

證券及衍生產品市場 季報

2011年第二季

市場概況

主板

概況	2011年 第2季	2010年 第2季
上市公司數目	1,284	1,170
上市證券數目	7,110	6,562
股份		
普通股	1,285	1,171
優先股	3	2
認股權證	4,851	4,139
股份	15	25
衍生	4,836	4,114
股票掛鈎票據	0	0
牛熊證	711	1020
單位信託及互惠基金	87	72
債券	173	158
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	59	60
總成交金額(百萬港元) *	4,175,836.48	3,734,132.31
總成交股數(百萬股)	9,172,596.45	7,483,434.99
總成交宗數(宗數)	50,846,489	44,614,057
上市公司市價總值(百萬港元)	20,992,345.92	17,000,166.93
平均市盈率(倍)	11.74	14.71
平均週息率(百分率)	2.65	2.66
交易所參與者數目		
開業	490	471
非開業	35	30
成交金額(百萬港元)		
最高	104,358.75 (06/04)	90,359.39 (07/05)
最低	50,397.75 (30/05)	37,889.20 (14/06)
成交股數(百萬股)		
最高	192,332.74 (14/06)	154,911.48 (06/05)
最低	123,245.57 (27/05)	83,832.57 (14/06)
總成交宗數(宗數)		
最高	1,214,111 (06/04)	1,105,758 (07/04)
最低	622,494 (30/05)	503,927 (14/06)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2011年 第2季	2010年 第2季
上市公司數目	164	174
上市證券數目	166	175
股份	164	174
認股權證	2	1
交易日數	59	60
總成交金額(百萬港元)*	20,232.99	39,315.14
總成交股數(百萬股)	79,225.80	139,317.76
總成交宗數(宗數)	507,216	987,314
上市公司市價總值(百萬港元)	111,535.83	130,902.34
平均市盈率(倍)	23.61	28.88
平均週息率(百分率)	1.00	0.76
成交金額(百萬港元)		
最高	614.73 (26/05)	1,636.44 (20/04)
最低	178.08 (27/06)	201.03 (24/06)
成交股數(百萬股)		
最高	5,426.00 (06/04)	7,408.01 (14/04)
最低	560.41 (23/06)	758.26 (10/06)
總成交宗數(宗數)		
最高	15,305 (26/04)	39,296 (14/04)
最低	4,411 (27/06)	6,308 (24/06)

*由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2011年第2季	2010年第2季
所有期貨及期權產品(股票期權除外)		
交易日數	59.0	60.0
總合約成交量	14,996,318	13,419,216
股市指數產品	14,892,864	13,383,110
股票產品	102,565	34,403
利率產品	180	264
黃金期貨	709	1,439
平均每日合約成交量	254,175	223,654
季末未平倉合約		
股市指數產品	632,481	495,067
股票產品	19,267	5,822
利率產品	138	155
黃金期貨	41	166

股票期權		
新開辦期權類別	–	–
股票期權數目(於季末數目)		
類別	57	51
系列	11,838	9,666
期權金總額(百萬港元)		
認購	3,882.54	4,371.63
認沽	10,609.25	8,242.37
平均每日期權金總額(百萬港元)	245.62	210.23
總合約成交量		
認購	6,327,688	6,054,502
認沽	10,013,305	8,207,970
平均每日合約成交量	276,966	237,708
季末未平倉合約		
認購	1,782,639	1,864,787
認沽	3,839,389	3,299,208
總成交宗數	656,257	481,335
平均每日成交宗數	11,123	8,022
已行使合約總數	1,895,494	1,300,346
每張合約平均期權金(港元)	887	884
每宗交易平均合約數目	25	30
認沽數量/認購數量	1.58	1.36
平均每日合約成交量/未平倉合約	4.9%	4.6%

所有期貨及期權產品		
總合約成交量	31,337,311	27,681,688
季末未平倉合約	6,273,955	5,665,205

市場概況

結算及交收

中央結算系統營運資料	2011年第2季	2010年第2季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	869,474	759,995
-交易金額(十億港元)	71.0	62.9
-涉及股數(十億股)	156.8	127.0
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	87,498	77,887
-交收指示涉及金額(十億港元)	233.1	177.0
-涉及股數(十億股)	49.0	44.4
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	527	543
-交收指示涉及金額(百萬港元)	323.7	252.4
-涉及股數(百萬股)	108.1	129.2
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.88	99.87
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	7	8
-補購宗數	8	9
-補購涉及金額(百萬港元)	3.6	3.7
存放於中央結算系統證券存管處的股份		
-股數(十億股)	3,707.1	3,409.7
-獲納入系統的證券佔已發行股本總數的百分比	69.37	71.10
-股份價值(十億港元)	11,612.9	8,904.8
-獲納入系統的證券佔市價總值的百分比	50.11	48.93
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	233,163	175,160
-股票期貨	19,267	5,822
-黃金期貨	41	166
-利率期貨	138	155
-股市指數期權	399,318	319,907
-股票期權	5,622,028	5,163,995.00
累積行使股票期權		
-涉及股數(百萬股)	1,884	1,116
-涉及金額(十億港元)	35	23

主板 股份

股份成交

		股數 (百萬股)	金額 (百萬港元)	成交宗數
2010	第2季	817,338.52	2,748,750.08	37,828,922
	第3季	794,114.98	2,759,690.18	35,997,455
	第4季	1,011,031.65	3,847,088.03	46,784,952
2011	第1季	747,798.73	3,315,169.08	42,267,831
	第2季	754,334.25	3,069,149.98	41,652,899

股份交易統計

		交易日數	每日平均成交 金額(百萬港元)	平均每宗 交易成交金額
2010	第2季	60	45,812.50	72,663
	第3季	64	43,120.16	76,663
	第4季	64	60,110.75	82,229
2011	第1季	62	53,470.47	78,432
	第2季	59	52,019.49	73,684

主板 股份

20種升幅最大之股份

2011年第2季

順次	股份代號	股份	收市價		升幅
			2011年6月底	2011年3月底	
1	01164	維奧集團	1.400	0.360	288.89
2	00692	寶源控股	0.150	0.060	A 150.00
3	00809	大成生化科技	2.460	1.270	93.70
4	00205	財訊傳媒	0.355	0.185	91.89
5	00398	東方表行集團	6.300	3.590	75.49
6	00612	中國投資基金公司	0.375	0.219	71.23
7	00590	六福集團	37.750	23.000	64.13
8	01026	環球實業科技	0.435	0.270	61.11
9	00887	英皇鐘錶珠寶	1.450	0.930	55.91
10	00072	現代傳播	2.720	1.750	55.43
11	00869	彩星玩具	0.400	0.260	53.85
12	01102	環能國際	0.480	0.315	52.38
13	00116	周生生	26.300	17.300	52.02
14	00200	新濠國際發展	7.850	5.300	48.11
15	00027	銀河娛樂	16.640	11.320	47.00
16	00361	順龍控股	0.620	0.425	45.88
17	00643	恆富控股	0.800	0.550	45.45
18	00657	環科國際	0.580	0.400	45.00
19	00999	I.T	7.560	5.240	44.27
20	00647	JOYCE BOUTIQUE	0.990	0.690	43.48

A 經調整

20種跌幅最大之股份

2011年第2季

順次	股份代號	股份	收市價		跌幅
			2011年6月底	2011年3月底	
1	00986	中國環保能源	0.275	2.440	A -88.73
2	00736	中國置業投資	0.040	0.350	-88.57
3	00204	泰潤國際	0.470	1.700	-72.35
4	00673	中國衛生控股	0.305	1.100	-72.27
5	01063	新確科技	0.020	0.056	-64.29
6	00149	中國農產品交易	0.142	0.395	-64.05
7	00630	國金資源控股	0.078	0.209	-62.68
8	01049	CELESTIAL ASIA	0.226	0.570	-60.35
9	00055	中星集團控股	0.172	0.430	-60.00
10	00094	綠森集團	1.070	2.670	-59.93
11	00767	PACIFIC PLYWOOD	0.068	0.169	A -59.76
12	00764	永恒策略	0.074	0.179	-58.66
13	00263	中國雲錫礦業	0.049	0.110	-55.45
14	01166	蒙古礦業	0.340	0.760	A -55.26
15	00362	中國天化工	0.730	1.580	A -53.80
16	00279	民豐企業	0.126	0.270	-53.33
17	00254	中國戶外媒體集團	0.270	0.570	-52.63
18	00510	時富金融服務集團	0.198	0.418	A -52.63
19	00802	宏霸數碼	1.070	2.230	-52.02
20	00705	南亞礦業	0.178	0.370	-51.89

A 經調整

主板 股份

20種成交最活躍股份(金額)

2011年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00005	匯豐控股	112,450.38	3.66
2	00939	建設銀行	105,116.08	3.42
3	01398	工商銀行	89,361.63	2.91
4	00941	中國移動	80,811.86	2.63
5	00883	中國海洋石油	74,121.90	2.42
6	00700	騰訊控股	69,895.30	2.28
7	03988	中國銀行	66,355.49	2.16
8	00857	中國石油股份	64,240.08	2.09
9	01299	友邦保險	63,961.67	2.08
10	02628	中國人壽	59,686.97	1.94
11	00388	香港交易所	57,636.88	1.88
12	00013	和記黃埔	54,662.92	1.78
13	01288	農業銀行	47,392.94	1.54
14	03800	保利協鑫能源	45,182.45	1.47
15	02318	中國平安	42,918.54	1.40
16	01088	中國神華	42,321.76	1.38
17	00762	中國聯通	39,323.58	1.28
18	00386	中國石油化工股份	39,038.05	1.27
19	03323	中國建材	34,263.63	1.12
20	00016	新鴻基地產	32,132.43	1.05
總額			1,220,874.53	39.78

20種成交最活躍股份(股數)

2011年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	01041	福邦控股	35,070.41	4.65
2	03988	中國銀行	16,023.88	2.12
3	00566	鉑陽太陽能	15,734.80	2.09
4	00981	中芯國際	15,455.66	2.05
5	01166	蒙古礦業	15,282.14	2.03
6	00939	建設銀行	14,941.17	1.98
7	02904	中國置業投資股權	14,768.61	1.96
8	01398	工商銀行	14,306.83	1.90
9	00736	中國置業投資	11,265.11	1.49
10	01288	農業銀行	10,877.68	1.44
11	03800	保利協鑫能源	10,495.03	1.39
12	00985	中科礦業	10,332.98	1.37
13	00689	長盈集團(控股)	9,745.26	1.29
14	01129	中國水業集團	8,146.55	1.08
15	00767	PACIFIC PLYWOOD	8,108.21	1.07
16	00986	中國環保能源	7,060.50	0.94
17	00729	中聚電池	6,701.61	0.89
18	00273	威利國際	6,512.16	0.86
19	00269	中國木業	6,339.90	0.84
20	00067	旭光新材料	6,224.48	0.83
總數			243,392.99	32.27

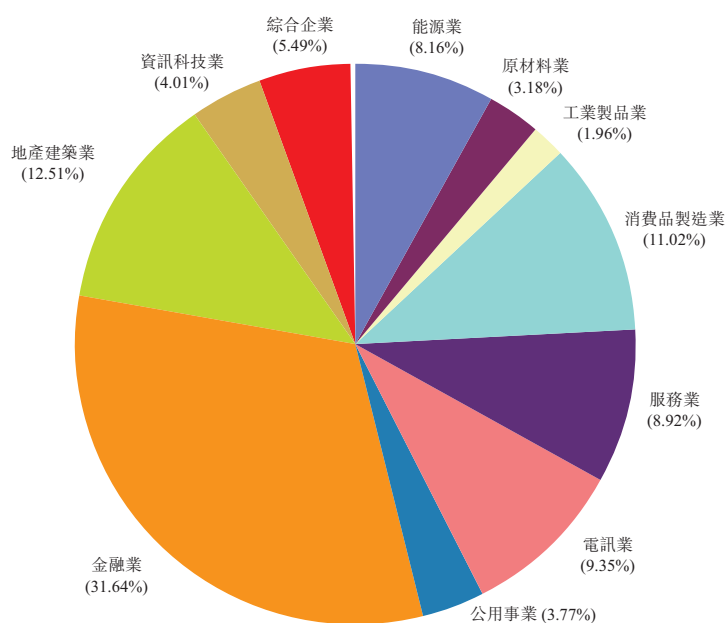
主板 股份

上市公司市價總值－主板

		百萬港元
2010	第2季	17,000,166.93
	第3季	19,557,277.66
	第4季	20,942,284.39
2011	第1季	21,259,067.69
	第2季	20,992,345.92

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主板 股份

50間市價總值最大之上市公司

截至2011年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)	
1	00939	中國建設銀行股份有限公司—H股	1,550,691.71	7.39	
2	00941	中國移動有限公司	1,444,833.27	6.88	
3	00005	匯豐控股有限公司	1,372,832.53	6.54	
4	00883	中國海洋石油有限公司	811,192.67	3.86	
5	01398	中國工商銀行股份有限公司—H股	512,952.80	2.44	
6	02888	渣打集團有限公司	477,619.00	2.28	
7	00805	Glencore International plc *	—	419,862.57	N.A.
8	00700	騰訊控股有限公司	388,417.85	1.85	
9	00762	中國聯合網絡通信(香港)股份有限公司	369,492.00	1.76	
10	00013	和記黃埔有限公司	358,123.15	1.71	
11	01299	友邦保險控股有限公司	324,585.80	1.55	
12	03988	中國銀行股份有限公司—H股	316,928.43	1.51	
13	00016	新鴻基地產發展有限公司	291,185.44	1.39	
14	00001	長江實業(集團)有限公司	263,579.50	1.26	
15	02318	中國平安保險(集團)股份有限公司—H股	251,474.00	1.2	
16	00857	中國石油天然氣股份有限公司—H股	240,105.48	1.14	
17	02388	中銀香港(控股)有限公司	238,944.83	1.14	
18	00011	恒生銀行有限公司	237,642.05	1.13	
19	00945	Manulife Financial Corporation *	—	235,453.36	N.A.
20	02378	英國保誠有限公司*	—	228,402.50	N.A.
21	02628	中國人壽保險股份有限公司—H股	197,935.26	0.94	
22	03328	交通銀行股份有限公司—H股	197,604.83	0.94	
23	00388	香港交易及結算所有限公司	175,972.38	0.84	
24	00019	太古股份有限公司	170,001.51	0.81	
25	01928	金沙中國有限公司	169,017.21	0.81	
26	00002	中電控股有限公司	165,903.59	0.79	
27	00004	九龍倉集團有限公司	163,730.82	0.78	
28	00486	United Company RUSAL Ltd. *	—	162,261.40	N.A.
29	00066	香港鐵路有限公司	159,633.37	0.76	
30	06210	Vale S.A. —預託證券*	—	152,122.13	N.A.
31	00101	恒隆地產有限公司	142,650.87	0.68	
32	00003	香港中華煤氣有限公司	139,681.80	0.67	
33	01880	百麗國際控股有限公司	138,321.42	0.66	
34	00688	中國海外發展有限公司	136,317.62	0.65	
35	00322	康師傅控股有限公司	134,391.24	0.64	
36	01128	永利澳門有限公司	131,503.13	0.63	
37	00386	中國石油化工股份有限公司—H股	131,391.22	0.63	
38	01088	中國神華能源股份有限公司—H股	126,087.41	0.6	
39	01288	中國農業銀行股份有限公司—H股	125,721.79	0.6	
40	00006	電能實業有限公司	125,708.01	0.6	
41	00494	利豐有限公司	125,556.59	0.6	
42	01913	PRADA S.p.A.	120,136.79	0.57	
43	00012	恒基兆業地產有限公司	118,788.07	0.57	
44	00880	澳門博彩控股有限公司	101,523.01	0.48	
45	00151	中國旺旺控股有限公司	99,809.61	0.48	
46	00847	哈薩克銅業有限公司*	—	91,526.10	N.A.
47	01038	長江基建集團有限公司	91,070.08	0.43	
48	01044	恒安國際集團有限公司	85,450.47	0.41	
49	00291	華潤創業有限公司	76,292.05	0.36	
50	03333	恒大地產集團有限公司	76,050.00	0.36	
總額			13,076,850.66	62.29	
市場總值			20,992,345.92	100	

註：

由2010年7月起納入外國公司

* 不是祇在香港上市之外國公司

主板 股份

賣空交易(金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2010	第2季	278,492.48	2,788,065.18	9.99
	第3季	231,187.06	2,783,988.10	8.30
	第4季	308,007.77	3,885,615.68	7.93
2011	第1季	273,905.42	3,337,783.59	8.21
	第2季	327,730.09	3,089,381.94	10.61

* 包括主板及創業板數據

註：由2010年11月29日起成交金額包括非港元交易買賣的證券

賣空交易(股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2010	第2季	24,633.79	956,653.63	2.57
	第3季	20,554.19	882,787.31	2.33
	第4季	26,787.09	1,131,725.16	2.37
2011	第1季	22,721.76	872,394.66	2.60
	第2季	29,078.38	833,504.44	3.49

* 包括主板及創業板數據

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2010	第2季	13	2	16,304.58
	第3季	21	5	109,964.78
	第4季	47	5	288,596.31
2011	第1季	10	4	17,317.76
	第2季	22	6	156,775.55

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主板 股份

新上市公司

2011年第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
06488	SBI Holdings, Inc. 一預託證券	2011/04/14	20,000,000	1,604.60
01181	唐宮(中國)控股有限公司	2011/04/19	415,000,000	189.75
01011	中國泰凌醫藥集團有限公司	2011/04/20	1,081,916,500	1,620.93
01623	海隆控股有限公司	2011/04/21	1,600,000,000	1,196.00
01106	中國海景控股有限公司*	2011/05/12	1,209,680,620	–
01069	江晨國際控股有限公司*	2011/05/16	370,000,000	–
02789	遠大中國控股有限公司	2011/05/17	6,208,734,000	2,563.10
02299	百宏實業控股有限公司	2011/05/18	2,299,000,000	2,977.21
02607	上海醫藥集團股份有限公司–H股	2011/05/20	765,893,920	16,014.15
01150	米蘭站控股有限公司	2011/05/23	674,374,000	312.08
00805	Glencore International plc	2011/05/25	6,922,713,511	77,745.76
02083	中國地板控股有限公司	2011/05/26	1,508,264,990	1,145.38
02282	美高梅中國控股有限公司	2011/06/03	3,800,000,001	12,568.66
01822	幻音數碼控股有限公司*	2011/06/03	622,500,000	–
03363	正業國際控股有限公司	2011/06/03	500,000,000	178.75
00935	龍翔集團控股有限公司	2011/06/10	1,100,000,000	313.13
00958	華能新能源股份有限公司–H股	2011/06/10	2,734,280,000	6,617.25
01910	新秀麗國際有限公司	2011/06/16	1,407,137,004	10,090.55
00831	利亞零售有限公司*	2011/06/20	733,103,974	–
00871	翔宇疏浚控股有限公司	2011/06/20	800,000,000	638.00
01061	億勝生物科技有限公司*	2011/06/23	556,750,000	–
01145	勇利航業集團有限公司	2011/06/24	1,058,829,308	–
01913	PRADA S.p.A.	2011/06/24	2,558,824,000	19,227.22
01488	理文手袋集團有限公司	2011/06/27	825,000,000	–
00847	哈薩克銅業有限公司	2011/06/29	535,240,338	–
01241	雙樺控股有限公司	2011/06/30	650,000,000	188.50
01115	西藏5100水資源控股有限公司	2011/06/30	2,500,000,000	1,584.55
01025	北京物美商業集團股份有限公司–H股*	2011/06/30	536,568,000	–
總額				156,775.55

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主板 股份

除牌上市公司

2011年第2季

股份代號	公司名稱	最後買賣日期	除牌日期
02337	復地(集團)股份有限公司-H股	2011/05/06	2011/05/13
00636	富邦銀行(香港)有限公司	2011/05/27	2011/06/08

主 板 股 份

公司名稱更改

2011年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
00206	TSC海洋集團有限公司	TSC集團控股有限公司	2011/03/04	2011/04/13
01229	安中資源實業有限公司	國際資源實業有限公司	2011/03/16	2011/04/18
01031	黃金集團有限公司	金利豐金融集團有限公司	2011/04/19	2011/05/06
00986	南興集團有限公司	中國環保能源投資有限公司	2011/03/22	2011/05/06
00729	中聚雷天電池有限公司	中聚電池有限公司	2011/04/14	2011/05/11
01008	詩天控股(國際)有限公司	貴聯控股國際有限公司	2011/04/21	2011/05/27
00639	福山國際能源集團有限公司	首鋼福山資源集團有限公司	2011/05/24	2011/06/03
00102	安利控股有限公司	凱升控股有限公司	2011/05/16	2011/06/21
00987	香港新能源(控股)有限公司	中國再生能源投資有限公司	2011/06/01	2011/06/21
00309	勞氏環保控股有限公司	新華通訊頻媒控股有限公司	2011/05/24	2011/06/22
02010	瑞年國際有限公司*	瑞年國際有限公司	2011/05/06	2011/06/24
00305	俊山三菱汽車集團有限公司	三菱汽車集團控股有限公司	2011/06/09	2011/06/27
01052	越秀交通有限公司	越秀交通基建有限公司	2011/05/30	2011/06/28
00760	明日國際集團有限公司	新天地產集團有限公司	2011/05/26	2011/06/28
00169	恆力房地產發展(集團)有限公司	恆力商業地產(集團)有限公司	2011/05/10	2011/06/29
01808	台一國際控股有限公司	企展控股有限公司	2011/05/17	2011/06/29
00578	合動能源控股有限公司	中國煤層氣集團有限公司	2011/05/30	2011/06/30

* 祇改英文名稱

祇改中文名稱

主板 股份

紅股／紅利認股權證

2011年第2季

股份代號	公司	詳情	除淨日
01666	北京同仁堂科技發展股份有限公司-H股	1送2	2011/04/11
00268	金蝶國際軟件集團有限公司	10送2	2011/04/14
00763	中興通訊股份有限公司-H股	10送2	2011/04/14
00542	星晨集團有限公司	每供5股送2股股份	2011/04/27
00914	安徽海螺水泥股份有限公司-H股	10送5	2011/04/28
03323	中國建材股份有限公司-H股	10送10	2011/04/29
01066	山東威高集團醫用高分子製品股份有限公司-H股	1送1	2011/05/04
00609	天德化工控股有限公司	1送1	2011/05/04
00631	三一重裝國際控股有限公司	10送5	2011/05/05
00510	時富金融服務集團有限公司	10送1	2011/05/12
01818	招金礦業股份有限公司-H股	1送1	2011/05/12
00838	億和精密工業控股有限公司	1送1	2011/05/13
01045	亞太衛星控股有限公司	2送1	2011/05/17
02342	京信通信系統控股有限公司	10送1	2011/05/18
00632	東方明珠創業有限公司	5送1	2011/05/20
00003	香港中華煤氣有限公司	10送1	2011/05/23
00543	太平洋網絡有限公司	10送1	2011/05/24
00056	聯合地產(香港)有限公司	5股送1份2016年認股權證	2011/05/25
00980	聯華超市股份有限公司-H股	10送8	2011/05/26
00696	中國民航信息網絡股份有限公司	2送1	2011/05/26
00326	中國星集團有限公司	每供5股送1份2012年認股權證	2011/05/30
00733	合富輝煌集團控股有限公司	5送1	2011/06/02
00829	神冠控股(集團)有限公司	10送10	2011/06/09
02899	紫金礦業集團股份有限公司-H股	10送5	2011/06/13
01157	長沙中聯重工科技發展股份有限公司-H股	1送0.3	2011/06/20

主板 股份

股份拆細及合併

2011年第2季

股份代號	公司	詳情	生效日期
00542	星晨集團有限公司	10股合併為1股	2011/04/08
03300	中國玻璃控股有限公司	1股分拆為2股	2011/04/18
00326	中國星集團有限公司	10股合併為1股	2011/05/09
00307	優派能源發展集團有限公司	20股合併為1股	2011/05/13
00419	華億傳媒有限公司	10股合併為1股	2011/05/16
00494	利豐有限公司	1股分拆為2股	2011/05/19
00826	天工國際有限公司	1股分拆為4股	2011/05/24
00382	威靈控股有限公司	5股合併為1股	2011/05/27
01166	蒙古礦業控股有限公司	20股合併為1股	2011/06/02
00362	中國天化工集團有限公司	10股合併為1股	2011/06/21
01003	21控股有限公司	10股合併為1股	2011/06/22
01041	福邦控股有限公司	10股合併為1股	2011/06/22
00689	長盈集團(控股)有限公司	10股合併為1股	2011/06/23
00663	金山能源集團有限公司	20股合併為1股	2011/06/27
01106	中國海景控股有限公司	1股分拆為2股	2011/06/28
00986	中國環保能源投資有限公司	10股合併為1股	2011/06/30

主 板 股 份

供股／公開售股

2011年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
4月	01059	看通集團有限公司	股權5供2，每股供0.10港元	212.17
	00275	錦興集團有限公司	股權1供8，每股供0.30港元 (10股合併為1股後)	299.02
	00986	中國環保能源投資有限公司	股權1供26，每股供0.068港元 (16股合併為1股後)	206.08
	00736	中國置業投資控股有限公司	股權1供30，每股供0.068港元	338.27
	00885	福方集團有限公司	股權1供8，每股供0.125港元 (10股合併為1股後)	406.08
	5月	03311	中國建築國際集團有限公司	股權5供1，每股供6.00港元
	00111	信達國際控股有限公司	股權5供1，每股供1.10港元	117.55
	00767	太平洋實業控股有限公司	股權1供30，每股供0.08港元	221.93
	00542	星晨集團有限公司	公開售股1供5，每股供0.20港元 另每供5股送2股股份 (10股合併為1股後)	241.45
	01223	新豐集團有限公司	公開售股2供1，每股供0.25港元	218.01
6月	00419	華億傳媒有限公司	股權2供1，每股供0.18港元 (10股合併為1股後)	259.15
	01205	中信資源控股有限公司	股權10供3，每股供1.38港元	2,504.93
	00810	華保亞洲發展有限公司	公開售股2供1，每股供0.07港元	58.20
	00273	威利國際控股有限公司	股權1供8，每股供0.125港元	296.07
	00832	建業地產股份有限公司	股權100供21.4，每股供1.71港元	731.88
	00326	中國星集團有限公司	股權1供3，每股供0.25港元 每供5股送1份2012年認股權證 (10股合併為1股後)	368.39
	總額			

主板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2011					
3月**	00692	寶源控股	58,000,000	0.250	14.50
	02309	伯明翰環球	450,000,000	0.200	90.00
4月	01003	21控股	247,900,000	0.110	27.27
	02309	伯明翰環球	250,000,000	0.200	50.00
	00721	中國金融國際	370,000,000	0.500	185.00
	01049	CELESTIAL ASIA	100,000,000	0.500	50.00
	00976	齊合天地	60,000,000	6.600	396.00
	00922	中福控股	165,000,000	0.380	62.70
	00544	大同集團	199,920,000	0.235	46.98
	00329	叁龍國際	18,470,000	0.160	2.96
	00887	英皇鐘錶珠寶	800,000,000	1.000	800.00
	00585	意馬國際	1,282,816,000	0.280	359.19
	00585	意馬國際	1,500,000,000	0.076	114.00
	01031	金利豐金融	560,000,000	0.950	532.00
	01208	五礦資源	762,612,000	5.100	3,889.32
	00402	蒙古投資	1,320,000,000	0.067	88.44
	01227	國盛投資	350,000,000	0.141	49.35
	00810	華保亞洲	32,600,000	0.112	3.65
	00451	森泰集團	11,380,000	3.270	37.21
	00171	銀建國際	120,000,000	3.000	360.00
	02000	晨訊科技	137,500,000	1.600	220.00
	00878	金朝陽集團	9,000,000	12.000	108.00
00204	泰潤國際	600,000,000	0.100	60.00	
00839	天大石油管材	196,000,000	3.960	776.16	
00913	合一投資	8,500,000	0.300	2.55	
00082	第一視頻	90,000,000	2.260	203.40	
5月	00030	佳訊控股	73,107,200	0.640	46.79
	00761	百營環球資源	600,000,000	0.100	60.00
	01008	貴聯控股	7,500,000	8.000	60.00
	00721	中國金融國際	60,000,000	0.500	30.00
	03300	中國玻璃	200,000,000	4.060	812.00
	00855	中國水務	235,598,277	3.400	801.03
	00689	長盈集團(控股)	1,280,000,000	0.050	64.00
	01226	嘉禹國際	491,800,000	1.150	565.57
	01031	金利豐金融	330,000,000	1.030	339.90
	00848	茂業國際	273,000,000	3.810	1,040.13
	00072	現代傳播	20,850,000	2.500	52.13
	03989	新環保能源	202,022,000	0.400	80.81
	02366	勤+緣媒體服務	84,100,000	1.350	113.54
	00802	宏霸數碼	68,500,000	1.450	99.33
	01142	西伯利亞礦業	128,000,000	0.112	14.34
00993	天行國際	216,000,000	0.185	39.96	
00766	中盈國金資源	1,100,000,000	0.380	418.00	
01099	國藥控股	138,056,825	25.000	3,451.42	

主 板 股 份

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
	01063	新確科技	533,157,785	0.055	29.32
	01129	中國水業集團	325,000,000	0.123	39.98
	01066	威高股份	85,624,000	20.600	1,763.85
	00309	新華通訊頻媒	45,900,000	0.700	32.13
	02379	中天國際	36,170,000	0.660	23.87
6月	01049	CELESTIAL ASIA	208,000,000	0.510	106.08
	00263	中國雲錫礦業	1,081,737,759	0.062	67.07
	00354	中國軟件國際	150,000,000	1.860	279.00
	01060	文化中國傳播	125,000,000	0.400	50.00
	00943	意科控股	760,000,000	0.050	38.00
	00764	永恒策略	220,280,000	0.135	29.74
	01998	飛克國際	24,000,000	1.650	39.60
	00988	樓東俊安資源	40,002,000	1.040	41.60
	03828	明輝國際	30,000,000	2.124	63.72
	02318	中國平安	272,000,000	71.500	19,448.00
	00750	興業太陽能	35,000,000	6.800	238.00
	00878	金朝陽集團	10,000,000	12.200	122.00
	01063	新確科技	653,000,000	0.022	14.37
	00273	威利國際	59,213,993	0.200	11.84
	00868	信義玻璃	100,000,000	8.350	835.00
總額					39,890.78

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主板 認股權證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2010	第2季	302.00	538,302.09	538,604.09
	第3季	375.85	669,145.29	669,521.14
	第4季	884.16	896,594.85	897,479.00
2011	第1季	393.42	829,434.95	829,828.38
	第2季	401.20	636,349.65	636,750.86

認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)	
		數目	數目	總數			
2010	第2季	25	1,697.57	4,114	86,643.45	4,139	88,341.02
	第3季	25	2,204.10	4,348	131,501.94	4,373	133,706.04
	第4季	22	2,049.41	5,148	142,445.77	5,170	144,495.18
2011	第1季	23	1,217.46	5,689	148,882.52	5,712	150,099.98
	第2季	15	785.68	4,836	96,546.69	4,851	97,332.38

新上市統計—認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2010	第2季	8	1,536	64,484.98
	第3季	2	1,938	72,490.02
	第4季	1	2,703	115,549.29
2011	第1季	2	2,056	86,446.46
	第2季	2	1,606	59,723.05

新上市股份認股權證

2011年第2季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
00824	文化傳信集團有限公司2013年認股權證	38,598,000	2011/05/03	2013/05/02	27.57
01183	聯合地產(香港)有限公司2016年認股權證	2,781,246,634	2011/06/15	2016/06/13	-
總額					27.57

