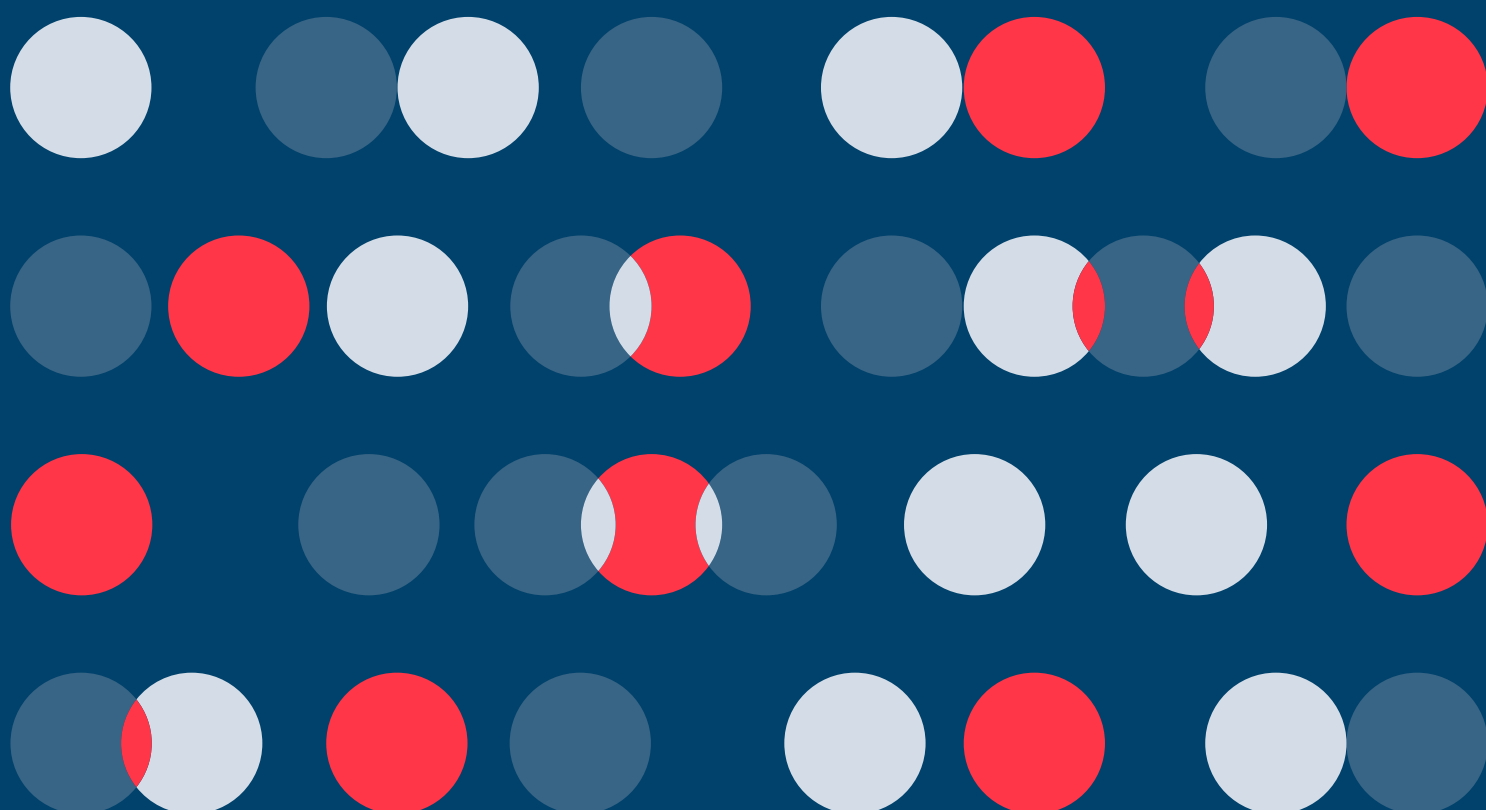


證券及衍生產品市場季報

2019年第一季



市場概況

主板

概況	2019年第1季	2018年第1季
上市公司數目	1,959	1,827
上市證券數目	13,994	13,527
股份		
普通股	1,960	1,828
優先股	17	16
認股權證	6,726	6,967
股份	2	4
衍生	6,724	6,963
股票掛鈎票據	0	0
牛熊證	3,899	3,476
單位信託及互惠基金	149	145
債券	1,243	1,095
非上市可交易股份數目		
納斯達克股份	6	6
iShares	0	0
交易日數	60	61
總成交金額(百萬港元)*	6,047,990.67	8,869,379.61
總成交股數(百萬股)	20,397,695.25	15,299,180.54
總成交宗數(宗數)	98,011,862	109,082,001
上市公司市價總值(百萬港元)	33,647,822.31	34,138,548.54
平均市盈率(倍)	11.56	12.42
平均週息率(百分率)	2.96	3.15
交易所參與者數目		
開業	646	626
非開業	34	32
成交金額(百萬港元)		
最高	168,754.50 (25/02)	283,592.75 (23/03)
最低	68,052.96 (02/01)	96,783.80 (21/02)
成交股數(百萬股)		
最高	456,164.80 (13/02)	344,331.54 (16/01)
最低	215,429.34 (02/01)	185,147.75 (05/01)
總成交宗數(宗數)		
最高	2,262,672 (25/02)	2,858,682 (06/02)
最低	1,216,439 (02/01)	1,342,527 (21/02)

* 成交金額包括非港元交易買賣的證券

市場概況

GEM

概況	2019年第1季	2018年第1季
上市公司數目	387	352
上市證券數目	388	353
股份	387	352
認股權證	1	1
交易日數	60	61
總成交金額(百萬港元)*	15,250.71	40,458.50
總成交股數(百萬股)	50,806.57	108,015.34
總成交宗數(宗數)	706,132	1,559,860
上市公司市價總值(百萬港元)	166,890.33	263,735.88
平均市盈率(倍)	27.40	42.23
平均週息率(百分率)	0.38	0.34
成交金額(百萬港元)		
最高	598.83 (29/03)	1,191.42 (22/02)
最低	121.84 (08/02)	385.57 (26/03)
成交股數(百萬股)		
最高	2,725.35 (29/03)	2,844.85 (22/02)
最低	320.97 (08/02)	1,134.05 (26/03)
總成交宗數(宗數)		
最高	28,239 (29/03)	45,017 (25/01)
最低	6,277 (08/02)	14,997 (26/03)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2019年第1季	2018年第1季
所有期貨及期權產品(股票期權除外)		
交易日數	60	61
總合約成交量	39,568,006	41,286,093
股票指數產品	38,622,709	40,761,917
股票產品	311,049	65,484
利率產品	23	55
人民幣貨幣產品	533,846	326,641
黃金期貨	97,038	128,273
鐵礦石期貨	3,285	3,043
倫敦金屬期貨小型合約	56	680
平均每日合約成交量	659,467	676,821
季末未平倉合約		
股票指數產品	3,608,393	3,699,465
股票產品	3,547,644	3,653,039
利率產品	20,845	11,729
利率產品	113	290
人民幣貨幣產品	38,377	34,037
黃金期貨	550	190
鐵礦石期貨	851	169
倫敦金屬期貨小型合約	13	11

股票期權		
新開辦期權類別	0	5
股票期權數目(於季末數目)		
類別	96	89
系列	35,666	33,278
期權金總額(百萬港元)		
認購	24,070.13	36,925.03
認沽	12,733.26	21,481.36
認沽	11,336.87	15,443.66
平均每日期權金總額(百萬港元)	401.17	605.33
總合約成交量	31,683,747	40,592,232
認購	16,040,579	21,671,733
認沽	15,643,168	18,920,499
平均每日合約成交量	528,062	665,446
季末未平倉合約	8,579,783	9,585,088
認購	4,239,514	5,043,828
認沽	4,340,269	4,541,260
總成交宗數	1,255,648	1,567,038
平均每日成交宗數	20,927	25,689
已行使合約總數	2,535,688	3,346,767
每張合約平均期權金(港元)	759.70	909.66
每宗交易平均合約數目	25	26
認沽數量／認購數量	0.98	0.87
平均每日合約成交量／未平倉合約	6.2%	6.9%
所有期貨及期權產品		
總合約成交量	71,251,753	81,878,325
季末未平倉合約	12,188,176	13,284,553

市場概況

結算及交收

中央結算系統營運資料	2019年第1季	2018年第1季
經中央結算系統處理之聯交所交易(每日平均數)		
- 交易宗數	1,644,627	1,813,140
- 交易金額(十億港元)	100.7	145.8
- 涉及股數(十億股)	340.8	252.6
經中央結算系統處理的交收指示(每日平均數)		
- 交收指示數目	101,072	113,959
- 交收指示涉及金額(十億港元)	234.6	299.5
- 涉及股數(十億股)	47.7	55.3
經中央結算系統處理的投資者交收指示(每日平均數)		
- 交收指示數目	251	442
- 交收指示涉及金額(百萬港元)	109.6	283.9
- 涉及股數(百萬股)	87.1	87.6
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.92	99.89
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
- 涉及經紀數目	3	4
- 補購宗數	3	5
- 補購涉及金額(百萬港元)	1.5	7.7
存放於中央結算系統證券存管處的股份*		
- 股數(十億股)	6,713.0	6,628.9
- 獲納入系統的證券佔已發行股本總數的百分比	75.97	76.47
- 股份價值(十億港元)	21,734.4	22,128.7
- 獲納入系統的證券佔市價總值的百分比	60.09	60.04

衍生產品結算及交收系統營運資料	2019年第1季	2018年第1季
季末未平倉合約		
- 股市指數期貨	681,453	521,279
- 股票期貨	20,845	11,729
- 利率期貨	113	290
- 人民幣貨幣期貨	34,348	30,515
- 黃金期貨	550	190
- 鐵礦石期貨	851	169
- 倫敦金屬期貨小型合約	13	11
- 股市指數期權	2,866,191	3,131,760
- 股票期權	8,579,783	9,585,088
- 人民幣貨幣期權	4,029	3,522
累積行使股票期權		
- 涉及股數(百萬股)	2,535	3,007
- 涉及金額(十億港元)	59	83

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2018	第 1 季	922,529.87	6,442,430.45	82,448,315
	第 2 季	764,395.06	4,893,924.22	74,184,981
	第 3 季	662,200.49	4,350,400.73	70,039,018
	第 4 季	586,848.27	3,646,713.37	64,855,996
2019	第 1 季	750,792.38	4,110,410.18	73,076,097

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2018	第 1 季	61	105,613.61	78,139
	第 2 季	60	81,565.40	65,969
	第 3 季	63	69,053.98	62,114
	第 4 季	62	58,817.96	56,228
2019	第 1 季	60	68,506.84	56,248

主 板 股 份

20種升幅最大之股份

2019年第1季

順次	股份代號	股份	收市價		升幅
			2019年3月底	2018年12月底	
1	00381	僑雄國際	0.075	0.014	435.71
2	01255	港大零售	8.100	2.350	244.68
3	01068	雨潤食品	1.860	0.640	190.63
4	03313	雅高控股	1.100	0.380	189.47
5	01029	鐵貨	0.136	0.047	189.36
6	01629	冠均國際控股	5.320	1.840	189.13
7	01096	中能國際控股	0.255	0.097	162.89
8	02327	美瑞健康國際	0.960	0.380	152.63
9	00809	大成生化科技	0.123	0.049	151.02
10	01831	十方控股	0.131	0.054	142.59
11	00339	核心經濟投資	1.030	0.430	139.53
12	01671	天保能源	2.200	0.930	136.56
13	00735	中國電力清潔能源	5.160	2.370	117.72
14	01755	新城悅	8.120	3.850	110.91
15	03889	大成糖業	0.114	0.055	107.27
16	01610	中糧肉食	2.850	1.450	96.55
17	02211	大健康國際	0.129	0.066	95.45
18	00572	未來世界金融	0.101	0.052	94.23
19	00334	華顯光電	0.970	0.500	94.00
20	00921	海信家電	11.040	5.770	91.33

A 經調整

20種跌幅最大之股份

2019年第1季

順次	股份代號	股份	收市價		跌幅
			2019年3月底	2018年12月底	
1	00885	仁天科技控股	0.020	0.074	-72.97
2	02768	佳源國際控股	4.150	14.480	-71.34
3	00689	長盈集團(控股)	0.124	0.430	-71.16
4	01220	志道國際	0.111	0.370	-70.00
5	00996	嘉年華國際	0.047	0.145	-67.59
6	01106	銘霖控股	0.046	0.117	-60.68
7	01281	隆基泰和智慧能源	0.720	1.780	-59.55
8	01822	弘達金融控股	0.045	0.110	-59.09
9	02608	陽光100中國	1.550	3.700	-58.11
10	00209	瀛晟科學	0.021	0.050	-58.00
11	02221	創業集團控股	1.490	3.490	-57.31
12	00395	中國智能集團	0.405	0.900	-55.00
13	00750	興業太陽能	0.990	2.150	-53.95
14	00928	蓮和醫療	0.056	0.119	-52.94
15	03344	共享集團	0.150	0.300	-50.00
16	01499	前進控股集團	0.160	0.300	-46.67
17	01575	慕容控股	0.325	0.590	-44.92
18	01462	金誠控股	0.238	0.425	-44.00
19	00223	易生活控股	0.085	0.150	-43.33
20	01723	港亞控股	3.720	6.500	-42.77

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2019年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00700	騰訊控股	378,959.47	9.22
2	02318	中國平安	179,460.00	4.37
3	00939	建設銀行	132,063.49	3.21
4	01299	友邦保險	93,118.81	2.27
5	00941	中國移動	88,538.37	2.15
6	00005	匯豐控股	82,806.37	2.01
7	00388	香港交易所	79,083.34	1.92
8	01398	工商銀行	78,970.06	1.92
9	00788	中國鐵塔	74,226.14	1.81
10	02628	中國人壽	72,579.15	1.77
11	01810	小米集團-W	71,494.65	1.74
12	03988	中國銀行	62,285.14	1.52
13	00175	吉利汽車	60,117.82	1.46
14	02382	舜宇光學科技	45,895.81	1.12
15	03968	招商銀行	45,563.37	1.11
16	01918	融創中國	43,618.41	1.06
17	00883	中國海洋石油	42,297.45	1.03
18	00027	銀河娛樂	40,875.85	0.99
19	00386	中國石油化工股份	38,978.20	0.95
20	06030	中信証券	37,987.86	0.92
總額			1,748,919.77	42.55

20種成交最活躍股份(股數)

2019年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00788	中國鐵塔	42,811.86	5.70
2	00885	仁天科技控股	25,313.08	3.37
3	00939	建設銀行	19,257.83	2.57
4	03988	中國銀行	17,383.83	2.32
5	00996	嘉年華國際	16,375.20	2.18
6	01398	工商銀行	13,412.63	1.79
7	00381	僑雄國際	11,376.30	1.52
8	03800	保利協鑫能源	8,705.83	1.16
9	00729	五龍電動車	7,933.71	1.06
10	00395	中國智能集團	7,661.73	1.02
11	00621	壇金礦業	7,477.33	1.00
12	01288	農業銀行	6,714.68	0.89
13	01810	小米集團-W	6,444.88	0.86
14	00787	利標品牌	6,189.34	0.82
15	00386	中國石油化工股份	6,115.73	0.81
16	00857	中國石油股份	6,017.18	0.80
17	01029	鐵貨	5,800.09	0.77
18	00136	恒騰網絡	5,523.33	0.74
19	00698	通達集團	5,134.60	0.68
20	00555	御泰中彩控股	4,971.96	0.66
總數			230,621.12	30.72

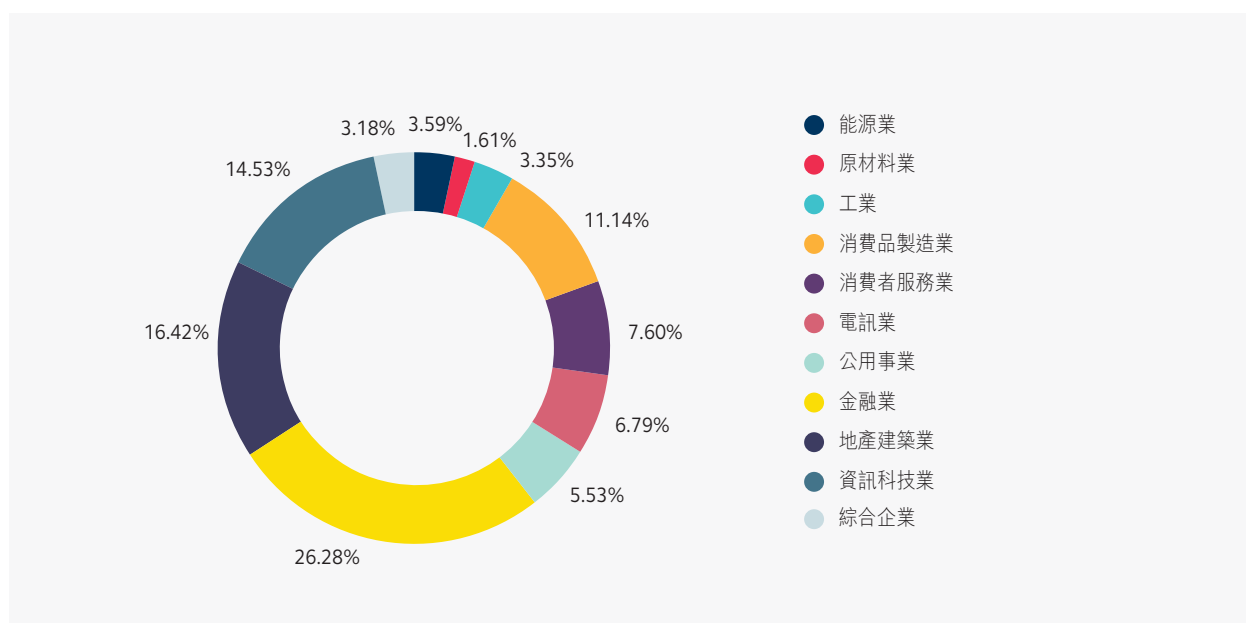
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2018	第 1 季	34,138,548.54
	第 2 季	32,855,932.37
	第 3 季	31,956,761.39
	第 4 季	29,723,238.27
2019	第 1 季	33,647,822.31

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2019年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00700	騰訊控股有限公司	3,436,856.41	10.21
2	00941	中國移動有限公司	1,638,038.63	4.87
3	00939	中國建設銀行股份有限公司－H股	1,618,008.56	4.81
4	00005	匯豐控股有限公司	1,304,603.58	3.88
5	01299	友邦保險控股有限公司	943,824.84	2.81
6	00883	中國海洋石油有限公司	656,317.60	1.95
7	02318	中國平安保險(集團)股份有限公司－H股	654,642.01	1.95
8	01398	中國工商銀行股份有限公司－H股	499,065.76	1.48
9	02378	英國保誠有限公司*	–	412,328.12 不適用
10	00016	新鴻基地產發展有限公司	390,254.22	1.16
11	00011	恒生銀行有限公司	370,323.94	1.10
12	02388	中銀香港(控股)有限公司	343,615.36	1.02
13	03333	中國恒大集團	342,526.06	1.02
14	00388	香港交易及結算所有限公司	342,172.93	1.02
15	00267	中國中信股份有限公司	340,937.88	1.01
16	00688	中國海外發展有限公司	326,494.81	0.97
17	01928	金沙中國有限公司	318,801.34	0.95
18	00001	長江和記實業有限公司	317,947.03	0.94
19	00762	中國聯合網絡通信(香港)股份有限公司	304,451.34	0.90
20	03690	美團點評－W	303,072.24	0.90
21	00066	香港鐵路有限公司	298,392.05	0.89
22	03988	中國銀行股份有限公司－H股	297,695.30	0.88
23	00003	香港中華煤氣有限公司	289,572.26	0.86
24	01810	小米集團－W	272,316.19	0.81
25	02007	碧桂園控股有限公司	265,400.63	0.79
26	00945	Manulife Financial Corporation *	–	262,017.36 不適用
27	01113	長江實業集團有限公司	257,799.35	0.77
28	01109	華潤置地有限公司	243,969.07	0.73
29	00027	銀河娛樂集團有限公司	231,423.41	0.69
30	00002	中電控股有限公司	229,907.00	0.68
31	03328	交通銀行股份有限公司－H股	225,126.28	0.67
32	00012	恒基兆業地產有限公司	219,622.92	0.65
33	02888	渣打集團有限公司	200,727.08	0.60
34	01972	太古地產有限公司	197,437.50	0.59
35	01997	九龍倉置業地產投資有限公司	177,467.49	0.53
36	03968	招商銀行股份有限公司－H股	175,142.88	0.52
37	01918	融創中國控股有限公司	172,668.50	0.51
38	01038	長江基建集團有限公司	170,836.07	0.51
39	00960	龍湖集團控股有限公司	164,337.31	0.49
40	02313	申洲國際集團控股有限公司	158,139.00	0.47
41	00386	中國石油化工股份有限公司－H股	157,928.18	0.47
42	02628	中國人壽保險股份有限公司－H股	157,008.79	0.47
43	02020	安踏體育用品有限公司	143,378.20	0.43
44	00384	中國燃氣控股有限公司	140,082.93	0.42
45	00019	太古股份有限公司	138,241.17	0.41
46	00175	吉利汽車控股有限公司	134,845.36	0.40
47	06862	海底撈國際控股有限公司	133,030.00	0.40
48	00017	新世界發展有限公司	133,028.28	0.40
49	00288	萬洲國際有限公司	123,278.75	0.37
50	00020	會德豐有限公司	117,757.08	0.35
總值			20,078,513.56	59.67
市場總值			33,647,822.31	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2018	第1季	988,343.62	8,909,838.11	11.09
	第2季	772,146.39	6,410,882.11	12.04
	第3季	786,746.65	5,790,267.37	13.59
	第4季	777,054.26	5,311,774.48	14.63
2019	第1季	831,592.80	6,063,241.38	13.72

* 包括主板及GEM市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2018	第1季	73,962.52	15,407,195.88	0.48
	第2季	62,536.85	12,221,529.12	0.51
	第3季	63,962.52	12,829,760.90	0.50
	第4季	64,067.68	15,188,313.38	0.42
2019	第1季	70,290.01	20,448,501.82	0.34

* 包括主板及GEM市場總股數

新上市統計—公司

		新上市 公司數目	GEM公司 公司轉板數目*	集資金額 (百萬港元)#
2018	第1季	31	5	22,173.45
	第2季	20	2	26,033.23
	第3季	40	1	190,338.13
	第4季	42	2	44,400.75
2019	第1季	32	6	20,571.76

* 祇包括引用《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由GEM轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2019年第1季

股份代號	公司	上市日期	已發行股數	集資金額(百萬港元)
06058	興證國際金融集團有限公司*	2019/01/03	4,000,000,000	-
01845	維港環保科技控股集團有限公司	2019/01/03	1,333,335,000	274.97
01743	浙江蒼南儀錶集團股份有限公司－H股	2019/01/04	17,901,167	282.84
01841	優越集團控股有限公司*	2019/01/11	400,000,000	-
02360	優品360控股有限公司	2019/01/11	1,000,000,000	250.00
02885	彼岸控股有限公司	2019/01/11	400,000,000	125.00
01767	TS Wonders Holding Ltd.	2019/01/14	1,000,000,000	125.00
01785	成都高速公路股份有限公司－H股	2019/01/15	456,102,000	1,003.42
06162	中國天瑞汽車內飾件有限公司	2019/01/15	2,000,000,000	125.00
02013	微盟集團	2019/01/15	2,011,355,000	846.65
01851	中國銀杏教育集團有限公司	2019/01/18	500,000,000	180.00
01890	中國科培教育集團有限公司	2019/01/25	2,000,798,667	878.25
01896	貓眼娛樂	2019/02/04	1,126,265,575	1,959.18
01850	海鑫集團有限公司*	2019/02/14	800,000,000	-
01703	首豐控股有限公司	2019/02/15	1,000,000,000	150.00
01827	卓珈控股集團有限公司*	2019/02/18	400,000,000	-
02616	基石藥業－B	2019/02/26	1,012,010,532	2,572.26
02019	德信中國控股有限公司	2019/02/26	2,701,341,000	1,683.75
01793	偉工控股有限公司	2019/02/27	800,000,000	125.00
01872	冠幟控股有限公司	2019/02/28	900,000,000	96.75
01025	嘉藝控股有限公司	2019/02/28	520,000,000	127.40
02108	K2 F&B Holdings Ltd.	2019/03/06	800,000,000	150.00
01902	銀城國際控股有限公司	2019/03/06	1,446,962,138	914.34
00150	Hypebeast Ltd. *	2019/03/08	2,000,000,000	-
01158	浙江開元酒店管理股份有限公司－H股	2019/03/11	70,000,000	1,155.00
01917	豆盟科技有限公司	2019/03/14	2,300,000,000	81.10
03316	濱江服務集團有限公司	2019/03/15	276,407,000	531.79
01907	中國旭陽集團有限公司	2019/03/15	4,090,000,000	1,932.00
01891	興合控股有限公司	2019/03/15	1,000,000,000	125.00
01563	國際友聯融資租賃有限公司	2019/03/15	1,500,000,000	420.75
03662	奧園健康生活集團有限公司	2019/03/18	726,250,000	736.58
02682	潤利海事集團控股有限公司	2019/03/18	1,000,000,000	125.00
01897	美亨實業控股有限公司	2019/03/19	431,095,060	-
01854	展程控股有限公司*	2019/03/21	1,280,000,000	-
06819	中智全球控股有限公司	2019/03/27	449,470,655	432.82
01865	管道工程控股有限公司	2019/03/27	920,000,000	126.50
06185	康希諾生物－B－H股	2019/03/28	132,670,900	1,357.38
01797	新東方在線科技控股有限公司	2019/03/28	913,959,102	1,678.03
總額				20,571.76

* 原為GEM上市公司，後引用於2008年7月1日生效的《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2019年第1季

股份代號	公 司	最後買賣日期	除牌日期
00368	中外運航運有限公司	2018/12/14	2019/01/16
03355	上海先進半導體製造股份有限公司－H股	2019/01/17	2019/01/25
03777	中國光纖網絡系統集團有限公司	2016/10/12	2019/02/14
00873	中國泰豐床品控股有限公司	2015/02/26	2019/02/21
00909	中大國際控股有限公司	2011/09/02	2019/03/08

主 板 股 份

公司名稱更改

2019年第1季

股份代號	原有名稱	新名稱	生效日期	採用日期
01573	中國優質能源集團有限公司	南方能源控股集團有限公司	2018/12/03	2019/01/11
00629	悅達礦業控股有限公司	悅達國際控股有限公司	2018/12/05	2019/01/11
00704	和嘉資源控股有限公司	和嘉控股有限公司	2018/11/29	2019/01/21
01106	中國海景控股有限公司	銘霖控股有限公司	2019/01/07	2019/02/08
00139	中國軟實力科技集團有限公司	中達集團控股有限公司	2019/01/14	2019/02/11
00206	TSC集團控股有限公司	華商國際海洋能源科技控股有限公司	2019/01/11	2019/02/18
00112	勒泰商業地產有限公司	勒泰集團有限公司	2019/02/15	2019/02/21
02113	東盈控股有限公司	世紀集團國際控股有限公司	2019/01/31	2019/03/08
01629	盟科控股有限公司	冠均國際控股有限公司	2019/02/20	2019/03/20
00261	中建置地集團有限公司	大灣區投資控股集團有限公司	2019/02/28	2019/03/29

主 板 股 份

紅 股／紅利認股權證

2019年第1季

股份代號	公 司	詳 情	除 淨 日
01858	北京市春立正達醫療器械股份有限公司－H股	1 for 1	2019/02/22

股 份 拆 細 及 合 併

2019年第1季

股份代號	公 司	詳 情	生 效 日 期
00223	易生活控股有限公司	2股合併為1股	2019/01/08
01094	中國公共採購有限公司	10股合併為1股	2019/02/21
01239	Teamway International Group Holdings Ltd.	10股合併為1股	2019/02/22
00803	昌興國際控股(香港)有限公司	10股合併為1股	2019/02/25
02700	格林國際控股有限公司	4股合併為1股	2019/03/04
01227	國盛投資基金有限公司	10股合併為1股	2019/03/06
00193	冠中地產有限公司	20股合併為1股	2019/03/13
00204	中國投資開發有限公司	10股合併為1股	2019/03/21
00263	高富集團控股有限公司	10股合併為1股	2019/03/29

主 板 股 份

供股／公開售股

2019年第1季

月份	股份代號	公司	比率	集資金額 (百萬港元)
1月	00206	華商國際海洋能源科技 控股有限公司	股權1供1，每股供0.45港元	662.92
2月	00328	愛高集團有限公司	股權4供1，每股供0.87港元	125.84
	02286	辰興發展控股有限公司	股權5供1，每股供1.50港元	150.00
總額				938.76

主 板 股 份

股份配售*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2019					
1 月	06098	碧桂園服務	168,761,000	11.6100	1,959.32
	00476	中國動力控股	330,000,000	0.1000	33.00
	00204	中國投資開發	1,760,000,000	0.0180	31.68
	00471	中播控股	66,081,535	0.1080	7.14
	00061	綠領控股	1,462,200,000	0.0400	58.49
	01087	威訊控股	234,000,000	0.1600	37.44
	00768	開明投資	211,954,000	0.0820	17.38
	01176	珠光控股	770,000,000	1.3000	1,001.00
2 月	00339	核心經濟投資	27,800,000	0.4000	11.12
	00265	東勝旅遊	156,460,000	0.1450	22.69
	00218	申萬宏源香港	765,000,000	2.0680	1,582.02
	00515	達進東方照明	453,039,495	0.1000	45.30
	01239	TEAMWAY INTL GP	550,000,000	0.0200	11.00
	00872	啟迪國際	671,425,871	0.6084	408.50
3 月	01060	阿里影業	1,000,000,000	1.2500	1,250.00
	01121	寶峰時尚	182,800,000	0.2700	49.36
	00371	北控水務集團	598,397,150	4.2900	2,567.12
	00633	中國全通	30,182,000	0.4800	14.49
	00153	中國賽特	260,000,000	0.5000	130.00
	00080	中國新經濟投資	220,000,000	0.1000	22.00
	00572	未來世界金融	900,000,000	0.0640	57.60
	03344	共享集團	177,208,000	0.1800	31.90
	01003	歡喜傳媒	236,600,000	1.6507	390.56
	01656	億仕登控股	26,987,295	1.1600	31.31
	01555	MI能源	112,000,000	0.1000	11.20
	00686	熊貓綠能	5,721,193,467	0.3000	1,716.36
	01096	中能國際控股	77,335,826	0.2565	19.84
	00058	新威國際	124,800,000	0.1360	16.97
	00968	信義光能	380,000,000	3.4700	1,318.60
總 額					12,853.36

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認 股 權 證 交 易 統 計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2018	第1季	14.79	1,420,399.00	1,420,413.79
	第2季	0.26	798,436.24	798,436.50
	第3季	0.33	783,971.47	783,971.81
	第4季	6.44	863,336.45	863,342.90
2019	第1季	0.92	1,009,753.31	1,009,754.23

認 股 權 證 市 價 總 值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2018	第1季	4	61.57	6,963	118,398.35	6,967	118,459.92
	第2季	1	48.51	7,189	70,085.05	7,190	70,133.56
	第3季	1	42.92	7,229	85,285.94	7,230	85,328.86
	第4季	2	71.12	6,763	70,515.61	6,765	70,586.73
2019	第1季	2	43.87	6,724	110,213.51	6,726	110,257.38

新 上 市 統 計 — 認 股 權 證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2018	第1季	0	3,076	69,887.42
	第2季	0	2,709	58,049.31
	第3季	0	3,348	72,114.72
	第4季	1	2,661	52,904.05
2019	第1季	0	2,965	53,532.77

新 上 市 股 份 認 股 權 證

2019年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					-

主 板 認 股 權 證

新上市衍生權證

2019年第1季

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
17366	Credit Suisse AG - AAC Tech European Warrants Jul 2019 A	20,000,000	2019/01/02	2019/07/02	11.40
17377	Credit Suisse AG - AAC Tech European Put Warrants Jul 2019 B	20,000,000	2019/01/02	2019/07/02	12.00
17357	Credit Suisse AG - CHALCO European Wts Jul 2019 A	30,000,000	2019/01/02	2019/07/02	10.20
17376	Credit Suisse AG - BAIC Motor European Warrants Jul 2019 B	20,000,000	2019/01/02	2019/07/02	12.80
17292	Credit Suisse AG - BJ Ent Water European Warrants Jul 2019 A	20,000,000	2019/01/02	2019/07/02	10.00
17326	Credit Suisse AG - CC Bank European Warrants Apr 2019 B	50,000,000	2019/01/02	2019/04/26	13.50
17329	Credit Suisse AG - CC Bank European Warrants May 2019 A	50,000,000	2019/01/02	2019/05/28	10.00
17303	Credit Suisse AG - CGN Power European Warrants Jun 2019 A	60,000,000	2019/01/02	2019/06/26	10.20
17363	Credit Suisse AG - CGS European Warrants Jul 2019 A	35,000,000	2019/01/02	2019/07/31	12.25
17335	Credit Suisse AG - CK Asset European Warrants Jul 2019 A	40,000,000	2019/01/02	2019/07/02	13.40
17287	Credit Suisse AG - CKH Holdings European Warrants Jul 2019 A	40,000,000	2019/01/02	2019/07/02	16.80
17293	Credit Suisse AG - Sinopec Corp European Warrants Jul 2019 A	50,000,000	2019/01/02	2019/07/02	12.50
17351	Credit Suisse AG - CPIC European Warrants Jul 2019 B	40,000,000	2019/01/02	2019/07/02	10.00
17305	Credit Suisse AG - China Railway European Warrants Jun 2019A	25,000,000	2019/01/02	2019/06/05	10.63
17354	Credit Suisse AG - CSOP A50 ETF European Warrants Apr 2019 A	50,000,000	2019/01/02	2019/04/23	21.00
17380	Credit Suisse AG - China South Air European Wts May 2019 A	20,000,000	2019/01/02	2019/05/10	13.00
17294	Credit Suisse AG - China Telecom European Wts Jul 2019 A	50,000,000	2019/01/02	2019/07/02	12.50
17358	Credit Suisse AG - A50 China European Warrants Apr 2019 A	50,000,000	2019/01/02	2019/04/26	22.00
17346	Credit Suisse AG - Geely Auto European Warrants Apr 2019 D	100,000,000	2019/01/02	2019/04/23	18.50
17289	Credit Suisse AG - Galaxy Ent European Warrants Apr 2019 D	50,000,000	2019/01/02	2019/04/30	22.50
17348	Credit Suisse AG - Hua Hong Semi European Warrants Jul 2019A	40,000,000	2019/01/02	2019/07/02	10.00
17322	Credit Suisse AG - Huaneng Power European Warrants Jul 2019A	35,000,000	2019/01/02	2019/07/02	10.50
17350	Credit Suisse AG - ICBC European Warrants May 2019 A	50,000,000	2019/01/02	2019/05/24	10.00
17302	Credit Suisse AG - IGG European Warrants Nov 2019 A	40,000,000	2019/01/02	2019/11/29	10.00
17291	Credit Suisse AG - Kunlun Energy European Warrants Jul 2019A	20,000,000	2019/01/02	2019/07/02	10.20
17333	Credit Suisse AG - Lenovo European Warrants Jun 2019 A	40,000,000	2019/01/02	2019/06/03	15.40
17345	Credit Suisse AG - NCI European Warrants Jul 2019 A	40,000,000	2019/01/02	2019/07/02	12.00
17314	Credit Suisse AG - PetroChina European Warrants Jul 2019 A	40,000,000	2019/01/02	2019/07/02	12.00
17340	Credit Suisse AG - Ping An European Warrants Apr 2019 G	150,000,000	2019/01/02	2019/04/01	112.50
17343	Credit Suisse AG - Ping An European Warrants Jul 2019 C	150,000,000	2019/01/02	2019/07/02	99.00
17373	Credit Suisse AG - Sands China European Warrants Jun 2019 C	50,000,000	2019/01/02	2019/06/21	10.00
17364	Credit Suisse AG - Sino Biopharm European Wts Mar 2020 A	50,000,000	2019/01/02	2020/03/31	10.00
17288	Credit Suisse AG - SHK Ppt European Warrants May 2019 B	30,000,000	2019/01/02	2019/05/30	11.85
17383	Credit Suisse AG - Techtronic European Warrants Jul 2019 A	25,000,000	2019/01/02	2019/07/02	11.75
17372	Credit Suisse AG - Xiaomi European Warrants Jul 2019 B	100,000,000	2019/01/02	2019/07/02	17.00
17317	Credit Suisse AG - Xinyi Glass European Warrants Jul 2019 A	15,000,000	2019/01/02	2019/07/02	13.20
17356	Credit Suisse AG - ZA Online European Warrants Jul 2019 A	35,000,000	2019/01/02	2019/07/02	11.90
17278	Goldman Sachs SP (Asia) - Agricultural Bank Eur Wt May 2019A	80,000,000	2019/01/02	2019/05/24	12.48
17276	Goldman Sachs SP (Asia) - BYD Company Euro Wts Apr 2019 A	40,000,000	2019/01/02	2019/04/23	13.56
17279	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Apr 2019 A	40,000,000	2019/01/02	2019/04/23	13.32
17283	Goldman Sachs SP (Asia) - A50 China European Wts Apr 2019 A	80,000,000	2019/01/02	2019/04/26	27.92
17281	Goldman Sachs SP (Asia) - GAC European Warrants Apr 2019 A	60,000,000	2019/01/02	2019/04/24	30.24

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
17285	Goldman Sachs SP (Asia) - HSCEI ETF European Wts Apr 2019 A	50,000,000	2019/01/02	2019/04/26 11.85
17272	Goldman Sachs SP (Asia) - KECL European Warrants Apr 2019 B	50,000,000	2019/01/02	2019/04/23 22.70
17282	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Apr 2019 H	80,000,000	2019/01/02	2019/04/02 12.72
17280	Goldman Sachs SP (Asia) - SUNAC European Warrants Apr 2019 B	40,000,000	2019/01/02	2019/04/23 12.04
17274	Goldman Sachs SP (Asia) - Yanzhou Coal Euro Wts Feb 2020 A	60,000,000	2019/01/02	2020/02/13 10.08
17263	J P Morgan SP BV - CNOOC European Put Warrants Jun 2019 B	40,000,000	2019/01/02	2019/06/17 21.04
17265	J P Morgan SP BV - HSBC European Warrants Jun 2019 C	100,000,000	2019/01/02	2019/06/28 15.10
17259	J P Morgan SP BV - Meitu European Warrants Aug 2019 A	40,000,000	2019/01/02	2019/08/02 10.16
17258	J P Morgan SP BV - Tencent European Put Warrants Apr 2019 M	250,000,000	2019/01/02	2019/04/16 41.50
17256	J P Morgan SP BV - Tencent European Put Warrants Jun 2019 E	250,000,000	2019/01/02	2019/06/21 37.50
17232	Macquarie Bank Ltd. - CHALCO European Warrants Jul 2019 A	31,500,000	2019/01/02	2019/07/03 10.02
17225	Macquarie Bank Ltd. - Evergrande European Wts Jul 2019 C	32,000,000	2019/01/02	2019/07/03 10.08
17228	Macquarie Bank Ltd. - Greatwall Motor European Wts Aug 2019A	20,000,000	2019/01/02	2019/08/02 10.26
17231	Macquarie Bank Ltd. - Link REIT European Warrants Oct 2019 A	22,500,000	2019/01/02	2019/10/03 10.01
17229	Macquarie Bank Ltd. - SHK Ppt European Warrants Aug 2019 A	17,000,000	2019/01/02	2019/08/02 10.23
17270	SG Issuer - PetroChina European Warrants Jul 2019 A	40,000,000	2019/01/02	2019/07/31 12.00
17271	SG Issuer - Tencent European Warrants Jun 2019 C	200,000,000	2019/01/02	2019/06/28 42.00
17254	UBS AG - AAC Tech European Warrants Jul 2019 A	17,000,000	2019/01/02	2019/07/02 10.47
17242	UBS AG - Tencent European Warrants May 2019 D	300,000,000	2019/01/02	2019/05/21 80.70
17245	UBS AG - Tencent European Warrants Jun 2019 C	300,000,000	2019/01/02	2019/06/10 58.80
17248	UBS AG - Tencent European Put Warrants May 2019 E	300,000,000	2019/01/02	2019/05/24 68.70
17233	Bank Vontobel - CSPC Pharma European Warrants Sep 2019 B	40,000,000	2019/01/02	2019/09/03 10.04
17239	Bank Vontobel - Ping An European Put Warrants Apr 2019 D	30,000,000	2019/01/02	2019/04/26 13.98
17234	Bank Vontobel - Ping An European Put Warrants Jul 2019 B	30,000,000	2019/01/02	2019/07/29 13.65
17420	Credit Suisse AG - SUNAC European Warrants Apr 2019 B	40,000,000	2019/01/03	2019/04/23 12.40
17421	Credit Suisse AG - Yanzhou Coal European Warrants Feb 2020 A	80,000,000	2019/01/03	2020/02/13 12.00
17438	Goldman Sachs SP (Asia) - AIA European Put Wts Apr 2019 C	80,000,000	2019/01/03	2019/04/24 12.48
17426	Goldman Sachs SP (Asia) - CKH Holdings Euro Wts Jun 2019 A	60,000,000	2019/01/03	2019/06/03 10.02
17424	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Nov 2020 A	150,000,000	2019/01/03	2020/11/23 22.50
17430	Goldman Sachs SP (Asia) - Fosun Intl European Wts Oct 2019 A	70,000,000	2019/01/03	2019/10/24 10.50
17443	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jun 2019 A	80,000,000	2019/01/03	2019/06/21 14.96
17422	Goldman Sachs SP (Asia) - Lenovo European Wts May 2019 A	60,000,000	2019/01/03	2019/05/31 15.66
17440	Goldman Sachs SP (Asia) - Ping An European Wts Apr 2019 I	100,000,000	2019/01/03	2019/04/03 28.80
17439	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Jun 2019A	80,000,000	2019/01/03	2019/06/26 14.08
17427	Goldman Sachs SP (Asia) - SHK Ppt European Put Wt Apr 2019 B	60,000,000	2019/01/03	2019/04/23 10.02
17441	Goldman Sachs SP (Asia) - Stanchart European Wts Apr 2019 A	40,000,000	2019/01/03	2019/04/23 12.72
17419	Haitong Int'l Sec - CM Bank European Warrants Jul 2019 A	80,000,000	2019/01/03	2019/07/22 20.00
17412	Haitong Int'l Sec - Meituan European Warrants Jul 2019 A	20,000,000	2019/01/03	2019/07/03 10.00
17402	J P Morgan SP BV - CSOP A50 ETF European Warrants Apr 2019 A	80,000,000	2019/01/03	2019/04/30 26.00
17384	J P Morgan SP BV - CSOP A50 ETF European Warrants Nov 2020 A	300,000,000	2019/01/03	2020/11/23 45.60
17408	J P Morgan SP BV - HKEX European Warrants Nov 2019 A	200,000,000	2019/01/03	2019/11/29 30.60
17596	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Jul 2019 A	40,000,000	2019/01/04	2019/07/04 21.36
17591	Goldman Sachs SP (Asia) - BOC HK European Wts May 2019 B	80,000,000	2019/01/04	2019/05/28 12.00
17593	Goldman Sachs SP (Asia) - CRRC European Warrants May 2019 A	40,000,000	2019/01/04	2019/05/15 12.04

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17589	Goldman Sachs SP (Asia) - China Tower European Wts Sep 2019B	70,000,000	2019/01/04	2019/09/23 10.50
17574	Goldman Sachs SP (Asia) - Geely Auto Euro Put Wts Sep 2019 A	70,000,000	2019/01/04	2019/09/23 10.50
17597	Goldman Sachs SP (Asia) - HSCEI European Warrants Apr 2019 A	150,000,000	2019/01/04	2019/04/29 22.50
17590	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Jun 2019B	80,000,000	2019/01/04	2019/06/28 12.00
17586	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2019 F	150,000,000	2019/01/04	2019/06/04 38.40
17587	Goldman Sachs SP (Asia) - Tencent European Put Wt May 2019 K	100,000,000	2019/01/04	2019/05/24 20.60
17588	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 G	100,000,000	2019/01/04	2019/06/18 15.00
17467	HK Bank - Sinopec Corp European Warrants Jul 2019 B	40,000,000	2019/01/04	2019/07/31 16.00
17474	HK Bank - CSPC Pharma European Warrants Aug 2019 A	80,000,000	2019/01/04	2019/08/30 12.00
17470	HK Bank - China Tower European Warrants Aug 2019 A	40,000,000	2019/01/04	2019/08/30 10.00
17465	HK Bank - HSI European Warrants May 2019 G	150,000,000	2019/01/04	2019/05/30 24.00
17460	HK Bank - HSI European Put Warrants Apr 2019 J	150,000,000	2019/01/04	2019/04/29 22.50
17462	HK Bank - HSI European Put Warrants May 2019 F	150,000,000	2019/01/04	2019/05/30 22.50
17468	HK Bank - PetroChina European Warrants Jul 2019 A	40,000,000	2019/01/04	2019/07/31 12.00
17459	HK Bank - Ping An European Warrants Jul 2019 A	40,000,000	2019/01/04	2019/07/03 18.00
17471	HK Bank - Sands China European Warrants Jul 2019 A	40,000,000	2019/01/04	2019/07/03 10.00
17469	HK Bank - Sino Biopharm European Warrants Sep 2020 A	80,000,000	2019/01/04	2020/09/23 12.80
17569	Haitong Int'l Sec - Agricultural Bank European Wts Jul 2019A	30,000,000	2019/01/04	2019/07/31 12.60
17452	Haitong Int'l Sec - CNOOC European Warrants Dec 2019 A	50,000,000	2019/01/04	2019/12/31 12.50
17566	Haitong Int'l Sec - Geely Auto European Warrants Jul 2019 A	50,000,000	2019/01/04	2019/07/29 14.50
17457	Haitong Int'l Sec - Galaxy Ent European Warrants Jul 2019 A	60,000,000	2019/01/04	2019/07/16 41.40
17458	Haitong Int'l Sec - Meituan European Warrants Jul 2019 B	40,000,000	2019/01/04	2019/07/08 30.00
17453	Haitong Int'l Sec - Ping An European Warrants Jul 2019 A	60,000,000	2019/01/04	2019/07/30 42.00
17455	Haitong Int'l Sec - Ping An European Put Warrants Jul 2019 B	60,000,000	2019/01/04	2019/07/30 26.40
17567	Haitong Int'l Sec - Yanzhou Coal European Warrants Oct 2020A	70,000,000	2019/01/04	2020/10/27 19.60
17550	J P Morgan SP BV - BYD Company European Warrants Apr 2019 C	50,000,000	2019/01/04	2019/04/30 15.30
17546	J P Morgan SP BV - BYD Company European Put Wts Jul 2019 A	50,000,000	2019/01/04	2019/07/04 14.60
17510	J P Morgan SP BV - China Gas Hold European Wts Jun 2019 A	60,000,000	2019/01/04	2019/06/03 10.02
17532	J P Morgan SP BV - China Cinda European Warrants Apr 2019 A	70,000,000	2019/01/04	2019/04/23 10.50
17561	J P Morgan SP BV - CK Asset European Warrants Jul 2019 A	80,000,000	2019/01/04	2019/07/02 12.16
17513	J P Morgan SP BV - Sinopec Corp European Warrants Jul 2019 B	100,000,000	2019/01/04	2019/07/03 25.10
17511	J P Morgan SP BV - Sinopec Corp Eu Put Wt Jul 2019 A	100,000,000	2019/01/04	2019/07/03 42.50
17541	J P Morgan SP BV - Ch State Con European Warrants May 2019 A	20,000,000	2019/01/04	2019/05/31 10.02
17506	J P Morgan SP BV - China Tower European Warrants Nov 2019 A	100,000,000	2019/01/04	2019/11/29 25.00
17514	J P Morgan SP BV - Fosun Intl European Warrants Oct 2019 A	70,000,000	2019/01/04	2019/10/24 10.50
17557	J P Morgan SP BV - Geely Auto European Put Wts Sep 2019 A	100,000,000	2019/01/04	2019/09/30 15.10
17565	J P Morgan SP BV - Baiyunshan Ph European Wts Jul 2019 A	40,000,000	2019/01/04	2019/07/31 10.00
17534	J P Morgan SP BV - Henganintl European Warrants Jun 2019 A	50,000,000	2019/01/04	2019/06/21 10.85
17537	J P Morgan SP BV - Kunlun Energy European Warrants Jul 2019A	40,000,000	2019/01/04	2019/07/03 14.36
17521	J P Morgan SP BV - Kingboard Hldg European Wts Oct 2019 A	40,000,000	2019/01/04	2019/10/02 10.00
17539	J P Morgan SP BV - Link REIT European Warrants Jul 2019 B	40,000,000	2019/01/04	2019/07/05 10.16
17508	J P Morgan SP BV - PetroChina European Warrants Jul 2019 A	100,000,000	2019/01/04	2019/07/03 26.20
17509	J P Morgan SP BV - PetroChina European Put Wts Jun 2019 A	100,000,000	2019/01/04	2019/06/21 22.60
17516	J P Morgan SP BV - Ping An European Warrants May 2019 B	200,000,000	2019/01/04	2019/05/24 31.40

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17515	J P Morgan SP BV - Ping An European Put Warrants Jul 2019 A	200,000,000	2019/01/04	2019/07/03 50.20
17535	J P Morgan SP BV - Shenzhen Int'l European Wts Sep 2019 A	60,000,000	2019/01/04	2019/09/06 10.02
17559	J P Morgan SP BV - Tencent European Warrants Apr 2019 N	250,000,000	2019/01/04	2019/04/30 37.75
17495	Macquarie Bank Ltd. - CITIC Bank European Wts Aug 2019 A	32,600,000	2019/01/04	2019/08/02 10.01
17444	Macquarie Bank Ltd. - Chi Res Land European Wts Aug 2019 A	33,000,000	2019/01/04	2019/08/02 10.03
17450	Macquarie Bank Ltd. - Greatwall Motor Euro Put Wt Sep 2019 A	15,000,000	2019/01/04	2019/09/03 10.02
17501	Macquarie Bank Ltd. - Kingdee Int'l European Wts Sep 2020 A	40,000,000	2019/01/04	2020/09/16 10.00
17502	Macquarie Bank Ltd. - Sinopharm European Warrants Sep 2019 A	18,800,000	2019/01/04	2019/09/03 10.10
17451	Macquarie Bank Ltd. - Yanzhou Coal European Wts Jul 2019 A	7,500,000	2019/01/04	2019/07/04 10.01
17480	UBS AG - Conch Cement European Warrants Jul 2019 A	35,000,000	2019/01/04	2019/07/03 11.03
17489	UBS AG - Anta Sports European Warrants Sep 2019 A	30,000,000	2019/01/04	2019/09/23 15.42
17487	UBS AG - Chinares Cement European Warrants Dec 2019 A	70,000,000	2019/01/04	2019/12/05 12.25
17486	UBS AG - Chi Res Land European Warrants Aug 2019 A	60,000,000	2019/01/04	2019/08/12 17.10
17483	UBS AG - China Shenhua European Warrants Nov 2019 A	70,000,000	2019/01/04	2019/11/08 17.50
17475	UBS AG - MTR Corporation European Warrants Jun 2019 A	60,000,000	2019/01/04	2019/06/03 13.02
17598	BOCI Asia Ltd. - Ping An European Warrants Apr 2019 A	80,000,000	2019/01/07	2019/04/09 22.08
17682	Credit Suisse AG - Agricultural Bank European Wts Jul 2019 A	50,000,000	2019/01/07	2019/07/10 10.00
17697	Goldman Sachs SP (Asia) - Angang Steel Euro Wts Jul 2019 A	40,000,000	2019/01/07	2019/07/08 17.92
17715	Goldman Sachs SP (Asia) - Country Garden Euro Wts Mar 2020 A	60,000,000	2019/01/07	2020/03/26 12.00
17714	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Jul 2019 A	50,000,000	2019/01/07	2019/07/10 10.00
17709	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Apr 2019 F	80,000,000	2019/01/07	2019/04/26 12.00
17703	Goldman Sachs SP (Asia) - Sinopec Corp Euro Wts Jul 2019 A	60,000,000	2019/01/07	2019/07/08 15.18
17713	Goldman Sachs SP (Asia) - Chi Res Land Euro Wts Jun 2019 A	50,000,000	2019/01/07	2019/06/26 10.00
17720	Goldman Sachs SP (Asia) - Evergrande European Wts May 2019 A	50,000,000	2019/01/07	2019/05/27 13.10
17718	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Jun 2019 B	40,000,000	2019/01/07	2019/06/21 10.00
17707	Goldman Sachs SP (Asia) - PetroChina European Wts Jun 2019 A	80,000,000	2019/01/07	2019/06/24 14.80
17717	Goldman Sachs SP (Asia) - Ping An European Wts May 2019 E	100,000,000	2019/01/07	2019/05/24 28.80
17687	Haitong Int'l Sec - CM Bank European Warrants Apr 2019 B	50,000,000	2019/01/07	2019/04/30 12.00
17621	Haitong Int'l Sec - Sinopec Corp European Wts Jul 2019 A	60,000,000	2019/01/07	2019/07/08 21.60
17684	Haitong Int'l Sec - HSI European Warrants May 2019 F	150,000,000	2019/01/07	2019/05/30 22.50
17685	Haitong Int'l Sec - HSI European Put Warrants Apr 2019 G	150,000,000	2019/01/07	2019/04/29 22.50
17619	Haitong Int'l Sec - MTRC European Put Warrants Jul 2019 A	60,000,000	2019/01/07	2019/07/08 15.00
17613	Haitong Int'l Sec - Sands China European Put Wts Jul 2019 A	60,000,000	2019/01/07	2019/07/30 19.20
17679	J P Morgan SP BV - BOC HK European Warrants Jul 2019 A	100,000,000	2019/01/07	2019/07/24 15.10
17653	J P Morgan SP BV - Brilliance Chi European Wts Jul 2019 A	30,000,000	2019/01/07	2019/07/08 22.80
17691	J P Morgan SP BV - China Com Services European Wts Feb 2020A	100,000,000	2019/01/07	2020/02/18 25.00
17658	J P Morgan SP BV - China East Air European Wts Jul 2019 A	30,000,000	2019/01/07	2019/07/19 12.36
17651	J P Morgan SP BV - China Huarong European Warrants Jul 2019A	60,000,000	2019/01/07	2019/07/31 15.18
17673	J P Morgan SP BV - CKH Holdings European Warrants Jul 2019 A	60,000,000	2019/01/07	2019/07/08 15.36
17662	J P Morgan SP BV - Ch LongYuan European Warrants Jul 2019 A	30,000,000	2019/01/07	2019/07/08 12.15
17664	J P Morgan SP BV - Genscript Bio European Warrants Jun 2019A	60,000,000	2019/01/07	2019/06/28 12.60
17680	J P Morgan SP BV - Jiangxi Copper European Wts May 2020 A	80,000,000	2019/01/07	2020/05/22 15.44
17654	J P Morgan SP BV - Logan PPT European Warrants Jun 2020 A	60,000,000	2019/01/07	2020/06/02 15.12
10008	J P Morgan SP BV - N225 Index Euro Wts Sep 2019 B	100,000,000	2019/01/07	2019/09/13 25.10

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10012	J P Morgan SP BV - N225 Index Euro Put Wts Sep 2019 C	100,000,000	2019/01/07	2019/09/13 25.10
17667	J P Morgan SP BV - New World Dev European Wts Apr 2019 A	30,000,000	2019/01/07	2019/04/30 10.14
17660	J P Morgan SP BV - Sh Petrochem European Warrants Jul 2019 A	50,000,000	2019/01/07	2019/07/08 12.55
17643	Macquarie Bank Ltd. - AAC Tech European Wts Aug 2019 A	12,700,000	2019/01/07	2019/08/02 10.01
17646	Macquarie Bank Ltd. - BYD Company European Wts Aug 2019 A	15,800,000	2019/01/07	2019/08/02 10.05
17639	Macquarie Bank Ltd. - CKH Holdings European Wts Aug 2019 A	18,900,000	2019/01/07	2019/08/02 10.04
17602	Macquarie Bank Ltd. - Cathay Pacific European Wts Oct 2019 A	8,000,000	2019/01/07	2019/10/03 10.26
17647	Macquarie Bank Ltd. - CRCC European Warrants Jan 2020 A	5,500,000	2019/01/07	2020/01/03 10.19
17603	Macquarie Bank Ltd. - Jiangxi Copper European Wts Nov 2019 A	6,000,000	2019/01/07	2019/11/04 10.00
17649	Macquarie Bank Ltd. - KECL European Warrants Oct 2020 A	5,700,000	2019/01/07	2020/10/06 10.12
17607	Macquarie Bank Ltd. - Zijin Mining European Wts Dec 2019 A	20,000,000	2019/01/07	2019/12/03 10.08
17695	UBS AG - BYD Company European Warrants May 2019 C	30,000,000	2019/01/07	2019/05/27 15.84
17694	UBS AG - Nine Dragons Paper European Warrants Jul 2019 A	11,000,000	2019/01/07	2019/07/29 12.62
17781	Credit Suisse AG - BOC HK European Warrants May 2019 C	60,000,000	2019/01/08	2019/05/28 10.80
17743	Goldman Sachs SP (Asia) - BYD Company Euro Wts May 2019 C	40,000,000	2019/01/08	2019/05/24 15.92
17736	Goldman Sachs SP (Asia) - China Cinda Euro Wts Jun 2019 A	70,000,000	2019/01/08	2019/06/21 10.50
17746	Goldman Sachs SP (Asia) - CKH Holdings European Wts Jun 19 B	60,000,000	2019/01/08	2019/06/27 17.70
17758	Goldman Sachs SP (Asia) - Sinopec Corp Euro Put Wts Apr 19 C	60,000,000	2019/01/08	2019/04/08 64.26
17737	Goldman Sachs SP (Asia) - A50 China European Wts Jun 2019 B	80,000,000	2019/01/08	2019/06/21 14.96
17732	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Jun 2019 B	80,000,000	2019/01/08	2019/06/06 21.60
17742	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Apr 2019 B	40,000,000	2019/01/08	2019/04/23 26.44
17754	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2019 H	150,000,000	2019/01/08	2019/06/18 22.50
17738	Goldman Sachs SP (Asia) - ZA Online European Wts Apr 2019 C	50,000,000	2019/01/08	2019/04/23 10.00
17769	Haitong Int'l Sec - AIA European Warrants May 2019 A	50,000,000	2019/01/08	2019/05/08 10.50
17773	J P Morgan SP BV - MTRC European Warrants Sep 2019 A	60,000,000	2019/01/08	2019/09/04 15.06
17775	J P Morgan SP BV - MTRC European Put Warrants Aug 2019 A	40,000,000	2019/01/08	2019/08/01 10.08
17722	Macquarie Bank Ltd. - CC Bank European Put Wts Aug 2019 A	28,500,000	2019/01/08	2019/08/02 10.12
17730	Macquarie Bank Ltd. - China Cinda European Wts Oct 2019 A	40,000,000	2019/01/08	2019/10/03 10.04
17725	Macquarie Bank Ltd. - China Overseas European Wts Oct 2019 B	5,500,000	2019/01/08	2019/10/03 10.12
17723	Macquarie Bank Ltd. - Fosun Intl European Warrants Jan 2020A	40,000,000	2019/01/08	2020/01/10 10.08
17726	Macquarie Bank Ltd. - Swire Pacific A European Wts Oct 2019A	30,500,000	2019/01/08	2019/10/03 10.00
17920	BOCI Asia Ltd. - AAC Tech European Warrants Jun 2019 B	30,000,000	2019/01/09	2019/06/24 13.83
17915	BOCI Asia Ltd. - CNOOC European Warrants Dec 2019 B	100,000,000	2019/01/09	2019/12/09 15.30
17912	BOCI Asia Ltd. - Sinopec Corp European Warrants Jul 2019 A	60,000,000	2019/01/09	2019/07/24 10.74
17925	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Nov 2020 A	150,000,000	2019/01/09	2020/11/23 22.95
17926	BOCI Asia Ltd. - A50 China European Warrants Nov 2020 A	150,000,000	2019/01/09	2020/11/03 23.40
17905	BOCI Asia Ltd. - HKEX European Warrants Jun 2019 C	200,000,000	2019/01/09	2019/06/28 30.40
17902	BOCI Asia Ltd. - HSBC European Warrants Jun 2019 A	80,000,000	2019/01/09	2019/06/25 12.16
17924	BOCI Asia Ltd. - Meituan European Warrants Jun 2019 A	30,000,000	2019/01/09	2019/06/25 12.66
17913	BOCI Asia Ltd. - PetroChina European Warrants May 2019 A	70,000,000	2019/01/09	2019/05/27 10.57
17911	BOCI Asia Ltd. - Ping An European Warrants Jul 2019 A	80,000,000	2019/01/09	2019/07/09 32.80
17908	BOCI Asia Ltd. - Tencent European Wts Apr 2019 G	200,000,000	2019/01/09	2019/04/09 39.80
17917	BOCI Asia Ltd. - Xiaomi European Warrants May 2019 A	100,000,000	2019/01/09	2019/05/28 15.10
17864	Credit Suisse AG - Conch Cement European Warrants May 2019 A	40,000,000	2019/01/09	2019/05/24 11.20

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17853	Credit Suisse AG - Country Garden European Warrants Mar2020A	60,000,000	2019/01/09	2020/03/26 12.00
17850	Credit Suisse AG - China Life European Warrants Jul 2019 A	80,000,000	2019/01/09	2019/07/23 12.16
17872	Credit Suisse AG - CSPC Pharma European Warrants Sep 2019 A	60,000,000	2019/01/09	2019/09/30 15.00
17946	Goldman Sachs SP (Asia) - Country Garden Euro Wts Dec 2019 A	60,000,000	2019/01/09	2019/12/20 11.70
17939	Goldman Sachs SP (Asia) - CNOOC European Warrants Oct 2019 A	80,000,000	2019/01/09	2019/10/24 12.00
17943	Goldman Sachs SP (Asia) - CSPC Pharma Euro Wts Aug 2019 A	60,000,000	2019/01/09	2019/08/27 10.68
17948	Goldman Sachs SP (Asia) - Meituan European Warrants Jun 19 A	40,000,000	2019/01/09	2019/06/25 15.36
17950	Goldman Sachs SP (Asia) - PetroChina European Wts Jun 2019 B	80,000,000	2019/01/09	2019/06/25 22.48
17938	Haitong Int'l Sec - Agricultural Bank European Wts Jul 2019B	40,000,000	2019/01/09	2019/07/31 11.60
17936	Haitong Int'l Sec - CITIC Bank European Warrants Jul 2019 A	50,000,000	2019/01/09	2019/07/31 20.00
17929	Haitong Int'l Sec - Minsheng Bank European Warrants Jul 19 A	40,000,000	2019/01/09	2019/07/31 16.40
17928	Haitong Int'l Sec - Chi Res Land European Warrants Jul 2019A	50,000,000	2019/01/09	2019/07/31 28.00
17935	Haitong Int'l Sec - HSBC European Warrants Jul 2019 A	50,000,000	2019/01/09	2019/07/23 19.00
17898	J P Morgan SP BV - AAC Tech European Warrants Jun 2019 A	25,000,000	2019/01/09	2019/06/28 13.60
17873	J P Morgan SP BV - Agricultural Bank Euro Warrants Jul 2019B	100,000,000	2019/01/09	2019/07/03 20.00
17893	J P Morgan SP BV - AIA European Warrants Jun 2019 A	100,000,000	2019/01/09	2019/06/21 31.40
17846	J P Morgan SP BV - CAM CSI300 European Warrants Aug 2019 A	100,000,000	2019/01/09	2019/08/30 25.10
17843	J P Morgan SP BV - Bank of China European Wts May 2019 A	100,000,000	2019/01/09	2019/05/10 15.10
17874	J P Morgan SP BV - CM Bank European Warrants Jul 2019 A	80,000,000	2019/01/09	2019/07/31 20.08
17875	J P Morgan SP BV - China Mobile European Wts Apr 2019 C	100,000,000	2019/01/09	2019/04/30 27.80
17886	J P Morgan SP BV - CNOOC European Warrants Dec 2019 D	100,000,000	2019/01/09	2019/12/09 15.00
17844	J P Morgan SP BV - Geely Auto European Warrants Apr 2019 A	100,000,000	2019/01/09	2019/04/30 16.40
17848	J P Morgan SP BV - HSI European Warrants Jul 2019 C	500,000,000	2019/01/09	2019/07/30 125.50
17894	J P Morgan SP BV - HSI European Warrants Aug 2019 A	500,000,000	2019/01/09	2019/08/29 75.50
17889	J P Morgan SP BV - SUNAC European Warrants Apr 2019 A	70,000,000	2019/01/09	2019/04/30 10.50
17883	J P Morgan SP BV - Sunny Optical European Warrants Sep 2019A	100,000,000	2019/01/09	2019/09/23 15.00
17830	J P Morgan SP BV - Tracker Fund European Warrants Aug 2019 A	100,000,000	2019/01/09	2019/08/29 25.00
17802	Macquarie Bank Ltd. - Huaneng Power European Wts Jan 2020 A	17,500,000	2019/01/09	2020/01/03 10.03
17803	Macquarie Bank Ltd. - Tencent European Warrants Aug 2019 A	17,900,000	2019/01/09	2019/08/01 10.02
17806	Macquarie Bank Ltd. - Tencent European Warrants Aug 2019 B	10,000,000	2019/01/09	2019/08/01 10.05
17807	Macquarie Bank Ltd. - Tencent European Warrants Aug 2019 C	9,000,000	2019/01/09	2019/08/01 15.98
17808	Macquarie Bank Ltd. - Tencent European Warrants Aug 2019 D	10,000,000	2019/01/09	2019/08/01 29.11
17826	UBS AG - CSPC Pharma European Warrants Sep 2019 A	70,000,000	2019/01/09	2019/09/26 10.50
17825	UBS AG - HSI European Warrants Jun 2019 C	300,000,000	2019/01/09	2019/06/27 45.30
17824	UBS AG - Ping An European Warrants Jul 2019 A	100,000,000	2019/01/09	2019/07/29 37.70
17783	Bank Vontobel - BYD Company European Warrants May 2019 B	20,000,000	2019/01/09	2019/05/24 12.02
17782	Bank Vontobel - Sinopec Corp European Warrants Jul 2019 A	40,000,000	2019/01/09	2019/07/08 11.64
17795	Bank Vontobel - Ping An European Warrants Jul 2019 C	30,000,000	2019/01/09	2019/07/09 13.83
18019	BOCI Asia Ltd. - AIA European Warrants Apr 2019 A	60,000,000	2019/01/10	2019/04/24 12.78
18014	BOCI Asia Ltd. - China Mobile European Warrants Jun 2019 A	60,000,000	2019/01/10	2019/06/17 15.36
18018	BOCI Asia Ltd. - Geely Auto European Warrants Jun 2019 A	100,000,000	2019/01/10	2019/06/24 17.00
18035	BOCI Asia Ltd. - HSI European Warrants May 2019 B	200,000,000	2019/01/10	2019/05/30 30.40
18038	BOCI Asia Ltd. - HSI European Put Warrants May 2019 C	200,000,000	2019/01/10	2019/05/30 33.80
17996	BNP Paribas Issuance B.V. - CC Bank Euro Put Wt Aug 2019 A	40,000,000	2019/01/10	2019/08/02 14.00

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17997	BNP Paribas Issuance B.V. - Geely Auto Euro Wts Dec 2019 A	60,000,000	2019/01/10	2019/12/03 15.00
17999	BNP Paribas Issuance B.V. - Geely Auto Euro Wts May 2020 A	60,000,000	2019/01/10	2020/05/04 15.00
17993	BNP Paribas Issuance B.V. - Ping An European Wt Aug 2019 E	40,000,000	2019/01/10	2019/08/02 18.40
17992	BNP Paribas Issuance B.V. - Ping An Eur Put Wts Aug 2019 D	40,000,000	2019/01/10	2019/08/02 14.00
18136	Credit Suisse AG - China Taiping European Warrants Jul 2019A	40,000,000	2019/01/10	2019/07/31 10.00
18127	Credit Suisse AG - Geely Auto European Warrants Jul 2019 A	100,000,000	2019/01/10	2019/07/09 25.00
18128	Credit Suisse AG - Meituan European Warrants Jun 2019 C	30,000,000	2019/01/10	2019/06/25 13.80
18133	Credit Suisse AG - Xiaomi European Warrants Apr 2019 D	100,000,000	2019/01/10	2019/04/23 15.00
18118	Credit Suisse AG - Xiaomi European Warrants Jun 2019 D	100,000,000	2019/01/10	2019/06/26 15.00
18155	Goldman Sachs SP (Asia) - AIA European Warrants May 2019 B	80,000,000	2019/01/10	2019/05/31 23.84
18144	Goldman Sachs SP (Asia) - CKA European Warrants May 2019 B	60,000,000	2019/01/10	2019/05/28 18.54
18151	Goldman Sachs SP (Asia) - Geely Auto European Wts Jun 2019 B	80,000,000	2019/01/10	2019/06/21 12.00
18146	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Apr 2019B	80,000,000	2019/01/10	2019/04/24 14.48
18145	Goldman Sachs SP (Asia) - Xiaomi European Warrants Jun 2019C	100,000,000	2019/01/10	2019/06/13 15.00
18117	Haitong Int'l Sec - CSPC Pharma European Put Wts Dec 2020 A	50,000,000	2019/01/10	2020/12/31 12.50
18053	Haitong Int'l Sec - Geely Auto European Put Wts Dec 2019 A	80,000,000	2019/01/10	2019/12/30 20.00
18049	Haitong Int'l Sec - Meituan European Put Warrants May 2019 B	30,000,000	2019/01/10	2019/05/31 10.80
18052	Haitong Int'l Sec - Meituan European Put Warrants Jul 2019 C	35,000,000	2019/01/10	2019/07/30 10.50
18078	Haitong Int'l Sec - Sunny Optical European Put Wts Jul 2019C	40,000,000	2019/01/10	2019/07/31 29.20
18089	J P Morgan SP BV - AAC Tech European Put Warrants Jul 2019 A	100,000,000	2019/01/10	2019/07/09 34.70
18100	J P Morgan SP BV - CK Asset European Put Warrants Jun 2019 A	80,000,000	2019/01/10	2019/06/21 12.00
18091	J P Morgan SP BV - CKH European Put Warrants Jul 2019 B	40,000,000	2019/01/10	2019/07/09 10.60
18114	J P Morgan SP BV - CSPC Pharma European Put Warrants Mar 20A	100,000,000	2019/01/10	2020/03/31 25.30
18080	J P Morgan SP BV - Geely Auto European Warrants Jul 2019 A	100,000,000	2019/01/10	2019/07/31 25.00
18107	J P Morgan SP BV - Henderson Land European Put Wts Jul 19 A	40,000,000	2019/01/10	2019/07/09 10.04
18081	J P Morgan SP BV - Hang Seng Bank European Warrants Dec 19 A	100,000,000	2019/01/10	2019/12/02 15.00
18111	J P Morgan SP BV - Hang Seng Bank European Put Wts May 2019A	20,000,000	2019/01/10	2019/05/31 10.72
18090	J P Morgan SP BV - Meituan European Put Warrants May 2019 C	30,000,000	2019/01/10	2019/05/24 10.11
18092	J P Morgan SP BV - SHK Ppt European Warrants Jun 2019 A	60,000,000	2019/01/10	2019/06/03 11.28
18102	J P Morgan SP BV - Sino Land European Warrants Jul 2020 A	40,000,000	2019/01/10	2020/07/02 10.04
18084	J P Morgan SP BV - Sunny Optical European Put Wts Jul 2019 B	100,000,000	2019/01/10	2019/07/09 54.30
17956	Macquarie Bank Ltd. - ASM Pacific European Wts Jan 2020 A	6,500,000	2019/01/10	2020/01/10 10.38
18039	Macquarie Bank Ltd. - BOCHK European Put Warrants Oct 2019 A	40,000,000	2019/01/10	2019/10/03 10.08
18048	Macquarie Bank Ltd. - PICC Group European Wts Sep 2019 A	24,300,000	2019/01/10	2019/09/03 10.01
17957	Macquarie Bank Ltd. - Sunart Retail European Warrants Dec19A	40,000,000	2019/01/10	2019/12/13 10.00
18041	Macquarie Bank Ltd. - Tencent European Warrants Aug 2019 E	10,000,000	2019/01/10	2019/08/01 40.47
18045	Macquarie Bank Ltd. - Tencent European Put Wts Jul 2019 N	40,000,000	2019/01/10	2019/07/26 13.36
18073	UBS AG - AAC Tech European Warrants Jul 2019 B	25,000,000	2019/01/10	2019/07/09 11.80
18054	UBS AG - AIA European Warrants May 2019 A	100,000,000	2019/01/10	2019/05/31 33.70
18067	UBS AG - HSBC European Put Warrants Jun 2019 A	100,000,000	2019/01/10	2019/06/25 21.20
18055	UBS AG - Ping An European Put Warrants Jul 2019 B	100,000,000	2019/01/10	2019/07/29 26.70
17970	Bank Vontobel - AIA European Warrants Aug 2019 A	40,000,000	2019/01/10	2019/08/05 10.04
17979	Bank Vontobel - AIA European Put Warrants Aug 2019 B	40,000,000	2019/01/10	2019/08/06 10.04
18002	Bank Vontobel - Geely Auto European Warrants Jun 2019 A	60,000,000	2019/01/10	2019/06/21 10.14

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18000	Bank Vontobel - Geely Auto European Warrants Jul 2019 B	40,000,000	2019/01/10	2019/07/10 10.44
18005	Bank Vontobel - Geely Auto European Put Warrants Sep 2019 A	40,000,000	2019/01/10	2019/09/26 10.04
17985	Bank Vontobel - HSI European Warrants May 2019 E	80,000,000	2019/01/10	2019/05/30 12.08
17986	Bank Vontobel - HSI European Warrants Jun 2019 E	80,000,000	2019/01/10	2019/06/27 12.40
17991	Bank Vontobel - HSI European Put Warrants May 2019 F	80,000,000	2019/01/10	2019/05/30 12.08
18284	BOCI Asia Ltd. - BYD Company European Warrants Jul 2019 B	40,000,000	2019/01/11	2019/07/29 18.60
18325	BOCI Asia Ltd. - CNBM European Warrants Apr 2019 A	70,000,000	2019/01/11	2019/04/29 21.84
18310	BOCI Asia Ltd. - China Res Land European Warrants Jul 2019 A	50,000,000	2019/01/11	2019/07/31 16.10
18315	BOCI Asia Ltd. - China Unicom European Warrants Jul 2019 A	40,000,000	2019/01/11	2019/07/29 18.36
18285	BOCI Asia Ltd. - Genscript Bio European Warrants Jun 2019 A	50,000,000	2019/01/11	2019/06/27 10.20
18282	BOCI Asia Ltd. - Kingdee Int'l European Warrants Sep 2020 A	70,000,000	2019/01/11	2020/09/09 13.16
18267	BOCI Asia Ltd. - ZA Online European Warrants Jun 2019 B	50,000,000	2019/01/11	2019/06/24 10.60
18342	Credit Suisse AG - BOC HK European Put Warrants Sep 2019 B	40,000,000	2019/01/11	2019/09/30 10.00
18363	Credit Suisse AG - CK Asset European Put Warrants Jun 2019 B	70,000,000	2019/01/11	2019/06/21 10.50
18373	Credit Suisse AG - China Res Beer European Warrants Jul 19 A	35,000,000	2019/01/11	2019/07/10 10.15
18361	Credit Suisse AG - Geely Auto European Put Wts Dec 2019 A	40,000,000	2019/01/11	2019/12/31 10.00
18327	Credit Suisse AG - HSI European Warrants May 2019 A	200,000,000	2019/01/11	2019/05/30 30.00
18328	Credit Suisse AG - HSI European Warrants May 2019 B	200,000,000	2019/01/11	2019/05/30 30.00
18330	Credit Suisse AG - HSI European Put Warrants Apr 2019 A	200,000,000	2019/01/11	2019/04/29 30.00
18332	Credit Suisse AG - Meituan European Put Warrants Jul 2019 A	40,000,000	2019/01/11	2019/07/10 10.00
18375	Credit Suisse AG - Nine Dragons European Warrants Jul 2019 A	15,000,000	2019/01/11	2019/07/10 13.50
18340	Credit Suisse AG - Ping An European Put Warrants Jul 2019 D	100,000,000	2019/01/11	2019/07/10 25.00
18394	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Jul 2019 B	40,000,000	2019/01/11	2019/07/11 10.00
18392	Goldman Sachs SP (Asia) - CC Bank European Wts Apr 2019 C	80,000,000	2019/01/11	2019/04/23 15.52
18395	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts May 2019 A	60,000,000	2019/01/11	2019/05/09 10.02
18397	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Jun 2019 C	60,000,000	2019/01/11	2019/06/25 12.96
18400	Goldman Sachs SP (Asia) - HSBC European Put Wts May 2019 D	80,000,000	2019/01/11	2019/05/28 24.16
18179	HK Bank - AAC Tech European Warrants Jul 2019 A	30,000,000	2019/01/11	2019/07/31 10.50
18201	HK Bank - A50 China European Warrants Jul 2019 B	30,000,000	2019/01/11	2019/07/31 10.50
18174	HK Bank - Geely Auto European Warrants Jul 2019 A	80,000,000	2019/01/11	2019/07/22 12.00
18177	HK Bank - HKEX European Warrants Sep 2019 A	80,000,000	2019/01/11	2019/09/23 12.00
18186	HK Bank - HKEX European Put Warrants Dec 2019 A	80,000,000	2019/01/11	2019/12/16 12.00
18189	HK Bank - HSI European Warrants May 2019 H	80,000,000	2019/01/11	2019/05/30 12.00
18190	HK Bank - HSI European Warrants Jul 2019 A	80,000,000	2019/01/11	2019/07/30 20.00
18185	HK Bank - Tencent European Put Warrants Jul 2019 A	80,000,000	2019/01/11	2019/07/23 12.00
18160	Haitong Int'l Sec - Anta Sports European Warrants Dec 2019 A	20,000,000	2019/01/11	2019/12/30 10.00
18158	Haitong Int'l Sec - BYD Company European Warrants May 2019 C	20,000,000	2019/01/11	2019/05/24 11.40
18169	Haitong Int'l Sec - China Res Beer European Warrants Nov 19A	30,000,000	2019/01/11	2019/11/29 10.50
18246	Haitong Int'l Sec - HS Bank European Put Warrants May 2019 A	20,000,000	2019/01/11	2019/05/24 10.20
18172	Haitong Int'l Sec - Li Ning European Warrants Mar 2020 A	40,000,000	2019/01/11	2020/03/30 10.00
18238	J P Morgan SP BV - BOC HK European Put Warrants Aug 2019 B	40,000,000	2019/01/11	2019/08/30 10.16
18240	J P Morgan SP BV - CSOP A50 ETF Euro Put Wts Apr 2019 B	25,000,000	2019/01/11	2019/04/30 10.43
18244	J P Morgan SP BV - A50 China European Put Wts May 2019 B	25,000,000	2019/01/11	2019/05/31 10.93
18230	J P Morgan SP BV - Link REIT European Put Warrants Jul 2019C	40,000,000	2019/01/11	2019/07/12 15.00

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18231	J P Morgan SP BV - SHK Ppt European Put Warrants May 2019 C	40,000,000	2019/01/11	2019/05/31	10.80
18234	J P Morgan SP BV - Xiaomi European Put Warrants Dec 2019 A	100,000,000	2019/01/11	2019/12/31	25.10
18228	Macquarie Bank Ltd. - CC Bank European Warrants Aug 2019 B	39,000,000	2019/01/11	2019/08/02	10.02
18206	Macquarie Bank Ltd. - Geely Auto European Wts Aug 2019 A	5,500,000	2019/01/11	2019/08/02	10.27
18207	Macquarie Bank Ltd. - Geely Auto European Put Wts Aug 2019 B	6,500,000	2019/01/11	2019/08/02	10.30
18216	Macquarie Bank Ltd. - Ping An European Warrants Jun 2019 D	29,000,000	2019/01/11	2019/06/04	10.06
18213	Macquarie Bank Ltd. - Ping An European Warrants Jul 2019 E	17,000,000	2019/01/11	2019/07/03	10.06
18229	Macquarie Bank Ltd. - Sunny Optical European Wts Aug 2019 A	9,500,000	2019/01/11	2019/08/02	10.00
18218	Macquarie Bank Ltd. - Xiaomi European Put Warrants Aug 2019B	6,550,000	2019/01/11	2019/08/02	10.11
18254	UBS AG - HKEX European Put Warrants Dec 2019 A	300,000,000	2019/01/11	2019/12/16	51.90
18249	UBS AG - HSBC European Warrants Jun 2019 B	100,000,000	2019/01/11	2019/06/26	18.90
18266	UBS AG - PetroChina European Warrants Jun 2019 A	70,000,000	2019/01/11	2019/06/25	22.12
18247	UBS AG - Ping An European Warrants May 2019 A	100,000,000	2019/01/11	2019/05/02	17.00
14158#	Goldman Sachs SP (Asia) - Geely Auto European Wts Mar 2019 C	200,000,000	2019/01/11	2019/03/20	10.00
18448	BOCI Asia Ltd. - HSI European Put Warrants May 2019 D	200,000,000	2019/01/14	2019/05/30	30.80
18435	BOCI Asia Ltd. - Ping An European Warrants May 2019 A	80,000,000	2019/01/14	2019/05/21	12.24
18438	BOCI Asia Ltd. - Ping An European Put Warrants Apr 2019 B	70,000,000	2019/01/14	2019/04/29	17.22
18437	BOCI Asia Ltd. - Ping An European Put Warrants Jun 2019 B	70,000,000	2019/01/14	2019/06/25	14.70
18439	BOCI Asia Ltd. - Tencent European Wts Jun 2019 C	200,000,000	2019/01/14	2019/06/21	30.40
18440	BOCI Asia Ltd. - Tencent European Put Wts Jul 2019 A	200,000,000	2019/01/14	2019/07/23	30.40
18508	BNP Paribas Issuance B.V. - AIA European Wts Oct 2019 A	40,000,000	2019/01/14	2019/10/03	10.00
18536	BNP Paribas Issuance B.V. - Evergrande Euro Wts Oct 2019 A	50,000,000	2019/01/14	2019/10/03	12.50
18553	BNP Paribas Issuance B.V. - Galaxy Ent Euro Wts Aug 2019 B	40,000,000	2019/01/14	2019/08/02	14.00
18554	BNP Paribas Issuance B.V. - Galaxy Ent Euro Put Wts Sep 19 A	40,000,000	2019/01/14	2019/09/03	10.00
18538	BNP Paribas Issuance B.V. - HKEX European Wts Aug 2019 A	25,000,000	2019/01/14	2019/08/02	19.25
18542	BNP Paribas Issuance B.V. - HKEX Euro Put Wts Jun 2019 A	25,000,000	2019/01/14	2019/06/04	15.75
18510	BNP Paribas Issuance B.V. - ICBC European Wts Oct 2019 A	50,000,000	2019/01/14	2019/10/03	15.00
18529	BNP Paribas Issuance B.V. - ICBC European Wts Dec 2019 A	60,000,000	2019/01/14	2019/12/31	11.40
18530	BNP Paribas Issuance B.V. - Ping An European Wt Oct 2019 C	40,000,000	2019/01/14	2019/10/03	10.40
18543	BNP Paribas Issuance B.V. - Tencent European Wts Oct 2019 F	80,000,000	2019/01/14	2019/10/03	26.40
18548	BNP Paribas Issuance B.V. - Tencent European Wts Jan 2020 C	80,000,000	2019/01/14	2020/01/03	20.00
18550	BNP Paribas Issuance B.V. - Tencent Eu Put Wt Oct 2019 G	80,000,000	2019/01/14	2019/10/03	20.00
18507	Credit Suisse AG - HKEX European Put Warrants Dec 2019 A	100,000,000	2019/01/14	2019/12/16	15.00
18505	Credit Suisse AG - Xiaomi European Put Warrants Dec 2019 A	80,000,000	2019/01/14	2019/12/31	20.00
18582	Goldman Sachs SP (Asia) - BYD Company Euro Wts May 2019 D	40,000,000	2019/01/14	2019/05/24	19.44
18585	Goldman Sachs SP (Asia) - Geely Auto European Wts Jul 2019 A	80,000,000	2019/01/14	2019/07/22	12.00
18563	Goldman Sachs SP (Asia) - HKEX European Put Wts Dec 2019 A	100,000,000	2019/01/14	2019/12/16	15.00
18564	Goldman Sachs SP (Asia) - NCI European Warrants Jul 2019 A	60,000,000	2019/01/14	2019/07/31	15.00
18589	Goldman Sachs SP (Asia) - Xiaomi European Put Wts Jul 2019 A	80,000,000	2019/01/14	2019/07/24	12.00
18556	Haitong Int'l Sec - Link REIT European Put Wts Jun 2019 A	60,000,000	2019/01/14	2019/06/04	10.20
18479	Haitong Int'l Sec - NCI European Warrants Jun 2019 A	60,000,000	2019/01/14	2019/06/25	10.80
18558	Haitong Int'l Sec - PetroChina European Warrants Jul 2019 A	40,000,000	2019/01/14	2019/07/26	16.80
18555	Haitong Int'l Sec - SHK Ppt European Put Warrants Jun 2019 B	55,000,000	2019/01/14	2019/06/26	10.45
18494	J P Morgan SP BV - Conch Cement European Warrants May 2019 B	50,000,000	2019/01/14	2019/05/30	12.80

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18493	J P Morgan SP BV - CHALCO European Warrants Jun 2019 A	50,000,000	2019/01/14	2019/06/28 11.05
18495	J P Morgan SP BV - Lenovo European Put Warrants Jul 2019 B	40,000,000	2019/01/14	2019/07/31 10.08
18496	J P Morgan SP BV - NCI European Warrants Jun 2019 A	60,000,000	2019/01/14	2019/06/25 10.02
18500	J P Morgan SP BV - NCI European Warrants Jul 2019 A	60,000,000	2019/01/14	2019/07/31 15.24
18472	Macquarie Bank Ltd. - AIA European Warrants Aug 2019 A	30,500,000	2019/01/14	2019/08/02 10.07
18450	Macquarie Bank Ltd. - CNOOC European Warrants Aug 2019 A	11,000,000	2019/01/14	2019/08/02 10.38
18474	Macquarie Bank Ltd. - CSPC Pharma European Wts Nov 2019 A	40,000,000	2019/01/14	2019/11/04 10.00
18456	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Sep 2019 A	19,500,000	2019/01/14	2019/09/03 10.02
18458	Macquarie Bank Ltd. - Ping An European Put Wts Aug 2019 C	32,500,000	2019/01/14	2019/08/02 10.11
18473	Macquarie Bank Ltd. - Tencent European Put Wts Aug 2019 F	7,000,000	2019/01/14	2019/08/01 10.25
18610	SG Issuer - AAC Tech European Warrants Jun 2019 B	25,000,000	2019/01/14	2019/06/28 10.13
18611	SG Issuer - AAC Tech European Warrants Jul 2019 B	20,000,000	2019/01/14	2019/07/31 14.00
18598	SG Issuer - AAC Tech European Put Warrants Jul 2019 A	18,000,000	2019/01/14	2019/07/31 10.08
18621	SG Issuer - AIA European Warrants Apr 2019 C	60,000,000	2019/01/14	2019/04/24 16.20
18629	SG Issuer - AIA European Warrants May 2019 A	60,000,000	2019/01/14	2019/05/31 12.30
18637	SG Issuer - Brilliance Chi European Warrants Jun 2019 A	13,000,000	2019/01/14	2019/06/28 10.01
18653	SG Issuer - CC Bank European Warrants Jul 2019 A	60,000,000	2019/01/14	2019/07/31 22.50
18655	SG Issuer - Minsheng Bank European Warrants Jul 2019 A	30,000,000	2019/01/14	2019/07/31 10.95
18684	SG Issuer - Sinopec Corp European Warrants Apr 2019 E	70,000,000	2019/01/14	2019/04/23 10.50
18689	SG Issuer - Sinopec Corp European Warrants Jun 2019 A	30,000,000	2019/01/14	2019/06/28 12.30
18690	SG Issuer - Sinopec Corp European Put Warrants Jun 2019 B	30,000,000	2019/01/14	2019/06/28 13.80
18674	SG Issuer - CPIC Eur Wt Jul 2019 A	40,000,000	2019/01/14	2019/07/31 10.00
18704	SG Issuer - NCI European Warrants Jun 2019 A	60,000,000	2019/01/14	2019/06/28 10.80
18603	SG Issuer - Ping An European Warrants Apr 2019 E	80,000,000	2019/01/14	2019/04/29 16.00
18609	SG Issuer - Ping An European Warrants May 2019 D	80,000,000	2019/01/14	2019/05/21 12.80
18723	SG Issuer - Sands China European Warrants Jun 2019 B	50,000,000	2019/01/14	2019/06/28 11.25
18726	SG Issuer - Tencent European Put Warrants Apr 2019 Q	150,000,000	2019/01/14	2019/04/30 22.50
18759	SG Issuer - Yanzhou Coal Eu Wt Jul 2019 B	13,000,000	2019/01/14	2019/07/31 10.01
18492	UBS AG - BOC HK European Warrants Jul 2019 A	70,000,000	2019/01/14	2019/07/24 10.71
18491	UBS AG - Henderson Land European Put Warrants Jul 2019 A	40,000,000	2019/01/14	2019/07/02 11.48
18486	UBS AG - Ping An European Warrants May 2019 B	100,000,000	2019/01/14	2019/05/03 23.10
18489	UBS AG - Ping An European Warrants May 2019 C	100,000,000	2019/01/14	2019/05/21 15.50
18480	UBS AG - Tencent European Warrants May 2019 F	300,000,000	2019/01/14	2019/05/24 45.00
18481	UBS AG - Tencent European Warrants Jun 2019 D	300,000,000	2019/01/14	2019/06/21 51.90
18483	UBS AG - Tencent European Warrants Jun 2019 E	300,000,000	2019/01/14	2019/06/20 80.10
18415	Bank Vontobel - AAC Tech European Warrants Jun 2019 B	30,000,000	2019/01/14	2019/06/28 11.46
18413	Bank Vontobel - CC Bank European Warrants Jun 2019 C	40,000,000	2019/01/14	2019/06/04 10.96
18417	Bank Vontobel - China Comm Cons European Warrants Jul 2019 A	20,000,000	2019/01/14	2019/07/29 10.02
18433	Bank Vontobel - CK Asset European Warrants Jul 2019 A	35,000,000	2019/01/14	2019/07/31 10.89
18414	Bank Vontobel - CNBM European Warrants Jul 2019 A	20,000,000	2019/01/14	2019/07/29 11.54
18403	Bank Vontobel - China Shenhua European Warrants Aug 2019 A	40,000,000	2019/01/14	2019/08/06 10.04
18471	Bank Vontobel - CSPC Pharma European Warrants Aug 2019 A	85,000,000	2019/01/14	2019/08/30 12.75
18407	Bank Vontobel - China Unicom European Warrants Jul 2019 B	20,000,000	2019/01/14	2019/07/31 11.02
18424	Bank Vontobel - Hang Seng Bank European Warrants Nov 2019 A	60,000,000	2019/01/14	2019/11/05 10.26

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18404	Bank Vontobel - ICBC European Warrants Aug 2019 B	40,000,000	2019/01/14	2019/08/06	16.44
18421	Bank Vontobel - ICBC European Put Warrants May 2019 A	40,000,000	2019/01/14	2019/05/27	10.84
18420	Bank Vontobel - Meituan European Warrants Aug 2019 A	13,000,000	2019/01/14	2019/08/05	10.02
18862	BOCI Asia Ltd. - BOC HK European Warrants May 2019 A	100,000,000	2019/01/15	2019/05/29	15.50
18762	BOCI Asia Ltd. - Geely Auto European Warrants Jul 2019 A	100,000,000	2019/01/15	2019/07/29	25.20
18871	BOCI Asia Ltd. - HKEX European Put Warrants Dec 2019 A	100,000,000	2019/01/15	2019/12/23	15.00
18870	BOCI Asia Ltd. - HSBC European Put Warrants Jul 2019 A	60,000,000	2019/01/15	2019/07/29	15.12
18872	BOCI Asia Ltd. - Xiaomi European Warrants Jun 2019 B	150,000,000	2019/01/15	2019/06/24	22.80
19146	Credit Suisse AG - CK Asset European Warrants Jul 2019 B	50,000,000	2019/01/15	2019/07/15	15.00
19122	Credit Suisse AG - Geely Auto European Warrants Jul 2019 B	100,000,000	2019/01/15	2019/07/15	25.00
19128	Credit Suisse AG - Geely Auto European Warrants Jul 2019 C	100,000,000	2019/01/15	2019/07/15	32.00
19135	Credit Suisse AG - Xiaomi European Warrants Jul 2019 C	100,000,000	2019/01/15	2019/07/15	25.00
19134	Credit Suisse AG - Xiaomi European Warrants Sep 2019 B	100,000,000	2019/01/15	2019/09/25	18.00
19507	Goldman Sachs SP (Asia) - Conch Cement European Wts May 19 A	50,000,000	2019/01/15	2019/05/24	10.65
19498	Goldman Sachs SP (Asia) - Bank of China Euro Wts Aug 2019 A	80,000,000	2019/01/15	2019/08/23	12.00
19495	Goldman Sachs SP (Asia) - CC Bank European Wts May 2019 C	80,000,000	2019/01/15	2019/05/15	21.60
19510	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Apr 2019 A	40,000,000	2019/01/15	2019/04/23	13.24
19487	Goldman Sachs SP (Asia) - Tencent European Put Wt Jul 2019 A	100,000,000	2019/01/15	2019/07/23	15.00
18777	Haitong Int'l Sec - AIA European Put Warrants Apr 2019 E	65,000,000	2019/01/15	2019/04/24	10.40
18797	Haitong Int'l Sec - CK Asset European Put Warrants Jul 2019B	70,000,000	2019/01/15	2019/07/18	18.20
18809	Haitong Int'l Sec - Evergrande European Put Warrants Jul 19A	40,000,000	2019/01/15	2019/07/30	11.20
18816	Haitong Int'l Sec - R&F Ppt European Warrants Sep 2019 A	70,000,000	2019/01/15	2019/09/03	17.50
18823	Haitong Int'l Sec - R&F Ppt European Put Warrants Aug 2019 A	70,000,000	2019/01/15	2019/08/26	17.50
18815	Haitong Int'l Sec - Greatwall Motor European Warrants Jul19C	30,000,000	2019/01/15	2019/07/30	16.50
18810	Haitong Int'l Sec - Greatwall Motor European Put Wts Jul19B	30,000,000	2019/01/15	2019/07/30	18.30
19033	Haitong Int'l Sec - Jiangxi Copper European Warrants May 20A	80,000,000	2019/01/15	2020/05/22	16.80
18785	Haitong Int'l Sec - SUNAC European Warrants Jul 2019 A	40,000,000	2019/01/15	2019/07/16	14.00
18783	Haitong Int'l Sec - SUNAC European Put Warrants May 2019 B	70,000,000	2019/01/15	2019/05/29	10.50
18824	Haitong Int'l Sec - Xiaomi European Warrants Jul 2019 A	120,000,000	2019/01/15	2019/07/16	30.00
19075	J P Morgan SP BV - Beijing Airport European Warrants Jul 20A	50,000,000	2019/01/15	2020/07/31	12.55
19037	J P Morgan SP BV - China Tower European Put Warrants Aug 19A	100,000,000	2019/01/15	2019/08/02	25.00
19117	J P Morgan SP BV - Geely Auto European Warrants Sep 2019 B	100,000,000	2019/01/15	2019/09/10	25.00
19049	J P Morgan SP BV - Geely Auto European Put Wts Jul 2019 B	20,000,000	2019/01/15	2019/07/15	12.58
19084	J P Morgan SP BV - Henderson Land European Wt Jul 2019 B	40,000,000	2019/01/15	2019/07/15	10.08
19040	J P Morgan SP BV - Kingsoft European Put Warrants Dec 2019 A	40,000,000	2019/01/15	2019/12/31	10.04
19041	J P Morgan SP BV - SPDR Gold Trust European Wts Aug 2019 A	25,000,000	2019/01/15	2019/08/30	10.38
19078	J P Morgan SP BV - Weichai Power European Wts Aug 2019 A	60,000,000	2019/01/15	2019/08/19	10.86
19038	J P Morgan SP BV - Xiaomi European Warrants Apr 2019 D	100,000,000	2019/01/15	2019/04/30	15.10
19064	J P Morgan SP BV - Xiaomi European Warrants Sep 2019 B	100,000,000	2019/01/15	2019/09/30	15.10
19068	J P Morgan SP BV - Xiaomi European Put Warrants Apr 2019 E	25,000,000	2019/01/15	2019/04/30	11.00
18905	Macquarie Bank Ltd. - Hang Seng Bank European Wts Oct 2019 A	40,000,000	2019/01/15	2019/10/03	10.00
18914	Macquarie Bank Ltd. - Mengniu Dairy European Wts Aug 2019 A	33,000,000	2019/01/15	2019/08/02	10.10
18907	Macquarie Bank Ltd. - Nine Dragons European Wts Jun 2020 A	40,000,000	2019/01/15	2020/06/22	10.00
18901	Macquarie Bank Ltd. - PICC P&C European Warrants Aug 2019 A	11,500,000	2019/01/15	2019/08/02	10.12

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19011	Macquarie Bank Ltd. - Sands China Ltd European Wts Jul 2019A	30,000,000	2019/01/15	2019/07/02 10.38
19000	Macquarie Bank Ltd. - Sands China Ltd European Wts Aug 2019A	40,000,000	2019/01/15	2019/08/02 10.04
18875	Macquarie Bank Ltd. - SHK Ppt European Warrants Oct 2019 B	15,000,000	2019/01/15	2019/10/03 10.05
18874	Macquarie Bank Ltd. - SHK Ppt European Put Wts Oct 2019 A	10,500,000	2019/01/15	2019/10/03 10.31
19016	Macquarie Bank Ltd. - Zhaojin Mining Euro Wts Nov 2020 A	40,000,000	2019/01/15	2020/11/23 10.12
19180	SG Issuer - AIA European Put Warrants Apr 2019 D	60,000,000	2019/01/15	2019/04/24 10.50
19431	SG Issuer - CHALCO European Warrants Jul 2019 A	25,000,000	2019/01/15	2019/07/31 10.00
19271	SG Issuer - BYD Electronic European Warrants Jul 2019 B	70,000,000	2019/01/15	2019/07/22 10.50
19440	SG Issuer - CC Bank European Warrants May 2019 B	60,000,000	2019/01/15	2019/05/28 11.40
19454	SG Issuer - CK Asset European Warrants Jul 2019 A	30,000,000	2019/01/15	2019/07/31 10.20
19455	SG Issuer - CK Asset European Put Warrants Jul 2019 B	35,000,000	2019/01/15	2019/07/31 10.15
19341	SG Issuer - CKH Holdings European Warrants Jun 2019 A	25,000,000	2019/01/15	2019/06/28 10.75
19291	SG Issuer - Ch Molybdenum European Warrants Jul 2019 A	25,000,000	2019/01/15	2019/07/31 10.25
19442	SG Issuer - China Shenhua European Warrants Jul 2019 B	80,000,000	2019/01/15	2019/07/31 20.00
19301	SG Issuer - China Unicom European Warrants Jul 2019 A	15,000,000	2019/01/15	2019/07/31 10.05
19183	SG Issuer - Geely Auto European Warrants Jun 2019 B	80,000,000	2019/01/15	2019/06/28 12.40
19198	SG Issuer - Geely Auto European Warrants Jul 2019 A	80,000,000	2019/01/15	2019/07/31 24.00
19218	SG Issuer - Geely Auto European Warrants Dec 2019 A	80,000,000	2019/01/15	2019/12/31 20.00
19207	SG Issuer - Geely Auto European Put Warrants Jul 2019 B	15,000,000	2019/01/15	2019/07/31 10.05
19348	SG Issuer - Galaxy Ent European Warrants Jul 2019 A	60,000,000	2019/01/15	2019/07/31 27.60
19343	SG Issuer - Galaxy Ent European Put Warrants Jun 2019 A	50,000,000	2019/01/15	2019/06/28 12.00
19229	SG Issuer - Greatwall Motor European Warrants Jul 2019 A	20,000,000	2019/01/15	2019/07/31 10.00
19363	SG Issuer - HSBC European Warrants Jul 2019 A	80,000,000	2019/01/15	2019/07/31 23.60
19361	SG Issuer - Hang Seng Bank European Warrants Oct 2019 A	80,000,000	2019/01/15	2019/10/31 12.00
19466	SG Issuer - ICBC European Warrants Jul 2019 A	70,000,000	2019/01/15	2019/07/31 10.50
19371	SG Issuer - Kunlun Energy European Warrants Jun 2019 A	18,000,000	2019/01/15	2019/06/28 10.80
19374	SG Issuer - Link REIT European Warrants Jul 2019 B	20,000,000	2019/01/15	2019/07/31 10.00
19380	SG Issuer - Link REIT European Put Warrants Jul 2019 C	25,000,000	2019/01/15	2019/07/31 10.00
19250	SG Issuer - Maanshan Iron European Warrants Jul 2019 A	20,000,000	2019/01/15	2019/07/31 10.00
19413	SG Issuer - MTR Corporation European Put Warrants Jun 2019 B	50,000,000	2019/01/15	2019/06/28 10.00
19257	SG Issuer - Ping An European Warrants Jul 2019 B	80,000,000	2019/01/15	2019/07/31 35.20
19418	SG Issuer - SHK Ppt European Warrants Jul 2019 A	15,000,000	2019/01/15	2019/07/31 11.25
19427	SG Issuer - SHK Ppt European Put Warrants Jul 2019 B	20,000,000	2019/01/15	2019/07/31 10.00
19260	SG Issuer - Xiaomi European Warrants May 2019 C	120,000,000	2019/01/15	2019/05/31 18.00
19264	SG Issuer - Xiaomi European Warrants Sep 2019 B	120,000,000	2019/01/15	2019/09/30 22.80
18971	UBS AG - Cheung Kong European Put Warrants Jul 2019 A	30,000,000	2019/01/15	2019/07/15 10.80
18959	UBS AG - China Tower European Warrants Sep 2019 A	70,000,000	2019/01/15	2019/09/23 14.56
18774	UBS AG - Geely Auto European Warrants Jul 2019 A	100,000,000	2019/01/15	2019/07/15 25.00
18986	UBS AG - HKEX European Warrants Jun 2019 E	300,000,000	2019/01/15	2019/06/21 65.40
18982	UBS AG - HKEX European Warrants Sep 2019 A	300,000,000	2019/01/15	2019/09/23 48.00
18922	UBS AG - Ping An European Warrants Jun 2019 A	100,000,000	2019/01/15	2019/06/25 54.20
18960	UBS AG - SHK Ppt European Put Warrants Jul 2019 A	17,000,000	2019/01/15	2019/07/15 10.44
18934	UBS AG - Tencent European Warrants Jun 2019 F	30,000,000	2019/01/15	2019/06/25 46.14
18933	UBS AG - Tencent European Put Warrants Apr 2019 M	30,000,000	2019/01/15	2019/04/25 35.28

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15333#	J P Morgan SP BV - HSI European Put Warrants May 2019 G	300,000,000	2019/01/15	2019/05/30 15.60
15865#	J P Morgan SP BV - HSI European Put Warrants May 2019 H	300,000,000	2019/01/15	2019/05/30 20.70
19679	BOCI Asia Ltd. - CM Bank European Warrants Jul 2019 A	70,000,000	2019/01/16	2019/07/22 17.50
19678	BOCI Asia Ltd. - Meituan European Warrants Jun 2019 B	30,000,000	2019/01/16	2019/06/28 11.97
19664	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Jan 2020 A	80,000,000	2019/01/16	2020/01/10 12.00
19654	BNP Paribas Issuance B.V. - HSBC European Wts Feb 2020 A	40,000,000	2019/01/16	2020/02/04 10.40
19675	BNP Paribas Issuance B.V. - PetroChina Euro Wts Aug 2019 A	50,000,000	2019/01/16	2019/08/02 13.50
19676	BNP Paribas Issuance B.V. - PetroChina Euro Wts Dec 2019 A	50,000,000	2019/01/16	2019/12/03 14.50
19669	BNP Paribas Issuance B.V. - ZA Online European Wts Aug 2019 A	35,000,000	2019/01/16	2019/08/02 11.20
19670	BNP Paribas Issuance B.V. - ZA Online European Wts Sep 2019 A	40,000,000	2019/01/16	2019/09/03 10.40
20166	Credit Suisse AG - CK Asset European Put Warrants Jul 2019 C	35,000,000	2019/01/16	2019/07/15 10.15
20180	Credit Suisse AG - Maanshan Iron European Warrants Jul 2019A	20,000,000	2019/01/16	2019/07/15 10.00
20148	Credit Suisse AG - SHK Ppt European Warrants Jul 2019 A	30,000,000	2019/01/16	2019/07/15 10.20
20150	Credit Suisse AG - SHK Ppt European Put Warrants Jul 2019 B	20,000,000	2019/01/16	2019/07/15 12.60
20190	Credit Suisse AG - Xiaomi European Put Warrants Feb 2020 A	80,000,000	2019/01/16	2020/02/28 20.00
20494	Goldman Sachs SP (Asia) - AIA European Warrants May 2019 C	80,000,000	2019/01/16	2019/05/07 13.60
20532	Goldman Sachs SP (Asia) - CKA European Warrants Jul 2019 A	60,000,000	2019/01/16	2019/07/16 15.00
20485	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Apr 2019 G	80,000,000	2019/01/16	2019/04/16 12.88
20542	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2019 A	100,000,000	2019/01/16	2019/07/02 47.20
20551	Goldman Sachs SP (Asia) - Xiaomi European Warrants Apr 2019E	100,000,000	2019/01/16	2019/04/23 15.00
20078	Haitong Int'l Sec - China Tower European Warrants Sep 2019 A	70,000,000	2019/01/16	2019/09/23 14.00
20028	Haitong Int'l Sec - China Tower European Put Warrants Dec19C	70,000,000	2019/01/16	2019/12/13 10.50
20113	Haitong Int'l Sec - Geely Auto European Warrants Jun 2019 C	100,000,000	2019/01/16	2019/06/21 15.00
20104	Haitong Int'l Sec - Galaxy Ent European Put Wts Jul 2019 B	40,000,000	2019/01/16	2019/07/17 14.00
20114	Haitong Int'l Sec - Lenovo European Put Warrants May 2019 A	50,000,000	2019/01/16	2019/05/28 10.50
20120	Haitong Int'l Sec - Tencent European Warrants Jun 2019 I	100,000,000	2019/01/16	2019/06/25 21.00
19928	J P Morgan SP BV - CK Asset European Warrants Aug 2019 A	80,000,000	2019/01/16	2019/08/02 20.00
19922	J P Morgan SP BV - CR Beer European Warrants Aug 2019 A	50,000,000	2019/01/16	2019/08/02 12.50
19768	J P Morgan SP BV - Ch Railway Cons European Wts Dec 2020 A	100,000,000	2019/01/16	2020/12/30 25.00
19770	J P Morgan SP BV - CRRC European Warrants Jun 2019 A	30,000,000	2019/01/16	2019/06/21 11.43
20121	J P Morgan SP BV - A50 China European Warrants Jun 2019 B	80,000,000	2019/01/16	2019/06/21 15.84
19818	J P Morgan SP BV - Haier Elec European Warrants Jul 2019 A	40,000,000	2019/01/16	2019/07/31 10.04
19970	J P Morgan SP BV - HSI European Put Warrants Jul 2019 D	400,000,000	2019/01/16	2019/07/30 100.40
19883	J P Morgan SP BV - Mengniu Dairy European Wts Jul 2019 A	60,000,000	2019/01/16	2019/07/15 15.06
20013	J P Morgan SP BV - Tencent European Warrants Jun 2019 F	250,000,000	2019/01/16	2019/06/21 37.50
19974	J P Morgan SP BV - Tencent European Warrants Aug 2019 A	250,000,000	2019/01/16	2019/08/30 62.75
20021	J P Morgan SP BV - Tencent European Put Warrants Jun 2019 G	250,000,000	2019/01/16	2019/06/18 37.50
19594	Macquarie Bank Ltd. - Conch Cement European Wts Oct 2019 A	20,500,000	2019/01/16	2019/10/03 10.11
19603	Macquarie Bank Ltd. - BYD Company European Put Wts Oct 2019B	36,500,000	2019/01/16	2019/10/03 10.00
19592	Macquarie Bank Ltd. - China Tower European Warrants Feb 20 A	40,000,000	2019/01/16	2020/02/04 10.16
19561	Macquarie Bank Ltd. - Geely Auto European Wts Sep 2019 A	6,000,000	2019/01/16	2019/09/03 10.13
19639	Macquarie Bank Ltd. - Lenovo European Warrants Aug 2019 A	23,100,000	2019/01/16	2019/08/02 10.00
19591	Macquarie Bank Ltd. - SUNAC European Warrants Aug 2019 A	28,500,000	2019/01/16	2019/08/02 10.09
19566	Macquarie Bank Ltd. - Xiaomi European Warrants Aug 2019 C	7,500,000	2019/01/16	2019/08/02 10.23

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20407	SG Issuer - China Comm Cons European Warrants Jul 2019 A	20,000,000	2019/01/16	2019/07/31	10.20
20397	SG Issuer - China Coal European Warrants Jul 2019 B	40,000,000	2019/01/16	2019/07/31	10.00
20234	SG Issuer - China Mobile European Put Warrants Jun 2019 B	40,000,000	2019/01/16	2019/06/28	10.20
20300	SG Issuer - China Taiping European Warrants Jul 2019 A	40,000,000	2019/01/16	2019/07/31	10.00
20425	SG Issuer - China Tower European Warrants Nov 2019 A	80,000,000	2019/01/16	2019/11/29	16.00
20456	SG Issuer - China Tower European Put Warrants Dec 2019 A	50,000,000	2019/01/16	2019/12/31	10.00
20307	SG Issuer - HKEX European Put Warrants Jul 2019 C	20,000,000	2019/01/16	2019/07/31	10.00
20211	SG Issuer - HSI European Put Warrants Apr 2019 M	300,000,000	2019/01/16	2019/04/29	45.00
20212	SG Issuer - HSI European Put Warrants May 2019 K	300,000,000	2019/01/16	2019/05/30	45.00
20319	SG Issuer - Jiangxi Copper European Warrants Jul 2020 A	100,000,000	2019/01/16	2020/07/13	21.50
20461	SG Issuer - Lenovo European Put Warrants Jul 2019 A	26,000,000	2019/01/16	2019/07/31	10.01
20321	SG Issuer - Shimao Ppt Eu Wt Jul 2019 A	35,000,000	2019/01/16	2019/07/31	10.15
20468	SG Issuer - Sunny Optical European Warrants Sep 2019 A	80,000,000	2019/01/16	2019/09/30	12.00
20328	SG Issuer - Tencent European Warrants Apr 2019 R	20,000,000	2019/01/16	2019/04/30	20.00
20396	SG Issuer - Xiaomi European Warrants Dec 2019 B	120,000,000	2019/01/16	2019/12/31	30.00
20351	SG Issuer - Xiaomi European Put Warrants Jul 2019 D	8,000,000	2019/01/16	2019/07/31	10.00
19704	UBS AG - Bank of China European Warrants May 2019 A	60,000,000	2019/01/16	2019/05/14	12.00
19702	UBS AG - CC Bank European Warrants May 2019 A	60,000,000	2019/01/16	2019/05/28	14.58
19744	UBS AG - CITIC Bank European Warrants Jul 2019 A	40,000,000	2019/01/16	2019/07/26	10.32
19732	UBS AG - CM Bank European Warrants Jul 2019 A	60,000,000	2019/01/16	2019/07/15	11.46
19735	UBS AG - Minsheng Bank European Warrants Jul 2019 A	40,000,000	2019/01/16	2019/07/05	10.36
19747	UBS AG - Greatwall Motor European Warrants Aug 2019 A	25,000,000	2019/01/16	2019/08/09	14.10
19682	UBS AG - ICBC European Warrants May 2019 A	60,000,000	2019/01/16	2019/05/24	16.50
19699	UBS AG - ICBC European Warrants Aug 2019 A	60,000,000	2019/01/16	2019/08/19	12.96
19553	Bank Vontobel - HKEX European Warrants Nov 2019 A	80,000,000	2019/01/16	2019/11/29	15.28
19560	Bank Vontobel - HKEX European Put Warrants Jul 2019 C	60,000,000	2019/01/16	2019/07/31	15.06
19516	Bank Vontobel - SHK Ppt European Warrants Jul 2019 A	20,000,000	2019/01/16	2019/07/26	10.42
19552	Bank Vontobel - SHK Ppt European Put Warrants Jul 2019 B	20,000,000	2019/01/16	2019/07/26	10.22
14051*	J P Morgan SP BV - HSI European Put Warrants Apr 2019 H	600,000,000	2019/01/16	2019/04/29	42.60
21102	Credit Suisse AG - BYD Company European Put Warrants Jul 19B	35,000,000	2019/01/17	2019/07/16	10.15
21106	Credit Suisse AG - China Mobile European Put Wts Jun 2019 B	50,000,000	2019/01/17	2019/06/21	12.50
21108	Credit Suisse AG - CSPC Pharma European Put Warrants Mar 20A	80,000,000	2019/01/17	2020/03/24	14.40
20566	Credit Suisse AG - Xiaomi European Warrants Apr 2019 E	150,000,000	2019/01/17	2019/04/23	22.50
21035	Bank of East Asia - CNBM European Warrants Jul 2021 A	70,000,000	2019/01/17	2021/07/12	10.92
21065	Bank of East Asia - Geely Auto European Warrants Jun 2019 A	65,000,000	2019/01/17	2019/06/28	10.73
21024	Bank of East Asia - Tencent European Wts Jun 2019 A	60,000,000	2019/01/17	2019/06/24	14.64
21092	Bank of East Asia - Xiaomi European Put Warrants Jul 2019 C	40,000,000	2019/01/17	2019/07/18	10.08
21416	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2019 B	80,000,000	2019/01/17	2019/06/24	12.00
21431	Goldman Sachs SP (Asia) - CK Asset European Put Wts Jul19B	40,000,000	2019/01/17	2019/07/17	10.00
21422	Goldman Sachs SP (Asia) - Geely Auto European Wts Jul 2019 B	80,000,000	2019/01/17	2019/07/03	14.24
21450	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2019 A	100,000,000	2019/01/17	2019/06/24	15.00
21451	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 I	100,000,000	2019/01/17	2019/06/20	17.90
20817	HK Bank - HSI European Warrants Jul 2019 B	100,000,000	2019/01/17	2019/07/30	15.00
20834	HK Bank - HSI European Warrants Jul 2019 C	150,000,000	2019/01/17	2019/07/30	22.50

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20850	HK Bank - HSI European Put Warrants Jun 2019 D	150,000,000	2019/01/17	2019/06/27 27.00
20849	HK Bank - HSI European Put Warrants Jul 2019 D	100,000,000	2019/01/17	2019/07/30 25.00
20816	HK Bank - Tencent European Put Warrants Jun 2019 E	80,000,000	2019/01/17	2019/06/21 12.00
20813	HK Bank - Xiaomi European Put Warrants Dec 2019 A	40,000,000	2019/01/17	2019/12/31 10.00
20659	Haitong Int'l Sec - Sinopec Corp European Wts Jul 2019 B	30,000,000	2019/01/17	2019/07/24 11.10
20675	Haitong Int'l Sec - Sinopec Corp European Put Wts Jun 2019 A	45,000,000	2019/01/17	2019/06/28 10.80
20676	Haitong Int'l Sec - PetroChina European Euro Put Wts Jun 19A	50,000,000	2019/01/17	2019/06/28 10.00
21179	J P Morgan SP BV - Country Garden European Put Wt Oct 2020 A	80,000,000	2019/01/17	2020/10/05 20.00
21286	J P Morgan SP BV - CGN Power European Warrants Nov 2019 A	50,000,000	2019/01/17	2019/11/29 12.75
21219	J P Morgan SP BV - CITIC Bank European Warrants Jul 2019 A	50,000,000	2019/01/17	2019/07/31 12.55
21129	J P Morgan SP BV - CPIC European Warrants Sep 2019 A	80,000,000	2019/01/17	2019/09/02 20.08
21123	J P Morgan SP BV - China Taiping European Wts Jul 2019 B	60,000,000	2019/01/17	2019/07/24 10.80
21212	J P Morgan SP BV - Geely Auto European Put Wts Apr 2019 B	20,000,000	2019/01/17	2019/04/23 16.24
21275	J P Morgan SP BV - Greatwall Motor European Wts Jul 2019 A	40,000,000	2019/01/17	2019/07/31 13.88
21296	J P Morgan SP BV - HSI European Put Warrants Apr 2019 J	400,000,000	2019/01/17	2019/04/29 76.40
21263	J P Morgan SP BV - HSI European Put Warrants May 2019 I	400,000,000	2019/01/17	2019/05/30 60.00
21259	J P Morgan SP BV - ICBC European Warrants May 2019 A	100,000,000	2019/01/17	2019/05/31 15.10
21139	J P Morgan SP BV - PICC P&C European Warrants Jul 2019 A	40,000,000	2019/01/17	2019/07/16 11.20
21284	J P Morgan SP BV - Ping An European Warrants Jun 2019 A	200,000,000	2019/01/17	2019/06/28 31.00
21099	J P Morgan SP BV - Xiaomi European Warrants Apr 2019 F	100,000,000	2019/01/17	2019/04/23 15.10
21260	J P Morgan SP BV - Xiaomi European Put Warrants Mar 2020 A	100,000,000	2019/01/17	2020/03/31 25.00
21272	J P Morgan SP BV - ZA Online European Warrants Jul 2019 A	40,000,000	2019/01/17	2019/07/31 10.12
20747	Macquarie Bank Ltd. - Brilliance Chi Euro Wts Feb 2020 A	5,500,000	2019/01/17	2020/02/04 10.06
20713	Macquarie Bank Ltd. - CK Asset European Warrants Oct 2019 A	27,500,000	2019/01/17	2019/10/03 10.01
20760	Macquarie Bank Ltd. - CSPC Pharma European Wts Dec 2019 A	40,000,000	2019/01/17	2019/12/03 10.04
20757	Macquarie Bank Ltd. - CSPC Pharma European Wts Apr 2020 A	40,000,000	2019/01/17	2020/04/02 10.04
20805	Macquarie Bank Ltd. - Kingdee Int'l European Wts Aug 2019 A	9,800,000	2019/01/17	2019/08/16 10.05
20795	Macquarie Bank Ltd. - Xiaomi European Warrants Aug 2019 D	6,500,000	2019/01/17	2019/08/02 10.35
20804	Macquarie Bank Ltd. - Xiaomi European Put Warrants Sep 2019A	6,500,000	2019/01/17	2019/09/03 10.11
21307	SG Issuer - AIA European Put Warrants Jun 2019 A	50,000,000	2019/01/17	2019/06/28 10.50
21316	SG Issuer - BYD Company European Warrants Jul 2019 A	16,000,000	2019/01/17	2019/07/31 10.08
21326	SG Issuer - CITIC Bank European Warrants Jul 2019 A	30,000,000	2019/01/17	2019/07/31 10.05
21349	SG Issuer - CKI Holdings European Warrants Sep 2019 A	30,000,000	2019/01/17	2019/09/30 10.05
21331	SG Issuer - China Lit European Warrants Jul 2019 C	14,000,000	2019/01/17	2019/07/31 10.08
21347	SG Issuer - CRCC European Warrants Sep 2019 A	10,000,000	2019/01/17	2019/09/30 12.10
21304	SG Issuer - CSOP A50 ETF European Warrants Jul 2019 A	20,000,000	2019/01/17	2019/07/31 12.20
21305	SG Issuer - A50 China European Warrants Jul 2019 A	20,000,000	2019/01/17	2019/07/31 12.80
21352	SG Issuer - Geely Auto European Warrants Jul 2019 C	80,000,000	2019/01/17	2019/07/03 16.00
21360	SG Issuer - Geely Auto European Put Warrants Jul 2019 D	10,000,000	2019/01/17	2019/07/31 11.60
21379	SG Issuer - PICC Group European Warrants Jul 2019 A	35,000,000	2019/01/17	2019/07/31 10.15
21382	SG Issuer - Sunny Optical European Put Warrants Jul 2019 B	12,000,000	2019/01/17	2019/07/31 10.08
21384	SG Issuer - Wharf Reic European Warrants Sep 2019 A	25,000,000	2019/01/17	2019/09/30 10.88
21388	SG Issuer - ZA Online European Warrants Jul 2019 B	26,000,000	2019/01/17	2019/07/31 10.01
20961	UBS AG - CAM CSI300 European Warrants Aug 2019 A	70,000,000	2019/01/17	2019/08/23 19.46

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20962	UBS AG - CK Asset European Warrants Jul 2019 B	40,000,000	2019/01/17	2019/07/29 15.36
20931	UBS AG - China Tower European Put Warrants Dec 2019 B	70,000,000	2019/01/17	2019/12/13 12.25
20924	UBS AG - A50 China European Warrants Jun 2019 B	25,000,000	2019/01/17	2019/06/21 10.78
20898	UBS AG - A50 China European Warrants Jul 2019 A	25,000,000	2019/01/17	2019/07/16 16.90
20872	UBS AG - Geely Auto European Put Warrants Nov 2019 A	40,000,000	2019/01/17	2019/11/25 10.04
20992	UBS AG - Henderson Land Dev European Warrants Oct 2019 A	50,000,000	2019/01/17	2019/10/25 14.70
20944	UBS AG - Kingsoft European Warrants Oct 2019 A	100,000,000	2019/01/17	2019/10/28 26.80
21023	UBS AG - NCI European Warrants Jul 2019 A	40,000,000	2019/01/17	2019/07/22 10.40
20967	UBS AG - SHK Ppt European Warrants Jul 2019 B	30,000,000	2019/01/17	2019/07/29 11.88
20552	UBS AG - Xiaomi European Warrants Apr 2019 E	100,000,000	2019/01/17	2019/04/23 15.00
20858	UBS AG - Xiaomi European Warrants Oct 2019 A	100,000,000	2019/01/17	2019/10/14 25.00
20587	Bank Vontobel - Minsheng Bank European Warrants May 2019 A	60,000,000	2019/01/17	2019/05/24 10.26
20652	Bank Vontobel - Minsheng Bank European Warrants Jul 2019 A	30,000,000	2019/01/17	2019/07/31 11.43
20657	Bank Vontobel - China Overseas European Warrants Nov 2019 A	40,000,000	2019/01/17	2019/11/29 10.04
20585	Bank Vontobel - JIANG European Warrants Aug 2019 A	10,000,000	2019/01/17	2019/08/07 10.11
20576	Bank Vontobel - Tencent European Put Warrants Aug 2019 B	80,000,000	2019/01/17	2019/08/06 28.08
21490	BOCI Asia Ltd. - HSI European Warrants Apr 2019 E	200,000,000	2019/01/18	2019/04/29 30.40
21463	BOCI Asia Ltd. - Tencent European Wts Jun 2019 D	200,000,000	2019/01/18	2019/06/18 34.00
21483	BOCI Asia Ltd. - Tencent European Put Wts May 2019 F	200,000,000	2019/01/18	2019/05/27 30.40
21722	BOCI Asia Ltd. - Xiaomi European Warrants Apr 2019 C	150,000,000	2019/01/18	2019/04/24 22.80
21819	Credit Suisse AG - CKI Holdings European Warrants Jul 2019 A	40,000,000	2019/01/18	2019/07/17 10.00
21816	Credit Suisse AG - HSI European Put Warrants Apr 2019 B	200,000,000	2019/01/18	2019/04/29 30.00
22160	Goldman Sachs SP (Asia) - Geely Auto Euro Put Wts Oct 2019 A	80,000,000	2019/01/18	2019/10/18 20.00
22161	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2019 J	150,000,000	2019/01/18	2019/04/29 26.25
22176	Goldman Sachs SP (Asia) - HSI European Put Wts May 2019 G	150,000,000	2019/01/18	2019/05/30 22.50
22155	Goldman Sachs SP (Asia) - Tencent European Put Wt Apr 2019 R	100,000,000	2019/01/18	2019/04/18 15.00
22159	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 J	100,000,000	2019/01/18	2019/06/24 22.00
21705	HK Bank - China Mobile European Put Warrants Jul 2019 A	40,000,000	2019/01/18	2019/07/31 10.00
21649	HK Bank - Tencent European Warrants Jul 2019 B	80,000,000	2019/01/18	2019/07/31 20.00
21994	Haitong Int'l Sec - Tencent European Put Warrants Jun 2019 J	100,000,000	2019/01/18	2019/06/19 29.00
21913	J P Morgan SP BV - Agricultural Bank European Put Wts Jun19A	80,000,000	2019/01/18	2019/06/28 12.00
21907	J P Morgan SP BV - Bank of China European Put Wts Jul 2019 A	80,000,000	2019/01/18	2019/07/31 20.00
21956	J P Morgan SP BV - BankComm European Put Warrants Jul 2019 B	40,000,000	2019/01/18	2019/07/31 10.20
21898	J P Morgan SP BV - CC Bank European Put Wts Jul 2019 A	80,000,000	2019/01/18	2019/07/31 21.92
21964	J P Morgan SP BV - CITIC Sec European Put Warrants Dec 2019A	50,000,000	2019/01/18	2019/12/31 12.55
21923	J P Morgan SP BV - CM Bank European Put Warrants Jul 2019 B	80,000,000	2019/01/18	2019/07/31 20.00
21821	J P Morgan SP BV - HSI European Put Warrants Jul 2019 E	400,000,000	2019/01/18	2019/07/30 100.00
21981	J P Morgan SP BV - MGM China European Put Wts Oct 2019 A	50,000,000	2019/01/18	2019/10/31 12.50
21895	J P Morgan SP BV - PSBC European Warrants Jul 2019 A	40,000,000	2019/01/18	2019/07/31 10.08
21961	J P Morgan SP BV - Swire Pacific A European Warrants Jul19A	40,000,000	2019/01/18	2019/07/31 10.16
21963	J P Morgan SP BV - Swire Pacific A European Put Wts Jul19B	40,000,000	2019/01/18	2019/07/31 10.00
21890	J P Morgan SP BV - Stanchart European Warrants Aug 2019 A	25,000,000	2019/01/18	2019/08/30 11.58
21966	J P Morgan SP BV - SUNAC European Put Warrants Apr 2019 B	70,000,000	2019/01/18	2019/04/30 10.64
21860	J P Morgan SP BV - Tracker Fund European Put Warrants May19A	100,000,000	2019/01/18	2019/05/31 15.00

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21798	Macquarie Bank Ltd. - CSPC PharmaEuropean Put Wts Jan20A	40,000,000	2019/01/18	2020/01/03 10.28
21726	Macquarie Bank Ltd. - IGG European Warrants Jan 2020 A	40,000,000	2019/01/18	2020/01/10 10.08
21791	Macquarie Bank Ltd. - Meituan European Put Warrants Aug 19 A	27,800,000	2019/01/18	2019/08/02 10.01
21783	Macquarie Bank Ltd. - Tencent European Put Wts Aug 2019 G	10,000,000	2019/01/18	2019/08/01 43.68
21764	Macquarie Bank Ltd. - China Vanke European Wts Oct 2019 A	25,500,000	2019/01/18	2019/10/03 10.10
22007	SG Issuer - BOC HK European Put Warrants May 2019 C	70,000,000	2019/01/18	2019/05/31 10.50
22083	SG Issuer - HKEX European Warrants May 2019 E	15,000,000	2019/01/18	2019/05/31 10.05
22008	SG Issuer - Henderson Land European Put Warrants Jun 2019 B	50,000,000	2019/01/18	2019/06/28 10.00
22030	SG Issuer - HSBC European Put Warrants May 2019 D	60,000,000	2019/01/18	2019/05/31 21.90
22056	SG Issuer - ND Paper European Warrants Aug 2019 A	8,000,000	2019/01/18	2019/08/30 10.80
22089	SG Issuer - Ping An European Warrants Apr 2019 G	80,000,000	2019/01/18	2019/04/24 12.00
22082	SG Issuer - Ping An European Put Warrants Apr 2019 F	60,000,000	2019/01/18	2019/04/30 14.40
22090	SG Issuer - Tencent European Put Warrants May 2019 K	200,000,000	2019/01/18	2019/05/24 30.00
21536	UBS AG - BYD European Put Warrants Jul 2019 A	40,000,000	2019/01/18	2019/07/18 18.00
21572	UBS AG - CKI Holdings European Warrants Sep 2019 A	40,000,000	2019/01/18	2019/09/23 10.56
21531	UBS AG - China Overseas European Warrants Jul 2019 A	70,000,000	2019/01/18	2019/07/10 11.27
21607	UBS AG - HSI European Warrants Jul 2019 A	300,000,000	2019/01/18	2019/07/30 47.10
21456	UBS AG - HSI European Put Warrants May 2019 D	300,000,000	2019/01/18	2019/05/30 45.00
21544	UBS AG - Link REIT European Put Warrants Jul 2019 B	40,000,000	2019/01/18	2019/07/29 13.36
21577	UBS AG - Ping An European Put Warrants Apr 2019 C	100,000,000	2019/01/18	2019/04/24 49.30
21604	UBS AG - Ping An European Put Warrants Apr 2019 D	100,000,000	2019/01/18	2019/04/30 16.10
21511	UBS AG - Tencent European Put Warrants Apr 2019 N	300,000,000	2019/01/18	2019/04/23 46.80
21501	UBS AG - Tencent European Put Warrants May 2019 G	300,000,000	2019/01/18	2019/05/24 45.00
21452	UBS AG - Tencent European Put Warrants Jun 2019 G	300,000,000	2019/01/18	2019/06/18 45.00
21624	UBS AG - Tencent European Put Warrants Jun 2019 H	300,000,000	2019/01/18	2019/06/20 64.80
21630	UBS AG - Tencent European Put Warrants Sep 2019 A	300,000,000	2019/01/18	2019/09/30 75.00
22287	Credit Suisse AG - CM Bank European Put Warrants Jul 2019 A	50,000,000	2019/01/21	2019/07/22 12.50
22448	Goldman Sachs SP (Asia) - AIA European Put Wts May 2019 D	80,000,000	2019/01/21	2019/05/10 12.00
22462	Goldman Sachs SP (Asia) - CRRC European Warrants Jun 2019 A	40,000,000	2019/01/21	2019/06/21 17.36
22466	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2019 B	100,000,000	2019/01/21	2019/07/03 15.00
22405	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 K	100,000,000	2019/01/21	2019/06/21 15.00
22432	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 L	100,000,000	2019/01/21	2019/06/03 26.00
22267	Haitong Int'l Sec - BYD Company European Warrants Jul 2019 C	25,000,000	2019/01/21	2019/07/22 17.00
22266	Haitong Int'l Sec - BYD Company European Put Warrants Jul19B	25,000,000	2019/01/21	2019/07/22 10.25
22268	Haitong Int'l Sec - Galaxy Ent European Put Wts Jul 2019 C	40,000,000	2019/01/21	2019/07/22 13.20
22260	J P Morgan SP BV - Galaxy Ent European Warrants Jun 2019 A	100,000,000	2019/01/21	2019/06/21 21.30
22264	J P Morgan SP BV - Kingsoft European Warrants May 2019 A	70,000,000	2019/01/21	2019/05/31 10.64
22253	J P Morgan SP BV - Meituan European Warrants May 2019 D	40,000,000	2019/01/21	2019/05/28 10.16
22263	J P Morgan SP BV - Sands China Ltd European Warrants Jun 19C	100,000,000	2019/01/21	2019/06/28 18.60
22221	Macquarie Bank Ltd. - Angang Steel European Wts Dec 2021 A	40,000,000	2019/01/21	2021/12/28 10.04
22238	Macquarie Bank Ltd. - China Huarong European Wts Oct 2019 A	32,700,000	2019/01/21	2019/10/16 10.04
22201	Macquarie Bank Ltd. - CM Bank European Put Warrants Jan 20 A	40,000,000	2019/01/21	2020/01/03 10.00
22216	Macquarie Bank Ltd. - CPIC European Warrants Sep 2019 A	39,100,000	2019/01/21	2019/09/03 10.01
22219	Macquarie Bank Ltd. - CPIC European Put Warrants Sep 2019 B	40,000,000	2019/01/21	2019/09/03 10.04

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22195	Macquarie Bank Ltd. - GAC European Wts Dec 2020 A	40,000,000	2019/01/21	2020/12/02	10.12
22236	Macquarie Bank Ltd. - Swire Pacific A Euro Put Wts Oct 2019B	15,000,000	2019/01/21	2019/10/03	10.35
22213	Macquarie Bank Ltd. - Shenzhen Int'l European Wts Jan 2020 A	40,000,000	2019/01/21	2020/01/03	10.00
22359	SG Issuer - Agricultural Bank European Warrants Sep 2019 A	60,000,000	2019/01/21	2019/09/30	15.00
22360	SG Issuer - BBMG European Warrants Sep 2019 A	25,000,000	2019/01/21	2019/09/30	10.75
22310	SG Issuer - China Life European Warrants Sep 2019 A	120,000,000	2019/01/21	2019/09/30	19.80
22368	SG Issuer - Chinas Cement European Warrants Sep 2019 B	8,000,000	2019/01/21	2019/09/30	10.48
22348	SG Issuer - CRRC European Warrants Jul 2019 A	15,000,000	2019/01/21	2019/07/31	11.10
22374	SG Issuer - CSPC Pharma European Warrants Sep 2019 A	60,000,000	2019/01/21	2019/09/30	11.10
22291	SG Issuer - HSI European Warrants Dec 2019 B	300,000,000	2019/01/21	2019/12/30	75.00
22375	SG Issuer - Kingsoft European Warrants Oct 2019 A	50,000,000	2019/01/21	2019/10/31	12.50
22357	SG Issuer - PICC P&C European Warrants Dec 2020 A	100,000,000	2019/01/21	2020/12/31	16.50
22378	SG Issuer - Sino Biopharm European Warrants Oct 2019 A	9,000,000	2019/01/21	2019/10/31	10.89
22387	SG Issuer - Stanchart European Warrants Jul 2019 A	20,000,000	2019/01/21	2019/07/31	10.00
22249	UBS AG - ASM Pacific European Warrants Jul 2019 A	11,000,000	2019/01/21	2019/07/22	10.22
22245	UBS AG - Haier Elec European Warrants Jul 2019 A	40,000,000	2019/01/21	2019/07/31	10.16
22285	Bank Vontobel - Tencent European Warrants Sep 2019 C	100,000,000	2019/01/21	2019/09/25	19.10
22270	Bank Vontobel - Tencent European Put Warrants Jun 2019 G	100,000,000	2019/01/21	2019/06/20	24.10
22624	BOCI Asia Ltd. - Ping An European Warrants May 2019 B	80,000,000	2019/01/22	2019/05/27	12.24
22630	BOCI Asia Ltd. - Ping An European Warrants Jun 2019 C	80,000,000	2019/01/22	2019/06/25	14.88
22595	BOCI Asia Ltd. - Xiaomi European Warrants Jul 2019 A	150,000,000	2019/01/22	2019/07/15	22.50
22604	BOCI Asia Ltd. - Xiaomi European Warrants Jul 2019 B	150,000,000	2019/01/22	2019/07/09	22.80
22642	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Oct 2020 A	50,000,000	2019/01/22	2020/10/05	13.50
22534	BNP Paribas Issuance B.V. - China Mobile Eu Put Wt Aug 2019A	40,000,000	2019/01/22	2019/08/02	13.20
22568	BNP Paribas Issuance B.V. - HKEX European Wts Jul 2020 A	100,000,000	2019/01/22	2020/07/03	26.00
22581	BNP Paribas Issuance B.V. - HKEX European Wts Dec 2020 B	100,000,000	2019/01/22	2020/12/02	26.00
22591	BNP Paribas Issuance B.V. - HKEX Euro Put Wts Jul 2020 B	100,000,000	2019/01/22	2020/07/03	25.00
22638	BNP Paribas Issuance B.V. - Hang Seng Bank Eu Wt Apr 2020 A	80,000,000	2019/01/22	2020/04/20	13.60
22640	BNP Paribas Issuance B.V. - Meituan European Warrants Aug19A	40,000,000	2019/01/22	2019/08/02	18.40
22552	BNP Paribas Issuance B.V. - Ping An Eur Put Wts Oct 2019 D	40,000,000	2019/01/22	2019/10/03	13.20
22531	BNP Paribas Issuance B.V. - Tencent European Wts Nov 2019 A	80,000,000	2019/01/22	2019/11/04	20.00
22782	Credit Suisse AG - AIA European Put Warrants Jul 2019 A	60,000,000	2019/01/22	2019/07/22	22.80
22778	Credit Suisse AG - China Mobile European Warrants Jun 2019 C	60,000,000	2019/01/22	2019/06/25	12.60
22911	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2019 A	150,000,000	2019/01/22	2019/07/30	22.50
22920	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2019 B	150,000,000	2019/01/22	2019/07/30	22.50
22921	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2019 B	100,000,000	2019/01/22	2019/06/25	30.90
22482	Haitong Int'l Sec - Henderson Land European Put Wts Jun19A	50,000,000	2019/01/22	2019/06/28	10.50
22474	Haitong Int'l Sec - HSBC European Put Warrants May 2019 A	70,000,000	2019/01/22	2019/05/27	10.50
22761	J P Morgan SP BV - AIA European Warrants Jun 2019 B	100,000,000	2019/01/22	2019/06/17	18.70
22756	J P Morgan SP BV - China Mobile European Wts Jun 2019 B	100,000,000	2019/01/22	2019/06/06	15.30
22760	J P Morgan SP BV - HKEX European Put Wts May 2019 B	40,000,000	2019/01/22	2019/05/31	11.28
22757	J P Morgan SP BV - Tencent European Put Warrants May 2019 J	250,000,000	2019/01/22	2019/05/24	38.50
22499	Macquarie Bank Ltd. - China EB Int'l European Wts Dec 2020 A	40,000,000	2019/01/22	2020/12/16	10.00
22509	Macquarie Bank Ltd. - China Mobile Euro Put Wts Oct 2019 B	21,000,000	2019/01/22	2019/10/03	10.04

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22484	Macquarie Bank Ltd. - China Taiping European Wts Jan 2020 A	26,000,000	2019/01/22	2020/01/03 10.01
22493	Macquarie Bank Ltd. - R&F Ppt European Warrants Apr 2020 A	35,000,000	2019/01/22	2020/04/02 10.05
22517	Macquarie Bank Ltd. - NCI European Warrants Oct 2019 A	40,000,000	2019/01/22	2019/10/03 10.04
22787	SG Issuer - AAC Tech European Put Warrants Jul 2019 C	16,000,000	2019/01/22	2019/07/31 10.08
22808	SG Issuer - AIA European Warrants Jun 2019 B	60,000,000	2019/01/22	2019/06/28 15.00
22827	SG Issuer - BankComm European Warrants Jul 2019 A	30,000,000	2019/01/22	2019/07/31 10.35
22837	SG Issuer - HSBC European Warrants Aug 2019 A	60,000,000	2019/01/22	2019/08/30 15.00
22876	SG Issuer - HSI European Put Warrants May 2019 L	300,000,000	2019/01/22	2019/05/30 45.00
22863	SG Issuer - ICBC European Put Wt May 2019 B	60,000,000	2019/01/22	2019/05/31 10.80
22674	UBS AG - AAC Tech European Put Warrants Jul 2019 C	12,000,000	2019/01/22	2019/07/22 10.44
22719	UBS AG - AIA European Warrants May 2019 B	100,000,000	2019/01/22	2019/05/08 25.40
22687	UBS AG - AIA European Warrants Jun 2019 A	100,000,000	2019/01/22	2019/06/25 20.00
22730	UBS AG - AIA European Put Warrants Jul 2019 A	100,000,000	2019/01/22	2019/07/22 26.10
22646	UBS AG - BankComm European Warrants Jul 2019 A	30,000,000	2019/01/22	2019/07/29 10.02
22737	UBS AG - China Life European Warrants Sep 2019 A	200,000,000	2019/01/22	2019/09/25 31.80
22648	UBS AG - China Mobile European Warrants Jun 2019 B	100,000,000	2019/01/22	2019/06/04 17.10
22731	UBS AG - Hang Seng Bank European Put Warrants Jul 2019 A	11,000,000	2019/01/22	2019/07/22 11.56
22891	Bank Vontobel - China Mobile European Warrants May 2019 B	60,000,000	2019/01/22	2019/05/28 11.46
22877	Bank Vontobel - Xiaomi European Warrants Jul 2019 C	70,000,000	2019/01/22	2019/07/09 10.57
22954	BOCI Asia Ltd. - AIA European Warrants Jun 2019 A	60,000,000	2019/01/23	2019/06/17 12.66
22961	BOCI Asia Ltd. - China Mobile European Warrants Jun 2019 B	60,000,000	2019/01/23	2019/06/26 12.84
22938	BNP Paribas Issuance B.V. - A50 China Euro Wts Aug 2019 A	30,000,000	2019/01/23	2019/08/02 12.90
22934	BNP Paribas Issuance B.V. - Geely Auto Euro Wts Jul 2019 C	80,000,000	2019/01/23	2019/07/02 12.00
22926	BNP Paribas Issuance B.V. - Xiaomi European Warrants Dec 19A	80,000,000	2019/01/23	2019/12/31 14.40
23036	Credit Suisse AG - China EB Int'l European Wts Jul 2020 A	60,000,000	2019/01/23	2020/07/31 15.00
23059	Credit Suisse AG - Evergrande European Put Warrants Jul 19 A	40,000,000	2019/01/23	2019/07/22 10.00
23072	Credit Suisse AG - R&F Ppt European Warrants Jul 2019 A	60,000,000	2019/01/23	2019/07/22 15.00
23043	Credit Suisse AG - Kingsoft European Put Warrants Nov 2019 A	40,000,000	2019/01/23	2019/11/29 10.00
23073	Credit Suisse AG - SUNAC European Put Warrants Jul 2019 A	40,000,000	2019/01/23	2019/07/22 12.40
23090	Goldman Sachs SP (Asia) - China Mobile Euro Wts May 2019 A	80,000,000	2019/01/23	2019/05/28 12.00
23097	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Apr 2019 H	80,000,000	2019/01/23	2019/04/24 14.40
23088	Goldman Sachs SP (Asia) - CSPC Pharma Euro Wts Jul 2019 A	70,000,000	2019/01/23	2019/07/26 10.50
23108	Goldman Sachs SP (Asia) - HSI European Warrants May 2019 H	150,000,000	2019/01/23	2019/05/30 22.50
23121	Goldman Sachs SP (Asia) - HSI European Warrants May 2019 I	150,000,000	2019/01/23	2019/05/30 30.60
23125	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2019 E	150,000,000	2019/01/23	2019/06/27 22.50
23127	Goldman Sachs SP (Asia) - Xiaomi European Warrants Jul 2019B	100,000,000	2019/01/23	2019/07/22 15.00
22923	HK Bank - Sands China European Warrants Jul 2019 B	40,000,000	2019/01/23	2019/07/31 10.00
22964	HK Bank - Xiaomi European Warrants Jul 2019 A	100,000,000	2019/01/23	2019/07/23 15.00
23003	Haitong Int'l Sec - China Life European Warrants Dec 2019 C	100,000,000	2019/01/23	2019/12/30 25.00
23023	J P Morgan SP BV - AIA European Put Warrants Jul 2019 A	100,000,000	2019/01/23	2019/07/22 25.90
23030	J P Morgan SP BV - CAM CSI300 European Warrants Oct 2019 A	100,000,000	2019/01/23	2019/10/30 25.20
23012	J P Morgan SP BV - BankComm European Warrants Sep 2019 A	50,000,000	2019/01/23	2019/09/30 12.60
23014	J P Morgan SP BV - China Life European Put Wts Dec 2019 C	100,000,000	2019/01/23	2019/12/13 15.00
23026	J P Morgan SP BV - CSOP A50 ETF European Warrants May 2019 A	80,000,000	2019/01/23	2019/05/09 16.48

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23019	J P Morgan SP BV - R&F Ppt European Warrants Oct 2019 A	60,000,000	2019/01/23	2019/10/31 15.12
23010	J P Morgan SP BV - Lenovo European Warrants Jun 2019 A	40,000,000	2019/01/23	2019/06/03 13.32
23022	J P Morgan SP BV - PSBC European Put Warrants Jul 2019 B	30,000,000	2019/01/23	2019/07/31 10.02
23034	J P Morgan SP BV - Xiaomi European Warrants Jul 2019 A	100,000,000	2019/01/23	2019/07/09 15.00
22991	Macquarie Bank Ltd. - BYD Company European Wts Oct 2019 C	10,000,000	2019/01/23	2019/10/03 10.10
22982	Macquarie Bank Ltd. - China East Air European Wts Jan 2020 A	9,500,000	2019/01/23	2020/01/10 10.31
23001	Macquarie Bank Ltd. - CSPC Pharma European Wts Feb 2020 A	40,000,000	2019/01/23	2020/02/04 10.00
22971	Macquarie Bank Ltd. - Yuexiu Property Euro Wts May 2020 A	24,500,000	2019/01/23	2020/05/15 10.09
22949	SG Issuer - China Life European Put Warrants Dec 2019 A	100,000,000	2019/01/23	2019/12/09 15.00
23042	Bank Vontobel - Xiaomi European Warrants Jan 2020 A	40,000,000	2019/01/23	2020/01/03 10.04
23154	BOCI Asia Ltd. - HKEX European Warrants Nov 2019 A	200,000,000	2019/01/24	2019/11/26 30.80
23146	BOCI Asia Ltd. - Tencent European Put Wts May 2019 G	200,000,000	2019/01/24	2019/05/27 30.00
23170	Credit Suisse AG - BankComm European Warrants Jul 2019 A	40,000,000	2019/01/24	2019/07/23 12.00
23186	Credit Suisse AG - BYD Company European Warrants May 2019 B	25,000,000	2019/01/24	2019/05/24 10.00
23168	Credit Suisse AG - HSI European Put Warrants Jun 2019 A	200,000,000	2019/01/24	2019/06/27 35.00
23181	Credit Suisse AG - Kingsoft European Warrants Jun 2019 A	70,000,000	2019/01/24	2019/06/14 10.50
23402	Goldman Sachs SP (Asia) - AIA European Put Wts Jun 2019 C	80,000,000	2019/01/24	2019/06/25 12.00
23407	Goldman Sachs SP (Asia) - China Life Euro Wts Feb 2020 A	100,000,000	2019/01/24	2020/02/20 15.00
23401	Goldman Sachs SP (Asia) - CNOOC European Put Warrants Sep19D	100,000,000	2019/01/24	2019/09/23 15.00
23399	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Apr 2019 H	80,000,000	2019/01/24	2019/04/24 21.28
23409	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2019 C	100,000,000	2019/01/24	2019/07/22 32.70
23158	Haitong Int'l Sec - AIA European Warrants Jun 2019 B	50,000,000	2019/01/24	2019/06/24 12.00
23163	Haitong Int'l Sec - AIA European Warrants Jul 2019 A	40,000,000	2019/01/24	2019/07/23 10.00
23164	Haitong Int'l Sec - AIA European Put Warrants Jul 2019 B	40,000,000	2019/01/24	2019/07/24 17.60
23334	J P Morgan SP BV - BYD Company European Warrants May 2019 A	50,000,000	2019/01/24	2019/05/24 14.50
23397	J P Morgan SP BV - Country Garden European Wts Apr 2020 A	100,000,000	2019/01/24	2020/04/22 22.10
23327	J P Morgan SP BV - China Life European Warrants Feb 2020 A	100,000,000	2019/01/24	2020/02/20 15.10
23398	J P Morgan SP BV - Evergrande European Warrants Jul 2019 B	50,000,000	2019/01/24	2019/07/31 12.50
23233	Macquarie Bank Ltd. - CITIC Sec European Warrants Aug 2019 B	10,600,000	2019/01/24	2019/08/02 10.04
23304	Macquarie Bank Ltd. - China Life European Wts Aug 2019 A	18,000,000	2019/01/24	2019/08/02 10.21
23255	Macquarie Bank Ltd. - Chi Res Power European Wts Feb 2020 A	36,500,000	2019/01/24	2020/02/07 10.00
23297	Macquarie Bank Ltd. - A50 China European Warrants Aug 2019 A	13,500,000	2019/01/24	2019/08/02 10.26
23236	Macquarie Bank Ltd. - Baiyunshan Ph European Warrants Sep19A	23,000,000	2019/01/24	2019/09/17 10.01
23234	Macquarie Bank Ltd. - Henganintl European Wts Sep 2019 A	14,800,000	2019/01/24	2019/09/03 10.03
23235	Macquarie Bank Ltd. - Henganintl European Put Wts Oct 2019 A	14,200,000	2019/01/24	2019/10/03 10.07
23303	Macquarie Bank Ltd. - HKEX European Warrants Aug 2019 B	7,000,000	2019/01/24	2019/08/02 10.02
23261	Macquarie Bank Ltd. - HKEX European Warrants Nov 2019 A	40,000,000	2019/01/24	2019/11/04 10.04
23306	Macquarie Bank Ltd. - PetroChina European Wts Sep 2019 A	40,000,000	2019/01/24	2019/09/03 10.04
23244	Macquarie Bank Ltd. - Samsonite European Warrants Dec 2019 A	22,000,000	2019/01/24	2019/12/16 10.01
23310	Macquarie Bank Ltd. - Sands China Ltd European Wts Oct 2019A	40,000,000	2019/01/24	2019/10/03 10.04
23309	Macquarie Bank Ltd. - Wynn Macau European Wts Dec 2019 A	40,000,000	2019/01/24	2019/12/16 10.08
23436	SG Issuer - Conch Cement European Warrants Jul 2019 A	30,000,000	2019/01/24	2019/07/31 10.05
23440	SG Issuer - CM Bank European Warrants Jul 2019 B	40,000,000	2019/01/24	2019/07/31 10.00
23461	SG Issuer - China Res Land European Put Warrants Oct 2019 A	40,000,000	2019/01/24	2019/10/31 10.00

