

# 證券及衍生產品市場 季報

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## 2010年第二季

# 市場概況

## 主板

| 概況             | 2010年第2季           | 2009年第2季           |
|----------------|--------------------|--------------------|
| 上市公司數目         | 1,170              | 1,103              |
| 上市證券數目         | 6,562              | 5,688              |
| 股份             |                    |                    |
| 普通股            | 1,171              | 1,104              |
| 優先股            | 2                  | 2                  |
| 認股權證           | 4,139              | 2,756              |
| 股份             | 25                 | 30                 |
| 衍生             | 4,114              | 2,726              |
| 股票掛鈎票據         | 0                  | 0                  |
| 牛熊證            | 1,020              | 1620               |
| 單位信託及互惠基金      | 72                 | 39                 |
| 債券             | 158                | 167                |
| 非上市可交易股份數目     |                    |                    |
| Nasdaq 股份      | 7                  | 7                  |
| iShares        | 2                  | 2                  |
| 交易日數           | 60                 | 61                 |
| 總成交金額(百萬港元)    | 3,734,132.31       | 4,353,187.76       |
| 總成交股數(百萬股)     | 7,483,434.99       | 6,564,752.44       |
| 總成交宗數(宗數)      | 44,614,057         | 48,922,983         |
| 上市公司市價總值(百萬港元) | 17,000,166.93      | 14,074,574.48      |
| 平均市盈率(倍)       | 14.71              | 13.42              |
| 平均週息率(百分率)     | 2.66               | 3.41               |
| 交易所參與者數目       |                    |                    |
| 開業             | 471                | 458                |
| 非開業            | 30                 | 36                 |
| 成交金額(百萬港元)     |                    |                    |
| 最高             | 90,359.39 (07/05)  | 146,624.25 (13/05) |
| 最低             | 37,889.20 (14/06)  | 50,040.00 (29/06)  |
| 成交股數(百萬股)      |                    |                    |
| 最高             | 154,911.48 (06/05) | 135,137.34 (13/05) |
| 最低             | 83,832.57 (14/06)  | 76,179.35 (26/06)  |
| 總成交宗數(宗數)      |                    |                    |
| 最高             | 1,105,758 (07/04)  | 1,131,338 (02/06)  |
| 最低             | 503,927 (14/06)    | 589,405 (29/06)    |

# 市場概況

## 創業板

| 概況             | 2010年第2季         | 2009年第2季         |
|----------------|------------------|------------------|
| 上市公司數目         | 174              | 170              |
| 上市證券數目         | 175              | 171              |
| 股份             | 174              | 170              |
| 認股權證           | 1                | 1                |
| 交易日數           | 60               | 61               |
| 總成交金額(百萬港元)    | 39,315.14        | 19,561.73        |
| 總成交股數(百萬股)     | 139,317.76       | 116,455.92       |
| 總成交宗數(宗數)      | 987,314          | 650,615          |
| 上市公司市價總值(百萬港元) | 130,902.34       | 73,004.28        |
| 平均市盈率(倍)       | 28.88            | 34.03            |
| 平均週息率(百分率)     | 0.76             | 1.20             |
| 成交金額(百萬港元)     |                  |                  |
| 最高             | 1,636.44 (20/04) | 766.33 (22/05)   |
| 最低             | 201.03 (24/06)   | 99.88 (23/04)    |
| 成交股數(百萬股)      |                  |                  |
| 最高             | 7,408.01 (14/04) | 5,878.05 (30/06) |
| 最低             | 758.26 (10/06)   | 665.87 (23/04)   |
| 總成交宗數(宗數)      |                  |                  |
| 最高             | 39,296 (14/04)   | 22,878 (22/05)   |
| 最低             | 6,308 (24/06)    | 3,504 (23/04)    |

# 市場概況

## 衍生產品市場

| 概況                       | 2010年第2季          | 2009年第2季          |
|--------------------------|-------------------|-------------------|
| <b>所有期貨及期權產品(股票期權除外)</b> |                   |                   |
| 交易日數                     | 60.0              | 61.0              |
| 總合約成交量                   | <b>13,419,216</b> | <b>14,119,315</b> |
| 股市指數產品                   | 13,383,110        | 14,047,654        |
| 股票產品                     | 34,403            | 69,549            |
| 利率產品                     | 264               | 994               |
| 黃金期貨                     | 1,439             | 1,118             |
| 平均每日合約成交量                | <b>223,654</b>    | <b>231,464</b>    |
| <b>季末未平倉合約</b>           |                   |                   |
| 股市指數產品                   | 495,067           | 458,906           |
| 股票產品                     | 5,822             | 14,806            |
| 利率產品                     | 155               | 585               |
| 黃金期貨                     | 166               | 16                |
| <b>股票期權</b>              |                   |                   |
| 新開辦期權類別                  | —                 | —                 |
| 股票期權數目(於季末數目)            |                   |                   |
| 類別                       | 51                | 48                |
| 系列                       | 9,666             | 7,250             |
| 期權金總額(百萬港元)              | 12,614.00         | 20,116.61         |
| 認購                       | 4,371.63          | 10,287.87         |
| 認沽                       | 8,242.37          | 9,828.74          |
| 平均每日期權金總額(百萬港元)          | 210.23            | 329.78            |
| 總合約成交量                   | <b>14,262,472</b> | <b>13,279,436</b> |
| 認購                       | 6,054,502         | 6,254,541         |
| 認沽                       | 8,207,970         | 7,024,895         |
| 平均每日合約成交量                | 237,708           | 217,696           |
| 季末未平倉合約                  | <b>5,163,995</b>  | <b>3,847,782</b>  |
| 認購                       | 1,864,787         | 1,380,387         |
| 認沽                       | 3,299,208         | 2,467,395         |
| 總成交宗數                    | 481,335           | 440,987           |
| 平均每日成交宗數                 | 8,022             | 7,229             |
| 已行使合約總數                  | 1,300,346         | 2,193,993         |
| 每張合約平均期權金(港元)            | 884               | 1,515             |
| 每宗交易平均合約數目               | 30                | 30                |
| 認沽數量／認購數量                | 1.36              | 1.12              |
| 平均每日合約成交量／未平倉合約          | 4.6%              | 5.7%              |
| <b>所有期貨及期權產品</b>         |                   |                   |
| 總合約成交量                   | <b>27,681,688</b> | <b>27,398,751</b> |
| 季末未平倉合約                  | <b>5,665,205</b>  | <b>4,322,095</b>  |

# 市場概況

## 結算及交收

| 中央結算系統營運資料                                 | 2010年第2季  | 2009年第2季     |
|--------------------------------------------|-----------|--------------|
| 經中央結算系統處理之聯交所交易(每日平均數)                     |           |              |
| —交易宗數                                      | 759,995   | 811,938      |
| —交易金額(十億港元)                                | 62.9      | 71.7         |
| —涉及股數(十億股)                                 | 127.0     | 109.5        |
| 經中央結算系統處理的交收指示(每日平均數)                      |           |              |
| —交收指示數目                                    | 77,887    | 74,476       |
| —交收指示涉及金額(十億港元)                            | 177.0     | 188.2        |
| —涉及股數(十億股)                                 | 44.4      | 46.4         |
| 經中央結算系統處理的投資者交收指示(每日平均數)                   |           |              |
| —交收指示數目                                    | 543       | 609          |
| —交收指示涉及金額(百萬港元)                            | 252.4     | 197.8        |
| —涉及股數(百萬股)                                 | 129.2     | 125.2        |
| 以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率<br>(每日平均數)  | 99.87     | 99.84        |
| 以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率<br>(每日平均數) | 99.99     | 99.99        |
| 在T+3日進行補購(每日平均數)                           |           |              |
| —涉及經紀數目                                    | 8         | 10           |
| —補購宗數                                      | 9         | 12           |
| —補購涉及金額(百萬港元)                              | 3.7       | 4.6          |
| 存放於中央結算系統證券存管處的股份                          |           |              |
| —股數(十億股)                                   | 3,409.7   | 3,215.4      |
| —獲納入系統的證券佔已發行股本總數的百分比                      | 71.10     | 71.72        |
| —股份價值(十億港元)                                | 8,904.8   | 7,306.9      |
| —獲納入系統的證券佔市價總值的百分比                         | 48.93     | 48.24        |
| 衍生產品結算及交收系統營運資料                            |           |              |
| 季末未平倉合約                                    |           |              |
| —股市指數期貨                                    | 175,160   | 157,916      |
| —股票期貨                                      | 5,822     | 14,806       |
| —黃金期貨                                      | 166       | 16           |
| —利率期貨                                      | 155       | 585          |
| —股市指數期權                                    | 319,907   | 300,990      |
| —股票期權                                      | 5,163,995 | 3,847,782.00 |
| 累積行使股票期權                                   |           |              |
| —涉及股數(百萬股)                                 | 1,116     | 1,744        |
| —涉及金額(十億港元)                                | 23        | 31           |

# 主 板 股 份

## 股份成交

|      |       | 股數<br>(百 萬 股) | 金 額<br>(百 萬 港 元) | 成 交 宗 數    |
|------|-------|---------------|------------------|------------|
| 2009 | 第 2 季 | 1,188,910.37  | 3,347,606.37     | 42,040,613 |
|      | 第 3 季 | 927,952.04    | 3,213,533.31     | 40,896,652 |
|      | 第 4 季 | 893,162.02    | 3,100,334.47     | 40,162,798 |
| 2010 | 第 1 季 | 828,347.87    | 2,922,136.26     | 39,132,197 |
|      | 第 2 季 | 817,338.52    | 2,748,750.08     | 37,828,922 |

## 股份交易統計

|      |       | 交 易 日 數 | 每日平均成交<br>金 額 (百 萬 港 元) | 平均每宗<br>交 易 成 交 金 額 |
|------|-------|---------|-------------------------|---------------------|
| 2009 | 第 2 季 | 61      | 54,878.79               | 79,628              |
|      | 第 3 季 | 65      | 49,438.97               | 78,577              |
|      | 第 4 季 | 63      | 49,211.66               | 77,194              |
| 2010 | 第 1 季 | 61      | 47,903.87               | 74,673              |
|      | 第 2 季 | 60      | 45,812.50               | 72,663              |

# 主 板 股 份

## 20種升幅最大之股份

2010年第2季

| 順次 | 股份代號  | 股份             | 收市價      |          | 升幅     |
|----|-------|----------------|----------|----------|--------|
|    |       |                | 2010年6月底 | 2010年3月底 |        |
| 1  | 00049 | 會德豐地產          | 12.940   | 4.970    | 160.36 |
| 2  | 00544 | 大同集團           | 0.395    | 0.160    | 146.88 |
| 3  | 00542 | 星晨集團           | 0.285    | 0.121    | 135.54 |
| 4  | 00702 | 創新能源           | 0.550    | 0.270    | 103.70 |
| 5  | 01178 | 天年生物           | 0.265    | 0.133    | 99.25  |
| 6  | 00999 | I.T            | 2.570    | 1.360    | 88.97  |
| 7  | 00174 | 奇盛(集團)         | 1.700    | 0.900    | 88.89  |
| 8  | 00417 | 謝瑞麟            | 5.540    | 2.950    | 87.80  |
| 9  | 00033 | 十友控股           | 3.090    | 1.680    | 83.93  |
| 10 | 03828 | 明輝國際           | 3.080    | 1.820    | 69.23  |
| 11 | 00379 | 必美宜            | 0.630    | 0.375    | 68.00  |
| 12 | 00547 | 奧亮集團           | 0.305    | 0.183    | 66.67  |
| 13 | 00065 | 弘海有限公司         | 1.620    | 0.980    | 65.31  |
| 14 | 00821 | 匯盈控股           | 3.200    | 1.950    | 64.10  |
| 15 | 02678 | 天虹紡織           | 3.580    | 2.190    | 63.47  |
| 16 | 00647 | JOYCE BOUTIQUE | 0.330    | 0.204    | 61.76  |
| 17 | 03933 | 聯邦制藥           | 10.680   | 6.680    | 59.88  |
| 18 | 02005 | 利君國際           | 2.860    | 1.800    | 58.89  |
| 19 | 00499 | 華脈無線通信         | 2.350    | 1.540    | 52.60  |
| 20 | 01105 | 星島             | 1.540    | 1.010    | 52.48  |

A 經調整

## 20種跌幅最大之股份

2010年第2季

| 順次 | 股份代號  | 股份       | 收市價      |          | 跌幅       |
|----|-------|----------|----------|----------|----------|
|    |       |          | 2010年6月底 | 2010年3月底 |          |
| 1  | 00692 | 正興       | 0.021    | 0.144    | A -85.42 |
| 2  | 00402 | 明興水務     | 0.161    | 0.660    | -75.61   |
| 3  | 00076 | 南海石油     | 0.720    | 2.050    | A -64.88 |
| 4  | 00736 | 中國置業投資   | 0.033    | 0.089    | -62.92   |
| 5  | 03989 | 新環保能源    | 0.830    | 2.110    | -60.66   |
| 6  | 01129 | 中國水業集團   | 0.100    | 0.247    | -59.51   |
| 7  | 01142 | 西伯利亞礦業   | 0.174    | 0.420    | A -58.57 |
| 8  | 00913 | 合一投資     | 0.098    | 0.223    | A -56.05 |
| 9  | 00364 | 華豐集團     | 0.390    | 0.870    | -55.17   |
| 10 | 00986 | NAM HING | 0.310    | 0.680    | -54.41   |
| 11 | 01141 | 北京御生堂    | 0.470    | 1.020    | -53.92   |
| 12 | 00885 | 福方集團     | 0.113    | 0.242    | -53.31   |
| 13 | 00329 | 如烟集團     | 0.106    | 0.220    | A -51.82 |
| 14 | 01166 | 榮盛科技國際   | 0.054    | 0.111    | -51.35   |
| 15 | 00432 | 盈大地產     | 1.420    | 2.900    | -51.03   |
| 16 | 00397 | 中國保綠資產   | 0.221    | 0.450    | -50.89   |
| 17 | 00850 | 中亞能源     | 0.680    | 1.330    | -48.87   |
| 18 | 00830 | 遠東環球     | 0.630    | 1.220    | -48.36   |
| 19 | 01318 | 格菱控股     | 0.940    | 1.800    | -47.78   |
| 20 | 01070 | TCL多媒體   | 4.210    | 8.050    | -47.70   |

A 經調整

# 主 板 股 份

## 20種成交最活躍股份(金額)

2010年第2季

| 順次  | 股份代號  | 股份       | 成交金額(百萬港元)   | 佔市場百分比 |
|-----|-------|----------|--------------|--------|
| 1   | 00005 | 匯豐控股     | 122,360.04   | 4.45   |
| 2   | 00939 | 建設銀行     | 110,455.60   | 4.02   |
| 3   | 00941 | 中國移動     | 103,385.35   | 3.76   |
| 4   | 01398 | 工商銀行     | 93,676.62    | 3.41   |
| 5   | 02628 | 中國人壽     | 82,531.63    | 3.00   |
| 6   | 03988 | 中國銀行     | 73,490.90    | 2.67   |
| 7   | 00700 | 騰訊控股     | 66,183.33    | 2.41   |
| 8   | 00883 | 中國海洋石油   | 58,768.07    | 2.14   |
| 9   | 02318 | 中國平安     | 51,175.32    | 1.86   |
| 10  | 00857 | 中國石油股份   | 47,456.55    | 1.73   |
| 11  | 00016 | 新鴻基地產    | 37,910.18    | 1.38   |
| 12  | 01088 | 中國神華     | 37,613.60    | 1.37   |
| 13  | 00386 | 中國石油化工股份 | 35,433.00    | 1.29   |
| 14  | 00388 | 香港交易所    | 32,614.77    | 1.19   |
| 15  | 03968 | 招商銀行     | 31,847.38    | 1.16   |
| 16  | 00688 | 中國海外發展   | 29,049.30    | 1.06   |
| 17  | 01171 | 兗州煤業股份   | 25,549.13    | 0.93   |
| 18  | 01211 | 比亞迪股份    | 24,668.40    | 0.90   |
| 19  | 03328 | 交通銀行     | 23,774.61    | 0.86   |
| 20  | 00762 | 中國聯通     | 23,671.00    | 0.86   |
| 總 額 |       |          | 1,111,614.77 | 40.44  |

## 20種成交最活躍股份(股數)

2010年第2季

| 順次  | 股份代號  | 股份       | 成交股數(百萬股)  | 佔市場百分比 |
|-----|-------|----------|------------|--------|
| 1   | 00692 | 正興       | 21,780.72  | 2.66   |
| 2   | 01142 | 西伯利亞礦業   | 20,694.54  | 2.53   |
| 3   | 01041 | 福邦控股     | 18,370.04  | 2.25   |
| 4   | 03988 | 中國銀行     | 18,246.20  | 2.23   |
| 5   | 00939 | 建設銀行     | 17,215.88  | 2.11   |
| 6   | 00689 | 長盈集團(控股) | 17,135.31  | 2.10   |
| 7   | 01398 | 工商銀行     | 16,127.95  | 1.97   |
| 8   | 00985 | 中科礦業     | 15,659.63  | 1.92   |
| 9   | 00585 | 意馬國際     | 15,356.13  | 1.88   |
| 10  | 00261 | 中建科技國際   | 14,360.05  | 1.76   |
| 11  | 00897 | 位元堂      | 13,828.47  | 1.69   |
| 12  | 00663 | 金山能源     | 10,810.18  | 1.32   |
| 13  | 01166 | 榮盛科技國際   | 10,543.32  | 1.29   |
| 14  | 00729 | 嘉盛控股     | 8,748.86   | 1.07   |
| 15  | 00419 | 華億傳媒     | 8,052.23   | 0.99   |
| 16  | 00155 | 華基光電     | 7,873.50   | 0.96   |
| 17  | 00351 | 亞洲能源物流   | 7,769.37   | 0.95   |
| 18  | 00091 | 駿新能源     | 7,548.81   | 0.92   |
| 19  | 00702 | 創新能源     | 7,152.53   | 0.88   |
| 20  | 00981 | 中芯國際     | 7,128.85   | 0.87   |
| 總 數 |       |          | 264,402.57 | 32.35  |



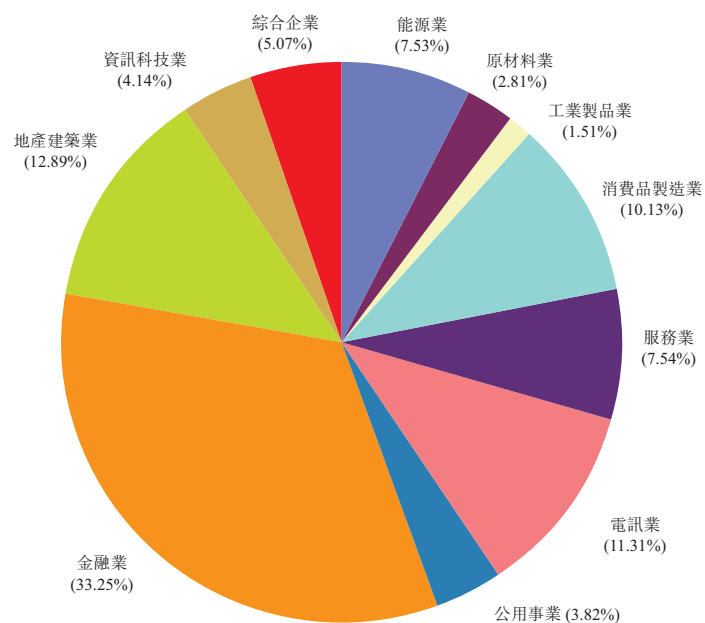
# 主 板 股 份

## 上市公司市價總值－主板

|      |       | 百 萬 港 元       |
|------|-------|---------------|
| 2009 | 第 2 季 | 14,074,574.48 |
|      | 第 3 季 | 15,872,855.00 |
|      | 第 4 季 | 17,769,271.41 |
| 2010 | 第 1 季 | 17,920,896.03 |
|      | 第 2 季 | 17,000,166.93 |

## 恒生行業分類系統之證券市價總值\*－主板

季末數字



\* 行業分類由恒生指數有限公司提供。

# 主 板 股 份

## 50間市價總值最大之上市公司

截至2010年第2季季末

| 順次   | 股份代號  | 公司                   | 市價總值(百萬港元)    | 佔市場總值(%) |
|------|-------|----------------------|---------------|----------|
| 1    | 00941 | 中國移動有限公司             | 1,568,889.03  | 9.23     |
| 2    | 00939 | 中國建設銀行股份有限公司－H股      | 1,424,528.79  | 8.38     |
| 3    | 00005 | 匯豐控股有限公司             | 1,272,076.00  | 7.48     |
| 4    | 00883 | 中國海洋石油有限公司           | 597,673.90    | 3.52     |
| 5    | 01398 | 中國工商銀行股份有限公司－H股      | 475,083.19    | 2.79     |
| 6    | 02888 | 渣打集團有限公司             | 399,847.42    | 2.35     |
| 7    | 03988 | 中國銀行股份有限公司－H股        | 301,800.40    | 1.78     |
| 8    | 00016 | 新鴻基地產發展有限公司          | 276,022.21    | 1.62     |
| 9    | 02628 | 中國人壽保險股份有限公司－H股      | 257,836.71    | 1.52     |
| 10   | 00762 | 中國聯合網絡通信(香港)股份有限公司   | 248,344.46    | 1.46     |
| 11   | 00700 | 騰訊控股有限公司             | 238,733.36    | 1.40     |
| 12   | 03328 | 交通銀行股份有限公司－H股        | 219,885.11    | 1.29     |
| 13   | 00001 | 長江實業(集團)有限公司         | 209,381.26    | 1.23     |
| 14   | 00013 | 和記黃埔有限公司             | 205,920.81    | 1.21     |
| 15   | 00011 | 恒生銀行有限公司             | 199,978.75    | 1.18     |
| 16   | 02388 | 中銀香港(控股)有限公司         | 188,829.86    | 1.11     |
| 17   | 00857 | 中國石油天然氣股份有限公司－H股     | 185,037.35    | 1.09     |
| 18   | 02318 | 中國平安保險(集團)股份有限公司－H股  | 183,895.08    | 1.08     |
| 19   | 00066 | 香港鐵路有限公司             | 153,692.06    | 0.90     |
| 20   | 00003 | 香港中華煤氣有限公司           | 138,906.11    | 0.82     |
| 21   | 00002 | 中電控股有限公司             | 135,826.79    | 0.80     |
| 22   | 00494 | 利豐有限公司               | 133,914.41    | 0.79     |
| 23   | 00388 | 香港交易及結算所有限公司         | 132,151.26    | 0.78     |
| 24   | 00019 | 太古股份有限公司             | 130,496.85    | 0.77     |
| 25   | 00101 | 恒隆地產有限公司             | 125,394.38    | 0.74     |
| 26   | 00688 | 中國海外發展有限公司           | 119,966.34    | 0.71     |
| 27   | 00322 | 康師傅控股有限公司            | 107,378.17    | 0.63     |
| 28   | 00386 | 中國石油化工股份有限公司－H股      | 106,556.10    | 0.63     |
| 29   | 00004 | 九龍倉集團有限公司            | 105,059.81    | 0.62     |
| 30   | 00012 | 恒基兆業地產有限公司           | 99,606.21     | 0.59     |
| 31   | 00006 | 香港電燈集團有限公司           | 99,136.45     | 0.58     |
| 32   | 01088 | 中國神華能源股份有限公司－H股      | 96,859.60     | 0.57     |
| 33   | 01928 | 金沙中國有限公司             | 94,160.02     | 0.55     |
| 34   | 01880 | 百麗國際控股有限公司           | 94,126.04     | 0.55     |
| 35   | 00151 | 中國旺旺控股有限公司           | 87,196.95     | 0.51     |
| 36   | 00836 | 華潤電力控股有限公司           | 83,470.86     | 0.49     |
| 37   | 01688 | 阿里巴巴網絡有限公司           | 78,589.46     | 0.46     |
| 38   | 01044 | 恒安國際集團有限公司           | 77,487.39     | 0.46     |
| 39   | 01109 | 華潤置地有限公司             | 74,539.22     | 0.44     |
| 40   | 03968 | 招商銀行股份有限公司－H股        | 73,908.03     | 0.43     |
| 41   | 02601 | 中國太平洋保險(集團)股份有限公司－H股 | 72,059.30     | 0.42     |
| 42   | 00291 | 華潤創業有限公司             | 69,393.73     | 0.41     |
| 43   | 00083 | 信和置業有限公司             | 68,834.87     | 0.40     |
| 44   | 01128 | 永利澳門有限公司             | 66,711.25     | 0.39     |
| 45   | 01038 | 長江基建集團有限公司           | 65,259.38     | 0.38     |
| 46   | 00144 | 招商局國際有限公司            | 63,145.93     | 0.37     |
| 47   | 00998 | 中信銀行股份有限公司－H股        | 61,884.99     | 0.36     |
| 48   | 00293 | 國泰航空有限公司             | 60,974.59     | 0.36     |
| 49   | 00392 | 北京控股有限公司             | 58,062.79     | 0.34     |
| 50   | 00023 | 東亞銀行有限公司             | 57,598.91     | 0.34     |
| 總額   |       |                      | 11,446,111.93 | 67.33    |
| 市場總值 |       |                      | 17,000,166.93 | 100.00   |

# 主 板 股 份

## 賣空交易(金額)

|      |     | 賣空交易<br>(百萬港元) | 股份成交金額<br>(百萬港元) * | 佔股份成交<br>百分比 |
|------|-----|----------------|--------------------|--------------|
| 2009 | 第2季 | 210,086.20     | 3,367,168.10       | 6.24         |
|      | 第3季 | 234,578.14     | 3,239,927.90       | 7.24         |
|      | 第4季 | 216,473.14     | 3,125,947.48       | 6.93         |
| 2010 | 第1季 | 254,445.78     | 2,953,639.11       | 8.61         |
|      | 第2季 | 278,492.48     | 2,788,065.18       | 9.99         |

\* 包括主板及創業板數據

## 賣空交易(股數)

|      |     | 賣空交易<br>(百萬股) | 股份成交股數<br>(百萬股) * | 佔股份成交<br>百分比 |
|------|-----|---------------|-------------------|--------------|
| 2009 | 第2季 | 22,870.86     | 1,305,366.29      | 1.75         |
|      | 第3季 | 20,969.48     | 1,012,187.21      | 2.07         |
|      | 第4季 | 19,660.11     | 965,635.67        | 2.04         |
| 2010 | 第1季 | 21,677.84     | 926,224.94        | 2.34         |
|      | 第2季 | 24,633.79     | 956,653.63        | 2.57         |

\* 包括主板及創業板數據

## 新上市統計—公司

|      |     | 新上市<br>公司數目 | 創業板<br>公司轉板數目 * | 集資金額<br>(百萬港元) # |
|------|-----|-------------|-----------------|------------------|
| 2009 | 第2季 | 7           | 4               | 16,085.94        |
|      | 第3季 | 13          | 0               | 45,370.96        |
|      | 第4季 | 37          | 0               | 184,852.28       |
| 2010 | 第1季 | 13          | 0               | 33,962.31        |
|      | 第2季 | 13          | 2               | 16,207.37        |

\* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

# 集資金額為新上市公司首次公開招股的集資總額

# 主 板 股 份

## 新上市公司

2010年第2季

| 股份代號  | 公司名稱                          | 上市日期       | 已發行股數         | 集資金額(百萬港元) |
|-------|-------------------------------|------------|---------------|------------|
| 01999 | 敏華控股有限公司                      | 2010/04/09 | 971,135,600   | 1,681.77   |
| 00877 | 昂納光通信(集團)有限公司                 | 2010/04/29 | 773,095,240   | 644.58     |
| 01863 | 思嘉集團有限公司                      | 2010/04/29 | 828,831,000   | 750.57     |
| 00503 | 朗生醫藥控股有限公司                    | 2010/05/07 | 415,000,000   | 611.33     |
| 00973 | L'Occitane International S.A. | 2010/05/07 | 1,476,964,891 | 6,109.47   |
| 00950 | 李氏大藥廠控股有限公司*                  | 2010/05/14 | 450,582,437   | —          |
| 02222 | 雷士照明控股有限公司                    | 2010/05/20 | 2,963,654,000 | 1,646.10   |
| 02378 | 英國保誠有限公司                      | 2010/05/25 | 2,539,208,626 | —          |
| 02268 | 優源國際控股有限公司                    | 2010/05/27 | 1,000,000,000 | 645.00     |
| 02188 | 中國泰坦能源技術集團有限公司                | 2010/05/28 | 830,000,000   | 271.40     |
| 00873 | 國際泰豐控股有限公司                    | 2010/06/11 | 1,000,000,000 | 576.80     |
| 02228 | 海東青新材料集團有限公司                  | 2010/06/21 | 800,000,000   | 571.20     |
| 01026 | 環球實業科技控股有限公司*                 | 2010/06/22 | 1,554,478,858 | —          |
| 02128 | 中國聯塑集團控股有限公司                  | 2010/06/23 | 3,000,000,000 | 1,950.00   |
| 00325 | 創生控股有限公司                      | 2010/06/29 | 750,000,000   | 749.15     |
| 總 額   |                               |            |               | 16,207.37  |

\* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

# 主 板 股 份

## 除牌上市公司

2010年第2季

| 股份代號  | 公司名稱       | 最後買賣日期     | 除牌日期       |
|-------|------------|------------|------------|
| 03313 | 美維控股有限公司   | 2010/04/12 | 2010/04/19 |
| 02332 | 和記電訊國際有限公司 | 2010/05/17 | 2010/05/25 |
| 01832 | 時代零售集團有限公司 | 2010/01/11 | 2010/05/25 |

## 公司名稱更改

2010年第2季

| 股份代號  | 原有名稱           | 新名稱             | 生效日期       | 採用日期       |
|-------|----------------|-----------------|------------|------------|
| 03989 | 恒寶利國際控股有限公司    | 新環保能源控股有限公司     | 2010/03/03 | 2010/04/19 |
| 00668 | 香港飲食管理有限公司     | 東星能源集團有限公司      | 2010/04/16 | 2010/04/26 |
| 00061 | 綠色環球資源有限公司     | 北亞資源控股有限公司      | 2010/03/19 | 2010/04/28 |
| 01141 | 保興發展控股有限公司     | 北京御生堂藥業集團有限公司   | 2010/03/30 | 2010/05/07 |
| 03886 | 康健國際控股有限公司     | 康健國際投資有限公司      | 2010/04/14 | 2010/05/11 |
| 01878 | 南戈壁能源有限公司*     | 南戈壁能源有限公司       | 2010/05/11 | 2010/05/12 |
| 00922 | 金科數碼國際控股有限公司   | 中福控股發展有限公司      | 2010/03/22 | 2010/05/14 |
| 00471 | 佳邦環球控股有限公司     | 中國移動多媒體廣播控股有限公司 | 2010/05/14 | 2010/05/20 |
| 00231 | 環球動力控股有限公司     | 盛明國際(控股)有限公司    | 2010/05/03 | 2010/06/07 |
| 02324 | 德泰中華投資有限公司     | 首都創投有限公司        | 2010/04/01 | 2010/06/17 |
| 00474 | 永保時國際(控股)有限公司  | 昊天能源集團有限公司      | 2010/05/07 | 2010/06/17 |
| 02389 | 旺城國際控股集團有限公司   | 正峰集團有限公司        | 2010/05/12 | 2010/06/22 |
| 00081 | 規殼電器工業(集團)有限公司 | 中國海外宏洋集團有限公司    | 2010/06/04 | 2010/06/23 |
| 01189 | 永安旅遊(控股)有限公司   | 珀麗酒店控股有限公司      | 2010/05/27 | 2010/06/25 |
| 00929 | IPE集團有限公司#     | 國際精密集團有限公司      | 2010/05/19 | 2010/06/29 |
| 00985 | 中國科技集團有限公司     | 中科礦業集團有限公司      | 2010/06/22 | 2010/06/30 |
| 00369 | 富聯國際集團有限公司     | 永泰地產有限公司        | 2010/05/20 | 2010/06/30 |

\* 祇改英文名稱

# 祇改中文名稱

# 主 板 股 份

## 紅股／紅利認股權證

2010年第2季

| 股份代號  | 公司                | 詳情              | 除淨日        |
|-------|-------------------|-----------------|------------|
| 00012 | 恒基兆業地產有限公司        | 5股送1份2011年認股權證  | 2010/04/16 |
| 00148 | 建滔化工集團有限公司        | 10股送1份2012年認股權證 | 2010/04/20 |
| 02882 | 香港資源控股有限公司        | 80送1            | 2010/04/23 |
| 00190 | 香港建設(控股)有限公司      | 10送1            | 2010/04/27 |
| 00190 | 香港建設(控股)有限公司      | 10股送1份認股權證      | 2010/04/27 |
| 00987 | 香港新能源(控股)有限公司     | 10送1            | 2010/04/27 |
| 00987 | 香港新能源(控股)有限公司     | 10股送1份認股權證      | 2010/04/27 |
| 00914 | 安徽海螺水泥股份有限公司 - H股 | 10送10           | 2010/04/30 |
| 00763 | 中興通訊股份有限公司 - H股   | 10送5            | 2010/04/30 |
| 00131 | 卓能(集團)有限公司        | 15股送1份2011年認股權證 | 2010/05/03 |
| 00337 | 盛高置地(控股)有限公司      | 40送1            | 2010/05/04 |
| 00653 | 卓悅控股有限公司          | 1送5             | 2010/05/13 |
| 00003 | 香港中華煤氣有限公司        | 10送1            | 2010/05/14 |
| 01072 | 東方電氣股份有限公司 - H股   | 10送10           | 2010/05/17 |
| 00543 | 太平洋網絡有限公司         | 20送1            | 2010/05/17 |
| 02342 | 京信通信系統控股有限公司      | 10送1            | 2010/05/18 |
| 00868 | 信義玻璃控股有限公司        | 1送1             | 2010/05/20 |
| 00947 | 摩比發展有限公司          | 10送1            | 2010/05/26 |
| 00326 | 中國星集團有限公司         | 5股送1份2012年認股權證  | 2010/05/31 |
| 03838 | 中國澱粉控股有限公司        | 1送1             | 2010/06/10 |
| 00166 | 新時代能源有限公司         | 7股送1份2011年認股權證  | 2010/06/10 |
| 01155 | 星辰通信國際控股有限公司      | 10送1            | 2010/06/15 |
| 01988 | 中國民生銀行股份有限公司 - H股 | 10送2            | 2010/06/23 |
| 00672 | 眾安房產有限公司          | 5送1             | 2010/06/23 |

## 股份拆細及合併

2010年第2季

| 股份代號  | 公司                          | 詳情       | 生效日期       |
|-------|-----------------------------|----------|------------|
| 00202 | 國中控股有限公司                    | 10股合併為1股 | 2010/04/12 |
| 01142 | 西伯利亞礦業集團有限公司                | 20股合併為1股 | 2010/04/12 |
| 00585 | 意馬國際控股有限公司                  | 10股合併為1股 | 2010/04/19 |
| 00692 | 正興(集團)有限公司                  | 1股分拆為5股  | 2010/05/04 |
| 00193 | 冠中地產有限公司                    | 10股合併為1股 | 2010/05/10 |
| 00513 | 恒和珠寶集團有限公司                  | 1股分拆為10股 | 2010/05/19 |
| 00933 | 光滙石油(控股)有限公司                | 1股分拆為4股  | 2010/05/28 |
| 00862 | Vision Values Holdings Ltd. | 1股分拆為10股 | 2010/05/28 |
| 00076 | 南海石油控股有限公司                  | 50股合併為1股 | 2010/06/02 |
| 00310 | 嘉進投資國際有限公司                  | 1股分拆為4股  | 2010/06/28 |

# 主 板 股 份

## 供股／公開售股

2010年第2季

| 月份 | 股份代號  | 公司名稱         | 比率                             | 集資金額<br>(百萬港元) |
|----|-------|--------------|--------------------------------|----------------|
| 4月 | 00899 | 亞洲資源控股有限公司   | 公開售股2供1，每股供0.13港元              | 131.99         |
|    | 00145 | 香港建屋貸款有限公司   | 公開售股4供1，每股供0.10港元              | 56.25          |
| 5月 | 00585 | 意馬國際控股有限公司   | 股權1供4，每股供0.07港元<br>(10股合併為1股後) | 100.84         |
|    | 00913 | 合一投資控股有限公司   | 股權1供2，每股供0.15港元                | 85.39          |
|    | 00399 | 聯合基因科技集團有限公司 | 股權1供1，每股供0.052港元               | 316.28         |
|    | 02312 | 中國金融租賃集團有限公司 | 公開售股2供1，每股供0.057港元             | 11.54          |
|    | 00329 | 如烟集團(控股)有限公司 | 股權2供1，每股供0.10港元                | 83.18          |
| 6月 | 00686 | 太益控股有限公司     | 公開售股4供1，每股供0.50港元              | 33.21          |
|    | 01136 | 台泥國際集團有限公司   | 股權2供1，每股供2.10港元                | 2,306.94       |
| 總額 |       |              |                                | 3,125.62       |

# 主 板 股 份

## 股份配售\*

| 月份   | 股份代號  | 公司名稱          | 配售股份數目        | 配售價<br>(港元) | 集資金額<br>(百萬港元) |
|------|-------|---------------|---------------|-------------|----------------|
| 2010 |       |               |               |             |                |
| 3月** | 01194 | 中國貴金屬         | 65,000,000    | 1.980       | 128.70         |
|      | 00736 | 中國置業投資        | 90,000,000    | 0.084       | 7.56           |
|      | 00412 | 漢基控股          | 137,883,749   | 0.240       | 33.09          |
| 4月   | 01104 | 亞太資源          | 1,100,000,000 | 0.500       | 550.00         |
|      | 00931 | 宏通集團          | 400,000,000   | 0.520       | 208.00         |
|      | 00073 | 亞洲果業          | 68,000,000    | 5.700       | 387.60         |
|      | 00653 | 卓悅控股          | 9,000,000     | 9.000       | 81.00          |
|      | 00933 | 光匯石油          | 100,000,000   | 10.000      | 1,000.00       |
|      | 01194 | 中國貴金屬         | 200,000,000   | 2.080       | 416.00         |
|      | 00149 | 中國農產品交易       | 1,200,000,000 | 0.050       | 60.00          |
|      | 00736 | 中國置業投資        | 510,000,000   | 0.084       | 42.84          |
|      | 01137 | 城市電訊          | 80,500,000    | 5.046       | 406.16         |
|      | 00943 | 意科控股          | 317,000,000   | 0.153       | 48.50          |
|      | 00689 | 長盈集團(控股)      | 1,390,000,000 | 0.183       | 254.37         |
|      | 00279 | 民豐控股          | 76,270,000    | 0.500       | 38.14          |
|      | 00702 | 創新能源          | 874,000,000   | 0.198       | 173.05         |
|      | 00587 | 華瀚生物製藥        | 264,740,000   | 2.670       | 706.86         |
|      | 01188 | 正道集團          | 338,300,000   | 0.350       | 118.41         |
|      | 02005 | 利君國際          | 235,000,000   | 1.900       | 446.50         |
|      | 00526 | 通達工業          | 937,500,000   | 0.160       | 150.00         |
|      | 00136 | 馬斯葛集團         | 109,184,800   | 0.990       | 108.09         |
|      | 00860 | 明豐珠寶          | 297,000,000   | 0.670       | 198.99         |
|      | 00425 | 敏實集團          | 97,000,000    | 12.250      | 1,188.25       |
|      | 03989 | 新環保能源         | 9,341,000     | 1.670       | 15.60          |
|      | 03989 | 新環保能源         | 76,400,000    | 2.020       | 154.33         |
|      | 00433 | 北方礦業          | 775,000,000   | 0.395       | 306.13         |
|      | 00260 | 中油潔能          | 215,000,000   | 0.377       | 81.06          |
|      | 01166 | 榮盛科技國際        | 2,000,000,000 | 0.100       | 200.00         |
|      | 01070 | TCL多媒體        | 72,000,000    | 7.430       | 534.96         |
|      | 03886 | 康健國際          | 150,000,000   | 0.810       | 121.50         |
|      | 00862 | VISION VALUES | 23,490,000    | 3.300       | 77.52          |
|      | 00195 | 威達國際          | 480,000,000   | 0.650       | 312.00         |
|      | 00897 | 位元堂           | 1,200,000,000 | 0.053       | 63.60          |
|      | 02349 | 水務地產集團        | 1,386,000,000 | 0.146       | 202.36         |
|      | 00382 | 威靈控股          | 600,000,000   | 0.420       | 252.00         |
|      | 01068 | 雨潤食品          | 90,000,000    | 23.880      | 2,149.20       |
|      | 00909 | 中大國際          | 18,450,000    | 0.813       | 15.00          |



# 主 板 股 份

| 月份  | 股份代號  | 公司名稱            | 配售股份數目         | 配售價<br>(港元) | 集資金額<br>(百萬港元) |
|-----|-------|-----------------|----------------|-------------|----------------|
| 5月  | 01003 | 21 控股           | 375,000,000    | 0.133       | 49.88          |
|     | 03344 | 互益集團            | 41,250,000     | 1.150       | 47.44          |
|     | 00595 | AV CONCEPT HOLD | 57,000,000     | 1.050       | 59.85          |
|     | 00081 | 中國海外宏洋集團        | 41,000,000     | 5.000       | 205.00         |
|     | 00256 | 中國海澱            | 106,815,620    | 0.770       | 82.25          |
|     | 01060 | 文化中國傳播          | 296,000,000    | 0.550       | 162.80         |
|     | 00116 | 周生生             | 75,000,000     | 13.300      | 997.50         |
|     | 01199 | 中遠太平洋           | 449,000,000    | 10.400      | 4,669.60       |
|     | 00885 | 福方集團            | 60,988,080     | 0.220       | 13.42          |
|     | 00702 | 創新能源            | 200,000,000    | 0.220       | 44.00          |
|     | 00559 | 華藝礦業            | 468,300,000    | 0.153       | 71.65          |
|     | 01188 | 正道集團            | 460,000,000    | 0.375       | 172.50         |
|     | 00585 | 意馬國際            | 2,863,000,000  | 0.070       | 200.41         |
|     | 00202 | 國中控股            | 440,000,000    | 0.650       | 286.00         |
|     | 00729 | 嘉盛控股            | 400,000,000    | 0.730       | 292.00         |
|     | 00391 | 美亞娛樂資訊          | 722,580,000    | 0.208       | 150.30         |
|     | 00632 | 東方明珠創業          | 231,367,000    | 1.380       | 319.29         |
|     | 00766 | 中盈國金資源          | 2,888,000,000  | 0.190       | 548.72         |
|     | 00260 | 中油潔能            | 40,000,000     | 0.377       | 15.08          |
|     | 00198 | 星美國際            | 503,752,000    | 0.435       | 219.13         |
|     | 03886 | 康健國際            | 192,000,000    | 0.810       | 155.52         |
|     | 03933 | 聯邦制藥            | 50,000,000     | 8.500       | 425.00         |
|     | 01161 | 奧思集團            | 24,840,764     | 1.570       | 39.00          |
|     | 00621 | 永興國際            | 80,000,000     | 0.500       | 40.00          |
|     | 00570 | 盈天醫藥            | 155,000,000    | 0.850       | 131.75         |
| 6月  | 00508 | 其士泛亞            | 119,695,000    | 0.530       | 63.44          |
|     | 00736 | 中國置業投資          | 500,000,000    | 0.055       | 27.50          |
|     | 00736 | 中國置業投資          | 500,000,000    | 0.039       | 19.50          |
|     | 00471 | 中播控股            | 184,400,000    | 0.111       | 20.47          |
|     | 00701 | CNT GROUP       | 314,734,281    | 0.337       | 106.14         |
|     | 00985 | 中科礦業            | 23,400,000,000 | 0.200       | 4,680.00       |
|     | 00702 | 創新能源            | 550,000,000    | 0.220       | 121.00         |
|     | 00412 | 漢基控股            | 400,000,000    | 0.250       | 100.00         |
|     | 00199 | 德祥地產            | 94,000,000     | 1.600       | 150.40         |
|     | 00402 | 明興水務            | 3,800,000,000  | 0.120       | 456.00         |
|     | 03366 | 華僑城(亞洲)         | 151,800,000    | 5.000       | 759.00         |
|     | 00329 | 如烟集團            | 332,721,166    | 0.100       | 33.27          |
|     | 00260 | 中油潔能            | 80,000,000     | 0.377       | 30.16          |
|     | 00723 | 永保林業            | 412,000,000    | 0.500       | 206.00         |
|     | 00990 | 榮暉國際            | 70,000,000     | 1.000       | 70.00          |
|     | 03886 | 康健國際            | 245,500,000    | 0.810       | 198.86         |
|     | 00273 | 威利國際            | 171,437,476    | 0.255       | 43.72          |
| 總 額 |       |                 |                |             | 27,720.87      |

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

\*\* 前季度之補充資料。

# 主 板 認 股 權 證

## 認股權證交易統計

|      |     | 股份認股權證<br>(百萬元) | 衍生權證<br>(百萬元) | 總額<br>(百萬元) |
|------|-----|-----------------|---------------|-------------|
| 2009 | 第2季 | 172.23          | 412,980.82    | 413,153.05  |
|      | 第3季 | 126.39          | 484,790.00    | 484,916.39  |
|      | 第4季 | 220.45          | 442,719.26    | 442,939.71  |
| 2010 | 第1季 | 228.05          | 588,420.02    | 588,648.07  |
|      | 第2季 | 302.00          | 538,302.09    | 538,604.09  |

## 認股權證市價總值

|      |     | 數目 | 股份認股權證<br>(百萬元) | 數目    | 衍生權證<br>(百萬元) | 總數    | 總額<br>(百萬元) |
|------|-----|----|-----------------|-------|---------------|-------|-------------|
| 2009 | 第2季 | 30 | 998.23          | 2,726 | 208,964.75    | 2,756 | 209,962.98  |
|      | 第3季 | 26 | 835.09          | 3,093 | 190,077.28    | 3,119 | 190,912.38  |
|      | 第4季 | 25 | 1,103.37        | 3,367 | 136,441.34    | 3,392 | 137,544.71  |
| 2010 | 第1季 | 20 | 1,201.13        | 3,974 | 106,176.30    | 3,994 | 107,377.43  |
|      | 第2季 | 25 | 1,697.57        | 4,114 | 86,643.45     | 4,139 | 88,341.02   |

## 新上市統計－認股權證

|      |     | 新上市股份認股<br>權證數目 | 新上市衍生<br>權證數目 | 集資金額<br>(百萬元) |
|------|-----|-----------------|---------------|---------------|
| 2009 | 第2季 | 7               | 1,005         | 68,757.45     |
|      | 第3季 | -               | 1,154         | 67,523.38     |
|      | 第4季 | 3               | 1,502         | 79,409.13     |
| 2010 | 第1季 | 1               | 1,649         | 75,616.53     |
|      | 第2季 | 8               | 1,536         | 64,484.98     |

## 新上市股份認股權證

2010年第2季

| 股份<br>代號 | 股份認股權證                 | 上市時之發行<br>金額(港元) | 上市日期       | 到期日        | 集資金額<br>(百萬元) |
|----------|------------------------|------------------|------------|------------|---------------|
| 00624    | 太平洋恩利國際控股有限公司2011年認股權證 | 1,091,944,336    | 2010/04/01 | 2011/06/15 |               |
| 00971    | 建滔化工集團有限公司2012年認股權證    | 3,378,956,160    | 2010/05/05 | 2012/10/31 |               |
| 00942    | 卓能(集團)有限公司2012年認股權證    | 38,254,089       | 2010/05/25 | 2011/05/20 |               |
| 00879    | 恒基兆業地產有限公司2011年認股權證    | 24,902,211,724   | 2010/06/04 | 2011/06/01 |               |
| 00795    | 香港新能源(控股)有限公司2011年認股權證 | 46,640,300       | 2010/06/11 | 2011/06/08 |               |
| 00492    | 香港建設(控股)有限公司2011年認股權證  | 471,233,111      | 2010/06/11 | 2011/06/08 |               |
| 00972    | 中國星集團有限公司2012年認股權證     | 111,526,454      | 2010/06/18 | 2012/06/14 |               |
| 00937    | 新時代能源有限公司2011年認股權證     | 311,180,902      | 2010/06/29 | 2011/06/24 |               |
| 總額       |                        |                  |            |            | -             |