主板 認股權證

新上市衍生權證

2011年第2季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29930	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2011	200,000,000	2011/04/01	2011/11/07	54.00
29933	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Feb 2012	200,000,000	2011/04/01	2012/02/06	60.00
29938	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Dec 2011	200,000,000	2011/04/01	2011/12/05	50.00
29934	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Apr 2012	200,000,000	2011/04/01	2012/04/10	50.00
29935	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jul 2012	200,000,000	2011/04/01	2012/07/11	32.00
29936	BNP Paribas Arbit Issu B.V. - HKEx European Put Wts Dec 2011	100,000,000	2011/04/01	2011/12/12	25.00
29939	Credit Suisse AG - CNOOC European Warrants Jul 2011 A	300,000,000	2011/04/01	2011/07/18	45.00
29940	Credit Suisse AG - HSI European Warrants Jul 2011 A	300,000,000	2011/04/01	2011/07/28	45.00
29944	Credit Suisse AG - HSI European Put Warrants Jul 2011 B	300,000,000	2011/04/01	2011/07/28	45.00
29946	Deutsche Bank AG - Tencent European Put Warrants Aug 2011	150,000,000	2011/04/01	2011/08/22	28.50
29925	HK Bank - HSCEI European Warrants Dec 2011 B	150,000,000	2011/04/01	2011/12/29	37.50
29937	HK Bank - HSCEI European Warrants Dec 2011 C	150,000,000	2011/04/01	2011/12/29	37.50
29928	HK Bank - HSI European Warrants Oct 2011 A	300,000,000	2011/04/01	2011/10/28	75.00
29929	HK Bank - HSI European Warrants Oct 2011 B	300,000,000	2011/04/01	2011/10/28	75.00
29927	HK Bank - HSI European Warrants Dec 2011 C	300,000,000	2011/04/01	2011/12/29	75.00
29931	HK Bank - HSI European Put Warrants Dec 2011 D	200,000,000	2011/04/01	2011/12/29	50.00
29932	HK Bank - HSI European Put Warrants Dec 2011 E	200,000,000	2011/04/01	2011/12/29	50.00
29941	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Aug2011C	108,000,000	2011/04/01	2011/08/01	23.76
29942	KBC Fin Products Int'l Ltd. - AIA European Warrants Sep 2011	188,000,000	2011/04/01	2011/09/08	28.20
29943	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Aug 2011 D	108,000,000	2011/04/01	2011/08/15	61.56
29945	KBC Fin Products Int'l Ltd. - Li & Fung Euro Wts Oct 2011	58,000,000	2011/04/01	2011/10/03	14.50
29926	Standard Chartered Bank-China Life European Wts Dec 2011 B	100,000,000	2011/04/01	2011/12/08	34.50
29919	SGA Societe Generale Acceptance N.V. - CCoal Eur Wt Dec 2011	100,000,000	2011/04/01	2011/12/21	25.00
29918	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Apr 2012	500,000,000	2011/04/01	2012/04/23	80.00
29920	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Oct2011B	100,000,000	2011/04/01	2011/10/10	67.00
29921	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Aug 2011 B	200,000,000	2011/04/01	2011/08/01	70.00
29922	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Aug 2011 C	200,000,000	2011/04/01	2011/08/22	82.00
10103	SGA Societe Generale Acceptance N.V. - Oil Eur Put Wt Nov 11	100,000,000	2011/04/01	2011/11/21	27.00
10099	SGA Societe Generale Acceptance N.V. - Oil Eur Wt Nov 2011 A	100,000,000	2011/04/01	2011/11/21	25.00
10100	SGA Societe Generale Acceptance N.V. - Oil Eur Wt Nov 2011 B	100,000,000	2011/04/01	2011/11/21	25.00
29923	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Oct 2011A	100,000,000	2011/04/01	2011/10/10	57.00
29924	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 11B	200,000,000	2011/04/01	2011/10/03	44.00
28911 #	Deutsche Bank AG - Hutchison European Warrants Jul 2011 B	150,000,000	2011/04/01	2011/07/11	52.50
29968	BNP Paribas Arbit Issu B.V. - BOCL European Wts Dec 2011	100,000,000	2011/04/04	2011/12/02	25.00
29969	BNP Paribas Arbit Issu B.V. - BYD European Warrants Nov 2011	95,000,000	2011/04/04	2011/11/02	46.55
29970	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Oct 2011	50,000,000	2011/04/04	2011/10/04	13.50
29974	BNP Paribas Arbit Issu B.V. - Ch Railway Euro Wts Oct 2011	21,000,000	2011/04/04	2011/10/04	10.08
29973	BNP Paribas Arbit Issu B.V. - China Mengniu Euro Wts Dec 11	85,000,000	2011/04/04	2011/12/02	21.25
29972	Deutsche Bank AG - HSBC European Warrants Jul 2011 D	200,000,000	2011/04/04	2011/07/18	42.00
29960	J P Morgan SP BV - Agricultural Bk European Warrants Oct 11A	150,000,000	2011/04/04	2011/10/04	37.50
29961	J P Morgan SP BV - AIA European Warrants Nov 2011	200,000,000	2011/04/04	2011/11/29	50.00
29962	J P Morgan SP BV - C Transmission European Warrants Jun 2012	150,000,000	2011/04/04	2012/06/28	37.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29963	J P Morgan SP BV - China Life European Warrants Oct 2011 A	300,000,000	2011/04/04	2011/10/04	75.00
29964	J P Morgan SP BV - Geely Auto European Warrants Oct 2011	100,000,000	2011/04/04	2011/10/04	25.00
29965	Macquarie Bank Ltd.-Agricultural Bk European Warrants Oct11A	200,000,000	2011/04/04	2011/10/28	50.00
29967	Macquarie Bank Ltd. - Stanchart European Warrants Dec 2011	250,000,000	2011/04/04	2011/12/30	62.50
29966	Macquarie Bank Ltd. - Yurun Food European Warrants Oct 2011	200,000,000	2011/04/04	2011/10/28	50.00
29947	CC Rabobank B.A. - Ch Mobile European Warrants Sep 2011	200,000,000	2011/04/04	2011/09/26	30.00
29948	CC Rabobank B.A. - Shougang Res European Warrants Sep 2011	100,000,000	2011/04/04	2011/09/22	40.00
29958	CC Rabobank B.A. - Sinofer European Warrants Oct 2011	100,000,000	2011/04/04	2011/10/28	40.00
29959	CC Rabobank B.A. - Tencent Holdings European Wts Sep 2011 B	200,000,000	2011/04/04	2011/09/27	40.00
29971	UBS AG - BYD European Warrants Oct 2011 B	100,000,000	2011/04/04	2011/10/11	25.00
28899 #	Standard Chartered Bank-China Life European Wts Aug 2011 B	100,000,000	2011/04/04	2011/08/22	20.00
29985	Barclays Bank plc - China Telecom European Warrants Oct 2011	100,000,000	2011/04/06	2011/10/28	30.00
29986	Barclays Bank plc - ChiUnicom European Warrants Mar 2012	150,000,000	2011/04/06	2012/03/19	22.50
29988	Barclays Bank plc - China Life European Warrants Oct 2011	150,000,000	2011/04/06	2011/10/28	37.65
29987	Barclays Bank plc - China Mobile European Wts Oct 2011 B	150,000,000	2011/04/06	2011/10/17	37.50
29989	Barclays Bank plc - GCL-Poly Energy European Wts Oct 2011	100,000,000	2011/04/06	2011/10/28	44.60
11002	Citigroup Global Mkt H Inc. - ChiUnicom Euro Wts Feb 2012	80,000,000	2011/04/06	2012/02/29	20.00
29975	Citigroup Global Mkt H Inc. - CNOOC Euro Put Wts Oct 2011	80,000,000	2011/04/06	2011/10/10	20.00
29999	KBC Fin Products Int'l Ltd. - China Coal Euro Wts Mar 2012	108,000,000	2011/04/06	2012/03/01	27.00
11001	KBC Fin Products Int'l Ltd. - YZ Coal European Wts Oct 2011A	128,000,000	2011/04/06	2011/10/06	32.00
29977	Macquarie Bank Ltd. - BBMG European Warrants Oct 2011	250,000,000	2011/04/06	2011/10/31	38.75
29979	Macquarie Bank Ltd. - CITIC Bank European Warrants Oct 2011	350,000,000	2011/04/06	2011/10/07	88.55
29980	Macquarie Bank Ltd. - Minsheng Bank European Wts Oct 2011	128,000,000	2011/04/06	2011/10/10	33.02
29981	Macquarie Bank Ltd. - Sinopec Corp European Wts Oct 2011	350,000,000	2011/04/06	2011/10/07	122.50
29978	Macquarie Bank Ltd. - Cathay Pacific European Wts Oct 2011	350,000,000	2011/04/06	2011/10/31	87.50
29982	Macquarie Bank Ltd. - R&F Ppt European Warrants Oct 2011	150,000,000	2011/04/06	2011/10/28	96.00
29983	Macquarie Bank Ltd. - Sinofer European Warrants Oct 2011	128,000,000	2011/04/06	2011/10/10	32.26
29984	Macquarie Bank Ltd. - Zijin Mining European Wts Oct 2011	100,000,000	2011/04/06	2011/10/06	43.40
29976	Standard Chartered Bank - Hutchison Euro Euro Wts Oct 2011 B	100,000,000	2011/04/06	2011/10/07	36.00
27927 #	J P Morgan SP BV - China Unicom European Warrants Nov 2011	250,000,000	2011/04/06	2011/11/25	14.50
11040	Credit Suisse AG - HSI European Warrants Jul 2011 C	300,000,000	2011/04/07	2011/07/28	45.00
11044	Credit Suisse AG - HSI European Put Warrants Jul 2011 D	300,000,000	2011/04/07	2011/07/28	45.00
11017	Deutsche Bank AG - HSI European Warrants Oct 2011	150,000,000	2011/04/07	2011/10/28	41.25
11020	Deutsche Bank AG - HSI European Put Warrants Aug 2011	150,000,000	2011/04/07	2011/08/30	28.50
11021	Deutsche Bank AG - HSI European Put Warrants Oct 2011	150,000,000	2011/04/07	2011/10/28	37.50
11018	Deutsche Bank AG - HSI European Warrants Jul 2011 F	150,000,000	2011/04/07	2011/07/28	28.50
11019	Deutsche Bank AG - HSI European Warrants Jul 2011 G	150,000,000	2011/04/07	2011/07/28	22.50
11007	HK Bank - CNBM European Warrants Dec 2011	120,000,000	2011/04/07	2011/12/16	30.00
11008	HK Bank - China Shenhua European Warrants Oct 2011	150,000,000	2011/04/07	2011/10/07	37.50
11009	HK Bank - Yanzhou Coal European Warrants Oct 2011 B	150,000,000	2011/04/07	2011/10/28	37.50
11037	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Oct 11 A	108,000,000	2011/04/07	2011/10/07	29.16
11038	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Oct 11 B	108,000,000	2011/04/07	2011/10/14	27.00
11039	KBC Fin Products Int'l Ltd. - Yanzhou Coal Euro Put Wt Oct11	98,000,000	2011/04/07	2011/10/14	29.40
11003	CC Rabobank B.A. - Cheung Kong European Warrants Oct 2011	100,000,000	2011/04/07	2011/10/28	40.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11004	CC Rabobank B.A. - GCL-Poly Energy European Wts Oct 2011	100,000,000	2011/04/07	2011/10/10	35.00
11005	CC Rabobank B.A. - Tencent Holdings European Wts Dec 2011	200,000,000	2011/04/07	2011/12/23	30.00
11006	CC Rabobank B.A. - Weichai Power European Wts Oct 2011 B	150,000,000	2011/04/07	2011/10/10	87.00
11023	SGA Societe Generale Acceptance N.V. - Ch Mer H Eu Wt Oct11	80,000,000	2011/04/07	2011/10/10	30.40
11024	SGA Societe Generale Acceptance N.V.-Ch Oilfield Eu Wt Oct11	120,000,000	2011/04/07	2011/10/10	30.00
11025	SGA Societe Generale Acceptance N.V. - Chi Res Eu Wt Oct11	80,000,000	2011/04/07	2011/10/10	28.00
11010	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2011D	300,000,000	2011/04/07	2011/08/08	45.00
11011	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2011E	300,000,000	2011/04/07	2011/08/15	66.00
11012	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2011F	300,000,000	2011/04/07	2011/08/15	51.00
11013	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2011C	300,000,000	2011/04/07	2011/09/21	54.00
11022	SGA Societe Generale Acceptance N.V. - CMB Euro Wts Dec 2011	200,000,000	2011/04/07	2011/12/21	50.00
11026	SGA Societe Generale Acceptance N.V. - COSCO Pac Eu Wt Nov11	150,000,000	2011/04/07	2011/11/21	37.50
11027	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Oct11A	80,000,000	2011/04/07	2011/10/10	38.40
11029	SGA Societe Generale Acceptance N.V. - GAC Eur Wts Jan 2012	120,000,000	2011/04/07	2012/01/04	22.80
11028	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Oct11B	100,000,000	2011/04/07	2011/10/31	44.00
11014	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Aug 2011 D	200,000,000	2011/04/07	2011/08/24	94.00
11030	SGA Societe Generale Acceptance N.V. - Li & Fung Eu Wt Oct11	100,000,000	2011/04/07	2011/10/10	32.00
11031	SGA Societe Generale Acceptance N.V-Nine Dragons Eu Wt Jul12	150,000,000	2011/04/07	2012/07/04	37.50
11032	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Sep 11	200,000,000	2011/04/07	2011/09/05	32.00
11033	SGA Societe Generale Acceptance N.V.-SkyworthDig Eu Wt Oct11	80,000,000	2011/04/07	2011/10/10	31.20
11015	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep 11B	200,000,000	2011/04/07	2011/09/28	40.00
11016	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 11C	200,000,000	2011/04/07	2011/10/26	32.00
29296 #	Credit Suisse AG - HSI European Put Warrants Jun 2011 F	300,000,000	2011/04/07	2011/06/29	15.30
11046	J P Morgan SP BV - GCL-Poly European Warrants Oct 2011	100,000,000	2011/04/08	2011/10/10	50.00
11047	J P Morgan SP BV - ICBC European Warrants Oct 2011A	100,000,000	2011/04/08	2011/10/10	25.00
11048	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Sep 11	108,000,000	2011/04/08	2011/09/05	16.20
11041	Standard Chartered Bank - BOCL European Warrants Nov 2011	100,000,000	2011/04/08	2011/11/01	25.00
11042	Standard Chartered Bank-China Life European Wts Feb 2012	150,000,000	2011/04/08	2012/02/01	37.50
11043	Standard Chartered Bank - HSBC European Warrants Nov 2011 A	100,000,000	2011/04/08	2011/11/01	40.00
11045	Standard Chartered Bank - Hutchison Euro Euro Wts Jul 2013	100,000,000	2011/04/08	2013/07/29	22.30
11049	UBS AG - Agricultural Bank European Warrants Oct 2011	200,000,000	2011/04/08	2011/10/11	50.00
25434 #	KBC Fin Products Int'l Ltd. - HKEx European Warrants Oct 11A	280,000,000	2011/04/08	2011/10/07	49.00
11059	Credit Suisse AG - China Mobile European Warrants Aug 2011	300,000,000	2011/04/11	2011/08/08	45.00
11060	Credit Suisse AG - CNOOC European Warrants Jul 2011 B	300,000,000	2011/04/11	2011/07/25	45.00
11061	Credit Suisse AG - HSBC European Wts Jul 2011	300,000,000	2011/04/11	2011/07/25	45.00
11056	Credit Suisse AG - HSI European Warrants Aug 2011	300,000,000	2011/04/11	2011/08/30	45.00
11058	Credit Suisse AG - HSI European Put Warrants Aug 2011	300,000,000	2011/04/11	2011/08/30	60.00
11057	Credit Suisse AG - HSI European Put Warrants Jul 2011 E	300,000,000	2011/04/11	2011/07/28	60.00
11063	Credit Suisse AG - Hutchison European Warrants Aug 2011	300,000,000	2011/04/11	2011/08/29	120.00
11062	Credit Suisse AG - Hutchison European Warrants Jul 2011 E	300,000,000	2011/04/11	2011/07/11	45.00
11064	Credit Suisse AG - ICBC European Warrants Aug 2011 A	300,000,000	2011/04/11	2011/08/01	45.00
11065	Credit Suisse AG - Ping An European Warrants Sep 2011 B	300,000,000	2011/04/11	2011/09/14	150.00
11066	KBC Fin Products Int'l Ltd. - BOCL European Wts Sep 2011	108,000,000	2011/04/11	2011/09/09	16.20
11068	KBC Fin Products Int'l Ltd. - Ch Overseas Euro Wts Nov 2011	108,000,000	2011/04/11	2011/11/01	27.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11067	KBC Fin Products Int'l Ltd. - CNBM European Warrants Oct 11	68,000,000	2011/04/11	2011/10/11	17.00
11069	KBC Fin Products Int'l Ltd. - CNOOC European Wts Nov 2011	108,000,000	2011/04/11	2011/11/23	21.60
11073	KBC Fin Products Int'l Ltd. - HSI European Wts Aug 2011	200,000,000	2011/04/11	2011/08/30	30.00
11072	KBC Fin Products Int'l Ltd.-MTRC European Put Warrants Nov11	58,000,000	2011/04/11	2011/11/10	14.50
11070	KBC Fin Products Int'l Ltd. - PetroChina Euro Wts Aug 2011	88,000,000	2011/04/11	2011/08/15	39.60
11071	KBC Fin Products Int'l Ltd. - YZ Coal European Wts Oct 2011B	108,000,000	2011/04/11	2011/10/14	27.00
11051	Standard Chartered Bank - China Coal European Warrants Nov11	100,000,000	2011/04/11	2011/11/01	25.00
11052	Standard Chartered Bank - China Life Euro Put Wts Nov 2011	100,000,000	2011/04/11	2011/11/01	25.00
11053	Standard Chartered Bank -YZ Coal European Warrants Nov 2011	100,000,000	2011/04/11	2011/11/01	25.00
11050	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 11D	200,000,000	2011/04/11	2011/10/10	50.00
11054	UBS AG - China Life European Warrants Oct 2011	300,000,000	2011/04/11	2011/10/04	45.00
11055	UBS AG - HSBC European Warrants Oct 2011 B	300,000,000	2011/04/11	2011/10/11	75.00
11111	BOCI Asia Ltd. - China Life European Warrants Sep 2011	100,000,000	2011/04/12	2011/09/26	15.00
11113	BOCI Asia Ltd. - GCL-Poly Energy European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/12	35.00
11112	BOCI Asia Ltd. - PetroChina European Warrants Aug 2011	100,000,000	2011/04/12	2011/08/03	25.00
11083	BNP Paribas Arbit Issu B.V. - China EB Ltd European Wt Feb12	95,000,000	2011/04/12	2012/02/02	23.75
11106	BNP Paribas Arbit Issu B.V. - China Overseas Eur Wt Dec 2011	100,000,000	2011/04/12	2011/12/22	16.00
11085	BNP Paribas Arbit Issu B.V. - CITIC Pacific Euro Wts Feb12	95,000,000	2011/04/12	2012/02/02	27.55
11107	BNP Paribas Arbit Issu B.V. - Chi Res Land Euro Wts Apr 12	100,000,000	2011/04/12	2012/04/05	22.00
11086	BNP Paribas Arbit Issu B.V. - Geely Auto European Wts Feb12	60,000,000	2011/04/12	2012/02/02	28.20
11087	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wts Feb 2012	100,000,000	2011/04/12	2012/02/02	32.00
11088	BNP Paribas Arbit Issu B.V. - KECL European Warrants Dec 12	100,000,000	2011/04/12	2012/12/04	25.00
11108	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Apr 2013	200,000,000	2011/04/12	2013/04/03	50.00
11089	BNP Paribas Arbit Issu B.V. - Sinopharm European Wts Feb 12	40,000,000	2011/04/12	2012/02/02	13.60
11084	BNP Paribas Arbit Issu B.V. - Yurun Food European Wts Jan 12	100,000,000	2011/04/12	2012/01/04	25.00
11115	Credit Suisse AG - HKEx European Warrants Aug 2011 B	300,000,000	2011/04/12	2011/08/08	45.00
11130	Citigroup Global Mkt H Inc. - Ch Overseas Euro Wts Dec 2011	80,000,000	2011/04/12	2011/12/23	20.00
11109	Citigroup Global Mkt H Inc. - HKEx European Wts Dec 2011	80,000,000	2011/04/12	2011/12/02	12.00
11131	Citigroup Global Mkt H Inc. -Yanzhou Coal European Wt Oct 11	80,000,000	2011/04/12	2011/10/17	20.00
11118	Deutsche Bank AG - CC Bank European Warrants Oct 2011	200,000,000	2011/04/12	2011/10/17	50.00
11119	Deutsche Bank AG - China Life European Warrants Sep 2011 B	300,000,000	2011/04/12	2011/09/26	45.00
11120	Deutsche Bank AG - China Life European Warrants Oct 2011	300,000,000	2011/04/12	2011/10/17	75.00
11122	Deutsche Bank AG - ICBC European Warrants Aug 2011	150,000,000	2011/04/12	2011/08/29	40.50
11123	Deutsche Bank AG - PetroChina European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/17	33.00
11124	Deutsche Bank AG - PetroChina European Put Wts Mar 2012	100,000,000	2011/04/12	2012/03/19	19.00
11078	HK Bank -BYD European Warrants Oct 2011	80,000,000	2011/04/12	2011/10/12	20.00
11121	J P Morgan SP BV - ICBC European Warrants Aug 2011	200,000,000	2011/04/12	2011/08/01	32.00
11127	J P Morgan SP BV - ICBC European Warrants Oct 2011 B	200,000,000	2011/04/12	2011/10/12	50.00
11125	KBC Fin Products Int'l Ltd. - HKEx European Warrants Oct 11B	288,000,000	2011/04/12	2011/10/03	43.20
11126	KBC Fin Products Int'l Ltd. - Jiangxi Copper Eu Wts Sep 11D	88,000,000	2011/04/12	2011/09/28	15.84
11114	Macquarie Bank Ltd. - China Railway European Wts Oct 2011	200,000,000	2011/04/12	2011/10/12	50.00
11110	Macquarie Bank Ltd. - Goldwind European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/12	25.00
11079	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Aug 2011	200,000,000	2011/04/12	2011/08/30	30.60
11077	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jul 2011 G	200,000,000	2011/04/12	2011/07/28	30.40

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11074	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2011 B	200,000,000	2011/04/12	2011/08/30	30.40
11075	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2011 C	200,000,000	2011/04/12	2011/08/30	30.60
11076	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2011 B	200,000,000	2011/04/12	2011/09/29	31.60
11080	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2011 C	200,000,000	2011/04/12	2011/09/29	30.00
11081	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2011 D	200,000,000	2011/04/12	2011/09/29	30.60
11082	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Sep 2011 E	200,000,000	2011/04/12	2011/09/29	35.40
11090	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jul 2011 C	200,000,000	2011/04/12	2011/07/25	44.00
11091	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Oct 2011 C	200,000,000	2011/04/12	2011/10/12	50.00
11092	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Oct 2011	120,000,000	2011/04/12	2011/10/12	72.00
11095	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2011 B	200,000,000	2011/04/12	2011/10/12	54.00
11094	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Oct 2011	80,000,000	2011/04/12	2011/10/12	43.20
11093	SGA Societe Generale Acceptance N.V. - CCoal Eur Wt Feb 2012	300,000,000	2011/04/12	2012/02/29	51.00
11097	SGA Societe Generale Acceptance N.V. - Gome Euro Wt Oct 2011	120,000,000	2011/04/12	2011/10/12	30.00
11102	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2011 B	300,000,000	2011/04/12	2011/10/12	99.00
11098	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Dec 2011	200,000,000	2011/04/12	2011/12/29	44.00
11099	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Oct 11	200,000,000	2011/04/12	2011/10/28	50.00
11100	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2011 E	300,000,000	2011/04/12	2011/09/29	45.00
11101	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct 11D	300,000,000	2011/04/12	2011/10/28	75.00
11103	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2011 B	200,000,000	2011/04/12	2011/08/31	36.00
11104	SGA Societe Generale Acceptance N.V. - JIANC Eu Wts Oct 2011	200,000,000	2011/04/12	2011/10/03	48.00
11096	SGA Societe Generale Acceptance N.V. -Yurun Food Eu Wt Nov11	100,000,000	2011/04/12	2011/11/02	25.00
11105	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Nov 11	120,000,000	2011/04/12	2011/11/28	30.00
11116	UBS AG - CNOOC European Warrants Jun 2012	200,000,000	2011/04/12	2012/06/18	50.00
11129	UBS AG - HSI European Put Warrants Aug 2011	300,000,000	2011/04/12	2011/08/30	51.00
11128	UBS AG - HSI European Put Warrants Jul 2011 G	300,000,000	2011/04/12	2011/07/28	45.00
11117	UBS AG - Ping An European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/17	30.00
25865 #	Macquarie Bank Ltd. - Tencent European Put Warrants Jun 11C	300,000,000	2011/04/12	2011/06/02	13.80
23753 #	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Jun 2011 B	200,000,000	2011/04/12	2011/06/23	12.40
11166	Credit Suisse AG - CNOOC European Warrants Oct 2011	300,000,000	2011/04/13	2011/10/19	45.00
11167	Credit Suisse AG - HSBC European Wts Aug 2011 B	300,000,000	2011/04/13	2011/08/08	45.00
11168	Credit Suisse AG - HSI European Warrants Jul 2011 F	300,000,000	2011/04/13	2011/07/28	45.00
11145	HK Bank - BOCL European Warrants Sep 2011	120,000,000	2011/04/13	2011/09/09	18.00
11146	HK Bank - CC Bank European Warrants Oct 2011	120,000,000	2011/04/13	2011/10/13	30.00
11147	HK Bank - ICBC European Warrants Sep 2011	120,000,000	2011/04/13	2011/09/05	30.00
11148	HK Bank - ICBC European Warrants Oct 2011	120,000,000	2011/04/13	2011/10/13	30.00
11152	J P Morgan SP BV - China Mob European Wts Oct 2011	150,000,000	2011/04/13	2011/10/13	37.50
11154	J P Morgan SP BV - China Unicom European Warrants Mar 2012	100,000,000	2011/04/13	2012/03/30	25.00
11151	J P Morgan SP BV - Cheung Kong European Warrants Jan 2012	200,000,000	2011/04/13	2012/01/16	30.00
11153	J P Morgan SP BV - Ch Overseas European Warrants Oct 2011 B	100,000,000	2011/04/13	2011/10/13	25.00
11156	J P Morgan SP BV - JX Copper European Warrants Oct 2011	100,000,000	2011/04/13	2011/10/13	25.00
11158	J P Morgan SP BV - New World Dev European Warrants Jun 2012	100,000,000	2011/04/13	2012/06/25	25.00
11157	J P Morgan SP BV - Yanzhou Coal European Warrants Oct 2011 B	100,000,000	2011/04/13	2011/10/13	25.00
11159	J P Morgan SP BV - Zijin Mining European Warrants May 2012	200,000,000	2011/04/13	2012/05/23	30.00
11155	KBC Fin Products Int'l Ltd. - AIA European Warrants Oct 2011	188,000,000	2011/04/13	2011/10/03	28.20

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11132	Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Jul 2011	80,000,000	2011/04/13	2011/07/22	12.16
11133	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Aug 2011	80,000,000	2011/04/13	2011/08/29	25.20
11135	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jan 2012	80,000,000	2011/04/13	2012/01/30	20.40
11136	Merrill Lynch Int'l & Co. C.V. - Ch Telecom Eur Wt Jul 2011	80,000,000	2011/04/13	2011/07/25	14.08
11137	Merrill Lynch Int'l & Co. C.V. - Ch Telecom Eur Wt Oct 2011	80,000,000	2011/04/13	2011/10/17	34.80
11134	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2011 C	80,000,000	2011/04/13	2011/10/24	20.40
11138	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Jul 2011	80,000,000	2011/04/13	2011/07/18	12.08
11139	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Oct 2011B	80,000,000	2011/04/13	2011/10/17	20.00
11140	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Sep 2011 A	80,000,000	2011/04/13	2011/09/27	15.52
11141	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Sep 2011 B	80,000,000	2011/04/13	2011/09/05	12.40
11170	CC Rabobank B.A. - CC Bank European Warrants Dec 2011	100,000,000	2011/04/13	2011/12/02	15.10
11172	RB of Scotland N.V. - CNOOC European Warrants Nov 2011	200,000,000	2011/04/13	2011/11/21	33.00
11169	RB of Scotland N.V. - A50 China European Warrants Dec 2011	250,000,000	2011/04/13	2011/12/19	37.75
11171	RB of Scotland N.V. - ICBC European Warrants Sep 2011	200,000,000	2011/04/13	2011/09/05	30.20
11149	Standard Chartered Bank - HSBC European Warrants Jul 2011 B	100,000,000	2011/04/13	2011/07/18	22.00
11150	Standard Chartered Bank - Tencent Hldg European Wts Sep2011B	200,000,000	2011/04/13	2011/09/28	49.60
11142	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Oct 2011 D	200,000,000	2011/04/13	2011/10/17	50.00
11143	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2011 C	200,000,000	2011/04/13	2011/10/17	52.00
11144	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2011 B	200,000,000	2011/04/13	2011/10/17	50.00
11161	UBS AG - China Mobile European Warrants Sep 2011 B	200,000,000	2011/04/13	2011/09/26	30.00
11162	UBS AG - CNOOC European Warrants Oct 2011 B	200,000,000	2011/04/13	2011/10/19	30.00
11163	UBS AG - HKEx European Warrants Mar 2012	300,000,000	2011/04/13	2012/03/19	45.00
11160	UBS AG - HSI European Warrants Jul 2011 H	300,000,000	2011/04/13	2011/07/28	45.00
11165	UBS AG - HSI European Put Warrants Jul 2011 I	300,000,000	2011/04/13	2011/07/28	54.00
11164	UBS AG - PetroChina European Warrants Oct 2011	100,000,000	2011/04/13	2011/10/17	25.00
11198	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wts Dec 2015	100,000,000	2011/04/14	2015/12/02	25.00
11199	BNP Paribas Arbit Issu B.V. - CNBM European Wts Nov 2011	100,000,000	2011/04/14	2011/11/02	25.00
11200	BNP Paribas Arbit Issu B.V. - Sany Int'l Euro Wts Jan 2012	65,000,000	2011/04/14	2012/01/03	16.25
11201	BNP Paribas Arbit Issu B.V. - Yanzhou Coal Eur Wt Nov 2011 B	100,000,000	2011/04/14	2011/11/02	25.00
11189	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Jan 2012 B	80,000,000	2011/04/14	2012/01/18	12.00
11190	Citigroup Global Mkt H Inc. - SHK Ppt Euro Put Wts Dec 2011	80,000,000	2011/04/14	2011/12/23	12.00
11195	Deutsche Bank AG - BOCL European Warrants Oct 2011	150,000,000	2011/04/14	2011/10/17	37.50
11196	Deutsche Bank AG - China Life European Warrants Nov 2011	300,000,000	2011/04/14	2011/11/28	45.00
11173	Deutsche Bank AG - China Mobile European Warrants Sep 2011 C	300,000,000	2011/04/14	2011/09/26	51.00
11174	Deutsche Bank AG - CNOOC European Put Warrants Oct 2011	100,000,000	2011/04/14	2011/10/17	25.00
11175	Deutsche Bank AG - HKEx European Put Warrants Sep 2011	150,000,000	2011/04/14	2011/09/26	27.00
11197	Deutsche Bank AG - Hutchison European Warrants Aug 2011	200,000,000	2011/04/14	2011/08/29	110.00
11182	J P Morgan SP BV - AIA European Warrants Sep 2011	200,000,000	2011/04/14	2011/09/22	30.00
11185	J P Morgan SP BV - CNOOC European Warrants Oct 2011	100,000,000	2011/04/14	2011/10/14	25.00
11183	J P Morgan SP BV - CPIC European Warrants Oct 2011	100,000,000	2011/04/14	2011/10/14	25.00
11186	J P Morgan SP BV - HKEx European Warrants Nov 2011	300,000,000	2011/04/14	2011/11/29	45.00
11187	J P Morgan SP BV - HKEx European Put Wts Dec 2011	300,000,000	2011/04/14	2011/12/12	51.00
11188	J P Morgan SP BV - PetroChina European Warrants Dec 2012	300,000,000	2011/04/14	2012/12/28	75.00
11191	KBC Fin Products Int'l Ltd. - Anhui Conch Euro Wts Oct 2011	80,000,000	2011/04/14	2011/10/14	29.60

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		發行單位	上市日期	到期日	
11192	KBC Fin Products Int'l Ltd. - China Life Euro Wts Oct 2011	200,000,000	2011/04/14	2011/10/14	50.00
11193	KBC Fin Products Int'l Ltd. - ICBC European Wts Oct 2011 A	108,000,000	2011/04/14	2011/10/14	34.56
11194	KBC Fin Products Int'l Ltd. - ICBC European Wts Oct 2011 B	108,000,000	2011/04/14	2011/10/14	27.00
11181	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2011	300,000,000	2011/04/14	2011/12/29	54.00
11180	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2011 E	300,000,000	2011/04/14	2011/10/28	75.00
11184	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct 11F	300,000,000	2011/04/14	2011/10/28	48.00
11176	UBS AG - CC Bank European Warrants Sep 2011 A	200,000,000	2011/04/14	2011/09/01	30.00
11177	UBS AG - China Life European Warrants Sep 2011 B	300,000,000	2011/04/14	2011/09/08	45.00
11178	UBS AG - ICBC European Warrants Oct 2011	200,000,000	2011/04/14	2011/10/17	50.00
11179	UBS AG - Tencent Holdings European Warrants Oct 2011 B	200,000,000	2011/04/14	2011/10/24	30.00
28123 #	UBS AG - HKEx European Warrants Aug 2011 A	300,000,000	2011/04/14	2011/08/04	19.50
11226	BNP Paribas Arbit Issu B.V. - CITIC Pacific Euro Wt Jul12	100,000,000	2011/04/15	2012/07/03	25.00
11227	BNP Paribas Arbit Issu B.V. - Dongfang Elec Euro Wt Feb12	85,000,000	2011/04/15	2012/02/02	29.75
11228	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jul 2012	200,000,000	2011/04/15	2012/07/03	54.00
11229	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2012	200,000,000	2011/04/15	2012/01/04	144.00
11230	BNP Paribas Arbit Issu B.V. - HSBC European Wts Apr 2012	200,000,000	2011/04/15	2012/04/03	80.00
11231	BNP Paribas Arbit Issu B.V. - Goldwind Euro Warrants Feb 12	88,000,000	2011/04/15	2012/02/02	22.88
11240	Credit Suisse AG - Agricultural Bank European Wts Jul 2011 B	300,000,000	2011/04/15	2011/07/25	45.00
11241	Credit Suisse AG - Agricultural Bank European Wts Jul 2011 C	300,000,000	2011/04/15	2011/07/15	45.00
11236	Credit Suisse AG - ChiUnicom European Warrants Nov 2011	200,000,000	2011/04/15	2011/11/14	50.00
11234	Credit Suisse AG - CC Bank European Warrants Jul 2011	300,000,000	2011/04/15	2011/07/25	45.00
11235	Credit Suisse AG - China Tel European Warrants Jul 2011 B	200,000,000	2011/04/15	2011/07/25	30.00
11242	Credit Suisse AG - HKEx European Warrants Aug 2011 C	300,000,000	2011/04/15	2011/08/29	45.00
11239	Credit Suisse AG - HSI European Put Warrants Jul 2011 G	300,000,000	2011/04/15	2011/07/28	45.00
11237	Credit Suisse AG - ICBC European Warrants Aug 2011 B	300,000,000	2011/04/15	2011/08/29	45.00
11238	Credit Suisse AG - Yanzhou Coal European Warrants Oct 2011	200,000,000	2011/04/15	2011/10/17	50.00
11221	Citigroup Global Mkt H Inc. - Ch Overseas Euro Put Wt Dec11	80,000,000	2011/04/15	2011/12/23	20.00
11222	Citigroup Global Mkt H Inc. - Yanzhou Coal Euro Put Wt Dec11	80,000,000	2011/04/15	2011/12/19	20.00
11215	Deutsche Bank AG - CC Bank European Warrants Sep 2011 B	200,000,000	2011/04/15	2011/09/12	58.00
11216	Deutsche Bank AG - China Life European Warrants Sep 2011 C	300,000,000	2011/04/15	2011/09/12	45.00
11217	Deutsche Bank AG - CNOOC European Warrants Oct 2011 C	200,000,000	2011/04/15	2011/10/17	50.00
11218	Deutsche Bank AG - ICBC European Warrants Oct 2011	200,000,000	2011/04/15	2011/10/03	76.00
11219	Deutsche Bank AG - Tencent Holdings European Wts Sep 2011 B	200,000,000	2011/04/15	2011/09/20	30.00
11208	HK Bank - AIA European Warrants Oct 2011	150,000,000	2011/04/15	2011/10/17	37.50
11209	HK Bank - HSI European Warrants Nov 2011	300,000,000	2011/04/15	2011/11/29	75.00
11232	KBC Fin Products Int'l Ltd. - A50 Ch Euro Wts Dec 2011	188,000,000	2011/04/15	2011/12/19	28.20
11220	Macquarie Bank Ltd. - China Unicom European Wts Jun 2012	300,000,000	2011/04/15	2012/06/01	75.00
11225	Macquarie Bank Ltd. - HSI European Warrants Sep 2011	300,000,000	2011/04/15	2011/09/29	45.30
11224	Standard Chartered Bank - China Overseas European Wt Nov11	100,000,000	2011/04/15	2011/11/01	25.00
11223	Standard Chartered Bank - CM Bank European Warrants Nov 2011	100,000,000	2011/04/15	2011/11/01	25.00
11202	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Oct 2011 B	200,000,000	2011/04/15	2011/10/17	50.00
11203	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Oct 2011	500,000,000	2011/04/15	2011/10/17	125.00
11204	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jan 2012	500,000,000	2011/04/15	2012/01/30	125.00
11205	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Nov 2011 C	500,000,000	2011/04/15	2011/11/02	75.00