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23413	SG Issuer - HSI European Put Warrants Jul 2019 C	300,000,000	2019/01/24	2019/07/30	45.00
23468	SG Issuer - Kingdee Intl European Warrants Jul 2019 B	11,000,000	2019/01/24	2019/07/23	10.01
23450	SG Issuer - MTR Corporation European Warrants Aug 2019 A	40,000,000	2019/01/24	2019/08/30	10.00
23453	SG Issuer - Want Want China European Warrants Jun 2019 A	13,000,000	2019/01/24	2019/06/10	10.01
23469	SG Issuer - Xiaomi European Warrants Jul 2019 E	150,000,000	2019/01/24	2019/07/16	22.50
23229	UBS AG - BYD Company European Warrants Jul 2019 B	30,000,000	2019/01/24	2019/07/23	13.05
23196	UBS AG - China Life European Put Warrants May 2019 C	100,000,000	2019/01/24	2019/05/24	15.00
23215	UBS AG - HKEX European Warrants Jul 2019 B	30,000,000	2019/01/24	2019/07/23	12.84
23230	UBS AG - Kingsoft European Put Warrants Aug 2019 A	40,000,000	2019/01/24	2019/08/26	10.00
23231	UBS AG - Tencent European Put Warrants Jun 2019 I	300,000,000	2019/01/24	2019/06/04	69.30
14560#	J P Morgan SP BV - HSI European Put Warrants Apr 2019 I	600,000,000	2019/01/24	2019/04/29	30.00
23680	Credit Suisse AG - CITIC Sec European Put Warrants Dec 2019A	50,000,000	2019/01/25	2019/12/20	10.00
23654	Credit Suisse AG - ICBC European Warrants May 2019 B	70,000,000	2019/01/25	2019/05/28	10.50
23685	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2019 D	80,000,000	2019/01/25	2019/06/17	12.00
23688	Goldman Sachs SP (Asia) - China Life Euro Put Wts May 2019 B	100,000,000	2019/01/25	2019/05/24	15.00
23682	Goldman Sachs SP (Asia) - Galaxy Ent European Wts May 2019 B	80,000,000	2019/01/25	2019/05/28	34.56
23689	Goldman Sachs SP (Asia) - HSCEI European Warrants Jun 2019 A	150,000,000	2019/01/25	2019/06/27	22.50
23700	Goldman Sachs SP (Asia) - Ping An Euro Put Wts May 2019 F	80,000,000	2019/01/25	2019/05/28	13.44
23506	J P Morgan SP BV - China Life European Warrants Jul 2019 B	25,000,000	2019/01/25	2019/07/31	10.23
23501	J P Morgan SP BV - Hua Hong Semi European Warrants Jul 2019A	60,000,000	2019/01/25	2019/07/31	16.50
23513	J P Morgan SP BV - Huaneng Power European Wts Jul 2019 A	40,000,000	2019/01/25	2019/07/05	10.64
23511	J P Morgan SP BV - SHK Ppt European Warrants Jul 2019 B	50,000,000	2019/01/25	2019/07/08	12.45
23527	J P Morgan SP BV - SHK Ppt European Put Warrants Jul 2019 C	40,000,000	2019/01/25	2019/07/08	14.00
23483	Macquarie Bank Ltd. - AIA European Warrants Aug 2019 B	29,000,000	2019/01/25	2019/08/02	10.01
23481	Macquarie Bank Ltd. - CITIC Sec European Put Warrants Dec19B	40,000,000	2019/01/25	2019/12/03	10.00
23476	Macquarie Bank Ltd. - Galaxy Ent European Wts Aug 2019 A	36,000,000	2019/01/25	2019/08/02	10.04
23474	Macquarie Bank Ltd. - Ping An European Warrants Aug 2019 D	7,000,000	2019/01/25	2019/08/02	10.40
23478	Macquarie Bank Ltd. - Tencent European Warrants Aug 2019 H	40,000,000	2019/01/25	2019/08/27	10.04
23547	SG Issuer - CC Bank European Warrants May 2019 C	80,000,000	2019/01/25	2019/05/28	12.00
23560	SG Issuer - China Life European Put Warrants Jul 2019 B	15,000,000	2019/01/25	2019/07/31	10.05
23601	SG Issuer - China Mobile European Put Warrants Apr 2019 D	50,000,000	2019/01/25	2019/04/24	11.75
23603	SG Issuer - China Overseas European Put Warrants Jul 2019 A	8,000,000	2019/01/25	2019/07/31	10.00
23617	SG Issuer - HSI European Put Warrants Jul 2019 D	300,000,000	2019/01/25	2019/07/30	45.00
23640	SG Issuer - HSI European Put Warrants Jul 2019 E	300,000,000	2019/01/25	2019/07/30	49.50
23531	SG Issuer - Ping An European Put Warrants May 2019 E	60,000,000	2019/01/25	2019/05/28	14.40
23613	SG Issuer - Sands China European Put Warrants Jul 2019 A	50,000,000	2019/01/25	2019/07/23	10.00
23649	SG Issuer - Sunny Optical European Put Warrants May 2019 A	15,000,000	2019/01/25	2019/05/31	10.05
23532	SG Issuer - Tencent European Put Warrants Jun 2019 D	200,000,000	2019/01/25	2019/06/03	46.00
23537	SG Issuer - Tencent European Put Warrants Jun 2019 E	200,000,000	2019/01/25	2019/06/20	35.00
23540	SG Issuer - Tencent European Put Warrants Jun 2019 F	200,000,000	2019/01/25	2019/06/21	30.00
23486	UBS AG - Lenovo European Warrants Jun 2019 A	30,000,000	2019/01/25	2019/06/03	13.20
23487	UBS AG - Xiaomi European Warrants Jan 2020 A	100,000,000	2019/01/25	2020/01/20	25.30
23713	BOCI Asia Ltd. - HSI European Put Warrants Apr 2019 F	200,000,000	2019/01/28	2019/04/29	36.00
23711	BOCI Asia Ltd. - HSI European Put Warrants May 2019 E	200,000,000	2019/01/28	2019/05/30	30.60

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23705	BOCI Asia Ltd. - Tencent European Wts Jun 2019 E	200,000,000	2019/01/28	2019/06/24 30.20
23710	BOCI Asia Ltd. - Tencent European Put Wts Jun 2019 F	200,000,000	2019/01/28	2019/06/18 41.00
23896	BNP Paribas Issuance B.V. - BYD Company Euro Wts Aug 2019 B	40,000,000	2019/01/28	2019/08/02 12.80
23901	BNP Paribas Issuance B.V. - China Life Euro Wts Mar 2020 A	70,000,000	2019/01/28	2020/03/03 11.20
23897	BNP Paribas Issuance B.V. - Geely Auto Eur Put Wt Oct 2019 A	70,000,000	2019/01/28	2019/10/03 11.90
23759	BNP Paribas Issuance B.V. - HKEX European Wts Aug 2019 B	30,000,000	2019/01/28	2019/08/02 11.10
23840	BNP Paribas Issuance B.V. - Ping An European Wt Jul 2019 C	60,000,000	2019/01/28	2019/07/02 12.00
23746	BNP Paribas Issuance B.V. - Ping An European Wt Aug 2019 F	40,000,000	2019/01/28	2019/08/02 10.40
23875	BNP Paribas Issuance B.V. - Ping An European Wt Dec 2019 A	40,000,000	2019/01/28	2019/12/03 12.00
23755	BNP Paribas Issuance B.V. - Ping An European Wt Jan 2020 A	40,000,000	2019/01/28	2020/01/03 10.80
23717	BNP Paribas Issuance B.V. - Tencent European Wts Jun 2019 C	80,000,000	2019/01/28	2019/06/04 14.40
23735	BNP Paribas Issuance B.V. - Tencent European Wts Aug 2019 A	80,000,000	2019/01/28	2019/08/02 20.00
23732	BNP Paribas Issuance B.V. - Tencent European Wts Oct 2019 H	80,000,000	2019/01/28	2019/10/03 20.00
23734	BNP Paribas Issuance B.V. - Tencent European Wts Jan 2020 D	80,000,000	2019/01/28	2020/01/03 20.80
23985	Credit Suisse AG - China Tower European Warrants Nov 2019 A	70,000,000	2019/01/28	2019/11/29 17.50
23933	Credit Suisse AG - Tencent European Wts Jun 2019 L	250,000,000	2019/01/28	2019/06/24 37.50
23926	Credit Suisse AG - Tencent Euro Put Wts Jun 2019 J	120,000,000	2019/01/28	2019/06/21 18.00
23932	Credit Suisse AG - Tencent Euro Put Wts Jun 2019 K	120,000,000	2019/01/28	2019/06/24 26.40
24021	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2019 A	80,000,000	2019/01/28	2019/06/25 12.00
24010	Goldman Sachs SP (Asia) - HKEX European Put Wts Jul 2019 B	100,000,000	2019/01/28	2019/07/24 15.00
24018	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2019 C	150,000,000	2019/01/28	2019/07/30 22.50
24012	Goldman Sachs SP (Asia) - ICBC European Warrants May 2019 B	80,000,000	2019/01/28	2019/05/28 12.00
24011	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2019 M	150,000,000	2019/01/28	2019/06/25 22.50
23797	HK Bank - China Life European Warrants Feb 2020 A	100,000,000	2019/01/28	2020/02/20 15.00
23786	HK Bank - China Mobile European Warrants Jul 2019 B	40,000,000	2019/01/28	2019/07/31 10.00
23790	HK Bank - HKEX European Put Warrants Jul 2019 B	80,000,000	2019/01/28	2019/07/24 12.00
23777	HK Bank - Ping An European Warrants Jul 2019 B	40,000,000	2019/01/28	2019/07/29 14.00
23779	HK Bank - Ping An European Put Warrants Jul 2019 C	40,000,000	2019/01/28	2019/07/31 10.00
23996	Haitong Int'l Sec - HSI European Warrants Apr 2019 H	150,000,000	2019/01/28	2019/04/29 24.00
23998	Haitong Int'l Sec - HSI European Warrants May 2019 G	150,000,000	2019/01/28	2019/05/30 25.50
24006	Haitong Int'l Sec - HSI European Put Warrants Apr 2019 I	150,000,000	2019/01/28	2019/04/29 22.50
23999	Haitong Int'l Sec - HSI European Put Warrants Jul 2019 A	150,000,000	2019/01/28	2019/07/30 22.50
23924	J P Morgan SP BV - CGS European Warrants Jul 2019 A	50,000,000	2019/01/28	2019/07/31 12.70
23806	Macquarie Bank Ltd. - Genscript Bio European Wts Oct 2019 A	32,300,000	2019/01/28	2019/10/16 10.01
23803	Macquarie Bank Ltd. - Link REIT European Put Wts Oct 2019 B	22,500,000	2019/01/28	2019/10/03 10.04
23826	Macquarie Bank Ltd. - SHK Ppt European Warrants Aug 2019 B	40,000,000	2019/01/28	2019/08/02 10.08
23817	Macquarie Bank Ltd. - Tencent European Put Wts Aug 2019 I	26,000,000	2019/01/28	2019/08/27 10.14
24058	SG Issuer - AIA European Warrants Jun 2019 C	60,000,000	2019/01/28	2019/06/17 10.80
24051	SG Issuer - BYD European Put Warrants Jul 2019 B	28,000,000	2019/01/28	2019/07/09 10.08
24059	SG Issuer - China Mobile European Warrants May 2019 B	70,000,000	2019/01/28	2019/05/28 10.85
24085	SG Issuer - China Tower European Put Warrants Jul 2019 A	70,000,000	2019/01/28	2019/07/26 11.20
24054	SG Issuer - Geely Auto European Put Warrants Jul 2019 E	8,000,000	2019/01/28	2019/07/31 10.96
24056	SG Issuer - Hua Hong Semi European Warrants Aug 2019 A	36,000,000	2019/01/28	2019/08/30 10.08
24086	SG Issuer - Meituan European Put Warrants May 2019 B	20,000,000	2019/01/28	2019/05/27 10.80

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24024	SG Issuer - Sunny Optical European Put Warrants Jul 2019 C	10,000,000	2019/01/28	2019/07/31 10.40
24025	SG Issuer - Tencent European Warrants Jun 2019 G	200,000,000	2019/01/28	2019/06/25 30.00
24061	SG Issuer - Tencent European Warrants Aug 2019 A	200,000,000	2019/01/28	2019/08/23 33.00
23828	Bank Vontobel - HSI European Warrants Jul 2019 A	80,000,000	2019/01/28	2019/07/30 12.08
24293	BNP Paribas Issuance B.V. - BOC HK European Wts Oct 2019 A	80,000,000	2019/01/29	2019/10/03 12.00
24269	BNP Paribas Issuance B.V. - CNOOC European Wts Aug 2019 A	30,000,000	2019/01/29	2019/08/02 17.10
24303	BNP Paribas Issuance B.V. - Sinopec Corp Eu Wts Aug 2019 A	50,000,000	2019/01/29	2019/08/02 18.50
24302	BNP Paribas Issuance B.V. - CSOP A50 ETF Eu Wts Aug 2019 A	30,000,000	2019/01/29	2019/08/02 10.50
24295	BNP Paribas Issuance B.V. - Sands China Euro Wt Aug 2019 A	50,000,000	2019/01/29	2019/08/02 13.00
24307	BNP Paribas Issuance B.V. - SHK Ppt Euro Warrants Aug 2019 A	30,000,000	2019/01/29	2019/08/02 15.90
24088	Credit Suisse AG - HKEX European Warrants Dec 2019 B	200,000,000	2019/01/29	2019/12/20 30.00
24367	Credit Suisse AG - HKEX European Put Warrants Jul 2019 B	100,000,000	2019/01/29	2019/07/24 15.00
24410	Credit Suisse AG - Meituan European Put Warrants Jun 2019 D	40,000,000	2019/01/29	2019/06/25 17.60
24383	Credit Suisse AG - Ping An European Warrants Jun 2019 A	150,000,000	2019/01/29	2019/06/25 28.50
24386	Credit Suisse AG - Ping An European Warrants Jul 2019 E	150,000,000	2019/01/29	2019/07/25 22.50
24401	Credit Suisse AG - Ping An European Put Warrants Jun 2019 B	100,000,000	2019/01/29	2019/06/11 20.00
24371	Credit Suisse AG - Tencent European Wts Aug 2019 B	250,000,000	2019/01/29	2019/08/23 42.50
24348	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Jun 2019 B	80,000,000	2019/01/29	2019/06/21 12.00
24345	Goldman Sachs SP (Asia) - Sinopec Corp Euro Put Wts Apr 19 D	60,000,000	2019/01/29	2019/04/29 13.44
24344	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jun 2019 D	80,000,000	2019/01/29	2019/06/21 23.04
24365	Goldman Sachs SP (Asia) - ICBC European Warrants Jul 2019 A	80,000,000	2019/01/29	2019/07/24 12.00
24341	Goldman Sachs SP (Asia) - SHK Ppt European Put Wt Jul 2019 A	50,000,000	2019/01/29	2019/07/08 17.35
24539	Haitong Int'l Sec - CKH Holdings European Warrants Jul 2019A	30,000,000	2019/01/29	2019/07/31 19.80
24525	Haitong Int'l Sec - ICBC European Put Warrants Jul 2019 A	40,000,000	2019/01/29	2019/07/31 11.60
24316	J P Morgan SP BV - BankComm European Warrants Aug 2019 A	50,000,000	2019/01/29	2019/08/02 12.55
24319	J P Morgan SP BV - HSI European Warrants Aug 2019 B	400,000,000	2019/01/29	2019/08/29 100.80
24215	Macquarie Bank Ltd. - CHALCO European Warrants Jan 2020 A	24,000,000	2019/01/29	2020/01/03 10.01
24182	Macquarie Bank Ltd. - BYD Company European Put Wts Aug 2019B	40,000,000	2019/01/29	2019/08/02 10.00
24198	Macquarie Bank Ltd. - Greatwall Motor European Wts Jan 2020A	14,500,000	2019/01/29	2020/01/03 10.22
24216	Macquarie Bank Ltd. - Tencent European Warrants Aug 2019 J	24,000,000	2019/01/29	2019/08/27 10.10
24411	SG Issuer - Anhui Conch European Put Warrants Jul 2019 B	25,000,000	2019/01/29	2019/07/31 10.00
24448	SG Issuer - Cathay Pac Air Euro European Warrants Dec 2020 A	60,000,000	2019/01/29	2020/12/31 15.00
24516	SG Issuer - China South Air European Warrants Jul 2019 A	15,000,000	2019/01/29	2019/07/31 10.05
24460	SG Issuer - Geely Auto European Warrants Jul 2019 F	80,000,000	2019/01/29	2019/07/24 12.00
24456	SG Issuer - Galaxy Ent European Put Warrants May 2019 B	50,000,000	2019/01/29	2019/05/24 11.25
24094	SG Issuer - HSI European Warrants Jul 2019 F	300,000,000	2019/01/29	2019/07/30 75.00
24134	SG Issuer - HSI European Warrants Sep 2019 A	300,000,000	2019/01/29	2019/09/27 75.00
24478	SG Issuer - Jiangxi Copper European Put Warrants Jul 2019 A	12,000,000	2019/01/29	2019/07/31 10.08
24484	SG Issuer - Ping An European Warrants Jul 2019 D	80,000,000	2019/01/29	2019/07/31 22.40
24479	SG Issuer - Ping An European Put Warrants Jul 2019 C	60,000,000	2019/01/29	2019/07/23 14.40
24485	SG Issuer - SJM Holdings Eu Wt Jul 2019 A	12,000,000	2019/01/29	2019/07/31 10.08
24148	SG Issuer - Wynn Macau European Warrants Aug 2019 A	40,000,000	2019/01/29	2019/08/30 10.00
24162	SG Issuer - Xiaomi European Warrants Aug 2019 A	150,000,000	2019/01/29	2019/08/30 37.50
24489	SG Issuer - Yanzhou Coal European Put Warrants Jul 2019 C	12,000,000	2019/01/29	2019/07/31 10.08

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24261	UBS AG - HSBC European Warrants Sep 2019 A	100,000,000	2019/01/29	2019/09/02	15.40
24251	UBS AG - HSI European Put Warrants Jun 2019 D	300,000,000	2019/01/29	2019/06/27	59.70
24248	UBS AG - HSI European Put Warrants Jul 2019 B	300,000,000	2019/01/29	2019/07/30	54.00
24231	UBS AG - Tencent European Warrants Jun 2019 J	300,000,000	2019/01/29	2019/06/25	46.50
24241	UBS AG - Tencent European Put Warrants Jul 2019 A	300,000,000	2019/01/29	2019/07/29	96.90
24668	BNP Paribas Issuance B.V. - China Tower European Wts Dec 19A	50,000,000	2019/01/30	2019/12/03	12.50
24669	BNP Paribas Issuance B.V. - China Tower European Wts Mar 20A	50,000,000	2019/01/30	2020/03/03	13.00
10035	BNP Paribas Issuance B.V. - EUR/USD Euro Wts Sep 2019 A	50,000,000	2019/01/30	2019/09/24	12.50
10038	BNP Paribas Issuance B.V. - EUR/USD Euro Put Wt Sep 2019 B	50,000,000	2019/01/30	2019/09/24	12.50
10013	BNP Paribas Issuance B.V. - USD/CNH European Wts Sep 2019 A	50,000,000	2019/01/30	2019/09/24	13.00
10022	BNP Paribas Issuance B.V. - USD/CNH European Put Wts Sep 19B	50,000,000	2019/01/30	2019/09/24	13.00
10023	BNP Paribas Issuance B.V. - USD/YEN Euro Wts Sep 2019 A	50,000,000	2019/01/30	2019/09/24	12.50
10026	BNP Paribas Issuance B.V. - USD/YEN Eur Put Wts Sep 2019 B	50,000,000	2019/01/30	2019/09/24	12.50
24754	Credit Suisse AG - BBMG European Warrants Jul 2019 A	30,000,000	2019/01/30	2019/07/29	10.20
24767	Credit Suisse AG - BYD Electronic European Wts Dec 2019 A	40,000,000	2019/01/30	2019/12/31	10.00
24737	Credit Suisse AG - CC Bank European Put Warrants May 2019 B	70,000,000	2019/01/30	2019/05/24	10.50
24782	Credit Suisse AG - GCL-Poly Energy European Wts Dec 2020 A	50,000,000	2019/01/30	2020/12/09	10.00
24784	Credit Suisse AG - Guangdong Inv European Warrants Jul 2019A	40,000,000	2019/01/30	2019/07/29	10.00
24730	Credit Suisse AG - Galaxy Ent European Put Wts Jun 2019 B	60,000,000	2019/01/30	2019/06/25	10.80
24728	Credit Suisse AG - HSBC European Wts Aug 2019 A	100,000,000	2019/01/30	2019/08/27	15.00
24740	Credit Suisse AG - ICBC European Put Warrants May 2019 C	70,000,000	2019/01/30	2019/05/27	10.50
24741	Credit Suisse AG - PICC Group European Warrants Jul 2019 A	40,000,000	2019/01/30	2019/07/24	10.00
24747	Credit Suisse AG - SUNAC European Warrants Jun 2019 B	40,000,000	2019/01/30	2019/06/28	12.00
24863	Goldman Sachs SP (Asia) - China Tel European Wts Jun 2019 A	60,000,000	2019/01/30	2019/06/21	10.74
24878	Goldman Sachs SP (Asia) - China Tower European Wts Sep 2019C	80,000,000	2019/01/30	2019/09/30	20.00
24871	Goldman Sachs SP (Asia) - China Unicom Euro Wts Jun 2019 C	60,000,000	2019/01/30	2019/06/04	18.24
24881	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2019 A	150,000,000	2019/01/30	2019/09/27	30.15
24858	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 N	100,000,000	2019/01/30	2019/06/21	22.10
24713	J P Morgan SP BV - China Tower European Warrants Dec 2019 B	100,000,000	2019/01/30	2019/12/31	25.20
24714	J P Morgan SP BV - China Tower European Put Warrants Aug 19B	100,000,000	2019/01/30	2019/08/30	25.00
24715	J P Morgan SP BV - IGG European Warrants Oct 2019 A	70,000,000	2019/01/30	2019/10/31	17.57
24690	J P Morgan SP BV - Kingsoft European Warrants Jun 2019 A	70,000,000	2019/01/30	2019/06/24	10.57
24695	J P Morgan SP BV - Sino Biopharm European Warrants Jun 2019A	30,000,000	2019/01/30	2019/06/21	19.23
24706	J P Morgan SP BV - Xinyi Solar European Warrants Aug 2019 A	40,000,000	2019/01/30	2019/08/30	10.56
24667	Macquarie Bank Ltd. - Conch Cement European Put Wts Oct19B	20,000,000	2019/01/30	2019/10/03	10.16
24584	Macquarie Bank Ltd. - Chi Res Land European Put Wts Oct 19 A	29,000,000	2019/01/30	2019/10/03	10.01
24576	Macquarie Bank Ltd. - China Tower European Put Wts Jan20B	39,500,000	2019/01/30	2020/01/03	10.07
24592	Macquarie Bank Ltd. - China Unicom European Wts Oct 2019 A	18,000,000	2019/01/30	2019/10/03	10.04
24805	SG Issuer - AGBK European Put Warrants Jul 2019 A	40,000,000	2019/01/30	2019/07/31	10.00
24816	SG Issuer - BOCL European Put Warrants Jul 2019 B	50,000,000	2019/01/30	2019/07/24	10.00
24837	SG Issuer - BankComm European Put Warrants Jul 2019 B	30,000,000	2019/01/30	2019/07/31	10.35
24839	SG Issuer - CGN Power European Warrants Jul 2019 A	60,000,000	2019/01/30	2019/07/03	11.10
24789	SG Issuer - CGS European Warrants Jul 2019 A	30,000,000	2019/01/30	2019/07/31	10.05
24844	SG Issuer - CITIC Bank European Put Warrants Jul 2019 B	25,000,000	2019/01/30	2019/07/31	10.00

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24850	SG Issuer - Minsheng Bank European Put Warrants Jul 2019 B	25,000,000	2019/01/30	2019/07/31	10.00
24790	SG Issuer - China Res Land European Warrants Jun 2019 A	50,000,000	2019/01/30	2019/06/28	10.25
24793	SG Issuer - China Telecom European Put Warrants Jul 2019 A	30,000,000	2019/01/30	2019/07/31	10.05
24800	SG Issuer - China Unicom European Warrants Jul 2019 B	25,000,000	2019/01/30	2019/07/31	10.25
24851	SG Issuer - Sands China European Warrants Jul 2019 B	60,000,000	2019/01/30	2019/07/24	10.50
24623	UBS AG - China Telecom European Warrants Jul 2019 A	60,000,000	2019/01/30	2019/07/24	13.20
24631	UBS AG - China Tower European Warrants Dec 2019 C	70,000,000	2019/01/30	2019/12/16	20.37
24604	UBS AG - Link REIT European Warrants Jul 2019 C	50,000,000	2019/01/30	2019/07/02	15.10
24643	UBS AG - Link REIT European Put Warrants Jul 2019 D	40,000,000	2019/01/30	2019/07/05	16.76
24549	Bank Vontobel - AIA European Put Warrants Jul 2019 A	30,000,000	2019/01/30	2019/07/15	12.03
24569	Bank Vontobel - CC Bank European Put Warrants Aug 2019 A	20,000,000	2019/01/30	2019/08/01	10.42
24568	Bank Vontobel - China Mobile European Put Warrants Aug 2019A	20,000,000	2019/01/30	2019/08/02	10.02
24565	Bank Vontobel - HSBC European Warrants Aug 2019 A	40,000,000	2019/01/30	2019/08/30	11.24
24550	Bank Vontobel - ICBC European Put Warrants Aug 2019 C	30,000,000	2019/01/30	2019/08/07	15.03
24917	BNP Paribas Issuance B.V. - China Mobile Euro Wts Nov 2019 A	40,000,000	2019/01/31	2019/11/04	12.00
24919	BNP Paribas Issuance B.V. - China Mobile Euro Wts Jan 2020 A	40,000,000	2019/01/31	2020/01/03	10.00
24916	BNP Paribas Issuance B.V. - Meitu European Wts Aug 2019 A	50,000,000	2019/01/31	2019/08/02	12.50
25226	Credit Suisse AG - Bank of China European Put Wts Jul 2019 B	40,000,000	2019/01/31	2019/07/30	10.00
25221	Credit Suisse AG - BankComm European Put Warrants Jul 2019 B	30,000,000	2019/01/31	2019/07/30	10.20
25204	Credit Suisse AG - China Com Services European Wts Oct 19 A	50,000,000	2019/01/31	2019/10/31	12.50
25217	Credit Suisse AG - China Telecom European Wts Jul 2019 B	50,000,000	2019/01/31	2019/07/30	12.50
25179	Credit Suisse AG - China Tower European Put Warrants Jul 19A	70,000,000	2019/01/31	2019/07/26	10.50
25205	Credit Suisse AG - China Unicom European Warrants Jun 2019 A	30,000,000	2019/01/31	2019/06/26	10.20
25219	Credit Suisse AG - Evergrande European Warrants Jul 2019 B	40,000,000	2019/01/31	2019/07/30	10.80
25176	Credit Suisse AG - Ping An European Warrants Sep 2019 A	150,000,000	2019/01/31	2019/09/30	48.00
25178	Credit Suisse AG - Ping An European Warrants Sep 2019 B	150,000,000	2019/01/31	2019/09/24	22.50
25175	Credit Suisse AG - Tencent European Wts Jun 2019 M	250,000,000	2019/01/31	2019/06/18	37.50
25234	Credit Suisse AG - Tencent European Wts Dec 2019 A	250,000,000	2019/01/31	2019/12/31	62.50
25099	Goldman Sachs SP (Asia) - China Tower European Wts Aug 2019A	80,000,000	2019/01/31	2019/08/23	15.12
25073	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2019 A	80,000,000	2019/01/31	2019/08/30	12.00
25092	Goldman Sachs SP (Asia) - HSBC European Put Wts Jun 2019 B	80,000,000	2019/01/31	2019/06/25	12.56
25128	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2019 A	150,000,000	2019/01/31	2019/08/29	25.95
25114	Goldman Sachs SP (Asia) - Lenovo European Wts Jun 2019 A	60,000,000	2019/01/31	2019/06/24	10.02
24901	HK Bank - HSI European Warrants Dec 2019 B	150,000,000	2019/01/31	2019/12/30	37.50
24905	HK Bank - HSI European Put Warrants Jul 2019 E	150,000,000	2019/01/31	2019/07/30	24.00
24913	HK Bank - Ping An European Warrants Jul 2019 D	40,000,000	2019/01/31	2019/07/31	10.00
24909	HK Bank - Tencent European Warrants Jun 2019 F	80,000,000	2019/01/31	2019/06/18	12.00
25065	Haitong Int'l Sec - China Mobile European Put Wts Sep 2019 A	40,000,000	2019/01/31	2019/09/30	12.80
25024	J P Morgan SP BV - Minsheng Bank European Put Wts Jul 2019 C	30,000,000	2019/01/31	2019/07/31	10.02
25006	J P Morgan SP BV - China Unicom European Warrants Jun 2019 A	50,000,000	2019/01/31	2019/06/28	13.00
25015	J P Morgan SP BV - China Unicom European Put Warrants Jun19B	50,000,000	2019/01/31	2019/06/21	10.35
25001	J P Morgan SP BV - Dongfeng Motor European Wts Jul 2019 A	25,000,000	2019/01/31	2019/07/31	10.08
25025	J P Morgan SP BV - SJM Holdings European Put Warrants Dec19C	25,000,000	2019/01/31	2019/12/30	12.80
24893	Macquarie Bank Ltd. - GAC European Put Warrants Aug 2019 A	10,000,000	2019/01/31	2019/08/02	10.48

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24897	Macquarie Bank Ltd. - Jiangxi Copper European Wts Jan 2021 A	40,000,000	2019/01/31	2021/01/05	10.00
25155	SG Issuer - CAM CSI300 European Warrants Aug 2019 A	60,000,000	2019/01/31	2019/08/30	15.00
25158	SG Issuer - CAM CSI300 European Warrants Mar 2020 A	60,000,000	2019/01/31	2020/03/31	15.00
25168	SG Issuer - CITIC European Warrants Dec 2019 A	100,000,000	2019/01/31	2019/12/31	15.00
25173	SG Issuer - China Mobile European Warrants Jul 2019 B	60,000,000	2019/01/31	2019/07/31	15.90
25171	SG Issuer - China Mobile European Put Warrants Jul 2019 A	40,000,000	2019/01/31	2019/07/26	10.80
25142	SG Issuer - China Shenhua European Put Warrants Dec 2019 A	40,000,000	2019/01/31	2019/12/31	10.00
25133	SG Issuer - HSI European Warrants May 2019 M	300,000,000	2019/01/31	2019/05/30	58.50
25147	SG Issuer - Huaneng Power European Warrants Jul 2019 A	30,000,000	2019/01/31	2019/07/31	10.35
10039	SG Issuer - Nikkei 225 European Warrants Sep 2019 C	100,000,000	2019/01/31	2019/09/13	15.00
10042	SG Issuer - Nikkei 225 European Warrants Dec 2019 A	100,000,000	2019/01/31	2019/12/13	25.00
10040	SG Issuer - Nikkei 225 European Put Warrants Sep 2019 D	100,000,000	2019/01/31	2019/09/13	15.00
24997	UBS AG - China Unicom European Warrants Jun 2019 B	25,000,000	2019/01/31	2019/06/27	10.23
24989	UBS AG - Galaxy Ent European Warrants Jun 2019 B	70,000,000	2019/01/31	2019/06/21	21.84
24927	UBS AG - Link REIT European Warrants Jul 2019 E	40,000,000	2019/01/31	2019/07/30	11.52
24999	UBS AG - Melco Int'l Dev European Warrants Jun 2019 A	70,000,000	2019/01/31	2019/06/25	14.91
24934	UBS AG - Sands China Ltd European Warrants Jul 2019 A	70,000,000	2019/01/31	2019/07/24	11.20
24955	UBS AG - Sands China Ltd European Put Warrants Jun 2019 A	50,000,000	2019/01/31	2019/06/10	12.30
24932	UBS AG - SJM Holdings European Warrants Mar 2021 A	60,000,000	2019/01/31	2021/03/22	15.00
24995	UBS AG - Tencent European Warrants May 2019 H	30,000,000	2019/01/31	2019/05/02	28.68
25038	Bank Vontobel - BYD Company European Warrants Jul 2019 A	20,000,000	2019/01/31	2019/07/30	10.02
25026	Bank Vontobel - Sands China Ltd European Warrants Aug 2019 A	40,000,000	2019/01/31	2019/08/02	10.04
25239	BOCI Asia Ltd. - Tencent European Put Wts Jun 2019 G	200,000,000	2019/02/01	2019/06/24	31.00
25252	BOCI Asia Ltd. - Tencent European Put Wts Jun 2019 H	200,000,000	2019/02/01	2019/06/03	38.20
25405	Credit Suisse AG - AIA European Put Warrants Jun 2019 C	70,000,000	2019/02/01	2019/06/25	10.50
25402	Credit Suisse AG - A-Living European Warrants Nov 2019 A	40,000,000	2019/02/01	2019/11/29	10.00
25399	Credit Suisse AG - Anta Sports European Warrants Jul 2019 A	25,000,000	2019/02/01	2019/07/31	10.00
25406	Credit Suisse AG - CRCC European Warrants Jul 2019 A	25,000,000	2019/02/01	2019/07/31	16.25
25387	Credit Suisse AG - Haier Elec European Warrants Jul 2019 A	40,000,000	2019/02/01	2019/07/31	10.00
25383	Credit Suisse AG - Ping An European Put Warrants Jul 2019 F	100,000,000	2019/02/01	2019/07/31	35.00
25380	Credit Suisse AG - Tencent European Wts Jun 2019 P	250,000,000	2019/02/01	2019/06/24	37.50
25372	Credit Suisse AG - Tencent Euro Put Wts Jun 2019 N	120,000,000	2019/02/01	2019/06/20	19.20
25373	Credit Suisse AG - Tencent Euro Put Wts Jun 2019 O	120,000,000	2019/02/01	2019/06/03	22.80
25362	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts May 2019 C	80,000,000	2019/02/01	2019/05/24	20.96
25361	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2019 A	80,000,000	2019/02/01	2019/07/24	16.24
25366	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Jul 2019A	80,000,000	2019/02/01	2019/07/24	12.00
25364	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2019 A	150,000,000	2019/02/01	2019/08/23	22.50
25370	Goldman Sachs SP (Asia) - ZA Online European Wts Jun 2019 B	50,000,000	2019/02/01	2019/06/24	10.85
25235	Haitong Int'l Sec - China Tower European Warrants Sep 2019 B	70,000,000	2019/02/01	2019/09/30	18.20
25335	J P Morgan SP BV - HSI European Put Warrants Jun 2019 E	400,000,000	2019/02/01	2019/06/27	62.40
25344	J P Morgan SP BV - HSI European Put Warrants Aug 2019 C	400,000,000	2019/02/01	2019/08/29	100.40
25339	J P Morgan SP BV - Tencent European Put Warrants Jun 2019 H	250,000,000	2019/02/01	2019/06/20	38.25
25340	J P Morgan SP BV - Tencent European Put Warrants Jun 2019 I	250,000,000	2019/02/01	2019/06/03	38.00
25237	Macquarie Bank Ltd. - China Overseas European Wts Mar 2020 A	27,000,000	2019/02/01	2020/03/03	10.10

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25238	Macquarie Bank Ltd. - China Vanke European Put Wts Oct 2019B	24,000,000	2019/02/01	2019/10/03	10.13
25431	SG Issuer - CC Bank European Warrants Jul 2019 B	60,000,000	2019/02/01	2019/07/31	15.00
25432	SG Issuer - China Life European Warrants Jul 2019 C	15,000,000	2019/02/01	2019/07/26	10.05
25436	SG Issuer - China Overseas European Warrants Jul 2019 B	60,000,000	2019/02/01	2019/07/31	15.00
25437	SG Issuer - China Tower European Warrants Sep 2019 B	80,000,000	2019/02/01	2019/09/30	20.00
25440	SG Issuer - China Tower European Warrants Dec 2019 B	80,000,000	2019/02/01	2019/12/31	20.00
25448	SG Issuer - Galaxy Ent European Warrants May 2019 C	50,000,000	2019/02/01	2019/05/27	10.75
25456	SG Issuer - HKEX European Warrants Jun 2019 H	25,000,000	2019/02/01	2019/06/25	10.38
25459	SG Issuer - HKEX European Warrants Jun 2020 A	200,000,000	2019/02/01	2020/06/23	34.00
25418	SG Issuer - HSBC European Warrants May 2019 E	80,000,000	2019/02/01	2019/05/28	12.00
25408	SG Issuer - HSI European Put Warrants Jun 2019 E	300,000,000	2019/02/01	2019/06/27	45.00
25468	SG Issuer - ICB European Warrants May 2019 C	70,000,000	2019/02/01	2019/05/28	10.50
25424	SG Issuer - Meituan European Warrants May 2019 C	30,000,000	2019/02/01	2019/05/28	10.05
25427	SG Issuer - Meituan European Put Warrants Jun 2019 B	30,000,000	2019/02/01	2019/06/25	10.65
25492	SG Issuer - SHK Ppt European Warrants Jul 2019 C	20,000,000	2019/02/01	2019/07/31	12.00
25263	UBS AG - CNBM European Wts Jul 2021 A	100,000,000	2019/02/01	2021/07/05	18.60
25298	UBS AG - China Tower European Put Warrants Aug 2019 A	70,000,000	2019/02/01	2019/08/20	17.50
25265	UBS AG - Fosun Intl European Warrants Oct 2019 A	70,000,000	2019/02/01	2019/10/24	10.99
25282	UBS AG - HSI European Put Warrants Jul 2019 C	300,000,000	2019/02/01	2019/07/30	45.60
25284	UBS AG - HSI European Put Warrants Jul 2019 D	300,000,000	2019/02/01	2019/07/30	52.80
25269	UBS AG - Ping An European Warrants Jul 2019 C	100,000,000	2019/02/01	2019/07/26	20.50
25267	UBS AG - Tencent European Warrants Jul 2019 B	300,000,000	2019/02/01	2019/07/24	61.80
25257	UBS AG - Tencent European Put Warrants Aug 2019 A	300,000,000	2019/02/01	2019/08/01	118.80
25360	Bank Vontobel - CK Asset European Warrants Sep 2019 A	30,000,000	2019/02/01	2019/09/30	10.23
25352	Bank Vontobel - China Unicom European Warrants Aug 2019 A	35,000,000	2019/02/01	2019/08/05	10.19
25346	Bank Vontobel - HKEX European Warrants Jun 2019 C	70,000,000	2019/02/01	2019/06/28	10.57
25349	Bank Vontobel - PetroChina European Warrants Aug 2019 A	40,000,000	2019/02/01	2019/08/05	10.04
25355	Bank Vontobel - Ping An European Warrants May 2019 B	60,000,000	2019/02/01	2019/05/24	10.26
25559	Credit Suisse AG - HSI European Warrants Jul 2019 A	200,000,000	2019/02/04	2019/07/30	32.00
25576	Goldman Sachs SP (Asia) - Agricultural Bank Eur Wt Jul 2019A	70,000,000	2019/02/04	2019/07/26	10.50
25573	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Jul 2019 A	40,000,000	2019/02/04	2019/07/24	16.96
25581	Goldman Sachs SP (Asia) - HSI European Put Wts May 2019 J	150,000,000	2019/02/04	2019/05/30	25.50
25572	Goldman Sachs SP (Asia) - SHK Ppt European Wts Jul 2019 B	60,000,000	2019/02/04	2019/07/08	20.40
25577	Goldman Sachs SP (Asia) - Tencent European Put Wt Jul 2019 B	100,000,000	2019/02/04	2019/07/30	25.30
25510	HK Bank - AIA European Put Warrants Aug 2019 A	40,000,000	2019/02/04	2019/08/05	10.00
25511	HK Bank - HSI European Warrants Aug 2019 A	100,000,000	2019/02/04	2019/08/29	25.00
25513	HK Bank - HSI European Put Warrants May 2019 I	100,000,000	2019/02/04	2019/05/30	20.00
25500	HK Bank - HSI European Put Warrants Jul 2019 F	100,000,000	2019/02/04	2019/07/30	15.00
25503	HK Bank - Sunny Optical European Put Warrants Aug 2019 A	20,000,000	2019/02/04	2019/08/30	12.00
25509	HK Bank - Sunny Optical European Put Warrants Dec 2019 A	80,000,000	2019/02/04	2019/12/16	16.00
25553	Haitong Int'l Sec - HKEX European Put Warrants Jul 2019 B	100,000,000	2019/02/04	2019/07/24	16.00
25555	Haitong Int'l Sec - Tencent European Warrants May 2019 D	100,000,000	2019/02/04	2019/05/31	15.00
25556	Haitong Int'l Sec - Tencent European Warrants Jun 2019 K	100,000,000	2019/02/04	2019/06/25	15.00
25539	J P Morgan SP BV - Anta Sports European Warrants Aug 2019 A	40,000,000	2019/02/04	2019/08/05	10.24

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25546	J P Morgan SP BV - Ch Overseas European Warrants Nov 2019 A	100,000,000	2019/02/04	2019/11/29 25.00
25550	J P Morgan SP BV - Galaxy Ent European Warrants Jun 2019 B	100,000,000	2019/02/04	2019/06/03 15.10
25542	J P Morgan SP BV - Li Ning European Warrants Feb 2020 A	60,000,000	2019/02/04	2020/02/28 15.00
25543	J P Morgan SP BV - Link REIT European Warrants Aug 2019 A	40,000,000	2019/02/04	2019/08/30 10.00
25499	Macquarie Bank Ltd. - COSCO Ship Hold Euro Wts Feb 2020 A	21,000,000	2019/02/04	2020/02/04 10.21
25497	Macquarie Bank Ltd. - Meitu European Warrants Jun 2020 A	12,600,000	2019/02/04	2020/06/16 10.02
25495	Macquarie Bank Ltd. - Razer European Warrants Jun 2020 A	25,000,000	2019/02/04	2020/06/16 10.00
25582	SG Issuer - Agricultural Bank European Warrants Jul 2019 B	70,000,000	2019/02/04	2019/07/26 10.50
25590	SG Issuer - CITIC Sec European Warrants Dec 2019 A	80,000,000	2019/02/04	2019/12/31 13.60
25520	UBS AG - Agricultural Bank European Warrants Jul 2019 A	70,000,000	2019/02/04	2019/07/26 10.50
25519	UBS AG - Bank of China European Warrants Jul 2019 A	70,000,000	2019/02/04	2019/07/31 12.32
25515	UBS AG - CC Bank European Warrants Aug 2019 A	70,000,000	2019/02/04	2019/08/05 17.50
25537	UBS AG - CITIC Bank European Warrants Jun 2019 A	70,000,000	2019/02/04	2019/06/21 10.50
25517	UBS AG - ICBC European Warrants Nov 2019 A	70,000,000	2019/02/04	2019/11/18 18.41
25530	UBS AG - Tencent European Warrants Jun 2019 K	300,000,000	2019/02/04	2019/06/25 45.00
25522	UBS AG - Tencent European Warrants Jul 2019 C	300,000,000	2019/02/04	2019/07/24 82.20
25534	UBS AG - Tencent European Put Warrants Jun 2019 L	300,000,000	2019/02/04	2019/06/18 45.30
25710	BOCI Asia Ltd. - HSI European Warrants Jul 2019 B	200,000,000	2019/02/08	2019/07/30 31.00
25685	BOCI Asia Ltd. - HSI European Put Warrants Jul 2019 A	200,000,000	2019/02/08	2019/07/30 30.40
25684	BOCI Asia Ltd. - Tencent European Wts Aug 2019 A	200,000,000	2019/02/08	2019/08/23 31.00
25671	BNP Paribas Issuance B.V. - AIA European Wts Nov 2019 A	50,000,000	2019/02/08	2019/11/04 13.50
25615	BNP Paribas Issuance B.V. - CSPC Pharma Euro Wts Sep 2019 A	80,000,000	2019/02/08	2019/09/03 12.00
25632	BNP Paribas Issuance B.V. - HLand Dev Euro Wts Jan 2020 A	40,000,000	2019/02/08	2020/01/03 10.80
25649	BNP Paribas Issuance B.V. - HSBC European Wts Feb 2020 B	50,000,000	2019/02/08	2020/02/04 12.50
25666	BNP Paribas Issuance B.V. - HSBC European Wts Jun 2020 A	50,000,000	2019/02/08	2020/06/02 13.00
25675	BNP Paribas Issuance B.V. - Meituan European Warrants Sep19B	40,000,000	2019/02/08	2019/09/03 13.60
25633	BNP Paribas Issuance B.V. - Ping An European Wt Nov 2019 A	50,000,000	2019/02/08	2019/11/04 12.50
25637	BNP Paribas Issuance B.V. - Ping An European Wt Nov 2019 B	50,000,000	2019/02/08	2019/11/04 15.00
25630	BNP Paribas Issuance B.V. - SHK Ppt Euro Warrants Sep 2019 A	40,000,000	2019/02/08	2019/09/03 10.40
25596	Credit Suisse AG - Agricultural Bank European Wts Jul 2019 B	70,000,000	2019/02/08	2019/07/26 10.50
25591	Credit Suisse AG - CC Bank European Warrants Jul 2019 A	70,000,000	2019/02/08	2019/07/24 10.50
25731	Credit Suisse AG - CITIC Bank European Warrants Jun 2019 A	50,000,000	2019/02/08	2019/06/25 10.00
25738	Credit Suisse AG - China Life European Warrants Aug 2019 B	25,000,000	2019/02/08	2019/08/07 13.75
25742	Credit Suisse AG - HSBC European Wts May 2019 C	100,000,000	2019/02/08	2019/05/28 15.00
25751	Goldman Sachs SP (Asia) - AIA European Put Wts Jul 2019 A	80,000,000	2019/02/08	2019/07/15 21.36
25771	Goldman Sachs SP (Asia) - CM Bank European Wts Jul 2019 A	70,000,000	2019/02/08	2019/07/24 10.50
25768	Goldman Sachs SP (Asia) - HKEX European Warrants Jun 2020 A	150,000,000	2019/02/08	2020/06/23 22.50
25743	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2019 C	150,000,000	2019/02/08	2019/07/24 31.65
25746	Goldman Sachs SP (Asia) - Tencent European Put Wt Jul 2019 D	100,000,000	2019/02/08	2019/07/22 21.10
25681	HK Bank - Bank of China European Warrants Sep 2019 A	50,000,000	2019/02/08	2019/09/30 12.50
25682	HK Bank - Country Garden European Warrants Apr 2020 A	80,000,000	2019/02/08	2020/04/22 14.40
25718	J P Morgan SP BV - AAC Tech European Put Warrants Jul 2019 B	60,000,000	2019/02/08	2019/07/24 30.66
25711	Macquarie Bank Ltd. - Ping An European Warrants Sep 2019 A	22,000,000	2019/02/08	2019/09/03 10.05
25680	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 A	17,000,000	2019/02/08	2019/09/02 10.01

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25676	Macquarie Bank Ltd. - Goldwind European Warrants Dec 2020 A	40,000,000	2019/02/08	2020/12/16	10.00
25777	SG Issuer - HKEX European Warrants Apr 2020 A	200,000,000	2019/02/08	2020/04/29	50.00
25778	SG Issuer - HKEX European Warrants May 2020 A	200,000,000	2019/02/08	2020/05/29	30.00
25792	SG Issuer - New World Dev Eu Wt Dec 2019 A	80,000,000	2019/02/08	2019/12/06	12.00
25793	SG Issuer - Tencent European Put Warrants Jun 2019 H	200,000,000	2019/02/08	2019/06/24	34.00
25775	Bank Vontobel - China Mobile European Warrants Aug 2019 B	40,000,000	2019/02/08	2019/08/09	11.40
25776	Bank Vontobel - SHK Ppt European Warrants Aug 2019 A	20,000,000	2019/02/08	2019/08/30	10.42
15308#	J P Morgan SP BV - HSI European Put Warrants May 2019 F	300,000,000	2019/02/08	2019/05/30	15.30
25800	BOCI Asia Ltd. - HSBC European Put Warrants May 2019 B	60,000,000	2019/02/11	2019/05/31	12.06
25879	BNP Paribas Issuance B.V. - HKEX European Wts Jun 2020 A	70,000,000	2019/02/11	2020/06/02	10.50
26093	Credit Suisse AG - HSI European Put Warrants Jul 2019 B	200,000,000	2019/02/11	2019/07/30	30.00
25816	Bank of East Asia - Meitu European Warrants Dec 2019 A	16,000,000	2019/02/11	2019/12/30	10.40
25815	Bank of East Asia - Meitu European Warrants Jul 2020 A	15,000,000	2019/02/11	2020/07/03	11.15
26119	Goldman Sachs SP (Asia) - BankComm European Wts Jul 2019 A	60,000,000	2019/02/11	2019/07/22	10.02
26096	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2019 A	80,000,000	2019/02/11	2019/07/24	12.00
26100	Goldman Sachs SP (Asia) - Meituan European Warrants May2019A	40,000,000	2019/02/11	2019/05/28	12.72
26109	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2019 D	100,000,000	2019/02/11	2019/07/26	15.00
26113	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Jun 2019 C	80,000,000	2019/02/11	2019/06/25	20.88
25812	Haitong Int'l Sec - China Tower European Put Warrants Jul19B	100,000,000	2019/02/11	2019/07/26	15.00
25811	Haitong Int'l Sec - China Tower European Put Warrants Aug19B	100,000,000	2019/02/11	2019/08/12	28.00
25925	J P Morgan SP BV - CK Asset European Put Warrants Jul 2019 B	60,000,000	2019/02/11	2019/07/16	10.74
25899	J P Morgan SP BV - China Res Land European Put Wts Aug 2019A	50,000,000	2019/02/11	2019/08/30	13.15
25918	J P Morgan SP BV - Henderson Land European Wt Sep 2019 A	60,000,000	2019/02/11	2019/09/30	15.12
25920	J P Morgan SP BV - Henderson Land European Put Wts Aug 2019A	40,000,000	2019/02/11	2019/08/12	10.04
25955	J P Morgan SP BV - SUNAC European Warrants Aug 2019 B	70,000,000	2019/02/11	2019/08/30	18.55
25897	J P Morgan SP BV - SUNAC European Put Warrants Aug 2019 A	70,000,000	2019/02/11	2019/08/30	17.85
25938	J P Morgan SP BV - China Vanke European Warrants Aug 2019 A	60,000,000	2019/02/11	2019/08/30	15.42
25892	Macquarie Bank Ltd. - Xiaomi European Warrants Sep 2019 B	7,500,000	2019/02/11	2019/09/03	10.37
25987	SG Issuer - Bank of China European Warrants Oct 2019 A	60,000,000	2019/02/11	2019/10/31	15.00
26079	SG Issuer - CC Bank European Put Warrants Jul 2019 C	40,000,000	2019/02/11	2019/07/25	12.00
26032	SG Issuer - Country Garden European Warrants Oct 2019 A	70,000,000	2019/02/11	2019/10/03	10.50
26038	SG Issuer - Country Garden European Put Warrants Dec 2019 A	70,000,000	2019/02/11	2019/12/31	10.50
25991	SG Issuer - China Life European Warrants Apr 2020 A	120,000,000	2019/02/11	2020/04/29	30.00
26021	SG Issuer - China Overseas European Warrants Oct 2019 A	60,000,000	2019/02/11	2019/10/31	15.00
26029	SG Issuer - China Tower European Put Warrants Aug 2019 B	60,000,000	2019/02/11	2019/08/23	13.20
26057	SG Issuer - HTSC European Warrants Sep 2019 A	50,000,000	2019/02/11	2019/09/25	10.50
26010	SG Issuer - SMIC European Warrants Aug 2019 A	12,000,000	2019/02/11	2019/08/30	10.44
26075	SG Issuer - SUNAC European Warrants Sep 2019 A	30,000,000	2019/02/11	2019/09/30	10.80
26073	SG Issuer - SUNAC European Put Warrants Jul 2019 B	50,000,000	2019/02/11	2019/07/22	10.25
26030	SG Issuer - China Vanke European Warrants Sep 2019 A	30,000,000	2019/02/11	2019/09/30	11.55
25872	UBS AG - BOC HK European Warrants Sep 2019 A	70,000,000	2019/02/11	2019/09/30	10.71
25869	UBS AG - BankComm European Warrants Jul 2019 B	40,000,000	2019/02/11	2019/07/24	11.24
25864	UBS AG - CC Bank European Put Warrants Aug 2019 B	50,000,000	2019/02/11	2019/08/12	20.80
25880	UBS AG - China Cinda European Warrants Sep 2019 A	40,000,000	2019/02/11	2019/09/09	10.00

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25851	UBS AG - China Life European Put Warrants Dec 2019 B	100,000,000	2019/02/11	2019/12/13	15.00
25865	UBS AG - CM Bank European Warrants Jul 2019 B	70,000,000	2019/02/11	2019/07/24	10.78
25875	UBS AG - HKEX European Warrants Jun 2019 F	300,000,000	2019/02/11	2019/06/25	49.80
25873	UBS AG - HKEX European Warrants Nov 2019 A	300,000,000	2019/02/11	2019/11/26	48.00
25832	UBS AG - Ping An European Put Warrants May 2019 D	100,000,000	2019/02/11	2019/05/28	15.40
25982	Bank Vontobel - Country Garden European Warrants Oct 2019 A	70,000,000	2019/02/11	2019/10/03	10.57
25972	Bank Vontobel - Geely Auto European Warrants Jul 2019 C	12,000,000	2019/02/11	2019/07/02	10.21
25973	Bank Vontobel - ICBC European Warrants May 2019 B	60,000,000	2019/02/11	2019/05/28	10.26
25958	Bank Vontobel - Tencent European Put Warrants Jun 2019 H	80,000,000	2019/02/11	2019/06/24	32.08
20850#	HK Bank - HSI European Put Warrants Jun 2019 D	150,000,000	2019/02/11	2019/06/27	16.05
26128	BOCI Asia Ltd. - HSI European Put Warrants Jun 2019 D	200,000,000	2019/02/12	2019/06/27	30.20
26125	BOCI Asia Ltd. - HSI European Put Warrants Jul 2019 C	200,000,000	2019/02/12	2019/07/30	31.60
26210	BNP Paribas Issuance B.V. - BankComm Euro Wts Sep 2019 A	40,000,000	2019/02/12	2019/09/03	13.20
26194	BNP Paribas Issuance B.V. - BYD Company Euro Wts Jul 2019 A	60,000,000	2019/02/12	2019/07/02	10.20
26208	BNP Paribas Issuance B.V. - CNOOC European Wts Nov 2019 A	30,000,000	2019/02/12	2019/11/04	11.40
26202	BNP Paribas Issuance B.V. - HSI Euro Put Wts Jun 2019 D	80,000,000	2019/02/12	2019/06/27	12.00
26214	BNP Paribas Issuance B.V. - Sands China Euro Wt Sep 2019 A	40,000,000	2019/02/12	2019/09/03	14.40
26189	BNP Paribas Issuance B.V. - Wynn Macau Euro Wts Aug 2019 A	60,000,000	2019/02/12	2019/08/02	12.00
26281	Credit Suisse AG - Bank of China European Wts Sep 2019 A	60,000,000	2019/02/12	2019/09/30	15.00
26273	Credit Suisse AG - BankComm European Warrants Jul 2019 C	40,000,000	2019/02/12	2019/07/31	10.00
26297	Credit Suisse AG - CM Bank European Warrants Jun 2019 B	70,000,000	2019/02/12	2019/06/25	10.50
26272	Credit Suisse AG - HSI European Put Warrants May 2019 C	200,000,000	2019/02/12	2019/05/30	36.00
26288	Credit Suisse AG - Meituan European Put Warrants Jun 2019 E	40,000,000	2019/02/12	2019/06/21	15.20
26305	Credit Suisse AG - Ping An European Warrants May 2019 D	150,000,000	2019/02/12	2019/05/28	22.50
26282	Credit Suisse AG - SUNAC European Warrants Aug 2019 A	40,000,000	2019/02/12	2019/08/12	12.00
26286	Credit Suisse AG - Sunny Optical European Put Wts Jul 2019 A	20,000,000	2019/02/12	2019/07/24	13.40
26351	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Jul 2019 B	80,000,000	2019/02/12	2019/07/25	19.60
26356	Goldman Sachs SP (Asia) - Country Garden Euro Wts Oct 2019 A	70,000,000	2019/02/12	2019/10/03	10.50
26352	Goldman Sachs SP (Asia) - CITIC Bank European Wts Jun 2019 A	60,000,000	2019/02/12	2019/06/21	10.02
26360	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2019 D	150,000,000	2019/02/12	2019/07/30	22.50
26353	Goldman Sachs SP (Asia) - SUNAC European Warrants Aug 2019 A	40,000,000	2019/02/12	2019/08/12	10.00
26180	Haitong Int'l Sec - Bank of China European Warrants Sep 19 A	70,000,000	2019/02/12	2019/09/30	17.50
26172	Haitong Int'l Sec - CC Bank European Warrants Aug 2019 A	70,000,000	2019/02/12	2019/08/29	25.90
26173	Haitong Int'l Sec - CC Bank European Put Warrants Sep 2019 A	70,000,000	2019/02/12	2019/09/27	32.90
26217	Haitong Int'l Sec - Country Garden European Warrants Feb 20A	80,000,000	2019/02/12	2020/02/28	20.80
26181	Haitong Int'l Sec - Evergrande European Warrants Aug 2019 A	60,000,000	2019/02/12	2019/08/30	25.20
26263	Haitong Int'l Sec - HSI European Warrants Jul 2019 B	150,000,000	2019/02/12	2019/07/30	40.50
26249	Haitong Int'l Sec - HSI European Warrants Aug 2019 A	150,000,000	2019/02/12	2019/08/29	37.50
26267	Haitong Int'l Sec - HSI European Put Warrants Aug 2019 B	150,000,000	2019/02/12	2019/08/29	37.50
26177	Haitong Int'l Sec - ICBC European Warrants Aug 2019 A	70,000,000	2019/02/12	2019/08/30	21.70
26188	Haitong Int'l Sec - SUNAC European Warrants Sep 2019 A	60,000,000	2019/02/12	2019/09/30	37.20
26234	J P Morgan SP BV - CC Bank European Wts Jul 2019 B	100,000,000	2019/02/12	2019/07/24	15.10
26232	J P Morgan SP BV - CITIC Bank European Warrants Jun 2019 A	70,000,000	2019/02/12	2019/06/28	10.64
26239	J P Morgan SP BV - HSI European Put Warrants May 2019 J	400,000,000	2019/02/12	2019/05/30	61.60

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26219	J P Morgan SP BV - HSI European Put Warrants Jul 2019 F	400,000,000	2019/02/12	2019/07/30	60.40
26241	J P Morgan SP BV - Wynn Macau European Warrants Jul 2019 A	70,000,000	2019/02/12	2019/07/24	12.67
26137	Macquarie Bank Ltd. - SUNAC European Warrants Sep 2019 A	27,000,000	2019/02/12	2019/09/03	10.13
26308	SG Issuer - Galaxy Ent European Put Warrants May 2019 D	50,000,000	2019/02/12	2019/05/24	11.50
26311	SG Issuer - NCI European Warrants Sep 2019 A	40,000,000	2019/02/12	2019/09/30	11.40
26316	SG Issuer - Ping An European Warrants May 2019 G	80,000,000	2019/02/12	2019/05/28	12.00
26330	SG Issuer - Ping An European Warrants Jul 2019 E	80,000,000	2019/02/12	2019/07/26	18.80
26312	SG Issuer - Ping An European Put Warrants May 2019 F	80,000,000	2019/02/12	2019/05/16	14.40
26317	SG Issuer - Sands China European Put Warrants Jun 2019 C	50,000,000	2019/02/12	2019/06/13	12.00
26145	UBS AG - HKEX European Put Warrants Jul 2019 C	100,000,000	2019/02/12	2019/07/24	15.00
26165	UBS AG - Ping An European Put Warrants May 2019 E	100,000,000	2019/02/12	2019/05/14	53.80
26162	UBS AG - SHK Ppt European Warrants Aug 2019 B	30,000,000	2019/02/12	2019/08/12	11.49
26161	UBS AG - SHK Ppt European Put Warrants Aug 2019 A	18,000,000	2019/02/12	2019/08/12	10.42
26164	UBS AG - Sunny Optical European Warrants Oct 2019 A	100,000,000	2019/02/12	2019/10/24	16.00
26144	Bank Vontobel - HSI European Warrants Aug 2019 A	80,000,000	2019/02/12	2019/08/29	20.08
26139	Bank Vontobel - SHK Ppt European Put Warrants Aug 2019 B	20,000,000	2019/02/12	2019/08/26	12.22
26140	Bank Vontobel - SUNAC European Warrants Aug 2019 A	30,000,000	2019/02/12	2019/08/30	10.53
26138	Bank Vontobel - Tencent European Warrants Jun 2019 I	100,000,000	2019/02/12	2019/06/24	15.10
26528	Credit Suisse AG - A-Living European Warrants Dec 2019 A	40,000,000	2019/02/13	2019/12/31	10.00
26523	Credit Suisse AG - HKEX European Warrants Jun 2019 I	40,000,000	2019/02/13	2019/06/25	16.00
26504	Credit Suisse AG - Meitu European Warrants Oct 2019 A	25,000,000	2019/02/13	2019/10/31	11.50
26515	Credit Suisse AG - NCI European Warrants Aug 2019 A	40,000,000	2019/02/13	2019/08/12	10.00
26520	Credit Suisse AG - Ping An European Warrants Jun 2019 C	150,000,000	2019/02/13	2019/06/28	24.00
26522	Credit Suisse AG - Ping An European Put Warrants Jun 2019 D	100,000,000	2019/02/13	2019/06/28	25.00
26557	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2019 E	150,000,000	2019/02/13	2019/07/30	22.50
26529	Goldman Sachs SP (Asia) - Ping An Euro Put Wts May 2019 G	80,000,000	2019/02/13	2019/05/16	12.00
26537	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2019 O	150,000,000	2019/02/13	2019/06/25	22.50
26541	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2019 P	150,000,000	2019/02/13	2019/06/25	22.50
26533	Goldman Sachs SP (Asia) - Xiaomi European Warrants Dec 2019A	100,000,000	2019/02/13	2019/12/20	15.10
26380	HK Bank - Country Garden European Warrants Oct 2019 A	80,000,000	2019/02/13	2019/10/11	12.00
26369	HK Bank - Country Garden European Put Warrants Dec 2019 A	100,000,000	2019/02/13	2019/12/23	15.00
26371	HK Bank - ICBC European Warrants Sep 2019 A	50,000,000	2019/02/13	2019/09/30	12.50
26376	HK Bank - Tencent European Warrants Aug 2019 A	100,000,000	2019/02/13	2019/08/26	15.00
26375	HK Bank - Tencent European Put Warrants Jun 2019 G	100,000,000	2019/02/13	2019/06/03	15.00
26448	J P Morgan SP BV - AIA European Warrants Aug 2019 A	100,000,000	2019/02/13	2019/08/30	30.90
26500	J P Morgan SP BV - HKEX European Warrants Jun 2019 F	40,000,000	2019/02/13	2019/06/25	10.92
26464	J P Morgan SP BV - HSI European Warrants Aug 2019 D	400,000,000	2019/02/13	2019/08/29	100.40
26465	J P Morgan SP BV - Meitu European Warrants Dec 2019 A	30,000,000	2019/02/13	2019/12/31	15.45
26451	J P Morgan SP BV - Meituan European Warrants Aug 2019 A	40,000,000	2019/02/13	2019/08/12	13.68
26461	J P Morgan SP BV - Ping An European Put Warrants Jun 2019 B	200,000,000	2019/02/13	2019/06/21	50.40
26502	J P Morgan SP BV - Tencent European Warrants Jun 2019 J	250,000,000	2019/02/13	2019/06/24	37.50
26486	J P Morgan SP BV - Goldwind European Warrants May 2020 A	60,000,000	2019/02/13	2020/05/04	15.06
26391	Macquarie Bank Ltd. - Meitu European Warrants Oct 2020 A	14,300,000	2019/02/13	2020/10/16	10.04
26383	Macquarie Bank Ltd. - Razer European Warrants Sep 2020 A	24,200,000	2019/02/13	2020/09/16	10.02

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26447	SG Issuer - HSI European Warrants Jun 2019 F	300,000,000	2019/02/13	2019/06/27	48.00
26446	SG Issuer - HSI European Put Warrants May 2019 N	300,000,000	2019/02/13	2019/05/30	49.50
26368	SG Issuer - Link REIT European Put Warrants Jul 2019 D	40,000,000	2019/02/13	2019/07/05	10.60
26367	SG Issuer - Tencent European Warrants Jun 2019 I	200,000,000	2019/02/13	2019/06/24	30.00
26423	UBS AG - China Tower European Warrants Sep 2019 B	70,000,000	2019/02/13	2019/09/23	11.55
26415	UBS AG - HKEX European Warrants May 2019 A	30,000,000	2019/02/13	2019/05/28	20.28
26438	UBS AG - HSBC European Warrants May 2019 A	100,000,000	2019/02/13	2019/05/28	15.00
26424	UBS AG - HSI European Put Warrants May 2019 E	300,000,000	2019/02/13	2019/05/30	59.10
26427	UBS AG - Ping An European Warrants May 2019 F	100,000,000	2019/02/13	2019/05/28	16.30
26410	UBS AG - Tencent European Warrants May 2019 I	30,000,000	2019/02/13	2019/05/27	11.22
26396	UBS AG - Tencent European Warrants Aug 2019 B	300,000,000	2019/02/13	2019/08/23	53.70
26397	UBS AG - Tencent European Warrants Nov 2019 A	300,000,000	2019/02/13	2019/11/08	82.80
26430	UBS AG - Xiaomi European Warrants Jul 2019 A	100,000,000	2019/02/13	2019/07/08	15.00
26433	UBS AG - Xiaomi European Warrants Aug 2019 A	11,000,000	2019/02/13	2019/08/12	10.63
26394	Bank Vontobel - HSI European Warrants Nov 2019 A	80,000,000	2019/02/13	2019/11/28	20.08
26607	Credit Suisse AG - AIA European Warrants Jul 2019 B	70,000,000	2019/02/14	2019/07/16	11.90
26564	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2019 B	150,000,000	2019/02/14	2019/08/29	22.50
26581	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2019 D	100,000,000	2019/02/14	2019/06/21	15.00
26586	Goldman Sachs SP (Asia) - Ping An Euro Put Wts May 2019 H	80,000,000	2019/02/14	2019/05/14	34.96
26568	Goldman Sachs SP (Asia) - Wynn Macau European Wts Jul 2019 A	60,000,000	2019/02/14	2019/07/24	10.02
26571	Goldman Sachs SP (Asia) - Xiaomi European Warrants Jul 2019 C	100,000,000	2019/02/14	2019/07/15	15.00
26624	Haitong Int'l Sec - Evergrande European Warrants Oct 2019 A	60,000,000	2019/02/14	2019/10/31	24.00
26623	Haitong Int'l Sec - SUNAC European Warrants Oct 2019 A	60,000,000	2019/02/14	2019/10/31	21.00
26622	Haitong Int'l Sec - Tencent European Put Warrants Jun 2019 L	120,000,000	2019/02/14	2019/06/03	19.20
26600	J P Morgan SP BV - AIA European Warrants Jul 2019 B	100,000,000	2019/02/14	2019/07/16	15.00
26602	J P Morgan SP BV - AIA European Put Warrants Jul 2019 C	100,000,000	2019/02/14	2019/07/17	33.40
26618	J P Morgan SP BV - Haidilao European Warrants Aug 2019 A	40,000,000	2019/02/14	2019/08/13	10.00
26588	SG Issuer - AIA European Put Warrants Jul 2019 A	60,000,000	2019/02/14	2019/07/15	17.40
26587	SG Issuer - CSOP A50 Eu Put Wt Dec 2019 A	200,000,000	2019/02/14	2019/12/31	32.00
26593	SG Issuer - HKEX European Put Warrants Jul 2019 D	150,000,000	2019/02/14	2019/07/24	22.50
26597	SG Issuer - Tencent European Put Warrants Jul 2019 B	200,000,000	2019/02/14	2019/07/22	42.00
26642	BNP Paribas Issuance B.V. - HSBC European Wts Sep 2019 A	70,000,000	2019/02/15	2019/09/03	10.50
26640	BNP Paribas Issuance B.V. - HSI European Wts Sep 2019 A	80,000,000	2019/02/15	2019/09/27	20.00
26671	Credit Suisse AG - AIA European Warrants Aug 2019 A	70,000,000	2019/02/15	2019/08/30	17.50
26673	Credit Suisse AG - AIA European Put Warrants Aug 2019 B	50,000,000	2019/02/15	2019/08/30	20.00
26660	Credit Suisse AG - HSI European Put Warrants Jul 2019 C	200,000,000	2019/02/15	2019/07/30	30.00
26701	Goldman Sachs SP (Asia) - AIA European Warrants Jul 2019 C	80,000,000	2019/02/15	2019/07/23	15.60
26699	Goldman Sachs SP (Asia) - AIA European Put Wts Jul 2019 B	80,000,000	2019/02/15	2019/07/17	17.20
26690	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jul 2019 A	80,000,000	2019/02/15	2019/07/26	15.84
26695	Goldman Sachs SP (Asia) - HKEX European Warrants Sep 2019 C	150,000,000	2019/02/15	2019/09/25	62.25
26679	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2019 F	150,000,000	2019/02/15	2019/07/30	28.50
26628	HK Bank - AIA European Warrants Aug 2019 B	40,000,000	2019/02/15	2019/08/14	12.00
26627	HK Bank - Xiaomi European Warrants Jan 2020 A	80,000,000	2019/02/15	2020/01/13	14.40
26658	J P Morgan SP BV - BAIC Motor European Warrants Jun 2019 A	30,000,000	2019/02/15	2019/06/21	10.20

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26657	J P Morgan SP BV - Ping An European Warrants May 2019 C	200,000,000	2019/02/15	2019/05/28 30.40
26659	J P Morgan SP BV - Sunny Optical European Warrants Oct 2019A	100,000,000	2019/02/15	2019/10/24 15.40
26635	Macquarie Bank Ltd. - AIA European Warrants Sep 2019 A	36,000,000	2019/02/15	2019/09/03 10.01
26633	Macquarie Bank Ltd. - CC Bank European Warrants Sep 2019 A	39,000,000	2019/02/15	2019/09/03 10.02
26637	Macquarie Bank Ltd. - CM Bank European Warrants Sep 2019 A	32,000,000	2019/02/15	2019/09/03 10.11
26638	Macquarie Bank Ltd. - China Tel European Warrants Sep 2019 A	40,000,000	2019/02/15	2019/09/03 10.12
26740	SG Issuer - AIA European Warrants Jul 2019 C	50,000,000	2019/02/15	2019/07/16 12.50
26735	SG Issuer - AIA European Put Warrants Jul 2019 B	40,000,000	2019/02/15	2019/07/17 11.40
26713	SG Issuer - CNOOC European Warrants Dec 2019 A	200,000,000	2019/02/15	2019/12/31 30.00
26708	SG Issuer - CNOOC European Put Warrants Jun 2019 B	25,000,000	2019/02/15	2019/06/25 11.38
26714	SG Issuer - Galaxy Ent European Warrants Jul 2019 B	60,000,000	2019/02/15	2019/07/26 15.30
26721	SG Issuer - Sands China European Warrants Jun 2019 D	70,000,000	2019/02/15	2019/06/28 10.50
26726	SG Issuer - Tencent European Warrants Jun 2019 J	200,000,000	2019/02/15	2019/06/21 44.00
26646	UBS AG - China Tower European Warrants Sep 2019 C	70,000,000	2019/02/15	2019/09/19 17.50
26656	UBS AG - China Tower European Warrants Oct 2019 A	70,000,000	2019/02/15	2019/10/08 17.50
26655	UBS AG - PetroChina European Put Warrants Jun 2019 B	60,000,000	2019/02/15	2019/06/21 10.68
26750	BOCI Asia Ltd. - AIA European Warrants Jul 2019 A	60,000,000	2019/02/18	2019/07/16 15.42
26752	BOCI Asia Ltd. - Galaxy Ent European Warrants Jul 2019 A	80,000,000	2019/02/18	2019/07/26 16.24
26808	BOCI Asia Ltd. - HSI European Warrants Aug 2019 A	200,000,000	2019/02/18	2019/08/29 32.80
26760	BOCI Asia Ltd. - Ping An European Warrants May 2019 C	80,000,000	2019/02/18	2019/05/28 12.08
26762	BOCI Asia Ltd. - Ping An European Put Warrants Jun 2019 D	70,000,000	2019/02/18	2019/06/25 16.31
26765	BOCI Asia Ltd. - Sunny Optical European Warrants Oct 2019 A	150,000,000	2019/02/18	2019/10/24 22.80
26855	BNP Paribas Issuance B.V. - AIA European Wts Sep 2019 A	40,000,000	2019/02/18	2019/09/03 10.40
26864	BNP Paribas Issuance B.V. - BYD Company Euro Wts Sep 2019 A	40,000,000	2019/02/18	2019/09/03 13.20
26863	BNP Paribas Issuance B.V. - CC Bank Euro Wts Dec 2019 A	40,000,000	2019/02/18	2019/12/03 13.20
26870	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Jun 2020 A	50,000,000	2019/02/18	2020/06/02 13.00
26861	BNP Paribas Issuance B.V. - China Life Euro Wts Sep 2019 B	20,000,000	2019/02/18	2019/09/03 12.20
26862	BNP Paribas Issuance B.V. - China Tower European Wts Sep 19A	80,000,000	2019/02/18	2019/09/30 12.80
26859	BNP Paribas Issuance B.V. - Tencent European Wts Dec 2019 B	80,000,000	2019/02/18	2019/12/03 20.00
26851	BNP Paribas Issuance B.V. - Tencent Eu Put Wt Oct 2019 I	80,000,000	2019/02/18	2019/10/03 20.00
26906	Credit Suisse AG - AAC Tech European Put Warrants Jun 2019 A	20,000,000	2019/02/18	2019/06/10 13.00
26907	Credit Suisse AG - Geely Auto European Warrants Sep 2019 B	100,000,000	2019/02/18	2019/09/30 25.00
26928	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2019 C	150,000,000	2019/02/18	2019/08/29 22.50
26924	Goldman Sachs SP (Asia) - Meituan European Warrants Dec 19 B	150,000,000	2019/02/18	2019/12/20 22.50
26923	Goldman Sachs SP (Asia) - Sunny Optical Euro Put Wts Dec 19B	60,000,000	2019/02/18	2019/12/16 10.98
26913	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2019 E	150,000,000	2019/02/18	2019/07/24 22.50
26929	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2019 F	150,000,000	2019/02/18	2019/07/26 27.75
26780	HK Bank - Meituan Dianping European Put Warrants Aug 2019 A	25,000,000	2019/02/18	2019/08/30 10.25
26792	HK Bank - Meituan Dianping European Put Warrants Jun 2020 A	100,000,000	2019/02/18	2020/06/24 15.00
26768	HK Bank - Sands China European Put Warrants Aug 2019 A	30,000,000	2019/02/18	2019/08/30 10.20
26793	HK Bank - SHK Ppt European Put Warrants Aug 2019 A	30,000,000	2019/02/18	2019/08/30 10.20
26910	Haitong Int'l Sec - Kingdee Int'l European Wts Nov 2019 A	70,000,000	2019/02/18	2019/11/25 10.50
26892	J P Morgan SP BV - China Com Services European Wts Oct 2019A	60,000,000	2019/02/18	2019/10/31 15.06
26881	J P Morgan SP BV - CSOP A50 ETF European Warrants Jun 2019 B	80,000,000	2019/02/18	2019/06/12 17.52

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26886	J P Morgan SP BV - A50 China European Warrants Aug 2019 A	80,000,000	2019/02/18	2019/08/30 21.60
26876	J P Morgan SP BV - Meituan European Warrants Aug 2019 B	40,000,000	2019/02/18	2019/08/30 12.96
26888	J P Morgan SP BV - New World Dev European Wts Aug 2019 A	30,000,000	2019/02/18	2019/08/30 11.22
26875	J P Morgan SP BV - Sands China Ltd European Warrants Aug 19A	80,000,000	2019/02/18	2019/08/30 20.24
26873	J P Morgan SP BV - Sunny Optical European Put Wts May 2019 C	50,000,000	2019/02/18	2019/05/30 15.55
26889	J P Morgan SP BV - WuXi Bio European Warrants Sep 2019 A	80,000,000	2019/02/18	2019/09/10 12.08
26766	Macquarie Bank Ltd. - AIA European Put Warrants Sep 2019 B	26,000,000	2019/02/18	2019/09/03 10.09
26798	Macquarie Bank Ltd. - BankComm European Put Warrants Sep 19B	21,400,000	2019/02/18	2019/09/03 10.02
26800	Macquarie Bank Ltd. - Minsheng Bank European Wts Sep 2019 A	38,000,000	2019/02/18	2019/09/03 10.03
26803	Macquarie Bank Ltd. - Dongfeng Motor European Wts Sep 2019 A	14,900,000	2019/02/18	2019/09/03 10.01
26794	Macquarie Bank Ltd. - Kingsoft European Warrants Nov 2019 A	40,000,000	2019/02/18	2019/11/04 10.00
26796	Macquarie Bank Ltd. - Xiaomi European Warrants Apr 2020 A	39,000,000	2019/02/18	2020/04/02 10.02
26948	SG Issuer - HTSC European Warrants Nov 2019 A	60,000,000	2019/02/18	2019/11/29 15.00
26941	SG Issuer - Link REIT European Warrants Jul 2019 E	30,000,000	2019/02/18	2019/07/23 10.05
26942	SG Issuer - Ping An European Put Warrants May 2019 H	60,000,000	2019/02/18	2019/05/17 28.50
26938	SG Issuer - Sunny Optical European Put Warrants Dec 2019 A	80,000,000	2019/02/18	2019/12/16 14.80
26946	SG Issuer - Tencent European Put Warrants Jul 2019 C	200,000,000	2019/02/18	2019/07/25 52.00
26940	SG Issuer - Xiaomi European Put Warrants Dec 2019 C	80,000,000	2019/02/18	2019/12/31 13.20
26812	UBS AG - AIA European Warrants Jul 2019 B	100,000,000	2019/02/18	2019/07/16 30.40
26815	UBS AG - AIA European Warrants Aug 2019 A	100,000,000	2019/02/18	2019/08/30 32.00
26826	UBS AG - AIA European Put Warrants Aug 2019 B	100,000,000	2019/02/18	2019/08/30 47.60
26839	UBS AG - CITIC Sec European Warrants Nov 2019 A	70,000,000	2019/02/18	2019/11/11 14.35
26842	UBS AG - Geely Auto European Warrants Nov 2019 B	50,000,000	2019/02/18	2019/11/18 12.50
26830	UBS AG - Haitong Sec European Warrants Aug 2019 A	25,000,000	2019/02/18	2019/08/19 15.30
26955	BOCI Asia Ltd. - CC Bank European Warrants May 2019 A	60,000,000	2019/02/19	2019/05/28 10.08
26991	BOCI Asia Ltd. - Geely Auto European Warrants Jun 2019 B	100,000,000	2019/02/19	2019/06/20 15.00
26953	BOCI Asia Ltd. - HKEX European Warrants Jun 2020 A	200,000,000	2019/02/19	2020/06/23 37.80
26954	BOCI Asia Ltd. - HKEX European Put Warrants Jul 2019 B	100,000,000	2019/02/19	2019/07/24 16.60
26952	BOCI Asia Ltd. - Meituan European Warrants Sep 2019 A	150,000,000	2019/02/19	2019/09/24 22.50
27102	Credit Suisse AG - BAIC Motor European Warrants Jun 2019 A	30,000,000	2019/02/19	2019/06/21 10.20
27106	Credit Suisse AG - CGS European Warrants Aug 2019 A	40,000,000	2019/02/19	2019/08/19 10.00
27104	Credit Suisse AG - China Tower European Warrants Nov 2019 B	70,000,000	2019/02/19	2019/11/29 17.50
27105	Credit Suisse AG - Meituan European Warrants Dec 2019 B	150,000,000	2019/02/19	2019/12/31 22.50
27107	Credit Suisse AG - ZA Online European Warrants Aug 2019 A	40,000,000	2019/02/19	2019/08/19 10.00
27139	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2019 C	80,000,000	2019/02/19	2019/06/21 15.36
27138	Goldman Sachs SP (Asia) - China Tower European Wts Sep 2019D	80,000,000	2019/02/19	2019/09/23 12.00
27143	Goldman Sachs SP (Asia) - HKEX European Warrants Jun 2019 H	50,000,000	2019/02/19	2019/06/25 21.15
26997	HK Bank - HKEX European Warrants May 2020 A	100,000,000	2019/02/19	2020/05/29 15.00
26998	HK Bank - HKEX European Put Warrants Jun 2020 A	100,000,000	2019/02/19	2020/06/24 16.00
26992	HK Bank - SHK Ppt European Warrants Aug 2019 B	40,000,000	2019/02/19	2019/08/30 10.40
27084	Haitong Int'l Sec - HKEX European Warrants Oct 2019 A	100,000,000	2019/02/19	2019/10/08 25.00
27079	Haitong Int'l Sec - HKEX European Warrants May 2020 A	100,000,000	2019/02/19	2020/05/29 17.00
27083	Haitong Int'l Sec - HKEX European Put Warrants Sep 2019 B	100,000,000	2019/02/19	2019/09/02 25.00
27085	Haitong Int'l Sec - HSI European Warrants Jul 2019 C	150,000,000	2019/02/19	2019/07/30 25.50

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27086	Haitong Int'l Sec - HSI European Warrants Aug 2019 C	150,000,000	2019/02/19	2019/08/29	25.50
27087	Haitong Int'l Sec - HSI European Put Warrants May 2019 H	150,000,000	2019/02/19	2019/05/30	22.50
27063	J P Morgan SP BV - CAM CSI300 European Warrants Sep 2019 A	100,000,000	2019/02/19	2019/09/30	15.10
27040	J P Morgan SP BV - HKEX European Warrants Jul 2019 D	50,000,000	2019/02/19	2019/07/26	11.45
27036	J P Morgan SP BV - HKEX European Put Wts Jul 2019 C	200,000,000	2019/02/19	2019/07/24	30.20
27057	J P Morgan SP BV - HSBC European Warrants May 2019 C	100,000,000	2019/02/19	2019/05/31	15.60
27074	J P Morgan SP BV - Sunny Optical European Warrants Aug 2019A	50,000,000	2019/02/19	2019/08/19	25.60
27048	J P Morgan SP BV - ZTE European Warrants Aug 2019 A	50,000,000	2019/02/19	2019/08/30	12.55
26984	Macquarie Bank Ltd. - Geely Auto European Wts Jan 2020 A	37,500,000	2019/02/19	2020/01/03	10.01
26964	Macquarie Bank Ltd. - Haidilao European Warrants Sep 2019 A	27,300,000	2019/02/19	2019/09/03	10.05
26967	Macquarie Bank Ltd. - Haidilao European Warrants Dec 2019 A	36,000,000	2019/02/19	2019/12/03	10.01
26990	Macquarie Bank Ltd. - Kingboard Hldg European Wts Nov 2019 A	32,000,000	2019/02/19	2019/11/18	10.11
26989	Macquarie Bank Ltd. - NCI European Warrants Nov 2019 A	40,000,000	2019/02/19	2019/11/04	10.04
26975	Macquarie Bank Ltd. - Ping An European Warrants Sep 2019 B	32,500,000	2019/02/19	2019/09/03	10.04
26980	Macquarie Bank Ltd. - Ping An European Put Wts Sep 2019 C	24,500,000	2019/02/19	2019/09/03	10.14
27136	SG Issuer - HSI European Put Warrants Aug 2019 B	300,000,000	2019/02/19	2019/08/29	45.00
27006	UBS AG - China Com Services European Warrants Oct 2019 A	40,000,000	2019/02/19	2019/10/08	10.20
26999	UBS AG - HKEX European Warrants Jun 2019 G	30,000,000	2019/02/19	2019/06/26	18.93
27003	UBS AG - HKEX European Warrants Jul 2019 D	30,000,000	2019/02/19	2019/07/26	13.17
27004	UBS AG - HKEX European Put Warrants Sep 2019 B	100,000,000	2019/02/19	2019/09/09	25.00
27007	UBS AG - Mengniu Dairy European Warrants Jul 2019 A	70,000,000	2019/02/19	2019/07/24	11.20
27035	UBS AG - SMIC European Warrants Mar 2020 A	70,000,000	2019/02/19	2020/03/10	10.71
27135	Bank Vontobel - CITIC Sec European Warrants Dec 2019 A	50,000,000	2019/02/19	2019/12/24	11.05
27113	Bank Vontobel - Sinopec Corp European Put Warrants Aug 2019A	50,000,000	2019/02/19	2019/08/20	25.05
27117	Bank Vontobel - CSPC Pharma European Warrants Jan 2020 A	40,000,000	2019/02/19	2020/01/03	10.04
27119	Bank Vontobel - Geely Auto European Warrants Aug 2019 A	10,000,000	2019/02/19	2019/08/21	11.01
27120	Bank Vontobel - New World Dev European Warrants Aug 2019 A	17,000,000	2019/02/19	2019/08/20	10.22
27116	Bank Vontobel - Sands China Ltd European Warrants Sep 2019 A	40,000,000	2019/02/19	2019/09/03	10.04
27122	Bank Vontobel - SJM Holdings European Warrants Jul 2019 A	12,000,000	2019/02/19	2019/07/09	10.09
27118	Bank Vontobel - Tencent European Warrants Aug 2019 C	100,000,000	2019/02/19	2019/08/23	19.80
27219	BOCI Asia Ltd. - China Tower European Warrants Sep 2019 A	80,000,000	2019/02/20	2019/09/23	17.84
27152	BOCI Asia Ltd. - HKEX European Warrants Dec 2019 B	200,000,000	2019/02/20	2019/12/20	30.40
27150	BOCI Asia Ltd. - HSI European Warrants Aug 2019 B	200,000,000	2019/02/20	2019/08/29	50.00
27151	BOCI Asia Ltd. - HSI European Put Warrants May 2019 F	200,000,000	2019/02/20	2019/05/30	30.60
27161	BOCI Asia Ltd. - SMIC European Warrants Mar 2020 A	100,000,000	2019/02/20	2020/03/10	15.00
27163	BOCI Asia Ltd. - Tencent European Wts Jun 2019 I	200,000,000	2019/02/20	2019/06/10	43.80
27164	BOCI Asia Ltd. - Tencent European Put Wts Jul 2019 B	200,000,000	2019/02/20	2019/07/22	37.40
27215	BOCI Asia Ltd. - Xiaomi European Warrants Sep 2019 B	150,000,000	2019/02/20	2019/09/25	22.50
27146	BNP Paribas Issuance B.V. - Geely Auto Euro Wts Dec 2019 B	80,000,000	2019/02/20	2019/12/03	20.80
27412	Credit Suisse AG - AAC Tech European Warrants Aug 2019 A	20,000,000	2019/02/20	2019/08/19	10.00
27419	Credit Suisse AG - CICC European Warrants Sep 2019 A	50,000,000	2019/02/20	2019/09/30	12.50
27429	Credit Suisse AG - HKEX European Warrants Aug 2019 B	40,000,000	2019/02/20	2019/08/19	18.40
27395	Credit Suisse AG - HKEX European Put Warrants Aug 2019 A	100,000,000	2019/02/20	2019/08/30	25.00
27416	Credit Suisse AG - Haitong Sec European Warrants Aug 2019 A	25,000,000	2019/02/20	2019/08/19	13.75

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27401	Credit Suisse AG - Nine Dragons European Warrants Sep 2019 A	70,000,000	2019/02/20	2019/09/30 10.50
27405	Credit Suisse AG - Ping An European Warrants Jun 2019 E	150,000,000	2019/02/20	2019/06/25 22.50
27411	Credit Suisse AG - Ping An European Put Warrants May 2019 E	100,000,000	2019/02/20	2019/05/20 46.00
27428	Credit Suisse AG - Sunny Optical European Warrants Sep 2019B	100,000,000	2019/02/20	2019/09/30 15.00
27310	Goldman Sachs SP (Asia) - CITIC Sec European Wts Dec 2019 A	80,000,000	2019/02/20	2019/12/24 16.00
27307	Goldman Sachs SP (Asia) - Geely Auto European Wts Jun 2019 C	80,000,000	2019/02/20	2019/06/25 12.00
27322	Goldman Sachs SP (Asia) - HKEX European Warrants May 2020 A	150,000,000	2019/02/20	2020/05/26 22.50
27340	Goldman Sachs SP (Asia) - HSI European Warrants May 2019 K	150,000,000	2019/02/20	2019/05/30 22.50
27338	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2019 D	150,000,000	2019/02/20	2019/08/29 22.50
27332	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2019 F	150,000,000	2019/02/20	2019/06/27 28.35
27323	Goldman Sachs SP (Asia) - Sunny Optical Euro Wts Jun 2019 A	70,000,000	2019/02/20	2019/06/21 10.50
27148	HK Bank - China Tower European Warrants Sep 2019 A	40,000,000	2019/02/20	2019/09/30 10.00
27149	HK Bank - Evergrande European Warrants Aug 2019 A	40,000,000	2019/02/20	2019/08/30 10.00
27442	Haitong Int'l Sec - BOCOM European Warrants Oct 2019 A	40,000,000	2019/02/20	2019/10/31 28.40
27440	Haitong Int'l Sec - China Tower European Warrants Oct 2019 A	80,000,000	2019/02/20	2019/10/30 20.00
27250	Haitong Int'l Sec - Galaxy Ent European Put Wts Aug 2019 A	50,000,000	2019/02/20	2019/08/20 19.50
27249	Haitong Int'l Sec - Haidilao European Warrants Aug 2019 A	50,000,000	2019/02/20	2019/08/20 15.50
27446	Haitong Int'l Sec - HSBC European Warrants Aug 2019 A	50,000,000	2019/02/20	2019/08/29 17.50
27251	Haitong Int'l Sec - Ping An European Put Warrants Aug 2019 B	60,000,000	2019/02/20	2019/08/30 36.60
27444	Haitong Int'l Sec - SHK Ppt European Warrants Nov 2019 A	40,000,000	2019/02/20	2019/11/29 34.80
27430	Haitong Int'l Sec - Xiaomi European Warrants Oct 2019 A	80,000,000	2019/02/20	2019/10/03 14.40
27294	J P Morgan SP BV - AAC Tech European Put Warrants Jun 2019 B	40,000,000	2019/02/20	2019/06/10 21.76
27295	J P Morgan SP BV - Bank of China European Wts Sep 2019 A	100,000,000	2019/02/20	2019/09/23 16.60
27297	J P Morgan SP BV - CGS European Warrants Sep 2019 A	50,000,000	2019/02/20	2019/09/30 15.05
27302	J P Morgan SP BV - CICC European Warrants Oct 2019 A	50,000,000	2019/02/20	2019/10/31 12.70
27291	J P Morgan SP BV - HKEX European Warrants Dec 2019 B	200,000,000	2019/02/20	2019/12/20 30.20
27292	J P Morgan SP BV - HKEX European Put Wts Aug 2019 A	40,000,000	2019/02/20	2019/08/30 21.08
27269	J P Morgan SP BV - HSCEI European Put Warrants May 2019 A	200,000,000	2019/02/20	2019/05/30 30.20
27303	J P Morgan SP BV - HSI European Warrants Sep 2019 A	400,000,000	2019/02/20	2019/09/27 100.00
27265	J P Morgan SP BV - HSI European Put Warrants Aug 2019 E	400,000,000	2019/02/20	2019/08/29 100.00
27300	J P Morgan SP BV - Haitong Sec European Warrants Aug 2019 A	40,000,000	2019/02/20	2019/08/30 21.04
27276	J P Morgan SP BV - Ping An European Put Warrants Jul 2019 B	200,000,000	2019/02/20	2019/07/22 30.00
27274	J P Morgan SP BV - Sunny Optical European Warrants Sep 2019B	100,000,000	2019/02/20	2019/09/30 15.10
27259	Macquarie Bank Ltd. - CGS European Warrants Sep 2019 A	30,000,000	2019/02/20	2019/09/17 10.02
27205	Macquarie Bank Ltd. - CNOOC European Warrants Sep 2019 A	13,000,000	2019/02/20	2019/09/03 10.15
27213	Macquarie Bank Ltd. - China Tower European Warrants Apr 20 A	35,500,000	2019/02/20	2020/04/02 10.01
27198	Macquarie Bank Ltd. - Galaxy Ent European Wts Sep 2019 B	17,000,000	2019/02/20	2019/09/03 10.25
27165	Macquarie Bank Ltd. - HKEX European Warrants Sep 2019 A	13,500,000	2019/02/20	2019/09/03 10.06
27304	Macquarie Bank Ltd. - HKEX European Put Warrants Oct 2019 C	40,000,000	2019/02/20	2019/10/03 10.04
27172	Macquarie Bank Ltd. - HSBC European Put Warrants Sep 2019 B	38,000,000	2019/02/20	2019/09/03 10.07
27260	Macquarie Bank Ltd. - HTSC European Warrants Jun 2020 A	37,000,000	2019/02/20	2020/06/16 10.03
27255	Macquarie Bank Ltd. - New World Dev European Wts Sep 2019 A	21,000,000	2019/02/20	2019/09/03 10.90
27195	Macquarie Bank Ltd. - Poly Property European Wts Dec 2019 A	22,000,000	2019/02/20	2019/12/17 10.03
27210	Macquarie Bank Ltd. - Want Want China European Wts Oct 2019A	20,000,000	2019/02/20	2019/10/03 10.02

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27176	Macquarie Bank Ltd. - Weichai Power European Warrants Feb20A	40,000,000	2019/02/20	2020/02/18 10.00
27472	SG Issuer - AIA European Warrants Aug 2019 A	60,000,000	2019/02/20	2019/08/30 15.00
27461	SG Issuer - CAM CSI300 European Warrants Sep 2019 A	70,000,000	2019/02/20	2019/09/25 11.20
27476	SG Issuer - CC Bank European Warrants Jul 2019 D	70,000,000	2019/02/20	2019/07/24 10.50
27482	SG Issuer - China Mobile European Warrants Jul 2019 C	60,000,000	2019/02/20	2019/07/24 10.50
27500	SG Issuer - Ch Molybdenum European Warrants Sep 2019 A	20,000,000	2019/02/20	2019/09/30 10.40
27462	SG Issuer - CSOP A50 ETF European Warrants Aug 2019 A	20,000,000	2019/02/20	2019/08/30 10.40
27464	SG Issuer - A50 China European Warrants Aug 2019 A	25,000,000	2019/02/20	2019/08/30 11.63
27467	SG Issuer - Geely Auto European Warrants Sep 2019 A	60,000,000	2019/02/20	2019/09/30 15.00
27468	SG Issuer - Geely Auto European Put Warrants Sep 2019 B	60,000,000	2019/02/20	2019/09/30 15.00
27469	SG Issuer - HKEX European Warrants Jul 2019 E	20,000,000	2019/02/20	2019/07/16 15.00
27447	SG Issuer - HSI European Warrants Aug 2019 C	300,000,000	2019/02/20	2019/08/29 45.00
27452	SG Issuer - HSI European Put Warrants Aug 2019 D	300,000,000	2019/02/20	2019/08/29 52.50
27502	SG Issuer - ICBC European Warrants Sep 2019 A	70,000,000	2019/02/20	2019/09/23 10.50
27504	SG Issuer - Jiangxi Copper European Warrants Sep 2019 A	10,000,000	2019/02/20	2019/09/30 10.00
27144	SG Issuer - SMIC European Warrants Mar 2020 A	100,000,000	2019/02/20	2020/03/10 15.00
27387	UBS AG - Conch Cement European Warrants Aug 2019 A	40,000,000	2019/02/20	2019/08/19 10.12
27378	UBS AG - AIA European Put Warrants Aug 2019 C	100,000,000	2019/02/20	2019/08/19 42.30
27357	UBS AG - CGS European Warrants Aug 2019 A	30,000,000	2019/02/20	2019/08/19 15.03
27371	UBS AG - CKH Holdings European Warrants Jun 2019 A	70,000,000	2019/02/20	2019/06/26 10.64
27392	UBS AG - China Life European Warrants Feb 2020 A	100,000,000	2019/02/20	2020/02/20 15.00
27366	UBS AG - China Mobile European Put Warrants Jul 2019 A	100,000,000	2019/02/20	2019/07/26 24.00
27355	UBS AG - CSPC Pharma European Warrants Dec 2019 A	50,000,000	2019/02/20	2019/12/16 12.60
27377	UBS AG - A50 China European Warrants Aug 2019 A	25,000,000	2019/02/20	2019/08/26 15.93
27390	UBS AG - Greatwall Motor European Warrants Aug 2019 B	30,000,000	2019/02/20	2019/08/19 17.19
27345	UBS AG - HKEX European Warrants Dec 2019 B	300,000,000	2019/02/20	2019/12/20 54.00
27364	UBS AG - Haitong Int'l European Warrants Nov 2019 A	20,000,000	2019/02/20	2019/11/25 10.24
27376	UBS AG - Ping An European Warrants Jul 2019 D	100,000,000	2019/02/20	2019/07/26 16.50
27367	UBS AG - Ping An European Put Warrants Jun 2019 B	100,000,000	2019/02/20	2019/06/26 20.10
27224	Bank Vontobel - AIA European Put Warrants Aug 2019 C	30,000,000	2019/02/20	2019/08/20 12.03
27226	Bank Vontobel - China Life European Put Warrants May 2019 A	70,000,000	2019/02/20	2019/05/27 10.57
27220	Bank Vontobel - Geely Auto European Put Warrants Sep 2019 B	40,000,000	2019/02/20	2019/09/04 10.04
27237	Bank Vontobel - HKEX European Warrants Dec 2019 A	80,000,000	2019/02/20	2019/12/30 12.24
27232	Bank Vontobel - PetroChina European Put Warrants Oct 2019 A	20,000,000	2019/02/20	2019/10/02 11.64
27517	BNP Paribas Issuance B.V. - China Tower European Wts Jan 20A	50,000,000	2019/02/21	2020/01/03 12.50
27516	BNP Paribas Issuance B.V. - Tencent European Wts Sep 2019 C	80,000,000	2019/02/21	2019/09/03 15.20
27507	BNP Paribas Issuance B.V. - Tencent European Wts Jan 2020 E	80,000,000	2019/02/21	2020/01/03 12.00
27639	Credit Suisse AG - AIA European Warrants Sep 2019 A	70,000,000	2019/02/21	2019/09/05 17.50
27642	Credit Suisse AG - BOC HK European Warrants Sep 2019 C	60,000,000	2019/02/21	2019/09/30 15.00
27647	Credit Suisse AG - China Com Services European Wts Oct 2019B	50,000,000	2019/02/21	2019/10/31 12.50
27627	Credit Suisse AG - China Tower European Warrants Sep 2019 B	70,000,000	2019/02/21	2019/09/30 17.50
27638	Credit Suisse AG - Geely Auto European Warrants Sep 2019 C	100,000,000	2019/02/21	2019/09/30 25.00
27646	Credit Suisse AG - Haidilao European Warrants Aug 2019 A	40,000,000	2019/02/21	2019/08/20 12.80
27505	Credit Suisse AG - HSI European Warrants May 2019 D	200,000,000	2019/02/21	2019/05/30 30.00

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27654	Goldman Sachs SP (Asia) - Geely Auto European Wts May 2019 B	80,000,000	2019/02/21	2019/05/24	12.00
27534	Goldman Sachs SP (Asia) - HKEX European Warrants Jun 2019 I	150,000,000	2019/02/21	2019/06/25	22.50
27648	Goldman Sachs SP (Asia) - HKEX European Warrants Dec 2019 B	150,000,000	2019/02/21	2019/12/20	22.50
27650	Goldman Sachs SP (Asia) - Xiaomi European Warrants Sep 2019B	100,000,000	2019/02/21	2019/09/25	15.00
27546	HK Bank - Sunny Optical European Warrants Oct 2019 A	100,000,000	2019/02/21	2019/10/09	15.00
27563	HK Bank - Xiaomi European Warrants Sep 2019 A	100,000,000	2019/02/21	2019/09/26	15.00
27595	J P Morgan SP BV - Conch Cement European Warrants Aug 2019 A	50,000,000	2019/02/21	2019/08/30	12.50
27590	J P Morgan SP BV - China Edu Group European Warrants Sep 19A	80,000,000	2019/02/21	2019/09/03	20.00
27591	J P Morgan SP BV - Genscript Bio European Warrants Jul 2019A	70,000,000	2019/02/21	2019/07/31	11.48
27567	Macquarie Bank Ltd. - Bank of China European Wts Oct 2019 A	50,000,000	2019/02/21	2019/10/03	10.05
27565	Macquarie Bank Ltd. - Evergrande European Put Wts Sep 2019 A	32,000,000	2019/02/21	2019/09/03	10.05
27521	Macquarie Bank Ltd. - Lenovo European Warrants Oct 2019 A	26,400,000	2019/02/21	2019/10/03	10.01
27523	Macquarie Bank Ltd. - Lenovo European Put Warrants Sep 2019A	20,000,000	2019/02/21	2019/09/03	10.02
27566	Macquarie Bank Ltd. - SJM Holdings European Wts Sep 2019 A	14,000,000	2019/02/21	2019/09/17	10.02
27531	Macquarie Bank Ltd. - Sunny Optical European Wts Sep 2019 A	8,500,000	2019/02/21	2019/09/03	10.25
27532	Macquarie Bank Ltd. - Sunny Optical European Put Wts Sep 19B	8,500,000	2019/02/21	2019/09/03	10.15
27533	Macquarie Bank Ltd. - Yanzhou Coal European Wts Oct 2019 A	8,500,000	2019/02/21	2019/10/03	10.43
27610	SG Issuer - AAC Tech European Warrants Sep 2019 A	15,000,000	2019/02/21	2019/09/30	10.65
27506	SG Issuer - HKEX European Warrants Jun 2019 I	200,000,000	2019/02/21	2019/06/25	30.00
27611	SG Issuer - HKEX European Warrants Dec 2019 B	200,000,000	2019/02/21	2019/12/31	50.00
27620	SG Issuer - Sunny Optical European Warrants Feb 2020 A	100,000,000	2019/02/21	2020/02/28	25.00
27623	SG Issuer - Xiaomi European Warrants Jul 2019 F	120,000,000	2019/02/21	2019/07/08	22.20
27568	UBS AG - Galaxy Ent European Warrants Jul 2019 A	100,000,000	2019/02/21	2019/07/26	21.50
27580	UBS AG - HSI European Put Warrants Jun 2019 E	300,000,000	2019/02/21	2019/06/27	72.90
27573	UBS AG - MGM China European Warrants Oct 2019 A	50,000,000	2019/02/21	2019/10/14	12.60
27576	UBS AG - Sands China Ltd European Warrants Jun 2019 B	70,000,000	2019/02/21	2019/06/21	10.50
27585	UBS AG - Sunny Optical European Put Warrants May 2019 A	25,000,000	2019/02/21	2019/05/23	10.25
27569	UBS AG - Wynn Macau European Warrants Jul 2019 A	60,000,000	2019/02/21	2019/07/24	12.30
27577	UBS AG - Xiaomi European Warrants Mar 2020 A	100,000,000	2019/02/21	2020/03/09	25.10
27597	Bank Vontobel - Galaxy Ent European Warrants Aug 2019 A	40,000,000	2019/02/21	2019/08/26	10.80
27598	Bank Vontobel - Galaxy Ent European Put Warrants Aug 2019 B	30,000,000	2019/02/21	2019/08/30	11.43
27601	Bank Vontobel - Ping An European Warrants Jul 2019 D	40,000,000	2019/02/21	2019/07/26	11.60
27603	Bank Vontobel - Ping An European Put Warrants Sep 2019 A	30,000,000	2019/02/21	2019/09/23	12.00
27605	Bank Vontobel - Sunny Optical European Warrants Sep 2019 A	70,000,000	2019/02/21	2019/09/27	10.50
27689	BOCI Asia Ltd. - CSPC Pharma European Warrants Jun 2019 B	70,000,000	2019/02/22	2019/06/24	10.50
27667	BOCI Asia Ltd. - Evergrande European Warrants Jul 2019 A	100,000,000	2019/02/22	2019/07/22	18.20
27656	BOCI Asia Ltd. - HKEX European Warrants Jun 2019 D	20,000,000	2019/02/22	2019/06/27	13.22
27662	BOCI Asia Ltd. - HSBC European Warrants Aug 2019 A	80,000,000	2019/02/22	2019/08/27	12.00
27675	BOCI Asia Ltd. - Lenovo European Warrants Jun 2019 A	50,000,000	2019/02/22	2019/06/24	11.50
27692	BOCI Asia Ltd. - SHK Ppt European Warrants Aug 2019 A	50,000,000	2019/02/22	2019/08/26	24.20
27750	BOCI Asia Ltd. - Tencent European Wts Jun 2019 J	200,000,000	2019/02/22	2019/06/25	30.20
27810	Credit Suisse AG - Conch Cement European Warrants Aug 2019 A	35,000,000	2019/02/22	2019/08/21	10.50
27813	Credit Suisse AG - CGN Power European Warrants Nov 2019 A	40,000,000	2019/02/22	2019/11/29	10.00
27808	Credit Suisse AG - China Mer Port European Warrants Oct 19 A	50,000,000	2019/02/22	2019/10/31	12.50

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27798	Credit Suisse AG - HKEX European Warrants Dec 2019 C	200,000,000	2019/02/22	2019/12/31	50.00
27807	Credit Suisse AG - MTRC European Warrants Sep 2019 A	40,000,000	2019/02/22	2019/09/30	10.00
27803	Credit Suisse AG - Ping An European Warrants Jul 2019 G	150,000,000	2019/02/22	2019/07/26	37.50
27832	Goldman Sachs SP (Asia) - AIA European Warrants Aug 2019 A	80,000,000	2019/02/22	2019/08/27	12.88
27825	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2019 C	80,000,000	2019/02/22	2019/07/24	12.00
27823	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2019 F	150,000,000	2019/02/22	2019/08/29	22.50
27820	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2019 E	150,000,000	2019/02/22	2019/08/29	26.85
27831	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2019 G	150,000,000	2019/02/22	2019/07/19	26.10
27739	HK Bank - HSI European Warrants Aug 2019 B	180,000,000	2019/02/22	2019/08/29	45.00
27744	HK Bank - HSI European Warrants Sep 2019 A	180,000,000	2019/02/22	2019/09/27	45.00
27768	J P Morgan SP BV - CLP Holdings European Warrants Jun 2019 A	70,000,000	2019/02/22	2019/06/28	10.71
27772	J P Morgan SP BV - CLP Holdings European Put Warrants Aug19A	40,000,000	2019/02/22	2019/08/21	10.16
27776	J P Morgan SP BV - Chinasoft Int'l European Warrants Aug 19A	40,000,000	2019/02/22	2019/08/30	10.36
27778	J P Morgan SP BV - Dongfeng Group European Put Wts Aug19A	25,000,000	2019/02/22	2019/08/21	11.60
27765	J P Morgan SP BV - HSI European Warrants Sep 2019 B	400,000,000	2019/02/22	2019/09/27	100.00
27779	J P Morgan SP BV - Maanshan Iron European Warrants Aug 2019A	40,000,000	2019/02/22	2019/08/30	10.04
27720	Macquarie Bank Ltd. - Conch Cement European Wts Sep 2019 A	22,500,000	2019/02/22	2019/09/03	10.10
27751	Macquarie Bank Ltd. - ASM Pacific European Wts Nov 2020 A	40,000,000	2019/02/22	2020/11/04	10.00
27702	Macquarie Bank Ltd. - China Life European Wts Sep 2019 A	8,500,000	2019/02/22	2019/09/03	10.13
27704	Macquarie Bank Ltd. - China Life European Put Warrants Oct19B	7,000,000	2019/02/22	2019/10/03	10.49
27716	Macquarie Bank Ltd. - Greatwall Motor European Wts Oct 2019A	17,000,000	2019/02/22	2019/10/03	10.10
27696	Macquarie Bank Ltd. - Sands China Ltd Euro Put Wts Oct 2019B	40,000,000	2019/02/22	2019/10/03	10.04
27715	Macquarie Bank Ltd. - SUNAC European Put Warrants Sep 2019 B	21,000,000	2019/02/22	2019/09/03	10.12
27724	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 C	10,000,000	2019/02/22	2019/09/02	44.33
27725	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 D	8,000,000	2019/02/22	2019/09/02	19.38
27729	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 E	8,000,000	2019/02/22	2019/09/02	10.75
27734	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 F	15,000,000	2019/02/22	2019/09/02	10.10
27721	Macquarie Bank Ltd. - Tencent European Put Wts Sep 2019 B	10,000,000	2019/02/22	2019/09/02	18.07
27782	SG Issuer - BYD European Put Warrants Jul 2019 C	35,000,000	2019/02/22	2019/07/02	10.15
27785	SG Issuer - China Unicom European Warrants Jun 2019 B	30,000,000	2019/02/22	2019/06/26	10.65
27792	SG Issuer - Lenovo European Warrants Jun 2019 B	40,000,000	2019/02/22	2019/06/28	10.00
27757	UBS AG - Tencent European Warrants May 2019 J	300,000,000	2019/02/22	2019/05/21	65.40
27842	BOCI Asia Ltd. - CITIC Sec European Warrants Dec 2019 A	70,000,000	2019/02/25	2019/12/24	15.47
27846	BOCI Asia Ltd. - ICBC European Warrants Jul 2019 A	70,000,000	2019/02/25	2019/07/24	12.60
27937	Credit Suisse AG - BOC HK European Put Warrants Aug 2019 A	40,000,000	2019/02/25	2019/08/26	10.00
28005	Goldman Sachs SP (Asia) - China Tower European Wts Sep 2019E	80,000,000	2019/02/25	2019/09/30	23.20
28009	Goldman Sachs SP (Asia) - China Tower European Wts Oct 2019A	80,000,000	2019/02/25	2019/10/30	20.00
27999	Goldman Sachs SP (Asia) - HSBC European Put Wts May 2019 E	80,000,000	2019/02/25	2019/05/27	12.00
28001	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Jun 2019 E	80,000,000	2019/02/25	2019/06/28	12.88
27997	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 Q	100,000,000	2019/02/25	2019/06/18	15.00
27838	HK Bank - Tencent European Put Warrants Sep 2019 A	100,000,000	2019/02/25	2019/09/27	15.00
28010	Haitong Int'l Sec - Tencent European Warrants Sep 2019 B	100,000,000	2019/02/25	2019/09/09	25.00
27897	J P Morgan SP BV - BOC HK European Put Warrants Oct 2019 A	50,000,000	2019/02/25	2019/10/02	12.65
27909	J P Morgan SP BV - BYD Company European Warrants Jul 2019 B	50,000,000	2019/02/25	2019/07/03	10.35

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27915	J P Morgan SP BV - China Huarong European Warrants Sep 2019A	50,000,000	2019/02/25	2019/09/30 12.70
27912	J P Morgan SP BV - China Mobile European Put Wts Jul 2019 A	100,000,000	2019/02/25	2019/07/31 15.10
27936	J P Morgan SP BV - Sinopec Corp European Warrants Jun 2019 A	100,000,000	2019/02/25	2019/06/04 27.00
27935	J P Morgan SP BV - Sinopec Corp Eu Put Wt Aug 2019 A	100,000,000	2019/02/25	2019/08/26 30.70
27939	J P Morgan SP BV - Evergrande European Warrants Aug 2019 A	50,000,000	2019/02/25	2019/08/30 12.65
27900	J P Morgan SP BV - HSBC European Warrants Aug 2019 A	100,000,000	2019/02/25	2019/08/30 15.10
27899	J P Morgan SP BV - HSBC European Warrants Nov 2019 A	100,000,000	2019/02/25	2019/11/04 25.00
27907	J P Morgan SP BV - HSBC European Put Warrants Aug 2019 B	100,000,000	2019/02/25	2019/08/30 32.60
27920	J P Morgan SP BV - Ping An European Warrants Jul 2019 C	200,000,000	2019/02/25	2019/07/31 30.40
27913	J P Morgan SP BV - SJM Holdings European Warrants Jul 2019 A	25,000,000	2019/02/25	2019/07/09 16.30
27924	J P Morgan SP BV - ZTE European Warrants Sep 2019 A	50,000,000	2019/02/25	2019/09/30 12.90
27863	Macquarie Bank Ltd. - BBMG European Warrants Dec 2019 A	31,600,000	2019/02/25	2019/12/17 10.02
27872	Macquarie Bank Ltd. - CITIC Sec European Warrants Oct 2019 A	11,000,000	2019/02/25	2019/10/03 10.03
27853	Macquarie Bank Ltd. - China Overseas European Put Wts Feb20A	29,500,000	2019/02/25	2020/02/04 10.03
27864	Macquarie Bank Ltd. - Genscript Bio European Wts Sep 2019 A	38,600,000	2019/02/25	2019/09/17 10.04
27851	Macquarie Bank Ltd. - KECL European Warrants Apr 2020 A	9,500,000	2019/02/25	2020/04/02 10.12
27857	Macquarie Bank Ltd. - Tencent European Put Wts Sep 2019 G	8,000,000	2019/02/25	2019/09/02 44.06
27847	Macquarie Bank Ltd. - Xinyi Glass European Wts Oct 2020 A	39,000,000	2019/02/25	2020/10/06 10.06
27955	SG Issuer - AAC Tech European Put Warrants Jun 2019 C	20,000,000	2019/02/25	2019/06/10 10.00
27991	SG Issuer - BOC HK European Put Warrants Sep 2019 A	60,000,000	2019/02/25	2019/09/30 15.00
27965	SG Issuer - CITIC Sec European Warrants Sep 2019 A	10,000,000	2019/02/25	2019/09/30 10.70
27992	SG Issuer - CK Asset European Put Warrants Sep 2019 A	25,000,000	2019/02/25	2019/09/30 10.75
27960	SG Issuer - CM Bank European Warrants Jul 2019 C	60,000,000	2019/02/25	2019/07/24 10.50
27979	SG Issuer - Greatwall Motor European Warrants Sep 2019 A	16,000,000	2019/02/25	2019/09/30 10.08
27990	SG Issuer - Haitong Sec European Warrants Aug 2019 A	20,000,000	2019/02/25	2019/08/12 11.00
27993	SG Issuer - SHK Ppt European Put Warrants Aug 2019 A	25,000,000	2019/02/25	2019/08/05 10.00
27896	UBS AG - Sinopec Corp European Warrants Jun 2019 A	60,000,000	2019/02/25	2019/06/10 12.12
27879	UBS AG - China Tower European Warrants Nov 2019 A	70,000,000	2019/02/25	2019/11/11 17.85
27873	UBS AG - HSBC European Put Warrants May 2019 B	100,000,000	2019/02/25	2019/05/24 23.50
27834	UBS AG - HSI European Put Warrants Aug 2019 A	300,000,000	2019/02/25	2019/08/29 46.50
27881	UBS AG - New World Dev European Warrants Aug 2019 A	12,000,000	2019/02/25	2019/08/23 10.30
27892	UBS AG - PetroChina European Warrants Aug 2019 A	70,000,000	2019/02/25	2019/08/27 14.00
27895	UBS AG - ZTE European Warrants Aug 2019 A	30,000,000	2019/02/25	2019/08/26 10.62
27947	Bank Vontobel - AIA European Warrants Sep 2019 A	40,000,000	2019/02/25	2019/09/03 12.04
27948	Bank Vontobel - CKH Holdings European Warrants Sep 2019 A	30,000,000	2019/02/25	2019/09/04 10.20
27951	Bank Vontobel - Greatwall Motor European Warrants Sep 2019 A	20,000,000	2019/02/25	2019/09/03 10.00
27944	Bank Vontobel - HSCEI European Warrants Dec 2019 A	80,000,000	2019/02/25	2019/12/30 12.88
27943	Bank Vontobel - HSI European Put Warrants Sep 2019 B	80,000,000	2019/02/25	2019/09/27 20.08
27952	Bank Vontobel - Tencent European Put Warrants Dec 2020 B	80,000,000	2019/02/25	2020/12/24 24.08
28018	BOCI Asia Ltd. - HSBC European Warrants May 2019 C	80,000,000	2019/02/26	2019/05/29 20.48
28063	Credit Suisse AG - China Huarong European Warrants Sep 2019B	40,000,000	2019/02/26	2019/09/13 10.00
28064	Credit Suisse AG - Genscript Bio European Warrants Jul 2019B	60,000,000	2019/02/26	2019/07/24 10.50
28057	Credit Suisse AG - HSBC European Wts Jun 2019 E	100,000,000	2019/02/26	2019/06/25 15.00
28058	Credit Suisse AG - HSBC European Wts Aug 2019 B	100,000,000	2019/02/26	2019/08/26 25.00

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28061	Credit Suisse AG - ICBC European Warrants Jul 2019 A	70,000,000	2019/02/26	2019/07/24 10.50
28054	Credit Suisse AG - ZTE European Warrants Aug 2019 A	40,000,000	2019/02/26	2019/08/26 12.00
28039	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Aug 2019 A	80,000,000	2019/02/26	2019/08/30 20.00
28037	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jun 2019 C	60,000,000	2019/02/26	2019/06/25 23.10
28050	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Aug 2019 A	40,000,000	2019/02/26	2019/08/12 22.08
28048	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2019 F	100,000,000	2019/02/26	2019/06/25 15.00
28047	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Jun 2019C	80,000,000	2019/02/26	2019/06/28 12.00
28024	J P Morgan SP BV - China Life European Put Wts Nov 2019 B	100,000,000	2019/02/26	2019/11/04 25.00
28031	J P Morgan SP BV - China Mer Port European Warrants Dec 19 A	60,000,000	2019/02/26	2019/12/31 15.12
28032	J P Morgan SP BV - CNBM European Warrants Sep 2020 A	100,000,000	2019/02/26	2020/09/30 16.70
28035	J P Morgan SP BV - CSOP A50 ETF Euro Put Wts Dec 2019 A	100,000,000	2019/02/26	2019/12/31 15.10
28030	J P Morgan SP BV - Hang Seng Bank European Put Wts Jul 2019B	40,000,000	2019/02/26	2019/07/15 11.68
28036	J P Morgan SP BV - KB Laminates European Warrants Sep 2019 A	80,000,000	2019/02/26	2019/09/13 12.00
28029	J P Morgan SP BV - Logan PPT European Warrants Feb 2020 A	40,000,000	2019/02/26	2020/02/28 10.16
28016	Macquarie Bank Ltd. - Anta Sports European Wts Jun 2020 A	12,000,000	2019/02/26	2020/06/12 10.12
28011	Macquarie Bank Ltd. - CGN Power European Warrants Nov 2019 A	40,000,000	2019/02/26	2019/11/04 10.00
28014	Macquarie Bank Ltd. - CITIC Bank European Wts Nov 2019 A	37,000,000	2019/02/26	2019/11/04 10.10
28013	Macquarie Bank Ltd. - Ch Molybdenum European Wts Sep 2019 A	26,000,000	2019/02/26	2019/09/16 10.01
28012	Macquarie Bank Ltd. - Ch Oilfield European Wts Dec 2021 A	40,000,000	2019/02/26	2021/12/16 10.00
28015	Macquarie Bank Ltd. - Cathay Pacific European Wts Apr 2020 A	8,500,000	2019/02/26	2020/04/02 10.50
28017	Macquarie Bank Ltd. - ZTE European Warrants Jun 2020 A	14,500,000	2019/02/26	2020/06/12 10.01
28065	SG Issuer - CGS European Warrants Aug 2019 A	25,000,000	2019/02/26	2019/08/12 10.00
28066	SG Issuer - China Telecom European Warrants Jul 2019 B	70,000,000	2019/02/26	2019/07/23 10.50
28069	SG Issuer - SJM Holdings Eu Wt Jul 2019 B	15,000,000	2019/02/26	2019/07/09 11.40
28078	SG Issuer - Sunny Optical European Warrants Aug 2019 A	15,000,000	2019/02/26	2019/08/12 10.65
28083	SG Issuer - WH Group European Warrants Nov 2019 A	100,000,000	2019/02/26	2019/11/11 15.00
28019	UBS AG - CITIC Sec European Warrants Mar 2020 A	70,000,000	2019/02/26	2020/03/23 20.37
28022	UBS AG - CPIC European Warrants Jul 2019 A	70,000,000	2019/02/26	2019/07/12 10.64
28023	UBS AG - HSBC European Warrants Aug 2019 A	100,000,000	2019/02/26	2019/08/26 25.90
28113	BOCI Asia Ltd. - CAM CSI300 European Warrants Sep 2019 A	60,000,000	2019/02/27	2019/09/25 10.20
28097	BOCI Asia Ltd. - CGS European Warrants Aug 2019 A	40,000,000	2019/02/27	2019/08/12 14.36
28114	BOCI Asia Ltd. - Genscript Bio European Warrants Jul 2019 A	70,000,000	2019/02/27	2019/07/24 10.50
28107	BOCI Asia Ltd. - Greatwall Motor European Warrants Aug 2019A	20,000,000	2019/02/27	2019/08/12 10.80
28095	BOCI Asia Ltd. - HSCEI European Warrants Dec 2019 A	100,000,000	2019/02/27	2019/12/30 15.80
28101	BOCI Asia Ltd. - Haitong Sec European Warrants Aug 2019 A	20,000,000	2019/02/27	2019/08/12 10.08
28084	BNP Paribas Issuance B.V. - Geely Auto Euro Wts Apr 2020 A	60,000,000	2019/02/27	2020/04/02 15.00
28092	BNP Paribas Issuance B.V. - Meituan European Warrants Oct19A	30,000,000	2019/02/27	2019/10/03 11.10
28086	BNP Paribas Issuance B.V. - Tencent Eu Put Wt Mar 2020 A	50,000,000	2019/02/27	2020/03/03 12.50
28087	BNP Paribas Issuance B.V. - Xiaomi European Warrants Sep 19A	30,000,000	2019/02/27	2019/09/03 13.50
28163	Credit Suisse AG - CITIC Sec European Warrants Feb 2020 A	60,000,000	2019/02/27	2020/02/28 15.00
28162	Credit Suisse AG - China Life European Put Wts Jun 2019 B	60,000,000	2019/02/27	2019/06/21 10.02
28180	Credit Suisse AG - China Mobile European Put Wts Jul 2019 A	50,000,000	2019/02/27	2019/07/26 15.00
28164	Credit Suisse AG - KB Laminates European Warrants Sep 2019 A	70,000,000	2019/02/27	2019/09/10 10.50
28166	Credit Suisse AG - Melco Int'l Dev European Wts Sep 2019 A	60,000,000	2019/02/27	2019/09/30 15.00

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28204	Goldman Sachs SP (Asia) - AIA European Warrants Aug 2019 B	80,000,000	2019/02/27	2019/08/23	12.00
28201	Goldman Sachs SP (Asia) - HKEX European Put Wts Aug 2019 A	100,000,000	2019/02/27	2019/08/28	15.00
28202	Goldman Sachs SP (Asia) - HSCEI European Warrants Jul 2019 A	150,000,000	2019/02/27	2019/07/30	22.50
28200	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2019 E	100,000,000	2019/02/27	2019/07/26	16.10
28094	HK Bank - HSI European Put Warrants Aug 2019 C	180,000,000	2019/02/27	2019/08/29	45.00
28157	J P Morgan SP BV - Haidilao European Warrants Sep 2019 A	40,000,000	2019/02/27	2019/09/30	10.28
28153	J P Morgan SP BV - Link REIT European Put Warrants Aug 2019B	40,000,000	2019/02/27	2019/08/30	10.44
28150	J P Morgan SP BV - SHK Ppt European Put Warrants Aug 2019 A	40,000,000	2019/02/27	2019/08/30	15.00
28155	J P Morgan SP BV - Tencent European Put Warrants Jun 2019 K	250,000,000	2019/02/27	2019/06/28	37.75
28133	Macquarie Bank Ltd. - Avichina European Warrants Jul 2020 A	7,500,000	2019/02/27	2020/07/03	10.34
28116	Macquarie Bank Ltd. - BOC HK European Warrants Sep 2019 A	25,800,000	2019/02/27	2019/09/03	10.01
28130	Macquarie Bank Ltd. - CITIC Sec European Put Warrants Oct19B	40,000,000	2019/02/27	2019/10/03	10.16
28132	Macquarie Bank Ltd. - CPIC European Warrants Nov 2019 A	40,000,000	2019/02/27	2019/11/04	10.00
28117	Macquarie Bank Ltd. - China South Air Euro Wts Sep 2019 A	20,000,000	2019/02/27	2019/09/16	10.42
28134	Macquarie Bank Ltd. - Hang Lung Ppt European Wts Jul 2020 A	34,000,000	2019/02/27	2020/07/10	10.10
28135	Macquarie Bank Ltd. - Longfor Group European Wts Jul 2020 A	14,500,000	2019/02/27	2020/07/10	10.01
28126	Macquarie Bank Ltd. - PICC P&C European Warrants Jan 2020 A	14,000,000	2019/02/27	2020/01/03	10.54
28125	Macquarie Bank Ltd. - Sh Electric European Wts Feb 2020 A	25,000,000	2019/02/27	2020/02/24	10.10
28192	SG Issuer - BBMG European Warrants Aug 2019 A	35,000,000	2019/02/27	2019/08/26	10.15
28194	SG Issuer - China Gas Hold Eu Wt May 2019 B	70,000,000	2019/02/27	2019/05/28	10.50
28186	SG Issuer - China Life European Put Warrants Jun 2019 D	80,000,000	2019/02/27	2019/06/21	17.60
28188	SG Issuer - China Tower European Warrants Sep 2019 C	80,000,000	2019/02/27	2019/09/30	20.00
28191	SG Issuer - HKEX European Put Warrants Aug 2019 A	100,000,000	2019/02/27	2019/08/30	17.00
28195	SG Issuer - Kingsoft European Warrants Jun 2019 A	70,000,000	2019/02/27	2019/06/21	10.50
28187	SG Issuer - Lenovo European Put Warrants Aug 2019 A	20,000,000	2019/02/27	2019/08/30	11.20
28197	SG Issuer - PICC P&C European Warrants Jul 2019 A	18,000,000	2019/02/27	2019/07/16	10.44
28198	SG Issuer - Zijin Mining European Warrants Sep 2019 A	30,000,000	2019/02/27	2019/09/30	10.05
28142	UBS AG - CGS European Warrants Sep 2019 A	35,000,000	2019/02/27	2019/09/09	10.71
28136	UBS AG - China Life European Warrants Jun 2019 A	100,000,000	2019/02/27	2019/06/26	15.00
28137	UBS AG - China Life European Put Warrants Jun 2019 B	100,000,000	2019/02/27	2019/06/26	15.60
28140	UBS AG - China Tower European Warrants Nov 2019 B	70,000,000	2019/02/27	2019/11/11	17.50
28141	UBS AG - China Tower European Put Warrants Aug 2019 B	70,000,000	2019/02/27	2019/08/26	17.99
28149	UBS AG - HSI European Warrants Aug 2019 B	300,000,000	2019/02/27	2019/08/29	45.90
28143	UBS AG - HTSC European Warrants Apr 2020 A	60,000,000	2019/02/27	2020/04/27	16.32
28145	UBS AG - Link REIT European Warrants Aug 2019 A	50,000,000	2019/02/27	2019/08/26	16.95
28146	UBS AG - Ping An European Warrants Jun 2019 C	100,000,000	2019/02/27	2019/06/26	15.80
28225	BOCI Asia Ltd. - China Life European Warrants Sep 2019 A	100,000,000	2019/02/28	2019/09/23	15.00
28221	BOCI Asia Ltd. - CPIC European Warrants Jul 2019 A	60,000,000	2019/02/28	2019/07/05	10.80
28213	BOCI Asia Ltd. - China Tower European Warrants Aug 2019 A	80,000,000	2019/02/28	2019/08/28	20.00
28209	BOCI Asia Ltd. - China Tower European Warrants Oct 2019 A	80,000,000	2019/02/28	2019/10/28	20.00
28223	BOCI Asia Ltd. - PICC European Warrants Jul 2019 A	30,000,000	2019/02/28	2019/07/09	20.28
28205	Credit Suisse AG - China Life European Warrants Sep 2019 A	120,000,000	2019/02/28	2019/09/30	18.00
28263	Credit Suisse AG - China Tower European Warrants Oct 2019 A	70,000,000	2019/02/28	2019/10/31	17.50
28270	Credit Suisse AG - HSI European Warrants Aug 2019 A	200,000,000	2019/02/28	2019/08/29	30.00

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28273	Credit Suisse AG - HSI European Put Warrants Jun 2019 B	200,000,000	2019/02/28	2019/06/27 40.00
28271	Credit Suisse AG - HSI European Put Warrants Aug 2019 B	200,000,000	2019/02/28	2019/08/29 30.00
28266	Credit Suisse AG - SJM Holdings European Warrants Dec 2019 A	70,000,000	2019/02/28	2019/12/31 10.50
28235	Bank of East Asia - BankComm European Warrants Dec 2019 A	35,000,000	2019/02/28	2019/12/31 10.50
28237	Bank of East Asia - CM Bank European Warrants Dec 2019 A	36,000,000	2019/02/28	2019/12/31 10.08
28327	Goldman Sachs SP (Asia) - China Tower European Wts Sep 2019F	70,000,000	2019/02/28	2019/09/16 17.50
28328	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2019 B	150,000,000	2019/02/28	2019/09/27 22.50
28322	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Aug 2019A	80,000,000	2019/02/28	2019/08/23 12.00
28325	Goldman Sachs SP (Asia) - Sunny Optical Euro Wts Jul 2019 B	70,000,000	2019/02/28	2019/07/22 10.50
28323	Goldman Sachs SP (Asia) - Sunny Optical Euro Wts Sep 2019 B	70,000,000	2019/02/28	2019/09/24 10.50
28228	HK Bank - China Life European Warrants Oct 2019 A	80,000,000	2019/02/28	2019/10/09 12.00
28227	HK Bank - Lenovo European Warrants Aug 2019 A	40,000,000	2019/02/28	2019/08/30 12.00
28349	Haitong Int'l Sec - AAC Tech European Put Warrants Sep 2019B	50,000,000	2019/02/28	2019/09/26 36.00
28334	Haitong Int'l Sec - GAC European Warrants Dec 2019 A	80,000,000	2019/02/28	2019/12/20 13.60
28337	Haitong Int'l Sec - Galaxy Ent European Warrants Jul 2019 D	50,000,000	2019/02/28	2019/07/26 15.50
28347	Haitong Int'l Sec - Greatwall Motor European Warrants Aug19A	20,000,000	2019/02/28	2019/08/12 16.40
28260	Haitong Int'l Sec - HSI European Warrants May 2019 I	150,000,000	2019/02/28	2019/05/30 22.50
28361	Haitong Int'l Sec - Lenovo European Warrants Sep 2019 A	40,000,000	2019/02/28	2019/09/27 29.60
28360	Haitong Int'l Sec - Mengniu Dairy European Wts Sep 2019 A	50,000,000	2019/02/28	2019/09/30 13.00
28348	Haitong Int'l Sec - New World Dev European Warrants Sep 20 A	50,000,000	2019/02/28	2020/09/01 12.50
28338	Haitong Int'l Sec - Sands China European Warrants Aug 2019 A	60,000,000	2019/02/28	2019/08/23 10.80
28346	Haitong Int'l Sec - Sands China European Put Wts Jun 2019 B	70,000,000	2019/02/28	2019/06/13 10.50
28303	J P Morgan SP BV - AIA European Warrants Aug 2019 B	100,000,000	2019/02/28	2019/08/30 25.80
28299	J P Morgan SP BV - CSOP A50 ETF European Warrants Aug 2019 A	80,000,000	2019/02/28	2019/08/30 24.24
28291	J P Morgan SP BV - China Tower European Put Warrants Sep 19B	100,000,000	2019/02/28	2019/09/30 25.30
28302	J P Morgan SP BV - A50 China European Put Wts Aug 2019 B	80,000,000	2019/02/28	2019/08/30 33.04
28284	J P Morgan SP BV - HKEX European Warrants Aug 2019 B	40,000,000	2019/02/28	2019/08/12 20.32
28304	J P Morgan SP BV - HSBC European Warrants Jul 2019 A	100,000,000	2019/02/28	2019/07/19 30.20
28275	J P Morgan SP BV - Lenovo European Warrants Aug 2019 A	40,000,000	2019/02/28	2019/08/30 10.08
28277	J P Morgan SP BV - Lenovo European Put Warrants Aug 2019 B	40,000,000	2019/02/28	2019/08/30 14.44
28292	J P Morgan SP BV - Sands China Ltd European Put Wts Aug 19 B	80,000,000	2019/02/28	2019/08/30 25.68
28366	SG Issuer - CICC European Warrants Sep 2019 A	35,000,000	2019/02/28	2019/09/13 10.15
28378	SG Issuer - CKH Holdings European Warrants Aug 2019 A	40,000,000	2019/02/28	2019/08/30 10.80
28365	SG Issuer - Cathay Pac Air Euro European Warrants Aug 2019 A	18,000,000	2019/02/28	2019/08/30 10.26
28369	SG Issuer - China South Air European Warrants Aug 2019 A	20,000,000	2019/02/28	2019/08/27 11.40
28373	SG Issuer - China Taiping European Warrants Jul 2019 B	50,000,000	2019/02/28	2019/07/31 11.75
28383	SG Issuer - China Tower European Put Warrants Sep 2019 D	60,000,000	2019/02/28	2019/09/30 15.00
28380	SG Issuer - HKEX European Warrants Sep 2019 B	20,000,000	2019/02/28	2019/09/03 12.60
28363	SG Issuer - HSI European Warrants Sep 2019 B	300,000,000	2019/02/28	2019/09/27 45.00
28362	SG Issuer - HSI European Put Warrants Jun 2019 G	300,000,000	2019/02/28	2019/06/27 57.00
28390	SG Issuer - Kingdee Intl European Warrants Nov 2019 A	80,000,000	2019/02/28	2019/11/25 12.00
28372	SG Issuer - Lenovo European Warrants Jun 2019 C	40,000,000	2019/02/28	2019/06/04 10.00
28392	SG Issuer - Lenovo European Put Warrants Aug 2019 B	20,000,000	2019/02/28	2019/08/30 10.00
28381	SG Issuer - PICC Group European Warrants May 2019 A	70,000,000	2019/02/28	2019/05/28 10.50

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28394	SG Issuer - Ping An European Warrants Jul 2019 F	80,000,000	2019/02/28	2019/07/25 12.00
28238	UBS AG - CGN Power European Warrants Nov 2019 A	50,000,000	2019/02/28	2019/11/29 12.50
28206	UBS AG - China Life European Warrants Sep 2019 B	100,000,000	2019/02/28	2019/09/23 15.00
28255	UBS AG - CSOP A50 ETF European Warrants Jun 2019 C	60,000,000	2019/02/28	2019/06/26 10.80
28252	UBS AG - China South Air European Warrants Aug 2019 A	20,000,000	2019/02/28	2019/08/27 10.12
28256	UBS AG - GAC European Warrants Aug 2019 A	13,000,000	2019/02/28	2019/08/27 10.05
28250	UBS AG - Jiangxi Copper European Warrants Aug 2019 A	13,000,000	2019/02/28	2019/08/27 10.49
28241	UBS AG - Lenovo European Warrants Jun 2019 B	35,000,000	2019/02/28	2019/06/26 14.39
28245	UBS AG - Melco Int'l Dev European Warrants Oct 2019 A	70,000,000	2019/02/28	2019/10/28 17.99
28311	Bank Vontobel - BOC HK European Warrants Dec 2019 A	40,000,000	2019/02/28	2019/12/30 10.04
28306	Bank Vontobel - China Comm Cons European Warrants Nov 2019 A	20,000,000	2019/02/28	2019/11/01 10.22
28318	Bank Vontobel - HSBC European Put Warrants Sep 2019 A	40,000,000	2019/02/28	2019/09/27 10.68
28315	Bank Vontobel - Lenovo European Warrants Oct 2019 A	20,000,000	2019/02/28	2019/10/29 10.02
28310	Bank Vontobel - Xiaomi European Warrants Jan 2020 B	50,000,000	2019/02/28	2020/01/13 10.55
14829#	J P Morgan SP BV - IGG European Warrants Jun 2019 A	40,000,000	2019/02/28	2019/06/21 12.80
28398	BOCI Asia Ltd. - HKEX European Warrants Jun 2019 E	200,000,000	2019/03/01	2019/06/26 31.00
28499	Credit Suisse AG - HSBC European Wts Jul 2019 A	100,000,000	2019/03/01	2019/07/16 28.00
28502	Credit Suisse AG - Kingdee Int'l European Warrants Nov 2019 A	80,000,000	2019/03/01	2019/11/11 12.00
28500	Credit Suisse AG - Ping An European Warrants Jul 2019 H	150,000,000	2019/03/01	2019/07/31 22.50
28482	Goldman Sachs SP (Asia) - Geely Auto European Wts Sep 2019 B	80,000,000	2019/03/01	2019/09/23 16.32
28481	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jun 2019 E	80,000,000	2019/03/01	2019/06/25 12.00
28479	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2019 G	150,000,000	2019/03/01	2019/08/29 22.50
28483	Goldman Sachs SP (Asia) - SJM Holdings Euro Wts Dec 2019 A	80,000,000	2019/03/01	2019/12/16 12.00
28400	HK Bank - CITIC Sec European Warrants Dec 2019 A	50,000,000	2019/03/01	2019/12/31 12.50
28399	HK Bank - Tencent European Put Warrants Sep 2019 B	80,000,000	2019/03/01	2019/09/02 20.00
28404	Haitong Int'l Sec - HKEX European Warrants Dec 2019 B	100,000,000	2019/03/01	2019/12/24 19.00
28434	J P Morgan SP BV - AAC Tech European Warrants Sep 2019 A	25,000,000	2019/03/01	2019/09/02 11.58
28436	J P Morgan SP BV - CAM CSI300 European Warrants Dec 2019 A	100,000,000	2019/03/01	2019/12/02 25.10
28428	J P Morgan SP BV - China Cinda European Warrants Jul 2019 A	70,000,000	2019/03/01	2019/07/31 10.50
28438	J P Morgan SP BV - CITIC Sec European Warrants Dec 2019 B	100,000,000	2019/03/01	2019/12/31 25.10
28416	J P Morgan SP BV - HKEX European Warrants Dec 2019 C	200,000,000	2019/03/01	2019/12/20 50.20
28415	J P Morgan SP BV - HKEX European Warrants Dec 2020 A	200,000,000	2019/03/01	2020/12/23 31.20
28414	J P Morgan SP BV - HSCEI European Wts Dec 2019 A	200,000,000	2019/03/01	2019/12/30 31.80
28411	J P Morgan SP BV - HSI European Put Warrants Sep 2019 C	400,000,000	2019/03/01	2019/09/27 101.60
28439	J P Morgan SP BV - HTSC European Warrants Dec 2019 A	60,000,000	2019/03/01	2019/12/03 15.18
28427	J P Morgan SP BV - Hua Hong Semi European Warrants Sep 2019 A	60,000,000	2019/03/01	2019/09/02 15.18
28407	J P Morgan SP BV - ICBC European Warrants Jul 2019 B	100,000,000	2019/03/01	2019/07/24 15.50
28410	J P Morgan SP BV - ICBC European Warrants Sep 2019 A	100,000,000	2019/03/01	2019/09/30 15.60
28426	J P Morgan SP BV - IGG European Warrants Sep 2019 A	60,000,000	2019/03/01	2019/09/02 15.24
28432	J P Morgan SP BV - Xiaomi European Warrants Jun 2019 D	20,000,000	2019/03/01	2019/06/28 10.54
28425	J P Morgan SP BV - ZTE European Warrants Sep 2019 B	40,000,000	2019/03/01	2019/09/02 12.44
28395	Macquarie Bank Ltd. - Lenovo European Warrants Sep 2019 B	32,000,000	2019/03/01	2019/09/03 10.05
28396	Macquarie Bank Ltd. - Ping An European Warrants Sep 2019 D	5,300,000	2019/03/01	2019/09/03 10.03
28397	Macquarie Bank Ltd. - Ping An European Put Wts Oct 2019 B	14,500,000	2019/03/01	2019/10/03 10.12

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28485	SG Issuer - Kingsoft European Warrants Jun 2019 B	65,000,000	2019/03/01	2019/06/27	10.40
28486	SG Issuer - Kingsoft European Warrants Jun 2019 C	70,000,000	2019/03/01	2019/06/27	10.50
28491	SG Issuer - Kingsoft European Warrants Oct 2019 B	50,000,000	2019/03/01	2019/10/30	10.25
28498	SG Issuer - Lenovo European Warrants Sep 2019 A	30,000,000	2019/03/01	2019/09/02	11.25
28497	SG Issuer - MGM China European Warrants Sep 2019 A	40,000,000	2019/03/01	2019/09/30	10.00
28494	SG Issuer - Maanshan Iron European Warrants Aug 2019 A	30,000,000	2019/03/01	2019/08/23	10.80
28444	UBS AG - Anta Sports European Warrants Sep 2019 B	30,000,000	2019/03/01	2019/09/23	10.17
28454	UBS AG - China Gas Hold European Warrants Sep 2019 A	30,000,000	2019/03/01	2019/09/23	11.10
28458	UBS AG - Xiaomi European Put Warrants Jul 2019 B	70,000,000	2019/03/01	2019/07/11	10.50
28456	UBS AG - ZTE European Warrants Nov 2019 A	35,000,000	2019/03/01	2019/11/18	12.04
28474	Bank Vontobel - CC Bank European Warrants Oct 2019 A	40,000,000	2019/03/01	2019/10/04	12.44
28462	Bank Vontobel - China Life European Warrants Aug 2019 A	50,000,000	2019/03/01	2019/08/28	10.05
28476	Bank Vontobel - CLP Holdings European Warrants Nov 2019 A	40,000,000	2019/03/01	2019/11/04	10.00
28464	Bank Vontobel - HKEX European Warrants Dec 2020 A	80,000,000	2019/03/01	2020/12/03	20.08
28471	Bank Vontobel - HSBC European Warrants Aug 2019 B	70,000,000	2019/03/01	2019/08/30	10.57
28478	Bank Vontobel - Power Assets European Warrants Feb 2020 A	40,000,000	2019/03/01	2020/02/28	10.00
28624	BOCI Asia Ltd. - BOC HK European Warrants Jul 2019 A	100,000,000	2019/03/04	2019/07/24	15.00
28626	BOCI Asia Ltd. - Bank of China European Warrants Sep 2019 A	80,000,000	2019/03/04	2019/09/23	14.40
28604	BOCI Asia Ltd. - CKH Holdings European Warrants Aug 2019 A	50,000,000	2019/03/04	2019/08/28	12.70
28615	BOCI Asia Ltd. - China Life European Warrants Sep 2019 B	100,000,000	2019/03/04	2019/09/26	16.00
28630	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Jun 2019 A	60,000,000	2019/03/04	2019/06/25	17.94
28631	BOCI Asia Ltd. - A50 China European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/09	16.64
28599	BOCI Asia Ltd. - Geely Auto European Warrants Jun 2019 C	100,000,000	2019/03/04	2019/06/21	15.00
28627	BOCI Asia Ltd. - HKEX European Put Warrants Aug 2019 A	100,000,000	2019/03/04	2019/08/29	15.00
28636	BOCI Asia Ltd. - HSI European Warrants Sep 2019 A	200,000,000	2019/03/04	2019/09/27	31.80
28640	BOCI Asia Ltd. - HSI European Put Warrants Aug 2019 C	200,000,000	2019/03/04	2019/08/29	30.00
28618	BOCI Asia Ltd. - Kingdee Int'l European Warrants Nov 2019 A	70,000,000	2019/03/04	2019/11/11	10.50
28607	BOCI Asia Ltd. - Ping An European Warrants Jul 2019 B	80,000,000	2019/03/04	2019/07/26	16.96
28612	BOCI Asia Ltd. - Ping An European Warrants Jul 2019 C	80,000,000	2019/03/04	2019/07/24	16.24
28617	BOCI Asia Ltd. - Sunny Optical European Warrants Sep 2019 A	150,000,000	2019/03/04	2019/09/25	22.50
28684	Credit Suisse AG - BYD Electronic European Wts Oct 2019 A	40,000,000	2019/03/04	2019/10/31	10.00
28701	Credit Suisse AG - CC Bank European Put Warrants Sep 2019 A	50,000,000	2019/03/04	2019/09/30	21.50
28688	Credit Suisse AG - CGS European Warrants Sep 2019 A	30,000,000	2019/03/04	2019/09/30	11.40
28685	Credit Suisse AG - CITIC Sec European Warrants Dec 2019 B	60,000,000	2019/03/04	2019/12/31	15.00
28673	Credit Suisse AG - CITIC Sec European Put Warrants Sep 2019 A	50,000,000	2019/03/04	2019/09/30	12.50
28689	Credit Suisse AG - China Life European Warrants Sep 2019 B	120,000,000	2019/03/04	2019/09/24	18.00
28704	Credit Suisse AG - CM Bank European Put Warrants Sep 2019 A	50,000,000	2019/03/04	2019/09/30	13.50
28678	Credit Suisse AG - HKEX European Put Warrants Sep 2019 B	100,000,000	2019/03/04	2019/09/05	25.00
28686	Credit Suisse AG - Haitong Sec European Warrants Sep 2019 A	25,000,000	2019/03/04	2019/09/30	19.50
28683	Credit Suisse AG - Hua Hong Semi European Warrants Sep 2019 A	30,000,000	2019/03/04	2019/09/03	10.20
28702	Credit Suisse AG - ICBC European Put Warrants Sep 2019 A	50,000,000	2019/03/04	2019/09/30	21.50
28694	Credit Suisse AG - NCI European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/30	11.20
28690	Credit Suisse AG - PICC Group European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/30	10.00
28698	Credit Suisse AG - Ping An European Warrants Sep 2019 C	150,000,000	2019/03/04	2019/09/30	40.50

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28662	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Jul 2021 A	60,000,000	2019/03/04	2021/07/27	10.02
28660	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2019 B	100,000,000	2019/03/04	2019/09/23	17.90
28668	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jun 2019 D	60,000,000	2019/03/04	2019/06/25	19.56
28665	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2019 F	100,000,000	2019/03/04	2019/07/24	15.00
28506	HK Bank - China Life European Put Warrants Jul 2019 B	80,000,000	2019/03/04	2019/07/03	12.00
28517	HK Bank - HKEX European Warrants Dec 2019 B	80,000,000	2019/03/04	2019/12/20	16.00
28505	HK Bank - HKEX European Put Warrants Sep 2019 B	80,000,000	2019/03/04	2019/09/30	20.00
28503	HK Bank - HSI European Put Warrants Jun 2019 E	200,000,000	2019/03/04	2019/06/27	36.00
28518	HK Bank - HSI European Put Warrants Sep 2019 B	200,000,000	2019/03/04	2019/09/27	50.00
28658	Haitong Int'l Sec - CAM CSI300 European Warrants Sep 2019 B	70,000,000	2019/03/04	2019/09/30	19.60
28651	Haitong Int'l Sec - BYD Company European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/04	26.00
28656	Haitong Int'l Sec - CM Bank European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/25	13.20
28657	Haitong Int'l Sec - CSOP A50 ETF European Warrants Jul 2019 A	40,000,000	2019/03/04	2019/07/03	17.20
28659	Haitong Int'l Sec - A50 China European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/30	29.60
28655	Haitong Int'l Sec - ICBC European Warrants Oct 2019 A	60,000,000	2019/03/04	2019/10/28	15.00
28672	Haitong Int'l Sec - Link REIT European Warrants Sep 2019 A	70,000,000	2019/03/04	2019/09/30	18.20
28650	Haitong Int'l Sec - Ping An European Warrants Sep 2019 A	80,000,000	2019/03/04	2019/09/04	32.00
28654	Haitong Int'l Sec - Techtronic European Warrants Dec 2019 A	30,000,000	2019/03/04	2019/12/30	21.90
28545	J P Morgan SP BV - AIA European Put Warrants Sep 2019 A	100,000,000	2019/03/04	2019/09/03	38.30
28594	J P Morgan SP BV - CAM CSI300 European Warrants Sep 2019 B	80,000,000	2019/03/04	2019/09/30	20.00
28569	J P Morgan SP BV - BJ Ent Water European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/03	10.72
28592	J P Morgan SP BV - Bank of China European Wts Jan 2020 A	100,000,000	2019/03/04	2020/01/03	25.00
28591	J P Morgan SP BV - Bank of China European Put Wts Sep 2019 B	100,000,000	2019/03/04	2019/09/03	25.30
28598	J P Morgan SP BV - CC Bank European Wts Aug 2019 A	100,000,000	2019/03/04	2019/08/27	17.90
28589	J P Morgan SP BV - CC Bank European Put Wts Sep 2019 A	100,000,000	2019/03/04	2019/09/03	34.30
28530	J P Morgan SP BV - CGS European Warrants Sep 2019 B	40,000,000	2019/03/04	2019/09/03	13.92
28564	J P Morgan SP BV - CS Services European Warrants Sep 2019 A	60,000,000	2019/03/04	2019/09/16	15.00
28551	J P Morgan SP BV - China Life European Warrants Sep 2019 A	100,000,000	2019/03/04	2019/09/24	15.30
28554	J P Morgan SP BV - China Life European Warrants Sep 2020 A	100,000,000	2019/03/04	2020/09/30	25.40
28523	J P Morgan SP BV - China Life European Put Wts Dec 2019 D	100,000,000	2019/03/04	2019/12/31	25.00
28537	J P Morgan SP BV - CM Bank European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/03	10.04
28560	J P Morgan SP BV - China Mobile European Wts Jul 2019 B	100,000,000	2019/03/04	2019/07/31	15.10
28586	J P Morgan SP BV - Ch Molybdenum European Warrants Sep 2019 A	50,000,000	2019/03/04	2019/09/04	14.35
28541	J P Morgan SP BV - Chinasoft Int'l European Warrants Jul 19 A	40,000,000	2019/03/04	2019/07/26	15.64
28547	J P Morgan SP BV - HKEX European Warrants Nov 2020 A	200,000,000	2019/03/04	2020/11/30	50.20
28548	J P Morgan SP BV - HKEX European Put Wts Aug 2019 C	200,000,000	2019/03/04	2019/08/30	30.00
28561	J P Morgan SP BV - HSCEI ETF European Warrants Sep 2019 A	100,000,000	2019/03/04	2019/09/03	26.20
28546	J P Morgan SP BV - HSI European Warrants Oct 2019 B	400,000,000	2019/03/04	2019/10/30	100.40
28521	J P Morgan SP BV - HSI European Put Warrants Sep 2019 D	400,000,000	2019/03/04	2019/09/27	100.40
28522	J P Morgan SP BV - HSI European Put Warrants Oct 2019 A	400,000,000	2019/03/04	2019/10/30	100.40
28565	J P Morgan SP BV - Haitong Sec European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/16	20.64
28590	J P Morgan SP BV - ICBC European Put Wts Sep 2019 B	100,000,000	2019/03/04	2019/09/03	36.80
28528	J P Morgan SP BV - Jiangxi Copper European Wts Dec 2020 A	60,000,000	2019/03/04	2020/12/31	15.30
28525	J P Morgan SP BV - Kingboard Hldg European Wts Sep 2019 A	40,000,000	2019/03/04	2019/09/03	10.16

