016/09/05	27.20
25924	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Nov16A	80,000,000	2016/01/27	2016/11/03	31.20
25927	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2016 B	100,000,000	2016/01/27	2016/08/03	32.00
25915	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2016 C	150,000,000	2016/01/27	2016/07/28	23.25
25913	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2016 B	150,000,000	2016/01/27	2016/12/29	37.50
25917	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug16C	150,000,000	2016/01/27	2016/08/30	37.50
25916	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep16C	150,000,000	2016/01/27	2016/09/29	37.50
25928	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Sep16E	120,000,000	2016/01/27	2016/09/05	51.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
18428 #	Credit Suisse AG – Ping An European Warrants Oct 2016 A	250,000,000	2016/01/27	2016/10/28	13.50
18595 #	Credit Suisse AG – A50 China European Warrants Dec 2016 C	250,000,000	2016/01/27	2016/12/23	12.25
25952	BNP Paribas Arbit Issu B.V. – CKH European Wts Jul 2016 B	50,000,000	2016/01/28	2016/07/05	10.00
25953	BNP Paribas Arbit Issu B.V. – CKP European Warrants Aug16A	40,000,000	2016/01/28	2016/08/02	10.00
25995	Credit Suisse AG – AIA European Put Warrants Aug 2016 B	70,000,000	2016/01/28	2016/08/04	17.50
25998	Credit Suisse AG – BOC HK European Warrants Aug 2016 B	70,000,000	2016/01/28	2016/08/30	17.50
26000	Credit Suisse AG – CITIC Sec European Warrants Aug 2016 A	70,000,000	2016/01/28	2016/08/05	17.50
25996	Credit Suisse AG – China Life European Warrants Aug 2016 E	80,000,000	2016/01/28	2016/08/03	20.00
26004	Credit Suisse AG – HKEX European Warrants Aug 2016 A	180,000,000	2016/01/28	2016/08/03	45.00
26006	Credit Suisse AG – HKEX European Put Warrants Nov 2016 B	120,000,000	2016/01/28	2016/11/03	30.00
25997	Credit Suisse AG – HSBC European Wts Sep 2016 A	120,000,000	2016/01/28	2016/09/05	42.00
25994	Credit Suisse AG – HSI European Put Warrants Aug 2016 B	150,000,000	2016/01/28	2016/08/30	37.50
25999	Credit Suisse AG – Tencent Holdings European Wts Oct 2016 B	150,000,000	2016/01/28	2016/10/05	37.50
26039	Goldman Sachs SP (Asia) – HSCEI European Put Wts Aug 2016 B	150,000,000	2016/01/28	2016/08/30	37.50
26036	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2016 C	150,000,000	2016/01/28	2016/08/30	37.50
26037	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2016 B	150,000,000	2016/01/28	2016/09/29	37.50
25967	HK Bank – AIA European Warrants Aug 2016 B	80,000,000	2016/01/28	2016/08/31	24.00
25965	HK Bank – CKP European Warrants Oct 2016 A	50,000,000	2016/01/28	2016/10/31	17.50
25970	HK Bank – HSCEI European Put Warrants Dec 2016 A	180,000,000	2016/01/28	2016/12/29	45.00
25959	HK Bank – HSI European Warrants Aug 2016 A	180,000,000	2016/01/28	2016/08/30	27.00
25960	HK Bank – HSI European Warrants Sep 2016 B	180,000,000	2016/01/28	2016/09/29	27.00
25964	HK Bank – HSI European Warrants Dec 2016 A	180,000,000	2016/01/28	2016/12/29	27.00
25969	HK Bank – HSI European Put Warrants Sep 2016 C	180,000,000	2016/01/28	2016/09/29	45.00
25968	HK Bank – PetroChina European Warrants Sep 2016 A	80,000,000	2016/01/28	2016/09/30	28.00
26002	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2016 A	100,000,000	2016/01/28	2016/12/30	15.00
26019	J P Morgan SP BV – CKP European Warrants Nov 2016 A	100,000,000	2016/01/28	2016/11/01	29.00
26012	J P Morgan SP BV – Minsheng Bank European Wts Dec 2016 A	100,000,000	2016/01/28	2016/12/03	17.40
26007	J P Morgan SP BV – CNOOC European Warrants Sep 2016 B	100,000,000	2016/01/28	2016/09/30	32.80
26020	J P Morgan SP BV – HSI European Warrants Oct 2016 A	300,000,000	2016/01/28	2016/10/28	75.00
25951	J P Morgan SP BV – HSI European Put Warrants Apr 2016 I	300,000,000	2016/01/28	2016/04/28	45.00
26015	J P Morgan SP BV – Ping An European Put Warrants Sep 2016 B	100,000,000	2016/01/28	2016/09/01	30.20
26022	Macquarie Bank Ltd. – China Mobile European Wts Jul 2016 D	40,000,000	2016/01/28	2016/07/05	10.00
26023	Macquarie Bank Ltd. – China Mobile European Wts Aug 2016 B	40,000,000	2016/01/28	2016/08/02	10.00
25975	Macquarie Bank Ltd. – Sinopec Corp European Wts Nov 2016 A	25,000,000	2016/01/28	2016/11/02	10.03
26035	Macquarie Bank Ltd. – R&F Ppt European Warrants Mar 2017 B	40,000,000	2016/01/28	2017/03/07	10.00
26025	Macquarie Bank Ltd. – HKEX European Warrants Jun 2016 C	67,000,000	2016/01/28	2016/06/02	10.05
26024	Macquarie Bank Ltd. – HKEX European Warrants Oct 2016 B	55,000,000	2016/01/28	2016/10/03	10.01
25956	Macquarie Bank Ltd. – HKEX European Put Warrants Dec 2016 A	40,000,000	2016/01/28	2016/12/02	10.00
26027	Macquarie Bank Ltd. – HSBC European Warrants Aug 2016 C	40,000,000	2016/01/28	2016/08/02	10.00
26031	Macquarie Bank Ltd. – HSBC European Put Warrants Aug 2016 D	40,000,000	2016/01/28	2016/08/02	10.00
26028	Macquarie Bank Ltd. – HSBC European Put Warrants Oct 2016 A	40,000,000	2016/01/28	2016/10/04	10.04
26033	Macquarie Bank Ltd. – PetroChina European Wts Aug 2016 B	20,000,000	2016/01/28	2016/08/02	12.40
25957	Macquarie Bank Ltd. – PICC P&C European Warrants Feb 2017 A	40,000,000	2016/01/28	2017/02/02	10.00
25950	Macquarie Bank Ltd. – Tencent European Warrants Aug 2016 D	10,000,000	2016/01/28	2016/08/02	15.99

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26034	Macquarie Bank Ltd. – China Vanke European Warrants Jan 17 A	30,000,000	2016/01/28	2017/01/04	10.71
25990	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Oct16A	50,000,000	2016/01/28	2016/10/05	22.00
25991	SGA Societe Generale Acceptance NV – CSOP A50 ETF Eu Wt Nov17A	300,000,000	2016/01/28	2017/11/03	75.00
25971	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Sep 2016 B	200,000,000	2016/01/28	2016/09/05	50.00
25972	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Sep 2017 A	200,000,000	2016/01/28	2017/09/05	50.00
25974	SGA Societe Generale Acceptance N.V. – HKEX Eu Put Wt Oct16C	200,000,000	2016/01/28	2016/10/05	50.00
25992	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts Sep16B	50,000,000	2016/01/28	2016/09/05	32.00
25979	UBS AG – HKEX European Warrants Sep 2016 B	300,000,000	2016/01/28	2016/09/26	75.00
25980	UBS AG – HKEX European Warrants Dec 2016 B	300,000,000	2016/01/28	2016/12/19	75.00
25981	UBS AG – HKEX European Put Warrants Oct 2016 C	100,000,000	2016/01/28	2016/10/17	25.00
25989	UBS AG – HSCEI European Warrants Sep 2016 C	200,000,000	2016/01/28	2016/09/29	50.00
26003	UBS AG – HSCEI European Put Warrants Sep 2016 D	200,000,000	2016/01/28	2016/09/29	50.00
25984	UBS AG – HSI European Warrants Sep 2016 F	300,000,000	2016/01/28	2016/09/29	75.00
25986	UBS AG – HSI European Warrants Sep 2016 G	300,000,000	2016/01/28	2016/09/29	75.00
25985	UBS AG – HSI European Warrants Dec 2016 C	300,000,000	2016/01/28	2016/12/29	84.00
25988	UBS AG – HSI European Warrants Dec 2016 D	300,000,000	2016/01/28	2016/12/29	105.00
25983	UBS AG – Ping An European Warrants Aug 2016 D	100,000,000	2016/01/28	2016/08/01	29.50
25977	UBS AG – Tencent Holdings European Warrants Oct 2016 B	300,000,000	2016/01/28	2016/10/24	75.00
25976	UBS AG – Tencent Holdings European Warrants Dec 2016 C	300,000,000	2016/01/28	2016/12/28	57.60
25978	UBS AG – Tencent Holdings European Warrants Dec 2016 D	300,000,000	2016/01/28	2016/12/28	45.30
26044	BOCI Asia Ltd. – HKEX European Warrants Aug 2016 A	100,000,000	2016/01/29	2016/08/05	22.00
26045	BOCI Asia Ltd. – HKEX European Warrants Oct 2016 A	100,000,000	2016/01/29	2016/10/24	16.00
26046	BOCI Asia Ltd. – HKEX European Put Warrants Dec 2016 B	100,000,000	2016/01/29	2016/12/28	27.00
26047	BOCI Asia Ltd. – Ping An European Put Warrants Sep 2016 C	100,000,000	2016/01/29	2016/09/26	33.00
26049	BOCI Asia Ltd. – Tracker Fund European Warrants Dec 2016 B	100,000,000	2016/01/29	2016/12/28	20.00
26051	BOCI Asia Ltd. – Tracker Fund European Put Wts Feb 2017 A	100,000,000	2016/01/29	2017/02/14	25.00
26055	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2016 C	60,000,000	2016/01/29	2016/08/02	10.20
26052	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2016 D	100,000,000	2016/01/29	2016/08/30	27.00
26054	BNP Paribas Arbit Issu B.V. – Ping An Eur Put Wts Oct 2016 B	60,000,000	2016/01/29	2016/10/04	16.20
26104	Goldman Sachs SP (Asia) – CITIC Bank European Wts Jul 2016 A	60,000,000	2016/01/29	2016/07/29	18.48
26105	Goldman Sachs SP (Asia) – CKP European Warrants Jul 2016 A	60,000,000	2016/01/29	2016/07/29	15.00
26092	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2016 D	150,000,000	2016/01/29	2016/08/30	37.50
26103	Goldman Sachs Sp (Asia) – ICBC European Warrants Aug 2016 A	100,000,000	2016/01/29	2016/08/30	25.70
26102	Goldman Sachs SP (Asia) – China Vanke European Wts Sep 2016 A	60,000,000	2016/01/29	2016/09/29	15.00
26093	J P Morgan SP BV – China Gas Hold European Wts Dec 2017 A	100,000,000	2016/01/29	2017/12/29	25.20
26059	J P Morgan SP BV – CNOOC European Put Warrants Dec 2018 B	200,000,000	2016/01/29	2018/12/31	50.00
26094	J P Morgan SP BV – Sands China European Warrants Nov 2016 A	100,000,000	2016/01/29	2016/11/03	25.00
26095	J P Morgan SP BV – Wharf European Warrants Aug 2016 A	60,000,000	2016/01/29	2016/08/02	15.12
26078	Macquarie Bank Ltd. – BJ Ent Water European Wts Oct 2016 A	22,000,000	2016/01/29	2016/10/04	10.21
26043	Macquarie Bank Ltd. – China Life European Put Warrants Dec16A	40,000,000	2016/01/29	2016/12/02	10.00
26060	Macquarie Bank Ltd. – Henganintl European Wts Oct 2016 A	35,000,000	2016/01/29	2016/10/04	10.50
26080	Macquarie Bank Ltd. – NCI European Warrants Nov 2016 A	25,000,000	2016/01/29	2016/11/02	10.23
26042	Macquarie Bank Ltd. – PICC Group European Wts Sep 2016 A	22,000,000	2016/01/29	2016/09/02	10.03
26072	Macquarie Bank Ltd. – Ping An European Warrants Jul 2016 A	80,000,000	2016/01/29	2016/07/05	12.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26061	SGA Societe Generale Acceptance N.V. – AIA Eu Put Wt Sep16 A	100,000,000	2016/01/29	2016/09/05	25.00
26081	SGA Societe Generale Acceptance N.V. – BOCL Eu Put Wt Nov16A	80,000,000	2016/01/29	2016/11/03	20.00
26082	SGA Societe Generale Acceptance N.V. – CCB Euro Put Wt Oct16A	80,000,000	2016/01/29	2016/10/05	32.40
26063	SGA Societe Generale Acceptance N.V. – CLife Eu Put Wt Dec16A	150,000,000	2016/01/29	2016/12/05	37.50
26069	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Sep16 B	100,000,000	2016/01/29	2016/09/05	25.50
26064	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2016 D	150,000,000	2016/01/29	2016/09/29	28.50
26083	SGA Societe Generale Acceptance N.V. – ICBC Eu Put Wt Oct16A	80,000,000	2016/01/29	2016/10/05	27.60
26084	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Oct16B	300,000,000	2016/01/29	2016/10/05	54.00
26087	UBS AG – AIA European Warrants Aug 2016 A	100,000,000	2016/01/29	2016/08/01	45.50
26085	UBS AG – AIA European Warrants Oct 2016 A	100,000,000	2016/01/29	2016/10/11	39.00
26091	UBS AG – China Mobile European Warrants Oct 2016 A	100,000,000	2016/01/29	2016/10/26	104.00
26088	UBS AG – HSBC European Warrants Sep 2016 A	100,000,000	2016/01/29	2016/09/05	34.00
25721 #	HK Bank – HSI European Put Warrants Apr 2016 H	150,000,000	2016/01/29	2016/04/28	26.25
26108	Credit Suisse AG – Tencent Holdings Euro Put Wts Oct 2016 C	100,000,000	2016/02/01	2016/10/04	15.00
26134	Credit Suisse AG – Tracker Fund European Warrants May 2017 A	80,000,000	2016/02/01	2017/05/31	20.00
26135	J P Morgan SP BV – Geely Auto European Warrants Sep 2016 A	60,000,000	2016/02/01	2016/09/22	22.08
26136	J P Morgan SP BV – Wynn Macau European Warrants Dec 2017 A	80,000,000	2016/02/01	2017/12/29	20.00
26118	Macquarie Bank Ltd. – China Overseas European Put Wts Sep16A	40,000,000	2016/02/01	2016/09/02	10.00
26110	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Aug 2016 B	100,000,000	2016/02/01	2016/08/03	16.50
26111	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2017 A	100,000,000	2016/02/01	2017/03/03	25.00
26112	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Feb 2017 A	50,000,000	2016/02/01	2017/02/03	12.50
26123	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2017 A	100,000,000	2016/02/01	2017/03/03	25.00
26127	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2017 A	100,000,000	2016/02/01	2017/01/05	27.00
26124	SGA Societe Generale Acceptance N.V. – CKP Euro Wts Nov16A	50,000,000	2016/02/01	2016/11/03	18.75
26114	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Sep16A	80,000,000	2016/02/01	2016/09/05	23.20
26131	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Sep16C	150,000,000	2016/02/01	2016/09/29	32.25
26126	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2017 A	100,000,000	2016/02/01	2017/02/03	25.00
26116	SGA Societe Generale Acceptance N.V. – Ping An Eu Put Wt Oct16A	120,000,000	2016/02/01	2016/10/05	34.80
26117	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Nov16A	80,000,000	2016/02/01	2016/11/03	20.00
26107	UBS AG – China Mobile European Put Warrants Aug 2016 A	50,000,000	2016/02/01	2016/08/29	22.00
26122	UBS AG – CSOP A50 ETF European Warrants Nov 2016 B	300,000,000	2016/02/01	2016/11/28	46.50
26121	UBS AG – HSI European Warrants Sep 2016 I	300,000,000	2016/02/01	2016/09/29	75.00
26106	UBS AG – HSI European Put Warrants Sep 2016 H	300,000,000	2016/02/01	2016/09/29	75.00
11012 #	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2016 C	100,000,000	2016/02/01	2016/04/28	17.20
26140	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2017 A	50,000,000	2016/02/02	2017/08/02	16.00
26138	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2017 A	50,000,000	2016/02/02	2017/08/02	21.50
26139	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2017 A	50,000,000	2016/02/02	2017/08/02	19.50
26163	Goldman Sachs SP (Asia) – CC Bank European Wts Aug 2016 A	100,000,000	2016/02/02	2016/08/02	29.20
26160	Goldman Sachs SP (Asia) – CNOOC European Warrants Aug 2016 A	60,000,000	2016/02/02	2016/08/05	39.60
26159	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2016 A	100,000,000	2016/02/02	2016/09/02	34.80
26162	Goldman Sachs SP (Asia) – SHK Ppt European Wts Oct 2017 A	200,000,000	2016/02/02	2017/10/27	30.20
26143	HK Bank – HSI European Put Warrants May 2016 G	250,000,000	2016/02/02	2016/05/30	60.00
26147	HK Bank – HSI European Put Warrants Jul 2016 D	250,000,000	2016/02/02	2016/07/28	72.50
26142	HK Bank – HSI European Put Warrants Aug 2016 B	250,000,000	2016/02/02	2016/08/30	62.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26151	J P Morgan SP BV – China Life European Warrants Oct 2016 C	100,000,000	2016/02/02	2016/10/03	25.10
26157	J P Morgan SP BV – CNOOC European Warrants Nov 2018 A	200,000,000	2016/02/02	2018/11/30	30.40
26152	J P Morgan SP BV – HSBC European Warrants Sep 2016 C	100,000,000	2016/02/02	2016/09/01	25.30
26155	J P Morgan SP BV – HSI European Put Warrants Oct 2016 B	300,000,000	2016/02/02	2016/10/28	75.30
26153	J P Morgan SP BV – Ping An European Warrants Aug 2016 D	100,000,000	2016/02/02	2016/08/10	31.00
26148	Macquarie Bank Ltd. – BOCL European Warrants Sep 2016 A	27,000,000	2016/02/02	2016/09/02	10.02
26137	Macquarie Bank Ltd. – CMS European Warrants Dec 2017 A	33,000,000	2016/02/02	2017/12/18	10.03
26149	Macquarie Bank Ltd. – Chi Res Land European Wts Dec 2016 A	35,000,000	2016/02/02	2016/12/02	10.29
26141	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Mar 2017 B	200,000,000	2016/02/02	2017/03/03	50.00
26188	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jan 2018 A	100,000,000	2016/02/03	2018/01/03	15.00
26182	BNP Paribas Arbit Issu B.V. – HS H-SHARE Euro Wts Aug 2016 A	40,000,000	2016/02/03	2016/08/02	10.00
10377	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Nov 2016 C	50,000,000	2016/02/03	2016/11/17	12.50
26185	BNP Paribas Arbit Issu B.V. – Tracker Fund Euro Wts Mar17A	80,000,000	2016/02/03	2017/03/02	20.00
26193	Goldman Sachs SP (Asia) – BOCL European Warrants Aug 2016 B	100,000,000	2016/02/03	2016/08/03	25.50
26192	Goldman Sachs SP (Asia) – A50 China European Wts Aug 2016 C	80,000,000	2016/02/03	2016/08/03	67.36
26190	Goldman Sachs SP (Asia) – HSI European Put Wts May 2016 E	150,000,000	2016/02/03	2016/05/30	35.85
26191	Goldman Sachs SP (Asia) – HSI European Put Wts May 2016 F	150,000,000	2016/02/03	2016/05/30	33.30
26195	J P Morgan SP BV – CSOP A50 ETF European Warrants Aug 2016 B	100,000,000	2016/02/03	2016/08/02	28.70
26196	J P Morgan SP BV – HKEX European Put Wts Jul 2016 C	200,000,000	2016/02/03	2016/07/06	30.00
26176	Macquarie Bank Ltd. – Ch Oilfield European Wts Oct 2018 A	40,000,000	2016/02/03	2018/10/16	10.00
26180	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 2018 B	300,000,000	2016/02/03	2018/01/04	45.00
26166	UBS AG – CAM CSI300 European Warrants Sep 2016 A	50,000,000	2016/02/03	2016/09/23	17.00
26168	UBS AG – HSBC European Warrants Sep 2016 B	100,000,000	2016/02/03	2016/09/12	44.00
26169	UBS AG – HS H-SHARE European Warrants Jul 2016 A	50,000,000	2016/02/03	2016/07/21	18.25
26171	UBS AG – HS H-SHARE European Warrants Jul 2016 B	50,000,000	2016/02/03	2016/07/28	12.50
26175	UBS AG – Tracker Fund European Warrants Nov 2016 A	200,000,000	2016/02/03	2016/11/28	50.00
26173	UBS AG – Tracker Fund European Warrants Sep 2017 A	200,000,000	2016/02/03	2017/09/26	36.60
26198	BOCI Asia Ltd. – CC Bank European Warrants Sep 2016 A	100,000,000	2016/02/04	2016/09/30	20.60
26197	BOCI Asia Ltd. – China Life European Warrants Aug 2016 B	100,000,000	2016/02/04	2016/08/08	21.70
26204	Credit Suisse AG – China Life European Warrants Aug 2016 F	80,000,000	2016/02/04	2016/08/05	20.00
26211	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Aug 2016 A	80,000,000	2016/02/04	2016/08/26	21.20
26209	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Aug 2016 B	100,000,000	2016/02/04	2016/08/05	28.50
26206	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2016 C	150,000,000	2016/02/04	2016/09/29	22.80
26203	HK Bank – China Life European Warrants Jul 2016 C	100,000,000	2016/02/04	2016/07/29	15.00
26200	HK Bank – HSCEI European Warrants Aug 2016 A	180,000,000	2016/02/04	2016/08/30	45.00
26201	HK Bank – HSI European Warrants Aug 2016 C	250,000,000	2016/02/04	2016/08/30	62.50
26199	HK Bank – Tencent European Warrants Nov 2016 A	150,000,000	2016/02/04	2016/11/28	22.50
26205	J P Morgan SP BV – China Taiping European Wts Nov 2016 A	80,000,000	2016/02/04	2016/11/30	21.36
26207	UBS AG – AIA European Put Warrants Sep 2016 A	50,000,000	2016/02/04	2016/09/26	15.25
26271 #	J P Morgan SP BV – Ping An European Warrants Dec 2016 A	200,000,000	2016/02/04	2016/12/30	16.00
26227	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2016 B	100,000,000	2016/02/05	2016/07/05	15.00
26225	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2016 E	150,000,000	2016/02/05	2016/07/28	24.30
26226	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2016 D	100,000,000	2016/02/05	2016/07/28	33.80
26229	J P Morgan SP BV – BJ Ent Water European Warrants Sep 2016 A	60,000,000	2016/02/05	2016/09/01	30.06

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26232	J P Morgan SP BV – GAC European Warrants Nov 2017 A	100,000,000	2016/02/05	2017/11/30	17.60
26230	J P Morgan SP BV – Galaxy Ent European Put Wts Aug 2016 C	100,000,000	2016/02/05	2016/08/04	26.30
26231	J P Morgan SP BV – Sands China European Put Wts Aug 2016 C	100,000,000	2016/02/05	2016/08/04	38.60
26222	Macquarie Bank Ltd. – BOCHK European Warrants Feb 2017 A	40,000,000	2016/02/05	2017/02/02	10.00
26213	Macquarie Bank Ltd. – China Taiping European Wts Oct 2016 A	35,000,000	2016/02/05	2016/10/04	10.05
26212	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Nov 2016 A	24,000,000	2016/02/05	2016/11/02	10.22
26224	Macquarie Bank Ltd. – PetroChina European Wts Oct 2016 A	33,000,000	2016/02/05	2016/10/04	10.03
26218	UBS AG – HSBC European Put Warrants Aug 2016 A	60,000,000	2016/02/05	2016/08/29	10.62
26235	BOCI Asia Ltd. – Galaxy Ent European Warrants Oct 2016 A	100,000,000	2016/02/11	2016/10/31	26.70
26236	BOCI Asia Ltd. – Galaxy Ent European Put Warrants Aug 2016 A	100,000,000	2016/02/11	2016/08/03	17.40
26237	BOCI Asia Ltd. – Sands China European Warrants Aug 2016 B	100,000,000	2016/02/11	2016/08/31	22.80
26238	BOCI Asia Ltd. – Sands China European Put Wts Jul 2016 A	100,000,000	2016/02/11	2016/07/06	19.10
26244	Credit Suisse AG – HSBC European Wts Oct 2016 A	120,000,000	2016/02/11	2016/10/04	30.00
26243	Credit Suisse AG – Tencent Holdings European Wts Jun 2016 E	70,000,000	2016/02/11	2016/06/03	19.60
26257	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Aug 2016 B	80,000,000	2016/02/11	2016/08/30	23.44
26254	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Jul 2016 A	80,000,000	2016/02/11	2016/07/04	12.32
26256	Goldman Sachs SP (Asia) – HSBC European Put Wts Jul 2016 D	60,000,000	2016/02/11	2016/07/28	10.68
26255	Goldman Sachs SP (Asia) – Kingsoft European Wts Aug 2016 A	80,000,000	2016/02/11	2016/08/31	20.08
26251	J P Morgan SP BV – China Life European Put Wts Dec 2016 A	100,000,000	2016/02/11	2016/12/01	25.10
26253	J P Morgan SP BV – CSOP A50 ETF European Warrants Oct 2017 A	200,000,000	2016/02/11	2017/10/31	30.20
26242	J P Morgan SP BV – Greatwall Motor European Wts Jul 2018 A	80,000,000	2016/02/11	2018/07/30	20.00
26246	Macquarie Bank Ltd. – Geely Auto European Wts Jul 2016 A	40,000,000	2016/02/11	2016/07/05	10.00
26260	Macquarie Bank Ltd. – Galaxy Ent European Wts Oct 2016 A	40,000,000	2016/02/11	2016/10/04	10.00
26234	Macquarie Bank Ltd. – Greatwall Motor European Wts Jan 2018 A	40,000,000	2016/02/11	2018/01/03	10.00
26240	Macquarie Bank Ltd. – Ping An European Warrants Sep 2016 C	30,000,000	2016/02/11	2016/09/02	10.65
26245	Macquarie Bank Ltd. – Stanchart European Warrants Nov 2016 A	16,000,000	2016/02/11	2016/11/02	10.02
26239	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Jul 2017 A	40,000,000	2016/02/11	2017/07/17	10.00
26248	UBS AG – BOCL European Warrants Aug 2016 A	60,000,000	2016/02/11	2016/08/10	15.00
26247	UBS AG – China Life European Warrants Aug 2016 C	100,000,000	2016/02/11	2016/08/15	25.00
26250	UBS AG – Ping An European Warrants Aug 2016 E	100,000,000	2016/02/11	2016/08/10	45.00
26269	Credit Suisse AG – CKP European Warrants Aug 2016 A	70,000,000	2016/02/12	2016/08/31	19.60
26272	Credit Suisse AG – Greatwall Motor European Wts Dec 2017 A	100,000,000	2016/02/12	2017/12/29	25.00
26265	Credit Suisse AG – ICBC European Warrants Aug 2016 A	80,000,000	2016/02/12	2016/08/02	12.00
26276	Bank of East Asia – China Life European Warrants Sep 2016 A	40,000,000	2016/02/12	2016/09/19	10.00
26281	Goldman Sachs SP (Asia) – CRCC European Warrants Dec 2017 A	80,000,000	2016/02/12	2017/12/29	20.00
26283	J P Morgan SP BV – GCL-Poly European Warrants Dec 2016 A	60,000,000	2016/02/12	2016/12/30	15.00
26261	Macquarie Bank Ltd. – China EB Int'l European Wts Mar 2017 A	38,000,000	2016/02/12	2017/03/16	10.03
26264	Macquarie Bank Ltd. – China Cinda European Wts Nov 2016 A	40,000,000	2016/02/12	2016/11/02	10.00
26263	Macquarie Bank Ltd. – CM Bank European Warrants Jul 2017 A	40,000,000	2016/02/12	2017/07/04	10.00
26273	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Feb 2018 A	40,000,000	2016/02/12	2018/02/02	10.00
26262	Macquarie Bank Ltd. – Haitong Sec European Wts Mar 2017 A	40,000,000	2016/02/12	2017/03/02	10.00
26280	UBS AG – CAM CSI300 European Warrants Aug 2016 A	50,000,000	2016/02/12	2016/08/11	15.50
26277	UBS AG – CC Bank European Warrants Aug 2016 A	100,000,000	2016/02/12	2016/08/11	26.00
26279	UBS AG – ICBC European Warrants Aug 2016 A	70,000,000	2016/02/12	2016/08/02	10.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26289	BOCI Asia Ltd. – CC Bank European Put Warrants Oct 2016 A	100,000,000	2016/02/15	2016/10/03	37.00
26296	BOCI Asia Ltd. – China Life European Put Warrants Dec 2016 A	100,000,000	2016/02/15	2016/12/05	25.00
26291	BOCI Asia Ltd. – China Mobile European Put Wts Aug 2016 B	100,000,000	2016/02/15	2016/08/01	43.00
26297	BOCI Asia Ltd. – A50 China European Warrants Dec 2017 B	100,000,000	2016/02/15	2017/12/04	25.00
26284	BOCI Asia Ltd. – HSBC European Warrants Sep 2016 A	100,000,000	2016/02/15	2016/09/05	26.00
26292	BOCI Asia Ltd. – ICBC European Warrants Oct 2016 A	100,000,000	2016/02/15	2016/10/04	22.00
26295	BOCI Asia Ltd. – ICBC European Put Warrants Oct 2016 B	100,000,000	2016/02/15	2016/10/11	34.00
26304	Credit Suisse AG – AIA European Warrants Jul 2016 C	80,000,000	2016/02/15	2016/07/29	16.00
26307	Credit Suisse AG – AIA European Warrants Sep 2016 A	80,000,000	2016/02/15	2016/09/05	30.40
26303	Credit Suisse AG – BOCL European Warrants Aug 2016 A	80,000,000	2016/02/15	2016/08/31	20.00
26309	Credit Suisse AG – CC Bank European Warrants Sep 2016 A	80,000,000	2016/02/15	2016/09/05	14.40
26310	Credit Suisse AG – Galaxy Ent European Warrants Jul 2016 B	80,000,000	2016/02/15	2016/07/04	14.40
26323	Credit Suisse AG – HSBC European Wts Sep 2016 B	120,000,000	2016/02/15	2016/09/05	30.00
26313	Credit Suisse AG – Ping An European Warrants Jul 2016 B	80,000,000	2016/02/15	2016/07/11	12.00
26314	Credit Suisse AG – Ping An European Warrants Aug 2016 F	80,000,000	2016/02/15	2016/08/31	28.80
26331	Goldman Sachs SP (Asia) – AIA European Warrants Jul 2016 B	100,000,000	2016/02/15	2016/07/25	32.80
26329	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2016 D	150,000,000	2016/02/15	2016/09/29	26.70
26327	J P Morgan SP BV – AIA European Warrants Sep 2016 B	100,000,000	2016/02/15	2016/09/02	27.50
26298	J P Morgan SP BV – Galaxy Ent European Warrants Oct 2016 A	100,000,000	2016/02/15	2016/10/03	25.00
26326	J P Morgan SP BV – HKEX European Warrants Dec 2016 C	200,000,000	2016/02/15	2016/12/30	30.00
26325	J P Morgan SP BV – Stanchart European Warrants Nov 2016 A	40,000,000	2016/02/15	2016/11/02	15.20
26299	Macquarie Bank Ltd. – CC Bank European Warrants Mar 2017 A	28,000,000	2016/02/15	2017/03/02	10.36
26300	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2016 C	150,000,000	2016/02/15	2016/09/05	37.50
26301	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Dec 2016 B	200,000,000	2016/02/15	2016/12/05	50.00
26302	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Oct16 B	22,000,000	2016/02/15	2016/10/05	10.23
26317	UBS AG – CNOOC European Warrants Jul 2017 A	100,000,000	2016/02/15	2017/07/24	25.20
26319	UBS AG – HSI European Warrants Aug 2016 A	300,000,000	2016/02/15	2016/08/30	46.80
26320	UBS AG – HSI European Put Warrants Aug 2016 B	300,000,000	2016/02/15	2016/08/30	53.40
26318	UBS AG – Stanchart European Warrants Aug 2016 A	50,000,000	2016/02/15	2016/08/31	27.00
26348	BOCI Asia Ltd. – AIA European Warrants Oct 2016 A	100,000,000	2016/02/16	2016/10/03	40.00
26349	BOCI Asia Ltd. – AIA European Put Warrants Sep 2016 A	100,000,000	2016/02/16	2016/09/26	34.00
26354	BOCI Asia Ltd. – CAM CSI300 European Warrants Aug 2016 B	100,000,000	2016/02/16	2016/08/29	28.00
26353	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Oct 2017 A	100,000,000	2016/02/16	2017/10/31	20.00
26342	Credit Suisse AG – China Life European Warrants Sep 2016 A	80,000,000	2016/02/16	2016/09/05	20.00
26355	Credit Suisse AG – CSOP A50 ETF European Warrants Aug 2016 D	30,000,000	2016/02/16	2016/08/31	29.10
26338	Credit Suisse AG – Galaxy Ent European Put Wts Aug 2016 B	60,000,000	2016/02/16	2016/08/03	11.40
26343	Credit Suisse AG – HS H-SHARE European Warrants Sep 2016 A	70,000,000	2016/02/16	2016/09/05	25.20
26340	Credit Suisse AG – Stanchart European Warrants Sep 2016 A	50,000,000	2016/02/16	2016/09/05	28.50
26339	Credit Suisse AG – Tencent Holdings European Wts Jul 2016 A	150,000,000	2016/02/16	2016/07/05	22.50
26361	Goldman Sachs SP (Asia) – Agri Bk European Wts Sep 2016 A	100,000,000	2016/02/16	2016/09/30	26.50
26359	Goldman Sachs SP (Asia) – AIA European Put Wts Aug 2016 A	60,000,000	2016/02/16	2016/08/30	18.72
26358	Goldman Sachs SP (Asia) – Stanchart European Wts Aug 2016 A	40,000,000	2016/02/16	2016/08/30	17.16
26346	J P Morgan SP BV – AIA European Put Warrants Nov 2016 A	100,000,000	2016/02/16	2016/11/02	25.30
26336	Macquarie Bank Ltd. – Anhui Conch European Wts Dec 2016 A	39,000,000	2016/02/16	2016/12/02	10.06