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		發行單位	上市日期	到期日	
11206	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Nov 2011 D	500,000,000	2011/04/15	2011/11/28	75.00
11207	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Sep 2011B	100,000,000	2011/04/15	2011/09/12	42.00
11210	UBS AG - AIA European Warrants Sep 2011	200,000,000	2011/04/15	2011/09/22	30.00
11233	UBS AG - A50 China European Warrants Dec 2011	300,000,000	2011/04/15	2011/12/29	45.00
11211	UBS AG - Hutchison European Warrants Aug 2011 B	300,000,000	2011/04/15	2011/08/29	120.00
11212	UBS AG - Hutchison European Warrants Sep 2011 B	300,000,000	2011/04/15	2011/09/29	54.00
11213	UBS AG - Jiangxi Copper European Warrants Sep 2011	100,000,000	2011/04/15	2011/09/15	15.00
11214	UBS AG - Tencent Holdings European Warrants Sep 2011 D	200,000,000	2011/04/15	2011/09/05	30.00
11258	Deutsche Bank AG - China Life European Warrants Aug 2011 C	200,000,000	2011/04/18	2011/08/08	36.00
11261	Deutsche Bank AG - China Life European Warrants Sep 2011 D	200,000,000	2011/04/18	2011/09/20	30.00
10101	Deutsche Bank AG - EUR/USD European Put Warrants Oct 2011	50,000,000	2011/04/18	2011/10/24	14.00
11259	Deutsche Bank AG - HKEx European Warrants Sep 2011 B	150,000,000	2011/04/18	2011/09/05	22.50
11260	Deutsche Bank AG - HSBC European Warrants Sep 2011 E	200,000,000	2011/04/18	2011/09/20	50.00
11251	J P Morgan SP BV - Agricultural Bk European Warrants Oct 11B	150,000,000	2011/04/18	2011/10/18	37.50
11252	J P Morgan SP BV - CITIC Pacific Euro Wts Nov 2011	100,000,000	2011/04/18	2011/11/18	25.00
11254	Macquarie Bank Ltd. - China Unicom European Wts Aug 2012	300,000,000	2011/04/18	2012/08/01	75.00
11255	Macquarie Bank Ltd. - PetroChina European Wts Oct 2011	250,000,000	2011/04/18	2011/10/18	85.50
11257	Macquarie Bank Ltd. - Yanzhou Coal European Wts Oct 2011 B	200,000,000	2011/04/18	2011/10/28	50.00
11246	CC Rabobank B.A. - Anhui Conch European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/24	40.00
11247	CC Rabobank B.A. - China EB Ltd European Warrants Feb 2012	100,000,000	2011/04/18	2012/02/27	25.00
11248	CC Rabobank B.A. - CNBM European Warrants Oct 2011 B	100,000,000	2011/04/18	2011/10/24	25.00
11249	CC Rabobank B.A. - Evergrande European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/24	35.00
11250	CC Rabobank B.A. - Wynn Macau European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/24	25.00
11245	Standard Chartered Bank - A50 China European Warrants Dec 11	100,000,000	2011/04/18	2011/12/21	15.00
11244	Standard Chartered Bank -PetroChina European Warrants Aug11B	100,000,000	2011/04/18	2011/08/15	40.50
11243	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Oct 2011 E	200,000,000	2011/04/18	2011/10/19	50.00
11253	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Oct 2011B	100,000,000	2011/04/18	2011/10/19	40.00
11256	UBS AG - China Tel European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/18	25.00
11269	BOCI Asia Ltd. - Agricultural Bk European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/31	25.00
11270	BOCI Asia Ltd. - China Shenhua European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/24	25.00
11272	BOCI Asia Ltd. - Tracker Fund European Put Warrants Dec 2011	100,000,000	2011/04/19	2011/12/15	25.00
11271	BOCI Asia Ltd. - Yanzhou Coal European Warrants Nov 2011	100,000,000	2011/04/19	2011/11/15	25.00
11266	J P Morgan SP BV - Air China European Warrants Oct 2012	200,000,000	2011/04/19	2012/10/30	30.00
11267	J P Morgan SP BV - Cathay Pacific European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/24	15.00
11285	J P Morgan SP BV - HSBC European Warrants Sep 2011 D	200,000,000	2011/04/19	2011/09/14	46.80
11268	J P Morgan SP BV - PetroChina European Warrants Feb 2013	300,000,000	2011/04/19	2013/02/27	75.00
11275	Macquarie Bank Ltd. - AIA European Put Warrants Nov 2011	250,000,000	2011/04/19	2011/11/02	62.75
11286	Macquarie Bank Ltd. - HSCEI European Warrants Mar 2012	300,000,000	2011/04/19	2012/03/29	75.00
11287	Macquarie Bank Ltd. - HSCEI European Put Warrants Dec 2011	300,000,000	2011/04/19	2011/12/29	75.00
11278	Macquarie Bank Ltd. - Sinopharm European Warrants Nov 2011	200,000,000	2011/04/19	2011/11/02	50.00
11277	Macquarie Bank Ltd. - Shui On Land European Warrants Nov 11	200,000,000	2011/04/19	2011/11/02	50.00
11262	CC Rabobank B.A. - Agricultural Bk European Warrants Oct 11	150,000,000	2011/04/19	2011/10/24	36.00
11263	CC Rabobank B.A. - Ch Ship Cont European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/24	32.00
11264	CC Rabobank B.A. - HKEx European Warrants Mar 2012	200,000,000	2011/04/19	2012/03/19	30.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11265	CC Rabobank B.A. - KECL European Warrants Oct 2012	200,000,000	2011/04/19	2012/10/30	50.00
11281	Standard Chartered Bank - Agricultural Bk European Wts Oct11	100,000,000	2011/04/19	2011/10/28	40.00
11284	Standard Chartered Bank - BOCL European Warrants Dec 2011	100,000,000	2011/04/19	2011/12/01	37.00
11283	Standard Chartered Bank-China Life European Wts Aug 2011 C	100,000,000	2011/04/19	2011/08/01	15.00
11280	Standard Chartered Bank - China Mobile Euro Wts Sep 2011 B	100,000,000	2011/04/19	2011/09/27	25.00
11274	Standard Chartered Bank - China Shenhua Euro Wts Oct 2011	100,000,000	2011/04/19	2011/10/31	36.00
11273	Standard Chartered Bank - HSBC European Put Warrants Dec 11	100,000,000	2011/04/19	2011/12/01	25.00
11279	Standard Chartered Bank - Hutchison Euro Euro Wts Feb 2012	200,000,000	2011/04/19	2012/02/01	50.00
11282	Standard Chartered Bank - ICBC European Warrants Sep 2011 B	100,000,000	2011/04/19	2011/09/30	31.00
11276	UBS AG - HKEx European Warrants Dec 2011 B	300,000,000	2011/04/19	2011/12/05	45.00
11311	Credit Suisse AG - Li & Fung European Warrants Sep 2011	200,000,000	2011/04/20	2011/09/05	30.00
11312	Credit Suisse AG - Tencent Holdings European Wts Jul 2011 D	300,000,000	2011/04/20	2011/07/21	45.00
11322	Citigroup Global Mkt H Inc. - Ch LongYuan European Wts Oct12	80,000,000	2011/04/20	2012/10/03	20.00
11321	Citigroup Global Mkt H Inc. - China Life Euro Wts Sep 2011 B	80,000,000	2011/04/20	2011/09/02	19.20
11323	Citigroup Global Mkt H Inc. - GCL-Poly Energy Euro Wt Oct 11	80,000,000	2011/04/20	2011/10/20	20.00
11324	Citigroup Global Mkt H Inc. - HS Bank European Wts Dec 2012	80,000,000	2011/04/20	2012/12/27	12.00
11329	Citigroup Global Mkt H Inc. - HSI European Warrants Jul 2011	80,000,000	2011/04/20	2011/07/28	12.00
11330	Citigroup Global Mkt H Inc. - HSI European Warrants Sep 2011	80,000,000	2011/04/20	2011/09/29	12.00
11333	Citigroup Global Mkt H Inc. - HSI European Put Wts Jul 2011	80,000,000	2011/04/20	2011/07/28	12.00
11331	Citigroup Global Mkt H Inc. - HSI European Put Wts Aug 2011	80,000,000	2011/04/20	2011/08/30	12.00
11325	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Oct 2011 B	80,000,000	2011/04/20	2011/10/20	20.00
11304	HK Bank - Angang Steel European Warrants Aug 2012	80,000,000	2011/04/20	2012/08/23	20.00
11305	HK Bank - Ch Ship Cont European Warrants Sep 2011	80,000,000	2011/04/20	2011/09/27	12.00
11306	HK Bank - HKEx European Warrants Sep 2011	150,000,000	2011/04/20	2011/09/26	22.50
11313	J P Morgan SP BV - Belle Int'l European Warrants Sep 2011	150,000,000	2011/04/20	2011/09/30	22.50
11314	J P Morgan SP BV - China Life European Warrants Oct 2011 B	300,000,000	2011/04/20	2011/10/20	75.00
11315	J P Morgan SP BV - CNBM European Warrants Oct 2011	200,000,000	2011/04/20	2011/10/31	55.80
11332	J P Morgan SP BV - HSBC European Warrants Mar 2016	300,000,000	2011/04/20	2016/03/30	75.00
11316	J P Morgan SP BV - Li & Fung European Warrants Oct 2011	80,000,000	2011/04/20	2011/10/28	20.00
11317	J P Morgan SP BV - Renhe Comm European Warrants Mar 2012	100,000,000	2011/04/20	2012/03/15	25.00
11318	Macquarie Bank Ltd. - China Unicom European Put Wts May 2012	300,000,000	2011/04/20	2012/05/30	75.00
11326	Macquarie Bank Ltd. - CNBM European Warrants Oct 2011 B	150,000,000	2011/04/20	2011/10/20	37.80
11291	Macquarie Bank Ltd. - CRCC European Warrants Nov 2011	200,000,000	2011/04/20	2011/11/02	50.00
11288	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Dec 2011	200,000,000	2011/04/20	2011/12/29	50.00
11290	Macquarie Bank Ltd. - Huaneng Power European Wts Nov 2011	150,000,000	2011/04/20	2011/11/02	37.50
11289	Macquarie Bank Ltd. -Want Want China European Warrants Nov11	88,000,000	2011/04/20	2011/11/02	22.00
11303	Standard Chartered Bank - CTel European Warrants Oct 2011	100,000,000	2011/04/20	2011/10/31	60.00
11302	Standard Chartered Bank - Sinopec Corp European Wts Aug 11B	100,000,000	2011/04/20	2011/08/31	48.00
11296	Standard Chartered Bank - HSBC European Warrants Oct 2011	100,000,000	2011/04/20	2011/10/24	90.00
11298	Standard Chartered Bank - HSBC European Warrants Jun 2012	100,000,000	2011/04/20	2012/06/01	25.00
11297	Standard Chartered Bank - HSBC European Warrants Nov 2011 B	100,000,000	2011/04/20	2011/11/30	30.00
11299	Standard Chartered Bank - Hutchison Euro Euro Wts Sep 2011	100,000,000	2011/04/20	2011/09/27	60.00
11301	Standard Chartered Bank - Jiangxi Copper Euro Wts Nov 2011	100,000,000	2011/04/20	2011/11/01	25.00
11300	Standard Chartered Bank - MTRC European Warrants Nov 2011	100,000,000	2011/04/20	2011/11/01	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11292	SGA Societe Generale Acceptance N.V. -Agile Ppt Eu Wts Nov11	200,000,000	2011/04/20	2011/11/23	30.00
11293	SGA Societe Generale Acceptance N.V. - BOCm Eur Wt Nov 2012	250,000,000	2011/04/20	2012/11/26	37.50
11294	SGA Societe Generale Acceptance NV-HuanengPower Eu Wt Oct 11	50,000,000	2011/04/20	2011/10/24	12.50
11295	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Sep 2011 B	150,000,000	2011/04/20	2011/09/21	106.50
11319	UBS AG - China Shenhua European Warrants Oct 2011	100,000,000	2011/04/20	2011/10/31	20.00
11320	UBS AG - A50 China European Warrants Jul 2012	200,000,000	2011/04/20	2012/07/25	30.00
11307	UBS AG - HSBC European Warrants Jul 2011 C	200,000,000	2011/04/20	2011/07/25	36.00
11310	UBS AG - HSI European Warrants Oct 2011	300,000,000	2011/04/20	2011/10/28	75.00
11308	UBS AG - Tencent Holdings European Warrants Aug 2011	200,000,000	2011/04/20	2011/08/23	44.00
11309	UBS AG - Tencent Holdings European Warrants Oct 2011 C	200,000,000	2011/04/20	2011/10/03	30.00
27776 #	J P Morgan SP BV - China Mob European Wts Jun 2011 B	200,000,000	2011/04/20	2011/06/29	13.00
23753 #	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Jun 2011 B	200,000,000	2011/04/20	2011/06/23	11.20
26155 #	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jul 2011 B	200,000,000	2011/04/20	2011/07/20	10.40
28604 #	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Aug 2011 B	100,000,000	2011/04/20	2011/08/29	11.60
11342	BOCI Asia Ltd. - HSI European Warrants Jan 2012	100,000,000	2011/04/21	2012/01/30	25.00
11346	BOCI Asia Ltd. - HSI European Put Warrants Jan 2012	100,000,000	2011/04/21	2012/01/30	25.00
11367	Citigroup Global Mkt H Inc. - ChiUnicom Euro Wts Jan 2012	80,000,000	2011/04/21	2012/01/05	20.00
11366	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Dec 2012	80,000,000	2011/04/21	2012/12/20	12.00
11368	Citigroup Global Mkt H Inc. - HSBC European Wts Aug 2011	80,000,000	2011/04/21	2011/08/08	17.60
11364	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Oct 2011	80,000,000	2011/04/21	2011/10/24	28.00
11365	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Dec 2012	80,000,000	2011/04/21	2012/12/19	13.60
11361	Bank of East Asia - China Tel European Warrants Nov 2011	50,000,000	2011/04/21	2011/11/23	12.50
11363	Bank of East Asia - Ch Comm Cons European Warrants Apr 2013	250,000,000	2011/04/21	2013/04/23	62.50
11360	Bank of East Asia - Sinopec Corp European Warrants Nov 2011	45,000,000	2011/04/21	2011/11/23	11.25
11362	Bank of East Asia - Shenhua European Warrants Nov 2011	60,000,000	2011/04/21	2011/11/23	15.00
11340	HK Bank - China Unicom European Warrants May 2012	100,000,000	2011/04/21	2012/05/11	25.00
11341	HK Bank - Lonking European Warrants Dec 2011	80,000,000	2011/04/21	2011/12/20	21.60
11350	J P Morgan SP BV - China Life European Put Warrants Oct 2011	150,000,000	2011/04/21	2011/10/21	37.50
11351	J P Morgan SP BV - Hutchison European Warrants Aug 2011	100,000,000	2011/04/21	2011/08/23	33.50
11354	KBC Fin Products Int'l Ltd. - Cheung Kong Eur Wts Oct 2011	80,000,000	2011/04/21	2011/10/03	44.80
11355	KBC Fin Products Int'l Ltd. - Cheung Kong Eur Wts Nov 2011 B	80,000,000	2011/04/21	2011/11/01	28.80
11356	KBC Fin Products Int'l Ltd. - CR Cement Euro Wts Nov 2011	58,000,000	2011/04/21	2011/11/10	29.58
11357	KBC Fin Products Int'l Ltd.-Henderson Land Dev Eu Wt Sep11B	58,000,000	2011/04/21	2011/09/07	18.56
11353	KBC Fin Products Int'l Ltd. - Huaneng Power Eur Wts Mar 2012	58,000,000	2011/04/21	2012/03/08	14.50
11359	KBC Fin Products Int'l Ltd. -HuanengPower Euro Put Wt Mar 12	58,000,000	2011/04/21	2012/03/08	25.52
11358	KBC Fin Products Int'l Ltd. - New World Euro Put Wt Dec 2011	58,000,000	2011/04/21	2011/12/12	14.50
11344	Macquarie Bank Ltd. - Evergrande European Warrants Oct 2011	150,000,000	2011/04/21	2011/10/21	71.85
11343	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Oct 2011	250,000,000	2011/04/21	2011/10/24	110.25
11337	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Oct 2011	80,000,000	2011/04/21	2011/10/28	20.00
11338	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Nov 2011	80,000,000	2011/04/21	2011/11/29	14.00
11339	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Jul 2012	80,000,000	2011/04/21	2012/07/16	17.92
11334	Merrill Lynch Int'l & Co. C.V. - China Railway Eu Wt Oct 11B	80,000,000	2011/04/21	2011/10/21	22.80
11335	Merrill Lynch Int'l & Co. C.V. - China Railway Eu Wt Nov 11	80,000,000	2011/04/21	2011/11/01	20.40
11336	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eur Wts Oct 2011	80,000,000	2011/04/21	2011/10/21	20.40

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		發行單位	上市日期	到期日	
11345	CC Rabobank B.A. - Belle Int'l European Warrants Jan 2012	100,000,000	2011/04/21	2012/01/30	25.00
11347	CC Rabobank B.A. - Maanshan Iron European Wts Oct 2011	100,000,000	2011/04/21	2011/10/21	25.00
11348	CC Rabobank B.A. - SJM Holdings European Warrants Dec 2011	100,000,000	2011/04/21	2011/12/29	25.00
11349	CC Rabobank B.A. - Yanzhou Coal European Warrants Oct 2011	150,000,000	2011/04/21	2011/10/28	37.50
11370	UBS AG - Agricultural Bank European Warrants Jul 2011 D	200,000,000	2011/04/21	2011/07/26	30.00
11369	UBS AG - HSBC European Warrants Sep 2011 D	300,000,000	2011/04/21	2011/09/19	45.00
11385	BOCI Asia Ltd. - HS Bank European Warrants Oct 2011	100,000,000	2011/04/26	2011/10/04	22.00
11386	BOCI Asia Ltd. - HSBC European Warrants Sep 2011	100,000,000	2011/04/26	2011/09/30	18.00
11387	BOCI Asia Ltd. - Hutchison European Warrants Aug 2011	100,000,000	2011/04/26	2011/08/15	22.00
11394	Citigroup Global Mkt H Inc. - CC Bank European Wts Aug 2011	80,000,000	2011/04/26	2011/08/25	12.00
11395	Citigroup Global Mkt H Inc. - CC Bank European Wts Oct 2011	80,000,000	2011/04/26	2011/10/31	20.00
11397	Citigroup Global Mkt H Inc. - CNOOC European Wts Jan 2012	80,000,000	2011/04/26	2012/01/18	12.00
11393	Citigroup Global Mkt H Inc. - Cathay Pacific Eur Wt Oct 11B	80,000,000	2011/04/26	2011/10/24	12.00
11396	Citigroup Global Mkt H Inc. - China Shenhua Eur Wts Oct 2011	80,000,000	2011/04/26	2011/10/31	20.00
11388	HK Bank - Agricultural Bank European Warrants Oct 2011	120,000,000	2011/04/26	2011/10/07	30.00
11389	HK Bank - China Life European Warrants Oct 2011	150,000,000	2011/04/26	2011/10/26	22.50
11390	HK Bank - China Life European Warrants Dec 2011	150,000,000	2011/04/26	2011/12/20	37.50
11374	J P Morgan SP BV - Evergrande European Warrants Oct 2011	100,000,000	2011/04/26	2011/10/26	54.90
11375	Macquarie Bank Ltd.-Agricultural Bk European Warrants Oct11B	150,000,000	2011/04/26	2011/10/26	37.50
11378	Merrill Lynch Int'l & Co. C.V. - ChiUnicom Euro Wts Dec 2011	80,000,000	2011/04/26	2011/12/01	19.52
11379	Merrill Lynch Int'l & Co. C.V. - ChiUnicom Euro Wts Oct 2012	80,000,000	2011/04/26	2012/10/02	18.48
11376	Merrill Lynch Int'l & Co. C.V. - Ch Comm Cons Eu Wt Nov 11A	80,000,000	2011/04/26	2011/11/21	62.40
11377	Merrill Lynch Int'l & Co. C.V. - Ch Comm Cons Eu Wt Nov 11B	80,000,000	2011/04/26	2011/11/23	39.20
11382	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Sep 2011	80,000,000	2011/04/26	2011/09/27	12.08
11381	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Nov 2011	80,000,000	2011/04/26	2011/11/01	12.08
11383	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Dec 11B	200,000,000	2011/04/26	2011/12/29	30.20
11384	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wts Oct 2011	200,000,000	2011/04/26	2011/10/28	35.40
11380	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Mar 2012	80,000,000	2011/04/26	2012/03/21	12.16
11371	SGA Societe Generale Acceptance N.V. - CPIC Euro Wts Oct 11	100,000,000	2011/04/26	2011/10/26	39.00
11372	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Oct11B	80,000,000	2011/04/26	2011/10/26	57.60
11373	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Oct 11	200,000,000	2011/04/26	2011/10/26	50.00
11391	UBS AG - CC Bank European Warrants Sep 2011 B	200,000,000	2011/04/26	2011/09/26	30.00
11392	UBS AG - HKEx European Warrants Sep 2011	300,000,000	2011/04/26	2011/09/26	45.00
28187 #	Macquarie Bank Ltd. - Sh Petrochem European Wts Jul 2011	200,000,000	2011/04/26	2011/07/13	11.20
11398	Barclays Bank plc - Agricultural Bank European Wts Nov 2011	100,000,000	2011/04/27	2011/11/28	25.00
11400	Barclays Bank plc - CC Bank European Warrants Nov 2011	100,000,000	2011/04/27	2011/11/28	25.00
11401	Barclays Bank plc - CNOOC European Warrants Jul 2012	100,000,000	2011/04/27	2012/07/11	18.10
11399	Barclays Bank plc - China Shenhua European Warrants Nov 2011	100,000,000	2011/04/27	2011/11/21	25.00
11402	Barclays Bank plc - PetroChina European Warrants Dec 2012	100,000,000	2011/04/27	2012/12/28	17.90
11415	Citigroup Global Mkt H Inc. - Agricultural Bk Eu Wt Oct 2011	80,000,000	2011/04/27	2011/10/31	20.00
11413	Citigroup Global Mkt H Inc. - AIA European Warrants Dec 2011	80,000,000	2011/04/27	2011/12/05	20.00
11420	Citigroup Global Mkt H Inc. - BOCL European Wts Oct 2011	80,000,000	2011/04/27	2011/10/10	12.00
11414	Citigroup Global Mkt H Inc. - BYD European Warrants Oct 2011	80,000,000	2011/04/27	2011/10/31	20.00
11419	Citigroup Global Mkt H Inc. - China Coal European Wts Feb 12	80,000,000	2011/04/27	2012/02/23	12.00

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		發行單位	上市日期	到期日	
11417	Citigroup Global Mkt H Inc. - CPIC European Warrants Oct 11	80,000,000	2011/04/27	2011/10/31	20.00
11412	Citigroup Global Mkt H Inc. - China Shenhua Eur Wts Oct 11A	80,000,000	2011/04/27	2011/10/31	20.00
11410	Citigroup Global Mkt H Inc. - HKEx European Wts Nov 2011	80,000,000	2011/04/27	2011/11/02	12.00
11411	Citigroup Global Mkt H Inc. - HKEx European Put Wts Oct 2011	80,000,000	2011/04/27	2011/10/26	12.00
11416	Citigroup Global Mkt H Inc. - ICBC European Wts Oct 2011	80,000,000	2011/04/27	2011/10/31	20.00
11409	Macquarie Bank Ltd. - AIA European Warrants Nov 2011	250,000,000	2011/04/27	2011/11/18	64.00
11408	SGA Societe Generale Acceptance N.V. - AIA Euro Wt Sep 2011	200,000,000	2011/04/27	2011/09/26	30.00
11407	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt May 12	150,000,000	2011/04/27	2012/05/28	37.50
11403	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Oct 11	120,000,000	2011/04/27	2011/10/31	57.60
11404	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Oct11	80,000,000	2011/04/27	2011/10/31	20.80
11405	SGA Societe Generale Acceptance N.V. - HLand Eur Wt Oct 11A	60,000,000	2011/04/27	2011/10/31	15.00
11406	SGA Societe Generale Acceptance NV-Maanshan Iron Eu Wt Oct11	50,000,000	2011/04/27	2011/10/31	15.50
11418	UBS AG - AIA European Warrants Nov 2011	100,000,000	2011/04/27	2011/11/28	15.00
28222 #	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jun 2011 B	200,000,000	2011/04/27	2011/06/27	10.20
28776 #	CC Rabobank B.A. - Tencent Holdings European Put Wts Aug 11	400,000,000	2011/04/27	2011/08/22	36.00
11425	BOCI Asia Ltd. - AIA European Warrants Sep 2011	100,000,000	2011/04/28	2011/09/30	15.00
11424	BOCI Asia Ltd. - Li & Fung European Warrants Oct 2011	100,000,000	2011/04/28	2011/10/31	18.00
11441	Citigroup Global Mkt H Inc. - Jiangxi Copper Euro Wts Oct 11	80,000,000	2011/04/28	2011/10/31	20.00
11440	Citigroup Global Mkt H Inc. - Nine Dragons Euro Wts Jun 2012	80,000,000	2011/04/28	2012/06/26	12.00
11442	Citigroup Global Mkt H Inc. - Ping An Euro Wts Oct 2011	80,000,000	2011/04/28	2011/10/31	32.00
11443	Citigroup Global Mkt H Inc. - Tencent European Put Wts Dec11	80,000,000	2011/04/28	2011/12/30	20.00
11429	HK Bank - AIA European Warrants Feb 2012	150,000,000	2011/04/28	2012/02/22	37.50
11427	HK Bank - China Mobile European Warrants Sep 2011	150,000,000	2011/04/28	2011/09/19	30.00
11428	HK Bank - CC Bank European Warrants Sep 2011	120,000,000	2011/04/28	2011/09/26	30.00
11426	HK Bank - GCL-Poly Energy European Warrants Dec 2011	80,000,000	2011/04/28	2011/12/12	20.00
11431	HK Bank - HSI European Warrants Oct 2011 D	250,000,000	2011/04/28	2011/10/28	62.50
11432	HK Bank - HSI European Warrants Oct 2011 E	250,000,000	2011/04/28	2011/10/28	62.50
11430	HK Bank - HSI European Put Warrants Oct 2011 C	200,000,000	2011/04/28	2011/10/28	50.00
11435	Macquarie Bank Ltd. - Air China European Warrants Apr 2012	150,000,000	2011/04/28	2012/04/03	37.50
11434	Macquarie Bank Ltd. - Avichina European Warrants Nov 2011	150,000,000	2011/04/28	2011/11/03	75.00
11433	Macquarie Bank Ltd. - Sh Petrochem European Wts Oct 2011	150,000,000	2011/04/28	2011/10/27	37.95
11423	CC Rabobank B.A. - Agile Ppt European Warrants Jan 2012	100,000,000	2011/04/28	2012/01/30	25.00
11422	CC Rabobank B.A. - Anta Sports European Warrants Sep 2011	100,000,000	2011/04/28	2011/09/22	15.00
11421	CC Rabobank B.A. - Jiangxi Copper European Warrants Sep 2011	200,000,000	2011/04/28	2011/09/27	30.00
11436	UBS AG - CNOOC European Warrants Oct 2011 C	200,000,000	2011/04/28	2011/10/31	30.00
11439	UBS AG - HSI European Warrants Aug 2011 D	300,000,000	2011/04/28	2011/08/30	45.00
11438	UBS AG - HSI European Put Warrants Aug 2011 C	300,000,000	2011/04/28	2011/08/30	45.00
11437	UBS AG - HSI European Put Warrants Sep 2011 A	300,000,000	2011/04/28	2011/09/29	45.00
27921 #	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Jun 11G	200,000,000	2011/04/28	2011/06/09	10.40
11493	BOCI Asia Ltd. - BYD European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/07	17.00
11491	BOCI Asia Ltd. - Geely Auto European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.00
11492	BOCI Asia Ltd. - Lenovo European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.00
11490	BOCI Asia Ltd. - Tencent Holdings European Warrants Dec 2011	200,000,000	2011/04/29	2011/12/23	36.00
11507	BNP Paribas Arbit Issu B.V. - BYD European Warrants Dec 11A	100,000,000	2011/04/29	2011/12/02	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11508	BNP Paribas Arbit Issu B.V.-CM Bank European Warrants Feb 12	100,000,000	2011/04/29	2012/02/02	25.00
11506	BNP Paribas Arbit Issu B.V. - SJM Holdings Euro Wts Jan 2012	100,000,000	2011/04/29	2012/01/03	25.00
11503	Citigroup Global Mkt H Inc. - BBMG European Warrants Dec 11	80,000,000	2011/04/29	2011/12/19	12.00
11513	Citigroup Global Mkt H Inc. - Comba European Warrants Apr 12	50,000,000	2011/04/29	2012/04/17	12.50
11499	Citigroup Global Mkt H Inc. - Ch Railway Cons Eu Wts Oct 11	50,000,000	2011/04/29	2011/10/31	17.50
11514	Citigroup Global Mkt H Inc. - Chineway Pharm Euro Wts Feb12	50,000,000	2011/04/29	2012/02/06	12.50
11512	Citigroup Global Mkt H Inc. - Ch Zhongwang Euro Wts Feb12	80,000,000	2011/04/29	2012/02/06	24.00
11501	Citigroup Global Mkt H Inc. - Galaxy Ent European Wts Dec11	80,000,000	2011/04/29	2011/12/05	20.00
11511	Citigroup Global Mkt H Inc. - KB Laminates Euro Wts Dec12	80,000,000	2011/04/29	2012/12/24	20.00
11515	Citigroup Global Mkt H Inc. - Kingdee Int'l Euro Wts Apr12	80,000,000	2011/04/29	2012/04/17	24.00
11498	Citigroup Global Mkt H Inc. - Li & Fung European Wts Oct 11	80,000,000	2011/04/29	2011/10/31	20.00
11500	Citigroup Global Mkt H Inc. - Zijin Mining Euro Wts Oct 2011	50,000,000	2011/04/29	2011/10/31	17.50
11455	HK Bank - HSI European Warrants Aug 2011	280,000,000	2011/04/29	2011/08/30	42.00
11485	J P Morgan SP BV - BEA European Warrants Oct 2011	80,000,000	2011/04/29	2011/10/31	20.00
11489	J P Morgan SP BV - Ch Railway Cons European Warrants Dec 13	200,000,000	2011/04/29	2013/12/30	50.00
11488	J P Morgan SP BV - Ch Southern Air European Wts Oct 2011	80,000,000	2011/04/29	2011/10/31	20.00
11487	J P Morgan SP BV - Henderson Land Dev European Wts Oct 2011	80,000,000	2011/04/29	2011/10/31	20.00
11486	J P Morgan SP BV - Ping An European Warrants Oct 2011 A	200,000,000	2011/04/29	2011/10/31	96.00
11504	Macquarie Bank Ltd. - CC Bank European Warrants Nov 2011	200,000,000	2011/04/29	2011/11/02	50.20
11505	Macquarie Bank Ltd. - CC Bank European Put Warrants Nov 2011	200,000,000	2011/04/29	2011/11/02	70.20
11454	Macquarie Bank Ltd. - HSI European Put Warrants Dec 2011	300,000,000	2011/04/29	2011/12/29	75.00
11444	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Sep 2011 A	80,000,000	2011/04/29	2011/09/27	18.40
11445	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Nov 2011	80,000,000	2011/04/29	2011/11/14	25.60
11446	Merrill Lynch Int'l & Co. C.V. - CM Bank Euro Wts Sep 2011	80,000,000	2011/04/29	2011/09/19	12.40
11447	Merrill Lynch Int'l & Co. C.V. - CM Bank Euro Wts Dec 2011	80,000,000	2011/04/29	2011/12/19	12.16
11453	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Nov 2011 A	80,000,000	2011/04/29	2011/11/07	12.08
11448	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Aug 2011 C	80,000,000	2011/04/29	2011/08/16	15.92
11451	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Oct 2011	80,000,000	2011/04/29	2011/10/10	24.40
11449	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Sep 2011 A	80,000,000	2011/04/29	2011/09/19	30.00
11450	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Sep 2011 B	80,000,000	2011/04/29	2011/09/05	15.20
11452	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Mar 12A	80,000,000	2011/04/29	2012/03/19	12.32
11476	Nomura Int'l plc - Alibaba European Warrants Nov 2011	100,000,000	2011/04/29	2011/11/21	15.00
11482	Nomura Int'l plc - BOC HK European Warrants Dec 2011	100,000,000	2011/04/29	2011/12/05	15.60
11469	Nomura Int'l plc - China Telecom European Warrants Oct 2011	80,000,000	2011/04/29	2011/10/31	23.68
11470	Nomura Int'l plc - China Telecom European Warrants Dec 2011	80,000,000	2011/04/29	2011/12/19	20.48
11471	Nomura Int'l plc - China Unicom European Warrants May 2012	200,000,000	2011/04/29	2012/05/25	30.20
11477	Nomura Int'l plc - CC Bank European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	28.80
11478	Nomura Int'l plc - CC Bank European Warrants Aug 2011 A	100,000,000	2011/04/29	2011/08/25	15.60
11483	Nomura Int'l plc - China Life European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.50
11484	Nomura Int'l plc - China Life European Warrants Dec 2011	100,000,000	2011/04/29	2011/12/30	25.00
11474	Nomura Int'l plc - CNOOC European Warrants Jan 2012	100,000,000	2011/04/29	2012/01/31	15.00
11475	Nomura Int'l plc - CNOOC European Warrants Jun 2012	100,000,000	2011/04/29	2012/06/11	17.00
11480	Nomura Int'l plc - China Shenhua European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/24	15.60
11479	Nomura Int'l plc - Dongfang Elec European Warrants Sep 2011	80,000,000	2011/04/29	2011/09/26	12.24

