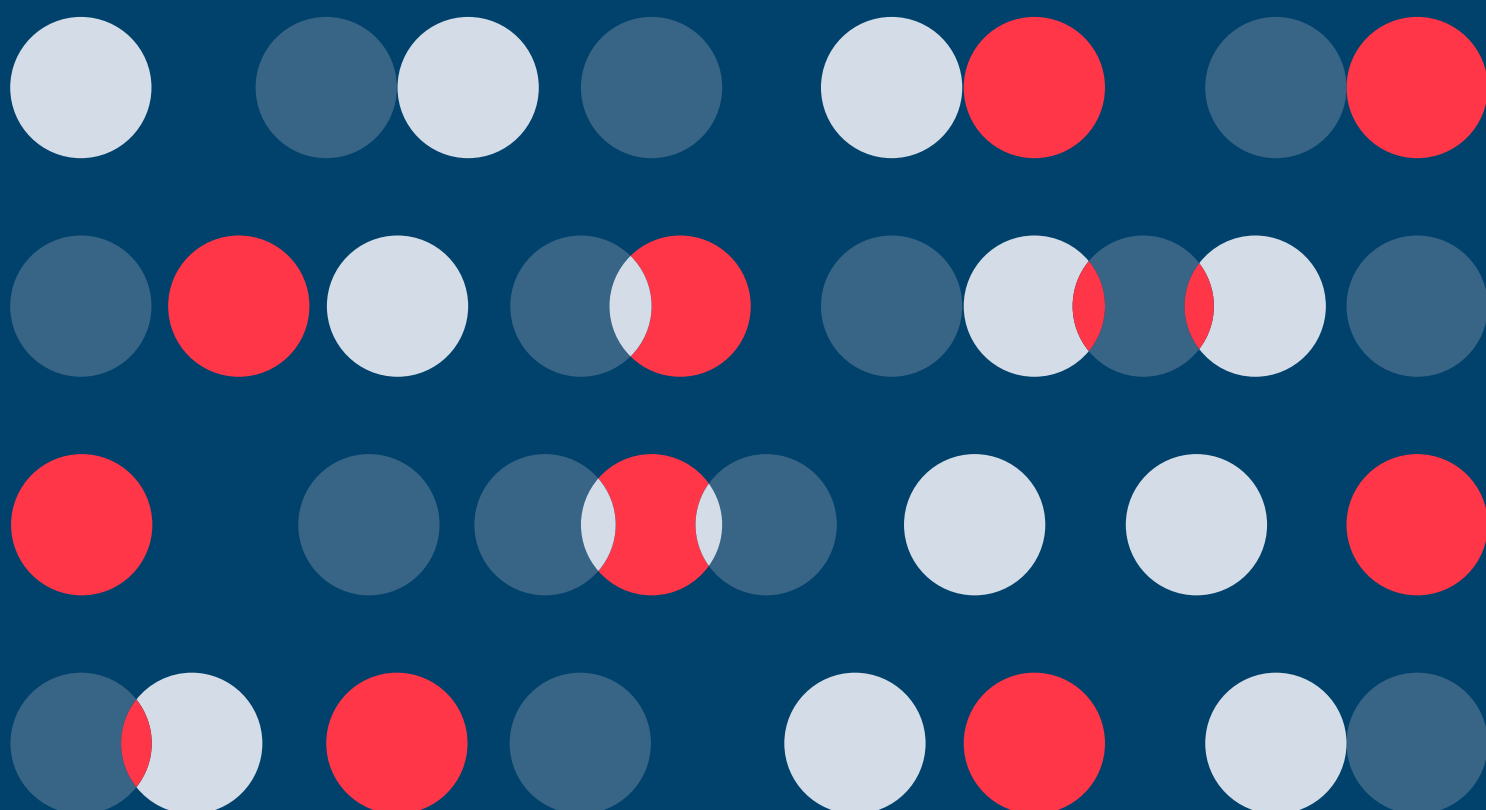


證券及衍生產品市場季報

2017年第一季



市場概況

主板

概況	2017年第1季	2016年第1季
上市公司數目	1,732	1,656
上市證券數目	9,114	8,392
股份		
普通股	1,733	1,657
優先股	10	8
認股權證	3,995	4,196
股份	7	12
衍生	3,988	4,184
股票掛鈎票據	0	0
牛熊證	2,271	1,613
單位信託及互惠基金	169	149
債券	936	769
非上市可交易股份數目		
納斯達克股份	6	6
iShares	2	2
交易日數	62	59
總成交金額(百萬港元)*	4,580,724.35	4,262,404.49
總成交股數(百萬股)	12,466,547.39	12,958,166.14
總成交宗數(宗數)	69,018,006	69,912,665
上市公司市價總值(百萬港元)	26,911,572.81	23,195,583.34
平均市盈率(倍)	12.99	10.14
平均週息率(百分率)	3.10	3.56
交易所參與者數目		
開業	575	526
非開業	35	33
成交金額(百萬港元)		
最高	121,257.23 (17/03)	107,199.72 (07/01)
最低	49,248.76 (02/02)	54,246.46 (10/03)
成交股數(百萬股)		
最高	268,750.58 (15/02)	299,010.95 (20/01)
最低	156,910.25 (01/02)	164,211.59 (11/03)
總成交宗數(宗數)		
最高	1,514,456 (17/03)	1,652,054 (07/01)
最低	802,324 (17/01)	939,682 (05/02)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2017年第1季	2016年第1季
上市公司數目	277	227
上市證券數目	278	229
股份	277	227
認股權證	1	2
交易日數	62	59
總成交金額(百萬港元)*	28,023.36	25,669.47
總成交股數(百萬股)	97,585.16	85,659.35
總成交宗數(宗數)	829,405	731,277
上市公司市價總值(百萬港元)	324,082.25	248,978.34
平均市盈率(倍)	47.70	57.93
平均週息率(百分率)	0.28	0.30
成交金額(百萬港元)		
最高	1,054.14 (30/03)	714.28 (23/03)
最低	205.07 (01/02)	293.89 (14/03)
成交股數(百萬股)		
最高	3,452.56 (06/03)	4,254.12 (23/03)
最低	730.61 (01/02)	788.91 (15/02)
總成交宗數(宗數)		
最高	29,348 (29/03)	23,086 (23/03)
最低	6,373 (01/02)	8,185 (11/02)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2017年第1季	2016年第1季
所有期貨及期權產品(股票期權除外)		
交易日數	62.0	59.0
總合約成交量	26,115,455	30,221,499
股市指數產品	25,850,859	29,939,552
股票產品	39,870	92,936
利率產品	20	12
人民幣貨幣期貨	224,296	184,531
倫敦金屬期貨小型合約	410	4,468
平均每日合約成交量	421,217	512,229
季末未平倉合約		
股市指數產品	3,658,257	3,170,906
股票產品	3,611,119	3,135,347
利率產品	5,250	7,121
利率產品	40	0
人民幣貨幣期貨	41,802	28,215
倫敦金屬期貨小型合約	46	223

股票期權		
新開辦期權類別	0	0
股票期權數目(於季末數目)		
類別	84	84
系列	30,708	29,288
期權金總額(百萬港元)	8,727.09	11,846.68
認購	4,584.19	4,099.73
認沽	4,142.91	7,746.95
平均每日期權金總額(百萬港元)	140.76	200.79
總合約成交量	21,003,818	17,289,771
認購	11,585,469	8,197,431
認沽	9,418,349	9,092,340
平均每日合約成交量	338,771	293,047
季末未平倉合約	6,673,563	5,368,449
認購	3,330,396	2,661,499
認沽	3,343,167	2,706,950
總成交宗數	743,671	750,314
平均每日成交宗數	11,995	12,717
已行使合約總數	1,909,852	1,853,205
每張合約平均期權金(港元)	415.50	685.18
每宗交易平均合約數目	28	23
認沽數量／認購數量	0.81	1.11
平均每日合約成交量／未平倉合約	5.1%	5.5%

所有期貨及期權產品		
總合約成交量	47,119,273	47,511,270
季末未平倉合約	10,331,820	8,539,355

市場概況

結算及交收

中央結算系統營運資料	2017年第1季	2016年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
— 交易宗數	1,125,959	1,196,563
— 交易金額(十億港元)	74.2	72.6
— 涉及股數(十億股)	202.6	221.1
經中央結算系統處理的交收指示(每日平均數)		
— 交收指示數目	86,101	85,208
— 交收指示涉及金額(十億港元)	183.4	170.1
— 涉及股數(十億股)	44.1	47.1
經中央結算系統處理的投資者交收指示(每日平均數)		
— 交收指示數目	294	249
— 交收指示涉及金額(百萬港元)	184.4	89.2
— 涉及股數(百萬股)	279.5	129.9
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.92	99.93
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
— 涉及經紀數目	3	3
— 補購宗數	4	3
— 補購涉及金額(百萬港元)	1.3	1.5
存放於中央結算系統證券存管處的股份*		
— 股數(十億股)	6,015.7	5,558.0
— 獲納入系統的證券佔已發行股本總數的百分比	74.42	74.67
— 股份價值(十億港元)	16,988.3	14,395.9
— 獲納入系統的證券佔市價總值的百分比	57.10	56.61

衍生產品結算及交收系統營運資料	2017年第1季	2016年第1季
季末未平倉合約		
— 股市指數期貨	622,037	644,456
— 股票期貨	5,250	7,121
— 利率期貨	40	0
— 人民幣貨幣期貨	41,691	28,215
— 倫敦金屬期貨小型合約	46	223
— 股市指數期權	2,989,082	2,490,891
— 股票期權	6,673,563	5,368,449
— 人民幣貨幣期權#	111	—
累積行使股票期權		
— 涉及股數(百萬股)	1,876	2,116
— 涉及金額(十億港元)	33	33

* 股數及市價總值包括非港元證券

人民幣貨幣期權由2017年3月20日開始買賣

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2016	第 1 季	862,976.22	2,639,071.92	55,813,607
	第 2 季	781,041.26	2,563,892.49	50,058,306
	第 3 季	822,456.65	2,993,023.85	52,694,671
	第 4 季	806,179.21	2,860,715.28	51,911,116
2017	第 1 季	880,448.96	3,261,722.31	57,223,517

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2016	第 1 季	59	44,730.03	47,284
	第 2 季	62	41,353.10	51,218
	第 3 季	63	47,508.32	56,799
	第 4 季	61	46,896.97	55,108
2017	第 1 季	62	52,608.42	57,000

主 板 股 份

20種升幅最大之股份

2017年第1季

順次	股份代號	股份	收市價		升幅
			2017年3月底	2016年12月底	
1	01159	集美國際娛樂	5.600	1.450	286.21
2	03303	巨濤海洋石油服務	2.330	0.750	210.67
3	00197	亨泰	0.630	0.243	159.26
4	01383	太陽世紀集團	0.465	0.204	127.94
5	01160	大唐投資國際	1.800	0.860	109.30
6	02168	盈德氣體	6.000	2.870	109.06
7	01728	正通汽車	4.670	2.260	106.64
8	00799	IGG	10.726	5.194	106.51
9	01293	寶信汽車	3.940	1.910	106.28
10	00030	萬隆控股集團	0.265	0.130	103.85
11	01632	膳源控股	18.620	9.160	103.28
12	01393	恒鼎實業	0.395	0.195	102.56
13	00581	中國東方集團	2.310	1.160	99.14
14	00309	新華通訊頻媒	0.445	0.230	93.48
15	00722	聯合醫務	2.600	1.360	91.18
16	00997	普匯中金國際	1.510	0.800	88.75
17	03669	永達汽車	7.290	3.900	86.92
18	00842	理士國際	1.700	0.910	86.81
19	01182	勝龍國際	0.610	0.330	84.85
20	01447	新福港	3.240	1.770	83.05

A 經調整

20種跌幅最大之股份

2017年第1季

順次	股份代號	股份	收市價		跌幅
			2017年3月底	2016年12月底	
1	06863	輝山乳業	0.420	3.010	- 86.05
2	00292	泛海酒店	0.430	1.358	- 68.34
3	00904	中綠	0.065	0.184	- 64.67
4	00705	鼎和礦業	0.047	0.131	- 64.12
5	01087	威訊控股	0.610	1.680	- 63.69
6	00136	恒騰網絡	0.143	0.390	- 63.33
7	01315	允升國際	0.900	2.440	- 63.11
8	01178	共享經濟集團	0.089	0.233	- 61.80
9	00364	坪山茶業	0.027	0.062	- 56.45
10	00567	大昌微綫集團	0.520	1.160	- 55.17
11	00091	標準資源控股	0.090	0.200	- 55.00
12	02309	伯明翰環球	0.214	0.475	- 54.95
13	00401	萬嘉集團	0.380	0.800	- 52.50
14	01327	時間由你	0.065	0.134	- 51.49
15	01239	金寶寶控股	0.047	0.094	- 50.00
16	01225	隆成金融	0.116	0.222	- 47.75
17	01678	中創環球	0.300	0.570	- 47.37
18	01190	航標控股	0.210	0.395	- 46.84
19	00149	中國農產品交易	0.680	1.260	- 46.03
20	00556	泛亞環保	0.940	1.740	- 45.98

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2017年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00700	騰訊控股	208,028.94	6.38
2	00939	建設銀行	118,955.45	3.65
3	00005	匯豐控股	90,843.34	2.79
4	01299	友邦保險	82,214.31	2.52
5	00941	中國移動	79,726.94	2.44
6	03988	中國銀行	73,533.39	2.25
7	02318	中國平安	73,004.31	2.24
8	01398	工商銀行	72,889.63	2.23
9	02628	中國人壽	62,058.12	1.90
10	00388	香港交易所	48,273.80	1.48
11	00175	吉利汽車	46,856.36	1.44
12	00883	中國海洋石油	44,052.61	1.35
13	01928	金沙中國有限公司	40,200.89	1.23
14	00857	中國石油股份	39,815.56	1.22
15	00027	銀河娛樂	37,249.13	1.14
16	00688	中國海外發展	33,903.93	1.04
17	00386	中國石油化工股份	32,766.46	1.00
18	02333	長城汽車	32,080.21	0.98
19	01288	農業銀行	31,422.25	0.96
20	00001	長和	30,075.97	0.92
總 額			1,277,951.59	39.18

20種成交最活躍股份(股數)

2017年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00364	坪山茶業	34,522.98	3.92
2	00997	普匯中金國際	33,887.69	3.85
3	00136	恒騰網絡	30,436.24	3.46
4	00061	北亞資源	21,298.72	2.42
5	00939	建設銀行	19,406.10	2.20
6	03988	中國銀行	19,321.37	2.19
7	00261	中建置地	16,999.37	1.93
8	01398	工商銀行	14,652.34	1.66
9	00904	中綠	9,901.46	1.12
10	01288	農業銀行	8,943.28	1.02
11	00493	國美電器	8,933.30	1.01
12	00582	藍鼎國際	7,441.86	0.85
13	00857	中國石油股份	6,640.91	0.75
14	01192	泰山石化	6,481.14	0.74
15	00555	御泰中彩控股	6,206.37	0.70
16	01076	博華太平洋	5,875.97	0.67
17	03800	保利協鑫能源	5,531.31	0.63
18	00386	中國石油化工股份	5,418.47	0.62
19	00651	中海重工	4,987.71	0.57
20	01239	金寶實控股	4,865.62	0.55
總 數			271,752.21	30.87

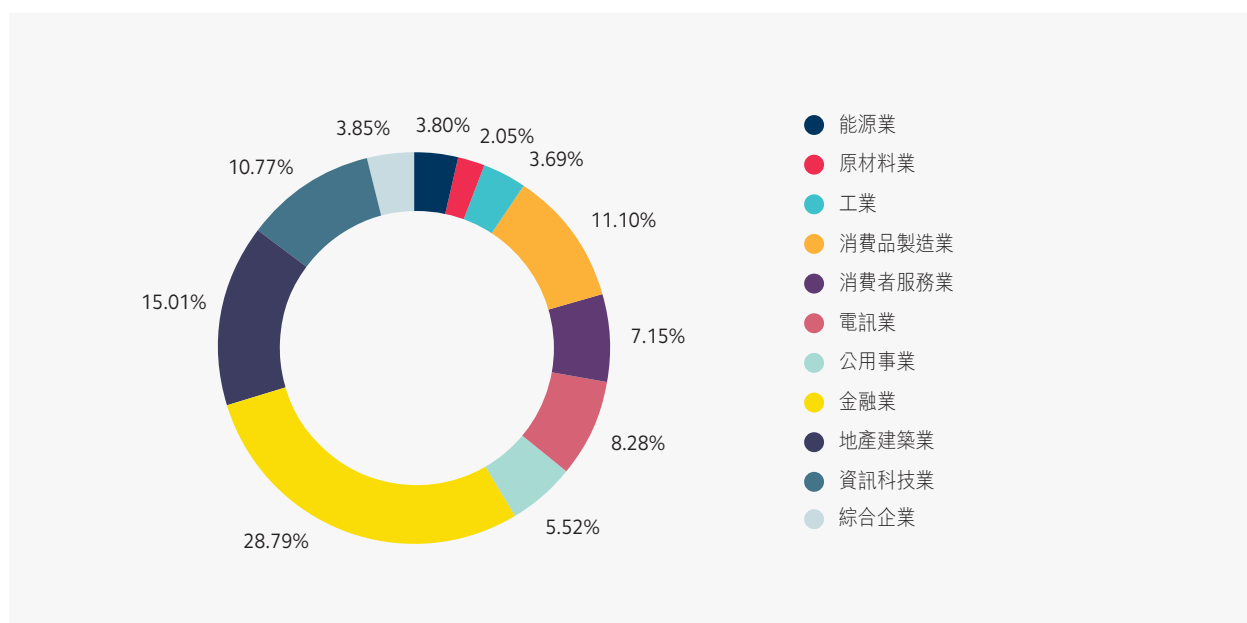
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2016	第 1 季	23,195,583.34
	第 2 季	22,796,551.70
	第 3 季	25,317,548.47
	第 4 季	24,450,434.51
2017	第 1 季	26,911,572.81

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2017年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00700	騰訊控股有限公司	2,111,530.57	7.85
2	00941	中國移動有限公司	1,741,439.82	6.47
3	00939	中國建設銀行股份有限公司－H股	1,502,608.25	5.58
4	00005	匯豐控股有限公司	1,276,881.66	4.74
5	01299	友邦保險控股有限公司	590,862.35	2.20
6	01398	中國工商銀行股份有限公司－H股	440,913.75	1.64
7	02378	英國保誠有限公司*	–	420,757.48 不適用
8	00883	中國海洋石油有限公司	414,328.39	1.54
9	00805	Glencore plc *	–	403,870.70 不適用
10	00001	長江和記實業有限公司	368,794.06	1.37
11	02388	中銀香港(控股)有限公司	335,685.77	1.25
12	00016	新鴻基地產發展有限公司	330,663.85	1.23
13	02318	中國平安保險(集團)股份有限公司－H股	323,969.60	1.20
14	03988	中國銀行股份有限公司－H股	322,781.99	1.20
15	00267	中國中信股份有限公司	322,320.11	1.20
16	00011	恒生銀行有限公司	301,306.42	1.12
17	01928	金沙中國有限公司	290,576.74	1.08
18	00945	Manulife Financial Corporation *	–	271,664.80 不適用
19	00066	香港鐵路有限公司	257,782.48	0.96
20	00762	中國聯合網絡通信(香港)股份有限公司	249,049.64	0.93
21	02888	渣打集團有限公司	244,138.08	0.91
22	00688	中國海外發展有限公司	243,227.67	0.90
23	00388	香港交易及結算所有限公司	239,477.50	0.89
24	03328	交通銀行股份有限公司－H股	211,471.65	0.79
25	00002	中電控股有限公司	205,274.11	0.76
26	00004	九龍倉集團有限公司	202,316.26	0.75
27	01113	長江實業地產有限公司	198,940.18	0.74
28	00003	香港中華煤氣有限公司	197,622.84	0.73
29	00027	銀河娛樂集團有限公司	181,924.94	0.68
30	02628	中國人壽保險股份有限公司－H股	177,472.02	0.66
31	00012	恒基兆業地產有限公司	175,141.09	0.65
32	01038	長江基建集團有限公司	161,691.24	0.60
33	00386	中國石油化工股份有限公司－H股	160,734.66	0.60
34	02007	碧桂園控股有限公司	149,263.35	0.55
35	01972	太古地產有限公司	145,665.00	0.54
36	01109	華潤置地有限公司	145,549.73	0.54
37	00006	電能實業有限公司	142,995.53	0.53
38	00020	會德豐有限公司	125,222.77	0.47
39	00857	中國石油天然氣股份有限公司－H股	120,052.74	0.45
40	02018	瑞聲科技控股有限公司	111,686.60	0.42
41	00019	太古股份有限公司	110,619.55	0.41
42	01288	中國農業銀行股份有限公司－H股	110,044.99	0.41
43	00175	吉利汽車控股有限公司	105,936.08	0.39
44	00656	復星國際有限公司	100,339.15	0.37
45	03333	中國恒大集團	98,668.63	0.37
46	00288	萬洲國際有限公司	98,162.16	0.36
47	01658	中國郵政儲蓄銀行股份有限公司－H股	95,508.16	0.35
48	03968	招商銀行股份有限公司－H股	94,343.02	0.35
49	00017	新世界發展有限公司	92,611.35	0.34
50	00101	恒隆地產有限公司	90,851.03	0.34
總值			15,718,447.53	58.41
市場總值			26,911,572.81	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2016	第1季	529,347.58	4,288,073.96	12.34
	第2季	488,227.56	3,881,459.36	12.58
	第3季	490,674.64	4,303,204.62	11.40
	第4季	406,569.77	3,923,687.04	10.36
2017	第1季	475,997.96	4,608,747.71	10.33

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2016	第1季	54,180.01	13,043,825.48	0.42
	第2季	53,429.40	11,848,238.65	0.45
	第3季	53,475.70	10,997,917.09	0.49
	第4季	47,872.62	9,721,915.86	0.49
2017	第1季	52,950.87	12,564,132.55	0.42

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2016	第1季	13	1	29,916.67
	第2季	11	0	12,489.33
	第3季	22	3	91,602.58
	第4季	29	2	56,716.17
2017	第1季	20	1	11,954.10

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2017年第1季

股份代號	公司	上市日期	已發行股數	集資金額(百萬港元)
01637	順興集團(控股)有限公司	2017/01/03	400,000,000	120.00
01536	煜榮集團控股有限公司	2017/01/11	380,000,000	115.00
01656	億仕登控股有限公司	2017/01/12	394,684,950	50.00
06122	吉林九台農村商業銀行股份有限公司－H股	2017/01/12	759,000,000	3,461.04
01575	慕容控股有限公司	2017/01/12	1,000,000,000	262.50
01518	新世紀醫療控股有限公司	2017/01/18	490,025,000	956.98
06068	睿見教育國際控股有限公司	2017/01/26	2,039,154,000	913.16
03789	御佳控股有限公司	2017/02/08	1,200,000,000	168.00
02266	黎氏企業控股有限公司	2017/02/10	400,000,000	115.00
01660	善樂國際控股有限公司	2017/02/10	1,239,000,000	159.60
01989	松齡護老集團有限公司	2017/02/15	902,880,000	205.68
01627	安保工程控股有限公司	2017/02/20	2,000,000,000	550.00
06169	中國宇華教育集團有限公司	2017/02/28	3,000,000,000	1,768.13
02293	百本醫護控股有限公司*	2017/03/01	400,000,000	–
01985	美高域集團有限公司	2017/03/08	300,000,000	87.60
03395	Persta Resources Inc.	2017/03/10	278,286,520	219.87
03869	弘和仁愛醫療集團有限公司	2017/03/16	133,194,000	426.68
01569	民生教育集團有限公司	2017/03/22	4,000,000,000	1,380.00
01647	SHIS Ltd.	2017/03/30	1,000,000,000	175.00
02017	滄海控股有限公司	2017/03/31	600,000,000	325.50
02281	瀘州市興瀘水務(集團)股份有限公司－H股	2017/03/31	214,940,000	494.36
總額				11,954.10

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2017年第1季

股份代號	公 司	最後買賣日期	除牌日期
00930	中國森林控 股有 限公 司	2011/01/26	2017/02/24
03668	中鋁礦業國際	2017/03/06	2017/03/16

主 板 股 份

公司名稱更改

2017年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
00583	南潮控股有限公司	長城環亞控股有限公司	2016/12/08	2017/01/16
00650	順昌集團有限公司	IDG能源投資集團有限公司	2016/12/16	2017/01/16
00348	海爾智能健康控股有限公司	中國智能健康控股有限公司	2016/12/16	2017/01/17
06828	藍天威力控股有限公司	北京燃氣藍天控股有限公司	2016/12/09	2017/02/03
02393	巨星國際控股有限公司	巨星醫療控股有限公司	2017/01/11	2017/02/03
01372	怡益控股有限公司	比速科技集團國際有限公司	2017/01/16	2017/02/09
00865	第一電訊集團有限公司	建德國際控股有限公司	2017/01/06	2017/02/10
01332	中國新進控股有限公司	中國透雲科技集團有限公司	2017/01/18	2017/02/15
01365	中國綠地潤東汽車集團有限公司	中國潤東汽車集團有限公司	2017/01/27	2017/02/27
01386	盈進集團控股有限公司	國投集團控股有限公司	2017/01/19	2017/02/28
02323	至卓國際(控股)有限公司	中國港橋控股有限公司	2017/01/25	2017/03/02
00802	宏霸數碼集團(控股)有限公司	中國錢包支付集團有限公司	2016/12/28	2017/03/08
00334	TCL顯示科技控股有限公司	華顯光電技術控股有限公司	2017/01/04	2017/03/10
01466	民生珠寶控股有限公司	錢唐控股有限公司	2017/02/01	2017/03/15
00628	華銀控股有限公司	國美金融科技有限公司	2017/02/15	2017/03/17

主 板 股 份

紅股／紅利認股權證

2017年第1季

股份代號	公司	詳情	除淨日
00292	泛海酒店集團有限公司	紅股1送2 可選擇收取可換股票據以 代替全部(而非部份)	2017/01/23
00275	凱華集團有限公司	1送1	2017/01/24
00211	大凌集團有限公司	5股送1份認股權證	2017/01/24
00273	民信金控有限公司	10送1	2017/02/15

股份拆細及合併

2017年第1季

股份代號	公司	詳情	生效日期
02324	首都創投有限公司	5股合併為1股	2017/02/08
00221	易易壹金融集團有限公司	10股合併為1股	2017/02/21
00091	標準資源控股有限公司	2股合併為1股	2017/02/24
01269	中國首控集團有限公司	1股分拆為5股	2017/02/28
00585	意馬國際控股有限公司	8股合併為1股	2017/03/13
00351	亞洲能源物流集團有限公司	10股合併為1股	2017/03/27
00997	普匯中金國際控股有限公司	25股合併為1股	2017/03/28

主 板 股 份

供 股／公 開 售 股

2017 年 第 1 季

月份	股份代號	公司	比率	集資金額 (百萬元)
1 月	00197	亨泰消費品集團有限公司	股權 1 供 1，每股供 0.24 港元	216.08
	00080	中國新經濟投資有限公司	股權 2 供 3，每股供 0.50 港元 另每供 3 股送 2 股股份	166.89
	01340	惠生國際控股有限公司	股權 2 供 1，每股供 0.50 港元	144.75
2 月	00993	華融國際金融控股有限公司	股權 20 供 1.5，每股供 2.63 港元	658.44
	00273	民信金控有限公司	股權 5 供 6，每股供 0.13 港元	2,876.22
	00117	天利控股集團有限公司	公開售股 2 供 1，每股供 1.50 港元	372.38
	00771	自動系統集團有限公司	股權 2 供 1，每股供 1.10 港元	192.93
	06108	新銳醫藥國際控股有限公司	股權 1 供 3，每股供 0.275 港元	343.57
	00928	野馬國際集團有限公司	股權 1 供 1，每股供 0.126 港元	301.18
	01639	安捷利實業有限公司	股權 4 供 1，每股供 0.55 港元	135.78
3 月	02324	首都創投有限公司	股權 1 供 3，每股供 0.20 港元 (5 股合併為 1 股後)	413.23
	00622	威華達控股有限公司	股權 2 供 1，每股供 0.265 港元	953.18
	00091	標準資源控股有限公司	股權 2 供 1，每股供 0.09 港元 (2 股合併為 1 股後)	143.72
	00862	遠見控股有限公司	股權 2 供 1，每股供 0.18 港元	233.27
	總 額			7,151.62

主 板 股 份

股份配售*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2017					
1 月	03337	安東油田服務	221,619,604	1.0080	223.39
	00039	中國北大荒	351,599,550	0.4800	168.77
	00810	中國互聯網投資	90,990,000	0.2600	23.66
	01566	華夏動漫	16,076,000	3.2500	52.25
	00110	中國長遠	85,716,000	0.3500	30.00
	00581	中國東方集團	586,284,000	1.3000	762.17
	01145	勇利航業	25,400,000	3.8200	97.03
	00861	神州控股	35,214,000	5.5300	194.73
	01039	鑫網易商	151,515,000	1.9800	300.00
	00115	鈞濠集團	90,468,877	0.2700	24.43
	00979	綠色能源科技集團	133,206,000	0.2600	34.63
	00145	香港建屋貸款	384,416,000	0.3600	138.39
	02028	映美控股	18,000,000	1.6500	29.70
	00276	蒙古能源	60,000,000	0.2600	15.60
	01227	國盛投資	160,000,000	0.1000	16.00
	01140	東英金融投資	56,000,000	1.9500	109.20
	02014	浩澤淨水	316,299,950	1.7100	540.87
	01142	西伯利亞礦業	123,200,000	0.2170	26.73
	02012	陽光油砂	60,000,000	0.2620	15.72
	01483	譽宴集團	93,000,000	1.6100	149.73
	01431	原生態牧業	781,749,400	0.5000	390.87
2 月	00800	A 8 新媒體	931,800,000	0.4100	382.04
	00959	奧瑪仕國際	13,000,000	0.5000	6.50
	00789	雅天妮	510,000,000	0.0800	40.80
	00030	萬隆控股集團	534,132,000	0.1100	58.75
	01237	中科生物	514,500,000	0.1300	66.89
	00815	中國白銀集團	13,800,000	1.5100	20.84
	00616	高山企業	350,000,000	0.1600	56.00
	00571	豐德麗控股	248,642,433	0.6200	154.16
	02212	高鵬礦業	170,000,000	0.2050	34.85
	00141	大中華集團	52,300,000	1.4400	75.31
	02277	華融投資股份	580,000,000	0.4000	232.00
	01020	華耐控股	595,600,000	0.3000	178.68
	01046	寰宇國際金融	106,660,000	0.5190	55.36
	00332	元亨燃氣	331,000,000	0.6800	225.08
3 月	03344	互益集團	4,300,000,000	0.0800	344.00
	02020	安踏體育	175,000,000	21.6700	3,792.25
	01381	粵豐環保	300,000,000	3.5000	1,050.00
	00164	中國寶力科技	552,500,000	0.1810	100.00
	00707	協盛協豐	797,184,000	0.2000	159.44
	04610	CZB 17USDPRF	108,750,000	156.0000	16,965.00

主 板 股 份

月 份	股 份 代 號	公 司	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	00567	大昌微綫集團	96,000,000	0.5000	48.00
	00500	先豐服務集團	216,000,000	0.9000	194.40
	02358	久融控股	912,000,000	0.2200	200.64
	01227	國盛投資	110,000,000	0.1420	15.62
	00491	漢傳媒	1,000,000,000	0.1900	190.00
	01182	勝龍國際	312,000,000	0.5700	177.84
	01430	蘇創燃氣	40,000,000	2.2000	88.00
	02012	陽光油砂	247,350,000	0.2830	70.00
	02012	陽光油砂	40,000,000	0.2900	11.60
	00518	同得仕(集團)	42,000,000	1.0000	42.00
	01046	寰宇國際金融	213,320,000	0.5190	110.71
	00686	聯合光伏	2,232,978,962	0.5814	1,298.25
	00332	元亨燃氣	503,703,704	0.6750	340.00
總 額					30,128.89

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認 股 權 證 交 易 統 計

		股份認股權證 (百 萬 港 元)	衍生權證 (百 萬 港 元)	總 額 (百 萬 港 元)
2016	第 1 季	92.83	915,484.74	915,577.57
	第 2 季	64.04	677,965.49	678,029.53
	第 3 季	83.83	618,585.83	618,669.66
	第 4 季	70.69	514,959.75	515,030.44
2017	第 1 季	81.08	725,133.37	725,214.45

認 股 權 證 市 價 總 值

		數 目	股份認股權證 (百 萬 港 元)	數 目	衍生權證 (百 萬 港 元)	總 數	總 額 (百 萬 港 元)
2016	第 1 季	12	1,444.55	4,184	58,444.35	4,196	59,888.90
	第 2 季	11	1,018.90	4,070	51,518.27	4,081	52,537.17
	第 3 季	11	1,371.20	4,006	76,719.27	4,017	78,090.47
	第 4 季	8	847.55	3,705	42,844.32	3,713	43,691.87
2017	第 1 季	7	550.11	3,988	58,457.44	3,995	59,007.55

新 上 市 統 計 — 認 股 權 證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百 萬 港 元)
2016	第 1 季	2	1,276	31,890.47
	第 2 季	2	1,045	21,652.05
	第 3 季	1	1,354	28,479.35
	第 4 季	0	1,200	23,813.28
2017	第 1 季	1	1,502	26,809.66

新 上 市 股 份 認 股 權 證

2017 年 第 1 季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百 萬 港 元)
01482	大凌集團有限公司2018年認股權證	95,220,202	2017/02/15	2018/02/13	-
總 額					-

主 板 認 股 權 證

新上市衍生權證

2017年第1季

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
15885	BNP Paribas Arbit Issu B.V. - HKEX European Wts Jan 2018 A	100,000,000	2017/01/03	2018/01/03	18.00
15884	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Oct 2017 B	40,000,000	2017/01/03	2017/10/04	18.00
15883	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Apr 2018 A	80,000,000	2017/01/03	2018/04/03	20.00
15932	Credit Suisse AG - AIA European Put Warrants Jul 2017 A	50,000,000	2017/01/03	2017/07/04	12.50
15946	Credit Suisse AG - China Cinda European Warrants Jun 2017 A	50,000,000	2017/01/03	2017/06/05	10.25
15965	Credit Suisse AG - CITIC European Warrants Jul 2017 A	40,000,000	2017/01/03	2017/07/31	21.60
15940	Credit Suisse AG - CKI Holdings European Warrants May 2017 A	55,000,000	2017/01/03	2017/05/05	11.55
15920	Credit Suisse AG - China Mobile European Put Wts Jul 2017 A	50,000,000	2017/01/03	2017/07/05	12.50
15954	Credit Suisse AG - CNBM European Warrants Jul 2017 A	36,000,000	2017/01/03	2017/07/05	10.08
15937	Credit Suisse AG - Ch Overseas European Warrants Nov 2017 A	50,000,000	2017/01/03	2017/11/30	12.50
15955	Credit Suisse AG - China Tel European Warrants Jul 2017 A	70,000,000	2017/01/03	2017/07/04	10.50
15951	Credit Suisse AG - GF Sec European Warrants Jun 2017 A	70,000,000	2017/01/03	2017/06/30	10.50
15950	Credit Suisse AG - HS H-SHARE European Warrants Jun 2017 A	30,000,000	2017/01/03	2017/06/05	10.95
15938	Credit Suisse AG - Li & Fung European Warrants Jul 2017 A	40,000,000	2017/01/03	2017/07/04	10.00
15943	Credit Suisse AG - Link REIT European Warrants Jun 2017 A	50,000,000	2017/01/03	2017/06/02	10.00
15933	Credit Suisse AG - Sands China European Warrants Jul 2017 B	50,000,000	2017/01/03	2017/07/05	12.50
15914	Credit Suisse AG - Sands China European Put Wts Jul 2017 A	50,000,000	2017/01/03	2017/07/04	12.50
15942	Credit Suisse AG - SPDR Gold Trust European Wts Jul 2017 A	25,000,000	2017/01/03	2017/07/04	11.00
15949	Credit Suisse AG - Stanchart European Warrants Jun 2017 A	25,000,000	2017/01/03	2017/06/02	10.50
15922	Credit Suisse AG - Tencent Holdings Euro Put Wts Dec 2017 B	100,000,000	2017/01/03	2017/12/22	20.50
15964	Credit Suisse AG - Zijin Mining European Warrants Jul 2017 A	40,000,000	2017/01/03	2017/07/31	10.00
15987	Goldman Sachs SP (Asia) - Agri Bk European Wts Dec 2017 A	80,000,000	2017/01/03	2017/12/28	20.00
15829	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Sep 2017 A	80,000,000	2017/01/03	2017/09/28	20.00
15832	Goldman Sachs SP (Asia) - CITIC Sec European Wts Dec 2017 A	80,000,000	2017/01/03	2017/12/28	20.00
15985	Goldman Sachs SP (Asia) - Ch Overseas European Wts Oct 2017 A	80,000,000	2017/01/03	2017/10/09	12.00
15966	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jul 2017 A	80,000,000	2017/01/03	2017/07/28	27.68
15819	Goldman Sachs SP (Asia) - China Tel European Wts Jun 2017 A	50,000,000	2017/01/03	2017/06/28	10.00
15825	Goldman Sachs SP (Asia) - HKEX European Warrants Jun 2017 C	150,000,000	2017/01/03	2017/06/27	22.50
15820	Goldman Sachs SP (Asia) - Kingsoft European Wts Nov 2017 A	80,000,000	2017/01/03	2017/11/29	20.00
15968	Goldman Sachs SP (Asia) - Sands China Euro Wts Jun 2017 A	60,000,000	2017/01/03	2017/06/28	10.92
16001	J P Morgan SP BV - Anta Sports European Warrants Aug 2017 A	60,000,000	2017/01/03	2017/08/01	15.06
16000	J P Morgan SP BV - HSI European Warrants Jul 2017 B	400,000,000	2017/01/03	2017/07/28	60.80
15853	Macquarie Bank Ltd. - Lenovo European Warrants Aug 2017 A	18,000,000	2017/01/03	2017/08/02	10.01
15856	Macquarie Bank Ltd. - PICC Group European Wts Oct 2017 A	22,000,000	2017/01/03	2017/10/04	10.01
15835	Macquarie Bank Ltd. - China Vanke European Wts Oct 2017 A	40,000,000	2017/01/03	2017/10/04	10.00
15886	SG Issuer - CGS European Warrants Aug 2017 A	15,000,000	2017/01/03	2017/08/03	10.05
15888	SG Issuer - CITIC Sec European Warrants Dec 2017 A	60,000,000	2017/01/03	2017/12/05	15.00
15857	SG Issuer - China Life European Warrants Jul 2017 C	15,000,000	2017/01/03	2017/07/05	10.05
15859	SG Issuer - China Life European Put Warrants Sep 2017 B	150,000,000	2017/01/03	2017/09/05	37.50
15887	SG Issuer - Ch Mob European Warrants Jul 2017 D	60,000,000	2017/01/03	2017/07/05	28.20
15862	SG Issuer - CNOOC European Warrants Aug 2017 A	20,000,000	2017/01/03	2017/08/03	20.00
15881	SG Issuer - HKEX European Warrants Nov 2017 A	200,000,000	2017/01/03	2017/11/03	50.00

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15889	SG Issuer - HS H-SHARE European Warrants Aug 2017 B	30,000,000	2017/01/03	2017/08/03	15.30
15891	SG Issuer - HS H-SH European Put Warrants Aug 2017 C	30,000,000	2017/01/03	2017/08/03	17.70
15892	SG Issuer - Ping An European Warrants Aug 2017 B	100,000,000	2017/01/03	2017/08/03	28.50
15894	UBS AG - BYD European Warrants May 2017 A	40,000,000	2017/01/03	2017/05/26	12.60
15913	UBS AG - China Life European Warrants Aug 2017 A	100,000,000	2017/01/03	2017/08/28	17.30
15895	UBS AG - Greatwall Motor European Warrants Jul 2017 A	40,000,000	2017/01/03	2017/07/03	13.32
15897	UBS AG - HS H-SHARE European Warrants May 2017 A	50,000,000	2017/01/03	2017/05/24	15.10
16207	Credit Suisse AG - AIA European Warrants Oct 2017 A	60,000,000	2017/01/04	2017/10/04	10.80
16278	Credit Suisse AG - CC Bank European Warrants Jul 2017 B	55,000,000	2017/01/04	2017/07/31	10.84
16295	Credit Suisse AG - CITIC Sec European Warrants Dec 2017 A	50,000,000	2017/01/04	2017/12/29	12.50
16179	Credit Suisse AG - China Mobile European Warrants Jun 2017 B	50,000,000	2017/01/04	2017/06/19	12.50
16167	Credit Suisse AG - CNOOC European Warrants Jul 2017 A	40,000,000	2017/01/04	2017/07/05	10.00
16245	Credit Suisse AG - CPIC European Warrants Jul 2017 A	40,000,000	2017/01/04	2017/07/31	10.00
16352	Credit Suisse AG - CSOP A50 ETF European Warrants Jul 2017 A	40,000,000	2017/01/04	2017/07/05	10.00
16244	Credit Suisse AG - HKEX European Warrants Dec 2017 A	70,000,000	2017/01/04	2017/12/11	10.50
16209	Credit Suisse AG - HKEX European Put Warrants Oct 2017 B	100,000,000	2017/01/04	2017/10/03	15.00
16347	Credit Suisse AG - HSBC European Put Warrants Jul 2017 A	40,000,000	2017/01/04	2017/07/05	10.00
16292	Credit Suisse AG - Haitong Sec European Warrants Oct 2017 A	70,000,000	2017/01/04	2017/10/31	10.50
16277	Credit Suisse AG - ICBC European Warrants Jul 2017 B	60,000,000	2017/01/04	2017/07/31	10.08
16213	Credit Suisse AG - Lenovo European Warrants May 2017 A	50,000,000	2017/01/04	2017/05/25	12.50
16204	Credit Suisse AG - PetroChina European Warrants Jun 2017 B	40,000,000	2017/01/04	2017/06/19	10.40
16297	Credit Suisse AG - Ping An European Put Warrants Aug 2017 A	50,000,000	2017/01/04	2017/08/04	12.50
16241	Credit Suisse AG - SHK Ppt European Warrants May 2017 A	40,000,000	2017/01/04	2017/05/31	10.80
16218	Credit Suisse AG - Sunny Optical European Warrants Jul 2017 A	40,000,000	2017/01/04	2017/07/07	11.00
16197	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 F	40,000,000	2017/01/04	2017/07/05	31.60
16160	Credit Suisse AG - Tencent Holdings European Wts Sep 2017 D	150,000,000	2017/01/04	2017/09/29	22.50
16257	Credit Suisse AG - Tracker Fund European Warrants Jul 2017 A	55,000,000	2017/01/04	2017/07/03	10.45
16124	Goldman Sachs SP (Asia) - CITIC Bank European Wts Dec 2017 A	40,000,000	2017/01/04	2017/12/28	10.00
16146	Goldman Sachs SP (Asia) - CKH European Wts Oct 2017 A	50,000,000	2017/01/04	2017/10/30	12.50
16127	Goldman Sachs SP (Asia) - A50 China European Wts Aug 2017 A	80,000,000	2017/01/04	2017/08/30	23.68
16145	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Sep 2017 A	60,000,000	2017/01/04	2017/09/28	15.00
16013	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2017 A	200,000,000	2017/01/04	2017/07/28	30.00
16011	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2017 A	200,000,000	2017/01/04	2017/09/28	30.00
16023	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2017 D	200,000,000	2017/01/04	2017/04/27	30.20
16019	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2017 F	200,000,000	2017/01/04	2017/06/29	37.80
16155	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Oct 2017 A	80,000,000	2017/01/04	2017/10/27	12.00
16131	Goldman Sachs SP (Asia) - PetroChina European Wts Jun 2017 A	80,000,000	2017/01/04	2017/06/14	13.84
16048	HK Bank - China Mobile European Warrants Jul 2017 A	40,000,000	2017/01/04	2017/07/03	20.00
16041	HK Bank - HSI European Warrants Jun 2017 G	150,000,000	2017/01/04	2017/06/29	24.00
16047	HK Bank - HSI European Put Warrants Sep 2017 A	150,000,000	2017/01/04	2017/09/28	25.50
16040	HK Bank - ICBC European Warrants Jul 2017 D	80,000,000	2017/01/04	2017/07/31	20.00
16050	HK Bank - PICC European Warrants Jul 2018 A	80,000,000	2017/01/04	2018/07/30	12.00
16049	HK Bank - Ping An European Warrants Jul 2017 B	50,000,000	2017/01/04	2017/07/03	12.50
16065	J P Morgan SP BV - China Mob European Wts Jul 2017 A	100,000,000	2017/01/04	2017/07/31	41.10

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16062	J P Morgan SP BV - HKEX European Warrants Aug 2017 B	200,000,000	2017/01/04	2017/08/01 30.00
16102	J P Morgan SP BV - HSI European Put Warrants Jul 2017 C	400,000,000	2017/01/04	2017/07/28 100.40
16066	J P Morgan SP BV - Ping An European Warrants Jul 2017 B	200,000,000	2017/01/04	2017/07/31 30.20
16004	Macquarie Bank Ltd. - Kingdee Int'l European Wts Nov 2017 A	17,000,000	2017/01/04	2017/11/16 10.03
16002	Macquarie Bank Ltd. - Tencent European Warrants Jul 2017 F	10,000,000	2017/01/04	2017/07/04 30.39
16110	UBS AG - HSI European Put Warrants Jul 2017 B	300,000,000	2017/01/04	2017/07/28 75.00
16117	UBS AG - SMIC European Warrants Nov 2017 A	60,000,000	2017/01/04	2017/11/13 15.00
11116 #	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 A	150,000,000	2017/01/04	2017/07/31 22.05
11729 #	Credit Suisse AG - HKEX European Warrants Apr 2017 A	165,000,000	2017/01/04	2017/04/05 10.07
16378	BOCI Asia Ltd. - AIA European Warrants Jul 2017 B	100,000,000	2017/01/05	2017/07/31 25.00
16456	BOCI Asia Ltd. - CKP European Warrants Aug 2017 A	100,000,000	2017/01/05	2017/08/21 29.70
16488	BOCI Asia Ltd. - China Life European Warrants Aug 2017 C	200,000,000	2017/01/05	2017/08/28 32.60
16502	BOCI Asia Ltd. - Minsheng Bank European Warrants Aug 2017 A	100,000,000	2017/01/05	2017/08/28 58.50
16355	BOCI Asia Ltd. - HSI European Warrants Jun 2017 A	100,000,000	2017/01/05	2017/06/29 15.00
16362	BOCI Asia Ltd. - HSI European Warrants Aug 2017 A	100,000,000	2017/01/05	2017/08/30 15.00
16372	BOCI Asia Ltd. - HSI European Put Warrants Sep 2017 A	100,000,000	2017/01/05	2017/09/28 17.10
16437	BOCI Asia Ltd. - Lenovo European Warrants Aug 2017 A	100,000,000	2017/01/05	2017/08/28 27.10
16410	BOCI Asia Ltd. - Ping An European Warrants Aug 2017 A	200,000,000	2017/01/05	2017/08/07 50.00
16379	BOCI Asia Ltd. - Sands China European Warrants Jul 2017 B	100,000,000	2017/01/05	2017/07/17 25.00
16499	BOCI Asia Ltd. - Tencent Holdings European Wts Dec 2017 B	200,000,000	2017/01/05	2017/12/27 50.00
16820	Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2017 A	80,000,000	2017/01/05	2017/09/25 12.00
16587	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Aug 2017 B	40,000,000	2017/01/05	2017/08/30 17.44
16555	Goldman Sachs SP (Asia) - CITIC European Warrants Oct 2017 B	30,000,000	2017/01/05	2017/10/30 10.59
16779	Goldman Sachs SP (Asia) - CKP European Warrants Nov 2017 A	40,000,000	2017/01/05	2017/11/29 10.00
16840	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Jul 2017 A	40,000,000	2017/01/05	2017/07/31 10.00
16853	Goldman Sachs SP (Asia) - China Unicom Euro Wts Sep 2017 A	40,000,000	2017/01/05	2017/09/28 21.40
16518	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2017 A	80,000,000	2017/01/05	2017/07/28 20.00
16845	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2017 A	60,000,000	2017/01/05	2017/12/28 15.00
16533	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2017 A	100,000,000	2017/01/05	2017/07/26 15.00
16589	Goldman Sachs SP (Asia) - Stanchart European Wts Dec 2017 A	40,000,000	2017/01/05	2017/12/28 14.44
16766	HK Bank - CKH European Warrants Jul 2017 A	40,000,000	2017/01/05	2017/07/04 10.00
16767	HK Bank - Minsheng Bank European Warrants Jul 2017 A	30,000,000	2017/01/05	2017/07/31 13.50
16677	HK Bank - Galaxy Ent European Warrants Jul 2017 A	50,000,000	2017/01/05	2017/07/04 12.50
16708	HK Bank - Sands China European Warrants Jul 2017 B	50,000,000	2017/01/05	2017/07/04 12.50
16946	J P Morgan SP BV - CC Bank European Wts Aug 2017 B	100,000,000	2017/01/05	2017/08/01 15.60
17048	J P Morgan SP BV - CC Bank European Put Wts Apr 2017 B	100,000,000	2017/01/05	2017/04/05 15.60
16879	J P Morgan SP BV - Ch Molybdenum European Warrants Jul 2017 A	60,000,000	2017/01/05	2017/07/31 15.48
16905	J P Morgan SP BV - Haitong Sec European Warrants Nov 2017 A	100,000,000	2017/01/05	2017/11/02 15.10
16658	UBS AG - CC Bank European Warrants Jun 2017 A	70,000,000	2017/01/05	2017/06/05 15.12
18330	BOCI Asia Ltd. - CC Bank European Warrants Jul 2017 B	100,000,000	2017/01/06	2017/07/31 15.40
18234	BOCI Asia Ltd. - CITIC Sec European Warrants Nov 2017 A	100,000,000	2017/01/06	2017/11/27 25.00
18254	BOCI Asia Ltd. - Haitong Sec European Warrants Oct 2017 A	100,000,000	2017/01/06	2017/10/27 15.00
18160	BOCI Asia Ltd. - Kingsoft European Warrants Sep 2017 A	100,000,000	2017/01/06	2017/09/25 25.00
18052	BOCI Asia Ltd. - China Vanke European Warrants Jul 2017 A	100,000,000	2017/01/06	2017/07/24 15.00

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18874	Credit Suisse AG - BOC HK European Warrants Jul 2017 A	70,000,000	2017/01/06	2017/07/31 10.50
18767	Credit Suisse AG - BYD European Warrants May 2017 A	30,000,000	2017/01/06	2017/05/26 10.20
18783	Credit Suisse AG - China Re European Warrants Jul 2017 A	40,000,000	2017/01/06	2017/07/31 10.00
18471	Credit Suisse AG - CKH Holdings European Warrants Jul 2017 A	40,000,000	2017/01/06	2017/07/06 15.20
18474	Credit Suisse AG - CKH European Put Warrants Jul 2017 B	40,000,000	2017/01/06	2017/07/06 10.00
18759	Credit Suisse AG - China Railway European Warrants Jul 2017 A	25,000,000	2017/01/06	2017/07/06 12.38
18747	Credit Suisse AG - Geely Auto European Warrants Aug 2018 A	100,000,000	2017/01/06	2018/08/20 15.00
18684	Credit Suisse AG - HSBC European Wts Jul 2017 B	100,000,000	2017/01/06	2017/07/10 18.10
18421	Credit Suisse AG - HSI European Warrants Jun 2017 A	120,000,000	2017/01/06	2017/06/29 18.00
18437	Credit Suisse AG - HSI European Warrants Jul 2017 A	120,000,000	2017/01/06	2017/07/28 18.00
18438	Credit Suisse AG - HSI European Warrants Aug 2017 A	120,000,000	2017/01/06	2017/08/30 18.00
18444	Credit Suisse AG - HSI European Put Warrants Apr 2017 A	120,000,000	2017/01/06	2017/04/27 19.80
18449	Credit Suisse AG - HSI European Put Warrants May 2017 A	120,000,000	2017/01/06	2017/05/29 18.60
18456	Credit Suisse AG - HSI European Put Warrants Aug 2017 B	120,000,000	2017/01/06	2017/08/30 21.60
18864	Credit Suisse AG - PICC Group European Warrants Jun 2017 B	50,000,000	2017/01/06	2017/06/02 10.00
18785	Credit Suisse AG - Tencent Holdings Euro Put Wts Aug 2017 A	100,000,000	2017/01/06	2017/08/01 18.00
17065	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2017 B	300,000,000	2017/01/06	2017/08/29 45.00
17214	Goldman Sachs SP (Asia) - Tencent European Wt Nov 2017 A	300,000,000	2017/01/06	2017/11/28 45.00
18400	HK Bank - China Overseas European Warrants Nov 2017 A	80,000,000	2017/01/06	2017/11/23 14.40
18404	HK Bank - Tencent European Put Warrants Dec 2017 B	100,000,000	2017/01/06	2017/12/22 18.00
17926	Haitong Int'l Sec - Anhui Conch European Warrants Oct 2017 A	40,000,000	2017/01/06	2017/10/27 10.00
17509	Haitong Int'l Sec - Ch Overseas European Warrants Aug 2017 A	50,000,000	2017/01/06	2017/08/30 10.00
17569	Haitong Int'l Sec - CSDC European Warrants Dec 2017 A	40,000,000	2017/01/06	2017/12/11 10.40
17713	Haitong Int'l Sec - Evergrande European Warrants Jul 2017 A	25,000,000	2017/01/06	2017/07/28 12.00
17847	Haitong Int'l Sec - Geely Auto European Warrants Jun 2018 A	70,000,000	2017/01/06	2018/06/01 11.20
17527	Haitong Int'l Sec - GWMC European Warrants Jul 2018 A	50,000,000	2017/01/06	2018/07/23 10.00
17902	Haitong Int'l Sec - HN Renewables European Wts Aug 2017 A	32,000,000	2017/01/06	2017/08/28 10.40
17659	Haitong Int'l Sec - Zhaojin Mining European Wts Dec 2018 A	50,000,000	2017/01/06	2018/12/28 12.50
18875	J P Morgan SP BV - CKI Holdings European Warrants Aug 2017 A	50,000,000	2017/01/06	2017/08/02 12.70
17405	Macquarie Bank Ltd. - CC Bank European Warrants Jun 2017 B	25,000,000	2017/01/06	2017/06/02 10.18
17248	Macquarie Bank Ltd. - Tencent European Warrants Aug 2017 A	40,000,000	2017/01/06	2017/08/02 10.00
19442	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jul 2017 E	40,000,000	2017/01/09	2017/07/04 10.00
19467	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2019 A	40,000,000	2017/01/09	2019/01/02 10.00
19250	Bank of East Asia - HSBC European Warrants Oct 2017 A	40,000,000	2017/01/09	2017/10/09 10.00
19229	Bank of East Asia - ZTE European Warrants Dec 2017 A	40,000,000	2017/01/09	2017/12/29 10.00
19198	HK Bank - BOCL European Warrants Aug 2017 B	60,000,000	2017/01/09	2017/08/31 15.00
19218	HK Bank - Evergrande European Warrants Sep 2017 A	40,000,000	2017/01/09	2017/09/29 12.00
19040	HK Bank - Tencent European Warrants Oct 2017 B	150,000,000	2017/01/09	2017/10/27 22.50
19928	J P Morgan SP BV - CKP European Warrants Aug 2017 A	60,000,000	2017/01/09	2017/08/02 15.00
19552	J P Morgan SP BV - China Mob European Put Wts Aug 2017 A	100,000,000	2017/01/09	2017/08/02 25.10
20028	J P Morgan SP BV - GF Sec European Warrants Jun 2017 A	70,000,000	2017/01/09	2017/06/28 10.92
19670	J P Morgan SP BV - Li & Fung European Warrants Dec 2017 A	60,000,000	2017/01/09	2017/12/29 15.90
19609	J P Morgan SP BV - Shimao Property European Wts Jun 2018 A	70,000,000	2017/01/09	2018/06/29 10.64
19440	Macquarie Bank Ltd. - Brilliance Chi Euro Wts Feb 2018 A	40,000,000	2017/01/09	2018/02/02 10.00

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19291	Macquarie Bank Ltd. - Sinopec Corp European Wts Aug 2017 B	27,000,000	2017/01/09	2017/08/02	10.21
19038	Macquarie Bank Ltd. - Tencent European Warrants Aug 2017 C	16,000,000	2017/01/09	2017/08/02	10.00
19037	Macquarie Bank Ltd. - Tencent European Put Wts Aug 2017 B	9,000,000	2017/01/09	2017/08/02	10.05
19538	UBS AG - CC Bank European Put Warrants May 2017 C	70,000,000	2017/01/09	2017/05/29	10.50
20114	BOCI Asia Ltd. - CITIC European Warrants Oct 2017 A	100,000,000	2017/01/10	2017/10/26	15.40
20121	BOCI Asia Ltd. - Chi Res Land European Warrants Oct 2017 A	100,000,000	2017/01/10	2017/10/30	25.00
20120	BOCI Asia Ltd. - China Tel European Warrants Oct 2017 A	100,000,000	2017/01/10	2017/10/30	27.00
20234	BOCI Asia Ltd. - Link REIT European Warrants Oct 2017 A	100,000,000	2017/01/10	2017/10/23	29.20
20267	BOCI Asia Ltd. - PICC European Warrants Jul 2018 A	100,000,000	2017/01/10	2018/07/30	15.50
20425	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2017 A	60,000,000	2017/01/10	2017/11/02	12.00
20406	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jul 2018 A	50,000,000	2017/01/10	2018/07/04	14.00
20396	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Aug 2017 D	80,000,000	2017/01/10	2017/08/30	21.60
20407	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jan 2018 A	40,000,000	2017/01/10	2018/01/03	10.80
20104	Macquarie Bank Ltd. - AIA European Warrants Aug 2017 A	34,000,000	2017/01/10	2017/08/02	10.20
20485	Macquarie Bank Ltd. - Minsheng Bank European Wts Aug 2017 A	25,000,000	2017/01/10	2017/08/02	10.00
20113	Macquarie Bank Ltd. - China Mobile European Wts Aug 2017 A	29,000,000	2017/01/10	2017/08/02	10.12
20300	Macquarie Bank Ltd. - HSBC European Warrants Aug 2017 A	29,000,000	2017/01/10	2017/08/02	10.06
20351	Macquarie Bank Ltd. - HSBC European Warrants Aug 2017 B	9,200,000	2017/01/10	2017/08/02	10.03
20532	UBS AG - China Cinda European Warrants Aug 2017 A	50,000,000	2017/01/10	2017/08/29	10.15
20494	UBS AG - CITIC Sec European Warrants Aug 2017 A	70,000,000	2017/01/10	2017/08/28	10.50
20551	UBS AG - Link REIT European Warrants Jul 2017 A	70,000,000	2017/01/10	2017/07/24	11.83
20967	Haitong Int'l Sec - BOCL European Warrants Sep 2017 A	40,000,000	2017/01/11	2017/09/27	10.00
20713	Haitong Int'l Sec - CKH European Warrants Jul 2017 A	40,000,000	2017/01/11	2017/07/11	10.00
20805	Haitong Int'l Sec - Sinopec Corp European Wts Sep 2017 A	30,000,000	2017/01/11	2017/09/29	10.20
20900	Haitong Int'l Sec - CSOP A50 ETF European Warrants Oct 2018A	90,000,000	2017/01/11	2018/10/03	13.50
20961	Haitong Int'l Sec - A50 China European Warrants Jul 2017 A	25,000,000	2017/01/11	2017/07/11	12.00
20747	Haitong Int'l Sec - HSBC European Warrants Oct 2017 A	40,000,000	2017/01/11	2017/10/03	10.00
20858	Haitong Int'l Sec - ICBC European Warrants Jul 2017 B	65,000,000	2017/01/11	2017/07/27	10.40
20816	Haitong Int'l Sec - PetroChina European Warrants Aug 2017 A	30,000,000	2017/01/11	2017/08/30	10.80
20872	Haitong Int'l Sec - Ping An European Warrants Nov 2017 A	40,000,000	2017/01/11	2017/11/29	10.00
20813	Haitong Int'l Sec - Tencent European Warrants Jun 2017 B	70,000,000	2017/01/11	2017/06/28	10.50
20552	Macquarie Bank Ltd. - BYD European Warrants Aug 2017 A	25,000,000	2017/01/11	2017/08/02	10.00
21092	UBS AG - BOC HK European Warrants Dec 2017 A	50,000,000	2017/01/11	2017/12/20	10.30
21023	UBS AG - China National Building European Wts Jun 2017 A	40,000,000	2017/01/11	2017/06/26	10.00
21129	UBS AG - Lenovo European Warrants Jul 2017 A	50,000,000	2017/01/11	2017/07/24	15.80
21048	UBS AG - PICC European Warrants Jul 2018 A	60,000,000	2017/01/11	2018/07/27	10.62
11046 #	Goldman Sachs SP (Asia) - HKEX European Warrants Apr 2017 A	150,000,000	2017/01/11	2017/04/24	12.75
21347	BOCI Asia Ltd. - China Shenhua European Warrants Oct 2017 A	100,000,000	2017/01/12	2017/10/26	16.10
21316	BOCI Asia Ltd. - JIANG European Warrants Nov 2017 A	100,000,000	2017/01/12	2017/11/28	15.00
21326	BOCI Asia Ltd. - KECL European Warrants Sep 2017 A	100,000,000	2017/01/12	2017/09/29	32.00
21511	Goldman Sachs SP (Asia) - HKEX European Warrants Nov 2017 A	200,000,000	2017/01/12	2017/11/28	30.00
21384	HK Bank - A50 China European Warrants Jun 2017 B	30,000,000	2017/01/12	2017/06/28	18.00
21416	HK Bank - A50 China European Warrants Jul 2017 A	30,000,000	2017/01/12	2017/07/31	12.00
21431	HK Bank - HSI European Warrants Jun 2017 H	150,000,000	2017/01/12	2017/06/29	22.50

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21450	HK Bank - HSI European Put Warrants Jun 2017 I	150,000,000	2017/01/12	2017/06/29	22.50
21452	HK Bank - HSI European Put Warrants Jul 2017 B	150,000,000	2017/01/12	2017/07/28	22.50
21304	Haitong Int'l Sec - China State Con European Warrants Dec17B	68,000,000	2017/01/12	2017/12/22	10.47
21305	Haitong Int'l Sec - GCL-Poly European Warrants Sep 2017 A	68,000,000	2017/01/12	2017/09/26	10.20
21212	Haitong Int'l Sec - Lenovo European Warrants Dec 2017 A	40,000,000	2017/01/12	2017/12/28	10.04
21284	Haitong Int'l Sec - Mengniu Dairy European Wts Feb 2018 A	40,000,000	2017/01/12	2018/02/08	10.16
21286	Haitong Int'l Sec - Sh Electric European Warrants Mar 2018 A	18,000,000	2017/01/12	2018/03/28	11.25
21604	J P Morgan SP BV - HSI European Put Warrants Jun 2017 F	400,000,000	2017/01/12	2017/06/29	60.80
21593	J P Morgan SP BV - Lenovo European Warrants May 2017 A	80,000,000	2017/01/12	2017/05/02	12.08
21490	Macquarie Bank Ltd. - China Coal European Wts Dec 2017 A	21,000,000	2017/01/12	2017/12/04	10.04
21483	Macquarie Bank Ltd. - Sinopec Corp European Wts Oct 2017 A	31,000,000	2017/01/12	2017/10/04	10.04
21349	Macquarie Bank Ltd. - HSBC European Warrants Jul 2017 A	70,000,000	2017/01/12	2017/07/05	10.50
21501	Macquarie Bank Ltd. - Sands China European Wts Oct 2017 A	40,000,000	2017/01/12	2017/10/04	10.00
21379	Macquarie Bank Ltd. - Sands China European Put Wts Sep 2017 A	36,000,000	2017/01/12	2017/09/04	10.08
21572	UBS AG - CNOOC European Put Warrants Jul 2017 B	30,000,000	2017/01/12	2017/07/11	12.00
21591	UBS AG - HSI European Put Warrants May 2017 D	300,000,000	2017/01/12	2017/05/29	68.70
21536	UBS AG - Sunny Optical European Warrants Jul 2017 A	30,000,000	2017/01/12	2017/07/11	12.72
21994	BOCI Asia Ltd. - Sinopec Corp European Warrants Jul 2017 B	100,000,000	2017/01/13	2017/07/24	26.00
22007	BOCI Asia Ltd. - PetroChina European Warrants Jun 2017 A	100,000,000	2017/01/13	2017/06/21	30.10
21916	BNP Paribas Arbit Issu B.V. - AIA European Wts Jan 2019 A	40,000,000	2017/01/13	2019/01/03	10.00
21656	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Oct 2018 A	40,000,000	2017/01/13	2018/10/03	10.00
21673	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jan 2019 A	40,000,000	2017/01/13	2019/01/03	10.00
21983	BNP Paribas Arbit Issu B.V. - China Life Euro Wts May 2018 A	70,000,000	2017/01/13	2018/05/02	10.50
21648	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2019 A	60,000,000	2017/01/13	2019/01/03	15.60
21974	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Apr 2018 A	40,000,000	2017/01/13	2018/04/04	10.00
21969	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Apr 2018 A	40,000,000	2017/01/13	2018/04/04	10.00
21606	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2019 A	40,000,000	2017/01/13	2019/01/03	10.00
21726	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jan 2018 A	40,000,000	2017/01/13	2018/01/03	10.00
21780	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jan 2019 A	40,000,000	2017/01/13	2019/01/03	10.40
21819	HK Bank - China Life European Warrants Sep 2017 A	150,000,000	2017/01/13	2017/09/26	22.50
21816	Macquarie Bank Ltd. - China Life European Wts Aug 2017 A	10,000,000	2017/01/13	2017/08/02	10.00
21964	Macquarie Bank Ltd. - Kingsoft European Warrants Aug 2017 A	40,000,000	2017/01/13	2017/08/02	10.00
22082	UBS AG - Link REIT European Put Warrants Jul 2017 B	40,000,000	2017/01/13	2017/07/12	10.00
22176	Credit Suisse AG - China Life European Warrants Oct 2017 A	80,000,000	2017/01/16	2017/10/04	12.40
22089	Haitong Int'l Sec - KECL European Warrants Aug 2017 A	40,000,000	2017/01/16	2017/08/18	10.00
22083	Haitong Int'l Sec - Link REIT European Put Warrants Jul 17 A	40,000,000	2017/01/16	2017/07/17	10.00
22090	Haitong Int'l Sec - PetroChina European Warrants Jul 2017 A	40,000,000	2017/01/16	2017/07/18	10.00
22160	Macquarie Bank Ltd. - HKEX European Warrants Aug 2017 A	40,000,000	2017/01/16	2017/08/02	10.00
22198	UBS AG - Ping An European Warrants May 2017 C	100,000,000	2017/01/16	2017/05/15	16.50
22249	UBS AG - SMIC European Warrants Jul 2017 A	60,000,000	2017/01/16	2017/07/17	15.00
22238	UBS AG - SMIC European Warrants Dec 2017 A	60,000,000	2017/01/16	2017/12/04	15.06
22285	BOCI Asia Ltd. - Ping An European Warrants Aug 2017 B	200,000,000	2017/01/17	2017/08/02	33.20
22359	BNP Paribas Arbit Issu B.V. - AIA European Wts Mar 2018 A	40,000,000	2017/01/17	2018/03/02	10.00
22420	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Apr 2018 A	40,000,000	2017/01/17	2018/04/04	10.80

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22421	BNP Paribas Arbit Issu B.V. - ICBC European Wts Apr 2018 A	50,000,000	2017/01/17	2018/04/04 13.50
22761	Credit Suisse AG - KECL European Warrants Jul 2017 A	35,000,000	2017/01/17	2017/07/31 11.20
22777	Credit Suisse AG - Ping An European Warrants May 2017 B	70,000,000	2017/01/17	2017/05/31 10.50
22757	Credit Suisse AG - SMIC European Warrants Oct 2017 A	70,000,000	2017/01/17	2017/10/31 17.50
22863	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2017 B	150,000,000	2017/01/17	2017/07/28 22.50
22854	Goldman Sachs SP (Asia) - HSI European Put Wts May 2017 C	150,000,000	2017/01/17	2017/05/29 22.50
22782	HK Bank - Geely Auto European Warrants Oct 2017 A	150,000,000	2017/01/17	2017/10/04 22.50
22466	HK Bank - Tencent European Warrants Aug 2017 A	150,000,000	2017/01/17	2017/08/30 22.50
22642	Haitong Int'l Sec - China Agri European Warrants Aug 2017 A	20,000,000	2017/01/17	2017/08/28 10.20
22568	Haitong Int'l Sec - China EB Ltd European Warrants Dec 2017A	40,000,000	2017/01/17	2017/12/28 10.00
22624	Haitong Int'l Sec - China Travel HK European Warrants Dec17A	30,000,000	2017/01/17	2017/12/28 11.55
22646	Haitong Int'l Sec - Fosun Intl European Warrants Nov 2017 A	68,000,000	2017/01/17	2017/11/30 10.20
22755	Haitong Int'l Sec - Fosun Intl European Put Warrants Jul 18A	40,000,000	2017/01/17	2018/07/18 10.08
22750	Haitong Int'l Sec - Guangshen Rail European Warrants Dec 18A	80,000,000	2017/01/17	2018/12/31 20.00
22731	Haitong Int'l Sec - Kingdee Int'l European Warrants Dec 17 A	20,000,000	2017/01/17	2017/12/28 10.80
22534	Haitong Int'l Sec - Poly Property European Warrants Dec 17 A	25,000,000	2017/01/17	2017/12/18 10.88
22719	Haitong Int'l Sec - Stanchart European Warrants Jun 2017 A	50,000,000	2017/01/17	2017/06/28 11.00
22622	Haitong Int'l Sec - WH Group European Warrants Jan 2019 A	50,000,000	2017/01/17	2019/01/08 12.50
22519	Macquarie Bank Ltd. - HSBC European Warrants Jan 2019 A	38,000,000	2017/01/17	2019/01/04 10.18
22473	Macquarie Bank Ltd. - Ping An European Warrants May 2017 C	60,000,000	2017/01/17	2017/05/04 10.68
22509	Macquarie Bank Ltd. - Tencent European Warrants Aug 2017 D	10,000,000	2017/01/17	2017/08/02 13.63
22357	SG Issuer - Lenovo European Warrants Oct 2017 A	30,000,000	2017/01/17	2017/10/06 10.05
23146	Credit Suisse AG - AIA European Warrants Dec 2017 A	70,000,000	2017/01/18	2017/12/29 10.50
23164	Credit Suisse AG - China Life European Put Wts Jul 2017 A	70,000,000	2017/01/18	2017/07/03 10.50
23125	Credit Suisse AG - China Mobile European Warrants Jul 2017 B	65,000,000	2017/01/18	2017/07/04 10.40
23154	Credit Suisse AG - HSI European Warrants Jul 2017 B	120,000,000	2017/01/18	2017/07/28 18.00
23121	Credit Suisse AG - Tencent Holdings European Wts Aug 2017 B	150,000,000	2017/01/18	2017/08/31 23.25
23010	HK Bank - AIA European Warrants Jun 2017 C	80,000,000	2017/01/18	2017/06/28 12.80
23012	HK Bank - Sands China European Warrants Jul 2017 C	50,000,000	2017/01/18	2017/07/31 12.50
22964	Haitong Int'l Sec - China Power European Warrants Dec 2017 A	30,000,000	2017/01/18	2017/12/18 10.89
22926	Haitong Int'l Sec - Ch South City European Warrants Jan 18 A	30,000,000	2017/01/18	2018/01/29 10.68
22923	Haitong Int'l Sec - SMIC European Warrants Nov 2017 A	50,000,000	2017/01/18	2017/11/08 12.65
22954	Haitong Int'l Sec - Goldwind European Warrants Sep 2017 A	40,000,000	2017/01/18	2017/09/28 10.12
22991	Haitong Int'l Sec - Zijin Mining European Warrants Aug 2017A	40,000,000	2017/01/18	2017/08/08 10.00
22877	J P Morgan SP BV - HSI European Put Warrants Jul 2017 D	400,000,000	2017/01/18	2017/07/28 60.40
22876	J P Morgan SP BV - Tencent European Put Warrants Jul 2017 B	200,000,000	2017/01/18	2017/07/31 30.00
23031	Macquarie Bank Ltd. - Xinyi Solar European Warrants May 18 A	18,000,000	2017/01/18	2018/05/16 10.10
23090	SG Issuer - Ping An European Put Warrants Aug 2017 C	100,000,000	2017/01/18	2017/08/03 25.00
23114	SG Issuer - Tencent European Put Warrants Jun 2017 C	20,000,000	2017/01/18	2017/06/05 12.00
23036	UBS AG - China Life European Put Warrants Aug 2017 B	70,000,000	2017/01/18	2017/08/29 10.85
23072	UBS AG - China Mengniu European Warrants Dec 2017 A	40,000,000	2017/01/18	2017/12/18 10.00
23042	UBS AG - Wynn Macau European Warrants Jan 2018 A	70,000,000	2017/01/18	2018/01/29 17.57
12749 #	Credit Suisse AG - Tencent Holdings European Wts Jun 2017 B	150,000,000	2017/01/18	2017/06/02 10.50
23229	BOCI Asia Ltd. - China Unicom European Warrants Dec 2017 B	100,000,000	2017/01/19	2017/12/05 15.00