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26334	Macquarie Bank Ltd. – China Res Gas European Wts Dec 2016 A	21,000,000	2016/02/16	2016/12/02	10.08
26332	Macquarie Bank Ltd. – ICBC European Warrants Mar 2017 B	40,000,000	2016/02/16	2017/03/02	10.00
26333	Macquarie Bank Ltd. – ICBC European Put Warrants Dec 2016 A	30,000,000	2016/02/16	2016/12/05	10.83
26377	Goldman Sachs SP (Asia) – AIA European Warrants Jul 2016 C	100,000,000	2016/02/17	2016/07/25	19.10
26379	Goldman Sachs SP (Asia) – CNOOC European Warrants Jul 2018 A	100,000,000	2016/02/17	2018/07/31	16.70
26362	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2016 E	150,000,000	2016/02/17	2016/08/30	29.70
26363	Macquarie Bank Ltd. – AIA European Warrants Sep 2016 A	24,000,000	2016/02/17	2016/09/02	10.08
26369	Macquarie Bank Ltd. – CITIC European Warrants Nov 2017 A	60,000,000	2016/02/17	2017/11/02	10.62
26365	Macquarie Bank Ltd. – China Life European Wts Oct 2016 B	37,000,000	2016/02/17	2016/10/04	10.03
26366	Macquarie Bank Ltd. – Ping An European Put Warrants Oct16B	40,000,000	2016/02/17	2016/10/04	10.00
26374	UBS AG – CKP European Warrants Dec 2016 A	50,000,000	2016/02/17	2016/12/29	28.50
26372	UBS AG – China Overseas European Warrants Dec 2016 A	50,000,000	2016/02/17	2016/12/12	19.00
26371	UBS AG – SHK Ppt European Warrants Oct 2017 A	70,000,000	2016/02/17	2017/10/20	10.50
26370	UBS AG – SPDR Gold Trust European Warrants Dec 2016 A	50,000,000	2016/02/17	2016/12/12	12.50
19904 #	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2016 A	100,000,000	2016/02/17	2016/12/19	11.50
26381	BOCI Asia Ltd. – HSCEI European Warrants Sep 2016 A	100,000,000	2016/02/18	2016/09/29	15.00
26384	BOCI Asia Ltd. – HSCEI European Put Warrants Oct 2016 B	100,000,000	2016/02/18	2016/10/28	20.00
26382	BOCI Asia Ltd. – HSCEI European Put Warrants Dec 2016 A	100,000,000	2016/02/18	2016/12/29	17.00
26406	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2016 A	50,000,000	2016/02/18	2016/10/04	12.50
26408	Credit Suisse AG – HSBC European Wts Sep 2016 C	120,000,000	2016/02/18	2016/09/05	45.60
26409	Credit Suisse AG – HSBC European Put Warrants Sep 2016 D	60,000,000	2016/02/18	2016/09/05	15.00
26407	Credit Suisse AG – Sands China European Warrants Sep 2016 A	80,000,000	2016/02/18	2016/09/05	20.80
26413	Goldman Sachs SP (Asia) – HSCEI European Warrants Aug 2016 C	150,000,000	2016/02/18	2016/08/30	24.60
26389	J P Morgan SP BV – China Life European Warrants Sep 2016 C	100,000,000	2016/02/18	2016/09/01	25.00
26412	J P Morgan SP BV – HS H-SHARE European Warrants Sep 2016 A	100,000,000	2016/02/18	2016/09/02	36.00
26390	J P Morgan SP BV – HSI European Warrants Oct 2016 C	300,000,000	2016/02/18	2016/10/28	75.30
26411	J P Morgan SP BV – Ping An European Warrants Sep 2016 C	100,000,000	2016/02/18	2016/09/01	26.00
26388	J P Morgan SP BV – Tencent European Warrants Oct 2016 B	200,000,000	2016/02/18	2016/10/03	50.00
26400	Macquarie Bank Ltd. – Ping An European Put Warrants Apr17B	40,000,000	2016/02/18	2017/04/04	10.00
26405	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Jan 2017 A	100,000,000	2016/02/18	2017/01/05	15.00
26385	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2016 B	150,000,000	2016/02/18	2016/11/03	37.50
26387	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2016 C	100,000,000	2016/02/18	2016/10/05	36.50
26393	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Aug 2016 A	150,000,000	2016/02/18	2016/08/30	22.50
26395	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Sep 2016 D	150,000,000	2016/02/18	2016/09/29	24.00
26398	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Dec16 B	150,000,000	2016/02/18	2016/12/29	33.75
26399	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep16E	150,000,000	2016/02/18	2016/09/29	29.25
26402	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Mar17 A	60,000,000	2016/02/18	2017/03/03	15.00
26401	SGA Societe Generale Acceptance N.V. – PICC Eu Wts Nov 2016 A	20,000,000	2016/02/18	2016/11/03	10.00
26403	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 17 B	300,000,000	2016/02/18	2017/02/03	75.00
26420	BOCI Asia Ltd. – Agricultural Bk European Wts Oct 2016 A	100,000,000	2016/02/19	2016/10/11	26.00
26417	BOCI Asia Ltd. – CKP European Warrants Oct 2016 A	100,000,000	2016/02/19	2016/10/17	40.00
26422	BOCI Asia Ltd. – China Life European Warrants Aug 2016 C	100,000,000	2016/02/19	2016/08/22	18.00
26421	BOCI Asia Ltd. – Ping An European Warrants Aug 2016 B	100,000,000	2016/02/19	2016/08/15	32.00
26416	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2016 B	100,000,000	2016/02/19	2016/10/13	19.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26425	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Dec 2016 B	60,000,000	2016/02/19	2016/12/02	15.00
26426	BNP Paribas Arbit Issu B.V. – Ping An European Wt Sep 2016 B	60,000,000	2016/02/19	2016/09/02	18.00
26428	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Sep 2016 A	35,000,000	2016/02/19	2016/09/02	11.20
26443	Credit Suisse AG – AIA European Put Warrants Sep 2016 B	60,000,000	2016/02/19	2016/09/05	15.00
26441	Credit Suisse AG – China Cinda European Warrants Oct 2016 A	35,000,000	2016/02/19	2016/10/05	10.50
26444	Credit Suisse AG – HSI European Put Warrants Sep 2016 C	150,000,000	2016/02/19	2016/09/29	33.00
26440	Credit Suisse AG – PICC European Warrants Jan 2017 A	60,000,000	2016/02/19	2017/01/27	15.00
26424	Bank of East Asia – CITIC European Warrants Nov 2017 A	60,000,000	2016/02/19	2017/11/07	10.20
26473	Bank of East Asia – CNBM European Warrants Oct 2016 A	32,000,000	2016/02/19	2016/10/04	10.56
26470	Bank of East Asia – Galaxy Ent European Warrants Dec 2016 A	40,000,000	2016/02/19	2016/12/23	10.00
26423	Bank of East Asia – Great Wall Motor European Wts Dec 2017 A	70,000,000	2016/02/19	2017/12/29	11.20
26456	Goldman Sachs SP (Asia) – CKP European Warrants Sep 2016 A	80,000,000	2016/02/19	2016/09/30	31.68
26452	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2016 B	100,000,000	2016/02/19	2016/09/02	27.30
26455	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Aug 2016 A	80,000,000	2016/02/19	2016/08/29	40.16
26453	Goldman Sachs SP (Asia) – Sands China Euro Wts Aug 2016 B	80,000,000	2016/02/19	2016/08/29	20.16
26468	J P Morgan SP BV – Agricultural Bk Euro Warrants Dec 2016 A	100,000,000	2016/02/19	2016/12/30	25.10
26459	J P Morgan SP BV – BOCL European Warrants Sep 2016 A	100,000,000	2016/02/19	2016/09/05	25.30
26458	J P Morgan SP BV – CC Bank European Wts Nov 2016 A	100,000,000	2016/02/19	2016/11/01	25.50
26457	J P Morgan SP BV – HSBC European Warrants Sep 2016 D	100,000,000	2016/02/19	2016/09/30	28.80
26462	J P Morgan SP BV – HSCEI European Wts Sep 2016 B	200,000,000	2016/02/19	2016/09/29	31.60
26463	J P Morgan SP BV – HSCEI European Put Warrants Dec 2016 B	200,000,000	2016/02/19	2016/12/29	50.00
26467	J P Morgan SP BV – ICBC European Warrants Sep 2016 B	100,000,000	2016/02/19	2016/09/05	25.20
10378	J P Morgan SP BV – N225 Index Euro Wts Jan 2017 A	100,000,000	2016/02/19	2017/01/13	25.30
10379	J P Morgan SP BV – N225 Idx Euro Put Wts Feb 2017 A	100,000,000	2016/02/19	2017/02/10	25.20
26469	J P Morgan SP BV – SPDR Gold Trust European Wts Sep 2016 A	20,000,000	2016/02/19	2016/09/05	16.50
26466	J P Morgan SP BV – Tracker Fund European Warrants Feb 2017 A	100,000,000	2016/02/19	2017/02/28	15.10
26460	J P Morgan SP BV – Zijin Mining European Warrants Nov 2016 A	60,000,000	2016/02/19	2016/11/30	18.18
26450	Macquarie Bank Ltd. – China Mobile European Put Wts Oct 16 B	40,000,000	2016/02/19	2016/10/04	10.00
26474	Macquarie Bank Ltd. – HSBC European Put Warrants Sep 2016 A	40,000,000	2016/02/19	2016/09/02	10.00
26429	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Dec16A	25,000,000	2016/02/19	2016/12/05	10.00
26432	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Dec16A	120,000,000	2016/02/19	2016/12/05	32.40
26436	UBS AG – AIA European Warrants Sep 2016 B	100,000,000	2016/02/19	2016/09/19	39.00
26437	UBS AG – China Life European Warrants Sep 2016 A	100,000,000	2016/02/19	2016/09/19	25.00
26438	UBS AG – Minsheng Bank European Warrants Dec 2018 A	70,000,000	2016/02/19	2018/12/03	10.50
26434	UBS AG – HSBC European Warrants Sep 2016 C	100,000,000	2016/02/19	2016/09/19	41.00
10382	BNP Paribas Arbit Issu B.V. – Gold European Wts Dec 2016 A	50,000,000	2016/02/22	2016/12/16	12.50
10383	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 16 B	50,000,000	2016/02/22	2016/12/16	12.50
10380	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Nov 2016 A	50,000,000	2016/02/22	2016/11/25	12.50
10381	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Nov 2016 B	50,000,000	2016/02/22	2016/11/25	12.50
26480	Credit Suisse AG – China Life European Put Wts Sep 2016 B	60,000,000	2016/02/22	2016/09/05	15.00
26484	Goldman Sachs SP (Asia) – AIA European Warrants Aug 2016 B	80,000,000	2016/02/22	2016/08/30	33.92
26483	Goldman Sachs SP (Asia) – PetroChina European Put Wts Sep16A	80,000,000	2016/02/22	2016/09/29	47.76
26477	HK Bank – BOCL European Warrants Sep 2016 B	80,000,000	2016/02/22	2016/09/30	20.00
26476	HK Bank – CC Bank European Warrants Sep 2016 B	80,000,000	2016/02/22	2016/09/30	24.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26475	HK Bank – Ping An European Warrants Aug 2016 B	80,000,000	2016/02/22	2016/08/31	20.00
26481	J P Morgan SP BV – Ch Overseas European Warrants Nov 2016 A	100,000,000	2016/02/22	2016/11/01	25.10
26482	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Sep 2016 A	38,000,000	2016/02/22	2016/09/02	10.03
26478	Macquarie Bank Ltd. – Ping An European Warrants Jan 2017 B	40,000,000	2016/02/22	2017/01/04	10.00
26479	SGA Societe Generale Acceptance N.V. – CITIC Sec Eu Wt Jan17A	40,000,000	2016/02/22	2017/01/05	10.00
10386	UBS AG – Nikkei 225 European Warrants Sep 2016 A	100,000,000	2016/02/22	2016/09/09	25.00
10387	UBS AG – Nikkei 225 European Warrants Sep 2016 B	100,000,000	2016/02/22	2016/09/09	27.50
10384	UBS AG – Nikkei 225 European Warrants Dec 2016 C	100,000,000	2016/02/22	2016/12/09	25.00
10385	UBS AG – Nikkei 225 European Warrants Dec 2016 D	100,000,000	2016/02/22	2016/12/09	25.00
10388	UBS AG – Nikkei 225 European Put Warrants Dec 2016 E	100,000,000	2016/02/22	2016/12/09	25.00
26492	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Sep 2016 B	100,000,000	2016/02/23	2016/09/29	17.00
26494	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2016 A	100,000,000	2016/02/23	2016/12/29	16.00
26508	Credit Suisse AG – Agricultural Bank European Wts Sep 2016 A	60,000,000	2016/02/23	2016/09/05	15.00
26507	Credit Suisse AG – CITIC European Warrants Sep 2016 A	50,000,000	2016/02/23	2016/09/05	25.00
26506	Credit Suisse AG – HKEX European Warrants Nov 2016 C	180,000,000	2016/02/23	2016/11/07	27.00
26513	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2016 B	80,000,000	2016/02/23	2016/08/02	12.08
26514	Goldman Sachs SP (Asia) – CM Bank European Wts May 2017 A	80,000,000	2016/02/23	2017/05/31	20.32
26512	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Sep 2018 A	80,000,000	2016/02/23	2018/09/26	13.76
26516	Goldman Sachs SP (Asia) – HSCEI European Warrants Dec 2016 C	150,000,000	2016/02/23	2016/12/29	23.10
26489	HK Bank – HSCEI European Warrants Sep 2016 B	180,000,000	2016/02/23	2016/09/29	27.00
26490	HK Bank – HSCEI European Warrants Dec 2016 B	180,000,000	2016/02/23	2016/12/29	27.00
26487	HK Bank – HSI European Warrants Jul 2016 E	180,000,000	2016/02/23	2016/07/28	27.00
26488	HK Bank – HSI European Warrants Sep 2016 E	180,000,000	2016/02/23	2016/09/29	27.00
26491	HK Bank – HSI European Put Warrants Aug 2016 D	180,000,000	2016/02/23	2016/08/30	45.00
26485	HK Bank – HSI European Put Warrants Sep 2016 D	180,000,000	2016/02/23	2016/09/29	32.40
26501	Macquarie Bank Ltd. – China Agri European Wts Mar 2017 A	20,000,000	2016/02/23	2017/03/02	10.00
26495	Macquarie Bank Ltd. – CGN Power European Warrants Dec 2016 A	24,000,000	2016/02/23	2016/12/02	10.20
26499	Macquarie Bank Ltd. – Ch LongYuan European Wts May 2017 A	9,500,000	2016/02/23	2017/05/16	10.01
26496	Macquarie Bank Ltd. – Lenovo European Warrants Sep 2016 A	18,000,000	2016/02/23	2016/09/02	10.01
26503	SGA Societe Generale Acceptance N.V. – COVS Eu Wts Nov 2016 A	40,000,000	2016/02/23	2016/11/03	10.60
26505	SGA Societe Generale Acceptance N.V. – Ch Vanke Eu Wts Dec16 A	40,000,000	2016/02/23	2016/12/05	10.60
26510	UBS AG – CNOOC European Warrants Sep 2016 B	50,000,000	2016/02/23	2016/09/26	32.50
26511	UBS AG – HSI European Put Warrants Sep 2016 J	300,000,000	2016/02/23	2016/09/29	75.00
26509	UBS AG – PetroChina European Warrants Sep 2016 A	50,000,000	2016/02/23	2016/09/26	20.00
26524	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Oct 2016 A	40,000,000	2016/02/24	2016/10/04	10.80
26521	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2016 C	60,000,000	2016/02/24	2016/09/02	10.20
26518	HK Bank – China Life European Warrants Aug 2016 A	80,000,000	2016/02/24	2016/08/01	12.00
26517	HK Bank – Stanchart European Warrants Aug 2016 A	40,000,000	2016/02/24	2016/08/31	18.00
26526	J P Morgan SP BV – CGN Power European Warrants Oct 2016 A	60,000,000	2016/02/24	2016/10/14	22.74
26519	Macquarie Bank Ltd. – Tencent European Warrants Sep 2016 A	25,000,000	2016/02/24	2016/09/02	10.03
26525	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Dec 2016 C	200,000,000	2016/02/24	2016/12/05	33.00
26532	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2016 F	150,000,000	2016/02/25	2016/08/30	23.85
26539	J P Morgan SP BV – Sinopec Corp Eu Put Wt Oct 2016 B	100,000,000	2016/02/25	2016/10/03	33.70
26538	J P Morgan SP BV – Galaxy Ent European Put Wts Oct 2016 B	100,000,000	2016/02/25	2016/10/03	35.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26540	J P Morgan SP BV – HSI European Put Warrants Sep 2016 C	300,000,000	2016/02/25	2016/09/29	46.80
26531	Macquarie Bank Ltd. – Galaxy Ent European Wts Jul 2016 B	67,000,000	2016/02/25	2016/07/05	10.05
26530	Macquarie Bank Ltd. – Sands China European Wts Jul 2016 A	60,000,000	2016/02/25	2016/07/05	10.02
26527	Macquarie Bank Ltd. – SHK Ppt European Warrants Sep 2016 A	23,000,000	2016/02/25	2016/09/02	10.14
10389	SGA Societe Generale Acceptance NV – Nikkei 225 Eu Wt Dec16C	150,000,000	2016/02/25	2016/12/09	37.50
10390	SGA Societe Generale Acceptance NV – N225 Eu Put Wt Dec 2016 D	150,000,000	2016/02/25	2016/12/09	37.50
26541	J P Morgan SP BV – MGM China European Warrants Oct 2017 A	100,000,000	2016/02/26	2017/10/03	25.00
26544	Macquarie Bank Ltd. – Ali Pictures European Warrants May17 A	11,000,000	2016/02/26	2017/05/04	10.16
26545	Macquarie Bank Ltd. – ICBC European Put Warrants Mar 2017 C	40,000,000	2016/02/26	2017/03/02	10.00
26542	Macquarie Bank Ltd. – Tencent European Warrants Sep 2016 B	12,000,000	2016/02/26	2016/09/02	10.03
26548	SGA Societe Generale Acceptance N.V. – HKEX Eu Wt Jun 2016 E	200,000,000	2016/02/26	2016/06/03	30.00
26546	UBS AG – Geely Auto European Warrants Oct 2016 A	40,000,000	2016/02/26	2016/10/24	17.80
26547	UBS AG – Galaxy Ent European Warrants Sep 2016 A	70,000,000	2016/02/26	2016/09/30	21.70
26555	Goldman Sachs SP (Asia) – A50 China European Wts Aug 2016 D	60,000,000	2016/02/29	2016/08/31	22.50
26554	J P Morgan SP BV – HSBC European Put Warrants Oct 2016 B	100,000,000	2016/02/29	2016/10/03	25.20
26549	Macquarie Bank Ltd. – Shenzhen Int'l European Wts Mar 2017 A	40,000,000	2016/02/29	2017/03/02	10.00
26550	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2016 D	100,000,000	2016/02/29	2016/10/05	42.00
26553	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2016 A	100,000,000	2016/02/29	2016/12/05	25.00
26566	BOCI Asia Ltd. – HSBC European Warrants Sep 2016 B	100,000,000	2016/03/01	2016/09/09	28.00
26567	BOCI Asia Ltd. – HSBC European Put Warrants Sep 2016 C	100,000,000	2016/03/01	2016/09/02	32.00
26575	Credit Suisse AG – ChiUnicom European Warrants Dec 2016 B	100,000,000	2016/03/01	2016/12/12	15.00
26576	Credit Suisse AG – Galaxy Ent European Warrants Sep 2016 A	80,000,000	2016/03/01	2016/09/05	20.00
26582	Goldman Sachs SP (Asia) – CHALCO European Wts Sep 2016 A	22,000,000	2016/03/01	2016/09/01	10.38
26580	Goldman Sachs SP (Asia) – GCL-Poly Energy Euro Wts Sep16 A	40,000,000	2016/03/01	2016/09/30	10.00
26556	HK Bank – Galaxy Ent European Warrants Oct 2016 B	50,000,000	2016/03/01	2016/10/31	12.50
26561	HK Bank – HKEX European Warrants Dec 2016 B	100,000,000	2016/03/01	2016/12/28	16.00
26562	HK Bank – HKEX European Warrants May 2017 A	100,000,000	2016/03/01	2017/05/31	15.00
26558	HK Bank – HKEX European Put Warrants Oct 2016 B	100,000,000	2016/03/01	2016/10/31	15.00
26559	HK Bank – HSI European Warrants Aug 2016 E	180,000,000	2016/03/01	2016/08/30	27.00
26573	Macquarie Bank Ltd. – AIA European Put Warrants Oct 2016 A	26,000,000	2016/03/01	2016/10/04	10.19
26574	Macquarie Bank Ltd. – Tencent European Put Wts Sep 2016 C	12,000,000	2016/03/01	2016/09/08	10.02
26579	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Aug 2016 B	100,000,000	2016/03/01	2016/08/03	20.00
26578	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Jun 2017 A	100,000,000	2016/03/01	2017/06/05	25.00
26569	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Sep16B	80,000,000	2016/03/01	2016/09/05	20.00
26577	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Sep 2016B	60,000,000	2016/03/01	2016/09/05	16.80
26570	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Aug16B	120,000,000	2016/03/01	2016/08/03	25.80
26572	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Oct16A	80,000,000	2016/03/01	2016/10/05	20.00
19905 #	BOCI Asia Ltd. – Tencent Holdings European Wts Jun 2016 A	100,000,000	2016/03/01	2016/06/27	11.40
26592	Credit Suisse AG – HSBC European Wts Oct 2016 B	120,000,000	2016/03/02	2016/10/05	30.00
26596	J P Morgan SP BV – AIA European Warrants Oct 2016 A	100,000,000	2016/03/02	2016/10/03	25.10
26590	J P Morgan SP BV – HSBC European Warrants Nov 2016 A	100,000,000	2016/03/02	2016/11/03	25.10
26595	J P Morgan SP BV – HSBC European Warrants Nov 2016 B	100,000,000	2016/03/02	2016/11/04	34.40
26594	Macquarie Bank Ltd. – China Vanke European Warrants Apr17A	30,000,000	2016/03/02	2017/04/04	10.56
26583	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2016 D	150,000,000	2016/03/02	2016/07/28	22.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26585	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2016 D	150,000,000	2016/03/02	2016/08/30	24.75
26589	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2016 F	150,000,000	2016/03/02	2016/09/29	22.50
26591	UBS AG – HSBC European Warrants Nov 2016 A	100,000,000	2016/03/02	2016/11/14	25.00
26598	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2016 D	200,000,000	2016/03/03	2016/12/09	30.00
26614	Credit Suisse AG – CKI Holdings European Warrants Sep 2016 A	30,000,000	2016/03/03	2016/09/30	10.50
26611	Credit Suisse AG – Geely Auto European Warrants Sep 2016 A	35,000,000	2016/03/03	2016/09/05	10.50
26612	Credit Suisse AG – HSBC European Wts Oct 2016 C	120,000,000	2016/03/03	2016/10/05	40.80
26613	Credit Suisse AG – Stanchart European Warrants Sep 2016 B	30,000,000	2016/03/03	2016/09/05	19.50
26617	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2016 C	120,000,000	2016/03/03	2016/09/30	18.00
26599	HK Bank – Agricultural Bank European Warrants Aug 2016 B	80,000,000	2016/03/03	2016/08/31	14.40
26604	HK Bank – China Shenhua European Warrants Jan 2017 A	80,000,000	2016/03/03	2017/01/27	12.00
26605	HK Bank – Geely Auto European Warrants Sep 2016 A	50,000,000	2016/03/03	2016/09/30	15.00
26602	HK Bank – SHK Ppt European Warrants Oct 2017 A	100,000,000	2016/03/03	2017/10/31	15.00
26601	HK Bank – Zijin Mining European Warrants Sep 2016 A	50,000,000	2016/03/03	2016/09/30	14.00
26615	J P Morgan SP BV – CAM CSI300 European Warrants Nov 2016 A	100,000,000	2016/03/03	2016/11/01	25.70
26616	J P Morgan SP BV – Stanchart European Warrants Oct 2016 A	100,000,000	2016/03/03	2016/10/04	34.80
26608	Macquarie Bank Ltd. – HSBC European Warrants Sep 2016 B	62,000,000	2016/03/03	2016/09/02	10.11
26609	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Nov16B	80,000,000	2016/03/03	2016/11/03	23.60
26606	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2016 C	100,000,000	2016/03/03	2016/09/05	18.50
26610	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Sep16C	30,000,000	2016/03/03	2016/09/05	35.40
26621	HK Bank – HSI European Put Warrants Mar 2017 A	180,000,000	2016/03/04	2017/03/30	45.00
26628	HK Bank – HSI European Put Warrants Jun 2017 A	180,000,000	2016/03/04	2017/06/29	45.00
26639	J P Morgan SP BV – CKI Holdings European Warrants Sep 2016 A	40,000,000	2016/03/04	2016/09/06	12.40
26620	Macquarie Bank Ltd. – CNOOC European Warrants Sep 2016 C	18,000,000	2016/03/04	2016/09/05	10.19
26631	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep16A	80,000,000	2016/03/04	2016/09/05	21.60
26634	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Oct16C	22,000,000	2016/03/04	2016/10/05	10.23
26636	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec16B	500,000,000	2016/03/04	2016/12/05	75.00
26197 #	BOCI Asia Ltd. – China Life European Warrants Aug 2016 B	150,000,000	2016/03/04	2016/08/08	12.45
26667	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2016 C	100,000,000	2016/03/07	2016/09/29	15.00
26668	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2016 D	100,000,000	2016/03/07	2016/09/29	18.00
26669	Credit Suisse AG – ICBC European Warrants Sep 2016 B	70,000,000	2016/03/07	2016/09/06	17.50
26650	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2016 B	150,000,000	2016/03/07	2016/09/13	29.70
26651	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2016 C	150,000,000	2016/03/07	2016/09/30	25.35
26670	Goldman Sachs SP (Asia) – Stanchart European Wts Sep 2016 A	50,000,000	2016/03/07	2016/09/29	21.80
26647	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2016 A	150,000,000	2016/03/07	2016/11/25	22.50
26649	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2016 E	150,000,000	2016/03/07	2016/12/22	33.75
26654	HK Bank – CHALCO European Warrants Sep 2016 A	50,000,000	2016/03/07	2016/09/30	17.50
26653	HK Bank – CITIC Bank European Warrants Sep 2016 A	50,000,000	2016/03/07	2016/09/30	15.00
26657	HK Bank – CSOP A50 ETF European Warrants Oct 2017 A	180,000,000	2016/03/07	2017/10/30	27.00
26662	HK Bank – Foxconn European Warrants Sep 2016 A	50,000,000	2016/03/07	2016/09/30	12.50
26661	HK Bank – Fosun Intl European Warrants Aug 2016 A	80,000,000	2016/03/07	2016/08/24	12.00
26659	HK Bank – A50 China European Warrants Dec 2017 A	180,000,000	2016/03/07	2017/12/29	27.00
26656	HK Bank – HSI European Warrants Jun 2017 B	180,000,000	2016/03/07	2017/06/29	45.00
26641	Haitong Int'l Sec – HKEX European Warrants Sep 2016 A	200,000,000	2016/03/07	2016/09/30	30.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26643	Haitong Int'l Sec – Haitong Sec European Warrants Sep 2016 A	80,000,000	2016/03/07	2016/09/30	12.00
26683	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2016 C	100,000,000	2016/03/08	2016/08/30	15.60
26686	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2016 A	100,000,000	2016/03/08	2016/09/01	19.50
26671	HK Bank – CGS European Warrants Nov 2017 A	80,000,000	2016/03/08	2017/11/30	12.00
26673	HK Bank – CKH European Warrants Nov 2017 A	100,000,000	2016/03/08	2017/11/30	15.00
26674	HK Bank – Minsheng Bank European Warrants Dec 2018 A	100,000,000	2016/03/08	2018/12/04	15.00
26672	HK Bank – ICBC European Warrants Sep 2016 B	80,000,000	2016/03/08	2016/09/30	20.00
26675	HK Bank – ICBC European Warrants Sep 2016 C	80,000,000	2016/03/08	2016/09/30	24.00
26676	J P Morgan SP BV – China Life European Warrants Nov 2016 A	100,000,000	2016/03/08	2016/11/01	25.00
26682	J P Morgan SP BV – Sands China European Warrants Oct 2016 A	100,000,000	2016/03/08	2016/10/03	25.00
26681	Macquarie Bank Ltd. – China Life European Wts Nov 2016 A	36,000,000	2016/03/08	2016/11/02	10.01
26677	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2016 B	150,000,000	2016/03/08	2016/10/05	37.50
26678	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Nov16B	15,000,000	2016/03/08	2016/11/03	10.05
26680	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Oct16B	40,000,000	2016/03/08	2016/10/05	10.20
26687	BOCI Asia Ltd. – China Life European Warrants Oct 2016 A	200,000,000	2016/03/09	2016/10/31	34.00
26693	BNP Paribas Arbit Issu B.V. – AIA European Wts Sep 2016 B	40,000,000	2016/03/09	2016/09/02	12.40
26688	BNP Paribas Arbit Issu B.V. – HKEX European Wts Jan 2017 A	60,000,000	2016/03/09	2017/01/04	10.20
26689	BNP Paribas Arbit Issu B.V. – HKEX Euro Put Wts Nov 2016 A	60,000,000	2016/03/09	2016/11/02	10.20
26702	Credit Suisse AG – AIA European Warrants Oct 2016 A	80,000,000	2016/03/09	2016/10/05	20.00
26700	Credit Suisse AG – Galaxy Ent European Put Wts Jul 2016 C	60,000,000	2016/03/09	2016/07/05	11.40
26709	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2017 A	100,000,000	2016/03/09	2017/03/30	16.60
26705	J P Morgan SP BV – CC Bank Euro Put Wts Oct 2016 B	100,000,000	2016/03/09	2016/10/03	27.70
26703	J P Morgan SP BV – HSI European Put Warrants Sep 2016 D	300,000,000	2016/03/09	2016/09/29	75.30
26696	Macquarie Bank Ltd. – Kingsoft European Warrants Oct 2016 A	40,000,000	2016/03/09	2016/10/04	10.00
26698	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2016 D	150,000,000	2016/03/09	2016/09/05	22.50
26707	UBS AG – China Life European Warrants Dec 2016 A	100,000,000	2016/03/09	2016/12/30	25.00
26706	UBS AG – Galaxy Ent European Put Warrants Oct 2016 A	60,000,000	2016/03/09	2016/10/24	25.50
26704	UBS AG – HSI European Put Warrants Jul 2016 B	300,000,000	2016/03/09	2016/07/28	75.00
26712	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2016 F	200,000,000	2016/03/10	2016/07/28	39.80
26713	J P Morgan SP BV – Yanzhou Coal European Warrants Sep 2017 A	100,000,000	2016/03/10	2017/09/01	15.10
26711	Macquarie Bank Ltd. – CKP European Warrants Oct 2016 A	30,000,000	2016/03/10	2016/10/04	10.05
26710	Macquarie Bank Ltd. – ICBC European Warrants Jun 2017 A	40,000,000	2016/03/10	2017/06/02	10.00
24797 #	BOCI Asia Ltd. – Tencent Holdings European Wts Jul 2016 C	150,000,000	2016/03/10	2016/07/11	15.15
26744	Credit Suisse AG – HKEX European Warrants Jun 2017 B	180,000,000	2016/03/11	2017/06/05	27.00
26734	HK Bank – China Life European Warrants Sep 2016 A	100,000,000	2016/03/11	2016/09/30	25.00
26733	HK Bank – China Life European Warrants Dec 2016 A	100,000,000	2016/03/11	2016/12/30	25.00
26723	HK Bank – CSOP A50 ETF European Warrants Dec 2016 C	180,000,000	2016/03/11	2016/12/02	27.00
26736	HK Bank – HSCEI European Warrants Sep 2016 D	180,000,000	2016/03/11	2016/09/29	45.00
26735	HK Bank – HSCEI European Warrants Jun 2017 A	180,000,000	2016/03/11	2017/06/29	45.00
26716	HK Bank – HSCEI European Put Warrants Sep 2016 C	180,000,000	2016/03/11	2016/09/29	45.00
26717	HK Bank – HSI European Put Warrants Sep 2016 F	180,000,000	2016/03/11	2016/09/29	32.40
26719	HK Bank – PetroChina European Warrants Sep 2016 B	80,000,000	2016/03/11	2016/09/30	28.00
26718	HK Bank – Ping An European Warrants Sep 2016 C	80,000,000	2016/03/11	2016/09/30	24.00
26720	HK Bank – Sands China European Warrants Sep 2016 A	80,000,000	2016/03/11	2016/09/30	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26755	J P Morgan SP BV – Techtronic European Warrants Nov 2016 A	40,000,000	2016/03/11	2016/11/01	15.76
26737	Macquarie Bank Ltd. – CKI Holdings European Warrants Dec16A	22,000,000	2016/03/11	2016/12/02	10.05
26739	Macquarie Bank Ltd. – China Life European Wts Dec 2016 B	40,000,000	2016/03/11	2016/12/02	10.00
26745	Macquarie Bank Ltd. – Samsonite European Warrants Oct 2016 A	40,000,000	2016/03/11	2016/10/04	10.00
26728	SGA Societe Generale Acceptance N.V. – Galaxy Eu Put Wt Oct16A	80,000,000	2016/03/11	2016/10/05	21.20
26747	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2016 E	150,000,000	2016/03/11	2016/08/30	22.50
26748	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2016 G	150,000,000	2016/03/11	2016/09/29	22.50
26753	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2016 H	150,000,000	2016/03/11	2016/09/29	22.50
26754	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2016 C	150,000,000	2016/03/11	2016/12/29	22.50
26730	SGA Societe Generale Acceptance NV – Sands Ch Eu Put Wt Oct16B	80,000,000	2016/03/11	2016/10/05	20.40
26742	UBS AG – Ping An European Warrants Aug 2016 F	100,000,000	2016/03/11	2016/08/08	18.20
26743	UBS AG – Stanchart European Warrants Oct 2016 A	50,000,000	2016/03/11	2016/10/11	23.75
26757	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Oct 2016 A	60,000,000	2016/03/14	2016/10/04	15.00
26758	BNP Paribas Arbit Issu B.V. – HS H-SHARE Euro Wts Oct 2016 A	40,000,000	2016/03/14	2016/10/04	17.60
26773	Goldman Sachs SP (Asia) – HKEX European Warrants May 2017 B	250,000,000	2016/03/14	2017/05/29	37.50
26756	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2016 E	200,000,000	2016/03/14	2016/09/29	33.60
26763	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2016 F	100,000,000	2016/03/14	2016/08/08	17.50
26764	J P Morgan SP BV – HSI European Put Warrants Jun 2016 G	300,000,000	2016/03/14	2016/06/29	45.00
26767	J P Morgan SP BV – Samsonite European Warrants Sep 2016 A	60,000,000	2016/03/14	2016/09/15	15.00
26761	Macquarie Bank Ltd. – Ali Health European Warrants May 2017 A	40,000,000	2016/03/14	2017/05/04	10.00
26759	Macquarie Bank Ltd. – Geely Auto European Wts Oct 2016 A	30,000,000	2016/03/14	2016/10/04	10.02
26762	Macquarie Bank Ltd. – Greatwall Motor European Wts Sep 2017 A	40,000,000	2016/03/14	2017/09/04	10.00
24721 #	Credit Suisse AG – Tencent Holdings European Wts Sep 2016 A	150,000,000	2016/03/14	2016/09/30	10.95
26784	BOCI Asia Ltd. – CNOOC European Warrants Oct 2016 A	100,000,000	2016/03/15	2016/10/03	61.00
26785	BOCI Asia Ltd. – Sinopec Corp European Warrants Oct 2016 A	100,000,000	2016/03/15	2016/10/11	44.20
26781	BOCI Asia Ltd. – Tencent Holdings European Wts Jan 2017 A	200,000,000	2016/03/15	2017/01/04	31.80
26797	BNP Paribas Arbit Issu B.V. – CKI Holdings Euro Wts Oct16A	32,000,000	2016/03/15	2016/10/04	10.24
26795	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2016 C	60,000,000	2016/03/15	2016/08/02	14.40
26780	Haitong Int'l Sec – Ch Comm Cons European Warrants Oct 2017 A	80,000,000	2016/03/15	2017/10/30	14.40
26779	Haitong Int'l Sec – China Mobile European Warrants Jul 2016 A	80,000,000	2016/03/15	2016/07/04	12.88
26778	Haitong Int'l Sec – CNOOC European Warrants May 2018 A	80,000,000	2016/03/15	2018/05/28	12.00
26774	Haitong Int'l Sec – HSBC European Warrants Aug 2016 A	100,000,000	2016/03/15	2016/08/30	17.10
26775	Haitong Int'l Sec – Tencent European Warrants Dec 2016 A	100,000,000	2016/03/15	2016/12/30	15.00
26788	J P Morgan SP BV – HSI European Warrants Sep 2016 E	300,000,000	2016/03/15	2016/09/29	45.00
26787	J P Morgan SP BV – Tencent European Warrants Nov 2016 A	200,000,000	2016/03/15	2016/11/30	30.20
26789	Macquarie Bank Ltd. – BYD European Warrants Nov 2016 A	11,000,000	2016/03/15	2016/11/02	10.01
26790	Macquarie Bank Ltd. – CC Bank European Warrants Oct 2016 A	22,000,000	2016/03/15	2016/10/04	10.01
26800	Macquarie Bank Ltd. – Cathay Pacific European Wts Oct 2017 A	40,000,000	2016/03/15	2017/10/04	10.00
26806	Goldman Sachs SP (Asia) – Agri Bk European Wts Sep 2016 B	100,000,000	2016/03/16	2016/09/02	15.20
26805	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Sep 2016 A	50,000,000	2016/03/16	2016/09/01	10.75
26807	Goldman Sachs SP (Asia) – NCI European Warrants Sep 2016 B	40,000,000	2016/03/16	2016/09/30	13.44
26808	J P Morgan SP BV – CITIC European Warrants Dec 2017 A	100,000,000	2016/03/16	2017/12/29	15.20
26801	SGA Societe Generale Acceptance NV – Chi Res Land Eu Wt Nov16A	35,000,000	2016/03/16	2016/11/03	10.50
26802	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 2016 B	20,000,000	2016/03/16	2016/10/05	11.60

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26803	SGA Societe Generale Acceptance N.V. – Shimao Ppt Eu Wt Aug17A	50,000,000	2016/03/16	2017/08/02	11.00
26804	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Nov16A	18,000,000	2016/03/16	2016/11/03	10.62
26824	Goldman Sachs SP (Asia) – Lenovo European Wts Apr 2018 A	80,000,000	2016/03/17	2018/04/30	12.16
26811	HK Bank – BOC HK European Warrants Jan 2017 A	80,000,000	2016/03/17	2017/01/27	12.80
26812	HK Bank – CNOOC European Put Warrants Nov 2017 A	80,000,000	2016/03/17	2017/11/30	12.00
26818	Haitong Int'l Sec – BOCL European Warrants Aug 2016 A	80,000,000	2016/03/17	2016/08/29	12.00
26815	Haitong Int'l Sec – GAC European Warrants Nov 2017 A	65,000,000	2016/03/17	2017/11/27	10.53
26813	Haitong Int'l Sec – ICBC European Warrants Sep 2016 A	40,000,000	2016/03/17	2016/09/30	10.00
26819	Haitong Int'l Sec – PetroChina European Warrants Sep 2016 A	40,000,000	2016/03/17	2016/09/30	10.00
26814	Haitong Int'l Sec – Ping An European Warrants Sep 2016 A	50,000,000	2016/03/17	2016/09/29	10.50
26823	J P Morgan SP BV – Galaxy Ent European Put Wts Nov 2016 A	100,000,000	2016/03/17	2016/11/01	25.00
26820	Macquarie Bank Ltd. – CITIC European Warrants Oct 2016 B	15,000,000	2016/03/17	2016/10/04	10.71
26822	UBS AG – Kingsoft European Warrants Nov 2016 A	100,000,000	2016/03/17	2016/11/14	28.50
26821	UBS AG – Zijin Mining European Warrants Nov 2016 A	40,000,000	2016/03/17	2016/11/21	12.80
26838	Credit Suisse AG – Ping An European Warrants Oct 2016 C	80,000,000	2016/03/18	2016/10/04	12.00
26837	Bank of East Asia – BYD European Warrants Dec 2018 A	100,000,000	2016/03/18	2018/12/31	25.00
26835	Bank of East Asia – CC Bank European Warrants Nov 2016 A	40,000,000	2016/03/18	2016/11/04	10.00
26836	Bank of East Asia – CM Bank European Warrants Mar 2017 A	40,000,000	2016/03/18	2017/03/03	10.00
26834	Bank of East Asia – Stanchart European Warrants Dec 2018 A	100,000,000	2016/03/18	2018/12/31	25.00
26825	HK Bank – AIA European Warrants Sep 2016 A	80,000,000	2016/03/18	2016/09/30	24.00
26827	HK Bank – AIA European Put Warrants Sep 2016 B	50,000,000	2016/03/18	2016/09/30	12.50
26833	Macquarie Bank Ltd. – AIA European Warrants Oct 2016 B	32,000,000	2016/03/18	2016/10/04	10.18
26829	Macquarie Bank Ltd. – CHALCO European Warrants Oct 2016 A	24,000,000	2016/03/18	2016/10/04	10.25
26832	Macquarie Bank Ltd. – Tencent European Warrants Oct 2016 C	17,000,000	2016/03/18	2016/10/04	10.05
26831	UBS AG – BOCL European Warrants Feb 2017 A	60,000,000	2016/03/18	2017/02/24	10.20
26830	UBS AG – Yanzhou Coal European Wts Dec 2017 A	70,000,000	2016/03/18	2017/12/27	12.39
26843	Credit Suisse AG – AIA European Put Warrants Nov 2016 A	70,000,000	2016/03/21	2016/11/02	17.50
26840	Credit Suisse AG – BOCL European Warrants Oct 2016 A	80,000,000	2016/03/21	2016/10/03	12.00
26844	Credit Suisse AG – CNBM European Warrants Oct 2016 A	30,000,000	2016/03/21	2016/10/03	14.10
26850	Goldman Sachs SP (Asia) – CITIC European Warrants Oct 2016 A	80,000,000	2016/03/21	2016/10/28	50.48
26849	J P Morgan SP BV – HSI European Put Warrants Sep 2016 F	300,000,000	2016/03/21	2016/09/29	45.30
26848	Macquarie Bank Ltd. – HKEX European Warrants Dec 2016 B	50,000,000	2016/03/21	2016/12/02	10.00
26846	UBS AG – Fosun Intl European Warrants Aug 2016 C	70,000,000	2016/03/21	2016/08/24	10.50
26847	UBS AG – Greatwall Motor European Warrants Feb 2017 A	40,000,000	2016/03/21	2017/02/27	10.00
26856	BOCI Asia Ltd. – Galaxy Ent European Put Warrants Nov 2016 A	100,000,000	2016/03/22	2016/11/07	33.00
26857	BOCI Asia Ltd. – Sands China European Warrants Oct 2016 A	100,000,000	2016/03/22	2016/10/24	32.00
26860	BOCI Asia Ltd. – Sands China European Put Wts Oct 2016 B	100,000,000	2016/03/22	2016/10/17	37.00
26861	BOCI Asia Ltd. – Tracker Fund European Warrants Jun 2017 A	100,000,000	2016/03/22	2017/06/26	25.00
26871	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2016 A	100,000,000	2016/03/22	2016/08/01	15.10
26872	Goldman Sachs SP (Asia) – HSBC European Put Wts Sep 2016 D	80,000,000	2016/03/22	2016/09/02	12.32
26864	HK Bank – CITIC European Warrants Dec 2017 A	80,000,000	2016/03/22	2017/12/28	12.00
26865	HK Bank – China Overseas European Warrants Oct 2016 A	80,000,000	2016/03/22	2016/10/31	12.00
26862	HK Bank – CRRC European Warrants Jul 2017 A	80,000,000	2016/03/22	2017/07/31	20.00
26869	J P Morgan SP BV – AIA European Put Warrants Jul 2016 A	100,000,000	2016/03/22	2016/07/29	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26852	Macquarie Bank Ltd. – Anta Sports European Wts Dec 2016 A	29,000,000	2016/03/22	2016/12/19	10.01
26867	Macquarie Bank Ltd. – NCI European Warrants Mar 2017 A	32,000,000	2016/03/22	2017/03/02	10.02
26866	Macquarie Bank Ltd. – Ping An European Warrants Aug 2016 B	45,000,000	2016/03/22	2016/08/29	10.13
26853	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2016 D	14,000,000	2016/03/22	2016/10/04	10.01
26884	Credit Suisse AG – Car Inc European Warrants Mar 2017 A	40,000,000	2016/03/23	2017/03/31	10.00
26880	Credit Suisse AG – Country Garden European Warrants Sep16A	40,000,000	2016/03/23	2016/09/30	13.60
26874	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2016 F	200,000,000	2016/03/23	2016/09/29	30.00
26885	Haitong Int'l Sec – CSOP A50 ETF European Warrants Nov 2016 A	100,000,000	2016/03/23	2016/11/28	15.00
26886	Haitong Int'l Sec – CSOP A50 ETF European Warrants Sep 2018 A	80,000,000	2016/03/23	2018/09/28	20.00
26890	Haitong Int'l Sec – CSOP A50 ETF European Put Wts Feb 2017 A	80,000,000	2016/03/23	2017/02/01	20.00
26879	Macquarie Bank Ltd. – SHK Ppt European Put Wts Nov 2016 A	21,000,000	2016/03/23	2016/11/02	10.06
26878	Macquarie Bank Ltd. – Tencent European Warrants Oct 2016 E	10,000,000	2016/03/23	2016/10/04	12.54
26893	Credit Suisse AG – Ping An European Warrants Oct 2016 D	80,000,000	2016/03/24	2016/10/03	20.00
26896	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2016 G	200,000,000	2016/03/24	2016/09/29	31.20
26897	Goldman Sachs SP (Asia) – Ping An European Wts Sep 2016 A	100,000,000	2016/03/24	2016/09/29	18.00
26891	Macquarie Bank Ltd. – China Unicom European Wts Oct 2016 A	40,000,000	2016/03/24	2016/10/04	10.00
26894	UBS AG – CKI Holdings European Warrants Oct 2016 A	40,000,000	2016/03/24	2016/10/11	10.00
26895	UBS AG – HKEX European Warrants Dec 2016 C	300,000,000	2016/03/24	2016/12/12	45.00
26908	BOCI Asia Ltd. – China Life European Warrants Dec 2016 B	200,000,000	2016/03/29	2016/12/21	42.20
26909	BOCI Asia Ltd. – Tencent Holdings European Put Wts Feb 2017 B	100,000,000	2016/03/29	2017/02/08	15.90
26937	Credit Suisse AG – Agricultural Bank European Wts Sep 2016 B	70,000,000	2016/03/29	2016/09/23	10.50
26926	Credit Suisse AG – China Life European Warrants Nov 2016 A	80,000,000	2016/03/29	2016/11/03	20.00
26936	Credit Suisse AG – China Life European Put Wts Nov 2016 B	60,000,000	2016/03/29	2016/11/30	10.80
26935	Credit Suisse AG – China Mobile European Warrants Sep 2016 A	80,000,000	2016/03/29	2016/09/05	16.00
26964	Credit Suisse AG – CRRG European Warrants Jul 2017 A	70,000,000	2016/03/29	2017/07/31	17.50
26969	Credit Suisse AG – Geely Auto European Warrants Sep 2016 B	35,000,000	2016/03/29	2016/09/30	11.20
26968	Credit Suisse AG – Galaxy Ent European Put Wts Oct 2016 A	60,000,000	2016/03/29	2016/10/03	15.00
26939	Credit Suisse AG – HSI European Put Warrants Jul 2016 D	150,000,000	2016/03/29	2016/07/28	22.50
26970	Credit Suisse AG – Kingsoft European Warrants Nov 2016 A	50,000,000	2016/03/29	2016/11/04	12.50
26966	Credit Suisse AG – Sands China European Warrants Oct 2016 A	80,000,000	2016/03/29	2016/10/04	20.00
26933	Credit Suisse AG – Tencent Holdings European Wts Apr 2017 A	150,000,000	2016/03/29	2017/04/03	22.50
26925	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2017 A	100,000,000	2016/03/29	2017/02/02	15.00
26977	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2016 A	80,000,000	2016/03/29	2016/09/30	23.20
26985	Goldman Sachs SP (Asia) – Tencent European Put Wt Jan 2017 B	200,000,000	2016/03/29	2017/01/27	30.20
26919	HK Bank – HSCEI European Put Warrants Sep 2016 E	180,000,000	2016/03/29	2016/09/29	45.00
26921	HK Bank – HSI European Put Warrants Jul 2016 F	180,000,000	2016/03/29	2016/07/28	27.00
26915	HK Bank – HSI European Put Warrants Sep 2016 G	180,000,000	2016/03/29	2016/09/29	45.00
26932	HK Bank – Tencent European Warrants Apr 2017 A	100,000,000	2016/03/29	2017/04/03	15.00
26930	HK Bank – Tencent European Warrants May 2017 A	100,000,000	2016/03/29	2017/05/31	15.00
26920	HK Bank – Tencent European Put Warrants Jan 2017 A	100,000,000	2016/03/29	2017/01/27	18.00
26923	HK Bank – Tencent European Put Warrants Dec 2017 A	80,000,000	2016/03/29	2017/12/29	20.00
26904	Haitong Int'l Sec – AIA European Warrants Sep 2016 A	60,000,000	2016/03/29	2016/09/30	15.00
26905	Haitong Int'l Sec – AIA European Put Warrants Oct 2016 A	70,000,000	2016/03/29	2016/10/28	10.57
26903	Haitong Int'l Sec – Ch Overseas European Warrants Nov 2016 A	70,000,000	2016/03/29	2016/11/07	11.55

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26899	Haitong Int'l Sec – Galaxy Ent European Warrants Aug 2016 A	70,000,000	2016/03/29	2016/08/30	10.71
26902	Haitong Int'l Sec – HKEX European Warrants Dec 2016 A	70,000,000	2016/03/29	2016/12/28	10.50
26976	J P Morgan SP BV – CKI Holdings European Warrants Nov 2016 A	40,000,000	2016/03/29	2016/11/02	14.96
26971	J P Morgan SP BV – Galaxy Ent European Warrants Nov 2016 B	100,000,000	2016/03/29	2016/11/02	25.00
26972	J P Morgan SP BV – Galaxy Ent European Put Wts Nov 2016 C	100,000,000	2016/03/29	2016/11/02	31.10
26973	J P Morgan SP BV – Sands China European Warrants Aug 2016 D	100,000,000	2016/03/29	2016/08/02	16.20
26974	J P Morgan SP BV – Sands China European Put Wts Oct 2016 B	100,000,000	2016/03/29	2016/10/03	32.00
26944	J P Morgan SP BV – Tencent European Put Warrants Dec 2016 F	200,000,000	2016/03/29	2016/12/02	50.20
26940	J P Morgan SP BV – Tencent European Put Warrants Nov 2017 A	200,000,000	2016/03/29	2017/11/03	50.00
26957	Macquarie Bank Ltd. – CEB Bank European Warrants Apr 2017 A	17,000,000	2016/03/29	2017/04/12	10.35
26962	Macquarie Bank Ltd. – CKH European Warrants Nov 2016 A	28,000,000	2016/03/29	2016/11/02	10.02
26961	Macquarie Bank Ltd. – Galaxy Ent European Wts Dec 2016 A	40,000,000	2016/03/29	2016/12/02	10.00
26960	Macquarie Bank Ltd. – Sands China European Wts Nov 2016 A	40,000,000	2016/03/29	2016/11/02	10.00
26959	Macquarie Bank Ltd. – Wynn Macau European Wts Jul 2016 A	67,000,000	2016/03/29	2016/07/04	10.05
26958	Macquarie Bank Ltd. – Wynn Macau European Wts May 2017 A	40,000,000	2016/03/29	2017/05/04	10.00
26947	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Nov16C	80,000,000	2016/03/29	2016/11/03	20.00
26948	SGA Societe Generale Acceptance N.V. – MGM China Eu Wt Jul17A	80,000,000	2016/03/29	2017/07/05	14.40
26950	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Nov16B	80,000,000	2016/03/29	2016/11/03	20.00
26951	SGA Societe Generale Acceptance N.V. – Wynn Eu Wt Nov 2016 A	70,000,000	2016/03/29	2016/11/03	10.50
26963	UBS AG – Tencent Holdings European Put Warrants Jan 2017 A	100,000,000	2016/03/29	2017/01/26	15.60
27005	Credit Suisse AG – Haitong Sec European Warrants Jan 2017 A	60,000,000	2016/03/30	2017/01/27	15.00
27012	Goldman Sachs SP (Asia) – CITIC Sec European Wts Jul 2016 B	80,000,000	2016/03/30	2016/07/29	12.88
27011	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2016 A	100,000,000	2016/03/30	2016/10/28	15.10
26991	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2017 A	200,000,000	2016/03/30	2017/09/01	46.20
27010	Goldman Sachs SP (Asia) – HSCEI European Put Wts Oct 2016 A	150,000,000	2016/03/30	2016/10/28	33.30
26987	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2016 G	200,000,000	2016/03/30	2016/08/30	30.00
26988	Goldman Sachs SP (Asia) – Tencent European Wt May 2017 A	200,000,000	2016/03/30	2017/05/29	30.00
27009	J P Morgan SP BV – GF Sec European Warrants Dec 2016 A	80,000,000	2016/03/30	2016/12/01	20.48
27008	J P Morgan SP BV – HTSC European Warrants Dec 2016 A	80,000,000	2016/03/30	2016/12/01	20.16
26993	Macquarie Bank Ltd. – GCL-Poly Energy European Wts Feb 2017 A	35,000,000	2016/03/30	2017/02/02	10.01
26995	Macquarie Bank Ltd. – HKEX European Warrants Mar 2017 A	40,000,000	2016/03/30	2017/03/02	10.00
26998	SGA Societe Generale Acceptance N.V. – CGS Euro Wts Jul17A	100,000,000	2016/03/30	2017/07/03	17.50
26996	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt Dec16C	30,000,000	2016/03/30	2016/12/05	10.05
27001	SGA Societe Generale Acceptance N.V. – CITIC Sec Eu Wt Nov16A	40,000,000	2016/03/30	2016/11/03	10.60
27039	BNP Paribas Arbit Issu B.V. – CKP European Warrants Nov16A	40,000,000	2016/03/31	2016/11/02	11.20
27035	BNP Paribas Arbit Issu B.V. – HKEX European Wts Aug 2017 A	60,000,000	2016/03/31	2017/08/02	15.00
27038	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Nov 2016 A	40,000,000	2016/03/31	2016/11/02	15.20
27040	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Jun 2017 A	100,000,000	2016/03/31	2017/06/29	18.00
27041	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Dec 2016 B	100,000,000	2016/03/31	2016/12/29	15.00
27037	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Jun 2017 A	80,000,000	2016/03/31	2017/06/02	12.00
27042	Credit Suisse AG – HKEX European Put Warrants Nov 2016 D	100,000,000	2016/03/31	2016/11/02	15.00
27016	Goldman Sachs SP (Asia) – HKEX European Warrants Feb 2017 A	300,000,000	2016/03/31	2017/02/27	45.90
27051	Goldman Sachs SP (Asia) – Kingsoft European Wts Sep 2016 B	40,000,000	2016/03/31	2016/09/30	10.08
27017	HK Bank – Agricultural Bank European Warrants Dec 2016 A	80,000,000	2016/03/31	2016/12/30	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27018	HK Bank – BOCL European Warrants Dec 2016 A	80,000,000	2016/03/31	2016/12/30	20.00
27027	HK Bank – Galaxy Ent European Put Warrants Dec 2016 A	50,000,000	2016/03/31	2016/12/30	17.50
27023	HK Bank – HKEX European Warrants Feb 2017 A	100,000,000	2016/03/31	2017/02/28	15.00
27026	HK Bank – HKEX European Put Warrants Dec 2016 C	80,000,000	2016/03/31	2016/12/28	16.00
27021	HK Bank – HSCEI European Warrants Dec 2016 C	180,000,000	2016/03/31	2016/12/29	45.00
27056	J P Morgan SP BV – Agricultural Bk Euro Warrants Dec 2016 B	100,000,000	2016/03/31	2016/12/02	25.00
27055	J P Morgan SP BV – BankComm European Warrants Nov 2016 A	80,000,000	2016/03/31	2016/11/01	20.24
27052	J P Morgan SP BV – HKEX European Warrants May 2017 A	200,000,000	2016/03/31	2017/05/31	30.60
27054	J P Morgan SP BV – HSCEI European Put Warrants Oct 2016 B	200,000,000	2016/03/31	2016/10/28	36.00
27053	J P Morgan SP BV – Tencent European Warrants Jul 2016 B	100,000,000	2016/03/31	2016/07/04	72.80
27034	Macquarie Bank Ltd. – CLP European Warrants Feb 2017 A	40,000,000	2016/03/31	2017/02/02	10.00
27030	Macquarie Bank Ltd. – China Overseas European Wts Apr 2017 A	40,000,000	2016/03/31	2017/04/04	10.00
27031	Macquarie Bank Ltd. – China Overseas European Put Wts Jan17A	40,000,000	2016/03/31	2017/01/04	10.00
27044	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Sep 2016E	150,000,000	2016/03/31	2016/09/29	28.50
27045	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Dec 2016C	150,000,000	2016/03/31	2016/12/29	24.75
27046	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Nov16A	50,000,000	2016/03/31	2016/11/03	12.50
總 額					31,890.47

進一步發行

主 板 債 務 證 券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2015	第1季	–	1,023.80
	第2季	–	2,000.30
	第3季	–	5,208.52
	第4季	–	1,147.96
2016	第1季	–	2,120.63

債券面值

		發行數目	面值(百萬港元)
2015	第1季	665	2,393,288.98
	第2季	708	2,637,617.58
	第3季	736	2,750,133.27
	第4季	762	2,741,871.80
2016	第1季	769	2,777,917.26

新上市統計－債券

		新上市債券數目	集資金額(百萬港元)
2015	第1季	38	186,536.69
	第2季	59	307,836.43
	第3季	37	141,193.57
	第4季	43	115,190.75
2016	第1季	26	89,996.61

主 板 債 務 證 券

新上市債券

2016年第1季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05600	Ch Overseas Fin Inv 零息有擔保可交換債券2023年	USD 1,500,000,000	2016/01/06	2023/01/05	11,700.00
05602	天譽置業(控股)有限公司0.10%債券2032年	HKD 20,000,000	2016/01/06	2032/11/14	-
05603	ZHIYUAN Group (BVI) Co., Ltd. 6.20%有擔保債券2019年	USD 200,000,000	2016/01/12	2019/01/11	1,560.00
05604	Swire Properties MTN Financing Ltd. 3.625%有擔保票據2026年	USD 500,000,000	2016/01/14	2026/01/13	3,887.05
05605	龍光地產控股有限公司7.70%優先票據2020年	USD 260,000,000	2016/01/20	2020/01/19	2,007.72
05606	Blue Sky Fliers Co. Ltd. 6.90%有擔保票據2019年	USD 180,000,000	2016/01/21	2019/01/20	1,390.96
04230	中華人民共和國香港特別行政區1.68%債券2026年	HKD 1,000,000,000	2016/01/22	2026/01/21	964.70
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 10,000,000	2016/01/22	2032/11/14	-
05607	新昌營造集團有限公司8.50%優先票據2019年	USD 150,000,000	2016/01/25	2019/01/22	1,170.00
05609	Bank of Communications Co., Ltd. HK Branch 2.25%票據2019年	USD 500,000,000	2016/01/26	2019/01/25	3,898.09
05608	CNMC Int'l Capitals Co. Ltd. 2.375%信用增強債券2019年	USD 500,000,000	2016/01/27	2019/01/26	3,878.08
05601	Shandong Iron and Steel Xinheng Int'l 5.75%有擔保債券2021年	USD 400,000,000	2016/02/01	2021/01/06	3,086.96
05610	中國鐵建股份有限公司零息可換股債券2021年	USD 500,000,000	2016/02/01	2021/01/29	3,900.00
05611	中國中信股份有限公司4.75%票據2036年	USD 350,000,000	2016/02/05	2036/02/04	2,712.58
05612	中國中信股份有限公司4.875%票據2041年	USD 150,000,000	2016/02/05	2041/02/04	1,157.49
05613	中國中車股份有限公司零息可換股債券2021年	USD 600,000,000	2016/02/11	2021/02/05	4,680.00
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 30,000,000	2016/02/11	2032/11/14	-
04063	香港金融管理局0.93%外匯基金票據2018年	HKD 1,200,000,000	2016/02/18	2018/02/19	1,205.16
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 20,000,000	2016/02/23	2032/11/14	-
05614	OVPH Ltd. 擔保永久資本證券	USD 1,200,000,000	2016/03/02	-	9,360.00
05615	Bank of China Ltd., Hong Kong Branch 1.875%票據2019年A	USD 600,000,000	2016/03/02	2019/03/01	4,667.93
05616	Bank of China Ltd., Hong Kong Branch 2.375%票據2021年	USD 500,000,000	2016/03/02	2021/03/01	3,893.41
05617	Bank of China Ltd., Hong Kong Branch 浮息票據2019年B	USD 900,000,000	2016/03/02	2019/03/01	7,020.00
04227 #	中華人民共和國香港特別行政區2.13%債券2030年	HKD 600,000,000	2016/03/04	2030/07/16	621.06
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 20,000,000	2016/03/16	2032/11/14	-
05618	友邦保險控股有限公司4.50%票據2046年	USD 750,000,000	2016/03/17	2046/03/16	5,775.94
05620	Azure Orbit III Int'l Finance Ltd. 2.125%有擔保票據2019年	USD 400,000,000	2016/03/22	2019/03/21	3,110.55
05621	Azure Orbit III Int'l Finance Ltd. 2.625%有擔保票據2021年	USD 600,000,000	2016/03/22	2021/03/21	4,653.28
05622	AMTD Group Co. Ltd. 5.00%票據2019年	USD 110,000,000	2016/03/22	2019/03/21	858.00
05619	嘉年華國際控股有限公司8.00%優先債券2019年	USD 180,000,000	2016/03/23	2019/03/22	1,404.00
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 20,000,000	2016/03/24	2032/11/14	-
05623	Ch Oceanwide Int'l Fin Management Co., Ltd. 8.50%債券2019年	HKD 500,000,000	2016/03/29	2019/03/24	500.00
05606 #	Blue Sky Fliers Co. Ltd. 6.90%有擔保票據2019年	USD 120,000,000	2016/03/31	2019/01/20	933.66
總額					89,996.61

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2015	第1季	534,465.77	20,224.94	146.89	554,837.60	10.59
	第2季	780,241.86	25,576.54	775.90	806,594.30	8.26
	第3季	568,942.55	22,063.78	92.32	591,098.64	9.32
	第4季	287,309.24	14,162.34	88.40	301,559.98	6.72
2016	第1季	304,713.11	21,668.39	40.27	326,421.77	7.66

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (發行數目 (百萬港元))		房地產投資 信託基金 (REIT) (發行數目 (百萬港元))		其他 (發行數目 (百萬港元))		合計 (百萬港元)
2015	第1季	126	566,607.94	11	207,324.24	1	2,077.14	776,009.32
	第2季	130	565,937.82	11	204,798.09	1	2,635.24	773,371.15
	第3季	131	483,672.20	11	187,754.18	1	1,739.34	673,165.72
	第4季	133	477,142.97	11	199,652.72	1	2,236.60	679,032.29
2016	第1季	137	535,139.73	11	199,170.18	1	1,342.80	735,652.71

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2015	第1季	5	–
	第2季	4	–
	第3季	1	–
	第4季	4	–
2016	第1季	5	–