# 主 板 認 股 權 證

## 新上市衍生權證

2010年第2季

| 股份<br>代號           | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬元) |
|--------------------|--------------------------------------------------------------|-------------|------------|------------|---------------|
|                    |                                                              | 發行單位        | 上市日期       | 到期日        |               |
| 21825              | BOCI Asia Ltd. - BOC HK European Warrants Dec 2010           | 100,000,000 | 2010/04/01 | 2010/12/22 | 25.00         |
| 21826              | BOCI Asia Ltd. - BYD European Warrants Feb 2011              | 100,000,000 | 2010/04/01 | 2011/02/08 | 25.00         |
| 21827              | BOCI Asia Ltd. - Ch Comm Cons European Warrants Oct 2010     | 100,000,000 | 2010/04/01 | 2010/10/08 | 50.00         |
| 21824              | BOCI Asia Ltd. - HSI European Warrants Dec 2010 A            | 100,000,000 | 2010/04/01 | 2010/12/30 | 25.00         |
| 21828              | BOCI Asia Ltd. - HSI European Put Warrants Oct 2010          | 100,000,000 | 2010/04/01 | 2010/10/28 | 25.00         |
| 21813              | Citigroup Global Mkt H Inc. - BYD European Warrants Jun 11A  | 160,000,000 | 2010/04/01 | 2011/06/20 | 40.00         |
| 21814              | Citigroup Global Mkt H Inc. - CC Bank European Wts Oct 2012  | 267,000,000 | 2010/04/01 | 2012/10/29 | 40.05         |
| 21817              | Citigroup Global Mkt H Inc. - CITIC Pacific Eu Wt Jan 2011 A | 160,000,000 | 2010/04/01 | 2011/01/24 | 40.00         |
| 21815              | Citigroup Global Mkt H Inc. - CM Bank European Wts Dec 2010B | 160,000,000 | 2010/04/01 | 2010/12/30 | 40.00         |
| 21818              | Citigroup Global Mkt H Inc. - CNOOC European Wts Jun 2011    | 160,000,000 | 2010/04/01 | 2011/06/29 | 40.00         |
| 21816              | Citigroup Global Mkt H Inc. - Chi Taiping European Wts Jun11 | 80,000,000  | 2010/04/01 | 2011/06/27 | 40.00         |
| 21819              | Citigroup Global Mkt H Inc. - Esprit European Wts Mar 2012   | 160,000,000 | 2010/04/01 | 2012/03/30 | 40.00         |
| 21820              | Citigroup Global Mkt H Inc. - Henganintl European Wts Jan 11 | 73,000,000  | 2010/04/01 | 2011/01/24 | 40.15         |
| 21821              | Citigroup Global Mkt H Inc. - Kerry Ppt European Wts May11   | 53,000,000  | 2010/04/01 | 2011/05/30 | 39.75         |
| 21822              | Citigroup Global Mkt H Inc. - PICC P&C European Wts Aug 2011 | 160,000,000 | 2010/04/01 | 2011/08/29 | 40.00         |
| 21823              | HK Bank - HWL European Warrants Oct 2010 A                   | 120,000,000 | 2010/04/01 | 2010/10/04 | 30.00         |
| 21835              | KBC Fin Products Int'l Ltd. - HSBC European Wts Aug 2010 D   | 100,000,000 | 2010/04/01 | 2010/08/30 | 39.00         |
| 21812              | Macquarie Bank Ltd. -Want Want China European Warrants May11 | 200,000,000 | 2010/04/01 | 2011/05/25 | 50.00         |
| 21829              | CC Rabobank B.A. - Chi Res Power European Warrants Sep 2010  | 100,000,000 | 2010/04/01 | 2010/09/28 | 17.00         |
| 21830              | CC Rabobank B.A. - GCL-Poly Energy European Warrants Oct 10  | 100,000,000 | 2010/04/01 | 2010/10/04 | 29.00         |
| 21831              | CC Rabobank B.A. - Golden Eagle European Warrants Oct 2010   | 100,000,000 | 2010/04/01 | 2010/10/04 | 25.00         |
| 21832              | CC Rabobank B.A. - ICBC European Put Warrants Sep 2010       | 150,000,000 | 2010/04/01 | 2010/09/13 | 40.50         |
| 21833              | CC Rabobank B.A. - Poly HK Inv European Warrants Oct 2010    | 200,000,000 | 2010/04/01 | 2010/10/04 | 50.00         |
| 21834              | UBS AG - HKEx European Warrants Dec 2010 C                   | 200,000,000 | 2010/04/01 | 2010/12/06 | 30.00         |
| 16799 <sup>#</sup> | SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Jun 10A  | 100,000,000 | 2010/04/01 | 2010/06/07 | 35.00         |
| 21844              | Deutsche Bank AG - BYD European Warrants Sep 2010            | 150,000,000 | 2010/04/07 | 2010/09/27 | 22.50         |
| 21845              | Deutsche Bank AG - China Telecom European Wts Jul 2010       | 150,000,000 | 2010/04/07 | 2010/07/26 | 34.50         |
| 21841              | Deutsche Bank AG - China Life European Warrants Jul 2010 B   | 300,000,000 | 2010/04/07 | 2010/07/07 | 63.00         |
| 21842              | Deutsche Bank AG - Tencent Holdings European Warrants Aug10D | 300,000,000 | 2010/04/07 | 2010/08/30 | 45.00         |
| 21843              | J P Morgan SP BV - China Shenhua European Warrants Oct 2010  | 150,000,000 | 2010/04/07 | 2010/10/07 | 52.50         |
| 21836              | CC Rabobank B.A. - Ch Zhongwang European Warrants Dec 2010   | 200,000,000 | 2010/04/07 | 2010/12/30 | 50.00         |
| 21837              | CC Rabobank B.A. - MCC European Warrants Oct 2010            | 100,000,000 | 2010/04/07 | 2010/10/07 | 49.00         |
| 21838              | CC Rabobank B.A. - Mongolia Energy European Wts Oct 2010     | 80,000,000  | 2010/04/07 | 2010/10/07 | 39.20         |
| 21839              | CC Rabobank B.A. - Shanghai Electric European Warrants Oct10 | 80,000,000  | 2010/04/07 | 2010/10/07 | 48.00         |
| 21840              | CC Rabobank B.A. - Shui On Land European Warrants Nov 2010   | 150,000,000 | 2010/04/07 | 2010/11/17 | 97.50         |
| 20095 <sup>#</sup> | CC Rabobank B.A. - HSBC European Warrants Jul 2010 C         | 120,000,000 | 2010/04/07 | 2010/07/29 | 14.76         |
| 20445 <sup>#</sup> | CC Rabobank B.A. - GCL-Poly Energy European Warrants Aug2010 | 170,000,000 | 2010/04/07 | 2010/08/11 | 10.03         |
| 17401 <sup>#</sup> | CC Rabobank B.A. - CC Bank European Warrants Dec 2011        | 400,000,000 | 2010/04/07 | 2011/12/12 | 33.20         |
| 21846              | Barclays Bank plc - BOCL European Warrants Aug 2010          | 200,000,000 | 2010/04/08 | 2010/08/02 | 34.00         |
| 21852              | Barclays Bank plc - BYD European Put Warrants May 2011       | 150,000,000 | 2010/04/08 | 2011/05/17 | 37.50         |
| 21847              | Barclays Bank plc - China Life European Warrants Aug 2010 C  | 150,000,000 | 2010/04/08 | 2010/08/02 | 30.00         |
| 21853              | Barclays Bank plc - CNOOC European Put Warrants Oct 2010     | 200,000,000 | 2010/04/08 | 2010/10/18 | 30.00         |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                          | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                               | 發行單位        | 上市日期       | 到期日        |                |
| 21848    | Barclays Bank plc - Sinopec Corp European Wts Oct 2010 D      | 150,000,000 | 2010/04/08 | 2010/10/11 | 46.50          |
| 21854    | Barclays Bank plc - Sinopec Corp European Put Wts Oct 2010 E  | 150,000,000 | 2010/04/08 | 2010/10/11 | 40.50          |
| 21849    | Barclays Bank plc - GCL-Poly Energy European Warrants Oct10   | 150,000,000 | 2010/04/08 | 2010/10/18 | 37.50          |
| 21850    | Barclays Bank plc - HSBC European Warrants Aug 2010           | 150,000,000 | 2010/04/08 | 2010/08/02 | 43.50          |
| 21855    | Barclays Bank plc - HSBC European Put Warrants Aug 2010       | 200,000,000 | 2010/04/08 | 2010/08/02 | 32.00          |
| 21851    | Barclays Bank plc - Jiangxi Copper European Warrants Sep 10C  | 150,000,000 | 2010/04/08 | 2010/09/27 | 30.00          |
| 21856    | Deutsche Bank AG - BOCL European Warrants Oct 2010 A          | 200,000,000 | 2010/04/08 | 2010/10/11 | 72.00          |
| 21857    | Deutsche Bank AG - China Mobile European Warrants Oct 2010 D  | 200,000,000 | 2010/04/08 | 2010/10/11 | 64.00          |
| 21858    | Deutsche Bank AG - Ping An European Warrants Oct 2010         | 200,000,000 | 2010/04/08 | 2010/10/11 | 100.00         |
| 01268#   | Deutsche Bank AG - HSBC European Warrants Dec 2010 A          | 800,000,000 | 2010/04/08 | 2010/12/30 | 19.20          |
| 17446#   | Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2010 A  | 800,000,000 | 2010/04/09 | 2010/10/11 | 12.00          |
| 19545#   | Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Jun 2010  | 200,000,000 | 2010/04/09 | 2010/06/07 | 13.00          |
| 17286#   | Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Dec 2010    | 500,000,000 | 2010/04/09 | 2010/12/20 | 26.00          |
| 19959#   | UBS AG - HSBC European Warrants Oct 2010 A                    | 400,000,000 | 2010/04/09 | 2010/10/25 | 18.00          |
| 21872    | Credit Suisse AG - BYD European Warrants Oct 2010             | 200,000,000 | 2010/04/12 | 2010/10/04 | 30.00          |
| 21873    | Credit Suisse AG - CM Bank European Warrants Oct 2010         | 200,000,000 | 2010/04/12 | 2010/10/12 | 50.00          |
| 21871    | Credit Suisse AG - China Shenhua European Warrants Aug 2010   | 200,000,000 | 2010/04/12 | 2010/08/30 | 30.00          |
| 21874    | KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Oct 2010  | 80,000,000  | 2010/04/12 | 2010/10/12 | 20.00          |
| 21870    | KBC Fin Products Int'l Ltd. - Zijin Mining European Wt Jan11  | 128,000,000 | 2010/04/12 | 2011/01/03 | 19.20          |
| 21878    | Macquarie Bank Ltd. - China EB Ltd European Warrants Oct 10   | 200,000,000 | 2010/04/12 | 2010/10/12 | 50.40          |
| 21875    | Macquarie Bank Ltd. - China Bluechem European Warrants Oct10  | 50,000,000  | 2010/04/12 | 2010/10/12 | 26.55          |
| 21876    | Macquarie Bank Ltd. - China COSCO European Warrants Jul 2011  | 200,000,000 | 2010/04/12 | 2011/07/12 | 50.20          |
| 21877    | Macquarie Bank Ltd. - China Life European Wts Sep 2010 A      | 300,000,000 | 2010/04/12 | 2010/09/30 | 45.30          |
| 21879    | Macquarie Bank Ltd. - China Life European Put Wts Sep 2010    | 300,000,000 | 2010/04/12 | 2010/09/22 | 45.30          |
| 21880    | Macquarie Bank Ltd. - CM Bank European Warrants Nov 2010      | 300,000,000 | 2010/04/12 | 2010/11/02 | 51.00          |
| 21881    | Macquarie Bank Ltd. - CM Bank European Put Warrants Oct 2010  | 300,000,000 | 2010/04/12 | 2010/10/04 | 45.60          |
| 21863    | Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Nov 2010    | 100,000,000 | 2010/04/12 | 2010/11/02 | 39.20          |
| 21864    | Macquarie Bank Ltd. - Henderson Land Dev Euro Wts May 2011    | 100,000,000 | 2010/04/12 | 2011/05/04 | 82.80          |
| 21865    | SGA Societe Generale Acceptance N.V. - CHALCO Eu Wt Oct 2010  | 400,000,000 | 2010/04/12 | 2010/10/04 | 60.00          |
| 21866    | SGA Societe Generale Acceptance N.V.-Angang Steel Eu Wt Dec10 | 150,000,000 | 2010/04/12 | 2010/12/08 | 37.50          |
| 21859    | SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Jun 11  | 300,000,000 | 2010/04/12 | 2011/06/08 | 45.00          |
| 21867    | SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Oct 10   | 100,000,000 | 2010/04/12 | 2010/10/18 | 38.00          |
| 21860    | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 11A   | 300,000,000 | 2010/04/12 | 2011/01/05 | 57.00          |
| 21861    | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 11B   | 300,000,000 | 2010/04/12 | 2011/01/12 | 45.00          |
| 21862    | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Mar 2011  | 300,000,000 | 2010/04/12 | 2011/03/23 | 63.00          |
| 21868    | SGA Societe Generale Acceptance N.V.-HuanengPower Eu Wt Oct10 | 50,000,000  | 2010/04/12 | 2010/10/18 | 14.00          |
| 21869    | SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Oct10   | 200,000,000 | 2010/04/12 | 2010/10/13 | 52.00          |
| 21882    | UBS AG - BOCL European Warrants Oct 2010 A                    | 100,000,000 | 2010/04/12 | 2010/10/18 | 25.00          |
| 21883    | UBS AG - China Life European Warrants Sep 2010 B              | 200,000,000 | 2010/04/12 | 2010/09/27 | 30.00          |
| 15201#   | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts May 2011 A     | 300,000,000 | 2010/04/12 | 2011/05/31 | 10.80          |
| 21892    | BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Aug 2010 C   | 200,000,000 | 2010/04/13 | 2010/08/30 | 60.00          |
| 21896    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Sep 2010 D    | 200,000,000 | 2010/04/13 | 2010/09/06 | 92.00          |
| 21918    | Credit Suisse AG - BOCL European Warrants Oct 2010 A          | 200,000,000 | 2010/04/13 | 2010/10/18 | 50.00          |
| 21919    | Credit Suisse AG - CC Bank European Warrants Oct 2010 B       | 200,000,000 | 2010/04/13 | 2010/10/18 | 50.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 21920    | Credit Suisse AG - Sinopec Corp European Warrants Oct 2010   | 200,000,000 | 2010/04/13 | 2010/10/18 | 50.00          |
| 21921    | Citigroup Global Mkt H Inc. - China Agri European Wts Jan11  | 100,000,000 | 2010/04/13 | 2011/01/24 | 25.00          |
| 21922    | Citigroup Global Mkt H Inc. - Yue Yuen Ind European Wt May11 | 100,000,000 | 2010/04/13 | 2011/05/30 | 33.00          |
| 21923    | Citigroup Global Mkt H Inc. - Yue Yuen Ind European Wt Dec11 | 100,000,000 | 2010/04/13 | 2011/12/28 | 30.00          |
| 21897    | Goldman Sachs SP (Asia) - HSI European Warrants Jul 2010 F   | 200,000,000 | 2010/04/13 | 2010/07/29 | 30.00          |
| 21898    | Goldman Sachs SP (Asia) - HSI European Warrants Jul 2010 G   | 200,000,000 | 2010/04/13 | 2010/07/29 | 30.00          |
| 21899    | Goldman Sachs SP (Asia) - HSI European Warrants Aug 2010 I   | 200,000,000 | 2010/04/13 | 2010/08/30 | 32.00          |
| 21900    | Goldman Sachs SP (Asia) - HSI European Warrants Aug 2010 J   | 200,000,000 | 2010/04/13 | 2010/08/30 | 30.00          |
| 21901    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 A   | 200,000,000 | 2010/04/13 | 2010/09/29 | 36.00          |
| 21902    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 B   | 200,000,000 | 2010/04/13 | 2010/09/29 | 32.00          |
| 21903    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 C   | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21904    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 D   | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21905    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 E   | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21906    | Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2010 H    | 200,000,000 | 2010/04/13 | 2010/07/29 | 30.00          |
| 21907    | Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2010 I    | 200,000,000 | 2010/04/13 | 2010/07/29 | 32.00          |
| 21908    | Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2010 J    | 200,000,000 | 2010/04/13 | 2010/07/29 | 38.00          |
| 21909    | Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2010 K    | 200,000,000 | 2010/04/13 | 2010/07/29 | 48.00          |
| 21910    | Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2010 K    | 200,000,000 | 2010/04/13 | 2010/08/30 | 30.00          |
| 21911    | Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2010 L    | 200,000,000 | 2010/04/13 | 2010/08/30 | 38.00          |
| 21912    | Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2010 F    | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21913    | Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2010 G    | 200,000,000 | 2010/04/13 | 2010/09/29 | 32.00          |
| 21914    | Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2010 H    | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21915    | Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2010 I    | 200,000,000 | 2010/04/13 | 2010/09/29 | 38.00          |
| 21916    | Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2010 J    | 200,000,000 | 2010/04/13 | 2010/09/29 | 34.00          |
| 21884    | CC Rabobank B.A. - China Life European Warrants Sep 2010 A   | 100,000,000 | 2010/04/13 | 2010/09/30 | 15.00          |
| 21885    | CC Rabobank B.A. - Ch Mobile European Warrants Aug 2010 C    | 120,000,000 | 2010/04/13 | 2010/08/23 | 18.00          |
| 21889    | CC Rabobank B.A. - HSI European Warrants Sep 2010 A          | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21890    | CC Rabobank B.A. - HSI European Warrants Sep 2010 B          | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21893    | CC Rabobank B.A. - HSI European Put Warrants Sep 2010 C      | 200,000,000 | 2010/04/13 | 2010/09/29 | 34.00          |
| 21894    | CC Rabobank B.A. - HSI European Put Warrants Sep 2010 D      | 200,000,000 | 2010/04/13 | 2010/09/29 | 40.00          |
| 21886    | CC Rabobank B.A. - Tencent Holdings European Wts Aug 2010    | 200,000,000 | 2010/04/13 | 2010/08/31 | 30.00          |
| 21887    | CC Rabobank B.A. - Tencent Holdings European Wts Sep 2010    | 200,000,000 | 2010/04/13 | 2010/09/22 | 30.00          |
| 21888    | CC Rabobank B.A. - Tencent Holdings European Put Wts Jul10   | 200,000,000 | 2010/04/13 | 2010/07/29 | 36.00          |
| 21917    | UBS AG - A50 China European Warrants Nov 2010 A              | 200,000,000 | 2010/04/13 | 2010/11/22 | 30.00          |
| 21891    | UBS AG - HSI European Warrants Sep 2010 D                    | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21895    | UBS AG - HSI European Put Warrants Sep 2010 E                | 200,000,000 | 2010/04/13 | 2010/09/29 | 30.00          |
| 21928    | BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Nov 2010      | 200,000,000 | 2010/04/14 | 2010/11/01 | 52.00          |
| 21929    | BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2010   | 200,000,000 | 2010/04/14 | 2010/11/01 | 50.00          |
| 21930    | BNP Paribas Arbit Issu B.V. - ICBC European Wts Nov 2010 A   | 200,000,000 | 2010/04/14 | 2010/11/01 | 50.00          |
| 21938    | Deutsche Bank AG - BYD European Put Warrants Nov 2010        | 150,000,000 | 2010/04/14 | 2010/11/29 | 37.50          |
| 21937    | Deutsche Bank AG - HSI European Put Warrants Nov 2010 A      | 300,000,000 | 2010/04/14 | 2010/11/29 | 120.00         |
| 21935    | Deutsche Bank AG - HSI European Put Warrants Oct 2010 A      | 300,000,000 | 2010/04/14 | 2010/10/28 | 93.00          |
| 21936    | Deutsche Bank AG - HSI European Put Warrants Oct 2010 B      | 300,000,000 | 2010/04/14 | 2010/10/28 | 102.00         |
| 21939    | Deutsche Bank AG - Sinopharm European Warrants Oct 2010      | 150,000,000 | 2010/04/14 | 2010/10/18 | 82.50          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                          | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                               | 發行單位        | 上市日期       | 到期日        |                |
| 21940    | Deutsche Bank AG - Tencent Holdings European Put Wts Aug 10F  | 200,000,000 | 2010/04/14 | 2010/08/30 | 30.00          |
| 21931    | HK Bank - China COSCO European Warrants Nov 2010              | 120,000,000 | 2010/04/14 | 2010/11/24 | 18.00          |
| 21932    | HK Bank - Ch Ship Cont European Warrants Oct 2010             | 80,000,000  | 2010/04/14 | 2010/10/15 | 20.00          |
| 21933    | J P Morgan SP BV - China Life European Warrants Oct 2010      | 180,000,000 | 2010/04/14 | 2010/10/18 | 45.00          |
| 21934    | J P Morgan SP BV - China Mob European Wts Oct 2010 B          | 100,000,000 | 2010/04/14 | 2010/10/14 | 30.00          |
| 21941    | KBC Fin Products Int'l Ltd. - BOCL European Wts Sep 2010 C    | 158,000,000 | 2010/04/14 | 2010/09/01 | 28.44          |
| 21942    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Oct 2010       | 148,000,000 | 2010/04/14 | 2010/10/15 | 48.84          |
| 21943    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Aug 2010 C     | 200,000,000 | 2010/04/14 | 2010/08/10 | 30.00          |
| 21944    | KBC Fin Products Int'l Ltd. - China Life Euro Wts Sep 2010 B  | 200,000,000 | 2010/04/14 | 2010/09/16 | 30.00          |
| 21949    | KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Aug 10 E  | 158,000,000 | 2010/04/14 | 2010/08/02 | 30.02          |
| 21945    | KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Oct 2010 B    | 80,000,000  | 2010/04/14 | 2010/10/04 | 40.00          |
| 21946    | KBC Fin Products Int'l Ltd. - CNOOC European Wts Oct 2010 B   | 118,000,000 | 2010/04/14 | 2010/10/15 | 29.50          |
| 21947    | KBC Fin Products Int'l Ltd. - CNOOC European Wts Oct 2010 C   | 128,000,000 | 2010/04/14 | 2010/10/04 | 19.20          |
| 21948    | KBC Fin Products Int'l Ltd. - ICBC European Wts Sep 2010 C    | 158,000,000 | 2010/04/14 | 2010/09/01 | 28.44          |
| 21924    | SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Jul 2010   | 300,000,000 | 2010/04/14 | 2010/07/29 | 57.00          |
| 21925    | SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Sep 2010   | 300,000,000 | 2010/04/14 | 2010/09/29 | 51.00          |
| 21926    | SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Jul 10 | 300,000,000 | 2010/04/14 | 2010/07/29 | 45.00          |
| 21927    | SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Oct 10 | 300,000,000 | 2010/04/14 | 2010/10/28 | 84.00          |
| 20153*   | BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jul 2010 A   | 300,000,000 | 2010/04/14 | 2010/07/02 | 42.00          |
| 21296*   | Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2010 A   | 200,000,000 | 2010/04/14 | 2010/09/27 | 72.00          |
| 21155*   | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2010 C  | 100,000,000 | 2010/04/14 | 2010/08/23 | 13.40          |
| 21978    | BNP Paribas Arbit Issu B.V. - R&F Ppt European Wts Mar 2011   | 100,000,000 | 2010/04/15 | 2011/03/01 | 25.00          |
| 21980    | Credit Suisse AG - Jiangxi Copper European Wts Nov 2010 B     | 200,000,000 | 2010/04/15 | 2010/11/29 | 50.00          |
| 21981    | Credit Suisse AG - PetroChina European Warrants Nov 2010      | 200,000,000 | 2010/04/15 | 2010/11/15 | 50.00          |
| 21982    | Credit Suisse AG - Tencent Holdings European Wts Sep 2010 A   | 200,000,000 | 2010/04/15 | 2010/09/13 | 30.00          |
| 21983    | Deutsche Bank AG - CC Bank European Warrants Aug 2010 C       | 200,000,000 | 2010/04/15 | 2010/08/30 | 48.00          |
| 21962    | Deutsche Bank AG - China Life European Warrants Nov 2010 A    | 200,000,000 | 2010/04/15 | 2010/11/08 | 50.00          |
| 21984    | Deutsche Bank AG - ICBC European Warrants Aug 2010 B          | 200,000,000 | 2010/04/15 | 2010/08/02 | 38.00          |
| 21968    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 K    | 200,000,000 | 2010/04/15 | 2010/09/29 | 32.00          |
| 21969    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 L    | 200,000,000 | 2010/04/15 | 2010/09/29 | 30.00          |
| 21970    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 M    | 200,000,000 | 2010/04/15 | 2010/09/29 | 30.00          |
| 21979    | Goldman Sachs SP (Asia) - HSI European Warrants Sep 2010 P    | 200,000,000 | 2010/04/15 | 2010/09/29 | 30.00          |
| 21972    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 A    | 200,000,000 | 2010/04/15 | 2010/10/28 | 30.00          |
| 21973    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 B    | 200,000,000 | 2010/04/15 | 2010/10/28 | 50.00          |
| 21974    | Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2010 N     | 200,000,000 | 2010/04/15 | 2010/09/29 | 30.00          |
| 21975    | Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2010 O     | 200,000,000 | 2010/04/15 | 2010/09/29 | 32.00          |
| 21976    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 C     | 200,000,000 | 2010/04/15 | 2010/10/28 | 30.00          |
| 21977    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 D     | 200,000,000 | 2010/04/15 | 2010/10/28 | 50.00          |
| 21957    | HK Bank - CHALCO European Warrants Mar 2011                   | 120,000,000 | 2010/04/15 | 2011/03/30 | 30.00          |
| 21959    | HK Bank - CNOOC European Warrants Dec 2010 C                  | 180,000,000 | 2010/04/15 | 2010/12/30 | 45.00          |
| 21958    | HK Bank - Sinopec Corp European Warrants Oct 2010             | 160,000,000 | 2010/04/15 | 2010/10/18 | 40.00          |
| 21960    | HK Bank - KECL European Warrants Dec 2010 C                   | 120,000,000 | 2010/04/15 | 2010/12/30 | 30.00          |
| 21961    | HK Bank - PetroChina European Warrants Oct 2010               | 160,000,000 | 2010/04/15 | 2010/10/18 | 40.00          |
| 21952    | J P Morgan SP BV - CHALCO European Warrants Nov 2010 B        | 200,000,000 | 2010/04/15 | 2010/11/01 | 30.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                          | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                               | 發行單位        | 上市日期       | 到期日        |                |
| 21953    | J P Morgan SP BV - BOCL European Warrants Oct 2010 A          | 150,000,000 | 2010/04/15 | 2010/10/15 | 37.50          |
| 21955    | J P Morgan SP BV - Esprit European Wts Oct 2010               | 80,000,000  | 2010/04/15 | 2010/10/15 | 34.80          |
| 21956    | J P Morgan SP BV - ICBC European Warrants Oct 2010            | 100,000,000 | 2010/04/15 | 2010/10/15 | 26.00          |
| 21954    | J P Morgan SP BV - China Mengniu European Warrants Oct 2010   | 80,000,000  | 2010/04/15 | 2010/10/15 | 20.00          |
| 21985    | KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Oct 2010 C    | 150,000,000 | 2010/04/15 | 2010/10/15 | 48.00          |
| 21987    | KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2010      | 158,000,000 | 2010/04/15 | 2010/10/15 | 39.50          |
| 21986    | KBC Fin Products Int'l Ltd. - HSBC European Wts Sep 2010 G    | 150,000,000 | 2010/04/15 | 2010/09/01 | 29.25          |
| 21988    | KBC Fin Products Int'l Ltd. - Jiangxi Copper Eu Wts Nov 2010  | 118,000,000 | 2010/04/15 | 2010/11/03 | 29.50          |
| 21989    | KBC Fin Products Int'l Ltd. - Zijin Mining European Wt Oct10B | 80,000,000  | 2010/04/15 | 2010/10/15 | 38.40          |
| 21971    | CC Rabobank B.A. - WISE CSI European Put Warrants Oct 2010    | 100,000,000 | 2010/04/15 | 2010/10/28 | 25.00          |
| 21966    | CC Rabobank B.A. - WISE CSI European Warrants Oct 2010 A      | 100,000,000 | 2010/04/15 | 2010/10/28 | 29.00          |
| 21967    | CC Rabobank B.A. - WISE CSI European Warrants Oct 2010 B      | 100,000,000 | 2010/04/15 | 2010/10/28 | 25.00          |
| 21951    | RB of Scotland N.V. - CC Bank European Warrants Aug 2010      | 150,000,000 | 2010/04/15 | 2010/08/30 | 31.35          |
| 21950    | RB of Scotland N.V. - CC Bank European Warrants Jul 2010 B    | 150,000,000 | 2010/04/15 | 2010/07/26 | 34.35          |
| 21963    | UBS AG - BOCL European Warrants Oct 2010 B                    | 100,000,000 | 2010/04/15 | 2010/10/04 | 18.00          |
| 21964    | UBS AG - Sinopec Corp European Warrants Oct 2010 A            | 100,000,000 | 2010/04/15 | 2010/10/04 | 25.00          |
| 21965    | UBS AG - ICBC European Warrants Aug 2010                      | 100,000,000 | 2010/04/15 | 2010/08/23 | 15.00          |
| 21993    | BOCI Asia Ltd. - Renhe Comm European Warrants Oct 2010        | 100,000,000 | 2010/04/16 | 2010/10/20 | 25.00          |
| 21994    | BOCI Asia Ltd. - Yuexiu Property European Warrants Oct 2010   | 100,000,000 | 2010/04/16 | 2010/10/20 | 45.00          |
| 22019    | Credit Suisse AG - CC Bank European Warrants Aug 2010 B       | 200,000,000 | 2010/04/16 | 2010/08/30 | 30.00          |
| 22020    | Credit Suisse AG - China Mobile European Warrants Aug 2010 C  | 200,000,000 | 2010/04/16 | 2010/08/30 | 30.00          |
| 22021    | Credit Suisse AG - Sinopec Corp European Warrants Aug 2010    | 200,000,000 | 2010/04/16 | 2010/08/30 | 30.00          |
| 22023    | Credit Suisse AG - HSBC European Wts Aug 2010 B               | 200,000,000 | 2010/04/16 | 2010/08/30 | 30.00          |
| 22018    | Credit Suisse AG - HSI European Warrants Jul 2010 E           | 200,000,000 | 2010/04/16 | 2010/07/29 | 30.00          |
| 22022    | Credit Suisse AG - HSI European Put Warrants Jul 2010 F       | 200,000,000 | 2010/04/16 | 2010/07/29 | 30.00          |
| 22003    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 E    | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22004    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 F    | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22005    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 G    | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22006    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 H    | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22007    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 I    | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22011    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 J     | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22012    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 K     | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22013    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 L     | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22014    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 M     | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 22015    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 N     | 200,000,000 | 2010/04/16 | 2010/10/28 | 50.00          |
| 21990    | HK Bank - BOCL European Put Warrants Aug 2010                 | 120,000,000 | 2010/04/16 | 2010/08/30 | 18.00          |
| 21991    | HK Bank - CC Bank European Put Warrants Oct 2010              | 160,000,000 | 2010/04/16 | 2010/10/18 | 40.00          |
| 21992    | HK Bank - ICBC European Put Warrants Oct 2010                 | 120,000,000 | 2010/04/16 | 2010/10/18 | 30.00          |
| 22001    | RB of Scotland N.V. - HSI European Warrants Sep 2010          | 400,000,000 | 2010/04/16 | 2010/09/29 | 66.00          |
| 22002    | RB of Scotland N.V. - HSI European Put Warrants Jul 2010      | 400,000,000 | 2010/04/16 | 2010/07/29 | 83.20          |
| 21995    | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2010 C  | 80,000,000  | 2010/04/16 | 2010/09/20 | 38.40          |
| 21996    | SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Nov 2010C  | 300,000,000 | 2010/04/16 | 2010/11/29 | 45.00          |
| 21997    | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 10B   | 300,000,000 | 2010/04/16 | 2010/11/08 | 45.00          |
| 22010    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Feb 2011    | 100,000,000 | 2010/04/16 | 2011/02/28 | 25.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22009    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Nov 2010 B | 100,000,000 | 2010/04/16 | 2010/11/01 | 25.00          |
| 22016    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2010 A | 300,000,000 | 2010/04/16 | 2010/10/28 | 84.00          |
| 22017    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2010 B | 300,000,000 | 2010/04/16 | 2010/10/28 | 75.00          |
| 21999    | UBS AG - HSI European Put Warrants Aug 2010 D                | 200,000,000 | 2010/04/16 | 2010/08/30 | 30.00          |
| 22008    | UBS AG - HSI European Warrants Jul 2010 B                    | 200,000,000 | 2010/04/16 | 2010/07/29 | 30.00          |
| 22000    | UBS AG - HSI European Put Warrants Sep 2010 F                | 200,000,000 | 2010/04/16 | 2010/09/29 | 50.00          |
| 21998    | UBS AG - Jiangxi Copper European Warrants Sep 2010 A         | 100,000,000 | 2010/04/16 | 2010/09/27 | 15.00          |
| 17514#   | CC Rabobank B.A. - A50 China European Warrants Jun 2010 B    | 500,000,000 | 2010/04/16 | 2010/06/18 | 24.50          |
| 22030    | BNP Paribas Arbit Issu B.V. - HKEx European Wts Jul 2011 A   | 200,000,000 | 2010/04/19 | 2011/07/04 | 50.00          |
| 22051    | Goldman Sachs SP (Asia) - BOCL European Warrants Nov 2010 A  | 200,000,000 | 2010/04/19 | 2010/11/29 | 50.00          |
| 22047    | Goldman Sachs SP (Asia) - BOCL European Warrants Jul 2010 D  | 200,000,000 | 2010/04/19 | 2010/07/29 | 30.00          |
| 22048    | Goldman Sachs SP (Asia) - BOCL European Warrants Aug 2010 C  | 200,000,000 | 2010/04/19 | 2010/08/30 | 38.00          |
| 22049    | Goldman Sachs SP (Asia) - BOCL European Warrants Aug 2010 D  | 200,000,000 | 2010/04/19 | 2010/08/30 | 30.00          |
| 22050    | Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2010 B  | 200,000,000 | 2010/04/19 | 2010/09/29 | 34.00          |
| 22052    | Goldman Sachs SP (Asia) - China Life Euro Wts Jul 2010 B     | 200,000,000 | 2010/04/19 | 2010/07/29 | 30.00          |
| 22053    | Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2010 A     | 200,000,000 | 2010/04/19 | 2010/09/29 | 32.00          |
| 22054    | Goldman Sachs SP (Asia) - China Life Euro Wts Nov 2010 A     | 200,000,000 | 2010/04/19 | 2010/11/29 | 50.00          |
| 22055    | Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2010 B  | 200,000,000 | 2010/04/19 | 2010/09/29 | 46.00          |
| 22056    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 O   | 200,000,000 | 2010/04/19 | 2010/10/28 | 50.00          |
| 22057    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 P   | 200,000,000 | 2010/04/19 | 2010/10/28 | 50.00          |
| 22031    | HK Bank - Ch Comm Cons European Warrants Oct 2010            | 120,000,000 | 2010/04/19 | 2010/10/19 | 30.00          |
| 22032    | HK Bank - CNOOC European Put Warrants Feb 2011               | 160,000,000 | 2010/04/19 | 2011/02/18 | 40.00          |
| 22033    | HK Bank - Zijin Mining European Warrants Jun 2011            | 160,000,000 | 2010/04/19 | 2011/06/17 | 40.00          |
| 22027    | J P Morgan SP BV - Agile Ppt European Warrants Mar 2011      | 200,000,000 | 2010/04/19 | 2011/03/28 | 50.00          |
| 22028    | J P Morgan SP BV - Air China European Warrants Dec 2010 C    | 200,000,000 | 2010/04/19 | 2010/12/06 | 50.00          |
| 22029    | J P Morgan SP BV - R&F Ppt European Warrants Dec 2010        | 200,000,000 | 2010/04/19 | 2010/12/30 | 50.00          |
| 22036    | KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Sep 2010        | 200,000,000 | 2010/04/19 | 2010/09/29 | 34.00          |
| 22037    | KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Oct 2010 A  | 200,000,000 | 2010/04/19 | 2010/10/28 | 50.00          |
| 22038    | KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Oct 2010 B  | 200,000,000 | 2010/04/19 | 2010/10/28 | 50.00          |
| 22039    | KBC Fin Products Int'l Ltd. - HSI European Wts Oct 2010      | 300,000,000 | 2010/04/19 | 2010/10/28 | 75.00          |
| 22040    | KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2010 H    | 300,000,000 | 2010/04/19 | 2010/09/29 | 47.10          |
| 22041    | KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2010 I    | 300,000,000 | 2010/04/19 | 2010/09/29 | 45.00          |
| 22044    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2010 A    | 300,000,000 | 2010/04/19 | 2010/10/28 | 75.00          |
| 22045    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2010 B    | 300,000,000 | 2010/04/19 | 2010/10/28 | 75.00          |
| 22046    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2010 C    | 300,000,000 | 2010/04/19 | 2010/10/28 | 75.00          |
| 22042    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Nov 2010 A    | 300,000,000 | 2010/04/19 | 2010/11/29 | 75.00          |
| 22043    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Nov 2010 B    | 300,000,000 | 2010/04/19 | 2010/11/29 | 75.00          |
| 22025    | Macquarie Bank Ltd. - HKEx European Warrants Nov 2010        | 200,000,000 | 2010/04/19 | 2010/11/02 | 117.00         |
| 22024    | Macquarie Bank Ltd. - HKEx European Warrants Aug 2011        | 200,000,000 | 2010/04/19 | 2011/08/08 | 34.00          |
| 22026    | Macquarie Bank Ltd. - Tencent European Warrants Oct 2010 B   | 250,000,000 | 2010/04/19 | 2010/10/11 | 37.50          |
| 22035    | RB of Scotland N.V. - A50 China European Put Wts Dec 2010    | 250,000,000 | 2010/04/19 | 2010/12/31 | 41.50          |
| 22034    | RB of Scotland N.V. - ICBC European Warrants Oct 2010        | 100,000,000 | 2010/04/19 | 2010/10/19 | 26.60          |
| 22058    | BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2010      | 200,000,000 | 2010/04/20 | 2010/09/29 | 42.00          |
| 22062    | BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2010 B    | 200,000,000 | 2010/04/20 | 2010/09/29 | 70.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22069    | Goldman Sachs SP (Asia) - CC Bank European Wts Nov 2010      | 200,000,000 | 2010/04/20 | 2010/11/29 | 86.00          |
| 22066    | Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2010 D    | 200,000,000 | 2010/04/20 | 2010/07/29 | 42.00          |
| 22067    | Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2010 A    | 200,000,000 | 2010/04/20 | 2010/09/27 | 96.00          |
| 22068    | Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2010 B    | 200,000,000 | 2010/04/20 | 2010/09/29 | 44.00          |
| 22070    | Goldman Sachs SP (Asia) - China Mobile Euro Wts Oct 2010 B   | 200,000,000 | 2010/04/20 | 2010/10/28 | 62.00          |
| 22071    | Goldman Sachs SP (Asia) - A50 China European Wts Aug 2010 C  | 200,000,000 | 2010/04/20 | 2010/08/30 | 42.00          |
| 22072    | Goldman Sachs SP (Asia) - A50 China European Wts Nov 2010 A  | 200,000,000 | 2010/04/20 | 2010/11/01 | 30.00          |
| 22073    | Goldman Sachs SP (Asia) - Tencent Holdings European Wt Aug10 | 200,000,000 | 2010/04/20 | 2010/08/30 | 30.00          |
| 22074    | Goldman Sachs SP (Asia) - Tencent Holdings European Wt Sep10 | 200,000,000 | 2010/04/20 | 2010/09/29 | 32.00          |
| 22059    | HK Bank - Alibaba European Warrants Oct 2010                 | 120,000,000 | 2010/04/20 | 2010/10/28 | 30.00          |
| 22060    | HK Bank - Datang Power European Warrants Dec 2010 A          | 80,000,000  | 2010/04/20 | 2010/12/30 | 20.00          |
| 22061    | HK Bank - Maanshan Iron European Warrants Oct 2010 A         | 80,000,000  | 2010/04/20 | 2010/10/28 | 20.00          |
| 22063    | HK Bank - Shanghai Electric European Warrants Dec 2010       | 80,000,000  | 2010/04/20 | 2010/12/30 | 20.00          |
| 22064    | HK Bank - Sinoma European Warrants Jun 2011                  | 120,000,000 | 2010/04/20 | 2011/06/29 | 30.00          |
| 22065    | HK Bank - Sinotrans Ship European Warrants Dec 2011          | 120,000,000 | 2010/04/20 | 2011/12/29 | 30.00          |
| 22079    | KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Aug 2010 C    | 108,000,000 | 2010/04/20 | 2010/08/27 | 27.00          |
| 22082    | KBC Fin Products Int'l Ltd. - HKEx European Put Wts Sep 2010 | 108,000,000 | 2010/04/20 | 2010/09/06 | 16.20          |
| 22083    | KBC Fin Products Int'l Ltd. - HKEx European Put Wts Oct 2010 | 108,000,000 | 2010/04/20 | 2010/10/04 | 16.20          |
| 22085    | KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Nov 2010 A   | 108,000,000 | 2010/04/20 | 2010/11/01 | 16.20          |
| 22084    | KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Sep 2010 H   | 108,000,000 | 2010/04/20 | 2010/09/01 | 22.68          |
| 22080    | KBC Fin Products Int'l Ltd. - HSI European Wts Dec 2010 A    | 200,000,000 | 2010/04/20 | 2010/12/30 | 30.00          |
| 22081    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Sep 2010 J    | 200,000,000 | 2010/04/20 | 2010/09/29 | 30.00          |
| 22075    | Macquarie Bank Ltd. - Minsheng Bank European Warrants Nov10  | 88,000,000  | 2010/04/20 | 2010/11/02 | 36.34          |
| 22076    | RB of Scotland N.V. - China Mobile European Wts Oct 2010 A   | 150,000,000 | 2010/04/20 | 2010/10/05 | 59.10          |
| 22077    | RB of Scotland N.V. - HSBC European Warrants Aug 2010        | 100,000,000 | 2010/04/20 | 2010/08/23 | 52.70          |
| 22078    | RB of Scotland N.V. - HSBC European Warrants Nov 2010 C      | 150,000,000 | 2010/04/20 | 2010/11/01 | 64.05          |
| 19099*   | Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Put Wts Jun 10 | 800,000,000 | 2010/04/20 | 2010/06/14 | 10.40          |
| 22115    | Goldman Sachs SP (Asia)-Sinopec Corp European Warrants Oct10 | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22116    | Goldman Sachs SP (Asia) - HKEx European Warrants Aug 2011    | 200,000,000 | 2010/04/21 | 2011/08/08 | 32.00          |
| 22110    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 Q   | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22111    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 R   | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22112    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 S   | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22113    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 T   | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22114    | Goldman Sachs SP (Asia) - HSI European Warrants Oct 2010 U   | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22118    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 V    | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22119    | Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2010 W    | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22117    | Goldman Sachs SP (Asia) - Hutchison European Wts Jul 2010    | 200,000,000 | 2010/04/21 | 2010/07/29 | 36.00          |
| 22088    | HK Bank - China Mobile European Warrants Oct 2010 B          | 160,000,000 | 2010/04/21 | 2010/10/21 | 40.00          |
| 22101    | KBC Fin Products Int'l Ltd. - BOCL European Wts Oct 2010     | 118,000,000 | 2010/04/21 | 2010/10/21 | 29.50          |
| 22105    | KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Oct 2010     | 78,000,000  | 2010/04/21 | 2010/10/21 | 49.92          |
| 22102    | KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Sep 2010 E   | 108,000,000 | 2010/04/21 | 2010/09/01 | 17.06          |
| 22100    | KBC Fin Products Int'l Ltd. - China Life Euro Wts Oct 2010 B | 200,000,000 | 2010/04/21 | 2010/10/21 | 50.00          |
| 22104    | KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Oct 2010 | 80,000,000  | 2010/04/21 | 2010/10/21 | 40.00          |
| 22106    | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Oct 2010 A     | 108,000,000 | 2010/04/21 | 2010/10/21 | 49.68          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22107    | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Oct 2010 B     | 108,000,000 | 2010/04/21 | 2010/10/21 | 27.00          |
| 22108    | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Nov 2010 C     | 108,000,000 | 2010/04/21 | 2010/11/08 | 27.00          |
| 22103    | KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Oct 2010 B   | 80,000,000  | 2010/04/21 | 2010/10/21 | 27.20          |
| 22086    | Macquarie Bank Ltd. - CITIC Pacific European Warrants Jan 11 | 200,000,000 | 2010/04/21 | 2011/01/17 | 31.00          |
| 22089    | CC Rabobank B.A. - Angang Steel European Warrants Sep 2010   | 150,000,000 | 2010/04/21 | 2010/09/28 | 22.50          |
| 22091    | CC Rabobank B.A. - Lee & Man Paper European Warrants Jan2011 | 300,000,000 | 2010/04/21 | 2011/01/28 | 75.00          |
| 22090    | CC Rabobank B.A. - Sinoma European Warrants May 2011         | 300,000,000 | 2010/04/21 | 2011/05/30 | 75.00          |
| 22092    | CC Rabobank B.A. - Ting Yi European Warrants Nov 2010        | 150,000,000 | 2010/04/21 | 2010/11/29 | 37.50          |
| 22093    | CC Rabobank B.A. - Yanzhou Coal European Warrants Oct 2010   | 150,000,000 | 2010/04/21 | 2010/10/28 | 37.50          |
| 22098    | RB of Scotland N.V. - China Mobile European Wts Nov 2010     | 100,000,000 | 2010/04/21 | 2010/11/30 | 31.40          |
| 22096    | RB of Scotland N.V. - China Mobile European Wts Oct 2011     | 250,000,000 | 2010/04/21 | 2011/10/11 | 38.50          |
| 22097    | RB of Scotland N.V. - China Mobile European Wts Oct 2010 B   | 100,000,000 | 2010/04/21 | 2010/10/29 | 70.50          |
| 22099    | RB of Scotland N.V. - HKEx European Warrants Oct 2010        | 200,000,000 | 2010/04/21 | 2010/10/25 | 30.00          |
| 22087    | SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2010C | 150,000,000 | 2010/04/21 | 2010/08/02 | 25.50          |
| 22109    | UBS AG - Ch Comm Cons European Warrants Jan 2011             | 200,000,000 | 2010/04/21 | 2011/01/10 | 30.00          |
| 22095    | UBS AG - HSI European Warrants Oct 2010 A                    | 200,000,000 | 2010/04/21 | 2010/10/28 | 50.00          |
| 22094    | UBS AG - SHK Ppt European Warrants Oct 2010                  | 100,000,000 | 2010/04/21 | 2010/10/25 | 15.00          |
| 22121    | BOCI Asia Ltd. - CC Bank European Warrants Oct 2010          | 100,000,000 | 2010/04/22 | 2010/10/25 | 50.00          |
| 22136    | BOCI Asia Ltd. - CC Bank European Put Warrants Oct 2010      | 100,000,000 | 2010/04/22 | 2010/10/25 | 50.00          |
| 22137    | BOCI Asia Ltd. - China Life European Put Warrants Oct 2010   | 100,000,000 | 2010/04/22 | 2010/10/25 | 50.00          |
| 22138    | BOCI Asia Ltd. - ICBC European Put Warrants Oct 2010         | 100,000,000 | 2010/04/22 | 2010/10/25 | 50.00          |
| 22120    | BOCI Asia Ltd. - Tracker Fund European Warrants Oct 2010     | 100,000,000 | 2010/04/22 | 2010/10/25 | 25.00          |
| 22124    | BOCI Asia Ltd. - Tracker Fund European Put Warrants Oct 2010 | 100,000,000 | 2010/04/22 | 2010/10/25 | 25.00          |
| 22127    | BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Dec 2010 A    | 200,000,000 | 2010/04/22 | 2010/12/06 | 52.00          |
| 22129    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Oct 2010 B   | 200,000,000 | 2010/04/22 | 2010/10/06 | 48.00          |
| 22130    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2010 C   | 200,000,000 | 2010/04/22 | 2010/12/06 | 32.00          |
| 22128    | BNP Paribas Arbit Issu B.V. - ICBC European Wts Nov 2010 B   | 200,000,000 | 2010/04/22 | 2010/11/08 | 50.00          |
| 22141    | Credit Suisse AG - Ch Overseas European Warrants Oct 2010    | 200,000,000 | 2010/04/22 | 2010/10/25 | 50.00          |
| 22125    | HK Bank - China Unicom European Warrants Dec 2010            | 120,000,000 | 2010/04/22 | 2010/12/22 | 30.00          |
| 22132    | KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Nov 2010     | 200,000,000 | 2010/04/22 | 2010/11/08 | 50.00          |
| 22135    | KBC Fin Products Int'l Ltd. - Ch Mobile Eu Put Wt Nov 2010   | 80,000,000  | 2010/04/22 | 2010/11/03 | 97.60          |
| 22131    | KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Oct 2010 D   | 200,000,000 | 2010/04/22 | 2010/10/22 | 50.00          |
| 22133    | KBC Fin Products Int'l Ltd. - Ch Mobile Eu Put Wt Oct 2010 E | 128,000,000 | 2010/04/22 | 2010/10/22 | 38.40          |
| 22134    | KBC Fin Products Int'l Ltd. - Ch Mobile Eu Put Wt Oct 2010 F | 118,000,000 | 2010/04/22 | 2010/10/22 | 79.06          |
| 22142    | KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Nov 2010    | 80,000,000  | 2010/04/22 | 2010/11/01 | 20.00          |
| 22122    | Macquarie Bank Ltd-Skyworth Digital European Warrants Oct10B | 200,000,000 | 2010/04/22 | 2010/10/22 | 50.60          |
| 22123    | Macquarie Bank Ltd. - Stanchart European Warrants Nov 2010   | 200,000,000 | 2010/04/22 | 2010/11/01 | 57.20          |
| 22126    | CC Rabobank B.A. - HSBC European Warrants Oct 2010           | 100,000,000 | 2010/04/22 | 2010/10/28 | 25.00          |
| 22143    | RB of Scotland N.V. - BOCL European Warrants Oct 2010        | 100,000,000 | 2010/04/22 | 2010/10/11 | 16.70          |
| 22144    | RB of Scotland N.V. - China Life European Wts Oct 2010       | 200,000,000 | 2010/04/22 | 2010/10/22 | 50.00          |
| 22145    | RB of Scotland N.V. - CNOOC European Warrants Nov 2010       | 150,000,000 | 2010/04/22 | 2010/11/29 | 22.50          |
| 22146    | RB of Scotland N.V. - HWL European Warrants Oct 2010         | 100,000,000 | 2010/04/22 | 2010/10/29 | 25.00          |
| 22139    | UBS AG - China Mobile European Put Warrants Oct 2010         | 100,000,000 | 2010/04/22 | 2010/10/25 | 36.00          |
| 22140    | UBS AG - KECL European Warrants Nov 2010                     | 100,000,000 | 2010/04/22 | 2010/11/15 | 15.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 20748*   | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jul10C | 300,000,000 | 2010/04/22 | 2010/07/29 | 16.20          |
| 22162    | Macquarie Bank Ltd. - China Mobile European Wts Aug 2010 B   | 200,000,000 | 2010/04/23 | 2010/08/02 | 47.20          |
| 22163    | Macquarie Bank Ltd. - China Mobile European Wts Oct 2010 C   | 200,000,000 | 2010/04/23 | 2010/10/25 | 50.00          |
| 22164    | Macquarie Bank Ltd. - CNOOC European Warrants Dec 2010 B     | 200,000,000 | 2010/04/23 | 2010/12/01 | 30.00          |
| 22184    | Macquarie Bank Ltd. - HSI European Put Warrants Oct 2010     | 300,000,000 | 2010/04/23 | 2010/10/28 | 79.50          |
| 22168    | Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Nov 2010 A | 200,000,000 | 2010/04/23 | 2010/11/01 | 54.00          |
| 22165    | Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Nov 2010 A | 150,000,000 | 2010/04/23 | 2010/11/15 | 55.50          |
| 22166    | Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Nov 2010 B | 200,000,000 | 2010/04/23 | 2010/11/01 | 50.00          |
| 22167    | Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Nov 2010 C | 200,000,000 | 2010/04/23 | 2010/11/08 | 51.00          |
| 22171    | Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Aug 2010 | 200,000,000 | 2010/04/23 | 2010/08/09 | 40.00          |
| 22169    | Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Dec 10B  | 200,000,000 | 2010/04/23 | 2010/12/06 | 30.00          |
| 22152    | Nomura Int'l plc - Anhui Conch European Warrants Jul 2010    | 150,000,000 | 2010/04/23 | 2010/07/28 | 39.30          |
| 22153    | Nomura Int'l plc - Anhui Conch European Warrants Oct 2010    | 100,000,000 | 2010/04/23 | 2010/10/29 | 41.80          |
| 22148    | Nomura Int'l plc - Chaoda Modern European Warrants Oct 2010  | 150,000,000 | 2010/04/23 | 2010/10/29 | 38.85          |
| 22149    | Nomura Int'l plc - Chaoda Modern European Warrants Feb 2011  | 150,000,000 | 2010/04/23 | 2011/02/28 | 41.55          |
| 22147    | Nomura Int'l plc - Cheung Kong European Warrants Oct 2011    | 150,000,000 | 2010/04/23 | 2011/10/31 | 22.50          |
| 22150    | Nomura Int'l plc - CNOOC European Warrants Aug 2010          | 200,000,000 | 2010/04/23 | 2010/08/31 | 30.00          |
| 22151    | Nomura Int'l plc - CNOOC European Warrants Nov 2010 A        | 200,000,000 | 2010/04/23 | 2010/11/30 | 30.00          |
| 22160    | Nomura Int'l plc - HSI European Put Warrants Sep 2010        | 200,000,000 | 2010/04/23 | 2010/09/29 | 30.00          |
| 22161    | Nomura Int'l plc - HSI European Put Warrants Dec 2010        | 200,000,000 | 2010/04/23 | 2010/12/30 | 34.00          |
| 22157    | Nomura Int'l plc - HSI European Warrants Sep 2010 B          | 200,000,000 | 2010/04/23 | 2010/09/29 | 31.00          |
| 22158    | Nomura Int'l plc - HSI European Warrants Dec 2010 A          | 200,000,000 | 2010/04/23 | 2010/12/30 | 50.00          |
| 22159    | Nomura Int'l plc - HSI European Warrants Dec 2010 B          | 200,000,000 | 2010/04/23 | 2010/12/30 | 33.00          |
| 22154    | Nomura Int'l plc - ICBC European Warrants Jul 2010           | 75,000,000  | 2010/04/23 | 2010/07/30 | 11.48          |
| 22155    | Nomura Int'l plc - ICBC European Warrants Oct 2010           | 75,000,000  | 2010/04/23 | 2010/10/29 | 19.80          |
| 22156    | Nomura Int'l plc - ICBC European Warrants Jun 2011           | 100,000,000 | 2010/04/23 | 2011/06/30 | 35.60          |
| 22175    | SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Nov10 | 200,000,000 | 2010/04/23 | 2010/11/15 | 50.00          |
| 22172    | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Aug 2010 C | 80,000,000  | 2010/04/23 | 2010/08/09 | 12.00          |
| 22173    | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2010 D | 80,000,000  | 2010/04/23 | 2010/09/13 | 72.00          |
| 22174    | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2010 E | 80,000,000  | 2010/04/23 | 2010/09/29 | 12.00          |
| 22170    | SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Oct 2010  | 100,000,000 | 2010/04/23 | 2010/10/25 | 30.00          |
| 22176    | SGA Societe Generale Acceptance N.V. - CRail Eu Wt Oct 2011  | 200,000,000 | 2010/04/23 | 2011/10/17 | 30.00          |
| 22177    | SGA Societe Generale Acceptance N.V. - Dongfeng Eu Wt Nov 10 | 200,000,000 | 2010/04/23 | 2010/11/22 | 50.00          |
| 22179    | SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Nov 10 | 300,000,000 | 2010/04/23 | 2010/11/10 | 48.00          |
| 22178    | SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wts Oct10 | 60,000,000  | 2010/04/23 | 2010/10/25 | 36.00          |
| 22180    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct 10C | 300,000,000 | 2010/04/23 | 2010/10/28 | 75.00          |
| 22181    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct 10D | 300,000,000 | 2010/04/23 | 2010/10/28 | 93.00          |
| 22182    | SGA Societe Generale Acceptance N.V.-Sino Land Eu Wt Dec 10  | 200,000,000 | 2010/04/23 | 2010/12/06 | 50.00          |
| 22183    | UBS AG - HSBC European Put Warrants Oct 2010                 | 100,000,000 | 2010/04/23 | 2010/10/25 | 35.00          |
| 22208    | Credit Suisse AG - Ch LongYuan European Warrants Oct 2010    | 200,000,000 | 2010/04/26 | 2010/10/26 | 50.00          |
| 22209    | Credit Suisse AG - Ch LongYuan European Warrants Nov 2010 A  | 200,000,000 | 2010/04/26 | 2010/11/25 | 50.00          |
| 22210    | Credit Suisse AG - Ch LongYuan European Warrants Dec 2010 A  | 200,000,000 | 2010/04/26 | 2010/12/20 | 50.00          |
| 22211    | Credit Suisse AG - Ch LongYuan European Warrants Jun 2011    | 200,000,000 | 2010/04/26 | 2011/06/27 | 50.00          |
| 22212    | Credit Suisse AG - CPIC European Warrants Nov 2010           | 200,000,000 | 2010/04/26 | 2010/11/22 | 50.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22197    | Macquarie Bank Ltd. - Ch LongYuan European Warrants May 2011 | 300,000,000 | 2010/04/26 | 2011/05/27 | 75.30          |
| 22198    | Macquarie Bank Ltd. - CPIC European Warrants Oct 2010        | 200,000,000 | 2010/04/26 | 2010/10/28 | 50.20          |
| 22199    | Macquarie Bank Ltd. - Longfor PPT European Warrants Jun 2011 | 200,000,000 | 2010/04/26 | 2011/06/15 | 50.20          |
| 22185    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Sep10A | 200,000,000 | 2010/04/26 | 2010/09/29 | 36.80          |
| 22186    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Sep10B | 200,000,000 | 2010/04/26 | 2010/09/29 | 30.00          |
| 22187    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Sep10C | 200,000,000 | 2010/04/26 | 2010/09/29 | 34.20          |
| 22188    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Sep10D | 200,000,000 | 2010/04/26 | 2010/09/29 | 31.00          |
| 22190    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Aug10I  | 200,000,000 | 2010/04/26 | 2010/08/30 | 32.80          |
| 22192    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Sep10E  | 200,000,000 | 2010/04/26 | 2010/09/29 | 32.00          |
| 22193    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Sep10F  | 200,000,000 | 2010/04/26 | 2010/09/29 | 36.80          |
| 22194    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Sep10G  | 200,000,000 | 2010/04/26 | 2010/09/29 | 31.80          |
| 22189    | Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Sep 2010 | 200,000,000 | 2010/04/26 | 2010/09/27 | 46.20          |
| 22205    | RB of Scotland N.V. - CC Bank European Warrants Sep 2010 A   | 200,000,000 | 2010/04/26 | 2010/09/20 | 67.00          |
| 22204    | RB of Scotland N.V. - A50 China European Warrants Nov 2010   | 300,000,000 | 2010/04/26 | 2010/11/29 | 45.00          |
| 22206    | RB of Scotland N.V. - HSI European Put Warrants Sep 2010 A   | 400,000,000 | 2010/04/26 | 2010/09/29 | 70.40          |
| 22207    | RB of Scotland N.V. - HSI European Put Warrants Sep 2010 B   | 400,000,000 | 2010/04/26 | 2010/09/29 | 85.60          |
| 22191    | SGA Societe Generale Acceptance NV-Ch Comservice Eu Wt Dec10 | 80,000,000  | 2010/04/26 | 2010/12/29 | 62.40          |
| 22195    | SGA Societe Generale Acceptance NV-Ch Comservice Eu Wt Nov11 | 200,000,000 | 2010/04/26 | 2011/11/21 | 30.00          |
| 22200    | SGA Societe Generale Acceptance N.V. - CPIC Euro Wts Nov10   | 100,000,000 | 2010/04/26 | 2010/11/01 | 28.00          |
| 22201    | SGA Societe Generale Acceptance N.V. - CPIC Euro Wts Jan11   | 100,000,000 | 2010/04/26 | 2011/01/17 | 26.00          |
| 22203    | SGA Societe Generale Acceptance N.V. - WISE CSI Eu Wts Nov10 | 50,000,000  | 2010/04/26 | 2010/11/24 | 14.50          |
| 22202    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Sep 2010 C | 120,000,000 | 2010/04/26 | 2010/09/27 | 34.80          |
| 22196    | SGA Societe Generale Acceptance N.V-Nine Dragons Eu Wt Oct10 | 100,000,000 | 2010/04/26 | 2010/10/27 | 25.00          |
| 22230    | BOCI Asia Ltd. - CPIC European Warrants Oct 2010             | 100,000,000 | 2010/04/27 | 2010/10/28 | 35.00          |
| 22232    | BOCI Asia Ltd. - HKEx European Put Warrants Mar 2011         | 100,000,000 | 2010/04/27 | 2011/03/11 | 25.00          |
| 22231    | BOCI Asia Ltd. - SHK Ppt European Warrants Oct 2011          | 100,000,000 | 2010/04/27 | 2011/10/28 | 25.00          |
| 22242    | Credit Suisse AG - China COSCO European Warrants Nov 2010    | 200,000,000 | 2010/04/27 | 2010/11/29 | 50.00          |
| 22226    | HK Bank - Ch LongYuan European Warrants Dec 2010             | 120,000,000 | 2010/04/27 | 2010/12/30 | 30.00          |
| 22227    | HK Bank - CPIC European Warrants Oct 2010                    | 120,000,000 | 2010/04/27 | 2010/10/28 | 30.00          |
| 22233    | J P Morgan SP BV - BOCL European Warrants Oct 2010 B         | 100,000,000 | 2010/04/27 | 2010/10/27 | 26.00          |
| 22234    | J P Morgan Int'l Der. Ltd. - CC Bank European Wts Oct 2010 A | 100,000,000 | 2010/04/27 | 2010/10/27 | 26.00          |
| 22235    | J P Morgan Int'l Der. Ltd. - CC Bank European Wts Oct 2010 B | 100,000,000 | 2010/04/27 | 2010/10/27 | 25.00          |
| 22236    | J P Morgan SP BV - China Mob European Wts Oct 2010 C         | 100,000,000 | 2010/04/27 | 2010/10/27 | 25.00          |
| 22213    | Merrill Lynch Int'l & Co. C.V. - HS Bank Euro Wts Dec 2011   | 200,000,000 | 2010/04/27 | 2011/12/28 | 32.80          |
| 22219    | Merrill Lynch Int'l & Co. C.V. - HS Bank Euro Put Wts Dec 11 | 100,000,000 | 2010/04/27 | 2011/12/19 | 25.00          |
| 22215    | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jan 2011      | 200,000,000 | 2010/04/27 | 2011/01/10 | 55.00          |
| 22216    | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2011      | 200,000,000 | 2010/04/27 | 2011/12/22 | 30.20          |
| 22220    | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Nov 2010   | 200,000,000 | 2010/04/27 | 2010/11/15 | 83.00          |
| 22214    | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2010 C    | 200,000,000 | 2010/04/27 | 2010/11/24 | 69.00          |
| 22221    | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Dec 2010 D | 200,000,000 | 2010/04/27 | 2010/12/15 | 62.00          |
| 22217    | Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Jul 11B  | 200,000,000 | 2010/04/27 | 2011/07/11 | 75.00          |
| 22218    | Merrill Lynch Int'l & Co. C.V. - JX Copper Euro Wts Jan 2011 | 100,000,000 | 2010/04/27 | 2011/01/31 | 25.50          |
| 22222    | Merrill Lynch Int'l & Co. C.V. - JX Copper Euro Put Wt Mar11 | 100,000,000 | 2010/04/27 | 2011/03/14 | 27.50          |
| 22237    | CC Rabobank B.A. - Ch LongYuan European Warrants Oct 2010    | 200,000,000 | 2010/04/27 | 2010/10/28 | 50.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                          | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                               | 發行單位        | 上市日期       | 到期日        |                |
| 22238    | CC Rabobank B.A. - CPIC European Warrants Oct 2010            | 200,000,000 | 2010/04/27 | 2010/10/28 | 50.00          |
| 22239    | CC Rabobank B.A. - Shineway Pharm European Warrants Oct 2010  | 150,000,000 | 2010/04/27 | 2010/10/28 | 73.50          |
| 22240    | CC Rabobank B.A. - Longfor PPT European Warrants Dec 2010     | 200,000,000 | 2010/04/27 | 2010/12/15 | 50.00          |
| 22241    | CC Rabobank B.A. - Want Want China European Warrants Mar11    | 300,000,000 | 2010/04/27 | 2011/03/30 | 75.00          |
| 22223    | UBS AG - China Life European Put Warrants Sep 2010            | 200,000,000 | 2010/04/27 | 2010/09/20 | 30.00          |
| 22228    | UBS AG - Sinopec Corp European Warrants Oct 2010 B            | 100,000,000 | 2010/04/27 | 2010/10/27 | 36.00          |
| 22224    | UBS AG - HKEx European Put Warrants Oct 2010                  | 200,000,000 | 2010/04/27 | 2010/10/04 | 30.00          |
| 22225    | UBS AG - HSI European Put Warrants Oct 2010                   | 200,000,000 | 2010/04/27 | 2010/10/28 | 58.00          |
| 22229    | UBS AG - HSI European Warrants Oct 2010 B                     | 200,000,000 | 2010/04/27 | 2010/10/28 | 30.00          |
| 18308#   | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jun 10E  | 300,000,000 | 2010/04/27 | 2010/06/29 | 10.50          |
| 22278    | BOCI Asia Ltd. - Ch LongYuan European Warrants May 2011       | 100,000,000 | 2010/04/28 | 2011/05/26 | 25.00          |
| 22279    | BOCI Asia Ltd. - CM Bank European Warrants Nov 2010           | 100,000,000 | 2010/04/28 | 2010/11/04 | 25.00          |
| 22280    | BOCI Asia Ltd. - Dongfeng Motor European Warrants Nov 2010    | 100,000,000 | 2010/04/28 | 2010/11/29 | 25.00          |
| 22281    | BOCI Asia Ltd. - Geely Auto European Warrants Oct 2010        | 100,000,000 | 2010/04/28 | 2010/10/28 | 25.00          |
| 22282    | BOCI Asia Ltd. - Kingboard Chem European Warrants May 2011    | 100,000,000 | 2010/04/28 | 2011/05/16 | 120.00         |
| 22283    | BNP Paribas Arbit Issu B.V. - Angang Steel European Wt Apr11  | 100,000,000 | 2010/04/28 | 2011/04/04 | 25.00          |
| 22284    | BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Nov 2010 B   | 200,000,000 | 2010/04/28 | 2010/11/22 | 30.00          |
| 22285    | BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Sep 2011     | 200,000,000 | 2010/04/28 | 2011/09/05 | 50.00          |
| 22256    | Citigroup Global Mkt H Inc. - Ch LongYuan European Wts Mar11  | 150,000,000 | 2010/04/28 | 2011/03/21 | 37.50          |
| 22259    | Citigroup Global Mkt H Inc. - Chi Resources Euro Wts Jul 11   | 100,000,000 | 2010/04/28 | 2011/07/25 | 25.00          |
| 22255    | Citigroup Global Mkt H Inc. - China Coal European Wts Nov 10  | 180,000,000 | 2010/04/28 | 2010/11/15 | 27.00          |
| 22261    | Citigroup Global Mkt H Inc. - CNOOC Euro Put Wts Dec 2010     | 160,000,000 | 2010/04/28 | 2010/12/28 | 40.00          |
| 22258    | Citigroup Global Mkt H Inc. - CPIC European Warrants Oct 10   | 150,000,000 | 2010/04/28 | 2010/10/28 | 37.50          |
| 22273    | Citigroup Global Mkt H Inc. - WISE CSI European Wts Apr 2011  | 100,000,000 | 2010/04/28 | 2011/04/18 | 25.00          |
| 22260    | Citigroup Global Mkt H Inc. - Datang European Warrants Jul11  | 160,000,000 | 2010/04/28 | 2011/07/18 | 40.00          |
| 22271    | Citigroup Global Mkt H Inc. - A50 China Euro Wts May 2011     | 300,000,000 | 2010/04/28 | 2011/05/09 | 75.00          |
| 22272    | Citigroup Global Mkt H Inc. - A50 China Eur Put Wts Apr 2011  | 300,000,000 | 2010/04/28 | 2011/04/25 | 75.00          |
| 22263    | Citigroup Global Mkt H Inc. - HKEx European Wts Jun 2011      | 160,000,000 | 2010/04/28 | 2011/06/20 | 40.00          |
| 22262    | Citigroup Global Mkt H Inc. -Henderson Land Dev Eur Wts Apr11 | 100,000,000 | 2010/04/28 | 2011/04/27 | 30.00          |
| 22264    | Citigroup Global Mkt H Inc. - ICBC Euro Put Wts Nov 2011      | 267,000,000 | 2010/04/28 | 2011/11/01 | 40.05          |
| 22265    | Citigroup Global Mkt H Inc. - Longfor PPT European Wts Jul11  | 100,000,000 | 2010/04/28 | 2011/07/11 | 25.00          |
| 22257    | Citigroup Global Mkt H Inc. - China Mengniu Euro Wts Jul 11   | 100,000,000 | 2010/04/28 | 2011/07/25 | 25.00          |
| 22266    | Citigroup Global Mkt H Inc. - Ping An Euro Wts Apr 2012       | 150,000,000 | 2010/04/28 | 2012/04/10 | 22.50          |
| 22267    | Citigroup Global Mkt H Inc. - Shangri-La Asia Euro Wts Aug11  | 100,000,000 | 2010/04/28 | 2011/08/08 | 25.00          |
| 22268    | Citigroup Global Mkt H Inc. - Tencent European Put Wts Oct10  | 267,000,000 | 2010/04/28 | 2010/10/13 | 40.05          |
| 22269    | Citigroup Global Mkt H Inc. - Wharf European Warrants Jan 11  | 100,000,000 | 2010/04/28 | 2011/01/10 | 25.00          |
| 22270    | Citigroup Global Mkt H Inc. - ZTE European Warrants May 2011  | 100,000,000 | 2010/04/28 | 2011/05/23 | 30.00          |
| 22251    | Goldman Sachs SP (Asia) - Kerry Ppt European Warrants Dec 10  | 200,000,000 | 2010/04/28 | 2010/12/30 | 62.00          |
| 22254    | HK Bank - A50 China European Warrants Jun 2011                | 160,000,000 | 2010/04/28 | 2011/06/29 | 40.00          |
| 22252    | HK Bank - HWL European Warrants Oct 2010 B                    | 120,000,000 | 2010/04/28 | 2010/10/28 | 30.00          |
| 22253    | HK Bank - Maanshan Iron European Warrants Oct 2010 B          | 80,000,000  | 2010/04/28 | 2010/10/28 | 20.00          |
| 22274    | J P Morgan SP BV - HSBC European Warrants Oct 2010 C          | 80,000,000  | 2010/04/28 | 2010/10/28 | 24.00          |
| 22275    | J P Morgan SP BV - Hutchison European Warrants Oct 2010       | 100,000,000 | 2010/04/28 | 2010/10/28 | 25.00          |
| 22276    | J P Morgan SP BV - MTRC European Warrants Dec 2010            | 100,000,000 | 2010/04/28 | 2010/12/20 | 25.00          |



# 主 板 認 股 權 證

| 股份<br>代號           | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|--------------------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|                    |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22277              | J P Morgan SP BV - SHK Ppt European Warrants Oct 2010        | 200,000,000 | 2010/04/28 | 2010/10/18 | 30.00          |
| 22245              | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Nov10A | 200,000,000 | 2010/04/28 | 2010/11/29 | 51.00          |
| 22243              | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Oct10B | 200,000,000 | 2010/04/28 | 2010/10/28 | 34.40          |
| 22244              | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Oct10C | 200,000,000 | 2010/04/28 | 2010/10/28 | 31.80          |
| 22248              | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Oct 10D | 200,000,000 | 2010/04/28 | 2010/10/28 | 31.40          |
| 22249              | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Oct 10E | 200,000,000 | 2010/04/28 | 2010/10/28 | 44.40          |
| 22250              | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Oct 10F | 200,000,000 | 2010/04/28 | 2010/10/28 | 50.00          |
| 22247              | SGA Societe Generale Acceptance N.V-WISE CSI Eu Put Wt Nov10 | 50,000,000  | 2010/04/28 | 2010/11/24 | 19.50          |
| 22246              | SGA Societe Generale Acceptance N.V. - ICBC Eu Put Wt Sep 10 | 100,000,000 | 2010/04/28 | 2010/09/01 | 49.00          |
| 22286              | UBS AG - Ch LongYuan European Warrants Nov 2010 A            | 100,000,000 | 2010/04/28 | 2010/11/22 | 25.10          |
| 22287              | UBS AG - CPIC European Warrants Oct 2010                     | 100,000,000 | 2010/04/28 | 2010/10/28 | 25.40          |
| 21309 <sup>#</sup> | SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jun 2010 B | 230,000,000 | 2010/04/28 | 2010/06/21 | 10.35          |
| 22320              | BNP Paribas Arbit Issu B.V. - Agile Ppt European Wts Jul 11  | 100,000,000 | 2010/04/29 | 2011/07/04 | 25.00          |
| 22319              | BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Oct 2010   | 70,000,000  | 2010/04/29 | 2010/10/05 | 16.80          |
| 22308              | J P Morgan SP BV - C Transmission European Warrants Oct 2010 | 100,000,000 | 2010/04/29 | 2010/10/29 | 25.00          |
| 22307              | J P Morgan SP BV - Cheung Kong European Warrants Jun 2011    | 200,000,000 | 2010/04/29 | 2011/06/28 | 30.00          |
| 22309              | J P Morgan SP BV - HKEx European Warrants Jul 2011           | 200,000,000 | 2010/04/29 | 2011/07/25 | 50.00          |
| 22310              | J P Morgan SP BV - JX Copper European Warrants Oct 2010 B    | 100,000,000 | 2010/04/29 | 2010/10/29 | 25.00          |
| 22311              | J P Morgan SP BV - Maanshan Iron European Warrants Oct 2010  | 100,000,000 | 2010/04/29 | 2010/10/29 | 30.00          |
| 22303              | Macquarie Bank Ltd. - BOCHK European Warrants Apr 2011       | 150,000,000 | 2010/04/29 | 2011/04/04 | 37.50          |
| 22318              | Macquarie Bank Ltd. - Evergrande European Warrants Nov 2010  | 88,000,000  | 2010/04/29 | 2010/11/30 | 22.00          |
| 22302              | Macquarie Bank Ltd. - A50 China European Warrants Nov 2010   | 300,000,000 | 2010/04/29 | 2010/11/29 | 45.00          |
| 22304              | Macquarie Bank Ltd. - R&F Ppt European Warrants Jun 2011     | 150,000,000 | 2010/04/29 | 2011/06/02 | 37.65          |
| 22301              | Macquarie Bank Ltd. - HSCEI European Warrants Dec 2010       | 300,000,000 | 2010/04/29 | 2010/12/30 | 75.00          |
| 22299              | Macquarie Bank Ltd. - HSI European Warrants Oct 2010         | 300,000,000 | 2010/04/29 | 2010/10/28 | 45.90          |
| 22300              | Macquarie Bank Ltd. - HSI European Put Warrants Sep 2010     | 300,000,000 | 2010/04/29 | 2010/09/29 | 49.20          |
| 22305              | Macquarie Bank Ltd. - SHK Ppt European Warrants Nov 2010     | 100,000,000 | 2010/04/29 | 2010/11/02 | 31.00          |
| 22306              | Macquarie Bank Ltd. - Yanzhou Coal European Wts Oct 2010     | 150,000,000 | 2010/04/29 | 2010/10/29 | 37.95          |
| 22290              | Merrill Lynch Int'l & Co. C.V. - Ch Overseas Eur Wt Jan 2011 | 100,000,000 | 2010/04/29 | 2011/01/03 | 15.10          |
| 22294              | Merrill Lynch Int'l & Co. C.V.-Ch Overseas Eur Put Wts Nov10 | 100,000,000 | 2010/04/29 | 2010/11/22 | 26.00          |
| 22288              | Merrill Lynch Int'l & Co. C.V. - Ch Overseas Eur Wt Nov 10A  | 100,000,000 | 2010/04/29 | 2010/11/08 | 15.90          |
| 22289              | Merrill Lynch Int'l & Co. C.V. - Ch Overseas Eur Wt Nov 10B  | 100,000,000 | 2010/04/29 | 2010/11/05 | 15.20          |
| 22295              | Merrill Lynch Int'l & Co. C.V. - Sinopec Eu Put Wts Nov 10B  | 100,000,000 | 2010/04/29 | 2010/11/22 | 48.50          |
| 22291              | Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jun 2011      | 200,000,000 | 2010/04/29 | 2011/06/27 | 30.40          |
| 22292              | Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Jul 2011   | 100,000,000 | 2010/04/29 | 2011/07/18 | 25.50          |
| 22293              | Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Sep 2011   | 100,000,000 | 2010/04/29 | 2011/09/26 | 26.00          |
| 22312              | CC Rabobank B.A. - Air China European Warrants Dec 2010 B    | 200,000,000 | 2010/04/29 | 2010/12/22 | 50.00          |
| 22313              | CC Rabobank B.A. - Ch Mobile European Warrants Sep 2010      | 200,000,000 | 2010/04/29 | 2010/09/22 | 34.00          |
| 22314              | CC Rabobank B.A. - China Life European Warrants Sep 2010 B   | 200,000,000 | 2010/04/29 | 2010/09/27 | 30.00          |
| 22316              | CC Rabobank B.A. - Hutchison European Warrants Oct 2010      | 100,000,000 | 2010/04/29 | 2010/10/22 | 15.00          |
| 22315              | CC Rabobank B.A. - Hutchison European Warrants Sep 2010 B    | 100,000,000 | 2010/04/29 | 2010/09/27 | 19.00          |
| 22317              | UBS AG - R&F Ppt European Warrants Oct 2010                  | 100,000,000 | 2010/04/29 | 2010/10/28 | 15.00          |
| 22298              | UBS AG - HSI European Warrants Dec 2010 A                    | 200,000,000 | 2010/04/29 | 2010/12/30 | 30.00          |
| 22296              | UBS AG - HSI European Warrants Sep 2010 G                    | 200,000,000 | 2010/04/29 | 2010/09/29 | 34.40          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22297    | UBS AG - HSI European Warrants Sep 2010 H                    | 200,000,000 | 2010/04/29 | 2010/09/29 | 30.00          |
| 22345    | Credit Suisse AG - Ch Overseas European Put Warrants Nov 10  | 100,000,000 | 2010/04/30 | 2010/11/01 | 25.00          |
| 22343    | Credit Suisse AG - A50 China European Warrants Nov 2010 A    | 200,000,000 | 2010/04/30 | 2010/11/22 | 30.00          |
| 22344    | Credit Suisse AG - A50 China European Put Warrants Jan 2011  | 200,000,000 | 2010/04/30 | 2011/01/24 | 30.00          |
| 22337    | J P Morgan SP BV - China Coal European Warrants Dec 2010     | 200,000,000 | 2010/04/30 | 2010/12/20 | 50.00          |
| 22339    | J P Morgan SP BV - A50 China European Warrants Nov 2010 C    | 80,000,000  | 2010/04/30 | 2010/11/01 | 20.00          |
| 22338    | J P Morgan SP BV - Yurun Food European Warrants Nov 2010     | 100,000,000 | 2010/04/30 | 2010/11/01 | 25.00          |
| 22340    | J P Morgan SP BV - Yanzhou Coal European Warrants Nov 2010   | 100,000,000 | 2010/04/30 | 2010/11/01 | 25.00          |
| 22341    | J P Morgan SP BV - Zijin Mining European Warrants Jan 2011   | 200,000,000 | 2010/04/30 | 2011/01/31 | 30.00          |
| 22330    | Nomura Int'l plc - Agile Ppt European Warrants Dec 2010 B    | 100,000,000 | 2010/04/30 | 2010/12/31 | 25.00          |
| 22327    | Nomura Int'l plc - CC Bank European Warrants Oct 2010        | 100,000,000 | 2010/04/30 | 2010/10/29 | 25.00          |
| 22326    | Nomura Int'l plc - C Transmission European Warrants Oct 2010 | 75,000,000  | 2010/04/30 | 2010/10/29 | 18.75          |
| 22325    | Nomura Int'l plc - Sinopec European Warrants Oct 2010        | 75,000,000  | 2010/04/30 | 2010/10/04 | 19.88          |
| 22329    | Nomura Int'l plc - A50 China European Warrants Dec 2010      | 100,000,000 | 2010/04/30 | 2010/12/31 | 25.00          |
| 22322    | Nomura Int'l plc -Henderson Land Dev European Warrants Apr11 | 100,000,000 | 2010/04/30 | 2011/04/29 | 27.00          |
| 22321    | Nomura Int'l plc - HSBC European Warrants Nov 2010           | 100,000,000 | 2010/04/30 | 2010/11/30 | 32.00          |
| 22323    | Nomura Int'l plc - Hutchison European Warrants Sep 2010 B    | 100,000,000 | 2010/04/30 | 2010/09/30 | 15.00          |
| 22328    | Nomura Int'l plc - ICBC European Warrants Dec 2010           | 100,000,000 | 2010/04/30 | 2010/12/31 | 42.50          |
| 22324    | Nomura Int'l plc - JIANG European Warrants Oct 2010          | 100,000,000 | 2010/04/30 | 2010/10/29 | 25.00          |
| 22333    | SGA Societe Generale Acceptance N.V.-Country Gdn Eu Wt Nov10 | 100,000,000 | 2010/04/30 | 2010/11/08 | 30.00          |
| 22336    | SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Mar 11 | 200,000,000 | 2010/04/30 | 2011/03/30 | 32.00          |
| 22335    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct10E  | 300,000,000 | 2010/04/30 | 2010/10/28 | 45.00          |
| 22334    | SGA Societe Generale Acceptance N.V.-Renhe Comm Eu Wts Nov10 | 150,000,000 | 2010/04/30 | 2010/11/29 | 37.50          |
| 22342    | UBS AG - BYD European Warrants Nov 2010                      | 200,000,000 | 2010/04/30 | 2010/11/15 | 30.00          |
| 22331    | UBS AG - HKEx European Warrants Jun 2011                     | 200,000,000 | 2010/04/30 | 2011/06/27 | 30.00          |
| 22332    | UBS AG - Jiangxi Copper European Warrants Sep 2010 B         | 100,000,000 | 2010/04/30 | 2010/09/20 | 15.00          |
| 21788*   | Credit Suisse AG - A50 China European Warrants Sep 2010      | 400,000,000 | 2010/04/30 | 2010/09/06 | 28.80          |
| 20168*   | CC Rabobank B.A. - Huaneng Power European Warrants Jul 2010  | 200,000,000 | 2010/04/30 | 2010/07/13 | 16.40          |
| 22349    | Credit Suisse AG - Fushan Energy European Warrants Aug 2011  | 100,000,000 | 2010/05/03 | 2011/08/08 | 25.00          |
| 22347    | Credit Suisse AG - A50 China European Warrants Nov 2010 B    | 200,000,000 | 2010/05/03 | 2010/11/15 | 30.00          |
| 22350    | Credit Suisse AG - HSBC European Put Warrants Nov 2010       | 200,000,000 | 2010/05/03 | 2010/11/29 | 50.00          |
| 22348    | Credit Suisse AG - Ping An European Warrants Nov 2011 B      | 200,000,000 | 2010/05/03 | 2011/11/07 | 30.00          |
| 22346    | J P Morgan SP BV - Sino-Ocean Land European Warrants May 11  | 200,000,000 | 2010/05/03 | 2011/05/31 | 30.00          |
| 22351    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Nov 2010      | 128,000,000 | 2010/05/03 | 2010/11/03 | 58.88          |
| 22352    | KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wts Oct 2010  | 98,000,000  | 2010/05/03 | 2010/10/15 | 29.40          |
| 22355    | KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wts Nov 2010B | 88,000,000  | 2010/05/03 | 2010/11/03 | 45.76          |
| 22353    | KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Nov 2010 | 118,000,000 | 2010/05/03 | 2010/11/03 | 29.50          |
| 22354    | CC Rabobank B.A. - China Agri European Warrants Jan 2011     | 150,000,000 | 2010/05/03 | 2011/01/10 | 37.50          |
| 22356    | CC Rabobank B.A. - A50 China European Warrants Mar 2011      | 200,000,000 | 2010/05/03 | 2011/03/23 | 30.00          |
| 17025*   | Deutsche Bank AG - HSBC European Warrants Sep 2011 A         | 400,000,000 | 2010/05/03 | 2011/09/29 | 64.00          |
| 18825*   | Deutsche Bank AG - HSBC European Warrants Oct 2010 A         | 600,000,000 | 2010/05/03 | 2010/10/25 | 32.40          |
| 21642*   | Credit Suisse AG - HSI European Warrants Aug 2010 B          | 436,000,000 | 2010/05/03 | 2010/08/30 | 43.60          |
| 20599*   | Deutsche Bank AG - China Life European Warrants Jul 2010 A   | 400,000,000 | 2010/05/03 | 2010/07/26 | 23.60          |
| 17916*   | Deutsche Bank AG - HSBC European Warrants Sep 2010 A         | 400,000,000 | 2010/05/03 | 2010/09/20 | 20.80          |