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23168	BOCI Asia Ltd. - Lenovo European Warrants Sep 2017 A	100,000,000	2017/01/19	2017/09/29 25.40
23186	BOCI Asia Ltd. - Sunny Optical European Warrants Jul 2017 A	100,000,000	2017/01/19	2017/07/20 41.50
23327	J P Morgan SP BV - Galaxy Ent European Warrants Aug 2017 C	100,000,000	2017/01/19	2017/08/01 25.10
23310	J P Morgan SP BV - Sands China European Warrants Sep 2017 A	100,000,000	2017/01/19	2017/09/01 25.10
23234	Macquarie Bank Ltd. - CNOOC European Put Warrants Oct 2017 B	25,000,000	2017/01/19	2017/10/04 10.80
23230	Macquarie Bank Ltd. - HKEX European Warrants Aug 2017 B	11,000,000	2017/01/19	2017/08/02 10.01
23453	Credit Suisse AG - Ch Molybdenum European Warrants Jul 2017A	35,000,000	2017/01/20	2017/07/31 10.68
10448	J P Morgan SP BV - N225 Index Euro Wts Sep 2017 A	100,000,000	2017/01/20	2017/09/08 15.20
10450	J P Morgan SP BV - N225 Index Euro Wts Dec 2017 A	100,000,000	2017/01/20	2017/12/08 25.60
10449	J P Morgan SP BV - N225 Idx Euro Put Wts Sep 2017 B	100,000,000	2017/01/20	2017/09/08 15.70
10451	J P Morgan SP BV - N225 Idx Euro Put Wts Dec 2017 B	100,000,000	2017/01/20	2017/12/08 25.40
23461	J P Morgan SP BV - Techtronic European Warrants Oct 2017 A	60,000,000	2017/01/20	2017/10/03 15.06
23469	J P Morgan SP BV - China Vanke European Warrants Aug 2017 A	100,000,000	2017/01/20	2017/08/01 15.20
23401	SG Issuer - BOCL European Put Warrants Aug 2017 B	60,000,000	2017/01/20	2017/08/03 15.60
23409	SG Issuer - Ch Mob European Warrants Jul 2017 E	60,000,000	2017/01/20	2017/07/05 10.20
23450	SG Issuer - Petch European Warrants Aug 2017 B	60,000,000	2017/01/20	2017/08/03 15.00
23397	UBS AG - CHALCO European Warrants Jul 2017 A	40,000,000	2017/01/20	2017/07/31 10.12
23452	UBS AG - Ch LongYuan European Warrants Jun 2018 A	40,000,000	2017/01/20	2018/06/29 10.00
23334	UBS AG - Lenovo European Warrants Jul 2017 B	50,000,000	2017/01/20	2017/07/31 12.65
23335	UBS AG - Sunny Optical European Warrants Apr 2017 A	70,000,000	2017/01/20	2017/04/24 10.57
23711	Credit Suisse AG - CHALCO European Wts Jul 2017 A	35,000,000	2017/01/23	2017/07/24 10.15
23710	Credit Suisse AG - Lenovo European Warrants Aug 2017 A	40,000,000	2017/01/23	2017/08/07 10.00
23527	Haitong Int'l Sec - BEA European Put Warrants Dec 2017 A	36,000,000	2017/01/23	2017/12/29 10.26
23649	Haitong Int'l Sec - Car Inc European Warrants Dec 2017 A	68,000,000	2017/01/23	2017/12/29 10.20
23617	Haitong Int'l Sec - Dongfeng European Warrants Dec 2017 A	68,000,000	2017/01/23	2017/12/22 10.20
23682	Haitong Int'l Sec - ENN Energy European Warrants Dec 2017 A	25,000,000	2017/01/23	2017/12/22 11.28
23605	Haitong Int'l Sec - Sihuan Pharm European Warrants May 2018A	15,000,000	2017/01/23	2018/05/31 15.00
23679	Haitong Int'l Sec - SUNAC European Warrants Nov 2018 A	68,000,000	2017/01/23	2018/11/12 10.74
23559	Haitong Int'l Sec - Shenzhen Int'l European Warrants Dec 18A	50,000,000	2017/01/23	2018/12/18 12.90
23828	J P Morgan SP BV - Ali Pictures European Warrants Nov 2017 A	50,000,000	2017/01/23	2017/11/03 13.90
23790	J P Morgan SP BV - ICBC European Put Wts Aug 2017 A	100,000,000	2017/01/23	2017/08/02 27.30
23700	Macquarie Bank Ltd. - Lenovo European Warrants Sep 2017 A	40,000,000	2017/01/23	2017/09/04 10.00
23476	SG Issuer - AIA European Warrants Dec 2017 A	80,000,000	2017/01/23	2017/12/05 20.00
23478	SG Issuer - HSI European Warrants Dec 2017 C	150,000,000	2017/01/23	2017/12/28 37.50
23705	SG Issuer - HSI European Put Warrants Sep 2017 C	150,000,000	2017/01/23	2017/09/28 37.50
23486	SG Issuer - Tracker Fund European Warrants Apr 2018 A	100,000,000	2017/01/23	2018/04/06 25.00
23713	UBS AG - China EB Int'l European Warrants Dec 2017 A	70,000,000	2017/01/23	2017/12/11 10.50
23755	UBS AG - HSI European Warrants Jun 2017 C	300,000,000	2017/01/23	2017/06/29 45.00
23746	UBS AG - Lenovo European Put Warrants Aug 2017 A	30,000,000	2017/01/23	2017/08/07 11.25
23875	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2017 C	200,000,000	2017/01/24	2017/07/28 30.00
23856	Goldman Sachs SP (Asia) - Ping An European Wts May 2017 B	120,000,000	2017/01/24	2017/05/25 18.00
23897	Macquarie Bank Ltd. - CNOOC European Put Warrants Aug 2017 A	20,000,000	2017/01/24	2017/08/02 12.38
23926	Macquarie Bank Ltd. - Guotai Junani European Warrants Feb18A	16,000,000	2017/01/24	2018/02/02 10.05
23996	Macquarie Bank Ltd. - Tencent European Warrants Aug 2017 E	10,000,000	2017/01/24	2017/08/02 28.50

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23998	SG Issuer - Evergrande Eu Wt Aug 2017 A	27,000,000	2017/01/24	2017/08/03	10.13
23999	SG Issuer - SMIC European Warrants Nov 2017 A	70,000,000	2017/01/24	2017/11/03	14.35
24006	SG Issuer - Stanchart European Warrants Jul 2017 A	21,000,000	2017/01/24	2017/07/05	10.08
23933	UBS AG - ICBC European Put Warrants Aug 2017 A	70,000,000	2017/01/24	2017/08/02	20.37
23837	UBS AG - Ping An European Warrants Apr 2017 E	100,000,000	2017/01/24	2017/04/27	24.30
23943	UBS AG - Tencent Holdings European Warrants May 2017 B	300,000,000	2017/01/24	2017/05/31	45.00
24423	Credit Suisse AG - HSBC European Wts May 2017 B	100,000,000	2017/01/25	2017/05/22	15.00
24411	Credit Suisse AG - Techtronic European Warrants Aug 2017 A	50,000,000	2017/01/25	2017/08/31	12.50
24011	HK Bank - HSI European Put Warrants Jul 2017 C	150,000,000	2017/01/25	2017/07/28	22.50
24148	Haitong Int'l Sec - Ali Pictures European Warrants Oct 2017A	40,000,000	2017/01/25	2017/10/30	10.40
24162	Haitong Int'l Sec - Brilliance Chi European Warrants Jan 18A	50,000,000	2017/01/25	2018/01/18	12.85
24012	Haitong Int'l Sec - China Gas Hold European Warrants Dec 17A	50,000,000	2017/01/25	2017/12/18	12.50
24319	Haitong Int'l Sec - CICC European Warrants Aug 2017 A	60,000,000	2017/01/25	2017/08/25	10.20
24024	Haitong Int'l Sec - CMS European Warrants Mar 2018 A	36,000,000	2017/01/25	2018/03/08	11.92
24198	Haitong Int'l Sec - COSCO Ship European Warrants Nov 2017 A	18,000,000	2017/01/25	2017/11/30	10.62
24302	Haitong Int'l Sec - Guotai Junani European Warrants Jan 18 A	25,000,000	2017/01/25	2018/01/18	12.00
24371	Haitong Int'l Sec - Haitong Sec European Warrants Oct 2017 A	68,000,000	2017/01/25	2017/10/30	10.68
24021	Haitong Int'l Sec - Techtronic European Warrants Aug 2017 A	40,000,000	2017/01/25	2017/08/18	10.00
24581	J P Morgan SP BV - CKH European Put Warrants Jun 2017 B	60,000,000	2017/01/25	2017/06/02	12.48
24604	J P Morgan SP BV - Geely Auto European Warrants Sep 2017 A	80,000,000	2017/01/25	2017/09/29	13.36
24528	J P Morgan SP BV - HSBC European Put Warrants Jun 2017 B	100,000,000	2017/01/25	2017/06/01	15.10
24592	J P Morgan SP BV - HSI European Put Warrants Jun 2017 G	300,000,000	2017/01/25	2017/06/29	45.00
24387	Macquarie Bank Ltd. - China Agri European Wts Apr 2018 A	13,000,000	2017/01/25	2018/04/04	10.02
24383	Macquarie Bank Ltd. - Ch Molybdenum European Wts Feb 2018 A	30,000,000	2017/01/25	2018/02/15	10.11
24386	Macquarie Bank Ltd. - Link REIT European Warrants Jan 2018 A	31,000,000	2017/01/25	2018/01/03	11.78
24850	Credit Suisse AG - Dongfeng Motor European Warrants Jul 17 A	25,000,000	2017/01/26	2017/07/31	13.25
24762	Goldman Sachs SP (Asia) - China Life Euro Wts May 2017 A	120,000,000	2017/01/26	2017/05/26	18.00
24726	HK Bank - Geely Auto European Warrants Jun 2018 A	150,000,000	2017/01/26	2018/06/29	37.50
24706	HK Bank - Lenovo European Warrants Sep 2017 A	50,000,000	2017/01/26	2017/09/29	14.00
24715	HK Bank - SHK Ppt European Warrants Jul 2017 A	40,000,000	2017/01/26	2017/07/31	12.00
24839	J P Morgan SP BV - BEA European Warrants Dec 2017 A	40,000,000	2017/01/26	2017/12/29	10.00
24844	J P Morgan SP BV - HS Bank European Warrants Aug 2017 A	30,000,000	2017/01/26	2017/08/01	14.43
24837	J P Morgan SP BV - Kingdee Intl European Warrants Sep 2017 A	40,000,000	2017/01/26	2017/09/29	12.32
24813	Macquarie Bank Ltd. - CRPH European Warrants Apr 2018 A	40,000,000	2017/01/26	2018/04/16	10.00
24767	Macquarie Bank Ltd. - SHK Ppt European Put Wts Dec 2017 A	15,000,000	2017/01/26	2017/12/04	10.43
24695	Macquarie Bank Ltd. - Tencent European Warrants Sep 2017 A	25,000,000	2017/01/26	2017/09/04	10.03
24789	Macquarie Bank Ltd. - Towngas China European Wts Nov 2017 A	30,000,000	2017/01/26	2017/11/15	10.20
24679	SG Issuer - Geely Auto European Warrants Dec 2018 B	100,000,000	2017/01/26	2018/12/31	20.00
24690	SG Issuer - PICC P&C European Warrants Aug 2017 B	12,000,000	2017/01/26	2017/08/03	10.08
24667	UBS AG - China Life European Warrants Aug 2017 C	100,000,000	2017/01/26	2017/08/29	15.00
24741	UBS AG - Geely Auto European Warrants Jul 2017 B	40,000,000	2017/01/26	2017/07/31	27.20
24730	UBS AG - Geely Auto European Put Warrants Jul 2017 A	20,000,000	2017/01/26	2017/07/25	13.60
24863	BOCI Asia Ltd. - BOC HK European Warrants Dec 2017 A	100,000,000	2017/01/27	2017/12/20	20.40
24879	BOCI Asia Ltd. - China Life European Warrants Jun 2017 A	200,000,000	2017/01/27	2017/06/05	30.00

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24858	BOCI Asia Ltd. - PetroChina European Warrants Jul 2017 B	100,000,000	2017/01/27	2017/07/31 25.50
25001	Credit Suisse AG - Geely Auto European Warrants Jul 2017 A	25,000,000	2017/01/27	2017/07/31 21.50
25028	Credit Suisse AG - Geely Auto European Warrants Nov 2018 A	100,000,000	2017/01/27	2018/11/30 25.00
25024	Credit Suisse AG - Geely Auto European Put Warrants Jul 17 B	20,000,000	2017/01/27	2017/07/31 12.40
24927	Goldman Sachs SP (Asia) - HKEX European Warrants Dec 2017 A	200,000,000	2017/01/27	2017/12/20 30.00
24956	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2017 F	80,000,000	2017/01/27	2017/06/12 12.00
25073	J P Morgan SP BV - China Agri European Warrants Jul 2017 A	30,000,000	2017/01/27	2017/07/31 11.16
25065	J P Morgan SP BV - China East Air European Warrants Nov 17 A	30,000,000	2017/01/27	2017/11/30 17.52
25175	J P Morgan SP BV - CRPH European Warrants Feb 2018 A	60,000,000	2017/01/27	2018/02/28 15.30
25168	J P Morgan SP BV - Foxconn European Warrants Oct 2017 A	40,000,000	2017/01/27	2017/10/31 10.08
25217	J P Morgan SP BV - Geely Auto European Warrants Jul 2017 A	80,000,000	2017/01/27	2017/07/31 50.24
25099	J P Morgan SP BV - Guotai Junani European Warrants Dec 2017A	40,000,000	2017/01/27	2017/12/29 18.32
25045	J P Morgan SP BV - SUNAC European Warrants Nov 2018 A	80,000,000	2017/01/27	2018/11/09 12.80
25142	J P Morgan SP BV - Travelsky Tech European Warrants Dec 17 A	60,000,000	2017/01/27	2017/12/13 16.02
24881	SG Issuer - Geely Auto European Warrants Feb 2018 A	100,000,000	2017/01/27	2018/02/05 25.00
24905	SG Issuer - Geely Auto European Warrants May 2018 B	100,000,000	2017/01/27	2018/05/21 25.00
24919	UBS AG - China Life European Warrants Oct 2017 A	100,000,000	2017/01/27	2017/10/23 25.00
25278	BOCI Asia Ltd. - CC Bank European Warrants Jun 2017 A	100,000,000	2017/02/01	2017/06/05 18.00
25355	Credit Suisse AG - Anhui Conch European Warrants Jul 2017 A	70,000,000	2017/02/01	2017/07/04 10.50
25352	Credit Suisse AG - CKH Holdings European Warrants Aug 2017 B	40,000,000	2017/02/01	2017/08/02 10.00
25346	Credit Suisse AG - HKEX European Warrants Jun 2017 E	100,000,000	2017/02/01	2017/06/27 15.00
25265	HK Bank - CNOOC European Warrants Jul 2017 A	40,000,000	2017/02/01	2017/07/31 28.00
25263	HK Bank - Geely Auto European Warrants Jul 2017 A	25,000,000	2017/02/01	2017/07/31 20.00
25238	HK Bank - Sunny Optical European Warrants Jul 2017 A	50,000,000	2017/02/01	2017/07/31 17.50
25408	J P Morgan SP BV - AIA European Warrants Aug 2017 A	100,000,000	2017/02/01	2017/08/31 25.00
25432	J P Morgan SP BV - CGN Power European Warrants Sep 2017 A	60,000,000	2017/02/01	2017/09/01 15.06
25431	J P Morgan SP BV - China Cinda European Warrants Sep 2017 A	60,000,000	2017/02/01	2017/09/01 15.18
25419	J P Morgan SP BV - Geely Auto European Warrants Apr 2018 A	200,000,000	2017/02/01	2018/04/30 50.40
25424	J P Morgan SP BV - MTRC European Warrants Aug 2017 A	70,000,000	2017/02/01	2017/08/01 10.50
25388	Macquarie Bank Ltd. - Cathay Pacific European Wts Jan 2019 A	40,000,000	2017/02/01	2019/01/03 10.00
25406	Macquarie Bank Ltd. - Geely Auto European Wts Dec 2017 A	12,000,000	2017/02/01	2017/12/15 10.76
25362	SG Issuer - CKH European Warrants Aug 2017 B	40,000,000	2017/02/01	2017/08/03 10.00
25219	SG Issuer - CKI Holdings European Warrants Aug 2017 A	25,000,000	2017/02/01	2017/08/03 10.00
25383	SG Issuer - CP&CC European Put Warrants Aug 2017 B	50,000,000	2017/02/01	2017/08/03 17.50
25370	SG Issuer - Galaxy Ent European Put Warrants Aug 2017 C	60,000,000	2017/02/01	2017/08/03 16.80
25372	SG Issuer - PetroChina European Put Warrants Aug 2017 C	50,000,000	2017/02/01	2017/08/03 17.75
25284	UBS AG - Greatwall Motor European Warrants Feb 2018 A	100,000,000	2017/02/01	2018/02/20 15.30
25340	UBS AG - Kingsoft European Warrants Sep 2017 A	100,000,000	2017/02/01	2017/09/18 20.30
25718	Credit Suisse AG - AIA European Warrants Aug 2017 A	70,000,000	2017/02/02	2017/08/31 17.50
25746	Credit Suisse AG - CHALCO European Wts Aug 2017 A	35,000,000	2017/02/02	2017/08/01 10.50
25738	Credit Suisse AG - Ch Molybdenum European Warrants Aug 2017A	40,000,000	2017/02/02	2017/08/01 10.40
25731	Credit Suisse AG - CNOOC European Warrants Aug 2017 A	40,000,000	2017/02/02	2017/08/01 16.00
25751	Credit Suisse AG - Greatwall Motor European Wts Feb 2018 A	100,000,000	2017/02/02	2018/02/28 15.00
25649	Credit Suisse AG - Jiangxi Copper European Wts Aug 2017 A	20,000,000	2017/02/02	2017/08/01 17.80

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25459	HK Bank - Geely Auto European Warrants Aug 2017 A	30,000,000	2017/02/02	2017/08/01	48.00
25499	HK Bank - Geely Auto European Warrants Aug 2017 B	30,000,000	2017/02/02	2017/08/31	42.00
25456	HK Bank - Geely Auto European Warrants Oct 2017 B	100,000,000	2017/02/02	2017/10/31	25.00
25437	HK Bank - Jiangxi Copper European Warrants Dec 2017 A	100,000,000	2017/02/02	2017/12/01	16.00
25515	Haitong Int'l Sec - Anhui Conch European Warrants Sep 2017 A	40,000,000	2017/02/02	2017/09/25	10.00
25556	Haitong Int'l Sec - CHALCO European Warrants Aug 2017 A	35,000,000	2017/02/02	2017/08/03	10.85
25520	Haitong Int'l Sec - BBMG European Warrants Aug 2017 A	30,000,000	2017/02/02	2017/08/18	10.50
25519	Haitong Int'l Sec - Ch Molybdenum European Warrants Aug 17 A	40,000,000	2017/02/02	2017/08/08	11.20
25581	Haitong Int'l Sec - Tencent European Warrants Aug 2017 A	70,000,000	2017/02/02	2017/08/29	10.50
25530	Haitong Int'l Sec - Travelsky Tech European Warrants Dec17 A	40,000,000	2017/02/02	2017/12/20	10.00
25815	J P Morgan SP BV - CHALCO European Warrants Sep 2017 A	60,000,000	2017/02/02	2017/09/01	21.00
25816	J P Morgan SP BV - China Coal European Warrants Aug 2017 A	40,000,000	2017/02/02	2017/08/02	10.36
25771	J P Morgan SP BV - CNOOC European Warrants Aug 2017 A	100,000,000	2017/02/02	2017/08/02	26.30
25800	J P Morgan SP BV - Sinopec Corp European Warrants Jun 2017 A	100,000,000	2017/02/02	2017/06/30	15.00
25812	J P Morgan SP BV - JX Copper European Warrants Mar 2018 A	60,000,000	2017/02/02	2018/03/01	11.76
25817	J P Morgan SP BV - PICC European Warrants Jul 2018 A	100,000,000	2017/02/02	2018/07/31	15.40
25768	J P Morgan SP BV - China Vanke European Put Warrants Aug17 B	60,000,000	2017/02/02	2017/08/02	15.06
25555	Macquarie Bank Ltd. - Chinas Cement European Wt Mar 2018 A	26,000,000	2017/02/02	2018/03/02	10.37
25592	Macquarie Bank Ltd. - Chi Res Land European Wts Jul 2018 A	40,000,000	2017/02/02	2018/07/04	10.00
25542	Macquarie Bank Ltd. - Hang Seng Bank European Wts Jan 2018 A	15,000,000	2017/02/02	2018/01/03	10.58
25586	Macquarie Bank Ltd. - New World Dev European Wts Aug 2018 A	10,000,000	2017/02/02	2018/08/02	11.06
25500	Macquarie Bank Ltd. - Tencent European Warrants Sep 2017 B	10,000,000	2017/02/02	2017/09/04	10.00
25503	Macquarie Bank Ltd. - Tencent European Warrants Sep 2017 C	10,000,000	2017/02/02	2017/09/04	19.73
25615	SG Issuer - CHALCO European Warrants Sep 2017 A	25,000,000	2017/02/02	2017/09/05	10.00
25637	SG Issuer - CCoal European Warrants Sep 2017 A	25,000,000	2017/02/02	2017/09/05	10.00
25506	UBS AG - CKH European Warrants May 2017 A	70,000,000	2017/02/02	2017/05/29	11.06
25513	UBS AG - China National Building European Wts Aug 2017 A	40,000,000	2017/02/02	2017/08/01	13.44
25879	Credit Suisse AG - China Coal European Warrants Aug 2017 A	38,000,000	2017/02/03	2017/08/02	10.26
25875	Credit Suisse AG - CNBM European Warrants Aug 2017 A	26,000,000	2017/02/03	2017/08/02	10.01
25884	Credit Suisse AG - Tencent Holdings Euro Put Wts Jun 2017 D	100,000,000	2017/02/03	2017/06/12	15.00
25880	Credit Suisse AG - Tencent Holdings Euro Put Wts Mar 2018 A	100,000,000	2017/02/03	2018/03/27	15.00
25851	HK Bank - China Mobile European Warrants Sep 2017 A	50,000,000	2017/02/03	2017/09/29	12.50
25863	HK Bank - Geely Auto European Put Warrants Apr 2018 A	100,000,000	2017/02/03	2018/04/30	25.00
25920	J P Morgan SP BV - AIA European Put Warrants Sep 2017 A	100,000,000	2017/02/03	2017/09/01	25.40
25925	J P Morgan SP BV - Ch Molybdenum European Warrants Aug 2017A	60,000,000	2017/02/03	2017/08/02	15.18
25934	J P Morgan SP BV - Nine Dragons European Warrants Dec 2018 A	100,000,000	2017/02/03	2018/12/31	25.20
25911	J P Morgan SP BV - Tencent European Warrants May 2017 B	100,000,000	2017/02/03	2017/05/02	34.90
25865	Macquarie Bank Ltd. - CHALCO European Warrants Jan 2018 A	18,000,000	2017/02/03	2018/01/03	10.33
25864	Macquarie Bank Ltd. - Stanchart European Warrants Sep 2017 A	25,000,000	2017/02/03	2017/09/04	10.00
25872	SG Issuer - Stanchart European Warrants Aug 2017 B	20,000,000	2017/02/03	2017/08/03	10.00
25833	UBS AG - Ping An European Put Warrants May 2017 D	70,000,000	2017/02/03	2017/05/26	10.50
26100	BOCI Asia Ltd. - Geely Auto European Warrants Feb 2018 A	100,000,000	2017/02/06	2018/02/05	25.00
26128	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Oct 2017 B	40,000,000	2017/02/06	2017/10/04	24.40
26125	BNP Paribas Arbit Issu B.V. - HSBC European Wts Sep 2017 A	50,000,000	2017/02/06	2017/09/04	13.00

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26145	BNP Paribas Arbit Issu B.V. - Stanchart Euro Wts Jan 2018 A	40,000,000	2017/02/06	2018/01/03 20.00
26120	BNP Paribas Arbit Issu B.V. - SUNAC European Warrants Apr19A	120,000,000	2017/02/06	2019/04/02 30.00
26208	Credit Suisse AG - AIA European Put Warrants Aug 2017 B	50,000,000	2017/02/06	2017/08/31 12.50
26202	Credit Suisse AG - China Mobile European Put Wts Jul 2017 C	45,000,000	2017/02/06	2017/07/03 10.22
25982	Goldman Sachs SP (Asia) - AIA European Warrants Aug 2017 A	80,000,000	2017/02/06	2017/08/08 20.00
25973	Goldman Sachs SP (Asia) - China Life Euro Put Wts Jun 2017 C	80,000,000	2017/02/06	2017/06/27 12.00
25963	Goldman Sachs SP (Asia) - CNOOC European Warrants May 2017 A	50,000,000	2017/02/06	2017/05/08 12.50
25938	Goldman Sachs SP (Asia) - PetroChina European Wts Jul 2017 A	50,000,000	2017/02/06	2017/07/28 12.50
26079	HK Bank - CM Bank European Warrants Aug 2017 A	80,000,000	2017/02/06	2017/08/31 12.00
26099	HK Bank - HSI European Put Warrants May 2017 B	150,000,000	2017/02/06	2017/05/29 22.50
26096	HK Bank - HSI European Put Warrants Jun 2017 J	150,000,000	2017/02/06	2017/06/29 22.50
26075	HK Bank - Stanchart European Warrants Sep 2017 A	40,000,000	2017/02/06	2017/09/29 16.00
26267	J P Morgan SP BV - HSI European Put Warrants Sep 2017 A	300,000,000	2017/02/06	2017/09/28 45.60
26286	J P Morgan SP BV - Stanchart European Warrants Sep 2017 A	60,000,000	2017/02/06	2017/09/01 19.38
26278	J P Morgan SP BV - Tencent European Put Warrants May 2017 C	100,000,000	2017/02/06	2017/05/31 36.10
26275	J P Morgan SP BV - Tencent European Put Warrants Mar 2018 A	200,000,000	2017/02/06	2018/03/29 30.20
26161	Macquarie Bank Ltd. - China Life European Wts Sep 2017 A	20,000,000	2017/02/06	2017/09/04 10.00
26186	Macquarie Bank Ltd. - China Tel European Warrants May 2018 A	35,000,000	2017/02/06	2018/05/03 10.05
26181	Macquarie Bank Ltd. - A50 China European Warrants Oct 2017 A	19,000,000	2017/02/06	2017/10/04 10.22
26189	Macquarie Bank Ltd. - HSBC European Warrants Sep 2017 C	13,000,000	2017/02/06	2017/09/04 10.01
26172	Macquarie Bank Ltd. - Tencent European Warrants Sep 2017 D	40,000,000	2017/02/06	2017/09/04 10.00
26241	SG Issuer - JIANG European Warrants Sep 2017 A	10,000,000	2017/02/06	2017/09/05 10.80
26073	UBS AG - HSBC European Put Warrants Jun 2017 C	100,000,000	2017/02/06	2017/06/26 18.90
25987	UBS AG - Sands China European Warrants Jun 2017 B	70,000,000	2017/02/06	2017/06/28 10.57
26029	UBS AG - Stanchart European Put Warrants Aug 2017 A	30,000,000	2017/02/06	2017/08/07 10.50
26053	UBS AG - Tencent Holdings European Warrants May 2017 C	50,000,000	2017/02/06	2017/05/05 19.40
26058	UBS AG - Tencent Holdings European Put Warrants May 2017 D	50,000,000	2017/02/06	2017/05/26 31.45
26305	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2017 D	80,000,000	2017/02/07	2017/06/28 12.00
26351	J P Morgan SP BV - Ping An European Warrants Jun 2017 D	200,000,000	2017/02/07	2017/06/30 30.00
26337	J P Morgan SP BV - Zall Group European Warrants Dec 2018 A	80,000,000	2017/02/07	2018/12/31 20.08
26316	UBS AG - CHALCO European Warrants Aug 2017 A	40,000,000	2017/02/07	2017/08/07 12.88
26330	UBS AG - HSBC European Warrants Aug 2017 A	100,000,000	2017/02/07	2017/08/29 15.10
26380	Credit Suisse AG - Angang Steel European Warrants Aug 2017 A	25,000,000	2017/02/08	2017/08/08 15.00
26404	Goldman Sachs SP (Asia) - Tencent European Wt May 2017 D	40,000,000	2017/02/08	2017/05/08 10.00
26433	J P Morgan SP BV - Anhui Conch European Warrants Oct 2017 A	100,000,000	2017/02/08	2017/10/31 25.10
26418	J P Morgan SP BV - Angang Steel European Warrants Dec 2018 A	80,000,000	2017/02/08	2018/12/31 20.08
26435	J P Morgan SP BV - JX Copper European Warrants Aug 2018 A	100,000,000	2017/02/08	2018/08/02 25.10
26427	J P Morgan SP BV - PSBC European Warrants Aug 2017 A	40,000,000	2017/02/08	2017/08/07 12.08
26410	J P Morgan SP BV - Sands China European Warrants Aug 2017 A	100,000,000	2017/02/08	2017/08/07 25.30
26367	Macquarie Bank Ltd. - PICC P&C European Warrants Sep 2017 A	15,000,000	2017/02/08	2017/09/04 10.02
26383	UBS AG - PetroChina European Warrants Jul 2017 A	70,000,000	2017/02/08	2017/07/11 10.50
26515	BOCI Asia Ltd. - Tencent Holdings European Put Wts Oct 2017 B	200,000,000	2017/02/09	2017/10/30 30.00
26663	Credit Suisse AG - Angang Steel European Warrants Nov 2018 A	100,000,000	2017/02/09	2018/11/30 25.00
26638	Credit Suisse AG - Ping An European Warrants Jul 2017 C	70,000,000	2017/02/09	2017/07/03 13.30

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26655	Credit Suisse AG - PSBC European Warrants Aug 2017 A	50,000,000	2017/02/09	2017/08/08 12.50
26635	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 G	40,000,000	2017/02/09	2017/07/03 18.20
26660	Credit Suisse AG - Tencent Holdings European Wts Oct 2017 B	150,000,000	2017/02/09	2017/10/04 22.50
26625	Bank of East Asia - HKEX European Warrants Oct 2018 A	40,000,000	2017/02/09	2018/10/05 10.00
26626	Bank of East Asia - HKEX European Put Warrants Oct 2018 B	40,000,000	2017/02/09	2018/10/05 10.00
26624	Bank of East Asia - HSBC European Put Warrants Jan 2018 A	30,000,000	2017/02/09	2018/01/05 10.08
26633	Bank of East Asia - Yanzhou Coal European Wts Aug 2017 A	21,000,000	2017/02/09	2017/08/18 10.29
26632	Bank of East Asia - Zhaojin Mining European Wts Sep 2018 A	40,000,000	2017/02/09	2018/09/28 10.00
26714	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2017 A	80,000,000	2017/02/09	2017/08/30 20.00
26464	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2017 B	150,000,000	2017/02/09	2017/09/26 22.50
26701	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Dec 2017 B	40,000,000	2017/02/09	2017/12/28 12.52
26448	Goldman Sachs Sp (Asia) - ICBC European Warrants Jul 2017 C	80,000,000	2017/02/09	2017/07/28 12.00
26537	HK Bank - Ping An European Warrants Jul 2017 C	80,000,000	2017/02/09	2017/07/27 12.00
26522	HK Bank - SMIC European Warrants Nov 2017 A	80,000,000	2017/02/09	2017/11/01 12.00
26523	HK Bank - Tencent European Put Warrants Jun 2017 C	80,000,000	2017/02/09	2017/06/30 12.00
26584	Haitong Int'l Sec - Angang Steel European Warrants Dec 2018A	80,000,000	2017/02/09	2018/12/18 20.00
26603	Haitong Int'l Sec - China Hongqiao European Warrants Dec18A	60,000,000	2017/02/09	2018/12/18 15.24
26600	Haitong Int'l Sec - China Shenhua European Put Wts Dec17A	60,000,000	2017/02/09	2017/12/29 15.00
26618	Haitong Int'l Sec - Foxconn European Warrants Sep 2017 A	40,000,000	2017/02/09	2017/09/29 10.00
26607	Haitong Int'l Sec - PSBC European Warrants Aug 2017 A	40,000,000	2017/02/09	2017/08/09 10.00
26587	Haitong Int'l Sec - Xinyi Solar European Warrants Dec 2017 A	20,000,000	2017/02/09	2017/12/29 10.20
26694	J P Morgan SP BV - CC Bank European Wts May 2017 A	100,000,000	2017/02/09	2017/05/19 18.90
26695	J P Morgan SP BV - China Mob European Wts Sep 2017 A	100,000,000	2017/02/09	2017/09/15 25.20
26622	Macquarie Bank Ltd. - CPIC European Warrants Dec 2017 A	40,000,000	2017/02/09	2017/12/04 10.00
26581	Macquarie Bank Ltd. - HKEX European Warrants Sep 2017 A	20,000,000	2017/02/09	2017/09/04 10.00
26623	Macquarie Bank Ltd. - Ping An European Warrants Sep 2017 A	40,000,000	2017/02/09	2017/09/04 10.00
26568	Macquarie Bank Ltd. - Tencent European Put Wts Sep 2017 E	10,000,000	2017/02/09	2017/09/04 15.17
26486	SG Issuer - Ping An European Warrants Aug 2017 D	100,000,000	2017/02/09	2017/08/03 15.00
26504	SG Issuer - Sands China European Warrants Sep 2017 A	60,000,000	2017/02/09	2017/09/05 10.20
26619	SG Issuer - Tencent European Warrants Jun 2017 D	30,000,000	2017/02/09	2017/06/05 10.05
26679	UBS AG - Jiangxi Copper European Warrants Jan 2018 A	40,000,000	2017/02/09	2018/01/22 10.00
26765	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jan 2018 A	40,000,000	2017/02/10	2018/01/03 14.80
26750	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2018 A	50,000,000	2017/02/10	2018/01/03 14.00
26751	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jul 2018 A	60,000,000	2017/02/10	2018/07/04 16.20
26732	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2019 B	80,000,000	2017/02/10	2019/01/03 21.60
26910	Credit Suisse AG - HS H-SHARE European Warrants Aug 2017 A	35,000,000	2017/02/10	2017/08/09 10.15
26907	Credit Suisse AG - PCCW European Warrants Aug 2017 A	40,000,000	2017/02/10	2017/08/09 10.00
26913	Credit Suisse AG - Zhaojin Mining European Warrants Aug 17 A	20,000,000	2017/02/10	2017/08/09 13.80
26794	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2017 B	80,000,000	2017/02/10	2017/07/26 12.96
26929	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2017 B	100,000,000	2017/02/10	2017/07/28 15.80
26928	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2017 D	250,000,000	2017/02/10	2017/09/27 37.50
26798	HK Bank - Ping An European Warrants Jun 2017 A	80,000,000	2017/02/10	2017/06/30 12.00
26922	J P Morgan SP BV - HKEX European Warrants Jun 2017 C	100,000,000	2017/02/10	2017/06/01 53.30
26924	J P Morgan SP BV - HTSC European Warrants Mar 2018 A	80,000,000	2017/02/10	2018/03/02 20.08

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26766	Macquarie Bank Ltd. - HKEX European Warrants Sep 2017 B	10,000,000	2017/02/10	2017/09/04 15.35
26799	Macquarie Bank Ltd. - HSBC European Put Warrants Jul 2018 A	34,000,000	2017/02/10	2018/07/04 10.17
26845	Macquarie Bank Ltd. - Sinopec Seg European Wts Dec 2019 A	40,000,000	2017/02/10	2019/12/16 10.00
26777	Macquarie Bank Ltd. - Tencent European Warrants Jan 2020 A	40,000,000	2017/02/10	2020/01/03 10.00
26892	SG Issuer - AGBK European Warrants Jan 2018 A	80,000,000	2017/02/10	2018/01/04 14.80
26906	SG Issuer - SHK P European Warrants Sep 2017 A	20,000,000	2017/02/10	2017/09/05 10.00
26889	UBS AG - Anhui Conch European Warrants Jun 2017 A	70,000,000	2017/02/10	2017/06/27 10.57
26859	UBS AG - CKI Holdings European Warrants Aug 2017 A	40,000,000	2017/02/10	2017/08/09 11.04
26881	UBS AG - China Tel European Warrants Jun 2017 A	70,000,000	2017/02/10	2017/06/28 10.78
26863	UBS AG - Li & Fung European Warrants Sep 2017 A	40,000,000	2017/02/10	2017/09/25 10.04
26855	UBS AG - New World European Warrants Aug 2017 A	40,000,000	2017/02/10	2017/08/09 10.68
22782 #	HK Bank - Geely Auto European Warrants Oct 2017 A	150,000,000	2017/02/10	2017/10/04 38.25
27004	BOCI Asia Ltd. - China Life European Warrants Jan 2018 A	200,000,000	2017/02/13	2018/01/30 36.00
26942	BOCI Asia Ltd. - China Mobile European Warrants Jul 2017 C	100,000,000	2017/02/13	2017/07/31 28.50
26941	BOCI Asia Ltd. - China Mobile European Warrants Aug 2017 A	100,000,000	2017/02/13	2017/08/21 25.00
26938	BOCI Asia Ltd. - Geely Auto European Warrants Jul 2017 A	100,000,000	2017/02/13	2017/07/25 85.30
26952	BOCI Asia Ltd. - HKEX European Warrants Jan 2018 A	200,000,000	2017/02/13	2018/01/29 30.00
27006	BOCI Asia Ltd. - Ping An European Warrants Oct 2017 A	200,000,000	2017/02/13	2017/10/27 50.00
27102	Credit Suisse AG - CKI Holdings European Warrants Aug 2017 A	40,000,000	2017/02/13	2017/08/14 10.00
27088	Credit Suisse AG - China Life European Warrants Jun 2017 B	25,000,000	2017/02/13	2017/06/02 13.50
27101	Credit Suisse AG - China Life European Warrants Jun 2017 C	25,000,000	2017/02/13	2017/06/05 10.25
27104	Goldman Sachs SP (Asia) - China Life Euro Wts Jan 2018 A	100,000,000	2017/02/13	2018/01/29 15.10
26967	HK Bank - CHALCO European Warrants Aug 2017 A	40,000,000	2017/02/13	2017/08/31 12.00
27086	HK Bank - Geely Auto European Warrants Dec 2017 A	180,000,000	2017/02/13	2017/12/29 45.00
26975	HK Bank - Zhaojin Mining European Warrants Dec 2017 A	80,000,000	2017/02/13	2017/12/27 12.00
26989	Haitong Int'l Sec - China Mobile European Warrants Sep 2017 A	40,000,000	2017/02/13	2017/09/18 10.00
26980	Haitong Int'l Sec - Geely Auto European Warrants Aug 2018 A	60,000,000	2017/02/13	2018/08/08 15.00
26981	Haitong Int'l Sec - Geely Auto European Put Warrants Apr 18A	50,000,000	2017/02/13	2018/04/24 10.45
26992	Haitong Int'l Sec - Ping An European Warrants Sep 2017 A	40,000,000	2017/02/13	2017/09/28 11.12
26990	Haitong Int'l Sec - Sands China European Warrants Aug 2017 A	80,000,000	2017/02/13	2017/08/28 13.28
26983	Haitong Int'l Sec - Tianneng Power European Warrants Aug 18A	60,000,000	2017/02/13	2018/08/30 15.00
27108	J P Morgan SP BV - China Life European Warrants Jun 2017 B	60,000,000	2017/02/13	2017/06/01 29.70
27107	J P Morgan SP BV - China Life European Warrants Dec 2017 C	100,000,000	2017/02/13	2017/12/01 15.30
27106	J P Morgan SP BV - China Life European Put Wts Sep 2017 B	100,000,000	2017/02/13	2017/09/04 25.10
27122	J P Morgan SP BV - CPIC European Warrants Oct 2017 A	60,000,000	2017/02/13	2017/10/03 15.18
27118	J P Morgan SP BV - China Taiping European Wts Oct 2017 A	60,000,000	2017/02/13	2017/10/03 15.12
27135	J P Morgan SP BV - Geely Auto European Warrants Sep 2017 B	60,000,000	2017/02/13	2017/09/01 53.70
27127	J P Morgan SP BV - Geely Auto European Warrants Sep 2018 A	200,000,000	2017/02/13	2018/09/03 50.00
27116	J P Morgan SP BV - Ping An European Warrants Nov 2017 A	100,000,000	2017/02/13	2017/11/01 15.10
27117	J P Morgan SP BV - Ping An European Put Warrants Aug 2017 B	100,000,000	2017/02/13	2017/08/02 15.10
27074	Macquarie Bank Ltd. - Kingsoft European Warrants Oct 2017 A	40,000,000	2017/02/13	2017/10/04 10.00
26997	Macquarie Bank Ltd. - Ping An European Warrants Sep 2017 B	40,000,000	2017/02/13	2017/09/04 10.00
27057	Macquarie Bank Ltd. - Sands China European Wts Sep 2017 B	41,000,000	2017/02/13	2017/09/04 10.25
26953	SG Issuer - China Life European Warrants Oct 2017 B	120,000,000	2017/02/13	2017/10/06 19.20

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26955	SG Issuer - China Life European Put Warrants Oct 2017 C	120,000,000	2017/02/13	2017/10/06	31.80
27036	SG Issuer - Ping An European Warrants Nov 2017 B	100,000,000	2017/02/13	2017/11/03	15.00
27007	SG Issuer - Ping An European Warrants Dec 2017 A	100,000,000	2017/02/13	2017/12/05	15.00
27085	UBS AG - AIA European Warrants Aug 2017 A	100,000,000	2017/02/13	2017/08/01	20.20
27083	UBS AG - Stanchart European Warrants Aug 2017 B	50,000,000	2017/02/13	2017/08/28	20.20
10452	BNP Paribas Arbit Issu B.V. - AUD/USD European Wts Dec 2017 A	50,000,000	2017/02/14	2017/12/22	12.50
10453	BNP Paribas Arbit Issu B.V. - AUD/USD Euro Put Wts Dec 2017 B	50,000,000	2017/02/14	2017/12/22	12.50
27227	BNP Paribas Arbit Issu B.V. - BOCL European Wts Apr 2018 A	50,000,000	2017/02/14	2018/04/04	13.50
27229	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Apr 2018 B	50,000,000	2017/02/14	2018/04/04	15.00
27219	BNP Paribas Arbit Issu B.V. - ICBC European Wts Feb 2018 A	50,000,000	2017/02/14	2018/02/02	13.50
27274	Credit Suisse AG - BOCL European Warrants Jul 2017 A	70,000,000	2017/02/14	2017/07/27	10.50
27251	Credit Suisse AG - China Life European Put Wts Sep 2017 C	50,000,000	2017/02/14	2017/09/05	12.50
27259	Credit Suisse AG - CPIC European Warrants Sep 2017 A	40,000,000	2017/02/14	2017/09/29	10.00
27233	Credit Suisse AG - Geely Auto European Warrants Aug 2018 B	100,000,000	2017/02/14	2018/08/30	25.00
27260	Credit Suisse AG - Kingsoft European Warrants Jul 2017 A	70,000,000	2017/02/14	2017/07/03	10.50
27149	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2017 A	100,000,000	2017/02/14	2017/06/27	15.00
27150	Goldman Sachs SP (Asia) - Ping An European Wts Sep 2017 A	100,000,000	2017/02/14	2017/09/26	15.00
27148	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2017 G	200,000,000	2017/02/14	2017/06/30	30.00
27199	HK Bank - CGS European Warrants Dec 2018 A	100,000,000	2017/02/14	2018/12/20	15.00
27176	HK Bank - Geely Auto European Warrants Sep 2017 A	200,000,000	2017/02/14	2017/09/04	50.00
27172	HK Bank - Geely Auto European Warrants Nov 2017 A	200,000,000	2017/02/14	2017/11/30	50.00
27195	HK Bank - Haitong Sec European Warrants Oct 2017 A	100,000,000	2017/02/14	2017/10/31	15.00
27297	J P Morgan SP BV - BOCL European Warrants Sep 2017 A	100,000,000	2017/02/14	2017/09/29	15.70
27302	J P Morgan SP BV - BOCL European Warrants Dec 2017 A	100,000,000	2017/02/14	2017/12/29	25.10
27294	J P Morgan SP BV - ICBC European Warrants Dec 2017 A	100,000,000	2017/02/14	2017/12/01	16.70
27291	J P Morgan SP BV - Kingsoft European Warrants Nov 2017 A	60,000,000	2017/02/14	2017/11/02	15.06
27292	J P Morgan SP BV - Ping An European Warrants Sep 2017 B	100,000,000	2017/02/14	2017/09/01	25.30
27276	J P Morgan SP BV - Sunny Optical European Warrants Sep 2017A	60,000,000	2017/02/14	2017/09/01	22.38
27164	Macquarie Bank Ltd. - Geely Auto European Wts Oct 2017 A	37,000,000	2017/02/14	2017/10/04	10.03
27198	Macquarie Bank Ltd. - Li Ning European Warrants Jan 2018 A	10,000,000	2017/02/14	2018/01/16	10.00
27163	Macquarie Bank Ltd. - Sunny Optical European Warrants Jan18A	15,000,000	2017/02/14	2018/01/15	10.68
27165	SG Issuer - Geely Auto European Warrants Nov 2017 A	100,000,000	2017/02/14	2017/11/03	27.00
27209	UBS AG - China Life European Warrants Jun 2017 B	20,000,000	2017/02/14	2017/06/09	11.24
27210	UBS AG - Chi Res Land European Warrants Mar 2018 A	50,000,000	2017/02/14	2018/03/28	10.70
27213	UBS AG - PICC Group European Warrants Aug 2017 A	40,000,000	2017/02/14	2017/08/14	11.36
27143	UBS AG - Ping An European Warrants Jun 2017 A	100,000,000	2017/02/14	2017/06/27	15.50
27144	UBS AG - Ping An European Warrants Sep 2017 A	100,000,000	2017/02/14	2017/09/27	15.40
27345	BOCI Asia Ltd. - AIA European Warrants Sep 2017 A	100,000,000	2017/02/15	2017/09/26	19.00
27338	BOCI Asia Ltd. - Galaxy Ent European Warrants Aug 2017 A	100,000,000	2017/02/15	2017/08/21	25.00
27355	BOCI Asia Ltd. - HSI European Warrants Jan 2018 A	100,000,000	2017/02/15	2018/01/30	15.10
27357	BOCI Asia Ltd. - HSI European Put Warrants Aug 2017 B	100,000,000	2017/02/15	2017/08/30	15.00
27499	BNP Paribas Arbit Issu B.V. - HKEX European Wts Jun 2018 A	80,000,000	2017/02/15	2018/06/04	12.00
27468	Credit Suisse AG - Chi Res Land European Warrants Dec 2017 A	40,000,000	2017/02/15	2017/12/29	10.00
27442	Credit Suisse AG - China Taiping European Warrants Sep 2017A	40,000,000	2017/02/15	2017/09/29	10.00

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27429	Credit Suisse AG - HKEX European Put Warrants Aug 2017 A	100,000,000	2017/02/15	2017/08/01 15.00
27444	Credit Suisse AG - ICBC European Warrants Oct 2017 A	50,000,000	2017/02/15	2017/10/31 12.50
27428	Credit Suisse AG - Ping An European Warrants Oct 2017 A	70,000,000	2017/02/15	2017/10/03 10.50
27430	Credit Suisse AG - SHK Ppt European Warrants Aug 2017 B	35,000,000	2017/02/15	2017/08/02 10.85
27500	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2017 A	150,000,000	2017/02/15	2017/12/28 37.50
27307	HK Bank - Geely Auto European Put Warrants Dec 2017 B	180,000,000	2017/02/15	2017/12/29 45.00
27392	Haitong Int'l Sec - China Life European Warrants Nov 2017 A	40,000,000	2017/02/15	2017/11/28 10.00
27411	Haitong Int'l Sec - China Life European Put Warrants Oct 17A	40,000,000	2017/02/15	2017/10/03 10.00
27376	Haitong Int'l Sec - CPIC European Warrants Sep 2017 A	40,000,000	2017/02/15	2017/09/29 10.00
27378	Haitong Int'l Sec - China Taiping European Warrants Sep 17 A	40,000,000	2017/02/15	2017/09/28 10.00
27390	Haitong Int'l Sec - Ping An European Warrants Jun 2017 A	70,000,000	2017/02/15	2017/06/30 10.50
27515	J P Morgan SP BV - BOC HK European Warrants May 2018 A	100,000,000	2017/02/15	2018/05/02 25.00
27506	J P Morgan SP BV - CC Bank European Put Wts Sep 2017 A	100,000,000	2017/02/15	2017/09/01 25.20
27516	J P Morgan SP BV - Ch Overseas European Put Wts Oct 2017 A	100,000,000	2017/02/15	2017/10/03 25.40
27517	J P Morgan SP BV - Chi Res Land European Warrants Dec 2017 A	80,000,000	2017/02/15	2017/12/29 20.64
27534	J P Morgan SP BV - R&F Ppt European Warrants Mar 2018 A	70,000,000	2017/02/15	2018/03/26 10.64
27543	J P Morgan SP BV - HKEX European Warrants Jun 2018 A	200,000,000	2017/02/15	2018/06/01 30.40
27546	J P Morgan SP BV - HSI European Put Warrants Oct 2017 A	200,000,000	2017/02/15	2017/10/30 30.20
27505	Macquarie Bank Ltd. - AIA European Warrants Oct 2017 A	40,000,000	2017/02/15	2017/10/04 10.04
27502	SG Issuer - CNBM European Warrants Sep 2017 A	20,000,000	2017/02/15	2017/09/05 10.00
27504	SG Issuer - Geely Auto European Warrants Aug 2017 B	10,000,000	2017/02/15	2017/08/15 18.00
27419	SG Issuer - HSCEI European Put Warrants Sep 2017 C	150,000,000	2017/02/15	2017/09/28 37.50
27472	UBS AG - HKEX European Warrants Jun 2017 C	50,000,000	2017/02/15	2017/06/27 10.05
13170 #	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 B	170,000,000	2017/02/15	2017/07/05 10.37
27765	Credit Suisse AG - BOC HK European Warrants Jan 2018 A	70,000,000	2017/02/16	2018/01/31 17.50
27801	Credit Suisse AG - CC Bank European Warrants Jun 2017 B	50,000,000	2017/02/16	2017/06/05 10.05
27782	Credit Suisse AG - China Life European Warrants Jan 2018 A	70,000,000	2017/02/16	2018/01/30 17.50
27778	Credit Suisse AG - CNBM European Warrants Aug 2017 B	25,000,000	2017/02/16	2017/08/31 11.63
27803	Credit Suisse AG - Geely Auto European Warrants Aug 2017 A	10,000,000	2017/02/16	2017/08/31 19.30
27784	Credit Suisse AG - HKEX European Warrants Jun 2017 F	65,000,000	2017/02/16	2017/06/06 10.60
27779	Credit Suisse AG - CRRC Times European Warrants Aug 2017 A	40,000,000	2017/02/16	2017/08/15 11.00
27566	Goldman Sachs SP (Asia) - BOCL European Warrants Jul 2017 B	80,000,000	2017/02/16	2017/07/24 12.00
27804	Goldman Sachs SP (Asia) - CC Bank European Wts May 2017 B	80,000,000	2017/02/16	2017/05/26 12.00
27567	Goldman Sachs SP (Asia) - HKEX European Warrants Nov 2017 B	200,000,000	2017/02/16	2017/11/27 30.00
27810	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2017 A	200,000,000	2017/02/16	2017/08/30 30.00
27724	HK Bank - CITIC Sec European Warrants Dec 2017 A	80,000,000	2017/02/16	2017/12/19 12.80
27729	HK Bank - HKEX European Warrants Sep 2017 D	150,000,000	2017/02/16	2017/09/12 22.50
27689	HK Bank - HKEX European Warrants Nov 2017 A	150,000,000	2017/02/16	2017/11/30 22.50
27702	HK Bank - Kingsoft European Warrants Sep 2017 A	40,000,000	2017/02/16	2017/09/29 10.00
27713	HK Bank - SUNAC European Warrants Nov 2018 A	80,000,000	2017/02/16	2018/11/23 14.40
27720	HK Bank - Zijin Mining European Warrants Sep 2017 A	40,000,000	2017/02/16	2017/09/29 10.00
27808	J P Morgan SP BV - BOCL European Put Wts Sep 2017 B	100,000,000	2017/02/16	2017/09/04 25.30
27809	J P Morgan SP BV - CM Bank European Warrants Apr 2018 A	100,000,000	2017/02/16	2018/04/03 15.80
27598	J P Morgan SP BV - HSCEI European Put Warrants Dec 2017 A	200,000,000	2017/02/16	2017/12/28 50.40

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27576	J P Morgan SP BV - HSI European Put Warrants Aug 2017 B	300,000,000	2017/02/16	2017/08/30	75.60
27590	J P Morgan SP BV - HSI European Put Warrants Sep 2017 B	300,000,000	2017/02/16	2017/09/28	75.00
27647	Macquarie Bank Ltd. - China Life European Put Warrants Jan18A	40,000,000	2017/02/16	2018/01/03	10.00
27648	Macquarie Bank Ltd. - CNBM European Warrants Oct 2017 A	24,000,000	2017/02/16	2017/10/04	10.01
27667	Macquarie Bank Ltd. - CNOOC European Warrants Dec 2017 B	21,000,000	2017/02/16	2017/12/04	10.10
27757	Macquarie Bank Ltd. - Longfor PPT European Wts Feb 2018 A	40,000,000	2017/02/16	2018/02/15	10.00
27734	SG Issuer - China Res Land European Warrants Dec 2017 A	60,000,000	2017/02/16	2017/12/05	15.00
27739	SG Issuer - A50 China European Warrants Sep 2017 A	40,000,000	2017/02/16	2017/09/05	10.00
27744	SG Issuer - Ping An European Warrants Jul 2017 A	100,000,000	2017/02/16	2017/07/05	15.00
27750	SG Issuer - Ping An European Warrants Oct 2017 A	100,000,000	2017/02/16	2017/10/06	16.50
27638	UBS AG - CC Bank European Warrants Jul 2017 A	70,000,000	2017/02/16	2017/07/24	11.76
27611	UBS AG - HKEX European Warrants Feb 2018 A	300,000,000	2017/02/16	2018/02/26	59.10
27615	UBS AG - HKEX European Put Warrants May 2017 B	100,000,000	2017/02/16	2017/05/25	15.00
27601	UBS AG - HSI European Put Warrants Aug 2017 A	300,000,000	2017/02/16	2017/08/30	75.00
27623	UBS AG - Tencent Holdings European Warrants Jun 2017 D	50,000,000	2017/02/16	2017/06/27	25.50
14663 #	Credit Suisse AG - HKEX European Warrants Jun 2017 D	170,000,000	2017/02/16	2017/06/28	10.20
23036 #	UBS AG - China Life European Put Warrants Aug 2017 B	140,000,000	2017/02/16	2017/08/29	10.08
27846	BOCI Asia Ltd. - BOCL European Warrants Aug 2017 C	100,000,000	2017/02/17	2017/08/29	15.00
27847	BOCI Asia Ltd. - Geely Auto European Warrants Aug 2017 A	100,000,000	2017/02/17	2017/08/17	171.60
27823	BOCI Asia Ltd. - HKEX European Warrants Nov 2017 A	200,000,000	2017/02/17	2017/11/28	30.00
27825	BOCI Asia Ltd. - HKEX European Warrants Feb 2018 A	200,000,000	2017/02/17	2018/02/26	30.00
27834	BOCI Asia Ltd. - Sands China European Warrants Oct 2017 A	100,000,000	2017/02/17	2017/10/30	25.00
28058	BNP Paribas Arbit Issu B.V. - Ch Comm Cons Eur Wt Dec 2017 A	100,000,000	2017/02/17	2017/12/04	16.00
28044	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Oct 2018 A	60,000,000	2017/02/17	2018/10/03	15.00
28032	BNP Paribas Arbit Issu B.V. - CKH European Wts Jan 2018 A	40,000,000	2017/02/17	2018/01/03	16.00
28031	BNP Paribas Arbit Issu B.V. - Chi Res Land Eu Wt Jan 2018 A	60,000,000	2017/02/17	2018/01/03	15.00
28048	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Jan 2018 A	40,000,000	2017/02/17	2018/01/03	12.80
28057	BNP Paribas Arbit Issu B.V. - Ch Unicom Euro Wt Oct 2017 A	50,000,000	2017/02/17	2017/10/04	26.00
28047	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Oct 2017 A	40,000,000	2017/02/17	2017/10/04	11.20
28028	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Nov 18 A	80,000,000	2017/02/17	2018/11/02	12.80
28054	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Jan 2018 A	40,000,000	2017/02/17	2018/01/03	14.40
28045	BNP Paribas Arbit Issu B.V. - Haitong Sec Eu Wts Oct 2018 A	60,000,000	2017/02/17	2018/10/03	15.00
28040	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Apr 2018 A	40,000,000	2017/02/17	2018/04/04	21.20
28030	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Jan 2018 A	50,000,000	2017/02/17	2018/01/03	12.50
28061	Credit Suisse AG - HKEX European Warrants Dec 2017 B	150,000,000	2017/02/17	2017/12/01	22.50
28069	Credit Suisse AG - China Mengniu European Wts Dec 2017 A	50,000,000	2017/02/17	2017/12/29	12.50
28063	Credit Suisse AG - Ping An European Warrants Aug 2017 B	70,000,000	2017/02/17	2017/08/01	10.50
27992	Goldman Sachs SP (Asia) - HKEX European Warrants Aug 2017 A	200,000,000	2017/02/17	2017/08/01	30.00
27820	Goldman Sachs SP (Asia) - HKEX European Warrants Feb 2018 A	200,000,000	2017/02/17	2018/02/26	30.00
27863	HK Bank - CC Bank European Warrants Aug 2017 C	60,000,000	2017/02/17	2017/08/31	15.00
27873	HK Bank - Country Garden European Warrants Dec 2017 A	25,000,000	2017/02/17	2017/12/29	10.00
27853	HK Bank - China Overseas European Warrants Dec 2017 A	80,000,000	2017/02/17	2017/12/29	20.00
27864	HK Bank - Galaxy Ent European Warrants Jul 2017 B	60,000,000	2017/02/17	2017/07/28	10.80
27912	HK Bank - Ping An European Warrants Jun 2017 B	80,000,000	2017/02/17	2017/06/30	12.00

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27879	HK Bank - Sands China European Warrants Aug 2017 A	50,000,000	2017/02/17	2017/08/31	12.50
27937	Haitong Int'l Sec - Agile Ppt European Warrants Sep 2017 A	30,000,000	2017/02/17	2017/09/29	10.83
27944	Haitong Int'l Sec - CM Bank European Warrants Mar 2018 A	70,000,000	2017/02/17	2018/03/27	10.50
27951	Haitong Int'l Sec - CNBM European Warrants Nov 2017 A	25,000,000	2017/02/17	2017/11/17	10.75
27924	Haitong Int'l Sec - Chi Res Land European Warrants Nov 2017A	40,000,000	2017/02/17	2017/11/24	10.00
27915	Haitong Int'l Sec - Evergrande European Warrants Aug 2017 A	30,000,000	2017/02/17	2017/08/31	10.05
27936	Haitong Int'l Sec - HKEX European Warrants Dec 2017 B	70,000,000	2017/02/17	2017/12/04	10.50
27939	Haitong Int'l Sec - Tencent European Warrants Oct 2017 A	70,000,000	2017/02/17	2017/10/04	10.50
28086	J P Morgan SP BV - Country Garden European Warrants Oct 17 A	60,000,000	2017/02/17	2017/10/03	19.32
28084	J P Morgan SP BV - China Life European Put Wts Dec 2017 D	100,000,000	2017/02/17	2017/12/01	25.10
28112	J P Morgan SP BV - COSCO Ship Port Euro Wts Dec 2018 A	60,000,000	2017/02/17	2018/12/31	15.12
28113	J P Morgan SP BV - CSDC European Warrants Aug 2017 A	70,000,000	2017/02/17	2017/08/03	10.92
28097	J P Morgan SP BV - COSCO Sh Hold European Warrants Sep 2017A	60,000,000	2017/02/17	2017/09/01	21.06
28094	J P Morgan SP BV - HSBC European Warrants Jul 2017 C	100,000,000	2017/02/17	2017/07/03	15.10
28095	J P Morgan SP BV - HSBC European Put Warrants Sep 2017 B	100,000,000	2017/02/17	2017/09/04	35.30
28087	J P Morgan SP BV - Tencent European Warrants Jul 2017 C	100,000,000	2017/02/17	2017/07/03	29.10
28078	J P Morgan SP BV - Zijin Mining European Warrants Dec 2017 A	60,000,000	2017/02/17	2017/12/04	15.18
28001	SG Issuer - BOCL European Warrants Dec 2017 A	80,000,000	2017/02/17	2017/12/05	12.00
28010	SG Issuer - CCB European Warrants Dec 2017 A	80,000,000	2017/02/17	2017/12/05	12.00
28009	SG Issuer - CCB European Put Warrants Sep 2017 A	60,000,000	2017/02/17	2017/09/05	25.20
28005	SG Issuer - CCCCL European Warrants Dec 2017 A	12,000,000	2017/02/17	2017/12/05	10.08
28011	SG Issuer - CMB European Warrants Sep 2017 B	100,000,000	2017/02/17	2017/09/05	15.00
28014	SG Issuer - China Railway European Warrants Oct 2017 A	16,000,000	2017/02/17	2017/10/06	10.08
27993	SG Issuer - CSOP A50 ETF European Warrants Sep 2017 A	30,000,000	2017/02/17	2017/09/05	10.05
28016	SG Issuer - HKEX European Warrants Jul 2017 D	25,000,000	2017/02/17	2017/07/05	10.00
28017	SG Issuer - HSBC European Warrants Sep 2017 A	100,000,000	2017/02/17	2017/09/05	15.00
27997	SG Issuer - HS H-SHARE European Warrants Sep 2017 A	30,000,000	2017/02/17	2017/09/05	13.05
28019	SG Issuer - ICBC European Warrants Nov 2017 A	80,000,000	2017/02/17	2017/11/03	20.00
27955	UBS AG - HSI European Warrants Jan 2018 A	200,000,000	2017/02/17	2018/01/30	30.80
27990	UBS AG - HSI European Put Warrants Aug 2017 B	200,000,000	2017/02/17	2017/08/30	50.20
27979	UBS AG - HSI European Put Warrants Sep 2017 A	200,000,000	2017/02/17	2017/09/28	30.20
27960	UBS AG - PetroChina European Put Warrants Jun 2017 B	50,000,000	2017/02/17	2017/06/26	10.00
27991	UBS AG - Sands China European Warrants Jul 2017 C	70,000,000	2017/02/17	2017/07/04	10.50
13897 #	Credit Suisse AG - HKEX European Warrants Jul 2017 A	200,000,000	2017/02/17	2017/07/18	10.40
28171	BOCI Asia Ltd. - China Life European Warrants Apr 2018 A	200,000,000	2017/02/20	2018/04/23	50.00
28180	BOCI Asia Ltd. - China Life European Put Warrants Sep 2017 B	100,000,000	2017/02/20	2017/09/27	15.00
28166	BNP Paribas Arbit Issu B.V. - CSDC European Warrants Jan18 A	60,000,000	2017/02/20	2018/01/03	15.00
28170	BNP Paribas Arbit Issu B.V. - Fosun Intl European Wts Apr18A	60,000,000	2017/02/20	2018/04/04	15.00
28169	BNP Paribas Arbit Issu B.V. - GAC European Warrants Apr 18 A	70,000,000	2017/02/20	2018/04/04	17.50
28204	Credit Suisse AG - CC Bank European Put Warrants Aug 2017 A	40,000,000	2017/02/20	2017/08/31	10.00
28223	Credit Suisse AG - China Life European Put Wts Aug 2017 B	70,000,000	2017/02/20	2017/08/31	17.50
28200	Credit Suisse AG - Ch Overseas European Warrants Dec 2017 A	50,000,000	2017/02/20	2017/12/29	12.50
28209	Credit Suisse AG - China Railway European Warrants Aug 2017A	25,000,000	2017/02/20	2017/08/31	11.88
28213	Credit Suisse AG - Zijin Mining European Warrants Aug 2017 A	40,000,000	2017/02/20	2017/08/31	10.00

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28116	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2017 B	200,000,000	2017/02/20	2017/08/30	30.00
28145	HK Bank - Agricultural Bank European Warrants Dec 2017 A	80,000,000	2017/02/20	2017/12/19	14.40
28150	HK Bank - AIA European Warrants Aug 2017 A	80,000,000	2017/02/20	2017/08/28	12.00
28153	HK Bank - BOCL European Warrants Sep 2017 B	80,000,000	2017/02/20	2017/09/29	20.00
28141	HK Bank - China Life European Warrants Sep 2017 B	80,000,000	2017/02/20	2017/09/29	20.00
28162	HK Bank - Chi Res Land European Warrants Dec 2017 A	50,000,000	2017/02/20	2017/12/29	12.50
28140	HK Bank - Jiangxi Copper European Warrants Dec 2017 B	50,000,000	2017/02/20	2017/12/29	12.50
28149	HK Bank - Ping An European Warrants Sep 2017 B	80,000,000	2017/02/20	2017/09/26	12.00
28163	HK Bank - Sands China European Put Warrants Sep 2017 A	50,000,000	2017/02/20	2017/09/29	12.50
28255	J P Morgan SP BV - CITIC Bank European Warrants Nov 2017 A	100,000,000	2017/02/20	2017/11/30	25.10
28260	J P Morgan SP BV - CKH European Warrants Aug 2017 A	100,000,000	2017/02/20	2017/08/31	33.00
28271	J P Morgan SP BV - HSI European Warrants Aug 2017 C	300,000,000	2017/02/20	2017/08/30	45.30
28252	J P Morgan SP BV - Lenovo European Warrants Sep 2017 A	100,000,000	2017/02/20	2017/09/01	25.30
28139	Macquarie Bank Ltd. - China Life European Wts May 2018 A	40,000,000	2017/02/20	2018/05/03	10.00
28137	Macquarie Bank Ltd. - HKEX European Warrants Sep 2017 C	25,000,000	2017/02/20	2017/09/04	10.05
28136	Macquarie Bank Ltd. - Huaneng Power European Wts Dec 2017 A	26,000,000	2017/02/20	2017/12/04	10.04
28187	SG Issuer - China Life European Warrants Jul 2017 D	18,000,000	2017/02/20	2017/07/03	10.08
28194	SG Issuer - China Life European Warrants Nov 2017 B	120,000,000	2017/02/20	2017/11/03	30.00
28188	SG Issuer - China Life European Put Warrants Sep 2017 C	120,000,000	2017/02/20	2017/09/05	30.00
28195	SG Issuer - CP&CC European Warrants Aug 2017 C	60,000,000	2017/02/20	2017/08/03	21.60
28197	SG Issuer - Geely Auto European Warrants Jul 2017 B	10,000,000	2017/02/20	2017/07/24	13.00
28125	SG Issuer - HSI European Put Warrants Aug 2017 D	150,000,000	2017/02/20	2017/08/30	37.50
28126	SG Issuer - HSI European Put Warrants Sep 2017 D	150,000,000	2017/02/20	2017/09/28	37.50
28135	SG Issuer - HSI European Put Warrants Oct 2017 B	150,000,000	2017/02/20	2017/10/30	37.50
28198	SG Issuer - ICBC European Put Wt Aug 2017 B	60,000,000	2017/02/20	2017/08/03	12.60
28250	UBS AG - BEA European Warrants Oct 2017 A	70,000,000	2017/02/20	2017/10/30	17.50
28235	UBS AG - China Life European Put Warrants Sep 2017 A	70,000,000	2017/02/20	2017/09/05	10.50
28249	UBS AG - Geely Auto European Warrants Jul 2018 B	70,000,000	2017/02/20	2018/07/09	17.64
28240	UBS AG - Geely Auto European Put Warrants Apr 2018 A	70,000,000	2017/02/20	2018/04/24	11.83
28238	UBS AG - HKEX European Warrants Aug 2017 A	50,000,000	2017/02/20	2017/08/21	12.50
28114	UBS AG - HSBC European Warrants Jul 2017 B	100,000,000	2017/02/20	2017/07/05	15.20
28117	UBS AG - HSCEI European Put Warrants Sep 2017 B	100,000,000	2017/02/20	2017/09/28	25.00
28228	UBS AG - Sunny Optical European Warrants Aug 2017 A	30,000,000	2017/02/20	2017/08/21	13.08
15144 #	UBS AG - HSBC European Warrants Jun 2017 B	100,000,000	2017/02/20	2017/06/15	10.00
28380	BOCI Asia Ltd. - Geely Auto European Put Warrants Aug 2017 B	100,000,000	2017/02/21	2017/08/21	95.40
28381	BOCI Asia Ltd. - ICBC European Warrants Oct 2017 A	100,000,000	2017/02/21	2017/10/23	25.00
28294	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Apr 2018 B	60,000,000	2017/02/21	2018/04/04	16.20
28440	Credit Suisse AG - BAIC Motor European Warrants Mar 2018 A	100,000,000	2017/02/21	2018/03/29	25.00
28445	Credit Suisse AG - Car Inc European Warrants May 2018 A	70,000,000	2017/02/21	2018/05/11	10.50
28434	Credit Suisse AG - ChiUnicom European Warrants Jul 2017 B	40,000,000	2017/02/21	2017/07/31	11.20
28444	Credit Suisse AG - Galaxy Ent European Warrants Jun 2017 C	60,000,000	2017/02/21	2017/06/27	10.20
28439	Credit Suisse AG - Sands China European Warrants Sep 2017 A	40,000,000	2017/02/21	2017/09/04	10.00
28273	Goldman Sachs SP (Asia) - China Life Euro Put Wts Aug 2017 B	80,000,000	2017/02/21	2017/08/28	12.00
28315	Goldman Sachs SP (Asia) - CNOOC European Warrants Jul 2017 A	80,000,000	2017/02/21	2017/07/24	12.00

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28277	Goldman Sachs SP (Asia) - Ping An European Wts Nov 2017 A	100,000,000	2017/02/21	2017/11/27 15.00
28325	HK Bank - CITIC Bank European Warrants Aug 2017 A	40,000,000	2017/02/21	2017/08/31 10.00
28322	HK Bank - China Life European Warrants Nov 2017 A	80,000,000	2017/02/21	2017/11/30 20.00
28318	HK Bank - Geely Auto European Warrants Feb 2018 A	200,000,000	2017/02/21	2018/02/28 50.00
28323	HK Bank - Sands China European Warrants Aug 2017 B	50,000,000	2017/02/21	2017/08/31 12.50
28363	Haitong Int'l Sec - China Cinda European Warrants Aug 2017 A	40,000,000	2017/02/21	2017/08/22 10.00
28362	Haitong Int'l Sec - CITIC Bank European Warrants Nov 2017 A	35,000,000	2017/02/21	2017/11/08 10.43
28403	Haitong Int'l Sec - CITIC Sec European Warrants Jul 2018 A	60,000,000	2017/02/21	2018/07/03 10.20
28366	Haitong Int'l Sec - China Life European Warrants Dec 2017 B	80,000,000	2017/02/21	2017/12/18 20.08
28373	Haitong Int'l Sec - China Life European Put Warrants Sep 17B	68,000,000	2017/02/21	2017/09/04 10.20
28338	Haitong Int'l Sec - Galaxy Ent European Warrants Aug 2017 A	68,000,000	2017/02/21	2017/08/29 11.08
28337	Haitong Int'l Sec - HSBC European Warrants Sep 2017 A	68,000,000	2017/02/21	2017/09/01 10.27
28378	Haitong Int'l Sec - HTSC European Warrants Feb 2018 A	40,000,000	2017/02/21	2018/02/26 10.68
28349	Haitong Int'l Sec - Huaneng European Warrants Aug 2017 A	50,000,000	2017/02/21	2017/08/22 12.50
28346	Haitong Int'l Sec - Jiangxi Copper European Warrants Dec 17B	40,000,000	2017/02/21	2017/12/08 10.12
28347	Haitong Int'l Sec - Li & Fung European Warrants Dec 2017 A	68,000,000	2017/02/21	2017/12/08 17.00
28361	Haitong Int'l Sec - Lenovo European Warrants Aug 2017 A	68,000,000	2017/02/21	2017/08/01 10.61
28365	Haitong Int'l Sec - Ping An European Warrants Oct 2017 A	60,000,000	2017/02/21	2017/10/27 10.08
28399	Haitong Int'l Sec - Ping An European Put Warrants Dec 2017 A	40,000,000	2017/02/21	2017/12/29 10.92
28348	Haitong Int'l Sec - Tencent European Warrants Oct 2017 B	68,000,000	2017/02/21	2017/10/16 10.20
28432	J P Morgan SP BV - CNBM European Warrants Sep 2017 A	60,000,000	2017/02/21	2017/09/04 18.78
28426	J P Morgan SP BV - CSOP A50 ETF European Warrants Sep 2017 A	100,000,000	2017/02/21	2017/09/01 26.30
28429	J P Morgan SP BV - Geely Auto European Put Warrants Apr 18 B	60,000,000	2017/02/21	2018/04/30 10.38
28410	J P Morgan SP BV - Sands China European Put Wts Oct 2017 B	100,000,000	2017/02/21	2017/10/03 25.00
28383	Macquarie Bank Ltd. - PetroChina European Wts Sep 2017 A	17,000,000	2017/02/21	2017/09/04 10.03
28390	SG Issuer - Geely Auto European Warrants Sep 2017 B	10,000,000	2017/02/21	2017/09/18 20.00
28392	SG Issuer - Greatwall Motor European Warrants Oct 2017 A	12,000,000	2017/02/21	2017/10/06 10.08
28398	SG Issuer - HKEX European Warrants Aug 2017 D	25,000,000	2017/02/21	2017/08/03 10.00
28396	SG Issuer - HSI European Warrants Nov 2017 A	150,000,000	2017/02/21	2017/11/29 37.50
28311	UBS AG - AAC Tech European Warrants Nov 2017 A	70,000,000	2017/02/21	2017/11/28 10.57
28407	UBS AG - AIA European Warrants Jun 2017 D	100,000,000	2017/02/21	2017/06/28 16.30
28310	UBS AG - CITIC Bank European Warrants Aug 2017 A	40,000,000	2017/02/21	2017/08/21 10.00
28299	UBS AG - CNOOC European Warrants Jul 2017 C	70,000,000	2017/02/21	2017/07/03 10.50
27235 #	Credit Suisse AG - Lenovo European Warrants May 2018 A	265,000,000	2017/02/21	2018/05/03 10.07
28518	BOCI Asia Ltd. - BOCL European Warrants Dec 2017 B	100,000,000	2017/02/22	2017/12/18 25.00
28521	BOCI Asia Ltd. - CC Bank European Warrants Nov 2017 A	100,000,000	2017/02/22	2017/11/27 17.60
28528	BOCI Asia Ltd. - China Life European Warrants Dec 2017 C	200,000,000	2017/02/22	2017/12/29 50.00
28525	BOCI Asia Ltd. - Ping An European Warrants Sep 2017 A	200,000,000	2017/02/22	2017/09/26 35.00
28572	Credit Suisse AG - BOCL European Warrants Oct 2017 A	50,000,000	2017/02/22	2017/10/04 12.50
28565	Credit Suisse AG - CC Bank European Warrants Aug 2017 B	50,000,000	2017/02/22	2017/08/31 12.50
28590	Credit Suisse AG - China Cinda European Warrants Sep 2017 A	40,000,000	2017/02/22	2017/09/08 10.00
28586	Credit Suisse AG - HSBC European Put Warrants Aug 2017 B	70,000,000	2017/02/22	2017/08/31 17.50
28570	Credit Suisse AG - Huaneng Power European Warrants Aug 2017A	40,000,000	2017/02/22	2017/08/22 11.40
28581	Credit Suisse AG - ICBC European Warrants Nov 2017 A	50,000,000	2017/02/22	2017/11/02 12.50