主 板 單 位 信 託 及 互 惠 基 金

新上市單位信託及互惠基金

2016 年 第 1 季

股份代號	單位信託及互惠基金	基金經理	上市時之		集資金額		上市日期
			發行價(港元)	發行單位	(百萬港元)	認購倍數	
83162/03162	iShares安碩MSCI中國A股 國際指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/01/28
03086	BMO 納斯達克100 ETF	BMO Global Asset Management (Asia) Limited	n.a.	n.a.	n.a.	n.a.	2016/02/18
03121	BMO MSCI亞太區房地產ETF	BMO Global Asset Management (Asia) Limited	n.a.	n.a.	n.a.	n.a.	2016/02/18
03160	BMO MSCI日本股票(美元對沖)ETF	BMO Global Asset Management (Asia) Limited	n.a.	n.a.	n.a.	n.a.	2016/02/18
03165	BMO MSCI歐洲優勢股票 (美元對沖)ETF	BMO Global Asset Management (Asia) Limited	n.a.	n.a.	n.a.	n.a.	2016/02/18

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2015	第1季	1,641	51,783.06	379,789.64
	第2季	1,905	84,638.00	433,656.16
	第3季	1,871	104,265.78	520,050.32
	第4季	1,630	56,424.40	503,357.75
2016	第1季	1,613	48,512.71	379,212.60

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2015	第1季	2,287	101,545.07
	第2季	3,066	133,613.20
	第3季	3,799	161,333.51
	第4季	2,061	87,034.75
2016	第1季	2,421	94,755.60