# 主 板 認 股 權 證

| 股份<br>代號           | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|--------------------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|                    |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 19666 <sup>#</sup> | Deutsche Bank AG - HSI European Warrants Jun 2010 A          | 300,000,000 | 2010/05/03 | 2010/06/29 | 19.20          |
| 19134 <sup>#</sup> | Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2011 A | 300,000,000 | 2010/05/03 | 2011/10/18 | 40.80          |
| 19140 <sup>#</sup> | Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Oct 2010   | 500,000,000 | 2010/05/03 | 2010/10/04 | 11.00          |
| 20748 <sup>#</sup> | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jul10C | 300,000,000 | 2010/05/03 | 2010/07/29 | 11.70          |
| 18235 <sup>#</sup> | CC Rabobank B.A. - Ch Mobile European Warrants Nov 2011      | 800,000,000 | 2010/05/03 | 2011/11/04 | 29.60          |
| 17394 <sup>#</sup> | CC Rabobank B.A. - Hutchison European Warrants Jul 2011      | 400,000,000 | 2010/05/03 | 2011/07/25 | 17.20          |
| 22394              | BNP Paribas Arbit Issu B.V. - CNBM European Warrants Dec 10  | 100,000,000 | 2010/05/04 | 2010/12/29 | 25.00          |
| 22383              | Credit Suisse AG - Agile Ppt European Warrants Dec 2010      | 100,000,000 | 2010/05/04 | 2010/12/20 | 25.00          |
| 22382              | Credit Suisse AG - Angang Steel European Warrants Oct 2010   | 100,000,000 | 2010/05/04 | 2010/10/25 | 15.00          |
| 22388              | Credit Suisse AG - Ch LongYuan European Put Warrants Jan 11  | 200,000,000 | 2010/05/04 | 2011/01/31 | 50.00          |
| 22384              | Credit Suisse AG - CNOOC European Warrants Oct 2010 B        | 200,000,000 | 2010/05/04 | 2010/10/25 | 30.00          |
| 22385              | Credit Suisse AG - Chi Res Land European Warrants Nov 2010   | 100,000,000 | 2010/05/04 | 2010/11/29 | 25.00          |
| 22386              | Credit Suisse AG - Henganintl European Warrants Nov 2010     | 100,000,000 | 2010/05/04 | 2010/11/29 | 25.00          |
| 22387              | Credit Suisse - Shanghai Electric European Warrants Nov 2010 | 100,000,000 | 2010/05/04 | 2010/11/22 | 25.00          |
| 22376              | Deutsche Bank AG - China Life European Warrants Aug 2010 F   | 300,000,000 | 2010/05/04 | 2010/08/30 | 45.00          |
| 22379              | Deutsche Bank AG - A50 China European Warrants Nov 2010      | 300,000,000 | 2010/05/04 | 2010/11/22 | 45.00          |
| 22378              | Deutsche Bank AG - HSBC European Warrants Dec 2011           | 400,000,000 | 2010/05/04 | 2011/12/12 | 60.00          |
| 22377              | Deutsche Bank AG - HSBC European Warrants Nov 2010 C         | 300,000,000 | 2010/05/04 | 2010/11/08 | 75.00          |
| 22390              | Deutsche Bank AG - HSI European Warrants Oct 2010            | 300,000,000 | 2010/05/04 | 2010/10/28 | 48.00          |
| 22389              | Deutsche Bank AG - HSI European Warrants Sep 2010 E          | 300,000,000 | 2010/05/04 | 2010/09/29 | 48.00          |
| 22391              | Deutsche Bank AG - HSI European Put Warrants Oct 2010 C      | 300,000,000 | 2010/05/04 | 2010/10/28 | 60.00          |
| 22392              | Deutsche Bank AG - HSI European Put Warrants Nov 2010 B      | 300,000,000 | 2010/05/04 | 2010/11/29 | 78.00          |
| 22371              | HK Bank - A50 China European Warrants Feb 2011               | 120,000,000 | 2010/05/04 | 2011/02/25 | 30.00          |
| 22373              | HK Bank - A50 China European Warrants Sep 2011               | 150,000,000 | 2010/05/04 | 2011/09/29 | 37.50          |
| 22374              | HK Bank - A50 China European Warrants Oct 2011               | 150,000,000 | 2010/05/04 | 2011/10/28 | 37.50          |
| 22375              | HK Bank - A50 China European Put Warrants Mar 2011           | 120,000,000 | 2010/05/04 | 2011/03/30 | 30.00          |
| 22380              | KBC Fin Products Int'l Ltd. - CMB European Warrants Nov 2010 | 80,000,000  | 2010/05/04 | 2010/11/04 | 20.00          |
| 22381              | KBC Fin Products Int'l Ltd. - A50 Ch European Put Wts Mar 11 | 68,000,000  | 2010/05/04 | 2011/03/30 | 10.20          |
| 22362              | Macquarie Bank Ltd. - CNOOC European Warrants Apr 2011       | 200,000,000 | 2010/05/04 | 2011/04/04 | 30.00          |
| 22363              | Macquarie Bank Ltd. - CNOOC European Put Warrants Jan 2011 B | 200,000,000 | 2010/05/04 | 2011/01/05 | 50.00          |
| 22364              | Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Sep 2010  | 100,000,000 | 2010/05/04 | 2010/09/27 | 22.80          |
| 22365              | Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Oct 2010  | 100,000,000 | 2010/05/04 | 2010/10/12 | 15.80          |
| 22366              | Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Nov 2010  | 100,000,000 | 2010/05/04 | 2010/11/29 | 40.50          |
| 22357              | Merrill Lynch Int'l & Co. C.V. - Ch Telecom Eur Wt Nov 2010  | 100,000,000 | 2010/05/04 | 2010/11/22 | 26.50          |
| 22358              | Merrill Lynch Int'l & Co. C.V.-Ch Telecom Eur Put Wts Dec 10 | 100,000,000 | 2010/05/04 | 2010/12/13 | 28.50          |
| 22370              | Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Nov 2010 B | 200,000,000 | 2010/05/04 | 2010/11/08 | 45.40          |
| 22369              | Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Jun 2011  | 100,000,000 | 2010/05/04 | 2011/06/27 | 20.00          |
| 22372              | Merrill Lynch Int'l & Co. C.V. - ICBC European Put Wts Nov10 | 100,000,000 | 2010/05/04 | 2010/11/23 | 36.50          |
| 22367              | Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Nov 2010A | 100,000,000 | 2010/05/04 | 2010/11/22 | 43.50          |
| 22368              | Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Nov 2010B | 100,000,000 | 2010/05/04 | 2010/11/08 | 20.70          |
| 22359              | Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Put Wt Nov 10 | 100,000,000 | 2010/05/04 | 2010/11/23 | 51.00          |
| 22360              | Merrill Lynch Int'l & Co. C.V. - Tencent European Wts Oct10B | 150,000,000 | 2010/05/04 | 2010/10/04 | 30.60          |
| 22361              | Merrill Lynch Int'l & Co. C.V. - Tencent European Wts Nov 10 | 150,000,000 | 2010/05/04 | 2010/11/22 | 25.50          |
| 19641 <sup>#</sup> | Credit Suisse AG - Minsheng Bank European Warrants Jun 2010A | 400,000,000 | 2010/05/04 | 2010/06/14 | 14.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22412    | Barclays Bank plc - Ch LongYuan European Warrants Dec 2010 A | 150,000,000 | 2010/05/05 | 2010/12/13 | 37.50          |
| 22415    | Barclays Bank plc - China Mobile European Put Wts Nov 2010   | 150,000,000 | 2010/05/05 | 2010/11/08 | 48.00          |
| 22413    | Barclays Bank plc - CC Bank European Warrants Oct 2010       | 200,000,000 | 2010/05/05 | 2010/10/25 | 38.00          |
| 22414    | Barclays Bank plc - China Mobile European Wts Oct 2010 C     | 150,000,000 | 2010/05/05 | 2010/10/25 | 37.50          |
| 22410    | Barclays Bank plc - CNOOC European Warrants Nov 2010 A       | 200,000,000 | 2010/05/05 | 2010/11/01 | 30.00          |
| 22411    | Barclays Bank plc - CNOOC European Put Warrants Dec 2010     | 200,000,000 | 2010/05/05 | 2010/12/21 | 36.00          |
| 22417    | Barclays Bank plc - CPIC European Warrants Nov 2010          | 150,000,000 | 2010/05/05 | 2010/11/29 | 37.50          |
| 22416    | Barclays Bank plc - China Shenhua European Warrants Nov 2010 | 200,000,000 | 2010/05/05 | 2010/11/22 | 52.00          |
| 22418    | Barclays Bank plc - R&F Ppt European Warrants Nov 2010       | 200,000,000 | 2010/05/05 | 2010/11/01 | 30.00          |
| 22409    | Barclays Bank plc - HSBC European Warrants Oct 2010 B        | 150,000,000 | 2010/05/05 | 2010/10/25 | 37.50          |
| 22419    | Barclays Bank plc - HSI European Warrants Nov 2010 C         | 150,000,000 | 2010/05/05 | 2010/11/29 | 37.50          |
| 22420    | Barclays Bank plc - HSI European Warrants Aug 2010 F         | 150,000,000 | 2010/05/05 | 2010/08/30 | 25.50          |
| 22421    | Barclays Bank plc - HSI European Put Warrants Sep 2010 D     | 150,000,000 | 2010/05/05 | 2010/09/29 | 31.50          |
| 22422    | Barclays Bank plc - HSI European Put Warrants Sep 2010 E     | 150,000,000 | 2010/05/05 | 2010/09/29 | 25.50          |
| 22423    | Barclays Bank plc - HSI European Put Warrants Nov 2010 B     | 150,000,000 | 2010/05/05 | 2010/11/29 | 40.50          |
| 22408    | BNP Paribas Arbit Issu B.V. - BOCL European Wts Dec 2010 B   | 200,000,000 | 2010/05/05 | 2010/12/06 | 50.00          |
| 22407    | BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Dec 2010 B    | 200,000,000 | 2010/05/05 | 2010/12/06 | 50.00          |
| 22427    | Credit Suisse AG - HSBC European Wts Aug 2010 C              | 200,000,000 | 2010/05/05 | 2010/08/30 | 30.00          |
| 22429    | Credit Suisse AG - HSI European Warrants Oct 2010 A          | 200,000,000 | 2010/05/05 | 2010/10/28 | 30.00          |
| 22430    | Credit Suisse AG - HSI European Put Warrants Aug 2010 E      | 180,000,000 | 2010/05/05 | 2010/08/30 | 27.00          |
| 22426    | Credit Suisse AG - HSI European Warrants Aug 2010 C          | 195,000,000 | 2010/05/05 | 2010/08/30 | 29.25          |
| 22431    | Deutsche Bank AG - CC Bank European Warrants Sep 2010 B      | 300,000,000 | 2010/05/05 | 2010/09/27 | 75.00          |
| 22432    | Deutsche Bank AG - ICBC European Warrants Oct 2010 B         | 300,000,000 | 2010/05/05 | 2010/10/18 | 48.00          |
| 22433    | Deutsche Bank AG - PetroChina European Warrants Jun 2011     | 300,000,000 | 2010/05/05 | 2011/06/20 | 51.00          |
| 22398    | HK Bank - Henganintl European Warrants Nov 2010              | 120,000,000 | 2010/05/05 | 2010/11/22 | 30.00          |
| 22434    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2010 D    | 200,000,000 | 2010/05/05 | 2010/10/28 | 30.00          |
| 22435    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Nov 2010 C    | 200,000,000 | 2010/05/05 | 2010/11/29 | 30.00          |
| 22399    | Macquarie Bank Ltd. - China Coal European Warrants Nov 2010  | 150,000,000 | 2010/05/05 | 2010/11/18 | 22.95          |
| 22400    | Macquarie Bank Ltd. - CPIC European Warrants Nov 2010        | 200,000,000 | 2010/05/05 | 2010/11/29 | 50.20          |
| 22401    | Macquarie Bank Ltd. - Chi Res Land European Warrants Dec 10  | 100,000,000 | 2010/05/05 | 2010/12/30 | 25.00          |
| 22402    | Macquarie Bank Ltd. - ICBC European Warrants Nov 2010        | 120,000,000 | 2010/05/05 | 2010/11/08 | 30.72          |
| 22406    | Macquarie Bank Ltd. - ICBC European Put Warrants Nov 2010    | 88,000,000  | 2010/05/05 | 2010/11/08 | 43.03          |
| 22403    | Macquarie Bank Ltd. - Jiangxi Copper European Wts Sep 2010 B | 200,000,000 | 2010/05/05 | 2010/09/15 | 33.60          |
| 22404    | Macquarie Bank Ltd. - Jiangxi Copper European Wts Jan 2011 A | 200,000,000 | 2010/05/05 | 2011/01/04 | 51.00          |
| 22405    | Macquarie Bank Ltd. - Shui On Land European Warrants Nov 10  | 100,000,000 | 2010/05/05 | 2010/11/29 | 27.40          |
| 22395    | RB of Scotland N.V. - Jiangxi Copper European Wts Nov 2010   | 200,000,000 | 2010/05/05 | 2010/11/08 | 50.00          |
| 22396    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2010 E | 100,000,000 | 2010/05/05 | 2010/08/30 | 25.00          |
| 22397    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Sep 2010 D | 120,000,000 | 2010/05/05 | 2010/09/20 | 19.20          |
| 22425    | UBS AG - Ch LongYuan European Warrants Nov 2010 B            | 100,000,000 | 2010/05/05 | 2010/11/15 | 25.00          |
| 22424    | UBS AG - HSI European Warrants Aug 2010 C                    | 200,000,000 | 2010/05/05 | 2010/08/30 | 48.00          |
| 22428    | UBS AG - HSI European Put Warrants Aug 2010 E                | 200,000,000 | 2010/05/05 | 2010/08/30 | 30.00          |
| 21465#   | KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wts Jul 2010  | 100,000,000 | 2010/05/05 | 2010/07/02 | 15.70          |
| 22450    | Credit Suisse AG - Ch LongYuan European Warrants Nov 2010 B  | 200,000,000 | 2010/05/06 | 2010/11/08 | 50.00          |
| 22455    | Credit Suisse AG - Minsheng Bank European Warrants Nov 2010  | 100,000,000 | 2010/05/06 | 2010/11/08 | 25.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之          |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|---------------|------------|------------|----------------|
|          |                                                              | 發行單位          | 上市日期       | 到期日        |                |
| 22453    | Credit Suisse AG - CNBM European Warrants Nov 2010           | 100,000,000   | 2010/05/06 | 2010/11/08 | 25.00          |
| 22456    | Credit Suisse AG - HSBC European Wts Apr 2011 B              | 300,000,000   | 2010/05/06 | 2011/04/26 | 45.00          |
| 22454    | Credit Suisse AG - MCC European Warrants Nov 2010            | 100,000,000   | 2010/05/06 | 2010/11/08 | 25.00          |
| 10964    | Deutsche Bank AG - DJIA European Warrants Dec 2010 A         | 150,000,000   | 2010/05/06 | 2010/12/20 | 37.50          |
| 10968    | Deutsche Bank AG - DJIA European Put Warrants Dec 2010 B     | 150,000,000   | 2010/05/06 | 2010/12/20 | 37.50          |
| 22452    | KBC Fin Products Int'l Ltd. - ICBC European Wts Nov 2010     | 200,000,000   | 2010/05/06 | 2010/11/08 | 58.00          |
| 22448    | Macquarie Bank Ltd. - Ch LongYuan European Warrants Nov 2010 | 300,000,000   | 2010/05/06 | 2010/11/15 | 45.00          |
| 22449    | Macquarie Bank Ltd. - CM Bank European Warrants Dec 2010     | 200,000,000   | 2010/05/06 | 2010/12/02 | 50.80          |
| 22451    | RB of Scotland N.V. - HSI European Put Warrants Aug 2010 D   | 400,000,000   | 2010/05/06 | 2010/08/30 | 61.60          |
| 22445    | RB of Scotland N.V. - HSI European Warrants Aug 2010 A       | 400,000,000   | 2010/05/06 | 2010/08/30 | 60.80          |
| 22446    | RB of Scotland N.V. - HSI European Warrants Aug 2010 B       | 400,000,000   | 2010/05/06 | 2010/08/30 | 82.40          |
| 22447    | RB of Scotland N.V. - HSI European Warrants Aug 2010 C       | 400,000,000   | 2010/05/06 | 2010/08/30 | 60.80          |
| 22436    | UBS AG - BOCL European Warrants Nov 2010 A                   | 100,000,000   | 2010/05/06 | 2010/11/08 | 25.00          |
| 22438    | UBS AG - China Tel European Warrants Sep 2010                | 100,000,000   | 2010/05/06 | 2010/09/27 | 19.00          |
| 22437    | UBS AG - CC Bank European Warrants Sep 2010 C                | 100,000,000   | 2010/05/06 | 2010/09/27 | 15.00          |
| 22444    | UBS AG - China Life European Warrants Sep 2010 C             | 200,000,000   | 2010/05/06 | 2010/09/16 | 30.00          |
| 22443    | UBS AG - China Life European Warrants Nov 2010 A             | 200,000,000   | 2010/05/06 | 2010/11/08 | 50.00          |
| 22439    | UBS AG - China Shenhua European Warrants Sep 2010            | 100,000,000   | 2010/05/06 | 2010/09/22 | 25.00          |
| 22440    | UBS AG - ICBC European Warrants Nov 2010                     | 100,000,000   | 2010/05/06 | 2010/11/08 | 30.00          |
| 22441    | UBS AG - PetroChina European Warrants Apr 2011               | 100,000,000   | 2010/05/06 | 2011/04/26 | 15.00          |
| 22442    | UBS AG - Tencent Holdings European Warrants Sep 2010         | 200,000,000   | 2010/05/06 | 2010/09/22 | 30.00          |
| 22209#   | Credit Suisse AG - Ch LongYuan European Warrants Nov 2010 A  | 300,000,000   | 2010/05/06 | 2010/11/25 | 26.70          |
| 17979#   | Deutsche Bank AG - China Mobile European Warrants Oct 2010 A | 600,000,000   | 2010/05/06 | 2010/10/18 | 13.20          |
| 17446#   | Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2010 A | 1,000,000,000 | 2010/05/06 | 2010/10/11 | 17.00          |
| 22479    | Credit Suisse AG - China Coal European Warrants Nov 2010     | 100,000,000   | 2010/05/07 | 2010/11/22 | 15.00          |
| 22478    | Credit Suisse AG - HSI European Warrants Aug 2010 D          | 180,000,000   | 2010/05/07 | 2010/08/30 | 27.00          |
| 22482    | Credit Suisse AG - HSI European Put Warrants Aug 2010 F      | 170,000,000   | 2010/05/07 | 2010/08/30 | 25.50          |
| 22480    | Credit Suisse - Yanzhou Coal European Warrants Nov 2010      | 100,000,000   | 2010/05/07 | 2010/11/15 | 25.00          |
| 22481    | Deutsche Bank AG - Ch LongYuan European Warrants Nov 2010    | 150,000,000   | 2010/05/07 | 2010/11/08 | 37.50          |
| 22472    | Deutsche Bank AG - China Mobile European Warrants Nov 2010   | 300,000,000   | 2010/05/07 | 2010/11/08 | 75.00          |
| 22473    | Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2010 C  | 200,000,000   | 2010/05/07 | 2010/09/29 | 70.00          |
| 22474    | Goldman Sachs SP (Asia) - HSBC European Warrants Oct 2010 A  | 200,000,000   | 2010/05/07 | 2010/10/28 | 58.00          |
| 22475    | Goldman Sachs SP (Asia) - HSBC European Warrants Oct 2010 B  | 200,000,000   | 2010/05/07 | 2010/10/28 | 46.00          |
| 22476    | Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2010 C  | 200,000,000   | 2010/05/07 | 2010/12/30 | 78.00          |
| 22457    | HK Bank - Geely Auto European Warrants Nov 2010              | 100,000,000   | 2010/05/07 | 2010/11/08 | 25.00          |
| 22458    | HK Bank - Geely Auto European Warrants Dec 2010 A            | 100,000,000   | 2010/05/07 | 2010/12/30 | 25.00          |
| 22483    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Sep 2010 B    | 198,000,000   | 2010/05/07 | 2010/09/01 | 29.70          |
| 22471    | SGA Societe Generale Acceptance N.V.-Ch LongYuan Eu Wt May11 | 100,000,000   | 2010/05/07 | 2011/05/23 | 15.00          |
| 22465    | SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2010C | 200,000,000   | 2010/05/07 | 2010/09/06 | 80.00          |
| 22466    | SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2010D | 200,000,000   | 2010/05/07 | 2010/09/06 | 60.00          |
| 22467    | SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2010B | 200,000,000   | 2010/05/07 | 2010/10/04 | 50.00          |
| 22468    | SGA Societe Generale Acceptance N.V. - CLife Eu Wt Nov 2010  | 200,000,000   | 2010/05/07 | 2010/11/08 | 64.00          |
| 22469    | SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2010A | 200,000,000   | 2010/05/07 | 2010/12/06 | 86.00          |
| 22470    | SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2010B | 200,000,000   | 2010/05/07 | 2010/12/06 | 58.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22460    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jul 2011   | 500,000,000 | 2010/05/07 | 2011/07/04 | 75.00          |
| 22461    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2011   | 500,000,000 | 2010/05/07 | 2011/09/26 | 90.00          |
| 22462    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2011   | 500,000,000 | 2010/05/07 | 2011/12/19 | 85.00          |
| 22459    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2010 B | 500,000,000 | 2010/05/07 | 2010/12/13 | 95.00          |
| 22463    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Nov 10A | 300,000,000 | 2010/05/07 | 2010/11/29 | 81.00          |
| 22464    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 10A | 300,000,000 | 2010/05/07 | 2010/12/30 | 75.00          |
| 22477    | UBS AG - A50 China European Warrants Nov 2010 B              | 100,000,000 | 2010/05/07 | 2010/11/22 | 15.00          |
| 20748#   | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jul10C | 500,000,000 | 2010/05/07 | 2010/07/29 | 14.50          |
| 20202#   | CC Rabobank B.A. - KECL European Warrants Nov 2010           | 300,000,000 | 2010/05/07 | 2010/11/12 | 22.20          |
| 22286#   | UBS AG - Ch LongYuan European Warrants Nov 2010 A            | 200,000,000 | 2010/05/07 | 2010/11/22 | 14.60          |
| 22488    | BNP Paribas Arbit Issu B.V. - Ch LongYuan Euro Wts Nov10B    | 100,000,000 | 2010/05/10 | 2010/11/18 | 15.00          |
| 22493    | Credit Suisse AG - China Mobile European Warrants Oct 2010 A | 200,000,000 | 2010/05/10 | 2010/10/11 | 30.00          |
| 22492    | Credit Suisse AG - CC Bank European Warrants Aug 2010 C      | 200,000,000 | 2010/05/10 | 2010/08/30 | 30.00          |
| 22495    | Credit Suisse AG - CNOOC European Put Warrants Nov 2010      | 200,000,000 | 2010/05/10 | 2010/11/15 | 50.00          |
| 22496    | Credit Suisse AG - ICBC European Put Warrants Nov 2010       | 200,000,000 | 2010/05/10 | 2010/11/15 | 50.00          |
| 22494    | Credit Suisse AG - Tencent Holdings European Put Wts Oct 10  | 200,000,000 | 2010/05/10 | 2010/10/18 | 30.00          |
| 22497    | Deutsche Bank AG - CNOOC European Warrants Nov 2010 B        | 300,000,000 | 2010/05/10 | 2010/11/01 | 45.00          |
| 22498    | Deutsche Bank AG - HKEx European Warrants Jun 2011 A         | 300,000,000 | 2010/05/10 | 2011/06/27 | 45.00          |
| 22499    | Deutsche Bank AG - HSBC European Put Warrants Oct 2011       | 300,000,000 | 2010/05/10 | 2011/10/17 | 45.00          |
| 22500    | Deutsche Bank AG - Tencent Holdings European Warrants Oct10A | 300,000,000 | 2010/05/10 | 2010/10/25 | 51.00          |
| 22485    | HK Bank - CC Bank European Warrants Nov 2010 A               | 160,000,000 | 2010/05/10 | 2010/11/11 | 40.00          |
| 22486    | HK Bank - CC Bank European Warrants Nov 2010 B               | 160,000,000 | 2010/05/10 | 2010/11/11 | 40.00          |
| 22487    | HK Bank - GCL-Poly Energy European Warrants Jun 2011         | 100,000,000 | 2010/05/10 | 2011/06/20 | 25.00          |
| 22484    | SGA Societe Generale Acceptance N.V.-ZTE Eu Wt Nov 2010      | 100,000,000 | 2010/05/10 | 2010/11/10 | 43.00          |
| 22491    | UBS AG - Ch LongYuan European Warrants Oct 2010              | 100,000,000 | 2010/05/10 | 2010/10/25 | 15.00          |
| 22489    | UBS AG - CC Bank European Warrants Oct 2010                  | 100,000,000 | 2010/05/10 | 2010/10/27 | 40.50          |
| 22490    | UBS AG - Yanzhou Coal European Wts Oct 2010                  | 100,000,000 | 2010/05/10 | 2010/10/25 | 17.00          |
| 19016#   | Credit Suisse - Ping An European Warrants Dec 2010           | 400,000,000 | 2010/05/10 | 2010/12/28 | 12.40          |
| 22519    | Barclays Bank plc - CITBK European Warrants Nov 2010         | 150,000,000 | 2010/05/11 | 2010/11/29 | 51.00          |
| 22520    | Barclays Bank plc - China Life European Put Wts Dec 2010     | 150,000,000 | 2010/05/11 | 2010/12/06 | 39.00          |
| 22521    | Barclays Bank plc - CM Bank European Warrants Dec 2010 B     | 150,000,000 | 2010/05/11 | 2010/12/13 | 37.50          |
| 22522    | Barclays Bank plc - Fushan Energy European Warrants Aug 2011 | 200,000,000 | 2010/05/11 | 2011/08/01 | 30.00          |
| 22514    | Barclays Bank plc - A50 China European Warrants Nov 2010 B   | 100,000,000 | 2010/05/11 | 2010/11/22 | 15.00          |
| 22515    | Barclays Bank plc - A50 China European Warrants Dec 2010     | 100,000,000 | 2010/05/11 | 2010/12/24 | 15.00          |
| 22516    | Barclays Bank plc - A50 China European Put Warrants Jan 2011 | 100,000,000 | 2010/05/11 | 2011/01/24 | 15.00          |
| 22517    | Barclays Bank plc - A50 China European Put Warrants Mar 2011 | 100,000,000 | 2010/05/11 | 2011/03/28 | 15.00          |
| 22518    | Barclays Bank plc - A50 China European Put Warrants Apr 2011 | 100,000,000 | 2010/05/11 | 2011/04/04 | 19.00          |
| 22510    | Barclays Bank plc - HSI European Warrants Sep 2010 F         | 150,000,000 | 2010/05/11 | 2010/09/29 | 22.50          |
| 22511    | Barclays Bank plc - HSI European Warrants Sep 2010 G         | 150,000,000 | 2010/05/11 | 2010/09/29 | 22.50          |
| 22509    | Barclays Bank plc - HSI European Warrants Nov 2010 D         | 150,000,000 | 2010/05/11 | 2010/11/29 | 37.50          |
| 22512    | Barclays Bank plc - HSI European Put Warrants Oct 2010 B     | 150,000,000 | 2010/05/11 | 2010/10/28 | 24.00          |
| 22513    | Barclays Bank plc - HSI European Put Warrants Nov 2010 E     | 150,000,000 | 2010/05/11 | 2010/11/29 | 40.50          |
| 22523    | Barclays Bank plc - ICBC European Warrants Nov 2010          | 150,000,000 | 2010/05/11 | 2010/11/29 | 37.50          |
| 22501    | BNP Paribas Arbit Issu B.V. - R&F Ppt European Wts Feb 2011  | 100,000,000 | 2010/05/11 | 2011/02/02 | 25.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之          |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|---------------|------------|------------|----------------|
|          |                                                              | 發行單位          | 上市日期       | 到期日        |                |
| 22535    | Credit Suisse AG - A50 China European Warrants Oct 2010 A    | 200,000,000   | 2010/05/11 | 2010/10/04 | 30.00          |
| 22528    | Deutsche Bank AG - BYD European Warrants Nov 2010            | 300,000,000   | 2010/05/11 | 2010/11/15 | 45.00          |
| 22529    | Deutsche Bank AG - China Life European Warrants Nov 2010 B   | 300,000,000   | 2010/05/11 | 2010/11/15 | 75.00          |
| 22530    | Deutsche Bank AG - China Life European Put Wts Sep 2010      | 200,000,000   | 2010/05/11 | 2010/09/20 | 36.00          |
| 22531    | Deutsche Bank AG - HKEx European Put Warrants Oct 2010       | 200,000,000   | 2010/05/11 | 2010/10/05 | 30.00          |
| 22503    | HK Bank - Angang Steel European Warrants Jan 2011            | 120,000,000   | 2010/05/11 | 2011/01/18 | 30.00          |
| 22504    | HK Bank - Fushan Energy European Warrants Nov 2010           | 120,000,000   | 2010/05/11 | 2010/11/11 | 30.00          |
| 22505    | HK Bank - R&F Ppt European Warrants May 2011                 | 120,000,000   | 2010/05/11 | 2011/05/26 | 30.00          |
| 22506    | HK Bank - ICBC European Warrants Nov 2010                    | 160,000,000   | 2010/05/11 | 2010/11/25 | 40.00          |
| 22507    | Macquarie Bank Ltd. - WISE CSI European Warrants Dec 2010    | 200,000,000   | 2010/05/11 | 2010/12/02 | 51.20          |
| 22532    | Macquarie Bank Ltd. - A50 China European Warrants Oct 2010   | 200,000,000   | 2010/05/11 | 2010/10/05 | 32.00          |
| 22508    | Macquarie Bank Ltd. - Zhaojin Mining European Warrants Dec10 | 200,000,000   | 2010/05/11 | 2010/12/02 | 50.60          |
| 22524    | CC Rabobank B.A. - CNOOC European Warrants Mar 2011          | 250,000,000   | 2010/05/11 | 2011/03/30 | 37.50          |
| 22527    | CC Rabobank B.A. - A50 China European Warrants Nov 2010 C    | 200,000,000   | 2010/05/11 | 2010/11/22 | 30.00          |
| 22526    | CC Rabobank B.A. - A50 China European Put Warrants Mar 2011  | 200,000,000   | 2010/05/11 | 2011/03/23 | 30.00          |
| 22525    | CC Rabobank B.A. - Huaneng Power European Warrants Dec 2010  | 100,000,000   | 2010/05/11 | 2010/12/15 | 39.00          |
| 22502    | RB of Scotland N.V. - China Life European Put Wts Nov 2010   | 150,000,000   | 2010/05/11 | 2010/11/15 | 37.95          |
| 22533    | UBS AG - Ch LongYuan European Put Warrants Jan 2011          | 100,000,000   | 2010/05/11 | 2011/01/24 | 15.00          |
| 22534    | UBS AG - A50 China European Put Warrants Jan 2011            | 100,000,000   | 2010/05/11 | 2011/01/24 | 15.00          |
| 21317*   | BNP Paribas Arbit Issu B.V. - HSBC European Wts Aug 2010 B   | 200,000,000   | 2010/05/11 | 2010/08/16 | 21.20          |
| 19426*   | Credit Suisse AG - BOCL European Warrants Feb 2013           | 800,000,000   | 2010/05/11 | 2013/02/25 | 36.00          |
| 19013*   | Credit Suisse - ICBC European Warrants Dec 2010 C            | 1,000,000,000 | 2010/05/11 | 2010/12/06 | 19.00          |
| 17932*   | UBS AG - HSBC European Warrants Sep 2010 C                   | 200,000,000   | 2010/05/11 | 2010/09/20 | 10.80          |
| 20914*   | UBS AG - HSI European Warrants Jun 2010 E                    | 300,000,000   | 2010/05/11 | 2010/06/29 | 13.20          |
| 22560    | Deutsche Bank AG - BOCL European Warrants Nov 2010 B         | 200,000,000   | 2010/05/12 | 2010/11/15 | 50.00          |
| 22561    | Deutsche Bank AG - CC Bank European Warrants Oct 2010        | 200,000,000   | 2010/05/12 | 2010/10/18 | 34.00          |
| 10966    | Deutsche Bank AG - EUR/USD European Put Warrants Nov 2010    | 50,000,000    | 2010/05/12 | 2010/11/15 | 12.50          |
| 22565    | Deutsche Bank AG - HSI European Warrants Nov 2010 E          | 300,000,000   | 2010/05/12 | 2010/11/29 | 84.00          |
| 22566    | Deutsche Bank AG - HSI European Warrants Sep 2010 F          | 300,000,000   | 2010/05/12 | 2010/09/29 | 48.00          |
| 22562    | Deutsche Bank AG - HSI European Put Warrants Aug 2010 I      | 300,000,000   | 2010/05/12 | 2010/08/30 | 45.00          |
| 22563    | Deutsche Bank AG - HSI European Put Warrants Aug 2010 J      | 300,000,000   | 2010/05/12 | 2010/08/30 | 48.00          |
| 22564    | Deutsche Bank AG - Sinopharm European Warrants Nov 2010      | 150,000,000   | 2010/05/12 | 2010/11/15 | 85.50          |
| 22556    | J P Morgan SP BV - Ch LongYuan European Warrants Dec 2010    | 200,000,000   | 2010/05/12 | 2010/12/20 | 50.00          |
| 22554    | J P Morgan SP BV - CC Bank Euro Put Wts Nov 2010             | 80,000,000    | 2010/05/12 | 2010/11/12 | 37.60          |
| 22555    | J P Morgan SP BV - China Life European Put Warrants Nov 2010 | 100,000,000   | 2010/05/12 | 2010/11/12 | 25.00          |
| 22557    | J P Morgan SP BV - CPIC European Warrants Nov 2010           | 100,000,000   | 2010/05/12 | 2010/11/12 | 25.00          |
| 22559    | J P Morgan SP BV - A50 China European Put Warrants Feb 2011  | 200,000,000   | 2010/05/12 | 2011/02/28 | 50.00          |
| 22558    | J P Morgan SP BV - HSBC European Put Warrants Nov 2010 B     | 80,000,000    | 2010/05/12 | 2010/11/12 | 20.00          |
| 22567    | KBC Fin Products Int'l Ltd. - BOCL European Wts Nov 2010 A   | 158,000,000   | 2010/05/12 | 2010/11/12 | 45.82          |
| 22568    | KBC Fin Products Int'l Ltd. - BOCL European Wts Nov 2010 B   | 158,000,000   | 2010/05/12 | 2010/11/12 | 39.50          |
| 22571    | KBC Fin Products Int'l Ltd. - Ch Overseas Euro Wts Dec 2010  | 100,000,000   | 2010/05/12 | 2010/12/01 | 25.00          |
| 22573    | KBC Fin Products Int'l Ltd. - China Overseas Eu Put Wt Nov10 | 108,000,000   | 2010/05/12 | 2010/11/12 | 27.00          |
| 22569    | KBC Fin Products Int'l Ltd. - China Life Euro Wts Oct 2010 C | 208,000,000   | 2010/05/12 | 2010/10/04 | 67.60          |
| 22570    | KBC Fin Products Int'l Ltd. - China Life Euro Wts Oct 2010 D | 258,000,000   | 2010/05/12 | 2010/10/04 | 49.54          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22575    | KBC Fin Products Int'l Ltd. - A50 Ch European Put Wts Dec 10 | 88,000,000  | 2010/05/12 | 2010/12/28 | 22.00          |
| 22576    | KBC Fin Products Int'l Ltd. - A50 Ch European Put Wts Apr 11 | 88,000,000  | 2010/05/12 | 2011/04/01 | 13.20          |
| 22572    | KBC Fin Products Int'l Ltd. - ICBC European Wts Oct 2010 D   | 228,000,000 | 2010/05/12 | 2010/10/04 | 77.52          |
| 22574    | KBC Fin Products Int'l Ltd. - SHK Ppt Euro Put Wts Dec 2010  | 108,000,000 | 2010/05/12 | 2010/12/01 | 27.00          |
| 22546    | Macquarie Bank Ltd. - Air China European Warrants Dec 2010   | 250,000,000 | 2010/05/12 | 2010/12/31 | 62.75          |
| 22547    | Macquarie Bank Ltd. - China Life European Wts Sep 2010 B     | 250,000,000 | 2010/05/12 | 2010/09/06 | 38.00          |
| 22548    | Macquarie Bank Ltd. - China Life European Wts Dec 2010       | 250,000,000 | 2010/05/12 | 2010/12/02 | 63.25          |
| 22549    | Macquarie Bank Ltd. - Esprit European Warrants Dec 2010      | 250,000,000 | 2010/05/12 | 2010/12/30 | 125.25         |
| 22550    | Macquarie Bank Ltd. - Geely Auto European Warrants Nov 2010  | 250,000,000 | 2010/05/12 | 2010/11/12 | 72.50          |
| 22551    | Macquarie Bank Ltd. -Sino Ocean Land European Warrants Nov10 | 100,000,000 | 2010/05/12 | 2010/11/30 | 41.50          |
| 22552    | Macquarie Bank Ltd. - Tencent European Warrants Apr 2011     | 250,000,000 | 2010/05/12 | 2011/04/07 | 62.50          |
| 22553    | Macquarie Bank Ltd. - ZTE European Warrants Nov 2010         | 250,000,000 | 2010/05/12 | 2010/11/17 | 74.25          |
| 22538    | RB of Scotland N.V. - A50 China European Warrants Oct 2010   | 300,000,000 | 2010/05/12 | 2010/10/05 | 45.30          |
| 22540    | RB of Scotland N.V. - A50 China European Put Wts Mar 2011    | 300,000,000 | 2010/05/12 | 2011/03/30 | 46.20          |
| 10965    | RB of Scotland N.V. - Gold European Warrants Dec 2010        | 100,000,000 | 2010/05/12 | 2010/12/30 | 25.30          |
| 22537    | RB of Scotland N.V. - HSI European Warrants Nov 2010 C       | 400,000,000 | 2010/05/12 | 2010/11/29 | 101.20         |
| 22539    | RB of Scotland N.V. - HSI European Put Warrants Aug 2010 E   | 400,000,000 | 2010/05/12 | 2010/08/30 | 60.00          |
| 22541    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Nov 2010 | 80,000,000  | 2010/05/12 | 2010/11/22 | 47.20          |
| 22542    | SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Nov 2010 | 100,000,000 | 2010/05/12 | 2010/11/22 | 60.00          |
| 22545    | SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Apr 11 | 300,000,000 | 2010/05/12 | 2011/04/13 | 75.00          |
| 22543    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2010 I | 300,000,000 | 2010/05/12 | 2010/09/29 | 45.00          |
| 22544    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2010 F | 300,000,000 | 2010/05/12 | 2010/10/28 | 45.00          |
| 22536    | UBS AG - A50 China European Warrants Oct 2010                | 100,000,000 | 2010/05/12 | 2010/10/04 | 15.00          |
| 18325*   | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Oct 2010      | 500,000,000 | 2010/05/12 | 2010/10/25 | 19.50          |
| 20323*   | Merrill Lynch Int'l & Co. C.V. - Tencent European Wts Aug10A | 300,000,000 | 2010/05/12 | 2010/08/02 | 13.80          |
| 22577    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Nov 2010 B   | 200,000,000 | 2010/05/13 | 2010/11/25 | 60.00          |
| 22589    | Credit Suisse AG - BOCL European Warrants Oct 2010 B         | 200,000,000 | 2010/05/13 | 2010/10/25 | 30.00          |
| 22606    | Credit Suisse AG - BYD European Warrants Nov 2010            | 200,000,000 | 2010/05/13 | 2010/11/15 | 30.00          |
| 22607    | Credit Suisse AG - CNOOC European Warrants Dec 2010          | 200,000,000 | 2010/05/13 | 2010/12/13 | 30.00          |
| 22610    | Credit Suisse AG - HKEx European Warrants Sep 2010           | 200,000,000 | 2010/05/13 | 2010/09/27 | 30.00          |
| 22609    | Credit Suisse AG - HSBC European Put Warrants Aug 2010       | 200,000,000 | 2010/05/13 | 2010/08/30 | 30.00          |
| 22611    | Credit Suisse AG - HSI European Warrants Aug 2010 H          | 200,000,000 | 2010/05/13 | 2010/08/30 | 30.00          |
| 22588    | Credit Suisse AG - HSI European Put Warrants Aug 2010 G      | 210,000,000 | 2010/05/13 | 2010/08/30 | 31.50          |
| 22608    | Credit Suisse AG - ICBC European Warrants Oct 2010 B         | 200,000,000 | 2010/05/13 | 2010/10/04 | 30.00          |
| 22602    | Deutsche Bank AG - CC Bank European Warrants Nov 2010 A      | 200,000,000 | 2010/05/13 | 2010/11/22 | 118.00         |
| 22603    | Deutsche Bank AG - CNOOC European Put Warrants Dec 2010      | 200,000,000 | 2010/05/13 | 2010/12/21 | 40.00          |
| 22605    | Deutsche Bank AG - A50 China European Warrants Oct 2010      | 300,000,000 | 2010/05/13 | 2010/10/05 | 45.00          |
| 22604    | Deutsche Bank AG - HSBC European Warrants Sep 2011 B         | 300,000,000 | 2010/05/13 | 2011/09/19 | 45.00          |
| 22581    | HK Bank - CHALCO European Warrants Jun 2011                  | 150,000,000 | 2010/05/13 | 2011/06/29 | 37.50          |
| 22582    | HK Bank - China Coal European Warrants Jan 2011              | 120,000,000 | 2010/05/13 | 2011/01/17 | 18.00          |
| 22583    | HK Bank - Esprit European Warrants Nov 2010                  | 150,000,000 | 2010/05/13 | 2010/11/15 | 39.00          |
| 22584    | HK Bank - HWL European Warrants Nov 2010                     | 150,000,000 | 2010/05/13 | 2010/11/15 | 37.50          |
| 22585    | HK Bank - Maanshan Iron European Warrants Nov 2010           | 100,000,000 | 2010/05/13 | 2010/11/15 | 25.00          |
| 22595    | KBC Fin Products Int'l Ltd. - CC Bank Euro Put Wts Nov 2010C | 108,000,000 | 2010/05/13 | 2010/11/15 | 27.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22593    | KBC Fin Products Int'l Ltd. - CNOOC European Wts Dec 2010A   | 108,000,000 | 2010/05/13 | 2010/12/15 | 16.20          |
| 22596    | KBC Fin Products Int'l Ltd. - CNOOC Euro Put Wts Jan 2011 A  | 100,000,000 | 2010/05/13 | 2011/01/03 | 20.00          |
| 22594    | KBC Fin Products Int'l Ltd. - Henderson Land Dev Eu Wt Nov10 | 50,000,000  | 2010/05/13 | 2010/11/15 | 12.50          |
| 22597    | KBC Fin Products Int'l Ltd. - Henderson Land Eu Put Wt Nov10 | 50,000,000  | 2010/05/13 | 2010/11/15 | 22.00          |
| 22598    | KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Nov 2010 B   | 108,000,000 | 2010/05/13 | 2010/11/23 | 27.00          |
| 22599    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Aug 2010      | 200,000,000 | 2010/05/13 | 2010/08/30 | 30.00          |
| 22600    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Nov 2010 D    | 200,000,000 | 2010/05/13 | 2010/11/29 | 50.00          |
| 22601    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Nov 2010 E    | 200,000,000 | 2010/05/13 | 2010/11/29 | 50.00          |
| 22578    | Macquarie Bank Ltd. - Angang Steel European Wts Jan 2011     | 200,000,000 | 2010/05/13 | 2011/01/04 | 50.00          |
| 22587    | Macquarie Bank Ltd. - China Agri European Warrants Dec 2010  | 200,000,000 | 2010/05/13 | 2010/12/30 | 50.00          |
| 22580    | Macquarie Bank Ltd. - HSI European Put Warrants Nov 2010     | 300,000,000 | 2010/05/13 | 2010/11/29 | 75.60          |
| 22579    | Macquarie Bank Ltd. - HSI European Warrants Sep 2010 B       | 300,000,000 | 2010/05/13 | 2010/09/29 | 45.30          |
| 22586    | CC Rabobank B.A. - HSI European Warrants Oct 2010            | 200,000,000 | 2010/05/13 | 2010/10/28 | 30.00          |
| 22590    | CC Rabobank B.A. - HSI European Put Warrants Oct 2010        | 200,000,000 | 2010/05/13 | 2010/10/28 | 36.00          |
| 22591    | UBS AG - China Mobile European Warrants Oct 2011             | 200,000,000 | 2010/05/13 | 2011/10/11 | 30.00          |
| 22592    | UBS AG - Sinopec Corp European Warrants Nov 2010             | 100,000,000 | 2010/05/13 | 2010/11/15 | 25.00          |
| 21164*   | Credit Suisse AG - A50 China European Warrants Jul 2010 B    | 200,000,000 | 2010/05/13 | 2010/07/26 | 10.00          |
| 18364*   | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2010 A | 500,000,000 | 2010/05/13 | 2010/09/22 | 22.00          |
| 18365*   | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Nov 2010 A | 500,000,000 | 2010/05/13 | 2010/11/01 | 18.50          |
| 22627    | BOCI Asia Ltd. - A50 China European Warrants Nov 2010        | 100,000,000 | 2010/05/14 | 2010/11/15 | 50.00          |
| 22625    | BOCI Asia Ltd. - Mongolia Energy European Warrants Nov 2010  | 100,000,000 | 2010/05/14 | 2010/11/15 | 50.00          |
| 22626    | BOCI Asia Ltd. - Tencent Holdings European Warrants Feb 2011 | 100,000,000 | 2010/05/14 | 2011/02/21 | 25.00          |
| 22637    | BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2010    | 200,000,000 | 2010/05/14 | 2010/11/29 | 110.00         |
| 22638    | BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2011    | 200,000,000 | 2010/05/14 | 2011/10/11 | 30.00          |
| 22641    | BNP Paribas Arbit Issu B.V. - HSBC European Put Wts Apr 2011 | 100,000,000 | 2010/05/14 | 2011/04/28 | 25.00          |
| 22639    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Nov 2010 C   | 200,000,000 | 2010/05/14 | 2010/11/29 | 76.00          |
| 22640    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2010 D   | 200,000,000 | 2010/05/14 | 2010/12/21 | 30.00          |
| 22642    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Sep 2011 B   | 200,000,000 | 2010/05/14 | 2011/09/19 | 30.00          |
| 22644    | BNP Paribas Arbit Issu B.V. - HSI European Wts Oct 2010      | 200,000,000 | 2010/05/14 | 2010/10/28 | 30.00          |
| 22653    | BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Oct 2010 A    | 200,000,000 | 2010/05/14 | 2010/10/28 | 54.00          |
| 22654    | BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Nov 2010      | 200,000,000 | 2010/05/14 | 2010/11/29 | 50.00          |
| 22645    | BNP Paribas Arbit Issu B.V. - HSI European Wts Nov 2010 A    | 200,000,000 | 2010/05/14 | 2010/11/29 | 54.00          |
| 22646    | BNP Paribas Arbit Issu B.V. - HSI European Wts Nov 2010 B    | 200,000,000 | 2010/05/14 | 2010/11/29 | 50.00          |
| 22643    | BNP Paribas Arbit Issu B.V. - Shimao Ppt European Wts Mar 11 | 200,000,000 | 2010/05/14 | 2011/03/07 | 50.00          |
| 22636    | Credit Suisse AG - Ch LongYuan European Warrants Dec 2010 B  | 200,000,000 | 2010/05/14 | 2010/12/20 | 50.00          |
| 22634    | Credit Suisse AG - HSI European Put Warrants Aug 2010 I      | 180,000,000 | 2010/05/14 | 2010/08/30 | 27.00          |
| 22635    | Credit Suisse AG - Zijin Mining European Warrants Jan 2011   | 200,000,000 | 2010/05/14 | 2011/01/31 | 30.00          |
| 22631    | Deutsche Bank AG - A50 China European Put Wts Apr 2011       | 200,000,000 | 2010/05/14 | 2011/04/12 | 50.00          |
| 22649    | HK Bank - HSI European Warrants Dec 2010 D                   | 150,000,000 | 2010/05/14 | 2010/12/30 | 37.50          |
| 22650    | HK Bank - HSI European Warrants Dec 2010 E                   | 150,000,000 | 2010/05/14 | 2010/12/30 | 37.50          |
| 22651    | HK Bank - HSI European Warrants Dec 2010 F                   | 150,000,000 | 2010/05/14 | 2010/12/30 | 37.50          |
| 22656    | HK Bank - HSI European Put Warrants Dec 2010 G               | 150,000,000 | 2010/05/14 | 2010/12/30 | 37.50          |
| 22657    | HK Bank - HSI European Put Warrants Dec 2010 H               | 150,000,000 | 2010/05/14 | 2010/12/30 | 37.50          |
| 22658    | HK Bank - HSI European Put Warrants Dec 2010 I               | 150,000,000 | 2010/05/14 | 2010/12/30 | 37.50          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                          | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                               | 發行單位        | 上市日期       | 到期日        |                |
| 22628    | Macquarie Bank Ltd. - Alibaba European Warrants Jan 2011      | 300,000,000 | 2010/05/14 | 2011/01/31 | 75.90          |
| 22619    | RB of Scotland N.V. - CC Bank European Warrants Oct 2012      | 250,000,000 | 2010/05/14 | 2012/10/29 | 38.25          |
| 22618    | RB of Scotland N.V. - Ch Comm Cons European Wts Dec 2011      | 250,000,000 | 2010/05/14 | 2011/12/30 | 62.75          |
| 22620    | RB of Scotland N.V. - HKEx European Warrants Dec 2010         | 250,000,000 | 2010/05/14 | 2010/12/06 | 38.75          |
| 22623    | RB of Scotland N.V. - ICBC European Warrants Dec 2012         | 250,000,000 | 2010/05/14 | 2012/12/10 | 37.50          |
| 22621    | RB of Scotland N.V. - PetroChina European Warrants May 2011   | 250,000,000 | 2010/05/14 | 2011/05/05 | 37.75          |
| 22622    | RB of Scotland N.V. - PetroChina European Warrants Jun 2011   | 250,000,000 | 2010/05/14 | 2011/06/21 | 49.00          |
| 22624    | RB of Scotland N.V. - Tencent Holdings European Wts Nov 10A   | 250,000,000 | 2010/05/14 | 2010/11/29 | 64.50          |
| 22612    | SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Nov 2010 B  | 120,000,000 | 2010/05/14 | 2010/11/15 | 33.60          |
| 22613    | SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Nov 2010 C  | 120,000,000 | 2010/05/14 | 2010/11/17 | 22.80          |
| 22614    | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2010 A  | 80,000,000  | 2010/05/14 | 2010/10/04 | 16.00          |
| 22615    | SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2011    | 300,000,000 | 2010/05/14 | 2011/06/15 | 45.00          |
| 22616    | SGA Societe Generale Acceptance N.V. - HWL Eur Wt Oct 2010    | 100,000,000 | 2010/05/14 | 2010/10/27 | 16.00          |
| 22617    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Sep 2010 E  | 120,000,000 | 2010/05/14 | 2010/09/08 | 31.20          |
| 22632    | UBS AG - HSBC European Warrants Oct 2010 B                    | 100,000,000 | 2010/05/14 | 2010/10/25 | 15.00          |
| 22633    | UBS AG - HSBC European Warrants Nov 2010 B                    | 100,000,000 | 2010/05/14 | 2010/11/15 | 37.00          |
| 22647    | UBS AG - HSI European Warrants Aug 2010 F                     | 200,000,000 | 2010/05/14 | 2010/08/30 | 32.00          |
| 22648    | UBS AG - HSI European Warrants Aug 2010 G                     | 200,000,000 | 2010/05/14 | 2010/08/30 | 54.00          |
| 22655    | UBS AG - HSI European Put Warrants Aug 2010 H                 | 200,000,000 | 2010/05/14 | 2010/08/30 | 30.00          |
| 22629    | UBS AG - Tencent Holdings European Warrants Oct 2010 A        | 200,000,000 | 2010/05/14 | 2010/10/04 | 30.00          |
| 22630    | UBS AG - Tencent Holdings European Warrants Nov 2010 A        | 200,000,000 | 2010/05/14 | 2010/11/15 | 50.00          |
| 18884#   | UBS AG - China Mobile European Warrants Nov 2010              | 500,000,000 | 2010/05/14 | 2010/11/29 | 18.00          |
| 22663    | BOCI Asia Ltd. - China Mobile European Warrants Aug 2010      | 100,000,000 | 2010/05/17 | 2010/08/30 | 15.00          |
| 22664    | BOCI Asia Ltd. - China Mobile European Warrants Oct 2011      | 100,000,000 | 2010/05/17 | 2011/10/18 | 15.00          |
| 22665    | BOCI Asia Ltd. - China Mobile European Put Warrants Dec 2010  | 100,000,000 | 2010/05/17 | 2010/12/06 | 50.00          |
| 22666    | BOCI Asia Ltd. - China Life European Warrants Nov 2010        | 100,000,000 | 2010/05/17 | 2010/11/24 | 25.00          |
| 22667    | BOCI Asia Ltd. - HSBC European Put Warrants Jul 2012          | 100,000,000 | 2010/05/17 | 2012/07/16 | 25.00          |
| 22662    | BOCI Asia Ltd. - HSI European Put Warrants Nov 2010           | 100,000,000 | 2010/05/17 | 2010/11/29 | 25.00          |
| 22661    | BOCI Asia Ltd. - HSI European Warrants Dec 2010 B             | 100,000,000 | 2010/05/17 | 2010/12/30 | 25.00          |
| 22672    | CC Rabobank B.A. - Angang Steel European Warrants Nov 2010    | 150,000,000 | 2010/05/17 | 2010/11/29 | 37.50          |
| 22673    | CC Rabobank B.A. - China EB Ltd European Warrants Nov 2010    | 100,000,000 | 2010/05/17 | 2010/11/29 | 25.00          |
| 22680    | CC Rabobank B.A. - CC Bank European Put Warrants Dec 2010     | 100,000,000 | 2010/05/17 | 2010/12/30 | 39.00          |
| 22674    | CC Rabobank B.A. - China Life European Warrants Nov 2010 A    | 100,000,000 | 2010/05/17 | 2010/11/29 | 57.00          |
| 22675    | CC Rabobank B.A. - China Life European Warrants Dec 2010      | 200,000,000 | 2010/05/17 | 2010/12/22 | 58.00          |
| 22681    | CC Rabobank B.A. - China Life European Put Warrants Dec 2010  | 100,000,000 | 2010/05/17 | 2010/12/30 | 25.00          |
| 22676    | CC Rabobank B.A. - China Ship Dev European Warrants Nov 2010  | 150,000,000 | 2010/05/17 | 2010/11/29 | 37.50          |
| 22669    | CC Rabobank B.A. - HSBC European Put Warrants Dec 2010 H      | 100,000,000 | 2010/05/17 | 2010/12/30 | 48.00          |
| 22670    | CC Rabobank B.A. - HSI European Warrants Nov 2010 A           | 200,000,000 | 2010/05/17 | 2010/11/29 | 58.00          |
| 22671    | CC Rabobank B.A. - HSI European Warrants Nov 2010 B           | 200,000,000 | 2010/05/17 | 2010/11/29 | 50.00          |
| 22678    | CC Rabobank B.A. - HSI European Put Warrants Dec 2010 A       | 200,000,000 | 2010/05/17 | 2010/12/30 | 50.00          |
| 22679    | CC Rabobank B.A. - HSI European Put Warrants Dec 2010 B       | 200,000,000 | 2010/05/17 | 2010/12/30 | 56.00          |
| 22668    | CC Rabobank B.A. - ICBC European Put Warrants Dec 2010        | 100,000,000 | 2010/05/17 | 2010/12/30 | 25.00          |
| 22677    | CC Rabobank B.A. - Xinao Gas European Warrants Nov 2010       | 100,000,000 | 2010/05/17 | 2010/11/29 | 45.00          |
| 22652    | SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Dec 10 | 300,000,000 | 2010/05/17 | 2010/12/30 | 75.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22659    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Nov 10B | 300,000,000 | 2010/05/17 | 2010/11/29 | 75.00          |
| 22660    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 10B | 300,000,000 | 2010/05/17 | 2010/12/30 | 75.00          |
| 22682    | UBS AG - HSBC European Warrants Jun 2011 B                   | 200,000,000 | 2010/05/17 | 2011/06/27 | 30.00          |
| 21136#   | Citigroup Global Mkt H Inc. - A50 China Euro Wts Jan 2011 B  | 200,000,000 | 2010/05/17 | 2011/01/11 | 10.20          |
| 20716#   | Deutsche Bank AG - Tencent Holdings European Warrants Aug10A | 300,000,000 | 2010/05/17 | 2010/08/02 | 15.90          |
| 22693    | BOCI Asia Ltd. - Ch Overseas European Warrants Feb 2011      | 100,000,000 | 2010/05/18 | 2011/02/16 | 25.00          |
| 22694    | BOCI Asia Ltd. - China Shenhua European Warrants Jan 2011    | 100,000,000 | 2010/05/18 | 2011/01/12 | 50.00          |
| 22695    | BOCI Asia Ltd. - HSBC European Warrants Dec 2011             | 100,000,000 | 2010/05/18 | 2011/12/19 | 15.00          |
| 22696    | BOCI Asia Ltd. - Nine Dragons European Warrants Jan 2011     | 100,000,000 | 2010/05/18 | 2011/01/26 | 25.00          |
| 22697    | BOCI Asia Ltd. - PetroChina European Warrants May 2011       | 100,000,000 | 2010/05/18 | 2011/05/03 | 15.00          |
| 22719    | Credit Suisse AG - HSI European Warrants Sep 2010 A          | 200,000,000 | 2010/05/18 | 2010/09/29 | 30.00          |
| 22718    | Credit Suisse AG - HSI European Put Warrants Aug 2010 J      | 180,000,000 | 2010/05/18 | 2010/08/30 | 27.00          |
| 22714    | Deutsche Bank AG - BYD European Warrants Jun 2011            | 200,000,000 | 2010/05/18 | 2011/06/13 | 30.00          |
| 22715    | Deutsche Bank AG - ICBC European Warrants Nov 2010           | 150,000,000 | 2010/05/18 | 2010/11/01 | 70.50          |
| 22705    | Deutsche Bank AG - Sands China European Warrants Nov 2010    | 100,000,000 | 2010/05/18 | 2010/11/22 | 25.00          |
| 22706    | Deutsche Bank AG - Sands China European Warrants Dec 2010    | 100,000,000 | 2010/05/18 | 2010/12/20 | 25.00          |
| 22707    | Deutsche Bank AG - Wynn Macau European Warrants Nov 2010     | 100,000,000 | 2010/05/18 | 2010/11/22 | 25.00          |
| 22699    | J P Morgan SP BV - Angang Steel European Warrants Nov 2010   | 188,000,000 | 2010/05/18 | 2010/11/18 | 47.00          |
| 22700    | J P Morgan SP BV - China Coal European Warrants Nov 2010     | 188,000,000 | 2010/05/18 | 2010/11/18 | 47.00          |
| 22701    | J P Morgan SP BV - China Life European Warrants Nov 2010     | 100,000,000 | 2010/05/18 | 2010/11/18 | 25.00          |
| 22702    | J P Morgan SP BV - Sinopec Corp European Warrants Nov 2010   | 80,000,000  | 2010/05/18 | 2010/11/18 | 28.00          |
| 22703    | J P Morgan SP BV - Chi Res Land European Warrants Nov 2010   | 188,000,000 | 2010/05/18 | 2010/11/18 | 47.00          |
| 22704    | J P Morgan SP BV - Skyworth Digital European Warrants Mar 11 | 200,000,000 | 2010/05/18 | 2011/03/28 | 50.00          |
| 22708    | KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Nov 2010        | 100,000,000 | 2010/05/18 | 2010/11/29 | 25.00          |
| 22713    | KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Nov 2010    | 100,000,000 | 2010/05/18 | 2010/11/29 | 25.00          |
| 22709    | KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2010 K    | 200,000,000 | 2010/05/18 | 2010/09/29 | 30.00          |
| 22710    | KBC Fin Products Int'l Ltd. - HSI European Wts Nov 2010 F    | 200,000,000 | 2010/05/18 | 2010/11/29 | 50.00          |
| 22711    | KBC Fin Products Int'l Ltd. - HSI European Wts Nov 2010 G    | 200,000,000 | 2010/05/18 | 2010/11/29 | 50.00          |
| 22712    | KBC Fin Products Int'l Ltd. - HSI European Wts Dec 2010 B    | 200,000,000 | 2010/05/18 | 2010/12/30 | 56.00          |
| 22716    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Dec 2010 C    | 200,000,000 | 2010/05/18 | 2010/12/30 | 50.00          |
| 22717    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Dec 2010 D    | 200,000,000 | 2010/05/18 | 2010/12/30 | 50.00          |
| 22698    | Macquarie Bank Ltd. - CNBM European Warrants Dec 2010        | 300,000,000 | 2010/05/18 | 2010/12/22 | 45.30          |
| 22685    | Nomura Int'l plc - Ch LongYuan European Warrants Oct 2010    | 200,000,000 | 2010/05/18 | 2010/10/25 | 32.00          |
| 22686    | Nomura Int'l plc - Ch LongYuan European Warrants Nov 2010    | 200,000,000 | 2010/05/18 | 2010/11/24 | 30.00          |
| 22687    | Nomura Int'l plc - CC Bank European Warrants Dec 2010 A      | 150,000,000 | 2010/05/18 | 2010/12/31 | 67.20          |
| 22692    | Nomura Int'l plc - CPIC European Warrants Nov 2010           | 150,000,000 | 2010/05/18 | 2010/11/30 | 37.50          |
| 22690    | Nomura Int'l plc - Chi Res Land European Warrants Nov 2010   | 100,000,000 | 2010/05/18 | 2010/11/30 | 25.20          |
| 22691    | Nomura Int'l plc - Chi Res Land European Warrants Apr 2011   | 100,000,000 | 2010/05/18 | 2011/04/29 | 29.80          |
| 22688    | Nomura Int'l plc - China Shenhua European Warrants Nov 2010  | 100,000,000 | 2010/05/18 | 2010/11/30 | 25.00          |
| 22683    | Nomura Int'l plc - Hutchison European Warrants Dec 2010 A    | 100,000,000 | 2010/05/18 | 2010/12/31 | 27.00          |
| 22684    | Nomura Int'l plc - JIANG European Warrants Dec 2010 A        | 100,000,000 | 2010/05/18 | 2010/12/31 | 25.00          |
| 22689    | Nomura Int'l plc - Sinopharm European Warrants Jan 2011      | 100,000,000 | 2010/05/18 | 2011/01/31 | 37.60          |
| 21491#   | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Nov 2010 B     | 500,000,000 | 2010/05/18 | 2010/11/22 | 53.50          |
| 22729    | BOCI Asia Ltd. - Cheung Kong European Warrants Nov 2010      | 100,000,000 | 2010/05/19 | 2010/11/30 | 50.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22728    | BOCI Asia Ltd. - Evergrande European Warrants Feb 2011       | 100,000,000 | 2010/05/19 | 2011/02/25 | 50.00          |
| 22749    | BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2010    | 200,000,000 | 2010/05/19 | 2010/10/20 | 42.00          |
| 22732    | Citigroup Global Mkt H Inc. - BYD European Warrants Jun 11B  | 250,000,000 | 2010/05/19 | 2011/06/08 | 37.50          |
| 22735    | Citigroup Global Mkt H Inc. - CITIC Pacific Eu Wt Jul 2011   | 120,000,000 | 2010/05/19 | 2011/07/25 | 30.00          |
| 22733    | Citigroup Global Mkt H Inc. - Cathay Pacific Eur Wt May 2011 | 100,000,000 | 2010/05/19 | 2011/05/25 | 25.00          |
| 22734    | Citigroup Global Mkt H Inc. - Chi Res Land European Wt Jun11 | 100,000,000 | 2010/05/19 | 2011/06/27 | 25.00          |
| 22740    | Citigroup Global Mkt H Inc. - A50 China Euro Wts Oct 2010    | 100,000,000 | 2010/05/19 | 2010/10/04 | 15.00          |
| 22736    | Citigroup Global Mkt H Inc. - Henganintl European Wts Mar11  | 100,000,000 | 2010/05/19 | 2011/03/28 | 25.00          |
| 22737    | Citigroup Global Mkt H Inc. - Jiangxi Copper Euro Wts Oct10  | 120,000,000 | 2010/05/19 | 2010/10/29 | 18.00          |
| 22738    | Citigroup Global Mkt H Inc. - Li Ning European Wts Jul 2011  | 100,000,000 | 2010/05/19 | 2011/07/25 | 25.00          |
| 22739    | Citigroup Global Mkt H Inc. - PetroChina Euro Wts May 2011   | 160,000,000 | 2010/05/19 | 2011/05/06 | 24.00          |
| 22731    | J P Morgan SP BV - A50 China European Warrants Sep 2010 B    | 200,000,000 | 2010/05/19 | 2010/09/28 | 30.00          |
| 22730    | J P Morgan SP BV - HSBC European Warrants Sep 2011 A         | 388,000,000 | 2010/05/19 | 2011/09/19 | 62.86          |
| 22748    | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Oct 2010 C     | 128,000,000 | 2010/05/19 | 2010/10/05 | 19.20          |
| 22746    | KBC Fin Products Int'l Ltd. - PetroChina Euro Wts Jun 2011   | 200,000,000 | 2010/05/19 | 2011/06/15 | 30.00          |
| 22747    | KBC Fin Products Int'l Ltd. - SHK Ppt European Wts Dec 2010  | 128,000,000 | 2010/05/19 | 2010/12/06 | 19.20          |
| 22744    | Macquarie Bank Ltd. - Sh Petrochem European Wts Nov 2010     | 200,000,000 | 2010/05/19 | 2010/11/19 | 50.00          |
| 22720    | SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Oct 2010 A | 120,000,000 | 2010/05/19 | 2010/10/18 | 20.40          |
| 22721    | SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Oct 2010 B | 120,000,000 | 2010/05/19 | 2010/10/20 | 18.00          |
| 22722    | SGA Societe Generale Acceptance N.V. - CITBK Euro Wt Oct10B  | 120,000,000 | 2010/05/19 | 2010/10/06 | 18.00          |
| 22723    | SGA Societe Generale Acceptance N.V. - CMB Euro Wts Dec 2010 | 120,000,000 | 2010/05/19 | 2010/12/22 | 19.20          |
| 22724    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 10C  | 100,000,000 | 2010/05/19 | 2010/08/30 | 20.00          |
| 22743    | UBS AG - China Life European Warrants Nov 2010 B             | 200,000,000 | 2010/05/19 | 2010/11/29 | 30.00          |
| 22727    | UBS AG - CM Bank European Warrants Dec 2010 B                | 100,000,000 | 2010/05/19 | 2010/12/06 | 15.00          |
| 22726    | UBS AG - R&F Ppt European Warrants Jan 2011                  | 100,000,000 | 2010/05/19 | 2011/01/31 | 15.00          |
| 22741    | UBS AG - HSCEI European Warrants Dec 2010                    | 50,000,000  | 2010/05/19 | 2010/12/30 | 12.50          |
| 22745    | UBS AG - HSCEI European Put Warrants Dec 2010                | 50,000,000  | 2010/05/19 | 2010/12/30 | 12.50          |
| 22725    | UBS AG - HSI European Warrants Nov 2010 A                    | 200,000,000 | 2010/05/19 | 2010/11/29 | 30.00          |
| 22742    | UBS AG - HSI European Warrants Nov 2010 B                    | 200,000,000 | 2010/05/19 | 2010/11/29 | 50.00          |
| 20511*   | BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Aug 2010 B    | 100,000,000 | 2010/05/19 | 2010/08/02 | 14.10          |
| 20254*   | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jul 10B  | 400,000,000 | 2010/05/19 | 2010/07/12 | 10.00          |
| 22755    | Deutsche Bank AG - China Mobile European Put Wts Jun 2011    | 200,000,000 | 2010/05/20 | 2011/06/07 | 30.00          |
| 22756    | Deutsche Bank AG - China Shenhua European Warrants Nov 2010  | 100,000,000 | 2010/05/20 | 2010/11/29 | 40.00          |
| 10967    | Deutsche Bank AG - Gold European Warrants Nov 2010           | 50,000,000  | 2010/05/20 | 2010/11/30 | 12.50          |
| 22761    | Goldman Sachs SP (Asia) - China Mobile Euro Wts Nov 2010     | 200,000,000 | 2010/05/20 | 2010/11/29 | 110.00         |
| 22762    | Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2010     | 200,000,000 | 2010/05/20 | 2010/12/30 | 74.00          |
| 22763    | Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2010 B     | 200,000,000 | 2010/05/20 | 2010/09/02 | 44.00          |
| 22764    | Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2010 C     | 200,000,000 | 2010/05/20 | 2010/09/29 | 36.00          |
| 22765    | Goldman Sachs SP (Asia) - China Life Euro Wts Nov 2010 B     | 200,000,000 | 2010/05/20 | 2010/11/29 | 62.00          |
| 22760    | Goldman Sachs SP (Asia) - China Mobile Euro Wts Oct 2010 C   | 200,000,000 | 2010/05/20 | 2010/10/28 | 76.00          |
| 22752    | Macquarie Bank Ltd. - Country Garden European Warrants Nov10 | 88,000,000  | 2010/05/20 | 2010/11/22 | 22.35          |
| 22751    | Macquarie Bank Ltd. - China Overseas European Wts Nov 2010   | 200,000,000 | 2010/05/20 | 2010/11/24 | 30.60          |
| 22753    | Macquarie Bank Ltd. - Franshion PPT European Warrants Nov 10 | 88,000,000  | 2010/05/20 | 2010/11/22 | 22.35          |
| 22750    | Macquarie Bank Ltd. - China Mengniu European Wts Nov 2010    | 200,000,000 | 2010/05/20 | 2010/11/22 | 50.20          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22754    | Macquarie Bank Ltd. - Nine Dragons European Wts Jan 2011     | 200,000,000 | 2010/05/20 | 2011/01/17 | 30.40          |
| 22757    | RB of Scotland N.V. - China Life European Wts Nov 2010 A     | 150,000,000 | 2010/05/20 | 2010/11/30 | 56.25          |
| 22758    | RB of Scotland N.V. - China Life European Wts Nov 2010 B     | 150,000,000 | 2010/05/20 | 2010/11/22 | 40.05          |
| 22759    | RB of Scotland N.V. - ICBC European Warrants Nov 2010        | 100,000,000 | 2010/05/20 | 2010/11/30 | 41.20          |
| 19859#   | Credit Suisse AG - HSBC European Wts Sep 2010 B              | 300,000,000 | 2010/05/20 | 2010/09/20 | 12.00          |
| 21748#   | Credit Suisse AG - Tencent Holdings European Wts Aug 2010 C  | 200,000,000 | 2010/05/20 | 2010/08/30 | 16.00          |
| 20715#   | Deutsche Bank AG - A50 China European Warrants Aug 2010 A    | 300,000,000 | 2010/05/20 | 2010/08/02 | 14.10          |
| 22424#   | UBS AG - HSI European Warrants Aug 2010 C                    | 300,000,000 | 2010/05/20 | 2010/08/30 | 39.60          |
| 22779    | BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2012    | 200,000,000 | 2010/05/24 | 2012/11/02 | 50.00          |
| 22778    | BNP Paribas Arbit Issu B.V. - A50 China Euro Wts May 2012    | 200,000,000 | 2010/05/24 | 2012/05/02 | 52.00          |
| 22780    | BNP Paribas Arbit Issu B.V. - HSBC European Wts Feb 2013     | 200,000,000 | 2010/05/24 | 2013/02/01 | 50.00          |
| 22781    | BNP Paribas Arbit Issu B.V. - HSI European Wts Jul 2011      | 200,000,000 | 2010/05/24 | 2011/07/28 | 50.00          |
| 22767    | Citigroup Global Mkt H Inc.-Alibaba European Warrants Jan11B | 120,000,000 | 2010/05/24 | 2011/01/28 | 19.20          |
| 22768    | Citigroup Global Mkt H Inc. - Minsheng Bank Euro Wts Mar 11  | 100,000,000 | 2010/05/24 | 2011/03/28 | 25.00          |
| 22769    | RB of Scotland N.V. - Sinopec Corp European Warrants Nov 10A | 100,000,000 | 2010/05/24 | 2010/11/30 | 41.30          |
| 22770    | RB of Scotland N.V. - Sinopec Corp European Warrants Nov 10B | 100,000,000 | 2010/05/24 | 2010/11/30 | 25.80          |
| 10969    | RB of Scotland N.V. - Gold European Put Warrants Dec 2010    | 100,000,000 | 2010/05/24 | 2010/12/30 | 25.00          |
| 22766    | SGA Societe Generale Acceptance N.V. - HWL Eur Wt Nov 2010 A | 100,000,000 | 2010/05/24 | 2010/11/10 | 27.00          |
| 22771    | UBS AG - BOCL European Warrants Nov 2010 B                   | 100,000,000 | 2010/05/24 | 2010/11/15 | 15.00          |
| 22774    | UBS AG - HSI European Warrants Dec 2010 B                    | 200,000,000 | 2010/05/24 | 2010/12/30 | 30.00          |
| 22777    | UBS AG - HSI European Warrants Dec 2010 C                    | 200,000,000 | 2010/05/24 | 2010/12/30 | 50.00          |
| 22775    | UBS AG - HSI European Put Warrants Nov 2010 C                | 200,000,000 | 2010/05/24 | 2010/11/29 | 30.00          |
| 22776    | UBS AG - HSI European Put Warrants Nov 2010 D                | 200,000,000 | 2010/05/24 | 2010/11/29 | 34.60          |
| 22773    | UBS AG - SPDR Gold Trust European Warrants Nov 2010          | 100,000,000 | 2010/05/24 | 2010/11/29 | 25.00          |
| 22772    | UBS AG - Zijin Mining European Warrants Jan 2011             | 100,000,000 | 2010/05/24 | 2011/01/31 | 15.00          |
| 22784    | Deutsche Bank AG - BYD European Warrants Dec 2010            | 200,000,000 | 2010/05/25 | 2010/12/28 | 30.00          |
| 22785    | Deutsche Bank AG - HSI European Put Warrants Nov 2010 C      | 300,000,000 | 2010/05/25 | 2010/11/29 | 75.00          |
| 22786    | Deutsche Bank AG - HSI European Put Warrants Nov 2010 D      | 300,000,000 | 2010/05/25 | 2010/11/29 | 75.00          |
| 22787    | Goldman Sachs SP (Asia) - HSI European Warrants Nov 2010 A   | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22788    | Goldman Sachs SP (Asia) - HSI European Warrants Nov 2010 B   | 200,000,000 | 2010/05/25 | 2010/11/29 | 42.00          |
| 22789    | Goldman Sachs SP (Asia) - HSI European Warrants Nov 2010 C   | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22790    | Goldman Sachs SP (Asia) - HSI European Warrants Nov 2010 D   | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22791    | Goldman Sachs SP (Asia) - HSI European Warrants Nov 2010 E   | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22795    | Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2010 F    | 200,000,000 | 2010/05/25 | 2010/11/29 | 48.00          |
| 22796    | Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2010 G    | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22797    | Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2010 H    | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22798    | Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2010 I    | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22799    | Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2010 J    | 200,000,000 | 2010/05/25 | 2010/11/29 | 50.00          |
| 22782    | SGA Societe Generale Acceptance N.V. - Alibaba Eu Wts Dec 10 | 150,000,000 | 2010/05/25 | 2010/12/01 | 45.00          |
| 22783    | SGA Societe Generale Acceptance N.V. - BOCm Eur Wt Oct 2010  | 100,000,000 | 2010/05/25 | 2010/10/04 | 20.00          |
| 20750#   | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Aug10B | 200,000,000 | 2010/05/25 | 2010/08/30 | 16.20          |
| 17585#   | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 10A  | 400,000,000 | 2010/05/25 | 2010/12/01 | 11.60          |
| 22801    | Barclays Bank plc - BYD European Warrants Jan 2011           | 200,000,000 | 2010/05/26 | 2011/01/17 | 30.00          |
| 22793    | Barclays Bank plc - CC Bank European Warrants Dec 2011       | 200,000,000 | 2010/05/26 | 2011/12/05 | 30.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22794    | Barclays Bank plc - China Mobile European Wts Oct 2010 D     | 200,000,000 | 2010/05/26 | 2010/10/18 | 48.00          |
| 22792    | Barclays Bank plc - CNOOC European Warrants Nov 2010 B       | 200,000,000 | 2010/05/26 | 2010/11/22 | 30.00          |
| 22800    | Barclays Bank plc - China Shenhua European Warrants Dec 2010 | 150,000,000 | 2010/05/26 | 2010/12/13 | 39.00          |
| 22819    | Barclays Bank plc - HSI European Warrants Aug 2010 G         | 150,000,000 | 2010/05/26 | 2010/08/30 | 36.00          |
| 22817    | Barclays Bank plc - HSI European Warrants Nov 2010 F         | 150,000,000 | 2010/05/26 | 2010/11/29 | 37.50          |
| 22818    | Barclays Bank plc - HSI European Warrants Nov 2010 G         | 150,000,000 | 2010/05/26 | 2010/11/29 | 37.50          |
| 22822    | Barclays Bank plc - HSI European Put Warrants Nov 2010 H     | 150,000,000 | 2010/05/26 | 2010/11/29 | 37.50          |
| 22823    | Barclays Bank plc - HSI European Put Warrants Nov 2010 I     | 150,000,000 | 2010/05/26 | 2010/11/29 | 37.50          |
| 22820    | BOCI Asia Ltd. - PetroChina European Warrants May 2011 B     | 100,000,000 | 2010/05/26 | 2011/05/03 | 15.00          |
| 22834    | Credit Suisse AG - China Tel European Warrants Nov 2010      | 100,000,000 | 2010/05/26 | 2010/11/29 | 25.00          |
| 22840    | Credit Suisse AG - CM Bank European Warrants Dec 2010        | 100,000,000 | 2010/05/26 | 2010/12/06 | 25.00          |
| 22835    | Credit Suisse AG - China Shenhua European Warrants Nov 2010  | 100,000,000 | 2010/05/26 | 2010/11/29 | 25.00          |
| 22836    | Credit Suisse AG - Geely Auto European Warrants Dec 2010     | 100,000,000 | 2010/05/26 | 2010/12/20 | 25.00          |
| 22837    | Credit Suisse AG - KECL European Warrants Nov 2010           | 100,000,000 | 2010/05/26 | 2010/11/29 | 15.00          |
| 22838    | Credit Suisse AG - PetroChina European Warrants Apr 2011     | 200,000,000 | 2010/05/26 | 2011/04/26 | 30.00          |
| 22839    | Credit Suisse AG - SHK Ppt European Warrants Dec 2010        | 100,000,000 | 2010/05/26 | 2010/12/06 | 15.00          |
| 10970    | Deutsche Bank AG - EUR/USD European Warrants Dec 2010        | 50,000,000  | 2010/05/26 | 2010/12/06 | 12.50          |
| 10974    | Deutsche Bank AG - EUR/USD European Put Warrants Dec 2010    | 50,000,000  | 2010/05/26 | 2010/12/06 | 12.50          |
| 22830    | Goldman Sachs SP (Asia) - CC Bank European Wts Mar 2011      | 200,000,000 | 2010/05/26 | 2011/03/30 | 86.00          |
| 22828    | Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2010 C    | 200,000,000 | 2010/05/26 | 2010/09/29 | 110.00         |
| 22829    | Goldman Sachs SP (Asia) - CC Bank European Wts Dec 2010 B    | 200,000,000 | 2010/05/26 | 2010/12/30 | 98.00          |
| 22826    | Goldman Sachs SP (Asia) - A50 China European Wts Oct 2010    | 200,000,000 | 2010/05/26 | 2010/10/12 | 30.00          |
| 22827    | Goldman Sachs SP (Asia) - A50 China European Wts Nov 2010 B  | 200,000,000 | 2010/05/26 | 2010/11/29 | 170.00         |
| 22832    | Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2011    | 200,000,000 | 2010/05/26 | 2011/01/28 | 108.00         |
| 22833    | Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2011    | 200,000,000 | 2010/05/26 | 2011/04/28 | 118.00         |
| 22831    | Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2010 D  | 200,000,000 | 2010/05/26 | 2010/12/30 | 118.00         |
| 22806    | Macquarie Bank Ltd. - A50 China European Put Wts Apr 2011    | 300,000,000 | 2010/05/26 | 2011/04/13 | 45.00          |
| 22809    | CC Rabobank B.A. - Datang European Warrants Dec 2010         | 100,000,000 | 2010/05/26 | 2010/12/30 | 45.00          |
| 22810    | CC Rabobank B.A. - Dongfeng Motor European Warrants Mar 2011 | 180,000,000 | 2010/05/26 | 2011/03/30 | 45.00          |
| 22812    | CC Rabobank B.A. - Greentown China European Wts Mar 2011     | 180,000,000 | 2010/05/26 | 2011/03/30 | 45.00          |
| 22811    | CC Rabobank B.A. - GCL-Poly Energy European Warrants Jan 11  | 120,000,000 | 2010/05/26 | 2011/01/28 | 30.00          |
| 22813    | CC Rabobank B.A. - Maanshan Iron European Warrants Dec 2010  | 100,000,000 | 2010/05/26 | 2010/12/30 | 49.00          |
| 22807    | CC Rabobank B.A. - China Mengniu European Warrants Jan 2011  | 100,000,000 | 2010/05/26 | 2011/01/28 | 31.00          |
| 22815    | CC Rabobank B.A. - Poly HK Inv European Warrants Apr 2011    | 250,000,000 | 2010/05/26 | 2011/04/28 | 62.50          |
| 22814    | CC Rabobank B.A. - Parkson Group European Warrants Jan 2011  | 150,000,000 | 2010/05/26 | 2011/01/28 | 37.50          |
| 22808    | CC Rabobank B.A. - Yurun Food European Warrants Jan 2011     | 100,000,000 | 2010/05/26 | 2011/01/28 | 29.00          |
| 22816    | CC Rabobank B.A. - Yuexiu Property European Warrants Jan 11  | 100,000,000 | 2010/05/26 | 2011/01/28 | 30.00          |
| 22804    | RB of Scotland N.V. - HSBC European Warrants Jun 2011        | 200,000,000 | 2010/05/26 | 2011/06/02 | 30.00          |
| 22805    | RB of Scotland N.V. - HSBC European Warrants Sep 2011        | 200,000,000 | 2010/05/26 | 2011/09/26 | 30.00          |
| 22802    | RB of Scotland N.V. - HSI European Put Warrants Nov 2010 A   | 400,000,000 | 2010/05/26 | 2010/11/29 | 101.20         |
| 22803    | RB of Scotland N.V. - HSI European Put Warrants Nov 2010 B   | 400,000,000 | 2010/05/26 | 2010/11/29 | 100.40         |
| 22821    | UBS AG - Alibaba European Warrants Nov 2010                  | 100,000,000 | 2010/05/26 | 2010/11/29 | 25.00          |
| 22825    | UBS AG - HSI European Put Warrants Dec 2010 D                | 200,000,000 | 2010/05/26 | 2010/12/30 | 30.00          |
| 22824    | UBS AG - HSI European Warrants Nov 2010 E                    | 200,000,000 | 2010/05/26 | 2010/11/29 | 50.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 18325*   | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Oct 2010      | 600,000,000 | 2010/05/26 | 2010/10/25 | 18.60          |
| 22841    | BOCI Asia Ltd. - BYD European Warrants Dec 2010              | 100,000,000 | 2010/05/27 | 2010/12/30 | 15.00          |
| 22842    | BOCI Asia Ltd. - China Tel European Warrants Jun 2011        | 100,000,000 | 2010/05/27 | 2011/06/30 | 50.00          |
| 22865    | BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jan 2011 A    | 200,000,000 | 2010/05/27 | 2011/01/03 | 50.00          |
| 22866    | BNP Paribas Arbit Issu B.V. - ICBC European Wts Jan 2011     | 200,000,000 | 2010/05/27 | 2011/01/03 | 56.00          |
| 22856    | Deutsche Bank AG - HSI European Put Warrants Aug 2010 K      | 300,000,000 | 2010/05/27 | 2010/08/30 | 45.00          |
| 22843    | HK Bank - Alibaba European Warrants Dec 2010                 | 100,000,000 | 2010/05/27 | 2010/12/23 | 25.00          |
| 22844    | HK Bank - CITIC Bank European Warrants Nov 2010              | 80,000,000  | 2010/05/27 | 2010/11/29 | 20.00          |
| 22845    | HK Bank - CPIC European Warrants Feb 2011                    | 120,000,000 | 2010/05/27 | 2011/02/23 | 30.00          |
| 22846    | HK Bank - China Shenhua European Warrants Nov 2010 B         | 150,000,000 | 2010/05/27 | 2010/11/29 | 37.50          |
| 22847    | HK Bank - ZTE European Warrants Nov 2010                     | 100,000,000 | 2010/05/27 | 2010/11/29 | 25.00          |
| 22864    | KBC Fin Products Int'l Ltd. - Henderson Land Eu Put Wt Dec10 | 50,000,000  | 2010/05/27 | 2010/12/10 | 16.50          |
| 22850    | Macquarie Bank Ltd. - Agile Ppt European Warrants Dec 2010   | 150,000,000 | 2010/05/27 | 2010/12/30 | 22.65          |
| 22851    | Macquarie Bank Ltd. - CITIC Pacific European Warrants Feb 11 | 200,000,000 | 2010/05/27 | 2011/02/02 | 50.20          |
| 22853    | Macquarie Bank Ltd. - China Railway European Wts Dec 2010    | 200,000,000 | 2010/05/27 | 2010/12/02 | 51.80          |
| 22852    | Macquarie Bank Ltd. - CRCC European Warrants Dec 2010        | 100,000,000 | 2010/05/27 | 2010/12/08 | 32.80          |
| 22854    | Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Dec 2010   | 100,000,000 | 2010/05/27 | 2010/12/30 | 25.00          |
| 22855    | Macquarie Bank Ltd. - Sino Land European Warrants Nov 2010   | 150,000,000 | 2010/05/27 | 2010/11/30 | 37.50          |
| 22848    | SGA Societe Generale Acceptance N.V. - BYD Euro Wts Nov 2010 | 200,000,000 | 2010/05/27 | 2010/11/29 | 32.00          |
| 22849    | SGA Societe Generale Acceptance N.V. - BYD Euro Wts May 2011 | 200,000,000 | 2010/05/27 | 2011/05/23 | 36.00          |
| 22860    | SGA Societe Generale Acceptance N.V. - CITBK Euro Wt Nov 10  | 120,000,000 | 2010/05/27 | 2010/11/29 | 42.00          |
| 22862    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2010 C | 300,000,000 | 2010/05/27 | 2010/11/29 | 75.00          |
| 22863    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2010 D | 300,000,000 | 2010/05/27 | 2010/11/29 | 75.00          |
| 22861    | SGA Societe Generale Acceptance N.V. - HWL Eur Wt Nov 2010 B | 100,000,000 | 2010/05/27 | 2010/11/15 | 22.00          |
| 22857    | UBS AG - Dongfeng Motor European Warrants Mar 2011           | 100,000,000 | 2010/05/27 | 2011/03/28 | 25.00          |
| 22858    | UBS AG - Geely Auto European Warrants Nov 2010               | 100,000,000 | 2010/05/27 | 2010/11/29 | 25.00          |
| 22859    | UBS AG - Sinopharm European Warrants Dec 2010 B              | 100,000,000 | 2010/05/27 | 2010/12/28 | 25.00          |
| 22877    | BNP Paribas Arbit Issu B.V. - CHALCO European Wts May 2012   | 80,000,000  | 2010/05/28 | 2012/05/07 | 20.00          |
| 22879    | BNP Paribas Arbit Issu B.V. - China Overseas Euro Wts Jun 11 | 100,000,000 | 2010/05/28 | 2011/06/07 | 25.00          |
| 22878    | BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Mar 2011   | 80,000,000  | 2010/05/28 | 2011/03/07 | 20.00          |
| 22880    | BNP Paribas Arbit Issu B.V. - HKEx European Wts Jul 2011 B   | 200,000,000 | 2010/05/28 | 2011/07/04 | 50.00          |
| 22881    | BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Mar 11 | 100,000,000 | 2010/05/28 | 2011/03/07 | 25.00          |
| 22874    | Deutsche Bank AG - China Life European Warrants Sep 2010     | 300,000,000 | 2010/05/28 | 2010/09/28 | 48.00          |
| 22875    | Deutsche Bank AG - China Life European Warrants Oct 2010 B   | 300,000,000 | 2010/05/28 | 2010/10/11 | 63.00          |
| 22876    | Deutsche Bank AG - HSI European Put Warrants Aug 2010 L      | 300,000,000 | 2010/05/28 | 2010/08/30 | 45.00          |
| 22886    | Goldman Sachs SP (Asia) - BOCL European Warrants Mar 2011    | 200,000,000 | 2010/05/28 | 2011/03/30 | 50.00          |
| 22883    | Goldman Sachs SP (Asia) - BOCL European Warrants Oct 2010 A  | 200,000,000 | 2010/05/28 | 2010/10/25 | 56.00          |
| 22884    | Goldman Sachs SP (Asia) - BOCL European Warrants Oct 2010 B  | 200,000,000 | 2010/05/28 | 2010/10/27 | 30.00          |
| 22885    | Goldman Sachs SP (Asia) - BOCL European Warrants Nov 2010 B  | 200,000,000 | 2010/05/28 | 2010/11/29 | 38.00          |
| 22882    | Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2010 K    | 200,000,000 | 2010/05/28 | 2010/11/29 | 50.00          |
| 22872    | Goldman Sachs SP (Asia) - Tencent Holdings European Wt Oct10 | 200,000,000 | 2010/05/28 | 2010/10/28 | 42.00          |
| 22873    | Goldman Sachs SP (Asia) - Tencent Holdings European Wt Nov10 | 200,000,000 | 2010/05/28 | 2010/11/29 | 32.00          |
| 22869    | HK Bank - HSI European Put Warrants Nov 2010 A               | 150,000,000 | 2010/05/28 | 2010/11/29 | 37.50          |
| 22870    | HK Bank - HSI European Put Warrants Nov 2010 B               | 150,000,000 | 2010/05/28 | 2010/11/29 | 37.50          |