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28589	Credit Suisse AG - Jiangxi Copper European Wts Dec 2017 A	100,000,000	2017/02/22	2017/12/29	25.00
28573	Credit Suisse AG - Li & Fung European Warrants Aug 2017 A	40,000,000	2017/02/22	2017/08/22	10.00
28560	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2017 B	80,000,000	2017/02/22	2017/06/28	12.00
28563	Goldman Sachs SP (Asia) - BOCL European Warrants Nov 2017 A	80,000,000	2017/02/22	2017/11/29	12.00
28564	Goldman Sachs SP (Asia) - China Life Euro Wts Jun 2017 D	25,000,000	2017/02/22	2017/06/30	10.38
28561	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2017 A	80,000,000	2017/02/22	2017/09/01	12.00
28558	Goldman Sachs Sp (Asia) - ICBC European Warrants Jul 2017 D	80,000,000	2017/02/22	2017/07/24	12.00
28458	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2017 B	100,000,000	2017/02/22	2017/07/26	15.00
28476	HK Bank - HSCEI European Warrants Dec 2017 B	180,000,000	2017/02/22	2017/12/28	27.00
28474	HK Bank - HSCEI European Put Warrants Sep 2017 B	180,000,000	2017/02/22	2017/09/28	27.00
28464	HK Bank - HSI European Warrants Aug 2017 A	180,000,000	2017/02/22	2017/08/30	27.00
28459	HK Bank - HSI European Put Warrants May 2017 C	180,000,000	2017/02/22	2017/05/29	27.00
28546	J P Morgan SP BV - Agricultural Bk Euro Warrants Jan 2018 A	100,000,000	2017/02/22	2018/01/31	25.50
28538	J P Morgan SP BV - BOCL European Warrants Dec 2017 B	100,000,000	2017/02/22	2017/12/01	18.40
28536	J P Morgan SP BV - CC Bank European Wts Oct 2017 A	100,000,000	2017/02/22	2017/10/31	25.70
28547	J P Morgan SP BV - Minsheng Bank European Wts Aug 2017 A	60,000,000	2017/02/22	2017/08/01	16.38
28548	J P Morgan SP BV - HKEX European Warrants Jun 2017 D	100,000,000	2017/02/22	2017/06/30	35.80
28531	J P Morgan SP BV - HSCEI European Wts Dec 2017 B	200,000,000	2017/02/22	2017/12/28	30.40
28535	J P Morgan SP BV - HSCEI European Put Warrants Sep 2017 B	200,000,000	2017/02/22	2017/09/28	30.20
28529	J P Morgan SP BV - HSI European Put Warrants Aug 2017 D	300,000,000	2017/02/22	2017/08/30	75.00
28502	SG Issuer - AGBK European Warrants Dec 2017 A	80,000,000	2017/02/22	2017/12/05	20.00
28505	SG Issuer - BOCm European Warrants Oct 2017 A	25,000,000	2017/02/22	2017/10/06	10.00
28461	SG Issuer - CCB European Warrants Jun 2017 C	80,000,000	2017/02/22	2017/06/05	12.00
28511	SG Issuer - CCB European Warrants Sep 2017 B	80,000,000	2017/02/22	2017/09/05	20.00
28506	SG Issuer - CITIC Bank European Warrants Oct 2017 A	35,000,000	2017/02/22	2017/10/06	10.15
28517	SG Issuer - Minsheng Bank European Warrants Sep 2017 B	15,000,000	2017/02/22	2017/09/05	10.05
28462	SG Issuer - HKEX European Put Warrants Oct 2017 C	200,000,000	2017/02/22	2017/10/06	30.00
28463	SG Issuer - ICBC European Warrants Jun 2017 C	80,000,000	2017/02/22	2017/06/05	12.00
28478	UBS AG - BOCL European Warrants Nov 2017 A	70,000,000	2017/02/22	2017/11/27	11.34
28454	UBS AG - BOCL European Put Warrants Aug 2017 B	70,000,000	2017/02/22	2017/08/21	17.71
28500	UBS AG - CC Bank European Put Warrants Aug 2017 A	70,000,000	2017/02/22	2017/08/21	17.99
28494	UBS AG - China Life European Warrants Oct 2017 B	100,000,000	2017/02/22	2017/10/09	25.00
28481	UBS AG - Geely Auto European Put Warrants Aug 2017 A	20,000,000	2017/02/22	2017/08/21	12.48
13347 #	Credit Suisse AG - China Life European Warrants Sep 2017 A	70,000,000	2017/02/22	2017/09/08	13.16
18785 #	Credit Suisse AG - Tencent Holdings Euro Put Wts Aug 2017 A	190,000,000	2017/02/22	2017/08/01	10.07
28665	BOCI Asia Ltd. - CC Bank European Warrants Aug 2017 A	100,000,000	2017/02/23	2017/08/28	15.60
28672	BOCI Asia Ltd. - CKH European Warrants Aug 2017 A	100,000,000	2017/02/23	2017/08/28	25.00
28673	BOCI Asia Ltd. - HKEX European Warrants Nov 2017 B	200,000,000	2017/02/23	2017/11/28	30.00
28668	BOCI Asia Ltd. - HSBC European Warrants Aug 2017 A	200,000,000	2017/02/23	2017/08/29	30.00
28767	Credit Suisse AG - Tencent Holdings European Wts Jun 2017 E	40,000,000	2017/02/23	2017/06/02	15.00
28762	Credit Suisse AG - Tencent Holdings Euro Put Wts Oct 2017 C	150,000,000	2017/02/23	2017/10/31	37.50
28768	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2017 B	80,000,000	2017/02/23	2017/08/30	20.00
28727	HK Bank - Agricultural Bank European Warrants Oct 2017 A	80,000,000	2017/02/23	2017/10/31	20.00
28730	HK Bank - BOCL European Warrants Aug 2017 C	80,000,000	2017/02/23	2017/08/31	20.00

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28724	HK Bank - BOCL European Warrants Sep 2017 C	80,000,000	2017/02/23	2017/09/29 20.00
28722	HK Bank - CC Bank European Warrants Aug 2017 D	80,000,000	2017/02/23	2017/08/31 20.00
28713	HK Bank - Greatwall Motor European Warrants Feb 2018 A	80,000,000	2017/02/23	2018/02/27 12.00
28733	HK Bank - HSI European Put Warrants Aug 2017 B	180,000,000	2017/02/23	2017/08/30 45.00
28738	HK Bank - HSI European Put Warrants Sep 2017 B	250,000,000	2017/02/23	2017/09/28 45.00
28716	HK Bank - ICBC European Warrants Aug 2017 B	80,000,000	2017/02/23	2017/08/31 20.80
28728	HK Bank - PetroChina European Warrants Sep 2017 A	40,000,000	2017/02/23	2017/09/29 21.60
28721	HK Bank - Yanzhou Coal European Warrants Aug 2018 A	80,000,000	2017/02/23	2018/08/30 12.00
28657	Haitong Int'l Sec - BAIC Motor European Warrants Mar 2018 A	40,000,000	2017/02/23	2018/03/23 10.00
28659	Haitong Int'l Sec - BOCL European Warrants Nov 2017 A	40,000,000	2017/02/23	2017/11/06 10.00
28639	Haitong Int'l Sec - Car Inc European Warrants May 2018 A	70,000,000	2017/02/23	2018/05/11 11.20
28626	Haitong Int'l Sec - Sinopec Corp European Wts Oct 2017 B	30,000,000	2017/02/23	2017/10/03 10.80
28630	Haitong Int'l Sec - China Railway European Warrants Oct 17 A	20,000,000	2017/02/23	2017/10/24 12.14
28660	Haitong Int'l Sec - HKEX European Warrants Sep 2017 A	25,000,000	2017/02/23	2017/09/07 10.50
28651	Haitong Int'l Sec - ICBC European Warrants Nov 2017 A	40,000,000	2017/02/23	2017/11/28 10.00
28656	Haitong Int'l Sec - Sands China European Warrants Nov 2017 A	40,000,000	2017/02/23	2017/11/27 10.00
28753	Haitong Int'l Sec - Tencent European Warrants Aug 2017 B	25,000,000	2017/02/23	2017/08/25 10.00
28788	J P Morgan SP BV - BankComm European Warrants Sep 2017 A	60,000,000	2017/02/23	2017/09/01 21.36
28782	J P Morgan SP BV - CC Bank European Wts Dec 2017 A	100,000,000	2017/02/23	2017/12/01 25.70
28785	J P Morgan SP BV - CC Bank European Put Wts Nov 2017 A	100,000,000	2017/02/23	2017/11/03 49.30
28771	J P Morgan SP BV - Geely Auto European Warrants Dec 2017 A	200,000,000	2017/02/23	2017/12/29 50.00
28773	J P Morgan SP BV - Sunny Optical European Warrants Dec 2017A	60,000,000	2017/02/23	2017/12/01 39.30
28772	J P Morgan SP BV - Tencent European Put Warrants Jul 2017 D	200,000,000	2017/02/23	2017/07/05 30.00
28712	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Dec 2017 A	40,000,000	2017/02/23	2017/12/04 10.00
28690	Macquarie Bank Ltd. - CC Bank European Warrants Sep 2017 A	34,000,000	2017/02/23	2017/09/04 10.23
28739	Macquarie Bank Ltd. - CM Bank European Warrants Sep 2018 A	40,000,000	2017/02/23	2018/09/04 10.00
28683	Macquarie Bank Ltd. - CNOOC European Warrants Feb 2018 A	24,000,000	2017/02/23	2018/02/02 10.27
28708	Macquarie Bank Ltd. - ICBC European Warrants Nov 2017 A	39,000,000	2017/02/23	2017/11/02 10.14
28711	Macquarie Bank Ltd. - Jiangxi Copper European Wts Jul 2018 A	37,000,000	2017/02/23	2018/07/03 10.06
28685	Macquarie Bank Ltd. - NCI European Warrants Dec 2017 A	40,000,000	2017/02/23	2017/12/04 10.00
28678	Macquarie Bank Ltd. - PICC Group European Wts Jan 2018 A	31,000,000	2017/02/23	2018/01/03 10.01
28694	Macquarie Bank Ltd. - Sh Electric European Wts Feb 2018 A	16,000,000	2017/02/23	2018/02/14 10.21
28741	SG Issuer - AIA European Warrants Aug 2017 B	80,000,000	2017/02/23	2017/08/03 12.00
28742	SG Issuer - CGS European Warrants Oct 2017 A	13,000,000	2017/02/23	2017/10/06 10.01
28744	SG Issuer - HSBC European Put Warrants Sep 2017 B	80,000,000	2017/02/23	2017/09/05 25.20
28745	SG Issuer - Huaneng Power European Warrants Nov 2017 A	30,000,000	2017/02/23	2017/11/03 10.20
28615	SG Issuer - ICBC European Warrants Dec 2017 A	80,000,000	2017/02/23	2017/12/05 12.00
28618	SG Issuer - Tencent European Put Warrants Oct 2017 E	200,000,000	2017/02/23	2017/10/06 50.00
28758	UBS AG - AIA European Warrants Dec 2017 A	100,000,000	2017/02/23	2017/12/21 15.50
28612	UBS AG - CC Bank European Warrants Aug 2017 B	70,000,000	2017/02/23	2017/08/28 10.50
28598	UBS AG - HKEX European Warrants Sep 2017 C	300,000,000	2017/02/23	2017/09/05 45.00
28750	UBS AG - HSI European Warrants Sep 2017 B	200,000,000	2017/02/23	2017/09/28 50.00
28757	UBS AG - Tencent Holdings European Warrants May 2017 F	50,000,000	2017/02/23	2017/05/26 19.50
28751	UBS AG - Tencent Holdings European Warrants Jul 2017 B	300,000,000	2017/02/23	2017/07/27 45.00

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28613	UBS AG - Tencent Holdings European Put Warrants May 2017 E	200,000,000	2017/02/23	2017/05/26	30.00
28824	BOCI Asia Ltd. - Sunny Optical European Warrants Sep 2017 A	100,000,000	2017/02/24	2017/09/11	49.50
28851	Credit Suisse AG - AIA European Warrants Oct 2017 B	80,000,000	2017/02/24	2017/10/04	20.00
28849	Credit Suisse AG - China Mobile European Warrants Sep 2017 A	70,000,000	2017/02/24	2017/09/06	17.50
28852	Credit Suisse AG - Henganintl European Warrants Aug 2017 A	30,000,000	2017/02/24	2017/08/23	10.50
28861	Credit Suisse AG - Lenovo European Warrants Aug 2017 B	40,000,000	2017/02/24	2017/08/23	10.00
28812	HK Bank - BOC HK European Warrants Jan 2018 A	80,000,000	2017/02/24	2018/01/24	12.00
28822	HK Bank - China Mobile European Warrants Sep 2017 B	50,000,000	2017/02/24	2017/09/29	20.00
28804	HK Bank - Sinopec Corp European Warrants Sep 2017 A	50,000,000	2017/02/24	2017/09/29	12.50
28794	HK Bank - Geely Auto European Warrants Dec 2017 C	200,000,000	2017/02/24	2017/12/29	50.00
28815	HK Bank - Galaxy Ent European Put Warrants Aug 2017 A	50,000,000	2017/02/24	2017/08/31	12.50
28818	HK Bank - Sunny Optical European Warrants Sep 2017 A	30,000,000	2017/02/24	2017/09/29	15.00
28826	Haitong Int'l Sec - HSI European Warrants Aug 2017 A	200,000,000	2017/02/24	2017/08/30	31.80
28828	Haitong Int'l Sec - HSI European Put Warrants Sep 2017 A	200,000,000	2017/02/24	2017/09/28	30.20
28863	J P Morgan SP BV - Lenovo European Warrants Jul 2017 A	80,000,000	2017/02/24	2017/07/31	16.88
28864	J P Morgan SP BV - Yanzhou Coal European Warrants Sep 2017 B	20,000,000	2017/02/24	2017/09/04	13.76
28799	Macquarie Bank Ltd. - BOCL European Warrants Dec 2017 A	40,000,000	2017/02/24	2017/12/04	10.00
28842	Macquarie Bank Ltd. - Minsheng Bank European Wts Oct 2017 A	23,000,000	2017/02/24	2017/10/04	10.05
28844	Macquarie Bank Ltd. - Galaxy Ent European Wts Jan 2018 A	36,000,000	2017/02/24	2018/01/03	10.01
28846	Macquarie Bank Ltd. - HSBC European Warrants Oct 2017 B	6,800,000	2017/02/24	2017/10/04	10.47
28847	SG Issuer - AIA European Put Warrants Sep 2017 B	80,000,000	2017/02/24	2017/09/05	18.80
28830	SG Issuer - China EB Ltd European Warrants Mar 2018 A	60,000,000	2017/02/24	2018/03/05	15.00
28829	SG Issuer - CITIC Bank European Warrants Nov 2017 A	30,000,000	2017/02/24	2017/11/03	10.05
28831	SG Issuer - CITIC Sec European Warrants Jan 2018 A	60,000,000	2017/02/24	2018/01/04	15.00
28834	SG Issuer - Lenovo European Warrants Oct 2017 B	30,000,000	2017/02/24	2017/10/06	10.05
28837	SG Issuer - Tencent European Warrants Jul 2017 G	30,000,000	2017/02/24	2017/07/05	10.50
26330 #	UBS AG - HSBC European Warrants Aug 2017 A	160,000,000	2017/02/24	2017/08/29	10.40
28903	BOCI Asia Ltd. - AIA European Warrants Oct 2017 A	200,000,000	2017/02/27	2017/10/30	50.00
28872	BNP Paribas Arbit Issu B.V. - HSI European Wts Jan 2018 A	80,000,000	2017/02/27	2018/01/30	13.60
28871	BNP Paribas Arbit Issu B.V. - HSI European Wts Mar 2018 A	80,000,000	2017/02/27	2018/03/28	20.00
28875	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2017 C	80,000,000	2017/02/27	2017/09/28	20.80
28877	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2017 A	80,000,000	2017/02/27	2017/12/28	20.80
28922	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2017 C	80,000,000	2017/02/27	2017/07/27	12.80
28918	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2017 C	65,000,000	2017/02/27	2017/06/28	10.79
28923	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2017 C	200,000,000	2017/02/27	2017/08/30	30.00
28920	Goldman Sachs SP (Asia) - Tencent European Wt Oct 2017 B	200,000,000	2017/02/27	2017/10/31	30.00
28910	Haitong Int'l Sec - AIA European Warrants Jun 2017 B	40,000,000	2017/02/27	2017/06/29	11.72
28909	Haitong Int'l Sec - AIA European Warrants Aug 2017 A	60,000,000	2017/02/27	2017/08/01	12.06
28886	Haitong Int'l Sec - BEA European Warrants Oct 2017 A	50,000,000	2017/02/27	2017/10/30	10.30
28905	Haitong Int'l Sec - CC Bank European Warrants Nov 2017 A	40,000,000	2017/02/27	2017/11/28	10.00
28891	Haitong Int'l Sec - CGN Power European Warrants Aug 2017 A	68,000,000	2017/02/27	2017/08/28	22.44
28890	Haitong Int'l Sec - COSCO Ship Port European Warrants Dec18A	68,000,000	2017/02/27	2018/12/24	12.65
28892	Haitong Int'l Sec - COSCO Sh Hold European Warrants Aug 17 A	30,000,000	2017/02/27	2017/08/28	11.10
28894	Haitong Int'l Sec - R&F Ppt European Warrants Mar 2018 A	68,000,000	2017/02/27	2018/03/26	10.27

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28907	Haitong Int'l Sec - Henganintl European Warrants Aug 2017 A	40,000,000	2017/02/27	2017/08/28	10.48
28885	Haitong Int'l Sec - HS Bank European Put Warrants Jun 2019 A	40,000,000	2017/02/27	2019/06/28	10.00
28887	Haitong Int'l Sec - Kingdee Int'l European Warrants Jun 18 A	15,000,000	2017/02/27	2018/06/29	11.10
28899	Haitong Int'l Sec - Kingsoft European Warrants Oct 2017 A	68,000,000	2017/02/27	2017/10/27	11.49
28901	Haitong Int'l Sec - Kingsoft European Warrants Jan 2018 A	50,000,000	2017/02/27	2018/01/29	10.95
28906	Haitong Int'l Sec - Lenovo European Warrants Aug 2017 B	40,000,000	2017/02/27	2017/08/28	10.00
28893	Haitong Int'l Sec - PICC European Warrants Jul 2018 A	68,000,000	2017/02/27	2018/07/27	10.34
28939	J P Morgan SP BV - BOCL European Warrants Sep 2017 C	100,000,000	2017/02/27	2017/09/01	25.50
28933	J P Morgan SP BV - China Life European Warrants Oct 2017 A	100,000,000	2017/02/27	2017/10/31	15.40
28937	J P Morgan SP BV - A50 China European Warrants Aug 2017 A	100,000,000	2017/02/27	2017/08/01	30.20
28926	J P Morgan SP BV - HSBC European Warrants Dec 2017 A	100,000,000	2017/02/27	2017/12/04	15.60
28941	J P Morgan SP BV - HSI European Warrants Nov 2017 A	300,000,000	2017/02/27	2017/11/29	75.30
28930	J P Morgan SP BV - Stanchart European Warrants Aug 2017 A	60,000,000	2017/02/27	2017/08/01	12.84
28879	SG Issuer - AIA European Warrants Jul 2017 D	80,000,000	2017/02/27	2017/07/05	13.60
28882	SG Issuer - BOCHK European Warrants Feb 2018 A	56,000,000	2017/02/27	2018/02/05	10.08
28911	SG Issuer - Ch Mob European Warrants Aug 2017 B	60,000,000	2017/02/27	2017/08/03	14.70
28913	SG Issuer - HSI European Put Warrants Aug 2017 E	150,000,000	2017/02/27	2017/08/30	22.50
28914	SG Issuer - ICBC European Warrants Aug 2017 C	80,000,000	2017/02/27	2017/08/03	12.00
28915	SG Issuer - Lenovo European Warrants Aug 2017 A	35,000,000	2017/02/27	2017/08/03	10.15
28916	UBS AG - CGS European Warrants Aug 2017 A	20,000,000	2017/02/27	2017/08/28	10.00
28917	UBS AG - China Mobile European Warrants Sep 2017 A	100,000,000	2017/02/27	2017/09/08	18.00
13344 #	Credit Suisse AG - Tencent Holdings European Wts Sep 2017 C	150,000,000	2017/02/27	2017/09/05	14.55
14448 #	UBS AG - HSBC European Warrants May 2017 A	110,000,000	2017/02/27	2017/05/22	10.01
15182 #	Credit Suisse AG - HSBC European Wts Jun 2017 B	215,000,000	2017/02/27	2017/06/23	10.11
29000	BNP Paribas Arbit Issu B.V. - BOCL European Wts Jun 2018 A	50,000,000	2017/02/28	2018/06/04	13.50
29001	BNP Paribas Arbit Issu B.V. - BYD European Wts Jan 2018 A	40,000,000	2017/02/28	2018/01/03	21.20
28996	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Jul 2018 A	70,000,000	2017/02/28	2018/07/04	18.20
28997	BNP Paribas Arbit Issu B.V. - Sunny Optical Eu Wt Oct 2017 B	40,000,000	2017/02/28	2017/10/04	16.00
29007	Credit Suisse AG - HSBC European Wts Sep 2017 B	100,000,000	2017/02/28	2017/09/07	25.00
29008	Credit Suisse AG - HSBC European Wts Nov 2017 A	100,000,000	2017/02/28	2017/11/30	25.00
28960	HK Bank - CKH European Warrants Sep 2017 A	40,000,000	2017/02/28	2017/09/29	10.00
28965	HK Bank - Greatwall Motor European Warrants Oct 2018 A	80,000,000	2017/02/28	2018/10/26	12.80
28964	HK Bank - Lenovo European Warrants Sep 2017 B	40,000,000	2017/02/28	2017/09/29	10.00
29006	HK Bank - SHK Ppt European Warrants Sep 2017 A	40,000,000	2017/02/28	2017/09/29	12.00
28958	Haitong Int'l Sec - AIA European Warrants Oct 2017 A	40,000,000	2017/02/28	2017/10/30	10.00
28953	Haitong Int'l Sec - Crphoenixhealth European Warrants Feb18A	60,000,000	2017/02/28	2018/02/21	10.20
28947	Haitong Int'l Sec - Geely Auto European Warrants Jul 2018 A	50,000,000	2017/02/28	2018/07/18	14.70
28949	Haitong Int'l Sec - Geely Auto European Put Warrants Dec17 A	55,000,000	2017/02/28	2017/12/21	10.01
29010	Haitong Int'l Sec - GWMC European Warrants Oct 2018 A	80,000,000	2017/02/28	2018/10/18	20.00
28952	Haitong Int'l Sec - Sino Biopharm European Warrants Jul 19 A	60,000,000	2017/02/28	2019/07/30	15.00
28950	Haitong Int'l Sec - Weigao Group European Warrants Dec 2018A	80,000,000	2017/02/28	2018/12/31	20.00
28957	Haitong Int'l Sec - Sh Pharma European Warrants Jun 2018 A	25,000,000	2017/02/28	2018/06/29	12.00
29014	J P Morgan SP BV - Greatwall Motor European Wts Oct 2018 A	100,000,000	2017/02/28	2018/10/31	16.90
29011	J P Morgan SP BV - SHK Ppt European Warrants Sep 2017 A	100,000,000	2017/02/28	2017/09/04	32.30

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28945	Macquarie Bank Ltd. - Greatwall Motor European Wts Jul 2018 A	40,000,000	2017/02/28	2018/07/04	10.00
28943	Macquarie Bank Ltd. - Sinopharm European Warrants Apr 2018 A	13,000,000	2017/02/28	2018/04/18	10.01
28944	Macquarie Bank Ltd. - Tencent European Warrants Oct 2017 A	20,000,000	2017/02/28	2017/10/04	10.00
28975	SG Issuer - BOCOM European Warrants Sep 2017 A	30,000,000	2017/02/28	2017/09/05	10.05
28977	SG Issuer - CKP European Warrants Sep 2017 A	40,000,000	2017/02/28	2017/09/05	10.00
28984	SG Issuer - HSBC European Warrants Dec 2017 B	80,000,000	2017/02/28	2017/12/05	20.00
28985	SG Issuer - HSBC European Warrants Jul 2018 A	80,000,000	2017/02/28	2018/07/05	20.00
28986	SG Issuer - NewWorld Dev Eu Wt Sep 2017 A	15,000,000	2017/02/28	2017/09/05	10.05
28995	SG Issuer - SHK P European Warrants Nov 2017 A	25,000,000	2017/02/28	2017/11/03	10.00
28967	UBS AG - BankComm European Warrants Aug 2017 A	40,000,000	2017/02/28	2017/08/28	10.00
28971	UBS AG - BYD European Warrants Jun 2017 A	40,000,000	2017/02/28	2017/06/28	10.00
28969	UBS AG - Greatwall Motor European Warrants Aug 2017 A	40,000,000	2017/02/28	2017/08/28	24.52
29016	UBS AG - HSBC European Warrants Jun 2017 D	100,000,000	2017/02/28	2017/06/01	15.60
28966	UBS AG - Ping An European Put Warrants May 2017 E	70,000,000	2017/02/28	2017/05/31	10.50
29015	UBS AG - SMIC European Warrants Aug 2017 A	60,000,000	2017/02/28	2017/08/28	15.00
28970	UBS AG - SMIC European Warrants Oct 2017 A	70,000,000	2017/02/28	2017/10/24	10.50
29019	BOCI Asia Ltd. - CNOOC European Warrants Sep 2017 A	100,000,000	2017/03/01	2017/09/28	33.10
29018	BOCI Asia Ltd. - HSBC European Warrants Sep 2017 B	200,000,000	2017/03/01	2017/09/11	50.20
29020	BOCI Asia Ltd. - SHK Ppt European Warrants Sep 2017 A	100,000,000	2017/03/01	2017/09/29	28.80
29054	BNP Paribas Arbit Issu B.V. - BOCHK European Wts Apr 2018 A	50,000,000	2017/03/01	2018/04/04	13.00
10455	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Dec 2017 A	50,000,000	2017/03/01	2017/12/22	12.50
10456	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wt Dec 2017 B	50,000,000	2017/03/01	2017/12/22	12.50
10454	BNP Paribas Arbit Issu B.V. - GBP/USD European Wts Dec 2017 A	50,000,000	2017/03/01	2017/12/22	12.50
10457	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Wt May 2018 A	50,000,000	2017/03/01	2018/05/18	12.50
10458	BNP Paribas Arbit Issu B.V. - Oil Futures Eu Put Wt May 18 B	50,000,000	2017/03/01	2018/05/18	12.50
29100	Credit Suisse AG - China Mobile European Warrants Jul 2017 D	60,000,000	2017/03/01	2017/07/31	13.80
29095	Credit Suisse AG - GAC European Warrants Dec 2017 A	50,000,000	2017/03/01	2017/12/28	12.50
29107	Credit Suisse AG - Geely Auto European Warrants Aug 2017 B	25,000,000	2017/03/01	2017/08/31	31.00
29105	Credit Suisse AG - Greatwall Motor European Wts Aug 2017 A	20,000,000	2017/03/01	2017/08/31	15.40
29096	Credit Suisse AG - HSBC European Wts Jun 2017 D	100,000,000	2017/03/01	2017/06/01	15.00
29056	Goldman Sachs SP (Asia) - China Life Euro Wts Jun 2017 E	25,000,000	2017/03/01	2017/06/02	11.20
29055	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2017 H	30,000,000	2017/03/01	2017/06/27	14.28
29089	J P Morgan SP BV - BYD European Warrants Sep 2017 B	100,000,000	2017/03/01	2017/09/04	25.10
29090	J P Morgan SP BV - Ch Molybdenum European Warrants Oct 2017 A	100,000,000	2017/03/01	2017/10/03	36.70
29093	J P Morgan SP BV - Ch Overseas European Warrants Mar 2018 A	100,000,000	2017/03/01	2018/03/01	25.00
29086	J P Morgan SP BV - HSBC European Warrants Jun 2017 C	100,000,000	2017/03/01	2017/06/05	16.00
29091	J P Morgan SP BV - New World Dev European Wts Oct 2017 A	40,000,000	2017/03/01	2017/10/03	12.08
29071	Macquarie Bank Ltd. - Angang Steel European Wts Jan 2019 A	40,000,000	2017/03/01	2019/01/17	10.00
29040	Macquarie Bank Ltd. - BankComm European Warrants Mar 2018 A	24,000,000	2017/03/01	2018/03/02	10.30
29082	Macquarie Bank Ltd. - BYD European Warrants Oct 2017 A	16,000,000	2017/03/01	2017/10/04	10.00
29079	Macquarie Bank Ltd. - Ch Molybdenum European Wts Feb 2019 A	11,000,000	2017/03/01	2019/02/15	10.19
29049	Macquarie Bank Ltd. - China Overseas European Put Wts Jan18A	40,000,000	2017/03/01	2018/01/03	10.00
29039	Macquarie Bank Ltd. - China Taiping European Wts Nov 2017 A	38,000,000	2017/03/01	2017/11/02	10.15
29076	Macquarie Bank Ltd. - Nine Dragons European Wts May 2018 A	40,000,000	2017/03/01	2018/05/17	10.00

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29051	SG Issuer - Link REIT European Warrants Nov 2017 A	32,000,000	2017/03/01	2017/11/03	10.08
29108	UBS AG - HSBC European Put Warrants Aug 2017 B	100,000,000	2017/03/01	2017/08/31	26.00
29111	UBS AG - Ping An European Warrants Jul 2017 A	100,000,000	2017/03/01	2017/07/26	15.00
29109	UBS AG - SHK Ppt European Warrants Aug 2017 A	40,000,000	2017/03/01	2017/08/31	10.80
29196	Credit Suisse AG - Ch Molybdenum European Warrants Sep 2017A	35,000,000	2017/03/02	2017/09/01	11.20
29208	Credit Suisse AG - New World Dev European Wts Sep 2017 A	25,000,000	2017/03/02	2017/09/01	11.75
29131	Credit Suisse AG - Tencent Holdings European Wts Sep 2017 E	150,000,000	2017/03/02	2017/09/29	22.50
29115	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2017 C	100,000,000	2017/03/02	2017/09/28	15.00
29159	Haitong Int'l Sec - BYD European Warrants Sep 2017 B	40,000,000	2017/03/02	2017/09/04	10.20
29160	Haitong Int'l Sec - China Cinda European Warrants Sep 2017 A	40,000,000	2017/03/02	2017/09/29	10.00
29158	Haitong Int'l Sec - China Mobile European Warrants Aug 2017A	60,000,000	2017/03/02	2017/08/18	12.72
29163	Haitong Int'l Sec - Ch Molybdenum European Warrants Sep 17 A	35,000,000	2017/03/02	2017/09/28	10.85
29146	Haitong Int'l Sec - Ch Overseas European Warrants Oct 2017 A	50,000,000	2017/03/02	2017/10/09	10.90
29149	Haitong Int'l Sec - Ch Overseas European Warrants Dec 2017 A	50,000,000	2017/03/02	2017/12/22	10.50
29169	Haitong Int'l Sec - GAC European Warrants Dec 2017 A	40,000,000	2017/03/02	2017/12/28	10.00
29144	Haitong Int'l Sec - Gome European Warrants Aug 2018 A	50,000,000	2017/03/02	2018/08/08	14.50
29164	Haitong Int'l Sec - HKEX European Warrants Nov 2017 A	68,000,000	2017/03/02	2017/11/28	10.20
29170	Haitong Int'l Sec - HSBC European Warrants Sep 2017 B	68,000,000	2017/03/02	2017/09/27	11.22
29174	Haitong Int'l Sec - HSBC European Put Warrants Dec 2017 A	40,000,000	2017/03/02	2017/12/29	10.00
29132	Haitong Int'l Sec - Poly Property European Warrants Dec 17 B	25,000,000	2017/03/02	2017/12/08	12.00
29134	Haitong Int'l Sec - Sh Petrochem European Warrants Dec 2017A	20,000,000	2017/03/02	2017/12/18	11.40
29155	Haitong Int'l Sec - Tencent European Warrants Sep 2017 D	65,000,000	2017/03/02	2017/09/25	10.14
29222	J P Morgan SP BV - China Mob European Wts Jun 2017 C	100,000,000	2017/03/02	2017/06/12	15.10
29218	J P Morgan SP BV - Tencent European Warrants Jun 2017 F	100,000,000	2017/03/02	2017/06/02	20.70
29220	J P Morgan SP BV - Tencent European Warrants Oct 2017 C	200,000,000	2017/03/02	2017/10/03	30.40
29221	J P Morgan SP BV - Tencent European Warrants Jan 2018 A	100,000,000	2017/03/02	2018/01/03	25.00
29219	J P Morgan SP BV - Tencent European Warrants Mar 2018 B	200,000,000	2017/03/02	2018/03/01	30.00
29175	Macquarie Bank Ltd. - HKEX European Warrants Oct 2017 D	23,000,000	2017/03/02	2017/10/04	10.03
29184	Macquarie Bank Ltd. - SUNAC European Warrants Nov 2017 A	12,000,000	2017/03/02	2017/11/13	10.01
29188	SG Issuer - BOCL European Warrants Oct 2017 A	80,000,000	2017/03/02	2017/10/06	12.00
29195	SG Issuer - HSI European Warrants Mar 2018 B	150,000,000	2017/03/02	2018/03/28	37.50
29118	SG Issuer - Tencent European Warrants Mar 2018 B	200,000,000	2017/03/02	2018/03/05	30.00
29240	Credit Suisse AG - AIA European Warrants Oct 2017 C	70,000,000	2017/03/03	2017/10/31	17.50
29242	Goldman Sachs SP (Asia) - AIA European Warrants Sep 2017 A	80,000,000	2017/03/03	2017/09/29	20.00
29247	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2017 D	200,000,000	2017/03/03	2017/08/30	30.00
29228	Macquarie Bank Ltd. - Kingdee Int'l European Wts Jan 2018 B	22,000,000	2017/03/03	2018/01/16	10.12
29229	SG Issuer - China Life European Warrants Apr 2018 A	120,000,000	2017/03/03	2018/04/06	30.00
29225	UBS AG - AIA European Put Warrants Aug 2017 B	70,000,000	2017/03/03	2017/08/24	11.90
29227	UBS AG - China Vanke European Warrants Aug 2017 B	70,000,000	2017/03/03	2017/08/07	10.50
12771 #	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2017 C	100,000,000	2017/03/03	2017/09/29	13.30
29268	BOCI Asia Ltd. - China Unicom European Warrants Oct 2017 A	100,000,000	2017/03/06	2017/10/16	36.00
29270	Credit Suisse AG - HSBC European Wts Jun 2017 E	100,000,000	2017/03/06	2017/06/26	22.00
29285	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2017 B	200,000,000	2017/03/06	2017/09/28	30.00
29278	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2017 B	100,000,000	2017/03/06	2017/06/27	15.00

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29266	Haitong Int'l Sec - AIA European Put Warrants Aug 2017 B	60,000,000	2017/03/06	2017/08/31 10.32
29261	Haitong Int'l Sec - CKH European Warrants Oct 2017 A	40,000,000	2017/03/06	2017/10/04 10.04
29265	Haitong Int'l Sec - China Telecom European Warrants Sep 17 A	40,000,000	2017/03/06	2017/09/29 10.00
29262	Haitong Int'l Sec - HSBC European Warrants Sep 2017 C	40,000,000	2017/03/06	2017/09/06 10.00
29269	Haitong Int'l Sec - Tencent European Warrants Jun 2017 C	68,000,000	2017/03/06	2017/06/28 10.20
29264	Haitong Int'l Sec - Tencent European Warrants Jan 2018 A	80,000,000	2017/03/06	2018/01/03 20.00
29254	Macquarie Bank Ltd. - COSCO Sh Hold European Wts Dec 2017 A	16,000,000	2017/03/06	2017/12/04 10.18
29256	Macquarie Bank Ltd. - HKEX European Warrants Oct 2017 E	6,500,000	2017/03/06	2017/10/04 10.00
29259	Macquarie Bank Ltd. - Tencent European Warrants Oct 2017 B	10,000,000	2017/03/06	2017/10/04 10.00
24058 #	Credit Suisse AG - Ping An European Warrants Sep 2017 A	230,000,000	2017/03/06	2017/09/29 10.12
29295	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2017 C	80,000,000	2017/03/07	2017/10/04 13.60
29292	BNP Paribas Arbit Issu B.V. - China Life Eu Put Wt Jul 2018A	60,000,000	2017/03/07	2018/07/04 15.00
29290	BNP Paribas Arbit Issu B.V. - HKEX Euro Put Wts Jan 2018 B	60,000,000	2017/03/07	2018/01/03 10.20
29291	BNP Paribas Arbit Issu B.V. - Ping An Eur Put Wts Jan 2018 B	60,000,000	2017/03/07	2018/01/03 15.00
29343	Credit Suisse AG - China Life European Warrants Sep 2017 D	80,000,000	2017/03/07	2017/09/29 12.00
29335	Goldman Sachs SP (Asia) - HKEX European Put Wts Aug 2017 B	100,000,000	2017/03/07	2017/08/01 15.00
29336	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2017 E	100,000,000	2017/03/07	2017/06/23 15.00
29297	Haitong Int'l Sec - BJ Ent Water European Warrants Aug 2019A	100,000,000	2017/03/07	2019/08/08 25.40
29312	Haitong Int'l Sec - Ch Comm Cons European Warrants Oct 2019A	80,000,000	2017/03/07	2019/10/30 20.00
29307	Haitong Int'l Sec - Ch LongYuan European Warrants May 2019 A	80,000,000	2017/03/07	2019/05/31 20.32
29317	Haitong Int'l Sec - CNBM European Warrants Sep 2017 A	26,000,000	2017/03/07	2017/09/07 10.14
29318	Haitong Int'l Sec - CQRC Bank European Warrants Jan 2018 A	20,000,000	2017/03/07	2018/01/31 13.20
29298	Haitong Int'l Sec - China Railway European Warrants Oct 19 A	80,000,000	2017/03/07	2019/10/30 20.00
29310	Haitong Int'l Sec - CRCC European Warrants Dec 2018 A	40,000,000	2017/03/07	2018/12/31 10.24
29311	Haitong Int'l Sec - CRRC European Warrants Dec 2019 A	80,000,000	2017/03/07	2019/12/31 20.00
29325	Haitong Int'l Sec - CSOP A50 ETF European Warrants Sep 2017A	40,000,000	2017/03/07	2017/09/07 11.20
29309	Haitong Int'l Sec - China Shenhua European Warrants Oct 17 A	65,000,000	2017/03/07	2017/10/26 11.12
29320	Haitong Int'l Sec - A50 China European Warrants Jul 2017 B	40,000,000	2017/03/07	2017/07/26 11.60
29358	J P Morgan SP BV - AIA European Warrants Aug 2017 B	100,000,000	2017/03/07	2017/08/01 15.40
29347	J P Morgan SP BV - JX Copper European Warrants Sep 2017 A	30,000,000	2017/03/07	2017/09/01 16.77
29296	Macquarie Bank Ltd. - Anta Sports European Wts Jan 2018 A	28,000,000	2017/03/07	2018/01/15 10.05
29288	Macquarie Bank Ltd. - HSBC European Warrants Oct 2017 C	31,000,000	2017/03/07	2017/10/04 10.29
29289	SG Issuer - AIA European Warrants Nov 2017 A	80,000,000	2017/03/07	2017/11/03 20.00
29361	UBS AG - CKH European Warrants Jun 2017 C	70,000,000	2017/03/07	2017/06/23 10.50
29363	UBS AG - Sands China European Warrants Jul 2017 D	70,000,000	2017/03/07	2017/07/05 10.50
29379	BOCI Asia Ltd. - China Life European Warrants Sep 2017 C	200,000,000	2017/03/08	2017/09/29 30.00
29412	BNP Paribas Arbit Issu B.V. - CKI Holdings Euro Wts Jan 18 A	30,000,000	2017/03/08	2018/01/03 10.50
29401	BNP Paribas Arbit Issu B.V. - CM Bank Euro Warrants Oct 18 A	40,000,000	2017/03/08	2018/10/03 10.80
29407	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Feb 2018 A	25,000,000	2017/03/08	2018/02/02 15.00
29397	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Warrants Jan 18 A	30,000,000	2017/03/08	2018/01/03 14.40
29434	Credit Suisse AG - Galaxy Ent European Put Wts Sep 2017 A	50,000,000	2017/03/08	2017/09/07 12.50
29459	Goldman Sachs SP (Asia) - BOCL European Warrants Aug 2017 B	80,000,000	2017/03/08	2017/08/28 12.00
29388	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jun 2017 B	60,000,000	2017/03/08	2017/06/27 10.02
29435	Goldman Sachs SP (Asia) - Tencent European Wt Oct 2017 C	250,000,000	2017/03/08	2017/10/03 37.50

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29386	HK Bank - China Tel European Warrants Sep 2017 A	50,000,000	2017/03/08	2017/09/29 12.50
29383	HK Bank - HSI European Warrants Sep 2017 C	180,000,000	2017/03/08	2017/09/28 27.00
29384	HK Bank - HSI European Warrants Nov 2017 A	180,000,000	2017/03/08	2017/11/29 27.00
29385	HK Bank - HSI European Put Warrants Oct 2017 A	180,000,000	2017/03/08	2017/10/30 27.00
29415	Haitong Int'l Sec - China Life European Warrants Jun 2017 A	70,000,000	2017/03/08	2017/06/23 10.50
29416	Haitong Int'l Sec - CNOOC European Warrants Jul 2018 A	70,000,000	2017/03/08	2018/07/31 10.50
29422	Haitong Int'l Sec - ZTE European Warrants Jul 2017 A	70,000,000	2017/03/08	2017/07/27 10.50
29483	J P Morgan SP BV - BJ Ent Water European Warrants Mar 2019 A	100,000,000	2017/03/08	2019/03/01 25.00
29495	J P Morgan SP BV - Ch Comm Cons European Warrants Oct 2017 A	60,000,000	2017/03/08	2017/10/03 33.60
29482	J P Morgan SP BV - CNOOC European Warrants Aug 2017 B	100,000,000	2017/03/08	2017/08/01 29.50
29465	J P Morgan SP BV - Galaxy Ent European Warrants Nov 2017 A	100,000,000	2017/03/08	2017/11/01 25.10
29480	J P Morgan SP BV - Galaxy Ent European Put Wts Oct 2017 A	100,000,000	2017/03/08	2017/10/04 33.00
29481	J P Morgan SP BV - Sands China European Warrants Dec 2017 A	100,000,000	2017/03/08	2017/12/04 25.30
29496	J P Morgan SP BV - ZTE European Warrants Dec 2017 B	70,000,000	2017/03/08	2017/12/04 10.64
29393	Macquarie Bank Ltd. - Foxconn European Warrants Dec 2017 A	30,000,000	2017/03/08	2017/12/04 10.35
29396	Macquarie Bank Ltd. - ZTE European Warrants Feb 2018 A	40,000,000	2017/03/08	2018/02/12 10.00
29502	UBS AG - Galaxy Ent European Warrants Oct 2017 A	50,000,000	2017/03/08	2017/10/11 12.50
29497	UBS AG - ZTE European Warrants Jul 2017 A	70,000,000	2017/03/08	2017/07/27 10.50
29565	BOCI Asia Ltd. - Galaxy Ent European Warrants Aug 2017 B	100,000,000	2017/03/09	2017/08/28 21.10
29585	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Aug 2017 C	100,000,000	2017/03/09	2017/08/24 17.10
29586	BOCI Asia Ltd. - Sands China European Put Wts Aug 2017 A	100,000,000	2017/03/09	2017/08/28 16.40
29617	Credit Suisse AG - Ch Comm Cons European Warrants Oct 2017 B	25,000,000	2017/03/09	2017/10/03 14.00
29618	Credit Suisse AG - Galaxy Ent European Warrants Oct 2017 A	50,000,000	2017/03/09	2017/10/06 12.50
29620	Bank of East Asia - Ch Comm Cons European Wts Nov 2018 A	50,000,000	2017/03/09	2018/11/28 12.75
29619	Bank of East Asia - CNBM European Warrants Oct 2017 A	20,000,000	2017/03/09	2017/10/03 10.24
29621	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2017 B	60,000,000	2017/03/09	2017/07/26 10.08
29527	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2017 F	120,000,000	2017/03/09	2017/06/28 18.00
29562	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2017 A	120,000,000	2017/03/09	2017/08/28 18.00
29564	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2017 B	120,000,000	2017/03/09	2017/09/27 18.00
29524	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2017 C	250,000,000	2017/03/09	2017/08/28 37.50
29522	Goldman Sachs SP (Asia) - Tencent European Wt Nov 2017 B	250,000,000	2017/03/09	2017/11/28 37.50
29626	J P Morgan SP BV - Tencent European Warrants Jun 2017 G	100,000,000	2017/03/09	2017/06/30 49.80
29604	Macquarie Bank Ltd. - ASM Pacific European Wts Oct 2018 A	28,000,000	2017/03/09	2018/10/16 10.02
29615	Macquarie Bank Ltd. - GAC European Wts Apr 2018 B	41,000,000	2017/03/09	2018/04/04 10.25
29613	Macquarie Bank Ltd. - ICBC European Warrants Oct 2017 A	41,000,000	2017/03/09	2017/10/04 10.29
29635	BOCI Asia Ltd. - HSI European Warrants Sep 2017 B	100,000,000	2017/03/10	2017/09/28 15.00
29637	BOCI Asia Ltd. - HSI European Warrants Nov 2017 A	100,000,000	2017/03/10	2017/11/29 15.00
29646	BOCI Asia Ltd. - HSI European Put Warrants Oct 2017 A	100,000,000	2017/03/10	2017/10/30 15.00
29742	HK Bank - HKEX European Warrants Dec 2017 A	100,000,000	2017/03/10	2017/12/27 15.00
29739	HK Bank - KECL European Warrants Sep 2017 A	40,000,000	2017/03/10	2017/09/29 14.00
29719	Haitong Int'l Sec - HSI European Warrants Sep 2017 B	150,000,000	2017/03/10	2017/09/28 31.80
29702	Haitong Int'l Sec - HSI European Warrants Jan 2018 A	150,000,000	2017/03/10	2018/01/30 22.95
29716	Haitong Int'l Sec - HSI European Warrants Mar 2018 A	150,000,000	2017/03/10	2018/03/28 30.30
29698	Haitong Int'l Sec - HSI European Warrants Dec 2018 A	150,000,000	2017/03/10	2018/12/28 37.65

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29729	Haitong Int'l Sec - HSI European Put Warrants Dec 2017 A	150,000,000	2017/03/10	2017/12/28	37.50
29748	J P Morgan SP BV - Huaneng Power European Wts Dec 2017 A	60,000,000	2017/03/10	2017/12/01	17.52
29665	Macquarie Bank Ltd. - CM Bank European Put Warrants Apr 18 B	40,000,000	2017/03/10	2018/04/04	10.00
29694	Macquarie Bank Ltd. - Tencent European Warrants Oct 2017 C	10,000,000	2017/03/10	2017/10/04	18.63
29870	Credit Suisse AG - KECL European Warrants Sep 2017 A	35,000,000	2017/03/13	2017/09/14	10.50
29825	HK Bank - CNOOC European Warrants Sep 2017 A	30,000,000	2017/03/13	2017/09/29	15.00
29833	HK Bank - Sinopec Corp European Warrants Sep 2017 B	30,000,000	2017/03/13	2017/09/29	12.00
29834	HK Bank - CSOP A50 ETF European Warrants Sep 2017 A	35,000,000	2017/03/13	2017/09/29	10.50
29793	Haitong Int'l Sec - Agricultural Bk European Warrants Dec17A	80,000,000	2017/03/13	2017/12/29	20.00
29780	Haitong Int'l Sec - Agricultural Bk European Warrants Jan18A	68,000,000	2017/03/13	2018/01/24	11.56
29795	Haitong Int'l Sec - Ch Comm Cons European Warrants Sep 2017B	25,000,000	2017/03/13	2017/09/18	10.50
29774	Haitong Int'l Sec - Cathay Pacific European Warrants Dec18 A	68,000,000	2017/03/13	2018/12/28	17.00
29770	Haitong Int'l Sec - Guangdong Inv European Warrants Dec 18 A	50,000,000	2017/03/13	2018/12/28	12.75
29753	Haitong Int'l Sec - Galaxy Ent European Warrants Sep 2017 A	35,000,000	2017/03/13	2017/09/29	10.54
29754	Haitong Int'l Sec - Galaxy Ent European Warrants Dec 2017 A	55,000,000	2017/03/13	2017/12/21	10.29
29809	Haitong Int'l Sec - Sino-Ocean Gp European Warrants Apr2018A	20,000,000	2017/03/13	2018/04/30	12.20
29775	Haitong Int'l Sec - Sinotrans European Warrants Aug 2018 A	20,000,000	2017/03/13	2018/08/08	12.40
29765	Haitong Int'l Sec - Want Want China European Warrants Dec17A	30,000,000	2017/03/13	2017/12/18	10.80
29880	J P Morgan SP BV - Foxconn European Warrants Dec 2017 A	40,000,000	2017/03/13	2017/12/29	10.04
29842	Macquarie Bank Ltd. - China Res Gas European Wts Feb 2018 A	20,000,000	2017/03/13	2018/02/20	10.60
29868	Macquarie Bank Ltd. - Techtronic Ind European Wts Jan 2018 A	36,000,000	2017/03/13	2018/01/16	10.01
29869	SG Issuer - SMIC European Warrants Aug 2017 A	70,000,000	2017/03/13	2017/08/21	13.65
11071	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Feb 2018 A	40,000,000	2017/03/14	2018/02/02	10.40
11068	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wt Jan 2018A	80,000,000	2017/03/14	2018/01/29	16.00
11067	Credit Suisse AG - Cathay Pacific European Warrants Oct 17 A	15,000,000	2017/03/14	2017/10/04	10.05
11075	Goldman Sachs SP (Asia) - CNOOC European Warrants Jul 2017 B	60,000,000	2017/03/14	2017/07/27	10.14
29944	Haitong Int'l Sec - BOC HK European Warrants Nov 2017 A	40,000,000	2017/03/14	2017/11/30	10.08
29943	Haitong Int'l Sec - BOC HK European Put Warrants Mar 2018 A	40,000,000	2017/03/14	2018/03/29	10.28
11059	Haitong Int'l Sec - BOCL European Warrants Aug 2018 A	68,000,000	2017/03/14	2018/08/28	17.68
29939	Haitong Int'l Sec - China Gas Hold European Warrants Nov 17A	68,000,000	2017/03/14	2017/11/28	10.27
29940	Haitong Int'l Sec - Gome European Warrants May 2018 A	80,000,000	2017/03/14	2018/05/28	20.80
11044	Haitong Int'l Sec - HKEX European Put Warrants Mar 2019 A	80,000,000	2017/03/14	2019/03/28	20.00
29921	Haitong Int'l Sec - HSBC European Warrants Sep 2017 D	28,000,000	2017/03/14	2017/09/28	10.67
29922	Haitong Int'l Sec - HSBC European Put Warrants Jun 2018 A	40,000,000	2017/03/14	2018/06/29	11.00
29941	Haitong Int'l Sec - Ping An European Warrants Oct 2017 B	50,000,000	2017/03/14	2017/10/23	10.00
11045	Haitong Int'l Sec - Sh Electric European Warrants Feb 2018 A	18,000,000	2017/03/14	2018/02/08	11.70
11088	J P Morgan SP BV - China Tel European Warrants Nov 2017 A	80,000,000	2017/03/14	2017/11/01	12.08
11098	J P Morgan SP BV - Evergrande European Warrants Sep 2017 A	60,000,000	2017/03/14	2017/09/04	13.26
11108	J P Morgan SP BV - HSI European Put Warrants Oct 2017 C	300,000,000	2017/03/14	2017/10/30	46.80
11102	J P Morgan SP BV - PetroChina European Warrants Oct 2017 A	100,000,000	2017/03/14	2017/10/03	25.80
11042	Macquarie Bank Ltd. - HKEX European Warrants Oct 2017 F	23,000,000	2017/03/14	2017/10/04	10.03
29946	Macquarie Bank Ltd. - HN Renewables European Wts Jan 2018 A	20,000,000	2017/03/14	2018/01/16	10.00
11063	SG Issuer - Li & Fung European Warrants Nov 2017 A	35,000,000	2017/03/14	2017/11/03	10.15
11064	SG Issuer - ZTE European Warrants Sep 2017 A	60,000,000	2017/03/14	2017/09/22	12.00

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12892 #	Credit Suisse AG - Car Inc European Warrants Dec 2017 A	100,000,000	2017/03/14	2017/12/29 10.10
11197	Credit Suisse AG - Yuexiu Property European Wts Feb 2018 A	40,000,000	2017/03/15	2018/02/28 10.00
11115	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2017 C	200,000,000	2017/03/15	2017/09/28 30.00
11129	Haitong Int'l Sec - CNOOC European Warrants Jul 2017 A	68,000,000	2017/03/15	2017/07/28 10.88
11141	Haitong Int'l Sec - CNOOC European Warrants Sep 2017 A	68,000,000	2017/03/15	2017/09/25 10.20
11160	Haitong Int'l Sec - Huaneng European Warrants Sep 2017 A	28,000,000	2017/03/15	2017/09/18 10.64
11162	Haitong Int'l Sec - Li & Fung European Warrants Dec 2017 B	40,000,000	2017/03/15	2017/12/18 10.40
11163	Haitong Int'l Sec - Lenovo European Warrants Jul 2017 A	60,000,000	2017/03/15	2017/07/24 11.70
11167	Haitong Int'l Sec - Sunny Optical European Warrants Sep 17 A	40,000,000	2017/03/15	2017/09/18 22.00
11228	J P Morgan SP BV - PSBC European Warrants Oct 2017 A	40,000,000	2017/03/15	2017/10/03 10.04
11188	SG Issuer - Geely Auto European Warrants Aug 2017 C	10,000,000	2017/03/15	2017/08/24 12.30
11193	SG Issuer - Geely Auto European Warrants Sep 2017 C	10,000,000	2017/03/15	2017/09/27 10.00
11196	SG Issuer - Kingsoft European Warrants Nov 2017 A	60,000,000	2017/03/15	2017/11/03 10.20
11112	SG Issuer - PICC European Warrants Oct 2017 A	38,000,000	2017/03/15	2017/10/06 10.07
11211	UBS AG - Evergrande European Warrants Sep 2017 A	40,000,000	2017/03/15	2017/09/14 10.00
14149 #	UBS AG - CNOOC European Warrants May 2018 B	200,000,000	2017/03/15	2018/05/28 10.80
11242	Credit Suisse AG - CNOOC European Warrants Jul 2017 B	50,000,000	2017/03/16	2017/07/27 12.50
11241	Goldman Sachs SP (Asia) - China Tel European Wts Sep 2017 A	50,000,000	2017/03/16	2017/09/27 10.00
11234	Goldman Sachs Sp (Asia) - ICBC European Warrants Aug 2017 A	80,000,000	2017/03/16	2017/08/28 12.00
11265	J P Morgan SP BV - China Gas Hold European Wts Nov 2017 A	70,000,000	2017/03/16	2017/11/27 10.57
11259	J P Morgan SP BV - CITIC Sec European Warrants Dec 2017 A	70,000,000	2017/03/16	2017/12/29 10.64
11254	J P Morgan SP BV - CNOOC European Warrants Oct 2017 A	100,000,000	2017/03/16	2017/10/03 46.80
11244	J P Morgan SP BV - HSI European Warrants Oct 2017 D	300,000,000	2017/03/16	2017/10/30 75.30
11266	J P Morgan SP BV - PICC Group European Warrants Dec 2017 A	60,000,000	2017/03/16	2017/12/04 15.24
11229	SG Issuer - CNOOC European Warrants Oct 2017 A	20,000,000	2017/03/16	2017/10/06 10.00
11270	UBS AG - China National Building European Wts Aug 2017 B	50,000,000	2017/03/16	2017/08/31 12.45
11268	UBS AG - PetroChina European Warrants Aug 2017 A	50,000,000	2017/03/16	2017/08/23 11.90
11297	BOCI Asia Ltd. - CC Bank European Warrants Aug 2017 B	100,000,000	2017/03/17	2017/08/24 19.00
11401	Credit Suisse AG - CITIC Sec European Warrants Dec 2017 B	70,000,000	2017/03/17	2017/12/28 10.50
11379	Credit Suisse AG - China Shenhua European Wts Oct 2017 B	70,000,000	2017/03/17	2017/10/26 10.50
11402	Credit Suisse AG - PetroChina European Warrants Aug 2017 A	55,000,000	2017/03/17	2017/08/23 10.84
11310	Goldman Sachs SP (Asia) - AIA European Warrants Sep 2017 B	80,000,000	2017/03/17	2017/09/28 20.00
11304	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2017 D	100,000,000	2017/03/17	2017/09/26 15.00
11528	Goldman Sachs SP (Asia) - CNOOC European Warrants Sep 2017 A	60,000,000	2017/03/17	2017/09/29 15.00
11532	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Sep 2017 A	60,000,000	2017/03/17	2017/09/29 15.00
11522	Goldman Sachs SP (Asia) - HKEX European Warrants Oct 2017 A	200,000,000	2017/03/17	2017/10/27 30.00
11303	Goldman Sachs SP (Asia) - HKEX European Warrants Dec 2017 B	200,000,000	2017/03/17	2017/12/11 30.00
11481	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2017 E	200,000,000	2017/03/17	2017/08/30 30.00
11283	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2017 D	200,000,000	2017/03/17	2017/09/28 30.00
11492	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2017 A	200,000,000	2017/03/17	2017/10/30 30.00
11529	Goldman Sachs SP (Asia) - PetroChina European Wts Jul 2017 B	60,000,000	2017/03/17	2017/07/31 10.08
11511	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2017 C	100,000,000	2017/03/17	2017/06/27 15.60
11298	Goldman Sachs SP (Asia) - Sands China Euro Wts Jul 2017 A	60,000,000	2017/03/17	2017/07/28 10.08
11366	HK Bank - Geely Auto European Put Warrants Dec 2017 D	100,000,000	2017/03/17	2017/12/29 25.00

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11466	J P Morgan SP BV - Geely Auto European Warrants Mar 2018 A	200,000,000	2017/03/17	2018/03/01	51.60
11473	J P Morgan SP BV - Geely Auto European Put Warrants Dec 17 B	70,000,000	2017/03/17	2017/12/29	10.78
11472	J P Morgan SP BV - Wharf European Warrants Oct 2017 A	60,000,000	2017/03/17	2017/10/03	18.42
11370	Macquarie Bank Ltd. - CNOOC European Warrants Oct 2017 C	30,000,000	2017/03/17	2017/10/04	10.08
11290	Macquarie Bank Ltd. - Lenovo European Warrants Nov 2017 A	29,000,000	2017/03/17	2017/11/02	10.03
11461	Macquarie Bank Ltd. - Ping An European Put Warrants Oct 17 B	40,000,000	2017/03/17	2017/10/04	10.00
11447	Macquarie Bank Ltd. - Ping An European Put Warrants Jan 18 B	40,000,000	2017/03/17	2018/01/03	10.00
11324	Macquarie Bank Ltd. - Wharf European Warrants Feb 2018 A	18,000,000	2017/03/17	2018/02/02	10.22
11322	SG Issuer - Stanchart European Warrants Oct 2017 A	16,000,000	2017/03/17	2017/10/06	10.08
11339	UBS AG - CKP European Warrants Sep 2017 A	70,000,000	2017/03/17	2017/09/26	11.48
11326	UBS AG - Sinopec Corp European Warrants Jul 2017 A	70,000,000	2017/03/17	2017/07/17	10.57
11439	UBS AG - Geely Auto European Warrants Sep 2017 B	40,000,000	2017/03/17	2017/09/18	21.76
11363	UBS AG - HKEX European Warrants Dec 2017 B	300,000,000	2017/03/17	2017/12/11	45.00
11347	UBS AG - SPDR Gold Trust European Warrants Sep 2017 A	40,000,000	2017/03/17	2017/09/18	10.28
11342	UBS AG - Sunny Optical European Warrants Sep 2017 A	30,000,000	2017/03/17	2017/09/18	11.31
11542	BOCI Asia Ltd. - Geely Auto European Warrants Feb 2018 B	100,000,000	2017/03/20	2018/02/26	25.00
11565	BNP Paribas Arbit Issu B.V. - AIA European Wts Oct 2018 A	40,000,000	2017/03/20	2018/10/03	10.40
11557	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Jul 2018 A	40,000,000	2017/03/20	2018/07/04	12.00
11584	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Jan 2018 B	40,000,000	2017/03/20	2018/01/03	10.00
11590	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Oct 2017 A	40,000,000	2017/03/20	2017/10/04	12.40
11581	BNP Paribas Arbit Issu B.V. - Li & Fung Euro Wts Jul 2018 A	40,000,000	2017/03/20	2018/07/04	12.40
11609	Credit Suisse AG - Geely Auto European Warrants Feb 2018 A	100,000,000	2017/03/20	2018/02/28	25.00
11616	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2017 D	80,000,000	2017/03/20	2017/07/24	18.16
11627	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2017 C	80,000,000	2017/03/20	2017/08/28	14.56
11637	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2017 C	80,000,000	2017/03/20	2017/07/28	12.00
11641	Goldman Sachs SP (Asia) - China Mobile Euro Wts Aug 2017 A	80,000,000	2017/03/20	2017/08/14	12.00
11614	Goldman Sachs SP (Asia) - Tencent European Put Wt Sep 2017 E	100,000,000	2017/03/20	2017/09/28	15.00
11544	HK Bank - Geely Auto European Warrants Jan 2018 A	150,000,000	2017/03/20	2018/01/31	37.50
11612	J P Morgan SP BV - Country Garden European Warrants Oct 17 B	40,000,000	2017/03/20	2017/10/03	22.12
11554	Macquarie Bank Ltd. - Country Garden European Wts Dec 2017 A	18,000,000	2017/03/20	2017/12/04	10.03
11548	Macquarie Bank Ltd. - Shimao Ppt European Wts Nov 2018 A	40,000,000	2017/03/20	2018/11/19	10.00
11541	SG Issuer - China Overseas European Put Warrants Oct 2017 A	40,000,000	2017/03/20	2017/10/06	10.20
11603	SG Issuer - Evergrande European Warrants Oct 2017 B	21,000,000	2017/03/20	2017/10/06	10.08
11728	UBS AG - CC Bank European Warrants Jul 2017 B	70,000,000	2017/03/20	2017/07/27	10.71
11718	UBS AG - Evergrande European Warrants Sep 2017 B	40,000,000	2017/03/20	2017/09/21	16.88
11696	UBS AG - HSBC European Warrants Jul 2017 C	100,000,000	2017/03/20	2017/07/10	16.80
11694	UBS AG - ICBC European Warrants Oct 2017 A	70,000,000	2017/03/20	2017/10/16	10.78
11678	UBS AG - Kingsoft European Warrants Oct 2017 A	100,000,000	2017/03/20	2017/10/26	17.90
11679	UBS AG - ZTE European Warrants Nov 2017 A	70,000,000	2017/03/20	2017/11/28	13.72
11783	BOCI Asia Ltd. - AAC Tech European Warrants Nov 2017 A	100,000,000	2017/03/21	2017/11/27	15.00
11889	Credit Suisse AG - ZTE European Warrants Dec 2017 A	50,000,000	2017/03/21	2017/12/29	12.50
11898	Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2017 B	80,000,000	2017/03/21	2017/09/26	12.00
11900	Goldman Sachs Sp (Asia) - ICBC European Warrants Oct 2017 A	80,000,000	2017/03/21	2017/10/30	12.00
11848	Macquarie Bank Ltd. - Brilliance Chi Euro Wts Jun 2018 A	34,000,000	2017/03/21	2018/06/04	10.06

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11864	Macquarie Bank Ltd. - Sinopec Corp Euro Put Wts Dec 2017 A	33,000,000	2017/03/21	2017/12/04	10.10
11841	Macquarie Bank Ltd. - Geely Auto European Wts Jul 2018 A	39,000,000	2017/03/21	2018/07/03	10.06
11809	Macquarie Bank Ltd. - HSBC European Warrants Nov 2017 B	40,000,000	2017/03/21	2017/11/02	10.28
11807	Macquarie Bank Ltd. - Kingsoft European Warrants Dec 2017 A	40,000,000	2017/03/21	2017/12/04	10.00
11794	Macquarie Bank Ltd. - Tencent European Warrants Oct 2017 D	40,000,000	2017/03/21	2017/10/04	10.00
11888	UBS AG - Agricultural Bank European Warrants Oct 2017 A	70,000,000	2017/03/21	2017/10/24	10.57
11865	UBS AG - BOCL European Warrants Aug 2017 C	70,000,000	2017/03/21	2017/08/24	10.57
11879	UBS AG - Galaxy Ent European Warrants Jun 2017 B	70,000,000	2017/03/21	2017/06/23	10.71
11885	UBS AG - Sands China European Warrants Aug 2017 A	70,000,000	2017/03/21	2017/08/25	10.57
11961	Credit Suisse AG - R&F Ppt European Warrants Aug 2017 A	70,000,000	2017/03/22	2017/08/31	10.50
12103	J P Morgan SP BV - CKH European Put Warrants Oct 2017 A	60,000,000	2017/03/22	2017/10/03	21.66
11985	J P Morgan SP BV - HSI European Warrants Mar 2018 A	300,000,000	2017/03/22	2018/03/28	45.60
11981	J P Morgan SP BV - HSI European Put Warrants May 2018 A	300,000,000	2017/03/22	2018/05/30	45.60
11979	J P Morgan SP BV - HSI European Put Warrants Sep 2018 A	300,000,000	2017/03/22	2018/09/27	45.60
12101	J P Morgan SP BV - PetroChina European Warrants Aug 2017 A	100,000,000	2017/03/22	2017/08/31	21.90
11907	Macquarie Bank Ltd. - China Life European Wts Oct 2017 A	20,000,000	2017/03/22	2017/10/04	10.00
11904	Macquarie Bank Ltd. - China Mobile Euro Put Wts Jan 2018 A	37,000,000	2017/03/22	2018/01/03	10.03
11957	Macquarie Bank Ltd. - Chi Res Power European Wts Jul 2018 A	37,000,000	2017/03/22	2018/07/09	10.03
11917	Macquarie Bank Ltd. - Ping An European Warrants Oct 2017 C	26,000,000	2017/03/22	2017/10/04	10.01
11941	Macquarie Bank Ltd. - PSBC European Warrants Dec 2017 A	40,000,000	2017/03/22	2017/12/04	10.16
11913	Macquarie Bank Ltd. - Weigao Group European Wts Feb 2020 A	40,000,000	2017/03/22	2020/02/18	10.00
12105	UBS AG - China Unicom European Warrants Nov 2017 A	70,000,000	2017/03/22	2017/11/28	10.50
12117	UBS AG - Stanchart European Warrants Sep 2017 A	30,000,000	2017/03/22	2017/09/21	12.36
12137	BOCI Asia Ltd. - CNOOC European Warrants Sep 2017 B	100,000,000	2017/03/23	2017/09/28	32.10
12119	BOCI Asia Ltd. - Sinopec Corp European Warrants Sep 2017 A	100,000,000	2017/03/23	2017/09/28	25.20
12123	BOCI Asia Ltd. - HKEX European Warrants Sep 2017 C	100,000,000	2017/03/23	2017/09/25	34.60
12156	BOCI Asia Ltd. - Kingsoft European Warrants Oct 2017 A	100,000,000	2017/03/23	2017/10/26	20.50
12136	BOCI Asia Ltd. - PetroChina European Warrants Aug 2017 A	100,000,000	2017/03/23	2017/08/23	18.30
12154	BOCI Asia Ltd. - Tencent Holdings European Put Wts Oct 2017 C	100,000,000	2017/03/23	2017/10/24	15.00
12185	Credit Suisse AG - China Cinda European Warrants Sep 2017 B	40,000,000	2017/03/23	2017/09/29	10.00
12178	Credit Suisse AG - China Tel European Warrants Nov 2017 A	40,000,000	2017/03/23	2017/11/30	10.00
12181	Credit Suisse AG - Lenovo European Warrants Aug 2017 C	70,000,000	2017/03/23	2017/08/31	10.50
12184	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 H	50,000,000	2017/03/23	2017/07/03	15.75
12171	J P Morgan SP BV - China Cinda European Warrants Oct 2017 A	60,000,000	2017/03/23	2017/10/03	15.42
12170	J P Morgan SP BV - China Unicom European Warrants Oct 2017 A	100,000,000	2017/03/23	2017/10/03	26.90
12139	Macquarie Bank Ltd. - China East Air European Wts Feb 2018 A	14,000,000	2017/03/23	2018/02/05	10.50
12138	Macquarie Bank Ltd. - SHK Ppt European Warrants Dec 2017 B	30,000,000	2017/03/23	2017/12/04	10.14
12141	UBS AG - China Cinda European Warrants Jul 2017 A	70,000,000	2017/03/23	2017/07/26	10.64
12147	UBS AG - China Unicom European Warrants Jul 2017 A	30,000,000	2017/03/23	2017/07/27	13.92
12157	UBS AG - Tencent Holdings European Warrants Jun 2017 E	50,000,000	2017/03/23	2017/06/27	23.05
12161	UBS AG - Tencent Holdings European Put Warrants Sep 2017 A	200,000,000	2017/03/23	2017/09/29	31.40
12291	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2017 D	80,000,000	2017/03/24	2017/09/28	12.00
12320	BNP Paribas Arbit Issu B.V. - HSI European Wts Mar 2018 B	80,000,000	2017/03/24	2018/03/28	12.80
12323	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2017 B	80,000,000	2017/03/24	2017/12/28	23.20