主板 可收回牛熊證

新上市牛熊證

2016 年第 1 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65066	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 F	250,000,000	2016/01/04	2016/09/29	62.50
65060	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 M	200,000,000	2016/01/04	2016/04/28	50.00
65082	Credit Suisse AG – HSCEI R Bull CBBC Nov 2016 A	100,000,000	2016/01/04	2016/11/29	25.00
65089	Credit Suisse AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2016/01/04	2016/11/29	50.00
65092	Credit Suisse AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2016/01/04	2016/11/29	50.00
65065	Credit Suisse AG – HSI R Bear CBBC Apr 2016 U	200,000,000	2016/01/04	2016/04/28	50.00
64867	HK Bank – ABC R Bull CBBC Nov 2016 D	50,000,000	2016/01/04	2016/11/14	12.50
64863	HK Bank – ABC R Bull CBBC Dec 2016 B	50,000,000	2016/01/04	2016/12/12	12.50
64871	HK Bank – ABC R Bear CBBC Oct 2016 A	40,000,000	2016/01/04	2016/10/03	10.00
64795	HK Bank – AIA R Bull CBBC Nov 2016 C	40,000,000	2016/01/04	2016/11/14	35.20
64879	HK Bank – AIA R Bull CBBC Nov 2016 D	40,000,000	2016/01/04	2016/11/07	26.00
64801	HK Bank – AIA R Bear CBBC Dec 2016 B	40,000,000	2016/01/04	2016/12/05	32.40
64579	HK Bank – CAM CSI300 R Bull CBBC Nov 2016 B	50,000,000	2016/01/04	2016/11/07	32.00
64589	HK Bank – CAM CSI300 R Bull CBBC Dec 2016 C	50,000,000	2016/01/04	2016/12/05	51.50
64741	HK Bank – BOCL R Bull CBBC Oct 2016 B	50,000,000	2016/01/04	2016/10/31	12.50
64755	HK Bank – BOCL R Bull CBBC Nov 2016 D	50,000,000	2016/01/04	2016/11/28	12.50
64756	HK Bank – BOCL R Bear CBBC Dec 2016 A	40,000,000	2016/01/04	2016/12/19	10.00
64845	HK Bank – China Mobile R Bull CBBC Oct 2016 I	50,000,000	2016/01/04	2016/10/31	12.50
64846	HK Bank – China Mobile R Bull CBBC Dec 2016 C	50,000,000	2016/01/04	2016/12/05	12.50
64850	HK Bank – China Mobile R Bull CBBC Dec 2016 D	50,000,000	2016/01/04	2016/12/12	12.50
64857	HK Bank – China Mobile R Bear CBBC Jul 2016 D	40,000,000	2016/01/04	2016/07/25	10.00
64861	HK Bank – China Mobile R Bear CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/14	10.00
65012	HK Bank – COVS R Bull CBBC Dec 2016 A	40,000,000	2016/01/04	2016/12/05	21.20
64886	HK Bank – CC Bank R Bull CBBC Dec 2016 G	50,000,000	2016/01/04	2016/12/30	12.50
64887	HK Bank – CC Bank R Bull CBBC Dec 2016 H	50,000,000	2016/01/04	2016/12/28	12.50
64894	HK Bank – CC Bank R Bull CBBC Jan 2017 A	50,000,000	2016/01/04	2017/01/09	12.50
64905	HK Bank – CC Bank R Bear CBBC Sep 2016 B	40,000,000	2016/01/04	2016/09/19	10.00
64909	HK Bank – CC Bank R Bear CBBC Sep 2016 C	40,000,000	2016/01/04	2016/09/26	10.00
65032	HK Bank – CITIC R Bull CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/21	10.40
64703	HK Bank – CITIC Sec R Bull CBBC Nov 2016 A	40,000,000	2016/01/04	2016/11/07	21.20
64710	HK Bank – CITIC Sec R Bull CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/28	25.20
65046	HK Bank – CKH R Bull CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/14	10.00
64862	HK Bank – CKP R Bull CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/14	10.00
64780	HK Bank – China Life R Bull CBBC Oct 2016 B	50,000,000	2016/01/04	2016/10/24	22.50
64781	HK Bank – China Life R Bull CBBC Oct 2016 C	50,000,000	2016/01/04	2016/10/31	27.50
64730	HK Bank – China Life R Bull CBBC Nov 2016 B	50,000,000	2016/01/04	2016/11/07	32.50
64734	HK Bank – China Life R Bear CBBC Dec 2016 A	40,000,000	2016/01/04	2016/12/19	15.60
64740	HK Bank – CM Bank R Bull CBBC Dec 2016 B	40,000,000	2016/01/04	2016/12/05	15.60
64932	HK Bank – CNOOC R Bull CBBC Nov 2016 H	50,000,000	2016/01/04	2016/11/07	12.50
64960	HK Bank – CNOOC R Bull CBBC Nov 2016 I	50,000,000	2016/01/04	2016/11/30	12.50
64884	HK Bank – CNOOC R Bear CBBC Jul 2016 B	40,000,000	2016/01/04	2016/07/25	10.00
65035	HK Bank – Sinopec Corp R Bull CBBC Nov 2016 E	50,000,000	2016/01/04	2016/11/07	12.50
65036	HK Bank – Sinopec Corp R Bull CBBC Dec 2016 D	50,000,000	2016/01/04	2016/12/19	12.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65038	HK Bank – Sinopec Corp R Bear CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/14	10.00
65039	HK Bank – Sinopec Corp R Bear CBBC Nov 2016 C	40,000,000	2016/01/04	2016/11/21	10.00
64826	HK Bank – CRRC R Bull CBBC Dec 2016 B	40,000,000	2016/01/04	2016/12/30	10.00
64698	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2017 A	50,000,000	2016/01/04	2017/02/27	12.50
64726	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2017 B	50,000,000	2016/01/04	2017/02/22	12.50
64699	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 A	50,000,000	2016/01/04	2017/03/13	12.50
64701	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 B	50,000,000	2016/01/04	2017/03/20	13.00
64702	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 C	50,000,000	2016/01/04	2017/03/06	14.50
64619	HK Bank – CSOP A50 ETF R Bear CBBC Feb 2017 A	40,000,000	2016/01/04	2017/02/27	11.60
64625	HK Bank – CSOP A50 ETF R Bear CBBC Feb 2017 B	40,000,000	2016/01/04	2017/02/20	10.00
64595	HK Bank – A50 R Bull CBBC Feb 2017 A	50,000,000	2016/01/04	2017/02/27	12.50
64627	HK Bank – A50 R Bull CBBC Feb 2017 B	50,000,000	2016/01/04	2017/02/27	12.50
64697	HK Bank – A50 R Bull CBBC Feb 2017 C	50,000,000	2016/01/04	2017/02/22	12.50
64608	HK Bank – A50 R Bull CBBC Mar 2017 A	50,000,000	2016/01/04	2017/03/06	12.50
64632	HK Bank – A50 R Bull CBBC Mar 2017 B	50,000,000	2016/01/04	2017/03/06	12.50
64691	HK Bank – A50 R Bull CBBC Mar 2017 C	50,000,000	2016/01/04	2017/03/13	12.50
64610	HK Bank – A50 R Bear CBBC Oct 2016 C	40,000,000	2016/01/04	2016/10/24	10.00
64615	HK Bank – A50 R Bear CBBC Jan 2017 A	40,000,000	2016/01/04	2017/01/16	10.00
65029	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 E	40,000,000	2016/01/04	2016/11/14	15.20
65030	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 F	40,000,000	2016/01/04	2016/11/28	23.20
65031	HK Bank – Galaxy Ent R Bear CBBC Nov 2016 C	40,000,000	2016/01/04	2016/11/14	22.00
65015	HK Bank – HKEX R Bull CBBC Oct 2016 P	50,000,000	2016/01/04	2016/10/03	16.50
65016	HK Bank – HKEX R Bull CBBC Oct 2016 Q	50,000,000	2016/01/04	2016/10/17	18.50
65024	HK Bank – HKEX R Bull CBBC Oct 2016 R	50,000,000	2016/01/04	2016/10/24	22.00
64997	HK Bank – HKEX R Bull CBBC Nov 2016 E	50,000,000	2016/01/04	2016/11/28	24.50
64999	HK Bank – HKEX R Bear CBBC Oct 2016 A	40,000,000	2016/01/04	2016/10/24	23.20
65002	HK Bank – HKEX R Bear CBBC Oct 2016 B	40,000,000	2016/01/04	2016/10/31	15.60
65003	HK Bank – HKEX R Bear CBBC Nov 2016 A	40,000,000	2016/01/04	2016/11/07	19.60
64577	HK Bank – HS H-SH ETF R Bull CBBC Feb 2017 A	50,000,000	2016/01/04	2017/02/27	12.50
64617	HK Bank – HS H-SH ETF R Bull CBBC Feb 2017 B	50,000,000	2016/01/04	2017/02/20	12.50
64578	HK Bank – HS H-SH ETF R Bear CBBC Feb 2017 A	40,000,000	2016/01/04	2017/02/20	10.00
64542	HK Bank – HSCEI R Bull CBBC Oct 2016 J	60,000,000	2016/01/04	2016/10/28	15.00
64593	HK Bank – HSCEI R Bull CBBC Oct 2016 K	60,000,000	2016/01/04	2016/10/28	15.00
64525	HK Bank – HSCEI R Bull CBBC Nov 2016 E	60,000,000	2016/01/04	2016/11/29	15.00
64543	HK Bank – HSCEI R Bull CBBC Nov 2016 F	60,000,000	2016/01/04	2016/11/29	15.00
64557	HK Bank – HSCEI R Bull CBBC Dec 2016 E	60,000,000	2016/01/04	2016/12/29	15.00
64564	HK Bank – HSCEI R Bull CBBC Dec 2016 F	60,000,000	2016/01/04	2016/12/29	15.00
64566	HK Bank – HSCEI R Bear CBBC Aug 2016 G	50,000,000	2016/01/04	2016/08/30	12.50
64568	HK Bank – HSCEI R Bear CBBC Oct 2016 D	50,000,000	2016/01/04	2016/10/28	12.50
64572	HK Bank – HSCEI R Bear CBBC Nov 2016 A	50,000,000	2016/01/04	2016/11/29	12.50
64575	HK Bank – HSCEI R Bear CBBC Dec 2016 A	50,000,000	2016/01/04	2016/12/29	12.50
64711	HK Bank – Haitong Sec R Bull CBBC Oct 2016 C	40,000,000	2016/01/04	2016/10/17	16.40
64820	HK Bank – ICBC R Bull CBBC Oct 2016 A	50,000,000	2016/01/04	2016/10/17	12.50
64814	HK Bank – ICBC R Bull CBBC Nov 2016 A	50,000,000	2016/01/04	2016/11/07	12.50
64824	HK Bank – ICBC R Bear CBBC Nov 2016 A	40,000,000	2016/01/04	2016/11/07	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64968	HK Bank – PetCh R Bull CBBC Nov 2016 F	50,000,000	2016/01/04	2016/11/28	12.50
64971	HK Bank – PetCh R Bull CBBC Dec 2016 G	50,000,000	2016/01/04	2016/12/05	12.50
64912	HK Bank – PetCh R Bear CBBC Aug 2016 C	40,000,000	2016/01/04	2016/08/01	10.00
64928	HK Bank – PetCh R Bear CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/14	10.00
64787	HK Bank – Ping An R Bull CBBC Nov 2016 L	50,000,000	2016/01/04	2016/11/14	12.50
64788	HK Bank – Ping An R Bull CBBC Nov 2016 M	50,000,000	2016/01/04	2016/11/28	12.50
64768	HK Bank – Ping An R Bull CBBC Dec 2016 E	50,000,000	2016/01/04	2016/12/30	12.50
64793	HK Bank – Ping An R Bull CBBC Dec 2016 F	50,000,000	2016/01/04	2016/12/12	12.50
64776	HK Bank – Ping An R Bear CBBC Oct 2016 D	40,000,000	2016/01/04	2016/10/31	10.00
64770	HK Bank – Ping An R Bear CBBC Nov 2016 A	40,000,000	2016/01/04	2016/11/21	10.00
64771	HK Bank – Ping An R Bear CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/07	10.00
64829	HK Bank – Sands China R Bull CBBC Nov 2016 B	40,000,000	2016/01/04	2016/11/28	17.20
64832	HK Bank – Sands China R Bull CBBC Dec 2016 B	40,000,000	2016/01/04	2016/12/05	24.40
64839	HK Bank – Sands China R Bull CBBC Dec 2016 C	40,000,000	2016/01/04	2016/12/19	32.40
64794	HK Bank – Sands China R Bear CBBC Nov 2016 D	40,000,000	2016/01/04	2016/11/14	16.40
64785	HK Bank – Sands China R Bear CBBC Dec 2016 C	40,000,000	2016/01/04	2016/12/12	22.40
65028	HK Bank – SHK Ppt R Bull CBBC Jan 2017 A	40,000,000	2016/01/04	2017/01/16	10.00
65013	HK Bank – TCH R Bull CBBC Oct 2016 D	50,000,000	2016/01/04	2016/10/31	12.50
64970	HK Bank – TCH R Bull CBBC Nov 2016 B	50,000,000	2016/01/04	2016/11/14	12.50
64976	HK Bank – TCH R Bull CBBC Nov 2016 C	50,000,000	2016/01/04	2016/11/21	13.50
64984	HK Bank – TCH R Bull CBBC Nov 2016 D	50,000,000	2016/01/04	2016/11/28	14.50
65014	HK Bank – TCH R Bull CBBC Nov 2016 E	50,000,000	2016/01/04	2016/11/07	12.50
64986	HK Bank – TCH R Bull CBBC Dec 2016 B	50,000,000	2016/01/04	2016/12/05	17.50
64989	HK Bank – TCH R Bear CBBC Oct 2016 D	40,000,000	2016/01/04	2016/10/17	12.40
64991	HK Bank – TCH R Bear CBBC Oct 2016 E	40,000,000	2016/01/04	2016/10/24	10.00
64994	HK Bank – TCH R Bear CBBC Oct 2016 F	40,000,000	2016/01/04	2016/10/31	10.40
64963	HK Bank – TCH R Bear CBBC Nov 2016 A	40,000,000	2016/01/04	2016/11/07	14.00
65079	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 M	200,000,000	2016/01/04	2016/12/29	50.00
65081	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 P	200,000,000	2016/01/04	2017/01/26	50.00
65058	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 J	200,000,000	2016/01/04	2016/04/28	50.00
65073	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 L	150,000,000	2016/01/04	2016/04/28	37.50
65052	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 16B	200,000,000	2016/01/04	2016/10/28	50.00
65055	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun 16T	200,000,000	2016/01/04	2016/06/29	50.00
65067	UBS AG – HSI R Bull CBBC Dec 2016 F	200,000,000	2016/01/04	2016/12/29	50.00
65070	UBS AG – HSI R Bull CBBC Feb 2017 C	200,000,000	2016/01/04	2017/02/27	50.00
65061	UBS AG – HSI R Bear CBBC Apr 2016 E	200,000,000	2016/01/04	2016/04/28	50.00
65123	Credit Suisse AG – China Life R Bull CBBC Aug 2016 A	50,000,000	2016/01/05	2016/08/31	14.50
65105	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Aug 2016 B	100,000,000	2016/01/05	2016/08/31	25.00
65106	Credit Suisse AG – A50 China R Bull CBBC Aug 2016 B	100,000,000	2016/01/05	2016/08/31	25.00
65108	Credit Suisse AG – HS H-SH ETF R Bull CBBC Aug 2016 A	80,000,000	2016/01/05	2016/08/31	20.00
65104	Credit Suisse AG – HSI R Bull CBBC Sep 2016 V	200,000,000	2016/01/05	2016/09/29	50.00
65131	Credit Suisse AG – HSI R Bull CBBC Sep 2016 W	200,000,000	2016/01/05	2016/09/29	50.00
65137	Credit Suisse AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2016/01/05	2016/04/28	50.00
65135	Credit Suisse AG – HSI R Bear CBBC May 2016 D	200,000,000	2016/01/05	2016/05/30	50.00
65124	Credit Suisse AG – ICB R Bull CBBC Oct 2016 A	70,000,000	2016/01/05	2016/10/28	17.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65121	Credit Suisse AG – Ping An R Bull CBBC Oct 2016 A	80,000,000	2016/01/05	2016/10/28	20.00
65120	Credit Suisse AG – TCH R Bull CBBC Aug 2016 A	100,000,000	2016/01/05	2016/08/31	25.00
65139	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 C	200,000,000	2016/01/05	2016/11/29	50.00
65150	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 Q	150,000,000	2016/01/05	2017/01/26	37.50
65151	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 K	200,000,000	2016/01/05	2016/04/28	50.00
65101	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 16B	200,000,000	2016/01/05	2016/11/29	50.00
65102	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr 16X	200,000,000	2016/01/05	2016/04/28	50.00
65142	UBS AG – HSI R Bull CBBC Dec 2016 H	200,000,000	2016/01/05	2016/12/29	50.00
65143	UBS AG – HSI R Bear CBBC Apr 2016 F	200,000,000	2016/01/05	2016/04/28	50.00
65148	UBS AG – HSI R Bear CBBC Apr 2016 G	200,000,000	2016/01/05	2016/04/28	50.00
65172	Credit Suisse AG – HSI R Bull CBBC Aug 2016 D	200,000,000	2016/01/06	2016/08/30	50.00
65160	Credit Suisse AG – HSI R Bear CBBC Apr 2016 F	200,000,000	2016/01/06	2016/04/28	50.00
65157	Credit Suisse AG – HSI R Bear CBBC Jun 2016 E	200,000,000	2016/01/06	2016/06/29	50.00
65174	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 F	150,000,000	2016/01/06	2016/12/29	37.50
65177	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 R	200,000,000	2016/01/06	2017/01/26	50.00
65178	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 B	200,000,000	2016/01/06	2016/04/28	50.00
65152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 16R	200,000,000	2016/01/06	2016/07/28	50.00
65156	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr 16I	200,000,000	2016/01/06	2016/04/28	50.00
65169	UBS AG – HSCEI R Bull CBBC Feb 2017 A	200,000,000	2016/01/06	2017/02/27	50.00
65170	UBS AG – HSI R Bull CBBC Dec 2016 G	200,000,000	2016/01/06	2016/12/29	50.00
65161	UBS AG – HSI R Bear CBBC Apr 2016 H	200,000,000	2016/01/06	2016/04/28	50.00
65212	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 G	250,000,000	2016/01/07	2016/09/29	62.50
65230	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2016/01/07	2016/11/29	50.00
65231	Credit Suisse AG – HSI R Bear CBBC May 2016 E	200,000,000	2016/01/07	2016/05/30	50.00
65203	HK Bank – AIA R Bull CBBC Oct 2016 F	40,000,000	2016/01/07	2016/10/31	16.40
65201	HK Bank – China Mobile R Bull CBBC Oct 2016 J	50,000,000	2016/01/07	2016/10/27	12.50
65207	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 D	50,000,000	2016/01/07	2017/03/06	12.50
65184	HK Bank – HKEX R Bull CBBC Oct 2016 S	50,000,000	2016/01/07	2016/10/26	12.50
65209	HK Bank – HSCEI R Bull CBBC Nov 2016 G	60,000,000	2016/01/07	2016/11/29	15.00
65208	HK Bank – HSCEI R Bull CBBC Dec 2016 G	60,000,000	2016/01/07	2016/12/29	15.00
65210	HK Bank – HSCEI R Bear CBBC Nov 2016 B	50,000,000	2016/01/07	2016/11/29	12.50
65195	HK Bank – PetCh R Bull CBBC Nov 2016 G	50,000,000	2016/01/07	2016/11/21	12.50
65188	HK Bank – TCH R Bull CBBC Oct 2016 E	50,000,000	2016/01/07	2016/10/24	12.50
65229	J P Morgan SP BV – HSI R Bear CBBC May 2016 C	200,000,000	2016/01/07	2016/05/30	50.00
65215	SGA Societe Generale Acceptance N.V. – AIA R Bull CBBC Sep16A	100,000,000	2016/01/07	2016/09/29	25.00
65218	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jul16A	100,000,000	2016/01/07	2016/07/28	25.00
65216	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Oct16A	100,000,000	2016/01/07	2016/10/28	25.00
65221	SGA Societe Generale Acceptance N.V. – HKEX R Bull CBBC Jun16A	100,000,000	2016/01/07	2016/06/29	25.00
65220	SGA Societe Generale Acceptance N.V. – HKEX R Bull CBBC Oct16A	100,000,000	2016/01/07	2016/10/28	25.00
65219	SGA Societe Generale Acceptance N.V. – HKEX R Bull CBBC Feb17A	100,000,000	2016/01/07	2017/02/27	35.00
65214	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16U	200,000,000	2016/01/07	2016/06/29	50.00
65224	SGA Societe Generale Acceptance NV – PingAn R Bull CBBC Oct16A	100,000,000	2016/01/07	2016/10/28	25.00
65223	SGA Societe Generale Acceptance NV – PingAn R Bull CBBC Feb17A	100,000,000	2016/01/07	2017/02/27	25.00
65226	UBS AG – HSI R Bear CBBC Apr 2016 I	200,000,000	2016/01/07	2016/04/28	50.00
65232	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 N	200,000,000	2016/01/08	2016/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65237	Credit Suisse AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2016/01/08	2016/11/29	50.00
65238	Credit Suisse AG – HSI R Bear CBBC Apr 2016 M	200,000,000	2016/01/08	2016/04/28	50.00
65247	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 N	150,000,000	2016/01/08	2016/12/29	37.50
65263	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 S	150,000,000	2016/01/08	2017/01/26	37.50
65233	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 I	150,000,000	2016/01/08	2016/04/28	37.50
65234	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 T	150,000,000	2016/01/08	2016/04/28	37.50
65306	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 D	100,000,000	2016/01/11	2016/05/30	25.00
65272	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 H	250,000,000	2016/01/11	2016/09/29	62.50
65298	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 I	250,000,000	2016/01/11	2016/09/29	62.50
65269	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 X	250,000,000	2016/01/11	2016/10/28	62.50
65277	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 Y	250,000,000	2016/01/11	2016/10/28	62.50
65278	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 Z	250,000,000	2016/01/11	2016/10/28	62.50
65305	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 I	200,000,000	2016/01/11	2016/04/28	50.00
65535	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 R	200,000,000	2016/01/11	2016/04/28	50.00
65300	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 O	200,000,000	2016/01/11	2016/05/30	50.00
65301	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 P	200,000,000	2016/01/11	2016/05/30	50.00
65342	Credit Suisse AG – HSI R Bull CBBC Oct 2016 T	200,000,000	2016/01/11	2016/10/28	50.00
65349	Credit Suisse AG – HSI R Bull CBBC Oct 2016 X	200,000,000	2016/01/11	2016/10/28	50.00
65352	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Y	200,000,000	2016/01/11	2016/10/28	50.00
65355	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Z	200,000,000	2016/01/11	2016/10/28	50.00
65438	Credit Suisse AG – HSI R Bear CBBC Apr 2016 N	200,000,000	2016/01/11	2016/04/28	50.00
65449	Credit Suisse AG – HSI R Bear CBBC Apr 2016 P	200,000,000	2016/01/11	2016/04/28	50.00
65465	Credit Suisse AG – HSI R Bear CBBC Apr 2016 S	200,000,000	2016/01/11	2016/04/28	50.00
65475	Credit Suisse AG – HSI R Bear CBBC Apr 2016 W	200,000,000	2016/01/11	2016/04/28	50.00
65537	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 I	200,000,000	2016/01/11	2016/04/28	50.00
65553	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 J	200,000,000	2016/01/11	2016/04/28	50.00
65288	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2016 G	100,000,000	2016/01/11	2016/12/29	25.00
65508	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 D	100,000,000	2016/01/11	2016/04/28	25.00
65518	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 E	100,000,000	2016/01/11	2016/04/28	25.00
65477	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 K	200,000,000	2016/01/11	2016/12/29	50.00
65284	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 O	200,000,000	2016/01/11	2016/12/29	50.00
65279	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Q	200,000,000	2016/01/11	2016/12/29	50.00
65476	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Z	200,000,000	2016/01/11	2016/12/29	50.00
65282	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 T	200,000,000	2016/01/11	2017/01/26	50.00
65488	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 U	200,000,000	2016/01/11	2017/01/26	50.00
65490	J P Morgan SP BV – HSI R Bear CBBC May 2016 D	200,000,000	2016/01/11	2016/05/30	50.00
65495	J P Morgan SP BV – HSI R Bear CBBC May 2016 E	200,000,000	2016/01/11	2016/05/30	50.00
65498	J P Morgan SP BV – HSI R Bear CBBC May 2016 F	200,000,000	2016/01/11	2016/05/30	50.00
65499	J P Morgan SP BV – HSI R Bear CBBC May 2016 G	200,000,000	2016/01/11	2016/05/30	50.00
65264	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 C	200,000,000	2016/01/11	2016/10/28	50.00
65268	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 D	200,000,000	2016/01/11	2016/10/28	50.00
65267	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 C	200,000,000	2016/01/11	2016/11/29	50.00
65289	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 D	200,000,000	2016/01/11	2016/11/29	50.00
65294	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 E	200,000,000	2016/01/11	2016/04/28	50.00
65292	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 K	200,000,000	2016/01/11	2016/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65293	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 A	200,000,000	2016/01/11	2016/06/29	50.00
65314	UBS AG – CAM CSI300 R Bull CBBC Oct 2016 D	100,000,000	2016/01/11	2016/10/03	25.00
65333	UBS AG – China Mobile R Bull CBBC Oct 2016 D	50,000,000	2016/01/11	2016/10/31	12.50
65323	UBS AG – CSOP A50 ETF R Bull CBBC Oct 2016 A	50,000,000	2016/01/11	2016/10/17	12.50
65316	UBS AG – A50 China R Bull CBBC Oct 2016 A	50,000,000	2016/01/11	2016/10/24	12.50
65312	UBS AG – HSCEI R Bear CBBC Apr 2016 A	100,000,000	2016/01/11	2016/04/28	25.00
65308	UBS AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2016/01/11	2016/11/29	50.00
65310	UBS AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2016/01/11	2016/11/29	50.00
65313	UBS AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2016/01/11	2016/11/29	50.00
65309	UBS AG – HSI R Bull CBBC Dec 2016 J	200,000,000	2016/01/11	2016/12/29	50.00
65311	UBS AG – HSI R Bull CBBC Dec 2016 K	200,000,000	2016/01/11	2016/12/29	50.00
65375	UBS AG – HSI R Bear CBBC Apr 2016 J	200,000,000	2016/01/11	2016/04/28	50.00
65415	UBS AG – HSI R Bear CBBC Apr 2016 K	200,000,000	2016/01/11	2016/04/28	50.00
65376	UBS AG – HSI R Bear CBBC May 2016 A	200,000,000	2016/01/11	2016/05/30	50.00
65341	UBS AG – Ping An R Bull CBBC Oct 2016 E	100,000,000	2016/01/11	2016/10/24	25.00
65647	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 L	100,000,000	2016/01/12	2016/04/28	25.00
65633	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 J	250,000,000	2016/01/12	2016/09/29	62.50
65640	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 B	250,000,000	2016/01/12	2016/10/28	62.50
65715	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 T	200,000,000	2016/01/12	2016/04/28	50.00
65641	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 V	200,000,000	2016/01/12	2016/04/28	50.00
65717	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 Q	200,000,000	2016/01/12	2016/05/30	50.00
65650	Credit Suisse AG – China Mobile R Bull CBBC Oct 2016 A	80,000,000	2016/01/12	2016/10/28	20.00
65656	Credit Suisse AG – HSI R Bull CBBC Sep 2016 X	200,000,000	2016/01/12	2016/09/29	50.00
65657	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Y	200,000,000	2016/01/12	2016/09/29	50.00
65660	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Z	200,000,000	2016/01/12	2016/09/29	50.00
65692	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Y	200,000,000	2016/01/12	2016/04/28	50.00
65665	Credit Suisse AG – HSI R Bear CBBC May 2016 F	200,000,000	2016/01/12	2016/05/30	50.00
65686	Credit Suisse AG – HSI R Bear CBBC May 2016 K	200,000,000	2016/01/12	2016/05/30	50.00
65689	Credit Suisse AG – HSI R Bear CBBC May 2016 L	200,000,000	2016/01/12	2016/05/30	50.00
65600	HK Bank – AIA R Bull CBBC Nov 2016 E	40,000,000	2016/01/12	2016/11/28	10.00
65585	HK Bank – CAM CSI300 R Bull CBBC Dec 2016 D	50,000,000	2016/01/12	2016/12/12	52.50
65588	HK Bank – China Life R Bull CBBC Jan 2017 A	50,000,000	2016/01/12	2017/01/16	29.00
65571	HK Bank – CM Bank R Bull CBBC Dec 2016 C	40,000,000	2016/01/12	2016/12/28	17.20
65573	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 E	50,000,000	2016/01/12	2017/03/08	12.50
65577	HK Bank – A50 R Bull CBBC Mar 2017 D	50,000,000	2016/01/12	2017/03/08	12.50
65586	HK Bank – HSCEI R Bull CBBC Nov 2016 H	60,000,000	2016/01/12	2016/11/29	15.00
65587	HK Bank – HSCEI R Bear CBBC Aug 2016 H	50,000,000	2016/01/12	2016/08/30	12.50
65569	HK Bank – HSI R Bear CBBC Jul 2016 G	150,000,000	2016/01/12	2016/07/28	37.50
65555	HK Bank – HSI R Bear CBBC Aug 2016 M	150,000,000	2016/01/12	2016/08/30	37.50
65570	HK Bank – ICBC R Bull CBBC Dec 2016 A	50,000,000	2016/01/12	2016/12/28	12.50
65589	HK Bank – PetCh R Bull CBBC Jan 2017 A	50,000,000	2016/01/12	2017/01/16	12.50
65731	J P Morgan SP BV – China Mobile R Bull CBBC Nov 2016 A	100,000,000	2016/01/12	2016/11/18	25.00
65732	J P Morgan SP BV – China Mobile R Bull CBBC Dec 2016 A	100,000,000	2016/01/12	2016/12/16	25.00
65738	J P Morgan SP BV – China Mobile R Bear CBBC May 2016 B	100,000,000	2016/01/12	2016/05/20	25.00
65741	J P Morgan SP BV – HKEEX R Bear CBBC Jun 2016 B	100,000,000	2016/01/12	2016/06/17	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65722	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 H	100,000,000	2016/01/12	2016/04/28	25.00
65632	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 E	200,000,000	2016/01/12	2016/11/29	50.00
65723	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 J	200,000,000	2016/01/12	2016/11/29	50.00
65725	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 R	150,000,000	2016/01/12	2016/12/29	37.50
65726	J P Morgan SP BV – HSI R Bear CBBC May 2016 H	200,000,000	2016/01/12	2016/05/30	50.00
65727	J P Morgan SP BV – HSI R Bear CBBC May 2016 I	200,000,000	2016/01/12	2016/05/30	50.00
65728	J P Morgan SP BV – HSI R Bear CBBC May 2016 J	200,000,000	2016/01/12	2016/05/30	50.00
65730	J P Morgan SP BV – HSI R Bear CBBC May 2016 K	200,000,000	2016/01/12	2016/05/30	50.00
65611	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 E	200,000,000	2016/01/12	2016/10/28	50.00
65629	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 L	200,000,000	2016/01/12	2016/04/28	50.00
65631	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 A	200,000,000	2016/01/12	2016/05/30	50.00
65622	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 B	200,000,000	2016/01/12	2016/06/29	50.00
65694	UBS AG – HSI R Bull CBBC Dec 2016 L	200,000,000	2016/01/12	2016/12/29	50.00
65696	UBS AG – HSI R Bull CBBC Dec 2016 M	200,000,000	2016/01/12	2016/12/29	50.00
65703	UBS AG – HSI R Bear CBBC Apr 2016 L	200,000,000	2016/01/12	2016/04/28	50.00
65710	UBS AG – HSI R Bear CBBC Apr 2016 M	200,000,000	2016/01/12	2016/04/28	50.00
65705	UBS AG – HSI R Bear CBBC May 2016 B	200,000,000	2016/01/12	2016/05/30	50.00
65712	UBS AG – HSI R Bear CBBC May 2016 C	200,000,000	2016/01/12	2016/05/30	50.00
65878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 C	250,000,000	2016/01/13	2016/10/28	62.50
65880	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 W	200,000,000	2016/01/13	2016/04/28	50.00
65886	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 R	200,000,000	2016/01/13	2016/05/30	50.00
66038	Credit Suisse AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2016/01/13	2016/11/29	50.00
66031	Credit Suisse AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2016/01/13	2016/11/29	50.00
66036	Credit Suisse AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2016/01/13	2016/11/29	50.00
66057	Credit Suisse AG – HSI R Bear CBBC May 2016 C	200,000,000	2016/01/13	2016/05/30	50.00
66067	Credit Suisse AG – HSI R Bear CBBC May 2016 G	200,000,000	2016/01/13	2016/05/30	50.00
66068	Credit Suisse AG – HSI R Bear CBBC May 2016 J	200,000,000	2016/01/13	2016/05/30	50.00
66051	Credit Suisse AG – HSI R Bear CBBC Jun 2016 F	200,000,000	2016/01/13	2016/06/29	50.00
66063	Credit Suisse AG – HSI R Bear CBBC Jun 2016 G	200,000,000	2016/01/13	2016/06/29	50.00
66093	Credit Suisse AG – HSI R Bear CBBC Jun 2016 H	200,000,000	2016/01/13	2016/06/29	50.00
65745	HK Bank – ABC R Bull CBBC Nov 2016 E	50,000,000	2016/01/13	2016/11/28	12.50
65784	HK Bank – BOCL R Bull CBBC Dec 2016 E	50,000,000	2016/01/13	2016/12/19	12.50
65773	HK Bank – China Mobile R Bull CBBC Dec 2016 E	50,000,000	2016/01/13	2016/12/05	12.50
65742	HK Bank – CNOOC R Bull CBBC Jan 2017 A	50,000,000	2016/01/13	2017/01/16	12.50
65795	HK Bank – Sinopec Corp R Bull CBBC Dec 2016 E	50,000,000	2016/01/13	2016/12/21	12.50
65747	HK Bank – HKEX R Bull CBBC Dec 2016 A	50,000,000	2016/01/13	2016/12/19	24.50
65756	HK Bank – HKEX R Bear CBBC Oct 2016 C	40,000,000	2016/01/13	2016/10/24	12.40
65801	HK Bank – HSCEI R Bull CBBC Oct 2016 L	60,000,000	2016/01/13	2016/10/28	15.00
65816	HK Bank – HSCEI R Bear CBBC Sep 2016 D	50,000,000	2016/01/13	2016/09/29	12.50
65798	HK Bank – HSI R Bear CBBC Sep 2016 J	150,000,000	2016/01/13	2016/09/29	37.50
65799	HK Bank – HSI R Bear CBBC Nov 2016 C	400,000,000	2016/01/13	2016/11/29	100.00
65778	HK Bank – TCH R Bull CBBC Jan 2017 A	50,000,000	2016/01/13	2017/01/16	21.00
66187	J P Morgan SP BV – AIA R Bull CBBC Nov 2016 A	60,000,000	2016/01/13	2016/11/18	15.00
66202	J P Morgan SP BV – Galaxy Ent R Bull CBBC Feb 2017 A	50,000,000	2016/01/13	2017/02/17	12.50
66181	J P Morgan SP BV – HKEX R Bull CBBC Nov 2016 D	68,000,000	2016/01/13	2016/11/18	17.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65879	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 V	150,000,000	2016/01/13	2017/01/26	37.50
66094	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 W	150,000,000	2016/01/13	2017/01/26	37.50
66146	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 X	200,000,000	2016/01/13	2017/01/26	50.00
66132	J P Morgan SP BV – HSI R Bear CBBC May 2016 L	200,000,000	2016/01/13	2016/05/30	50.00
66138	J P Morgan SP BV – HSI R Bear CBBC May 2016 M	200,000,000	2016/01/13	2016/05/30	50.00
66139	J P Morgan SP BV – HSI R Bear CBBC May 2016 N	200,000,000	2016/01/13	2016/05/30	50.00
66142	J P Morgan SP BV – HSI R Bear CBBC May 2016 O	200,000,000	2016/01/13	2016/05/30	50.00
66169	J P Morgan SP BV – Tencent R Bull CBBC Oct 2016 B	80,000,000	2016/01/13	2016/10/21	20.00
65846	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 E	200,000,000	2016/01/13	2016/11/29	50.00
66102	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 F	200,000,000	2016/01/13	2016/11/29	50.00
66107	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 G	200,000,000	2016/01/13	2016/11/29	50.00
65852	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 S	200,000,000	2016/01/13	2016/05/30	50.00
65869	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 T	200,000,000	2016/01/13	2016/05/30	50.00
65851	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2016/01/13	2016/05/30	50.00
66117	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 C	400,000,000	2016/01/13	2016/06/29	100.00
66110	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Z	200,000,000	2016/01/13	2016/06/29	50.00
66126	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 A	400,000,000	2016/01/13	2016/07/28	100.00
65900	UBS AG – China Mobile R Bull CBBC Nov 2016 A	50,000,000	2016/01/13	2016/11/14	12.50
65894	UBS AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2016/01/13	2016/11/29	50.00
65892	UBS AG – HSI R Bull CBBC Dec 2016 N	200,000,000	2016/01/13	2016/12/29	50.00
65997	UBS AG – HSI R Bull CBBC Feb 2017 D	200,000,000	2016/01/13	2017/02/27	54.00
66017	UBS AG – HSI R Bull CBBC Feb 2017 E	200,000,000	2016/01/13	2017/02/27	50.00
66021	UBS AG – HSI R Bull CBBC Feb 2017 F	200,000,000	2016/01/13	2017/02/27	50.00
65907	UBS AG – HSI R Bear CBBC Apr 2016 N	200,000,000	2016/01/13	2016/04/28	50.00
65973	UBS AG – HSI R Bear CBBC Apr 2016 O	200,000,000	2016/01/13	2016/04/28	50.00
65944	UBS AG – HSI R Bear CBBC May 2016 D	200,000,000	2016/01/13	2016/05/30	50.00
65974	UBS AG – HSI R Bear CBBC May 2016 E	200,000,000	2016/01/13	2016/05/30	50.00
66801	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2016 D	50,000,000	2016/01/14	2016/12/30	12.50
66780	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Sep16 A	100,000,000	2016/01/14	2016/09/30	25.00
66781	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Sep 2016 A	100,000,000	2016/01/14	2016/09/30	25.00
66793	BNP Paribas Arbit Issu B.V. – HKEX R Bull CBBC Sep 2016 G	40,000,000	2016/01/14	2016/09/30	12.40
66719	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 K	100,000,000	2016/01/14	2016/11/29	25.00
66720	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 K	100,000,000	2016/01/14	2016/12/29	25.00
66770	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 E	100,000,000	2016/01/14	2016/05/30	25.00
66402	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 K	250,000,000	2016/01/14	2016/09/29	62.50
67173	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 L	250,000,000	2016/01/14	2016/09/29	62.50
66345	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 A	250,000,000	2016/01/14	2016/10/28	62.50
66403	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 D	250,000,000	2016/01/14	2016/10/28	62.50
66411	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 E	250,000,000	2016/01/14	2016/10/28	62.50
66697	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 S	200,000,000	2016/01/14	2016/05/30	50.00
66703	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 T	200,000,000	2016/01/14	2016/05/30	50.00
66708	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 U	200,000,000	2016/01/14	2016/05/30	50.00
66706	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 B	200,000,000	2016/01/14	2016/06/29	50.00
67193	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 C	200,000,000	2016/01/14	2016/06/29	50.00
66949	Credit Suisse AG – HSCEI R Bull CBBC Oct 2016 C	138,000,000	2016/01/14	2016/10/28	34.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66950	Credit Suisse AG – HSCEI R Bull CBBC Nov 2016 B	138,000,000	2016/01/14	2016/11/29	34.50
66959	Credit Suisse AG – HSCEI R Bull CBBC Nov 2016 C	138,000,000	2016/01/14	2016/11/29	34.50
66982	Credit Suisse AG – HSCEI R Bull CBBC Dec 2016 A	138,000,000	2016/01/14	2016/12/29	34.50
66996	Credit Suisse AG – HSCEI R Bear CBBC Apr 2016 B	100,000,000	2016/01/14	2016/04/28	25.00
66994	Credit Suisse AG – HSCEI R Bear CBBC May 2016 B	100,000,000	2016/01/14	2016/05/30	25.00
66911	Credit Suisse AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2016/01/14	2016/10/28	50.00
66806	Credit Suisse AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2016/01/14	2016/10/28	50.00
66859	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2016/01/14	2016/10/28	50.00
66908	Credit Suisse AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2016/01/14	2016/10/28	50.00
66918	Credit Suisse AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2016/01/14	2016/11/29	50.00
66935	Credit Suisse AG – HSI R Bull CBBC Jan 2017 A	200,000,000	2016/01/14	2017/01/26	52.00
66945	Credit Suisse AG – HSI R Bull CBBC Jan 2017 B	200,000,000	2016/01/14	2017/01/26	56.00
66948	Credit Suisse AG – HSI R Bull CBBC Jan 2017 C	200,000,000	2016/01/14	2017/01/26	72.00
67112	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Q	200,000,000	2016/01/14	2016/04/28	50.00
67124	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Z	200,000,000	2016/01/14	2016/04/28	50.00
67093	Credit Suisse AG – HSI R Bear CBBC May 2016 M	200,000,000	2016/01/14	2016/05/30	50.00
67119	Credit Suisse AG – HSI R Bear CBBC May 2016 N	200,000,000	2016/01/14	2016/05/30	50.00
67122	Credit Suisse AG – HSI R Bear CBBC Jun 2016 I	200,000,000	2016/01/14	2016/06/29	50.00
67127	Credit Suisse AG – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/01/14	2016/06/29	50.00
67111	Credit Suisse AG – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/01/14	2016/07/28	50.00
67356	Bank of East Asia – China Mobile R Bull CBBC Feb 2017 A	40,000,000	2016/01/14	2017/02/03	10.00
67353	Bank of East Asia – CSOP A50 ETF R Bull CBBC Jan 2017 A	40,000,000	2016/01/14	2017/01/26	10.00
67350	Bank of East Asia – A50 China R Bull CBBC Jan 2017 A	40,000,000	2016/01/14	2017/01/27	10.00
67348	Bank of East Asia – HKEX R Bull CBBC Jan 2017 A	40,000,000	2016/01/14	2017/01/25	10.00
67354	Bank of East Asia – PetCh R Bull CBBC Dec 2016 C	60,000,000	2016/01/14	2016/12/07	15.00
67234	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 H	200,000,000	2016/01/14	2016/09/29	51.60
67235	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 I	200,000,000	2016/01/14	2016/09/29	53.20
67265	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 J	200,000,000	2016/01/14	2016/09/29	54.00
67272	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 K	200,000,000	2016/01/14	2016/09/29	56.80
67210	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 K	200,000,000	2016/01/14	2016/04/28	50.60
67212	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 L	200,000,000	2016/01/14	2016/04/28	55.40
67214	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 M	200,000,000	2016/01/14	2016/04/28	61.20
67218	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 N	200,000,000	2016/01/14	2016/04/28	64.20
67230	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 O	200,000,000	2016/01/14	2016/04/28	70.00
66277	HK Bank – CC Bank R Bull CBBC Jan 2017 B	50,000,000	2016/01/14	2017/01/16	12.50
66285	HK Bank – CC Bank R Bear CBBC Dec 2016 A	40,000,000	2016/01/14	2016/12/19	10.00
66205	HK Bank – Galaxy Ent R Bull CBBC Dec 2016 D	40,000,000	2016/01/14	2016/12/19	28.80
66546	HK Bank – HSCEI R Bull CBBC Oct 2016 M	60,000,000	2016/01/14	2016/10/28	18.60
66553	HK Bank – HSCEI R Bull CBBC Nov 2016 I	60,000,000	2016/01/14	2016/11/29	15.00
66556	HK Bank – HSCEI R Bull CBBC Nov 2016 J	60,000,000	2016/01/14	2016/11/29	15.00
66292	HK Bank – HSCEI R Bear CBBC Aug 2016 I	50,000,000	2016/01/14	2016/08/30	12.50
66537	HK Bank – HSCEI R Bear CBBC Aug 2016 J	50,000,000	2016/01/14	2016/08/30	12.50
66290	HK Bank – HSI R Bull CBBC Oct 2016 S	150,000,000	2016/01/14	2016/10/28	37.50
66287	HK Bank – HSI R Bear CBBC Aug 2016 N	150,000,000	2016/01/14	2016/08/30	37.50
66435	HK Bank – HSI R Bear CBBC Aug 2016 O	150,000,000	2016/01/14	2016/08/30	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66447	HK Bank – HSI R Bear CBBC Oct 2016 G	150,000,000	2016/01/14	2016/10/28	37.50
67337	J P Morgan SP BV – CAM CSI300 R Bull CBBC Dec 2016 A	100,000,000	2016/01/14	2016/12/16	25.00
67339	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Jan 2017 A	80,000,000	2016/01/14	2017/01/20	20.00
67338	J P Morgan SP BV – A50 China R Bull CBBC Jan 2017 A	80,000,000	2016/01/14	2017/01/20	20.00
67315	J P Morgan SP BV – HKEX R Bull CBBC Dec 2016 C	80,000,000	2016/01/14	2016/12/16	20.00
67319	J P Morgan SP BV – HKEX R Bear CBBC Sep 2016 A	68,000,000	2016/01/14	2016/09/15	17.00
67329	J P Morgan SP BV – HSBC R Bull CBBC Dec 2016 A	100,000,000	2016/01/14	2016/12/16	25.00
67137	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2016 H	100,000,000	2016/01/14	2016/12/29	25.00
67143	J P Morgan SP BV – HSCEI R Bear CBBC May 2016 B	100,000,000	2016/01/14	2016/05/30	25.00
66419	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 F	200,000,000	2016/01/14	2016/11/29	50.00
66429	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 P	200,000,000	2016/01/14	2016/12/29	50.00
66421	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 S	200,000,000	2016/01/14	2016/12/29	50.00
67149	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 Y	200,000,000	2016/01/14	2017/01/26	50.00
67155	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 E	200,000,000	2016/01/14	2017/02/27	50.00
67156	J P Morgan SP BV – HSI R Bear CBBC May 2016 P	200,000,000	2016/01/14	2016/05/30	50.00
67157	J P Morgan SP BV – HSI R Bear CBBC May 2016 Q	200,000,000	2016/01/14	2016/05/30	50.00
67164	J P Morgan SP BV – HSI R Bear CBBC May 2016 R	200,000,000	2016/01/14	2016/05/30	50.00
67166	J P Morgan SP BV – HSI R Bear CBBC May 2016 S	200,000,000	2016/01/14	2016/05/30	50.00
67167	J P Morgan SP BV – HSI R Bear CBBC May 2016 T	200,000,000	2016/01/14	2016/05/30	50.00
67291	J P Morgan SP BV – Tencent R Bull CBBC Dec 2016 A	80,000,000	2016/01/14	2016/12/16	20.00
67307	J P Morgan SP BV – Tencent R Bear CBBC Dec 2016 B	80,000,000	2016/01/14	2016/12/16	20.00
66304	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Z	200,000,000	2016/01/14	2016/06/29	50.00
66298	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 F	200,000,000	2016/01/14	2016/10/28	50.00
66306	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 G	200,000,000	2016/01/14	2016/10/28	50.00
66314	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 H	200,000,000	2016/01/14	2016/10/28	50.00
66316	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 I	200,000,000	2016/01/14	2016/10/28	50.00
66596	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 H	200,000,000	2016/01/14	2016/04/28	50.00
66573	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2016/01/14	2016/05/30	50.00
66561	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 D	200,000,000	2016/01/14	2016/06/29	50.00
66572	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 E	200,000,000	2016/01/14	2016/06/29	50.00
66558	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 F	200,000,000	2016/01/14	2016/06/29	50.00
66695	UBS AG – HSCEI R Bull CBBC Sep 2016 F	200,000,000	2016/01/14	2016/09/29	50.00
66696	UBS AG – HSCEI R Bear CBBC Apr 2016 B	100,000,000	2016/01/14	2016/04/28	25.00
66606	UBS AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2016/01/14	2016/11/29	50.00
66664	UBS AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2016/01/14	2016/11/29	50.00
66676	UBS AG – HSI R Bull CBBC Nov 2016 U	200,000,000	2016/01/14	2016/11/29	50.00
66680	UBS AG – HSI R Bull CBBC Nov 2016 V	200,000,000	2016/01/14	2016/11/29	50.00
66626	UBS AG – HSI R Bull CBBC Dec 2016 O	200,000,000	2016/01/14	2016/12/29	50.00
66671	UBS AG – HSI R Bull CBBC Dec 2016 Q	200,000,000	2016/01/14	2016/12/29	50.00
66600	UBS AG – HSI R Bull CBBC Feb 2017 G	200,000,000	2016/01/14	2017/02/27	50.00
66681	UBS AG – HSI R Bull CBBC Feb 2017 H	200,000,000	2016/01/14	2017/02/27	50.00
67000	UBS AG – HSI R Bear CBBC Apr 2016 P	200,000,000	2016/01/14	2016/04/28	50.00
67065	UBS AG – HSI R Bear CBBC Apr 2016 Q	200,000,000	2016/01/14	2016/04/28	50.00
67009	UBS AG – HSI R Bear CBBC May 2016 F	200,000,000	2016/01/14	2016/05/30	50.00
67068	UBS AG – HSI R Bear CBBC May 2016 G	200,000,000	2016/01/14	2016/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67077	UBS AG – HSI R Bear CBBC May 2016 H	200,000,000	2016/01/14	2016/05/30	50.00
66693	UBS AG – Tencent R Bear CBBC Jun 2016 B	50,000,000	2016/01/14	2016/06/20	12.50
67562	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 D	200,000,000	2016/01/15	2016/06/29	50.00
67566	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Sep 2016 D	50,000,000	2016/01/15	2016/09/30	12.50
67608	Credit Suisse AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2016/01/15	2016/11/29	50.00
67586	Credit Suisse AG – HSI R Bear CBBC Apr 2016 T	200,000,000	2016/01/15	2016/04/28	50.00
67580	Credit Suisse AG – HSI R Bear CBBC May 2016 O	200,000,000	2016/01/15	2016/05/30	50.00
67585	Credit Suisse AG – HSI R Bear CBBC May 2016 P	200,000,000	2016/01/15	2016/05/30	50.00
67579	Credit Suisse AG – HSI R Bear CBBC May 2016 Q	200,000,000	2016/01/15	2016/05/30	50.00
67594	Credit Suisse AG – HSI R Bear CBBC Jun 2016 K	200,000,000	2016/01/15	2016/06/29	50.00
67510	Bank of East Asia – CC Bank R Bull CBBC Jan 2017 A	60,000,000	2016/01/15	2017/01/20	15.00
67525	Bank of East Asia – HS H-SH ETF R Bull CBBC Jan 2017 A	40,000,000	2016/01/15	2017/01/18	10.00
67502	Bank of East Asia – HSBC Holdings R Bull CBBC Jan 2017 A	60,000,000	2016/01/15	2017/01/24	15.00
67511	Bank of East Asia – Ping An R Bull CBBC Jan 2017 A	20,000,000	2016/01/15	2017/01/19	16.00
67507	Bank of East Asia – TCH R Bull CBBC Jan 2017 A	40,000,000	2016/01/15	2017/01/23	10.00
67375	HK Bank – CAM CSI300 R Bull CBBC Nov 2016 C	50,000,000	2016/01/15	2016/11/07	51.00
67448	HK Bank – CKH R Bull CBBC Jan 2017 A	40,000,000	2016/01/15	2017/01/16	10.00
67361	HK Bank – CKP R Bull CBBC Jan 2017 A	40,000,000	2016/01/15	2017/01/16	10.00
67365	HK Bank – CRRC R Bull CBBC Jan 2017 A	40,000,000	2016/01/15	2017/01/16	10.00
67387	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2017 C	50,000,000	2016/01/15	2017/02/22	12.50
67392	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2017 D	50,000,000	2016/01/15	2017/02/27	12.50
67380	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 F	50,000,000	2016/01/15	2017/03/06	15.50
67418	HK Bank – A50 R Bull CBBC Feb 2017 D	50,000,000	2016/01/15	2017/02/22	12.50
67419	HK Bank – A50 R Bull CBBC Feb 2017 E	50,000,000	2016/01/15	2017/02/27	12.50
67425	HK Bank – HS H-SH ETF R Bull CBBC Feb 2017 C	50,000,000	2016/01/15	2017/02/22	12.50
67426	HK Bank – HS H-SH ETF R Bull CBBC Feb 2017 D	50,000,000	2016/01/15	2017/02/27	12.50
67372	HK Bank – Haitong Sec R Bull CBBC Jan 2017 A	40,000,000	2016/01/15	2017/01/16	13.20
67366	HK Bank – Sands China R Bull CBBC Jan 2017 A	40,000,000	2016/01/15	2017/01/16	30.00
67440	HK Bank – TCH R Bear CBBC Aug 2016 C	40,000,000	2016/01/15	2016/08/29	10.00
67438	HK Bank – TCH R Bear CBBC Sep 2016 C	40,000,000	2016/01/15	2016/09/26	10.80
67441	HK Bank – TCH R Bear CBBC Oct 2016 G	40,000,000	2016/01/15	2016/10/12	10.00
67686	J P Morgan SP BV – China Mobile R Bull CBBC Jan 2017 A	100,000,000	2016/01/15	2017/01/20	25.00
67697	J P Morgan SP BV – CC Bank R Bull CBBC Jan 2017 A	100,000,000	2016/01/15	2017/01/20	25.00
67703	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 I	200,000,000	2016/01/15	2016/11/29	50.00
67704	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Z	150,000,000	2016/01/15	2016/11/29	37.50
67538	J P Morgan SP BV – HSI R Bear CBBC May 2016 U	200,000,000	2016/01/15	2016/05/30	50.00
67706	J P Morgan SP BV – HSI R Bear CBBC May 2016 V	200,000,000	2016/01/15	2016/05/30	50.00
67720	J P Morgan SP BV – HSI R Bear CBBC May 2016 W	200,000,000	2016/01/15	2016/05/30	50.00
67710	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 A	200,000,000	2016/01/15	2016/06/29	50.00
67684	J P Morgan SP BV – Tencent R Bull CBBC Jan 2017 A	80,000,000	2016/01/15	2017/01/20	20.00
67685	J P Morgan SP BV – Tencent R Bear CBBC Jan 2017 A	80,000,000	2016/01/15	2017/01/20	20.00
67485	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 H	200,000,000	2016/01/15	2016/11/29	50.00
67477	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 F	200,000,000	2016/01/15	2016/04/28	50.00
67476	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 J	200,000,000	2016/01/15	2016/04/28	50.00
67480	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 M	200,000,000	2016/01/15	2016/04/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67467	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 I	200,000,000	2016/01/15	2016/06/29	50.00
67492	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 O	200,000,000	2016/01/15	2016/06/29	50.00
67679	UBS AG – HSI R Bull CBBC Dec 2016 S	200,000,000	2016/01/15	2016/12/29	50.00
67632	UBS AG – HSI R Bull CBBC Feb 2017 I	200,000,000	2016/01/15	2017/02/27	50.00
67611	UBS AG – HSI R Bear CBBC Apr 2016 R	200,000,000	2016/01/15	2016/04/28	50.00
67629	UBS AG – HSI R Bear CBBC Apr 2016 S	200,000,000	2016/01/15	2016/04/28	50.00
67618	UBS AG – HSI R Bear CBBC May 2016 I	200,000,000	2016/01/15	2016/05/30	50.00
67626	UBS AG – HSI R Bear CBBC May 2016 J	200,000,000	2016/01/15	2016/05/30	50.00
68130	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 L	100,000,000	2016/01/18	2016/11/29	25.00
68133	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 L	100,000,000	2016/01/18	2016/12/29	25.00
68136	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 M	100,000,000	2016/01/18	2016/12/29	25.00
68143	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 F	100,000,000	2016/01/18	2016/05/30	25.00
68138	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Jun 2016 A	100,000,000	2016/01/18	2016/06/29	25.00
68144	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Jun 2016 B	100,000,000	2016/01/18	2016/06/29	25.00
67843	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 M	250,000,000	2016/01/18	2016/09/29	62.50
67855	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 F	250,000,000	2016/01/18	2016/10/28	62.50
67878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 G	250,000,000	2016/01/18	2016/10/28	62.50
68084	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 J	250,000,000	2016/01/18	2016/11/29	67.50
68108	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 M	250,000,000	2016/01/18	2016/11/29	62.50
68092	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 R	250,000,000	2016/01/18	2016/11/29	62.50
68126	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 V	200,000,000	2016/01/18	2016/05/30	50.00
68269	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 W	200,000,000	2016/01/18	2016/05/30	50.00
68117	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 E	200,000,000	2016/01/18	2016/06/29	50.00
68121	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 F	200,000,000	2016/01/18	2016/06/29	50.00
68147	Credit Suisse AG – HSI R Bull CBBC Sep 2016 B	200,000,000	2016/01/18	2016/09/29	50.00
68145	Credit Suisse AG – HSI R Bull CBBC Sep 2016 J	200,000,000	2016/01/18	2016/09/29	50.00
68148	Credit Suisse AG – HSI R Bull CBBC Sep 2016 K	200,000,000	2016/01/18	2016/09/29	50.00
68151	Credit Suisse AG – HSI R Bull CBBC Sep 2016 L	200,000,000	2016/01/18	2016/09/29	50.00
68156	Credit Suisse AG – HSI R Bear CBBC Apr 2016 X	200,000,000	2016/01/18	2016/04/28	50.00
68164	Credit Suisse AG – HSI R Bear CBBC May 2016 B	200,000,000	2016/01/18	2016/05/30	50.00
68163	Credit Suisse AG – HSI R Bear CBBC May 2016 R	200,000,000	2016/01/18	2016/05/30	50.00
68153	Credit Suisse AG – HSI R Bear CBBC Jun 2016 L	200,000,000	2016/01/18	2016/06/29	50.00
68166	Credit Suisse AG – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/01/18	2016/06/29	50.00
67765	HK Bank – ABC R Bear CBBC Dec 2016 A	40,000,000	2016/01/18	2016/12/19	10.00
67739	HK Bank – BOCL R Bear CBBC Nov 2016 A	40,000,000	2016/01/18	2016/11/28	10.00
67783	HK Bank – Sinopec Corp R Bear CBBC Dec 2016 A	40,000,000	2016/01/18	2016/12/19	10.00
67768	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2017 A	50,000,000	2016/01/18	2017/01/16	12.50
67727	HK Bank – A50 R Bull CBBC Jan 2017 A	50,000,000	2016/01/18	2017/01/16	12.50
67736	HK Bank – Galaxy Ent R Bear CBBC Dec 2016 B	40,000,000	2016/01/18	2016/12/19	20.80
67762	HK Bank – HKEX R Bear CBBC Nov 2016 B	40,000,000	2016/01/18	2016/11/28	10.00
67837	HK Bank – HSI R Bull CBBC Oct 2016 T	150,000,000	2016/01/18	2016/10/28	37.50
67828	HK Bank – HSI R Bull CBBC Dec 2016 K	150,000,000	2016/01/18	2016/12/29	37.50
67788	HK Bank – HSI R Bear CBBC Nov 2016 D	150,000,000	2016/01/18	2016/11/29	37.50
67814	HK Bank – HSI R Bear CBBC Dec 2016 E	400,000,000	2016/01/18	2016/12/29	100.00
67767	HK Bank – ICBC R Bear CBBC Dec 2016 A	40,000,000	2016/01/18	2016/12/19	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67774	HK Bank – PetCh R Bear CBBC Dec 2016 B	40,000,000	2016/01/18	2016/12/19	10.00
67738	HK Bank – Sands China R Bear CBBC Dec 2016 D	40,000,000	2016/01/18	2016/12/19	21.60
68277	J P Morgan SP BV – AIA R Bull CBBC Feb 2017 A	100,000,000	2016/01/18	2017/02/17	25.00
68273	J P Morgan SP BV – BOCL R Bull CBBC Feb 2017 A	100,000,000	2016/01/18	2017/02/17	25.00
68274	J P Morgan SP BV – CC Bank R Bull CBBC Feb 2017 A	100,000,000	2016/01/18	2017/02/17	25.00
68034	J P Morgan SP BV – HSCEI R Bull CBBC Jan 2017 C	100,000,000	2016/01/18	2017/01/26	25.00
68353	J P Morgan SP BV – HSCEI R Bull CBBC Jun 2017 A	100,000,000	2016/01/18	2017/06/29	25.00
68355	J P Morgan SP BV – HSCEI R Bear CBBC May 2016 C	100,000,000	2016/01/18	2016/05/30	25.00
68016	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 R	200,000,000	2016/01/18	2016/11/29	50.00
68286	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 I	200,000,000	2016/01/18	2016/12/29	50.00
68285	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 T	200,000,000	2016/01/18	2016/12/29	50.00
68014	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 W	200,000,000	2016/01/18	2016/12/29	50.00
68033	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 Z	200,000,000	2016/01/18	2017/01/26	50.00
68289	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 F	200,000,000	2016/01/18	2017/02/27	50.00
68295	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 G	200,000,000	2016/01/18	2017/02/27	50.00
68301	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 B	200,000,000	2016/01/18	2017/06/29	50.00
68310	J P Morgan SP BV – HSI R Bear CBBC May 2016 X	200,000,000	2016/01/18	2016/05/30	50.00
68316	J P Morgan SP BV – HSI R Bear CBBC May 2016 Y	200,000,000	2016/01/18	2016/05/30	50.00
68339	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 B	200,000,000	2016/01/18	2016/06/29	50.00
68341	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 C	200,000,000	2016/01/18	2016/06/29	50.00
68344	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 D	200,000,000	2016/01/18	2016/06/29	50.00
68276	J P Morgan SP BV – ICBC R Bull CBBC Feb 2017 A	100,000,000	2016/01/18	2017/02/17	25.00
67931	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 E	40,000,000	2016/01/18	2016/07/28	10.20
67956	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 F	40,000,000	2016/01/18	2016/07/28	10.40
68011	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 G	40,000,000	2016/01/18	2016/07/28	10.00
67961	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 D	40,000,000	2016/01/18	2016/07/28	10.00
67966	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 E	40,000,000	2016/01/18	2016/07/28	10.20
67989	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 F	40,000,000	2016/01/18	2016/07/28	10.40
68005	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 G	40,000,000	2016/01/18	2016/07/28	10.60
67911	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 J	200,000,000	2016/01/18	2016/10/28	50.00
67917	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 K	200,000,000	2016/01/18	2016/10/28	50.00
67906	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 I	200,000,000	2016/01/18	2016/11/29	50.00
67880	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 B	200,000,000	2016/01/18	2016/12/29	62.00
67900	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 C	200,000,000	2016/01/18	2016/12/29	58.00
68059	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 P	200,000,000	2016/01/18	2016/04/28	50.00
68060	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2016/01/18	2016/05/30	50.00
68051	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 P	200,000,000	2016/01/18	2016/06/29	50.00
68057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Q	200,000,000	2016/01/18	2016/06/29	50.00
67918	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 B	400,000,000	2016/01/18	2016/07/28	100.00
68067	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 C	200,000,000	2016/01/18	2016/07/28	50.00
68072	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 D	200,000,000	2016/01/18	2016/07/28	50.00
68254	UBS AG – China Mobile R Bull CBBC Dec 2016 A	50,000,000	2016/01/18	2016/12/12	12.50
68244	UBS AG – HSBC R Bull CBBC Nov 2016 C	100,000,000	2016/01/18	2016/11/14	25.00
68189	UBS AG – HSCEI R Bull CBBC Dec 2016 A	200,000,000	2016/01/18	2016/12/29	50.00
68190	UBS AG – HSCEI R Bear CBBC May 2016 A	100,000,000	2016/01/18	2016/05/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68177	UBS AG – HSI R Bull CBBC Nov 2016 W	200,000,000	2016/01/18	2016/11/29	50.00
68184	UBS AG – HSI R Bull CBBC Nov 2016 X	200,000,000	2016/01/18	2016/11/29	50.00
68173	UBS AG – HSI R Bull CBBC Dec 2016 P	200,000,000	2016/01/18	2016/12/29	50.00
68169	UBS AG – HSI R Bull CBBC Dec 2016 R	200,000,000	2016/01/18	2016/12/29	50.00
68172	UBS AG – HSI R Bull CBBC Dec 2016 T	200,000,000	2016/01/18	2016/12/29	50.00
68179	UBS AG – HSI R Bull CBBC Dec 2016 U	200,000,000	2016/01/18	2016/12/29	50.00
68192	UBS AG – HSI R Bull CBBC Jan 2017 A	200,000,000	2016/01/18	2017/01/26	50.00
68222	UBS AG – HSI R Bull CBBC Jan 2017 B	200,000,000	2016/01/18	2017/01/26	50.00
68225	UBS AG – HSI R Bull CBBC Jan 2017 C	200,000,000	2016/01/18	2017/01/26	54.00
68202	UBS AG – HSI R Bull CBBC Feb 2017 J	200,000,000	2016/01/18	2017/02/27	50.00
68227	UBS AG – HSI R Bear CBBC Apr 2016 T	200,000,000	2016/01/18	2016/04/28	50.00
68241	UBS AG – HSI R Bear CBBC Apr 2016 U	200,000,000	2016/01/18	2016/04/28	50.00
68242	UBS AG – HSI R Bear CBBC Apr 2016 V	200,000,000	2016/01/18	2016/04/28	50.00
68229	UBS AG – HSI R Bear CBBC May 2016 K	200,000,000	2016/01/18	2016/05/30	50.00
68243	UBS AG – HSI R Bear CBBC May 2016 L	200,000,000	2016/01/18	2016/05/30	50.00
68265	UBS AG – HSI R Bear CBBC May 2016 M	200,000,000	2016/01/18	2016/05/30	50.00
68633	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 N	250,000,000	2016/01/19	2016/09/29	62.50
68488	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 H	250,000,000	2016/01/19	2016/10/28	62.50
68632	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 O	250,000,000	2016/01/19	2016/11/29	62.50
68606	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 N	250,000,000	2016/01/19	2016/12/29	75.00
68607	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 R	250,000,000	2016/01/19	2016/12/29	70.00
68489	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 X	200,000,000	2016/01/19	2016/05/30	50.00
68634	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 G	200,000,000	2016/01/19	2016/06/29	50.00
68576	Credit Suisse AG – HSI R Bull CBBC Oct 2016 K	200,000,000	2016/01/19	2016/10/28	50.00
68574	Credit Suisse AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2016/01/19	2016/10/28	50.00
68578	Credit Suisse AG – HSI R Bear CBBC Apr 2016 H	200,000,000	2016/01/19	2016/04/28	50.00
68592	Credit Suisse AG – HSI R Bear CBBC May 2016 H	200,000,000	2016/01/19	2016/05/30	50.00
68577	Credit Suisse AG – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/01/19	2016/06/29	50.00
68587	Credit Suisse AG – HSI R Bear CBBC Jun 2016 O	200,000,000	2016/01/19	2016/06/29	50.00
68357	HK Bank – China Mobile R Bull CBBC Dec 2016 F	50,000,000	2016/01/19	2016/12/19	12.50
68406	HK Bank – CITIC Sec R Bull CBBC Nov 2016 C	40,000,000	2016/01/19	2016/11/14	19.20
68359	HK Bank – China Life R Bull CBBC Oct 2016 D	50,000,000	2016/01/19	2016/10/24	21.50
68360	HK Bank – China Life R Bull CBBC Nov 2016 C	50,000,000	2016/01/19	2016/11/14	26.50
68379	HK Bank – CM Bank R Bull CBBC Dec 2016 D	40,000,000	2016/01/19	2016/12/30	14.00
68369	HK Bank – CRRC R Bull CBBC Mar 2017 A	40,000,000	2016/01/19	2017/03/06	10.00
68376	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 G	40,000,000	2016/01/19	2016/11/14	26.00
68404	HK Bank – HKEX R Bull CBBC Oct 2016 T	50,000,000	2016/01/19	2016/10/31	17.00
68358	HK Bank – HKEX R Bull CBBC Dec 2016 B	50,000,000	2016/01/19	2016/12/21	25.50
68396	HK Bank – HSCEI R Bull CBBC Oct 2016 N	60,000,000	2016/01/19	2016/10/28	15.00
68402	HK Bank – HSCEI R Bull CBBC Nov 2016 K	60,000,000	2016/01/19	2016/11/29	15.00
68426	HK Bank – HSCEI R Bull CBBC Nov 2016 L	60,000,000	2016/01/19	2016/11/29	15.00
68423	HK Bank – HSCEI R Bear CBBC Sep 2016 E	50,000,000	2016/01/19	2016/09/29	12.50
68414	HK Bank – HSI R Bull CBBC Sep 2016 B	400,000,000	2016/01/19	2016/09/29	100.00
68409	HK Bank – HSI R Bull CBBC Nov 2016 M	150,000,000	2016/01/19	2016/11/29	37.50
68412	HK Bank – HSI R Bull CBBC Dec 2016 L	150,000,000	2016/01/19	2016/12/29	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68415	HK Bank – HSI R Bear CBBC Aug 2016 P	150,000,000	2016/01/19	2016/08/30	37.50
68421	HK Bank – HSI R Bear CBBC Sep 2016 K	150,000,000	2016/01/19	2016/09/29	37.50
68374	HK Bank – SHK Ppt R Bull CBBC Jan 2017 B	40,000,000	2016/01/19	2017/01/16	10.00
68377	HK Bank – TCH R Bull CBBC Oct 2016 F	50,000,000	2016/01/19	2016/10/24	12.50
68378	HK Bank – TCH R Bull CBBC Nov 2016 F	50,000,000	2016/01/19	2016/11/07	12.50
68439	HK Bank – TCH R Bear CBBC Nov 2016 B	40,000,000	2016/01/19	2016/11/24	10.00
68732	J P Morgan SP BV – CC Bank R Bull CBBC Mar 2017 A	100,000,000	2016/01/19	2017/03/17	25.00
68725	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Mar 2017 A	80,000,000	2016/01/19	2017/03/17	20.00
68723	J P Morgan SP BV – A50 China R Bull CBBC Mar 2017 A	80,000,000	2016/01/19	2017/03/17	20.00
68722	J P Morgan SP BV – HSBC R Bull CBBC Apr 2017 A	100,000,000	2016/01/19	2017/04/21	25.00
68717	J P Morgan SP BV – HSBC R Bear CBBC Sep 2016 A	100,000,000	2016/01/19	2016/09/15	25.00
68654	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 A	200,000,000	2016/01/19	2016/09/29	50.00
68656	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Y	150,000,000	2016/01/19	2016/10/28	37.50
68658	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 O	150,000,000	2016/01/19	2016/11/29	37.50
68446	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Q	150,000,000	2016/01/19	2016/11/29	37.50
68659	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 B	200,000,000	2016/01/19	2017/04/27	50.00
68661	J P Morgan SP BV – HSI R Bull CBBC May 2017 B	200,000,000	2016/01/19	2017/05/29	50.00
68665	J P Morgan SP BV – HSI R Bear CBBC May 2016 Z	150,000,000	2016/01/19	2016/05/30	37.50
68680	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 F	200,000,000	2016/01/19	2016/06/29	50.00
68701	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/01/19	2016/07/28	50.00
68707	J P Morgan SP BV – Tencent R Bull CBBC Feb 2017 A	80,000,000	2016/01/19	2017/02/17	20.00
68708	J P Morgan SP BV – Tencent R Bear CBBC Nov 2016 A	80,000,000	2016/01/19	2016/11/18	20.00
68491	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 H	40,000,000	2016/01/19	2016/07/28	10.00
68492	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 I	40,000,000	2016/01/19	2016/07/28	10.40
68501	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 J	40,000,000	2016/01/19	2016/07/28	10.20
68493	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 H	40,000,000	2016/01/19	2016/07/28	10.00
68494	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 I	40,000,000	2016/01/19	2016/07/28	10.20
68500	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 J	40,000,000	2016/01/19	2016/07/28	10.40
68447	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 D	200,000,000	2016/01/19	2016/12/29	50.00
68485	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 O	200,000,000	2016/01/19	2016/04/28	50.00
68451	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Q	200,000,000	2016/01/19	2016/04/28	50.00
68463	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 T	200,000,000	2016/01/19	2016/04/28	50.00
68481	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 V	200,000,000	2016/01/19	2016/04/28	50.00
68637	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Y	200,000,000	2016/01/19	2016/04/28	50.00
68642	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Z	200,000,000	2016/01/19	2016/04/28	50.00