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		發行單位	上市日期	到期日	
11468	Nomura Int'l plc - HKEx European Warrants Nov 2011 A	100,000,000	2011/04/29	2011/11/30	15.00
11467	Nomura Int'l plc - JIANC European Warrants Nov 2011	100,000,000	2011/04/29	2011/11/30	25.00
11472	Nomura Int'l plc - PetroChina European Warrants Dec 2012	100,000,000	2011/04/29	2012/12/31	15.50
11473	Nomura Int'l plc - PetroChina European Warrants Feb 2013	100,000,000	2011/04/29	2013/02/28	15.00
11465	Nomura Int'l plc - SHK P European Warrants Dec 2012	100,000,000	2011/04/29	2012/12/03	17.50
11466	Nomura Int'l plc - SHK P European Warrants Dec 2012 A	100,000,000	2011/04/29	2012/12/21	15.00
11481	Nomura Int'l plc - Yanzhou Coal European Warrants Aug 2011	100,000,000	2011/04/29	2011/08/25	15.10
11464	CC Rabobank B.A. - AIA European Warrants Nov 2011	200,000,000	2011/04/29	2011/11/28	30.00
11495	CC Rabobank B.A. - BOCL European Warrants Dec 2011	200,000,000	2011/04/29	2011/12/12	30.00
11497	CC Rabobank B.A. - CC Bank European Warrants Oct 2011	200,000,000	2011/04/29	2011/10/31	50.00
11456	CC Rabobank B.A. - Minsheng Bank European Wts Sep 2011	150,000,000	2011/04/29	2011/09/30	36.00
11461	CC Rabobank B.A. - Ch Southern Air European Warrants Oct 11	100,000,000	2011/04/29	2011/10/31	40.00
11457	CC Rabobank B.A. - Dongfeng Motor European Warrants Oct 2011	150,000,000	2011/04/29	2011/10/31	22.50
11496	CC Rabobank B.A. - Galaxy Ent European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.00
11462	CC Rabobank B.A. - Gome European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/04	20.00
11463	CC Rabobank B.A. - Greatwall Motor European Wts Nov 2011	120,000,000	2011/04/29	2011/11/29	30.00
11494	CC Rabobank B.A. - ICBC European Warrants Oct 2011	200,000,000	2011/04/29	2011/10/10	40.00
11458	CC Rabobank B.A. - PetroChina European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/17	55.00
11459	CC Rabobank B.A. - Ping An European Warrants Nov 2011	100,000,000	2011/04/29	2011/11/14	45.00
11502	CC Rabobank B.A. - Westchinacement European Warrants Dec 11	80,000,000	2011/04/29	2011/12/19	32.00
11460	CC Rabobank B.A. - ZTE European Warrants Oct 2011	120,000,000	2011/04/29	2011/10/31	30.00
11510	RB of Scotland N.V. - BYD European Warrants Sep 2011 A	100,000,000	2011/04/29	2011/09/05	15.10
11509	RB of Scotland N.V. - China Mobile European Wts Oct 2011 C	200,000,000	2011/04/29	2011/10/21	30.20
10104	Goldman Sachs SP (Asia) - N225 Index Euro Wts Sep 2011 B	100,000,000	2011/04/29	2011/09/09	3.40
10105	Goldman Sachs SP (Asia) - N225 Index Euro Wts Dec 2011 B	100,000,000	2011/04/29	2011/12/09	3.20
10106	Goldman Sachs SP (Asia) - N225 Index Euro Put Wts Sep 2011 A	100,000,000	2011/04/29	2011/09/09	21.60
10107	Goldman Sachs SP (Asia) - N225 Index Euro Put Wts Dec 2011 A	100,000,000	2011/04/29	2011/12/09	18.00
27300 #	Merrill Lynch Int'l & Co. C.V.-HSI European Warrants Jun 11A	300,000,000	2011/04/29	2011/06/29	14.40
11522	BNP Paribas Arbit Issu B.V. - China Unicom Euro Wt Oct12A	100,000,000	2011/05/03	2012/10/03	25.00
11520	BNP Paribas Arbit Issu B.V. - Evergrande European Wts Dec 11	100,000,000	2011/05/03	2011/12/02	37.00
11530	BNP Paribas Arbit Issu B.V. - ICBC European Wts Dec 2011 A	100,000,000	2011/05/03	2011/12/02	25.00
11523	BNP Paribas Arbit Issu B.V. - Tsingtao Brew Euro Wts Dec11	29,000,000	2011/05/03	2011/12/02	10.15
11521	BNP Paribas Arbit Issu B.V. - Xinyi Glass European Wts Jul12	100,000,000	2011/05/03	2012/07/03	25.00
11526	Citigroup Global Mkt H Inc. - AAC Acoustic European Wt Feb12	80,000,000	2011/05/03	2012/02/06	20.00
11528	Citigroup Global Mkt H Inc. - ChiUnicom Euro Wts May 2012	80,000,000	2011/05/03	2012/05/04	16.00
11527	Citigroup Global Mkt H Inc.-Tencent European Wts Sep11A	80,000,000	2011/05/03	2011/09/26	12.00
11519	J P Morgan SP BV - Angang Steel European Warrants May 2012	200,000,000	2011/05/03	2012/05/28	30.00
11518	J P Morgan SP BV - BOC HK European Warrants Nov 2011	200,000,000	2011/05/03	2011/11/11	30.20
11517	J P Morgan SP BV - China Unicom European Warrants Dec 2011	200,000,000	2011/05/03	2011/12/29	50.00
11524	J P Morgan SP BV - China Coal European Warrants Feb 2012	200,000,000	2011/05/03	2012/02/23	30.00
11529	J P Morgan SP BV - Tencent European Put Warrants Sep 2011 A	200,000,000	2011/05/03	2011/09/12	44.60
11516	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep 11C	200,000,000	2011/05/03	2011/09/07	34.00
11525	UBS AG - CPIC European Warrants Nov 2011	200,000,000	2011/05/03	2011/11/08	50.00
28984 #	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Jul 2011	500,000,000	2011/05/03	2011/07/04	10.00

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		發行單位	上市日期	到期日	
23354 #	UBS AG - A50 China European Warrants Aug 2011 A	300,000,000	2011/05/03	2011/08/29	19.50
11556	BNP Paribas Arbit Issu B.V. - Brilliance Chi Euro Wt Apr12	100,000,000	2011/05/04	2012/04/03	25.00
11554	BNP Paribas Arbit Issu B.V. - China Liansu European Wt Oct12	100,000,000	2011/05/04	2012/10/04	25.00
11557	BNP Paribas Arbit Issu B.V. - CQRC Bank European Wts Sep13	100,000,000	2011/05/04	2013/09/03	25.00
11555	BNP Paribas Arbit Issu B.V. - Zoomlion European Wts Dec11	100,000,000	2011/05/04	2011/12/02	25.00
10108	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Dec 11C	200,000,000	2011/05/04	2011/12/06	50.00
10110	BNP Paribas Arbit Issu B.V. - Oil Futures European Wt Nov11D	200,000,000	2011/05/04	2011/11/16	50.00
10109	BNP Paribas Arbit Issu B.V. - Oil Futures European Wt May12C	200,000,000	2011/05/04	2012/05/17	50.00
11553	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Oct 11C	168,000,000	2011/05/04	2011/10/12	28.56
11549	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Nov11	108,000,000	2011/05/04	2011/11/04	27.00
11550	KBC Fin Products Int'l Ltd. - AIA European Warrants Dec 11A	198,000,000	2011/05/04	2011/12/01	29.70
11547	Macquarie Bank Ltd. - SJM Holdings European Warrants Jan 12	200,000,000	2011/05/04	2012/01/03	50.60
11546	Macquarie Bank Ltd. - Wynn Macau European Warrants Nov 2011	200,000,000	2011/05/04	2011/11/04	52.20
11534	Merrill Lynch Int'l & Co. C.V. - Ag Bk European Wts Aug 2011	80,000,000	2011/05/04	2011/08/09	12.08
11535	Merrill Lynch Int'l & Co. C.V. - Ag Bk European Wts Oct 11A	80,000,000	2011/05/04	2011/10/10	18.96
11536	Merrill Lynch Int'l & Co. C.V. - Ag Bk Euro Put Wts Sep 11A	80,000,000	2011/05/04	2011/09/19	12.40
11537	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Sep11	80,000,000	2011/05/04	2011/09/22	13.28
11538	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Nov11	80,000,000	2011/05/04	2011/11/28	12.00
11532	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Jul12	80,000,000	2011/05/04	2012/07/23	20.00
11533	Merrill Lynch Int'l & Co. C.V. - AIA European Put Wts Nov11	80,000,000	2011/05/04	2011/11/28	20.00
11539	Merrill Lynch Int'l & Co. C.V. - CM Bank Euro Put Wts Nov11	80,000,000	2011/05/04	2011/11/07	20.00
11543	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Nov 2011	200,000,000	2011/05/04	2011/11/07	90.00
11544	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Nov 11A	200,000,000	2011/05/04	2011/11/07	50.00
11545	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Nov 11B	200,000,000	2011/05/04	2011/11/28	30.00
11541	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Aug 2012	500,000,000	2011/05/04	2012/08/06	100.00
11540	SGA Societe Generale Acceptance N.V. - JIANC Eu Wts Nov 2011	200,000,000	2011/05/04	2011/11/07	50.00
11548	SGA Societe Generale Acceptance N.V. - KECL Euro Wts Feb 12	200,000,000	2011/05/04	2012/02/29	50.00
11542	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Dec 2012	500,000,000	2011/05/04	2012/12/19	85.00
11552	UBS AG - AIA European Warrants Sep 2011 A	200,000,000	2011/05/04	2011/09/30	30.00
11551	UBS AG - China Life European Warrants Sep 2011 C	300,000,000	2011/05/04	2011/09/19	45.00
10112	BNP Paribas Arbit Issu B.V. -EUR/USD European Warrants Dec11	200,000,000	2011/05/05	2011/12/12	50.00
10111	BNP Paribas Arbit Issu B.V. - EUR/USD European Put Wts Dec11	200,000,000	2011/05/05	2011/12/12	50.00
11567	BNP Paribas Arbit Issu B.V. - HSI European Wts Dec 2013	200,000,000	2011/05/05	2013/12/30	50.00
11565	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts May 2013	200,000,000	2011/05/05	2013/05/30	52.00
11569	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2011 D	200,000,000	2011/05/05	2011/09/29	30.00
11568	BNP Paribas Arbit Issu B.V. - HSI European Wts Oct 2011 A	200,000,000	2011/05/05	2011/10/28	44.00
11566	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2011 C	200,000,000	2011/05/05	2011/09/29	30.00
10114	BNP Paribas Arbit Issu B.V. -USD/YEN European Warrants Dec11	200,000,000	2011/05/05	2011/12/12	50.00
10113	BNP Paribas Arbit Issu B.V. - USD/YEN European Put Wts Dec11	200,000,000	2011/05/05	2011/12/12	50.00
11561	Macquarie Bank Ltd. - CQRC Bank European Warrants Nov 2011	200,000,000	2011/05/05	2011/11/07	51.60
11560	Macquarie Bank Ltd. - Zoomlion European Warrants Nov 2011	200,000,000	2011/05/05	2011/11/07	50.40
11559	Macquarie Bank Ltd. - Hutchison European Put Wts Nov 2011	200,000,000	2011/05/05	2011/11/07	66.40
11558	Macquarie Bank Ltd. - China Mengniu European Wts Dec 2011	200,000,000	2011/05/05	2011/12/02	50.00
11562	UBS AG - AIA European Warrants Nov 2011 A	200,000,000	2011/05/05	2011/11/08	50.00

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		發行單位	上市日期	到期日	
11564	UBS AG - China Life European Warrants Sep 2011 D	300,000,000	2011/05/05	2011/09/27	51.00
11563	UBS AG - Tencent Holdings European Put Warrants Sep 2011	100,000,000	2011/05/05	2011/09/27	15.00
11604	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2011 A	100,000,000	2011/05/06	2011/10/04	18.00
11603	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Dec 2012	100,000,000	2011/05/06	2012/12/04	26.00
11602	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Nov 2011 C	100,000,000	2011/05/06	2011/11/02	15.00
11601	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jan 2012 A	100,000,000	2011/05/06	2012/01/03	18.00
11597	BNP Paribas Arbit Issu B.V. - CRCC European Wts Dec 2013	100,000,000	2011/05/06	2013/12/03	25.00
11600	Macquarie Bank Ltd. - China Coal European Warrants Feb 2012	300,000,000	2011/05/06	2012/02/28	45.00
11586	Macquarie Bank Ltd. - CLP European Warrants Feb 2012	200,000,000	2011/05/06	2012/02/02	51.20
11587	Macquarie Bank Ltd. - Shanshui Cement European Wts Jun 2012	200,000,000	2011/05/06	2012/06/27	50.00
11588	Macquarie Bank Ltd. - Jiangxi Copper European Put Wts Dec 11	300,000,000	2011/05/06	2011/12/29	75.00
11585	Macquarie Bank Ltd. - Sands China European Warrants Dec 2011	200,000,000	2011/05/06	2011/12/02	50.00
11584	Macquarie Bank Ltd. - Goldwind European Warrants Dec 2011	200,000,000	2011/05/06	2011/12/02	50.40
11589	Merrill Lynch Int'l & Co. C.V. - CHALCO Euro Wts Nov 2011	80,000,000	2011/05/06	2011/11/21	34.40
11590	Merrill Lynch Int'l & Co. C.V. - CHALCO Euro Wts Nov 2012	80,000,000	2011/05/06	2012/11/26	12.08
11592	Merrill Lynch Int'l & Co. C.V. - China Coal Eur Wts Feb 2012	80,000,000	2011/05/06	2012/02/23	12.48
11575	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Sep 2011 C	80,000,000	2011/05/06	2011/09/29	12.00
11574	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Sep 2011 D	80,000,000	2011/05/06	2011/09/30	12.00
11573	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Oct 2011 C	80,000,000	2011/05/06	2011/10/17	12.08
11572	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Put Wts Sep11C	80,000,000	2011/05/06	2011/09/26	17.92
11571	Merrill Lynch Int'l & Co. C.V. - Yanzhou Coal Eu Wt Sep 2011	80,000,000	2011/05/06	2011/09/26	29.60
11570	Merrill Lynch Int'l & Co. C.V. - Yanzhou Coal Eu Wt Oct 2011	80,000,000	2011/05/06	2011/10/10	13.92
11591	Merrill Lynch Int'l & Co. C.V. - Zijin Mining Eu Wt Nov 2011	80,000,000	2011/05/06	2011/11/07	39.60
11593	Standard Chartered Bank - Zoomlion European Warrants Feb 12	60,000,000	2011/05/06	2012/02/03	15.60
11594	Standard Chartered Bank - SPDR Gold Trust Euro Wts Dec11	50,000,000	2011/05/06	2011/12/30	34.00
11581	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Aug 2011	80,000,000	2011/05/06	2011/08/22	18.40
11580	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Oct 2011	80,000,000	2011/05/06	2011/10/24	24.80
11579	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Dec 11	200,000,000	2011/05/06	2011/12/28	36.00
11578	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts May 12	200,000,000	2011/05/06	2012/05/28	30.00
11595	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Nov 2011A	300,000,000	2011/05/06	2011/11/23	75.00
11596	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 11A	300,000,000	2011/05/06	2011/11/07	45.00
11576	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Nov 2011	300,000,000	2011/05/06	2011/11/16	81.00
11577	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Nov 2011 A	300,000,000	2011/05/06	2011/11/07	108.00
11583	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Nov 11	300,000,000	2011/05/06	2011/11/29	81.00
11582	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2011 A	300,000,000	2011/05/06	2011/12/29	45.00
11599	UBS AG - China Life European Warrants Sep 2011 E	300,000,000	2011/05/06	2011/09/19	78.00
11598	UBS AG - Hutchison European Warrants Nov 2011	300,000,000	2011/05/06	2011/11/08	90.00
11608	Barclays Bank plc - HSI European Warrants Dec 2011	150,000,000	2011/05/09	2011/12/29	37.65
11613	BNP Paribas Arbit Issu B.V.-Dongfeng Motor European Wt Aug12	100,000,000	2011/05/09	2012/08/02	25.00
11612	BNP Paribas Arbit Issu B.V. - Nine Dragons European Wt Apr13	100,000,000	2011/05/09	2013/04/02	25.00
11611	KBC Fin Products Int'l Ltd. - Angang Steel Euro Put Wt Jan12	80,000,000	2011/05/09	2012/01/18	20.00
11605	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Sep 2011	80,000,000	2011/05/09	2011/09/07	12.08
11606	Merrill Lynch Int'l & Co. C.V. - SPA European Wts Nov 2011	80,000,000	2011/05/09	2011/11/28	26.40
11607	Merrill Lynch Int'l & Co. C.V. - SPA European Wts Mar 2012	80,000,000	2011/05/09	2012/03/21	12.08

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11610	Standard Chartered Bank - China Coal European Warrants Dec11	100,000,000	2011/05/09	2011/12/30	17.80
11614	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Mar 2012	400,000,000	2011/05/09	2012/03/21	60.00
11609	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2013	400,000,000	2011/05/09	2013/07/29	72.00
11615	UBS AG - A50 China European Warrants Jan 2012	300,000,000	2011/05/09	2012/01/18	45.00
11616	UBS AG - Hutchison European Warrants Jul 2013	500,000,000	2011/05/09	2013/07/29	75.00
28604 #	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Aug 2011 B	200,000,000	2011/05/09	2011/08/29	14.20
11620	HK Bank - Tencent European Warrants Sep 2011	250,000,000	2011/05/11	2011/09/30	37.50
11619	HK Bank - Tencent European Put Warrants Sep 2011	180,000,000	2011/05/11	2011/09/16	32.40
11621	Standard Chartered Bank - Anhui Conch European Wts Nov 2011	100,000,000	2011/05/11	2011/11/28	60.00
11622	Standard Chartered Bank - Anhui Conch European Wts Dec 2011	100,000,000	2011/05/11	2011/12/30	52.00
11623	Standard Chartered Bank - Evergrande European Wts Dec 2011	40,000,000	2011/05/11	2011/12/30	28.00
11624	UBS AG - A50 China European Warrants Nov 2011 A	300,000,000	2011/05/11	2011/11/04	45.00
11040 #	Credit Suisse AG - HSI European Warrants Jul 2011 C	600,000,000	2011/05/11	2011/07/28	34.80
11638	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Mar 2012	100,000,000	2011/05/12	2012/03/02	25.00
11640	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jun 2012	100,000,000	2011/05/12	2012/06/04	25.00
11639	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Dec 2011 A	100,000,000	2011/05/12	2011/12/02	25.00
11657	KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2011	128,000,000	2011/05/12	2011/10/10	19.20
11658	KBC Fin Products Int'l Ltd. - HSBC European Wts Nov 2011	128,000,000	2011/05/12	2011/11/15	46.08
11653	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Dec 2011	100,000,000	2011/05/12	2011/12/29	17.00
11650	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Mar 2012	100,000,000	2011/05/12	2012/03/29	25.00
11651	KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Dec 2011	100,000,000	2011/05/12	2011/12/29	27.00
11652	KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Dec 2011 A	100,000,000	2011/05/12	2011/12/29	20.00
11648	KBC Fin Products Int'l Ltd. - HSI European Wts Dec 2011	200,000,000	2011/05/12	2011/12/29	50.00
11659	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Dec 2011	200,000,000	2011/05/12	2011/12/29	62.00
11649	KBC Fin Products Int'l Ltd. - HSI European Wts Dec 2011 A	200,000,000	2011/05/12	2011/12/29	50.00
11654	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Nov 2011	128,000,000	2011/05/12	2011/11/15	32.00
11655	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Nov 2011 A	128,000,000	2011/05/12	2011/11/15	43.52
11656	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Nov 2011 B	128,000,000	2011/05/12	2011/11/15	65.28
11627	Nomura Int'l plc - Angang Steel European Warrants May 2012	100,000,000	2011/05/12	2012/05/28	15.00
11634	Nomura Int'l plc - BJ Ent Water European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.00
11631	Nomura Int'l plc - BYD European Warrants Oct 2011	100,000,000	2011/05/12	2011/10/31	18.00
11628	Nomura Int'l plc - China Mobile European Warrants Sep 2011	128,000,000	2011/05/12	2011/09/14	19.33
11632	Nomura Int'l plc - China Coal European Warrants Feb 2012	150,000,000	2011/05/12	2012/02/24	22.65
11633	Nomura Int'l plc - Shineway Pharm European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.20
11630	Nomura Int'l plc - Zoomlion European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.00
11625	Nomura Int'l plc - Hutchison European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/30	44.00
11629	Nomura Int'l plc - Lenovo European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.00
11626	Nomura Int'l plc - Sh Petrochem European Warrants Sep 2011	100,000,000	2011/05/12	2011/09/29	15.10
11644	CC Rabobank B.A. - CC Bank European Warrants Sep 2011	100,000,000	2011/05/12	2011/09/12	15.10
11645	CC Rabobank B.A. - CC Bank European Warrants Oct 2011	100,000,000	2011/05/12	2011/10/31	15.10
11646	RB of Scotland N.V. - China Life European Wts Oct 2011	200,000,000	2011/05/12	2011/10/04	30.20
11647	RB of Scotland N.V. - China Life European Wts Oct 2011 A	200,000,000	2011/05/12	2011/10/31	30.20
11635	Standard Chartered Bank - China Life Euro Put Wts Aug 2011	100,000,000	2011/05/12	2011/08/29	15.60
11636	Standard Chartered Bank - China Life Euro Put Wts Feb 2012	100,000,000	2011/05/12	2012/02/28	25.00

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		發行單位	上市日期	到期日	
11637	Standard Chartered Bank - CNBM European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/30	25.00
11641	UBS AG - China Life European Warrants Oct 2011 A	300,000,000	2011/05/12	2011/10/24	45.00
11643	UBS AG - China Mobile European Warrants Oct 2011 A	200,000,000	2011/05/12	2011/10/10	30.00
11642	UBS AG - ICBC European Warrants Oct 2011 A	100,000,000	2011/05/12	2011/10/03	15.00
11669	HK Bank - Sinopec Corp European Warrants Sep 2011	100,000,000	2011/05/13	2011/09/28	17.00
11670	HK Bank - Henderson Land Dev European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	25.00
11673	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Nov 2011	128,000,000	2011/05/13	2011/11/15	32.00
11682	KBC Fin Products Int'l Ltd. - Ch Overseas Euro Wts Apr 2012	108,000,000	2011/05/13	2012/04/02	16.20
11681	KBC Fin Products Int'l Ltd. - China Overseas Eu Put Wt Nov11	108,000,000	2011/05/13	2011/11/15	16.20
11680	KBC Fin Products Int'l Ltd. - China Unicom Euro Wts Dec 2011	80,000,000	2011/05/13	2011/12/01	20.00
11678	KBC Fin Products Int'l Ltd. - Minsheng Bank Euro Wt Nov 2011	58,000,000	2011/05/13	2011/11/15	27.26
11677	KBC Fin Products Int'l Ltd. - Minsheng Bank Eu Put Wts Nov11	58,000,000	2011/05/13	2011/11/15	22.04
11674	KBC Fin Products Int'l Ltd. - CNOOC European Wts Dec 2011	80,000,000	2011/05/13	2011/12/21	20.00
11679	KBC Fin Products Int'l Ltd. - China Unicom Eur Put Wt Dec11A	80,000,000	2011/05/13	2011/12/01	20.00
11676	KBC Fin Products Int'l Ltd. - R&F Ppt European Wts Feb 2012	68,000,000	2011/05/13	2012/02/01	10.20
11675	KBC Fin Products Int'l Ltd. - HKEx European Warrants Dec 11	198,000,000	2011/05/13	2011/12/15	49.50
11668	Macquarie Bank Ltd. - CNOOC European Put Warrants Dec 2011	300,000,000	2011/05/13	2011/12/29	75.00
11672	Macquarie Bank Ltd. - A50 China European Put Wts May 2012	200,000,000	2011/05/13	2012/05/22	35.20
11671	Macquarie Bank Ltd. - Nine Dragons European Wts Jun 2012	200,000,000	2011/05/13	2012/06/26	30.00
11667	CC Rabobank B.A. - China COSCO European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	70.00
11666	CC Rabobank B.A. - Zoomlion European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	25.00
11663	CC Rabobank B.A. - Li & Fung European Warrants Oct 2011 C	100,000,000	2011/05/13	2011/10/03	25.00
11664	CC Rabobank B.A. - Realgold Mining European Warrants Mar 12	100,000,000	2011/05/13	2012/03/26	25.00
11665	CC Rabobank B.A. - Winsway European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	50.00
11662	Standard Chartered Bank - Agricultural Bk European Wt Oct11A	100,000,000	2011/05/13	2011/10/10	15.40
11660	Standard Chartered Bank - CTel European Warrants Dec 2011	100,000,000	2011/05/13	2011/12/01	25.00
11661	Standard Chartered Bank - CC Bank European Warrants Sep 11A	100,000,000	2011/05/13	2011/09/19	33.00
11686	HK Bank -BYD European Warrants Nov 2011 A	120,000,000	2011/05/16	2011/11/16	30.00
11685	HK Bank - Minsheng Bank European Warrants Sep 2011	100,000,000	2011/05/16	2011/09/30	20.00
11688	HK Bank - Chi Taiping European Warrants Dec 2011	100,000,000	2011/05/16	2011/12/01	25.00
11687	HK Bank - Sinofer European Warrants Dec 2011	80,000,000	2011/05/16	2011/12/01	20.00
11692	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Dec11	108,000,000	2011/05/16	2011/12/01	27.00
11683	Macquarie Bank Ltd. - China Railway European Wts Dec 2011	100,000,000	2011/05/16	2011/12/02	25.00
11690	RB of Scotland N.V. - HSCEI European Put Warrants Dec 2011	200,000,000	2011/05/16	2011/12/29	30.20
11689	RB of Scotland N.V. - HWL European Warrants Sep 2011	200,000,000	2011/05/16	2011/09/19	30.20
11684	Standard Chartered Bank - Sinopec Corp European Wts Nov 2011	100,000,000	2011/05/16	2011/11/21	29.00
11694	UBS AG - Cheung Kong European Warrants Dec 2012	200,000,000	2011/05/16	2012/12/10	30.00
11693	UBS AG - HSI European Warrants Sep 2011	300,000,000	2011/05/16	2011/09/29	45.00
11691	UBS AG - Jiangxi Copper European Warrants Sep 2011 A	200,000,000	2011/05/16	2011/09/29	30.00
11695	UBS AG - Yanzhou Coal European Wts Oct 2011	200,000,000	2011/05/16	2011/10/21	30.00
11713	Macquarie Bank Ltd. - AIA European Warrants Dec 2011	250,000,000	2011/05/17	2011/12/02	38.25
11712	Macquarie Bank Ltd. - AIA European Put Warrants Feb 2012	150,000,000	2011/05/17	2012/02/02	37.50
11706	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Oct 2011 A	250,000,000	2011/05/17	2011/10/10	57.50
11701	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Nov 2011	200,000,000	2011/05/17	2011/11/21	50.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11705	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2011 C	200,000,000	2011/05/17	2011/09/26	64.00
11710	SGA Societe Generale Acceptance N.V.-Chi COSCO Euro Wt Jan 13	200,000,000	2011/05/17	2013/01/02	30.00
11702	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Nov 11A	200,000,000	2011/05/17	2011/11/21	66.00
11709	SGA Societe Generale Acceptance N.V. - CNBM Euro Wt Nov 2011	150,000,000	2011/05/17	2011/11/30	37.50
11699	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Dec 2011A	500,000,000	2011/05/17	2011/12/28	75.00
11700	SGA Societe Generale Acceptance N.V. - CP&CC Eur Wt Nov 11C	100,000,000	2011/05/17	2011/11/21	43.00
11703	SGA Societe Generale Acceptance N.V. - Zoomlion Eu Wt Nov11	200,000,000	2011/05/17	2011/11/21	50.00
11708	SGA Societe Generale Acceptance N.V. - Esprit Euro Wts Nov11	80,000,000	2011/05/17	2011/11/21	20.00
11707	SGA Societe Generale Acceptance N.V. - R&F Ppt Eu Wts Feb 12	200,000,000	2011/05/17	2012/02/01	30.00
11711	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Nov 11	100,000,000	2011/05/17	2011/11/30	49.00
11697	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Apr 2012	500,000,000	2011/05/17	2012/04/11	125.00
11698	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Dec 2011 C	500,000,000	2011/05/17	2011/12/19	125.00
11696	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Nov 2011	150,000,000	2011/05/17	2011/11/07	90.00
11704	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2011 C	200,000,000	2011/05/17	2011/08/22	62.00
28670 #	CC Rabobank B.A. - Galaxy Ent European Warrants Aug 2011	100,000,000	2011/05/17	2011/08/15	17.70
11729	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jan 2012	100,000,000	2011/05/18	2012/01/04	55.00
11728	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Feb 2012	100,000,000	2011/05/18	2012/02/02	28.00
11735	BNP Paribas Arbit Issu B.V. - Sinopec Corp Euro Wts Nov 2011	100,000,000	2011/05/18	2011/11/02	22.00
11736	BNP Paribas Arbit Issu B.V. - Esprit European Wts Jan 2012	100,000,000	2011/05/18	2012/01/03	26.00
11726	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Feb 2012	100,000,000	2011/05/18	2012/02/02	68.00
11727	BNP Paribas Arbit Issu B.V. - Tencent Holdings Eu Wts Mar 12	100,000,000	2011/05/18	2012/03/02	25.00
11747	Credit Suisse AG - Agricultural Bank European Wts Sep 2011	300,000,000	2011/05/18	2011/09/26	60.00
11748	Credit Suisse AG - Agricultural Bank European Wts Oct 2011	300,000,000	2011/05/18	2011/10/17	45.00
11749	Credit Suisse AG - AIA European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/26	45.00
11741	Credit Suisse AG - ChiUnicom European Warrants Dec 2011	200,000,000	2011/05/18	2011/12/20	40.00
11745	Credit Suisse AG - CC Bank European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/05	45.00
11744	Credit Suisse AG - CC Bank European Warrants Aug 2011 A	300,000,000	2011/05/18	2011/08/29	45.00
11751	Credit Suisse AG - China Life European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/30	45.00
11742	Credit Suisse AG - CNOOC European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/26	45.00
11743	Credit Suisse AG - CNOOC European Warrants Oct 2011 A	300,000,000	2011/05/18	2011/10/24	45.00
11746	Credit Suisse AG - Zoomlion European Warrants Nov 2011	200,000,000	2011/05/18	2011/11/21	50.00
11738	Credit Suisse AG - HSBC European Wts Sep 2011 A	300,000,000	2011/05/18	2011/09/19	45.00
11737	Credit Suisse AG - HSI European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/29	45.00
11739	Credit Suisse AG - Hutchison European Warrants Oct 2011	300,000,000	2011/05/18	2011/10/03	75.00
11740	Credit Suisse AG - Jiangxi Copper European Wts Sep 2011	200,000,000	2011/05/18	2011/09/12	30.00
11750	Credit Suisse AG - Ping An European Warrants Nov 2011 C	300,000,000	2011/05/18	2011/11/21	75.00
11724	HK Bank - China Life European Warrants Sep 2011	200,000,000	2011/05/18	2011/09/21	30.00
11725	HK Bank - China Life European Warrants Nov 2011	200,000,000	2011/05/18	2011/11/28	30.00
11730	Macquarie Bank Ltd. - Zoomlion European Warrants Dec 2011	200,000,000	2011/05/18	2011/12/29	50.00
11714	Merrill Lynch Int'l & Co. C.V. - BankComm Euro Wts Feb 2012	80,000,000	2011/05/18	2012/02/20	33.20
11715	Merrill Lynch Int'l & Co. C.V. - MTRC European Wts Nov 2011	80,000,000	2011/05/18	2011/11/08	14.56
11718	Merrill Lynch Int'l & Co. C.V. - MTRC European Wts Oct 2012	80,000,000	2011/05/18	2012/10/22	20.40
11716	Merrill Lynch Int'l & Co. C.V. - Wharf European Wts Oct11	80,000,000	2011/05/18	2011/10/17	21.60
11717	Merrill Lynch Int'l & Co. C.V. - Wharf European Wts Dec11	80,000,000	2011/05/18	2011/12/19	20.40