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12335	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Mar 2018 C	80,000,000	2017/03/24	2018/03/28	20.00
12351	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Apr 2019 A	80,000,000	2017/03/24	2019/04/02	17.60
12383	Credit Suisse AG - Anhui Conch European Warrants Oct 2017 A	50,000,000	2017/03/24	2017/10/03	12.50
12429	Credit Suisse AG - China EB Ltd European Warrants Mar 2018 A	40,000,000	2017/03/24	2018/03/29	10.00
12411	Credit Suisse AG - CGS European Warrants Aug 2017 A	25,000,000	2017/03/24	2017/08/28	11.25
12385	Credit Suisse AG - CKH Holdings European Warrants Oct 2017 A	50,000,000	2017/03/24	2017/10/13	12.50
12406	Credit Suisse AG - HS H-SHARE European Warrants Aug 2017 B	70,000,000	2017/03/24	2017/08/03	10.50
12380	Credit Suisse AG - Kingsoft European Warrants Nov 2017 A	50,000,000	2017/03/24	2017/11/30	12.50
12378	Credit Suisse AG - PICC European Warrants Sep 2017 A	30,000,000	2017/03/24	2017/09/29	10.50
12432	Credit Suisse AG - SHK Ppt European Warrants Aug 2017 C	35,000,000	2017/03/24	2017/08/31	11.03
12364	Goldman Sachs SP (Asia) - HSI European Warrants Nov 2017 A	200,000,000	2017/03/24	2017/11/29	30.00
12194	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2017 F	200,000,000	2017/03/24	2017/09/28	30.00
12199	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2018 A	200,000,000	2017/03/24	2018/02/28	30.00
12252	HK Bank - Sunny Optical European Warrants Sep 2017 B	25,000,000	2017/03/24	2017/09/29	10.00
12232	HK Bank - Tencent European Warrants Nov 2017 A	100,000,000	2017/03/24	2017/11/24	15.00
12229	HK Bank - Tencent European Put Warrants Sep 2017 E	80,000,000	2017/03/24	2017/09/27	12.00
12367	J P Morgan SP BV - Tencent European Warrants Jun 2017 H	100,000,000	2017/03/24	2017/06/30	20.10
12285	Macquarie Bank Ltd. - CNBM European Warrants Dec 2017 B	25,000,000	2017/03/24	2017/12/04	10.00
12290	Macquarie Bank Ltd. - Samsonite European Warrants May 2018 A	23,000,000	2017/03/24	2018/05/16	10.05
12282	Macquarie Bank Ltd. - Tencent European Put Wts Oct 2017 E	40,000,000	2017/03/24	2017/10/04	10.00
12204	SG Issuer - China Cinda European Warrants Oct 2017 A	40,000,000	2017/03/24	2017/10/06	10.00
12258	SG Issuer - China Overseas European Warrants Dec 2017 A	50,000,000	2017/03/24	2017/12/05	12.50
12261	SG Issuer - Geely Auto European Warrants Nov 2017 B	100,000,000	2017/03/24	2017/11/27	25.00
12214	SG Issuer - Galaxy Ent European Put Warrants Oct 2017 A	60,000,000	2017/03/24	2017/10/06	16.80
12273	SG Issuer - HSI European Warrants Dec 2017 D	150,000,000	2017/03/24	2017/12/28	37.50
12216	SG Issuer - Sands China European Put Warrants Oct 2017 A	60,000,000	2017/03/24	2017/10/06	15.90
12280	SG Issuer - Tencent European Warrants Jul 2017 H	20,000,000	2017/03/24	2017/07/05	14.00
12217	SG Issuer - Tencent European Warrants Oct 2017 F	200,000,000	2017/03/24	2017/10/06	30.00
12257	UBS AG - Galaxy Ent European Put Warrants Oct 2017 B	70,000,000	2017/03/24	2017/10/06	20.51
12256	UBS AG - Tencent Holdings European Warrants Sep 2017 B	300,000,000	2017/03/24	2017/09/22	45.30
12434	BOCI Asia Ltd. - Tencent Holdings European Wts Oct 2017 D	200,000,000	2017/03/27	2017/10/31	30.00
12592	Credit Suisse AG - China Life European Warrants Dec 2017 A	80,000,000	2017/03/27	2017/12/28	13.76
12633	Credit Suisse AG - China Mobile European Warrants Oct 2017 A	50,000,000	2017/03/27	2017/10/09	12.50
12594	Credit Suisse AG - Ping An European Put Warrants Oct 2017 B	50,000,000	2017/03/27	2017/10/04	14.00
12626	Credit Suisse AG - Sands China European Warrants Oct 2017 A	70,000,000	2017/03/27	2017/10/04	10.50
12587	Credit Suisse AG - Sands China European Put Wts Sep 2017 B	50,000,000	2017/03/27	2017/09/29	17.00
12584	Credit Suisse AG - Tencent Holdings Euro Put Wts Nov 2017 A	150,000,000	2017/03/27	2017/11/03	37.50
12550	J P Morgan SP BV - China Mob European Wts Nov 2017 A	100,000,000	2017/03/27	2017/11/02	25.30
12547	J P Morgan SP BV - China Mob European Put Wts Oct 2017 A	100,000,000	2017/03/27	2017/10/03	38.00
12528	J P Morgan SP BV - HSI European Put Warrants Nov 2017 B	300,000,000	2017/03/27	2017/11/29	75.00
12564	J P Morgan SP BV - Tencent European Warrants Aug 2018 A	200,000,000	2017/03/27	2018/08/01	30.00
12537	J P Morgan SP BV - Tencent European Put Warrants Oct 2017 D	200,000,000	2017/03/27	2017/10/04	30.20
12454	Macquarie Bank Ltd. - BOCHK European Warrants May 2018 A	40,000,000	2017/03/27	2018/05/03	10.00
12467	Macquarie Bank Ltd. - JNCEC European Warrants Dec 2017 A	23,000,000	2017/03/27	2017/12/18	10.01

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12450	Macquarie Bank Ltd. - Ping An European Warrants Dec 2017 A	40,000,000	2017/03/27	2017/12/04	10.04
12485	SG Issuer - Ch Mob European Warrants Sep 2017 A	60,000,000	2017/03/27	2017/09/05	11.70
12489	SG Issuer - CMob European Put Warrants Oct 2017 A	60,000,000	2017/03/27	2017/10/06	21.00
12492	SG Issuer - Galaxy Ent European Warrants Nov 2017 A	60,000,000	2017/03/27	2017/11/03	15.00
12498	SG Issuer - HSCEI European Put Warrants Nov 2017 A	150,000,000	2017/03/27	2017/11/29	37.50
12505	SG Issuer - HSI European Put Warrants Sep 2017 E	150,000,000	2017/03/27	2017/09/28	37.50
12525	SG Issuer - Kingsoft European Warrants Dec 2017 A	50,000,000	2017/03/27	2017/12/05	12.50
12513	UBS AG - China Mobile European Warrants Jul 2017 B	100,000,000	2017/03/27	2017/07/26	26.20
12523	UBS AG - Wynn Macau European Warrants Sep 2017 B	70,000,000	2017/03/27	2017/09/27	12.32
13576 #	Credit Suisse AG - Tencent Holdings European Wts Oct 2017 A	150,000,000	2017/03/27	2017/10/06	15.60
13897 #	Credit Suisse AG - HKEX European Warrants Jul 2017 A	375,000,000	2017/03/27	2017/07/18	10.13
12690	BOCI Asia Ltd. - Sunny Optical European Warrants Sep 2017 B	100,000,000	2017/03/28	2017/09/29	36.00
12666	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Apr 2018 A	40,000,000	2017/03/28	2018/04/04	19.60
12674	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jan 2018 A	30,000,000	2017/03/28	2018/01/03	14.40
12675	BNP Paribas Arbit Issu B.V. - Ch Unicom Euro Wt Jan 2018 B	40,000,000	2017/03/28	2018/01/03	18.40
12679	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Apr 2018 A	40,000,000	2017/03/28	2018/04/04	10.80
12722	Credit Suisse AG - Ping An European Warrants Sep 2017 B	70,000,000	2017/03/28	2017/09/29	10.50
12740	Goldman Sachs SP (Asia) - BYD European Warrants Sep 2017 A	40,000,000	2017/03/28	2017/09/28	10.00
12900	J P Morgan SP BV - China Life European Warrants Jul 2017 C	100,000,000	2017/03/28	2017/07/03	27.70
12796	J P Morgan SP BV - China Life European Warrants Dec 2017 E	100,000,000	2017/03/28	2017/12/11	15.10
12786	J P Morgan SP BV - HSI European Warrants Dec 2017 A	300,000,000	2017/03/28	2017/12/28	75.30
12814	J P Morgan SP BV - ICBC European Warrants Nov 2017 A	100,000,000	2017/03/28	2017/11/01	15.30
12797	J P Morgan SP BV - Tencent European Warrants Sep 2017 E	100,000,000	2017/03/28	2017/09/01	27.70
12824	J P Morgan SP BV - Tracker Fund European Warrants Aug 2018 A	100,000,000	2017/03/28	2018/08/01	25.30
12830	J P Morgan SP BV - Tracker Fund European Put Warrants Aug18B	100,000,000	2017/03/28	2018/08/01	25.10
12680	Macquarie Bank Ltd. - BBMG European Warrants Dec 2017 A	17,000,000	2017/03/28	2017/12/18	10.01
12641	Macquarie Bank Ltd. - China Mobile European Wts Oct 2017 A	28,000,000	2017/03/28	2017/10/04	10.16
12686	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Oct 2018 A	40,000,000	2017/03/28	2018/10/02	10.00
12696	SG Issuer - HSBC European Put Warrants Sep 2017 C	80,000,000	2017/03/28	2017/09/05	15.20
12650	SG Issuer - Ping An European Put Warrants Oct 2017 B	100,000,000	2017/03/28	2017/10/06	25.00
12655	SG Issuer - Tencent European Warrants Sep 2017 B	200,000,000	2017/03/28	2017/09/05	31.00
12899	UBS AG - AIA European Warrants Sep 2017 A	100,000,000	2017/03/28	2017/09/22	20.10
12846	UBS AG - BOCL European Warrants Sep 2017 A	70,000,000	2017/03/28	2017/09/22	10.57
12832	UBS AG - CC Bank European Warrants Nov 2017 A	70,000,000	2017/03/28	2017/11/27	12.81
12867	UBS AG - China Life European Warrants Dec 2017 A	100,000,000	2017/03/28	2017/12/20	17.40
12890	UBS AG - China Life European Put Warrants Nov 2017 A	70,000,000	2017/03/28	2017/11/24	12.18
12836	UBS AG - ICBC European Warrants Oct 2017 B	70,000,000	2017/03/28	2017/10/26	10.85
12863	UBS AG - Ping An European Warrants Sep 2017 B	100,000,000	2017/03/28	2017/09/27	15.10
12886	UBS AG - Ping An European Put Warrants Sep 2017 C	70,000,000	2017/03/28	2017/09/27	23.66
13003	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 I	40,000,000	2017/03/29	2017/07/31	13.60
12916	Credit Suisse AG - Tencent Holdings European Wts Oct 2017 D	150,000,000	2017/03/29	2017/10/31	22.50
12982	HK Bank - CKP European Warrants Oct 2017 A	40,000,000	2017/03/29	2017/10/04	10.00
12989	HK Bank - China Life European Warrants Oct 2017 C	100,000,000	2017/03/29	2017/10/27	15.00
12984	HK Bank - Galaxy Ent European Warrants Oct 2017 A	50,000,000	2017/03/29	2017/10/31	12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
12987	HK Bank - HKEX European Warrants Feb 2018 A	100,000,000	2017/03/29	2018/02/28	15.00
13002	HK Bank - HSI European Put Warrants Aug 2017 C	180,000,000	2017/03/29	2017/08/30	27.00
12994	HK Bank - Tencent European Warrants Jul 2018 A	150,000,000	2017/03/29	2018/07/27	22.50
12952	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Mar 2018 A	34,000,000	2017/03/29	2018/03/02	10.10
12965	Macquarie Bank Ltd. - PetroChina European Wts Nov 2017 A	17,000,000	2017/03/29	2017/11/02	10.01
12932	SG Issuer - HSI European Warrants Jan 2018 B	150,000,000	2017/03/29	2018/01/30	37.50
12941	SG Issuer - HSI European Warrants Apr 2018 A	150,000,000	2017/03/29	2018/04/27	37.50
13004	BOCI Asia Ltd. - HSBC European Warrants Sep 2017 C	200,000,000	2017/03/30	2017/09/26	30.20
13011	BOCI Asia Ltd. - Ping An European Put Warrants Oct 2017 B	100,000,000	2017/03/30	2017/10/30	25.00
13027	Credit Suisse AG - BYD European Warrants Oct 2017 A	35,000,000	2017/03/30	2017/10/03	10.50
13023	Credit Suisse AG - HKEX European Warrants Feb 2018 A	150,000,000	2017/03/30	2018/02/26	22.50
13021	Credit Suisse AG - WH Group European Warrants Oct 2017 A	20,000,000	2017/03/30	2017/10/03	10.00
13036	J P Morgan SP BV - Henderson Land Dev European Wt Jan 2018 A	60,000,000	2017/03/30	2018/01/03	15.06
13013	Macquarie Bank Ltd. - CC Bank European Warrants Oct 2017 A	24,000,000	2017/03/30	2017/10/04	10.25
13014	Macquarie Bank Ltd. - CC Bank European Put Wts Nov 2017 A	21,000,000	2017/03/30	2017/11/02	10.16
13016	Macquarie Bank Ltd. - Geely Auto European Wts Oct 2017 B	6,000,000	2017/03/30	2017/10/04	11.56
13028	Macquarie Bank Ltd. - Haitong Sec European Wts Sep 2018 A	40,000,000	2017/03/30	2018/09/04	10.00
13020	SG Issuer - CKH European Warrants Oct 2017 A	40,000,000	2017/03/30	2017/10/06	10.00
13034	UBS AG - Sinopec Corp European Warrants Jul 2017 B	70,000,000	2017/03/30	2017/07/26	10.50
13029	UBS AG - PetroChina European Warrants Jun 2017 C	40,000,000	2017/03/30	2017/06/30	10.12
13111	BOCI Asia Ltd. - China Mobile European Warrants Sep 2017 A	100,000,000	2017/03/31	2017/09/29	31.60
13127	Credit Suisse AG - China Mobile European Warrants Aug 2017 A	70,000,000	2017/03/31	2017/08/14	10.50
13077	Haitong Int'l Sec - China Life European Warrants Aug 2017 A	60,000,000	2017/03/31	2017/08/29	10.20
13057	Haitong Int'l Sec - Geely Auto European Warrants Nov 2017 A	100,000,000	2017/03/31	2017/11/20	23.00
13088	Haitong Int'l Sec - Ping An European Warrants Sep 2017 B	60,000,000	2017/03/31	2017/09/26	10.02
13128	J P Morgan SP BV - AAC Acoustic European Warrants Oct 2018 A	100,000,000	2017/03/31	2018/10/03	25.20
13137	J P Morgan SP BV - GAC European Warrants Dec 2017 A	100,000,000	2017/03/31	2017/12/22	15.00
13047	Macquarie Bank Ltd. - AAC Acoustic European Wts Apr 2019 A	40,000,000	2017/03/31	2019/04/16	10.00
13125	Macquarie Bank Ltd. - AIA European Warrants Nov 2017 A	50,000,000	2017/03/31	2017/11/02	10.00
13124	Macquarie Bank Ltd. - AIA European Put Warrants Sep 2017 A	42,000,000	2017/03/31	2017/09/04	10.04
13051	Macquarie Bank Ltd. - Kingsoft European Warrants Nov 2017 A	40,000,000	2017/03/31	2017/11/02	10.00
總額					26,809.66

進一步發行

主 板 債 務 證 券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2016	第1季	–	2,120.63
	第2季	–	6,070.66
	第3季	3.00	6,333.92
	第4季	3.00	6,753.04
2017	第1季	–	8,993.57

債券面值

		發行數目	面值(百萬港元)
2016	第1季	769	2,777,917.26
	第2季	797	2,923,871.68
	第3季	841	3,089,402.31
	第4季	892	3,258,654.54
2017	第1季	936	3,471,408.76

新上市統計－債券

		新上市債券數目	集資金額(百萬港元)
2016	第1季	26	89,996.61
	第2季	59	253,671.85
	第3季	51	191,245.48
	第4季	75	239,694.08
2017	第1季	78	308,706.43

主 板 債 務 證 券

新上市債券

2017年第1季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
86647	中華人民共和國財政部3.50%人民幣債券2018年	RMB	2,000,000,000	2017/01/03	2018/12/30	2,229.80
05341	龍光地產控股有限公司5.75%優先票據2022年	USD	200,000,000	2017/01/04	2022/01/03	1,560.00
05342	合景泰富地產控股有限公司6.00%優先票據2022年	USD	250,000,000	2017/01/12	2022/01/11	1,950.00
05311*	天譽置業(控股)有限公司0.10%債券2033年	HKD	30,000,000	2017/01/12	2033/11/14	-
05348	渣打集團有限公司永久後償或有可轉換證券	USD	1,000,000,000	2017/01/19	-	7,800.00
04230*	中華人民共和國香港特別行政區1.68%債券2026年	HKD	1,200,000,000	2017/01/20	2026/01/21	1,191.60
05344	New Rose Investments Ltd. 4.50%有擔保票據2020年	USD	200,000,000	2017/01/20	2020/01/19	1,560.00
05346	香港按揭證券有限公司浮息票據2018年	HKD	1,000,000,000	2017/01/20	2018/01/19	1,000.00
05349	泰康保險集團股份有限公司3.50%債券2022年	USD	800,000,000	2017/01/20	2022/01/19	6,219.90
05347	Franshion Brilliant Ltd. 5.75%次級擔保永久證券	USD	500,000,000	2017/01/23	-	3,866.70
05345	長發國際有限公司3.625%有擔保票據2020年	USD	300,000,000	2017/01/23	2020/01/20	2,332.30
05345*	長發國際有限公司3.625%有擔保票據2020年	USD	100,000,000	2017/01/23	2020/01/20	776.62
05311*	天譽置業(控股)有限公司0.10%債券2033年	HKD	50,000,000	2017/01/23	2033/11/14	-
05340	旭輝控股(集團)有限公司5.50%優先票據2022年	USD	285,000,000	2017/01/24	2022/01/23	2,223.00
05343	New World China Land Ltd. 4.75%有擔保票據2027年	USD	600,000,000	2017/01/24	2027/01/23	4,680.00
05350	時代地產控股有限公司6.25%優先票據2020年	USD	375,000,000	2017/01/24	2020/01/23	2,925.00
05351	FWD Ltd. 次級永久證券	USD	250,000,000	2017/01/25	-	1,950.00
05352	GOHL Capital Ltd. 4.25%有擔保票據2027年	USD	1,000,000,000	2017/01/25	2027/01/24	7,768.57
05353	Huarong Finance 2017 Co., Ltd. 3.375%有擔保票據2020年	USD	1,100,000,000	2017/01/25	2020/01/24	8,547.57
05354	Huarong Finance 2017 Co Ltd. 4.50%次級擔保永久證券	USD	1,500,000,000	2017/01/25	-	11,596.80
05355	China Development Bank Corporation 2.625%票據2022年	USD	1,000,000,000	2017/01/25	2022/01/24	7,767.01
05356	China Development Bank Corporation 3.375%票據2027年	USD	500,000,000	2017/01/25	2027/01/24	3,860.81
05357	China Development Bank Corporation 4.00%票據2037年	USD	500,000,000	2017/01/25	2037/01/24	3,900.00
05358	China Development Bank Corporation 0.125%票據2020年	EUR	1,500,000,000	2017/01/25	2020/01/24	12,485.12
05359	China Development Bank Corporation 0.875%票據2024年	EUR	400,000,000	2017/01/25	2024/01/24	3,296.83
05360	洛娃國際投資有限公司7.25%優先有擔保票據2020年	USD	200,000,000	2017/01/26	2020/01/25	1,549.69
05361	禹洲地產股份有限公司6.00%優先票據2022年	USD	350,000,000	2017/01/26	2022/01/25	2,718.40
05362	Central Plaza Development Ltd. 3.875%有擔保票據2020年	USD	400,000,000	2017/01/26	2020/01/25	3,111.26
05363	Huaxing Investment Holding Co. Ltd. 3.50%有擔保債券2020年	USD	200,000,000	2017/01/27	2020/01/26	1,559.13
05364	Blue Skyview Co. Ltd. 7.125%優先永久證券	USD	250,000,000	2017/01/27	-	1,950.00
05365	香港按揭證券有限公司浮息票據2018年B	HKD	2,000,000,000	2017/02/01	2018/01/29	2,000.00
05366	香港按揭證券有限公司浮息票據2019年	HKD	1,000,000,000	2017/02/02	2019/02/01	1,000.00
05450*	福建漳龍集團有限公司4.50%票據2019年B	USD	150,000,000	2017/02/07	2019/12/16	1,160.66
05367	天譽置業(控股)有限公司0.10%債券2026年B	HKD	10,000,000	2017/02/13	2026/09/12	-
05371	Shanghai Pudong Dev Bank Co., Ltd HK Branch 2.375%票據2020年	USD	500,000,000	2017/02/14	2020/02/13	3,883.97
05368	Bank of China Ltd., Hong Kong Branch 2.375%票據2020年A	USD	300,000,000	2017/02/15	2020/02/14	2,333.54
05369	Bank of China Ltd., Hong Kong Branch 3.00%票據2022年	USD	500,000,000	2017/02/15	2022/02/14	3,898.75
05370	Bank of China Ltd., Hong Kong Branch 浮息票據2020年B	USD	1,200,000,000	2017/02/15	2020/02/14	9,360.00
05372	中國興業太陽能技術控股有限公司7.95%優先票據2019年	USD	260,000,000	2017/02/16	2019/02/15	2,028.00

主 板 債 務 證 券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬元)	
05379	天譽置業(控股)有限公司0.10%債券2033年B	HKD	30,000,000	2017/02/21	2033/11/14	-
04067	香港金融管理局0.99%外匯基金票據2019年	HKD	1,200,000,000	2017/02/21	2019/02/20	1,199.52
05373	ICBC, HK Branch浮息票據2020年	USD	500,000,000	2017/02/22	2020/02/21	3,900.00
05374	ICBC, HK Branch浮息票據2022年A	USD	600,000,000	2017/02/22	2022/02/21	4,680.00
05375	ICBC, HK Branch 2.875%票據2022年B	USD	900,000,000	2017/02/22	2022/02/21	6,991.50
05376	Bank of Communications Co., Ltd. HK Branch 浮息票據2020年	USD	850,000,000	2017/02/22	2020/02/21	6,630.00
05377	Proven Glory Capital Ltd. 3.25%有擔保票據2022年	USD	1,000,000,000	2017/02/22	2022/02/21	7,781.83
05378	Proven Glory Capital Ltd. 4.00%有擔保票據2027年	USD	500,000,000	2017/02/22	2027/02/21	3,864.16
05380	青海省投資集團有限公司7.25%債券2020年	USD	300,000,000	2017/02/23	2020/02/22	2,340.00
05381	香港按揭證券有限公司1.48%票據2019年	HKD	100,000,000	2017/02/23	2019/02/22	100.00
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD	30,000,000	2017/02/23	2033/11/14	-
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD	20,000,000	2017/02/27	2033/11/14	-
04233	Hong Kong Sukuk 2017 Ltd. 伊斯蘭債券2027年	USD	1,000,000,000	2017/03/01	2027/02/28	7,800.00
05383	中國中信股份有限公司3.125%票據2022年	USD	500,000,000	2017/03/01	2022/02/28	3,896.77
05384	中國中信股份有限公司3.875%票據2027年	USD	750,000,000	2017/03/01	2027/02/28	5,849.06
05382	新湖(BVI)控股有限公司6.00%優先有擔保票據2020年	USD	700,000,000	2017/03/02	2020/03/01	5,437.89
05367#	天譽置業(控股)有限公司0.10%債券2026年B	HKD	10,000,000	2017/03/02	2026/09/12	-
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD	30,000,000	2017/03/02	2033/11/14	-
04232	中華人民共和國香港特別行政區1.89%債券2032年	HKD	600,000,000	2017/03/03	2032/03/02	590.10
05385	Franshion Brilliant Ltd. 3.60%有擔保優先票據2022年	USD	500,000,000	2017/03/06	2022/03/03	3,898.25
05386	DYNAMIC MISSION INVESTMENTS LTD.7.50% 無抵押有擔保票據2020年	USD	60,000,000	2017/03/06	2020/03/03	468.00
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD	40,000,000	2017/03/06	2033/11/14	-
05387	China Development Bank Corporation HK Branch 浮息票據2020年A	USD	850,000,000	2017/03/07	2020/03/06	6,630.00
05388	China Development Bank Corporation HK Branch 浮息票據2022年	USD	1,150,000,000	2017/03/07	2022/03/06	8,970.00
05389	China Development Bank Corporation HK Branch 浮息票據2020年B	HKD	7,750,000,000	2017/03/07	2020/03/06	7,750.00
05390	CALC Bond 3 Ltd. 4.70%有擔保債券2022年	USD	300,000,000	2017/03/09	2022/03/08	2,340.00
05391	CALC Bond 3 Ltd. 5.50%有擔保債券2024年	USD	200,000,000	2017/03/09	2024/03/08	1,560.00
05392	China Everbright Bank Co. Ltd., HK Branch 2.50%票據2020年	USD	500,000,000	2017/03/09	2020/03/08	3,887.13
05393	Keen Idea Global Ltd. 4.375%有擔保債券2020年	USD	300,000,000	2017/03/09	2020/03/08	2,323.79
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD	50,000,000	2017/03/09	2033/11/14	-
05394	China Reinsurance Finance Corporation Ltd. 3.375%票據2022年	USD	800,000,000	2017/03/10	2022/03/09	6,201.38
05395	China Cinda Finance (2017) I Ltd. 3.00%有擔保優先票據2020年	USD	300,000,000	2017/03/10	2020/03/09	2,333.36
05396	China Cinda Finance (2017) I Ltd. 3.65%有擔保優先票據2022年	USD	1,300,000,000	2017/03/10	2022/03/09	10,125.30
05397	China Cinda Finance (2017) I Ltd. 4.10%有擔保優先票據2024年	USD	700,000,000	2017/03/10	2024/03/09	5,449.47
05398	China Cinda Finance (2017) I Ltd. 4.40%有擔保優先票據2027年	USD	700,000,000	2017/03/10	2027/03/09	5,440.78
05399	Ch Energy Reserve & Chem Gp Fin III Ltd 4.75% 有擔保債券2018年	USD	100,000,000	2017/03/10	2018/03/08	780.00
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD	20,000,000	2017/03/10	2033/11/14	-

主 板 債 務 證 券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05400	中駿置業控股有限公司5.875%優先票據2022年	USD 200,000,000	2017/03/13	2022/03/10	1,560.00
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD 30,000,000	2017/03/13	2033/11/14	-
05401	Horsepower Finance Ltd. 2.35%有擔保票據2020年	USD 650,000,000	2017/03/14	2020/03/13	5,022.95
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD 50,000,000	2017/03/15	2033/11/14	-
05403	合景泰富地產控股有限公司6.00%優先票據2022年	USD 400,000,000	2017/03/16	2022/09/15	3,120.00
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD 50,000,000	2017/03/16	2033/11/14	-
05402	智得卓越企業有限公司6.00%有擔保優先票據2020年	USD 300,000,000	2017/03/17	2020/03/16	2,340.00
05404	聯想集團有限公司3.875%票據2022年	USD 500,000,000	2017/03/17	2022/03/16	3,892.44
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD 30,000,000	2017/03/21	2033/11/14	-
05367#	天譽置業(控股)有限公司0.10%債券2026年B	HKD 10,000,000	2017/03/21	2026/09/12	-
05405	Azure Nova International Finance Ltd. 3.00%有擔保票據2020年	USD 700,000,000	2017/03/22	2020/03/21	5,448.65
05406	Azure Nova International Finance Ltd. 3.50%有擔保票據2022年	USD 1,050,000,000	2017/03/22	2022/03/21	8,147.24
05407	Azure Nova International Finance Ltd. 4.25%有擔保票據2027年	USD 250,000,000	2017/03/22	2027/03/21	1,939.96
05408	國瑞置業有限公司7.00%優先票據2020年	USD 300,000,000	2017/03/22	2020/03/21	2,340.00
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD 70,000,000	2017/03/22	2033/11/14	-
05451#	福建漳龍集團有限公司4.50%票據2019年C	USD 200,000,000	2017/03/23	2019/12/16	1,548.03
05409	香港按揭證券有限公司浮息票據2019年	HKD 500,000,000	2017/03/28	2019/03/27	500.00
05379#	天譽置業(控股)有限公司0.10%債券2033年B	HKD 100,000,000	2017/03/29	2033/11/14	-
05412	香港按揭證券有限公司浮息票據2019年B	HKD 500,000,000	2017/03/29	2019/03/28	500.00
05403#	合景泰富地產控股有限公司6.00%優先票據2022年	USD 100,000,000	2017/03/30	2022/09/15	780.00
05410	英皇集團(國際)有限公司5.00%票據2022年A	USD 200,000,000	2017/03/31	2022/03/30	1,560.00
05411	英皇集團(國際)有限公司4.70%票據2022年B	HKD 800,000,000	2017/03/31	2022/03/30	800.00
05413	環太湖國際投資有限公司5.75%有擔保債券2020年	USD 150,000,000	2017/03/31	2020/03/30	1,170.00
05363#	Huaxing Investment Holding Co. Ltd. 3.50%有擔保債券2020年	USD 100,000,000	2017/03/31	2020/01/26	777.89
總額					308,706.43

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	槓桿及 反向產品 (L&I Products) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2016	第1季	304,713.11	-	21,668.39	40.27	326,421.77	7.66
	第2季	237,211.91	65.38	18,190.19	20.96	255,488.43	6.64
	第3季	269,308.99	153.00	25,916.93	23.08	295,402.00	6.92
	第4季	200,178.89	286.95	25,310.73	25.00	225,801.57	5.79
2017	第1季	272,667.62	8,468.18	21,154.53	45.32	302,335.66	6.60

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		槓桿及 反向產品 (L&I Products) (百萬港元)		房地產投資 信託基金 (REITs) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目		發行數目	
2016	第1季	137	535,139.73	-	-	11	199,170.18	1	1,342.80	149	735,652.71
	第2季	143	589,881.25	4	111.01	11	219,952.15	1	1,401.55	159	811,345.96
	第3季	138	627,842.20	8	434.09	11	235,115.93	1	1,188.38	158	864,580.60
	第4季	133	561,308.69	12	517.17	11	210,386.83	1	1,134.67	157	773,347.35
2017	第1季	127	576,046.57	30	4,773.28	11	224,246.52	1	1,215.23	169	806,281.61

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2016	第1季	5	-
	第2季	16	-
	第3季	4	-
	第4季	9	-
2017	第1季	18	-

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2017年第1季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
07210	易亞Chimerica富時N股 每日槓桿(2x)產品	易亞投資管理有限公司	n.a.	n.a.	n.a.	n.a.	2017/02/08
07200	南方東英恒生指數每日槓桿(2x)產品	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07288	南方東英恒生中國企業指數 每日槓桿(2x)產品	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07300	南方東英恒生指數每日反向(-1x)產品	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07388	南方東英恒生中國企業指數 每日反向(-1x)產品	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07205	三星恒生指數每日槓桿(2x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07228	三星恒生中國企業指數 每日槓桿(2x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07312	三星恒生指數每日反向(-1x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07328	三星恒生中國企業指數 每日反向(-1x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07221	華夏Direxion恒生指數 每日槓桿(2x)產品	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07267	華夏Direxion恒生國企指數 每日槓桿(2x)產品	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07321	華夏Direxion恒生指數 每日反向(-1x)產品	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07341	華夏Direxion恒生國企指數 每日反向(-1x)產品	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07231	未來資產恒指每日槓桿(2x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07230	未來資產國指每日槓桿(2x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07336	未來資產恒指每日反向(-1x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07362	未來資產國指每日反向(-1x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/14
07302	易方達元大恒生指數每日反向(-1x)產品	易方達資產管理(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2017/03/20

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2016	第1季	1,613	48,512.71	379,212.60
	第2季	1,547	44,132.86	346,430.63
	第3季	2,105	74,015.13	357,151.89
	第4季	1,844	46,982.16	288,777.61
2017	第1季	2,271	56,964.61	282,458.37

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2016	第1季	2,421	94,755.60
	第2季	1,985	84,611.84
	第3季	2,489	105,390.41
	第4季	2,001	78,979.94
2017	第1季	2,508	90,548.83

主板 可收回牛熊證

新上市牛熊證

2017年第1季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67667	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 R	250,000,000	2017/01/03	2017/04/27	62.50
67679	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 S	250,000,000	2017/01/03	2017/04/27	62.50
67736	Credit Suisse AG - HSI R Bull CBBC Aug 2017 K	300,000,000	2017/01/03	2017/08/30	75.00
67738	Credit Suisse AG - HSI R Bull CBBC Dec 2017 W	200,000,000	2017/01/03	2017/12/28	50.00
67745	Credit Suisse AG - HSI R Bear CBBC May 2017 C	200,000,000	2017/01/03	2017/05/29	50.00
67753	Credit Suisse AG - HSI R Bear CBBC May 2017 D	200,000,000	2017/01/03	2017/05/29	50.00
67695	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 G	200,000,000	2017/01/03	2017/12/28	50.00
67555	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Q	200,000,000	2017/01/03	2017/12/28	50.00
67697	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/03	2017/04/27	50.00
67639	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2017 B	40,000,000	2017/01/03	2017/08/18	10.00
67622	HK Bank - CSOP A50 ETF R Bear CBBC Sep 2017 A	40,000,000	2017/01/03	2017/09/18	14.00
67662	HK Bank - A50 R Bear CBBC Aug 2017 B	40,000,000	2017/01/03	2017/08/18	10.00
67628	HK Bank - A50 R Bear CBBC Sep 2017 A	40,000,000	2017/01/03	2017/09/18	14.00
67632	HK Bank - HKEX R Bull CBBC Jan 2018 B	50,000,000	2017/01/03	2018/01/15	17.50
67564	HK Bank - HSCEI R Bull CBBC Dec 2017 A	60,000,000	2017/01/03	2017/12/28	15.00
67559	HK Bank - HSCEI R Bear CBBC May 2017 H	50,000,000	2017/01/03	2017/05/29	12.50
67611	HK Bank - HSCEI R Bear CBBC Oct 2017 B	50,000,000	2017/01/03	2017/10/30	12.50
67566	HK Bank - HSI R Bull CBBC Jul 2017 N	100,000,000	2017/01/03	2017/07/28	25.00
67572	HK Bank - HSI R Bull CBBC Oct 2017 K	100,000,000	2017/01/03	2017/10/30	25.00
67579	HK Bank - HSI R Bull CBBC Nov 2017 F	100,000,000	2017/01/03	2017/11/29	25.00
67608	HK Bank - HSI R Bull CBBC Dec 2017 A	100,000,000	2017/01/03	2017/12/28	25.00
67589	HK Bank - HSI R Bear CBBC May 2017 I	100,000,000	2017/01/03	2017/05/29	25.00
67597	HK Bank - HSI R Bear CBBC Jun 2017 A	100,000,000	2017/01/03	2017/06/29	25.00
67598	HK Bank - HSI R Bear CBBC Jul 2017 A	100,000,000	2017/01/03	2017/07/28	25.00
67633	HK Bank - Tencent R Bear CBBC Sep 2017 B	40,000,000	2017/01/03	2017/09/18	10.00
67634	HK Bank - Tencent R Bear CBBC Nov 2017 C	40,000,000	2017/01/03	2017/11/06	10.00
67636	HK Bank - Tencent R Bear CBBC Nov 2017 D	40,000,000	2017/01/03	2017/11/13	12.00
67637	HK Bank - Tencent R Bear CBBC Nov 2017 E	40,000,000	2017/01/03	2017/11/20	14.00
67760	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 N	200,000,000	2017/01/03	2017/10/30	50.00
67768	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 L	200,000,000	2017/01/03	2017/04/27	50.00
67788	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/03	2017/04/27	50.00
67790	SG Issuer - HSI R Bull CBBC Dec 2017 S	200,000,000	2017/01/03	2017/12/28	52.00
67803	SG Issuer - HSI R Bull CBBC Mar 2018 I	200,000,000	2017/01/03	2018/03/28	50.00
67806	SG Issuer - HSI R Bull CBBC Mar 2018 L	200,000,000	2017/01/03	2018/03/28	50.00
67797	SG Issuer - HSI R Bull CBBC Mar 2018 N	200,000,000	2017/01/03	2018/03/28	50.00
67819	SG Issuer - HSI R Bear CBBC Apr 2017 T	200,000,000	2017/01/03	2017/04/27	50.00
67820	SG Issuer - HSI R Bear CBBC Apr 2017 U	200,000,000	2017/01/03	2017/04/27	50.00
67703	UBS AG - HSI R Bull CBBC Sep 2017 D	200,000,000	2017/01/03	2017/09/28	50.00
67704	UBS AG - HSI R Bull CBBC Sep 2017 G	250,000,000	2017/01/03	2017/09/28	62.50
67698	UBS AG - HSI R Bull CBBC Jan 2018 C	300,000,000	2017/01/03	2018/01/30	75.00
67707	UBS AG - HSI R Bear CBBC Apr 2017 N	200,000,000	2017/01/03	2017/04/27	50.00
67727	UBS AG - HSI R Bear CBBC May 2017 E	300,000,000	2017/01/03	2017/05/29	75.00
67827	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 T	250,000,000	2017/01/04	2017/11/29	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67828	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 Q	250,000,000	2017/01/04	2017/12/28	62.50
67948	Credit Suisse AG - HSI R Bull CBBC Sep 2017 A	300,000,000	2017/01/04	2017/09/28	75.00
67954	Credit Suisse AG - HSI R Bull CBBC Dec 2017 T	200,000,000	2017/01/04	2017/12/28	50.00
67961	Credit Suisse AG - HSI R Bear CBBC May 2017 E	200,000,000	2017/01/04	2017/05/29	50.00
67963	Credit Suisse AG - HSI R Bear CBBC May 2017 F	200,000,000	2017/01/04	2017/05/29	50.00
67960	Credit Suisse AG - Tencent R Bear CBBC Jul 2017 D	40,000,000	2017/01/04	2017/07/19	10.00
67834	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 R	200,000,000	2017/01/04	2017/12/28	50.00
68014	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Y	200,000,000	2017/01/04	2017/12/28	50.00
67920	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 Z	200,000,000	2017/01/04	2017/04/27	50.00
67906	HK Bank - HSCEI R Bull CBBC Nov 2017 A	60,000,000	2017/01/04	2017/11/29	15.00
67911	HK Bank - HSCEI R Bear CBBC May 2017 I	50,000,000	2017/01/04	2017/05/29	12.50
67878	HK Bank - HSI R Bear CBBC Jul 2017 B	100,000,000	2017/01/04	2017/07/28	25.00
67855	HK Bank - HSI R Bear CBBC Oct 2017 F	100,000,000	2017/01/04	2017/10/30	25.00
67866	HK Bank - HSI R Bear CBBC Nov 2017 F	100,000,000	2017/01/04	2017/11/29	25.00
67837	HK Bank - ICBC R Bull CBBC Nov 2017 A	50,000,000	2017/01/04	2017/11/30	12.50
67843	HK Bank - ICBC R Bull CBBC Jan 2018 A	50,000,000	2017/01/04	2018/01/08	12.50
67847	HK Bank - ICBC R Bear CBBC Nov 2017 A	40,000,000	2017/01/04	2017/11/30	10.00
67917	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 S	200,000,000	2017/01/04	2017/10/30	50.00
67931	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 N	200,000,000	2017/01/04	2017/04/27	50.00
67940	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 O	200,000,000	2017/01/04	2017/04/27	50.00
67928	J P Morgan SP BV - HSI R Bear CBBC May 2017 A	200,000,000	2017/01/04	2017/05/29	50.00
68015	SG Issuer - HSI R Bull CBBC Dec 2017 T	200,000,000	2017/01/04	2017/12/28	59.00
68016	SG Issuer - HSI R Bull CBBC Dec 2017 U	200,000,000	2017/01/04	2017/12/28	68.00
68017	SG Issuer - HSI R Bull CBBC Dec 2017 V	200,000,000	2017/01/04	2017/12/28	64.00
68024	SG Issuer - HSI R Bull CBBC Mar 2018 D	200,000,000	2017/01/04	2018/03/28	60.00
68031	SG Issuer - HSI R Bull CBBC Mar 2018 J	200,000,000	2017/01/04	2018/03/28	50.00
68033	SG Issuer - HSI R Bear CBBC Apr 2017 V	200,000,000	2017/01/04	2017/04/27	50.00
67988	UBS AG - HSI R Bull CBBC Oct 2017 I	250,000,000	2017/01/04	2017/10/30	62.50
67985	UBS AG - HSI R Bull CBBC Dec 2017 U	200,000,000	2017/01/04	2017/12/28	50.00
67987	UBS AG - HSI R Bull CBBC Dec 2017 Y	250,000,000	2017/01/04	2017/12/28	62.50
68008	UBS AG - HSI R Bear CBBC Apr 2017 J	250,000,000	2017/01/04	2017/04/27	62.50
68011	UBS AG - HSI R Bear CBBC May 2017 F	200,000,000	2017/01/04	2017/05/29	50.00
68163	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2017 A	50,000,000	2017/01/05	2017/12/29	12.50
68157	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Dec 2017 A	50,000,000	2017/01/05	2017/12/29	12.50
68160	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Dec 2017 B	50,000,000	2017/01/05	2017/12/29	12.50
68034	Credit Suisse AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2017/01/05	2017/11/29	50.00
68056	Credit Suisse AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2017/01/05	2017/11/29	50.00
68035	Credit Suisse AG - HSI R Bear CBBC May 2017 G	300,000,000	2017/01/05	2017/05/29	75.00
68051	Credit Suisse AG - HSI R Bear CBBC May 2017 H	300,000,000	2017/01/05	2017/05/29	75.00
68147	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 J	200,000,000	2017/01/05	2017/12/28	50.00
68126	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 C	200,000,000	2017/01/05	2017/04/27	50.00
68145	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 D	200,000,000	2017/01/05	2017/04/27	50.00
68060	HK Bank - AAC Tech R Bull CBBC Oct 2017 C	40,000,000	2017/01/05	2017/10/30	10.00
68090	HK Bank - CC Bank R Bull CBBC Feb 2018 A	50,000,000	2017/01/05	2018/02/12	12.50
68059	HK Bank - CSOP A50 ETF R Bull CBBC Feb 2018 A	50,000,000	2017/01/05	2018/02/12	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68057	HK Bank - HKE X R Bull CBBC Feb 2018 A	50,000,000	2017/01/05	2018/02/12	17.50
68091	HK Bank - HKE X R Bear CBBC Jul 2017 F	40,000,000	2017/01/05	2017/07/12	10.00
68078	HK Bank - Lenovo R Bear CBBC Dec 2017 A	40,000,000	2017/01/05	2017/12/22	10.00
68166	J P Morgan SP BV - CC Bank R Bull CBBC Nov 2017 A	100,000,000	2017/01/05	2017/11/17	25.00
68173	J P Morgan SP BV - HKE X R Bull CBBC Nov 2017 H	40,000,000	2017/01/05	2017/11/17	10.00
68172	J P Morgan SP BV - HKE X R Bull CBBC Dec 2017 G	40,000,000	2017/01/05	2017/12/15	10.00
68175	J P Morgan SP BV - HKE X R Bear CBBC Jul 2017 F	40,000,000	2017/01/05	2017/07/21	10.00
68164	J P Morgan SP BV - HSBC R Bear CBBC Jul 2017 B	100,000,000	2017/01/05	2017/07/21	25.00
68148	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 T	200,000,000	2017/01/05	2017/10/30	50.00
68151	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 P	200,000,000	2017/01/05	2017/04/27	50.00
68169	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 H	60,000,000	2017/01/05	2017/11/17	15.00
68177	SG Issuer - HSI R Bull CBBC Mar 2018 K	200,000,000	2017/01/05	2018/03/28	50.00
68179	SG Issuer - HSI R Bull CBBC Mar 2018 P	200,000,000	2017/01/05	2018/03/28	50.00
68180	SG Issuer - HSI R Bear CBBC Apr 2017 W	200,000,000	2017/01/05	2017/04/27	50.00
68184	SG Issuer - HSI R Bear CBBC Apr 2017 X	200,000,000	2017/01/05	2017/04/27	50.00
68117	UBS AG - China Mobile R Bull CBBC Oct 2017 B	50,000,000	2017/01/05	2017/10/16	12.50
68121	UBS AG - HSI R Bull CBBC Oct 2017 O	200,000,000	2017/01/05	2017/10/30	50.00
68108	UBS AG - HSI R Bear CBBC Apr 2017 O	200,000,000	2017/01/05	2017/04/27	50.00
68229	Credit Suisse AG - China Mobile R Bull CBBC Nov 2017 B	50,000,000	2017/01/06	2017/11/02	12.50
68227	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Oct 2017 C	40,000,000	2017/01/06	2017/10/12	10.00
68245	Credit Suisse AG - HSCEI R Bull CBBC Nov 2017 D	100,000,000	2017/01/06	2017/11/29	25.00
68252	Credit Suisse AG - HSCEI R Bull CBBC Nov 2017 E	100,000,000	2017/01/06	2017/11/29	25.00
68261	Credit Suisse AG - HSCEI R Bull CBBC Dec 2017 A	100,000,000	2017/01/06	2017/12/28	25.00
68266	Credit Suisse AG - HSCEI R Bear CBBC May 2017 A	100,000,000	2017/01/06	2017/05/29	25.00
68265	Credit Suisse AG - HSCEI R Bear CBBC Jun 2017 A	100,000,000	2017/01/06	2017/06/29	25.00
68269	Credit Suisse AG - HSI R Bull CBBC Dec 2017 P	200,000,000	2017/01/06	2017/12/28	50.00
68274	Credit Suisse AG - HSI R Bear CBBC May 2017 I	300,000,000	2017/01/06	2017/05/29	75.00
68244	Credit Suisse AG - Tencent R Bull CBBC Jul 2017 D	50,000,000	2017/01/06	2017/07/21	12.50
68241	Credit Suisse AG - Tencent R Bull CBBC Aug 2017 B	50,000,000	2017/01/06	2017/08/04	12.50
68285	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 J	200,000,000	2017/01/06	2017/11/29	50.00
68277	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 E	200,000,000	2017/01/06	2017/04/27	50.00
68196	HK Bank - HKE X R Bull CBBC Sep 2017 H	50,000,000	2017/01/06	2017/09/29	12.50
68198	HK Bank - HSI R Bull CBBC Sep 2017 Q	100,000,000	2017/01/06	2017/09/28	25.00
68192	HK Bank - Tencent R Bull CBBC Jul 2017 K	50,000,000	2017/01/06	2017/07/31	12.50
68191	HK Bank - Tencent R Bull CBBC Aug 2017 M	50,000,000	2017/01/06	2017/08/21	12.50
68187	HK Bank - Tencent R Bull CBBC Sep 2017 J	50,000,000	2017/01/06	2017/09/29	12.50
68194	HK Bank - Tencent R Bear CBBC Dec 2017 B	40,000,000	2017/01/06	2017/12/11	14.00
68310	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 K	200,000,000	2017/01/06	2017/12/28	50.00
68338	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 L	200,000,000	2017/01/06	2017/12/28	50.00
68316	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 Q	200,000,000	2017/01/06	2017/04/27	50.00
68328	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 R	200,000,000	2017/01/06	2017/04/27	50.00
68330	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 S	200,000,000	2017/01/06	2017/04/27	50.00
68339	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 G	60,000,000	2017/01/06	2017/10/20	15.00
68201	SG Issuer - CCB R Bull CBBC Dec 2017 A	40,000,000	2017/01/06	2017/12/28	10.00
68202	SG Issuer - HKE X R Bear CBBC Sep 2017 B	100,000,000	2017/01/06	2017/09/28	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68295	SG Issuer - HSI R Bull CBBC Mar 2018 Q	200,000,000	2017/01/06	2018/03/28	50.00
68305	SG Issuer - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/06	2017/04/27	50.00
68210	SG Issuer - Tencent R Bull CBBC Oct 2017 D	100,000,000	2017/01/06	2017/10/30	25.00
68222	SG Issuer - Tencent R Bull CBBC Oct 2017 E	100,000,000	2017/01/06	2017/10/30	25.00
68213	SG Issuer - Tencent R Bull CBBC Nov 2017 E	100,000,000	2017/01/06	2017/11/29	25.00
68289	UBS AG - HSI R Bull CBBC Nov 2017 N	250,000,000	2017/01/06	2017/11/29	62.50
68286	UBS AG - HSI R Bear CBBC Apr 2017 P	250,000,000	2017/01/06	2017/04/27	62.50
68359	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 U	250,000,000	2017/01/09	2017/11/29	62.50
68447	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 V	250,000,000	2017/01/09	2017/11/29	62.50
68450	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 D	250,000,000	2017/01/09	2017/12/28	62.50
68446	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 R	250,000,000	2017/01/09	2017/12/28	62.50
68342	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 T	250,000,000	2017/01/09	2017/04/27	62.50
68360	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 U	250,000,000	2017/01/09	2017/04/27	62.50
68423	Credit Suisse AG - HKEX R Bull CBBC Nov 2017 D	50,000,000	2017/01/09	2017/11/08	12.50
68424	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2017/01/09	2017/11/29	50.00
68439	Credit Suisse AG - HSI R Bull CBBC Dec 2017 H	200,000,000	2017/01/09	2017/12/28	50.00
68421	Credit Suisse AG - HSI R Bear CBBC Apr 2017 G	200,000,000	2017/01/09	2017/04/27	50.00
68415	Credit Suisse AG - HSI R Bear CBBC Apr 2017 O	200,000,000	2017/01/09	2017/04/27	50.00
68407	Bank of East Asia - HKEX R Bull CBBC Jan 2018 A	30,000,000	2017/01/09	2018/01/08	10.50
68408	Bank of East Asia - Ping An R Bull CBBC Jan 2018 A	16,000,000	2017/01/09	2018/01/08	10.40
68401	Bank of East Asia - Tencent R Bull CBBC Jan 2018 B	30,000,000	2017/01/09	2018/01/08	10.50
68396	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 S	200,000,000	2017/01/09	2017/11/29	50.00
68340	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 A	200,000,000	2017/01/09	2017/04/27	50.00
68395	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 G	200,000,000	2017/01/09	2017/04/27	50.00
68375	HK Bank - BOC HK R Bull CBBC Jan 2018 A	40,000,000	2017/01/09	2018/01/12	18.00
68384	HK Bank - CNOOC R Bear CBBC Jul 2017 C	40,000,000	2017/01/09	2017/07/31	10.00
68388	HK Bank - HKEX R Bull CBBC Dec 2017 E	50,000,000	2017/01/09	2017/12/22	12.50
68387	HK Bank - HKEX R Bull CBBC Jan 2018 C	50,000,000	2017/01/09	2018/01/12	12.50
68371	HK Bank - HSCEI R Bull CBBC Dec 2017 B	60,000,000	2017/01/09	2017/12/28	15.00
68364	HK Bank - HSI R Bull CBBC Dec 2017 B	100,000,000	2017/01/09	2017/12/28	25.00
68370	HK Bank - HSI R Bull CBBC Dec 2017 C	100,000,000	2017/01/09	2017/12/28	25.00
68552	J P Morgan SP BV - AIA R Bull CBBC Jul 2017 B	100,000,000	2017/01/09	2017/07/21	25.00
68599	J P Morgan SP BV - China Mobile R Bull CBBC Nov 2017 E	100,000,000	2017/01/09	2017/11/17	25.00
68576	J P Morgan SP BV - CNOOC R Bull CBBC Nov 2017 A	50,000,000	2017/01/09	2017/11/17	12.50
68488	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jan 2018 B	100,000,000	2017/01/09	2018/01/19	25.00
68489	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jan 2018 C	100,000,000	2017/01/09	2018/01/19	25.00
68490	J P Morgan SP BV - CSOP A50 ETF R Bear CBBC Oct 2017 A	60,000,000	2017/01/09	2017/10/20	15.00
68600	J P Morgan SP BV - A50 China R Bull CBBC Jan 2018 A	100,000,000	2017/01/09	2018/01/19	25.00
68625	J P Morgan SP BV - A50 China R Bear CBBC Oct 2017 A	60,000,000	2017/01/09	2017/10/20	15.00
68578	J P Morgan SP BV - Geely Auto R Bull CBBC Dec 2017 B	80,000,000	2017/01/09	2017/12/15	20.00
68574	J P Morgan SP BV - Galaxy Ent R Bull CBBC Oct 2017 C	150,000,000	2017/01/09	2017/10/20	37.50
68597	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 H	40,000,000	2017/01/09	2017/10/20	10.00
68593	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 H	40,000,000	2017/01/09	2017/12/15	10.00
68528	J P Morgan SP BV - HSCEI R Bull CBBC Aug 2017 B	100,000,000	2017/01/09	2017/08/30	25.00
68546	J P Morgan SP BV - HSCEI R Bear CBBC May 2017 B	100,000,000	2017/01/09	2017/05/29	25.00

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68491	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 U	200,000,000	2017/01/09	2017/10/30	50.00
68493	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 E	200,000,000	2017/01/09	2017/11/29	50.00
68502	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 M	200,000,000	2017/01/09	2017/12/28	50.00
68504	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 V	200,000,000	2017/01/09	2018/01/30	50.00
68510	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 M	200,000,000	2017/01/09	2018/02/27	50.00
68522	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 T	200,000,000	2017/01/09	2017/04/27	50.00
68523	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 U	200,000,000	2017/01/09	2017/04/27	50.00
68524	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 V	200,000,000	2017/01/09	2017/04/27	50.00
68526	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 W	200,000,000	2017/01/09	2017/04/27	50.00
68583	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 X	200,000,000	2017/01/09	2017/04/27	50.00
68577	J P Morgan SP BV - Lenovo R Bull CBBC Dec 2017 C	100,000,000	2017/01/09	2017/12/15	25.00
68598	J P Morgan SP BV - Ping An R Bull CBBC Dec 2017 E	150,000,000	2017/01/09	2017/12/15	37.50
68561	J P Morgan SP BV - Sands China R Bull CBBC Oct 2017 C	150,000,000	2017/01/09	2017/10/20	37.50
68592	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 H	60,000,000	2017/01/09	2017/10/20	15.00
68589	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 I	40,000,000	2017/01/09	2017/11/17	10.00
68587	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 I	60,000,000	2017/01/09	2017/12/15	15.00
68451	SG Issuer - HSI R Bull CBBC Mar 2018 R	200,000,000	2017/01/09	2018/03/28	50.00
68455	SG Issuer - HSI R Bull CBBC Mar 2018 U	200,000,000	2017/01/09	2018/03/28	50.00
68464	SG Issuer - HSI R Bear CBBC Apr 2017 A	200,000,000	2017/01/09	2017/04/27	50.00
68463	SG Issuer - HSI R Bear CBBC Apr 2017 Z	200,000,000	2017/01/09	2017/04/27	50.00
68479	UBS AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2017/01/09	2017/10/30	50.00
68481	UBS AG - HSI R Bull CBBC Nov 2017 K	250,000,000	2017/01/09	2017/11/29	62.50
68478	UBS AG - HSI R Bull CBBC Dec 2017 C	250,000,000	2017/01/09	2017/12/28	62.50
68467	UBS AG - HSI R Bear CBBC Apr 2017 Q	200,000,000	2017/01/09	2017/04/27	50.00
68468	UBS AG - HSI R Bear CBBC Apr 2017 R	250,000,000	2017/01/09	2017/04/27	62.50
68722	Credit Suisse AG - China Life R Bull CBBC Nov 2017 B	40,000,000	2017/01/10	2017/11/15	10.00
68734	Credit Suisse AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2017/01/10	2017/11/29	50.00
68735	Credit Suisse AG - HSI R Bull CBBC Dec 2017 I	200,000,000	2017/01/10	2017/12/28	50.00
68723	Credit Suisse AG - HSI R Bear CBBC Apr 2017 H	200,000,000	2017/01/10	2017/04/27	50.00
68727	Credit Suisse AG - HSI R Bear CBBC Apr 2017 L	200,000,000	2017/01/10	2017/04/27	50.00
68736	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 B	200,000,000	2017/01/10	2017/11/29	50.00
68742	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 V	200,000,000	2017/01/10	2017/11/29	50.00
68627	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 F	200,000,000	2017/01/10	2017/04/27	50.00
68710	Haitong Int'l Sec - BOCL R Bull CBBC Sep 2017 A	60,000,000	2017/01/10	2017/09/14	15.00
68680	Haitong Int'l Sec - China Mobile R Bull CBBC Nov 2017 A	40,000,000	2017/01/10	2017/11/02	10.00
68654	Haitong Int'l Sec - COVS R Bull CBBC Nov 2017 A	40,000,000	2017/01/10	2017/11/06	10.80
68665	Haitong Int'l Sec - CC Bank R Bull CBBC Aug 2017 A	60,000,000	2017/01/10	2017/08/18	15.00
68633	Haitong Int'l Sec - CKH R Bull CBBC Nov 2017 A	40,000,000	2017/01/10	2017/11/30	10.00
68708	Haitong Int'l Sec - China Life R Bull CBBC Nov 2017 A	40,000,000	2017/01/10	2017/11/03	10.00
68659	Haitong Int'l Sec - CNOOC R Bull CBBC Oct 2017 C	40,000,000	2017/01/10	2017/10/06	10.00
68642	Haitong Int'l Sec - Sinopec Corp R Bull CBBC Oct 2017 A	40,000,000	2017/01/10	2017/10/30	10.00
68714	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC Dec 2017 A	40,000,000	2017/01/10	2017/12/29	10.00
68715	Haitong Int'l Sec - A50 R Bull CBBC Dec 2017 A	40,000,000	2017/01/10	2017/12/28	10.00
68637	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Oct 2017 B	60,000,000	2017/01/10	2017/10/31	15.00
68645	Haitong Int'l Sec - HKEX R Bull CBBC Oct 2017 A	40,000,000	2017/01/10	2017/10/19	10.00

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68634	Haitong Int'l Sec - HSBC R Bull CBBC Nov 2017 B	50,000,000	2017/01/10	2017/11/28	12.50
68687	Haitong Int'l Sec - ICBC R Bull CBBC Sep 2017 A	60,000,000	2017/01/10	2017/09/15	15.00
68658	Haitong Int'l Sec - PetCh R Bull CBBC Oct 2017 A	40,000,000	2017/01/10	2017/10/27	10.00
68701	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2017 C	60,000,000	2017/01/10	2017/10/27	15.00
68656	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2017 E	40,000,000	2017/01/10	2017/09/15	10.00
68749	J P Morgan SP BV - CKH R Bull CBBC Dec 2017 A	40,000,000	2017/01/10	2017/12/15	10.00
68750	J P Morgan SP BV - China Life R Bull CBBC Nov 2017 B	40,000,000	2017/01/10	2017/11/17	10.00
68756	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2017/01/10	2017/11/29	50.00
68757	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 R	200,000,000	2017/01/10	2017/12/28	50.00
68745	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/10	2017/04/27	50.00
68771	SG Issuer - HSI R Bull CBBC Mar 2018 O	200,000,000	2017/01/10	2018/03/28	50.00
68761	SG Issuer - HSI R Bull CBBC Mar 2018 Y	200,000,000	2017/01/10	2018/03/28	50.00
68768	SG Issuer - HSI R Bull CBBC Mar 2018 Z	200,000,000	2017/01/10	2018/03/28	50.00
68779	SG Issuer - HSI R Bear CBBC Apr 2017 B	200,000,000	2017/01/10	2017/04/27	50.00
68780	SG Issuer - HSI R Bear CBBC Apr 2017 C	200,000,000	2017/01/10	2017/04/27	50.00
68806	UBS AG - HSI R Bull CBBC Nov 2017 A	250,000,000	2017/01/10	2017/11/29	62.50
68811	UBS AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2017/01/10	2017/11/29	50.00
68805	UBS AG - HSI R Bear CBBC Apr 2017 K	250,000,000	2017/01/10	2017/04/27	62.50
68804	UBS AG - HSI R Bear CBBC Apr 2017 S	200,000,000	2017/01/10	2017/04/27	50.00
68817	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 W	250,000,000	2017/01/11	2017/11/29	62.50
68819	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 V	250,000,000	2017/01/11	2017/04/27	62.50
68859	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2017/01/11	2017/11/29	50.00
68862	Credit Suisse AG - HSI R Bull CBBC Dec 2017 J	200,000,000	2017/01/11	2017/12/28	50.00
68864	Credit Suisse AG - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/11	2017/04/27	50.00
68871	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 T	200,000,000	2017/01/11	2017/12/28	50.00
68867	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 H	200,000,000	2017/01/11	2017/04/27	50.00
68849	Haitong Int'l Sec - ABC R Bull CBBC Dec 2017 A	60,000,000	2017/01/11	2017/12/15	15.00
68851	Haitong Int'l Sec - AIA R Bull CBBC Sep 2017 B	60,000,000	2017/01/11	2017/09/15	15.00
68838	Haitong Int'l Sec - Lenovo R Bull CBBC Dec 2017 A	40,000,000	2017/01/11	2017/12/01	10.00
68833	Haitong Int'l Sec - SHK Ppt R Bull CBBC Dec 2017 B	40,000,000	2017/01/11	2017/12/15	10.00
68856	Haitong Int'l Sec - China Vanke R Bull CBBC Sep 2017 B	40,000,000	2017/01/11	2017/09/18	11.40
68901	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 V	200,000,000	2017/01/11	2017/10/30	50.00
68907	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 Z	200,000,000	2017/01/11	2017/04/27	50.00
68816	Macquarie Bank Ltd. - HKEX R Bull CBBC Sep 2017 A	40,000,000	2017/01/11	2017/09/29	10.00
68876	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2017/01/11	2018/03/28	50.00
68889	SG Issuer - HSI R Bull CBBC Mar 2018 E	200,000,000	2017/01/11	2018/03/28	50.00
68914	UBS AG - AIA R Bull CBBC Sep 2017 B	50,000,000	2017/01/11	2017/09/11	12.50
68913	UBS AG - HKEX R Bull CBBC Sep 2017 D	50,000,000	2017/01/11	2017/09/18	12.50
68909	UBS AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2017/01/11	2017/09/28	50.00
68916	UBS AG - HSI R Bull CBBC Sep 2017 L	250,000,000	2017/01/11	2017/09/28	62.50
68912	UBS AG - HSI R Bear CBBC Apr 2017 H	250,000,000	2017/01/11	2017/04/27	62.50
68915	UBS AG - Ping An R Bull CBBC Sep 2017 A	50,000,000	2017/01/11	2017/09/25	12.50
68948	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 X	250,000,000	2017/01/12	2017/11/29	62.50
68947	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 S	250,000,000	2017/01/12	2017/12/28	62.50
68950	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 T	250,000,000	2017/01/12	2017/12/28	62.50

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68921	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 W	250,000,000	2017/01/12	2017/04/27	62.50
68922	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 X	250,000,000	2017/01/12	2017/04/27	62.50
68972	Credit Suisse AG - AAC Tech R Bull CBBC Oct 2017 A	50,000,000	2017/01/12	2017/10/24	12.50
68977	Credit Suisse AG - Geely Auto R Bull CBBC Sep 2017 A	50,000,000	2017/01/12	2017/09/12	12.50
68986	Credit Suisse AG - Geely Auto R Bull CBBC Oct 2017 A	50,000,000	2017/01/12	2017/10/18	12.50
68963	Credit Suisse AG - HSBC R Bull CBBC Dec 2017 D	50,000,000	2017/01/12	2017/12/14	12.50
68997	Credit Suisse AG - HSI R Bull CBBC Sep 2017 C	300,000,000	2017/01/12	2017/09/28	75.00
69014	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2017/01/12	2017/11/29	50.00
68991	Credit Suisse AG - HSI R Bull CBBC Dec 2017 K	200,000,000	2017/01/12	2017/12/28	50.00
68960	Credit Suisse AG - HSI R Bear CBBC Apr 2017 F	200,000,000	2017/01/12	2017/04/27	50.00
68957	Credit Suisse AG - HSI R Bear CBBC Apr 2017 I	200,000,000	2017/01/12	2017/04/27	50.00
68955	Credit Suisse AG - HSI R Bear CBBC Apr 2017 P	300,000,000	2017/01/12	2017/04/27	75.00
68956	Credit Suisse AG - HSI R Bear CBBC Apr 2017 S	200,000,000	2017/01/12	2017/04/27	50.00
69012	Credit Suisse AG - Lenovo R Bull CBBC Sep 2017 A	50,000,000	2017/01/12	2017/09/15	12.50
68966	Credit Suisse AG - Tencent R Bull CBBC Aug 2017 C	50,000,000	2017/01/12	2017/08/14	12.50
69019	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 Y	200,000,000	2017/01/12	2017/11/29	50.00
69030	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 Z	200,000,000	2017/01/12	2017/11/29	50.00
68918	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 A	200,000,000	2017/01/12	2017/05/29	50.00
69018	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 B	200,000,000	2017/01/12	2017/05/29	50.00
68925	HK Bank - China Life R Bull CBBC Jan 2018 A	40,000,000	2017/01/12	2018/01/22	10.00
68944	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2018 E	50,000,000	2017/01/12	2018/01/22	12.50
68943	HK Bank - A50 R Bull CBBC Jan 2018 E	50,000,000	2017/01/12	2018/01/22	12.50
68929	HK Bank - Geely Auto R Bull CBBC Jan 2018 A	40,000,000	2017/01/12	2018/01/22	10.00
68946	HK Bank - HSCEI R Bull CBBC Oct 2017 E	60,000,000	2017/01/12	2017/10/30	15.00
68926	HK Bank - HSI R Bull CBBC Oct 2017 L	100,000,000	2017/01/12	2017/10/30	25.00
68945	HK Bank - HSI R Bull CBBC Nov 2017 G	100,000,000	2017/01/12	2017/11/29	25.00
68939	HK Bank - Lenovo R Bull CBBC Jan 2018 A	40,000,000	2017/01/12	2018/01/22	10.00
68937	HK Bank - Tencent R Bull CBBC Jan 2018 A	50,000,000	2017/01/12	2018/01/22	12.50
69111	J P Morgan SP BV - China Life R Bull CBBC Oct 2017 B	40,000,000	2017/01/12	2017/10/20	10.00
69121	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 X	200,000,000	2017/01/12	2017/10/30	50.00
69124	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 G	200,000,000	2017/01/12	2017/11/29	50.00
69126	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 U	200,000,000	2017/01/12	2017/12/28	50.00
69128	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 A	200,000,000	2017/01/12	2017/04/27	50.00
69105	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 G	200,000,000	2017/01/12	2017/04/27	50.00
69109	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 H	200,000,000	2017/01/12	2017/04/27	50.00
69113	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 J	60,000,000	2017/01/12	2017/11/17	15.00
69129	SG Issuer - China Life R Bull CBBC Dec 2017 D	40,000,000	2017/01/12	2017/12/28	10.00
68952	SG Issuer - CSA50 R Bull CBBC Feb 2018 A	40,000,000	2017/01/12	2018/02/27	10.00
68953	SG Issuer - A50 R Bull CBBC Feb 2018 A	40,000,000	2017/01/12	2018/02/27	10.00
69134	SG Issuer - HKEX R Bull CBBC Jan 2018 A	100,000,000	2017/01/12	2018/01/30	25.00
69135	SG Issuer - HKEX R Bull CBBC Feb 2018 A	100,000,000	2017/01/12	2018/02/27	25.00
69041	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2017/01/12	2018/03/28	50.00
69033	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2017/01/12	2018/03/28	50.00
69040	SG Issuer - HSI R Bull CBBC Mar 2018 W	200,000,000	2017/01/12	2018/03/28	50.00
69059	SG Issuer - HSI R Bear CBBC Apr 2017 D	200,000,000	2017/01/12	2017/04/27	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69064	SG Issuer - HSI R Bear CBBC Apr 2017 E	200,000,000	2017/01/12	2017/04/27	50.00
69137	SG Issuer - ICBC R Bull CBBC Jan 2018 A	40,000,000	2017/01/12	2018/01/30	10.00
69144	SG Issuer - Tencent R Bull CBBC Jan 2018 A	100,000,000	2017/01/12	2018/01/30	25.00
69148	SG Issuer - Tencent R Bull CBBC Mar 2018 A	100,000,000	2017/01/12	2018/03/28	25.00
69149	SG Issuer - Tencent R Bear CBBC Aug 2017 E	100,000,000	2017/01/12	2017/08/30	25.00
68923	UBS AG - China Life R Bull CBBC Nov 2017 A	40,000,000	2017/01/12	2017/11/13	10.00
69092	UBS AG - HSBC R Bull CBBC Dec 2017 A	50,000,000	2017/01/12	2017/12/11	12.50
69095	UBS AG - HSI R Bull CBBC Oct 2017 C	200,000,000	2017/01/12	2017/10/30	50.00
69098	UBS AG - HSI R Bull CBBC Oct 2017 P	250,000,000	2017/01/12	2017/10/30	62.50
69094	UBS AG - HSI R Bull CBBC Dec 2017 N	250,000,000	2017/01/12	2017/12/28	62.50
69074	UBS AG - HSI R Bear CBBC Apr 2017 L	300,000,000	2017/01/12	2017/04/27	75.00
69081	UBS AG - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/12	2017/04/27	50.00
69084	UBS AG - HSI R Bear CBBC Apr 2017 V	250,000,000	2017/01/12	2017/04/27	62.50
69085	UBS AG - Tencent R Bull CBBC Oct 2017 A	50,000,000	2017/01/12	2017/10/09	12.50
69090	UBS AG - Tencent R Bull CBBC Nov 2017 B	50,000,000	2017/01/12	2017/11/13	12.50
69202	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 C	250,000,000	2017/01/13	2017/11/29	62.50
69150	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 Y	250,000,000	2017/01/13	2017/04/27	62.50
69151	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 Z	250,000,000	2017/01/13	2017/04/27	62.50
69285	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2017 A	50,000,000	2017/01/13	2017/11/23	12.50
69286	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2017 B	50,000,000	2017/01/13	2017/11/29	12.50
69287	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2017 A	50,000,000	2017/01/13	2017/12/07	12.50
69288	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2017 B	50,000,000	2017/01/13	2017/12/13	12.50
69247	Credit Suisse AG - HSI R Bull CBBC Nov 2017 G	200,000,000	2017/01/13	2017/11/29	50.00
69284	Credit Suisse AG - HSI R Bull CBBC Nov 2017 H	200,000,000	2017/01/13	2017/11/29	50.00
69257	Credit Suisse AG - HSI R Bull CBBC Dec 2017 L	200,000,000	2017/01/13	2017/12/28	50.00
69239	Credit Suisse AG - HSI R Bear CBBC Apr 2017 W	200,000,000	2017/01/13	2017/04/27	50.00
69246	Credit Suisse AG - HSI R Bear CBBC Apr 2017 X	200,000,000	2017/01/13	2017/04/27	50.00
69294	Credit Suisse AG - Sands China R Bull CBBC Nov 2017 A	50,000,000	2017/01/13	2017/11/23	12.50
69296	Credit Suisse AG - Sands China R Bull CBBC Nov 2017 B	50,000,000	2017/01/13	2017/11/29	12.50
69299	Credit Suisse AG - Sands China R Bear CBBC Dec 2017 A	50,000,000	2017/01/13	2017/12/07	12.50
69301	Credit Suisse AG - Sands China R Bear CBBC Dec 2017 B	50,000,000	2017/01/13	2017/12/13	12.50
69212	Bank of East Asia - China Mobile R Bull CBBC Jan 2018 A	40,000,000	2017/01/13	2018/01/16	10.00
69209	Bank of East Asia - China Life R Bull CBBC Apr 2018 A	30,000,000	2017/01/13	2018/04/30	10.50
69210	Bank of East Asia - Galaxy Ent R Bull CBBC Jan 2018 A	20,000,000	2017/01/13	2018/01/16	10.00
69204	Bank of East Asia - HKEX R Bull CBBC Apr 2018 A	30,000,000	2017/01/13	2018/04/30	10.50
69203	Bank of East Asia - Tencent R Bull CBBC Jan 2018 C	30,000,000	2017/01/13	2018/01/16	10.50
69215	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 F	200,000,000	2017/01/13	2017/12/28	50.00
69213	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 X	200,000,000	2017/01/13	2017/12/28	50.00
69170	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 C	200,000,000	2017/01/13	2017/05/29	50.00
69171	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 D	200,000,000	2017/01/13	2017/05/29	50.00
69181	HK Bank - COVS R Bear CBBC Jan 2018 A	40,000,000	2017/01/13	2018/01/15	10.00
69188	HK Bank - CUni R Bull CBBC Feb 2018 A	40,000,000	2017/01/13	2018/02/12	10.00
69187	HK Bank - CKH R Bull CBBC Feb 2018 A	40,000,000	2017/01/13	2018/02/12	10.00
69189	HK Bank - CNOOC R Bull CBBC Feb 2018 A	50,000,000	2017/01/13	2018/02/12	12.50
69175	HK Bank - Sinopec Corp R Bull CBBC Jan 2018 A	50,000,000	2017/01/13	2018/01/15	12.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69174	HK Bank - Galaxy Ent R Bear CBBC Jan 2018 B	40,000,000	2017/01/13	2018/01/15	10.00
69176	HK Bank - HKEX R Bear CBBC Oct 2017 A	40,000,000	2017/01/13	2017/10/17	10.00
69172	HK Bank - SHK Ppt R Bull CBBC Jan 2018 C	40,000,000	2017/01/13	2018/01/15	10.00
69186	HK Bank - Tencent R Bull CBBC Dec 2017 B	50,000,000	2017/01/13	2017/12/15	12.50
69405	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 B	200,000,000	2017/01/13	2017/11/29	50.00
69408	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2017/01/13	2017/12/28	50.00
69402	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 D	200,000,000	2017/01/13	2017/04/27	50.00
69411	J P Morgan SP BV - HSI R Bear CBBC May 2017 B	200,000,000	2017/01/13	2017/05/29	50.00
69412	J P Morgan SP BV - PetCh R Bull CBBC Oct 2017 A	100,000,000	2017/01/13	2017/10/20	25.00
69413	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 I	60,000,000	2017/01/13	2017/10/20	15.00
69216	SG Issuer - HSCEI R Bull CBBC Nov 2018 B	200,000,000	2017/01/13	2018/11/29	50.00
69217	SG Issuer - HSCEI R Bull CBBC Nov 2018 C	200,000,000	2017/01/13	2018/11/29	50.00
69219	SG Issuer - HSCEI R Bull CBBC Nov 2018 D	200,000,000	2017/01/13	2018/11/29	50.00
69222	SG Issuer - HSCEI R Bull CBBC Nov 2018 E	200,000,000	2017/01/13	2018/11/29	50.00
69221	SG Issuer - HSCEI R Bull CBBC Dec 2018 B	200,000,000	2017/01/13	2018/12/28	50.00
69237	SG Issuer - HSCEI R Bear CBBC Jul 2017 E	200,000,000	2017/01/13	2017/07/28	50.00
69227	SG Issuer - HSCEI R Bear CBBC Aug 2017 A	200,000,000	2017/01/13	2017/08/30	50.00
69238	SG Issuer - HSCEI R Bear CBBC Aug 2017 B	200,000,000	2017/01/13	2017/08/30	50.00
69418	SG Issuer - HSI R Bull CBBC Mar 2018 G	200,000,000	2017/01/13	2018/03/28	50.00
69423	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2017/01/13	2018/03/28	50.00
69425	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2017/01/13	2018/03/28	50.00
69428	SG Issuer - HSI R Bear CBBC Apr 2017 O	200,000,000	2017/01/13	2017/04/27	50.00
69358	UBS AG - CKH R Bull CBBC Jun 2017 A	40,000,000	2017/01/13	2017/06/12	10.00
69359	UBS AG - Galaxy Ent R Bull CBBC Oct 2017 A	40,000,000	2017/01/13	2017/10/16	10.00
69395	UBS AG - HSI R Bull CBBC Sep 2017 P	250,000,000	2017/01/13	2017/09/28	62.50
69370	UBS AG - HSI R Bull CBBC Oct 2017 K	250,000,000	2017/01/13	2017/10/30	62.50
69384	UBS AG - HSI R Bull CBBC Nov 2017 V	200,000,000	2017/01/13	2017/11/29	50.00
69315	UBS AG - HSI R Bear CBBC Apr 2017 W	200,000,000	2017/01/13	2017/04/27	50.00
69322	UBS AG - HSI R Bear CBBC Apr 2017 Y	250,000,000	2017/01/13	2017/04/27	62.50
69347	UBS AG - HSI R Bear CBBC Apr 2017 Z	250,000,000	2017/01/13	2017/04/27	62.50
69357	UBS AG - HSI R Bear CBBC May 2017 G	250,000,000	2017/01/13	2017/05/29	62.50
69360	UBS AG - Sands China R Bull CBBC Oct 2017 A	40,000,000	2017/01/13	2017/10/09	10.00
69563	Credit Suisse AG - HSI R Bull CBBC Sep 2017 E	300,000,000	2017/01/16	2017/09/28	75.00
69531	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2017/01/16	2017/11/29	50.00
69528	Credit Suisse AG - HSI R Bull CBBC Dec 2017 M	200,000,000	2017/01/16	2017/12/28	50.00
69533	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/16	2017/04/27	50.00
69514	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 H	200,000,000	2017/01/16	2017/12/28	50.00
69515	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 V	200,000,000	2017/01/16	2017/12/28	50.00
69526	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 E	200,000,000	2017/01/16	2017/05/29	50.00
69438	HK Bank - AAC Tech R Bull CBBC Jan 2018 B	40,000,000	2017/01/16	2018/01/08	10.00
69430	HK Bank - ABC R Bear CBBC Mar 2018 A	40,000,000	2017/01/16	2018/03/05	10.00
69431	HK Bank - AIA R Bull CBBC Jan 2018 B	60,000,000	2017/01/16	2018/01/08	15.00
69455	HK Bank - BOC HK R Bull CBBC Nov 2017 A	40,000,000	2017/01/16	2017/11/30	28.00
69504	HK Bank - BOCL R Bear CBBC Dec 2017 A	40,000,000	2017/01/16	2017/12/22	10.00
69507	HK Bank - HSI R Bear CBBC May 2017 J	100,000,000	2017/01/16	2017/05/29	25.00