68504	UBS AG – HSI R Bull CBBC Jan 2017 D	200,000,000	2016/01/19	2017/01/26	50.00
68510	UBS AG – HSI R Bull CBBC Jan 2017 E	200,000,000	2016/01/19	2017/01/26	50.00
68526	UBS AG – HSI R Bull CBBC Jan 2017 F	200,000,000	2016/01/19	2017/01/26	50.00
68561	UBS AG – HSI R Bull CBBC Jan 2017 G	200,000,000	2016/01/19	2017/01/26	50.00
68502	UBS AG – HSI R Bull CBBC Feb 2017 K	200,000,000	2016/01/19	2017/02/27	50.00
68528	UBS AG – HSI R Bull CBBC Feb 2017 L	200,000,000	2016/01/19	2017/02/27	50.00
68562	UBS AG – HSI R Bull CBBC Feb 2017 M	200,000,000	2016/01/19	2017/02/27	50.00
68573	UBS AG – HSI R Bull CBBC Mar 2017 A	200,000,000	2016/01/19	2017/03/30	50.00
68593	UBS AG – HSI R Bear CBBC Apr 2016 W	200,000,000	2016/01/19	2016/04/28	50.00
68597	UBS AG – HSI R Bear CBBC Apr 2016 X	200,000,000	2016/01/19	2016/04/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68598	UBS AG – HSI R Bear CBBC Apr 2016 Y	200,000,000	2016/01/19	2016/04/28	50.00
68889	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 O	250,000,000	2016/01/20	2016/09/29	62.50
68953	Credit Suisse AG – HSI R Bull CBBC Aug 2016 E	200,000,000	2016/01/20	2016/08/30	50.00
68960	Credit Suisse AG – HSI R Bull CBBC Aug 2016 G	200,000,000	2016/01/20	2016/08/30	50.00
68977	Credit Suisse AG – HSI R Bull CBBC Aug 2016 H	200,000,000	2016/01/20	2016/08/30	50.00
68945	Credit Suisse AG – HSI R Bear CBBC May 2016 A	200,000,000	2016/01/20	2016/05/30	50.00
68944	Credit Suisse AG – HSI R Bear CBBC May 2016 I	200,000,000	2016/01/20	2016/05/30	50.00
68833	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 L	200,000,000	2016/01/20	2016/09/29	50.80
68838	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 M	200,000,000	2016/01/20	2016/09/29	53.40
68849	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 N	200,000,000	2016/01/20	2016/09/29	55.40
68851	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 O	200,000,000	2016/01/20	2016/09/29	57.00
68862	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 P	200,000,000	2016/01/20	2016/09/29	58.60
68763	HK Bank – ABC R Bear CBBC Nov 2016 A	40,000,000	2016/01/20	2016/11/28	10.00
68760	HK Bank – China Mobile R Bear CBBC Dec 2016 A	40,000,000	2016/01/20	2016/12/05	10.00
68762	HK Bank – CNOOC R Bull CBBC Dec 2016 F	50,000,000	2016/01/20	2016/12/19	12.50
68745	HK Bank – CNOOC R Bear CBBC Dec 2016 C	40,000,000	2016/01/20	2016/12/19	10.00
68750	HK Bank – Sinopec Corp R Bear CBBC Dec 2016 B	40,000,000	2016/01/20	2016/12/19	10.00
68757	HK Bank – HKEX R Bull CBBC Oct 2016 U	50,000,000	2016/01/20	2016/10/31	12.50
68742	HK Bank – HSI R Bear CBBC Oct 2016 H	150,000,000	2016/01/20	2016/10/28	37.50
68755	HK Bank – PetCh R Bull CBBC Apr 2017 A	50,000,000	2016/01/20	2017/04/28	12.50
68756	HK Bank – PetCh R Bear CBBC Dec 2016 C	40,000,000	2016/01/20	2016/12/19	10.00
68764	HK Bank – Ping An R Bull CBBC Nov 2016 N	50,000,000	2016/01/20	2016/11/28	12.50
68804	HK Bank – Ping An R Bull CBBC Nov 2016 O	50,000,000	2016/01/20	2016/11/07	12.50
68778	HK Bank – Ping An R Bull CBBC Jan 2017 A	50,000,000	2016/01/20	2017/01/16	12.50
68811	HK Bank – Ping An R Bull CBBC Jan 2017 B	50,000,000	2016/01/20	2017/01/11	12.50
68803	HK Bank – Ping An R Bear CBBC Nov 2016 C	40,000,000	2016/01/20	2016/11/28	10.00
69019	J P Morgan SP BV – COVS R Bull CBBC Dec 2016 A	50,000,000	2016/01/20	2016/12/16	12.50
69063	J P Morgan SP BV – COVS R Bull CBBC Feb 2017 A	50,000,000	2016/01/20	2017/02/17	22.50
69064	J P Morgan SP BV – China Life R Bull CBBC Dec 2016 B	40,000,000	2016/01/20	2016/12/16	10.00
69084	J P Morgan SP BV – HS H-SH ETF R Bull CBBC Jan 2017 A	180,000,000	2016/01/20	2017/01/20	45.00
68937	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2016 I	100,000,000	2016/01/20	2016/12/29	25.00
68939	J P Morgan SP BV – HSCEI R Bull CBBC Apr 2017 A	100,000,000	2016/01/20	2017/04/27	25.00
68943	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 J	200,000,000	2016/01/20	2016/12/29	50.00
68997	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 F	150,000,000	2016/01/20	2017/01/26	37.50
69012	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 D	150,000,000	2016/01/20	2017/03/30	37.50
69014	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 B	200,000,000	2016/01/20	2017/07/28	50.00
68864	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 G	200,000,000	2016/01/20	2016/06/29	50.00
68867	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/01/20	2016/07/28	50.00
68986	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 C	150,000,000	2016/01/20	2016/07/28	37.50
69083	J P Morgan SP BV – PetCh R Bull CBBC Feb 2017 A	180,000,000	2016/01/20	2017/02/17	45.00
69085	J P Morgan SP BV – Tracker Fund R Bull CBBC Dec 2016 C	100,000,000	2016/01/20	2016/12/16	25.00
68874	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 K	40,000,000	2016/01/20	2016/07/28	10.00
68912	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 L	200,000,000	2016/01/20	2016/10/28	50.00
68913	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 J	200,000,000	2016/01/20	2016/11/29	50.00
68914	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 E	200,000,000	2016/01/20	2016/12/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68816	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 N	200,000,000	2016/01/20	2016/06/29	50.00
68817	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 E	200,000,000	2016/01/20	2016/07/28	50.00
68819	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 F	200,000,000	2016/01/20	2016/07/28	50.00
68925	UBS AG – HSI R Bull CBBC Jan 2017 H	200,000,000	2016/01/20	2017/01/26	50.00
68923	UBS AG – HSI R Bull CBBC Feb 2017 N	200,000,000	2016/01/20	2017/02/27	50.00
68926	UBS AG – HSI R Bull CBBC Feb 2017 O	200,000,000	2016/01/20	2017/02/27	50.00
68922	UBS AG – HSI R Bear CBBC Apr 2016 A	200,000,000	2016/01/20	2016/04/28	50.00
68916	UBS AG – HSI R Bear CBBC Apr 2016 Z	200,000,000	2016/01/20	2016/04/28	50.00
68915	UBS AG – HSI R Bear CBBC May 2016 N	200,000,000	2016/01/20	2016/05/30	50.00
69148	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 I	250,000,000	2016/01/21	2016/10/28	62.50
69171	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 Y	200,000,000	2016/01/21	2016/05/30	50.00
69176	Credit Suisse AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2016/01/21	2016/11/29	50.00
69181	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Z	200,000,000	2016/01/21	2016/11/29	50.00
69186	Credit Suisse AG – HSI R Bear CBBC May 2016 T	200,000,000	2016/01/21	2016/05/30	50.00
69187	Credit Suisse AG – HSI R Bear CBBC May 2016 U	200,000,000	2016/01/21	2016/05/30	50.00
69116	HK Bank – China Mobile R Bull CBBC Nov 2016 E	50,000,000	2016/01/21	2016/11/28	12.50
69115	HK Bank – China Life R Bear CBBC Nov 2016 A	40,000,000	2016/01/21	2016/11/28	23.20
69105	HK Bank – CNOOC R Bull CBBC Dec 2016 G	50,000,000	2016/01/21	2016/12/07	12.50
69090	HK Bank – HKEX R Bull CBBC Nov 2016 F	50,000,000	2016/01/21	2016/11/28	12.50
69094	HK Bank – HSI R Bull CBBC Nov 2016 N	150,000,000	2016/01/21	2016/11/29	37.50
69113	HK Bank – HSI R Bear CBBC Sep 2016 L	150,000,000	2016/01/21	2016/09/29	37.50
69232	J P Morgan SP BV – China Mobile R Bull CBBC Feb 2017 A	100,000,000	2016/01/21	2017/02/17	25.00
69229	J P Morgan SP BV – China Mobile R Bull CBBC Nov 2017 A	100,000,000	2016/01/21	2017/11/17	25.00
69222	J P Morgan SP BV – HSBC R Bull CBBC Nov 2016 B	200,000,000	2016/01/21	2016/11/18	50.00
69226	J P Morgan SP BV – HSBC R Bull CBBC Sep 2017 A	200,000,000	2016/01/21	2017/09/15	50.00
69150	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 S	200,000,000	2016/01/21	2016/11/29	50.00
69149	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 L	200,000,000	2016/01/21	2016/12/29	50.00
69216	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 M	200,000,000	2016/01/21	2016/12/29	50.00
69215	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 G	200,000,000	2016/01/21	2017/01/26	50.00
69220	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 C	200,000,000	2016/01/21	2017/06/29	58.00
69210	J P Morgan SP BV – HSI R Bear CBBC May 2016 A	200,000,000	2016/01/21	2016/05/30	50.00
69212	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/01/21	2016/07/28	50.00
69221	J P Morgan SP BV – HSI R Bear CBBC Jun 2017 A	200,000,000	2016/01/21	2017/06/29	50.00
69228	J P Morgan SP BV – Tencent R Bear CBBC Feb 2017 A	60,000,000	2016/01/21	2017/02/17	15.00
69166	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 K	40,000,000	2016/01/21	2016/07/28	10.20
69170	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 L	40,000,000	2016/01/21	2016/07/28	10.00
69124	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 K	200,000,000	2016/01/21	2016/11/29	50.00
69129	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 F	200,000,000	2016/01/21	2016/12/29	50.00
69172	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 G	200,000,000	2016/01/21	2016/07/28	50.00
69174	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 H	200,000,000	2016/01/21	2016/07/28	50.00
69188	UBS AG – HSI R Bull CBBC Dec 2016 V	200,000,000	2016/01/21	2016/12/29	50.00
69189	UBS AG – HSI R Bull CBBC Jan 2017 I	200,000,000	2016/01/21	2017/01/26	50.00
69206	UBS AG – HSI R Bull CBBC Jan 2017 J	200,000,000	2016/01/21	2017/01/26	50.00
69202	UBS AG – HSI R Bull CBBC Mar 2017 B	200,000,000	2016/01/21	2017/03/30	50.00
69205	UBS AG – HSI R Bull CBBC Mar 2017 C	200,000,000	2016/01/21	2017/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69208	UBS AG – HSI R Bull CBBC Jun 2017 A	200,000,000	2016/01/21	2017/06/29	67.00
69209	UBS AG – HSI R Bear CBBC May 2016 O	200,000,000	2016/01/21	2016/05/30	50.00
69418	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 H	200,000,000	2016/01/22	2016/06/29	50.00
69294	Credit Suisse AG – HSI R Bull CBBC Sep 2016 F	200,000,000	2016/01/22	2016/09/29	50.00
69288	Credit Suisse AG – HSI R Bull CBBC Sep 2016 M	200,000,000	2016/01/22	2016/09/29	50.00
69301	Credit Suisse AG – HSI R Bear CBBC Jun 2016 P	200,000,000	2016/01/22	2016/06/29	50.00
69315	Credit Suisse AG – HSI R Bear CBBC Jun 2016 Q	200,000,000	2016/01/22	2016/06/29	50.00
69402	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 Q	200,000,000	2016/01/22	2016/09/29	50.80
69405	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 R	200,000,000	2016/01/22	2016/09/29	51.40
69408	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 S	200,000,000	2016/01/22	2016/09/29	51.60
69412	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 T	200,000,000	2016/01/22	2016/09/29	51.40
69413	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 U	200,000,000	2016/01/22	2016/09/29	51.00
69453	J P Morgan SP BV – CAM CSI300 R Bull CBBC Nov 2016 A	200,000,000	2016/01/22	2016/11/18	50.00
69480	J P Morgan SP BV – CAM CSI300 R Bull CBBC Feb 2017 A	200,000,000	2016/01/22	2017/02/17	50.00
69433	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Feb 2017 A	80,000,000	2016/01/22	2017/02/17	20.00
69432	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Apr 2017 A	80,000,000	2016/01/22	2017/04/21	20.00
69434	J P Morgan SP BV – A50 China R Bull CBBC Jan 2017 B	80,000,000	2016/01/22	2017/01/20	20.00
69435	J P Morgan SP BV – A50 China R Bull CBBC Apr 2017 A	80,000,000	2016/01/22	2017/04/21	20.00
69425	J P Morgan SP BV – HKEX R Bull CBBC Nov 2016 E	50,000,000	2016/01/22	2016/11/18	12.50
69431	J P Morgan SP BV – HKEX R Bull CBBC Jan 2017 A	50,000,000	2016/01/22	2017/01/20	12.50
69481	J P Morgan SP BV – HSCEI R Bull CBBC Apr 2017 B	100,000,000	2016/01/22	2017/04/27	25.00
69485	J P Morgan SP BV – HSCEI R Bull CBBC Jun 2017 B	100,000,000	2016/01/22	2017/06/29	25.00
69496	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 N	100,000,000	2016/01/22	2016/04/28	25.00
69423	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 U	200,000,000	2016/01/22	2016/12/29	50.00
69504	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 H	150,000,000	2016/01/22	2017/01/26	37.50
69514	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 E	150,000,000	2016/01/22	2017/03/30	37.50
69515	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 C	150,000,000	2016/01/22	2017/04/27	37.50
69516	J P Morgan SP BV – HSI R Bull CBBC May 2017 C	200,000,000	2016/01/22	2017/05/29	50.00
69519	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 B	200,000,000	2016/01/22	2017/08/30	60.00
69528	J P Morgan SP BV – HSI R Bear CBBC May 2016 B	200,000,000	2016/01/22	2016/05/30	60.00
69531	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 H	200,000,000	2016/01/22	2016/06/29	60.00
69533	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 E	150,000,000	2016/01/22	2016/07/28	45.00
69449	J P Morgan SP BV – Ping An R Bull CBBC Dec 2016 B	200,000,000	2016/01/22	2016/12/16	50.00
69451	J P Morgan SP BV – Ping An R Bull CBBC Jan 2017 A	200,000,000	2016/01/22	2017/01/20	50.00
69322	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 L	40,000,000	2016/01/22	2016/07/28	10.00
69257	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2016/01/22	2016/09/29	50.00
69234	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 G	200,000,000	2016/01/22	2016/12/29	50.00
69237	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 H	200,000,000	2016/01/22	2016/12/29	50.00
69238	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 I	200,000,000	2016/01/22	2016/12/29	50.00
69239	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 J	200,000,000	2016/01/22	2016/12/29	50.00
69246	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 K	200,000,000	2016/01/22	2016/12/29	50.00
69247	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 L	200,000,000	2016/01/22	2016/12/29	50.00
69347	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 R	200,000,000	2016/01/22	2016/06/29	50.00
69348	UBS AG – HSCEI R Bull CBBC Oct 2016 F	200,000,000	2016/01/22	2016/10/28	50.00
69349	UBS AG – HSCEI R Bull CBBC Jan 2017 A	200,000,000	2016/01/22	2017/01/26	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69358	UBS AG – HSCEI R Bear CBBC Apr 2016 C	100,000,000	2016/01/22	2016/04/28	25.00
69359	UBS AG – HSI R Bull CBBC Jan 2017 K	200,000,000	2016/01/22	2017/01/26	50.00
69360	UBS AG – HSI R Bull CBBC Jan 2017 L	200,000,000	2016/01/22	2017/01/26	50.00
69361	UBS AG – HSI R Bull CBBC Jan 2017 M	200,000,000	2016/01/22	2017/01/26	50.00
69367	UBS AG – HSI R Bull CBBC Feb 2017 P	200,000,000	2016/01/22	2017/02/27	50.00
69370	UBS AG – HSI R Bear CBBC Apr 2016 B	200,000,000	2016/01/22	2016/04/28	50.00
69625	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 M	100,000,000	2016/01/25	2016/11/29	25.00
69626	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 G	100,000,000	2016/01/25	2016/05/30	25.00
69607	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 G	250,000,000	2016/01/25	2016/11/29	77.50
69590	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 I	250,000,000	2016/01/25	2016/11/29	62.50
69622	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 L	250,000,000	2016/01/25	2016/11/29	62.50
69591	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2016/01/25	2016/11/29	62.50
69614	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 J	250,000,000	2016/01/25	2016/12/29	62.50
69609	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 O	250,000,000	2016/01/25	2016/12/29	70.00
69611	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Y	250,000,000	2016/01/25	2016/12/29	62.50
69587	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Z	250,000,000	2016/01/25	2016/12/29	62.50
69912	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 C	200,000,000	2016/01/25	2016/05/30	50.00
69606	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 Z	200,000,000	2016/01/25	2016/05/30	50.00
69605	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 I	200,000,000	2016/01/25	2016/06/29	50.00
69593	Credit Suisse AG – HSI R Bull CBBC Oct 2016 A	200,000,000	2016/01/25	2016/10/28	50.00
69592	Credit Suisse AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2016/01/25	2016/10/28	50.00
69695	Credit Suisse AG – HSI R Bear CBBC May 2016 V	200,000,000	2016/01/25	2016/05/30	50.00
69913	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 P	200,000,000	2016/01/25	2016/04/28	50.40
69918	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 Q	200,000,000	2016/01/25	2016/04/28	52.80
69920	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 R	200,000,000	2016/01/25	2016/04/28	55.60
69939	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 S	200,000,000	2016/01/25	2016/04/28	57.60
69969	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 T	200,000,000	2016/01/25	2016/04/28	59.40
69576	HK Bank – AIA R Bear CBBC Nov 2016 A	40,000,000	2016/01/25	2016/11/28	18.00
69534	HK Bank – AIA R Bear CBBC Dec 2016 C	40,000,000	2016/01/25	2016/12/19	10.00
69552	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 G	50,000,000	2016/01/25	2017/03/27	18.00
69548	HK Bank – A50 R Bull CBBC Mar 2017 E	50,000,000	2016/01/25	2017/03/06	12.50
69550	HK Bank – A50 R Bull CBBC Mar 2017 F	50,000,000	2016/01/25	2017/03/27	13.00
69566	HK Bank – HKEX R Bear CBBC Nov 2017 A	40,000,000	2016/01/25	2017/11/28	10.00
69565	HK Bank – HSCEI R Bear CBBC Nov 2016 C	50,000,000	2016/01/25	2016/11/29	12.50
69556	HK Bank – HSI R Bull CBBC Feb 2017 B	150,000,000	2016/01/25	2017/02/27	37.50
69564	HK Bank – HSI R Bull CBBC Feb 2017 C	400,000,000	2016/01/25	2017/02/27	116.00
69563	HK Bank – HSI R Bear CBBC Sep 2016 M	150,000,000	2016/01/25	2016/09/29	37.50
69986	J P Morgan SP BV – CC Bank R Bull CBBC Apr 2017 A	150,000,000	2016/01/25	2017/04/21	37.50
69974	J P Morgan SP BV – HKEX R Bull CBBC Feb 2017 A	50,000,000	2016/01/25	2017/02/17	12.50
69603	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 V	200,000,000	2016/01/25	2016/12/29	50.00
69604	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 X	200,000,000	2016/01/25	2016/12/29	50.00
69646	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 H	150,000,000	2016/01/25	2017/02/27	37.50
69647	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 F	150,000,000	2016/01/25	2017/03/30	37.50
69663	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 D	150,000,000	2016/01/25	2017/04/27	37.50
69674	J P Morgan SP BV – HSI R Bull CBBC May 2017 D	200,000,000	2016/01/25	2017/05/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69684	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/01/25	2017/06/29	50.00
69685	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 A	200,000,000	2016/01/25	2017/12/28	70.00
69910	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/01/25	2016/06/29	50.00
69631	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/01/25	2016/07/28	50.00
69634	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 G	150,000,000	2016/01/25	2016/07/28	37.50
69638	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 A	150,000,000	2016/01/25	2016/08/30	37.50
69970	J P Morgan SP BV – Ping An R Bull CBBC Feb 2017 A	200,000,000	2016/01/25	2017/02/17	50.00
69628	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 M	40,000,000	2016/01/25	2016/07/28	10.00
69577	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 M	200,000,000	2016/01/25	2016/10/28	50.00
69586	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 N	200,000,000	2016/01/25	2016/10/28	50.00
69584	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 M	200,000,000	2016/01/25	2016/12/29	50.00
69686	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2016/01/25	2016/06/29	50.00
69688	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 I	200,000,000	2016/01/25	2016/07/28	50.00
69712	UBS AG – HSI R Bull CBBC Nov 2016 H	200,000,000	2016/01/25	2016/11/29	50.00
69708	UBS AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2016/01/25	2016/11/29	50.00
69707	UBS AG – HSI R Bull CBBC Dec 2016 W	200,000,000	2016/01/25	2016/12/29	50.00
69715	UBS AG – HSI R Bull CBBC Jan 2017 N	200,000,000	2016/01/25	2017/01/26	50.00
69717	UBS AG – HSI R Bull CBBC Jan 2017 O	200,000,000	2016/01/25	2017/01/26	50.00
69720	UBS AG – HSI R Bull CBBC Mar 2017 D	200,000,000	2016/01/25	2017/03/30	57.00
69724	UBS AG – HSI R Bull CBBC Mar 2017 E	200,000,000	2016/01/25	2017/03/30	50.00
69723	UBS AG – HSI R Bull CBBC Apr 2017 A	200,000,000	2016/01/25	2017/04/27	70.00
69728	UBS AG – HSI R Bear CBBC Apr 2016 C	200,000,000	2016/01/25	2016/04/28	50.00
69732	UBS AG – HSI R Bear CBBC May 2016 P	200,000,000	2016/01/25	2016/05/30	50.00
69790	UBS AG – HSI R Bear CBBC May 2016 Q	200,000,000	2016/01/25	2016/05/30	50.00
60068	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 S	250,000,000	2016/01/26	2016/12/29	62.50
60059	Credit Suisse AG – HSI R Bull CBBC Sep 2016 N	200,000,000	2016/01/26	2016/09/29	50.00
60060	Credit Suisse AG – HSI R Bull CBBC Sep 2016 U	200,000,000	2016/01/26	2016/09/29	50.00
60037	Credit Suisse AG – HSI R Bull CBBC Oct 2016 D	200,000,000	2016/01/26	2016/10/28	50.00
60034	Credit Suisse AG – HSI R Bull CBBC Dec 2016 M	200,000,000	2016/01/26	2016/12/29	50.00
60031	Credit Suisse AG – HSI R Bull CBBC Jan 2017 D	200,000,000	2016/01/26	2017/01/26	50.00
60035	Credit Suisse AG – HSI R Bull CBBC Jan 2017 E	200,000,000	2016/01/26	2017/01/26	50.00
60039	Credit Suisse AG – HSI R Bear CBBC May 2016 W	200,000,000	2016/01/26	2016/05/30	50.00
60042	Credit Suisse AG – HSI R Bear CBBC May 2016 X	200,000,000	2016/01/26	2016/05/30	50.00
60038	Credit Suisse AG – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/01/26	2016/07/28	50.00
60007	Bank of East Asia – COVS R Bull CBBC Feb 2017 A	30,000,000	2016/01/26	2017/02/08	18.00
60011	Bank of East Asia – CNOOC R Bull CBBC Feb 2017 A	40,000,000	2016/01/26	2017/02/03	10.00
60013	Bank of East Asia – Sinopec Corp R Bull CBBC Mar 2017 A	80,000,000	2016/01/26	2017/03/08	20.00
60012	Bank of East Asia – Galaxy Ent R Bull CBBC Feb 2017 A	25,000,000	2016/01/26	2017/02/27	12.50
60000	HK Bank – ABC R Bull CBBC Dec 2016 C	50,000,000	2016/01/26	2016/12/30	12.50
69994	HK Bank – BOCL R Bull CBBC Dec 2016 F	50,000,000	2016/01/26	2016/12/30	12.50
60001	HK Bank – China Life R Bull CBBC Nov 2016 D	50,000,000	2016/01/26	2016/11/21	25.50
60002	HK Bank – CNOOC R Bull CBBC Jan 2017 B	50,000,000	2016/01/26	2017/01/11	12.50
60003	HK Bank – Sinopec Corp R Bull CBBC Jan 2017 B	50,000,000	2016/01/26	2017/01/11	12.50
60004	HK Bank – HSI R Bear CBBC Aug 2016 Q	150,000,000	2016/01/26	2016/08/30	37.50
60093	J P Morgan SP BV – AIA R Bull CBBC Jan 2017 A	150,000,000	2016/01/26	2017/01/20	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60087	J P Morgan SP BV – CAM CSI300 R Bull CBBC Jan 2017 A	150,000,000	2016/01/26	2017/01/20	37.50
60111	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Apr 2017 C	60,000,000	2016/01/26	2017/04/21	15.00
60112	J P Morgan SP BV – A50 China R Bull CBBC Feb 2017 B	80,000,000	2016/01/26	2017/02/17	20.00
60069	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 F	150,000,000	2016/01/26	2016/12/29	37.50
60049	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Y	200,000,000	2016/01/26	2016/12/29	50.00
60071	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 K	200,000,000	2016/01/26	2017/01/26	50.00
60072	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 K	150,000,000	2016/01/26	2016/06/29	37.50
60047	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/01/26	2016/07/28	50.00
60053	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/01/26	2016/07/28	50.00
60073	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 J	150,000,000	2016/01/26	2016/07/28	37.50
60075	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 B	150,000,000	2016/01/26	2016/08/30	37.50
60076	J P Morgan SP BV – Ping An R Bull CBBC Feb 2017 B	200,000,000	2016/01/26	2017/02/17	50.00
60088	J P Morgan SP BV – Tencent R Bull CBBC Feb 2017 B	60,000,000	2016/01/26	2017/02/17	15.00
60062	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 W	200,000,000	2016/01/26	2016/09/29	50.00
60061	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 L	200,000,000	2016/01/26	2016/11/29	50.00
60015	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 N	200,000,000	2016/01/26	2016/12/29	50.00
60021	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 O	200,000,000	2016/01/26	2016/12/29	50.00
60022	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 J	200,000,000	2016/01/26	2016/07/28	50.00
60024	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 K	200,000,000	2016/01/26	2016/07/28	50.00
60105	UBS AG – CSOP A50 ETF R Bull CBBC Oct 2016 B	50,000,000	2016/01/26	2016/10/17	12.50
60102	UBS AG – HSBC R Bull CBBC Sep 2017 A	100,000,000	2016/01/26	2017/09/18	25.00
60106	UBS AG – HSI R Bull CBBC Nov 2016 I	200,000,000	2016/01/26	2016/11/29	50.00
60108	UBS AG – HSI R Bull CBBC Jan 2017 P	200,000,000	2016/01/26	2017/01/26	50.00
60099	UBS AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2016/01/26	2016/04/28	50.00
60101	UBS AG – HSI R Bear CBBC May 2016 R	200,000,000	2016/01/26	2016/05/30	50.00
60226	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Sep16 H	50,000,000	2016/01/27	2016/09/30	12.50
60221	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 N	100,000,000	2016/01/27	2016/11/29	25.00
60222	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 N	100,000,000	2016/01/27	2016/12/29	25.00
60223	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Jun 2016 C	100,000,000	2016/01/27	2016/06/29	25.00
60210	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2016/01/27	2016/11/29	62.50
60147	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2016/01/27	2016/11/29	62.50
60208	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 T	250,000,000	2016/01/27	2016/11/29	62.50
60209	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Z	250,000,000	2016/01/27	2016/11/29	62.50
60207	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 A	250,000,000	2016/01/27	2016/12/29	75.00
60144	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 K	250,000,000	2016/01/27	2016/12/29	62.50
60205	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 U	250,000,000	2016/01/27	2016/12/29	80.00
60213	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 E	200,000,000	2016/01/27	2016/05/30	50.00
60214	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/01/27	2016/06/29	50.00
60216	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 K	200,000,000	2016/01/27	2016/06/29	50.00
60165	Credit Suisse AG – HSI R Bull CBBC Nov 2016 A	200,000,000	2016/01/27	2016/11/29	50.00
60168	Credit Suisse AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2016/01/27	2016/11/29	50.00
60164	Credit Suisse AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2016/01/27	2016/11/29	50.00
60257	Credit Suisse AG – HSI R Bull CBBC Feb 2017 B	200,000,000	2016/01/27	2017/02/27	50.00
60259	Credit Suisse AG – HSI R Bull CBBC Feb 2017 C	200,000,000	2016/01/27	2017/02/27	52.00
60258	Credit Suisse AG – HSI R Bull CBBC Mar 2017 A	200,000,000	2016/01/27	2017/03/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60260	Credit Suisse AG – HSI R Bull CBBC Mar 2017 B	200,000,000	2016/01/27	2017/03/30	62.00
60277	Credit Suisse AG – HSI R Bear CBBC Jun 2016 R	200,000,000	2016/01/27	2016/06/29	50.00
60283	Credit Suisse AG – HSI R Bear CBBC Jun 2016 S	200,000,000	2016/01/27	2016/06/29	50.00
60281	Credit Suisse AG – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/01/27	2016/07/28	50.00
60140	Bank of East Asia – BOCL R Bull CBBC Feb 2017 A	60,000,000	2016/01/27	2017/02/14	15.00
60129	Bank of East Asia – CITIC R Bull CBBC Feb 2017 A	40,000,000	2016/01/27	2017/02/06	14.00
60132	Bank of East Asia – China Life R Bull CBBC Feb 2017 A	30,000,000	2016/01/27	2017/02/10	15.00
60134	Bank of East Asia – CM Bank R Bull CBBC Feb 2017 A	40,000,000	2016/01/27	2017/02/13	10.00
60130	Bank of East Asia – HKEX R Bull CBBC Feb 2017 A	40,000,000	2016/01/27	2017/02/09	10.00
60114	HK Bank – China Life R Bear CBBC Nov 2016 B	40,000,000	2016/01/27	2016/11/28	15.20
60116	HK Bank – A50 R Bull CBBC Dec 2016 E	50,000,000	2016/01/27	2016/12/30	12.50
60126	HK Bank – HSCEI R Bull CBBC Nov 2016 M	60,000,000	2016/01/27	2016/11/29	15.00
60190	HK Bank – HSCEI R Bear CBBC Aug 2016 K	50,000,000	2016/01/27	2016/08/30	12.50
60120	HK Bank – HSI R Bull CBBC Dec 2016 M	150,000,000	2016/01/27	2016/12/29	37.50
60191	HK Bank – HSI R Bear CBBC Aug 2016 R	150,000,000	2016/01/27	2016/08/30	37.50
60128	HK Bank – Ping An R Bull CBBC Oct 2016 K	50,000,000	2016/01/27	2016/10/31	12.50
60113	HK Bank – TCH R Bull CBBC Dec 2016 C	50,000,000	2016/01/27	2016/12/12	12.50
60365	J P Morgan SP BV – China Life R Bull CBBC Feb 2017 A	30,000,000	2016/01/27	2017/02/17	10.50
60348	J P Morgan SP BV – HKEX R Bull CBBC Jun 2017 A	40,000,000	2016/01/27	2017/06/16	10.00
60349	J P Morgan SP BV – HKEX R Bull CBBC Jul 2017 A	40,000,000	2016/01/27	2017/07/21	10.00
60367	J P Morgan SP BV – HKEX R Bear CBBC Feb 2017 A	40,000,000	2016/01/27	2017/02/17	10.40
60338	J P Morgan SP BV – HSCEI R Bull CBBC Jan 2017 D	100,000,000	2016/01/27	2017/01/26	25.00
60339	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2017 A	100,000,000	2016/01/27	2017/09/28	25.00
60332	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 E	200,000,000	2016/01/27	2016/08/30	50.00
60334	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 B	200,000,000	2016/01/27	2016/09/29	50.00
60169	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 R	150,000,000	2016/01/27	2016/10/28	37.50
60335	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Z	200,000,000	2016/01/27	2016/10/28	50.00
60170	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 T	150,000,000	2016/01/27	2016/11/29	37.50
60184	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 H	200,000,000	2016/01/27	2016/12/29	50.00
60189	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 L	200,000,000	2016/01/27	2017/01/26	50.00
60327	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 I	150,000,000	2016/01/27	2017/02/27	37.50
60328	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 G	150,000,000	2016/01/27	2017/03/30	37.50
60331	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 E	150,000,000	2016/01/27	2017/04/27	37.50
60336	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 A	200,000,000	2016/01/27	2017/11/29	60.00
60337	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 B	200,000,000	2016/01/27	2017/12/28	80.00
60320	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 L	150,000,000	2016/01/27	2016/06/29	37.50
60289	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/01/27	2016/07/28	50.00
60324	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 L	150,000,000	2016/01/27	2016/07/28	37.50
60290	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/01/27	2016/08/30	50.00
60355	J P Morgan SP BV – Tencent R Bear CBBC Feb 2017 B	50,000,000	2016/01/27	2017/02/17	12.50
60300	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2016 A	40,000,000	2016/01/27	2016/10/28	10.40
60302	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2016 B	40,000,000	2016/01/27	2016/10/28	10.08
60309	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2016 A	40,000,000	2016/01/27	2016/11/29	10.00
60311	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 N	40,000,000	2016/01/27	2016/07/28	10.40
60315	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 O	40,000,000	2016/01/27	2016/07/28	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60317	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 A	40,000,000	2016/01/27	2016/08/30	10.20
60312	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2016 A	40,000,000	2016/01/27	2016/09/29	10.00
60158	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 X	200,000,000	2016/01/27	2016/09/29	50.00
60163	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Z	200,000,000	2016/01/27	2016/09/29	50.00
60199	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 O	200,000,000	2016/01/27	2016/10/28	50.00
60152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 M	200,000,000	2016/01/27	2016/11/29	50.00
60148	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 P	200,000,000	2016/01/27	2016/12/29	60.00
60192	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 Q	200,000,000	2016/01/27	2016/12/29	62.00
60193	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 R	200,000,000	2016/01/27	2016/12/29	58.00
60196	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 S	200,000,000	2016/01/27	2016/12/29	54.00
60197	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 T	200,000,000	2016/01/27	2016/12/29	50.00
60200	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 L	200,000,000	2016/01/27	2016/07/28	50.00
60201	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 M	200,000,000	2016/01/27	2016/07/28	50.00
60227	UBS AG – HSCEI R Bull CBBC Feb 2017 B	200,000,000	2016/01/27	2017/02/27	50.00
60233	UBS AG – HSCEI R Bear CBBC Apr 2016 D	100,000,000	2016/01/27	2016/04/28	25.00
60234	UBS AG – HSI R Bull CBBC Dec 2016 E	200,000,000	2016/01/27	2016/12/29	50.00
60237	UBS AG – HSI R Bull CBBC Jan 2017 Q	200,000,000	2016/01/27	2017/01/26	50.00
60240	UBS AG – HSI R Bull CBBC Jan 2017 R	200,000,000	2016/01/27	2017/01/26	50.00
60242	UBS AG – HSI R Bull CBBC Jan 2017 S	200,000,000	2016/01/27	2017/01/26	50.00
60235	UBS AG – HSI R Bull CBBC Feb 2017 Q	200,000,000	2016/01/27	2017/02/27	50.00
60243	UBS AG – HSI R Bull CBBC Feb 2017 R	200,000,000	2016/01/27	2017/02/27	50.00
60245	UBS AG – HSI R Bull CBBC Feb 2017 S	200,000,000	2016/01/27	2017/02/27	50.00
60248	UBS AG – HSI R Bull CBBC Mar 2017 F	200,000,000	2016/01/27	2017/03/30	50.00
60249	UBS AG – HSI R Bull CBBC Apr 2017 B	200,000,000	2016/01/27	2017/04/27	50.00
60250	UBS AG – HSI R Bull CBBC Jun 2017 B	200,000,000	2016/01/27	2017/06/29	92.00
60251	UBS AG – HSI R Bull CBBC Jul 2017 A	200,000,000	2016/01/27	2017/07/28	53.00
60252	UBS AG – HSI R Bear CBBC May 2016 S	200,000,000	2016/01/27	2016/05/30	50.00
60254	UBS AG – HSI R Bear CBBC May 2016 T	200,000,000	2016/01/27	2016/05/30	50.00
60255	UBS AG – HSI R Bear CBBC May 2016 U	200,000,000	2016/01/27	2016/05/30	50.00
60256	UBS AG – HSI R Bear CBBC May 2016 V	200,000,000	2016/01/27	2016/05/30	50.00
60613	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 O	100,000,000	2016/01/28	2016/11/29	25.00
60614	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 H	100,000,000	2016/01/28	2016/05/30	25.00
60451	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 F	250,000,000	2016/01/28	2016/11/29	62.50
60450	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2016/01/28	2016/11/29	62.50
60586	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 N	250,000,000	2016/01/28	2016/11/29	82.50
60594	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 V	250,000,000	2016/01/28	2016/11/29	62.50
60449	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 C	250,000,000	2016/01/28	2016/12/29	62.50
60590	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 G	250,000,000	2016/01/28	2016/12/29	75.00
60592	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 T	250,000,000	2016/01/28	2016/12/29	62.50
60593	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 V	250,000,000	2016/01/28	2016/12/29	62.50
60611	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 D	200,000,000	2016/01/28	2016/05/30	50.00
60600	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 L	200,000,000	2016/01/28	2016/06/29	50.00
60604	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/01/28	2016/06/29	50.00
60506	Credit Suisse AG – HSCEI R Bear CBBC May 2016 C	100,000,000	2016/01/28	2016/05/30	25.00
60509	Credit Suisse AG – HSI R Bull CBBC Oct 2016 U	200,000,000	2016/01/28	2016/10/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60511	Credit Suisse AG – HSI R Bull CBBC Oct 2016 V	200,000,000	2016/01/28	2016/10/28	50.00
60515	Credit Suisse AG – HSI R Bull CBBC Dec 2016 N	200,000,000	2016/01/28	2016/12/29	50.00
60513	Credit Suisse AG – HSI R Bull CBBC Feb 2017 D	200,000,000	2016/01/28	2017/02/27	64.00
60508	Credit Suisse AG – HSI R Bear CBBC May 2016 Y	200,000,000	2016/01/28	2016/05/30	50.00
60574	Credit Suisse AG – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/01/28	2016/06/29	50.00
60575	Credit Suisse AG – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/01/28	2016/06/29	50.00
60581	Credit Suisse AG – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/01/28	2016/07/28	50.00
60661	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 V	200,000,000	2016/01/28	2016/09/29	57.40
60674	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 W	200,000,000	2016/01/28	2016/09/29	56.00
60675	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 X	200,000,000	2016/01/28	2016/09/29	54.40
60679	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 Y	200,000,000	2016/01/28	2016/09/29	52.20
60692	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 Z	200,000,000	2016/01/28	2016/09/29	51.20
60395	HK Bank – ABC R Bull CBBC Aug 2017 A	50,000,000	2016/01/28	2017/08/07	12.50
60399	HK Bank – AIA R Bull CBBC Jun 2017 A	40,000,000	2016/01/28	2017/06/30	50.00
60390	HK Bank – CC Bank R Bull CBBC Dec 2017 A	50,000,000	2016/01/28	2017/12/12	12.50
60377	HK Bank – CC Bank R Bear CBBC Apr 2017 A	40,000,000	2016/01/28	2017/04/28	10.00
60368	HK Bank – CKH R Bull CBBC Jun 2017 A	40,000,000	2016/01/28	2017/06/26	10.00
60369	HK Bank – CKH R Bear CBBC Jun 2017 A	40,000,000	2016/01/28	2017/06/26	10.00
60411	HK Bank – CM Bank R Bull CBBC Jan 2017 A	40,000,000	2016/01/28	2017/01/16	14.00
60378	HK Bank – Galaxy Ent R Bull CBBC Jun 2017 A	40,000,000	2016/01/28	2017/06/26	10.00
60412	HK Bank – HS H-SH ETF R Bull CBBC Jul 2017 A	50,000,000	2016/01/28	2017/07/31	12.50
60391	HK Bank – HSI R Bull CBBC Nov 2016 O	150,000,000	2016/01/28	2016/11/29	37.50
60393	HK Bank – HSI R Bull CBBC Nov 2016 P	150,000,000	2016/01/28	2016/11/29	37.50
60398	HK Bank – HSI R Bull CBBC Dec 2016 N	150,000,000	2016/01/28	2016/12/29	37.50
60424	HK Bank – HSI R Bull CBBC Dec 2016 O	150,000,000	2016/01/28	2016/12/29	37.50
60428	HK Bank – HSI R Bull CBBC Mar 2017 A	150,000,000	2016/01/28	2017/03/30	46.50
60401	HK Bank – ICBC R Bull CBBC Jul 2017 A	50,000,000	2016/01/28	2017/07/31	12.50
60405	HK Bank – ICBC R Bear CBBC Apr 2017 A	40,000,000	2016/01/28	2017/04/28	10.00
60410	HK Bank – Ping An R Bear CBBC Dec 2016 A	40,000,000	2016/01/28	2016/12/30	10.00
60381	HK Bank – Sands China R Bull CBBC Jul 2017 A	40,000,000	2016/01/28	2017/07/31	10.00
60373	HK Bank – SHK Ppt R Bull CBBC Jun 2017 A	40,000,000	2016/01/28	2017/06/26	10.00
60376	HK Bank – SHK Ppt R Bear CBBC Jun 2017 A	40,000,000	2016/01/28	2017/06/26	10.00
60388	HK Bank – TCH R Bull CBBC Jun 2017 A	50,000,000	2016/01/28	2017/06/26	19.50
60619	J P Morgan SP BV – ABC R Bull CBBC Aug 2017 A	150,000,000	2016/01/28	2017/08/18	37.50
60625	J P Morgan SP BV – CNOOC R Bull CBBC Feb 2017 A	68,000,000	2016/01/28	2017/02/17	17.00
60622	J P Morgan SP BV – Sinopec Corp R Bull CBBC Feb 2017 A	100,000,000	2016/01/28	2017/02/17	25.00
60615	J P Morgan SP BV – HKEX R Bull CBBC Sep 2017 A	40,000,000	2016/01/28	2017/09/15	10.00
60616	J P Morgan SP BV – HKEX R Bear CBBC Jan 2017 A	40,000,000	2016/01/28	2017/01/20	10.00
60655	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2017 A	100,000,000	2016/01/28	2017/12/28	25.00
60652	J P Morgan SP BV – HSCEI R Bear CBBC May 2016 D	100,000,000	2016/01/28	2016/05/30	25.00
60530	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 O	200,000,000	2016/01/28	2017/01/26	50.00
60626	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 H	150,000,000	2016/01/28	2017/03/30	37.50
60531	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 F	200,000,000	2016/01/28	2017/04/27	50.00
60627	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 G	150,000,000	2016/01/28	2017/04/27	37.50
60629	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 C	200,000,000	2016/01/28	2017/07/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60630	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 B	200,000,000	2016/01/28	2017/09/28	50.00
60632	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 B	200,000,000	2016/01/28	2017/11/29	50.00
60635	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 C	200,000,000	2016/01/28	2017/12/28	50.00
60527	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/01/28	2016/06/29	50.00
60636	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/01/28	2016/06/29	50.00
60645	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/01/28	2016/07/28	50.00
60651	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/01/28	2016/08/30	50.00
60656	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 B	40,000,000	2016/01/28	2016/08/30	10.00
60456	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 N	200,000,000	2016/01/28	2016/11/29	60.00
60464	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 O	200,000,000	2016/01/28	2016/11/29	56.00
60475	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 P	200,000,000	2016/01/28	2016/11/29	52.00
60481	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 Q	200,000,000	2016/01/28	2016/11/29	50.00
60483	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 R	200,000,000	2016/01/28	2016/11/29	50.00
60487	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 S	200,000,000	2016/01/28	2016/11/29	50.00
60490	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 T	200,000,000	2016/01/28	2016/11/29	50.00
60491	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 U	200,000,000	2016/01/28	2016/11/29	50.00
60429	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 A	200,000,000	2016/01/28	2016/04/28	50.00
60431	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 B	200,000,000	2016/01/28	2016/04/28	50.00
60436	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 C	200,000,000	2016/01/28	2016/04/28	50.00
60447	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 R	200,000,000	2016/01/28	2016/04/28	50.00
60448	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 A	400,000,000	2016/01/28	2016/08/30	100.00
60532	UBS AG – HKEX R Bull CBBC Oct 2016 E	100,000,000	2016/01/28	2016/10/24	34.50
60551	UBS AG – HSBC R Bull CBBC Nov 2017 A	100,000,000	2016/01/28	2017/11/20	25.00
60542	UBS AG – HSI R Bull CBBC Dec 2016 F	200,000,000	2016/01/28	2016/12/29	50.00
60545	UBS AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2016/01/28	2016/12/29	50.00
60544	UBS AG – HSI R Bull CBBC Jan 2017 T	200,000,000	2016/01/28	2017/01/26	50.00
60538	UBS AG – HSI R Bull CBBC Mar 2017 G	200,000,000	2016/01/28	2017/03/30	50.00
60541	UBS AG – HSI R Bull CBBC Mar 2017 H	200,000,000	2016/01/28	2017/03/30	54.00
60548	UBS AG – HSI R Bull CBBC Mar 2017 I	200,000,000	2016/01/28	2017/03/30	62.00
60550	UBS AG – HSI R Bull CBBC Jun 2017 C	200,000,000	2016/01/28	2017/06/29	74.00
60536	UBS AG – HSI R Bear CBBC May 2016 W	200,000,000	2016/01/28	2016/05/30	50.00
60567	UBS AG – HSI R Bear CBBC May 2016 X	200,000,000	2016/01/28	2016/05/30	50.00
60568	UBS AG – HSI R Bear CBBC May 2016 Y	200,000,000	2016/01/28	2016/05/30	50.00
60826	Credit Suisse AG – HKEX R Bull CBBC Sep 2016 B	100,000,000	2016/01/29	2016/09/30	26.00
60828	Credit Suisse AG – HKEX R Bear CBBC Jul 2016 A	90,000,000	2016/01/29	2016/07/29	22.50
60829	Credit Suisse AG – HSI R Bull CBBC Dec 2016 O	200,000,000	2016/01/29	2016/12/29	50.00
60831	Credit Suisse AG – HSI R Bull CBBC Dec 2016 P	200,000,000	2016/01/29	2016/12/29	50.00
60832	Credit Suisse AG – HSI R Bull CBBC Dec 2016 Q	200,000,000	2016/01/29	2016/12/29	50.00
60794	Credit Suisse AG – HSI R Bear CBBC May 2016 Z	200,000,000	2016/01/29	2016/05/30	50.00
60803	Credit Suisse AG – HSI R Bear CBBC Jun 2016 V	200,000,000	2016/01/29	2016/06/29	50.00
60737	HK Bank – CITIC Sec R Bull CBBC Sep 2017 A	40,000,000	2016/01/29	2017/09/04	18.80
60766	HK Bank – CNOOC R Bear CBBC Jul 2017 A	40,000,000	2016/01/29	2017/07/31	10.00
60764	HK Bank – HKEX R Bear CBBC Jun 2017 A	40,000,000	2016/01/29	2017/06/26	10.00
60726	HK Bank – HSCEI R Bull CBBC Nov 2016 N	60,000,000	2016/01/29	2016/11/29	15.00
60727	HK Bank – HSCEI R Bull CBBC Dec 2016 H	60,000,000	2016/01/29	2016/12/29	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60706	HK Bank – HSI R Bull CBBC Sep 2016 E	150,000,000	2016/01/29	2016/09/29	37.50
60707	HK Bank – HSI R Bull CBBC Oct 2016 U	150,000,000	2016/01/29	2016/10/28	37.50
60713	HK Bank – HSI R Bull CBBC Nov 2016 Q	150,000,000	2016/01/29	2016/11/29	37.50
60720	HK Bank – HSI R Bull CBBC Dec 2016 P	150,000,000	2016/01/29	2016/12/29	37.50
60705	HK Bank – HSI R Bear CBBC Sep 2016 N	150,000,000	2016/01/29	2016/09/29	37.50
60763	HK Bank – Haitong Sec R Bull CBBC Aug 2017 A	40,000,000	2016/01/29	2017/08/31	14.40
60733	HK Bank – PetCh R Bear CBBC Jul 2017 A	40,000,000	2016/01/29	2017/07/31	10.00
60729	HK Bank – TCH R Bull CBBC Nov 2016 G	50,000,000	2016/01/29	2016/11/28	12.50
60701	HK Bank – TCH R Bull CBBC Dec 2016 D	50,000,000	2016/01/29	2016/12/05	15.50
60704	HK Bank – TCH R Bear CBBC Nov 2016 C	40,000,000	2016/01/29	2016/11/14	10.00
60908	J P Morgan SP BV – CKH R Bull CBBC Jun 2017 A	40,000,000	2016/01/29	2017/06/16	10.00
60914	J P Morgan SP BV – CKH R Bull CBBC Jul 2017 A	40,000,000	2016/01/29	2017/07/21	10.00
60916	J P Morgan SP BV – China Life R Bull CBBC Mar 2017 A	40,000,000	2016/01/29	2017/03/17	10.00
60894	J P Morgan SP BV – HKEX R Bull CBBC Jan 2017 B	40,000,000	2016/01/29	2017/01/20	10.00
60897	J P Morgan SP BV – HKEX R Bull CBBC Oct 2017 A	40,000,000	2016/01/29	2017/10/20	12.00
60899	J P Morgan SP BV – HSBC R Bull CBBC Nov 2017 A	100,000,000	2016/01/29	2017/11/17	25.00
60859	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 J	200,000,000	2016/01/29	2017/02/27	50.00
60865	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 H	200,000,000	2016/01/29	2017/04/27	50.00
60868	J P Morgan SP BV – HSI R Bull CBBC May 2017 E	150,000,000	2016/01/29	2017/05/29	37.50
60891	J P Morgan SP BV – HSI R Bull CBBC Jan 2018 A	200,000,000	2016/01/29	2018/01/30	70.00
60782	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 O	200,000,000	2016/01/29	2016/06/29	50.00
60893	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 P	150,000,000	2016/01/29	2016/06/29	37.50
60783	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/01/29	2016/07/28	50.00
60898	J P Morgan SP BV – PetCh R Bull CBBC Jan 2017 A	70,000,000	2016/01/29	2017/01/20	17.50
60785	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 A	40,000,000	2016/01/29	2016/09/29	10.00
60786	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 P	200,000,000	2016/01/29	2016/10/28	50.00
60767	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 B	200,000,000	2016/01/29	2016/05/30	50.00
60770	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 E	200,000,000	2016/01/29	2016/05/30	50.00
60790	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2016/01/29	2016/05/30	50.00
60792	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2016/01/29	2016/05/30	50.00
60769	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 N	200,000,000	2016/01/29	2016/07/28	50.00
60846	UBS AG – HSI R Bull CBBC Dec 2016 X	200,000,000	2016/01/29	2016/12/29	50.00
60855	UBS AG – HSI R Bull CBBC Dec 2016 Y	200,000,000	2016/01/29	2016/12/29	50.00
60849	UBS AG – HSI R Bull CBBC Jan 2017 U	200,000,000	2016/01/29	2017/01/26	50.00
60847	UBS AG – HSI R Bull CBBC Feb 2017 T	200,000,000	2016/01/29	2017/02/27	50.00
60839	UBS AG – HSI R Bear CBBC Jun 2016 A	200,000,000	2016/01/29	2016/06/29	50.00
60843	UBS AG – HSI R Bear CBBC Jun 2016 B	200,000,000	2016/01/29	2016/06/29	50.00
61048	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 C	250,000,000	2016/02/01	2016/11/29	62.50
60957	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 W	250,000,000	2016/02/01	2016/12/29	62.50
60958	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/02/01	2016/06/29	50.00
61060	Credit Suisse AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2016/02/01	2016/11/29	50.00
61072	Credit Suisse AG – HSI R Bull CBBC Nov 2016 U	200,000,000	2016/02/01	2016/11/29	50.00
61059	Credit Suisse AG – HSI R Bull CBBC Dec 2016 R	200,000,000	2016/02/01	2016/12/29	50.00
61066	Credit Suisse AG – HSI R Bull CBBC Dec 2016 S	200,000,000	2016/02/01	2016/12/29	50.00
61049	Credit Suisse AG – HSI R Bear CBBC May 2016 V	200,000,000	2016/02/01	2016/05/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61054	Credit Suisse AG – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/02/01	2016/07/28	50.00
61058	Credit Suisse AG – Tracker Fund R Bull CBBC Sep 2016 B	70,000,000	2016/02/01	2016/09/30	17.50
60936	Bank of East Asia – ABC R Bull CBBC Jan 2017 A	60,000,000	2016/02/01	2017/01/13	15.00
60948	Bank of East Asia – HKEX R Bull CBBC Jan 2017 B	40,000,000	2016/02/01	2017/01/10	10.00
60951	Bank of East Asia – HKEX R Bear CBBC Jan 2017 A	35,000,000	2016/02/01	2017/01/09	10.50
60942	Bank of East Asia – ICBC R Bull CBBC Jan 2017 A	60,000,000	2016/02/01	2017/01/12	15.00
60943	Bank of East Asia – Ping An R Bull CBBC Jan 2017 B	20,000,000	2016/02/01	2017/01/11	14.00
61074	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 A	200,000,000	2016/02/01	2016/09/29	50.40
61078	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 B	200,000,000	2016/02/01	2016/09/29	53.40
61082	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 C	200,000,000	2016/02/01	2016/09/29	55.40
61077	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 D	200,000,000	2016/02/01	2016/09/29	52.40
61088	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 G	200,000,000	2016/02/01	2016/09/29	57.20
60919	HK Bank – China Life R Bull CBBC Dec 2016 A	50,000,000	2016/02/01	2016/12/05	24.00
60922	HK Bank – HKEX R Bull CBBC Dec 2016 C	50,000,000	2016/02/01	2016/12/05	12.50
60918	HK Bank – HSCEI R Bull CBBC Dec 2016 I	60,000,000	2016/02/01	2016/12/29	15.00
60928	HK Bank – HSI R Bull CBBC Oct 2016 V	150,000,000	2016/02/01	2016/10/28	37.50
60917	HK Bank – HSI R Bear CBBC Mar 2017 A	400,000,000	2016/02/01	2017/03/30	100.00
60929	HK Bank – HSI R Bear CBBC Mar 2017 B	400,000,000	2016/02/01	2017/03/30	100.00
60925	HK Bank – PetCh R Bull CBBC Dec 2016 H	50,000,000	2016/02/01	2016/12/12	12.50
60927	HK Bank – Sands China R Bull CBBC Dec 2016 D	40,000,000	2016/02/01	2016/12/12	12.40
61117	J P Morgan SP BV – CNOOC R Bull CBBC Jul 2017 A	50,000,000	2016/02/01	2017/07/21	12.50
61111	J P Morgan SP BV – HSBC R Bull CBBC Jun 2017 A	100,000,000	2016/02/01	2017/06/16	25.00
61073	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 K	150,000,000	2016/02/01	2017/02/27	37.50
61089	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 I	150,000,000	2016/02/01	2017/03/30	37.50
61097	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 I	150,000,000	2016/02/01	2017/04/27	37.50
60968	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 Q	150,000,000	2016/02/01	2016/06/29	37.50
60974	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 R	150,000,000	2016/02/01	2016/06/29	37.50
61105	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 O	150,000,000	2016/02/01	2016/07/28	37.50
61106	J P Morgan SP BV – Tencent R Bull CBBC Feb 2017 C	50,000,000	2016/02/01	2017/02/17	12.50
61016	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2016 A	40,000,000	2016/02/01	2016/08/30	10.00
61007	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 S	200,000,000	2016/02/01	2016/10/28	50.00
60990	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 V	200,000,000	2016/02/01	2016/11/29	50.00
60998	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 W	200,000,000	2016/02/01	2016/11/29	50.00
60999	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 U	200,000,000	2016/02/01	2016/12/29	50.00
61009	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 O	200,000,000	2016/02/01	2016/05/30	50.00
61013	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 U	200,000,000	2016/02/01	2016/05/30	50.00
61015	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 W	200,000,000	2016/02/01	2016/05/30	50.00
61031	UBS AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2016/02/01	2016/11/29	50.00
61042	UBS AG – HSI R Bull CBBC Dec 2016 D	200,000,000	2016/02/01	2016/12/29	50.00
61046	UBS AG – HSI R Bull CBBC Feb 2017 U	200,000,000	2016/02/01	2017/02/27	50.00
61022	UBS AG – HSI R Bear CBBC May 2016 Z	200,000,000	2016/02/01	2016/05/30	50.00
61024	UBS AG – HSI R Bear CBBC Jun 2016 C	200,000,000	2016/02/01	2016/06/29	50.00
61025	UBS AG – HSI R Bear CBBC Jun 2016 D	200,000,000	2016/02/01	2016/06/29	50.00
61153	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 E	250,000,000	2016/02/02	2016/12/29	62.50
61183	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 H	200,000,000	2016/02/02	2016/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61198	Credit Suisse AG – HSI R Bull CBBC Oct 2016 B	200,000,000	2016/02/02	2016/10/28	50.00
61197	Credit Suisse AG – HSI R Bull CBBC Oct 2016 W	200,000,000	2016/02/02	2016/10/28	50.00
61199	Credit Suisse AG – HSI R Bear CBBC Jun 2016 W	200,000,000	2016/02/02	2016/06/29	50.00
61201	Credit Suisse AG – HSI R Bear CBBC Jun 2016 X	200,000,000	2016/02/02	2016/06/29	50.00
61205	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 F	200,000,000	2016/02/02	2016/09/29	53.60
61195	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 H	200,000,000	2016/02/02	2016/09/29	50.40
61196	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 I	200,000,000	2016/02/02	2016/09/29	51.20
61202	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 J	200,000,000	2016/02/02	2016/09/29	50.60
61203	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 K	200,000,000	2016/02/02	2016/09/29	52.20
61206	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 L	200,000,000	2016/02/02	2016/09/29	57.60
61207	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 M	200,000,000	2016/02/02	2016/09/29	58.20
61189	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 A	200,000,000	2016/02/02	2016/05/30	50.20
61192	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 B	200,000,000	2016/02/02	2016/05/30	51.00
61194	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 C	200,000,000	2016/02/02	2016/05/30	52.00
61136	HK Bank – AIA R Bull CBBC Dec 2016 A	40,000,000	2016/02/02	2016/12/30	10.00
61150	HK Bank – CITIC Sec R Bull CBBC Dec 2016 A	40,000,000	2016/02/02	2016/12/30	10.00
61137	HK Bank – CKP R Bull CBBC Dec 2016 B	40,000,000	2016/02/02	2016/12/19	10.00
61142	HK Bank – CNOOC R Bull CBBC Nov 2016 J	50,000,000	2016/02/02	2016/11/28	12.50
61140	HK Bank – Sinopec Corp R Bull CBBC Nov 2016 F	50,000,000	2016/02/02	2016/11/28	12.50
61146	HK Bank – HKEX R Bull CBBC Nov 2016 G	50,000,000	2016/02/02	2016/11/21	12.50
61134	HK Bank – HSI R Bull CBBC Sep 2016 C	150,000,000	2016/02/02	2016/09/29	37.50
61130	HK Bank – HSI R Bull CBBC Nov 2016 R	150,000,000	2016/02/02	2016/11/29	37.50
61249	J P Morgan SP BV – COVS R Bull CBBC Feb 2017 B	25,000,000	2016/02/02	2017/02/17	10.00
61234	J P Morgan SP BV – CC Bank R Bull CBBC May 2017 A	150,000,000	2016/02/02	2017/05/19	37.50
61230	J P Morgan SP BV – China Life R Bull CBBC Apr 2017 A	30,000,000	2016/02/02	2017/04/21	10.50
61231	J P Morgan SP BV – HKEX R Bull CBBC Nov 2017 A	40,000,000	2016/02/02	2017/11/17	12.00
61241	J P Morgan SP BV – HSBC R Bull CBBC Dec 2017 A	130,000,000	2016/02/02	2017/12/15	32.50
61247	J P Morgan SP BV – HSBC R Bear CBBC Jan 2017 A	120,000,000	2016/02/02	2017/01/20	30.00
61208	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 N	200,000,000	2016/02/02	2016/12/29	50.00
61211	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 J	150,000,000	2016/02/02	2017/03/30	37.50
61216	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 K	150,000,000	2016/02/02	2017/03/30	37.50
61223	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 J	150,000,000	2016/02/02	2017/04/27	37.50
61221	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 E	150,000,000	2016/02/02	2017/06/29	37.50
61227	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 C	150,000,000	2016/02/02	2017/08/30	37.50
61229	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 S	150,000,000	2016/02/02	2016/06/29	37.50
61248	J P Morgan SP BV – Ping An R Bull CBBC Apr 2017 A	100,000,000	2016/02/02	2017/04/21	25.00
61156	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 X	200,000,000	2016/02/02	2016/11/29	50.00
61163	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 Y	200,000,000	2016/02/02	2016/11/29	50.00
61162	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 V	200,000,000	2016/02/02	2016/12/29	50.00
61165	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Z	200,000,000	2016/02/02	2016/05/30	50.00
61168	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep16 A	400,000,000	2016/02/02	2016/09/29	100.00
61173	UBS AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2016/02/02	2016/11/29	50.00
61170	UBS AG – HSI R Bull CBBC Jan 2017 V	200,000,000	2016/02/02	2017/01/26	50.00
61180	UBS AG – HSI R Bear CBBC May 2016 P	200,000,000	2016/02/02	2016/05/30	50.00
61318	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Dec16A	100,000,000	2016/02/03	2016/12/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61313	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2016 A	100,000,000	2016/02/03	2016/12/30	25.00
61315	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2016 B	100,000,000	2016/02/03	2016/12/30	25.00
61311	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 L	250,000,000	2016/02/03	2016/12/29	62.50
61273	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 O	200,000,000	2016/02/03	2016/06/29	50.00
61275	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 P	200,000,000	2016/02/03	2016/06/29	50.00
61293	Credit Suisse AG – HSI R Bull CBBC Nov 2016 V	200,000,000	2016/02/03	2016/11/29	50.00
61282	Credit Suisse AG – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/02/03	2016/07/28	50.00
61283	Credit Suisse AG – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/02/03	2016/07/28	50.00
61289	Credit Suisse AG – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/02/03	2016/07/28	50.00
61320	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 D	200,000,000	2016/02/03	2016/05/30	50.60
61321	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 E	200,000,000	2016/02/03	2016/05/30	53.00
61322	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 F	200,000,000	2016/02/03	2016/05/30	55.20
61328	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 G	200,000,000	2016/02/03	2016/05/30	56.60
61331	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 H	200,000,000	2016/02/03	2016/05/30	57.60
61270	HK Bank – CAM CSI300 R Bull CBBC Aug 2017 A	50,000,000	2016/02/03	2017/08/28	12.50
61258	HK Bank – BOCL R Bull CBBC Jan 2017 A	50,000,000	2016/02/03	2017/01/16	12.50
61256	HK Bank – CKP R Bull CBBC Nov 2017 A	40,000,000	2016/02/03	2017/11/27	10.00
61252	HK Bank – China Life R Bull CBBC Dec 2016 B	50,000,000	2016/02/03	2016/12/12	24.50
61255	HK Bank – China Life R Bear CBBC Dec 2016 B	40,000,000	2016/02/03	2016/12/12	14.80
61257	HK Bank – CM Bank R Bull CBBC Dec 2016 E	40,000,000	2016/02/03	2016/12/19	18.80
61264	HK Bank – CRRC R Bull CBBC Jul 2017 A	40,000,000	2016/02/03	2017/07/17	10.00
61271	HK Bank – A50 R Bull CBBC Jan 2017 B	50,000,000	2016/02/03	2017/01/16	13.50
61251	HK Bank – HKEX R Bull CBBC Dec 2016 D	50,000,000	2016/02/03	2016/12/05	19.00
61253	HK Bank – HKEX R Bull CBBC Dec 2016 E	50,000,000	2016/02/03	2016/12/30	26.50
61267	HK Bank – HS H-SH ETF R Bull CBBC Aug 2017 A	50,000,000	2016/02/03	2017/08/28	12.50
61340	J P Morgan SP BV – AIA R Bull CBBC Mar 2017 A	150,000,000	2016/02/03	2017/03/17	37.50
61349	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Jan 2017 B	60,000,000	2016/02/03	2017/01/20	15.00
61348	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Jun 2017 A	70,000,000	2016/02/03	2017/06/16	17.50
61345	J P Morgan SP BV – A50 China R Bull CBBC Feb 2017 C	80,000,000	2016/02/03	2017/02/17	20.00
61347	J P Morgan SP BV – A50 China R Bull CBBC Jun 2017 A	80,000,000	2016/02/03	2017/06/16	20.00
61350	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 D	150,000,000	2016/02/03	2016/12/29	37.50
61354	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 D	150,000,000	2016/02/03	2017/08/30	37.50
61333	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/02/03	2016/06/29	50.00
61355	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 E	150,000,000	2016/02/03	2016/08/30	37.50
61276	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 W	200,000,000	2016/02/03	2016/12/29	50.00
61278	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2016/02/03	2016/06/29	50.00
61280	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 B	400,000,000	2016/02/03	2016/08/30	100.00
61303	UBS AG – HSI R Bull CBBC Feb 2017 V	200,000,000	2016/02/03	2017/02/27	50.00
61295	UBS AG – HSI R Bear CBBC May 2016 S	200,000,000	2016/02/03	2016/05/30	50.00
61299	UBS AG – HSI R Bear CBBC May 2016 T	200,000,000	2016/02/03	2016/05/30	50.00
61300	UBS AG – HSI R Bear CBBC Jun 2016 E	200,000,000	2016/02/03	2016/06/29	50.00
61375	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 X	250,000,000	2016/02/04	2016/11/29	62.50
61365	Credit Suisse AG – HSI R Bull CBBC Oct 2016 L	200,000,000	2016/02/04	2016/10/28	50.00
61364	Credit Suisse AG – HSI R Bear CBBC Jun 2016 Y	200,000,000	2016/02/04	2016/06/29	50.00
61419	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 E	200,000,000	2016/02/04	2016/09/29	54.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61411	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 N	200,000,000	2016/02/04	2016/09/29	51.20
61413	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 O	200,000,000	2016/02/04	2016/09/29	51.60
61416	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 P	200,000,000	2016/02/04	2016/09/29	52.20
61426	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 I	200,000,000	2016/02/04	2016/05/30	52.20
61386	J P Morgan SP BV – ABC R Bull CBBC Sep 2017 A	120,000,000	2016/02/04	2017/09/15	30.00
61384	J P Morgan SP BV – A50 China R Bear CBBC Oct 2016 A	80,000,000	2016/02/04	2016/10/21	20.00
61381	J P Morgan SP BV – HKEX R Bear CBBC Dec 2016 A	40,000,000	2016/02/04	2016/12/16	10.00
61380	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 L	200,000,000	2016/02/04	2017/02/27	50.00
61366	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/02/04	2016/08/30	50.00
61382	J P Morgan SP BV – Tencent R Bear CBBC Oct 2016 A	50,000,000	2016/02/04	2016/10/21	12.50
61376	UBS AG – HSI R Bull CBBC Jan 2017 W	200,000,000	2016/02/04	2017/01/26	50.00
61377	UBS AG – HSI R Bull CBBC Jan 2017 X	200,000,000	2016/02/04	2017/01/26	50.00
61379	UBS AG – HSI R Bear CBBC Jun 2016 F	200,000,000	2016/02/04	2016/06/29	50.00
61489	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Y	250,000,000	2016/02/05	2016/11/29	62.50
61483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 B	250,000,000	2016/02/05	2016/12/29	62.50
61563	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 M	250,000,000	2016/02/05	2016/12/29	62.50
61564	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 J	200,000,000	2016/02/05	2016/05/30	50.00
61491	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 Q	200,000,000	2016/02/05	2016/06/29	50.00
61567	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Sep 2016 E	50,000,000	2016/02/05	2016/09/30	12.50
61515	Credit Suisse AG – HSI R Bull CBBC Oct 2016 M	200,000,000	2016/02/05	2016/10/28	50.00