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		發行單位	上市日期	到期日	
11720	CC Rabobank B.A. - AIA European Put Warrants Nov 2011	200,000,000	2011/05/18	2011/11/21	40.00
11721	CC Rabobank B.A. - Datang European Warrants Nov 2011	100,000,000	2011/05/18	2011/11/21	25.00
11719	CC Rabobank B.A. - Esprit European Warrants Dec 2011	100,000,000	2011/05/18	2011/12/29	25.00
11722	CC Rabobank B.A. - Huaneng Power European Warrants Mar 2012	100,000,000	2011/05/18	2012/03/01	40.00
11723	CC Rabobank B.A. - Tencent Holdings European Put Wts Nov 11A	200,000,000	2011/05/18	2011/11/07	30.00
11731	RB of Scotland N.V. - AIA European Warrants Sep 2011	200,000,000	2011/05/18	2011/09/30	30.20
11732	RB of Scotland N.V. - AIA European Warrants Nov 2011	200,000,000	2011/05/18	2011/11/28	30.20
11734	RB of Scotland N.V. - CNOOC European Warrants Oct 2011 A	200,000,000	2011/05/18	2011/10/21	30.20
11733	RB of Scotland N.V. - Sinopec Corp European Wts Nov 2011	100,000,000	2011/05/18	2011/11/18	25.10
11789	BNP Paribas Arbit Issu B.V. - Ch Railway Euro Wts Feb 2012	40,000,000	2011/05/19	2012/02/02	18.00
11790	BNP Paribas Arbit Issu B.V. - Yanzhou Coal Eur Wt Feb 2012	100,000,000	2011/05/19	2012/02/02	35.00
11788	Credit Suisse AG - BOCL European Warrants Oct 2011	200,000,000	2011/05/19	2011/10/10	30.00
11786	Credit Suisse AG - GCL-Poly Energy European Warrants Oct 11	200,000,000	2011/05/19	2011/10/04	60.00
11787	Credit Suisse AG - GCL-Poly Energy European Warrants Nov 11	200,000,000	2011/05/19	2011/11/21	100.00
11785	Credit Suisse AG - HKEx European Warrants Sep 2011	300,000,000	2011/05/19	2011/09/26	45.00
11784	Credit Suisse AG - HSBC European Wts Sep 2011 B	300,000,000	2011/05/19	2011/09/05	45.00
11782	Credit Suisse AG - HSI European Warrants Aug 2011 A	300,000,000	2011/05/19	2011/08/30	45.00
11783	Credit Suisse AG - HSI European Warrants Aug 2011 B	300,000,000	2011/05/19	2011/08/30	45.00
11776	HK Bank - Angang Steel European Warrants May 2012	100,000,000	2011/05/19	2012/05/21	15.00
11777	HK Bank - CNBM European Warrants Dec 2011 A	100,000,000	2011/05/19	2011/12/30	25.00
11778	HK Bank - Esprit European Warrants Jan 2012	100,000,000	2011/05/19	2012/01/20	15.00
11779	HK Bank - Esprit European Put Warrants Dec 2011	80,000,000	2011/05/19	2011/12/30	20.00
11780	HK Bank - HWL European Warrants Sep 2011	160,000,000	2011/05/19	2011/09/23	32.00
11781	HK Bank - Zijin Mining European Warrants Nov 2011	80,000,000	2011/05/19	2011/11/21	20.00
11791	KBC Fin Products Int'l Ltd. - HKEx European Put Wts Nov 2011	80,000,000	2011/05/19	2011/11/08	12.00
11793	KBC Fin Products Int'l Ltd. - SHK Ppt Euro Put Wts Dec 2011	80,000,000	2011/05/19	2011/12/20	12.00
11792	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Dec 11	108,000,000	2011/05/19	2011/12/01	32.40
11754	Macquarie Bank Ltd. - China Tel European Warrants Dec 2011	200,000,000	2011/05/19	2011/12/02	50.00
11753	Macquarie Bank Ltd. - China Life European Wts Jan 2012	200,000,000	2011/05/19	2012/01/04	51.00
11752	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Nov 2011 A	150,000,000	2011/05/19	2011/11/30	57.90
11768	Nomura Int'l plc - Anhui Conch European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/30	26.60
11772	Nomura Int'l plc - AviChina European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/21	37.00
11771	Nomura Int'l plc - Chinares Cement European Warrants Feb 13	100,000,000	2011/05/19	2013/02/04	25.00
11774	Nomura Int'l plc - Ch State Con European Warrants May 2012	100,000,000	2011/05/19	2012/05/21	25.00
11770	Nomura Int'l plc - Zoomlion European Warrants Nov 2011 A	100,000,000	2011/05/19	2011/11/30	25.20
11773	Nomura Int'l plc - A50 China European Warrants Feb 2012	100,000,000	2011/05/19	2012/02/01	17.00
11775	Nomura Int'l plc - Lonking European Warrants Sep 2011	100,000,000	2011/05/19	2011/09/26	30.00
11769	Nomura Int'l plc - Sinopharm European Warrants Oct 2011	100,000,000	2011/05/19	2011/10/31	15.20
11766	Nomura Int'l plc - Wharf European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/30	25.00
11767	Nomura Int'l plc - Xinyi Glass European Warrants Jun 2012	100,000,000	2011/05/19	2012/06/25	25.10
11759	CC Rabobank B.A. - CHALCO European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/28	60.00
11761	CC Rabobank B.A. - China Oilfield European Warrants Oct 2011	100,000,000	2011/05/19	2011/10/31	15.00
11756	CC Rabobank B.A. - China Coal European Warrants Feb 2012	200,000,000	2011/05/19	2012/02/23	30.00
11762	CC Rabobank B.A. - Chaoda Modern European Warrants Mar 2012	100,000,000	2011/05/19	2012/03/12	80.00

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		發行單位	上市日期	到期日	
11758	CC Rabobank B.A. - China Liansu European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/28	110.00
11763	CC Rabobank B.A. - CQRC Bank European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/28	60.00
11760	CC Rabobank B.A. - Chinares Cement European Warrants Nov 11	100,000,000	2011/05/19	2011/11/28	80.00
11755	CC Rabobank B.A. - Chi Res Power European Warrants May 2012	100,000,000	2011/05/19	2012/05/07	25.00
11757	CC Rabobank B.A. - GCL-Poly Energy European Wts Nov 2011	100,000,000	2011/05/19	2011/11/28	50.00
11765	Standard Chartered Bank - Agricultural Bk European Wts Nov11	100,000,000	2011/05/19	2011/11/25	17.40
11764	Standard Chartered Bank - CC Bank European Warrants Jan 2012	100,000,000	2011/05/19	2012/01/03	25.00
11552 #	UBS AG - AIA European Warrants Sep 2011 A	200,000,000	2011/05/19	2011/09/30	25.40
28103 #	Citigroup Global Mkt H Inc. - Sinopec Corp Euro Wts May 2012	137,000,000	2011/05/19	2012/05/21	10.00
11823	Credit Suisse AG - AIA European Put Warrants Oct 2011	300,000,000	2011/05/20	2011/10/31	45.00
11821	Credit Suisse AG - AIA European Warrants Sep 2011 A	300,000,000	2011/05/20	2011/09/26	45.00
11820	Credit Suisse AG - CC Bank European Warrants Sep 2011 A	300,000,000	2011/05/20	2011/09/19	45.00
11819	Credit Suisse AG - HSI European Warrants Sep 2011 A	300,000,000	2011/05/20	2011/09/29	45.00
11822	Credit Suisse AG - ICBC European Warrants Sep 2011	300,000,000	2011/05/20	2011/09/30	45.00
11804	HK Bank - HSI European Put Warrants Nov 2011	200,000,000	2011/05/20	2011/11/29	50.00
11799	HK Bank - HSI European Warrants Nov 2011 A	200,000,000	2011/05/20	2011/11/29	50.00
11800	HK Bank - HSI European Warrants Nov 2011 B	200,000,000	2011/05/20	2011/11/29	50.00
11801	HK Bank - HSI European Warrants Nov 2011 C	200,000,000	2011/05/20	2011/11/29	50.00
11802	HK Bank - HSI European Warrants Dec 2011 F	250,000,000	2011/05/20	2011/12/29	62.50
11805	HK Bank - HSI European Put Warrants Nov 2011 D	200,000,000	2011/05/20	2011/11/29	50.00
11803	HK Bank - Zhaojin Mining European Warrants Mar 2012	100,000,000	2011/05/20	2012/03/23	25.00
11824	KBC Fin Products Int'l Ltd. - ICBC European Wts Nov 2011	108,000,000	2011/05/20	2011/11/21	33.48
11794	Macquarie Bank Ltd. - BYD European Warrants Dec 2011	200,000,000	2011/05/20	2011/12/02	50.00
11796	Macquarie Bank Ltd. - CNOOC European Warrants Apr 2012	200,000,000	2011/05/20	2012/04/27	50.00
11797	Macquarie Bank Ltd. - Henganintl European Warrants Nov 2011	200,000,000	2011/05/20	2011/11/21	51.60
11795	Macquarie Bank Ltd. - Yurun Food European Warrants Nov 2011	200,000,000	2011/05/20	2011/11/29	50.00
11798	Macquarie Bank Ltd. - Yanzhou Coal European Wts Dec 2011	200,000,000	2011/05/20	2011/12/02	52.00
11808	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Apr 2012	80,000,000	2011/05/20	2012/04/16	20.00
11809	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Sep 2012	80,000,000	2011/05/20	2012/09/11	52.80
11806	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2011 C	80,000,000	2011/05/20	2011/12/19	25.20
11807	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jan 2012 A	80,000,000	2011/05/20	2012/01/09	21.20
11810	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Dec 11D	80,000,000	2011/05/20	2011/12/12	25.60
10115	RB of Scotland N.V. - Gold European Put Warrants Dec 2011	100,000,000	2011/05/20	2011/12/28	25.10
11827	RB of Scotland N.V. - HSBC European Warrants Oct 2011	100,000,000	2011/05/20	2011/10/04	15.10
11818	SGA Societe Generale Acceptance N.V. - CHALCO Eu Wt Dec 2011	30,000,000	2011/05/20	2011/12/21	15.30
11817	SGA Societe Generale Acceptance N.V. - CITBK Euro Wt Nov 11	40,000,000	2011/05/20	2011/11/21	16.00
11811	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2011D	300,000,000	2011/05/20	2011/09/26	54.00
11816	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Nov 11	150,000,000	2011/05/20	2011/11/21	37.50
11815	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Sep 2011 C	150,000,000	2011/05/20	2011/09/26	79.50
11814	SGA Societe Generale Acceptance N.V. -Sinopharm Eu Wts Nov11	80,000,000	2011/05/20	2011/11/21	20.00
11813	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Nov11A	120,000,000	2011/05/20	2011/11/21	36.00
11812	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Nov 11	30,000,000	2011/05/20	2011/11/21	15.30
11825	UBS AG - HSI European Warrants Sep 2011 B	300,000,000	2011/05/20	2011/09/29	45.00
11826	UBS AG - HSI European Warrants Sep 2011 C	300,000,000	2011/05/20	2011/09/29	45.00

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		發行單位	上市日期	到期日	
29940 #	Credit Suisse AG - HSI European Warrants Jul 2011 A	300,000,000	2011/05/20	2011/07/28	15.60
11841	BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Feb 2013	100,000,000	2011/05/23	2013/02/04	25.00
11842	BNP Paribas Arbit Issu B.V. - PICC European Wts Feb 2012	100,000,000	2011/05/23	2012/02/02	15.00
11851	Citigroup Global Mkt H Inc. - BOC HK European Wts Oct 2011	80,000,000	2011/05/23	2011/10/24	12.00
11854	Citigroup Global Mkt H Inc. - CNBM European Warrants Dec 11	80,000,000	2011/05/23	2011/12/05	20.00
11852	Citigroup Global Mkt H Inc. - Zoomlion European Wts Nov11	80,000,000	2011/05/23	2011/11/30	20.00
11853	Citigroup Global Mkt H Inc. - Esprit European Wts Dec 2011	80,000,000	2011/05/23	2011/12/05	20.00
11832	Citigroup Global Mkt H Inc. - HSCEI European Wts Oct 2011	80,000,000	2011/05/23	2011/10/28	12.00
11831	Citigroup Global Mkt H Inc. - HSCEI Euro Put Wts Dec 2011	80,000,000	2011/05/23	2011/12/29	20.00
11833	Citigroup Global Mkt H Inc. - HSI European Put Wts Sep 2011	80,000,000	2011/05/23	2011/09/29	12.00
11834	Citigroup Global Mkt H Inc. - HSI European Warrants Sep 11A	80,000,000	2011/05/23	2011/09/29	12.80
11835	Citigroup Global Mkt H Inc. - HSI European Warrants Sep 11B	80,000,000	2011/05/23	2011/09/29	12.00
11850	Citigroup Global Mkt H Inc. - Lonking European Wts Nov11	50,000,000	2011/05/23	2011/11/30	12.50
11848	Bank of East Asia - CHALCO European Warrants Jun 2013	235,000,000	2011/05/23	2013/06/24	58.75
11847	Bank of East Asia - China Coal European Warrants Jun 2013	130,000,000	2011/05/23	2013/06/24	32.50
11846	Bank of East Asia - Cheung Kong European Warrants Jan 2012	41,000,000	2011/05/23	2012/01/03	10.25
11849	Bank of East Asia - Zijin Mining European Warrants Jan 2012	38,000,000	2011/05/23	2012/01/03	10.26
11830	HK Bank - PICC European Warrants Dec 2011	100,000,000	2011/05/23	2011/12/14	15.00
11845	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2011	200,000,000	2011/05/23	2011/10/28	30.00
11843	KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Dec 2011	80,000,000	2011/05/23	2011/12/01	44.00
11844	KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Dec 11A	80,000,000	2011/05/23	2011/12/01	20.00
11829	Macquarie Bank Ltd. - CM Bank European Warrants Dec 2011 A	200,000,000	2011/05/23	2011/12/02	31.80
11828	Standard Chartered Bank - Henganintl European Warrants Sep11	100,000,000	2011/05/23	2011/09/12	23.30
11838	SGA Societe Generale Acceptance N.V. -Henganintl Eu Wt Nov11	40,000,000	2011/05/23	2011/11/23	19.60
11837	SGA Societe Generale Acceptance N.V. - JIANG Eu Wts Dec 2011	200,000,000	2011/05/23	2011/12/05	50.00
11836	SGA Societe Generale Acceptance N.V. - Lonking Euro Wt Nov11	30,000,000	2011/05/23	2011/11/23	11.70
11840	UBS AG - CNOOC European Warrants Oct 2011 D	200,000,000	2011/05/23	2011/10/31	30.00
11839	UBS AG - HKEx European Warrants Oct 2011 C	300,000,000	2011/05/23	2011/10/24	45.00
26984 #	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Oct 2011 A	230,000,000	2011/05/23	2011/10/11	10.12
11879	Credit Suisse AG - CNOOC European Warrants Aug 2011	300,000,000	2011/05/24	2011/08/29	45.00
11880	Credit Suisse AG - Yanzhou Coal European Warrants Aug 2011	200,000,000	2011/05/24	2011/08/29	30.00
11877	Citigroup Global Mkt H Inc. - BOCL European Wts Nov 2011	80,000,000	2011/05/24	2011/11/24	20.00
11865	Citigroup Global Mkt H Inc. - Minsheng Bank Euro Wts Nov 11	80,000,000	2011/05/24	2011/11/30	20.00
11867	Citigroup Global Mkt H Inc. - Evergrande European Wts Nov 11	50,000,000	2011/05/24	2011/11/30	15.00
11866	Citigroup Global Mkt H Inc. - GCL-Poly Energy Euro Wt Nov 11	80,000,000	2011/05/24	2011/11/24	24.00
11864	Citigroup Global Mkt H Inc. - SHK Ppt European Wts Dec 2012	80,000,000	2011/05/24	2012/12/10	12.00
11873	J P Morgan SP BV - AIA European Warrants Dec 2011	200,000,000	2011/05/24	2011/12/29	50.00
11881	J P Morgan SP BV - China Mob European Wts Nov 2011	150,000,000	2011/05/24	2011/11/24	37.50
11874	J P Morgan SP BV - China Life European Warrants Sep 2011 A	200,000,000	2011/05/24	2011/09/23	30.00
11884	J P Morgan SP BV - CM Bank European Warrants Nov 2011	200,000,000	2011/05/24	2011/11/28	30.60
11882	J P Morgan SP BV - Minsheng Bank European Warrants Nov 2011	100,000,000	2011/05/24	2011/11/25	39.10
11883	J P Morgan SP BV - HSBC European Warrants Oct 2011	200,000,000	2011/05/24	2011/10/04	55.00
11876	J P Morgan SP BV - HSBC European Warrants Sep 2011 E	200,000,000	2011/05/24	2011/09/05	33.80
11869	J P Morgan SP BV - Hutchison European Warrants Nov 2011	100,000,000	2011/05/24	2011/11/24	27.50

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		發行單位	上市日期	到期日	
11870	J P Morgan SP BV - Hutchison European Warrants Nov 2011 A	100,000,000	2011/05/24	2011/11/24	43.20
11871	J P Morgan SP BV - ICBC European Warrants Nov 2011	150,000,000	2011/05/24	2011/11/24	37.50
11875	J P Morgan SP BV - Shimao Property European Wts Jun 2012	150,000,000	2011/05/24	2012/06/29	22.50
11872	J P Morgan SP BV - Stanchart European Warrants Dec 2011	200,000,000	2011/05/24	2011/12/29	50.00
11856	Macquarie Bank Ltd. - Esprit European Warrants Jan 2012	200,000,000	2011/05/24	2012/01/27	32.80
11855	Macquarie Bank Ltd. - ICBC European Warrants Dec 2011	200,000,000	2011/05/24	2011/12/02	50.00
11868	Macquarie Bank Ltd. - Tingyi European Warrants Jan 2012	250,000,000	2011/05/24	2012/01/03	62.50
11878	RB of Scotland N.V. - ICBC European Warrants Oct 2011	200,000,000	2011/05/24	2011/10/10	30.20
11861	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Nov 2011B	300,000,000	2011/05/24	2011/11/28	84.00
11860	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2011	300,000,000	2011/05/24	2011/12/05	75.00
11858	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2011 G	300,000,000	2011/05/24	2011/09/21	96.00
11857	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Nov 2011 B	300,000,000	2011/05/24	2011/11/28	156.00
11859	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2011	300,000,000	2011/05/24	2011/11/29	75.00
11862	UBS AG - AIA European Put Warrants Oct 2011	100,000,000	2011/05/24	2011/10/28	15.00
11863	UBS AG - CC Bank European Warrants Sep 2011 C	200,000,000	2011/05/24	2011/09/23	50.00
23640 #	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 2011	250,000,000	2011/05/24	2011/11/21	25.75
11889	BOCI Asia Ltd. - Evergrande European Warrants Nov 2011	100,000,000	2011/05/25	2011/11/28	40.00
11888	BOCI Asia Ltd. -Tencent Holdings European Put Warrants Nov11	200,000,000	2011/05/25	2011/11/29	40.00
11903	BNP Paribas Arbit Issu B.V. - Galaxy Ent European Wts Mar 12	100,000,000	2011/05/25	2012/03/02	25.00
11902	BNP Paribas Arbit Issu B.V. - Wynn Macau European Wts Apr12	100,000,000	2011/05/25	2012/04/03	36.00
11886	HK Bank - Datang Power European Warrants Nov 2011	80,000,000	2011/05/25	2011/11/25	20.00
11887	HK Bank - Evergrande European Warrants Nov 2011	80,000,000	2011/05/25	2011/11/25	20.00
11898	HK Bank - HSI European Put Warrants Jul 2012	250,000,000	2011/05/25	2012/07/30	62.50
11897	HK Bank - SJM Holdings European Warrants Nov 2011	120,000,000	2011/05/25	2011/11/25	30.00
11895	J P Morgan SP BV - AIA European Warrants Nov 2011 A	200,000,000	2011/05/25	2011/11/28	30.00
11909	J P Morgan SP BV - Angang Steel European Warrants May 2012 A	100,000,000	2011/05/25	2012/05/21	15.00
11892	J P Morgan SP BV - CITIC Bank European Warrants Nov 2011 A	100,000,000	2011/05/25	2011/11/25	25.00
11896	J P Morgan SP BV - Dongfeng Motor European Warrants Oct 2011	100,000,000	2011/05/25	2011/10/31	15.00
11893	J P Morgan SP BV - Shougang Res European Warrants Nov 2011	100,000,000	2011/05/25	2011/11/25	30.00
11891	J P Morgan SP BV - Lee & Man Paper European Warrants Nov 11	100,000,000	2011/05/25	2011/11/25	40.00
11894	J P Morgan SP BV - Tencent European Put Warrants Nov 2011	200,000,000	2011/05/25	2011/11/25	50.00
11890	J P Morgan SP BV - Zijin Mining European Warrants Nov 2011	100,000,000	2011/05/25	2011/11/25	40.00
11900	Macquarie Bank Ltd. - BankComm European Warrants Dec 2011	200,000,000	2011/05/25	2011/12/02	50.80
11899	Macquarie Bank Ltd. - Tencent European Put Warrants Dec 11A	300,000,000	2011/05/25	2011/12/02	56.70
11908	RB of Scotland N.V. - HKEx European Warrants Dec 2011	200,000,000	2011/05/25	2011/12/05	30.20
11904	Standard Chartered Bank - CC Bank European Warrants Dec 2011	100,000,000	2011/05/25	2011/12/02	25.00
11905	Standard Chartered Bank - GCL-Poly Energy European Wts Oct11	100,000,000	2011/05/25	2011/10/27	46.00
11906	Standard Chartered Bank - GCL-Poly Energy European Wts Dec11	100,000,000	2011/05/25	2011/12/02	88.00
11907	Standard Chartered Bank - GCL-Poly Energy European Wts Aug13	200,000,000	2011/05/25	2013/08/30	50.00
11885	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jan 2012	300,000,000	2011/05/25	2012/01/04	75.00
11901	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2011 A	300,000,000	2011/05/25	2011/12/05	81.00
11910	Barclays Bank plc - HSI European Warrants Nov 2011	150,000,000	2011/05/26	2011/11/29	37.50
11911	Barclays Bank plc - HSI European Put Warrants Nov 2011	150,000,000	2011/05/26	2011/11/29	37.50
11924	BNP Paribas Arbit Issu B.V. - Sands China European Wts Feb12	100,000,000	2011/05/26	2012/02/02	25.00

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		發行單位	上市日期	到期日	
11928	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Nov 2011	50,000,000	2011/05/26	2011/11/30	12.50
11925	Citigroup Global Mkt H Inc. - CC Bank European Wts Nov 2011	80,000,000	2011/05/26	2011/11/30	24.00
11927	Citigroup Global Mkt H Inc. - GCL-Poly Energy Euro Wt Nov11A	80,000,000	2011/05/26	2011/11/30	32.00
11929	Citigroup Global Mkt H Inc. - Kerry Ppt European Wts Nov 11	80,000,000	2011/05/26	2011/11/30	20.00
11926	Citigroup Global Mkt H Inc. - SJM Holdings European Wt Nov11	80,000,000	2011/05/26	2011/11/30	20.00
11912	HK Bank - China Shenhua European Warrants Nov 2011	150,000,000	2011/05/26	2011/11/28	37.50
11913	HK Bank - Galaxy Ent European Warrants Jan 2012	100,000,000	2011/05/26	2012/01/12	25.00
11933	KBC Fin Products Int'l Ltd. -BEA European Put Warrants Jan12	58,000,000	2011/05/26	2012/01/18	14.50
11932	KBC Fin Products Int'l Ltd. - Sinopec Euro Wts Nov 2011	80,000,000	2011/05/26	2011/11/15	31.20
11931	KBC Fin Products Int'l Ltd. - Sinopec Corp Eu Put Wt Nov 11	80,000,000	2011/05/26	2011/11/28	38.40
11935	KBC Fin Products Int'l Ltd. - HS Bank Euro Put Wts Nov 2011	68,000,000	2011/05/26	2011/11/28	20.40
11937	KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2011 C	128,000,000	2011/05/26	2011/10/12	19.20
11939	KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2011 D	128,000,000	2011/05/26	2011/10/10	19.20
11938	KBC Fin Products Int'l Ltd. - HSBC European Wts Nov 2011 A	128,000,000	2011/05/26	2011/11/11	28.16
11936	KBC Fin Products Int'l Ltd. - HS Bank European Wts Dec 2011A	68,000,000	2011/05/26	2011/12/01	28.56
11934	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Oct 2011	188,000,000	2011/05/26	2011/10/03	28.20
11914	Macquarie Bank Ltd. - Angang Steel European Wts Dec 2011	100,000,000	2011/05/26	2011/12/02	34.80
11915	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Nov 2011	80,000,000	2011/05/26	2011/11/28	22.40
11921	Merrill Lynch Int'l & Co. C.V. - Sinopec Eu Put Wts Nov 2011	80,000,000	2011/05/26	2011/11/29	44.80
11918	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Oct 2011	80,000,000	2011/05/26	2011/10/28	12.88
11919	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Dec 11C	80,000,000	2011/05/26	2011/12/29	12.72
11920	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Dec 11D	80,000,000	2011/05/26	2011/12/29	12.56
11923	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wts Dec 2011	80,000,000	2011/05/26	2011/12/29	14.96
11916	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Nov 2011 A	80,000,000	2011/05/26	2011/11/28	44.80
11917	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Dec 2012	80,000,000	2011/05/26	2012/12/19	12.40
11922	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Put Wt Nov 11	80,000,000	2011/05/26	2011/11/29	48.80
11930	UBS AG - Tencent Holdings European Put Warrants Nov 2011	100,000,000	2011/05/26	2011/11/22	15.00
28888 #	UBS AG - Hutchison European Warrants Mar 2012 B	500,000,000	2011/05/26	2012/03/21	39.50
11956	Barclays Bank plc - China Life European Warrants Nov 2011	150,000,000	2011/05/27	2011/11/28	37.50
11955	Barclays Bank plc - CNOOC European Put Warrants Dec 2011	100,000,000	2011/05/27	2011/12/28	25.00
11952	Barclays Bank plc - HSBC European Warrants Dec 2011 A	150,000,000	2011/05/27	2011/12/19	37.50
11951	Barclays Bank plc - HSI European Put Warrants Sep 2011 A	150,000,000	2011/05/27	2011/09/29	23.10
11953	Barclays Bank plc - Hutchison European Warrants Dec 2013	100,000,000	2011/05/27	2013/12/23	25.00
11954	Barclays Bank plc -Tencent Holdings European Warrants Nov 11	150,000,000	2011/05/27	2011/11/28	37.50
11959	Credit Suisse AG - Cheung Kong European Warrants Sep 2011	200,000,000	2011/05/27	2011/09/26	40.00
11962	Credit Suisse AG - ChiUnicom European Warrants Dec 2011 A	200,000,000	2011/05/27	2011/12/19	50.00
11958	Credit Suisse AG - HSI European Put Warrants Sep 2011	300,000,000	2011/05/27	2011/09/29	45.00
11957	Credit Suisse AG - HSI European Put Warrants Aug 2011 C	300,000,000	2011/05/27	2011/08/30	45.00
11949	Macquarie Bank Ltd. - Agricultural Bk European Put Wt Dec 11	250,000,000	2011/05/27	2011/12/02	62.50
11960	Macquarie Bank Ltd. - Belle Int'l European Warrants Feb 2012	200,000,000	2011/05/27	2012/02/10	50.00
11950	Macquarie Bank Ltd. - China Life European Put Wts Dec 2011	250,000,000	2011/05/27	2011/12/02	62.50
11942	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2011	200,000,000	2011/05/27	2011/11/29	33.00
11940	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Nov 2011 A	200,000,000	2011/05/27	2011/11/29	31.60
11941	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Nov 2011 B	200,000,000	2011/05/27	2011/11/29	30.60

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11943	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2011 C	200,000,000	2011/05/27	2011/11/29	33.60
11945	CC Rabobank B.A. - Ch Rongsheng European Warrants Dec 2011	100,000,000	2011/05/27	2011/12/05	60.00
11948	CC Rabobank B.A. - RUSAL European Warrants Apr 2012	100,000,000	2011/05/27	2012/04/10	25.00
11946	CC Rabobank B.A. - Sinopharm European Warrants Oct 2011	100,000,000	2011/05/27	2011/10/26	15.00
11947	CC Rabobank B.A. - Zhaojin Mining European Warrants Dec 2011	100,000,000	2011/05/27	2011/12/05	25.00
11944	CC Rabobank B.A. - Zijin Mining European Warrants Dec 2011	100,000,000	2011/05/27	2011/12/05	30.00
11961	UBS AG - China Unicom European Warrants Apr 2012	100,000,000	2011/05/27	2012/04/30	25.00
11113 #	BOCI Asia Ltd. - GCL-Poly Energy European Warrants Oct 2011	100,000,000	2011/05/27	2011/10/12	10.50
29426 #	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Nov 2011	120,000,000	2011/05/27	2011/11/21	20.40
29433 #	BOCI Asia Ltd. - GCL-Poly Energy European Warrants Sep 2011B	100,000,000	2011/05/27	2011/09/19	20.10
11963	HK Bank - CNOOC European Put Warrants Mar 2012	120,000,000	2011/05/30	2012/03/28	30.00
11964	HK Bank - ICBC European Put Warrants Dec 2011	100,000,000	2011/05/30	2011/12/16	25.00
11965	HK Bank - Lonking European Warrants Nov 2011	80,000,000	2011/05/30	2011/11/30	20.00
11971	KBC Fin Products Int'l Ltd. - China Tel Euro Wts Jan 2012	80,000,000	2011/05/30	2012/01/10	35.20
11972	KBC Fin Products Int'l Ltd. - China Unicom Euro Wts Feb 2012	80,000,000	2011/05/30	2012/02/15	20.00
11968	KBC Fin Products Int'l Ltd. - China Life Euro Wts Dec 2011	128,000,000	2011/05/30	2011/12/15	49.92
11969	KBC Fin Products Int'l Ltd. - China Life Euro Wts Dec 2011 A	158,000,000	2011/05/30	2011/12/01	45.82
11970	KBC Fin Products Int'l Ltd. - China Life Euro Wts Jan 2012	158,000,000	2011/05/30	2012/01/03	42.66
11975	KBC Fin Products Int'l Ltd. - HKEx European Warrants Dec 11A	198,000,000	2011/05/30	2011/12/08	49.50
11973	KBC Fin Products Int'l Ltd. - Tencent Holdings Eu Wt Dec 11	108,000,000	2011/05/30	2011/12/01	27.00
11974	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Dec11A	108,000,000	2011/05/30	2011/12/05	16.20
11967	Macquarie Bank Ltd. - Chi Res Power European Warrants Jan 12	200,000,000	2011/05/30	2012/01/04	50.00
11976	RB of Scotland N.V. - HSI European Warrants Dec 2011	250,000,000	2011/05/30	2011/12/29	37.75
11978	RB of Scotland N.V. - HSI European Put Warrants Oct 2011	250,000,000	2011/05/30	2011/10/28	37.75
11979	RB of Scotland N.V. - HSI European Put Warrants Dec 2011	250,000,000	2011/05/30	2011/12/29	62.75
11977	RB of Scotland N.V. - HSI European Warrants Dec 2011 A	250,000,000	2011/05/30	2011/12/29	37.75
11966	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Nov 11B	200,000,000	2011/05/30	2011/11/30	74.00
11981	UBS AG - A50 China European Warrants Jan 2012 A	200,000,000	2011/05/30	2012/01/09	30.00
11980	UBS AG - HSI European Put Warrants Nov 2011	300,000,000	2011/05/30	2011/11/29	75.00
11990	Citigroup Global Mkt H Inc. - C Transmission Euro Wt Feb 12	80,000,000	2011/05/31	2012/02/24	12.00
11991	Citigroup Global Mkt H Inc. - Dongfeng European Wts Jan 2012	80,000,000	2011/05/31	2012/01/03	12.00
11993	Citigroup Global Mkt H Inc. - HSBC European Wts Dec 2011	80,000,000	2011/05/31	2011/12/01	24.00
11994	Citigroup Global Mkt H Inc. - HSBC European Wts Sep 2011 C	80,000,000	2011/05/31	2011/09/27	12.00
11992	Citigroup Global Mkt H Inc. - ICBC European Wts Dec 2011	80,000,000	2011/05/31	2011/12/01	24.00
11987	Macquarie Bank Ltd. - China Mobile European Wts Dec 2011	300,000,000	2011/05/31	2011/12/02	75.00
11984	Merrill Lynch Int'l & Co. C.V. - CK(H) European Wts Sep 2011	80,000,000	2011/05/31	2011/09/12	19.36
11983	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Dec 2011	80,000,000	2011/05/31	2011/12/05	14.80
11986	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Dec 2011	80,000,000	2011/05/31	2011/12/19	20.00
11982	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Oct 11A	80,000,000	2011/05/31	2011/10/24	12.16
11985	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Put Wts Feb 2012	80,000,000	2011/05/31	2012/02/20	20.00
11989	Standard Chartered Bank - Anhui Conch European Wts Apr 2012	100,000,000	2011/05/31	2012/04/27	48.00
11988	Standard Chartered Bank - CTel European Warrants Apr 2012	100,000,000	2011/05/31	2012/04/27	60.00
12314	Barclays Bank plc - CHALCO European Warrants Jun 2013	100,000,000	2011/06/01	2013/06/24	18.10
12317	Barclays Bank plc - China Mobile European Put Wts Dec 2011	150,000,000	2011/06/01	2011/12/28	37.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12310	Barclays Bank plc - China Overseas European Wts Jun 2012	100,000,000	2011/06/01	2012/06/18	25.00
12311	Barclays Bank plc - ChiUnicom European Warrants Dec 2011	150,000,000	2011/06/01	2011/12/29	33.75
12316	Barclays Bank plc - CC Bank European Put Warrants Dec 2011	100,000,000	2011/06/01	2011/12/28	25.00
12315	Barclays Bank plc - CPIC European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/28	25.00
12318	Barclays Bank plc - ICBC European Put Warrants Oct 2011	130,000,000	2011/06/01	2011/10/04	32.11
12313	Barclays Bank plc - SJM Holdings European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/28	25.00
11996	BOCI Asia Ltd. - China Railway European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/05	25.00
11997	BOCI Asia Ltd. - Lonking European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/05	25.00
11998	BOCI Asia Ltd. - Mongolia Energy European Warrants Jan 2012	100,000,000	2011/06/01	2012/01/30	28.00
11999	BOCI Asia Ltd. - Renhe Comm European Warrants Mar 2012	100,000,000	2011/06/01	2012/03/15	15.00
12000	BNP Paribas Arbit Issu B.V. - Belle Int'l Euro Wts Jul 2012	100,000,000	2011/06/01	2012/07/03	25.00
12325	BNP Paribas Arbit Issu B.V. - BOCL European Wts Feb 2012	100,000,000	2011/06/01	2012/02/02	33.00
12300	BNP Paribas Arbit Issu B.V. - Ch Oilfield European Wts Apr12	100,000,000	2011/06/01	2012/04/03	25.00
12326	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2012	200,000,000	2011/06/01	2012/01/04	50.00
12328	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jan 2012 A	200,000,000	2011/06/01	2012/01/04	88.00
12329	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2011 A	200,000,000	2011/06/01	2011/12/02	108.00
12330	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2011	200,000,000	2011/06/01	2011/12/29	52.00
12324	BNP Paribas Arbit Issu B.V. - HSI European Wts Nov 2011 A	200,000,000	2011/06/01	2011/11/29	30.00
12327	BNP Paribas Arbit Issu B.V. - ICBC European Wts Jan 2012	100,000,000	2011/06/01	2012/01/03	46.00
12334	Credit Suisse AG - Cheung Kong European Warrants Dec 2011	300,000,000	2011/06/01	2011/12/05	75.00
12335	Credit Suisse AG - GCL-Poly Energy European Warrants Aug 13	200,000,000	2011/06/01	2013/08/26	30.00
12319	Citigroup Global Mkt H Inc. - Bank of EA European Wts Feb 12	80,000,000	2011/06/01	2012/02/06	20.00
12321	Citigroup Global Mkt H Inc. - COSCO Pacific Euro Wts Dec 11	80,000,000	2011/06/01	2011/12/12	12.00
12323	Citigroup Global Mkt H Inc. - GCL-Poly Energy Euro Wt Aug 13	180,000,000	2011/06/01	2013/08/23	27.00
12322	Citigroup Global Mkt H Inc. - Goldwind European Wts Nov11	80,000,000	2011/06/01	2011/11/25	12.00
12320	Citigroup Global Mkt H Inc. - ZTE European Warrants Dec 2011	80,000,000	2011/06/01	2011/12/01	20.00
12305	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Oct 2011	200,000,000	2011/06/01	2011/10/24	35.80
12304	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Sep 2011 A	200,000,000	2011/06/01	2011/09/19	43.00
12303	J P Morgan SP BV - China Life European Warrants Dec 2011	300,000,000	2011/06/01	2011/12/01	75.00
12302	J P Morgan SP BV - HSBC European Warrants Sep 2011 F	200,000,000	2011/06/01	2011/09/19	65.40
12301	J P Morgan SP BV - HSBC European Warrants Oct 2011 A	200,000,000	2011/06/01	2011/10/25	45.60
12312	RB of Scotland N.V. - HSCEI European Warrants Jun 2012	200,000,000	2011/06/01	2012/06/28	50.20
12306	Standard Chartered Bank - BYD European Warrants Mar 2012	100,000,000	2011/06/01	2012/03/01	29.00
12307	Standard Chartered Bank - BYD European Warrants Aug 2012	100,000,000	2011/06/01	2012/08/08	28.00
12308	Standard Chartered Bank - Ping An European Warrants Jun 2013	100,000,000	2011/06/01	2013/06/24	21.30
12309	Standard Chartered Bank - Ping An European Warrants Dec 2013	100,000,000	2011/06/01	2013/12/02	25.00
11995	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2011 C	200,000,000	2011/06/01	2011/10/31	34.00
12331	UBS AG - CC Bank European Warrants Sep 2011 D	200,000,000	2011/06/01	2011/09/29	30.00
12332	UBS AG - China Life European Warrants Nov 2011	300,000,000	2011/06/01	2011/11/21	45.00
12333	UBS AG - HKEx European Put Warrants Dec 2011	300,000,000	2011/06/01	2011/12/05	45.00
22695 #	BOCI Asia Ltd. - HSBC European Warrants Dec 2011	300,000,000	2011/06/01	2011/12/19	18.60
29944 #	Credit Suisse AG - HSI European Put Warrants Jul 2011 B	300,000,000	2011/06/01	2011/07/28	22.80
12344	HK Bank - Esprit European Warrants Dec 2011	120,000,000	2011/06/02	2011/12/29	30.00
12345	HK Bank - ICBC European Warrants Oct 2011 A	120,000,000	2011/06/02	2011/10/24	18.00