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69429	HK Bank - PetCh R Bull CBBC Jan 2018 A	50,000,000	2017/01/16	2018/01/15	12.50
69506	HK Bank - PICC P&C R R Bear CBBC Nov 2017 A	40,000,000	2017/01/16	2017/11/30	10.00
69496	HK Bank - Ping An R Bull CBBC Jan 2018 C	60,000,000	2017/01/16	2018/01/08	15.00
69436	HK Bank - Sands China R Bull CBBC Mar 2018 A	60,000,000	2017/01/16	2018/03/05	15.00
69449	HK Bank - China Vanke R Bull CBBC Mar 2018 A	40,000,000	2017/01/16	2018/03/05	20.00
69598	J P Morgan SP BV - Galaxy Ent R Bull CBBC Dec 2017 E	100,000,000	2017/01/16	2017/12/15	25.00
69593	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 I	40,000,000	2017/01/16	2017/11/17	10.00
69590	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 G	200,000,000	2017/01/16	2017/10/30	50.00
69587	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 L	200,000,000	2017/01/16	2017/10/30	50.00
69591	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 E	200,000,000	2017/01/16	2017/04/27	50.00
69592	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 J	40,000,000	2017/01/16	2017/12/15	10.00
69603	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2017/01/16	2018/03/28	50.00
69601	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2017/01/16	2018/03/28	50.00
69605	SG Issuer - HSI R Bear CBBC Apr 2017 I	200,000,000	2017/01/16	2017/04/27	50.00
69604	SG Issuer - HSI R Bear CBBC Apr 2017 P	200,000,000	2017/01/16	2017/04/27	50.00
69577 #	UBS AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2017/01/16	2017/11/29	50.00
69583	UBS AG - HSI R Bull CBBC Dec 2017 B	250,000,000	2017/01/16	2017/12/28	62.50
69584	UBS AG - HSI R Bull CBBC Dec 2017 I	200,000,000	2017/01/16	2017/12/28	50.00
69586	UBS AG - HSI R Bull CBBC Dec 2017 O	300,000,000	2017/01/16	2017/12/28	75.00
69565	UBS AG - HSI R Bear CBBC Apr 2017 I	250,000,000	2017/01/16	2017/04/27	62.50
69869	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 Y	250,000,000	2017/01/17	2017/11/29	62.50
69612	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 U	250,000,000	2017/01/17	2017/12/28	62.50
69606	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 A	250,000,000	2017/01/17	2017/04/27	62.50
69680	Credit Suisse AG - AIA R Bull CBBC Nov 2017 A	50,000,000	2017/01/17	2017/11/09	12.50
69688	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2017 A	50,000,000	2017/01/17	2017/12/13	12.50
69700	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2017/01/17	2017/11/29	50.00
69655	Credit Suisse AG - HSI R Bull CBBC Dec 2017 N	200,000,000	2017/01/17	2017/12/28	50.00
69695	Credit Suisse AG - HSI R Bull CBBC Dec 2017 S	200,000,000	2017/01/17	2017/12/28	50.00
69658	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Z	200,000,000	2017/01/17	2017/04/27	50.00
69693	Credit Suisse AG - HSI R Bear CBBC Jun 2017 B	300,000,000	2017/01/17	2017/06/29	75.00
69686	Credit Suisse AG - Sands China R Bull CBBC Dec 2017 A	50,000,000	2017/01/17	2017/12/15	12.50
69704	Credit Suisse AG - Tencent R Bull CBBC Oct 2017 C	50,000,000	2017/01/17	2017/10/12	12.50
69881	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 W	200,000,000	2017/01/17	2017/12/28	50.00
69889	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Z	200,000,000	2017/01/17	2017/12/28	50.00
69647	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 F	200,000,000	2017/01/17	2017/05/29	50.00
69632	HK Bank - China Mobile R Bull CBBC Jan 2018 A	50,000,000	2017/01/17	2018/01/22	12.50
69634	HK Bank - Galaxy Ent R Bull CBBC Jan 2018 A	80,000,000	2017/01/17	2018/01/22	20.00
69626	HK Bank - HSI R Bull CBBC Oct 2017 M	100,000,000	2017/01/17	2017/10/30	25.00
69646	HK Bank - HSI R Bull CBBC Nov 2017 H	100,000,000	2017/01/17	2017/11/29	25.00
69628	HK Bank - HSI R Bear CBBC May 2017 K	100,000,000	2017/01/17	2017/05/29	25.00
69631	HK Bank - HSI R Bear CBBC Jul 2017 C	100,000,000	2017/01/17	2017/07/28	25.00
69638	HK Bank - Sands China R Bull CBBC Jan 2018 A	80,000,000	2017/01/17	2018/01/22	20.00
69633	HK Bank - Tencent R Bull CBBC Jan 2018 B	50,000,000	2017/01/17	2018/01/22	12.50
69712	J P Morgan SP BV - AIA R Bull CBBC Nov 2017 C	100,000,000	2017/01/17	2017/11/17	25.00
69728	J P Morgan SP BV - Geely Auto R Bull CBBC Nov 2017 B	80,000,000	2017/01/17	2017/11/17	20.00

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69732	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 L	200,000,000	2017/01/17	2017/11/29	50.00
69747	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 H	200,000,000	2017/01/17	2017/12/28	50.00
69707	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 I	200,000,000	2017/01/17	2017/04/27	50.00
69748	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 L	200,000,000	2017/01/17	2017/04/27	50.00
69708	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 K	40,000,000	2017/01/17	2017/11/17	10.00
69849	SG Issuer - HSI R Bull CBBC Apr 2018 A	200,000,000	2017/01/17	2018/04/27	50.00
69850	SG Issuer - HSI R Bull CBBC Apr 2018 B	200,000,000	2017/01/17	2018/04/27	50.00
69851	SG Issuer - HSI R Bull CBBC Apr 2018 C	200,000,000	2017/01/17	2018/04/27	50.00
69859	SG Issuer - HSI R Bear CBBC Apr 2017 L	200,000,000	2017/01/17	2017/04/27	50.00
69864	SG Issuer - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/17	2017/04/27	50.00
69773	UBS AG - CUni R Bull CBBC Jun 2017 A	40,000,000	2017/01/17	2017/06/19	10.00
69774	UBS AG - HSCEI R Bull CBBC Nov 2017 D	100,000,000	2017/01/17	2017/11/29	25.00
69790	UBS AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2017/01/17	2017/09/28	50.00
69787	UBS AG - HSI R Bull CBBC Nov 2017 R	250,000,000	2017/01/17	2017/11/29	62.50
69755	UBS AG - HSI R Bear CBBC Apr 2017 J	250,000,000	2017/01/17	2017/04/27	62.50
69749	UBS AG - HSI R Bear CBBC Apr 2017 N	200,000,000	2017/01/17	2017/04/27	50.00
69783	UBS AG - HSI R Bear CBBC Apr 2017 O	250,000,000	2017/01/17	2017/04/27	62.50
69769	UBS AG - Petrochina R Bull CBBC Oct 2017 A	40,000,000	2017/01/17	2017/10/16	10.00
69772	UBS AG - SHK Ppt R Bull CBBC Dec 2017 A	40,000,000	2017/01/17	2017/12/11	10.00
60151	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 A	250,000,000	2017/01/18	2017/11/29	62.50
60145	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 Z	250,000,000	2017/01/18	2017/11/29	62.50
60149	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 C	250,000,000	2017/01/18	2017/12/28	62.50
69910	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 B	250,000,000	2017/01/18	2017/04/27	62.50
69912	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 C	250,000,000	2017/01/18	2017/04/27	62.50
60135	Credit Suisse AG - AIA R Bear CBBC Nov 2017 A	50,000,000	2017/01/18	2017/11/29	12.50
60094	Credit Suisse AG - China Mobile R Bear CBBC Oct 2017 A	50,000,000	2017/01/18	2017/10/26	12.50
60124	Credit Suisse AG - CUni R Bull CBBC Dec 2017 A	100,000,000	2017/01/18	2017/12/13	25.00
60102	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2017 A	50,000,000	2017/01/18	2017/12/20	12.50
60092	Credit Suisse AG - Galaxy Ent R Bear CBBC Aug 2017 A	50,000,000	2017/01/18	2017/08/24	12.50
60125	Credit Suisse AG - HKEX R Bull CBBC Oct 2017 C	50,000,000	2017/01/18	2017/10/25	12.50
60139	Credit Suisse AG - HSI R Bull CBBC Sep 2017 G	300,000,000	2017/01/18	2017/09/28	75.00
60136	Credit Suisse AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2017/01/18	2017/11/29	50.00
60091	Credit Suisse AG - HSI R Bull CBBC Dec 2017 X	200,000,000	2017/01/18	2017/12/28	50.00
60137	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Y	200,000,000	2017/01/18	2017/12/28	50.00
60083	Credit Suisse AG - HSI R Bear CBBC Apr 2017 K	200,000,000	2017/01/18	2017/04/27	50.00
60089	Credit Suisse AG - HSI R Bear CBBC Apr 2017 V	200,000,000	2017/01/18	2017/04/27	50.00
60131	Credit Suisse AG - Sands China R Bear CBBC Nov 2017 A	50,000,000	2017/01/18	2017/11/08	12.50
60043	Bank of East Asia - AIA R Bull CBBC Jan 2018 A	15,000,000	2017/01/18	2018/01/17	10.20
60050	Bank of East Asia - CKH R Bull CBBC Jan 2018 B	40,000,000	2017/01/18	2018/01/17	10.00
60040	Bank of East Asia - Galaxy Ent R Bull CBBC Jan 2018 B	20,000,000	2017/01/18	2018/01/17	10.00
60052	Bank of East Asia - HKEX R Bull CBBC Jan 2018 B	30,000,000	2017/01/18	2018/01/17	10.50
60041	Bank of East Asia - Tencent R Bull CBBC Jan 2018 D	30,000,000	2017/01/18	2018/01/17	10.50
60079	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 I	200,000,000	2017/01/18	2017/12/28	50.00
60141	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 U	200,000,000	2017/01/18	2017/12/28	50.00
69918	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 G	200,000,000	2017/01/18	2017/05/29	50.00

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69920	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 H	200,000,000	2017/01/18	2017/05/29	50.00
69992	HK Bank - AIA R Bull CBBC Dec 2017 C	60,000,000	2017/01/18	2017/12/22	15.00
60017	HK Bank - Galaxy Ent R Bull CBBC Dec 2017 A	80,000,000	2017/01/18	2017/12/22	20.00
60019	HK Bank - HKEX R Bull CBBC Dec 2017 F	50,000,000	2017/01/18	2017/12/22	12.50
60032	HK Bank - HSI R Bull CBBC Nov 2017 I	100,000,000	2017/01/18	2017/11/29	25.00
60033	HK Bank - HSI R Bear CBBC Jul 2017 D	100,000,000	2017/01/18	2017/07/28	25.00
60016	HK Bank - Tencent R Bull CBBC Dec 2017 C	50,000,000	2017/01/18	2017/12/22	12.50
60175	J P Morgan SP BV - China Life R Bull CBBC Dec 2017 C	40,000,000	2017/01/18	2017/12/15	10.00
60176	J P Morgan SP BV - HSBC R Bull CBBC Jan 2018 C	100,000,000	2017/01/18	2018/01/19	25.00
60160	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 J	200,000,000	2017/01/18	2017/10/30	50.00
60155	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 H	200,000,000	2017/01/18	2017/11/29	50.00
60156	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 C	200,000,000	2017/01/18	2017/12/28	50.00
69913	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/18	2017/04/27	50.00
60172	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 J	40,000,000	2017/01/18	2017/10/20	10.00
69953	SG Issuer - CSA50 R Bear CBBC Aug 2017 B	40,000,000	2017/01/18	2017/08/30	10.00
69973	SG Issuer - A50 R Bear CBBC Sep 2017 A	40,000,000	2017/01/18	2017/09/28	10.00
69963	SG Issuer - Galaxy R Bull CBBC Jun 2018 A	100,000,000	2017/01/18	2018/06/28	25.00
60178	SG Issuer - HSI R Bull CBBC Apr 2018 D	200,000,000	2017/01/18	2018/04/27	50.00
60180	SG Issuer - HSI R Bull CBBC Apr 2018 E	200,000,000	2017/01/18	2018/04/27	50.00
60181	SG Issuer - HSI R Bull CBBC Apr 2018 F	200,000,000	2017/01/18	2018/04/27	50.00
60182	SG Issuer - HSI R Bear CBBC Apr 2017 Q	200,000,000	2017/01/18	2017/04/27	50.00
60194	SG Issuer - HSI R Bear CBBC Apr 2017 S	200,000,000	2017/01/18	2017/04/27	50.00
69989	SG Issuer - Tencent R Bull CBBC Jan 2018 B	100,000,000	2017/01/18	2018/01/30	25.00
69990	SG Issuer - Tencent R Bull CBBC Mar 2018 B	100,000,000	2017/01/18	2018/03/28	25.00
60073	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2017 A	40,000,000	2017/01/18	2017/10/09	10.00
60074	UBS AG - A50 China R Bull CBBC Sep 2017 A	40,000,000	2017/01/18	2017/09/11	10.00
60081	UBS AG - HSI R Bull CBBC Oct 2017 A	200,000,000	2017/01/18	2017/10/30	50.00
60082	UBS AG - HSI R Bull CBBC Oct 2017 H	250,000,000	2017/01/18	2017/10/30	62.50
60080	UBS AG - HSI R Bull CBBC Dec 2017 P	250,000,000	2017/01/18	2017/12/28	62.50
60058	UBS AG - HSI R Bear CBBC Apr 2017 P	250,000,000	2017/01/18	2017/04/27	62.50
60055	UBS AG - HSI R Bear CBBC Apr 2017 X	200,000,000	2017/01/18	2017/04/27	50.00
60063	UBS AG - HSI R Bear CBBC May 2017 H	200,000,000	2017/01/18	2017/05/29	50.00
60066	UBS AG - Tencent R Bull CBBC Oct 2017 B	50,000,000	2017/01/18	2017/10/23	12.50
60065	UBS AG - Tencent R Bull CBBC Nov 2017 C	50,000,000	2017/01/18	2017/11/20	12.50
60299	Credit Suisse AG - HSCEI R Bull CBBC Dec 2017 B	100,000,000	2017/01/19	2017/12/28	25.00
60291	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2017/01/19	2017/11/29	50.00
60293	Credit Suisse AG - HSI R Bull CBBC Dec 2017 O	200,000,000	2017/01/19	2017/12/28	50.00
60295	Credit Suisse AG - HSI R Bear CBBC Apr 2017 G	200,000,000	2017/01/19	2017/04/27	50.00
60296	Credit Suisse AG - HSI R Bear CBBC Apr 2017 O	200,000,000	2017/01/19	2017/04/27	50.00
60212	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 Q	200,000,000	2017/01/19	2017/11/29	50.00
60215	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 J	200,000,000	2017/01/19	2017/04/27	50.00
60367	J P Morgan SP BV - CUni R Bull CBBC Nov 2017 A	60,000,000	2017/01/19	2017/11/17	15.00
60376	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 F	200,000,000	2017/01/19	2017/12/28	50.00
60375	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 Y	200,000,000	2017/01/19	2017/12/28	50.00
60354	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 Z	200,000,000	2017/01/19	2017/12/28	50.00

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60380	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 K	200,000,000	2017/01/19	2017/04/27	50.00
60377	J P Morgan SP BV - HSI R Bear CBBC May 2017 C	200,000,000	2017/01/19	2017/05/29	50.00
60356	J P Morgan SP BV - Lenovo R Bull CBBC Dec 2017 D	100,000,000	2017/01/19	2017/12/15	25.00
60374	J P Morgan SP BV - Tencent R Bear CBBC Sep 2017 B	40,000,000	2017/01/19	2017/09/15	10.00
60206	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2018 A	40,000,000	2017/01/19	2018/02/27	10.00
60211	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2018 B	40,000,000	2017/01/19	2018/02/27	10.00
60217	SG Issuer - CMob R Bull CBBC Oct 2017 E	100,000,000	2017/01/19	2017/10/30	25.00
60218	SG Issuer - CKH R Bull CBBC Nov 2017 B	100,000,000	2017/01/19	2017/11/29	25.00
60236	SG Issuer - CP&CC R Bull CBBC Nov 2017 A	40,000,000	2017/01/19	2017/11/29	10.00
60219	SG Issuer - HKEX R Bull CBBC Oct 2017 F	100,000,000	2017/01/19	2017/10/30	25.00
60224	SG Issuer - HSBC R Bull CBBC Mar 2018 E	100,000,000	2017/01/19	2018/03/28	25.00
60350	SG Issuer - HSI R Bull CBBC Apr 2018 G	200,000,000	2017/01/19	2018/04/27	50.00
60352	SG Issuer - HSI R Bull CBBC Apr 2018 H	200,000,000	2017/01/19	2018/04/27	50.00
60353	SG Issuer - HSI R Bear CBBC Apr 2017 R	200,000,000	2017/01/19	2017/04/27	50.00
60232	SG Issuer - PetroChina R Bull CBBC Oct 2017 C	40,000,000	2017/01/19	2017/10/30	10.00
60238	SG Issuer - SHK Ppt P R Bull CBBC Apr 2018 A	100,000,000	2017/01/19	2018/04/27	25.00
60306	UBS AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2017/01/19	2017/11/29	50.00
60340	UBS AG - HSI R Bull CBBC Dec 2017 A	200,000,000	2017/01/19	2017/12/28	50.00
60343	UBS AG - HSI R Bear CBBC Apr 2017 K	250,000,000	2017/01/19	2017/04/27	62.50
60311	UBS AG - HSI R Bear CBBC Apr 2017 Q	250,000,000	2017/01/19	2017/04/27	62.50
60314	UBS AG - HSI R Bear CBBC Apr 2017 R	250,000,000	2017/01/19	2017/04/27	62.50
60325	UBS AG - HSI R Bear CBBC May 2017 I	250,000,000	2017/01/19	2017/05/29	62.50
60318	UBS AG - HSI R Bear CBBC Jun 2017 A	300,000,000	2017/01/19	2017/06/29	75.00
60319	UBS AG - Tracker Fund R Bull CBBC Nov 2017 A	40,000,000	2017/01/19	2017/11/20	10.00
60409	Credit Suisse AG - Geely Auto R Bear CBBC Aug 2017 A	50,000,000	2017/01/20	2017/08/09	12.50
60408	Credit Suisse AG - Geely Auto R Bear CBBC Oct 2017 A	50,000,000	2017/01/20	2017/10/18	12.50
60410	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Q	300,000,000	2017/01/20	2017/12/28	75.00
60407	Credit Suisse AG - HSI R Bear CBBC Aug 2017 B	300,000,000	2017/01/20	2017/08/30	75.00
60432	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 X	200,000,000	2017/01/20	2017/11/29	50.00
60385	HK Bank - CC Bank R Bull CBBC Aug 2017 D	50,000,000	2017/01/20	2017/08/14	12.50
60394	HK Bank - CC Bank R Bear CBBC Jan 2018 A	40,000,000	2017/01/20	2018/01/15	10.00
60384	HK Bank - HSCEI R Bull CBBC Nov 2017 B	60,000,000	2017/01/20	2017/11/29	15.00
60405	HK Bank - HSI R Bull CBBC Nov 2017 J	150,000,000	2017/01/20	2017/11/29	37.50
60383	HK Bank - Sands China R Bear CBBC Dec 2017 B	40,000,000	2017/01/20	2017/12/15	10.00
60498	J P Morgan SP BV - COVS R Bull CBBC Nov 2017 B	40,000,000	2017/01/20	2017/11/17	10.00
60448	J P Morgan SP BV - Sinopec Corp R Bull CBBC Nov 2017 A	100,000,000	2017/01/20	2017/11/17	25.00
60462	J P Morgan SP BV - Sinopec Corp R Bull CBBC Dec 2017 A	100,000,000	2017/01/20	2017/12/15	25.00
60463	J P Morgan SP BV - Geely Auto R Bull CBBC Oct 2017 B	60,000,000	2017/01/20	2017/10/20	15.00
60465	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 M	200,000,000	2017/01/20	2017/10/30	50.00
60466	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 W	200,000,000	2017/01/20	2017/12/28	50.00
60433	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 N	200,000,000	2017/01/20	2017/04/27	50.00
60434	J P Morgan SP BV - HSI R Bear CBBC May 2017 D	200,000,000	2017/01/20	2017/05/29	50.00
60430	SG Issuer - HSI R Bear CBBC Apr 2017 T	200,000,000	2017/01/20	2017/04/27	50.00
60426	UBS AG - HSI R Bull CBBC Sep 2017 F	250,000,000	2017/01/20	2017/09/28	62.50
60423	UBS AG - HSI R Bear CBBC Apr 2017 H	250,000,000	2017/01/20	2017/04/27	62.50

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60415	UBS AG - HSI R Bear CBBC Apr 2017 S	200,000,000	2017/01/20	2017/04/27	50.00
60418	UBS AG - HSI R Bear CBBC Apr 2017 T	200,000,000	2017/01/20	2017/04/27	50.00
60546	Credit Suisse AG - HSI R Bull CBBC Aug 2017 L	300,000,000	2017/01/23	2017/08/30	75.00
60547	Credit Suisse AG - HSI R Bull CBBC Dec 2017 F	200,000,000	2017/01/23	2017/12/28	50.00
60552	Credit Suisse AG - HSI R Bear CBBC Apr 2017 H	200,000,000	2017/01/23	2017/04/27	50.00
60553	Credit Suisse AG - HSI R Bear CBBC Apr 2017 J	200,000,000	2017/01/23	2017/04/27	50.00
60551	Credit Suisse AG - Lenovo R Bull CBBC Nov 2017 A	50,000,000	2017/01/23	2017/11/09	12.50
60583	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 M	200,000,000	2017/01/23	2017/12/28	50.00
60523	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 I	200,000,000	2017/01/23	2017/04/27	50.00
60500	HK Bank - COVS R Bull CBBC Dec 2017 A	40,000,000	2017/01/23	2017/12/22	10.00
60503	HK Bank - Sinopec Corp R Bull CBBC Dec 2017 B	50,000,000	2017/01/23	2017/12/15	12.50
60516	HK Bank - Sinopec Corp R Bear CBBC Apr 2018 A	40,000,000	2017/01/23	2018/04/23	10.00
60502	HK Bank - Geely Auto R Bull CBBC Dec 2017 B	40,000,000	2017/01/23	2017/12/15	10.00
60522	HK Bank - HSI R Bull CBBC Jul 2017 O	150,000,000	2017/01/23	2017/07/28	37.50
60520	HK Bank - HSI R Bear CBBC Apr 2017 W	100,000,000	2017/01/23	2017/04/27	25.00
60519	HK Bank - HSI R Bear CBBC Jun 2017 B	100,000,000	2017/01/23	2017/06/29	25.00
60501	HK Bank - China Vanke R Bull CBBC Dec 2017 A	40,000,000	2017/01/23	2017/12/22	10.00
60610	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 J	200,000,000	2017/01/23	2017/11/29	50.00
60612	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 J	200,000,000	2017/01/23	2017/04/27	50.00
60602	SG Issuer - HSI R Bull CBBC Apr 2018 I	200,000,000	2017/01/23	2018/04/27	50.00
60609	SG Issuer - HSI R Bear CBBC Apr 2017 U	200,000,000	2017/01/23	2017/04/27	50.00
60561	UBS AG - HSI R Bull CBBC Oct 2017 J	300,000,000	2017/01/23	2017/10/30	75.00
60562	UBS AG - HSI R Bear CBBC Apr 2017 L	300,000,000	2017/01/23	2017/04/27	75.00
60564	UBS AG - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/23	2017/04/27	50.00
60722	Credit Suisse AG - AAC Tech R Bull CBBC Nov 2017 A	50,000,000	2017/01/24	2017/11/22	12.50
60712	Credit Suisse AG - CUni R Bull CBBC Aug 2017 A	100,000,000	2017/01/24	2017/08/17	25.00
60721	Credit Suisse AG - Geely Auto R Bull CBBC Sep 2017 B	50,000,000	2017/01/24	2017/09/21	12.50
60723	Credit Suisse AG - HSI R Bull CBBC Apr 2017 V	200,000,000	2017/01/24	2017/04/27	50.00
60710	Credit Suisse AG - HSI R Bear CBBC Aug 2017 C	300,000,000	2017/01/24	2017/08/30	75.00
60714	Credit Suisse AG - Lenovo R Bear CBBC Aug 2017 A	50,000,000	2017/01/24	2017/08/30	12.50
60719	Credit Suisse AG - Lenovo R Bear CBBC Aug 2017 B	50,000,000	2017/01/24	2017/08/23	12.50
60746	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 D	200,000,000	2017/01/24	2017/12/28	50.00
60620	HK Bank - CUni R Bear CBBC Jan 2018 A	40,000,000	2017/01/24	2018/01/15	10.00
60616	HK Bank - Lenovo R Bear CBBC Oct 2017 A	40,000,000	2017/01/24	2017/10/17	10.00
60725	J P Morgan SP BV - China Mobile R Bull CBBC Dec 2017 B	80,000,000	2017/01/24	2017/12/15	20.00
60728	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 X	200,000,000	2017/01/24	2017/12/28	50.00
60724	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 O	200,000,000	2017/01/24	2017/04/27	50.00
60732	J P Morgan SP BV - HSI R Bear CBBC May 2017 E	200,000,000	2017/01/24	2017/05/29	50.00
60641	SG Issuer - BOC HK R Bull CBBC Oct 2018 B	100,000,000	2017/01/24	2018/10/30	25.00
60642	SG Issuer - COVS R Bull CBBC Dec 2017 B	40,000,000	2017/01/24	2017/12/28	10.00
60643	SG Issuer - CUni R Bull CBBC Dec 2017 A	40,000,000	2017/01/24	2017/12/28	10.00
60646	SG Issuer - Geely Auto R Bull CBBC Sep 2017 A	40,000,000	2017/01/24	2017/09/28	10.00
60664	SG Issuer - Geely Auto R Bull CBBC Nov 2017 A	40,000,000	2017/01/24	2017/11/29	10.00
60644	SG Issuer - Geely Auto R Bull CBBC Jul 2018 B	40,000,000	2017/01/24	2018/07/30	10.00
60744	SG Issuer - HSI R Bull CBBC Apr 2018 J	200,000,000	2017/01/24	2018/04/27	50.00

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60745	SG Issuer - HSI R Bear CBBC Apr 2017 V	200,000,000	2017/01/24	2017/04/27	50.00
60670	SG Issuer - Lenovo R Bull CBBC Dec 2017 D	40,000,000	2017/01/24	2017/12/28	10.00
60665	SG Issuer - Lenovo R Bull CBBC Feb 2018 A	40,000,000	2017/01/24	2018/02/27	10.00
60683	SG Issuer - Ping An R Bull CBBC Jan 2018 A	100,000,000	2017/01/24	2018/01/30	25.00
60708	UBS AG - CC Bank R Bull CBBC Sep 2017 A	40,000,000	2017/01/24	2017/09/18	10.00
60700	UBS AG - HSI R Bull CBBC Nov 2017 I	250,000,000	2017/01/24	2017/11/29	62.50
60696	UBS AG - HSI R Bear CBBC Apr 2017 U	250,000,000	2017/01/24	2017/04/27	62.50
60699	UBS AG - HSI R Bear CBBC Apr 2017 V	250,000,000	2017/01/24	2017/04/27	62.50
60800	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 B	250,000,000	2017/01/25	2017/11/29	62.50
60804	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 E	250,000,000	2017/01/25	2017/11/29	62.50
60801	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 V	250,000,000	2017/01/25	2017/12/28	62.50
60758	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 D	250,000,000	2017/01/25	2017/04/27	62.50
60760	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 E	250,000,000	2017/01/25	2017/04/27	62.50
60761	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 F	250,000,000	2017/01/25	2017/04/27	62.50
60762	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 L	250,000,000	2017/01/25	2017/04/27	62.50
60764	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 R	250,000,000	2017/01/25	2017/04/27	62.50
60765	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 S	250,000,000	2017/01/25	2017/04/27	62.50
60824	Credit Suisse AG - China Mobile R Bull CBBC Nov 2017 C	50,000,000	2017/01/25	2017/11/22	12.50
60828	Credit Suisse AG - China Life R Bull CBBC Oct 2017 A	40,000,000	2017/01/25	2017/10/18	10.00
60880	Credit Suisse AG - Geely Auto R Bear CBBC Aug 2017 B	50,000,000	2017/01/25	2017/08/25	12.50
60881	Credit Suisse AG - HSCEI R Bear CBBC Jun 2017 B	100,000,000	2017/01/25	2017/06/29	25.00
60883	Credit Suisse AG - HSCEI R Bear CBBC Jun 2017 C	100,000,000	2017/01/25	2017/06/29	25.00
60853	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2017/01/25	2017/11/29	50.00
60872	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Z	200,000,000	2017/01/25	2017/12/28	50.00
60820	Credit Suisse AG - HSI R Bear CBBC Apr 2017 L	200,000,000	2017/01/25	2017/04/27	50.00
60821	Credit Suisse AG - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/25	2017/04/27	50.00
60840	Credit Suisse AG - HSI R Bear CBBC Apr 2017 N	200,000,000	2017/01/25	2017/04/27	50.00
60844	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Q	200,000,000	2017/01/25	2017/04/27	50.00
60864	Credit Suisse AG - HSI R Bear CBBC Apr 2017 T	200,000,000	2017/01/25	2017/04/27	50.00
60841	Credit Suisse AG - HSI R Bear CBBC May 2017 J	200,000,000	2017/01/25	2017/05/29	50.00
60842	Credit Suisse AG - HSI R Bear CBBC May 2017 K	300,000,000	2017/01/25	2017/05/29	75.00
60827	Credit Suisse AG - Ping An R Bull CBBC Oct 2017 D	50,000,000	2017/01/25	2017/10/26	12.50
60819	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 O	200,000,000	2017/01/25	2017/12/28	50.00
60817	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 S	200,000,000	2017/01/25	2017/12/28	50.00
60774	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 K	200,000,000	2017/01/25	2017/04/27	50.00
60775	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 I	200,000,000	2017/01/25	2017/05/29	50.00
60791	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2018 A	50,000,000	2017/01/25	2018/04/16	12.50
60795	HK Bank - A50 R Bull CBBC Apr 2018 A	40,000,000	2017/01/25	2018/04/16	10.00
60781	HK Bank - Geely Auto R Bull CBBC Apr 2018 A	40,000,000	2017/01/25	2018/04/16	10.00
60784	HK Bank - Geely Auto R Bear CBBC Jan 2018 B	40,000,000	2017/01/25	2018/01/15	10.00
60777	HK Bank - HSI R Bull CBBC Nov 2017 K	100,000,000	2017/01/25	2017/11/29	25.00
60797	HK Bank - HSI R Bull CBBC Nov 2017 L	100,000,000	2017/01/25	2017/11/29	25.00
60778	HK Bank - HSI R Bear CBBC Jul 2017 E	100,000,000	2017/01/25	2017/07/28	25.00
60780	HK Bank - SHK Ppt R Bull CBBC Apr 2018 A	40,000,000	2017/01/25	2018/04/16	10.00
60951	J P Morgan SP BV - AAC Tech R Bull CBBC Nov 2017 A	50,000,000	2017/01/25	2017/11/17	12.50

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60955	J P Morgan SP BV - China Life R Bull CBBC Nov 2017 C	40,000,000	2017/01/25	2017/11/17	10.00
60950	J P Morgan SP BV - Geely Auto R Bull CBBC Dec 2017 C	60,000,000	2017/01/25	2017/12/15	15.00
60959	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 I	40,000,000	2017/01/25	2017/12/15	10.00
60902	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 P	200,000,000	2017/01/25	2017/10/30	50.00
60903	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 K	200,000,000	2017/01/25	2017/11/29	50.00
60899	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 P	200,000,000	2017/01/25	2017/04/27	50.00
60908	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 Q	200,000,000	2017/01/25	2017/04/27	50.00
60914	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 T	200,000,000	2017/01/25	2017/04/27	50.00
60913	J P Morgan SP BV - HSI R Bear CBBC May 2017 F	200,000,000	2017/01/25	2017/05/29	50.00
60929	J P Morgan SP BV - HSI R Bear CBBC May 2017 G	200,000,000	2017/01/25	2017/05/29	50.00
60953	J P Morgan SP BV - Lenovo R Bull CBBC Jan 2018 A	80,000,000	2017/01/25	2018/01/19	20.00
60962	J P Morgan SP BV - PICC P&C R Bull CBBC Dec 2017 B	40,000,000	2017/01/25	2017/12/15	10.00
60954	J P Morgan SP BV - Ping An R Bull CBBC Nov 2017 E	80,000,000	2017/01/25	2017/11/17	20.00
60964	J P Morgan SP BV - SHK Ppt R Bull CBBC Dec 2017 B	40,000,000	2017/01/25	2017/12/15	10.00
60956	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 K	40,000,000	2017/01/25	2017/12/15	10.00
60938	SG Issuer - HSI R Bull CBBC Apr 2018 K	200,000,000	2017/01/25	2018/04/27	50.00
60941	SG Issuer - HSI R Bull CBBC Apr 2018 L	200,000,000	2017/01/25	2018/04/27	50.00
60944	SG Issuer - HSI R Bear CBBC Apr 2017 G	200,000,000	2017/01/25	2017/04/27	50.00
60816	UBS AG - HSI R Bull CBBC Dec 2017 D	250,000,000	2017/01/25	2017/12/28	62.50
60813	UBS AG - HSI R Bear CBBC Apr 2017 I	250,000,000	2017/01/25	2017/04/27	62.50
60806	UBS AG - HSI R Bear CBBC Apr 2017 W	250,000,000	2017/01/25	2017/04/27	62.50
60808	UBS AG - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/25	2017/04/27	50.00
60805	UBS AG - HSI R Bear CBBC May 2017 J	300,000,000	2017/01/25	2017/05/29	75.00
61062	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2017/01/26	2017/11/29	50.00
61065	Credit Suisse AG - HSI R Bear CBBC Apr 2017 F	200,000,000	2017/01/26	2017/04/27	50.00
61081	Credit Suisse AG - HSI R Bear CBBC Apr 2017 I	200,000,000	2017/01/26	2017/04/27	50.00
61133	Credit Suisse AG - HSI R Bear CBBC Apr 2017 K	200,000,000	2017/01/26	2017/04/27	50.00
61086	Credit Suisse AG - HSI R Bear CBBC Apr 2017 P	200,000,000	2017/01/26	2017/04/27	50.00
61094	Credit Suisse AG - HSI R Bear CBBC Apr 2017 S	200,000,000	2017/01/26	2017/04/27	50.00
61102	Credit Suisse AG - HSI R Bear CBBC Apr 2017 W	200,000,000	2017/01/26	2017/04/27	50.00
61112	Credit Suisse AG - HSI R Bear CBBC Apr 2017 X	200,000,000	2017/01/26	2017/04/27	50.00
61114	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/26	2017/04/27	50.00
61131	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Z	200,000,000	2017/01/26	2017/04/27	50.00
60978	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 W	200,000,000	2017/01/26	2017/12/28	50.00
60979	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 L	200,000,000	2017/01/26	2017/04/27	50.00
60969	HK Bank - CUni R Bull CBBC Mar 2018 A	40,000,000	2017/01/26	2018/03/05	10.00
60976	HK Bank - HSI R Bull CBBC Sep 2017 R	100,000,000	2017/01/26	2017/09/28	25.00
60977	HK Bank - HSI R Bull CBBC Sep 2017 S	100,000,000	2017/01/26	2017/09/28	25.00
60973	HK Bank - HSI R Bull CBBC Dec 2017 D	150,000,000	2017/01/26	2017/12/28	37.50
60966	HK Bank - HSI R Bear CBBC Jul 2017 F	100,000,000	2017/01/26	2017/07/28	25.00
60965	HK Bank - HSI R Bear CBBC Dec 2017 C	150,000,000	2017/01/26	2017/12/28	37.50
61035	J P Morgan SP BV - CKH R Bull CBBC Jan 2018 A	80,000,000	2017/01/26	2018/01/19	20.00
61040	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Feb 2018 A	70,000,000	2017/01/26	2018/02/15	17.50
60997	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2017/01/26	2017/11/29	50.00
61003	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 R	200,000,000	2017/01/26	2017/04/27	50.00

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61000	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 X	200,000,000	2017/01/26	2017/04/27	50.00
61030	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/26	2017/04/27	50.00
61033	J P Morgan SP BV - PetCh R Bull CBBC Nov 2017 A	100,000,000	2017/01/26	2017/11/17	25.00
61034	J P Morgan SP BV - PetCh R Bull CBBC Dec 2017 A	100,000,000	2017/01/26	2017/12/15	25.00
60992	SG Issuer - HSI R Bull CBBC Apr 2018 M	200,000,000	2017/01/26	2018/04/27	50.00
60993	SG Issuer - HSI R Bear CBBC Apr 2017 J	200,000,000	2017/01/26	2017/04/27	50.00
60995	SG Issuer - HSI R Bear CBBC Apr 2017 K	200,000,000	2017/01/26	2017/04/27	50.00
60981	UBS AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2017/01/26	2017/10/30	50.00
60985	UBS AG - HSI R Bear CBBC Apr 2017 J	200,000,000	2017/01/26	2017/04/27	50.00
60986	UBS AG - HSI R Bear CBBC May 2017 K	250,000,000	2017/01/26	2017/05/29	62.50
60987	UBS AG - HSI R Bear CBBC May 2017 L	250,000,000	2017/01/26	2017/05/29	62.50
61292	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2017 A	50,000,000	2017/01/27	2017/11/09	12.50
61294	Credit Suisse AG - Geely Auto R Bear CBBC Sep 2017 A	50,000,000	2017/01/27	2017/09/27	12.50
61281	Credit Suisse AG - HSI R Bull CBBC Nov 2017 I	200,000,000	2017/01/27	2017/11/29	50.00
61309	Credit Suisse AG - HSI R Bear CBBC Apr 2017 G	200,000,000	2017/01/27	2017/04/27	50.00
61319	Credit Suisse AG - HSI R Bear CBBC Apr 2017 O	200,000,000	2017/01/27	2017/04/27	50.00
61298	Credit Suisse AG - HSI R Bear CBBC Apr 2017 R	200,000,000	2017/01/27	2017/04/27	50.00
61301	Credit Suisse AG - HSI R Bear CBBC Apr 2017 U	200,000,000	2017/01/27	2017/04/27	50.00
61302	Credit Suisse AG - HSI R Bear CBBC Apr 2017 V	200,000,000	2017/01/27	2017/04/27	50.00
61324	Credit Suisse AG - HSI R Bear CBBC May 2017 L	200,000,000	2017/01/27	2017/05/29	50.00
61250	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 U	200,000,000	2017/01/27	2017/12/28	50.00
61259	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 M	200,000,000	2017/01/27	2017/04/27	50.00
61217	HK Bank - Geely Auto R Bull CBBC Jan 2018 B	60,000,000	2017/01/27	2018/01/22	15.00
61245	HK Bank - Geely Auto R Bear CBBC Jan 2018 C	60,000,000	2017/01/27	2018/01/22	15.00
61235	HK Bank - Geely Auto R Bear CBBC Feb 2018 A	60,000,000	2017/01/27	2018/02/12	15.00
61213	HK Bank - HSCEI R Bear CBBC Dec 2017 A	50,000,000	2017/01/27	2017/12/28	12.50
61191	HK Bank - HSI R Bear CBBC Jul 2017 G	100,000,000	2017/01/27	2017/07/28	25.00
61326	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2017/01/27	2017/12/28	50.00
61359	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 D	200,000,000	2017/01/27	2017/04/27	50.00
61332	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 G	200,000,000	2017/01/27	2017/04/27	50.00
61344	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 H	200,000,000	2017/01/27	2017/04/27	50.00
61357	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 S	200,000,000	2017/01/27	2017/04/27	50.00
61329	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 U	200,000,000	2017/01/27	2017/04/27	50.00
61330	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 Z	200,000,000	2017/01/27	2017/04/27	50.00
61148	SG Issuer - AIA R Bull CBBC Jun 2018 A	100,000,000	2017/01/27	2018/06/28	25.00
61166	SG Issuer - AIA R Bull CBBC Sep 2018 A	100,000,000	2017/01/27	2018/09/27	25.00
61167	SG Issuer - BOC HK R Bull CBBC Oct 2018 C	100,000,000	2017/01/27	2018/10/30	25.00
61168	SG Issuer - BOC HK R Bear CBBC Jul 2018 A	100,000,000	2017/01/27	2018/07/30	25.00
61169	SG Issuer - CMob R Bull CBBC Dec 2017 C	100,000,000	2017/01/27	2017/12/28	25.00
61184	SG Issuer - Geely Auto R Bull CBBC Sep 2017 B	40,000,000	2017/01/27	2017/09/28	10.00
61185	SG Issuer - Geely Auto R Bull CBBC Nov 2017 B	40,000,000	2017/01/27	2017/11/29	10.00
61182	SG Issuer - Geely Auto R Bull CBBC Jul 2018 C	40,000,000	2017/01/27	2018/07/30	10.00
61362	SG Issuer - HSI R Bull CBBC Apr 2018 N	200,000,000	2017/01/27	2018/04/27	50.00
61363	SG Issuer - HSI R Bull CBBC Apr 2018 O	200,000,000	2017/01/27	2018/04/27	50.00
61367	SG Issuer - HSI R Bear CBBC Apr 2017 N	200,000,000	2017/01/27	2017/04/27	50.00

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61373	SG Issuer - HSI R Bear CBBC Apr 2017 W	200,000,000	2017/01/27	2017/04/27	50.00
61384	SG Issuer - HSI R Bear CBBC Apr 2017 X	200,000,000	2017/01/27	2017/04/27	50.00
61387	SG Issuer - HSI R Bear CBBC Apr 2017 Y	200,000,000	2017/01/27	2017/04/27	50.00
61406	SG Issuer - HSI R Bear CBBC Jul 2017 A	200,000,000	2017/01/27	2017/07/28	50.00
61186	SG Issuer - Sands R Bull CBBC Feb 2018 A	100,000,000	2017/01/27	2018/02/27	25.00
61181	SG Issuer - China Vanke R Bull CBBC Oct 2017 A	40,000,000	2017/01/27	2017/10/30	10.00
61260	UBS AG - HSI R Bull CBBC Nov 2017 P	250,000,000	2017/01/27	2017/11/29	62.50
61279	UBS AG - HSI R Bear CBBC Apr 2017 K	250,000,000	2017/01/27	2017/04/27	62.50
61262	UBS AG - HSI R Bear CBBC Apr 2017 N	250,000,000	2017/01/27	2017/04/27	62.50
61268	UBS AG - HSI R Bear CBBC Apr 2017 P	250,000,000	2017/01/27	2017/04/27	62.50
61280	UBS AG - HSI R Bear CBBC Apr 2017 S	250,000,000	2017/01/27	2017/04/27	62.50
61277	UBS AG - HSI R Bear CBBC Apr 2017 X	250,000,000	2017/01/27	2017/04/27	62.50
61422	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2017/02/01	2017/11/29	50.00
61418	Credit Suisse AG - HSI R Bear CBBC May 2017 M	200,000,000	2017/02/01	2017/05/29	50.00
61423	Credit Suisse AG - Sands China R Bull CBBC Dec 2017 B	50,000,000	2017/02/01	2017/12/20	12.50
61441	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 C	200,000,000	2017/02/01	2017/11/29	50.00
61444	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 J	200,000,000	2017/02/01	2017/05/29	50.00
61432	J P Morgan SP BV - Geely Auto R Bull CBBC Dec 2017 D	60,000,000	2017/02/01	2017/12/15	15.00
61439	J P Morgan SP BV - HSI R Bear CBBC May 2017 H	200,000,000	2017/02/01	2017/05/29	50.00
61425	SG Issuer - HSI R Bull CBBC Apr 2018 P	200,000,000	2017/02/01	2018/04/27	50.00
61427	SG Issuer - HSI R Bear CBBC Jul 2017 B	200,000,000	2017/02/01	2017/07/28	50.00
61429	SG Issuer - HSI R Bear CBBC Jul 2017 C	200,000,000	2017/02/01	2017/07/28	50.00
61417	UBS AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2017/02/01	2017/11/29	50.00
61407	UBS AG - HSI R Bear CBBC May 2017 M	200,000,000	2017/02/01	2017/05/29	50.00
61539	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2017 A	50,000,000	2017/02/02	2017/12/13	12.50
61570	Credit Suisse AG - HSI R Bull CBBC Dec 2017 G	300,000,000	2017/02/02	2017/12/28	75.00
61530	Credit Suisse AG - HSI R Bear CBBC Aug 2017 D	300,000,000	2017/02/02	2017/08/30	75.00
61512	Bank of East Asia - COVS R Bull CBBC Jan 2018 A	25,000,000	2017/02/02	2018/01/24	10.00
61519	Bank of East Asia - Geely Auto R Bull CBBC Jan 2018 A	40,000,000	2017/02/02	2018/01/24	10.00
61525	Bank of East Asia - HSBC Holdings R Bull CBBC Jan 2018 C	60,000,000	2017/02/02	2018/01/24	15.00
61501	Bank of East Asia - Lenovo R Bull CBBC Jun 2018 A	40,000,000	2017/02/02	2018/06/22	10.00
61504	Bank of East Asia - PetCh R Bull CBBC Jan 2018 A	40,000,000	2017/02/02	2018/01/24	10.00
61446	HK Bank - ABC R Bull CBBC Jul 2018 A	50,000,000	2017/02/02	2018/07/31	12.50
61447	HK Bank - ABC R Bull CBBC Aug 2018 A	50,000,000	2017/02/02	2018/08/31	12.50
61469	HK Bank - Lenovo R Bull CBBC Aug 2018 A	40,000,000	2017/02/02	2018/08/31	10.00
61470	HK Bank - Lenovo R Bull CBBC Sep 2018 A	40,000,000	2017/02/02	2018/09/28	10.00
61445	HK Bank - Tencent R Bull CBBC Jan 2018 C	50,000,000	2017/02/02	2018/01/18	12.50
61474	Haitong Int'l Sec - AAC Tech R Bull CBBC Nov 2017 A	40,000,000	2017/02/02	2017/11/17	10.00
61478	Haitong Int'l Sec - Geely Auto R Bull CBBC Nov 2017 A	40,000,000	2017/02/02	2017/11/15	10.00
61481	Haitong Int'l Sec - PICC P&C R Bull CBBC Nov 2017 A	40,000,000	2017/02/02	2017/11/14	10.00
61471	Haitong Int'l Sec - China Vanke R Bull CBBC Nov 2017 A	40,000,000	2017/02/02	2017/11/16	10.40
61587	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 Z	200,000,000	2017/02/02	2017/12/28	50.00
61583	J P Morgan SP BV - HSI R Bear CBBC May 2017 I	200,000,000	2017/02/02	2017/05/29	50.00
61588	J P Morgan SP BV - PICC P&C R Bull CBBC Jan 2018 A	40,000,000	2017/02/02	2018/01/19	10.00
61591	J P Morgan SP BV - PICC P&C R Bull CBBC Feb 2018 A	40,000,000	2017/02/02	2018/02/15	10.00

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61593	J P Morgan SP BV - China Vanke R Bull CBBC Dec 2017 A	30,000,000	2017/02/02	2017/12/15	10.50
61595	J P Morgan SP BV - China Vanke R Bull CBBC Jan 2018 A	30,000,000	2017/02/02	2018/01/19	13.50
61571	SG Issuer - HSI R Bull CBBC Apr 2018 Q	200,000,000	2017/02/02	2018/04/27	50.00
61582	SG Issuer - HSI R Bear CBBC Jul 2017 D	200,000,000	2017/02/02	2017/07/28	50.00
61528	UBS AG - HSI R Bull CBBC Oct 2017 M	250,000,000	2017/02/02	2017/10/30	62.50
61526	UBS AG - HSI R Bear CBBC May 2017 N	250,000,000	2017/02/02	2017/05/29	62.50
61730	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 W	250,000,000	2017/02/03	2017/12/28	62.50
61640	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 A	250,000,000	2017/02/03	2017/05/29	62.50
61654	Credit Suisse AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2017/02/03	2017/11/29	50.00
61652	Credit Suisse AG - HSI R Bear CBBC May 2017 N	200,000,000	2017/02/03	2017/05/29	50.00
61642	Credit Suisse AG - PICC P&C R Bull CBBC Dec 2017 A	40,000,000	2017/02/03	2017/12/13	10.00
61643	Credit Suisse AG - PICC P&C R Bull CBBC Dec 2017 B	40,000,000	2017/02/03	2017/12/06	10.00
61648	Credit Suisse AG - PICC P&C R Bull CBBC Dec 2017 C	40,000,000	2017/02/03	2017/12/20	10.00
61618	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 K	200,000,000	2017/02/03	2017/05/29	50.00
61619	HK Bank - AAC Tech R Bull CBBC Apr 2018 A	40,000,000	2017/02/03	2018/04/30	10.00
61620	HK Bank - ABC R Bear CBBC Apr 2018 A	40,000,000	2017/02/03	2018/04/30	10.00
61624	HK Bank - Lenovo R Bear CBBC Apr 2018 A	40,000,000	2017/02/03	2018/04/30	10.00
61629	HK Bank - Tencent R Bull CBBC Jan 2018 D	50,000,000	2017/02/03	2018/01/22	12.50
61608	Haitong Int'l Sec - HSI R Bull CBBC Sep 2017 B	150,000,000	2017/02/03	2017/09/28	37.65
61617	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 H	150,000,000	2017/02/03	2017/11/29	37.65
61612	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 M	150,000,000	2017/02/03	2017/12/28	37.65
61599	Haitong Int'l Sec - HSI R Bull CBBC Apr 2018 A	150,000,000	2017/02/03	2018/04/27	37.50
61602	Haitong Int'l Sec - HSI R Bull CBBC Apr 2018 B	150,000,000	2017/02/03	2018/04/27	37.80
61697	J P Morgan SP BV - HSI R Bear CBBC May 2017 J	200,000,000	2017/02/03	2017/05/29	50.00
61702	J P Morgan SP BV - HSI R Bear CBBC May 2017 K	200,000,000	2017/02/03	2017/05/29	50.00
61722	J P Morgan SP BV - HSI R Bear CBBC May 2017 L	200,000,000	2017/02/03	2017/05/29	50.00
61724	J P Morgan SP BV - HSI R Bear CBBC May 2017 M	200,000,000	2017/02/03	2017/05/29	50.00
61734	SG Issuer - HSI R Bull CBBC Apr 2018 R	200,000,000	2017/02/03	2018/04/27	50.00
61736	SG Issuer - HSI R Bull CBBC Apr 2018 S	200,000,000	2017/02/03	2018/04/27	50.00
61737	SG Issuer - HSI R Bear CBBC Jul 2017 E	200,000,000	2017/02/03	2017/07/28	50.00
61742	SG Issuer - HSI R Bear CBBC Jul 2017 F	200,000,000	2017/02/03	2017/07/28	50.00
61676	UBS AG - HSI R Bull CBBC Sep 2017 Z	250,000,000	2017/02/03	2017/09/28	62.50
61672	UBS AG - HSI R Bear CBBC May 2017 O	250,000,000	2017/02/03	2017/05/29	62.50
61927	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2017 B	50,000,000	2017/02/06	2017/12/29	12.50
61922	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec17A	80,000,000	2017/02/06	2017/12/29	20.00
61923	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2017 C	80,000,000	2017/02/06	2017/12/29	20.00
61931	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2017 C	100,000,000	2017/02/06	2017/11/29	25.00
61933	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2017 D	100,000,000	2017/02/06	2017/11/29	25.00
61932	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2017 C	100,000,000	2017/02/06	2017/12/28	25.00
61939	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2017 D	100,000,000	2017/02/06	2017/12/28	25.00
62553	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 F	250,000,000	2017/02/06	2017/11/29	62.50
62564	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 G	250,000,000	2017/02/06	2017/11/29	62.50
62557	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 X	250,000,000	2017/02/06	2017/12/28	62.50
61774	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 B	250,000,000	2017/02/06	2017/05/29	62.50
61776	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 C	250,000,000	2017/02/06	2017/05/29	62.50

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61789	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 D	250,000,000	2017/02/06	2017/05/29	62.50
61929	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Dec 2017 A	50,000,000	2017/02/06	2017/12/29	12.50
61928	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Dec 2017 C	50,000,000	2017/02/06	2017/12/29	12.50
61968	Credit Suisse AG - AIA R Bull CBBC Nov 2017 B	50,000,000	2017/02/06	2017/11/22	12.50
62022	Credit Suisse AG - AIA R Bear CBBC Nov 2017 B	50,000,000	2017/02/06	2017/11/29	12.50
62000	Credit Suisse AG - China Mobile R Bear CBBC Dec 2017 A	50,000,000	2017/02/06	2017/12/14	12.50
62189	Credit Suisse AG - CUni R Bull CBBC Nov 2017 A	50,000,000	2017/02/06	2017/11/16	12.50
61971	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2017 A	40,000,000	2017/02/06	2017/11/17	10.00
61991	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2017 C	50,000,000	2017/02/06	2017/12/06	12.50
61973	Credit Suisse AG - HSBC R Bull CBBC Dec 2017 E	50,000,000	2017/02/06	2017/12/13	12.50
62191	Credit Suisse AG - HSI R Bull CBBC Dec 2017 E	200,000,000	2017/02/06	2017/12/28	50.00
62216	Credit Suisse AG - HSI R Bull CBBC Dec 2017 R	200,000,000	2017/02/06	2017/12/28	50.00
61948	Credit Suisse AG - HSI R Bear CBBC May 2017 O	200,000,000	2017/02/06	2017/05/29	50.00
61965	Credit Suisse AG - HSI R Bear CBBC May 2017 P	200,000,000	2017/02/06	2017/05/29	50.00
61966	Credit Suisse AG - HSI R Bear CBBC May 2017 Q	200,000,000	2017/02/06	2017/05/29	50.00
62008	Credit Suisse AG - HSI R Bear CBBC May 2017 R	200,000,000	2017/02/06	2017/05/29	50.00
62020	Credit Suisse AG - HSI R Bear CBBC May 2017 S	200,000,000	2017/02/06	2017/05/29	50.00
61974	Credit Suisse AG - Tencent R Bull CBBC Oct 2017 D	50,000,000	2017/02/06	2017/10/25	12.50
61986	Credit Suisse AG - Tencent R Bull CBBC Nov 2017 A	50,000,000	2017/02/06	2017/11/08	12.50
61881	Bank of East Asia - ABC R Bull CBBC Jun 2018 A	40,000,000	2017/02/06	2018/06/22	10.00
61917	Bank of East Asia - Lenovo R Bull CBBC Jan 2018 A	40,000,000	2017/02/06	2018/01/26	10.00
61890	Bank of East Asia - PICC P&C R Bull CBBC Jan 2018 A	40,000,000	2017/02/06	2018/01/26	10.00
61901	Bank of East Asia - PICC P&C R Bull CBBC Jun 2018 A	40,000,000	2017/02/06	2018/06/22	10.00
61910	Bank of East Asia - Tencent R Bull CBBC Jan 2018 E	30,000,000	2017/02/06	2018/01/26	10.50
61945	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 D	200,000,000	2017/02/06	2017/11/29	50.00
61769	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 L	200,000,000	2017/02/06	2017/05/29	50.00
61770	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 M	200,000,000	2017/02/06	2017/05/29	50.00
61807	HK Bank - AIA R Bull CBBC Jan 2018 C	60,000,000	2017/02/06	2018/01/15	15.00
61803	HK Bank - HKEX R Bull CBBC Dec 2017 G	50,000,000	2017/02/06	2017/12/15	12.50
61853	HK Bank - HSI R Bull CBBC Nov 2017 M	100,000,000	2017/02/06	2017/11/29	25.00
61833	HK Bank - HSI R Bull CBBC Dec 2017 E	100,000,000	2017/02/06	2017/12/28	25.00
61866	HK Bank - HSI R Bear CBBC Oct 2017 G	150,000,000	2017/02/06	2017/10/30	37.50
61794	HK Bank - Tencent R Bull CBBC Dec 2017 D	50,000,000	2017/02/06	2017/12/22	12.50
62295	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Feb 2018 B	80,000,000	2017/02/06	2018/02/15	20.00
62291	J P Morgan SP BV - A50 China R Bull CBBC Feb 2018 A	80,000,000	2017/02/06	2018/02/15	20.00
62303	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 J	40,000,000	2017/02/06	2017/11/17	10.00
62311	J P Morgan SP BV - HSBC R Bull CBBC Dec 2017 E	100,000,000	2017/02/06	2017/12/15	25.00
62349	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 O	200,000,000	2017/02/06	2017/10/30	50.00
62274	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 I	200,000,000	2017/02/06	2017/11/29	50.00
62228	J P Morgan SP BV - HSI R Bear CBBC May 2017 N	200,000,000	2017/02/06	2017/05/29	50.00
62233	J P Morgan SP BV - HSI R Bear CBBC May 2017 O	200,000,000	2017/02/06	2017/05/29	50.00
62248	J P Morgan SP BV - HSI R Bear CBBC May 2017 P	200,000,000	2017/02/06	2017/05/29	50.00
62250	J P Morgan SP BV - HSI R Bear CBBC May 2017 Q	200,000,000	2017/02/06	2017/05/29	50.00
62259	J P Morgan SP BV - HSI R Bear CBBC May 2017 R	200,000,000	2017/02/06	2017/05/29	50.00
62263	J P Morgan SP BV - HSI R Bear CBBC May 2017 S	200,000,000	2017/02/06	2017/05/29	50.00

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62338	J P Morgan SP BV - Lenovo R Bull CBBC Jan 2018 B	100,000,000	2017/02/06	2018/01/19	25.00
62344	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 L	40,000,000	2017/02/06	2017/11/17	10.00
62345	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 L	60,000,000	2017/02/06	2017/12/15	15.00
62348	J P Morgan SP BV - Tencent R Bull CBBC Jan 2018 A	40,000,000	2017/02/06	2018/01/19	10.00
61878	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2018 A	40,000,000	2017/02/06	2018/04/27	10.00
61880	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2018 B	40,000,000	2017/02/06	2018/04/27	10.00
62438	SG Issuer - A50 R Bull CBBC Nov 2017 A	40,000,000	2017/02/06	2017/11/29	10.00
62439	SG Issuer - A50 R Bear CBBC Oct 2017 A	40,000,000	2017/02/06	2017/10/30	10.00
62385	SG Issuer - Galaxy R Bull CBBC Aug 2018 B	100,000,000	2017/02/06	2018/08/30	25.00
62430	SG Issuer - Galaxy R Bear CBBC Oct 2018 A	100,000,000	2017/02/06	2018/10/30	25.00
62432	SG Issuer - HSBC R Bull CBBC Sep 2018 G	100,000,000	2017/02/06	2018/09/27	25.00
62437	SG Issuer - HSBC R Bear CBBC Nov 2017 A	100,000,000	2017/02/06	2017/11/29	25.00
62501	SG Issuer - HSI R Bull CBBC Apr 2018 T	200,000,000	2017/02/06	2018/04/27	50.00
62502	SG Issuer - HSI R Bull CBBC Apr 2018 U	200,000,000	2017/02/06	2018/04/27	50.00
62517	SG Issuer - HSI R Bull CBBC Apr 2018 V	200,000,000	2017/02/06	2018/04/27	50.00
62532	SG Issuer - HSI R Bear CBBC Jul 2017 G	200,000,000	2017/02/06	2017/07/28	50.00
62548	SG Issuer - HSI R Bear CBBC Jul 2017 H	200,000,000	2017/02/06	2017/07/28	50.00
62550	SG Issuer - HSI R Bear CBBC Jul 2017 I	200,000,000	2017/02/06	2017/07/28	50.00
62446	SG Issuer - SHK Ppt P R Bull CBBC Aug 2018 C	100,000,000	2017/02/06	2018/08/30	25.00
62484	SG Issuer - Tencent R Bull CBBC Sep 2017 F	100,000,000	2017/02/06	2017/09/28	25.00
62489	SG Issuer - Tencent R Bear CBBC Jul 2017 B	100,000,000	2017/02/06	2017/07/28	25.00
62131	UBS AG - HKEX R Bull CBBC Oct 2017 B	40,000,000	2017/02/06	2017/10/09	10.00
62134	UBS AG - HKEX R Bear CBBC Jul 2017 A	40,000,000	2017/02/06	2017/07/10	10.00
62164	UBS AG - HSI R Bull CBBC Oct 2017 J	250,000,000	2017/02/06	2017/10/30	62.50
62140	UBS AG - HSI R Bull CBBC Dec 2017 E	250,000,000	2017/02/06	2017/12/28	62.50
62180	UBS AG - HSI R Bull CBBC Dec 2017 Q	250,000,000	2017/02/06	2017/12/28	62.50
62027	UBS AG - HSI R Bear CBBC May 2017 P	200,000,000	2017/02/06	2017/05/29	50.00
62039	UBS AG - HSI R Bear CBBC May 2017 Q	250,000,000	2017/02/06	2017/05/29	62.50
62055	UBS AG - HSI R Bear CBBC May 2017 R	250,000,000	2017/02/06	2017/05/29	62.50
62119	UBS AG - Tencent R Bull CBBC Dec 2017 A	50,000,000	2017/02/06	2017/12/11	12.50
62120	UBS AG - Tencent R Bear CBBC Oct 2017 A	50,000,000	2017/02/06	2017/10/16	12.50
62780	Credit Suisse AG - AAC Tech R Bull CBBC Dec 2017 A	50,000,000	2017/02/07	2017/12/20	12.50
62789	Credit Suisse AG - HKEX R Bull CBBC Dec 2017 B	50,000,000	2017/02/07	2017/12/08	12.50
62849	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2017/02/07	2017/11/29	50.00
62833	Credit Suisse AG - HSI R Bull CBBC Dec 2017 V	200,000,000	2017/02/07	2017/12/28	50.00
62790	Credit Suisse AG - Lenovo R Bull CBBC Dec 2017 A	50,000,000	2017/02/07	2017/12/21	12.50
62824	Credit Suisse AG - Lenovo R Bull CBBC Dec 2017 B	50,000,000	2017/02/07	2017/12/14	12.50
62788	Credit Suisse AG - PICC P&C R Bull CBBC Dec 2017 D	40,000,000	2017/02/07	2017/12/14	10.00
62673	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 H	200,000,000	2017/02/07	2017/10/30	50.00
62677	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 A	200,000,000	2017/02/07	2017/07/28	50.00
62678	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 B	200,000,000	2017/02/07	2017/07/28	50.00
62624	HK Bank - CUni R Bull CBBC Dec 2017 B	40,000,000	2017/02/07	2017/12/22	10.00
62595	HK Bank - CUni R Bear CBBC Dec 2017 A	40,000,000	2017/02/07	2017/12/15	10.00
62626	HK Bank - PICC P&C R Bull CBBC Oct 2018 A	40,000,000	2017/02/07	2018/10/31	10.00
62629	HK Bank - China Vanke R Bull CBBC Jan 2018 B	40,000,000	2017/02/07	2018/01/15	10.00

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62672	J P Morgan SP BV - AIA R Bull CBBC Dec 2017 A	100,000,000	2017/02/07	2017/12/15	25.00
62646	J P Morgan SP BV - China Mobile R Bull CBBC Dec 2017 C	100,000,000	2017/02/07	2017/12/15	25.00
62639	J P Morgan SP BV - CUni R Bull CBBC Dec 2017 A	60,000,000	2017/02/07	2017/12/15	15.00
62768	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Y	200,000,000	2017/02/07	2017/10/30	50.00
62775	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Z	200,000,000	2017/02/07	2017/11/29	50.00
62753	J P Morgan SP BV - HSI R Bear CBBC May 2017 T	200,000,000	2017/02/07	2017/05/29	50.00
62755	J P Morgan SP BV - HSI R Bear CBBC May 2017 U	200,000,000	2017/02/07	2017/05/29	50.00
62759	J P Morgan SP BV - HSI R Bear CBBC May 2017 V	200,000,000	2017/02/07	2017/05/29	50.00
62777	J P Morgan SP BV - HSI R Bear CBBC May 2017 W	200,000,000	2017/02/07	2017/05/29	50.00
62658	J P Morgan SP BV - PICC P&C R Bull CBBC Jan 2018 B	40,000,000	2017/02/07	2018/01/19	10.00
62638	J P Morgan SP BV - Tencent R Bull CBBC Jan 2018 B	40,000,000	2017/02/07	2018/01/19	10.00
62682	SG Issuer - HSI R Bull CBBC Apr 2018 W	200,000,000	2017/02/07	2018/04/27	50.00
62708	SG Issuer - HSI R Bull CBBC Apr 2018 X	200,000,000	2017/02/07	2018/04/27	50.00
62750	UBS AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2017/02/07	2017/09/28	50.00
62749	UBS AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2017/02/07	2017/11/29	50.00
62722	UBS AG - Lenovo R Bull CBBC Dec 2017 A	40,000,000	2017/02/07	2017/12/04	10.00
62737	UBS AG - Lenovo R Bear CBBC Jun 2017 A	40,000,000	2017/02/07	2017/06/12	10.00
62921	Credit Suisse AG - China Mobile R Bull CBBC Dec 2017 A	50,000,000	2017/02/08	2017/12/15	12.50
62909	Credit Suisse AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2017/02/08	2017/10/30	50.00
62902	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2017/02/08	2017/11/29	50.00
62920	Credit Suisse AG - HSI R Bear CBBC May 2017 T	200,000,000	2017/02/08	2017/05/29	50.00
62858	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 I	200,000,000	2017/02/08	2017/10/30	50.00
62924	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 C	200,000,000	2017/02/08	2017/07/28	50.00
62926	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 D	200,000,000	2017/02/08	2017/07/28	50.00
62891	HK Bank - CKP R Bull CBBC Feb 2018 A	40,000,000	2017/02/08	2018/02/12	10.00
62859	HK Bank - HSI R Bear CBBC Jul 2017 H	100,000,000	2017/02/08	2017/07/28	25.00
62877	HK Bank - ICBC R Bull CBBC Feb 2018 A	50,000,000	2017/02/08	2018/02/12	12.50
62887	HK Bank - ICBC R Bear CBBC Jan 2018 A	40,000,000	2017/02/08	2018/01/22	10.00
62900	HK Bank - SMIC R Bull CBBC Feb 2018 A	40,000,000	2017/02/08	2018/02/12	10.00
62898	HK Bank - WH Group R Bull CBBC Feb 2018 A	40,000,000	2017/02/08	2018/02/12	10.00
62956	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 A	200,000,000	2017/02/08	2017/10/30	50.00
62957	J P Morgan SP BV - HSI R Bear CBBC May 2017 X	200,000,000	2017/02/08	2017/05/29	50.00
62961	J P Morgan SP BV - HSI R Bear CBBC May 2017 Y	200,000,000	2017/02/08	2017/05/29	50.00
62929	SG Issuer - HSI R Bull CBBC Apr 2018 Y	200,000,000	2017/02/08	2018/04/27	50.00
62954	SG Issuer - HSI R Bear CBBC Jul 2017 J	200,000,000	2017/02/08	2017/07/28	50.00
63009	UBS AG - China Mobile R Bull CBBC Dec 2017 B	40,000,000	2017/02/08	2017/12/11	10.00
62994	UBS AG - HSI R Bull CBBC Sep 2017 J	250,000,000	2017/02/08	2017/09/28	62.50
62981	UBS AG - HSI R Bull CBBC Dec 2017 S	250,000,000	2017/02/08	2017/12/28	62.50
63035	UBS AG - HSI R Bear CBBC May 2017 S	250,000,000	2017/02/08	2017/05/29	62.50
63080	UBS AG - HSI R Bear CBBC May 2017 T	250,000,000	2017/02/08	2017/05/29	62.50
63081	UBS AG - Petrochina R Bull CBBC Oct 2017 B	40,000,000	2017/02/08	2017/10/16	10.00
63084	UBS AG - Tencent R Bull CBBC Nov 2017 D	50,000,000	2017/02/08	2017/11/13	12.50
63091	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 E	250,000,000	2017/02/09	2017/05/29	62.50
63160	Credit Suisse AG - HSI R Bull CBBC Oct 2017 V	200,000,000	2017/02/09	2017/10/30	50.00
63165	Credit Suisse AG - HSI R Bull CBBC Oct 2017 Z	300,000,000	2017/02/09	2017/10/30	75.00