61516	Credit Suisse AG – HSI R Bull CBBC Oct 2016 T	200,000,000	2016/02/05	2016/10/28	50.00
61534	Credit Suisse AG – HSI R Bull CBBC Oct 2016 X	200,000,000	2016/02/05	2016/10/28	50.00
61493	Credit Suisse AG – HSI R Bear CBBC May 2016 T	200,000,000	2016/02/05	2016/05/30	50.00
61497	Credit Suisse AG – HSI R Bear CBBC Jun 2016 Z	200,000,000	2016/02/05	2016/06/29	50.00
61507	Bank of East Asia – CITIC R Bull CBBC Jul 2017 A	40,000,000	2016/02/05	2017/07/06	10.00
61505	Bank of East Asia – CITIC Sec R Bull CBBC Jun 2017 A	40,000,000	2016/02/05	2017/06/02	20.40
61498	Bank of East Asia – CKH R Bull CBBC Apr 2017 A	40,000,000	2016/02/05	2017/04/03	10.00
61500	Bank of East Asia – CKP R Bull CBBC Nov 2017 A	80,000,000	2016/02/05	2017/11/13	20.00
61502	Bank of East Asia – CRRC R Bull CBBC Jun 2017 A	60,000,000	2016/02/05	2017/06/16	15.00
61570	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 J	200,000,000	2016/02/05	2016/05/30	50.80
61571	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 K	200,000,000	2016/02/05	2016/05/30	52.20
61442	HK Bank – CITIC Sec R Bull CBBC Dec 2017 A	40,000,000	2016/02/05	2017/12/18	24.40
61438	HK Bank – CRRC R Bull CBBC Dec 2017 A	40,000,000	2016/02/05	2017/12/18	12.40
61449	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 H	40,000,000	2016/02/05	2016/11/14	14.40
61448	HK Bank – Sands China R Bull CBBC Dec 2016 E	40,000,000	2016/02/05	2016/12/05	16.40
61627	J P Morgan SP BV – A50 China R Bull CBBC Jan 2017 C	80,000,000	2016/02/05	2017/01/20	20.00
61628	J P Morgan SP BV – A50 China R Bear CBBC Nov 2016 A	70,000,000	2016/02/05	2016/11/18	17.50
61616	J P Morgan SP BV – HKEX R Bull CBBC Jan 2017 C	40,000,000	2016/02/05	2017/01/20	10.00
61574	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 G	200,000,000	2016/02/05	2016/12/29	50.00
61575	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 P	200,000,000	2016/02/05	2017/01/26	50.00
61594	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 K	200,000,000	2016/02/05	2017/04/27	50.00
61459	J P Morgan SP BV – HSI R Bear CBBC May 2016 A	200,000,000	2016/02/05	2016/05/30	50.00
61456	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/02/05	2016/06/29	50.00
61615	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 V	200,000,000	2016/02/05	2016/06/29	50.00
61630	J P Morgan SP BV – Ping An R Bull CBBC Dec 2016 C	80,000,000	2016/02/05	2016/12/16	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61631	J P Morgan SP BV – Tencent R Bull CBBC Jan 2017 B	60,000,000	2016/02/05	2017/01/20	15.00
61476	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2016 B	40,000,000	2016/02/05	2016/08/30	10.00
61482	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2016 B	40,000,000	2016/02/05	2016/11/29	10.00
61478	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 C	40,000,000	2016/02/05	2016/08/30	10.00
61467	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 B	200,000,000	2016/02/05	2016/10/28	50.00
61452	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 T	200,000,000	2016/02/05	2016/10/28	50.00
61461	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 X	200,000,000	2016/02/05	2016/12/29	50.00
61473	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 B	200,000,000	2016/02/05	2016/05/30	50.00
61455	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 O	200,000,000	2016/02/05	2016/07/28	50.00
61545	UBS AG – HSBC R Bull CBBC Nov 2017 B	100,000,000	2016/02/05	2017/11/27	25.00
61553	UBS AG – HSCEI R Bull CBBC Dec 2016 B	200,000,000	2016/02/05	2016/12/29	50.00
61562	UBS AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2016/02/05	2016/11/29	50.00
61554	UBS AG – HSI R Bull CBBC Dec 2016 H	200,000,000	2016/02/05	2016/12/29	50.00
61558	UBS AG – HSI R Bull CBBC Feb 2017 W	200,000,000	2016/02/05	2017/02/27	50.00
61538	UBS AG – HSI R Bear CBBC May 2016 U	200,000,000	2016/02/05	2016/05/30	50.00
61542	UBS AG – HSI R Bear CBBC May 2016 W	200,000,000	2016/02/05	2016/05/30	50.00
61543	UBS AG – HSI R Bear CBBC May 2016 X	200,000,000	2016/02/05	2016/05/30	50.00
61546	UBS AG – TCH R Bull CBBC Aug 2016 C	50,000,000	2016/02/05	2016/08/22	12.50
61681	Credit Suisse AG – HSI R Bull CBBC Nov 2016 X	200,000,000	2016/02/11	2016/11/29	50.00
61680	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Y	200,000,000	2016/02/11	2016/11/29	50.00
61685	Credit Suisse AG – HSI R Bear CBBC Jun 2016 C	200,000,000	2016/02/11	2016/06/29	50.00
61686	Credit Suisse AG – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/02/11	2016/07/28	50.00
61699	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 Q	200,000,000	2016/02/11	2016/09/29	54.20
61705	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 R	200,000,000	2016/02/11	2016/09/29	53.40
61707	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 S	200,000,000	2016/02/11	2016/09/29	51.20
61632	HK Bank – China Mobile R Bull CBBC Nov 2016 F	50,000,000	2016/02/11	2016/11/14	12.50
61651	HK Bank – CUni R Bull CBBC Dec 2016 A	40,000,000	2016/02/11	2016/12/30	10.00
61633	HK Bank – CNOOC R Bull CBBC Oct 2016 G	50,000,000	2016/02/11	2016/10/31	12.50
61655	HK Bank – Great Wall Motor R Bull CBBC Dec 2016 A	40,000,000	2016/02/11	2016/12/30	10.00
61658	HK Bank – HSI R Bull CBBC Oct 2016 W	150,000,000	2016/02/11	2016/10/28	37.50
61652	HK Bank – Lenovo R Bull CBBC Dec 2016 B	40,000,000	2016/02/11	2016/12/30	10.00
61653	HK Bank – PICC P&C R Bull CBBC Jan 2017 A	40,000,000	2016/02/11	2017/01/16	12.00
61698	J P Morgan SP BV – COVS R Bull CBBC Jan 2017 A	25,000,000	2016/02/11	2017/01/20	10.00
61666	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 L	200,000,000	2016/02/11	2017/03/30	50.00
61687	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 W	200,000,000	2016/02/11	2016/06/29	50.00
61661	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 Z	200,000,000	2016/02/11	2016/11/29	50.00
61665	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 E	200,000,000	2016/02/11	2016/05/30	50.00
61662	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 P	200,000,000	2016/02/11	2016/07/28	50.00
61677	UBS AG – HSI R Bull CBBC Jan 2017 Y	200,000,000	2016/02/11	2017/01/26	50.00
61678	UBS AG – HSI R Bull CBBC Feb 2017 X	200,000,000	2016/02/11	2017/02/27	50.00
61673	UBS AG – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/02/11	2017/06/29	50.00
61679	UBS AG – HSI R Bear CBBC May 2016 Y	200,000,000	2016/02/11	2016/05/30	50.00
61729	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Dec16 B	100,000,000	2016/02/12	2016/12/30	25.00
61733	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Dec16 C	100,000,000	2016/02/12	2016/12/30	25.00
61735	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2016 C	100,000,000	2016/02/12	2016/12/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61728	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 K	200,000,000	2016/02/12	2016/05/30	50.00
61739	Credit Suisse AG – HSI R Bull CBBC Dec 2016 T	200,000,000	2016/02/12	2016/12/29	50.00
61740	Credit Suisse AG – HSI R Bull CBBC Dec 2016 U	200,000,000	2016/02/12	2016/12/29	50.00
61744	Credit Suisse AG – HSI R Bear CBBC May 2016 W	200,000,000	2016/02/12	2016/05/30	50.00
61759	Bank of East Asia – Great Wall Motor R Bull CBBC Feb 2017 A	60,000,000	2016/02/12	2017/02/23	15.00
61758	Bank of East Asia – PetCh R Bull CBBC Feb 2017 A	60,000,000	2016/02/12	2017/02/08	15.00
61761	Bank of East Asia – PICC P&C R Bull CBBC Feb 2017 A	40,000,000	2016/02/12	2017/02/22	10.00
61762	Bank of East Asia – Ping An R Bull CBBC Feb 2017 A	60,000,000	2016/02/12	2017/02/21	15.00
61778	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2016 A	200,000,000	2016/02/12	2016/12/29	52.00
61779	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2016 B	200,000,000	2016/02/12	2016/12/29	52.40
61780	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2016 C	200,000,000	2016/02/12	2016/12/29	55.80
61781	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 M	200,000,000	2016/02/12	2017/03/30	50.00
61708	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 Y	200,000,000	2016/02/12	2016/12/29	50.00
61723	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2016/02/12	2016/05/30	50.00
61727	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 Q	200,000,000	2016/02/12	2016/07/28	50.00
61763	UBS AG – HSI R Bull CBBC Mar 2017 J	200,000,000	2016/02/12	2017/03/30	50.00
61768	UBS AG – HSI R Bull CBBC Apr 2017 C	200,000,000	2016/02/12	2017/04/27	50.00
61773	UBS AG – HSI R Bear CBBC Jun 2016 G	200,000,000	2016/02/12	2016/06/29	50.00
61777	UBS AG – HSI R Bear CBBC Jun 2016 H	200,000,000	2016/02/12	2016/06/29	50.00
61818	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 B	250,000,000	2016/02/15	2016/11/29	62.50
61782	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 D	250,000,000	2016/02/15	2016/12/29	62.50
61783	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 I	250,000,000	2016/02/15	2016/12/29	62.50
61785	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Q	250,000,000	2016/02/15	2016/12/29	62.50
61819	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 R	200,000,000	2016/02/15	2016/06/29	50.00
61821	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 S	200,000,000	2016/02/15	2016/06/29	50.00
61842	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/02/15	2016/06/29	50.00
61831	Credit Suisse AG – HSI R Bull CBBC Dec 2016 V	200,000,000	2016/02/15	2016/12/29	50.00
61834	Credit Suisse AG – HSI R Bull CBBC Dec 2016 W	200,000,000	2016/02/15	2016/12/29	50.00
61836	Credit Suisse AG – HSI R Bull CBBC Dec 2016 X	200,000,000	2016/02/15	2016/12/29	50.00
61837	Credit Suisse AG – HSI R Bull CBBC Dec 2016 Y	200,000,000	2016/02/15	2016/12/29	50.00
61839	Credit Suisse AG – HSI R Bear CBBC May 2016 Y	200,000,000	2016/02/15	2016/05/30	50.00
61838	Credit Suisse AG – HSI R Bear CBBC Jun 2016 A	200,000,000	2016/02/15	2016/06/29	50.00
61841	Credit Suisse AG – HSI R Bear CBBC Aug 2016 A	200,000,000	2016/02/15	2016/08/30	50.00
61869	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2016 D	200,000,000	2016/02/15	2016/12/29	50.80
61870	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2016 E	200,000,000	2016/02/15	2016/12/29	53.20
61871	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2016 F	200,000,000	2016/02/15	2016/12/29	55.20
61868	J P Morgan SP BV – AIA R Bull CBBC Mar 2017 B	150,000,000	2016/02/15	2017/03/17	37.50
61867	J P Morgan SP BV – China Mobile R Bull CBBC Oct 2017 A	100,000,000	2016/02/15	2017/10/20	25.00
61864	J P Morgan SP BV – China Life R Bull CBBC Feb 2017 B	35,000,000	2016/02/15	2017/02/17	10.50
61810	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 K	200,000,000	2016/02/15	2016/12/29	50.00
61812	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 M	200,000,000	2016/02/15	2017/02/27	50.00
61863	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 L	200,000,000	2016/02/15	2017/04/27	50.00
61860	J P Morgan SP BV – HSI R Bear CBBC May 2016 B	200,000,000	2016/02/15	2016/05/30	50.00
61861	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 X	150,000,000	2016/02/15	2016/06/29	37.50
61862	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 P	150,000,000	2016/02/15	2016/07/28	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61816	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 D	40,000,000	2016/02/15	2016/08/30	10.04
61817	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 E	40,000,000	2016/02/15	2016/08/30	10.20
61814	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2016 A	40,000,000	2016/02/15	2016/10/28	10.00
61790	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 R	200,000,000	2016/02/15	2016/10/28	50.00
61808	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 W	200,000,000	2016/02/15	2016/10/28	50.00
61799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 B	200,000,000	2016/02/15	2016/11/29	50.00
61793	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 Z	200,000,000	2016/02/15	2016/12/29	50.00
61823	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2016/02/15	2016/05/30	50.00
61825	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 O	200,000,000	2016/02/15	2016/05/30	50.00
61822	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 R	200,000,000	2016/02/15	2016/07/28	50.00
61846	UBS AG – HSI R Bull CBBC Dec 2016 Z	200,000,000	2016/02/15	2016/12/29	50.00
61849	UBS AG – HSI R Bull CBBC Feb 2017 Y	200,000,000	2016/02/15	2017/02/27	50.00
61847	UBS AG – HSI R Bull CBBC Jun 2017 E	200,000,000	2016/02/15	2017/06/29	50.00
61848	UBS AG – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/02/15	2017/06/29	50.00
61856	UBS AG – HSI R Bear CBBC May 2016 O	200,000,000	2016/02/15	2016/05/30	50.00
61858	UBS AG – HSI R Bear CBBC May 2016 Q	200,000,000	2016/02/15	2016/05/30	50.00
61857	UBS AG – HSI R Bear CBBC Jun 2016 I	200,000,000	2016/02/15	2016/06/29	50.00
61913	Credit Suisse AG – AIA R Bull CBBC Nov 2016 A	80,000,000	2016/02/16	2016/11/30	20.00
61908	Credit Suisse AG – China Mobile R Bull CBBC Nov 2016 A	80,000,000	2016/02/16	2016/11/30	20.00
61910	Credit Suisse AG – HSBC R Bull CBBC Nov 2016 A	80,000,000	2016/02/16	2016/11/30	20.00
61915	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2016/02/16	2016/11/29	50.00
61914	Credit Suisse AG – HSI R Bull CBBC Nov 2016 W	200,000,000	2016/02/16	2016/11/29	50.00
61905	Credit Suisse AG – HSI R Bear CBBC May 2016 V	200,000,000	2016/02/16	2016/05/30	50.00
61902	Credit Suisse AG – HSI R Bear CBBC May 2016 Z	200,000,000	2016/02/16	2016/05/30	50.00
61904	Credit Suisse AG – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/02/16	2016/07/28	50.00
61883	HK Bank – AIA R Bull CBBC Oct 2016 G	40,000,000	2016/02/16	2016/10/17	28.00
61874	HK Bank – HSCEI R Bear CBBC Aug 2016 L	50,000,000	2016/02/16	2016/08/30	12.50
61873	HK Bank – HSI R Bear CBBC Sep 2016 O	150,000,000	2016/02/16	2016/09/29	37.50
61872	HK Bank – HSI R Bear CBBC Oct 2016 I	150,000,000	2016/02/16	2016/10/28	37.50
61938	J P Morgan SP BV – AIA R Bull CBBC Jan 2017 B	150,000,000	2016/02/16	2017/01/20	37.50
61936	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 N	150,000,000	2016/02/16	2017/03/30	37.50
61937	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 O	200,000,000	2016/02/16	2017/03/30	50.00
61895	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 Y	200,000,000	2016/02/16	2016/06/29	50.00
61899	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/02/16	2016/07/28	50.00
61894	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Y	200,000,000	2016/02/16	2016/10/28	50.00
61892	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 C	200,000,000	2016/02/16	2016/11/29	50.00
61893	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 D	200,000,000	2016/02/16	2016/11/29	50.00
61887	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 U	200,000,000	2016/02/16	2016/05/30	50.00
61889	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 S	200,000,000	2016/02/16	2016/07/28	50.00
61891	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 T	200,000,000	2016/02/16	2016/07/28	50.00
61920	UBS AG – HSI R Bull CBBC Apr 2017 D	200,000,000	2016/02/16	2017/04/27	50.00
61934	UBS AG – HSI R Bull CBBC Apr 2017 E	200,000,000	2016/02/16	2017/04/27	50.00
61918	UBS AG – HSI R Bear CBBC May 2016 R	200,000,000	2016/02/16	2016/05/30	50.00
61919	UBS AG – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/02/16	2016/06/29	50.00
61935	UBS AG – Ping An R Bull CBBC Jan 2017 A	100,000,000	2016/02/16	2017/01/16	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61984	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Y	200,000,000	2016/02/17	2016/10/28	50.00
61981	Credit Suisse AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2016/02/17	2016/11/29	50.00
61979	Credit Suisse AG – HSI R Bear CBBC Jun 2016 B	200,000,000	2016/02/17	2016/06/29	50.00
61958	HK Bank – China Life R Bull CBBC Dec 2016 C	50,000,000	2016/02/17	2016/12/30	25.00
61959	HK Bank – HSCEI R Bull CBBC Oct 2016 O	60,000,000	2016/02/17	2016/10/28	15.00
61941	HK Bank – HSI R Bull CBBC Oct 2016 X	150,000,000	2016/02/17	2016/10/28	37.50
61942	HK Bank – HSI R Bull CBBC Nov 2016 S	150,000,000	2016/02/17	2016/11/29	37.50
61940	HK Bank – HSI R Bear CBBC Aug 2016 S	150,000,000	2016/02/17	2016/08/30	37.50
61957	HK Bank – PetCh R Bull CBBC Nov 2016 H	50,000,000	2016/02/17	2016/11/21	12.50
61961	HK Bank – TCH R Bull CBBC Oct 2016 G	50,000,000	2016/02/17	2016/10/31	12.50
61993	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 Z	200,000,000	2016/02/17	2016/06/29	50.00
61962	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Z	200,000,000	2016/02/17	2016/10/28	50.00
61963	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 D	200,000,000	2016/02/17	2016/12/29	50.00
61970	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 U	200,000,000	2016/02/17	2016/07/28	50.00
61972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 V	200,000,000	2016/02/17	2016/07/28	50.00
61990	UBS AG – HSI R Bull CBBC Dec 2016 G	200,000,000	2016/02/17	2016/12/29	50.00
61992	UBS AG – HSI R Bull CBBC Jun 2017 G	200,000,000	2016/02/17	2017/06/29	50.00
61985	UBS AG – HSI R Bear CBBC May 2016 V	200,000,000	2016/02/17	2016/05/30	50.00
62004	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 E	250,000,000	2016/02/18	2016/11/29	62.50
62021	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 S	250,000,000	2016/02/18	2016/11/29	62.50
62014	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 X	250,000,000	2016/02/18	2016/12/29	62.50
62059	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 I	200,000,000	2016/02/18	2016/05/30	50.00
62054	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 L	200,000,000	2016/02/18	2016/05/30	50.00
62050	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/02/18	2016/06/29	50.00
62051	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 V	200,000,000	2016/02/18	2016/06/29	50.00
62063	Credit Suisse AG – HSI R Bull CBBC Oct 2016 E	200,000,000	2016/02/18	2016/10/28	50.00
62062	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Z	200,000,000	2016/02/18	2016/10/28	50.00
62064	Credit Suisse AG – HSI R Bull CBBC Apr 2017 A	200,000,000	2016/02/18	2017/04/27	50.00
62065	Credit Suisse AG – HSI R Bull CBBC Apr 2017 B	200,000,000	2016/02/18	2017/04/27	54.00
62066	Credit Suisse AG – HSI R Bull CBBC Apr 2017 C	200,000,000	2016/02/18	2017/04/27	66.00
62071	Credit Suisse AG – HSI R Bear CBBC May 2016 T	200,000,000	2016/02/18	2016/05/30	50.00
62069	Credit Suisse AG – HSI R Bear CBBC Jun 2016 D	200,000,000	2016/02/18	2016/06/29	50.00
62072	Credit Suisse AG – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/02/18	2016/08/30	50.00
62118	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 L	200,000,000	2016/02/18	2016/05/30	51.40
62125	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 M	200,000,000	2016/02/18	2016/05/30	52.80
62129	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 N	200,000,000	2016/02/18	2016/05/30	53.00
62084	J P Morgan SP BV – AIA R Bull CBBC Apr 2017 A	100,000,000	2016/02/18	2017/04/21	25.00
62091	J P Morgan SP BV – China Life R Bull CBBC Jun 2017 A	35,000,000	2016/02/18	2017/06/16	10.50
62081	J P Morgan SP BV – HSBC R Bull CBBC Jan 2018 A	100,000,000	2016/02/18	2018/01/19	25.00
62024	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 Q	200,000,000	2016/02/18	2017/01/26	50.00
62030	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 N	150,000,000	2016/02/18	2017/02/27	37.50
62028	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 P	200,000,000	2016/02/18	2017/03/30	50.00
62029	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 M	200,000,000	2016/02/18	2017/04/27	50.00
62061	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 E	200,000,000	2016/02/18	2016/06/29	50.00
62073	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/02/18	2016/07/28	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62077	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/02/18	2016/07/28	50.00
62080	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/02/18	2016/08/30	50.00
62039	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 M	40,000,000	2016/02/18	2016/07/28	10.08
62038	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2016 C	40,000,000	2016/02/18	2016/08/30	10.00
62042	Macquarie Bank Ltd. – HSI R Bull CBBC Oct 2016 C	40,000,000	2016/02/18	2016/10/28	10.00
62041	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2016 C	40,000,000	2016/02/18	2016/11/29	10.00
62047	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 F	40,000,000	2016/02/18	2016/08/30	10.00
62048	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 G	40,000,000	2016/02/18	2016/08/30	10.20
62049	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2016 A	40,000,000	2016/02/18	2016/11/29	10.00
62032	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 X	200,000,000	2016/02/18	2016/10/28	50.00
62003	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 E	200,000,000	2016/02/18	2016/11/29	50.00
61997	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 E	200,000,000	2016/02/18	2016/12/29	50.00
62002	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 F	200,000,000	2016/02/18	2016/12/29	50.00
62036	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 R	200,000,000	2016/02/18	2016/06/29	50.00
62033	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 W	200,000,000	2016/02/18	2016/07/28	50.00
62035	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 X	200,000,000	2016/02/18	2016/07/28	50.00
62102	UBS AG – HSI R Bull CBBC Jan 2017 Z	200,000,000	2016/02/18	2017/01/26	50.00
62096	UBS AG – HSI R Bull CBBC Feb 2017 Z	200,000,000	2016/02/18	2017/02/27	50.00
62101	UBS AG – HSI R Bull CBBC Mar 2017 K	200,000,000	2016/02/18	2017/03/30	50.00
62104	UBS AG – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/02/18	2017/06/29	50.00
62111	UBS AG – HSI R Bull CBBC Jul 2017 B	200,000,000	2016/02/18	2017/07/28	50.00
62112	UBS AG – HSI R Bear CBBC May 2016 Z	200,000,000	2016/02/18	2016/05/30	50.00
62115	UBS AG – HSI R Bear CBBC Jun 2016 K	200,000,000	2016/02/18	2016/06/29	50.00
62117	UBS AG – HSI R Bear CBBC Jun 2016 L	200,000,000	2016/02/18	2016/06/29	50.00
62177	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 I	100,000,000	2016/02/19	2016/05/30	25.00
62176	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Jun 2016 D	100,000,000	2016/02/19	2016/06/29	25.00
62135	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 D	250,000,000	2016/02/19	2016/11/29	62.50
62173	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 K	250,000,000	2016/02/19	2016/11/29	62.50
62136	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 S	250,000,000	2016/02/19	2016/12/29	62.50
62175	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 C	200,000,000	2016/02/19	2016/05/30	50.00
62174	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 M	200,000,000	2016/02/19	2016/05/30	50.00
62217	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 W	200,000,000	2016/02/19	2016/06/29	50.00
62185	Credit Suisse AG – AIA R Bull CBBC Dec 2016 A	80,000,000	2016/02/19	2016/12/30	20.00
62186	Credit Suisse AG – HSBC R Bull CBBC Dec 2016 A	80,000,000	2016/02/19	2016/12/30	20.00
62178	Credit Suisse AG – HSI R Bull CBBC Nov 2016 B	200,000,000	2016/02/19	2016/11/29	50.00
62179	Credit Suisse AG – HSI R Bull CBBC Nov 2016 C	200,000,000	2016/02/19	2016/11/29	50.00
62187	Credit Suisse AG – HSI R Bear CBBC Jun 2016 S	200,000,000	2016/02/19	2016/06/29	50.00
62188	Credit Suisse AG – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/02/19	2016/06/29	50.00
62181	Credit Suisse AG – TCH R Bull CBBC Nov 2016 A	100,000,000	2016/02/19	2016/11/30	25.00
62182	Credit Suisse AG – TCH R Bull CBBC Dec 2016 A	100,000,000	2016/02/19	2016/12/30	25.00
62219	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 A	200,000,000	2016/02/19	2017/06/29	50.40
62220	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 B	200,000,000	2016/02/19	2017/06/29	53.00
62225	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 C	200,000,000	2016/02/19	2017/06/29	55.60
62246	J P Morgan SP BV – AIA R Bull CBBC Jun 2017 A	100,000,000	2016/02/19	2017/06/16	25.00
62238	J P Morgan SP BV – COVS R Bull CBBC Jan 2017 B	25,000,000	2016/02/19	2017/01/20	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62237	J P Morgan SP BV – Galaxy Ent R Bull CBBC May 2017 A	100,000,000	2016/02/19	2017/05/19	25.00
62240	J P Morgan SP BV – HSBC R Bull CBBC Apr 2018 A	100,000,000	2016/02/19	2018/04/20	25.00
62153	J P Morgan SP BV – HSI R Bull CBBC May 2017 F	200,000,000	2016/02/19	2017/05/29	50.00
62227	J P Morgan SP BV – HSI R Bear CBBC May 2016 Z	200,000,000	2016/02/19	2016/05/30	50.00
62232	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 I	200,000,000	2016/02/19	2016/06/29	50.00
62235	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/02/19	2016/07/28	50.00
62236	J P Morgan SP BV – ICBC R Bull CBBC Jul 2017 A	100,000,000	2016/02/19	2017/07/21	25.00
62239	J P Morgan SP BV – Ping An R Bull CBBC May 2017 A	100,000,000	2016/02/19	2017/05/19	25.00
62189	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 N	40,000,000	2016/02/19	2016/07/28	10.00
62191	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 O	40,000,000	2016/02/19	2016/07/28	10.20
62195	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 B	40,000,000	2016/02/19	2016/09/29	10.00
62171	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Nov16 A	100,000,000	2016/02/19	2016/11/29	25.00
62167	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC May17 A	100,000,000	2016/02/19	2017/05/29	25.00
62145	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 C	200,000,000	2016/02/19	2016/10/28	50.00
62146	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 D	200,000,000	2016/02/19	2016/10/28	50.00
62147	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 E	200,000,000	2016/02/19	2016/10/28	50.00
62148	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 F	200,000,000	2016/02/19	2016/10/28	50.00
62150	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 G	200,000,000	2016/02/19	2016/10/28	50.00
62151	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 H	200,000,000	2016/02/19	2016/10/28	50.00
62138	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Q	200,000,000	2016/02/19	2016/10/28	53.00
62142	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 U	200,000,000	2016/02/19	2016/10/28	50.00
62143	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 V	200,000,000	2016/02/19	2016/10/28	50.00
62155	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 F	200,000,000	2016/02/19	2016/11/29	50.00
62137	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 K	200,000,000	2016/02/19	2016/12/29	55.00
62152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 L	200,000,000	2016/02/19	2016/12/29	50.00
62157	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 C	200,000,000	2016/02/19	2016/08/30	50.00
62159	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 D	200,000,000	2016/02/19	2016/08/30	50.00
62165	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 E	200,000,000	2016/02/19	2016/08/30	50.00
62166	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 F	200,000,000	2016/02/19	2016/08/30	50.00
62209	UBS AG – CSOP A50 ETF R Bull CBBC Feb 2017 A	50,000,000	2016/02/19	2017/02/13	12.50
62210	UBS AG – A50 China R Bull CBBC Feb 2017 A	50,000,000	2016/02/19	2017/02/20	12.50
62211	UBS AG – HSCEI R Bull CBBC Dec 2016 C	200,000,000	2016/02/19	2016/12/29	50.00
62212	UBS AG – HSCEI R Bear CBBC May 2016 B	100,000,000	2016/02/19	2016/05/30	25.00
62197	UBS AG – HSI R Bull CBBC Apr 2017 F	200,000,000	2016/02/19	2017/04/27	50.00
62196	UBS AG – HSI R Bull CBBC Jun 2017 I	200,000,000	2016/02/19	2017/06/29	50.00
62213	UBS AG – HSI R Bear CBBC May 2016 S	200,000,000	2016/02/19	2016/05/30	50.00
62214	UBS AG – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/02/19	2016/06/29	50.00
62215	UBS AG – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/02/19	2016/06/29	50.00
62277	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 I	250,000,000	2016/02/22	2016/11/29	62.50
62289	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2016/02/22	2016/11/29	62.50
62279	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Z	250,000,000	2016/02/22	2016/12/29	62.50
62286	Credit Suisse AG – HSI R Bull CBBC Dec 2016 G	200,000,000	2016/02/22	2016/12/29	50.00
62287	Credit Suisse AG – HSI R Bull CBBC Dec 2016 H	200,000,000	2016/02/22	2016/12/29	50.00
62285	Credit Suisse AG – HSI R Bull CBBC Dec 2016 Z	200,000,000	2016/02/22	2016/12/29	50.00
62283	Credit Suisse AG – HSI R Bear CBBC Jun 2016 Q	200,000,000	2016/02/22	2016/06/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62280	Credit Suisse AG – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/02/22	2016/06/29	50.00
62282	Credit Suisse AG – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/02/22	2016/08/30	50.00
62290	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/02/22	2017/06/29	51.60
62296	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 E	200,000,000	2016/02/22	2017/06/29	53.00
62307	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/02/22	2017/06/29	53.60
62368	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 G	200,000,000	2016/02/22	2017/06/29	50.20
62252	HK Bank – ABC R Bull CBBC Nov 2017 A	50,000,000	2016/02/22	2017/11/14	12.50
62251	HK Bank – AIA R Bull CBBC Jun 2017 B	40,000,000	2016/02/22	2017/06/26	10.00
62249	HK Bank – AIA R Bear CBBC Jun 2017 A	40,000,000	2016/02/22	2017/06/26	24.00
62256	HK Bank – CUni R Bull CBBC Jul 2017 A	40,000,000	2016/02/22	2017/07/31	10.00
62253	HK Bank – CC Bank R Bull CBBC Aug 2017 A	50,000,000	2016/02/22	2017/08/28	12.50
62247	HK Bank – HSCEI R Bear CBBC Oct 2016 E	50,000,000	2016/02/22	2016/10/28	12.50
62260	HK Bank – HSI R Bear CBBC Oct 2016 J	150,000,000	2016/02/22	2016/10/28	37.50
62258	HK Bank – HSI R Bear CBBC Nov 2016 E	150,000,000	2016/02/22	2016/11/29	37.50
62254	HK Bank – Ping An R Bull CBBC Oct 2017 A	50,000,000	2016/02/22	2017/10/30	12.50
62255	HK Bank – Ping An R Bear CBBC Oct 2017 A	40,000,000	2016/02/22	2017/10/30	10.00
62364	J P Morgan SP BV – AIA R Bull CBBC Jun 2017 B	150,000,000	2016/02/22	2017/06/16	37.50
62359	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Apr 2017 D	60,000,000	2016/02/22	2017/04/21	15.00
62370	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 J	200,000,000	2016/02/22	2017/01/26	50.00
62374	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 O	200,000,000	2016/02/22	2017/02/27	50.00
62375	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 Q	200,000,000	2016/02/22	2017/03/30	50.00
62342	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/02/22	2016/06/29	50.00
62343	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 L	200,000,000	2016/02/22	2016/06/29	50.00
62376	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 U	150,000,000	2016/02/22	2016/07/28	37.50
62377	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 H	150,000,000	2016/02/22	2016/08/30	37.50
62354	J P Morgan SP BV – Ping An R Bull CBBC Apr 2017 B	100,000,000	2016/02/22	2017/04/21	25.00
62362	J P Morgan SP BV – Tencent R Bull CBBC Feb 2017 D	60,000,000	2016/02/22	2017/02/17	15.00
62276	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 I	200,000,000	2016/02/22	2016/10/28	50.00
62275	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 G	200,000,000	2016/02/22	2016/11/29	50.00
62272	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 M	200,000,000	2016/02/22	2016/12/29	50.00
62261	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 G	200,000,000	2016/02/22	2016/08/30	50.00
62267	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 H	200,000,000	2016/02/22	2016/08/30	50.00
62268	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 I	200,000,000	2016/02/22	2016/08/30	50.00
62269	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 J	200,000,000	2016/02/22	2016/08/30	50.00
62337	UBS AG – HSCEI R Bull CBBC Jun 2017 A	200,000,000	2016/02/22	2017/06/29	50.00
62330	UBS AG – HSI R Bull CBBC Jan 2017 D	200,000,000	2016/02/22	2017/01/26	50.00
62331	UBS AG – HSI R Bull CBBC Apr 2017 G	200,000,000	2016/02/22	2017/04/27	50.00
62332	UBS AG – HSI R Bull CBBC Apr 2017 H	200,000,000	2016/02/22	2017/04/27	50.00
62334	UBS AG – HSI R Bull CBBC Jun 2017 J	200,000,000	2016/02/22	2017/06/29	50.00
62321	UBS AG – HSI R Bear CBBC May 2016 T	200,000,000	2016/02/22	2016/05/30	50.00
62323	UBS AG – HSI R Bear CBBC May 2016 U	200,000,000	2016/02/22	2016/05/30	50.00
62329	UBS AG – HSI R Bear CBBC Jun 2016 O	200,000,000	2016/02/22	2016/06/29	50.00
62434	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2016 D	100,000,000	2016/02/23	2016/12/30	25.00
62433	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 P	100,000,000	2016/02/23	2016/11/29	25.00
62431	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 E	250,000,000	2016/02/23	2016/12/29	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62425	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 K	250,000,000	2016/02/23	2016/12/29	62.50
62401	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 X	200,000,000	2016/02/23	2016/06/29	50.00
62435	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 F	50,000,000	2016/02/23	2016/12/30	12.50
62466	Credit Suisse AG – HSI R Bull CBBC Oct 2016 F	200,000,000	2016/02/23	2016/10/28	50.00
62472	Credit Suisse AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2016/02/23	2016/11/29	50.00
62476	Credit Suisse AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2016/02/23	2016/11/29	50.00
62468	Credit Suisse AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2016/02/23	2016/12/29	50.00
62461	Credit Suisse AG – HSI R Bear CBBC May 2016 Y	200,000,000	2016/02/23	2016/05/30	50.00
62445	Credit Suisse AG – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/02/23	2016/07/28	50.00
62417	Bank of East Asia – CC Bank R Bull CBBC Feb 2017 A	60,000,000	2016/02/23	2017/02/13	15.00
62416	Bank of East Asia – China Life R Bull CBBC Feb 2017 B	30,000,000	2016/02/23	2017/02/10	13.50
62418	Bank of East Asia – A50 China R Bull CBBC Jan 2017 B	40,000,000	2016/02/23	2017/01/27	10.00
62421	Bank of East Asia – Galaxy Ent R Bull CBBC Feb 2017 B	25,000,000	2016/02/23	2017/02/17	10.00
62415	Bank of East Asia – HSBC Holdings R Bull CBBC Jan 2017 B	60,000,000	2016/02/23	2017/01/24	15.00
62530	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/02/23	2017/06/29	52.40
62531	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 I	200,000,000	2016/02/23	2017/06/29	54.20
62392	HK Bank – China Life R Bull CBBC Jul 2017 A	50,000,000	2016/02/23	2017/07/31	30.00
62398	HK Bank – A50 R Bull CBBC Jan 2017 C	50,000,000	2016/02/23	2017/01/16	12.50
62389	HK Bank – Great Wall Motor R Bull CBBC Dec 2016 B	40,000,000	2016/02/23	2016/12/19	10.00
62400	HK Bank – HKEX R Bull CBBC Oct 2016 V	50,000,000	2016/02/23	2016/10/24	12.50
62396	HK Bank – HSI R Bull CBBC Dec 2016 Q	150,000,000	2016/02/23	2016/12/29	37.50
62385	HK Bank – Lenovo R Bull CBBC Dec 2017 A	40,000,000	2016/02/23	2017/12/29	10.00
62382	HK Bank – PICC P&C R Bull CBBC Jul 2017 A	40,000,000	2016/02/23	2017/07/31	12.00
62390	HK Bank – Ping An R Bull CBBC Dec 2016 G	50,000,000	2016/02/23	2016/12/05	12.50
62393	HK Bank – TCH R Bear CBBC Nov 2016 D	40,000,000	2016/02/23	2016/11/28	10.00
62527	J P Morgan SP BV – A50 China R Bull CBBC Mar 2017 B	80,000,000	2016/02/23	2017/03/17	20.00
62529	J P Morgan SP BV – HSBC R Bull CBBC Jun 2017 B	120,000,000	2016/02/23	2017/06/16	30.00
62486	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 R	200,000,000	2016/02/23	2017/03/30	50.00
62487	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 N	200,000,000	2016/02/23	2017/04/27	50.00
62483	J P Morgan SP BV – HSI R Bear CBBC May 2016 B	200,000,000	2016/02/23	2016/05/30	50.00
62485	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/02/23	2016/06/29	50.00
62493	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/02/23	2016/07/28	50.00
62526	J P Morgan SP BV – Ping An R Bull CBBC Feb 2017 C	100,000,000	2016/02/23	2017/02/17	25.00
62436	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 C	40,000,000	2016/02/23	2016/09/29	10.00
62440	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2016 D	40,000,000	2016/02/23	2016/11/29	10.00
62443	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2016 E	40,000,000	2016/02/23	2016/11/29	10.20
62407	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 J	200,000,000	2016/02/23	2016/10/28	50.00
62410	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 K	200,000,000	2016/02/23	2016/10/28	50.00
62413	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 L	200,000,000	2016/02/23	2016/10/28	50.00
62409	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 H	200,000,000	2016/02/23	2016/11/29	50.00
62411	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 U	200,000,000	2016/02/23	2016/12/29	50.00
62406	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Z	200,000,000	2016/02/23	2016/05/30	50.00
62402	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 K	200,000,000	2016/02/23	2016/08/30	50.00
62508	UBS AG – HS H-SH ETF R Bull CBBC Dec 2016 A	100,000,000	2016/02/23	2016/12/28	25.00
62511	UBS AG – HS H-SH ETF R Bull CBBC Dec 2016 B	100,000,000	2016/02/23	2016/12/22	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62524	UBS AG – HSCEI R Bull CBBC Jun 2017 B	200,000,000	2016/02/23	2017/06/29	50.00
62518	UBS AG – HSI R Bull CBBC Mar 2017 L	200,000,000	2016/02/23	2017/03/30	50.00
62513	UBS AG – HSI R Bull CBBC Apr 2017 I	200,000,000	2016/02/23	2017/04/27	50.00
62514	UBS AG – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/02/23	2017/06/29	50.00
62523	UBS AG – HSI R Bull CBBC Jul 2017 C	200,000,000	2016/02/23	2017/07/28	50.00
62499	UBS AG – HSI R Bear CBBC May 2016 O	200,000,000	2016/02/23	2016/05/30	50.00
62505	UBS AG – HSI R Bear CBBC May 2016 R	200,000,000	2016/02/23	2016/05/30	50.00
62506	UBS AG – Tracker Fund R Bull CBBC Sep 2016 A	50,000,000	2016/02/23	2016/09/26	12.50
62507	UBS AG – Tracker Fund R Bull CBBC Sep 2016 B	50,000,000	2016/02/23	2016/09/30	12.50
62589	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 Y	200,000,000	2016/02/24	2016/06/29	50.00
62552	Credit Suisse AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2016/02/24	2016/10/28	50.00
62562	Credit Suisse AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2016/02/24	2016/10/28	50.00
62568	Credit Suisse AG – HSI R Bear CBBC May 2016 Z	200,000,000	2016/02/24	2016/05/30	50.00
62566	Credit Suisse AG – HSI R Bear CBBC Jun 2016 R	200,000,000	2016/02/24	2016/06/29	50.00
62590	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 O	200,000,000	2016/02/24	2016/05/30	51.20
62591	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 P	200,000,000	2016/02/24	2016/05/30	52.20
62536	HK Bank – CNOOC R Bull CBBC Nov 2016 K	50,000,000	2016/02/24	2016/11/21	12.50
62534	HK Bank – Sinopec Corp R Bull CBBC Oct 2016 E	50,000,000	2016/02/24	2016/10/24	12.50
62542	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 H	50,000,000	2016/02/24	2017/03/10	12.50
62543	HK Bank – A50 R Bull CBBC Mar 2017 G	50,000,000	2016/02/24	2017/03/10	12.50
62547	HK Bank – HSCEI R Bull CBBC Dec 2016 J	60,000,000	2016/02/24	2016/12/29	15.00
62544	HK Bank – HSI R Bull CBBC Nov 2016 T	400,000,000	2016/02/24	2016/11/29	100.00
62533	HK Bank – PetCh R Bull CBBC Nov 2016 I	50,000,000	2016/02/24	2016/11/21	12.50
62538	HK Bank – Ping An R Bull CBBC Oct 2016 L	40,000,000	2016/02/24	2016/10/24	12.00
62578	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 P	200,000,000	2016/02/24	2017/02/27	50.00
62587	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 F	150,000,000	2016/02/24	2017/06/29	37.50
62583	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 N	150,000,000	2016/02/24	2016/06/29	37.50
62584	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/02/24	2016/07/28	50.00
62551	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2016/02/24	2016/05/30	50.00
62571	UBS AG – HSEX R Bull CBBC Sep 2016 D	100,000,000	2016/02/24	2016/09/12	25.00
62573	UBS AG – HSBC R Bear CBBC Sep 2016 A	100,000,000	2016/02/24	2016/09/19	25.00
62570	UBS AG – HSI R Bull CBBC Nov 2016 Y	200,000,000	2016/02/24	2016/11/29	50.00
62577	UBS AG – HSI R Bear CBBC May 2016 V	200,000,000	2016/02/24	2016/05/30	50.00
62574	UBS AG – HSI R Bear CBBC Jun 2016 P	200,000,000	2016/02/24	2016/06/29	50.00
62576	UBS AG – HSI R Bear CBBC Jun 2016 Q	200,000,000	2016/02/24	2016/06/29	50.00
62625	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2016/02/25	2016/11/29	62.50
62607	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 E	200,000,000	2016/02/25	2016/05/30	50.00
62611	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 Z	200,000,000	2016/02/25	2016/06/29	50.00
62621	Credit Suisse AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2016/02/25	2016/11/29	50.00
62622	Credit Suisse AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2016/02/25	2016/11/29	50.00
62620	Credit Suisse AG – HSI R Bear CBBC May 2016 T	200,000,000	2016/02/25	2016/05/30	50.00
62618	Credit Suisse AG – HSI R Bear CBBC Jun 2016 V	200,000,000	2016/02/25	2016/06/29	50.00
62648	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 J	200,000,000	2016/02/25	2017/06/29	51.60
62649	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/02/25	2017/06/29	51.80
62650	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 L	200,000,000	2016/02/25	2017/06/29	52.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62595	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 I	40,000,000	2016/02/25	2016/11/21	14.00
62593	HK Bank – HSI R Bear CBBC Sep 2016 P	150,000,000	2016/02/25	2016/09/29	37.50
62594	HK Bank – TCH R Bull CBBC Dec 2016 E	50,000,000	2016/02/25	2016/12/12	12.50
62641	J P Morgan SP BV – COVS R Bull CBBC Jul 2017 A	20,000,000	2016/02/25	2017/07/21	10.00
62639	J P Morgan SP BV – Galaxy Ent R Bull CBBC Jun 2017 A	100,000,000	2016/02/25	2017/06/16	25.00
62640	J P Morgan SP BV – HKEX R Bull CBBC Jan 2017 D	40,000,000	2016/02/25	2017/01/20	10.00
62636	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 M	200,000,000	2016/02/25	2017/01/26	50.00
62637	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 D	200,000,000	2016/02/25	2017/07/28	50.00
62643	J P Morgan SP BV – HSI R Bear CBBC May 2016 C	200,000,000	2016/02/25	2016/05/30	50.00
62635	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 X	200,000,000	2016/02/25	2016/07/28	50.00
62613	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 D	40,000,000	2016/02/25	2016/09/29	10.00
62612	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2016 F	40,000,000	2016/02/25	2016/11/29	10.00
62614	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 I	200,000,000	2016/02/25	2016/11/29	50.00
62616	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 V	200,000,000	2016/02/25	2016/12/29	50.00
62617	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 W	200,000,000	2016/02/25	2016/12/29	50.00
62605	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 U	200,000,000	2016/02/25	2016/05/30	50.00
62603	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2016/02/25	2016/06/29	50.00
62599	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 Y	200,000,000	2016/02/25	2016/07/28	50.00
62634	UBS AG – HSI R Bull CBBC Feb 2017 A	200,000,000	2016/02/25	2017/02/27	50.00
62632	UBS AG – HSI R Bull CBBC Jun 2017 L	200,000,000	2016/02/25	2017/06/29	50.00
62630	UBS AG – HSI R Bear CBBC May 2016 W	200,000,000	2016/02/25	2016/05/30	50.00
62631	UBS AG – HSI R Bear CBBC Jun 2016 R	200,000,000	2016/02/25	2016/06/29	50.00
62695	Credit Suisse AG – HSI R Bull CBBC Dec 2016 J	200,000,000	2016/02/26	2016/12/29	50.00
62696	Credit Suisse AG – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/02/26	2016/07/28	50.00
62703	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 M	200,000,000	2016/02/26	2017/06/29	51.80
62704	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 N	200,000,000	2016/02/26	2017/06/29	52.60
62705	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 Q	200,000,000	2016/02/26	2016/05/30	51.20
62665	HK Bank – AIA R Bull CBBC Dec 2016 B	40,000,000	2016/02/26	2016/12/19	12.00
62663	HK Bank – CAM CSI300 R Bull CBBC Nov 2016 D	50,000,000	2016/02/26	2016/11/28	17.50
62657	HK Bank – China Mobile R Bull CBBC Nov 2016 G	50,000,000	2016/02/26	2016/11/21	12.50
62662	HK Bank – CC Bank R Bull CBBC Nov 2016 F	50,000,000	2016/02/26	2016/11/21	12.50
62659	HK Bank – CNOOC R Bull CBBC Nov 2016 L	50,000,000	2016/02/26	2016/11/21	12.50
62670	HK Bank – Great Wall Motor R Bull CBBC Jan 2017 A	40,000,000	2016/02/26	2017/01/16	10.00
62661	HK Bank – HKEX R Bull CBBC Nov 2016 H	50,000,000	2016/02/26	2016/11/14	12.50
62653	HK Bank – HSI R Bull CBBC Sep 2016 H	150,000,000	2016/02/26	2016/09/29	37.50
62652	HK Bank – HSI R Bull CBBC Dec 2016 R	150,000,000	2016/02/26	2016/12/29	37.50
62658	HK Bank – Sands China R Bull CBBC Nov 2016 C	40,000,000	2016/02/26	2016/11/28	16.00
62701	J P Morgan SP BV – China Life R Bull CBBC Jul 2017 A	35,000,000	2016/02/26	2017/07/21	10.50
62676	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 Q	200,000,000	2016/02/26	2017/02/27	50.00
62697	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 E	150,000,000	2016/02/26	2017/07/28	37.50
62699	J P Morgan SP BV – HSI R Bear CBBC May 2016 G	150,000,000	2016/02/26	2016/05/30	37.50
62686	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 N	200,000,000	2016/02/26	2016/10/28	50.00
62688	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 Z	200,000,000	2016/02/26	2016/07/28	50.00
62693	UBS AG – HSI R Bull CBBC Apr 2017 J	200,000,000	2016/02/26	2017/04/27	50.00
62694	UBS AG – HSI R Bear CBBC May 2016 Z	200,000,000	2016/02/26	2016/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62716	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 C	250,000,000	2016/02/29	2016/11/29	62.50
62715	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 L	250,000,000	2016/02/29	2016/12/29	62.50
62706	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/02/29	2016/07/28	50.00
62730	Credit Suisse AG – HKEX R Bull CBBC Oct 2016 A	60,000,000	2016/02/29	2016/10/28	15.00
62739	Credit Suisse AG – HSI R Bull CBBC Dec 2016 K	200,000,000	2016/02/29	2016/12/29	50.00
62740	Credit Suisse AG – HSI R Bull CBBC Dec 2016 L	200,000,000	2016/02/29	2016/12/29	50.00
62714	Credit Suisse AG – HSI R Bear CBBC May 2016 W	200,000,000	2016/02/29	2016/05/30	50.00
62751	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 O	200,000,000	2016/02/29	2017/06/29	51.20
62752	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 P	200,000,000	2016/02/29	2017/06/29	52.20
62764	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2016 R	200,000,000	2016/02/29	2016/05/30	50.20
62742	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC May 2017 A	60,000,000	2016/02/29	2017/05/19	15.00
62720	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 R	200,000,000	2016/02/29	2017/02/27	50.00
62726	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 O	150,000,000	2016/02/29	2017/04/27	37.50
62724	J P Morgan SP BV – HSI R Bear CBBC May 2016 A	200,000,000	2016/02/29	2016/05/30	50.00
62721	J P Morgan SP BV – HSI R Bear CBBC May 2016 Z	200,000,000	2016/02/29	2016/05/30	50.00
62718	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 H	150,000,000	2016/02/29	2016/06/29	37.50
62741	J P Morgan SP BV – Ping An R Bull CBBC May 2017 B	100,000,000	2016/02/29	2017/05/19	25.00
62711	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 J	200,000,000	2016/02/29	2016/11/29	50.00
62712	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 X	200,000,000	2016/02/29	2016/12/29	50.00
62746	UBS AG – HSI R Bull CBBC Jun 2016 T	200,000,000	2016/02/29	2016/06/29	50.00
62748	UBS AG – HSI R Bull CBBC Nov 2016 Z	200,000,000	2016/02/29	2016/11/29	50.00
62745	UBS AG – HSI R Bear CBBC May 2016 S	200,000,000	2016/02/29	2016/05/30	50.00
62795	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2016/03/01	2016/10/28	50.00
62796	Credit Suisse AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2016/03/01	2016/10/28	50.00
62797	Credit Suisse AG – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/03/01	2016/06/29	50.00
62789	HK Bank – CUni R Bull CBBC Dec 2016 B	40,000,000	2016/03/01	2016/12/19	10.00
62783	HK Bank – China Life R Bull CBBC Nov 2016 E	50,000,000	2016/03/01	2016/11/14	12.50
62774	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2017 B	50,000,000	2016/03/01	2017/01/16	12.50
62792	HK Bank – A50 R Bull CBBC Mar 2017 H	50,000,000	2016/03/01	2017/03/27	12.50
62768	HK Bank – Galaxy Ent R Bull CBBC May 2017 A	40,000,000	2016/03/01	2017/05/29	11.20
62784	HK Bank – HKEX R Bull CBBC Oct 2016 W	50,000,000	2016/03/01	2016/10/31	12.50
62770	HK Bank – HS H-SH ETF R Bull CBBC Mar 2017 A	50,000,000	2016/03/01	2017/03/06	12.50
62772	HK Bank – HSCEI R Bull CBBC Oct 2016 P	60,000,000	2016/03/01	2016/10/28	15.00
62771	HK Bank – HSI R Bull CBBC Dec 2016 S	150,000,000	2016/03/01	2016/12/29	37.50
62782	HK Bank – Ping An R Bull CBBC Dec 2016 H	50,000,000	2016/03/01	2016/12/12	12.50
62767	HK Bank – SHK Ppt R Bull CBBC Jan 2017 C	40,000,000	2016/03/01	2017/01/23	10.00
62803	J P Morgan SP BV – AIA R Bull CBBC Jan 2017 C	150,000,000	2016/03/01	2017/01/20	37.50
62798	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 S	200,000,000	2016/03/01	2017/03/30	50.00
62799	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 T	150,000,000	2016/03/01	2017/03/30	37.50
62800	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 O	200,000,000	2016/03/01	2016/06/29	50.00
62801	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 I	150,000,000	2016/03/01	2016/08/30	37.50
62802	J P Morgan SP BV – Tencent R Bull CBBC Apr 2017 A	60,000,000	2016/03/01	2017/04/21	15.00
62793	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 M	200,000,000	2016/03/01	2016/10/28	50.00
62794	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 L	200,000,000	2016/03/01	2016/08/30	50.00
62804	UBS AG – HSI R Bull CBBC Jun 2017 M	200,000,000	2016/03/01	2017/06/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62805	UBS AG – HSI R Bear CBBC Jun 2016 S	200,000,000	2016/03/01	2016/06/29	50.00
62807	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 F	250,000,000	2016/03/02	2016/11/29	62.50
62808	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 W	250,000,000	2016/03/02	2016/12/29	62.50
62818	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 I	200,000,000	2016/03/02	2016/06/29	50.00
62827	Credit Suisse AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2016/03/02	2016/11/29	50.00
62828	Credit Suisse AG – HSI R Bull CBBC Dec 2016 A	200,000,000	2016/03/02	2016/12/29	50.00
62829	Credit Suisse AG – HSI R Bear CBBC Jun 2016 W	200,000,000	2016/03/02	2016/06/29	50.00
62830	Credit Suisse AG – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/03/02	2016/07/28	50.00
62851	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/03/02	2017/06/29	51.00
62817	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/03/02	2016/07/28	50.80
62852	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/03/02	2016/07/28	51.00
62853	J P Morgan SP BV – CNOOC R Bull CBBC May 2017 A	68,000,000	2016/03/02	2017/05/19	17.00
62854	J P Morgan SP BV – CNOOC R Bear CBBC Feb 2017 A	68,000,000	2016/03/02	2017/02/17	17.00
62816	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 R	200,000,000	2016/03/02	2017/01/26	50.00
62850	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 U	150,000,000	2016/03/02	2017/03/30	37.50
62843	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 P	200,000,000	2016/03/02	2016/06/29	50.00
62844	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 Y	150,000,000	2016/03/02	2016/07/28	37.50
62812	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 O	200,000,000	2016/03/02	2016/10/28	50.00
62814	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 K	200,000,000	2016/03/02	2016/11/29	50.00
62815	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 I	200,000,000	2016/03/02	2016/07/28	50.00
62819	UBS AG – HSI R Bull CBBC Apr 2017 K	200,000,000	2016/03/02	2017/04/27	50.00
62821	UBS AG – HSI R Bull CBBC Jun 2017 N	200,000,000	2016/03/02	2017/06/29	50.00
62822	UBS AG – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/03/02	2016/06/29	50.00
62826	UBS AG – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/03/02	2016/06/29	50.00
62869	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Y	250,000,000	2016/03/03	2016/11/29	62.50
62861	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 B	250,000,000	2016/03/03	2016/12/29	62.50
62870	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/03/03	2016/06/29	50.00
62880	Credit Suisse AG – HSI R Bull CBBC Oct 2016 G	200,000,000	2016/03/03	2016/10/28	50.00
62883	Credit Suisse AG – HSI R Bull CBBC Nov 2016 E	200,000,000	2016/03/03	2016/11/29	50.00
62888	Credit Suisse AG – HSI R Bear CBBC Jun 2016 X	200,000,000	2016/03/03	2016/06/29	50.00
62890	Credit Suisse AG – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/03/03	2016/07/28	50.00
62862	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/03/03	2016/07/28	51.20
62925	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/03/03	2016/07/28	51.20
62860	HK Bank – HSCEI R Bear CBBC Dec 2016 B	50,000,000	2016/03/03	2016/12/29	12.50
62856	HK Bank – HSI R Bear CBBC Nov 2016 F	400,000,000	2016/03/03	2016/11/29	100.00
62857	HK Bank – HSI R Bear CBBC Dec 2016 F	150,000,000	2016/03/03	2016/12/29	37.50
62924	J P Morgan SP BV – HSBC R Bear CBBC Dec 2016 A	120,000,000	2016/03/03	2016/12/16	30.00
62916	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 S	200,000,000	2016/03/03	2017/02/27	50.00
62917	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 V	200,000,000	2016/03/03	2017/03/30	50.00
62918	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 G	150,000,000	2016/03/03	2017/06/29	37.50
62922	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 Q	200,000,000	2016/03/03	2016/06/29	50.00
62923	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 Z	200,000,000	2016/03/03	2016/07/28	50.00
62875	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 H	40,000,000	2016/03/03	2016/08/30	10.00
62873	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2016 B	40,000,000	2016/03/03	2016/10/28	10.00
62863	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 S	200,000,000	2016/03/03	2016/10/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62872	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 I	200,000,000	2016/03/03	2016/12/29	50.00
62865	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 L	200,000,000	2016/03/03	2016/07/28	50.00
62868	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 M	200,000,000	2016/03/03	2016/07/28	50.00
62904	UBS AG – HSI R Bull CBBC May 2017 A	200,000,000	2016/03/03	2017/05/29	50.00
62910	UBS AG – HSI R Bull CBBC Jun 2017 O	200,000,000	2016/03/03	2017/06/29	50.00
62913	UBS AG – HSI R Bear CBBC Jun 2016 V	200,000,000	2016/03/03	2016/06/29	50.00
62914	UBS AG – HSI R Bear CBBC Jun 2016 W	200,000,000	2016/03/03	2016/06/29	50.00
62915	UBS AG – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/03/03	2016/07/28	50.00
62950	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2016/03/04	2016/11/29	62.50
62943	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 K	200,000,000	2016/03/04	2016/06/29	50.00
62942	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/03/04	2016/07/28	50.00
62946	Credit Suisse AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2016/03/04	2016/11/29	50.00
62947	Credit Suisse AG – HSI R Bull CBBC Dec 2016 R	200,000,000	2016/03/04	2016/12/29	50.00
62945	Credit Suisse AG – HSI R Bear CBBC Jun 2016 Y	200,000,000	2016/03/04	2016/06/29	50.00
62944	Credit Suisse AG – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/03/04	2016/07/28	50.00
62941	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 A	200,000,000	2016/03/04	2017/05/29	52.00
62998	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 B	200,000,000	2016/03/04	2017/05/29	50.60
62997	J P Morgan SP BV – China Life R Bear CBBC Jan 2017 A	35,000,000	2016/03/04	2017/01/20	15.75
62982	J P Morgan SP BV – Galaxy Ent R Bear CBBC Jan 2017 A	120,000,000	2016/03/04	2017/01/20	30.00
62980	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 O	200,000,000	2016/03/04	2016/12/29	50.00
62979	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 P	200,000,000	2016/03/04	2017/04/27	50.00
62977	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 R	200,000,000	2016/03/04	2016/06/29	50.00
62978	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/03/04	2016/07/28	50.00
62994	J P Morgan SP BV – Sands China R Bear CBBC Dec 2016 A	120,000,000	2016/03/04	2016/12/16	30.00
62933	SGA Societe Generale Acceptance N.V. – CSA50 R Bull CBBC Nov16 A	100,000,000	2016/03/04	2016/11/29	25.00
62930	SGA Societe Generale Acceptance N.V. – CSA50 R Bull CBBC Feb17 C	100,000,000	2016/03/04	2017/02/27	25.00
62935	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Apr17 B	100,000,000	2016/03/04	2017/04/27	25.00
62952	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 H	200,000,000	2016/03/04	2016/12/29	50.00
62951	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 J	200,000,000	2016/03/04	2016/12/29	50.00
62938	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 M	200,000,000	2016/03/04	2016/08/30	50.00
62940	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 N	200,000,000	2016/03/04	2016/08/30	50.00
62975	UBS AG – HSI R Bull CBBC Apr 2017 L	200,000,000	2016/03/04	2017/04/27	50.00
62976	UBS AG – HSI R Bull CBBC Apr 2017 M	200,000,000	2016/03/04	2017/04/27	50.00
62974	UBS AG – HSI R Bull CBBC Jun 2017 P	200,000,000	2016/03/04	2017/06/29	50.00
62960	UBS AG – HSI R Bear CBBC Jun 2016 X	200,000,000	2016/03/04	2016/06/29	50.00
62970	UBS AG – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/03/04	2016/07/28	50.00
62972	UBS AG – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/03/04	2016/07/28	50.00
63022	Credit Suisse AG – HSI R Bull CBBC Oct 2016 K	200,000,000	2016/03/07	2016/10/28	50.00
63024	Credit Suisse AG – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/03/07	2016/08/30	50.00
63020	Bank of East Asia – Galaxy Ent R Bear CBBC Feb 2017 A	40,000,000	2016/03/07	2017/02/27	10.00
63021	Bank of East Asia – Great Wall Motor R Bull CBBC Mar 2017 A	40,000,000	2016/03/07	2017/03/03	10.00
63008	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Q	150,000,000	2016/03/07	2016/12/29	37.50
63019	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 S	200,000,000	2016/03/07	2016/06/29	50.00
62999	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2016 B	40,000,000	2016/03/07	2016/09/29	10.00
63000	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 L	200,000,000	2016/03/07	2016/11/29	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63001	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 M	200,000,000	2016/03/07	2016/11/29	50.00
63003	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 O	200,000,000	2016/03/07	2016/08/30	50.00
63005	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 P	200,000,000	2016/03/07	2016/08/30	50.00
63026	UBS AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2016/03/07	2016/11/29	50.00
63025	UBS AG – HSI R Bull CBBC May 2017 B	200,000,000	2016/03/07	2017/05/29	50.00
63027	UBS AG – HSI R Bear CBBC Jun 2016 Y	200,000,000	2016/03/07	2016/06/29	50.00
63050	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 M	250,000,000	2016/03/08	2016/12/29	62.50
63052	Credit Suisse AG – HSI R Bull CBBC Jan 2017 F	200,000,000	2016/03/08	2017/01/26	50.00
63058	Credit Suisse AG – HSI R Bull CBBC Jan 2017 G	200,000,000	2016/03/08	2017/01/26	50.00
63038	Credit Suisse AG – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/03/08	2016/08/30	50.00
63029	HK Bank – HSCEI R Bear CBBC Nov 2016 D	50,000,000	2016/03/08	2016/11/29	12.50
63028	HK Bank – HSI R Bear CBBC Nov 2016 G	150,000,000	2016/03/08	2016/11/29	37.50
63036	HK Bank – ICBC R Bull CBBC Aug 2017 A	50,000,000	2016/03/08	2017/08/28	12.50
63034	HK Bank – PICC P&C R Bull CBBC Oct 2017 A	40,000,000	2016/03/08	2017/10/30	14.00
63048	J P Morgan SP BV – China Life R Bull CBBC Jul 2017 B	40,000,000	2016/03/08	2017/07/21	14.00
63045	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Z	150,000,000	2016/03/08	2016/12/29	37.50
63044	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 T	200,000,000	2016/03/08	2017/02/27	50.00
63046	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 J	150,000,000	2016/03/08	2016/08/30	37.50
63039	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 U	200,000,000	2016/03/08	2016/11/29	50.00
63040	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 G	200,000,000	2016/03/08	2016/07/28	50.00
63043	UBS AG – HSI R Bull CBBC Feb 2017 B	200,000,000	2016/03/08	2017/02/27	50.00
63041	UBS AG – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/03/08	2016/07/28	50.00
63064	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Dec16 D	100,000,000	2016/03/09	2016/12/30	25.00
63130	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 O	100,000,000	2016/03/09	2016/12/29	25.00
63120	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 W	250,000,000	2016/03/09	2016/11/29	62.50
63167	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 X	250,000,000	2016/03/09	2016/11/29	62.50
63121	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 C	250,000,000	2016/03/09	2016/12/29	62.50
63128	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 D	250,000,000	2016/03/09	2016/12/29	62.50
63176	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 I	250,000,000	2016/03/09	2016/12/29	62.50
63129	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 L	200,000,000	2016/03/09	2016/06/29	50.00
63060	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/03/09	2016/07/28	50.00
63061	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/03/09	2016/07/28	50.00
63063	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/03/09	2016/07/28	50.00
63065	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 G	50,000,000	2016/03/09	2016/12/30	12.50
63160	Credit Suisse AG – HKEX R Bear CBBC Jul 2016 B	90,000,000	2016/03/09	2016/07/29	22.50
63161	Credit Suisse AG – HSI R Bull CBBC Dec 2016 O	200,000,000	2016/03/09	2016/12/29	50.00
63162	Credit Suisse AG – HSI R Bull CBBC Dec 2016 P	200,000,000	2016/03/09	2016/12/29	50.00
63166	Credit Suisse AG – HSI R Bull CBBC Dec 2016 Q	200,000,000	2016/03/09	2016/12/29	50.00
63165	Credit Suisse AG – HSI R Bull CBBC Dec 2016 S	200,000,000	2016/03/09	2016/12/29	50.00
63158	Credit Suisse AG – HSI R Bear CBBC Jun 2016 B	200,000,000	2016/03/09	2016/06/29	50.00
63152	Credit Suisse AG – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/03/09	2016/07/28	50.00
63159	Credit Suisse AG – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/03/09	2016/07/28	50.00
63102	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 C	200,000,000	2016/03/09	2017/05/29	51.60
63106	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 D	200,000,000	2016/03/09	2017/05/29	52.40
63109	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 E	200,000,000	2016/03/09	2017/05/29	54.80