# 主 板 認 股 權 證

| 股份<br>代號           | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|--------------------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|                    |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22868              | Macquarie Bank Ltd. - CM Bank European Put Warrants Feb 2011 | 150,000,000 | 2010/05/28 | 2011/02/02 | 37.65          |
| 22867              | Macquarie Bank Ltd. - Evergrande European Warrants Dec 2010  | 88,000,000  | 2010/05/28 | 2010/12/30 | 22.35          |
| 22871              | UBS AG - Ch LongYuan European Warrants Dec 2010              | 100,000,000 | 2010/05/28 | 2010/12/13 | 15.00          |
| 18033 <sup>#</sup> | Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Sep 2010      | 300,000,000 | 2010/05/28 | 2010/09/17 | 11.10          |
| 22887              | BOCI Asia Ltd. - Ch Comm Cons European Warrants Dec 2010     | 100,000,000 | 2010/05/31 | 2010/12/03 | 50.00          |
| 22888              | BOCI Asia Ltd. - CPIC European Warrants Mar 2011             | 100,000,000 | 2010/05/31 | 2011/03/30 | 50.00          |
| 22889              | BOCI Asia Ltd. - A50 China European Put Warrants May 2011    | 100,000,000 | 2010/05/31 | 2011/05/30 | 25.00          |
| 22892              | Deutsche Bank AG - China Mobile European Warrants Dec 2011   | 300,000,000 | 2010/05/31 | 2011/12/19 | 75.00          |
| 22895              | Deutsche Bank AG - Tencent Holdings European Warrants Nov10A | 300,000,000 | 2010/05/31 | 2010/11/08 | 45.00          |
| 22893              | Macquarie Bank Ltd. - PetroChina European Wts Sep 2010       | 100,000,000 | 2010/05/31 | 2010/09/13 | 15.50          |
| 22894              | Macquarie Bank Ltd. - PetroChina European Wts Dec 2010       | 100,000,000 | 2010/05/31 | 2010/12/01 | 50.80          |
| 22890              | SGA Societe Generale Acceptance N.V-Chi COSCO Euro Wt Aug 11 | 200,000,000 | 2010/05/31 | 2011/08/29 | 50.00          |
| 22891              | SGA Societe Generale Acceptance N.V-Chi COSCO Euro Wt Oct 11 | 200,000,000 | 2010/05/31 | 2011/10/24 | 50.00          |
| 19457 <sup>#</sup> | Citigroup Global Mkt H Inc. - BYD European Warrants Nov 2010 | 200,000,000 | 2010/05/31 | 2010/11/22 | 10.80          |
| 20222 <sup>#</sup> | CC Rabobank B.A. - CC Bank European Warrants Sep 2010 A      | 250,000,000 | 2010/05/31 | 2010/09/29 | 11.00          |
| 22914              | BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2010 A    | 200,000,000 | 2010/06/01 | 2010/12/30 | 50.00          |
| 22915              | BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2010 B    | 200,000,000 | 2010/06/01 | 2010/12/30 | 50.00          |
| 22908              | Credit Suisse AG - HSBC European Wts Dec 2010 A              | 200,000,000 | 2010/06/01 | 2010/12/28 | 30.00          |
| 22907              | Credit Suisse AG - HSI European Warrants Dec 2010            | 255,000,000 | 2010/06/01 | 2010/12/30 | 63.75          |
| 22909              | Credit Suisse AG - HSI European Put Warrants Dec 2010        | 200,000,000 | 2010/06/01 | 2010/12/30 | 50.00          |
| 22910              | Deutsche Bank AG - China Life European Warrants Dec 2010 A   | 300,000,000 | 2010/06/01 | 2010/12/06 | 93.00          |
| 22911              | Deutsche Bank AG - HSI European Put Warrants Dec 2010 A      | 300,000,000 | 2010/06/01 | 2010/12/30 | 75.00          |
| 22912              | Deutsche Bank AG - HSI European Put Warrants Dec 2010 B      | 300,000,000 | 2010/06/01 | 2010/12/30 | 75.00          |
| 22913              | Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2010      | 200,000,000 | 2010/06/01 | 2010/12/30 | 36.00          |
| 22900              | HK Bank - A50 China European Warrants Oct 2010               | 120,000,000 | 2010/06/01 | 2010/10/05 | 18.00          |
| 22897              | J P Morgan Int'l Der. Ltd. - CC Bank European Wts Dec 2010 A | 100,000,000 | 2010/06/01 | 2010/12/01 | 44.70          |
| 22896              | J P Morgan SP BV - CITIC Bank European Warrants Dec 2010 A   | 100,000,000 | 2010/06/01 | 2010/12/01 | 40.20          |
| 22898              | J P Morgan SP BV - Chi Res Land European Warrants Dec 2010 A | 100,000,000 | 2010/06/01 | 2010/12/01 | 25.00          |
| 22899              | J P Morgan SP BV - Tencent European Warrants Dec 2010        | 200,000,000 | 2010/06/01 | 2010/12/01 | 50.00          |
| 22917              | KBC Fin Products Int'l Ltd. - China Life Euro Wts Dec 2010 A | 200,000,000 | 2010/06/01 | 2010/12/01 | 50.00          |
| 22916              | KBC Fin Products Int'l Ltd. - HSBC European Wts Dec 2010 C   | 258,000,000 | 2010/06/01 | 2010/12/22 | 38.70          |
| 22920              | KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Dec 2010 E   | 108,000,000 | 2010/06/01 | 2010/12/01 | 27.00          |
| 22918              | KBC Fin Products Int'l Ltd. - ICBC Euro Put Wts Sep 2010     | 100,000,000 | 2010/06/01 | 2010/09/15 | 26.00          |
| 22919              | KBC Fin Products Int'l Ltd. - SHK Ppt Euro Put Wts Jun 2011  | 80,000,000  | 2010/06/01 | 2011/06/01 | 20.00          |
| 22901              | Macquarie Bank Ltd. - China Overseas European Wts Mar 2011   | 150,000,000 | 2010/06/01 | 2011/03/07 | 37.50          |
| 22902              | Macquarie Bank Ltd. - HSBC European Warrants May 2011        | 200,000,000 | 2010/06/01 | 2011/05/30 | 30.00          |
| 22903              | Macquarie Bank Ltd. - HSBC European Warrants Dec 2010 H      | 200,000,000 | 2010/06/01 | 2010/12/02 | 96.20          |
| 22904              | Macquarie Bank Ltd. - Hutchison European Warrants Dec 2010 B | 150,000,000 | 2010/06/01 | 2010/12/01 | 37.95          |
| 22905              | Macquarie Bank Ltd. - Lenovo European Warrants Dec 2010      | 88,000,000  | 2010/06/01 | 2010/12/01 | 36.61          |
| 22906              | Macquarie Bank Ltd. - Tingyi European Warrants Mar 2011      | 150,000,000 | 2010/06/01 | 2011/03/04 | 37.50          |
| 22921              | Citigroup Global Mkt H Inc. - CNBM European Warrants Dec 10  | 120,000,000 | 2010/06/02 | 2010/12/28 | 18.00          |
| 22922              | Citigroup Global Mkt H Inc. - CPIC European Warrants Dec 10  | 120,000,000 | 2010/06/02 | 2010/12/02 | 30.00          |
| 22923              | Citigroup Global Mkt H Inc. - Lenovo European Warrants Jan11 | 80,000,000  | 2010/06/02 | 2011/01/24 | 20.00          |
| 22928              | HK Bank - China EB Ltd European Warrants Dec 2010            | 120,000,000 | 2010/06/02 | 2010/12/02 | 30.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22929    | HK Bank - Geely Auto European Warrants Dec 2010 B            | 100,000,000 | 2010/06/02 | 2010/12/02 | 25.00          |
| 22931    | HK Bank - Sinopharm European Warrants Dec 2010               | 120,000,000 | 2010/06/02 | 2010/12/02 | 30.00          |
| 22930    | HK Bank - Tencent European Warrants Nov 2010                 | 160,000,000 | 2010/06/02 | 2010/11/26 | 24.00          |
| 22932    | J P Morgan SP BV - R&F Ppt European Warrants Feb 2011        | 80,000,000  | 2010/06/02 | 2011/02/28 | 20.00          |
| 22933    | J P Morgan SP BV - HSBC European Warrants Sep 2011 B         | 300,000,000 | 2010/06/02 | 2011/09/22 | 45.00          |
| 22942    | Macquarie Bank Ltd. - Tencent European Warrants Dec 2010 B   | 250,000,000 | 2010/06/02 | 2010/12/02 | 62.75          |
| 22937    | CC Rabobank B.A. - Anta Sports European Warrants Jan 2011    | 120,000,000 | 2010/06/02 | 2011/01/28 | 33.60          |
| 22938    | CC Rabobank B.A. - China Agri European Warrants Feb 2011     | 180,000,000 | 2010/06/02 | 2011/02/25 | 45.00          |
| 22939    | CC Rabobank B.A. - China Oilfield European Warrants Feb 2011 | 180,000,000 | 2010/06/02 | 2011/02/25 | 45.00          |
| 22940    | CC Rabobank B.A. - Golden Eagle European Warrants Jan 2011   | 120,000,000 | 2010/06/02 | 2011/01/28 | 36.00          |
| 22941    | CC Rabobank B.A. - Lee & Man Paper European Warrants Apr 11  | 300,000,000 | 2010/06/02 | 2011/04/28 | 75.00          |
| 22924    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2010 E | 300,000,000 | 2010/06/02 | 2010/11/29 | 69.00          |
| 22925    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2010 F | 300,000,000 | 2010/06/02 | 2010/11/29 | 69.00          |
| 22926    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 10C | 300,000,000 | 2010/06/02 | 2010/12/30 | 75.00          |
| 22927    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 10D | 300,000,000 | 2010/06/02 | 2010/12/30 | 75.00          |
| 22935    | UBS AG - HSI European Warrants Nov 2010 F                    | 200,000,000 | 2010/06/02 | 2010/11/29 | 40.00          |
| 22936    | UBS AG - HSI European Put Warrants Dec 2010 E                | 200,000,000 | 2010/06/02 | 2010/12/30 | 36.00          |
| 22934    | UBS AG - Hutchison European Warrants Dec 2010 B              | 100,000,000 | 2010/06/02 | 2010/12/23 | 15.00          |
| 17277*   | CC Rabobank B.A. - HSBC European Warrants Jun 2011           | 200,000,000 | 2010/06/02 | 2011/06/01 | 23.60          |
| 22958    | BOCI Asia Ltd. - HSI European Put Warrants Dec 2010          | 100,000,000 | 2010/06/03 | 2010/12/30 | 25.00          |
| 22954    | BOCI Asia Ltd. - HSI European Warrants Dec 2010 C            | 100,000,000 | 2010/06/03 | 2010/12/30 | 25.00          |
| 22957    | Deutsche Bank AG - BOCL European Warrants Oct 2010 B         | 200,000,000 | 2010/06/03 | 2010/10/25 | 94.00          |
| 22959    | Deutsche Bank AG - CC Bank European Warrants Nov 2010 B      | 200,000,000 | 2010/06/03 | 2010/11/29 | 70.00          |
| 22960    | Deutsche Bank AG - HSBC European Warrants Dec 2010 B         | 300,000,000 | 2010/06/03 | 2010/12/20 | 45.00          |
| 22953    | HK Bank - Dongfeng Motor European Warrants Mar 2011          | 120,000,000 | 2010/06/03 | 2011/03/23 | 18.00          |
| 22955    | J P Morgan SP BV - PetroChina European Warrants Jun 2011     | 300,000,000 | 2010/06/03 | 2011/06/15 | 45.00          |
| 22956    | J P Morgan SP BV - Stanchart European Warrants Dec 2010      | 200,000,000 | 2010/06/03 | 2010/12/06 | 50.00          |
| 22949    | Nomura Int'l plc - CC Bank European Warrants Dec 2010 B      | 100,000,000 | 2010/06/03 | 2010/12/31 | 41.00          |
| 22950    | Nomura Int'l plc - CC Bank European Warrants Dec 2010 C      | 100,000,000 | 2010/06/03 | 2010/12/31 | 28.00          |
| 22951    | Nomura Int'l plc - China Life European Warrants Dec 2010 A   | 100,000,000 | 2010/06/03 | 2010/12/31 | 29.00          |
| 22952    | Nomura Int'l plc - China Life European Warrants Dec 2010 B   | 100,000,000 | 2010/06/03 | 2010/12/31 | 25.00          |
| 22947    | Nomura Int'l plc - CNOOC European Warrants Nov 2010 B        | 100,000,000 | 2010/06/03 | 2010/11/15 | 15.00          |
| 22948    | Nomura Int'l plc - CNOOC European Warrants Jun 2011          | 100,000,000 | 2010/06/03 | 2011/06/30 | 16.50          |
| 22943    | Nomura Int'l plc - HSBC European Warrants Sep 2011           | 100,000,000 | 2010/06/03 | 2011/09/30 | 15.00          |
| 22944    | Nomura Int'l plc - Hutchison European Warrants Dec 2010 B    | 100,000,000 | 2010/06/03 | 2010/12/31 | 25.00          |
| 22946    | Nomura Int'l plc - PetroChina European Warrants Jun 2011     | 100,000,000 | 2010/06/03 | 2011/06/15 | 15.00          |
| 22945    | Nomura Int'l plc - Tencent Holdings European Warrants Dec10B | 100,000,000 | 2010/06/03 | 2010/12/31 | 25.00          |
| 22962    | BOCI Asia Ltd. - A50 China European Warrants Oct 2010        | 100,000,000 | 2010/06/04 | 2010/10/12 | 15.00          |
| 22970    | Credit Suisse AG - China Mobile European Warrants Oct 2010 B | 200,000,000 | 2010/06/04 | 2010/10/18 | 30.00          |
| 22972    | Credit Suisse AG - A50 China European Put Warrants Feb 2011  | 300,000,000 | 2010/06/04 | 2011/02/21 | 45.00          |
| 22971    | Credit Suisse AG - HSBC European Wts Dec 2011                | 300,000,000 | 2010/06/04 | 2011/12/12 | 45.00          |
| 22969    | Credit Suisse AG - Tencent Holdings European Wts Sep 2010 B  | 200,000,000 | 2010/06/04 | 2010/09/06 | 30.00          |
| 22961    | Citigroup Global Mkt H Inc. - CITIC Pacific Eu Wt Jan 2011 B | 120,000,000 | 2010/06/04 | 2011/01/31 | 30.00          |
| 22965    | Macquarie Bank Ltd. - Bank of EA European Warrants Dec 2010  | 150,000,000 | 2010/06/04 | 2010/12/30 | 37.50          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22966    | Macquarie Bank Ltd. - Yurun Food European Warrants Dec 2010  | 100,000,000 | 2010/06/04 | 2010/12/30 | 25.00          |
| 22967    | Macquarie Bank Ltd. - Yanzhou Coal European Wts Dec 2010 B   | 150,000,000 | 2010/06/04 | 2010/12/30 | 42.00          |
| 22963    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Oct 10C  | 100,000,000 | 2010/06/04 | 2010/10/18 | 26.00          |
| 22968    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2011   | 300,000,000 | 2010/06/04 | 2011/05/30 | 45.00          |
| 22964    | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 11A | 120,000,000 | 2010/06/04 | 2011/02/21 | 30.00          |
| 22477*   | UBS AG - A50 China European Warrants Nov 2010 B              | 200,000,000 | 2010/06/04 | 2010/11/22 | 16.40          |
| 22982    | Deutsche Bank AG - China Telecom European Wts Dec 2010       | 100,000,000 | 2010/06/07 | 2010/12/28 | 38.00          |
| 22984    | Deutsche Bank AG - China Life European Put Wts Dec 2010      | 300,000,000 | 2010/06/07 | 2010/12/28 | 75.00          |
| 22981    | Deutsche Bank AG - HSI European Warrants Dec 2010 C          | 300,000,000 | 2010/06/07 | 2010/12/30 | 75.00          |
| 22980    | Deutsche Bank AG - HSI European Warrants Nov 2010 F          | 300,000,000 | 2010/06/07 | 2010/11/29 | 57.00          |
| 22983    | Deutsche Bank AG - Hutchison European Warrants Dec 2010      | 150,000,000 | 2010/06/07 | 2010/12/28 | 42.00          |
| 22973    | Macquarie Bank Ltd. - Cheung Kong European Warrants Jan 2011 | 200,000,000 | 2010/06/07 | 2011/01/04 | 70.60          |
| 22974    | Macquarie Bank Ltd. - Renhe Comm European Warrants Dec 2010  | 88,000,000  | 2010/06/07 | 2010/12/13 | 22.00          |
| 22979    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2011   | 300,000,000 | 2010/06/07 | 2011/01/28 | 75.00          |
| 22977    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2010 E | 300,000,000 | 2010/06/07 | 2010/12/30 | 75.00          |
| 22978    | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2010 F | 300,000,000 | 2010/06/07 | 2010/12/30 | 75.00          |
| 22975    | UBS AG - China Mobile European Put Warrants Nov 2010         | 100,000,000 | 2010/06/07 | 2010/11/29 | 43.50          |
| 22976    | UBS AG - HSBC European Put Warrants Nov 2010                 | 100,000,000 | 2010/06/07 | 2010/11/22 | 41.50          |
| 22535*   | Credit Suisse AG - A50 China European Warrants Oct 2010 A    | 300,000,000 | 2010/06/07 | 2010/10/04 | 35.70          |
| 22990    | Credit Suisse AG - HSI European Put Warrants Nov 2010 A      | 240,000,000 | 2010/06/08 | 2010/11/29 | 36.00          |
| 22987    | Credit Suisse AG - Ping An European Warrants Sep 2010        | 200,000,000 | 2010/06/08 | 2010/09/27 | 30.00          |
| 22988    | Credit Suisse AG - Tencent Holdings European Wts Oct 2010 B  | 200,000,000 | 2010/06/08 | 2010/10/11 | 30.00          |
| 22989    | Credit Suisse AG - Tencent Holdings European Wts Nov 2010 A  | 200,000,000 | 2010/06/08 | 2010/11/08 | 30.00          |
| 10971    | Deutsche Bank AG - USD/YEN European Warrants Dec 2010        | 50,000,000  | 2010/06/08 | 2010/12/13 | 12.50          |
| 10975    | Deutsche Bank AG - USD/YEN European Put Warrants Dec 2010    | 50,000,000  | 2010/06/08 | 2010/12/13 | 12.50          |
| 22985    | Macquarie Bank Ltd. -GCL-Poly Energy European Warrants Dec10 | 80,000,000  | 2010/06/08 | 2010/12/28 | 20.08          |
| 22991    | UBS AG - HSI European Warrants Dec 2010 F                    | 200,000,000 | 2010/06/08 | 2010/12/30 | 50.00          |
| 22986    | UBS AG - Tencent Holdings European Warrants Dec 2010 A       | 200,000,000 | 2010/06/08 | 2010/12/13 | 50.00          |
| 22634*   | Credit Suisse AG - HSI European Put Warrants Aug 2010 I      | 180,000,000 | 2010/06/08 | 2010/08/30 | 22.32          |
| 22748*   | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Oct 2010 C     | 300,000,000 | 2010/06/08 | 2010/10/05 | 36.30          |
| 23009    | Credit Suisse AG - A50 China European Warrants Jan 2011 B    | 300,000,000 | 2010/06/09 | 2011/01/24 | 75.00          |
| 23010    | Credit Suisse AG - A50 China European Warrants Feb 2011      | 300,000,000 | 2010/06/09 | 2011/02/14 | 75.00          |
| 22992    | Citigroup Global Mkt H Inc. - Poly HK Inv Euro Wts Jul 2011  | 80,000,000  | 2010/06/09 | 2011/07/25 | 20.00          |
| 22993    | HK Bank - Geely Auto European Warrants Dec 2010 C            | 120,000,000 | 2010/06/09 | 2010/12/09 | 30.00          |
| 23000    | J P Morgan SP BV - Ch Railway Cons European Warrants Jun11A  | 200,000,000 | 2010/06/09 | 2011/06/27 | 50.00          |
| 23005    | CC Rabobank B.A. - Anhui Conch European Warrants Dec 2010    | 100,000,000 | 2010/06/09 | 2010/12/30 | 49.00          |
| 23004    | CC Rabobank B.A. - CHALCO European Warrants Jun 2011         | 250,000,000 | 2010/06/09 | 2011/06/22 | 47.50          |
| 23006    | CC Rabobank B.A. - Foxconn European Warrants Jul 2011        | 300,000,000 | 2010/06/09 | 2011/07/28 | 75.00          |
| 23008    | RB of Scotland N.V. - CC Bank European Warrants Oct 2010     | 250,000,000 | 2010/06/09 | 2010/10/11 | 40.00          |
| 23007    | RB of Scotland N.V. - CC Bank European Warrants Sep 2010 B   | 250,000,000 | 2010/06/09 | 2010/09/20 | 54.25          |
| 22994    | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2010 B | 80,000,000  | 2010/06/09 | 2010/10/27 | 34.40          |
| 22995    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2010 C | 100,000,000 | 2010/06/09 | 2010/12/15 | 26.00          |
| 22996    | SGA Societe Generale Acceptance N.V. - Petch Eu Wt Jun 2011  | 300,000,000 | 2010/06/09 | 2011/06/20 | 45.00          |
| 23001    | UBS AG - CC Bank European Warrants Dec 2010 B                | 100,000,000 | 2010/06/09 | 2010/12/20 | 25.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 22997    | UBS AG - China Life European Warrants Dec 2010 C             | 200,000,000 | 2010/06/09 | 2010/12/13 | 50.00          |
| 22999    | UBS AG - Sinopec Corp European Warrants Dec 2010 B           | 100,000,000 | 2010/06/09 | 2010/12/13 | 25.00          |
| 23003    | UBS AG - China Shenhua European Warrants Dec 2010 B          | 100,000,000 | 2010/06/09 | 2010/12/20 | 25.00          |
| 22998    | UBS AG - A50 China European Put Warrants Apr 2011            | 100,000,000 | 2010/06/09 | 2011/04/11 | 15.00          |
| 23002    | UBS AG - Jiangxi Copper European Warrants Dec 2010           | 100,000,000 | 2010/06/09 | 2010/12/28 | 15.00          |
| 23018    | Credit Suisse AG - HSI European Put Warrants Sep 2010        | 315,000,000 | 2010/06/10 | 2010/09/29 | 47.25          |
| 23011    | Citigroup Global Mkt H Inc. - Bank of EA European Wts Dec10  | 100,000,000 | 2010/06/10 | 2010/12/30 | 25.00          |
| 23012    | Citigroup Global Mkt H Inc. - COSCO Pacific Euro Wts Jul 11  | 120,000,000 | 2010/06/10 | 2011/07/25 | 30.00          |
| 23013    | Citigroup Global Mkt H Inc. - Dongfeng European Wts Mar 2011 | 120,000,000 | 2010/06/10 | 2011/03/28 | 18.00          |
| 10972    | Deutsche Bank AG - Nikkei 225 Index European Wts Dec 2010    | 100,000,000 | 2010/06/10 | 2010/12/10 | 26.00          |
| 10976    | Deutsche Bank AG - Nikkei 225 Index Euro Put Wts Dec 2010    | 100,000,000 | 2010/06/10 | 2010/12/10 | 25.00          |
| 23014    | Deutsche Bank AG - Tencent Holdings European Put Wts Dec 10  | 100,000,000 | 2010/06/10 | 2010/12/28 | 25.00          |
| 23015    | CC Rabobank B.A. - Sinopharm European Warrants Dec 2010      | 100,000,000 | 2010/06/10 | 2010/12/30 | 32.00          |
| 23016    | CC Rabobank B.A. - Skyworth Digital European Warrants Jun 11 | 250,000,000 | 2010/06/10 | 2011/06/29 | 62.50          |
| 23022    | CC Rabobank B.A. - Sino Ocean Land European Warrants May 12  | 300,000,000 | 2010/06/10 | 2012/05/30 | 75.00          |
| 23017    | RB of Scotland N.V. - Jiangxi Copper European Wts Dec 2010   | 250,000,000 | 2010/06/10 | 2010/12/31 | 62.50          |
| 23019    | UBS AG - BankComm European Warrants Jan 2012                 | 200,000,000 | 2010/06/10 | 2012/01/30 | 32.00          |
| 23020    | UBS AG - BYD European Warrants May 2011                      | 100,000,000 | 2010/06/10 | 2011/05/30 | 15.00          |
| 23021    | UBS AG - A50 China European Warrants Jun 2011                | 100,000,000 | 2010/06/10 | 2011/06/13 | 25.00          |
| 22535#   | Credit Suisse AG - A50 China European Warrants Oct 2010 A    | 500,000,000 | 2010/06/10 | 2010/10/04 | 53.00          |
| 23024    | Barclays Bank plc - CC Bank European Warrants Dec 2010       | 100,000,000 | 2010/06/11 | 2010/12/20 | 34.00          |
| 23026    | Barclays Bank plc - Ch LongYuan European Warrants Dec 2010 B | 150,000,000 | 2010/06/11 | 2010/12/28 | 22.50          |
| 23027    | Barclays Bank plc - HKEx European Warrants Jul 2011          | 150,000,000 | 2010/06/11 | 2011/07/18 | 22.50          |
| 23028    | Barclays Bank plc - HSBC European Warrants Sep 2011          | 150,000,000 | 2010/06/11 | 2011/09/26 | 22.50          |
| 23025    | Barclays Bank plc -Tencent Holdings European Warrants Dec10A | 150,000,000 | 2010/06/11 | 2010/12/28 | 22.50          |
| 23029    | CC Rabobank B.A. - Ch Mobile European Warrants Oct 2010 B    | 150,000,000 | 2010/06/11 | 2010/10/18 | 45.00          |
| 23030    | CC Rabobank B.A. - CPIC European Warrants Dec 2010           | 100,000,000 | 2010/06/11 | 2010/12/30 | 45.00          |
| 23031    | CC Rabobank B.A. - CPIC European Warrants Jan 2011           | 150,000,000 | 2010/06/11 | 2011/01/28 | 60.00          |
| 23032    | CC Rabobank B.A. - Ch Ship Cont European Warrants Dec 2010   | 100,000,000 | 2010/06/11 | 2010/12/30 | 53.00          |
| 23033    | CC Rabobank B.A. - Tsingtao Brew European Warrants Dec 2010  | 100,000,000 | 2010/06/11 | 2010/12/30 | 70.00          |
| 23023    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 2011 | 800,000,000 | 2010/06/11 | 2011/12/12 | 120.00         |
| 23037    | HK Bank -BYD European Warrants May 2011                      | 120,000,000 | 2010/06/14 | 2011/05/31 | 18.00          |
| 23038    | Macquarie Bank Ltd. - China Shenhua European Wts Jan 2011    | 200,000,000 | 2010/06/14 | 2011/01/04 | 58.20          |
| 23039    | Macquarie Bank Ltd. - HKEx European Warrants Jul 2011        | 200,000,000 | 2010/06/14 | 2011/07/05 | 34.00          |
| 23040    | Macquarie Bank Ltd. - Sinopharm European Warrants Dec 2010   | 200,000,000 | 2010/06/14 | 2010/12/14 | 50.40          |
| 23043    | CC Rabobank B.A. - BOCL European Warrants Dec 2010           | 150,000,000 | 2010/06/14 | 2010/12/30 | 79.50          |
| 23044    | CC Rabobank B.A. - CC Bank European Warrants Dec 2010 A      | 150,000,000 | 2010/06/14 | 2010/12/30 | 100.50         |
| 23045    | CC Rabobank B.A. - CC Bank European Warrants Nov 2012        | 200,000,000 | 2010/06/14 | 2012/11/05 | 30.00          |
| 23046    | CC Rabobank B.A. - CM Bank European Warrants Dec 2010 B      | 100,000,000 | 2010/06/14 | 2010/12/30 | 25.00          |
| 23047    | CC Rabobank B.A. - Sinopec Corp European Warrants Dec 2010 B | 150,000,000 | 2010/06/14 | 2010/12/30 | 87.00          |
| 23042    | CC Rabobank B.A. - A50 China European Warrants Apr 2012      | 200,000,000 | 2010/06/14 | 2012/04/27 | 50.00          |
| 23049    | CC Rabobank B.A. - HSBC European Warrants Sep 2010 C         | 100,000,000 | 2010/06/14 | 2010/09/30 | 57.00          |
| 23048    | CC Rabobank B.A. - Hutchison European Warrants Dec 2010      | 100,000,000 | 2010/06/14 | 2010/12/30 | 40.00          |
| 23051    | CC Rabobank B.A. - ICBC European Warrants Dec 2012           | 200,000,000 | 2010/06/14 | 2012/12/17 | 30.00          |

# 主 板 認 股 權 證

| 股份<br>代號           | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|--------------------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|                    |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 23050              | CC Rabobank B.A. - ICBC European Warrants Dec 2010 C         | 150,000,000 | 2010/06/14 | 2010/12/30 | 102.00         |
| 23052              | CC Rabobank B.A. - PetroChina European Warrants Jun 2011     | 200,000,000 | 2010/06/14 | 2011/06/22 | 30.00          |
| 23034              | RB of Scotland N.V. - China Life European Wts Nov 2010 C     | 250,000,000 | 2010/06/14 | 2010/11/17 | 48.50          |
| 23035              | RB of Scotland N.V. - ICBC European Warrants Dec 2010 B      | 250,000,000 | 2010/06/14 | 2010/12/31 | 103.75         |
| 23036              | RB of Scotland N.V. - Tencent Holdings European Wts Dec 2010 | 250,000,000 | 2010/06/14 | 2010/12/31 | 37.50          |
| 23041              | UBS AG - HSI European Warrants Dec 2010 G                    | 200,000,000 | 2010/06/14 | 2010/12/30 | 30.00          |
| 22344 <sup>#</sup> | Credit Suisse AG - A50 China European Put Warrants Jan 2011  | 400,000,000 | 2010/06/14 | 2011/01/24 | 64.80          |
| 22969 <sup>#</sup> | Credit Suisse AG - Tencent Holdings European Wts Sep 2010 B  | 300,000,000 | 2010/06/14 | 2010/09/06 | 13.80          |
| 23054              | Deutsche Bank AG - CNOOC European Warrants Dec 2010          | 150,000,000 | 2010/06/15 | 2010/12/06 | 28.50          |
| 10973              | Deutsche Bank AG - DJIA European Warrants Dec 2010 C         | 150,000,000 | 2010/06/15 | 2010/12/20 | 40.50          |
| 10977              | Deutsche Bank AG - DJIA European Put Warrants Dec 2010 D     | 150,000,000 | 2010/06/15 | 2010/12/20 | 37.50          |
| 10978              | Deutsche Bank AG - Gold European Put Warrants Dec 2010       | 50,000,000  | 2010/06/15 | 2010/12/21 | 12.50          |
| 23055              | Deutsche Bank AG - HKEx European Warrants Jun 2011 B         | 200,000,000 | 2010/06/15 | 2011/06/27 | 36.00          |
| 23058              | Deutsche Bank AG - HSI European Warrants Nov 2010 G          | 300,000,000 | 2010/06/15 | 2010/11/29 | 60.00          |
| 23053              | J P Morgan SP BV - HSBC European Warrants Dec 2010 C         | 100,000,000 | 2010/06/15 | 2010/12/15 | 26.50          |
| 23056              | UBS AG - HKEx European Warrants Jul 2011                     | 200,000,000 | 2010/06/15 | 2011/07/18 | 30.00          |
| 23057              | UBS AG - Tencent Holdings European Warrants Feb 2011         | 200,000,000 | 2010/06/15 | 2011/02/14 | 30.00          |
| 19181 <sup>#</sup> | Merrill Lynch Int'l & Co. C.V. - Tencent European Wts Oct10A | 500,000,000 | 2010/06/15 | 2010/10/18 | 13.00          |
| 23069              | BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jan 2011 B    | 200,000,000 | 2010/06/17 | 2011/01/03 | 54.00          |
| 23060              | Citigroup Global Mkt H Inc. - Agile Ppt European Wts Jun 11  | 100,000,000 | 2010/06/17 | 2011/06/28 | 15.00          |
| 23061              | Citigroup Global Mkt H Inc. - BOC HK European Wts Mar 2011   | 100,000,000 | 2010/06/17 | 2011/03/28 | 25.00          |
| 23062              | Citigroup Global Mkt H Inc. - CC Bank European Wts Dec 2010  | 120,000,000 | 2010/06/17 | 2010/12/28 | 30.00          |
| 23063              | Citigroup Global Mkt H Inc. - Chi Res Power Euro Wts Jun 11  | 80,000,000  | 2010/06/17 | 2011/06/27 | 20.00          |
| 23068              | Citigroup Global Mkt H Inc. - A50 China Euro Wts Jan 2011 C  | 100,000,000 | 2010/06/17 | 2011/01/24 | 38.00          |
| 23066              | Citigroup Global Mkt H Inc. - Link Reit European Wts May 11  | 80,000,000  | 2010/06/17 | 2011/05/30 | 20.00          |
| 23064              | Citigroup Global Mkt H Inc. - SHK Ppt European Wts Aug 2011  | 80,000,000  | 2010/06/17 | 2011/08/08 | 12.00          |
| 23067              | Citigroup Global Mkt H Inc. - SPDR Gold T European Wts May11 | 80,000,000  | 2010/06/17 | 2011/05/30 | 20.00          |
| 23065              | Citigroup Global Mkt H Inc. -Tencent European Warrants Mar11 | 100,000,000 | 2010/06/17 | 2011/03/04 | 15.00          |
| 23103              | Deutsche Bank AG - Tencent Holdings European Warrants Oct10B | 200,000,000 | 2010/06/17 | 2010/10/25 | 30.00          |
| 23104              | Deutsche Bank AG - Tencent Holdings European Warrants Nov10B | 200,000,000 | 2010/06/17 | 2010/11/29 | 30.00          |
| 23087              | J P Morgan SP BV - BOCL European Warrants Nov 2010           | 100,000,000 | 2010/06/17 | 2010/11/01 | 28.80          |
| 23088              | J P Morgan Int'l Der. Ltd. - CC Bank European Wts Dec 2010 B | 100,000,000 | 2010/06/17 | 2010/12/17 | 50.70          |
| 23089              | J P Morgan SP BV - CNOOC European Warrants Dec 2010          | 200,000,000 | 2010/06/17 | 2010/12/17 | 51.00          |
| 23090              | J P Morgan SP BV - CNOOC European Warrants May 2011          | 200,000,000 | 2010/06/17 | 2011/05/05 | 50.00          |
| 23059              | Macquarie Bank Ltd. - CC Bank European Put Warrants Nov 10B  | 188,000,000 | 2010/06/17 | 2010/11/05 | 108.29         |
| 23070              | Macquarie Bank Ltd. - A50 China European Warrants Jan 2011 B | 200,000,000 | 2010/06/17 | 2011/01/24 | 35.40          |
| 23102              | RB of Scotland N.V. - Tencent Holdings European Wts Nov 10B  | 250,000,000 | 2010/06/17 | 2010/11/25 | 37.75          |
| 23071              | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2010 C | 120,000,000 | 2010/06/17 | 2010/10/25 | 21.60          |
| 23072              | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Nov 2010 A | 120,000,000 | 2010/06/17 | 2010/11/08 | 33.60          |
| 23073              | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Nov 2010 B | 120,000,000 | 2010/06/17 | 2010/11/29 | 31.20          |
| 23074              | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Dec 2010 A | 120,000,000 | 2010/06/17 | 2010/12/22 | 86.40          |
| 23075              | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Dec 2010 B | 120,000,000 | 2010/06/17 | 2010/12/22 | 46.80          |
| 23076              | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jan 2011 A | 120,000,000 | 2010/06/17 | 2011/01/24 | 70.80          |
| 23077              | SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jan 2011 B | 120,000,000 | 2010/06/17 | 2011/01/24 | 38.40          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 23094    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 10B  | 80,000,000  | 2010/06/17 | 2010/12/20 | 50.40          |
| 23095    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 10C  | 80,000,000  | 2010/06/17 | 2010/12/20 | 36.00          |
| 23078    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 11A  | 80,000,000  | 2010/06/17 | 2011/01/31 | 44.00          |
| 23096    | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 11B  | 80,000,000  | 2010/06/17 | 2011/01/24 | 28.00          |
| 23091    | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Oct 2010 | 300,000,000 | 2010/06/17 | 2010/10/11 | 45.00          |
| 23092    | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 10C  | 50,000,000  | 2010/06/17 | 2010/11/29 | 58.00          |
| 23093    | SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Feb 2011 | 300,000,000 | 2010/06/17 | 2011/02/23 | 75.00          |
| 23079    | SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Feb 2013   | 500,000,000 | 2010/06/17 | 2013/02/06 | 80.00          |
| 23097    | SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Dec 11 | 500,000,000 | 2010/06/17 | 2011/12/19 | 125.00         |
| 23080    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2010 B | 120,000,000 | 2010/06/17 | 2010/10/04 | 45.60          |
| 23081    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Nov 2010 A | 120,000,000 | 2010/06/17 | 2010/11/08 | 50.40          |
| 23082    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Nov 2010 B | 120,000,000 | 2010/06/17 | 2010/11/29 | 39.60          |
| 23083    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Dec 2010 B | 120,000,000 | 2010/06/17 | 2010/12/22 | 102.00         |
| 23084    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Dec 2010 C | 120,000,000 | 2010/06/17 | 2010/12/22 | 58.80          |
| 23085    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jan 2011 A | 120,000,000 | 2010/06/17 | 2011/01/24 | 84.00          |
| 23086    | SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jan 2011 B | 120,000,000 | 2010/06/17 | 2011/01/24 | 50.40          |
| 23101    | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 11B | 150,000,000 | 2010/06/17 | 2011/02/21 | 37.50          |
| 23098    | UBS AG - A50 China European Warrants Jan 2011                | 100,000,000 | 2010/06/17 | 2011/01/17 | 15.00          |
| 23099    | UBS AG - HKEx European Put Warrants May 2011                 | 200,000,000 | 2010/06/17 | 2011/05/16 | 50.00          |
| 23100    | UBS AG - Tencent Holdings European Put Warrants Apr 2011     | 200,000,000 | 2010/06/17 | 2011/04/11 | 50.00          |
| 21742*   | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 10  | 500,000,000 | 2010/06/17 | 2010/10/13 | 10.50          |
| 23117    | Barclays Bank plc - BOCL European Warrants Jan 2011          | 100,000,000 | 2010/06/18 | 2011/01/17 | 29.00          |
| 23121    | Barclays Bank plc - BOCL European Put Warrants Jan 2011      | 100,000,000 | 2010/06/18 | 2011/01/17 | 25.00          |
| 23114    | Barclays Bank plc - China Mobile European Wts Dec 2011       | 150,000,000 | 2010/06/18 | 2011/12/19 | 22.50          |
| 23113    | Barclays Bank plc - ChiUnicom European Warrants Jun 2011     | 100,000,000 | 2010/06/18 | 2011/06/13 | 15.00          |
| 23116    | Barclays Bank plc - China Life European Warrants Jan 2011    | 100,000,000 | 2010/06/18 | 2011/01/31 | 28.00          |
| 23119    | Barclays Bank plc - China Life European Put Wts Jan 2011     | 100,000,000 | 2010/06/18 | 2011/01/31 | 26.00          |
| 23120    | Barclays Bank plc - CM Bank European Put Warrants Jan 2011   | 100,000,000 | 2010/06/18 | 2011/01/31 | 16.00          |
| 23111    | Barclays Bank plc - Sinopec Corp European Wts Jan 2011       | 100,000,000 | 2010/06/18 | 2011/01/17 | 35.00          |
| 23115    | Barclays Bank plc - CPIC European Warrants Jan 2011          | 100,000,000 | 2010/06/18 | 2011/01/31 | 26.00          |
| 23118    | Barclays Bank plc - HSI European Warrants Dec 2010 B         | 150,000,000 | 2010/06/18 | 2010/12/30 | 39.00          |
| 23122    | Barclays Bank plc - HSI European Put Warrants Dec 2010 C     | 150,000,000 | 2010/06/18 | 2010/12/30 | 37.50          |
| 23123    | Barclays Bank plc - HSI European Put Warrants Dec 2010 D     | 150,000,000 | 2010/06/18 | 2010/12/30 | 37.50          |
| 23124    | Barclays Bank plc - HSI European Put Warrants Dec 2010 E     | 150,000,000 | 2010/06/18 | 2010/12/30 | 37.50          |
| 23125    | Barclays Bank plc - HSI European Put Warrants Dec 2010 F     | 150,000,000 | 2010/06/18 | 2010/12/30 | 37.50          |
| 23112    | Barclays Bank plc -Tencent Holdings European Warrants Dec10B | 150,000,000 | 2010/06/18 | 2010/12/06 | 22.50          |
| 23108    | BNP Paribas Arbit Issu B.V. - China Life Euro Wts Feb 2012   | 200,000,000 | 2010/06/18 | 2012/02/02 | 118.00         |
| 23109    | BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Nov 10 | 200,000,000 | 2010/06/18 | 2010/11/25 | 30.00          |
| 23138    | Credit Suisse AG - Tencent Holdings European Wts Nov 2010 B  | 300,000,000 | 2010/06/18 | 2010/11/22 | 45.00          |
| 23139    | Credit Suisse AG - Tencent Holdings European Wts Nov 2010 C  | 300,000,000 | 2010/06/18 | 2010/11/29 | 45.00          |
| 23140    | Credit Suisse AG - Tencent Holdings European Wts Dec 2010    | 300,000,000 | 2010/06/18 | 2010/12/20 | 75.00          |
| 23143    | Credit Suisse AG - Tencent Holdings European Put Wts Nov 10  | 300,000,000 | 2010/06/18 | 2010/11/08 | 45.00          |
| 23105    | HK Bank - China Mobile European Warrants Dec 2010            | 160,000,000 | 2010/06/18 | 2010/12/20 | 40.00          |
| 23106    | HK Bank - Datang Power European Warrants Dec 2010 B          | 80,000,000  | 2010/06/18 | 2010/12/20 | 20.00          |