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63185	Credit Suisse AG - HSI R Bear CBBC May 2017 U	200,000,000	2017/02/09	2017/05/29	50.00
63177	Credit Suisse AG - HSI R Bear CBBC Sep 2017 A	300,000,000	2017/02/09	2017/09/28	75.00
63170	Credit Suisse AG - SMIC R Bull CBBC Nov 2017 A	40,000,000	2017/02/09	2017/11/30	10.00
63172	Credit Suisse AG - SMIC R Bull CBBC Nov 2017 B	40,000,000	2017/02/09	2017/11/23	10.00
63139	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 A	200,000,000	2017/02/09	2017/10/30	50.00
63142	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 E	200,000,000	2017/02/09	2017/07/28	50.00
63113	HK Bank - BOC HK R Bull CBBC Nov 2017 B	40,000,000	2017/02/09	2017/11/17	10.00
63114	HK Bank - BOCL R Bull CBBC Feb 2018 A	50,000,000	2017/02/09	2018/02/12	12.50
63109	HK Bank - China Mobile R Bull CBBC Nov 2017 B	50,000,000	2017/02/09	2017/11/17	12.50
63096	HK Bank - China Shenhua R Bull CBBC Feb 2018 A	40,000,000	2017/02/09	2018/02/12	12.00
63121	HK Bank - HSI R Bull CBBC Dec 2017 F	100,000,000	2017/02/09	2017/12/28	25.00
63128	HK Bank - HSI R Bear CBBC Jul 2017 I	100,000,000	2017/02/09	2017/07/28	25.00
63104	HK Bank - Link REIT R Bull CBBC Feb 2018 A	40,000,000	2017/02/09	2018/02/12	10.00
63200	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2017/02/09	2017/11/29	50.00
63202	J P Morgan SP BV - HSI R Bear CBBC May 2017 Z	200,000,000	2017/02/09	2017/05/29	50.00
63203	SG Issuer - HSI R Bull CBBC Apr 2018 Z	200,000,000	2017/02/09	2018/04/27	50.00
63204	SG Issuer - HSI R Bear CBBC Jul 2017 K	200,000,000	2017/02/09	2017/07/28	50.00
63210	SG Issuer - HSI R Bear CBBC Jul 2017 L	200,000,000	2017/02/09	2017/07/28	50.00
63150	SG Issuer - Tencent R Bull CBBC Jan 2018 C	100,000,000	2017/02/09	2018/01/30	25.00
63149	SG Issuer - Tencent R Bull CBBC Mar 2018 C	100,000,000	2017/02/09	2018/03/28	25.00
63156	SG Issuer - Tencent R Bear CBBC Nov 2017 A	100,000,000	2017/02/09	2017/11/29	25.00
63187	UBS AG - Galaxy Ent R Bull CBBC Oct 2017 B	40,000,000	2017/02/09	2017/10/09	10.00
63192	UBS AG - HSBC R Bull CBBC Nov 2017 F	40,000,000	2017/02/09	2017/11/20	10.00
63197	UBS AG - HSI R Bear CBBC May 2017 U	250,000,000	2017/02/09	2017/05/29	62.50
63198	UBS AG - HSI R Bear CBBC May 2017 V	250,000,000	2017/02/09	2017/05/29	62.50
63188	UBS AG - Sands China R Bull CBBC Nov 2017 A	40,000,000	2017/02/09	2017/11/13	10.00
63234	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 Y	250,000,000	2017/02/10	2017/12/28	62.50
63212	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 F	250,000,000	2017/02/10	2017/05/29	62.50
63327	Credit Suisse AG - China Shenhua R Bull CBBC Oct 2017 A	50,000,000	2017/02/10	2017/10/12	12.50
63352	Credit Suisse AG - China Shenhua R Bull CBBC Dec 2017 A	50,000,000	2017/02/10	2017/12/29	13.75
63354	Credit Suisse AG - Geely Auto R Bull CBBC Oct 2017 B	50,000,000	2017/02/10	2017/10/26	12.50
63287	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2017 B	50,000,000	2017/02/10	2017/12/14	12.50
63296	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2017 B	50,000,000	2017/02/10	2017/12/07	12.50
63280	Credit Suisse AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2017/02/10	2017/10/30	50.00
63273	Credit Suisse AG - HSI R Bull CBBC Jan 2018 A	300,000,000	2017/02/10	2018/01/30	75.00
63329	Credit Suisse AG - HSI R Bear CBBC May 2017 V	200,000,000	2017/02/10	2017/05/29	50.00
63331	Credit Suisse AG - HSI R Bear CBBC May 2017 W	200,000,000	2017/02/10	2017/05/29	50.00
63286	Credit Suisse AG - Sands China R Bull CBBC Nov 2017 C	50,000,000	2017/02/10	2017/11/23	12.50
63407	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 J	200,000,000	2017/02/10	2017/10/30	50.00
63242	HK Bank - Geely Auto R Bear CBBC Feb 2018 B	40,000,000	2017/02/10	2018/02/12	10.00
63249	HK Bank - HSI R Bear CBBC Jul 2017 J	100,000,000	2017/02/10	2017/07/28	25.00
63243	HK Bank - Sands China R Bull CBBC Mar 2018 B	80,000,000	2017/02/10	2018/03/05	20.00
63266	Haitong Int'l Sec - China Mobile R Bear CBBC Aug 2017 A	40,000,000	2017/02/10	2017/08/17	10.00
63254	Haitong Int'l Sec - SMIC R Bull CBBC Oct 2017 A	40,000,000	2017/02/10	2017/10/17	10.00
63255	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2017 B	40,000,000	2017/02/10	2017/10/18	10.00

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63257	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2017 A	40,000,000	2017/02/10	2017/10/18	10.00
63253	Haitong Int'l Sec - WH Group R Bull CBBC Oct 2017 A	40,000,000	2017/02/10	2017/10/16	10.00
63451	J P Morgan SP BV - Geely Auto R Bull CBBC Nov 2017 C	60,000,000	2017/02/10	2017/11/17	15.00
63439	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 D	200,000,000	2017/02/10	2017/10/30	50.00
63441	J P Morgan SP BV - HSI R Bear CBBC May 2017 A	200,000,000	2017/02/10	2017/05/29	50.00
63442	J P Morgan SP BV - HSI R Bear CBBC May 2017 C	200,000,000	2017/02/10	2017/05/29	50.00
63397	SG Issuer - HSI R Bull CBBC Apr 2018 F	200,000,000	2017/02/10	2018/04/27	50.00
63401	SG Issuer - HSI R Bear CBBC Jul 2017 M	200,000,000	2017/02/10	2017/07/28	50.00
63403	SG Issuer - HSI R Bear CBBC Jul 2017 N	200,000,000	2017/02/10	2017/07/28	50.00
63355	UBS AG - HSI R Bull CBBC Dec 2017 V	300,000,000	2017/02/10	2017/12/28	75.00
63366	UBS AG - HSI R Bull CBBC Dec 2017 X	250,000,000	2017/02/10	2017/12/28	62.50
63367	UBS AG - HSI R Bear CBBC May 2017 W	200,000,000	2017/02/10	2017/05/29	50.00
63372	UBS AG - HSI R Bear CBBC May 2017 X	250,000,000	2017/02/10	2017/05/29	62.50
63388	UBS AG - HSI R Bear CBBC May 2017 Y	200,000,000	2017/02/10	2017/05/29	50.00
63506	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 H	250,000,000	2017/02/13	2017/11/29	62.50
63489	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 Z	250,000,000	2017/02/13	2017/12/28	62.50
63459	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 G	250,000,000	2017/02/13	2017/05/29	62.50
63533	Credit Suisse AG - China Life R Bull CBBC Nov 2017 C	50,000,000	2017/02/13	2017/11/30	12.50
63539	Credit Suisse AG - China Life R Bear CBBC Oct 2017 A	25,000,000	2017/02/13	2017/10/26	10.25
63536	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2017 C	50,000,000	2017/02/13	2017/12/05	12.50
63513	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2017 A	50,000,000	2017/02/13	2017/11/08	12.50
63518	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2017 B	50,000,000	2017/02/13	2017/11/17	14.50
63525	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Z	200,000,000	2017/02/13	2017/12/28	50.00
63519	Credit Suisse AG - HSI R Bull CBBC Jan 2018 B	200,000,000	2017/02/13	2018/01/30	50.00
63510	Credit Suisse AG - HSI R Bear CBBC May 2017 X	200,000,000	2017/02/13	2017/05/29	50.00
63511	Credit Suisse AG - HSI R Bear CBBC May 2017 Y	200,000,000	2017/02/13	2017/05/29	50.00
63531	Credit Suisse AG - Ping An R Bull CBBC Dec 2017 A	50,000,000	2017/02/13	2017/12/19	12.50
63540	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 K	200,000,000	2017/02/13	2017/10/30	50.00
63453	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 N	200,000,000	2017/02/13	2017/05/29	50.00
63454	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 O	200,000,000	2017/02/13	2017/05/29	50.00
63541	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 F	200,000,000	2017/02/13	2017/07/28	50.00
63542	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 G	200,000,000	2017/02/13	2017/07/28	50.00
63546	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 H	200,000,000	2017/02/13	2017/07/28	50.00
63496	HK Bank - China Life R Bull CBBC Nov 2017 A	40,000,000	2017/02/13	2017/11/17	10.00
63478	HK Bank - Geely Auto R Bull CBBC Nov 2017 B	40,000,000	2017/02/13	2017/11/17	10.00
63480	HK Bank - Geely Auto R Bear CBBC Feb 2018 C	40,000,000	2017/02/13	2018/02/12	10.00
63486	HK Bank - HSCEI R Bull CBBC Nov 2017 C	60,000,000	2017/02/13	2017/11/29	15.00
63481	HK Bank - HSI R Bull CBBC Nov 2017 N	100,000,000	2017/02/13	2017/11/29	25.00
63549	J P Morgan SP BV - China Life R Bull CBBC Jan 2018 D	40,000,000	2017/02/13	2018/01/19	10.00
63614	J P Morgan SP BV - China Life R Bear CBBC Jan 2018 A	30,000,000	2017/02/13	2018/01/19	11.10
63560	J P Morgan SP BV - Geely Auto R Bull CBBC Oct 2017 C	80,000,000	2017/02/13	2017/10/20	20.00
63552	J P Morgan SP BV - HSBC R Bear CBBC Oct 2017 A	100,000,000	2017/02/13	2017/10/20	25.00
63590	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 R	200,000,000	2017/02/13	2018/01/30	50.00
63594	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 N	200,000,000	2017/02/13	2018/02/27	50.00
63593	J P Morgan SP BV - HSI R Bull CBBC Apr 2018 A	200,000,000	2017/02/13	2018/04/27	50.00

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63548	J P Morgan SP BV - HSI R Bear CBBC May 2017 H	200,000,000	2017/02/13	2017/05/29	50.00
63580	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 A	200,000,000	2017/02/13	2017/07/28	50.00
63582	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 B	200,000,000	2017/02/13	2017/07/28	50.00
63587	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 C	200,000,000	2017/02/13	2017/07/28	50.00
63550	J P Morgan SP BV - Ping An R Bull CBBC Jan 2018 A	150,000,000	2017/02/13	2018/01/19	37.50
63551	J P Morgan SP BV - Ping An R Bear CBBC Jan 2018 A	150,000,000	2017/02/13	2018/01/19	37.50
63563	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 M	40,000,000	2017/02/13	2017/11/17	10.00
63467	SG Issuer - China Life R Bull CBBC Jan 2018 A	40,000,000	2017/02/13	2018/01/30	10.00
63461	SG Issuer - China Life R Bull CBBC Feb 2018 A	40,000,000	2017/02/13	2018/02/27	10.00
63473	SG Issuer - Geely Auto R Bull CBBC Nov 2017 C	40,000,000	2017/02/13	2017/11/29	10.00
63469	SG Issuer - Geely Auto R Bull CBBC Jan 2018 A	40,000,000	2017/02/13	2018/01/30	10.00
63603	SG Issuer - HSI R Bull CBBC May 2018 A	200,000,000	2017/02/13	2018/05/30	50.00
63605	SG Issuer - HSI R Bull CBBC May 2018 B	200,000,000	2017/02/13	2018/05/30	50.00
63606	SG Issuer - HSI R Bear CBBC Aug 2017 A	200,000,000	2017/02/13	2017/08/30	50.00
63611	SG Issuer - HSI R Bear CBBC Aug 2017 B	200,000,000	2017/02/13	2017/08/30	50.00
63474	SG Issuer - Ping An R Bull CBBC May 2018 A	100,000,000	2017/02/13	2018/05/30	25.00
63504	UBS AG - HSI R Bull CBBC Nov 2017 M	200,000,000	2017/02/13	2017/11/29	50.00
63501	UBS AG - HSI R Bull CBBC Dec 2017 M	250,000,000	2017/02/13	2017/12/28	62.50
63498	UBS AG - HSI R Bear CBBC May 2017 A	200,000,000	2017/02/13	2017/05/29	50.00
63497	UBS AG - HSI R Bear CBBC May 2017 Z	200,000,000	2017/02/13	2017/05/29	50.00
63505	UBS AG - Ping An R Bull CBBC Oct 2017 C	50,000,000	2017/02/13	2017/10/23	12.50
63692	Credit Suisse AG - China Life R Bear CBBC Dec 2017 A	50,000,000	2017/02/14	2017/12/21	12.50
63691	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2017 B	50,000,000	2017/02/14	2017/12/07	12.50
63678	Credit Suisse AG - HSI R Bull CBBC Jan 2018 C	200,000,000	2017/02/14	2018/01/30	50.00
63686	Credit Suisse AG - HSI R Bear CBBC Jul 2017 A	300,000,000	2017/02/14	2017/07/28	75.00
63690	Credit Suisse AG - Sands China R Bear CBBC Dec 2017 C	50,000,000	2017/02/14	2017/12/21	12.50
63657	Bank of East Asia - CUni R Bull CBBC Feb 2018 A	40,000,000	2017/02/14	2018/02/07	10.00
63646	Bank of East Asia - China Life R Bull CBBC Feb 2018 A	30,000,000	2017/02/14	2018/02/07	10.50
63639	Bank of East Asia - Galaxy Ent R Bull CBBC Feb 2018 A	20,000,000	2017/02/14	2018/02/07	10.00
63649	Bank of East Asia - Ping An R Bull CBBC Feb 2018 A	16,000,000	2017/02/14	2018/02/07	10.40
63652	Bank of East Asia - Ping An R Bull CBBC Feb 2018 B	16,000,000	2017/02/14	2018/02/07	10.40
63724	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 P	200,000,000	2017/02/14	2017/05/29	50.00
63661	HK Bank - China Life R Bear CBBC Mar 2018 A	80,000,000	2017/02/14	2018/03/05	20.00
63619	HK Bank - HSI R Bull CBBC Dec 2017 G	100,000,000	2017/02/14	2017/12/28	25.00
63615	HK Bank - Ping An R Bull CBBC Nov 2017 D	60,000,000	2017/02/14	2017/11/17	15.00
63616	HK Bank - Tencent R Bull CBBC Nov 2017 E	50,000,000	2017/02/14	2017/11/17	12.50
63720	J P Morgan SP BV - CUni R Bull CBBC Jan 2018 A	60,000,000	2017/02/14	2018/01/19	15.00
63725	J P Morgan SP BV - China Life R Bull CBBC Oct 2017 C	40,000,000	2017/02/14	2017/10/20	10.00
63722	J P Morgan SP BV - Galaxy Ent R Bull CBBC Dec 2017 F	150,000,000	2017/02/14	2017/12/15	37.50
63704	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 I	200,000,000	2017/02/14	2017/10/30	50.00
63711	J P Morgan SP BV - HSI R Bear CBBC May 2017 I	200,000,000	2017/02/14	2017/05/29	50.00
63712	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 D	200,000,000	2017/02/14	2017/07/28	50.00
63718	J P Morgan SP BV - Ping An R Bull CBBC Oct 2017 D	100,000,000	2017/02/14	2017/10/20	25.00
63658	SG Issuer - China Life R Bull CBBC Feb 2018 B	40,000,000	2017/02/14	2018/02/27	10.00
63700	SG Issuer - HSI R Bull CBBC May 2018 C	200,000,000	2017/02/14	2018/05/30	50.00

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63701	SG Issuer - HSI R Bear CBBC Aug 2017 C	200,000,000	2017/02/14	2017/08/30	50.00
63638	UBS AG - HSBC R Bull CBBC Dec 2017 B	50,000,000	2017/02/14	2017/12/04	12.50
63694	UBS AG - HSI R Bull CBBC Nov 2017 R	250,000,000	2017/02/14	2017/11/29	62.50
63695	UBS AG - HSI R Bear CBBC May 2017 B	250,000,000	2017/02/14	2017/05/29	62.50
63698	UBS AG - HSI R Bear CBBC May 2017 C	250,000,000	2017/02/14	2017/05/29	62.50
63620	UBS AG - Tencent R Bull CBBC Nov 2017 E	50,000,000	2017/02/14	2017/11/27	12.50
63796	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 A	250,000,000	2017/02/15	2017/12/28	62.50
63758	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 H	250,000,000	2017/02/15	2017/05/29	62.50
63795	Credit Suisse AG - HKEX R Bull CBBC Dec 2017 C	50,000,000	2017/02/15	2017/12/07	12.50
63771	Credit Suisse AG - HSI R Bull CBBC Jan 2018 D	200,000,000	2017/02/15	2018/01/30	50.00
63772	Credit Suisse AG - HSI R Bull CBBC Jan 2018 E	200,000,000	2017/02/15	2018/01/30	50.00
63765	Credit Suisse AG - HSI R Bear CBBC May 2017 B	200,000,000	2017/02/15	2017/05/29	50.00
63760	Credit Suisse AG - HSI R Bear CBBC May 2017 Z	200,000,000	2017/02/15	2017/05/29	50.00
63768	Credit Suisse AG - SMIC R Bull CBBC Dec 2017 A	50,000,000	2017/02/15	2017/12/28	12.50
63731	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 T	200,000,000	2017/02/15	2017/11/29	50.00
63797	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 W	200,000,000	2017/02/15	2017/11/29	50.00
63801	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 Q	200,000,000	2017/02/15	2017/05/29	50.00
63735	HK Bank - China Life R Bull CBBC Feb 2018 A	40,000,000	2017/02/15	2018/02/12	10.00
63733	HK Bank - China Shenhua R Bull CBBC Feb 2018 B	40,000,000	2017/02/15	2018/02/12	10.00
63737	HK Bank - Geely Auto R Bull CBBC Nov 2017 C	40,000,000	2017/02/15	2017/11/17	10.00
63742	HK Bank - Galaxy Ent R Bull CBBC Jan 2018 B	80,000,000	2017/02/15	2018/01/15	20.00
63747	HK Bank - Galaxy Ent R Bear CBBC Jan 2018 C	40,000,000	2017/02/15	2018/01/15	10.00
63751	HK Bank - Sands China R Bear CBBC Jan 2018 B	40,000,000	2017/02/15	2018/01/15	10.00
63755	HK Bank - SMIC R Bull CBBC Feb 2018 B	40,000,000	2017/02/15	2018/02/12	10.00
63849	J P Morgan SP BV - BOCL R Bull CBBC Dec 2017 A	200,000,000	2017/02/15	2017/12/15	50.00
63846	J P Morgan SP BV - CC Bank R Bull CBBC Mar 2018 A	100,000,000	2017/02/15	2018/03/16	25.00
63830	J P Morgan SP BV - China Life R Bull CBBC Dec 2017 D	40,000,000	2017/02/15	2017/12/15	10.00
63833	J P Morgan SP BV - China Life R Bull CBBC Feb 2018 E	40,000,000	2017/02/15	2018/02/15	12.00
63845	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 J	40,000,000	2017/02/15	2017/12/15	10.00
63837	J P Morgan SP BV - HKEX R Bull CBBC Jan 2018 A	40,000,000	2017/02/15	2018/01/19	10.00
63823	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 W	200,000,000	2017/02/15	2018/01/30	50.00
63827	J P Morgan SP BV - HSI R Bear CBBC May 2017 J	200,000,000	2017/02/15	2017/05/29	50.00
63851	J P Morgan SP BV - HSI R Bear CBBC May 2017 N	200,000,000	2017/02/15	2017/05/29	56.00
63853	J P Morgan SP BV - HSI R Bear CBBC May 2017 O	200,000,000	2017/02/15	2017/05/29	50.00
63850	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 E	200,000,000	2017/02/15	2017/07/28	50.00
63855	J P Morgan SP BV - SMIC R Bull CBBC Dec 2017 A	40,000,000	2017/02/15	2017/12/15	10.00
63756	SG Issuer - COVS R Bull CBBC Dec 2017 C	40,000,000	2017/02/15	2017/12/28	10.00
63757	SG Issuer - HKEX R Bull CBBC Jan 2018 B	100,000,000	2017/02/15	2018/01/30	25.00
63822	SG Issuer - HKEX R Bull CBBC Feb 2018 B	100,000,000	2017/02/15	2018/02/27	25.00
63811	SG Issuer - HKEX R Bull CBBC Mar 2018 A	100,000,000	2017/02/15	2018/03/28	25.00
63802	SG Issuer - HSI R Bull CBBC May 2018 D	200,000,000	2017/02/15	2018/05/30	50.00
63803	SG Issuer - HSI R Bull CBBC May 2018 E	200,000,000	2017/02/15	2018/05/30	50.00
63805	SG Issuer - HSI R Bull CBBC May 2018 F	200,000,000	2017/02/15	2018/05/30	50.00
63808	SG Issuer - HSI R Bear CBBC Jul 2017 O	200,000,000	2017/02/15	2017/07/28	50.00
63809	SG Issuer - HSI R Bear CBBC Jul 2017 P	200,000,000	2017/02/15	2017/07/28	50.00

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63790	UBS AG - HKEX R Bull CBBC Sep 2017 E	40,000,000	2017/02/15	2017/09/18	10.00
63793	UBS AG - HKEX R Bear CBBC May 2017 A	40,000,000	2017/02/15	2017/05/29	10.00
63789	UBS AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2017/02/15	2017/10/30	50.00
63786	UBS AG - HSI R Bull CBBC Nov 2017 Y	250,000,000	2017/02/15	2017/11/29	62.50
63780	UBS AG - HSI R Bear CBBC May 2017 D	250,000,000	2017/02/15	2017/05/29	62.50
63777	UBS AG - HSI R Bear CBBC May 2017 E	200,000,000	2017/02/15	2017/05/29	50.00
63778	UBS AG - HSI R Bear CBBC May 2017 F	200,000,000	2017/02/15	2017/05/29	50.00
63784	UBS AG - HSI R Bear CBBC May 2017 J	250,000,000	2017/02/15	2017/05/29	62.50
63895	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2017 E	100,000,000	2017/02/16	2017/12/28	25.00
63896	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 I	250,000,000	2017/02/16	2017/11/29	62.50
63857	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 I	250,000,000	2017/02/16	2017/05/29	62.50
63942	Credit Suisse AG - CUni R Bull CBBC Nov 2017 B	50,000,000	2017/02/16	2017/11/29	12.50
63945	Credit Suisse AG - HSCEI R Bull CBBC Dec 2017 C	100,000,000	2017/02/16	2017/12/28	25.00
63936	Credit Suisse AG - HSI R Bull CBBC Jan 2018 F	200,000,000	2017/02/16	2018/01/30	50.00
63944	Credit Suisse AG - HSI R Bull CBBC Jan 2018 G	200,000,000	2017/02/16	2018/01/30	50.00
63934	Credit Suisse AG - HSI R Bear CBBC May 2017 C	200,000,000	2017/02/16	2017/05/29	50.00
63915	Bank of East Asia - CUni R Bull CBBC Feb 2018 B	40,000,000	2017/02/16	2018/02/09	10.00
63914	Bank of East Asia - HKEX R Bull CBBC Feb 2018 A	40,000,000	2017/02/16	2018/02/09	10.00
63912	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 I	200,000,000	2017/02/16	2017/11/29	50.00
63908	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 R	200,000,000	2017/02/16	2017/05/29	50.00
63904	HK Bank - COVS R Bull CBBC Nov 2017 C	40,000,000	2017/02/16	2017/11/17	10.00
63903	HK Bank - CNOOC R Bear CBBC Nov 2017 B	40,000,000	2017/02/16	2017/11/17	10.00
63881	HK Bank - Geely Auto R Bear CBBC Mar 2018 A	40,000,000	2017/02/16	2018/03/05	10.00
63890	HK Bank - HKEX R Bull CBBC Nov 2017 F	50,000,000	2017/02/16	2017/11/17	12.50
63885	HK Bank - HKEX R Bull CBBC Jan 2018 D	50,000,000	2017/02/16	2018/01/08	12.50
63891	HK Bank - HKEX R Bull CBBC Feb 2018 B	50,000,000	2017/02/16	2018/02/12	12.50
63887	HK Bank - HKEX R Bull CBBC Mar 2018 A	50,000,000	2017/02/16	2018/03/05	12.50
63869	HK Bank - HSCEI R Bull CBBC Dec 2017 C	60,000,000	2017/02/16	2017/12/28	15.00
63867	HK Bank - HSI R Bull CBBC Oct 2017 N	100,000,000	2017/02/16	2017/10/30	25.00
63866	HK Bank - HSI R Bull CBBC Nov 2017 O	100,000,000	2017/02/16	2017/11/29	25.00
63858	HK Bank - HSI R Bull CBBC Dec 2017 H	100,000,000	2017/02/16	2017/12/28	25.00
63877	HK Bank - Tencent R Bull CBBC Jan 2018 E	50,000,000	2017/02/16	2018/01/22	12.50
63897	HK Bank - WH Group R Bull CBBC Feb 2018 B	40,000,000	2017/02/16	2018/02/12	10.00
63951	J P Morgan SP BV - BOCL R Bull CBBC Nov 2017 A	100,000,000	2017/02/16	2017/11/17	25.00
63958	J P Morgan SP BV - COVS R Bull CBBC Dec 2017 A	40,000,000	2017/02/16	2017/12/15	10.00
63947	J P Morgan SP BV - China Life R Bull CBBC Nov 2017 D	40,000,000	2017/02/16	2017/11/17	10.00
63960	J P Morgan SP BV - CNOOC R Bull CBBC Dec 2017 A	100,000,000	2017/02/16	2017/12/15	25.00
63962	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 I	40,000,000	2017/02/16	2017/10/20	10.00
63946	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 K	40,000,000	2017/02/16	2017/11/17	10.00
63907	J P Morgan SP BV - HSI R Bear CBBC May 2017 W	200,000,000	2017/02/16	2017/05/29	50.00
63956	J P Morgan SP BV - ICBC R Bull CBBC Dec 2017 A	100,000,000	2017/02/16	2017/12/15	25.00
63950	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 I	40,000,000	2017/02/16	2017/07/21	10.00
63963	SG Issuer - HSI R Bull CBBC May 2018 G	200,000,000	2017/02/16	2018/05/30	50.00
63966	SG Issuer - HSI R Bull CBBC May 2018 H	200,000,000	2017/02/16	2018/05/30	50.00
63918	UBS AG - China Life R Bull CBBC Oct 2017 A	40,000,000	2017/02/16	2017/10/30	10.00

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63923	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2018 A	40,000,000	2017/02/16	2018/01/15	10.00
63921	UBS AG - A50 China R Bull CBBC Jan 2018 A	40,000,000	2017/02/16	2018/01/08	10.00
63925	UBS AG - HSCEI R Bull CBBC Dec 2017 B	100,000,000	2017/02/16	2017/12/28	25.00
63926	UBS AG - HSCEI R Bear CBBC May 2017 A	100,000,000	2017/02/16	2017/05/29	25.00
63927	UBS AG - HSI R Bull CBBC Sep 2017 M	250,000,000	2017/02/16	2017/09/28	62.50
63928	UBS AG - HSI R Bull CBBC Sep 2017 X	200,000,000	2017/02/16	2017/09/28	50.00
63931	UBS AG - HSI R Bull CBBC Jan 2018 D	200,000,000	2017/02/16	2018/01/30	50.00
63917	UBS AG - HSI R Bear CBBC May 2017 M	250,000,000	2017/02/16	2017/05/29	62.50
64018	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 B	250,000,000	2017/02/17	2017/12/28	62.50
64019	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 J	250,000,000	2017/02/17	2017/05/29	62.50
64020	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 K	250,000,000	2017/02/17	2017/05/29	62.50
64025	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 L	250,000,000	2017/02/17	2017/05/29	62.50
64028	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 M	250,000,000	2017/02/17	2017/05/29	62.50
64049	Credit Suisse AG - HKEX R Bull CBBC Dec 2017 D	50,000,000	2017/02/17	2017/12/15	12.50
64050	Credit Suisse AG - HSBC R Bull CBBC Dec 2017 F	50,000,000	2017/02/17	2017/12/07	12.50
64042	Credit Suisse AG - HSI R Bull CBBC Dec 2017 E	200,000,000	2017/02/17	2017/12/28	50.00
64043	Credit Suisse AG - HSI R Bull CBBC Jan 2018 H	200,000,000	2017/02/17	2018/01/30	50.00
64039	Credit Suisse AG - HSI R Bear CBBC May 2017 D	200,000,000	2017/02/17	2017/05/29	50.00
64047	Credit Suisse AG - Sands China R Bull CBBC Nov 2017 D	50,000,000	2017/02/17	2017/11/24	12.50
64036	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 A	200,000,000	2017/02/17	2017/11/29	50.80
64030	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 R	200,000,000	2017/02/17	2017/11/29	50.20
63969	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 S	200,000,000	2017/02/17	2017/05/29	50.00
63983	HK Bank - BOCL R Bull CBBC Nov 2017 A	50,000,000	2017/02/17	2017/11/17	12.50
63988	HK Bank - BOCL R Bear CBBC Mar 2018 A	40,000,000	2017/02/17	2018/03/05	10.00
63990	HK Bank - CC Bank R Bull CBBC Feb 2018 B	50,000,000	2017/02/17	2018/02/12	12.50
63971	HK Bank - HS H-SH ETF R Bull CBBC Feb 2018 A	50,000,000	2017/02/17	2018/02/12	12.50
63972	HK Bank - HS H-SH ETF R Bear CBBC Mar 2018 A	40,000,000	2017/02/17	2018/03/05	10.00
63977	HK Bank - HSCEI R Bull CBBC Nov 2017 D	60,000,000	2017/02/17	2017/11/29	15.00
63980	HK Bank - HSCEI R Bear CBBC Dec 2017 B	50,000,000	2017/02/17	2017/12/28	12.50
63975	HK Bank - HSI R Bull CBBC Nov 2017 P	100,000,000	2017/02/17	2017/11/29	25.00
63974	HK Bank - HSI R Bear CBBC Dec 2017 D	100,000,000	2017/02/17	2017/12/28	25.00
63992	HK Bank - Ping An R Bear CBBC Mar 2018 A	40,000,000	2017/02/17	2018/03/05	10.00
64000	Haitong Int'l Sec - BOC HK R Bull CBBC Dec 2017 A	80,000,000	2017/02/17	2017/12/22	20.00
63994	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Nov 2017 C	80,000,000	2017/02/17	2017/11/15	20.00
63998	Haitong Int'l Sec - HKEX R Bull CBBC Nov 2017 A	40,000,000	2017/02/17	2017/11/06	10.00
64001	Haitong Int'l Sec - HSBC R Bull CBBC Dec 2017 A	40,000,000	2017/02/17	2017/12/21	10.00
63999	Haitong Int'l Sec - ICBC R Bull CBBC Nov 2017 A	60,000,000	2017/02/17	2017/11/08	15.00
64096	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Mar 2018 A	80,000,000	2017/02/17	2018/03/16	20.00
64103	J P Morgan SP BV - China Shenhua R Bull CBBC Jan 2018 A	40,000,000	2017/02/17	2018/01/19	10.00
64104	J P Morgan SP BV - China Shenhua R Bull CBBC Feb 2018 A	40,000,000	2017/02/17	2018/02/15	10.00
64087	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 J	40,000,000	2017/02/17	2017/10/20	10.00
64092	J P Morgan SP BV - HKEX R Bull CBBC Feb 2018 A	40,000,000	2017/02/17	2018/02/15	10.00
64086	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 I	100,000,000	2017/02/17	2017/11/17	25.00
64081	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 L	200,000,000	2017/02/17	2017/11/29	56.00
64080	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2017/02/17	2017/12/28	50.00

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64083	J P Morgan SP BV - HSI R Bull CBBC Apr 2018 B	200,000,000	2017/02/17	2018/04/27	56.00
64074	J P Morgan SP BV - HSI R Bear CBBC May 2017 X	200,000,000	2017/02/17	2017/05/29	50.00
64076	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 F	200,000,000	2017/02/17	2017/07/28	56.00
64095	J P Morgan SP BV - PetCh R Bull CBBC Nov 2017 B	100,000,000	2017/02/17	2017/11/17	25.00
64097	J P Morgan SP BV - WH Group R Bull CBBC Dec 2017 A	60,000,000	2017/02/17	2017/12/15	15.00
64070	SG Issuer - HSI R Bull CBBC May 2018 I	200,000,000	2017/02/17	2018/05/30	50.00
64068	SG Issuer - HSI R Bear CBBC Jul 2017 Q	200,000,000	2017/02/17	2017/07/28	50.00
64069	SG Issuer - HSI R Bear CBBC Jul 2017 R	200,000,000	2017/02/17	2017/07/28	50.00
64060	UBS AG - HSI R Bull CBBC Sep 2017 Y	200,000,000	2017/02/17	2017/09/28	50.00
64057	UBS AG - HSI R Bull CBBC Dec 2017 R	250,000,000	2017/02/17	2017/12/28	62.50
64054	UBS AG - HSI R Bear CBBC May 2017 N	250,000,000	2017/02/17	2017/05/29	62.50
64056	UBS AG - HSI R Bear CBBC May 2017 O	250,000,000	2017/02/17	2017/05/29	62.50
64064	UBS AG - HSI R Bear CBBC May 2017 P	200,000,000	2017/02/17	2017/05/29	50.00
64065	UBS AG - HSI R Bear CBBC May 2017 Q	250,000,000	2017/02/17	2017/05/29	62.50
64067	UBS AG - Petrochina R Bull CBBC Oct 2017 C	60,000,000	2017/02/17	2017/10/16	15.00
64066	UBS AG - Sands China R Bull CBBC Dec 2017 A	60,000,000	2017/02/17	2017/12/11	15.00
64105	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2017 E	100,000,000	2017/02/20	2017/11/29	25.00
64106	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC May 2017 A	100,000,000	2017/02/20	2017/05/29	25.00
64107	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC May 2017 B	100,000,000	2017/02/20	2017/05/29	25.00
64112	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC May 2017 C	100,000,000	2017/02/20	2017/05/29	25.00
64241	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 M	250,000,000	2017/02/20	2017/11/29	62.50
64175	Credit Suisse AG - China Life R Bull CBBC Dec 2017 A	50,000,000	2017/02/20	2017/12/29	12.50
64151	Credit Suisse AG - HSI R Bull CBBC Jan 2018 J	200,000,000	2017/02/20	2018/01/30	50.00
64176	Credit Suisse AG - HSI R Bull CBBC Jan 2018 K	200,000,000	2017/02/20	2018/01/30	50.00
64138	Credit Suisse AG - HSI R Bear CBBC May 2017 E	200,000,000	2017/02/20	2017/05/29	50.00
64152	Credit Suisse AG - HSI R Bear CBBC May 2017 F	200,000,000	2017/02/20	2017/05/29	50.00
64153	Credit Suisse AG - HSI R Bear CBBC May 2017 G	200,000,000	2017/02/20	2017/05/29	50.00
64158	Credit Suisse AG - HSI R Bear CBBC May 2017 H	200,000,000	2017/02/20	2017/05/29	50.00
64172	Credit Suisse AG - HSI R Bear CBBC Jun 2017 C	200,000,000	2017/02/20	2017/06/29	50.00
64169	Credit Suisse AG - HSI R Bear CBBC Jul 2017 B	200,000,000	2017/02/20	2017/07/28	50.00
64174	Credit Suisse AG - HSI R Bear CBBC Jul 2017 C	200,000,000	2017/02/20	2017/07/28	50.00
64221	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 L	200,000,000	2017/02/20	2017/10/30	50.00
64225	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2017 A	200,000,000	2017/02/20	2017/08/30	50.00
64226	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2017 B	200,000,000	2017/02/20	2017/08/30	50.00
64116	HK Bank - CKP R Bull CBBC Jan 2018 A	40,000,000	2017/02/20	2018/01/22	10.00
64122	HK Bank - China Life R Bear CBBC Jan 2018 A	80,000,000	2017/02/20	2018/01/15	20.00
64119	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2017 A	50,000,000	2017/02/20	2017/11/17	12.50
64120	HK Bank - A50 R Bull CBBC Nov 2017 A	40,000,000	2017/02/20	2017/11/17	10.00
64114	HK Bank - Geely Auto R Bull CBBC Nov 2017 D	40,000,000	2017/02/20	2017/11/17	10.00
64113	HK Bank - HSCEI R Bear CBBC Dec 2017 C	50,000,000	2017/02/20	2017/12/28	12.50
64118	HK Bank - Ping An R Bull CBBC Nov 2017 E	60,000,000	2017/02/20	2017/11/17	15.00
64265	J P Morgan SP BV - China Life R Bull CBBC Nov 2017 E	40,000,000	2017/02/20	2017/11/17	10.00
64257	J P Morgan SP BV - Geely Auto R Bull CBBC Oct 2017 D	80,000,000	2017/02/20	2017/10/20	20.00
64268	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 F	200,000,000	2017/02/20	2017/10/30	50.00
64271	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 H	200,000,000	2017/02/20	2017/11/29	50.00

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64256	J P Morgan SP BV - HSI R Bear CBBC May 2017 Y	200,000,000	2017/02/20	2017/05/29	50.00
64267	J P Morgan SP BV - Ping An R Bull CBBC Nov 2017 F	150,000,000	2017/02/20	2017/11/17	37.50
64131	SG Issuer - HS H-SH ETF R Bull CBBC Feb 2018 A	100,000,000	2017/02/20	2018/02/27	25.00
64132	SG Issuer - HS H-SH ETF R Bull CBBC Feb 2018 B	100,000,000	2017/02/20	2018/02/27	25.00
64134	SG Issuer - HS H-SH ETF R Bull CBBC Mar 2018 A	100,000,000	2017/02/20	2018/03/28	25.00
64137	SG Issuer - HS H-SH ETF R Bull CBBC Apr 2018 A	100,000,000	2017/02/20	2018/04/27	25.00
64123	SG Issuer - HS H-SH ETF R Bear CBBC Aug 2017 A	100,000,000	2017/02/20	2017/08/30	25.00
64127	SG Issuer - HS H-SH ETF R Bear CBBC Oct 2017 A	100,000,000	2017/02/20	2017/10/30	25.00
64235	SG Issuer - HSI R Bull CBBC May 2018 J	200,000,000	2017/02/20	2018/05/30	50.00
64238	SG Issuer - HSI R Bull CBBC May 2018 K	200,000,000	2017/02/20	2018/05/30	50.00
64230	SG Issuer - HSI R Bear CBBC Jun 2017 A	200,000,000	2017/02/20	2017/06/29	50.00
64231	SG Issuer - HSI R Bear CBBC Jun 2017 B	200,000,000	2017/02/20	2017/06/29	50.00
64232	SG Issuer - HSI R Bear CBBC Jun 2017 C	200,000,000	2017/02/20	2017/06/29	50.00
64233	SG Issuer - HSI R Bear CBBC Jun 2017 D	200,000,000	2017/02/20	2017/06/29	50.00
64218	UBS AG - China Life R Bull CBBC Jul 2018 A	100,000,000	2017/02/20	2018/07/16	25.00
64204	UBS AG - Geely Auto R Bull CBBC Aug 2017 A	40,000,000	2017/02/20	2017/08/21	10.00
64206	UBS AG - Geely Auto R Bull CBBC Sep 2017 A	40,000,000	2017/02/20	2017/09/11	10.00
64199	UBS AG - HKEX R Bull CBBC Sep 2017 F	40,000,000	2017/02/20	2017/09/11	10.00
64203	UBS AG - HKEX R Bull CBBC Sep 2017 G	40,000,000	2017/02/20	2017/09/25	10.00
64248	UBS AG - HSCEI R Bull CBBC Aug 2017 A	100,000,000	2017/02/20	2017/08/30	25.00
64254	UBS AG - HSCEI R Bull CBBC Sep 2017 G	100,000,000	2017/02/20	2017/09/28	25.00
64255	UBS AG - HSCEI R Bear CBBC May 2017 B	100,000,000	2017/02/20	2017/05/29	25.00
64215	UBS AG - HSI R Bull CBBC Dec 2017 T	250,000,000	2017/02/20	2017/12/28	62.50
64217	UBS AG - HSI R Bull CBBC Dec 2017 W	250,000,000	2017/02/20	2017/12/28	62.50
64186	UBS AG - HSI R Bear CBBC May 2017 R	200,000,000	2017/02/20	2017/05/29	50.00
64187	UBS AG - HSI R Bear CBBC May 2017 S	250,000,000	2017/02/20	2017/05/29	62.50
64196	UBS AG - HSI R Bear CBBC May 2017 T	250,000,000	2017/02/20	2017/05/29	62.50
64198	UBS AG - HSI R Bear CBBC May 2017 U	200,000,000	2017/02/20	2017/05/29	50.00
64358	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2017 C	50,000,000	2017/02/21	2017/12/22	12.50
64363	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2017 C	50,000,000	2017/02/21	2017/12/14	12.50
64359	Credit Suisse AG - Galaxy Ent R Bear CBBC Sep 2017 A	50,000,000	2017/02/21	2017/09/01	12.50
64355	Credit Suisse AG - HKEX R Bear CBBC Nov 2017 A	50,000,000	2017/02/21	2017/11/24	13.50
64365	Credit Suisse AG - HSCEI R Bear CBBC May 2017 B	100,000,000	2017/02/21	2017/05/29	25.00
64354	Credit Suisse AG - HSI R Bull CBBC Jan 2018 L	200,000,000	2017/02/21	2018/01/30	50.00
64366	Credit Suisse AG - HSI R Bear CBBC May 2017 I	200,000,000	2017/02/21	2017/05/29	50.00
64299	Bank of East Asia - AIA R Bull CBBC Feb 2018 A	15,000,000	2017/02/21	2018/02/20	10.20
64300	Bank of East Asia - China Life R Bull CBBC Feb 2018 B	30,000,000	2017/02/21	2018/02/20	10.50
64298	Bank of East Asia - China Shenhua R Bull CBBC Feb 2018 A	40,000,000	2017/02/21	2018/02/20	10.00
64304	Bank of East Asia - Galaxy Ent R Bull CBBC Feb 2018 B	20,000,000	2017/02/21	2018/02/20	10.00
64294	Bank of East Asia - Tencent R Bull CBBC Feb 2018 A	40,000,000	2017/02/21	2018/02/20	10.00
64350	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 Y	200,000,000	2017/02/21	2018/01/30	50.00
64353	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 T	200,000,000	2017/02/21	2017/05/29	50.00
64293	HK Bank - China Shenhua R Bull CBBC Nov 2017 A	40,000,000	2017/02/21	2017/11/17	10.00
64277	HK Bank - China Shenhua R Bear CBBC Mar 2018 A	40,000,000	2017/02/21	2018/03/05	10.00
64289	HK Bank - Geely Auto R Bear CBBC Mar 2018 B	40,000,000	2017/02/21	2018/03/05	10.00

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64292	HK Bank - HSI R Bull CBBC Dec 2017 I	100,000,000	2017/02/21	2017/12/28	25.00
64290	HK Bank - HSI R Bear CBBC Dec 2017 E	100,000,000	2017/02/21	2017/12/28	25.00
64286	HK Bank - Tencent R Bull CBBC Mar 2018 A	40,000,000	2017/02/21	2018/03/05	10.00
64337	J P Morgan SP BV - CC Bank R Bull CBBC Dec 2017 A	100,000,000	2017/02/21	2017/12/15	25.00
64339	J P Morgan SP BV - Geely Auto R Bull CBBC Dec 2017 E	60,000,000	2017/02/21	2017/12/15	15.00
64326	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2017 E	150,000,000	2017/02/21	2017/11/17	37.50
64343	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2017 D	100,000,000	2017/02/21	2017/12/28	25.00
64345	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 T	200,000,000	2017/02/21	2018/01/30	50.00
64349	J P Morgan SP BV - HSI R Bear CBBC May 2017 K	200,000,000	2017/02/21	2017/05/29	50.00
64331	J P Morgan SP BV - Sands China R Bull CBBC Dec 2017 B	150,000,000	2017/02/21	2017/12/15	37.50
64306	SG Issuer - CP&CC R Bull CBBC Feb 2018 A	40,000,000	2017/02/21	2018/02/27	10.00
64305	SG Issuer - CP&CC R Bear CBBC Jul 2017 A	40,000,000	2017/02/21	2017/07/28	10.00
64307	SG Issuer - CShen R Bull CBBC Dec 2017 A	40,000,000	2017/02/21	2017/12/28	10.00
64308	SG Issuer - CShen R Bull CBBC Jul 2018 A	40,000,000	2017/02/21	2018/07/30	10.00
64313	SG Issuer - HKEX R Bull CBBC Dec 2017 D	100,000,000	2017/02/21	2017/12/28	25.00
64318	SG Issuer - HKEX R Bull CBBC May 2018 A	100,000,000	2017/02/21	2018/05/30	25.00
64371	SG Issuer - HSI R Bull CBBC Apr 2018 K	200,000,000	2017/02/21	2018/04/27	50.00
64370	SG Issuer - HSI R Bear CBBC Jun 2017 E	200,000,000	2017/02/21	2017/06/29	50.00
64325	UBS AG - HS H-SH ETF R Bull CBBC Feb 2018 A	40,000,000	2017/02/21	2018/02/05	10.00
64319	UBS AG - HSI R Bull CBBC Nov 2017 P	200,000,000	2017/02/21	2017/11/29	50.00
64321	UBS AG - HSI R Bear CBBC May 2017 G	200,000,000	2017/02/21	2017/05/29	50.00
64320	UBS AG - HSI R Bear CBBC May 2017 V	250,000,000	2017/02/21	2017/05/29	62.50
64322	UBS AG - HSI R Bear CBBC May 2017 W	250,000,000	2017/02/21	2017/05/29	62.50
64323	UBS AG - HSI R Bear CBBC Jun 2017 B	250,000,000	2017/02/21	2017/06/29	62.50
64387	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2017 F	100,000,000	2017/02/22	2017/12/28	25.00
64374	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 N	250,000,000	2017/02/22	2017/05/29	62.50
64378	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 O	250,000,000	2017/02/22	2017/05/29	62.50
64618	Credit Suisse AG - BOCL R Bull CBBC Nov 2017 A	70,000,000	2017/02/22	2017/11/30	17.50
64612	Credit Suisse AG - BOCL R Bull CBBC Dec 2017 A	70,000,000	2017/02/22	2017/12/22	17.50
64629	Credit Suisse AG - China Mobile R Bull CBBC Dec 2017 B	50,000,000	2017/02/22	2017/12/19	12.50
64636	Credit Suisse AG - China Mobile R Bull CBBC Dec 2017 C	50,000,000	2017/02/22	2017/12/22	12.50
64607	Credit Suisse AG - CCB R Bull CBBC Dec 2017 A	70,000,000	2017/02/22	2017/12/29	17.50
64611	Credit Suisse AG - CCB R Bull CBBC Dec 2017 B	70,000,000	2017/02/22	2017/12/06	17.50
64628	Credit Suisse AG - HKEX R Bull CBBC Nov 2017 E	50,000,000	2017/02/22	2017/11/30	12.50
64651	Credit Suisse AG - HSCEI R Bear CBBC Jul 2017 A	100,000,000	2017/02/22	2017/07/28	25.00
64639	Credit Suisse AG - HSI R Bull CBBC Jan 2018 M	200,000,000	2017/02/22	2018/01/30	50.00
64640	Credit Suisse AG - HSI R Bull CBBC Jan 2018 N	200,000,000	2017/02/22	2018/01/30	50.00
64648	Credit Suisse AG - HSI R Bull CBBC Jan 2018 O	300,000,000	2017/02/22	2018/01/30	75.00
64601	Credit Suisse AG - HSI R Bear CBBC May 2017 L	200,000,000	2017/02/22	2017/05/29	50.00
64603	Credit Suisse AG - HSI R Bear CBBC May 2017 M	200,000,000	2017/02/22	2017/05/29	50.00
64652	Credit Suisse AG - HSI R Bear CBBC May 2017 N	200,000,000	2017/02/22	2017/05/29	50.00
64655	Credit Suisse AG - HSI R Bear CBBC May 2017 O	200,000,000	2017/02/22	2017/05/29	50.00
64658	Credit Suisse AG - HSI R Bear CBBC May 2017 P	200,000,000	2017/02/22	2017/05/29	50.00
64659	Credit Suisse AG - HSI R Bear CBBC Jul 2017 D	200,000,000	2017/02/22	2017/07/28	50.00
64664	Credit Suisse AG - HSI R Bear CBBC Jul 2017 E	200,000,000	2017/02/22	2017/07/28	50.00

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64665	Credit Suisse AG - HSI R Bear CBBC Jul 2017 F	200,000,000	2017/02/22	2017/07/28	50.00
64621	Credit Suisse AG - ICBC R Bull CBBC Dec 2017 A	50,000,000	2017/02/22	2017/12/07	12.50
64623	Credit Suisse AG - ICBC R Bull CBBC Dec 2017 B	50,000,000	2017/02/22	2017/12/28	12.50
64626	Credit Suisse AG - Tencent R Bull CBBC Dec 2017 A	50,000,000	2017/02/22	2017/12/29	12.50
64477	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 Z	200,000,000	2017/02/22	2018/01/30	50.00
64385	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 U	200,000,000	2017/02/22	2017/05/29	50.00
64386	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 V	200,000,000	2017/02/22	2017/05/29	50.00
64471	HK Bank - Galaxy Ent R Bull CBBC Nov 2017 F	80,000,000	2017/02/22	2017/11/17	20.00
64460	HK Bank - Galaxy Ent R Bull CBBC Jan 2018 C	80,000,000	2017/02/22	2018/01/15	20.00
64454	HK Bank - HKEX R Bull CBBC Nov 2017 G	50,000,000	2017/02/22	2017/11/17	12.50
64451	HK Bank - HSCEI R Bull CBBC Oct 2017 F	60,000,000	2017/02/22	2017/10/30	15.00
64452	HK Bank - HSCEI R Bear CBBC Dec 2017 D	50,000,000	2017/02/22	2017/12/28	12.50
64400	HK Bank - HSI R Bull CBBC Oct 2017 O	100,000,000	2017/02/22	2017/10/30	25.00
64394	HK Bank - HSI R Bull CBBC Nov 2017 Q	100,000,000	2017/02/22	2017/11/29	25.00
64475	HK Bank - HSI R Bull CBBC Dec 2017 J	150,000,000	2017/02/22	2017/12/28	37.50
64461	HK Bank - Tencent R Bull CBBC Nov 2017 F	40,000,000	2017/02/22	2017/11/17	10.00
64457	HK Bank - Tencent R Bull CBBC Jan 2018 F	50,000,000	2017/02/22	2018/01/15	12.50
64561	J P Morgan SP BV - BOCL R Bull CBBC Jan 2018 A	100,000,000	2017/02/22	2018/01/19	25.00
64562	J P Morgan SP BV - CC Bank R Bull CBBC Jan 2018 A	100,000,000	2017/02/22	2018/01/19	25.00
64585	J P Morgan SP BV - CKH R Bull CBBC Nov 2017 A	100,000,000	2017/02/22	2017/11/17	25.00
64582	J P Morgan SP BV - China Shenhua R Bull CBBC Dec 2017 A	40,000,000	2017/02/22	2017/12/15	10.00
64559	J P Morgan SP BV - A50 China R Bull CBBC Jan 2018 B	80,000,000	2017/02/22	2018/01/19	20.00
64574	J P Morgan SP BV - Geely Auto R Bear CBBC Sep 2017 A	40,000,000	2017/02/22	2017/09/15	10.00
64581	J P Morgan SP BV - Geely Auto R Bear CBBC Nov 2017 A	40,000,000	2017/02/22	2017/11/17	10.00
64573	J P Morgan SP BV - Geely Auto R Bear CBBC Aug 2018 A	40,000,000	2017/02/22	2018/08/17	10.00
64583	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 K	40,000,000	2017/02/22	2017/12/15	10.00
64556	J P Morgan SP BV - HS H-SH ETF R Bull CBBC Jan 2018 A	80,000,000	2017/02/22	2018/01/19	20.00
64558	J P Morgan SP BV - HS H-SH ETF R Bull CBBC Jul 2018 A	80,000,000	2017/02/22	2018/07/20	20.00
64586	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Z	200,000,000	2017/02/22	2017/10/30	50.00
64587	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2017/02/22	2017/11/29	50.00
64555	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 L	200,000,000	2017/02/22	2018/01/30	50.00
64554	J P Morgan SP BV - HSI R Bear CBBC May 2017 A	200,000,000	2017/02/22	2017/05/29	50.00
64592	J P Morgan SP BV - HSI R Bear CBBC May 2017 C	200,000,000	2017/02/22	2017/05/29	50.00
64598	J P Morgan SP BV - HSI R Bear CBBC May 2017 D	200,000,000	2017/02/22	2017/05/29	50.00
64600	J P Morgan SP BV - HSI R Bear CBBC May 2017 E	200,000,000	2017/02/22	2017/05/29	50.00
64599	J P Morgan SP BV - HSI R Bear CBBC May 2017 P	200,000,000	2017/02/22	2017/05/29	50.00
64552	J P Morgan SP BV - HSI R Bear CBBC May 2017 Z	200,000,000	2017/02/22	2017/05/29	50.00
64560	J P Morgan SP BV - ICBC R Bull CBBC Jan 2018 A	100,000,000	2017/02/22	2018/01/19	25.00
64584	J P Morgan SP BV - Tencent R Bull CBBC Aug 2017 F	40,000,000	2017/02/22	2017/08/18	10.00
64489	SG Issuer - COVS R Bull CBBC Nov 2017 A	40,000,000	2017/02/22	2017/11/29	10.00
64478	SG Issuer - CCB R Bull CBBC Nov 2017 A	40,000,000	2017/02/22	2017/11/29	10.00
64479	SG Issuer - CCB R Bull CBBC Dec 2017 B	40,000,000	2017/02/22	2017/12/28	10.00
64486	SG Issuer - China Life R Bull CBBC Nov 2017 B	40,000,000	2017/02/22	2017/11/29	10.00
64484	SG Issuer - China Life R Bear CBBC Sep 2017 B	40,000,000	2017/02/22	2017/09/28	10.80
64488	SG Issuer - China Life R Bear CBBC Nov 2017 A	100,000,000	2017/02/22	2017/11/29	25.00

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64491	SG Issuer - CNOOC R Bear CBBC Sep 2017 A	40,000,000	2017/02/22	2017/09/28	10.00
64496	SG Issuer - Galaxy R Bull CBBC Jan 2018 A	100,000,000	2017/02/22	2018/01/30	25.00
64500	SG Issuer - Galaxy R Bull CBBC Jan 2018 B	100,000,000	2017/02/22	2018/01/30	25.00
64495	SG Issuer - Galaxy R Bear CBBC Sep 2017 C	100,000,000	2017/02/22	2017/09/28	25.00
64505	SG Issuer - HSBC R Bear CBBC Aug 2017 D	100,000,000	2017/02/22	2017/08/30	25.00
64547	SG Issuer - HSI R Bull CBBC Apr 2018 L	200,000,000	2017/02/22	2018/04/27	50.00
64548	SG Issuer - HSI R Bull CBBC Apr 2018 S	200,000,000	2017/02/22	2018/04/27	50.00
64550	SG Issuer - HSI R Bull CBBC Apr 2018 T	200,000,000	2017/02/22	2018/04/27	50.00
64531	SG Issuer - HSI R Bear CBBC Jun 2017 F	200,000,000	2017/02/22	2017/06/29	50.00
64533	SG Issuer - HSI R Bear CBBC Jun 2017 G	200,000,000	2017/02/22	2017/06/29	50.00
64536	SG Issuer - HSI R Bear CBBC Jun 2017 H	200,000,000	2017/02/22	2017/06/29	50.00
64537	SG Issuer - HSI R Bear CBBC Jun 2017 I	200,000,000	2017/02/22	2017/06/29	50.00
64539	SG Issuer - HSI R Bear CBBC Jun 2017 J	200,000,000	2017/02/22	2017/06/29	50.00
64545	SG Issuer - HSI R Bear CBBC Jun 2017 K	200,000,000	2017/02/22	2017/06/29	50.00
64510	SG Issuer - ICBC R Bull CBBC Dec 2017 C	40,000,000	2017/02/22	2017/12/28	10.00
64511	SG Issuer - Sands R Bull CBBC Jan 2018 C	100,000,000	2017/02/22	2018/01/30	25.00
64529	UBS AG - HKEX R Bull CBBC Oct 2017 C	40,000,000	2017/02/22	2017/10/23	10.00
64528	UBS AG - HKEX R Bull CBBC Nov 2017 A	40,000,000	2017/02/22	2017/11/13	10.00
64526	UBS AG - HSCEI R Bear CBBC Jun 2017 A	100,000,000	2017/02/22	2017/06/29	25.00
64522	UBS AG - HSI R Bull CBBC Dec 2017 P	250,000,000	2017/02/22	2017/12/28	62.50
64523	UBS AG - HSI R Bull CBBC Jan 2018 E	250,000,000	2017/02/22	2018/01/30	62.50
64524	UBS AG - HSI R Bull CBBC Jan 2018 F	200,000,000	2017/02/22	2018/01/30	50.00
64516	UBS AG - HSI R Bear CBBC May 2017 I	200,000,000	2017/02/22	2017/05/29	50.00
64514	UBS AG - HSI R Bear CBBC May 2017 X	200,000,000	2017/02/22	2017/05/29	50.00
64515	UBS AG - HSI R Bear CBBC May 2017 Y	250,000,000	2017/02/22	2017/05/29	62.50
64518	UBS AG - HSI R Bear CBBC May 2017 Z	250,000,000	2017/02/22	2017/05/29	62.50
64513	UBS AG - HSI R Bear CBBC Jun 2017 C	300,000,000	2017/02/22	2017/06/29	75.00
64519	UBS AG - HSI R Bear CBBC Jun 2017 D	250,000,000	2017/02/22	2017/06/29	62.50
64520	UBS AG - HSI R Bear CBBC Jun 2017 E	250,000,000	2017/02/22	2017/06/29	62.50
64521	UBS AG - HSI R Bear CBBC Jul 2017 A	250,000,000	2017/02/22	2017/07/28	77.50
64530	UBS AG - Tencent R Bull CBBC Jul 2017 A	50,000,000	2017/02/22	2017/07/10	12.50
64668	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 L	250,000,000	2017/02/23	2017/11/29	62.50
64855	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 N	250,000,000	2017/02/23	2017/11/29	62.50
64673	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 F	250,000,000	2017/02/23	2017/12/28	62.50
64707	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 I	250,000,000	2017/02/23	2017/12/28	62.50
64666	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 P	250,000,000	2017/02/23	2017/05/29	62.50
64802	Credit Suisse AG - CCB R Bull CBBC Jan 2018 A	70,000,000	2017/02/23	2018/01/17	17.50
64779	Credit Suisse AG - China Shenhua R Bull CBBC Nov 2017 A	50,000,000	2017/02/23	2017/11/30	12.50
64778	Credit Suisse AG - China Shenhua R Bull CBBC Dec 2017 B	50,000,000	2017/02/23	2017/12/08	12.50
64798	Credit Suisse AG - HKEX R Bear CBBC Nov 2017 B	50,000,000	2017/02/23	2017/11/30	20.00
64796	Credit Suisse AG - HSBC R Bear CBBC Dec 2017 A	50,000,000	2017/02/23	2017/12/13	12.50
64803	Credit Suisse AG - HSCEI R Bear CBBC Sep 2017 A	100,000,000	2017/02/23	2017/09/28	25.00
64775	Credit Suisse AG - HSI R Bull CBBC Jan 2018 P	200,000,000	2017/02/23	2018/01/30	50.00
64777	Credit Suisse AG - HSI R Bull CBBC Jan 2018 Q	200,000,000	2017/02/23	2018/01/30	50.00
64804	Credit Suisse AG - HSI R Bull CBBC Jan 2018 R	200,000,000	2017/02/23	2018/01/30	50.00

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64772	Credit Suisse AG - HSI R Bear CBBC May 2017 Q	200,000,000	2017/02/23	2017/05/29	50.00
64773	Credit Suisse AG - HSI R Bear CBBC May 2017 T	200,000,000	2017/02/23	2017/05/29	50.00
64782	Credit Suisse AG - Tencent R Bull CBBC Dec 2017 B	50,000,000	2017/02/23	2017/12/13	12.50
64785	Credit Suisse AG - Tencent R Bull CBBC Dec 2017 C	50,000,000	2017/02/23	2017/12/06	12.50
64786	Credit Suisse AG - Tencent R Bear CBBC Nov 2017 A	50,000,000	2017/02/23	2017/11/15	12.50
64791	Credit Suisse AG - Tencent R Bear CBBC Nov 2017 B	50,000,000	2017/02/23	2017/11/23	20.00
64739	Bank of East Asia - CC Bank R Bull CBBC Feb 2018 A	40,000,000	2017/02/23	2018/02/22	10.00
64744	Bank of East Asia - CNOOC R Bull CBBC Feb 2018 A	40,000,000	2017/02/23	2018/02/22	10.00
64743	Bank of East Asia - Geely Auto R Bull CBBC Feb 2018 A	40,000,000	2017/02/23	2018/02/22	10.00
64735	Bank of East Asia - HKEX R Bull CBBC Feb 2018 B	40,000,000	2017/02/23	2018/02/22	10.00
64738	Bank of East Asia - Tencent R Bull CBBC Feb 2018 B	40,000,000	2017/02/23	2018/02/22	10.00
64838	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 A	200,000,000	2017/02/23	2018/01/30	50.00
64841	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 B	200,000,000	2017/02/23	2018/01/30	50.00
64745	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 W	200,000,000	2017/02/23	2017/05/29	50.00
64746	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 X	200,000,000	2017/02/23	2017/05/29	50.00
64722	HK Bank - BOCL R Bull CBBC Jan 2018 A	50,000,000	2017/02/23	2018/01/22	12.50
64721	HK Bank - BOCL R Bull CBBC Mar 2018 A	50,000,000	2017/02/23	2018/03/05	12.50
64712	HK Bank - CC Bank R Bull CBBC Jan 2018 A	50,000,000	2017/02/23	2018/01/22	12.50
64733	HK Bank - CC Bank R Bull CBBC Mar 2018 A	50,000,000	2017/02/23	2018/03/05	12.50
64718	HK Bank - CC Bank R Bear CBBC Mar 2018 A	40,000,000	2017/02/23	2018/03/05	10.00
64729	HK Bank - HKEX R Bull CBBC Jan 2018 E	50,000,000	2017/02/23	2018/01/22	12.50
64724	HK Bank - ICBC R Bull CBBC Jan 2018 B	50,000,000	2017/02/23	2018/01/22	12.50
64725	HK Bank - ICBC R Bear CBBC Mar 2018 A	40,000,000	2017/02/23	2018/03/05	10.00
64731	HK Bank - Tencent R Bull CBBC Jan 2018 G	50,000,000	2017/02/23	2018/01/22	12.50
64727	HK Bank - Tencent R Bull CBBC Mar 2018 B	50,000,000	2017/02/23	2018/03/05	12.50
64678	Haitong Int'l Sec - HSI R Bull CBBC Jan 2018 A	150,000,000	2017/02/23	2018/01/30	37.95
64680	Haitong Int'l Sec - HSI R Bull CBBC Jan 2018 B	150,000,000	2017/02/23	2018/01/30	37.80
64692	Haitong Int'l Sec - HSI R Bear CBBC May 2017 A	150,000,000	2017/02/23	2017/05/29	37.65
64693	Haitong Int'l Sec - HSI R Bear CBBC May 2017 B	150,000,000	2017/02/23	2017/05/29	37.50
64836	J P Morgan SP BV - BOCL R Bull CBBC Feb 2018 A	100,000,000	2017/02/23	2018/02/15	25.00
64835	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 K	40,000,000	2017/02/23	2017/10/20	10.00
64842	J P Morgan SP BV - HSCEI R Bull CBBC Apr 2018 A	100,000,000	2017/02/23	2018/04/27	25.00
64843	J P Morgan SP BV - HSCEI R Bear CBBC May 2017 C	100,000,000	2017/02/23	2017/05/29	25.00
64847	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 M	200,000,000	2017/02/23	2018/01/30	50.00
64844	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 O	200,000,000	2017/02/23	2018/02/27	50.00
64851	J P Morgan SP BV - HSI R Bear CBBC May 2017 B	200,000,000	2017/02/23	2017/05/29	50.00
64822	J P Morgan SP BV - HSI R Bear CBBC May 2017 H	200,000,000	2017/02/23	2017/05/29	50.00
64849	J P Morgan SP BV - HSI R Bear CBBC May 2017 I	200,000,000	2017/02/23	2017/05/29	50.00
64852	J P Morgan SP BV - HSI R Bear CBBC May 2017 J	200,000,000	2017/02/23	2017/05/29	50.00
64853	J P Morgan SP BV - HSI R Bear CBBC May 2017 L	200,000,000	2017/02/23	2017/05/29	50.00
64854	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 G	200,000,000	2017/02/23	2017/07/28	54.00
64825	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 N	40,000,000	2017/02/23	2017/11/17	10.00
64827	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 M	40,000,000	2017/02/23	2017/12/15	10.00
64834	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 J	40,000,000	2017/02/23	2017/11/17	12.00
64817	SG Issuer - HSI R Bull CBBC Apr 2018 U	200,000,000	2017/02/23	2018/04/27	50.00

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64819	SG Issuer - HSI R Bull CBBC Apr 2018 V	200,000,000	2017/02/23	2018/04/27	50.00
64821	SG Issuer - HSI R Bull CBBC Apr 2018 X	200,000,000	2017/02/23	2018/04/27	50.00
64747	SG Issuer - HSI R Bear CBBC Jun 2017 L	200,000,000	2017/02/23	2017/06/29	50.00
64748	SG Issuer - HSI R Bear CBBC Jun 2017 M	200,000,000	2017/02/23	2017/06/29	50.00
64750	SG Issuer - HSI R Bear CBBC Jun 2017 N	200,000,000	2017/02/23	2017/06/29	50.00
64753	SG Issuer - HSI R Bear CBBC Jun 2017 O	200,000,000	2017/02/23	2017/06/29	50.00
64754	SG Issuer - HSI R Bear CBBC Jun 2017 P	200,000,000	2017/02/23	2017/06/29	50.00
64805	SG Issuer - HSI R Bear CBBC Jun 2017 Q	200,000,000	2017/02/23	2017/06/29	50.00
64807	SG Issuer - HSI R Bear CBBC Jun 2017 R	200,000,000	2017/02/23	2017/06/29	50.00
64808	SG Issuer - HSI R Bear CBBC Jun 2017 S	200,000,000	2017/02/23	2017/06/29	50.00
64809	SG Issuer - HSI R Bear CBBC Jun 2017 T	200,000,000	2017/02/23	2017/06/29	50.00
64767	UBS AG - HKEX R Bull CBBC Oct 2017 D	50,000,000	2017/02/23	2017/10/30	12.50
64769	UBS AG - HKEX R Bull CBBC Nov 2017 B	50,000,000	2017/02/23	2017/11/27	12.50
64757	UBS AG - HSI R Bull CBBC Sep 2017 O	200,000,000	2017/02/23	2017/09/28	50.00
64764	UBS AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2017/02/23	2017/09/28	50.00
64765	UBS AG - HSI R Bull CBBC Jan 2018 H	250,000,000	2017/02/23	2018/01/30	62.50
64758	UBS AG - HSI R Bear CBBC May 2017 B	200,000,000	2017/02/23	2017/05/29	50.00
64759	UBS AG - HSI R Bear CBBC May 2017 E	200,000,000	2017/02/23	2017/05/29	50.00
64760	UBS AG - HSI R Bear CBBC May 2017 F	250,000,000	2017/02/23	2017/05/29	62.50
64761	UBS AG - HSI R Bear CBBC Jun 2017 F	250,000,000	2017/02/23	2017/06/29	62.50
64762	UBS AG - HSI R Bear CBBC Jul 2017 B	250,000,000	2017/02/23	2017/07/28	62.50
64892	Credit Suisse AG - AIA R Bull CBBC Nov 2017 C	50,000,000	2017/02/24	2017/11/29	12.50
64895	Credit Suisse AG - AIA R Bull CBBC Nov 2017 D	50,000,000	2017/02/24	2017/11/15	12.50
64897	Credit Suisse AG - HSCEI R Bull CBBC Dec 2017 D	100,000,000	2017/02/24	2017/12/28	25.00
64890	Credit Suisse AG - HSI R Bull CBBC Jan 2018 S	200,000,000	2017/02/24	2018/01/30	50.00
64891	Credit Suisse AG - HSI R Bull CBBC Jan 2018 T	200,000,000	2017/02/24	2018/01/30	50.00
64896	Credit Suisse AG - HSI R Bear CBBC May 2017 U	200,000,000	2017/02/24	2017/05/29	50.00
64898	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 C	200,000,000	2017/02/24	2018/01/30	50.00
64903	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 A	200,000,000	2017/02/24	2017/05/29	50.00
64904	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 B	200,000,000	2017/02/24	2017/05/29	50.00
64899	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 Y	200,000,000	2017/02/24	2017/05/29	50.00
64902	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 Z	200,000,000	2017/02/24	2017/05/29	50.00
64922	J P Morgan SP BV - AIA R Bull CBBC Dec 2017 B	100,000,000	2017/02/24	2017/12/15	25.00
64926	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Q	200,000,000	2017/02/24	2017/10/30	50.00
64919	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 I	200,000,000	2017/02/24	2017/11/29	50.00
64925	J P Morgan SP BV - HSI R Bear CBBC May 2017 M	200,000,000	2017/02/24	2017/05/29	50.00
64920	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 K	40,000,000	2017/02/24	2017/10/20	10.00
64910	SG Issuer - AIA R Bull CBBC Dec 2017 A	100,000,000	2017/02/24	2017/12/28	25.00
64914	SG Issuer - Geely Auto R Bull CBBC Nov 2017 D	40,000,000	2017/02/24	2017/11/29	10.00
64915	SG Issuer - Geely Auto R Bull CBBC Dec 2017 A	40,000,000	2017/02/24	2017/12/28	10.00
64912	SG Issuer - Geely Auto R Bear CBBC Aug 2017 B	40,000,000	2017/02/24	2017/08/30	10.00
64913	SG Issuer - Geely Auto R Bear CBBC Sep 2017 A	40,000,000	2017/02/24	2017/09/28	10.00
64908	SG Issuer - HSI R Bull CBBC Apr 2018 Y	200,000,000	2017/02/24	2018/04/27	50.00
64906	SG Issuer - HSI R Bear CBBC Jun 2017 U	200,000,000	2017/02/24	2017/06/29	50.00
64917	SG Issuer - Sands R Bear CBBC Feb 2018 C	100,000,000	2017/02/24	2018/02/27	25.00