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28535	J P Morgan SP BV - Kingsoft European Warrants Dec 2019 B	40,000,000	2019/03/04	2019/12/03	10.08
28527	J P Morgan SP BV - MGM China European Warrants Dec 2019 A	40,000,000	2019/03/04	2019/12/31	10.04
28538	J P Morgan SP BV - NCI European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/03	10.20
28544	J P Morgan SP BV - PICC P&C European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/03	11.24
28555	J P Morgan SP BV - Ping An European Warrants Jul 2019 D	200,000,000	2019/03/04	2019/07/26	34.20
28558	J P Morgan SP BV - Ping An European Warrants Nov 2019 C	200,000,000	2019/03/04	2019/11/04	50.20
28559	J P Morgan SP BV - Ping An European Put Warrants Aug 2019 A	200,000,000	2019/03/04	2019/08/23	56.60
28563	J P Morgan SP BV - Tracker Fund European Put Warrants Dec19A	100,000,000	2019/03/04	2019/12/31	25.00
28531	J P Morgan SP BV - Techtronic European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/03	10.64
28529	J P Morgan SP BV - Want Want Ch European Warrants Sep 2019 A	30,000,000	2019/03/04	2019/09/03	13.08
28532	J P Morgan SP BV - Wharf Reic European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/16	10.04
28536	J P Morgan SP BV - Zijin Mining European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/30	10.24
28511	Macquarie Bank Ltd. - China Tower European Warrants Oct 19 A	40,000,000	2019/03/04	2019/10/03	10.00
28707	SG Issuer - Bank of China European Warrants Sep 2019 A	80,000,000	2019/03/04	2019/09/23	12.00
28740	SG Issuer - China EB Ltd European Warrants Dec 2019 A	60,000,000	2019/03/04	2019/12/31	15.00
28713	SG Issuer - CGS European Warrants Sep 2019 A	16,000,000	2019/03/04	2019/09/12	10.08
28741	SG Issuer - CICC European Warrants Sep 2019 B	40,000,000	2019/03/04	2019/09/30	10.00
28708	SG Issuer - China Cinda European Warrants Jul 2019 A	70,000,000	2019/03/04	2019/07/31	11.90
28712	SG Issuer - CITIC Bank European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/30	10.00
28742	SG Issuer - CITIC Sec European Warrants Sep 2019 B	10,000,000	2019/03/04	2019/09/30	12.00
28716	SG Issuer - China Life European Warrants Sep 2019 B	70,000,000	2019/03/04	2019/09/30	10.50
28717	SG Issuer - Minsheng Bank European Warrants Jul 2019 C	40,000,000	2019/03/04	2019/07/31	10.00
28729	SG Issuer - CSOP A50 ETF European Warrants Jun 2019 C	35,000,000	2019/03/04	2019/06/25	10.15
28718	SG Issuer - China Taiping European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/30	10.00
28733	SG Issuer - A50 China European Warrants Sep 2019 A	20,000,000	2019/03/04	2019/09/03	10.00
28723	SG Issuer - Geely Auto European Warrants Jun 2019 C	80,000,000	2019/03/04	2019/06/28	12.00
28722	SG Issuer - Galaxy Ent European Put Warrants Jun 2019 B	70,000,000	2019/03/04	2019/06/03	11.90
28730	SG Issuer - HSCEI ETF European Warrants Sep 2019 A	30,000,000	2019/03/04	2019/09/03	15.00
28737	SG Issuer - HSI European Warrants Aug 2019 E	300,000,000	2019/03/04	2019/08/29	45.00
28738	SG Issuer - HSI European Warrants Sep 2019 C	300,000,000	2019/03/04	2019/09/27	75.00
28745	SG Issuer - HTSC European Warrants Oct 2019 B	60,000,000	2019/03/04	2019/10/22	15.00
28724	SG Issuer - Haitong Sec European Warrants Sep 2019 A	10,000,000	2019/03/04	2019/09/30	10.00
28727	SG Issuer - NCI European Warrants Jul 2019 A	40,000,000	2019/03/04	2019/07/31	10.00
28728	SG Issuer - Ping An European Warrants Jul 2019 G	80,000,000	2019/03/04	2019/07/24	12.00
28744	SG Issuer - Ping An European Put Warrants Sep 2019 B	60,000,000	2019/03/04	2019/09/30	19.50
28572	UBS AG - CAM CSI300 European Warrants Nov 2019 A	70,000,000	2019/03/04	2019/11/08	22.47
28582	UBS AG - CC Bank European Warrants Oct 2019 A	70,000,000	2019/03/04	2019/10/14	19.88
28583	UBS AG - CC Bank European Warrants Dec 2019 A	70,000,000	2019/03/04	2019/12/16	20.37
28570	UBS AG - China Life European Warrants Sep 2019 C	100,000,000	2019/03/04	2019/09/03	34.70
28574	UBS AG - CSOP A50 ETF European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/09	14.56
28575	UBS AG - CSOP A50 ETF European Put Warrants Nov 2020 A	70,000,000	2019/03/04	2020/11/09	17.50
28581	UBS AG - A50 China European Warrants Sep 2019 A	40,000,000	2019/03/04	2019/09/09	24.84
28585	UBS AG - HSI European Put Warrants Aug 2019 C	300,000,000	2019/03/04	2019/08/29	46.50
28573	UBS AG - Kingsoft European Warrants Jun 2019 A	70,000,000	2019/03/04	2019/06/14	10.50

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股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
28576	UBS AG - PICC Group European Warrants Oct 2019 A	40,000,000	2019/03/04	2019/10/25 10.00
28648	Bank Vontobel - CSOP A50 ETF European Warrants Jun 2019 B	30,000,000	2019/03/04	2019/06/25 10.23
28645	Bank Vontobel - CSOP A50 ETF European Put Warrants Dec 2019A	70,000,000	2019/03/04	2019/12/23 10.57
15568#	Credit Suisse AG - AAC Tech European Warrants May 2019 A	45,000,000	2019/03/04	2019/05/15 10.17
21499#	J P Morgan SP BV - China Life European Warrants Jun 2019 B	150,000,000	2019/03/04	2019/06/28 10.95
23812#	J P Morgan SP BV - Ping An European Warrants Nov 2019 A	900,000,000	2019/03/04	2019/11/22 18.00
28872	Credit Suisse AG - CHALCO European Wts Sep 2019 A	30,000,000	2019/03/05	2019/09/04 10.20
28853	Credit Suisse AG - Bank of China European Wts Nov 2019 A	60,000,000	2019/03/05	2019/11/29 15.00
28858	Credit Suisse AG - Bank of China European Put Wts Sep 2019 B	40,000,000	2019/03/05	2019/09/04 10.00
28851	Credit Suisse AG - CC Bank European Warrants Sep 2019 B	60,000,000	2019/03/05	2019/09/04 15.00
28856	Credit Suisse AG - CITIC Bank European Warrants Sep 2019 A	40,000,000	2019/03/05	2019/09/04 10.00
28863	Credit Suisse AG - China Life European Warrants Sep 2019 C	25,000,000	2019/03/05	2019/09/04 11.25
28854	Credit Suisse AG - CM Bank European Warrants Oct 2019 A	50,000,000	2019/03/05	2019/10/31 12.50
28855	Credit Suisse AG - Minsheng Bank European Wts Sep 2019 A	35,000,000	2019/03/05	2019/09/04 10.50
28876	Credit Suisse AG - Ch Molybdenum European Wts Sep 2019 A	30,000,000	2019/03/05	2019/09/30 10.50
28879	Credit Suisse AG - CSOP A50 ETF European Warrants Jun 2019 B	50,000,000	2019/03/05	2019/06/25 15.00
28861	Credit Suisse AG - China Taiping European Warrants Oct 2019A	40,000,000	2019/03/05	2019/10/31 10.00
28847	Credit Suisse AG - HSI European Warrants Sep 2019 A	200,000,000	2019/03/05	2019/09/27 50.00
28852	Credit Suisse AG - ICBC European Warrants Sep 2019 B	60,000,000	2019/03/05	2019/09/04 15.00
28871	Credit Suisse AG - Maanshan Iron European Warrants Jun 2019A	40,000,000	2019/03/05	2019/06/21 10.00
28849	Credit Suisse AG - Ping An European Warrants Oct 2019 A	150,000,000	2019/03/05	2019/10/31 37.50
28864	Credit Suisse AG - Ping An European Put Warrants Aug 2019 A	100,000,000	2019/03/05	2019/08/30 35.00
28877	Credit Suisse AG - Techtronic Ind European Wts Sep 2019 A	25,000,000	2019/03/05	2019/09/04 10.00
28875	Credit Suisse AG - Zijin Mining European Warrants Sep 2019 A	40,000,000	2019/03/05	2019/09/30 10.00
28816	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Sep 2019 B	80,000,000	2019/03/05	2019/09/05 20.00
28812	Goldman Sachs SP (Asia) - CITIC Sec European Wts Oct 2019 B	80,000,000	2019/03/05	2019/10/30 20.00
28807	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2019 C	100,000,000	2019/03/05	2019/09/24 15.00
28810	Goldman Sachs SP (Asia) - A50 China European Wts Aug 2019 A	80,000,000	2019/03/05	2019/08/19 52.48
28815	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Sep 2019 A	40,000,000	2019/03/05	2019/09/05 22.16
28811	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2019 G	100,000,000	2019/03/05	2019/06/11 20.60
28753	HK Bank - Agricultural Bank European Warrants Sep 2019 A	50,000,000	2019/03/05	2019/09/30 12.50
28758	HK Bank - Bank of China European Warrants Sep 2019 B	60,000,000	2019/03/05	2019/09/23 10.80
28762	HK Bank - CITIC Bank European Warrants Sep 2019 A	40,000,000	2019/03/05	2019/09/30 10.00
28752	HK Bank - China Life European Warrants Sep 2019 A	80,000,000	2019/03/05	2019/09/24 12.00
28756	HK Bank - ICBC European Warrants Aug 2019 A	50,000,000	2019/03/05	2019/08/23 11.00
28806	Haitong Int'l Sec - AIA European Warrants Aug 2019 A	60,000,000	2019/03/05	2019/08/27 15.00
28803	Haitong Int'l Sec - HSI European Warrants Aug 2019 D	150,000,000	2019/03/05	2019/08/29 28.50
28804	Haitong Int'l Sec - HSI European Put Warrants Jun 2019 B	150,000,000	2019/03/05	2019/06/27 28.50
28799	J P Morgan SP BV - AAC Tech European Warrants Jul 2021 A	100,000,000	2019/03/05	2021/07/30 17.50
28802	J P Morgan SP BV - China Coal European Warrants Sep 2019 A	33,000,000	2019/03/05	2019/09/04 10.23
28774	J P Morgan SP BV - China Life European Warrants Nov 2019 C	100,000,000	2019/03/05	2019/11/29 25.10
28788	J P Morgan SP BV - China Railway European Wts Sep 2019 A	40,000,000	2019/03/05	2019/09/04 12.32
28794	J P Morgan SP BV - Ch Railway Cons European Wts Sep 2019 A	25,000,000	2019/03/05	2019/09/04 12.20
28785	J P Morgan SP BV - CRRC European Warrants Dec 2020 A	100,000,000	2019/03/05	2020/12/31 15.10

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28796	J P Morgan SP BV - CSPC Pharma European Warrants Dec 2019 A	70,000,000	2019/03/05	2019/12/09 13.93
28775	J P Morgan SP BV - GF Sec European Warrants Mar 2020 A	70,000,000	2019/03/05	2020/03/31 17.57
28777	J P Morgan SP BV - HSI European Put Warrants Jun 2019 F	400,000,000	2019/03/05	2019/06/27 67.20
28798	J P Morgan SP BV - Ping An European Warrants Jul 2019 E	200,000,000	2019/03/05	2019/07/02 42.00
28782	J P Morgan SP BV - CRRC Times European Warrants Sep 2019 A	30,000,000	2019/03/05	2019/09/04 10.50
28751	Macquarie Bank Ltd. - A50 China European Warrants Sep 2019 A	17,900,000	2019/03/05	2019/09/04 10.26
28747	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Dec 2019 A	38,400,000	2019/03/05	2019/12/17 10.02
28750	Macquarie Bank Ltd. - MGM China European Warrants Sep 2019 A	40,000,000	2019/03/05	2019/09/04 10.08
28746	Macquarie Bank Ltd. - Techtronic Ind European Wts Oct 2019 A	22,100,000	2019/03/05	2019/10/16 10.01
28841	SG Issuer - CAM CSI300 European Warrants Sep 2019 B	80,000,000	2019/03/05	2019/09/04 20.00
28834	SG Issuer - CGS European Warrants Sep 2019 B	20,000,000	2019/03/05	2019/09/19 10.00
28836	SG Issuer - China Life European Warrants Sep 2019 C	15,000,000	2019/03/05	2019/09/04 10.20
28837	SG Issuer - China Life European Warrants Sep 2019 D	120,000,000	2019/03/05	2019/09/23 23.40
28843	SG Issuer - Sinopec Corp European Warrants Jun 2019 C	60,000,000	2019/03/05	2019/06/28 10.80
28842	SG Issuer - CPIC Eur Wt Sep 2019 A	40,000,000	2019/03/05	2019/09/30 10.00
28844	SG Issuer - CRRC European Warrants Dec 2020 A	100,000,000	2019/03/05	2020/12/31 15.00
28846	SG Issuer - HKEX European Warrants Dec 2020 B	200,000,000	2019/03/05	2020/12/31 32.00
28839	SG Issuer - Ping An European Warrants Jul 2019 H	80,000,000	2019/03/05	2019/07/26 19.60
28765	UBS AG - Bank of China European Warrants Sep 2019 A	70,000,000	2019/03/05	2019/09/23 10.92
28771	UBS AG - HSCEI European Warrants Mar 2020 A	200,000,000	2019/03/05	2020/03/30 50.00
28773	UBS AG - HSI European Warrants Sep 2019 A	300,000,000	2019/03/05	2019/09/27 46.50
28766	UBS AG - Haitong Sec European Warrants Sep 2019 A	25,000,000	2019/03/05	2019/09/09 16.83
28772	UBS AG - Stanchart European Warrants Sep 2019 A	35,000,000	2019/03/05	2019/09/26 12.53
28768	UBS AG - Zijin Mining European Warrants Sep 2019 A	30,000,000	2019/03/05	2019/09/09 10.44
28827	Bank Vontobel - Bank of China European Warrants Sep 2019 A	60,000,000	2019/03/05	2019/09/26 11.10
28818	Bank Vontobel - CITIC Sec European Warrants Dec 2019 B	40,000,000	2019/03/05	2019/12/06 10.00
28821	Bank Vontobel - China Life European Warrants Sep 2019 A	60,000,000	2019/03/05	2019/09/24 10.08
28820	Bank Vontobel - China Life European Put Warrants Oct 2019 B	40,000,000	2019/03/05	2019/10/04 10.00
28823	Bank Vontobel - COSCO Ship Hold European Warrants Dec 2019 A	25,000,000	2019/03/05	2019/12/05 10.03
28829	Bank Vontobel - CSPC Pharma European Warrants Dec 2019 A	50,000,000	2019/03/05	2019/12/09 10.55
28822	Bank Vontobel - ICBC European Warrants Sep 2019 A	55,000,000	2019/03/05	2019/09/23 10.01
28828	Bank Vontobel - Kingsoft European Warrants Nov 2019 A	45,000,000	2019/03/05	2019/11/01 10.35
28830	Bank Vontobel - Ping An European Warrants Jul 2019 E	40,000,000	2019/03/05	2019/07/29 10.00
28831	Bank Vontobel - Ping An European Warrants Nov 2019 A	40,000,000	2019/03/05	2019/11/04 10.00
28832	Bank Vontobel - Ping An European Put Warrants Oct 2019 A	25,000,000	2019/03/05	2019/10/28 10.78
28826	Bank Vontobel - Swire Pacific A European Warrants Dec 2019 A	25,000,000	2019/03/05	2019/12/23 10.03
28824	Bank Vontobel - Zijin Mining European Warrants Sep 2019 A	40,000,000	2019/03/05	2019/09/06 10.04
28895	BNP Paribas Issuance B.V. - Bank of China Euro Wt Mar 2020 A	50,000,000	2019/03/06	2020/03/03 13.50
28884	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Dec 2019 A	50,000,000	2019/03/06	2019/12/03 13.50
28886	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Mar 2020 A	50,000,000	2019/03/06	2020/03/03 13.50
28888	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Jun 2020 B	50,000,000	2019/03/06	2020/06/02 14.00
28892	BNP Paribas Issuance B.V. - CSOP A50 ETF Eu Wts Oct 2019 A	40,000,000	2019/03/06	2019/10/03 17.20
28894	BNP Paribas Issuance B.V. - A50 China Euro Wts Oct 2019 A	40,000,000	2019/03/06	2019/10/03 15.60
28896	BNP Paribas Issuance B.V. - Lenovo European Wts Oct 2019 A	50,000,000	2019/03/06	2019/10/03 14.00

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28891	BNP Paribas Issuance B.V. - SHK Ppt Euro Warrants Dec 2019 A	40,000,000	2019/03/06	2019/12/03 19.60
28933	Credit Suisse AG - AAC Tech European Warrants Jul 2021 A	100,000,000	2019/03/06	2021/07/27 15.00
28936	Credit Suisse AG - Agricultural Bank European Wts Dec 2019 A	60,000,000	2019/03/06	2019/12/31 15.00
28938	Credit Suisse AG - BOC HK European Warrants Feb 2020 A	60,000,000	2019/03/06	2020/02/28 15.00
28937	Credit Suisse AG - BankComm European Warrants Sep 2019 A	40,000,000	2019/03/06	2019/09/05 10.00
28939	Credit Suisse AG - China Life European Warrants Feb 2020 A	120,000,000	2019/03/06	2020/02/28 30.00
28946	Credit Suisse AG - Sinopec Corp European Warrants Jun 2019 A	50,000,000	2019/03/06	2019/06/28 10.00
28940	Credit Suisse AG - CPIC European Warrants Sep 2019 A	40,000,000	2019/03/06	2019/09/30 10.00
28941	Credit Suisse AG - China Railway European Warrants Sep 2019A	30,000,000	2019/03/06	2019/09/05 10.80
28942	Credit Suisse AG - CRRC European Warrants Dec 2020 A	100,000,000	2019/03/06	2020/12/31 15.00
28935	Credit Suisse AG - ICBC European Warrants Sep 2019 C	60,000,000	2019/03/06	2019/09/23 10.02
28947	Credit Suisse AG - PetroChina European Warrants Jul 2019 B	70,000,000	2019/03/06	2019/07/05 10.50
28944	Credit Suisse AG - CRRC Times European Warrants Sep 2019 A	30,000,000	2019/03/06	2019/09/05 10.20
28931	Goldman Sachs SP (Asia) - BYD Company Euro Wts Jun 2019 B	60,000,000	2019/03/06	2019/06/25 10.08
28932	Goldman Sachs SP (Asia) - China Mobile Euro Wts Sep 2019 A	80,000,000	2019/03/06	2019/09/06 20.00
28926	Goldman Sachs SP (Asia) - China Unicom Euro Wts Jul 2019 A	70,000,000	2019/03/06	2019/07/29 10.50
28930	Goldman Sachs SP (Asia) - A50 China European Wts Sep 2019 A	80,000,000	2019/03/06	2019/09/06 28.64
28928	Goldman Sachs SP (Asia) - ICBC European Warrants Sep 2019 A	80,000,000	2019/03/06	2019/09/23 12.00
28899	HK Bank - HSCEI European Warrants Dec 2019 B	100,000,000	2019/03/06	2019/12/30 25.00
28901	HK Bank - HSI European Warrants Sep 2019 C	150,000,000	2019/03/06	2019/09/27 22.50
28902	HK Bank - HSI European Warrants Sep 2019 D	150,000,000	2019/03/06	2019/09/27 37.50
28962	Haitong Int'l Sec - Agricultural Bank European Wts Jan 2020A	40,000,000	2019/03/06	2020/01/30 13.60
28963	Haitong Int'l Sec - BOC HK European Warrants Jan 2020 A	60,000,000	2019/03/06	2020/01/30 15.00
28964	Haitong Int'l Sec - Bank of China European Warrants Jan 20 A	60,000,000	2019/03/06	2020/01/30 15.00
28960	Haitong Int'l Sec - China Mobile European Wts Sep 2019 B	60,000,000	2019/03/06	2019/09/30 18.00
28961	Haitong Int'l Sec - China Mobile European Put Wts Oct 2019 A	60,000,000	2019/03/06	2019/10/30 30.00
28923	Haitong Int'l Sec - Tencent European Warrants Jul 2019 B	36,000,000	2019/03/06	2019/07/26 10.08
28925	Haitong Int'l Sec - Tencent European Put Warrants Jun 2019 M	36,000,000	2019/03/06	2019/06/06 10.08
28915	J P Morgan SP BV - CHALCO European Warrants Sep 2019 A	40,000,000	2019/03/06	2019/09/06 10.12
28922	J P Morgan SP BV - CITIC European Warrants Dec 2020 A	100,000,000	2019/03/06	2020/12/23 18.90
28918	J P Morgan SP BV - CM Bank European Put Warrants Sep 2019 B	80,000,000	2019/03/06	2019/09/30 20.24
28913	J P Morgan SP BV - CSPC Pharma European Put Warrants Jan20 A	70,000,000	2019/03/06	2020/01/31 17.57
28917	J P Morgan SP BV - China Tower European Warrants Oct 2019 A	200,000,000	2019/03/06	2019/10/23 32.00
28914	J P Morgan SP BV - Galaxy Ent European Put Wts Sep 2019 A	100,000,000	2019/03/06	2019/09/06 35.00
28916	J P Morgan SP BV - NagaCorp European Warrants Oct 2019 A	60,000,000	2019/03/06	2019/10/31 15.00
28920	J P Morgan SP BV - Zijin Mining European Warrants Dec 2019 A	40,000,000	2019/03/06	2019/12/03 19.16
28881	Macquarie Bank Ltd. - Air China European Warrants May 2020 A	39,500,000	2019/03/06	2020/05/15 10.03
28882	Macquarie Bank Ltd. - Lenovo European Put Warrants Dec 2019A	8,000,000	2019/03/06	2019/12/03 10.40
28880	Macquarie Bank Ltd. - Zijin Mining European Wts Jul 2020 A	15,500,000	2019/03/06	2020/07/03 10.03
28949	SG Issuer - AAC Tech European Warrants Jul 2021 A	200,000,000	2019/03/06	2021/07/30 30.00
28953	SG Issuer - HSCEI European Warrants Sep 2019 A	150,000,000	2019/03/06	2019/09/27 37.50
28958	SG Issuer - HSCEI European Warrants Mar 2020 A	150,000,000	2019/03/06	2020/03/30 37.50
28911	UBS AG - AAC Tech European Warrants Sep 2019 A	20,000,000	2019/03/06	2019/09/23 10.14
28908	UBS AG - CHALCO European Warrants Sep 2019 A	37,000,000	2019/03/06	2019/09/05 10.25

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28910	UBS AG - CM Bank European Warrants Oct 2019 A	70,000,000	2019/03/06	2019/10/28	17.50
28907	UBS AG - Minsheng Bank European Warrants Sep 2019 A	40,000,000	2019/03/06	2019/09/05	10.20
28906	UBS AG - China Mobile European Warrants Sep 2019 A	100,000,000	2019/03/06	2019/09/26	18.80
28903	UBS AG - HKEX European Put Warrants Sep 2019 C	300,000,000	2019/03/06	2019/09/16	89.70
28905	UBS AG - IGG European Warrants Oct 2019 A	70,000,000	2019/03/06	2019/10/24	11.13
28909	UBS AG - Lenovo European Warrants Sep 2019 A	30,000,000	2019/03/06	2019/09/05	10.44
28970	BOCI Asia Ltd. - AAC Tech European Warrants Sep 2019 A	30,000,000	2019/03/07	2019/09/23	11.16
28974	BOCI Asia Ltd. - CHALCO European Warrants Dec 2019 A	30,000,000	2019/03/07	2019/12/24	16.20
28969	BOCI Asia Ltd. - CAM CSI300 European Warrants Sep 2019 B	60,000,000	2019/03/07	2019/09/30	15.00
28976	BOCI Asia Ltd. - CGS European Warrants Sep 2019 A	80,000,000	2019/03/07	2019/09/09	20.96
28975	BOCI Asia Ltd. - CITIC Sec European Warrants Dec 2019 B	70,000,000	2019/03/07	2019/12/30	17.50
28977	BOCI Asia Ltd. - CM Bank European Warrants Sep 2019 A	70,000,000	2019/03/07	2019/09/30	17.50
28971	BOCI Asia Ltd. - PICC Group European Warrants Jul 2019 A	70,000,000	2019/03/07	2019/07/24	10.50
29022	Credit Suisse AG - AIA European Warrants Sep 2019 B	70,000,000	2019/03/07	2019/09/09	17.50
29024	Credit Suisse AG - AIA European Put Warrants Sep 2019 C	50,000,000	2019/03/07	2019/09/30	24.00
29019	Credit Suisse AG - China Coal European Warrants Sep 2019 A	40,000,000	2019/03/07	2019/09/09	10.00
29017	Credit Suisse AG - China Re European Warrants Nov 2019 A	40,000,000	2019/03/07	2019/11/29	10.00
29012	Credit Suisse AG - China Mobile European Warrants Sep 2019 A	50,000,000	2019/03/07	2019/09/30	12.50
29020	Credit Suisse AG - COSCO Sh Hold European Warrants Sep 2019A	30,000,000	2019/03/07	2019/09/30	12.00
29015	Credit Suisse AG - CSPC Pharma European Put Warrants Dec19A	60,000,000	2019/03/07	2019/12/31	15.00
29018	Credit Suisse AG - GF Sec European Warrants Sep 2019 A	60,000,000	2019/03/07	2019/09/23	10.02
29013	Credit Suisse AG - Zijin Mining European Warrants Nov 2019 A	40,000,000	2019/03/07	2019/11/29	19.20
29008	Goldman Sachs SP (Asia) - Sinopec Corp Euro Wts Jun 2019 A	60,000,000	2019/03/07	2019/06/21	10.02
29011	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2019 B	80,000,000	2019/03/07	2019/08/19	12.00
29009	Goldman Sachs SP (Asia) - PetroChina European Wts Jun 2019 C	80,000,000	2019/03/07	2019/06/27	12.00
29007	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2019 B	150,000,000	2019/03/07	2019/08/20	22.50
29006	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2019 A	150,000,000	2019/03/07	2019/09/25	22.50
28979	HK Bank - CAM CSI300 European Warrants Sep 2019 A	40,000,000	2019/03/07	2019/09/30	10.00
28982	HK Bank - PICC Group European Warrants Sep 2019 A	40,000,000	2019/03/07	2019/09/30	10.40
28995	Haitong Int'l Sec - CITIC Sec European Warrants Dec 2019 A	60,000,000	2019/03/07	2019/12/30	15.00
28986	Haitong Int'l Sec - Sinopec Corp European Put Wts Aug 2019 A	40,000,000	2019/03/07	2019/08/13	14.00
28998	Haitong Int'l Sec - HSI European Put Warrants Sep 2019 A	150,000,000	2019/03/07	2019/09/27	37.50
28987	Haitong Int'l Sec - PetroChina European Euro Put Wts Jun19B	80,000,000	2019/03/07	2019/06/21	12.00
29001	Haitong Int'l Sec - Xiaomi European Put Warrants Jan 2020 A	70,000,000	2019/03/07	2020/01/30	17.50
28967	J P Morgan SP BV - China Life European Put Wts Jun 2019 D	100,000,000	2019/03/07	2019/06/28	15.10
28997	J P Morgan SP BV - Galaxy Ent European Warrants Jul 2019 A	100,000,000	2019/03/07	2019/07/26	15.70
28983	Macquarie Bank Ltd. - AIA European Warrants Oct 2019 A	33,500,000	2019/03/07	2019/10/03	10.02
28984	Macquarie Bank Ltd. - ZTE European Warrants Oct 2020 A	9,800,000	2019/03/07	2020/10/06	10.11
29027	SG Issuer - BOC HK European Warrants Dec 2019 A	80,000,000	2019/03/07	2019/12/31	13.60
28990	UBS AG - HKEX European Warrants Aug 2019 A	30,000,000	2019/03/07	2019/08/27	23.31
28989	UBS AG - MTR Corporation European Warrants Sep 2019 A	60,000,000	2019/03/07	2019/09/30	15.00
28993	UBS AG - Tencent European Warrants Jun 2019 M	300,000,000	2019/03/07	2019/06/21	45.60
29005	Bank Vontobel - Lenovo European Warrants Dec 2019 A	20,000,000	2019/03/07	2019/12/02	10.02
29072	BNP Paribas Issuance B.V. - CC Bank Euro Wts Oct 2019 B	40,000,000	2019/03/08	2019/10/03	13.60

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29154	BNP Paribas Issuance B.V. - China Life Euro Wts Dec 2019 B	60,000,000	2019/03/08	2019/12/03 16.80
29147	BNP Paribas Issuance B.V. - Geely Auto Euro Wts Oct 2019 B	40,000,000	2019/03/08	2019/10/03 13.20
29073	BNP Paribas Issuance B.V. - Galaxy Ent Euro Wts Oct 2019 A	40,000,000	2019/03/08	2019/10/03 11.20
29148	BNP Paribas Issuance B.V. - HKEX European Wts Nov 2019 A	50,000,000	2019/03/08	2019/11/04 17.50
29155	BNP Paribas Issuance B.V. - HSBC European Wts Oct 2019 A	40,000,000	2019/03/08	2019/10/03 10.00
29077	BNP Paribas Issuance B.V. - Link REIT Euro Wts Oct 2019 A	40,000,000	2019/03/08	2019/10/03 13.20
29146	BNP Paribas Issuance B.V. - Tencent European Wts Oct 2019 J	40,000,000	2019/03/08	2019/10/03 11.20
29149	BNP Paribas Issuance B.V. - Xiaomi European Warrants Dec19 B	50,000,000	2019/03/08	2019/12/03 15.50
29208	Credit Suisse AG - Angang Steel European Warrants Dec 2019 A	60,000,000	2019/03/08	2019/12/31 15.00
29188	Credit Suisse AG - Avichina European Warrants Dec 2019 A	50,000,000	2019/03/08	2019/12/31 12.50
29206	Credit Suisse AG - China Life European Warrants Sep 2019 E	60,000,000	2019/03/08	2019/09/09 15.00
29202	Credit Suisse AG - China Life European Put Wts Sep 2019 D	60,000,000	2019/03/08	2019/09/09 15.00
29230	Credit Suisse AG - Cathay Pacific European Wts Nov 2019 A	40,000,000	2019/03/08	2019/11/08 10.00
29227	Credit Suisse AG - CSOP A50 ETF European Warrants Oct 2019 A	50,000,000	2019/03/08	2019/10/31 12.50
29221	Credit Suisse AG - China Unicom European Warrants Jul 2019 A	30,000,000	2019/03/08	2019/07/29 10.50
29228	Credit Suisse AG - A50 China European Warrants Oct 2019 A	50,000,000	2019/03/08	2019/10/31 12.50
29196	Credit Suisse AG - HKEX European Warrants Sep 2019 C	80,000,000	2019/03/08	2019/09/09 24.00
29224	Credit Suisse AG - Haitong Sec European Warrants Oct 2019 A	80,000,000	2019/03/08	2019/10/31 20.00
29225	Credit Suisse AG - Haitong Sec European Put Warrants Oct19 B	50,000,000	2019/03/08	2019/10/31 12.50
29183	Credit Suisse AG - Jiangxi Copper European Wts Sep 2019 A	60,000,000	2019/03/08	2019/09/30 15.00
29220	Credit Suisse AG - Lenovo European Warrants Mar 2020 A	80,000,000	2019/03/08	2020/03/31 20.00
29195	Credit Suisse AG - PICC European Warrants Dec 2019 A	60,000,000	2019/03/08	2019/12/31 15.00
29207	Credit Suisse AG - PICC Group European Warrants Jul 2019 B	60,000,000	2019/03/08	2019/07/24 10.02
29180	Credit Suisse AG - SJM Holdings European Put Warrants Sep19A	50,000,000	2019/03/08	2019/09/30 12.50
29219	Credit Suisse AG - SMIC European Warrants Oct 2019 A	50,000,000	2019/03/08	2019/10/31 12.50
29184	Credit Suisse AG - Zhaojin Mining European Warrants Sep 19 A	60,000,000	2019/03/08	2019/09/30 15.00
29104	Goldman Sachs SP (Asia) - Bank of China Euro Wts Sep 2019 A	80,000,000	2019/03/08	2019/09/23 12.00
29102	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2019 D	80,000,000	2019/03/08	2019/09/09 20.00
29100	Goldman Sachs SP (Asia) - CNOOC European Warrants Sep 2019 E	80,000,000	2019/03/08	2019/09/09 20.00
29093	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Sep 2019 A	100,000,000	2019/03/08	2019/09/09 25.00
29097	Goldman Sachs SP (Asia) - A50 China European Wts Sep 2019 B	100,000,000	2019/03/08	2019/09/09 25.00
29095	Goldman Sachs SP (Asia) - HKEX European Warrants Sep 2019 D	100,000,000	2019/03/08	2019/09/09 25.00
29036	HK Bank - CSOP A50 ETF European Warrants Dec 2019 A	80,000,000	2019/03/08	2019/12/31 20.00
29039	HK Bank - A50 China European Warrants Dec 2019 A	80,000,000	2019/03/08	2019/12/31 20.00
29037	HK Bank - Haitong Sec European Warrants Sep 2019 A	50,000,000	2019/03/08	2019/09/30 12.50
29134	Haitong Int'l Sec - AIA European Warrants Sep 2019 A	50,000,000	2019/03/08	2019/09/30 22.00
29143	Haitong Int'l Sec - HKEX European Warrants Sep 2019 C	40,000,000	2019/03/08	2019/09/09 10.00
29141	Haitong Int'l Sec - HSI European Put Warrants Sep 2019 B	150,000,000	2019/03/08	2019/09/27 31.50
29145	Haitong Int'l Sec - Ping An European Warrants Feb 2020 A	120,000,000	2019/03/08	2020/02/25 30.00
29136	Haitong Int'l Sec - Tencent European Warrants Oct 2019 A	100,000,000	2019/03/08	2019/10/03 21.00
29135	Haitong Int'l Sec - Tencent European Warrants Dec 2019 B	100,000,000	2019/03/08	2019/12/03 16.00
29142	J P Morgan SP BV - AIA European Warrants Dec 2020 A	200,000,000	2019/03/08	2020/12/31 51.40
29121	J P Morgan SP BV - Air China European Warrants Sep 2019 A	50,000,000	2019/03/08	2019/09/30 12.55
29129	J P Morgan SP BV - Country Garden European Wts Oct 2019 A	50,000,000	2019/03/08	2019/10/31 12.50

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29114	J P Morgan SP BV - CITIC Sec European Warrants Sep 2019 A	50,000,000	2019/03/08	2019/09/13	12.50
29111	J P Morgan SP BV - China Life European Warrants Sep 2019 B	100,000,000	2019/03/08	2019/09/09	25.20
29118	J P Morgan SP BV - CSOP A50 ETF European Warrants Oct 2019 A	100,000,000	2019/03/08	2019/10/30	25.10
29120	J P Morgan SP BV - Ch Southern Air European Warrants Dec19 A	50,000,000	2019/03/08	2019/12/31	12.65
29131	J P Morgan SP BV - China Unicom European Put Warrants Sep19A	50,000,000	2019/03/08	2019/09/09	16.85
29132	J P Morgan SP BV - Fosun Intl European Warrants Sep 2019 A	40,000,000	2019/03/08	2019/09/09	10.04
29115	J P Morgan SP BV - A50 China European Warrants Mar 2020 A	100,000,000	2019/03/08	2020/03/31	25.20
29133	J P Morgan SP BV - GAC European Warrants Oct 2019 A	40,000,000	2019/03/08	2019/10/31	10.04
29125	J P Morgan SP BV - Geely Auto European Warrants Sep 2019 C	50,000,000	2019/03/08	2019/09/09	13.65
29108	J P Morgan SP BV - HKEX European Warrants Sep 2019 A	100,000,000	2019/03/08	2019/09/09	25.90
29112	J P Morgan SP BV - Ping An European Warrants Sep 2019 A	100,000,000	2019/03/08	2019/09/13	32.70
29113	J P Morgan SP BV - Ping An European Warrants Dec 2020 C	500,000,000	2019/03/08	2020/12/31	125.00
29122	J P Morgan SP BV - Tencent European Warrants Sep 2019 A	150,000,000	2019/03/08	2019/09/09	37.50
29109	J P Morgan SP BV - Tracker Fund European Warrants Sep 2019 A	100,000,000	2019/03/08	2019/09/30	25.20
29082	Macquarie Bank Ltd. - China Life European Wts Nov 2019 A	40,000,000	2019/03/08	2019/11/04	10.04
29092	Macquarie Bank Ltd. - China Life European Put Wts Nov19B	23,500,000	2019/03/08	2019/11/04	10.08
29088	Macquarie Bank Ltd. - HKEX European Warrants Oct 2019 D	30,000,000	2019/03/08	2019/10/03	11.49
29091	Macquarie Bank Ltd. - HKEX European Put Warrants Dec 2019 B	40,000,000	2019/03/08	2019/12/03	10.04
29078	Macquarie Bank Ltd. - HSBC European Warrants Oct 2019 B	21,000,000	2019/03/08	2019/10/03	10.27
29080	Macquarie Bank Ltd. - HSBC European Put Warrants Jan 2020 A	37,000,000	2019/03/08	2020/01/03	10.03
29028	Macquarie Bank Ltd. - Ping An European Warrants Oct 2019 C	15,500,000	2019/03/08	2019/10/03	10.01
29031	Macquarie Bank Ltd. - Ping An European Warrants Oct 2019 D	27,000,000	2019/03/08	2019/10/03	10.04
29089	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 H	18,000,000	2019/03/08	2019/09/25	10.10
29090	Macquarie Bank Ltd. - Tencent European Put Wts Sep 2019 I	20,500,000	2019/03/08	2019/09/25	10.02
29032	Macquarie Bank Ltd. - Xiaomi European Warrants Oct 2019 A	35,500,000	2019/03/08	2019/10/03	10.05
29045	SG Issuer - CAM CSI300 European Put Warrants Sep 2019 C	40,000,000	2019/03/08	2019/09/30	10.00
29169	SG Issuer - CITIC Sec European Warrants Sep 2019 C	60,000,000	2019/03/08	2019/09/30	15.00
29174	SG Issuer - China Life European Warrants Sep 2019 F	60,000,000	2019/03/08	2019/09/30	15.00
29175	SG Issuer - China Life European Warrants Sep 2019 G	60,000,000	2019/03/08	2019/09/30	15.00
29164	SG Issuer - China Life European Put Warrants Sep 2019 E	60,000,000	2019/03/08	2019/09/30	15.00
29049	SG Issuer - CSOP A50 ETF European Warrants Sep 2019 A	100,000,000	2019/03/08	2019/09/30	29.00
29055	SG Issuer - CSOP A50 ETF European Warrants Nov 2019 B	100,000,000	2019/03/08	2019/11/29	25.00
29051	SG Issuer - CSOP A50 ETF Eu Put Wt Sep 2019 B	80,000,000	2019/03/08	2019/09/30	20.00
29166	SG Issuer - China Unicom European Warrants Jul 2019 C	30,000,000	2019/03/08	2019/07/29	10.05
29070	SG Issuer - A50 China European Put Warrants Oct 2019 A	60,000,000	2019/03/08	2019/10/31	15.00
29172	SG Issuer - HKEX European Warrants Sep 2019 C	100,000,000	2019/03/08	2019/09/30	29.00
29173	SG Issuer - HKEX European Warrants Sep 2019 D	100,000,000	2019/03/08	2019/09/30	25.00
29170	SG Issuer - Haitong Sec European Warrants Sep 2019 B	100,000,000	2019/03/08	2019/09/30	25.00
29176	SG Issuer - SUNAC European Warrants Aug 2019 A	40,000,000	2019/03/08	2019/08/23	14.00
29177	SG Issuer - SUNAC European Warrants Sep 2019 B	40,000,000	2019/03/08	2019/09/30	12.20
29040	SG Issuer - Zijin Mining European Warrants Jul 2019 A	30,000,000	2019/03/08	2019/07/31	10.05
29044	SG Issuer - Zijin Mining European Warrants Oct 2019 A	35,000,000	2019/03/08	2019/10/31	10.15
29105	UBS AG - NCI European Warrants Sep 2019 A	70,000,000	2019/03/08	2019/09/09	17.50
29107	UBS AG - Xiaomi European Warrants Sep 2019 A	100,000,000	2019/03/08	2019/09/26	15.00

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29159	Bank Vontobel - AIA European Warrants Aug 2019 D	40,000,000	2019/03/08	2019/08/29	10.00
29163	Bank Vontobel - HK & China Gas European Warrants Mar 2020 A	40,000,000	2019/03/08	2020/03/27	10.00
29156	Bank Vontobel - HKEX European Warrants Sep 2019 A	40,000,000	2019/03/08	2019/09/30	14.84
29158	Bank Vontobel - HKEX European Put Warrants Sep 2019 B	40,000,000	2019/03/08	2019/09/10	13.64
29160	Bank Vontobel - Jiangxi Copper European Warrants Oct 2019 A	40,000,000	2019/03/08	2019/10/15	11.32
29162	Bank Vontobel - SJM Holdings European Warrants Oct 2019 A	40,000,000	2019/03/08	2019/10/21	10.00
24860 [#]	J P Morgan SP BV - Ping An European Warrants Nov 2019 B	900,000,000	2019/03/08	2019/11/29	38.70
29243	BOCI Asia Ltd. - AAC Tech European Warrants Apr 2020 A	100,000,000	2019/03/11	2020/04/27	25.00
29247	BOCI Asia Ltd. - China Life European Warrants Sep 2019 C	60,000,000	2019/03/11	2019/09/11	15.00
29248	BOCI Asia Ltd. - China Mobile European Warrants Sep 2019 A	50,000,000	2019/03/11	2019/09/27	12.50
29240	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Sep 2019 A	60,000,000	2019/03/11	2019/09/11	15.00
29242	BOCI Asia Ltd. - HKEX European Warrants Sep 2019 A	100,000,000	2019/03/11	2019/09/11	25.00
29244	BOCI Asia Ltd. - Ping An European Warrants Dec 2020 B	400,000,000	2019/03/11	2020/12/31	100.00
29327	Credit Suisse AG - China Huarong European Warrants Sep 2019C	40,000,000	2019/03/11	2019/09/30	10.00
29326	Credit Suisse AG - China Cinda European Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/30	10.00
29328	Credit Suisse AG - CITIC Sec European Warrants Sep 2019 B	50,000,000	2019/03/11	2019/09/10	12.50
29350	Credit Suisse AG - CNOOC European Warrants Nov 2019 A	50,000,000	2019/03/11	2019/11/29	12.50
29343	Credit Suisse AG - CSOP A50 ETF European Warrants Nov 2019 A	50,000,000	2019/03/11	2019/11/29	12.50
29359	Credit Suisse AG - Dongfeng Motor European Wts Dec 2019 A	40,000,000	2019/03/11	2019/12/31	10.00
29335	Credit Suisse AG - Fosun Intl European Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/30	10.00
29334	Credit Suisse AG - GAC European Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/30	10.00
29349	Credit Suisse AG - Greatwall Motor European Wts Apr 2020 A	40,000,000	2019/03/11	2020/04/29	10.00
29324	Credit Suisse AG - HKEX European Warrants Sep 2019 D	80,000,000	2019/03/11	2019/09/10	20.00
29325	Credit Suisse AG - HKEX European Warrants Sep 2019 E	80,000,000	2019/03/11	2019/09/30	20.00
29329	Credit Suisse AG - Haitong Sec European Warrants Sep 2019 B	80,000,000	2019/03/11	2019/09/30	24.00
29358	Credit Suisse AG - Ping An European Warrants Mar 2020 A	300,000,000	2019/03/11	2020/03/31	75.00
29357	Credit Suisse AG - Ping An European Put Warrants Jan 2020 A	250,000,000	2019/03/11	2020/01/31	62.50
29355	Credit Suisse AG - SUNAC European Put Warrants Sep 2019 A	30,000,000	2019/03/11	2019/09/30	11.70
29336	Credit Suisse AG - Tracker Fund European Warrants Sep 2019 A	50,000,000	2019/03/11	2019/09/30	12.50
29340	Credit Suisse AG - Tracker Fund European Put Warrants Sep19B	40,000,000	2019/03/11	2019/09/30	10.00
29347	Credit Suisse AG - Xiaomi European Warrants Sep 2019 C	50,000,000	2019/03/11	2019/09/10	12.50
29317	Goldman Sachs SP (Asia) - CITIC Sec European Wts Sep 2019 A	50,000,000	2019/03/11	2019/09/11	12.50
29318	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2019 E	60,000,000	2019/03/11	2019/09/11	15.00
29301	Goldman Sachs SP (Asia) - Geely Auto European Wts Sep 2019 C	50,000,000	2019/03/11	2019/09/11	12.50
29296	Goldman Sachs SP (Asia) - PICC Group European Wts Jul 2019 A	70,000,000	2019/03/11	2019/07/24	10.50
29309	Goldman Sachs SP (Asia) - Ping An European Wts Sep 2019 A	80,000,000	2019/03/11	2019/09/20	20.00
29277	HK Bank - Ping An European Warrants Sep 2019 B	40,000,000	2019/03/11	2019/09/30	14.40
29279	HK Bank - Ping An European Warrants Dec 2019 B	100,000,000	2019/03/11	2019/12/31	25.00
29278	HK Bank - Ping An European Warrants Jul 2020 B	100,000,000	2019/03/11	2020/07/31	25.00
29289	Haitong Int'l Sec - CAM CSI300 European Warrants Oct 2019 A	40,000,000	2019/03/11	2019/10/30	10.00
29320	Haitong Int'l Sec - Angang Steel European Warrants Dec 2020A	60,000,000	2019/03/11	2020/12/31	15.00
29291	Haitong Int'l Sec - CGS European Warrants Jul 2020 A	80,000,000	2019/03/11	2020/07/31	20.80
29290	Haitong Int'l Sec - CITIC Sec European Warrants Dec 2019 B	50,000,000	2019/03/11	2019/12/31	11.50
29293	Haitong Int'l Sec - CNBM European Warrants Oct 2020 A	100,000,000	2019/03/11	2020/10/06	17.00

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29321	Haitong Int'l Sec - CNBM European Warrants May 2021 A	80,000,000	2019/03/11	2021/05/28 20.00
29322	Haitong Int'l Sec - CRRC European Warrants Feb 2022 A	60,000,000	2019/03/11	2022/02/28 15.00
29285	Haitong Int'l Sec - CSOP A50 ETF European Warrants Sep 2019A	80,000,000	2019/03/11	2019/09/25 20.00
29288	Haitong Int'l Sec - A50 China European Warrants Sep 2019 B	80,000,000	2019/03/11	2019/09/20 20.00
29261	Haitong Int'l Sec - HSI European Warrants Sep 2019 C	150,000,000	2019/03/11	2019/09/27 22.50
29262	Haitong Int'l Sec - HSI European Put Warrants Sep 2019 D	150,000,000	2019/03/11	2019/09/27 37.50
29295	Haitong Int'l Sec - Jiangxi Copper European Warrants Aug20A	70,000,000	2019/03/11	2020/08/31 18.20
29268	J P Morgan SP BV - CAM CSI300 European Put Wts Sep 2019 C	50,000,000	2019/03/11	2019/09/10 12.60
29270	J P Morgan SP BV - Conch Venture European Warrants Sep 2019A	40,000,000	2019/03/11	2019/09/30 10.12
29265	J P Morgan SP BV - China Life European Warrants Sep 2019 C	50,000,000	2019/03/11	2019/09/30 12.50
29276	J P Morgan SP BV - China Mobile European Wts Jun 2019 C	100,000,000	2019/03/11	2019/06/28 17.10
29266	J P Morgan SP BV - A50 China European Put Wts Dec 2019 A	150,000,000	2019/03/11	2019/12/31 37.95
29264	J P Morgan SP BV - HSI European Warrants Sep 2019 E	400,000,000	2019/03/11	2019/09/27 100.80
29274	J P Morgan SP BV - Nexteer European Warrants Sep 2019 A	35,000,000	2019/03/11	2019/09/30 10.22
29273	J P Morgan SP BV - PICC Group European Warrants Sep 2019 A	60,000,000	2019/03/11	2019/09/30 15.24
29272	J P Morgan SP BV - Ping An European Put Warrants Sep 2019 B	200,000,000	2019/03/11	2019/09/30 104.80
29269	J P Morgan SP BV - Xinyi Glass European Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/30 10.00
29233	Macquarie Bank Ltd. - Geely Auto European Wts Oct 2019 A	29,500,000	2019/03/11	2019/10/03 10.00
29236	Macquarie Bank Ltd. - SUNAC European Warrants Oct 2019 A	27,000,000	2019/03/11	2019/10/03 10.04
29393	SG Issuer - CAM CSI300 European Warrants Sep 2019 D	80,000,000	2019/03/11	2019/09/30 20.00
29407	SG Issuer - BankComm European Warrants Jul 2019 C	40,000,000	2019/03/11	2019/07/31 10.00
29397	SG Issuer - CC Bank European Put Warrants Aug 2019 A	60,000,000	2019/03/11	2019/08/30 23.10
29399	SG Issuer - CITIC Sec European Warrants Dec 2019 B	60,000,000	2019/03/11	2019/12/31 12.00
29385	SG Issuer - CM Bank European Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/03 10.00
29386	SG Issuer - China Tower European Warrants Oct 2019 A	80,000,000	2019/03/11	2019/10/31 14.40
29396	SG Issuer - A50 China European Warrants Nov 2019 A	100,000,000	2019/03/11	2019/11/29 25.00
29384	SG Issuer - HSI European Warrants Oct 2019 A	300,000,000	2019/03/11	2019/10/30 45.00
29383	SG Issuer - HSI European Put Warrants Sep 2019 D	300,000,000	2019/03/11	2019/09/27 45.00
29375	SG Issuer - Hua Hong Semi European Warrants Aug 2019 B	40,000,000	2019/03/11	2019/08/30 10.80
29402	SG Issuer - ICBC European Put Wt Jul 2019 B	60,000,000	2019/03/11	2019/07/31 16.20
29406	SG Issuer - Ping An European Warrants Sep 2019 C	80,000,000	2019/03/11	2019/09/30 20.00
29392	SG Issuer - SJM Holdings Eu Wt Oct 2019 A	60,000,000	2019/03/11	2019/10/04 15.00
29379	SG Issuer - SJM Holdings European Put Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/11 10.00
29388	SG Issuer - SMIC European Warrants Oct 2019 A	60,000,000	2019/03/11	2019/10/25 15.00
29249	UBS AG - CAM CSI300 European Warrants Jun 2019 A	70,000,000	2019/03/11	2019/06/10 13.51
29260	UBS AG - CGS European Warrants Aug 2020 A	70,000,000	2019/03/11	2020/08/10 17.50
29250	UBS AG - CITIC Bank European Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/10 10.00
29254	UBS AG - CSOP A50 ETF European Warrants Sep 2019 B	70,000,000	2019/03/11	2019/09/30 17.99
29255	UBS AG - A50 China European Put Warrants Oct 2019 A	70,000,000	2019/03/11	2019/10/14 17.50
29256	UBS AG - Maanshan Iron European Warrants Sep 2019 A	30,000,000	2019/03/11	2019/09/11 11.70
29251	UBS AG - PICC Group European Warrants Sep 2019 A	40,000,000	2019/03/11	2019/09/10 10.00
29259	UBS AG - PSBC European Warrants Sep 2019 A	36,000,000	2019/03/11	2019/09/10 10.12
29361	Bank Vontobel - CC Bank European Warrants Oct 2019 B	40,000,000	2019/03/11	2019/10/04 10.04
29363	Bank Vontobel - CC Bank European Put Warrants Oct 2019 C	30,000,000	2019/03/11	2019/10/03 11.73

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29365	Bank Vontobel - CSOP A50 ETF European Warrants Oct 2019 A	40,000,000	2019/03/11	2019/10/04 10.00
29370	Bank Vontobel - CSOP A50 ETF European Warrants Jul 2020 A	40,000,000	2019/03/11	2020/07/06 11.88
29364	Bank Vontobel - PetroChina European Warrants Nov 2019 A	70,000,000	2019/03/11	2019/11/29 10.92
29371	Bank Vontobel - Tencent European Warrants Nov 2019 A	50,000,000	2019/03/11	2019/11/05 15.00
29374	Bank Vontobel - Tencent European Put Warrants Oct 2019 A	80,000,000	2019/03/11	2019/10/04 26.40
25335#	J P Morgan SP BV - HSI European Put Warrants Jun 2019 E	400,000,000	2019/03/11	2019/06/27 22.80
29413	BOCI Asia Ltd. - China Tower European Warrants Sep 2019 B	80,000,000	2019/03/12	2019/09/16 20.24
29422	BOCI Asia Ltd. - Tencent European Wts Sep 2019 A	100,000,000	2019/03/12	2019/09/12 25.80
29415	BOCI Asia Ltd. - Tencent European Wts Nov 2019 A	200,000,000	2019/03/12	2019/11/01 31.20
29421	BOCI Asia Ltd. - Tencent European Wts Dec 2019 A	200,000,000	2019/03/12	2019/12/20 38.20
29424	BOCI Asia Ltd. - Tencent European Put Wts Jul 2019 C	200,000,000	2019/03/12	2019/07/25 41.40
29442	BNP Paribas Issuance B.V. - BYD Company Euro Wts Oct 2019 A	30,000,000	2019/03/12	2019/10/03 11.70
29436	BNP Paribas Issuance B.V. - Country Garden Eu Wt Apr 2020 A	50,000,000	2019/03/12	2020/04/02 12.50
29438	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Oct 2019 A	50,000,000	2019/03/12	2019/10/03 14.50
29439	BNP Paribas Issuance B.V. - China Life Euro Wts Dec 2019 C	50,000,000	2019/03/12	2019/12/03 14.00
29451	BNP Paribas Issuance B.V. - CSOP A50 ETF Eu Wts Feb 2020 A	50,000,000	2019/03/12	2020/02/04 12.50
29448	BNP Paribas Issuance B.V. - HKEX European Wts Oct 2019 B	40,000,000	2019/03/12	2019/10/03 10.80
29450	BNP Paribas Issuance B.V. - HSBC European Wts Dec 2019 A	40,000,000	2019/03/12	2019/12/03 10.40
29443	BNP Paribas Issuance B.V. - Tencent European Wts Feb 2020 A	80,000,000	2019/03/12	2020/02/04 20.00
29445	BNP Paribas Issuance B.V. - Tencent European Wts Apr 2020 A	80,000,000	2019/03/12	2020/04/02 20.00
29564	Credit Suisse AG - Ch Comm Cons European Warrants Sep 2019 A	20,000,000	2019/03/12	2019/09/30 10.00
29570	Credit Suisse AG - China EB Ltd European Warrants Dec 2019 A	50,000,000	2019/03/12	2019/12/31 12.50
29566	Credit Suisse AG - Link REIT European Warrants Sep 2020 A	50,000,000	2019/03/12	2020/09/30 12.50
29567	Credit Suisse AG - Link REIT European Put Warrants Aug 2020A	40,000,000	2019/03/12	2020/08/31 10.00
29552	Credit Suisse AG - SJM Holdings European Warrants Sep 2019 B	60,000,000	2019/03/12	2019/09/30 15.00
29553	Credit Suisse AG - Tencent Euro Put Wts Jun 2019 Q	200,000,000	2019/03/12	2019/06/21 30.00
29557	Credit Suisse AG - Tencent Euro Put Wts Jul 2019 C	200,000,000	2019/03/12	2019/07/30 36.00
29554	Credit Suisse AG - Tencent Euro Put Wts Aug 2019 C	200,000,000	2019/03/12	2019/08/26 30.00
29562	Credit Suisse AG - Xinyi Glass European Warrants Sep 2019 A	40,000,000	2019/03/12	2019/09/11 10.00
29563	Credit Suisse AG - Xinyi Solar European Warrants Sep 2019 A	25,000,000	2019/03/12	2019/09/11 11.00
29565	Credit Suisse AG - ZTE European Warrants Sep 2019 A	30,000,000	2019/03/12	2019/09/30 12.00
29575	Goldman Sachs SP (Asia) - Bank of China Euro Wts Nov 2019 A	80,000,000	2019/03/12	2019/11/22 12.00
29579	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2019 A	80,000,000	2019/03/12	2019/08/28 12.00
29583	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Aug 2019 A	80,000,000	2019/03/12	2019/08/23 21.92
29571	Goldman Sachs SP (Asia) - Tencent European Wt Nov 2019 A	150,000,000	2019/03/12	2019/11/01 22.50
29572	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2019 R	100,000,000	2019/03/12	2019/06/24 19.20
29435	Haitong Int'l Sec - China Mobile European Wts Jul 2019 B	60,000,000	2019/03/12	2019/07/31 10.80
29433	Haitong Int'l Sec - NCI European Warrants Sep 2019 A	40,000,000	2019/03/12	2019/09/30 10.80
29434	Haitong Int'l Sec - PICC European Warrants Dec 2019 A	50,000,000	2019/03/12	2019/12/31 12.50
29432	Haitong Int'l Sec - PICC Group European Warrants Jul 2019 A	60,000,000	2019/03/12	2019/07/24 12.00
29504	J P Morgan SP BV - Agricultural Bank Euro Warrants Oct 2019A	100,000,000	2019/03/12	2019/10/31 25.00
29489	J P Morgan SP BV - China Everbright Ltd Euro Wts Jan 2020 A	40,000,000	2019/03/12	2020/01/31 10.04
29503	J P Morgan SP BV - CITIC Bank European Warrants Sep 2019 A	50,000,000	2019/03/12	2019/09/30 12.50
29496	J P Morgan SP BV - China Mobile European Put Wts Sep 2019 A	100,000,000	2019/03/12	2019/09/11 42.40

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29495	J P Morgan SP BV - Cathay Pacific European Wts Nov 2019 A	35,000,000	2019/03/12	2019/11/29	10.01
29491	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2019 B	50,000,000	2019/03/12	2019/12/31	12.50
29409	J P Morgan SP BV - HKEX European Warrants Dec 2019 D	200,000,000	2019/03/12	2019/12/31	30.00
29506	J P Morgan SP BV - SJM Holdings European Put Warrants Oct19A	40,000,000	2019/03/12	2019/10/31	10.04
29497	J P Morgan SP BV - Tencent European Warrants Sep 2019 B	250,000,000	2019/03/12	2019/09/30	62.75
29501	J P Morgan SP BV - Tencent European Put Warrants Jun 2019 L	250,000,000	2019/03/12	2019/06/21	38.25
29500	J P Morgan SP BV - Tencent European Put Warrants Jul 2019 A	250,000,000	2019/03/12	2019/07/31	37.75
29502	J P Morgan SP BV - Tencent European Put Warrants Sep 2019 C	150,000,000	2019/03/12	2019/09/11	37.50
29492	J P Morgan SP BV - Weichai Power European Wts Dec 2019 A	60,000,000	2019/03/12	2019/12/31	15.00
29493	J P Morgan SP BV - Xiaomi European Warrants Sep 2019 C	50,000,000	2019/03/12	2019/09/11	12.55
29429	Macquarie Bank Ltd. - Sunny Optical European Wts Oct 2019 A	40,000,000	2019/03/12	2019/10/03	10.00
29522	SG Issuer - Bank of China European Warrants Nov 2019 A	80,000,000	2019/03/12	2019/11/22	12.00
29524	SG Issuer - CC Bank European Warrants Aug 2019 B	70,000,000	2019/03/12	2019/08/28	10.50
29529	SG Issuer - CITIC Sec European Warrants Oct 2019 A	70,000,000	2019/03/12	2019/10/31	12.25
29527	SG Issuer - CITIC Sec European Put Warrants Sep 2019 D	40,000,000	2019/03/12	2019/09/30	10.00
29526	SG Issuer - China Unicom European Put Warrants Oct 2019 A	50,000,000	2019/03/12	2019/10/31	12.50
29412	SG Issuer - HKEX European Warrants Dec 2019 C	200,000,000	2019/03/12	2019/12/24	30.00
29511	SG Issuer - HKEX European Put Warrants Sep 2019 E	150,000,000	2019/03/12	2019/09/05	22.50
29532	SG Issuer - Haitong Sec European Put Warrants Sep 2019 C	40,000,000	2019/03/12	2019/09/30	10.00
29534	SG Issuer - ICBC European Warrants Aug 2019 A	70,000,000	2019/03/12	2019/08/28	10.50
29519	SG Issuer - Lenovo European Warrants Aug 2019 C	25,000,000	2019/03/12	2019/08/30	10.00
29520	SG Issuer - Lenovo European Warrants Dec 2019 A	100,000,000	2019/03/12	2019/12/31	25.00
29535	SG Issuer - NCI European Warrants Sep 2019 B	40,000,000	2019/03/12	2019/09/30	10.00
29538	SG Issuer - PICC P&C European Warrants Sep 2019 A	15,000,000	2019/03/12	2019/09/30	10.20
29536	SG Issuer - PICC Group European Warrants Jul 2019 B	50,000,000	2019/03/12	2019/07/31	10.00
29541	SG Issuer - Ping An European Warrants Oct 2019 A	80,000,000	2019/03/12	2019/10/24	12.00
29547	SG Issuer - Tencent European Warrants Nov 2019 A	200,000,000	2019/03/12	2019/11/01	34.00
29548	SG Issuer - Tencent European Warrants Dec 2019 B	200,000,000	2019/03/12	2019/12/20	33.00
29549	SG Issuer - Tencent European Put Warrants Jun 2019 K	200,000,000	2019/03/12	2019/06/24	45.00
29551	SG Issuer - Tencent European Put Warrants Jun 2019 L	200,000,000	2019/03/12	2019/06/28	30.00
29458	UBS AG - CAM CSI300 European Warrants Sep 2019 A	70,000,000	2019/03/12	2019/09/11	17.50
29456	UBS AG - Bank of China European Warrants Nov 2019 A	70,000,000	2019/03/12	2019/11/22	13.37
29460	UBS AG - Bank of China European Put Warrants Aug 2019 A	70,000,000	2019/03/12	2019/08/28	17.78
29455	UBS AG - CC Bank European Warrants Jul 2019 A	70,000,000	2019/03/12	2019/07/24	11.34
29459	UBS AG - China Life European Warrants Sep 2019 D	100,000,000	2019/03/12	2019/09/25	15.10
29463	UBS AG - ICBC European Put Warrants Jul 2019 A	70,000,000	2019/03/12	2019/07/31	24.36
29454	UBS AG - Tencent European Warrants Jul 2019 D	30,000,000	2019/03/12	2019/07/25	10.50
29482	Bank Vontobel - CITIC Sec European Warrants Oct 2019 A	40,000,000	2019/03/12	2019/10/04	11.20
29487	Bank Vontobel - China Life European Warrants Nov 2019 B	40,000,000	2019/03/12	2019/11/04	11.20
29480	Bank Vontobel - China Mobile European Warrants Nov 2019 A	25,000,000	2019/03/12	2019/11/25	12.03
29475	Bank Vontobel - Evergrande European Warrants Jan 2020 A	40,000,000	2019/03/12	2020/01/06	10.84
29471	Bank Vontobel - HSBC European Warrants Dec 2019 A	20,000,000	2019/03/12	2019/12/23	10.22
29481	Bank Vontobel - Kunlun Energy European Warrants Mar 2020 A	40,000,000	2019/03/12	2020/03/27	10.04
29465	Bank Vontobel - Ping An European Put Warrants Aug 2019 A	30,000,000	2019/03/12	2019/08/23	10.23

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29597	BOCI Asia Ltd. - China Mobile European Warrants Sep 2019 B	70,000,000	2019/03/13	2019/09/25	10.50
29613	BOCI Asia Ltd. - HKEX European Warrants Sep 2019 B	100,000,000	2019/03/13	2019/09/16	25.00
29586	BOCI Asia Ltd. - HSBC European Warrants Aug 2019 B	80,000,000	2019/03/13	2019/08/28	12.00
29605	BOCI Asia Ltd. - Sunny Optical European Warrants Sep 2019 B	100,000,000	2019/03/13	2019/09/27	25.00
29612	BOCI Asia Ltd. - Xiaomi European Warrants Sep 2019 C	100,000,000	2019/03/13	2019/09/16	25.00
29811	Credit Suisse AG - Geely Auto European Warrants Nov 2019 A	80,000,000	2019/03/13	2019/11/29	20.00
29786	Credit Suisse AG - Geely Auto European Put Wts Sep 2019 D	60,000,000	2019/03/13	2019/09/23	10.02
29585	Credit Suisse AG - HKEX European Warrants Dec 2019 D	200,000,000	2019/03/13	2019/12/24	30.00
29783	Credit Suisse AG - Kingsoft European Warrants Jun 2019 B	70,000,000	2019/03/13	2019/06/21	10.50
29793	Credit Suisse AG - Sunny Optical European Warrants Sep 2019C	50,000,000	2019/03/13	2019/09/12	12.50
29763	Credit Suisse AG - Tencent European Wts Sep 2019 A	250,000,000	2019/03/13	2019/09/30	62.50
29765	Credit Suisse AG - Tencent European Wts Sep 2019 B	150,000,000	2019/03/13	2019/09/30	45.00
29780	Credit Suisse AG - Tencent European Wts Sep 2019 C	250,000,000	2019/03/13	2019/09/30	62.50
29757	Credit Suisse AG - Tencent European Wts Nov 2019 A	250,000,000	2019/03/13	2019/11/08	40.00
29795	Credit Suisse AG - Tencent Euro Put Wts Jun 2019 R	200,000,000	2019/03/13	2019/06/24	40.00
29827	Goldman Sachs SP (Asia) - Country Garden Euro Wts Oct 2019 B	50,000,000	2019/03/13	2019/10/31	12.50
29842	Goldman Sachs SP (Asia) - Evergrande European Wts Aug 2019 A	60,000,000	2019/03/13	2019/08/23	10.50
29833	Goldman Sachs SP (Asia) - ICBC European Warrants Aug 2019 A	80,000,000	2019/03/13	2019/08/28	12.00
29834	Goldman Sachs SP (Asia) - Ping An European Wts Aug 2019 B	100,000,000	2019/03/13	2019/08/28	24.60
29825	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2019 A	150,000,000	2019/03/13	2019/12/20	22.50
29626	HK Bank - Lenovo European Warrants Dec 2019 A	80,000,000	2019/03/13	2019/12/31	20.00
29619	HK Bank - Ping An European Warrants Jul 2019 E	50,000,000	2019/03/13	2019/07/31	13.00
29635	HK Bank - Tencent European Warrants Jul 2019 D	80,000,000	2019/03/13	2019/07/31	20.80
29634	HK Bank - Tencent European Warrants Sep 2019 C	80,000,000	2019/03/13	2019/09/30	20.00
29628	HK Bank - Tencent European Warrants Nov 2019 A	100,000,000	2019/03/13	2019/11/01	19.00
29618	HK Bank - Tencent European Put Warrants Jul 2019 C	100,000,000	2019/03/13	2019/07/31	15.00
29621	HK Bank - Xiaomi European Warrants Sep 2019 B	80,000,000	2019/03/13	2019/09/30	20.00
29637	J P Morgan SP BV - CSOP A50 ETF European Warrants Jun 2019 C	80,000,000	2019/03/13	2019/06/25	29.92
29650	J P Morgan SP BV - Haier Elec European Warrants Sep 2019 A	40,000,000	2019/03/13	2019/09/30	10.16
29646	J P Morgan SP BV - HSI European Put Warrants Sep 2019 F	400,000,000	2019/03/13	2019/09/27	100.00
29649	J P Morgan SP BV - Ping An European Warrants Mar 2020 A	200,000,000	2019/03/13	2020/03/31	60.00
29617	Macquarie Bank Ltd. - Bank of China European Wts Jan 2020 A	40,000,000	2019/03/13	2020/01/03	10.08
29614	Macquarie Bank Ltd. - CC Bank European Warrants Oct 2019 A	40,000,000	2019/03/13	2019/10/03	10.04
29753	UBS AG - BOC HK European Warrants Feb 2020 A	70,000,000	2019/03/13	2020/02/10	18.13
29725	UBS AG - BankComm European Put Warrants Sep 2019 A	30,000,000	2019/03/13	2019/09/12	12.84
29739	UBS AG - Country Garden European Warrants Oct 2019 A	40,000,000	2019/03/13	2019/10/21	10.92
29751	UBS AG - China Cinda European Warrants Jul 2019 A	70,000,000	2019/03/13	2019/07/26	10.50
29748	UBS AG - China Shenhua European Warrants Aug 2019 A	70,000,000	2019/03/13	2019/08/26	11.20
29756	UBS AG - Geely Auto European Warrants Dec 2019 A	50,000,000	2019/03/13	2019/12/16	12.50
29754	UBS AG - Geely Auto European Put Warrants Aug 2019 A	70,000,000	2019/03/13	2019/08/28	10.78
29729	UBS AG - Tencent European Warrants Sep 2019 B	150,000,000	2019/03/13	2019/09/12	38.55
29742	UBS AG - ZA Online European Warrants Sep 2019 A	35,000,000	2019/03/13	2019/09/12	12.11
29702	Bank Vontobel - AIA European Put Warrants Oct 2019 A	20,000,000	2019/03/13	2019/10/08	10.02
29716	Bank Vontobel - CHALCO European Warrants Nov 2019 A	20,000,000	2019/03/13	2019/11/04	11.02

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29694	Bank Vontobel - Sinopec Corp European Warrants Nov 2019 A	25,000,000	2019/03/13	2019/11/05	12.28
29653	Bank Vontobel - China Tower European Warrants Sep 2019 A	60,000,000	2019/03/13	2019/09/23	10.86
29681	Bank Vontobel - China Tower European Warrants Nov 2019 A	40,000,000	2019/03/13	2019/11/29	10.00
29708	Bank Vontobel - Geely Auto European Warrants Oct 2019 A	40,000,000	2019/03/13	2019/10/04	12.44
29719	Bank Vontobel - Meituan European Warrants Dec 2019 A	40,000,000	2019/03/13	2019/12/23	10.04
29880	BOCI Asia Ltd. - AIA European Warrants Aug 2019 A	60,000,000	2019/03/14	2019/08/23	15.54
29905	BOCI Asia Ltd. - China Unicom European Warrants Dec 2019 A	80,000,000	2019/03/14	2019/12/31	20.00
29879	BOCI Asia Ltd. - Geely Auto European Warrants Sep 2019 A	100,000,000	2019/03/14	2019/09/23	16.50
29890	BOCI Asia Ltd. - Galaxy Ent European Warrants Aug 2019 A	80,000,000	2019/03/14	2019/08/27	23.12
29909	BOCI Asia Ltd. - Jiangxi Copper European Warrants Sep 2019 A	80,000,000	2019/03/14	2019/09/30	20.00
29896	BOCI Asia Ltd. - SUNAC European Warrants Sep 2019 A	50,000,000	2019/03/14	2019/09/30	17.90
11008	Credit Suisse AG - CITIC European Warrants Dec 2019 A	50,000,000	2019/03/14	2019/12/31	12.50
11032	Credit Suisse AG - China Lit European Warrants Sep 2019 B	20,000,000	2019/03/14	2019/09/13	10.00
11012	Credit Suisse AG - China Res Beer European Warrants Sep 19 A	40,000,000	2019/03/14	2019/09/13	10.00
11020	Credit Suisse AG - Evergrande European Warrants Sep 2019 A	40,000,000	2019/03/14	2019/09/30	11.20
11033	Credit Suisse AG - HSCEI ETF European Warrants Sep 2019 A	40,000,000	2019/03/14	2019/09/13	10.00
11049	Credit Suisse AG - HSCEI ETF European Put Warrants Sep 2019B	30,000,000	2019/03/14	2019/09/13	10.20
11018	Credit Suisse AG - Sh Petrochem European Warrants Sep 2019 A	25,000,000	2019/03/14	2019/09/30	10.75
11005	Credit Suisse AG - Tencent European Wts Jul 2019 D	250,000,000	2019/03/14	2019/07/24	37.50
29957	Goldman Sachs SP (Asia) - AIA European Warrants Aug 2019 C	80,000,000	2019/03/14	2019/08/29	12.00
29950	Goldman Sachs SP (Asia) - CM Bank European Wts Aug 2019 A	70,000,000	2019/03/14	2019/08/27	10.50
29951	Goldman Sachs SP (Asia) - CPIC European Warrants Sep 2019 A	70,000,000	2019/03/14	2019/09/23	10.50
29958	Goldman Sachs SP (Asia) - NCI European Warrants Jul 2019 B	70,000,000	2019/03/14	2019/07/31	11.34
11002	Goldman Sachs SP (Asia) - ZA Online European Wts Sep 2019 A	50,000,000	2019/03/14	2019/09/16	12.50
29867	HK Bank - Geely Auto European Warrants Sep 2019 A	50,000,000	2019/03/14	2019/09/30	12.50
29868	HK Bank - Sunny Optical European Warrants Dec 2019 B	50,000,000	2019/03/14	2019/12/31	12.50
29931	J P Morgan SP BV - CICC European Warrants Sep 2019 A	50,000,000	2019/03/14	2019/09/30	12.50
29942	J P Morgan SP BV - HSBC European Put Warrants Aug 2019 C	100,000,000	2019/03/14	2019/08/27	15.40
29930	J P Morgan SP BV - Haitong Sec European Warrants Oct 2019 A	100,000,000	2019/03/14	2019/10/31	25.00
29941	J P Morgan SP BV - SMIC European Wts Nov 2019 A	100,000,000	2019/03/14	2019/11/29	25.20
29939	J P Morgan SP BV - Tencent European Warrants Nov 2019 A	200,000,000	2019/03/14	2019/11/01	30.80
29870	Macquarie Bank Ltd. - CHALCO European Warrants Apr 2020 A	14,500,000	2019/03/14	2020/04/02	10.01
29869	Macquarie Bank Ltd. - Link REIT European Warrants Jan 2020 A	29,000,000	2019/03/14	2020/01/03	10.09
29944	SG Issuer - Geely Auto European Warrants Oct 2019 A	60,000,000	2019/03/14	2019/10/31	15.00
29946	SG Issuer - Sunny Optical European Warrants Sep 2019 B	70,000,000	2019/03/14	2019/09/30	10.50
29921	UBS AG - CGS European Put Warrants Sep 2019 B	20,000,000	2019/03/14	2019/09/13	10.40
29918	UBS AG - CITIC Sec European Warrants Sep 2019 A	50,000,000	2019/03/14	2019/09/13	12.85
29913	UBS AG - Haitong Sec European Warrants Oct 2019 A	50,000,000	2019/03/14	2019/10/31	12.50
29919	UBS AG - Sunny Optical European Warrants Sep 2019 B	100,000,000	2019/03/14	2019/09/27	15.00
11097	BOCI Asia Ltd. - BYD Company European Warrants Aug 2019 A	40,000,000	2019/03/15	2019/08/30	16.08
11062	BOCI Asia Ltd. - Country Garden European Warrants Oct 2019 A	70,000,000	2019/03/15	2019/10/24	16.03
11105	BOCI Asia Ltd. - CSPC Pharma European Warrants Dec 2019 A	100,000,000	2019/03/15	2019/12/09	18.50
11061	BOCI Asia Ltd. - Lenovo European Warrants Mar 2020 A	200,000,000	2019/03/15	2020/03/24	40.00
11101	BOCI Asia Ltd. - PetroChina European Warrants Jul 2019 A	70,000,000	2019/03/15	2019/07/29	10.64

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11106	BOCI Asia Ltd. - Sands China Ltd European Warrants Aug 2019A	100,000,000	2019/03/15	2019/08/23 25.00
11159	Credit Suisse AG - Air China European Warrants Sep 2019 A	40,000,000	2019/03/15	2019/09/30 10.00
11158	Credit Suisse AG - China South Air European Wts Dec 2019 A	40,000,000	2019/03/15	2019/12/31 10.00
11146	Goldman Sachs SP (Asia) - Angang Steel Euro Wts Dec 2019 A	60,000,000	2019/03/15	2019/12/20 10.02
11149	Goldman Sachs SP (Asia) - China Lit European Warrants Sep19A	80,000,000	2019/03/15	2019/09/16 20.00
11148	Goldman Sachs SP (Asia) - China Overseas European Wts Jul19A	80,000,000	2019/03/15	2019/07/03 12.00
11145	Goldman Sachs SP (Asia) - Geely Auto European Wts Sep 2019 D	80,000,000	2019/03/15	2019/09/23 12.56
11151	Goldman Sachs SP (Asia) - ICBC European Warrants Aug 2019 B	80,000,000	2019/03/15	2019/08/19 12.00
11107	HK Bank - CSOP A50 ETF European Warrants Sep 2019 A	40,000,000	2019/03/15	2019/09/30 10.00
11122	HK Bank - A50 China European Warrants Sep 2019 A	40,000,000	2019/03/15	2019/09/30 10.00
11120	HK Bank - Meituan European Warrants Nov 2019 A	40,000,000	2019/03/15	2019/11/29 10.00
11142	J P Morgan SP BV - China Gas Hold European Wts Jun 2019 B	70,000,000	2019/03/15	2019/06/28 10.64
11134	J P Morgan SP BV - Sinopec Corp European Warrants Jul 2019 C	100,000,000	2019/03/15	2019/07/31 15.40
11130	Macquarie Bank Ltd. - Chinasoft Int'l European Wt Feb 2021 A	40,000,000	2019/03/15	2021/02/02 10.00
11144	SG Issuer - HKEX European Warrants Sep 2019 F	200,000,000	2019/03/15	2019/09/30 50.00
11131	UBS AG - Sinopec Corp European Warrants Jul 2019 A	60,000,000	2019/03/15	2019/07/24 10.26
11143	Bank Vontobel - CNBM European Warrants Mar 2020 A	40,000,000	2019/03/15	2020/03/27 10.04
11255	BNP Paribas Issuance B.V. - AIA European Wts Oct 2019 B	40,000,000	2019/03/18	2019/10/03 10.00
11256	BNP Paribas Issuance B.V. - CAM CSI300 Euro Wts Nov 2019 A	40,000,000	2019/03/18	2019/11/04 10.00
11238	BNP Paribas Issuance B.V. - China Life Euro Wts Oct 2019 A	50,000,000	2019/03/18	2019/10/03 13.50
11245	BNP Paribas Issuance B.V. - China Life Eu Put Wt Dec 2019 D	50,000,000	2019/03/18	2019/12/03 13.00
11249	BNP Paribas Issuance B.V. - CSOP A50 ETF Eu Wts Sep 2019 A	70,000,000	2019/03/18	2019/09/18 10.50
11250	BNP Paribas Issuance B.V. - A50 China Euro Wts Apr 2020 A	60,000,000	2019/03/18	2020/04/02 11.40
11219	BNP Paribas Issuance B.V. - HKEX European Wts Dec 2019 C	50,000,000	2019/03/18	2019/12/03 13.00
11226	BNP Paribas Issuance B.V. - HKEX Euro Put Wts Dec 2019 D	50,000,000	2019/03/18	2019/12/03 12.50
11203	BNP Paribas Issuance B.V. - Ping An Eur Put Wts Oct 2019 E	50,000,000	2019/03/18	2019/10/03 12.50
11257	Credit Suisse AG - BYD Company European Warrants Jul 2019 C	35,000,000	2019/03/18	2019/07/26 10.50
11261	Credit Suisse AG - China State Con European Warrants Nov 19A	40,000,000	2019/03/18	2019/11/29 10.00
11404	Goldman Sachs SP (Asia) - Conch Cement European Wts Aug 19 A	50,000,000	2019/03/18	2019/08/12 10.00
11441	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Jul 2019 B	60,000,000	2019/03/18	2019/07/24 10.14
11434	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2019 A	80,000,000	2019/03/18	2019/07/24 12.08
11372	Goldman Sachs SP (Asia) - HKEX European Put Wts Aug 2019 B	100,000,000	2019/03/18	2019/08/29 15.00
11440	Goldman Sachs SP (Asia) - Tencent European Put Wt Jul 2019 H	100,000,000	2019/03/18	2019/07/25 18.60
11315	Haitong Int'l Sec - China Life European Warrants Sep 2019 B	80,000,000	2019/03/18	2019/09/30 20.00
11284	Haitong Int'l Sec - A50 China European Put Warrants Oct 19 A	80,000,000	2019/03/18	2019/10/30 20.00
11295	Haitong Int'l Sec - Xiaomi European Warrants Sep 2019 B	80,000,000	2019/03/18	2019/09/17 18.40
11192	J P Morgan SP BV - Geely Auto European Warrants Sep 2019 D	100,000,000	2019/03/18	2019/09/23 18.40
11195	J P Morgan SP BV - Kingdee Intl European Warrants Sep 2019 A	50,000,000	2019/03/18	2019/09/30 12.65
11198	J P Morgan SP BV - MTRC European Warrants Nov 2019 A	50,000,000	2019/03/18	2019/11/29 12.50
11199	J P Morgan SP BV - MTRC European Put Warrants Oct 2019 A	50,000,000	2019/03/18	2019/10/31 12.55
11172	Macquarie Bank Ltd. - BOC HK European Warrants Mar 2020 A	21,000,000	2019/03/18	2020/03/03 10.04
11171	Macquarie Bank Ltd. - China Coal European Wts Dec 2019 A	31,000,000	2019/03/18	2019/12/03 10.14
11166	Macquarie Bank Ltd. - Chinas Cement European Wt Nov 2019 A	10,000,000	2019/03/18	2019/11/04 11.08
11335	SG Issuer - CHALCO European Warrants Sep 2019 A	30,000,000	2019/03/18	2019/09/30 10.05

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11338	SG Issuer - CSPC Pharma European Warrants Dec 2019 A	60,000,000	2019/03/18	2019/12/09 10.20
11328	SG Issuer - HSI European Warrants Sep 2019 E	300,000,000	2019/03/18	2019/09/27 45.00
11343	SG Issuer - Kingdee Intl European Warrants Sep 2019 A	40,000,000	2019/03/18	2019/09/17 11.40
11368	SG Issuer - Shimao Ppt Eu Wt Sep 2019 A	40,000,000	2019/03/18	2019/09/30 10.00
11371	SG Issuer - Xiaomi European Warrants Sep 2019 C	60,000,000	2019/03/18	2019/09/03 11.70
11178	UBS AG - Geely Auto European Warrants Sep 2019 A	55,000,000	2019/03/18	2019/09/17 13.75
11181	UBS AG - HKEX European Warrants Sep 2019 D	150,000,000	2019/03/18	2019/09/03 22.50
11186	UBS AG - Kingsoft European Warrants Sep 2019 A	40,000,000	2019/03/18	2019/09/18 10.00
18118#	Credit Suisse AG - Xiaomi European Warrants Jun 2019 D	130,000,000	2019/03/18	2019/06/26 10.27
11458	BOCI Asia Ltd. - CC Bank European Warrants Aug 2019 A	70,000,000	2019/03/19	2019/08/28 10.57
11450	BOCI Asia Ltd. - HKEX European Put Warrants Aug 2019 B	100,000,000	2019/03/19	2019/08/30 15.50
11459	BOCI Asia Ltd. - ICBC European Warrants Aug 2019 A	70,000,000	2019/03/19	2019/08/28 10.57
11460	BOCI Asia Ltd. - Ping An European Warrants Aug 2019 A	80,000,000	2019/03/19	2019/08/28 20.00
11451	BOCI Asia Ltd. - Tencent European Put Wts Jun 2019 K	200,000,000	2019/03/19	2019/06/27 30.00
11452	BOCI Asia Ltd. - Tencent European Put Wts Aug 2019 B	200,000,000	2019/03/19	2019/08/26 30.00
11779	BNP Paribas Issuance B.V. - CC Bank Euro Wts Sep 2019 A	40,000,000	2019/03/19	2019/09/03 11.20
11797	BNP Paribas Issuance B.V. - CK Asset European Wts Oct 2019 A	40,000,000	2019/03/19	2019/10/03 12.00
11795	BNP Paribas Issuance B.V. - PetroChina Euro Wts Oct 2019 A	40,000,000	2019/03/19	2019/10/03 11.60
11876	Credit Suisse AG - China Life European Warrants Nov 2019 B	120,000,000	2019/03/19	2019/11/22 18.00
11870	Credit Suisse AG - China Life European Put Wts Sep 2019 F	50,000,000	2019/03/19	2019/09/30 12.50
11862	Credit Suisse AG - Kingdee Int'l European Warrants Apr 2020A	60,000,000	2019/03/19	2020/04/29 15.00
11445	HK Bank - HSCEI European Put Warrants Sep 2019 A	150,000,000	2019/03/19	2019/09/27 37.50
11442	HK Bank - HSI European Put Warrants Sep 2019 E	150,000,000	2019/03/19	2019/09/27 37.50
11449	HK Bank - Meituan European Warrants Dec 2019 C	100,000,000	2019/03/19	2019/12/23 15.00
11446	HK Bank - Ping An European Put Warrants Jan 2020 A	100,000,000	2019/03/19	2020/01/02 25.00
11798	Haitong Int'l Sec - HKEX European Warrants Sep 2019 D	100,000,000	2019/03/19	2019/09/30 25.00
11799	Haitong Int'l Sec - HKEX European Put Warrants Sep 2019 E	100,000,000	2019/03/19	2019/09/30 25.00
11756	J P Morgan SP BV - China EB Int'l European Wts Dec 2020 A	60,000,000	2019/03/19	2020/12/09 13.20
11745	J P Morgan SP BV - CPIC European Warrants Oct 2019 A	80,000,000	2019/03/19	2019/10/31 12.72
11776	J P Morgan SP BV - Chinares Cement Euro Warrants Dec 2019 A	50,000,000	2019/03/19	2019/12/31 12.60
11766	J P Morgan SP BV - HSCEI European Wts Dec 2019 B	200,000,000	2019/03/19	2019/12/30 30.40
11484	Macquarie Bank Ltd. - China East Air European Wts Sep 2020 A	40,000,000	2019/03/19	2020/09/21 10.04
11653	Macquarie Bank Ltd. - CM Bank European Warrants Oct 2019 A	40,000,000	2019/03/19	2019/10/03 10.20
11704	Macquarie Bank Ltd. - CM Bank European Warrants Jan 2020 B	23,500,000	2019/03/19	2020/01/03 10.06
11631	Macquarie Bank Ltd. - Sinopec Corp European Wts Oct 2019 A	40,000,000	2019/03/19	2019/10/03 10.04
11483	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Oct 2019 A	12,500,000	2019/03/19	2019/10/03 10.35
11581	Macquarie Bank Ltd. - Haitong Sec European Wts Oct 2019 A	40,000,000	2019/03/19	2019/10/03 10.00
11585	Macquarie Bank Ltd. - Haitong Sec European Wts Dec 2019 A	40,000,000	2019/03/19	2019/12/03 10.04
11714	Macquarie Bank Ltd. - Hua Hong Semi European Warrants Dec19A	27,000,000	2019/03/19	2019/12/17 10.18
11635	Macquarie Bank Ltd. - MTRC European Warrants Oct 2019 A	40,000,000	2019/03/19	2019/10/03 10.16
11557	Macquarie Bank Ltd. - PSBC European Warrants Oct 2019 A	40,000,000	2019/03/19	2019/10/03 10.04
11643	Macquarie Bank Ltd. - Shimao Ppt European Wts Jan 2020 A	29,000,000	2019/03/19	2020/01/03 10.09
11509	Macquarie Bank Ltd. - Sh Petrochem European Wts Oct 2019 A	22,300,000	2019/03/19	2019/10/16 10.01
11878	SG Issuer - Agricultural Bank European Warrants Oct 2019 A	70,000,000	2019/03/19	2019/10/24 10.50

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11909	SG Issuer - CSOP A50 ETF European Warrants Sep 2019 C	100,000,000	2019/03/19	2019/09/04	15.00
11918	SG Issuer - CSOP A50 ETF European Warrants Sep 2019 D	100,000,000	2019/03/19	2019/09/30	15.00
11880	SG Issuer - Fosun Intl European Warrants Sep 2019 A	60,000,000	2019/03/19	2019/09/09	10.20
11886	SG Issuer - Ping An European Put Warrants Jan 2020 A	300,000,000	2019/03/19	2020/01/24	54.00
11732	UBS AG - Tencent European Warrants Jun 2019 N	300,000,000	2019/03/19	2019/06/26	46.20
11841	Bank Vontobel - HKEX European Warrants Sep 2019 C	50,000,000	2019/03/19	2019/09/04	10.55
11846	Bank Vontobel - Ping An European Warrants Mar 2020 A	60,000,000	2019/03/19	2020/03/27	10.26
11924	BOCI Asia Ltd. - HKEX European Warrants Dec 2019 C	200,000,000	2019/03/20	2019/12/24	30.00
12176	Credit Suisse AG - CC Bank European Warrants Jul 2019 B	60,000,000	2019/03/20	2019/07/26	10.20
12182	Credit Suisse AG - China East Air European Wts May 2020 A	40,000,000	2019/03/20	2020/05/29	10.00
12183	Credit Suisse AG - Ch Molybdenum European Wts Sep 2019 B	30,000,000	2019/03/20	2019/09/19	13.50
12180	Credit Suisse AG - Chinas Cement European Warrants Nov 19A	40,000,000	2019/03/20	2019/11/29	10.00
12159	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Sep 2019 B	100,000,000	2019/03/20	2019/09/18	15.00
12131	Goldman Sachs SP (Asia) - China Tower European Wts Sep 2019G	80,000,000	2019/03/20	2019/09/23	12.00
12173	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2019 C	150,000,000	2019/03/20	2019/09/27	22.50
12135	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2019 B	150,000,000	2019/03/20	2019/09/23	22.50
12143	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2019 C	150,000,000	2019/03/20	2019/09/23	22.50
12132	Goldman Sachs SP (Asia) - Tencent European Put Wt Aug 2019 C	100,000,000	2019/03/20	2019/08/26	15.00
12165	Goldman Sachs SP (Asia) - Xiaomi European Warrants Sep 2019C	60,000,000	2019/03/20	2019/09/03	10.08
12168	Goldman Sachs SP (Asia) - ZA Online European Wts Jul 2019 A	50,000,000	2019/03/20	2019/07/24	10.00
12110	HK Bank - HSI European Warrants Sep 2019 G	150,000,000	2019/03/20	2019/09/27	22.50
11986	HK Bank - HSI European Put Warrants Sep 2019 F	150,000,000	2019/03/20	2019/09/27	22.50
12113	Macquarie Bank Ltd. - China Huarong European Wts Dec 2019 A	37,000,000	2019/03/20	2019/12/17	10.03
11938	Macquarie Bank Ltd. - CICC European Warrants Dec 2019 B	40,000,000	2019/03/20	2019/12/17	10.00
11981	Macquarie Bank Ltd. - CNOOC European Warrants Oct 2019 A	19,500,000	2019/03/20	2019/10/03	10.14
11957	Macquarie Bank Ltd. - CR Beer European Warrants Dec 2019 A	26,500,000	2019/03/20	2019/12/03	10.12
11943	Macquarie Bank Ltd. - ICBC European Warrants Jul 2020 A	40,000,000	2019/03/20	2020/07/03	10.04
11928	Macquarie Bank Ltd. - NCI European Put Warrants Oct 2019 B	35,000,000	2019/03/20	2019/10/03	10.01
11980	Macquarie Bank Ltd. - Ping An European Warrants Nov 2019 A	10,000,000	2019/03/20	2019/11/04	10.03
11926	SG Issuer - Agile Ppt European Warrants Jul 2019 A	70,000,000	2019/03/20	2019/07/31	10.50
12124	Bank Vontobel - CC Bank European Warrants Nov 2019 A	40,000,000	2019/03/20	2019/11/01	12.04
12114	Bank Vontobel - China Mobile European Put Warrants Oct 2019A	20,000,000	2019/03/20	2019/10/08	10.62
12125	Bank Vontobel - Sinopec Corp European Warrants Nov 2019 B	40,000,000	2019/03/20	2019/11/05	10.04
12128	Bank Vontobel - Sands China Ltd European Warrants Jul 2019 A	50,000,000	2019/03/20	2019/07/29	10.05
12129	Bank Vontobel - Tencent European Warrants Sep 2019 D	100,000,000	2019/03/20	2019/09/23	15.10
12127	Bank Vontobel - Xiaomi European Warrants Oct 2019 A	40,000,000	2019/03/20	2019/10/03	10.20
12121	Bank Vontobel - Zijin Mining European Warrants Dec 2019 A	30,000,000	2019/03/20	2019/12/03	10.23
28920#	J P Morgan SP BV - Zijin Mining European Warrants Dec 2019 A	30,000,000	2019/03/20	2019/12/03	11.55
12207	BOCI Asia Ltd. - HSBC European Warrants Jul 2019 B	80,000,000	2019/03/21	2019/07/16	18.32
12213	BOCI Asia Ltd. - HSBC European Warrants Aug 2019 C	80,000,000	2019/03/21	2019/08/22	13.12
12224	BNP Paribas Issuance B.V. - Evergrande Euro Wts Nov 2019 A	50,000,000	2019/03/21	2019/11/04	12.50
12392	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2019 D	80,000,000	2019/03/21	2019/07/26	12.00
12314	Goldman Sachs SP (Asia) - HKEX European Warrants Sep 2019 E	100,000,000	2019/03/21	2019/09/23	21.60
12308	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2019 D	150,000,000	2019/03/21	2019/09/27	22.50

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12311	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2019 E	150,000,000	2019/03/21	2019/09/27 28.05
12416	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Sep 2019 B	80,000,000	2019/03/21	2019/09/23 41.36
12384	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2019 D	150,000,000	2019/03/21	2019/08/27 45.15
12398	Goldman Sachs SP (Asia) - Xiaomi European Warrants Sep 2019D	80,000,000	2019/03/21	2019/09/23 20.00
12205	HK Bank - CSPC Pharma European Warrants Dec 2019 A	80,000,000	2019/03/21	2019/12/24 12.00
12190	HK Bank - China Unicom European Warrants Dec 2019 A	50,000,000	2019/03/21	2019/12/31 12.50
12192	HK Bank - Zijin Mining European Warrants Sep 2019 A	40,000,000	2019/03/21	2019/09/30 10.00
12287	J P Morgan SP BV - Anta Sports European Warrants Oct 2019 A	40,000,000	2019/03/21	2019/10/02 10.16
12263	J P Morgan SP BV - China Lit European Warrants Sep 2019 A	30,000,000	2019/03/21	2019/09/23 11.73
12283	J P Morgan SP BV - CNOOC European Warrants Sep 2019 D	50,000,000	2019/03/21	2019/09/30 15.35
12262	J P Morgan SP BV - Chi Res Land European Warrants Nov 2019 A	50,000,000	2019/03/21	2019/11/29 12.65
12259	J P Morgan SP BV - China Unicom European Warrants Jul 2019 A	50,000,000	2019/03/21	2019/07/31 13.75
12290	J P Morgan SP BV - Li Ning European Warrants Oct 2019 A	40,000,000	2019/03/21	2019/10/02 10.20
12275	J P Morgan SP BV - PetroChina European Warrants Jul 2019 B	100,000,000	2019/03/21	2019/07/31 16.40
12266	J P Morgan SP BV - SUNAC European Warrants Oct 2019 A	50,000,000	2019/03/21	2019/10/31 13.10
12243	Macquarie Bank Ltd. - CKH Holdings European Wts Oct 2019 A	40,000,000	2019/03/21	2019/10/03 10.08
12245	Macquarie Bank Ltd. - Li Ning European Warrants Jan 2020 A	29,000,000	2019/03/21	2020/01/16 10.01
12246	Macquarie Bank Ltd. - Maanshan Iron European Wts Sep 2021 A	40,000,000	2019/03/21	2021/09/16 10.00
12231	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 J	40,000,000	2019/03/21	2019/09/25 10.00
12240	Macquarie Bank Ltd. - Tencent European Warrants Sep 2019 K	40,000,000	2019/03/21	2019/09/25 16.76
12241	Macquarie Bank Ltd. - Tencent European Put Wts Sep 2019 L	40,000,000	2019/03/21	2019/09/25 14.96
12456	SG Issuer - Air China European Warrants Sep 2019 A	70,000,000	2019/03/21	2019/09/30 11.55
12465	SG Issuer - Anta Sports European Warrants Sep 2019 A	20,000,000	2019/03/21	2019/09/20 10.00
12494	SG Issuer - China East Air European Warrants Sep 2019 A	20,000,000	2019/03/21	2019/09/20 10.00
12508	SG Issuer - HSI European Put Warrants Sep 2019 F	300,000,000	2019/03/21	2019/09/27 45.00
12502	SG Issuer - MTR Corporation European Warrants Sep 2019 A	60,000,000	2019/03/21	2019/09/30 10.20
12253	UBS AG - Ch Comm Cons European Warrants Sep 2019 A	31,000,000	2019/03/21	2019/09/20 10.29
12254	UBS AG - China Unicom European Warrants Sep 2019 A	20,000,000	2019/03/21	2019/09/26 10.78
12418	Bank Vontobel - HSI European Warrants Aug 2019 B	80,000,000	2019/03/21	2019/08/29 12.00
12429	Bank Vontobel - HSI European Warrants Sep 2019 D	80,000,000	2019/03/21	2019/09/27 12.00
12436	Bank Vontobel - HSI European Put Warrants Aug 2019 C	80,000,000	2019/03/21	2019/08/29 12.00
12422	Bank Vontobel - HSI European Put Warrants Sep 2019 C	80,000,000	2019/03/21	2019/09/27 20.00
12438	Bank Vontobel - MTR Corporation European Warrants Jan 2020 A	40,000,000	2019/03/21	2020/01/06 11.24
12454	Bank Vontobel - Ping An European Warrants Sep 2019 B	30,000,000	2019/03/21	2019/09/30 12.33
12448	Bank Vontobel - Power Assets European Warrants Jan 2020 A	40,000,000	2019/03/21	2020/01/06 10.00
12455	Bank Vontobel - Sunny Optical European Warrants Dec 2019 A	40,000,000	2019/03/21	2019/12/02 10.00
12615	Credit Suisse AG - Anta Sports European Warrants Sep 2019 A	25,000,000	2019/03/22	2019/09/23 10.00
12635	Credit Suisse AG - HSI European Warrants Nov 2019 A	200,000,000	2019/03/22	2019/11/28 50.00
12613	Credit Suisse AG - Ping An European Put Warrants Sep 2019 D	100,000,000	2019/03/22	2019/09/30 45.00
12679	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2019 C	80,000,000	2019/03/22	2019/07/24 12.00
12667	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Jul 2019 B	80,000,000	2019/03/22	2019/07/26 12.00
12682	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jul 2019 B	80,000,000	2019/03/22	2019/07/26 20.80
12668	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2019 C	80,000,000	2019/03/22	2019/08/19 12.00
12669	Goldman Sachs SP (Asia) - Ping An European Wts Sep 2019 C	100,000,000	2019/03/22	2019/09/23 15.40

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12612	Haitong Int'l Sec - MTR Corporation European Warrants Sep19A	35,000,000	2019/03/22	2019/09/30 10.15
12586	J P Morgan SP BV - BAIC Motor European Warrants Sep 2019 A	30,000,000	2019/03/22	2019/09/30 11.01
12585	J P Morgan SP BV - China Cinda European Warrants Nov 2019 A	70,000,000	2019/03/22	2019/11/29 17.85
12564	J P Morgan SP BV - CNBM European Warrants Jan 2020 A	100,000,000	2019/03/22	2020/01/30 25.10
12576	J P Morgan SP BV - Ch State Con European Warrants Dec 2019 A	50,000,000	2019/03/22	2019/12/31 12.60
12571	J P Morgan SP BV - Evergrande European Warrants Oct 2019 A	50,000,000	2019/03/22	2019/10/30 12.50
12560	J P Morgan SP BV - HSI European Put Warrants Oct 2019 C	400,000,000	2019/03/22	2019/10/30 101.60
12602	J P Morgan SP BV - HTSC European Warrants Oct 2019 B	60,000,000	2019/03/22	2019/10/30 15.06
12524	Macquarie Bank Ltd. - AAC Tech European Wts Oct 2020 A	40,000,000	2019/03/22	2020/10/06 10.00
12527	Macquarie Bank Ltd. - CNBM European Warrants Dec 2019 A	40,000,000	2019/03/22	2019/12/03 10.00
12511	Macquarie Bank Ltd. - PICC Group European Wts Nov 2019 A	40,000,000	2019/03/22	2019/11/04 10.00
12660	SG Issuer - Kingdee Intl European Warrants Sep 2019 B	40,000,000	2019/03/22	2019/09/30 10.00
12642	SG Issuer - MTR Corporation European Put Warrants Sep 2019 B	40,000,000	2019/03/22	2019/09/30 10.00
12662	SG Issuer - Ping An European Put Warrants Aug 2019 A	60,000,000	2019/03/22	2019/08/23 15.60
12658	SG Issuer - Tencent European Warrants Jun 2019 M	200,000,000	2019/03/22	2019/06/21 35.00
12666	SG Issuer - Tencent European Warrants Sep 2019 B	200,000,000	2019/03/22	2019/09/23 30.00
12552	UBS AG - Ping An European Warrants Mar 2020 B	200,000,000	2019/03/22	2020/03/24 37.80
12554	UBS AG - Ping An European Put Warrants Jan 2020 A	200,000,000	2019/03/22	2020/01/24 35.00
12697	BOCI Asia Ltd. - CC Bank European Warrants Aug 2019 B	70,000,000	2019/03/25	2019/08/22 11.20
12685	BOCI Asia Ltd. - Geely Auto European Warrants Sep 2019 B	100,000,000	2019/03/25	2019/09/27 20.00
12688	BOCI Asia Ltd. - Kingdee Int'l European Warrants Sep 2019 A	40,000,000	2019/03/25	2019/09/30 10.00
12744	BOCI Asia Ltd. - Ping An European Warrants Aug 2019 B	80,000,000	2019/03/25	2019/08/28 32.00
12745	BOCI Asia Ltd. - Ping An European Put Warrants Aug 2019 C	80,000,000	2019/03/25	2019/08/23 20.00
12785	BOCI Asia Ltd. - Tencent European Put Wts Jul 2019 D	200,000,000	2019/03/25	2019/07/30 30.00
13149	Credit Suisse AG - Country Garden European Warrants Sep 19 A	60,000,000	2019/03/25	2019/09/30 15.00
13087	Credit Suisse AG - CM Bank European Warrants Jul 2019 B	50,000,000	2019/03/25	2019/07/24 12.50
13163	Credit Suisse AG - CSOP A50 ETF Euro Put Wts Sep 2019 A	50,000,000	2019/03/25	2019/09/23 10.00
13233	Credit Suisse AG - HSI European Put Warrants Oct 2019 A	200,000,000	2019/03/25	2019/10/30 30.00
13024	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2019 B	80,000,000	2019/03/25	2019/08/27 12.00
12977	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2019 F	80,000,000	2019/03/25	2019/09/02 18.08
12998	Goldman Sachs SP (Asia) - A50 China European Wts Sep 2019 C	100,000,000	2019/03/25	2019/09/13 15.00
13035	Goldman Sachs SP (Asia) - HKEX European Warrants Sep 2019 F	100,000,000	2019/03/25	2019/09/09 18.90
13037	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2019 F	150,000,000	2019/03/25	2019/09/27 22.50
12994	Goldman Sachs SP (Asia) - Sunny Optical Euro Wts Sep 2019 C	70,000,000	2019/03/25	2019/09/05 10.50
12907	HK Bank - HKEX European Warrants Dec 2019 C	80,000,000	2019/03/25	2019/12/27 12.00
12883	HK Bank - HSI European Put Warrants Sep 2019 H	150,000,000	2019/03/25	2019/09/27 22.50
12904	HK Bank - HSI European Put Warrants Sep 2019 I	150,000,000	2019/03/25	2019/09/27 28.50
12945	Haitong Int'l Sec - Agile Ppt European Warrants Nov 2019 A	40,000,000	2019/03/25	2019/11/20 12.40
12941	Haitong Int'l Sec - Country Garden European Warrants Apr20 A	60,000,000	2019/03/25	2020/04/29 15.00
12933	Haitong Int'l Sec - Evergrande European Warrants Aug 2019 B	60,000,000	2019/03/25	2019/08/30 16.20
12923	Haitong Int'l Sec - HSI European Warrants Sep 2019 E	150,000,000	2019/03/25	2019/09/27 22.50
12924	Haitong Int'l Sec - HSI European Put Warrants Sep 2019 F	150,000,000	2019/03/25	2019/09/27 37.50
12970	Haitong Int'l Sec - Sinopharm European Warrants Jan 2020 A	40,000,000	2019/03/25	2020/01/31 18.40
12908	Haitong Int'l Sec - WH Group European Warrants Nov 2019 A	70,000,000	2019/03/25	2019/11/11 10.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
12909	J P Morgan SP BV - Huaneng Power European Wts Sep 2019 A	40,000,000	2019/03/25	2019/09/30 10.08
13275	J P Morgan SP BV - IGG European Warrants Jul 2019 A	60,000,000	2019/03/25	2019/07/05 14.28
12922	J P Morgan SP BV - Mengniu Dairy European Wts Sep 2019 A	60,000,000	2019/03/25	2019/09/30 15.06
12911	J P Morgan SP BV - Tencent European Warrants Sep 2019 D	250,000,000	2019/03/25	2019/09/23 37.50
12792	Macquarie Bank Ltd. - A50 China European Put Wts Oct 2019 A	13,000,000	2019/03/25	2019/10/03 10.01
12808	Macquarie Bank Ltd. - Tencent European Warrants Oct 2019 A	10,700,000	2019/03/25	2019/10/02 10.03
12824	Macquarie Bank Ltd. - Tencent European Warrants Oct 2019 B	8,000,000	2019/03/25	2019/10/02 13.32
12830	Macquarie Bank Ltd. - Tencent European Warrants Oct 2019 C	8,000,000	2019/03/25	2019/10/02 23.14
12855	Macquarie Bank Ltd. - Tencent European Warrants Oct 2019 D	20,700,000	2019/03/25	2019/10/02 10.02
12872	Macquarie Bank Ltd. - Tencent European Put Wts Oct 2019 E	11,400,000	2019/03/25	2019/10/02 10.03
12788	Macquarie Bank Ltd. - Tingyi European Warrants Oct 2019 A	35,000,000	2019/03/25	2019/10/16 10.01
12804	Macquarie Bank Ltd. - ZA Online European Warrants Nov 2019 A	28,000,000	2019/03/25	2019/11/18 10.02
13371	SG Issuer - Conch Cement European Warrants Sep 2019 A	35,000,000	2019/03/25	2019/09/30 10.15
13372	SG Issuer - China Lit European Warrants Sep 2019 A	20,000,000	2019/03/25	2019/09/24 10.00
13387	SG Issuer - CNBM European Warrants Sep 2019 A	20,000,000	2019/03/25	2019/09/30 11.80
13302	SG Issuer - China Overseas European Put Warrants Sep 2019 A	40,000,000	2019/03/25	2019/09/30 10.00
13389	SG Issuer - China Railway European Warrants Sep 2019 A	20,000,000	2019/03/25	2019/09/30 10.00
13412	SG Issuer - China Res Land European Warrants Oct 2019 C	40,000,000	2019/03/25	2019/10/31 10.00
13325	SG Issuer - China Res Land European Put Warrants Oct 2019 B	35,000,000	2019/03/25	2019/10/03 10.15
13368	SG Issuer - HSI European Put Warrants Sep 2019 G	300,000,000	2019/03/25	2019/09/27 45.00
13378	SG Issuer - Mengniu Dairy European Warrants Sep 2019 A	40,000,000	2019/03/25	2019/09/30 10.00
13417	SG Issuer - Ping An European Put Warrants Sep 2019 D	60,000,000	2019/03/25	2019/09/30 22.50
13423	SG Issuer - Tencent European Warrants Jun 2019 N	30,000,000	2019/03/25	2019/06/24 10.05
13460	SG Issuer - Tencent European Warrants Sep 2019 C	200,000,000	2019/03/25	2019/09/23 34.00
13437	SG Issuer - Tencent European Put Warrants Jul 2019 D	200,000,000	2019/03/25	2019/07/30 30.00
13418	SG Issuer - Zijin Mining European Warrants Nov 2019 A	30,000,000	2019/03/25	2019/11/29 10.05
13719	BNP Paribas Issuance B.V. - CAM CSI300 Euro Wts Jan 2020 A	40,000,000	2019/03/26	2020/01/02 19.20
13674	BNP Paribas Issuance B.V. - CC Bank Euro Wts Feb 2020 A	50,000,000	2019/03/26	2020/02/04 13.50
13716	BNP Paribas Issuance B.V. - China Life Euro Wts Nov 2019 A	50,000,000	2019/03/26	2019/11/04 14.00
13747	BNP Paribas Issuance B.V. - CSOP A50 ETF Eu Wts Dec 2019 A	80,000,000	2019/03/26	2019/12/03 12.00
13744	BNP Paribas Issuance B.V. - Galaxy Ent Euro Wts Nov 2019 A	40,000,000	2019/03/26	2019/11/04 13.60
13733	BNP Paribas Issuance B.V. - HKEX European Wts Nov 2019 B	60,000,000	2019/03/26	2019/11/04 15.60
13736	BNP Paribas Issuance B.V. - HKEX Euro Put Wts Oct 2019 C	60,000,000	2019/03/26	2019/10/03 17.40
13730	BNP Paribas Issuance B.V. - Ping An European Wt Oct 2019 F	40,000,000	2019/03/26	2019/10/03 10.40
13732	BNP Paribas Issuance B.V. - Ping An European Wt Jan 2020 B	40,000,000	2019/03/26	2020/01/03 10.00
13709	BNP Paribas Issuance B.V. - Ping An Eur Put Wts Nov 2019 C	50,000,000	2019/03/26	2019/11/04 12.50
13655	BNP Paribas Issuance B.V. - Tencent European Wts Jan 2020 F	80,000,000	2019/03/26	2020/01/02 20.00
13660	BNP Paribas Issuance B.V. - Tencent Eu Put Wt Nov 2019 B	50,000,000	2019/03/26	2019/11/04 12.50
13717	BNP Paribas Issuance B.V. - Xiaomi European Warrants Jan20 B	50,000,000	2019/03/26	2020/01/02 12.50
13851	Credit Suisse AG - CS Services European Warrants Sep 2019 A	50,000,000	2019/03/26	2019/09/25 12.50
13837	Credit Suisse AG - CSPC Pharma European Warrants Dec 2019 B	50,000,000	2019/03/26	2019/12/31 12.50
13850	Credit Suisse AG - China Tower European Put Warrants Aug19 A	70,000,000	2019/03/26	2019/08/05 10.50
13871	Credit Suisse AG - Tencent European Wts Sep 2019 D	250,000,000	2019/03/26	2019/09/23 40.00
13848	Credit Suisse AG - WuXi Bio European Warrants Oct 2019 B	40,000,000	2019/03/26	2019/10/31 10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
14045	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Sep 2019 B	80,000,000	2019/03/26	2019/09/11 15.92
14041	Goldman Sachs SP (Asia) - China Overseas European Wts Oct19A	80,000,000	2019/03/26	2019/10/24 12.00
14022	Goldman Sachs SP (Asia) - HKEX European Warrants Sep 2019 G	100,000,000	2019/03/26	2019/09/04 15.00
14062	Goldman Sachs SP (Asia) - Lenovo European Wts Aug 2019 A	60,000,000	2019/03/26	2019/08/23 19.56
13561	Haitong Int'l Sec - China Overseas European Wts Nov 2019 A	40,000,000	2019/03/26	2019/11/29 10.40
13564	Haitong Int'l Sec - Henderson Land Dev European Wts Nov 19 A	40,000,000	2019/03/26	2019/11/29 10.00
13824	Haitong Int'l Sec - MTRC European Put Warrants Oct 2019 B	60,000,000	2019/03/26	2019/10/24 10.20
13569	Haitong Int'l Sec - SUNAC European Warrants Nov 2019 A	30,000,000	2019/03/26	2019/11/29 19.80
13592	Haitong Int'l Sec - Tencent European Warrants Oct 2019 B	70,000,000	2019/03/26	2019/10/30 21.00
13644	Haitong Int'l Sec - Tencent European Put Warrants Oct 2019 C	70,000,000	2019/03/26	2019/10/30 20.30
13794	J P Morgan SP BV - China Mobile European Wts Sep 2019 B	100,000,000	2019/03/26	2019/09/23 18.00
13771	J P Morgan SP BV - China Overseas European Put Wts Nov 2019B	50,000,000	2019/03/26	2019/11/29 12.60
13784	J P Morgan SP BV - HKEX European Put Wts Aug 2019 D	200,000,000	2019/03/26	2019/08/30 30.20
13785	J P Morgan SP BV - WH Group European Warrants Dec 2019 B	40,000,000	2019/03/26	2019/12/02 10.08
13782	J P Morgan SP BV - WuXi Bio European Warrants Dec 2019 A	80,000,000	2019/03/26	2019/12/03 20.08
13546	Macquarie Bank Ltd. - Kingsoft European Warrants Dec 2019 A	40,000,000	2019/03/26	2019/12/03 10.00
13552	Macquarie Bank Ltd. - Kingsoft European Put Warrants Nov19 B	40,000,000	2019/03/26	2019/11/04 10.00
13484	Macquarie Bank Ltd. - Ping An European Warrants Nov 2019 B	25,000,000	2019/03/26	2019/11/04 10.08
13529	Macquarie Bank Ltd. - China Vanke European Wts Apr 2020 A	17,500,000	2019/03/26	2020/04/02 10.24
13557	Macquarie Bank Ltd. - WuXi Bio European Warrants Mar 2020 A	38,000,000	2019/03/26	2020/03/17 10.11
13478	Macquarie Bank Ltd. - CRRC Times European Warrants Oct 2019A	32,000,000	2019/03/26	2019/10/16 10.02
13957	SG Issuer - Agile Ppt European Warrants Oct 2019 A	50,000,000	2019/03/26	2019/10/21 12.50
13980	SG Issuer - Country Garden European Warrants Oct 2019 B	40,000,000	2019/03/26	2019/10/31 10.00
13929	SG Issuer - China Mobile European Warrants Sep 2019 B	70,000,000	2019/03/26	2019/09/23 10.50
13926	SG Issuer - China Mobile European Put Warrants Sep 2019 A	40,000,000	2019/03/26	2019/09/09 10.60
14005	SG Issuer - HKEX European Warrants Sep 2019 G	200,000,000	2019/03/26	2019/09/23 41.00
13944	SG Issuer - HSCEI European Put Warrants Sep 2019 B	150,000,000	2019/03/26	2019/09/27 22.50
13934	SG Issuer - HSI European Put Warrants Sep 2019 H	300,000,000	2019/03/26	2019/09/27 45.00
14008	SG Issuer - PetroChina European Warrants Jul 2019 B	70,000,000	2019/03/26	2019/07/31 10.50
14014	SG Issuer - Sino Biopharm European Warrants Sep 2019 A	80,000,000	2019/03/26	2019/09/30 12.00
14020	SG Issuer - Xiaomi European Warrants Sep 2019 D	60,000,000	2019/03/26	2019/09/04 13.20
13756	UBS AG - China Overseas European Warrants Oct 2019 A	70,000,000	2019/03/26	2019/10/24 10.50
13770	UBS AG - Chi Res Land European Warrants Sep 2019 A	60,000,000	2019/03/26	2019/09/30 16.56
13764	UBS AG - WH Group European Warrants Sep 2019 B	40,000,000	2019/03/26	2019/09/30 10.00
13877	Bank Vontobel - China Mobile European Warrants Sep 2019 A	40,000,000	2019/03/26	2019/09/30 10.04
13889	Bank Vontobel - Ping An European Put Warrants Oct 2019 B	20,000,000	2019/03/26	2019/10/28 10.02
13874	Bank Vontobel - Ping An European Put Warrants Dec 2019 A	60,000,000	2019/03/26	2019/12/30 10.26
14201	BNP Paribas Issuance B.V. - A50 China Euro Wts Dec 2019 A	70,000,000	2019/03/27	2019/12/03 10.50
14199	BNP Paribas Issuance B.V. - Geely Auto Euro Wts Oct 2019 C	50,000,000	2019/03/27	2019/10/03 12.50
14305	BNP Paribas Issuance B.V. - Meituan European Warrants Oct19B	40,000,000	2019/03/27	2019/10/03 10.00
14203	BNP Paribas Issuance B.V. - SJM Holdings Eu Wts Feb 2020 A	60,000,000	2019/03/27	2020/02/04 15.60
14238	BNP Paribas Issuance B.V. - SUNAC European Wts Oct 2019 A	40,000,000	2019/03/27	2019/10/03 16.80
14271	BNP Paribas Issuance B.V. - Tencent European Wts Dec 2019 C	80,000,000	2019/03/27	2019/12/03 20.00
14553	Credit Suisse AG - CSPC Pharma European Put Warrants Sep19 B	50,000,000	2019/03/27	2019/09/30 12.50

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股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
14566	Credit Suisse AG - SUNAC European Warrants Sep 2019 B	40,000,000	2019/03/27	2019/09/26	14.00
14544	Credit Suisse AG - WH Group European Warrants Sep 2019 A	40,000,000	2019/03/27	2019/09/26	10.00
14576	Credit Suisse AG - Xiaomi European Warrants Sep 2019 D	100,000,000	2019/03/27	2019/09/04	17.00
14176	Bank of East Asia - China Life European Warrants Dec 2019 A	40,000,000	2019/03/27	2019/12/30	10.04
14100	Bank of East Asia - Haitong Sec European Warrants Dec 2019 A	50,000,000	2019/03/27	2019/12/30	12.80
14118	Bank of East Asia - Ping An European Warrants Jul 2019 B	30,000,000	2019/03/27	2019/07/08	11.49
14149	Bank of East Asia - Ping An European Put Warrants Oct 2019 A	30,000,000	2019/03/27	2019/10/10	12.06
14066	Bank of East Asia - Xiaomi European Warrants Oct 2019 A	40,000,000	2019/03/27	2019/10/10	10.32
14648	Goldman Sachs SP (Asia) - CSPC Pharma Euro Wts Aug 2019 B	70,000,000	2019/03/27	2019/08/22	10.50
14661	Goldman Sachs SP (Asia) - HKEX European Warrants Dec 2019 C	150,000,000	2019/03/27	2019/12/24	22.50
14667	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2019 G	150,000,000	2019/03/27	2019/09/27	22.50
14665	Goldman Sachs SP (Asia) - PetroChina European Wts Jul 2019 A	80,000,000	2019/03/27	2019/07/26	12.00
14638	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2019 D	150,000,000	2019/03/27	2019/09/23	22.50
14634	Goldman Sachs SP (Asia) - Xiaomi European Warrants Sep 2019E	70,000,000	2019/03/27	2019/09/04	10.50
14473	Haitong Int'l Sec - Conch Cement European Warrants Nov 2019A	40,000,000	2019/03/27	2019/11/28	25.60
14495	Haitong Int'l Sec - CK Asset European Warrants Oct 2019 A	40,000,000	2019/03/27	2019/10/31	13.20
14499	Haitong Int'l Sec - CKH Holdings European Warrants Oct 2019A	40,000,000	2019/03/27	2019/10/31	14.80
14116	Haitong Int'l Sec - China Res Beer European Warrants Dec19 A	40,000,000	2019/03/27	2019/12/31	18.00
14113	Haitong Int'l Sec - CSPC Pharma European Warrants Jan 2020 A	50,000,000	2019/03/27	2020/01/31	12.50
14483	Haitong Int'l Sec - SMIC European Warrants Jan 2021 A	70,000,000	2019/03/27	2021/01/29	17.50
14117	Haitong Int'l Sec - Tencent European Put Warrants Oct 2019 D	70,000,000	2019/03/27	2019/10/31	23.80
14510	J P Morgan SP BV - HSI European Warrants Oct 2019 D	400,000,000	2019/03/27	2019/10/30	100.00
14502	J P Morgan SP BV - HSI European Put Warrants Sep 2019 G	400,000,000	2019/03/27	2019/09/27	100.80
14471	J P Morgan SP BV - SUNAC European Put Warrants Sep 2019 A	70,000,000	2019/03/27	2019/09/30	19.32
14472	J P Morgan SP BV - Tencent European Put Warrants Jun 2019 M	250,000,000	2019/03/27	2019/06/28	42.75
14318	Macquarie Bank Ltd. - AAC Tech European Wts Apr 2021 A	40,000,000	2019/03/27	2021/04/07	10.00
14314	Macquarie Bank Ltd. - BYD Company European Wts Oct 2019 D	32,000,000	2019/03/27	2019/10/03	10.02
14317	Macquarie Bank Ltd. - BYD Company European Put Wts Nov 2019A	24,500,000	2019/03/27	2019/11/04	10.05
14402	Macquarie Bank Ltd. - Evergrande European Wts Jan 2020 A	21,000,000	2019/03/27	2020/01/03	10.31
14383	Macquarie Bank Ltd. - R&F Ppt European Warrants Aug 2020 A	30,500,000	2019/03/27	2020/08/04	11.41
14685	SG Issuer - Geely Auto European Warrants Sep 2019 C	80,000,000	2019/03/27	2019/09/23	13.60
14466	UBS AG - China Mobile European Put Warrants Sep 2019 B	100,000,000	2019/03/27	2019/09/04	25.50
14692	BOCI Asia Ltd. - Xiaomi European Warrants Sep 2019 D	100,000,000	2019/03/28	2019/09/04	16.00
14820	Credit Suisse AG - Evergrande European Warrants Sep 2019 B	40,000,000	2019/03/28	2019/09/27	10.00
14828	Credit Suisse AG - A50 China European Warrants Nov 2019 A	70,000,000	2019/03/28	2019/11/22	10.50
14827	Credit Suisse AG - R&F Ppt European Warrants Oct 2019 A	50,000,000	2019/03/28	2019/10/31	12.50
14846	Goldman Sachs SP (Asia) - China Tel European Wts Jul 2019 A	70,000,000	2019/03/28	2019/07/23	10.50
14853	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2019 B	80,000,000	2019/03/28	2019/07/16	14.48
14844	Goldman Sachs SP (Asia) - SUNAC European Warrants Sep 2019 A	40,000,000	2019/03/28	2019/09/30	10.00
14835	Goldman Sachs SP (Asia) - Tencent European Put Wt Sep 2019 E	120,000,000	2019/03/28	2019/09/26	24.72
14782	HK Bank - AIA European Warrants Dec 2020 A	80,000,000	2019/03/28	2020/12/23	14.40
14799	HK Bank - China Mobile European Warrants Dec 2019 A	100,000,000	2019/03/28	2019/12/31	25.00
14790	HK Bank - China Mobile European Warrants Jun 2020 A	100,000,000	2019/03/28	2020/06/30	25.00
14687	J P Morgan SP BV - CKH Holdings European Warrants Jun 2019 A	70,000,000	2019/03/28	2019/06/28	10.57

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
14776	J P Morgan SP BV - CR Beer European Warrants Oct 2019 A	60,000,000	2019/03/28	2019/10/11	15.00
14779	J P Morgan SP BV - PetroChina European Put Wts Sep 2019 A	80,000,000	2019/03/28	2019/09/30	20.08
14759	Macquarie Bank Ltd. - Anta Sports European Wts Apr 2020 A	10,000,000	2019/03/28	2020/04/17	10.27
14704	Macquarie Bank Ltd. - Country Garden European Wts Oct 2019 C	40,000,000	2019/03/28	2019/10/03	10.00
14750	Macquarie Bank Ltd. - Chi Res Land European Wts Jan 2020 A	27,000,000	2019/03/28	2020/01/03	10.04
14770	Macquarie Bank Ltd. - GCL-Poly Energy European Wts May 2021A	40,000,000	2019/03/28	2021/05/04	10.04
14694	Macquarie Bank Ltd. - NCI European Warrants Oct 2019 C	40,000,000	2019/03/28	2019/10/03	10.04
14751	Macquarie Bank Ltd. - SUNAC European Warrants Nov 2019 A	16,500,000	2019/03/28	2019/11/04	10.10
14775	UBS AG - Lenovo European Put Warrants Sep 2019 B	30,000,000	2019/03/28	2019/09/27	10.20
14816	Bank Vontobel - BOC HK European Warrants Apr 2020 A	40,000,000	2019/03/28	2020/04/01	10.00
14809	Bank Vontobel - CSPC Pharma European Warrants Nov 2019 A	40,000,000	2019/03/28	2019/11/01	10.36
14819	Bank Vontobel - China Unicom European Warrants Dec 2019 A	40,000,000	2019/03/28	2019/12/30	10.00
14812	Bank Vontobel - SUNAC European Warrants Nov 2019 A	30,000,000	2019/03/28	2019/11/04	14.49
14862	BOCI Asia Ltd. - China Mobile European Warrants Jul 2019 A	70,000,000	2019/03/29	2019/07/24	10.50
15074	Credit Suisse AG - AIA European Warrants Dec 2020 A	150,000,000	2019/03/29	2020/12/23	22.50
15102	Credit Suisse AG - CK Asset European Warrants Sep 2019 A	50,000,000	2019/03/29	2019/09/30	12.50
15071	Credit Suisse AG - HSBC European Wts Aug 2019 C	100,000,000	2019/03/29	2019/08/19	15.00
15076	Credit Suisse AG - Ping An European Warrants Dec 2019 A	250,000,000	2019/03/29	2019/12/20	37.50
15084	Credit Suisse AG - Ping An European Warrants Jul 2020 A	250,000,000	2019/03/29	2020/07/24	37.50
15052	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2019 C	80,000,000	2019/03/29	2019/08/22	12.00
15027	Goldman Sachs SP (Asia) - CITIC European Warrants Dec 2019 B	70,000,000	2019/03/29	2019/12/20	10.50
14988	Goldman Sachs SP (Asia) - CKH Holdings European Wts Aug 19 A	60,000,000	2019/03/29	2019/08/28	10.20
15053	Goldman Sachs SP (Asia) - Ping An European Wts Aug 2019 C	100,000,000	2019/03/29	2019/08/27	37.50
15049	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2019 F	150,000,000	2019/03/29	2019/09/02	31.20
14876	Haitong Int'l Sec - AAC Tech European Put Warrants Jul 2019A	80,000,000	2019/03/29	2019/07/25	16.80
14887	Haitong Int'l Sec - Tencent European Warrants Sep 2019 C	100,000,000	2019/03/29	2019/09/23	16.00
14888	Haitong Int'l Sec - Tencent European Warrants Sep 2019 D	100,000,000	2019/03/29	2019/09/24	18.00
14892	Haitong Int'l Sec - Tencent European Put Warrants Jul 2019 C	100,000,000	2019/03/29	2019/07/25	16.00
14914	SG Issuer - Dongfeng European Warrants Oct 2019 A	15,000,000	2019/03/29	2019/10/31	10.05
14924	SG Issuer - GAC European Warrants Oct 2019 A	40,000,000	2019/03/29	2019/10/31	10.00
14963	SG Issuer - SUNAC European Warrants Sep 2019 C	30,000,000	2019/03/29	2019/09/30	10.05
14973	SG Issuer - WH Group European Warrants Sep 2019 A	50,000,000	2019/03/29	2019/09/30	13.50
14909	UBS AG - China Mobile European Warrants Jul 2019 B	100,000,000	2019/03/29	2019/07/24	15.00
14895	UBS AG - Geely Auto European Warrants Sep 2019 B	70,000,000	2019/03/29	2019/09/23	10.50
總額					53,532.77

進一步發行

主 板 債 務 證 券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2018	第1季	73.73	11,423.14
	第2季	8.66	11,216.39
	第3季	469.21	12,699.23
	第4季	59.73	13,055.16
2019	第1季	180.86	17,574.55

債券面值

		發行數目	面值(百萬港元)
2018	第1季	1,095	4,228,190.05
	第2季	1,117	4,313,245.30
	第3季	1,155	4,476,037.29
	第4季	1,195	4,588,705.55
2019	第1季	1,243	4,806,055.20

新上市統計－債券

		新上市債券數目	集資金額(百萬港元)
2018	第1季	79	260,424.86
	第2季	74	268,843.96
	第3季	64	270,436.71
	第4季	86	254,127.38
2019	第1季	94	330,173.31

主 板 債 務 證 券

新上市債券

2019 第 1 季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
05512	山東省商業集團有限公司8.50%債券2021年	USD	120,000,000	2019/01/02	2021/12/31	923.94
05499	旭輝控股(集團)有限公司7.625%優先票據2021年	USD	400,000,000	2019/01/03	2021/03/02	3,119.06
05341*	龍光地產控股有限公司5.75%優先票據2022年	USD	50,000,000	2019/01/10	2022/01/03	360.13
05515	國家開發銀行香港分行浮息票據2021年	USD	375,000,000	2019/01/14	2021/01/11	2,925.00
05019*	FWD Group Ltd. 零息次級永久證券	USD	164,000,000	2019/01/14	-	855.04
05518	國泰君安國際控股有限公司4.25%票據2022年	USD	200,000,000	2019/01/15	2022/01/14	1,557.22
05522	正榮地產集團有限公司8.60%優先票據2020年	USD	200,000,000	2019/01/16	2020/01/13	1,529.16
05524	大新銀行有限公司二級資本有期限次級票據2029年	USD	225,000,000	2019/01/16	2029/01/15	1,747.26
05526	中駿集團控股有限公司8.75%優先票據2021年	USD	500,000,000	2019/01/16	2021/01/15	3,900.00
05529	Coastal Emerald Ltd. 5.95%有擔保債券2020年	USD	550,000,000	2019/01/16	2020/01/13	4,290.00
05530	上海商業銀行有限公司二級後償票據2029年	USD	300,000,000	2019/01/18	2029/01/17	2,335.81
04236	中華人民共和國香港特別行政區1.97%債券2029年	HKD	1,500,000,000	2019/01/18	2029/01/17	1,478.55
05532	中國宇華教育集團有限公司3.00%可換股債券2020年	HKD	940,000,000	2019/01/21	2020/01/16	940.00
05533	交銀租賃管理香港有限公司4.00%票據2022年	USD	800,000,000	2019/01/23	2022/01/22	6,202.74
05536	交銀租賃管理香港有限公司4.375%票據2024年	USD	700,000,000	2019/01/23	2024/01/22	5,429.75
05561	禹洲地產股份有限公司8.625%優先票據2022年B	USD	500,000,000	2019/01/24	2022/01/23	3,900.00
05124*	正榮地產集團有限公司10.50%優先票據2020年	USD	150,000,000	2019/01/24	2020/06/28	1,167.92
05545	Greenland Global Investment Ltd. 7.875%票據2020年	USD	300,000,000	2019/01/25	2020/10/24	2,335.70
05546	CMB Wing Lung Bk 6.50%無期限非累積次級額外一級資本證券	USD	400,000,000	2019/01/25	-	3,120.00
05562	聯想集團有限公司3.375%可換股債券2024年	USD	675,000,000	2019/01/25	2024/01/24	5,265.00
05563	國家開發銀行香港分行0.375%票據2022年B	EUR	500,000,000	2019/01/25	2022/01/24	4,454.21
05573	國家開發銀行香港分行浮息票據2022年C	HKD	2,500,000,000	2019/01/25	2022/01/24	2,500.00
05579	國家開發銀行香港分行浮息票據2024年B	HKD	1,500,000,000	2019/01/25	2024/01/24	1,500.00
05588	國家開發銀行香港分行浮息票據2029年	HKD	300,000,000	2019/01/25	2029/01/24	300.00
05704	諾熙資本有限公司7.50%有擔保債券2022年	USD	150,000,000	2019/01/29	2022/01/28	1,170.00
05589	德昌電機控股有限公司4.125%票據2024年	USD	300,000,000	2019/01/31	2024/07/30	2,326.01
05705	Yankuang Group (Cayman) Ltd. 6.00%債券2022年	USD	215,000,000	2019/01/31	2022/01/30	1,677.00
05712	遠洋地產實財IV有限公司5.25%有擔保票據2022年	USD	500,000,000	2019/01/31	2022/04/30	3,880.31
05706	Celestial Miles Ltd. 優先有擔保永久資本證券	USD	800,000,000	2019/02/01	-	6,240.00
05706*	Celestial Miles Ltd. 優先有擔保永久資本證券	USD	200,000,000	2019/02/01	-	1,566.24
05717	景瑞控股有限公司13.00%優先票據2020年	USD	150,000,000	2019/02/01	2020/07/31	1,170.00
05724	香港按揭證券有限公司浮息票據2022年	HKD	500,000,000	2019/02/01	2022/01/31	500.00
05722	CMOC Capital 5.48%有擔保債券2022年	USD	300,000,000	2019/02/04	2022/02/01	2,340.00
05725	金沙中國有限公司4.60%優先票據2023年B	USD	1,695,850,000	2019/02/04	2023/08/08	13,227.63
05727	金沙中國有限公司5.125%優先票據2025年B	USD	1,786,475,000	2019/02/04	2025/08/08	13,934.51
05733	金沙中國有限公司5.40%優先票據2028年B	USD	1,892,760,000	2019/02/04	2028/08/08	14,763.53
05719	禹洲地產股份有限公司8.50%優先票據2023年	USD	500,000,000	2019/02/08	2023/02/04	3,900.00
05736	冠誠控股有限公司優先永久資本證券A	USD	400,000,000	2019/02/11	-	3,120.00
05737	冠誠控股有限公司優先永久資本證券B	USD	100,000,000	2019/02/11	-	780.00
05749	Towngas (Finance) Ltd. 永久次級有擔保資本證券	USD	300,000,000	2019/02/13	-	2,340.00
05751	成都經濟技術開發區國有資產投資有限公司7.50% 優先債券2022年	USD	250,000,000	2019/02/13	2022/02/12	1,950.00

主 板 債 務 證 券

股份代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
05758	香港按揭證券有限公司浮息票據2024年	HKD	500,000,000	2019/02/13	2024/02/02	500.00
05122#	Greenland Global Investment Ltd.浮息票據2021年	USD	300,000,000	2019/02/13	2021/09/26	2,340.47
05529#	Coastal Emerald Ltd. 5.95%有擔保債券2020年	USD	50,000,000	2019/02/20	2020/01/13	389.98
05117#	青島膠州灣發展有限公司6.50%債券2021年	USD	80,000,000	2019/02/20	2021/06/14	614.27
04075	香港金融管理局1.54%外匯基金票據2021年	HKD	1,200,000,000	2019/02/21	2021/02/22	1,201.44
05762	正榮地產集團有限公司9.80%優先票據2021年	USD	230,000,000	2019/02/21	2021/08/20	1,757.49
05761	Airport Authority 3.45%票據2029年	USD	500,000,000	2019/02/22	2029/02/21	3,894.43
05764	時代中國控股有限公司7.625%優先票據2022年	USD	500,000,000	2019/02/22	2022/02/21	3,900.00
05770	江西省鐵路投資集團公司4.85%優先債券2022年	USD	300,000,000	2019/02/22	2022/02/21	2,340.00
05773	China Cinda Finance (2017) I Ltd. 3.75%有擔保優先票據2022年	USD	200,000,000	2019/02/22	2022/02/21	1,556.44
05775	China Cinda Finance (2017) I Ltd. 4.00%有擔保優先票據2024年	USD	200,000,000	2019/02/22	2024/02/21	1,547.80
05783	China Cinda Finance (2017) I Ltd. 4.75%有擔保優先票據2029年	USD	600,000,000	2019/02/22	2029/02/21	4,675.93
05766	Series 2019-1 WST Trust浮息優先A級別按揭票據2050年	AUD	2,760,000,000	2019/02/22	2050/08/18	15,594.83
05807	SHK Ppt (Cap Market) Ltd. 3.75%有擔保票據2029年	USD	500,000,000	2019/02/26	2029/02/25	3,867.87
05785	普洛斯中國控股有限公司4.974%票據2024年	USD	500,000,000	2019/02/27	2024/02/26	3,900.00
05786	華潤置地有限公司4.125%票據2029年	USD	500,000,000	2019/02/27	2029/02/26	3,861.24
05787	華潤置地有限公司3.75%票據2024年	USD	300,000,000	2019/02/27	2024/08/26	2,331.60
05798	禹洲地產股份有限公司8.50%優先票據2024年	USD	500,000,000	2019/02/27	2024/02/26	3,900.00
05810	香港按揭證券有限公司浮息票據2024年	HKD	1,000,000,000	2019/02/27	2024/02/22	1,000.00
05802	中國建設銀行股份有限公司4.25%二級資本債券2029年	USD	1,850,000,000	2019/02/28	2029/02/27	14,368.38
05814	ENN Clean Energy Int'l Invest Ltd. 7.50% 有擔保優先票據2021年	USD	250,000,000	2019/02/28	2021/02/27	1,920.05
05834	國瑞置業有限公司13.50%優先票據2022年	USD	160,000,000	2019/02/28	2022/02/27	1,210.56
05765	旭輝控股(集團)有限公司7.625%優先票據2023年	USD	300,000,000	2019/03/01	2023/02/28	2,340.00
05818	易居(中國)企業控股有限公司7.75%優先票據2021年	USD	200,000,000	2019/03/01	2021/02/28	1,535.41
05831	中信銀行(國際)有限公司二級後償票據2029年	USD	500,000,000	2019/03/01	2029/02/28	3,883.86
05811	合景泰富集團控股有限公司7.875%優先票據2023年	USD	350,000,000	2019/03/04	2023/09/01	2,730.00
05813	Henderson Land MTN Ltd. 3.875%有擔保票據2029年	USD	300,000,000	2019/03/04	2029/03/01	2,304.15
05835	萬達地產環球有限公司6.25%有擔保債券2020年	USD	300,000,000	2019/03/04	2020/02/27	2,340.02
05851	Zhaojin Mining International Finance Ltd. 5.50% 有擔保票據2022年	USD	300,000,000	2019/03/04	2022/03/01	2,340.00
05837	弘陽地產集團有限公司11.50%優先票據2021年	USD	300,000,000	2019/03/05	2021/03/04	2,294.72
05185	碧海有限公司6.25%有擔保票據2022年	USD	600,000,000	2019/03/06	2022/03/05	4,680.00
05840	工銀國際租賃財務有限公司3.65%票據2022年	USD	700,000,000	2019/03/06	2022/03/05	5,449.68
05841	工銀國際租賃財務有限公司3.75%票據2024年	USD	800,000,000	2019/03/06	2024/03/05	6,198.44
85729#	遠東宏信有限公司4.90%人民幣票據2021年	RMB	1,070,000,000	2019/03/07	2021/02/27	1,256.40
04237	中華人民共和國香港特別行政區2.02%債券2034年	HKD	600,000,000	2019/03/08	2034/03/07	593.28
05852	萬科地產(香港)有限公司4.20%票據2024年	USD	600,000,000	2019/03/08	2024/06/07	4,676.21
05856	NWD Finance (BVI) Ltd. 6.25%有擔保優先永久資本證券	USD	500,000,000	2019/03/08	-	3,900.00
05857	啟迪科華有限公司7.90%有擔保債券2021年	USD	350,000,000	2019/03/08	2021/03/07	2,730.00
05861	尚乘集團有限公司5.00%票據2022年3月	USD	18,285,000	2019/03/11	2022/03/08	142.62
05876	尚乘集團有限公司5.00%票據2022年9月	USD	92,085,000	2019/03/11	2022/09/08	718.26
05878	正榮地產集團有限公司9.15%優先票據2022年	USD	200,000,000	2019/03/11	2022/03/08	1,528.30
05853	國泰君安控股有限公司3.875%有擔保債券2022年	USD	500,000,000	2019/03/12	2022/03/11	3,884.60
05877	CDBL Funding 2 3.75%有擔保票據2022年	USD	700,000,000	2019/03/12	2022/03/11	5,454.48
05883	國泰君安證券股份有限公司浮息債券2022年	EUR	255,000,000	2019/03/13	2022/03/12	2,253.89
05888	Greenland Global Investment Ltd. 7.25%票據2022年	USD	350,000,000	2019/03/13	2022/03/12	2,730.00

主 板 債 務 證 券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05889	新湖(BVI) 2018 控股有限公司 11.00% 有擔保優先票據 2022 年	USD 275,000,000	2019/03/15	2022/03/14	2,145.00
05876#	尚乘集團有限公司 5.00% 票據 2022 年 9 月	USD 57,915,000	2019/03/15	2022/09/08	451.74
05834#	國瑞置業有限公司 13.50% 優先票據 2022 年	USD 295,000,000	2019/03/18	2022/02/27	2,231.97
05898	重慶市能源投資集團有限公司 5.625% 債券 2022 年	USD 500,000,000	2019/03/19	2022/03/18	3,883.58
05905	香港按揭證券有限公司 浮息票據 2024 年	USD 125,000,000	2019/03/19	2024/03/14	975.00
05901	Joy Treasure Assets Holdings Inc. 3.875% 債券 2024 年	USD 400,000,000	2019/03/21	2024/03/20	3,113.82
05903	Joy Treasure Assets Holdings Inc. 4.50% 債券 2029 年	USD 300,000,000	2019/03/21	2029/03/20	2,318.45
05906	Sunny Express Enterprises Corp. 3.50% 有擔保票據 2022 年	USD 500,000,000	2019/03/22	2022/03/21	3,898.25
05907	新疆金融投資有限公司 7.50% 債券 2022 年	USD 200,000,000	2019/03/22	2022/03/21	1,560.00
05912	Bank of Communications Co., Ltd. HK Branch 浮息票據 2022 年	USD 800,000,000	2019/03/22	2022/03/21	6,240.00
05914	Bank of Communications Co., Ltd. HK Branch 2.85% 票據 2024 年	HKD 3,500,000,000	2019/03/22	2024/03/21	3,500.00
05915	香港按揭證券有限公司 2.09% 票據 2021 年	HKD 400,000,000	2019/03/22	2021/03/21	400.00
85741	Bank of Communications Co., Ltd. HK Branch 3.40% 人民幣票據 2021 年	RMB 2,500,000,000	2019/03/22	2021/03/21	2,931.50
05811#	合景泰富集團控股有限公司 7.875% 優先票據 2023 年	USD 350,000,000	2019/03/25	2023/09/01	2,788.01
05916	Poly Real Estate Finance Ltd. 3.875% 有擔保債券 2024 年	USD 500,000,000	2019/03/26	2024/03/25	3,878.47
05919	中泰國際財務(英屬維爾京群島)有限公司 5.40% 有擔保債券 2020 年	USD 200,000,000	2019/03/27	2020/03/24	1,560.00
05751#	成都經濟技術開發區國有資產投資有限公司 7.50% 優先債券 2022 年	USD 250,000,000	2019/03/27	2022/02/12	1,954.72
05922	華遠地產股份有限公司 8.50% 優先票據 2021 年	USD 300,000,000	2019/03/28	2021/09/27	2,340.00
05925	旭輝控股(集團)有限公司 6.55% 優先票據 2024 年	USD 255,000,000	2019/03/29	2024/03/28	1,989.00
05926	中國教育集團控股有限公司 2.00% 可換股債券 2024 年	HKD 2,355,000,000	2019/03/29	2024/03/28	2,355.00
總額					330,173.31

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	槓桿及 反向產品 (L&I Products) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2018	第1季	352,766.39	30,133.06	30,117.82	98.32	413,115.59	4.66
	第2季	251,843.88	23,169.94	24,750.59	51.70	299,816.11	4.71
	第3季	192,388.16	23,002.28	28,585.72	62.14	244,038.30	4.23
	第4季	190,252.89	33,546.71	29,121.88	41.46	252,962.94	4.78
2019	第1季	260,981.84	17,819.92	33,967.70	18.91	312,788.36	5.17

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		槓桿及 反向產品 (L&I Products) (百萬港元)		房地產投資 信託基金 (REITs) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目		發行數目	
2018	第1季	106	612,842.81	27	4,509.75	11	263,218.35	1	941.89	145	881,512.80
	第2季	108	593,609.09	27	5,188.57	11	268,855.16	1	902.36	145	868,555.18
	第3季	112	540,139.20	22	4,625.00	11	277,844.26	1	599.51	146	823,207.97
	第4季	115	551,375.79	22	5,252.56	11	280,106.35	1	556.89	149	837,291.59
2019	第1季	115	596,384.72	22	6,808.44	11	323,936.88	1	675.83	149	927,805.86

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2018	第1季	1	—
	第2季	4	—
	第3季	4	—
	第4季	6	—
2019	第1季	1	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2019 第 1 季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
03096/09096	南方東英美元貨幣市場ETF	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2019/01/25

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2018	第1季	3,476	116,066.49	581,996.64
	第2季	2,650	82,658.60	366,044.00
	第3季	2,911	72,149.22	373,055.40
	第4季	2,847	66,272.76	516,217.92
2019	第1季	3,899	107,176.42	597,463.35

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2018	第1季	7,440	234,572.95
	第2季	5,371	173,579.03
	第3季	6,709	213,476.75
	第4季	7,158	234,769.20
2019	第1季	6,467	211,608.09