# 主 板 認 股 權 證

| 股份<br>代號           | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|--------------------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|                    |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 23107              | HK Bank - Lenovo European Warrants Dec 2010                  | 120,000,000 | 2010/06/18 | 2010/12/20 | 30.00          |
| 23126              | Macquarie Bank Ltd. - Tencent European Warrants Jan 2011     | 300,000,000 | 2010/06/18 | 2011/01/04 | 75.90          |
| 23144              | CC Rabobank B.A. - BYD European Warrants May 2011            | 100,000,000 | 2010/06/18 | 2011/05/30 | 15.00          |
| 23128              | CC Rabobank B.A. - Ch LongYuan European Warrants Nov 2010    | 200,000,000 | 2010/06/18 | 2010/11/01 | 30.00          |
| 23137              | CC Rabobank B.A. - China COSCO European Warrants Sep 2011    | 200,000,000 | 2010/06/18 | 2011/09/29 | 50.00          |
| 23131              | CC Rabobank B.A. - China Life European Warrants Nov 2010 B   | 300,000,000 | 2010/06/18 | 2010/11/29 | 102.00         |
| 23129              | CC Rabobank B.A. - Ch Mobile European Warrants Oct 2010 C    | 200,000,000 | 2010/06/18 | 2010/10/07 | 62.00          |
| 23130              | CC Rabobank B.A. - Ch Mobile European Warrants Dec 2010 B    | 200,000,000 | 2010/06/18 | 2010/12/23 | 68.00          |
| 23145              | CC Rabobank B.A. - Mongolia Energy European Wts Dec 2010     | 100,000,000 | 2010/06/18 | 2010/12/30 | 25.00          |
| 23132              | CC Rabobank B.A. - Renhe Comm European Warrants Jan 2011     | 100,000,000 | 2010/06/18 | 2011/01/28 | 25.00          |
| 23133              | CC Rabobank B.A. - Shenzhen Invest European Warrants Dec 10  | 100,000,000 | 2010/06/18 | 2010/12/30 | 35.00          |
| 23134              | CC Rabobank B.A. - Shimao Ppt European Warrants Jan 2011     | 150,000,000 | 2010/06/18 | 2011/01/28 | 37.50          |
| 23135              | CC Rabobank B.A. - Tencent Holdings European Wts Nov 2010    | 300,000,000 | 2010/06/18 | 2010/11/25 | 45.00          |
| 23136              | CC Rabobank B.A. - Tencent Holdings European Put Wts Dec 10  | 300,000,000 | 2010/06/18 | 2010/12/30 | 75.00          |
| 23127              | CC Rabobank B.A. - Zijin Mining European Warrants May 2012   | 300,000,000 | 2010/06/18 | 2012/05/30 | 75.00          |
| 23141              | RB of Scotland N.V. - Tencent Holdings European Wts Nov 10C  | 250,000,000 | 2010/06/18 | 2010/11/22 | 42.50          |
| 23110              | UBS AG - Tencent Holdings European Warrants Oct 2010 B       | 200,000,000 | 2010/06/18 | 2010/10/25 | 30.00          |
| 23142              | UBS AG - Tencent Holdings European Warrants Nov 2010 B       | 200,000,000 | 2010/06/18 | 2010/11/22 | 30.00          |
| 23146              | UBS AG - Tencent Holdings European Put Warrants Nov 2010     | 200,000,000 | 2010/06/18 | 2010/11/08 | 30.00          |
| 23161              | Deutsche Bank AG - China Mobile European Warrants Oct 2012   | 300,000,000 | 2010/06/21 | 2012/10/30 | 45.00          |
| 23160              | Deutsche Bank AG - China Life European Warrants Dec 2010 B   | 300,000,000 | 2010/06/21 | 2010/12/28 | 147.00         |
| 23162              | Deutsche Bank AG - Tencent Holdings European Warrants Nov10C | 300,000,000 | 2010/06/21 | 2010/11/22 | 60.00          |
| 23157              | HK Bank - Tencent European Warrants Jan 2011                 | 120,000,000 | 2010/06/21 | 2011/01/20 | 30.00          |
| 23158              | HK Bank - Tencent European Put Warrants Oct 2010             | 120,000,000 | 2010/06/21 | 2010/10/28 | 18.00          |
| 23156              | J P Morgan SP BV - HKEx European Warrants Jun 2011           | 300,000,000 | 2010/06/21 | 2011/06/24 | 45.60          |
| 23147              | SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Oct 2012 | 800,000,000 | 2010/06/21 | 2012/10/29 | 120.00         |
| 23148              | SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Jan 2011 | 80,000,000  | 2010/06/21 | 2011/01/24 | 47.20          |
| 23149              | SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Feb 2011 | 80,000,000  | 2010/06/21 | 2011/02/21 | 39.20          |
| 23150              | SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2010 G | 250,000,000 | 2010/06/21 | 2010/12/30 | 62.50          |
| 23151              | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep 10C | 200,000,000 | 2010/06/21 | 2010/09/22 | 36.00          |
| 23152              | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Dec 10B | 200,000,000 | 2010/06/21 | 2010/12/06 | 38.00          |
| 23153              | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Dec 10C | 200,000,000 | 2010/06/21 | 2010/12/22 | 50.00          |
| 23159              | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Dec 10D | 200,000,000 | 2010/06/21 | 2010/12/20 | 30.00          |
| 23154              | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jan 11  | 200,000,000 | 2010/06/21 | 2011/01/19 | 62.00          |
| 23163              | UBS AG - China Mobile European Warrants Dec 2011             | 300,000,000 | 2010/06/21 | 2011/12/12 | 75.00          |
| 23164              | UBS AG - China Mobile European Warrants Jun 2012             | 300,000,000 | 2010/06/21 | 2012/06/25 | 75.00          |
| 23155              | UBS AG - China Mobile European Warrants Oct 2010 B           | 100,000,000 | 2010/06/21 | 2010/10/25 | 15.00          |
| 18984 <sup>#</sup> | Credit Suisse - Tencent Holdings European Warrants Oct 2010A | 300,000,000 | 2010/06/21 | 2010/10/25 | 24.90          |
| 18516 <sup>#</sup> | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep10 A | 300,000,000 | 2010/06/21 | 2010/09/06 | 18.90          |
| 23166              | KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Dec 10  | 150,000,000 | 2010/06/22 | 2010/12/22 | 37.50          |
| 23167              | KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Jan 11A | 150,000,000 | 2010/06/22 | 2011/01/11 | 37.50          |
| 23165              | RB of Scotland N.V. - HSI European Put Warrants Dec 2010     | 400,000,000 | 2010/06/22 | 2010/12/30 | 100.40         |
| 22872 <sup>#</sup> | Goldman Sachs SP (Asia) - Tencent Holdings European Wt Oct10 | 200,000,000 | 2010/06/22 | 2010/10/28 | 13.60          |
| 23173              | BOCI Asia Ltd. - BOCL European Warrants Dec 2010             | 100,000,000 | 2010/06/23 | 2010/12/23 | 25.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 23174    | BOCI Asia Ltd. - Dongfeng Motor European Warrants Mar 2011   | 100,000,000 | 2010/06/23 | 2011/03/28 | 15.00          |
| 23177    | BOCI Asia Ltd. - Hutchison European Warrants Jan 2011        | 100,000,000 | 2010/06/23 | 2011/01/28 | 25.00          |
| 23178    | BOCI Asia Ltd. - Shimao Ppt European Warrants Apr 2011       | 100,000,000 | 2010/06/23 | 2011/04/29 | 25.00          |
| 23179    | BOCI Asia Ltd. - Tencent Holdings European Warrants Nov 2010 | 100,000,000 | 2010/06/23 | 2010/11/29 | 20.00          |
| 23180    | Credit Suisse AG - CC Bank European Warrants Nov 2010 A      | 300,000,000 | 2010/06/23 | 2010/11/29 | 45.00          |
| 23181    | Credit Suisse AG - HSI European Warrants Sep 2010 B          | 300,000,000 | 2010/06/23 | 2010/09/29 | 45.00          |
| 23168    | Citigroup Global Mkt H Inc. - China Tel Euro Wts Dec 2010    | 80,000,000  | 2010/06/23 | 2010/12/23 | 20.00          |
| 23169    | Citigroup Global Mkt H Inc. - Hutchison Euro Wts Jun 2011    | 100,000,000 | 2010/06/23 | 2011/06/09 | 25.00          |
| 23183    | Deutsche Bank AG - Tencent Holdings European Put Wts Nov 10  | 200,000,000 | 2010/06/23 | 2010/11/01 | 30.00          |
| 23197    | KBC Fin Products Int'l Ltd. - BOCL Euro Put Wts Jan 2011     | 148,000,000 | 2010/06/23 | 2011/01/14 | 56.24          |
| 23184    | KBC Fin Products Int'l Ltd. - BOCL European Wts Dec 2010 B   | 188,000,000 | 2010/06/23 | 2010/12/23 | 71.44          |
| 23185    | KBC Fin Products Int'l Ltd. - BOCL European Wts Jan 2011 B   | 248,000,000 | 2010/06/23 | 2011/01/05 | 62.00          |
| 23186    | KBC Fin Products Int'l Ltd. - BOCL European Wts Jan 2011 C   | 268,000,000 | 2010/06/23 | 2011/01/19 | 67.00          |
| 23187    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Dec 2010 A    | 188,000,000 | 2010/06/23 | 2010/12/23 | 65.80          |
| 23188    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Dec 2010 B    | 208,000,000 | 2010/06/23 | 2010/12/28 | 54.08          |
| 23189    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Jan 2011 A    | 148,000,000 | 2010/06/23 | 2011/01/06 | 76.96          |
| 23190    | KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Jan 2011 B    | 248,000,000 | 2010/06/23 | 2011/01/03 | 62.00          |
| 23194    | KBC Fin Products Int'l Ltd. - China Life Euro Wts Dec 2010 B | 188,000,000 | 2010/06/23 | 2010/12/23 | 56.02          |
| 23195    | KBC Fin Products Int'l Ltd. - China Life Euro Wts Dec 2010 C | 268,000,000 | 2010/06/23 | 2010/12/28 | 67.00          |
| 23196    | KBC Fin Products Int'l Ltd. - China Life Euro Wts Jan 2011   | 288,000,000 | 2010/06/23 | 2011/01/20 | 72.00          |
| 23198    | KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Jan 2011 | 228,000,000 | 2010/06/23 | 2011/01/14 | 72.96          |
| 23191    | KBC Fin Products Int'l Ltd. - ICBC European Wts Dec 2010     | 148,000,000 | 2010/06/23 | 2010/12/23 | 53.28          |
| 23192    | KBC Fin Products Int'l Ltd. - ICBC European Wts Jan 2011 A   | 188,000,000 | 2010/06/23 | 2011/01/06 | 52.64          |
| 23193    | KBC Fin Products Int'l Ltd. - ICBC European Wts Jan 2011 B   | 188,000,000 | 2010/06/23 | 2011/01/17 | 47.00          |
| 23172    | CC Rabobank B.A. - HSI European Put Warrants Nov 2010        | 200,000,000 | 2010/06/23 | 2010/11/29 | 31.20          |
| 23170    | CC Rabobank B.A. - HSI European Warrants Dec 2010 C          | 200,000,000 | 2010/06/23 | 2010/12/30 | 50.00          |
| 23171    | CC Rabobank B.A. - HSI European Warrants Dec 2010 D          | 200,000,000 | 2010/06/23 | 2010/12/30 | 50.00          |
| 23175    | CC Rabobank B.A. - HSI European Put Warrants Dec 2010 E      | 200,000,000 | 2010/06/23 | 2010/12/30 | 50.00          |
| 23176    | CC Rabobank B.A. - HSI European Put Warrants Dec 2010 F      | 200,000,000 | 2010/06/23 | 2010/12/30 | 50.00          |
| 23182    | RB of Scotland N.V. - China Shenhua European Wts Dec 2010    | 150,000,000 | 2010/06/23 | 2010/12/31 | 39.60          |
| 23207    | Credit Suisse AG - Tencent Holdings European Wts Sep 2010 C  | 300,000,000 | 2010/06/24 | 2010/09/24 | 45.00          |
| 23208    | Credit Suisse AG - Tencent Holdings European Put Wts Jun 11  | 300,000,000 | 2010/06/24 | 2011/06/27 | 75.00          |
| 23209    | Deutsche Bank AG - Tencent Holdings European Warrants Dec 10 | 200,000,000 | 2010/06/24 | 2010/12/28 | 50.00          |
| 23199    | HK Bank - Fushan Energy European Warrants Dec 2010           | 120,000,000 | 2010/06/24 | 2010/12/24 | 30.00          |
| 23205    | Macquarie Bank Ltd. - Jiangxi Copper European Wts Jan 2011 B | 250,000,000 | 2010/06/24 | 2011/01/04 | 66.00          |
| 23206    | Macquarie Bank Ltd. - Tencent European Put Warrants Jul 2011 | 250,000,000 | 2010/06/24 | 2011/07/06 | 62.75          |
| 23200    | CC Rabobank B.A. - CC Bank European Warrants Dec 2010 B      | 120,000,000 | 2010/06/24 | 2010/12/21 | 30.00          |
| 23203    | CC Rabobank B.A. - Chaoda Modern European Warrants May 2011  | 200,000,000 | 2010/06/24 | 2011/05/30 | 50.00          |
| 23201    | CC Rabobank B.A. - China Life European Warrants Nov 2010 C   | 300,000,000 | 2010/06/24 | 2010/11/08 | 54.00          |
| 23202    | CC Rabobank B.A. - CNBM European Warrants Mar 2011           | 150,000,000 | 2010/06/24 | 2011/03/30 | 37.50          |
| 23204    | CC Rabobank B.A. - Geely Auto European Warrants Nov 2010     | 100,000,000 | 2010/06/24 | 2010/11/25 | 40.00          |
| 23210    | Citigroup Global Mkt H Inc. - HKEx European Put Wts May 2011 | 100,000,000 | 2010/06/25 | 2011/05/12 | 15.00          |
| 23215    | KBC Fin Products Int'l Ltd. - China Tel Euro Wts Feb 2011    | 80,000,000  | 2010/06/25 | 2011/02/08 | 32.00          |
| 23216    | KBC Fin Products Int'l Ltd. - China Tel Euro Wts Mar 2011    | 80,000,000  | 2010/06/25 | 2011/03/08 | 20.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 23217    | KBC Fin Products Int'l Ltd. - China Unicom Euro Wts Jun 2011 | 80,000,000  | 2010/06/25 | 2011/06/01 | 20.00          |
| 23214    | KBC Fin Products Int'l Ltd. - CNOOC European Wts Dec 2010 B  | 128,000,000 | 2010/06/25 | 2010/12/13 | 19.20          |
| 23220    | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Jun 2011       | 258,000,000 | 2010/06/25 | 2011/06/03 | 38.70          |
| 23224    | KBC Fin Products Int'l Ltd. - HKEx European Put Wts Mar 2011 | 108,000,000 | 2010/06/25 | 2011/03/15 | 18.36          |
| 23222    | KBC Fin Products Int'l Ltd. - HKEx European Warrants Jul 11A | 128,000,000 | 2010/06/25 | 2011/07/04 | 19.20          |
| 23223    | KBC Fin Products Int'l Ltd. - HKEx European Warrants Jul 11B | 128,000,000 | 2010/06/25 | 2011/07/18 | 19.20          |
| 23221    | KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Dec 2010    | 80,000,000  | 2010/06/25 | 2010/12/28 | 20.00          |
| 23225    | KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Dec 2010 | 80,000,000  | 2010/06/25 | 2010/12/28 | 35.20          |
| 23218    | KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Jan 11B | 150,000,000 | 2010/06/25 | 2011/01/03 | 37.50          |
| 23219    | KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Feb 11  | 150,000,000 | 2010/06/25 | 2011/02/01 | 39.00          |
| 23226    | KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Feb 11 | 150,000,000 | 2010/06/25 | 2011/02/01 | 37.50          |
| 23212    | Macquarie Bank Ltd. - CHALCO European Warrants Jul 2011      | 200,000,000 | 2010/06/25 | 2011/07/05 | 30.00          |
| 23211    | SGA Societe Generale Acceptance N.V. - HWL Eur Wt Dec 2010   | 100,000,000 | 2010/06/25 | 2010/12/29 | 34.00          |
| 23213    | SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Nov 10B | 200,000,000 | 2010/06/25 | 2010/11/22 | 32.00          |
| 20758*   | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Aug 10E | 200,000,000 | 2010/06/25 | 2010/08/30 | 11.00          |
| 23237    | BNP Paribas Arbit Issu B.V. - HSI European Wts Dec 2010      | 200,000,000 | 2010/06/28 | 2010/12/30 | 50.00          |
| 23238    | BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Oct 2010 B    | 200,000,000 | 2010/06/28 | 2010/10/28 | 44.00          |
| 23241    | BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2010 C    | 200,000,000 | 2010/06/28 | 2010/12/30 | 66.00          |
| 23235    | Credit Suisse AG - HSI European Put Warrants Oct 2010        | 300,000,000 | 2010/06/28 | 2010/10/28 | 45.00          |
| 23239    | Credit Suisse AG - HSI European Warrants Oct 2010 B          | 315,000,000 | 2010/06/28 | 2010/10/28 | 47.25          |
| 23236    | Credit Suisse AG - HSI European Put Warrants Nov 2010 B      | 315,000,000 | 2010/06/28 | 2010/11/29 | 47.25          |
| 23232    | Deutsche Bank AG - CC Bank European Warrants Dec 2010        | 300,000,000 | 2010/06/28 | 2010/12/28 | 156.00         |
| 23233    | Deutsche Bank AG - Sinopec Corp European Warrants Jul 2011   | 300,000,000 | 2010/06/28 | 2011/07/25 | 45.00          |
| 23234    | Deutsche Bank AG - HSBC European Warrants Apr 2011           | 300,000,000 | 2010/06/28 | 2011/04/26 | 45.00          |
| 23242    | RB of Scotland N.V. - HSI European Put Warrants Oct 2010     | 250,000,000 | 2010/06/28 | 2010/10/28 | 47.25          |
| 23240    | RB of Scotland N.V. - HSI European Warrants Nov 2010 D       | 250,000,000 | 2010/06/28 | 2010/11/29 | 44.75          |
| 23229    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec10H  | 300,000,000 | 2010/06/28 | 2010/12/30 | 75.00          |
| 23230    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec10I  | 300,000,000 | 2010/06/28 | 2010/12/30 | 75.00          |
| 23231    | SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec10J  | 300,000,000 | 2010/06/28 | 2010/12/30 | 93.00          |
| 23227    | UBS AG - Hutchison European Warrants Oct 2010                | 100,000,000 | 2010/06/28 | 2010/10/11 | 26.00          |
| 23228    | UBS AG - Tencent Holdings European Warrants Dec 2010 B       | 200,000,000 | 2010/06/28 | 2010/12/20 | 46.00          |
| 23253    | BOCI Asia Ltd. - BOCL European Put Warrants Jan 2011         | 100,000,000 | 2010/06/29 | 2011/01/27 | 25.00          |
| 23250    | BOCI Asia Ltd. - Sinopec Corp European Warrants Dec 2010     | 100,000,000 | 2010/06/29 | 2010/12/30 | 35.00          |
| 23252    | BOCI Asia Ltd. - Sinopharm European Warrants Jan 2011        | 100,000,000 | 2010/06/29 | 2011/01/14 | 25.00          |
| 23251    | BOCI Asia Ltd. - Yurun Food European Warrants Jan 2011       | 100,000,000 | 2010/06/29 | 2011/01/07 | 25.00          |
| 10979    | BNP Paribas Arbit Issu B.V. - Gold European Warrants May 11  | 200,000,000 | 2010/06/29 | 2011/05/06 | 50.00          |
| 10983    | BNP Paribas Arbit Issu B.V. - Gold European Put Wts May 2011 | 200,000,000 | 2010/06/29 | 2011/05/06 | 52.00          |
| 23269    | Credit Suisse AG - BYD European Warrants Dec 2010            | 300,000,000 | 2010/06/29 | 2010/12/23 | 45.00          |
| 23270    | Credit Suisse AG - BYD European Warrants May 2011            | 300,000,000 | 2010/06/29 | 2011/05/30 | 45.00          |
| 23271    | Credit Suisse AG - CC Bank European Warrants Nov 2010 B      | 300,000,000 | 2010/06/29 | 2010/11/15 | 45.00          |
| 23272    | Credit Suisse AG - China Mobile European Warrants Nov 2010 A | 300,000,000 | 2010/06/29 | 2010/11/29 | 174.00         |
| 23273    | Credit Suisse AG - China Mobile European Warrants Nov 2010 B | 300,000,000 | 2010/06/29 | 2010/11/29 | 60.00          |
| 23274    | Credit Suisse AG - CNOOC European Warrants Nov 2010          | 300,000,000 | 2010/06/29 | 2010/11/29 | 45.00          |
| 23275    | Credit Suisse AG - HSBC European Wts Nov 2010 B              | 300,000,000 | 2010/06/29 | 2010/11/15 | 45.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 23276    | Credit Suisse AG - HSBC European Wts Dec 2010 B              | 300,000,000 | 2010/06/29 | 2010/12/06 | 45.00          |
| 23277    | Credit Suisse AG - Hutchison European Warrants Nov 2010      | 300,000,000 | 2010/06/29 | 2010/11/08 | 45.00          |
| 23278    | Credit Suisse AG - ICBC European Warrants Nov 2010           | 300,000,000 | 2010/06/29 | 2010/11/15 | 78.00          |
| 23279    | Credit Suisse AG - Jiangxi Copper European Wts Nov 2010 C    | 300,000,000 | 2010/06/29 | 2010/11/08 | 45.00          |
| 23243    | Citigroup Global Mkt H Inc. - China Life Euro Wts Feb 2011   | 100,000,000 | 2010/06/29 | 2011/02/21 | 25.00          |
| 23244    | Citigroup Global Mkt H Inc. - A50 China Euro Wts Aug 2011    | 200,000,000 | 2010/06/29 | 2011/08/29 | 30.00          |
| 23259    | Deutsche Bank AG - Sinopec Corp European Warrants Oct 2010   | 200,000,000 | 2010/06/29 | 2010/10/11 | 42.00          |
| 23260    | Deutsche Bank AG - HKEx European Warrants Jul 2011           | 300,000,000 | 2010/06/29 | 2011/07/18 | 45.00          |
| 23261    | Deutsche Bank AG - HSBC European Put Warrants Dec 2011       | 200,000,000 | 2010/06/29 | 2011/12/28 | 32.00          |
| 23262    | Deutsche Bank AG - HSI European Warrants Dec 2010 D          | 300,000,000 | 2010/06/29 | 2010/12/30 | 75.00          |
| 23263    | Deutsche Bank AG - HSI European Warrants Dec 2010 E          | 300,000,000 | 2010/06/29 | 2010/12/30 | 78.00          |
| 23264    | Deutsche Bank AG - HSI European Put Warrants Dec 2010 F      | 300,000,000 | 2010/06/29 | 2010/12/30 | 75.00          |
| 23265    | Deutsche Bank AG - HSI European Put Warrants Dec 2010 G      | 300,000,000 | 2010/06/29 | 2010/12/30 | 78.00          |
| 23266    | Deutsche Bank AG - HSI European Put Warrants Dec 2010 H      | 300,000,000 | 2010/06/29 | 2010/12/30 | 81.00          |
| 23245    | J P Morgan SP BV - CITIC Bank European Warrants Dec 2010 B   | 80,000,000  | 2010/06/29 | 2010/12/29 | 26.80          |
| 23246    | J P Morgan SP BV - China Life European Warrants Dec 2010 B   | 100,000,000 | 2010/06/29 | 2010/12/29 | 25.00          |
| 23248    | J P Morgan SP BV - CNOOC European Warrants Jun 2011          | 200,000,000 | 2010/06/29 | 2011/06/29 | 50.00          |
| 23247    | J P Morgan SP BV - Chi Res Land European Warrants Dec 2010 B | 100,000,000 | 2010/06/29 | 2010/12/30 | 25.00          |
| 23249    | J P Morgan SP BV - Shimao Property European Wts Mar 2011     | 138,000,000 | 2010/06/29 | 2011/03/30 | 34.50          |
| 23268    | KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Feb 2011       | 200,000,000 | 2010/06/29 | 2011/02/01 | 50.00          |
| 23254    | CC Rabobank B.A. - BOCL European Warrants Nov 2010           | 120,000,000 | 2010/06/29 | 2010/11/08 | 38.40          |
| 23255    | CC Rabobank B.A. - CC Bank European Warrants Nov 2010        | 200,000,000 | 2010/06/29 | 2010/11/22 | 46.00          |
| 23256    | CC Rabobank B.A. - HKEx European Warrants Jun 2011           | 200,000,000 | 2010/06/29 | 2011/06/27 | 30.00          |
| 23257    | CC Rabobank B.A. - Hutchison European Warrants Nov 2010      | 200,000,000 | 2010/06/29 | 2010/11/08 | 30.00          |
| 23258    | CC Rabobank B.A. - Ping An European Warrants Dec 2010        | 100,000,000 | 2010/06/29 | 2010/12/30 | 42.00          |
| 23267    | UBS AG - HSI European Put Warrants Nov 2010 G                | 200,000,000 | 2010/06/29 | 2010/11/29 | 40.00          |
| 21616*   | UBS AG - CC Bank European Warrants Sep 2010 B                | 100,000,000 | 2010/06/29 | 2010/09/20 | 19.40          |
| 23280    | Citigroup Global Mkt H Inc. - HSBC European Wts Sep 2011     | 150,000,000 | 2010/06/30 | 2011/09/23 | 22.50          |
| 23307    | J P Morgan SP BV - Anhui Conch European Warrants Dec 2010 B  | 100,000,000 | 2010/06/30 | 2010/12/30 | 29.00          |
| 23306    | J P Morgan SP BV - CHALCO European Warrants Nov 2011         | 200,000,000 | 2010/06/30 | 2011/11/28 | 50.00          |
| 23310    | J P Morgan SP BV - CITIC Pacific Euro Wts Mar 2011           | 100,000,000 | 2010/06/30 | 2011/03/30 | 25.00          |
| 23308    | J P Morgan SP BV - CNBM European Warrants Dec 2010           | 100,000,000 | 2010/06/30 | 2010/12/30 | 25.00          |
| 23309    | J P Morgan SP BV - Ch Railway Cons European Warrants Jun11B  | 180,000,000 | 2010/06/30 | 2011/06/29 | 45.00          |
| 23311    | J P Morgan SP BV - Dongfeng Motor European Warrants Mar 2011 | 180,000,000 | 2010/06/30 | 2011/03/30 | 45.00          |
| 23331    | J P Morgan SP BV - Hutchison European Warrants Dec 2010 B    | 100,000,000 | 2010/06/30 | 2010/12/30 | 29.00          |
| 23312    | J P Morgan SP BV - PetroChina European Warrants Apr 2011     | 300,000,000 | 2010/06/30 | 2011/04/21 | 45.00          |
| 23313    | J P Morgan SP BV - Sinopharm European Warrants Dec 2010      | 88,000,000  | 2010/06/30 | 2010/12/30 | 24.20          |
| 23326    | KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Dec 2010     | 128,000,000 | 2010/06/30 | 2010/12/30 | 38.40          |
| 23325    | KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Oct 2010 G   | 128,000,000 | 2010/06/30 | 2010/10/18 | 35.84          |
| 23330    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Jan 2011      | 200,000,000 | 2010/06/30 | 2011/01/28 | 50.00          |
| 23322    | KBC Fin Products Int'l Ltd. - HSI European Wts Dec 2010 E    | 200,000,000 | 2010/06/30 | 2010/12/30 | 50.00          |
| 23323    | KBC Fin Products Int'l Ltd. - HSI European Wts Dec 2010 F    | 200,000,000 | 2010/06/30 | 2010/12/30 | 50.00          |
| 23324    | KBC Fin Products Int'l Ltd. - HSI European Wts Dec 2010 G    | 200,000,000 | 2010/06/30 | 2010/12/30 | 50.00          |
| 23327    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Dec 2010 H    | 200,000,000 | 2010/06/30 | 2010/12/30 | 50.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證                                                         | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|-------------|------------|------------|----------------|
|          |                                                              | 發行單位        | 上市日期       | 到期日        |                |
| 23328    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Dec 2010 I    | 200,000,000 | 2010/06/30 | 2010/12/30 | 50.00          |
| 23329    | KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Dec 2010 J    | 200,000,000 | 2010/06/30 | 2010/12/30 | 54.00          |
| 23301    | Macquarie Bank Ltd. - Anta Sports European Warrants Apr 2011 | 200,000,000 | 2010/06/30 | 2011/04/28 | 50.00          |
| 23302    | Macquarie Bank Ltd. - Jiangxi Copper European Wts Feb 2011   | 200,000,000 | 2010/06/30 | 2011/02/02 | 50.60          |
| 23303    | Macquarie Bank Ltd. - Shimao Ppt European Warrants Jun 2011  | 200,000,000 | 2010/06/30 | 2011/06/23 | 50.20          |
| 23290    | Nomura Int'l plc - Air China European Warrants Dec 2010      | 200,000,000 | 2010/06/30 | 2010/12/31 | 30.20          |
| 23291    | Nomura Int'l plc - Air China European Warrants Jun 2011      | 150,000,000 | 2010/06/30 | 2011/06/30 | 37.65          |
| 23299    | Nomura Int'l plc - BOC HK European Warrants Dec 2010         | 100,000,000 | 2010/06/30 | 2010/12/31 | 15.00          |
| 23294    | Nomura Int'l plc - China Mobile European Warrants Dec 2010   | 50,000,000  | 2010/06/30 | 2010/12/31 | 48.95          |
| 23295    | Nomura Int'l plc - China Mobile European Warrants Dec 2011   | 200,000,000 | 2010/06/30 | 2011/12/05 | 41.00          |
| 23296    | Nomura Int'l plc - China Mobile European Put Warrants Dec 11 | 200,000,000 | 2010/06/30 | 2011/12/30 | 50.00          |
| 23288    | Nomura Int'l plc - Cheung Kong European Warrants Dec 2012    | 100,000,000 | 2010/06/30 | 2012/12/31 | 25.00          |
| 23289    | Nomura Int'l plc - China Life European Warrants Sep 2010 C   | 100,000,000 | 2010/06/30 | 2010/09/30 | 15.50          |
| 23300    | Nomura Int'l plc - CNOOC European Warrants Mar 2011          | 100,000,000 | 2010/06/30 | 2011/03/31 | 15.00          |
| 23286    | Nomura Int'l plc - Sinopec European Warrants Jul 2011        | 100,000,000 | 2010/06/30 | 2011/07/29 | 15.00          |
| 23298    | Nomura Int'l plc - A50 China European Warrants Nov 2010      | 200,000,000 | 2010/06/30 | 2010/11/22 | 30.00          |
| 23297    | Nomura Int'l plc - A50 China European Warrants Jun 2011      | 200,000,000 | 2010/06/30 | 2011/06/30 | 50.00          |
| 23281    | Nomura Int'l plc - HSBC European Warrants Jan 2013           | 100,000,000 | 2010/06/30 | 2013/01/31 | 15.00          |
| 23285    | Nomura Int'l plc - JIANG European Warrants Dec 2010 B        | 100,000,000 | 2010/06/30 | 2010/12/31 | 25.00          |
| 23287    | Nomura Int'l plc - PetroChina European Warrants Apr 2011     | 100,000,000 | 2010/06/30 | 2011/04/29 | 15.00          |
| 23283    | Nomura Int'l plc - SHK P European Warrants Feb 2011          | 100,000,000 | 2010/06/30 | 2011/02/28 | 15.00          |
| 23282    | Nomura Int'l plc - SHK P European Warrants Jun 2011          | 100,000,000 | 2010/06/30 | 2011/06/30 | 25.00          |
| 23284    | Nomura Int'l plc - SHK P European Warrants Oct 2011          | 100,000,000 | 2010/06/30 | 2011/10/31 | 18.50          |
| 23292    | Nomura Int'l plc - Tencent Holdings European Warrants Dec10C | 100,000,000 | 2010/06/30 | 2010/12/31 | 25.00          |
| 23293    | Nomura Int'l plc - Tencent Holdings European Warrants Mar 11 | 100,000,000 | 2010/06/30 | 2011/03/31 | 25.00          |
| 23315    | CC Rabobank B.A. - Ch Mobile European Warrants Oct 2011      | 200,000,000 | 2010/06/30 | 2011/10/11 | 32.00          |
| 23314    | CC Rabobank B.A. - China Life European Put Warrants Nov 2010 | 150,000,000 | 2010/06/30 | 2010/11/08 | 31.50          |
| 23316    | CC Rabobank B.A. - Tencent Holdings European Wts Dec 2010 A  | 200,000,000 | 2010/06/30 | 2010/12/15 | 40.00          |
| 23317    | CC Rabobank B.A. - Tencent Holdings European Wts Dec 2010 B  | 200,000,000 | 2010/06/30 | 2010/12/15 | 32.00          |
| 23318    | CC Rabobank B.A. - Tencent Holdings European Put Wts Jun 11  | 200,000,000 | 2010/06/30 | 2011/06/29 | 50.00          |
| 23304    | SGA Societe Generale Acceptance N.V. - Petch Eu Wt Nov 2011  | 200,000,000 | 2010/06/30 | 2011/11/21 | 30.00          |
| 23319    | UBS AG - CC Bank European Warrants Nov 2010                  | 100,000,000 | 2010/06/30 | 2010/11/18 | 15.00          |
| 23305    | UBS AG - CNOOC European Warrants Nov 2010 B                  | 100,000,000 | 2010/06/30 | 2010/11/29 | 15.00          |
| 23321    | UBS AG - HSBC European Put Warrants Dec 2011                 | 200,000,000 | 2010/06/30 | 2011/12/12 | 30.00          |
| 23320    | UBS AG - Hutchison European Warrants Nov 2010                | 100,000,000 | 2010/06/30 | 2010/11/08 | 15.00          |
| 總 額      |                                                              |             |            |            | 64,484.98      |

# 進一步發行

# 主板 債務證券

## 債券交易統計

|      |     | 成交金額         |            |
|------|-----|--------------|------------|
|      |     | 外匯基金票據(百萬港元) | 市場總值(百萬港元) |
| 2009 | 第2季 | —            | 0.51       |
|      | 第3季 | —            | 0.36       |
|      | 第4季 | —            | 0.16       |
| 2010 | 第1季 | —            | 0.21       |
|      | 第2季 | —            | 1.22       |

## 債券面值

|      |     | 發行數目 | 面值(百萬港元)   |
|------|-----|------|------------|
| 2009 | 第2季 | 167  | 395,723.72 |
|      | 第3季 | 166  | 398,047.03 |
|      | 第4季 | 157  | 391,908.38 |
| 2010 | 第1季 | 160  | 410,829.55 |
|      | 第2季 | 158  | 426,338.89 |

## 新上市統計—債券

|      |     | 新上市債券數目 | 集資金額(百萬港元) |
|------|-----|---------|------------|
| 2009 | 第2季 | 5       | 12,295.05  |
|      | 第3季 | 8       | 19,782.43  |
|      | 第4季 | 6       | 9,996.64   |
| 2010 | 第1季 | 9       | 30,996.63  |
|      | 第2季 | 6       | 28,133.65  |

# 主板 債務證券

## 新上市債券

2010年第2季

| 股份<br>代號 | 債券                                             | 發行本金 |               | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|------------------------------------------------|------|---------------|------------|------------|----------------|
| 04319    | PB Issuer (No.2) Ltd. 1.75%有擔保<br>債券2016年      | USD  | 230,000,000   | 2010/04/13 | 2016/04/12 | 1,794.00       |
| 04316 #  | 中國銀行(香港)有限公司5.55%<br>後償票據2020年                 | USD  | 900,000,000   | 2010/04/20 | 2020/02/11 | 6,986.38       |
| 04320    | Axiata SPV1 (Labuan) Ltd. 5.375%<br>有擔保票據2020年 | USD  | 300,000,000   | 2010/04/29 | 2020/04/28 | 2,338.57       |
| 04203 #  | 中華人民共和國香港特別行政區<br>政府2.93%債券2020年               | HKD  | 3,000,000,000 | 2010/05/06 | 2020/01/13 | 2,999.10       |
| 04002    | 香港金融管理局0.72%外匯基金<br>票據2012年                    | HKD  | 1,200,000,000 | 2010/05/18 | 2012/05/17 | 1,202.52       |
| 04321    | 1 Malaysia Sukuk Global Berhad<br>信託證明書2015年   | USD  | 1,250,000,000 | 2010/06/07 | 2015/06/04 | 9,750.00       |
| 04178 #  | 香港金融管理局2.88%外匯基金<br>票據2013年                    | HKD  | 1,200,000,000 | 2010/06/14 | 2013/06/17 | 1,260.96       |
| 04003    | 香港金融管理局1.65%外匯基金<br>票據2015年                    | HKD  | 1,000,000,000 | 2010/06/15 | 2015/06/15 | 997.40         |
| 04004    | 香港金融管理局2.53%外匯基<br>金票據2020年                    | HKD  | 800,000,000   | 2010/06/22 | 2020/06/22 | 804.72         |
| 總額       |                                                |      |               |            |            | 28,133.65      |

# 主板 單位信託及互惠基金

## 單位信託及互惠基金交易統計

|      |     | 交易所<br>買賣基金<br>(ETF)<br>(百萬港元) | 房地產投資<br>信託基金<br>(REITs)<br>(百萬港元) | 其他<br>(百萬港元) | 合計<br>(百萬港元) | 佔市場<br>百分比<br>(百萬港元) |
|------|-----|--------------------------------|------------------------------------|--------------|--------------|----------------------|
| 2009 | 第2季 | 107,216.84                     | 14,023.80                          | 297.04       | 121,537.68   | 2.79                 |
|      | 第3季 | 167,985.99                     | 11,199.87                          | 233.84       | 179,419.70   | 4.16                 |
|      | 第4季 | 121,814.83                     | 10,126.33                          | 339.11       | 132,280.28   | 3.23                 |
| 2010 | 第1季 | 108,553.03                     | 9,219.80                           | 147.40       | 117,920.23   | 3.01                 |
|      | 第2季 | 136,150.74                     | 10,809.77                          | 176.89       | 147,137.40   | 3.94                 |

註： 1. 只包括港元之成交金額。

## 單位信託及互惠基金市值

|      |     | 交易所<br>買賣基金<br>(ETF)<br>(百萬港元) |            | 房地產投資<br>信託基金<br>(REIT)<br>(百萬港元) |           | 其他<br>(百萬港元) |          | 合計<br>(百萬港元) |            |
|------|-----|--------------------------------|------------|-----------------------------------|-----------|--------------|----------|--------------|------------|
|      |     | 發行數目                           |            | 發行數目                              |           | 發行數目         |          | 發行數目         |            |
| 2009 | 第2季 | 30                             | 403,231.67 | 7                                 | 58,858.17 | 2            | 2,436.01 | 39           | 464,525.86 |
|      | 第3季 | 37                             | 435,197.50 | 7                                 | 65,764.70 | 2            | 2,603.37 | 46           | 503,565.57 |
|      | 第4季 | 43                             | 496,812.50 | 7                                 | 73,818.20 | 2            | 3,038.50 | 52           | 573,669.20 |
| 2010 | 第1季 | 61                             | 501,813.45 | 7                                 | 76,649.30 | 2            | 2,915.77 | 70           | 581,378.53 |
|      | 第2季 | 62                             | 584,399.19 | 8                                 | 82,806.33 | 2            | 2,617.20 | 72           | 669,822.73 |

## 新上市統計－單位信託及互惠基金

|      |     | 新上市單位信託<br>及互惠基金數目 | 集資金額(百萬港元) |
|------|-----|--------------------|------------|
| 2009 | 第2季 | 6                  | —          |
|      | 第3季 | 7                  | —          |
|      | 第4季 | 6                  | —          |
| 2010 | 第1季 | 18                 | —          |
|      | 第2季 | 2                  | —          |

# 主板 單位信託及互惠基金

## 新上市單位信託及互惠基金

2010年第2季

| 股份代號  | 單位信託及互惠基金          | 基金經理                                                      | 發行價<br>(港元) | 集資金額<br>(百萬港元) | 上市日期       |
|-------|--------------------|-----------------------------------------------------------|-------------|----------------|------------|
| 00778 | 置富產業信託             | ARA Asset Management (Fortune) Ltd.                       | n.a.        | n.a.           | 2010/04/20 |
| 02818 | 普盛 CSI RAFI 50 ETF | Ping An of China Asset Management<br>(Hong Kong) Co. Ltd. | n.a.        | n.a.           | 2010/05/07 |



# 主板 可收回牛熊證

## 牛熊證交易統計

|      |     | 數目    | 牛熊證市價總值<br>(百萬港元) | 交易總額<br>(百萬港元) |
|------|-----|-------|-------------------|----------------|
| 2009 | 第2季 | 1,620 | 153,963.94        | 470,890.15     |
|      | 第3季 | 1,893 | 145,788.56        | 434,251.62     |
|      | 第4季 | 1,692 | 91,190.57         | 419,558.61     |
| 2010 | 第1季 | 1,331 | 60,652.40         | 290,147.62     |
|      | 第2季 | 1,020 | 43,060.09         | 299,639.52     |

## 新上市牛熊證統計

|      |     | 新上市牛熊證數目 | 集資金額(百萬港元) |
|------|-----|----------|------------|
| 2009 | 第2季 | 2,057    | 140,519.83 |
|      | 第3季 | 2,333    | 133,733.23 |
|      | 第4季 | 2,054    | 115,888.51 |
| 2010 | 第1季 | 1,886    | 103,866.53 |
|      | 第2季 | 1,473    | 74,636.30  |

# 主板 可收回牛熊證

## 新上市牛熊證

2010年第2季

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61275    | BOCI Asia Ltd. - HSI R Bull CBBC Jul 2010 A                   | 100,000,000  | 2010/04/01 | 2010/07/29 | 25.00          |
| 61280    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 D                 | 220,000,000  | 2010/04/01 | 2010/09/29 | 55.00          |
| 61285    | Deutsche Bank AG - China Life R Bear CBBC Aug 2010 E          | 150,000,000  | 2010/04/01 | 2010/08/02 | 43.50          |
| 61277    | Deutsche Bank AG - HSI R Bull CBBC Aug 2010 V                 | 300,000,000  | 2010/04/01 | 2010/08/30 | 75.00          |
| 61278    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 Q                 | 300,000,000  | 2010/04/01 | 2010/09/29 | 81.00          |
| 61282    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 R                 | 300,000,000  | 2010/04/01 | 2010/09/29 | 75.00          |
| 61286    | RB of Scotland N.V. - HSI R Bear CBBC Jul 2010 D              | 250,000,000  | 2010/04/01 | 2010/07/29 | 62.50          |
| 61287    | RB of Scotland N.V. - HSI R Bear CBBC Jul 2010 E              | 250,000,000  | 2010/04/01 | 2010/07/29 | 62.50          |
| 61281    | UBS AG - HKEx R Bear CBBC Jul 2010 A                          | 200,000,000  | 2010/04/01 | 2010/07/26 | 50.00          |
| 61279    | UBS AG - HSI R Bull CBBC Jul 2010 Y                           | 300,000,000  | 2010/04/01 | 2010/07/29 | 75.00          |
| 61283    | UBS AG - HSI R Bear CBBC Jul 2010 R                           | 300,000,000  | 2010/04/01 | 2010/07/29 | 75.00          |
| 61284    | UBS AG - HSI R Bear CBBC Sep 2010 I                           | 300,000,000  | 2010/04/01 | 2010/09/29 | 75.00          |
| 61293    | Deutsche Bank AG - HSI R Bull CBBC Aug 2010 Q                 | 300,000,000  | 2010/04/07 | 2010/08/30 | 75.00          |
| 61292    | Deutsche Bank AG - HSI R Bear CBBC Aug 2010 X                 | 300,000,000  | 2010/04/07 | 2010/08/30 | 75.00          |
| 61291    | HK Bank - Sinopec Corp R Bull CBBC Jul 2010 B                 | 180,000,000  | 2010/04/07 | 2010/07/09 | 45.00          |
| 61298    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2010 T      | 128,000,000  | 2010/04/07 | 2010/07/29 | 32.00          |
| 61299    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2010 S      | 128,000,000  | 2010/04/07 | 2010/08/30 | 32.00          |
| 61288    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10Q | 300,000,000  | 2010/04/07 | 2010/08/30 | 75.00          |
| 61294    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10R | 300,000,000  | 2010/04/07 | 2010/08/30 | 75.00          |
| 61290    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10J | 300,000,000  | 2010/04/07 | 2010/07/29 | 75.00          |
| 61289    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10O | 300,000,000  | 2010/04/07 | 2010/07/29 | 75.00          |
| 61295    | UBS AG - BYD Company R Bull CBBC Aug 2010 E                   | 100,000,000  | 2010/04/07 | 2010/08/16 | 25.00          |
| 61296    | UBS AG - HSI R Bull CBBC Aug 2010 P                           | 300,000,000  | 2010/04/07 | 2010/08/30 | 75.00          |
| 61297    | UBS AG - HSI R Bear CBBC Sep 2010 J                           | 300,000,000  | 2010/04/07 | 2010/09/29 | 75.00          |
| 61308    | Deutsche Bank AG - A50 China R Bear CBBC Aug 2010 A           | 150,000,000  | 2010/04/08 | 2010/08/23 | 43.50          |
| 61304    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 D                 | 300,000,000  | 2010/04/08 | 2010/10/28 | 75.00          |
| 61305    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 S                 | 300,000,000  | 2010/04/08 | 2010/09/29 | 75.00          |
| 61306    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 F                 | 200,000,000  | 2010/04/08 | 2010/09/29 | 50.00          |
| 61307    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 G                 | 200,000,000  | 2010/04/08 | 2010/09/29 | 50.00          |
| 61300    | UBS AG - BOCL R Bull CBBC Jul 2010 G                          | 100,000,000  | 2010/04/08 | 2010/07/19 | 25.00          |
| 61302    | UBS AG - A50 China R Bull CBBC Jul 2010 F                     | 200,000,000  | 2010/04/08 | 2010/07/12 | 50.00          |
| 61303    | UBS AG - HSI R Bull CBBC Sep 2010 M                           | 300,000,000  | 2010/04/08 | 2010/09/29 | 75.00          |
| 61301    | UBS AG - TCH R Bull CBBC Aug 2010 C                           | 100,000,000  | 2010/04/08 | 2010/08/09 | 25.00          |
| 61310    | Credit Suisse AG - Sinopec Corp R Bull CBBC Aug 2010 A        | 100,000,000  | 2010/04/09 | 2010/08/23 | 25.00          |
| 61314    | Credit Suisse AG - Sinopec Corp R Bear CBBC Aug 2010 B        | 100,000,000  | 2010/04/09 | 2010/08/23 | 25.00          |
| 61311    | Credit Suisse AG - A50 China R Bull CBBC Aug 2010 B           | 100,000,000  | 2010/04/09 | 2010/08/23 | 25.00          |
| 61312    | Credit Suisse AG - HSI R Bull CBBC Aug 2010 P                 | 220,000,000  | 2010/04/09 | 2010/08/30 | 55.00          |
| 61313    | Credit Suisse AG - HSI R Bull CBBC Aug 2010 Q                 | 220,000,000  | 2010/04/09 | 2010/08/30 | 55.00          |
| 61315    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 R                 | 220,000,000  | 2010/04/09 | 2010/08/30 | 55.00          |
| 61322    | Deutsche Bank AG - China Life R Bull CBBC Aug 2010 F          | 150,000,000  | 2010/04/09 | 2010/08/23 | 42.00          |
| 61323    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 T                 | 300,000,000  | 2010/04/09 | 2010/09/29 | 81.00          |
| 61324    | Deutsche Bank AG - HSI R Bear CBBC Aug 2010 Z                 | 300,000,000  | 2010/04/09 | 2010/08/30 | 75.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61319    | RB of Scotland N.V. - China Mobile R Bear CBBC Aug 2010 A     | 80,000,000   | 2010/04/09 | 2010/08/16 | 20.00          |
| 61316    | RB of Scotland N.V. - DJIA R Bull CBBC Sep 2010 D             | 40,000,000   | 2010/04/09 | 2010/09/17 | 10.00          |
| 61318    | RB of Scotland N.V. - A50 China R Bull CBBC Aug 2010 A        | 80,000,000   | 2010/04/09 | 2010/08/09 | 20.00          |
| 61317    | RB of Scotland N.V. - Nikkei 225 R Bull CBBC Sep 2010 C       | 40,000,000   | 2010/04/09 | 2010/09/10 | 10.00          |
| 61320    | UBS AG - China Life R Bull CBBC Jul 2010 F                    | 200,000,000  | 2010/04/09 | 2010/07/19 | 50.00          |
| 61321    | UBS AG - HSI R Bull CBBC Aug 2010 M                           | 300,000,000  | 2010/04/09 | 2010/08/30 | 75.00          |
| 61309    | UBS AG - HSI R Bear CBBC Nov 2010 G                           | 400,000,000  | 2010/04/09 | 2010/11/29 | 100.00         |
| 61332    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 U                 | 300,000,000  | 2010/04/12 | 2010/09/29 | 75.00          |
| 61334    | RB of Scotland N.V. - COVS R Bull CBBC Aug 2010 A             | 80,000,000   | 2010/04/12 | 2010/08/09 | 20.00          |
| 61337    | RB of Scotland N.V. - COVS R Bear CBBC Aug 2010 B             | 80,000,000   | 2010/04/12 | 2010/08/09 | 25.20          |
| 61336    | RB of Scotland N.V. - CC Bank R Bull CBBC Aug 2010 A          | 80,000,000   | 2010/04/12 | 2010/08/23 | 20.00          |
| 61338    | RB of Scotland N.V. - Jiangxi Copper R Bull CBBC Aug 2010 A   | 80,000,000   | 2010/04/12 | 2010/08/16 | 20.08          |
| 61335    | RB of Scotland N.V. - SHK Ppt R Bull CBBC Jul 2010 C          | 80,000,000   | 2010/04/12 | 2010/07/19 | 20.00          |
| 61339    | RB of Scotland N.V. - SHK Ppt R Bear CBBC Jul 2010 D          | 80,000,000   | 2010/04/12 | 2010/07/19 | 20.00          |
| 61325    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10M | 300,000,000  | 2010/04/12 | 2010/09/29 | 75.00          |
| 61326    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10N | 300,000,000  | 2010/04/12 | 2010/09/29 | 75.00          |
| 61327    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10O | 300,000,000  | 2010/04/12 | 2010/09/29 | 75.00          |
| 61328    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10I | 300,000,000  | 2010/04/12 | 2010/07/29 | 75.00          |
| 61330    | UBS AG - HSCEI R Bull CBBC Aug 2010 A                         | 200,000,000  | 2010/04/12 | 2010/08/30 | 50.00          |
| 61331    | UBS AG - HSCEI R Bull CBBC Sep 2010 A                         | 200,000,000  | 2010/04/12 | 2010/09/29 | 50.00          |
| 61329    | UBS AG - HSI R Bull CBBC Jul 2010 N                           | 300,000,000  | 2010/04/12 | 2010/07/29 | 75.00          |
| 61333    | UBS AG - HSI R Bear CBBC Jul 2010 T                           | 300,000,000  | 2010/04/12 | 2010/07/29 | 75.00          |
| 61342    | Credit Suisse AG - HSI R Bull CBBC Aug 2010 S                 | 195,000,000  | 2010/04/13 | 2010/08/30 | 48.75          |
| 61343    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 A                 | 190,000,000  | 2010/04/13 | 2010/10/28 | 47.50          |
| 61354    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 E                 | 300,000,000  | 2010/04/13 | 2010/10/28 | 75.00          |
| 61358    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 F                 | 300,000,000  | 2010/04/13 | 2010/10/28 | 90.00          |
| 61340    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2010 U      | 128,000,000  | 2010/04/13 | 2010/07/29 | 32.00          |
| 61341    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2010 T      | 128,000,000  | 2010/04/13 | 2010/08/30 | 32.00          |
| 61352    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 H                 | 200,000,000  | 2010/04/13 | 2010/09/29 | 50.00          |
| 61353    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 I                 | 200,000,000  | 2010/04/13 | 2010/09/29 | 50.00          |
| 61348    | UBS AG - China Mobile R Bear CBBC Sep 2010 A                  | 200,000,000  | 2010/04/13 | 2010/09/27 | 50.00          |
| 61344    | UBS AG - CC Bank R Bull CBBC Aug 2010 A                       | 100,000,000  | 2010/04/13 | 2010/08/16 | 25.00          |
| 61345    | UBS AG - China Life R Bull CBBC Aug 2010 A                    | 200,000,000  | 2010/04/13 | 2010/08/23 | 50.00          |
| 61346    | UBS AG - China Life R Bear CBBC Oct 2010 A                    | 200,000,000  | 2010/04/13 | 2010/10/18 | 98.00          |
| 61347    | UBS AG - China Life R Bear CBBC Oct 2010 B                    | 200,000,000  | 2010/04/13 | 2010/10/18 | 118.00         |
| 61349    | UBS AG - HKEx R Bear CBBC Oct 2010 A                          | 200,000,000  | 2010/04/13 | 2010/10/11 | 56.00          |
| 61351    | UBS AG - HSI R Bull CBBC Nov 2010 H                           | 300,000,000  | 2010/04/13 | 2010/11/29 | 75.00          |
| 61350    | UBS AG - ICBC R Bull CBBC Aug 2010 A                          | 100,000,000  | 2010/04/13 | 2010/08/16 | 25.00          |
| 61360    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2010 A      | 200,000,000  | 2010/04/14 | 2010/10/28 | 50.00          |
| 61361    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2010 A      | 200,000,000  | 2010/04/14 | 2010/11/29 | 50.00          |
| 61397    | Credit Suisse AG - China Mobile R Bull CBBC Sep 2010 B        | 100,000,000  | 2010/04/14 | 2010/09/27 | 25.00          |
| 61398    | Credit Suisse AG - A50 China R Bear CBBC Sep 2010 A           | 100,000,000  | 2010/04/14 | 2010/09/27 | 25.00          |
| 61399    | Credit Suisse AG - HSBC R Bear CBBC Sep 2010 B                | 100,000,000  | 2010/04/14 | 2010/09/27 | 25.00          |
| 61400    | Credit Suisse AG - HSBC R Bear CBBC Oct 2010 A                | 100,000,000  | 2010/04/14 | 2010/10/25 | 25.00          |
| 61395    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 E                 | 220,000,000  | 2010/04/14 | 2010/09/29 | 55.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                         | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|-------------------------------------------------------------|--------------|------------|------------|----------------|
| 61396    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 B               | 190,000,000  | 2010/04/14 | 2010/10/28 | 47.50          |
| 61401    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 F               | 220,000,000  | 2010/04/14 | 2010/09/29 | 55.00          |
| 61402    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 C               | 195,000,000  | 2010/04/14 | 2010/10/28 | 48.75          |
| 61403    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 W               | 300,000,000  | 2010/04/14 | 2010/09/29 | 78.00          |
| 61404    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 X               | 300,000,000  | 2010/04/14 | 2010/09/29 | 75.00          |
| 61405    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 H               | 300,000,000  | 2010/04/14 | 2010/10/28 | 84.00          |
| 61362    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 V               | 300,000,000  | 2010/04/14 | 2010/09/29 | 75.00          |
| 61363    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 G               | 300,000,000  | 2010/04/14 | 2010/10/28 | 78.00          |
| 61406    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 I               | 300,000,000  | 2010/04/14 | 2010/10/28 | 87.00          |
| 61407    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 A               | 300,000,000  | 2010/04/14 | 2010/11/29 | 78.00          |
| 61408    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 B               | 300,000,000  | 2010/04/14 | 2010/11/29 | 99.00          |
| 61409    | Deutsche Bank AG - HSI R Bear CBBC Dec 2010 A               | 300,000,000  | 2010/04/14 | 2010/12/30 | 75.00          |
| 61410    | Deutsche Bank AG - HSI R Bear CBBC Dec 2010 B               | 300,000,000  | 2010/04/14 | 2010/12/30 | 75.00          |
| 61372    | HK Bank - Sinopec Corp R Bull CBBC Oct 2010 A               | 160,000,000  | 2010/04/14 | 2010/10/15 | 40.00          |
| 61373    | HK Bank - Sinopec Corp R Bull CBBC Oct 2010 B               | 160,000,000  | 2010/04/14 | 2010/10/15 | 40.00          |
| 61374    | HK Bank - HSI R Bull CBBC Sep 2010 E                        | 120,000,000  | 2010/04/14 | 2010/09/29 | 30.00          |
| 61375    | HK Bank - HSI R Bull CBBC Sep 2010 F                        | 180,000,000  | 2010/04/14 | 2010/09/29 | 45.00          |
| 61418    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2010 U    | 128,000,000  | 2010/04/14 | 2010/08/30 | 32.00          |
| 61419    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2010 V    | 128,000,000  | 2010/04/14 | 2010/08/30 | 32.00          |
| 61420    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2010 W    | 128,000,000  | 2010/04/14 | 2010/08/30 | 32.00          |
| 61421    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 C    | 158,000,000  | 2010/04/14 | 2010/09/29 | 39.50          |
| 61422    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 D    | 158,000,000  | 2010/04/14 | 2010/09/29 | 39.50          |
| 61423    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jul 2010 V    | 128,000,000  | 2010/04/14 | 2010/07/29 | 37.12          |
| 61424    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2010 X    | 128,000,000  | 2010/04/14 | 2010/08/30 | 32.00          |
| 61425    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 E    | 128,000,000  | 2010/04/14 | 2010/09/29 | 33.28          |
| 61426    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 F    | 128,000,000  | 2010/04/14 | 2010/09/29 | 32.00          |
| 61427    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 G    | 158,000,000  | 2010/04/14 | 2010/09/29 | 39.50          |
| 61428    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 J               | 200,000,000  | 2010/04/14 | 2010/09/29 | 50.00          |
| 61429    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 K               | 200,000,000  | 2010/04/14 | 2010/09/29 | 50.00          |
| 61379    | RB of Scotland N.V. - BYD Company R Bull CBBC Aug 2010 A    | 80,000,000   | 2010/04/14 | 2010/08/16 | 20.00          |
| 61383    | RB of Scotland N.V. - BYD Company R Bear CBBC Aug 2010 B    | 80,000,000   | 2010/04/14 | 2010/08/16 | 20.08          |
| 61380    | RB of Scotland N.V. - Ch Life R Bull CBBC Aug 2010 A        | 80,000,000   | 2010/04/14 | 2010/08/09 | 20.08          |
| 61384    | RB of Scotland N.V. - HSCEI R Bull CBBC Aug 2010 A          | 250,000,000  | 2010/04/14 | 2010/08/30 | 63.00          |
| 61385    | RB of Scotland N.V. - HSCEI R Bull CBBC Aug 2010 B          | 250,000,000  | 2010/04/14 | 2010/08/30 | 62.75          |
| 61389    | RB of Scotland N.V. - HSCEI R Bear CBBC Aug 2010 C          | 250,000,000  | 2010/04/14 | 2010/08/30 | 62.75          |
| 61390    | RB of Scotland N.V. - HSCEI R Bear CBBC Aug 2010 D          | 250,000,000  | 2010/04/14 | 2010/08/30 | 62.75          |
| 61391    | RB of Scotland N.V. - HSCEI R Bear CBBC Aug 2010 E          | 250,000,000  | 2010/04/14 | 2010/08/30 | 62.75          |
| 61386    | RB of Scotland N.V. - HSI R Bull CBBC Aug 2010 A            | 250,000,000  | 2010/04/14 | 2010/08/30 | 62.75          |
| 61387    | RB of Scotland N.V. - HSI R Bull CBBC Aug 2010 B            | 250,000,000  | 2010/04/14 | 2010/08/30 | 62.75          |
| 61388    | RB of Scotland N.V. - HSI R Bull CBBC Aug 2010 C            | 250,000,000  | 2010/04/14 | 2010/08/30 | 62.75          |
| 61392    | RB of Scotland N.V. - HSI R Bear CBBC Aug 2010 D            | 250,000,000  | 2010/04/14 | 2010/08/30 | 63.25          |
| 61393    | RB of Scotland N.V. - HSI R Bear CBBC Aug 2010 E            | 250,000,000  | 2010/04/14 | 2010/08/30 | 63.00          |
| 61381    | RB of Scotland N.V. - Jiangxi Copper R Bear CBBC Aug 2010 B | 80,000,000   | 2010/04/14 | 2010/08/16 | 20.08          |
| 61382    | RB of Scotland N.V. - Jiangxi Copper R Bear CBBC Aug 2010 C | 80,000,000   | 2010/04/14 | 2010/08/16 | 24.16          |
| 61394    | RB of Scotland N.V. - Nikkei 225 R Bear CBBC Sep 2010 D     | 40,000,000   | 2010/04/14 | 2010/09/10 | 10.08          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                             | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|-----------------------------------------------------------------|--------------|------------|------------|----------------|
| 61357    | SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Jul10E  | 300,000,000  | 2010/04/14 | 2010/07/28 | 75.00          |
| 61355    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10P   | 300,000,000  | 2010/04/14 | 2010/09/29 | 75.00          |
| 61356    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Q   | 300,000,000  | 2010/04/14 | 2010/09/29 | 75.00          |
| 61376    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10R   | 300,000,000  | 2010/04/14 | 2010/09/29 | 75.00          |
| 61377    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10S   | 300,000,000  | 2010/04/14 | 2010/09/29 | 75.00          |
| 61378    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10T   | 300,000,000  | 2010/04/14 | 2010/09/29 | 75.00          |
| 61359    | SGA Societe Generale Acceptance N.V. - SHK P R Bull CBBC Jul10A | 100,000,000  | 2010/04/14 | 2010/07/28 | 25.00          |
| 61367    | UBS AG - BOCL R Bear CBBC Oct 2010 A                            | 100,000,000  | 2010/04/14 | 2010/10/18 | 25.00          |
| 61364    | UBS AG - BYD Company R Bull CBBC Sep 2010 A                     | 100,000,000  | 2010/04/14 | 2010/09/13 | 25.00          |
| 61368    | UBS AG - CC Bank R Bear CBBC Oct 2010 A                         | 100,000,000  | 2010/04/14 | 2010/10/11 | 25.00          |
| 61365    | UBS AG - Cheung Kong R Bull CBBC Sep 2010 A                     | 100,000,000  | 2010/04/14 | 2010/09/06 | 25.00          |
| 61366    | UBS AG - HKEx R Bull CBBC Sep 2010 A                            | 200,000,000  | 2010/04/14 | 2010/09/13 | 50.00          |
| 61411    | UBS AG - HSCEI R Bull CBBC Sep 2010 B                           | 200,000,000  | 2010/04/14 | 2010/09/29 | 50.00          |
| 61412    | UBS AG - HSCEI R Bull CBBC Oct 2010 A                           | 200,000,000  | 2010/04/14 | 2010/10/28 | 50.00          |
| 61414    | UBS AG - HSI R Bull CBBC Aug 2010 F                             | 300,000,000  | 2010/04/14 | 2010/08/30 | 75.00          |
| 61413    | UBS AG - HSI R Bull CBBC Aug 2010 Q                             | 300,000,000  | 2010/04/14 | 2010/08/30 | 75.00          |
| 61415    | UBS AG - HSI R Bull CBBC Dec 2010 E                             | 400,000,000  | 2010/04/14 | 2010/12/30 | 100.00         |
| 61370    | UBS AG - HSI R Bear CBBC Aug 2010 R                             | 300,000,000  | 2010/04/14 | 2010/08/30 | 75.00          |
| 61416    | UBS AG - HSI R Bear CBBC Oct 2010 R                             | 300,000,000  | 2010/04/14 | 2010/10/28 | 75.00          |
| 61417    | UBS AG - HSI R Bear CBBC Nov 2010 I                             | 300,000,000  | 2010/04/14 | 2010/11/29 | 75.00          |
| 61371    | UBS AG - HSI R Bear CBBC Dec 2010 D                             | 400,000,000  | 2010/04/14 | 2010/12/30 | 100.00         |
| 61369    | UBS AG - TCH R Bear CBBC Sep 2010 A                             | 100,000,000  | 2010/04/14 | 2010/09/27 | 33.00          |
| 61442    | Deutsche Bank AG - CNOOC R Bull CBBC Aug 2010 B                 | 150,000,000  | 2010/04/15 | 2010/08/12 | 37.50          |
| 61441    | Deutsche Bank AG - Sinopec Corp R Bull CBBC Aug 2010 B          | 150,000,000  | 2010/04/15 | 2010/08/12 | 37.50          |
| 61432    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 Y                   | 300,000,000  | 2010/04/15 | 2010/09/29 | 75.00          |
| 61436    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 Z                   | 300,000,000  | 2010/04/15 | 2010/09/29 | 75.00          |
| 61430    | HK Bank - CC Bank R Bull CBBC Aug 2010 A                        | 160,000,000  | 2010/04/15 | 2010/08/27 | 40.00          |
| 61431    | Macquarie Bank Ltd. - HSI R Bull CBBC Aug 2010 A                | 300,000,000  | 2010/04/15 | 2010/08/30 | 75.00          |
| 61435    | Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2010 B                | 300,000,000  | 2010/04/15 | 2010/08/30 | 75.00          |
| 61439    | UBS AG - China Mobile R Bull CBBC Sep 2010 B                    | 200,000,000  | 2010/04/15 | 2010/09/27 | 50.00          |
| 61440    | UBS AG - HKEx R Bull CBBC Sep 2010 B                            | 200,000,000  | 2010/04/15 | 2010/09/06 | 50.00          |
| 61433    | UBS AG - HSCEI R Bear CBBC Oct 2010 B                           | 200,000,000  | 2010/04/15 | 2010/10/28 | 50.00          |
| 61434    | UBS AG - HSI R Bull CBBC Jul 2010 L                             | 300,000,000  | 2010/04/15 | 2010/07/29 | 75.00          |
| 61437    | UBS AG - HSI R Bull CBBC Sep 2010 P                             | 300,000,000  | 2010/04/15 | 2010/09/29 | 75.00          |
| 61438    | UBS AG - HSI R Bear CBBC Jul 2010 E                             | 300,000,000  | 2010/04/15 | 2010/07/29 | 75.00          |
| 61443    | BOCI Asia Ltd. - HSI R Bear CBBC Aug 2010 A                     | 100,000,000  | 2010/04/16 | 2010/08/30 | 25.00          |
| 61456    | Credit Suisse AG - China Mobile R Bull CBBC Oct 2010 A          | 100,000,000  | 2010/04/16 | 2010/10/25 | 25.00          |
| 61458    | Credit Suisse AG - A50 China R Bull CBBC Sep 2010 B             | 100,000,000  | 2010/04/16 | 2010/09/27 | 25.00          |
| 61457    | Credit Suisse AG - HSBC R Bull CBBC Sep 2010 C                  | 100,000,000  | 2010/04/16 | 2010/09/27 | 25.00          |
| 61459    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 G                   | 195,000,000  | 2010/04/16 | 2010/09/29 | 48.75          |
| 61460    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 E                   | 190,000,000  | 2010/04/16 | 2010/11/29 | 47.50          |
| 61461    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 D                   | 220,000,000  | 2010/04/16 | 2010/10/28 | 55.00          |
| 61470    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2010 Y        | 128,000,000  | 2010/04/16 | 2010/08/30 | 32.00          |
| 61471    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 H        | 158,000,000  | 2010/04/16 | 2010/09/29 | 39.50          |
| 61472    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 L                   | 200,000,000  | 2010/04/16 | 2010/09/29 | 50.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61473    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 M                 | 200,000,000  | 2010/04/16 | 2010/09/29 | 50.00          |
| 61451    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10X | 300,000,000  | 2010/04/16 | 2010/09/29 | 75.00          |
| 61452    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Y | 300,000,000  | 2010/04/16 | 2010/09/29 | 75.00          |
| 61453    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Z | 300,000,000  | 2010/04/16 | 2010/09/29 | 75.00          |
| 61444    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10H | 300,000,000  | 2010/04/16 | 2010/07/29 | 75.00          |
| 61454    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10G | 300,000,000  | 2010/04/16 | 2010/09/29 | 75.00          |
| 61455    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10H | 300,000,000  | 2010/04/16 | 2010/09/29 | 96.00          |
| 61445    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10U | 300,000,000  | 2010/04/16 | 2010/09/29 | 75.00          |
| 61446    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10V | 300,000,000  | 2010/04/16 | 2010/09/29 | 94.50          |
| 61447    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10W | 300,000,000  | 2010/04/16 | 2010/09/29 | 118.50         |
| 61448    | UBS AG - China Mobile R Bull CBBC Oct 2010 A                  | 200,000,000  | 2010/04/16 | 2010/10/04 | 50.00          |
| 61462    | UBS AG - CC Bank R Bull CBBC Sep 2010 A                       | 100,000,000  | 2010/04/16 | 2010/09/13 | 25.00          |
| 61464    | UBS AG - China Life R Bear CBBC Nov 2010 A                    | 200,000,000  | 2010/04/16 | 2010/11/08 | 136.00         |
| 61463    | UBS AG - Sinopec Corp R Bull CBBC Oct 2010 A                  | 100,000,000  | 2010/04/16 | 2010/10/11 | 25.00          |
| 61449    | UBS AG - HKEx R Bull CBBC Sep 2010 C                          | 200,000,000  | 2010/04/16 | 2010/09/13 | 50.00          |
| 61475    | UBS AG - HSI R Bull CBBC Jul 2010 G                           | 300,000,000  | 2010/04/16 | 2010/07/29 | 75.00          |
| 61474    | UBS AG - HSI R Bull CBBC Jul 2010 R                           | 300,000,000  | 2010/04/16 | 2010/07/29 | 75.00          |
| 61476    | UBS AG - HSI R Bull CBBC Sep 2010 U                           | 300,000,000  | 2010/04/16 | 2010/09/29 | 75.00          |
| 61465    | UBS AG - HSI R Bear CBBC Aug 2010 J                           | 300,000,000  | 2010/04/16 | 2010/08/30 | 75.00          |
| 61466    | UBS AG - HSI R Bear CBBC Sep 2010 R                           | 300,000,000  | 2010/04/16 | 2010/09/29 | 75.00          |
| 61450    | UBS AG - HSI R Bear CBBC Oct 2010 S                           | 300,000,000  | 2010/04/16 | 2010/10/28 | 75.00          |
| 61467    | UBS AG - HSI R Bear CBBC Oct 2010 T                           | 300,000,000  | 2010/04/16 | 2010/10/28 | 75.00          |
| 61468    | UBS AG - HSI R Bear CBBC Oct 2010 U                           | 300,000,000  | 2010/04/16 | 2010/10/28 | 75.00          |
| 61469    | UBS AG - HSI R Bear CBBC Oct 2010 V                           | 300,000,000  | 2010/04/16 | 2010/10/28 | 75.00          |
| 61226 #  | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2010 Q      | 120,000,000  | 2010/04/16 | 2010/08/30 | 22.68          |
| 61479    | BOCI Asia Ltd. - HSI R Bull CBBC Aug 2010 B                   | 100,000,000  | 2010/04/19 | 2010/08/30 | 25.00          |
| 61480    | BOCI Asia Ltd. - HSI R Bull CBBC Aug 2010 C                   | 100,000,000  | 2010/04/19 | 2010/08/30 | 25.00          |
| 61484    | BOCI Asia Ltd. - HSI R Bear CBBC Aug 2010 D                   | 100,000,000  | 2010/04/19 | 2010/08/30 | 25.00          |
| 61477    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2010 B      | 200,000,000  | 2010/04/19 | 2010/10/28 | 50.00          |
| 61478    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2010 B      | 200,000,000  | 2010/04/19 | 2010/11/29 | 50.00          |
| 61481    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2010 C      | 200,000,000  | 2010/04/19 | 2010/10/28 | 50.00          |
| 61501    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 I                 | 200,000,000  | 2010/04/19 | 2010/09/29 | 50.00          |
| 61496    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 H                 | 220,000,000  | 2010/04/19 | 2010/09/29 | 55.00          |
| 61497    | Credit Suisse AG - HSI R Bear CBBC Nov 2010 F                 | 190,000,000  | 2010/04/19 | 2010/11/29 | 47.50          |
| 61498    | KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Aug 10B   | 68,000,000   | 2010/04/19 | 2010/08/10 | 17.00          |
| 61500    | KBC Fin Products Int'l Ltd. - A50 China R Bear CBBC Aug 10C   | 68,000,000   | 2010/04/19 | 2010/08/02 | 17.00          |
| 61502    | KBC Fin Products Int'l Ltd. - A50 China R Bear CBBC Sep 10A   | 68,000,000   | 2010/04/19 | 2010/09/01 | 17.00          |
| 61499    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2010 Z      | 128,000,000  | 2010/04/19 | 2010/08/30 | 32.00          |
| 61482    | RB of Scotland N.V. - China Mobile R Bull CBBC Aug 2010 B     | 80,000,000   | 2010/04/19 | 2010/08/16 | 20.00          |
| 61488    | RB of Scotland N.V. - China Mobile R Bear CBBC Aug 2010 C     | 80,000,000   | 2010/04/19 | 2010/08/16 | 20.08          |
| 61483    | RB of Scotland N.V. - COVS R Bull CBBC Aug 2010 C             | 80,000,000   | 2010/04/19 | 2010/08/09 | 20.08          |
| 61489    | RB of Scotland N.V. - COVS R Bear CBBC Aug 2010 D             | 80,000,000   | 2010/04/19 | 2010/08/09 | 25.76          |
| 61485    | RB of Scotland N.V. - HSI R Bull CBBC Sep 2010 A              | 250,000,000  | 2010/04/19 | 2010/09/29 | 62.50          |
| 61486    | RB of Scotland N.V. - HSI R Bull CBBC Sep 2010 B              | 250,000,000  | 2010/04/19 | 2010/09/29 | 62.50          |
| 61487    | RB of Scotland N.V. - HSI R Bull CBBC Sep 2010 C              | 250,000,000  | 2010/04/19 | 2010/09/29 | 62.75          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                          | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|--------------------------------------------------------------|--------------|------------|------------|----------------|
| 61494    | UBS AG - HSBC R Bull CBBC Aug 2010 B                         | 200,000,000  | 2010/04/19 | 2010/08/30 | 50.00          |
| 61490    | UBS AG - HSI R Bull CBBC Aug 2010 W                          | 300,000,000  | 2010/04/19 | 2010/08/30 | 75.00          |
| 61491    | UBS AG - HSI R Bull CBBC Sep 2010 X                          | 300,000,000  | 2010/04/19 | 2010/09/29 | 75.00          |
| 61492    | UBS AG - HSI R Bull CBBC Nov 2010 J                          | 400,000,000  | 2010/04/19 | 2010/11/29 | 100.00         |
| 61493    | UBS AG - HSI R Bear CBBC Jul 2010 S                          | 300,000,000  | 2010/04/19 | 2010/07/29 | 75.00          |
| 61495    | UBS AG - TCH R Bull CBBC Sep 2010 B                          | 100,000,000  | 2010/04/19 | 2010/09/27 | 25.00          |
| 61515    | Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2010 A         | 200,000,000  | 2010/04/20 | 2010/09/29 | 50.00          |
| 61516    | Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2010 B         | 200,000,000  | 2010/04/20 | 2010/09/29 | 50.00          |
| 61517    | Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2010 C         | 200,000,000  | 2010/04/20 | 2010/09/29 | 50.00          |
| 61507    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 I     | 128,000,000  | 2010/04/20 | 2010/09/29 | 34.56          |
| 61508    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 D     | 128,000,000  | 2010/04/20 | 2010/10/28 | 35.84          |
| 61509    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 E     | 128,000,000  | 2010/04/20 | 2010/10/28 | 46.08          |
| 61510    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2010 B     | 158,000,000  | 2010/04/20 | 2010/11/29 | 41.08          |
| 61511    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2010 C     | 128,000,000  | 2010/04/20 | 2010/11/29 | 43.52          |
| 61512    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 N                | 200,000,000  | 2010/04/20 | 2010/09/29 | 50.00          |
| 61513    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 O                | 200,000,000  | 2010/04/20 | 2010/09/29 | 50.00          |
| 61514    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 P                | 200,000,000  | 2010/04/20 | 2010/09/29 | 50.00          |
| 61503    | UBS AG - A50 China R Bear CBBC Aug 2010 B                    | 200,000,000  | 2010/04/20 | 2010/08/16 | 50.00          |
| 61504    | UBS AG - HSI R Bull CBBC Nov 2010 K                          | 400,000,000  | 2010/04/20 | 2010/11/29 | 100.00         |
| 61505    | UBS AG - HSI R Bear CBBC Sep 2010 F                          | 300,000,000  | 2010/04/20 | 2010/09/29 | 75.00          |
| 61506    | UBS AG - HSI R Bear CBBC Sep 2010 Z                          | 300,000,000  | 2010/04/20 | 2010/09/29 | 75.00          |
| 61542    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2010 D         | 200,000,000  | 2010/04/21 | 2010/09/29 | 50.00          |
| 61543    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2010 E         | 200,000,000  | 2010/04/21 | 2010/09/29 | 50.00          |
| 61545    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2010 F         | 200,000,000  | 2010/04/21 | 2010/09/29 | 50.00          |
| 61520    | HK Bank - HSI R Bull CBBC Oct 2010 B                         | 160,000,000  | 2010/04/21 | 2010/10/28 | 40.00          |
| 61534    | KBC Fin Products Int'l Ltd. - Ch Mobile R Bull CBBC Aug 10A  | 108,000,000  | 2010/04/21 | 2010/08/02 | 27.00          |
| 61532    | KBC Fin Products Int'l Ltd. - Ch Mobile R Bull CBBC Oct 10A  | 108,000,000  | 2010/04/21 | 2010/10/04 | 27.00          |
| 61533    | KBC Fin Products Int'l Ltd. - Ch Mobile R Bull CBBC Oct 10B  | 108,000,000  | 2010/04/21 | 2010/10/04 | 27.00          |
| 61535    | KBC Fin Products Int'l Ltd. - Ch Mobile R Bear CBBC Sep 10A  | 108,000,000  | 2010/04/21 | 2010/09/01 | 27.00          |
| 61537    | KBC Fin Products Int'l Ltd. - Ch Mobile R Bear CBBC Oct 10C  | 108,000,000  | 2010/04/21 | 2010/10/08 | 27.00          |
| 61522    | KBC Fin Products Int'l Ltd. - China Life R Bull CBBC Aug 10A | 78,000,000   | 2010/04/21 | 2010/08/18 | 28.08          |
| 61523    | KBC Fin Products Int'l Ltd. - China Life R Bull CBBC Aug 10B | 78,000,000   | 2010/04/21 | 2010/08/05 | 19.50          |
| 61524    | KBC Fin Products Int'l Ltd. - China Life R Bull CBBC Sep 10A | 78,000,000   | 2010/04/21 | 2010/09/09 | 35.88          |
| 61528    | KBC Fin Products Int'l Ltd. - Ch Life R Bear CBBC Aug 2010 C | 78,000,000   | 2010/04/21 | 2010/08/05 | 19.50          |
| 61529    | KBC Fin Products Int'l Ltd. - Ch Life R Bear CBBC Aug 2010 D | 78,000,000   | 2010/04/21 | 2010/08/18 | 26.52          |
| 61530    | KBC Fin Products Int'l Ltd. - Ch Life R Bear CBBC Sep 2010 B | 78,000,000   | 2010/04/21 | 2010/09/15 | 37.44          |
| 61531    | KBC Fin Products Int'l Ltd. - Ch Life R Bear CBBC Oct 2010 A | 78,000,000   | 2010/04/21 | 2010/10/18 | 46.80          |
| 61525    | KBC Fin Products Int'l Ltd. - CP&CC R Bear CBBC Oct 2010 A   | 128,000,000  | 2010/04/21 | 2010/10/04 | 39.68          |
| 61526    | KBC Fin Products Int'l Ltd. - HSBC R Bear CBBC Sep 2010 A    | 108,000,000  | 2010/04/21 | 2010/09/01 | 27.00          |
| 61527    | KBC Fin Products Int'l Ltd. - SHK Ppt R Bull CBBC Sep 2010 A | 80,000,000   | 2010/04/21 | 2010/09/15 | 24.00          |
| 61536    | CC Rabobank B.A. - A50 China R Bull CBBC Sep 2010 A          | 100,000,000  | 2010/04/21 | 2010/09/29 | 25.00          |
| 61538    | CC Rabobank B.A. - A50 China R Bull CBBC Sep 2010 B          | 100,000,000  | 2010/04/21 | 2010/09/29 | 25.00          |
| 61539    | CC Rabobank B.A. - A50 China R Bull CBBC Sep 2010 C          | 100,000,000  | 2010/04/21 | 2010/09/29 | 25.00          |
| 61540    | CC Rabobank B.A. - A50 China R Bull CBBC Sep 2010 D          | 100,000,000  | 2010/04/21 | 2010/09/29 | 25.00          |
| 61544    | CC Rabobank B.A. - A50 China R Bear CBBC Sep 2010 E          | 100,000,000  | 2010/04/21 | 2010/09/29 | 25.00          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                            | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|----------------------------------------------------------------|--------------|------------|------------|----------------|
| 61519    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10W  | 300,000,000  | 2010/04/21 | 2010/07/29 | 75.00          |
| 61518    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10Z  | 300,000,000  | 2010/04/21 | 2010/07/29 | 75.00          |
| 61541    | UBS AG - China Life R Bear CBBC Oct 2010 C                     | 200,000,000  | 2010/04/21 | 2010/10/25 | 60.00          |
| 61521    | UBS AG - HSI R Bull CBBC Aug 2010 I                            | 300,000,000  | 2010/04/21 | 2010/08/30 | 75.00          |
| 61547    | Credit Suisse AG - A50 China R Bull CBBC Jul 2010 B            | 100,000,000  | 2010/04/22 | 2010/07/26 | 25.00          |
| 61546    | Credit Suisse AG - HSI R Bull CBBC Aug 2010 T                  | 220,000,000  | 2010/04/22 | 2010/08/30 | 55.00          |
| 61551    | Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2010 A           | 200,000,000  | 2010/04/22 | 2010/10/28 | 50.00          |
| 61555    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 B           | 200,000,000  | 2010/04/22 | 2010/10/28 | 50.00          |
| 61556    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 C           | 200,000,000  | 2010/04/22 | 2010/10/28 | 50.00          |
| 61550    | UBS AG - HSBC R Bear CBBC Sep 2010 A                           | 200,000,000  | 2010/04/22 | 2010/09/20 | 50.00          |
| 61548    | UBS AG - HSI R Bear CBBC Nov 2010 L                            | 400,000,000  | 2010/04/22 | 2010/11/29 | 100.00         |
| 61549    | UBS AG - HSI R Bear CBBC Nov 2010 M                            | 300,000,000  | 2010/04/22 | 2010/11/29 | 75.00          |
| 69983 #  | HK Bank - A50 R Bull CBBC Aug 2010 A                           | 200,000,000  | 2010/04/22 | 2010/08/12 | 30.00          |
| 61562    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2010 A       | 200,000,000  | 2010/04/23 | 2010/12/30 | 50.00          |
| 61571    | Credit Suisse AG - HSI R Bear CBBC Jul 2010 S                  | 195,000,000  | 2010/04/23 | 2010/07/29 | 48.75          |
| 61574    | KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Sep 10B    | 78,000,000   | 2010/04/23 | 2010/09/15 | 19.50          |
| 61575    | KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Sep 10C    | 78,000,000   | 2010/04/23 | 2010/09/01 | 19.50          |
| 61564    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 J       | 128,000,000  | 2010/04/23 | 2010/09/29 | 32.00          |
| 61565    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 K       | 128,000,000  | 2010/04/23 | 2010/09/29 | 32.00          |
| 61572    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2010 L       | 128,000,000  | 2010/04/23 | 2010/08/30 | 32.00          |
| 61573    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 L       | 128,000,000  | 2010/04/23 | 2010/09/29 | 32.00          |
| 61566    | Macquarie Bank Ltd. - HSI R Bull CBBC Jul 2010 C               | 300,000,000  | 2010/04/23 | 2010/07/29 | 75.00          |
| 61570    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 Q                  | 200,000,000  | 2010/04/23 | 2010/09/29 | 50.00          |
| 61553    | SGA Societe Generale Acceptance N.V. - CMob R Bull CBBC Aug10B | 300,000,000  | 2010/04/23 | 2010/08/30 | 75.00          |
| 61552    | SGA Societe Generale Acceptance N.V. - CCB R Bull CBBC Aug10A  | 200,000,000  | 2010/04/23 | 2010/08/30 | 50.00          |
| 61558    | SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Aug10A  | 150,000,000  | 2010/04/23 | 2010/08/30 | 37.50          |
| 61559    | SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Aug10B  | 150,000,000  | 2010/04/23 | 2010/08/30 | 37.50          |
| 61560    | SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Aug10C  | 150,000,000  | 2010/04/23 | 2010/08/30 | 37.50          |
| 61561    | SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Aug10D  | 150,000,000  | 2010/04/23 | 2010/08/30 | 37.50          |
| 61554    | SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Sep10A  | 200,000,000  | 2010/04/23 | 2010/09/29 | 50.00          |
| 61557    | SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Sep10B  | 200,000,000  | 2010/04/23 | 2010/09/29 | 50.00          |
| 61569    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10Q  | 300,000,000  | 2010/04/23 | 2010/07/29 | 75.00          |
| 61567    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10V  | 300,000,000  | 2010/04/23 | 2010/07/29 | 75.00          |
| 61568    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10Y  | 300,000,000  | 2010/04/23 | 2010/07/29 | 75.00          |
| 61577    | UBS AG - China Life R Bear CBBC Oct 2010 D                     | 200,000,000  | 2010/04/23 | 2010/10/11 | 50.00          |
| 61563    | UBS AG - HSI R Bull CBBC Sep 2010 I                            | 300,000,000  | 2010/04/23 | 2010/09/29 | 75.00          |
| 61576    | UBS AG - HSI R Bear CBBC Aug 2010 E                            | 300,000,000  | 2010/04/23 | 2010/08/30 | 75.00          |
| 61605    | Credit Suisse AG - A50 China R Bear CBBC Aug 2010 C            | 100,000,000  | 2010/04/26 | 2010/08/23 | 25.00          |
| 61581    | Credit Suisse AG - HSI R Bull CBBC Jul 2010 T                  | 195,000,000  | 2010/04/26 | 2010/07/29 | 48.75          |
| 61603    | Credit Suisse AG - HSI R Bear CBBC Jul 2010 U                  | 195,000,000  | 2010/04/26 | 2010/07/29 | 48.75          |
| 61582    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 U                  | 220,000,000  | 2010/04/26 | 2010/08/30 | 55.00          |
| 61604    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 E                  | 190,000,000  | 2010/04/26 | 2010/10/28 | 47.50          |
| 61598    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 R                  | 200,000,000  | 2010/04/26 | 2010/09/29 | 50.00          |
| 61599    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 S                  | 200,000,000  | 2010/04/26 | 2010/09/29 | 50.00          |
| 61593    | RB of Scotland N.V. - COVS R Bull CBBC Aug 2010 E              | 80,000,000   | 2010/04/26 | 2010/08/23 | 20.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61596    | RB of Scotland N.V. - COVS R Bear CBBC Aug 2010 F             | 80,000,000   | 2010/04/26 | 2010/08/23 | 20.88          |
| 61597    | RB of Scotland N.V. - COVS R Bear CBBC Aug 2010 G             | 80,000,000   | 2010/04/26 | 2010/08/23 | 25.68          |
| 61594    | RB of Scotland N.V. - A50 China R Bull CBBC Aug 2010 B        | 80,000,000   | 2010/04/26 | 2010/08/23 | 20.00          |
| 61595    | RB of Scotland N.V. - Jiangxi Copper R Bear CBBC Aug 2010 D   | 80,000,000   | 2010/04/26 | 2010/08/16 | 21.68          |
| 61578    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10F | 300,000,000  | 2010/04/26 | 2010/09/29 | 75.00          |
| 61589    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10J | 300,000,000  | 2010/04/26 | 2010/09/29 | 75.00          |
| 61591    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10G | 300,000,000  | 2010/04/26 | 2010/07/29 | 75.00          |
| 61592    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10O | 300,000,000  | 2010/04/26 | 2010/07/29 | 75.00          |
| 61590    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul10P | 300,000,000  | 2010/04/26 | 2010/07/29 | 75.00          |
| 61583    | UBS AG - CC Bank R Bull CBBC Sep 2010 B                       | 100,000,000  | 2010/04/26 | 2010/09/13 | 25.00          |
| 61584    | UBS AG - China Life R Bear CBBC Oct 2010 E                    | 200,000,000  | 2010/04/26 | 2010/10/18 | 50.00          |
| 61586    | UBS AG - A50 China R Bear CBBC Aug 2010 C                     | 200,000,000  | 2010/04/26 | 2010/08/23 | 50.00          |
| 61601    | UBS AG - HSI R Bull CBBC Sep 2010 J                           | 300,000,000  | 2010/04/26 | 2010/09/29 | 75.00          |
| 61579    | UBS AG - HSI R Bull CBBC Sep 2010 Y                           | 300,000,000  | 2010/04/26 | 2010/09/29 | 75.00          |
| 61580    | UBS AG - HSI R Bull CBBC Nov 2010 N                           | 300,000,000  | 2010/04/26 | 2010/11/29 | 75.00          |
| 61602    | UBS AG - HSI R Bear CBBC Jul 2010 E                           | 300,000,000  | 2010/04/26 | 2010/07/29 | 75.00          |
| 61587    | UBS AG - HSI R Bear CBBC Jul 2010 T                           | 300,000,000  | 2010/04/26 | 2010/07/29 | 75.00          |
| 61588    | UBS AG - HSI R Bear CBBC Nov 2010 O                           | 300,000,000  | 2010/04/26 | 2010/11/29 | 75.00          |
| 61600    | UBS AG - Jiangxi Copper R Bull CBBC Aug 2010 A                | 200,000,000  | 2010/04/26 | 2010/08/09 | 50.00          |
| 61585    | UBS AG - TCH R Bear CBBC Sep 2010 C                           | 100,000,000  | 2010/04/26 | 2010/09/27 | 25.00          |
| 61612    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 J                 | 220,000,000  | 2010/04/27 | 2010/09/29 | 55.00          |
| 61620    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 T                 | 200,000,000  | 2010/04/27 | 2010/09/29 | 50.00          |
| 61624    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 U                 | 200,000,000  | 2010/04/27 | 2010/09/29 | 50.00          |
| 61615    | RB of Scotland N.V. - China Mobile R Bear CBBC Aug 2010 D     | 80,000,000   | 2010/04/27 | 2010/08/16 | 20.00          |
| 61613    | RB of Scotland N.V. - A50 China R Bear CBBC Sep 2010 A        | 80,000,000   | 2010/04/27 | 2010/09/20 | 20.00          |
| 61614    | RB of Scotland N.V. - A50 China R Bear CBBC Sep 2010 B        | 80,000,000   | 2010/04/27 | 2010/09/20 | 20.00          |
| 61616    | RB of Scotland N.V. - HSCEI R Bear CBBC Sep 2010 A            | 250,000,000  | 2010/04/27 | 2010/09/29 | 62.50          |
| 61611    | UBS AG - A50 China R Bull CBBC Jul 2010 G                     | 200,000,000  | 2010/04/27 | 2010/07/27 | 50.00          |
| 61617    | UBS AG - HKEx R Bull CBBC Oct 2010 B                          | 200,000,000  | 2010/04/27 | 2010/10/18 | 50.00          |
| 61621    | UBS AG - HKEx R Bear CBBC Oct 2010 C                          | 200,000,000  | 2010/04/27 | 2010/10/18 | 50.00          |
| 61622    | UBS AG - HKEx R Bear CBBC Oct 2010 D                          | 200,000,000  | 2010/04/27 | 2010/10/25 | 50.00          |
| 61606    | UBS AG - HSCEI R Bear CBBC Sep 2010 C                         | 200,000,000  | 2010/04/27 | 2010/09/29 | 50.00          |
| 61607    | UBS AG - HSCEI R Bear CBBC Oct 2010 C                         | 200,000,000  | 2010/04/27 | 2010/10/28 | 50.00          |
| 61608    | UBS AG - HSCEI R Bear CBBC Nov 2010 A                         | 200,000,000  | 2010/04/27 | 2010/11/29 | 50.00          |
| 61618    | UBS AG - HSI R Bull CBBC Aug 2010 B                           | 300,000,000  | 2010/04/27 | 2010/08/30 | 75.00          |
| 61619    | UBS AG - HSI R Bull CBBC Dec 2010 F                           | 400,000,000  | 2010/04/27 | 2010/12/30 | 100.00         |
| 61609    | UBS AG - HSI R Bear CBBC Jul 2010 R                           | 300,000,000  | 2010/04/27 | 2010/07/29 | 75.00          |
| 61610    | UBS AG - HSI R Bear CBBC Sep 2010 V                           | 300,000,000  | 2010/04/27 | 2010/09/29 | 75.00          |
| 61623    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10I | 300,000,000  | 2010/04/28 | 2010/09/29 | 75.00          |
| 61626    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Y | 300,000,000  | 2010/04/28 | 2010/09/29 | 75.00          |
| 61625    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Z | 300,000,000  | 2010/04/28 | 2010/09/29 | 75.00          |
| 61627    | UBS AG - China Mobile R Bear CBBC Oct 2010 B                  | 200,000,000  | 2010/04/28 | 2010/10/04 | 50.00          |
| 61628    | UBS AG - HSBC R Bear CBBC Sep 2010 B                          | 200,000,000  | 2010/04/28 | 2010/09/20 | 50.00          |
| 61629    | UBS AG - HSI R Bull CBBC Aug 2010 R                           | 300,000,000  | 2010/04/28 | 2010/08/30 | 75.00          |
| 61630    | UBS AG - HSI R Bear CBBC Sep 2010 T                           | 300,000,000  | 2010/04/28 | 2010/09/29 | 75.00          |