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63189	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 F	200,000,000	2016/03/09	2017/05/29	52.00
63177	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/03/09	2016/07/28	50.80
63185	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/03/09	2016/07/28	51.40
63187	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/03/09	2016/07/28	51.20
63085	HK Bank – HSCEI R Bull CBBC Nov 2016 O	60,000,000	2016/03/09	2016/11/29	15.00
63091	HK Bank – HSI R Bull CBBC Nov 2016 U	150,000,000	2016/03/09	2016/11/29	37.50
63089	HK Bank – HSI R Bull CBBC Dec 2016 T	150,000,000	2016/03/09	2016/12/29	37.50
63097	HK Bank – HSI R Bull CBBC Feb 2017 D	400,000,000	2016/03/09	2017/02/27	100.00
63202	J P Morgan SP BV – COVS R Bull CBBC May 2017 A	20,000,000	2016/03/09	2017/05/19	10.00
63208	J P Morgan SP BV – HKEX R Bull CBBC Apr 2017 A	40,000,000	2016/03/09	2017/04/21	10.00
63196	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 U	200,000,000	2016/03/09	2017/02/27	50.00
63198	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 W	200,000,000	2016/03/09	2017/03/30	50.00
63199	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 Q	200,000,000	2016/03/09	2017/04/27	50.00
63190	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/03/09	2016/06/29	50.00
63193	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/03/09	2016/06/29	50.00
63195	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 X	200,000,000	2016/03/09	2016/06/29	50.00
63212	J P Morgan SP BV – Ping An R Bull CBBC May 2017 C	100,000,000	2016/03/09	2017/05/19	25.00
63211	J P Morgan SP BV – Tencent R Bull CBBC Apr 2017 B	60,000,000	2016/03/09	2017/04/21	15.00
63131	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 E	40,000,000	2016/03/09	2016/09/29	10.00
63134	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 F	40,000,000	2016/03/09	2016/09/29	10.20
63137	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 A	40,000,000	2016/03/09	2016/12/29	10.00
63138	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 B	40,000,000	2016/03/09	2016/12/29	10.20
63150	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 I	40,000,000	2016/03/09	2016/08/30	10.00
63139	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2016 C	40,000,000	2016/03/09	2016/10/28	10.00
63111	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 B	200,000,000	2016/03/09	2016/10/28	50.00
63115	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 P	200,000,000	2016/03/09	2016/10/28	50.00
63114	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 V	200,000,000	2016/03/09	2016/11/29	50.00
63110	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 Y	400,000,000	2016/03/09	2016/12/29	100.00
63113	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 Z	200,000,000	2016/03/09	2016/12/29	50.00
63067	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 J	200,000,000	2016/03/09	2016/07/28	50.00
63076	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 K	200,000,000	2016/03/09	2016/07/28	50.00
63078	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 N	200,000,000	2016/03/09	2016/07/28	50.00
63119	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 O	200,000,000	2016/03/09	2016/07/28	50.00
63080	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 Q	200,000,000	2016/03/09	2016/08/30	50.00
63081	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 R	200,000,000	2016/03/09	2016/08/30	50.00
63084	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 S	200,000,000	2016/03/09	2016/08/30	50.00
63244	UBS AG – HSCEI R Bull CBBC Apr 2017 A	200,000,000	2016/03/09	2017/04/27	50.00
63247	UBS AG – HSI R Bull CBBC Mar 2017 M	200,000,000	2016/03/09	2017/03/30	50.00
63249	UBS AG – HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/03/09	2017/06/29	50.00
63245	UBS AG – HSI R Bull CBBC Sep 2017 A	200,000,000	2016/03/09	2017/09/28	50.00
63252	UBS AG – HSI R Bull CBBC Sep 2017 B	200,000,000	2016/03/09	2017/09/28	50.00
63215	UBS AG – HSI R Bear CBBC Jun 2016 A	200,000,000	2016/03/09	2016/06/29	50.00
63219	UBS AG – HSI R Bear CBBC Jun 2016 B	200,000,000	2016/03/09	2016/06/29	50.00
63238	UBS AG – HSI R Bear CBBC Jun 2016 C	200,000,000	2016/03/09	2016/06/29	50.00
63239	UBS AG – HSI R Bear CBBC Jun 2016 D	200,000,000	2016/03/09	2016/06/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63243	UBS AG – HSI R Bear CBBC Jun 2016 E	200,000,000	2016/03/09	2016/06/29	50.00
63213	UBS AG – HSI R Bear CBBC Jun 2016 Z	200,000,000	2016/03/09	2016/06/29	50.00
63218	UBS AG – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/03/09	2016/07/28	50.00
63242	UBS AG – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/03/09	2016/07/28	50.00
63280	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 M	250,000,000	2016/03/10	2016/11/29	62.50
63352	Credit Suisse AG – HSI R Bull CBBC Nov 2016 A	200,000,000	2016/03/10	2016/11/29	50.00
63351	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Z	200,000,000	2016/03/10	2016/11/29	50.00
63361	Credit Suisse AG – HSI R Bear CBBC Jun 2016 A	200,000,000	2016/03/10	2016/06/29	50.00
63367	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 G	200,000,000	2016/03/10	2017/05/29	50.40
63368	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 H	200,000,000	2016/03/10	2017/05/29	51.20
63279	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/03/10	2016/07/28	51.20
63278	HK Bank – Sinopec Corp R Bull CBBC Nov 2016 G	50,000,000	2016/03/10	2016/11/28	12.50
63269	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2017 C	50,000,000	2016/03/10	2017/01/16	12.50
63270	HK Bank – A50 R Bull CBBC Feb 2017 F	50,000,000	2016/03/10	2017/02/27	12.50
63272	HK Bank – HKEX R Bull CBBC Dec 2016 F	50,000,000	2016/03/10	2016/12/19	12.50
63266	HK Bank – HSCEI R Bear CBBC Nov 2016 E	50,000,000	2016/03/10	2016/11/29	12.50
63267	HK Bank – HSCEI R Bear CBBC Dec 2016 C	50,000,000	2016/03/10	2016/12/29	12.50
63268	HK Bank – HSCEI R Bear CBBC Feb 2017 A	50,000,000	2016/03/10	2017/02/27	12.50
63253	HK Bank – HSI R Bear CBBC Dec 2016 G	150,000,000	2016/03/10	2016/12/29	37.50
63257	HK Bank – HSI R Bear CBBC Dec 2016 H	150,000,000	2016/03/10	2016/12/29	37.50
63258	HK Bank – HSI R Bear CBBC Dec 2016 I	150,000,000	2016/03/10	2016/12/29	37.50
63254	HK Bank – HSI R Bear CBBC Feb 2017 A	150,000,000	2016/03/10	2017/02/27	37.50
63255	HK Bank – HSI R Bear CBBC Feb 2017 B	400,000,000	2016/03/10	2017/02/27	100.00
63273	HK Bank – PetCh R Bull CBBC Dec 2016 I	50,000,000	2016/03/10	2016/12/12	12.50
63276	HK Bank – TCH R Bull CBBC Nov 2016 H	50,000,000	2016/03/10	2016/11/28	12.50
63275	HK Bank – TCH R Bull CBBC Dec 2016 F	50,000,000	2016/03/10	2016/12/05	12.50
63277	HK Bank – TCH R Bull CBBC Dec 2016 G	50,000,000	2016/03/10	2016/12/19	12.50
63356	J P Morgan SP BV – HSCEI R Bull CBBC Jun 2017 C	100,000,000	2016/03/10	2017/06/29	25.00
63353	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 C	200,000,000	2016/03/10	2016/12/29	50.00
63355	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 R	200,000,000	2016/03/10	2016/12/29	50.00
63366	J P Morgan SP BV – Sands China R Bull CBBC Jan 2017 A	140,000,000	2016/03/10	2017/01/09	35.00
63286	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 T	200,000,000	2016/03/10	2016/10/28	50.00
63284	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 W	200,000,000	2016/03/10	2016/11/29	50.00
63288	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 Y	200,000,000	2016/03/10	2016/11/29	50.00
63281	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 D	200,000,000	2016/03/10	2016/12/29	50.00
63307	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 T	200,000,000	2016/03/10	2016/08/30	50.00
63337	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2017 A	50,000,000	2016/03/10	2017/01/09	12.50
63331	UBS AG – HSI R Bull CBBC Feb 2017 C	200,000,000	2016/03/10	2017/02/27	50.00
63322	UBS AG – HSI R Bull CBBC Apr 2017 N	200,000,000	2016/03/10	2017/04/27	50.00
63327	UBS AG – HSI R Bull CBBC Jun 2017 R	200,000,000	2016/03/10	2017/06/29	50.00
63334	UBS AG – HSI R Bull CBBC Jul 2017 D	200,000,000	2016/03/10	2017/07/28	50.00
63349	UBS AG – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/03/10	2016/06/29	50.00
63342	UBS AG – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/03/10	2016/07/28	50.00
63339	UBS AG – TCH R Bull CBBC Jun 2017 A	50,000,000	2016/03/10	2017/06/26	12.50
63424	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 Q	100,000,000	2016/03/11	2016/11/29	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63455	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 U	250,000,000	2016/03/11	2016/11/29	62.50
63422	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/03/11	2016/06/29	50.00
63379	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/03/11	2016/07/28	50.00
63425	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Dec 2016 A	50,000,000	2016/03/11	2016/12/30	12.50
63444	Credit Suisse AG – HSCEI R Bull CBBC Nov 2016 D	100,000,000	2016/03/11	2016/11/29	25.00
63459	Credit Suisse AG – HSI R Bull CBBC Oct 2016 C	200,000,000	2016/03/11	2016/10/28	50.00
63461	Credit Suisse AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2016/03/11	2016/10/28	50.00
63458	Credit Suisse AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2016/03/11	2016/10/28	50.00
63443	Credit Suisse AG – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/03/11	2016/07/28	50.00
63445	Credit Suisse AG – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/03/11	2016/07/28	50.00
63463	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 I	200,000,000	2016/03/11	2017/05/29	51.20
63421	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/03/11	2016/07/28	50.40
63464	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/03/11	2016/07/28	51.00
63402	HK Bank – CAM CSI300 R Bull CBBC Dec 2016 E	50,000,000	2016/03/11	2016/12/19	15.50
63388	HK Bank – CKH R Bull CBBC Jul 2017 A	40,000,000	2016/03/11	2017/07/10	10.00
63382	HK Bank – CM Bank R Bull CBBC Dec 2016 F	40,000,000	2016/03/11	2016/12/12	10.00
63385	HK Bank – CNOOC R Bull CBBC Dec 2016 H	50,000,000	2016/03/11	2016/12/12	12.50
63398	HK Bank – HSI R Bull CBBC Oct 2016 Y	150,000,000	2016/03/11	2016/10/28	37.50
63393	HK Bank – HSI R Bull CBBC Feb 2017 E	150,000,000	2016/03/11	2017/02/27	37.50
63399	HK Bank – HSI R Bear CBBC Nov 2016 H	150,000,000	2016/03/11	2016/11/29	37.50
63397	HK Bank – Ping An R Bull CBBC Dec 2016 I	50,000,000	2016/03/11	2016/12/19	12.50
63467	J P Morgan SP BV – COVS R Bull CBBC Dec 2016 B	20,000,000	2016/03/11	2016/12/16	10.00
63469	J P Morgan SP BV – China Life R Bull CBBC Aug 2016 A	40,000,000	2016/03/11	2016/08/19	10.00
63472	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Nov 2016 A	60,000,000	2016/03/11	2016/11/18	15.00
63473	J P Morgan SP BV – HKEX R Bear CBBC Jul 2016 D	30,000,000	2016/03/11	2016/07/15	10.20
63475	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 P	200,000,000	2016/03/11	2016/12/29	50.00
63478	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 V	200,000,000	2016/03/11	2017/02/27	50.00
63465	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 Y	200,000,000	2016/03/11	2016/06/29	50.00
63474	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 K	150,000,000	2016/03/11	2016/08/30	37.50
63468	J P Morgan SP BV – Tencent R Bull CBBC Sep 2016 B	60,000,000	2016/03/11	2016/09/15	15.00
63441	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 C	40,000,000	2016/03/11	2016/12/29	10.00
63404	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 F	200,000,000	2016/03/11	2016/12/29	50.00
63407	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 U	200,000,000	2016/03/11	2016/12/29	50.00
63412	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 R	200,000,000	2016/03/11	2016/06/29	50.00
63451	UBS AG – HSI R Bull CBBC Nov 2016 A	200,000,000	2016/03/11	2016/11/29	50.00
63453	UBS AG – HSI R Bull CBBC Mar 2017 N	200,000,000	2016/03/11	2017/03/30	50.00
63446	UBS AG – HSI R Bull CBBC Jun 2017 S	200,000,000	2016/03/11	2017/06/29	50.00
63450	UBS AG – HSI R Bull CBBC Jul 2017 E	200,000,000	2016/03/11	2017/07/28	50.00
63428	UBS AG – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/03/11	2016/07/28	50.00
63439	UBS AG – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/03/11	2016/07/28	50.00
63489	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 P	100,000,000	2016/03/14	2016/12/29	25.00
63488	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/03/14	2016/07/28	50.00
63515	Credit Suisse AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2016/03/14	2016/11/29	50.00
63511	Credit Suisse AG – HSI R Bull CBBC Dec 2016 T	200,000,000	2016/03/14	2016/12/29	50.00
63513	Credit Suisse AG – HSI R Bull CBBC Dec 2016 U	200,000,000	2016/03/14	2016/12/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63524	Credit Suisse AG – HSI R Bear CBBC Jun 2016 D	200,000,000	2016/03/14	2016/06/29	50.00
63525	Credit Suisse AG – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/03/14	2016/07/28	50.00
63528	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 J	200,000,000	2016/03/14	2017/05/29	51.20
63531	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 K	200,000,000	2016/03/14	2017/05/29	51.60
63536	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 L	200,000,000	2016/03/14	2017/05/29	52.60
63539	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 M	200,000,000	2016/03/14	2017/05/29	50.80
63526	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/03/14	2016/07/28	50.80
63482	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2017 E	50,000,000	2016/03/14	2017/02/27	12.50
63483	HK Bank – A50 R Bull CBBC Feb 2017 G	50,000,000	2016/03/14	2017/02/27	12.50
63486	HK Bank – Great Wall Motor R Bull CBBC Dec 2016 C	40,000,000	2016/03/14	2016/12/12	10.00
63480	HK Bank – HSCEI R Bull CBBC Dec 2016 K	60,000,000	2016/03/14	2016/12/29	15.00
63481	HK Bank – HSI R Bull CBBC Dec 2016 U	150,000,000	2016/03/14	2016/12/29	37.50
63484	HK Bank – Haitong Sec R Bull CBBC Dec 2016 A	40,000,000	2016/03/14	2016/12/19	10.00
63517	J P Morgan SP BV – CC Bank R Bull CBBC Dec 2016 A	100,000,000	2016/03/14	2016/12/16	25.00
63518	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 S	200,000,000	2016/03/14	2016/12/29	50.00
63519	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 X	150,000,000	2016/03/14	2017/03/30	37.50
63516	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 Z	200,000,000	2016/03/14	2016/06/29	50.00
63496	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2016 C	40,000,000	2016/03/14	2016/09/29	10.00
63497	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 A	200,000,000	2016/03/14	2016/10/28	50.00
63498	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 R	200,000,000	2016/03/14	2016/10/28	50.00
63500	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 R	200,000,000	2016/03/14	2016/07/28	50.00
63501	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 S	200,000,000	2016/03/14	2016/07/28	50.00
63510	UBS AG – HSCEI R Bull CBBC Jul 2017 A	200,000,000	2016/03/14	2017/07/28	50.00
63509	UBS AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2016/03/14	2016/11/29	50.00
63506	UBS AG – HSI R Bull CBBC Feb 2017 E	200,000,000	2016/03/14	2017/02/27	50.00
63505	UBS AG – HSI R Bull CBBC Jul 2017 F	200,000,000	2016/03/14	2017/07/28	50.00
63502	UBS AG – HSI R Bear CBBC Jun 2016 I	200,000,000	2016/03/14	2016/06/29	50.00
63504	UBS AG – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/03/14	2016/07/28	50.00
63552	Credit Suisse AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2016/03/15	2016/11/29	50.00
63550	Credit Suisse AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2016/03/15	2016/11/29	50.00
63553	Credit Suisse AG – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/03/15	2016/07/28	50.00
63540	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 N	200,000,000	2016/03/15	2017/05/29	51.20
63579	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/03/15	2016/07/28	51.00
63555	J P Morgan SP BV – A50 China R Bull CBBC Jan 2017 D	100,000,000	2016/03/15	2017/01/20	25.00
63541	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 D	150,000,000	2016/03/15	2017/01/26	37.50
63560	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 I	150,000,000	2016/03/15	2017/01/26	37.50
63559	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/03/15	2016/07/28	50.00
63554	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 M	150,000,000	2016/03/15	2016/07/28	37.50
63542	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 V	200,000,000	2016/03/15	2016/12/29	50.00
63543	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 T	200,000,000	2016/03/15	2016/07/28	50.00
63546	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep16 B	200,000,000	2016/03/15	2016/09/29	50.00
63548	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep16 C	200,000,000	2016/03/15	2016/09/29	50.00
63549	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep16 D	200,000,000	2016/03/15	2016/09/29	50.00
63563	UBS AG – HSI R Bull CBBC May 2017 C	200,000,000	2016/03/15	2017/05/29	50.00
63574	UBS AG – HSI R Bear CBBC Jun 2016 K	200,000,000	2016/03/15	2016/06/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63577	UBS AG – HSI R Bear CBBC Jun 2016 L	200,000,000	2016/03/15	2016/06/29	50.00
63649	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 A	250,000,000	2016/03/16	2017/05/29	62.50
63606	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/03/16	2016/07/28	50.00
63652	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 A	200,000,000	2016/03/16	2016/08/30	50.00
63639	Credit Suisse AG – HSI R Bear CBBC Jun 2016 S	200,000,000	2016/03/16	2016/06/29	50.00
63661	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 O	200,000,000	2016/03/16	2017/05/29	51.40
63605	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/03/16	2016/07/28	50.60
63582	HK Bank – CNOOC R Bull CBBC Jan 2017 C	50,000,000	2016/03/16	2017/01/09	12.50
63593	HK Bank – Great Wall Motor R Bull CBBC Jan 2017 B	40,000,000	2016/03/16	2017/01/09	10.00
63580	HK Bank – HSI R Bear CBBC Dec 2016 J	150,000,000	2016/03/16	2016/12/29	37.50
63587	HK Bank – ICBC R Bull CBBC Aug 2017 B	50,000,000	2016/03/16	2017/08/07	12.50
63657	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 W	200,000,000	2016/03/16	2017/02/27	50.00
63665	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 X	150,000,000	2016/03/16	2017/02/27	37.50
63658	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 E	200,000,000	2016/03/16	2016/06/29	50.00
63594	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 T	200,000,000	2016/03/16	2016/11/29	50.00
63603	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 X	200,000,000	2016/03/16	2016/11/29	50.00
63611	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 W	200,000,000	2016/03/16	2016/07/28	50.00
63614	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 U	200,000,000	2016/03/16	2016/08/30	50.00
63619	UBS AG – HSI R Bull CBBC Mar 2017 O	200,000,000	2016/03/16	2017/03/30	50.00
63615	UBS AG – HSI R Bull CBBC Sep 2017 C	200,000,000	2016/03/16	2017/09/28	50.00
63620	UBS AG – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/03/16	2016/06/29	50.00
63638	UBS AG – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/03/16	2016/07/28	50.00
63690	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 A	250,000,000	2016/03/17	2017/06/29	62.50
63695	Credit Suisse AG – HSI R Bull CBBC Jun 2017 A	200,000,000	2016/03/17	2017/06/29	50.00
63698	Credit Suisse AG – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/03/17	2016/06/29	50.00
63704	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 N	150,000,000	2016/03/17	2017/01/26	37.50
63706	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 Y	150,000,000	2016/03/17	2017/03/30	37.50
63700	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 I	200,000,000	2016/03/17	2016/06/29	50.00
63678	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 W	200,000,000	2016/03/17	2016/12/29	50.00
63686	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 V	200,000,000	2016/03/17	2016/08/30	50.00
63694	UBS AG – HSI R Bull CBBC Apr 2017 O	200,000,000	2016/03/17	2017/04/27	50.00
63691	UBS AG – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/03/17	2016/06/29	50.00
63692	UBS AG – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/03/17	2016/07/28	50.00
63712	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 B	250,000,000	2016/03/18	2017/05/29	62.50
63711	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/03/18	2016/07/28	50.00
63718	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/03/18	2016/08/30	50.00
63742	Credit Suisse AG – HSI R Bull CBBC Jun 2017 B	200,000,000	2016/03/18	2017/06/29	50.00
63720	Credit Suisse AG – HSI R Bear CBBC Jun 2016 Q	200,000,000	2016/03/18	2016/06/29	50.00
63749	J P Morgan SP BV – CNOOC R Bear CBBC Jan 2017 A	50,000,000	2016/03/18	2017/01/20	12.50
63751	J P Morgan SP BV – HSBC R Bull CBBC Apr 2017 B	100,000,000	2016/03/18	2017/04/21	25.00
63755	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 S	200,000,000	2016/03/18	2017/01/26	50.00
63756	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 Z	200,000,000	2016/03/18	2017/03/30	50.00
63754	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 R	150,000,000	2016/03/18	2017/04/27	37.50
63747	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 J	200,000,000	2016/03/18	2016/06/29	50.00
63737	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 B	200,000,000	2016/03/18	2016/11/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63735	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 Z	200,000,000	2016/03/18	2016/11/29	50.00
63731	UBS AG – HSI R Bull CBBC Jun 2017 T	200,000,000	2016/03/18	2017/06/29	50.00
63733	UBS AG – HSI R Bull CBBC Jul 2017 G	200,000,000	2016/03/18	2017/07/28	50.00
63725	UBS AG – HSI R Bear CBBC Jun 2016 G	200,000,000	2016/03/18	2016/06/29	50.00
63789	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 C	250,000,000	2016/03/21	2017/05/29	62.50
63786	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 B	250,000,000	2016/03/21	2017/06/29	62.50
63790	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 C	250,000,000	2016/03/21	2017/06/29	62.50
63757	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/03/21	2016/07/28	50.00
63758	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/03/21	2016/07/28	50.00
63833	Credit Suisse AG – HSI R Bull CBBC Jun 2017 C	200,000,000	2016/03/21	2017/06/29	50.00
63845	Credit Suisse AG – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/03/21	2017/06/29	50.00
63778	Credit Suisse AG – HSI R Bear CBBC Jun 2016 R	200,000,000	2016/03/21	2016/06/29	50.00
63777	Credit Suisse AG – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/03/21	2016/06/29	50.00
63784	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 P	200,000,000	2016/03/21	2017/05/29	51.60
63780	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/03/21	2016/07/28	50.20
63854	J P Morgan SP BV – BOCL R Bull CBBC Jan 2017 A	100,000,000	2016/03/21	2017/01/20	25.00
63853	J P Morgan SP BV – COVS R Bull CBBC Nov 2016 A	25,000,000	2016/03/21	2016/11/18	10.00
63850	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 B	200,000,000	2016/03/21	2016/11/29	50.00
63851	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 E	200,000,000	2016/03/21	2016/12/29	50.00
63846	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 L	200,000,000	2016/03/21	2016/06/29	50.00
63849	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/03/21	2016/06/29	50.00
63852	J P Morgan SP BV – Tencent R Bull CBBC Dec 2016 B	60,000,000	2016/03/21	2016/12/16	15.00
63793	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 G	40,000,000	2016/03/21	2016/09/29	10.00
63796	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 D	200,000,000	2016/03/21	2016/11/29	50.00
63801	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 E	200,000,000	2016/03/21	2016/11/29	50.00
63765	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2016/03/21	2016/06/29	50.00
63771	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 U	200,000,000	2016/03/21	2016/07/28	50.00
63760	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 X	200,000,000	2016/03/21	2016/07/28	50.00
63772	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 Y	200,000,000	2016/03/21	2016/07/28	50.00
63805	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 Z	200,000,000	2016/03/21	2016/07/28	50.00
63823	UBS AG – HSI R Bull CBBC May 2017 D	200,000,000	2016/03/21	2017/05/29	50.00
63822	UBS AG – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/03/21	2017/06/29	50.00
63808	UBS AG – HSI R Bear CBBC Jun 2016 O	200,000,000	2016/03/21	2016/06/29	50.00
63809	UBS AG – HSI R Bear CBBC Jun 2016 P	200,000,000	2016/03/21	2016/06/29	50.00
63811	UBS AG – HSI R Bear CBBC Jun 2016 Q	200,000,000	2016/03/21	2016/06/29	50.00
63896	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/03/22	2016/08/30	50.00
63885	Credit Suisse AG – HSI R Bull CBBC Jun 2017 E	200,000,000	2016/03/22	2017/06/29	50.00
63887	Credit Suisse AG – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/03/22	2017/06/29	50.00
63915	Credit Suisse AG – HSI R Bear CBBC Jun 2016 C	200,000,000	2016/03/22	2016/06/29	50.00
63914	Credit Suisse AG – HSI R Bear CBBC Jun 2016 V	200,000,000	2016/03/22	2016/06/29	50.00
63891	Bank of East Asia – COVS R Bull CBBC Jul 2017 A	20,000,000	2016/03/22	2017/07/28	11.00
63895	Bank of East Asia – HSBC Holdings R Bull CBBC Apr 2017 A	40,000,000	2016/03/22	2017/04/07	10.00
63892	Bank of East Asia – TCH R Bull CBBC Mar 2017 A	40,000,000	2016/03/22	2017/03/17	10.00
63927	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2016 G	200,000,000	2016/03/22	2016/12/29	50.00
63890	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/03/22	2016/07/28	50.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63856	HK Bank – ABC R Bear CBBC Dec 2016 B	40,000,000	2016/03/22	2016/12/12	10.00
63882	HK Bank – AIA R Bull CBBC Oct 2016 H	40,000,000	2016/03/22	2016/10/31	16.80
63855	HK Bank – COVS R Bull CBBC Dec 2016 B	40,000,000	2016/03/22	2016/12/30	18.00
63881	HK Bank – China Life R Bull CBBC Nov 2016 F	50,000,000	2016/03/22	2016/11/07	12.50
63877	HK Bank – HSCEI R Bull CBBC Oct 2016 Q	60,000,000	2016/03/22	2016/10/28	15.00
63879	HK Bank – HSCEI R Bull CBBC Dec 2016 L	60,000,000	2016/03/22	2016/12/29	15.00
63866	HK Bank – HSI R Bull CBBC Oct 2016 Z	150,000,000	2016/03/22	2016/10/28	37.50
63867	HK Bank – HSI R Bull CBBC Nov 2016 V	150,000,000	2016/03/22	2016/11/29	37.50
63858	HK Bank – PICC P&C R Bull CBBC Feb 2017 A	40,000,000	2016/03/22	2017/02/01	10.00
63925	J P Morgan SP BV – AIA R Bear CBBC Jan 2017 A	100,000,000	2016/03/22	2017/01/20	25.00
63923	J P Morgan SP BV – CNOOC R Bear CBBC Dec 2016 A	60,000,000	2016/03/22	2016/12/16	15.00
63926	J P Morgan SP BV – Galaxy Ent R Bull CBBC Feb 2017 B	100,000,000	2016/03/22	2017/02/17	25.00
63921	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 C	150,000,000	2016/03/22	2017/03/30	37.50
63917	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/03/22	2017/06/29	50.00
63918	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/03/22	2016/06/29	50.00
63897	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec16 X	200,000,000	2016/03/22	2016/12/29	50.00
63903	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 N	200,000,000	2016/03/22	2016/06/29	50.00
63904	UBS AG – HSI R Bull CBBC Feb 2017 F	200,000,000	2016/03/22	2017/02/27	50.00
63907	UBS AG – HSI R Bull CBBC Feb 2017 G	200,000,000	2016/03/22	2017/02/27	50.00
63908	UBS AG – HSI R Bear CBBC Jun 2016 R	200,000,000	2016/03/22	2016/06/29	50.00
63912	UBS AG – HSI R Bear CBBC Jun 2016 S	200,000,000	2016/03/22	2016/06/29	50.00
63950	Credit Suisse AG – HSI R Bull CBBC Jun 2017 G	200,000,000	2016/03/23	2017/06/29	50.00
63928	Credit Suisse AG – HSI R Bear CBBC Jun 2016 M	200,000,000	2016/03/23	2016/06/29	50.00
63951	Credit Suisse AG – HSI R Bear CBBC Jun 2016 N	200,000,000	2016/03/23	2016/06/29	50.00
63931	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2016 A	200,000,000	2016/03/23	2016/06/29	50.60
63955	J P Morgan SP BV – CNOOC R Bull CBBC Feb 2017 B	60,000,000	2016/03/23	2017/02/17	15.00
63960	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 C	150,000,000	2016/03/23	2017/01/26	37.50
63958	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 H	200,000,000	2016/03/23	2016/06/29	50.00
63934	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 W	200,000,000	2016/03/23	2016/10/28	50.00
63942	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 P	200,000,000	2016/03/23	2016/06/29	50.00
63944	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 E	200,000,000	2016/03/23	2016/07/28	50.00
63945	UBS AG – HSI R Bull CBBC Jul 2017 H	200,000,000	2016/03/23	2017/07/28	50.00
63946	UBS AG – HSI R Bear CBBC Jun 2016 F	200,000,000	2016/03/23	2016/06/29	50.00
63947	UBS AG – HSI R Bear CBBC Jun 2016 H	200,000,000	2016/03/23	2016/06/29	50.00
64008	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2016 E	100,000,000	2016/03/24	2016/12/30	25.00
64000	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC May 2017 A	100,000,000	2016/03/24	2017/05/29	25.00
63994	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 D	250,000,000	2016/03/24	2017/05/29	62.50
63972	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/03/24	2016/08/30	50.00
63998	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/03/24	2016/08/30	50.00
63999	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/03/24	2016/08/30	50.00
64056	Credit Suisse AG – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/03/24	2017/06/29	50.00
64060	Credit Suisse AG – HSI R Bull CBBC Jun 2017 I	200,000,000	2016/03/24	2017/06/29	50.00
64064	Credit Suisse AG – HSI R Bull CBBC Jun 2017 J	200,000,000	2016/03/24	2017/06/29	50.00
63990	Credit Suisse AG – HSI R Bear CBBC Jun 2016 P	200,000,000	2016/03/24	2016/06/29	50.00
63992	Credit Suisse AG – HSI R Bear CBBC Jun 2016 W	200,000,000	2016/03/24	2016/06/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64030	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 R	200,000,000	2016/03/24	2017/06/29	51.80
63975	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2016 B	200,000,000	2016/03/24	2016/06/29	50.20
63966	HK Bank – COVS R Bull CBBC Nov 2016 C	40,000,000	2016/03/24	2016/11/28	10.00
63967	HK Bank – COVS R Bull CBBC Jul 2017 A	40,000,000	2016/03/24	2017/07/17	22.00
63962	HK Bank – HSI R Bear CBBC Dec 2016 K	150,000,000	2016/03/24	2016/12/29	37.50
63971	HK Bank – Sands China R Bull CBBC Dec 2016 F	40,000,000	2016/03/24	2016/12/30	16.80
63969	HK Bank – SHK Ppt R Bull CBBC Apr 2017 A	40,000,000	2016/03/24	2017/04/28	10.00
63968	HK Bank – TCH R Bull CBBC Dec 2016 H	50,000,000	2016/03/24	2016/12/19	12.50
64054	J P Morgan SP BV – HSCEI R Bull CBBC Jul 2017 A	100,000,000	2016/03/24	2017/07/28	25.00
64042	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 I	150,000,000	2016/03/24	2017/06/29	37.50
64050	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 F	150,000,000	2016/03/24	2017/07/28	37.50
64039	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 G	150,000,000	2016/03/24	2016/06/29	37.50
64047	J P Morgan SP BV – HSI R Bear CBBC Jun 2016 K	200,000,000	2016/03/24	2016/06/29	50.00
64049	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 H	150,000,000	2016/03/24	2016/07/28	37.50
64076	J P Morgan SP BV – Ping An R Bull CBBC Dec 2016 D	100,000,000	2016/03/24	2016/12/16	25.00
64077	J P Morgan SP BV – Ping An R Bear CBBC Jan 2017 A	100,000,000	2016/03/24	2017/01/20	25.00
63980	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 D	40,000,000	2016/03/24	2016/12/29	10.00
63983	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2016 D	40,000,000	2016/03/24	2016/09/29	10.00
64018	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Y	200,000,000	2016/03/24	2016/10/28	50.00
64019	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Z	200,000,000	2016/03/24	2016/10/28	50.00
64020	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 I	200,000,000	2016/03/24	2016/07/28	50.00
64028	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 P	200,000,000	2016/03/24	2016/07/28	50.00
64069	UBS AG – HSI R Bull CBBC Jul 2017 I	200,000,000	2016/03/24	2017/07/28	50.00
64074	UBS AG – HSI R Bull CBBC Sep 2017 D	200,000,000	2016/03/24	2017/09/28	50.00
64065	UBS AG – HSI R Bear CBBC Jun 2016 T	200,000,000	2016/03/24	2016/06/29	50.00
64067	UBS AG – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/03/24	2016/07/28	50.00
64068	UBS AG – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/03/24	2016/07/28	59.00
64104	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Dec16 E	100,000,000	2016/03/29	2016/12/30	25.00
64112	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 D	250,000,000	2016/03/29	2017/06/29	62.50
64096	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/03/29	2016/08/30	50.00
64097	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/03/29	2016/08/30	50.00
64103	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/03/29	2016/08/30	50.00
64120	Credit Suisse AG – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/03/29	2017/06/29	50.00
64122	Credit Suisse AG – HSI R Bull CBBC Jun 2017 L	200,000,000	2016/03/29	2017/06/29	50.00
64092	Credit Suisse AG – HSI R Bear CBBC Jun 2016 Z	200,000,000	2016/03/29	2016/06/29	50.00
64095	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 S	200,000,000	2016/03/29	2017/06/29	50.60
64134	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 T	200,000,000	2016/03/29	2017/06/29	50.20
64137	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2016 C	200,000,000	2016/03/29	2016/06/29	51.20
64138	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2016 D	200,000,000	2016/03/29	2016/06/29	50.60
64087	HK Bank – A50 R Bull CBBC Jan 2017 D	50,000,000	2016/03/29	2017/01/16	12.50
64080	HK Bank – HSI R Bull CBBC Dec 2016 V	400,000,000	2016/03/29	2016/12/29	100.00
64086	HK Bank – Ping An R Bull CBBC Dec 2016 J	50,000,000	2016/03/29	2016/12/05	14.50
64090	HK Bank – TCH R Bull CBBC Dec 2016 I	50,000,000	2016/03/29	2016/12/15	12.50
64088	HK Bank – TCH R Bull CBBC Feb 2017 A	50,000,000	2016/03/29	2017/02/27	12.50
64083	HK Bank – TCH R Bear CBBC Dec 2016 A	40,000,000	2016/03/29	2016/12/12	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64081	HK Bank – TCH R Bear CBBC Feb 2017 A	40,000,000	2016/03/29	2017/02/06	10.00
64130	J P Morgan SP BV – A50 China R Bull CBBC Jan 2017 E	70,000,000	2016/03/29	2017/01/20	17.50
64123	J P Morgan SP BV – HSI R Bull CBBC Feb 2017 Y	200,000,000	2016/03/29	2017/02/27	50.00
64131	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 G	200,000,000	2016/03/29	2017/07/28	50.00
64132	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 I	150,000,000	2016/03/29	2016/07/28	37.50
64127	J P Morgan SP BV – Sands China R Bull CBBC Jan 2017 B	120,000,000	2016/03/29	2017/01/20	30.00
64129	J P Morgan SP BV – Sands China R Bear CBBC Nov 2016 A	100,000,000	2016/03/29	2016/11/18	25.00
64124	J P Morgan SP BV – Tencent R Bull CBBC Oct 2016 C	65,000,000	2016/03/29	2016/10/21	16.25
64107	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2016 E	40,000,000	2016/03/29	2016/09/29	10.00
64106	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 K	200,000,000	2016/03/29	2016/09/29	50.00
64105	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 V	200,000,000	2016/03/29	2016/09/29	50.00
64119	UBS AG – HSI R Bull CBBC May 2017 E	200,000,000	2016/03/29	2017/05/29	50.00
64113	UBS AG – HSI R Bear CBBC Jun 2016 U	200,000,000	2016/03/29	2016/06/29	50.00
64114	UBS AG – HSI R Bear CBBC Jun 2016 V	200,000,000	2016/03/29	2016/06/29	50.00
64116	UBS AG – HSI R Bear CBBC Jun 2016 W	200,000,000	2016/03/29	2016/06/29	50.00
64118	UBS AG – HSI R Bear CBBC Jun 2016 X	200,000,000	2016/03/29	2016/06/29	50.00
64225	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 E	250,000,000	2016/03/30	2017/05/29	62.50
64199	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/03/30	2016/07/28	50.00
64241	Credit Suisse AG – HKEX R Bull CBBC Nov 2016 A	100,000,000	2016/03/30	2016/11/30	25.00
64238	Credit Suisse AG – HSI R Bull CBBC Jul 2017 A	200,000,000	2016/03/30	2017/07/28	50.00
64221	Credit Suisse AG – HSI R Bear CBBC Jun 2016 X	200,000,000	2016/03/30	2016/06/29	50.00
64218	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 A	200,000,000	2016/03/30	2017/07/28	50.60
64198	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/03/30	2016/07/28	50.40
64146	HK Bank – BOCL R Bull CBBC Jul 2017 A	50,000,000	2016/03/30	2017/07/31	12.50
64174	HK Bank – CUni R Bull CBBC Jun 2017 A	40,000,000	2016/03/30	2017/06/30	10.00
64172	HK Bank – CC Bank R Bull CBBC Dec 2016 I	50,000,000	2016/03/30	2016/12/30	12.50
64152	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2017 D	50,000,000	2016/03/30	2017/01/09	12.50
64159	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2017 F	50,000,000	2016/03/30	2017/02/27	12.50
64162	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2017 G	50,000,000	2016/03/30	2017/02/28	12.50
64167	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2017 I	50,000,000	2016/03/30	2017/03/27	14.00
64169	HK Bank – Galaxy Ent R Bull CBBC Jun 2017 B	40,000,000	2016/03/30	2017/06/26	16.80
64170	HK Bank – Galaxy Ent R Bear CBBC Dec 2016 C	40,000,000	2016/03/30	2016/12/05	10.00
64196	HK Bank – HKEX R Bull CBBC Nov 2016 I	50,000,000	2016/03/30	2016/11/30	12.50
64177	HK Bank – HKEX R Bull CBBC Dec 2016 G	50,000,000	2016/03/30	2016/12/05	12.50
64175	HK Bank – HS H-SH ETF R Bull CBBC Jul 2017 B	50,000,000	2016/03/30	2017/07/31	12.50
64151	HK Bank – HSCEI R Bull CBBC Nov 2016 P	60,000,000	2016/03/30	2016/11/29	15.00
64148	HK Bank – HSCEI R Bear CBBC Dec 2016 D	50,000,000	2016/03/30	2016/12/29	12.50
64176	HK Bank – Sands China R Bull CBBC Feb 2017 A	40,000,000	2016/03/30	2017/02/27	14.00
64255	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Jan 2017 C	60,000,000	2016/03/30	2017/01/20	15.00
64245	J P Morgan SP BV – HKEX R Bull CBBC Nov 2016 F	40,000,000	2016/03/30	2016/11/18	10.00
64248	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 D	150,000,000	2016/03/30	2017/03/30	37.50
64254	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/03/30	2016/07/28	50.00
64226	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2016 H	40,000,000	2016/03/30	2016/09/29	10.00
64229	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2016 F	40,000,000	2016/03/30	2016/09/29	10.00
64203	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 L	200,000,000	2016/03/30	2016/10/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64206	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 M	200,000,000	2016/03/30	2016/10/28	50.00
64202	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 I	200,000,000	2016/03/30	2016/11/29	50.00
64204	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 J	200,000,000	2016/03/30	2016/11/29	50.00
64230	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 F	200,000,000	2016/03/30	2016/06/29	50.00
64231	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 I	200,000,000	2016/03/30	2016/06/29	50.00
64215	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Q	200,000,000	2016/03/30	2016/06/29	50.00
64217	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 R	200,000,000	2016/03/30	2016/06/29	50.00
64235	UBS AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2016/03/30	2016/11/29	50.00
64233	UBS AG – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/03/30	2017/06/29	50.00
64232	UBS AG – HSI R Bear CBBC Jun 2016 Y	200,000,000	2016/03/30	2016/06/29	50.00
64308	Credit Suisse AG – HKEX R Bear CBBC Jun 2016 A	100,000,000	2016/03/31	2016/06/30	25.00
64298	Credit Suisse AG – HSI R Bull CBBC Jul 2017 B	200,000,000	2016/03/31	2017/07/28	50.00
64307	Credit Suisse AG – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/03/31	2016/07/28	50.00
64331	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/03/31	2016/07/28	50.80
64278	HK Bank – China Mobile R Bear CBBC Apr 2017 A	40,000,000	2016/03/31	2017/04/28	12.40
64277	HK Bank – China Life R Bull CBBC Jan 2017 B	50,000,000	2016/03/31	2017/01/16	12.50
64286	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2017 E	50,000,000	2016/03/31	2017/01/19	12.50
64289	HK Bank – A50 R Bull CBBC Jan 2017 E	50,000,000	2016/03/31	2017/01/09	12.50
64267	HK Bank – HKEX R Bull CBBC Dec 2016 H	50,000,000	2016/03/31	2016/12/30	12.50
64256	HK Bank – HKEX R Bear CBBC Dec 2016 C	40,000,000	2016/03/31	2016/12/30	10.00
64261	HK Bank – HKEX R Bear CBBC Jan 2017 A	40,000,000	2016/03/31	2017/01/09	11.60
64263	HK Bank – HKEX R Bear CBBC Jan 2017 B	40,000,000	2016/03/31	2017/01/16	18.00
64293	HK Bank – HSI R Bull CBBC Nov 2016 W	150,000,000	2016/03/31	2016/11/29	37.50
64265	HK Bank – Haitong Sec R Bull CBBC Jan 2017 B	40,000,000	2016/03/31	2017/01/16	10.00
64268	HK Bank – Ping An R Bull CBBC Dec 2016 K	50,000,000	2016/03/31	2016/12/12	12.50
64270	HK Bank – TCH R Bear CBBC Jan 2017 A	40,000,000	2016/03/31	2017/01/16	14.80
64272	HK Bank – TCH R Bear CBBC Feb 2017 B	40,000,000	2016/03/31	2017/02/27	18.40
64326	J P Morgan SP BV – China Life R Bull CBBC Sep 2016 A	40,000,000	2016/03/31	2016/09/15	10.80
64325	J P Morgan SP BV – Galaxy Ent R Bull CBBC Mar 2017 A	100,000,000	2016/03/31	2017/03/17	25.00
64313	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 C	200,000,000	2016/03/31	2017/09/28	50.00
64318	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 N	150,000,000	2016/03/31	2016/07/28	37.50
64319	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 Q	150,000,000	2016/03/31	2016/07/28	37.50
64323	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 L	150,000,000	2016/03/31	2016/08/30	37.50
64299	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 Q	200,000,000	2016/03/31	2016/07/28	50.00
64300	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 V	200,000,000	2016/03/31	2016/07/28	50.00
64304	UBS AG – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/03/31	2017/06/29	50.00
64305	UBS AG – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/03/31	2016/07/28	50.00
64306	UBS AG – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/03/31	2016/07/28	50.00
總額					94,755.60