主板 可收回牛熊證

新上市牛熊證

2019 第 1 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59523	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 B	100,000,000	2019/01/02	2019/11/28	25.00
59524	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 C	100,000,000	2019/01/02	2019/11/28	25.00
59528	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2019Z	150,000,000	2019/01/02	2019/11/28	37.50
59525	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019U	150,000,000	2019/01/02	2019/08/29	37.50
59534	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 C	50,000,000	2019/01/02	2019/07/30	12.50
59535	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 Q	100,000,000	2019/01/02	2019/09/27	25.00
59538	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 D	100,000,000	2019/01/02	2019/12/30	25.00
59539	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 E	100,000,000	2019/01/02	2019/12/30	25.00
59540	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 O	250,000,000	2019/01/02	2020/11/27	62.50
59558	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 Q	250,000,000	2019/01/02	2020/11/27	62.50
59561	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 C	250,000,000	2019/01/02	2019/05/30	62.50
59533	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Jun 2019 Z	200,000,000	2019/01/02	2019/06/27	50.00
59529	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 U	200,000,000	2019/01/02	2019/08/29	50.00
59545	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 A	50,000,000	2019/01/02	2019/06/27	12.50
59543	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 I	50,000,000	2019/01/02	2019/11/28	12.50
59739	Credit Suisse AG - AIA R Bull CBBC Nov 2019 B	70,000,000	2019/01/02	2019/11/20	17.50
59747	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 B	40,000,000	2019/01/02	2019/11/06	10.00
59745	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2019 B	40,000,000	2019/01/02	2019/12/03	10.00
59728	Credit Suisse AG - HSI R Bull CBBC Jan 2021 D	200,000,000	2019/01/02	2021/01/28	50.00
59736	Credit Suisse AG - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/01/02	2021/02/25	50.00
59738	Credit Suisse AG - HSI R Bull CBBC Feb 2021 B	200,000,000	2019/01/02	2021/02/25	50.00
59727	Credit Suisse AG - HSI R Bull CBBC Feb 2021 Z	200,000,000	2019/01/02	2021/02/25	50.00
59729	Credit Suisse AG - HSI R Bear CBBC May 2019 R	200,000,000	2019/01/02	2019/05/30	50.00
59730	Credit Suisse AG - HSI R Bear CBBC May 2019 T	200,000,000	2019/01/02	2019/05/30	50.00
59731	Credit Suisse AG - HSI R Bear CBBC May 2019 U	200,000,000	2019/01/02	2019/05/30	50.00
59735	Credit Suisse AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/01/02	2019/05/30	50.00
59743	Credit Suisse AG - Ping An R Bull CBBC Oct 2019 C	80,000,000	2019/01/02	2019/10/30	20.00
59744	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 G	80,000,000	2019/01/02	2019/11/13	20.00
59748	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 H	80,000,000	2019/01/02	2019/11/07	20.00
59740	Credit Suisse AG - Tencent R Bull CBBC Oct 2019 K	50,000,000	2019/01/02	2019/10/29	12.50
59742	Credit Suisse AG - Tencent R Bull CBBC Oct 2019 L	50,000,000	2019/01/02	2019/10/15	12.50
59750	Credit Suisse AG - Tencent R Bear CBBC Oct 2019 F	50,000,000	2019/01/02	2019/10/29	12.50
59593	Bank of East Asia - AIA R Bear CBBC May 2019 B	40,000,000	2019/01/02	2019/05/31	10.00
59581	Bank of East Asia - CC Bank R Bull CBBC Jun 2019 A	60,000,000	2019/01/02	2019/06/28	15.00
59582	Bank of East Asia - CNOOC R Bull CBBC May 2019 A	40,000,000	2019/01/02	2019/05/31	10.00
59595	Bank of East Asia - Galaxy Ent R Bear CBBC Oct 2019 A	80,000,000	2019/01/02	2019/10/02	20.00
59584	Bank of East Asia - ICBC R Bull CBBC Jun 2019 A	60,000,000	2019/01/02	2019/06/28	15.00
59583	Bank of East Asia - PetroChina R Bull CBBC May 2019 A	40,000,000	2019/01/02	2019/05/31	10.00
59575	Bank of East Asia - Ping An R Bull CBBC May 2019 C	10,000,000	2019/01/02	2019/05/31	10.00
59576	Bank of East Asia - Ping An R Bear CBBC Aug 2019 E	100,000,000	2019/01/02	2019/08/02	25.00
59594	Bank of East Asia - Tencent R Bull CBBC May 2019 A	30,000,000	2019/01/02	2019/05/02	10.50
59722	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 H	100,000,000	2019/01/02	2019/11/15	25.00
59721	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 J	100,000,000	2019/01/02	2019/12/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59488	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 U	200,000,000	2019/01/02	2020/12/30	50.00
59489	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 X	200,000,000	2019/01/02	2020/12/30	50.00
59716	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 Y	200,000,000	2019/01/02	2020/12/30	50.00
59490	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 F	200,000,000	2019/01/02	2019/04/29	50.00
59491	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 G	200,000,000	2019/01/02	2019/04/29	50.00
59715	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 H	200,000,000	2019/01/02	2019/04/29	50.00
59720	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 B	100,000,000	2019/01/02	2019/12/31	25.00
59717	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 G	100,000,000	2019/01/02	2019/12/31	25.00
59719	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 H	100,000,000	2019/01/02	2019/12/31	25.00
59493	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 E	100,000,000	2019/01/02	2019/05/03	25.00
59500	HK Bank - CC Bank R Bull CBBC Jul 2019 A	100,000,000	2019/01/02	2019/07/15	25.00
59506	HK Bank - Sinopec Corp R Bull CBBC Aug 2019 B	80,000,000	2019/01/02	2019/08/19	20.00
59556	HK Bank - CSPC Pharma R Bull CBBC Jul 2019 C	40,000,000	2019/01/02	2019/07/29	12.80
59504	HK Bank - Galaxy Ent R Bear CBBC Jun 2019 D	40,000,000	2019/01/02	2019/06/10	10.00
59554	HK Bank - HKEX R Bear CBBC Jun 2019 D	40,000,000	2019/01/02	2019/06/17	10.00
59507	HK Bank - HSCEI R Bull CBBC Dec 2019 I	60,000,000	2019/01/02	2019/12/30	15.00
59509	HK Bank - HSCEI R Bear CBBC Apr 2019 E	50,000,000	2019/01/02	2019/04/29	12.50
59499	HK Bank - HSI R Bull CBBC Dec 2019 R	150,000,000	2019/01/02	2019/12/30	37.50
59510	HK Bank - HSI R Bear CBBC Apr 2019 M	150,000,000	2019/01/02	2019/04/29	37.50
59496	HK Bank - Ping An R Bull CBBC May 2019 D	100,000,000	2019/01/02	2019/05/27	25.00
59502	HK Bank - Ping An R Bull CBBC Jul 2019 D	100,000,000	2019/01/02	2019/07/22	25.00
59557	HK Bank - Tencent R Bull CBBC Jun 2019 I	60,000,000	2019/01/02	2019/06/17	15.00
59553	HK Bank - Tencent R Bull CBBC Jul 2019 I	60,000,000	2019/01/02	2019/07/08	15.00
59548	HK Bank - Tencent R Bear CBBC Jun 2019 I	50,000,000	2019/01/02	2019/06/24	12.50
59486	Haitong Int'l Sec - HSI R Bull CBBC Dec 2019 F	120,000,000	2019/01/02	2019/12/30	30.00
59710	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 A	120,000,000	2019/01/02	2020/10/29	30.00
59711	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 V	120,000,000	2019/01/02	2019/04/29	30.00
59712	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 W	120,000,000	2019/01/02	2019/04/29	30.00
59487	Haitong Int'l Sec - HSI R Bear CBBC Dec 2019 A	120,000,000	2019/01/02	2019/12/30	30.00
59612	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 T	200,000,000	2019/01/02	2020/09/29	50.00
59616	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 L	200,000,000	2019/01/02	2020/10/29	50.00
59623	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 D	200,000,000	2019/01/02	2020/11/27	50.00
59571	J P Morgan SP BV - HSI R Bear CBBC May 2019 L	200,000,000	2019/01/02	2019/05/30	50.00
59572	J P Morgan SP BV - HSI R Bear CBBC May 2019 M	200,000,000	2019/01/02	2019/05/30	50.00
59596	J P Morgan SP BV - Ping An R Bull CBBC May 2019 B	100,000,000	2019/01/02	2019/05/10	25.00
59599	J P Morgan SP BV - Ping An R Bear CBBC Apr 2019 B	100,000,000	2019/01/02	2019/04/12	25.00
59603	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 I	50,000,000	2019/01/02	2019/04/12	12.50
59605	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 J	50,000,000	2019/01/02	2019/04/18	12.50
59609	J P Morgan SP BV - Tencent R Bull CBBC May 2019 E	50,000,000	2019/01/02	2019/05/10	12.50
59569	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 L	50,000,000	2019/01/02	2019/04/12	12.50
59574	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 M	50,000,000	2019/01/02	2019/04/18	12.50
59626	SG Issuer - Galaxy Ent R Bear CBBC Jul 2019 S	100,000,000	2019/01/02	2019/07/30	25.00
59627	SG Issuer - Galaxy Ent R Bear CBBC Jul 2019 T	100,000,000	2019/01/02	2019/07/30	25.00
59628	SG Issuer - HKEX R Bull CBBC Jun 2019 Z	50,000,000	2019/01/02	2019/06/27	12.50
59629	SG Issuer - HKEX R Bull CBBC Jul 2019 Z	50,000,000	2019/01/02	2019/07/16	12.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59636	SG Issuer - HKEX R Bear CBBC Jul 2019 A	50,000,000	2019/01/02	2019/07/26	12.50
59637	SG Issuer - HKEX R Bear CBBC Jul 2019 B	50,000,000	2019/01/02	2019/07/26	12.50
59702	SG Issuer - HSI R Bull CBBC Aug 2020 F	200,000,000	2019/01/02	2020/08/28	50.00
59703	SG Issuer - HSI R Bull CBBC Aug 2020 K	200,000,000	2019/01/02	2020/08/28	50.00
59708	SG Issuer - HSI R Bull CBBC Aug 2020 Q	200,000,000	2019/01/02	2020/08/28	50.00
59625	SG Issuer - HSI R Bull CBBC Sep 2020 T	200,000,000	2019/01/02	2020/09/29	50.00
59704	SG Issuer - HSI R Bull CBBC Sep 2020 Y	200,000,000	2019/01/02	2020/09/29	50.00
59705	SG Issuer - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/02	2019/04/29	50.00
59701	SG Issuer - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/02	2019/05/30	50.00
59706	SG Issuer - HSI R Bear CBBC May 2019 Y	200,000,000	2019/01/02	2019/05/30	50.00
59707	SG Issuer - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/01/02	2019/07/30	50.00
59647	SG Issuer - Ping An R Bull CBBC Jul 2019 C	100,000,000	2019/01/02	2019/07/25	25.00
59696	SG Issuer - Ping An R Bull CBBC Jul 2019 D	100,000,000	2019/01/02	2019/07/25	25.00
59648	SG Issuer - Ping An R Bull CBBC Aug 2019 M	100,000,000	2019/01/02	2019/08/28	25.00
59644	SG Issuer - Ping An R Bear CBBC Apr 2019 U	100,000,000	2019/01/02	2019/04/29	25.00
59645	SG Issuer - Ping An R Bear CBBC May 2019 F	100,000,000	2019/01/02	2019/05/17	25.00
59661	SG Issuer - Tencent R Bull CBBC Apr 2019 K	80,000,000	2019/01/02	2019/04/15	20.00
59667	SG Issuer - Tencent R Bull CBBC Apr 2019 L	80,000,000	2019/01/02	2019/04/23	20.00
59662	SG Issuer - Tencent R Bull CBBC May 2019 A	80,000,000	2019/01/02	2019/05/24	20.00
59664	SG Issuer - Tencent R Bull CBBC May 2019 B	80,000,000	2019/01/02	2019/05/24	20.00
59665	SG Issuer - Tencent R Bull CBBC May 2019 C	80,000,000	2019/01/02	2019/05/24	20.00
59697	SG Issuer - Tencent R Bear CBBC Apr 2019 B	80,000,000	2019/01/02	2019/04/30	20.00
59652	SG Issuer - Tencent R Bear CBBC Apr 2019 E	80,000,000	2019/01/02	2019/04/08	20.00
59660	SG Issuer - Tencent R Bear CBBC Apr 2019 F	80,000,000	2019/01/02	2019/04/08	20.00
59698	SG Issuer - Tencent R Bear CBBC May 2019 J	80,000,000	2019/01/02	2019/05/03	20.00
59666	SG Issuer - Tencent R Bear CBBC Jul 2019 E	80,000,000	2019/01/02	2019/07/29	20.00
59668	UBS AG - HSI R Bull CBBC Oct 2020 E	200,000,000	2019/01/02	2020/10/29	50.00
59688	UBS AG - HSI R Bull CBBC Oct 2020 S	200,000,000	2019/01/02	2020/10/29	50.00
59678	UBS AG - HSI R Bull CBBC Nov 2020 T	200,000,000	2019/01/02	2020/11/27	50.00
59686	UBS AG - HSI R Bull CBBC Nov 2020 U	200,000,000	2019/01/02	2020/11/27	50.00
59670	UBS AG - HSI R Bull CBBC Jan 2021 D	200,000,000	2019/01/02	2021/01/28	50.00
59671	UBS AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/01/02	2019/05/30	50.00
59672	UBS AG - HSI R Bear CBBC May 2019 W	200,000,000	2019/01/02	2019/05/30	52.00
59674	UBS AG - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/02	2019/05/30	50.00
59675	UBS AG - HSI R Bear CBBC May 2019 Y	200,000,000	2019/01/02	2019/05/30	50.00
59677	UBS AG - HSI R Bear CBBC May 2019 Z	200,000,000	2019/01/02	2019/05/30	50.00
59689	UBS AG - Tencent R Bull CBBC Apr 2019 M	40,000,000	2019/01/02	2019/04/24	10.00
59517	Bank Vontobel - AAC Tech R Bull CBBC Oct 2019 B	40,000,000	2019/01/02	2019/10/18	10.04
59513	Bank Vontobel - CNOOC R Bull CBBC Nov 2019 F	40,000,000	2019/01/02	2019/11/15	10.04
59518	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2019 C	40,000,000	2019/01/02	2019/11/15	10.04
59519	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2019 D	40,000,000	2019/01/02	2019/11/15	10.04
59520	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2019 E	40,000,000	2019/01/02	2019/11/15	12.04
59522	Bank Vontobel - HSI N Bull CBBC Jun 2019 L	80,000,000	2019/01/02	2019/06/27	20.08
59515	Bank Vontobel - Ping An R Bull CBBC Nov 2019 A	40,000,000	2019/01/02	2019/11/08	10.04
59516	Bank Vontobel - Tencent R Bull CBBC Sep 2019 C	40,000,000	2019/01/02	2019/09/20	10.04

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59814	Credit Suisse AG - Galaxy Ent R Bear CBBC Jul 2019 A	50,000,000	2019/01/03	2019/07/30	12.50
59820	Credit Suisse AG - HSCEI R Bull CBBC Dec 2020 A	100,000,000	2019/01/03	2020/12/30	25.00
59824	Credit Suisse AG - HSCEI R Bear CBBC Jun 2019 A	100,000,000	2019/01/03	2019/06/27	25.00
59810	Credit Suisse AG - HSI R Bull CBBC Dec 2020 C	200,000,000	2019/01/03	2020/12/30	50.00
59812	Credit Suisse AG - HSI R Bull CBBC Dec 2020 U	200,000,000	2019/01/03	2020/12/30	50.00
59808	Credit Suisse AG - HSI R Bull CBBC Jan 2021 E	200,000,000	2019/01/03	2021/01/28	50.00
59809	Credit Suisse AG - HSI R Bull CBBC Jan 2021 F	200,000,000	2019/01/03	2021/01/28	50.00
59813	Credit Suisse AG - HSI R Bull CBBC Feb 2021 C	300,000,000	2019/01/03	2021/02/25	75.00
59816	Credit Suisse AG - HSI R Bear CBBC May 2019 Q	200,000,000	2019/01/03	2019/05/30	50.00
59817	Credit Suisse AG - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/01/03	2019/06/27	50.00
59818	Credit Suisse AG - HSI R Bear CBBC Jun 2019 E	300,000,000	2019/01/03	2019/06/27	75.00
59815	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 F	50,000,000	2019/01/03	2019/11/28	12.50
59819	Credit Suisse AG - Tencent R Bear CBBC Sep 2019 B	50,000,000	2019/01/03	2019/09/27	12.50
59826	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 F	200,000,000	2019/01/03	2020/11/27	50.00
59829	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 M	200,000,000	2019/01/03	2020/11/27	50.00
59825	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 Y	200,000,000	2019/01/03	2020/11/27	50.00
59835	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 C	100,000,000	2019/01/03	2019/12/30	25.00
59832	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 J	100,000,000	2019/01/03	2019/05/02	25.00
59833	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 K	100,000,000	2019/01/03	2019/05/02	25.00
59759	HK Bank - HKEX R Bull CBBC Jul 2019 B	50,000,000	2019/01/03	2019/07/02	15.00
59753	HK Bank - HSI R Bull CBBC Dec 2019 P	150,000,000	2019/01/03	2019/12/30	37.50
59757	HK Bank - Ping An R Bull CBBC Jul 2019 E	100,000,000	2019/01/03	2019/07/25	25.00
59752	HK Bank - Tencent R Bull CBBC Jun 2019 N	60,000,000	2019/01/03	2019/06/24	15.00
59756	HK Bank - Tencent R Bear CBBC Jun 2019 J	50,000,000	2019/01/03	2019/06/27	12.50
59751	HK Bank - Tencent R Bear CBBC Jul 2019 E	50,000,000	2019/01/03	2019/07/29	22.50
59807	Haitong Int'l Sec - AIA R Bear CBBC Apr 2019 A	60,000,000	2019/01/03	2019/04/29	15.00
59805	Haitong Int'l Sec - HKEX R Bear CBBC Apr 2019 A	40,000,000	2019/01/03	2019/04/18	10.00
59779	Haitong Int'l Sec - HSI R Bull CBBC Nov 2019 A	120,000,000	2019/01/03	2019/11/28	30.00
59782	Haitong Int'l Sec - HSI R Bull CBBC Dec 2019 G	120,000,000	2019/01/03	2019/12/30	30.00
59790	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 X	120,000,000	2019/01/03	2019/04/29	30.00
59793	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 Y	120,000,000	2019/01/03	2019/04/29	30.00
59806	Haitong Int'l Sec - Ping An R Bear CBBC May 2019 A	60,000,000	2019/01/03	2019/05/31	15.00
59760	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 J	200,000,000	2019/01/03	2020/09/29	50.00
59761	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 T	200,000,000	2019/01/03	2020/10/29	50.00
59764	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 J	200,000,000	2019/01/03	2020/11/27	50.00
59766	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 R	50,000,000	2019/01/03	2019/04/12	12.50
59767	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 S	50,000,000	2019/01/03	2019/04/18	12.50
59770	UBS AG - HSI R Bull CBBC Oct 2020 U	200,000,000	2019/01/03	2020/10/29	50.00
59771	UBS AG - HSI R Bull CBBC Nov 2020 H	200,000,000	2019/01/03	2020/11/27	50.00
59772	UBS AG - HSI R Bull CBBC Nov 2020 R	200,000,000	2019/01/03	2020/11/27	50.00
59769	UBS AG - HSI R Bull CBBC Dec 2020 B	200,000,000	2019/01/03	2020/12/30	50.00
59773	UBS AG - HSI R Bear CBBC Apr 2019 H	200,000,000	2019/01/03	2019/04/29	50.00
59774	UBS AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/01/03	2019/05/30	50.00
59776	UBS AG - Tencent R Bear CBBC Apr 2019 P	40,000,000	2019/01/03	2019/04/04	10.00
59982	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 R	250,000,000	2019/01/04	2020/11/27	62.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59838	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 D	250,000,000	2019/01/04	2019/05/30	62.50
59970	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 E	250,000,000	2019/01/04	2019/05/30	62.50
59973	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 F	250,000,000	2019/01/04	2019/05/30	62.50
59974	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 G	250,000,000	2019/01/04	2019/05/30	62.50
59981	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 H	250,000,000	2019/01/04	2019/05/30	62.50
59937	Credit Suisse AG - CM Bank R Bull CBBC Nov 2019 A	70,000,000	2019/01/04	2019/11/28	17.50
59936	Credit Suisse AG - CPIC R Bull CBBC Dec 2019 B	50,000,000	2019/01/04	2019/12/30	12.50
59932	Credit Suisse AG - Geely Auto R Bear CBBC Sep 2019 C	40,000,000	2019/01/04	2019/09/27	10.00
59912	Credit Suisse AG - HSI R Bull CBBC Dec 2020 W	200,000,000	2019/01/04	2020/12/30	50.00
59931	Credit Suisse AG - HSI R Bull CBBC Dec 2020 X	200,000,000	2019/01/04	2020/12/30	50.00
59914	Credit Suisse AG - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/01/04	2021/01/28	50.00
59928	Credit Suisse AG - HSI R Bull CBBC Jan 2021 I	200,000,000	2019/01/04	2021/01/28	50.00
59929	Credit Suisse AG - HSI R Bull CBBC Feb 2021 D	300,000,000	2019/01/04	2021/02/25	75.00
59944	Credit Suisse AG - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/04	2019/05/30	50.00
59946	Credit Suisse AG - HSI R Bear CBBC May 2019 Y	200,000,000	2019/01/04	2019/05/30	50.00
59945	Credit Suisse AG - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/01/04	2019/06/27	50.00
59943	Credit Suisse AG - Ping An R Bear CBBC Nov 2019 C	80,000,000	2019/01/04	2019/11/22	20.00
59934	Credit Suisse AG - Tencent R Bull CBBC Oct 2019 M	50,000,000	2019/01/04	2019/10/30	12.50
59836	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 I	200,000,000	2019/01/04	2019/04/29	50.00
60036	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 A	200,000,000	2019/01/04	2019/05/30	50.00
60030	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Dec 2019 C	80,000,000	2019/01/04	2019/12/31	20.00
59880	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 L	100,000,000	2019/01/04	2019/05/03	25.00
59837	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 F	80,000,000	2019/01/04	2019/05/03	20.00
59858	HK Bank - HKEX R Bull CBBC Jul 2019 C	50,000,000	2019/01/04	2019/07/15	17.00
59856	HK Bank - HSCEI R Bear CBBC May 2019 C	50,000,000	2019/01/04	2019/05/30	12.50
59855	HK Bank - HSI R Bull CBBC Dec 2019 Q	150,000,000	2019/01/04	2019/12/30	37.50
59857	HK Bank - HSI R Bear CBBC Apr 2019 Z	150,000,000	2019/01/04	2019/04/29	37.50
59859	HK Bank - Ping An R Bull CBBC Jul 2019 F	100,000,000	2019/01/04	2019/07/29	25.00
59860	HK Bank - Sunny Optical R Bull CBBC Jun 2019 B	40,000,000	2019/01/04	2019/06/24	10.00
59854	HK Bank - Tencent R Bull CBBC Jul 2019 J	60,000,000	2019/01/04	2019/07/11	15.00
59852	HK Bank - Tencent R Bear CBBC Jul 2019 F	50,000,000	2019/01/04	2019/07/08	25.00
59956	Haitong Int'l Sec - China Life R Bull CBBC Aug 2019 A	50,000,000	2019/01/04	2019/08/15	12.50
59962	Haitong Int'l Sec - China Life R Bear CBBC May 2019 A	50,000,000	2019/01/04	2019/05/02	12.50
59958	Haitong Int'l Sec - Galaxy Ent R Bear CBBC Jul 2019 A	170,000,000	2019/01/04	2019/07/22	42.50
59963	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 B	120,000,000	2019/01/04	2020/10/29	30.00
59964	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 A	120,000,000	2019/01/04	2019/04/29	30.00
59841	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 Z	120,000,000	2019/01/04	2019/04/29	30.00
59948	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 A	120,000,000	2019/01/04	2019/07/09	30.00
59955	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 B	120,000,000	2019/01/04	2019/07/09	30.00
59959	Haitong Int'l Sec - Ping An R Bear CBBC Apr 2019 B	120,000,000	2019/01/04	2019/04/24	30.00
59961	Haitong Int'l Sec - Ping An R Bear CBBC Apr 2019 C	120,000,000	2019/01/04	2019/04/24	30.00
59844	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2019 G	40,000,000	2019/01/04	2019/07/03	10.00
59851	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2019 U	40,000,000	2019/01/04	2019/04/09	10.00
59905	J P Morgan SP BV - HKEX R Bear CBBC May 2019 A	40,000,000	2019/01/04	2019/05/10	10.00
59902	J P Morgan SP BV - HSBC R Bear CBBC Jun 2019 A	100,000,000	2019/01/04	2019/06/14	25.00

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59893	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 J	200,000,000	2019/01/04	2020/10/29	50.00
59896	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 B	200,000,000	2019/01/04	2020/11/27	50.00
59897	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 Y	200,000,000	2019/01/04	2020/12/30	50.00
59887	J P Morgan SP BV - HSI R Bear CBBC May 2019 P	200,000,000	2019/01/04	2019/05/30	50.00
59889	J P Morgan SP BV - HSI R Bear CBBC May 2019 Q	200,000,000	2019/01/04	2019/05/30	50.00
59910	J P Morgan SP BV - HSI R Bear CBBC May 2019 R	200,000,000	2019/01/04	2019/05/30	50.00
59911	J P Morgan SP BV - HSI R Bear CBBC May 2019 S	200,000,000	2019/01/04	2019/05/30	50.00
59898	J P Morgan SP BV - Ping An R Bull CBBC May 2019 C	100,000,000	2019/01/04	2019/05/10	25.00
59899	J P Morgan SP BV - Ping An R Bear CBBC May 2019 B	100,000,000	2019/01/04	2019/05/10	25.00
59890	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 N	50,000,000	2019/01/04	2019/04/12	12.50
59891	J P Morgan SP BV - Tencent R Bear CBBC May 2019 D	50,000,000	2019/01/04	2019/05/10	12.50
59883	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 I	21,000,000	2019/01/04	2019/05/24	10.61
59885	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2019 N	31,700,000	2019/01/04	2019/04/08	10.75
59996	UBS AG - HSCEI R Bear CBBC Apr 2019 A	100,000,000	2019/01/04	2019/04/29	25.00
60014	UBS AG - HSI R Bull CBBC Jan 2021 B	200,000,000	2019/01/04	2021/01/28	50.00
59997	UBS AG - HSI R Bull CBBC Jan 2021 E	200,000,000	2019/01/04	2021/01/28	50.00
60007	UBS AG - HSI R Bull CBBC Jan 2021 F	200,000,000	2019/01/04	2021/01/28	50.00
60010	UBS AG - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/01/04	2021/01/28	50.00
60013	UBS AG - HSI R Bull CBBC Jan 2021 H	200,000,000	2019/01/04	2021/01/28	50.00
60018	UBS AG - HSI R Bear CBBC Apr 2019 J	200,000,000	2019/01/04	2019/04/29	50.00
60021	UBS AG - HSI R Bear CBBC May 2019 B	200,000,000	2019/01/04	2019/05/30	50.00
60028	UBS AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/01/04	2019/05/30	50.00
59989	UBS AG - Petrochina R Bull CBBC Jul 2019 D	60,000,000	2019/01/04	2019/07/15	15.00
59991	UBS AG - Petrochina R Bull CBBC Jul 2019 E	60,000,000	2019/01/04	2019/07/22	15.00
59992	UBS AG - Ping An R Bull CBBC Jul 2019 A	100,000,000	2019/01/04	2019/07/15	25.00
59995	UBS AG - Ping An R Bear CBBC May 2019 A	100,000,000	2019/01/04	2019/05/15	25.00
59984	UBS AG - Tencent R Bear CBBC Apr 2019 Q	40,000,000	2019/01/04	2019/04/15	13.00
59867	Bank Vontobel - AIA R Bull CBBC Oct 2019 C	40,000,000	2019/01/04	2019/10/18	10.04
59869	Bank Vontobel - China Life R Bear CBBC Jul 2019 A	40,000,000	2019/01/04	2019/07/05	10.04
59868	Bank Vontobel - Galaxy Ent R Bear CBBC Sep 2019 A	40,000,000	2019/01/04	2019/09/13	10.04
59861	Bank Vontobel - HSI R Bull CBBC Jun 2019 M	80,000,000	2019/01/04	2019/06/27	20.08
59840	Bank Vontobel - HSI R Bear CBBC Apr 2019 A	80,000,000	2019/01/04	2019/04/29	20.08
59871	Bank Vontobel - PetroChina R Bull CBBC Oct 2019 B	40,000,000	2019/01/04	2019/10/18	10.04
59866	Bank Vontobel - Ping An R Bear CBBC Jun 2019 D	40,000,000	2019/01/04	2019/06/21	10.04
59876	Bank Vontobel - Sunny Optical R Bull CBBC Sep 2019 E	40,000,000	2019/01/04	2019/09/06	10.04
59863	Bank Vontobel - Tencent R Bull CBBC Sep 2019 D	40,000,000	2019/01/04	2019/09/20	10.04
60147	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 C	50,000,000	2019/01/07	2019/11/28	12.50
60149	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 S	250,000,000	2019/01/07	2020/11/27	62.50
60131	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 I	250,000,000	2019/01/07	2019/05/30	62.50
60145	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 J	250,000,000	2019/01/07	2019/05/30	62.50
60180	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 K	250,000,000	2019/01/07	2019/05/30	62.50
60284	Credit Suisse AG - China Mobile R Bull CBBC Nov 2019 A	70,000,000	2019/01/07	2019/11/28	17.50
60277	Credit Suisse AG - CNOOC R Bull CBBC Nov 2019 A	50,000,000	2019/01/07	2019/11/28	12.50
60320	Credit Suisse AG - HSI R Bull CBBC Dec 2020 Y	200,000,000	2019/01/07	2020/12/30	50.00
60313	Credit Suisse AG - HSI R Bull CBBC Jan 2021 J	200,000,000	2019/01/07	2021/01/28	50.00

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60324	Credit Suisse AG - HSI R Bull CBBC Jan 2021 L	200,000,000	2019/01/07	2021/01/28	50.00
60211	Credit Suisse AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/01/07	2019/05/30	50.00
60185	Credit Suisse AG - HSI R Bear CBBC May 2019 Z	200,000,000	2019/01/07	2019/05/30	50.00
60200	Credit Suisse AG - HSI R Bear CBBC Jun 2019 G	300,000,000	2019/01/07	2019/06/27	75.00
60294	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 I	80,000,000	2019/01/07	2019/11/28	20.00
60310	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 J	80,000,000	2019/01/07	2019/11/28	20.00
60386	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2019 A	40,000,000	2019/01/07	2019/12/30	10.00
60043	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 J	200,000,000	2019/01/07	2019/04/29	50.00
60372	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/07	2019/04/29	50.00
60089	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 M	100,000,000	2019/01/07	2019/05/02	25.00
60071	HK Bank - Country Garden R Bull CBBC Jul 2019 A	40,000,000	2019/01/07	2019/07/02	10.00
60061	HK Bank - Sinopec Corp R Bull CBBC Jul 2019 A	80,000,000	2019/01/07	2019/07/22	20.00
60065	HK Bank - Sinopec Corp R Bull CBBC Jul 2019 B	80,000,000	2019/01/07	2019/07/29	20.00
60063	HK Bank - Sinopec Corp R Bear CBBC Jun 2019 C	40,000,000	2019/01/07	2019/06/24	10.00
60080	HK Bank - HKEX R Bull CBBC Jul 2019 D	50,000,000	2019/01/07	2019/07/22	16.50
60066	HK Bank - HSI R Bear CBBC Apr 2019 N	150,000,000	2019/01/07	2019/04/29	37.50
60076	HK Bank - PetroChina R Bull CBBC Jul 2019 D	80,000,000	2019/01/07	2019/07/22	20.00
60067	HK Bank - Ping An R Bull CBBC Jul 2019 G	100,000,000	2019/01/07	2019/07/08	25.00
60058	HK Bank - Ping An R Bull CBBC Aug 2019 A	100,000,000	2019/01/07	2019/08/05	25.00
60083	HK Bank - Tencent R Bull CBBC Jul 2019 K	60,000,000	2019/01/07	2019/07/25	33.60
60073	HK Bank - Tencent R Bear CBBC Jul 2019 G	50,000,000	2019/01/07	2019/07/02	21.00
60327	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 C	120,000,000	2019/01/07	2020/10/29	30.00
60333	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 D	120,000,000	2019/01/07	2020/10/29	30.00
60340	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 B	120,000,000	2019/01/07	2019/04/29	30.00
60347	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 C	120,000,000	2019/01/07	2019/04/29	30.00
60101	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2020 A	100,000,000	2019/01/07	2020/12/30	25.00
60102	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2019 P	100,000,000	2019/01/07	2019/04/29	25.00
60120	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 O	200,000,000	2019/01/07	2020/10/29	50.00
60112	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 G	200,000,000	2019/01/07	2020/11/27	50.00
60111	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 E	200,000,000	2019/01/07	2020/12/30	50.00
60093	J P Morgan SP BV - HSI R Bear CBBC May 2019 T	200,000,000	2019/01/07	2019/05/30	50.00
60097	J P Morgan SP BV - HSI R Bear CBBC May 2019 W	200,000,000	2019/01/07	2019/05/30	50.00
60045	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2019 M	25,300,000	2019/01/07	2019/04/23	10.60
60051	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2019 O	28,500,000	2019/01/07	2019/04/08	10.60
60355	UBS AG - HSI R Bull CBBC Jan 2021 I	200,000,000	2019/01/07	2021/01/28	50.00
60364	UBS AG - HSI R Bull CBBC Jan 2021 J	200,000,000	2019/01/07	2021/01/28	50.00
60351	UBS AG - HSI R Bear CBBC May 2019 D	200,000,000	2019/01/07	2019/05/30	50.00
60362	UBS AG - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/01/07	2019/06/27	50.00
60367	UBS AG - Tencent R Bear CBBC Apr 2019 R	40,000,000	2019/01/07	2019/04/08	13.00
60424	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 L	250,000,000	2019/01/08	2019/05/30	62.50
60436	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 M	250,000,000	2019/01/08	2019/05/30	62.50
60437	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 N	250,000,000	2019/01/08	2019/05/30	62.50
60580	Credit Suisse AG - HSI R Bull CBBC Nov 2020 S	200,000,000	2019/01/08	2020/11/27	50.00
60547	Credit Suisse AG - HSI R Bull CBBC Dec 2020 B	200,000,000	2019/01/08	2020/12/30	50.00
60552	Credit Suisse AG - HSI R Bull CBBC Dec 2020 E	200,000,000	2019/01/08	2020/12/30	50.00

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60532	Credit Suisse AG - HSI R Bull CBBC Jan 2021 K	200,000,000	2019/01/08	2021/01/28	50.00
60498	Credit Suisse AG - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/08	2019/04/29	50.00
60527	Credit Suisse AG - HSI R Bear CBBC Apr 2019 Z	200,000,000	2019/01/08	2019/04/29	50.00
60507	Credit Suisse AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/01/08	2019/05/30	50.00
60510	Credit Suisse AG - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/08	2019/05/30	50.00
60528	Credit Suisse AG - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/01/08	2019/06/27	50.00
60529	Credit Suisse AG - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/01/08	2019/06/27	50.00
60531	Credit Suisse AG - HSI R Bear CBBC Jun 2019 J	300,000,000	2019/01/08	2019/06/27	75.00
60583	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 G	50,000,000	2019/01/08	2019/11/28	12.50
60418	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2019 A	100,000,000	2019/01/08	2019/12/30	25.00
60438	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 R	200,000,000	2019/01/08	2020/11/27	50.00
60416	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 L	200,000,000	2019/01/08	2019/04/29	50.00
60419	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 M	200,000,000	2019/01/08	2019/04/29	50.00
60456	Haitong Int'l Sec - HSI R Bull CBBC Oct 2019 S	120,000,000	2019/01/08	2019/10/30	30.00
60455	Haitong Int'l Sec - HSI R Bull CBBC Jan 2020 L	120,000,000	2019/01/08	2020/01/30	30.00
60443	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 D	120,000,000	2019/01/08	2019/04/29	30.00
60447	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 K	120,000,000	2019/01/08	2019/04/29	30.00
60467	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 S	200,000,000	2019/01/08	2020/12/30	50.00
60461	J P Morgan SP BV - HSI R Bear CBBC May 2019 J	200,000,000	2019/01/08	2019/05/30	50.00
60464	J P Morgan SP BV - HSI R Bear CBBC May 2019 K	200,000,000	2019/01/08	2019/05/30	50.00
60394	Macquarie Bank Ltd. - HKEX R Bear CBBC Apr 2019 A	40,000,000	2019/01/08	2019/04/30	10.88
60388	Macquarie Bank Ltd. - HSBC R Bear CBBC Aug 2019 A	33,000,000	2019/01/08	2019/08/02	10.43
60483	UBS AG - HSI R Bull CBBC Nov 2020 C	200,000,000	2019/01/08	2020/11/27	50.00
60488	UBS AG - HSI R Bull CBBC Dec 2020 F	200,000,000	2019/01/08	2020/12/30	50.00
60484	UBS AG - HSI R Bull CBBC Dec 2020 Q	200,000,000	2019/01/08	2020/12/30	50.00
60470	UBS AG - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/08	2019/04/29	50.00
60477	UBS AG - HSI R Bear CBBC Apr 2019 V	200,000,000	2019/01/08	2019/04/29	50.00
60478	UBS AG - HSI R Bear CBBC Apr 2019 W	200,000,000	2019/01/08	2019/04/29	50.00
60471	UBS AG - HSI R Bear CBBC May 2019 G	200,000,000	2019/01/08	2019/05/30	50.00
60481	UBS AG - HSI R Bear CBBC Jun 2019 B	300,000,000	2019/01/08	2019/06/27	75.00
60496	UBS AG - Tencent R Bull CBBC Apr 2019 C	40,000,000	2019/01/08	2019/04/15	10.00
60497	UBS AG - Tencent R Bull CBBC Apr 2019 D	40,000,000	2019/01/08	2019/04/17	10.00
60494	UBS AG - Tencent R Bear CBBC Apr 2019 S	40,000,000	2019/01/08	2019/04/09	10.00
60400	Bank Vontobel - Sinopec Corp R Bull CBBC Nov 2019 B	40,000,000	2019/01/08	2019/11/08	10.04
60406	Bank Vontobel - Sinopec Corp R Bull CBBC Nov 2019 C	40,000,000	2019/01/08	2019/11/08	10.04
60397	Bank Vontobel - HSBC R Bull CBBC Sep 2019 C	40,000,000	2019/01/08	2019/09/13	10.04
61043	BOCI Asia Ltd. - AIA R Bull CBBC Jun 2019 A	60,000,000	2019/01/09	2019/06/24	15.00
61042	BOCI Asia Ltd. - CC Bank R Bull CBBC Jul 2019 A	80,000,000	2019/01/09	2019/07/30	20.32
61133	BOCI Asia Ltd. - China Life R Bull CBBC Jul 2019 A	40,000,000	2019/01/09	2019/07/30	10.24
61138	BOCI Asia Ltd. - CM Bank R Bull CBBC Dec 2019 A	60,000,000	2019/01/09	2019/12/30	15.12
60976	BOCI Asia Ltd. - Geely Auto R Bull CBBC Jun 2019 A	40,000,000	2019/01/09	2019/06/24	10.32
60958	BOCI Asia Ltd. - Galaxy Ent R Bull CBBC Oct 2019 A	50,000,000	2019/01/09	2019/10/31	12.65
60977	BOCI Asia Ltd. - HKEX R Bear CBBC May 2019 B	40,000,000	2019/01/09	2019/05/30	10.00
60955	BOCI Asia Ltd. - HSBC R Bear CBBC May 2019 A	70,000,000	2019/01/09	2019/05/06	17.57
61044	BOCI Asia Ltd. - ICBC R Bull CBBC Jul 2019 A	80,000,000	2019/01/09	2019/07/30	20.08

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61013	BOCI Asia Ltd. - PetroChina R Bull CBBC Jul 2019 A	50,000,000	2019/01/09	2019/07/30	12.65
61045	BOCI Asia Ltd. - Ping An R Bull CBBC Jun 2019 A	70,000,000	2019/01/09	2019/06/24	17.50
61053	BOCI Asia Ltd. - Ping An R Bear CBBC May 2019 A	70,000,000	2019/01/09	2019/05/30	17.57
61057	BOCI Asia Ltd. - Sunny Optical R Bull CBBC Jul 2019 A	40,000,000	2019/01/09	2019/07/30	10.04
60979	BOCI Asia Ltd. - Tencent R Bull CBBC Jun 2019 A	40,000,000	2019/01/09	2019/06/10	15.80
61011	BOCI Asia Ltd. - Tencent R Bear CBBC May 2019 A	40,000,000	2019/01/09	2019/05/02	10.08
60586	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 P	250,000,000	2019/01/09	2020/11/27	62.50
60589	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 T	250,000,000	2019/01/09	2020/11/27	62.50
60610	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 U	250,000,000	2019/01/09	2020/11/27	62.50
60612	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 W	250,000,000	2019/01/09	2020/11/27	62.50
60651	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 X	250,000,000	2019/01/09	2020/11/27	62.50
60653	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 Y	250,000,000	2019/01/09	2020/11/27	62.50
61142	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2019 C	250,000,000	2019/01/09	2019/04/29	62.50
61150	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2019 E	250,000,000	2019/01/09	2019/04/29	62.50
61153	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2019 F	250,000,000	2019/01/09	2019/04/29	62.50
61156	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 O	250,000,000	2019/01/09	2019/05/30	62.50
61159	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 P	250,000,000	2019/01/09	2019/05/30	62.50
61172	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 Q	250,000,000	2019/01/09	2019/05/30	62.50
61769	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 R	250,000,000	2019/01/09	2019/05/30	62.50
61780	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 S	250,000,000	2019/01/09	2019/05/30	62.50
61801	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 T	250,000,000	2019/01/09	2019/05/30	62.50
61812	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 A	250,000,000	2019/01/09	2019/06/27	62.50
61525	Credit Suisse AG - HSI R Bull CBBC Oct 2020 C	200,000,000	2019/01/09	2020/10/29	50.00
61531	Credit Suisse AG - HSI R Bull CBBC Dec 2020 I	200,000,000	2019/01/09	2020/12/30	50.00
61579	Credit Suisse AG - HSI R Bull CBBC Dec 2020 S	200,000,000	2019/01/09	2020/12/30	50.00
61631	Credit Suisse AG - HSI R Bull CBBC Dec 2020 T	200,000,000	2019/01/09	2020/12/30	50.00
61578	Credit Suisse AG - HSI R Bull CBBC Jan 2021 H	200,000,000	2019/01/09	2021/01/28	50.00
61520	Credit Suisse AG - HSI R Bull CBBC Jan 2021 P	200,000,000	2019/01/09	2021/01/28	50.00
61567	Credit Suisse AG - HSI R Bull CBBC Jan 2021 Q	200,000,000	2019/01/09	2021/01/28	50.00
61646	Credit Suisse AG - HSI R Bear CBBC May 2019 B	200,000,000	2019/01/09	2019/05/30	50.00
61632	Credit Suisse AG - HSI R Bear CBBC May 2019 F	200,000,000	2019/01/09	2019/05/30	50.00
61637	Credit Suisse AG - HSI R Bear CBBC May 2019 W	200,000,000	2019/01/09	2019/05/30	50.00
61647	Credit Suisse AG - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/01/09	2019/06/27	50.00
61648	Credit Suisse AG - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/01/09	2019/06/27	50.00
61583	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 K	80,000,000	2019/01/09	2019/11/22	20.00
61630	Credit Suisse AG - Ping An R Bear CBBC Nov 2019 D	80,000,000	2019/01/09	2019/11/15	20.00
60661	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 T	200,000,000	2019/01/09	2020/10/29	50.00
60666	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 P	200,000,000	2019/01/09	2020/11/27	50.00
60673	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 T	200,000,000	2019/01/09	2020/11/27	50.00
60683	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 W	200,000,000	2019/01/09	2020/11/27	50.00
60700	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 X	200,000,000	2019/01/09	2020/11/27	50.00
61822	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 N	200,000,000	2019/01/09	2019/04/29	50.00
61823	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 O	200,000,000	2019/01/09	2019/04/29	50.00
61834	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/09	2019/04/29	50.00
61852	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 Q	200,000,000	2019/01/09	2019/04/29	50.00

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60680	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 V	100,000,000	2019/01/09	2019/07/26	25.00
61840	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 Z	100,000,000	2019/01/09	2019/07/26	25.00
60675	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 N	100,000,000	2019/01/09	2019/05/02	25.00
61836	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 G	80,000,000	2019/01/09	2019/05/02	20.00
61293	HK Bank - CNOOC R Bull CBBC Jul 2019 E	50,000,000	2019/01/09	2019/07/22	13.00
61220	HK Bank - Sinopec Corp R Bull CBBC Aug 2019 C	80,000,000	2019/01/09	2019/08/05	20.00
61289	HK Bank - Geely Auto R Bear CBBC Jul 2019 A	40,000,000	2019/01/09	2019/07/08	10.00
61271	HK Bank - Galaxy Ent R Bull CBBC Jul 2019 A	80,000,000	2019/01/09	2019/07/08	20.00
61285	HK Bank - HKEX R Bear CBBC Jun 2019 E	40,000,000	2019/01/09	2019/06/13	10.00
61262	HK Bank - HSCEI R Bear CBBC May 2019 D	50,000,000	2019/01/09	2019/05/30	12.50
60936	HK Bank - HSI R Bull CBBC Dec 2019 D	150,000,000	2019/01/09	2019/12/30	37.50
60937	HK Bank - HSI R Bull CBBC Dec 2019 J	150,000,000	2019/01/09	2019/12/30	37.50
60926	HK Bank - HSI R Bull CBBC Dec 2019 T	50,000,000	2019/01/09	2019/12/30	12.50
61247	HK Bank - HSI R Bear CBBC May 2019 P	150,000,000	2019/01/09	2019/05/30	37.50
61296	HK Bank - PetroChina R Bear CBBC Jul 2019 C	40,000,000	2019/01/09	2019/07/15	10.00
60944	HK Bank - Ping An R Bull CBBC Jul 2019 H	100,000,000	2019/01/09	2019/07/18	25.00
61292	HK Bank - Sands China R Bear CBBC Aug 2019 A	40,000,000	2019/01/09	2019/08/12	10.00
60917	HK Bank - Tencent R Bull CBBC Jul 2019 L	60,000,000	2019/01/09	2019/07/15	15.00
61241	HK Bank - Tencent R Bear CBBC Jun 2019 K	50,000,000	2019/01/09	2019/06/10	12.50
61516	Haitong Int'l Sec - AIA R Bear CBBC Apr 2019 B	130,000,000	2019/01/09	2019/04/30	32.50
61514	Haitong Int'l Sec - Geely Auto R Bear CBBC May 2019 B	70,000,000	2019/01/09	2019/05/03	21.42
61478	Haitong Int'l Sec - Galaxy Ent R Bear CBBC Jul 2019 B	170,000,000	2019/01/09	2019/07/25	42.50
60906	Haitong Int'l Sec - HSI R Bull CBBC Oct 2019 T	120,000,000	2019/01/09	2019/10/30	30.00
60913	Haitong Int'l Sec - HSI R Bull CBBC Dec 2019 H	120,000,000	2019/01/09	2019/12/30	30.00
60887	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 E	120,000,000	2019/01/09	2020/10/29	30.00
61473	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 M	120,000,000	2019/01/09	2019/04/29	30.00
61474	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 N	120,000,000	2019/01/09	2019/04/29	30.00
61518	Haitong Int'l Sec - Ping An R Bear CBBC May 2019 B	120,000,000	2019/01/09	2019/05/02	30.00
61477	Haitong Int'l Sec - Sands China R Bull CBBC Dec 2019 A	250,000,000	2019/01/09	2019/12/04	62.50
61365	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 K	200,000,000	2019/01/09	2020/09/29	50.00
61373	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 W	200,000,000	2019/01/09	2020/10/29	50.00
61383	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 M	200,000,000	2019/01/09	2020/11/27	50.00
61395	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 Z	200,000,000	2019/01/09	2020/11/27	50.00
61387	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 Z	200,000,000	2019/01/09	2020/12/30	50.00
61717	J P Morgan SP BV - HSI R Bear CBBC May 2019 L	200,000,000	2019/01/09	2019/05/30	50.00
61757	J P Morgan SP BV - HSI R Bear CBBC May 2019 M	200,000,000	2019/01/09	2019/05/30	50.00
61715	J P Morgan SP BV - HSI R Bear CBBC May 2019 Y	200,000,000	2019/01/09	2019/05/30	50.00
61650	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/01/09	2019/06/27	50.00
61657	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/01/09	2019/06/27	50.00
61667	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/01/09	2019/06/27	50.00
61449	J P Morgan SP BV - Ping An R Bull CBBC May 2019 D	100,000,000	2019/01/09	2019/05/17	25.00
61444	J P Morgan SP BV - Ping An R Bear CBBC May 2019 C	100,000,000	2019/01/09	2019/05/17	25.00
61348	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 T	50,000,000	2019/01/09	2019/04/12	12.50
61440	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 O	50,000,000	2019/01/09	2019/04/12	12.50
61199	Macquarie Bank Ltd. - Galaxy Ent R Bull CBBC Dec 2019 A	40,000,000	2019/01/09	2019/12/31	10.44

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61196	Macquarie Bank Ltd. - Ping An R Bull CBBC Sep 2019 A	40,000,000	2019/01/09	2019/09/30	10.44
61185	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 J	20,800,000	2019/01/09	2019/05/24	10.59
61186	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 K	19,300,000	2019/01/09	2019/05/24	10.52
61193	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 C	20,400,000	2019/01/09	2019/05/24	10.57
60769	UBS AG - CC Bank R Bull CBBC May 2019 C	80,000,000	2019/01/09	2019/05/14	20.00
61323	UBS AG - CSPC Pharma R Bull CBBC Sep 2019 A	40,000,000	2019/01/09	2019/09/27	10.00
60773	UBS AG - HKEX R Bear CBBC Jun 2019 A	50,000,000	2019/01/09	2019/06/03	12.50
60776	UBS AG - HSBC R Bear CBBC Jun 2019 A	50,000,000	2019/01/09	2019/06/10	12.50
60725	UBS AG - HSI R Bull CBBC Nov 2020 D	200,000,000	2019/01/09	2020/11/27	50.00
60727	UBS AG - HSI R Bull CBBC Dec 2020 G	200,000,000	2019/01/09	2020/12/30	50.00
60728	UBS AG - HSI R Bull CBBC Dec 2020 L	200,000,000	2019/01/09	2020/12/30	50.00
61305	UBS AG - HSI R Bull CBBC Dec 2020 R	200,000,000	2019/01/09	2020/12/30	50.00
61318	UBS AG - HSI R Bull CBBC Dec 2020 U	300,000,000	2019/01/09	2020/12/30	75.00
61324	UBS AG - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/09	2019/04/29	50.00
61333	UBS AG - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/09	2019/05/30	50.00
61334	UBS AG - HSI R Bear CBBC May 2019 F	300,000,000	2019/01/09	2019/05/30	75.00
60742	UBS AG - Ping An R Bull CBBC Jul 2019 B	100,000,000	2019/01/09	2019/07/22	25.00
60756	UBS AG - Tencent R Bull CBBC Apr 2019 N	40,000,000	2019/01/09	2019/04/24	10.00
60789	Bank Vontobel - CNOOC R Bull CBBC Nov 2019 G	40,000,000	2019/01/09	2019/11/15	10.04
60800	Bank Vontobel - Geely Auto R Bull CBBC Aug 2019 C	40,000,000	2019/01/09	2019/08/09	10.04
60882	Bank Vontobel - HKEX R Bear CBBC Jun 2019 E	40,000,000	2019/01/09	2019/06/14	10.04
60847	Bank Vontobel - HSI R Bull CBBC Jun 2019 N	80,000,000	2019/01/09	2019/06/27	20.08
60848	Bank Vontobel - HSI R Bear CBBC Apr 2019 B	80,000,000	2019/01/09	2019/04/29	20.08
60787	Bank Vontobel - Ping An R Bear CBBC Aug 2019 C	40,000,000	2019/01/09	2019/08/23	10.04
61943	BOCI Asia Ltd. - China Mobile R Bull CBBC Jul 2019 A	70,000,000	2019/01/10	2019/07/29	17.64
61934	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 A	150,000,000	2019/01/10	2019/12/30	37.95
61938	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 A	150,000,000	2019/01/10	2019/05/30	38.40
61869	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 D	100,000,000	2019/01/10	2019/11/28	25.00
61894	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019V	150,000,000	2019/01/10	2019/08/29	37.50
61914	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 B	250,000,000	2019/01/10	2020/11/27	62.50
62123	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 C	250,000,000	2019/01/10	2020/11/27	62.50
62117	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 U	250,000,000	2019/01/10	2019/05/30	62.50
61903	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Oct 2019 A	200,000,000	2019/01/10	2019/10/30	50.00
61900	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 V	200,000,000	2019/01/10	2019/08/29	50.00
61911	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 H	50,000,000	2019/01/10	2019/07/30	12.50
61908	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 G	50,000,000	2019/01/10	2019/10/30	12.50
61906	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 J	50,000,000	2019/01/10	2019/11/28	12.50
62570	Credit Suisse AG - AAC Tech R Bull CBBC Dec 2019 A	40,000,000	2019/01/10	2019/12/10	10.00
62591	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 C	40,000,000	2019/01/10	2019/11/01	10.00
62594	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 D	40,000,000	2019/01/10	2019/11/27	14.00
62605	Credit Suisse AG - Geely Auto R Bear CBBC Oct 2019 B	40,000,000	2019/01/10	2019/10/31	10.00
62652	Credit Suisse AG - HKEX R Bear CBBC Oct 2019 A	50,000,000	2019/01/10	2019/10/30	12.50
62631	Credit Suisse AG - HSI R Bull CBBC Oct 2020 E	200,000,000	2019/01/10	2020/10/29	50.00
62632	Credit Suisse AG - HSI R Bull CBBC Oct 2020 M	200,000,000	2019/01/10	2020/10/29	50.00
62469	Credit Suisse AG - HSI R Bull CBBC Nov 2020 K	200,000,000	2019/01/10	2020/11/27	50.00

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62460	Credit Suisse AG - HSI R Bull CBBC Dec 2020 V	200,000,000	2019/01/10	2020/12/30	50.00
62627	Credit Suisse AG - HSI R Bull CBBC Jan 2021 M	200,000,000	2019/01/10	2021/01/28	50.00
62633	Credit Suisse AG - HSI R Bull CBBC Feb 2021 E	200,000,000	2019/01/10	2021/02/25	50.00
62637	Credit Suisse AG - HSI R Bear CBBC May 2019 I	200,000,000	2019/01/10	2019/05/30	50.00
62655	Credit Suisse AG - HSI R Bear CBBC May 2019 S	200,000,000	2019/01/10	2019/05/30	50.00
62648	Credit Suisse AG - HSI R Bear CBBC Jun 2019 M	300,000,000	2019/01/10	2019/06/27	75.00
62657	Credit Suisse AG - HSI R Bear CBBC Jun 2019 N	200,000,000	2019/01/10	2019/06/27	50.00
62503	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 L	80,000,000	2019/01/10	2019/11/20	20.00
62512	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 M	80,000,000	2019/01/10	2019/11/18	20.00
62533	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 D	80,000,000	2019/01/10	2019/12/11	20.00
62483	Credit Suisse AG - Ping An R Bear CBBC Oct 2019 B	80,000,000	2019/01/10	2019/10/25	20.00
62548	Credit Suisse AG - Sunny Optical R Bull CBBC Nov 2019 A	40,000,000	2019/01/10	2019/11/21	10.00
62625	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 C	50,000,000	2019/01/10	2019/11/26	12.50
62654	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 D	50,000,000	2019/01/10	2019/11/19	12.50
62103	Bank of East Asia - Geely Auto R Bull CBBC May 2019 A	40,000,000	2019/01/10	2019/05/31	10.00
62105	Bank of East Asia - Geely Auto R Bull CBBC May 2019 B	40,000,000	2019/01/10	2019/05/31	10.00
62111	Bank of East Asia - HKEX R Bear CBBC May 2019 B	20,000,000	2019/01/10	2019/05/02	10.00
62081	Bank of East Asia - Ping An R Bull CBBC May 2019 D	10,000,000	2019/01/10	2019/05/31	10.00
62091	Bank of East Asia - Ping An R Bull CBBC May 2019 E	10,000,000	2019/01/10	2019/05/31	10.00
62099	Bank of East Asia - Ping An R Bear CBBC Aug 2019 F	100,000,000	2019/01/10	2019/08/02	25.00
62108	Bank of East Asia - Tencent R Bear CBBC May 2019 C	30,000,000	2019/01/10	2019/05/02	10.50
62690	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 K	100,000,000	2019/01/10	2019/12/30	25.00
62658	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Oct 2019 M	200,000,000	2019/01/10	2019/10/30	50.00
62662	Goldman Sachs SP (Asia) - HSCEI R Bear CBBC Oct 2019 D	200,000,000	2019/01/10	2019/10/30	50.00
61944	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 W	200,000,000	2019/01/10	2020/10/29	50.00
62253	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 Z	200,000,000	2019/01/10	2020/10/29	50.00
62225	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 R	200,000,000	2019/01/10	2019/04/29	50.00
62227	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 S	200,000,000	2019/01/10	2019/04/29	50.00
62230	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 V	200,000,000	2019/01/10	2019/04/29	50.00
62232	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 Y	200,000,000	2019/01/10	2019/04/29	50.00
61948	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 C	100,000,000	2019/01/10	2019/07/26	25.00
61945	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 O	100,000,000	2019/01/10	2019/05/02	25.00
62673	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 P	100,000,000	2019/01/10	2019/05/02	25.00
62684	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 H	80,000,000	2019/01/10	2019/05/02	20.00
61968	HK Bank - AAC Tech R Bull CBBC Aug 2019 A	40,000,000	2019/01/10	2019/08/05	10.00
62143	HK Bank - AIA R Bull CBBC Jul 2019 A	80,000,000	2019/01/10	2019/07/02	20.00
62188	HK Bank - China Mobile R Bear CBBC Jun 2019 C	40,000,000	2019/01/10	2019/06/24	10.00
62138	HK Bank - CC Bank R Bull CBBC Jul 2019 B	100,000,000	2019/01/10	2019/07/08	25.00
62129	HK Bank - China Life R Bull CBBC Jul 2019 B	40,000,000	2019/01/10	2019/07/02	10.00
61962	HK Bank - CSPC Pharma R Bull CBBC Jul 2019 D	40,000,000	2019/01/10	2019/07/22	13.20
61950	HK Bank - Geely Auto R Bull CBBC Jul 2019 A	40,000,000	2019/01/10	2019/07/02	11.60
61918	HK Bank - HSI R Bull CBBC Dec 2019 W	150,000,000	2019/01/10	2019/12/30	37.50
61949	HK Bank - HSI R Bear CBBC May 2019 Q	150,000,000	2019/01/10	2019/05/30	37.50
61976	HK Bank - HSI R Bear CBBC May 2019 R	150,000,000	2019/01/10	2019/05/30	37.50
62004	HK Bank - HSI R Bear CBBC May 2019 S	150,000,000	2019/01/10	2019/05/30	37.50

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62139	HK Bank - Ping An R Bull CBBC Jul 2019 I	100,000,000	2019/01/10	2019/07/18	25.00
61933	HK Bank - Tencent R Bull CBBC Jul 2019 M	60,000,000	2019/01/10	2019/07/02	15.00
62147	HK Bank - Tencent R Bear CBBC Jun 2019 L	50,000,000	2019/01/10	2019/06/13	12.50
62197	Haitong Int'l Sec - Geely Auto R Bull CBBC Aug 2019 A	70,000,000	2019/01/10	2019/08/12	17.50
62209	Haitong Int'l Sec - Geely Auto R Bull CBBC Aug 2019 B	70,000,000	2019/01/10	2019/08/12	18.97
62212	Haitong Int'l Sec - Geely Auto R Bear CBBC May 2019 C	70,000,000	2019/01/10	2019/05/03	17.50
62429	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 F	120,000,000	2019/01/10	2020/10/29	30.00
62381	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 A	120,000,000	2019/01/10	2020/11/27	30.00
62430	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 B	120,000,000	2019/01/10	2020/11/27	30.00
62435	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 O	120,000,000	2019/01/10	2019/04/29	30.00
62440	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 P	120,000,000	2019/01/10	2019/04/29	30.00
62441	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2019 V	40,000,000	2019/01/10	2019/04/15	10.00
62447	J P Morgan SP BV - HKEX R Bear CBBC May 2019 B	40,000,000	2019/01/10	2019/05/17	10.00
62261	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 U	200,000,000	2019/01/10	2020/10/29	50.00
62288	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 D	200,000,000	2019/01/10	2020/11/27	50.00
62346	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 F	200,000,000	2019/01/10	2020/11/27	50.00
62353	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 I	200,000,000	2019/01/10	2020/11/27	50.00
62373	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 A	200,000,000	2019/01/10	2020/12/30	50.00
62289	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/01/10	2019/06/27	50.00
62290	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/01/10	2019/06/27	50.00
62351	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/01/10	2019/06/27	50.00
62445	J P Morgan SP BV - Ping An R Bear CBBC Jun 2019 A	100,000,000	2019/01/10	2019/06/14	25.00
62292	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 U	50,000,000	2019/01/10	2019/04/12	12.50
62443	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 P	50,000,000	2019/01/10	2019/04/18	12.50
62057	Macquarie Bank Ltd. - Geely Auto R Bull CBBC Dec 2019 A	22,000,000	2019/01/10	2019/12/13	10.49
62034	Macquarie Bank Ltd. - HKEX R Bull CBBC Sep 2019 A	20,000,000	2019/01/10	2019/09/30	11.06
62026	Macquarie Bank Ltd. - HSBC R Bull CBBC Jun 2019 A	40,000,000	2019/01/10	2019/06/28	10.28
62189	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 L	21,100,000	2019/01/10	2019/05/24	10.55
62195	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 D	24,300,000	2019/01/10	2019/05/03	10.57
62077	UBS AG - AAC Tech R Bull CBBC Oct 2019 B	40,000,000	2019/01/10	2019/10/31	10.00
62078	UBS AG - AAC Tech R Bear CBBC Apr 2019 C	40,000,000	2019/01/10	2019/04/30	10.00
62072	UBS AG - AIA R Bear CBBC Oct 2019 A	60,000,000	2019/01/10	2019/10/28	15.00
62073	UBS AG - Sinopec Corp R Bull CBBC Aug 2019 A	50,000,000	2019/01/10	2019/08/01	12.50
62062	UBS AG - HSI R Bull CBBC Nov 2020 I	200,000,000	2019/01/10	2020/11/27	50.00
62379	UBS AG - HSI R Bull CBBC Dec 2020 M	200,000,000	2019/01/10	2020/12/30	50.00
62070	UBS AG - HSI R Bull CBBC Dec 2020 Y	200,000,000	2019/01/10	2020/12/30	50.00
62213	UBS AG - HSI R Bear CBBC Apr 2019 D	200,000,000	2019/01/10	2019/04/29	50.00
62221	UBS AG - HSI R Bear CBBC May 2019 H	200,000,000	2019/01/10	2019/05/30	50.00
62217	UBS AG - HSI R Bear CBBC Jun 2019 C	300,000,000	2019/01/10	2019/06/27	75.00
61917	Bank Vontobel - HSI N Bull CBBC Jun 2019 O	80,000,000	2019/01/10	2019/06/27	20.08
61915	Bank Vontobel - HSI R Bear CBBC Apr 2019 C	80,000,000	2019/01/10	2019/04/29	20.08
62750	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 A	250,000,000	2019/01/11	2020/12/30	62.50
63000	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 B	250,000,000	2019/01/11	2020/12/30	62.50
62984	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 V	250,000,000	2019/01/11	2019/05/30	62.50
62998	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 W	250,000,000	2019/01/11	2019/05/30	62.50

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62697	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 B	250,000,000	2019/01/11	2019/06/27	62.50
62999	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 C	250,000,000	2019/01/11	2019/06/27	62.50
63479	Credit Suisse AG - HSI R Bull CBBC Oct 2020 X	200,000,000	2019/01/11	2020/10/29	50.00
63485	Credit Suisse AG - HSI R Bull CBBC Dec 2020 C	200,000,000	2019/01/11	2020/12/30	50.00
63468	Credit Suisse AG - HSI R Bull CBBC Dec 2020 Z	200,000,000	2019/01/11	2020/12/30	50.00
63503	Credit Suisse AG - HSI R Bull CBBC Jan 2021 O	200,000,000	2019/01/11	2021/01/28	50.00
63506	Credit Suisse AG - HSI R Bull CBBC Jan 2021 R	200,000,000	2019/01/11	2021/01/28	50.00
63488	Credit Suisse AG - HSI R Bull CBBC Feb 2021 F	200,000,000	2019/01/11	2021/02/25	50.00
63444	Credit Suisse AG - HSI R Bear CBBC May 2019 T	200,000,000	2019/01/11	2019/05/30	50.00
63400	Credit Suisse AG - HSI R Bear CBBC Jun 2019 O	200,000,000	2019/01/11	2019/06/27	50.00
63404	Credit Suisse AG - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/01/11	2019/06/27	50.00
63420	Credit Suisse AG - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/01/11	2019/06/27	50.00
63458	Credit Suisse AG - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/01/11	2019/06/27	50.00
63467	Credit Suisse AG - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/01/11	2019/06/27	50.00
63513	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 E	80,000,000	2019/01/11	2019/12/06	20.00
63508	Credit Suisse AG - Tencent R Bull CBBC Oct 2019 N	50,000,000	2019/01/11	2019/10/30	12.50
63775	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 I	100,000,000	2019/01/11	2019/11/15	25.00
63908	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC May 2019 E	100,000,000	2019/01/11	2019/05/10	25.00
63631	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 L	100,000,000	2019/01/11	2019/12/30	25.00
63605	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Sep 2019 A	100,000,000	2019/01/11	2019/09/26	25.00
63858	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 A	200,000,000	2019/01/11	2020/10/29	50.00
63555	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 D	200,000,000	2019/01/11	2020/10/29	50.00
63609	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 E	200,000,000	2019/01/11	2020/10/29	50.00
63899	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 M	200,000,000	2019/01/11	2020/10/29	50.00
63906	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 N	200,000,000	2019/01/11	2020/10/29	50.00
62770	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 D	200,000,000	2019/01/11	2019/04/29	50.00
62771	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 E	200,000,000	2019/01/11	2019/04/29	50.00
62987	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 F	200,000,000	2019/01/11	2019/04/29	50.00
63907	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 H	200,000,000	2019/01/11	2019/04/29	50.00
62783	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 D	100,000,000	2019/01/11	2019/07/26	25.00
62778	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 Q	100,000,000	2019/01/11	2019/05/03	25.00
63563	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 R	100,000,000	2019/01/11	2019/05/02	25.00
62956	HK Bank - Conch Cement R Bull CBBC Aug 2019 A	40,000,000	2019/01/11	2019/08/19	10.00
62936	HK Bank - Bank of China R Bear CBBC Jul 2019 A	50,000,000	2019/01/11	2019/07/22	12.50
62941	HK Bank - Country Garden R Bull CBBC Jul 2019 B	40,000,000	2019/01/11	2019/07/08	10.00
62953	HK Bank - China Mobile R Bull CBBC Jul 2019 C	50,000,000	2019/01/11	2019/07/11	12.50
62931	HK Bank - CM Bank R Bull CBBC Nov 2019 A	100,000,000	2019/01/11	2019/11/18	25.00
62949	HK Bank - CNOOC R Bull CBBC Jul 2019 F	50,000,000	2019/01/11	2019/07/08	12.50
62966	HK Bank - CPIC R Bull CBBC Jan 2020 A	100,000,000	2019/01/11	2020/01/20	25.00
62969	HK Bank - CSPC Pharma R Bull CBBC Jul 2019 E	40,000,000	2019/01/11	2019/07/02	10.00
62912	HK Bank - Geely Auto R Bull CBBC Jul 2019 B	40,000,000	2019/01/11	2019/07/15	10.00
62835	HK Bank - HKEX R Bull CBBC May 2019 H	50,000,000	2019/01/11	2019/05/30	12.50
62915	HK Bank - HSI R Bull CBBC Dec 2019 C	150,000,000	2019/01/11	2019/12/30	37.50
62803	HK Bank - HSI R Bull CBBC Dec 2019 X	150,000,000	2019/01/11	2019/12/30	37.50
62785	HK Bank - HSI R Bear CBBC May 2019 T	150,000,000	2019/01/11	2019/05/30	37.50

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62920	HK Bank - HSI R Bear CBBC May 2019 U	150,000,000	2019/01/11	2019/05/30	37.50
62840	HK Bank - Ping An R Bull CBBC Jun 2019 A	100,000,000	2019/01/11	2019/06/13	25.00
62895	HK Bank - Ping An R Bear CBBC May 2019 B	80,000,000	2019/01/11	2019/05/27	20.00
62978	HK Bank - Sino Biopharm R Bull CBBC Jul 2019 C	40,000,000	2019/01/11	2019/07/15	10.00
62820	HK Bank - Tencent R Bull CBBC Jul 2019 N	60,000,000	2019/01/11	2019/07/04	15.00
62786	HK Bank - Tencent R Bear CBBC Jun 2019 M	50,000,000	2019/01/11	2019/06/20	12.50
63160	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Jul 2019 A	170,000,000	2019/01/11	2019/07/18	42.50
63175	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 G	120,000,000	2019/01/11	2020/10/29	30.00
63169	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 C	120,000,000	2019/01/11	2020/11/27	30.00
63181	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 D	120,000,000	2019/01/11	2020/11/27	39.24
62986	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 S	120,000,000	2019/01/11	2019/04/29	30.00
63190	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 U	120,000,000	2019/01/11	2019/04/29	30.00
63195	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 V	120,000,000	2019/01/11	2019/04/29	30.00
63167	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2019 H	40,000,000	2019/01/11	2019/07/09	10.00
63168	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2019 I	40,000,000	2019/01/11	2019/07/08	10.00
63079	J P Morgan SP BV - HKEX R Bull CBBC May 2019 G	40,000,000	2019/01/11	2019/05/17	10.00
63078	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 A	40,000,000	2019/01/11	2019/06/14	10.00
63076	J P Morgan SP BV - HKEX R Bear CBBC Apr 2019 C	40,000,000	2019/01/11	2019/04/12	10.00
63043	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 A	40,000,000	2019/01/11	2019/06/14	10.00
63116	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2020 D	100,000,000	2019/01/11	2020/11/27	25.00
63084	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 M	200,000,000	2019/01/11	2020/09/29	50.00
63113	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 U	200,000,000	2019/01/11	2020/09/29	50.00
63104	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 I	200,000,000	2019/01/11	2020/10/29	50.00
63085	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 K	200,000,000	2019/01/11	2020/11/27	50.00
63115	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 B	200,000,000	2019/01/11	2020/12/30	50.00
63001	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/01/11	2019/06/27	50.00
63002	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/01/11	2019/06/27	50.00
63007	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/01/11	2019/06/27	50.00
63036	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 J	200,000,000	2019/01/11	2019/06/27	50.00
63042	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 V	50,000,000	2019/01/11	2019/04/18	12.50
63377	UBS AG - HSI R Bull CBBC Oct 2020 W	200,000,000	2019/01/11	2020/10/29	50.00
63368	UBS AG - HSI R Bull CBBC Nov 2020 L	200,000,000	2019/01/11	2020/11/27	50.00
63344	UBS AG - HSI R Bull CBBC Jan 2021 K	200,000,000	2019/01/11	2021/01/28	50.00
63389	UBS AG - HSI R Bull CBBC Jan 2021 L	200,000,000	2019/01/11	2021/01/28	50.00
63199	UBS AG - HSI R Bear CBBC Apr 2019 G	200,000,000	2019/01/11	2019/04/29	50.00
63200	UBS AG - HSI R Bear CBBC May 2019 I	200,000,000	2019/01/11	2019/05/30	50.00
63207	UBS AG - HSI R Bear CBBC May 2019 L	200,000,000	2019/01/11	2019/05/30	50.00
63287	UBS AG - HSI R Bear CBBC May 2019 O	200,000,000	2019/01/11	2019/05/30	50.00
63278	UBS AG - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/01/11	2019/06/27	50.00
63280	UBS AG - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/01/11	2019/06/27	50.00
63295	UBS AG - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/01/11	2019/06/27	50.00
63390	UBS AG - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/01/11	2019/06/27	50.00
63334	UBS AG - PetroChina R Bear CBBC Jul 2019 A	60,000,000	2019/01/11	2019/07/08	15.00
63330	UBS AG - Ping An R Bull CBBC Jul 2019 C	100,000,000	2019/01/11	2019/07/15	25.00
63335	UBS AG - Tencent R Bear CBBC Apr 2019 T	40,000,000	2019/01/11	2019/04/29	12.00

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67888	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 A	250,000,000	2019/01/14	2020/11/27	62.50
64956	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 D	250,000,000	2019/01/14	2020/11/27	62.50
67805	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 E	250,000,000	2019/01/14	2020/11/27	62.50
67809	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 F	250,000,000	2019/01/14	2020/11/27	62.50
67905	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 G	250,000,000	2019/01/14	2020/11/27	62.50
67981	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 H	250,000,000	2019/01/14	2020/11/27	62.50
67852	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 V	250,000,000	2019/01/14	2020/11/27	62.50
67872	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 Z	250,000,000	2019/01/14	2020/11/27	62.50
64912	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 X	250,000,000	2019/01/14	2019/05/30	62.50
64952	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 Y	250,000,000	2019/01/14	2019/05/30	62.50
67777	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 D	250,000,000	2019/01/14	2019/06/27	62.50
68092	Credit Suisse AG - HSBC R Bull CBBC Nov 2019 D	70,000,000	2019/01/14	2019/11/28	17.50
68212	Credit Suisse AG - HSI R Bull CBBC Oct 2020 D	200,000,000	2019/01/14	2020/10/29	50.00
68218	Credit Suisse AG - HSI R Bull CBBC Oct 2020 F	200,000,000	2019/01/14	2020/10/29	50.00
68208	Credit Suisse AG - HSI R Bull CBBC Nov 2020 P	200,000,000	2019/01/14	2020/11/27	50.00
68186	Credit Suisse AG - HSI R Bull CBBC Dec 2020 U	200,000,000	2019/01/14	2020/12/30	50.00
68215	Credit Suisse AG - HSI R Bull CBBC Feb 2021 H	300,000,000	2019/01/14	2021/02/25	75.00
68001	Credit Suisse AG - HSI R Bear CBBC Apr 2019 W	200,000,000	2019/01/14	2019/04/29	50.00
68009	Credit Suisse AG - HSI R Bear CBBC Apr 2019 Y	200,000,000	2019/01/14	2019/04/29	50.00
68007	Credit Suisse AG - HSI R Bear CBBC May 2019 U	200,000,000	2019/01/14	2019/05/30	50.00
68021	Credit Suisse AG - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/14	2019/05/30	50.00
68179	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 B	50,000,000	2019/01/14	2019/12/13	12.50
68181	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 C	50,000,000	2019/01/14	2019/12/06	12.50
68376	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 M	100,000,000	2019/01/14	2019/12/30	25.00
68317	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Sep 2019 D	100,000,000	2019/01/14	2019/09/26	25.00
68326	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 F	200,000,000	2019/01/14	2020/10/29	50.00
68403	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 O	200,000,000	2019/01/14	2020/10/29	50.00
68438	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 P	200,000,000	2019/01/14	2020/10/29	50.00
68298	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 R	200,000,000	2019/01/14	2020/10/29	50.00
68455	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 U	200,000,000	2019/01/14	2020/10/29	50.00
68485	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 X	200,000,000	2019/01/14	2020/10/29	50.00
64106	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 G	200,000,000	2019/01/14	2019/04/29	50.00
64197	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 I	200,000,000	2019/01/14	2019/04/29	50.00
68308	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 S	100,000,000	2019/01/14	2019/05/02	25.00
64832	HK Bank - China Mobile R Bull CBBC Jul 2019 D	50,000,000	2019/01/14	2019/07/02	12.50
64992	HK Bank - CNOOC R Bull CBBC Jul 2019 G	50,000,000	2019/01/14	2019/07/22	12.50
64965	HK Bank - Sinopec Corp R Bull CBBC Jul 2019 C	80,000,000	2019/01/14	2019/07/24	20.00
65017	HK Bank - HKEX R Bull CBBC Jun 2019 J	50,000,000	2019/01/14	2019/06/17	12.50
64371	HK Bank - HKEX R Bear CBBC Jun 2019 F	40,000,000	2019/01/14	2019/06/17	10.00
64733	HK Bank - HSCEI R Bull CBBC Dec 2019 J	60,000,000	2019/01/14	2019/12/30	15.00
64681	HK Bank - HSI R Bull CBBC Dec 2019 F	150,000,000	2019/01/14	2019/12/30	37.50
64721	HK Bank - HSI R Bull CBBC Dec 2019 R	150,000,000	2019/01/14	2019/12/30	37.50
64520	HK Bank - HSI R Bear CBBC May 2019 V	150,000,000	2019/01/14	2019/05/30	37.50
64601	HK Bank - HSI R Bear CBBC May 2019 W	150,000,000	2019/01/14	2019/05/30	37.50
64743	HK Bank - ICBC R Bear CBBC Jul 2019 B	50,000,000	2019/01/14	2019/07/15	12.50

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65004	HK Bank - Sands China R Bull CBBC Sep 2019 B	80,000,000	2019/01/14	2019/09/30	20.00
64799	HK Bank - Sunny Optical R Bull CBBC Jun 2019 C	40,000,000	2019/01/14	2019/06/27	10.00
64960	HK Bank - Tencent R Bull CBBC Jun 2019 C	60,000,000	2019/01/14	2019/06/24	15.00
65154	HK Bank - Tencent R Bear CBBC Jun 2019 N	50,000,000	2019/01/14	2019/06/24	12.50
65503	Haitong Int'l Sec - AIA R Bull CBBC Jul 2019 A	130,000,000	2019/01/14	2019/07/08	32.50
65647	Haitong Int'l Sec - Country Garden R Bull CBBC Jul 2019 A	90,000,000	2019/01/14	2019/07/10	22.50
65869	Haitong Int'l Sec - Evergrande R Bull CBBC Aug 2019 A	40,000,000	2019/01/14	2019/08/22	11.68
65435	Haitong Int'l Sec - HKEX R Bull CBBC Jun 2019 E	40,000,000	2019/01/14	2019/06/27	10.00
68237	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 H	120,000,000	2019/01/14	2020/10/29	30.00
68256	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 I	120,000,000	2019/01/14	2020/10/29	30.00
64539	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 A	120,000,000	2019/01/14	2019/04/29	30.00
68259	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 B	120,000,000	2019/01/14	2019/04/29	30.00
68276	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 C	120,000,000	2019/01/14	2019/04/29	30.00
65817	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 C	120,000,000	2019/01/14	2019/07/16	30.00
65460	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2019 J	40,000,000	2019/01/14	2019/07/08	10.00
65502	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2019 K	40,000,000	2019/01/14	2019/07/10	10.00
67263	J P Morgan SP BV - Geely Auto R Bull CBBC May 2019 B	50,000,000	2019/01/14	2019/05/10	12.50
67379	J P Morgan SP BV - Geely Auto R Bull CBBC May 2019 C	50,000,000	2019/01/14	2019/05/17	12.50
67302	J P Morgan SP BV - Geely Auto R Bear CBBC May 2019 A	50,000,000	2019/01/14	2019/05/17	12.50
67404	J P Morgan SP BV - Geely Auto R Bear CBBC May 2019 B	50,000,000	2019/01/14	2019/05/10	12.50
67464	J P Morgan SP BV - HKEX R Bull CBBC May 2019 H	40,000,000	2019/01/14	2019/05/10	10.00
67626	J P Morgan SP BV - HKEX R Bull CBBC May 2019 I	40,000,000	2019/01/14	2019/05/17	10.00
67193	J P Morgan SP BV - HKEX R Bear CBBC May 2019 C	40,000,000	2019/01/14	2019/05/17	10.60
67113	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 A	200,000,000	2019/01/14	2020/09/29	50.00
67039	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 L	200,000,000	2019/01/14	2020/10/29	50.00
67110	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 R	200,000,000	2019/01/14	2020/11/27	50.00
67018	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 D	200,000,000	2019/01/14	2020/12/30	50.00
67116	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 M	200,000,000	2019/01/14	2020/12/30	50.00
66961	J P Morgan SP BV - HSI R Bear CBBC May 2019 H	200,000,000	2019/01/14	2019/05/30	50.00
66643	J P Morgan SP BV - HSI R Bear CBBC May 2019 R	200,000,000	2019/01/14	2019/05/30	50.00
66676	J P Morgan SP BV - HSI R Bear CBBC May 2019 S	200,000,000	2019/01/14	2019/05/30	50.00
67412	J P Morgan SP BV - Tencent R Bull CBBC May 2019 F	50,000,000	2019/01/14	2019/05/17	12.50
67538	J P Morgan SP BV - Tencent R Bull CBBC May 2019 G	50,000,000	2019/01/14	2019/05/10	12.50
66989	J P Morgan SP BV - Tencent R Bear CBBC May 2019 E	50,000,000	2019/01/14	2019/05/10	12.50
64528	Macquarie Bank Ltd. - AIA R Bear CBBC Jul 2019 A	40,000,000	2019/01/14	2019/07/31	10.36
65221	Macquarie Bank Ltd. - PetroChina R Bull CBBC Sep 2019 A	40,000,000	2019/01/14	2019/09/30	10.36
65289	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 M	24,800,000	2019/01/14	2019/05/03	10.56
65392	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 E	24,300,000	2019/01/14	2019/05/03	10.52
68621	SG Issuer - AIA R Bull CBBC Jul 2019 U	60,000,000	2019/01/14	2019/07/09	15.00
68792	SG Issuer - AIA R Bull CBBC Dec 2019 A	60,000,000	2019/01/14	2019/12/30	15.00
68571	SG Issuer - HKEX R Bear CBBC Jul 2019 C	50,000,000	2019/01/14	2019/07/25	12.50
68698	SG Issuer - HSI R Bull CBBC Oct 2020 B	200,000,000	2019/01/14	2020/10/29	50.00
68662	SG Issuer - HSI R Bull CBBC Oct 2020 F	200,000,000	2019/01/14	2020/10/29	50.00
68675	SG Issuer - HSI R Bull CBBC Oct 2020 V	400,000,000	2019/01/14	2020/10/29	100.00
68774	SG Issuer - HSI R Bull CBBC Nov 2020 P	200,000,000	2019/01/14	2020/11/27	50.00

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68610	SG Issuer - HSI R Bull CBBC Nov 2020 T	400,000,000	2019/01/14	2020/11/27	100.00
68717	SG Issuer - HSI R Bull CBBC Nov 2020 X	200,000,000	2019/01/14	2020/11/27	50.00
68724	SG Issuer - HSI R Bull CBBC Nov 2020 Z	200,000,000	2019/01/14	2020/11/27	50.00
68775	SG Issuer - HSI R Bull CBBC Dec 2020 D	200,000,000	2019/01/14	2020/12/30	50.00
68777	SG Issuer - HSI R Bull CBBC Dec 2020 R	200,000,000	2019/01/14	2020/12/30	50.00
68785	SG Issuer - HSI R Bull CBBC Dec 2020 V	200,000,000	2019/01/14	2020/12/30	50.00
68513	SG Issuer - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/14	2019/04/29	50.00
68499	SG Issuer - HSI R Bear CBBC Apr 2019 U	200,000,000	2019/01/14	2019/04/29	50.00
68535	SG Issuer - HSI R Bear CBBC May 2019 O	200,000,000	2019/01/14	2019/05/30	50.00
68536	SG Issuer - HSI R Bear CBBC May 2019 Q	200,000,000	2019/01/14	2019/05/30	50.00
68537	SG Issuer - HSI R Bear CBBC May 2019 S	200,000,000	2019/01/14	2019/05/30	50.00
68546	SG Issuer - HSI R Bear CBBC Aug 2019 F	400,000,000	2019/01/14	2019/08/29	100.00
68547	SG Issuer - HSI R Bear CBBC Aug 2019 G	400,000,000	2019/01/14	2019/08/29	100.00
68894	SG Issuer - Ping An R Bull CBBC Oct 2019 A	100,000,000	2019/01/14	2019/10/30	25.00
68968	SG Issuer - Ping An R Bull CBBC Nov 2019 B	100,000,000	2019/01/14	2019/11/28	25.00
68995	SG Issuer - Ping An R Bull CBBC Nov 2019 C	100,000,000	2019/01/14	2019/11/28	25.00
68998	SG Issuer - Ping An R Bull CBBC Dec 2019 B	100,000,000	2019/01/14	2019/12/30	25.00
68840	SG Issuer - Ping An R Bear CBBC Jul 2019 A	100,000,000	2019/01/14	2019/07/30	25.00
68847	SG Issuer - Ping An R Bear CBBC Sep 2019 A	100,000,000	2019/01/14	2019/09/27	25.00
68908	SG Issuer - Ping An R Bear CBBC Oct 2019 A	100,000,000	2019/01/14	2019/10/30	25.00
69027	SG Issuer - Tencent R Bull CBBC Apr 2019 U	80,000,000	2019/01/14	2019/04/24	20.00
69067	SG Issuer - Tencent R Bull CBBC May 2019 D	80,000,000	2019/01/14	2019/05/08	20.00
69082	SG Issuer - Tencent R Bull CBBC May 2019 G	80,000,000	2019/01/14	2019/05/24	20.00
69104	SG Issuer - Tencent R Bull CBBC May 2019 H	80,000,000	2019/01/14	2019/05/29	20.00
68572	SG Issuer - Tencent R Bear CBBC Jun 2019 A	80,000,000	2019/01/14	2019/06/03	20.00
68601	SG Issuer - Tencent R Bear CBBC Jun 2019 B	80,000,000	2019/01/14	2019/06/03	20.00
69169	SG Issuer - Tencent R Bear CBBC Jul 2019 F	80,000,000	2019/01/14	2019/07/30	20.00
66630	UBS AG - HKEX R Bear CBBC Jun 2019 B	50,000,000	2019/01/14	2019/06/10	12.50
66294	UBS AG - HSI R Bull CBBC Nov 2020 K	200,000,000	2019/01/14	2020/11/27	50.00
66146	UBS AG - HSI R Bull CBBC Nov 2020 Q	200,000,000	2019/01/14	2020/11/27	50.00
66145	UBS AG - HSI R Bull CBBC Dec 2020 O	200,000,000	2019/01/14	2020/12/30	50.00
66150	UBS AG - HSI R Bull CBBC Dec 2020 P	200,000,000	2019/01/14	2020/12/30	50.00
66026	UBS AG - HSI R Bear CBBC Apr 2019 J	200,000,000	2019/01/14	2019/04/29	50.00
66107	UBS AG - HSI R Bear CBBC May 2019 P	200,000,000	2019/01/14	2019/05/30	50.00
67986	UBS AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/01/14	2019/05/30	50.00
66103	UBS AG - HSI R Bear CBBC Jun 2019 H	300,000,000	2019/01/14	2019/06/27	75.00
66298	UBS AG - Tencent R Bull CBBC Apr 2019 O	40,000,000	2019/01/14	2019/04/16	10.00
66319	UBS AG - Tencent R Bull CBBC Apr 2019 P	40,000,000	2019/01/14	2019/04/18	10.00
66437	UBS AG - Tencent R Bull CBBC Apr 2019 Q	40,000,000	2019/01/14	2019/04/23	10.00
66528	UBS AG - Tencent R Bear CBBC Apr 2019 U	40,000,000	2019/01/14	2019/04/16	10.00
66544	UBS AG - Tencent R Bear CBBC Apr 2019 V	40,000,000	2019/01/14	2019/04/18	13.20
63926	Bank Vontobel - AAC Tech R Bull CBBC Oct 2019 C	40,000,000	2019/01/14	2019/10/18	10.04
63944	Bank Vontobel - AAC Tech R Bull CBBC Oct 2019 D	40,000,000	2019/01/14	2019/10/18	10.04
63964	Bank Vontobel - Geely Auto R Bull CBBC Aug 2019 D	40,000,000	2019/01/14	2019/08/16	10.04
63969	Bank Vontobel - Geely Auto R Bull CBBC Aug 2019 E	40,000,000	2019/01/14	2019/08/23	15.04

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64203	Bank Vontobel - HSI R Bull CBBC Jun 2019 P	80,000,000	2019/01/14	2019/06/27	20.08
64276	Bank Vontobel - HSI R Bull CBBC Jun 2019 Q	80,000,000	2019/01/14	2019/06/27	20.08
64300	Bank Vontobel - HSI R Bear CBBC Apr 2019 D	80,000,000	2019/01/14	2019/04/29	20.08
64048	Bank Vontobel - PetroChina R Bull CBBC Oct 2019 C	40,000,000	2019/01/14	2019/10/18	10.04
64000	Bank Vontobel - Sunny Optical R Bull CBBC Sep 2019 F	40,000,000	2019/01/14	2019/09/13	10.04
64003	Bank Vontobel - Sunny Optical R Bull CBBC Sep 2019 G	40,000,000	2019/01/14	2019/09/13	10.04
64306	Bank Vontobel - Tencent R Bull CBBC Sep 2019 E	40,000,000	2019/01/14	2019/09/20	10.04
69817	BOCI Asia Ltd. - Geely Auto R Bull CBBC Jun 2019 B	40,000,000	2019/01/15	2019/06/21	10.08
69495	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 I	250,000,000	2019/01/15	2020/11/27	62.50
69859	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 J	250,000,000	2019/01/15	2020/11/27	62.50
69931	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 K	250,000,000	2019/01/15	2020/11/27	62.50
69824	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 C	250,000,000	2019/01/15	2020/12/30	62.50
69939	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 E	250,000,000	2019/01/15	2019/06/27	62.50
60296	Credit Suisse AG - Bank of China R Bull CBBC Nov 2019 B	70,000,000	2019/01/15	2019/11/28	17.50
60951	Credit Suisse AG - China Mobile R Bull CBBC Dec 2019 B	70,000,000	2019/01/15	2019/12/30	17.50
60095	Credit Suisse AG - CPIC R Bull CBBC Jan 2020 A	50,000,000	2019/01/15	2020/01/30	12.50
60300	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 E	40,000,000	2019/01/15	2019/11/22	10.00
60336	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2019 C	40,000,000	2019/01/15	2019/12/06	12.00
60642	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2019 A	40,000,000	2019/01/15	2019/11/28	10.00
60815	Credit Suisse AG - HKEX R Bear CBBC Nov 2019 B	50,000,000	2019/01/15	2019/11/28	12.50
59802	Credit Suisse AG - HSI R Bull CBBC Oct 2020 K	200,000,000	2019/01/15	2020/10/29	50.00
61060	Credit Suisse AG - HSI R Bull CBBC Oct 2020 O	200,000,000	2019/01/15	2020/10/29	50.00
61015	Credit Suisse AG - HSI R Bull CBBC Dec 2020 W	200,000,000	2019/01/15	2020/12/30	50.00
61059	Credit Suisse AG - HSI R Bull CBBC Feb 2021 I	300,000,000	2019/01/15	2021/02/25	75.00
59801	Credit Suisse AG - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/15	2019/04/29	50.00
59800	Credit Suisse AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/01/15	2019/05/30	50.00
60914	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 B	50,000,000	2019/01/15	2019/12/20	14.50
60924	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 C	50,000,000	2019/01/15	2019/12/20	19.50
61282	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 B	100,000,000	2019/01/15	2019/12/30	25.00
61233	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 N	100,000,000	2019/01/15	2019/12/30	25.00
69559	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 B	200,000,000	2019/01/15	2020/10/29	50.00
69555	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 Q	200,000,000	2019/01/15	2020/10/29	50.00
69196	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 J	200,000,000	2019/01/15	2019/04/29	50.00
69197	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/15	2019/04/29	50.00
61221	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 T	100,000,000	2019/01/15	2019/05/03	25.00
61223	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 I	100,000,000	2019/01/15	2019/05/03	25.00
69595	HK Bank - Geely Auto R Bull CBBC Jul 2019 C	40,000,000	2019/01/15	2019/07/29	10.40
69606	HK Bank - Geely Auto R Bull CBBC Jul 2019 D	40,000,000	2019/01/15	2019/07/15	10.00
69637	HK Bank - HKEX R Bull CBBC Jun 2019 K	50,000,000	2019/01/15	2019/06/06	12.50
69620	HK Bank - HKEX R Bear CBBC Jun 2019 G	40,000,000	2019/01/15	2019/06/06	10.00
69678	HK Bank - HSI R Bull CBBC Dec 2019 P	150,000,000	2019/01/15	2019/12/30	37.50
69683	HK Bank - HSI R Bull CBBC Dec 2019 Q	50,000,000	2019/01/15	2019/12/30	12.50
69680	HK Bank - HSI R Bull CBBC Mar 2020 M	150,000,000	2019/01/15	2020/03/30	37.50
69248	HK Bank - Tencent R Bull CBBC Jun 2019 D	60,000,000	2019/01/15	2019/06/17	15.00
58161	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 J	120,000,000	2019/01/15	2020/10/29	30.00

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58784	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 K	120,000,000	2019/01/15	2020/10/29	30.00
58785	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 W	120,000,000	2019/01/15	2019/04/29	30.00
58823	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 X	120,000,000	2019/01/15	2019/04/29	30.00
59192	J P Morgan SP BV - Geely Auto R Bull CBBC Jun 2019 A	50,000,000	2019/01/15	2019/06/14	12.50
59608	J P Morgan SP BV - Geely Auto R Bear CBBC Jun 2019 A	50,000,000	2019/01/15	2019/06/14	12.50
59189	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 B	40,000,000	2019/01/15	2019/06/14	10.00
58911	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 D	200,000,000	2019/01/15	2020/09/29	50.00
59104	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 J	200,000,000	2019/01/15	2020/11/27	50.00
59640	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 N	200,000,000	2019/01/15	2020/12/30	50.00
58910	J P Morgan SP BV - HSI R Bear CBBC May 2019 P	200,000,000	2019/01/15	2019/05/30	50.00
59182	J P Morgan SP BV - Ping An R Bull CBBC Jun 2019 A	100,000,000	2019/01/15	2019/06/14	25.00
59051	J P Morgan SP BV - Tencent R Bull CBBC May 2019 H	50,000,000	2019/01/15	2019/05/10	12.50
59181	J P Morgan SP BV - Tencent R Bear CBBC May 2019 F	50,000,000	2019/01/15	2019/05/10	12.50
69773	Macquarie Bank Ltd. - CM Bank R Bull CBBC Sep 2019 A	40,000,000	2019/01/15	2019/09/30	11.72
69705	Macquarie Bank Ltd. - Sands China R Bull CBBC Dec 2019 A	40,000,000	2019/01/15	2019/12/31	10.36
69762	Macquarie Bank Ltd. - SUNAC R Bear CBBC Jul 2019 A	10,000,000	2019/01/15	2019/07/31	10.47
69784	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 N	21,300,000	2019/01/15	2019/05/24	10.52
69785	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 F	21,000,000	2019/01/15	2019/05/24	10.56
61548	SG Issuer - AAC Tech R Bull CBBC Nov 2019 B	40,000,000	2019/01/15	2019/11/21	10.00
61547	SG Issuer - AAC Tech R Bear CBBC Apr 2019 B	40,000,000	2019/01/15	2019/04/30	10.00
61622	SG Issuer - CNOOC R Bull CBBC Jul 2019 D	40,000,000	2019/01/15	2019/07/23	10.00
61624	SG Issuer - CNOOC R Bull CBBC Jul 2019 E	40,000,000	2019/01/15	2019/07/25	10.80
61587	SG Issuer - Sinopec Corp R Bull CBBC Jul 2019 G	40,000,000	2019/01/15	2019/07/22	10.00
61619	SG Issuer - Sinopec Corp R Bull CBBC Jul 2019 H	40,000,000	2019/01/15	2019/07/30	10.00
61762	SG Issuer - Geely Auto R Bull CBBC Jun 2019 A	40,000,000	2019/01/15	2019/06/28	10.60
61764	SG Issuer - Geely Auto R Bull CBBC Jul 2019 C	40,000,000	2019/01/15	2019/07/03	17.00
61770	SG Issuer - Geely Auto R Bull CBBC Jul 2019 D	40,000,000	2019/01/15	2019/07/08	14.00
61659	SG Issuer - Geely Auto R Bear CBBC Apr 2019 D	40,000,000	2019/01/15	2019/04/30	10.00
61672	SG Issuer - Geely Auto R Bear CBBC May 2019 B	40,000,000	2019/01/15	2019/05/03	14.60
61687	SG Issuer - Geely Auto R Bear CBBC May 2019 C	40,000,000	2019/01/15	2019/05/06	10.60
61628	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 A	100,000,000	2019/01/15	2019/09/09	25.00
61297	SG Issuer - HKEX R Bull CBBC Jun 2019 B	50,000,000	2019/01/15	2019/06/25	12.50
61310	SG Issuer - HKEX R Bull CBBC Jul 2019 B	50,000,000	2019/01/15	2019/07/08	12.50
61797	SG Issuer - HSBC R Bull CBBC Nov 2019 A	100,000,000	2019/01/15	2019/11/18	25.00
61482	SG Issuer - HSI R Bull CBBC Sep 2020 E	200,000,000	2019/01/15	2020/09/29	50.00
61483	SG Issuer - HSI R Bull CBBC Sep 2020 G	200,000,000	2019/01/15	2020/09/29	50.00
61481	SG Issuer - HSI R Bull CBBC Sep 2020 K	200,000,000	2019/01/15	2020/09/29	50.00
61419	SG Issuer - HSI R Bull CBBC Oct 2020 H	400,000,000	2019/01/15	2020/10/29	100.00
61423	SG Issuer - HSI R Bull CBBC Oct 2020 N	200,000,000	2019/01/15	2020/10/29	50.00
61486	SG Issuer - HSI R Bull CBBC Oct 2020 O	200,000,000	2019/01/15	2020/10/29	50.00
61430	SG Issuer - HSI R Bull CBBC Nov 2020 I	200,000,000	2019/01/15	2020/11/27	50.00
61538	SG Issuer - HSI R Bull CBBC Dec 2020 P	200,000,000	2019/01/15	2020/12/30	50.00
61532	SG Issuer - HSI R Bull CBBC Dec 2020 Z	200,000,000	2019/01/15	2020/12/30	50.00
61467	SG Issuer - HSI R Bear CBBC Apr 2019 O	200,000,000	2019/01/15	2019/04/29	50.00
61418	SG Issuer - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/01/15	2019/07/30	50.00

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61863	SG Issuer - PetroChina R Bull CBBC Jul 2019 E	40,000,000	2019/01/15	2019/07/30	10.00
61799	SG Issuer - PetroChina R Bear CBBC Jul 2019 A	40,000,000	2019/01/15	2019/07/26	10.00
61335	SG Issuer - Ping An R Bull CBBC Aug 2019 N	100,000,000	2019/01/15	2019/08/02	25.00
61350	SG Issuer - Tencent R Bull CBBC May 2019 E	80,000,000	2019/01/15	2019/05/06	20.00
61457	SG Issuer - Tencent R Bull CBBC May 2019 F	80,000,000	2019/01/15	2019/05/09	20.00
61356	SG Issuer - Tencent R Bull CBBC May 2019 I	80,000,000	2019/01/15	2019/05/10	20.00
61360	SG Issuer - Tencent R Bull CBBC Jun 2019 J	80,000,000	2019/01/15	2019/06/12	20.00
61455	SG Issuer - Tencent R Bear CBBC May 2019 K	80,000,000	2019/01/15	2019/05/03	20.00
69275	UBS AG - Geely Auto R Bull CBBC Aug 2019 A	40,000,000	2019/01/15	2019/08/12	10.00
69313	UBS AG - Geely Auto R Bull CBBC Sep 2019 A	40,000,000	2019/01/15	2019/09/16	10.00
69402	UBS AG - Geely Auto R Bear CBBC Aug 2019 A	40,000,000	2019/01/15	2019/08/12	10.00
69411	UBS AG - Geely Auto R Bear CBBC Sep 2019 A	40,000,000	2019/01/15	2019/09/16	10.00
57376	UBS AG - HSI R Bull CBBC Nov 2020 T	200,000,000	2019/01/15	2020/11/27	50.00
57553	UBS AG - HSI R Bull CBBC Nov 2020 U	200,000,000	2019/01/15	2020/11/27	50.00
69250	UBS AG - HSI R Bull CBBC Jan 2021 M	200,000,000	2019/01/15	2021/01/28	50.00
69251	UBS AG - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/15	2019/04/29	50.00
57455	UBS AG - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/01/15	2019/06/27	50.00
69426	UBS AG - Sunny Optical R Bull CBBC Apr 2019 B	40,000,000	2019/01/15	2019/04/15	10.00
69459	UBS AG - Sunny Optical R Bear CBBC Jul 2019 A	40,000,000	2019/01/15	2019/07/08	10.00
69254	UBS AG - Tencent R Bear CBBC Apr 2019 W	40,000,000	2019/01/15	2019/04/26	12.80
69524	Bank Vontobel - China Mobile R Bull CBBC Aug 2019 D	40,000,000	2019/01/15	2019/08/09	10.04
69553	Bank Vontobel - CNOOC R Bull CBBC Nov 2019 H	40,000,000	2019/01/15	2019/11/08	10.04
69538	Bank Vontobel - PetroChina R Bull CBBC Oct 2019 D	40,000,000	2019/01/15	2019/10/18	10.04
69512	Bank Vontobel - Ping An R Bear CBBC Aug 2019 D	40,000,000	2019/01/15	2019/08/23	10.04
62286	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 B	150,000,000	2019/01/16	2019/12/30	39.30
62294	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 B	150,000,000	2019/01/16	2019/05/30	37.50
62295	BOCI Asia Ltd. - Tencent R Bull CBBC Jun 2019 B	40,000,000	2019/01/16	2019/06/24	10.16
62309	BOCI Asia Ltd. - Tencent R Bear CBBC Jun 2019 A	40,000,000	2019/01/16	2019/06/17	11.32
62330	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Sep 2019 W	100,000,000	2019/01/16	2019/09/27	25.00
62336	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 E	100,000,000	2019/01/16	2019/11/28	25.00
62337	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2019B	150,000,000	2019/01/16	2019/11/28	37.50
62342	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Jun 2019 O	150,000,000	2019/01/16	2019/06/27	37.50
62382	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Jul 2019 G	150,000,000	2019/01/16	2019/07/30	37.50
62386	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 R	100,000,000	2019/01/16	2019/09/27	25.00
62387	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 U	100,000,000	2019/01/16	2019/09/27	25.00
62390	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 F	100,000,000	2019/01/16	2019/12/30	25.00
62418	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 L	250,000,000	2019/01/16	2020/11/27	62.50
62420	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 M	250,000,000	2019/01/16	2020/11/27	62.50
62423	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 N	250,000,000	2019/01/16	2020/11/27	62.50
62425	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 O	250,000,000	2019/01/16	2020/11/27	62.50
62426	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 Q	250,000,000	2019/01/16	2020/11/27	62.50
62476	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2020 R	250,000,000	2019/01/16	2020/11/27	62.50
63486	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 D	250,000,000	2019/01/16	2020/12/30	62.50
62320	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 A	250,000,000	2019/01/16	2019/05/30	62.50
62325	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 C	250,000,000	2019/01/16	2019/05/30	62.50

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62328	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 G	250,000,000	2019/01/16	2019/05/30	62.50
62407	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 H	250,000,000	2019/01/16	2019/05/30	62.50
62311	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 Z	250,000,000	2019/01/16	2019/05/30	62.50
62384	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 B	50,000,000	2019/01/16	2019/06/27	12.50
62968	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 Y	50,000,000	2019/01/16	2019/06/27	12.50
62385	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 I	50,000,000	2019/01/16	2019/07/30	12.50
63487	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 O	50,000,000	2019/01/16	2019/07/30	12.50
62948	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 K	50,000,000	2019/01/16	2019/11/28	12.50
64103	Credit Suisse AG - AIA R Bull CBBC Oct 2019 D	70,000,000	2019/01/16	2019/10/30	17.50
64091	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2019 C	50,000,000	2019/01/16	2019/11/28	12.50
64101	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 A	50,000,000	2019/01/16	2019/12/30	15.00
64087	Credit Suisse AG - HSI R Bull CBBC Oct 2020 V	200,000,000	2019/01/16	2020/10/29	50.00
64089	Credit Suisse AG - HSI R Bull CBBC Oct 2020 Z	200,000,000	2019/01/16	2020/10/29	50.00
64028	Credit Suisse AG - HSI R Bull CBBC Dec 2020 X	200,000,000	2019/01/16	2020/12/30	50.00
64090	Credit Suisse AG - HSI R Bull CBBC Jan 2021 S	200,000,000	2019/01/16	2021/01/28	50.00
63998	Credit Suisse AG - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/16	2019/04/29	50.00
64025	Credit Suisse AG - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/16	2019/04/29	50.00
63994	Credit Suisse AG - HSI R Bear CBBC Apr 2019 Z	200,000,000	2019/01/16	2019/04/29	50.00
63997	Credit Suisse AG - HSI R Bear CBBC May 2019 Q	200,000,000	2019/01/16	2019/05/30	50.00
64017	Credit Suisse AG - HSI R Bear CBBC May 2019 R	200,000,000	2019/01/16	2019/05/30	50.00
64104	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 H	50,000,000	2019/01/16	2019/11/21	12.50
64105	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 E	50,000,000	2019/01/16	2019/11/28	12.50
64241	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 J	100,000,000	2019/01/16	2019/11/15	25.00
64226	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 O	100,000,000	2019/01/16	2019/12/30	25.00
64219	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Oct 2019 N	200,000,000	2019/01/16	2019/10/30	50.00
64113	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 C	200,000,000	2019/01/16	2020/10/29	50.00
64114	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 S	200,000,000	2019/01/16	2020/10/29	50.00
64123	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 V	200,000,000	2019/01/16	2020/10/29	50.00
64152	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2020 Y	200,000,000	2019/01/16	2020/10/29	50.00
64160	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 G	200,000,000	2019/01/16	2020/11/27	50.00
62507	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 A	200,000,000	2019/01/16	2019/04/29	50.00
62505	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 L	200,000,000	2019/01/16	2019/04/29	50.00
62506	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 M	200,000,000	2019/01/16	2019/04/29	50.00
62485	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 Z	200,000,000	2019/01/16	2019/04/29	50.00
64225	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 U	100,000,000	2019/01/16	2019/07/25	25.00
64165	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 U	100,000,000	2019/01/16	2019/05/02	25.00
64208	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 V	100,000,000	2019/01/16	2019/05/02	25.00
62845	HK Bank - AAC Tech R Bull CBBC Jul 2019 D	40,000,000	2019/01/16	2019/07/15	10.00
62939	HK Bank - AIA R Bull CBBC Jun 2019 B	80,000,000	2019/01/16	2019/06/27	20.00
62842	HK Bank - China Mobile R Bull CBBC Jun 2019 B	50,000,000	2019/01/16	2019/06/27	12.50
62901	HK Bank - CNOOC R Bull CBBC Jul 2019 H	50,000,000	2019/01/16	2019/07/11	12.50
62865	HK Bank - Sinopec Corp R Bull CBBC Jul 2019 D	80,000,000	2019/01/16	2019/07/15	20.00
62930	HK Bank - CSPC Pharma R Bull CBBC Jun 2019 B	40,000,000	2019/01/16	2019/06/27	10.00
62666	HK Bank - Geely Auto R Bear CBBC Jul 2019 B	40,000,000	2019/01/16	2019/07/02	10.00
62667	HK Bank - HKEX R Bull CBBC Jun 2019 L	50,000,000	2019/01/16	2019/06/10	12.50

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62668	HK Bank - HSCEI R Bull CBBC Mar 2020 B	60,000,000	2019/01/16	2020/03/30	15.00
62670	HK Bank - HSI R Bull CBBC Mar 2020 N	150,000,000	2019/01/16	2020/03/30	37.50
62704	HK Bank - HSI R Bull CBBC Mar 2020 O	150,000,000	2019/01/16	2020/03/30	37.50
62257	HK Bank - HSI R Bear CBBC May 2019 X	150,000,000	2019/01/16	2019/05/30	37.50
62285	HK Bank - HSI R Bear CBBC May 2019 Y	150,000,000	2019/01/16	2019/05/30	37.50
62904	HK Bank - ICBC R Bull CBBC Jun 2019 B	100,000,000	2019/01/16	2019/06/13	25.00
62886	HK Bank - PetroChina R Bull CBBC Jul 2019 E	80,000,000	2019/01/16	2019/07/08	20.00
62789	HK Bank - Ping An R Bull CBBC Jul 2019 J	100,000,000	2019/01/16	2019/07/25	25.00
62630	HK Bank - Tencent R Bull CBBC Jun 2019 O	60,000,000	2019/01/16	2019/06/10	15.00
62586	HK Bank - Tencent R Bull CBBC Jul 2019 O	60,000,000	2019/01/16	2019/07/22	15.00
62279	HK Bank - Tencent R Bear CBBC Jun 2019 O	50,000,000	2019/01/16	2019/06/27	12.50
62664	HK Bank - Tencent R Bear CBBC Jul 2019 H	50,000,000	2019/01/16	2019/07/11	21.00
63533	Haitong Int'l Sec - China Life R Bull CBBC Aug 2019 B	50,000,000	2019/01/16	2019/08/02	12.50
63525	Haitong Int'l Sec - Geely Auto R Bull CBBC Jul 2019 A	80,000,000	2019/01/16	2019/07/16	20.00
61971	Haitong Int'l Sec - Geely Auto R Bull CBBC Aug 2019 C	80,000,000	2019/01/16	2019/08/13	20.00
62033	Haitong Int'l Sec - Geely Auto R Bear CBBC Apr 2019 A	80,000,000	2019/01/16	2019/04/30	22.24
63489	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Jul 2019 B	170,000,000	2019/01/16	2019/07/30	42.50
62040	Haitong Int'l Sec - HKEX R Bear CBBC Jun 2019 A	40,000,000	2019/01/16	2019/06/28	10.00
63477	Haitong Int'l Sec - HSI R Bull CBBC Dec 2019 A	120,000,000	2019/01/16	2019/12/30	30.00
63473	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 L	120,000,000	2019/01/16	2020/10/29	30.00
63478	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 M	120,000,000	2019/01/16	2020/10/29	30.00
62142	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 D	120,000,000	2019/01/16	2019/04/29	30.00
62155	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 K	120,000,000	2019/01/16	2019/04/29	30.00
62136	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 Z	120,000,000	2019/01/16	2019/04/29	30.00
61972	Haitong Int'l Sec - PetroChina R Bull CBBC Jul 2019 A	170,000,000	2019/01/16	2019/07/11	42.50
62008	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 D	130,000,000	2019/01/16	2019/07/18	32.50
62024	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 E	130,000,000	2019/01/16	2019/07/19	32.50
63546	Haitong Int'l Sec - Sunny Optical R Bear CBBC Sep 2019 A	130,000,000	2019/01/16	2019/09/05	32.50
63550	Haitong Int'l Sec - Sunny Optical R Bear CBBC Sep 2019 B	130,000,000	2019/01/16	2019/09/06	32.50
63531	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 A	40,000,000	2019/01/16	2019/08/08	10.00
62115	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2019 W	40,000,000	2019/01/16	2019/04/30	10.00
63536	Haitong Int'l Sec - Tencent R Bear CBBC Jun 2019 A	40,000,000	2019/01/16	2019/06/04	15.84
63698	J P Morgan SP BV - HKEX R Bull CBBC May 2019 J	40,000,000	2019/01/16	2019/05/10	10.00
63712	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 C	40,000,000	2019/01/16	2019/06/14	14.80
63646	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 R	200,000,000	2019/01/16	2020/10/29	50.00
63658	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 T	200,000,000	2019/01/16	2020/10/29	50.00
63652	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 B	200,000,000	2019/01/16	2020/11/27	50.00
63645	J P Morgan SP BV - HSI R Bull CBBC Nov 2020 Y	200,000,000	2019/01/16	2020/11/27	50.00
63649	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 O	200,000,000	2019/01/16	2020/12/30	50.00
63616	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 U	200,000,000	2019/01/16	2020/12/30	50.00
63569	J P Morgan SP BV - HSI R Bear CBBC May 2019 Q	200,000,000	2019/01/16	2019/05/30	50.00
63590	J P Morgan SP BV - HSI R Bear CBBC May 2019 T	200,000,000	2019/01/16	2019/05/30	50.00
63603	J P Morgan SP BV - HSI R Bear CBBC May 2019 U	200,000,000	2019/01/16	2019/05/30	50.00
63611	J P Morgan SP BV - HSI R Bear CBBC May 2019 W	200,000,000	2019/01/16	2019/05/30	50.00
63718	J P Morgan SP BV - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/16	2019/05/30	50.00

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63725	J P Morgan SP BV - Ping An R Bull CBBC Jun 2019 B	100,000,000	2019/01/16	2019/06/14	25.00
63686	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 W	50,000,000	2019/01/16	2019/04/18	12.50
63689	J P Morgan SP BV - Tencent R Bull CBBC May 2019 I	50,000,000	2019/01/16	2019/05/10	12.50
63665	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 R	50,000,000	2019/01/16	2019/04/18	12.50
63729	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 S	50,000,000	2019/01/16	2019/04/18	12.50
63552	J P Morgan SP BV - Tencent R Bear CBBC May 2019 G	50,000,000	2019/01/16	2019/05/10	12.50
63558	J P Morgan SP BV - Tencent R Bear CBBC May 2019 H	50,000,000	2019/01/16	2019/05/10	12.50
63676	J P Morgan SP BV - Tencent R Bear CBBC May 2019 I	50,000,000	2019/01/16	2019/05/10	17.50
63678	J P Morgan SP BV - Tencent R Bear CBBC May 2019 J	50,000,000	2019/01/16	2019/05/17	20.25
63733	J P Morgan SP BV - Tencent R Bear CBBC May 2019 K	50,000,000	2019/01/16	2019/05/10	23.50
62187	Macquarie Bank Ltd. - China Mobile R Bull CBBC Nov 2019 A	40,000,000	2019/01/16	2019/11/29	10.72
62717	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 B	18,300,000	2019/01/16	2019/06/12	10.52
62745	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 C	16,700,000	2019/01/16	2019/06/12	10.50
62762	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2019 A	19,100,000	2019/01/16	2019/06/03	10.54
63868	SG Issuer - AAC Tech R Bull CBBC Oct 2019 B	40,000,000	2019/01/16	2019/10/30	10.00
63835	SG Issuer - China Mobile R Bear CBBC Jun 2019 A	100,000,000	2019/01/16	2019/06/26	25.00
63832	SG Issuer - CM Bank R Bull CBBC Feb 2020 A	60,000,000	2019/01/16	2020/02/27	15.00
63869	SG Issuer - CNOOC R Bull CBBC Jul 2019 F	40,000,000	2019/01/16	2019/07/29	10.00
63881	SG Issuer - Geely Auto R Bull CBBC Jul 2019 E	40,000,000	2019/01/16	2019/07/22	10.00
63894	SG Issuer - Geely Auto R Bull CBBC Jul 2019 F	40,000,000	2019/01/16	2019/07/29	10.00
63873	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 B	100,000,000	2019/01/16	2019/10/30	25.00
63773	SG Issuer - Galaxy Ent R Bear CBBC Jul 2019 U	100,000,000	2019/01/16	2019/07/30	25.00
63895	SG Issuer - HKEX R Bull CBBC Jun 2019 A	50,000,000	2019/01/16	2019/06/10	12.50
63836	SG Issuer - HKEX R Bear CBBC Jun 2019 B	50,000,000	2019/01/16	2019/06/26	12.50
63855	SG Issuer - HSI R Bull CBBC Aug 2020 R	200,000,000	2019/01/16	2020/08/28	50.00
63856	SG Issuer - HSI R Bull CBBC Sep 2020 Q	200,000,000	2019/01/16	2020/09/29	50.00
63862	SG Issuer - HSI R Bull CBBC Sep 2020 V	200,000,000	2019/01/16	2020/09/29	50.00
63866	SG Issuer - HSI R Bull CBBC Oct 2020 T	200,000,000	2019/01/16	2020/10/29	50.00
63799	SG Issuer - HSI R Bull CBBC Nov 2020 D	200,000,000	2019/01/16	2020/11/27	50.00
63803	SG Issuer - HSI R Bull CBBC Dec 2020 A	400,000,000	2019/01/16	2020/12/30	100.00
63823	SG Issuer - HSI R Bull CBBC Dec 2020 S	200,000,000	2019/01/16	2020/12/30	50.00
63752	SG Issuer - HSI R Bear CBBC Apr 2019 I	200,000,000	2019/01/16	2019/04/29	50.00
63757	SG Issuer - HSI R Bear CBBC Apr 2019 V	200,000,000	2019/01/16	2019/04/29	50.00
63745	SG Issuer - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/16	2019/04/29	50.00
63763	SG Issuer - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/16	2019/05/30	50.00
63767	SG Issuer - HSI R Bear CBBC May 2019 J	200,000,000	2019/01/16	2019/05/30	50.00
63771	SG Issuer - HSI R Bear CBBC Jul 2019 E	200,000,000	2019/01/16	2019/07/30	50.00
63772	SG Issuer - HSI R Bear CBBC Jul 2019 F	400,000,000	2019/01/16	2019/07/30	100.00
63791	SG Issuer - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/01/16	2019/07/30	50.00
63850	SG Issuer - HSI R Bear CBBC Jul 2019 J	200,000,000	2019/01/16	2019/07/30	50.00
63920	SG Issuer - PetroChina R Bull CBBC Oct 2019 B	40,000,000	2019/01/16	2019/10/29	10.00
63838	SG Issuer - Ping An R Bull CBBC Oct 2019 B	100,000,000	2019/01/16	2019/10/21	25.00
63937	SG Issuer - Ping An R Bull CBBC Oct 2019 C	100,000,000	2019/01/16	2019/10/30	25.00
63938	SG Issuer - Ping An R Bull CBBC Nov 2019 D	100,000,000	2019/01/16	2019/11/28	25.00
63939	SG Issuer - Sunny Optical R Bear CBBC Jul 2019 A	40,000,000	2019/01/16	2019/07/22	10.00

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63848	SG Issuer - Tencent R Bull CBBC Apr 2019 M	80,000,000	2019/01/16	2019/04/30	20.00
63951	SG Issuer - Tencent R Bull CBBC Apr 2019 N	80,000,000	2019/01/16	2019/04/30	20.00
63953	SG Issuer - Tencent R Bull CBBC May 2019 J	80,000,000	2019/01/16	2019/05/07	20.00
63979	SG Issuer - Tencent R Bull CBBC May 2019 M	80,000,000	2019/01/16	2019/05/10	20.00
63847	SG Issuer - Tencent R Bear CBBC Apr 2019 Y	80,000,000	2019/01/16	2019/04/25	20.00
63777	SG Issuer - Tencent R Bear CBBC May 2019 L	80,000,000	2019/01/16	2019/05/02	20.00
63778	SG Issuer - Tencent R Bear CBBC May 2019 M	80,000,000	2019/01/16	2019/05/03	20.00
63980	SG Issuer - Tencent R Bear CBBC May 2019 N	80,000,000	2019/01/16	2019/05/30	20.00
63990	SG Issuer - Tencent R Bear CBBC Jul 2019 G	80,000,000	2019/01/16	2019/07/22	45.60
63445	UBS AG - Bank of China R Bull CBBC Dec 2019 A	60,000,000	2019/01/16	2019/12/30	15.00
63439	UBS AG - CC Bank R Bear CBBC Apr 2019 A	80,000,000	2019/01/16	2019/04/25	20.00
63354	UBS AG - CNOOC R Bull CBBC Oct 2019 A	40,000,000	2019/01/16	2019/10/21	10.00
63267	UBS AG - HKEX R Bear CBBC Jun 2019 C	40,000,000	2019/01/16	2019/06/17	31.60
63093	UBS AG - HSI R Bull CBBC Dec 2020 A	200,000,000	2019/01/16	2020/12/30	50.00
63096	UBS AG - HSI R Bull CBBC Dec 2020 I	200,000,000	2019/01/16	2020/12/30	50.00
63098	UBS AG - HSI R Bull CBBC Dec 2020 N	200,000,000	2019/01/16	2020/12/30	50.00
63087	UBS AG - HSI R Bull CBBC Dec 2020 S	200,000,000	2019/01/16	2020/12/30	50.00
63248	UBS AG - HSI R Bear CBBC Apr 2019 A	200,000,000	2019/01/16	2019/04/29	50.00
62970	UBS AG - HSI R Bear CBBC Apr 2019 H	200,000,000	2019/01/16	2019/04/29	50.00
62983	UBS AG - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/16	2019/04/29	50.00
63046	UBS AG - HSI R Bear CBBC Apr 2019 V	200,000,000	2019/01/16	2019/04/29	50.00
63213	UBS AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/01/16	2019/05/30	50.00
63047	UBS AG - HSI R Bear CBBC May 2019 B	200,000,000	2019/01/16	2019/05/30	50.00
63237	UBS AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/01/16	2019/05/30	50.00
63025	UBS AG - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/16	2019/05/30	50.00
63238	UBS AG - HSI R Bear CBBC Jun 2019 J	200,000,000	2019/01/16	2019/06/27	50.00
63264	UBS AG - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/01/16	2019/06/27	50.00
63253	UBS AG - HSI R Bear CBBC Jul 2019 A	200,000,000	2019/01/16	2019/07/30	50.00
63427	UBS AG - ICBC R Bear CBBC Apr 2019 A	50,000,000	2019/01/16	2019/04/25	12.50
63469	UBS AG - Tencent R Bull CBBC Apr 2019 S	40,000,000	2019/01/16	2019/04/30	10.00
63472	UBS AG - Tencent R Bull CBBC Jun 2019 G	40,000,000	2019/01/16	2019/06/10	10.00
63050	UBS AG - Tencent R Bear CBBC Apr 2019 X	40,000,000	2019/01/16	2019/04/17	10.00
63052	UBS AG - Tencent R Bear CBBC Apr 2019 Y	40,000,000	2019/01/16	2019/04/23	10.00
63100	UBS AG - Tencent R Bear CBBC Apr 2019 Z	40,000,000	2019/01/16	2019/04/25	10.00
63145	UBS AG - Tencent R Bear CBBC Jun 2019 A	40,000,000	2019/01/16	2019/06/03	23.20
63165	UBS AG - Tencent R Bear CBBC Jun 2019 B	40,000,000	2019/01/16	2019/06/10	27.20
63191	UBS AG - Tencent R Bear CBBC Jun 2019 C	40,000,000	2019/01/16	2019/06/17	31.60
61919	Bank Vontobel - AIA R Bull CBBC Oct 2019 D	40,000,000	2019/01/16	2019/10/11	10.04
61910	Bank Vontobel - CC Bank R Bull CBBC Jul 2019 D	40,000,000	2019/01/16	2019/07/19	10.04
61931	Bank Vontobel - Sinopec Corp R Bull CBBC Nov 2019 D	40,000,000	2019/01/16	2019/11/01	10.04
61899	Bank Vontobel - HKEX R Bull CBBC Sep 2019 C	40,000,000	2019/01/16	2019/09/13	10.04
62532	Bank Vontobel - HSI N Bull CBBC Jun 2019 R	80,000,000	2019/01/16	2019/06/27	20.08
62534	Bank Vontobel - HSI N Bull CBBC Jun 2019 S	80,000,000	2019/01/16	2019/06/27	20.08
62535	Bank Vontobel - HSI R Bull CBBC Jun 2019 T	80,000,000	2019/01/16	2019/06/27	20.08
62584	Bank Vontobel - HSI R Bull CBBC Jun 2019 U	80,000,000	2019/01/16	2019/06/27	20.08

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62524	Bank Vontobel - Tencent R Bull CBBC Sep 2019 F	40,000,000	2019/01/16	2019/09/20	10.04
64793	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 A	250,000,000	2019/01/17	2020/10/29	62.50
64797	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 B	250,000,000	2019/01/17	2020/10/29	62.50
64787	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 E	250,000,000	2019/01/17	2020/12/30	62.50
64791	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 F	250,000,000	2019/01/17	2019/06/27	62.50
65131	Credit Suisse AG - AIA R Bull CBBC Nov 2019 C	70,000,000	2019/01/17	2019/11/28	17.50
65132	Credit Suisse AG - AIA R Bear CBBC Nov 2019 B	70,000,000	2019/01/17	2019/11/28	17.50
65204	Credit Suisse AG - CSPC Pharma R Bull CBBC Dec 2019 A	40,000,000	2019/01/17	2019/12/30	10.00
65122	Credit Suisse AG - HSI R Bull CBBC Sep 2020 A	200,000,000	2019/01/17	2020/09/29	50.00
65114	Credit Suisse AG - HSI R Bull CBBC Sep 2020 D	200,000,000	2019/01/17	2020/09/29	50.00
65121	Credit Suisse AG - HSI R Bull CBBC Sep 2020 G	200,000,000	2019/01/17	2020/09/29	50.00
65214	Credit Suisse AG - HSI R Bull CBBC Oct 2020 A	200,000,000	2019/01/17	2020/10/29	50.00
65109	Credit Suisse AG - HSI R Bull CBBC Jan 2021 T	200,000,000	2019/01/17	2021/01/28	50.00
65209	Credit Suisse AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/01/17	2019/05/30	50.00
65211	Credit Suisse AG - HSI R Bear CBBC May 2019 Y	200,000,000	2019/01/17	2019/05/30	50.00
65203	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 N	80,000,000	2019/01/17	2019/11/28	20.00
65207	Credit Suisse AG - Sunny Optical R Bull CBBC Dec 2019 A	40,000,000	2019/01/17	2019/12/30	10.00
65124	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 I	50,000,000	2019/01/17	2019/11/22	12.50
65126	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 F	50,000,000	2019/01/17	2019/11/28	12.50
65657	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 K	100,000,000	2019/01/17	2019/11/15	25.00
65656	Goldman Sachs SP (Asia) - China Mobile R Bear CBBC Dec 2019 A	100,000,000	2019/01/17	2019/12/30	25.00
65640	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Aug 2019 A	100,000,000	2019/01/17	2019/08/29	25.00
65635	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Feb 2020 G	100,000,000	2019/01/17	2020/02/14	25.00
64243	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 F	200,000,000	2019/01/17	2020/09/29	50.00
64490	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 G	200,000,000	2019/01/17	2020/09/29	50.00
65563	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 H	200,000,000	2019/01/17	2020/09/29	50.00
65638	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 I	200,000,000	2019/01/17	2020/09/29	50.00
65659	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 J	200,000,000	2019/01/17	2020/09/29	50.00
65664	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 K	200,000,000	2019/01/17	2020/09/29	50.00
65665	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/17	2019/04/29	50.00
64504	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 E	100,000,000	2019/01/17	2019/07/26	25.00
65631	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 W	100,000,000	2019/01/17	2019/05/02	25.00
64731	HK Bank - Bank of China R Bull CBBC Oct 2019 A	100,000,000	2019/01/17	2019/10/08	25.00
64678	HK Bank - China Mobile R Bear CBBC Jul 2019 B	40,000,000	2019/01/17	2019/07/08	10.00
64717	HK Bank - CC Bank R Bear CBBC Jul 2019 A	50,000,000	2019/01/17	2019/07/18	12.50
64746	HK Bank - China Life R Bear CBBC Jul 2019 B	40,000,000	2019/01/17	2019/07/15	10.00
64613	HK Bank - Geely Auto R Bull CBBC Jul 2019 E	40,000,000	2019/01/17	2019/07/22	10.00
64648	HK Bank - HKEEX R Bull CBBC Jun 2019 M	50,000,000	2019/01/17	2019/06/13	12.50
64512	HK Bank - HSI R Bull CBBC Mar 2020 P	150,000,000	2019/01/17	2020/03/30	37.50
64513	HK Bank - HSI R Bull CBBC Mar 2020 Q	150,000,000	2019/01/17	2020/03/30	37.50
64553	HK Bank - HSI R Bear CBBC May 2019 M	150,000,000	2019/01/17	2019/05/30	37.50
64573	HK Bank - HSI R Bear CBBC May 2019 N	150,000,000	2019/01/17	2019/05/30	37.50
64549	HK Bank - HSI R Bear CBBC May 2019 Z	150,000,000	2019/01/17	2019/05/30	37.50
64723	HK Bank - ICBC R Bear CBBC Jul 2019 C	50,000,000	2019/01/17	2019/07/18	12.50
64654	HK Bank - Ping An R Bull CBBC Aug 2019 B	100,000,000	2019/01/17	2019/08/01	25.00

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64734	HK Bank - Sands China R Bull CBBC Oct 2019 A	80,000,000	2019/01/17	2019/10/08	20.00
64615	HK Bank - Tencent R Bull CBBC Jul 2019 P	60,000,000	2019/01/17	2019/07/18	15.00
64617	HK Bank - Tencent R Bull CBBC Jul 2019 Q	60,000,000	2019/01/17	2019/07/11	15.00
64618	HK Bank - Tencent R Bear CBBC Jul 2019 I	50,000,000	2019/01/17	2019/07/18	12.50
64627	HK Bank - Tencent R Bear CBBC Aug 2019 C	50,000,000	2019/01/17	2019/08/05	20.00
64634	HK Bank - Tencent R Bear CBBC Aug 2019 D	50,000,000	2019/01/17	2019/08/19	35.00
64800	Haitong Int'l Sec - HKEX R Bull CBBC Jun 2019 F	40,000,000	2019/01/17	2019/06/24	10.00
64971	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 N	120,000,000	2019/01/17	2020/10/29	30.00
64999	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 O	120,000,000	2019/01/17	2020/10/29	30.00
65013	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 M	120,000,000	2019/01/17	2019/04/29	30.00
65016	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 N	120,000,000	2019/01/17	2019/04/29	30.00
64802	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 F	120,000,000	2019/01/17	2019/07/10	30.00
64925	J P Morgan SP BV - China Mobile R Bull CBBC Jun 2019 A	100,000,000	2019/01/17	2019/06/14	25.00
64961	J P Morgan SP BV - China Mobile R Bull CBBC Jul 2019 A	100,000,000	2019/01/17	2019/07/12	25.00
64968	J P Morgan SP BV - HKEX R Bull CBBC Apr 2019 M	40,000,000	2019/01/17	2019/04/18	10.00
65066	J P Morgan SP BV - HSCEI R Bull CBBC Oct 2020 E	100,000,000	2019/01/17	2020/10/29	25.00
64806	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 G	200,000,000	2019/01/17	2020/09/29	50.00
64809	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 X	200,000,000	2019/01/17	2020/10/29	50.00
64811	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 C	200,000,000	2019/01/17	2020/12/30	50.00
64818	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 L	200,000,000	2019/01/17	2020/12/30	50.00
64859	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 P	200,000,000	2019/01/17	2020/12/30	50.00
65024	J P Morgan SP BV - HSI R Bear CBBC May 2019 D	200,000,000	2019/01/17	2019/05/30	50.00
64805	J P Morgan SP BV - HSI R Bear CBBC May 2019 J	200,000,000	2019/01/17	2019/05/30	50.00
65022	J P Morgan SP BV - HSI R Bear CBBC May 2019 K	200,000,000	2019/01/17	2019/05/30	50.00
64867	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 X	50,000,000	2019/01/17	2019/04/18	12.50
64869	J P Morgan SP BV - Tencent R Bull CBBC Apr 2019 Y	50,000,000	2019/01/17	2019/04/18	12.50
64891	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 Q	50,000,000	2019/01/17	2019/04/18	12.75
64851	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 T	50,000,000	2019/01/17	2019/04/18	12.50
64883	J P Morgan SP BV - Tencent R Bear CBBC Apr 2019 U	50,000,000	2019/01/17	2019/04/18	12.50
64892	J P Morgan SP BV - Tencent R Bear CBBC May 2019 L	50,000,000	2019/01/17	2019/05/10	21.25
64507	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 O	23,100,000	2019/01/17	2019/05/10	10.58
64508	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 G	23,900,000	2019/01/17	2019/05/03	10.47
65356	SG Issuer - AIA R Bull CBBC Oct 2019 A	60,000,000	2019/01/17	2019/10/16	15.00
65364	SG Issuer - AIA R Bull CBBC Oct 2019 B	60,000,000	2019/01/17	2019/10/30	15.00
65352	SG Issuer - AIA R Bear CBBC Sep 2019 A	60,000,000	2019/01/17	2019/09/27	15.00
65400	SG Issuer - AIA R Bear CBBC Oct 2019 A	60,000,000	2019/01/17	2019/10/30	15.00
65418	SG Issuer - China Mobile R Bull CBBC Nov 2019 A	100,000,000	2019/01/17	2019/11/28	25.00
65241	SG Issuer - CC Bank R Bull CBBC Sep 2019 B	100,000,000	2019/01/17	2019/09/25	25.00
65416	SG Issuer - China Life R Bull CBBC Jul 2019 B	40,000,000	2019/01/17	2019/07/30	10.00
65419	SG Issuer - CSPC Pharma R Bull CBBC Jul 2019 A	40,000,000	2019/01/17	2019/07/03	10.00
65424	SG Issuer - CSPC Pharma R Bull CBBC Jul 2019 B	40,000,000	2019/01/17	2019/07/16	11.00
65436	SG Issuer - CSPC Pharma R Bull CBBC Jul 2019 C	40,000,000	2019/01/17	2019/07/30	15.00
65489	SG Issuer - Galaxy Ent R Bull CBBC Dec 2019 A	100,000,000	2019/01/17	2019/12/30	25.00
65230	SG Issuer - HKEX R Bull CBBC Jul 2019 A	50,000,000	2019/01/17	2019/07/24	12.50
65243	SG Issuer - HSBC R Bull CBBC Sep 2019 J	100,000,000	2019/01/17	2019/09/19	25.00

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65307	SG Issuer - HSI R Bull CBBC Jul 2020 I	200,000,000	2019/01/17	2020/07/30	50.00
65329	SG Issuer - HSI R Bull CBBC Jul 2020 J	400,000,000	2019/01/17	2020/07/30	100.00
65332	SG Issuer - HSI R Bull CBBC Aug 2020 S	200,000,000	2019/01/17	2020/08/28	50.00
65335	SG Issuer - HSI R Bull CBBC Sep 2020 O	200,000,000	2019/01/17	2020/09/29	50.00
65267	SG Issuer - HSI R Bull CBBC Sep 2020 W	200,000,000	2019/01/17	2020/09/29	50.00
65347	SG Issuer - HSI R Bull CBBC Dec 2020 I	200,000,000	2019/01/17	2020/12/30	50.00
65224	SG Issuer - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/17	2019/04/29	50.00
65216	SG Issuer - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/01/17	2019/07/30	50.00
65291	SG Issuer - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/01/17	2019/07/30	50.00
65223	SG Issuer - HSI R Bear CBBC Sep 2019 C	400,000,000	2019/01/17	2019/09/27	100.00
65266	SG Issuer - HSI R Bear CBBC Oct 2019 A	400,000,000	2019/01/17	2019/10/30	100.00
65495	SG Issuer - ICBC R Bull CBBC Jul 2019 D	60,000,000	2019/01/17	2019/07/30	15.00
65247	SG Issuer - Ping An R Bull CBBC Aug 2019 O	100,000,000	2019/01/17	2019/08/06	25.00
65500	SG Issuer - Sands China R Bull CBBC Dec 2019 A	80,000,000	2019/01/17	2019/12/30	20.00
65508	SG Issuer - Tencent R Bull CBBC Apr 2019 O	80,000,000	2019/01/17	2019/04/29	20.00
65518	SG Issuer - Tencent R Bull CBBC May 2019 N	80,000,000	2019/01/17	2019/05/27	20.00
65520	SG Issuer - Tencent R Bull CBBC May 2019 O	80,000,000	2019/01/17	2019/05/28	20.00
65535	SG Issuer - Tencent R Bull CBBC May 2019 P	80,000,000	2019/01/17	2019/05/31	20.00
65501	SG Issuer - Tencent R Bear CBBC Apr 2019 X	80,000,000	2019/01/17	2019/04/18	20.00
65231	SG Issuer - Tencent R Bear CBBC Apr 2019 Z	80,000,000	2019/01/17	2019/04/25	20.00
65528	SG Issuer - Tencent R Bear CBBC May 2019 O	80,000,000	2019/01/17	2019/05/28	20.00
65538	SG Issuer - Tencent R Bear CBBC Jun 2019 C	80,000,000	2019/01/17	2019/06/26	20.00
65545	SG Issuer - Tencent R Bear CBBC Jul 2019 H	80,000,000	2019/01/17	2019/07/30	24.00
64459	UBS AG - HSI R Bull CBBC Nov 2020 A	200,000,000	2019/01/17	2020/11/27	50.00
64460	UBS AG - HSI R Bull CBBC Nov 2020 G	200,000,000	2019/01/17	2020/11/27	50.00
64477	UBS AG - HSI R Bull CBBC Nov 2020 H	200,000,000	2019/01/17	2020/11/27	50.00
64484	UBS AG - HSI R Bull CBBC Nov 2020 R	200,000,000	2019/01/17	2020/11/27	50.00
64386	UBS AG - HSI R Bull CBBC Nov 2020 V	200,000,000	2019/01/17	2020/11/27	50.00
64464	UBS AG - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/17	2019/04/29	50.00
64753	UBS AG - HSI R Bear CBBC Apr 2019 E	200,000,000	2019/01/17	2019/04/29	50.00
64757	UBS AG - HSI R Bear CBBC Apr 2019 F	200,000,000	2019/01/17	2019/04/29	50.00
64760	UBS AG - Tencent R Bull CBBC May 2019 E	40,000,000	2019/01/17	2019/05/02	10.00
64775	UBS AG - Tencent R Bear CBBC Jun 2019 D	40,000,000	2019/01/17	2019/06/05	10.40
64267	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2019 F	40,000,000	2019/01/17	2019/11/08	10.04
64266	Bank Vontobel - Geely Auto R Bull CBBC Aug 2019 F	40,000,000	2019/01/17	2019/08/16	10.04
64272	Bank Vontobel - HSI N Bull CBBC Jun 2019 V	80,000,000	2019/01/17	2019/06/27	20.08
64301	Bank Vontobel - HSI N Bull CBBC Jun 2019 W	80,000,000	2019/01/17	2019/06/27	20.08
64341	Bank Vontobel - HSI R Bull CBBC Jun 2019 X	80,000,000	2019/01/17	2019/06/27	20.08
64374	Bank Vontobel - HSI R Bear CBBC Apr 2019 E	80,000,000	2019/01/17	2019/04/29	20.08
64245	Bank Vontobel - Tencent R Bull CBBC Sep 2019 G	40,000,000	2019/01/17	2019/09/20	10.04
66112	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 C	250,000,000	2019/01/18	2020/10/29	62.50
66139	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 F	250,000,000	2019/01/18	2020/12/30	62.50
65696	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 D	250,000,000	2019/01/18	2019/05/30	62.50
66144	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 G	250,000,000	2019/01/18	2019/06/27	62.50
66151	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 C	50,000,000	2019/01/18	2019/06/27	12.50

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66487	Credit Suisse AG - AIA R Bull CBBC Nov 2019 D	70,000,000	2019/01/18	2019/11/22	17.50
66488	Credit Suisse AG - AIA R Bear CBBC Nov 2019 C	70,000,000	2019/01/18	2019/11/22	17.50
66361	Credit Suisse AG - Galaxy Ent R Bear CBBC Oct 2019 A	50,000,000	2019/01/18	2019/10/30	12.50
66511	Credit Suisse AG - HSI R Bull CBBC Sep 2020 Y	200,000,000	2019/01/18	2020/09/29	50.00
66574	Credit Suisse AG - HSI R Bull CBBC Oct 2020 N	200,000,000	2019/01/18	2020/10/29	50.00
66503	Credit Suisse AG - HSI R Bull CBBC Jan 2021 U	200,000,000	2019/01/18	2021/01/28	50.00
66516	Credit Suisse AG - HSI R Bull CBBC Jan 2021 V	200,000,000	2019/01/18	2021/01/28	50.00
66546	Credit Suisse AG - HSI R Bull CBBC Jan 2021 W	200,000,000	2019/01/18	2021/01/28	50.00
66590	Credit Suisse AG - HSI R Bull CBBC Feb 2021 J	300,000,000	2019/01/18	2021/02/25	75.00
66333	Credit Suisse AG - HSI R Bear CBBC Apr 2019 D	200,000,000	2019/01/18	2019/04/29	50.00
66355	Credit Suisse AG - HSI R Bear CBBC May 2019 Z	200,000,000	2019/01/18	2019/05/30	50.00
66359	Credit Suisse AG - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/01/18	2019/06/27	50.00
66456	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 D	50,000,000	2019/01/18	2019/12/05	12.50
66473	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 G	50,000,000	2019/01/18	2019/11/29	17.50
67291	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Sep 2019 I	100,000,000	2019/01/18	2019/09/26	25.00
67238	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 L	200,000,000	2019/01/18	2020/09/29	50.00
67270	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 M	200,000,000	2019/01/18	2020/09/29	50.00
67295	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 N	200,000,000	2019/01/18	2020/09/29	50.00
67301	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 O	200,000,000	2019/01/18	2020/09/29	50.00
65903	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/18	2019/04/29	50.00
67319	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 N	200,000,000	2019/01/18	2019/04/29	50.00
67288	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Dec 2019 D	80,000,000	2019/01/18	2019/12/31	20.00
67250	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 X	100,000,000	2019/01/18	2019/05/02	25.00
65906	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 J	80,000,000	2019/01/18	2019/05/02	20.00
67260	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 K	80,000,000	2019/01/18	2019/05/02	21.04
65898	HK Bank - AIA R Bull CBBC Jul 2019 B	80,000,000	2019/01/18	2019/07/08	20.00
65888	HK Bank - China Life R Bull CBBC Jul 2019 C	40,000,000	2019/01/18	2019/07/08	10.00
65820	HK Bank - HSCEI R Bull CBBC Mar 2020 C	60,000,000	2019/01/18	2020/03/30	15.00
65811	HK Bank - HSI R Bull CBBC Mar 2020 R	150,000,000	2019/01/18	2020/03/30	37.50
65814	HK Bank - HSI R Bull CBBC Mar 2020 S	150,000,000	2019/01/18	2020/03/30	37.50
65703	HK Bank - HSI R Bear CBBC May 2019 P	150,000,000	2019/01/18	2019/05/30	37.50
65819	HK Bank - HSI R Bear CBBC Jul 2019 D	150,000,000	2019/01/18	2019/07/30	37.50
65875	HK Bank - Ping An R Bull CBBC Aug 2019 C	100,000,000	2019/01/18	2019/08/01	25.00
65892	HK Bank - Sino Biopharm R Bull CBBC Jul 2019 D	40,000,000	2019/01/18	2019/07/08	10.00
65891	HK Bank - Sunny Optical R Bull CBBC Jul 2019 C	40,000,000	2019/01/18	2019/07/02	10.00
65802	HK Bank - Tencent R Bull CBBC Jun 2019 R	60,000,000	2019/01/18	2019/06/06	15.00
65783	HK Bank - Tencent R Bull CBBC Jul 2019 R	60,000,000	2019/01/18	2019/07/25	15.00
65717	HK Bank - Tencent R Bear CBBC Jun 2019 P	50,000,000	2019/01/18	2019/06/17	12.50
65753	HK Bank - Tencent R Bear CBBC Jul 2019 J	50,000,000	2019/01/18	2019/07/25	24.00
65866	HK Bank - Tencent R Bear CBBC Aug 2019 E	50,000,000	2019/01/18	2019/08/30	36.50
66811	Haitong Int'l Sec - Bank of China R Bull CBBC Oct 2019 A	240,000,000	2019/01/18	2019/10/30	60.00
66797	Haitong Int'l Sec - CM Bank R Bull CBBC Dec 2019 A	140,000,000	2019/01/18	2019/12/30	35.00
66715	Haitong Int'l Sec - Sinopec Corp R Bull CBBC Jul 2019 A	140,000,000	2019/01/18	2019/07/10	35.00
66814	Haitong Int'l Sec - Evergrande R Bear CBBC May 2019 A	40,000,000	2019/01/18	2019/05/16	13.00
66672	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 P	120,000,000	2019/01/18	2020/10/29	30.00

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66664	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 E	120,000,000	2019/01/18	2020/11/27	30.00
66688	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 T	120,000,000	2019/01/18	2019/04/29	30.00
66706	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 Y	120,000,000	2019/01/18	2019/04/29	30.00
66718	Haitong Int'l Sec - Sands China R Bull CBBC Nov 2019 A	120,000,000	2019/01/18	2019/11/15	30.00
66727	Haitong Int'l Sec - SUNAC R Bull CBBC Jul 2019 A	40,000,000	2019/01/18	2019/07/16	10.00
66813	Haitong Int'l Sec - SUNAC R Bear CBBC Apr 2019 B	40,000,000	2019/01/18	2019/04/25	11.76
66726	Haitong Int'l Sec - Sunny Optical R Bull CBBC Jul 2019 B	120,000,000	2019/01/18	2019/07/12	30.00
66238	J P Morgan SP BV - China Mobile R Bear CBBC May 2019 B	100,000,000	2019/01/18	2019/05/17	25.00
66239	J P Morgan SP BV - China Mobile R Bear CBBC Jun 2019 A	100,000,000	2019/01/18	2019/06/14	25.00
66325	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 I	200,000,000	2019/01/18	2020/09/29	50.00
66257	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 L	200,000,000	2019/01/18	2020/09/29	50.00
66272	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 Y	200,000,000	2019/01/18	2020/09/29	50.00
66261	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 T	200,000,000	2019/01/18	2020/12/30	50.00
66287	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 W	200,000,000	2019/01/18	2020/12/30	50.00
66157	J P Morgan SP BV - HSI R Bear CBBC May 2019 I	200,000,000	2019/01/18	2019/05/30	50.00
66250	J P Morgan SP BV - HSI R Bear CBBC May 2019 L	200,000,000	2019/01/18	2019/05/30	50.00
66168	J P Morgan SP BV - Tencent R Bull CBBC May 2019 J	50,000,000	2019/01/18	2019/05/10	12.50
66155	J P Morgan SP BV - Tencent R Bear CBBC May 2019 M	50,000,000	2019/01/18	2019/05/10	12.50
66226	J P Morgan SP BV - Tencent R Bear CBBC May 2019 N	50,000,000	2019/01/18	2019/05/10	12.50
66236	J P Morgan SP BV - Tencent R Bear CBBC May 2019 O	50,000,000	2019/01/18	2019/05/17	12.50
65937	Macquarie Bank Ltd. - CPIC R Bull CBBC Sep 2019 A	13,700,000	2019/01/18	2019/09/16	10.45
65929	Macquarie Bank Ltd. - Galaxy Ent R Bull CBBC Sep 2019 A	7,500,000	2019/01/18	2019/09/30	10.05
65930	Macquarie Bank Ltd. - Ping An R Bull CBBC Oct 2019 A	40,000,000	2019/01/18	2019/10/31	10.72
65938	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 P	20,000,000	2019/01/18	2019/05/28	10.48
65939	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2019 P	25,700,000	2019/01/18	2019/04/25	10.49
66816	SG Issuer - AIA R Bear CBBC Jul 2019 D	60,000,000	2019/01/18	2019/07/26	15.00
67199	SG Issuer - Bank of China R Bull CBBC Dec 2019 A	100,000,000	2019/01/18	2019/12/31	25.00
67202	SG Issuer - CC Bank R Bull CBBC Aug 2019 W	100,000,000	2019/01/18	2019/08/09	25.00
66983	SG Issuer - CM Bank R Bull CBBC Jan 2020 A	60,000,000	2019/01/18	2020/01/30	15.00
67211	SG Issuer - CNOOC R Bull CBBC Aug 2019 A	40,000,000	2019/01/18	2019/08/02	10.00
67210	SG Issuer - Sinopec Corp R Bull CBBC Jul 2019 I	40,000,000	2019/01/18	2019/07/25	10.00
67203	SG Issuer - Sinopec Corp R Bear CBBC Jun 2019 B	40,000,000	2019/01/18	2019/06/27	10.00
66986	SG Issuer - HSBC R Bear CBBC May 2019 C	100,000,000	2019/01/18	2019/05/03	25.00
67095	SG Issuer - HSI R Bull CBBC May 2020 I	200,000,000	2019/01/18	2020/05/28	50.00
67120	SG Issuer - HSI R Bull CBBC Jul 2020 K	200,000,000	2019/01/18	2020/07/30	50.00
67151	SG Issuer - HSI R Bull CBBC Jul 2020 L	200,000,000	2019/01/18	2020/07/30	50.00
67181	SG Issuer - HSI R Bull CBBC Aug 2020 T	200,000,000	2019/01/18	2020/08/28	50.00
67187	SG Issuer - HSI R Bull CBBC Aug 2020 U	200,000,000	2019/01/18	2020/08/28	50.00
67191	SG Issuer - HSI R Bull CBBC Aug 2020 V	200,000,000	2019/01/18	2020/08/28	50.00
67177	SG Issuer - HSI R Bull CBBC Aug 2020 W	200,000,000	2019/01/18	2020/08/28	50.00
66949	SG Issuer - HSI R Bull CBBC Sep 2020 J	400,000,000	2019/01/18	2020/09/29	100.00
66945	SG Issuer - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/18	2019/04/29	50.00
67001	SG Issuer - HSI R Bear CBBC Apr 2019 N	200,000,000	2019/01/18	2019/04/29	50.00
67002	SG Issuer - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/18	2019/04/29	50.00
67006	SG Issuer - HSI R Bear CBBC May 2019 V	200,000,000	2019/01/18	2019/05/30	50.00