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64873	UBS AG - CC Bank R Bull CBBC Oct 2017 A	40,000,000	2017/02/24	2017/10/23	10.00
64859	UBS AG - HSBC R Bull CBBC Dec 2017 C	50,000,000	2017/02/24	2017/12/04	12.50
64875	UBS AG - HSCEI R Bull CBBC Nov 2017 E	100,000,000	2017/02/24	2017/11/29	25.00
64876	UBS AG - HSCEI R Bear CBBC May 2017 C	100,000,000	2017/02/24	2017/05/29	25.00
64857	UBS AG - HSI R Bull CBBC Sep 2017 Z	250,000,000	2017/02/24	2017/09/28	62.50
64856	UBS AG - HSI R Bull CBBC Jan 2018 I	200,000,000	2017/02/24	2018/01/30	50.00
64858	UBS AG - HSI R Bull CBBC Jan 2018 J	250,000,000	2017/02/24	2018/01/30	62.50
64878	UBS AG - HSI R Bear CBBC May 2017 A	250,000,000	2017/02/24	2017/05/29	62.50
64885	UBS AG - HSI R Bear CBBC May 2017 D	250,000,000	2017/02/24	2017/05/29	62.50
64877	UBS AG - HSI R Bear CBBC May 2017 H	200,000,000	2017/02/24	2017/05/29	50.00
64882	UBS AG - HSI R Bear CBBC Jun 2017 G	250,000,000	2017/02/24	2017/06/29	62.50
64872	UBS AG - Tencent R Bull CBBC Sep 2017 D	50,000,000	2017/02/24	2017/09/25	12.50
64869	UBS AG - Tencent R Bull CBBC Oct 2017 C	50,000,000	2017/02/24	2017/10/30	12.50
64929	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 Q	250,000,000	2017/02/27	2017/05/29	62.50
64942	Credit Suisse AG - HSBC R Bull CBBC Mar 2018 A	50,000,000	2017/02/27	2018/03/23	12.50
64941	Credit Suisse AG - HSI R Bull CBBC Jan 2018 U	200,000,000	2017/02/27	2018/01/30	50.00
64940	Credit Suisse AG - HSI R Bear CBBC May 2017 V	200,000,000	2017/02/27	2017/05/29	50.00
64951	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 D	200,000,000	2017/02/27	2018/01/30	50.00
64927	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 C	200,000,000	2017/02/27	2017/05/29	50.00
64948	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 D	200,000,000	2017/02/27	2017/05/29	50.00
64936	HK Bank - AIA R Bull CBBC Oct 2017 A	60,000,000	2017/02/27	2017/10/17	15.00
64934	HK Bank - AIA R Bear CBBC Mar 2018 A	40,000,000	2017/02/27	2018/03/05	10.00
64937	HK Bank - CUni R Bear CBBC Mar 2018 A	40,000,000	2017/02/27	2018/03/05	10.00
64933	HK Bank - HKEX R Bear CBBC Mar 2018 A	40,000,000	2017/02/27	2018/03/05	10.00
64938	HK Bank - HS H-SH ETF R Bull CBBC Mar 2018 A	50,000,000	2017/02/27	2018/03/05	12.50
64939	HK Bank - HS H-SH ETF R Bear CBBC Apr 2018 A	40,000,000	2017/02/27	2018/04/16	10.00
64930	HK Bank - Tencent R Bull CBBC Oct 2017 G	50,000,000	2017/02/27	2017/10/17	12.50
64981	J P Morgan SP BV - AIA R Bull CBBC Oct 2017 C	120,000,000	2017/02/27	2017/10/20	30.00
64977	J P Morgan SP BV - HSBC R Bull CBBC Jan 2018 D	100,000,000	2017/02/27	2018/01/19	25.00
64978	J P Morgan SP BV - HSBC R Bear CBBC Sep 2017 B	100,000,000	2017/02/27	2017/09/15	25.00
64965	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 B	200,000,000	2017/02/27	2017/10/30	50.00
64966	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 E	200,000,000	2017/02/27	2017/10/30	50.00
64964	J P Morgan SP BV - HSI R Bear CBBC May 2017 Q	200,000,000	2017/02/27	2017/05/29	50.00
64967	J P Morgan SP BV - HSI R Bear CBBC May 2017 W	200,000,000	2017/02/27	2017/05/29	50.00
64972	J P Morgan SP BV - HSI R Bear CBBC May 2017 X	200,000,000	2017/02/27	2017/05/29	50.00
64974	J P Morgan SP BV - HSI R Bear CBBC May 2017 Y	200,000,000	2017/02/27	2017/05/29	50.00
64979	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 N	40,000,000	2017/02/27	2017/12/15	10.00
64963	SG Issuer - HSI R Bull CBBC May 2018 L	200,000,000	2017/02/27	2018/05/30	50.00
64953	SG Issuer - HSI R Bear CBBC Jun 2017 V	200,000,000	2017/02/27	2017/06/29	50.00
64956	SG Issuer - HSI R Bear CBBC Jun 2017 W	200,000,000	2017/02/27	2017/06/29	50.00
64959	SG Issuer - HSI R Bear CBBC Jun 2017 X	200,000,000	2017/02/27	2017/06/29	50.00
64961	SG Issuer - HSI R Bear CBBC Jun 2017 Y	200,000,000	2017/02/27	2017/06/29	50.00
64962	SG Issuer - HSI R Bear CBBC Jul 2017 S	200,000,000	2017/02/27	2017/07/28	50.00
64946	UBS AG - HSBC R Bear CBBC Jun 2017 A	40,000,000	2017/02/27	2017/06/12	10.00
64945	UBS AG - HSI R Bull CBBC Dec 2017 D	250,000,000	2017/02/27	2017/12/28	62.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64944	UBS AG - HSI R Bear CBBC May 2017 M	200,000,000	2017/02/27	2017/05/29	50.00
64943	UBS AG - HSI R Bear CBBC Jun 2017 H	300,000,000	2017/02/27	2017/06/29	75.00
65005	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2017 R	250,000,000	2017/02/28	2017/05/29	62.50
64995	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2017 D	40,000,000	2017/02/28	2017/12/14	10.00
64994	Credit Suisse AG - HSI R Bull CBBC Jan 2018 V	200,000,000	2017/02/28	2018/01/30	50.00
64996	Credit Suisse AG - HSI R Bear CBBC May 2017 W	200,000,000	2017/02/28	2017/05/29	50.00
64998	Credit Suisse AG - HSI R Bear CBBC Jul 2017 G	300,000,000	2017/02/28	2017/07/28	75.00
65001	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 E	200,000,000	2017/02/28	2018/01/30	50.00
65000	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2017 E	200,000,000	2017/02/28	2017/05/29	50.00
65020	J P Morgan SP BV - HSBC R Bear CBBC Sep 2017 C	100,000,000	2017/02/28	2017/09/15	25.00
65021	J P Morgan SP BV - HSBC R Bear CBBC Oct 2017 B	100,000,000	2017/02/28	2017/10/20	25.00
65018	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 R	200,000,000	2017/02/28	2017/10/30	50.00
65019	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 N	200,000,000	2017/02/28	2018/01/30	50.00
65006	J P Morgan SP BV - HSI R Bear CBBC May 2017 K	200,000,000	2017/02/28	2017/05/29	50.00
65025	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 K	40,000,000	2017/02/28	2017/11/17	10.00
64982	SG Issuer - Geely Auto R Bear CBBC Aug 2017 C	40,000,000	2017/02/28	2017/08/30	10.00
65062	SG Issuer - HSI R Bull CBBC Jul 2018 A	200,000,000	2017/02/28	2018/07/30	50.00
65064	SG Issuer - HSI R Bull CBBC Aug 2018 A	200,000,000	2017/02/28	2018/08/30	50.00
65048	SG Issuer - HSI R Bear CBBC Jul 2017 T	200,000,000	2017/02/28	2017/07/28	50.00
65049	SG Issuer - HSI R Bear CBBC Jul 2017 U	200,000,000	2017/02/28	2017/07/28	50.00
65054	SG Issuer - HSI R Bear CBBC Jul 2017 V	200,000,000	2017/02/28	2017/07/28	50.00
65055	SG Issuer - HSI R Bear CBBC Jul 2017 W	200,000,000	2017/02/28	2017/07/28	50.00
65056	SG Issuer - HSI R Bear CBBC Jul 2017 X	200,000,000	2017/02/28	2017/07/28	58.00
65057	SG Issuer - HSI R Bear CBBC Aug 2017 D	200,000,000	2017/02/28	2017/08/30	50.00
65058	SG Issuer - HSI R Bear CBBC Aug 2017 E	200,000,000	2017/02/28	2017/08/30	50.00
65060	SG Issuer - HSI R Bear CBBC Aug 2017 F	200,000,000	2017/02/28	2017/08/30	50.00
65061	SG Issuer - HSI R Bear CBBC Aug 2017 G	200,000,000	2017/02/28	2017/08/30	50.00
64985	SG Issuer - Ping An R Bull CBBC Nov 2017 E	100,000,000	2017/02/28	2017/11/29	25.00
64989	SG Issuer - Tencent R Bull CBBC Nov 2017 F	100,000,000	2017/02/28	2017/11/29	25.00
64991	SG Issuer - Tencent R Bull CBBC Nov 2017 G	100,000,000	2017/02/28	2017/11/29	25.00
64992	SG Issuer - Tencent R Bull CBBC Dec 2017 B	100,000,000	2017/02/28	2017/12/28	25.00
65027	UBS AG - HSCEI R Bear CBBC Jun 2017 B	100,000,000	2017/02/28	2017/06/29	25.00
65031	UBS AG - HSI R Bull CBBC Jan 2018 K	250,000,000	2017/02/28	2018/01/30	62.50
65043	UBS AG - HSI R Bear CBBC May 2017 N	250,000,000	2017/02/28	2017/05/29	62.50
65047	UBS AG - HSI R Bear CBBC May 2017 O	250,000,000	2017/02/28	2017/05/29	62.50
65122	Credit Suisse AG - HSBC R Bear CBBC Sep 2017 B	50,000,000	2017/03/01	2017/09/28	12.50
65114	Credit Suisse AG - HSI R Bull CBBC Jan 2018 W	200,000,000	2017/03/01	2018/01/30	50.00
65119	Credit Suisse AG - HSI R Bull CBBC Jan 2018 X	200,000,000	2017/03/01	2018/01/30	50.00
65125	Credit Suisse AG - HSI R Bull CBBC Jan 2018 Y	200,000,000	2017/03/01	2018/01/30	50.00
65117	Credit Suisse AG - HSI R Bear CBBC Jun 2017 D	200,000,000	2017/03/01	2017/06/29	50.00
65118	Credit Suisse AG - HSI R Bear CBBC Jun 2017 E	200,000,000	2017/03/01	2017/06/29	50.00
65073	Bank of East Asia - China Mobile R Bull CBBC Dec 2017 D	40,000,000	2017/03/01	2017/12/22	10.00
65074	Bank of East Asia - COVS R Bull CBBC Dec 2017 C	25,000,000	2017/03/01	2017/12/22	10.00
65076	Bank of East Asia - Sands China R Bull CBBC Dec 2017 A	15,000,000	2017/03/01	2017/12/22	10.20
65077	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 G	200,000,000	2017/03/01	2018/01/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65084	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 H	200,000,000	2017/03/01	2018/01/30	50.00
65071	HK Bank - Sands China R Bear CBBC Dec 2017 C	40,000,000	2017/03/01	2017/12/08	10.00
65065	HK Bank - SHK Ppt R Bull CBBC Jan 2018 D	40,000,000	2017/03/01	2018/01/22	10.00
65068	HK Bank - SHK Ppt R Bear CBBC Jan 2018 A	40,000,000	2017/03/01	2018/01/22	10.00
65112	J P Morgan SP BV - COVS R Bull CBBC Dec 2017 B	40,000,000	2017/03/01	2017/12/15	10.00
65102	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 P	200,000,000	2017/03/01	2018/02/27	50.00
65098	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 H	200,000,000	2017/03/01	2017/07/28	50.00
65109	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 I	200,000,000	2017/03/01	2017/07/28	54.00
65110	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 J	200,000,000	2017/03/01	2017/07/28	50.00
65113	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 L	40,000,000	2017/03/01	2017/10/20	10.00
65086	SG Issuer - CSA50 R Bull CBBC Nov 2017 A	40,000,000	2017/03/01	2017/11/29	10.00
65075	SG Issuer - A50 R Bull CBBC Nov 2017 B	40,000,000	2017/03/01	2017/11/29	10.00
65093	SG Issuer - HSI R Bull CBBC Jul 2018 B	200,000,000	2017/03/01	2018/07/30	50.00
65097	SG Issuer - HSI R Bull CBBC Aug 2018 B	200,000,000	2017/03/01	2018/08/30	50.00
65087	SG Issuer - HSI R Bear CBBC Jul 2017 Y	200,000,000	2017/03/01	2017/07/28	50.00
65088	SG Issuer - HSI R Bear CBBC Jul 2017 Z	200,000,000	2017/03/01	2017/07/28	50.00
65090	SG Issuer - HSI R Bear CBBC Aug 2017 H	200,000,000	2017/03/01	2017/08/30	50.00
65091	SG Issuer - HSI R Bear CBBC Aug 2017 I	200,000,000	2017/03/01	2017/08/30	50.00
65136	UBS AG - HSBC R Bull CBBC Dec 2017 D	50,000,000	2017/03/01	2017/12/11	12.50
65137	UBS AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2017/03/01	2017/11/29	50.00
65135	UBS AG - HSI R Bull CBBC Dec 2017 E	250,000,000	2017/03/01	2017/12/28	62.50
65126	UBS AG - HSI R Bear CBBC Jun 2017 I	250,000,000	2017/03/01	2017/06/29	62.50
65132	UBS AG - HSI R Bear CBBC Jun 2017 J	250,000,000	2017/03/01	2017/06/29	62.50
65134	UBS AG - HSI R Bear CBBC Jun 2017 K	250,000,000	2017/03/01	2017/06/29	62.50
65138	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 O	250,000,000	2017/03/02	2017/11/29	62.50
65141	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 E	250,000,000	2017/03/02	2017/12/28	62.50
65143	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 A	250,000,000	2017/03/02	2018/02/27	62.50
65144	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 B	250,000,000	2017/03/02	2018/02/27	62.50
65148	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 C	250,000,000	2017/03/02	2018/02/27	62.50
65151	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 D	250,000,000	2017/03/02	2018/02/27	62.50
65156	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 E	250,000,000	2017/03/02	2018/02/27	62.50
65157	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 F	250,000,000	2017/03/02	2018/02/27	62.50
65158	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 G	250,000,000	2017/03/02	2018/02/27	62.50
65159	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 H	250,000,000	2017/03/02	2018/02/27	62.50
65165	Credit Suisse AG - HSI R Bull CBBC Jan 2018 Z	200,000,000	2017/03/02	2018/01/30	50.00
65167	Credit Suisse AG - HSI R Bear CBBC Jun 2017 F	200,000,000	2017/03/02	2017/06/29	50.00
65161	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 I	200,000,000	2017/03/02	2018/01/30	50.00
65160	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 A	200,000,000	2017/03/02	2017/06/29	50.00
65176	J P Morgan SP BV - China Mobile R Bull CBBC Jan 2018 A	100,000,000	2017/03/02	2018/01/19	25.00
65168	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2017 F	150,000,000	2017/03/02	2017/11/17	37.50
65171	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 Q	200,000,000	2017/03/02	2018/02/27	50.00
65173	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 K	200,000,000	2017/03/02	2017/07/28	54.00
65175	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 L	200,000,000	2017/03/02	2017/07/28	50.00
65180	SG Issuer - HSI R Bull CBBC Jul 2018 C	200,000,000	2017/03/02	2018/07/30	50.00
65178	SG Issuer - HSI R Bear CBBC Jul 2017 B	200,000,000	2017/03/02	2017/07/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65163	UBS AG - HSI R Bull CBBC Jan 2018 L	250,000,000	2017/03/02	2018/01/30	62.50
65164	UBS AG - HSI R Bear CBBC Jun 2017 L	200,000,000	2017/03/02	2017/06/29	50.00
65181	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2018 A	250,000,000	2017/03/03	2018/05/30	62.50
65200	Credit Suisse AG - HSI R Bull CBBC Jan 2018 I	200,000,000	2017/03/03	2018/01/30	50.00
65202	Credit Suisse AG - HSI R Bear CBBC Jun 2017 G	200,000,000	2017/03/03	2017/06/29	50.00
65198	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 F	200,000,000	2017/03/03	2018/01/30	50.00
65191	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 B	200,000,000	2017/03/03	2017/06/29	50.00
65199	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 C	200,000,000	2017/03/03	2017/06/29	50.00
65205	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 D	200,000,000	2017/03/03	2017/06/29	50.00
65185	HK Bank - China Mobile R Bear CBBC Jan 2018 A	40,000,000	2017/03/03	2018/01/15	10.00
65186	HK Bank - China Mobile R Bear CBBC Mar 2018 A	40,000,000	2017/03/03	2018/03/19	10.00
65189	HK Bank - HSI R Bear CBBC Oct 2017 H	100,000,000	2017/03/03	2017/10/30	25.00
65190	HK Bank - HSI R Bear CBBC Nov 2017 G	100,000,000	2017/03/03	2017/11/29	25.00
65187	HK Bank - SMIC R Bull CBBC Mar 2018 A	40,000,000	2017/03/03	2018/03/19	10.00
65183	HK Bank - Tencent R Bear CBBC Oct 2017 C	40,000,000	2017/03/03	2017/10/17	10.00
65222	J P Morgan SP BV - CUni R Bull CBBC Nov 2017 B	60,000,000	2017/03/03	2017/11/17	15.00
65225	J P Morgan SP BV - Galaxy Ent R Bull CBBC Jan 2018 B	150,000,000	2017/03/03	2018/01/19	37.50
65211	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 R	200,000,000	2017/03/03	2018/02/27	50.00
65214	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 M	200,000,000	2017/03/03	2017/07/28	50.00
65217	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 N	200,000,000	2017/03/03	2017/07/28	50.00
65233	SG Issuer - HSI R Bull CBBC Apr 2018 X	200,000,000	2017/03/03	2018/04/27	50.00
65232	SG Issuer - HSI R Bear CBBC Jul 2017 C	200,000,000	2017/03/03	2017/07/28	50.00
65226	UBS AG - HSI R Bull CBBC Jan 2018 M	200,000,000	2017/03/03	2018/01/30	50.00
65231	UBS AG - HSI R Bear CBBC Jun 2017 M	200,000,000	2017/03/03	2017/06/29	50.00
65234	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2018 B	250,000,000	2017/03/06	2018/05/30	62.50
65238	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 I	250,000,000	2017/03/06	2018/02/27	62.50
65292	Credit Suisse AG - HSI R Bull CBBC Jan 2018 M	200,000,000	2017/03/06	2018/01/30	50.00
65286	Credit Suisse AG - HSI R Bear CBBC Jun 2017 H	200,000,000	2017/03/06	2017/06/29	50.00
65293	Credit Suisse AG - HSI R Bear CBBC Jun 2017 I	200,000,000	2017/03/06	2017/06/29	50.00
65259	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 C	200,000,000	2017/03/06	2018/02/27	50.00
65321	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 E	200,000,000	2017/03/06	2017/06/29	50.00
65324	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 F	200,000,000	2017/03/06	2017/06/29	50.00
65253	HK Bank - CNOOC R Bull CBBC Mar 2018 A	50,000,000	2017/03/06	2018/03/26	12.50
65258	HK Bank - HKEX R Bear CBBC Mar 2018 B	40,000,000	2017/03/06	2018/03/05	10.00
65254	HK Bank - HSI R Bear CBBC Nov 2017 H	100,000,000	2017/03/06	2017/11/29	25.00
65257	HK Bank - Sands China R Bull CBBC Mar 2018 C	80,000,000	2017/03/06	2018/03/26	20.00
65252	Haitong Int'l Sec - BOCL R Bull CBBC Feb 2018 A	60,000,000	2017/03/06	2018/02/28	15.48
65251	Haitong Int'l Sec - China Mobile R Bull CBBC Feb 2018 A	40,000,000	2017/03/06	2018/02/27	10.28
65241	Haitong Int'l Sec - CC Bank R Bull CBBC Feb 2018 A	60,000,000	2017/03/06	2018/02/22	15.06
65243	Haitong Int'l Sec - CKH R Bull CBBC Feb 2018 A	40,000,000	2017/03/06	2018/02/23	10.08
65250	Haitong Int'l Sec - SHK Ppt R Bull CBBC Feb 2018 A	40,000,000	2017/03/06	2018/02/26	10.20
65240	Haitong Int'l Sec - Tencent R Bull CBBC Feb 2018 A	40,000,000	2017/03/06	2018/02/21	10.04
65299	J P Morgan SP BV - CNOOC R Bull CBBC Jan 2018 A	100,000,000	2017/03/06	2018/01/19	25.00
65297	J P Morgan SP BV - HKEX R Bear CBBC Dec 2017 A	40,000,000	2017/03/06	2017/12/15	10.00
65294	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 O	200,000,000	2017/03/06	2018/01/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65300	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 O	200,000,000	2017/03/06	2017/07/28	50.00
65319	SG Issuer - HSI R Bull CBBC Apr 2018 V	200,000,000	2017/03/06	2018/04/27	50.00
65280	SG Issuer - HSI R Bull CBBC Jul 2018 D	200,000,000	2017/03/06	2018/07/30	50.00
65281	SG Issuer - HSI R Bull CBBC Jul 2018 E	200,000,000	2017/03/06	2018/07/30	50.00
65283	SG Issuer - HSI R Bull CBBC Jul 2018 F	200,000,000	2017/03/06	2018/07/30	50.00
65320	SG Issuer - HSI R Bull CBBC Jul 2018 G	200,000,000	2017/03/06	2018/07/30	50.00
65317	SG Issuer - HSI R Bear CBBC Jul 2017 D	200,000,000	2017/03/06	2017/07/28	50.00
65318	SG Issuer - HSI R Bear CBBC Aug 2017 J	200,000,000	2017/03/06	2017/08/30	50.00
65262	SG Issuer - HSI R Bear CBBC Sep 2017 A	200,000,000	2017/03/06	2017/09/28	50.00
65265	SG Issuer - HSI R Bear CBBC Sep 2017 B	200,000,000	2017/03/06	2017/09/28	50.00
65276	SG Issuer - HSI R Bear CBBC Oct 2017 A	200,000,000	2017/03/06	2017/10/30	50.00
65301	UBS AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2017/03/06	2017/10/30	50.00
65304	UBS AG - HSI R Bull CBBC Dec 2017 S	200,000,000	2017/03/06	2017/12/28	50.00
65312	UBS AG - HSI R Bull CBBC Jan 2018 N	200,000,000	2017/03/06	2018/01/30	50.00
65305	UBS AG - HSI R Bear CBBC Jun 2017 N	200,000,000	2017/03/06	2017/06/29	50.00
65306	UBS AG - HSI R Bear CBBC Jun 2017 O	200,000,000	2017/03/06	2017/06/29	50.00
65315	UBS AG - HSI R Bear CBBC Jun 2017 P	200,000,000	2017/03/06	2017/06/29	50.00
65334	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2018 C	250,000,000	2017/03/07	2018/05/30	62.50
65327	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 J	250,000,000	2017/03/07	2018/02/27	62.50
65403	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 K	250,000,000	2017/03/07	2018/02/27	62.50
65405	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 L	250,000,000	2017/03/07	2018/02/27	62.50
65348	Credit Suisse AG - HKEX R Bear CBBC Dec 2017 A	50,000,000	2017/03/07	2017/12/14	12.50
65344	Credit Suisse AG - HSI R Bull CBBC Jan 2018 R	200,000,000	2017/03/07	2018/01/30	50.00
65350	Credit Suisse AG - HSI R Bull CBBC Jan 2018 S	200,000,000	2017/03/07	2018/01/30	50.00
65351	Credit Suisse AG - HSI R Bear CBBC Jun 2017 J	200,000,000	2017/03/07	2017/06/29	50.00
65364	Credit Suisse AG - HSI R Bear CBBC Jun 2017 K	200,000,000	2017/03/07	2017/06/29	50.00
65347	Credit Suisse AG - Tencent R Bear CBBC Nov 2017 C	50,000,000	2017/03/07	2017/11/24	12.50
65335	Bank of East Asia - CKH R Bull CBBC Nov 2017 B	40,000,000	2017/03/07	2017/11/30	10.00
65340	Bank of East Asia - HKEX R Bull CBBC Nov 2017 C	40,000,000	2017/03/07	2017/11/30	10.00
65338	Bank of East Asia - Tencent R Bull CBBC Nov 2017 B	40,000,000	2017/03/07	2017/11/30	10.00
65343	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 D	200,000,000	2017/03/07	2018/02/27	50.00
65390	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 G	200,000,000	2017/03/07	2017/06/29	50.00
65330	HK Bank - CKH R Bull CBBC Mar 2018 A	40,000,000	2017/03/07	2018/03/12	10.00
65332	HK Bank - Geely Auto R Bear CBBC Mar 2018 C	40,000,000	2017/03/07	2018/03/12	10.00
65329	HK Bank - HSCEI R Bear CBBC Dec 2017 E	50,000,000	2017/03/07	2017/12/28	12.50
65328	HK Bank - HSI R Bear CBBC Dec 2017 F	100,000,000	2017/03/07	2017/12/28	25.00
65331	HK Bank - Tencent R Bear CBBC Mar 2018 A	40,000,000	2017/03/07	2018/03/12	12.00
65375	J P Morgan SP BV - HKEX R Bear CBBC Dec 2017 B	40,000,000	2017/03/07	2017/12/15	10.00
65365	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 I	200,000,000	2017/03/07	2017/11/29	50.00
65377	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 P	200,000,000	2017/03/07	2017/07/28	50.00
65380	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 Q	200,000,000	2017/03/07	2017/07/28	50.00
65376	J P Morgan SP BV - Tencent R Bear CBBC Dec 2017 C	40,000,000	2017/03/07	2017/12/15	10.00
65398	SG Issuer - HSI R Bull CBBC Apr 2018 S	200,000,000	2017/03/07	2018/04/27	50.00
65402	SG Issuer - HSI R Bull CBBC Jul 2018 H	200,000,000	2017/03/07	2018/07/30	50.00
65391	SG Issuer - HSI R Bear CBBC Jul 2017 E	200,000,000	2017/03/07	2017/07/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65392	SG Issuer - HSI R Bear CBBC Aug 2017 K	200,000,000	2017/03/07	2017/08/30	50.00
65382	UBS AG - HSI R Bull CBBC Dec 2017 P	250,000,000	2017/03/07	2017/12/28	62.50
65383	UBS AG - HSI R Bull CBBC Jan 2018 O	200,000,000	2017/03/07	2018/01/30	50.00
65385	UBS AG - HSI R Bear CBBC Jun 2017 Q	250,000,000	2017/03/07	2017/06/29	62.50
65388	UBS AG - HSI R Bear CBBC Jun 2017 R	250,000,000	2017/03/07	2017/06/29	62.50
65423	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2017 D	50,000,000	2017/03/08	2017/12/14	12.50
65420	Credit Suisse AG - HSI R Bull CBBC Jan 2018 N	200,000,000	2017/03/08	2018/01/30	50.00
65419	Credit Suisse AG - HSI R Bear CBBC Jun 2017 L	200,000,000	2017/03/08	2017/06/29	50.00
65425	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 E	200,000,000	2017/03/08	2018/02/27	50.00
65426	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 H	200,000,000	2017/03/08	2017/06/29	50.00
65418	HK Bank - AIA R Bear CBBC Mar 2018 B	40,000,000	2017/03/08	2018/03/19	10.00
65415	HK Bank - CNOOC R Bear CBBC Mar 2018 A	40,000,000	2017/03/08	2018/03/19	10.00
65416	HK Bank - Galaxy Ent R Bull CBBC Feb 2018 A	80,000,000	2017/03/08	2018/02/12	20.00
65408	HK Bank - HKEEX R Bear CBBC Mar 2018 C	40,000,000	2017/03/08	2018/03/19	10.00
65407	HK Bank - HSI R Bear CBBC Oct 2017 I	100,000,000	2017/03/08	2017/10/30	25.00
65414	HK Bank - Tencent R Bear CBBC Mar 2018 B	40,000,000	2017/03/08	2018/03/19	10.00
65435	J P Morgan SP BV - HSI R Bull CBBC Apr 2018 C	200,000,000	2017/03/08	2018/04/27	50.00
65434	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 R	200,000,000	2017/03/08	2017/07/28	50.00
65433	SG Issuer - HSI R Bull CBBC Aug 2018 C	200,000,000	2017/03/08	2018/08/30	50.00
65432	SG Issuer - HSI R Bear CBBC Aug 2017 L	200,000,000	2017/03/08	2017/08/30	50.00
65428	UBS AG - HSI R Bull CBBC Jan 2018 P	250,000,000	2017/03/08	2018/01/30	62.50
65427	UBS AG - HSI R Bear CBBC Jun 2017 S	200,000,000	2017/03/08	2017/06/29	50.00
65429	UBS AG - HSI R Bear CBBC Jun 2017 T	250,000,000	2017/03/08	2017/06/29	62.50
65473	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2018 D	250,000,000	2017/03/09	2018/05/30	62.50
65466	Credit Suisse AG - HSI R Bull CBBC Jan 2018 Q	200,000,000	2017/03/09	2018/01/30	50.00
65467	Credit Suisse AG - HSI R Bull CBBC Jan 2018 T	200,000,000	2017/03/09	2018/01/30	50.00
65468	Credit Suisse AG - HSI R Bear CBBC Jun 2017 M	200,000,000	2017/03/09	2017/06/29	50.00
65470	Credit Suisse AG - HSI R Bear CBBC Aug 2017 E	300,000,000	2017/03/09	2017/08/30	75.00
65478	Bank of East Asia - AIA R Bull CBBC Nov 2017 C	40,000,000	2017/03/09	2017/11/27	10.00
65475	Bank of East Asia - Galaxy Ent R Bull CBBC Nov 2017 A	18,000,000	2017/03/09	2017/11/27	10.08
65471	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 J	200,000,000	2017/03/09	2018/01/30	50.00
65472	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 I	200,000,000	2017/03/09	2017/06/29	50.00
65459	HK Bank - Galaxy Ent R Bull CBBC Mar 2018 A	80,000,000	2017/03/09	2018/03/12	20.00
65457	HK Bank - HKEEX R Bull CBBC Mar 2018 B	50,000,000	2017/03/09	2018/03/12	12.50
65450	HK Bank - HSI R Bull CBBC Oct 2017 P	100,000,000	2017/03/09	2017/10/30	25.00
65460	HK Bank - Sands China R Bull CBBC Mar 2018 D	80,000,000	2017/03/09	2018/03/12	20.00
65453	HK Bank - Tencent R Bull CBBC Mar 2018 C	50,000,000	2017/03/09	2018/03/19	12.50
65456	HK Bank - Tencent R Bull CBBC Mar 2018 D	50,000,000	2017/03/09	2018/03/12	12.50
65490	J P Morgan SP BV - Galaxy Ent R Bull CBBC Dec 2017 G	150,000,000	2017/03/09	2017/12/15	37.50
65491	J P Morgan SP BV - HSBC R Bull CBBC Dec 2017 F	100,000,000	2017/03/09	2017/12/15	25.00
65483	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 S	200,000,000	2017/03/09	2018/02/27	50.00
65481	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 S	200,000,000	2017/03/09	2017/07/28	50.00
65463	SG Issuer - Galaxy R Bull CBBC Dec 2017 A	100,000,000	2017/03/09	2017/12/28	25.00
65461	SG Issuer - Galaxy R Bear CBBC Sep 2017 D	100,000,000	2017/03/09	2017/09/28	25.00
65464	SG Issuer - HKEEX R Bear CBBC Aug 2017 D	100,000,000	2017/03/09	2017/08/30	25.00

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65503	SG Issuer - HSI R Bull CBBC May 2018 M	200,000,000	2017/03/09	2018/05/30	50.00
65444	SG Issuer - HSI R Bull CBBC Aug 2018 D	200,000,000	2017/03/09	2018/08/30	50.00
65446	SG Issuer - HSI R Bull CBBC Oct 2018 A	200,000,000	2017/03/09	2018/10/30	50.00
65449	SG Issuer - HSI R Bull CBBC Oct 2018 B	200,000,000	2017/03/09	2018/10/30	50.00
65500	SG Issuer - HSI R Bear CBBC Jul 2017 F	200,000,000	2017/03/09	2017/07/28	50.00
65502	SG Issuer - HSI R Bear CBBC Aug 2017 M	200,000,000	2017/03/09	2017/08/30	50.00
65436	SG Issuer - HSI R Bear CBBC Oct 2017 B	200,000,000	2017/03/09	2017/10/30	50.00
65438	SG Issuer - HSI R Bear CBBC Oct 2017 C	200,000,000	2017/03/09	2017/10/30	50.00
65443	SG Issuer - HSI R Bear CBBC Nov 2017 A	200,000,000	2017/03/09	2017/11/29	50.00
65465	SG Issuer - Sands R Bull CBBC Mar 2018 A	100,000,000	2017/03/09	2018/03/28	25.00
65497	UBS AG - HSCEI R Bear CBBC Jun 2017 C	100,000,000	2017/03/09	2017/06/29	25.00
65495	UBS AG - HSI R Bull CBBC Sep 2017 A	250,000,000	2017/03/09	2017/09/28	62.50
65492	UBS AG - HSI R Bear CBBC Jun 2017 U	250,000,000	2017/03/09	2017/06/29	62.50
65493	UBS AG - HSI R Bear CBBC Jun 2017 V	250,000,000	2017/03/09	2017/06/29	62.50
65498	UBS AG - HSI R Bear CBBC Jun 2017 W	250,000,000	2017/03/09	2017/06/29	62.50
65508	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2017 G	100,000,000	2017/03/10	2017/12/28	25.00
65505	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2018 E	250,000,000	2017/03/10	2018/05/30	62.50
65529	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 M	250,000,000	2017/03/10	2018/02/27	62.50
65530	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 N	250,000,000	2017/03/10	2018/02/27	62.50
65546	Credit Suisse AG - BOCL R Bull CBBC Nov 2017 B	50,000,000	2017/03/10	2017/11/23	12.50
65543	Credit Suisse AG - CCB R Bull CBBC Dec 2017 C	50,000,000	2017/03/10	2017/12/28	12.50
65535	Credit Suisse AG - HSI R Bull CBBC Jan 2018 U	200,000,000	2017/03/10	2018/01/30	50.00
65537	Credit Suisse AG - HSI R Bear CBBC Jun 2017 N	200,000,000	2017/03/10	2017/06/29	50.00
65547	Credit Suisse AG - HSI R Bear CBBC Jun 2017 O	200,000,000	2017/03/10	2017/06/29	50.00
65544	Credit Suisse AG - ICBC R Bull CBBC Dec 2017 C	50,000,000	2017/03/10	2017/12/06	12.50
65518	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 K	200,000,000	2017/03/10	2018/01/30	50.00
65548	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 J	200,000,000	2017/03/10	2017/06/29	50.00
65549	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 K	200,000,000	2017/03/10	2017/06/29	50.00
65527	HK Bank - Galaxy Ent R Bear CBBC Jan 2018 D	40,000,000	2017/03/10	2018/01/08	10.00
65526	HK Bank - HSCEI R Bear CBBC Sep 2017 B	60,000,000	2017/03/10	2017/09/28	15.00
65524	HK Bank - HSI R Bear CBBC Sep 2017 F	100,000,000	2017/03/10	2017/09/28	25.00
65519	HK Bank - HSI R Bear CBBC Oct 2017 J	100,000,000	2017/03/10	2017/10/30	25.00
65528	HK Bank - Tencent R Bull CBBC Jan 2018 H	50,000,000	2017/03/10	2018/01/08	12.50
65555	J P Morgan SP BV - Sinopec Corp R Bull CBBC Jan 2018 A	100,000,000	2017/03/10	2018/01/19	25.00
65553	J P Morgan SP BV - HKEX R Bear CBBC Jan 2018 A	40,000,000	2017/03/10	2018/01/19	10.00
65550	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 T	200,000,000	2017/03/10	2018/02/27	50.00
65558	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 T	200,000,000	2017/03/10	2017/07/28	50.00
65559	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 U	200,000,000	2017/03/10	2017/07/28	50.00
65560	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 V	200,000,000	2017/03/10	2017/07/28	50.00
65556	J P Morgan SP BV - Sands China R Bull CBBC Dec 2017 C	150,000,000	2017/03/10	2017/12/15	37.50
65564	SG Issuer - HSI R Bull CBBC Jul 2018 I	200,000,000	2017/03/10	2018/07/30	50.00
65566	SG Issuer - HSI R Bull CBBC Aug 2018 E	200,000,000	2017/03/10	2018/08/30	50.00
65562	SG Issuer - HSI R Bear CBBC Jul 2017 G	200,000,000	2017/03/10	2017/07/28	50.00
65563	SG Issuer - HSI R Bear CBBC Aug 2017 N	200,000,000	2017/03/10	2017/08/30	50.00
65569	UBS AG - HSI R Bull CBBC Sep 2017 O	300,000,000	2017/03/10	2017/09/28	75.00

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65574	UBS AG - HSI R Bull CBBC Jan 2018 Q	250,000,000	2017/03/10	2018/01/30	62.50
65582	UBS AG - HSI R Bear CBBC Jun 2017 X	250,000,000	2017/03/10	2017/06/29	62.50
65583	UBS AG - HSI R Bear CBBC Jun 2017 Y	200,000,000	2017/03/10	2017/06/29	50.00
65604	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2017 C	50,000,000	2017/03/13	2017/11/16	12.50
65599	Credit Suisse AG - HSI R Bull CBBC Jan 2018 P	200,000,000	2017/03/13	2018/01/30	50.00
65602	Credit Suisse AG - HSI R Bear CBBC Jun 2017 P	200,000,000	2017/03/13	2017/06/29	50.00
65603	Credit Suisse AG - HSI R Bear CBBC Jun 2017 Q	200,000,000	2017/03/13	2017/06/29	50.00
65605	Credit Suisse AG - Tencent R Bull CBBC Dec 2017 D	50,000,000	2017/03/13	2017/12/13	12.50
65595	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 L	200,000,000	2017/03/13	2018/01/30	50.00
65598	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 I	200,000,000	2017/03/13	2017/07/28	50.00
65590	HK Bank - China Shenhua R Bull CBBC Jul 2018 A	40,000,000	2017/03/13	2018/07/31	16.00
65593	HK Bank - HSI R Bull CBBC Oct 2017 Q	100,000,000	2017/03/13	2017/10/30	25.00
65637	J P Morgan SP BV - CNOOC R Bull CBBC Feb 2018 A	80,000,000	2017/03/13	2018/02/15	20.00
65636	J P Morgan SP BV - Geely Auto R Bear CBBC Dec 2017 A	40,000,000	2017/03/13	2017/12/15	10.00
65631	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 P	200,000,000	2017/03/13	2018/01/30	50.00
65627	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 W	200,000,000	2017/03/13	2017/07/28	50.00
65629	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 X	200,000,000	2017/03/13	2017/07/28	50.00
65638	J P Morgan SP BV - PetCh R Bull CBBC Jan 2018 A	100,000,000	2017/03/13	2018/01/19	25.00
65635	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 O	40,000,000	2017/03/13	2017/11/17	10.00
65622	SG Issuer - HSI R Bull CBBC Aug 2018 F	200,000,000	2017/03/13	2018/08/30	50.00
65619	SG Issuer - HSI R Bear CBBC Aug 2017 O	200,000,000	2017/03/13	2017/08/30	50.00
65610	UBS AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2017/03/13	2017/10/30	50.00
65606	UBS AG - HSI R Bear CBBC Jul 2017 C	200,000,000	2017/03/13	2017/07/28	50.00
65647	Credit Suisse AG - HSI R Bull CBBC Jan 2018 V	200,000,000	2017/03/14	2018/01/30	50.00
65646	Credit Suisse AG - HSI R Bear CBBC Jul 2017 H	200,000,000	2017/03/14	2017/07/28	50.00
65661	Credit Suisse AG - SMIC R Bull CBBC Jan 2018 A	40,000,000	2017/03/14	2018/01/11	10.00
65662	Credit Suisse AG - Tencent R Bull CBBC Jan 2018 A	50,000,000	2017/03/14	2018/01/05	12.50
65663	Credit Suisse AG - Tencent R Bear CBBC Nov 2017 D	50,000,000	2017/03/14	2017/11/08	12.50
65664	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 L	200,000,000	2017/03/14	2017/06/29	50.00
65669	J P Morgan SP BV - COVS R Bull CBBC Jan 2018 A	40,000,000	2017/03/14	2018/01/19	10.00
65667	J P Morgan SP BV - HSI R Bull CBBC Mar 2018 A	200,000,000	2017/03/14	2018/03/28	50.00
65670	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 O	40,000,000	2017/03/14	2017/12/15	10.00
65644	SG Issuer - CSA50 R Bull CBBC Feb 2018 B	40,000,000	2017/03/14	2018/02/27	10.00
65639	SG Issuer - HKEX R Bear CBBC Sep 2017 C	100,000,000	2017/03/14	2017/09/28	25.00
65666	SG Issuer - HSI R Bull CBBC Jul 2018 J	200,000,000	2017/03/14	2018/07/30	50.00
65665	SG Issuer - HSI R Bear CBBC Jul 2017 H	200,000,000	2017/03/14	2017/07/28	50.00
65641	SG Issuer - Tencent R Bear CBBC Oct 2017 A	100,000,000	2017/03/14	2017/10/30	25.00
65672	UBS AG - HSI R Bull CBBC Nov 2017 L	250,000,000	2017/03/14	2017/11/29	62.50
65674	UBS AG - HSI R Bull CBBC Dec 2017 D	250,000,000	2017/03/14	2017/12/28	62.50
65671	UBS AG - HSI R Bear CBBC Jun 2017 Z	250,000,000	2017/03/14	2017/06/29	62.50
65680	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 O	250,000,000	2017/03/15	2018/02/27	62.50
65702	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2017 E	50,000,000	2017/03/15	2017/12/07	12.50
65705	Credit Suisse AG - HSBC R Bull CBBC Dec 2017 G	50,000,000	2017/03/15	2017/12/05	12.50
65704	Credit Suisse AG - HSBC R Bull CBBC Jan 2018 A	50,000,000	2017/03/15	2018/01/11	12.50
65701	Credit Suisse AG - HSI R Bull CBBC Jan 2018 O	200,000,000	2017/03/15	2018/01/30	50.00

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65700	Credit Suisse AG - HSI R Bear CBBC Jun 2017 R	200,000,000	2017/03/15	2017/06/29	50.00
65703	Credit Suisse AG - Sands China R Bull CBBC Jan 2018 A	50,000,000	2017/03/15	2018/01/12	12.50
65710	Credit Suisse AG - Tencent R Bull CBBC Dec 2017 E	50,000,000	2017/03/15	2017/12/20	12.50
65717	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 M	200,000,000	2017/03/15	2018/01/30	50.00
65693	HK Bank - Geely Auto R Bull CBBC Jan 2018 C	40,000,000	2017/03/15	2018/01/15	10.00
65699	HK Bank - Geely Auto R Bear CBBC Mar 2018 D	40,000,000	2017/03/15	2018/03/26	12.00
65692	HK Bank - Tencent R Bull CBBC Jan 2018 I	50,000,000	2017/03/15	2018/01/15	12.50
65683	Haitong Int'l Sec - Sinopec Corp R Bull CBBC Mar 2018 A	40,000,000	2017/03/15	2018/03/08	10.00
65686	Haitong Int'l Sec - China Shenhua R Bull CBBC Mar 2018 A	40,000,000	2017/03/15	2018/03/06	10.00
65682	Haitong Int'l Sec - Galaxy Ent R Bull CBBC May 2018 A	60,000,000	2017/03/15	2018/05/03	15.00
65685	Haitong Int'l Sec - PetCh R Bull CBBC Mar 2018 A	40,000,000	2017/03/15	2018/03/07	10.00
65687	Haitong Int'l Sec - Ping An R Bull CBBC Mar 2018 A	60,000,000	2017/03/15	2018/03/05	15.00
65681	Haitong Int'l Sec - Sands China R Bull CBBC Mar 2018 A	60,000,000	2017/03/15	2018/03/09	15.00
65726	J P Morgan SP BV - Geely Auto R Bull CBBC Jan 2018 B	60,000,000	2017/03/15	2018/01/19	15.00
65724	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 X	200,000,000	2017/03/15	2018/01/30	50.00
65728	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 U	200,000,000	2017/03/15	2018/02/27	50.00
65722	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 B	200,000,000	2017/03/15	2017/06/29	50.00
65727	J P Morgan SP BV - Tencent R Bull CBBC Jan 2018 C	40,000,000	2017/03/15	2018/01/19	10.00
65688	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2018 A	40,000,000	2017/03/15	2018/03/28	10.00
65689	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2018 B	40,000,000	2017/03/15	2018/03/28	10.00
65691	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2018 C	40,000,000	2017/03/15	2018/03/28	10.00
65735	SG Issuer - HSI R Bull CBBC Jul 2018 K	200,000,000	2017/03/15	2018/07/30	50.00
65738	SG Issuer - HSI R Bull CBBC Aug 2018 G	200,000,000	2017/03/15	2018/08/30	50.00
65730	SG Issuer - HSI R Bear CBBC Jul 2017 J	200,000,000	2017/03/15	2017/07/28	50.00
65715	UBS AG - HSI R Bull CBBC Jan 2018 R	200,000,000	2017/03/15	2018/01/30	50.00
65712	UBS AG - HSI R Bear CBBC Jun 2017 C	300,000,000	2017/03/15	2017/06/29	75.00
65713	UBS AG - HSI R Bear CBBC Jun 2017 H	250,000,000	2017/03/15	2017/06/29	62.50
65740	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 A	250,000,000	2017/03/16	2018/08/30	62.50
65741	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 B	250,000,000	2017/03/16	2018/08/30	62.50
65798	Credit Suisse AG - CNOOC R Bull CBBC Jan 2018 A	50,000,000	2017/03/16	2018/01/11	12.50
65797	Credit Suisse AG - CNOOC R Bull CBBC Feb 2018 A	50,000,000	2017/03/16	2018/02/22	12.50
65799	Credit Suisse AG - CNOOC R Bull CBBC Mar 2018 A	50,000,000	2017/03/16	2018/03/14	12.50
65791	Credit Suisse AG - HSI R Bull CBBC Jan 2018 X	200,000,000	2017/03/16	2018/01/30	50.00
65794	Credit Suisse AG - HSI R Bull CBBC Jan 2018 Y	200,000,000	2017/03/16	2018/01/30	50.00
65827	Credit Suisse AG - HSI R Bear CBBC Jun 2017 S	200,000,000	2017/03/16	2017/06/29	50.00
65822	Credit Suisse AG - Tencent R Bull CBBC Dec 2017 F	50,000,000	2017/03/16	2017/12/14	12.50
65819	Credit Suisse AG - Tencent R Bull CBBC Jan 2018 B	50,000,000	2017/03/16	2018/01/10	12.50
65788	Bank of East Asia - China Shenhua R Bull CBBC Dec 2017 A	30,000,000	2017/03/16	2017/12/20	10.50
65786	Bank of East Asia - Geely Auto R Bull CBBC Dec 2017 B	40,000,000	2017/03/16	2017/12/20	10.00
65782	Bank of East Asia - Tencent R Bull CBBC Dec 2017 F	40,000,000	2017/03/16	2017/12/20	10.00
65781	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 F	200,000,000	2017/03/16	2018/02/27	50.00
65835	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 M	200,000,000	2017/03/16	2017/06/29	50.00
65836	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 N	200,000,000	2017/03/16	2017/06/29	50.00
65765	HK Bank - HSI R Bear CBBC Oct 2017 K	100,000,000	2017/03/16	2017/10/30	25.00
65851	J P Morgan SP BV - CNOOC R Bull CBBC Mar 2018 A	80,000,000	2017/03/16	2018/03/16	20.00

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65852	J P Morgan SP BV - HSCEI R Bear CBBC Dec 2017 A	100,000,000	2017/03/16	2017/12/28	25.00
65850	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2017/03/16	2017/11/29	50.00
65854	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 C	200,000,000	2017/03/16	2017/06/29	50.00
65855	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 D	200,000,000	2017/03/16	2017/06/29	50.00
65771	Macquarie Bank Ltd. - Tencent R Bull CBBC Oct 2017 A	40,000,000	2017/03/16	2017/10/30	10.00
65780	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2017 A	40,000,000	2017/03/16	2017/10/30	10.00
65841	SG Issuer - HSI R Bull CBBC Jul 2018 L	200,000,000	2017/03/16	2018/07/30	50.00
65843	SG Issuer - HSI R Bull CBBC Aug 2018 H	200,000,000	2017/03/16	2018/08/30	50.00
65838	SG Issuer - HSI R Bear CBBC Jul 2017 K	200,000,000	2017/03/16	2017/07/28	50.00
65840	SG Issuer - HSI R Bear CBBC Aug 2017 P	200,000,000	2017/03/16	2017/08/30	50.00
65845	UBS AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2017/03/16	2017/09/28	50.00
65844	UBS AG - HSI R Bull CBBC Sep 2017 Z	250,000,000	2017/03/16	2017/09/28	62.50
65847	UBS AG - HSI R Bear CBBC Jun 2017 I	250,000,000	2017/03/16	2017/06/29	62.50
65857	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 P	250,000,000	2017/03/17	2018/02/27	62.50
65858	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 Q	250,000,000	2017/03/17	2018/02/27	62.50
65872	Credit Suisse AG - AIA R Bull CBBC Dec 2017 A	50,000,000	2017/03/17	2017/12/14	12.50
65871	Credit Suisse AG - China Shenhua R Bull CBBC Dec 2017 C	50,000,000	2017/03/17	2017/12/08	12.50
65873	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2018 A	50,000,000	2017/03/17	2018/01/12	12.50
65875	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2017 D	50,000,000	2017/03/17	2017/11/23	14.00
65863	Credit Suisse AG - HSI R Bull CBBC Jan 2018 K	200,000,000	2017/03/17	2018/01/30	50.00
65869	Credit Suisse AG - HSI R Bear CBBC Jun 2017 T	200,000,000	2017/03/17	2017/06/29	50.00
65893	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 N	200,000,000	2017/03/17	2018/01/30	50.00
65897	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 J	200,000,000	2017/03/17	2017/07/28	50.00
65918	J P Morgan SP BV - AIA R Bull CBBC Oct 2017 D	100,000,000	2017/03/17	2017/10/20	25.00
65912	J P Morgan SP BV - Geely Auto R Bull CBBC Feb 2018 B	60,000,000	2017/03/17	2018/02/15	15.00
65917	J P Morgan SP BV - Geely Auto R Bear CBBC Sep 2017 B	40,000,000	2017/03/17	2017/09/15	10.00
65907	J P Morgan SP BV - HSI R Bull CBBC Mar 2018 B	200,000,000	2017/03/17	2018/03/28	50.00
65909	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 E	200,000,000	2017/03/17	2017/06/29	50.00
65903	SG Issuer - HSI R Bull CBBC Sep 2018 A	200,000,000	2017/03/17	2018/09/27	50.00
65905	SG Issuer - HSI R Bull CBBC Oct 2018 C	200,000,000	2017/03/17	2018/10/30	50.00
65898	SG Issuer - HSI R Bear CBBC Jul 2017 L	200,000,000	2017/03/17	2017/07/28	50.00
65899	SG Issuer - HSI R Bear CBBC Sep 2017 C	200,000,000	2017/03/17	2017/09/28	50.00
65891	UBS AG - HSI R Bull CBBC Nov 2017 P	300,000,000	2017/03/17	2017/11/29	75.00
65880	UBS AG - HSI R Bull CBBC Jan 2018 S	250,000,000	2017/03/17	2018/01/30	62.50
65890	UBS AG - HSI R Bear CBBC Jun 2017 Q	250,000,000	2017/03/17	2017/06/29	62.50
65886	UBS AG - Petrochina R Bull CBBC Dec 2017 A	40,000,000	2017/03/17	2017/12/04	10.00
65931	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 C	250,000,000	2017/03/20	2018/08/30	62.50
65929	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 R	250,000,000	2017/03/20	2018/02/27	62.50
65975	Credit Suisse AG - HSI R Bull CBBC Jan 2018 G	200,000,000	2017/03/20	2018/01/30	50.00
65974	Credit Suisse AG - HSI R Bull CBBC Jan 2018 Z	200,000,000	2017/03/20	2018/01/30	50.00
65963	Credit Suisse AG - HSI R Bear CBBC Jun 2017 U	200,000,000	2017/03/20	2017/06/29	50.00
65965	Credit Suisse AG - HSI R Bear CBBC Jun 2017 V	200,000,000	2017/03/20	2017/06/29	50.00
65973	Credit Suisse AG - Ping An R Bear CBBC Dec 2017 A	40,000,000	2017/03/20	2017/12/20	10.00
65970	Credit Suisse AG - Tencent R Bull CBBC Dec 2017 G	50,000,000	2017/03/20	2017/12/28	12.50
65968	Credit Suisse AG - Tencent R Bear CBBC Nov 2017 E	50,000,000	2017/03/20	2017/11/29	24.00

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65984	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 O	200,000,000	2017/03/20	2018/01/30	50.00
66013	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 G	200,000,000	2017/03/20	2018/02/27	50.00
65930	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 K	200,000,000	2017/03/20	2017/07/28	50.00
66014	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 L	200,000,000	2017/03/20	2017/07/28	50.00
65937	HK Bank - CNOOC R Bull CBBC Jan 2018 A	50,000,000	2017/03/20	2018/01/22	12.50
65941	HK Bank - CNOOC R Bull CBBC Jul 2018 A	50,000,000	2017/03/20	2018/07/31	12.50
65944	HK Bank - Geely Auto R Bull CBBC Dec 2017 C	40,000,000	2017/03/20	2017/12/22	10.00
65951	HK Bank - Geely Auto R Bull CBBC Dec 2017 D	40,000,000	2017/03/20	2017/12/15	10.00
65945	HK Bank - Geely Auto R Bear CBBC Apr 2018 A	40,000,000	2017/03/20	2018/04/23	10.00
65952	HK Bank - Geely Auto R Bear CBBC Apr 2018 B	40,000,000	2017/03/20	2018/04/30	12.00
65934	HK Bank - HKEX R Bull CBBC Jan 2018 F	50,000,000	2017/03/20	2018/01/19	12.50
65938	HK Bank - HSI R Bull CBBC Oct 2017 R	80,000,000	2017/03/20	2017/10/30	20.00
65933	HK Bank - HSI R Bull CBBC Nov 2017 R	100,000,000	2017/03/20	2017/11/29	25.00
65953	HK Bank - Tencent R Bull CBBC Dec 2017 E	50,000,000	2017/03/20	2017/12/22	12.50
65982	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2017/03/20	2017/12/28	50.00
65983	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 S	200,000,000	2017/03/20	2018/01/30	50.00
65977	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 F	200,000,000	2017/03/20	2017/06/29	50.00
65978	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 G	200,000,000	2017/03/20	2017/06/29	50.00
65979	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 M	40,000,000	2017/03/20	2017/10/20	10.00
65989	SG Issuer - HSI R Bull CBBC Aug 2018 I	200,000,000	2017/03/20	2018/08/30	50.00
65991	SG Issuer - HSI R Bull CBBC Sep 2018 B	200,000,000	2017/03/20	2018/09/27	50.00
65988	SG Issuer - HSI R Bear CBBC Jul 2017 M	200,000,000	2017/03/20	2017/07/28	50.00
65999	UBS AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2017/03/20	2017/11/29	50.00
66009	UBS AG - HSI R Bull CBBC Dec 2017 E	200,000,000	2017/03/20	2017/12/28	50.00
65992	UBS AG - HSI R Bear CBBC Jun 2017 S	200,000,000	2017/03/20	2017/06/29	50.00
65995	UBS AG - HSI R Bear CBBC Jun 2017 X	200,000,000	2017/03/20	2017/06/29	50.00
65994	UBS AG - HSI R Bear CBBC Jul 2017 D	250,000,000	2017/03/20	2017/07/28	62.50
66015	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 S	250,000,000	2017/03/21	2018/02/27	62.50
66051	Credit Suisse AG - CCB R Bull CBBC Jan 2018 B	50,000,000	2017/03/21	2018/01/11	12.50
66056	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2017 E	50,000,000	2017/03/21	2017/12/15	12.50
66053	Credit Suisse AG - Galaxy Ent R Bear CBBC Nov 2017 A	50,000,000	2017/03/21	2017/11/10	12.50
66059	Credit Suisse AG - HSI R Bull CBBC Nov 2017 I	300,000,000	2017/03/21	2017/11/29	75.00
66058	Credit Suisse AG - HSI R Bull CBBC Jan 2018 I	200,000,000	2017/03/21	2018/01/30	50.00
66044	Credit Suisse AG - HSI R Bear CBBC Jul 2017 I	200,000,000	2017/03/21	2017/07/28	50.00
66060	Credit Suisse AG - ICBC R Bull CBBC Feb 2018 A	50,000,000	2017/03/21	2018/02/28	12.50
66057	Credit Suisse AG - Tencent R Bull CBBC Jan 2018 C	50,000,000	2017/03/21	2018/01/12	12.50
66041	Bank of East Asia - China Shenhua R Bull CBBC Mar 2018 A	30,000,000	2017/03/21	2018/03/22	10.50
66043	Bank of East Asia - Geely Auto R Bull CBBC Mar 2018 A	40,000,000	2017/03/21	2018/03/22	10.00
66030	Bank of East Asia - PetCh R Bull CBBC Mar 2018 A	40,000,000	2017/03/21	2018/03/22	10.00
66026	Bank of East Asia - Tencent R Bull CBBC Mar 2018 A	40,000,000	2017/03/21	2018/03/22	10.00
66075	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 R	200,000,000	2017/03/21	2018/01/30	50.00
66019	HK Bank - HSCEI R Bull CBBC Oct 2017 G	60,000,000	2017/03/21	2017/10/30	15.00
66018	HK Bank - HSI R Bull CBBC Nov 2017 S	100,000,000	2017/03/21	2017/11/29	25.00
66020	HK Bank - HSI R Bull CBBC Nov 2017 T	100,000,000	2017/03/21	2017/11/29	25.00
66071	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Z	200,000,000	2017/03/21	2018/01/30	50.00

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66072	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 H	200,000,000	2017/03/21	2017/06/29	50.00
66074	J P Morgan SP BV - SHK Ppt R Bear CBBC Oct 2017 A	40,000,000	2017/03/21	2017/10/20	10.00
66061	UBS AG - HSI R Bull CBBC Sep 2017 M	250,000,000	2017/03/21	2017/09/28	62.50
66063	UBS AG - HSI R Bear CBBC Jun 2017 U	200,000,000	2017/03/21	2017/06/29	50.00
66067	UBS AG - Tencent R Bull CBBC Jun 2017 H	50,000,000	2017/03/21	2017/06/26	12.50
66068	UBS AG - Tencent R Bear CBBC Jun 2017 A	50,000,000	2017/03/21	2017/06/26	12.50
66104	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 T	250,000,000	2017/03/22	2018/02/27	62.50
66088	Credit Suisse AG - HKEX R Bull CBBC Nov 2017 F	50,000,000	2017/03/22	2017/11/30	12.50
66086	Credit Suisse AG - HSI R Bull CBBC Jan 2018 J	200,000,000	2017/03/22	2018/01/30	50.00
66087	Credit Suisse AG - HSI R Bear CBBC Aug 2017 F	300,000,000	2017/03/22	2017/08/30	75.00
66084	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 P	200,000,000	2017/03/22	2018/01/30	50.00
66083	HK Bank - HKEX R Bull CBBC Jan 2018 G	50,000,000	2017/03/22	2018/01/12	12.50
66080	HK Bank - HSI R Bear CBBC Sep 2017 G	100,000,000	2017/03/22	2017/09/28	25.00
66078	HK Bank - Tencent R Bear CBBC Jan 2018 A	40,000,000	2017/03/22	2018/01/12	10.00
66109	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 L	40,000,000	2017/03/22	2017/12/15	10.00
66110	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 I	200,000,000	2017/03/22	2017/11/29	50.00
66106	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 P	40,000,000	2017/03/22	2017/11/17	10.00
66093	Macquarie Bank Ltd. - HSBC R Bull CBBC Mar 2018 A	40,000,000	2017/03/22	2018/03/28	10.00
66098	Macquarie Bank Ltd. - HSBC R Bear CBBC Mar 2018 A	40,000,000	2017/03/22	2018/03/28	10.00
66089	Macquarie Bank Ltd. - Ping An R Bull CBBC Mar 2018 A	40,000,000	2017/03/22	2018/03/28	10.00
66113	UBS AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2017/03/22	2017/10/30	50.00
66112	UBS AG - HSI R Bull CBBC Dec 2017 W	200,000,000	2017/03/22	2017/12/28	50.00
66115	UBS AG - HSI R Bear CBBC Jun 2017 W	250,000,000	2017/03/22	2017/06/29	62.50
66174	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 D	250,000,000	2017/03/23	2018/08/30	62.50
66177	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 E	250,000,000	2017/03/23	2018/08/30	62.50
66178	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 F	250,000,000	2017/03/23	2018/08/30	62.50
66182	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 G	250,000,000	2017/03/23	2018/08/30	62.50
66183	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 H	250,000,000	2017/03/23	2018/08/30	62.50
66196	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 K	250,000,000	2017/03/23	2018/02/27	62.50
66197	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 N	250,000,000	2017/03/23	2018/02/27	62.50
66117	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 U	250,000,000	2017/03/23	2018/02/27	62.50
66122	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 V	250,000,000	2017/03/23	2018/02/27	62.50
66155	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 W	250,000,000	2017/03/23	2018/02/27	62.50
66184	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 X	250,000,000	2017/03/23	2018/02/27	62.50
66185	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 Y	250,000,000	2017/03/23	2018/02/27	62.50
66195	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 Z	250,000,000	2017/03/23	2018/02/27	62.50
66253	Credit Suisse AG - China Mobile R Bull CBBC Jan 2018 A	50,000,000	2017/03/23	2018/01/25	12.50
66256	Credit Suisse AG - CUni R Bull CBBC Feb 2018 A	50,000,000	2017/03/23	2018/02/08	12.50
66251	Credit Suisse AG - CNOOC R Bull CBBC Dec 2017 A	50,000,000	2017/03/23	2017/12/13	12.50
66250	Credit Suisse AG - China Shenhua R Bull CBBC Jan 2018 A	50,000,000	2017/03/23	2018/01/05	12.50
66266	Credit Suisse AG - HSI R Bull CBBC Feb 2018 A	200,000,000	2017/03/23	2018/02/27	50.00
66267	Credit Suisse AG - HSI R Bull CBBC Feb 2018 B	200,000,000	2017/03/23	2018/02/27	50.00
66271	Credit Suisse AG - HSI R Bull CBBC Feb 2018 C	150,000,000	2017/03/23	2018/02/27	37.50
66273	Credit Suisse AG - HSI R Bull CBBC Feb 2018 D	200,000,000	2017/03/23	2018/02/27	50.00
66279	Credit Suisse AG - HSI R Bull CBBC Feb 2018 E	150,000,000	2017/03/23	2018/02/27	37.50

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66248	Credit Suisse AG - HSI R Bear CBBC Jun 2017 W	200,000,000	2017/03/23	2017/06/29	50.00
66249	Credit Suisse AG - HSI R Bear CBBC Jun 2017 X	200,000,000	2017/03/23	2017/06/29	50.00
66258	Credit Suisse AG - HSI R Bear CBBC Jun 2017 Y	200,000,000	2017/03/23	2017/06/29	50.00
66263	Credit Suisse AG - HSI R Bear CBBC Jun 2017 Z	200,000,000	2017/03/23	2017/06/29	50.00
66260	Credit Suisse AG - HSI R Bear CBBC Jul 2017 J	200,000,000	2017/03/23	2017/07/28	50.00
66262	Credit Suisse AG - HSI R Bear CBBC Jul 2017 K	200,000,000	2017/03/23	2017/07/28	50.00
66272	Credit Suisse AG - HSI R Bear CBBC Aug 2017 G	150,000,000	2017/03/23	2017/08/30	37.50
66278	Credit Suisse AG - HSI R Bear CBBC Aug 2017 H	150,000,000	2017/03/23	2017/08/30	37.50
66257	Credit Suisse AG - Tencent R Bull CBBC Jan 2018 D	50,000,000	2017/03/23	2018/01/11	12.50
66269	Credit Suisse AG - Tencent R Bear CBBC Nov 2017 F	50,000,000	2017/03/23	2017/11/29	27.00
66208	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 Q	200,000,000	2017/03/23	2018/01/30	50.00
66201	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 S	200,000,000	2017/03/23	2018/01/30	50.00
66123	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 O	200,000,000	2017/03/23	2017/06/29	50.00
66125	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 M	200,000,000	2017/03/23	2017/07/28	50.00
66130	HK Bank - HSCEI R Bull CBBC Oct 2017 H	60,000,000	2017/03/23	2017/10/30	15.00
66128	HK Bank - HSI R Bull CBBC Oct 2017 S	100,000,000	2017/03/23	2017/10/30	25.00
66127	HK Bank - HSI R Bull CBBC Nov 2017 U	100,000,000	2017/03/23	2017/11/29	25.00
66126	HK Bank - HSI R Bull CBBC Dec 2017 K	100,000,000	2017/03/23	2017/12/28	25.00
66129	HK Bank - HSI R Bear CBBC Oct 2017 L	150,000,000	2017/03/23	2017/10/30	37.50
66132	HK Bank - Tencent R Bull CBBC Mar 2018 E	50,000,000	2017/03/23	2018/03/12	12.50
66133	HK Bank - Tencent R Bull CBBC Mar 2018 F	50,000,000	2017/03/23	2018/03/26	12.50
66138	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 N	200,000,000	2017/03/23	2017/12/28	50.20
66139	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 O	200,000,000	2017/03/23	2017/12/28	50.00
66142	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 P	200,000,000	2017/03/23	2017/12/28	50.00
66134	Haitong Int'l Sec - HSI R Bull CBBC Jan 2018 C	200,000,000	2017/03/23	2018/01/30	50.20
66147	Haitong Int'l Sec - HSI R Bear CBBC Jun 2017 A	200,000,000	2017/03/23	2017/06/29	50.00
66152	Haitong Int'l Sec - HSI R Bear CBBC Jul 2017 A	200,000,000	2017/03/23	2017/07/28	50.20
66154	Haitong Int'l Sec - HSI R Bear CBBC Aug 2017 A	200,000,000	2017/03/23	2017/08/30	50.20
66151	Haitong Int'l Sec - HSI R Bear CBBC Nov 2017 A	200,000,000	2017/03/23	2017/11/29	50.40
66236	J P Morgan SP BV - BOCL R Bull CBBC Jan 2018 B	150,000,000	2017/03/23	2018/01/19	37.50
66237	J P Morgan SP BV - CC Bank R Bull CBBC Jan 2018 B	100,000,000	2017/03/23	2018/01/19	25.00
66240	J P Morgan SP BV - China Life R Bull CBBC Nov 2017 F	40,000,000	2017/03/23	2017/11/17	10.00
66234	J P Morgan SP BV - CNOOC R Bull CBBC Dec 2017 B	80,000,000	2017/03/23	2017/12/15	20.00
66229	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 L	40,000,000	2017/03/23	2017/11/17	10.00
66235	J P Morgan SP BV - HSBC R Bull CBBC Jan 2018 E	100,000,000	2017/03/23	2018/01/19	25.00
66243	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 V	200,000,000	2017/03/23	2018/02/27	50.00
66245	J P Morgan SP BV - HSI R Bull CBBC Mar 2018 C	200,000,000	2017/03/23	2018/03/28	50.00
66244	J P Morgan SP BV - HSI R Bull CBBC Aug 2018 A	200,000,000	2017/03/23	2018/08/30	50.00
66247	J P Morgan SP BV - HSI R Bull CBBC Aug 2018 B	200,000,000	2017/03/23	2018/08/30	50.00
66220	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 I	200,000,000	2017/03/23	2017/06/29	50.00
66221	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 J	200,000,000	2017/03/23	2017/06/29	50.00
66225	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 K	200,000,000	2017/03/23	2017/06/29	50.00
66232	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 P	40,000,000	2017/03/23	2017/12/15	10.00
66233	J P Morgan SP BV - Tencent R Bear CBBC Mar 2018 A	40,000,000	2017/03/23	2018/03/16	10.00
66286	SG Issuer - HSI R Bull CBBC Aug 2018 J	200,000,000	2017/03/23	2018/08/30	50.00

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66287	SG Issuer - HSI R Bull CBBC Aug 2018 K	200,000,000	2017/03/23	2018/08/30	50.00
66288	SG Issuer - HSI R Bull CBBC Sep 2018 C	200,000,000	2017/03/23	2018/09/27	50.00
66292	SG Issuer - HSI R Bull CBBC Sep 2018 D	200,000,000	2017/03/23	2018/09/27	50.00
66293	SG Issuer - HSI R Bull CBBC Sep 2018 E	200,000,000	2017/03/23	2018/09/27	50.00
66294	SG Issuer - HSI R Bull CBBC Oct 2018 D	200,000,000	2017/03/23	2018/10/30	50.00
66280	SG Issuer - HSI R Bear CBBC Jul 2017 N	200,000,000	2017/03/23	2017/07/28	50.00
66281	SG Issuer - HSI R Bear CBBC Jul 2017 O	200,000,000	2017/03/23	2017/07/28	50.00
66283	SG Issuer - HSI R Bear CBBC Aug 2017 Q	200,000,000	2017/03/23	2017/08/30	50.00
66284	SG Issuer - HSI R Bear CBBC Aug 2017 R	200,000,000	2017/03/23	2017/08/30	50.00
66217	UBS AG - HSI R Bull CBBC Dec 2017 P	250,000,000	2017/03/23	2017/12/28	62.50
66209	UBS AG - HSI R Bull CBBC Dec 2017 R	250,000,000	2017/03/23	2017/12/28	62.50
66215	UBS AG - HSI R Bull CBBC Jan 2018 T	200,000,000	2017/03/23	2018/01/30	50.00
66157	UBS AG - HSI R Bear CBBC Jun 2017 R	200,000,000	2017/03/23	2017/06/29	50.00
66156	UBS AG - HSI R Bear CBBC Jun 2017 Y	250,000,000	2017/03/23	2017/06/29	62.50
66162	UBS AG - HSI R Bear CBBC Jun 2017 Z	250,000,000	2017/03/23	2017/06/29	62.50
66164	UBS AG - Tencent R Bull CBBC Jul 2017 B	40,000,000	2017/03/23	2017/07/04	10.00
66168	UBS AG - Tencent R Bull CBBC Jul 2017 C	40,000,000	2017/03/23	2017/07/11	10.00
66170	UBS AG - Tencent R Bull CBBC Jul 2017 D	40,000,000	2017/03/23	2017/07/18	10.00
66171	UBS AG - Tencent R Bear CBBC Jun 2017 B	40,000,000	2017/03/23	2017/06/28	10.00
66299	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 M	250,000,000	2017/03/24	2018/02/27	62.50
66344	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Aug 2018 A	50,000,000	2017/03/24	2018/08/30	12.50
66424	Credit Suisse AG - CUni R Bull CBBC Jan 2018 A	50,000,000	2017/03/24	2018/01/18	12.50
66423	Credit Suisse AG - China Life R Bull CBBC Nov 2017 D	50,000,000	2017/03/24	2017/11/23	12.50
66425	Credit Suisse AG - China Life R Bear CBBC Jan 2018 A	50,000,000	2017/03/24	2018/01/18	12.50
66422	Credit Suisse AG - HSCEI R Bull CBBC Dec 2017 E	100,000,000	2017/03/24	2017/12/28	25.00
66409	Credit Suisse AG - HSI R Bull CBBC Feb 2018 F	200,000,000	2017/03/24	2018/02/27	50.00
66410	Credit Suisse AG - HSI R Bull CBBC Feb 2018 G	200,000,000	2017/03/24	2018/02/27	50.00
66413	Credit Suisse AG - HSI R Bull CBBC Feb 2018 H	200,000,000	2017/03/24	2018/02/27	50.00
66420	Credit Suisse AG - HSI R Bull CBBC Feb 2018 I	150,000,000	2017/03/24	2018/02/27	37.50
66428	Credit Suisse AG - HSI R Bear CBBC Jun 2017 A	200,000,000	2017/03/24	2017/06/29	50.00
66414	Credit Suisse AG - HSI R Bear CBBC Jul 2017 L	200,000,000	2017/03/24	2017/07/28	50.00
66418	Credit Suisse AG - HSI R Bear CBBC Aug 2017 I	150,000,000	2017/03/24	2017/08/30	37.50
66427	Credit Suisse AG - Ping An R Bull CBBC Jan 2018 A	50,000,000	2017/03/24	2018/01/18	12.50
66426	Credit Suisse AG - Sands China R Bull CBBC Dec 2018 A	50,000,000	2017/03/24	2018/12/21	12.50
66460	Credit Suisse AG - Tencent R Bull CBBC Jan 2018 E	50,000,000	2017/03/24	2018/01/18	12.50
66346	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2017 F	18,000,000	2017/03/24	2017/12/22	10.08
66351	Bank of East Asia - HKEX R Bull CBBC Dec 2017 D	40,000,000	2017/03/24	2017/12/22	10.00
66352	Bank of East Asia - Ping An R Bull CBBC Dec 2017 E	16,000,000	2017/03/24	2017/12/22	10.40
66350	Bank of East Asia - Tencent R Bull CBBC Dec 2017 G	40,000,000	2017/03/24	2017/12/22	10.00
66335	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 T	200,000,000	2017/03/24	2018/01/30	50.00
66336	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 U	200,000,000	2017/03/24	2018/01/30	50.00
66337	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 V	200,000,000	2017/03/24	2018/01/30	50.00
66300	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 P	200,000,000	2017/03/24	2017/06/29	50.00
66301	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 Q	200,000,000	2017/03/24	2017/06/29	50.00
66303	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 R	200,000,000	2017/03/24	2017/06/29	50.00

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66305	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 N	200,000,000	2017/03/24	2017/07/28	50.00
66309	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 O	200,000,000	2017/03/24	2017/07/28	50.00
66310	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 P	200,000,000	2017/03/24	2017/07/28	50.00
66330	HK Bank - China Mobile R Bull CBBC Mar 2018 A	50,000,000	2017/03/24	2018/03/12	12.50
66321	HK Bank - CUni R Bull CBBC Mar 2018 B	40,000,000	2017/03/24	2018/03/12	10.00
66334	HK Bank - Geely Auto R Bear CBBC Mar 2018 E	40,000,000	2017/03/24	2018/03/12	10.00
66322	HK Bank - Galaxy Ent R Bull CBBC Mar 2018 B	80,000,000	2017/03/24	2018/03/12	20.00
66311	HK Bank - HSCEI R Bull CBBC Oct 2017 I	60,000,000	2017/03/24	2017/10/30	15.00
66313	HK Bank - HSI R Bull CBBC Oct 2017 T	100,000,000	2017/03/24	2017/10/30	25.00
66312	HK Bank - HSI R Bull CBBC Nov 2017 V	100,000,000	2017/03/24	2017/11/29	25.00
66333	HK Bank - PetCh R Bear CBBC Mar 2018 A	40,000,000	2017/03/24	2018/03/12	10.00
66319	HK Bank - Tencent R Bull CBBC Mar 2018 G	60,000,000	2017/03/24	2018/03/26	15.00
66320	HK Bank - Tencent R Bull CBBC Mar 2018 H	60,000,000	2017/03/24	2018/03/12	15.00
66450	J P Morgan SP BV - China Mobile R Bull CBBC Jan 2018 B	60,000,000	2017/03/24	2018/01/19	15.00
66447	J P Morgan SP BV - CUni R Bull CBBC Feb 2018 A	60,000,000	2017/03/24	2018/02/15	15.00
66446	J P Morgan SP BV - Geely Auto R Bull CBBC Dec 2017 F	60,000,000	2017/03/24	2017/12/15	15.00
66457	J P Morgan SP BV - Galaxy Ent R Bull CBBC Feb 2018 A	150,000,000	2017/03/24	2018/02/15	37.50
66438	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Q	200,000,000	2017/03/24	2018/01/30	50.00
66440	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 W	200,000,000	2017/03/24	2018/02/27	50.00
66439	J P Morgan SP BV - HSI R Bull CBBC Aug 2018 C	200,000,000	2017/03/24	2018/08/30	50.00
66441	J P Morgan SP BV - HSI R Bull CBBC Aug 2018 D	200,000,000	2017/03/24	2018/08/30	50.00
66432	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 L	200,000,000	2017/03/24	2017/06/29	50.00
66453	J P Morgan SP BV - ICBC R Bull CBBC Jan 2018 B	100,000,000	2017/03/24	2018/01/19	25.00
66452	J P Morgan SP BV - Ping An R Bull CBBC Feb 2018 A	150,000,000	2017/03/24	2018/02/15	37.50
66459	J P Morgan SP BV - Sands China R Bull CBBC Jan 2018 A	150,000,000	2017/03/24	2018/01/19	37.50
66443	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 Q	40,000,000	2017/03/24	2017/11/17	10.00
66442	J P Morgan SP BV - Tencent R Bull CBBC Jan 2018 D	40,000,000	2017/03/24	2018/01/19	10.00
66455	J P Morgan SP BV - Tencent R Bear CBBC Apr 2018 A	40,000,000	2017/03/24	2018/04/20	12.80
66356	SG Issuer - HSI R Bull CBBC Aug 2018 L	200,000,000	2017/03/24	2018/08/30	50.00
66360	SG Issuer - HSI R Bull CBBC Sep 2018 F	200,000,000	2017/03/24	2018/09/27	50.00
66377	SG Issuer - HSI R Bull CBBC Sep 2018 G	200,000,000	2017/03/24	2018/09/27	50.00
66379	SG Issuer - HSI R Bull CBBC Oct 2018 E	200,000,000	2017/03/24	2018/10/30	50.00
66380	SG Issuer - HSI R Bull CBBC Oct 2018 F	200,000,000	2017/03/24	2018/10/30	50.00
66353	SG Issuer - HSI R Bear CBBC Jul 2017 P	200,000,000	2017/03/24	2017/07/28	50.00
66355	SG Issuer - HSI R Bear CBBC Aug 2017 S	200,000,000	2017/03/24	2017/08/30	50.00
66394	UBS AG - HKEX R Bull CBBC Sep 2017 H	40,000,000	2017/03/24	2017/09/05	10.00
66395	UBS AG - HKEX R Bull CBBC Sep 2017 I	40,000,000	2017/03/24	2017/09/11	10.00
66398	UBS AG - HKEX R Bull CBBC Sep 2017 J	40,000,000	2017/03/24	2017/09/20	10.00
66400	UBS AG - HSI R Bull CBBC Sep 2017 A	250,000,000	2017/03/24	2017/09/28	62.50
66401	UBS AG - HSI R Bull CBBC Sep 2017 Y	250,000,000	2017/03/24	2017/09/28	62.50
66399	UBS AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2017/03/24	2017/11/29	50.00
66405	UBS AG - HSI R Bull CBBC Jan 2018 U	250,000,000	2017/03/24	2018/01/30	62.50
66383	UBS AG - HSI R Bear CBBC Jun 2017 C	250,000,000	2017/03/24	2017/06/29	62.50
66384	UBS AG - HSI R Bear CBBC Jun 2017 H	200,000,000	2017/03/24	2017/06/29	50.00
66385	UBS AG - HSI R Bear CBBC Jun 2017 I	200,000,000	2017/03/24	2017/06/29	50.00