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| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61633    | UBS AG - COVS R Bull CBBC Aug 2010 A                          | 100,000,000  | 2010/04/29 | 2010/08/16 | 25.00          |
| 61638    | UBS AG - COVS R Bear CBBC Aug 2010 B                          | 100,000,000  | 2010/04/29 | 2010/08/16 | 28.00          |
| 61634    | UBS AG - CUni R Bull CBBC Aug 2010 A                          | 100,000,000  | 2010/04/29 | 2010/08/30 | 25.00          |
| 61635    | UBS AG - CUni R Bull CBBC Aug 2010 B                          | 100,000,000  | 2010/04/29 | 2010/08/23 | 25.00          |
| 61631    | UBS AG - HSI R Bull CBBC Oct 2010 W                           | 300,000,000  | 2010/04/29 | 2010/10/28 | 75.00          |
| 61632    | UBS AG - HSI R Bull CBBC Dec 2010 G                           | 400,000,000  | 2010/04/29 | 2010/12/30 | 100.00         |
| 61636    | UBS AG - HSI R Bear CBBC Aug 2010 F                           | 300,000,000  | 2010/04/29 | 2010/08/30 | 75.00          |
| 61637    | UBS AG - HSI R Bear CBBC Oct 2010 X                           | 300,000,000  | 2010/04/29 | 2010/10/28 | 75.00          |
| 61652    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 K                 | 195,000,000  | 2010/04/30 | 2010/09/29 | 48.75          |
| 61653    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 L                 | 195,000,000  | 2010/04/30 | 2010/09/29 | 48.75          |
| 61660    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 N                 | 220,000,000  | 2010/04/30 | 2010/09/29 | 55.00          |
| 61654    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 F                 | 195,000,000  | 2010/04/30 | 2010/10/28 | 48.75          |
| 61661    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 G                 | 220,000,000  | 2010/04/30 | 2010/10/28 | 55.00          |
| 61662    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 V                 | 220,000,000  | 2010/04/30 | 2010/08/30 | 55.00          |
| 61657    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 M                 | 195,000,000  | 2010/04/30 | 2010/09/29 | 48.75          |
| 61639    | HK Bank - HSI R Bull CBBC Oct 2010 C                          | 120,000,000  | 2010/04/30 | 2010/10/28 | 30.00          |
| 61640    | HK Bank - HSI R Bull CBBC Oct 2010 D                          | 120,000,000  | 2010/04/30 | 2010/10/28 | 30.00          |
| 61641    | HK Bank - HSI R Bear CBBC Aug 2010 E                          | 120,000,000  | 2010/04/30 | 2010/08/30 | 30.00          |
| 61642    | HK Bank - HSI R Bear CBBC Sep 2010 G                          | 120,000,000  | 2010/04/30 | 2010/09/29 | 30.00          |
| 61643    | HK Bank - HSI R Bear CBBC Sep 2010 H                          | 120,000,000  | 2010/04/30 | 2010/09/29 | 30.00          |
| 61655    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 V                 | 200,000,000  | 2010/04/30 | 2010/09/29 | 50.00          |
| 61656    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 D              | 250,000,000  | 2010/04/30 | 2010/09/29 | 62.50          |
| 61658    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 E              | 250,000,000  | 2010/04/30 | 2010/09/29 | 62.50          |
| 61659    | RB of Scotland N.V. - HSI R Bear CBBC Nov 2010 A              | 250,000,000  | 2010/04/30 | 2010/11/29 | 62.50          |
| 61644    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10P | 300,000,000  | 2010/04/30 | 2010/08/30 | 75.00          |
| 61646    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10T | 300,000,000  | 2010/04/30 | 2010/09/29 | 75.00          |
| 61645    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10X | 300,000,000  | 2010/04/30 | 2010/09/29 | 75.00          |
| 61647    | UBS AG - HKEx R Bear CBBC Sep 2010 D                          | 200,000,000  | 2010/04/30 | 2010/09/20 | 50.00          |
| 61649    | UBS AG - HSCEI R Bear CBBC Sep 2010 D                         | 200,000,000  | 2010/04/30 | 2010/09/29 | 50.00          |
| 61650    | UBS AG - HSI R Bear CBBC Aug 2010 W                           | 300,000,000  | 2010/04/30 | 2010/08/30 | 75.00          |
| 61651    | UBS AG - HSI R Bear CBBC Dec 2010 H                           | 400,000,000  | 2010/04/30 | 2010/12/30 | 100.00         |
| 61648    | UBS AG - SHK Ppt R Bear CBBC Sep 2010 A                       | 100,000,000  | 2010/04/30 | 2010/09/20 | 25.00          |
| 61671    | Credit Suisse AG - A50 China R Bull CBBC Aug 2010 D           | 100,000,000  | 2010/05/03 | 2010/08/23 | 25.00          |
| 61670    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 O                 | 195,000,000  | 2010/05/03 | 2010/09/29 | 48.75          |
| 61669    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 W                 | 195,000,000  | 2010/05/03 | 2010/08/30 | 48.75          |
| 61677    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 J                 | 300,000,000  | 2010/05/03 | 2010/10/28 | 81.00          |
| 61678    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 K                 | 300,000,000  | 2010/05/03 | 2010/10/28 | 78.00          |
| 61679    | Deutsche Bank AG - HSI R Bear CBBC Aug 2010 W                 | 300,000,000  | 2010/05/03 | 2010/08/30 | 75.00          |
| 61681    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 B                 | 300,000,000  | 2010/05/03 | 2010/09/29 | 90.00          |
| 61680    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 C                 | 300,000,000  | 2010/05/03 | 2010/09/29 | 81.00          |
| 61676    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2010 R      | 128,000,000  | 2010/05/03 | 2010/08/30 | 32.00          |
| 61664    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2010 N      | 128,000,000  | 2010/05/03 | 2010/08/30 | 32.00          |
| 61665    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 M      | 128,000,000  | 2010/05/03 | 2010/09/29 | 32.00          |
| 61666    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 N      | 128,000,000  | 2010/05/03 | 2010/09/29 | 32.00          |
| 61667    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 F      | 158,000,000  | 2010/05/03 | 2010/10/28 | 39.50          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61668    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10S | 300,000,000  | 2010/05/03 | 2010/09/29 | 75.00          |
| 61672    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10R | 300,000,000  | 2010/05/03 | 2010/09/29 | 75.00          |
| 61674    | UBS AG - A50 China R Bear CBBC Aug 2010 D                     | 200,000,000  | 2010/05/03 | 2010/08/23 | 50.00          |
| 61675    | UBS AG - HSI R Bull CBBC Nov 2010 P                           | 300,000,000  | 2010/05/03 | 2010/11/29 | 75.00          |
| 61663    | UBS AG - HSI R Bear CBBC Aug 2010 Q                           | 300,000,000  | 2010/05/03 | 2010/08/30 | 75.00          |
| 61673    | UBS AG - TCH R Bull CBBC Sep 2010 D                           | 100,000,000  | 2010/05/03 | 2010/09/13 | 25.00          |
| 61690    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 X                 | 195,000,000  | 2010/05/04 | 2010/08/30 | 48.75          |
| 61685    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 C                 | 300,000,000  | 2010/05/04 | 2010/11/29 | 81.00          |
| 61692    | Deutsche Bank AG - HSI R Bear CBBC Aug 2010 E                 | 300,000,000  | 2010/05/04 | 2010/08/30 | 75.00          |
| 61686    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 A                 | 300,000,000  | 2010/05/04 | 2010/09/29 | 75.00          |
| 61687    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 L                 | 300,000,000  | 2010/05/04 | 2010/10/28 | 84.00          |
| 61688    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 M                 | 300,000,000  | 2010/05/04 | 2010/10/28 | 90.00          |
| 61689    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 D                 | 300,000,000  | 2010/05/04 | 2010/11/29 | 99.00          |
| 61683    | HK Bank - CC Bank R Bear CBBC Sep 2010 A                      | 120,000,000  | 2010/05/04 | 2010/09/22 | 30.00          |
| 61684    | HK Bank - A50 R Bear CBBC Sep 2010 B                          | 120,000,000  | 2010/05/04 | 2010/09/22 | 30.00          |
| 61682    | UBS AG - HSI R Bull CBBC Oct 2010 Y                           | 300,000,000  | 2010/05/04 | 2010/10/28 | 75.00          |
| 61691    | UBS AG - HSI R Bear CBBC Oct 2010 Z                           | 300,000,000  | 2010/05/04 | 2010/10/28 | 75.00          |
| 61155 #  | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 C             | 60,000,000   | 2010/05/04 | 2010/09/17 | 15.00          |
| 61693    | Barclays Bank plc - HSI R Bear CBBC Aug 2010 C                | 300,000,000  | 2010/05/05 | 2010/08/30 | 48.60          |
| 61694    | Barclays Bank plc - HSI R Bear CBBC Sep 2010 K                | 300,000,000  | 2010/05/05 | 2010/09/29 | 51.30          |
| 61695    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 A                | 300,000,000  | 2010/05/05 | 2010/11/29 | 75.00          |
| 61696    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 B                | 300,000,000  | 2010/05/05 | 2010/11/29 | 75.00          |
| 61697    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 C                | 300,000,000  | 2010/05/05 | 2010/11/29 | 75.00          |
| 61701    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2010 D      | 100,000,000  | 2010/05/05 | 2010/10/28 | 25.00          |
| 61702    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2010 C      | 100,000,000  | 2010/05/05 | 2010/11/29 | 25.00          |
| 61712    | Credit Suisse AG - A50 China R Bear CBBC Aug 2010 E           | 100,000,000  | 2010/05/05 | 2010/08/23 | 25.00          |
| 61714    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 P                 | 195,000,000  | 2010/05/05 | 2010/09/29 | 48.75          |
| 61715    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 H                 | 220,000,000  | 2010/05/05 | 2010/10/28 | 55.00          |
| 61713    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 Y                 | 195,000,000  | 2010/05/05 | 2010/08/30 | 48.75          |
| 61699    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 N                 | 300,000,000  | 2010/05/05 | 2010/10/28 | 75.00          |
| 61700    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 E                 | 300,000,000  | 2010/05/05 | 2010/11/29 | 78.00          |
| 61706    | Deutsche Bank AG - HSI R Bear CBBC Aug 2010 D                 | 300,000,000  | 2010/05/05 | 2010/08/30 | 75.00          |
| 61707    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 E                 | 300,000,000  | 2010/05/05 | 2010/09/29 | 78.00          |
| 61708    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 O                 | 300,000,000  | 2010/05/05 | 2010/10/28 | 75.00          |
| 61698    | HK Bank - HSI R Bear CBBC Sep 2010 I                          | 120,000,000  | 2010/05/05 | 2010/09/29 | 30.00          |
| 61730    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2010 G      | 128,000,000  | 2010/05/05 | 2010/08/30 | 32.00          |
| 61731    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 O      | 128,000,000  | 2010/05/05 | 2010/09/29 | 32.00          |
| 61732    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 G      | 158,000,000  | 2010/05/05 | 2010/10/28 | 39.50          |
| 61733    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 H      | 128,000,000  | 2010/05/05 | 2010/10/28 | 32.00          |
| 61720    | RB of Scotland N.V. - CCHC R Bull CBBC Sep 2010 A             | 80,000,000   | 2010/05/05 | 2010/09/13 | 20.08          |
| 61716    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 E             | 40,000,000   | 2010/05/05 | 2010/09/17 | 10.60          |
| 61717    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 F             | 40,000,000   | 2010/05/05 | 2010/09/17 | 13.72          |
| 61718    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 G             | 40,000,000   | 2010/05/05 | 2010/09/17 | 13.32          |
| 61719    | RB of Scotland N.V. - A50 China R Bull CBBC Sep 2010 C        | 80,000,000   | 2010/05/05 | 2010/09/20 | 20.00          |
| 61723    | RB of Scotland N.V. - A50 China R Bear CBBC Sep 2010 D        | 80,000,000   | 2010/05/05 | 2010/09/20 | 20.48          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61722    | RB of Scotland N.V. - HSCEI R Bear CBBC Sep 2010 B            | 250,000,000  | 2010/05/05 | 2010/09/29 | 62.75          |
| 61721    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 F              | 250,000,000  | 2010/05/05 | 2010/09/29 | 62.75          |
| 61705    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Q | 300,000,000  | 2010/05/05 | 2010/09/29 | 75.00          |
| 61711    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10J | 300,000,000  | 2010/05/05 | 2010/09/29 | 75.00          |
| 61710    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10O | 300,000,000  | 2010/05/05 | 2010/09/29 | 75.00          |
| 61709    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10P | 300,000,000  | 2010/05/05 | 2010/09/29 | 75.00          |
| 61725    | UBS AG - CC Bank R Bear CBBC Oct 2010 B                       | 100,000,000  | 2010/05/05 | 2010/10/04 | 25.00          |
| 61726    | UBS AG - China Life R Bear CBBC Oct 2010 F                    | 200,000,000  | 2010/05/05 | 2010/10/11 | 50.00          |
| 61728    | UBS AG - A50 China R Bull CBBC Aug 2010 E                     | 200,000,000  | 2010/05/05 | 2010/08/09 | 50.00          |
| 61727    | UBS AG - HKEx R Bear CBBC Oct 2010 E                          | 200,000,000  | 2010/05/05 | 2010/10/11 | 50.00          |
| 61729    | UBS AG - HSBC R Bull CBBC Aug 2010 C                          | 200,000,000  | 2010/05/05 | 2010/08/23 | 50.00          |
| 61703    | UBS AG - HSI R Bull CBBC Aug 2010 I                           | 300,000,000  | 2010/05/05 | 2010/08/30 | 75.00          |
| 61704    | UBS AG - HSI R Bull CBBC Nov 2010 Q                           | 300,000,000  | 2010/05/05 | 2010/11/29 | 75.00          |
| 61724    | UBS AG - HSI R Bear CBBC Aug 2010 B                           | 300,000,000  | 2010/05/05 | 2010/08/30 | 75.00          |
| 61745    | Credit Suisse AG - A50 China R Bull CBBC Aug 2010 F           | 100,000,000  | 2010/05/06 | 2010/08/23 | 25.00          |
| 61749    | Credit Suisse AG - A50 China R Bear CBBC Aug 2010 G           | 100,000,000  | 2010/05/06 | 2010/08/23 | 25.00          |
| 61747    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 Q                 | 220,000,000  | 2010/05/06 | 2010/09/29 | 55.00          |
| 61746    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 Z                 | 220,000,000  | 2010/05/06 | 2010/08/30 | 55.00          |
| 61737    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 J                 | 300,000,000  | 2010/05/06 | 2010/09/29 | 78.00          |
| 61738    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 P                 | 300,000,000  | 2010/05/06 | 2010/10/28 | 87.00          |
| 61752    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 Q                 | 300,000,000  | 2010/05/06 | 2010/10/28 | 75.00          |
| 61739    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 F                 | 300,000,000  | 2010/05/06 | 2010/11/29 | 93.00          |
| 61740    | Deutsche Bank AG - HSI R Bear CBBC Dec 2010 C                 | 300,000,000  | 2010/05/06 | 2010/12/30 | 75.00          |
| 61748    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 I      | 128,000,000  | 2010/05/06 | 2010/10/28 | 32.00          |
| 61750    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 P      | 128,000,000  | 2010/05/06 | 2010/09/29 | 32.00          |
| 61736    | RB of Scotland N.V. - BYD Company R Bear CBBC Aug 2010 C      | 80,000,000   | 2010/05/06 | 2010/08/16 | 20.00          |
| 61741    | UBS AG - China Mobile R Bear CBBC Oct 2010 C                  | 100,000,000  | 2010/05/06 | 2010/10/11 | 25.00          |
| 61734    | UBS AG - HSI R Bull CBBC Sep 2010 U                           | 300,000,000  | 2010/05/06 | 2010/09/29 | 75.00          |
| 61742    | UBS AG - HSI R Bull CBBC Dec 2010 I                           | 400,000,000  | 2010/05/06 | 2010/12/30 | 100.00         |
| 61743    | UBS AG - HSI R Bear CBBC Sep 2010 P                           | 300,000,000  | 2010/05/06 | 2010/09/29 | 75.00          |
| 61751    | UBS AG - HSI R Bear CBBC Oct 2010 J                           | 300,000,000  | 2010/05/06 | 2010/10/28 | 75.00          |
| 61735    | UBS AG - HSI R Bear CBBC Nov 2010 R                           | 300,000,000  | 2010/05/06 | 2010/11/29 | 75.00          |
| 61744    | UBS AG - HSI R Bear CBBC Nov 2010 S                           | 400,000,000  | 2010/05/06 | 2010/11/29 | 100.00         |
| 61759    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 I                 | 190,000,000  | 2010/05/07 | 2010/10/28 | 47.50          |
| 61760    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 R                 | 300,000,000  | 2010/05/07 | 2010/10/28 | 75.00          |
| 61761    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 G                 | 300,000,000  | 2010/05/07 | 2010/11/29 | 75.00          |
| 61764    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 Q      | 128,000,000  | 2010/05/07 | 2010/09/29 | 32.00          |
| 61758    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10N | 300,000,000  | 2010/05/07 | 2010/09/29 | 75.00          |
| 61757    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Y | 300,000,000  | 2010/05/07 | 2010/09/29 | 75.00          |
| 61753    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10F | 300,000,000  | 2010/05/07 | 2010/09/29 | 75.00          |
| 61754    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10Z | 300,000,000  | 2010/05/07 | 2010/09/29 | 75.00          |
| 61755    | UBS AG - HSCEI R Bull CBBC Oct 2010 D                         | 100,000,000  | 2010/05/07 | 2010/10/28 | 25.00          |
| 61762    | UBS AG - HSI R Bull CBBC Aug 2010 R                           | 200,000,000  | 2010/05/07 | 2010/08/30 | 50.00          |
| 61756    | UBS AG - HSI R Bear CBBC Sep 2010 X                           | 200,000,000  | 2010/05/07 | 2010/09/29 | 50.00          |
| 61763    | UBS AG - HSI R Bear CBBC Oct 2010 S                           | 200,000,000  | 2010/05/07 | 2010/10/28 | 50.00          |



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| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61772    | Credit Suisse AG - A50 China R Bull CBBC Sep 2010 C           | 100,000,000  | 2010/05/10 | 2010/09/27 | 25.00          |
| 61771    | Credit Suisse AG - HSBC R Bear CBBC Sep 2010 D                | 100,000,000  | 2010/05/10 | 2010/09/27 | 25.00          |
| 61774    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 L                 | 300,000,000  | 2010/05/10 | 2010/09/29 | 93.00          |
| 61773    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 N                 | 300,000,000  | 2010/05/10 | 2010/09/29 | 108.00         |
| 61775    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 S                 | 300,000,000  | 2010/05/10 | 2010/10/28 | 102.00         |
| 61767    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 K                 | 300,000,000  | 2010/05/10 | 2010/09/29 | 81.00          |
| 61768    | Deutsche Bank AG - HSI R Bear CBBC Dec 2010 D                 | 300,000,000  | 2010/05/10 | 2010/12/30 | 75.00          |
| 61765    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10M | 300,000,000  | 2010/05/10 | 2010/09/29 | 75.00          |
| 61770    | UBS AG - A50 China R Bear CBBC Aug 2010 F                     | 100,000,000  | 2010/05/10 | 2010/08/23 | 25.00          |
| 61769    | UBS AG - HSCEI R Bear CBBC Oct 2010 E                         | 100,000,000  | 2010/05/10 | 2010/10/28 | 25.00          |
| 61766    | UBS AG - HSI R Bull CBBC Sep 2010 I                           | 200,000,000  | 2010/05/10 | 2010/09/29 | 50.00          |
| 61777    | Barclays Bank plc - HSI R Bear CBBC Aug 2010 D                | 300,000,000  | 2010/05/11 | 2010/08/30 | 45.30          |
| 61778    | Barclays Bank plc - HSI R Bear CBBC Aug 2010 E                | 300,000,000  | 2010/05/11 | 2010/08/30 | 46.50          |
| 61779    | Barclays Bank plc - HSI R Bear CBBC Sep 2010 L                | 300,000,000  | 2010/05/11 | 2010/09/29 | 48.30          |
| 61781    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 D                | 300,000,000  | 2010/05/11 | 2010/11/29 | 75.00          |
| 61782    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 E                | 300,000,000  | 2010/05/11 | 2010/11/29 | 75.00          |
| 61783    | Credit Suisse AG - A50 China R Bear CBBC Oct 2010 A           | 100,000,000  | 2010/05/11 | 2010/10/25 | 25.00          |
| 61784    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 E                 | 195,000,000  | 2010/05/11 | 2010/08/30 | 48.75          |
| 61785    | Credit Suisse AG - HSI R Bear CBBC Nov 2010 G                 | 190,000,000  | 2010/05/11 | 2010/11/29 | 47.50          |
| 61801    | Deutsche Bank AG - China Life R Bear CBBC Oct 2010 A          | 150,000,000  | 2010/05/11 | 2010/10/11 | 60.00          |
| 61802    | Deutsche Bank AG - A50 China R Bear CBBC Oct 2010 A           | 150,000,000  | 2010/05/11 | 2010/10/11 | 43.50          |
| 61786    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 T                 | 300,000,000  | 2010/05/11 | 2010/10/28 | 75.00          |
| 61790    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 U                 | 300,000,000  | 2010/05/11 | 2010/10/28 | 75.00          |
| 61803    | Deutsche Bank AG - TCH R Bull CBBC Oct 2010 A                 | 150,000,000  | 2010/05/11 | 2010/10/11 | 37.50          |
| 61776    | HK Bank - BYD Company R Bull CBBC Oct 2010 A                  | 120,000,000  | 2010/05/11 | 2010/10/22 | 30.00          |
| 61780    | HK Bank - BYD Company R Bear CBBC Oct 2010 B                  | 100,000,000  | 2010/05/11 | 2010/10/22 | 25.00          |
| 61797    | RB of Scotland N.V. - A50 China R Bull CBBC Sep 2010 E        | 80,000,000   | 2010/05/11 | 2010/09/20 | 20.16          |
| 61796    | RB of Scotland N.V. - HSI R Bull CBBC Sep 2010 G              | 250,000,000  | 2010/05/11 | 2010/09/29 | 63.25          |
| 61798    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 H              | 250,000,000  | 2010/05/11 | 2010/09/29 | 63.25          |
| 61799    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 I              | 250,000,000  | 2010/05/11 | 2010/09/29 | 63.25          |
| 61800    | RB of Scotland N.V. - HSI R Bear CBBC Oct 2010 C              | 250,000,000  | 2010/05/11 | 2010/10/28 | 64.00          |
| 61789    | UBS AG - China Mobile R Bull CBBC Nov 2010 A                  | 100,000,000  | 2010/05/11 | 2010/11/08 | 25.00          |
| 61787    | UBS AG - China Life R Bull CBBC Nov 2010 B                    | 100,000,000  | 2010/05/11 | 2010/11/08 | 42.00          |
| 61788    | UBS AG - China Life R Bull CBBC Nov 2010 C                    | 100,000,000  | 2010/05/11 | 2010/11/08 | 32.00          |
| 61793    | UBS AG - A50 China R Bull CBBC Nov 2010 A                     | 100,000,000  | 2010/05/11 | 2010/11/15 | 25.00          |
| 61794    | UBS AG - A50 China R Bull CBBC Nov 2010 B                     | 100,000,000  | 2010/05/11 | 2010/11/08 | 25.00          |
| 61791    | UBS AG - HKEx R Bull CBBC Nov 2010 A                          | 100,000,000  | 2010/05/11 | 2010/11/08 | 25.00          |
| 61792    | UBS AG - HKEx R Bull CBBC Nov 2010 B                          | 100,000,000  | 2010/05/11 | 2010/11/01 | 25.00          |
| 61795    | UBS AG - HSI R Bull CBBC Oct 2010 Q                           | 200,000,000  | 2010/05/11 | 2010/10/28 | 50.00          |
| 61654 #  | Credit Suisse AG - HSI R Bull CBBC Oct 2010 F                 | 390,000,000  | 2010/05/11 | 2010/10/28 | 32.76          |
| 61807    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2010 M      | 100,000,000  | 2010/05/12 | 2010/08/30 | 25.00          |
| 61808    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2010 E      | 100,000,000  | 2010/05/12 | 2010/09/29 | 25.00          |
| 61813    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 R                 | 195,000,000  | 2010/05/12 | 2010/09/29 | 48.75          |
| 61814    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 J                 | 220,000,000  | 2010/05/12 | 2010/10/28 | 55.00          |
| 61815    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 H                 | 220,000,000  | 2010/05/12 | 2010/11/29 | 55.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61816    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 I                 | 195,000,000  | 2010/05/12 | 2010/11/29 | 48.75          |
| 61821    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 D                 | 195,000,000  | 2010/05/12 | 2010/08/30 | 48.75          |
| 61822    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 G                 | 220,000,000  | 2010/05/12 | 2010/08/30 | 55.00          |
| 61823    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 K                 | 220,000,000  | 2010/05/12 | 2010/08/30 | 55.00          |
| 61848    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 W                 | 300,000,000  | 2010/05/12 | 2010/10/28 | 75.00          |
| 61817    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 H                 | 300,000,000  | 2010/05/12 | 2010/11/29 | 78.00          |
| 61818    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 I                 | 300,000,000  | 2010/05/12 | 2010/11/29 | 75.00          |
| 61819    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 V                 | 300,000,000  | 2010/05/12 | 2010/10/28 | 75.00          |
| 61820    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 J                 | 300,000,000  | 2010/05/12 | 2010/11/29 | 81.00          |
| 61854    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 D          | 200,000,000  | 2010/05/12 | 2010/10/28 | 50.00          |
| 61855    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 E          | 200,000,000  | 2010/05/12 | 2010/10/28 | 50.00          |
| 61856    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 F          | 200,000,000  | 2010/05/12 | 2010/10/28 | 50.00          |
| 61857    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 G          | 200,000,000  | 2010/05/12 | 2010/10/28 | 50.00          |
| 61858    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 H          | 200,000,000  | 2010/05/12 | 2010/10/28 | 50.00          |
| 61859    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 I          | 200,000,000  | 2010/05/12 | 2010/10/28 | 50.00          |
| 61860    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2010 J          | 200,000,000  | 2010/05/12 | 2010/10/28 | 50.00          |
| 61806    | HK Bank - A50 R Bear CBBC Oct 2010 A                          | 100,000,000  | 2010/05/12 | 2010/10/28 | 25.00          |
| 61804    | HK Bank - HSI R Bull CBBC Oct 2010 E                          | 150,000,000  | 2010/05/12 | 2010/10/28 | 37.50          |
| 61805    | HK Bank - HSI R Bull CBBC Oct 2010 F                          | 120,000,000  | 2010/05/12 | 2010/10/28 | 30.00          |
| 61809    | HK Bank - HSI R Bear CBBC Oct 2010 G                          | 120,000,000  | 2010/05/12 | 2010/10/28 | 30.00          |
| 61810    | HK Bank - HSI R Bear CBBC Oct 2010 H                          | 150,000,000  | 2010/05/12 | 2010/10/28 | 37.50          |
| 61828    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 R      | 128,000,000  | 2010/05/12 | 2010/09/29 | 32.00          |
| 61836    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 J      | 128,000,000  | 2010/05/12 | 2010/10/28 | 40.96          |
| 61837    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 K      | 158,000,000  | 2010/05/12 | 2010/10/28 | 39.50          |
| 61838    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 L      | 128,000,000  | 2010/05/12 | 2010/10/28 | 39.68          |
| 61839    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 M      | 128,000,000  | 2010/05/12 | 2010/10/28 | 32.00          |
| 61840    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 S      | 128,000,000  | 2010/05/12 | 2010/09/29 | 32.00          |
| 61844    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 N      | 128,000,000  | 2010/05/12 | 2010/10/28 | 32.00          |
| 61853    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 A                 | 200,000,000  | 2010/05/12 | 2010/09/29 | 50.00          |
| 61849    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 W                 | 200,000,000  | 2010/05/12 | 2010/09/29 | 50.00          |
| 61850    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 X                 | 200,000,000  | 2010/05/12 | 2010/09/29 | 50.00          |
| 61851    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 Y                 | 200,000,000  | 2010/05/12 | 2010/09/29 | 50.00          |
| 61852    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 Z                 | 200,000,000  | 2010/05/12 | 2010/09/29 | 50.00          |
| 61845    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 J              | 250,000,000  | 2010/05/12 | 2010/09/29 | 62.75          |
| 61846    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 K              | 250,000,000  | 2010/05/12 | 2010/09/29 | 63.00          |
| 61847    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 L              | 250,000,000  | 2010/05/12 | 2010/09/29 | 62.75          |
| 61826    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10I | 300,000,000  | 2010/05/12 | 2010/09/29 | 75.00          |
| 61825    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10K | 300,000,000  | 2010/05/12 | 2010/09/29 | 75.00          |
| 61824    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10L | 300,000,000  | 2010/05/12 | 2010/09/29 | 75.00          |
| 61827    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10S | 300,000,000  | 2010/05/12 | 2010/09/29 | 75.00          |
| 61831    | UBS AG - Cheung Kong R Bear CBBC Oct 2010 A                   | 100,000,000  | 2010/05/12 | 2010/10/25 | 25.00          |
| 61832    | UBS AG - China Life R Bear CBBC Oct 2010 G                    | 100,000,000  | 2010/05/12 | 2010/10/25 | 28.50          |
| 61833    | UBS AG - HSBC R Bear CBBC Oct 2010 A                          | 100,000,000  | 2010/05/12 | 2010/10/25 | 25.00          |
| 61830    | UBS AG - HSCEI R Bear CBBC Aug 2010 C                         | 100,000,000  | 2010/05/12 | 2010/08/30 | 25.00          |
| 61829    | UBS AG - HSI R Bull CBBC Aug 2010 C                           | 200,000,000  | 2010/05/12 | 2010/08/30 | 50.00          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                       | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|-----------------------------------------------------------|--------------|------------|------------|----------------|
| 61811    | UBS AG - HSI R Bull CBBC Aug 2010 M                       | 200,000,000  | 2010/05/12 | 2010/08/30 | 50.00          |
| 61812    | UBS AG - HSI R Bull CBBC Nov 2010 T                       | 200,000,000  | 2010/05/12 | 2010/11/29 | 50.00          |
| 61841    | UBS AG - HSI R Bear CBBC Aug 2010 P                       | 200,000,000  | 2010/05/12 | 2010/08/30 | 50.00          |
| 61842    | UBS AG - HSI R Bear CBBC Sep 2010 Y                       | 200,000,000  | 2010/05/12 | 2010/09/29 | 50.00          |
| 61843    | UBS AG - HSI R Bear CBBC Dec 2010 J                       | 300,000,000  | 2010/05/12 | 2010/12/30 | 75.00          |
| 61834    | UBS AG - Hutchison R Bear CBBC Nov 2010 A                 | 100,000,000  | 2010/05/12 | 2010/11/15 | 25.00          |
| 61835    | UBS AG - SHK Ppt R Bear CBBC Nov 2010 A                   | 100,000,000  | 2010/05/12 | 2010/11/01 | 25.00          |
| 61746 #  | Credit Suisse AG - HSI R Bear CBBC Aug 2010 Z             | 220,000,000  | 2010/05/12 | 2010/08/30 | 17.16          |
| 60512 #  | HK Bank - A50 R Bull CBBC Jun 2010 E                      | 100,000,000  | 2010/05/12 | 2010/06/18 | 10.20          |
| 61900    | Credit Suisse AG - A50 China R Bull CBBC Oct 2010 B       | 100,000,000  | 2010/05/13 | 2010/10/25 | 25.00          |
| 61901    | Credit Suisse AG - A50 China R Bull CBBC Nov 2010 A       | 100,000,000  | 2010/05/13 | 2010/11/22 | 31.00          |
| 61914    | Credit Suisse AG - A50 China R Bear CBBC Sep 2010 D       | 100,000,000  | 2010/05/13 | 2010/09/27 | 25.00          |
| 61871    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 S             | 220,000,000  | 2010/05/13 | 2010/09/29 | 55.00          |
| 61902    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 T             | 195,000,000  | 2010/05/13 | 2010/09/29 | 48.75          |
| 61903    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 K             | 195,000,000  | 2010/05/13 | 2010/10/28 | 48.75          |
| 61904    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 J             | 195,000,000  | 2010/05/13 | 2010/11/29 | 48.75          |
| 61905    | Credit Suisse AG - HSI R Bull CBBC Dec 2010 J             | 220,000,000  | 2010/05/13 | 2010/12/30 | 55.00          |
| 61906    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 J             | 195,000,000  | 2010/05/13 | 2010/08/30 | 48.75          |
| 61913    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 L             | 195,000,000  | 2010/05/13 | 2010/08/30 | 48.75          |
| 61907    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 L             | 190,000,000  | 2010/05/13 | 2010/10/28 | 47.50          |
| 61915    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 M             | 300,000,000  | 2010/05/13 | 2010/09/29 | 75.00          |
| 61870    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 K             | 300,000,000  | 2010/05/13 | 2010/11/29 | 75.00          |
| 61872    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 P             | 300,000,000  | 2010/05/13 | 2010/09/29 | 75.00          |
| 61931    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 T  | 128,000,000  | 2010/05/13 | 2010/09/29 | 32.00          |
| 61908    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 O  | 128,000,000  | 2010/05/13 | 2010/10/28 | 32.00          |
| 61932    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 P  | 128,000,000  | 2010/05/13 | 2010/10/28 | 32.00          |
| 61909    | Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2010 A          | 200,000,000  | 2010/05/13 | 2010/10/28 | 50.00          |
| 61861    | Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2010 C          | 200,000,000  | 2010/05/13 | 2010/08/30 | 50.00          |
| 61934    | CC Rabobank B.A. - A50 China R Bull CBBC Sep 2010 F       | 100,000,000  | 2010/05/13 | 2010/09/29 | 25.00          |
| 61935    | CC Rabobank B.A. - A50 China R Bull CBBC Sep 2010 G       | 100,000,000  | 2010/05/13 | 2010/09/29 | 25.00          |
| 61936    | CC Rabobank B.A. - A50 China R Bull CBBC Sep 2010 H       | 100,000,000  | 2010/05/13 | 2010/09/29 | 25.00          |
| 61941    | CC Rabobank B.A. - A50 China R Bear CBBC Sep 2010 I       | 100,000,000  | 2010/05/13 | 2010/09/29 | 25.00          |
| 61937    | CC Rabobank B.A. - HSI R Bull CBBC Sep 2010 B             | 200,000,000  | 2010/05/13 | 2010/09/29 | 50.00          |
| 61942    | CC Rabobank B.A. - HSI R Bear CBBC Sep 2010 D             | 200,000,000  | 2010/05/13 | 2010/09/29 | 50.00          |
| 61888    | RB of Scotland N.V. - China Mobile R Bull CBBC Aug 2010 E | 80,000,000   | 2010/05/13 | 2010/08/27 | 20.08          |
| 61889    | RB of Scotland N.V. - China Mobile R Bear CBBC Aug 2010 F | 80,000,000   | 2010/05/13 | 2010/08/27 | 20.08          |
| 61925    | RB of Scotland N.V. - COVS R Bull CBBC Aug 2010 H         | 80,000,000   | 2010/05/13 | 2010/08/27 | 20.40          |
| 61926    | RB of Scotland N.V. - COVS R Bull CBBC Aug 2010 I         | 80,000,000   | 2010/05/13 | 2010/08/27 | 20.16          |
| 61927    | RB of Scotland N.V. - COVS R Bear CBBC Aug 2010 J         | 80,000,000   | 2010/05/13 | 2010/08/27 | 20.24          |
| 61928    | RB of Scotland N.V. - COVS R Bear CBBC Aug 2010 K         | 80,000,000   | 2010/05/13 | 2010/08/27 | 20.00          |
| 61878    | RB of Scotland N.V. - CC Bank R Bull CBBC Sep 2010 A      | 80,000,000   | 2010/05/13 | 2010/09/28 | 20.16          |
| 61881    | RB of Scotland N.V. - CC Bank R Bear CBBC Sep 2010 B      | 80,000,000   | 2010/05/13 | 2010/09/28 | 20.40          |
| 61882    | RB of Scotland N.V. - CCHC R Bull CBBC Oct 2010 A         | 80,000,000   | 2010/05/13 | 2010/10/18 | 20.24          |
| 61883    | RB of Scotland N.V. - CCHC R Bear CBBC Oct 2010 B         | 80,000,000   | 2010/05/13 | 2010/10/18 | 20.08          |
| 61884    | RB of Scotland N.V. - Ch Life R Bull CBBC Sep 2010 A      | 80,000,000   | 2010/05/13 | 2010/09/20 | 20.08          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                         | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|-------------------------------------------------------------|--------------|------------|------------|----------------|
| 61885    | RB of Scotland N.V. - Ch Life R Bull CBBC Sep 2010 B        | 80,000,000   | 2010/05/13 | 2010/09/20 | 20.08          |
| 61886    | RB of Scotland N.V. - Ch Life R Bear CBBC Sep 2010 C        | 80,000,000   | 2010/05/13 | 2010/09/20 | 20.48          |
| 61887    | RB of Scotland N.V. - Ch Life R Bear CBBC Sep 2010 D        | 80,000,000   | 2010/05/13 | 2010/09/20 | 20.00          |
| 61873    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 H           | 40,000,000   | 2010/05/13 | 2010/09/17 | 10.00          |
| 61923    | RB of Scotland N.V. - A50 China R Bull CBBC Sep 2010 F      | 80,000,000   | 2010/05/13 | 2010/09/20 | 20.16          |
| 61924    | RB of Scotland N.V. - A50 China R Bear CBBC Sep 2010 G      | 80,000,000   | 2010/05/13 | 2010/09/20 | 20.08          |
| 61890    | RB of Scotland N.V. - HKEx R Bull CBBC Oct 2010 A           | 80,000,000   | 2010/05/13 | 2010/10/11 | 20.32          |
| 61891    | RB of Scotland N.V. - HKEx R Bear CBBC Oct 2010 B           | 80,000,000   | 2010/05/13 | 2010/10/11 | 20.08          |
| 61892    | RB of Scotland N.V. - HSBC R Bull CBBC Oct 2010 A           | 80,000,000   | 2010/05/13 | 2010/10/15 | 20.16          |
| 61893    | RB of Scotland N.V. - HSBC R Bull CBBC Oct 2010 B           | 80,000,000   | 2010/05/13 | 2010/10/15 | 20.08          |
| 61894    | RB of Scotland N.V. - HSBC R Bear CBBC Oct 2010 C           | 80,000,000   | 2010/05/13 | 2010/10/15 | 20.32          |
| 61895    | RB of Scotland N.V. - HSBC R Bear CBBC Oct 2010 D           | 80,000,000   | 2010/05/13 | 2010/10/15 | 20.24          |
| 61874    | RB of Scotland N.V. - HSCEI R Bull CBBC Sep 2010 C          | 250,000,000  | 2010/05/13 | 2010/09/29 | 63.00          |
| 61875    | RB of Scotland N.V. - HSCEI R Bull CBBC Sep 2010 D          | 250,000,000  | 2010/05/13 | 2010/09/29 | 62.75          |
| 61922    | RB of Scotland N.V. - HSCEI R Bull CBBC Sep 2010 G          | 250,000,000  | 2010/05/13 | 2010/09/29 | 63.25          |
| 61876    | RB of Scotland N.V. - HSCEI R Bear CBBC Sep 2010 E          | 250,000,000  | 2010/05/13 | 2010/09/29 | 63.00          |
| 61877    | RB of Scotland N.V. - HSCEI R Bear CBBC Sep 2010 F          | 250,000,000  | 2010/05/13 | 2010/09/29 | 62.75          |
| 61916    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 D            | 250,000,000  | 2010/05/13 | 2010/10/28 | 63.25          |
| 61917    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 E            | 250,000,000  | 2010/05/13 | 2010/10/28 | 63.00          |
| 61918    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 F            | 250,000,000  | 2010/05/13 | 2010/10/28 | 63.00          |
| 61919    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 G            | 250,000,000  | 2010/05/13 | 2010/10/28 | 62.50          |
| 61920    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 H            | 250,000,000  | 2010/05/13 | 2010/10/28 | 62.50          |
| 61921    | RB of Scotland N.V. - HSI R Bear CBBC Oct 2010 I            | 250,000,000  | 2010/05/13 | 2010/10/28 | 62.75          |
| 61896    | RB of Scotland N.V. - Jiangxi Copper R Bull CBBC Sep 2010 A | 80,000,000   | 2010/05/13 | 2010/09/27 | 21.44          |
| 61897    | RB of Scotland N.V. - Jiangxi Copper R Bull CBBC Sep 2010 B | 80,000,000   | 2010/05/13 | 2010/09/27 | 20.08          |
| 61898    | RB of Scotland N.V. - Jiangxi Copper R Bear CBBC Sep 2010 C | 80,000,000   | 2010/05/13 | 2010/09/27 | 20.00          |
| 61899    | RB of Scotland N.V. - Jiangxi Copper R Bear CBBC Sep 2010 D | 80,000,000   | 2010/05/13 | 2010/09/27 | 24.56          |
| 61863    | UBS AG - BYD Company R Bull CBBC Oct 2010 A                 | 50,000,000   | 2010/05/13 | 2010/10/25 | 12.50          |
| 61864    | UBS AG - BYD Company R Bull CBBC Oct 2010 B                 | 50,000,000   | 2010/05/13 | 2010/10/11 | 12.50          |
| 61910    | UBS AG - China Mobile R Bull CBBC Nov 2010 B                | 100,000,000  | 2010/05/13 | 2010/11/08 | 25.00          |
| 61865    | UBS AG - Sinopec Corp R Bull CBBC Oct 2010 B                | 50,000,000   | 2010/05/13 | 2010/10/11 | 12.50          |
| 61912    | UBS AG - HSCEI R Bear CBBC Oct 2010 F                       | 100,000,000  | 2010/05/13 | 2010/10/28 | 25.00          |
| 61869    | UBS AG - HSI R Bull CBBC Sep 2010 J                         | 200,000,000  | 2010/05/13 | 2010/09/29 | 50.00          |
| 61911    | UBS AG - HSI R Bull CBBC Nov 2010 U                         | 200,000,000  | 2010/05/13 | 2010/11/29 | 50.00          |
| 61929    | UBS AG - HSI R Bear CBBC Nov 2010 V                         | 200,000,000  | 2010/05/13 | 2010/11/29 | 50.00          |
| 61930    | UBS AG - HSI R Bear CBBC Nov 2010 W                         | 200,000,000  | 2010/05/13 | 2010/11/29 | 50.00          |
| 61862    | UBS AG - TCH R Bull CBBC Oct 2010 A                         | 100,000,000  | 2010/05/13 | 2010/10/25 | 25.00          |
| 61866    | UBS AG - TCH R Bear CBBC Oct 2010 B                         | 100,000,000  | 2010/05/13 | 2010/10/18 | 25.00          |
| 61867    | UBS AG - TCH R Bear CBBC Nov 2010 A                         | 100,000,000  | 2010/05/13 | 2010/11/01 | 25.00          |
| 61868    | UBS AG - TCH R Bear CBBC Nov 2010 B                         | 100,000,000  | 2010/05/13 | 2010/11/08 | 25.00          |
| 61945    | Barclays Bank plc - HSI R Bull CBBC Aug 2010 F              | 300,000,000  | 2010/05/14 | 2010/08/30 | 47.40          |
| 61946    | Barclays Bank plc - HSI R Bull CBBC Nov 2010 F              | 300,000,000  | 2010/05/14 | 2010/11/29 | 75.00          |
| 61947    | Barclays Bank plc - HSI R Bull CBBC Nov 2010 G              | 300,000,000  | 2010/05/14 | 2010/11/29 | 75.00          |
| 61948    | Barclays Bank plc - HSI R Bull CBBC Nov 2010 H              | 300,000,000  | 2010/05/14 | 2010/11/29 | 75.00          |
| 61949    | Barclays Bank plc - HSI R Bear CBBC Aug 2010 G              | 300,000,000  | 2010/05/14 | 2010/08/30 | 47.10          |

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| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61950    | Barclays Bank plc - HSI R Bear CBBC Aug 2010 H                | 300,000,000  | 2010/05/14 | 2010/08/30 | 47.40          |
| 61951    | Barclays Bank plc - HSI R Bear CBBC Sep 2010 M                | 300,000,000  | 2010/05/14 | 2010/09/29 | 47.40          |
| 61952    | Barclays Bank plc - HSI R Bear CBBC Sep 2010 N                | 300,000,000  | 2010/05/14 | 2010/09/29 | 48.00          |
| 61953    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 I                | 300,000,000  | 2010/05/14 | 2010/11/29 | 75.00          |
| 61954    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 J                | 300,000,000  | 2010/05/14 | 2010/11/29 | 75.00          |
| 61955    | BOCI Asia Ltd. - HSI R Bear CBBC Aug 2010 E                   | 100,000,000  | 2010/05/14 | 2010/08/30 | 25.00          |
| 61956    | BOCI Asia Ltd. - HSI R Bear CBBC Aug 2010 F                   | 100,000,000  | 2010/05/14 | 2010/08/30 | 25.00          |
| 62005    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2010 E      | 100,000,000  | 2010/05/14 | 2010/10/28 | 25.00          |
| 62006    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2010 D      | 100,000,000  | 2010/05/14 | 2010/11/29 | 25.00          |
| 62007    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2010 E      | 100,000,000  | 2010/05/14 | 2010/11/29 | 25.00          |
| 62008    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2010 B      | 100,000,000  | 2010/05/14 | 2010/12/30 | 25.00          |
| 61970    | Credit Suisse AG - China Mobile R Bull CBBC Nov 2010 A        | 100,000,000  | 2010/05/14 | 2010/11/22 | 25.00          |
| 61969    | Credit Suisse AG - China Mobile R Bear CBBC Aug 2010 B        | 100,000,000  | 2010/05/14 | 2010/08/30 | 25.00          |
| 61972    | Credit Suisse AG - HSBC R Bull CBBC Dec 2010 A                | 100,000,000  | 2010/05/14 | 2010/12/13 | 25.00          |
| 61971    | Credit Suisse AG - HSBC R Bear CBBC Aug 2010 A                | 100,000,000  | 2010/05/14 | 2010/08/30 | 25.00          |
| 61961    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 U                 | 220,000,000  | 2010/05/14 | 2010/09/29 | 55.00          |
| 61962    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 M                 | 220,000,000  | 2010/05/14 | 2010/10/28 | 55.00          |
| 61963    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 K                 | 220,000,000  | 2010/05/14 | 2010/11/29 | 55.00          |
| 61965    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 L                 | 220,000,000  | 2010/05/14 | 2010/11/29 | 55.00          |
| 61966    | Credit Suisse AG - HSI R Bull CBBC Dec 2010 K                 | 220,000,000  | 2010/05/14 | 2010/12/30 | 57.20          |
| 61967    | Credit Suisse AG - HSI R Bull CBBC Dec 2010 L                 | 220,000,000  | 2010/05/14 | 2010/12/30 | 55.00          |
| 61960    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 M                 | 220,000,000  | 2010/05/14 | 2010/08/30 | 55.00          |
| 61968    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 N                 | 220,000,000  | 2010/05/14 | 2010/08/30 | 55.00          |
| 61957    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 R                 | 300,000,000  | 2010/05/14 | 2010/09/29 | 75.00          |
| 61985    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 X                 | 300,000,000  | 2010/05/14 | 2010/10/28 | 81.00          |
| 61986    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 S                 | 300,000,000  | 2010/05/14 | 2010/09/29 | 75.00          |
| 61987    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 L                 | 300,000,000  | 2010/05/14 | 2010/11/29 | 78.00          |
| 61973    | HK Bank - A50 R Bull CBBC Oct 2010 B                          | 150,000,000  | 2010/05/14 | 2010/10/22 | 37.50          |
| 61974    | HK Bank - A50 R Bull CBBC Oct 2010 C                          | 150,000,000  | 2010/05/14 | 2010/10/22 | 37.50          |
| 61978    | HK Bank - A50 R Bear CBBC Oct 2010 D                          | 100,000,000  | 2010/05/14 | 2010/10/22 | 25.00          |
| 61975    | HK Bank - HSI R Bull CBBC Nov 2010 A                          | 150,000,000  | 2010/05/14 | 2010/11/29 | 37.50          |
| 61976    | HK Bank - HSI R Bull CBBC Nov 2010 B                          | 120,000,000  | 2010/05/14 | 2010/11/29 | 37.20          |
| 61977    | HK Bank - HSI R Bull CBBC Nov 2010 C                          | 120,000,000  | 2010/05/14 | 2010/11/29 | 30.00          |
| 61979    | HK Bank - HSI R Bear CBBC Oct 2010 I                          | 100,000,000  | 2010/05/14 | 2010/10/28 | 25.00          |
| 61980    | HK Bank - HSI R Bear CBBC Oct 2010 J                          | 120,000,000  | 2010/05/14 | 2010/10/28 | 30.00          |
| 62002    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 U      | 128,000,000  | 2010/05/14 | 2010/09/29 | 32.00          |
| 62003    | CC Rabobank B.A. - HSI R Bull CBBC Oct 2010 A                 | 200,000,000  | 2010/05/14 | 2010/10/28 | 50.00          |
| 62004    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 B                 | 200,000,000  | 2010/05/14 | 2010/10/28 | 50.00          |
| 61981    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10D | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61933    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10R | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61982    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10T | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61939    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10U | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61938    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10Y | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61984    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10B | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61983    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10C | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 61944    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10Q | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61943    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10S | 300,000,000  | 2010/05/14 | 2010/08/30 | 75.00          |
| 61995    | UBS AG - China Mobile R Bear CBBC Nov 2010 C                  | 100,000,000  | 2010/05/14 | 2010/11/15 | 25.00          |
| 61988    | UBS AG - China Life R Bull CBBC Dec 2010 B                    | 100,000,000  | 2010/05/14 | 2010/12/06 | 53.00          |
| 61994    | UBS AG - China Life R Bear CBBC Oct 2010 H                    | 100,000,000  | 2010/05/14 | 2010/10/28 | 25.00          |
| 61998    | UBS AG - A50 China R Bear CBBC Nov 2010 C                     | 100,000,000  | 2010/05/14 | 2010/11/08 | 25.00          |
| 61989    | UBS AG - HKEx R Bull CBBC Nov 2010 C                          | 100,000,000  | 2010/05/14 | 2010/11/29 | 25.00          |
| 61996    | UBS AG - HKEx R Bear CBBC Nov 2010 D                          | 100,000,000  | 2010/05/14 | 2010/11/22 | 25.00          |
| 61990    | UBS AG - HSBC R Bull CBBC Nov 2010 A                          | 100,000,000  | 2010/05/14 | 2010/11/29 | 25.00          |
| 61991    | UBS AG - HSBC R Bull CBBC Nov 2010 B                          | 100,000,000  | 2010/05/14 | 2010/11/15 | 25.00          |
| 61997    | UBS AG - HSBC R Bear CBBC Oct 2010 B                          | 100,000,000  | 2010/05/14 | 2010/10/18 | 25.00          |
| 61958    | UBS AG - HSI R Bull CBBC Aug 2010 I                           | 200,000,000  | 2010/05/14 | 2010/08/30 | 50.00          |
| 61959    | UBS AG - HSI R Bull CBBC Oct 2010 Y                           | 200,000,000  | 2010/05/14 | 2010/10/28 | 50.00          |
| 61999    | UBS AG - HSI R Bear CBBC Sep 2010 M                           | 200,000,000  | 2010/05/14 | 2010/09/29 | 50.00          |
| 62000    | UBS AG - HSI R Bear CBBC Nov 2010 X                           | 200,000,000  | 2010/05/14 | 2010/11/29 | 50.00          |
| 62001    | UBS AG - HSI R Bear CBBC Dec 2010 K                           | 200,000,000  | 2010/05/14 | 2010/12/30 | 50.00          |
| 61992    | UBS AG - TCH R Bull CBBC Oct 2010 C                           | 100,000,000  | 2010/05/14 | 2010/10/25 | 25.00          |
| 61993    | UBS AG - TCH R Bull CBBC Nov 2010 C                           | 100,000,000  | 2010/05/14 | 2010/11/01 | 25.00          |
| 62018    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 V                 | 220,000,000  | 2010/05/17 | 2010/09/29 | 55.00          |
| 62019    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 W                 | 220,000,000  | 2010/05/17 | 2010/09/29 | 55.00          |
| 62017    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 I                 | 220,000,000  | 2010/05/17 | 2010/08/30 | 55.00          |
| 62027    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 H                 | 300,000,000  | 2010/05/17 | 2010/09/29 | 75.00          |
| 62013    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 M                 | 300,000,000  | 2010/05/17 | 2010/11/29 | 84.00          |
| 62014    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 N                 | 300,000,000  | 2010/05/17 | 2010/11/29 | 75.00          |
| 62015    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 E                 | 300,000,000  | 2010/05/17 | 2010/12/30 | 105.00         |
| 62016    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 F                 | 300,000,000  | 2010/05/17 | 2010/12/30 | 96.00          |
| 62031    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 V                 | 300,000,000  | 2010/05/17 | 2010/09/29 | 78.00          |
| 62009    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 Y                 | 300,000,000  | 2010/05/17 | 2010/10/28 | 75.00          |
| 62028    | CC Rabobank B.A. - HSI R Bull CBBC Oct 2010 C                 | 200,000,000  | 2010/05/17 | 2010/10/28 | 50.00          |
| 62029    | CC Rabobank B.A. - HSI R Bull CBBC Oct 2010 D                 | 200,000,000  | 2010/05/17 | 2010/10/28 | 50.00          |
| 62020    | UBS AG - Cheung Kong R Bull CBBC Dec 2010 A                   | 50,000,000   | 2010/05/17 | 2010/12/06 | 12.50          |
| 62021    | UBS AG - China Life R Bull CBBC Oct 2010 I                    | 100,000,000  | 2010/05/17 | 2010/10/28 | 25.00          |
| 62022    | UBS AG - China Shenhua R Bull CBBC Dec 2010 A                 | 50,000,000   | 2010/05/17 | 2010/12/13 | 12.50          |
| 62024    | UBS AG - HSBC R Bear CBBC Dec 2010 C                          | 100,000,000  | 2010/05/17 | 2010/12/06 | 25.00          |
| 62025    | UBS AG - HSI R Bull CBBC Sep 2010 U                           | 200,000,000  | 2010/05/17 | 2010/09/29 | 50.00          |
| 62026    | UBS AG - HSI R Bull CBBC Oct 2010 K                           | 200,000,000  | 2010/05/17 | 2010/10/28 | 50.00          |
| 62010    | UBS AG - HSI R Bear CBBC Aug 2010 H                           | 200,000,000  | 2010/05/17 | 2010/08/30 | 50.00          |
| 62011    | UBS AG - HSI R Bear CBBC Sep 2010 S                           | 200,000,000  | 2010/05/17 | 2010/09/29 | 50.00          |
| 62012    | UBS AG - HSI R Bear CBBC Nov 2010 Y                           | 300,000,000  | 2010/05/17 | 2010/11/29 | 75.00          |
| 62023    | UBS AG - Hutchison R Bull CBBC Nov 2010 B                     | 50,000,000   | 2010/05/17 | 2010/11/29 | 12.50          |
| 62038    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 X                 | 220,000,000  | 2010/05/18 | 2010/09/29 | 55.00          |
| 62037    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 O                 | 220,000,000  | 2010/05/18 | 2010/08/30 | 55.00          |
| 62034    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 Z                 | 300,000,000  | 2010/05/18 | 2010/10/28 | 75.00          |
| 62039    | KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Sep 10D   | 88,000,000   | 2010/05/18 | 2010/09/15 | 22.00          |
| 62040    | KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Oct 10A   | 88,000,000   | 2010/05/18 | 2010/10/18 | 22.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62043    | KBC Fin Products Int'l Ltd. - A50 China R Bear CBBC Sep 10E   | 88,000,000   | 2010/05/18 | 2010/09/10 | 22.00          |
| 62044    | KBC Fin Products Int'l Ltd. - A50 China R Bear CBBC Oct 10B   | 88,000,000   | 2010/05/18 | 2010/10/15 | 22.00          |
| 62035    | UBS AG - HSCEI R Bull CBBC Nov 2010 B                         | 100,000,000  | 2010/05/18 | 2010/11/29 | 25.00          |
| 62036    | UBS AG - HSCEI R Bull CBBC Dec 2010 A                         | 100,000,000  | 2010/05/18 | 2010/12/30 | 25.00          |
| 62030    | UBS AG - HSI R Bull CBBC Sep 2010 Q                           | 200,000,000  | 2010/05/18 | 2010/09/29 | 50.00          |
| 62032    | UBS AG - HSI R Bull CBBC Nov 2010 Z                           | 200,000,000  | 2010/05/18 | 2010/11/29 | 50.00          |
| 62033    | UBS AG - HSI R Bull CBBC Jan 2011 A                           | 300,000,000  | 2010/05/18 | 2011/01/28 | 75.00          |
| 62057    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 Y                 | 195,000,000  | 2010/05/19 | 2010/09/29 | 48.75          |
| 62056    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 R                 | 195,000,000  | 2010/05/19 | 2010/08/30 | 48.75          |
| 62052    | HK Bank - Sinopec Corp R Bull CBBC Dec 2010 A                 | 150,000,000  | 2010/05/19 | 2010/12/30 | 37.50          |
| 62053    | HK Bank - Sinopec Corp R Bull CBBC Jan 2011 A                 | 150,000,000  | 2010/05/19 | 2011/01/28 | 37.50          |
| 62054    | HK Bank - Sinopec Corp R Bear CBBC Oct 2010 C                 | 100,000,000  | 2010/05/19 | 2010/10/28 | 25.00          |
| 62055    | HK Bank - Sinopec Corp R Bear CBBC Nov 2010 A                 | 100,000,000  | 2010/05/19 | 2010/11/11 | 25.00          |
| 62041    | SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Sep10A | 150,000,000  | 2010/05/19 | 2010/09/29 | 45.00          |
| 62042    | SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Sep10B | 150,000,000  | 2010/05/19 | 2010/09/29 | 37.50          |
| 62045    | SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Sep10C | 150,000,000  | 2010/05/19 | 2010/09/29 | 37.50          |
| 62049    | SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Sep10D | 150,000,000  | 2010/05/19 | 2010/09/29 | 37.50          |
| 62050    | SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Sep10E | 150,000,000  | 2010/05/19 | 2010/09/29 | 37.50          |
| 62051    | SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Sep10F | 150,000,000  | 2010/05/19 | 2010/09/29 | 39.00          |
| 62046    | SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Sep10C | 200,000,000  | 2010/05/19 | 2010/09/29 | 50.00          |
| 62047    | SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Sep10D | 200,000,000  | 2010/05/19 | 2010/09/29 | 50.00          |
| 62048    | SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Sep10E | 200,000,000  | 2010/05/19 | 2010/09/29 | 50.00          |
| 62058    | UBS AG - BankComm R Bull CBBC Dec 2010 A                      | 50,000,000   | 2010/05/19 | 2010/12/06 | 12.50          |
| 62060    | UBS AG - COVS R Bull CBBC Nov 2010 A                          | 50,000,000   | 2010/05/19 | 2010/11/29 | 12.50          |
| 62066    | UBS AG - COVS R Bear CBBC Nov 2010 B                          | 50,000,000   | 2010/05/19 | 2010/11/29 | 13.25          |
| 62059    | UBS AG - Cheung Kong R Bull CBBC Dec 2010 B                   | 50,000,000   | 2010/05/19 | 2010/12/13 | 12.50          |
| 62061    | UBS AG - CNOOC R Bull CBBC Dec 2010 C                         | 50,000,000   | 2010/05/19 | 2010/12/06 | 12.50          |
| 62062    | UBS AG - HSBC R Bull CBBC Oct 2010 C                          | 100,000,000  | 2010/05/19 | 2010/10/18 | 25.00          |
| 62063    | UBS AG - HSBC R Bull CBBC Nov 2010 C                          | 100,000,000  | 2010/05/19 | 2010/11/08 | 25.00          |
| 62064    | UBS AG - Hutchison R Bull CBBC Dec 2010 A                     | 50,000,000   | 2010/05/19 | 2010/12/06 | 12.50          |
| 62065    | UBS AG - Ping An R Bull CBBC Nov 2010 A                       | 50,000,000   | 2010/05/19 | 2010/11/29 | 12.50          |
| 62078    | Credit Suisse AG - A50 China R Bull CBBC Sep 2010 E           | 100,000,000  | 2010/05/20 | 2010/09/27 | 25.00          |
| 62077    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 Z                 | 220,000,000  | 2010/05/20 | 2010/09/29 | 55.00          |
| 62076    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 S                 | 195,000,000  | 2010/05/20 | 2010/08/30 | 48.75          |
| 62070    | Deutsche Bank AG - A50 China R Bull CBBC Oct 2010 B           | 150,000,000  | 2010/05/20 | 2010/10/25 | 37.50          |
| 62071    | Deutsche Bank AG - A50 China R Bull CBBC Nov 2010 A           | 150,000,000  | 2010/05/20 | 2010/11/01 | 45.00          |
| 62069    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 G                 | 300,000,000  | 2010/05/20 | 2010/10/28 | 75.00          |
| 62068    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 F                 | 300,000,000  | 2010/05/20 | 2010/10/28 | 75.00          |
| 62074    | UBS AG - A50 China R Bull CBBC Nov 2010 D                     | 100,000,000  | 2010/05/20 | 2010/11/08 | 25.00          |
| 62072    | UBS AG - HSBC R Bear CBBC Sep 2010 C                          | 100,000,000  | 2010/05/20 | 2010/09/20 | 25.00          |
| 62067    | UBS AG - HSI R Bear CBBC Aug 2010 R                           | 200,000,000  | 2010/05/20 | 2010/08/30 | 50.00          |
| 62075    | UBS AG - HSI R Bear CBBC Sep 2010 O                           | 200,000,000  | 2010/05/20 | 2010/09/29 | 50.00          |
| 62073    | UBS AG - TCH R Bull CBBC Nov 2010 D                           | 100,000,000  | 2010/05/20 | 2010/11/01 | 25.00          |
| 69298 #  | Credit Suisse AG - HSI R Bull CBBC Jul 2010 E                 | 210,000,000  | 2010/05/20 | 2010/07/29 | 14.49          |
| 62091    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 Z                 | 300,000,000  | 2010/05/24 | 2010/09/29 | 78.00          |