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67007	SG Issuer - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/01/18	2019/07/30	50.00
67056	SG Issuer - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/01/18	2019/07/30	50.00
67068	SG Issuer - HSI R Bear CBBC Sep 2019 D	400,000,000	2019/01/18	2019/09/27	100.00
66996	SG Issuer - Ping An R Bull CBBC Aug 2019 P	100,000,000	2019/01/18	2019/08/12	25.00
66831	SG Issuer - Ping An R Bear CBBC Jul 2019 B	100,000,000	2019/01/18	2019/07/19	25.00
66865	SG Issuer - Sunny Optical R Bear CBBC Jul 2019 B	40,000,000	2019/01/18	2019/07/08	10.00
67213	SG Issuer - Tencent R Bull CBBC May 2019 Q	80,000,000	2019/01/18	2019/05/28	20.00
66997	SG Issuer - Tencent R Bull CBBC Jun 2019 K	80,000,000	2019/01/18	2019/06/05	20.00
67000	SG Issuer - Tencent R Bull CBBC Jun 2019 L	80,000,000	2019/01/18	2019/06/10	20.00
66866	SG Issuer - Tencent R Bear CBBC Apr 2019 B	80,000,000	2019/01/18	2019/04/24	20.00
66921	SG Issuer - Tencent R Bear CBBC May 2019 P	80,000,000	2019/01/18	2019/05/02	20.00
67224	SG Issuer - Tencent R Bear CBBC May 2019 Q	80,000,000	2019/01/18	2019/05/29	20.00
65958	UBS AG - HSI R Bull CBBC Dec 2020 T	200,000,000	2019/01/18	2020/12/30	50.00
66108	UBS AG - HSI R Bull CBBC Jan 2021 N	200,000,000	2019/01/18	2021/01/28	50.00
66110	UBS AG - HSI R Bull CBBC Jan 2021 O	200,000,000	2019/01/18	2021/01/28	50.00
65940	UBS AG - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/18	2019/04/29	50.00
65957	UBS AG - HSI R Bear CBBC Apr 2019 M	200,000,000	2019/01/18	2019/04/29	50.00
65965	UBS AG - HSI R Bear CBBC Apr 2019 O	200,000,000	2019/01/18	2019/04/29	50.00
65997	UBS AG - HSI R Bear CBBC Apr 2019 Q	200,000,000	2019/01/18	2019/04/29	50.00
66087	UBS AG - Ping An R Bull CBBC Jul 2019 D	100,000,000	2019/01/18	2019/07/29	25.00
66088	UBS AG - Ping An R Bull CBBC Aug 2019 A	100,000,000	2019/01/18	2019/08/12	25.00
66098	UBS AG - Ping An R Bear CBBC May 2019 B	100,000,000	2019/01/18	2019/05/20	25.00
66104	UBS AG - Ping An R Bear CBBC May 2019 C	100,000,000	2019/01/18	2019/05/08	25.00
66066	UBS AG - Tencent R Bull CBBC Jun 2019 H	40,000,000	2019/01/18	2019/06/24	10.00
66069	UBS AG - Tencent R Bull CBBC Jun 2019 I	40,000,000	2019/01/18	2019/06/17	10.00
66010	UBS AG - Tencent R Bear CBBC Apr 2019 G	40,000,000	2019/01/18	2019/04/24	12.40
66015	UBS AG - Tencent R Bear CBBC May 2019 H	40,000,000	2019/01/18	2019/05/27	10.00
65915	Bank Vontobel - Ping An R Bull CBBC Aug 2019 G	40,000,000	2019/01/18	2019/08/02	10.04
65918	Bank Vontobel - Sunny Optical R Bull CBBC Sep 2019 H	40,000,000	2019/01/18	2019/09/13	10.04
65917	Bank Vontobel - Tencent R Bear CBBC Jul 2019 F	40,000,000	2019/01/18	2019/07/19	10.04
68464	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 D	250,000,000	2019/01/21	2020/10/29	62.50
68504	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 E	250,000,000	2019/01/21	2020/10/29	62.50
68510	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 F	250,000,000	2019/01/21	2020/10/29	62.50
68719	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 E	250,000,000	2019/01/21	2019/05/30	62.50
68746	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 F	250,000,000	2019/01/21	2019/05/30	62.50
68756	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 H	250,000,000	2019/01/21	2019/06/27	62.50
60039	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 H	50,000,000	2019/01/21	2019/10/30	12.50
60235	Credit Suisse AG - AAC Tech R Bull CBBC Dec 2019 B	40,000,000	2019/01/21	2019/12/13	10.00
60243	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 B	70,000,000	2019/01/21	2019/12/30	17.50
60264	Credit Suisse AG - HSI R Bull CBBC Oct 2020 B	200,000,000	2019/01/21	2020/10/29	50.00
60213	Credit Suisse AG - HSI R Bull CBBC Oct 2020 Y	200,000,000	2019/01/21	2020/10/29	50.00
60216	Credit Suisse AG - HSI R Bull CBBC Jan 2021 X	200,000,000	2019/01/21	2021/01/28	50.00
60223	Credit Suisse AG - HSI R Bull CBBC Jan 2021 Y	200,000,000	2019/01/21	2021/01/28	50.00
60224	Credit Suisse AG - HSI R Bull CBBC Feb 2021 K	300,000,000	2019/01/21	2021/02/25	75.00
60251	Credit Suisse AG - HSI R Bear CBBC Apr 2019 E	200,000,000	2019/01/21	2019/04/29	50.00

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60256	Credit Suisse AG - HSI R Bear CBBC Apr 2019 F	200,000,000	2019/01/21	2019/04/29	50.00
60259	Credit Suisse AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/01/21	2019/05/30	50.00
60227	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 E	50,000,000	2019/01/21	2019/12/05	12.50
60232	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 H	50,000,000	2019/01/21	2019/11/22	12.50
67337	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 P	200,000,000	2019/01/21	2020/09/29	50.00
60283	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 O	200,000,000	2019/01/21	2019/04/29	50.00
60288	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/21	2019/04/29	50.00
60291	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 Q	200,000,000	2019/01/21	2019/04/29	50.00
67338	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 Y	100,000,000	2019/01/21	2019/05/03	25.00
60286	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 L	80,000,000	2019/01/21	2019/05/02	20.00
60289	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 M	80,000,000	2019/01/21	2019/05/02	32.96
67496	HK Bank - AIA R Bear CBBC Oct 2019 A	40,000,000	2019/01/21	2019/10/31	10.00
67488	HK Bank - China Mobile R Bull CBBC Sep 2019 A	50,000,000	2019/01/21	2019/09/30	12.50
67373	HK Bank - HSI R Bull CBBC Nov 2019 A	150,000,000	2019/01/21	2019/11/28	37.50
67376	HK Bank - HSI R Bull CBBC Nov 2019 B	150,000,000	2019/01/21	2019/11/28	37.50
67385	HK Bank - HSI R Bear CBBC Sep 2019 C	150,000,000	2019/01/21	2019/09/27	37.50
67499	HK Bank - Ping An R Bear CBBC Jul 2019 B	80,000,000	2019/01/21	2019/07/31	20.00
67479	HK Bank - Tencent R Bear CBBC Aug 2019 F	50,000,000	2019/01/21	2019/08/30	12.50
67483	HK Bank - Tencent R Bear CBBC Sep 2019 B	50,000,000	2019/01/21	2019/09/30	12.50
67339	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 Q	120,000,000	2019/01/21	2020/10/29	30.00
67342	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 R	120,000,000	2019/01/21	2020/10/29	30.00
69769	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 F	120,000,000	2019/01/21	2020/11/27	30.00
69995	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 O	120,000,000	2019/01/21	2019/04/29	30.00
60003	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 P	120,000,000	2019/01/21	2019/04/29	30.00
69473	J P Morgan SP BV - HKEX R Bear CBBC May 2019 D	40,000,000	2019/01/21	2019/05/10	10.00
69009	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 S	200,000,000	2019/01/21	2020/09/29	50.00
69019	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 T	200,000,000	2019/01/21	2020/09/29	50.00
69474	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 H	200,000,000	2019/01/21	2021/01/28	50.00
69744	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 I	200,000,000	2019/01/21	2021/01/28	50.00
69752	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 J	200,000,000	2019/01/21	2021/01/28	50.00
69096	J P Morgan SP BV - HSI R Bear CBBC May 2019 M	200,000,000	2019/01/21	2019/05/30	50.00
69229	J P Morgan SP BV - HSI R Bear CBBC May 2019 N	200,000,000	2019/01/21	2019/05/30	50.00
69340	J P Morgan SP BV - HSI R Bear CBBC May 2019 O	200,000,000	2019/01/21	2019/05/30	50.00
68800	J P Morgan SP BV - Tencent R Bull CBBC May 2019 K	50,000,000	2019/01/21	2019/05/10	12.50
69415	J P Morgan SP BV - Tencent R Bear CBBC May 2019 P	50,000,000	2019/01/21	2019/05/10	12.50
67501	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 D	19,900,000	2019/01/21	2019/06/05	10.01
67504	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2019 B	19,600,000	2019/01/21	2019/06/05	10.04
60181	SG Issuer - Country Garden R Bull CBBC Oct 2019 A	40,000,000	2019/01/21	2019/10/25	10.00
60176	SG Issuer - China Mobile R Bear CBBC Jun 2019 B	100,000,000	2019/01/21	2019/06/17	25.00
60049	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 C	100,000,000	2019/01/21	2019/10/30	25.00
60187	SG Issuer - HSBC R Bull CBBC Nov 2019 B	100,000,000	2019/01/21	2019/11/06	25.00
60173	SG Issuer - HSI R Bull CBBC Aug 2020 X	200,000,000	2019/01/21	2020/08/28	50.00
60105	SG Issuer - HSI R Bull CBBC Sep 2020 X	200,000,000	2019/01/21	2020/09/29	50.00
60042	SG Issuer - HSI R Bull CBBC Nov 2020 V	200,000,000	2019/01/21	2020/11/27	50.00
60048	SG Issuer - HSI R Bull CBBC Dec 2020 B	200,000,000	2019/01/21	2020/12/30	50.00

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60044	SG Issuer - HSI R Bull CBBC Dec 2020 K	200,000,000	2019/01/21	2020/12/30	50.00
60140	SG Issuer - HSI R Bear CBBC May 2019 F	200,000,000	2019/01/21	2019/05/30	50.00
60121	SG Issuer - HSI R Bear CBBC May 2019 W	200,000,000	2019/01/21	2019/05/30	50.00
60087	SG Issuer - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/21	2019/05/30	50.00
60190	SG Issuer - ICBC R Bull CBBC Oct 2019 A	60,000,000	2019/01/21	2019/10/28	15.00
60192	SG Issuer - Ping An R Bull CBBC Oct 2019 D	100,000,000	2019/01/21	2019/10/18	25.00
60195	SG Issuer - SUNAC R Bear CBBC Dec 2019 A	80,000,000	2019/01/21	2019/12/30	20.00
60201	SG Issuer - Tencent R Bull CBBC May 2019 K	80,000,000	2019/01/21	2019/05/29	20.00
60054	SG Issuer - Tencent R Bull CBBC Jun 2019 M	80,000,000	2019/01/21	2019/06/05	20.00
60062	SG Issuer - Tencent R Bull CBBC Jun 2019 N	80,000,000	2019/01/21	2019/06/12	20.00
60082	SG Issuer - Tencent R Bull CBBC Jun 2019 O	80,000,000	2019/01/21	2019/06/17	20.00
60208	SG Issuer - Tencent R Bull CBBC Jun 2019 P	80,000,000	2019/01/21	2019/06/06	20.00
60197	SG Issuer - Tencent R Bear CBBC May 2019 R	80,000,000	2019/01/21	2019/05/27	20.00
67726	UBS AG - HSI R Bull CBBC Jan 2021 A	200,000,000	2019/01/21	2021/01/28	50.00
68114	UBS AG - HSI R Bull CBBC Jan 2021 C	200,000,000	2019/01/21	2021/01/28	50.00
67513	UBS AG - HSI R Bull CBBC Jan 2021 Q	200,000,000	2019/01/21	2021/01/28	50.00
67718	UBS AG - HSI R Bull CBBC Jan 2021 R	200,000,000	2019/01/21	2021/01/28	50.00
68129	UBS AG - HSI R Bear CBBC Apr 2019 T	200,000,000	2019/01/21	2019/04/29	50.00
68412	UBS AG - HSI R Bear CBBC Apr 2019 U	200,000,000	2019/01/21	2019/04/29	50.00
68287	UBS AG - HSI R Bear CBBC May 2019 D	200,000,000	2019/01/21	2019/05/30	50.00
68115	UBS AG - Tencent R Bull CBBC Sep 2019 A	40,000,000	2019/01/21	2019/09/10	10.00
68460	UBS AG - Tencent R Bear CBBC May 2019 I	40,000,000	2019/01/21	2019/05/28	10.00
60034	Bank Vontobel - AAC Tech R Bull CBBC Oct 2019 E	40,000,000	2019/01/21	2019/10/18	10.04
60012	Bank Vontobel - AIA R Bull CBBC Oct 2019 E	40,000,000	2019/01/21	2019/10/11	10.04
60025	Bank Vontobel - Geely Auto R Bear CBBC Aug 2019 A	40,000,000	2019/01/21	2019/08/09	16.04
60037	Bank Vontobel - Geely Auto R Bear CBBC Aug 2019 B	40,000,000	2019/01/21	2019/08/09	10.04
60363	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 G	250,000,000	2019/01/22	2020/10/29	62.50
60380	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 H	250,000,000	2019/01/22	2020/10/29	62.50
60541	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 I	250,000,000	2019/01/22	2020/10/29	62.50
60568	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 J	250,000,000	2019/01/22	2020/10/29	62.50
60309	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 I	250,000,000	2019/01/22	2019/05/30	62.50
60312	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 J	250,000,000	2019/01/22	2019/05/30	72.50
60325	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 K	250,000,000	2019/01/22	2019/05/30	85.00
60331	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 L	250,000,000	2019/01/22	2019/05/30	62.50
60332	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 M	250,000,000	2019/01/22	2019/05/30	62.50
60562	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 I	250,000,000	2019/01/22	2019/06/27	62.50
60796	Credit Suisse AG - AIA R Bull CBBC Dec 2019 B	70,000,000	2019/01/22	2019/12/06	17.50
60817	Credit Suisse AG - AIA R Bear CBBC Dec 2019 B	70,000,000	2019/01/22	2019/12/06	17.50
60732	Credit Suisse AG - China Mobile R Bull CBBC Nov 2019 B	70,000,000	2019/01/22	2019/11/28	17.50
60774	Credit Suisse AG - HSI R Bull CBBC Oct 2019 A	200,000,000	2019/01/22	2019/10/30	50.00
60786	Credit Suisse AG - HSI R Bull CBBC Sep 2020 U	200,000,000	2019/01/22	2020/09/29	50.00
60784	Credit Suisse AG - HSI R Bull CBBC Sep 2020 Z	200,000,000	2019/01/22	2020/09/29	50.00
60790	Credit Suisse AG - HSI R Bull CBBC Feb 2021 L	300,000,000	2019/01/22	2021/02/25	75.00
60656	Credit Suisse AG - HSI R Bear CBBC Apr 2019 G	200,000,000	2019/01/22	2019/04/29	50.00
60671	Credit Suisse AG - HSI R Bear CBBC Apr 2019 J	200,000,000	2019/01/22	2019/04/29	50.00

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60672	Credit Suisse AG - HSI R Bear CBBC Apr 2019 L	200,000,000	2019/01/22	2019/04/29	50.00
60726	Credit Suisse AG - HSI R Bear CBBC May 2019 B	200,000,000	2019/01/22	2019/05/30	50.00
60664	Credit Suisse AG - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/22	2019/05/30	50.00
60747	Credit Suisse AG - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/01/22	2019/06/27	50.00
60748	Credit Suisse AG - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/01/22	2019/06/27	50.00
60772	Credit Suisse AG - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/01/22	2019/06/27	50.00
60828	Credit Suisse AG - ICBC R Bull CBBC Nov 2019 A	70,000,000	2019/01/22	2019/11/28	17.50
60745	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 F	80,000,000	2019/01/22	2019/12/13	20.00
60735	Credit Suisse AG - Sands China R Bull CBBC Nov 2019 C	50,000,000	2019/01/22	2019/11/28	12.50
60729	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 J	50,000,000	2019/01/22	2019/11/28	12.50
61242	Goldman Sachs SP (Asia) - China Mobile R Bear CBBC Dec 2019B	80,000,000	2019/01/22	2019/12/30	20.00
61240	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 C	100,000,000	2019/01/22	2019/12/30	25.00
61238	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 P	100,000,000	2019/01/22	2019/12/30	25.00
61161	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 Q	200,000,000	2019/01/22	2020/09/29	50.00
61166	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 R	200,000,000	2019/01/22	2020/09/29	50.00
61168	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 R	200,000,000	2019/01/22	2019/04/29	50.00
61173	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 S	200,000,000	2019/01/22	2019/04/29	50.00
61177	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 V	200,000,000	2019/01/22	2019/04/29	50.00
61205	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 Y	200,000,000	2019/01/22	2019/04/29	50.00
61234	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 R	100,000,000	2019/01/22	2019/07/25	25.00
61232	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 Z	100,000,000	2019/01/22	2019/05/03	25.00
60396	HK Bank - AAC Tech R Bull CBBC Aug 2019 B	40,000,000	2019/01/22	2019/08/05	10.00
60399	HK Bank - AIA R Bull CBBC Jul 2019 C	80,000,000	2019/01/22	2019/07/29	20.00
60403	HK Bank - China Mobile R Bull CBBC Sep 2019 B	50,000,000	2019/01/22	2019/09/23	12.50
60401	HK Bank - China Mobile R Bear CBBC Jul 2019 C	40,000,000	2019/01/22	2019/07/15	10.00
60428	HK Bank - HSI R Bull CBBC Nov 2019 C	150,000,000	2019/01/22	2019/11/28	37.50
60422	HK Bank - HSI R Bear CBBC Nov 2019 B	150,000,000	2019/01/22	2019/11/28	37.50
60426	HK Bank - HSI R Bear CBBC Nov 2019 C	150,000,000	2019/01/22	2019/11/28	37.50
60432	HK Bank - Tencent R Bull CBBC Aug 2019 C	60,000,000	2019/01/22	2019/08/12	15.00
60435	HK Bank - Tencent R Bear CBBC Aug 2019 G	50,000,000	2019/01/22	2019/08/12	12.50
60335	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 F	120,000,000	2019/01/22	2019/04/29	36.00
60337	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 S	120,000,000	2019/01/22	2019/04/29	36.00
60611	J P Morgan SP BV - HKEX R Bull CBBC May 2019 K	40,000,000	2019/01/22	2019/05/17	10.00
60619	J P Morgan SP BV - HKEX R Bull CBBC May 2019 L	40,000,000	2019/01/22	2019/05/10	10.00
60620	J P Morgan SP BV - HKEX R Bear CBBC May 2019 E	40,000,000	2019/01/22	2019/05/10	18.20
60624	J P Morgan SP BV - HKEX R Bear CBBC May 2019 F	40,000,000	2019/01/22	2019/05/17	12.20
60602	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 K	200,000,000	2019/01/22	2021/01/28	50.00
60627	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 L	200,000,000	2019/01/22	2021/01/28	50.00
60637	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 M	200,000,000	2019/01/22	2021/01/28	50.00
60643	J P Morgan SP BV - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/22	2019/05/30	50.00
60592	J P Morgan SP BV - HSI R Bear CBBC May 2019 V	200,000,000	2019/01/22	2019/05/30	50.00
60594	J P Morgan SP BV - HSI R Bear CBBC May 2019 Y	200,000,000	2019/01/22	2019/05/30	50.00
60600	J P Morgan SP BV - HSI R Bear CBBC May 2019 Z	200,000,000	2019/01/22	2019/05/30	50.00
60626	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 A	50,000,000	2019/01/22	2019/06/14	26.75
60350	Macquarie Bank Ltd. - Ping An R Bull CBBC Jul 2019 B	40,000,000	2019/01/22	2019/07/31	10.08

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60349	Macquarie Bank Ltd. - Ping An R Bear CBBC Aug 2019 A	38,000,000	2019/01/22	2019/08/30	10.03
60338	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 E	19,000,000	2019/01/22	2019/06/10	10.05
60339	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 H	25,300,000	2019/01/22	2019/05/02	10.02
61023	SG Issuer - AIA R Bull CBBC Oct 2019 C	60,000,000	2019/01/22	2019/10/31	15.00
60836	SG Issuer - AIA R Bear CBBC Jul 2019 E	60,000,000	2019/01/22	2019/07/22	15.00
61027	SG Issuer - China Mobile R Bull CBBC Jun 2019 A	100,000,000	2019/01/22	2019/06/26	25.00
60970	SG Issuer - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/01/22	2021/02/25	50.00
60983	SG Issuer - HSI R Bull CBBC Feb 2021 B	200,000,000	2019/01/22	2021/02/25	50.00
60999	SG Issuer - HSI R Bull CBBC Feb 2021 C	200,000,000	2019/01/22	2021/02/25	50.00
61007	SG Issuer - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/01/22	2021/02/25	50.00
61018	SG Issuer - HSI R Bull CBBC Mar 2021 A	200,000,000	2019/01/22	2021/03/30	50.00
60959	SG Issuer - HSI R Bear CBBC Apr 2019 R	200,000,000	2019/01/22	2019/04/29	50.00
60896	SG Issuer - HSI R Bear CBBC May 2019 G	200,000,000	2019/01/22	2019/05/30	50.00
60915	SG Issuer - HSI R Bear CBBC May 2019 T	200,000,000	2019/01/22	2019/05/30	50.00
60897	SG Issuer - HSI R Bear CBBC Jul 2019 O	200,000,000	2019/01/22	2019/07/30	50.00
60902	SG Issuer - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/01/22	2019/07/30	50.00
60903	SG Issuer - HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/01/22	2019/07/30	50.00
60909	SG Issuer - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/01/22	2019/07/30	50.00
60962	SG Issuer - HSI R Bear CBBC Jul 2019 T	200,000,000	2019/01/22	2019/07/30	50.00
60965	SG Issuer - HSI R Bear CBBC Jul 2019 V	200,000,000	2019/01/22	2019/07/30	50.00
60923	SG Issuer - HSI R Bear CBBC Aug 2019 H	200,000,000	2019/01/22	2019/08/29	50.00
60939	SG Issuer - Ping An R Bull CBBC Oct 2019 E	100,000,000	2019/01/22	2019/10/28	25.00
60842	SG Issuer - Ping An R Bear CBBC Jul 2019 C	100,000,000	2019/01/22	2019/07/25	25.00
61037	SG Issuer - Sunny Optical R Bull CBBC Jun 2019 B	40,000,000	2019/01/22	2019/06/28	10.00
61051	SG Issuer - Tencent R Bull CBBC Apr 2019 P	80,000,000	2019/01/22	2019/04/30	20.00
61050	SG Issuer - Tencent R Bull CBBC Apr 2019 Z	80,000,000	2019/01/22	2019/04/29	20.00
61072	SG Issuer - Tencent R Bull CBBC May 2019 R	80,000,000	2019/01/22	2019/05/06	20.00
60843	SG Issuer - Tencent R Bear CBBC May 2019 S	80,000,000	2019/01/22	2019/05/03	20.00
60866	SG Issuer - Tencent R Bear CBBC May 2019 T	80,000,000	2019/01/22	2019/05/08	20.00
60945	SG Issuer - Tencent R Bear CBBC Jun 2019 D	80,000,000	2019/01/22	2019/06/17	20.00
60517	UBS AG - AAC Tech R Bull CBBC Oct 2019 C	40,000,000	2019/01/22	2019/10/28	10.00
60524	UBS AG - AAC Tech R Bear CBBC May 2019 A	40,000,000	2019/01/22	2019/05/02	10.00
60516	UBS AG - China Mobile R Bull CBBC Nov 2019 A	80,000,000	2019/01/22	2019/11/18	20.00
60480	UBS AG - China Mobile R Bear CBBC Apr 2019 B	80,000,000	2019/01/22	2019/04/29	20.00
60499	UBS AG - China Mobile R Bear CBBC May 2019 B	80,000,000	2019/01/22	2019/05/06	20.00
60501	UBS AG - China Mobile R Bear CBBC Nov 2019 A	80,000,000	2019/01/22	2019/11/25	20.00
60476	UBS AG - HSI R Bull CBBC Jan 2021 P	200,000,000	2019/01/22	2021/01/28	50.00
60479	UBS AG - HSI R Bull CBBC Jan 2021 S	200,000,000	2019/01/22	2021/01/28	50.00
60530	UBS AG - HSI R Bull CBBC Jan 2021 T	200,000,000	2019/01/22	2021/01/28	50.00
60534	UBS AG - HSI R Bull CBBC Jan 2021 U	200,000,000	2019/01/22	2021/01/28	50.00
60439	UBS AG - HSI R Bear CBBC Apr 2019 W	200,000,000	2019/01/22	2019/04/29	50.00
60441	UBS AG - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/22	2019/04/29	50.00
60462	UBS AG - HSI R Bear CBBC Apr 2019 Y	200,000,000	2019/01/22	2019/04/29	50.00
60463	UBS AG - HSI R Bear CBBC Apr 2019 Z	200,000,000	2019/01/22	2019/04/29	50.00
61131	Bank Vontobel - AIA R Bear CBBC Oct 2019 A	40,000,000	2019/01/22	2019/10/25	10.04

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61129	Bank Vontobel - China Mobile R Bull CBBC Aug 2019 E	40,000,000	2019/01/22	2019/08/09	10.04
61137	Bank Vontobel - China Mobile R Bear CBBC Oct 2019 D	40,000,000	2019/01/22	2019/10/18	10.04
61079	Bank Vontobel - HSI N Bull CBBC Jun 2019 Y	80,000,000	2019/01/22	2019/06/27	20.08
61099	Bank Vontobel - HSI N Bear CBBC May 2019 B	80,000,000	2019/01/22	2019/05/30	20.08
61082	Bank Vontobel - HSI R Bear CBBC Apr 2019 F	80,000,000	2019/01/22	2019/04/29	20.08
61094	Bank Vontobel - HSI R Bear CBBC May 2019 A	80,000,000	2019/01/22	2019/05/30	20.08
61109	Bank Vontobel - ICBC R Bull CBBC Aug 2019 C	40,000,000	2019/01/22	2019/08/23	10.04
61283	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 C	150,000,000	2019/01/23	2019/12/30	38.70
61306	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 C	150,000,000	2019/01/23	2019/05/30	38.25
61279	BOCI Asia Ltd. - Tencent R Bull CBBC Jun 2019 C	40,000,000	2019/01/23	2019/06/25	10.28
61666	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 K	250,000,000	2019/01/23	2020/10/29	62.50
61655	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 N	250,000,000	2019/01/23	2019/05/30	62.50
61673	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 J	250,000,000	2019/01/23	2019/06/27	62.50
61902	Credit Suisse AG - HSI R Bull CBBC Sep 2020 B	200,000,000	2019/01/23	2020/09/29	50.00
61920	Credit Suisse AG - HSI R Bull CBBC Sep 2020 C	200,000,000	2019/01/23	2020/09/29	50.00
61895	Credit Suisse AG - HSI R Bull CBBC Jan 2021 Z	200,000,000	2019/01/23	2021/01/28	50.00
61922	Credit Suisse AG - HSI R Bull CBBC Feb 2021 G	300,000,000	2019/01/23	2021/02/25	75.00
61868	Credit Suisse AG - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/23	2019/04/29	50.00
61871	Credit Suisse AG - HSI R Bear CBBC May 2019 F	200,000,000	2019/01/23	2019/05/30	50.00
61890	Credit Suisse AG - HSI R Bear CBBC May 2019 P	200,000,000	2019/01/23	2019/05/30	50.00
61874	Credit Suisse AG - HSI R Bear CBBC Jun 2019 X	300,000,000	2019/01/23	2019/06/27	75.00
61880	Credit Suisse AG - HSI R Bear CBBC Jun 2019 Y	200,000,000	2019/01/23	2019/06/27	50.00
61937	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 O	80,000,000	2019/01/23	2019/11/21	20.00
61936	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 K	50,000,000	2019/01/23	2019/11/21	12.50
62256	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 L	100,000,000	2019/01/23	2019/11/15	25.00
62260	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC May 2019F	100,000,000	2019/01/23	2019/05/10	25.00
62235	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 S	200,000,000	2019/01/23	2020/09/29	50.00
62236	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 T	200,000,000	2019/01/23	2020/09/29	50.00
61400	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 D	200,000,000	2019/01/23	2019/04/29	50.00
62238	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 E	200,000,000	2019/01/23	2019/04/29	50.00
62239	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 F	200,000,000	2019/01/23	2019/04/29	50.00
62240	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 H	200,000,000	2019/01/23	2019/04/29	50.00
62243	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Jun 2019 K	100,000,000	2019/01/23	2019/06/25	25.00
61401	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 A	100,000,000	2019/01/23	2019/05/02	25.00
61346	HK Bank - AIA R Bear CBBC Oct 2019 B	40,000,000	2019/01/23	2019/10/31	10.00
61361	HK Bank - CNOOC R Bear CBBC Aug 2019 A	40,000,000	2019/01/23	2019/08/05	10.00
61349	HK Bank - Sinopec Corp R Bull CBBC Oct 2019 A	80,000,000	2019/01/23	2019/10/31	20.00
61359	HK Bank - Sinopec Corp R Bear CBBC Aug 2019 A	40,000,000	2019/01/23	2019/08/12	10.00
61362	HK Bank - HSI R Bull CBBC Nov 2019 D	150,000,000	2019/01/23	2019/11/28	37.50
61316	HK Bank - HSI R Bear CBBC Nov 2019 D	150,000,000	2019/01/23	2019/11/28	37.50
61332	HK Bank - Tencent R Bear CBBC Aug 2019 H	50,000,000	2019/01/23	2019/08/23	24.00
61422	Haitong Int'l Sec - China Life R Bull CBBC Aug 2019 C	50,000,000	2019/01/23	2019/08/07	12.50
61445	Haitong Int'l Sec - China Life R Bear CBBC May 2019 B	50,000,000	2019/01/23	2019/05/17	12.50
61406	Haitong Int'l Sec - HKEX R Bull CBBC Jun 2019 G	40,000,000	2019/01/23	2019/06/26	10.00
61249	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 S	120,000,000	2019/01/23	2020/10/29	30.00

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61252	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 T	120,000,000	2019/01/23	2020/10/29	30.00
61816	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 U	120,000,000	2019/01/23	2020/10/29	30.00
61839	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 U	120,000,000	2019/01/23	2019/04/29	30.00
61867	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 V	120,000,000	2019/01/23	2019/04/29	30.00
61416	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 B	40,000,000	2019/01/23	2019/08/30	10.00
61602	J P Morgan SP BV - China Life R Bull CBBC Jun 2019 A	40,000,000	2019/01/23	2019/06/14	10.00
61566	J P Morgan SP BV - China Life R Bull CBBC Jul 2019 A	40,000,000	2019/01/23	2019/07/12	10.00
61559	J P Morgan SP BV - China Life R Bear CBBC May 2019 A	40,000,000	2019/01/23	2019/05/10	10.00
61617	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 B	40,000,000	2019/01/23	2019/06/14	10.00
61642	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 J	200,000,000	2019/01/23	2020/10/29	50.00
61456	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 N	200,000,000	2019/01/23	2021/01/28	50.00
61541	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 O	200,000,000	2019/01/23	2021/01/28	50.00
61519	J P Morgan SP BV - HSI R Bear CBBC May 2019 H	200,000,000	2019/01/23	2019/05/30	50.00
61606	J P Morgan SP BV - Ping An R Bull CBBC Jun 2019 C	100,000,000	2019/01/23	2019/06/14	25.00
61268	Macquarie Bank Ltd. - Geely Auto R Bear CBBC Oct 2019 A	20,000,000	2019/01/23	2019/10/03	10.06
61385	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 Q	25,200,000	2019/01/23	2019/05/06	10.03
61386	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 I	24,300,000	2019/01/23	2019/05/08	10.01
61967	SG Issuer - Conch Cement R Bull CBBC Oct 2019 A	80,000,000	2019/01/23	2019/10/25	20.00
61939	SG Issuer - China Mobile R Bear CBBC Jun 2019 C	100,000,000	2019/01/23	2019/06/27	25.00
61975	SG Issuer - China Life R Bull CBBC Jul 2019 C	40,000,000	2019/01/23	2019/07/26	10.00
62157	SG Issuer - China Life R Bull CBBC Jul 2019 D	40,000,000	2019/01/23	2019/07/22	10.00
61982	SG Issuer - CPIC R Bull CBBC Mar 2020 A	100,000,000	2019/01/23	2020/03/20	25.00
61983	SG Issuer - HKEX R Bull CBBC Jul 2019 C	50,000,000	2019/01/23	2019/07/03	12.50
62167	SG Issuer - HKEX R Bear CBBC Jul 2019 D	50,000,000	2019/01/23	2019/07/22	22.25
61998	SG Issuer - HSCEI R Bull CBBC Oct 2020 C	100,000,000	2019/01/23	2020/10/29	25.00
62014	SG Issuer - HSCEI R Bull CBBC Oct 2020 D	100,000,000	2019/01/23	2020/10/29	25.00
61993	SG Issuer - HSCEI ETF R Bull CBBC Jul 2019 A	100,000,000	2019/01/23	2019/07/08	25.00
62135	SG Issuer - HSI R Bull CBBC Feb 2021 E	200,000,000	2019/01/23	2021/02/25	50.00
61959	SG Issuer - HSI R Bull CBBC Mar 2021 B	200,000,000	2019/01/23	2021/03/30	50.00
62146	SG Issuer - HSI R Bull CBBC Mar 2021 C	200,000,000	2019/01/23	2021/03/30	50.00
62022	SG Issuer - HSI R Bear CBBC Apr 2019 T	200,000,000	2019/01/23	2019/04/29	50.00
62027	SG Issuer - HSI R Bear CBBC Apr 2019 Y	200,000,000	2019/01/23	2019/04/29	50.00
62035	SG Issuer - HSI R Bear CBBC Apr 2019 Z	200,000,000	2019/01/23	2019/04/29	50.00
62039	SG Issuer - HSI R Bear CBBC Jul 2019 U	200,000,000	2019/01/23	2019/07/30	50.00
62045	SG Issuer - HSI R Bear CBBC Jul 2019 W	200,000,000	2019/01/23	2019/07/30	50.00
61989	SG Issuer - ICBC R Bull CBBC Sep 2019 A	60,000,000	2019/01/23	2019/09/06	15.00
62179	SG Issuer - Ping An R Bull CBBC Jul 2019 E	100,000,000	2019/01/23	2019/07/26	25.00
62181	SG Issuer - Ping An R Bull CBBC Aug 2019 Q	100,000,000	2019/01/23	2019/08/02	25.00
61947	SG Issuer - Tencent R Bull CBBC Jun 2019 Q	80,000,000	2019/01/23	2019/06/05	20.00
61992	SG Issuer - Tencent R Bear CBBC Apr 2019 E	80,000,000	2019/01/23	2019/04/25	20.00
62186	SG Issuer - Tencent R Bear CBBC Aug 2019 B	80,000,000	2019/01/23	2019/08/05	36.80
62198	SG Issuer - Tencent R Bear CBBC Aug 2019 C	80,000,000	2019/01/23	2019/08/08	48.00
61805	UBS AG - China Life R Bull CBBC Aug 2019 B	40,000,000	2019/01/23	2019/08/26	10.00
61792	UBS AG - CSPC Pharma R Bull CBBC Aug 2019 A	40,000,000	2019/01/23	2019/08/26	10.80
61795	UBS AG - CSPC Pharma R Bear CBBC Apr 2019 A	40,000,000	2019/01/23	2019/04/29	10.40

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61811	UBS AG - Geely Auto R Bull CBBC Aug 2019 B	80,000,000	2019/01/23	2019/08/26	20.00
61741	UBS AG - HSI R Bull CBBC Dec 2020 W	200,000,000	2019/01/23	2020/12/30	50.00
61760	UBS AG - HSI R Bull CBBC Dec 2020 X	200,000,000	2019/01/23	2020/12/30	50.00
61766	UBS AG - HSI R Bull CBBC Dec 2020 Z	200,000,000	2019/01/23	2020/12/30	50.00
61719	UBS AG - HSI R Bear CBBC Apr 2019 D	200,000,000	2019/01/23	2019/04/29	50.00
61725	UBS AG - HSI R Bear CBBC Apr 2019 G	200,000,000	2019/01/23	2019/04/29	50.00
61726	UBS AG - HSI R Bear CBBC Apr 2019 J	200,000,000	2019/01/23	2019/04/29	50.00
61681	UBS AG - Tencent R Bull CBBC Apr 2019 T	40,000,000	2019/01/23	2019/04/30	10.00
61788	UBS AG - Tencent R Bull CBBC May 2019 F	40,000,000	2019/01/23	2019/05/03	10.00
61711	UBS AG - Tencent R Bear CBBC Apr 2019 E	40,000,000	2019/01/23	2019/04/23	10.00
62361	BOCI Asia Ltd. - Tencent R Bear CBBC Jun 2019 B	40,000,000	2019/01/24	2019/06/18	10.96
62263	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019W	150,000,000	2019/01/24	2019/08/29	37.50
62307	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 D	50,000,000	2019/01/24	2019/11/28	12.50
62459	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 S	100,000,000	2019/01/24	2019/09/27	25.00
62444	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 T	100,000,000	2019/01/24	2019/09/27	25.00
62461	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 V	100,000,000	2019/01/24	2019/09/27	25.00
62439	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 L	250,000,000	2019/01/24	2020/10/29	62.50
62911	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 G	250,000,000	2019/01/24	2020/12/30	62.50
62319	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 B	250,000,000	2019/01/24	2019/05/30	62.50
62917	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 K	250,000,000	2019/01/24	2019/06/27	62.50
62280	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Oct 2019 B	200,000,000	2019/01/24	2019/10/30	50.00
62303	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Oct 2019 C	200,000,000	2019/01/24	2019/10/30	50.00
62484	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 P	50,000,000	2019/01/24	2019/07/30	12.50
62317	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 L	50,000,000	2019/01/24	2019/11/28	12.50
62874	Credit Suisse AG - CPIC R Bull CBBC Dec 2019 C	50,000,000	2019/01/24	2019/12/20	12.50
62825	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 F	40,000,000	2019/01/24	2019/11/28	10.00
62816	Credit Suisse AG - HSI R Bull CBBC Sep 2020 H	200,000,000	2019/01/24	2020/09/29	50.00
62818	Credit Suisse AG - HSI R Bull CBBC Oct 2020 P	200,000,000	2019/01/24	2020/10/29	50.00
62804	Credit Suisse AG - HSI R Bull CBBC Jan 2021 A	200,000,000	2019/01/24	2021/01/28	50.00
62877	Credit Suisse AG - HSI R Bull CBBC Feb 2021 M	300,000,000	2019/01/24	2021/02/25	75.00
62887	Credit Suisse AG - HSI R Bear CBBC May 2019 I	300,000,000	2019/01/24	2019/05/30	75.00
62801	Credit Suisse AG - HSI R Bear CBBC May 2019 W	200,000,000	2019/01/24	2019/05/30	50.00
62871	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 L	50,000,000	2019/01/24	2019/11/28	12.50
62551	Bank of East Asia - AIA R Bull CBBC Aug 2019 B	40,000,000	2019/01/24	2019/08/02	10.00
62502	Bank of East Asia - Geely Auto R Bull CBBC Aug 2019 F	40,000,000	2019/01/24	2019/08/02	10.00
62523	Bank of East Asia - Geely Auto R Bull CBBC Aug 2019 G	40,000,000	2019/01/24	2019/08/02	10.00
62545	Bank of East Asia - Geely Auto R Bear CBBC Aug 2019 F	40,000,000	2019/01/24	2019/08/02	10.00
62493	Bank of East Asia - Ping An R Bull CBBC Aug 2019 G	10,000,000	2019/01/24	2019/08/02	10.00
62567	Bank of East Asia - Tencent R Bull CBBC Aug 2019 N	30,000,000	2019/01/24	2019/08/02	10.50
62573	Bank of East Asia - Tencent R Bull CBBC Aug 2019 O	30,000,000	2019/01/24	2019/08/02	10.50
62621	Bank of East Asia - Tencent R Bear CBBC Aug 2019 I	30,000,000	2019/01/24	2019/08/02	10.50
63109	Goldman Sachs SP (Asia) - China Life R Bull CBBC May 2019 B	100,000,000	2019/01/24	2019/05/02	25.00
62701	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 Q	100,000,000	2019/01/24	2019/12/30	25.00
62680	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 U	200,000,000	2019/01/24	2020/09/29	50.00
63092	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 G	200,000,000	2019/01/24	2019/04/29	50.00

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63108	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 I	200,000,000	2019/01/24	2019/04/29	50.00
62396	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 T	200,000,000	2019/01/24	2019/04/29	50.00
62700	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 D	100,000,000	2019/01/24	2019/12/30	25.00
62692	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 B	100,000,000	2019/01/24	2019/05/03	25.00
62433	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 N	80,000,000	2019/01/24	2019/05/02	20.00
63095	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Sep 2019 A	80,000,000	2019/01/24	2019/09/27	29.44
62679	HK Bank - HKEX R Bull CBBC Sep 2019 A	50,000,000	2019/01/24	2019/09/30	12.50
62645	HK Bank - HSI R Bull CBBC Oct 2019 H	150,000,000	2019/01/24	2019/10/30	37.50
62623	HK Bank - HSI R Bull CBBC Nov 2019 E	150,000,000	2019/01/24	2019/11/28	37.50
62706	HK Bank - HSI R Bear CBBC Nov 2019 E	100,000,000	2019/01/24	2019/11/28	25.00
62672	HK Bank - Tencent R Bull CBBC Aug 2019 D	60,000,000	2019/01/24	2019/08/26	15.00
62374	Haitong Int'l Sec - China Mobile R Bull CBBC Jul 2019 A	100,000,000	2019/01/24	2019/07/19	25.00
62392	Haitong Int'l Sec - China Mobile R Bear CBBC Jul 2019 A	100,000,000	2019/01/24	2019/07/19	25.00
62965	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 V	120,000,000	2019/01/24	2020/10/29	30.00
62955	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 G	120,000,000	2019/01/24	2020/11/27	30.00
62975	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 A	120,000,000	2019/01/24	2019/04/29	30.00
62979	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 B	120,000,000	2019/01/24	2019/04/29	30.00
62947	Haitong Int'l Sec - Tencent R Bear CBBC Dec 2019 A	40,000,000	2019/01/24	2019/12/06	10.00
63044	J P Morgan SP BV - AIA R Bull CBBC Jun 2019 A	100,000,000	2019/01/24	2019/06/14	25.00
63008	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 J	200,000,000	2019/01/24	2020/09/29	50.00
63009	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 Y	200,000,000	2019/01/24	2020/12/30	50.00
62993	J P Morgan SP BV - HSI R Bear CBBC May 2019 R	200,000,000	2019/01/24	2019/05/30	50.00
63038	J P Morgan SP BV - Tencent R Bear CBBC May 2019 Q	50,000,000	2019/01/24	2019/05/10	12.50
62924	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 F	19,500,000	2019/01/24	2019/06/05	10.04
62926	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2019 Q	25,700,000	2019/01/24	2019/04/30	10.02
63258	SG Issuer - China Life R Bear CBBC Jul 2019 B	40,000,000	2019/01/24	2019/07/30	10.00
63129	SG Issuer - HKEX R Bear CBBC Jul 2019 E	50,000,000	2019/01/24	2019/07/19	12.50
63123	SG Issuer - HSI R Bull CBBC Feb 2021 F	400,000,000	2019/01/24	2021/02/25	100.00
63162	SG Issuer - HSI R Bull CBBC Feb 2021 G	200,000,000	2019/01/24	2021/02/25	50.00
63257	SG Issuer - HSI R Bull CBBC Feb 2021 H	200,000,000	2019/01/24	2021/02/25	50.00
63177	SG Issuer - HSI R Bull CBBC Apr 2021 A	200,000,000	2019/01/24	2021/04/29	50.00
63178	SG Issuer - HSI R Bull CBBC Apr 2021 B	200,000,000	2019/01/24	2021/04/29	50.00
63122	SG Issuer - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/24	2019/04/29	50.00
63114	SG Issuer - HSI R Bear CBBC Apr 2019 E	200,000,000	2019/01/24	2019/04/29	50.00
63217	SG Issuer - HSI R Bear CBBC May 2019 L	200,000,000	2019/01/24	2019/05/30	50.00
63222	SG Issuer - HSI R Bear CBBC Aug 2019 I	400,000,000	2019/01/24	2019/08/29	100.00
63179	SG Issuer - Ping An R Bull CBBC Nov 2019 E	100,000,000	2019/01/24	2019/11/28	25.00
63130	SG Issuer - Ping An R Bear CBBC Jul 2019 D	100,000,000	2019/01/24	2019/07/19	25.00
63182	SG Issuer - Tencent R Bull CBBC May 2019 S	80,000,000	2019/01/24	2019/05/07	20.00
63259	SG Issuer - Tencent R Bull CBBC May 2019 T	80,000,000	2019/01/24	2019/05/06	20.00
63204	SG Issuer - Tencent R Bull CBBC Oct 2019 A	80,000,000	2019/01/24	2019/10/30	20.00
63136	SG Issuer - Tencent R Bear CBBC Apr 2019 C	80,000,000	2019/01/24	2019/04/29	20.00
63154	SG Issuer - Tencent R Bear CBBC Apr 2019 F	80,000,000	2019/01/24	2019/04/30	20.00
62794	UBS AG - AIA R Bull CBBC Sep 2019 A	60,000,000	2019/01/24	2019/09/30	15.00
62761	UBS AG - HSI R Bull CBBC Dec 2020 B	200,000,000	2019/01/24	2020/12/30	50.00

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62763	UBS AG - HSI R Bull CBBC Dec 2020 H	200,000,000	2019/01/24	2020/12/30	50.00
62779	UBS AG - HSI R Bull CBBC Dec 2020 J	200,000,000	2019/01/24	2020/12/30	50.00
62715	UBS AG - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/24	2019/04/29	50.00
62781	UBS AG - HSI R Bear CBBC Apr 2019 L	200,000,000	2019/01/24	2019/04/29	50.00
62784	UBS AG - Sunny Optical R Bull CBBC Sep 2019 A	40,000,000	2019/01/24	2019/09/30	10.00
62775	UBS AG - Tencent R Bull CBBC Jun 2019 M	40,000,000	2019/01/24	2019/06/18	10.00
62736	UBS AG - Tencent R Bear CBBC Apr 2019 F	40,000,000	2019/01/24	2019/04/25	10.00
63090	Bank Vontobel - CC Bank R Bear CBBC Oct 2019 A	40,000,000	2019/01/24	2019/10/18	10.04
63071	Bank Vontobel - China Life R Bull CBBC Oct 2019 B	40,000,000	2019/01/24	2019/10/25	10.84
63072	Bank Vontobel - China Life R Bull CBBC Oct 2019 C	40,000,000	2019/01/24	2019/10/18	10.04
63070	Bank Vontobel - Geely Auto R Bull CBBC Aug 2019 G	40,000,000	2019/01/24	2019/08/23	10.04
63051	Bank Vontobel - HSI R Bull CBBC Jun 2019 Z	80,000,000	2019/01/24	2019/06/27	20.08
63054	Bank Vontobel - HSI R Bear CBBC May 2019 C	80,000,000	2019/01/24	2019/05/30	20.08
63061	Bank Vontobel - HSI R Bear CBBC May 2019 D	100,000,000	2019/01/24	2019/05/30	25.10
63088	Bank Vontobel - ICBC R Bear CBBC Oct 2019 A	40,000,000	2019/01/24	2019/10/18	10.04
63082	Bank Vontobel - Ping An R Bull CBBC Aug 2019 H	40,000,000	2019/01/24	2019/08/02	10.04
63062	Bank Vontobel - Tencent R Bull CBBC Sep 2019 H	40,000,000	2019/01/24	2019/09/20	10.04
63065	Bank Vontobel - Tencent R Bear CBBC Jul 2019 G	40,000,000	2019/01/24	2019/07/19	10.04
63067	Bank Vontobel - Tencent R Bear CBBC Sep 2019 A	40,000,000	2019/01/24	2019/09/13	18.44
63464	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 M	250,000,000	2019/01/25	2020/10/29	62.50
63470	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 N	250,000,000	2019/01/25	2020/10/29	62.50
63475	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 O	250,000,000	2019/01/25	2020/10/29	62.50
63476	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 H	250,000,000	2019/01/25	2020/12/30	62.50
63262	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 O	250,000,000	2019/01/25	2019/05/30	62.50
63492	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 L	250,000,000	2019/01/25	2019/06/27	62.50
63615	Credit Suisse AG - CC Bank R Bull CBBC Nov 2019 A	70,000,000	2019/01/25	2019/11/28	17.50
63636	Credit Suisse AG - China Life R Bull CBBC Nov 2019 A	40,000,000	2019/01/25	2019/11/28	10.00
63669	Credit Suisse AG - China Life R Bull CBBC Dec 2019 A	40,000,000	2019/01/25	2019/12/30	10.00
63703	Credit Suisse AG - China Life R Bear CBBC Nov 2019 A	40,000,000	2019/01/25	2019/11/28	10.00
63587	Credit Suisse AG - HKEX R Bull CBBC Nov 2019 A	50,000,000	2019/01/25	2019/11/28	12.50
63711	Credit Suisse AG - HSI R Bull CBBC Sep 2020 Q	200,000,000	2019/01/25	2020/09/29	50.00
63734	Credit Suisse AG - HSI R Bull CBBC Sep 2020 S	200,000,000	2019/01/25	2020/09/29	50.00
63706	Credit Suisse AG - HSI R Bull CBBC Jan 2021 B	200,000,000	2019/01/25	2021/01/28	50.00
63561	Credit Suisse AG - HSI R Bear CBBC Apr 2019 Q	200,000,000	2019/01/25	2019/04/29	50.00
63564	Credit Suisse AG - HSI R Bear CBBC Apr 2019 S	200,000,000	2019/01/25	2019/04/29	50.00
63565	Credit Suisse AG - HSI R Bear CBBC Apr 2019 T	200,000,000	2019/01/25	2019/04/29	50.00
63579	Credit Suisse AG - HSI R Bear CBBC Apr 2019 W	200,000,000	2019/01/25	2019/04/29	50.00
63582	Credit Suisse AG - HSI R Bear CBBC May 2019 S	200,000,000	2019/01/25	2019/05/30	50.00
63585	Credit Suisse AG - HSI R Bear CBBC May 2019 T	200,000,000	2019/01/25	2019/05/30	50.00
63614	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 G	80,000,000	2019/01/25	2019/12/06	20.00
63595	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 F	50,000,000	2019/01/25	2019/12/06	12.50
64011	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2019 B	40,000,000	2019/01/25	2019/12/30	10.00
64009	Goldman Sachs SP (Asia) - AIA R Bear CBBC May 2019 B	80,000,000	2019/01/25	2019/05/10	20.00
63977	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 V	200,000,000	2019/01/25	2020/09/29	50.00
63285	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 J	200,000,000	2019/01/25	2019/04/29	50.00

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63286	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/25	2019/04/29	50.00
63981	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 U	200,000,000	2019/01/25	2019/04/29	50.00
63985	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 W	200,000,000	2019/01/25	2019/04/29	50.00
64013	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 E	100,000,000	2019/01/25	2019/12/30	25.00
63978	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 C	100,000,000	2019/01/25	2019/05/02	25.00
63309	HK Bank - HSI R Bear CBBC Oct 2019 H	150,000,000	2019/01/25	2019/10/30	37.50
63317	HK Bank - HSI R Bear CBBC Oct 2019 I	100,000,000	2019/01/25	2019/10/30	25.00
63322	HK Bank - HSI R Bear CBBC Oct 2019 J	150,000,000	2019/01/25	2019/10/30	37.50
63328	HK Bank - Sands China R Bear CBBC Sep 2019 B	40,000,000	2019/01/25	2019/09/30	10.00
63312	HK Bank - Tencent R Bear CBBC Sep 2019 C	40,000,000	2019/01/25	2019/09/23	10.00
63496	Haitong Int'l Sec - Conch Cement R Bull CBBC Sep 2019 A	210,000,000	2019/01/25	2019/09/23	52.50
63501	Haitong Int'l Sec - AIA R Bull CBBC Jul 2019 B	120,000,000	2019/01/25	2019/07/15	30.00
63519	Haitong Int'l Sec - AIA R Bear CBBC Jul 2019 A	120,000,000	2019/01/25	2019/07/22	30.00
63541	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 W	120,000,000	2019/01/25	2020/10/29	30.00
63532	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 H	120,000,000	2019/01/25	2020/11/27	30.00
63298	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 C	120,000,000	2019/01/25	2019/04/29	30.00
63302	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 L	120,000,000	2019/01/25	2019/04/29	30.00
63551	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 Q	120,000,000	2019/01/25	2019/04/29	30.00
63502	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 G	120,000,000	2019/01/25	2019/07/22	30.00
63505	Haitong Int'l Sec - Sunny Optical R Bull CBBC Jul 2019 C	120,000,000	2019/01/25	2019/07/19	30.00
63426	J P Morgan SP BV - Geely Auto R Bull CBBC May 2019 D	50,000,000	2019/01/25	2019/05/10	12.50
63450	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2020 E	100,000,000	2019/01/25	2020/11/27	25.00
63451	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 P	200,000,000	2019/01/25	2021/01/28	50.00
63457	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 Q	200,000,000	2019/01/25	2021/01/28	50.00
63459	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 R	200,000,000	2019/01/25	2021/01/28	50.00
63422	J P Morgan SP BV - HSI R Bear CBBC May 2019 A	200,000,000	2019/01/25	2019/05/30	50.00
63418	J P Morgan SP BV - HSI R Bear CBBC May 2019 P	200,000,000	2019/01/25	2019/05/30	50.00
63454	J P Morgan SP BV - HSI R Bear CBBC May 2019 Q	200,000,000	2019/01/25	2019/05/30	50.00
63417	J P Morgan SP BV - HSI R Bear CBBC May 2019 S	200,000,000	2019/01/25	2019/05/30	50.00
63455	J P Morgan SP BV - HSI R Bear CBBC May 2019 T	200,000,000	2019/01/25	2019/05/30	50.00
63432	J P Morgan SP BV - Tencent R Bull CBBC May 2019 L	50,000,000	2019/01/25	2019/05/17	12.50
63425	J P Morgan SP BV - Tencent R Bear CBBC May 2019 R	50,000,000	2019/01/25	2019/05/10	28.25
63443	J P Morgan SP BV - Tencent R Bear CBBC May 2019 S	50,000,000	2019/01/25	2019/05/17	20.25
63304	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 R	24,900,000	2019/01/25	2019/05/07	10.01
63308	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2019 R	26,200,000	2019/01/25	2019/04/30	10.03
63737	SG Issuer - AIA R Bear CBBC Jul 2019 F	60,000,000	2019/01/25	2019/07/22	15.00
63901	SG Issuer - CC Bank R Bull CBBC Aug 2019 X	100,000,000	2019/01/25	2019/08/09	25.00
63903	SG Issuer - China Life R Bear CBBC Jul 2019 C	40,000,000	2019/01/25	2019/07/30	10.00
63904	SG Issuer - China Life R Bear CBBC Sep 2019 A	40,000,000	2019/01/25	2019/09/20	15.60
63915	SG Issuer - Geely Auto R Bull CBBC Jul 2019 G	40,000,000	2019/01/25	2019/07/30	10.00
63863	SG Issuer - Geely Auto R Bear CBBC Jul 2019 B	40,000,000	2019/01/25	2019/07/30	10.00
63924	SG Issuer - Geely Auto R Bear CBBC Sep 2019 A	40,000,000	2019/01/25	2019/09/27	10.00
63877	SG Issuer - HSI R Bull CBBC Feb 2021 I	200,000,000	2019/01/25	2021/02/25	50.00
63884	SG Issuer - HSI R Bull CBBC Mar 2021 D	200,000,000	2019/01/25	2021/03/30	50.00
63897	SG Issuer - HSI R Bull CBBC Apr 2021 C	200,000,000	2019/01/25	2021/04/29	50.00

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63760	SG Issuer - HSI R Bear CBBC Apr 2019 F	200,000,000	2019/01/25	2019/04/29	50.00
63805	SG Issuer - HSI R Bear CBBC Apr 2019 O	200,000,000	2019/01/25	2019/04/29	50.00
63751	SG Issuer - HSI R Bear CBBC Apr 2019 U	200,000,000	2019/01/25	2019/04/29	50.00
63857	SG Issuer - HSI R Bear CBBC May 2019 O	200,000,000	2019/01/25	2019/05/30	50.00
63794	SG Issuer - HSI R Bear CBBC May 2019 P	200,000,000	2019/01/25	2019/05/30	50.00
63872	SG Issuer - HSI R Bear CBBC May 2019 Q	200,000,000	2019/01/25	2019/05/30	50.00
63812	SG Issuer - HSI R Bear CBBC May 2019 Y	200,000,000	2019/01/25	2019/05/30	50.00
63859	SG Issuer - HSI R Bear CBBC Sep 2019 E	400,000,000	2019/01/25	2019/09/27	100.00
63860	SG Issuer - HSI R Bear CBBC Sep 2019 F	400,000,000	2019/01/25	2019/09/27	100.00
63865	SG Issuer - Ping An R Bear CBBC Aug 2019 A	100,000,000	2019/01/25	2019/08/06	25.00
63925	SG Issuer - Tencent R Bull CBBC Apr 2019 R	80,000,000	2019/01/25	2019/04/29	20.00
63940	SG Issuer - Tencent R Bull CBBC Jun 2019 R	80,000,000	2019/01/25	2019/06/06	20.00
63743	SG Issuer - Tencent R Bear CBBC Apr 2019 H	80,000,000	2019/01/25	2019/04/29	20.00
63747	SG Issuer - Tencent R Bear CBBC Apr 2019 S	80,000,000	2019/01/25	2019/04/30	20.00
63930	SG Issuer - Tencent R Bear CBBC Apr 2019 W	80,000,000	2019/01/25	2019/04/29	20.00
63973	SG Issuer - Tencent R Bear CBBC Jun 2019 E	80,000,000	2019/01/25	2019/06/27	20.00
63391	UBS AG - CSPC Pharma R Bull CBBC Sep 2019 B	40,000,000	2019/01/25	2019/09/16	10.00
63405	UBS AG - Geely Auto R Bear CBBC Sep 2019 B	40,000,000	2019/01/25	2019/09/16	10.00
63407	UBS AG - Geely Auto R Bear CBBC Oct 2019 A	40,000,000	2019/01/25	2019/10/21	12.80
63365	UBS AG - HSI R Bull CBBC Dec 2020 Q	200,000,000	2019/01/25	2020/12/30	50.00
63333	UBS AG - HSI R Bear CBBC Apr 2019 H	200,000,000	2019/01/25	2019/04/29	50.00
63340	UBS AG - HSI R Bear CBBC Apr 2019 N	200,000,000	2019/01/25	2019/04/29	50.00
63361	UBS AG - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/25	2019/04/29	50.00
63331	UBS AG - HSI R Bear CBBC Apr 2019 S	200,000,000	2019/01/25	2019/04/29	50.00
63329	UBS AG - HSI R Bear CBBC May 2019 G	200,000,000	2019/01/25	2019/05/30	50.00
63397	UBS AG - Sunny Optical R Bear CBBC Aug 2019 A	40,000,000	2019/01/25	2019/08/12	10.00
63386	UBS AG - Tencent R Bull CBBC Jun 2019 N	40,000,000	2019/01/25	2019/06/25	10.00
63375	UBS AG - Tencent R Bear CBBC May 2019 J	40,000,000	2019/01/25	2019/05/27	10.00
63380	UBS AG - Tencent R Bear CBBC May 2019 K	40,000,000	2019/01/25	2019/05/29	10.00
64020	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 D	150,000,000	2019/01/28	2019/12/30	38.70
64023	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 D	150,000,000	2019/01/28	2019/05/30	38.10
64350	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 P	250,000,000	2019/01/28	2020/10/29	62.50
64713	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 Q	250,000,000	2019/01/28	2020/10/29	62.50
64352	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 I	250,000,000	2019/01/28	2020/12/30	62.50
64133	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 A	250,000,000	2019/01/28	2019/05/30	62.50
64135	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 C	250,000,000	2019/01/28	2019/05/30	62.50
64137	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 G	250,000,000	2019/01/28	2019/05/30	62.50
64141	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 H	250,000,000	2019/01/28	2019/05/30	62.50
64042	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 P	250,000,000	2019/01/28	2019/05/30	62.50
64045	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 Q	250,000,000	2019/01/28	2019/05/30	62.50
64047	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 R	250,000,000	2019/01/28	2019/05/30	62.50
64049	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 S	250,000,000	2019/01/28	2019/05/30	62.50
64063	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 T	250,000,000	2019/01/28	2019/05/30	62.50
64075	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 U	250,000,000	2019/01/28	2019/05/30	62.50
64080	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 V	250,000,000	2019/01/28	2019/05/30	62.50

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64082	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 W	250,000,000	2019/01/28	2019/05/30	62.50
64083	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 X	250,000,000	2019/01/28	2019/05/30	77.50
64119	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 Y	250,000,000	2019/01/28	2019/05/30	62.50
64158	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 Z	250,000,000	2019/01/28	2019/05/30	62.50
64353	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 M	250,000,000	2019/01/28	2019/06/27	62.50
64098	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 W	200,000,000	2019/01/28	2019/08/29	50.00
64088	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 I	50,000,000	2019/01/28	2019/10/30	12.50
64100	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 J	50,000,000	2019/01/28	2019/10/30	12.50
64110	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 M	50,000,000	2019/01/28	2019/11/28	12.50
64473	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 G	40,000,000	2019/01/28	2019/11/29	10.00
64463	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2019 D	50,000,000	2019/01/28	2019/11/28	12.50
64470	Credit Suisse AG - Galaxy Ent R Bear CBBC Oct 2019 B	50,000,000	2019/01/28	2019/10/30	12.50
64474	Credit Suisse AG - HKEX R Bear CBBC Nov 2019 C	50,000,000	2019/01/28	2019/11/28	14.50
64487	Credit Suisse AG - HSI R Bull CBBC Sep 2020 V	200,000,000	2019/01/28	2020/09/29	50.00
64515	Credit Suisse AG - HSI R Bull CBBC Jan 2021 C	200,000,000	2019/01/28	2021/01/28	50.00
64500	Credit Suisse AG - HSI R Bull CBBC Feb 2021 N	300,000,000	2019/01/28	2021/02/25	75.00
64452	Credit Suisse AG - HSI R Bear CBBC Apr 2019 O	200,000,000	2019/01/28	2019/04/29	50.00
64476	Credit Suisse AG - HSI R Bear CBBC Apr 2019 R	200,000,000	2019/01/28	2019/04/29	50.00
64400	Credit Suisse AG - HSI R Bear CBBC Apr 2019 Y	200,000,000	2019/01/28	2019/04/29	50.00
64479	Credit Suisse AG - HSI R Bear CBBC May 2019 U	200,000,000	2019/01/28	2019/05/30	50.00
64518	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 G	50,000,000	2019/01/28	2019/12/05	12.50
64455	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 I	50,000,000	2019/01/28	2019/11/29	12.50
64458	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 D	50,000,000	2019/01/28	2019/12/06	19.00
64751	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 R	100,000,000	2019/01/28	2019/12/30	25.00
64290	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 W	200,000,000	2019/01/28	2020/09/29	50.00
64714	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 X	200,000,000	2019/01/28	2020/09/29	50.00
64032	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 A	200,000,000	2019/01/28	2019/04/29	50.00
64039	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 L	200,000,000	2019/01/28	2019/04/29	50.00
64739	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 M	200,000,000	2019/01/28	2019/04/29	50.00
64293	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 F	100,000,000	2019/01/28	2019/12/30	25.00
64715	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 D	100,000,000	2019/01/28	2019/05/02	25.00
64036	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 O	80,000,000	2019/01/28	2019/05/02	20.00
64761	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Sep 2019 B	80,000,000	2019/01/28	2019/09/27	33.68
64269	HK Bank - China Life R Bull CBBC Jul 2019 D	40,000,000	2019/01/28	2019/07/15	10.00
64274	HK Bank - Geely Auto R Bull CBBC Jul 2019 F	40,000,000	2019/01/28	2019/07/29	10.00
64285	HK Bank - Galaxy Ent R Bull CBBC Jul 2019 B	80,000,000	2019/01/28	2019/07/15	20.00
64249	HK Bank - HKEX R Bull CBBC Jul 2019 E	50,000,000	2019/01/28	2019/07/04	12.50
64246	HK Bank - HSCEI R Bull CBBC Jan 2020 A	60,000,000	2019/01/28	2020/01/30	15.00
64242	HK Bank - HSCEI R Bear CBBC Jul 2019 A	50,000,000	2019/01/28	2019/07/30	12.50
64255	HK Bank - HSI R Bull CBBC Jan 2020 A	150,000,000	2019/01/28	2020/01/30	37.50
64234	HK Bank - HSI R Bear CBBC May 2019 Q	150,000,000	2019/01/28	2019/05/30	37.50
64259	HK Bank - HSI R Bear CBBC Jul 2019 E	150,000,000	2019/01/28	2019/07/30	37.50
64254	HK Bank - Ping An R Bull CBBC Aug 2019 D	100,000,000	2019/01/28	2019/08/12	25.00
64284	HK Bank - Sino Biopharm R Bull CBBC Jul 2019 E	40,000,000	2019/01/28	2019/07/22	10.00
64283	HK Bank - SUNAC R Bull CBBC Jan 2020 C	100,000,000	2019/01/28	2020/01/13	25.00

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64263	HK Bank - Sunny Optical R Bull CBBC Jul 2019 D	40,000,000	2019/01/28	2019/07/08	10.00
64248	HK Bank - Tencent R Bull CBBC Jul 2019 S	60,000,000	2019/01/28	2019/07/29	15.00
64236	HK Bank - Tencent R Bear CBBC Jul 2019 K	50,000,000	2019/01/28	2019/07/02	12.50
64523	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 X	120,000,000	2019/01/28	2020/10/29	30.00
64526	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 Y	120,000,000	2019/01/28	2020/10/29	30.00
64529	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 D	120,000,000	2019/01/28	2019/04/29	30.00
64533	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 E	120,000,000	2019/01/28	2019/04/29	30.00
64541	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 I	120,000,000	2019/01/28	2019/04/29	30.00
64547	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 K	120,000,000	2019/01/28	2019/04/29	30.00
64031	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 W	120,000,000	2019/01/28	2019/04/29	30.00
64527	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 X	120,000,000	2019/01/28	2019/04/29	30.00
64557	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 Z	120,000,000	2019/01/28	2019/04/29	30.00
64380	J P Morgan SP BV - Geely Auto R Bull CBBC Jun 2019 B	50,000,000	2019/01/28	2019/06/14	12.50
64381	J P Morgan SP BV - Geely Auto R Bear CBBC Jun 2019 B	50,000,000	2019/01/28	2019/06/14	12.50
64377	J P Morgan SP BV - HKEX R Bull CBBC May 2019 M	40,000,000	2019/01/28	2019/05/10	10.00
64379	J P Morgan SP BV - HKEX R Bear CBBC May 2019 G	40,000,000	2019/01/28	2019/05/17	19.80
64384	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 S	200,000,000	2019/01/28	2021/01/28	50.00
64390	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 T	200,000,000	2019/01/28	2021/01/28	50.00
64399	J P Morgan SP BV - HSI R Bear CBBC May 2019 F	200,000,000	2019/01/28	2019/05/30	50.00
64357	J P Morgan SP BV - HSI R Bear CBBC May 2019 U	200,000,000	2019/01/28	2019/05/30	50.00
64362	J P Morgan SP BV - HSI R Bear CBBC May 2019 W	200,000,000	2019/01/28	2019/05/30	50.00
64394	J P Morgan SP BV - HSI R Bear CBBC May 2019 X	200,000,000	2019/01/28	2019/05/30	50.00
64373	J P Morgan SP BV - Ping An R Bull CBBC Jun 2019 D	100,000,000	2019/01/28	2019/06/21	25.00
64375	J P Morgan SP BV - Ping An R Bear CBBC May 2019 D	100,000,000	2019/01/28	2019/05/17	25.00
64367	J P Morgan SP BV - Tencent R Bull CBBC May 2019 M	50,000,000	2019/01/28	2019/05/17	12.50
64368	J P Morgan SP BV - Tencent R Bull CBBC May 2019 N	50,000,000	2019/01/28	2019/05/10	12.50
64354	J P Morgan SP BV - Tencent R Bear CBBC May 2019 T	50,000,000	2019/01/28	2019/05/10	12.50
64372	J P Morgan SP BV - Tencent R Bear CBBC May 2019 U	50,000,000	2019/01/28	2019/05/10	31.75
64287	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 G	19,700,000	2019/01/28	2019/06/06	10.01
64288	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2019 C	19,400,000	2019/01/28	2019/06/06	10.01
64695	SG Issuer - Geely Auto R Bear CBBC Sep 2019 B	40,000,000	2019/01/28	2019/09/27	13.00
64602	SG Issuer - Galaxy Ent R Bull CBBC Sep 2019 C	100,000,000	2019/01/28	2019/09/24	25.00
64572	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 B	100,000,000	2019/01/28	2019/09/09	25.00
64697	SG Issuer - HKEX R Bear CBBC Jul 2019 F	50,000,000	2019/01/28	2019/07/15	12.50
64690	SG Issuer - HSI R Bull CBBC Feb 2021 J	200,000,000	2019/01/28	2021/02/25	50.00
64691	SG Issuer - HSI R Bull CBBC Feb 2021 K	200,000,000	2019/01/28	2021/02/25	50.00
64626	SG Issuer - HSI R Bull CBBC Apr 2021 D	400,000,000	2019/01/28	2021/04/29	100.00
64694	SG Issuer - HSI R Bull CBBC Apr 2021 E	200,000,000	2019/01/28	2021/04/29	50.00
64640	SG Issuer - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/28	2019/04/29	50.00
64655	SG Issuer - HSI R Bear CBBC Apr 2019 H	200,000,000	2019/01/28	2019/04/29	50.00
64598	SG Issuer - HSI R Bear CBBC Apr 2019 I	200,000,000	2019/01/28	2019/04/29	50.00
64594	SG Issuer - HSI R Bear CBBC Apr 2019 S	200,000,000	2019/01/28	2019/04/29	50.00
64629	SG Issuer - HSI R Bear CBBC Apr 2019 V	200,000,000	2019/01/28	2019/04/29	50.00
64632	SG Issuer - HSI R Bear CBBC Apr 2019 W	200,000,000	2019/01/28	2019/04/29	50.00
64635	SG Issuer - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/28	2019/04/29	50.00

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64664	SG Issuer - HSI R Bear CBBC May 2019 S	200,000,000	2019/01/28	2019/05/30	50.00
64688	SG Issuer - HSI R Bear CBBC Jul 2019 R	200,000,000	2019/01/28	2019/07/30	50.00
64600	SG Issuer - HSI R Bear CBBC Sep 2019 G	400,000,000	2019/01/28	2019/09/27	100.00
64606	SG Issuer - Ping An R Bull CBBC Aug 2019 R	100,000,000	2019/01/28	2019/08/12	25.00
64621	SG Issuer - Sands China R Bear CBBC Nov 2019 A	80,000,000	2019/01/28	2019/11/28	20.00
64699	SG Issuer - Tencent R Bull CBBC Jun 2019 S	80,000,000	2019/01/28	2019/06/04	20.00
64702	SG Issuer - Tencent R Bull CBBC Jun 2019 T	80,000,000	2019/01/28	2019/06/18	20.00
64579	SG Issuer - Tencent R Bear CBBC Apr 2019 D	80,000,000	2019/01/28	2019/04/29	20.00
64585	SG Issuer - Tencent R Bear CBBC Apr 2019 I	80,000,000	2019/01/28	2019/04/30	20.00
64625	SG Issuer - Tencent R Bear CBBC Apr 2019 Y	80,000,000	2019/01/28	2019/04/30	20.00
64703	SG Issuer - Tencent R Bear CBBC Jul 2019 I	80,000,000	2019/01/28	2019/07/05	37.60
64220	UBS AG - Galaxy Ent R Bull CBBC Sep 2019 C	40,000,000	2019/01/28	2019/09/16	10.00
64215	UBS AG - HKEX R Bull CBBC Sep 2019 A	50,000,000	2019/01/28	2019/09/09	12.50
64218	UBS AG - HKEX R Bull CBBC Sep 2019 B	50,000,000	2019/01/28	2019/09/23	12.50
64201	UBS AG - HSI R Bull CBBC Feb 2021 K	200,000,000	2019/01/28	2021/02/25	50.00
64202	UBS AG - HSI R Bull CBBC Feb 2021 L	200,000,000	2019/01/28	2021/02/25	50.00
64209	UBS AG - HSI R Bull CBBC Feb 2021 M	200,000,000	2019/01/28	2021/02/25	50.00
64167	UBS AG - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/28	2019/04/29	50.00
64168	UBS AG - HSI R Bear CBBC Apr 2019 E	200,000,000	2019/01/28	2019/04/29	50.00
64182	UBS AG - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/28	2019/04/29	50.00
64190	UBS AG - HSI R Bear CBBC Apr 2019 M	200,000,000	2019/01/28	2019/04/29	50.00
64194	UBS AG - HSI R Bear CBBC Apr 2019 O	300,000,000	2019/01/28	2019/04/29	75.00
64196	UBS AG - HSI R Bear CBBC Apr 2019 R	200,000,000	2019/01/28	2019/04/29	50.00
64164	UBS AG - HSI R Bear CBBC Apr 2019 V	200,000,000	2019/01/28	2019/04/29	50.00
64221	UBS AG - Tencent R Bull CBBC Jun 2019 O	40,000,000	2019/01/28	2019/06/26	10.00
64229	UBS AG - Tencent R Bear CBBC Jun 2019 E	40,000,000	2019/01/28	2019/06/26	10.00
64348	Bank Vontobel - China Mobile R Bear CBBC Oct 2019 E	40,000,000	2019/01/28	2019/10/18	10.04
64330	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2019 G	40,000,000	2019/01/28	2019/11/15	10.04
64323	Bank Vontobel - Geely Auto R Bear CBBC Aug 2019 C	40,000,000	2019/01/28	2019/08/09	16.84
64345	Bank Vontobel - HKEX R Bear CBBC Sep 2019 A	40,000,000	2019/01/28	2019/09/20	15.24
64315	Bank Vontobel - HSI R Bull CBBC Jul 2019 A	80,000,000	2019/01/28	2019/07/30	20.08
64296	Bank Vontobel - HSI R Bear CBBC May 2019 E	80,000,000	2019/01/28	2019/05/30	20.08
64338	Bank Vontobel - Tencent R Bear CBBC Sep 2019 B	40,000,000	2019/01/28	2019/09/20	24.04
64886	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 J	250,000,000	2019/01/29	2020/12/30	62.50
64887	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 N	250,000,000	2019/01/29	2019/06/27	62.50
64913	Credit Suisse AG - HSI R Bull CBBC Sep 2020 W	200,000,000	2019/01/29	2020/09/29	50.00
64914	Credit Suisse AG - HSI R Bull CBBC Feb 2021 O	200,000,000	2019/01/29	2021/02/25	50.00
64926	Credit Suisse AG - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/29	2019/04/29	50.00
64932	Credit Suisse AG - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/29	2019/04/29	50.00
64915	Credit Suisse AG - HSI R Bear CBBC Apr 2019 U	200,000,000	2019/01/29	2019/04/29	50.00
64921	Credit Suisse AG - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/29	2019/04/29	50.00
64767	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 Y	200,000,000	2019/01/29	2020/09/29	50.00
64899	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 B	200,000,000	2019/01/29	2019/04/29	50.00
64902	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 C	200,000,000	2019/01/29	2019/04/29	50.00
64906	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 N	200,000,000	2019/01/29	2019/04/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64909	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 O	200,000,000	2019/01/29	2019/04/29	50.00
64910	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 P	200,000,000	2019/01/29	2019/04/29	50.00
64785	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/29	2019/04/29	50.00
64788	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2019 Z	200,000,000	2019/01/29	2019/04/29	50.00
64786	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 E	100,000,000	2019/01/29	2019/05/02	25.00
64776	HK Bank - China Life R Bear CBBC Aug 2019 A	40,000,000	2019/01/29	2019/08/19	18.80
64777	HK Bank - CM Bank R Bull CBBC Nov 2019 B	100,000,000	2019/01/29	2019/11/11	25.00
64778	HK Bank - HSI R Bull CBBC Feb 2020 A	120,000,000	2019/01/29	2020/02/27	30.00
64779	HK Bank - HSI R Bear CBBC Jul 2019 F	120,000,000	2019/01/29	2019/07/30	30.00
64781	HK Bank - Tencent R Bear CBBC Aug 2019 I	50,000,000	2019/01/29	2019/08/19	12.50
65034	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 Z	120,000,000	2019/01/29	2020/10/29	30.00
65032	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 I	120,000,000	2019/01/29	2020/11/27	30.00
65045	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 J	120,000,000	2019/01/29	2020/11/27	30.00
65067	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 G	120,000,000	2019/01/29	2019/04/29	30.00
65049	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 M	120,000,000	2019/01/29	2019/04/29	30.00
65068	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 N	120,000,000	2019/01/29	2019/04/29	30.00
65074	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 O	120,000,000	2019/01/29	2019/04/29	30.00
65062	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 R	120,000,000	2019/01/29	2019/04/29	30.00
64889	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 U	200,000,000	2019/01/29	2021/01/28	50.00
64896	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 V	200,000,000	2019/01/29	2021/01/28	50.00
64894	J P Morgan SP BV - HSI R Bear CBBC May 2019 J	200,000,000	2019/01/29	2019/05/30	50.00
64895	J P Morgan SP BV - HSI R Bear CBBC May 2019 K	200,000,000	2019/01/29	2019/05/30	50.00
64897	J P Morgan SP BV - Tencent R Bull CBBC May 2019 O	50,000,000	2019/01/29	2019/05/10	12.50
64898	J P Morgan SP BV - Tencent R Bear CBBC May 2019 V	50,000,000	2019/01/29	2019/05/10	12.50
64769	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 H	20,500,000	2019/01/29	2019/06/04	10.00
64772	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2019 D	20,200,000	2019/01/29	2019/06/04	10.02
64774	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2019 E	16,000,000	2019/01/29	2019/06/04	10.00
65012	SG Issuer - China Mobile R Bull CBBC Sep 2019 A	100,000,000	2019/01/29	2019/09/30	25.00
65002	SG Issuer - China Life R Bull CBBC Sep 2019 A	40,000,000	2019/01/29	2019/09/30	15.60
65008	SG Issuer - CM Bank R Bull CBBC Feb 2020 B	100,000,000	2019/01/29	2020/02/27	25.00
65007	SG Issuer - CM Bank R Bear CBBC Nov 2019 A	100,000,000	2019/01/29	2019/11/28	25.00
65014	SG Issuer - Galaxy Ent R Bear CBBC Aug 2019 B	100,000,000	2019/01/29	2019/08/07	25.00
65015	SG Issuer - HKEX R Bull CBBC Jul 2019 D	50,000,000	2019/01/29	2019/07/26	12.50
65029	SG Issuer - HSI R Bull CBBC Mar 2021 E	200,000,000	2019/01/29	2021/03/30	50.00
65030	SG Issuer - HSI R Bull CBBC Mar 2021 F	200,000,000	2019/01/29	2021/03/30	50.00
64982	SG Issuer - HSI R Bull CBBC Apr 2021 F	200,000,000	2019/01/29	2021/04/29	50.00
64936	SG Issuer - HSI R Bull CBBC May 2021 A	200,000,000	2019/01/29	2021/05/28	50.00
64977	SG Issuer - HSI R Bear CBBC Apr 2019 D	200,000,000	2019/01/29	2019/04/29	50.00
64984	SG Issuer - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/29	2019/04/29	50.00
64998	SG Issuer - HSI R Bear CBBC May 2019 A	200,000,000	2019/01/29	2019/05/30	50.00
65000	SG Issuer - HSI R Bear CBBC May 2019 D	200,000,000	2019/01/29	2019/05/30	50.00
65020	SG Issuer - ICBC R Bear CBBC Jul 2019 A	60,000,000	2019/01/29	2019/07/26	15.00
64964	SG Issuer - Sino Biopharm R Bull CBBC Jul 2019 A	40,000,000	2019/01/29	2019/07/19	10.00
64966	SG Issuer - Sino Biopharm R Bull CBBC Jul 2019 B	40,000,000	2019/01/29	2019/07/25	10.00
65028	SG Issuer - SUNAC R Bear CBBC Oct 2019 A	80,000,000	2019/01/29	2019/10/14	20.00

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64937	SG Issuer - Tencent R Bull CBBC Apr 2019 I	80,000,000	2019/01/29	2019/04/30	20.00
64963	SG Issuer - Tencent R Bull CBBC May 2019 V	80,000,000	2019/01/29	2019/05/07	20.00
64972	SG Issuer - Tencent R Bear CBBC Apr 2019 T	80,000,000	2019/01/29	2019/04/30	20.00
64974	SG Issuer - Tencent R Bear CBBC Apr 2019 X	80,000,000	2019/01/29	2019/04/30	20.00
64792	UBS AG - HSI R Bull CBBC Jan 2021 V	200,000,000	2019/01/29	2021/01/28	50.00
64795	UBS AG - HSI R Bull CBBC Jan 2021 W	200,000,000	2019/01/29	2021/01/28	50.00
64796	UBS AG - HSI R Bull CBBC Jan 2021 X	200,000,000	2019/01/29	2021/01/28	50.00
64798	UBS AG - HSI R Bull CBBC Jan 2021 Y	200,000,000	2019/01/29	2021/01/28	50.00
64813	UBS AG - HSI R Bear CBBC Apr 2019 Q	200,000,000	2019/01/29	2019/04/29	50.00
64821	UBS AG - HSI R Bear CBBC Apr 2019 T	200,000,000	2019/01/29	2019/04/29	50.00
64882	UBS AG - HSI R Bear CBBC Apr 2019 U	200,000,000	2019/01/29	2019/04/29	50.00
64803	UBS AG - Tencent R Bull CBBC Jul 2019 A	40,000,000	2019/01/29	2019/07/08	10.00
64807	UBS AG - Tencent R Bull CBBC Jul 2019 B	40,000,000	2019/01/29	2019/07/15	10.00
64828	UBS AG - Tencent R Bear CBBC Jul 2019 A	40,000,000	2019/01/29	2019/07/08	10.00
65144	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 E	150,000,000	2019/01/30	2019/12/30	38.10
65093	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 R	250,000,000	2019/01/30	2020/10/29	62.50
65191	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 K	250,000,000	2019/01/30	2020/12/30	62.50
65190	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 D	250,000,000	2019/01/30	2019/05/30	62.50
65244	Credit Suisse AG - Bank of China R Bear CBBC Nov 2019 A	60,000,000	2019/01/30	2019/11/28	15.00
65233	Credit Suisse AG - CC Bank R Bear CBBC Nov 2019 A	60,000,000	2019/01/30	2019/11/28	15.00
65240	Credit Suisse AG - CM Bank R Bear CBBC Sep 2019 A	60,000,000	2019/01/30	2019/09/27	15.00
65242	Credit Suisse AG - CM Bank R Bear CBBC Nov 2019 A	60,000,000	2019/01/30	2019/11/28	15.00
65226	Credit Suisse AG - HSI R Bull CBBC Jan 2021 D	200,000,000	2019/01/30	2021/01/28	50.00
65219	Credit Suisse AG - HSI R Bear CBBC Apr 2019 K	200,000,000	2019/01/30	2019/04/29	50.00
65239	Credit Suisse AG - ICBC R Bear CBBC Nov 2019 A	60,000,000	2019/01/30	2019/11/28	15.00
65249	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 Z	200,000,000	2019/01/30	2020/09/29	50.00
65110	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 B	200,000,000	2019/01/30	2019/05/30	50.00
65112	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 C	200,000,000	2019/01/30	2019/05/30	50.00
65246	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 D	200,000,000	2019/01/30	2019/05/30	50.00
65250	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 F	100,000,000	2019/01/30	2019/05/02	25.00
65142	HK Bank - Conch Cement R Bull CBBC Sep 2019 A	40,000,000	2019/01/30	2019/09/16	10.00
65141	HK Bank - Bank of China R Bull CBBC Oct 2019 B	100,000,000	2019/01/30	2019/10/28	25.00
65135	HK Bank - CC Bank R Bull CBBC Aug 2019 A	100,000,000	2019/01/30	2019/08/05	25.00
65125	HK Bank - HSI R Bear CBBC Jul 2019 G	120,000,000	2019/01/30	2019/07/30	30.00
65138	HK Bank - ICBC R Bull CBBC Jul 2019 A	100,000,000	2019/01/30	2019/07/29	25.00
65133	HK Bank - Tencent R Bear CBBC Oct 2019 A	50,000,000	2019/01/30	2019/10/08	12.50
65178	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 A	120,000,000	2019/01/30	2020/10/29	30.00
65179	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 B	120,000,000	2019/01/30	2020/10/29	30.00
65185	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 P	120,000,000	2019/01/30	2019/04/29	30.00
65187	Haitong Int'l Sec - HSI R Bear CBBC Apr 2019 T	120,000,000	2019/01/30	2019/04/29	30.00
65205	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 W	200,000,000	2019/01/30	2021/01/28	50.00
65192	J P Morgan SP BV - HSI R Bear CBBC May 2019 B	200,000,000	2019/01/30	2019/05/30	50.00
65202	J P Morgan SP BV - HSI R Bear CBBC May 2019 G	200,000,000	2019/01/30	2019/05/30	50.00
65195	J P Morgan SP BV - Tencent R Bear CBBC May 2019 W	50,000,000	2019/01/30	2019/05/10	12.50
65145	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 S	26,200,000	2019/01/30	2019/05/07	10.01

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65146	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 J	25,800,000	2019/01/30	2019/05/07	10.04
65253	SG Issuer - China Mobile R Bear CBBC Jun 2019 D	100,000,000	2019/01/30	2019/06/13	25.00
65311	SG Issuer - China Mobile R Bear CBBC Jul 2019 A	100,000,000	2019/01/30	2019/07/19	25.00
65303	SG Issuer - China Life R Bear CBBC Sep 2019 B	40,000,000	2019/01/30	2019/09/30	20.00
65338	SG Issuer - HSI R Bull CBBC Mar 2021 G	200,000,000	2019/01/30	2021/03/30	50.00
65340	SG Issuer - HSI R Bull CBBC Apr 2021 G	200,000,000	2019/01/30	2021/04/29	50.00
65298	SG Issuer - HSI R Bull CBBC May 2021 B	200,000,000	2019/01/30	2021/05/28	50.00
65277	SG Issuer - HSI R Bear CBBC Apr 2019 N	200,000,000	2019/01/30	2019/04/29	50.00
65320	SG Issuer - HSI R Bear CBBC Apr 2019 P	400,000,000	2019/01/30	2019/04/29	100.00
65321	SG Issuer - HSI R Bear CBBC May 2019 B	200,000,000	2019/01/30	2019/05/30	50.00
65323	SG Issuer - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/01/30	2019/07/30	50.00
65328	SG Issuer - HSI R Bear CBBC Aug 2019 J	400,000,000	2019/01/30	2019/08/29	100.00
65278	SG Issuer - HSI R Bear CBBC Sep 2019 H	400,000,000	2019/01/30	2019/09/27	100.00
65265	SG Issuer - ICBC R Bear CBBC Jul 2019 B	60,000,000	2019/01/30	2019/07/30	15.00
65271	SG Issuer - Ping An R Bull CBBC Jul 2019 F	100,000,000	2019/01/30	2019/07/29	25.00
65319	SG Issuer - Sands China R Bear CBBC Aug 2019 D	80,000,000	2019/01/30	2019/08/20	20.00
65273	SG Issuer - Tencent R Bull CBBC May 2019 B	80,000,000	2019/01/30	2019/05/29	20.00
65275	SG Issuer - Tencent R Bull CBBC Jun 2019 U	80,000,000	2019/01/30	2019/06/05	20.00
65301	SG Issuer - Tencent R Bear CBBC Apr 2019 Z	80,000,000	2019/01/30	2019/04/30	20.00
65302	SG Issuer - Tencent R Bear CBBC May 2019 U	80,000,000	2019/01/30	2019/05/03	20.00
65150	UBS AG - HSI R Bull CBBC Feb 2021 N	200,000,000	2019/01/30	2021/02/25	50.00
65151	UBS AG - HSI R Bull CBBC Feb 2021 O	200,000,000	2019/01/30	2021/02/25	50.00
65153	UBS AG - HSI R Bull CBBC Feb 2021 P	200,000,000	2019/01/30	2021/02/25	50.00
65175	UBS AG - HSI R Bear CBBC Apr 2019 D	200,000,000	2019/01/30	2019/04/29	52.00
65163	UBS AG - HSI R Bear CBBC Apr 2019 W	200,000,000	2019/01/30	2019/04/29	50.00
65167	UBS AG - HSI R Bear CBBC Apr 2019 X	200,000,000	2019/01/30	2019/04/29	50.00
65170	UBS AG - HSI R Bear CBBC Apr 2019 Y	200,000,000	2019/01/30	2019/04/29	50.00
65171	UBS AG - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/30	2019/05/30	50.00
65173	UBS AG - HSI R Bear CBBC May 2019 F	200,000,000	2019/01/30	2019/05/30	50.00
65172	UBS AG - Tencent R Bear CBBC Jun 2019 F	40,000,000	2019/01/30	2019/06/18	10.00
65092	Bank Vontobel - Galaxy Ent R Bull CBBC Nov 2019 C	40,000,000	2019/01/30	2019/11/11	10.04
65077	Bank Vontobel - HSI N Bear CBBC May 2019 F	80,000,000	2019/01/30	2019/05/30	20.08
65076	Bank Vontobel - HSI N Bear CBBC Jun 2019 B	80,000,000	2019/01/30	2019/06/27	22.48
65081	Bank Vontobel - HSI R Bull CBBC Jul 2019 B	80,000,000	2019/01/30	2019/07/30	20.08
65078	Bank Vontobel - HSI R Bear CBBC May 2019 G	80,000,000	2019/01/30	2019/05/30	20.08
65090	Bank Vontobel - Tencent R Bear CBBC Jul 2019 H	40,000,000	2019/01/30	2019/07/19	10.04
65399	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 S	250,000,000	2019/01/31	2020/10/29	62.50
65468	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 L	250,000,000	2019/01/31	2020/12/30	62.50
65466	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 E	250,000,000	2019/01/31	2019/05/30	62.50
65467	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 F	250,000,000	2019/01/31	2019/05/30	62.50
65385	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 I	50,000,000	2019/01/31	2019/06/27	12.50
65621	Credit Suisse AG - Conch Cement R Bull CBBC Nov 2019 A	60,000,000	2019/01/31	2019/11/28	15.00
65626	Credit Suisse AG - Conch Cement R Bear CBBC Nov 2019 A	60,000,000	2019/01/31	2019/11/28	15.00
65616	Credit Suisse AG - CM Bank R Bull CBBC Nov 2019 B	70,000,000	2019/01/31	2019/11/28	17.50
65629	Credit Suisse AG - HSI R Bull CBBC Jan 2021 N	200,000,000	2019/01/31	2021/01/28	50.00

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65603	Credit Suisse AG - HSI R Bull CBBC Feb 2021 P	300,000,000	2019/01/31	2021/02/25	75.00
65601	Credit Suisse AG - HSI R Bear CBBC Jun 2019 Z	300,000,000	2019/01/31	2019/06/27	75.00
65612	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 M	50,000,000	2019/01/31	2019/11/21	12.50
65615	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 J	50,000,000	2019/01/31	2019/11/22	12.50
65599	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC May 2019 G	100,000,000	2019/01/31	2019/05/10	25.00
65589	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 A	200,000,000	2019/01/31	2020/09/29	50.00
65588	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/31	2019/05/30	50.00
65591	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 G	100,000,000	2019/01/31	2019/12/30	25.00
65341	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 G	100,000,000	2019/01/31	2019/05/02	25.00
65597	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 P	80,000,000	2019/01/31	2019/05/02	20.00
65346	HK Bank - AIA R Bull CBBC Sep 2019 A	80,000,000	2019/01/31	2019/09/30	20.00
65357	HK Bank - China Mobile R Bull CBBC Oct 2019 A	50,000,000	2019/01/31	2019/10/08	12.50
65349	HK Bank - HKEX R Bear CBBC Aug 2019 A	40,000,000	2019/01/31	2019/08/05	17.20
65343	HK Bank - HSI R Bull CBBC Mar 2020 T	120,000,000	2019/01/31	2020/03/30	30.00
65348	HK Bank - HSI R Bear CBBC Jul 2019 H	120,000,000	2019/01/31	2019/07/30	30.00
65383	HK Bank - Sunny Optical R Bear CBBC Jul 2019 A	40,000,000	2019/01/31	2019/07/22	10.00
65342	HK Bank - Tencent R Bull CBBC Sep 2019 A	60,000,000	2019/01/31	2019/09/02	15.00
65443	Haitong Int'l Sec - HSI R Bull CBBC Oct 2020 U	120,000,000	2019/01/31	2020/10/29	30.00
65442	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 K	120,000,000	2019/01/31	2020/11/27	30.00
65457	Haitong Int'l Sec - HSI R Bear CBBC May 2019 A	120,000,000	2019/01/31	2019/05/30	30.00
65464	Haitong Int'l Sec - HSI R Bear CBBC May 2019 B	120,000,000	2019/01/31	2019/05/30	30.00
65534	J P Morgan SP BV - HKEX R Bear CBBC May 2019 H	40,000,000	2019/01/31	2019/05/10	10.00
65499	J P Morgan SP BV - HSI R Bull CBBC Oct 2020 J	200,000,000	2019/01/31	2020/10/29	50.00
65513	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 X	200,000,000	2019/01/31	2021/01/28	50.00
65539	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 Y	200,000,000	2019/01/31	2021/01/28	50.00
65529	J P Morgan SP BV - HSI R Bear CBBC May 2019 I	200,000,000	2019/01/31	2019/05/30	50.00
65530	J P Morgan SP BV - HSI R Bear CBBC May 2019 L	200,000,000	2019/01/31	2019/05/30	50.00
65536	J P Morgan SP BV - ICBC R Bull CBBC Jul 2019 A	100,000,000	2019/01/31	2019/07/12	25.00
65491	J P Morgan SP BV - Tencent R Bull CBBC May 2019 P	50,000,000	2019/01/31	2019/05/10	12.50
65531	J P Morgan SP BV - Tencent R Bear CBBC May 2019 X	50,000,000	2019/01/31	2019/05/17	12.50
65533	J P Morgan SP BV - Tencent R Bear CBBC May 2019 Y	50,000,000	2019/01/31	2019/05/17	12.50
65345	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 I	21,000,000	2019/01/31	2019/06/05	10.02
65344	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 K	27,400,000	2019/01/31	2019/05/03	10.00
65554	SG Issuer - AIA R Bear CBBC Jul 2019 G	60,000,000	2019/01/31	2019/07/23	15.00
65575	SG Issuer - China Mobile R Bull CBBC Oct 2019 A	100,000,000	2019/01/31	2019/10/30	25.00
65574	SG Issuer - China Mobile R Bear CBBC Sep 2019 A	100,000,000	2019/01/31	2019/09/27	25.00
65579	SG Issuer - Geely Auto R Bear CBBC Sep 2019 C	40,000,000	2019/01/31	2019/09/20	22.80
65580	SG Issuer - HKEX R Bear CBBC Jul 2019 G	50,000,000	2019/01/31	2019/07/10	12.50
65551	SG Issuer - HSI R Bull CBBC Mar 2021 H	200,000,000	2019/01/31	2021/03/30	50.00
65568	SG Issuer - HSI R Bull CBBC Mar 2021 I	400,000,000	2019/01/31	2021/03/30	100.00
65572	SG Issuer - HSI R Bull CBBC Apr 2021 H	200,000,000	2019/01/31	2021/04/29	50.00
65541	SG Issuer - HSI R Bear CBBC May 2019 E	200,000,000	2019/01/31	2019/05/30	50.00
65567	SG Issuer - HSI R Bear CBBC May 2019 F	200,000,000	2019/01/31	2019/05/30	50.00
65543	SG Issuer - HSI R Bear CBBC May 2019 J	200,000,000	2019/01/31	2019/05/30	50.00
65560	SG Issuer - HSI R Bear CBBC May 2019 U	200,000,000	2019/01/31	2019/05/30	50.00

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65565	SG Issuer - HSI R Bear CBBC May 2019 V	200,000,000	2019/01/31	2019/05/30	50.00
65561	SG Issuer - HSI R Bear CBBC May 2019 Z	200,000,000	2019/01/31	2019/05/30	50.00
65582	SG Issuer - Ping An R Bull CBBC Jul 2019 G	100,000,000	2019/01/31	2019/07/29	25.00
65555	SG Issuer - Ping An R Bear CBBC Jul 2019 E	100,000,000	2019/01/31	2019/07/18	25.00
65583	SG Issuer - Ping An R Bear CBBC Aug 2019 B	100,000,000	2019/01/31	2019/08/12	25.00
65552	SG Issuer - Tencent R Bull CBBC May 2019 C	80,000,000	2019/01/31	2019/05/28	20.00
65553	SG Issuer - Tencent R Bull CBBC Jun 2019 V	80,000,000	2019/01/31	2019/06/06	20.00
65557	SG Issuer - Tencent R Bear CBBC May 2019 V	80,000,000	2019/01/31	2019/05/07	20.00
65587	SG Issuer - Tencent R Bear CBBC May 2019 W	80,000,000	2019/01/31	2019/05/09	20.00
65423	UBS AG - CC Bank R Bull CBBC Sep 2019 C	80,000,000	2019/01/31	2019/09/30	20.00
65437	UBS AG - HSI R Bull CBBC Feb 2021 Q	200,000,000	2019/01/31	2021/02/25	50.00
65438	UBS AG - HSI R Bull CBBC Feb 2021 R	200,000,000	2019/01/31	2021/02/25	50.00
65439	UBS AG - HSI R Bull CBBC Feb 2021 S	200,000,000	2019/01/31	2021/02/25	50.00
65441	UBS AG - HSI R Bull CBBC Feb 2021 T	200,000,000	2019/01/31	2021/02/25	50.00
65430	UBS AG - HSI R Bear CBBC May 2019 M	200,000,000	2019/01/31	2019/05/30	50.00
65433	UBS AG - HSI R Bear CBBC May 2019 N	200,000,000	2019/01/31	2019/05/30	50.00
65432	UBS AG - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/01/31	2019/06/27	50.00
65401	UBS AG - Tencent R Bull CBBC May 2019 G	40,000,000	2019/01/31	2019/05/03	10.40
65402	UBS AG - Tencent R Bear CBBC May 2019 L	40,000,000	2019/01/31	2019/05/02	10.00
65481	Bank Vontobel - HSI N Bear CBBC Sep 2019 C	80,000,000	2019/01/31	2019/09/27	20.08
65488	Bank Vontobel - HSI N Bear CBBC Sep 2019 D	80,000,000	2019/01/31	2019/09/27	25.68
65470	Bank Vontobel - HSI R Bear CBBC Jun 2019 C	80,000,000	2019/01/31	2019/06/27	20.08
65471	Bank Vontobel - HSI R Bear CBBC Sep 2019 A	80,000,000	2019/01/31	2019/09/27	20.08
65478	Bank Vontobel - HSI R Bear CBBC Sep 2019 B	80,000,000	2019/01/31	2019/09/27	24.88
65636	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 F	150,000,000	2019/02/01	2019/12/30	37.95
65637	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 E	150,000,000	2019/02/01	2019/05/30	37.50
65639	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 T	250,000,000	2019/02/01	2020/10/29	62.50
65641	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 U	250,000,000	2019/02/01	2020/10/29	62.50
65644	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 V	250,000,000	2019/02/01	2020/10/29	62.50
65946	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2020 W	250,000,000	2019/02/01	2020/10/29	62.50
65959	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 M	240,000,000	2019/02/01	2020/12/30	60.00
65730	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 B	250,000,000	2019/02/01	2019/05/30	62.50
65632	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 L	250,000,000	2019/02/01	2019/05/30	62.50
65633	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 M	250,000,000	2019/02/01	2019/05/30	62.50
65728	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 N	250,000,000	2019/02/01	2019/05/30	62.50
65737	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2019 O	250,000,000	2019/02/01	2019/05/30	62.50
65970	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 O	240,000,000	2019/02/01	2019/06/27	60.00
65726	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 R	50,000,000	2019/02/01	2019/07/30	12.50
66248	Credit Suisse AG - Bank of China R Bull CBBC Dec 2019 A	70,000,000	2019/02/01	2019/12/20	17.50
66249	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 H	40,000,000	2019/02/01	2019/11/22	10.00
66262	Credit Suisse AG - HSI R Bull CBBC Sep 2020 X	200,000,000	2019/02/01	2020/09/29	50.00
66259	Credit Suisse AG - HSI R Bull CBBC Jan 2021 E	200,000,000	2019/02/01	2021/01/28	50.00
66264	Credit Suisse AG - HSI R Bull CBBC Jan 2021 F	200,000,000	2019/02/01	2021/01/28	50.00
66269	Credit Suisse AG - HSI R Bull CBBC Feb 2021 Q	300,000,000	2019/02/01	2021/02/25	75.00
66184	Credit Suisse AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/01	2019/05/30	50.00

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66186	Credit Suisse AG - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/01	2019/05/30	50.00
66215	Credit Suisse AG - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/01	2019/05/30	50.00
66205	Credit Suisse AG - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/01	2019/05/30	50.00
66209	Credit Suisse AG - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/01	2019/05/30	50.00
66182	Credit Suisse AG - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/01	2019/05/30	50.00
66206	Credit Suisse AG - HSI R Bear CBBC Jun 2019 A	300,000,000	2019/02/01	2019/06/27	75.00
66223	Credit Suisse AG - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/02/01	2019/06/27	50.00
66227	Credit Suisse AG - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/02/01	2019/06/27	50.00
66217	Credit Suisse AG - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/02/01	2019/06/27	50.00
66228	Credit Suisse AG - HSI R Bear CBBC Jul 2019 A	200,000,000	2019/02/01	2019/07/30	50.00
66232	Credit Suisse AG - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/02/01	2019/07/30	50.00
66245	Credit Suisse AG - ICBC R Bull CBBC Dec 2019 B	70,000,000	2019/02/01	2019/12/20	17.50
66235	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 P	80,000,000	2019/02/01	2019/11/29	20.00
66198	Credit Suisse AG - Ping An R Bear CBBC Nov 2019 E	80,000,000	2019/02/01	2019/11/28	20.00
66241	Credit Suisse AG - Sunny Optical R Bull CBBC Dec 2019 B	40,000,000	2019/02/01	2019/12/20	10.00
66252	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 N	50,000,000	2019/02/01	2019/11/28	12.50
66256	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 H	50,000,000	2019/02/01	2019/12/13	12.50
66258	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 E	50,000,000	2019/02/01	2019/12/13	22.00
65678	Bank of East Asia - China Mobile R Bull CBBC Aug 2019 A	60,000,000	2019/02/01	2019/08/15	15.00
65680	Bank of East Asia - China Mobile R Bull CBBC Aug 2019 B	60,000,000	2019/02/01	2019/08/15	15.00
65682	Bank of East Asia - China Life R Bull CBBC Sep 2019 A	25,000,000	2019/02/01	2019/09/06	10.00
65677	Bank of East Asia - Geely Auto R Bull CBBC Aug 2019 H	40,000,000	2019/02/01	2019/08/15	10.00
65681	Bank of East Asia - Galaxy Ent R Bull CBBC Sep 2019 A	10,000,000	2019/02/01	2019/09/06	10.00
65684	Bank of East Asia - HKEX R Bear CBBC Jul 2019 A	25,000,000	2019/02/01	2019/07/08	10.00
65673	Bank of East Asia - Ping An R Bull CBBC Aug 2019 H	100,000,000	2019/02/01	2019/08/15	25.00
66063	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Jun 2019 T	100,000,000	2019/02/01	2019/06/25	25.00
66061	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Jul 2019 R	100,000,000	2019/02/01	2019/07/26	25.00
66038	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 B	200,000,000	2019/02/01	2020/09/29	50.00
66055	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 C	200,000,000	2019/02/01	2020/09/29	50.00
66060	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 D	200,000,000	2019/02/01	2020/09/29	50.00
65648	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 F	200,000,000	2019/02/01	2019/05/30	50.00
65661	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/01	2019/05/30	50.00
66037	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/01	2019/05/30	50.00
66058	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 I	100,000,000	2019/02/01	2019/05/02	25.00
66050	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 J	100,000,000	2019/02/01	2019/05/02	25.00
65650	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 Q	80,000,000	2019/02/01	2019/05/02	20.00
65707	HK Bank - Country Garden R Bear CBBC Aug 2019 A	40,000,000	2019/02/01	2019/08/05	10.00
65697	HK Bank - HSCEI R Bull CBBC Feb 2020 A	60,000,000	2019/02/01	2020/02/27	15.00
65709	HK Bank - HSI R Bull CBBC Feb 2020 B	120,000,000	2019/02/01	2020/02/27	30.00
65662	HK Bank - HSI R Bear CBBC Jul 2019 I	120,000,000	2019/02/01	2019/07/30	30.00
65711	HK Bank - HSI R Bear CBBC Sep 2019 D	120,000,000	2019/02/01	2019/09/27	30.00
65685	HK Bank - HSI R Bear CBBC Nov 2019 F	120,000,000	2019/02/01	2019/11/28	30.00
65704	HK Bank - Ping An R Bull CBBC Sep 2019 A	100,000,000	2019/02/01	2019/09/30	25.00
65702	HK Bank - Sunny Optical R Bull CBBC Aug 2019 A	40,000,000	2019/02/01	2019/08/05	10.00
65705	HK Bank - Sunny Optical R Bear CBBC Aug 2019 A	40,000,000	2019/02/01	2019/08/19	10.00

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65701	HK Bank - Tencent R Bull CBBC Aug 2019 E	60,000,000	2019/02/01	2019/08/26	15.00
65754	Haitong Int'l Sec - CNOOC R Bull CBBC Jul 2019 A	40,000,000	2019/02/01	2019/07/19	10.00
65774	Haitong Int'l Sec - CPIC R Bull CBBC Jul 2019 A	40,000,000	2019/02/01	2019/07/29	10.00
65761	Haitong Int'l Sec - CSPC Pharma R Bull CBBC Jul 2019 A	40,000,000	2019/02/01	2019/07/29	10.00
65790	Haitong Int'l Sec - CSPC Pharma R Bear CBBC Sep 2019 A	40,000,000	2019/02/01	2019/09/03	10.00
65779	Haitong Int'l Sec - Evergrande R Bull CBBC Aug 2019 B	40,000,000	2019/02/01	2019/08/16	10.00
65749	Haitong Int'l Sec - Geely Auto R Bull CBBC Jul 2019 B	40,000,000	2019/02/01	2019/07/30	10.00
65751	Haitong Int'l Sec - Geely Auto R Bull CBBC Jul 2019 C	40,000,000	2019/02/01	2019/07/29	10.00
65998	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 A	120,000,000	2019/02/01	2020/12/30	30.00
65999	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 B	120,000,000	2019/02/01	2020/12/30	30.00
66002	Haitong Int'l Sec - HSI R Bear CBBC May 2019 C	120,000,000	2019/02/01	2019/05/30	30.00
66009	Haitong Int'l Sec - HSI R Bear CBBC May 2019 D	120,000,000	2019/02/01	2019/05/30	30.00
66012	Haitong Int'l Sec - HSI R Bear CBBC May 2019 E	120,000,000	2019/02/01	2019/05/30	30.00
65757	Haitong Int'l Sec - ICBC R Bull CBBC Jul 2019 A	70,000,000	2019/02/01	2019/07/29	17.50
65789	Haitong Int'l Sec - PetroChina R Bear CBBC Aug 2019 A	80,000,000	2019/02/01	2019/08/22	20.00
65760	Haitong Int'l Sec - Ping An R Bull CBBC Aug 2019 A	60,000,000	2019/02/01	2019/08/02	15.00
65778	Haitong Int'l Sec - Sino Biopharm R Bull CBBC Jul 2019 A	70,000,000	2019/02/01	2019/07/09	17.50
65793	Haitong Int'l Sec - Sino Biopharm R Bear CBBC Aug 2019 A	70,000,000	2019/02/01	2019/08/13	17.50
65765	Haitong Int'l Sec - Sunny Optical R Bull CBBC Jul 2019 D	60,000,000	2019/02/01	2019/07/30	15.00
65791	Haitong Int'l Sec - Sunny Optical R Bear CBBC Sep 2019 C	60,000,000	2019/02/01	2019/09/09	15.00
65645	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2019 L	40,000,000	2019/02/01	2019/07/30	10.00
65794	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2019 M	40,000,000	2019/02/01	2019/07/30	10.00
65797	Haitong Int'l Sec - Tencent R Bear CBBC May 2019 A	40,000,000	2019/02/01	2019/05/30	10.00
65798	Haitong Int'l Sec - Tencent R Bear CBBC May 2019 B	40,000,000	2019/02/01	2019/05/30	10.00
65994	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 A	200,000,000	2019/02/01	2021/01/28	50.00
65995	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 B	200,000,000	2019/02/01	2021/01/28	50.00
65993	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 Z	200,000,000	2019/02/01	2021/01/28	50.00
65975	J P Morgan SP BV - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/01	2019/05/30	50.00
65976	J P Morgan SP BV - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/01	2019/05/30	50.00
65986	J P Morgan SP BV - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/01	2019/05/30	50.00
65987	J P Morgan SP BV - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/01	2019/05/30	50.00
65988	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/02/01	2019/06/27	50.00
65989	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/02/01	2019/06/27	50.00
65991	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 A	200,000,000	2019/02/01	2019/07/30	50.00
65992	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/02/01	2019/07/30	52.00
65996	J P Morgan SP BV - Tencent R Bull CBBC May 2019 Q	50,000,000	2019/02/01	2019/05/17	12.50
65670	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 J	20,300,000	2019/02/01	2019/06/06	10.01
65668	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 L	25,300,000	2019/02/01	2019/05/09	10.02
66134	SG Issuer - CC Bank R Bull CBBC Aug 2019 Y	100,000,000	2019/02/01	2019/08/09	25.00
66081	SG Issuer - CC Bank R Bear CBBC Jul 2019 A	100,000,000	2019/02/01	2019/07/30	25.00
66138	SG Issuer - China Life R Bull CBBC Aug 2019 B	40,000,000	2019/02/01	2019/08/09	10.00
66101	SG Issuer - China Life R Bear CBBC Sep 2019 C	40,000,000	2019/02/01	2019/09/30	10.00
66113	SG Issuer - Geely Auto R Bear CBBC Sep 2019 D	40,000,000	2019/02/01	2019/09/30	10.00
66160	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 D	100,000,000	2019/02/01	2019/10/30	25.00
66162	SG Issuer - HKEX R Bull CBBC Jun 2019 C	50,000,000	2019/02/01	2019/06/28	12.50

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66163	SG Issuer - HSBC R Bull CBBC Nov 2019 C	100,000,000	2019/02/01	2019/11/28	25.00
66128	SG Issuer - HSI R Bull CBBC Mar 2021 J	200,000,000	2019/02/01	2021/03/30	50.00
66129	SG Issuer - HSI R Bull CBBC Mar 2021 K	200,000,000	2019/02/01	2021/03/30	50.00
66130	SG Issuer - HSI R Bull CBBC Apr 2021 I	200,000,000	2019/02/01	2021/04/29	50.00
66133	SG Issuer - HSI R Bull CBBC Apr 2021 J	200,000,000	2019/02/01	2021/04/29	50.00
66085	SG Issuer - HSI R Bull CBBC May 2021 C	400,000,000	2019/02/01	2021/05/28	100.00
66068	SG Issuer - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/01	2019/05/30	50.00
66071	SG Issuer - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/01	2019/05/30	50.00
66121	SG Issuer - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/01	2019/05/30	50.00
66122	SG Issuer - HSI R Bear CBBC May 2019 W	200,000,000	2019/02/01	2019/05/30	50.00
66123	SG Issuer - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/01	2019/05/30	50.00
66078	SG Issuer - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/02/01	2019/07/30	50.00
66124	SG Issuer - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/02/01	2019/07/30	50.00
66125	SG Issuer - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/02/01	2019/07/30	50.00
66079	SG Issuer - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/02/01	2019/07/30	50.00
66073	SG Issuer - HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/02/01	2019/07/30	50.00
66080	SG Issuer - HSI R Bear CBBC Aug 2019 K	400,000,000	2019/02/01	2019/08/29	100.00
66126	SG Issuer - HSI R Bear CBBC Aug 2019 L	200,000,000	2019/02/01	2019/08/29	50.00
66127	SG Issuer - HSI R Bear CBBC Aug 2019 M	200,000,000	2019/02/01	2019/08/29	50.00
66164	SG Issuer - ICBC R Bull CBBC Aug 2019 K	60,000,000	2019/02/01	2019/08/09	15.00
66117	SG Issuer - Ping An R Bull CBBC Sep 2019 A	100,000,000	2019/02/01	2019/09/30	25.00
66177	SG Issuer - Tencent R Bull CBBC Jun 2019 W	80,000,000	2019/02/01	2019/06/17	20.00
66180	SG Issuer - Tencent R Bull CBBC Jun 2019 X	80,000,000	2019/02/01	2019/06/28	20.00
66082	SG Issuer - Tencent R Bear CBBC May 2019 X	80,000,000	2019/02/01	2019/05/08	20.00
66166	SG Issuer - Tencent R Bear CBBC May 2019 Y	80,000,000	2019/02/01	2019/05/03	20.00
66170	SG Issuer - Tencent R Bear CBBC May 2019 Z	80,000,000	2019/02/01	2019/05/06	20.00
66083	SG Issuer - Tencent R Bear CBBC Jun 2019 F	80,000,000	2019/02/01	2019/06/10	20.00
66084	SG Issuer - Tencent R Bear CBBC Jun 2019 G	80,000,000	2019/02/01	2019/06/21	20.00
66181	SG Issuer - Tencent R Bear CBBC Jun 2019 H	80,000,000	2019/02/01	2019/06/28	44.00
65944	UBS AG - China Life R Bull CBBC Sep 2019 A	40,000,000	2019/02/01	2019/09/23	10.00
65945	UBS AG - China Life R Bear CBBC May 2019 A	40,000,000	2019/02/01	2019/05/06	13.60
65943	UBS AG - Geely Auto R Bull CBBC Sep 2019 B	80,000,000	2019/02/01	2019/09/18	20.00
65922	UBS AG - HSI R Bull CBBC Jan 2021 Z	200,000,000	2019/02/01	2021/01/28	50.00
65877	UBS AG - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/02/01	2021/02/25	50.00
65927	UBS AG - HSI R Bull CBBC Feb 2021 V	200,000,000	2019/02/01	2021/02/25	50.00
65813	UBS AG - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/01	2019/05/30	50.00
65839	UBS AG - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/01	2019/05/30	50.00
65799	UBS AG - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/01	2019/05/30	50.00
65800	UBS AG - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/01	2019/05/30	50.00
65801	UBS AG - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/01	2019/05/30	50.00
65804	UBS AG - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/01	2019/05/30	50.00
65935	UBS AG - Ping An R Bull CBBC Oct 2019 A	100,000,000	2019/02/01	2019/10/28	25.00
65936	UBS AG - Ping An R Bear CBBC May 2019 D	100,000,000	2019/02/01	2019/05/17	25.00
65934	UBS AG - Tencent R Bull CBBC Jun 2019 P	40,000,000	2019/02/01	2019/06/25	10.00
65842	UBS AG - Tencent R Bear CBBC Jun 2019 G	40,000,000	2019/02/01	2019/06/04	10.00

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65855	UBS AG - Tencent R Bear CBBC Jun 2019 H	40,000,000	2019/02/01	2019/06/06	10.00
66029	Bank Vontobel - China Life R Bull CBBC Oct 2019 D	40,000,000	2019/02/01	2019/10/25	10.04
66027	Bank Vontobel - China Life R Bear CBBC Oct 2019 A	40,000,000	2019/02/01	2019/10/25	20.04
66034	Bank Vontobel - HKEX R Bull CBBC Sep 2019 D	40,000,000	2019/02/01	2019/09/20	10.04
66016	Bank Vontobel - HSI R Bull CBBC Jul 2019 C	80,000,000	2019/02/01	2019/07/30	20.08
66020	Bank Vontobel - HSI R Bull CBBC Jul 2019 D	80,000,000	2019/02/01	2019/07/30	20.08
66360	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 G	150,000,000	2019/02/04	2019/12/30	38.55
66374	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 F	150,000,000	2019/02/04	2019/05/30	38.10
66377	BOCI Asia Ltd. - Tencent R Bear CBBC Jun 2019 C	40,000,000	2019/02/04	2019/06/18	10.32
66406	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 N	250,000,000	2019/02/04	2020/12/30	62.50
66492	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 O	250,000,000	2019/02/04	2020/12/30	62.50
66534	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 P	240,000,000	2019/02/04	2020/12/30	60.00
66402	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 P	250,000,000	2019/02/04	2019/06/27	62.50
66403	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Q	250,000,000	2019/02/04	2019/06/27	62.50
66404	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 R	250,000,000	2019/02/04	2019/06/27	62.50
66535	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Oct 2019 D	200,000,000	2019/02/04	2019/10/30	50.00
66541	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 N	50,000,000	2019/02/04	2019/07/30	12.50
66537	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 N	50,000,000	2019/02/04	2019/11/28	12.50
66572	Credit Suisse AG - CC Bank R Bull CBBC Dec 2019 A	70,000,000	2019/02/04	2019/12/13	17.50
66565	Credit Suisse AG - HSI R Bull CBBC Aug 2020 H	200,000,000	2019/02/04	2020/08/28	50.00
66563	Credit Suisse AG - HSI R Bull CBBC Sep 2020 M	200,000,000	2019/02/04	2020/09/29	50.00
66569	Credit Suisse AG - HSI R Bull CBBC Sep 2020 N	200,000,000	2019/02/04	2020/09/29	50.00
66557	Credit Suisse AG - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/02/04	2021/01/28	50.00
66545	Credit Suisse AG - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/04	2019/05/30	50.00
66577	Credit Suisse AG - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/04	2019/05/30	50.00
66551	Credit Suisse AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/04	2019/05/30	50.00
66578	Credit Suisse AG - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/02/04	2019/06/27	50.00
66576	Credit Suisse AG - HSI R Bear CBBC Jul 2019 C	300,000,000	2019/02/04	2019/07/30	75.00
66579	Credit Suisse AG - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/02/04	2019/07/30	50.00
66581	Credit Suisse AG - HSI R Bear CBBC Jul 2019 E	300,000,000	2019/02/04	2019/07/30	75.00
66570	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 I	50,000,000	2019/02/04	2019/12/12	12.50
66584	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 E	200,000,000	2019/02/04	2020/09/29	50.00
66588	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 S	200,000,000	2019/02/04	2020/09/29	50.00
66593	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 U	200,000,000	2019/02/04	2020/09/29	50.00
66270	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/04	2019/05/30	50.00
66285	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/04	2019/05/30	50.00
66595	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/04	2019/05/30	50.00
66596	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/04	2019/05/30	50.00
66589	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2019 X	100,000,000	2019/02/04	2019/07/25	25.00
66587	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 L	100,000,000	2019/02/04	2019/05/06	25.00
66271	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 R	80,000,000	2019/02/04	2019/05/06	20.00
66477	HK Bank - Conch Cement R Bear CBBC Jul 2019 A	40,000,000	2019/02/04	2019/07/29	10.00
66489	HK Bank - CM Bank R Bear CBBC Sep 2019 A	40,000,000	2019/02/04	2019/09/30	10.00
66484	HK Bank - CM Bank R Bear CBBC Oct 2019 A	40,000,000	2019/02/04	2019/10/14	10.00
66474	HK Bank - CPIC R Bear CBBC Sep 2019 A	40,000,000	2019/02/04	2019/09/23	10.00

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66480	HK Bank - HKEX R Bull CBBC Sep 2019 B	50,000,000	2019/02/04	2019/09/23	12.50
66413	HK Bank - HSCEI R Bear CBBC Nov 2019 A	50,000,000	2019/02/04	2019/11/28	12.50
66409	HK Bank - HSI R Bull CBBC Mar 2020 U	100,000,000	2019/02/04	2020/03/30	25.00
66307	HK Bank - HSI R Bear CBBC Jul 2019 J	120,000,000	2019/02/04	2019/07/30	30.00
66411	HK Bank - HSI R Bear CBBC Oct 2019 K	120,000,000	2019/02/04	2019/10/30	30.00
66412	HK Bank - HSI R Bear CBBC Nov 2019 G	120,000,000	2019/02/04	2019/11/28	30.00
66478	HK Bank - Ping An R Bull CBBC Oct 2019 A	100,000,000	2019/02/04	2019/10/08	25.00
66421	HK Bank - Tencent R Bull CBBC Sep 2019 B	60,000,000	2019/02/04	2019/09/16	15.00
66288	HK Bank - Tencent R Bear CBBC Jul 2019 L	50,000,000	2019/02/04	2019/07/22	12.50
66416	HK Bank - Tencent R Bear CBBC Oct 2019 B	50,000,000	2019/02/04	2019/10/08	21.00
66420	HK Bank - Tencent R Bear CBBC Oct 2019 C	50,000,000	2019/02/04	2019/10/28	27.50
66317	Haitong Int'l Sec - AAC Tech R Bull CBBC Jul 2019 A	90,000,000	2019/02/04	2019/07/22	22.50
66356	Haitong Int'l Sec - BOCL R Bear CBBC Aug 2019 A	120,000,000	2019/02/04	2019/08/29	30.00
66312	Haitong Int'l Sec - Country Garden R Bull CBBC Aug 2019 A	40,000,000	2019/02/04	2019/08/01	10.00
66340	Haitong Int'l Sec - CM Bank R Bear CBBC Nov 2019 A	130,000,000	2019/02/04	2019/11/27	32.50
66326	Haitong Int'l Sec - CNOOC R Bear CBBC Aug 2019 A	40,000,000	2019/02/04	2019/08/19	10.00
66321	Haitong Int'l Sec - Sinopec Corp R Bear CBBC Aug 2019 A	70,000,000	2019/02/04	2019/08/20	17.50
66320	Haitong Int'l Sec - HSBC R Bear CBBC Jul 2019 A	70,000,000	2019/02/04	2019/07/19	17.50
66524	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 L	120,000,000	2019/02/04	2020/11/27	30.00
66529	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 M	120,000,000	2019/02/04	2020/11/27	30.00
66311	Haitong Int'l Sec - HSI R Bear CBBC May 2019 F	120,000,000	2019/02/04	2019/05/30	30.00
66530	Haitong Int'l Sec - HSI R Bear CBBC May 2019 G	120,000,000	2019/02/04	2019/05/30	30.00
66329	Haitong Int'l Sec - ICBC R Bear CBBC Sep 2019 A	70,000,000	2019/02/04	2019/09/06	17.50
66332	Haitong Int'l Sec - Sands China R Bear CBBC Sep 2019 A	110,000,000	2019/02/04	2019/09/09	27.50
66334	Haitong Int'l Sec - SUNAC R Bear CBBC Nov 2019 A	150,000,000	2019/02/04	2019/11/27	37.50
66523	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2019 A	40,000,000	2019/02/04	2019/09/13	10.00
66514	J P Morgan SP BV - China Mobile R Bull CBBC Jun 2019 B	100,000,000	2019/02/04	2019/06/21	25.00
66504	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 C	200,000,000	2019/02/04	2021/01/28	50.00
66506	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 D	200,000,000	2019/02/04	2021/01/28	50.00
66515	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 E	200,000,000	2019/02/04	2021/01/28	50.00
66501	J P Morgan SP BV - HSI R Bear CBBC May 2019 O	200,000,000	2019/02/04	2019/05/30	50.00
66507	J P Morgan SP BV - Tencent R Bull CBBC May 2019 R	50,000,000	2019/02/04	2019/05/17	12.50
66502	J P Morgan SP BV - Tencent R Bear CBBC May 2019 A	50,000,000	2019/02/04	2019/05/17	12.50
66495	J P Morgan SP BV - Tencent R Bear CBBC May 2019 Z	50,000,000	2019/02/04	2019/05/17	12.50
66378	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 K	17,400,000	2019/02/04	2019/06/28	10.06
66380	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 M	25,600,000	2019/02/04	2019/05/08	10.01
66701	SG Issuer - Conch Cement R Bull CBBC Nov 2019 A	80,000,000	2019/02/04	2019/11/08	20.00
66699	SG Issuer - AAC Tech R Bear CBBC Jul 2019 A	40,000,000	2019/02/04	2019/07/30	10.00
66700	SG Issuer - AIA R Bull CBBC Oct 2019 D	60,000,000	2019/02/04	2019/10/30	15.00
66597	SG Issuer - AIA R Bear CBBC Jul 2019 H	60,000,000	2019/02/04	2019/07/22	15.00
66705	SG Issuer - Country Garden R Bull CBBC Nov 2019 B	40,000,000	2019/02/04	2019/11/28	10.00
66704	SG Issuer - Sinopec Corp R Bull CBBC Oct 2019 A	40,000,000	2019/02/04	2019/10/11	10.00
66702	SG Issuer - Evergrande R Bull CBBC Jun 2020 A	40,000,000	2019/02/04	2020/06/08	10.00
66707	SG Issuer - Geely Auto R Bull CBBC Sep 2019 B	40,000,000	2019/02/04	2019/09/17	10.00
66598	SG Issuer - HKEX R Bear CBBC Jul 2019 H	50,000,000	2019/02/04	2019/07/22	12.50

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66708	SG Issuer - HKEX R Bear CBBC Sep 2019 B	50,000,000	2019/02/04	2019/09/27	24.75
66615	SG Issuer - HSI R Bull CBBC Feb 2021 L	200,000,000	2019/02/04	2021/02/25	50.00
66617	SG Issuer - HSI R Bull CBBC Apr 2021 K	200,000,000	2019/02/04	2021/04/29	50.00
66620	SG Issuer - HSI R Bull CBBC May 2021 D	200,000,000	2019/02/04	2021/05/28	50.00
66622	SG Issuer - HSI R Bull CBBC May 2021 E	200,000,000	2019/02/04	2021/05/28	50.00
66613	SG Issuer - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/04	2019/05/30	50.00
66637	SG Issuer - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/04	2019/05/30	50.00
66633	SG Issuer - HSI R Bear CBBC May 2019 T	200,000,000	2019/02/04	2019/05/30	50.00
66647	SG Issuer - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/02/04	2019/07/30	50.00
66658	SG Issuer - HSI R Bear CBBC Jul 2019 E	200,000,000	2019/02/04	2019/07/30	50.00
66661	SG Issuer - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/02/04	2019/07/30	50.00
66681	SG Issuer - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/02/04	2019/07/30	50.00
66682	SG Issuer - HSI R Bear CBBC Jul 2019 J	200,000,000	2019/02/04	2019/07/30	50.00
66657	SG Issuer - HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/02/04	2019/07/30	50.00
66614	SG Issuer - HSI R Bear CBBC Aug 2019 N	400,000,000	2019/02/04	2019/08/29	100.00
66686	SG Issuer - HSI R Bear CBBC Aug 2019 O	200,000,000	2019/02/04	2019/08/29	50.00
66694	SG Issuer - HSI R Bear CBBC Aug 2019 P	200,000,000	2019/02/04	2019/08/29	50.00
66696	SG Issuer - HSI R Bear CBBC Sep 2019 I	200,000,000	2019/02/04	2019/09/27	50.00
66626	SG Issuer - Ping An R Bull CBBC Oct 2019 F	100,000,000	2019/02/04	2019/10/22	25.00
66600	SG Issuer - Ping An R Bear CBBC Aug 2019 C	100,000,000	2019/02/04	2019/08/07	25.00
66712	SG Issuer - SUNAC R Bull CBBC Apr 2020 D	80,000,000	2019/02/04	2020/04/28	20.00
66605	SG Issuer - Sunny Optical R Bear CBBC Jun 2019 B	40,000,000	2019/02/04	2019/06/26	10.00
66731	SG Issuer - Tencent R Bull CBBC Jun 2019 A	80,000,000	2019/02/04	2019/06/12	20.00
66627	SG Issuer - Tencent R Bull CBBC Jun 2019 Y	80,000,000	2019/02/04	2019/06/03	20.00
66628	SG Issuer - Tencent R Bull CBBC Jun 2019 Z	80,000,000	2019/02/04	2019/06/20	20.00
66607	SG Issuer - Tencent R Bear CBBC May 2019 A	80,000,000	2019/02/04	2019/05/08	20.00
66716	SG Issuer - Tencent R Bear CBBC May 2019 C	80,000,000	2019/02/04	2019/05/06	31.20
66717	SG Issuer - Tencent R Bear CBBC May 2019 D	80,000,000	2019/02/04	2019/05/08	20.00
66466	UBS AG - HSI R Bull CBBC Oct 2020 D	200,000,000	2019/02/04	2020/10/29	50.00
66457	UBS AG - HSI R Bull CBBC Dec 2020 W	200,000,000	2019/02/04	2020/12/30	50.00
66459	UBS AG - HSI R Bull CBBC Jan 2021 D	200,000,000	2019/02/04	2021/01/28	50.00
66454	UBS AG - HSI R Bull CBBC Feb 2021 W	200,000,000	2019/02/04	2021/02/25	50.00
66455	UBS AG - HSI R Bull CBBC Feb 2021 X	200,000,000	2019/02/04	2021/02/25	50.00
66438	UBS AG - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/04	2019/05/30	50.00
66439	UBS AG - HSI R Bear CBBC May 2019 O	200,000,000	2019/02/04	2019/05/30	50.00
66443	UBS AG - HSI R Bear CBBC May 2019 P	200,000,000	2019/02/04	2019/05/30	50.00
66424	UBS AG - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/02/04	2019/06/27	50.00
66448	UBS AG - HSI R Bear CBBC Jun 2019 N	200,000,000	2019/02/04	2019/06/27	50.00
66449	UBS AG - HSI R Bear CBBC Jun 2019 O	200,000,000	2019/02/04	2019/06/27	50.00
66471	UBS AG - Tencent R Bull CBBC Jul 2019 C	40,000,000	2019/02/04	2019/07/09	10.00
66451	UBS AG - Tencent R Bear CBBC May 2019 M	40,000,000	2019/02/04	2019/05/27	10.00
66453	UBS AG - Tencent R Bear CBBC Jun 2019 I	40,000,000	2019/02/04	2019/06/26	10.00
66469	UBS AG - Tencent R Bear CBBC Jun 2019 J	40,000,000	2019/02/04	2019/06/11	10.00
66470	UBS AG - Tencent R Bear CBBC Jun 2019 K	40,000,000	2019/02/04	2019/06/12	11.20
66755	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Sep 2019 X	100,000,000	2019/02/08	2019/09/27	25.00

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66756	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 F	100,000,000	2019/02/08	2019/11/28	25.00
66770	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2019A	150,000,000	2019/02/08	2019/11/28	37.50
66777	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2019E	150,000,000	2019/02/08	2019/11/28	37.50
66783	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 D	50,000,000	2019/02/08	2019/07/30	17.50
66860	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 Q	250,000,000	2019/02/08	2020/12/30	62.50
66890	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 R	240,000,000	2019/02/08	2020/12/30	60.00
66873	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 S	240,000,000	2019/02/08	2019/06/27	60.00
67010	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 C	70,000,000	2019/02/08	2019/12/20	17.50
67014	Credit Suisse AG - HSBC R Bull CBBC Dec 2019 A	70,000,000	2019/02/08	2019/12/20	17.50
66960	Credit Suisse AG - HSI R Bull CBBC Sep 2020 O	200,000,000	2019/02/08	2020/09/29	50.00
66957	Credit Suisse AG - HSI R Bull CBBC Jan 2021 I	200,000,000	2019/02/08	2021/01/28	50.00
66951	Credit Suisse AG - HSI R Bull CBBC Feb 2021 R	300,000,000	2019/02/08	2021/02/25	75.00
66988	Credit Suisse AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/08	2019/05/30	50.00
66985	Credit Suisse AG - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/08	2019/05/30	50.00
66990	Credit Suisse AG - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/02/08	2019/06/27	50.00
66994	Credit Suisse AG - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/02/08	2019/06/27	50.00
67005	Credit Suisse AG - HSI R Bear CBBC Jun 2019 J	200,000,000	2019/02/08	2019/06/27	50.00
66987	Credit Suisse AG - HSI R Bear CBBC Jul 2019 F	300,000,000	2019/02/08	2019/07/30	75.00
66971	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 J	50,000,000	2019/02/08	2019/12/05	12.50
66930	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2019 C	40,000,000	2019/02/08	2019/12/30	10.00
66932	Goldman Sachs SP (Asia) - AAC Tech R Bear CBBC Dec 2019 A	40,000,000	2019/02/08	2019/12/30	10.00
66749	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 F	200,000,000	2019/02/08	2020/11/27	50.00
66752	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 K	200,000,000	2019/02/08	2020/11/27	50.00
66737	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 S	200,000,000	2019/02/08	2020/11/27	50.00
66844	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/08	2019/05/30	50.00
66845	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/08	2019/05/30	50.00
66929	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 O	200,000,000	2019/02/08	2019/05/30	50.00
66748	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 U	100,000,000	2019/02/08	2019/05/10	25.00
66815	HK Bank - AAC Tech R Bear CBBC Aug 2019 A	40,000,000	2019/02/08	2019/08/19	10.00
66837	HK Bank - Country Garden R Bull CBBC Oct 2019 A	40,000,000	2019/02/08	2019/10/21	10.00
66834	HK Bank - CPIC R Bull CBBC Dec 2019 A	75,000,000	2019/02/08	2019/12/30	18.75
66830	HK Bank - Galaxy Ent R Bull CBBC Aug 2019 A	80,000,000	2019/02/08	2019/08/19	20.00
66819	HK Bank - HSI R Bull CBBC Mar 2020 V	120,000,000	2019/02/08	2020/03/30	30.00
66817	HK Bank - HSI R Bear CBBC Aug 2019 A	120,000,000	2019/02/08	2019/08/29	30.00
66828	HK Bank - HSI R Bear CBBC Nov 2019 H	120,000,000	2019/02/08	2019/11/28	30.00
66809	HK Bank - Tencent R Bull CBBC Jul 2019 T	60,000,000	2019/02/08	2019/07/11	15.00
66804	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 N	120,000,000	2019/02/08	2020/11/27	30.00
67015	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 O	120,000,000	2019/02/08	2020/11/27	30.00
67016	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 P	120,000,000	2019/02/08	2020/11/27	30.00
67017	Haitong Int'l Sec - HSI R Bear CBBC May 2019 H	120,000,000	2019/02/08	2019/05/30	30.00
67020	Haitong Int'l Sec - HSI R Bear CBBC May 2019 I	120,000,000	2019/02/08	2019/05/30	30.00
66928	J P Morgan SP BV - Geely Auto R Bear CBBC May 2019 C	50,000,000	2019/02/08	2019/05/10	12.50
66899	J P Morgan SP BV - HSI R Bull CBBC Sep 2020 J	200,000,000	2019/02/08	2020/09/29	50.00
66903	J P Morgan SP BV - HSI R Bull CBBC Dec 2020 S	200,000,000	2019/02/08	2020/12/30	50.00
66908	J P Morgan SP BV - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/08	2019/05/30	50.00

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66914	J P Morgan SP BV - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/08	2019/05/30	50.00
66918	J P Morgan SP BV - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/08	2019/05/30	50.00
66905	J P Morgan SP BV - Tencent R Bull CBBC May 2019 S	50,000,000	2019/02/08	2019/05/10	12.50
66925	J P Morgan SP BV - Tencent R Bear CBBC May 2019 B	50,000,000	2019/02/08	2019/05/10	12.50
66841	Macquarie Bank Ltd. - Geely Auto R Bear CBBC Nov 2019 A	12,000,000	2019/02/08	2019/11/29	10.12
66799	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 L	19,000,000	2019/02/08	2019/06/20	10.05
66803	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 N	26,900,000	2019/02/08	2019/05/07	10.03
67066	SG Issuer - AAC Tech R Bull CBBC Oct 2019 C	40,000,000	2019/02/08	2019/10/30	10.00
67070	SG Issuer - AAC Tech R Bull CBBC Dec 2019 A	40,000,000	2019/02/08	2019/12/23	10.00
67069	SG Issuer - AAC Tech R Bear CBBC Dec 2019 A	40,000,000	2019/02/08	2019/12/18	10.00
67114	SG Issuer - Country Garden R Bull CBBC Dec 2019 A	40,000,000	2019/02/08	2019/12/23	17.00
67108	SG Issuer - Country Garden R Bear CBBC Oct 2019 A	40,000,000	2019/02/08	2019/10/30	11.40
67144	SG Issuer - CC Bank R Bear CBBC Jul 2019 B	100,000,000	2019/02/08	2019/07/25	25.00
67145	SG Issuer - CM Bank R Bear CBBC Oct 2019 A	100,000,000	2019/02/08	2019/10/30	25.00
67083	SG Issuer - CPIC R Bull CBBC Apr 2020 A	100,000,000	2019/02/08	2020/04/20	25.00
67096	SG Issuer - CPIC R Bull CBBC Apr 2020 B	100,000,000	2019/02/08	2020/04/28	25.00
67076	SG Issuer - CPIC R Bear CBBC Dec 2019 A	100,000,000	2019/02/08	2019/12/31	25.00
67058	SG Issuer - HKEX R Bull CBBC Jul 2019 I	50,000,000	2019/02/08	2019/07/18	12.50
67148	SG Issuer - HKEX R Bear CBBC Jul 2019 I	50,000,000	2019/02/08	2019/07/15	15.50
67051	SG Issuer - HSI R Bull CBBC Feb 2021 M	200,000,000	2019/02/08	2021/02/25	50.00
67052	SG Issuer - HSI R Bull CBBC Apr 2021 L	200,000,000	2019/02/08	2021/04/29	50.00
67057	SG Issuer - HSI R Bull CBBC Apr 2021 M	200,000,000	2019/02/08	2021/04/29	50.00
67133	SG Issuer - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/08	2019/05/30	50.00
67135	SG Issuer - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/02/08	2019/07/30	50.00
67137	SG Issuer - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/02/08	2019/07/30	50.00
67138	SG Issuer - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/02/08	2019/07/30	50.00
67150	SG Issuer - ICBC R Bull CBBC Jul 2019 E	60,000,000	2019/02/08	2019/07/29	15.00
67154	SG Issuer - ICBC R Bear CBBC Jul 2019 C	60,000,000	2019/02/08	2019/07/30	15.00
67168	SG Issuer - Ping An R Bull CBBC Oct 2019 G	100,000,000	2019/02/08	2019/10/30	25.00
67161	SG Issuer - Ping An R Bear CBBC May 2019 G	100,000,000	2019/02/08	2019/05/31	25.00
67117	SG Issuer - Sino Biopharm R Bull CBBC Dec 2019 A	40,000,000	2019/02/08	2019/12/30	11.20
67121	SG Issuer - Sino Biopharm R Bull CBBC Dec 2019 B	40,000,000	2019/02/08	2019/12/31	10.00
67060	SG Issuer - Tencent R Bull CBBC Jun 2019 B	80,000,000	2019/02/08	2019/06/06	20.00
67173	SG Issuer - Tencent R Bull CBBC Dec 2019 D	80,000,000	2019/02/08	2019/12/30	20.00
67124	SG Issuer - Tencent R Bear CBBC May 2019 E	80,000,000	2019/02/08	2019/05/08	20.00
67128	SG Issuer - Tencent R Bear CBBC May 2019 F	80,000,000	2019/02/08	2019/05/10	20.00
67169	SG Issuer - Tencent R Bear CBBC Jun 2019 I	80,000,000	2019/02/08	2019/06/21	20.00
66846	UBS AG - HSI R Bull CBBC Feb 2021 Y	200,000,000	2019/02/08	2021/02/25	50.00
66847	UBS AG - HSI R Bull CBBC Feb 2021 Z	200,000,000	2019/02/08	2021/02/25	50.00
66853	UBS AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/08	2019/05/30	50.00
66851	UBS AG - HSI R Bear CBBC May 2019 S	200,000,000	2019/02/08	2019/05/30	50.00
66848	UBS AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/08	2019/05/30	50.00
66854	UBS AG - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/02/08	2019/06/27	50.00
67048	Bank Vontobel - Country Garden R Bull CBBC Sep 2019 A	40,000,000	2019/02/08	2019/09/13	10.04
67047	Bank Vontobel - Geely Auto R Bear CBBC Aug 2019 D	40,000,000	2019/02/08	2019/08/23	18.44

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67032	Bank Vontobel - HSI N Bull CBBC Jul 2019 F	80,000,000	2019/02/08	2019/07/30	20.08
67029	Bank Vontobel - HSI R Bull CBBC Jul 2019 E	80,000,000	2019/02/08	2019/07/30	20.08
67036	Bank Vontobel - HSI R Bear CBBC May 2019 H	80,000,000	2019/02/08	2019/05/30	20.08
67035	Bank Vontobel - HSI R Bear CBBC Aug 2019 A	80,000,000	2019/02/08	2019/08/29	20.88
67040	Bank Vontobel - Sunny Optical R Bull CBBC Sep 2019 I	40,000,000	2019/02/08	2019/09/13	10.04
67042	Bank Vontobel - Tencent R Bull CBBC Sep 2019 I	40,000,000	2019/02/08	2019/09/20	10.04
67044	Bank Vontobel - Tencent R Bear CBBC Sep 2019 C	40,000,000	2019/02/08	2019/09/20	10.04
67046	Bank Vontobel - Tencent R Bear CBBC Nov 2019 A	40,000,000	2019/02/08	2019/11/22	30.04
67536	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 S	240,000,000	2019/02/11	2020/12/30	60.00
67541	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 T	250,000,000	2019/02/11	2020/12/30	62.50
67535	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 T	240,000,000	2019/02/11	2019/06/27	60.00
67714	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2019 B	40,000,000	2019/02/11	2019/11/28	10.00
67711	Credit Suisse AG - HSBC R Bear CBBC Nov 2019 A	70,000,000	2019/02/11	2019/11/28	17.50
67736	Credit Suisse AG - HSI R Bull CBBC Aug 2020 W	200,000,000	2019/02/11	2020/08/28	50.00
67721	Credit Suisse AG - HSI R Bull CBBC Jan 2021 K	200,000,000	2019/02/11	2021/01/28	50.00
67738	Credit Suisse AG - HSI R Bull CBBC Feb 2021 S	300,000,000	2019/02/11	2021/02/25	75.00
67694	Credit Suisse AG - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/02/11	2019/06/27	50.00
67697	Credit Suisse AG - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/02/11	2019/06/27	50.00
67707	Credit Suisse AG - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/02/11	2019/06/27	50.00
67701	Credit Suisse AG - HSI R Bear CBBC Jul 2019 G	300,000,000	2019/02/11	2019/07/30	75.00
67702	Credit Suisse AG - HSI R Bear CBBC Jul 2019 H	300,000,000	2019/02/11	2019/07/30	75.00
67705	Credit Suisse AG - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/02/11	2019/07/30	50.00
67719	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 K	50,000,000	2019/02/11	2019/12/20	12.50
67555	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Sep 2019 J	100,000,000	2019/02/11	2019/09/26	25.00
67549	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 M	200,000,000	2019/02/11	2020/11/27	50.00
67329	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 P	200,000,000	2019/02/11	2019/05/30	50.00
67552	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 X	100,000,000	2019/02/11	2019/05/14	25.00
67262	HK Bank - Country Garden R Bull CBBC Oct 2019 B	40,000,000	2019/02/11	2019/10/03	10.00
67275	HK Bank - Country Garden R Bull CBBC Nov 2019 A	40,000,000	2019/02/11	2019/11/11	14.40
67234	HK Bank - CC Bank R Bull CBBC Sep 2019 A	75,000,000	2019/02/11	2019/09/02	18.75
67299	HK Bank - CPIC R Bull CBBC Jan 2020 B	75,000,000	2019/02/11	2020/01/06	18.75
67307	HK Bank - CSPC Pharma R Bull CBBC Aug 2019 B	40,000,000	2019/02/11	2019/08/05	10.00
67207	HK Bank - HSCEI R Bear CBBC Oct 2019 A	50,000,000	2019/02/11	2019/10/30	12.50
67188	HK Bank - HSI R Bull CBBC Jan 2020 B	120,000,000	2019/02/11	2020/01/30	30.00
67189	HK Bank - HSI R Bear CBBC Aug 2019 B	120,000,000	2019/02/11	2019/08/29	30.00
67175	HK Bank - HSI R Bear CBBC Oct 2019 L	120,000,000	2019/02/11	2019/10/30	30.00
67278	HK Bank - Sino Biopharm R Bull CBBC Oct 2019 A	40,000,000	2019/02/11	2019/10/03	10.00
67282	HK Bank - Sino Biopharm R Bull CBBC Nov 2019 A	40,000,000	2019/02/11	2019/11/11	10.00
67310	HK Bank - Tencent R Bull CBBC Aug 2019 F	60,000,000	2019/02/11	2019/08/05	15.00
67316	HK Bank - Tencent R Bear CBBC Aug 2019 J	50,000,000	2019/02/11	2019/08/26	12.50
67325	HK Bank - Tencent R Bear CBBC Sep 2019 D	50,000,000	2019/02/11	2019/09/16	25.00
67516	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 Q	120,000,000	2019/02/11	2020/11/27	30.00
67512	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 C	120,000,000	2019/02/11	2020/12/30	30.00
67517	Haitong Int'l Sec - HSI R Bear CBBC May 2019 J	120,000,000	2019/02/11	2019/05/30	30.00
67521	Haitong Int'l Sec - HSI R Bear CBBC May 2019 K	120,000,000	2019/02/11	2019/05/30	30.00

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67463	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 F	200,000,000	2019/02/11	2021/01/28	50.00
67473	J P Morgan SP BV - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/11	2019/05/30	50.00
67469	J P Morgan SP BV - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/11	2019/05/30	50.00
67474	J P Morgan SP BV - HSI R Bear CBBC May 2019 P	200,000,000	2019/02/11	2019/05/30	50.00
67485	J P Morgan SP BV - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/11	2019/05/30	50.00
67471	J P Morgan SP BV - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/11	2019/05/30	50.00
67506	J P Morgan SP BV - HSI R Bear CBBC May 2019 S	200,000,000	2019/02/11	2019/05/30	50.00
67508	J P Morgan SP BV - HSI R Bear CBBC May 2019 U	200,000,000	2019/02/11	2019/05/30	50.00
67510	J P Morgan SP BV - HSI R Bear CBBC May 2019 W	200,000,000	2019/02/11	2019/05/30	50.00
67511	J P Morgan SP BV - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/11	2019/05/30	50.00
67450	J P Morgan SP BV - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/11	2019/05/30	50.00
67345	Macquarie Bank Ltd. - Ping An R Bull CBBC Nov 2019 A	37,000,000	2019/02/11	2019/11/29	10.03
67346	Macquarie Bank Ltd. - Ping An R Bull CBBC Dec 2019 A	36,500,000	2019/02/11	2019/12/31	10.07
67350	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 M	21,000,000	2019/02/11	2019/06/06	10.04
67365	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 O	14,500,000	2019/02/11	2019/05/10	10.03
67370	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2019 P	26,600,000	2019/02/11	2019/05/10	10.21
67646	SG Issuer - Conch Cement R Bear CBBC Jul 2019 A	80,000,000	2019/02/11	2019/07/30	20.00
67659	SG Issuer - Conch Cement R Bear CBBC Sep 2019 A	80,000,000	2019/02/11	2019/09/26	20.00
67567	SG Issuer - AIA R Bear CBBC Jul 2019 I	60,000,000	2019/02/11	2019/07/17	15.00
67663	SG Issuer - Bank of China R Bull CBBC Dec 2019 B	100,000,000	2019/02/11	2019/12/20	25.00
67660	SG Issuer - Bank of China R Bear CBBC Oct 2019 A	60,000,000	2019/02/11	2019/10/24	15.00
67577	SG Issuer - Country Garden R Bull CBBC Oct 2019 B	40,000,000	2019/02/11	2019/10/30	10.00
67572	SG Issuer - Country Garden R Bear CBBC Sep 2019 A	40,000,000	2019/02/11	2019/09/27	12.80
67568	SG Issuer - CM Bank R Bull CBBC Dec 2019 B	60,000,000	2019/02/11	2019/12/30	15.00
67691	SG Issuer - HSCEI R Bull CBBC Sep 2020 T	100,000,000	2019/02/11	2020/09/29	25.00
67692	SG Issuer - HSCEI R Bull CBBC Oct 2020 E	100,000,000	2019/02/11	2020/10/29	25.00
67689	SG Issuer - HSCEI R Bear CBBC Jul 2019 B	100,000,000	2019/02/11	2019/07/30	25.00
67690	SG Issuer - HSCEI R Bear CBBC Sep 2019 A	100,000,000	2019/02/11	2019/09/27	25.00
67631	SG Issuer - HSI R Bull CBBC Mar 2021 L	200,000,000	2019/02/11	2021/03/30	50.00
67632	SG Issuer - HSI R Bull CBBC Apr 2021 N	200,000,000	2019/02/11	2021/04/29	50.00
67633	SG Issuer - HSI R Bull CBBC Apr 2021 O	200,000,000	2019/02/11	2021/04/29	50.00
67557	SG Issuer - HSI R Bear CBBC May 2019 P	200,000,000	2019/02/11	2019/05/30	50.00
67605	SG Issuer - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/11	2019/05/30	50.00
67561	SG Issuer - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/02/11	2019/07/30	50.00
67599	SG Issuer - HSI R Bear CBBC Jul 2019 O	200,000,000	2019/02/11	2019/07/30	50.00
67608	SG Issuer - HSI R Bear CBBC Aug 2019 Q	200,000,000	2019/02/11	2019/08/29	50.00
67589	SG Issuer - Ping An R Bull CBBC Aug 2019 S	100,000,000	2019/02/11	2019/08/09	25.00
67671	SG Issuer - Ping An R Bull CBBC Oct 2019 H	100,000,000	2019/02/11	2019/10/18	25.00
67582	SG Issuer - Ping An R Bear CBBC Jun 2019 A	100,000,000	2019/02/11	2019/06/06	25.00
67564	SG Issuer - Ping An R Bear CBBC Jul 2019 F	100,000,000	2019/02/11	2019/07/26	25.00
67596	SG Issuer - SUNAC R Bull CBBC Feb 2020 B	80,000,000	2019/02/11	2020/02/26	20.00
67593	SG Issuer - SUNAC R Bear CBBC Oct 2019 B	80,000,000	2019/02/11	2019/10/30	20.00
67597	SG Issuer - Sunny Optical R Bear CBBC Jul 2019 C	40,000,000	2019/02/11	2019/07/29	10.00
67684	SG Issuer - Tencent R Bull CBBC Jun 2019 C	80,000,000	2019/02/11	2019/06/17	20.00
67685	SG Issuer - Tencent R Bull CBBC Jun 2019 E	80,000,000	2019/02/11	2019/06/28	20.00