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		發行單位	上市日期	到期日	
12365	Macquarie Bank Ltd. - Anhui Conch European Warrants Dec 2011	200,000,000	2011/06/02	2011/12/05	50.00
12356	Macquarie Bank Ltd. - C Transmission European Warrants Mar12	100,000,000	2011/06/02	2012/03/02	115.50
12355	Macquarie Bank Ltd. - Shougang Res European Warrants Dec 11	200,000,000	2011/06/02	2011/12/02	72.40
12363	Macquarie Bank Ltd. - KECL European Warrants Aug 2012	200,000,000	2011/06/02	2012/08/03	50.20
12364	Macquarie Bank Ltd. - Sinoma European Warrants Nov 2012	200,000,000	2011/06/02	2012/11/27	35.60
12339	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jan 2012	200,000,000	2011/06/02	2012/01/30	50.00
12341	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2011	200,000,000	2011/06/02	2011/12/29	50.00
12336	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2011 A	200,000,000	2011/06/02	2011/12/29	52.00
12337	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2011 B	200,000,000	2011/06/02	2011/12/29	31.20
12338	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2011 C	200,000,000	2011/06/02	2011/12/29	52.00
12340	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jan 2012 A	200,000,000	2011/06/02	2012/01/30	50.00
12342	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2011 D	200,000,000	2011/06/02	2011/12/29	50.00
12343	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2011 E	200,000,000	2011/06/02	2011/12/29	53.00
12346	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2011A	300,000,000	2011/06/02	2011/12/05	90.00
12347	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jan 2012A	300,000,000	2011/06/02	2012/01/11	78.00
12349	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jan 2012	300,000,000	2011/06/02	2012/01/04	81.00
12348	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2011 B	300,000,000	2011/06/02	2011/12/12	90.00
12350	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Oct 2011	200,000,000	2011/06/02	2011/10/28	34.00
12351	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Dec 11	200,000,000	2011/06/02	2011/12/29	50.00
12353	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 11	300,000,000	2011/06/02	2011/12/29	75.00
12352	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2011 B	300,000,000	2011/06/02	2011/12/29	75.00
12354	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 11C	300,000,000	2011/06/02	2011/12/29	75.00
12366	UBS AG - BYD European Warrants Dec 2011	100,000,000	2011/06/02	2011/12/08	25.00
12377	BOCI Asia Ltd. - China Life European Warrants Sep 2011 A	100,000,000	2011/06/03	2011/09/30	16.00
12379	BOCI Asia Ltd. - HSI European Warrants Dec 2011	100,000,000	2011/06/03	2011/12/29	15.00
12378	BOCI Asia Ltd. - Tracker Fund European Warrants Aug 2012	100,000,000	2011/06/03	2012/08/01	25.00
12400	BNP Paribas Arbit Issu B.V. - Ch Rongsheng European Wt Jan12	23,000,000	2011/06/03	2012/01/03	12.88
12408	Citigroup Global Mkt H Inc. - CNOOC European Wts Dec 2011	80,000,000	2011/06/03	2011/12/23	12.00
12410	Citigroup Global Mkt H Inc. - A50 China Eur Put Wts May 2012	80,000,000	2011/06/03	2012/05/21	12.00
12407	Citigroup Global Mkt H Inc. - Link Reit European Wts Feb 12	80,000,000	2011/06/03	2012/02/06	20.00
12406	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Aug 2012	80,000,000	2011/06/03	2012/08/13	12.00
12409	Citigroup Global Mkt H Inc. - Ch Rongsheng European Wt Dec11	50,000,000	2011/06/03	2011/12/05	12.50
12382	HK Bank -BYD European Warrants Dec 2011	100,000,000	2011/06/03	2011/12/16	25.00
12383	HK Bank - China Coal European Warrants Dec 2012	100,000,000	2011/06/03	2012/12/05	25.00
12386	HK Bank - Ch Ship Cont European Warrants Mar 2012	80,000,000	2011/06/03	2012/03/09	24.80
12381	HK Bank - Shougang Res European Warrants Feb 2012	80,000,000	2011/06/03	2012/02/21	27.20
12385	HK Bank - R&F Ppt European Warrants Nov 2012	100,000,000	2011/06/03	2012/11/16	25.00
12387	HK Bank - GCL-Poly Energy European Warrants Dec 2011 A	80,000,000	2011/06/03	2011/12/05	44.00
12380	HK Bank - KECL European Warrants Aug 2012	100,000,000	2011/06/03	2012/08/13	25.00
12384	HK Bank - Li Ning European Warrants Apr 2012	100,000,000	2011/06/03	2012/04/20	25.00
12405	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Dec 2011	128,000,000	2011/06/03	2011/12/05	32.00
12404	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Nov 2011 A	128,000,000	2011/06/03	2011/11/14	32.00
12390	KBC Fin Products Int'l Ltd. - HSBC European Wts Dec 2011	128,000,000	2011/06/03	2011/12/05	32.00
12397	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Dec 2011	108,000,000	2011/06/03	2011/12/05	48.60

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		發行單位	上市日期	到期日	
12388	KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2011 E	108,000,000	2011/06/03	2011/10/17	51.84
12389	KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2011 F	108,000,000	2011/06/03	2011/10/03	61.56
12391	KBC Fin Products Int'l Ltd. - HSBC European Wts Dec 2011 A	128,000,000	2011/06/03	2011/12/05	32.00
12392	KBC Fin Products Int'l Ltd. - HSBC European Wts Dec 2011 B	108,000,000	2011/06/03	2011/12/15	16.20
12393	KBC Fin Products Int'l Ltd. - HSBC European Wts Dec 2011 C	128,000,000	2011/06/03	2011/12/05	48.64
12394	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Sep 2011	128,000,000	2011/06/03	2011/09/27	66.56
12395	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Sep 2011 A	128,000,000	2011/06/03	2011/09/22	42.24
12396	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Oct 2011 A	128,000,000	2011/06/03	2011/10/03	19.20
12401	KBC Fin Products Int'l Ltd. - ICBC European Wts Sep 2011	108,000,000	2011/06/03	2011/09/26	27.00
12403	KBC Fin Products Int'l Ltd. - ICBC European Wts Dec 2011	128,000,000	2011/06/03	2011/12/05	32.00
12402	KBC Fin Products Int'l Ltd. - ICBC European Wts Oct 2011 C	128,000,000	2011/06/03	2011/10/03	19.20
12367	Merrill Lynch Int'l & Co. C.V. - BOC HK Euro Wts Sep 2012	80,000,000	2011/06/03	2012/09/28	20.00
12368	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eur Wts Jan 2012	80,000,000	2011/06/03	2012/01/09	30.40
12369	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Oct 2011 A	80,000,000	2011/06/03	2011/10/11	38.00
12370	Merrill Lynch Int'l & Co. C.V. - JX Copper Euro Wts Dec 2011	80,000,000	2011/06/03	2011/12/05	20.40
12371	Merrill Lynch Int'l & Co. C.V. - JX Copper Euro Wts Mar 2012	80,000,000	2011/06/03	2012/03/05	20.40
12373	CC Rabobank B.A. - CITIC Pacific European Warrants Dec 2011	100,000,000	2011/06/03	2011/12/05	25.00
12375	CC Rabobank B.A. - China Life European Warrants Nov 2011	200,000,000	2011/06/03	2011/11/28	30.00
12376	CC Rabobank B.A. - Evergrande European Warrants Nov 2011	100,000,000	2011/06/03	2011/11/23	40.00
12372	CC Rabobank B.A. - Galaxy Ent European Warrants Mar 2012	100,000,000	2011/06/03	2012/03/26	25.00
12374	CC Rabobank B.A. - Yanzhou Coal European Warrants Nov 2011	100,000,000	2011/06/03	2011/11/21	35.00
12399	Standard Chartered Bank - Evergrande European Wts Aug 2013	200,000,000	2011/06/03	2013/08/01	50.00
12398	Standard Chartered Bank - HSBC European Warrants Feb 2012	100,000,000	2011/06/03	2012/02/01	42.50
11380 #	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Mar 2012	200,000,000	2011/06/03	2012/03/21	18.60
11815 #	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Sep 2011 C	100,000,000	2011/06/03	2011/09/26	62.00
12430	Credit Suisse AG - AIA European Warrants Nov 2011	300,000,000	2011/06/07	2011/11/28	45.00
12422	KBC Fin Products Int'l Ltd. - AIA European Warrants Dec 11B	188,000,000	2011/06/07	2011/12/07	47.00
12423	KBC Fin Products Int'l Ltd. - AIA European Warrants Dec 11C	188,000,000	2011/06/07	2011/12/07	54.52
12425	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jan 2012	128,000,000	2011/06/07	2012/01/03	32.00
12420	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Nov 2011	108,000,000	2011/06/07	2011/11/02	19.44
12421	KBC Fin Products Int'l Ltd. - CC Bank Euro Wts Nov 2011 A	108,000,000	2011/06/07	2011/11/23	37.80
12417	KBC Fin Products Int'l Ltd. - China Life Euro Wts Nov 2011	158,000,000	2011/06/07	2011/11/16	23.70
12419	KBC Fin Products Int'l Ltd. - China Life Euro Wts Nov 2011 A	158,000,000	2011/06/07	2011/11/21	34.76
12418	KBC Fin Products Int'l Ltd. - China Life Euro Wts Jan 2012 A	158,000,000	2011/06/07	2012/01/03	39.50
12416	KBC Fin Products Int'l Ltd. - China Life Eur Put Wt Dec 2011	108,000,000	2011/06/07	2011/12/07	29.16
12426	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Dec 2011 D	128,000,000	2011/06/07	2011/12/07	46.08
12427	KBC Fin Products Int'l Ltd. - Ch Mobile Euro Wt Jan 2012 A	108,000,000	2011/06/07	2012/01/10	63.72
12424	KBC Fin Products Int'l Ltd. - Ch Mobile Eu Put Wt Dec 2011 C	128,000,000	2011/06/07	2011/12/07	44.80
12428	KBC Fin Products Int'l Ltd. - HSCEI Euro Wts Dec 2011 B	100,000,000	2011/06/07	2011/12/29	25.00
12429	KBC Fin Products Int'l Ltd. - HSCEI Euro Put Wts Mar 2012	100,000,000	2011/06/07	2012/03/29	46.00
12413	Macquarie Bank Ltd. - China Unicom European Wts Apr 2012	300,000,000	2011/06/07	2012/04/03	75.00
12411	Macquarie Bank Ltd. - CITIC Pacific European Warrants Feb 12	150,000,000	2011/06/07	2012/02/02	30.75
12414	Macquarie Bank Ltd. - Jiangxi Copper European Wts Jan 2012	300,000,000	2011/06/07	2012/01/04	75.00
12412	Macquarie Bank Ltd. - Lee & Man Paper European Wts Jan12	200,000,000	2011/06/07	2012/01/04	87.60

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12415	Macquarie Bank Ltd. - Ch Rongsheng European Warrants Dec 11	100,000,000	2011/06/07	2011/12/06	29.20
12431	UBS AG - GCL-Poly Energy European Warrants Dec 2011	100,000,000	2011/06/07	2011/12/12	25.00
12432	UBS AG - GCL-Poly Energy European Warrants Aug 2013	400,000,000	2011/06/07	2013/08/23	60.00
28380 #	Macquarie Bank Ltd. - Tencent European Put Warrants Aug 11B	250,000,000	2011/06/07	2011/08/02	13.25
29427 #	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Put Wts Sep 11	200,000,000	2011/06/07	2011/09/12	12.60
12443	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Oct 2011	100,000,000	2011/06/08	2011/10/31	35.00
12445	Credit Suisse AG - Hutchison European Warrants Nov 2011	300,000,000	2011/06/08	2011/11/11	75.00
12444	Credit Suisse AG - Hutchison European Warrants Oct 2011 A	300,000,000	2011/06/08	2011/10/28	105.00
12446	HK Bank - GCL-Poly Energy European Warrants Dec 2013	100,000,000	2011/06/08	2013/12/30	25.00
12454	KBC Fin Products Int'l Ltd. - BOCL European Wts Nov 2011	108,000,000	2011/06/08	2011/11/18	21.60
12453	KBC Fin Products Int'l Ltd. - BOCL European Wts Dec 2011	88,000,000	2011/06/08	2011/12/08	22.88
12455	KBC Fin Products Int'l Ltd. - BOCL European Wts Jan 2012	158,000,000	2011/06/08	2012/01/03	23.70
12457	KBC Fin Products Int'l Ltd. - A50 Ch European Put Wts Dec 11	88,000,000	2011/06/08	2011/12/30	13.20
12460	KBC Fin Products Int'l Ltd. - HSI European Wts Oct 2011	200,000,000	2011/06/08	2011/10/28	30.00
12461	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2011 F	200,000,000	2011/06/08	2011/09/29	30.00
12462	KBC Fin Products Int'l Ltd. - HSI European Wts Sep 2011 G	200,000,000	2011/06/08	2011/09/29	30.00
12459	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Oct 2011 A	200,000,000	2011/06/08	2011/10/28	30.00
12458	KBC Fin Products Int'l Ltd. - HSI Euro Put Wts Dec 2011 B	200,000,000	2011/06/08	2011/12/29	58.00
12456	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Oct 2011 B	168,000,000	2011/06/08	2011/10/17	25.20
12441	Macquarie Bank Ltd. - China Unicom European Put Wts Jul 2012	200,000,000	2011/06/08	2012/07/03	50.00
12448	Macquarie Bank Ltd. - HSCEI European Warrants Dec 2011	300,000,000	2011/06/08	2011/12/29	45.00
12447	Macquarie Bank Ltd. - HSI European Warrants Nov 2011	300,000,000	2011/06/08	2011/11/29	48.00
12437	CC Rabobank B.A. - Shougang Res European Warrants Dec 2011	100,000,000	2011/06/08	2011/12/09	45.00
12438	CC Rabobank B.A. - GCL-Poly Energy European Wts Dec 2011	120,000,000	2011/06/08	2011/12/09	54.00
12439	CC Rabobank B.A. - HSBC European Warrants Nov 2011	100,000,000	2011/06/08	2011/11/09	20.00
12440	CC Rabobank B.A. - Hutchison European Warrants Oct 2011	120,000,000	2011/06/08	2011/10/26	42.00
12436	CC Rabobank B.A. - China Mengniu European Warrants Jan 2012	100,000,000	2011/06/08	2012/01/06	25.00
12433	Standard Chartered Bank - CC Bank European Warrants Jun 2012	100,000,000	2011/06/08	2012/06/01	50.00
12434	Standard Chartered Bank - CC Bank European Warrants Nov 2012	100,000,000	2011/06/08	2012/11/01	25.00
12435	Standard Chartered Bank-China Life European Wts Mar 2012	100,000,000	2011/06/08	2012/03/30	25.00
12442	UBS AG - AIA European Warrants Mar 2012	300,000,000	2011/06/08	2012/03/12	75.00
12449	UBS AG - HKEx European Warrants Dec 2011 C	300,000,000	2011/06/08	2011/12/05	45.00
12451	UBS AG - HSI European Warrants Nov 2011	300,000,000	2011/06/08	2011/11/29	45.00
12452	UBS AG - HSI European Put Warrants Oct 2011	300,000,000	2011/06/08	2011/10/28	45.00
12450	UBS AG - Hutchison European Warrants Nov 2011 A	300,000,000	2011/06/08	2011/11/08	75.00
12486	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wts Feb 2012	100,000,000	2011/06/09	2012/02/02	36.00
12485	BNP Paribas Arbit Issu B.V. - BOCHK European Warrants Dec 12	100,000,000	2011/06/09	2012/12/04	29.00
12487	BNP Paribas Arbit Issu B.V. - Ch Mer Hold European Wts Feb12	80,000,000	2011/06/09	2012/02/02	35.20
12489	BNP Paribas Arbit Issu B.V. - CPIC European Warrants Apr 12	100,000,000	2011/06/09	2012/04/02	36.00
12488	BNP Paribas Arbit Issu B.V. - Wharf European Warrants Jan 12	80,000,000	2011/06/09	2012/01/04	27.20
12473	Credit Suisse AG - China Mobile European Warrants Oct 2011 C	300,000,000	2011/06/09	2011/10/03	45.00
12495	Citigroup Global Mkt H Inc. - CPIC European Warrants Dec 11	80,000,000	2011/06/09	2011/12/12	20.00
12496	Citigroup Global Mkt H Inc. - Galaxy Ent European Wts Dec11A	80,000,000	2011/06/09	2011/12/12	20.00
12498	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Dec 2011	80,000,000	2011/06/09	2011/12/09	28.00

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		發行單位	上市日期	到期日	
12497	Citigroup Global Mkt H Inc. - Lenovo European Warrants Dec11	80,000,000	2011/06/09	2011/12/12	20.00
12494	Citigroup Global Mkt H Inc. - PICC P&C European Wts Feb 2012	80,000,000	2011/06/09	2012/02/03	12.00
12492	Bank of East Asia - BYD European Warrants Feb 2012	50,000,000	2011/06/09	2012/02/23	12.50
12493	Bank of East Asia - R&F Ppt European Warrants Dec 2012	130,000,000	2011/06/09	2012/12/21	32.50
12491	Bank of East Asia - HKEx European Warrants Jul 2012	80,000,000	2011/06/09	2012/07/23	20.00
12490	Bank of East Asia - Hutchison European Warrants Jan 2012	41,000,000	2011/06/09	2012/01/20	10.25
12477	HK Bank - HWL European Warrants Oct 2011	200,000,000	2011/06/09	2011/10/26	58.00
12478	HK Bank - HWL European Warrants Dec 2011	200,000,000	2011/06/09	2011/12/09	50.00
12479	HK Bank - HWL European Warrants Jan 2012	200,000,000	2011/06/09	2012/01/27	50.00
12471	Macquarie Bank Ltd. - Ch Oilfield European Warrants Jan 2012	200,000,000	2011/06/09	2012/01/04	50.00
12470	Macquarie Bank Ltd. - Ch Comm Cons European Wts Jan 2012	100,000,000	2011/06/09	2012/01/04	28.60
12472	Macquarie Bank Ltd. - China Shenhua European Wts Jan 2012	200,000,000	2011/06/09	2012/01/04	50.00
12483	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Nov 2011	80,000,000	2011/06/09	2011/11/23	40.80
12484	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Nov 11A	80,000,000	2011/06/09	2011/11/08	27.60
12481	CC Rabobank B.A. - ChiUnicom European Warrants Apr 2012	150,000,000	2011/06/09	2012/04/23	27.00
12482	CC Rabobank B.A. - Chow Sang Sang European Warrants Dec 2011	100,000,000	2011/06/09	2011/12/12	25.00
12480	CC Rabobank B.A. - Hutchison European Warrants Dec 2011	200,000,000	2011/06/09	2011/12/12	66.00
12475	Standard Chartered Bank - Hutchison Euro Euro Wts Jan 2014	200,000,000	2011/06/09	2014/01/07	50.00
12474	Standard Chartered Bank - Hutchison Euro Euro Wts Oct 2011 C	100,000,000	2011/06/09	2011/10/03	36.50
12476	Standard Chartered Bank - ICBC European Warrants Dec 2011	100,000,000	2011/06/09	2011/12/09	25.00
12463	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Dec 2011	200,000,000	2011/06/09	2011/12/12	50.00
12464	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 2012	200,000,000	2011/06/09	2012/01/09	50.00
12465	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Apr 2012	500,000,000	2011/06/09	2012/04/02	90.00
12466	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Oct 2011	200,000,000	2011/06/09	2011/10/03	58.00
12467	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Oct 2011 A	200,000,000	2011/06/09	2011/10/26	52.00
12468	SGA Societe Generale Acceptance N.V. - Lonking Euro Wt Dec11	30,000,000	2011/06/09	2011/12/21	14.10
12469	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Dec 11	200,000,000	2011/06/09	2011/12/12	54.00
12502	BNP Paribas Arbit Issu B.V. - Ch Ship Cont European Wt Jan12	60,000,000	2011/06/10	2012/01/04	19.80
12501	BNP Paribas Arbit Issu B.V. - CSR Times European Wts Jan12	55,000,000	2011/06/10	2012/01/04	20.90
12506	BNP Paribas Arbit Issu B.V. - HLand Dev Euro Wts Jan 2012	80,000,000	2011/06/10	2012/01/04	25.60
12505	BNP Paribas Arbit Issu B.V. -MTRC European Warrants Dec 2012	45,000,000	2011/06/10	2012/12/04	11.70
12504	BNP Paribas Arbit Issu B.V. - SkyworthDig European Wts Jun14	100,000,000	2011/06/10	2014/06/04	25.00
12503	BNP Paribas Arbit Issu B.V. - Zhaojin Mining Euro Wts Mar12	55,000,000	2011/06/10	2012/03/02	15.95
12510	Credit Suisse AG - BYD European Warrants Oct 2011	200,000,000	2011/06/10	2011/10/03	30.00
12508	Credit Suisse AG - ChiUnicom European Warrants Feb 2012	200,000,000	2011/06/10	2012/02/20	50.00
12507	Credit Suisse AG - HSI European Warrants Sep 2011 B	300,000,000	2011/06/10	2011/09/29	45.00
12512	Credit Suisse AG - HSI European Put Warrants Sep 2011 C	300,000,000	2011/06/10	2011/09/29	45.00
12509	Credit Suisse AG - Tencent Holdings European Wts Oct 2011 A	300,000,000	2011/06/10	2011/10/31	60.00
12511	Credit Suisse AG - Tencent Holdings Euro Put Wts Sep 2011 D	300,000,000	2011/06/10	2011/09/12	45.00
12524	Citigroup Global Mkt H Inc. - Anhui Conch European Wts Dec11	80,000,000	2011/06/10	2011/12/12	20.00
12526	Citigroup Global Mkt H Inc. - Evergrande European Wts Oct11A	50,000,000	2011/06/10	2011/10/19	20.00
12525	Citigroup Global Mkt H Inc. - Li & Fung European Wts Feb 12	80,000,000	2011/06/10	2012/02/06	20.00
12523	Citigroup Global Mkt H Inc. - SPDR Gold T European Wts Dec11	50,000,000	2011/06/10	2011/12/12	20.00
12519	KBC Fin Products Int'l Ltd. - Ping An European Wts Dec 2011	80,000,000	2011/06/10	2011/12/12	43.20