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| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62082    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 O                 | 300,000,000  | 2010/05/24 | 2010/11/29 | 75.00          |
| 62092    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 P                 | 300,000,000  | 2010/05/24 | 2010/11/29 | 75.00          |
| 62088    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 V      | 128,000,000  | 2010/05/24 | 2010/09/29 | 32.00          |
| 62089    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 E                 | 200,000,000  | 2010/05/24 | 2010/10/28 | 50.00          |
| 62090    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 F                 | 200,000,000  | 2010/05/24 | 2010/10/28 | 50.00          |
| 62080    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10A | 300,000,000  | 2010/05/24 | 2010/08/30 | 75.00          |
| 62081    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10Z | 300,000,000  | 2010/05/24 | 2010/08/30 | 75.00          |
| 62079    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Q | 300,000,000  | 2010/05/24 | 2010/09/29 | 75.00          |
| 62085    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10N | 300,000,000  | 2010/05/24 | 2010/09/29 | 75.00          |
| 62083    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10Y | 300,000,000  | 2010/05/24 | 2010/09/29 | 75.00          |
| 62086    | UBS AG - CM Bank R Bull CBBC Nov 2010 A                       | 50,000,000   | 2010/05/24 | 2010/11/01 | 16.25          |
| 62084    | UBS AG - HSI R Bull CBBC Aug 2010 M                           | 200,000,000  | 2010/05/24 | 2010/08/30 | 50.00          |
| 62087    | UBS AG - HSI R Bear CBBC Sep 2010 I                           | 200,000,000  | 2010/05/24 | 2010/09/29 | 50.00          |
| 62099    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 D                 | 195,000,000  | 2010/05/25 | 2010/09/29 | 48.75          |
| 62100    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 G                 | 220,000,000  | 2010/05/25 | 2010/09/29 | 55.00          |
| 62126    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 Q                 | 220,000,000  | 2010/05/25 | 2010/08/30 | 55.00          |
| 62127    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 T                 | 220,000,000  | 2010/05/25 | 2010/08/30 | 55.00          |
| 62097    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 X                 | 300,000,000  | 2010/05/25 | 2010/09/29 | 75.00          |
| 62098    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 H                 | 300,000,000  | 2010/05/25 | 2010/10/28 | 78.00          |
| 62123    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 S                 | 300,000,000  | 2010/05/25 | 2010/11/29 | 75.00          |
| 62124    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 G                 | 300,000,000  | 2010/05/25 | 2010/12/30 | 75.00          |
| 62101    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 Q                 | 300,000,000  | 2010/05/25 | 2010/11/29 | 75.00          |
| 62102    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 R                 | 300,000,000  | 2010/05/25 | 2010/11/29 | 81.00          |
| 62117    | KBC Fin Products Int'l Ltd. - HSBC R Bear CBBC Sep 2010 B     | 128,000,000  | 2010/05/25 | 2010/09/09 | 32.00          |
| 62118    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 Q      | 128,000,000  | 2010/05/25 | 2010/10/28 | 32.00          |
| 62119    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 R      | 180,000,000  | 2010/05/25 | 2010/10/28 | 45.00          |
| 62120    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2010 D      | 180,000,000  | 2010/05/25 | 2010/11/29 | 45.00          |
| 62121    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 W      | 128,000,000  | 2010/05/25 | 2010/09/29 | 32.00          |
| 62122    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 X      | 128,000,000  | 2010/05/25 | 2010/09/29 | 32.00          |
| 62108    | Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2010 A              | 200,000,000  | 2010/05/25 | 2010/09/29 | 50.40          |
| 62125    | CC Rabobank B.A. - HSI R Bull CBBC Oct 2010 G                 | 200,000,000  | 2010/05/25 | 2010/10/28 | 50.00          |
| 62129    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 H                 | 200,000,000  | 2010/05/25 | 2010/10/28 | 50.00          |
| 62130    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 I                 | 200,000,000  | 2010/05/25 | 2010/10/28 | 50.00          |
| 62109    | RB of Scotland N.V. - HSI R Bear CBBC Sep 2010 M              | 250,000,000  | 2010/05/25 | 2010/09/29 | 62.50          |
| 62106    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10B | 300,000,000  | 2010/05/25 | 2010/08/30 | 75.00          |
| 62105    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10C | 300,000,000  | 2010/05/25 | 2010/08/30 | 75.00          |
| 62104    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10Q | 300,000,000  | 2010/05/25 | 2010/08/30 | 75.00          |
| 62103    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10S | 300,000,000  | 2010/05/25 | 2010/08/30 | 75.00          |
| 62107    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug10Y | 300,000,000  | 2010/05/25 | 2010/08/30 | 75.00          |
| 62094    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10L | 300,000,000  | 2010/05/25 | 2010/09/29 | 75.00          |
| 62093    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10M | 300,000,000  | 2010/05/25 | 2010/09/29 | 75.00          |
| 62110    | UBS AG - BOCL R Bull CBBC Nov 2010 A                          | 50,000,000   | 2010/05/25 | 2010/11/29 | 12.50          |
| 62113    | UBS AG - A50 China R Bear CBBC Nov 2010 E                     | 100,000,000  | 2010/05/25 | 2010/11/15 | 25.00          |
| 62095    | UBS AG - HSI R Bull CBBC Sep 2010 Y                           | 200,000,000  | 2010/05/25 | 2010/09/29 | 50.00          |
| 62096    | UBS AG - HSI R Bull CBBC Dec 2010 L                           | 200,000,000  | 2010/05/25 | 2010/12/30 | 50.00          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62114    | UBS AG - HSI R Bear CBBC Aug 2010 C                           | 200,000,000  | 2010/05/25 | 2010/08/30 | 50.00          |
| 62115    | UBS AG - HSI R Bear CBBC Sep 2010 M                           | 200,000,000  | 2010/05/25 | 2010/09/29 | 50.00          |
| 62116    | UBS AG - HSI R Bear CBBC Oct 2010 Q                           | 200,000,000  | 2010/05/25 | 2010/10/28 | 50.00          |
| 62112    | UBS AG - Ping An R Bear CBBC Nov 2010 B                       | 50,000,000   | 2010/05/25 | 2010/11/22 | 12.50          |
| 62111    | UBS AG - SHK Ppt R Bull CBBC Dec 2010 A                       | 50,000,000   | 2010/05/25 | 2010/12/06 | 12.50          |
| 62132    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2010 F      | 100,000,000  | 2010/05/26 | 2010/11/29 | 25.00          |
| 62133    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2010 C      | 100,000,000  | 2010/05/26 | 2010/12/30 | 25.00          |
| 62145    | Credit Suisse AG - HSI R Bull CBBC Sep 2010 E                 | 220,000,000  | 2010/05/26 | 2010/09/29 | 55.00          |
| 62144    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 P                 | 195,000,000  | 2010/05/26 | 2010/08/30 | 48.75          |
| 62134    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 E                 | 300,000,000  | 2010/05/26 | 2010/10/28 | 75.00          |
| 62146    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 S      | 128,000,000  | 2010/05/26 | 2010/10/28 | 32.00          |
| 62128    | Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2010 B              | 300,000,000  | 2010/05/26 | 2010/09/29 | 75.00          |
| 62131    | Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2010 A              | 200,000,000  | 2010/05/26 | 2010/11/29 | 50.20          |
| 62147    | CC Rabobank B.A. - HSI R Bull CBBC Oct 2010 J                 | 200,000,000  | 2010/05/26 | 2010/10/28 | 50.00          |
| 62138    | UBS AG - BYD Company R Bull CBBC Nov 2010 A                   | 100,000,000  | 2010/05/26 | 2010/11/22 | 25.00          |
| 62139    | UBS AG - BYD Company R Bull CBBC Nov 2010 B                   | 100,000,000  | 2010/05/26 | 2010/11/08 | 25.00          |
| 62140    | UBS AG - China Mobile R Bull CBBC Nov 2010 D                  | 100,000,000  | 2010/05/26 | 2010/11/29 | 25.00          |
| 62142    | UBS AG - HSI R Bull CBBC Aug 2010 P                           | 200,000,000  | 2010/05/26 | 2010/08/30 | 50.00          |
| 62135    | UBS AG - HSI R Bull CBBC Nov 2010 B                           | 200,000,000  | 2010/05/26 | 2010/11/29 | 50.00          |
| 62143    | UBS AG - HSI R Bull CBBC Nov 2010 E                           | 200,000,000  | 2010/05/26 | 2010/11/29 | 50.00          |
| 62136    | UBS AG - HSI R Bull CBBC Dec 2010 M                           | 200,000,000  | 2010/05/26 | 2010/12/30 | 50.00          |
| 62137    | UBS AG - HSI R Bull CBBC Feb 2011 A                           | 300,000,000  | 2010/05/26 | 2011/02/25 | 75.00          |
| 62141    | UBS AG - HSI R Bear CBBC Nov 2010 F                           | 200,000,000  | 2010/05/26 | 2010/11/29 | 50.00          |
| 62148    | BOCI Asia Ltd. - HSI R Bull CBBC Sep 2010 A                   | 100,000,000  | 2010/05/27 | 2010/09/29 | 25.00          |
| 62153    | Credit Suisse AG - A50 China R Bull CBBC Jan 2011 A           | 100,000,000  | 2010/05/27 | 2011/01/24 | 25.00          |
| 62163    | Credit Suisse AG - A50 China R Bear CBBC Sep 2010 F           | 100,000,000  | 2010/05/27 | 2010/09/27 | 25.00          |
| 62162    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 H                 | 195,000,000  | 2010/05/27 | 2010/08/30 | 48.75          |
| 62157    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 D                 | 300,000,000  | 2010/05/27 | 2010/10/28 | 75.00          |
| 62158    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 T                 | 300,000,000  | 2010/05/27 | 2010/11/29 | 75.00          |
| 62165    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 U                 | 300,000,000  | 2010/05/27 | 2010/11/29 | 75.00          |
| 62155    | HK Bank - HSI R Bear CBBC Nov 2010 D                          | 150,000,000  | 2010/05/27 | 2010/11/29 | 37.50          |
| 62156    | HK Bank - HSI R Bear CBBC Nov 2010 E                          | 120,000,000  | 2010/05/27 | 2010/11/29 | 30.00          |
| 62159    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2010 Y      | 128,000,000  | 2010/05/27 | 2010/08/30 | 32.00          |
| 62164    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 K                 | 200,000,000  | 2010/05/27 | 2010/10/28 | 50.00          |
| 62166    | RB of Scotland N.V. - CCHC R Bull CBBC Oct 2010 C             | 80,000,000   | 2010/05/27 | 2010/10/18 | 20.00          |
| 62167    | RB of Scotland N.V. - CCHC R Bull CBBC Oct 2010 D             | 80,000,000   | 2010/05/27 | 2010/10/18 | 20.00          |
| 62171    | RB of Scotland N.V. - CCHC R Bear CBBC Oct 2010 E             | 80,000,000   | 2010/05/27 | 2010/10/18 | 20.00          |
| 62172    | RB of Scotland N.V. - CCHC R Bear CBBC Oct 2010 F             | 80,000,000   | 2010/05/27 | 2010/10/18 | 20.00          |
| 62168    | RB of Scotland N.V. - HSI R Bear CBBC Oct 2010 J              | 250,000,000  | 2010/05/27 | 2010/10/28 | 62.50          |
| 62152    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10D | 300,000,000  | 2010/05/27 | 2010/08/30 | 75.00          |
| 62151    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10R | 300,000,000  | 2010/05/27 | 2010/08/30 | 75.00          |
| 62150    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10T | 300,000,000  | 2010/05/27 | 2010/08/30 | 75.00          |
| 62149    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug10U | 300,000,000  | 2010/05/27 | 2010/08/30 | 75.00          |
| 62160    | UBS AG - HSCEI R Bear CBBC Sep 2010 E                         | 100,000,000  | 2010/05/27 | 2010/09/29 | 25.00          |
| 62154    | UBS AG - HSI R Bull CBBC Dec 2010 N                           | 300,000,000  | 2010/05/27 | 2010/12/30 | 75.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62161    | UBS AG - HSI R Bear CBBC Aug 2010 I                           | 200,000,000  | 2010/05/27 | 2010/08/30 | 50.00          |
| 62173    | Barclays Bank plc - HSI R Bull CBBC Oct 2010 A                | 300,000,000  | 2010/05/28 | 2010/10/28 | 46.50          |
| 62174    | Barclays Bank plc - HSI R Bull CBBC Nov 2010 L                | 300,000,000  | 2010/05/28 | 2010/11/29 | 75.00          |
| 62175    | Barclays Bank plc - HSI R Bull CBBC Nov 2010 M                | 300,000,000  | 2010/05/28 | 2010/11/29 | 75.00          |
| 62170    | Barclays Bank plc - HSI R Bear CBBC Sep 2010 O                | 300,000,000  | 2010/05/28 | 2010/09/29 | 45.90          |
| 62169    | Barclays Bank plc - HSI R Bear CBBC Nov 2010 K                | 300,000,000  | 2010/05/28 | 2010/11/29 | 75.00          |
| 62179    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 N                 | 195,000,000  | 2010/05/28 | 2010/10/28 | 48.75          |
| 62190    | Credit Suisse AG - HSI R Bear CBBC Aug 2010 C                 | 220,000,000  | 2010/05/28 | 2010/08/30 | 55.00          |
| 62187    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 K                 | 300,000,000  | 2010/05/28 | 2010/10/28 | 75.00          |
| 62191    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 J                 | 300,000,000  | 2010/05/28 | 2010/10/28 | 75.00          |
| 62203    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2010 A          | 200,000,000  | 2010/05/28 | 2010/11/29 | 50.00          |
| 62204    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2010 B          | 200,000,000  | 2010/05/28 | 2010/11/29 | 50.00          |
| 62188    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 T      | 128,000,000  | 2010/05/28 | 2010/10/28 | 32.00          |
| 62189    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 U      | 128,000,000  | 2010/05/28 | 2010/10/28 | 32.00          |
| 62197    | RB of Scotland N.V. - BYD Company R Bull CBBC Oct 2010 A      | 80,000,000   | 2010/05/28 | 2010/10/11 | 20.00          |
| 62200    | RB of Scotland N.V. - BYD Company R Bear CBBC Oct 2010 B      | 80,000,000   | 2010/05/28 | 2010/10/11 | 20.00          |
| 62184    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 I             | 40,000,000   | 2010/05/28 | 2010/09/17 | 10.00          |
| 62199    | RB of Scotland N.V. - HSCEI R Bear CBBC Sep 2010 H            | 250,000,000  | 2010/05/28 | 2010/09/29 | 62.50          |
| 62180    | RB of Scotland N.V. - Nikkei 225 R Bull CBBC Sep 2010 E       | 40,000,000   | 2010/05/28 | 2010/09/10 | 10.00          |
| 62185    | RB of Scotland N.V. - Nikkei 225 R Bear CBBC Sep 2010 F       | 40,000,000   | 2010/05/28 | 2010/09/10 | 10.00          |
| 62186    | RB of Scotland N.V. - Nikkei 225 R Bear CBBC Sep 2010 G       | 40,000,000   | 2010/05/28 | 2010/09/10 | 10.00          |
| 62198    | RB of Scotland N.V. - TCH R Bull CBBC Oct 2010 A              | 80,000,000   | 2010/05/28 | 2010/10/18 | 20.00          |
| 62201    | RB of Scotland N.V. - TCH R Bear CBBC Oct 2010 B              | 80,000,000   | 2010/05/28 | 2010/10/18 | 20.00          |
| 62202    | RB of Scotland N.V. - TCH R Bear CBBC Oct 2010 C              | 80,000,000   | 2010/05/28 | 2010/10/18 | 21.68          |
| 62192    | UBS AG - HKEx R Bear CBBC Nov 2010 E                          | 100,000,000  | 2010/05/28 | 2010/11/15 | 25.00          |
| 62176    | UBS AG - HSBC R Bull CBBC Nov 2010 D                          | 100,000,000  | 2010/05/28 | 2010/11/22 | 25.00          |
| 62193    | UBS AG - HSBC R Bear CBBC Oct 2010 D                          | 100,000,000  | 2010/05/28 | 2010/10/11 | 25.00          |
| 62195    | UBS AG - HSCEI R Bear CBBC Nov 2010 C                         | 100,000,000  | 2010/05/28 | 2010/11/29 | 25.00          |
| 62196    | UBS AG - HSI R Bull CBBC Sep 2010 J                           | 200,000,000  | 2010/05/28 | 2010/09/29 | 50.00          |
| 62177    | UBS AG - HSI R Bull CBBC Nov 2010 G                           | 200,000,000  | 2010/05/28 | 2010/11/29 | 50.00          |
| 62178    | UBS AG - HSI R Bear CBBC Aug 2010 D                           | 200,000,000  | 2010/05/28 | 2010/08/30 | 50.00          |
| 62194    | UBS AG - TCH R Bear CBBC Oct 2010 D                           | 100,000,000  | 2010/05/28 | 2010/10/25 | 25.00          |
| 69297 #  | Credit Suisse AG - HSI R Bull CBBC Jul 2010 D                 | 210,000,000  | 2010/05/28 | 2010/07/29 | 14.28          |
| 61815 #  | Credit Suisse AG - HSI R Bull CBBC Nov 2010 H                 | 275,000,000  | 2010/05/28 | 2010/11/29 | 11.83          |
| 62210    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 M                 | 220,000,000  | 2010/05/31 | 2010/11/29 | 55.00          |
| 62219    | Deutsche Bank AG - China Mobile R Bull CBBC Nov 2010 A        | 150,000,000  | 2010/05/31 | 2010/11/01 | 37.50          |
| 62222    | Deutsche Bank AG - A50 China R Bull CBBC Nov 2010 B           | 150,000,000  | 2010/05/31 | 2010/11/15 | 40.50          |
| 62220    | Deutsche Bank AG - HKEx R Bear CBBC Nov 2010 A                | 150,000,000  | 2010/05/31 | 2010/11/15 | 40.50          |
| 62221    | Deutsche Bank AG - HSBC R Bull CBBC Dec 2010 A                | 150,000,000  | 2010/05/31 | 2010/12/06 | 37.50          |
| 62209    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 V                 | 300,000,000  | 2010/05/31 | 2010/11/29 | 75.00          |
| 62213    | RB of Scotland N.V. - DJIA R Bull CBBC Sep 2010 J             | 40,000,000   | 2010/05/31 | 2010/09/17 | 15.04          |
| 62214    | RB of Scotland N.V. - DJIA R Bull CBBC Sep 2010 K             | 40,000,000   | 2010/05/31 | 2010/09/17 | 12.44          |
| 62205    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10A | 300,000,000  | 2010/05/31 | 2010/10/28 | 75.00          |
| 62206    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10B | 300,000,000  | 2010/05/31 | 2010/10/28 | 75.00          |
| 62207    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10C | 300,000,000  | 2010/05/31 | 2010/10/28 | 75.00          |

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| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62208    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10K | 300,000,000  | 2010/05/31 | 2010/09/29 | 75.00          |
| 62216    | UBS AG - A50 China R Bull CBBC Nov 2010 F                     | 100,000,000  | 2010/05/31 | 2010/11/08 | 25.00          |
| 62217    | UBS AG - HSI R Bull CBBC Oct 2010 K                           | 200,000,000  | 2010/05/31 | 2010/10/28 | 50.00          |
| 62218    | UBS AG - HSI R Bull CBBC Nov 2010 J                           | 200,000,000  | 2010/05/31 | 2010/11/29 | 50.00          |
| 62211    | UBS AG - HSI R Bear CBBC Oct 2010 Y                           | 200,000,000  | 2010/05/31 | 2010/10/28 | 50.00          |
| 62212    | UBS AG - HSI R Bear CBBC Jan 2011 B                           | 300,000,000  | 2010/05/31 | 2011/01/28 | 75.00          |
| 62215    | UBS AG - TCH R Bear CBBC Oct 2010 E                           | 100,000,000  | 2010/05/31 | 2010/10/18 | 25.00          |
| 62056 #  | Credit Suisse AG - HSI R Bear CBBC Aug 2010 R                 | 195,000,000  | 2010/05/31 | 2010/08/30 | 20.67          |
| 62296    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2010 G      | 200,000,000  | 2010/06/01 | 2010/11/29 | 50.00          |
| 62298    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2010 H      | 200,000,000  | 2010/06/01 | 2010/11/29 | 50.00          |
| 62299    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2010 D      | 200,000,000  | 2010/06/01 | 2010/12/30 | 50.00          |
| 62300    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2010 I      | 100,000,000  | 2010/06/01 | 2010/11/29 | 25.00          |
| 62301    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2010 E      | 100,000,000  | 2010/06/01 | 2010/12/30 | 25.00          |
| 62238    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 O                 | 195,000,000  | 2010/06/01 | 2010/10/28 | 48.75          |
| 62233    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 I                 | 220,000,000  | 2010/06/01 | 2010/09/29 | 55.00          |
| 62285    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 Y                 | 300,000,000  | 2010/06/01 | 2010/09/29 | 75.00          |
| 62232    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 W                 | 300,000,000  | 2010/06/01 | 2010/11/29 | 75.00          |
| 62240    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 Y                 | 300,000,000  | 2010/06/01 | 2010/11/29 | 75.00          |
| 62286    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 Z                 | 300,000,000  | 2010/06/01 | 2010/11/29 | 99.00          |
| 62287    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 H                 | 300,000,000  | 2010/06/01 | 2010/12/30 | 120.00         |
| 62288    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 I                 | 300,000,000  | 2010/06/01 | 2010/12/30 | 81.00          |
| 62289    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 A                 | 300,000,000  | 2010/06/01 | 2010/10/28 | 75.00          |
| 62235    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 N                 | 300,000,000  | 2010/06/01 | 2010/10/28 | 75.00          |
| 62236    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 X                 | 300,000,000  | 2010/06/01 | 2010/11/29 | 81.00          |
| 62290    | Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2010 C          | 200,000,000  | 2010/06/01 | 2010/11/29 | 50.00          |
| 62291    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2010 D          | 200,000,000  | 2010/06/01 | 2010/11/29 | 50.00          |
| 62292    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2010 E          | 200,000,000  | 2010/06/01 | 2010/11/29 | 50.00          |
| 62293    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 Y      | 128,000,000  | 2010/06/01 | 2010/09/29 | 32.00          |
| 62239    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 V      | 128,000,000  | 2010/06/01 | 2010/10/28 | 32.00          |
| 62297    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 Z      | 128,000,000  | 2010/06/01 | 2010/09/29 | 32.00          |
| 62294    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 W      | 128,000,000  | 2010/06/01 | 2010/10/28 | 32.00          |
| 62295    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 X      | 158,000,000  | 2010/06/01 | 2010/10/28 | 39.50          |
| 62234    | Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2010 B              | 200,000,000  | 2010/06/01 | 2010/10/28 | 50.00          |
| 62241    | CC Rabobank B.A. - HSI R Bull CBBC Oct 2010 L                 | 200,000,000  | 2010/06/01 | 2010/10/28 | 50.00          |
| 62242    | CC Rabobank B.A. - HSI R Bull CBBC Oct 2010 M                 | 200,000,000  | 2010/06/01 | 2010/10/28 | 50.00          |
| 62246    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 N                 | 200,000,000  | 2010/06/01 | 2010/10/28 | 50.00          |
| 62247    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 O                 | 200,000,000  | 2010/06/01 | 2010/10/28 | 50.00          |
| 62248    | CC Rabobank B.A. - HSI R Bear CBBC Oct 2010 P                 | 200,000,000  | 2010/06/01 | 2010/10/28 | 50.00          |
| 62223    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 L             | 40,000,000   | 2010/06/01 | 2010/09/17 | 11.96          |
| 62224    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 M             | 40,000,000   | 2010/06/01 | 2010/09/17 | 15.12          |
| 62225    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 N             | 40,000,000   | 2010/06/01 | 2010/09/17 | 12.16          |
| 62226    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 O             | 40,000,000   | 2010/06/01 | 2010/09/17 | 14.28          |
| 62274    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 K              | 250,000,000  | 2010/06/01 | 2010/10/28 | 62.50          |
| 62275    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 L              | 250,000,000  | 2010/06/01 | 2010/10/28 | 62.75          |
| 62276    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 M              | 250,000,000  | 2010/06/01 | 2010/10/28 | 62.50          |

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| 股份<br>代號 | 牛熊證                                                     | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------|--------------|------------|------------|----------------|
| 62279    | RB of Scotland N.V. - HSI R Bear CBBC Oct 2010 N        | 250,000,000  | 2010/06/01 | 2010/10/28 | 62.75          |
| 62280    | RB of Scotland N.V. - HSI R Bear CBBC Oct 2010 O        | 250,000,000  | 2010/06/01 | 2010/10/28 | 62.75          |
| 62281    | RB of Scotland N.V. - HSI R Bear CBBC Oct 2010 P        | 250,000,000  | 2010/06/01 | 2010/10/28 | 62.75          |
| 62278    | RB of Scotland N.V. - Nikkei 225 R Bull CBBC Sep 2010 H | 40,000,000   | 2010/06/01 | 2010/09/10 | 10.00          |
| 62284    | RB of Scotland N.V. - Nikkei 225 R Bear CBBC Sep 2010 I | 40,000,000   | 2010/06/01 | 2010/09/10 | 10.04          |
| 62277    | RB of Scotland N.V. - TCH R Bull CBBC Oct 2010 D        | 80,000,000   | 2010/06/01 | 2010/10/18 | 20.32          |
| 62282    | RB of Scotland N.V. - TCH R Bear CBBC Oct 2010 E        | 80,000,000   | 2010/06/01 | 2010/10/18 | 20.16          |
| 62283    | RB of Scotland N.V. - TCH R Bear CBBC Oct 2010 F        | 80,000,000   | 2010/06/01 | 2010/10/18 | 20.08          |
| 62243    | UBS AG - China Mobile R Bull CBBC Dec 2010 A            | 100,000,000  | 2010/06/01 | 2010/12/13 | 25.00          |
| 62261    | UBS AG - China Mobile R Bear CBBC Nov 2010 E            | 100,000,000  | 2010/06/01 | 2010/11/29 | 25.00          |
| 62260    | UBS AG - China Life R Bear CBBC Oct 2010 J              | 100,000,000  | 2010/06/01 | 2010/10/25 | 25.00          |
| 62244    | UBS AG - Sinopec Corp R Bull CBBC Nov 2010 A            | 100,000,000  | 2010/06/01 | 2010/11/08 | 25.00          |
| 62254    | UBS AG - A50 China R Bull CBBC Nov 2010 G               | 100,000,000  | 2010/06/01 | 2010/11/29 | 25.00          |
| 62245    | UBS AG - HKEx R Bull CBBC Dec 2010 A                    | 100,000,000  | 2010/06/01 | 2010/12/13 | 25.00          |
| 62249    | UBS AG - HKEx R Bull CBBC Dec 2010 B                    | 100,000,000  | 2010/06/01 | 2010/12/06 | 25.00          |
| 62250    | UBS AG - HSBC R Bull CBBC Dec 2010 D                    | 100,000,000  | 2010/06/01 | 2010/12/13 | 25.00          |
| 62262    | UBS AG - HSBC R Bear CBBC Nov 2010 E                    | 100,000,000  | 2010/06/01 | 2010/11/01 | 25.00          |
| 62255    | UBS AG - HSCEI R Bull CBBC Oct 2010 G                   | 100,000,000  | 2010/06/01 | 2010/10/28 | 25.00          |
| 62256    | UBS AG - HSCEI R Bull CBBC Nov 2010 D                   | 100,000,000  | 2010/06/01 | 2010/11/29 | 25.00          |
| 62257    | UBS AG - HSCEI R Bull CBBC Dec 2010 B                   | 100,000,000  | 2010/06/01 | 2010/12/30 | 25.00          |
| 62265    | UBS AG - HSCEI R Bear CBBC Oct 2010 H                   | 100,000,000  | 2010/06/01 | 2010/10/28 | 25.00          |
| 62237    | UBS AG - HSI R Bull CBBC Sep 2010 U                     | 200,000,000  | 2010/06/01 | 2010/09/29 | 50.00          |
| 62230    | UBS AG - HSI R Bull CBBC Oct 2010 W                     | 200,000,000  | 2010/06/01 | 2010/10/28 | 50.00          |
| 62258    | UBS AG - HSI R Bull CBBC Nov 2010 H                     | 200,000,000  | 2010/06/01 | 2010/11/29 | 56.00          |
| 62259    | UBS AG - HSI R Bull CBBC Dec 2010 O                     | 200,000,000  | 2010/06/01 | 2010/12/30 | 50.00          |
| 62231    | UBS AG - HSI R Bull CBBC Jan 2011 C                     | 300,000,000  | 2010/06/01 | 2011/01/28 | 75.00          |
| 62266    | UBS AG - HSI R Bear CBBC Sep 2010 Q                     | 200,000,000  | 2010/06/01 | 2010/09/29 | 50.00          |
| 62270    | UBS AG - HSI R Bear CBBC Nov 2010 K                     | 200,000,000  | 2010/06/01 | 2010/11/29 | 50.00          |
| 62269    | UBS AG - HSI R Bear CBBC Nov 2010 N                     | 200,000,000  | 2010/06/01 | 2010/11/29 | 50.00          |
| 62273    | UBS AG - HSI R Bear CBBC Dec 2010 P                     | 200,000,000  | 2010/06/01 | 2010/12/30 | 50.00          |
| 62251    | UBS AG - ICBC R Bull CBBC Nov 2010 A                    | 100,000,000  | 2010/06/01 | 2010/11/29 | 25.00          |
| 62263    | UBS AG - ICBC R Bear CBBC Nov 2010 B                    | 100,000,000  | 2010/06/01 | 2010/11/22 | 25.00          |
| 62252    | UBS AG - TCH R Bull CBBC Nov 2010 E                     | 100,000,000  | 2010/06/01 | 2010/11/29 | 25.00          |
| 62253    | UBS AG - TCH R Bull CBBC Dec 2010 A                     | 100,000,000  | 2010/06/01 | 2010/12/06 | 25.00          |
| 62264    | UBS AG - TCH R Bear CBBC Nov 2010 F                     | 100,000,000  | 2010/06/01 | 2010/11/18 | 25.00          |
| 62322    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 J           | 300,000,000  | 2010/06/02 | 2010/09/29 | 75.00          |
| 62328    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 R           | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62323    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 J           | 300,000,000  | 2010/06/02 | 2010/12/30 | 75.00          |
| 62315    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 C           | 300,000,000  | 2010/06/02 | 2010/11/29 | 75.00          |
| 62327    | Deutsche Bank AG - HSI R Bear CBBC Dec 2010 K           | 300,000,000  | 2010/06/02 | 2010/12/30 | 75.00          |
| 62308    | HK Bank - China Life R Bull CBBC Dec 2010 A             | 120,000,000  | 2010/06/02 | 2010/12/15 | 30.00          |
| 62313    | HK Bank - China Life R Bear CBBC Dec 2010 B             | 120,000,000  | 2010/06/02 | 2010/12/15 | 30.00          |
| 62309    | HK Bank - China Shenhua R Bull CBBC Dec 2010 A          | 120,000,000  | 2010/06/02 | 2010/12/15 | 30.00          |
| 62314    | HK Bank - China Shenhua R Bear CBBC Dec 2010 B          | 120,000,000  | 2010/06/02 | 2010/12/15 | 30.00          |
| 62311    | HK Bank - HSI R Bear CBBC Dec 2010 A                    | 120,000,000  | 2010/06/02 | 2010/12/30 | 30.00          |

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| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62312    | HK Bank - HSI R Bear CBBC Dec 2010 B                          | 120,000,000  | 2010/06/02 | 2010/12/30 | 30.00          |
| 62310    | HK Bank - ICBC R Bear CBBC Dec 2010 A                         | 120,000,000  | 2010/06/02 | 2010/12/15 | 30.00          |
| 62329    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 A                 | 200,000,000  | 2010/06/02 | 2010/11/29 | 50.00          |
| 62331    | RB of Scotland N.V. - China Shenhua R Bull CBBC Oct 2010 A    | 80,000,000   | 2010/06/02 | 2010/10/18 | 20.00          |
| 62332    | RB of Scotland N.V. - China Shenhua R Bull CBBC Oct 2010 B    | 80,000,000   | 2010/06/02 | 2010/10/18 | 20.16          |
| 62334    | RB of Scotland N.V. - China Shenhua R Bear CBBC Oct 2010 C    | 80,000,000   | 2010/06/02 | 2010/10/18 | 20.08          |
| 62335    | RB of Scotland N.V. - China Shenhua R Bear CBBC Oct 2010 D    | 80,000,000   | 2010/06/02 | 2010/10/18 | 20.08          |
| 62330    | RB of Scotland N.V. - HKEx R Bull CBBC Oct 2010 C             | 80,000,000   | 2010/06/02 | 2010/10/11 | 20.08          |
| 62333    | RB of Scotland N.V. - HKEx R Bear CBBC Oct 2010 D             | 80,000,000   | 2010/06/02 | 2010/10/11 | 20.00          |
| 62319    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10J | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62320    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10K | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62321    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10L | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62302    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10D | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62303    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10E | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62304    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10F | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62305    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10G | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62306    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10H | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62307    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10I | 300,000,000  | 2010/06/02 | 2010/10/28 | 75.00          |
| 62324    | UBS AG - BYD Company R Bull CBBC Dec 2010 A                   | 100,000,000  | 2010/06/02 | 2010/12/06 | 25.00          |
| 62325    | UBS AG - HSI R Bull CBBC Nov 2010 P                           | 200,000,000  | 2010/06/02 | 2010/11/29 | 50.00          |
| 62326    | UBS AG - HSI R Bull CBBC Nov 2010 Q                           | 200,000,000  | 2010/06/02 | 2010/11/29 | 50.00          |
| 62316    | UBS AG - HSI R Bear CBBC Sep 2010 N                           | 200,000,000  | 2010/06/02 | 2010/09/29 | 50.00          |
| 62317    | UBS AG - HSI R Bear CBBC Dec 2010 Q                           | 300,000,000  | 2010/06/02 | 2010/12/30 | 75.00          |
| 62318    | UBS AG - HSI R Bear CBBC Dec 2010 R                           | 200,000,000  | 2010/06/02 | 2010/12/30 | 50.00          |
| 62336    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 T                 | 300,000,000  | 2010/06/03 | 2010/10/28 | 75.00          |
| 62341    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 B                 | 200,000,000  | 2010/06/03 | 2010/11/29 | 50.00          |
| 62342    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 C                 | 200,000,000  | 2010/06/03 | 2010/11/29 | 50.00          |
| 62337    | UBS AG - China Life R Bull CBBC Dec 2010 C                    | 100,000,000  | 2010/06/03 | 2010/12/13 | 55.00          |
| 62338    | UBS AG - Sinopec Corp R Bull CBBC Nov 2010 B                  | 100,000,000  | 2010/06/03 | 2010/11/22 | 25.00          |
| 62340    | UBS AG - HSCEI R Bull CBBC Nov 2010 E                         | 100,000,000  | 2010/06/03 | 2010/11/29 | 25.00          |
| 62343    | UBS AG - HSI R Bear CBBC Nov 2010 D                           | 200,000,000  | 2010/06/03 | 2010/11/29 | 50.00          |
| 62339    | UBS AG - ICBC R Bull CBBC Nov 2010 C                          | 100,000,000  | 2010/06/03 | 2010/11/15 | 25.00          |
| 62356    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 P                 | 330,000,000  | 2010/06/04 | 2010/10/28 | 82.50          |
| 62365    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 Q                 | 300,000,000  | 2010/06/04 | 2010/10/28 | 75.00          |
| 62348    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 C                 | 300,000,000  | 2010/06/04 | 2010/09/29 | 75.00          |
| 62367    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 N                 | 300,000,000  | 2010/06/04 | 2010/09/29 | 75.00          |
| 62355    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 O                 | 330,000,000  | 2010/06/04 | 2010/09/29 | 82.50          |
| 62366    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 P                 | 300,000,000  | 2010/06/04 | 2010/09/29 | 75.00          |
| 62368    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 R                 | 300,000,000  | 2010/06/04 | 2010/10/28 | 75.00          |
| 62369    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 S                 | 300,000,000  | 2010/06/04 | 2010/10/28 | 75.00          |
| 62363    | Deutsche Bank AG - China Life R Bull CBBC Nov 2010 A          | 150,000,000  | 2010/06/04 | 2010/11/01 | 64.50          |
| 62364    | Deutsche Bank AG - China Life R Bear CBBC Nov 2010 B          | 150,000,000  | 2010/06/04 | 2010/11/08 | 126.00         |
| 62351    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 T                 | 300,000,000  | 2010/06/04 | 2010/09/29 | 75.00          |
| 62350    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 W                 | 300,000,000  | 2010/06/04 | 2010/09/29 | 87.00          |
| 62346    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 W                 | 300,000,000  | 2010/06/04 | 2010/10/28 | 75.00          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                            | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|----------------------------------------------------------------|--------------|------------|------------|----------------|
| 62347    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 E                  | 300,000,000  | 2010/06/04 | 2010/11/29 | 81.00          |
| 62360    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 D                  | 200,000,000  | 2010/06/04 | 2010/11/29 | 50.00          |
| 62361    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 Q               | 250,000,000  | 2010/06/04 | 2010/10/28 | 62.50          |
| 62362    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 R               | 250,000,000  | 2010/06/04 | 2010/10/28 | 62.50          |
| 62345    | SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Sep10A | 300,000,000  | 2010/06/04 | 2010/09/27 | 75.00          |
| 62344    | SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Sep10F  | 200,000,000  | 2010/06/04 | 2010/09/29 | 50.00          |
| 62353    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10M  | 300,000,000  | 2010/06/04 | 2010/10/28 | 75.00          |
| 62354    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10N  | 300,000,000  | 2010/06/04 | 2010/10/28 | 75.00          |
| 62352    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10Q  | 300,000,000  | 2010/06/04 | 2010/09/29 | 75.00          |
| 62358    | UBS AG - China Life R Bull CBBC Oct 2010 K                     | 100,000,000  | 2010/06/04 | 2010/10/25 | 25.00          |
| 62359    | UBS AG - HSI R Bull CBBC Sep 2010 Y                            | 200,000,000  | 2010/06/04 | 2010/09/29 | 50.00          |
| 62349    | UBS AG - HSI R Bear CBBC Nov 2010 T                            | 200,000,000  | 2010/06/04 | 2010/11/29 | 50.00          |
| 62357    | UBS AG - TCH R Bull CBBC Nov 2010 G                            | 100,000,000  | 2010/06/04 | 2010/11/18 | 25.00          |
| 62372    | Credit Suisse AG - China Mobile R Bull CBBC Oct 2010 B         | 100,000,000  | 2010/06/07 | 2010/10/25 | 25.00          |
| 62377    | Credit Suisse AG - China Mobile R Bear CBBC Oct 2010 C         | 100,000,000  | 2010/06/07 | 2010/10/25 | 25.00          |
| 62378    | Credit Suisse AG - China Mobile R Bear CBBC Nov 2010 B         | 100,000,000  | 2010/06/07 | 2010/11/22 | 25.00          |
| 62376    | Credit Suisse AG - A50 China R Bull CBBC Sep 2010 G            | 100,000,000  | 2010/06/07 | 2010/09/27 | 27.00          |
| 62374    | Credit Suisse AG - HSBC R Bull CBBC Oct 2010 B                 | 100,000,000  | 2010/06/07 | 2010/10/25 | 25.00          |
| 62389    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 T                  | 300,000,000  | 2010/06/07 | 2010/10/28 | 75.00          |
| 62373    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 N                  | 325,000,000  | 2010/06/07 | 2010/11/29 | 81.25          |
| 62379    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 O                  | 300,000,000  | 2010/06/07 | 2010/11/29 | 75.00          |
| 62395    | Deutsche Bank AG - China Life R Bear CBBC Dec 2010 A           | 150,000,000  | 2010/06/07 | 2010/12/06 | 49.50          |
| 62396    | Deutsche Bank AG - China Life R Bear CBBC Dec 2010 B           | 150,000,000  | 2010/06/07 | 2010/12/06 | 96.00          |
| 62381    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 S                  | 300,000,000  | 2010/06/07 | 2010/10/28 | 81.00          |
| 62371    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 V                  | 300,000,000  | 2010/06/07 | 2010/10/28 | 75.00          |
| 62380    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 Z                  | 300,000,000  | 2010/06/07 | 2010/10/28 | 75.00          |
| 62382    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 L                  | 300,000,000  | 2010/06/07 | 2010/12/30 | 87.00          |
| 62383    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 M                  | 300,000,000  | 2010/06/07 | 2010/12/30 | 93.00          |
| 62375    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 X                  | 300,000,000  | 2010/06/07 | 2010/10/28 | 75.00          |
| 62390    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 H       | 128,000,000  | 2010/06/07 | 2010/09/29 | 32.00          |
| 62393    | RB of Scotland N.V. - HKEx R Bull CBBC Oct 2010 E              | 80,000,000   | 2010/06/07 | 2010/10/11 | 20.08          |
| 62394    | RB of Scotland N.V. - HSBC R Bear CBBC Oct 2010 E              | 80,000,000   | 2010/06/07 | 2010/10/15 | 20.00          |
| 62370    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10O  | 300,000,000  | 2010/06/07 | 2010/10/28 | 75.00          |
| 62391    | UBS AG - China Life R Bull CBBC Dec 2010 D                     | 100,000,000  | 2010/06/07 | 2010/12/06 | 44.50          |
| 62384    | UBS AG - CNOOC R Bull CBBC Dec 2010 D                          | 100,000,000  | 2010/06/07 | 2010/12/13 | 25.00          |
| 62388    | UBS AG - CNOOC R Bear CBBC Dec 2010 E                          | 100,000,000  | 2010/06/07 | 2010/12/06 | 25.00          |
| 62385    | UBS AG - A50 China R Bull CBBC Dec 2010 A                      | 100,000,000  | 2010/06/07 | 2010/12/28 | 25.00          |
| 62386    | UBS AG - HSCEI R Bull CBBC Oct 2010 I                          | 100,000,000  | 2010/06/07 | 2010/10/28 | 25.00          |
| 62387    | UBS AG - HSI R Bull CBBC Dec 2010 S                            | 200,000,000  | 2010/06/07 | 2010/12/30 | 50.00          |
| 62392    | UBS AG - HSI R Bear CBBC Dec 2010 T                            | 200,000,000  | 2010/06/07 | 2010/12/30 | 50.00          |
| 62397    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 L                  | 304,000,000  | 2010/06/08 | 2010/09/29 | 76.00          |
| 62410    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 I                  | 300,000,000  | 2010/06/08 | 2010/11/29 | 75.00          |
| 62399    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 A                  | 200,000,000  | 2010/06/08 | 2010/10/28 | 50.00          |
| 62400    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 B                  | 200,000,000  | 2010/06/08 | 2010/10/28 | 50.00          |
| 62403    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 C                  | 200,000,000  | 2010/06/08 | 2010/10/28 | 50.00          |



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|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62404    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 D                 | 200,000,000  | 2010/06/08 | 2010/10/28 | 50.00          |
| 62406    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 Y      | 158,000,000  | 2010/06/08 | 2010/10/28 | 39.50          |
| 62407    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2010 E      | 128,000,000  | 2010/06/08 | 2010/11/29 | 32.00          |
| 62398    | Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2010 C              | 250,000,000  | 2010/06/08 | 2010/10/28 | 62.75          |
| 62408    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 E                 | 200,000,000  | 2010/06/08 | 2010/11/29 | 50.00          |
| 62409    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 F                 | 200,000,000  | 2010/06/08 | 2010/11/29 | 50.00          |
| 62401    | UBS AG - HSI R Bull CBBC Oct 2010 O                           | 200,000,000  | 2010/06/08 | 2010/10/28 | 50.00          |
| 62402    | UBS AG - HSI R Bear CBBC Sep 2010 M                           | 200,000,000  | 2010/06/08 | 2010/09/29 | 50.00          |
| 62405    | UBS AG - HSI R Bear CBBC Dec 2010 U                           | 200,000,000  | 2010/06/08 | 2010/12/30 | 50.00          |
| 62076 #  | Credit Suisse AG - HSI R Bear CBBC Aug 2010 S                 | 195,000,000  | 2010/06/08 | 2010/08/30 | 20.09          |
| 62414    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 G                 | 300,000,000  | 2010/06/09 | 2010/10/28 | 75.00          |
| 62411    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10P | 300,000,000  | 2010/06/09 | 2010/10/28 | 75.00          |
| 62412    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10Q | 300,000,000  | 2010/06/09 | 2010/10/28 | 75.00          |
| 62413    | UBS AG - HSI R Bull CBBC Nov 2010 V                           | 200,000,000  | 2010/06/09 | 2010/11/29 | 50.00          |
| 62418    | UBS AG - HSI R Bear CBBC Jan 2011 D                           | 300,000,000  | 2010/06/09 | 2011/01/28 | 75.00          |
| 62430    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2010 J      | 100,000,000  | 2010/06/10 | 2010/11/29 | 25.00          |
| 62431    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2010 K      | 100,000,000  | 2010/06/10 | 2010/11/29 | 25.00          |
| 62422    | Credit Suisse AG - HSBC R Bull CBBC Sep 2010 E                | 100,000,000  | 2010/06/10 | 2010/09/27 | 25.00          |
| 62420    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 U                 | 300,000,000  | 2010/06/10 | 2010/10/28 | 75.00          |
| 62421    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 V                 | 330,000,000  | 2010/06/10 | 2010/10/28 | 82.50          |
| 62427    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 G                 | 200,000,000  | 2010/06/10 | 2010/11/29 | 50.00          |
| 62424    | UBS AG - HSI R Bull CBBC Nov 2010 U                           | 200,000,000  | 2010/06/10 | 2010/11/29 | 50.00          |
| 62423    | UBS AG - HSI R Bull CBBC Nov 2010 X                           | 200,000,000  | 2010/06/10 | 2010/11/29 | 50.00          |
| 62425    | UBS AG - HSI R Bull CBBC Dec 2010 V                           | 200,000,000  | 2010/06/10 | 2010/12/30 | 50.00          |
| 62426    | UBS AG - HSI R Bull CBBC Dec 2010 W                           | 200,000,000  | 2010/06/10 | 2010/12/30 | 50.00          |
| 62419    | UBS AG - HSI R Bear CBBC Oct 2010 K                           | 200,000,000  | 2010/06/10 | 2010/10/28 | 50.00          |
| 62428    | UBS AG - HSI R Bear CBBC Nov 2010 Z                           | 200,000,000  | 2010/06/10 | 2010/11/29 | 50.00          |
| 62429    | UBS AG - HSI R Bear CBBC Dec 2010 X                           | 200,000,000  | 2010/06/10 | 2010/12/30 | 52.00          |
| 62438    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 Q                 | 330,000,000  | 2010/06/11 | 2010/09/29 | 82.50          |
| 62436    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 H                 | 300,000,000  | 2010/06/11 | 2010/11/29 | 75.00          |
| 62432    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10R | 300,000,000  | 2010/06/11 | 2010/10/28 | 75.00          |
| 62434    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10S | 300,000,000  | 2010/06/11 | 2010/10/28 | 75.00          |
| 62437    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10T | 300,000,000  | 2010/06/11 | 2010/10/28 | 75.00          |
| 62435    | UBS AG - HSI R Bull CBBC Sep 2010 Q                           | 200,000,000  | 2010/06/11 | 2010/09/29 | 50.00          |
| 62439    | UBS AG - HSI R Bull CBBC Jan 2011 E                           | 200,000,000  | 2010/06/11 | 2011/01/28 | 50.00          |
| 62440    | UBS AG - HSI R Bull CBBC Feb 2011 B                           | 200,000,000  | 2010/06/11 | 2011/02/25 | 50.00          |
| 62443    | UBS AG - HSI R Bear CBBC Jan 2011 F                           | 200,000,000  | 2010/06/11 | 2011/01/28 | 62.00          |
| 62441    | UBS AG - HSI R Bear CBBC Mar 2011 A                           | 200,000,000  | 2010/06/11 | 2011/03/30 | 50.00          |
| 62451    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 P                 | 330,000,000  | 2010/06/14 | 2010/11/29 | 82.50          |
| 62452    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 K                 | 330,000,000  | 2010/06/14 | 2010/09/29 | 82.50          |
| 62453    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 R                 | 300,000,000  | 2010/06/14 | 2010/09/29 | 75.00          |
| 62461    | Deutsche Bank AG - CNOOC R Bull CBBC Nov 2010 A               | 150,000,000  | 2010/06/14 | 2010/11/22 | 37.50          |
| 62462    | Deutsche Bank AG - HKEx R Bull CBBC Nov 2010 B                | 150,000,000  | 2010/06/14 | 2010/11/22 | 37.50          |
| 62466    | Deutsche Bank AG - HSBC R Bear CBBC Dec 2010 B                | 150,000,000  | 2010/06/14 | 2010/12/06 | 37.50          |
| 62446    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 C                 | 300,000,000  | 2010/06/14 | 2010/10/28 | 75.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62457    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 H                 | 300,000,000  | 2010/06/14 | 2010/10/28 | 75.00          |
| 62458    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 L                 | 300,000,000  | 2010/06/14 | 2010/11/29 | 78.00          |
| 62467    | Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2010 A          | 200,000,000  | 2010/06/14 | 2010/12/30 | 50.00          |
| 62445    | HK Bank - A50 R Bull CBBC Dec 2010 A                          | 150,000,000  | 2010/06/14 | 2010/12/20 | 37.50          |
| 62444    | HK Bank - HSI R Bull CBBC Dec 2010 C                          | 150,000,000  | 2010/06/14 | 2010/12/30 | 37.50          |
| 62448    | HK Bank - HSI R Bear CBBC Dec 2010 D                          | 150,000,000  | 2010/06/14 | 2010/12/30 | 37.50          |
| 62449    | HK Bank - HSI R Bear CBBC Dec 2010 E                          | 150,000,000  | 2010/06/14 | 2010/12/30 | 37.50          |
| 62468    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 H                 | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62469    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 I                 | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62473    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 J                 | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62474    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 K                 | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62475    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 L                 | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62454    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10V | 300,000,000  | 2010/06/14 | 2010/10/28 | 75.00          |
| 62442    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10U | 300,000,000  | 2010/06/14 | 2010/10/28 | 75.00          |
| 62455    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10W | 300,000,000  | 2010/06/14 | 2010/10/28 | 75.00          |
| 62456    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10X | 300,000,000  | 2010/06/14 | 2010/10/28 | 75.00          |
| 62459    | UBS AG - HSI R Bull CBBC Sep 2010 N                           | 200,000,000  | 2010/06/14 | 2010/09/29 | 50.00          |
| 62460    | UBS AG - HSI R Bull CBBC Nov 2010 E                           | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62447    | UBS AG - HSI R Bull CBBC Dec 2010 Y                           | 200,000,000  | 2010/06/14 | 2010/12/30 | 50.00          |
| 62450    | UBS AG - HSI R Bull CBBC Jan 2011 G                           | 300,000,000  | 2010/06/14 | 2011/01/28 | 75.00          |
| 62463    | UBS AG - HSI R Bear CBBC Sep 2010 Y                           | 200,000,000  | 2010/06/14 | 2010/09/29 | 50.00          |
| 62465    | UBS AG - HSI R Bear CBBC Nov 2010 K                           | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62464    | UBS AG - HSI R Bear CBBC Nov 2010 N                           | 200,000,000  | 2010/06/14 | 2010/11/29 | 50.00          |
| 62472    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 Q                 | 300,000,000  | 2010/06/15 | 2010/11/29 | 75.00          |
| 62470    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 S                 | 300,000,000  | 2010/06/15 | 2010/09/29 | 75.00          |
| 62471    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 W                 | 300,000,000  | 2010/06/15 | 2010/09/29 | 75.00          |
| 62483    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 I      | 128,000,000  | 2010/06/15 | 2010/10/28 | 32.00          |
| 62482    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 Z      | 128,000,000  | 2010/06/15 | 2010/10/28 | 32.00          |
| 62484    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 M                 | 200,000,000  | 2010/06/15 | 2010/11/29 | 50.00          |
| 62485    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 N                 | 200,000,000  | 2010/06/15 | 2010/11/29 | 50.00          |
| 62478    | RB of Scotland N.V. - CCHC R Bear CBBC Oct 2010 G             | 80,000,000   | 2010/06/15 | 2010/10/18 | 20.00          |
| 62479    | RB of Scotland N.V. - DJIA R Bear CBBC Sep 2010 P             | 40,000,000   | 2010/06/15 | 2010/09/17 | 10.08          |
| 62481    | UBS AG - HSI R Bull CBBC Sep 2010 J                           | 200,000,000  | 2010/06/15 | 2010/09/29 | 50.00          |
| 62477    | UBS AG - HSI R Bear CBBC Oct 2010 O                           | 200,000,000  | 2010/06/15 | 2010/10/28 | 50.00          |
| 62480    | UBS AG - HSI R Bear CBBC Dec 2010 Z                           | 200,000,000  | 2010/06/15 | 2010/12/30 | 50.00          |
| 62476    | UBS AG - TCH R Bear CBBC Nov 2010 H                           | 100,000,000  | 2010/06/15 | 2010/11/01 | 25.00          |
| 62127 #  | Credit Suisse AG - HSI R Bear CBBC Aug 2010 T                 | 220,000,000  | 2010/06/15 | 2010/08/30 | 12.76          |
| 62492    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 W                 | 325,000,000  | 2010/06/17 | 2010/10/28 | 81.25          |
| 62491    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 V                 | 325,000,000  | 2010/06/17 | 2010/09/29 | 81.25          |
| 62490    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 B                 | 300,000,000  | 2010/06/17 | 2010/10/28 | 75.00          |
| 62500    | Deutsche Bank AG - TCH R Bull CBBC Dec 2010 A                 | 150,000,000  | 2010/06/17 | 2010/12/06 | 37.50          |
| 62495    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 P      | 128,000,000  | 2010/06/17 | 2010/10/28 | 32.00          |
| 62496    | RB of Scotland N.V. - TCH R Bull CBBC Oct 2010 G              | 80,000,000   | 2010/06/17 | 2010/10/18 | 20.00          |
| 62497    | RB of Scotland N.V. - TCH R Bull CBBC Oct 2010 H              | 80,000,000   | 2010/06/17 | 2010/10/18 | 20.00          |
| 62499    | RB of Scotland N.V. - TCH R Bear CBBC Oct 2010 I              | 80,000,000   | 2010/06/17 | 2010/10/18 | 20.00          |

# 主板 可收回牛熊證

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|----------|----------------------------------------------------------|--------------|------------|------------|----------------|
| 62486    | UBS AG - Cheung Kong R Bull CBBC Dec 2010 C              | 100,000,000  | 2010/06/17 | 2010/12/28 | 25.00          |
| 62487    | UBS AG - Cheung Kong R Bull CBBC Dec 2010 D              | 100,000,000  | 2010/06/17 | 2010/12/20 | 25.00          |
| 62489    | UBS AG - HSI R Bull CBBC Nov 2010 G                      | 200,000,000  | 2010/06/17 | 2010/11/29 | 50.00          |
| 62494    | UBS AG - HSI R Bull CBBC Nov 2010 J                      | 200,000,000  | 2010/06/17 | 2010/11/29 | 50.00          |
| 62498    | UBS AG - HSI R Bear CBBC Nov 2010 D                      | 200,000,000  | 2010/06/17 | 2010/11/29 | 50.00          |
| 62488    | UBS AG - SHK Ppt R Bull CBBC Dec 2010 B                  | 100,000,000  | 2010/06/17 | 2010/12/13 | 25.00          |
| 62501    | Credit Suisse AG - China Mobile R Bull CBBC Sep 2010 C   | 100,000,000  | 2010/06/18 | 2010/09/29 | 25.00          |
| 62506    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 K            | 300,000,000  | 2010/06/18 | 2010/11/29 | 78.00          |
| 62507    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 O            | 300,000,000  | 2010/06/18 | 2010/11/29 | 75.00          |
| 62502    | UBS AG - China Mobile R Bull CBBC Nov 2010 F             | 100,000,000  | 2010/06/18 | 2010/11/15 | 25.00          |
| 62508    | UBS AG - TCH R Bull CBBC Nov 2010 K                      | 100,000,000  | 2010/06/18 | 2010/11/22 | 25.00          |
| 62509    | UBS AG - TCH R Bull CBBC Nov 2010 L                      | 100,000,000  | 2010/06/18 | 2010/11/08 | 25.00          |
| 62510    | UBS AG - TCH R Bull CBBC Dec 2010 C                      | 100,000,000  | 2010/06/18 | 2010/12/20 | 27.00          |
| 62511    | UBS AG - TCH R Bull CBBC Dec 2010 D                      | 100,000,000  | 2010/06/18 | 2010/12/06 | 25.00          |
| 62512    | UBS AG - TCH R Bull CBBC Jan 2011 A                      | 100,000,000  | 2010/06/18 | 2011/01/03 | 32.00          |
| 62503    | UBS AG - TCH R Bear CBBC Nov 2010 I                      | 100,000,000  | 2010/06/18 | 2010/11/15 | 25.00          |
| 62504    | UBS AG - TCH R Bear CBBC Nov 2010 J                      | 100,000,000  | 2010/06/18 | 2010/11/29 | 25.00          |
| 62505    | UBS AG - TCH R Bear CBBC Dec 2010 B                      | 100,000,000  | 2010/06/18 | 2010/12/06 | 25.00          |
| 62516    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2010 F | 100,000,000  | 2010/06/21 | 2010/12/30 | 25.00          |
| 62520    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2010 G | 100,000,000  | 2010/06/21 | 2010/12/30 | 25.00          |
| 62521    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2010 H | 100,000,000  | 2010/06/21 | 2010/12/30 | 25.00          |
| 62544    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 X            | 330,000,000  | 2010/06/21 | 2010/10/28 | 82.50          |
| 62545    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 R            | 330,000,000  | 2010/06/21 | 2010/11/29 | 82.50          |
| 62528    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 U            | 330,000,000  | 2010/06/21 | 2010/09/29 | 82.50          |
| 62523    | Credit Suisse AG - TCH R Bull CBBC Oct 2010 A            | 100,000,000  | 2010/06/21 | 2010/10/25 | 25.00          |
| 62524    | Credit Suisse AG - TCH R Bull CBBC Nov 2010 A            | 100,000,000  | 2010/06/21 | 2010/11/22 | 26.00          |
| 62529    | Credit Suisse AG - TCH R Bear CBBC Oct 2010 B            | 100,000,000  | 2010/06/21 | 2010/10/25 | 29.50          |
| 62530    | Credit Suisse AG - TCH R Bear CBBC Nov 2010 B            | 100,000,000  | 2010/06/21 | 2010/11/22 | 39.50          |
| 62531    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 S            | 300,000,000  | 2010/06/21 | 2010/11/29 | 84.00          |
| 62532    | Deutsche Bank AG - HSI R Bull CBBC Dec 2010 N            | 300,000,000  | 2010/06/21 | 2010/12/30 | 75.00          |
| 62525    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 D            | 300,000,000  | 2010/06/21 | 2010/10/28 | 75.00          |
| 62533    | Deutsche Bank AG - TCH R Bull CBBC Dec 2010 B            | 150,000,000  | 2010/06/21 | 2010/12/13 | 37.50          |
| 62514    | HK Bank - TCH R Bull CBBC Nov 2010 A                     | 100,000,000  | 2010/06/21 | 2010/11/12 | 33.00          |
| 62515    | HK Bank - TCH R Bear CBBC Oct 2010 A                     | 100,000,000  | 2010/06/21 | 2010/10/22 | 30.00          |
| 62534    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 E            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62535    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 F            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62536    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 G            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62537    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 H            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62538    | J P Morgan SP BV - HSI R Bull CBBC Nov 2010 A            | 200,000,000  | 2010/06/21 | 2010/11/29 | 50.00          |
| 62539    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 I            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62540    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 J            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62541    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 K            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62542    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 L            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62543    | J P Morgan SP BV - HSI R Bear CBBC Nov 2010 B            | 200,000,000  | 2010/06/21 | 2010/11/29 | 50.00          |
| 62553    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 O            | 200,000,000  | 2010/06/21 | 2010/11/29 | 50.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                            | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|----------------------------------------------------------------|--------------|------------|------------|----------------|
| 62554    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 P                  | 200,000,000  | 2010/06/21 | 2010/11/29 | 50.00          |
| 62526    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10C  | 300,000,000  | 2010/06/21 | 2010/10/28 | 75.00          |
| 62527    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10I  | 300,000,000  | 2010/06/21 | 2010/10/28 | 75.00          |
| 62513    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10Y  | 300,000,000  | 2010/06/21 | 2010/10/28 | 75.00          |
| 62519    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10Z  | 300,000,000  | 2010/06/21 | 2010/10/28 | 75.00          |
| 62546    | UBS AG - BOCL R Bull CBBC Dec 2010 A                           | 100,000,000  | 2010/06/21 | 2010/12/06 | 25.00          |
| 62549    | UBS AG - China Mobile R Bear CBBC Nov 2010 G                   | 100,000,000  | 2010/06/21 | 2010/11/29 | 25.00          |
| 62550    | UBS AG - China Mobile R Bear CBBC Dec 2010 B                   | 100,000,000  | 2010/06/21 | 2010/12/13 | 25.00          |
| 62547    | UBS AG - CC Bank R Bull CBBC Dec 2010 A                        | 100,000,000  | 2010/06/21 | 2010/12/13 | 25.00          |
| 62548    | UBS AG - CC Bank R Bull CBBC Dec 2010 B                        | 100,000,000  | 2010/06/21 | 2010/12/06 | 25.00          |
| 62551    | UBS AG - HSI R Bull CBBC Oct 2010 K                            | 200,000,000  | 2010/06/21 | 2010/10/28 | 50.00          |
| 62552    | UBS AG - HSI R Bull CBBC Dec 2010 C                            | 300,000,000  | 2010/06/21 | 2010/12/30 | 75.00          |
| 62522    | UBS AG - HSI R Bear CBBC Dec 2010 B                            | 200,000,000  | 2010/06/21 | 2010/12/30 | 50.00          |
| 62566    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 S                  | 300,000,000  | 2010/06/22 | 2010/11/29 | 75.00          |
| 62563    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 T                  | 330,000,000  | 2010/06/22 | 2010/09/29 | 82.50          |
| 62562    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 J                  | 300,000,000  | 2010/06/22 | 2010/10/28 | 75.00          |
| 62560    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 U                  | 300,000,000  | 2010/06/22 | 2010/11/29 | 75.00          |
| 62576    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 O       | 128,000,000  | 2010/06/22 | 2010/10/28 | 32.00          |
| 62577    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2010 F       | 128,000,000  | 2010/06/22 | 2010/11/29 | 32.00          |
| 62580    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 R       | 128,000,000  | 2010/06/22 | 2010/10/28 | 32.00          |
| 62581    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2010 G       | 158,000,000  | 2010/06/22 | 2010/11/29 | 39.50          |
| 62572    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 Q                  | 200,000,000  | 2010/06/22 | 2010/11/29 | 50.00          |
| 62573    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 R                  | 200,000,000  | 2010/06/22 | 2010/11/29 | 50.00          |
| 62574    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 S                  | 200,000,000  | 2010/06/22 | 2010/11/29 | 50.00          |
| 62575    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 T                  | 200,000,000  | 2010/06/22 | 2010/11/29 | 50.00          |
| 62579    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 U                  | 200,000,000  | 2010/06/22 | 2010/11/29 | 50.00          |
| 62568    | RB of Scotland N.V. - HSCEI R Bull CBBC Oct 2010 A             | 250,000,000  | 2010/06/22 | 2010/10/28 | 62.50          |
| 62567    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 S               | 250,000,000  | 2010/06/22 | 2010/10/28 | 62.50          |
| 62569    | RB of Scotland N.V. - TCH R Bull CBBC Oct 2010 J               | 80,000,000   | 2010/06/22 | 2010/10/18 | 20.00          |
| 62564    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10G  | 300,000,000  | 2010/06/22 | 2010/10/28 | 75.00          |
| 62565    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10K  | 300,000,000  | 2010/06/22 | 2010/09/29 | 75.00          |
| 62555    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10H  | 300,000,000  | 2010/06/22 | 2010/10/28 | 75.00          |
| 62556    | SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Sep 10A | 100,000,000  | 2010/06/22 | 2010/09/27 | 26.00          |
| 62557    | SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Sep 10B | 100,000,000  | 2010/06/22 | 2010/09/27 | 25.00          |
| 62570    | UBS AG - HSI R Bull CBBC Nov 2010 T                            | 200,000,000  | 2010/06/22 | 2010/11/29 | 50.00          |
| 62571    | UBS AG - HSI R Bull CBBC Nov 2010 V                            | 200,000,000  | 2010/06/22 | 2010/11/29 | 50.00          |
| 62558    | UBS AG - HSI R Bear CBBC Sep 2010 M                            | 200,000,000  | 2010/06/22 | 2010/09/29 | 50.00          |
| 62559    | UBS AG - HSI R Bear CBBC Jan 2011 H                            | 200,000,000  | 2010/06/22 | 2011/01/28 | 50.00          |
| 62586    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 Y                  | 330,000,000  | 2010/06/23 | 2010/10/28 | 82.50          |
| 62587    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 T                  | 300,000,000  | 2010/06/23 | 2010/11/29 | 75.00          |
| 62588    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 X                  | 330,000,000  | 2010/06/23 | 2010/09/29 | 82.50          |
| 62591    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 E                  | 300,000,000  | 2010/06/23 | 2010/10/28 | 90.00          |
| 62592    | Deutsche Bank AG - HSI R Bull CBBC Jan 2011 A                  | 300,000,000  | 2010/06/23 | 2011/01/28 | 75.00          |
| 62596    | Deutsche Bank AG - HSI R Bear CBBC Jan 2011 B                  | 300,000,000  | 2010/06/23 | 2011/01/28 | 75.00          |
| 62578    | HK Bank - HSI R Bull CBBC Nov 2010 F                           | 80,000,000   | 2010/06/23 | 2010/11/29 | 20.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62582    | HK Bank - HSI R Bear CBBC Nov 2010 G                          | 80,000,000   | 2010/06/23 | 2010/11/29 | 20.00          |
| 62583    | UBS AG - CCHC R Bull CBBC Nov 2010 A                          | 100,000,000  | 2010/06/23 | 2010/11/29 | 25.00          |
| 62584    | UBS AG - HSCEI R Bull CBBC Nov 2010 F                         | 100,000,000  | 2010/06/23 | 2010/11/29 | 25.00          |
| 62589    | UBS AG - HSI R Bull CBBC Sep 2010 S                           | 200,000,000  | 2010/06/23 | 2010/09/29 | 50.00          |
| 62590    | UBS AG - HSI R Bull CBBC Jan 2011 I                           | 200,000,000  | 2010/06/23 | 2011/01/28 | 50.00          |
| 62585    | UBS AG - HSI R Bear CBBC Oct 2010 Y                           | 200,000,000  | 2010/06/23 | 2010/10/28 | 50.00          |
| 62598    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 U                 | 300,000,000  | 2010/06/24 | 2010/09/29 | 75.00          |
| 62602    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 O                 | 300,000,000  | 2010/06/24 | 2010/09/29 | 78.00          |
| 62604    | Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2010 B          | 200,000,000  | 2010/06/24 | 2010/12/30 | 50.00          |
| 62605    | Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2010 C          | 200,000,000  | 2010/06/24 | 2010/12/30 | 50.00          |
| 62599    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 M                 | 200,000,000  | 2010/06/24 | 2010/10/28 | 50.00          |
| 62600    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 N                 | 200,000,000  | 2010/06/24 | 2010/10/28 | 50.00          |
| 62601    | J P Morgan SP BV - HSI R Bear CBBC Nov 2010 C                 | 200,000,000  | 2010/06/24 | 2010/11/29 | 50.00          |
| 62594    | UBS AG - China Life R Bull CBBC Nov 2010 D                    | 100,000,000  | 2010/06/24 | 2010/11/22 | 25.00          |
| 62603    | UBS AG - A50 China R Bull CBBC Sep 2010 A                     | 100,000,000  | 2010/06/24 | 2010/09/24 | 25.00          |
| 62597    | UBS AG - HSI R Bear CBBC Sep 2010 Y                           | 200,000,000  | 2010/06/24 | 2010/09/29 | 50.00          |
| 62595    | UBS AG - TCH R Bear CBBC Nov 2010 M                           | 100,000,000  | 2010/06/24 | 2010/11/22 | 25.00          |
| 62368 #  | Credit Suisse AG - HSI R Bear CBBC Oct 2010 R                 | 300,000,000  | 2010/06/24 | 2010/10/28 | 18.90          |
| 61637 #  | UBS AG - HSI R Bear CBBC Oct 2010 X                           | 300,000,000  | 2010/06/24 | 2010/10/28 | 24.30          |
| 62627    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2010 L      | 100,000,000  | 2010/06/25 | 2010/11/29 | 25.00          |
| 62622    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 U                 | 300,000,000  | 2010/06/25 | 2010/11/29 | 75.00          |
| 62609    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 Y                 | 330,000,000  | 2010/06/25 | 2010/09/29 | 82.50          |
| 62613    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 N                 | 300,000,000  | 2010/06/25 | 2010/10/28 | 75.00          |
| 62620    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 X      | 128,000,000  | 2010/06/25 | 2010/10/28 | 32.00          |
| 62611    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2010 D      | 128,000,000  | 2010/06/25 | 2010/09/29 | 32.00          |
| 62612    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 S      | 128,000,000  | 2010/06/25 | 2010/10/28 | 32.00          |
| 62606    | Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2010 D              | 200,000,000  | 2010/06/25 | 2010/10/28 | 50.20          |
| 62623    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 V                 | 200,000,000  | 2010/06/25 | 2010/11/29 | 50.00          |
| 62624    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 W                 | 200,000,000  | 2010/06/25 | 2010/11/29 | 50.00          |
| 62628    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 X                 | 200,000,000  | 2010/06/25 | 2010/11/29 | 50.00          |
| 62625    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 T              | 250,000,000  | 2010/06/25 | 2010/10/28 | 62.50          |
| 62626    | RB of Scotland N.V. - TCH R Bear CBBC Oct 2010 K              | 80,000,000   | 2010/06/25 | 2010/10/18 | 21.12          |
| 62616    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10B | 300,000,000  | 2010/06/25 | 2010/10/28 | 75.00          |
| 62615    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10D | 300,000,000  | 2010/06/25 | 2010/10/28 | 75.00          |
| 62614    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10E | 300,000,000  | 2010/06/25 | 2010/10/28 | 85.50          |
| 62607    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10F | 300,000,000  | 2010/06/25 | 2010/10/28 | 75.00          |
| 62618    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10M | 300,000,000  | 2010/06/25 | 2010/10/28 | 75.00          |
| 62617    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct10N | 300,000,000  | 2010/06/25 | 2010/10/28 | 75.00          |
| 62619    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10M | 300,000,000  | 2010/06/25 | 2010/09/29 | 75.00          |
| 62608    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep10Q | 300,000,000  | 2010/06/25 | 2010/09/29 | 75.00          |
| 62621    | UBS AG - HSI R Bull CBBC Oct 2010 Q                           | 200,000,000  | 2010/06/25 | 2010/10/28 | 50.00          |
| 62610    | UBS AG - HSI R Bear CBBC Oct 2010 O                           | 200,000,000  | 2010/06/25 | 2010/10/28 | 50.00          |
| 62703    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2010 I      | 100,000,000  | 2010/06/28 | 2010/12/30 | 25.00          |
| 62704    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2010 J      | 100,000,000  | 2010/06/28 | 2010/12/30 | 25.00          |
| 62705    | BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2011 A      | 100,000,000  | 2010/06/28 | 2011/01/28 | 25.00          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                      | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|----------------------------------------------------------|--------------|------------|------------|----------------|
| 62709    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2010 M | 100,000,000  | 2010/06/28 | 2010/11/29 | 25.00          |
| 62710    | BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2010 K | 100,000,000  | 2010/06/28 | 2010/12/30 | 25.00          |
| 62685    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 A            | 325,000,000  | 2010/06/28 | 2010/10/28 | 81.25          |
| 62684    | Credit Suisse AG - HSI R Bull CBBC Oct 2010 B            | 300,000,000  | 2010/06/28 | 2010/10/28 | 75.00          |
| 62686    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 V            | 330,000,000  | 2010/06/28 | 2010/11/29 | 82.50          |
| 62638    | Credit Suisse AG - HSI R Bull CBBC Dec 2010 M            | 304,000,000  | 2010/06/28 | 2010/12/30 | 76.00          |
| 62687    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 G            | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62636    | Credit Suisse AG - HSI R Bear CBBC Sep 2010 Z            | 325,000,000  | 2010/06/28 | 2010/09/29 | 81.25          |
| 62688    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 G            | 300,000,000  | 2010/06/28 | 2010/10/28 | 75.00          |
| 62689    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 J            | 300,000,000  | 2010/06/28 | 2010/10/28 | 75.00          |
| 62637    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 Z            | 330,000,000  | 2010/06/28 | 2010/10/28 | 82.50          |
| 62690    | Credit Suisse AG - HSI R Bear CBBC Nov 2010 W            | 330,000,000  | 2010/06/28 | 2010/11/29 | 82.50          |
| 62691    | Credit Suisse AG - HSI R Bear CBBC Dec 2010 N            | 330,000,000  | 2010/06/28 | 2010/12/30 | 82.50          |
| 62647    | Deutsche Bank AG - HSI R Bull CBBC Sep 2010 J            | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62648    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 T            | 300,000,000  | 2010/06/28 | 2010/10/28 | 81.00          |
| 62649    | Deutsche Bank AG - HSI R Bull CBBC Nov 2010 R            | 300,000,000  | 2010/06/28 | 2010/11/29 | 87.00          |
| 62643    | Deutsche Bank AG - HSI R Bear CBBC Sep 2010 Q            | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62644    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 A            | 300,000,000  | 2010/06/28 | 2010/10/28 | 78.00          |
| 62645    | Deutsche Bank AG - HSI R Bear CBBC Oct 2010 K            | 300,000,000  | 2010/06/28 | 2010/10/28 | 81.00          |
| 62629    | HK Bank - HSI R Bull CBBC Nov 2010 H                     | 120,000,000  | 2010/06/28 | 2010/11/29 | 30.00          |
| 62630    | HK Bank - HSI R Bull CBBC Nov 2010 I                     | 120,000,000  | 2010/06/28 | 2010/11/29 | 30.00          |
| 62631    | HK Bank - HSI R Bull CBBC Nov 2010 J                     | 120,000,000  | 2010/06/28 | 2010/11/29 | 30.00          |
| 62692    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 O            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62693    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 P            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62694    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 Q            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62695    | J P Morgan SP BV - HSI R Bull CBBC Oct 2010 R            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62696    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 S            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62697    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 T            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62698    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 U            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62699    | J P Morgan SP BV - HSI R Bear CBBC Oct 2010 V            | 200,000,000  | 2010/06/28 | 2010/10/28 | 50.00          |
| 62679    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 T | 128,000,000  | 2010/06/28 | 2010/10/28 | 32.00          |
| 62677    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 U | 128,000,000  | 2010/06/28 | 2010/10/28 | 32.00          |
| 62680    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2010 H | 158,000,000  | 2010/06/28 | 2010/11/29 | 39.50          |
| 62646    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 W | 128,000,000  | 2010/06/28 | 2010/10/28 | 32.00          |
| 62702    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 C            | 200,000,000  | 2010/06/28 | 2010/11/29 | 50.00          |
| 62700    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 Y            | 200,000,000  | 2010/06/28 | 2010/11/29 | 50.00          |
| 62701    | CC Rabobank B.A. - HSI R Bull CBBC Nov 2010 Z            | 200,000,000  | 2010/06/28 | 2010/11/29 | 50.00          |
| 62706    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 D            | 200,000,000  | 2010/06/28 | 2010/11/29 | 50.00          |
| 62708    | CC Rabobank B.A. - HSI R Bear CBBC Nov 2010 E            | 200,000,000  | 2010/06/28 | 2010/11/29 | 50.00          |
| 62712    | RB of Scotland N.V. - COVS R Bull CBBC Nov 2010 A        | 80,000,000   | 2010/06/28 | 2010/11/11 | 27.20          |
| 62713    | RB of Scotland N.V. - COVS R Bull CBBC Nov 2010 B        | 80,000,000   | 2010/06/28 | 2010/11/11 | 22.40          |
| 62714    | RB of Scotland N.V. - COVS R Bear CBBC Nov 2010 C        | 80,000,000   | 2010/06/28 | 2010/11/11 | 21.76          |
| 62711    | RB of Scotland N.V. - CCHC R Bull CBBC Oct 2010 H        | 80,000,000   | 2010/06/28 | 2010/10/18 | 20.00          |
| 62715    | RB of Scotland N.V. - HSCEI R Bull CBBC Oct 2010 B       | 250,000,000  | 2010/06/28 | 2010/10/28 | 62.50          |
| 62716    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 U         | 250,000,000  | 2010/06/28 | 2010/10/28 | 62.50          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62719    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 V              | 250,000,000  | 2010/06/28 | 2010/10/28 | 62.50          |
| 62720    | RB of Scotland N.V. - HSI R Bull CBBC Oct 2010 W              | 250,000,000  | 2010/06/28 | 2010/10/28 | 62.50          |
| 62655    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10F | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62654    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10I | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62650    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10L | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62653    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10N | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62652    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10S | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62651    | SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep10Y | 300,000,000  | 2010/06/28 | 2010/09/29 | 75.00          |
| 62632    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10A | 300,000,000  | 2010/06/28 | 2010/10/28 | 75.00          |
| 62635    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10O | 300,000,000  | 2010/06/28 | 2010/10/28 | 79.50          |
| 62634    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10P | 300,000,000  | 2010/06/28 | 2010/10/28 | 75.00          |
| 62633    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10Q | 300,000,000  | 2010/06/28 | 2010/10/28 | 88.50          |
| 62658    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10U | 300,000,000  | 2010/06/28 | 2010/10/28 | 75.00          |
| 62657    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10W | 300,000,000  | 2010/06/28 | 2010/10/28 | 75.00          |
| 62656    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10X | 300,000,000  | 2010/06/28 | 2010/10/28 | 78.00          |
| 62659    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov10A | 300,000,000  | 2010/06/28 | 2010/11/29 | 94.50          |
| 62660    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov10B | 300,000,000  | 2010/06/28 | 2010/11/29 | 75.00          |
| 62661    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov10C | 300,000,000  | 2010/06/28 | 2010/11/29 | 85.50          |
| 62662    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov10D | 300,000,000  | 2010/06/28 | 2010/11/29 | 106.50         |
| 62663    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov10E | 300,000,000  | 2010/06/28 | 2010/11/29 | 75.00          |
| 62664    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov10F | 300,000,000  | 2010/06/28 | 2010/11/29 | 94.50          |
| 62665    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov10G | 300,000,000  | 2010/06/28 | 2010/11/29 | 118.50         |
| 62668    | UBS AG - China Mobile R Bull CBBC Nov 2010 H                  | 100,000,000  | 2010/06/28 | 2010/11/08 | 25.00          |
| 62667    | UBS AG - China Life R Bull CBBC Nov 2010 E                    | 100,000,000  | 2010/06/28 | 2010/11/15 | 25.00          |
| 62666    | UBS AG - CNOOC R Bear CBBC Dec 2010 F                         | 100,000,000  | 2010/06/28 | 2010/12/13 | 27.50          |
| 62674    | UBS AG - A50 China R Bull CBBC Oct 2010 A                     | 100,000,000  | 2010/06/28 | 2010/10/28 | 25.00          |
| 62669    | UBS AG - HKEx R Bull CBBC Nov 2010 F                          | 100,000,000  | 2010/06/28 | 2010/11/15 | 25.00          |
| 62670    | UBS AG - HSBC R Bull CBBC Nov 2010 F                          | 100,000,000  | 2010/06/28 | 2010/11/22 | 25.00          |
| 62675    | UBS AG - HSCEI R Bull CBBC Oct 2010 J                         | 100,000,000  | 2010/06/28 | 2010/10/28 | 25.00          |
| 62676    | UBS AG - HSCEI R Bull CBBC Dec 2010 C                         | 100,000,000  | 2010/06/28 | 2010/12/30 | 25.00          |
| 62681    | UBS AG - HSI R Bull CBBC Sep 2010 X                           | 200,000,000  | 2010/06/28 | 2010/09/29 | 50.00          |
| 62682    | UBS AG - HSI R Bull CBBC Nov 2010 U                           | 200,000,000  | 2010/06/28 | 2010/11/29 | 50.00          |
| 62639    | UBS AG - HSI R Bear CBBC Sep 2010 I                           | 200,000,000  | 2010/06/28 | 2010/09/29 | 50.00          |
| 62640    | UBS AG - HSI R Bear CBBC Dec 2010 D                           | 200,000,000  | 2010/06/28 | 2010/12/30 | 50.00          |
| 62683    | UBS AG - HSI R Bear CBBC Dec 2010 E                           | 200,000,000  | 2010/06/28 | 2010/12/30 | 50.00          |
| 62641    | UBS AG - HSI R Bear CBBC Jan 2011 J                           | 300,000,000  | 2010/06/28 | 2011/01/28 | 75.00          |
| 62642    | UBS AG - HSI R Bear CBBC Feb 2011 C                           | 300,000,000  | 2010/06/28 | 2011/02/25 | 75.00          |
| 62671    | UBS AG - ICBC R Bull CBBC Nov 2010 D                          | 100,000,000  | 2010/06/28 | 2010/11/22 | 25.00          |
| 62672    | UBS AG - SHK Ppt R Bull CBBC Dec 2010 C                       | 100,000,000  | 2010/06/28 | 2010/12/06 | 25.00          |
| 62673    | UBS AG - TCH R Bull CBBC Nov 2010 N                           | 100,000,000  | 2010/06/28 | 2010/11/01 | 25.00          |
| 62566 *  | Credit Suisse AG - HSI R Bull CBBC Nov 2010 S                 | 300,000,000  | 2010/06/28 | 2010/11/29 | 26.70          |
| 62722    | Barclays Bank plc - HSI R Bull CBBC Nov 2010 N                | 300,000,000  | 2010/06/29 | 2010/11/29 | 48.90          |
| 62723    | Barclays Bank plc - HSI R Bull CBBC Nov 2010 O                | 300,000,000  | 2010/06/29 | 2010/11/29 | 46.50          |
| 62724    | Barclays Bank plc - HSI R Bull CBBC Dec 2010 A                | 300,000,000  | 2010/06/29 | 2010/12/30 | 75.00          |
| 62727    | Barclays Bank plc - HSI R Bull CBBC Dec 2010 B                | 300,000,000  | 2010/06/29 | 2010/12/30 | 75.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證                                                           | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------------------------------------------------|--------------|------------|------------|----------------|
| 62728    | Barclays Bank plc - HSI R Bull CBBC Dec 2010 C                | 300,000,000  | 2010/06/29 | 2010/12/30 | 75.00          |
| 62741    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 X                 | 325,000,000  | 2010/06/29 | 2010/11/29 | 81.25          |
| 62743    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 Y                 | 330,000,000  | 2010/06/29 | 2010/11/29 | 82.50          |
| 62758    | Credit Suisse AG - HSI R Bear CBBC Oct 2010 H                 | 325,000,000  | 2010/06/29 | 2010/10/28 | 81.25          |
| 62733    | Deutsche Bank AG - HSI R Bull CBBC Oct 2010 W                 | 300,000,000  | 2010/06/29 | 2010/10/28 | 75.00          |
| 62730    | HK Bank - HSI R Bull CBBC Dec 2010 F                          | 120,000,000  | 2010/06/29 | 2010/12/30 | 30.00          |
| 62731    | HK Bank - HSI R Bull CBBC Dec 2010 G                          | 120,000,000  | 2010/06/29 | 2010/12/30 | 30.00          |
| 62732    | HK Bank - HSI R Bull CBBC Dec 2010 H                          | 120,000,000  | 2010/06/29 | 2010/12/30 | 30.00          |
| 62736    | HK Bank - HSI R Bear CBBC Dec 2010 I                          | 120,000,000  | 2010/06/29 | 2010/12/30 | 30.00          |
| 62737    | HK Bank - HSI R Bear CBBC Dec 2010 J                          | 120,000,000  | 2010/06/29 | 2010/12/30 | 30.00          |
| 62744    | KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Nov 10A   | 88,000,000   | 2010/06/29 | 2010/11/10 | 22.00          |
| 62745    | KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Nov 10B   | 88,000,000   | 2010/06/29 | 2010/11/01 | 22.00          |
| 62750    | KBC Fin Products Int'l Ltd. - A50 China R Bear CBBC Nov 10C   | 88,000,000   | 2010/06/29 | 2010/11/15 | 22.00          |
| 62746    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2010 K      | 128,000,000  | 2010/06/29 | 2010/09/29 | 32.00          |
| 62747    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2010 Y      | 128,000,000  | 2010/06/29 | 2010/10/28 | 32.00          |
| 62748    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Dec 2010 B      | 158,000,000  | 2010/06/29 | 2010/12/30 | 39.50          |
| 62749    | KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Dec 2010 C      | 128,000,000  | 2010/06/29 | 2010/12/30 | 32.00          |
| 62751    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2010 N      | 158,000,000  | 2010/06/29 | 2010/10/28 | 39.50          |
| 62752    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2010 I      | 128,000,000  | 2010/06/29 | 2010/11/29 | 32.00          |
| 62753    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2010 J      | 128,000,000  | 2010/06/29 | 2010/11/29 | 32.00          |
| 62754    | KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2010 K      | 128,000,000  | 2010/06/29 | 2010/11/29 | 37.12          |
| 62721    | Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2010 E              | 200,000,000  | 2010/06/29 | 2010/10/28 | 50.20          |
| 62726    | Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2010 F              | 200,000,000  | 2010/06/29 | 2010/10/28 | 50.00          |
| 62755    | CC Rabobank B.A. - HSI R Bull CBBC Dec 2010 A                 | 200,000,000  | 2010/06/29 | 2010/12/30 | 50.00          |
| 62756    | CC Rabobank B.A. - HSI R Bull CBBC Dec 2010 B                 | 200,000,000  | 2010/06/29 | 2010/12/30 | 50.00          |
| 62757    | CC Rabobank B.A. - HSI R Bull CBBC Dec 2010 C                 | 200,000,000  | 2010/06/29 | 2010/12/30 | 50.00          |
| 62761    | CC Rabobank B.A. - HSI R Bear CBBC Dec 2010 D                 | 200,000,000  | 2010/06/29 | 2010/12/30 | 50.00          |
| 62762    | CC Rabobank B.A. - HSI R Bear CBBC Dec 2010 E                 | 200,000,000  | 2010/06/29 | 2010/12/30 | 50.00          |
| 62734    | UBS AG - HSI R Bull CBBC Sep 2010 M                           | 200,000,000  | 2010/06/29 | 2010/09/29 | 50.00          |
| 62735    | UBS AG - HSI R Bull CBBC Dec 2010 F                           | 200,000,000  | 2010/06/29 | 2010/12/30 | 50.00          |
| 62738    | UBS AG - HSI R Bull CBBC Dec 2010 I                           | 200,000,000  | 2010/06/29 | 2010/12/30 | 50.00          |
| 62740    | UBS AG - HSI R Bear CBBC Sep 2010 O                           | 200,000,000  | 2010/06/29 | 2010/09/29 | 50.00          |
| 62742    | UBS AG - HSI R Bear CBBC Dec 2010 G                           | 200,000,000  | 2010/06/29 | 2010/12/30 | 62.00          |
| 62739    | UBS AG - HSI R Bear CBBC Jan 2011 K                           | 200,000,000  | 2010/06/29 | 2011/01/28 | 58.00          |
| 62760    | Credit Suisse AG - HSI R Bull CBBC Nov 2010 Z                 | 300,000,000  | 2010/06/30 | 2010/11/29 | 75.00          |
| 62778    | Deutsche Bank AG - CC Bank R Bull CBBC Dec 2010 A             | 150,000,000  | 2010/06/30 | 2010/12/06 | 37.50          |
| 62779    | Deutsche Bank AG - China Life R Bull CBBC Nov 2010 C          | 150,000,000  | 2010/06/30 | 2010/11/29 | 60.00          |
| 62767    | Deutsche Bank AG - HSI R Bear CBBC Nov 2010 Q                 | 300,000,000  | 2010/06/30 | 2010/11/29 | 75.00          |
| 62769    | J P Morgan SP BV - HSI R Bear CBBC Nov 2010 D                 | 200,000,000  | 2010/06/30 | 2010/11/29 | 50.00          |
| 62766    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10H | 300,000,000  | 2010/06/30 | 2010/10/28 | 75.00          |
| 62759    | SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct10Z | 300,000,000  | 2010/06/30 | 2010/10/28 | 75.00          |
| 62775    | UBS AG - China Mobile R Bear CBBC Dec 2010 C                  | 100,000,000  | 2010/06/30 | 2010/12/28 | 25.00          |
| 62771    | UBS AG - China Shenhua R Bull CBBC Dec 2010 B                 | 100,000,000  | 2010/06/30 | 2010/12/28 | 25.00          |
| 62763    | UBS AG - HSI R Bull CBBC Oct 2010 J                           | 200,000,000  | 2010/06/30 | 2010/10/28 | 50.00          |
| 62764    | UBS AG - HSI R Bull CBBC Jan 2011 L                           | 300,000,000  | 2010/06/30 | 2011/01/28 | 75.00          |