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67678	SG Issuer - Tencent R Bear CBBC May 2019 G	80,000,000	2019/02/11	2019/05/10	20.00
67566	SG Issuer - Tencent R Bear CBBC Jun 2019 J	80,000,000	2019/02/11	2019/06/26	20.00
67688	SG Issuer - Tencent R Bear CBBC Nov 2019 A	80,000,000	2019/02/11	2019/11/29	52.80
67441	UBS AG - CM Bank R Bull CBBC Dec 2019 A	40,000,000	2019/02/11	2019/12/30	10.00
67443	UBS AG - CM Bank R Bear CBBC Dec 2019 A	40,000,000	2019/02/11	2019/12/30	10.00
67444	UBS AG - HSI R Bull CBBC Dec 2020 B	200,000,000	2019/02/11	2020/12/30	50.00
67371	UBS AG - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/02/11	2019/06/27	50.00
67378	UBS AG - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/02/11	2019/06/27	50.00
67389	UBS AG - Tencent R Bull CBBC Jul 2019 D	40,000,000	2019/02/11	2019/07/08	10.00
67392	UBS AG - Tencent R Bull CBBC Jul 2019 E	40,000,000	2019/02/11	2019/07/16	10.00
67407	UBS AG - Tencent R Bear CBBC Jun 2019 L	40,000,000	2019/02/11	2019/06/13	10.00
67413	UBS AG - Tencent R Bear CBBC Jun 2019 M	40,000,000	2019/02/11	2019/06/14	10.00
67416	UBS AG - Tencent R Bear CBBC Jun 2019 N	40,000,000	2019/02/11	2019/06/18	14.40
67900	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 H	150,000,000	2019/02/12	2019/12/30	38.10
67904	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 G	150,000,000	2019/02/12	2019/05/30	38.70
67854	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Sep 2019 Y	100,000,000	2019/02/12	2019/09/27	25.00
67895	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 G	100,000,000	2019/02/12	2019/11/28	25.00
67898	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 H	100,000,000	2019/02/12	2019/11/28	25.00
67741	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019X	150,000,000	2019/02/12	2019/08/29	37.50
67853	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 E	50,000,000	2019/02/12	2019/11/28	12.50
67767	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 E	50,000,000	2019/02/12	2019/07/30	20.00
67742	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Jul 2019 H	150,000,000	2019/02/12	2019/07/30	37.50
67749	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Jul 2019 I	150,000,000	2019/02/12	2019/07/30	37.50
67754	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Jul 2019 J	150,000,000	2019/02/12	2019/07/30	37.50
67785	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 X	100,000,000	2019/02/12	2019/09/27	25.00
67788	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 Y	100,000,000	2019/02/12	2019/09/27	25.00
67791	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 G	100,000,000	2019/02/12	2019/12/30	25.00
67808	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 H	100,000,000	2019/02/12	2019/12/30	25.00
67818	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 I	100,000,000	2019/02/12	2019/12/30	25.00
67834	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 J	100,000,000	2019/02/12	2019/12/30	25.00
67835	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 K	100,000,000	2019/02/12	2019/12/30	25.00
67945	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 U	250,000,000	2019/02/12	2020/12/30	62.50
67946	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 V	250,000,000	2019/02/12	2020/12/30	62.50
67948	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 W	250,000,000	2019/02/12	2020/12/30	62.50
68258	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 X	250,000,000	2019/02/12	2020/12/30	62.50
68273	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 Y	240,000,000	2019/02/12	2020/12/30	60.00
67855	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 U	250,000,000	2019/02/12	2019/06/27	62.50
67891	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 V	250,000,000	2019/02/12	2019/06/27	62.50
67943	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 W	250,000,000	2019/02/12	2019/06/27	62.50
68264	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 X	240,000,000	2019/02/12	2019/06/27	60.00
67759	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 X	200,000,000	2019/02/12	2019/08/29	50.00
67769	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 K	50,000,000	2019/02/12	2019/10/30	12.50
67778	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 O	50,000,000	2019/02/12	2019/11/28	12.50
67782	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 P	50,000,000	2019/02/12	2019/11/28	12.50
68498	Credit Suisse AG - Conch Cement R Bull CBBC Dec 2019 A	60,000,000	2019/02/12	2019/12/20	15.00

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68503	Credit Suisse AG - Conch Cement R Bear CBBC Dec 2019 A	60,000,000	2019/02/12	2019/12/20	15.00
68505	Credit Suisse AG - AIA R Bull CBBC Nov 2019 E	70,000,000	2019/02/12	2019/11/28	17.50
68506	Credit Suisse AG - AIA R Bear CBBC Dec 2019 C	70,000,000	2019/02/12	2019/12/20	17.50
68539	Credit Suisse AG - Bank of China R Bear CBBC Dec 2019 A	60,000,000	2019/02/12	2019/12/20	15.00
68515	Credit Suisse AG - CC Bank R Bear CBBC Dec 2019 A	60,000,000	2019/02/12	2019/12/20	15.00
68508	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 D	70,000,000	2019/02/12	2019/12/13	17.50
68512	Credit Suisse AG - CM Bank R Bear CBBC Dec 2019 A	60,000,000	2019/02/12	2019/12/20	15.00
68488	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2019 E	50,000,000	2019/02/12	2019/11/22	12.50
68489	Credit Suisse AG - Galaxy Ent R Bear CBBC Nov 2019 B	50,000,000	2019/02/12	2019/11/28	12.50
68491	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 C	50,000,000	2019/02/12	2019/12/20	12.50
68486	Credit Suisse AG - HSI R Bull CBBC Aug 2020 I	200,000,000	2019/02/12	2020/08/28	50.00
68484	Credit Suisse AG - HSI R Bull CBBC Sep 2020 F	200,000,000	2019/02/12	2020/09/29	50.00
68487	Credit Suisse AG - HSI R Bull CBBC Sep 2020 J	200,000,000	2019/02/12	2020/09/29	50.00
68483	Credit Suisse AG - HSI R Bull CBBC Jan 2021 Z	200,000,000	2019/02/12	2021/01/28	50.00
68432	Credit Suisse AG - HSI R Bear CBBC May 2019 B	200,000,000	2019/02/12	2019/05/30	50.00
68459	Credit Suisse AG - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/12	2019/05/30	50.00
68463	Credit Suisse AG - HSI R Bear CBBC May 2019 F	200,000,000	2019/02/12	2019/05/30	50.00
68428	Credit Suisse AG - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/12	2019/05/30	50.00
68430	Credit Suisse AG - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/12	2019/05/30	50.00
68465	Credit Suisse AG - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/02/12	2019/06/27	50.00
68426	Credit Suisse AG - HSI R Bear CBBC Jun 2019 N	200,000,000	2019/02/12	2019/06/27	50.00
68471	Credit Suisse AG - HSI R Bear CBBC Jun 2019 O	200,000,000	2019/02/12	2019/06/27	50.00
68439	Credit Suisse AG - HSI R Bear CBBC Jul 2019 J	300,000,000	2019/02/12	2019/07/30	75.00
68468	Credit Suisse AG - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/02/12	2019/07/30	50.00
68472	Credit Suisse AG - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/02/12	2019/07/30	50.00
68475	Credit Suisse AG - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/02/12	2019/07/30	50.00
68477	Credit Suisse AG - HSI R Bear CBBC Aug 2019 A	200,000,000	2019/02/12	2019/08/29	58.00
68479	Credit Suisse AG - HSI R Bear CBBC Aug 2019 B	200,000,000	2019/02/12	2019/08/29	64.00
68482	Credit Suisse AG - HSI R Bear CBBC Aug 2019 C	200,000,000	2019/02/12	2019/08/29	70.00
68519	Credit Suisse AG - ICBC R Bear CBBC Dec 2019 A	60,000,000	2019/02/12	2019/12/20	15.00
68507	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 H	80,000,000	2019/02/12	2019/12/20	20.00
68495	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 K	50,000,000	2019/02/12	2019/11/22	12.50
68496	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 L	50,000,000	2019/02/12	2019/11/28	25.00
68599	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Jul 2019 S	100,000,000	2019/02/12	2019/07/26	25.00
67992	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 Q	200,000,000	2019/02/12	2020/11/27	50.00
68000	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 Y	200,000,000	2019/02/12	2020/11/27	50.00
67909	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/12	2019/05/30	50.00
67912	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/12	2019/05/30	50.00
67915	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 S	200,000,000	2019/02/12	2019/05/30	50.00
68576	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 T	200,000,000	2019/02/12	2019/05/30	50.00
68580	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 U	200,000,000	2019/02/12	2019/05/30	50.00
67998	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 H	100,000,000	2019/02/12	2019/12/30	25.00
68590	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Dec 2019 E	80,000,000	2019/02/12	2019/12/31	20.00
68582	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 S	80,000,000	2019/02/12	2019/05/14	20.00
68596	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 T	80,000,000	2019/02/12	2019/05/14	20.72

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67983	HK Bank - AAC Tech R Bear CBBC Oct 2019 A	40,000,000	2019/02/12	2019/10/21	10.00
67951	HK Bank - Country Garden R Bear CBBC Aug 2019 B	40,000,000	2019/02/12	2019/08/05	12.00
67985	HK Bank - CM Bank R Bull CBBC Oct 2019 A	75,000,000	2019/02/12	2019/10/21	18.75
67975	HK Bank - Sinopec Corp R Bull CBBC Oct 2019 B	80,000,000	2019/02/12	2019/10/02	20.00
67937	HK Bank - Galaxy Ent R Bull CBBC Jul 2019 C	80,000,000	2019/02/12	2019/07/29	20.00
67924	HK Bank - HSI R Bull CBBC Jan 2020 C	120,000,000	2019/02/12	2020/01/30	30.00
67921	HK Bank - HSI R Bull CBBC Mar 2020 W	120,000,000	2019/02/12	2020/03/30	30.00
67916	HK Bank - HSI R Bear CBBC Jul 2019 K	120,000,000	2019/02/12	2019/07/30	30.00
67926	HK Bank - HSI R Bear CBBC Dec 2019 A	120,000,000	2019/02/12	2019/12/30	30.00
67928	HK Bank - HSI R Bear CBBC Dec 2019 B	120,000,000	2019/02/12	2019/12/30	30.00
67931	HK Bank - Ping An R Bull CBBC Jul 2019 K	100,000,000	2019/02/12	2019/07/29	25.00
67935	HK Bank - Sands China R Bull CBBC Oct 2019 B	80,000,000	2019/02/12	2019/10/28	20.00
67961	HK Bank - SUNAC R Bull CBBC Nov 2019 B	60,000,000	2019/02/12	2019/11/18	15.00
67929	HK Bank - Tencent R Bear CBBC Nov 2019 A	50,000,000	2019/02/12	2019/11/04	31.00
68277	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 R	120,000,000	2019/02/12	2020/11/27	30.00
68570	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 S	120,000,000	2019/02/12	2020/11/27	30.00
68274	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 D	120,000,000	2019/02/12	2020/12/30	30.00
68032	Haitong Int'l Sec - HSI R Bear CBBC May 2019 L	120,000,000	2019/02/12	2019/05/30	30.00
68278	Haitong Int'l Sec - HSI R Bear CBBC May 2019 M	120,000,000	2019/02/12	2019/05/30	30.00
68282	Haitong Int'l Sec - HSI R Bear CBBC May 2019 N	120,000,000	2019/02/12	2019/05/30	30.00
68283	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 A	120,000,000	2019/02/12	2019/06/27	30.00
68549	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 B	120,000,000	2019/02/12	2019/06/27	30.00
68253	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2019 A	40,000,000	2019/02/12	2019/09/30	10.00
68252	J P Morgan SP BV - Geely Auto R Bull CBBC Jun 2019 C	50,000,000	2019/02/12	2019/06/21	12.50
68224	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/02/12	2021/01/28	50.00
68229	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/02/12	2021/02/25	50.00
68234	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 B	200,000,000	2019/02/12	2021/02/25	50.00
68239	J P Morgan SP BV - HSI R Bear CBBC May 2019 B	200,000,000	2019/02/12	2019/05/30	50.00
68242	J P Morgan SP BV - HSI R Bear CBBC May 2019 F	200,000,000	2019/02/12	2019/05/30	50.00
68240	J P Morgan SP BV - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/12	2019/05/30	50.00
68219	J P Morgan SP BV - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/12	2019/05/30	50.00
68222	J P Morgan SP BV - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/12	2019/05/30	50.00
68245	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/02/12	2019/06/27	55.00
68248	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 N	200,000,000	2019/02/12	2019/06/27	65.00
68251	J P Morgan SP BV - Ping An R Bull CBBC Jun 2019 E	100,000,000	2019/02/12	2019/06/14	25.00
68238	J P Morgan SP BV - Tencent R Bull CBBC May 2019 T	50,000,000	2019/02/12	2019/05/17	12.50
68285	SG Issuer - Country Garden R Bear CBBC Nov 2019 A	40,000,000	2019/02/12	2019/11/28	17.40
68304	SG Issuer - CC Bank R Bull CBBC Aug 2019 Z	100,000,000	2019/02/12	2019/08/02	25.00
68401	SG Issuer - CM Bank R Bull CBBC Dec 2019 C	100,000,000	2019/02/12	2019/12/30	25.00
68411	SG Issuer - CM Bank R Bear CBBC Dec 2019 A	100,000,000	2019/02/12	2019/12/30	25.00
68311	SG Issuer - CNOOC R Bear CBBC Sep 2019 A	40,000,000	2019/02/12	2019/09/27	10.00
68315	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 E	100,000,000	2019/02/12	2019/10/22	25.00
68415	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 F	100,000,000	2019/02/12	2019/10/30	25.00
68316	SG Issuer - Galaxy Ent R Bear CBBC Oct 2019 A	100,000,000	2019/02/12	2019/10/30	25.00
68321	SG Issuer - Galaxy Ent R Bear CBBC Nov 2019 A	100,000,000	2019/02/12	2019/11/28	25.00

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68286	SG Issuer - HKEX R Bear CBBC Jul 2019 J	50,000,000	2019/02/12	2019/07/18	12.50
68322	SG Issuer - HKEX R Bear CBBC Sep 2019 C	50,000,000	2019/02/12	2019/09/27	12.50
68335	SG Issuer - HSBC R Bull CBBC Nov 2019 D	100,000,000	2019/02/12	2019/11/22	25.00
68331	SG Issuer - HSBC R Bear CBBC Jul 2019 A	100,000,000	2019/02/12	2019/07/18	25.00
68364	SG Issuer - HSI R Bull CBBC Mar 2021 M	200,000,000	2019/02/12	2021/03/30	50.00
68388	SG Issuer - HSI R Bull CBBC Mar 2021 N	400,000,000	2019/02/12	2021/03/30	100.00
68395	SG Issuer - HSI R Bull CBBC Mar 2021 O	200,000,000	2019/02/12	2021/03/30	50.00
68370	SG Issuer - HSI R Bull CBBC Apr 2021 P	200,000,000	2019/02/12	2021/04/29	50.00
68397	SG Issuer - HSI R Bull CBBC May 2021 F	200,000,000	2019/02/12	2021/05/28	50.00
68374	SG Issuer - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/12	2019/05/30	50.00
68296	SG Issuer - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/12	2019/05/30	50.00
68371	SG Issuer - HSI R Bear CBBC May 2019 S	200,000,000	2019/02/12	2019/05/30	50.00
68360	SG Issuer - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/12	2019/05/30	50.00
68297	SG Issuer - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/02/12	2019/07/30	50.00
68299	SG Issuer - HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/02/12	2019/07/30	50.00
68300	SG Issuer - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/02/12	2019/07/30	50.00
68362	SG Issuer - HSI R Bear CBBC Aug 2019 R	400,000,000	2019/02/12	2019/08/29	100.00
68375	SG Issuer - HSI R Bear CBBC Aug 2019 S	200,000,000	2019/02/12	2019/08/29	50.00
68378	SG Issuer - HSI R Bear CBBC Aug 2019 T	200,000,000	2019/02/12	2019/08/29	50.00
68380	SG Issuer - HSI R Bear CBBC Aug 2019 U	200,000,000	2019/02/12	2019/08/29	50.00
68385	SG Issuer - HSI R Bear CBBC Aug 2019 V	200,000,000	2019/02/12	2019/08/29	50.00
68387	SG Issuer - HSI R Bear CBBC Sep 2019 J	400,000,000	2019/02/12	2019/09/27	100.00
68416	SG Issuer - ICBC R Bull CBBC Aug 2019 L	100,000,000	2019/02/12	2019/08/12	25.00
68336	SG Issuer - PetroChina R Bear CBBC Aug 2019 A	40,000,000	2019/02/12	2019/08/02	10.00
68418	SG Issuer - Ping An R Bull CBBC Aug 2019 T	100,000,000	2019/02/12	2019/08/12	25.00
68288	SG Issuer - Ping An R Bear CBBC Jul 2019 G	100,000,000	2019/02/12	2019/07/31	25.00
68424	SG Issuer - Sands China R Bull CBBC Dec 2019 B	80,000,000	2019/02/12	2019/12/30	20.00
68289	SG Issuer - SUNAC R Bear CBBC Dec 2019 B	80,000,000	2019/02/12	2019/12/13	20.00
68344	SG Issuer - Tencent R Bull CBBC Jun 2019 F	80,000,000	2019/02/12	2019/06/12	20.00
68425	SG Issuer - Tencent R Bull CBBC Jun 2019 G	80,000,000	2019/02/12	2019/06/13	20.00
68351	SG Issuer - Tencent R Bear CBBC Oct 2019 A	80,000,000	2019/02/12	2019/10/31	20.00
68290	SG Issuer - Tencent R Bear CBBC Nov 2019 B	80,000,000	2019/02/12	2019/11/22	20.00
68291	SG Issuer - Tencent R Bear CBBC Nov 2019 C	80,000,000	2019/02/12	2019/11/28	20.00
68353	SG Issuer - Tencent R Bear CBBC Nov 2019 D	80,000,000	2019/02/12	2019/11/08	20.00
68356	SG Issuer - Tencent R Bear CBBC Nov 2019 E	80,000,000	2019/02/12	2019/11/22	53.60
68358	SG Issuer - Tencent R Bear CBBC Dec 2019 A	80,000,000	2019/02/12	2019/12/09	20.00
68359	SG Issuer - Tencent R Bear CBBC Dec 2019 B	80,000,000	2019/02/12	2019/12/13	33.20
68098	UBS AG - CNOOC R Bull CBBC Oct 2019 B	40,000,000	2019/02/12	2019/10/28	10.00
68091	UBS AG - Galaxy Ent R Bull CBBC Oct 2019 A	40,000,000	2019/02/12	2019/10/14	10.00
68093	UBS AG - Galaxy Ent R Bear CBBC May 2019 A	40,000,000	2019/02/12	2019/05/29	10.00
68103	UBS AG - Galaxy Ent R Bear CBBC Oct 2019 A	40,000,000	2019/02/12	2019/10/14	10.00
68059	UBS AG - HSCEI R Bull CBBC Nov 2020 D	200,000,000	2019/02/12	2020/11/27	50.00
68060	UBS AG - HSCEI R Bull CBBC Nov 2020 E	200,000,000	2019/02/12	2020/11/27	50.00
68063	UBS AG - HSCEI R Bear CBBC May 2019 A	200,000,000	2019/02/12	2019/05/30	50.00
68064	UBS AG - HSCEI R Bear CBBC May 2019 B	200,000,000	2019/02/12	2019/05/30	50.00

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68049	UBS AG - HSI R Bull CBBC Jan 2021 B	200,000,000	2019/02/12	2021/01/28	50.00
68055	UBS AG - HSI R Bull CBBC Jan 2021 E	200,000,000	2019/02/12	2021/01/28	50.00
68048	UBS AG - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/02/12	2021/02/25	50.00
68053	UBS AG - HSI R Bull CBBC Feb 2021 B	200,000,000	2019/02/12	2021/02/25	50.00
68122	UBS AG - HSI R Bear CBBC May 2019 B	200,000,000	2019/02/12	2019/05/30	50.00
68135	UBS AG - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/12	2019/05/30	50.00
68119	UBS AG - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/02/12	2019/06/27	50.00
68123	UBS AG - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/02/12	2019/06/27	50.00
68127	UBS AG - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/02/12	2019/06/27	50.00
68132	UBS AG - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/02/12	2019/06/27	50.00
68138	UBS AG - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/02/12	2019/06/27	50.00
68036	UBS AG - HSI R Bear CBBC Jul 2019 B	400,000,000	2019/02/12	2019/07/30	100.00
68046	UBS AG - HSI R Bear CBBC Aug 2019 A	400,000,000	2019/02/12	2019/08/29	100.00
68082	UBS AG - Ping An R Bull CBBC Nov 2019 A	100,000,000	2019/02/12	2019/11/25	25.00
68088	UBS AG - Ping An R Bear CBBC May 2019 E	100,000,000	2019/02/12	2019/05/15	25.00
68096	UBS AG - Sands China R Bull CBBC Sep 2019 C	100,000,000	2019/02/12	2019/09/30	25.00
68097	UBS AG - Sunny Optical R Bull CBBC Oct 2019 A	40,000,000	2019/02/12	2019/10/28	10.00
68065	UBS AG - Tencent R Bull CBBC Jul 2019 F	40,000,000	2019/02/12	2019/07/10	10.00
68074	UBS AG - Tencent R Bull CBBC Jul 2019 G	40,000,000	2019/02/12	2019/07/11	10.00
68155	Bank Vontobel - CC Bank R Bull CBBC Jul 2019 E	40,000,000	2019/02/12	2019/07/26	10.04
68147	Bank Vontobel - Sinopec Corp R Bull CBBC Jul 2019 E	40,000,000	2019/02/12	2019/07/26	10.04
68149	Bank Vontobel - Galaxy Ent R Bull CBBC Nov 2019 D	40,000,000	2019/02/12	2019/11/11	10.04
68163	Bank Vontobel - HSI N Bull CBBC Jul 2019 G	80,000,000	2019/02/12	2019/07/30	20.08
68193	Bank Vontobel - HSI N Bear CBBC Nov 2019 A	80,000,000	2019/02/12	2019/11/28	24.08
68201	Bank Vontobel - HSI R Bull CBBC Jul 2019 H	80,000,000	2019/02/12	2019/07/30	20.08
68214	Bank Vontobel - HSI R Bull CBBC Jul 2019 I	80,000,000	2019/02/12	2019/07/30	20.08
68200	Bank Vontobel - HSI R Bear CBBC Aug 2019 B	80,000,000	2019/02/12	2019/08/29	20.08
68157	Bank Vontobel - Tencent R Bull CBBC Sep 2019 J	40,000,000	2019/02/12	2019/09/20	10.04
68140	Bank Vontobel - Tencent R Bear CBBC Sep 2019 D	40,000,000	2019/02/12	2019/09/20	16.44
68600	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 I	150,000,000	2019/02/13	2019/12/30	37.80
68605	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 H	150,000,000	2019/02/13	2019/05/30	37.65
68606	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 I	150,000,000	2019/02/13	2019/05/30	38.10
68739	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 F	50,000,000	2019/02/13	2019/07/30	12.50
69093	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2020 Z	240,000,000	2019/02/13	2020/12/30	60.00
68735	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Y	250,000,000	2019/02/13	2019/06/27	62.50
69094	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Z	240,000,000	2019/02/13	2019/06/27	60.00
68745	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 Y	200,000,000	2019/02/13	2019/08/29	50.00
68742	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 S	50,000,000	2019/02/13	2019/07/30	12.50
69240	Credit Suisse AG - Country Garden R Bull CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	10.00
69204	Credit Suisse AG - HSI R Bull CBBC Aug 2020 K	200,000,000	2019/02/13	2020/08/28	50.00
69195	Credit Suisse AG - HSI R Bull CBBC Jan 2021 A	200,000,000	2019/02/13	2021/01/28	50.00
69203	Credit Suisse AG - HSI R Bull CBBC Feb 2021 T	200,000,000	2019/02/13	2021/02/25	50.00
69208	Credit Suisse AG - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/13	2019/05/30	50.00
69210	Credit Suisse AG - HSI R Bear CBBC May 2019 W	200,000,000	2019/02/13	2019/05/30	50.00
69209	Credit Suisse AG - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/02/13	2019/06/27	50.00

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69212	Credit Suisse AG - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/02/13	2019/06/27	50.00
69226	Credit Suisse AG - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/02/13	2019/06/27	50.00
69211	Credit Suisse AG - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/02/13	2019/07/30	50.00
69215	Credit Suisse AG - HSI R Bear CBBC Jul 2019 O	200,000,000	2019/02/13	2019/07/30	50.00
69235	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 I	80,000,000	2019/02/13	2019/12/13	20.00
69239	Credit Suisse AG - Sino Biopharm R Bull CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	10.00
68783	Bank of East Asia - Country Garden R Bull CBBC Dec 2019 A	30,000,000	2019/02/13	2019/12/24	10.50
68784	Bank of East Asia - Country Garden R Bull CBBC Dec 2019 B	30,000,000	2019/02/13	2019/12/24	10.50
68788	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2019 A	10,000,000	2019/02/13	2019/12/24	10.00
68757	Bank of East Asia - Ping An R Bull CBBC Aug 2019 I	100,000,000	2019/02/13	2019/08/15	25.00
68758	Bank of East Asia - Ping An R Bull CBBC Aug 2019 J	100,000,000	2019/02/13	2019/08/15	25.00
68789	Bank of East Asia - Tencent R Bull CBBC Dec 2019 A	30,000,000	2019/02/13	2019/12/24	10.50
68625	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 S	200,000,000	2019/02/13	2020/12/30	50.00
68609	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 Z	200,000,000	2019/02/13	2020/12/30	50.00
69253	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/13	2019/05/30	50.00
69262	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 W	200,000,000	2019/02/13	2019/05/30	50.00
69241	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/02/13	2019/06/27	50.00
68874	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 A	200,000,000	2019/02/13	2019/07/30	50.00
69257	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 I	100,000,000	2019/02/13	2019/12/31	25.00
69256	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 U	80,000,000	2019/02/13	2019/05/14	24.00
68829	HK Bank - AAC Tech R Bull CBBC Oct 2019 A	40,000,000	2019/02/13	2019/10/28	10.00
68830	HK Bank - Country Garden R Bull CBBC Aug 2019 A	40,000,000	2019/02/13	2019/08/05	10.00
68825	HK Bank - CC Bank R Bear CBBC Sep 2019 A	50,000,000	2019/02/13	2019/09/02	12.50
68841	HK Bank - China Life R Bull CBBC Aug 2019 A	40,000,000	2019/02/13	2019/08/05	10.00
68827	HK Bank - Geely Auto R Bull CBBC Aug 2019 A	40,000,000	2019/02/13	2019/08/19	10.00
68836	HK Bank - Galaxy Ent R Bear CBBC Aug 2019 A	40,000,000	2019/02/13	2019/08/19	10.00
68818	HK Bank - HSCEI R Bull CBBC Mar 2020 D	60,000,000	2019/02/13	2020/03/30	15.00
68810	HK Bank - HSI R Bull CBBC Feb 2020 C	120,000,000	2019/02/13	2020/02/27	30.00
68791	HK Bank - HSI R Bear CBBC Jul 2019 L	120,000,000	2019/02/13	2019/07/30	30.00
68824	HK Bank - HSI R Bear CBBC Dec 2019 C	120,000,000	2019/02/13	2019/12/30	31.20
68823	HK Bank - Ping An R Bear CBBC Aug 2019 A	80,000,000	2019/02/13	2019/08/12	20.00
68819	HK Bank - Tencent R Bear CBBC Aug 2019 K	50,000,000	2019/02/13	2019/08/12	12.50
68667	Haitong Int'l Sec - AIA R Bull CBBC Aug 2019 A	60,000,000	2019/02/13	2019/08/05	15.00
68714	Haitong Int'l Sec - AIA R Bear CBBC Aug 2019 A	60,000,000	2019/02/13	2019/08/02	15.00
68715	Haitong Int'l Sec - Country Garden R Bear CBBC Sep 2019 A	40,000,000	2019/02/13	2019/09/06	10.00
68659	Haitong Int'l Sec - CC Bank R Bull CBBC Aug 2019 A	60,000,000	2019/02/13	2019/08/05	15.00
68661	Haitong Int'l Sec - CC Bank R Bull CBBC Aug 2019 B	60,000,000	2019/02/13	2019/08/05	15.00
68732	Haitong Int'l Sec - CPIC R Bear CBBC Aug 2019 A	40,000,000	2019/02/13	2019/08/28	15.52
68634	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Oct 2019 A	80,000,000	2019/02/13	2019/10/18	20.00
68639	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Oct 2019 B	80,000,000	2019/02/13	2019/10/17	20.00
68685	Haitong Int'l Sec - Galaxy Ent R Bear CBBC Jul 2019 C	80,000,000	2019/02/13	2019/07/15	20.00
68654	Haitong Int'l Sec - HKEX R Bull CBBC Jul 2019 A	40,000,000	2019/02/13	2019/07/22	10.00
68707	Haitong Int'l Sec - HKEX R Bear CBBC Aug 2019 A	40,000,000	2019/02/13	2019/08/01	10.00
68632	Haitong Int'l Sec - HSBC R Bull CBBC Jul 2019 A	60,000,000	2019/02/13	2019/07/18	15.00
68674	Haitong Int'l Sec - HSBC R Bear CBBC Jul 2019 B	60,000,000	2019/02/13	2019/07/09	15.00

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69010	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 T	120,000,000	2019/02/13	2020/11/27	30.00
69012	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 U	120,000,000	2019/02/13	2020/11/27	30.00
69013	Haitong Int'l Sec - HSI R Bear CBBC May 2019 O	120,000,000	2019/02/13	2019/05/30	30.00
69017	Haitong Int'l Sec - HSI R Bear CBBC May 2019 P	120,000,000	2019/02/13	2019/05/30	30.00
68671	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 H	60,000,000	2019/02/13	2019/07/25	15.00
68734	Haitong Int'l Sec - Sino Biopharm R Bear CBBC Jul 2019 A	70,000,000	2019/02/13	2019/07/29	17.50
68708	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2019 B	40,000,000	2019/02/13	2019/09/18	13.52
69088	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 C	40,000,000	2019/02/13	2019/06/14	10.00
69051	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 C	200,000,000	2019/02/13	2021/02/25	50.00
69072	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/02/13	2021/02/25	50.00
69054	J P Morgan SP BV - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/13	2019/05/30	50.00
69064	J P Morgan SP BV - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/13	2019/05/30	50.00
69083	J P Morgan SP BV - Tencent R Bull CBBC May 2019 U	50,000,000	2019/02/13	2019/05/17	12.50
69081	J P Morgan SP BV - Tencent R Bear CBBC May 2019 C	50,000,000	2019/02/13	2019/05/17	12.50
68843	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 N	19,900,000	2019/02/13	2019/06/13	10.03
68853	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 O	17,100,000	2019/02/13	2019/06/28	10.05
68844	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2019 F	19,600,000	2019/02/13	2019/06/13	10.04
68871	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2019 G	15,300,000	2019/02/13	2019/06/26	10.01
69129	SG Issuer - AAC Tech R Bear CBBC Dec 2019 B	40,000,000	2019/02/13	2019/12/30	10.00
69135	SG Issuer - China Mobile R Bull CBBC Sep 2019 B	100,000,000	2019/02/13	2019/09/25	25.00
69149	SG Issuer - Geely Auto R Bear CBBC Aug 2019 A	40,000,000	2019/02/13	2019/08/29	10.00
69143	SG Issuer - Galaxy Ent R Bear CBBC Nov 2019 B	100,000,000	2019/02/13	2019/11/28	25.00
69101	SG Issuer - HSI R Bull CBBC Mar 2021 P	200,000,000	2019/02/13	2021/03/30	50.00
69116	SG Issuer - HSI R Bull CBBC Apr 2021 Q	200,000,000	2019/02/13	2021/04/29	50.00
69188	SG Issuer - HSI R Bull CBBC May 2021 G	200,000,000	2019/02/13	2021/05/28	50.00
69179	SG Issuer - HSI R Bear CBBC May 2019 B	200,000,000	2019/02/13	2019/05/30	50.00
69172	SG Issuer - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/13	2019/05/30	50.00
69174	SG Issuer - HSI R Bear CBBC Jul 2019 T	200,000,000	2019/02/13	2019/07/30	50.00
69181	SG Issuer - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/02/13	2019/07/30	50.00
69184	SG Issuer - HSI R Bear CBBC Sep 2019 K	200,000,000	2019/02/13	2019/09/27	50.00
69175	SG Issuer - HSI R Bear CBBC Oct 2019 B	400,000,000	2019/02/13	2019/10/30	100.00
69185	SG Issuer - HSI R Bear CBBC Oct 2019 C	400,000,000	2019/02/13	2019/10/30	100.00
69187	SG Issuer - HSI R Bear CBBC Oct 2019 D	400,000,000	2019/02/13	2019/10/30	100.00
69153	SG Issuer - ICBC R Bear CBBC Aug 2019 A	100,000,000	2019/02/13	2019/08/09	25.00
69156	SG Issuer - Ping An R Bull CBBC Nov 2019 F	100,000,000	2019/02/13	2019/11/22	25.00
69118	SG Issuer - Ping An R Bear CBBC Jul 2019 H	100,000,000	2019/02/13	2019/07/22	25.00
69157	SG Issuer - Sands China R Bear CBBC Aug 2019 E	80,000,000	2019/02/13	2019/08/29	20.00
69163	SG Issuer - Tencent R Bull CBBC Jun 2019 I	80,000,000	2019/02/13	2019/06/20	20.00
69123	SG Issuer - Tencent R Bear CBBC Nov 2019 F	80,000,000	2019/02/13	2019/11/28	20.00
68976	UBS AG - AAC Tech R Bull CBBC Nov 2019 A	40,000,000	2019/02/13	2019/11/28	10.00
68979	UBS AG - AAC Tech R Bull CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	10.00
68981	UBS AG - AAC Tech R Bear CBBC Nov 2019 A	40,000,000	2019/02/13	2019/11/28	10.00
68982	UBS AG - AAC Tech R Bear CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	10.00
68936	UBS AG - Country Garden R Bull CBBC Nov 2019 A	40,000,000	2019/02/13	2019/11/28	10.00
68948	UBS AG - Country Garden R Bull CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	11.20

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68950	UBS AG - Country Garden R Bear CBBC Nov 2019 A	40,000,000	2019/02/13	2019/11/28	10.00
68955	UBS AG - Country Garden R Bear CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	12.40
69004	UBS AG - CPIC R Bull CBBC Feb 2020 A	40,000,000	2019/02/13	2020/02/24	10.00
69006	UBS AG - CPIC R Bear CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	10.00
68880	UBS AG - HSI R Bull CBBC Jan 2021 F	200,000,000	2019/02/13	2021/01/28	50.00
68883	UBS AG - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/02/13	2021/01/28	50.00
68886	UBS AG - HSI R Bull CBBC Feb 2021 C	200,000,000	2019/02/13	2021/02/25	50.00
68890	UBS AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/13	2019/05/30	50.00
68926	UBS AG - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/13	2019/05/30	50.00
68907	UBS AG - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/13	2019/05/30	50.00
68928	UBS AG - Sino Biopharm R Bull CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	10.00
68931	UBS AG - Sino Biopharm R Bear CBBC Dec 2019 A	40,000,000	2019/02/13	2019/12/30	10.00
69049	Bank Vontobel - AAC Tech R Bull CBBC Oct 2019 F	40,000,000	2019/02/13	2019/10/18	10.04
69046	Bank Vontobel - BOCL R Bull CBBC Oct 2019 A	40,000,000	2019/02/13	2019/10/15	10.04
69030	Bank Vontobel - BOCL R Bear CBBC Sep 2019 B	40,000,000	2019/02/13	2019/09/13	10.04
69029	Bank Vontobel - Geely Auto R Bull CBBC Aug 2019 H	40,000,000	2019/02/13	2019/08/23	10.04
69048	Bank Vontobel - Galaxy Ent R Bear CBBC Nov 2019 A	40,000,000	2019/02/13	2019/11/08	10.04
69045	Bank Vontobel - Ping An R Bull CBBC Aug 2019 I	40,000,000	2019/02/13	2019/08/09	10.04
69034	Bank Vontobel - Tencent R Bull CBBC Sep 2019 K	40,000,000	2019/02/13	2019/09/20	10.04
69693	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 A	240,000,000	2019/02/14	2021/01/28	60.00
69687	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 A	240,000,000	2019/02/14	2019/06/27	60.00
69818	Credit Suisse AG - ABC R Bull CBBC Nov 2019 B	70,000,000	2019/02/14	2019/11/28	17.50
69826	Credit Suisse AG - AIA R Bull CBBC Dec 2019 C	70,000,000	2019/02/14	2019/12/12	17.50
69827	Credit Suisse AG - AIA R Bear CBBC Dec 2019 D	70,000,000	2019/02/14	2019/12/12	17.50
69822	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2019 B	50,000,000	2019/02/14	2019/11/28	12.50
69825	Credit Suisse AG - A50 China R Bull CBBC Nov 2019 A	50,000,000	2019/02/14	2019/11/28	12.50
69860	Credit Suisse AG - HSI R Bull CBBC Aug 2020 P	200,000,000	2019/02/14	2020/08/28	50.00
69849	Credit Suisse AG - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/02/14	2021/02/25	50.00
69828	Credit Suisse AG - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/14	2019/05/30	50.00
69791	Credit Suisse AG - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/02/14	2019/06/27	50.00
69840	Credit Suisse AG - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/02/14	2019/07/30	50.00
69846	Credit Suisse AG - HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/02/14	2019/07/30	50.00
69810	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 Q	80,000,000	2019/02/14	2019/11/28	20.00
69796	Credit Suisse AG - Sands China R Bull CBBC Dec 2019 A	50,000,000	2019/02/14	2019/12/12	12.50
69793	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 L	50,000,000	2019/02/14	2019/12/12	12.50
69292	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC May 2019A	100,000,000	2019/02/14	2019/05/14	25.00
69293	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC May 2019B	100,000,000	2019/02/14	2019/05/14	25.00
69274	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 C	200,000,000	2019/02/14	2020/12/30	50.00
69278	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/14	2019/05/30	50.00
69285	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/14	2019/05/30	50.00
69288	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/14	2019/05/30	50.00
69286	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/02/14	2019/06/27	50.00
69282	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 A	100,000,000	2019/02/14	2019/05/14	25.00
69280	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2019 V	80,000,000	2019/02/14	2019/05/14	31.28
69541	Haitong Int'l Sec - ABC R Bull CBBC Oct 2019 A	110,000,000	2019/02/14	2019/10/02	27.50

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69628	Haitong Int'l Sec - ABC R Bear CBBC Sep 2019 A	110,000,000	2019/02/14	2019/09/03	27.50
69530	Haitong Int'l Sec - CKH R Bull CBBC Aug 2019 A	60,000,000	2019/02/14	2019/08/07	15.00
69610	Haitong Int'l Sec - CKH R Bear CBBC Aug 2019 A	60,000,000	2019/02/14	2019/08/14	15.00
69552	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC Aug 2019 A	40,000,000	2019/02/14	2019/08/07	10.00
69639	Haitong Int'l Sec - CSOP A50 ETF R Bear CBBC Nov 2019 A	40,000,000	2019/02/14	2019/11/04	10.00
69590	Haitong Int'l Sec - A50 R Bull CBBC Aug 2019 A	40,000,000	2019/02/14	2019/08/06	10.00
69645	Haitong Int'l Sec - A50 China R Bear CBBC Nov 2019 A	40,000,000	2019/02/14	2019/11/14	10.00
69685	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 V	120,000,000	2019/02/14	2020/11/27	30.00
69661	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 E	120,000,000	2019/02/14	2020/12/30	30.00
69669	Haitong Int'l Sec - HSI R Bear CBBC May 2019 Q	120,000,000	2019/02/14	2019/05/30	30.00
69671	Haitong Int'l Sec - HSI R Bear CBBC May 2019 R	120,000,000	2019/02/14	2019/05/30	30.00
69681	Haitong Int'l Sec - HSI R Bear CBBC May 2019 S	120,000,000	2019/02/14	2019/05/30	30.00
69597	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Aug 2019 A	80,000,000	2019/02/14	2019/08/05	20.00
69660	Haitong Int'l Sec - Meituan Dianping R Bear CBBC Oct 2019 A	40,000,000	2019/02/14	2019/10/14	10.00
69540	Haitong Int'l Sec - SHK Ppt R Bull CBBC Aug 2019 A	40,000,000	2019/02/14	2019/08/05	10.00
69611	Haitong Int'l Sec - SHK Ppt R Bear CBBC Oct 2019 A	40,000,000	2019/02/14	2019/10/24	10.00
69767	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jun 2019 A	60,000,000	2019/02/14	2019/06/14	15.00
69771	J P Morgan SP BV - A50 China R Bull CBBC Jun 2019 A	60,000,000	2019/02/14	2019/06/14	15.00
69781	J P Morgan SP BV - A50 China R Bear CBBC Jun 2019 A	60,000,000	2019/02/14	2019/06/14	15.00
69694	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2020 B	100,000,000	2019/02/14	2020/12/30	25.00
69743	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 E	200,000,000	2019/02/14	2021/02/25	50.00
69765	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 F	200,000,000	2019/02/14	2021/02/25	50.00
69695	J P Morgan SP BV - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/14	2019/05/30	50.00
69696	J P Morgan SP BV - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/14	2019/05/30	50.00
69704	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 O	200,000,000	2019/02/14	2019/06/27	50.00
69724	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/02/14	2019/06/27	50.00
69736	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/02/14	2019/06/27	50.00
69786	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jul 2019 A	70,000,000	2019/02/14	2019/07/19	17.50
69790	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 B	50,000,000	2019/02/14	2019/06/14	12.50
69346	SG Issuer - ABC R Bull CBBC Dec 2019 C	100,000,000	2019/02/14	2019/12/13	25.00
69353	SG Issuer - ABC R Bull CBBC Dec 2019 D	100,000,000	2019/02/14	2019/12/30	25.00
69354	SG Issuer - ABC R Bear CBBC Dec 2019 B	60,000,000	2019/02/14	2019/12/30	15.00
69443	SG Issuer - AIA R Bull CBBC Nov 2019 B	60,000,000	2019/02/14	2019/11/28	15.00
69395	SG Issuer - AIA R Bear CBBC Jul 2019 J	60,000,000	2019/02/14	2019/07/10	15.00
69438	SG Issuer - AIA R Bear CBBC Oct 2019 B	60,000,000	2019/02/14	2019/10/30	15.00
69355	SG Issuer - CKH R Bull CBBC Sep 2019 A	100,000,000	2019/02/14	2019/09/30	25.00
69366	SG Issuer - CKH R Bull CBBC Oct 2019 A	100,000,000	2019/02/14	2019/10/28	25.00
69385	SG Issuer - CKH R Bear CBBC Oct 2019 A	60,000,000	2019/02/14	2019/10/30	15.00
69464	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2019 A	100,000,000	2019/02/14	2019/11/05	25.00
69468	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2019 B	100,000,000	2019/02/14	2019/11/28	25.00
69469	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 A	100,000,000	2019/02/14	2020/02/17	25.00
69472	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 B	100,000,000	2019/02/14	2020/02/27	25.00
69506	SG Issuer - A50 China R Bull CBBC Nov 2019 A	80,000,000	2019/02/14	2019/11/05	20.00
69509	SG Issuer - A50 China R Bull CBBC Nov 2019 B	80,000,000	2019/02/14	2019/11/28	20.00
69516	SG Issuer - A50 China R Bull CBBC Feb 2020 A	80,000,000	2019/02/14	2020/02/21	20.00

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69529	SG Issuer - A50 China R Bull CBBC Feb 2020 B	80,000,000	2019/02/14	2020/02/27	20.00
69449	SG Issuer - Galaxy Ent R Bull CBBC Nov 2019 A	100,000,000	2019/02/14	2019/11/08	25.00
69446	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 C	100,000,000	2019/02/14	2019/09/12	25.00
69434	SG Issuer - HSI R Bull CBBC Apr 2021 R	200,000,000	2019/02/14	2021/04/29	50.00
69436	SG Issuer - HSI R Bull CBBC Apr 2021 S	200,000,000	2019/02/14	2021/04/29	50.00
69437	SG Issuer - HSI R Bull CBBC May 2021 H	200,000,000	2019/02/14	2021/05/28	50.00
69401	SG Issuer - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/14	2019/05/30	50.00
69433	SG Issuer - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/14	2019/05/30	50.00
69432	SG Issuer - HSI R Bear CBBC Jul 2019 U	200,000,000	2019/02/14	2019/07/30	50.00
69452	SG Issuer - Ping An R Bull CBBC Oct 2019 I	100,000,000	2019/02/14	2019/10/30	25.00
69456	SG Issuer - SHK Ppt R Bull CBBC Dec 2019 A	100,000,000	2019/02/14	2019/12/10	25.00
69457	SG Issuer - SHK Ppt R Bull CBBC Dec 2019 B	100,000,000	2019/02/14	2019/12/13	25.00
69453	SG Issuer - SHK Ppt R Bear CBBC Aug 2019 A	60,000,000	2019/02/14	2019/08/29	15.00
69455	SG Issuer - SHK Ppt R Bear CBBC Sep 2019 A	60,000,000	2019/02/14	2019/09/26	15.00
69400	SG Issuer - Tencent R Bull CBBC May 2019 U	80,000,000	2019/02/14	2019/05/31	20.00
69462	SG Issuer - Tencent R Bear CBBC Oct 2019 B	80,000,000	2019/02/14	2019/10/30	20.00
69319	UBS AG - CKH R Bull CBBC Nov 2019 A	40,000,000	2019/02/14	2019/11/11	10.00
69328	UBS AG - CKH R Bear CBBC Nov 2019 A	40,000,000	2019/02/14	2019/11/11	10.00
69297	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2019 B	50,000,000	2019/02/14	2019/11/11	12.50
69303	UBS AG - A50 China R Bull CBBC Oct 2019 A	50,000,000	2019/02/14	2019/10/28	12.50
69309	UBS AG - A50 China R Bull CBBC Nov 2019 A	50,000,000	2019/02/14	2019/11/11	12.50
69317	UBS AG - A50 China R Bear CBBC Oct 2019 A	50,000,000	2019/02/14	2019/10/28	12.50
69318	UBS AG - A50 China R Bear CBBC Nov 2019 A	50,000,000	2019/02/14	2019/11/11	12.50
69339	UBS AG - HSI R Bull CBBC Jan 2021 H	200,000,000	2019/02/14	2021/01/28	50.00
69334	UBS AG - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/14	2019/05/30	50.00
69341	UBS AG - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/14	2019/05/30	50.00
69331	UBS AG - SHK Ppt R Bull CBBC Sep 2019 A	40,000,000	2019/02/14	2019/09/30	10.00
69333	UBS AG - SHK Ppt R Bear CBBC Sep 2019 A	40,000,000	2019/02/14	2019/09/30	10.00
69296	UBS AG - Tencent R Bull CBBC Jul 2019 H	40,000,000	2019/02/14	2019/07/09	10.00
69294	UBS AG - Tencent R Bear CBBC Jun 2019 O	40,000,000	2019/02/14	2019/06/04	10.00
69926	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2019F	150,000,000	2019/02/15	2019/11/28	37.50
69880	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 B	250,000,000	2019/02/15	2021/01/28	62.50
57521	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 C	250,000,000	2019/02/15	2021/01/28	62.50
57550	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 D	250,000,000	2019/02/15	2021/01/28	62.50
57589	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 E	240,000,000	2019/02/15	2021/01/28	60.00
57557	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 B	250,000,000	2019/02/15	2019/06/27	62.50
69918	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Jun 2019 A	200,000,000	2019/02/15	2019/06/27	50.00
69911	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 J	50,000,000	2019/02/15	2019/06/27	12.50
69917	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 Q	50,000,000	2019/02/15	2019/11/28	12.50
57741	Credit Suisse AG - AIA R Bull CBBC Dec 2019 D	70,000,000	2019/02/15	2019/12/06	17.50
57705	Credit Suisse AG - CKH R Bull CBBC Nov 2019 A	50,000,000	2019/02/15	2019/11/28	12.50
57719	Credit Suisse AG - CKH R Bear CBBC Nov 2019 A	50,000,000	2019/02/15	2019/11/28	12.50
57737	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2019 C	50,000,000	2019/02/15	2019/11/22	12.50
57738	Credit Suisse AG - A50 China R Bull CBBC Nov 2019 B	50,000,000	2019/02/15	2019/11/22	12.50
57704	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2019 I	40,000,000	2019/02/15	2019/11/21	10.00

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57699	Credit Suisse AG - HSI R Bull CBBC Sep 2020 K	200,000,000	2019/02/15	2020/09/29	50.00
57698	Credit Suisse AG - HSI R Bull CBBC Jan 2021 E	200,000,000	2019/02/15	2021/01/28	50.00
57697	Credit Suisse AG - HSI R Bull CBBC Feb 2021 V	300,000,000	2019/02/15	2021/02/25	75.00
57739	Credit Suisse AG - HSI R Bear CBBC May 2019 S	200,000,000	2019/02/15	2019/05/30	50.00
57740	Credit Suisse AG - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/15	2019/05/30	50.00
57733	Credit Suisse AG - Meituan Dianping R Bull CBBC Nov 2019 A	40,000,000	2019/02/15	2019/11/28	10.00
57729	Credit Suisse AG - SHK Ppt R Bull CBBC Dec 2019 A	50,000,000	2019/02/15	2019/12/30	12.50
57730	Credit Suisse AG - SHK Ppt R Bear CBBC Dec 2019 A	50,000,000	2019/02/15	2019/12/30	12.50
57700	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 O	50,000,000	2019/02/15	2019/11/28	12.50
57703	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 M	50,000,000	2019/02/15	2019/11/28	12.50
57753	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 M	100,000,000	2019/02/15	2019/11/15	25.00
57768	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Feb 2020 H	100,000,000	2019/02/15	2020/02/14	25.00
69884	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 I	200,000,000	2019/02/15	2020/12/30	50.00
69889	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 K	200,000,000	2019/02/15	2020/12/30	50.00
57742	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/15	2019/05/30	50.00
57772	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 B	200,000,000	2019/02/15	2019/05/30	50.00
69897	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2019 B	100,000,000	2019/02/15	2019/05/15	25.00
57005	HK Bank - ABC R Bull CBBC Oct 2019 A	75,000,000	2019/02/15	2019/10/14	18.75
57057	HK Bank - ABC R Bear CBBC Sep 2019 A	50,000,000	2019/02/15	2019/09/02	12.50
69991	HK Bank - AIA R Bull CBBC Oct 2019 A	60,000,000	2019/02/15	2019/10/08	15.00
69994	HK Bank - CKH R Bull CBBC Oct 2019 A	40,000,000	2019/02/15	2019/10/08	10.00
57002	HK Bank - CKH R Bear CBBC Jul 2019 A	40,000,000	2019/02/15	2019/07/29	10.00
57096	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2019 A	50,000,000	2019/02/15	2019/09/02	12.50
57098	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2019 A	50,000,000	2019/02/15	2019/10/08	12.50
57065	HK Bank - A50 China R Bull CBBC Sep 2019 A	50,000,000	2019/02/15	2019/09/02	12.50
57114	HK Bank - A50 China R Bull CBBC Oct 2019 A	50,000,000	2019/02/15	2019/10/08	12.50
69904	HK Bank - HSI R Bull CBBC Jan 2020 D	120,000,000	2019/02/15	2020/01/30	30.00
69985	HK Bank - HSI R Bear CBBC Jan 2020 A	120,000,000	2019/02/15	2020/01/30	30.00
69984	HK Bank - Meituan Dianping R Bull CBBC Sep 2019 A	40,000,000	2019/02/15	2019/09/30	10.00
69961	HK Bank - SHK Ppt R Bull CBBC Dec 2019 A	40,000,000	2019/02/15	2019/12/16	10.00
69942	HK Bank - SHK Ppt R Bear CBBC Jul 2019 A	40,000,000	2019/02/15	2019/07/29	10.00
69975	HK Bank - SUNAC R Bull CBBC Dec 2019 D	60,000,000	2019/02/15	2019/12/16	15.00
57632	Haitong Int'l Sec - Conch Cement R Bear CBBC Sep 2019 A	100,000,000	2019/02/15	2019/09/11	25.00
57623	Haitong Int'l Sec - Sinopec Corp R Bear CBBC Aug 2019 B	70,000,000	2019/02/15	2019/08/07	17.50
57638	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 W	120,000,000	2019/02/15	2020/11/27	30.00
57642	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 F	120,000,000	2019/02/15	2020/12/30	30.00
57643	Haitong Int'l Sec - HSI R Bear CBBC May 2019 T	120,000,000	2019/02/15	2019/05/30	30.00
57644	Haitong Int'l Sec - HSI R Bear CBBC May 2019 U	120,000,000	2019/02/15	2019/05/30	30.00
57649	Haitong Int'l Sec - HSI R Bear CBBC May 2019 V	120,000,000	2019/02/15	2019/05/30	30.00
57621	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Aug 2019 B	80,000,000	2019/02/15	2019/08/07	20.00
58065	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 C	40,000,000	2019/02/15	2019/08/07	10.00
58070	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2019 C	40,000,000	2019/02/15	2019/09/05	10.00
57691	J P Morgan SP BV - AIA R Bull CBBC Jun 2019 B	100,000,000	2019/02/15	2019/06/21	25.00
57696	J P Morgan SP BV - AIA R Bear CBBC Jun 2019 A	100,000,000	2019/02/15	2019/06/14	25.00
57651	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 G	200,000,000	2019/02/15	2021/02/25	50.00

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57664	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 H	200,000,000	2019/02/15	2021/02/25	50.00
57665	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 I	200,000,000	2019/02/15	2021/02/25	50.00
57666	J P Morgan SP BV - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/15	2019/05/30	50.00
57689	J P Morgan SP BV - HSI R Bear CBBC May 2019 O	200,000,000	2019/02/15	2019/05/30	50.00
57650	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 B	50,000,000	2019/02/15	2019/06/14	12.50
57690	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 C	50,000,000	2019/02/15	2019/06/14	12.50
57893	SG Issuer - China Mobile R Bull CBBC Oct 2019 B	100,000,000	2019/02/15	2019/10/10	25.00
57879	SG Issuer - CM Bank R Bull CBBC Feb 2020 C	100,000,000	2019/02/15	2020/02/27	25.00
57922	SG Issuer - HKEX R Bear CBBC Jun 2019 C	50,000,000	2019/02/15	2019/06/25	12.50
57851	SG Issuer - HSI R Bull CBBC Mar 2021 Q	200,000,000	2019/02/15	2021/03/30	50.00
57875	SG Issuer - HSI R Bull CBBC May 2021 I	200,000,000	2019/02/15	2021/05/28	50.00
58064	SG Issuer - HSI R Bull CBBC May 2021 J	200,000,000	2019/02/15	2021/05/28	50.00
57991	SG Issuer - HSI R Bear CBBC May 2019 U	200,000,000	2019/02/15	2019/05/30	50.00
57994	SG Issuer - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/15	2019/05/30	50.00
58000	SG Issuer - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/15	2019/05/30	50.00
58020	SG Issuer - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/02/15	2019/07/30	50.00
58024	SG Issuer - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/02/15	2019/07/30	50.00
58039	SG Issuer - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/02/15	2019/07/30	50.00
58053	SG Issuer - HSI R Bear CBBC Jul 2019 V	200,000,000	2019/02/15	2019/07/30	50.00
58056	SG Issuer - HSI R Bear CBBC Aug 2019 W	200,000,000	2019/02/15	2019/08/29	55.00
58063	SG Issuer - HSI R Bear CBBC Oct 2019 E	400,000,000	2019/02/15	2019/10/30	100.00
57805	SG Issuer - Ping An R Bull CBBC Oct 2019 J	100,000,000	2019/02/15	2019/10/15	25.00
57947	SG Issuer - Sunny Optical R Bull CBBC Aug 2019 A	40,000,000	2019/02/15	2019/08/05	10.00
57806	SG Issuer - Tencent R Bull CBBC May 2019 X	80,000,000	2019/02/15	2019/05/30	20.00
57848	SG Issuer - Tencent R Bull CBBC Jun 2019 D	80,000,000	2019/02/15	2019/06/27	20.00
57954	SG Issuer - Tencent R Bear CBBC Jul 2019 J	80,000,000	2019/02/15	2019/07/18	20.00
57515	UBS AG - AIA R Bull CBBC Oct 2019 A	60,000,000	2019/02/15	2019/10/14	15.00
57516	UBS AG - AIA R Bear CBBC Sep 2019 A	60,000,000	2019/02/15	2019/09/30	15.00
57519	UBS AG - AIA R Bear CBBC Oct 2019 B	60,000,000	2019/02/15	2019/10/14	15.00
57409	UBS AG - CKH R Bull CBBC Dec 2019 A	40,000,000	2019/02/15	2019/12/16	10.00
57432	UBS AG - CKH R Bear CBBC Dec 2019 A	40,000,000	2019/02/15	2019/12/16	10.00
57120	UBS AG - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/02/15	2021/02/25	50.00
57150	UBS AG - HSI R Bull CBBC Feb 2021 E	200,000,000	2019/02/15	2021/02/25	50.00
57167	UBS AG - HSI R Bull CBBC Feb 2021 F	200,000,000	2019/02/15	2021/02/25	50.00
57186	UBS AG - HSI R Bull CBBC Feb 2021 G	200,000,000	2019/02/15	2021/02/25	50.00
57345	UBS AG - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/15	2019/05/30	50.00
57400	UBS AG - HSI R Bear CBBC May 2019 F	200,000,000	2019/02/15	2019/05/30	50.00
57484	UBS AG - Sands China R Bear CBBC Oct 2019 A	60,000,000	2019/02/15	2019/10/14	15.00
57474	UBS AG - SHK Ppt R Bull CBBC Dec 2019 A	40,000,000	2019/02/15	2019/12/16	10.00
57482	UBS AG - SHK Ppt R Bear CBBC Dec 2019 A	40,000,000	2019/02/15	2019/12/16	10.00
57483	UBS AG - Sunny Optical R Bear CBBC Sep 2019 A	40,000,000	2019/02/15	2019/09/23	10.00
57202	UBS AG - Tencent R Bull CBBC Jun 2019 Q	40,000,000	2019/02/15	2019/06/25	10.00
57236	UBS AG - Tencent R Bull CBBC Jun 2019 R	40,000,000	2019/02/15	2019/06/18	10.00
57802	Bank Vontobel - AIA R Bull CBBC Oct 2019 F	40,000,000	2019/02/15	2019/10/25	10.00
57773	Bank Vontobel - CSOP A50 ETF R Bull CBBC Sep 2019 A	40,000,000	2019/02/15	2019/09/06	10.04

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57793	Bank Vontobel - CSOP A50 ETF R Bull CBBC Oct 2019 A	40,000,000	2019/02/15	2019/10/18	10.04
57799	Bank Vontobel - CSOP A50 ETF R Bull CBBC Nov 2019 A	40,000,000	2019/02/15	2019/11/15	10.04
58460	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 J	150,000,000	2019/02/18	2019/12/30	37.80
58476	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 J	150,000,000	2019/02/18	2019/05/30	37.50
58510	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 F	250,000,000	2019/02/18	2021/01/28	62.50
58576	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 G	250,000,000	2019/02/18	2021/01/28	62.50
58577	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 C	240,000,000	2019/02/18	2019/06/27	60.00
58744	Credit Suisse AG - AIA R Bull CBBC Dec 2019 E	70,000,000	2019/02/18	2019/12/13	17.50
58766	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2019 D	50,000,000	2019/02/18	2019/11/21	12.50
58779	Credit Suisse AG - A50 China R Bull CBBC Nov 2019 C	50,000,000	2019/02/18	2019/11/21	12.50
58798	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2019 A	50,000,000	2019/02/18	2019/12/06	12.50
58767	Credit Suisse AG - HSI R Bull CBBC Mar 2021 A	200,000,000	2019/02/18	2021/03/30	50.00
58774	Credit Suisse AG - HSI R Bull CBBC Mar 2021 B	200,000,000	2019/02/18	2021/03/30	50.00
58776	Credit Suisse AG - HSI R Bull CBBC Mar 2021 C	200,000,000	2019/02/18	2021/03/30	50.00
58777	Credit Suisse AG - HSI R Bull CBBC Mar 2021 D	300,000,000	2019/02/18	2021/03/30	75.00
58740	Credit Suisse AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/18	2019/05/30	50.00
58714	Credit Suisse AG - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/18	2019/05/30	50.00
58710	Credit Suisse AG - HSI R Bear CBBC Jul 2019 R	300,000,000	2019/02/18	2019/07/30	75.00
58755	Credit Suisse AG - Meituan Dianping R Bull CBBC Dec 2019 A	40,000,000	2019/02/18	2019/12/13	10.00
58745	Credit Suisse AG - Sunny Optical R Bull CBBC Dec 2019 C	40,000,000	2019/02/18	2019/12/13	10.00
58746	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 M	50,000,000	2019/02/18	2019/12/06	12.50
58998	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 N	100,000,000	2019/02/18	2019/11/15	25.00
58997	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 A	200,000,000	2019/02/18	2020/12/30	50.00
59003	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 G	200,000,000	2019/02/18	2020/12/30	50.00
58398	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/18	2019/05/30	50.00
58973	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 F	200,000,000	2019/02/18	2019/05/30	50.00
58999	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 J	100,000,000	2019/02/18	2019/12/31	25.00
59034	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 E	100,000,000	2019/02/18	2019/06/28	25.00
59035	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 F	100,000,000	2019/02/18	2019/06/28	25.00
59016	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 A	80,000,000	2019/02/18	2019/06/28	32.16
58169	HK Bank - AIA R Bull CBBC Oct 2019 B	60,000,000	2019/02/18	2019/10/14	15.00
58167	HK Bank - AIA R Bear CBBC Oct 2019 C	40,000,000	2019/02/18	2019/10/08	10.00
58207	HK Bank - CKH R Bull CBBC Nov 2019 A	40,000,000	2019/02/18	2019/11/11	10.00
58074	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2019 A	50,000,000	2019/02/18	2019/08/19	12.50
58090	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2019 B	50,000,000	2019/02/18	2019/10/28	12.50
58081	HK Bank - A50 China R Bull CBBC Aug 2019 A	50,000,000	2019/02/18	2019/08/19	12.50
58103	HK Bank - A50 China R Bull CBBC Oct 2019 B	50,000,000	2019/02/18	2019/10/28	12.50
58181	HK Bank - Galaxy Ent R Bull CBBC Oct 2019 B	80,000,000	2019/02/18	2019/10/14	20.00
58088	HK Bank - HSI R Bull CBBC Jan 2020 E	120,000,000	2019/02/18	2020/01/30	30.00
58087	HK Bank - HSI R Bear CBBC Dec 2019 D	120,000,000	2019/02/18	2019/12/30	30.00
58248	HK Bank - Meituan Dianping R Bull CBBC Sep 2019 B	60,000,000	2019/02/18	2019/09/02	15.00
58316	HK Bank - Sands China R Bear CBBC Oct 2019 A	40,000,000	2019/02/18	2019/10/14	10.00
58229	HK Bank - SHK Ppt R Bull CBBC Jan 2020 A	40,000,000	2019/02/18	2020/01/06	10.00
58354	HK Bank - Sunny Optical R Bull CBBC Aug 2019 B	40,000,000	2019/02/18	2019/08/26	10.00
58180	HK Bank - Tencent R Bull CBBC Sep 2019 C	60,000,000	2019/02/18	2019/09/16	15.00

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58947	Haitong Int'l Sec - AIA R Bull CBBC Aug 2019 B	60,000,000	2019/02/18	2019/08/08	15.00
58942	Haitong Int'l Sec - Geely Auto R Bull CBBC Aug 2019 D	40,000,000	2019/02/18	2019/08/07	10.00
58478	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 X	120,000,000	2019/02/18	2020/11/27	30.00
58477	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 G	120,000,000	2019/02/18	2020/12/30	30.00
58479	Haitong Int'l Sec - HSI R Bear CBBC May 2019 W	120,000,000	2019/02/18	2019/05/30	30.00
58480	Haitong Int'l Sec - HSI R Bear CBBC May 2019 X	120,000,000	2019/02/18	2019/05/30	30.00
58951	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 I	60,000,000	2019/02/18	2019/07/23	15.00
58967	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2019 A	60,000,000	2019/02/18	2019/08/16	15.00
59037	Haitong Int'l Sec - Sunny Optical R Bull CBBC Aug 2019 A	50,000,000	2019/02/18	2019/08/08	12.50
59040	Haitong Int'l Sec - Sunny Optical R Bull CBBC Aug 2019 B	50,000,000	2019/02/18	2019/08/08	12.50
58969	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 D	40,000,000	2019/02/18	2019/08/08	10.00
58971	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 E	40,000,000	2019/02/18	2019/08/08	10.00
58685	J P Morgan SP BV - AIA R Bull CBBC Jun 2019 C	100,000,000	2019/02/18	2019/06/21	25.00
58656	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 B	200,000,000	2019/02/18	2021/01/28	50.00
58658	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 J	200,000,000	2019/02/18	2021/02/25	50.00
58670	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 K	200,000,000	2019/02/18	2021/02/25	50.00
58672	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 L	200,000,000	2019/02/18	2021/02/25	50.00
58625	J P Morgan SP BV - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/18	2019/05/30	50.00
58636	J P Morgan SP BV - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/18	2019/05/30	50.00
58665	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/02/18	2019/06/27	50.00
58707	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 C	50,000,000	2019/02/18	2019/06/14	12.50
58708	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 D	50,000,000	2019/02/18	2019/06/14	12.50
58112	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 P	19,200,000	2019/02/18	2019/06/27	10.04
58148	Macquarie Bank Ltd. - Tencent R Bear CBBC Jul 2019 C	16,400,000	2019/02/18	2019/07/18	10.05
58824	SG Issuer - AIA R Bull CBBC Oct 2019 E	60,000,000	2019/02/18	2019/10/18	15.00
58932	SG Issuer - AIA R Bear CBBC Sep 2019 B	60,000,000	2019/02/18	2019/09/27	15.00
58879	SG Issuer - CKH R Bull CBBC Oct 2019 B	100,000,000	2019/02/18	2019/10/09	25.00
58880	SG Issuer - CKH R Bear CBBC Dec 2019 A	60,000,000	2019/02/18	2019/12/06	15.00
58892	SG Issuer - Geely Auto R Bull CBBC Jul 2019 H	40,000,000	2019/02/18	2019/07/23	10.00
58825	SG Issuer - HKEX R Bull CBBC Jun 2019 E	50,000,000	2019/02/18	2019/06/20	12.50
58894	SG Issuer - HSBC R Bull CBBC Dec 2019 A	100,000,000	2019/02/18	2019/12/06	25.00
58909	SG Issuer - HSI R Bull CBBC Mar 2021 R	200,000,000	2019/02/18	2021/03/30	50.00
58929	SG Issuer - HSI R Bull CBBC Mar 2021 S	200,000,000	2019/02/18	2021/03/30	50.00
58930	SG Issuer - HSI R Bull CBBC May 2021 K	200,000,000	2019/02/18	2021/05/28	50.00
58853	SG Issuer - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/18	2019/05/30	50.00
58869	SG Issuer - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/18	2019/05/30	50.00
58902	SG Issuer - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/02/18	2019/07/30	50.00
58904	SG Issuer - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/02/18	2019/07/30	50.00
58901	SG Issuer - HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/02/18	2019/07/30	50.00
58905	SG Issuer - HSI R Bear CBBC Oct 2019 F	200,000,000	2019/02/18	2019/10/30	50.00
58906	SG Issuer - HSI R Bear CBBC Oct 2019 G	200,000,000	2019/02/18	2019/10/30	50.00
58933	SG Issuer - Meituan Dianping R Bull CBBC Jul 2019 A	60,000,000	2019/02/18	2019/07/03	15.00
58934	SG Issuer - Meituan Dianping R Bull CBBC Jul 2019 B	60,000,000	2019/02/18	2019/07/25	15.00
58935	SG Issuer - Meituan Dianping R Bull CBBC Oct 2019 A	60,000,000	2019/02/18	2019/10/15	15.00
58895	SG Issuer - Ping An R Bear CBBC Jun 2019 B	100,000,000	2019/02/18	2019/06/03	25.00

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58940	SG Issuer - Sunny Optical R Bull CBBC Jul 2019 E	40,000,000	2019/02/18	2019/07/29	10.00
58826	SG Issuer - Tencent R Bull CBBC Jun 2019 K	80,000,000	2019/02/18	2019/06/11	20.00
58941	SG Issuer - Tencent R Bull CBBC Jul 2019 B	80,000,000	2019/02/18	2019/07/03	20.00
58846	SG Issuer - Tencent R Bear CBBC Nov 2019 G	80,000,000	2019/02/18	2019/11/26	20.00
58897	SG Issuer - Tencent R Bear CBBC Dec 2019 C	80,000,000	2019/02/18	2019/12/06	20.00
58900	SG Issuer - Tencent R Bear CBBC Dec 2019 D	80,000,000	2019/02/18	2019/12/13	35.20
58613	UBS AG - HSI R Bull CBBC Nov 2020 C	200,000,000	2019/02/18	2020/11/27	50.00
58612	UBS AG - HSI R Bull CBBC Feb 2021 H	200,000,000	2019/02/18	2021/02/25	50.00
58608	UBS AG - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/18	2019/05/30	50.00
58611	UBS AG - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/18	2019/05/30	50.00
58584	UBS AG - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/18	2019/05/30	50.00
58601	UBS AG - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/18	2019/05/30	50.00
58623	UBS AG - Sunny Optical R Bull CBBC Nov 2019 A	40,000,000	2019/02/18	2019/11/29	10.00
58624	UBS AG - Tencent R Bull CBBC Jul 2019 I	40,000,000	2019/02/18	2019/07/22	10.00
58809	Bank Vontobel - CKH R Bull CBBC Nov 2019 A	40,000,000	2019/02/18	2019/11/15	10.04
58818	Bank Vontobel - CKH R Bull CBBC Nov 2019 B	40,000,000	2019/02/18	2019/11/22	10.04
58821	Bank Vontobel - CKH R Bear CBBC Oct 2019 A	40,000,000	2019/02/18	2019/10/18	10.04
58822	Bank Vontobel - CKH R Bear CBBC Nov 2019 A	40,000,000	2019/02/18	2019/11/08	10.04
58805	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2019 A	40,000,000	2019/02/18	2019/11/08	10.04
58806	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2019 B	40,000,000	2019/02/18	2019/11/15	10.04
59233	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 H	240,000,000	2019/02/19	2021/01/28	60.00
59042	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 D	250,000,000	2019/02/19	2019/06/27	62.50
59633	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2019 D	40,000,000	2019/02/19	2019/12/06	10.00
59649	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 D	50,000,000	2019/02/19	2019/12/13	12.50
59650	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 B	50,000,000	2019/02/19	2019/12/30	16.00
59622	Credit Suisse AG - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/02/19	2021/01/28	50.00
59620	Credit Suisse AG - HSI R Bull CBBC Feb 2021 W	200,000,000	2019/02/19	2021/02/25	50.00
59611	Credit Suisse AG - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/02/19	2019/06/27	50.00
59638	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 N	50,000,000	2019/02/19	2019/12/13	12.50
60068	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 S	100,000,000	2019/02/19	2019/12/30	25.00
60069	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 T	100,000,000	2019/02/19	2019/12/30	25.00
60096	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Dec 2019 A	80,000,000	2019/02/19	2019/12/30	20.00
59106	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 D	200,000,000	2019/02/19	2020/12/30	50.00
59105	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 L	200,000,000	2019/02/19	2020/12/30	50.00
59043	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/19	2019/05/30	50.00
59045	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/19	2019/05/30	50.00
60052	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/19	2019/05/30	50.00
60056	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/19	2019/05/30	50.00
60070	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/19	2019/05/30	50.00
60079	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 G	100,000,000	2019/02/19	2019/06/28	25.00
60072	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 B	80,000,000	2019/02/19	2019/06/28	40.56
59139	HK Bank - HKEX R Bull CBBC Jul 2019 F	50,000,000	2019/02/19	2019/07/29	12.50
59155	HK Bank - HKEX R Bear CBBC Sep 2019 A	40,000,000	2019/02/19	2019/09/23	15.20
59136	HK Bank - HSI R Bull CBBC Feb 2020 D	80,000,000	2019/02/19	2020/02/27	20.00
59135	HK Bank - HSI R Bear CBBC Jul 2019 M	80,000,000	2019/02/19	2019/07/30	20.00

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59164	HK Bank - Sunny Optical R Bull CBBC Sep 2019 A	40,000,000	2019/02/19	2019/09/16	10.00
59158	HK Bank - Tencent R Bull CBBC Oct 2019 A	60,000,000	2019/02/19	2019/10/08	15.00
59160	HK Bank - Tencent R Bear CBBC Oct 2019 D	50,000,000	2019/02/19	2019/10/21	30.50
59570	Haitong Int'l Sec - Sinopec Corp R Bull CBBC Aug 2019 A	70,000,000	2019/02/19	2019/08/08	17.50
59389	Haitong Int'l Sec - Geely Auto R Bull CBBC Aug 2019 E	40,000,000	2019/02/19	2019/08/08	10.00
59384	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Jul 2019 C	80,000,000	2019/02/19	2019/07/10	20.00
59446	Haitong Int'l Sec - Galaxy Ent R Bear CBBC Jul 2019 D	80,000,000	2019/02/19	2019/07/22	20.00
59414	Haitong Int'l Sec - HKEX R Bull CBBC Aug 2019 A	40,000,000	2019/02/19	2019/08/02	10.00
59471	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 A	40,000,000	2019/02/19	2019/07/24	12.20
59521	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 Y	120,000,000	2019/02/19	2020/11/27	30.00
59541	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 H	120,000,000	2019/02/19	2020/12/30	30.00
59559	Haitong Int'l Sec - HSI R Bear CBBC May 2019 Y	120,000,000	2019/02/19	2019/05/30	30.00
59564	Haitong Int'l Sec - HSI R Bear CBBC May 2019 Z	120,000,000	2019/02/19	2019/05/30	30.00
59434	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 J	60,000,000	2019/02/19	2019/07/30	15.00
59445	Haitong Int'l Sec - Ping An R Bull CBBC Aug 2019 B	60,000,000	2019/02/19	2019/08/01	15.00
59508	Haitong Int'l Sec - Sunny Optical R Bear CBBC Aug 2019 A	50,000,000	2019/02/19	2019/08/27	12.50
59483	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 F	40,000,000	2019/02/19	2019/08/09	11.84
59250	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 M	200,000,000	2019/02/19	2021/02/25	50.00
59296	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 N	200,000,000	2019/02/19	2021/02/25	50.00
59267	J P Morgan SP BV - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/19	2019/05/30	50.00
59303	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/02/19	2019/06/27	50.00
59319	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/02/19	2019/06/27	50.00
59346	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/02/19	2019/06/27	50.00
59067	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 A	18,400,000	2019/02/19	2019/07/03	10.05
59047	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 A	9,000,000	2019/02/19	2019/11/26	10.10
60023	SG Issuer - AIA R Bull CBBC Oct 2019 F	60,000,000	2019/02/19	2019/10/23	15.00
59917	SG Issuer - Galaxy Ent R Bull CBBC Dec 2019 B	100,000,000	2019/02/19	2019/12/30	25.00
60026	SG Issuer - HKEX R Bull CBBC Oct 2019 A	50,000,000	2019/02/19	2019/10/04	12.50
60038	SG Issuer - HKEX R Bull CBBC Oct 2019 B	50,000,000	2019/02/19	2019/10/15	12.50
59839	SG Issuer - HKEX R Bear CBBC Jul 2019 K	50,000,000	2019/02/19	2019/07/05	12.50
60024	SG Issuer - HKEX R Bear CBBC Jul 2019 L	50,000,000	2019/02/19	2019/07/26	13.00
60040	SG Issuer - HSBC R Bull CBBC Nov 2019 E	100,000,000	2019/02/19	2019/11/28	25.00
59913	SG Issuer - HSI R Bull CBBC Apr 2021 T	200,000,000	2019/02/19	2021/04/29	50.00
59915	SG Issuer - HSI R Bull CBBC Apr 2021 U	200,000,000	2019/02/19	2021/04/29	50.00
60015	SG Issuer - HSI R Bull CBBC May 2021 L	400,000,000	2019/02/19	2021/05/28	100.00
60005	SG Issuer - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/19	2019/05/30	50.00
59882	SG Issuer - HSI R Bear CBBC Aug 2019 X	200,000,000	2019/02/19	2019/08/29	50.00
59968	SG Issuer - Ping An R Bull CBBC Jul 2019 H	100,000,000	2019/02/19	2019/07/26	25.00
59881	SG Issuer - Tencent R Bull CBBC Jul 2019 C	80,000,000	2019/02/19	2019/07/08	20.00
60041	SG Issuer - Tencent R Bull CBBC Jul 2019 D	80,000,000	2019/02/19	2019/07/26	20.00
59211	UBS AG - Sinopec Corp R Bull CBBC Jul 2019 A	40,000,000	2019/02/19	2019/07/22	10.00
59204	UBS AG - CSPC Pharma R Bear CBBC Jun 2019 A	40,000,000	2019/02/19	2019/06/24	11.20
59194	UBS AG - HKEX R Bull CBBC Oct 2019 A	50,000,000	2019/02/19	2019/10/21	12.50
59199	UBS AG - HKEX R Bull CBBC Nov 2019 A	50,000,000	2019/02/19	2019/11/18	12.50
59201	UBS AG - HKEX R Bear CBBC Jul 2019 A	40,000,000	2019/02/19	2019/07/08	11.60

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59203	UBS AG - HKEX R Bear CBBC Jul 2019 B	40,000,000	2019/02/19	2019/07/29	15.60
59170	UBS AG - HSI R Bull CBBC Feb 2021 I	200,000,000	2019/02/19	2021/02/25	50.00
59799	UBS AG - HSI R Bull CBBC May 2021 A	200,000,000	2019/02/19	2021/05/28	50.00
59166	UBS AG - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/19	2019/05/30	50.00
59827	UBS AG - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/19	2019/05/30	50.00
59186	UBS AG - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/02/19	2019/06/27	50.00
59232	UBS AG - Tencent R Bear CBBC Jul 2019 B	40,000,000	2019/02/19	2019/07/08	24.00
59763	Bank Vontobel - AIA R Bull CBBC Nov 2019 A	40,000,000	2019/02/19	2019/11/01	10.00
59691	Bank Vontobel - Sinopec Corp R Bear CBBC Nov 2019 A	40,000,000	2019/02/19	2019/11/22	10.00
59692	Bank Vontobel - Sinopec Corp R Bear CBBC Nov 2019 B	40,000,000	2019/02/19	2019/11/29	10.00
59798	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2019 H	40,000,000	2019/02/19	2019/11/15	10.00
59797	Bank Vontobel - Geely Auto R Bull CBBC Sep 2019 B	40,000,000	2019/02/19	2019/09/20	10.00
59795	Bank Vontobel - ICBC R Bear CBBC Oct 2019 B	40,000,000	2019/02/19	2019/10/18	10.00
59693	Bank Vontobel - Ping An R Bull CBBC Sep 2019 A	40,000,000	2019/02/19	2019/09/20	10.00
59758	Bank Vontobel - Sands China R Bull CBBC Oct 2019 E	40,000,000	2019/02/19	2019/10/25	10.00
59656	Bank Vontobel - SHK Ppt R Bull CBBC Dec 2019 A	40,000,000	2019/02/19	2019/12/20	10.00
59669	Bank Vontobel - SHK Ppt R Bear CBBC Dec 2019 A	40,000,000	2019/02/19	2019/12/20	10.00
59694	Bank Vontobel - Sunny Optical R Bull CBBC Oct 2019 A	40,000,000	2019/02/19	2019/10/18	10.00
60345	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 K	150,000,000	2019/02/20	2019/12/30	39.45
60348	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 L	150,000,000	2019/02/20	2019/12/30	38.85
60352	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2019 M	150,000,000	2019/02/20	2019/12/30	37.80
60356	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 K	150,000,000	2019/02/20	2019/05/30	38.40
60357	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 L	150,000,000	2019/02/20	2019/05/30	38.55
60359	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 M	150,000,000	2019/02/20	2019/05/30	37.95
60174	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 F	50,000,000	2019/02/20	2019/11/28	12.50
60175	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 G	50,000,000	2019/02/20	2019/11/28	12.50
60163	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 I	250,000,000	2019/02/20	2021/01/28	62.50
60165	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 J	250,000,000	2019/02/20	2021/01/28	62.50
60328	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 K	240,000,000	2019/02/20	2021/01/28	60.00
60341	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 L	250,000,000	2019/02/20	2021/01/28	62.50
60343	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 M	250,000,000	2019/02/20	2021/01/28	62.50
60114	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 E	250,000,000	2019/02/20	2019/06/27	62.50
60122	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 F	250,000,000	2019/02/20	2019/06/27	62.50
60123	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 G	250,000,000	2019/02/20	2019/06/27	62.50
60124	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 H	250,000,000	2019/02/20	2019/06/27	62.50
60125	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 I	250,000,000	2019/02/20	2019/06/27	62.50
60137	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 J	250,000,000	2019/02/20	2019/06/27	73.25
60142	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 K	250,000,000	2019/02/20	2019/06/27	62.50
60143	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 L	250,000,000	2019/02/20	2019/06/27	62.50
60182	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 M	250,000,000	2019/02/20	2019/06/27	62.50
60172	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Jun 2019 B	200,000,000	2019/02/20	2019/06/27	50.00
60865	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 E	70,000,000	2019/02/20	2019/12/30	17.50
60869	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 E	50,000,000	2019/02/20	2019/12/20	12.50
60870	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 C	50,000,000	2019/02/20	2019/12/20	18.00
60855	Credit Suisse AG - HSBC R Bull CBBC Dec 2019 B	70,000,000	2019/02/20	2019/12/13	17.50

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60857	Credit Suisse AG - HSBC R Bear CBBC Dec 2019 B	70,000,000	2019/02/20	2019/12/13	17.50
60849	Credit Suisse AG - HSI R Bull CBBC Feb 2021 X	200,000,000	2019/02/20	2021/02/25	50.00
60845	Credit Suisse AG - HSI R Bull CBBC Mar 2021 E	200,000,000	2019/02/20	2021/03/30	50.00
60850	Credit Suisse AG - HSI R Bull CBBC Mar 2021 F	200,000,000	2019/02/20	2021/03/30	50.00
60853	Credit Suisse AG - HSI R Bull CBBC Mar 2021 G	300,000,000	2019/02/20	2021/03/30	75.00
60804	Credit Suisse AG - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/20	2019/05/30	50.00
60805	Credit Suisse AG - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/20	2019/05/30	50.00
60808	Credit Suisse AG - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/20	2019/05/30	50.00
60839	Credit Suisse AG - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/02/20	2019/06/27	50.00
60822	Credit Suisse AG - HSI R Bear CBBC Jun 2019 T	300,000,000	2019/02/20	2019/06/27	75.00
60834	Credit Suisse AG - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/02/20	2019/06/27	50.00
60806	Credit Suisse AG - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/02/20	2019/06/27	50.00
60838	Credit Suisse AG - HSI R Bear CBBC Jun 2019 Z	200,000,000	2019/02/20	2019/06/27	50.00
60809	Credit Suisse AG - HSI R Bear CBBC Jul 2019 S	300,000,000	2019/02/20	2019/07/30	75.00
60821	Credit Suisse AG - HSI R Bear CBBC Jul 2019 T	300,000,000	2019/02/20	2019/07/30	75.00
60868	Credit Suisse AG - ICBC R Bull CBBC Dec 2019 C	70,000,000	2019/02/20	2019/12/30	17.50
60871	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 J	80,000,000	2019/02/20	2019/12/19	20.00
60859	Credit Suisse AG - Sunny Optical R Bull CBBC Dec 2019 D	40,000,000	2019/02/20	2019/12/20	10.00
60641	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 U	100,000,000	2019/02/20	2019/12/30	25.00
60646	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 V	100,000,000	2019/02/20	2019/12/30	25.00
60668	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Dec 2019 B	80,000,000	2019/02/20	2019/12/30	20.00
60692	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Sep 2019 K	100,000,000	2019/02/20	2019/09/26	25.00
60630	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 H	200,000,000	2019/02/20	2020/12/30	50.00
60663	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 T	200,000,000	2019/02/20	2020/12/30	50.00
60701	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 U	200,000,000	2019/02/20	2020/12/30	50.00
60704	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/20	2019/05/30	50.00
60158	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/20	2019/05/30	50.00
60285	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 P	200,000,000	2019/02/20	2019/05/30	50.00
60290	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/20	2019/05/30	50.00
60622	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/20	2019/05/30	50.00
60694	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 S	200,000,000	2019/02/20	2019/05/30	50.00
60708	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 H	100,000,000	2019/02/20	2019/06/28	25.00
60278	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2019 B	50,000,000	2019/02/20	2019/09/30	12.50
60265	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2019 A	50,000,000	2019/02/20	2019/11/11	12.50
60260	HK Bank - CSPC Pharma R Bull CBBC Sep 2019 A	40,000,000	2019/02/20	2019/09/02	10.00
60242	HK Bank - A50 China R Bull CBBC Sep 2019 B	50,000,000	2019/02/20	2019/09/30	12.50
60239	HK Bank - A50 China R Bull CBBC Nov 2019 A	50,000,000	2019/02/20	2019/11/11	12.50
60222	HK Bank - Geely Auto R Bull CBBC Sep 2019 A	40,000,000	2019/02/20	2019/09/02	10.00
60214	HK Bank - HKEX R Bull CBBC Sep 2019 C	50,000,000	2019/02/20	2019/09/23	12.50
60215	HK Bank - HKEX R Bull CBBC Oct 2019 A	50,000,000	2019/02/20	2019/10/28	12.50
60183	HK Bank - HKEX R Bear CBBC Jul 2019 F	40,000,000	2019/02/20	2019/07/22	10.00
60205	HK Bank - HKEX R Bear CBBC Oct 2019 A	40,000,000	2019/02/20	2019/10/08	14.00
60186	HK Bank - HSCEI R Bull CBBC Jan 2020 B	60,000,000	2019/02/20	2020/01/30	15.00
60193	HK Bank - HSI R Bull CBBC Jan 2020 F	120,000,000	2019/02/20	2020/01/30	30.00
60184	HK Bank - HSI R Bear CBBC Jul 2019 N	120,000,000	2019/02/20	2019/07/30	30.00

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60199	HK Bank - HSI R Bear CBBC Dec 2019 E	120,000,000	2019/02/20	2019/12/30	30.00
60234	HK Bank - Ping An R Bull CBBC Oct 2019 B	100,000,000	2019/02/20	2019/10/21	25.00
60257	HK Bank - Sunny Optical R Bull CBBC Oct 2019 A	40,000,000	2019/02/20	2019/10/08	10.00
60255	HK Bank - Sunny Optical R Bear CBBC Sep 2019 A	40,000,000	2019/02/20	2019/09/16	10.00
60263	HK Bank - Tencent R Bear CBBC Sep 2019 E	50,000,000	2019/02/20	2019/09/02	12.50
60431	Haitong Int'l Sec - HSI R Bull CBBC Nov 2020 Z	120,000,000	2019/02/20	2020/11/27	30.00
60445	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 I	120,000,000	2019/02/20	2020/12/30	30.00
60152	Haitong Int'l Sec - HSI R Bear CBBC May 2019 A	120,000,000	2019/02/20	2019/05/30	30.00
60448	Haitong Int'l Sec - HSI R Bear CBBC May 2019 B	120,000,000	2019/02/20	2019/05/30	30.00
60449	Haitong Int'l Sec - HSI R Bear CBBC May 2019 C	120,000,000	2019/02/20	2019/05/30	30.00
60430	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Aug 2019 C	70,000,000	2019/02/20	2019/08/09	17.50
60451	Haitong Int'l Sec - Sunny Optical R Bear CBBC Sep 2019 D	50,000,000	2019/02/20	2019/09/06	12.50
60489	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 D	40,000,000	2019/02/20	2019/06/14	10.00
60493	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 E	40,000,000	2019/02/20	2019/06/14	10.00
60495	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 F	40,000,000	2019/02/20	2019/06/14	10.00
60454	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 D	40,000,000	2019/02/20	2019/06/14	10.20
60468	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 E	40,000,000	2019/02/20	2019/06/14	20.20
60485	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 C	200,000,000	2019/02/20	2021/01/28	50.00
60486	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 O	200,000,000	2019/02/20	2021/02/25	50.00
60491	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 P	200,000,000	2019/02/20	2021/02/25	50.00
60482	J P Morgan SP BV - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/20	2019/05/30	50.00
60475	J P Morgan SP BV - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/20	2019/05/30	50.00
60292	Macquarie Bank Ltd. - HKEX R Bull CBBC Sep 2019 B	39,000,000	2019/02/20	2019/09/30	10.06
60297	Macquarie Bank Ltd. - HKEX R Bull CBBC Sep 2019 C	40,000,000	2019/02/20	2019/09/30	10.16
60305	Macquarie Bank Ltd. - HKEX R Bear CBBC Sep 2019 A	40,000,000	2019/02/20	2019/09/30	10.24
60306	Macquarie Bank Ltd. - HKEX R Bear CBBC Sep 2019 B	30,000,000	2019/02/20	2019/09/30	10.29
60317	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 B	18,100,000	2019/02/20	2019/07/08	10.05
60321	Macquarie Bank Ltd. - Tencent R Bear CBBC Jul 2019 D	15,900,000	2019/02/20	2019/07/26	10.06
60557	SG Issuer - China Life R Bull CBBC Jul 2019 E	40,000,000	2019/02/20	2019/07/29	10.00
60561	SG Issuer - Geely Auto R Bull CBBC Jul 2019 I	40,000,000	2019/02/20	2019/07/05	10.00
60573	SG Issuer - HKEX R Bull CBBC Nov 2019 A	50,000,000	2019/02/20	2019/11/22	12.50
60392	SG Issuer - HKEX R Bear CBBC Jun 2019 D	50,000,000	2019/02/20	2019/06/19	18.00
60563	SG Issuer - HKEX R Bear CBBC Jul 2019 M	50,000,000	2019/02/20	2019/07/05	22.00
60578	SG Issuer - HSBC R Bull CBBC Nov 2019 F	100,000,000	2019/02/20	2019/11/22	25.00
60536	SG Issuer - HSI R Bull CBBC Feb 2021 N	200,000,000	2019/02/20	2021/02/25	50.00
60539	SG Issuer - HSI R Bull CBBC Mar 2021 T	200,000,000	2019/02/20	2021/03/30	50.00
60540	SG Issuer - HSI R Bull CBBC May 2021 M	200,000,000	2019/02/20	2021/05/28	50.00
60551	SG Issuer - HSI R Bull CBBC May 2021 N	200,000,000	2019/02/20	2021/05/28	50.00
60409	SG Issuer - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/20	2019/05/30	50.00
60412	SG Issuer - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/20	2019/05/30	50.00
60402	SG Issuer - HSI R Bear CBBC May 2019 W	200,000,000	2019/02/20	2019/05/30	50.00
60410	SG Issuer - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/02/20	2019/07/30	50.00
60500	SG Issuer - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/02/20	2019/07/30	50.00
60502	SG Issuer - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/02/20	2019/07/30	50.00
60503	SG Issuer - HSI R Bear CBBC Jul 2019 O	200,000,000	2019/02/20	2019/07/30	50.00

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60515	SG Issuer - HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/02/20	2019/08/29	50.00
60519	SG Issuer - HSI R Bear CBBC Aug 2019 Z	200,000,000	2019/02/20	2019/08/29	50.00
60423	SG Issuer - HSI R Bear CBBC Sep 2019 L	400,000,000	2019/02/20	2019/09/27	100.00
60522	SG Issuer - HSI R Bear CBBC Sep 2019 M	200,000,000	2019/02/20	2019/09/27	50.00
60525	SG Issuer - HSI R Bear CBBC Nov 2019 A	400,000,000	2019/02/20	2019/11/28	100.00
60584	SG Issuer - Ping An R Bull CBBC Nov 2019 G	100,000,000	2019/02/20	2019/11/28	25.00
60609	SG Issuer - Sunny Optical R Bull CBBC Jul 2019 F	40,000,000	2019/02/20	2019/07/29	10.00
60591	SG Issuer - Sunny Optical R Bear CBBC Oct 2019 A	40,000,000	2019/02/20	2019/10/30	12.60
60395	SG Issuer - Tencent R Bull CBBC Jun 2019 N	80,000,000	2019/02/20	2019/06/06	20.00
60429	SG Issuer - Tencent R Bear CBBC Dec 2019 E	80,000,000	2019/02/20	2019/12/05	20.00
60795	UBS AG - HKEX R Bull CBBC Oct 2019 B	50,000,000	2019/02/20	2019/10/28	12.50
60744	UBS AG - HSI R Bull CBBC May 2021 B	200,000,000	2019/02/20	2021/05/28	50.00
60746	UBS AG - HSI R Bull CBBC May 2021 C	200,000,000	2019/02/20	2021/05/28	50.00
60751	UBS AG - HSI R Bull CBBC May 2021 D	200,000,000	2019/02/20	2021/05/28	50.00
60713	UBS AG - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/20	2019/05/30	50.00
60743	UBS AG - HSI R Bear CBBC May 2019 B	200,000,000	2019/02/20	2019/05/30	50.00
60712	UBS AG - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/20	2019/05/30	50.00
60714	UBS AG - HSI R Bear CBBC May 2019 S	200,000,000	2019/02/20	2019/05/30	50.00
60715	UBS AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/20	2019/05/30	50.00
60709	UBS AG - HSI R Bear CBBC May 2019 W	200,000,000	2019/02/20	2019/05/30	50.00
60710	UBS AG - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/20	2019/05/30	50.00
60711	UBS AG - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/20	2019/05/30	50.00
60792	UBS AG - Sunny Optical R Bull CBBC Dec 2019 A	40,000,000	2019/02/20	2019/12/09	10.00
60785	UBS AG - Tencent R Bear CBBC Jul 2019 C	40,000,000	2019/02/20	2019/07/09	10.00
60387	Bank Vontobel - China Mobile R Bull CBBC Sep 2019 A	40,000,000	2019/02/20	2019/09/06	10.00
60373	Bank Vontobel - HKEX R Bull CBBC Nov 2019 A	40,000,000	2019/02/20	2019/11/08	10.00
60390	Bank Vontobel - HKEX R Bear CBBC Nov 2019 B	40,000,000	2019/02/20	2019/11/29	12.64
60368	Bank Vontobel - HSI N Bull CBBC Jul 2019 J	80,000,000	2019/02/20	2019/07/30	20.00
60369	Bank Vontobel - HSI R Bull CBBC Jul 2019 K	80,000,000	2019/02/20	2019/07/30	20.00
61035	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 N	250,000,000	2019/02/21	2021/01/28	62.50
61038	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 O	250,000,000	2019/02/21	2021/01/28	62.50
61046	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 P	240,000,000	2019/02/21	2021/01/28	60.00
61040	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 N	240,000,000	2019/02/21	2019/06/27	60.00
60873	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 L	50,000,000	2019/02/21	2019/10/30	12.50
61290	Credit Suisse AG - China Mobile R Bull CBBC Dec 2019 C	70,000,000	2019/02/21	2019/12/20	17.50
61243	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 A	50,000,000	2019/02/21	2020/01/30	12.50
61263	Credit Suisse AG - A50 China R Bull CBBC Jan 2020 A	50,000,000	2019/02/21	2020/01/30	12.50
61192	Credit Suisse AG - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/02/21	2021/02/25	50.00
61194	Credit Suisse AG - HSI R Bull CBBC Mar 2021 H	200,000,000	2019/02/21	2021/03/30	50.00
61202	Credit Suisse AG - HSI R Bull CBBC Mar 2021 I	200,000,000	2019/02/21	2021/03/30	50.00
61273	Credit Suisse AG - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/21	2019/05/30	50.00
61264	Credit Suisse AG - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/21	2019/05/30	50.00
61214	Credit Suisse AG - SHK Ppt R Bull CBBC Dec 2019 B	50,000,000	2019/02/21	2019/12/20	12.50
61218	Credit Suisse AG - SHK Ppt R Bear CBBC Dec 2019 B	50,000,000	2019/02/21	2019/12/20	12.50
60929	Bank of East Asia - CM Bank R Bull CBBC Dec 2019 A	40,000,000	2019/02/21	2019/12/24	10.00

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60931	Bank of East Asia - CM Bank R Bull CBBC Dec 2019 B	40,000,000	2019/02/21	2019/12/24	10.00
60922	Bank of East Asia - Galaxy Ent R Bear CBBC Dec 2019 A	100,000,000	2019/02/21	2019/12/24	25.00
60921	Bank of East Asia - Tencent R Bull CBBC Dec 2019 B	30,000,000	2019/02/21	2019/12/24	10.50
61322	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC May 2019C	100,000,000	2019/02/21	2019/05/22	25.00
61307	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 W	100,000,000	2019/02/21	2019/12/30	25.00
61321	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 X	100,000,000	2019/02/21	2019/12/30	25.00
60877	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 X	200,000,000	2019/02/21	2020/12/30	50.00
60878	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 Y	200,000,000	2019/02/21	2020/12/30	50.00
61291	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/21	2019/05/30	50.00
61330	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/21	2019/05/30	50.00
60904	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/02/21	2019/06/27	50.00
60918	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/02/21	2019/06/27	50.00
61298	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 K	100,000,000	2019/02/21	2019/12/31	25.00
61331	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 I	100,000,000	2019/02/21	2019/06/28	25.00
60954	HK Bank - AIA R Bear CBBC Nov 2019 A	40,000,000	2019/02/21	2019/11/11	10.00
60940	HK Bank - China Mobile R Bull CBBC Oct 2019 B	60,000,000	2019/02/21	2019/10/21	15.00
60963	HK Bank - Geely Auto R Bull CBBC Sep 2019 B	40,000,000	2019/02/21	2019/09/30	10.00
60971	HK Bank - Geely Auto R Bear CBBC Oct 2019 A	40,000,000	2019/02/21	2019/10/08	13.20
60981	HK Bank - HKEX R Bull CBBC Oct 2019 B	50,000,000	2019/02/21	2019/10/02	12.50
60985	HK Bank - HKEX R Bear CBBC Oct 2019 B	40,000,000	2019/02/21	2019/10/28	17.20
60883	HK Bank - HSI R Bull CBBC Mar 2020 X	120,000,000	2019/02/21	2020/03/30	30.00
60992	HK Bank - HSI R Bear CBBC Jul 2019 O	120,000,000	2019/02/21	2019/07/30	30.00
60998	HK Bank - HSI R Bear CBBC Oct 2019 M	120,000,000	2019/02/21	2019/10/30	30.00
60994	HK Bank - HSI R Bear CBBC Nov 2019 I	120,000,000	2019/02/21	2019/11/28	30.00
61000	HK Bank - HSI R Bear CBBC Dec 2019 F	120,000,000	2019/02/21	2019/12/30	30.00
60991	HK Bank - Ping An R Bear CBBC Sep 2019 A	80,000,000	2019/02/21	2019/09/30	20.00
60943	HK Bank - Sands China R Bear CBBC Sep 2019 C	40,000,000	2019/02/21	2019/09/02	10.00
60948	HK Bank - Sunny Optical R Bear CBBC Aug 2019 B	40,000,000	2019/02/21	2019/08/26	10.00
60890	HK Bank - Tencent R Bull CBBC Jul 2019 U	60,000,000	2019/02/21	2019/07/29	15.00
61073	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 J	120,000,000	2019/02/21	2020/12/30	30.00
61097	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 K	120,000,000	2019/02/21	2020/12/30	31.32
61102	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 L	120,000,000	2019/02/21	2020/12/30	31.20
61103	Haitong Int'l Sec - HSI R Bear CBBC May 2019 D	120,000,000	2019/02/21	2019/05/30	30.00
61104	Haitong Int'l Sec - HSI R Bear CBBC May 2019 E	120,000,000	2019/02/21	2019/05/30	30.00
61054	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 G	40,000,000	2019/02/21	2019/06/14	10.00
61065	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 Q	200,000,000	2019/02/21	2021/02/25	50.00
61066	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 R	200,000,000	2019/02/21	2021/02/25	50.00
61068	J P Morgan SP BV - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/21	2019/05/30	50.00
61055	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 E	50,000,000	2019/02/21	2019/06/14	12.50
61069	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 D	50,000,000	2019/02/21	2019/06/14	12.50
60893	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 Q	23,600,000	2019/02/21	2019/06/06	10.03
60894	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2019 A	9,000,000	2019/02/21	2019/12/05	10.10
60895	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2019 B	9,000,000	2019/02/21	2019/12/05	11.96
61140	SG Issuer - AIA R Bull CBBC Jul 2019 V	60,000,000	2019/02/21	2019/07/12	15.00
61147	SG Issuer - CM Bank R Bear CBBC Sep 2019 D	100,000,000	2019/02/21	2019/09/30	25.00

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61128	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 C	100,000,000	2019/02/21	2020/02/05	25.00
61130	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 C	100,000,000	2019/02/21	2020/04/16	25.00
61148	SG Issuer - CSPC Pharma R Bull CBBC Aug 2019 A	40,000,000	2019/02/21	2019/08/30	10.00
61132	SG Issuer - A50 China R Bull CBBC Feb 2020 C	80,000,000	2019/02/21	2020/02/10	20.00
61155	SG Issuer - HSBC R Bear CBBC Jul 2019 B	100,000,000	2019/02/21	2019/07/19	25.00
61126	SG Issuer - HSCEI R Bull CBBC Oct 2020 F	100,000,000	2019/02/21	2020/10/29	25.00
61124	SG Issuer - HSCEI R Bear CBBC Oct 2019 A	100,000,000	2019/02/21	2019/10/30	25.00
61167	SG Issuer - HSI R Bull CBBC Mar 2021 U	400,000,000	2019/02/21	2021/03/30	100.00
61105	SG Issuer - HSI R Bull CBBC Apr 2021 V	200,000,000	2019/02/21	2021/04/29	50.00
61115	SG Issuer - HSI R Bull CBBC Apr 2021 W	200,000,000	2019/02/21	2021/04/29	50.00
61117	SG Issuer - HSI R Bull CBBC May 2021 O	200,000,000	2019/02/21	2021/05/28	50.00
61170	SG Issuer - HSI R Bear CBBC May 2019 T	200,000,000	2019/02/21	2019/05/30	50.00
61157	SG Issuer - HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/02/21	2019/07/30	50.00
61121	SG Issuer - Tencent R Bull CBBC Jun 2019 L	80,000,000	2019/02/21	2019/06/12	20.00
61122	SG Issuer - Tencent R Bull CBBC Jul 2019 E	80,000,000	2019/02/21	2019/07/04	20.00
61191	SG Issuer - Tencent R Bear CBBC Oct 2019 C	80,000,000	2019/02/21	2019/10/30	20.00
61009	UBS AG - HSBC R Bull CBBC Sep 2019 B	50,000,000	2019/02/21	2019/09/16	12.50
61001	UBS AG - HSI R Bull CBBC May 2021 E	200,000,000	2019/02/21	2021/05/28	50.00
61004	UBS AG - HSI R Bull CBBC May 2021 F	200,000,000	2019/02/21	2021/05/28	50.00
61030	UBS AG - HSI R Bull CBBC May 2021 G	50,000,000	2019/02/21	2021/05/28	12.50
61008	UBS AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/21	2019/05/30	50.00
61005	UBS AG - HSI R Bear CBBC May 2019 T	200,000,000	2019/02/21	2019/05/30	50.00
61020	UBS AG - Tencent R Bull CBBC Jul 2019 J	50,000,000	2019/02/21	2019/07/09	12.50
61076	Bank Vontobel - HKEX R Bear CBBC Oct 2019 A	40,000,000	2019/02/21	2019/10/25	10.00
61078	Bank Vontobel - Tencent R Bear CBBC Oct 2019 A	40,000,000	2019/02/21	2019/10/11	10.00
61347	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 A	150,000,000	2019/02/22	2020/09/29	38.55
61462	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 I	100,000,000	2019/02/22	2019/11/28	25.00
61451	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Jun 2019 Q	60,000,000	2019/02/22	2019/06/27	15.00
61454	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Jun 2019 R	60,000,000	2019/02/22	2019/06/27	15.00
61461	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Jun 2019 S	60,000,000	2019/02/22	2019/06/27	15.00
61428	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019 Y	150,000,000	2019/02/22	2019/08/29	37.50
61434	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019 Z	150,000,000	2019/02/22	2019/08/29	37.50
61394	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 G	50,000,000	2019/02/22	2019/07/30	12.50
61388	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 L	100,000,000	2019/02/22	2019/12/30	25.00
61463	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 Q	250,000,000	2019/02/22	2021/01/28	62.50
61471	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 O	250,000,000	2019/02/22	2019/06/27	62.50
61485	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 P	250,000,000	2019/02/22	2019/06/27	62.50
61682	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Q	250,000,000	2019/02/22	2019/06/27	62.50
61683	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 S	240,000,000	2019/02/22	2019/06/27	60.00
61397	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 Z	200,000,000	2019/02/22	2019/08/29	50.00
61375	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 L	50,000,000	2019/02/22	2019/06/27	12.50
61366	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 Q	50,000,000	2019/02/22	2019/07/30	12.50
61378	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 M	50,000,000	2019/02/22	2019/10/30	12.50
61379	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 R	50,000,000	2019/02/22	2019/11/28	12.50
62246	Credit Suisse AG - AIA R Bull CBBC Dec 2019 F	70,000,000	2019/02/22	2019/12/06	17.50

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62254	Credit Suisse AG - HSI R Bull CBBC Sep 2020 R	200,000,000	2019/02/22	2020/09/29	50.00
62266	Credit Suisse AG - HSI R Bull CBBC Jan 2021 A	200,000,000	2019/02/22	2021/01/28	50.00
62206	Credit Suisse AG - HSI R Bull CBBC Feb 2021 B	200,000,000	2019/02/22	2021/02/25	50.00
62211	Credit Suisse AG - HSI R Bull CBBC Feb 2021 Y	200,000,000	2019/02/22	2021/02/25	50.00
62196	Credit Suisse AG - HSI R Bull CBBC Mar 2021 J	200,000,000	2019/02/22	2021/03/30	50.00
62242	Credit Suisse AG - HSI R Bull CBBC Mar 2021 K	200,000,000	2019/02/22	2021/03/30	50.00
62271	Credit Suisse AG - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/22	2019/05/30	50.00
62270	Credit Suisse AG - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/22	2019/05/30	50.00
62274	Credit Suisse AG - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/02/22	2019/06/27	50.00
62275	Credit Suisse AG - HSI R Bear CBBC Jul 2019 U	300,000,000	2019/02/22	2019/07/30	75.00
62252	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 K	80,000,000	2019/02/22	2019/12/20	20.00
62244	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 O	50,000,000	2019/02/22	2019/12/20	12.50
62250	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 F	50,000,000	2019/02/22	2019/12/20	12.50
62251	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 G	50,000,000	2019/02/22	2019/12/13	12.50
61490	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 B	200,000,000	2019/02/22	2020/09/29	50.00
61491	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2020 E	200,000,000	2019/02/22	2020/09/29	50.00
61496	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 J	200,000,000	2019/02/22	2020/12/30	50.00
61821	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/02/22	2019/06/27	50.00
61825	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/02/22	2019/06/27	50.00
61846	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/02/22	2019/06/27	50.00
61528	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 L	100,000,000	2019/02/22	2019/12/20	25.00
61497	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 J	100,000,000	2019/02/22	2019/06/28	25.00
61527	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 K	100,000,000	2019/02/22	2019/06/28	25.00
61580	HK Bank - CNOOC R Bull CBBC Oct 2019 A	50,000,000	2019/02/22	2019/10/02	12.50
61614	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2019 A	40,000,000	2019/02/22	2019/08/26	10.00
61625	HK Bank - A50 China R Bear CBBC Aug 2019 A	40,000,000	2019/02/22	2019/08/26	10.00
61571	HK Bank - Galaxy Ent R Bear CBBC Aug 2019 B	40,000,000	2019/02/22	2019/08/05	10.00
61530	HK Bank - HKEX R Bull CBBC Oct 2019 C	50,000,000	2019/02/22	2019/10/14	12.50
61534	HK Bank - HKEX R Bear CBBC Jul 2019 G	40,000,000	2019/02/22	2019/07/29	10.00
61539	HK Bank - HSCEI R Bear CBBC Jul 2019 B	50,000,000	2019/02/22	2019/07/30	12.50
61652	HK Bank - HSCEI ETF R Bull CBBC Nov 2019 A	50,000,000	2019/02/22	2019/11/25	12.50
61357	HK Bank - HSI R Bull CBBC Mar 2020 Y	120,000,000	2019/02/22	2020/03/30	30.00
61564	HK Bank - HSI R Bull CBBC Mar 2020 Z	80,000,000	2019/02/22	2020/03/30	20.00
61551	HK Bank - HSI R Bear CBBC Jul 2019 P	80,000,000	2019/02/22	2019/07/30	20.00
61584	HK Bank - Ping An R Bear CBBC Aug 2019 B	80,000,000	2019/02/22	2019/08/05	20.00
61570	HK Bank - Sunny Optical R Bear CBBC Aug 2019 C	40,000,000	2019/02/22	2019/08/05	10.00
61364	HK Bank - Tencent R Bull CBBC Oct 2019 B	60,000,000	2019/02/22	2019/10/02	15.00
61607	HK Bank - Tencent R Bear CBBC Jul 2019 M	50,000,000	2019/02/22	2019/07/22	12.50
61608	HK Bank - Tencent R Bear CBBC Aug 2019 L	50,000,000	2019/02/22	2019/08/26	12.50
61643	HK Bank - Tracker Fund R Bull CBBC Nov 2019 A	50,000,000	2019/02/22	2019/11/25	12.50
61653	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 M	120,000,000	2019/02/22	2020/12/30	30.00
62161	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 N	120,000,000	2019/02/22	2020/12/30	30.00
62162	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 O	120,000,000	2019/02/22	2020/12/30	30.00
62164	Haitong Int'l Sec - HSI R Bear CBBC May 2019 F	120,000,000	2019/02/22	2019/05/30	30.00
62166	Haitong Int'l Sec - HSI R Bear CBBC May 2019 H	120,000,000	2019/02/22	2019/05/30	30.00

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62173	Haitong Int'l Sec - HSI R Bear CBBC May 2019 I	120,000,000	2019/02/22	2019/05/30	30.00
61654	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 G	40,000,000	2019/02/22	2019/08/15	10.00
61665	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 H	40,000,000	2019/02/22	2019/08/14	10.00
62176	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 I	40,000,000	2019/02/22	2019/08/13	10.00
61804	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 H	40,000,000	2019/02/22	2019/06/14	10.00
61810	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 F	40,000,000	2019/02/22	2019/06/14	10.00
61763	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 S	200,000,000	2019/02/22	2021/02/25	50.00
61768	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 T	200,000,000	2019/02/22	2021/02/25	50.00
61776	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/02/22	2021/02/25	50.00
61777	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 V	200,000,000	2019/02/22	2021/02/25	50.00
61798	J P Morgan SP BV - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/22	2019/05/30	50.00
61781	J P Morgan SP BV - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/22	2019/05/30	50.00
61789	J P Morgan SP BV - HSI R Bear CBBC May 2019 L	200,000,000	2019/02/22	2019/05/30	50.00
61793	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/02/22	2019/06/27	50.00
61796	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/02/22	2019/06/27	50.00
61758	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 F	50,000,000	2019/02/22	2019/06/14	12.50
61803	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 G	50,000,000	2019/02/22	2019/06/14	12.50
61778	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 E	50,000,000	2019/02/22	2019/06/14	12.50
61800	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 F	50,000,000	2019/02/22	2019/06/14	12.50
61744	Macquarie Bank Ltd. - China Life R Bull CBBC Sep 2019 A	40,000,000	2019/02/22	2019/09/30	10.04
61745	Macquarie Bank Ltd. - China Life R Bull CBBC Sep 2019 B	39,000,000	2019/02/22	2019/09/30	10.10
61747	Macquarie Bank Ltd. - China Life R Bear CBBC Sep 2019 A	39,500,000	2019/02/22	2019/09/30	10.03
61513	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 C	19,700,000	2019/02/22	2019/07/04	10.05
61524	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 A	10,500,000	2019/02/22	2019/10/30	10.02
61941	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 G	100,000,000	2019/02/22	2019/10/31	25.00
62095	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 D	100,000,000	2019/02/22	2019/09/30	25.00
61942	SG Issuer - HKEX R Bull CBBC Jun 2019 K	50,000,000	2019/02/22	2019/06/20	12.50
62124	SG Issuer - HKEX R Bull CBBC Sep 2019 A	50,000,000	2019/02/22	2019/09/30	12.50
61987	SG Issuer - HSI R Bull CBBC Mar 2021 V	200,000,000	2019/02/22	2021/03/30	50.00
62000	SG Issuer - HSI R Bull CBBC Mar 2021 W	200,000,000	2019/02/22	2021/03/30	50.00
62063	SG Issuer - HSI R Bull CBBC Mar 2021 X	200,000,000	2019/02/22	2021/03/30	50.00
62069	SG Issuer - HSI R Bull CBBC Apr 2021 X	200,000,000	2019/02/22	2021/04/29	50.00
62029	SG Issuer - HSI R Bull CBBC May 2021 P	200,000,000	2019/02/22	2021/05/28	50.00
62032	SG Issuer - HSI R Bull CBBC May 2021 Q	200,000,000	2019/02/22	2021/05/28	50.00
62047	SG Issuer - HSI R Bull CBBC May 2021 R	200,000,000	2019/02/22	2021/05/28	50.00
62080	SG Issuer - HSI R Bull CBBC May 2021 S	200,000,000	2019/02/22	2021/05/28	50.00
62082	SG Issuer - HSI R Bull CBBC May 2021 T	200,000,000	2019/02/22	2021/05/28	50.00
62145	SG Issuer - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/22	2019/05/30	50.00
62149	SG Issuer - HSI R Bear CBBC May 2019 P	200,000,000	2019/02/22	2019/05/30	50.00
62153	SG Issuer - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/02/22	2019/07/30	50.00
61960	SG Issuer - Ping An R Bull CBBC Oct 2019 K	100,000,000	2019/02/22	2019/10/31	25.00
62128	SG Issuer - Ping An R Bear CBBC Jul 2019 I	100,000,000	2019/02/22	2019/07/29	25.00
62134	SG Issuer - Sunny Optical R Bear CBBC Jun 2019 C	40,000,000	2019/02/22	2019/06/28	10.00
61977	SG Issuer - Tencent R Bull CBBC May 2019 W	80,000,000	2019/02/22	2019/05/30	20.00
61979	SG Issuer - Tencent R Bull CBBC Jun 2019 Q	80,000,000	2019/02/22	2019/06/10	20.00

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62052	SG Issuer - Tencent R Bull CBBC Jun 2019 R	80,000,000	2019/02/22	2019/06/04	20.00
62112	SG Issuer - Tencent R Bear CBBC Oct 2019 D	80,000,000	2019/02/22	2019/10/30	20.00
61684	UBS AG - HSI R Bull CBBC Feb 2021 J	200,000,000	2019/02/22	2021/02/25	50.00
61685	UBS AG - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/02/22	2021/02/25	50.00
61686	UBS AG - HSI R Bull CBBC Feb 2021 W	200,000,000	2019/02/22	2021/02/25	50.00
61699	UBS AG - HSI R Bull CBBC May 2021 H	200,000,000	2019/02/22	2021/05/28	50.00
61701	UBS AG - HSI R Bull CBBC May 2021 I	200,000,000	2019/02/22	2021/05/28	50.00
61703	UBS AG - HSI R Bull CBBC May 2021 J	200,000,000	2019/02/22	2021/05/28	50.00
61705	UBS AG - HSI R Bull CBBC May 2021 K	200,000,000	2019/02/22	2021/05/28	50.00
61709	UBS AG - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/22	2019/05/30	50.00
61710	UBS AG - HSI R Bear CBBC Jun 2019 Y	200,000,000	2019/02/22	2019/06/27	50.00
61713	UBS AG - HSI R Bear CBBC Jun 2019 Z	200,000,000	2019/02/22	2019/06/27	50.00
61689	UBS AG - Tencent R Bull CBBC Jun 2019 S	40,000,000	2019/02/22	2019/06/25	10.00
61692	UBS AG - Tencent R Bull CBBC Jun 2019 T	40,000,000	2019/02/22	2019/06/18	10.00
61691	UBS AG - Tencent R Bull CBBC Jul 2019 K	40,000,000	2019/02/22	2019/07/08	10.00
61706	UBS AG - Tencent R Bull CBBC Jul 2019 L	40,000,000	2019/02/22	2019/07/15	10.00
61718	UBS AG - Tencent R Bear CBBC Jun 2019 P	40,000,000	2019/02/22	2019/06/05	10.00
61732	UBS AG - Tencent R Bear CBBC Jun 2019 Q	40,000,000	2019/02/22	2019/06/06	10.00
61733	UBS AG - Tencent R Bear CBBC Jun 2019 R	40,000,000	2019/02/22	2019/06/13	10.00
61881	Bank Vontobel - Galaxy Ent R Bear CBBC Nov 2019 B	40,000,000	2019/02/22	2019/11/08	10.04
61848	Bank Vontobel - HSI R Bull CBBC Jul 2019 L	80,000,000	2019/02/22	2019/07/30	20.08
61859	Bank Vontobel - HSI R Bull CBBC Jul 2019 M	80,000,000	2019/02/22	2019/07/30	20.08
61873	Bank Vontobel - HSI R Bear CBBC May 2019 I	80,000,000	2019/02/22	2019/05/30	20.08
61896	Bank Vontobel - Tencent R Bear CBBC Sep 2019 E	40,000,000	2019/02/22	2019/09/20	10.04
62576	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 R	250,000,000	2019/02/25	2021/01/28	62.50
62617	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 S	240,000,000	2019/02/25	2021/01/28	60.00
62343	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 T	250,000,000	2019/02/25	2019/06/27	62.50
62344	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 U	250,000,000	2019/02/25	2019/06/27	62.50
62347	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 V	250,000,000	2019/02/25	2019/06/27	62.50
62352	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 W	250,000,000	2019/02/25	2019/06/27	62.50
62365	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 X	250,000,000	2019/02/25	2019/06/27	62.50
62613	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Y	250,000,000	2019/02/25	2019/06/27	62.50
62616	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Z	250,000,000	2019/02/25	2019/06/27	62.50
62318	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 N	50,000,000	2019/02/25	2019/10/30	12.50
62339	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 O	50,000,000	2019/02/25	2019/10/30	22.50
62326	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 S	50,000,000	2019/02/25	2019/11/28	12.50
62696	Credit Suisse AG - HSI R Bull CBBC Jan 2021 Z	200,000,000	2019/02/25	2021/01/28	50.00
62699	Credit Suisse AG - HSI R Bull CBBC Feb 2021 Z	200,000,000	2019/02/25	2021/02/25	50.00
62698	Credit Suisse AG - HSI R Bull CBBC Apr 2021 A	300,000,000	2019/02/25	2021/04/29	75.00
62681	Credit Suisse AG - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/25	2019/05/30	50.00
62687	Credit Suisse AG - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/25	2019/05/30	50.00
62661	Credit Suisse AG - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/25	2019/05/30	50.00
62689	Credit Suisse AG - HSI R Bear CBBC Jul 2019 V	200,000,000	2019/02/25	2019/07/30	50.00
62694	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 P	50,000,000	2019/02/25	2019/12/12	12.50
62972	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2019 D	100,000,000	2019/02/25	2019/12/30	25.00

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62980	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2020 R	200,000,000	2019/02/25	2020/11/27	50.00
62283	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/25	2019/05/30	50.00
62304	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 C	200,000,000	2019/02/25	2019/05/30	50.00
62314	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/02/25	2019/06/27	50.00
62958	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/02/25	2019/06/27	50.00
62971	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 L	100,000,000	2019/02/25	2019/06/28	25.00
62989	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 C	80,000,000	2019/02/25	2019/06/28	20.00
62417	HK Bank - Conch Cement R Bull CBBC Aug 2019 B	40,000,000	2019/02/25	2019/08/26	10.00
62421	HK Bank - China Mobile R Bull CBBC Oct 2019 C	60,000,000	2019/02/25	2019/10/28	15.00
62416	HK Bank - Galaxy Ent R Bull CBBC Aug 2019 B	80,000,000	2019/02/25	2019/08/26	20.00
62378	HK Bank - HSI R Bull CBBC Mar 2020 U	120,000,000	2019/02/25	2020/03/30	30.00
62316	HK Bank - HSI R Bear CBBC Jul 2019 Q	120,000,000	2019/02/25	2019/07/30	30.00
62372	HK Bank - HSI R Bear CBBC Oct 2019 N	120,000,000	2019/02/25	2019/10/30	30.00
62375	HK Bank - HSI R Bear CBBC Oct 2019 O	120,000,000	2019/02/25	2019/10/30	30.00
62383	HK Bank - HSI R Bear CBBC Dec 2019 G	120,000,000	2019/02/25	2019/12/30	30.00
62414	HK Bank - Tencent R Bull CBBC Sep 2019 D	60,000,000	2019/02/25	2019/09/23	15.00
62415	HK Bank - Tencent R Bear CBBC Jul 2019 N	50,000,000	2019/02/25	2019/07/25	12.50
62635	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 P	120,000,000	2019/02/25	2020/12/30	30.00
62639	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 Q	120,000,000	2019/02/25	2020/12/30	30.00
62634	Haitong Int'l Sec - HSI R Bear CBBC May 2019 J	120,000,000	2019/02/25	2019/05/30	30.00
62640	Haitong Int'l Sec - HSI R Bear CBBC May 2019 L	120,000,000	2019/02/25	2019/05/30	30.00
62646	Haitong Int'l Sec - HSI R Bear CBBC May 2019 M	120,000,000	2019/02/25	2019/05/30	30.00
62647	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 J	40,000,000	2019/02/25	2019/08/14	10.00
62650	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 K	40,000,000	2019/02/25	2019/08/16	10.00
62659	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 L	40,000,000	2019/02/25	2019/08/15	11.76
62660	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2019 D	40,000,000	2019/02/25	2019/09/25	10.00
62571	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 G	40,000,000	2019/02/25	2019/06/14	10.00
62546	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 W	200,000,000	2019/02/25	2021/02/25	50.00
62549	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 X	200,000,000	2019/02/25	2021/02/25	50.00
62529	J P Morgan SP BV - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/25	2019/05/30	50.00
62530	J P Morgan SP BV - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/25	2019/05/30	50.00
62536	J P Morgan SP BV - HSI R Bear CBBC May 2019 O	200,000,000	2019/02/25	2019/05/30	50.00
62553	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 H	50,000,000	2019/02/25	2019/06/14	12.50
62544	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 G	50,000,000	2019/02/25	2019/06/14	12.50
62424	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 R	25,200,000	2019/02/25	2019/06/04	10.03
62427	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 B	10,400,000	2019/02/25	2019/10/30	10.00
62799	SG Issuer - Conch Cement R Bull CBBC Nov 2019 B	80,000,000	2019/02/25	2019/11/28	20.00
62800	SG Issuer - Conch Cement R Bear CBBC Dec 2019 A	80,000,000	2019/02/25	2019/12/06	20.00
62797	SG Issuer - AIA R Bull CBBC Oct 2019 G	60,000,000	2019/02/25	2019/10/23	15.00
62875	SG Issuer - CSOP A50 ETF R Bull CBBC Mar 2020 C	100,000,000	2019/02/25	2020/03/20	25.00
62755	SG Issuer - HKEX R Bull CBBC Nov 2019 B	50,000,000	2019/02/25	2019/11/04	12.50
62744	SG Issuer - HKEX R Bear CBBC Jul 2019 N	50,000,000	2019/02/25	2019/07/09	12.50
62951	SG Issuer - HSI R Bull CBBC Mar 2021 Y	200,000,000	2019/02/25	2021/03/30	50.00
62952	SG Issuer - HSI R Bull CBBC Apr 2021 Y	200,000,000	2019/02/25	2021/04/29	50.00
62954	SG Issuer - HSI R Bull CBBC May 2021 U	200,000,000	2019/02/25	2021/05/28	50.00

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62716	SG Issuer - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/25	2019/05/30	50.00
62731	SG Issuer - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/25	2019/05/30	50.00
62793	SG Issuer - HSI R Bear CBBC May 2019 S	400,000,000	2019/02/25	2019/05/30	100.00
62741	SG Issuer - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/02/25	2019/07/30	50.00
62732	SG Issuer - HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/02/25	2019/07/30	50.00
62739	SG Issuer - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/02/25	2019/07/30	50.00
62805	SG Issuer - PetroChina R Bull CBBC Oct 2019 C	40,000,000	2019/02/25	2019/10/11	10.00
62828	SG Issuer - Ping An R Bull CBBC Oct 2019 L	100,000,000	2019/02/25	2019/10/11	25.00
62848	SG Issuer - Sands China R Bull CBBC Dec 2019 C	80,000,000	2019/02/25	2019/12/30	20.00
62774	SG Issuer - Tencent R Bull CBBC May 2019 Z	80,000,000	2019/02/25	2019/05/28	20.00
62792	SG Issuer - Tencent R Bull CBBC Jun 2019 S	80,000,000	2019/02/25	2019/06/18	20.00
62751	SG Issuer - Tencent R Bear CBBC Dec 2019 F	80,000,000	2019/02/25	2019/12/18	20.00
62624	UBS AG - CSOP A50 ETF R Bull CBBC Sep 2019 D	50,000,000	2019/02/25	2019/09/23	12.50
62626	UBS AG - CSOP A50 ETF R Bear CBBC Sep 2019 A	50,000,000	2019/02/25	2019/09/23	12.50
62482	UBS AG - HSI R Bull CBBC Jan 2021 F	200,000,000	2019/02/25	2021/01/28	50.00
62515	UBS AG - HSI R Bull CBBC Jan 2021 H	200,000,000	2019/02/25	2021/01/28	50.00
62449	UBS AG - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/25	2019/05/30	50.00
62450	UBS AG - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/25	2019/05/30	50.00
62431	UBS AG - HSI R Bear CBBC May 2019 O	200,000,000	2019/02/25	2019/05/30	50.00
62437	UBS AG - HSI R Bear CBBC May 2019 U	200,000,000	2019/02/25	2019/05/30	50.00
62486	UBS AG - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/02/25	2019/06/27	50.00
62618	UBS AG - Petrochina R Bull CBBC Dec 2019 A	60,000,000	2019/02/25	2019/12/23	15.00
62501	UBS AG - Tencent R Bull CBBC Jun 2019 U	40,000,000	2019/02/25	2019/06/11	10.00
62472	UBS AG - Tencent R Bear CBBC Jun 2019 S	40,000,000	2019/02/25	2019/06/19	10.00
62481	UBS AG - Tencent R Bear CBBC Jun 2019 T	40,000,000	2019/02/25	2019/06/20	10.00
62703	Bank Vontobel - Galaxy Ent R Bear CBBC Nov 2019 C	40,000,000	2019/02/25	2019/11/08	10.04
62709	Bank Vontobel - HSBC R Bear CBBC Sep 2019 B	40,000,000	2019/02/25	2019/09/20	10.04
62712	Bank Vontobel - HSI R Bear CBBC May 2019 J	80,000,000	2019/02/25	2019/05/30	20.08
63215	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 B	150,000,000	2019/02/26	2020/09/29	39.00
63216	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 N	150,000,000	2019/02/26	2019/05/30	38.40
62995	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Sep 2019 Z	100,000,000	2019/02/26	2019/09/27	25.00
62991	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Jun 2019 T	60,000,000	2019/02/26	2019/06/27	15.00
63004	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2019 H	150,000,000	2019/02/26	2019/11/28	37.50
63305	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Jun 2019 P	150,000,000	2019/02/26	2019/06/27	37.50
62996	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Jul 2019 K	150,000,000	2019/02/26	2019/07/30	37.50
63010	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 F	100,000,000	2019/02/26	2019/09/27	25.00
63014	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 M	100,000,000	2019/02/26	2019/12/30	25.00
63321	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 T	240,000,000	2019/02/26	2021/01/28	60.00
63326	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 A	240,000,000	2019/02/26	2019/06/27	60.00
63474	Credit Suisse AG - Conch Cement R Bull CBBC Dec 2019 B	60,000,000	2019/02/26	2019/12/13	15.00
63483	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 F	70,000,000	2019/02/26	2019/12/13	17.50
63460	Credit Suisse AG - HSI R Bull CBBC Feb 2021 C	200,000,000	2019/02/26	2021/02/25	50.00
63447	Credit Suisse AG - HSI R Bull CBBC Apr 2021 B	300,000,000	2019/02/26	2021/04/29	75.00
63484	Credit Suisse AG - HSI R Bear CBBC Jul 2019 W	200,000,000	2019/02/26	2019/07/30	50.00
63440	Credit Suisse AG - HSI R Bear CBBC Aug 2019 D	200,000,000	2019/02/26	2019/08/29	50.00

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63463	Credit Suisse AG - SHK Ppt R Bull CBBC Dec 2019 C	50,000,000	2019/02/26	2019/12/13	12.50
63185	Bank of East Asia - AIA R Bull CBBC Aug 2019 C	40,000,000	2019/02/26	2019/08/22	10.00
63186	Bank of East Asia - AIA R Bear CBBC Aug 2019 B	40,000,000	2019/02/26	2019/08/22	10.00
63152	Bank of East Asia - CKH R Bull CBBC Aug 2019 A	40,000,000	2019/02/26	2019/08/22	10.00
63193	Bank of East Asia - HKEK R Bull CBBC Oct 2019 A	25,000,000	2019/02/26	2019/10/04	10.00
63203	Bank of East Asia - HKEK R Bear CBBC Dec 2019 B	25,000,000	2019/02/26	2019/12/20	10.00
63192	Bank of East Asia - HSBC R Bull CBBC Oct 2019 A	40,000,000	2019/02/26	2019/10/04	10.00
63188	Bank of East Asia - HSBC R Bear CBBC Aug 2019 A	40,000,000	2019/02/26	2019/08/22	10.00
63159	Bank of East Asia - Ping An R Bull CBBC Aug 2019 K	100,000,000	2019/02/26	2019/08/22	25.00
63170	Bank of East Asia - Ping An R Bear CBBC Aug 2019 G	100,000,000	2019/02/26	2019/08/22	25.00
63180	Bank of East Asia - SHK Ppt R Bull CBBC Aug 2019 A	40,000,000	2019/02/26	2019/08/22	10.00
63367	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2019H	100,000,000	2019/02/26	2019/11/25	25.00
63378	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2019I	100,000,000	2019/02/26	2019/11/25	25.00
63209	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 C	200,000,000	2019/02/26	2020/12/30	50.00
63372	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 Z	200,000,000	2019/02/26	2020/12/30	50.00
63015	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/26	2019/05/30	50.00
63359	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 M	100,000,000	2019/02/26	2019/06/28	25.00
63023	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 D	100,000,000	2019/02/26	2019/06/28	25.00
63073	HK Bank - AIA R Bull CBBC Oct 2019 C	60,000,000	2019/02/26	2019/10/28	15.00
63106	HK Bank - CKH R Bear CBBC Aug 2019 A	40,000,000	2019/02/26	2019/08/12	10.00
63056	HK Bank - China Life R Bull CBBC Aug 2019 B	40,000,000	2019/02/26	2019/08/26	10.00
63089	HK Bank - CM Bank R Bull CBBC Nov 2019 C	75,000,000	2019/02/26	2019/11/18	18.75
63080	HK Bank - Sinopec Corp R Bull CBBC Oct 2019 C	80,000,000	2019/02/26	2019/10/14	20.00
63039	HK Bank - HKEK R Bear CBBC Jul 2019 H	40,000,000	2019/02/26	2019/07/25	10.00
63045	HK Bank - HKEK R Bear CBBC Nov 2019 A	40,000,000	2019/02/26	2019/11/04	20.80
63117	HK Bank - SHK Ppt R Bear CBBC Aug 2019 A	40,000,000	2019/02/26	2019/08/26	10.00
63139	HK Bank - SUNAC R Bull CBBC Dec 2019 E	60,000,000	2019/02/26	2019/12/30	15.00
63245	Haitong Int'l Sec - Conch Cement R Bull CBBC Oct 2019 A	90,000,000	2019/02/26	2019/10/18	22.50
63254	Haitong Int'l Sec - China Life R Bear CBBC Sep 2019 A	40,000,000	2019/02/26	2019/09/16	10.00
63231	Haitong Int'l Sec - CNOOC R Bull CBBC Aug 2019 A	40,000,000	2019/02/26	2019/08/07	10.00
63232	Haitong Int'l Sec - CNOOC R Bull CBBC Aug 2019 B	40,000,000	2019/02/26	2019/08/06	10.00
63247	Haitong Int'l Sec - CNOOC R Bear CBBC Aug 2019 B	40,000,000	2019/02/26	2019/08/09	10.00
63265	Haitong Int'l Sec - Evergrande R Bear CBBC Dec 2019 A	170,000,000	2019/02/26	2019/12/30	42.50
63218	Haitong Int'l Sec - HKEK R Bull CBBC Aug 2019 B	40,000,000	2019/02/26	2019/08/05	10.00
63379	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 R	120,000,000	2019/02/26	2020/12/30	30.00
63385	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 S	120,000,000	2019/02/26	2020/12/30	30.00
63388	Haitong Int'l Sec - HSI R Bear CBBC May 2019 G	120,000,000	2019/02/26	2019/05/30	30.00
63415	Haitong Int'l Sec - HSI R Bear CBBC May 2019 N	120,000,000	2019/02/26	2019/05/30	30.00
63419	Haitong Int'l Sec - HSI R Bear CBBC May 2019 O	120,000,000	2019/02/26	2019/05/30	30.00
63219	Haitong Int'l Sec - PetroChina R Bull CBBC Aug 2019 A	80,000,000	2019/02/26	2019/08/08	20.00
63250	Haitong Int'l Sec - Sands China R Bear CBBC Oct 2019 A	110,000,000	2019/02/26	2019/10/04	27.50
63339	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2021 A	100,000,000	2019/02/26	2021/11/29	25.00
63353	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 Y	200,000,000	2019/02/26	2021/02/25	50.00
63337	J P Morgan SP BV - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/26	2019/05/30	50.00
63342	J P Morgan SP BV - HSI R Bear CBBC May 2019 V	200,000,000	2019/02/26	2019/05/30	50.00

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63027	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 S	22,400,000	2019/02/26	2019/06/18	10.01
63035	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2019 C	8,800,000	2019/02/26	2019/12/18	10.06
63567	SG Issuer - CNOOC R Bull CBBC Sep 2019 A	40,000,000	2019/02/26	2019/09/30	10.00
63586	SG Issuer - Galaxy Ent R Bull CBBC Nov 2019 B	100,000,000	2019/02/26	2019/11/28	25.00
63650	SG Issuer - HSBC R Bull CBBC Nov 2019 G	100,000,000	2019/02/26	2019/11/29	25.00
63517	SG Issuer - HSI R Bull CBBC Mar 2021 Z	200,000,000	2019/02/26	2021/03/30	50.00
63518	SG Issuer - HSI R Bull CBBC Apr 2021 Z	200,000,000	2019/02/26	2021/04/29	50.00
63521	SG Issuer - HSI R Bull CBBC May 2021 V	200,000,000	2019/02/26	2021/05/28	50.00
63545	SG Issuer - HSI R Bear CBBC May 2019 A	200,000,000	2019/02/26	2019/05/30	50.00
63540	SG Issuer - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/26	2019/05/30	50.00
63516	SG Issuer - HSI R Bear CBBC Jul 2019 T	200,000,000	2019/02/26	2019/07/30	50.00
63510	SG Issuer - Ping An R Bear CBBC Aug 2019 D	100,000,000	2019/02/26	2019/08/05	25.00
63654	SG Issuer - SHK Ppt R Bull CBBC Dec 2019 C	100,000,000	2019/02/26	2019/12/18	25.00
63664	SG Issuer - Tencent R Bull CBBC May 2019 M	80,000,000	2019/02/26	2019/05/31	20.00
63524	SG Issuer - Tencent R Bull CBBC Jul 2019 F	80,000,000	2019/02/26	2019/07/05	20.00
63666	SG Issuer - Tencent R Bear CBBC Nov 2019 H	80,000,000	2019/02/26	2019/11/21	20.00
63279	UBS AG - HSI R Bull CBBC May 2021 L	200,000,000	2019/02/26	2021/05/28	50.00
63282	UBS AG - HSI R Bull CBBC May 2021 M	200,000,000	2019/02/26	2021/05/28	50.00
63291	UBS AG - HSI R Bull CBBC May 2021 N	200,000,000	2019/02/26	2021/05/28	50.00
63294	UBS AG - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/26	2019/05/30	50.00
63296	UBS AG - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/02/26	2019/06/27	50.00
63490	Bank Vontobel - China Life R Bull CBBC Oct 2019 E	40,000,000	2019/02/26	2019/10/25	10.00
63500	Bank Vontobel - CNOOC R Bull CBBC Nov 2019 I	40,000,000	2019/02/26	2019/11/22	10.00
63497	Bank Vontobel - PetroChina R Bull CBBC Oct 2019 E	40,000,000	2019/02/26	2019/10/18	10.00
63491	Bank Vontobel - Tencent R Bull CBBC Nov 2019 A	40,000,000	2019/02/26	2019/11/15	10.00
63851	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 C	150,000,000	2019/02/27	2020/09/29	37.95
63878	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 O	150,000,000	2019/02/27	2019/05/30	37.95
63746	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 U	250,000,000	2019/02/27	2021/01/28	62.50
63817	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 V	250,000,000	2019/02/27	2021/01/28	62.50
64041	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 W	240,000,000	2019/02/27	2021/01/28	60.00
63675	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 B	250,000,000	2019/02/27	2019/06/27	62.50
63677	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 C	250,000,000	2019/02/27	2019/06/27	62.50
63679	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 D	250,000,000	2019/02/27	2019/06/27	62.50
64053	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 K	240,000,000	2019/02/27	2019/06/27	60.00
65043	Credit Suisse AG - AIA R Bull CBBC Dec 2019 G	70,000,000	2019/02/27	2019/12/20	17.50
65055	Credit Suisse AG - AIA R Bear CBBC Dec 2019 E	70,000,000	2019/02/27	2019/12/13	17.50
65139	Credit Suisse AG - CKH R Bull CBBC Dec 2019 A	50,000,000	2019/02/27	2019/12/20	12.50
64991	Credit Suisse AG - China Life R Bull CBBC Dec 2019 B	40,000,000	2019/02/27	2019/12/20	10.00
65040	Credit Suisse AG - China Life R Bear CBBC Nov 2019 B	40,000,000	2019/02/27	2019/11/28	10.00
64903	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2019 F	50,000,000	2019/02/27	2019/11/29	12.50
64989	Credit Suisse AG - HSBC R Bear CBBC Dec 2019 C	70,000,000	2019/02/27	2019/12/12	17.50
65108	Credit Suisse AG - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/02/27	2021/02/25	50.00
65103	Credit Suisse AG - HSI R Bull CBBC Mar 2021 L	200,000,000	2019/02/27	2021/03/30	50.00
64870	Credit Suisse AG - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/02/27	2019/07/30	50.00
64874	Credit Suisse AG - HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/02/27	2019/07/30	50.00

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65099	Credit Suisse AG - HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/02/27	2019/07/30	50.00
64826	Credit Suisse AG - HSI R Bear CBBC Aug 2019 E	200,000,000	2019/02/27	2019/08/29	50.00
65056	Credit Suisse AG - Ping An R Bull CBBC Nov 2019 R	80,000,000	2019/02/27	2019/11/28	20.00
64954	Credit Suisse AG - Sands China R Bull CBBC Dec 2019 B	50,000,000	2019/02/27	2019/12/20	12.50
65522	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 D	100,000,000	2019/02/27	2019/12/30	25.00
65547	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2019 A	100,000,000	2019/02/27	2019/12/30	25.00
65570	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 Y	100,000,000	2019/02/27	2019/12/30	25.00
65604	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Oct 2019 O	200,000,000	2019/02/27	2019/10/30	50.00
65537	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 A	200,000,000	2019/02/27	2020/08/28	50.00
63754	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 J	200,000,000	2019/02/27	2019/06/27	50.00
63755	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/02/27	2019/06/27	50.00
63758	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/02/27	2019/06/27	50.00
65512	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 E	80,000,000	2019/02/27	2019/06/28	20.00
63793	HK Bank - China Life R Bull CBBC Sep 2019 A	40,000,000	2019/02/27	2019/09/23	10.00
63822	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2019 B	50,000,000	2019/02/27	2019/08/26	12.50
63845	HK Bank - CSOP A50 ETF R Bear CBBC Sep 2019 A	40,000,000	2019/02/27	2019/09/30	10.00
63837	HK Bank - A50 China R Bull CBBC Aug 2019 B	50,000,000	2019/02/27	2019/08/26	12.50
63818	HK Bank - A50 China R Bear CBBC Sep 2019 A	40,000,000	2019/02/27	2019/09/30	10.00
63815	HK Bank - Galaxy Ent R Bull CBBC Oct 2019 C	80,000,000	2019/02/27	2019/10/14	20.00
63789	HK Bank - HKEX R Bear CBBC Oct 2019 C	40,000,000	2019/02/27	2019/10/02	10.00
63782	HK Bank - HSCEI R Bear CBBC Dec 2019 A	50,000,000	2019/02/27	2019/12/30	12.50
63680	HK Bank - HSI R Bear CBBC Jul 2019 R	80,000,000	2019/02/27	2019/07/30	20.00
63762	HK Bank - HSI R Bear CBBC Oct 2019 P	120,000,000	2019/02/27	2019/10/30	30.00
63766	HK Bank - HSI R Bear CBBC Nov 2019 J	120,000,000	2019/02/27	2019/11/28	30.00
63841	HK Bank - PetroChina R Bull CBBC Oct 2019 A	80,000,000	2019/02/27	2019/10/08	20.00
63694	HK Bank - Tencent R Bear CBBC Sep 2019 F	50,000,000	2019/02/27	2019/09/23	12.50
64488	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 B	40,000,000	2019/02/27	2019/07/23	10.00
64305	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 T	120,000,000	2019/02/27	2020/12/30	30.00
64331	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 U	120,000,000	2019/02/27	2020/12/30	30.00
64291	Haitong Int'l Sec - HSI R Bear CBBC May 2019 Q	120,000,000	2019/02/27	2019/05/30	30.00
64530	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 C	120,000,000	2019/02/27	2019/06/27	30.00
64531	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 D	120,000,000	2019/02/27	2019/06/27	30.00
64543	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 E	120,000,000	2019/02/27	2019/06/27	30.00
64261	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 C	200,000,000	2019/02/27	2021/02/25	50.00
64268	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 E	200,000,000	2019/02/27	2021/02/25	50.00
64216	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 Z	200,000,000	2019/02/27	2021/02/25	50.00
64148	J P Morgan SP BV - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/27	2019/05/30	50.00
64138	J P Morgan SP BV - HSI R Bear CBBC May 2019 Y	200,000,000	2019/02/27	2019/05/30	50.00
64172	J P Morgan SP BV - HSI R Bear CBBC May 2019 Z	200,000,000	2019/02/27	2019/05/30	50.00
64129	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/02/27	2019/06/27	50.00
64154	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/02/27	2019/06/27	56.00
64155	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/02/27	2019/06/27	69.00
64156	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/02/27	2019/06/27	79.00
64178	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/02/27	2019/06/27	50.00
64068	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/02/27	2019/06/27	50.00

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64095	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 Y	200,000,000	2019/02/27	2019/06/27	50.00
64118	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 Z	200,000,000	2019/02/27	2019/06/27	50.00
64175	J P Morgan SP BV - Ping An R Bull CBBC Jul 2019 A	100,000,000	2019/02/27	2019/07/19	25.00
64174	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 I	50,000,000	2019/02/27	2019/06/14	12.50
63984	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 T	26,800,000	2019/02/27	2019/05/31	10.02
63960	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 B	9,800,000	2019/02/27	2019/11/21	10.09
65292	SG Issuer - AIA R Bull CBBC Jul 2019 W	60,000,000	2019/02/27	2019/07/19	15.00
65188	SG Issuer - AIA R Bear CBBC Jul 2019 K	60,000,000	2019/02/27	2019/07/25	15.00
65414	SG Issuer - China Mobile R Bull CBBC Nov 2019 B	100,000,000	2019/02/27	2019/11/28	25.00
65422	SG Issuer - Galaxy Ent R Bull CBBC Nov 2019 C	100,000,000	2019/02/27	2019/11/28	25.00
65446	SG Issuer - HKEX R Bull CBBC Jun 2019 L	50,000,000	2019/02/27	2019/06/20	12.50
65407	SG Issuer - HSI R Bull CBBC Mar 2021 C	200,000,000	2019/02/27	2021/03/30	50.00
65412	SG Issuer - HSI R Bull CBBC Apr 2021 K	200,000,000	2019/02/27	2021/04/29	50.00
65413	SG Issuer - HSI R Bull CBBC May 2021 W	200,000,000	2019/02/27	2021/05/28	50.00
65155	SG Issuer - HSI R Bear CBBC May 2019 B	200,000,000	2019/02/27	2019/05/30	50.00
65156	SG Issuer - HSI R Bear CBBC May 2019 D	200,000,000	2019/02/27	2019/05/30	50.00
65257	SG Issuer - HSI R Bear CBBC May 2019 E	200,000,000	2019/02/27	2019/05/30	50.00
65391	SG Issuer - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/27	2019/05/30	50.00
65350	SG Issuer - HSI R Bear CBBC May 2019 J	200,000,000	2019/02/27	2019/05/30	50.00
65376	SG Issuer - HSI R Bear CBBC May 2019 U	200,000,000	2019/02/27	2019/05/30	50.00
65157	SG Issuer - HSI R Bear CBBC May 2019 X	200,000,000	2019/02/27	2019/05/30	50.00
65165	SG Issuer - HSI R Bear CBBC Aug 2019 A	400,000,000	2019/02/27	2019/08/29	100.00
65487	SG Issuer - Ping An R Bull CBBC Nov 2019 H	100,000,000	2019/02/27	2019/11/28	25.00
65511	SG Issuer - Tencent R Bull CBBC May 2019 O	80,000,000	2019/02/27	2019/05/31	20.00
65198	SG Issuer - Tencent R Bear CBBC Nov 2019 I	80,000,000	2019/02/27	2019/11/27	20.00
64064	UBS AG - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/02/27	2021/02/25	50.00
64056	UBS AG - HSI R Bear CBBC May 2019 F	200,000,000	2019/02/27	2019/05/30	50.00
64057	UBS AG - HSI R Bear CBBC May 2019 M	200,000,000	2019/02/27	2019/05/30	50.00
64066	UBS AG - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/27	2019/05/30	50.00
64587	Bank Vontobel - AIA R Bull CBBC Oct 2019 G	40,000,000	2019/02/27	2019/10/18	10.00
64586	Bank Vontobel - AIA R Bear CBBC Dec 2019 A	40,000,000	2019/02/27	2019/12/13	10.00
64575	Bank Vontobel - CC Bank R Bear CBBC Dec 2019 A	40,000,000	2019/02/27	2019/12/20	10.00
64766	Bank Vontobel - China Life R Bull CBBC Oct 2019 F	40,000,000	2019/02/27	2019/10/18	10.00
64820	Bank Vontobel - China Life R Bear CBBC Nov 2019 A	40,000,000	2019/02/27	2019/11/15	10.04
64764	Bank Vontobel - HKEX R Bear CBBC Dec 2019 A	40,000,000	2019/02/27	2019/12/13	20.44
64710	Bank Vontobel - PetroChina R Bear CBBC Aug 2019 A	40,000,000	2019/02/27	2019/08/09	10.00
64712	Bank Vontobel - PetroChina R Bear CBBC Nov 2019 A	40,000,000	2019/02/27	2019/11/22	10.00
65873	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 D	150,000,000	2019/02/28	2020/09/29	38.85
65904	BOCI Asia Ltd. - HSI R Bear CBBC May 2019 P	150,000,000	2019/02/28	2019/05/30	38.70
65816	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2019G	150,000,000	2019/02/28	2019/11/28	37.50
65822	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 H	50,000,000	2019/02/28	2019/11/28	12.50
65687	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 H	50,000,000	2019/02/28	2019/07/30	12.50
66666	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Jul 2019 L	150,000,000	2019/02/28	2019/07/30	37.50
65732	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 G	100,000,000	2019/02/28	2019/09/27	25.00
65655	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 X	250,000,000	2019/02/28	2021/01/28	62.50