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2015	第1季	4	43.92
	第2季	4	107.08
	第3季	4	67.99
	第4季	4	49.30
2016	第1季	4	29.99

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2015	第1季	-	-
	第2季	-	-
	第3季	-	-
	第4季	-	-
2016	第1季	-	-

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第1季	7	0.20
	第2季	6	0.48
	第3季	6	0.01
	第4季	6	0.01
2016	第1季	6	0.04

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第1季	2	-
	第2季	2	-
	第3季	2	-
	第4季	2	0.09
2016	第1季	2	-

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2015	第1季	74,102.98	31,767.18	912,664
	第2季	254,155.08	131,631.55	2,815,024
	第3季	137,580.38	51,332.73	1,377,832
	第4季	136,058.68	39,886.12	1,212,890
2016	第1季	85,420.86	25,666.83	730,890

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2015	第1季	61	520.77	34,807
	第2季	60	2,193.86	46,760
	第3季	63	814.81	37,256
	第4季	63	633.11	32,885
2016	第1季	59	435.03	35,117

20種升幅最大之創業板股份

2016年第1季

順次	股份代號	股份	收市價		升幅
			2016年3月底	2015年12月底	
1	08027	吉輝控股	3.280	0.790	315.19
2	08301	明華科技	0.660	0.210	214.29
3	08340	域高金融	1.050	0.385	172.73
4	08295	卓亞資本	0.690	0.380	81.58
5	08250	絲路能源	0.242	0.135	79.26
6	08007	環球戰略集團	0.285	0.172	65.70
7	08101	家夢控股	0.109	0.070	55.71
8	08277	駿東控股	1.320	0.860	53.49
9	08022	永耀集團控股	0.345	0.228	51.32
10	08127	雲裳衣	5.310	3.560	49.16
11	08063	環球大通集團	0.155	0.104	49.04
12	08150	無縫綠色	0.250	0.172	45.35
13	08097	鼎石資本	0.760	0.528	A 43.94
14	08175	中國數碼文化	0.570	0.400	42.50
15	08181	港深聯合	0.243	0.172	41.28
16	08233	中國基建港口	0.610	0.445	37.08
17	08189	泰達生物	1.820	1.360	33.82
18	08177	REF HOLDINGS	0.870	0.680	27.94
19	08029	太陽國際	1.070	0.840	27.38
20	08051	千里眼控股	0.450	0.355	26.76

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2016年第1季

順次	股份代號	股份	收市價		跌幅
			2016年3月底	2015年12月底	
1	08140	揚科集團	0.098	0.860	-88.60
2	08148	奧栢中國	0.250	0.820 A	-69.51
3	08272	華人飲食集團	0.193	0.550	-64.91
4	08270	中國煤層氣	0.066	0.180	-63.33
5	08201	寶聯控股	0.104	0.240 A	-56.67
6	08218	毅高國際控股	1.050	2.400	-56.25
7	08186	同仁資源	0.037	0.077	-51.95
8	08050	雲博產業	1.120	2.300	-51.30
9	08018	匯財金融投資	0.033	0.065	-49.23
10	08120	中國神農投資	0.128	0.251 A	-49.00
11	08109	科瑞控股	0.144	0.278 A	-48.20
12	08260	銀合控股	0.950	1.800	-47.22
13	08112	FOCUS MEDIA	0.230	0.420	-45.24
14	08100	智易控股	0.119	0.212	-43.87
15	08086	DX.COM控股	0.151	0.265	-43.02
16	08226	中昱科技	0.209	0.355	-41.13
17	08041	薈萃國際(控股)	0.140	0.235	-40.43
18	08132	中油港燃	0.100	0.163	-38.65
19	08116	中國幸福投資	0.220	0.355	-38.03
20	08182	中國糖果	0.130	0.205	-36.59

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2016年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08088	滙友資本	1,848.88	7.20
2	08279	亞博科技控股	1,612.39	6.28
3	08195	L&A INTL HOLD	1,499.06	5.84
4	08207	中國信貸	1,052.61	4.10
5	08046	恒芯中國	818.48	3.19
6	08282	智傲控股	721.99	2.81
7	08167	中國新電信	707.69	2.76
8	08260	銀合控股	598.71	2.33
9	08325	中國支付通	453.21	1.77
10	08089	華人策略控股	446.96	1.74
11	08078	中國3D數碼	440.08	1.71
12	08295	卓亞資本	432.69	1.69
13	08301	明華科技	392.78	1.53
14	08156	眾彩股份	384.76	1.50
15	08095	北大青鳥環宇	371.99	1.45
16	08171	中國趨勢	371.54	1.45
17	08022	永耀集團控股	364.96	1.42
18	08059	朝威控股	350.91	1.37
19	08218	毅高國際控股	343.38	1.34
20	08057	麥迪森酒業	337.47	1.31
總額			13,550.55	52.79

創業板 股份

20種成交最活躍創業板股份(股數)

2016年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08171	中國趨勢	9,893.56	11.58
2	08088	滙友資本	8,761.05	10.26
3	08006	華泰瑞銀	4,156.10	4.87
4	08018	匯財金融投資	3,606.11	4.22
5	08046	恒芯中國	3,053.57	3.57
6	08186	同仁資源	2,045.88	2.40
7	08173	寰亞礦業	1,890.60	2.21
8	08101	家夢控股	1,834.33	2.15
9	08087	中國三三傳媒	1,761.26	2.06
10	08167	中國新電信	1,694.03	1.98
11	08238	惠陶集團	1,656.34	1.94
12	08140	揚科集團	1,583.74	1.85
13	08179	新煮意控股	1,379.65	1.62
14	08109	科瑞控股	1,332.20	1.56
15	08022	永耀集團控股	1,295.33	1.52
16	08072	羅馬集團	1,248.87	1.46
17	08315	冠輝集團控股	1,233.47	1.44
18	08007	環球戰略集團	1,185.32	1.39
19	08396	互娛中國股權	1,122.05	1.31
20	08201	寶聯控股	1,109.90	1.30
總數			51,843.35	60.69

創業板 股份

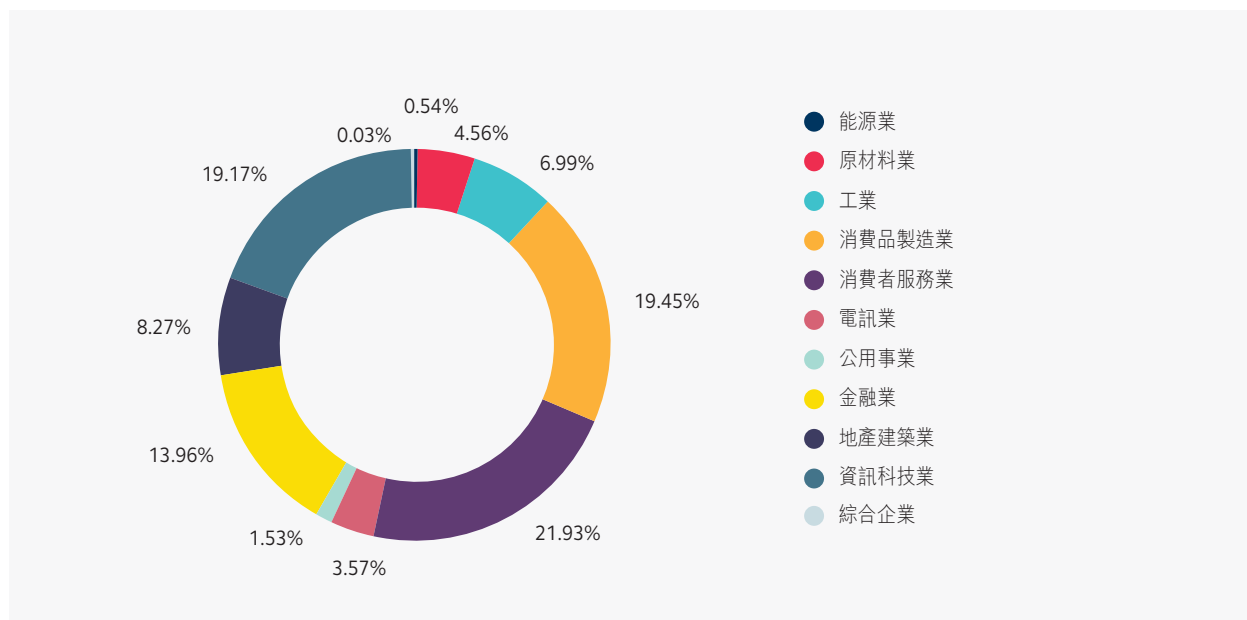
創業板公司市價總值

截至季末

		百萬港元
2015	第1季	207,611.93
	第2季	326,139.64
	第3季	224,157.68
	第4季	258,175.74
2016	第1季	248,978.34

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2016年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08195	樂亞國際控股有限公司	12,800.00	5.14
2	08207	中國信貸控股有限公司	12,556.09	5.04
3	08279	亞博科技控股有限公司	8,940.73	3.59
4	08368	中國創意控股有限公司	7,848.00	3.15
5	08147	匯思太平洋集團控股有限公司	6,796.14	2.73
6	08138	北京同仁堂國藥有限公司	6,763.77	2.72
7	08032	非凡中國控股有限公司	6,648.70	2.67
8	08008	新意網集團有限公司	5,666.59	2.28
9	08158	中國再生醫學國際有限公司	5,365.61	2.16
10	08127	雲裳衣控股有限公司	5,310.00	2.13
11	08316	柏榮集團(控股)有限公司	5,240.00	2.10
12	08245	安悅國際控股有限公司	5,126.40	2.06
13	08137	洪橋集團有限公司	5,110.18	2.05
14	08005	裕興科技投資控股有限公司	4,755.77	1.91
15	08057	麥迪森酒業控股有限公司	4,472.00	1.80
16	08021	匯隆控股有限公司	4,149.31	1.67
17	08167	中國新電信集團有限公司	3,811.54	1.53
18	08097	鼎石資本有限公司	3,648.00	1.47
19	08326	JC Group Holdings Ltd.	3,160.00	1.27
20	08099	中國優材(控股)有限公司	3,140.00	1.26
21	08193	漢華專業服務有限公司	3,109.10	1.25
22	08237	華星控股有限公司	3,106.10	1.25
23	08261	海天水電國際有限公司	3,083.40	1.24
24	08318	正利控股有限公司	2,590.00	1.04
25	08295	卓亞資本有限公司	2,439.49	0.98
26	08361	中國育兒網絡控股有限公司	2,360.95	0.95
27	08172	拉近網娛集團有限公司	2,336.29	0.94
28	08325	中國支付通集團控股有限公司	2,237.06	0.90
29	08078	中國3D數碼娛樂有限公司	2,184.67	0.88
30	08046	恒芯中國控股有限公司	2,101.36	0.84
31	08058	山東羅欣藥業集團股份有限公司－H股	2,030.67	0.82
32	08083	中國創新支付集團有限公司	2,015.28	0.81
33	08156	眾彩科技股份有限公司	1,934.98	0.78
34	08176	航空互聯集團有限公司	1,889.11	0.76
35	08068	新宇國際實業(集團)有限公司	1,773.42	0.71
36	08077	昆侖國際金融集團有限公司	1,707.92	0.69
37	08016	長虹佳華控股有限公司	1,687.40	0.68
38	08030	匯聯金融服務控股有限公司	1,637.99	0.66
39	08189	天津泰達生物醫學工程股份有限公司－H股	1,633.45	0.66
40	08007	環球戰略集團有限公司	1,611.68	0.65
41	08088	滙友資本控股有限公司	1,604.27	0.64
42	08315	冠輝集團控股有限公司	1,593.60	0.64
43	08090	中國融保金融集團有限公司	1,561.99	0.63
44	08246	北方新能源控股有限公司	1,549.27	0.62
45	08155	南華資產控股有限公司	1,542.63	0.62
44	08311	圓美光電有限公司	1,528.20	0.61
47	08267	藍港互動集團有限公司	1,523.43	0.61
48	08262	宏強控股有限公司	1,520.00	0.61
49	08050	雲博產業集團有限公司	1,519.00	0.61
50	08029	太陽國際資源有限公司	1,488.80	0.60
總值			180,210.34	72.38
市場總值			248,978.34	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2015	第1季	9	626.75
	第2季	5	404.40
	第3季	7	777.74
	第4季	13	931.94
2016	第1季	6	344.80

創業板新上市公司

2016年第1季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08113	揚宇科技控股有限公司	2016/01/07	600,000,000	46.50
08282	智傲控股有限公司	2016/01/13	160,000,000	50.00
08345	火岩控股有限公司	2016/02/18	160,000,000	51.20
08070	僑洋國際控股有限公司	2016/02/24	200,000,000	33.00
08318	正利控股有限公司	2016/03/29	1,000,000,000	84.90
08262	宏強控股有限公司	2016/03/30	800,000,000	79.20
總額				344.80

除牌上市公司－創業板

2016年第1季

股份代號	公司名稱	最後買賣日期	除牌日期
08036	晶芯科技控股有限公司*	2016/03/29	2016/03/30 §

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2016年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
08155	南華置地有限公司	南華資產控股有限公司	2015/12/15	2016/01/18
08081	中國手遊文化投資有限公司	互娛中國文化科技投資有限公司	2016/01/05	2016/01/29
08227	西安海天天綫科技股份有限公司－H股	西安海天天綫控股股份有限公司－H股	2015/12/23	2016/02/11
08260	施伯樂策略控股有限公司	銀合控股有限公司	2016/01/08	2016/03/01
08315	冠輝保安控股有限公司	冠輝集團控股有限公司	2016/02/12	2016/03/15
08176	EDS Wellness Holdings Ltd.	航空互聯集團有限公司	2016/01/29	2016/03/22

創業板 股份

創業板紅股／紅利認股權證

2016年第1季

股份代號	公司	詳情	除淨日
08171	中國趨勢控股有限公司	1送1 5股送2份認股權證	2016/03/09

創業板股份拆細及合併

2016年第1季

股份代號	公司	詳情	生效日期
08120	中國神農投資有限公司	5股合併為1股	2016/02/04
08148	奧栢中國集團有限公司	10股合併為1股	2016/02/22
08037	銳康藥業集團投資有限公司	2股合併為1股	2016/02/23
08109	科瑞控股有限公司	10股合併為1股	2016/03/08
08097	鼎石資本有限公司	1股分拆為10股	2016/03/15
08201	寶聯控股有限公司	10股合併為1股	2016/03/15

創業板供股／公開售股

2016年第1季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
2月	08063	環球大通集團有限公司	股權1供2，每股供0.10港元	152.91
	08019	皓文控股有限公司	股權1供6，每股供0.16港元	245.32
3月	08215	第一信用金融集團有限公司	股權1供9，每股供0.127港元	414.77
	08081	互娛中國文化科技投資有限公司	股權1供5，每股供0.155港元	530.20
總額				1,343.20

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2016					
1 月	08325	中國支付通	184,210,000	1.9000	350.00
	08233	中國基建港口	140,000,000	0.4300	60.20
	08086	DX.COM控股	112,000,000	0.2220	24.86
	08018	匯財金融投資	800,000,000	0.0500	40.00
	08215	FIRST CREDIT	60,480,000	0.1740	10.52
	08085	香港生命科學	790,000,000	0.1300	102.70
2 月	08295	卓亞資本	1,630,756,836	0.2980	485.97
	08295	卓亞資本	400,000,000	0.3876	155.04
	08132	中油港燃	240,000,000	0.1050	25.20
	08007	環球戰略集團	1,695,000,000	0.0350	59.33
	08261	海天水電	100,000,000	1.0000	100.00
	08046	恒芯中國	1,740,000,000	0.1150	200.10
	08256	金利通	10,000,000	0.1200	1.20
	08072	羅馬集團	724,000,000	0.0290	21.00
	08165	華普智通	75,600,000	0.1110	8.39
3 月	08026	長達科技	159,848,000	0.3100	49.55
	08130	智城控股	1,600,000,000	0.1350	216.00
總額					1,910.06

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2015	第1季	0	0.00	0.00
	第2季	0	0.00	0.00
	第3季	1	41.31	18.74
	第4季	1	4.37	9.86
2016	第1季	2	2.64	99.62

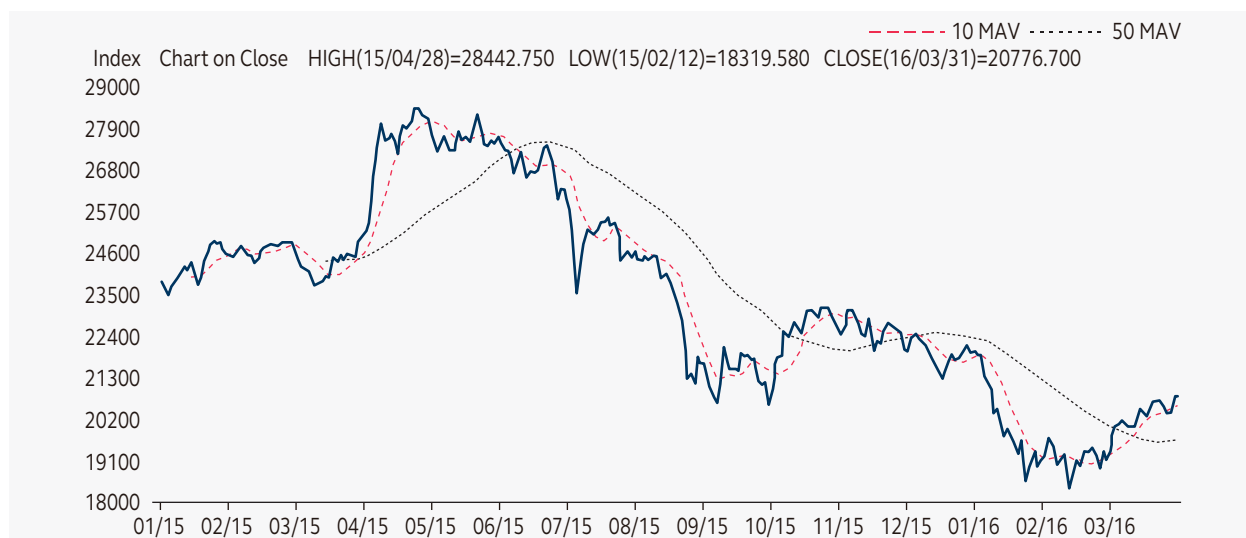
創業板新上市股份認股權證

2016年第1季

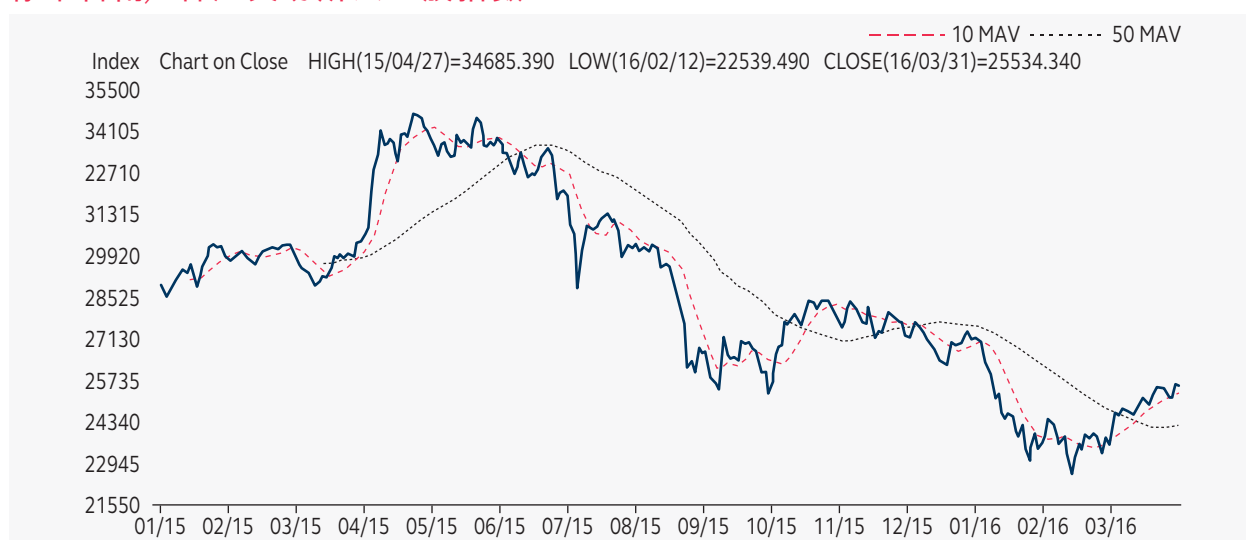
股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
08015	中國趨勢控股有限公司 2021年認股權證	101,998,893	2016/03/30	2021/03/28	-
總額					-

股票市場指數

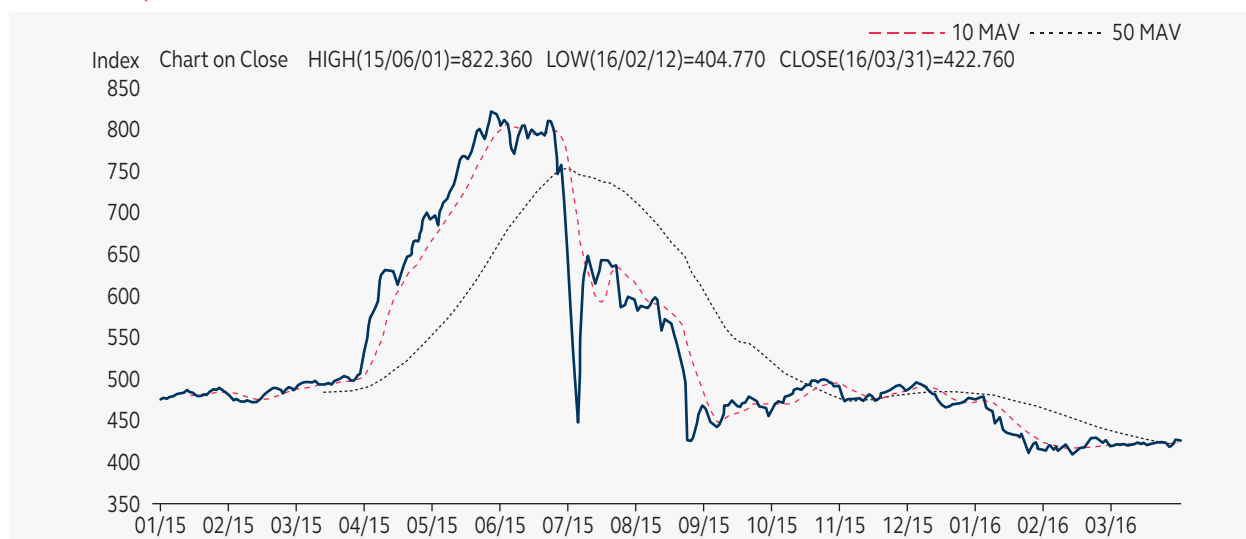
恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2016年3月底 收市	2015年12月底 收市	升跌
標準普爾／香港交易所大型股指數	25534.34	27007.12	- 5.45%
標準普爾／香港交易所創業板指數	422.76	476.79	- 11.33%
恒生指數	20776.70	21914.40	- 5.19%
分類指數			
金融	27125.40	30576.03	- 11.29%
公用事業	52843.39	51113.56	+ 3.38%
地產	28973.39	29907.17	- 3.12%
工商業	12579.84	12522.23	+ 0.46%
恒生香港中資企業指數	3772.08	4052.12	- 6.91%
恒生中國企業指數	9003.25	9661.03	- 6.81%

股票市場指數

世界指數

指數	2016年3月底 收市	2015年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	25534.34	27007.12	- 5.45%
恒生指數	20776.70	21914.40	- 5.19%
澳洲			
普通股指數	5151.79	5344.56	- 3.61%
雅加達			
綜合指數	4845.37	4593.01	+ 5.49%
日本			
日經指數(225)	16758.67	19033.71	- 11.95%
韓國			
綜合指數	1995.85	1961.31	+ 1.76%
吉隆坡			
綜合指數	1717.58	1692.51	+ 1.48%
馬尼拉			
綜合指數	7262.30	6952.08	+ 4.46%
紐西蘭			
證交所指數(50)	6752.42	6324.26	+ 6.77%
上海			
A股指數	3143.56	3704.30	- 15.14%
B股指數	375.16	426.41	- 12.02%
深圳			
A股指數	2000.02	2415.50	- 17.20%
B股指數	1144.26	1309.36	- 12.61%
新加坡			
海峽時報指數	2840.90	2882.73	- 1.45%
台灣			
台北加權股份指數	8744.83	8338.06	+ 4.88%
泰國			
SET指數	1407.70	1288.02	+ 9.29%
紐約			
杜瓊斯工業平均指數	17685.09	17425.03	+ 1.49%
Nasdaq綜合指數	4869.85	5007.41	- 2.75%
多倫多			
S&P/TSX綜合指數	13494.36	13009.95	+ 3.72%
德國			
DAX Kursindex	4980.74	5390.31	- 7.60%
倫敦			
金融時報100指數	6174.90	6242.32	- 1.08%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2016年第1季	2015年第1季
恒生指數期貨	8,344,875	3,925,409
恒生指數期權	2,255,893	1,691,364
小型恒生指數期貨	3,087,080	1,834,431
小型恒生指數期權	290,886	243,616
H股指數期貨	9,089,155	6,635,210
H股指數期權	5,126,599	2,507,704
小型H股指數期貨	1,501,592	1,673,669
自訂條款恒生指數期權	0	200
自訂條款H股指數期權	5,700	13,090
恒指股息點指數期貨	7,502	3,300
恒生國企股息點指數期貨	230,097	82,908
恒指波幅指數期貨	30	50
中華交易服務中國120指數期貨	143	14,375
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	92,936	168,602
股票期權	17,289,771	22,162,657
三個月港元利率期貨	12	80
一個月港元利率期貨	0	0
三年期外匯基金債券期貨 ¹	–	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	184,531	58,303
黃金期貨 ²	–	0
倫敦鋁期貨小型合約	374	2,711
倫敦鋅期貨小型合約	2,346	3,378
倫敦銅期貨小型合約	1,105	12,329
倫敦鉛期貨小型合約 ³	0	–
倫敦鎳期貨小型合約 ⁴	637	–
倫敦錫期貨小型合約 ⁵	6	–
總數	47,511,270	41,033,386

¹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

² 黃金期貨由2015年5月27日停止買賣

³ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

⁴ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

⁵ 倫敦錫期貨小型合約由2015年12月14日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第1季	3,925,409	115,396
	第2季	4,892,457	120,329
	第3季	5,212,839	102,582
	第4季	7,209,070	97,118
2016年	第1季	8,344,875	105,887

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第1季	1,834,431	7,247
	第2季	2,535,820	10,316
	第3季	3,064,596	7,431
	第4季	2,611,709	7,556
2016年	第1季	3,087,080	9,138

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第1季	1,691,364	203,839
	第2季	2,107,749	202,300
	第3季	2,093,981	257,268
	第4季	1,622,372	172,564
2016年	第1季	2,255,893	243,225

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第1季	6,635,210	303,145
	第2季	9,273,172	317,137
	第3季	9,576,380	349,891
	第4季	7,894,548	384,767
2016年	第1季	9,089,155	357,894

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2015年	第1季	17,410.66	22,162,657	6,545,827
	第2季	30,404.09	31,431,575	7,635,003
	第3季	22,706.73	23,674,156	6,679,004
	第4季	9,781.44	15,195,091	4,827,678
2016年	第1季	11,846.68	17,289,771	5,368,449

衍生產品市場 期貨及期權

各期權類別之期權金總額

2016年第1季

期權類別		期權金額(百萬港元)	佔市場百分比
X安碩A50中國	A50	843.40	7.12%
安徽海螺水泥股份	ACC	79.43	0.67%
友邦保險	AIA	574.05	4.85%
中國鋁業	ALC	8.40	0.07%
華夏滬深三百	AMC	297.24	2.51%
交通銀行	BCM	37.51	0.32%
東亞銀行	BEA	11.10	0.09%
百麗國際	BIH	13.26	0.11%
中銀香港	BOC	85.92	0.73%
比亞迪股份	BYD	21.42	0.18%
中國交通建設	CCC	59.89	0.51%
中國中煤能源	CCE	3.72	0.03%
中國遠洋	CCS	1.10	0.01%
中國信達	CDA	5.26	0.04%
中廣核電力	CGN	4.21	0.04%
中國移動	CHT	464.94	3.92%
中國聯通	CHU	103.13	0.87%
中信股份	CIT	42.69	0.36%
長和	CKH	221.87	1.87%
長實地產	CKP	24.40	0.21%
中國人壽	CLI	810.15	6.84%
中電控股	CLP	20.80	0.18%
招商銀行	CMB	115.98	0.98%
中國海洋石油	CNC	208.35	1.76%
中國海外發展	COL	306.37	2.59%
國泰航空	CPA	1.03	0.01%
中國石油化工股份	CPC	125.46	1.06%
中國太保	CPI	79.31	0.67%
中國鐵建	CRC	6.06	0.05%
中國中鐵	CRG	7.09	0.06%
華潤置地	CRL	35.35	0.30%
X標智滬深300	CS3	0.09	0.00%
南方A50	CSA	1,040.58	8.78%
中國神華	CSE	48.86	0.41%
中信銀行	CTB	30.74	0.26%
中國電信	CTC	21.70	0.18%
中信証券	CTS	35.14	0.30%
東風集團股份	DFM	4.19	0.04%
思捷環球	ESP	0.30	0.00%
富智康集團	FIH	0.35	0.00%
銀河娛樂	GLX	263.86	2.23%
長城汽車	GWM	37.00	0.31%
海通證券	HAI	74.92	0.63%
恒生H股ETF	HCF	18.25	0.15%
電能實業	HEH	34.25	0.29%
香港交易所	HEX	1,096.09	9.25%
恒安國際	HGN	11.38	0.10%
匯豐控股	HKB	745.31	6.29%
香港中華煤氣	HKG	15.89	0.13%
恒基地產	HLD	58.58	0.49%
華能國際電力股份	HNP	17.04	0.14%
恒生銀行	HSB	104.27	0.88%
江西銅業	JXC	18.31	0.15%
昆侖能源	KLE	21.99	0.19%
金山軟件	KSO	17.26	0.15%
聯想集團	LEN	76.99	0.65%
利豐	LIF	16.74	0.14%
蒙牛乳業	MEN	4.26	0.04%

衍生產品市場 期貨及期權

期權類別		期權金額(百萬港元)	佔市場百分比
美高梅中國	MGM	1.92	0.02%
民生銀行	MSB	25.45	0.21%
港鐵公司	MTR	13.09	0.11%
中國建材	NBM	27.04	0.23%
新華保險	NCL	6.25	0.05%
新世界發展	NWD	14.26	0.12%
中國平安	PAI	904.90	7.64%
中國石油股份	PEC	185.67	1.57%
中國人民財產保險	PIC	29.61	0.25%
中國人民保險集團	PIN	5.23	0.04%
保利協鑫能源	PLE	1.29	0.01%
富力地產	RFP	1.61	0.01%
金沙中國有限公司	SAN	165.86	1.40%
新鴻基地產	SHK	430.24	3.63%
渣打集團	STC	16.86	0.14%
太古股份公司A	SWA	29.87	0.25%
騰訊控股	TCH	787.86	6.65%
盈富基金	TRF	15.88	0.13%
九龍倉集團	WHL	58.56	0.49%
中國旺旺	WWC	4.77	0.04%
農業銀行	XAB	115.20	0.97%
中國銀行	XBC	163.36	1.38%
建設銀行	XCC	242.29	2.05%
工商銀行	XIC	147.79	1.25%
兗州煤業股份	YZC	14.54	0.12%
紫金礦業	ZJM	4.06	0.03%
總額		11,846.68	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2016年第1季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	479,736	2.77%
安徽海螺水泥股份	ACC	108,816	0.63%
友邦保險	AIA	449,404	2.60%
中國鋁業	ALC	23,818	0.14%
華夏滬深三百	AMC	109,247	0.63%
交通銀行	BCM	98,978	0.57%
東亞銀行	BEA	60,627	0.35%
百麗國際	BIH	35,786	0.21%
中銀香港	BOC	186,540	1.08%
比亞迪股份	BYD	17,111	0.10%
中國交通建設	CCC	143,080	0.83%
中國中煤能源	CCE	19,078	0.11%
中國遠洋	CCS	3,349	0.02%
中國信達	CDA	8,685	0.05%
中廣核電力	CGN	4,658	0.03%
中國移動	CHT	453,678	2.62%
中國聯通	CHU	136,716	0.79%
中信股份	CIT	68,573	0.40%
長和	CKH	185,332	1.07%
長實地產	CKP	13,242	0.08%
中國人壽	CLI	666,056	3.85%
中電控股	CLP	41,488	0.24%
招商銀行	CMB	240,312	1.39%
中國海洋石油	CNC	519,526	3.00%
中國海外發展	COL	178,044	1.03%
國泰航空	CPA	3,053	0.02%
中國石油化工股份	CPC	221,935	1.28%
中國太保	CPI	71,380	0.41%
中國鐵建	CRC	15,448	0.09%
中國中鐵	CRG	16,756	0.10%
華潤置地	CRL	17,242	0.10%
X標智滬深300	CS3	142	0.00%
南方A50	CSA	519,029	3.00%
中國神華	CSE	142,817	0.83%
中信銀行	CTB	120,195	0.70%
中國電信	CTC	58,391	0.34%
中信証券	CTS	41,807	0.24%
東風集團股份	DFM	5,419	0.03%
思捷環球	ESP	7,483	0.04%
富智康集團	FIH	994	0.01%
銀河娛樂	GLX	312,694	1.81%
長城汽車	GWM	147,389	0.85%
海通證券	HAI	43,999	0.25%
恒生H股ETF	HCF	11,874	0.07%
電能實業	HEH	49,422	0.29%
香港交易所	HEX	2,006,774	11.61%
恒安國際	HGN	12,872	0.07%
匯豐控股	HKB	821,284	4.75%
香港中華煤氣	HKG	32,819	0.19%
恒基地產	HLD	42,935	0.25%
華能國際電力股份	HNP	24,632	0.14%
恒生銀行	HSB	225,417	1.30%
江西銅業	JXC	40,291	0.23%
昆侖能源	KLE	30,603	0.18%
金山軟件	KSO	25,320	0.15%
聯想集團	LEN	156,803	0.91%
利豐	LIF	23,608	0.14%
蒙牛乳業	MEN	9,963	0.06%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百份比
美高梅中國	MGM	5,363	0.03%
民生銀行	MSB	45,129	0.26%
港鐵公司	MTR	36,452	0.21%
中國建材	NBM	33,069	0.19%
新華保險	NCL	5,949	0.03%
新世界發展	NWD	30,269	0.18%
中國平安	PAI	1,202,359	6.95%
中國石油股份	PEC	313,474	1.81%
中國人民財產保險	PIC	34,317	0.20%
中國人民保險集團	PIN	5,324	0.03%
保利協鑫能源	PLE	3,415	0.02%
富力地產	RFP	8,831	0.05%
金沙中國有限公司	SAN	357,336	2.07%
新鴻基地產	SHK	170,884	0.99%
渣打集團	STC	118,321	0.68%
太古股份公司A	SWA	33,822	0.20%
騰訊控股	TCH	2,184,944	12.64%
盈富基金	TRF	48,997	0.28%
九龍倉集團	WHL	42,351	0.24%
中國旺旺	WWC	14,160	0.08%
農業銀行	XAB	95,283	0.55%
中國銀行	XBC	983,874	5.69%
建設銀行	XCC	1,113,253	6.44%
工商銀行	XIC	846,178	4.89%
兗州煤業股份	YZC	28,878	0.17%
紫金礦業	ZJM	14,869	0.09%
總數		17,289,771	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2015 第1季	90,242	44.99	209.79
第2季	122,363	72.45	372.55
第3季	101,225	58.26	243.97
第4季	89,652	47.71	188.39
2016 第1季	85,208	47.09	170.11

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2015 第1季	401	49.48	165.36
第2季	716	231.32	289.16
第3季	390	193.07	220.27
第4季	267	61.49	101.52
2016 第1季	249	129.91	89.17

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2015 第1季	4,489.32	71.67	15,975.62	54.46
第2季	4,952.17	73.78	17,891.63	57.24
第3季	5,397.98	74.44	14,074.11	56.13
第4季	5,467.21	74.50	15,071.15	56.58
2016 第1季	5,558.03	74.67	14,395.88	56.61

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣，「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2015	第1季	1,182,398	100	187,686.03	100	85,005.00	100
	第2季	1,864,260	100	242,342.57	100	158,049.69	100
	第3季	1,449,054	100	226,605.64	100	98,705.62	100
	第4季	1,106,299	100	210,829.38	100	70,729.28	100
2016	第1季	1,196,563	100	221,073.88	100	72,576.98	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2015	第1季	78,902	96.40	24,508.05	93.29
	第2季	119,864	96.54	51,102.24	88.81
	第3季	85,219	96.81	30,285.88	93.26
	第4季	72,118	96.46	24,746.99	93.92
2016	第1季	69,511	96.91	24,993.76	94.24

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2015	第1季	99.93	99.99
	第2季	99.96	99.99
	第3季	99.93	99.99
	第4季	99.92	99.99
2016	第1季	99.92	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2016年第1季	2015年第1季
恒生指數期貨	105,887	115,396
恒生指數期權	243,225	203,839
小型恒生指數期貨	9,138	7,247
小型恒生指數期權	9,008	6,804
H股指數期貨	357,894	303,145
H股指數期權	2,214,952	1,093,784
小型H股指數期貨	5,122	6,114
自訂條款恒生指數期權	2,975	100
自訂條款H股指數期權	20,731	31,315
恒指股息點指數期貨	5,875	5,000
恒生國企股息點指數期貨	160,528	53,502
恒指波幅指數期貨	3	11
中華交易服務中國120指數期貨	9	70
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	7,121	17,347
股票期權	5,368,449	6,545,827
三個月港元利率期貨	0	35
一個月港元利率期貨	0	0
三年期外匯基金債券期貨 ¹	–	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	28,215	12,949
黃金期貨 ²	–	0
倫敦鋁期貨小型合約	33	171
倫敦鋅期貨小型合約	136	162
倫敦銅期貨小型合約	42	243
倫敦鉛期貨小型合約 ³	0	–
倫敦鎳期貨小型合約 ⁴	6	–
倫敦錫期貨小型合約 ⁵	6	–
總數	8,539,355	8,403,061

¹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

² 黃金期貨由2015年5月27日停止買賣

³ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

⁴ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

⁵ 倫敦錫期貨小型合約由2015年12月14日開始買賣

結算及交收

各期權類別之未平倉合約

2016年第1季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	119,007	2.22
安徽海螺水泥股份	ACC	35,760	0.67
友邦保險	AIA	84,614	1.58
中國鋁業	ALC	8,366	0.16
華夏滬深三百	AMC	14,096	0.26
交通銀行	BCM	48,654	0.91
東亞銀行	BEA	12,425	0.23
百麗國際	BIH	12,048	0.22
中銀香港	BOC	49,975	0.93
比亞迪股份	BYD	6,264	0.12
中國交通建設	CCC	32,053	0.60
中國中煤能源	CCE	8,540	0.16
中國遠洋	CCS	3,751	0.07
中國信達	CDA	4,430	0.08
中廣核電力	CGN	1,689	0.03
中國移動	CHT	75,681	1.41
中國聯通	CHU	52,176	0.97
中信股份	CIT	22,904	0.43
長和	CKH	42,943	0.80
長實地產	CKP	4,608	0.09
中國人壽	CLI	160,496	2.99
中電控股	CLP	7,990	0.15
招商銀行	CMB	100,053	1.86
中國海洋石油	CNC	180,336	3.36
中國海外發展	COL	41,970	0.78
國泰航空	CPA	653	0.01
中國石油化工股份	CPC	96,996	1.81
中國太保	CPI	15,966	0.30
中國鐵建	CRC	5,651	0.11
中國中鐵	CRG	5,154	0.10
華潤置地	CRL	6,437	0.12
X標智滬深300	CS3	74	0.00
南方A50	CSA	87,907	1.64
中國神華	CSE	67,891	1.26
中信銀行	CTB	101,764	1.90
中國電信	CTC	38,244	0.71
中信証券	CTS	17,881	0.33
東風集團股份	DFM	1,412	0.03
思捷環球	ESP	4,684	0.09
富智康集團	FIH	734	0.01
銀河娛樂	GLX	52,904	0.99
長城汽車	GWM	56,410	1.05
海通證券	HAI	25,607	0.48

結算及交收

期權類別		未平倉合約	佔市場百分比
恒生H股ETF	HCF	2,877	0.05
電能實業	HEH	8,102	0.15
香港交易所	HEX	373,301	6.95
恒安國際	HGN	3,403	0.06
匯豐控股	HKB	270,018	5.03
香港中華煤氣	HKG	6,524	0.12
恒基地產	HLD	8,363	0.16
華能國際電力股份	HNP	7,688	0.14
恒生銀行	HSB	67,358	1.25
江西銅業	JXC	14,442	0.27
昆侖能源	KLE	6,112	0.11
金山軟件	KSO	6,128	0.11
聯想集團	LEN	43,134	0.80
利豐	LIF	5,532	0.10
蒙牛乳業	MEN	2,532	0.05
美高梅中國	MGM	1,744	0.03
民生銀行	MSB	13,568	0.25
港鐵公司	MTR	4,988	0.09
中國建材	NBM	13,689	0.25
新華保險	NCL	7,309	0.14
新世界發展	NWD	7,846	0.15
中國平安	PAI	376,385	7.01
中國石油股份	PEC	105,007	1.96
中國人民財產保險	PIC	7,847	0.15
中國人民保險集團	PIN	2,511	0.05
保利協鑫能源	PLE	976	0.02
富力地產	RFP	3,920	0.07
金沙中國有限公司	SAN	97,104	1.81
新鴻基地產	SHK	31,302	0.58
渣打集團	STC	24,655	0.46
太古股份公司A	SWA	10,471	0.20
騰訊控股	TCH	483,770	9.01
盈富基金	TRF	15,003	0.28
九龍倉集團	WHL	10,102	0.19
中國旺旺	WWC	5,128	0.10
農業銀行	XAB	51,330	0.96
中國銀行	XBC	636,935	11.86
建設銀行	XCC	471,459	8.78
工商銀行	XIC	428,098	7.97
兗州煤業股份	YZC	8,893	0.17
紫金礦業	ZJM	5,697	0.11
總數		5,368,449	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第1季	553 (962)	500 (902)	36 (43)	17 (17)
	第2季	553 (962)	500 (900)	37 (46)	16 (16)
	第3季	556 (965)	505 (905)	35 (44)	16 (16)
	第4季	566 (975)	515 (915)	35 (44)	16 (16)
2016	第1季	575 (981)	526 (925)	33 (40)	16 (16)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第1季	231 (255)	179 (201)	–	52 (54)
	第2季	230 (254)	177 (199)	–	53 (55)
	第3季	230 (254)	177 (199)	–	53 (55)
	第4季	232 (256)	179 (201)	–	53 (55)
2016	第1季	230 (253)	177 (198)	–	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者統計

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2015 第1季	152	9
第2季	150	9
第3季	149	9
第4季	151	9
2016 第1季	148	10

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2015 第1季	66	9
第2季	68	9
第3季	67	9
第4季	69	9
2016 第1季	66	10

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2015 第1季	477	10	42
第2季	478	10	42
第3季	482	10	43
第4季	492	10	43
2016 第1季	503	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券