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		發行單位	上市日期	到期日	
12520	KBC Fin Products Int'l Ltd. - Ping An European Wts Dec 2011A	80,000,000	2011/06/10	2011/12/22	26.40
12521	KBC Fin Products Int'l Ltd. - Ping An Euro Put Wts Dec 2011	68,000,000	2011/06/10	2011/12/12	41.48
12500	Macquarie Bank Ltd. - HSI European Warrants Mar 2012	300,000,000	2011/06/10	2012/03/29	75.00
12499	Macquarie Bank Ltd. - Lenovo European Warrants Jan 2012	128,000,000	2011/06/10	2012/01/04	32.13
12513	CC Rabobank B.A. - CMOC European Warrants Nov 2012	80,000,000	2011/06/10	2012/11/27	13.60
12514	CC Rabobank B.A. - Shineway Pharm European Warrants Feb 2012	80,000,000	2011/06/10	2012/02/27	24.00
12515	CC Rabobank B.A. - Henganintl European Warrants Dec 2011	80,000,000	2011/06/10	2011/12/12	47.20
12517	CC Rabobank B.A. - Poly HK Inv European Warrants Dec 2011	80,000,000	2011/06/10	2011/12/12	50.40
12516	CC Rabobank B.A. - Soho China European Warrants Dec 2011	80,000,000	2011/06/10	2011/12/12	50.40
12518	UBS AG - HSBC European Warrants Sep 2011 E	300,000,000	2011/06/10	2011/09/19	60.00
12541	Credit Suisse AG - Agricultural Bank European Wts Sep 2011 A	300,000,000	2011/06/13	2011/09/30	45.00
12527	Citigroup Global Mkt H Inc. - Agricultural Bk Eu Wt Dec 2011	80,000,000	2011/06/13	2011/12/13	28.00
12528	Citigroup Global Mkt H Inc. - Angang Steel European Wt Dec12	80,000,000	2011/06/13	2012/12/31	20.00
12535	KBC Fin Products Int'l Ltd. - Agricultural Bk Eu Wt Nov 2011	108,000,000	2011/06/13	2011/11/01	33.48
12529	Macquarie Bank Ltd. - Bank of EA European Warrants May 2012	200,000,000	2011/06/13	2012/05/03	50.00
12537	CC Rabobank B.A. - Air China European Warrants Dec 2012	80,000,000	2011/06/13	2012/12/17	20.00
12531	CC Rabobank B.A. - BEA European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/09	20.00
12538	CC Rabobank B.A. - Zoomlion European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/30	20.00
12532	CC Rabobank B.A. - LOccitane European Warrants Mar 2012	80,000,000	2011/06/13	2012/03/12	20.00
12539	CC Rabobank B.A. - Nine Dragons European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/16	58.40
12533	CC Rabobank B.A. - Shui On Land European Warrants Mar 2012	80,000,000	2011/06/13	2012/03/26	31.20
12534	CC Rabobank B.A. - Ting Yi European Warrants Dec 2011	80,000,000	2011/06/13	2011/12/19	20.00
12540	CC Rabobank B.A. - Winsway European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/30	49.60
12530	Standard Chartered Bank - CNBM European Warrants Jan 2012	100,000,000	2011/06/13	2012/01/06	25.00
12536	Standard Chartered Bank - HSBC European Warrants Dec 2015	200,000,000	2011/06/13	2015/12/07	50.00
12543	UBS AG - Agricultural Bank European Warrants Oct 2011 A	200,000,000	2011/06/13	2011/10/21	30.00
12542	UBS AG - China Mobile European Warrants Dec 2011 A	200,000,000	2011/06/13	2011/12/13	50.00
12548	BNP Paribas Arbit Issu B.V. - KECL European Warrants Mar 12	100,000,000	2011/06/14	2012/03/02	18.00
12546	UBS AG - China Life European Warrants Nov 2011 A	300,000,000	2011/06/14	2011/11/28	45.00
12547	UBS AG - SHK Ppt European Warrants Dec 2012	200,000,000	2011/06/14	2012/12/10	30.00
11782 #	Credit Suisse AG - HSI European Warrants Aug 2011 A	300,000,000	2011/06/14	2011/08/30	16.50
12567	BNP Paribas Arbit Issu B.V. - HSI European Wts Jan 2012	200,000,000	2011/06/15	2012/01/30	50.00
12569	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Oct 2011	200,000,000	2011/06/15	2011/10/28	36.00
12570	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Nov 2011	200,000,000	2011/06/15	2011/11/29	30.00
12568	BNP Paribas Arbit Issu B.V. - HSI European Wts Jan 2012 A	200,000,000	2011/06/15	2012/01/30	30.00
12580	Credit Suisse AG - BYD European Warrants Oct 2011 A	200,000,000	2011/06/15	2011/10/04	30.00
12577	Credit Suisse AG - China Unicom European Put Warrants Nov 11	200,000,000	2011/06/15	2011/11/25	30.00
12573	Credit Suisse AG - Cheung Kong European Warrants Apr 2012	300,000,000	2011/06/15	2012/04/23	45.00
12579	Credit Suisse AG - Zoomlion European Warrants Dec 2011	200,000,000	2011/06/15	2011/12/19	50.00
12574	Credit Suisse AG - HSBC European Wts Oct 2011	300,000,000	2011/06/15	2011/10/04	45.00
12572	Credit Suisse AG - HSI European Warrants Sep 2011 D	300,000,000	2011/06/15	2011/09/29	45.00
12582	Credit Suisse AG - HSI European Put Warrants Sep 2011 E	300,000,000	2011/06/15	2011/09/29	45.00
12575	Credit Suisse AG - Hutchison European Warrants Oct 2011 B	300,000,000	2011/06/15	2011/10/24	120.00
12576	Credit Suisse AG - KECL European Warrants Feb 2012	200,000,000	2011/06/15	2012/02/27	30.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12581	Credit Suisse AG - Lonking European Warrants Dec 2011	200,000,000	2011/06/15	2011/12/19	50.00
12578	Credit Suisse AG - PetroChina European Warrants Sep 2011	300,000,000	2011/06/15	2011/09/30	45.00
12565	HK Bank - BOCL European Warrants Dec 2011	100,000,000	2011/06/15	2011/12/15	25.00
12566	HK Bank - HSCEI European Put Warrants Dec 2011	150,000,000	2011/06/15	2011/12/29	37.50
12571	Macquarie Bank Ltd. - HSI European Put Warrants Nov 2011	300,000,000	2011/06/15	2011/11/29	45.00
12549	Merrill Lynch Int'l & Co. C.V. - BankComm Euro Wts Jan 2012	80,000,000	2011/06/15	2012/01/16	29.20
12550	Merrill Lynch Int'l & Co. C.V. - CM Bank Euro Wts Nov 2012	80,000,000	2011/06/15	2012/11/26	22.80
12551	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Dec 2011 A	80,000,000	2011/06/15	2011/12/20	20.00
12552	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eur Wts Apr 2012	80,000,000	2011/06/15	2012/04/30	20.00
12554	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Apr 2012	80,000,000	2011/06/15	2012/04/05	12.16
12553	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Dec 2011 C	80,000,000	2011/06/15	2011/12/09	12.08
12555	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Oct 2011C	80,000,000	2011/06/15	2011/10/11	12.16
12556	Merrill Lynch Int'l & Co. C.V. - ICBC European Wts Oct 2011D	80,000,000	2011/06/15	2011/10/10	17.68
12557	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Put Wts Mar 12	80,000,000	2011/06/15	2012/03/30	20.00
12558	CC Rabobank B.A. - Angang Steel European Warrants Jan 2012	100,000,000	2011/06/15	2012/01/31	75.00
12561	CC Rabobank B.A. - Ch Comm Cons European Warrants Jan 2012	100,000,000	2011/06/15	2012/01/16	65.00
12564	CC Rabobank B.A. - Ch State Con European Warrants Aug 2012	100,000,000	2011/06/15	2012/08/31	25.00
12563	CC Rabobank B.A. - GAC European Warrants Mar 2012	100,000,000	2011/06/15	2012/03/26	25.00
12559	CC Rabobank B.A. - Ch Rongsheng European Warrants Dec 2011 A	100,000,000	2011/06/15	2011/12/29	55.00
12562	CC Rabobank B.A. - Sands China European Warrants Jan 2012	100,000,000	2011/06/15	2012/01/30	25.00
12560	CC Rabobank B.A. - Wynn Macau European Warrants Mar 2012	100,000,000	2011/06/15	2012/03/30	47.00
12584	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Feb 2012	300,000,000	2011/06/15	2012/02/06	57.00
12585	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jul 12A	300,000,000	2011/06/15	2012/07/25	75.00
12586	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Oct 2012	300,000,000	2011/06/15	2012/10/15	75.00
12583	UBS AG - ICBC European Warrants Dec 2011	100,000,000	2011/06/15	2011/12/19	25.00
12604	Credit Suisse AG - Agricultural Bank European Wts Sep 2011 B	300,000,000	2011/06/16	2011/09/26	45.00
12603	Credit Suisse AG - CC Bank European Warrants Sep 2011 B	300,000,000	2011/06/16	2011/09/26	45.00
12606	Credit Suisse AG - GCL-Poly Energy European Warrants Sep 11	200,000,000	2011/06/16	2011/09/26	30.00
12601	Credit Suisse AG - HKEx European Warrants Nov 2011	300,000,000	2011/06/16	2011/11/21	45.00
12602	Credit Suisse AG - HKEx European Warrants Dec 2011	300,000,000	2011/06/16	2011/12/12	45.00
12598	Credit Suisse AG - HSI European Warrants Sep 2011 F	300,000,000	2011/06/16	2011/09/29	45.00
12599	Credit Suisse AG - HSI European Warrants Sep 2011 G	300,000,000	2011/06/16	2011/09/29	45.00
12600	Credit Suisse AG - HSI European Warrants Sep 2011 H	300,000,000	2011/06/16	2011/09/29	45.00
12608	Credit Suisse AG - HSI European Put Warrants Sep 2011 I	300,000,000	2011/06/16	2011/09/29	45.00
12605	Credit Suisse AG - ICBC European Warrants Sep 2011 A	300,000,000	2011/06/16	2011/09/26	45.00
12607	Credit Suisse AG - Tencent Holdings Euro Put Wts Nov 2011	300,000,000	2011/06/16	2011/11/07	45.00
12587	HK Bank - CHALCO European Warrants Sep 2013	120,000,000	2011/06/16	2013/09/25	18.00
12590	Macquarie Bank Ltd. - BJ Ent Water European Warrants Nov 11	200,000,000	2011/06/16	2011/11/09	30.00
12596	Macquarie Bank Ltd. - BYD European Warrants Oct 2011	100,000,000	2011/06/16	2011/10/04	15.00
12591	Macquarie Bank Ltd. - CC Bank European Warrants Dec 2011	200,000,000	2011/06/16	2011/12/16	69.20
12595	Macquarie Bank Ltd. - CC Bank European Put Warrants Dec 2011	200,000,000	2011/06/16	2011/12/16	50.00
12592	Macquarie Bank Ltd. - Ch Ship Cont European Warrants Jan 12	200,000,000	2011/06/16	2012/01/04	56.20
12594	Macquarie Bank Ltd. - Li & Fung European Warrants Oct 2012	200,000,000	2011/06/16	2012/10/03	50.20
12593	Macquarie Bank Ltd. - Maanshan Iron European Wts Dec 2011	200,000,000	2011/06/16	2011/12/16	55.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12588	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Nov 2011	200,000,000	2011/06/16	2011/11/28	36.00
12597	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2011 D	200,000,000	2011/06/16	2011/09/28	52.00
12589	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Oct 2011 D	200,000,000	2011/06/16	2011/10/03	60.00
12611	HK Bank - Agricultural Bank European Warrants Dec 2011	100,000,000	2011/06/17	2011/12/19	25.00
12612	Macquarie Bank Ltd. - BOCHK European Warrants Jun 2012	200,000,000	2011/06/17	2012/06/04	50.00
12613	Macquarie Bank Ltd. - CITIC Bank European Warrants Dec 2011	200,000,000	2011/06/17	2011/12/19	70.20
12614	Standard Chartered Bank - China Mobile Euro Wts Feb 2012	100,000,000	2011/06/17	2012/02/29	25.00
12616	Standard Chartered Bank - A50 China European Warrants Apr 12	100,000,000	2011/06/17	2012/04/20	19.50
12615	Standard Chartered Bank -YZ Coal European Warrants Mar 2012	100,000,000	2011/06/17	2012/03/02	25.00
12618	UBS AG - Agricultural Bank European Warrants Dec 2011	100,000,000	2011/06/17	2011/12/19	25.00
12617	UBS AG - BYD European Warrants Dec 2011 A	100,000,000	2011/06/17	2011/12/19	25.00
12619	UBS AG - Tencent Holdings European Warrants Oct 2011 D	200,000,000	2011/06/17	2011/10/31	30.00
12620	UBS AG - Tencent Holdings European Put Warrants Nov 2011 A	200,000,000	2011/06/17	2011/11/07	30.00
25670 #	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Oct 2011 A	300,000,000	2011/06/17	2011/10/03	18.30
12621	BOCI Asia Ltd. - BOCL European Warrants Jan 2012	100,000,000	2011/06/20	2012/01/16	25.00
12622	BOCI Asia Ltd. - CC Bank European Warrants Jan 2012	100,000,000	2011/06/20	2012/01/16	25.00
12623	BOCI Asia Ltd. - ICBC European Warrants Jan 2012	100,000,000	2011/06/20	2012/01/16	25.00
12627	BNP Paribas Arbit Issu B.V. - BYD European Warrants Jun 2012	90,000,000	2011/06/20	2012/06/04	22.50
12628	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Feb 2012	100,000,000	2011/06/20	2012/02/02	16.00
12626	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Sep12	100,000,000	2011/06/20	2012/09/04	18.00
12633	Credit Suisse - Cheung Kong European Put Warrants Dec 2011	200,000,000	2011/06/20	2011/12/20	50.00
12632	Credit Suisse AG - HSI European Warrants Feb 2012	500,000,000	2011/06/20	2012/02/28	125.00
12631	Credit Suisse AG - SHK Ppt European Warrants Dec 2011	200,000,000	2011/06/20	2011/12/20	50.00
12634	Credit Suisse AG - SHK Ppt European Put Warrants Dec 2011	200,000,000	2011/06/20	2011/12/20	50.00
12630	HK Bank - Zijin Mining European Warrants Dec 2011 A	80,000,000	2011/06/20	2011/12/29	20.00
12625	Macquarie Bank Ltd. - China Life European Put Wts Feb 2012 A	200,000,000	2011/06/20	2012/02/02	50.00
12638	Macquarie Bank Ltd. - CRCC European Warrants Jan 2012	200,000,000	2011/06/20	2012/01/04	50.00
12624	Macquarie Bank Ltd. - HSBC European Warrants Jan 2012	200,000,000	2011/06/20	2012/01/04	61.40
12639	Macquarie Bank Ltd. - Wharf European Warrants Jan 2012	200,000,000	2011/06/20	2012/01/04	56.20
12629	Standard Chartered Bank - CC Bank European Warrants Dec 11A	100,000,000	2011/06/20	2011/12/30	55.00
12642	Standard Chartered Bank - CNBM European Warrants May 2012	100,000,000	2011/06/20	2012/05/07	27.50
12641	Standard Chartered Bank - HKEx European Warrants Mar 2012	100,000,000	2011/06/20	2012/03/29	17.60
12640	Standard Chartered Bank - MTRC European Warrants Feb 2012	100,000,000	2011/06/20	2012/02/01	25.00
12635	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Dec 2011	180,000,000	2011/06/20	2011/12/21	52.20
12636	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2011 F	300,000,000	2011/06/20	2011/09/29	45.00
12637	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Nov 11A	300,000,000	2011/06/20	2011/11/29	45.00
11418 #	UBS AG - AIA European Warrants Nov 2011	200,000,000	2011/06/20	2011/11/28	19.60
12650	BOCI Asia Ltd. - BOC HK European Warrants Jul 2012	100,000,000	2011/06/21	2012/07/04	25.00
12652	BOCI Asia Ltd. - HKEx European Put Warrants Dec 2011	200,000,000	2011/06/21	2011/12/12	30.00
12653	BOCI Asia Ltd. - HSBC European Put Warrants Dec 2011	100,000,000	2011/06/21	2011/12/28	25.00
12651	BOCI Asia Ltd. - KECL European Warrants Feb 2012	100,000,000	2011/06/21	2012/02/29	15.00
12656	Macquarie Bank Ltd. - Hang Lung Ppt European Wts Apr 2012	200,000,000	2011/06/21	2012/04/03	50.40
12655	Macquarie Bank Ltd. - MTRC European Warrants Oct 2012	200,000,000	2011/06/21	2012/10/04	50.00
12657	Macquarie Bank Ltd. - Zijin Mining European Put Wts Feb 2012	200,000,000	2011/06/21	2012/02/02	50.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12643	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Dec 2011 A	80,000,000	2011/06/21	2011/12/28	13.92
12644	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Dec 2011 B	80,000,000	2011/06/21	2011/12/06	12.08
12647	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2011 A	80,000,000	2011/06/21	2011/11/14	13.44
12645	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2011 D	80,000,000	2011/06/21	2011/12/07	29.60
12646	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2011 E	80,000,000	2011/06/21	2011/12/06	19.04
12648	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Dec 2011 A	80,000,000	2011/06/21	2011/12/28	22.40
12649	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Feb 2012	80,000,000	2011/06/21	2012/02/21	20.00
12658	UBS AG - BOCL European Warrants Nov 2011	100,000,000	2011/06/21	2011/11/24	15.00
12654	UBS AG - China Unicom European Warrants Dec 2011	100,000,000	2011/06/21	2011/12/12	15.00
12660	UBS AG - HSI European Warrants Dec 2011	300,000,000	2011/06/21	2011/12/29	45.00
12659	UBS AG - ICBC European Warrants Dec 2011 A	100,000,000	2011/06/21	2011/12/28	25.00
11783 #	Credit Suisse AG - HSI European Warrants Aug 2011 B	300,000,000	2011/06/21	2011/08/30	12.00
12671	BNP Paribas Arbit Issu B.V. -Alibaba European Wts Oct 2012	100,000,000	2011/06/22	2012/10/04	25.00
12674	HK Bank - AIA European Warrants Mar 2012	150,000,000	2011/06/22	2012/03/26	37.50
12673	HK Bank - CC Bank European Warrants Nov 2011	120,000,000	2011/06/22	2011/11/23	30.00
12675	HK Bank - ICBC European Warrants Dec 2011	100,000,000	2011/06/22	2011/12/22	25.00
12672	HK Bank - Maanshan Iron European Warrants Dec 2011	80,000,000	2011/06/22	2011/12/28	20.00
12676	HK Bank - Zhaojin Mining European Warrants Feb 2012	100,000,000	2011/06/22	2012/02/14	25.00
12677	Macquarie Bank Ltd. - Galaxy Ent European Warrants Jan 2012	200,000,000	2011/06/22	2012/01/04	50.00
12662	Macquarie Bank Ltd. - HSCEI European Put Warrants Dec 2011 A	388,000,000	2011/06/22	2011/12/29	97.78
12661	Macquarie Bank Ltd. - HSI European Put Warrants Nov 2011 A	388,000,000	2011/06/22	2011/11/29	58.20
12663	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Jan12	80,000,000	2011/06/22	2012/01/10	20.40
12664	Merrill Lynch Int'l & Co. C.V. - AIA European Warrants Mar12	80,000,000	2011/06/22	2012/03/07	20.00
12669	Merrill Lynch Int'l & Co. C.V. - AIA European Put Wts Jan 12	80,000,000	2011/06/22	2012/01/30	20.00
12665	Merrill Lynch Int'l & Co. C.V. - BEA European Wts Jan 2012	80,000,000	2011/06/22	2012/01/09	21.20
12666	Merrill Lynch Int'l & Co. C.V. - BEA European Wts Mar 2012	80,000,000	2011/06/22	2012/03/12	20.40
12667	Merrill Lynch Int'l & Co. C.V. - BOC HK Euro Wts May 2012	80,000,000	2011/06/22	2012/05/07	20.80
12668	Merrill Lynch Int'l & Co. C.V. - China Railway Eu Wt Mar 12	80,000,000	2011/06/22	2012/03/12	27.20
12670	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2011 F	200,000,000	2011/06/22	2011/09/29	30.20
12681	Standard Chartered Bank - Tencent Hldg European Wts Nov 2011	100,000,000	2011/06/22	2011/11/30	18.00
12682	Standard Chartered Bank - Zijin Mining European Wts Dec11	100,000,000	2011/06/22	2011/12/30	25.00
12680	SGA Societe Generale Acceptance N.V. - CCoal Eur Wt Nov 2012	300,000,000	2011/06/22	2012/11/28	54.00
12679	SGA Societe Generale Acceptance N.V. - CMB Euro Wts Apr 2012	200,000,000	2011/06/22	2012/04/30	50.00
12678	SGA Societe Generale Acceptance N.V. - CPAir Eur Wt Jan 2012	80,000,000	2011/06/22	2012/01/30	15.20
12684	UBS AG - AIA European Warrants Dec 2011	100,000,000	2011/06/22	2011/12/05	15.00
12683	UBS AG - Sinopec Corp European Warrants Nov 2011	100,000,000	2011/06/22	2011/11/21	15.00
12685	UBS AG - HSI European Warrants Nov 2011 A	300,000,000	2011/06/22	2011/11/29	45.00
12696	BOCI Asia Ltd. - GCL-Poly Energy European Warrants Aug 2013	300,000,000	2011/06/23	2013/08/30	45.00
12722	Credit Suisse AG - BOCL European Warrants Dec 2011	200,000,000	2011/06/23	2011/12/05	30.00
12715	Credit Suisse AG - CNBM European Warrants Nov 2011	150,000,000	2011/06/23	2011/11/28	22.50
12721	Credit Suisse AG - EvergrandeEuropean Warrants Dec 2011	150,000,000	2011/06/23	2011/12/23	37.50
12720	Credit Suisse AG - HSBC European Wts Nov 2011	300,000,000	2011/06/23	2011/11/28	63.00
12713	Credit Suisse AG - Lenovo European Warrants Nov 2011	150,000,000	2011/06/23	2011/11/07	22.50
12714	Credit Suisse AG - PICC European Warrants Dec 2011	150,000,000	2011/06/23	2011/12/19	22.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12693	HK Bank - China COSCO European Warrants Mar 2012	80,000,000	2011/06/23	2012/03/09	40.00
12694	HK Bank - HSI European Warrants Sep 2011 A	200,000,000	2011/06/23	2011/09/29	30.00
12695	HK Bank - HSI European Warrants Dec 2011 G	200,000,000	2011/06/23	2011/12/29	50.00
12697	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Jan 2012	200,000,000	2011/06/23	2012/01/04	51.60
12699	Macquarie Bank Ltd. - Hunan Nonferrous European Wts Dec 2011	200,000,000	2011/06/23	2011/12/23	52.00
12698	Macquarie Bank Ltd. - ZTE European Warrants Dec 2011	200,000,000	2011/06/23	2011/12/23	50.40
12688	Merrill Lynch Int'l & Co. C.V. - Ch Comm Cons Eu Wt Nov 2013	80,000,000	2011/06/23	2013/11/26	13.44
12687	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Apr 2012	80,000,000	2011/06/23	2012/04/26	20.00
12686	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Nov 2012	80,000,000	2011/06/23	2012/11/21	20.00
12691	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Dec 2011 D	80,000,000	2011/06/23	2011/12/16	12.32
12689	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Feb 2012	80,000,000	2011/06/23	2012/02/06	49.60
12692	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2011 D	200,000,000	2011/06/23	2011/11/29	30.80
12690	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Nov 2011	80,000,000	2011/06/23	2011/11/14	24.00
12703	Nomura Int'l plc - HSI European Warrants Sep 2011	200,000,000	2011/06/23	2011/09/29	30.20
12705	Nomura Int'l plc - HSI European Warrants Oct 2011	200,000,000	2011/06/23	2011/10/28	30.60
12707	Nomura Int'l plc - HSI European Warrants Nov 2011	200,000,000	2011/06/23	2011/11/29	30.00
12708	Nomura Int'l plc - HSI European Put Warrants Sep 2011	200,000,000	2011/06/23	2011/09/29	41.60
12711	Nomura Int'l plc - HSI European Put Warrants Oct 2011	200,000,000	2011/06/23	2011/10/28	39.00
12712	Nomura Int'l plc - HSI European Put Warrants Dec 2011	200,000,000	2011/06/23	2011/12/29	31.40
12704	Nomura Int'l plc - HSI European Warrants Sep 2011 A	200,000,000	2011/06/23	2011/09/29	30.20
12706	Nomura Int'l plc - HSI European Warrants Oct 2011 A	200,000,000	2011/06/23	2011/10/28	30.40
12709	Nomura Int'l plc - HSI European Put Warrants Sep 2011 B	200,000,000	2011/06/23	2011/09/29	31.00
12710	Nomura Int'l plc - HSI European Put Warrants Sep 2011 C	200,000,000	2011/06/23	2011/09/29	31.60
12716	Standard Chartered Bank - HSBC European Warrants Sep 2011 D	100,000,000	2011/06/23	2011/09/23	17.80
12718	Standard Chartered Bank - ICBC European Warrants Oct 2011	100,000,000	2011/06/23	2011/10/10	18.00
12717	Standard Chartered Bank - ICBC European Warrants Sep 2011 C	100,000,000	2011/06/23	2011/09/26	25.00
12719	Standard Chartered Bank - ICBC European Warrants Dec 2011 A	100,000,000	2011/06/23	2011/12/28	25.00
12701	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jan 2012	200,000,000	2011/06/23	2012/01/09	52.00
12700	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Nov 2011	200,000,000	2011/06/23	2011/11/30	52.00
12702	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jan 2012	200,000,000	2011/06/23	2012/01/09	54.00
12723	UBS AG - HKEx European Warrants Dec 2011 D	300,000,000	2011/06/23	2011/12/22	45.00
12725	UBS AG - SPDR Gold Trust European Warrants Dec 2011	100,000,000	2011/06/23	2011/12/23	25.00
12724	UBS AG - Tencent Holdings European Warrants Dec 2011	200,000,000	2011/06/23	2011/12/12	30.00
11380 #	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Mar 2012	300,000,000	2011/06/23	2012/03/21	14.10
29060 #	Standard Chartered Bank-China Life European Wts Nov 2011	230,000,000	2011/06/23	2011/11/04	10.35
12764	Credit Suisse AG - HKEx European Warrants Dec 2011 A	300,000,000	2011/06/24	2011/12/20	45.00
12768	Credit Suisse AG - HSI European Put Warrants Oct 2011	500,000,000	2011/06/24	2011/10/28	75.00
12765	Credit Suisse AG - HSI European Warrants Sep 2011 J	500,000,000	2011/06/24	2011/09/29	75.00
12766	Credit Suisse AG - HSI European Warrants Sep 2011 K	500,000,000	2011/06/24	2011/09/29	75.00
12767	Credit Suisse AG - HSI European Warrants Sep 2011 L	500,000,000	2011/06/24	2011/09/29	75.00
12761	Credit Suisse AG - Tencent Holdings European Wts Sep 2011 E	300,000,000	2011/06/24	2011/09/30	45.00
12732	HK Bank - Angang Steel European Warrants Jul 2013	80,000,000	2011/06/24	2013/07/30	20.00
12734	HK Bank - China Mobile European Warrants Nov 2011	150,000,000	2011/06/24	2011/11/14	37.50
12733	HK Bank - China Life European Warrants Jan 2012	150,000,000	2011/06/24	2012/01/04	27.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12742	HK Bank - China Life European Put Warrants Mar 2012	150,000,000	2011/06/24	2012/03/16	37.50
12735	HK Bank - Geely Auto European Warrants Jun 2012	80,000,000	2011/06/24	2012/06/27	22.40
12740	HK Bank - HSI European Warrants Sep 2013	250,000,000	2011/06/24	2013/09/27	62.50
12741	HK Bank - HSI European Warrants Jun 2014	250,000,000	2011/06/24	2014/06/27	62.50
12744	HK Bank - HSI European Put Warrants Dec 2013	250,000,000	2011/06/24	2013/12/30	62.50
12736	HK Bank - Shimao Ppt European Warrants Dec 2013	80,000,000	2011/06/24	2013/12/30	20.00
12737	HK Bank - SJM Holdings European Warrants Dec 2011	80,000,000	2011/06/24	2011/12/28	20.00
12738	HK Bank - Tencent European Warrants Jan 2012	150,000,000	2011/06/24	2012/01/20	37.50
12743	HK Bank - Tencent European Put Warrants Mar 2012	150,000,000	2011/06/24	2012/03/09	37.50
12739	HK Bank - ZTE European Warrants Aug 2012	80,000,000	2011/06/24	2012/08/16	20.00
12730	Macquarie Bank Ltd. -CHALCO European Put Warrants Jan 2012	200,000,000	2011/06/24	2012/01/04	56.40
12731	Macquarie Bank Ltd. - BankComm European Put Wts Jan 2012	200,000,000	2011/06/24	2012/01/04	51.00
12745	Macquarie Bank Ltd. - Sinopec Corp European Wts Jan 2012	200,000,000	2011/06/24	2012/01/04	84.00
12726	Macquarie Bank Ltd. - HKEx European Warrants Jan 2013	200,000,000	2011/06/24	2013/01/03	50.00
12727	Macquarie Bank Ltd. - HKEx European Put Warrants Jan 2012	200,000,000	2011/06/24	2012/01/04	66.20
12728	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Nov 2011 E	200,000,000	2011/06/24	2011/11/29	30.40
12729	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2011 G	200,000,000	2011/06/24	2011/10/28	30.00
12756	Nomura Int'l plc - AIA European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/05	15.00
12758	Nomura Int'l plc - BOC HK European Warrants May 2012	100,000,000	2011/06/24	2012/05/30	16.70
12753	Nomura Int'l plc - CC Bank European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/16	26.40
12760	Nomura Int'l plc - CC Bank European Put Warrants Dec 2011	100,000,000	2011/06/24	2011/12/16	26.70
12754	Nomura Int'l plc - CITIC Bank European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/16	25.00
12746	Nomura Int'l plc - Cheung Kong European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/05	28.00
12759	Nomura Int'l plc - China Life European Warrants Dec 2011 A	100,000,000	2011/06/24	2011/12/05	15.00
12757	Nomura Int'l plc - Minsheng Bank European Warrants Oct 2011	100,000,000	2011/06/24	2011/10/10	15.00
12752	Nomura Int'l plc - CNOOC European Warrants Nov 2011 A	100,000,000	2011/06/24	2011/11/30	15.00
12750	Nomura Int'l plc - Sinopec European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/28	30.00
12751	Nomura Int'l plc - HKEx European Warrants Apr 2012	100,000,000	2011/06/24	2012/04/11	15.00
12747	Nomura Int'l plc - HSBC European Warrants Jan 2012	100,000,000	2011/06/24	2012/01/04	25.80
12748	Nomura Int'l plc - Hutchison European Warrants Nov 2011 A	100,000,000	2011/06/24	2011/11/11	20.50
12749	Nomura Int'l plc - JIANG European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/28	26.50
12755	Nomura Int'l plc - Yanzhou Coal European Warrants Oct 2011 A	100,000,000	2011/06/24	2011/10/14	15.00
12770	UBS AG - China Mobile European Warrants Nov 2011	200,000,000	2011/06/24	2011/11/14	30.00
12769	UBS AG - China Life European Warrants Dec 2011	300,000,000	2011/06/24	2011/12/28	75.00
12763	UBS AG - A50 China European Warrants Feb 2012	200,000,000	2011/06/24	2012/02/01	30.00
12762	UBS AG - HSBC European Warrants Nov 2011	200,000,000	2011/06/24	2011/11/28	40.00
12772	UBS AG - HSI European Warrants Dec 2011 A	300,000,000	2011/06/24	2011/12/29	75.00
12773	UBS AG - HSI European Put Warrants Oct 2011 A	300,000,000	2011/06/24	2011/10/28	45.00
12771	UBS AG - Hutchison European Warrants Dec 2011	300,000,000	2011/06/24	2011/12/28	132.00
12784	HK Bank - Alibaba European Warrants Dec 2012	120,000,000	2011/06/27	2012/12/28	30.00
12785	HK Bank -BYD European Warrants Aug 2012	100,000,000	2011/06/27	2012/08/13	25.00
12789	HK Bank - C Transmission European Warrants Feb 2012	80,000,000	2011/06/27	2012/02/10	20.00
12786	HK Bank - Minsheng Bank European Warrants Dec 2011	80,000,000	2011/06/27	2011/12/28	21.60
12790	HK Bank - Ch Ship Cont European Warrants Apr 2012	80,000,000	2011/06/27	2012/04/10	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12787	HK Bank - China Shenhua European Warrants Dec 2011	120,000,000	2011/06/27	2011/12/28	30.00
12788	HK Bank - China Shenhua European Warrants Oct 2012	150,000,000	2011/06/27	2012/10/18	37.50
12791	HK Bank - Evergrande European Warrants Mar 2012	80,000,000	2011/06/27	2012/03/29	36.00
12792	HK Bank - Foxconn European Warrants Apr 2012 A	80,000,000	2011/06/27	2012/04/23	22.40
12794	Macquarie Bank Ltd. - Esprit European Warrants Jan 2012 A	200,000,000	2011/06/27	2012/01/04	51.00
12782	Macquarie Bank Ltd. - HSBC European Put Warrants Jan 2012	200,000,000	2011/06/27	2012/01/04	50.20
12781	Macquarie Bank Ltd. - PetroChina European Wts Jan 2012	200,000,000	2011/06/27	2012/01/04	51.80
12795	Macquarie Bank Ltd. - SHK Ppt European Warrants Jan 2012	200,000,000	2011/06/27	2012/01/04	51.60
12783	Macquarie Bank Ltd. - Tencent European Put Warrants May 2012	200,000,000	2011/06/27	2012/05/31	51.80
12774	Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Nov 2011	80,000,000	2011/06/27	2011/11/17	12.08
12775	Merrill Lynch Int'l & Co. C.V. - BOCL European Wts Dec 2011	80,000,000	2011/06/27	2011/12/01	12.08
12793	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Nov 2011 A	80,000,000	2011/06/27	2011/11/21	12.56
12776	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Dec 2011	80,000,000	2011/06/27	2011/12/14	12.16
12777	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Feb 2012	80,000,000	2011/06/27	2012/02/14	20.00
12778	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2011 B	80,000,000	2011/06/27	2011/11/29	32.80
12780	Merrill Lynch Int'l & Co. C.V. - HSCEI European Wts Jan 2012	100,000,000	2011/06/27	2012/01/30	25.00
12779	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Dec 2011	80,000,000	2011/06/27	2011/12/30	36.00
12796	Standard Chartered Bank - China Unicom European Wts Feb12	100,000,000	2011/06/27	2012/02/02	25.00
12797	Standard Chartered Bank-China Life European Wts Jan 2012	100,000,000	2011/06/27	2012/01/04	25.00
12798	Standard Chartered Bank - GCL-Poly Energy European Wts Jul14	100,000,000	2011/06/27	2014/07/31	25.00
12799	UBS AG - BYD European Warrants Dec 2011 B	100,000,000	2011/06/27	2011/12/14	15.00
12800	UBS AG - CC Bank European Warrants Dec 2011 A	200,000,000	2011/06/27	2011/12/09	50.00
12801	UBS AG - HKEx European Warrants Dec 2011 E	300,000,000	2011/06/27	2011/12/01	45.00
12802	UBS AG - Hutchison European Warrants Dec 2011 A	300,000,000	2011/06/27	2011/12/28	129.00
12811	Credit Suisse AG - CNOOC European Warrants Oct 2011 B	300,000,000	2011/06/28	2011/10/24	45.00
12803	HK Bank - Sinopec Corp European Warrants Dec 2011	100,000,000	2011/06/28	2011/12/28	25.00
12807	Macquarie Bank Ltd. - Angang Steel European Wts Jan 2012	200,000,000	2011/06/28	2012/01/04	50.60
12808	Macquarie Bank Ltd. - HSCEI European Warrants Feb 2012	388,000,000	2011/06/28	2012/02/28	97.78
12809	Macquarie Bank Ltd. - HSI European Warrants Dec 2011	388,000,000	2011/06/28	2011/12/29	64.41
12810	Macquarie Bank Ltd. - HSI European Put Warrants Dec 2011 A	388,000,000	2011/06/28	2011/12/29	64.80
12806	Standard Chartered Bank - CC Bank European Warrants Nov 11A	100,000,000	2011/06/28	2011/11/23	24.70
12804	Standard Chartered Bank - Sinopec Corp European Wts May 2012	100,000,000	2011/06/28	2012/05/30	93.00
12805	Standard Chartered Bank - Tencent Hldg European Wts Dec 2011	100,000,000	2011/06/28	2011/12/12	27.00
12812	UBS AG - CC Bank European Warrants Dec 2011 B	200,000,000	2011/06/28	2011/12/23	68.00
29168 #	Citigroup Global Mkt H Inc. - CNOOC European Wts Oct 2011 B	120,000,000	2011/06/28	2011/10/25	11.16
12820	BNP Paribas Arbit Issu B.V. - Henganintl Euro Wts Apr 2016	100,000,000	2011/06/29	2016/04/05	25.00
12822	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2015	200,000,000	2011/06/29	2015/12/02	32.00
12821	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2012 A	200,000,000	2011/06/29	2012/01/04	50.00
12823	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Jan 2014	200,000,000	2011/06/29	2014/01/03	30.00
12817	HK Bank - HSI European Warrants Dec 2011 H	200,000,000	2011/06/29	2011/12/29	50.00
12819	HK Bank - HSI European Warrants Dec 2011 I	250,000,000	2011/06/29	2011/12/29	62.50
12818	HK Bank - HSI European Warrants Dec 2011 J	200,000,000	2011/06/29	2011/12/29	50.00
12816	HK Bank - HWL European Warrants Dec 2013	200,000,000	2011/06/29	2013/12/16	30.00
12813	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Jan 2012	80,000,000	2011/06/29	2012/01/03	12.08

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12814	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Dec 2012 A	80,000,000	2011/06/29	2012/12/17	12.16
12815	Merrill Lynch Int'l & Co. C.V. - Tencent Eur Put Wt Feb 2012	80,000,000	2011/06/29	2012/02/20	20.00
12838	Barclays Bank plc - China Mobile European Wts Jan 2012	100,000,000	2011/06/30	2012/01/26	25.00
12837	Barclays Bank plc - CC Bank European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	30.50
12840	Barclays Bank plc - China Life European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	25.10
12836	Barclays Bank plc - CNOOC European Warrants Jul 2012 A	100,000,000	2011/06/30	2012/07/03	25.00
12834	Barclays Bank plc - HSBC European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	25.20
12835	Barclays Bank plc - Hutchison European Warrants Nov 2011	100,000,000	2011/06/30	2011/11/10	27.50
12839	Barclays Bank plc - ICBC European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	25.00
12824	BNP Paribas Arbit Issu B.V. - HK Gas European Warrants Sep12	95,000,000	2011/06/30	2012/09/04	23.75
12831	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Jun12	80,000,000	2011/06/30	2012/06/11	20.00
12832	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants May13	80,000,000	2011/06/30	2013/05/20	20.00
12828	Merrill Lynch Int'l & Co. C.V. - HS Bank Euro Wts May 2012	80,000,000	2011/06/30	2012/05/21	22.80
12825	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Feb 2012	80,000,000	2011/06/30	2012/02/15	26.40
12826	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Mar 2012	80,000,000	2011/06/30	2012/03/12	24.00
12827	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Apr 2012	80,000,000	2011/06/30	2012/04/16	20.40
12830	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt May 2013	80,000,000	2011/06/30	2013/05/13	20.00
12833	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Dec 2013	80,000,000	2011/06/30	2013/12/11	20.00
12829	Merrill Lynch Int'l & Co. C.V. - Hutchison Euro Wts Jun 2012	80,000,000	2011/06/30	2012/06/18	26.80
12845	Standard Chartered Bank - BOCL European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/30	29.00
12844	Standard Chartered Bank - China Mobile Euro Wts Nov 2011	100,000,000	2011/06/30	2011/11/30	39.00
12841	UBS AG - CPIC European Warrants Dec 2011	100,000,000	2011/06/30	2011/12/30	25.00
12843	UBS AG - HSI European Warrants Dec 2011 B	300,000,000	2011/06/30	2011/12/29	45.00
12842	UBS AG - Tencent Holdings European Warrants Nov 2011	200,000,000	2011/06/30	2011/11/21	30.00
11337 #	Merrill Lynch Int'l & Co. C.V. - CNOOC European Wts Oct 2011	200,000,000	2011/06/30	2011/10/28	10.20
22971 #	Credit Suisse AG - HSBC European Wts Dec 2011	600,000,000	2011/06/30	2011/12/12	21.60
總額					59,695.48

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2010	第2季	–	1.22
	第3季	–	0.05
	第4季	–	0.10
2011	第1季	–	1.96
	第2季	–	0.60

債券面值

		發行數目	面值(百萬港元)
2010	第2季	158	426,338.89
	第3季	160	440,113.92
	第4季	169	488,418.71
2011	第1季	170	506,219.59
	第2季	173	523,451.54

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2010	第2季	6	28,133.65
	第3季	7	21,025.02
	第4季	16	60,126.80
2011	第1季	7	24,958.35
	第2季	13	43,572.09