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65723	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 Y	250,000,000	2019/02/28	2021/01/28	62.50
65688	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 L	250,000,000	2019/02/28	2019/06/27	62.50
65836	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 M	250,000,000	2019/02/28	2019/06/27	62.50
66662	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 N	240,000,000	2019/02/28	2019/06/27	60.00
66864	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2019 E	40,000,000	2019/02/28	2019/12/30	10.00
66849	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2019 B	50,000,000	2019/02/28	2019/12/30	12.50
66852	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2019 A	50,000,000	2019/02/28	2019/12/30	12.50
66855	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 F	50,000,000	2019/02/28	2019/12/30	12.50
66896	Credit Suisse AG - HSCEI R Bull CBBC Mar 2021 A	100,000,000	2019/02/28	2021/03/30	25.00
66947	Credit Suisse AG - HSCEI R Bear CBBC Oct 2019 C	100,000,000	2019/02/28	2019/10/30	25.00
66807	Credit Suisse AG - HSI R Bull CBBC Feb 2021 R	200,000,000	2019/02/28	2021/02/25	50.00
66795	Credit Suisse AG - HSI R Bull CBBC Mar 2021 M	200,000,000	2019/02/28	2021/03/30	50.00
66734	Credit Suisse AG - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/02/28	2019/07/30	50.00
66685	Credit Suisse AG - HSI R Bear CBBC Aug 2019 F	200,000,000	2019/02/28	2019/08/29	50.00
66747	Credit Suisse AG - HSI R Bear CBBC Aug 2019 G	200,000,000	2019/02/28	2019/08/29	50.00
66766	Credit Suisse AG - HSI R Bear CBBC Aug 2019 H	200,000,000	2019/02/28	2019/08/29	50.00
66784	Credit Suisse AG - HSI R Bear CBBC Aug 2019 I	200,000,000	2019/02/28	2019/08/29	50.00
66791	Credit Suisse AG - HSI R Bear CBBC Aug 2019 J	200,000,000	2019/02/28	2019/08/29	50.00
66757	Credit Suisse AG - HSI R Bear CBBC Sep 2019 C	300,000,000	2019/02/28	2019/09/27	75.00
66785	Credit Suisse AG - HSI R Bear CBBC Sep 2019 D	300,000,000	2019/02/28	2019/09/27	75.00
66787	Credit Suisse AG - HSI R Bear CBBC Sep 2019 E	300,000,000	2019/02/28	2019/09/27	75.00
66857	Credit Suisse AG - Sunny Optical R Bull CBBC Dec 2019 E	40,000,000	2019/02/28	2019/12/30	10.00
66955	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 E	100,000,000	2019/02/28	2019/12/30	25.00
66992	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2019 B	100,000,000	2019/02/28	2019/12/30	25.00
67019	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 Z	100,000,000	2019/02/28	2019/12/30	25.00
66379	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 B	200,000,000	2019/02/28	2020/08/28	50.00
66966	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 C	200,000,000	2019/02/28	2020/08/28	50.00
66267	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2019 I	200,000,000	2019/02/28	2019/05/30	50.00
65689	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/02/28	2019/06/27	50.00
67034	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 N	200,000,000	2019/02/28	2019/06/27	50.00
67045	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 O	200,000,000	2019/02/28	2019/06/27	50.00
66309	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 M	100,000,000	2019/02/28	2019/12/31	25.00
66290	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 B	100,000,000	2019/02/28	2019/12/31	25.00
65928	HK Bank - AIA R Bull CBBC Jul 2019 D	60,000,000	2019/02/28	2019/07/22	15.00
66147	HK Bank - China Life R Bear CBBC Sep 2019 A	40,000,000	2019/02/28	2019/09/16	16.00
66240	HK Bank - CNOOC R Bear CBBC Aug 2019 B	40,000,000	2019/02/28	2019/08/12	10.00
65977	HK Bank - HKEX R Bull CBBC Jul 2019 G	50,000,000	2019/02/28	2019/07/22	12.50
65978	HK Bank - HKEX R Bear CBBC Oct 2019 D	40,000,000	2019/02/28	2019/10/21	11.20
65910	HK Bank - HSCEI R Bull CBBC Jul 2020 A	60,000,000	2019/02/28	2020/07/30	15.00
65979	HK Bank - HSI R Bull CBBC Jul 2020 A	80,000,000	2019/02/28	2020/07/30	20.00
65905	HK Bank - HSI R Bear CBBC Jul 2019 S	120,000,000	2019/02/28	2019/07/30	30.00
65983	HK Bank - HSI R Bear CBBC Nov 2019 K	120,000,000	2019/02/28	2019/11/28	30.00
66019	HK Bank - HSI R Bear CBBC Dec 2019 H	120,000,000	2019/02/28	2019/12/30	30.00
66218	HK Bank - Ping An R Bull CBBC Nov 2019 A	100,000,000	2019/02/28	2019/11/04	25.00
66109	HK Bank - Sands China R Bull CBBC Nov 2019 B	80,000,000	2019/02/28	2019/11/11	20.00

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66161	HK Bank - SUNAC R Bull CBBC Jan 2020 D	60,000,000	2019/02/28	2020/01/20	15.00
66171	HK Bank - Sunny Optical R Bear CBBC Oct 2019 A	40,000,000	2019/02/28	2019/10/08	10.00
66221	HK Bank - Tencent R Bull CBBC Jul 2019 V	60,000,000	2019/02/28	2019/07/29	15.00
67254	Haitong Int'l Sec - AIA R Bear CBBC Aug 2019 B	60,000,000	2019/02/28	2019/08/19	15.00
67272	Haitong Int'l Sec - CM Bank R Bear CBBC Oct 2019 A	120,000,000	2019/02/28	2019/10/03	30.00
67261	Haitong Int'l Sec - CSPC Pharma R Bear CBBC Oct 2019 A	40,000,000	2019/02/28	2019/10/10	11.52
67252	Haitong Int'l Sec - HKEX R Bull CBBC Jul 2019 B	40,000,000	2019/02/28	2019/07/12	10.00
67276	Haitong Int'l Sec - HKEX R Bear CBBC Aug 2019 B	40,000,000	2019/02/28	2019/08/26	10.88
66401	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 V	120,000,000	2019/02/28	2020/12/30	30.00
66415	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 A	120,000,000	2019/02/28	2021/01/28	30.00
66460	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 B	120,000,000	2019/02/28	2021/01/28	30.00
66381	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 F	120,000,000	2019/02/28	2019/06/27	30.00
66395	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 G	120,000,000	2019/02/28	2019/06/27	30.00
67271	Haitong Int'l Sec - SUNAC R Bear CBBC Dec 2019 A	130,000,000	2019/02/28	2019/12/24	32.50
66671	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 G	200,000,000	2019/02/28	2021/02/25	50.00
66673	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 P	200,000,000	2019/02/28	2021/02/25	50.00
66683	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 Q	200,000,000	2019/02/28	2021/02/25	50.00
66669	J P Morgan SP BV - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/28	2019/05/30	50.00
65837	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2019 U	26,000,000	2019/02/28	2019/05/31	10.04
65838	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 C	9,300,000	2019/02/28	2019/11/27	10.03
67072	SG Issuer - AIA R Bear CBBC Jul 2019 L	60,000,000	2019/02/28	2019/07/22	15.00
67172	SG Issuer - China Life R Bull CBBC Jul 2019 F	40,000,000	2019/02/28	2019/07/15	10.00
67192	SG Issuer - Sinopec Corp R Bull CBBC Oct 2019 B	40,000,000	2019/02/28	2019/10/04	10.00
67236	SG Issuer - CSOP A50 ETF R Bear CBBC Aug 2019 A	100,000,000	2019/02/28	2019/08/20	25.00
67237	SG Issuer - CSOP A50 ETF R Bear CBBC Aug 2019 B	100,000,000	2019/02/28	2019/08/30	25.00
67209	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 H	100,000,000	2019/02/28	2019/10/04	25.00
67075	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 E	100,000,000	2019/02/28	2019/09/27	25.00
67221	SG Issuer - HKEX R Bull CBBC May 2019 B	50,000,000	2019/02/28	2019/05/31	12.50
67222	SG Issuer - HKEX R Bear CBBC Jul 2019 O	50,000,000	2019/02/28	2019/07/17	12.50
67225	SG Issuer - HKEX R Bear CBBC Sep 2019 D	50,000,000	2019/02/28	2019/09/27	24.75
67111	SG Issuer - HSI R Bull CBBC Mar 2021 K	200,000,000	2019/02/28	2021/03/30	50.00
67122	SG Issuer - HSI R Bull CBBC May 2021 X	200,000,000	2019/02/28	2021/05/28	50.00
67123	SG Issuer - HSI R Bull CBBC May 2021 Y	200,000,000	2019/02/28	2021/05/28	50.00
67103	SG Issuer - HSI R Bear CBBC May 2019 F	200,000,000	2019/02/28	2019/05/30	50.00
67139	SG Issuer - HSI R Bear CBBC May 2019 G	200,000,000	2019/02/28	2019/05/30	50.00
67054	SG Issuer - HSI R Bear CBBC May 2019 K	200,000,000	2019/02/28	2019/05/30	50.00
67059	SG Issuer - HSI R Bear CBBC May 2019 N	200,000,000	2019/02/28	2019/05/30	50.00
67140	SG Issuer - HSI R Bear CBBC Jul 2019 U	200,000,000	2019/02/28	2019/07/30	50.00
67064	SG Issuer - HSI R Bear CBBC Jul 2019 W	200,000,000	2019/02/28	2019/07/30	50.00
67065	SG Issuer - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/02/28	2019/07/30	50.00
67160	SG Issuer - HSI R Bear CBBC Sep 2019 N	200,000,000	2019/02/28	2019/09/27	50.00
67165	SG Issuer - HSI R Bear CBBC Dec 2019 A	400,000,000	2019/02/28	2019/12/30	100.00
67130	SG Issuer - Ping An R Bull CBBC Dec 2019 C	100,000,000	2019/02/28	2019/12/30	25.00
67229	SG Issuer - Sands China R Bull CBBC Dec 2019 D	80,000,000	2019/02/28	2019/12/18	20.00
67136	SG Issuer - Tencent R Bull CBBC Jun 2019 Z	80,000,000	2019/02/28	2019/06/05	20.00

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67086	SG Issuer - Tencent R Bear CBBC Nov 2019 J	80,000,000	2019/02/28	2019/11/20	20.00
66624	UBS AG - Geely Auto R Bull CBBC Dec 2019 A	80,000,000	2019/02/28	2019/12/23	20.00
66629	UBS AG - Geely Auto R Bear CBBC Dec 2019 A	40,000,000	2019/02/28	2019/12/23	10.00
66527	UBS AG - Galaxy Ent R Bull CBBC Sep 2019 D	40,000,000	2019/02/28	2019/09/09	10.00
66547	UBS AG - Galaxy Ent R Bear CBBC Sep 2019 A	40,000,000	2019/02/28	2019/09/09	10.00
66513	UBS AG - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/02/28	2021/02/25	50.00
66465	UBS AG - HSI R Bear CBBC May 2019 H	200,000,000	2019/02/28	2019/05/30	50.00
66462	UBS AG - HSI R Bear CBBC May 2019 Q	200,000,000	2019/02/28	2019/05/30	50.00
66463	UBS AG - HSI R Bear CBBC May 2019 R	200,000,000	2019/02/28	2019/05/30	50.00
66518	UBS AG - HSI R Bear CBBC May 2019 W	200,000,000	2019/02/28	2019/05/30	50.00
66521	UBS AG - HSI R Bear CBBC Dec 2019 A	400,000,000	2019/02/28	2019/12/30	100.00
66582	UBS AG - Sands China R Bull CBBC Dec 2019 A	100,000,000	2019/02/28	2019/12/23	25.00
67360	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Jun 2019 U	60,000,000	2019/03/01	2019/06/27	15.00
67279	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 I	50,000,000	2019/03/01	2019/07/30	12.50
67356	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 A	100,000,000	2019/03/01	2019/09/27	25.00
67361	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 A	250,000,000	2019/03/01	2021/01/28	62.50
67355	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 Z	250,000,000	2019/03/01	2021/01/28	62.50
67366	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 O	250,000,000	2019/03/01	2019/06/27	62.50
67382	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 P	250,000,000	2019/03/01	2019/06/27	62.50
67386	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Q	250,000,000	2019/03/01	2019/06/27	70.00
67398	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 S	240,000,000	2019/03/01	2019/06/27	60.00
67353	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 A	200,000,000	2019/03/01	2019/08/29	50.00
67277	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 M	50,000,000	2019/03/01	2019/06/27	12.50
67804	Credit Suisse AG - AIA R Bull CBBC Nov 2019 F	70,000,000	2019/03/01	2019/11/28	17.50
67799	Credit Suisse AG - China Mobile R Bull CBBC Dec 2019 D	70,000,000	2019/03/01	2019/12/20	17.50
67800	Credit Suisse AG - China Mobile R Bear CBBC Nov 2019 A	70,000,000	2019/03/01	2019/11/28	17.50
67786	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 G	70,000,000	2019/03/01	2019/12/06	17.50
67796	Credit Suisse AG - CM Bank R Bear CBBC Dec 2019 B	60,000,000	2019/03/01	2019/12/13	15.00
67810	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2019 E	50,000,000	2019/03/01	2019/11/28	12.50
67761	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 G	50,000,000	2019/03/01	2019/12/20	12.50
67757	Credit Suisse AG - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/03/01	2021/02/25	50.00
67751	Credit Suisse AG - HSI R Bull CBBC Mar 2021 N	200,000,000	2019/03/01	2021/03/30	50.00
67758	Credit Suisse AG - HSI R Bull CBBC Mar 2021 O	200,000,000	2019/03/01	2021/03/30	50.00
67760	Credit Suisse AG - HSI R Bull CBBC Mar 2021 P	200,000,000	2019/03/01	2021/03/30	50.00
67744	Credit Suisse AG - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/01	2019/07/30	50.00
67745	Credit Suisse AG - HSI R Bear CBBC Aug 2019 K	200,000,000	2019/03/01	2019/08/29	50.00
67746	Credit Suisse AG - HSI R Bear CBBC Aug 2019 L	200,000,000	2019/03/01	2019/08/29	50.00
67747	Credit Suisse AG - HSI R Bear CBBC Aug 2019 M	200,000,000	2019/03/01	2019/08/29	50.00
67748	Credit Suisse AG - HSI R Bear CBBC Aug 2019 N	200,000,000	2019/03/01	2019/08/29	50.00
67765	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 L	80,000,000	2019/03/01	2019/12/06	20.00
67762	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 Q	50,000,000	2019/03/01	2019/12/06	12.50
67722	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 O	100,000,000	2019/03/01	2019/11/15	25.00
67727	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2019 J	100,000,000	2019/03/01	2019/11/25	25.00
67296	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 D	200,000,000	2019/03/01	2020/08/28	50.00
67724	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 E	200,000,000	2019/03/01	2020/08/28	50.00

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67314	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/03/01	2019/06/27	50.00
67729	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/03/01	2019/06/27	50.00
67740	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/03/01	2019/06/27	50.00
67298	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 N	100,000,000	2019/03/01	2019/06/28	25.00
67349	HK Bank - Galaxy Ent R Bull CBBC Oct 2019 D	80,000,000	2019/03/01	2019/10/28	20.00
67352	HK Bank - HKEX R Bear CBBC Sep 2019 B	40,000,000	2019/03/01	2019/09/30	13.20
67331	HK Bank - HSCEI R Bear CBBC Nov 2019 B	50,000,000	2019/03/01	2019/11/28	12.50
67332	HK Bank - HSI R Bull CBBC Mar 2020 W	120,000,000	2019/03/01	2020/03/30	30.00
67348	HK Bank - HSI R Bear CBBC Nov 2019 L	120,000,000	2019/03/01	2019/11/28	30.00
67317	HK Bank - PetroChina R Bear CBBC Aug 2019 A	40,000,000	2019/03/01	2019/08/19	10.00
67321	HK Bank - Sunny Optical R Bull CBBC Oct 2019 B	40,000,000	2019/03/01	2019/10/28	10.00
67318	HK Bank - Sunny Optical R Bear CBBC Oct 2019 B	40,000,000	2019/03/01	2019/10/28	14.80
67614	Haitong Int'l Sec - China Mobile R Bull CBBC Aug 2019 A	50,000,000	2019/03/01	2019/08/19	12.50
67619	Haitong Int'l Sec - China Mobile R Bear CBBC Aug 2019 A	50,000,000	2019/03/01	2019/08/20	12.50
67611	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 W	120,000,000	2019/03/01	2020/12/30	30.00
67612	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 X	120,000,000	2019/03/01	2020/12/30	31.44
67587	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 H	120,000,000	2019/03/01	2019/06/27	30.00
67598	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 I	120,000,000	2019/03/01	2019/06/27	30.00
67607	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 J	120,000,000	2019/03/01	2019/06/27	30.00
67609	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 K	120,000,000	2019/03/01	2019/06/27	30.00
67615	Haitong Int'l Sec - Sunny Optical R Bull CBBC Aug 2019 C	50,000,000	2019/03/01	2019/08/22	12.50
67621	Haitong Int'l Sec - Sunny Optical R Bear CBBC Aug 2019 B	50,000,000	2019/03/01	2019/08/29	12.50
67426	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 I	40,000,000	2019/03/01	2019/06/21	10.00
67434	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 J	40,000,000	2019/03/01	2019/06/21	10.00
67438	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/03/01	2021/01/28	50.00
67409	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 A	200,000,000	2019/03/01	2021/03/30	50.00
67410	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 B	200,000,000	2019/03/01	2021/03/30	50.00
67439	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 C	200,000,000	2019/03/01	2021/03/30	50.00
67400	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/03/01	2019/06/27	50.00
67406	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/03/01	2019/06/27	50.00
67428	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 J	50,000,000	2019/03/01	2019/06/14	12.50
67414	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 H	50,000,000	2019/03/01	2019/06/14	12.50
67281	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 T	25,000,000	2019/03/01	2019/06/05	10.00
67289	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 D	9,700,000	2019/03/01	2019/11/20	10.10
67629	SG Issuer - China Life R Bull CBBC Jul 2019 G	40,000,000	2019/03/01	2019/07/16	10.00
67637	SG Issuer - Galaxy Ent R Bull CBBC Dec 2019 C	100,000,000	2019/03/01	2019/12/30	25.00
67686	SG Issuer - HKEX R Bull CBBC Jun 2019 J	50,000,000	2019/03/01	2019/06/19	12.50
67710	SG Issuer - HSI R Bull CBBC Apr 2021 M	200,000,000	2019/03/01	2021/04/29	50.00
67622	SG Issuer - HSI R Bull CBBC May 2021 Z	200,000,000	2019/03/01	2021/05/28	50.00
67625	SG Issuer - HSI R Bull CBBC Jul 2021 A	200,000,000	2019/03/01	2021/07/29	50.00
67679	SG Issuer - HSI R Bull CBBC Jul 2021 B	400,000,000	2019/03/01	2021/07/29	100.00
67716	SG Issuer - HSI R Bull CBBC Jul 2021 C	200,000,000	2019/03/01	2021/07/29	50.00
67662	SG Issuer - HSI R Bear CBBC Aug 2019 B	200,000,000	2019/03/01	2019/08/29	50.00
67674	SG Issuer - HSI R Bear CBBC Aug 2019 F	200,000,000	2019/03/01	2019/08/29	50.00
67680	SG Issuer - HSI R Bear CBBC Sep 2019 O	200,000,000	2019/03/01	2019/09/27	50.00

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67695	SG Issuer - Ping An R Bull CBBC Nov 2019 I	100,000,000	2019/03/01	2019/11/27	25.00
67687	SG Issuer - Ping An R Bear CBBC Aug 2019 E	100,000,000	2019/03/01	2019/08/02	25.00
67639	SG Issuer - Tencent R Bull CBBC Jun 2019 B	80,000,000	2019/03/01	2019/06/18	20.00
67696	SG Issuer - Tencent R Bull CBBC Jun 2019 W	80,000,000	2019/03/01	2019/06/04	20.00
67651	SG Issuer - Tencent R Bull CBBC Jul 2019 G	80,000,000	2019/03/01	2019/07/16	20.00
67708	SG Issuer - Tencent R Bull CBBC Jul 2019 H	80,000,000	2019/03/01	2019/07/04	20.00
67709	SG Issuer - Tencent R Bear CBBC Nov 2019 K	80,000,000	2019/03/01	2019/11/20	20.00
67548	UBS AG - China Life R Bull CBBC Sep 2019 B	40,000,000	2019/03/01	2019/09/30	10.00
67579	UBS AG - CM Bank R Bull CBBC Nov 2019 A	40,000,000	2019/03/01	2019/11/29	10.00
67580	UBS AG - CM Bank R Bear CBBC Nov 2019 A	40,000,000	2019/03/01	2019/11/29	10.00
67554	UBS AG - A50 China R Bull CBBC Nov 2019 B	50,000,000	2019/03/01	2019/11/04	12.50
67551	UBS AG - A50 China R Bear CBBC Nov 2019 B	50,000,000	2019/03/01	2019/11/04	12.50
67515	UBS AG - HKEX R Bull CBBC Oct 2019 C	50,000,000	2019/03/01	2019/10/28	12.50
67531	UBS AG - HKEX R Bull CBBC Nov 2019 B	50,000,000	2019/03/01	2019/11/25	12.50
67542	UBS AG - HKEX R Bear CBBC Oct 2019 A	50,000,000	2019/03/01	2019/10/28	17.50
67545	UBS AG - HKEX R Bear CBBC Oct 2019 B	50,000,000	2019/03/01	2019/10/28	22.50
67482	UBS AG - HSI R Bull CBBC May 2021 O	200,000,000	2019/03/01	2021/05/28	50.00
67467	UBS AG - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/03/01	2019/06/27	50.00
67470	UBS AG - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/03/01	2019/06/27	50.00
67472	UBS AG - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/03/01	2019/06/27	50.00
67478	UBS AG - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/03/01	2019/06/27	50.00
67489	UBS AG - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/03/01	2019/06/27	50.00
67569	UBS AG - Sunny Optical R Bear CBBC Dec 2019 A	40,000,000	2019/03/01	2019/12/09	10.00
67509	UBS AG - Tencent R Bull CBBC Jun 2019 V	40,000,000	2019/03/01	2019/06/24	10.00
67492	UBS AG - Tencent R Bear CBBC Jun 2019 U	40,000,000	2019/03/01	2019/06/24	10.00
67446	Bank Vontobel - AIA R Bear CBBC Dec 2019 B	40,000,000	2019/03/01	2019/12/20	10.00
67453	Bank Vontobel - Galaxy Ent R Bull CBBC Nov 2019 E	40,000,000	2019/03/01	2019/11/22	10.00
67454	Bank Vontobel - Galaxy Ent R Bear CBBC Nov 2019 D	40,000,000	2019/03/01	2019/11/15	10.00
67465	Bank Vontobel - Sunny Optical R Bull CBBC Oct 2019 B	40,000,000	2019/03/01	2019/10/25	10.00
68443	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 E	150,000,000	2019/03/04	2020/09/29	37.50
68446	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 A	150,000,000	2019/03/04	2019/06/27	37.50
68457	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 B	150,000,000	2019/03/04	2019/06/27	39.00
68095	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Sep 2019 A	100,000,000	2019/03/04	2019/09/27	25.00
68012	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 J	100,000,000	2019/03/04	2019/11/28	25.00
68030	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 I	50,000,000	2019/03/04	2019/11/28	12.50
68034	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 N	100,000,000	2019/03/04	2019/12/30	25.00
68037	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 O	100,000,000	2019/03/04	2019/12/30	25.00
68041	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 P	100,000,000	2019/03/04	2019/12/30	25.00
68100	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 C	250,000,000	2019/03/04	2021/01/28	62.50
68101	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 E	240,000,000	2019/03/04	2021/01/28	60.00
67837	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 T	250,000,000	2019/03/04	2019/06/27	62.50
67838	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 U	250,000,000	2019/03/04	2019/06/27	62.50
68043	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 V	250,000,000	2019/03/04	2019/06/27	62.50
68044	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 W	250,000,000	2019/03/04	2019/06/27	62.50
68056	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 X	250,000,000	2019/03/04	2019/06/27	62.50

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68058	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Y	250,000,000	2019/03/04	2019/06/27	62.50
68076	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jun 2019 Z	250,000,000	2019/03/04	2019/06/27	62.50
68080	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 A	250,000,000	2019/03/04	2019/07/30	62.50
68083	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 B	250,000,000	2019/03/04	2019/07/30	62.50
68085	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 C	250,000,000	2019/03/04	2019/07/30	62.50
68087	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 D	250,000,000	2019/03/04	2019/07/30	62.50
68090	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 E	250,000,000	2019/03/04	2019/07/30	62.50
68094	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 F	250,000,000	2019/03/04	2019/07/30	62.50
68018	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 B	200,000,000	2019/03/04	2019/08/29	50.00
68016	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 C	200,000,000	2019/03/04	2019/08/29	50.00
68801	Credit Suisse AG - ABC R Bull CBBC Dec 2019 A	70,000,000	2019/03/04	2019/12/20	17.50
68820	Credit Suisse AG - Bank of China R Bull CBBC Dec 2019 B	70,000,000	2019/03/04	2019/12/30	17.50
68805	Credit Suisse AG - CC Bank R Bull CBBC Dec 2019 B	70,000,000	2019/03/04	2019/12/20	17.50
68794	Credit Suisse AG - China Life R Bull CBBC Dec 2019 C	40,000,000	2019/03/04	2019/12/13	10.00
68797	Credit Suisse AG - China Life R Bear CBBC Dec 2019 A	40,000,000	2019/03/04	2019/12/06	12.40
68803	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 H	70,000,000	2019/03/04	2019/12/30	17.50
68804	Credit Suisse AG - CM Bank R Bear CBBC Dec 2019 C	60,000,000	2019/03/04	2019/12/20	15.00
68845	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 B	50,000,000	2019/03/04	2020/01/30	12.50
68846	Credit Suisse AG - A50 China R Bull CBBC Jan 2020 B	50,000,000	2019/03/04	2020/01/30	12.50
68766	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2019 B	50,000,000	2019/03/04	2019/12/13	12.50
68773	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 H	50,000,000	2019/03/04	2019/12/13	12.50
68778	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 D	50,000,000	2019/03/04	2019/12/13	15.00
68782	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 E	50,000,000	2019/03/04	2019/12/20	19.50
68888	Credit Suisse AG - HSI R Bull CBBC Feb 2021 V	200,000,000	2019/03/04	2021/02/25	50.00
68903	Credit Suisse AG - HSI R Bull CBBC Feb 2021 X	200,000,000	2019/03/04	2021/02/25	50.00
68864	Credit Suisse AG - HSI R Bull CBBC Mar 2021 Q	200,000,000	2019/03/04	2021/03/30	50.00
68898	Credit Suisse AG - HSI R Bull CBBC Apr 2021 C	300,000,000	2019/03/04	2021/04/29	75.00
68741	Credit Suisse AG - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/03/04	2019/07/30	50.00
68701	Credit Suisse AG - HSI R Bear CBBC Jul 2019 R	200,000,000	2019/03/04	2019/07/30	50.00
68754	Credit Suisse AG - HSI R Bear CBBC Aug 2019 O	200,000,000	2019/03/04	2019/08/29	50.00
68759	Credit Suisse AG - HSI R Bear CBBC Aug 2019 P	200,000,000	2019/03/04	2019/08/29	50.00
68760	Credit Suisse AG - HSI R Bear CBBC Aug 2019 Q	200,000,000	2019/03/04	2019/08/29	50.00
68755	Credit Suisse AG - HSI R Bear CBBC Sep 2019 F	200,000,000	2019/03/04	2019/09/27	50.00
68762	Credit Suisse AG - HSI R Bear CBBC Sep 2019 G	300,000,000	2019/03/04	2019/09/27	75.00
68764	Credit Suisse AG - HSI R Bear CBBC Sep 2019 H	300,000,000	2019/03/04	2019/09/27	75.00
68850	Credit Suisse AG - HSI R Bear CBBC Sep 2019 I	200,000,000	2019/03/04	2019/09/27	56.00
68862	Credit Suisse AG - HSI R Bear CBBC Sep 2019 J	300,000,000	2019/03/04	2019/09/27	75.00
68816	Credit Suisse AG - ICBC R Bull CBBC Dec 2019 D	70,000,000	2019/03/04	2019/12/30	17.50
68832	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 M	80,000,000	2019/03/04	2019/12/20	20.00
68838	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 N	80,000,000	2019/03/04	2019/12/13	20.00
68905	Credit Suisse AG - Ping An R Bear CBBC Dec 2019 B	60,000,000	2019/03/04	2019/12/20	15.00
68906	Credit Suisse AG - Ping An R Bear CBBC Dec 2019 C	60,000,000	2019/03/04	2019/12/30	15.00
68787	Credit Suisse AG - Sands China R Bull CBBC Dec 2019 C	50,000,000	2019/03/04	2019/12/30	12.50
67860	Bank of East Asia - China Mobile R Bull CBBC Aug 2019 C	60,000,000	2019/03/04	2019/08/28	15.00
67866	Bank of East Asia - China Mobile R Bear CBBC Sep 2019 A	60,000,000	2019/03/04	2019/09/20	15.00

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67894	Bank of East Asia - China Life R Bull CBBC Nov 2019 A	30,000,000	2019/03/04	2019/11/22	12.00
67873	Bank of East Asia - Geely Auto R Bull CBBC Aug 2019 I	40,000,000	2019/03/04	2019/08/28	10.00
67877	Bank of East Asia - Galaxy Ent R Bull CBBC Nov 2019 A	10,000,000	2019/03/04	2019/11/22	10.00
67849	Bank of East Asia - Ping An R Bull CBBC Aug 2019 L	100,000,000	2019/03/04	2019/08/28	25.00
67851	Bank of East Asia - Ping An R Bull CBBC Aug 2019 M	100,000,000	2019/03/04	2019/08/28	25.00
67858	Bank of East Asia - Ping An R Bear CBBC Sep 2019 A	100,000,000	2019/03/04	2019/09/20	25.00
67874	Bank of East Asia - Tencent R Bull CBBC Nov 2019 A	30,000,000	2019/03/04	2019/11/22	10.50
68687	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Sep 2019 A	100,000,000	2019/03/04	2019/09/30	25.00
68673	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 F	100,000,000	2019/03/04	2019/12/30	25.00
68690	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2019K	100,000,000	2019/03/04	2019/11/25	25.00
68644	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 B	100,000,000	2019/03/04	2019/12/30	25.00
68660	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 D	100,000,000	2019/03/04	2019/12/30	25.00
68663	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Dec 2019 C	100,000,000	2019/03/04	2019/12/30	25.00
68165	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 H	200,000,000	2019/03/04	2020/12/30	50.00
68167	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 X	200,000,000	2019/03/04	2020/12/30	50.00
67813	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/03/04	2019/06/27	50.00
67816	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/03/04	2019/06/27	50.00
68169	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/03/04	2019/06/27	50.00
68174	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/03/04	2019/06/27	50.00
68691	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Sep 2019 A	100,000,000	2019/03/04	2019/09/30	25.00
68640	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 N	100,000,000	2019/03/04	2019/12/31	25.00
68642	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 O	100,000,000	2019/03/04	2019/12/31	25.00
67907	HK Bank - China Life R Bull CBBC Oct 2019 A	40,000,000	2019/03/04	2019/10/08	10.00
67919	HK Bank - China Life R Bear CBBC Oct 2019 A	40,000,000	2019/03/04	2019/10/02	16.40
67925	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2019 C	50,000,000	2019/03/04	2019/10/02	12.50
67933	HK Bank - CSOP A50 ETF R Bear CBBC Oct 2019 A	40,000,000	2019/03/04	2019/10/14	10.00
67932	HK Bank - A50 China R Bull CBBC Oct 2019 C	50,000,000	2019/03/04	2019/10/02	12.50
67906	HK Bank - A50 China R Bear CBBC Oct 2019 A	40,000,000	2019/03/04	2019/10/14	10.00
68008	HK Bank - HKEX R Bull CBBC Sep 2019 D	50,000,000	2019/03/04	2019/09/16	12.50
67831	HK Bank - HKEX R Bear CBBC Aug 2019 B	40,000,000	2019/03/04	2019/08/01	10.00
67952	HK Bank - HKEX R Bear CBBC Oct 2019 E	40,000,000	2019/03/04	2019/10/14	12.80
67963	HK Bank - HKEX R Bear CBBC Nov 2019 B	40,000,000	2019/03/04	2019/11/18	18.00
67940	HK Bank - HSI R Bull CBBC Mar 2020 X	120,000,000	2019/03/04	2020/03/30	30.00
67830	HK Bank - HSI R Bear CBBC Jul 2019 T	120,000,000	2019/03/04	2019/07/30	30.00
67959	HK Bank - HSI R Bear CBBC Jul 2019 U	80,000,000	2019/03/04	2019/07/30	20.00
67947	HK Bank - HSI R Bear CBBC Oct 2019 Q	120,000,000	2019/03/04	2019/10/30	30.00
67970	HK Bank - HSI R Bear CBBC Dec 2019 I	120,000,000	2019/03/04	2019/12/30	30.00
67917	HK Bank - ICBC R Bull CBBC Aug 2019 A	75,000,000	2019/03/04	2019/08/02	18.75
67979	HK Bank - Ping An R Bull CBBC Oct 2019 C	100,000,000	2019/03/04	2019/10/02	25.00
67990	HK Bank - Ping An R Bear CBBC Oct 2019 A	80,000,000	2019/03/04	2019/10/28	20.00
67974	HK Bank - Tencent R Bear CBBC Jul 2019 O	50,000,000	2019/03/04	2019/07/29	12.50
68312	Haitong Int'l Sec - CM Bank R Bull CBBC Jan 2020 A	110,000,000	2019/03/04	2020/01/31	27.50
68302	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC Aug 2019 B	40,000,000	2019/03/04	2019/08/23	10.00
68330	Haitong Int'l Sec - CSOP A50 ETF R Bear CBBC Nov 2019 B	40,000,000	2019/03/04	2019/11/25	10.00
68305	Haitong Int'l Sec - A50 R Bull CBBC Aug 2019 B	40,000,000	2019/03/04	2019/08/21	10.00

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68337	Haitong Int'l Sec - A50 China R Bear CBBC Nov 2019 B	40,000,000	2019/03/04	2019/11/07	10.00
68266	Haitong Int'l Sec - HSBC R Bull CBBC Aug 2019 A	70,000,000	2019/03/04	2019/08/29	17.50
68284	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 Y	120,000,000	2019/03/04	2020/12/30	30.00
68301	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 Z	120,000,000	2019/03/04	2020/12/30	30.00
68268	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 L	120,000,000	2019/03/04	2019/06/27	30.00
68269	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 M	120,000,000	2019/03/04	2019/06/27	30.00
68270	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 N	120,000,000	2019/03/04	2019/06/27	30.00
68272	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 O	120,000,000	2019/03/04	2019/06/27	30.00
68280	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 P	120,000,000	2019/03/04	2019/06/27	30.00
68175	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 A	40,000,000	2019/03/04	2019/07/12	10.00
68182	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 B	40,000,000	2019/03/04	2019/07/12	10.00
68183	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 C	40,000,000	2019/03/04	2019/07/12	10.00
68187	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 H	40,000,000	2019/03/04	2019/06/14	10.00
68188	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 I	40,000,000	2019/03/04	2019/06/14	10.00
68192	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 J	40,000,000	2019/03/04	2019/06/14	15.60
68227	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2020 F	100,000,000	2019/03/04	2020/11/27	25.00
68243	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 S	200,000,000	2019/03/04	2021/02/25	50.00
68197	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 D	200,000,000	2019/03/04	2021/03/30	50.00
68198	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 E	200,000,000	2019/03/04	2021/03/30	50.00
68206	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/03/04	2019/06/27	50.00
68207	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/03/04	2019/06/27	50.00
68209	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 J	200,000,000	2019/03/04	2019/06/27	50.00
68211	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/03/04	2019/06/27	50.00
68246	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/03/04	2019/06/27	50.00
68254	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/03/04	2019/06/27	50.00
68199	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/04	2019/07/30	50.00
68202	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/03/04	2019/07/30	50.00
68213	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 E	200,000,000	2019/03/04	2019/07/30	50.00
68216	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/03/04	2019/07/30	50.00
68217	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/03/04	2019/07/30	50.00
68223	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/03/04	2019/07/30	50.00
68225	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/03/04	2019/07/30	74.00
68260	J P Morgan SP BV - Ping An R Bull CBBC Jun 2019 F	100,000,000	2019/03/04	2019/06/21	25.00
68261	J P Morgan SP BV - Ping An R Bull CBBC Jul 2019 B	100,000,000	2019/03/04	2019/07/12	25.00
68265	J P Morgan SP BV - Ping An R Bear CBBC Jul 2019 A	100,000,000	2019/03/04	2019/07/19	25.00
68257	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 I	50,000,000	2019/03/04	2019/06/14	12.50
67840	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 D	19,900,000	2019/03/04	2019/07/04	10.03
67846	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 E	9,600,000	2019/03/04	2019/11/20	10.04
68467	SG Issuer - AIA R Bull CBBC Nov 2019 C	60,000,000	2019/03/04	2019/11/28	15.00
68602	SG Issuer - CC Bank R Bull CBBC Jul 2019 F	100,000,000	2019/03/04	2019/07/29	25.00
68604	SG Issuer - China Life R Bull CBBC Jul 2019 H	40,000,000	2019/03/04	2019/07/29	10.00
68509	SG Issuer - China Life R Bear CBBC Jul 2019 D	40,000,000	2019/03/04	2019/07/19	10.00
68613	SG Issuer - China Life R Bear CBBC Sep 2019 D	40,000,000	2019/03/04	2019/09/27	17.40
68469	SG Issuer - China Life R Bear CBBC Oct 2019 A	40,000,000	2019/03/04	2019/10/30	17.20
68614	SG Issuer - CM Bank R Bull CBBC Dec 2019 D	100,000,000	2019/03/04	2019/12/30	25.00

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68616	SG Issuer - CM Bank R Bull CBBC Dec 2019 E	100,000,000	2019/03/04	2019/12/31	25.00
68586	SG Issuer - CSOP A50 ETF R Bull CBBC Jan 2020 A	100,000,000	2019/03/04	2020/01/30	25.00
68587	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 D	100,000,000	2019/03/04	2020/02/17	25.00
68589	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 E	100,000,000	2019/03/04	2020/02/27	25.00
68585	SG Issuer - CSOP A50 ETF R Bear CBBC Aug 2019 C	100,000,000	2019/03/04	2019/08/29	25.00
68594	SG Issuer - A50 China R Bull CBBC Feb 2020 D	80,000,000	2019/03/04	2020/02/17	20.00
68597	SG Issuer - A50 China R Bull CBBC Feb 2020 E	80,000,000	2019/03/04	2020/02/27	20.00
68592	SG Issuer - A50 R Bear CBBC Aug 2019 A	80,000,000	2019/03/04	2019/08/29	20.00
68511	SG Issuer - HKEX R Bull CBBC Jun 2019 M	50,000,000	2019/03/04	2019/06/26	12.50
68473	SG Issuer - HKEX R Bear CBBC Jul 2019 P	50,000,000	2019/03/04	2019/07/22	12.50
68617	SG Issuer - HKEX R Bear CBBC Sep 2019 E	50,000,000	2019/03/04	2019/09/20	24.75
68497	SG Issuer - HSCEI R Bull CBBC Sep 2020 U	100,000,000	2019/03/04	2020/09/29	25.00
68500	SG Issuer - HSCEI R Bull CBBC Oct 2020 G	100,000,000	2019/03/04	2020/10/29	25.00
68501	SG Issuer - HSCEI R Bull CBBC Oct 2020 H	100,000,000	2019/03/04	2020/10/29	25.00
68493	SG Issuer - HSCEI R Bear CBBC Oct 2019 B	100,000,000	2019/03/04	2019/10/30	25.00
68578	SG Issuer - HSI R Bull CBBC May 2021 E	200,000,000	2019/03/04	2021/05/28	50.00
68579	SG Issuer - HSI R Bull CBBC May 2021 H	200,000,000	2019/03/04	2021/05/28	50.00
68584	SG Issuer - HSI R Bull CBBC Jul 2021 D	200,000,000	2019/03/04	2021/07/29	50.00
68490	SG Issuer - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/03/04	2019/07/30	50.00
68492	SG Issuer - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/04	2019/07/30	50.00
68561	SG Issuer - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/03/04	2019/07/30	50.00
68562	SG Issuer - HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/03/04	2019/07/30	50.00
68567	SG Issuer - HSI R Bear CBBC Aug 2019 D	200,000,000	2019/03/04	2019/08/29	50.00
68563	SG Issuer - HSI R Bear CBBC Aug 2019 G	200,000,000	2019/03/04	2019/08/29	50.00
68574	SG Issuer - HSI R Bear CBBC Aug 2019 H	200,000,000	2019/03/04	2019/08/29	50.00
68577	SG Issuer - HSI R Bear CBBC Sep 2019 P	200,000,000	2019/03/04	2019/09/27	50.00
68619	SG Issuer - ICBC R Bull CBBC Sep 2019 B	100,000,000	2019/03/04	2019/09/20	25.00
68620	SG Issuer - Ping An R Bull CBBC Sep 2019 B	100,000,000	2019/03/04	2019/09/25	25.00
68633	SG Issuer - Ping An R Bull CBBC Oct 2019 M	100,000,000	2019/03/04	2019/10/10	25.00
68636	SG Issuer - Ping An R Bull CBBC Oct 2019 N	100,000,000	2019/03/04	2019/10/25	25.00
68524	SG Issuer - Ping An R Bear CBBC Aug 2019 F	100,000,000	2019/03/04	2019/08/08	25.00
68525	SG Issuer - Sands China R Bear CBBC Nov 2019 B	80,000,000	2019/03/04	2019/11/29	20.00
68527	SG Issuer - SUNAC R Bear CBBC Nov 2019 A	80,000,000	2019/03/04	2019/11/29	20.00
68476	SG Issuer - Sunny Optical R Bull CBBC Jul 2019 G	40,000,000	2019/03/04	2019/07/30	10.00
68532	SG Issuer - Tencent R Bull CBBC Jul 2019 I	80,000,000	2019/03/04	2019/07/05	20.00
68480	SG Issuer - Tencent R Bear CBBC Oct 2019 E	80,000,000	2019/03/04	2019/10/30	20.00
68124	UBS AG - CC Bank R Bull CBBC Oct 2019 A	80,000,000	2019/03/04	2019/10/21	20.00
68126	UBS AG - CC Bank R Bear CBBC Oct 2019 A	80,000,000	2019/03/04	2019/10/21	20.00
68116	UBS AG - China Life R Bull CBBC Oct 2019 A	40,000,000	2019/03/04	2019/10/21	10.00
68117	UBS AG - China Life R Bear CBBC Oct 2019 A	40,000,000	2019/03/04	2019/10/21	11.20
68164	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2019 C	50,000,000	2019/03/04	2019/11/18	12.50
68102	UBS AG - CSOP A50 ETF R Bear CBBC Nov 2019 A	50,000,000	2019/03/04	2019/11/18	12.50
68104	UBS AG - A50 China R Bull CBBC Nov 2019 C	50,000,000	2019/03/04	2019/11/18	12.50
68108	UBS AG - A50 China R Bear CBBC Nov 2019 C	50,000,000	2019/03/04	2019/11/18	12.50
68139	UBS AG - HSCEI R Bull CBBC Nov 2020 F	200,000,000	2019/03/04	2020/11/27	50.00

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68141	UBS AG - HSCEI R Bear CBBC Jun 2019 A	200,000,000	2019/03/04	2019/06/27	50.00
68142	UBS AG - HSCEI R Bear CBBC Jun 2019 B	200,000,000	2019/03/04	2019/06/27	50.00
68144	UBS AG - HSI R Bull CBBC May 2021 P	200,000,000	2019/03/04	2021/05/28	50.00
68151	UBS AG - HSI R Bull CBBC May 2021 Q	200,000,000	2019/03/04	2021/05/28	50.00
68162	UBS AG - HSI R Bull CBBC May 2021 R	200,000,000	2019/03/04	2021/05/28	50.00
68128	UBS AG - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/03/04	2019/06/27	50.00
68133	UBS AG - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/03/04	2019/06/27	50.00
68136	UBS AG - HSI R Bear CBBC Jun 2019 J	200,000,000	2019/03/04	2019/06/27	50.00
68137	UBS AG - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/03/04	2019/06/27	50.00
68110	UBS AG - Ping An R Bull CBBC Oct 2019 B	100,000,000	2019/03/04	2019/10/21	25.00
68112	UBS AG - Ping An R Bear CBBC Oct 2019 A	100,000,000	2019/03/04	2019/10/21	25.00
68113	UBS AG - Ping An R Bear CBBC Nov 2019 A	100,000,000	2019/03/04	2019/11/04	25.00
68379	Bank Vontobel - CSOP A50 ETF R Bull CBBC Oct 2019 B	40,000,000	2019/03/04	2019/10/18	10.00
68386	Bank Vontobel - CSOP A50 ETF R Bull CBBC Oct 2019 C	40,000,000	2019/03/04	2019/10/18	10.00
68390	Bank Vontobel - CSOP A50 ETF R Bear CBBC Nov 2019 A	40,000,000	2019/03/04	2019/11/15	10.00
68431	Bank Vontobel - CSOP A50 ETF R Bear CBBC Nov 2019 B	40,000,000	2019/03/04	2019/11/22	10.00
68348	Bank Vontobel - HKEX R Bull CBBC Nov 2019 B	40,000,000	2019/03/04	2019/11/08	10.00
68369	Bank Vontobel - HKEX R Bear CBBC Dec 2019 B	40,000,000	2019/03/04	2019/12/13	17.24
68338	Bank Vontobel - HSI R Bull CBBC Jul 2019 N	80,000,000	2019/03/04	2019/07/30	20.00
68339	Bank Vontobel - HSI R Bear CBBC Jun 2019 D	80,000,000	2019/03/04	2019/06/27	20.00
68342	Bank Vontobel - Ping An R Bull CBBC Sep 2019 B	40,000,000	2019/03/04	2019/09/20	10.00
68340	Bank Vontobel - Tencent R Bull CBBC Nov 2019 B	40,000,000	2019/03/04	2019/11/15	10.00
69098	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 C	150,000,000	2019/03/05	2019/06/27	38.40
69099	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 D	150,000,000	2019/03/05	2019/06/27	37.50
69090	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 K	250,000,000	2019/03/05	2021/01/28	62.50
69092	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 L	250,000,000	2019/03/05	2021/01/28	62.50
69095	BNP Paribas Issuance B.V. - HSI R Bull CBBC Jan 2021 P	240,000,000	2019/03/05	2021/01/28	60.00
69097	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 G	250,000,000	2019/03/05	2019/07/30	62.50
69458	Credit Suisse AG - CC Bank R Bull CBBC Dec 2019 C	70,000,000	2019/03/05	2019/12/30	17.50
69492	Credit Suisse AG - CKH R Bear CBBC Dec 2019 A	50,000,000	2019/03/05	2019/12/20	12.50
69497	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2020 A	50,000,000	2019/03/05	2020/02/27	12.50
69498	Credit Suisse AG - A50 China R Bull CBBC Feb 2020 A	50,000,000	2019/03/05	2020/02/27	12.50
69496	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2019 C	40,000,000	2019/03/05	2019/11/28	10.00
69451	Credit Suisse AG - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/03/05	2021/02/25	50.00
69429	Credit Suisse AG - HSI R Bull CBBC Apr 2021 D	200,000,000	2019/03/05	2021/04/29	50.00
69445	Credit Suisse AG - HSI R Bull CBBC Apr 2021 E	200,000,000	2019/03/05	2021/04/29	50.00
69454	Credit Suisse AG - HSI R Bull CBBC Apr 2021 F	300,000,000	2019/03/05	2021/04/29	75.00
69504	Credit Suisse AG - HSI R Bear CBBC Aug 2019 R	200,000,000	2019/03/05	2019/08/29	50.00
69500	Credit Suisse AG - HSI R Bear CBBC Sep 2019 K	200,000,000	2019/03/05	2019/09/27	50.00
69490	Credit Suisse AG - ICBC R Bull CBBC Dec 2019 E	70,000,000	2019/03/05	2019/12/13	17.50
69491	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 O	80,000,000	2019/03/05	2019/12/30	20.00
69428	Goldman Sachs SP (Asia) - Bank of China R Bull CBBC Dec 19 B	100,000,000	2019/03/05	2019/12/30	25.00
69407	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 G	100,000,000	2019/03/05	2019/12/30	25.00
69412	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2019 L	100,000,000	2019/03/05	2019/11/25	25.00
69421	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 H	100,000,000	2019/03/05	2019/12/30	25.00

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69424	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Dec 2019 D	80,000,000	2019/03/05	2019/12/30	24.96
68911	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 F	200,000,000	2019/03/05	2020/08/28	50.00
68913	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 G	200,000,000	2019/03/05	2020/08/28	50.00
69413	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/05	2019/06/27	50.00
69417	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/03/05	2019/06/27	50.00
69405	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 P	100,000,000	2019/03/05	2019/12/31	25.00
69016	HK Bank - Conch Cement R Bull CBBC Oct 2019 A	40,000,000	2019/03/05	2019/10/14	10.00
68973	HK Bank - ABC R Bull CBBC Nov 2019 A	75,000,000	2019/03/05	2019/11/04	18.75
68980	HK Bank - ABC R Bear CBBC Oct 2019 A	50,000,000	2019/03/05	2019/10/02	12.50
68984	HK Bank - Bank of China R Bull CBBC Nov 2019 A	75,000,000	2019/03/05	2019/11/04	18.75
68985	HK Bank - Bank of China R Bear CBBC Oct 2019 A	50,000,000	2019/03/05	2019/10/02	12.50
68947	HK Bank - CC Bank R Bull CBBC Oct 2019 A	75,000,000	2019/03/05	2019/10/02	18.75
68956	HK Bank - CC Bank R Bear CBBC Oct 2019 A	50,000,000	2019/03/05	2019/10/21	12.50
69014	HK Bank - China Life R Bull CBBC Oct 2019 B	40,000,000	2019/03/05	2019/10/28	10.00
69015	HK Bank - CM Bank R Bull CBBC Dec 2019 A	75,000,000	2019/03/05	2019/12/02	18.75
69007	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2019 C	50,000,000	2019/03/05	2019/08/05	12.50
69011	HK Bank - A50 China R Bull CBBC Aug 2019 C	50,000,000	2019/03/05	2019/08/05	12.50
68997	HK Bank - HKEX R Bull CBBC Oct 2019 D	50,000,000	2019/03/05	2019/10/08	12.50
69003	HK Bank - HKEX R Bear CBBC Nov 2019 C	40,000,000	2019/03/05	2019/11/11	16.80
68965	HK Bank - HSCEI R Bull CBBC Mar 2020 E	60,000,000	2019/03/05	2020/03/30	15.00
68967	HK Bank - HSCEI R Bear CBBC Dec 2019 B	50,000,000	2019/03/05	2019/12/30	12.50
69018	HK Bank - HSCEI ETF R Bull CBBC Nov 2019 B	50,000,000	2019/03/05	2019/11/04	12.50
68923	HK Bank - HSI R Bull CBBC Jul 2020 B	120,000,000	2019/03/05	2020/07/30	30.00
68963	HK Bank - HSI R Bear CBBC Jul 2019 V	120,000,000	2019/03/05	2019/07/30	30.00
68972	HK Bank - HSI R Bear CBBC Dec 2019 J	120,000,000	2019/03/05	2019/12/30	30.00
68960	HK Bank - ICBC R Bear CBBC Oct 2019 A	50,000,000	2019/03/05	2019/10/02	12.50
68988	HK Bank - Ping An R Bull CBBC Oct 2019 D	100,000,000	2019/03/05	2019/10/14	25.00
68990	HK Bank - Ping An R Bear CBBC Oct 2019 B	80,000,000	2019/03/05	2019/10/08	20.00
68962	HK Bank - Tencent R Bear CBBC Aug 2019 M	50,000,000	2019/03/05	2019/08/01	12.50
69191	Haitong Int'l Sec - Bank of China R Bull CBBC Dec 2019 A	110,000,000	2019/03/05	2019/12/31	27.50
69273	Haitong Int'l Sec - Bank of China R Bear CBBC Oct 2019 A	110,000,000	2019/03/05	2019/10/09	27.50
69189	Haitong Int'l Sec - China Life R Bull CBBC Aug 2019 D	40,000,000	2019/03/05	2019/08/26	10.00
69194	Haitong Int'l Sec - China Life R Bear CBBC Sep 2019 B	40,000,000	2019/03/05	2019/09/19	10.00
69200	Haitong Int'l Sec - CM Bank R Bear CBBC Oct 2019 B	110,000,000	2019/03/05	2019/10/08	27.50
69161	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 A	120,000,000	2019/03/05	2020/12/30	30.00
69144	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 Q	120,000,000	2019/03/05	2019/06/27	30.00
69145	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 R	120,000,000	2019/03/05	2019/06/27	30.00
69146	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 A	120,000,000	2019/03/05	2019/07/30	30.00
69160	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 B	120,000,000	2019/03/05	2019/07/30	40.80
69162	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2019 B	50,000,000	2019/03/05	2019/08/15	12.50
69165	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2019 C	50,000,000	2019/03/05	2019/08/13	12.50
69171	Haitong Int'l Sec - Sunny Optical R Bull CBBC Aug 2019 D	50,000,000	2019/03/05	2019/08/09	12.50
69192	Haitong Int'l Sec - Sunny Optical R Bear CBBC Aug 2019 C	50,000,000	2019/03/05	2019/08/29	12.50
69105	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 F	200,000,000	2019/03/05	2021/03/30	50.00
69109	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 G	200,000,000	2019/03/05	2021/03/30	50.00

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69120	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/05	2019/06/27	50.00
69117	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/05	2019/06/27	50.00
69113	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 J	200,000,000	2019/03/05	2019/07/30	50.00
69115	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/03/05	2019/07/30	50.00
68945	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 E	20,100,000	2019/03/05	2019/07/05	10.05
68946	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 C	20,100,000	2019/03/05	2019/10/30	19.18
69277	SG Issuer - ABC R Bull CBBC Dec 2019 E	100,000,000	2019/03/05	2019/12/20	25.00
69291	SG Issuer - Bank of China R Bull CBBC Dec 2019 C	100,000,000	2019/03/05	2019/12/20	25.00
69304	SG Issuer - Bank of China R Bull CBBC Dec 2019 D	100,000,000	2019/03/05	2019/12/30	25.00
69305	SG Issuer - CC Bank R Bull CBBC Sep 2019 C	100,000,000	2019/03/05	2019/09/26	25.00
69306	SG Issuer - China Life R Bull CBBC Aug 2019 C	40,000,000	2019/03/05	2019/08/16	10.00
69276	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 F	100,000,000	2019/03/05	2020/02/27	25.00
69403	SG Issuer - A50 China R Bull CBBC Feb 2020 F	80,000,000	2019/03/05	2020/02/27	20.00
69404	SG Issuer - Geely Auto R Bull CBBC Oct 2019 B	40,000,000	2019/03/05	2019/10/18	10.00
69316	SG Issuer - HKEX R Bull CBBC Sep 2019 B	50,000,000	2019/03/05	2019/09/17	12.50
69322	SG Issuer - HKEX R Bull CBBC Sep 2019 C	50,000,000	2019/03/05	2019/09/30	12.50
69344	SG Issuer - HSI R Bull CBBC Apr 2021 P	200,000,000	2019/03/05	2021/04/29	50.00
69357	SG Issuer - HSI R Bull CBBC Jul 2021 E	200,000,000	2019/03/05	2021/07/29	50.00
69380	SG Issuer - HSI R Bull CBBC Jul 2021 F	200,000,000	2019/03/05	2021/07/29	50.00
69390	SG Issuer - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/03/05	2019/07/30	50.00
69399	SG Issuer - HSI R Bear CBBC Aug 2019 C	200,000,000	2019/03/05	2019/08/29	50.00
69392	SG Issuer - HSI R Bear CBBC Aug 2019 I	200,000,000	2019/03/05	2019/08/29	50.00
69324	SG Issuer - ICBC R Bull CBBC Sep 2019 C	100,000,000	2019/03/05	2019/09/20	25.00
69358	SG Issuer - ICBC R Bull CBBC Sep 2019 D	100,000,000	2019/03/05	2019/09/16	25.00
69329	SG Issuer - Ping An R Bull CBBC Sep 2019 C	100,000,000	2019/03/05	2019/09/26	25.00
69330	SG Issuer - SUNAC R Bull CBBC Dec 2019 B	80,000,000	2019/03/05	2019/12/30	20.00
69338	SG Issuer - SUNAC R Bull CBBC Feb 2020 C	80,000,000	2019/03/05	2020/02/27	20.00
69379	SG Issuer - Sunny Optical R Bear CBBC Sep 2019 A	40,000,000	2019/03/05	2019/09/27	10.00
69342	SG Issuer - Tencent R Bull CBBC Jul 2019 J	80,000,000	2019/03/05	2019/07/08	20.00
69021	UBS AG - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/03/05	2021/09/29	50.00
69037	UBS AG - HSI R Bull CBBC Sep 2021 B	200,000,000	2019/03/05	2021/09/29	50.00
69039	UBS AG - HSI R Bull CBBC Sep 2021 C	200,000,000	2019/03/05	2021/09/29	50.00
69043	UBS AG - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/03/05	2019/06/27	50.00
69068	UBS AG - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/03/05	2019/06/27	50.00
69085	UBS AG - Tencent R Bull CBBC Jun 2019 W	40,000,000	2019/03/05	2019/06/19	10.00
69087	UBS AG - Tencent R Bear CBBC Jun 2019 V	40,000,000	2019/03/05	2019/06/19	10.00
69521	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Sep 2019 B	100,000,000	2019/03/06	2019/09/27	25.00
69534	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Jun 2019 V	60,000,000	2019/03/06	2019/06/27	15.00
69533	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 J	50,000,000	2019/03/06	2019/11/28	12.50
69532	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 J	50,000,000	2019/03/06	2019/07/30	12.50
69621	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 K	50,000,000	2019/03/06	2019/07/30	12.50
69619	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 A	250,000,000	2019/03/06	2021/02/25	62.50
69614	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 H	240,000,000	2019/03/06	2019/07/30	60.00
69513	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Oct 2019 E	200,000,000	2019/03/06	2019/10/30	50.00
69519	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Oct 2019 F	200,000,000	2019/03/06	2019/10/30	50.00

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69531	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 D	200,000,000	2019/03/06	2019/08/29	50.00
69936	Credit Suisse AG - AIA R Bull CBBC Dec 2019 H	70,000,000	2019/03/06	2019/12/30	17.50
69933	Credit Suisse AG - CC Bank R Bull CBBC Dec 2019 D	70,000,000	2019/03/06	2019/12/06	17.50
69928	Credit Suisse AG - HSI R Bull CBBC Mar 2021 R	200,000,000	2019/03/06	2021/03/30	50.00
69927	Credit Suisse AG - HSI R Bull CBBC Apr 2021 G	200,000,000	2019/03/06	2021/04/29	50.00
69937	Credit Suisse AG - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/03/06	2019/07/30	50.00
69940	Credit Suisse AG - HSI R Bear CBBC Sep 2019 L	300,000,000	2019/03/06	2019/09/27	75.00
69935	Credit Suisse AG - ICBC R Bull CBBC Dec 2019 F	70,000,000	2019/03/06	2019/12/06	17.50
69655	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 P	100,000,000	2019/03/06	2019/11/15	25.00
69646	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 H	200,000,000	2019/03/06	2020/08/28	50.00
69647	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 I	200,000,000	2019/03/06	2020/08/28	50.00
69507	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 Y	200,000,000	2019/03/06	2019/06/27	50.00
69648	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 Q	100,000,000	2019/03/06	2019/12/31	25.00
69652	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 F	80,000,000	2019/03/06	2019/06/28	20.00
69554	HK Bank - AIA R Bear CBBC Oct 2019 D	40,000,000	2019/03/06	2019/10/28	10.00
69577	HK Bank - CM Bank R Bear CBBC Sep 2019 B	40,000,000	2019/03/06	2019/09/23	10.00
69573	HK Bank - Galaxy Ent R Bear CBBC Oct 2019 A	40,000,000	2019/03/06	2019/10/21	10.00
69550	HK Bank - HKEX R Bull CBBC Aug 2019 A	50,000,000	2019/03/06	2019/08/05	12.50
69582	HK Bank - HSI R Bull CBBC Feb 2020 E	120,000,000	2019/03/06	2020/02/27	30.00
69535	HK Bank - HSI R Bear CBBC Nov 2019 M	120,000,000	2019/03/06	2019/11/28	30.00
69542	HK Bank - HSI R Bear CBBC Nov 2019 N	120,000,000	2019/03/06	2019/11/28	30.00
69544	HK Bank - HSI R Bear CBBC Nov 2019 O	120,000,000	2019/03/06	2019/11/28	30.00
69557	HK Bank - Sands China R Bear CBBC Oct 2019 B	40,000,000	2019/03/06	2019/10/28	10.00
69923	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 C	40,000,000	2019/03/06	2019/07/24	10.00
69734	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 C	120,000,000	2019/03/06	2021/01/28	31.20
69708	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 S	120,000,000	2019/03/06	2019/06/27	30.00
69727	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 T	120,000,000	2019/03/06	2019/06/27	30.00
69732	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 U	120,000,000	2019/03/06	2019/06/27	30.00
69924	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 A	40,000,000	2019/03/06	2019/10/04	10.00
69640	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 K	40,000,000	2019/03/06	2019/06/14	10.00
69625	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 H	200,000,000	2019/03/06	2021/03/30	50.00
69644	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/03/06	2019/06/27	50.00
69641	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/03/06	2019/07/30	50.00
69638	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 J	50,000,000	2019/03/06	2019/06/14	12.50
69508	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 F	20,300,000	2019/03/06	2019/07/08	10.03
69511	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 D	10,400,000	2019/03/06	2019/10/30	10.08
69856	SG Issuer - CSOP A50 ETF R Bull CBBC Mar 2020 D	100,000,000	2019/03/06	2020/03/30	25.00
69900	SG Issuer - Geely Auto R Bear CBBC Oct 2019 A	40,000,000	2019/03/06	2019/10/30	10.00
69882	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 F	100,000,000	2019/03/06	2019/09/27	25.00
69857	SG Issuer - HKEX R Bull CBBC Oct 2019 C	50,000,000	2019/03/06	2019/10/11	12.50
69832	SG Issuer - HKEX R Bear CBBC Jun 2019 E	50,000,000	2019/03/06	2019/06/20	12.50
69903	SG Issuer - HSBC R Bear CBBC Jul 2019 C	100,000,000	2019/03/06	2019/07/29	25.00
69842	SG Issuer - HSI R Bull CBBC Apr 2021 Q	200,000,000	2019/03/06	2021/04/29	50.00
69848	SG Issuer - HSI R Bull CBBC May 2021 I	200,000,000	2019/03/06	2021/05/28	50.00
69854	SG Issuer - HSI R Bull CBBC Jul 2021 G	200,000,000	2019/03/06	2021/07/29	50.00

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69820	SG Issuer - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/03/06	2019/07/30	50.00
69881	SG Issuer - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/03/06	2019/07/30	50.00
69821	SG Issuer - HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/03/06	2019/09/27	50.00
69841	SG Issuer - Ping An R Bull CBBC Nov 2019 J	100,000,000	2019/03/06	2019/11/28	25.00
69862	SG Issuer - Sunny Optical R Bear CBBC Oct 2019 B	40,000,000	2019/03/06	2019/10/30	10.00
69873	SG Issuer - Tencent R Bull CBBC Jul 2019 K	80,000,000	2019/03/06	2019/07/19	20.00
69905	SG Issuer - Tencent R Bear CBBC Dec 2019 G	80,000,000	2019/03/06	2019/12/20	20.00
69589	UBS AG - CC Bank R Bull CBBC Nov 2019 A	80,000,000	2019/03/06	2019/11/11	20.00
69585	UBS AG - HSI R Bull CBBC Feb 2021 E	200,000,000	2019/03/06	2021/02/25	50.00
69587	UBS AG - HSI R Bull CBBC Sep 2021 D	200,000,000	2019/03/06	2021/09/29	50.00
69596	UBS AG - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/03/06	2019/06/27	50.00
69583	UBS AG - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/03/06	2019/06/27	50.00
69613	UBS AG - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/03/06	2019/06/27	50.00
69609	UBS AG - Tencent R Bear CBBC Jul 2019 D	40,000,000	2019/03/06	2019/07/16	10.00
69735	Bank Vontobel - China Life R Bull CBBC Nov 2019 B	40,000,000	2019/03/06	2019/11/08	10.04
69780	Bank Vontobel - China Life R Bear CBBC Nov 2019 B	40,000,000	2019/03/06	2019/11/15	15.24
69787	Bank Vontobel - China Life R Bear CBBC Dec 2019 A	40,000,000	2019/03/06	2019/12/20	22.04
69801	Bank Vontobel - HSI N Bull CBBC Jul 2019 O	80,000,000	2019/03/06	2019/07/30	20.00
69797	Bank Vontobel - HSI N Bear CBBC Jun 2019 E	80,000,000	2019/03/06	2019/06/27	20.00
69806	Bank Vontobel - HSI R Bull CBBC Jul 2019 P	80,000,000	2019/03/06	2019/07/30	20.00
69803	Bank Vontobel - HSI R Bear CBBC Jun 2019 F	80,000,000	2019/03/06	2019/06/27	20.00
69804	Bank Vontobel - HSI R Bear CBBC Jun 2019 G	80,000,000	2019/03/06	2019/06/27	20.00
69788	Bank Vontobel - Ping An R Bear CBBC Nov 2019 A	40,000,000	2019/03/06	2019/11/08	10.00
69944	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 F	150,000,000	2019/03/07	2020/09/29	38.70
69945	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 E	150,000,000	2019/03/07	2019/06/27	37.95
57009	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 B	250,000,000	2019/03/07	2021/02/25	62.50
57042	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 I	250,000,000	2019/03/07	2019/07/30	62.50
57054	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 J	240,000,000	2019/03/07	2019/07/30	60.00
57010	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 T	50,000,000	2019/03/07	2019/07/30	12.50
57172	Credit Suisse AG - AIA R Bull CBBC Nov 2019 G	70,000,000	2019/03/07	2019/11/28	17.50
57171	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2019 C	50,000,000	2019/03/07	2019/12/20	12.50
57162	Credit Suisse AG - HSI R Bull CBBC Mar 2021 S	200,000,000	2019/03/07	2021/03/30	50.00
57163	Credit Suisse AG - HSI R Bull CBBC Mar 2021 T	200,000,000	2019/03/07	2021/03/30	50.00
57168	Credit Suisse AG - HSI R Bear CBBC Jul 2019 U	200,000,000	2019/03/07	2019/07/30	50.00
57170	Credit Suisse AG - HSI R Bear CBBC Aug 2019 S	200,000,000	2019/03/07	2019/08/29	50.00
57169	Credit Suisse AG - HSI R Bear CBBC Sep 2019 M	300,000,000	2019/03/07	2019/09/27	75.00
57007	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 J	200,000,000	2019/03/07	2020/08/28	50.00
57100	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 Z	200,000,000	2019/03/07	2019/06/27	50.00
57101	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 R	100,000,000	2019/03/07	2019/12/31	25.00
57008	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 O	100,000,000	2019/03/07	2019/06/28	25.00
57102	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 G	80,000,000	2019/03/07	2019/06/28	20.00
57000	HK Bank - AIA R Bull CBBC Oct 2019 D	60,000,000	2019/03/07	2019/10/21	15.00
69997	HK Bank - HKEEX R Bear CBBC Sep 2019 C	40,000,000	2019/03/07	2019/09/16	10.00
57006	HK Bank - HSI R Bull CBBC Feb 2020 F	120,000,000	2019/03/07	2020/02/27	30.00
57036	HK Bank - HSI R Bear CBBC Jul 2019 W	120,000,000	2019/03/07	2019/07/30	30.00

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69999	HK Bank - Ping An R Bull CBBC Aug 2019 E	100,000,000	2019/03/07	2019/08/19	25.00
57004	HK Bank - SHK Ppt R Bull CBBC Oct 2019 A	40,000,000	2019/03/07	2019/10/21	10.00
69946	HK Bank - Sunny Optical R Bear CBBC Sep 2019 B	40,000,000	2019/03/07	2019/09/23	10.00
57075	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 D	120,000,000	2019/03/07	2021/01/28	30.00
57076	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 E	120,000,000	2019/03/07	2021/01/28	30.00
57077	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 V	120,000,000	2019/03/07	2019/06/27	30.00
57078	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 W	120,000,000	2019/03/07	2019/06/27	30.00
57087	Haitong Int'l Sec - SUNAC R Bear CBBC Dec 2019 B	130,000,000	2019/03/07	2019/12/31	32.50
57097	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 B	40,000,000	2019/03/07	2019/10/09	10.00
57083	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 K	40,000,000	2019/03/07	2019/06/14	10.00
57079	J P Morgan SP BV - HSI R Bull CBBC Apr 2021 A	200,000,000	2019/03/07	2021/04/29	50.00
57084	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/03/07	2019/07/30	50.00
57082	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 K	50,000,000	2019/03/07	2019/06/14	12.50
57133	SG Issuer - AIA R Bull CBBC Oct 2019 H	60,000,000	2019/03/07	2019/10/03	15.00
57125	SG Issuer - AIA R Bear CBBC Jun 2019 H	60,000,000	2019/03/07	2019/06/28	15.00
57126	SG Issuer - AIA R Bear CBBC Jul 2019 M	60,000,000	2019/03/07	2019/07/15	15.00
57134	SG Issuer - China Mobile R Bull CBBC Sep 2019 C	100,000,000	2019/03/07	2019/09/27	25.00
57127	SG Issuer - China Life R Bull CBBC Jul 2019 I	40,000,000	2019/03/07	2019/07/05	10.00
57118	SG Issuer - CSOP A50 ETF R Bull CBBC Jan 2020 B	100,000,000	2019/03/07	2020/01/30	25.00
57124	SG Issuer - A50 R Bear CBBC Jun 2019 A	80,000,000	2019/03/07	2019/06/28	20.00
57158	SG Issuer - Galaxy Ent R Bull CBBC Nov 2019 D	100,000,000	2019/03/07	2019/11/13	25.00
57135	SG Issuer - HKEX R Bull CBBC Oct 2019 D	50,000,000	2019/03/07	2019/10/03	12.50
57145	SG Issuer - HSCEI R Bull CBBC Sep 2020 V	100,000,000	2019/03/07	2020/09/29	25.00
57122	SG Issuer - HSCEI ETF R Bull CBBC Aug 2019 B	100,000,000	2019/03/07	2019/08/30	25.00
57146	SG Issuer - HSI R Bull CBBC Apr 2021 S	200,000,000	2019/03/07	2021/04/29	50.00
57148	SG Issuer - HSI R Bull CBBC May 2021 N	200,000,000	2019/03/07	2021/05/28	50.00
57153	SG Issuer - HSI R Bull CBBC May 2021 Y	200,000,000	2019/03/07	2021/05/28	50.00
57157	SG Issuer - HSI R Bull CBBC Jul 2021 H	200,000,000	2019/03/07	2021/07/29	50.00
57155	SG Issuer - HSI R Bear CBBC Jul 2019 E	200,000,000	2019/03/07	2019/07/30	50.00
57154	SG Issuer - HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/03/07	2019/07/30	50.00
57156	SG Issuer - HSI R Bear CBBC Aug 2019 K	200,000,000	2019/03/07	2019/08/29	50.00
57132	SG Issuer - HSI R Bear CBBC Oct 2019 H	400,000,000	2019/03/07	2019/10/30	100.00
57137	SG Issuer - Ping An R Bull CBBC Oct 2019 O	100,000,000	2019/03/07	2019/10/03	25.00
57131	SG Issuer - Tencent R Bull CBBC Jun 2019 X	80,000,000	2019/03/07	2019/06/10	20.00
57139	SG Issuer - Tencent R Bull CBBC Jul 2019 L	80,000,000	2019/03/07	2019/07/09	20.00
57161	SG Issuer - Tencent R Bear CBBC Nov 2019 L	80,000,000	2019/03/07	2019/11/13	20.00
57013	UBS AG - HSI R Bull CBBC Sep 2020 J	200,000,000	2019/03/07	2020/09/29	50.00
57021	UBS AG - HSI R Bull CBBC Oct 2020 H	200,000,000	2019/03/07	2020/10/29	50.00
57026	UBS AG - HSI R Bull CBBC Oct 2020 Y	200,000,000	2019/03/07	2020/10/29	50.00
57012	UBS AG - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/03/07	2019/06/27	50.00
57035	UBS AG - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/03/07	2019/06/27	50.00
57029	UBS AG - Tencent R Bull CBBC Jun 2019 X	40,000,000	2019/03/07	2019/06/20	10.00
57030	UBS AG - Tencent R Bear CBBC Jun 2019 W	40,000,000	2019/03/07	2019/06/20	10.00
57089	Bank Vontobel - Ping An R Bull CBBC Nov 2019 B	40,000,000	2019/03/07	2019/11/08	10.00
57092	Bank Vontobel - Ping An R Bull CBBC Nov 2019 C	40,000,000	2019/03/07	2019/11/08	10.00

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57260	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 C	250,000,000	2019/03/08	2021/02/25	62.50
57258	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 K	240,000,000	2019/03/08	2019/07/30	60.00
57179	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 P	50,000,000	2019/03/08	2019/10/30	12.50
57183	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 T	50,000,000	2019/03/08	2019/11/28	12.50
57371	Credit Suisse AG - AIA R Bear CBBC Dec 2019 F	70,000,000	2019/03/08	2019/12/30	17.50
57375	Credit Suisse AG - China Life R Bull CBBC Dec 2019 D	150,000,000	2019/03/08	2019/12/20	37.50
57379	Credit Suisse AG - China Life R Bull CBBC Dec 2019 E	150,000,000	2019/03/08	2019/12/30	37.50
57382	Credit Suisse AG - China Life R Bear CBBC Dec 2019 B	150,000,000	2019/03/08	2019/12/18	37.50
57383	Credit Suisse AG - China Life R Bear CBBC Dec 2019 C	150,000,000	2019/03/08	2019/12/20	37.50
57384	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 C	150,000,000	2019/03/08	2020/01/30	37.50
57385	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 D	50,000,000	2019/03/08	2020/01/24	12.50
57388	Credit Suisse AG - A50 China R Bull CBBC Jan 2020 C	150,000,000	2019/03/08	2020/01/30	37.50
57355	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2020 A	150,000,000	2019/03/08	2020/01/30	37.50
57358	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2020 B	150,000,000	2019/03/08	2020/01/30	37.50
57339	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2019 A	150,000,000	2019/03/08	2019/12/20	37.50
57346	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2019 B	150,000,000	2019/03/08	2019/12/13	37.50
57369	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 I	250,000,000	2019/03/08	2019/12/18	62.50
57370	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 J	250,000,000	2019/03/08	2019/12/20	62.50
57351	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 F	250,000,000	2019/03/08	2019/12/18	62.50
57353	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 G	250,000,000	2019/03/08	2019/12/20	62.50
57389	Credit Suisse AG - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/03/08	2021/02/25	50.00
57390	Credit Suisse AG - HSI R Bull CBBC Mar 2021 U	200,000,000	2019/03/08	2021/03/30	50.00
57330	Credit Suisse AG - HSI R Bear CBBC Aug 2019 T	200,000,000	2019/03/08	2019/08/29	50.00
57337	Credit Suisse AG - HSI R Bear CBBC Aug 2019 U	200,000,000	2019/03/08	2019/08/29	50.00
57362	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 R	250,000,000	2019/03/08	2019/12/19	62.50
57363	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 S	250,000,000	2019/03/08	2019/12/20	62.50
57394	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 T	250,000,000	2019/03/08	2019/12/18	62.50
57347	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 H	250,000,000	2019/03/08	2019/12/19	62.50
57348	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 I	250,000,000	2019/03/08	2019/12/20	62.50
57393	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 J	250,000,000	2019/03/08	2019/12/18	62.50
57207	Goldman Sachs SP (Asia) - AIA R Bear CBBC Jul 2019 A	80,000,000	2019/03/08	2019/07/30	20.00
57194	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2019M	100,000,000	2019/03/08	2019/11/25	25.00
57209	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Oct 2019 P	200,000,000	2019/03/08	2019/10/30	50.00
57188	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 K	200,000,000	2019/03/08	2020/08/28	50.00
57177	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/03/08	2019/06/27	50.00
57187	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 P	100,000,000	2019/03/08	2019/06/28	25.00
57329	HK Bank - China Life R Bear CBBC Oct 2019 B	80,000,000	2019/03/08	2019/10/21	20.00
57324	HK Bank - Evergrande R Bull CBBC Nov 2019 A	40,000,000	2019/03/08	2019/11/11	10.00
57323	HK Bank - Galaxy Ent R Bear CBBC Aug 2019 C	40,000,000	2019/03/08	2019/08/26	10.00
57328	HK Bank - HKEX R Bear CBBC Oct 2019 F	80,000,000	2019/03/08	2019/10/02	20.00
57318	HK Bank - HSI R Bull CBBC Jul 2020 C	80,000,000	2019/03/08	2020/07/30	20.00
57325	HK Bank - Tencent R Bear CBBC Aug 2019 N	50,000,000	2019/03/08	2019/08/26	12.50
57240	Haitong Int'l Sec - China Life R Bull CBBC Aug 2019 E	40,000,000	2019/03/08	2019/08/29	10.00
57243	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 F	120,000,000	2019/03/08	2021/01/28	30.00
57248	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 G	120,000,000	2019/03/08	2021/01/28	30.00

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57250	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 X	120,000,000	2019/03/08	2019/06/27	30.00
57251	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 Y	120,000,000	2019/03/08	2019/06/27	30.00
57252	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 Z	120,000,000	2019/03/08	2019/06/27	30.00
57230	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 I	200,000,000	2019/03/08	2021/03/30	50.00
57229	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/03/08	2019/07/30	50.00
57237	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 K	50,000,000	2019/03/08	2019/06/14	12.50
57313	Macquarie Bank Ltd. - HKEX R Bull CBBC Dec 2019 A	40,000,000	2019/03/08	2019/12/31	10.08
57317	Macquarie Bank Ltd. - HKEX R Bear CBBC Dec 2019 A	40,000,000	2019/03/08	2019/12/31	10.04
57306	Macquarie Bank Ltd. - HSBC R Bull CBBC Mar 2020 A	40,000,000	2019/03/08	2020/03/31	10.20
57307	Macquarie Bank Ltd. - HSBC R Bear CBBC Mar 2020 A	39,000,000	2019/03/08	2020/03/31	10.02
57308	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 G	10,400,000	2019/03/08	2019/07/09	10.06
57310	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 F	5,000,000	2019/03/08	2019/11/13	10.02
57284	SG Issuer - CC Bank R Bull CBBC Sep 2019 D	100,000,000	2019/03/08	2019/09/06	25.00
57285	SG Issuer - China Life R Bull CBBC Sep 2019 B	40,000,000	2019/03/08	2019/09/06	10.00
57289	SG Issuer - China Life R Bear CBBC Feb 2020 A	100,000,000	2019/03/08	2020/02/27	25.00
57290	SG Issuer - CM Bank R Bull CBBC Dec 2019 F	100,000,000	2019/03/08	2019/12/30	25.00
57302	SG Issuer - CSOP A50 ETF R Bull CBBC Jan 2020 C	100,000,000	2019/03/08	2020/01/30	25.00
57305	SG Issuer - A50 China R Bull CBBC Feb 2020 G	80,000,000	2019/03/08	2020/02/27	20.00
57271	SG Issuer - Galaxy Ent R Bull CBBC Nov 2019 E	100,000,000	2019/03/08	2019/11/28	25.00
57291	SG Issuer - HKEX R Bull CBBC Jun 2019 F	50,000,000	2019/03/08	2019/06/17	12.50
57277	SG Issuer - HSI R Bull CBBC Mar 2021 M	200,000,000	2019/03/08	2021/03/30	50.00
57281	SG Issuer - HSI R Bull CBBC Mar 2021 T	200,000,000	2019/03/08	2021/03/30	50.00
57261	SG Issuer - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/03/08	2019/07/30	50.00
57273	SG Issuer - HSI R Bear CBBC Aug 2019 L	200,000,000	2019/03/08	2019/08/29	50.00
57262	SG Issuer - HSI R Bear CBBC Sep 2019 R	200,000,000	2019/03/08	2019/09/27	50.00
57299	SG Issuer - Ping An R Bull CBBC Nov 2019 K	100,000,000	2019/03/08	2019/11/08	25.00
57295	SG Issuer - Ping An R Bear CBBC Jun 2019 C	100,000,000	2019/03/08	2019/06/17	25.00
57296	SG Issuer - Ping An R Bear CBBC Aug 2019 G	100,000,000	2019/03/08	2019/08/13	25.00
57272	SG Issuer - Tencent R Bull CBBC Jul 2019 M	80,000,000	2019/03/08	2019/07/08	20.00
57223	UBS AG - HSI R Bull CBBC Sep 2020 K	200,000,000	2019/03/08	2020/09/29	50.00
57211	UBS AG - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/03/08	2019/06/27	50.00
57226	UBS AG - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/03/08	2019/06/27	50.00
57220	UBS AG - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/08	2019/07/30	50.00
57254	Bank Vontobel - AIA R Bull CBBC Nov 2019 B	40,000,000	2019/03/08	2019/11/01	10.00
57255	Bank Vontobel - Sinopec Corp R Bear CBBC Nov 2019 C	40,000,000	2019/03/08	2019/11/29	10.00
57257	Bank Vontobel - ICBC R Bull CBBC Nov 2019 A	40,000,000	2019/03/08	2019/11/29	10.00
57407	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 G	150,000,000	2019/03/11	2020/09/29	39.75
57412	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 F	150,000,000	2019/03/11	2019/06/27	38.10
57413	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 G	150,000,000	2019/03/11	2019/06/27	38.55
57468	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Jun 2019 W	60,000,000	2019/03/11	2019/06/27	15.00
57480	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 K	50,000,000	2019/03/11	2019/11/28	12.50
57419	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 L	50,000,000	2019/03/11	2019/07/30	12.50
57425	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2019 M	50,000,000	2019/03/11	2019/07/30	14.50
57437	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 D	250,000,000	2019/03/11	2021/02/25	62.50
57438	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 E	250,000,000	2019/03/11	2021/02/25	62.50

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57441	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 F	250,000,000	2019/03/11	2021/02/25	62.50
57443	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 G	250,000,000	2019/03/11	2021/02/25	62.50
57490	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 H	240,000,000	2019/03/11	2021/02/25	60.00
57426	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 L	250,000,000	2019/03/11	2019/07/30	62.50
57427	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 M	250,000,000	2019/03/11	2019/07/30	62.50
57433	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 N	250,000,000	2019/03/11	2019/07/30	62.50
57436	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 O	250,000,000	2019/03/11	2019/07/30	62.50
57478	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Oct 2019 G	200,000,000	2019/03/11	2019/10/30	50.00
57420	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 I	200,000,000	2019/03/11	2019/08/29	50.00
57422	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 K	200,000,000	2019/03/11	2019/08/29	50.00
57435	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Aug 2019 L	200,000,000	2019/03/11	2019/08/29	50.00
57472	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 A	50,000,000	2019/03/11	2019/07/30	12.50
57760	Credit Suisse AG - AIA R Bear CBBC Dec 2019 G	70,000,000	2019/03/11	2019/12/20	17.50
57775	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 I	70,000,000	2019/03/11	2019/12/20	17.50
57776	Credit Suisse AG - CM Bank R Bear CBBC Dec 2019 D	60,000,000	2019/03/11	2019/12/30	15.00
57778	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2019 F	50,000,000	2019/03/11	2019/11/28	12.50
57779	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 E	150,000,000	2019/03/11	2020/01/30	37.50
57783	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 F	150,000,000	2019/03/11	2020/01/30	37.50
57767	Credit Suisse AG - CSPC Pharma R Bull CBBC Jan 2020 A	150,000,000	2019/03/11	2020/01/30	37.50
57786	Credit Suisse AG - A50 China R Bull CBBC Nov 2019 D	50,000,000	2019/03/11	2019/11/28	12.50
57787	Credit Suisse AG - A50 China R Bull CBBC Jan 2020 D	150,000,000	2019/03/11	2020/01/30	37.50
57731	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 K	50,000,000	2019/03/11	2019/12/20	12.50
57747	Credit Suisse AG - HKEX R Bear CBBC Nov 2019 D	250,000,000	2019/03/11	2019/11/28	62.50
57748	Credit Suisse AG - HKEX R Bear CBBC Nov 2019 E	250,000,000	2019/03/11	2019/11/28	62.50
57723	Credit Suisse AG - HSI R Bull CBBC Mar 2021 V	200,000,000	2019/03/11	2021/03/30	50.00
57784	Credit Suisse AG - HSI R Bull CBBC Mar 2021 W	200,000,000	2019/03/11	2021/03/30	50.00
57724	Credit Suisse AG - HSI R Bull CBBC Apr 2021 H	200,000,000	2019/03/11	2021/04/29	50.00
57680	Credit Suisse AG - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/03/11	2019/07/30	50.00
57709	Credit Suisse AG - HSI R Bear CBBC Jul 2019 J	200,000,000	2019/03/11	2019/07/30	50.00
57681	Credit Suisse AG - HSI R Bear CBBC Sep 2019 N	200,000,000	2019/03/11	2019/09/27	50.00
57687	Credit Suisse AG - HSI R Bear CBBC Sep 2019 O	200,000,000	2019/03/11	2019/09/27	50.00
57692	Credit Suisse AG - HSI R Bear CBBC Sep 2019 P	200,000,000	2019/03/11	2019/09/27	50.00
57693	Credit Suisse AG - HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/03/11	2019/09/27	50.00
57702	Credit Suisse AG - HSI R Bear CBBC Sep 2019 R	200,000,000	2019/03/11	2019/09/27	50.00
57717	Credit Suisse AG - HSI R Bear CBBC Oct 2019 B	300,000,000	2019/03/11	2019/10/30	75.00
57718	Credit Suisse AG - HSI R Bear CBBC Oct 2019 C	200,000,000	2019/03/11	2019/10/30	60.00
57720	Credit Suisse AG - HSI R Bear CBBC Oct 2019 D	300,000,000	2019/03/11	2019/10/30	75.00
57761	Credit Suisse AG - Ping An R Bull CBBC Jan 2020 A	80,000,000	2019/03/11	2020/01/24	20.00
57762	Credit Suisse AG - Ping An R Bull CBBC Jan 2020 B	80,000,000	2019/03/11	2020/01/30	20.00
57763	Credit Suisse AG - Ping An R Bear CBBC Dec 2019 D	60,000,000	2019/03/11	2019/12/13	15.00
57765	Credit Suisse AG - Ping An R Bear CBBC Dec 2019 E	60,000,000	2019/03/11	2019/12/20	15.00
57785	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 U	50,000,000	2019/03/11	2019/12/20	12.50
57758	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 K	250,000,000	2019/03/11	2019/12/20	62.50
57574	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2019 C	100,000,000	2019/03/11	2019/12/30	25.00
57575	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 F	100,000,000	2019/03/11	2019/12/30	25.00

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57414	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 L	200,000,000	2019/03/11	2020/08/28	50.00
57415	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 M	200,000,000	2019/03/11	2020/08/28	50.00
57572	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 N	200,000,000	2019/03/11	2020/08/28	50.00
57396	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/03/11	2019/06/27	50.00
57417	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/03/11	2019/06/27	50.00
57576	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/03/11	2019/06/27	50.00
57578	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/03/11	2019/06/27	50.00
57579	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/03/11	2019/06/27	50.00
57571	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 S	100,000,000	2019/03/11	2019/12/30	25.00
57569	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 Q	100,000,000	2019/03/11	2019/06/28	25.00
57567	HK Bank - CKH R Bull CBBC Oct 2019 B	40,000,000	2019/03/11	2019/10/21	10.00
57561	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2019 C	50,000,000	2019/03/11	2019/09/16	12.50
57568	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2019 D	50,000,000	2019/03/11	2019/10/21	12.50
57564	HK Bank - A50 China R Bull CBBC Sep 2019 C	50,000,000	2019/03/11	2019/09/16	12.50
57562	HK Bank - A50 China R Bull CBBC Oct 2019 D	50,000,000	2019/03/11	2019/10/21	12.50
57566	HK Bank - HKEX R Bull CBBC Oct 2019 E	50,000,000	2019/03/11	2019/10/21	12.50
57560	HK Bank - HSCEI R Bull CBBC Jul 2019 L	100,000,000	2019/03/11	2019/07/30	25.00
57555	HK Bank - HSI R Bull CBBC Jul 2020 D	80,000,000	2019/03/11	2020/07/30	20.00
57397	HK Bank - HSI R Bear CBBC Jul 2019 X	120,000,000	2019/03/11	2019/07/30	30.00
57556	HK Bank - HSI R Bear CBBC Jul 2019 Y	120,000,000	2019/03/11	2019/07/30	30.00
57559	HK Bank - HSI R Bear CBBC Oct 2019 R	120,000,000	2019/03/11	2019/10/30	30.00
57548	HK Bank - Ping An R Bull CBBC Oct 2019 E	100,000,000	2019/03/11	2019/10/28	25.00
57554	HK Bank - Ping An R Bear CBBC Nov 2019 A	80,000,000	2019/03/11	2019/11/04	20.00
57544	HK Bank - Tencent R Bull CBBC Aug 2019 G	60,000,000	2019/03/11	2019/08/26	15.00
57398	Haitong Int'l Sec - Geely Auto R Bull CBBC Aug 2019 F	40,000,000	2019/03/11	2019/08/29	10.00
57491	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 V	120,000,000	2019/03/11	2020/12/30	30.00
57495	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 W	120,000,000	2019/03/11	2020/12/30	30.00
57499	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 X	120,000,000	2019/03/11	2020/12/30	30.00
57492	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 C	120,000,000	2019/03/11	2019/06/27	30.00
57493	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 D	120,000,000	2019/03/11	2019/06/27	30.00
57494	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 F	120,000,000	2019/03/11	2019/06/27	30.00
57401	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 K	50,000,000	2019/03/11	2019/07/30	12.50
57402	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 L	50,000,000	2019/03/11	2019/07/31	12.50
57403	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2019 M	50,000,000	2019/03/11	2019/07/30	12.50
57679	Haitong Int'l Sec - Sands China R Bear CBBC Oct 2019 B	110,000,000	2019/03/11	2019/10/10	27.50
57673	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 M	40,000,000	2019/03/11	2019/08/29	10.00
57678	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 N	40,000,000	2019/03/11	2019/08/28	10.00
57537	J P Morgan SP BV - HKEX R Bull CBBC Jun 2019 L	40,000,000	2019/03/11	2019/06/21	10.00
57532	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 D	40,000,000	2019/03/11	2019/07/12	10.00
57524	J P Morgan SP BV - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/03/11	2021/01/28	50.00
57525	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 G	200,000,000	2019/03/11	2021/02/25	50.00
57526	J P Morgan SP BV - HSI R Bull CBBC Feb 2021 S	200,000,000	2019/03/11	2021/02/25	50.00
57503	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/03/11	2019/06/27	50.00
57508	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/03/11	2019/06/27	50.00
57523	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 O	200,000,000	2019/03/11	2019/06/27	50.00

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57536	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 L	50,000,000	2019/03/11	2019/06/21	12.50
57538	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 M	50,000,000	2019/03/11	2019/06/21	12.50
57527	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 A	50,000,000	2019/03/11	2019/07/12	12.50
57613	SG Issuer - Conch Cement R Bull CBBC Dec 2019 A	80,000,000	2019/03/11	2019/12/12	20.00
57612	SG Issuer - ABC R Bear CBBC Oct 2019 A	60,000,000	2019/03/11	2019/10/30	15.00
57622	SG Issuer - Bank of China R Bear CBBC Dec 2019 A	100,000,000	2019/03/11	2019/12/30	25.00
57627	SG Issuer - CC Bank R Bull CBBC Sep 2019 E	100,000,000	2019/03/11	2019/09/30	25.00
57600	SG Issuer - China Life R Bull CBBC Sep 2019 C	40,000,000	2019/03/11	2019/09/25	10.00
57658	SG Issuer - CSOP A50 ETF R Bull CBBC Jan 2020 D	100,000,000	2019/03/11	2020/01/30	25.00
57662	SG Issuer - A50 China R Bull CBBC Feb 2020 H	80,000,000	2019/03/11	2020/02/27	20.00
57604	SG Issuer - HKEX R Bull CBBC Sep 2019 D	50,000,000	2019/03/11	2019/09/25	12.50
57628	SG Issuer - HKEX R Bear CBBC Jul 2019 Q	50,000,000	2019/03/11	2019/07/05	12.50
57595	SG Issuer - HKEX R Bear CBBC Oct 2019 A	50,000,000	2019/03/11	2019/10/30	12.50
57630	SG Issuer - HKEX R Bear CBBC Oct 2019 B	50,000,000	2019/03/11	2019/10/28	27.00
57611	SG Issuer - HSI R Bull CBBC Mar 2021 W	200,000,000	2019/03/11	2021/03/30	50.00
57598	SG Issuer - HSI R Bull CBBC May 2021 H	200,000,000	2019/03/11	2021/05/28	50.00
57599	SG Issuer - HSI R Bull CBBC Jul 2021 I	200,000,000	2019/03/11	2021/07/29	50.00
57582	SG Issuer - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/03/11	2019/07/30	50.00
57610	SG Issuer - HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/03/11	2019/07/30	50.00
57585	SG Issuer - HSI R Bear CBBC Aug 2019 N	200,000,000	2019/03/11	2019/08/29	50.00
57592	SG Issuer - HSI R Bear CBBC Aug 2019 R	200,000,000	2019/03/11	2019/08/29	50.00
57593	SG Issuer - HSI R Bear CBBC Aug 2019 X	200,000,000	2019/03/11	2019/08/29	50.00
57631	SG Issuer - ICBC R Bull CBBC Sep 2019 E	100,000,000	2019/03/11	2019/09/30	25.00
57606	SG Issuer - Ping An R Bull CBBC Nov 2019 L	100,000,000	2019/03/11	2019/11/13	25.00
57607	SG Issuer - Ping An R Bull CBBC Dec 2019 D	100,000,000	2019/03/11	2019/12/30	25.00
57634	SG Issuer - Ping An R Bear CBBC Sep 2019 B	100,000,000	2019/03/11	2019/09/27	25.00
57596	SG Issuer - Ping An R Bear CBBC Oct 2019 B	100,000,000	2019/03/11	2019/10/30	25.00
57653	SG Issuer - Tencent R Bull CBBC Jun 2019 Y	80,000,000	2019/03/11	2019/06/19	20.00
57655	SG Issuer - Tencent R Bull CBBC Jul 2019 N	80,000,000	2019/03/11	2019/07/19	20.00
57597	SG Issuer - Tencent R Bear CBBC Dec 2019 H	80,000,000	2019/03/11	2019/12/13	20.00
57609	SG Issuer - Tencent R Bear CBBC Dec 2019 I	80,000,000	2019/03/11	2019/12/16	20.00
57460	UBS AG - HSI R Bull CBBC Oct 2020 T	200,000,000	2019/03/11	2020/10/29	50.00
57457	UBS AG - HSI R Bull CBBC Oct 2020 Z	200,000,000	2019/03/11	2020/10/29	50.00
57445	UBS AG - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/11	2019/06/27	50.00
57446	UBS AG - HSI R Bear CBBC Jun 2019 Y	200,000,000	2019/03/11	2019/06/27	50.00
57447	UBS AG - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/03/11	2019/07/30	50.00
57461	UBS AG - HSI R Bear CBBC Jul 2019 E	200,000,000	2019/03/11	2019/07/30	50.00
57466	UBS AG - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/03/11	2019/07/30	50.00
57883	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 H	150,000,000	2019/03/12	2020/09/29	37.80
57884	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 H	150,000,000	2019/03/12	2019/06/27	38.25
57855	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 I	250,000,000	2019/03/12	2021/02/25	62.50
57887	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 J	250,000,000	2019/03/12	2021/02/25	62.50
57888	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 K	240,000,000	2019/03/12	2021/02/25	60.00
57889	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 P	240,000,000	2019/03/12	2019/07/30	60.00
57890	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 O	50,000,000	2019/03/12	2019/06/27	12.50

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57865	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 Q	50,000,000	2019/03/12	2019/10/30	18.00
57869	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 R	50,000,000	2019/03/12	2019/10/30	18.00
57856	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 U	50,000,000	2019/03/12	2019/11/28	12.50
57867	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 V	50,000,000	2019/03/12	2019/11/28	20.50
58150	Credit Suisse AG - CM Bank R Bull CBBC Jan 2020 A	70,000,000	2019/03/12	2020/01/30	17.50
58175	Credit Suisse AG - HSI R Bull CBBC Mar 2021 X	200,000,000	2019/03/12	2021/03/30	50.00
58186	Credit Suisse AG - HSI R Bull CBBC Apr 2021 I	200,000,000	2019/03/12	2021/04/29	50.00
58160	Credit Suisse AG - HSI R Bear CBBC Aug 2019 V	200,000,000	2019/03/12	2019/08/29	50.00
58168	Credit Suisse AG - HSI R Bear CBBC Aug 2019 W	200,000,000	2019/03/12	2019/08/29	50.00
58170	Credit Suisse AG - HSI R Bear CBBC Sep 2019 S	200,000,000	2019/03/12	2019/09/27	50.00
58138	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 P	80,000,000	2019/03/12	2019/12/20	20.00
58158	Credit Suisse AG - Ping An R Bear CBBC Dec 2019 F	60,000,000	2019/03/12	2019/12/13	15.00
58155	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 V	250,000,000	2019/03/12	2019/12/30	62.50
58173	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 W	50,000,000	2019/03/12	2019/12/20	12.50
58174	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 X	50,000,000	2019/03/12	2019/12/30	12.50
58133	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 L	250,000,000	2019/03/12	2019/12/30	62.50
58134	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 M	250,000,000	2019/03/12	2019/12/30	62.50
58195	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 N	250,000,000	2019/03/12	2019/12/20	62.50
57788	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 O	200,000,000	2019/03/12	2020/08/28	50.00
57817	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/03/12	2019/06/27	50.00
57820	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/03/12	2019/06/27	50.00
58196	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/03/12	2019/06/27	50.00
58197	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 J	200,000,000	2019/03/12	2019/06/27	50.00
57796	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 T	100,000,000	2019/03/12	2019/12/30	25.00
58199	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 R	100,000,000	2019/03/12	2019/06/27	25.00
58200	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 S	100,000,000	2019/03/12	2019/06/27	25.00
57821	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 H	80,000,000	2019/03/12	2019/06/27	20.00
58201	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 I	80,000,000	2019/03/12	2019/06/27	29.60
57841	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2019 D	50,000,000	2019/03/12	2019/08/12	12.50
57845	HK Bank - CSOP A50 ETF R Bear CBBC Sep 2019 B	40,000,000	2019/03/12	2019/09/16	11.20
57842	HK Bank - A50 China R Bull CBBC Aug 2019 D	50,000,000	2019/03/12	2019/08/12	12.50
57854	HK Bank - A50 China R Bear CBBC Sep 2019 B	40,000,000	2019/03/12	2019/09/16	11.20
57829	HK Bank - HSCEI R Bear CBBC Jul 2019 C	60,000,000	2019/03/12	2019/07/30	15.00
57797	HK Bank - HSI R Bull CBBC Jul 2020 E	120,000,000	2019/03/12	2020/07/30	30.00
57827	HK Bank - HSI R Bear CBBC Jul 2019 Z	80,000,000	2019/03/12	2019/07/30	20.00
57824	HK Bank - HSI R Bear CBBC Oct 2019 S	120,000,000	2019/03/12	2019/10/30	30.00
57828	HK Bank - HSI R Bear CBBC Dec 2019 K	120,000,000	2019/03/12	2019/12/30	30.00
57840	HK Bank - Sunny Optical R Bull CBBC Aug 2019 C	40,000,000	2019/03/12	2019/08/19	10.00
57830	HK Bank - Tencent R Bull CBBC Aug 2019 H	60,000,000	2019/03/12	2019/08/05	15.00
57833	HK Bank - Tencent R Bull CBBC Nov 2019 A	150,000,000	2019/03/12	2019/11/04	37.50
57804	HK Bank - Tencent R Bear CBBC Sep 2019 G	50,000,000	2019/03/12	2019/09/23	12.50
57836	HK Bank - Tencent R Bear CBBC Nov 2019 B	150,000,000	2019/03/12	2019/11/11	37.50
57810	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 Z	120,000,000	2019/03/12	2020/12/30	30.00
57971	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 H	120,000,000	2019/03/12	2021/01/28	30.00
57967	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 A	120,000,000	2019/03/12	2019/06/27	30.00

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57969	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 E	120,000,000	2019/03/12	2019/06/27	30.00
57964	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 H	120,000,000	2019/03/12	2019/06/27	30.00
57813	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 O	40,000,000	2019/03/12	2019/08/29	10.00
57814	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2019 P	40,000,000	2019/03/12	2019/08/30	10.00
57877	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2019 E	40,000,000	2019/03/12	2019/09/30	14.00
57870	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 C	40,000,000	2019/03/12	2019/10/23	10.00
57876	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 D	40,000,000	2019/03/12	2019/10/14	10.08
57873	Haitong Int'l Sec - Tencent R Bear CBBC Nov 2019 A	40,000,000	2019/03/12	2019/11/28	10.04
57882	Haitong Int'l Sec - Tencent R Bear CBBC Nov 2019 B	40,000,000	2019/03/12	2019/11/07	12.28
58125	J P Morgan SP BV - HKEX R Bear CBBC Jun 2019 L	40,000,000	2019/03/12	2019/06/21	10.00
58096	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 J	200,000,000	2019/03/12	2021/03/30	50.00
58099	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 K	200,000,000	2019/03/12	2021/03/30	50.00
58126	J P Morgan SP BV - HSI R Bull CBBC Mar 2021 L	200,000,000	2019/03/12	2021/03/30	50.00
58104	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/03/12	2019/06/27	50.00
58105	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/03/12	2019/06/27	50.00
58132	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 O	200,000,000	2019/03/12	2019/07/30	50.00
58106	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 N	50,000,000	2019/03/12	2019/06/21	12.50
58116	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 O	50,000,000	2019/03/12	2019/06/21	12.50
58117	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 P	50,000,000	2019/03/12	2019/06/21	12.50
58092	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 L	50,000,000	2019/03/12	2019/06/21	12.50
58097	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 M	50,000,000	2019/03/12	2019/06/21	12.50
58098	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 N	50,000,000	2019/03/12	2019/06/21	12.50
58123	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 O	50,000,000	2019/03/12	2019/06/21	12.50
58122	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 B	50,000,000	2019/03/12	2019/07/12	26.00
58124	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 C	50,000,000	2019/03/12	2019/07/12	12.50
57822	Macquarie Bank Ltd. - Ping An R Bull CBBC Nov 2019 B	40,000,000	2019/03/12	2019/11/29	10.00
57823	Macquarie Bank Ltd. - Ping An R Bull CBBC Dec 2019 B	39,500,000	2019/03/12	2019/12/31	10.07
57916	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2019 A	30,000,000	2019/03/12	2019/12/31	10.11
57918	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2019 D	33,000,000	2019/03/12	2019/12/31	10.13
57986	SG Issuer - AIA R Bull CBBC Nov 2019 D	60,000,000	2019/03/12	2019/11/19	15.00
57987	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 G	100,000,000	2019/03/12	2019/09/27	25.00
57988	SG Issuer - HKEX R Bull CBBC Sep 2019 E	50,000,000	2019/03/12	2019/09/27	12.50
58030	SG Issuer - HSCEI R Bull CBBC Oct 2020 I	100,000,000	2019/03/12	2020/10/29	25.00
58029	SG Issuer - HSCEI R Bear CBBC Oct 2019 C	100,000,000	2019/03/12	2019/10/30	25.00
58023	SG Issuer - HSI R Bull CBBC Feb 2021 O	200,000,000	2019/03/12	2021/02/25	50.00
58025	SG Issuer - HSI R Bull CBBC Feb 2021 P	200,000,000	2019/03/12	2021/02/25	50.00
58011	SG Issuer - HSI R Bull CBBC May 2021 E	200,000,000	2019/03/12	2021/05/28	50.00
58010	SG Issuer - HSI R Bear CBBC Jul 2019 R	200,000,000	2019/03/12	2019/07/30	50.00
58012	SG Issuer - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/03/12	2019/07/30	50.00
58013	SG Issuer - HSI R Bear CBBC Sep 2019 S	200,000,000	2019/03/12	2019/09/27	50.00
57976	SG Issuer - HSI R Bear CBBC Oct 2019 I	400,000,000	2019/03/12	2019/10/30	100.00
57981	SG Issuer - Ping An R Bull CBBC Oct 2019 P	100,000,000	2019/03/12	2019/10/23	25.00
58005	SG Issuer - Ping An R Bull CBBC Nov 2019 M	100,000,000	2019/03/12	2019/11/19	25.00
58031	SG Issuer - Ping An R Bear CBBC Aug 2019 H	100,000,000	2019/03/12	2019/08/09	25.00
58040	SG Issuer - Ping An R Bear CBBC Oct 2019 C	100,000,000	2019/03/12	2019/10/30	25.00

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58045	SG Issuer - SUNAC R Bull CBBC Jan 2020 A	80,000,000	2019/03/12	2020/01/30	20.00
58044	SG Issuer - SUNAC R Bear CBBC Dec 2019 C	80,000,000	2019/03/12	2019/12/20	20.00
58047	SG Issuer - Tencent R Bull CBBC Jun 2019 C	80,000,000	2019/03/12	2019/06/21	20.00
58048	SG Issuer - Tencent R Bull CBBC Jun 2019 F	80,000,000	2019/03/12	2019/06/25	20.00
58050	SG Issuer - Tencent R Bull CBBC Jun 2019 I	80,000,000	2019/03/12	2019/06/28	20.00
58052	SG Issuer - Tencent R Bull CBBC Jul 2019 O	80,000,000	2019/03/12	2019/07/17	20.00
57982	SG Issuer - Tencent R Bear CBBC Nov 2019 M	80,000,000	2019/03/12	2019/11/28	20.00
58009	SG Issuer - Tencent R Bear CBBC Nov 2019 N	80,000,000	2019/03/12	2019/11/19	20.00
58066	SG Issuer - Tencent R Bear CBBC Nov 2019 O	80,000,000	2019/03/12	2019/11/28	20.00
57984	SG Issuer - Tencent R Bear CBBC Dec 2019 J	80,000,000	2019/03/12	2019/12/10	20.00
58084	SG Issuer - Tencent R Bear CBBC Dec 2019 K	80,000,000	2019/03/12	2019/12/20	33.20
57958	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2019 B	50,000,000	2019/03/12	2019/10/21	12.50
57931	UBS AG - HKEX R Bull CBBC Dec 2019 A	50,000,000	2019/03/12	2019/12/09	12.50
57946	UBS AG - HKEX R Bull CBBC Dec 2019 B	200,000,000	2019/03/12	2019/12/16	50.00
57919	UBS AG - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/03/12	2021/02/25	50.00
57926	UBS AG - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/03/12	2019/06/27	50.00
57927	UBS AG - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/03/12	2019/06/27	50.00
57923	UBS AG - HSI R Bear CBBC Jun 2019 Z	200,000,000	2019/03/12	2019/06/27	50.00
57928	UBS AG - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/03/12	2019/07/30	50.00
57935	UBS AG - Tencent R Bull CBBC Jul 2019 M	40,000,000	2019/03/12	2019/07/03	10.00
57945	UBS AG - Tencent R Bull CBBC Sep 2019 B	200,000,000	2019/03/12	2019/09/30	50.00
57930	UBS AG - Tencent R Bear CBBC Jul 2019 E	40,000,000	2019/03/12	2019/07/03	10.00
57941	UBS AG - Tencent R Bear CBBC Sep 2019 A	200,000,000	2019/03/12	2019/09/30	50.00
57900	Bank Vontobel - CSPC Pharma R Bull CBBC Dec 2019 A	40,000,000	2019/03/12	2019/12/06	10.04
57898	Bank Vontobel - Geely Auto R Bull CBBC Dec 2019 A	40,000,000	2019/03/12	2019/12/13	10.04
57897	Bank Vontobel - HKEX R Bull CBBC Nov 2019 C	40,000,000	2019/03/12	2019/11/15	10.04
57901	Bank Vontobel - HSI R Bull CBBC Mar 2021 A	80,000,000	2019/03/12	2021/03/30	20.08
57904	Bank Vontobel - HSI R Bear CBBC Dec 2019 A	80,000,000	2019/03/12	2019/12/30	20.00
57909	Bank Vontobel - HSI R Bear CBBC Dec 2019 B	80,000,000	2019/03/12	2019/12/30	20.00
57892	Bank Vontobel - Ping An R Bear CBBC Nov 2019 B	40,000,000	2019/03/12	2019/11/15	10.04
57891	Bank Vontobel - Tencent R Bull CBBC Nov 2019 C	40,000,000	2019/03/12	2019/11/22	10.04
58312	BOCI Asia Ltd. - HKEX R Bull CBBC Dec 2019 A	200,000,000	2019/03/13	2019/12/16	50.00
58313	BOCI Asia Ltd. - HKEX R Bear CBBC Dec 2019 A	200,000,000	2019/03/13	2019/12/16	51.20
58305	BOCI Asia Ltd. - HSBC R Bull CBBC Oct 2019 A	70,000,000	2019/03/13	2019/10/28	17.50
58309	BOCI Asia Ltd. - HSBC R Bear CBBC Jul 2019 A	70,000,000	2019/03/13	2019/07/29	17.92
58373	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 I	150,000,000	2019/03/13	2020/09/29	37.95
58298	BOCI Asia Ltd. - Tencent R Bull CBBC Sep 2019 A	200,000,000	2019/03/13	2019/09/30	50.60
58304	BOCI Asia Ltd. - Tencent R Bear CBBC Sep 2019 A	200,000,000	2019/03/13	2019/09/30	50.40
58279	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019G	150,000,000	2019/03/13	2019/08/29	37.50
58282	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 Q	100,000,000	2019/03/13	2019/12/30	25.00
58283	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 L	250,000,000	2019/03/13	2021/02/25	62.50
58730	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 M	240,000,000	2019/03/13	2021/02/25	60.00
58731	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 Q	240,000,000	2019/03/13	2019/07/30	60.00
58270	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 F	50,000,000	2019/03/13	2019/06/27	12.50
58275	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 G	50,000,000	2019/03/13	2019/06/27	12.50

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58269	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jun 2019 N	50,000,000	2019/03/13	2019/06/27	15.25
58273	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 B	50,000,000	2019/03/13	2019/07/30	12.50
58255	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 S	50,000,000	2019/03/13	2019/10/30	12.50
58259	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 W	50,000,000	2019/03/13	2019/11/28	12.50
58993	Credit Suisse AG - China Life R Bull CBBC Dec 2019 F	150,000,000	2019/03/13	2019/12/20	37.50
58994	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2019 F	150,000,000	2019/03/13	2019/12/30	37.50
59097	Credit Suisse AG - HSI R Bull CBBC Feb 2021 V	200,000,000	2019/03/13	2021/02/25	50.00
59099	Credit Suisse AG - HSI R Bull CBBC May 2021 A	300,000,000	2019/03/13	2021/05/28	75.00
58964	Credit Suisse AG - HSI R Bear CBBC Aug 2019 X	200,000,000	2019/03/13	2019/08/29	50.00
58991	Credit Suisse AG - HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/03/13	2019/08/29	50.00
59070	Credit Suisse AG - HSI R Bear CBBC Aug 2019 Z	200,000,000	2019/03/13	2019/08/29	50.00
59081	Credit Suisse AG - HSI R Bear CBBC Sep 2019 T	200,000,000	2019/03/13	2019/09/27	50.00
59022	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 P	50,000,000	2019/03/13	2019/11/28	12.50
59015	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 Y	250,000,000	2019/03/13	2019/12/20	62.50
58992	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 N	50,000,000	2019/03/13	2019/11/28	12.50
59052	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 O	50,000,000	2019/03/13	2019/11/28	20.00
59065	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 O	250,000,000	2019/03/13	2019/12/13	62.50
59130	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 H	100,000,000	2019/03/13	2019/12/23	25.00
58327	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 P	200,000,000	2019/03/13	2020/08/28	50.00
58216	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/13	2019/06/27	50.00
59100	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/03/13	2019/06/27	50.00
59120	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/03/13	2019/06/27	50.00
58319	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 T	100,000,000	2019/03/13	2019/06/28	25.00
58324	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 U	100,000,000	2019/03/13	2019/06/28	25.00
59121	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 V	100,000,000	2019/03/13	2019/06/27	25.00
59122	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 J	80,000,000	2019/03/13	2019/06/27	20.00
59123	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 K	80,000,000	2019/03/13	2019/06/27	40.00
58493	HK Bank - China Mobile R Bear CBBC Aug 2019 A	40,000,000	2019/03/13	2019/08/05	10.00
58695	HK Bank - CSPC Pharma R Bull CBBC Sep 2019 B	40,000,000	2019/03/13	2019/09/09	10.00
58699	HK Bank - Evergrande R Bull CBBC Oct 2019 A	40,000,000	2019/03/13	2019/10/28	10.00
58484	HK Bank - Geely Auto R Bull CBBC Dec 2019 A	80,000,000	2019/03/13	2019/12/16	20.00
58485	HK Bank - HKEX R Bear CBBC Nov 2019 D	120,000,000	2019/03/13	2019/11/25	30.00
58468	HK Bank - HSCEI R Bull CBBC Mar 2020 F	100,000,000	2019/03/13	2020/03/30	25.00
58421	HK Bank - HSI R Bull CBBC Jul 2020 F	120,000,000	2019/03/13	2020/07/30	30.00
58420	HK Bank - HSI R Bear CBBC Jul 2019 G	120,000,000	2019/03/13	2019/07/30	30.00
58509	HK Bank - SUNAC R Bull CBBC Nov 2019 C	60,000,000	2019/03/13	2019/11/18	15.00
58486	HK Bank - Sunny Optical R Bull CBBC Sep 2019 B	40,000,000	2019/03/13	2019/09/30	10.00
58492	HK Bank - Sunny Optical R Bear CBBC Oct 2019 C	40,000,000	2019/03/13	2019/10/21	10.00
58433	HK Bank - Tencent R Bull CBBC Sep 2019 E	60,000,000	2019/03/13	2019/09/09	15.00
58427	HK Bank - Tencent R Bull CBBC Oct 2019 C	150,000,000	2019/03/13	2019/10/28	37.50
58428	HK Bank - Tencent R Bull CBBC Oct 2019 D	60,000,000	2019/03/13	2019/10/02	15.00
58241	HK Bank - Tencent R Bear CBBC Oct 2019 E	50,000,000	2019/03/13	2019/10/02	12.50
58436	HK Bank - Tencent R Bear CBBC Oct 2019 F	150,000,000	2019/03/13	2019/10/14	37.50
58445	HK Bank - Tencent R Bear CBBC Nov 2019 C	150,000,000	2019/03/13	2019/11/25	37.50
58454	HK Bank - Tencent R Bear CBBC Dec 2019 A	120,000,000	2019/03/13	2019/12/09	30.00

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58236	Haitong Int'l Sec - CSOP A50 ETF R Bear CBBC Nov 2019 C	40,000,000	2019/03/13	2019/11/11	10.00
58237	Haitong Int'l Sec - CSOP A50 ETF R Bear CBBC Nov 2019 D	40,000,000	2019/03/13	2019/11/11	10.00
58239	Haitong Int'l Sec - A50 China R Bear CBBC Nov 2019 C	40,000,000	2019/03/13	2019/11/06	10.00
58240	Haitong Int'l Sec - A50 China R Bear CBBC Nov 2019 D	40,000,000	2019/03/13	2019/11/08	10.00
58217	Haitong Int'l Sec - HKEX R Bull CBBC Sep 2019 A	40,000,000	2019/03/13	2019/09/17	10.00
58218	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 D	40,000,000	2019/03/13	2019/07/19	10.00
58219	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 E	40,000,000	2019/03/13	2019/07/18	10.64
58221	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 F	40,000,000	2019/03/13	2019/07/19	13.88
58359	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 A	120,000,000	2019/03/13	2020/12/30	30.00
58356	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 I	120,000,000	2019/03/13	2021/01/28	30.00
58361	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 G	120,000,000	2019/03/13	2019/06/27	30.00
58369	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 L	120,000,000	2019/03/13	2019/06/27	30.00
58370	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 Q	120,000,000	2019/03/13	2019/06/27	30.00
58232	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2019 F	40,000,000	2019/03/13	2019/09/27	13.80
58233	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2019 G	40,000,000	2019/03/13	2019/09/27	15.80
58783	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/03/13	2019/06/27	50.00
58836	J P Morgan SP BV - Ping An R Bull CBBC Jul 2019 C	100,000,000	2019/03/13	2019/07/12	25.00
58810	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 Q	50,000,000	2019/03/13	2019/06/21	12.50
58812	J P Morgan SP BV - Tencent R Bull CBBC Jun 2019 R	50,000,000	2019/03/13	2019/06/21	12.50
58819	J P Morgan SP BV - Tencent R Bull CBBC Jul 2019 A	50,000,000	2019/03/13	2019/07/12	12.50
58831	J P Morgan SP BV - Tencent R Bull CBBC Jul 2019 B	50,000,000	2019/03/13	2019/07/12	12.50
58834	J P Morgan SP BV - Tencent R Bull CBBC Jul 2019 C	50,000,000	2019/03/13	2019/07/12	14.25
58775	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 P	50,000,000	2019/03/13	2019/06/21	12.50
58778	J P Morgan SP BV - Tencent R Bear CBBC Jun 2019 Q	50,000,000	2019/03/13	2019/06/21	12.50
58375	SG Issuer - Geely Auto R Bear CBBC Oct 2019 B	40,000,000	2019/03/13	2019/10/29	10.00
58415	SG Issuer - HSI R Bull CBBC Feb 2021 Q	400,000,000	2019/03/13	2021/02/25	100.00
58416	SG Issuer - HSI R Bull CBBC Apr 2021 W	200,000,000	2019/03/13	2021/04/29	50.00
58374	SG Issuer - HSI R Bear CBBC Aug 2019 M	200,000,000	2019/03/13	2019/08/29	50.00
58401	SG Issuer - Ping An R Bull CBBC Oct 2019 Q	100,000,000	2019/03/13	2019/10/22	25.00
58384	SG Issuer - Sunny Optical R Bear CBBC Sep 2019 B	40,000,000	2019/03/13	2019/09/26	10.00
58403	SG Issuer - Tencent R Bull CBBC Jun 2019 A	80,000,000	2019/03/13	2019/06/20	20.00
58405	SG Issuer - Tencent R Bull CBBC Jun 2019 D	80,000,000	2019/03/13	2019/06/24	20.00
58412	SG Issuer - Tencent R Bull CBBC Jun 2019 E	80,000,000	2019/03/13	2019/06/27	20.00
58413	SG Issuer - Tencent R Bull CBBC Jul 2019 P	80,000,000	2019/03/13	2019/07/16	20.00
58414	SG Issuer - Tencent R Bull CBBC Jul 2019 Q	80,000,000	2019/03/13	2019/07/18	20.00
58386	SG Issuer - Tencent R Bear CBBC Jun 2019 K	80,000,000	2019/03/13	2019/06/20	20.00
58387	SG Issuer - Tencent R Bear CBBC Oct 2019 F	80,000,000	2019/03/13	2019/10/30	20.00
58392	SG Issuer - Tencent R Bear CBBC Nov 2019 P	80,000,000	2019/03/13	2019/11/27	20.00
58937	UBS AG - HSI R Bull CBBC Oct 2020 L	200,000,000	2019/03/13	2020/10/29	50.00
58955	UBS AG - HSI R Bull CBBC Oct 2020 N	200,000,000	2019/03/13	2020/10/29	50.00
58956	UBS AG - HSI R Bull CBBC Oct 2020 V	200,000,000	2019/03/13	2020/10/29	50.00
58896	UBS AG - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/03/13	2019/06/27	50.00
58899	UBS AG - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/03/13	2019/06/27	50.00
58931	UBS AG - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/03/13	2019/06/27	50.00
58957	UBS AG - Tencent R Bull CBBC Jun 2019 Y	40,000,000	2019/03/13	2019/06/25	10.00

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58962	UBS AG - Tencent R Bear CBBC Jun 2019 X	40,000,000	2019/03/13	2019/06/25	10.00
58349	Bank Vontobel - BOCL R Bull CBBC Oct 2019 B	40,000,000	2019/03/13	2019/10/18	10.00
58342	Bank Vontobel - HSCEI R Bull CBBC Dec 2019 A	80,000,000	2019/03/13	2019/12/30	20.00
58343	Bank Vontobel - HSCEI R Bull CBBC Dec 2019 B	80,000,000	2019/03/13	2019/12/30	20.00
58340	Bank Vontobel - HSCEI R Bear CBBC Jun 2020 A	80,000,000	2019/03/13	2020/06/29	20.00
58341	Bank Vontobel - HSCEI R Bear CBBC Jun 2020 B	80,000,000	2019/03/13	2020/06/29	20.00
58330	Bank Vontobel - Ping An R Bear CBBC Dec 2019 A	40,000,000	2019/03/13	2019/12/20	10.00
58332	Bank Vontobel - Tencent R Bull CBBC Nov 2019 D	40,000,000	2019/03/13	2019/11/22	10.00
58333	Bank Vontobel - Tencent R Bear CBBC Dec 2019 A	40,000,000	2019/03/13	2019/12/20	28.84
59474	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019F	150,000,000	2019/03/14	2019/08/29	37.50
59787	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 N	250,000,000	2019/03/14	2021/02/25	62.50
59784	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 R	250,000,000	2019/03/14	2019/07/30	62.50
59785	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 S	250,000,000	2019/03/14	2019/07/30	62.50
59786	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 T	250,000,000	2019/03/14	2019/07/30	62.50
59788	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 U	250,000,000	2019/03/14	2019/07/30	62.50
59794	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 V	250,000,000	2019/03/14	2019/07/30	62.50
59941	Credit Suisse AG - AIA R Bull CBBC Nov 2019 H	70,000,000	2019/03/14	2019/11/28	17.50
59942	Credit Suisse AG - China Life R Bull CBBC Dec 2019 G	150,000,000	2019/03/14	2019/12/20	37.50
59966	Credit Suisse AG - China Life R Bear CBBC Dec 2019 D	150,000,000	2019/03/14	2019/12/30	37.50
59960	Credit Suisse AG - CM Bank R Bull CBBC Jan 2020 B	70,000,000	2019/03/14	2020/01/30	17.50
59969	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 G	150,000,000	2019/03/14	2020/01/30	37.50
59972	Credit Suisse AG - A50 China R Bull CBBC Jan 2020 E	150,000,000	2019/03/14	2020/01/30	37.50
59940	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2019 G	150,000,000	2019/03/14	2019/12/30	37.50
59952	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2019 C	150,000,000	2019/03/14	2019/12/30	37.50
59953	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2019 D	50,000,000	2019/03/14	2019/12/30	12.50
59977	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 L	250,000,000	2019/03/14	2019/12/30	62.50
59971	Credit Suisse AG - HSCEI ETF R Bull CBBC Dec 2019 A	40,000,000	2019/03/14	2019/12/30	10.00
59924	Credit Suisse AG - HSI R Bull CBBC Mar 2021 Y	200,000,000	2019/03/14	2021/03/30	50.00
59939	Credit Suisse AG - HSI R Bull CBBC Apr 2021 J	200,000,000	2019/03/14	2021/04/29	50.00
59985	Credit Suisse AG - HSI R Bear CBBC Jul 2019 V	200,000,000	2019/03/14	2019/07/30	50.00
59983	Credit Suisse AG - HSI R Bear CBBC Sep 2019 U	200,000,000	2019/03/14	2019/09/27	50.00
59986	Credit Suisse AG - HSI R Bear CBBC Sep 2019 V	200,000,000	2019/03/14	2019/09/27	50.00
59957	Credit Suisse AG - ICBC R Bull CBBC Dec 2019 G	70,000,000	2019/03/14	2019/12/19	17.50
59954	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 Z	50,000,000	2019/03/14	2019/12/19	12.50
59225	Bank of East Asia - AIA R Bull CBBC Aug 2019 D	40,000,000	2019/03/14	2019/08/16	10.00
59226	Bank of East Asia - AIA R Bull CBBC Aug 2019 E	40,000,000	2019/03/14	2019/08/16	10.00
59253	Bank of East Asia - AIA R Bear CBBC Jul 2019 A	40,000,000	2019/03/14	2019/07/16	10.00
59332	Bank of East Asia - CM Bank R Bull CBBC Dec 2019 C	20,000,000	2019/03/14	2019/12/30	10.00
59285	Bank of East Asia - CSOP A50 ETF R Bull CBBC Mar 2020 A	60,000,000	2019/03/14	2020/03/26	15.00
59311	Bank of East Asia - Galaxy Ent R Bear CBBC Oct 2019 B	100,000,000	2019/03/14	2019/10/10	25.00
59365	Bank of East Asia - Tencent R Bull CBBC Aug 2019 P	30,000,000	2019/03/14	2019/08/08	10.50
59765	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Sep 2019 H	100,000,000	2019/03/14	2019/09/26	25.00
59165	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 Q	200,000,000	2019/03/14	2020/08/28	50.00
59709	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/03/14	2019/06/27	50.00
59733	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/03/14	2019/06/27	50.00

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59749	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/03/14	2019/06/27	50.00
59458	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 U	100,000,000	2019/03/14	2019/12/30	25.00
59161	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 W	100,000,000	2019/03/14	2019/06/28	25.00
59754	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 X	100,000,000	2019/03/14	2019/06/27	25.00
59755	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 L	80,000,000	2019/03/14	2019/06/27	20.00
59430	HK Bank - China Life R Bear CBBC Aug 2019 B	200,000,000	2019/03/14	2019/08/26	50.00
59456	HK Bank - HKEX R Bear CBBC Jul 2019 I	40,000,000	2019/03/14	2019/07/29	10.00
59366	HK Bank - HSCEI R Bear CBBC Dec 2019 C	60,000,000	2019/03/14	2019/12/30	15.00
59187	HK Bank - HSI R Bull CBBC Feb 2020 G	120,000,000	2019/03/14	2020/02/27	30.00
59413	HK Bank - Ping An R Bear CBBC Aug 2019 C	80,000,000	2019/03/14	2019/08/12	20.00
59179	HK Bank - Tencent R Bull CBBC Oct 2019 E	60,000,000	2019/03/14	2019/10/03	15.00
59378	HK Bank - Tencent R Bear CBBC Sep 2019 H	50,000,000	2019/03/14	2019/09/09	12.50
59610	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 G	40,000,000	2019/03/14	2019/07/19	10.00
59606	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 J	120,000,000	2019/03/14	2021/01/28	30.00
59676	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 K	120,000,000	2019/03/14	2021/01/28	30.00
59679	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 L	120,000,000	2019/03/14	2021/01/28	30.00
59639	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 R	120,000,000	2019/03/14	2019/06/27	30.00
59659	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 S	120,000,000	2019/03/14	2019/06/27	30.00
59673	Haitong Int'l Sec - HSI R Bear CBBC Sep 2019 A	120,000,000	2019/03/14	2019/09/27	30.00
59615	Haitong Int'l Sec - Sunny Optical R Bear CBBC Aug 2019 D	50,000,000	2019/03/14	2019/08/29	12.50
59619	Haitong Int'l Sec - Sunny Optical R Bear CBBC Aug 2019 E	50,000,000	2019/03/14	2019/08/27	12.50
59600	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2019 B	40,000,000	2019/03/14	2019/09/11	10.00
59591	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2019 A	40,000,000	2019/03/14	2019/10/31	10.00
59613	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 E	40,000,000	2019/03/14	2019/10/17	10.00
59680	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/03/14	2021/09/29	50.00
59685	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/14	2019/06/27	50.00
59690	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/14	2019/06/27	50.00
59843	SG Issuer - CSOP A50 ETF R Bull CBBC Jan 2020 E	100,000,000	2019/03/14	2020/01/30	25.00
59849	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 G	100,000,000	2019/03/14	2020/02/27	25.00
59830	SG Issuer - CSOP A50 ETF R Bear CBBC Aug 2019 D	100,000,000	2019/03/14	2019/08/09	25.00
59862	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 I	100,000,000	2019/03/14	2019/10/25	25.00
59888	SG Issuer - HKEX R Bull CBBC Jul 2019 J	50,000,000	2019/03/14	2019/07/10	12.50
59919	SG Issuer - HKEX R Bear CBBC Sep 2019 F	50,000,000	2019/03/14	2019/09/27	12.50
59918	SG Issuer - HSI R Bull CBBC Feb 2021 R	200,000,000	2019/03/14	2021/02/25	50.00
59803	SG Issuer - HSI R Bull CBBC Apr 2021 M	200,000,000	2019/03/14	2021/04/29	50.00
59811	SG Issuer - HSI R Bull CBBC May 2021 I	200,000,000	2019/03/14	2021/05/28	50.00
59886	SG Issuer - HSI R Bull CBBC Jul 2021 J	200,000,000	2019/03/14	2021/07/29	50.00
59892	SG Issuer - HSI R Bear CBBC Jul 2019 T	200,000,000	2019/03/14	2019/07/30	50.00
59895	SG Issuer - HSI R Bear CBBC Sep 2019 T	200,000,000	2019/03/14	2019/09/27	50.00
59904	SG Issuer - HSI R Bear CBBC Sep 2019 U	200,000,000	2019/03/14	2019/09/27	50.00
59916	SG Issuer - HSI R Bear CBBC Sep 2019 V	200,000,000	2019/03/14	2019/09/27	50.00
59873	SG Issuer - Ping An R Bull CBBC Aug 2019 U	100,000,000	2019/03/14	2019/08/02	25.00
59874	SG Issuer - Ping An R Bull CBBC Sep 2019 D	100,000,000	2019/03/14	2019/09/30	25.00
59828	SG Issuer - Tencent R Bull CBBC Aug 2019 A	80,000,000	2019/03/14	2019/08/02	20.00
59875	SG Issuer - Tencent R Bull CBBC Aug 2019 B	80,000,000	2019/03/14	2019/08/05	20.00

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59879	SG Issuer - Tencent R Bull CBBC Aug 2019 C	80,000,000	2019/03/14	2019/08/08	20.00
59920	SG Issuer - Tencent R Bear CBBC Oct 2019 G	80,000,000	2019/03/14	2019/10/30	20.00
59492	UBS AG - HSI R Bull CBBC Oct 2020 E	200,000,000	2019/03/14	2020/10/29	50.00
59537	UBS AG - HSI R Bull CBBC Oct 2020 M	200,000,000	2019/03/14	2020/10/29	50.00
59481	UBS AG - HSI R Bull CBBC Oct 2020 O	200,000,000	2019/03/14	2020/10/29	50.00
59542	UBS AG - HSI R Bull CBBC Oct 2020 S	200,000,000	2019/03/14	2020/10/29	50.00
59587	UBS AG - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/03/14	2019/06/27	50.00
59588	UBS AG - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/03/14	2019/07/30	50.00
59768	Bank Vontobel - CC Bank R Bull CBBC Sep 2019 A	40,000,000	2019/03/14	2019/09/13	10.04
59777	Bank Vontobel - China Life R Bear CBBC Nov 2019 C	40,000,000	2019/03/14	2019/11/15	10.04
59783	Bank Vontobel - HSI R Bull CBBC Mar 2021 B	80,000,000	2019/03/14	2021/03/30	20.08
59775	Bank Vontobel - HSI R Bear CBBC Dec 2019 C	80,000,000	2019/03/14	2019/12/30	20.08
59781	Bank Vontobel - HSI R Bear CBBC Dec 2019 D	80,000,000	2019/03/14	2019/12/30	21.68
59778	Bank Vontobel - Tencent R Bear CBBC Nov 2019 B	40,000,000	2019/03/14	2019/11/22	10.04
59780	Bank Vontobel - Tencent R Bear CBBC Dec 2019 B	40,000,000	2019/03/14	2019/12/13	10.04
59990	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 J	150,000,000	2019/03/15	2020/09/29	38.70
59998	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 K	150,000,000	2019/03/15	2020/09/29	38.10
59999	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 I	150,000,000	2019/03/15	2019/06/27	39.30
60008	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 J	150,000,000	2019/03/15	2019/06/27	38.40
60334	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 O	250,000,000	2019/03/15	2021/02/25	62.50
60762	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 P	240,000,000	2019/03/15	2021/02/25	60.00
60752	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 G	250,000,000	2019/03/15	2019/07/30	62.50
60766	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 H	240,000,000	2019/03/15	2019/07/30	60.00
60281	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 W	250,000,000	2019/03/15	2019/07/30	62.50
60295	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 X	250,000,000	2019/03/15	2019/07/30	62.50
60299	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 Y	250,000,000	2019/03/15	2019/07/30	62.50
60301	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 Z	250,000,000	2019/03/15	2019/07/30	62.50
61229	Credit Suisse AG - AIA R Bull CBBC Dec 2019 I	70,000,000	2019/03/15	2019/12/20	17.50
61230	Credit Suisse AG - AIA R Bull CBBC Dec 2019 J	70,000,000	2019/03/15	2019/12/13	17.50
61344	Credit Suisse AG - AIA R Bear CBBC Dec 2019 H	70,000,000	2019/03/15	2019/12/20	17.50
61345	Credit Suisse AG - AIA R Bear CBBC Dec 2019 I	70,000,000	2019/03/15	2019/12/30	17.50
61269	Credit Suisse AG - China Life R Bull CBBC Dec 2019 H	150,000,000	2019/03/15	2019/12/13	37.50
61272	Credit Suisse AG - China Life R Bear CBBC Dec 2019 E	150,000,000	2019/03/15	2019/12/13	37.50
61275	Credit Suisse AG - CM Bank R Bear CBBC Dec 2019 E	60,000,000	2019/03/15	2019/12/20	15.00
61276	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2020 B	150,000,000	2019/03/15	2020/02/27	37.50
61284	Credit Suisse AG - A50 China R Bull CBBC Feb 2020 B	150,000,000	2019/03/15	2020/02/27	37.50
61244	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2020 C	150,000,000	2019/03/15	2020/01/30	37.50
61245	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 M	250,000,000	2019/03/15	2019/12/19	62.50
61248	Credit Suisse AG - HKEX R Bull CBBC Dec 2019 N	250,000,000	2019/03/15	2019/12/30	62.50
61251	Credit Suisse AG - HKEX R Bear CBBC Dec 2019 H	250,000,000	2019/03/15	2019/12/30	62.50
61207	Credit Suisse AG - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/03/15	2021/02/25	50.00
61222	Credit Suisse AG - HSI R Bull CBBC Feb 2021 B	200,000,000	2019/03/15	2021/02/25	50.00
61201	Credit Suisse AG - HSI R Bull CBBC Mar 2021 E	200,000,000	2019/03/15	2021/03/30	50.00
61300	Credit Suisse AG - HSI R Bull CBBC Mar 2021 J	200,000,000	2019/03/15	2021/03/30	50.00
61200	Credit Suisse AG - HSI R Bull CBBC Mar 2021 Z	200,000,000	2019/03/15	2021/03/30	50.00

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61302	Credit Suisse AG - HSI R Bull CBBC Apr 2021 K	300,000,000	2019/03/15	2021/04/29	75.00
61303	Credit Suisse AG - HSI R Bull CBBC Apr 2021 L	300,000,000	2019/03/15	2021/04/29	75.00
61329	Credit Suisse AG - HSI R Bear CBBC Jul 2019 W	200,000,000	2019/03/15	2019/07/30	50.00
61337	Credit Suisse AG - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/03/15	2019/07/30	50.00
61304	Credit Suisse AG - HSI R Bear CBBC Aug 2019 D	200,000,000	2019/03/15	2019/08/29	50.00
61328	Credit Suisse AG - HSI R Bear CBBC Aug 2019 E	200,000,000	2019/03/15	2019/08/29	50.00
61339	Credit Suisse AG - HSI R Bear CBBC Oct 2019 E	300,000,000	2019/03/15	2019/10/30	75.00
61342	Credit Suisse AG - HSI R Bear CBBC Oct 2019 F	300,000,000	2019/03/15	2019/10/30	75.00
61231	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 Q	80,000,000	2019/03/15	2019/12/13	20.00
61267	Credit Suisse AG - Ping An R Bear CBBC Dec 2019 G	60,000,000	2019/03/15	2019/12/12	15.00
61254	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 Q	250,000,000	2019/03/15	2019/11/28	62.50
61255	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 A	250,000,000	2019/03/15	2019/12/30	62.50
61257	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 P	50,000,000	2019/03/15	2019/11/28	12.50
61258	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 P	250,000,000	2019/03/15	2019/12/19	62.50
60074	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 I	100,000,000	2019/03/15	2019/12/30	25.00
60092	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 G	100,000,000	2019/03/15	2019/12/30	25.00
60514	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 R	200,000,000	2019/03/15	2020/08/28	50.00
60053	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 C	200,000,000	2019/03/15	2020/12/30	50.00
60022	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 H	200,000,000	2019/03/15	2020/12/30	50.00
60029	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2020 X	200,000,000	2019/03/15	2020/12/30	50.00
61179	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/03/15	2019/06/27	50.00
61182	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/15	2019/06/27	50.00
61183	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/03/15	2019/06/27	50.00
61184	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 Y	200,000,000	2019/03/15	2019/06/27	50.00
60057	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 V	100,000,000	2019/03/15	2019/12/31	25.00
60508	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 Y	100,000,000	2019/03/15	2019/06/28	25.00
60055	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 F	100,000,000	2019/03/15	2019/12/31	25.00
61198	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 M	100,000,000	2019/03/15	2019/06/28	25.00
60219	HK Bank - Sinopec Corp R Bear CBBC Jul 2019 A	40,000,000	2019/03/15	2019/07/29	10.00
60151	HK Bank - Galaxy Ent R Bear CBBC Aug 2019 D	40,000,000	2019/03/15	2019/08/12	10.00
60128	HK Bank - HKEX R Bull CBBC Oct 2019 F	50,000,000	2019/03/15	2019/10/08	12.50
60177	HK Bank - HKEX R Bear CBBC Sep 2019 D	40,000,000	2019/03/15	2019/09/16	10.00
60155	HK Bank - HSCEI R Bear CBBC Jul 2019 D	60,000,000	2019/03/15	2019/07/30	15.00
60098	HK Bank - HSI R Bull CBBC Feb 2020 H	80,000,000	2019/03/15	2020/02/27	20.00
60103	HK Bank - HSI R Bull CBBC Jul 2020 G	120,000,000	2019/03/15	2020/07/30	30.00
60167	HK Bank - HSI R Bear CBBC Jul 2019 F	80,000,000	2019/03/15	2019/07/30	20.00
60170	HK Bank - HSI R Bear CBBC Jul 2019 H	120,000,000	2019/03/15	2019/07/30	30.00
60217	HK Bank - Meituan Dianping R Bull CBBC Oct 2019 A	60,000,000	2019/03/15	2019/10/14	15.00
60136	HK Bank - Ping An R Bull CBBC Oct 2019 F	100,000,000	2019/03/15	2019/10/14	25.00
60161	HK Bank - Sands China R Bear CBBC Oct 2019 C	40,000,000	2019/03/15	2019/10/08	10.00
60116	HK Bank - Tencent R Bull CBBC Sep 2019 F	60,000,000	2019/03/15	2019/09/23	15.00
60178	HK Bank - Tencent R Bear CBBC Aug 2019 O	50,000,000	2019/03/15	2019/08/05	12.50
60196	HK Bank - Tencent R Bear CBBC Sep 2019 I	50,000,000	2019/03/15	2019/09/02	13.50
60644	Haitong Int'l Sec - China Life R Bull CBBC Sep 2019 A	40,000,000	2019/03/15	2019/09/05	10.00
60660	Haitong Int'l Sec - China Life R Bull CBBC Sep 2019 B	40,000,000	2019/03/15	2019/09/03	10.00

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60628	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC Sep 2019 A	40,000,000	2019/03/15	2019/09/05	10.00
60674	Haitong Int'l Sec - Geely Auto R Bear CBBC Oct 2019 A	40,000,000	2019/03/15	2019/10/18	10.00
60670	Haitong Int'l Sec - Galaxy Ent R Bear CBBC Dec 2019 A	80,000,000	2019/03/15	2019/12/30	20.00
60254	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 I	120,000,000	2019/03/15	2020/12/30	30.00
60261	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 J	120,000,000	2019/03/15	2020/12/30	30.00
60575	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 M	120,000,000	2019/03/15	2020/12/30	30.00
60252	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 M	120,000,000	2019/03/15	2021/01/28	30.00
60577	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 N	120,000,000	2019/03/15	2021/01/28	30.00
60625	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 O	120,000,000	2019/03/15	2021/01/28	30.00
60693	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 T	120,000,000	2019/03/15	2019/06/27	30.00
60698	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 V	120,000,000	2019/03/15	2019/06/27	30.00
60737	Haitong Int'l Sec - HSI R Bear CBBC Jun 2019 W	120,000,000	2019/03/15	2019/06/27	30.00
60720	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 C	120,000,000	2019/03/15	2019/07/30	30.00
60684	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2019 D	50,000,000	2019/03/15	2019/08/16	12.50
60679	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 F	40,000,000	2019/03/15	2019/10/11	10.00
60867	J P Morgan SP BV - HKEX R Bear CBBC Jul 2019 A	40,000,000	2019/03/15	2019/07/12	10.00
60886	J P Morgan SP BV - HSCEI R Bear CBBC Jun 2019 A	100,000,000	2019/03/15	2019/06/27	25.00
60826	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 B	200,000,000	2019/03/15	2021/09/29	50.00
60827	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 C	200,000,000	2019/03/15	2021/09/29	50.00
60830	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 D	200,000,000	2019/03/15	2021/09/29	50.00
60831	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 E	200,000,000	2019/03/15	2021/09/29	50.00
60908	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/03/15	2019/06/27	50.00
60919	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/03/15	2019/07/30	50.00
60938	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/03/15	2019/07/30	50.00
60941	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 R	200,000,000	2019/03/15	2019/07/30	50.00
60953	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/03/15	2019/07/30	50.00
60876	J P Morgan SP BV - Ping An R Bear CBBC Jul 2019 B	100,000,000	2019/03/15	2019/07/12	25.00
60846	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 D	50,000,000	2019/03/15	2019/07/12	12.50
60864	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 E	50,000,000	2019/03/15	2019/07/12	12.50
60973	SG Issuer - AIA R Bull CBBC Dec 2019 B	60,000,000	2019/03/15	2019/12/30	15.00
61010	SG Issuer - China Mobile R Bull CBBC Oct 2019 C	100,000,000	2019/03/15	2019/10/25	25.00
60982	SG Issuer - CC Bank R Bull CBBC Sep 2019 F	100,000,000	2019/03/15	2019/09/20	25.00
60997	SG Issuer - China Life R Bull CBBC Jul 2019 J	40,000,000	2019/03/15	2019/07/19	10.00
61095	SG Issuer - China Life R Bear CBBC Sep 2019 E	40,000,000	2019/03/15	2019/09/20	10.00
61118	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 H	100,000,000	2019/03/15	2020/02/27	25.00
60957	SG Issuer - CSOP A50 ETF R Bull CBBC Mar 2020 E	100,000,000	2019/03/15	2020/03/30	25.00
60967	SG Issuer - A50 China R Bull CBBC Jan 2020 A	80,000,000	2019/03/15	2020/01/30	20.00
61022	SG Issuer - Galaxy Ent R Bull CBBC Dec 2019 D	100,000,000	2019/03/15	2019/12/30	25.00
61026	SG Issuer - HKEX R Bull CBBC Sep 2019 F	50,000,000	2019/03/15	2019/09/27	12.50
61096	SG Issuer - HKEX R Bear CBBC Jun 2019 F	50,000,000	2019/03/15	2019/06/28	12.50
61081	SG Issuer - HSI R Bull CBBC Feb 2021 S	200,000,000	2019/03/15	2021/02/25	50.00
61162	SG Issuer - HSI R Bull CBBC Feb 2021 T	200,000,000	2019/03/15	2021/02/25	50.00
61164	SG Issuer - HSI R Bull CBBC Mar 2021 C	200,000,000	2019/03/15	2021/03/30	50.00
61169	SG Issuer - HSI R Bull CBBC Apr 2021 P	200,000,000	2019/03/15	2021/04/29	50.00
61174	SG Issuer - HSI R Bull CBBC May 2021 Y	200,000,000	2019/03/15	2021/05/28	50.00

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61083	SG Issuer - HSI R Bull CBBC Jul 2021 K	200,000,000	2019/03/15	2021/07/29	50.00
61158	SG Issuer - HSI R Bull CBBC Jul 2021 L	200,000,000	2019/03/15	2021/07/29	50.00
61160	SG Issuer - HSI R Bull CBBC Jul 2021 M	200,000,000	2019/03/15	2021/07/29	50.00
61178	SG Issuer - HSI R Bull CBBC Jul 2021 N	200,000,000	2019/03/15	2021/07/29	50.00
61136	SG Issuer - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/03/15	2019/07/30	50.00
61119	SG Issuer - HSI R Bear CBBC Jul 2019 W	200,000,000	2019/03/15	2019/07/30	50.00
61125	SG Issuer - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/03/15	2019/07/30	50.00
61152	SG Issuer - HSI R Bear CBBC Aug 2019 S	200,000,000	2019/03/15	2019/08/29	50.00
61146	SG Issuer - HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/03/15	2019/08/29	50.00
61108	SG Issuer - ICBC R Bear CBBC Sep 2019 A	100,000,000	2019/03/15	2019/09/20	25.00
61029	SG Issuer - Ping An R Bull CBBC Oct 2019 R	100,000,000	2019/03/15	2019/10/18	25.00
61033	SG Issuer - Ping An R Bull CBBC Oct 2019 S	100,000,000	2019/03/15	2019/10/25	25.00
61110	SG Issuer - Ping An R Bear CBBC Aug 2019 I	100,000,000	2019/03/15	2019/08/05	25.00
61113	SG Issuer - Tencent R Bull CBBC Jun 2019 G	80,000,000	2019/03/15	2019/06/28	20.00
61058	SG Issuer - Tencent R Bull CBBC Aug 2019 D	80,000,000	2019/03/15	2019/08/05	20.00
61064	SG Issuer - Tencent R Bull CBBC Aug 2019 E	80,000,000	2019/03/15	2019/08/08	20.00
61080	SG Issuer - Tencent R Bull CBBC Aug 2019 F	80,000,000	2019/03/15	2019/08/12	20.00
61088	SG Issuer - Tencent R Bear CBBC Nov 2019 Q	80,000,000	2019/03/15	2019/11/28	20.00
60415	UBS AG - HSI R Bull CBBC Oct 2020 H	200,000,000	2019/03/15	2020/10/29	50.00
60374	UBS AG - HSI R Bull CBBC Oct 2020 J	200,000,000	2019/03/15	2020/10/29	50.00
60411	UBS AG - HSI R Bull CBBC Oct 2020 U	200,000,000	2019/03/15	2020/10/29	50.00
60385	UBS AG - HSI R Bull CBBC Jan 2021 H	200,000,000	2019/03/15	2021/01/28	50.00
60378	UBS AG - HSI R Bull CBBC Feb 2021 A	200,000,000	2019/03/15	2021/02/25	50.00
60377	UBS AG - HSI R Bull CBBC Feb 2021 E	200,000,000	2019/03/15	2021/02/25	50.00
60427	UBS AG - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/03/15	2019/06/27	50.00
60433	UBS AG - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/15	2019/06/27	50.00
60450	UBS AG - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/03/15	2019/07/30	50.00
60555	UBS AG - Tencent R Bull CBBC Sep 2019 D	40,000,000	2019/03/15	2019/09/23	10.00
60381	UBS AG - Tencent R Bull CBBC Oct 2019 A	200,000,000	2019/03/15	2019/10/28	50.00
60457	UBS AG - Tencent R Bear CBBC Jun 2019 Y	40,000,000	2019/03/15	2019/06/20	10.00
60465	UBS AG - Tencent R Bear CBBC Oct 2019 A	200,000,000	2019/03/15	2019/10/28	50.00
60775	Bank Vontobel - HSI N Bear CBBC Dec 2019 F	80,000,000	2019/03/15	2019/12/30	20.08
60820	Bank Vontobel - HSI N Bear CBBC Dec 2019 G	80,000,000	2019/03/15	2019/12/30	20.00
60798	Bank Vontobel - HSI R Bull CBBC Mar 2021 C	80,000,000	2019/03/15	2021/03/30	20.08
60801	Bank Vontobel - HSI R Bull CBBC Mar 2021 D	80,000,000	2019/03/15	2021/03/30	20.08
60768	Bank Vontobel - HSI R Bear CBBC Dec 2019 E	80,000,000	2019/03/15	2019/12/30	20.08
61515	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 K	100,000,000	2019/03/18	2019/11/28	25.00
61521	BNP Paribas Issuance B.V. - Galaxy Ent R Bear CBBC Aug 2019H	150,000,000	2019/03/18	2019/08/29	37.50
61502	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2020 A	50,000,000	2019/03/18	2020/07/30	12.50
61552	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Oct 2019 A	150,000,000	2019/03/18	2019/10/30	37.50
61501	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Sep 2019 A	150,000,000	2019/03/18	2019/09/27	37.50
61542	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 C	100,000,000	2019/03/18	2019/09/27	25.00
61522	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Dec 2019 R	100,000,000	2019/03/18	2019/12/30	25.00
61511	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 Q	250,000,000	2019/03/18	2021/02/25	62.50
61546	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 R	250,000,000	2019/03/18	2021/02/25	62.50