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66386	UBS AG - HSI R Bear CBBC Jun 2017 L	200,000,000	2017/03/24	2017/06/29	50.00
66388	UBS AG - HSI R Bear CBBC Jun 2017 M	200,000,000	2017/03/24	2017/06/29	50.00
66391	UBS AG - HSI R Bear CBBC Aug 2017 A	250,000,000	2017/03/24	2017/08/30	82.50
66406	UBS AG - Ping An R Bull CBBC Oct 2017 D	40,000,000	2017/03/24	2017/10/10	10.00
66574	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2018 A	100,000,000	2017/03/27	2018/02/27	25.00
66575	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2018 B	100,000,000	2017/03/27	2018/02/27	25.00
66576	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2018 C	100,000,000	2017/03/27	2018/02/27	25.00
66509	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 I	250,000,000	2017/03/27	2018/08/30	62.50
66510	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 J	250,000,000	2017/03/27	2018/08/30	62.50
66512	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2018 K	250,000,000	2017/03/27	2018/08/30	62.50
66468	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 A	250,000,000	2017/03/27	2018/02/27	62.50
66466	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 L	250,000,000	2017/03/27	2018/02/27	62.50
66467	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 O	250,000,000	2017/03/27	2018/02/27	62.50
66635	Credit Suisse AG - China Mobile R Bull CBBC Dec 2017 D	50,000,000	2017/03/27	2017/12/08	12.50
66638	Credit Suisse AG - China Shenhua R Bull CBBC Dec 2017 D	50,000,000	2017/03/27	2017/12/15	12.50
66642	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2017 D	50,000,000	2017/03/27	2017/12/14	12.50
66627	Credit Suisse AG - Galaxy Ent R Bull CBBC Jan 2018 A	50,000,000	2017/03/27	2018/01/18	12.50
66628	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2017 D	50,000,000	2017/03/27	2017/12/08	12.50
66631	Credit Suisse AG - HKEX R Bull CBBC Dec 2017 E	50,000,000	2017/03/27	2017/12/14	12.50
66623	Credit Suisse AG - HSI R Bull CBBC Feb 2018 J	200,000,000	2017/03/27	2018/02/27	50.00
66624	Credit Suisse AG - HSI R Bull CBBC Feb 2018 K	200,000,000	2017/03/27	2018/02/27	50.00
66640	Credit Suisse AG - HSI R Bull CBBC Feb 2018 L	200,000,000	2017/03/27	2018/02/27	50.00
66614	Credit Suisse AG - HSI R Bear CBBC Jun 2017 B	200,000,000	2017/03/27	2017/06/29	50.00
66619	Credit Suisse AG - HSI R Bear CBBC Jun 2017 K	200,000,000	2017/03/27	2017/06/29	50.00
66613	Credit Suisse AG - HSI R Bear CBBC Jul 2017 M	200,000,000	2017/03/27	2017/07/28	50.00
66615	Credit Suisse AG - HSI R Bear CBBC Jul 2017 N	200,000,000	2017/03/27	2017/07/28	50.00
66622	Credit Suisse AG - HSI R Bear CBBC Jul 2017 O	200,000,000	2017/03/27	2017/07/28	54.00
66639	Credit Suisse AG - HSI R Bear CBBC Jul 2017 P	200,000,000	2017/03/27	2017/07/28	50.00
66641	Credit Suisse AG - HSI R Bear CBBC Jul 2017 Q	200,000,000	2017/03/27	2017/07/28	50.00
66620	Credit Suisse AG - HSI R Bear CBBC Aug 2017 J	200,000,000	2017/03/27	2017/08/30	50.00
66629	Credit Suisse AG - Tencent R Bull CBBC Jan 2018 F	50,000,000	2017/03/27	2018/01/19	12.50
66483	Bank of East Asia - China Mobile R Bull CBBC Mar 2018 A	40,000,000	2017/03/27	2018/03/08	10.00
66482	Bank of East Asia - China Shenhua R Bull CBBC Mar 2018 B	30,000,000	2017/03/27	2018/03/08	10.50
66480	Bank of East Asia - HKEX R Bull CBBC Mar 2018 A	40,000,000	2017/03/27	2018/03/08	10.00
66579	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 W	200,000,000	2017/03/27	2018/01/30	50.00
66580	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 X	200,000,000	2017/03/27	2018/01/30	50.00
66462	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 S	200,000,000	2017/03/27	2017/06/29	50.00
66463	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 T	200,000,000	2017/03/27	2017/06/29	50.00
66465	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 U	200,000,000	2017/03/27	2017/06/29	50.00
66525	HK Bank - China Mobile R Bull CBBC Dec 2017 C	50,000,000	2017/03/27	2017/12/15	12.50
66526	HK Bank - China Shenhua R Bull CBBC Dec 2017 A	40,000,000	2017/03/27	2017/12/22	14.00
66527	HK Bank - China Shenhua R Bull CBBC Dec 2017 B	40,000,000	2017/03/27	2017/12/15	10.00
66513	HK Bank - Geely Auto R Bull CBBC Dec 2017 E	40,000,000	2017/03/27	2017/12/22	10.00
66521	HK Bank - HKEX R Bull CBBC Dec 2017 H	50,000,000	2017/03/27	2017/12/22	12.50
66523	HK Bank - HKEX R Bull CBBC Dec 2017 I	50,000,000	2017/03/27	2017/12/15	12.50

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66524	HK Bank - HSI R Bull CBBC Dec 2017 L	100,000,000	2017/03/27	2017/12/28	25.00
66517	HK Bank - Tencent R Bull CBBC Dec 2017 F	60,000,000	2017/03/27	2017/12/22	15.00
66519	HK Bank - Tencent R Bull CBBC Dec 2017 G	60,000,000	2017/03/27	2017/12/15	15.00
66477	Haitong Int'l Sec - Geely Auto R Bull CBBC Feb 2018 A	40,000,000	2017/03/27	2018/02/08	10.00
66474	Haitong Int'l Sec - HKEX R Bull CBBC Feb 2018 A	40,000,000	2017/03/27	2018/02/06	10.00
66478	Haitong Int'l Sec - HSBC R Bull CBBC Feb 2018 A	60,000,000	2017/03/27	2018/02/09	15.00
66476	Haitong Int'l Sec - SMIC R Bull CBBC Feb 2018 A	40,000,000	2017/03/27	2018/02/07	10.00
66473	Haitong Int'l Sec - Tencent R Bull CBBC Feb 2018 B	40,000,000	2017/03/27	2018/02/05	10.00
66607	J P Morgan SP BV - China Mobile R Bull CBBC Feb 2018 A	100,000,000	2017/03/27	2018/02/15	25.00
66611	J P Morgan SP BV - CUni R Bull CBBC Mar 2018 A	100,000,000	2017/03/27	2018/03/16	25.00
66603	J P Morgan SP BV - HKEX R Bull CBBC Feb 2018 B	40,000,000	2017/03/27	2018/02/15	10.00
66601	J P Morgan SP BV - HKEX R Bull CBBC Mar 2018 A	40,000,000	2017/03/27	2018/03/16	10.00
66605	J P Morgan SP BV - HKEX R Bear CBBC Mar 2018 A	40,000,000	2017/03/27	2018/03/16	10.80
66612	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2018 A	100,000,000	2017/03/27	2018/07/30	25.00
66592	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 X	200,000,000	2017/03/27	2018/02/27	66.00
66582	J P Morgan SP BV - HSI R Bull CBBC Mar 2018 D	200,000,000	2017/03/27	2018/03/28	50.00
66584	J P Morgan SP BV - HSI R Bull CBBC Aug 2018 E	200,000,000	2017/03/27	2018/08/30	50.00
66581	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 M	200,000,000	2017/03/27	2017/06/29	50.00
66586	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 N	200,000,000	2017/03/27	2017/06/29	50.00
66587	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 Y	200,000,000	2017/03/27	2017/07/28	50.00
66589	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 Z	200,000,000	2017/03/27	2017/07/28	66.00
66594	J P Morgan SP BV - Tencent R Bull CBBC Jan 2018 E	40,000,000	2017/03/27	2018/01/19	10.00
66595	J P Morgan SP BV - Tencent R Bull CBBC Feb 2018 A	40,000,000	2017/03/27	2018/02/15	10.00
66596	J P Morgan SP BV - Tencent R Bull CBBC Feb 2018 B	40,000,000	2017/03/27	2018/02/15	10.00
66597	J P Morgan SP BV - Tencent R Bear CBBC Mar 2018 B	40,000,000	2017/03/27	2018/03/16	12.00
66598	J P Morgan SP BV - Tencent R Bear CBBC Apr 2018 B	40,000,000	2017/03/27	2018/04/20	13.60
66479	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2017 B	40,000,000	2017/03/27	2017/10/31	10.00
66486	SG Issuer - Galaxy R Bull CBBC Dec 2017 B	100,000,000	2017/03/27	2017/12/28	25.00
66487	SG Issuer - Galaxy R Bull CBBC Jan 2018 C	100,000,000	2017/03/27	2018/01/30	25.00
66491	SG Issuer - HKEX R Bull CBBC Dec 2017 E	100,000,000	2017/03/27	2017/12/28	25.00
66492	SG Issuer - HKEX R Bull CBBC Jan 2018 C	100,000,000	2017/03/27	2018/01/30	25.00
66493	SG Issuer - HKEX R Bull CBBC Jan 2018 D	100,000,000	2017/03/27	2018/01/30	25.00
66570	SG Issuer - HSI R Bull CBBC Aug 2018 M	200,000,000	2017/03/27	2018/08/30	50.00
66571	SG Issuer - HSI R Bull CBBC Sep 2018 H	200,000,000	2017/03/27	2018/09/27	50.00
66572	SG Issuer - HSI R Bull CBBC Sep 2018 I	200,000,000	2017/03/27	2018/09/27	50.00
66573	SG Issuer - HSI R Bull CBBC Oct 2018 G	200,000,000	2017/03/27	2018/10/30	50.00
66561	SG Issuer - HSI R Bear CBBC Jul 2017 Q	200,000,000	2017/03/27	2017/07/28	50.00
66562	SG Issuer - HSI R Bear CBBC Jul 2017 R	200,000,000	2017/03/27	2017/07/28	50.00
66563	SG Issuer - HSI R Bear CBBC Aug 2017 T	200,000,000	2017/03/27	2017/08/30	50.00
66564	SG Issuer - HSI R Bear CBBC Aug 2017 U	200,000,000	2017/03/27	2017/08/30	50.00
66565	SG Issuer - HSI R Bear CBBC Aug 2017 V	200,000,000	2017/03/27	2017/08/30	50.00
66567	SG Issuer - HSI R Bear CBBC Aug 2017 W	200,000,000	2017/03/27	2017/08/30	50.00
66496	SG Issuer - Tencent R Bull CBBC Dec 2017 C	100,000,000	2017/03/27	2017/12/28	25.00
66498	SG Issuer - Tencent R Bull CBBC Dec 2017 D	100,000,000	2017/03/27	2017/12/28	25.00
66500	SG Issuer - Tencent R Bull CBBC Dec 2017 E	100,000,000	2017/03/27	2017/12/28	25.00

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66502	SG Issuer - Tencent R Bull CBBC Jan 2018 D	100,000,000	2017/03/27	2018/01/30	25.00
66503	SG Issuer - Tencent R Bull CBBC Jan 2018 E	100,000,000	2017/03/27	2018/01/30	25.00
66506	SG Issuer - Tencent R Bull CBBC Jan 2018 F	100,000,000	2017/03/27	2018/01/30	25.00
66577	UBS AG - China Mobile R Bull CBBC Sep 2017 A	40,000,000	2017/03/27	2017/09/25	10.00
66538	UBS AG - HSCEI R Bull CBBC Sep 2017 H	100,000,000	2017/03/27	2017/09/28	25.00
66540	UBS AG - HSCEI R Bull CBBC Sep 2017 I	100,000,000	2017/03/27	2017/09/28	25.00
66548	UBS AG - HSI R Bull CBBC Sep 2017 O	200,000,000	2017/03/27	2017/09/28	50.00
66550	UBS AG - HSI R Bull CBBC Sep 2017 X	250,000,000	2017/03/27	2017/09/28	62.50
66559	UBS AG - HSI R Bull CBBC Jan 2018 V	200,000,000	2017/03/27	2018/01/30	50.00
66560	UBS AG - HSI R Bull CBBC Jan 2018 W	250,000,000	2017/03/27	2018/01/30	62.50
66530	UBS AG - HSI R Bear CBBC Jun 2017 P	200,000,000	2017/03/27	2017/06/29	50.00
66537	UBS AG - HSI R Bear CBBC Jun 2017 Q	200,000,000	2017/03/27	2017/06/29	50.00
66531	UBS AG - HSI R Bear CBBC Jun 2017 T	250,000,000	2017/03/27	2017/06/29	62.50
66532	UBS AG - HSI R Bear CBBC Jun 2017 V	250,000,000	2017/03/27	2017/06/29	62.50
66543	UBS AG - Tencent R Bull CBBC Sep 2017 E	40,000,000	2017/03/27	2017/09/26	10.00
66544	UBS AG - Tencent R Bear CBBC Jul 2017 A	40,000,000	2017/03/27	2017/07/10	10.00
66547	UBS AG - Tencent R Bear CBBC Aug 2017 A	40,000,000	2017/03/27	2017/08/21	10.00
66646	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2018 D	100,000,000	2017/03/28	2018/02/27	25.00
66643	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 J	250,000,000	2017/03/28	2018/02/27	62.50
66768	Credit Suisse AG - BOCL R Bull CBBC Jan 2018 A	50,000,000	2017/03/28	2018/01/17	12.50
66772	Credit Suisse AG - China Mobile R Bull CBBC Dec 2017 E	50,000,000	2017/03/28	2017/12/29	12.50
66770	Credit Suisse AG - China Shenhua R Bull CBBC Jan 2018 B	50,000,000	2017/03/28	2018/01/12	12.50
66764	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2017 F	50,000,000	2017/03/28	2017/12/08	12.50
66739	Credit Suisse AG - HSCEI R Bull CBBC Feb 2018 A	100,000,000	2017/03/28	2018/02/27	25.00
66731	Credit Suisse AG - HSI R Bull CBBC Sep 2017 H	300,000,000	2017/03/28	2017/09/28	75.00
66734	Credit Suisse AG - HSI R Bull CBBC Feb 2018 M	200,000,000	2017/03/28	2018/02/27	50.00
66733	Credit Suisse AG - HSI R Bull CBBC Aug 2018 A	200,000,000	2017/03/28	2018/08/30	50.00
66721	Credit Suisse AG - HSI R Bear CBBC Jul 2017 R	200,000,000	2017/03/28	2017/07/28	50.00
66723	Credit Suisse AG - HSI R Bear CBBC Jul 2017 S	200,000,000	2017/03/28	2017/07/28	50.00
66728	Credit Suisse AG - HSI R Bear CBBC Jul 2017 T	200,000,000	2017/03/28	2017/07/28	50.00
66729	Credit Suisse AG - HSI R Bear CBBC Aug 2017 K	150,000,000	2017/03/28	2017/08/30	37.50
66767	Credit Suisse AG - Ping An R Bull CBBC Dec 2017 B	50,000,000	2017/03/28	2017/12/07	12.50
66766	Credit Suisse AG - Tencent R Bull CBBC Jan 2018 G	50,000,000	2017/03/28	2018/01/12	12.50
66736	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 Z	200,000,000	2017/03/28	2018/01/30	50.00
66689	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 V	200,000,000	2017/03/28	2017/06/29	50.00
66690	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 W	200,000,000	2017/03/28	2017/06/29	50.00
66735	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 X	200,000,000	2017/03/28	2017/06/29	50.00
66693	HK Bank - Geely Auto R Bear CBBC Dec 2017 A	40,000,000	2017/03/28	2017/12/22	10.00
66696	HK Bank - HSI R Bull CBBC Oct 2017 U	100,000,000	2017/03/28	2017/10/30	25.00
66700	HK Bank - HSI R Bear CBBC Dec 2017 G	100,000,000	2017/03/28	2017/12/28	25.00
66701	HK Bank - HSI R Bear CBBC Dec 2017 H	200,000,000	2017/03/28	2017/12/28	50.00
66750	J P Morgan SP BV - Geely Auto R Bear CBBC Mar 2018 A	40,000,000	2017/03/28	2018/03/16	10.00
66755	J P Morgan SP BV - HKEX R Bull CBBC Apr 2018 A	40,000,000	2017/03/28	2018/04/20	10.00
66757	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 A	200,000,000	2017/03/28	2018/01/30	50.00
66742	J P Morgan SP BV - HSI R Bull CBBC Mar 2018 E	200,000,000	2017/03/28	2018/03/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66741	J P Morgan SP BV - HSI R Bull CBBC Aug 2018 F	200,000,000	2017/03/28	2018/08/30	50.00
66740	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 O	200,000,000	2017/03/28	2017/06/29	50.00
66745	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 P	200,000,000	2017/03/28	2017/06/29	50.00
66746	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 H	200,000,000	2017/03/28	2017/07/28	50.00
66748	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 P	200,000,000	2017/03/28	2017/07/28	58.00
66751	J P Morgan SP BV - Ping An R Bull CBBC Feb 2018 B	150,000,000	2017/03/28	2018/02/15	37.50
66756	J P Morgan SP BV - Tencent R Bull CBBC Apr 2018 A	40,000,000	2017/03/28	2018/04/20	10.00
66653	SG Issuer - AIA R Bull CBBC Jan 2018 B	100,000,000	2017/03/28	2018/01/30	25.00
66661	SG Issuer - CMob R Bull CBBC Dec 2017 D	100,000,000	2017/03/28	2017/12/28	25.00
66662	SG Issuer - CMob R Bull CBBC Jan 2018 A	100,000,000	2017/03/28	2018/01/30	25.00
66658	SG Issuer - CMob R Bear CBBC Oct 2017 A	100,000,000	2017/03/28	2017/10/30	25.00
66656	SG Issuer - China Life R Bull CBBC Jan 2018 B	40,000,000	2017/03/28	2018/01/30	10.00
66655	SG Issuer - China Life R Bear CBBC Sep 2017 C	40,000,000	2017/03/28	2017/09/28	16.80
66688	SG Issuer - CSA50 R Bull CBBC Jan 2018 A	40,000,000	2017/03/28	2018/01/30	10.00
66663	SG Issuer - Galaxy R Bear CBBC Sep 2017 E	100,000,000	2017/03/28	2017/09/28	25.00
66672	SG Issuer - HKEX R Bull CBBC Jan 2018 E	100,000,000	2017/03/28	2018/01/30	25.00
66792	SG Issuer - HSI R Bull CBBC Sep 2018 J	200,000,000	2017/03/28	2018/09/27	50.00
66794	SG Issuer - HSI R Bull CBBC Sep 2018 K	200,000,000	2017/03/28	2018/09/27	50.00
66795	SG Issuer - HSI R Bull CBBC Oct 2018 H	200,000,000	2017/03/28	2018/10/30	50.00
66782	SG Issuer - HSI R Bear CBBC Aug 2017 X	200,000,000	2017/03/28	2017/08/30	50.00
66787	SG Issuer - HSI R Bear CBBC Aug 2017 Y	200,000,000	2017/03/28	2017/08/30	50.00
66674	SG Issuer - Ping An R Bull CBBC Jan 2018 B	100,000,000	2017/03/28	2018/01/30	25.00
66683	SG Issuer - Sands R Bull CBBC Jan 2018 D	100,000,000	2017/03/28	2018/01/30	25.00
66687	SG Issuer - Tencent R Bull CBBC Jan 2018 G	100,000,000	2017/03/28	2018/01/30	25.00
66684	SG Issuer - Tencent R Bear CBBC Sep 2017 A	100,000,000	2017/03/28	2017/09/28	25.00
66685	SG Issuer - Tencent R Bear CBBC Oct 2017 B	100,000,000	2017/03/28	2017/10/30	25.00
66704	UBS AG - CC Bank R Bull CBBC Jan 2018 A	40,000,000	2017/03/28	2018/01/08	10.00
66706	UBS AG - HSI R Bull CBBC Jan 2018 X	200,000,000	2017/03/28	2018/01/30	50.00
66708	UBS AG - HSI R Bull CBBC Jan 2018 Y	200,000,000	2017/03/28	2018/01/30	50.00
66717	UBS AG - HSI R Bull CBBC Jan 2018 Z	250,000,000	2017/03/28	2018/01/30	62.50
66773	UBS AG - HSI R Bull CBBC Feb 2018 A	250,000,000	2017/03/28	2018/02/27	62.50
66703	UBS AG - HSI R Bear CBBC Jun 2017 A	250,000,000	2017/03/28	2017/06/29	62.50
66716	UBS AG - HSI R Bear CBBC Jun 2017 N	200,000,000	2017/03/28	2017/06/29	50.00
66709	UBS AG - HSI R Bear CBBC Jun 2017 S	200,000,000	2017/03/28	2017/06/29	50.00
66715	UBS AG - HSI R Bear CBBC Jun 2017 X	200,000,000	2017/03/28	2017/06/29	50.00
66705	UBS AG - Sands China R Bull CBBC Jan 2018 A	40,000,000	2017/03/28	2018/01/08	10.00
66819	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2018 B	250,000,000	2017/03/29	2018/02/27	62.50
66831	Credit Suisse AG - HSI R Bull CBBC Feb 2018 N	200,000,000	2017/03/29	2018/02/27	50.00
66841	Credit Suisse AG - HSI R Bull CBBC Feb 2018 O	200,000,000	2017/03/29	2018/02/27	50.00
66845	Credit Suisse AG - HSI R Bull CBBC Feb 2018 P	150,000,000	2017/03/29	2018/02/27	37.50
66847	Credit Suisse AG - HSI R Bear CBBC Jul 2017 U	200,000,000	2017/03/29	2017/07/28	50.00
66848	Credit Suisse AG - HSI R Bear CBBC Jul 2017 V	200,000,000	2017/03/29	2017/07/28	50.00
66854	Credit Suisse AG - HSI R Bear CBBC Jul 2017 W	200,000,000	2017/03/29	2017/07/28	50.00
66850	Credit Suisse AG - HSI R Bear CBBC Aug 2017 L	150,000,000	2017/03/29	2017/08/30	37.50
66855	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 B	200,000,000	2017/03/29	2018/01/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66857	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 C	200,000,000	2017/03/29	2018/01/30	50.00
66829	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2017 Y	200,000,000	2017/03/29	2017/06/29	50.00
66811	HK Bank - China Mobile R Bear CBBC Apr 2018 A	40,000,000	2017/03/29	2018/04/30	10.00
66797	HK Bank - Sinopec Corp R Bull CBBC Nov 2017 A	50,000,000	2017/03/29	2017/11/27	12.50
66813	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2018 F	50,000,000	2017/03/29	2018/01/15	12.50
66814	HK Bank - A50 R Bull CBBC Jan 2018 F	50,000,000	2017/03/29	2018/01/15	12.50
66799	HK Bank - Galaxy Ent R Bear CBBC Jan 2018 E	40,000,000	2017/03/29	2018/01/22	10.00
66817	HK Bank - HSCEI R Bear CBBC Nov 2017 A	50,000,000	2017/03/29	2017/11/29	12.50
66815	HK Bank - HSI R Bear CBBC Sep 2017 H	100,000,000	2017/03/29	2017/09/28	25.00
66816	HK Bank - HSI R Bear CBBC Oct 2017 M	100,000,000	2017/03/29	2017/10/30	25.00
66809	HK Bank - Sands China R Bear CBBC Apr 2018 A	40,000,000	2017/03/29	2018/04/30	10.00
66798	HK Bank - Tencent R Bear CBBC Jan 2018 B	40,000,000	2017/03/29	2018/01/15	16.00
66910	J P Morgan SP BV - HSI R Bull CBBC Mar 2018 F	200,000,000	2017/03/29	2018/03/28	50.00
66909	J P Morgan SP BV - HSI R Bull CBBC Aug 2018 G	200,000,000	2017/03/29	2018/08/30	50.00
66912	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 Q	200,000,000	2017/03/29	2017/06/29	50.00
66913	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 R	200,000,000	2017/03/29	2017/06/29	50.00
66921	SG Issuer - HSI R Bull CBBC Sep 2018 L	200,000,000	2017/03/29	2018/09/27	50.00
66915	SG Issuer - HSI R Bear CBBC Jul 2017 T	200,000,000	2017/03/29	2017/07/28	50.00
66920	SG Issuer - HSI R Bear CBBC Aug 2017 A	200,000,000	2017/03/29	2017/08/30	50.00
66916	SG Issuer - HSI R Bear CBBC Aug 2017 Z	200,000,000	2017/03/29	2017/08/30	50.00
66890	UBS AG - HKEX R Bull CBBC Oct 2017 E	50,000,000	2017/03/29	2017/10/23	12.50
66892	UBS AG - HKEX R Bull CBBC Nov 2017 C	50,000,000	2017/03/29	2017/11/13	12.50
66895	UBS AG - HKEX R Bull CBBC Nov 2017 D	50,000,000	2017/03/29	2017/11/20	12.50
66862	UBS AG - HSI R Bull CBBC Jan 2018 A	250,000,000	2017/03/29	2018/01/30	62.50
66864	UBS AG - HSI R Bull CBBC Jan 2018 B	200,000,000	2017/03/29	2018/01/30	50.00
66861	UBS AG - HSI R Bull CBBC Feb 2018 B	200,000,000	2017/03/29	2018/02/27	50.00
66867	UBS AG - HSI R Bull CBBC Feb 2018 C	250,000,000	2017/03/29	2018/02/27	62.50
66876	UBS AG - HSI R Bear CBBC Jun 2017 U	250,000,000	2017/03/29	2017/06/29	62.50
66887	UBS AG - HSI R Bear CBBC Jun 2017 W	250,000,000	2017/03/29	2017/06/29	62.50
66870	UBS AG - HSI R Bear CBBC Jul 2017 E	200,000,000	2017/03/29	2017/07/28	50.00
66873	UBS AG - HSI R Bear CBBC Jul 2017 F	200,000,000	2017/03/29	2017/07/28	50.00
66886	UBS AG - HSI R Bear CBBC Jul 2017 G	250,000,000	2017/03/29	2017/07/28	62.50
66954	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2018 B	50,000,000	2017/03/30	2018/01/05	12.50
66952	Credit Suisse AG - HSI R Bull CBBC Aug 2018 B	200,000,000	2017/03/30	2018/08/30	50.00
66938	Credit Suisse AG - HSI R Bear CBBC Jul 2017 X	200,000,000	2017/03/30	2017/07/28	50.00
66942	Credit Suisse AG - HSI R Bear CBBC Sep 2017 B	300,000,000	2017/03/30	2017/09/28	75.00
66946	Credit Suisse AG - Tencent R Bear CBBC Dec 2017 A	50,000,000	2017/03/30	2017/12/07	12.50
66989	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 H	200,000,000	2017/03/30	2018/02/27	50.00
66991	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 Q	200,000,000	2017/03/30	2017/07/28	50.00
66932	HK Bank - Galaxy Ent R Bull CBBC Jan 2018 D	80,000,000	2017/03/30	2018/01/15	20.00
66933	HK Bank - Link REIT R Bull CBBC Jul 2018 A	40,000,000	2017/03/30	2018/07/31	10.00
66934	HK Bank - Sands China R Bull CBBC Mar 2018 E	80,000,000	2017/03/30	2018/03/01	20.00
66981	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 Y	200,000,000	2017/03/30	2018/02/27	50.00
66979	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 S	200,000,000	2017/03/30	2017/06/29	50.00
66983	J P Morgan SP BV - HSI R Bear CBBC Jun 2017 T	200,000,000	2017/03/30	2017/06/29	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66922	SG Issuer - AIA R Bear CBBC Oct 2017 A	100,000,000	2017/03/30	2017/10/30	25.00
66928	SG Issuer - Geely Auto R Bull CBBC Dec 2017 B	40,000,000	2017/03/30	2017/12/28	10.00
66925	SG Issuer - Geely Auto R Bear CBBC Oct 2017 A	40,000,000	2017/03/30	2017/10/30	10.00
66929	SG Issuer - HSBC R Bear CBBC Nov 2017 B	100,000,000	2017/03/30	2017/11/29	25.00
66993	SG Issuer - HSI R Bull CBBC Sep 2018 M	200,000,000	2017/03/30	2018/09/27	50.00
66994	SG Issuer - HSI R Bull CBBC Oct 2018 I	200,000,000	2017/03/30	2018/10/30	50.00
66992	SG Issuer - HSI R Bear CBBC Jul 2017 E	200,000,000	2017/03/30	2017/07/28	50.00
66930	SG Issuer - Tencent R Bear CBBC Sep 2017 B	100,000,000	2017/03/30	2017/09/28	25.00
66955	UBS AG - HSI R Bull CBBC Aug 2017 W	250,000,000	2017/03/30	2017/08/30	62.50
66956	UBS AG - HSI R Bear CBBC Jun 2017 R	250,000,000	2017/03/30	2017/06/29	62.50
66966	UBS AG - HSI R Bear CBBC Jun 2017 Y	250,000,000	2017/03/30	2017/06/29	62.50
66972	UBS AG - HSI R Bear CBBC Jun 2017 Z	200,000,000	2017/03/30	2017/06/29	50.00
66978	UBS AG - HSI R Bear CBBC Jul 2017 H	200,000,000	2017/03/30	2017/07/28	50.00
67010	Credit Suisse AG - CUni R Bull CBBC Jan 2018 B	50,000,000	2017/03/31	2018/01/18	12.50
67009	Credit Suisse AG - CNOOC R Bull CBBC Dec 2017 B	50,000,000	2017/03/31	2017/12/21	12.50
67011	Credit Suisse AG - HSI R Bull CBBC Feb 2018 Q	200,000,000	2017/03/31	2018/02/27	50.00
67004	Credit Suisse AG - HSI R Bear CBBC Jul 2017 Y	200,000,000	2017/03/31	2017/07/28	50.00
67005	Credit Suisse AG - HSI R Bear CBBC Jul 2017 Z	200,000,000	2017/03/31	2017/07/28	50.00
67015	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2017 G	18,000,000	2017/03/31	2017/12/28	10.08
67017	Bank of East Asia - Ping An R Bull CBBC Dec 2017 F	16,000,000	2017/03/31	2017/12/28	10.40
67019	Bank of East Asia - Tencent R Bull CBBC Dec 2017 H	40,000,000	2017/03/31	2017/12/28	10.00
67020	Bank of East Asia - Tencent R Bull CBBC Dec 2017 I	40,000,000	2017/03/31	2017/12/28	10.00
67001	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 D	200,000,000	2017/03/31	2018/01/30	50.00
67003	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2017 R	200,000,000	2017/03/31	2017/07/28	50.00
66996	HK Bank - HSI R Bear CBBC Nov 2017 I	100,000,000	2017/03/31	2017/11/29	25.00
67025	J P Morgan SP BV - CUni R Bull CBBC Jan 2018 B	60,000,000	2017/03/31	2018/01/19	15.00
67024	J P Morgan SP BV - CNOOC R Bull CBBC Feb 2018 B	80,000,000	2017/03/31	2018/02/15	20.00
67026	J P Morgan SP BV - Sinopec Corp R Bull CBBC Feb 2018 A	100,000,000	2017/03/31	2018/02/15	25.00
67029	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 Z	200,000,000	2017/03/31	2018/02/27	50.00
67032	J P Morgan SP BV - HSI R Bear CBBC Jul 2017 R	200,000,000	2017/03/31	2017/07/28	50.00
67023	SG Issuer - HSI R Bull CBBC Aug 2018 N	200,000,000	2017/03/31	2018/08/30	50.00
67022	SG Issuer - HSI R Bear CBBC Jul 2017 G	200,000,000	2017/03/31	2017/07/28	50.00
67012	UBS AG - HSI R Bull CBBC Dec 2017 R	200,000,000	2017/03/31	2017/12/28	50.00
67013	UBS AG - HSI R Bear CBBC Jul 2017 I	250,000,000	2017/03/31	2017/07/28	62.50
67014	UBS AG - HSI R Bear CBBC Jul 2017 J	250,000,000	2017/03/31	2017/07/28	62.50
總額					90,548.83

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2016	第1季	4	29.99
	第2季	4	54.20
	第3季	2	22.02
	第4季	2	12.84
2017	第1季	2	15.32

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2016	第1季	-	-
	第2季	-	-
	第3季	-	-
	第4季	-	-
2017	第1季	-	-

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2016	第1季	6	0.04
	第2季	6	0.10
	第3季	6	0.29
	第4季	6	0.03
2017	第1季	6	0.00

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2016	第1季	2	-
	第2季	2	-
	第3季	2	-
	第4季	2	-
2017	第1季	2	-

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2016	第1季	85,420.86	25,666.83	730,890
	第2季	107,905.65	31,516.06	917,745
	第3季	135,466.80	32,617.31	1,028,333
	第4季	101,583.21	26,606.56	769,681
2017	第1季	97,529.59	28,022.82	829,314

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2016	第1季	59	435.03	35,117
	第2季	62	508.32	34,341
	第3季	63	517.74	31,719
	第4季	61	436.17	34,568
2017	第1季	62	451.98	33,790

20種升幅最大之創業板股份

2017年第1季

順次	股份代號	股份	收市價		升幅
			2017年3月底	2016年12月底	
1	08352	成安控股	10.820	4.550	137.80
2	08059	朝威控股	0.800	0.385	107.79
3	08156	眾彩股份	0.660	0.325	103.08
4	08173	萬亞企業控股	0.027	0.014	92.86
5	08027	吉輝控股	0.900	0.510	76.47
6	08102	利寶閣集團	5.990	3.600	66.39
7	08046	恒芯中國	0.074	0.046	60.87
8	08137	洪橋集團	1.030	0.650	58.46
9	08058	羅欣藥業	16.340	10.580	54.44
10	08093	愛特麗皮革	1.550	1.010	53.47
11	08166	中國農業生態	0.167	0.113	47.79
12	08018	匯財金融投資	0.295	0.203	45.32
13	08190	康佰控股	0.179	0.126	42.06
14	08248	豐展控股	0.335	0.236	41.95
15	08258	西北實業	0.375	0.265	41.51
16	08318	正利控股	0.470	0.340	38.24
17	08071	中彩網通控股	0.120	0.087	37.93
18	08366	FRASER HLDGS	0.206	0.150	37.33
19	08049	吉林長龍藥業	2.190	1.620	35.19
20	08301	明華科技	1.020	0.760	34.21

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2017年第1季

順次	股份代號	股份	收市價		跌幅
			2017年3月底	2016年12月底	
1	08411	K W NELSON GP	0.180	5.550	-96.76
2	08052	陸慶娛樂	0.147	1.800	-91.83
3	08127	雲裳衣	2.300	13.460	-82.91
4	08262	宏強控股	0.350	1.930	-81.87
5	08218	毅高國際控股	0.400	1.270	-68.50
6	08272	華人飲食集團	0.031	0.095	-67.37
7	08087	中國三三傳媒	0.043	0.113	-61.95
8	08081	互娛中國	0.042	0.107	-60.75
9	08356	中國新華電視	0.078	0.169	-53.85
10	08315	冠輝集團控股	0.024	0.044	-45.45
11	08340	域高金融	0.790	1.440	-45.14
12	08360	利駿集團香港	0.240	0.385	-37.66
13	08062	俊盟國際	0.290	0.455	-36.26
14	08089	華人策略控股	0.154	0.235	-34.47
15	08228	國藝娛樂	0.142	0.212	-33.02
16	08153	科地農業	0.700	1.030	-32.04
17	08186	同仁資源	0.200	0.290 A	-31.03
18	08060	國聯通信	0.168	0.243	-30.86
19	08133	鑄能控股	0.270	0.385	-29.87
20	08353	安科系統	0.390	0.540	-27.78

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2017年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08207	中國信貸	2,037.88	7.27
2	08188	駿傑集團控股	1,290.97	4.61
3	08133	鑄能控股	903.83	3.23
4	08058	羅欣藥業	614.68	2.19
5	08059	朝威控股	613.21	2.19
6	08127	雲裳衣	451.46	1.61
7	08279	亞博科技控股	451.05	1.61
8	08083	中國創新支付	448.39	1.60
9	08417	大地教育	435.94	1.56
10	08200	修身堂	428.73	1.53
11	08081	互娛中國	425.90	1.52
12	08331	飛尚非金屬	420.66	1.50
13	08087	中國三三傳媒	413.89	1.48
14	08217	聯旺集團	389.38	1.39
15	08102	利寶閣集團	373.36	1.33
16	08078	HMV數碼中國	366.17	1.31
17	08158	中國再生醫學	354.50	1.27
18	08138	同仁堂國藥	351.61	1.25
19	08069	縱橫遊控股	344.64	1.23
20	08432	太平洋酒吧	342.23	1.22
總額			11,458.49	40.89

創業板 股份

20種成交最活躍創業板股份(股數)

2017年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08272	華人飲食集團	7,976.64	8.18
2	08087	中國三三傳媒	6,315.79	6.48
3	08315	冠輝集團控股	6,189.03	6.35
4	08081	互娛中國	5,498.34	5.64
5	08171	中國趨勢	5,103.86	5.23
6	08238	惠陶集團	4,982.92	5.11
7	08195	L&A INTL HOLD	4,256.12	4.36
8	08200	修身堂	4,060.23	4.16
9	08133	鑄能控股	2,067.69	2.12
10	08207	中國信貸	2,018.94	2.07
11	08086	DX.COM控股	1,649.91	1.69
12	08188	駿傑集團控股	1,296.97	1.33
13	08158	中國再生醫學	1,294.18	1.33
14	08173	萬亞企業控股	1,245.84	1.28
15	08166	中國農業生態	1,236.68	1.27
16	08047	中國海洋捕撈	1,075.13	1.10
17	08078	HMV數碼中國	1,060.45	1.09
18	08411	K W NELSON GP	997.33	1.02
19	08059	朝威控股	984.73	1.01
20	08021	匯隆控股	931.16	0.95
總數			60,241.94	61.77

創業板 股份

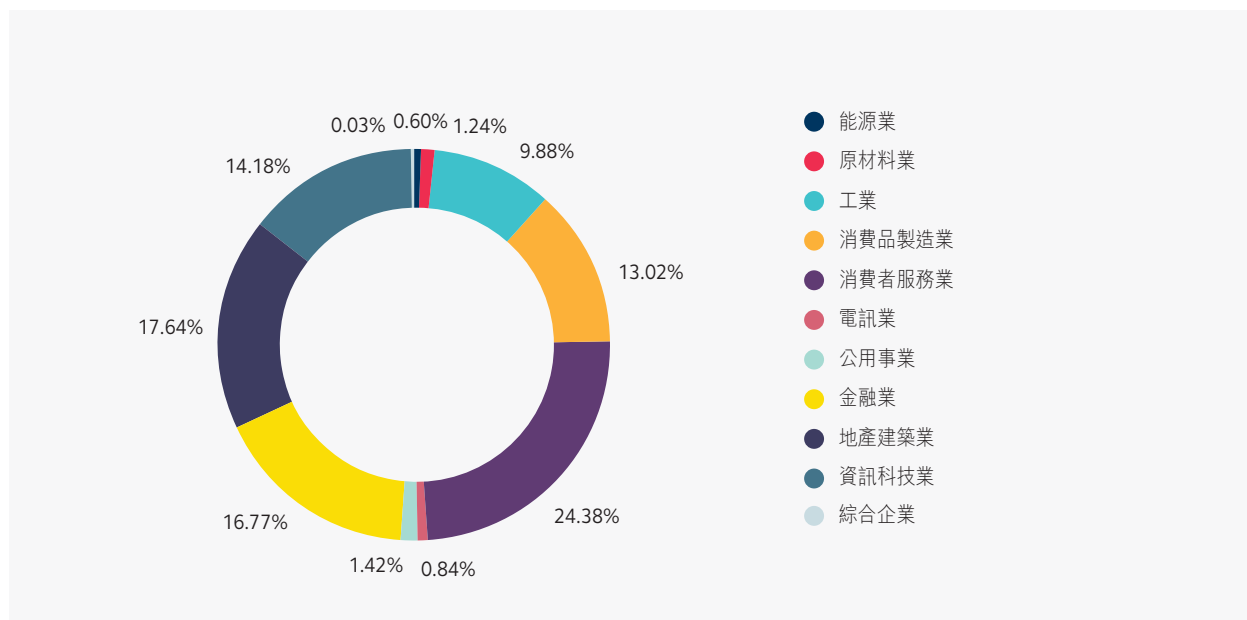
創業板公司市價總值

截至季末

		百萬港元
2016	第1季	248,978.34
	第2季	266,315.62
	第3季	277,457.32
	第4季	310,871.86
2017	第1季	324,082.25

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2017年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08217	聯旺集團控股有限公司	23,712.00	7.32
2	08207	中國信貸科技控股有限公司	22,804.57	7.04
3	08279	亞博科技控股有限公司	15,876.46	4.90
4	08321	泰錦控股有限公司	10,400.00	3.21
5	08008	新意網集團有限公司	9,498.52	2.93
6	08221	PF Group Holdings Ltd.	8,760.00	2.70
7	08138	北京同仁堂國藥有限公司	8,722.58	2.69
8	08137	洪橋集團有限公司	8,097.68	2.50
9	08032	非凡中國控股有限公司	8,063.21	2.49
10	08057	麥迪森酒業控股有限公司	8,000.00	2.47
11	08352	成安控股有限公司	7,271.04	2.24
12	08265	宏峰太平洋集團有限公司	6,686.94	2.06
13	08407	興證國際金融集團有限公司	5,040.00	1.56
14	08102	利寶閣集團有限公司	4,792.00	1.48
15	08158	中國再生醫學國際有限公司	4,660.23	1.44
16	08078	HMV數碼中國集團有限公司	3,522.87	1.09
17	08083	中國創新支付集團有限公司	3,476.61	1.07
18	08176	航空互聯集團有限公司	3,414.15	1.05
19	08283	正力控股有限公司	3,150.00	0.97
20	08167	中國新電信集團有限公司	3,144.52	0.97
21	08237	華星控股有限公司	3,141.00	0.97
22	08193	漢華專業服務有限公司	3,060.52	0.94
23	08027	吉輝控股有限公司	2,880.00	0.89
24	08021	匯隆控股有限公司	2,796.00	0.86
25	08058	山東羅欣藥業集團股份有限公司－H股	2,688.91	0.83
26	08005	裕興科技投資控股有限公司	2,596.45	0.80
27	08361	中國育兒網絡控股有限公司	2,523.13	0.78
28	08261	海天能源國際有限公司	2,466.72	0.76
29	08416	HM International Holdings Ltd.	2,460.00	0.76
30	08370	智昇集團控股有限公司	2,398.60	0.74
31	08127	雲裳衣控股有限公司	2,300.00	0.71
32	08432	太平洋酒吧集團控股有限公司	2,141.40	0.66
33	08156	眾彩科技股份有限公司	2,134.72	0.66
34	08172	拉近網娛集團有限公司	2,104.57	0.65
35	08417	大地教育控股有限公司	2,100.48	0.65
36	08325	中國支付通集團控股有限公司	2,060.24	0.64
37	08326	同景新能源集團控股有限公司	1,799.60	0.56
38	08276	中國基建港口有限公司	1,742.32	0.54
39	08295	中植資本國際有限公司	1,739.74	0.54
40	08029	太陽國際資源有限公司	1,725.34	0.53
41	08090	中國融保金融集團有限公司	1,708.48	0.53
42	08310	大豐港和順科技股份有限公司	1,648.64	0.51
43	08030	匯聯金融服務控股有限公司	1,644.86	0.51
44	08215	第一信用金融集團有限公司	1,596.67	0.49
45	08016	長虹佳華控股有限公司	1,585.57	0.49
46	08245	安悅國際控股有限公司	1,459.20	0.45
47	08097	鼎石資本有限公司	1,448.45	0.45
48	08316	柏榮集團(控股)有限公司	1,440.00	0.44
49	08012	環宇物流(亞洲)控股有限公司	1,305.60	0.40
50	08246	北方新能源控股有限公司	1,253.15	0.39
總值			231,043.72	71.29
市場總值			324,082.25	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2016	第1季	6	344.80
	第2季	9	801.32
	第3季	10	775.30
	第4季	20	2,669.32
2017	第1季	19	1,324.56

創業板新上市公司

2017年第1季

股份代號	公司	上市日期	已發行股數	集資金額 (百萬港元)
08091	奧傳思維控股有限公司	2017/01/05	720,000,000	48.60
08221	PF Group Holdings Ltd.	2017/01/06	2,000,000,000	75.00
08358	卓珈控股集團有限公司	2017/01/10	400,000,000	80.00
08432	太平洋酒吧集團控股有限公司	2017/01/11	860,000,000	62.35
08416	HM International Holdings Ltd.	2017/01/11	400,000,000	60.00
08415	樂嘉思控股集團有限公司	2017/01/12	1,120,000,000	71.40
08069	縱橫遊控股有限公司	2017/01/12	400,000,000	80.00
08341	艾碩控股有限公司	2017/01/13	200,000,000	42.00
08349	美固科技控股集團有限公司	2017/01/13	400,000,000	35.00
08370	智昇集團控股有限公司	2017/01/20	670,000,000	83.08
08428	國茂控股有限公司	2017/02/15	1,200,000,000	75.00
08417	大地教育控股有限公司	2017/02/16	1,750,400,000	148.78
08188	駿傑集團控股有限公司	2017/02/22	500,000,000	67.50
08013	ECI Technology Holdings Ltd.	2017/03/10	1,600,000,000	60.00
08423	潛濤發展控股有限公司	2017/03/13	800,000,000	80.10
08425	興銘控股有限公司	2017/03/15	400,000,000	75.00
08421	永順控股香港有限公司	2017/03/16	600,000,000	63.00
08442	樺欣控股有限公司	2017/03/21	32,000,000	46.00
08439	新百利融資控股有限公司	2017/03/28	135,000,000	71.75
總額				1,324.56

除牌上市公司－創業板

2017年第1季

股份代號	公司	最後買賣日期	除牌日期
08096	瑞豐石化控股有限公司	2013/03/28	2017/02/06
08216	百本醫護控股有限公司*	2017/02/28	2017/03/01 §

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板 股份

創業板公司名稱更改

2017年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
08249	寧波萬豪控股股份有限公司-H股	浙江瑞遠智控科技股份有限公司-H股	2016/12/15	2017/01/11
08120	中國神農投資有限公司	國農金融投資有限公司	2016/12/05	2017/01/13
08125	中持基業控股有限公司	仁德資源控股有限公司	2017/02/17	2017/03/01

創業板紅股／紅利認股權證

2017年第1季

股份代號	公司	詳情	除淨日
08078	HMV數碼中國集團有限公司	1送1	2017/02/06

創業板股份拆細及合併

2017年第1季

股份代號	公司	詳情	生效日期
08186	同仁資源有限公司	5股合併為1股	2017/01/13
08066	品創控股有限公司	10股合併為1股	2017/01/19
08051	訊智海國際控股有限公司	50股合併為1股	2017/02/06
08203	凱順能源集團有限公司	10股合併為1股	2017/02/16

創業板供股／公開售股

2017年第1季

月份	股份代號	公司	比率	集資金額 (百萬港元)
1月	08203	凱順能源集團有限公司	股權2供1，每股供0.048港元	90.44
2月	08100	智易控股有限公司	股權2供1，每股供0.52港元	77.04
	08201	寶聯控股有限公司	股權2供1，每股供0.054港元	48.60
	08363	SDM Group Holdings Ltd.	公開售股2供1，每股供0.40港元	40.48
	08226	中昱科技集團有限公司	公開售股2供1，每股供0.14港元	114.66
	08165	華普智通系統有限公司	股權8供1，每股供0.086港元	22.21
	08200	修身堂控股有限公司	股權1供2，每股供0.10港元	364.23
總額				757.66

創業板 股份

股份配售*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2017					
1月	08148	奧栢中國	181,440,000	0.1600	29.03
	08368	中國創意控股	200,000,000	0.2650	53.00
	08022	永耀集團控股	100,000,000	0.4200	42.00
	08179	新煮意控股	159,744,000	0.1440	23.00
	08192	環球能源資源	115,153,225	0.3290	37.89
	08085	香港生命科學	948,064,000	0.1300	123.25
	08256	金利通	12,000,000	0.1000	1.20
2月	08095	北大青鳥環宇	96,960,000	1.4300	138.65
	08018	匯財金融投資	210,090,000	0.1460	30.67
	08019	皓文控股	357,740,000	0.1250	44.72
	08186	同仁資源	138,540,000	0.2000	27.71
	08001	東方匯財證券	18,000,000	0.6100	10.98
3月	08182	中國糖果	268,000,000	0.1480	39.66
	08078	HMV數碼中國	490,200,000	0.2500	122.55
	08315	冠輝集團控股	553,153,409	0.0220	12.17
	08300	皇璽餐飲集團	202,800,000	0.1500	30.42
	08082	仁智國際集團	165,000,000	0.1600	26.40
總額					793.30

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2016	第1季	2	2.64	99.62
	第2季	2	31.57	72.78
	第3季	1	5.98	62.92
	第4季	1	2.54	62.90
2017	第1季	1	0.54	62.85

創業板新上市股份認股權證

2017年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					-

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2017年3月底 收市	2016年12月底 收市	升跌
標準普爾／香港交易所大型股指數	30437.39	27926.13	+ 8.99%
標準普爾／香港交易所創業板指數	346.92	358.53	- 3.24%
恒生指數	24111.59	22000.56	+ 9.60%
分類指數			
金融	32696.38	30308.31	+ 7.88%
公用事業	54250.48	50037.47	+ 8.42%
地產	33316.25	28991.74	+ 14.92%
工商業	14241.47	12884.63	+ 10.53%
恒生香港中資企業指數	3938.32	3587.99	+ 9.76%
恒生中國企業指數	10273.67	9394.87	+ 9.35%

股票市場指數

世界指數

指數	2017年3月底 收市	2016年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	30437.39	27926.13	+ 8.99%
恒生指數	24111.59	22000.56	+ 9.60%
澳洲			
普通股指數	5903.84	5719.14	+ 3.23%
雅加達			
綜合指數	5568.11	5296.71	+ 5.12%
日本			
日經指數(225)	18909.26	19114.37	- 1.07%
韓國			
綜合指數	2160.23	2026.46	+ 6.60%
吉隆坡			
綜合指數	1740.09	1641.73	+ 5.99%
馬尼拉			
綜合指數	7311.72	6840.64	+ 6.89%
紐西蘭			
證交所指數(50)	7196.78	6881.22	+ 4.59%
上海			
A股指數	3374.67	3249.59	+ 3.85%
B股指數	337.41	341.80	- 1.28%
深圳			
A股指數	2078.06	2059.91	+ 0.88%
B股指數	1138.73	1127.70	+ 0.98%
新加坡			
海峽時報指數	3175.11	2880.76	+ 10.22%
台灣			
台北加權股份指數	9811.52	9253.50	+ 6.03%
泰國			
SET指數	1575.11	1542.94	+ 2.08%
紐約			
杜瓊斯工業平均指數	20663.22	19762.60	+ 4.56%
Nasdaq綜合指數	5911.74	5383.12	+ 9.82%
多倫多			
S&P/TSX綜合指數	15547.75	15287.59	+ 1.70%
德國			
DAX Kursindex	5953.44	5588.27	+ 6.53%
倫敦			
金融時報100指數	7322.92	7142.83	+ 2.52%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2017年第1季	2016年第1季
恒生指數期貨	6,657,327	8,344,875
恒生指數期權	2,312,202	2,255,893
小型恒生指數期貨	2,454,731	3,087,080
小型恒生指數期權	366,765	290,886
H股指數期貨	7,299,370	9,089,155
H股指數期權	5,573,706	5,126,599
小型H股指數期貨	832,884	1,501,592
小型H股指數期權 ¹	83,647	–
自訂條款恒生指數期權	0	0
自訂條款H股指數期權	–	5,700
恒指股息點指數期貨	2,677	7,502
恒生國企股息點指數期貨	266,930	230,097
恒指波幅指數期貨	50	30
中華交易服務中國120指數期貨	172	143
中華交易服務博彩業指數期貨 ²	0	–
恒生中國內地石油及天然氣指數期貨 ³	13	–
恒生中國內地銀行指數期貨 ⁴	375	–
恒生中國內地醫療保健指數期貨 ⁵	0	–
恒生中國內地地產指數期貨 ⁶	10	–
恒生資訊科技器材指數期貨 ⁷	0	–
恒生軟件服務指數期貨 ⁸	0	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	39,870	92,936
股票期權	21,003,818	17,289,771
三個月港元利率期貨	0	12
一個月港元利率期貨	20	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	218,658	184,531
人民幣貨幣期貨—美元兌人民幣(香港)期權 ⁹	1,224	–
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 ¹⁰	271	–
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 ¹¹	13	–
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 ¹²	24	–
人民幣貨幣期貨—人民幣(香港)兌美元期貨 ¹³	4,106	–
倫敦鋁期貨小型合約	149	374
倫敦鋅期貨小型合約	63	2,346
倫敦銅期貨小型合約	44	1,105
倫敦鉛期貨小型合約	2	0
倫敦鎳期貨小型合約	152	637
倫敦錫期貨小型合約	0	6
總數	47,119,273	47,511,270

¹ 小型H股指數期權由2016年9月05日開始買賣

² 中華交易服務博彩業指數期貨由2016年5月09日開始買賣

³ 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣

⁴ 恒生中國內地銀行指數期貨由2016年5月09日開始買賣

⁵ 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣

⁶ 恒生中國內地地產指數期貨由2016年5月09日開始買賣

⁷ 恒生資訊科技器材指數期貨由2016年5月09日開始買賣

⁸ 恒生軟件服務指數期貨由2016年5月09日開始買賣

⁹ 人民幣貨幣期貨—美元兌人民幣(香港)期權由2017年3月20日開始買賣

¹⁰ 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹¹ 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣

¹² 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹³ 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2016年	第1季	8,344,875	105,887
	第2季	8,405,492	104,573
	第3季	8,184,656	157,669
	第4季	7,378,971	123,004
2017年	第1季	6,657,327	158,358

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2016年	第1季	3,087,080	9,138
	第2季	3,282,211	10,857
	第3季	3,138,473	9,151
	第4季	2,969,788	9,572
2017年	第1季	2,454,731	9,241

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2016年	第1季	2,255,893	243,225
	第2季	2,405,701	226,187
	第3季	2,379,876	280,913
	第4季	2,312,279	249,699
2017年	第1季	2,312,202	327,038

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2016年	第1季	9,089,155	357,894
	第2季	8,563,874	366,688
	第3季	7,820,556	349,588
	第4季	7,557,545	322,024
2017年	第1季	7,299,370	329,224

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2016年	第1季	11,846.68	17,289,771	5,368,449
	第2季	8,723.16	18,146,397	5,550,885
	第3季	8,985.98	19,509,020	6,045,816
	第4季	9,144.11	18,636,926	6,327,503
2017年	第1季	8,727.09	21,003,818	6,673,563

衍生產品市場 期貨及期權

各期權類別之期權金總額

2017年第1季

期權類別		期權金額 (百萬港元)	佔市場百分比
X安碩A50中國	A50	149.38	1.71%
安徽海螺水泥股份	ACC	50.18	0.57%
友邦保險	AIA	307.19	3.52%
中國鋁業	ALC	10.72	0.12%
華夏滬深三百	AMC	96.95	1.11%
交通銀行	BCM	18.36	0.21%
東亞銀行	BEA	9.47	0.11%
百麗國際	BIH	7.64	0.09%
中銀香港	BOC	32.50	0.37%
比亞迪股份	BYD	19.25	0.22%
中國交通建設	CCC	43.48	0.50%
中煤能源	CCE	4.11	0.05%
中遠海控	CCS	3.26	0.04%
中國信達	CDA	9.28	0.11%
中廣核電力	CGN	9.45	0.11%
中國移動	CHT	623.52	7.14%
中國聯通	CHU	179.23	2.05%
中信股份	CIT	10.45	0.12%
長和	CKH	164.48	1.88%
長實地產	CKP	52.22	0.60%
中國人壽	CLI	558.40	6.40%
中電控股	CLP	14.19	0.16%
招商銀行	CMB	42.92	0.49%
中國海洋石油	CNC	245.13	2.81%
中國海外發展	COL	234.11	2.68%
國泰航空	CPA	5.73	0.07%
中國石油化工股份	CPC	106.39	1.22%
中國太保	CPI	45.13	0.52%
中國鐵建	CRC	7.63	0.09%
中國中鐵	CRG	8.11	0.09%
華潤置地	CRL	69.74	0.80%
X標智滬深300	CS3	0.06	0.00%
南方A50	CSA	222.65	2.55%
中國神華	CSE	92.97	1.07%
中信銀行	CTB	35.55	0.41%
中國電信	CTC	26.25	0.30%
中信証券	CTS	24.20	0.28%
東風集團股份	DFM	15.47	0.18%
思捷環球	ESP	0.44	0.01%
富智康集團	FIH	0.58	0.01%
銀河娛樂	GLX	259.90	2.98%
長城汽車	GWM	69.46	0.80%
海通證券	HAI	24.74	0.28%
恒生H股	HCF	26.23	0.30%
電能實業	HEH	40.61	0.47%
香港交易所	HEX	521.59	5.98%
恒安國際	HGN	17.24	0.20%
匯豐控股	HKB	679.82	7.79%
香港中華煤氣	HKG	6.04	0.07%
恒基地產	HLD	23.83	0.27%
華能國際電力股份	HNP	24.63	0.28%
恒生銀行	HSB	29.61	0.34%
江西銅業股份	JXC	60.17	0.69%
昆侖能源	KLE	9.66	0.11%
金山軟件	KSO	34.11	0.39%
聯想集團	LEN	92.26	1.06%
利豐	LIF	17.00	0.19%
蒙牛乳業	MEN	5.60	0.06%

衍生產品市場 期貨及期權

期權類別		期權金額 (百萬港元)	佔市場百份比
美高梅中國	MGM	3.82	0.04%
民生銀行	MSB	82.33	0.94%
港鐵公司	MTR	15.41	0.18%
中國建材	NBM	57.47	0.66%
新華保險	NCL	17.17	0.20%
新世界發展	NWD	16.92	0.19%
中國平安	PAI	395.82	4.54%
中國石油股份	PEC	141.93	1.63%
中國財險	PIC	77.06	0.88%
中國人民保險集團	PIN	4.74	0.05%
保利協鑫能源	PLE	3.57	0.04%
富力地產	RFP	4.75	0.05%
金沙中國有限公司	SAN	170.31	1.95%
新鴻基地產	SHK	354.04	4.06%
渣打集團	STC	8.53	0.10%
太古股份公司A	SWA	29.62	0.34%
騰訊控股	TCH	1,209.12	13.85%
盈富基金	TRF	3.87	0.04%
九龍倉集團	WHL	72.31	0.83%
中國旺旺	WWC	3.08	0.04%
農業銀行	XAB	70.62	0.81%
中國銀行	XBC	126.04	1.44%
建設銀行	XCC	233.31	2.67%
工商銀行	XIC	102.15	1.17%
兗州煤業股份	YZC	14.68	0.17%
紫金礦業	ZJM	9.15	0.10%
總額		8,727.09	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2017年第1季

期權類別		合約成交量	佔市場百份比
X安碩A50中國	A50	158,272	0.75%
安徽海螺水泥股份	ACC	150,757	0.72%
友邦保險	AIA	359,765	1.71%
中國鋁業	ALC	36,086	0.17%
華夏滬深三百	AMC	88,882	0.42%
交通銀行	BCM	80,305	0.38%
東亞銀行	BEA	42,369	0.20%
百麗國際	BIH	41,550	0.20%
中銀香港	BOC	118,697	0.57%
比亞迪股份	BYD	30,573	0.15%
中國交通建設	CCC	181,632	0.86%
中煤能源	CCE	27,178	0.13%
中遠海控	CCS	32,832	0.16%
中國信達	CDA	21,820	0.10%
中廣核電力	CGN	13,381	0.06%
中國移動	CHT	849,710	4.05%
中國聯通	CHU	292,153	1.39%
中信股份	CIT	33,105	0.16%
長和	CKH	227,212	1.08%
長實地產	CKP	51,480	0.25%
中國人壽	CLI	945,304	4.50%
中電控股	CLP	24,567	0.12%
招商銀行	CMB	146,827	0.70%
中國海洋石油	CNC	883,217	4.21%
中國海外發展	COL	211,645	1.01%
國泰航空	CPA	15,127	0.07%
中國石油化工股份	CPC	281,659	1.34%
中國太保	CPI	66,511	0.32%
中國鐵建	CRC	36,898	0.18%
中國中鐵	CRG	43,878	0.21%
華潤置地	CRL	61,304	0.29%
X標智滬深300	CS3	72	0.00%
南方A50	CSA	206,684	0.98%
中國神華	CSE	339,942	1.62%
中信銀行	CTB	221,666	1.06%
中國電信	CTC	131,803	0.63%
中信証券	CTS	57,146	0.27%
東風集團股份	DFM	40,638	0.19%
思捷環球	ESP	14,280	0.07%
富智康集團	FIH	2,658	0.01%
銀河娛樂	GLX	307,566	1.46%
長城汽車	GWM	415,159	1.98%
海通證券	HAI	33,615	0.16%
恒生H股	HCF	21,569	0.10%
電能實業	HEH	63,506	0.30%
香港交易所	HEX	1,624,287	7.73%
恒安國際	HGN	25,658	0.12%
匯豐控股	HKB	1,380,273	6.57%
香港中華煤氣	HKG	21,016	0.10%
恒基地產	HLD	26,019	0.12%
華能國際電力股份	HNP	81,045	0.39%
恒生銀行	HSB	120,239	0.57%
江西銅業股份	JXC	127,694	0.61%
昆侖能源	KLE	33,389	0.16%
金山軟件	KSO	60,390	0.29%
聯想集團	LEN	305,294	1.45%
利豐	LIF	73,443	0.35%
蒙牛乳業	MEN	14,801	0.07%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百份比
美高梅中國	MGM	23,671	0.11%
民生銀行	MSB	105,835	0.50%
港鐵公司	MTR	45,990	0.22%
中國建材	NBM	187,143	0.89%
新華保險	NCL	19,449	0.09%
新世界發展	NWD	61,500	0.29%
中國平安	PAI	947,836	4.51%
中國石油股份	PEC	425,645	2.03%
中國財險	PIC	109,670	0.52%
中國人民保險集團	PIN	14,310	0.07%
保利協鑫能源	PLE	14,610	0.07%
富力地產	RFP	23,079	0.11%
金沙中國有限公司	SAN	461,734	2.20%
新鴻基地產	SHK	131,341	0.63%
渣打集團	STC	81,854	0.39%
太古股份公司A	SWA	26,878	0.13%
騰訊控股	TCH	2,953,411	14.06%
盈富基金	TRF	18,302	0.09%
九龍倉集團	WHL	47,472	0.23%
中國旺旺	WWC	18,875	0.09%
農業銀行	XAB	87,337	0.42%
中國銀行	XBC	1,139,738	5.43%
建設銀行	XCC	1,703,762	8.11%
工商銀行	XIC	976,207	4.65%
兗州煤業股份	YZC	33,311	0.16%
紫金礦業	ZJM	40,310	0.19%
總數		21,003,818	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月		交收指示的數量	股數 (10億股)	數值 (10億港元)
2016	第1季	85,208	47.09	170.11
	第2季	81,388	47.01	183.39
	第3季	82,516	47.08	190.09
	第4季	83,191	45.76	181.31
2017	第1季	86,101	44.09	183.38

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月		投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2016	第1季	249	129.91	89.17
	第2季	272	102.77	169.31
	第3季	314	61.95	148.35
	第4季	266	55.54	133.74
2017	第1季	294	279.49	184.39

經中央結算系統存管的股份

(按季度結存統計)

年／月		股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2016	第1季	5,558.03	74.67	14,395.88	56.61
	第2季	5,694.08	73.49	14,309.49	49.13
	第3季	5,865.26	74.21	15,979.62	57.22
	第4季	5,878.89	74.27	15,381.54	56.60
2017	第1季	6,015.72	74.42	16,988.34	57.10

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣，「已劃分的買賣」和兩邊客買賣)

年／月	買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2016 第1季	1,196,563	100	221,073.88	100	72,576.98	100
第2季	1,030,032	100	191,095.88	100	62,524.66	100
第3季	1,047,611	100	174,563.55	100	68,188.97	100
第4季	1,052,858	100	159,370.39	100	64,205.68	100
2017 第1季	1,125,959	100	202,641.72	100	74,176.32	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2016 第1季	69,511	96.91	24,993.76	94.24
第2季	66,419	96.57	23,875.24	93.49
第3季	70,706	96.36	23,634.05	92.51
第4季	71,738	96.37	16,416.17	91.57
2017 第1季	75,873	96.41	27,626.91	93.13

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月	到期交收日	交收效率(%)	
		到期交收日	到期交收日翌日
2016 第1季	99.93		99.99
第2季	99.92		99.99
第3季	99.91		99.99
第4季	99.90		99.99
2017 第1季	99.92		99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2017年第1季	2016年第1季
恒生指數期貨	158,358	105,887
恒生指數期權	327,038	243,225
小型恒生指數期貨	9,241	9,138
小型恒生指數期權	11,064	9,008
H股指數期貨	329,224	357,894
H股指數期權	2,633,448	2,214,952
小型H股指數期貨	4,799	5,122
小型H股指數期權 ¹	5,602	–
自訂條款恒生指數期權	0	2,975
自訂條款H股指數期權	11,930	20,731
恒指股息點指數期貨	6,071	5,875
恒生國企股息點指數期貨	114,305	160,528
恒指波幅指數期貨	0	3
中華交易服務中國120指數期貨	17	9
中華交易服務博彩業指數期貨 ²	0	–
恒生中國內地石油及天然氣指數期貨 ³	0	–
恒生中國內地銀行指數期貨 ⁴	22	–
恒生中國內地醫療保健指數期貨 ⁵	0	–
恒生中國內地地產指數期貨 ⁶	0	–
恒生資訊科技器材指數期貨 ⁷	0	–
恒生軟件服務指數期貨 ⁸	0	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	5,250	7,121
股票期權	6,673,563	5,368,449
三個月港元利率期貨	40	0
一個月港元利率期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	40,103	28,215
人民幣貨幣期貨—美元兌人民幣(香港)期權 ⁹	111	–
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 ¹⁰	80	–
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 ¹¹	6	–
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 ¹²	19	–
人民幣貨幣期貨—人民幣(香港)兌美元期貨 ¹³	1,483	–
倫敦鋁期貨小型合約	14	33
倫敦鋅期貨小型合約	16	136
倫敦銅期貨小型合約	0	42
倫敦鉛期貨小型合約	2	0
倫敦鎳期貨小型合約	14	6
倫敦錫期貨小型合約	0	6
總數	10,331,820	8,539,355

¹ 小型H股指數期權由2016年9月05日開始買賣

² 中華交易服務博彩業指數期貨由2016年5月09日開始買賣

³ 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣

⁴ 恒生中國內地銀行指數期貨由2016年5月09日開始買賣

⁵ 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣

⁶ 恒生中國內地地產指數期貨由2016年5月09日開始買賣

⁷ 恒生資訊科技器材指數期貨由2016年5月09日開始買賣

⁸ 恒生軟件服務指數期貨由2016年5月09日開始買賣

⁹ 人民幣貨幣期貨—美元兌人民幣(香港)期權由2017年3月20日開始買賣

¹⁰ 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹¹ 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣

¹² 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹³ 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣

結算及交收

各期權類別之未平倉合約

2017年第1季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	72,658	1.09
安徽海螺水泥股份	ACC	38,075	0.57
友邦保險	AIA	86,906	1.30
中國鋁業	ALC	6,692	0.10
華夏滬深三百	AMC	26,050	0.39
交通銀行	BCM	41,815	0.63
東亞銀行	BEA	13,520	0.20
百麗國際	BIH	14,115	0.21
中銀香港	BOC	44,281	0.66
比亞迪股份	BYD	14,383	0.22
中國交通建設	CCC	31,014	0.46
中煤能源	CCE	9,921	0.15
中遠海控	CCS	9,860	0.15
中國信達	CDA	9,053	0.14
中廣核電力	CGN	2,072	0.03
中國移動	CHT	198,549	2.98
中國聯通	CHU	89,423	1.34
中信股份	CIT	13,773	0.21
長和	CKH	65,821	0.99
長實地產	CKP	17,150	0.26
中國人壽	CLI	225,838	3.38
中電控股	CLP	5,336	0.08
招商銀行	CMB	62,997	0.94
中國海洋石油	CNC	272,849	4.09
中國海外發展	COL	51,325	0.77
國泰航空	CPA	4,322	0.06
中國石油化工股份	CPC	112,406	1.68
中國太保	CPI	28,771	0.43
中國鐵建	CRC	23,350	0.35
中國中鐵	CRG	15,265	0.23
華潤置地	CRL	15,358	0.23
X標智滬深300	CS3	6	0.00
南方A50	CSA	55,449	0.83
中國神華	CSE	136,519	2.05
中信銀行	CTB	109,255	1.64
中國電信	CTC	86,401	1.29
中信証券	CTS	13,078	0.20
東風集團股份	DFM	7,386	0.11
思捷環球	ESP	2,416	0.04
富智康集團	FIH	748	0.01
銀河娛樂	GLX	58,388	0.87
長城汽車	GWM	144,288	2.16
海通證券	HAI	13,412	0.20

結算及交收

期權類別		未平倉合約	佔市場百份比
恒生H股	HCF	4,953	0.07
電能實業	HEH	13,227	0.20
香港交易所	HEX	359,696	5.39
恒安國際	HGN	5,483	0.08
匯豐控股	HKB	419,636	6.29
香港中華煤氣	HKG	8,556	0.13
恒基地產	HLD	7,966	0.12
華能國際電力股份	HNP	23,564	0.35
恒生銀行	HSB	48,911	0.73
江西銅業股份	JXC	22,148	0.33
昆侖能源	KLE	10,778	0.16
金山軟件	KSO	18,665	0.28
聯想集團	LEN	144,384	2.16
利豐	LIF	23,136	0.35
蒙牛乳業	MEN	2,329	0.03
美高梅中國	MGM	4,147	0.06
民生銀行	MSB	60,757	0.91
港鐵公司	MTR	15,082	0.23
中國建材	NBM	18,004	0.27
新華保險	NCL	3,861	0.06
新世界發展	NWD	14,335	0.21
中國平安	PAI	365,301	5.47
中國石油股份	PEC	147,622	2.21
中國財險	PIC	37,912	0.57
中國人民保險集團	PIN	6,586	0.10
保利協鑫能源	PLE	6,375	0.10
富力地產	RFP	5,661	0.08
金沙中國有限公司	SAN	125,047	1.87
新鴻基地產	SHK	23,787	0.36
渣打集團	STC	22,482	0.34
太古股份公司A	SWA	12,091	0.18
騰訊控股	TCH	783,958	11.75
盈富基金	TRF	11,105	0.17
九龍倉集團	WHL	8,676	0.13
中國旺旺	WWC	5,813	0.09
農業銀行	XAB	34,104	0.51
中國銀行	XBC	526,502	7.89
建設銀行	XCC	577,897	8.66
工商銀行	XIC	469,539	7.04
兗州煤業股份	YZC	4,496	0.07
紫金礦業	ZJM	18,697	0.28
總數		6,673,563	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2016	第1季	575 (981)	526 (925)	33 (40)	16 (16)
	第2季	582 (985)	530 (924)	36 (45)	16 (16)
	第3季	599 (1002)	547 (941)	36 (45)	16 (16)
	第4季	608 (1011)	556 (950)	36 (45)	16 (16)
2017	第1季	626 (1024)	575 (965)	35 (43)	16 (16)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2016	第1季	230 (253)	177 (198)	–	53 (55)
	第2季	229 (252)	176 (197)	–	53 (55)
	第3季	231 (254)	178 (199)	–	53 (55)
	第4季	233 (256)	180 (201)	–	53 (55)
2017	第1季	234 (257)	181 (202)	–	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2016 第1季	148	10
第2季	148	9
第3季	150	9
第4季	152	9
2017 第1季	152	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2016 第1季	66	10
第2季	66	9
第3季	65	9
第4季	65	9
2017 第1季	64	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2016 第1季	503	9	43
第2季	507	9	43
第3季	525	9	43
第4季	533	9	42
2017 第1季	552	9	45

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
L&I Products	槓桿及反向產品
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券