# 主 板 可 收 回 牛 熊 證

| 股 份<br>代 號 | 牛 熊 證                                          | 上 市 時 之<br>發 行 單 位 | 上 市 日 期    | 到 期 日      | 集 資 金 額<br>(百 萬 港 元) |
|------------|------------------------------------------------|--------------------|------------|------------|----------------------|
| 62765      | UBS AG - HSI R Bull CBBC Feb 2011 D            | 300,000,000        | 2010/06/30 | 2011/02/25 | 75.00                |
| 62777      | UBS AG - HSI R Bear CBBC Nov 2010 N            | 200,000,000        | 2010/06/30 | 2010/11/29 | 50.00                |
| 62773      | UBS AG - Jiangxi Copper R Bull CBBC Dec 2010 A | 100,000,000        | 2010/06/30 | 2010/12/06 | 31.00                |
| 62770      | UBS AG - Petrochina R Bull CBBC Jan 2011 A     | 100,000,000        | 2010/06/30 | 2011/01/17 | 25.00                |
| 62776      | UBS AG - Petrochina R Bear CBBC Jan 2011 B     | 100,000,000        | 2010/06/30 | 2011/01/03 | 25.00                |
| 62774      | UBS AG - TCH R Bull CBBC Jan 2011 B            | 100,000,000        | 2010/06/30 | 2011/01/17 | 34.00                |
| 總 額        |                                                |                    |            |            | 74,636.30            |

# 股票掛鉤票據／非上市可交易股份交易統計

## 股票掛鉤票據交易統計

|      |     | 發行數目 | 成交金額(百萬港元) |
|------|-----|------|------------|
| 2009 | 第2季 | —    | —          |
|      | 第3季 | —    | —          |
|      | 第4季 | —    | —          |
| 2010 | 第1季 | —    | —          |
|      | 第2季 | —    | —          |

## Nasdaq 股份交易統計

|      |     | 發行數目 | 成交金額(百萬港元) |
|------|-----|------|------------|
| 2009 | 第2季 | 7    | 0.45       |
|      | 第3季 | 7    | 0.90       |
|      | 第4季 | 7    | 0.30       |
| 2010 | 第1季 | 7    | 1.33       |
|      | 第2季 | 7    | 0.05       |

## iShares 股份交易統計

|      |     | 發行數目 | 成交金額(百萬港元) |
|------|-----|------|------------|
| 2009 | 第2季 | 2    | 0.00       |
|      | 第3季 | 2    | 0.00       |
|      | 第4季 | 2    | 0.00       |
| 2010 | 第1季 | 2    | 0.04       |
|      | 第2季 | 2    | 0.00       |

# 創業板 股份

## 股份成交－創業板

|      |     | 股數(百萬股)    | 金額(百萬港元)  | 成交宗數    |
|------|-----|------------|-----------|---------|
| 2009 | 第2季 | 116,455.92 | 19,561.73 | 605,615 |
|      | 第3季 | 84,235.17  | 26,394.60 | 677,639 |
|      | 第4季 | 72,473.65  | 25,613.02 | 669,404 |
| 2010 | 第1季 | 97,877.07  | 31,502.84 | 762,645 |
|      | 第2季 | 139,315.11 | 39,315.10 | 987,294 |

## 創業板股份交易統計

|      |     | 交易日數 | 每日平均成交金額<br>(百萬港元) | 平均每宗交易<br>成交金額 |
|------|-----|------|--------------------|----------------|
| 2009 | 第2季 | 61   | 320.68             | 32,301         |
|      | 第3季 | 65   | 406.07             | 38,951         |
|      | 第4季 | 63   | 406.56             | 38,262         |
| 2010 | 第1季 | 61   | 516.44             | 41,307         |
|      | 第2季 | 60   | 655.25             | 39,821         |

## 20種升幅最大之創業板股份

2010年第2季

| 順次 | 股份代號  | 股份       | 收市價      |          | 升幅     |
|----|-------|----------|----------|----------|--------|
|    |       |          | 2010年6月底 | 2010年3月底 |        |
| 1  | 08032 | 快意節能     | 0.710    | 0.140    | 407.14 |
| 2  | 08007 | 數碼香港     | 1.290    | 0.580    | 122.41 |
| 3  | 08086 | 易寶       | 0.660    | 0.390    | 69.23  |
| 4  | 08131 | 辰罡科技     | 0.330    | 0.205    | 60.98  |
| 5  | 08287 | 三寶科技     | 9.600    | 6.000    | 60.00  |
| 6  | 08266 | 流動電訊     | 0.250    | 0.160    | 56.25  |
| 7  | 08049 | 吉林長龍藥業   | 0.770    | 0.520    | 48.08  |
| 8  | 08325 | 奧思知集團控股  | 0.600    | 0.415    | 44.58  |
| 9  | 08166 | 中國農業生態   | 0.540    | 0.380    | 42.11  |
| 10 | 08046 | 恒芯中國     | 0.850    | 0.610    | 39.34  |
| 11 | 08076 | 新利軟件     | 0.630    | 0.460    | 36.96  |
| 12 | 08206 | 神通電信服務   | 1.630    | 1.230    | 32.52  |
| 13 | 08286 | 長城微光     | 1.050    | 0.800    | 31.25  |
| 14 | 08063 | 卓施金網     | 0.980    | 0.750    | 30.67  |
| 15 | 08041 | 中郵電貿(控股) | 0.305    | 0.237    | 28.69  |
| 16 | 08066 | 鎔聯科技     | 2.600    | 2.050    | 26.83  |
| 17 | 08102 | 上海復旦     | 2.930    | 2.320    | 26.29  |
| 18 | 08058 | 羅欣藥業     | 9.730    | 7.750    | 25.55  |
| 19 | 08271 | 環球數碼創意   | 0.425    | 0.340    | 25.00  |
| 20 | 08068 | 新宇國際     | 0.223    | 0.179    | 24.58  |

A 經調整

# 創業板 股份

## 20種跌幅最大之創業板股份

2010年第2季

| 順次 | 股份代號  | 股份     | 收市價      |          | 跌幅     |
|----|-------|--------|----------|----------|--------|
|    |       |        | 2010年6月底 | 2010年3月底 |        |
| 1  | 08079 | 變靚D控股  | 0.049    | 0.151    | -67.55 |
| 2  | 08111 | 一創科技   | 0.405    | 1.000    | -59.50 |
| 3  | 08078 | 龍彩娛樂   | 0.465    | 1.030    | -54.85 |
| 4  | 08108 | 名家國際   | 0.135    | 0.275    | -50.91 |
| 5  | 08250 | 中國天然投資 | 0.260    | 0.490    | -46.94 |
| 6  | 08028 | 天時軟件   | 0.100    | 0.185    | -45.95 |
| 7  | 08273 | 浙江展望   | 1.290    | 2.350    | -45.11 |
| 8  | 08082 | 訊通控股   | 0.072    | 0.130    | -44.62 |
| 9  | 08233 | 中國基建港口 | 0.111    | 0.198    | -43.94 |
| 10 | 08130 | 杏林醫療信息 | 0.049    | 0.087    | -43.68 |
| 11 | 08047 | 中國掌付   | 0.192    | 0.330    | -41.82 |
| 12 | 08011 | 百田石油   | 0.142    | 0.244    | -41.80 |
| 13 | 08022 | 彩娛集團   | 0.290    | 0.485    | -40.21 |
| 14 | 08163 | 中建資源   | 0.144    | 0.239    | -39.75 |
| 15 | 08198 | 新濠環彩   | 0.217    | 0.360    | -39.72 |
| 16 | 08117 | 中國基礎資源 | 0.120    | 0.197    | -39.09 |
| 17 | 08317 | 財華社集團  | 0.990    | 1.620    | -38.89 |
| 18 | 08159 | 輝煌科技   | 0.220    | 0.360 A  | -38.89 |
| 19 | 08035 | 濱海投資   | 0.510    | 0.820    | -37.80 |
| 20 | 08026 | 長達科技   | 0.330    | 0.520    | -36.54 |

A 經調整



# 創業板 股份

## 20種成交最活躍創業板股份(金額)

2010年第2季

| 順次 | 股份代號  | 股份     | 成交金額(百萬港元) | 佔市場百份比 |
|----|-------|--------|------------|--------|
| 1  | 08130 | 杏林醫療信息 | 3,618.06   | 9.20   |
| 2  | 08046 | 恒芯中國   | 2,815.34   | 7.16   |
| 3  | 08032 | 快意節能   | 2,274.38   | 5.79   |
| 4  | 08167 | 中國新電信  | 2,246.21   | 5.71   |
| 5  | 08199 | 威高股份   | 1,607.93   | 4.09   |
| 6  | 08080 | 北亞策略   | 1,179.19   | 3.00   |
| 7  | 08277 | 物美商業   | 1,091.47   | 2.78   |
| 8  | 08299 | 大唐滄金   | 1,084.61   | 2.76   |
| 9  | 08203 | 凱順能源   | 1,029.12   | 2.62   |
| 10 | 08137 | 洪橋集團   | 994.04     | 2.53   |
| 11 | 08047 | 中國掌付   | 832.15     | 2.12   |
| 12 | 08171 | 中國趨勢   | 735.96     | 1.87   |
| 13 | 08161 | 華彩控股   | 716.94     | 1.82   |
| 14 | 08220 | 帝通國際   | 716.16     | 1.82   |
| 15 | 08058 | 羅欣藥業   | 696.99     | 1.77   |
| 16 | 08296 | 中國生命集團 | 679.85     | 1.73   |
| 17 | 08066 | 鎔聯科技   | 676.15     | 1.72   |
| 18 | 08173 | 寰亞礦業   | 660.26     | 1.68   |
| 19 | 08206 | 神通電信服務 | 629.28     | 1.60   |
| 20 | 08212 | 問博控股   | 560.32     | 1.43   |
| 總額 |       |        | 24,844.40  | 63.19  |

# 創業板 股份

## 20種成交最活躍創業板股份(股數)

2010年第2季

| 順次 | 股份代號  | 股份       | 成交股數(百萬股)  | 佔市場百份比 |
|----|-------|----------|------------|--------|
| 1  | 08130 | 杏林醫療信息   | 32,096.56  | 23.04  |
| 2  | 08167 | 中國新電信    | 11,620.24  | 8.34   |
| 3  | 08299 | 大唐潼金     | 10,034.78  | 7.20   |
| 4  | 08173 | 寰亞礦業     | 6,043.24   | 4.34   |
| 5  | 08032 | 快意節能     | 4,864.58   | 3.49   |
| 6  | 08080 | 北亞策略     | 4,591.48   | 3.30   |
| 7  | 08079 | 變靚D控股    | 4,392.90   | 3.15   |
| 8  | 08228 | 國藝控股     | 4,368.53   | 3.14   |
| 9  | 08171 | 中國趨勢     | 3,882.35   | 2.79   |
| 10 | 08046 | 恒芯中國     | 3,352.41   | 2.41   |
| 11 | 08378 |          | 2,940.16   | 2.11   |
| 12 | 08047 | 中國掌付     | 2,825.01   | 2.03   |
| 13 | 08116 | 中國公共醫療   | 2,749.76   | 1.97   |
| 14 | 08129 | 中國生物資源   | 2,704.36   | 1.94   |
| 15 | 08220 | 帝通國際     | 2,531.73   | 1.82   |
| 16 | 08212 | 問博控股     | 2,164.10   | 1.55   |
| 17 | 08161 | 華彩控股     | 2,024.74   | 1.45   |
| 18 | 08071 | 中國金屬資源   | 1,718.30   | 1.23   |
| 19 | 08306 | 中國有色金屬   | 1,688.93   | 1.21   |
| 20 | 08041 | 中郵電貿(控股) | 1,410.74   | 1.01   |
| 總數 |       |          | 108,004.88 | 77.53  |

# 創業板 股份

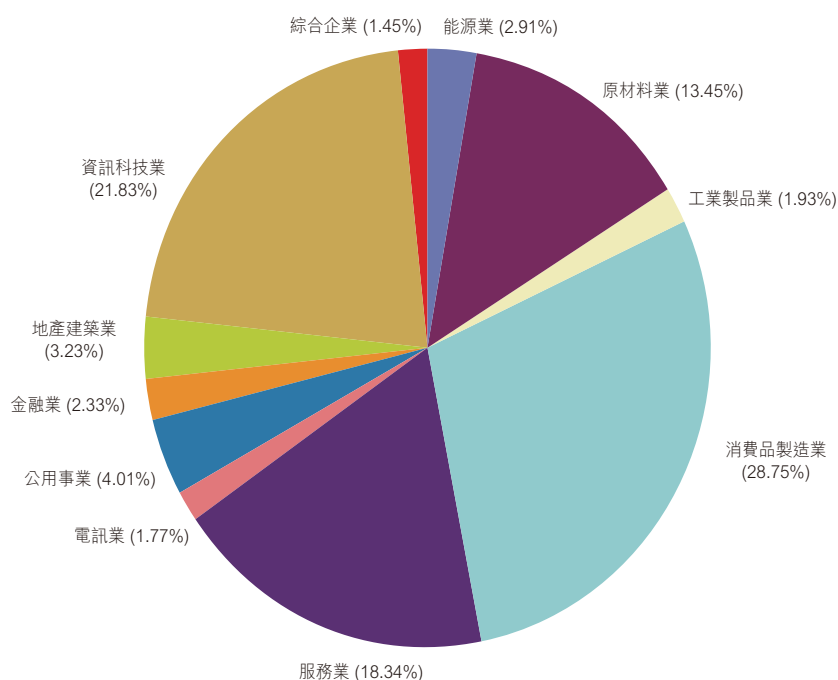
## 創業板公司市價總值

截至季末

|      |       | 百 萬 港 元    |
|------|-------|------------|
| 2009 | 第 2 季 | 73,004.28  |
|      | 第 3 季 | 86,891.48  |
|      | 第 4 季 | 105,036.43 |
| 2010 | 第 1 季 | 134,742.28 |
|      | 第 2 季 | 130,902.34 |

## 恒生行業分類系統之證券市價總值\*－創業板

季末數字



\* 行業分類由恒生指數有限公司提供。

# 創業板 股份

## 50間市價總值最大之上市公司－創業板

截至2010年第2季季末

| 順次   | 股份代號  | 公司                       | 市價總值(百萬港元) | 佔市場總值(%) |
|------|-------|--------------------------|------------|----------|
| 1    | 08199 | 山東威高集團醫用高分子製品股份有限公司 - H股 | 14,641.74  | 11.19    |
| 2    | 08137 | 洪橋集團有限公司                 | 12,839.16  | 9.81     |
| 3    | 08032 | 快意節能有限公司                 | 9,580.63   | 7.32     |
| 4    | 08277 | 北京物美商業集團股份有限公司 - H股      | 7,533.41   | 5.75     |
| 5    | 08035 | 濱海投資有限公司                 | 3,056.33   | 2.33     |
| 6    | 08167 | 中國新電信集團有限公司              | 2,622.79   | 2.00     |
| 7    | 08161 | 華彩控股有限公司                 | 2,368.69   | 1.81     |
| 8    | 08155 | 南華置地有限公司                 | 2,258.06   | 1.72     |
| 9    | 08008 | 新意網集團有限公司                | 2,254.95   | 1.72     |
| 10   | 08118 | 國際娛樂有限公司                 | 2,205.02   | 1.68     |
| 11   | 08203 | 凱順能源集團有限公司               | 2,113.12   | 1.61     |
| 12   | 08116 | 中國公共醫療(控股)有限公司           | 1,975.87   | 1.51     |
| 13   | 08206 | 神通電信服務有限公司               | 1,947.36   | 1.49     |
| 14   | 08080 | 北亞策略控股有限公司               | 1,903.55   | 1.45     |
| 15   | 08158 | 中國生物醫學再生科技有限公司           | 1,822.95   | 1.39     |
| 16   | 08192 | 環球能源資源國際集團有限公司           | 1,753.14   | 1.34     |
| 17   | 08136 | 田生集團有限公司                 | 1,727.82   | 1.32     |
| 18   | 08058 | 山東羅欣藥業股份有限公司 - H股        | 1,601.17   | 1.22     |
| 19   | 08052 | 利亞零售有限公司                 | 1,481.73   | 1.13     |
| 20   | 08123 | 首華財經網絡集團有限公司             | 1,416.72   | 1.08     |
| 21   | 08096 | 博軟(控股)有限公司               | 1,391.93   | 1.06     |
| 22   | 08070 | 中裕燃氣控股有限公司               | 1,342.33   | 1.03     |
| 23   | 08069 | 北京同仁堂科技發展股份有限公司 - H股     | 1,327.26   | 1.01     |
| 24   | 08046 | 恒芯中國控股有限公司               | 1,325.05   | 1.01     |
| 25   | 08166 | 中國農業生態有限公司               | 1,302.80   | 1.00     |
| 26   | 08182 | 環能國際控股有限公司               | 1,288.94   | 0.98     |
| 27   | 08066 | 鎔聯科技集團有限公司               | 1,287.78   | 0.98     |
| 28   | 08025 | 亞洲資產(控股)有限公司             | 1,165.74   | 0.89     |
| 29   | 08279 | 亞博科技控股有限公司               | 1,058.59   | 0.81     |
| 30   | 08299 | 大唐潼金控股有限公司               | 1,047.51   | 0.80     |
| 31   | 08128 | 中國地能有限公司                 | 965.00     | 0.74     |
| 32   | 08175 | 中國數碼版權(集團)有限公司           | 932.21     | 0.71     |
| 33   | 08287 | 南京三寶科技股份有限公司 - H股        | 881.28     | 0.67     |
| 34   | 08220 | 帝通國際有限公司                 | 877.30     | 0.67     |
| 35   | 08153 | 科地農業控股有限公司               | 877.11     | 0.67     |
| 36   | 08306 | 中國有色金屬有限公司               | 874.67     | 0.67     |
| 37   | 08290 | 天津天聯公用事業股份有限公司 - H股      | 855.10     | 0.65     |
| 38   | 08230 | 深圳市東江環保股份有限公司 - H股       | 846.80     | 0.65     |
| 39   | 08296 | 中國生命集團有限公司               | 839.03     | 0.64     |
| 40   | 08163 | 中建資源集團有限公司               | 767.25     | 0.59     |
| 41   | 08009 | 菱控有限公司                   | 751.57     | 0.57     |
| 42   | 08071 | 中國金屬資源控股有限公司             | 744.27     | 0.57     |
| 43   | 08061 | 光亞有限公司                   | 719.18     | 0.55     |
| 44   | 08156 | 眾彩科技股份有限公司               | 713.04     | 0.54     |
| 45   | 08102 | 上海復旦微電子股份有限公司 - H股       | 710.03     | 0.54     |
| 46   | 08212 | 問博控股有限公司                 | 700.42     | 0.54     |
| 47   | 08259 | 烟台北方安德利果汁股份有限公司 - H股     | 686.47     | 0.52     |
| 48   | 08029 | 太陽國際集團有限公司               | 686.33     | 0.52     |
| 49   | 08272 | 進能國際有限公司                 | 684.57     | 0.52     |
| 50   | 08190 | 金望控股有限公司                 | 643.49     | 0.49     |
| 總額   |       |                          | 105,397.26 | 80.52    |
| 市場總值 |       |                          | 130,902.34 | 100.00   |

# 創業板 股份

## 新上市統計－創業板公司

|      |     | 新上市公司數目 | 集資金額(百萬港元) |
|------|-----|---------|------------|
| 2009 | 第2季 | —       | —          |
|      | 第3季 | 2       | 158.70     |
|      | 第4季 | 3       | 197.50     |
| 2010 | 第1季 | —       | —          |
|      | 第2季 | 2       | 146.25     |

## 創業板新上市公司

2010年第2季

| 股份代號  | 公司名稱       | 上市日期       | 已發行股數         | 集資金額<br>(百萬港元) |
|-------|------------|------------|---------------|----------------|
| 08337 | 直通電訊控股有限公司 | 2010/06/02 | 1,037,500,000 | 86.25          |
| 08295 | 卓亞資本有限公司   | 2010/06/18 | 1,200,000,000 | 60.00          |
| 總額    |            |            |               | 146.25         |

## 除牌上市公司－創業板

2010年第2季

| 股份代號  | 公司名稱          | 最後買賣日期     | 除牌日期         |
|-------|---------------|------------|--------------|
| 08221 | 李氏大藥廠控股有限公司*  | 2010/05/13 | 2010/05/14 § |
| 08091 | 環球實業科技控股有限公司* | 2010/06/21 | 2010/06/22 § |

\* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

## 創業板公司名稱更改

2010年第2季

| 股份代號  | 原有名稱           | 新名稱        | 生效日期       | 採用日期       |
|-------|----------------|------------|------------|------------|
| 08019 | 中遠威生物製藥有限公司    | 皓文控股有限公司   | 2010/03/10 | 2010/04/09 |
| 08153 | 中國3C集團有限公司     | 科地農業控股有限公司 | 2010/03/23 | 2010/04/21 |
| 08078 | 英皇娛樂集團有限公司     | 龍彩娛樂集團有限公司 | 2010/04/07 | 2010/04/30 |
| 08206 | 神州奧美網絡(國際)有限公司 | 神通電信服務有限公司 | 2010/04/26 | 2010/05/10 |
| 08228 | 慧峰集團有限公司       | 國藝控股有限公司   | 2010/04/09 | 2010/05/11 |
| 08272 | 百富國際有限公司       | 進能國際有限公司   | 2010/05/03 | 2010/05/31 |

# 創業板 股份

## 創業板紅股／紅利認股權證

2010年第2季

| 股份代號  | 公司                 | 詳情        | 除淨日        |
|-------|--------------------|-----------|------------|
| 08230 | 深圳市東江環保股份有限公司 - H股 | 1送1       | 2010/04/28 |
| 08055 | 中國網絡教育集團有限公司       | 每供1股送2股股份 | 2010/05/03 |
| 08159 | 輝煌科技(控股)有限公司       | 1送1       | 2010/05/03 |
| 08319 | 友成控股有限公司           | 10送1      | 2010/05/10 |

## 創業板股份拆細及合併

2010年第2季

| 股份代號  | 公司           | 詳情       | 生效日期       |
|-------|--------------|----------|------------|
| 08103 | 泰盛國際(控股)有限公司 | 1股分拆為10股 | 2010/04/16 |
| 08065 | 中國海景控股有限公司   | 1股分拆為2股  | 2010/05/04 |

## 創業板供股／公開售股

2010年第2季

| 月份 | 股份代號  | 公司名稱         | 比率                 | 集資金額<br>(百萬港元) |
|----|-------|--------------|--------------------|----------------|
| 4月 | 08228 | 國藝控股有限公司     | 公開售股1供3，每股供0.03港元  | 107.07         |
|    | 08186 | 神州東盟資源有限公司   | 公開售股5供2，每股供0.02港元  | 15.24          |
| 5月 | 08055 | 中國網絡教育集團有限公司 | 公開售股20供7，每股供0.50港元 | 111.33         |
|    |       |              | 另每供1股送2股股份         |                |
| 6月 | 08130 | 杏林醫療信息科技有限公司 | 公開售股4供1，每股供0.10港元  | 183.12         |
|    | 08123 | 首華財經網絡集團有限公司 | 公開售股5供1，每股供0.29港元  | 185.07         |
|    | 08171 | 中國趨勢控股有限公司   | 股權1供4，每股供0.04港元    | 135.00         |
| 總額 |       |              |                    | 736.83         |



# 創業板 股份

## 股份配售\*

| 月份   | 股份代號  | 公司名稱       | 配售股份數目        | 配售價<br>(港元) | 集資金額<br>(百萬港元) |
|------|-------|------------|---------------|-------------|----------------|
| 2010 |       |            |               |             |                |
| 3月** | 08206 | 神通電信服務     | 256,410,256   | 0.780       | 200.00         |
|      | 08019 | 皓文控股       | 49,591,809    | 0.168       | 8.33           |
|      | 08085 | 中民安園       | 288,000,000   | 0.160       | 46.08          |
| 4月   | 08351 | 永恒國際股份     | 72,000,000    | 0.280       | 20.16          |
|      | 08192 | 環球能源資源     | 180,000,000   | 0.275       | 49.50          |
|      | 08299 | 大唐滄金       | 396,048,000   | 0.101       | 40.00          |
|      | 08017 | 百齡國際       | 263,500,000   | 0.220       | 57.97          |
|      | 08037 | 朗力福集團      | 128,000,000   | 0.270       | 34.56          |
|      | 08047 | 中國掌付       | 207,000,000   | 0.185       | 38.30          |
|      | 08076 | 新利軟件       | 132,640,000   | 0.610       | 80.91          |
|      | 08296 | 中國生命集團     | 120,000,000   | 1.500       | 180.00         |
|      | 08213 | 萬達資訊科技     | 110,000,000   | 0.200       | 22.00          |
|      | 08250 | 中國天然投資     | 37,000,000    | 0.430       | 15.91          |
|      | 08167 | 中國新電信      | 2,565,000,000 | 0.160       | 410.40         |
|      | 08256 | 金利通        | 28,000,000    | 0.200       | 5.60           |
| 5月   | 08083 | 矽感科技       | 409,000,000   | 0.165       | 67.49          |
|      | 08103 | 泰盛國際控股     | 130,000,000   | 0.265       | 34.45          |
|      | 08079 | 變靚D控股      | 1,200,000,000 | 0.050       | 60.00          |
|      | 08126 | G • A • 控股 | 43,300,000    | 0.225       | 9.74           |
|      | 08299 | 大唐滄金       | 396,048,000   | 0.101       | 40.00          |
|      | 08103 | 泰盛國際控股     | 30,000,000    | 0.265       | 7.95           |
|      | 08277 | 物美商業       | 30,000,000    | 11.000      | 330.00         |
|      | 總額    |            |               |             | 1,759.35       |

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

\*\* 前季度之補充資料。

# 創業板 認股權證

## 認股權證交易及市價總值統計－創業板

|      |     | 數目 | 成交金額(百萬港元) | 市價總值(百萬港元) |
|------|-----|----|------------|------------|
| 2009 | 第2季 | 1  | —          | 1.94       |
|      | 第3季 | 1  | 0.04       | 5.97       |
|      | 第4季 | 1  | 0.04       | 1.49       |
| 2010 | 第1季 | 1  | 0.00       | 1.49       |
|      | 第2季 | 1  | 0.04       | 1.49       |

## 創業板新上市股份認股權證

2010年第2季

| 股份<br>代號 | 股份認股權證 | 上市時之發行<br>金額(港元) | 上市日期 | 到期日 | 集資金額<br>(百萬港元) |
|----------|--------|------------------|------|-----|----------------|
| Nil      |        |                  |      |     | —              |
| 總額       |        |                  |      |     | —              |

# 股票市場指數

## 恒生指數



## 標準普爾／香港交易所大型股指數



## 標準普爾／香港交易所創業板指數



# 股票市場指數

## 香港股市指數

| 指數              | 2010年6月底<br>收市 | 2010年3月底<br>收市 | 升跌      |
|-----------------|----------------|----------------|---------|
| 標準普爾／香港交易所大型股指數 | 23718.78       | 24850.35       | – 4.55% |
| 標準普爾／香港交易所創業板指數 | 770.10         | 832.27         | – 7.47% |
| 恒生指數            | 20128.99       | 21239.35       | – 5.23% |
| 金融              | 30323.54       | 31939.49       | – 5.06% |
| 公用事業            | 41134.54       | 39403.51       | + 4.39% |
| 地產              | 25459.32       | 28275.71       | – 9.96% |
| 工商業             | 10879.25       | 11489.81       | – 5.31% |
| 恒生香港中資企業指數      | 3818.46        | 4101.78        | – 6.91% |
| 恒生中國企業指數        | 11466.24       | 12397.59       | – 7.51% |

# 股票市場指數

## 世界指數

| 指數              | 2010年6月底<br>收市 | 2010年3月底<br>收市 | 升跌       |
|-----------------|----------------|----------------|----------|
| 香港              |                |                |          |
| 標準普爾／香港交易所大型股指數 | 23718.78       | 24850.35       | － 4.55%  |
| 恒生指數            | 20128.99       | 21239.35       | － 5.23%  |
| 澳洲              |                |                |          |
| 普通股指數           | 4324.77        | 4893.06        | － 11.61% |
| 雅加達             |                |                |          |
| 綜合指數            | 2913.68        | 2777.30        | ＋ 4.91%  |
| 日本              |                |                |          |
| 日經指數 (225)      | 9382.64        | 11089.94       | － 15.40% |
| 韓國              |                |                |          |
| 綜合指數            | 1698.29        | 1692.85        | ＋ 0.32%  |
| 吉隆坡             |                |                |          |
| 綜合指數            | 1314.02        | 1320.57        | － 0.50%  |
| 馬尼拉             |                |                |          |
| 綜合指數            | 3372.71        | 3161.80        | ＋ 6.67%  |
| 紐西蘭             |                |                |          |
| 證交所指數 (50)      | 2972.09        | 3267.99        | － 9.05%  |
| 上海              |                |                |          |
| A 股指數           | 2514.16        | 3260.00        | － 22.88% |
| B 股指數           | 208.93         | 258.59         | － 19.20% |
| 深圳              |                |                |          |
| A 股指數           | 990.43         | 1271.71        | － 22.12% |
| B 股指數           | 565.16         | 629.26         | － 10.19% |
| 新加坡             |                |                |          |
| FTSE 海峽時報指數     | 2835.51        | 2895.24        | － 2.06%  |
| 台灣              |                |                |          |
| 台北加權股份指數        | 7329.37        | 7920.06        | － 7.46%  |
| 泰國              |                |                |          |
| 證交所指數           | 797.31         | 787.98         | ＋ 1.18%  |
| 紐約              |                |                |          |
| 杜瓊斯工業平均指數       | 9774.02        | 10856.63       | － 9.97%  |
| Nasdaq 綜合指數     | 2109.24        | 2397.96        | － 12.04% |
| 多倫多             |                |                |          |
| S&P/TSX 綜合指數    | 11294.42       | 12037.73       | － 6.17%  |
| 德國              |                |                |          |
| 法蘭克福指數          | 3497.52        | 3705.48        | － 5.61%  |
| 倫敦              |                |                |          |
| 富時指數 (100)      | 4916.90        | 5679.60        | － 13.43% |

# 衍生產品市場 期貨及期權

## 合約成交量

| 衍生產品                    | 合約張數              |                   |
|-------------------------|-------------------|-------------------|
|                         | 2010年第2季          | 2009年第2季          |
| 恒生指數期貨                  | 5,031,763         | 5,816,700         |
| 恒生指數期權                  | 1,935,115         | 1,309,363         |
| 小型恒生指數期貨                | 2,084,704         | 2,758,113         |
| 小型恒生指數期權                | 104,355           | 77,202            |
| H股指數期貨                  | 3,223,123         | 3,376,088         |
| H股指數期權                  | 748,555           | 501,202           |
| 小型H股指數期貨                | 255,495           | 208,986           |
| 自訂條款恒生指數期權 <sup>1</sup> | —                 | —                 |
| 自訂條款H股指數期權 <sup>2</sup> | —                 | —                 |
| 股票期貨                    | 34,403            | 69,549            |
| 股票期權                    | 14,262,472        | 13,279,436        |
| 三個月港元利率期貨               | 264               | 909               |
| 一個月港元利率期貨               | 0                 | 85                |
| 三年期外匯基金債券期貨             | —                 | —                 |
| 黃金期貨                    | 1,439             | 1,118             |
| <b>總數</b>               | <b>27,681,688</b> | <b>27,398,751</b> |

<sup>1</sup> 自訂條款恒生指數期權由2010年2月08日開始買賣

<sup>2</sup> 自訂條款H股指數期權由2010年2月08日開始買賣

## 季末未平倉合約

| 衍生產品                    | 合約張數             |                  |
|-------------------------|------------------|------------------|
|                         | 2010年第2季         | 2009年第2季         |
| 恒生指數期貨                  | 77,070           | 79,772           |
| 恒生指數期權                  | 202,453          | 182,288          |
| 小型恒生指數期貨                | 7,602            | 5,914            |
| 小型恒生指數期權                | 4,525            | 4,378            |
| H股指數期貨                  | 89,089           | 71,607           |
| H股指數期權                  | 112,929          | 114,324          |
| 小型H股指數期貨                | 1,399            | 623              |
| 自訂條款恒生指數期權 <sup>1</sup> | —                | —                |
| 自訂條款H股指數期權 <sup>2</sup> | —                | —                |
| 股票期貨                    | 5,822            | 14,806           |
| 股票期權                    | 5,163,995        | 3,847,782        |
| 三個月港元利率期貨               | 155              | 550              |
| 一個月港元利率期貨               | 0                | 35               |
| 三年期外匯基金債券期貨             | —                | —                |
| 黃金期貨                    | 166              | 16               |
| <b>總數</b>               | <b>5,665,205</b> | <b>4,322,095</b> |

<sup>1</sup> 自訂條款恒生指數期權由2010年2月08日開始買賣

<sup>2</sup> 自訂條款H股指數期權由2010年2月08日開始買賣



# 衍生產品市場 期貨及期權

## 恒生指數期貨－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第2季 | 5,816,700 | 79,772  |
|       | 第3季 | 5,430,706 | 83,150  |
|       | 第4季 | 4,675,012 | 68,456  |
| 2010年 | 第1季 | 5,132,388 | 73,577  |
|       | 第2季 | 5,031,763 | 77,070  |

## 小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第2季 | 2,758,113 | 5,914   |
|       | 第3季 | 2,476,657 | 4,164   |
|       | 第4季 | 2,117,954 | 4,447   |
| 2010年 | 第1季 | 2,116,913 | 4,956   |
|       | 第2季 | 2,084,704 | 7,602   |

## 恒生指數期權－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第2季 | 1,309,363 | 182,288 |
|       | 第3季 | 1,409,767 | 222,382 |
|       | 第4季 | 1,618,224 | 175,720 |
| 2010年 | 第1季 | 1,813,584 | 208,189 |
|       | 第2季 | 1,935,115 | 202,453 |

## H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第2季 | 3,376,088 | 71,607  |
|       | 第3季 | 3,075,581 | 80,516  |
|       | 第4季 | 2,655,350 | 74,324  |
| 2010年 | 第1季 | 2,965,655 | 87,444  |
|       | 第2季 | 3,223,123 | 89,089  |

## 股票期權市場成交及未平倉合約(合約張數)

|       |     | 總期權金額<br>(百萬港元) | 總合約成交量     | 季末未平倉合約   |
|-------|-----|-----------------|------------|-----------|
| 2009年 | 第2季 | 20,116.61       | 13,279,436 | 3,847,782 |
|       | 第3季 | 14,205.33       | 10,892,511 | 3,663,846 |
|       | 第4季 | 14,852.98       | 11,611,195 | 3,848,117 |
| 2010年 | 第1季 | 12,951.69       | 12,540,453 | 4,352,404 |
|       | 第2季 | 12,614.00       | 14,262,472 | 5,163,995 |

# 衍生產品市場 期貨及期權

## 各期權類別之期權金總額

2010年第2季

| 期權類別      |     | 期權金額(百萬港元)       | 佔市場百分比         |
|-----------|-----|------------------|----------------|
| 中國鋁業      | ALC | 43.12            | 0.34%          |
| 中國銀行      | BCL | 271.83           | 2.16%          |
| 交通銀行      | BCM | 78.35            | 0.62%          |
| 東亞銀行      | BEA | 11.83            | 0.09%          |
| 中銀香港      | BOC | 82.98            | 0.66%          |
| 比亞迪股份     | BYD | 185.06           | 1.47%          |
| 建設銀行      | CCB | 488.63           | 3.87%          |
| 中國交通建設    | CCC | 66.97            | 0.53%          |
| 中國中煤能源    | CCE | 30.26            | 0.24%          |
| 中國遠洋      | CCS | 32.03            | 0.25%          |
| 中國移動      | CHT | 1,298.86         | 10.30%         |
| 中國聯通      | CHU | 40.13            | 0.32%          |
| 中信泰富      | CIT | 33.72            | 0.27%          |
| 長江實業      | CKH | 714.04           | 5.66%          |
| 中國人壽      | CLI | 726.16           | 5.76%          |
| 中電控股      | CLP | 41.32            | 0.33%          |
| 招商銀行      | CMB | 134.39           | 1.07%          |
| 中國海洋石油    | CNC | 566.02           | 4.49%          |
| 中國海外發展    | COL | 60.06            | 0.48%          |
| 國泰航空      | CPA | 4.98             | 0.04%          |
| 中國石油化工股份  | CPC | 200.91           | 1.59%          |
| 中國鐵建      | CRC | 11.50            | 0.09%          |
| 中國中鐵      | CRG | 25.58            | 0.20%          |
| 中國神華      | CSE | 120.83           | 0.96%          |
| 中信銀行      | CTB | 25.90            | 0.21%          |
| 中國電信      | CTC | 63.63            | 0.50%          |
| 思捷環球      | ESP | 21.62            | 0.17%          |
| 富士康國際     | FIH | 14.03            | 0.11%          |
| 香港電燈      | HEH | 17.65            | 0.14%          |
| 香港交易所     | HEX | 298.09           | 2.36%          |
| 匯豐控股      | HKB | 4,022.54         | 31.89%         |
| 香港中華煤氣    | HKG | 10.69            | 0.08%          |
| 恒基地產      | HLD | 82.57            | 0.65%          |
| 華能國際電力股份  | HNP | 19.98            | 0.16%          |
| 恒生銀行      | HSB | 136.45           | 1.08%          |
| 和記黃埔      | HWL | 225.61           | 1.79%          |
| 工商銀行      | ICB | 393.45           | 3.12%          |
| 江西銅業      | JXC | 60.27            | 0.48%          |
| 利豐        | LIF | 31.54            | 0.25%          |
| 地鐵公司      | MTR | 6.18             | 0.05%          |
| 新世界發展     | NWD | 5.09             | 0.04%          |
| 中國平安      | PAI | 274.90           | 2.18%          |
| 中國石油股份    | PEC | 555.75           | 4.41%          |
| 中國人民財產保險  | PIC | 20.43            | 0.16%          |
| 富力地產      | RFP | 27.17            | 0.22%          |
| 新鴻基地產     | SHK | 483.88           | 3.84%          |
| 太古股份公司A   | SWA | 53.61            | 0.42%          |
| 騰訊控股      | TCH | 429.32           | 3.40%          |
| 盈富基金      | TRF | 9.31             | 0.07%          |
| 九龍倉集團     | WHL | 22.48            | 0.18%          |
| 紫金礦業      | ZJM | 32.32            | 0.26%          |
| <b>總額</b> |     | <b>12,614.00</b> | <b>100.00%</b> |

# 衍生產品市場 期貨及期權

## 各期權類別之合約成交量

2010年第2季

| 期權類別      |     | 合約成交量             | 佔市場百分比         |
|-----------|-----|-------------------|----------------|
| 中國鋁業      | ALC | 43,386            | 0.30%          |
| 中國銀行      | BCL | 1,289,345         | 9.04%          |
| 交通銀行      | BCM | 180,725           | 1.27%          |
| 東亞銀行      | BEA | 53,208            | 0.37%          |
| 中銀香港      | BOC | 158,018           | 1.11%          |
| 比亞迪股份     | BYD | 89,522            | 0.63%          |
| 建設銀行      | CCB | 1,669,376         | 11.70%         |
| 中國交通建設    | CCC | 167,963           | 1.18%          |
| 中國中煤能源    | CCE | 46,913            | 0.33%          |
| 中國遠洋      | CCS | 89,436            | 0.63%          |
| 中國移動      | CHT | 988,865           | 6.93%          |
| 中國聯通      | CHU | 41,445            | 0.29%          |
| 中信泰富      | CIT | 24,500            | 0.17%          |
| 長江實業      | CKH | 203,960           | 1.43%          |
| 中國人壽      | CLI | 647,132           | 4.54%          |
| 中電控股      | CLP | 96,875            | 0.68%          |
| 招商銀行      | CMB | 258,819           | 1.81%          |
| 中國海洋石油    | CNC | 520,349           | 3.65%          |
| 中國海外發展    | COL | 37,241            | 0.26%          |
| 國泰航空      | CPA | 7,969             | 0.06%          |
| 中國石油化工股份  | CPC | 305,197           | 2.14%          |
| 中國鐵建      | CRC | 48,686            | 0.34%          |
| 中國中鐵      | CRG | 53,690            | 0.38%          |
| 中國神華      | CSE | 151,766           | 1.06%          |
| 中信銀行      | CTB | 57,592            | 0.40%          |
| 中國電信      | CTC | 111,058           | 0.78%          |
| 思捷環球      | ESP | 64,555            | 0.45%          |
| 富士康國際     | FIH | 23,600            | 0.17%          |
| 香港電燈      | HEH | 42,288            | 0.30%          |
| 香港交易所     | HEX | 619,923           | 4.35%          |
| 匯豐控股      | HKB | 2,564,531         | 17.98%         |
| 香港中華煤氣    | HKG | 16,314            | 0.11%          |
| 恒基地產      | HLD | 34,549            | 0.24%          |
| 華能國際電力股份  | HNP | 36,912            | 0.26%          |
| 恒生銀行      | HSB | 295,348           | 2.07%          |
| 和記黃埔      | HWL | 134,556           | 0.94%          |
| 工商銀行      | ICB | 1,233,105         | 8.65%          |
| 江西銅業      | JXC | 64,699            | 0.45%          |
| 利豐        | LIF | 11,127            | 0.08%          |
| 地鐵公司      | MTR | 16,314            | 0.11%          |
| 新世界發展     | NWD | 8,071             | 0.06%          |
| 中國平安      | PAI | 210,210           | 1.47%          |
| 中國石油股份    | PEC | 461,509           | 3.24%          |
| 中國人民財產保險  | PIC | 20,728            | 0.15%          |
| 富力地產      | RFP | 61,267            | 0.43%          |
| 新鴻基地產     | SHK | 137,464           | 0.96%          |
| 太古股份公司A   | SWA | 50,085            | 0.35%          |
| 騰訊控股      | TCH | 736,834           | 5.17%          |
| 盈富基金      | TRF | 25,444            | 0.18%          |
| 九龍倉集團     | WHL | 13,726            | 0.10%          |
| 紫金礦業      | ZJM | 36,277            | 0.25%          |
| <b>總數</b> |     | <b>14,262,472</b> | <b>100.00%</b> |

# 結算及交收

## 經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

| 年／月  | 交收指示的數量 | 股數<br>(10億股) | 數值<br>(10億港元) |
|------|---------|--------------|---------------|
| 2009 | 第2季     | 74,476       | 46.43         |
|      | 第3季     | 71,468       | 40.37         |
|      | 第4季     | 76,664       | 41.72         |
| 2010 | 第1季     | 75,816       | 42.77         |
|      | 第2季     | 77,887       | 44.35         |

## 經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

| 年／月  | 投資者交收<br>指示的數量 | 股數<br>(百萬股) | 數值<br>(百萬港元) |
|------|----------------|-------------|--------------|
| 2009 | 第2季            | 609         | 125.20       |
|      | 第3季            | 568         | 132.46       |
|      | 第4季            | 629         | 118.78       |
| 2010 | 第1季            | 542         | 104.15       |
|      | 第2季            | 543         | 129.16       |

## 經中央結算系統存管的股份

(按季度結存統計)

| 年／月  | 股數<br>(10億股) | 佔獲納入中央<br>結算系統的證券<br>的已發行股數<br>(%) | 市值<br>(10億港元) | 佔獲納入中央<br>結算系統的證券<br>的市價總值<br>(%) |
|------|--------------|------------------------------------|---------------|-----------------------------------|
| 2009 | 第2季          | 3,215.42                           | 71.72         | 7,306.94                          |
|      | 第3季          | 3,282.06                           | 71.26         | 8,194.14                          |
|      | 第4季          | 3,286.62                           | 70.93         | 9,245.11                          |
| 2010 | 第1季          | 3,379.40                           | 71.01         | 9,509.70                          |
|      | 第2季          | 3,409.67                           | 71.10         | 8,904.81                          |

# 結算及交收

## 經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

| 年／月      | 買賣宗數    | 佔總成交<br>宗數 (%) | 股數<br>(百萬股) | 佔成交額<br>(%) | 買賣成交值<br>(百萬港元) | 佔成交額<br>(%) |
|----------|---------|----------------|-------------|-------------|-----------------|-------------|
| 2009 第2季 | 811,938 | 100            | 109,528.00  | 100         | 71,684.42       | 100         |
| 第3季      | 749,546 | 100            | 104,773.19  | 100         | 66,746.40       | 100         |
| 第4季      | 751,643 | 100            | 107,724.27  | 100         | 65,408.35       | 100         |
| 2010 第1季 | 760,565 | 100            | 121,800.63  | 100         | 64,759.92       | 100         |
| 第2季      | 759,995 | 100            | 127,045.87  | 100         | 62,890.79       | 100         |

## 以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

| 年／月      | 以「持續淨額交收」<br>的股份數額宗數 | 淨額結算<br>效率<br>(%) | 待交收<br>的股數<br>(百萬股) | 淨額結算<br>效率<br>(%) |
|----------|----------------------|-------------------|---------------------|-------------------|
| 2009 第2季 | 67,305               | 95.68             | 29,281.89           | 86.43             |
| 第3季      | 65,718               | 95.42             | 25,921.00           | 87.47             |
| 第4季      | 68,773               | 95.18             | 25,589.10           | 87.77             |
| 2010 第1季 | 70,369               | 95.15             | 26,008.26           | 89.04             |
| 第2季      | 68,933               | 95.24             | 27,021.58           | 89.16             |

## 以「持續淨額交收」的股份數額的交收效率(每日平均數)

| 年／月      | 到期交收日 | 交收效率(%) | 到期交收日翌日 |
|----------|-------|---------|---------|
| 2009 第2季 | 99.84 |         | 99.99   |
| 第3季      | 99.86 |         | 99.99   |
| 第4季      | 99.86 |         | 99.99   |
| 2010 第1季 | 99.88 |         | 99.99   |
| 第2季      | 99.87 |         | 99.99   |

# 結算及交收

## 季末未平倉合約

| 衍生產品                    | 合約張數             |                  |
|-------------------------|------------------|------------------|
|                         | 2010年第2季         | 2009年第2季         |
| 恒生指數期貨                  | 77,070           | 79,772           |
| 恒生指數期權                  | 202,453          | 182,288          |
| 小型恒生指數期貨                | 7,602            | 5,914            |
| 小型恒生指數期權                | 4,525            | 4,378            |
| H股指數期貨                  | 89,089           | 71,607           |
| H股指數期權                  | 112,929          | 114,324          |
| 小型H股指數期貨                | 1,399            | 623              |
| 自訂條款恒生指數期權 <sup>1</sup> | —                | —                |
| 自訂條款H股指數期權 <sup>2</sup> | —                | —                |
| 股票期貨                    | 5,822            | 14,806           |
| 股票期權                    | 5,163,995        | 3,847,782        |
| 三個月港元利率期貨               | 155              | 550              |
| 一個月港元利率期貨               | —                | 35               |
| 三年期外匯基金債券期貨             | —                | —                |
| 黃金期貨 <sup>5</sup>       | 166              | 16               |
| <b>總數</b>               | <b>5,665,205</b> | <b>4,322,095</b> |

<sup>1</sup> 自訂條款恒生指數期權由2010年2月08日開始買賣

<sup>2</sup> 自訂條款H股指數期權由2010年2月08日開始買賣

# 結算及交收

## 各期權類別之未平倉合約

2010年第2季末

| 期權類別      |     | 未平倉合約            | 佔市場百份比        |
|-----------|-----|------------------|---------------|
| 中國鋁業      | ALC | 18,211           | 0.35          |
| 中國銀行      | BCL | 534,740          | 10.36         |
| 交通銀行      | BCM | 120,310          | 2.33          |
| 東亞銀行      | BEA | 23,970           | 0.46          |
| 中銀香港      | BOC | 54,626           | 1.06          |
| 比亞迪股份     | BYD | 26,225           | 0.51          |
| 建設銀行      | CCB | 576,133          | 11.16         |
| 中國交通建設    | CCC | 79,232           | 1.53          |
| 中國中煤能源    | CCE | 16,780           | 0.32          |
| 中國遠洋      | CCS | 29,641           | 0.57          |
| 中國移動      | CHT | 303,358          | 5.87          |
| 中國聯通      | CHU | 20,547           | 0.40          |
| 中信泰富      | CIT | 7,359            | 0.14          |
| 長江實業      | CKH | 62,624           | 1.21          |
| 中國人壽      | CLI | 216,544          | 4.19          |
| 中電控股      | CLP | 67,970           | 1.32          |
| 招商銀行      | CMB | 134,505          | 2.60          |
| 中國海洋石油    | CNC | 205,657          | 3.98          |
| 中國海外發展    | COL | 10,134           | 0.20          |
| 國泰航空      | CPA | 2,387            | 0.05          |
| 中國石油化工股份  | CPC | 173,184          | 3.35          |
| 中國鐵建      | CRC | 16,810           | 0.33          |
| 中國中鐵      | CRG | 29,810           | 0.58          |
| 中國神華      | CSE | 68,222           | 1.32          |
| 中信銀行      | CTB | 27,281           | 0.53          |
| 中國電信      | CTC | 83,874           | 1.62          |
| 思捷環球      | ESP | 12,847           | 0.25          |
| 富士康國際     | FIH | 8,542            | 0.17          |
| 香港電燈      | HEH | 10,883           | 0.21          |
| 香港交易所     | HEX | 229,343          | 4.44          |
| 匯豐控股      | HKB | 717,753          | 13.90         |
| 香港中華煤氣    | HKG | 18,318           | 0.35          |
| 恒基地產      | HLD | 12,070           | 0.23          |
| 華能國際電力股份  | HNP | 9,441            | 0.18          |
| 恒生銀行      | HSB | 115,653          | 2.24          |
| 和記黃埔      | HWL | 56,757           | 1.10          |
| 工商銀行      | ICB | 444,549          | 8.61          |
| 江西銅業      | JXC | 25,709           | 0.50          |
| 利豐        | LIF | 2,693            | 0.05          |
| 地鐵公司      | MTR | 9,483            | 0.18          |
| 新世界發展     | NWD | 3,210            | 0.06          |
| 中國平安      | PAI | 79,881           | 1.55          |
| 中國石油股份    | PEC | 207,309          | 4.01          |
| 中國人民財產保險  | PIC | 12,255           | 0.24          |
| 富力地產      | RFP | 16,706           | 0.32          |
| 新鴻基地產     | SHK | 49,393           | 0.96          |
| 太古股份公司A   | SWA | 9,308            | 0.18          |
| 騰訊控股      | TCH | 172,307          | 3.34          |
| 盈富基金      | TRF | 17,336           | 0.34          |
| 九龍倉集團     | WHL | 3,375            | 0.07          |
| 紫金礦業      | ZJM | 8,740            | 0.17          |
| <b>總數</b> |     | <b>5,163,995</b> | <b>100.00</b> |



# 交易所參與者統計

## 聯交所

|      |     | 交易權<br>持有人數目 | 交易所參與者－<br>開業 | 交易所參與者－<br>非開業 | 非交易所參與者 |
|------|-----|--------------|---------------|----------------|---------|
| 2009 | 第2季 | 526 (937)    | 458 (864)     | 36 (41)        | 32 (32) |
|      | 第3季 | 528 (941)    | 463 (871)     | 34 (39)        | 31 (31) |
|      | 第4季 | 525 (940)    | 463 (873)     | 32 (37)        | 30 (30) |
| 2010 | 第1季 | 521 (939)    | 468 (883)     | 31 (34)        | 22 (22) |
|      | 第2季 | 523 (946)    | 471 (891)     | 30 (33)        | 22 (22) |

( ) 交易權數目

## 期交所

|      |     | 交易權<br>持有人數目 | 交易所參與者－<br>開業 | 交易所參與者－<br>非開業 | 非交易所參與者 |
|------|-----|--------------|---------------|----------------|---------|
| 2009 | 第2季 | 209 (235)    | 162 (186)     | —              | 47 (49) |
|      | 第3季 | 210 (237)    | 165 (190)     | —              | 45 (47) |
|      | 第4季 | 211 (238)    | 167 (192)     | —              | 44 (46) |
| 2010 | 第1季 | 214 (239)    | 171 (194)     | —              | 43 (45) |
|      | 第2季 | 214 (239)    | 171 (194)     | —              | 43 (45) |

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

# 結算所參與者

## 期貨結算所參與者

| 年／月      | 結算參與者 | 全面結算參與者 |
|----------|-------|---------|
| 2009 第2季 | 140   | 9       |
| 第3季      | 142   | 9       |
| 第4季      | 144   | 10      |
| 2010 第1季 | 147   | 9       |
| 第2季      | 148   | 9       |

## 聯交所期權結算所參與者

| 年／月      | 直接結算參與者 | 全面結算參與者 |
|----------|---------|---------|
| 2009 第2季 | 49      | 6       |
| 第3季      | 51      | 6       |
| 第4季      | 53      | 6       |
| 2010 第1季 | 54      | 6       |
| 第2季      | 54      | 6       |

## 中央結算所參與者

| 年／月      | 直接結算<br>參與者 | 全面結算<br>參與者 | 非經紀<br>參與者(註1) |
|----------|-------------|-------------|----------------|
| 2009 第2季 | 450         | 6           | 40             |
| 第3季      | 453         | 7           | 41             |
| 第4季      | 452         | 7           | 41             |
| 2010 第1季 | 455         | 7           | 41             |
| 第2季      | 455         | 7           | 41             |

註1：非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

# 簡釋

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|           |                   |
|-----------|-------------------|
| CBBC      | 可收回牛熊證            |
| CCASS     | 中央結算及交收系統         |
| CNS       | 持續淨額交收            |
| DCASS     | 衍生產品結算及交收系統       |
| ELI       | 股票掛鉤票據            |
| ETF       | 交易所買賣基金           |
| GEM       | 創業板               |
| HIBOR     | 香港銀行同業拆息          |
| HKCC      | 香港期貨結算有限公司        |
| HKEx      | 香港交易及結算所有限公司      |
| HKSCC     | 香港中央結算有限公司        |
| HSICS     | 恒生行業分類系統          |
| ISI       | 投資者交易指示           |
| NASDAQ    | 美國全國證券交易商協會自動報價系統 |
| P/E ratio | 市盈率               |
| REITs     | 房地產投資信託基金         |
| S&P       | 標準普爾              |
| SEOCH     | 香港聯合交易所期權結算所有限公司  |
| SI        | 交收指示              |