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61543	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 I	240,000,000	2019/03/18	2019/07/30	60.00
61505	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 T	50,000,000	2019/03/18	2019/10/30	12.50
61509	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 U	50,000,000	2019/03/18	2019/10/30	12.50
61585	Credit Suisse AG - AIA R Bear CBBC Dec 2019 J	70,000,000	2019/03/18	2019/12/13	17.50
61573	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 J	70,000,000	2019/03/18	2019/12/30	17.50
61582	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2019 E	50,000,000	2019/03/18	2019/12/13	12.50
61660	Credit Suisse AG - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/03/18	2021/02/25	50.00
61662	Credit Suisse AG - HSI R Bull CBBC Feb 2021 R	200,000,000	2019/03/18	2021/02/25	50.00
61612	Credit Suisse AG - HSI R Bull CBBC Apr 2021 M	300,000,000	2019/03/18	2021/04/29	75.00
61553	Credit Suisse AG - HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/03/18	2019/07/30	50.00
61557	Credit Suisse AG - HSI R Bear CBBC Aug 2019 F	200,000,000	2019/03/18	2019/08/29	50.00
61554	Credit Suisse AG - HSI R Bear CBBC Sep 2019 W	200,000,000	2019/03/18	2019/09/27	50.00
61568	Credit Suisse AG - HSI R Bear CBBC Sep 2019 X	200,000,000	2019/03/18	2019/09/27	50.00
61588	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 R	80,000,000	2019/03/18	2019/12/30	20.00
61595	Credit Suisse AG - Ping An R Bear CBBC Dec 2019 H	60,000,000	2019/03/18	2019/12/30	15.00
61856	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2019 B	100,000,000	2019/03/18	2019/12/30	25.00
61847	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 I	100,000,000	2019/03/18	2019/12/30	25.00
61831	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 A	200,000,000	2019/03/18	2021/10/28	50.00
61837	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 B	200,000,000	2019/03/18	2021/10/28	50.00
61351	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/03/18	2019/07/30	50.00
61367	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/18	2019/07/30	50.00
61425	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/03/18	2019/07/30	50.00
61432	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 W	100,000,000	2019/03/18	2019/12/31	25.00
61838	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 A	100,000,000	2019/03/18	2019/12/31	25.00
61376	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 N	100,000,000	2019/03/18	2019/06/28	25.00
61841	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 O	100,000,000	2019/03/18	2019/06/28	25.00
61380	HK Bank - Geely Auto R Bear CBBC Nov 2019 A	80,000,000	2019/03/18	2019/11/11	20.00
61417	HK Bank - HKEX R Bull CBBC Nov 2019 A	50,000,000	2019/03/18	2019/11/04	12.50
61399	HK Bank - HSCEI R Bear CBBC Sep 2019 A	60,000,000	2019/03/18	2019/09/27	15.00
61381	HK Bank - HSI R Bull CBBC Jul 2020 H	80,000,000	2019/03/18	2020/07/30	20.00
61377	HK Bank - HSI R Bear CBBC Jul 2019 I	120,000,000	2019/03/18	2019/07/30	30.00
61384	HK Bank - HSI R Bear CBBC Jul 2019 J	120,000,000	2019/03/18	2019/07/30	30.00
61413	HK Bank - Ping An R Bear CBBC Jul 2019 C	80,000,000	2019/03/18	2019/07/29	20.00
61407	HK Bank - Tencent R Bull CBBC Sep 2019 G	60,000,000	2019/03/18	2019/09/30	15.00
61410	HK Bank - Tencent R Bear CBBC Jul 2019 P	50,000,000	2019/03/18	2019/07/29	12.50
61679	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 P	150,000,000	2019/03/18	2021/01/28	37.50
61680	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 Q	150,000,000	2019/03/18	2021/01/28	37.50
61676	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 D	120,000,000	2019/03/18	2019/07/30	30.00
61677	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 E	120,000,000	2019/03/18	2019/07/30	30.00
61678	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 F	120,000,000	2019/03/18	2019/07/30	30.00
61670	Haitong Int'l Sec - HSI R Bear CBBC Dec 2019 B	120,000,000	2019/03/18	2019/12/30	30.00
61696	Haitong Int'l Sec - SUNAC R Bull CBBC Dec 2019 A	120,000,000	2019/03/18	2019/12/31	30.00
61613	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 E	40,000,000	2019/03/18	2019/07/12	10.00
61488	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 F	200,000,000	2019/03/18	2021/09/29	50.00
61489	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 G	200,000,000	2019/03/18	2021/09/29	50.00

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61475	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 T	200,000,000	2019/03/18	2019/07/30	50.00
61476	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 U	200,000,000	2019/03/18	2019/07/30	50.00
61494	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 V	200,000,000	2019/03/18	2019/07/30	50.00
61495	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 W	200,000,000	2019/03/18	2019/07/30	50.00
61609	J P Morgan SP BV - Ping An R Bull CBBC Jul 2019 D	100,000,000	2019/03/18	2019/07/12	25.00
61661	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 F	50,000,000	2019/03/18	2019/07/12	12.50
61743	SG Issuer - AIA R Bull CBBC Oct 2019 I	60,000,000	2019/03/18	2019/10/31	15.00
61731	SG Issuer - AIA R Bear CBBC Sep 2019 C	60,000,000	2019/03/18	2019/09/27	15.00
61749	SG Issuer - China Mobile R Bear CBBC Oct 2019 A	100,000,000	2019/03/18	2019/10/09	25.00
61748	SG Issuer - China Life R Bull CBBC Jul 2019 K	40,000,000	2019/03/18	2019/07/10	10.00
61723	SG Issuer - CM Bank R Bull CBBC Feb 2020 D	100,000,000	2019/03/18	2020/02/10	25.00
61724	SG Issuer - Galaxy Ent R Bull CBBC Nov 2019 F	100,000,000	2019/03/18	2019/11/15	25.00
61755	SG Issuer - HKEX R Bull CBBC Jun 2019 N	50,000,000	2019/03/18	2019/06/27	12.50
61761	SG Issuer - HKEX R Bear CBBC Jun 2019 G	50,000,000	2019/03/18	2019/06/27	12.50
61739	SG Issuer - HSCEI R Bull CBBC Oct 2020 J	100,000,000	2019/03/18	2020/10/29	25.00
61737	SG Issuer - HSI R Bull CBBC May 2021 M	200,000,000	2019/03/18	2021/05/28	50.00
61738	SG Issuer - HSI R Bull CBBC Jul 2021 O	200,000,000	2019/03/18	2021/07/29	50.00
61728	SG Issuer - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/18	2019/07/30	50.00
61735	SG Issuer - HSI R Bear CBBC Aug 2019 A	200,000,000	2019/03/18	2019/08/29	50.00
61729	SG Issuer - HSI R Bear CBBC Aug 2019 T	200,000,000	2019/03/18	2019/08/29	50.00
61736	SG Issuer - HSI R Bear CBBC Sep 2019 W	200,000,000	2019/03/18	2019/09/27	50.00
61772	SG Issuer - PetroChina R Bull CBBC Oct 2019 D	40,000,000	2019/03/18	2019/10/25	10.00
61773	SG Issuer - Tencent R Bull CBBC Jun 2019 T	80,000,000	2019/03/18	2019/06/26	20.00
61786	SG Issuer - Tencent R Bull CBBC Jun 2019 U	80,000,000	2019/03/18	2019/06/27	63.20
61807	SG Issuer - Tencent R Bull CBBC Jun 2019 V	80,000,000	2019/03/18	2019/06/28	59.20
61808	SG Issuer - Tencent R Bear CBBC Oct 2019 H	80,000,000	2019/03/18	2019/10/23	64.00
61814	SG Issuer - Tencent R Bear CBBC Oct 2019 I	80,000,000	2019/03/18	2019/10/28	52.00
61727	SG Issuer - Tencent R Bear CBBC Nov 2019 R	80,000,000	2019/03/18	2019/11/15	20.00
61828	SG Issuer - Tencent R Bear CBBC Dec 2019 L	80,000,000	2019/03/18	2019/12/13	40.80
61472	UBS AG - HSI R Bull CBBC Oct 2020 Y	200,000,000	2019/03/18	2020/10/29	50.00
61446	UBS AG - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/03/18	2019/06/27	50.00
61448	UBS AG - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/03/18	2019/06/27	50.00
61452	UBS AG - HSI R Bear CBBC Jun 2019 R	200,000,000	2019/03/18	2019/06/27	50.00
61698	Bank Vontobel - Sinopec Corp R Bear CBBC Dec 2019 A	40,000,000	2019/03/18	2019/12/06	10.00
61702	Bank Vontobel - Galaxy Ent R Bear CBBC Nov 2019 E	40,000,000	2019/03/18	2019/11/15	10.00
61716	Bank Vontobel - PetroChina R Bear CBBC Dec 2019 A	40,000,000	2019/03/18	2019/12/06	10.00
61697	Bank Vontobel - Tencent R Bear CBBC Nov 2019 C	40,000,000	2019/03/18	2019/11/22	10.00
62125	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 L	150,000,000	2019/03/19	2020/09/29	37.50
62126	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 K	150,000,000	2019/03/19	2019/06/27	37.50
61892	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Sep 2019 C	100,000,000	2019/03/19	2019/09/27	25.00
61889	BNP Paribas Issuance B.V. - CSOP A50 R Bull CBBC Dec 2019 A	60,000,000	2019/03/19	2019/12/30	15.00
61887	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bear CBBC Oct19A	60,000,000	2019/03/19	2019/10/30	15.00
61901	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 L	50,000,000	2019/03/19	2019/11/28	12.50
62119	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2020 B	50,000,000	2019/03/19	2020/07/30	12.50
61904	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 S	250,000,000	2019/03/19	2021/02/25	62.50

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61905	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 T	250,000,000	2019/03/19	2021/02/25	62.50
61913	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 U	250,000,000	2019/03/19	2021/02/25	62.50
61923	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 V	250,000,000	2019/03/19	2021/02/25	62.50
61857	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 J	250,000,000	2019/03/19	2019/07/30	62.50
61935	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 K	240,000,000	2019/03/19	2019/07/30	60.00
61883	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 X	50,000,000	2019/03/19	2019/11/28	12.50
62267	Credit Suisse AG - Bank of China R Bull CBBC Jan 2020 A	70,000,000	2019/03/19	2020/01/30	17.50
62272	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2020 H	50,000,000	2019/03/19	2020/01/30	12.50
62277	Credit Suisse AG - A50 China R Bull CBBC Jan 2020 F	50,000,000	2019/03/19	2020/01/30	12.50
62249	Credit Suisse AG - HKEX R Bull CBBC Jan 2020 A	250,000,000	2019/03/19	2020/01/30	62.50
62237	Credit Suisse AG - HSI R Bull CBBC Jan 2021 Z	200,000,000	2019/03/19	2021/01/28	50.00
62233	Credit Suisse AG - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/03/19	2021/02/25	50.00
62287	Credit Suisse AG - HSI R Bull CBBC Feb 2021 X	200,000,000	2019/03/19	2021/02/25	50.00
62247	Credit Suisse AG - HSI R Bull CBBC Mar 2021 M	200,000,000	2019/03/19	2021/03/30	50.00
62248	Credit Suisse AG - HSI R Bull CBBC Mar 2021 N	200,000,000	2019/03/19	2021/03/30	50.00
62219	Credit Suisse AG - HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/03/19	2019/07/30	50.00
62220	Credit Suisse AG - HSI R Bear CBBC Aug 2019 K	200,000,000	2019/03/19	2019/08/29	50.00
62215	Credit Suisse AG - HSI R Bear CBBC Sep 2019 Y	300,000,000	2019/03/19	2019/09/27	75.00
62222	Credit Suisse AG - HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/03/19	2019/09/27	50.00
62258	Credit Suisse AG - ICBC R Bull CBBC Jan 2020 A	70,000,000	2019/03/19	2020/01/30	17.50
62291	Credit Suisse AG - Tencent R Bull CBBC Oct 2019 O	50,000,000	2019/03/19	2019/10/30	12.50
61864	Bank of East Asia - China Mobile R Bull CBBC Aug 2019 D	25,000,000	2019/03/19	2019/08/22	10.00
61862	Bank of East Asia - China Mobile R Bear CBBC Jul 2019 A	25,000,000	2019/03/19	2019/07/08	10.00
61879	Bank of East Asia - Galaxy Ent R Bear CBBC Dec 2019 B	50,000,000	2019/03/19	2019/12/06	12.50
61866	Bank of East Asia - HKEX R Bull CBBC Dec 2019 A	100,000,000	2019/03/19	2019/12/06	25.00
61865	Bank of East Asia - HKEX R Bear CBBC Dec 2019 C	25,000,000	2019/03/19	2019/12/06	10.00
61860	Bank of East Asia - Ping An R Bull CBBC Aug 2019 N	50,000,000	2019/03/19	2019/08/22	12.50
61858	Bank of East Asia - Ping An R Bear CBBC Jul 2019 A	50,000,000	2019/03/19	2019/07/08	12.50
61870	Bank of East Asia - Tencent R Bull CBBC Dec 2019 C	150,000,000	2019/03/19	2019/12/06	37.50
61878	Bank of East Asia - Tencent R Bear CBBC Dec 2019 A	150,000,000	2019/03/19	2019/12/06	37.50
62489	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 E	200,000,000	2019/03/19	2019/07/30	50.00
62492	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/03/19	2019/07/30	50.00
62015	HK Bank - AIA R Bull CBBC Nov 2019 A	60,000,000	2019/03/19	2019/11/04	15.00
62060	HK Bank - CC Bank R Bull CBBC Oct 2019 B	75,000,000	2019/03/19	2019/10/02	18.75
62053	HK Bank - China Life R Bull CBBC Oct 2019 C	100,000,000	2019/03/19	2019/10/14	25.00
62064	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2019 E	40,000,000	2019/03/19	2019/10/14	10.00
62065	HK Bank - A50 China R Bull CBBC Oct 2019 E	40,000,000	2019/03/19	2019/10/14	10.00
62028	HK Bank - Galaxy Ent R Bull CBBC Oct 2019 E	60,000,000	2019/03/19	2019/10/21	15.00
61984	HK Bank - HKEX R Bull CBBC Nov 2019 B	100,000,000	2019/03/19	2019/11/11	25.00
61999	HK Bank - HSCEI R Bull CBBC Jul 2020 B	80,000,000	2019/03/19	2020/07/30	20.00
62002	HK Bank - HSI R Bull CBBC Feb 2020 I	120,000,000	2019/03/19	2020/02/27	30.00
61981	HK Bank - HSI R Bull CBBC Jul 2020 I	120,000,000	2019/03/19	2020/07/30	30.00
61974	HK Bank - HSI R Bear CBBC Jul 2019 K	120,000,000	2019/03/19	2019/07/30	30.00
62013	HK Bank - ICBC R Bull CBBC Aug 2019 B	75,000,000	2019/03/19	2019/08/26	18.75
62050	HK Bank - Ping An R Bear CBBC Nov 2019 B	60,000,000	2019/03/19	2019/11/11	15.00

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62042	HK Bank - SUNAC R Bull CBBC Nov 2019 D	60,000,000	2019/03/19	2019/11/25	15.00
62066	HK Bank - Tencent R Bull CBBC Nov 2019 B	40,000,000	2019/03/19	2019/11/11	10.00
61985	HK Bank - Tencent R Bear CBBC Nov 2019 D	100,000,000	2019/03/19	2019/11/18	25.00
62152	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 R	120,000,000	2019/03/19	2021/01/28	30.00
62165	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 S	120,000,000	2019/03/19	2021/01/28	30.00
62159	Haitong Int'l Sec - HSI R Bull CBBC Apr 2021 A	120,000,000	2019/03/19	2021/04/29	30.00
62150	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 G	150,000,000	2019/03/19	2019/07/30	37.50
62151	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 H	150,000,000	2019/03/19	2019/07/30	37.50
62172	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 I	120,000,000	2019/03/19	2019/07/30	30.00
62185	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 G	40,000,000	2019/03/19	2019/10/31	27.88
62180	Haitong Int'l Sec - Tencent R Bear CBBC Nov 2019 C	40,000,000	2019/03/19	2019/11/04	25.88
62110	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 F	40,000,000	2019/03/19	2019/07/12	10.00
62118	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 G	40,000,000	2019/03/19	2019/07/12	10.00
62097	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 H	200,000,000	2019/03/19	2021/09/29	50.00
62098	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 I	200,000,000	2019/03/19	2021/09/29	50.00
62100	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 J	200,000,000	2019/03/19	2021/09/29	50.00
62102	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 K	200,000,000	2019/03/19	2021/09/29	50.00
62104	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 L	200,000,000	2019/03/19	2021/09/29	50.00
62074	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/03/19	2019/06/27	50.00
62079	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/03/19	2019/07/30	50.00
62071	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 G	50,000,000	2019/03/19	2019/07/12	12.50
61924	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 U	11,700,000	2019/03/19	2019/06/28	10.03
61927	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 V	9,600,000	2019/03/19	2019/06/28	10.03
61929	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 G	4,900,000	2019/03/19	2019/11/15	10.08
61932	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 H	4,400,000	2019/03/19	2019/11/15	10.13
62323	SG Issuer - AIA R Bull CBBC Nov 2019 E	60,000,000	2019/03/19	2019/11/18	15.00
62293	SG Issuer - AIA R Bear CBBC Sep 2019 D	60,000,000	2019/03/19	2019/09/27	15.00
62410	SG Issuer - Bank of China R Bull CBBC Feb 2020 A	100,000,000	2019/03/19	2020/02/21	25.00
62411	SG Issuer - China Mobile R Bull CBBC Oct 2019 D	100,000,000	2019/03/19	2019/10/16	25.00
62413	SG Issuer - China Mobile R Bull CBBC Nov 2019 C	100,000,000	2019/03/19	2019/11/18	25.00
62297	SG Issuer - China Mobile R Bear CBBC Jul 2019 B	100,000,000	2019/03/19	2019/07/30	25.00
62335	SG Issuer - CC Bank R Bull CBBC Sep 2019 G	100,000,000	2019/03/19	2019/09/11	25.00
62348	SG Issuer - China Life R Bull CBBC Aug 2019 D	40,000,000	2019/03/19	2019/08/05	10.00
62298	SG Issuer - Sinopec Corp R Bear CBBC Aug 2019 A	40,000,000	2019/03/19	2019/08/09	10.00
62354	SG Issuer - CSOP A50 ETF R Bull CBBC Mar 2020 F	100,000,000	2019/03/19	2020/03/30	25.00
62409	SG Issuer - CSOP A50 ETF R Bull CBBC Mar 2020 G	100,000,000	2019/03/19	2020/03/30	25.00
62419	SG Issuer - HKEX R Bull CBBC Jul 2019 K	50,000,000	2019/03/19	2019/07/05	12.50
62428	SG Issuer - HKEX R Bull CBBC Jul 2019 R	50,000,000	2019/03/19	2019/07/18	12.50
62405	SG Issuer - HKEX R Bear CBBC Jul 2019 R	50,000,000	2019/03/19	2019/07/05	12.50
62408	SG Issuer - HKEX R Bear CBBC Jul 2019 S	50,000,000	2019/03/19	2019/07/18	15.50
62389	SG Issuer - HSI R Bull CBBC May 2021 N	200,000,000	2019/03/19	2021/05/28	50.00
62399	SG Issuer - HSI R Bull CBBC Jul 2021 P	200,000,000	2019/03/19	2021/07/29	50.00
62401	SG Issuer - HSI R Bull CBBC Jul 2021 Q	200,000,000	2019/03/19	2021/07/29	50.00
62402	SG Issuer - HSI R Bull CBBC Jul 2021 R	200,000,000	2019/03/19	2021/07/29	50.00
62308	SG Issuer - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/03/19	2019/07/30	50.00

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62355	SG Issuer - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/03/19	2019/07/30	50.00
62310	SG Issuer - HSI R Bear CBBC Aug 2019 B	200,000,000	2019/03/19	2019/08/29	50.00
62312	SG Issuer - HSI R Bear CBBC Aug 2019 F	200,000,000	2019/03/19	2019/08/29	50.00
62356	SG Issuer - HSI R Bear CBBC Aug 2019 J	200,000,000	2019/03/19	2019/08/29	50.00
62321	SG Issuer - HSI R Bear CBBC Sep 2019 X	200,000,000	2019/03/19	2019/09/27	50.00
62360	SG Issuer - HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/03/19	2019/09/27	50.00
62364	SG Issuer - HSI R Bear CBBC Oct 2019 J	200,000,000	2019/03/19	2019/10/30	50.00
62349	SG Issuer - Ping An R Bull CBBC Oct 2019 T	100,000,000	2019/03/19	2019/10/18	25.00
62299	SG Issuer - Ping An R Bear CBBC Aug 2019 J	100,000,000	2019/03/19	2019/08/09	25.00
62432	SG Issuer - Tencent R Bull CBBC Jun 2019 K	80,000,000	2019/03/19	2019/06/26	20.00
62436	SG Issuer - Tencent R Bull CBBC Jul 2019 R	80,000,000	2019/03/19	2019/07/19	20.00
62300	SG Issuer - Tencent R Bear CBBC Nov 2019 S	80,000,000	2019/03/19	2019/11/29	20.00
62305	SG Issuer - Tencent R Bear CBBC Dec 2019 M	80,000,000	2019/03/19	2019/12/12	20.00
61958	UBS AG - HSI R Bull CBBC Oct 2020 T	200,000,000	2019/03/19	2020/10/29	50.00
61956	UBS AG - HSI R Bull CBBC Feb 2021 J	200,000,000	2019/03/19	2021/02/25	50.00
61952	UBS AG - HSI R Bear CBBC Jul 2019 J	200,000,000	2019/03/19	2019/07/30	50.00
61955	UBS AG - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/03/19	2019/07/30	50.00
61970	UBS AG - HSI R Bear CBBC Aug 2019 B	200,000,000	2019/03/19	2019/08/29	50.00
61961	UBS AG - Tencent R Bull CBBC Oct 2019 B	200,000,000	2019/03/19	2019/10/31	50.00
61966	UBS AG - Tencent R Bull CBBC Nov 2019 A	200,000,000	2019/03/19	2019/11/29	50.00
62207	Bank Vontobel - Geely Auto R Bear CBBC Dec 2019 A	40,000,000	2019/03/19	2019/12/20	10.00
62214	Bank Vontobel - HSI N Bull CBBC Mar 2021 G	80,000,000	2019/03/19	2021/03/30	20.00
62200	Bank Vontobel - HSI R Bull CBBC Mar 2021 E	80,000,000	2019/03/19	2021/03/30	20.00
62202	Bank Vontobel - HSI R Bull CBBC Mar 2021 F	80,000,000	2019/03/19	2021/03/30	20.00
62190	Bank Vontobel - HSI R Bear CBBC Dec 2019 H	80,000,000	2019/03/19	2019/12/30	20.00
62208	Bank Vontobel - Meituan Dianping R Bull CBBC Dec 2019 A	40,000,000	2019/03/19	2019/12/06	10.00
62210	Bank Vontobel - Meituan Dianping R Bull CBBC Dec 2019 B	40,000,000	2019/03/19	2019/12/20	11.24
62718	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 W	250,000,000	2019/03/20	2021/02/25	62.50
62720	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 X	250,000,000	2019/03/20	2021/02/25	62.50
62719	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 L	250,000,000	2019/03/20	2019/07/30	62.50
62833	Credit Suisse AG - HSBC R Bull CBBC Dec 2019 C	70,000,000	2019/03/20	2019/12/30	17.50
62826	Credit Suisse AG - HSI R Bull CBBC Mar 2021 F	200,000,000	2019/03/20	2021/03/30	50.00
62822	Credit Suisse AG - HSI R Bull CBBC Mar 2021 Q	200,000,000	2019/03/20	2021/03/30	50.00
62823	Credit Suisse AG - HSI R Bull CBBC Mar 2021 S	200,000,000	2019/03/20	2021/03/30	50.00
62829	Credit Suisse AG - HSI R Bull CBBC Apr 2021 N	200,000,000	2019/03/20	2021/04/29	50.00
62831	Credit Suisse AG - HSI R Bear CBBC Aug 2019 G	200,000,000	2019/03/20	2019/08/29	50.00
62834	Credit Suisse AG - Ping An R Bull CBBC Jan 2020 C	80,000,000	2019/03/20	2020/01/24	20.00
62838	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 D	200,000,000	2019/03/20	2019/12/20	50.00
62494	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/03/20	2021/10/28	50.00
62513	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/03/20	2021/10/28	50.00
62543	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 E	200,000,000	2019/03/20	2021/10/28	50.00
62554	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 F	200,000,000	2019/03/20	2021/10/28	50.00
62568	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 G	200,000,000	2019/03/20	2021/10/28	50.00
62566	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/03/20	2019/07/30	50.00
62561	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 E	100,000,000	2019/03/20	2019/12/31	25.00

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62599	HK Bank - China Mobile R Bull CBBC Oct 2019 D	60,000,000	2019/03/20	2019/10/21	15.00
62590	HK Bank - HKEX R Bear CBBC Dec 2019 A	100,000,000	2019/03/20	2019/12/02	25.00
62578	HK Bank - HSI R Bull CBBC Jul 2020 J	120,000,000	2019/03/20	2020/07/30	30.00
62583	HK Bank - HSI R Bear CBBC Jul 2019 L	80,000,000	2019/03/20	2019/07/30	20.00
62587	HK Bank - Meituan Dianping R Bull CBBC Oct 2019 B	40,000,000	2019/03/20	2019/10/28	10.00
62603	HK Bank - Meituan Dianping R Bull CBBC Nov 2019 A	40,000,000	2019/03/20	2019/11/18	10.00
62589	HK Bank - Ping An R Bull CBBC Oct 2019 G	80,000,000	2019/03/20	2019/10/28	20.00
62629	Haitong Int'l Sec - HSI R Bull CBBC Dec 2019 B	120,000,000	2019/03/20	2019/12/30	30.00
62695	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 T	120,000,000	2019/03/20	2021/01/28	30.00
62708	Haitong Int'l Sec - HSI R Bull CBBC Apr 2021 B	120,000,000	2019/03/20	2021/04/29	30.00
62713	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 J	150,000,000	2019/03/20	2019/07/30	37.50
62714	Haitong Int'l Sec - HSI R Bear CBBC Sep 2019 B	120,000,000	2019/03/20	2019/09/27	30.00
62641	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Sep 2019 A	90,000,000	2019/03/20	2019/09/09	22.50
62643	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Sep 2019 B	90,000,000	2019/03/20	2019/09/09	22.50
62638	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2019 A	50,000,000	2019/03/20	2019/10/04	12.50
62682	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 H	40,000,000	2019/03/20	2019/07/19	10.00
62656	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 M	200,000,000	2019/03/20	2021/09/29	50.00
62665	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 N	200,000,000	2019/03/20	2021/09/29	50.00
62669	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 O	200,000,000	2019/03/20	2021/09/29	50.00
62683	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/03/20	2019/07/30	50.00
62676	J P Morgan SP BV - Tencent R Bull CBBC Jul 2019 D	50,000,000	2019/03/20	2019/07/19	12.50
62678	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 H	50,000,000	2019/03/20	2019/07/19	12.50
62569	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 H	19,900,000	2019/03/20	2019/07/19	10.03
62572	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 I	9,400,000	2019/03/20	2019/11/29	10.11
62753	SG Issuer - CM Bank R Bear CBBC Dec 2019 B	100,000,000	2019/03/20	2019/12/30	25.00
62812	SG Issuer - Sinopec Corp R Bull CBBC Sep 2019 A	40,000,000	2019/03/20	2019/09/27	10.00
62756	SG Issuer - Geely Auto R Bull CBBC Oct 2019 C	40,000,000	2019/03/20	2019/10/16	10.00
62813	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 H	100,000,000	2019/03/20	2019/09/27	25.00
62724	SG Issuer - HSI R Bull CBBC Apr 2021 K	200,000,000	2019/03/20	2021/04/29	50.00
62788	SG Issuer - HSI R Bull CBBC Apr 2021 Q	200,000,000	2019/03/20	2021/04/29	50.00
62727	SG Issuer - HSI R Bull CBBC May 2021 R	200,000,000	2019/03/20	2021/05/28	50.00
62790	SG Issuer - HSI R Bull CBBC Jul 2021 S	200,000,000	2019/03/20	2021/07/29	50.00
62791	SG Issuer - HSI R Bull CBBC Jul 2021 T	200,000,000	2019/03/20	2021/07/29	50.00
62768	SG Issuer - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/03/20	2019/07/30	50.00
62787	SG Issuer - HSI R Bear CBBC Sep 2019 D	200,000,000	2019/03/20	2019/09/27	50.00
62772	SG Issuer - HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/03/20	2019/09/27	50.00
62769	SG Issuer - HSI R Bear CBBC Nov 2019 B	400,000,000	2019/03/20	2019/11/28	100.00
62815	SG Issuer - Meituan Dianping R Bull CBBC Dec 2019 A	60,000,000	2019/03/20	2019/12/16	15.00
62817	SG Issuer - Meituan Dianping R Bull CBBC Dec 2019 B	60,000,000	2019/03/20	2019/12/30	15.00
62814	SG Issuer - Ping An R Bull CBBC Sep 2019 E	100,000,000	2019/03/20	2019/09/27	25.00
62728	SG Issuer - Ping An R Bear CBBC Jul 2019 J	100,000,000	2019/03/20	2019/07/23	25.00
62729	SG Issuer - Sunny Optical R Bull CBBC Jul 2019 H	40,000,000	2019/03/20	2019/07/17	10.00
62765	SG Issuer - Sunny Optical R Bear CBBC Sep 2019 C	40,000,000	2019/03/20	2019/09/11	10.00
62742	SG Issuer - Tencent R Bull CBBC Jul 2019 S	80,000,000	2019/03/20	2019/07/17	20.00
62743	SG Issuer - Tencent R Bull CBBC Aug 2019 G	80,000,000	2019/03/20	2019/08/02	20.00

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62767	SG Issuer - Tencent R Bear CBBC Dec 2019 N	80,000,000	2019/03/20	2019/12/16	20.00
62612	UBS AG - HSI R Bull CBBC Oct 2020 Z	200,000,000	2019/03/20	2020/10/29	50.00
62611	UBS AG - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/03/20	2021/02/25	50.00
62615	UBS AG - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/03/20	2019/07/30	50.00
62860	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 M	150,000,000	2019/03/21	2020/09/29	37.80
62922	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 A	240,000,000	2019/03/21	2021/02/25	60.00
62861	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 Y	250,000,000	2019/03/21	2021/02/25	62.50
62862	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 Z	250,000,000	2019/03/21	2021/02/25	62.50
62921	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 R	240,000,000	2019/03/21	2019/07/30	60.00
62908	Credit Suisse AG - China Mobile R Bull CBBC Dec 2019 E	70,000,000	2019/03/21	2019/12/30	17.50
62907	Credit Suisse AG - Geely Auto R Bear CBBC Jan 2020 A	150,000,000	2019/03/21	2020/01/30	37.50
62918	Credit Suisse AG - HSI R Bull CBBC Apr 2021 O	200,000,000	2019/03/21	2021/04/29	50.00
62919	Credit Suisse AG - HSI R Bull CBBC Apr 2021 P	200,000,000	2019/03/21	2021/04/29	50.00
62906	Credit Suisse AG - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/03/21	2019/07/30	50.00
62916	Credit Suisse AG - Meituan Dianping R Bull CBBC Dec 2019 B	40,000,000	2019/03/21	2019/12/30	10.00
62909	Credit Suisse AG - Ping An R Bull CBBC Jan 2020 D	80,000,000	2019/03/21	2020/01/30	20.00
62982	Goldman Sachs SP (Asia) - AIA R Bull CBBC Nov 2019 Q	100,000,000	2019/03/21	2019/11/15	25.00
62994	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2019N	100,000,000	2019/03/21	2019/11/25	25.00
62976	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 E	100,000,000	2019/03/21	2019/12/30	25.00
62856	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 H	200,000,000	2019/03/21	2021/10/28	50.00
62882	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 I	200,000,000	2019/03/21	2021/10/28	50.00
62883	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 J	200,000,000	2019/03/21	2021/10/28	50.00
62851	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/03/21	2019/07/30	50.00
62974	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 X	100,000,000	2019/03/21	2019/12/31	25.00
62853	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 I	100,000,000	2019/03/21	2019/12/31	25.00
62963	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Oct 2021 A	100,000,000	2019/03/21	2021/10/28	25.00
62841	HK Bank - China Mobile R Bull CBBC Nov 2019 A	60,000,000	2019/03/21	2019/11/04	15.00
62849	HK Bank - CC Bank R Bull CBBC Oct 2019 C	75,000,000	2019/03/21	2019/10/28	18.75
62844	HK Bank - CC Bank R Bear CBBC Aug 2019 A	50,000,000	2019/03/21	2019/08/26	12.50
62839	HK Bank - Ping An R Bull CBBC Aug 2019 F	80,000,000	2019/03/21	2019/08/19	20.00
62850	HK Bank - SUNAC R Bear CBBC Sep 2019 A	40,000,000	2019/03/21	2019/09/30	10.00
62937	Haitong Int'l Sec - AIA R Bull CBBC Aug 2019 C	60,000,000	2019/03/21	2019/08/21	15.00
62933	Haitong Int'l Sec - China Mobile R Bull CBBC Aug 2019 B	50,000,000	2019/03/21	2019/08/08	12.50
62934	Haitong Int'l Sec - China Mobile R Bull CBBC Aug 2019 C	50,000,000	2019/03/21	2019/08/08	12.50
62925	Haitong Int'l Sec - HSI R Bull CBBC Mar 2021 A	120,000,000	2019/03/21	2021/03/30	30.00
62928	Haitong Int'l Sec - HSI R Bull CBBC Mar 2021 B	120,000,000	2019/03/21	2021/03/30	30.00
62929	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 K	120,000,000	2019/03/21	2019/07/30	30.00
62932	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 L	120,000,000	2019/03/21	2019/07/30	30.00
62943	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Sep 2019 C	90,000,000	2019/03/21	2019/09/11	22.50
62938	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2019 B	50,000,000	2019/03/21	2019/10/15	12.50
62945	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2019 E	50,000,000	2019/03/21	2019/08/15	12.50
62950	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2019 F	50,000,000	2019/03/21	2019/08/15	12.50
62923	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2019 H	40,000,000	2019/03/21	2019/10/31	10.00
62885	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 P	200,000,000	2019/03/21	2021/09/29	50.00
62899	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/03/21	2021/09/29	50.00

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62888	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/21	2019/06/27	50.00
62893	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/21	2019/07/30	50.00
62891	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/03/21	2019/07/30	50.00
62905	J P Morgan SP BV - Ping An R Bull CBBC Jul 2019 E	100,000,000	2019/03/21	2019/07/19	25.00
62869	Macquarie Bank Ltd. - Ping An R Bull CBBC Jan 2020 A	20,000,000	2019/03/21	2020/01/31	10.10
62866	Macquarie Bank Ltd. - Ping An R Bear CBBC Jan 2020 A	15,500,000	2019/03/21	2020/01/31	10.09
63097	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 I	100,000,000	2019/03/21	2020/02/27	25.00
63006	SG Issuer - HKEX R Bull CBBC Jul 2019 T	50,000,000	2019/03/21	2019/07/15	12.50
63058	SG Issuer - HKEX R Bear CBBC Jul 2019 T	50,000,000	2019/03/21	2019/07/15	12.50
63048	SG Issuer - HSI R Bull CBBC May 2021 U	200,000,000	2019/03/21	2021/05/28	50.00
63017	SG Issuer - HSI R Bull CBBC Jul 2021 U	200,000,000	2019/03/21	2021/07/29	50.00
63055	SG Issuer - HSI R Bull CBBC Jul 2021 V	200,000,000	2019/03/21	2021/07/29	50.00
63020	SG Issuer - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/03/21	2019/07/30	50.00
63024	SG Issuer - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/03/21	2019/07/30	50.00
63022	SG Issuer - HSI R Bear CBBC Sep 2019 G	200,000,000	2019/03/21	2019/09/27	50.00
63059	SG Issuer - Ping An R Bull CBBC Nov 2019 N	100,000,000	2019/03/21	2019/11/06	25.00
63060	SG Issuer - Tencent R Bull CBBC Jul 2019 T	80,000,000	2019/03/21	2019/07/15	20.00
63013	SG Issuer - Tencent R Bull CBBC Aug 2019 H	80,000,000	2019/03/21	2019/08/06	20.00
63063	SG Issuer - Tencent R Bear CBBC Nov 2019 T	80,000,000	2019/03/21	2019/11/28	20.00
62872	UBS AG - HSI R Bull CBBC May 2021 S	200,000,000	2019/03/21	2021/05/28	50.00
62873	UBS AG - HSI R Bear CBBC Aug 2019 C	200,000,000	2019/03/21	2019/08/29	50.00
62880	UBS AG - HSI R Bear CBBC Aug 2019 D	200,000,000	2019/03/21	2019/08/29	50.00
62961	Bank Vontobel - HSI R Bull CBBC Dec 2019 A	80,000,000	2019/03/21	2019/12/30	20.00
62957	Bank Vontobel - HSI R Bear CBBC Dec 2019 I	80,000,000	2019/03/21	2019/12/30	20.00
62959	Bank Vontobel - HSI R Bear CBBC Dec 2019 J	80,000,000	2019/03/21	2019/12/30	20.00
63255	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 N	150,000,000	2019/03/22	2020/09/29	37.50
63256	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 L	150,000,000	2019/03/22	2019/06/27	37.50
63135	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 B	250,000,000	2019/03/22	2021/02/25	62.50
63137	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 C	250,000,000	2019/03/22	2021/02/25	62.50
63504	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 D	250,000,000	2019/03/22	2021/02/25	62.50
63523	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 E	250,000,000	2019/03/22	2021/02/25	62.50
63527	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 F	250,000,000	2019/03/22	2021/02/25	62.50
63530	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 G	250,000,000	2019/03/22	2021/02/25	62.50
63110	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 G	250,000,000	2019/03/22	2019/07/30	62.50
63112	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 H	250,000,000	2019/03/22	2019/07/30	62.50
63118	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 M	250,000,000	2019/03/22	2019/07/30	62.50
63121	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 N	250,000,000	2019/03/22	2019/07/30	62.50
63125	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 O	240,000,000	2019/03/22	2019/07/30	61.20
63127	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 P	250,000,000	2019/03/22	2019/07/30	68.75
63128	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 Q	250,000,000	2019/03/22	2019/07/30	81.25
63131	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 S	250,000,000	2019/03/22	2019/07/30	62.50
63105	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 T	250,000,000	2019/03/22	2019/07/30	62.50
63535	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 U	240,000,000	2019/03/22	2019/07/30	60.00
63543	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jul 2019 Z	50,000,000	2019/03/22	2019/07/30	12.50
63538	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 V	50,000,000	2019/03/22	2019/10/30	22.50

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63542	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 W	50,000,000	2019/03/22	2019/10/30	27.50
63544	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 X	50,000,000	2019/03/22	2019/10/30	12.50
63539	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2019 Y	50,000,000	2019/03/22	2019/11/28	20.00
63691	Credit Suisse AG - AIA R Bull CBBC Dec 2019 K	70,000,000	2019/03/22	2019/12/30	17.50
63705	Credit Suisse AG - CM Bank R Bull CBBC Dec 2019 K	70,000,000	2019/03/22	2019/12/20	17.50
63644	Credit Suisse AG - HSI R Bull CBBC Feb 2021 V	200,000,000	2019/03/22	2021/02/25	50.00
63637	Credit Suisse AG - HSI R Bull CBBC Mar 2021 H	200,000,000	2019/03/22	2021/03/30	50.00
63643	Credit Suisse AG - HSI R Bull CBBC Mar 2021 L	200,000,000	2019/03/22	2021/03/30	50.00
63651	Credit Suisse AG - HSI R Bull CBBC Mar 2021 O	200,000,000	2019/03/22	2021/03/30	50.00
63661	Credit Suisse AG - HSI R Bull CBBC Apr 2021 Q	300,000,000	2019/03/22	2021/04/29	75.00
63619	Credit Suisse AG - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/22	2019/07/30	50.00
63620	Credit Suisse AG - HSI R Bear CBBC Aug 2019 R	200,000,000	2019/03/22	2019/08/29	50.00
63626	Credit Suisse AG - HSI R Bear CBBC Aug 2019 S	200,000,000	2019/03/22	2019/08/29	50.00
63701	Credit Suisse AG - Ping An R Bull CBBC Dec 2019 S	80,000,000	2019/03/22	2019/12/30	20.00
63708	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 Q	200,000,000	2019/03/22	2019/12/19	50.00
63266	Bank of East Asia - HKEX R Bull CBBC Oct 2019 B	25,000,000	2019/03/22	2019/10/25	10.00
63272	Bank of East Asia - HKEX R Bear CBBC Dec 2019 D	100,000,000	2019/03/22	2019/12/31	25.00
63283	Bank of East Asia - Ping An R Bull CBBC Aug 2019 O	50,000,000	2019/03/22	2019/08/22	12.50
63284	Bank of East Asia - Ping An R Bull CBBC Aug 2019 P	50,000,000	2019/03/22	2019/08/22	12.50
63288	Bank of East Asia - Ping An R Bull CBBC Aug 2019 Q	50,000,000	2019/03/22	2019/08/22	12.50
63275	Bank of East Asia - Ping An R Bear CBBC Jul 2019 B	100,000,000	2019/03/22	2019/07/08	25.00
63276	Bank of East Asia - Ping An R Bear CBBC Jul 2019 C	100,000,000	2019/03/22	2019/07/08	25.00
63731	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2019 J	100,000,000	2019/03/22	2019/12/30	25.00
63730	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2020 A	100,000,000	2019/03/22	2020/12/14	25.00
63202	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2019 J	100,000,000	2019/03/22	2019/12/30	25.00
63739	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Dec 2019 E	100,000,000	2019/03/22	2019/12/30	36.30
63196	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 K	200,000,000	2019/03/22	2021/10/28	50.00
63197	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 L	200,000,000	2019/03/22	2021/10/28	50.00
63140	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/03/22	2019/06/27	50.00
63138	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 Z	200,000,000	2019/03/22	2019/06/27	50.00
63201	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 Y	100,000,000	2019/03/22	2019/12/31	25.00
63719	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 Z	100,000,000	2019/03/22	2019/12/31	25.00
63722	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Jul 2019 C	100,000,000	2019/03/22	2019/07/25	25.00
63709	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2019 J	100,000,000	2019/03/22	2019/12/31	25.00
63147	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 P	100,000,000	2019/03/22	2019/06/28	25.00
63176	HK Bank - China Mobile R Bear CBBC Sep 2019 A	40,000,000	2019/03/22	2019/09/30	10.00
63184	HK Bank - CNOOC R Bull CBBC Oct 2019 B	50,000,000	2019/03/22	2019/10/14	12.50
63174	HK Bank - HSCEI R Bull CBBC Jul 2020 C	80,000,000	2019/03/22	2020/07/30	20.00
63171	HK Bank - HSI R Bull CBBC Feb 2020 J	80,000,000	2019/03/22	2020/02/27	20.00
63153	HK Bank - HSI R Bear CBBC Jul 2019 M	120,000,000	2019/03/22	2019/07/30	30.00
63183	HK Bank - Ping An R Bull CBBC Nov 2019 B	80,000,000	2019/03/22	2019/11/11	20.00
63157	HK Bank - Ping An R Bear CBBC Aug 2019 D	80,000,000	2019/03/22	2019/08/12	20.00
63155	HK Bank - Tencent R Bear CBBC Sep 2019 J	50,000,000	2019/03/22	2019/09/09	12.50
63428	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC Sep 2019 B	40,000,000	2019/03/22	2019/09/12	10.00
63431	Haitong Int'l Sec - A50 R Bull CBBC Sep 2019 A	40,000,000	2019/03/22	2019/09/12	10.00

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63441	Haitong Int'l Sec - A50 R Bull CBBC Sep 2019 B	40,000,000	2019/03/22	2019/09/11	10.00
63494	Haitong Int'l Sec - HSI R Bull CBBC Mar 2021 C	120,000,000	2019/03/22	2021/03/30	30.00
63482	Haitong Int'l Sec - HSI R Bull CBBC Apr 2021 C	120,000,000	2019/03/22	2021/04/29	30.00
63471	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 M	120,000,000	2019/03/22	2019/07/30	30.00
63399	Haitong Int'l Sec - HSI R Bear CBBC Nov 2020 A	120,000,000	2019/03/22	2020/11/27	30.00
63421	Haitong Int'l Sec - HSI R Bear CBBC Nov 2020 B	120,000,000	2019/03/22	2020/11/27	30.00
63448	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Sep 2019 D	80,000,000	2019/03/22	2019/09/13	20.00
63462	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Sep 2019 E	80,000,000	2019/03/22	2019/09/11	20.00
63446	Haitong Int'l Sec - Ping An R Bull CBBC Nov 2019 A	50,000,000	2019/03/22	2019/11/11	12.50
63423	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2019 B	40,000,000	2019/03/22	2019/10/24	10.00
63442	Haitong Int'l Sec - Tencent R Bear CBBC Dec 2019 B	40,000,000	2019/03/22	2019/12/31	10.00
63319	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2019 A	60,000,000	2019/03/22	2019/07/12	15.00
63320	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2019 B	60,000,000	2019/03/22	2019/07/12	15.00
63338	J P Morgan SP BV - CSOP A50 ETF R Bear CBBC Jul 2019 A	60,000,000	2019/03/22	2019/07/12	15.00
63345	J P Morgan SP BV - CSOP A50 ETF R Bear CBBC Jul 2019 B	60,000,000	2019/03/22	2019/07/12	15.00
63348	J P Morgan SP BV - A50 China R Bull CBBC Jul 2019 A	60,000,000	2019/03/22	2019/07/12	15.00
63349	J P Morgan SP BV - A50 China R Bull CBBC Jul 2019 B	60,000,000	2019/03/22	2019/07/12	15.00
63350	J P Morgan SP BV - A50 China R Bear CBBC Jul 2019 A	60,000,000	2019/03/22	2019/07/12	15.00
63352	J P Morgan SP BV - A50 China R Bear CBBC Jul 2019 B	60,000,000	2019/03/22	2019/07/12	15.00
63316	J P Morgan SP BV - HKEX R Bull CBBC Oct 2019 A	200,000,000	2019/03/22	2019/10/11	50.00
63318	J P Morgan SP BV - HKEX R Bear CBBC Oct 2019 A	200,000,000	2019/03/22	2019/10/11	50.00
63363	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 R	200,000,000	2019/03/22	2021/09/29	50.00
63364	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 S	200,000,000	2019/03/22	2021/09/29	50.00
63369	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 T	200,000,000	2019/03/22	2021/09/29	50.00
63373	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 U	200,000,000	2019/03/22	2021/09/29	50.00
63356	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/03/22	2019/06/27	50.00
63374	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/03/22	2019/06/27	50.00
63381	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 O	200,000,000	2019/03/22	2019/06/27	50.00
63382	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/03/22	2019/06/27	50.00
63289	J P Morgan SP BV - Tencent R Bull CBBC Aug 2019 A	250,000,000	2019/03/22	2019/08/09	62.50
63292	J P Morgan SP BV - Tencent R Bull CBBC Aug 2019 B	250,000,000	2019/03/22	2019/08/09	62.50
63392	J P Morgan SP BV - Tencent R Bull CBBC Sep 2019 A	250,000,000	2019/03/22	2019/09/13	62.50
63293	J P Morgan SP BV - Tencent R Bear CBBC Aug 2019 A	250,000,000	2019/03/22	2019/08/09	62.50
63303	J P Morgan SP BV - Tencent R Bear CBBC Aug 2019 B	250,000,000	2019/03/22	2019/08/09	62.50
63307	J P Morgan SP BV - Tencent R Bear CBBC Aug 2019 C	250,000,000	2019/03/22	2019/08/09	62.50
63148	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 I	10,400,000	2019/03/22	2019/07/15	10.08
63149	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 J	4,700,000	2019/03/22	2019/11/28	10.06
63574	SG Issuer - AIA R Bull CBBC Dec 2019 C	60,000,000	2019/03/22	2019/12/30	15.00
63604	SG Issuer - China Mobile R Bull CBBC Oct 2019 E	100,000,000	2019/03/22	2019/10/17	25.00
63580	SG Issuer - HSI R Bull CBBC May 2021 V	200,000,000	2019/03/22	2021/05/28	50.00
63592	SG Issuer - HSI R Bull CBBC May 2021 W	200,000,000	2019/03/22	2021/05/28	50.00
63597	SG Issuer - HSI R Bull CBBC May 2021 X	200,000,000	2019/03/22	2021/05/28	50.00
63548	SG Issuer - HSI R Bear CBBC Jul 2019 E	200,000,000	2019/03/22	2019/07/30	50.00
63547	SG Issuer - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/03/22	2019/07/30	50.00
63581	SG Issuer - HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/03/22	2019/07/30	50.00

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63554	SG Issuer - HSI R Bear CBBC Aug 2019 U	200,000,000	2019/03/22	2019/08/29	50.00
63610	SG Issuer - Ping An R Bull CBBC Nov 2019 O	100,000,000	2019/03/22	2019/11/08	25.00
63617	SG Issuer - Ping An R Bull CBBC Nov 2019 P	100,000,000	2019/03/22	2019/11/15	25.00
63606	SG Issuer - Ping An R Bear CBBC Aug 2019 K	100,000,000	2019/03/22	2019/08/07	25.00
63575	SG Issuer - Tencent R Bull CBBC Jul 2019 U	80,000,000	2019/03/22	2019/07/10	20.00
63577	SG Issuer - Tencent R Bull CBBC Jul 2019 V	80,000,000	2019/03/22	2019/07/12	20.00
63562	SG Issuer - Tencent R Bear CBBC Nov 2019 U	80,000,000	2019/03/22	2019/11/22	20.00
63571	SG Issuer - Tencent R Bear CBBC Nov 2019 V	80,000,000	2019/03/22	2019/11/28	20.00
63249	UBS AG - HKEX R Bull CBBC Nov 2019 C	50,000,000	2019/03/22	2019/11/11	12.50
63251	UBS AG - HKEX R Bull CBBC Dec 2019 C	200,000,000	2019/03/22	2019/12/23	50.00
63224	UBS AG - HSI R Bull CBBC Oct 2020 L	200,000,000	2019/03/22	2020/10/29	50.00
63212	UBS AG - HSI R Bull CBBC May 2021 T	200,000,000	2019/03/22	2021/05/28	50.00
63210	UBS AG - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/22	2019/06/27	50.00
63206	UBS AG - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/03/22	2019/06/27	50.00
63208	UBS AG - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/03/22	2019/06/27	50.00
63211	UBS AG - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/03/22	2019/06/27	50.00
63244	UBS AG - Ping An R Bull CBBC Dec 2019 A	100,000,000	2019/03/22	2019/12/09	25.00
63227	UBS AG - Tencent R Bull CBBC Jun 2019 B	40,000,000	2019/03/22	2019/06/26	10.00
63239	UBS AG - Tencent R Bear CBBC Jun 2019 Z	40,000,000	2019/03/22	2019/06/26	10.00
63243	UBS AG - Tencent R Bear CBBC Oct 2019 B	200,000,000	2019/03/22	2019/10/28	50.00
63756	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 O	150,000,000	2019/03/25	2020/09/29	37.95
63768	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 P	150,000,000	2019/03/25	2020/09/29	38.40
63776	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 M	150,000,000	2019/03/25	2019/06/27	38.25
63781	BOCI Asia Ltd. - HSI R Bear CBBC Jun 2019 N	150,000,000	2019/03/25	2019/06/27	38.10
63744	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 H	250,000,000	2019/03/25	2021/02/25	62.50
63891	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 I	250,000,000	2019/03/25	2021/02/25	62.50
63898	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 K	250,000,000	2019/03/25	2021/02/25	62.50
63918	BNP Paribas Issuance B.V. - HSI R Bull CBBC Feb 2021 L	250,000,000	2019/03/25	2021/02/25	62.50
63742	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 V	250,000,000	2019/03/25	2019/07/30	62.50
63816	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 W	250,000,000	2019/03/25	2019/07/30	62.50
63910	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 X	240,000,000	2019/03/25	2019/07/30	60.00
64181	Credit Suisse AG - AIA R Bull CBBC Jan 2020 A	70,000,000	2019/03/25	2020/01/30	17.50
64171	Credit Suisse AG - China Life R Bull CBBC Dec 2019 I	120,000,000	2019/03/25	2019/12/30	30.00
64186	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2019 G	50,000,000	2019/03/25	2019/11/28	12.50
64187	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2020 C	150,000,000	2019/03/25	2020/02/27	37.50
64230	Credit Suisse AG - A50 China R Bull CBBC Feb 2020 C	120,000,000	2019/03/25	2020/02/27	30.00
64180	Credit Suisse AG - HKEX R Bull CBBC Nov 2019 B	200,000,000	2019/03/25	2019/11/29	50.00
64211	Credit Suisse AG - HSI R Bull CBBC Mar 2021 P	200,000,000	2019/03/25	2021/03/30	50.00
64222	Credit Suisse AG - HSI R Bull CBBC Apr 2021 R	200,000,000	2019/03/25	2021/04/29	50.00
64224	Credit Suisse AG - HSI R Bull CBBC Apr 2021 S	200,000,000	2019/03/25	2021/04/29	50.00
64228	Credit Suisse AG - HSI R Bull CBBC Apr 2021 T	300,000,000	2019/03/25	2021/04/29	75.00
64136	Credit Suisse AG - HSI R Bear CBBC Jul 2019 A	200,000,000	2019/03/25	2019/07/30	50.00
64139	Credit Suisse AG - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/03/25	2019/07/30	50.00
64159	Credit Suisse AG - HSI R Bear CBBC Aug 2019 T	200,000,000	2019/03/25	2019/08/29	50.00
64191	Credit Suisse AG - HSI R Bear CBBC Aug 2019 U	200,000,000	2019/03/25	2019/08/29	50.00

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64193	Credit Suisse AG - HSI R Bear CBBC Oct 2019 G	200,000,000	2019/03/25	2019/10/30	50.00
64198	Credit Suisse AG - HSI R Bear CBBC Oct 2019 H	200,000,000	2019/03/25	2019/10/30	50.00
64176	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 R	200,000,000	2019/03/25	2019/11/29	50.00
64177	Credit Suisse AG - Tencent R Bull CBBC Nov 2019 S	50,000,000	2019/03/25	2019/11/28	12.50
64161	Credit Suisse AG - Tencent R Bear CBBC Nov 2019 Q	200,000,000	2019/03/25	2019/11/29	50.00
64069	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Jun 2019C	100,000,000	2019/03/25	2019/06/28	25.00
64077	Goldman Sachs SP (Asia) - Galaxy Ent R Bear CBBC Dec 2019 B	80,000,000	2019/03/25	2019/12/30	20.00
64081	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Jun 2019 A	100,000,000	2019/03/25	2019/06/28	25.00
64120	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Jun 2019 B	100,000,000	2019/03/25	2019/06/28	25.00
64071	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 S	200,000,000	2019/03/25	2020/08/28	50.00
64108	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 T	200,000,000	2019/03/25	2020/08/28	50.00
64109	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 U	200,000,000	2019/03/25	2020/08/28	50.00
64111	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 V	200,000,000	2019/03/25	2020/08/28	50.00
63748	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 B	200,000,000	2019/03/25	2019/06/27	50.00
64085	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/03/25	2019/06/27	50.00
64086	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/03/25	2019/06/27	50.00
64096	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/03/25	2019/06/27	50.00
63749	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/03/25	2019/06/27	50.00
63750	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/03/25	2019/06/27	50.00
64070	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 A	100,000,000	2019/03/25	2019/12/30	25.00
63753	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 Z	100,000,000	2019/03/25	2019/06/27	25.00
63813	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2019 D	40,000,000	2019/03/25	2019/09/16	10.00
63810	HK Bank - Evergrande R Bull CBBC Oct 2019 B	40,000,000	2019/03/25	2019/10/28	10.00
63797	HK Bank - A50 China R Bull CBBC Sep 2019 D	40,000,000	2019/03/25	2019/09/16	10.00
63802	HK Bank - HKEX R Bull CBBC Sep 2019 E	40,000,000	2019/03/25	2019/09/26	10.00
63798	HK Bank - HSI R Bull CBBC Jul 2020 K	120,000,000	2019/03/25	2020/07/30	30.00
63787	HK Bank - HSI R Bear CBBC Jul 2019 N	120,000,000	2019/03/25	2019/07/30	30.00
63811	HK Bank - Sunny Optical R Bull CBBC Nov 2019 A	40,000,000	2019/03/25	2019/11/04	10.00
63809	HK Bank - Tencent R Bull CBBC Sep 2019 H	50,000,000	2019/03/25	2019/09/09	12.50
63788	HK Bank - Tencent R Bear CBBC Oct 2019 G	50,000,000	2019/03/25	2019/10/02	12.50
63887	Haitong Int'l Sec - Conch Cement R Bear CBBC Oct 2019 A	100,000,000	2019/03/25	2019/10/30	25.00
63888	Haitong Int'l Sec - AIA R Bear CBBC Jul 2019 B	60,000,000	2019/03/25	2019/07/30	15.00
63889	Haitong Int'l Sec - AIA R Bear CBBC Aug 2019 C	60,000,000	2019/03/25	2019/08/01	15.00
63886	Haitong Int'l Sec - Galaxy Ent R Bear CBBC Aug 2019 A	80,000,000	2019/03/25	2019/08/20	20.00
63945	Haitong Int'l Sec - HKEX R Bull CBBC Jan 2020 A	40,000,000	2019/03/25	2020/01/22	10.00
63931	Haitong Int'l Sec - HSI R Bull CBBC Feb 2021 A	120,000,000	2019/03/25	2021/02/25	30.00
63935	Haitong Int'l Sec - HSI R Bull CBBC Apr 2021 D	120,000,000	2019/03/25	2021/04/29	30.00
63942	Haitong Int'l Sec - HSI R Bull CBBC Apr 2021 E	120,000,000	2019/03/25	2021/04/29	30.00
63874	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 N	120,000,000	2019/03/25	2019/07/30	30.00
63882	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 O	120,000,000	2019/03/25	2019/07/30	30.00
63923	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 P	120,000,000	2019/03/25	2019/07/30	30.00
63929	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 Q	120,000,000	2019/03/25	2019/07/30	30.00
63885	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2019 C	50,000,000	2019/03/25	2019/10/30	12.50
63958	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2019 C	40,000,000	2019/03/25	2019/10/15	10.00
63961	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2019 D	40,000,000	2019/03/25	2019/10/14	10.00

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64240	J P Morgan SP BV - HKEX R Bull CBBC Jul 2019 I	40,000,000	2019/03/25	2019/07/12	10.00
64038	J P Morgan SP BV - HKEX R Bear CBBC Aug 2019 A	200,000,000	2019/03/25	2019/08/09	50.00
64060	J P Morgan SP BV - HKEX R Bear CBBC Aug 2019 B	200,000,000	2019/03/25	2019/08/09	50.00
64018	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 V	200,000,000	2019/03/25	2021/09/29	50.00
64019	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 W	200,000,000	2019/03/25	2021/09/29	50.00
64022	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 X	200,000,000	2019/03/25	2021/09/29	50.00
64024	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/03/25	2021/09/29	50.00
64005	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 L	200,000,000	2019/03/25	2019/06/27	50.00
63995	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/03/25	2019/06/27	50.00
63999	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 V	200,000,000	2019/03/25	2019/06/27	50.00
64002	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/25	2019/06/27	50.00
64010	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/03/25	2019/07/30	50.00
63962	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 L	200,000,000	2019/03/25	2019/07/30	50.00
63963	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/03/25	2019/07/30	50.00
63968	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/03/25	2019/07/30	50.00
63974	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/03/25	2019/07/30	50.00
63983	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/03/25	2019/07/30	50.00
63986	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 R	200,000,000	2019/03/25	2019/07/30	50.00
63991	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/03/25	2019/07/30	76.00
64015	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 T	200,000,000	2019/03/25	2019/07/30	50.00
64061	J P Morgan SP BV - Ping An R Bull CBBC Jul 2019 F	100,000,000	2019/03/25	2019/07/12	25.00
64065	J P Morgan SP BV - Ping An R Bear CBBC Jul 2019 C	100,000,000	2019/03/25	2019/07/12	25.00
64232	J P Morgan SP BV - Tencent R Bull CBBC Jul 2019 E	50,000,000	2019/03/25	2019/07/12	12.50
64027	J P Morgan SP BV - Tencent R Bull CBBC Aug 2019 C	250,000,000	2019/03/25	2019/08/09	62.50
64033	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 I	250,000,000	2019/03/25	2019/07/12	62.50
63786	Macquarie Bank Ltd. - SUNAC R Bull CBBC Dec 2019 A	30,000,000	2019/03/25	2019/12/31	10.05
63784	Macquarie Bank Ltd. - SUNAC R Bear CBBC Dec 2019 A	22,500,000	2019/03/25	2019/12/31	10.10
64303	SG Issuer - Conch Cement R Bull CBBC Dec 2019 B	80,000,000	2019/03/25	2019/12/20	20.00
64319	SG Issuer - China Mobile R Bear CBBC Oct 2019 B	100,000,000	2019/03/25	2019/10/30	25.00
64308	SG Issuer - China Life R Bull CBBC Jul 2019 L	40,000,000	2019/03/25	2019/07/31	10.00
64317	SG Issuer - CM Bank R Bull CBBC Jan 2020 B	100,000,000	2019/03/25	2020/01/30	25.00
64339	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 J	100,000,000	2019/03/25	2020/02/27	25.00
64295	SG Issuer - CSPC Pharma R Bull CBBC Nov 2019 A	40,000,000	2019/03/25	2019/11/22	10.00
64344	SG Issuer - A50 China R Bull CBBC Mar 2020 A	80,000,000	2019/03/25	2020/03/30	20.00
64321	SG Issuer - Galaxy Ent R Bull CBBC Dec 2019 E	100,000,000	2019/03/25	2019/12/30	25.00
64322	SG Issuer - HKEX R Bull CBBC Jul 2019 S	50,000,000	2019/03/25	2019/07/15	12.50
64252	SG Issuer - HKEX R Bear CBBC Jul 2019 U	50,000,000	2019/03/25	2019/07/05	12.50
64396	SG Issuer - HSCEI R Bull CBBC Oct 2020 K	100,000,000	2019/03/25	2020/10/29	25.00
64376	SG Issuer - HSI R Bull CBBC Jan 2021 F	200,000,000	2019/03/25	2021/01/28	50.00
64389	SG Issuer - HSI R Bull CBBC Jan 2021 G	200,000,000	2019/03/25	2021/01/28	50.00
64393	SG Issuer - HSI R Bull CBBC Feb 2021 U	200,000,000	2019/03/25	2021/02/25	50.00
64355	SG Issuer - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/03/25	2019/07/30	50.00
64358	SG Issuer - HSI R Bear CBBC Jul 2019 G	200,000,000	2019/03/25	2019/07/30	50.00
64281	SG Issuer - HSI R Bear CBBC Jul 2019 P	200,000,000	2019/03/25	2019/07/30	50.00
64351	SG Issuer - HSI R Bear CBBC Jul 2019 T	200,000,000	2019/03/25	2019/07/30	50.00

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64286	SG Issuer - HSI R Bear CBBC Aug 2019 C	200,000,000	2019/03/25	2019/08/29	50.00
64294	SG Issuer - HSI R Bear CBBC Aug 2019 I	200,000,000	2019/03/25	2019/08/29	50.00
64299	SG Issuer - HSI R Bear CBBC Aug 2019 K	300,000,000	2019/03/25	2019/08/29	75.00
64360	SG Issuer - HSI R Bear CBBC Aug 2019 N	200,000,000	2019/03/25	2019/08/29	50.00
64366	SG Issuer - HSI R Bear CBBC Oct 2019 K	200,000,000	2019/03/25	2019/10/30	50.00
64324	SG Issuer - ICBC R Bull CBBC Sep 2019 F	100,000,000	2019/03/25	2019/09/27	25.00
64326	SG Issuer - Ping An R Bull CBBC Oct 2019 U	100,000,000	2019/03/25	2019/10/17	25.00
64256	SG Issuer - Ping An R Bear CBBC Aug 2019 L	100,000,000	2019/03/25	2019/08/05	25.00
64329	SG Issuer - Tencent R Bull CBBC Jun 2019 L	80,000,000	2019/03/25	2019/06/26	20.00
64328	SG Issuer - Tencent R Bull CBBC Jun 2019 N	80,000,000	2019/03/25	2019/06/25	20.00
64265	SG Issuer - Tencent R Bear CBBC Oct 2019 J	80,000,000	2019/03/25	2019/10/30	20.00
64270	SG Issuer - Tencent R Bear CBBC Nov 2019 W	80,000,000	2019/03/25	2019/11/13	20.00
64337	SG Issuer - Tencent R Bear CBBC Nov 2019 X	80,000,000	2019/03/25	2019/11/28	20.00
64275	SG Issuer - Tencent R Bear CBBC Dec 2019 O	80,000,000	2019/03/25	2019/12/10	52.80
64277	SG Issuer - Tencent R Bear CBBC Dec 2019 P	80,000,000	2019/03/25	2019/12/17	20.00
64279	SG Issuer - Tencent R Bear CBBC Dec 2019 Q	80,000,000	2019/03/25	2019/12/20	20.00
64280	SG Issuer - Tencent R Bear CBBC Dec 2019 R	80,000,000	2019/03/25	2019/12/30	30.40
63852	UBS AG - HSI R Bull CBBC Oct 2020 N	200,000,000	2019/03/25	2020/10/29	50.00
63853	UBS AG - HSI R Bull CBBC May 2021 U	200,000,000	2019/03/25	2021/05/28	50.00
63824	UBS AG - HSI R Bear CBBC Jun 2019 A	200,000,000	2019/03/25	2019/06/27	50.00
63825	UBS AG - HSI R Bear CBBC Jun 2019 C	200,000,000	2019/03/25	2019/06/27	50.00
63821	UBS AG - HSI R Bear CBBC Jun 2019 H	200,000,000	2019/03/25	2019/06/27	50.00
63829	UBS AG - HSI R Bear CBBC Dec 2019 B	400,000,000	2019/03/25	2019/12/30	100.00
64562	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Nov 2019 M	50,000,000	2019/03/26	2019/11/28	12.50
64570	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 W	100,000,000	2019/03/26	2019/09/27	25.00
64581	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 A	240,000,000	2019/03/26	2021/09/29	60.00
64578	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 Y	240,000,000	2019/03/26	2019/07/30	60.00
64560	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2019 A	200,000,000	2019/03/26	2019/11/28	50.00
64561	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2019 B	200,000,000	2019/03/26	2019/11/28	50.00
64567	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2019 A	50,000,000	2019/03/26	2019/12/30	12.50
64568	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Jan 2020 A	50,000,000	2019/03/26	2020/01/30	12.50
64724	Credit Suisse AG - CSPC Pharma R Bull CBBC Feb 2020 A	150,000,000	2019/03/26	2020/02/27	37.50
64754	Credit Suisse AG - HSI R Bull CBBC Mar 2021 R	200,000,000	2019/03/26	2021/03/30	50.00
64750	Credit Suisse AG - HSI R Bull CBBC Apr 2021 U	200,000,000	2019/03/26	2021/04/29	50.00
64755	Credit Suisse AG - HSI R Bull CBBC Apr 2021 V	200,000,000	2019/03/26	2021/04/29	50.00
64722	Credit Suisse AG - HSI R Bear CBBC Sep 2019 A	200,000,000	2019/03/26	2019/09/27	50.00
64749	Credit Suisse AG - HSI R Bear CBBC Sep 2019 B	200,000,000	2019/03/26	2019/09/27	50.00
64748	Credit Suisse AG - Ping An R Bull CBBC Jan 2020 E	80,000,000	2019/03/26	2020/01/30	20.00
64736	Credit Suisse AG - SUNAC R Bull CBBC Dec 2019 A	80,000,000	2019/03/26	2019/12/30	20.00
64745	Credit Suisse AG - SUNAC R Bull CBBC Dec 2019 B	80,000,000	2019/03/26	2019/12/30	20.00
64725	Credit Suisse AG - Tencent R Bear CBBC Jan 2020 A	200,000,000	2019/03/26	2020/01/30	50.00
64726	Credit Suisse AG - Tencent R Bear CBBC Jan 2020 B	200,000,000	2019/03/26	2020/01/30	50.00
65120	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC Jul 2019A	100,000,000	2019/03/26	2019/07/26	25.00
65100	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Sep 2019 L	100,000,000	2019/03/26	2019/09/26	25.00
64486	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 W	200,000,000	2019/03/26	2020/08/28	50.00

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65087	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 X	200,000,000	2019/03/26	2020/08/28	50.00
65101	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 Y	200,000,000	2019/03/26	2020/08/28	50.00
65119	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 Z	200,000,000	2019/03/26	2020/08/28	50.00
64589	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/26	2019/06/27	50.00
65097	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 S	200,000,000	2019/03/26	2019/06/27	50.00
65098	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 T	200,000,000	2019/03/26	2019/06/27	50.00
65089	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 B	100,000,000	2019/03/26	2019/12/31	25.00
65083	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 C	100,000,000	2019/03/26	2019/06/28	25.00
65086	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 D	100,000,000	2019/03/26	2019/06/28	25.00
64590	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 Q	80,000,000	2019/03/26	2019/06/27	20.00
65088	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 R	80,000,000	2019/03/26	2019/06/28	41.76
64558	HK Bank - China Mobile R Bull CBBC Oct 2019 E	60,000,000	2019/03/26	2019/10/02	15.00
64559	HK Bank - China Mobile R Bear CBBC Oct 2019 A	40,000,000	2019/03/26	2019/10/14	10.00
64554	HK Bank - CKH R Bull CBBC Nov 2019 B	40,000,000	2019/03/26	2019/11/25	10.00
64556	HK Bank - CSPC Pharma R Bull CBBC Oct 2019 A	40,000,000	2019/03/26	2019/10/02	10.00
64545	HK Bank - HKEX R Bear CBBC Sep 2019 E	40,000,000	2019/03/26	2019/09/16	10.00
64499	HK Bank - HSI R Bull CBBC Feb 2020 K	80,000,000	2019/03/26	2020/02/27	20.00
64509	HK Bank - HSI R Bull CBBC Jul 2020 L	120,000,000	2019/03/26	2020/07/30	30.00
64511	HK Bank - HSI R Bear CBBC Jul 2019 O	80,000,000	2019/03/26	2019/07/30	20.00
64551	HK Bank - Meituan Dianping R Bull CBBC Sep 2019 C	40,000,000	2019/03/26	2019/09/30	10.00
64555	HK Bank - SUNAC R Bull CBBC Dec 2019 F	60,000,000	2019/03/26	2019/12/23	15.00
64516	HK Bank - Tencent R Bull CBBC Oct 2019 F	50,000,000	2019/03/26	2019/10/08	12.50
64522	HK Bank - Tencent R Bear CBBC Aug 2019 P	50,000,000	2019/03/26	2019/08/12	12.50
64532	HK Bank - Tencent R Bear CBBC Dec 2019 B	100,000,000	2019/03/26	2019/12/23	25.00
64698	Haitong Int'l Sec - Evergrande R Bull CBBC Mar 2020 A	140,000,000	2019/03/26	2020/03/04	35.00
64704	Haitong Int'l Sec - Evergrande R Bear CBBC Feb 2020 A	140,000,000	2019/03/26	2020/02/04	35.00
64497	Haitong Int'l Sec - HSI R Bull CBBC Aug 2019 A	120,000,000	2019/03/26	2019/08/29	30.00
64667	Haitong Int'l Sec - HSI R Bull CBBC Jan 2021 U	120,000,000	2019/03/26	2021/01/28	30.00
64668	Haitong Int'l Sec - HSI R Bull CBBC Mar 2021 D	120,000,000	2019/03/26	2021/03/30	30.00
64673	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 R	120,000,000	2019/03/26	2019/07/30	30.00
64683	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 S	120,000,000	2019/03/26	2019/07/30	30.00
64687	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 T	120,000,000	2019/03/26	2019/07/30	30.00
64689	Haitong Int'l Sec - SUNAC R Bull CBBC Dec 2019 B	110,000,000	2019/03/26	2019/12/31	27.50
64701	Haitong Int'l Sec - SUNAC R Bear CBBC Nov 2019 B	110,000,000	2019/03/26	2019/11/15	27.50
64718	Haitong Int'l Sec - Sunny Optical R Bull CBBC Aug 2019 E	50,000,000	2019/03/26	2019/08/16	12.50
64719	Haitong Int'l Sec - Sunny Optical R Bear CBBC Dec 2019 A	50,000,000	2019/03/26	2019/12/10	12.50
64659	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 A	200,000,000	2019/03/26	2021/07/29	50.00
64666	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 B	200,000,000	2019/03/26	2021/07/29	50.00
64658	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/03/26	2021/09/29	50.00
64652	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/03/26	2019/06/27	50.00
64483	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2019 W	24,700,000	2019/03/26	2019/06/27	10.00
64467	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 E	10,500,000	2019/03/26	2019/10/30	10.02
64970	SG Issuer - China Mobile R Bear CBBC Oct 2019 C	100,000,000	2019/03/26	2019/10/30	25.00
64878	SG Issuer - CC Bank R Bull CBBC Sep 2019 H	100,000,000	2019/03/26	2019/09/30	25.00
64979	SG Issuer - CKH R Bull CBBC Oct 2019 C	100,000,000	2019/03/26	2019/10/29	25.00

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64986	SG Issuer - CSPC Pharma R Bull CBBC Apr 2020 A	40,000,000	2019/03/26	2020/04/15	10.00
64880	SG Issuer - HKEX R Bull CBBC Sep 2019 G	50,000,000	2019/03/26	2019/09/30	12.50
65063	SG Issuer - HSI R Bull CBBC May 2021 H	200,000,000	2019/03/26	2021/05/28	50.00
64876	SG Issuer - HSI R Bull CBBC May 2021 Z	200,000,000	2019/03/26	2021/05/28	50.00
65065	SG Issuer - HSI R Bull CBBC Jul 2021 W	200,000,000	2019/03/26	2021/07/29	50.00
65071	SG Issuer - HSI R Bull CBBC Jul 2021 X	200,000,000	2019/03/26	2021/07/29	50.00
64904	SG Issuer - HSI R Bear CBBC Jul 2019 R	200,000,000	2019/03/26	2019/07/30	50.00
64920	SG Issuer - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/03/26	2019/07/30	50.00
65039	SG Issuer - HSI R Bear CBBC Jul 2019 W	200,000,000	2019/03/26	2019/07/30	50.00
64930	SG Issuer - HSI R Bear CBBC Aug 2019 L	200,000,000	2019/03/26	2019/08/29	50.00
64924	SG Issuer - HSI R Bear CBBC Aug 2019 R	200,000,000	2019/03/26	2019/08/29	50.00
64941	SG Issuer - HSI R Bear CBBC Sep 2019 C	200,000,000	2019/03/26	2019/09/27	50.00
64942	SG Issuer - HSI R Bear CBBC Sep 2019 E	200,000,000	2019/03/26	2019/09/27	50.00
64933	SG Issuer - HSI R Bear CBBC Sep 2019 H	200,000,000	2019/03/26	2019/09/27	50.00
65041	SG Issuer - HSI R Bear CBBC Sep 2019 J	200,000,000	2019/03/26	2019/09/27	50.00
64969	SG Issuer - HSI R Bear CBBC Oct 2019 L	200,000,000	2019/03/26	2019/10/30	50.00
65042	SG Issuer - HSI R Bear CBBC Oct 2019 M	400,000,000	2019/03/26	2019/10/30	100.00
65047	SG Issuer - HSI R Bear CBBC Oct 2019 N	200,000,000	2019/03/26	2019/10/30	50.00
65079	SG Issuer - HSI R Bear CBBC Oct 2019 O	200,000,000	2019/03/26	2019/10/30	50.00
65052	SG Issuer - HSI R Bear CBBC Nov 2019 C	400,000,000	2019/03/26	2019/11/28	100.00
65082	SG Issuer - HSI R Bear CBBC Nov 2019 D	400,000,000	2019/03/26	2019/11/28	100.00
64881	SG Issuer - Ping An R Bull CBBC Sep 2019 F	100,000,000	2019/03/26	2019/09/30	25.00
64988	SG Issuer - Ping An R Bull CBBC Oct 2019 V	100,000,000	2019/03/26	2019/10/23	25.00
64884	SG Issuer - SUNAC R Bull CBBC Feb 2020 D	80,000,000	2019/03/26	2020/02/27	20.00
65005	SG Issuer - SUNAC R Bull CBBC Feb 2020 E	80,000,000	2019/03/26	2020/02/27	20.00
64890	SG Issuer - Tencent R Bull CBBC Jun 2019 R	80,000,000	2019/03/26	2019/06/28	20.00
65018	SG Issuer - Tencent R Bull CBBC Jul 2019 W	80,000,000	2019/03/26	2019/07/23	20.00
64973	SG Issuer - Tencent R Bear CBBC Dec 2019 S	80,000,000	2019/03/26	2019/12/06	20.00
64978	SG Issuer - Tencent R Bear CBBC Dec 2019 T	80,000,000	2019/03/26	2019/12/17	20.00
64651	UBS AG - HKEX R Bull CBBC Nov 2019 D	200,000,000	2019/03/26	2019/11/04	50.00
64604	UBS AG - HSI R Bull CBBC May 2021 V	200,000,000	2019/03/26	2021/05/28	50.00
64605	UBS AG - HSI R Bull CBBC May 2021 W	200,000,000	2019/03/26	2021/05/28	50.00
64592	UBS AG - HSI R Bear CBBC Jun 2019 D	200,000,000	2019/03/26	2019/06/27	50.00
64607	UBS AG - HSI R Bear CBBC Jun 2019 I	200,000,000	2019/03/26	2019/06/27	50.00
64616	UBS AG - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/26	2019/06/27	50.00
64619	UBS AG - HSI R Bear CBBC Jun 2019 Y	200,000,000	2019/03/26	2019/06/27	50.00
64620	UBS AG - Tencent R Bull CBBC Oct 2019 C	40,000,000	2019/03/26	2019/10/21	10.00
64771	Bank Vontobel - AIA R Bull CBBC Dec 2019 A	40,000,000	2019/03/26	2019/12/20	10.00
64858	Bank Vontobel - CKH R Bull CBBC Dec 2019 A	40,000,000	2019/03/26	2019/12/06	10.00
64861	Bank Vontobel - CKH R Bull CBBC Dec 2019 B	40,000,000	2019/03/26	2019/12/13	10.00
64871	Bank Vontobel - CKH R Bear CBBC Dec 2019 A	40,000,000	2019/03/26	2019/12/20	10.00
64765	Bank Vontobel - China Life R Bull CBBC Dec 2019 A	60,000,000	2019/03/26	2019/12/13	15.00
64855	Bank Vontobel - CSPC Pharma R Bull CBBC Dec 2019 B	40,000,000	2019/03/26	2019/12/13	10.00
64804	Bank Vontobel - HSI N Bull CBBC Mar 2021 H	80,000,000	2019/03/26	2021/03/30	20.00
64852	Bank Vontobel - HSI N Bull CBBC Mar 2021 I	80,000,000	2019/03/26	2021/03/30	20.00

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64838	Bank Vontobel - HSI R Bear CBBC Dec 2019 K	80,000,000	2019/03/26	2019/12/30	20.00
64843	Bank Vontobel - HSI R Bear CBBC Dec 2019 L	80,000,000	2019/03/26	2019/12/30	20.00
64853	Bank Vontobel - PetroChina R Bull CBBC Dec 2019 A	40,000,000	2019/03/26	2019/12/06	10.00
64794	Bank Vontobel - Ping An R Bull CBBC Nov 2019 D	40,000,000	2019/03/26	2019/11/22	10.00
64773	Bank Vontobel - Ping An R Bull CBBC Dec 2019 A	40,000,000	2019/03/26	2019/12/20	10.00
64758	Bank Vontobel - Tencent R Bull CBBC Dec 2019 A	40,000,000	2019/03/26	2019/12/13	10.00
65186	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 B	250,000,000	2019/03/27	2021/09/29	62.50
65189	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 C	250,000,000	2019/03/27	2021/09/29	62.50
65252	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 D	240,000,000	2019/03/27	2021/09/29	60.00
65127	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 I	250,000,000	2019/03/27	2019/07/30	62.50
65128	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 J	250,000,000	2019/03/27	2019/07/30	62.50
65143	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 K	250,000,000	2019/03/27	2019/07/30	62.50
65262	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 L	240,000,000	2019/03/27	2019/07/30	60.00
65123	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 Z	250,000,000	2019/03/27	2019/07/30	62.50
65251	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Oct 2019 Y	50,000,000	2019/03/27	2019/10/30	12.50
65363	Credit Suisse AG - CKH R Bull CBBC Dec 2019 B	50,000,000	2019/03/27	2019/12/30	12.50
65365	Credit Suisse AG - CKH R Bull CBBC Dec 2019 C	50,000,000	2019/03/27	2019/12/20	12.50
65339	Credit Suisse AG - HSI R Bull CBBC Mar 2021 T	200,000,000	2019/03/27	2021/03/30	50.00
65355	Credit Suisse AG - HSI R Bull CBBC Apr 2021 W	200,000,000	2019/03/27	2021/04/29	50.00
65351	Credit Suisse AG - HSI R Bull CBBC May 2021 B	300,000,000	2019/03/27	2021/05/28	75.00
65362	Credit Suisse AG - HSI R Bear CBBC Aug 2019 D	200,000,000	2019/03/27	2019/08/29	50.00
65361	Credit Suisse AG - HSI R Bear CBBC Sep 2019 K	300,000,000	2019/03/27	2019/09/27	75.00
65358	Credit Suisse AG - Tencent R Bull CBBC Dec 2019 F	200,000,000	2019/03/27	2019/12/18	50.00
65360	Credit Suisse AG - Tencent R Bear CBBC Dec 2019 R	200,000,000	2019/03/27	2019/12/18	50.00
65590	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2019 C	80,000,000	2019/03/27	2019/12/30	20.00
65148	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 B	200,000,000	2019/03/27	2020/08/28	50.00
65274	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 W	200,000,000	2019/03/27	2019/06/27	50.00
65593	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/03/27	2019/06/27	50.00
65577	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2019 A	100,000,000	2019/03/27	2019/06/28	25.00
65586	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2019 S	80,000,000	2019/03/27	2019/06/28	20.00
65166	HK Bank - CKH R Bull CBBC Oct 2019 C	40,000,000	2019/03/27	2019/10/28	10.00
65176	HK Bank - Sinopec Corp R Bull CBBC Oct 2019 D	40,000,000	2019/03/27	2019/10/14	10.00
65182	HK Bank - Sinopec Corp R Bear CBBC Oct 2019 A	40,000,000	2019/03/27	2019/10/28	10.00
65161	HK Bank - Evergrande R Bull CBBC Oct 2019 C	60,000,000	2019/03/27	2019/10/08	15.00
65160	HK Bank - HSI R Bull CBBC Jul 2020 M	120,000,000	2019/03/27	2020/07/30	30.00
65184	HK Bank - Ping An R Bear CBBC Nov 2019 C	60,000,000	2019/03/27	2019/11/18	15.00
65334	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2019 H	40,000,000	2019/03/27	2019/07/29	10.00
65331	Haitong Int'l Sec - HSI R Bull CBBC May 2021 A	120,000,000	2019/03/27	2021/05/28	30.00
65330	Haitong Int'l Sec - HSI R Bull CBBC Sep 2021 A	120,000,000	2019/03/27	2021/09/29	30.00
65322	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 U	120,000,000	2019/03/27	2019/07/30	30.00
65325	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 V	120,000,000	2019/03/27	2019/07/30	30.00
65318	J P Morgan SP BV - HKEX R Bear CBBC Sep 2019 A	200,000,000	2019/03/27	2019/09/13	50.00
65288	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2021 B	100,000,000	2019/03/27	2021/11/29	25.00
65280	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 C	200,000,000	2019/03/27	2021/07/29	50.00
65281	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 D	200,000,000	2019/03/27	2021/07/29	50.00

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65282	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/03/27	2021/09/29	50.00
65286	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 F	200,000,000	2019/03/27	2019/06/27	50.00
65284	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 U	200,000,000	2019/03/27	2019/06/27	50.00
65296	J P Morgan SP BV - Tencent R Bull CBBC Jul 2019 F	50,000,000	2019/03/27	2019/07/12	12.50
65194	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 J	19,800,000	2019/03/27	2019/07/23	10.04
65200	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2019 E	9,100,000	2019/03/27	2019/12/06	10.05
65377	SG Issuer - CNOOC R Bear CBBC Aug 2019 A	40,000,000	2019/03/27	2019/08/02	10.00
65367	SG Issuer - Evergrande R Bull CBBC Apr 2020 A	80,000,000	2019/03/27	2020/04/16	20.00
65373	SG Issuer - Evergrande R Bull CBBC Apr 2020 B	80,000,000	2019/03/27	2020/04/23	20.00
65379	SG Issuer - HKEX R Bull CBBC Jul 2019 U	50,000,000	2019/03/27	2019/07/19	12.50
65404	SG Issuer - HSBC R Bear CBBC Sep 2019 A	100,000,000	2019/03/27	2019/09/23	25.00
65406	SG Issuer - HSBC R Bear CBBC Nov 2019 A	100,000,000	2019/03/27	2019/11/28	25.00
65449	SG Issuer - HSI R Bull CBBC Apr 2021 S	200,000,000	2019/03/27	2021/04/29	50.00
65386	SG Issuer - HSI R Bull CBBC May 2021 E	200,000,000	2019/03/27	2021/05/28	50.00
65394	SG Issuer - HSI R Bull CBBC Jul 2021 Y	200,000,000	2019/03/27	2021/07/29	50.00
65403	SG Issuer - HSI R Bull CBBC Jul 2021 Z	200,000,000	2019/03/27	2021/07/29	50.00
65458	SG Issuer - HSI R Bear CBBC Jul 2019 X	200,000,000	2019/03/27	2019/07/30	50.00
65434	SG Issuer - HSI R Bear CBBC Aug 2019 M	200,000,000	2019/03/27	2019/08/29	50.00
65459	SG Issuer - HSI R Bear CBBC Aug 2019 S	200,000,000	2019/03/27	2019/08/29	50.00
65462	SG Issuer - HSI R Bear CBBC Aug 2019 X	200,000,000	2019/03/27	2019/08/29	50.00
65417	SG Issuer - PetroChina R Bear CBBC Sep 2019 A	40,000,000	2019/03/27	2019/09/27	10.00
65380	SG Issuer - Ping An R Bear CBBC Aug 2019 M	100,000,000	2019/03/27	2019/08/02	25.00
65427	SG Issuer - SUNAC R Bear CBBC Dec 2019 D	80,000,000	2019/03/27	2019/12/31	20.00
65382	SG Issuer - Tencent R Bull CBBC Aug 2019 I	80,000,000	2019/03/27	2019/08/02	20.00
65431	SG Issuer - Tencent R Bull CBBC Aug 2019 J	80,000,000	2019/03/27	2019/08/28	56.00
65463	SG Issuer - Tencent R Bear CBBC Oct 2019 K	80,000,000	2019/03/27	2019/10/28	60.00
65384	SG Issuer - Tencent R Bear CBBC Nov 2019 Y	80,000,000	2019/03/27	2019/11/19	20.00
65474	SG Issuer - Tencent R Bear CBBC Dec 2019 U	80,000,000	2019/03/27	2019/12/05	48.00
65476	SG Issuer - Tencent R Bear CBBC Dec 2019 V	80,000,000	2019/03/27	2019/12/23	33.60
65210	UBS AG - HSI R Bull CBBC May 2021 X	200,000,000	2019/03/27	2021/05/28	50.00
65218	UBS AG - HSI R Bear CBBC Jun 2019 E	200,000,000	2019/03/27	2019/06/27	50.00
65225	UBS AG - HSI R Bear CBBC Jun 2019 G	200,000,000	2019/03/27	2019/06/27	50.00
65232	UBS AG - HSI R Bear CBBC Jun 2019 M	200,000,000	2019/03/27	2019/06/27	50.00
65237	UBS AG - HSI R Bear CBBC Jun 2019 N	200,000,000	2019/03/27	2019/06/27	50.00
65215	UBS AG - HSI R Bear CBBC Jun 2019 Z	200,000,000	2019/03/27	2019/06/27	50.00
65558	Bank Vontobel - CSOP A50 ETF R Bull CBBC Nov 2019 B	40,000,000	2019/03/27	2019/11/22	10.00
65477	Bank Vontobel - CSOP A50 ETF R Bear CBBC Dec 2019 A	40,000,000	2019/03/27	2019/12/06	10.00
65523	Bank Vontobel - HSI N Bull CBBC Mar 2021 J	80,000,000	2019/03/27	2021/03/30	20.00
65546	Bank Vontobel - HSI N Bull CBBC Mar 2021 K	80,000,000	2019/03/27	2021/03/30	20.00
65515	Bank Vontobel - HSI R Bear CBBC Nov 2019 B	80,000,000	2019/03/27	2019/11/28	20.00
65509	Bank Vontobel - HSI R Bear CBBC Dec 2019 M	80,000,000	2019/03/27	2019/12/30	20.00
65504	Bank Vontobel - HSI R Bear CBBC Mar 2020 A	80,000,000	2019/03/27	2020/03/30	20.00
65596	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 Q	150,000,000	2019/03/28	2020/09/29	38.70
65602	BOCI Asia Ltd. - HSI R Bear CBBC Jul 2019 A	150,000,000	2019/03/28	2019/07/30	38.10
65606	BOCI Asia Ltd. - HSI R Bear CBBC Jul 2019 B	150,000,000	2019/03/28	2019/07/30	38.10

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65886	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 E	240,000,000	2019/03/28	2021/09/29	60.00
65887	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 R	240,000,000	2019/03/28	2019/07/30	60.00
65981	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2020 D	150,000,000	2019/03/28	2020/01/30	37.50
65941	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2019 C	50,000,000	2019/03/28	2019/12/20	12.50
65920	Credit Suisse AG - HSI R Bull CBBC Jul 2021 A	200,000,000	2019/03/28	2021/07/29	50.00
65933	Credit Suisse AG - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/03/28	2021/09/29	50.00
65951	Credit Suisse AG - HSI R Bull CBBC Sep 2021 B	200,000,000	2019/03/28	2021/09/29	50.00
65967	Credit Suisse AG - HSI R Bear CBBC Jul 2019 R	200,000,000	2019/03/28	2019/07/30	50.00
65952	Credit Suisse AG - HSI R Bear CBBC Aug 2019 E	200,000,000	2019/03/28	2019/08/29	50.00
65963	Credit Suisse AG - HSI R Bear CBBC Aug 2019 V	200,000,000	2019/03/28	2019/08/29	50.00
66115	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2020 E	200,000,000	2019/03/28	2020/08/28	50.00
66119	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 I	200,000,000	2019/03/28	2019/07/30	50.00
66120	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 J	200,000,000	2019/03/28	2019/07/30	50.00
66132	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2019 K	200,000,000	2019/03/28	2019/07/30	50.00
65865	HK Bank - CKH R Bear CBBC Oct 2019 A	40,000,000	2019/03/28	2019/10/02	10.00
65871	HK Bank - CNOOC R Bear CBBC Oct 2019 A	40,000,000	2019/03/28	2019/10/02	10.40
65843	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2019 B	40,000,000	2019/03/28	2019/11/04	10.00
65852	HK Bank - A50 China R Bull CBBC Nov 2019 B	40,000,000	2019/03/28	2019/11/04	10.00
65854	HK Bank - HSCEI ETF R Bull CBBC Oct 2019 A	40,000,000	2019/03/28	2019/10/21	10.00
65832	HK Bank - HSI R Bull CBBC Jul 2020 N	120,000,000	2019/03/28	2020/07/30	30.00
65841	HK Bank - HSI R Bear CBBC Dec 2019 L	120,000,000	2019/03/28	2019/12/30	30.00
65857	HK Bank - Sands China R Bull CBBC Nov 2019 C	80,000,000	2019/03/28	2019/11/11	20.00
65868	HK Bank - SUNAC R Bear CBBC Sep 2019 B	40,000,000	2019/03/28	2019/09/16	10.00
65872	HK Bank - Tencent R Bull CBBC Sep 2019 I	100,000,000	2019/03/28	2019/09/16	25.00
65863	HK Bank - Tencent R Bear CBBC Dec 2019 C	100,000,000	2019/03/28	2019/12/02	25.00
65781	HK Bank - Tracker Fund R Bull CBBC Nov 2019 B	40,000,000	2019/03/28	2019/11/11	10.00
65885	Haitong Int'l Sec - HSI R Bull CBBC May 2021 B	120,000,000	2019/03/28	2021/05/28	30.00
65745	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 A	120,000,000	2019/03/28	2021/10/28	30.00
65771	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 B	120,000,000	2019/03/28	2021/10/28	30.00
65876	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 W	120,000,000	2019/03/28	2019/07/30	30.00
65879	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 X	120,000,000	2019/03/28	2019/07/30	30.00
65715	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 E	200,000,000	2019/03/28	2021/07/29	50.00
65718	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 F	200,000,000	2019/03/28	2021/07/29	50.00
65900	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 K	200,000,000	2019/03/28	2019/06/27	50.00
65893	J P Morgan SP BV - HSI R Bear CBBC Jun 2019 X	200,000,000	2019/03/28	2019/06/27	50.00
65724	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 J	50,000,000	2019/03/28	2019/07/12	12.50
65742	J P Morgan SP BV - Tencent R Bear CBBC Jul 2019 K	250,000,000	2019/03/28	2019/07/19	62.50
65725	J P Morgan SP BV - Tencent R Bear CBBC Aug 2019 D	250,000,000	2019/03/28	2019/08/16	62.50
65617	Macquarie Bank Ltd. - Tencent R Bull CBBC Aug 2019 A	15,500,000	2019/03/28	2019/08/28	10.04
65618	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 K	8,700,000	2019/03/28	2019/11/19	10.05
65623	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2019 L	7,900,000	2019/03/28	2019/11/19	10.09
65984	SG Issuer - China Life R Bull CBBC Aug 2019 E	40,000,000	2019/03/28	2019/08/09	10.00
66041	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 K	100,000,000	2019/03/28	2020/02/27	25.00
66006	SG Issuer - Galaxy Ent R Bull CBBC Oct 2019 J	100,000,000	2019/03/28	2019/10/17	25.00
66013	SG Issuer - HKEX R Bear CBBC Sep 2019 G	50,000,000	2019/03/28	2019/09/26	12.50

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66111	SG Issuer - HSI R Bull CBBC Apr 2021 V	200,000,000	2019/03/28	2021/04/29	50.00
66075	SG Issuer - HSI R Bull CBBC Jul 2021 A	200,000,000	2019/03/28	2021/07/29	50.00
66042	SG Issuer - HSI R Bull CBBC Jul 2021 C	200,000,000	2019/03/28	2021/07/29	50.00
66052	SG Issuer - HSI R Bull CBBC Jul 2021 D	200,000,000	2019/03/28	2021/07/29	50.00
66072	SG Issuer - HSI R Bull CBBC Jul 2021 E	200,000,000	2019/03/28	2021/07/29	50.00
66094	SG Issuer - HSI R Bear CBBC Jul 2019 A	200,000,000	2019/03/28	2019/07/30	50.00
66102	SG Issuer - HSI R Bear CBBC Sep 2019 L	200,000,000	2019/03/28	2019/09/27	50.00
66105	SG Issuer - HSI R Bear CBBC Sep 2019 O	200,000,000	2019/03/28	2019/09/27	50.00
66021	SG Issuer - Ping An R Bull CBBC Oct 2019 W	100,000,000	2019/03/28	2019/10/17	25.00
66024	SG Issuer - SUNAC R Bull CBBC Dec 2019 C	80,000,000	2019/03/28	2019/12/30	20.00
66028	SG Issuer - Tencent R Bull CBBC Jun 2019 S	80,000,000	2019/03/28	2019/06/28	20.00
66114	SG Issuer - Tencent R Bull CBBC Jul 2019 X	80,000,000	2019/03/28	2019/07/22	20.00
66030	SG Issuer - Tencent R Bull CBBC Aug 2019 K	80,000,000	2019/03/28	2019/08/09	20.00
66086	SG Issuer - Tencent R Bear CBBC Oct 2019 L	80,000,000	2019/03/28	2019/10/18	20.00
66089	SG Issuer - Tencent R Bear CBBC Nov 2019 Z	80,000,000	2019/03/28	2019/11/15	20.00
65660	UBS AG - HSI R Bull CBBC Oct 2020 E	200,000,000	2019/03/28	2020/10/29	50.00
65706	UBS AG - HSI R Bull CBBC May 2021 B	200,000,000	2019/03/28	2021/05/28	50.00
65712	UBS AG - HSI R Bull CBBC May 2021 E	200,000,000	2019/03/28	2021/05/28	50.00
65663	UBS AG - HSI R Bull CBBC May 2021 Y	200,000,000	2019/03/28	2021/05/28	50.00
65699	UBS AG - HSI R Bull CBBC May 2021 Z	200,000,000	2019/03/28	2021/05/28	50.00
65666	UBS AG - HSI R Bear CBBC Jun 2019 P	200,000,000	2019/03/28	2019/06/27	50.00
65686	UBS AG - HSI R Bear CBBC Jun 2019 Q	200,000,000	2019/03/28	2019/06/27	50.00
65713	UBS AG - Tencent R Bull CBBC Oct 2019 D	200,000,000	2019/03/28	2019/10/23	50.00
65714	UBS AG - Tencent R Bear CBBC Oct 2019 C	200,000,000	2019/03/28	2019/10/23	50.00
65909	Bank Vontobel - CNOOC R Bull CBBC Nov 2019 J	40,000,000	2019/03/28	2019/11/29	10.00
65916	Bank Vontobel - Sands China R Bull CBBC Dec 2019 A	40,000,000	2019/03/28	2019/12/06	10.00
66165	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 F	250,000,000	2019/03/29	2021/09/29	62.50
66172	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 G	250,000,000	2019/03/29	2021/09/29	62.50
66305	BNP Paribas Issuance B.V. - HSI R Bull CBBC Sep 2021 H	250,000,000	2019/03/29	2021/09/29	62.50
66142	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 G	250,000,000	2019/03/29	2019/07/30	62.50
66173	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 S	250,000,000	2019/03/29	2019/07/30	62.50
66174	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 T	250,000,000	2019/03/29	2019/07/30	62.50
66306	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2019 U	240,000,000	2019/03/29	2019/07/30	60.00
66594	Credit Suisse AG - AIA R Bull CBBC Dec 2019 L	70,000,000	2019/03/29	2019/12/20	17.50
66542	Credit Suisse AG - China Mobile R Bear CBBC Dec 2019 A	70,000,000	2019/03/29	2019/12/30	17.50
66575	Credit Suisse AG - CNOOC R Bull CBBC Feb 2020 A	100,000,000	2019/03/29	2020/02/27	25.00
66585	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2020 E	150,000,000	2019/03/29	2020/01/30	37.50
66510	Credit Suisse AG - HKEX R Bull CBBC Jan 2020 B	200,000,000	2019/03/29	2020/01/30	50.00
66550	Credit Suisse AG - HSI R Bull CBBC Jul 2021 B	200,000,000	2019/03/29	2021/07/29	50.00
66566	Credit Suisse AG - HSI R Bull CBBC Jul 2021 C	200,000,000	2019/03/29	2021/07/29	50.00
66540	Credit Suisse AG - HSI R Bear CBBC Jul 2019 S	200,000,000	2019/03/29	2019/07/30	50.00
66531	Credit Suisse AG - HSI R Bear CBBC Aug 2019 W	200,000,000	2019/03/29	2019/08/29	50.00
66538	Credit Suisse AG - HSI R Bear CBBC Sep 2019 L	200,000,000	2019/03/29	2019/09/27	50.00
66549	Credit Suisse AG - HSI R Bear CBBC Sep 2019 M	200,000,000	2019/03/29	2019/09/27	50.00
66601	Credit Suisse AG - Ping An R Bull CBBC Jan 2020 F	80,000,000	2019/03/29	2020/01/30	20.00

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66525	Credit Suisse AG - Tencent R Bull CBBC Jan 2020 A	200,000,000	2019/03/29	2020/01/30	50.00
66275	Bank of East Asia - China Mobile R Bull CBBC Aug 2019 E	60,000,000	2019/03/29	2019/08/22	15.00
66266	Bank of East Asia - China Mobile R Bear CBBC Dec 2019 A	60,000,000	2019/03/29	2019/12/06	15.00
66244	Bank of East Asia - CKH R Bull CBBC Dec 2019 A	40,000,000	2019/03/29	2019/12/06	10.00
66243	Bank of East Asia - Geely Auto R Bull CBBC Dec 2019 A	40,000,000	2019/03/29	2019/12/06	10.00
66219	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2019 B	50,000,000	2019/03/29	2019/12/06	12.50
66251	Bank of East Asia - Ping An R Bear CBBC Jul 2019 D	100,000,000	2019/03/29	2019/07/08	25.00
66265	Bank of East Asia - Ping An R Bear CBBC Jul 2019 E	100,000,000	2019/03/29	2019/07/08	25.00
66224	Bank of East Asia - Tencent R Bull CBBC Dec 2019 D	150,000,000	2019/03/29	2019/12/06	37.50
66233	Bank of East Asia - Tencent R Bull CBBC Dec 2019 E	150,000,000	2019/03/29	2019/12/06	37.50
66237	Bank of East Asia - Tencent R Bear CBBC Dec 2019 B	150,000,000	2019/03/29	2019/12/06	37.50
66509	Goldman Sachs SP (Asia) - China Life R Bull CBBC Jul 2019 A	100,000,000	2019/03/29	2019/07/02	25.00
66505	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Jul 2019 F	100,000,000	2019/03/29	2019/07/02	25.00
66214	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 M	200,000,000	2019/03/29	2021/10/28	50.00
66498	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2021 N	200,000,000	2019/03/29	2021/10/28	50.00
66490	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 A	200,000,000	2019/03/29	2020/04/28	50.00
66491	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 B	200,000,000	2019/03/29	2020/04/28	50.00
66493	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 C	200,000,000	2019/03/29	2020/04/28	50.00
66208	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2019 C	100,000,000	2019/03/29	2019/12/30	25.00
66207	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jul 2019 A	100,000,000	2019/03/29	2019/07/02	25.00
66497	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jul 2019 A	80,000,000	2019/03/29	2019/07/02	20.00
66191	HK Bank - China Mobile R Bear CBBC Aug 2019 B	40,000,000	2019/03/29	2019/08/01	10.00
66187	HK Bank - Geely Auto R Bull CBBC Oct 2019 A	40,000,000	2019/03/29	2019/10/14	10.00
66190	HK Bank - HKEX R Bear CBBC Aug 2019 C	40,000,000	2019/03/29	2019/08/01	10.00
66185	HK Bank - HSCEI R Bear CBBC Sep 2019 B	50,000,000	2019/03/29	2019/09/27	12.50
66178	HK Bank - HSI R Bull CBBC Feb 2020 L	80,000,000	2019/03/29	2020/02/27	20.00
66183	HK Bank - HSI R Bear CBBC Sep 2019 E	120,000,000	2019/03/29	2019/09/27	30.00
66201	HK Bank - Tencent R Bull CBBC Nov 2019 C	50,000,000	2019/03/29	2019/11/11	12.50
66197	HK Bank - Tencent R Bear CBBC Sep 2019 K	40,000,000	2019/03/29	2019/09/09	10.00
66336	Haitong Int'l Sec - Conch Cement R Bull CBBC Oct 2019 B	90,000,000	2019/03/29	2019/10/30	22.50
66335	Haitong Int'l Sec - Geely Auto R Bull CBBC Sep 2019 A	40,000,000	2019/03/29	2019/09/17	10.00
66339	Haitong Int'l Sec - Geely Auto R Bear CBBC Oct 2019 B	40,000,000	2019/03/29	2019/10/31	11.88
66330	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Oct 2019 C	80,000,000	2019/03/29	2019/10/02	20.00
66327	Haitong Int'l Sec - HSI R Bull CBBC May 2021 C	120,000,000	2019/03/29	2021/05/28	30.00
66284	Haitong Int'l Sec - HSI R Bull CBBC Jul 2021 A	120,000,000	2019/03/29	2021/07/29	30.00
66322	Haitong Int'l Sec - HSI R Bull CBBC Sep 2021 B	150,000,000	2019/03/29	2021/09/29	37.50
66282	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 C	120,000,000	2019/03/29	2021/10/28	30.00
66314	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 C	120,000,000	2019/03/29	2019/07/30	30.00
66291	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 Y	120,000,000	2019/03/29	2019/07/30	30.00
66313	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 Z	120,000,000	2019/03/29	2019/07/30	30.00
66338	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Sep 2019 F	90,000,000	2019/03/29	2019/09/12	22.50
66341	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2019 C	40,000,000	2019/03/29	2019/09/17	10.00
66342	Haitong Int'l Sec - Tencent R Bear CBBC Nov 2019 D	40,000,000	2019/03/29	2019/11/01	10.00
66345	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 G	200,000,000	2019/03/29	2021/07/29	50.00
66346	J P Morgan SP BV - HSI R Bull CBBC Jul 2021 H	200,000,000	2019/03/29	2021/07/29	50.00

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66347	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 U	200,000,000	2019/03/29	2019/07/30	50.00
66348	J P Morgan SP BV - HSI R Bear CBBC Jul 2019 V	200,000,000	2019/03/29	2019/07/30	50.00
66350	J P Morgan SP BV - Tencent R Bull CBBC Aug 2019 D	250,000,000	2019/03/29	2019/08/09	62.50
66279	Macquarie Bank Ltd. - Tencent R Bull CBBC Jul 2019 K	20,800,000	2019/03/29	2019/07/22	10.03
66280	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 F	11,500,000	2019/03/29	2019/10/18	10.04
66452	SG Issuer - China Mobile R Bull CBBC Dec 2019 B	100,000,000	2019/03/29	2019/12/30	25.00
66405	SG Issuer - CC Bank R Bull CBBC Sep 2019 I	100,000,000	2019/03/29	2019/09/16	25.00
66418	SG Issuer - CNOOC R Bull CBBC Oct 2019 B	40,000,000	2019/03/29	2019/10/15	10.00
66475	SG Issuer - Galaxy Ent R Bear CBBC Sep 2019 I	100,000,000	2019/03/29	2019/09/26	25.00
66479	SG Issuer - HKEX R Bull CBBC Sep 2019 H	50,000,000	2019/03/29	2019/09/26	12.50
66447	SG Issuer - HSI R Bull CBBC Apr 2021 Y	200,000,000	2019/03/29	2021/04/29	50.00
66423	SG Issuer - HSI R Bull CBBC May 2021 I	200,000,000	2019/03/29	2021/05/28	50.00
66425	SG Issuer - HSI R Bull CBBC Jul 2021 B	200,000,000	2019/03/29	2021/07/29	50.00
66429	SG Issuer - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/03/29	2019/07/30	50.00
66445	SG Issuer - HSI R Bear CBBC Aug 2019 A	200,000,000	2019/03/29	2019/08/29	50.00
66446	SG Issuer - HSI R Bear CBBC Aug 2019 E	200,000,000	2019/03/29	2019/08/29	50.00
66430	SG Issuer - HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/03/29	2019/08/29	50.00
66419	SG Issuer - Ping An R Bull CBBC Oct 2019 X	100,000,000	2019/03/29	2019/10/15	25.00
66422	SG Issuer - Ping An R Bull CBBC Nov 2019 Q	100,000,000	2019/03/29	2019/11/12	25.00
66440	SG Issuer - Ping An R Bear CBBC Sep 2019 C	100,000,000	2019/03/29	2019/09/27	25.00
66482	SG Issuer - Tencent R Bull CBBC Jun 2019 Z	80,000,000	2019/03/29	2019/06/28	20.00
66483	SG Issuer - Tencent R Bull CBBC Aug 2019 L	80,000,000	2019/03/29	2019/08/13	20.00
66444	SG Issuer - Tencent R Bear CBBC Nov 2019 B	80,000,000	2019/03/29	2019/11/28	20.00
66297	UBS AG - HSI R Bull CBBC Oct 2020 O	200,000,000	2019/03/29	2020/10/29	50.00
66293	UBS AG - HSI R Bull CBBC Feb 2021 D	200,000,000	2019/03/29	2021/02/25	50.00
66299	UBS AG - HSI R Bear CBBC Jul 2019 M	200,000,000	2019/03/29	2019/07/30	50.00
66301	UBS AG - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/03/29	2019/07/30	50.00
66296	UBS AG - Tencent R Bull CBBC Jun 2019 C	40,000,000	2019/03/29	2019/06/28	10.00
66295	UBS AG - Tencent R Bull CBBC Nov 2019 B	200,000,000	2019/03/29	2019/11/18	50.00
66388	Bank Vontobel - China Mobile R Bear CBBC Dec 2019 A	40,000,000	2019/03/29	2019/12/13	10.00
66391	Bank Vontobel - Geely Auto R Bull CBBC Dec 2019 B	40,000,000	2019/03/29	2019/12/13	10.00
66383	Bank Vontobel - HSI R Bear CBBC Dec 2019 N	80,000,000	2019/03/29	2019/12/30	20.00
66387	Bank Vontobel - HSI R Bear CBBC Dec 2019 O	80,000,000	2019/03/29	2019/12/30	20.00
66389	Bank Vontobel - Tencent R Bear CBBC Dec 2019 C	40,000,000	2019/03/29	2019/12/20	10.00
總額					211,608.09

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2018	第1季	1	47.76
	第2季	1	15.87
	第3季	1	16.09
	第4季	1	28.59
2019	第1季	1	23.02

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2018	第1季	–	–
	第2季	–	–
	第3季	–	–
	第4季	–	–
2019	第1季	–	–

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2018	第1季	6	4.30
	第2季	6	0.15
	第3季	6	0.07
	第4季	6	0.29
2019	第1季	6	2.87

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2018	第1季	–	–
	第2季	–	–
	第3季	–	–
	第4季	–	–
2019	第1季	–	–

GEM 股份

股份成交－GEM

		股數(百萬股)	金額(百萬港元)	成交宗數
2018	第1季	108,008.14	40,458.45	1,559,834
	第2季	84,808.26	41,444.85	1,252,432
	第3季	68,627.46	26,101.90	952,799
	第4季	73,427.70	19,482.19	864,331
2019	第1季	50,803.56	15,250.68	706,122

GEM 股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2018	第1季	61	663.25	25,938
	第2季	60	690.75	33,092
	第3季	63	414.32	27,395
	第4季	62	314.23	22,540
2019	第1季	60	254.18	21,598

20種升幅最大之GEM股份

2019年第1季

順次	股份代號	股份	收市價		升幅
			2019年3月底	2018年12月底	
1	08436	德寶集團控股	3.830	1.600	139.38
2	08218	毅高國際控股	0.137	0.060	128.33
3	08523	常滿控股	0.770	0.350	120.00
4	08619	WAC HOLDINGS	2.320	1.170	98.29
5	08425	興銘控股	2.860	1.450	97.24
6	08606	捷冠控股	0.255	0.145	75.86
7	08366	浙江聯合投資	0.230	0.141	63.12
8	08272	華人飲食集團	0.016	0.010	60.00
9	08162	港銀控股	0.455	0.285	59.65
10	08105	皇崑	0.640	0.405	58.02
11	08536	TL NATURAL GAS	0.560	0.355	57.75
12	08522	德益控股	1.260	0.800	57.50
13	08427	瑞強集團	0.315	0.200	57.50
14	08445	怡康泰工程集團	0.530	0.340	55.88
15	08311	圓美光電	0.375	0.241	55.60
16	08195	L&A INTL HOLD	0.053	0.035	51.43
17	08226	樹熊金融集團	0.045	0.030	50.00
18	08452	富銀融資股份	1.300	0.890	46.07
19	08485	竣球控股	0.102	0.070	45.71
20	08490	駿碼科技	0.435	0.300	45.00

A 經調整

20種跌幅最大之GEM股份

2019年第1季

順次	股份代號	股份	收市價		跌幅
			2019年3月底	2018年12月底	
1	08090	中國融保金融集團	0.041	0.640	-93.59
2	08232	CLASSIFIED GP	0.231	1.160	-80.09
3	08238	惠陶集團	0.202	0.680 A	-70.29
4	08526	榮豐集團亞洲	0.330	1.080	-69.44
5	08202	匯創控股	0.076	0.243	-68.72
6	08158	中國再生醫學	0.025	0.075	-66.67
7	08460	基地錦標集團	0.570	1.700	-66.47
8	08430	春能控股	0.148	0.430	-65.58
9	08210	衍匯亞洲	0.153	0.420	-63.57
10	08423	CHI HO DEV	1.400	3.600	-61.11
11	08545	佰悅集團	0.145	0.370	-60.81
12	08265	中國之信集團	0.570	1.450	-60.69
13	08487	ISP GLOBAL	1.120	2.820	-60.28
14	08315	長城匯理	0.097	0.238 A	-59.24
15	08085	香港生命科學	0.017	0.036	-52.78
16	08410	安領國際	0.460	0.900	-48.89
17	08243	大賀傳媒	0.105	0.202	-48.02
18	08192	環球通証	0.065	0.125	-48.00
19	08266	卓信國際控股	0.435	0.810	-46.30
20	08163	萬德金融	0.027	0.050	-46.00

A 經調整

GEM 股份

20種成交最活躍GEM股份(金額)

2019年第1季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08083	中國有贊	1,108.60	7.27
2	08057	麥迪森控股	1,103.21	7.23
3	08202	匯創控股	447.15	2.93
4	08469	盛業資本	415.86	2.73
5	08232	CLASSIFIED GP	410.54	2.69
6	08228	國藝娛樂	326.60	2.14
7	08022	永耀集團控股	300.77	1.97
8	08210	衍匯亞洲	295.99	1.94
9	08436	德寶集團控股	294.18	1.93
10	08293	星亞控股	293.38	1.92
11	08470	瑩嵐集團	280.14	1.84
12	08410	安領國際	229.82	1.51
13	08460	基地錦標集團	226.10	1.48
14	08526	榮豐集團亞洲	219.34	1.44
15	08207	中新控股	218.15	1.43
16	08259	HON CORP	215.26	1.41
17	08032	非凡中國	211.26	1.39
18	08227	西安海天天	198.93	1.30
19	08140	人和科技	187.72	1.23
20	08631	申港控股	186.34	1.22
總額			7,169.34	47.01

20種成交最活躍GEM股份(股數)

2019年第1季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08207	中新控股	2,912.34	5.73
2	08078	HMV數碼中國	2,139.95	4.21
3	08202	匯創控股	1,767.79	3.48
4	08083	中國有贊	1,751.09	3.45
5	08163	萬德金融	1,741.16	3.43
6	08158	中國再生醫學	1,391.93	2.74
7	08057	麥迪森控股	1,290.36	2.54
8	08090	中國融保金融集團	1,243.27	2.45
9	08238	惠陶集團	1,213.63	2.39
10	08086	新維國際控股	1,121.31	2.21
11	08272	華人飲食集團	1,044.90	2.06
12	08228	國藝娛樂	1,032.97	2.03
13	08148	奧栢中國	1,009.68	1.99
14	08147	匯思太平洋	921.61	1.81
15	08226	樹熊金融集團	799.14	1.57
16	08300	皇璽餐飲集團	761.12	1.50
17	08210	衍匯亞洲	678.28	1.34
18	08018	匯財金融投資	591.45	1.16
19	08430	春能控股	580.73	1.14
20	08036	電子交易集團	566.86	1.12
總數			24,559.55	48.34

GEM 股份

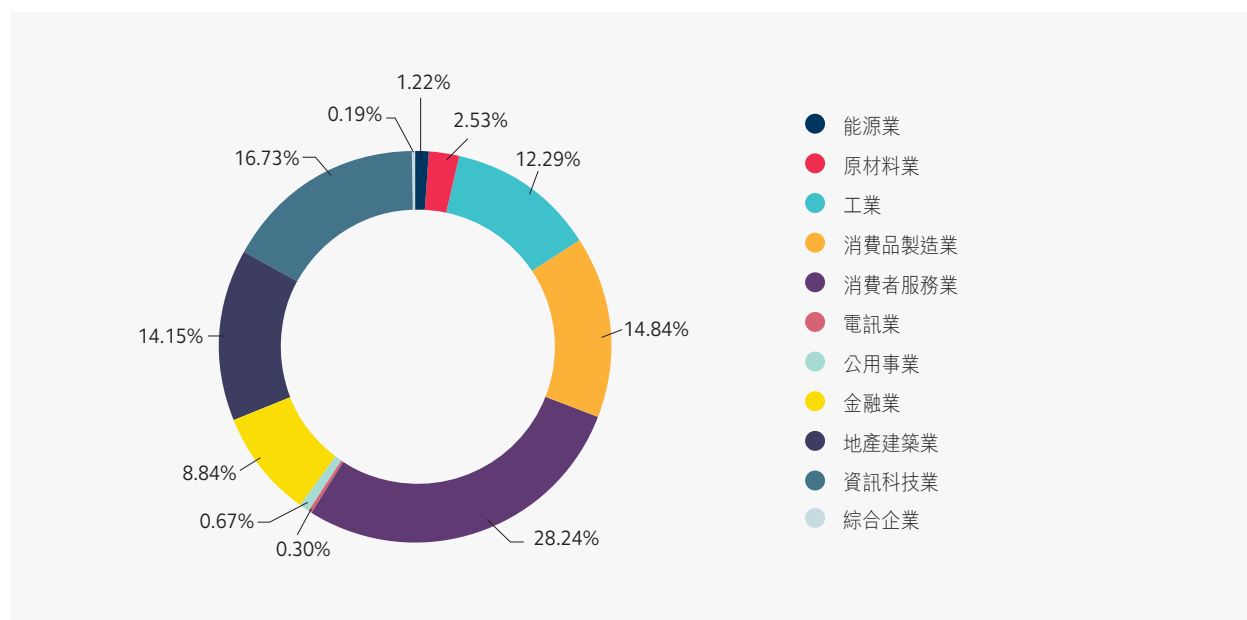
GEM 公司市價總值

截至季末

		百 萬 港 元
2018	第 1 季	263,735.88
	第 2 季	248,066.40
	第 3 季	209,809.82
	第 4 季	186,183.32
2019	第 1 季	166,890.33

恒生行業分類系統之證券市價總值*－GEM

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

50間市價總值最大之上市公司－GEM

截至2019年第1季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	9,755.99	5.85
2	08083	中國有贊有限公司	9,210.34	5.52
3	08293	星亞控股有限公司	7,500.00	4.49
4	08469	盛業資本有限公司	6,582.64	3.94
5	08032	非凡中國控股有限公司	6,373.14	3.82
6	08279	亞博科技控股有限公司	5,748.89	3.44
7	08170	中國全民國際控股集團有限公司	3,865.28	2.32
8	08039	中國卓銀國際控股有限公司	3,594.80	2.15
9	08057	麥迪森控股集團有限公司	3,426.65	2.05
10	08283	正力控股有限公司	2,565.00	1.54
11	08176	超人智能有限公司	2,531.10	1.52
12	08619	WAC Holdings Ltd.	2,227.20	1.33
13	08022	永耀集團控股有限公司	2,110.14	1.26
14	08047	中國海洋捕撈控股有限公司	1,901.68	1.14
15	08227	西安海天天實業股份有限公司－H股	1,782.31	1.07
16	08345	火岩控股有限公司	1,760.00	1.05
17	08053	比優集團控股有限公司	1,708.19	1.02
18	08037	中國生物科技服務控股有限公司	1,571.38	0.94
19	08167	中國新電信集團有限公司	1,552.12	0.93
20	08436	德寶集團控股有限公司	1,532.00	0.92
21	08265	中國之信集團有限公司	1,524.99	0.91
22	08207	中新控股科技集團有限公司	1,504.19	0.90
23	08228	國藝娛樂文化集團有限公司	1,484.45	0.89
24	08480	飛霓控股有限公司	1,411.20	0.85
25	08328	信義汽車玻璃香港企業有限公司	1,231.46	0.74
26	08308	古兜控股有限公司	1,176.00	0.70
27	08425	興銘控股有限公司	1,144.00	0.69
28	08423	潛鴻發展控股有限公司	1,120.00	0.67
29	08527	聚利寶控股有限公司	1,100.00	0.66
30	08246	中華燃氣控股有限公司	1,092.64	0.65
31	08516	廣駿集團控股有限公司	979.20	0.59
32	08030	匯聯金融服務控股有限公司	952.29	0.57
33	08325	中國支付通集團控股有限公司	920.75	0.55
34	08487	ISP Global Ltd.	896.00	0.54
35	08491	Cool Link (Holdings) Ltd.	894.00	0.54
36	08055	中國網絡信息科技集團有限公司	840.29	0.50
37	08005	裕興科技投資控股有限公司	838.44	0.50
38	08276	人和科技控股有限公司	816.00	0.49
39	08016	長虹佳華控股有限公司	741.87	0.44
40	08095	北京北大青鳥環宇科技股份有限公司－H股	678.72	0.41
41	08206	神通機器人教育集團有限公司	663.49	0.40
42	08462	橋英控股有限公司	660.00	0.40
43	08156	眾彩羽翔股份有限公司	650.26	0.39
44	08178	中國信息科技發展有限公司	634.05	0.38
45	08313	杰地集團有限公司	610.00	0.37
46	08260	銀合控股有限公司	609.68	0.37
47	08259	Hon Corporation Ltd.	609.60	0.37
48	08021	匯隆控股有限公司	603.42	0.36
49	08537	Dominate Group Holdings Co. Ltd.	585.00	0.35
50	08460	基地錦標集團控股有限公司	570.00	0.34
總值			104,840.83	62.82
市場總值			166,890.33	100.00

新上市統計－GEM 公司

		新上市公司數目	集資金額(百萬港元)
2018	第1季	33	2,237.28
	第2季	17	1,171.84
	第3季	17	1,171.93
	第4季	8	479.54
2019	第1季	5	348.15

GEM新上市公司

2019年第1季

股份代號	公司	上市日期	已發行股數	集資金額 (百萬港元)
08631	申港控股有限公司	2019/01/08	400,000,000	60.00
08036	電子交易集團有限公司	2019/02/19	1,230,000,000	64.40
08607	納尼亞(香港)集團有限公司	2019/02/26	800,000,000	80.00
08096	賞之味控股有限公司	2019/03/15	500,000,000	87.50
08537	Dominate Group Holdings Co. Ltd.	2019/03/27	750,000,000	56.25
總額				348.15

除牌上市公司－GEM

2019年第1季

股份代號	公司	最後買賣日期	除牌日期
08407	興證國際金融集團有限公司*	2019/01/02	2019/01/03 §
08251	優越集團控股有限公司*	2019/01/10	2019/01/11 §
08409	海鑫集團有限公司*	2019/02/13	2019/02/14 §
08358	卓珈控股集團有限公司*	2019/02/15	2019/02/18 §
08359	Hypebeast Ltd. *	2019/03/07	2019/03/08 §
08240	展程控股有限公司*	2019/03/20	2019/03/21 §
08129	雲信投資控股有限公司	2018/04/11	2019/03/26

* 原為GEM上市公司，後引用於2008年7月1日生效的《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由GEM轉至主板的轉板生效日期。

GEM 股份

GEM 公司名稱更改

2019 年第 1 季

股份代號	原有名稱	新名稱	生效日期	採用日期
08312	中國瀚亞集團控股有限公司	睿鋒集團控股有限公司	2018/11/27	2019/01/10
08193	漢華專業服務有限公司	亞太金融投資有限公司	2019/01/14	2019/02/25
08170	KSL Holdings Ltd.	中國全國際控股集團有限公司	2019/01/31	2019/03/20
08143	華夏醫療集團有限公司	金威醫療集團有限公司	2019/02/08	2019/03/25

GEM 紅股／紅利認股權證

2019 年第 1 季

股份代號	公司	詳情	除淨日
無			

GEM 股份拆細及合併

2019 年第 1 季

股份代號	公司	詳情	生效日期
08193	亞太金融投資有限公司	10 股合併為 1 股	2019/01/15
08238	惠陶集團(控股)有限公司	20 股合併為 1 股	2019/02/20
08299	大唐潼金控股有限公司	15 股合併為 1 股	2019/03/06
08080	北亞策略控股有限公司	10 股合併為 1 股	2019/03/26

GEM 供股／公開售股

2019 年第 1 季

月份	股份代號	公司	比率	集資金額 (百萬港元)
3 月	08315	長城匯理公司	股權 2 供 1，每股供 0.10 港元	41.46
總額				41.46

GEM 股份

股份配售*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2019					
1月	08156	眾彩股份	168,000,000	0.2380	39.98
2月	08162	港銀控股	96,000,000	0.2410	23.14
	08130	智城控股	324,085,995	0.1780	57.69
3月	08037	中國生物科技服務	5,800,000	2.0000	11.60
	08132	中油港燃	22,897,000	0.3160	7.24
	08089	華人策略控股	27,518,400	0.3500	9.63
	08228	國藝娛樂	428,769,230	0.2600	111.48
總額					260.75

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

GEM 認股權證

認股權證交易及市價總值統計－GEM

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2018	第1季	1	0.05	62.83
	第2季	1	0.03	62.83
	第3季	1	0.00	62.83
	第4季	1	0.01	62.83
2019	第1季	1	0.02	62.83

GEM新上市股份認股權證

2019年第1季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
無					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所GEM指數



股票市場指數

香港股市指數

指數	2019年3月底 收市	2018年12月底 收市	升跌
標準普爾／香港交易所大型股指數	37102.74	33622.78	+ 10.35%
標準普爾／香港交易所GEM指數	130.47	146.01	- 10.64%
恒生指數	29051.36	25845.70	+ 12.40%
分類指數			
金融	39510.15	35808.22	+ 10.34%
公用事業	61797.19	57792.61	+ 6.93%
地產	44605.36	37143.40	+ 20.09%
工商業	16662.01	14656.68	+ 13.68%
恒生香港中資企業指數	4679.15	4169.04	+ 12.24%
恒生中國企業指數	11379.62	10124.75	+ 12.39%

股票市場指數

世界指數

指數	2019年3月底 收市	2018年12月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	37102.74	33622.78	+ 10.35%
恒生指數	29051.36	25845.70	+ 12.40%
澳洲			
普通股指數	6261.70	5709.40	+ 9.67%
雅加達			
綜合指數	6468.76	6194.50	+ 4.43%
日本			
日經指數(225)	21205.81	20014.77	+ 5.95%
韓國			
綜合指數	2140.67	2041.04	+ 4.88%
吉隆坡			
綜合指數	1643.63	1690.58	- 2.78%
馬尼拉			
綜合指數	7920.93	7466.02	+ 6.09%
紐西蘭			
證交所指數(50)	9844.95	8811.27	+ 11.73%
上海			
A股指數	3237.20	2611.38	+ 23.97%
B股指數	306.78	271.05	+ 13.18%
深圳			
A股指數	1772.83	1325.66	+ 33.73%
B股指數	1035.87	837.18	+ 23.73%
新加坡			
海峽時報指數	3212.88	3068.76	+ 4.70%
台灣			
台北加權股份指數	10641.04	9727.41	+ 9.39%
泰國			
SET指數	1638.65	1563.88	+ 4.78%
紐約			
杜瓊斯工業平均指數	25928.68	23327.46	+ 11.15%
Nasdaq綜合指數	7729.32	6635.28	+ 16.49%
多倫多			
S&P/TSX綜合指數	16102.09	14322.86	+ 12.42%
德國			
DAX Kursindex	5274.00	4862.74	+ 8.46%
倫敦			
金融時報100指數	7279.19	6728.13	+ 8.19%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2019年第1季	2018年第1季
恒生指數期貨	13,320,506	12,511,293
恒生指數期權	2,978,149	3,591,231
小型恒生指數期貨	5,641,143	6,139,659
小型恒生指數期權	754,722	540,261
恒生指數(總股息累計指數)期貨 ¹	27	–
恒生指數(淨股息累計指數)期貨 ²	25	–
恒生中國企業指數期貨	8,891,576	9,265,269
恒生中國企業指數期權	5,748,816	6,514,001
小型恒生中國企業指數期貨	1,020,526	1,866,251
小型恒生中國企業指數期權	79,210	194,023
恒生中國企業指數(總股息累計指數)期貨 ³	4	–
恒生中國企業指數(淨股息累計指數)期貨 ⁴	3	–
自訂條款恒生指數期權	0	762
自訂條款恒生中國企業指數期權	0	4,750
恒指股息點指數期貨	5,050	7,274
恒生國企股息點指數期貨	138,826	105,131
恒指波幅指數期貨	74	1
MSCI亞洲除日本淨總回報指數期貨 ⁵	2,830	–
中華交易服務中國120指數期貨	74	625
中華交易服務博彩業指數期貨	8	150
恒生中國內地石油及天然氣指數期貨	25,407	4,171
恒生中國內地銀行指數期貨	9,264	12,423
恒生中國內地醫療保健指數期貨	12	9
恒生中國內地地產指數期貨	6,457	4,456
恒生資訊科技器材指數期貨	0	91
恒生軟件服務指數期貨	0	86
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨 ⁶	–	0
FTSE/JSE Top 40期貨	0	0
股票期貨	311,049	65,484
股票期權	31,683,747	40,592,232
三個月港元利率期貨	8	25
一個月港元利率期貨	15	30
人民幣貨幣期貨—美元兌人民幣(香港)期貨	514,389	321,567
人民幣貨幣期權—美元兌人民幣(香港)期權	3,872	2,359
人民幣貨幣期貨—歐元兌人民幣(香港)期貨	7,546	491
人民幣貨幣期貨—日圓兌人民幣(香港)期貨	747	444
人民幣貨幣期貨—澳元兌人民幣(香港)期貨	2,515	119
人民幣貨幣期貨—人民幣(香港)兌美元期貨	4,777	1,661
美元黃金期貨	80,544	116,674
人民幣(香港)黃金期貨	16,494	11,599
鐵礦石期貨—月度合約	3,285	3,043
鐵礦石期貨—季度合約	0	0
倫敦鋁期貨小型合約	0	151
倫敦鋅期貨小型合約	54	198
倫敦銅期貨小型合約	0	73
倫敦鉛期貨小型合約	0	0
倫敦鎳期貨小型合約	2	258
倫敦錫期貨小型合約	0	0
總數	71,251,753	81,878,325

¹ 恒生指數(總股息累計指數)期貨由2018年11月05日開始買賣

² 恒生指數(淨股息累計指數)期貨由2018年11月05日開始買賣

³ 恒生中國企業指數(總股息累計指數)期貨由2018年11月05日開始買賣

⁴ 恒生中國企業指數(淨股息累計指數)期貨由2018年11月05日開始買賣

⁵ MSCI亞洲除日本淨總回報指數期貨由2018年6月11日開始買賣

⁶ S&P BSE Sensex指數期貨由2018年7月27日停止買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第1季	12,511,293	129,784
	第2季	14,140,082	123,361
	第3季	14,812,594	118,778
	第4季	16,204,377	133,924
2019年	第1季	13,320,506	143,478

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第1季	6,139,659	8,861
	第2季	5,419,705	11,557
	第3季	5,956,980	14,116
	第4季	7,148,037	12,587
2019年	第1季	5,641,143	15,429

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第1季	3,591,231	381,856
	第2季	2,775,119	343,600
	第3季	2,957,776	334,605
	第4季	3,392,369	279,856
2019年	第1季	2,978,149	259,679

恒生中國企業指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第1季	9,265,269	275,905
	第2季	8,407,737	355,581
	第3季	9,481,964	382,593
	第4季	10,296,311	428,692
2019年	第1季	8,891,576	383,144

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2018年	第1季	36,925.03	40,592,232	9,585,088
	第2季	20,957.48	28,891,853	9,255,436
	第3季	21,588.94	28,766,745	8,465,878
	第4季	26,126.32	29,028,271	7,213,486
2019年	第1季	24,070.13	31,683,747	8,579,783

衍生產品市場 期貨及期權

各期權類別之期權金總額

2019年第1季

期權類別		期權金額 (百萬港元)	佔市場百分比
安碩 A50	A50	330.17	1.37
瑞聲科技	AAC	301.46	1.25
海螺水泥	ACC	113.49	0.47
友邦保險	AIA	841.49	3.50
中國國航	AIR	5.40	0.02
中國鋁業	ALC	10.20	0.04
華夏滬深三百	AMC	496.30	2.06
交通銀行	BCM	11.54	0.05
東亞銀行	BEA	3.92	0.02
中銀香港	BOC	80.70	0.34
比亞迪股份	BYD	175.25	0.73
中國交通建設	CCC	22.15	0.09
中煤能源	CCE	3.38	0.01
中遠海控	CCS	0.02	0.00
中國信達	CDA	7.62	0.03
中廣核電力	CGN	2.27	0.01
中國移動	CHT	528.31	2.19
中國聯通	CHU	82.18	0.34
中信股份	CIT	10.61	0.04
長和	CKH	130.90	0.54
長實集團	CKP	114.98	0.48
中國人壽	CLI	619.43	2.57
中電控股	CLP	38.95	0.16
招商銀行	CMB	214.91	0.89
中國海洋石油	CNC	178.19	0.74
碧桂園	COG	151.49	0.63
中國海外發展	COL	119.11	0.49
國泰航空	CPA	1.64	0.01
中國石油化工股份	CPC	197.18	0.82
中國太保	CPI	227.22	0.94
中國鐵建	CRC	5.50	0.02
中國中鐵	CRG	15.92	0.07
華潤置地	CRL	153.94	0.64
南方 A50	CSA	458.09	1.90
中國神華	CSE	120.11	0.50
石藥集團	CSP	85.18	0.35
中信銀行	CTB	19.76	0.08
中國電信	CTC	14.05	0.06
中信証券	CTS	177.38	0.74
東風集團股份	DFM	3.78	0.02
中國恒大	EVG	153.30	0.64
廣汽集團	GAC	18.44	0.08
吉利汽車	GAH	600.86	2.50
銀河娛樂	GLX	338.10	1.40
長城汽車	GWM	32.46	0.13
海通證券	HAI	91.62	0.38
恒生中國企業	HCF	32.33	0.13
電能實業	HEH	14.10	0.06
香港交易所	HEX	1,202.43	5.00
恒安國際	HGN	45.11	0.19
匯豐控股	HKB	630.27	2.62
香港中華煤氣	HKG	16.41	0.07
恒基地產	HLD	62.93	0.26
華能國際電力股份	HNP	7.40	0.03
恒生銀行	HSB	54.20	0.23
江西銅業股份	JXC	17.94	0.07
昆侖能源	KLE	24.65	0.10
金山軟件	KSO	102.30	0.43
聯想集團	LEN	119.65	0.50

衍生產品市場 期貨及期權

期權類別		期權金額 (百萬港元)	佔市場百分比
利豐	LIF	0.82	0.00
領展房產基金	LNK	102.21	0.42
蒙牛乳業	MEN	30.87	0.13
美團點評-W	MET	205.73	0.85
美高梅中國	MGM	2.88	0.01
小米集團-W	MIU	339.23	1.41
民生銀行	MSB	24.04	0.10
港鐵公司	MTR	15.52	0.06
中國建材	NBM	37.40	0.16
新華保險	NCL	175.72	0.73
新世界發展	NWD	22.60	0.09
中國平安	PAI	3,617.48	15.03
中國石油股份	PEC	143.71	0.60
中國財險	PIC	42.78	0.18
中國人民保險集團	PIN	19.69	0.08
保利協鑫能源	PLE	0.03	0.00
富力地產	RFP	6.63	0.03
金沙中國有限公司	SAN	128.52	0.53
新鴻基地產	SHK	648.10	2.69
舜宇光學科技	SNO	290.87	1.21
國藥控股	SNP	15.44	0.06
渣打集團	STC	1.32	0.01
融創中國	SUN	197.45	0.82
太古股份公司A	SWA	23.38	0.10
騰訊控股	TCH	7,291.77	30.29
盈富基金	TRF	5.20	0.02
萬科企業	VNK	19.33	0.08
九龍倉集團	WHL	4.65	0.02
中國旺旺	WWC	2.80	0.01
農業銀行	XAB	59.04	0.25
中國銀行	XBC	155.74	0.65
建設銀行	XCC	414.32	1.72
工商銀行	XIC	172.14	0.72
郵儲銀行	XPB	3.23	0.01
中國鐵塔	XTW	164.91	0.69
兗州煤業股份	YZC	38.98	0.16
紫金礦業	ZJM	6.91	0.03
總額		24,070.13	100.00

衍生產品市場 期貨及期權

各期權類別之合約成交量

2019年第1季

期權類別		合約成交量	佔市場百份比
安碩 A50	A50	233,866	0.74
瑞聲科技	AAC	199,856	0.63
海螺水泥	ACC	225,077	0.71
友邦保險	AIA	518,674	1.64
中國國航	AIR	9,777	0.03
中國鋁業	ALC	39,749	0.13
華夏滬深三百	AMC	268,245	0.85
交通銀行	BCM	102,237	0.32
東亞銀行	BEA	31,835	0.10
中銀香港	BOC	311,879	0.98
比亞迪股份	BYD	263,435	0.83
中國交通建設	CCC	162,581	0.51
中煤能源	CCE	53,036	0.17
中遠海控	CCS	330	0.00
中國信達	CDA	15,233	0.05
中廣核電力	CGN	4,019	0.01
中國移動	CHT	748,851	2.36
中國聯通	CHU	212,151	0.67
中信股份	CIT	50,872	0.16
長和	CKH	192,832	0.61
長實集團	CKP	98,201	0.31
中國人壽	CLI	1,034,280	3.26
中電控股	CLP	74,493	0.24
招商銀行	CMB	505,209	1.59
中國海洋石油	CNC	469,327	1.48
碧桂園	COG	85,382	0.27
中國海外發展	COL	112,853	0.36
國泰航空	CPA	5,970	0.02
中國石油化工股份	CPC	401,396	1.27
中國太保	CPI	325,057	1.03
中國鐵建	CRC	38,592	0.12
中國中鐵	CRG	61,649	0.19
華潤置地	CRL	99,320	0.31
南方 A50	CSA	284,767	0.90
中國神華	CSE	437,115	1.38
石藥集團	CSP	90,277	0.28
中信銀行	CTB	148,324	0.47
中國電信	CTC	78,682	0.25
中信証券	CTS	326,161	1.03
東風集團股份	DFM	7,192	0.02
中國恒大	EVG	88,475	0.28
廣汽集團	GAC	17,282	0.05
吉利汽車	GAH	243,269	0.77
銀河娛樂	GLX	268,669	0.85
長城汽車	GWM	307,079	0.97
海通證券	HAI	160,667	0.51
恒生中國企業	HCF	25,893	0.08
電能實業	HEH	37,368	0.12
香港交易所	HEX	1,888,009	5.96
恒安國際	HGN	55,400	0.17
匯豐控股	HKB	1,274,262	4.02
香港中華煤氣	HKG	64,009	0.20
恒基地產	HLD	70,963	0.22
華能國際電力股份	HNP	28,169	0.09
恒生銀行	HSB	217,536	0.69
江西銅業股份	JXC	69,426	0.22
昆侖能源	KLE	56,134	0.18
金山軟件	KSO	162,247	0.51
聯想集團	LEN	274,383	0.87

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
利豐	LIF	5,967	0.02
領展房產基金	LNK	77,191	0.24
蒙牛乳業	MEN	53,625	0.17
美團點評-W	MET	170,220	0.54
美高梅中國	MGM	21,812	0.07
小米集團-W	MIU	580,813	1.83
民生銀行	MSB	82,612	0.26
港鐵公司	MTR	48,024	0.15
中國建材	NBM	87,901	0.28
新華保險	NCL	227,590	0.72
新世界發展	NWD	72,195	0.23
中國平安	PAI	2,791,001	8.81
中國石油股份	PEC	473,710	1.50
中國財險	PIC	117,187	0.37
中國人民保險集團	PIN	40,702	0.13
保利協鑫能源	PLE	90	0.00
富力地產	RFP	41,707	0.13
金沙中國有限公司	SAN	310,209	0.98
新鴻基地產	SHK	295,987	0.93
舜宇光學科技	SNO	95,504	0.30
國藥控股	SNP	23,879	0.08
渣打集團	STC	27,226	0.09
融創中國	SUN	92,868	0.29
太古股份公司A	SWA	27,620	0.09
騰訊控股	TCH	5,497,154	17.35
盈富基金	TRF	21,912	0.07
萬科企業	VNK	33,463	0.11
九龍倉集團	WHL	11,944	0.04
中國旺旺	WWC	15,982	0.05
農業銀行	XAB	81,660	0.26
中國銀行	XBC	1,866,334	5.89
建設銀行	XCC	2,949,440	9.31
工商銀行	XIC	1,328,810	4.19
郵儲銀行	XPB	9,631	0.03
中國鐵塔	XTW	329,842	1.04
兗州煤業股份	YZC	95,511	0.30
紫金礦業	ZJM	38,402	0.12
總數		31,683,747	100.00

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2018 第1季	113,959	55.26	299.46
第2季	104,188	50.80	291.72
第3季	95,037	47.84	246.88
第4季	98,625	47.19	225.63
2019 第1季	101,072	47.72	234.62

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2018 第1季	442	87.64	283.87
第2季	303	352.59	190.54
第3季	261	42.51	179.44
第4季	214	53.95	187.92
2019 第1季	251	87.13	109.56

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2018 第1季	6,628.95	76.47	22,128.66	60.04
第2季	6,663.56	76.69	21,197.14	59.56
第3季	6,587.30	75.87	20,139.79	58.33
第4季	6,644.42	76.15	18,815.11	58.78
2019 第1季	6,712.97	75.97	21,734.35	60.09

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣，「已劃分的買賣」和兩邊客買賣)

年／月	買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2018 第1季	1,813,140	100	252,571.39	100	145,832.37	100
第2季	1,633,677	100	203,686.61	100	106,620.31	100
第3季	1,491,219	100	203,640.60	100	91,633.38	100
第4季	1,444,003	100	244,966.47	100	85,400.12	100
2019 第1季	1,644,627	100	340,802.33	100	100,674.63	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2018 第1季	109,085	96.81	37,586.85	92.44
第2季	90,439	97.07	29,533.08	92.53
第3季	80,694	97.14	26,012.54	93.56
第4季	80,124	97.02	27,640.56	94.02
2019 第1季	89,630	97.13	30,306.66	95.46

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月	交收效率(%)	
	到期交收日	到期交收日翌日
2018 第1季	99.89	99.99
第2季	99.87	99.99
第3季	99.89	99.99
第4季	99.92	99.99
2019 第1季	99.92	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2019年第1季	2018年第1季
恒生指數期貨	143,478	129,784
恒生指數期權	259,679	381,856
小型恒生指數期貨	15,429	8,861
小型恒生指數期權	18,579	9,625
恒生指數(總股息累計指數)期貨 ¹	13	–
恒生指數(淨股息累計指數)期貨 ²	11	–
恒生中國企業指數期貨	383,144	275,905
恒生中國企業指數期權	2,579,157	2,736,275
小型恒生中國企業指數期貨	6,056	5,890
小型恒生中國企業指數期權	8,776	2,754
恒生中國企業指數(總股息累計指數)期貨 ³	0	–
恒生中國企業指數(淨股息累計指數)期貨 ⁴	0	–
自訂條款恒生指數期權	0	0
自訂條款恒生中國企業指數期權	0	1,250
恒指股息點指數期貨	4,898	11,826
恒生國企股息點指數期貨	127,287	88,841
恒指波幅指數期貨	37	0
MSCI亞洲除日本淨總回報指數期貨 ⁵	890	–
中華交易服務中國120指數期貨	16	12
中華交易服務博彩業指數期貨	0	5
恒生中國內地石油及天然氣指數期貨	73	23
恒生中國內地銀行指數期貨	90	50
恒生中國內地醫療保健指數期貨	0	1
恒生中國內地地產指數期貨	31	70
恒生資訊科技器材指數期貨	0	1
恒生軟件服務指數期貨	0	10
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨 ⁶	–	0
FTSE/JSE Top40期貨	0	0
股票期貨	20,845	11,729
股票期權	8,579,783	9,585,088
三個月港元利率期貨	113	280
一個月港元利率期貨	0	10
人民幣貨幣期貨—美元兌人民幣(香港)期貨	32,865	29,887
人民幣貨幣期權—美元兌人民幣(香港)期權	4,029	3,522
人民幣貨幣期貨—歐元兌人民幣(香港)期貨	186	81
人民幣貨幣期貨—日圓兌人民幣(香港)期貨	23	140
人民幣貨幣期貨—澳元兌人民幣(香港)期貨	92	12
人民幣貨幣期貨—人民幣(香港)兌美元期貨	1,182	395
美元黃金期貨	534	99
人民幣(香港)黃金期貨	16	91
鐵礦石期貨—月度合約	851	150
鐵礦石期貨—季度合約	0	19
倫敦鋁期貨小型合約	0	0
倫敦鋅期貨小型合約	13	5
倫敦銅期貨小型合約	0	3
倫敦鉛期貨小型合約	0	0
倫敦鎳期貨小型合約	0	3
倫敦錫期貨小型合約	0	0
總數	12,188,176	13,284,553

¹ 恒生指數(總股息累計指數)期貨由2018年11月05日開始買賣

² 恒生指數(淨股息累計指數)期貨由2018年11月05日開始買賣

³ 恒生中國企業指數(總股息累計指數)期貨由2018年11月05日開始買賣

⁴ 恒生中國企業指數(淨股息累計指數)期貨由2018年11月05日開始買賣

⁵ MSCI亞洲除日本淨總回報指數期貨由2018年6月11日開始買賣

⁶ S&P BSE Sensex指數期貨由2018年7月27日停止買賣

結算及交收

各期權類別之未平倉合約

2019年第1季末

期權類別		未平倉合約	佔市場百份比
安碩A50	A50	60,052	0.70
瑞聲科技	AAC	25,437	0.30
海螺水泥	ACC	39,902	0.47
友邦保險	AIA	104,148	1.21
中國國航	AIR	1,539	0.02
中國鋁業	ALC	26,849	0.31
華夏滬深三百	AMC	60,401	0.70
交通銀行	BCM	32,003	0.37
東亞銀行	BEA	7,427	0.09
中銀香港	BOC	80,349	0.94
比亞迪股份	BYD	58,229	0.68
中國交通建設	CCC	30,483	0.36
中煤能源	CCE	21,611	0.25
中遠海控	CCS	0	0.00
中國信達	CDA	6,869	0.08
中廣核電力	CGN	1,447	0.02
中國移動	CHT	184,089	2.15
中國聯通	CHU	36,810	0.43
中信股份	CIT	20,791	0.24
長和	CKH	44,433	0.52
長實集團	CKP	14,617	0.17
中國人壽	CLI	298,681	3.48
中電控股	CLP	12,165	0.14
招商銀行	CMB	114,061	1.33
中國海洋石油	CNC	142,029	1.66
碧桂園	COG	20,764	0.24
中國海外發展	COL	21,548	0.25
國泰航空	CPA	1,368	0.02
中國石油化工股份	CPC	211,715	2.47
中國太保	CPI	75,944	0.89
中國鐵建	CRC	4,583	0.05
中國中鐵	CRG	14,129	0.16
華潤置地	CRL	18,345	0.21
南方A50	CSA	70,308	0.82
中國神華	CSE	62,889	0.73
石藥集團	CSP	12,801	0.15
中信銀行	CTB	57,155	0.67
中國電信	CTC	24,151	0.28
中信証券	CTS	55,431	0.65
東風集團股份	DFM	2,095	0.02
中國恒大	EVG	26,046	0.30
廣汽集團	GAC	3,888	0.05
吉利汽車	GAH	34,541	0.40

結算及交收

期權類別		未平倉合約	佔市場百份比
銀河娛樂	GLX	44,907	0.52
長城汽車	GWM	67,421	0.79
海通證券	HAI	32,570	0.38
恒生中國企業	HCF	7,999	0.09
電能實業	HEH	8,458	0.10
香港交易所	HEX	338,516	3.95
恒安國際	HGN	11,265	0.13
匯豐控股	HKB	404,232	4.71
香港中華煤氣	HKG	22,526	0.26
恒基地產	HLD	11,704	0.14
華能國際電力股份	HNP	6,781	0.08
恒生銀行	HSB	35,297	0.41
江西銅業股份	JXC	12,392	0.14
昆侖能源	KLE	8,618	0.10
金山軟件	KSO	25,795	0.30
聯想集團	LEN	96,811	1.13
利豐	LIF	2,295	0.03
領展房產基金	LNK	12,391	0.14
蒙牛乳業	MEN	9,959	0.12
美團點評-W	MET	45,960	0.54
美高梅中國	MGM	3,589	0.04
小米集團-W	MIU	131,590	1.53
民生銀行	MSB	37,410	0.44
港鐵公司	MTR	9,931	0.12
中國建材	NBM	22,028	0.26
新華保險	NCL	17,027	0.20
新世界發展	NWD	15,221	0.18
中國平安	PAI	1,022,972	11.92
中國石油股份	PEC	160,186	1.87
中國財險	PIC	19,603	0.23
中國人民保險集團	PIN	8,987	0.10
保利協鑫能源	PLE	0	0.00
富力地產	RFP	8,072	0.09
金沙中國有限公司	SAN	67,734	0.79
新鴻基地產	SHK	31,642	0.37
舜宇光學科技	SNO	14,226	0.17
國藥控股	SNP	5,791	0.07
渣打集團	STC	5,177	0.06
融創中國	SUN	19,572	0.23
太古股份公司A	SWA	3,829	0.04
騰訊控股	TCH	1,292,086	15.06
盈富基金	TRF	4,117	0.05
萬科企業	VNK	10,081	0.12
九龍倉集團	WHL	1,986	0.02

結算及交收

期權類別		未平倉合約	佔市場百份比
中國旺旺	WWC	3,417	0.04
農業銀行	XAB	30,352	0.35
中國銀行	XBC	831,309	9.69
建設銀行	XCC	849,678	9.90
工商銀行	XIC	506,299	5.90
郵儲銀行	XPB	2,367	0.03
中國鐵塔	XTW	75,797	0.88
兗州煤業股份	YZC	11,761	0.14
紫金礦業	ZJM	7,926	0.09
總數		8,579,783	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2018	第1季	673 (1070)	626 (1017)	32 (38)	15 (15)
	第2季	680 (1077)	635 (1026)	30 (36)	15 (15)
	第3季	685 (1082)	639 (1029)	31 (38)	15 (15)
	第4季	688 (1084)	640 (1028)	33 (41)	15 (15)
2019	第1季	695 (1090)	646 (1034)	34 (41)	15 (15)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2018	第1季	241 (263)	189 (209)	–	52 (54)
	第2季	246 (268)	192 (212)	–	54 (56)
	第3季	249 (271)	194 (214)	–	55 (57)
	第4季	248 (270)	194 (214)	–	54 (56)
2019	第1季	250 (272)	195 (215)	–	55 (57)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2018 第1季	157	9
第2季	160	9
第3季	162	9
第4季	162	9
2019 第1季	163	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2018 第1季	62	9
第2季	63	9
第3季	63	9
第4季	63	9
2019 第1季	64	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2018 第1季	600	9	43
第2季	608	9	43
第3季	614	9	44
第4季	616	9	44
2019 第1季	624	9	44

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
L&I Products	槓桿及反向產品
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券