主板 債務證券

新上市債券

2011年第2季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
86003	HKCG (Finance) Ltd 1.40% 人民幣債券2016年	RMB 1,000,000,000	2011/04/11	2016/04/11	1,188.50
04509	香港按揭證券有限公司浮動利率票據2013年	USD 450,000,000	2011/04/18	2013/04/15	3,504.35
04510	中信泰富有限公司6.625%票據2021年	USD 500,000,000	2011/04/18	2021/04/15	3,900.00
04511	中信泰富有限公司7.875%後償永久資本債券	USD 750,000,000	2011/04/18	Perpetual	5,850.00
04512	CNPC (HK) Overseas Capital 3.125% 有擔保優先票據2016年	USD 700,000,000	2011/04/29	2016/04/28	5,403.65
04513	CNPC (HK) Overseas Capital 4.50% 有擔保優先票據2021年	USD 650,000,000	2011/04/29	2021/04/28	4,954.25
04514	CNPC (HK) Overseas Capital 5.95% 有擔保優先票據2041年	USD 500,000,000	2011/04/29	2041/04/28	3,814.67
04515	中國匯源果汁集團有限公司4% 可換股債券2016年	USD 150,000,000	2011/05/03	2016/04/29	1,170.00
04206 #	中華人民共和國香港特別行政區 政府1.43%債券2015年	HKD 2,500,000,000	2011/05/05	2015/12/02	2,477.00
04516	CRP East Foundation 後償永久有擔保資本債券	USD 750,000,000	2011/05/11	Perpetual	5,850.00
04517	中國電力國際發展有限公司2.25%美元 可換股債券2016年	RMB 982,000,000	2011/05/17	2016/05/17	1,174.96
04014	香港金融管理局0.59%外匯基金票據2013年	HKD 1,200,000,000	2011/05/19	2013/05/20	1,202.40
04191 #	香港金融管理局2.46%外匯基金票據2014年	HKD 1,200,000,000	2011/06/13	2014/06/23	1,264.80
04015	香港金融管理局1.57%外匯基金票據2016年	HKD 1,000,000,000	2011/06/14	2016/06/13	1,011.90
04016	香港金融管理局2.31%外匯基金票據2021年	HKD 800,000,000	2011/06/21	2021/06/21	805.60
總額					43,572.09

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比 (百萬港元)
2010	第2季	136,150.74	10,809.77	176.89	147,137.40	3.94
	第3季	137,121.96	10,860.01	182.56	148,164.53	3.77
	第4季	222,632.25	13,000.51	234.47	235,867.23	4.29
2011	第1季	158,281.12	13,623.13	220.01	172,124.26	3.67
	第2季	127,347.26	16,652.86	105.65	144,105.78	3.45

註： 1. 由2010年11月29日起成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF)		房地產投資 信託基金 (REIT)		其他		合計	
		發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)
2010	第2季	62	584,399.19	8	82,806.33	2	2,617.20	72	669,822.73
	第3季	66	647,219.69	8	95,248.94	2	2,972.33	76	745,440.96
	第4季	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	第1季	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
	第2季	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額(百萬港元)
2010	第2季	2	—
	第3季	4	—
	第4季	3	—
2011	第1季	3	—
	第2季	5	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2011年第2季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
03088	匯豐MSCI金龍ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03000	匯豐MSCI香港ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03033	匯豐MSCI中國ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03083	匯豐MSCI台灣ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
87001	匯賢產業信託	Hui Xian Asset Management Ltd.	人民幣5.24	人民幣10,480.00 (約港元12,507.80)	2011/04/29

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2010	第2季	1,020	43,060.09	299,639.52
	第3季	974	40,872.16	351,106.38
	第4季	1,064	49,816.76	514,510.75
2011	第1季	974	43,399.41	367,097.05
	第2季	711	32,550.52	325,829.26

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2010	第2季	1,473	74,636.30
	第3季	1,369	73,903.10
	第4季	1,813	107,647.20
2011	第1季	1,449	80,829.55
	第2季	931	54,073.65

主板 可收回牛熊證

新上市牛熊證

2011年第2季

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63545	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 N	200,000,000	2011/04/01	2011/12/29	50.00
63546	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Z	200,000,000	2011/04/01	2011/12/29	50.00
63537	Credit Suisse AG - HSI R Bull CBBC Oct 2011 M	210,000,000	2011/04/01	2011/10/28	52.50
63538	Credit Suisse AG - HSI R Bull CBBC Oct 2011 N	210,000,000	2011/04/01	2011/10/28	52.50
63536	Credit Suisse AG - HSI R Bear CBBC Jul 2011 G	210,000,000	2011/04/01	2011/07/28	52.50
63549	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 E	80,000,000	2011/04/01	2011/09/20	20.00
63542	Deutsche Bank AG - HSCEI R Bull CBBC Oct 2011 A	80,000,000	2011/04/01	2011/10/28	20.00
63543	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/04/01	2011/10/28	75.00
63544	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 R	300,000,000	2011/04/01	2011/11/29	81.00
63547	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 Y	208,000,000	2011/04/01	2011/07/28	52.00
63548	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 I	248,000,000	2011/04/01	2011/08/30	62.00
63533	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11G	300,000,000	2011/04/01	2011/08/30	75.00
63539	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11S	300,000,000	2011/04/01	2011/08/30	75.00
63540	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Z	300,000,000	2011/04/01	2011/08/30	75.00
63534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11M	300,000,000	2011/04/01	2011/07/28	75.00
63541	UBS AG - HSI R Bull CBBC Jul 2011 P	300,000,000	2011/04/01	2011/07/28	75.00
63535	UBS AG - HSI R Bear CBBC Aug 2011 I	300,000,000	2011/04/01	2011/08/30	75.00
63550	Credit Suisse AG - A50 China R Bull CBBC Aug 2011 B	100,000,000	2011/04/04	2011/08/29	25.00
63551	Credit Suisse AG - HSI R Bear CBBC Jul 2011 H	210,000,000	2011/04/04	2011/07/28	52.50
63562	Deutsche Bank AG - DJIA R Bull CBBC Dec 2011 C	80,000,000	2011/04/04	2011/12/19	20.00
63553	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 G	300,000,000	2011/04/04	2011/12/29	75.00
63554	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 W	300,000,000	2011/04/04	2011/08/30	75.00
63555	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 V	300,000,000	2011/04/04	2011/09/29	84.00
63556	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 J	300,000,000	2011/04/04	2011/10/28	90.00
63557	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 S	300,000,000	2011/04/04	2011/11/29	99.00
63558	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 T	300,000,000	2011/04/04	2011/11/29	105.00
63560	UBS AG - CC Bank R Bear CBBC Sep 2011 B	300,000,000	2011/04/04	2011/09/12	75.00
63552	UBS AG - HSI R Bull CBBC Jul 2011 M	300,000,000	2011/04/04	2011/07/28	75.00
63559	UBS AG - HSI R Bull CBBC Sep 2011 I	300,000,000	2011/04/04	2011/09/29	75.00
63561	UBS AG - HSI R Bear CBBC Jul 2011 A	300,000,000	2011/04/04	2011/07/28	75.00
67262 #	Credit Suisse AG - Hutchison R Bull CBBC Jun 2011 B	200,000,000	2011/04/04	2011/06/07	21.20
63567	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 U	200,000,000	2011/04/06	2011/07/28	50.00
63563	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 R	300,000,000	2011/04/06	2011/08/30	75.00
63564	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 X	300,000,000	2011/04/06	2011/09/29	114.00
63565	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 U	300,000,000	2011/04/06	2011/11/29	108.00
63566	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 V	300,000,000	2011/04/06	2011/11/29	126.00
63569	UBS AG - HSI R Bear CBBC Jul 2011 O	300,000,000	2011/04/06	2011/07/28	75.00
63568	UBS AG - TCH R Bear CBBC Jul 2011 D	100,000,000	2011/04/06	2011/07/25	25.00
63592	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 A	200,000,000	2011/04/07	2011/12/29	50.00
63590	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/04/07	2011/12/29	50.00
63593	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 X	200,000,000	2011/04/07	2011/12/29	50.00
63589	Credit Suisse AG - HSI R Bull CBBC Sep 2011 H	210,000,000	2011/04/07	2011/09/29	52.50
63587	Credit Suisse AG - HSI R Bear CBBC Jul 2011 Q	210,000,000	2011/04/07	2011/07/28	52.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63603	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 Z	300,000,000	2011/04/07	2011/07/28	81.00
63604	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 X	300,000,000	2011/04/07	2011/08/30	75.00
63583	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 H	300,000,000	2011/04/07	2011/12/29	105.00
63582	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 I	300,000,000	2011/04/07	2011/10/28	75.00
63597	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 U	200,000,000	2011/04/07	2011/07/28	50.00
63598	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 V	200,000,000	2011/04/07	2011/07/28	50.00
63602	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 W	200,000,000	2011/04/07	2011/07/28	50.00
63596	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2011 C	248,000,000	2011/04/07	2011/09/29	62.00
63570	SGA Societe Generale Acceptance N.V. - CMob R Bull CBBC Sep11A	300,000,000	2011/04/07	2011/09/26	75.00
63574	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Sep11A	150,000,000	2011/04/07	2011/09/26	37.50
63571	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC Sep11A	150,000,000	2011/04/07	2011/09/26	48.00
63572	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC Sep11B	150,000,000	2011/04/07	2011/09/26	37.50
63573	SGA Societe Generale Acceptance N.V. - HSBC R Bear CBBC Sep11A	300,000,000	2011/04/07	2011/09/26	75.00
63586	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11W	300,000,000	2011/04/07	2011/08/30	75.00
63585	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11X	300,000,000	2011/04/07	2011/08/30	75.00
63580	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/04/07	2011/08/30	75.00
63579	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/04/07	2011/08/30	75.00
63575	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Sep11A	100,000,000	2011/04/07	2011/09/26	28.00
63577	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Sep11B	100,000,000	2011/04/07	2011/09/26	39.00
63594	UBS AG - HSI R Bull CBBC Jul 2011 F	300,000,000	2011/04/07	2011/07/28	75.00
63595	UBS AG - HSI R Bull CBBC Aug 2011 J	300,000,000	2011/04/07	2011/08/30	75.00
63581	UBS AG - HSI R Bear CBBC Aug 2011 K	300,000,000	2011/04/07	2011/08/30	75.00
63611	Credit Suisse AG - HSI R Bull CBBC Sep 2011 E	210,000,000	2011/04/08	2011/09/29	52.50
63606	Credit Suisse AG - HSI R Bull CBBC Oct 2011 O	210,000,000	2011/04/08	2011/10/28	52.50
63605	Credit Suisse AG - HSI R Bear CBBC Jul 2011 S	210,000,000	2011/04/08	2011/07/28	52.50
63609	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 W	300,000,000	2011/04/08	2011/11/29	75.00
63613	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 D	250,000,000	2011/04/08	2011/08/30	62.50
63614	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 E	250,000,000	2011/04/08	2011/08/30	62.50
63616	UBS AG - HSI R Bull CBBC Jul 2011 S	300,000,000	2011/04/08	2011/07/28	75.00
63612	UBS AG - HSI R Bear CBBC Jul 2011 K	300,000,000	2011/04/08	2011/07/28	75.00
63667	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 P	200,000,000	2011/04/11	2011/12/29	50.00
63666	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/04/11	2011/12/29	50.00
63665	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/04/11	2011/12/29	50.00
63640	Credit Suisse AG - China Mobile R Bull CBBC Sep 2011 A	100,000,000	2011/04/11	2011/09/26	25.00
63645	Credit Suisse AG - China Mobile R Bear CBBC Sep 2011 B	100,000,000	2011/04/11	2011/09/26	25.00
63651	Credit Suisse AG - HSI R Bull CBBC Oct 2011 P	210,000,000	2011/04/11	2011/10/28	52.50
63652	Credit Suisse AG - HSI R Bull CBBC Oct 2011 Q	210,000,000	2011/04/11	2011/10/28	52.50
63619	Credit Suisse AG - HSI R Bull CBBC Nov 2011 D	210,000,000	2011/04/11	2011/11/29	52.50
63620	Credit Suisse AG - HSI R Bear CBBC Jul 2011 A	210,000,000	2011/04/11	2011/07/28	52.50
63643	Credit Suisse AG - Hutchison R Bear CBBC Aug 2011 A	100,000,000	2011/04/11	2011/08/29	25.00
63621	Credit Suisse AG - TCH R Bull CBBC Sep 2011 A	100,000,000	2011/04/11	2011/09/26	34.00
63644	Credit Suisse AG - TCH R Bear CBBC Aug 2011 C	100,000,000	2011/04/11	2011/08/29	25.00
63639	Deutsche Bank AG - China Life R Bull CBBC Sep 2011 D	80,000,000	2011/04/11	2011/09/27	20.00
63631	Deutsche Bank AG - HSCEI R Bull CBBC Nov 2011 A	80,000,000	2011/04/11	2011/11/29	20.00
63636	Deutsche Bank AG - HSCEI R Bear CBBC Oct 2011 B	80,000,000	2011/04/11	2011/10/28	23.20

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63676	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 Q	300,000,000	2011/04/11	2011/07/28	78.00
63677	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 Z	300,000,000	2011/04/11	2011/08/30	75.00
63637	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 X	300,000,000	2011/04/11	2011/11/29	75.00
63678	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 L	300,000,000	2011/04/11	2011/07/28	75.00
63638	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 Y	300,000,000	2011/04/11	2011/11/29	75.00
63626	HK Bank - HSI R Bull CBBC Sep 2011 L	100,000,000	2011/04/11	2011/09/29	25.00
63669	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 Z	208,000,000	2011/04/11	2011/07/28	52.00
63675	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 J	248,000,000	2011/04/11	2011/08/30	62.00
63615	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Y	300,000,000	2011/04/11	2011/08/30	75.00
63617	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11J	300,000,000	2011/04/11	2011/07/28	75.00
63653	UBS AG - ABC R Bull CBBC Sep 2011 B	100,000,000	2011/04/11	2011/09/12	25.00
63662	UBS AG - ABC R Bear CBBC Sep 2011 C	100,000,000	2011/04/11	2011/09/26	25.00
63664	UBS AG - CNOOC R Bear CBBC Sep 2011 A	100,000,000	2011/04/11	2011/09/12	25.00
63655	UBS AG - HSI R Bull CBBC Jul 2011 J	300,000,000	2011/04/11	2011/07/28	75.00
63658	UBS AG - HSI R Bull CBBC Jul 2011 Y	300,000,000	2011/04/11	2011/07/28	75.00
63661	UBS AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/04/11	2011/08/30	75.00
63650	UBS AG - HSI R Bear CBBC Jul 2011 E	300,000,000	2011/04/11	2011/07/28	75.00
63646	UBS AG - HSI R Bear CBBC Aug 2011 L	300,000,000	2011/04/11	2011/08/30	75.00
63649	UBS AG - HSI R Bear CBBC Sep 2011 J	300,000,000	2011/04/11	2011/09/29	75.00
63708	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 H	200,000,000	2011/04/12	2011/09/29	50.00
63709	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 I	200,000,000	2011/04/12	2011/09/29	50.00
63710	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 M	200,000,000	2011/04/12	2011/12/29	50.00
63725	Credit Suisse AG - HKEx R Bull CBBC Aug 2011 B	100,000,000	2011/04/12	2011/08/29	25.00
63731	Credit Suisse AG - HKEx R Bear CBBC Aug 2011 C	100,000,000	2011/04/12	2011/08/29	26.00
63726	Credit Suisse AG - HSI R Bull CBBC Sep 2011 I	210,000,000	2011/04/12	2011/09/29	52.50
63729	Credit Suisse AG - HSI R Bull CBBC Oct 2011 R	210,000,000	2011/04/12	2011/10/28	52.50
63730	Credit Suisse AG - HSI R Bull CBBC Nov 2011 E	210,000,000	2011/04/12	2011/11/29	52.50
63705	Credit Suisse AG - HSI R Bear CBBC Jul 2011 H	210,000,000	2011/04/12	2011/07/28	52.50
63706	Credit Suisse AG - HSI R Bear CBBC Jul 2011 Z	210,000,000	2011/04/12	2011/07/28	52.50
63735	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 F	80,000,000	2011/04/12	2011/09/27	20.00
63736	Deutsche Bank AG - A50 China R Bear CBBC Sep 2011 G	80,000,000	2011/04/12	2011/09/27	27.20
63733	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 W	300,000,000	2011/04/12	2011/09/29	75.00
63734	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 A	300,000,000	2011/04/12	2011/11/29	84.00
63712	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 H	300,000,000	2011/04/12	2011/08/30	81.00
63711	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 I	300,000,000	2011/04/12	2011/08/30	75.00
63713	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 Y	300,000,000	2011/04/12	2011/09/29	90.00
63717	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 L	300,000,000	2011/04/12	2011/10/28	99.00
63691	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/04/12	2011/11/29	75.00
63692	HK Bank - CNOOC R Bull CBBC Oct 2011 A	80,000,000	2011/04/12	2011/10/28	20.00
63697	HK Bank - CNOOC R Bear CBBC Oct 2011 B	80,000,000	2011/04/12	2011/10/28	32.80
63694	HK Bank - HSI R Bull CBBC Oct 2011 B	100,000,000	2011/04/12	2011/10/28	25.00
63724	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 K	168,000,000	2011/04/12	2011/08/30	42.00
63698	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2011 A	300,000,000	2011/04/12	2011/09/29	75.00
63699	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2011 A	300,000,000	2011/04/12	2011/08/30	75.00
63737	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 F	250,000,000	2011/04/12	2011/08/30	62.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63738	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 G	250,000,000	2011/04/12	2011/08/30	62.50
63680	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11F	300,000,000	2011/04/12	2011/09/29	75.00
63688	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11G	300,000,000	2011/04/12	2011/09/29	75.00
63689	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11H	300,000,000	2011/04/12	2011/09/29	75.00
63690	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11I	300,000,000	2011/04/12	2011/09/29	75.00
63700	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/04/12	2011/09/29	75.00
63703	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/04/12	2011/09/29	75.00
63704	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11M	300,000,000	2011/04/12	2011/07/28	75.00
63718	UBS AG - HKEX R Bull CBBC Sep 2011 B	100,000,000	2011/04/12	2011/09/19	25.00
63723	UBS AG - HKEX R Bear CBBC Sep 2011 C	100,000,000	2011/04/12	2011/09/26	25.00
63722	UBS AG - HSI R Bull CBBC Jul 2011 V	300,000,000	2011/04/12	2011/07/28	75.00
63720	UBS AG - HSI R Bull CBBC Jul 2011 Z	300,000,000	2011/04/12	2011/07/28	75.00
63695	UBS AG - HSI R Bear CBBC Jul 2011 L	300,000,000	2011/04/12	2011/07/28	75.00
63719	UBS AG - ICBC R Bull CBBC Oct 2011 A	100,000,000	2011/04/12	2011/10/10	25.00
63745	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 C	200,000,000	2011/04/13	2011/09/29	50.00
63747	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 D	200,000,000	2011/04/13	2011/09/29	50.00
63751	Credit Suisse AG - HSI R Bull CBBC Sep 2011 J	210,000,000	2011/04/13	2011/09/29	52.50
63743	Credit Suisse AG - HSI R Bull CBBC Nov 2011 F	210,000,000	2011/04/13	2011/11/29	52.50
63744	Credit Suisse AG - HSI R Bear CBBC Jul 2011 G	210,000,000	2011/04/13	2011/07/28	52.50
63742	Credit Suisse AG - TCH R Bull CBBC Aug 2011 D	100,000,000	2011/04/13	2011/08/29	25.00
63746	Credit Suisse AG - TCH R Bear CBBC Aug 2011 E	100,000,000	2011/04/13	2011/08/29	25.00
63748	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 U	300,000,000	2011/04/13	2011/09/29	75.00
63749	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 K	300,000,000	2011/04/13	2011/10/28	87.00
63770	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 S	300,000,000	2011/04/13	2011/09/29	75.00
63750	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 E	300,000,000	2011/04/13	2011/11/29	75.00
63759	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 A	200,000,000	2011/04/13	2011/08/30	50.00
63760	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 B	200,000,000	2011/04/13	2011/08/30	50.00
63766	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 C	200,000,000	2011/04/13	2011/08/30	50.00
63767	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 D	200,000,000	2011/04/13	2011/08/30	50.00
63756	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 L	248,000,000	2011/04/13	2011/08/30	62.00
63772	RB of Scotland N.V. - HSCEI R Bear CBBC Aug 2011 A	250,000,000	2011/04/13	2011/08/30	62.50
63773	RB of Scotland N.V. - HSCEI R Bear CBBC Aug 2011 B	250,000,000	2011/04/13	2011/08/30	62.50
63771	RB of Scotland N.V. - Ping An R Bull CBBC Oct 2011 A	80,000,000	2011/04/13	2011/10/10	20.00
63740	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11L	300,000,000	2011/04/13	2011/09/29	75.00
63741	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11M	300,000,000	2011/04/13	2011/09/29	75.00
63753	UBS AG - A50 China R Bull CBBC Sep 2011 D	100,000,000	2011/04/13	2011/09/12	25.00
63754	UBS AG - HSI R Bull CBBC Jul 2011 W	300,000,000	2011/04/13	2011/07/28	75.00
63755	UBS AG - HSI R Bull CBBC Aug 2011 M	300,000,000	2011/04/13	2011/08/30	75.00
63739	UBS AG - HSI R Bear CBBC Aug 2011 O	300,000,000	2011/04/13	2011/08/30	75.00
63752	UBS AG - Hutchison R Bull CBBC Sep 2011 A	100,000,000	2011/04/13	2011/09/19	25.00
63789	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 P	200,000,000	2011/04/14	2011/07/28	50.00
63790	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 Q	200,000,000	2011/04/14	2011/07/28	50.00
63788	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 R	200,000,000	2011/04/14	2011/07/28	50.00
63787	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 S	200,000,000	2011/04/14	2011/07/28	50.00
63786	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 T	200,000,000	2011/04/14	2011/07/28	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63775	Credit Suisse AG - A50 China R Bull CBBC Sep 2011 A	100,000,000	2011/04/14	2011/09/26	25.00
63779	Credit Suisse AG - A50 China R Bear CBBC Sep 2011 B	100,000,000	2011/04/14	2011/09/26	25.00
63776	Credit Suisse AG - HSI R Bear CBBC Jul 2011 W	210,000,000	2011/04/14	2011/07/28	52.50
63792	Deutsche Bank AG - China Mobile R Bull CBBC Sep 2011 C	80,000,000	2011/04/14	2011/09/29	20.00
63791	Deutsche Bank AG - China Life R Bull CBBC Sep 2011 E	80,000,000	2011/04/14	2011/09/29	20.00
63794	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 H	80,000,000	2011/04/14	2011/09/29	20.00
63793	Deutsche Bank AG - HSBC R Bull CBBC Sep 2011 G	80,000,000	2011/04/14	2011/09/15	20.00
63774	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 I	300,000,000	2011/04/14	2011/12/29	75.00
63778	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 D	300,000,000	2011/04/14	2011/08/30	75.00
63777	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 T	300,000,000	2011/04/14	2011/08/30	84.00
63781	UBS AG - China Life R Bull CBBC Sep 2011 D	100,000,000	2011/04/14	2011/09/19	25.50
63783	UBS AG - HSI R Bull CBBC Sep 2011 K	300,000,000	2011/04/14	2011/09/29	75.00
63784	UBS AG - HSI R Bull CBBC Oct 2011 C	300,000,000	2011/04/14	2011/10/28	75.00
63780	UBS AG - Ping An R Bull CBBC Sep 2011 A	100,000,000	2011/04/14	2011/09/26	25.00
63785	UBS AG - Ping An R Bear CBBC Sep 2011 B	100,000,000	2011/04/14	2011/09/26	25.00
63782	UBS AG - TCH R Bull CBBC Sep 2011 E	100,000,000	2011/04/14	2011/09/26	25.00
63810	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 F	200,000,000	2011/04/15	2011/09/29	50.00
63811	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 K	200,000,000	2011/04/15	2011/09/29	50.00
63800	Credit Suisse AG - HSI R Bull CBBC Sep 2011 N	210,000,000	2011/04/15	2011/09/29	52.50
63799	Credit Suisse AG - HSI R Bear CBBC Jul 2011 R	210,000,000	2011/04/15	2011/07/28	52.50
63809	Deutsche Bank AG - A50 China R Bear CBBC Oct 2011 A	80,000,000	2011/04/15	2011/10/11	20.00
63808	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 Q	300,000,000	2011/04/15	2011/10/28	75.00
63796	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 O	300,000,000	2011/04/15	2011/07/28	75.00
63807	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 M	248,000,000	2011/04/15	2011/08/30	62.00
63797	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11N	300,000,000	2011/04/15	2011/09/29	75.00
63798	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11O	300,000,000	2011/04/15	2011/09/29	75.00
63801	UBS AG - HSI R Bull CBBC Aug 2011 A	300,000,000	2011/04/15	2011/08/30	75.00
63802	UBS AG - HSI R Bull CBBC Nov 2011 A	300,000,000	2011/04/15	2011/11/29	75.00
63795	UBS AG - HSI R Bear CBBC Jul 2011 X	300,000,000	2011/04/15	2011/07/28	75.00
63806	UBS AG - HSI R Bear CBBC Sep 2011 L	300,000,000	2011/04/15	2011/09/29	75.00
63830	Credit Suisse AG - HSI R Bull CBBC Nov 2011 G	210,000,000	2011/04/18	2011/11/29	52.50
63831	Credit Suisse AG - HSI R Bear CBBC Aug 2011 V	210,000,000	2011/04/18	2011/08/30	52.50
63832	Credit Suisse AG - HSI R Bear CBBC Oct 2011 S	210,000,000	2011/04/18	2011/10/28	56.70
63833	Credit Suisse AG - HSI R Bear CBBC Nov 2011 H	210,000,000	2011/04/18	2011/11/29	52.50
63834	Credit Suisse AG - HSI R Bear CBBC Nov 2011 I	210,000,000	2011/04/18	2011/11/29	52.50
63844	Deutsche Bank AG - A50 China R Bear CBBC Oct 2011 B	80,000,000	2011/04/18	2011/10/18	20.00
63829	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 K	300,000,000	2011/04/18	2011/07/28	75.00
63828	HK Bank - HSI R Bull CBBC Oct 2011 C	100,000,000	2011/04/18	2011/10/28	25.00
63813	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 E	200,000,000	2011/04/18	2011/08/30	50.00
63814	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 F	200,000,000	2011/04/18	2011/08/30	50.00
63815	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 G	200,000,000	2011/04/18	2011/08/30	50.00
63816	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 H	200,000,000	2011/04/18	2011/08/30	50.00
63817	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 I	200,000,000	2011/04/18	2011/08/30	50.00
63818	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 J	200,000,000	2011/04/18	2011/08/30	50.00
63819	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 K	200,000,000	2011/04/18	2011/08/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63820	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 L	200,000,000	2011/04/18	2011/08/30	50.00
63822	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 M	200,000,000	2011/04/18	2011/08/30	50.00
63823	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 N	200,000,000	2011/04/18	2011/08/30	50.00
63824	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 O	200,000,000	2011/04/18	2011/08/30	57.00
63825	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 P	200,000,000	2011/04/18	2011/08/30	50.00
63826	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 Q	200,000,000	2011/04/18	2011/08/30	67.00
63812	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11G	300,000,000	2011/04/18	2011/07/28	75.00
63835	UBS AG - HKEx R Bull CBBC Sep 2011 D	100,000,000	2011/04/18	2011/09/26	25.00
63836	UBS AG - HKEx R Bear CBBC Oct 2011 A	100,000,000	2011/04/18	2011/10/10	30.00
63837	UBS AG - HSI R Bull CBBC Jul 2011 A	300,000,000	2011/04/18	2011/07/28	75.00
63838	UBS AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/04/18	2011/10/28	75.00
63839	UBS AG - HSI R Bear CBBC Sep 2011 M	300,000,000	2011/04/18	2011/09/29	75.00
63840	UBS AG - HSI R Bear CBBC Sep 2011 N	300,000,000	2011/04/18	2011/09/29	75.00
63842	UBS AG - HSI R Bear CBBC Oct 2011 E	300,000,000	2011/04/18	2011/10/28	85.50
63843	UBS AG - HSI R Bear CBBC Nov 2011 B	300,000,000	2011/04/18	2011/11/29	84.00
63863	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 E	200,000,000	2011/04/19	2011/07/28	50.00
63860	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 W	200,000,000	2011/04/19	2011/07/28	50.00
63857	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 X	200,000,000	2011/04/19	2011/07/28	50.00
63847	Credit Suisse AG - HSI R Bull CBBC Oct 2011 T	210,000,000	2011/04/19	2011/10/28	52.50
63846	Credit Suisse AG - HSI R Bear CBBC Jul 2011 E	210,000,000	2011/04/19	2011/07/28	52.50
63865	Credit Suisse AG - TCH R Bear CBBC Aug 2011 F	100,000,000	2011/04/19	2011/08/29	25.00
63880	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 X	300,000,000	2011/04/19	2011/07/28	75.00
63853	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 V	300,000,000	2011/04/19	2011/07/28	81.00
63854	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 W	300,000,000	2011/04/19	2011/07/28	75.00
63869	HK Bank - ABC R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.00
63875	HK Bank - ABC R Bear CBBC Oct 2011 B	80,000,000	2011/04/19	2011/10/28	20.00
63870	HK Bank - CC Bank R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.00
63871	HK Bank - CM Bank R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.80
63876	HK Bank - CM Bank R Bear CBBC Oct 2011 B	80,000,000	2011/04/19	2011/10/28	24.80
63872	HK Bank - Sinopec Corp R Bull CBBC Oct 2011 B	80,000,000	2011/04/19	2011/10/28	20.00
63873	HK Bank - ICBC R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.00
63845	UBS AG - HSI R Bull CBBC Jul 2011 O	300,000,000	2011/04/19	2011/07/28	75.00
63878	UBS AG - HSI R Bear CBBC Jul 2011 K	300,000,000	2011/04/19	2011/07/28	75.00
63877	UBS AG - HSI R Bear CBBC Jul 2011 N	300,000,000	2011/04/19	2011/07/28	75.00
63896	BOCI Asia Ltd. - HSI R Bull CBBC Jul 2011 A	100,000,000	2011/04/20	2011/07/28	25.00
63900	BOCI Asia Ltd. - HSI R Bear CBBC Jul 2011 B	100,000,000	2011/04/20	2011/07/28	25.00
63917	Credit Suisse AG - HSI R Bull CBBC Oct 2011 V	210,000,000	2011/04/20	2011/10/28	52.50
63899	Credit Suisse AG - HSI R Bear CBBC Oct 2011 U	210,000,000	2011/04/20	2011/10/28	52.50
63898	Credit Suisse AG - TCH R Bull CBBC Sep 2011 B	100,000,000	2011/04/20	2011/09/26	25.00
63883	Deutsche Bank AG - China Life R Bear CBBC Sep 2011 F	100,000,000	2011/04/20	2011/09/27	33.00
63884	Deutsche Bank AG - HSBC R Bear CBBC Sep 2011 H	100,000,000	2011/04/20	2011/09/27	25.00
63918	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 T	300,000,000	2011/04/20	2011/07/28	75.00
63901	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11P	300,000,000	2011/04/20	2011/09/29	75.00
63902	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11Q	300,000,000	2011/04/20	2011/09/29	75.00
63886	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11J	300,000,000	2011/04/20	2011/07/28	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63887	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11M	300,000,000	2011/04/20	2011/07/28	75.00
63895	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/04/20	2011/08/30	75.00
63888	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/04/20	2011/08/30	75.00
63905	UBS AG - HSI R Bull CBBC Jul 2011 E	300,000,000	2011/04/20	2011/07/28	75.00
63915	UBS AG - HSI R Bear CBBC Jul 2011 V	300,000,000	2011/04/20	2011/07/28	75.00
63906	UBS AG - HSI R Bear CBBC Aug 2011 R	300,000,000	2011/04/20	2011/08/30	75.00
63909	UBS AG - HSI R Bear CBBC Sep 2011 O	300,000,000	2011/04/20	2011/09/29	75.00
63910	UBS AG - HSI R Bear CBBC Nov 2011 C	300,000,000	2011/04/20	2011/11/29	75.00
63924	Credit Suisse AG - HSI R Bull CBBC Sep 2011 M	210,000,000	2011/04/21	2011/09/29	52.50
63920	Credit Suisse AG - HSI R Bear CBBC Jul 2011 G	210,000,000	2011/04/21	2011/07/28	52.50
63919	UBS AG - HSI R Bull CBBC Jul 2011 L	300,000,000	2011/04/21	2011/07/28	75.00
63930	UBS AG - HSI R Bear CBBC Jul 2011 W	300,000,000	2011/04/21	2011/07/28	75.00
63931	Credit Suisse AG - HSI R Bull CBBC Sep 2011 L	210,000,000	2011/04/26	2011/09/29	52.50
63932	UBS AG - CC Bank R Bear CBBC Oct 2011 A	100,000,000	2011/04/26	2011/10/03	25.00
63933	UBS AG - ICBC R Bear CBBC Sep 2011 A	100,000,000	2011/04/26	2011/09/26	25.00
63939	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 H	200,000,000	2011/04/27	2011/07/28	50.00
63940	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 K	200,000,000	2011/04/27	2011/07/28	50.00
63937	UBS AG - HSI R Bull CBBC Aug 2011 S	300,000,000	2011/04/27	2011/08/30	75.00
63935	UBS AG - HSI R Bull CBBC Sep 2011 P	300,000,000	2011/04/27	2011/09/29	75.00
63936	UBS AG - HSI R Bull CBBC Sep 2011 Q	300,000,000	2011/04/27	2011/09/29	75.00
63934	UBS AG - HSI R Bear CBBC Aug 2011 N	300,000,000	2011/04/27	2011/08/30	75.00
63963	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 I	200,000,000	2011/04/28	2011/07/28	50.00
63964	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 J	200,000,000	2011/04/28	2011/07/28	50.00
63952	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 L	200,000,000	2011/04/28	2011/07/28	50.00
63969	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/04/28	2011/09/29	75.00
63971	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11S	300,000,000	2011/04/28	2011/09/29	75.00
63972	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11T	300,000,000	2011/04/28	2011/09/29	75.00
63977	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11U	300,000,000	2011/04/28	2011/09/29	75.00
63951	UBS AG - HSI R Bull CBBC Jul 2011 A	300,000,000	2011/04/28	2011/07/28	75.00
63950	UBS AG - HSI R Bull CBBC Aug 2011 I	300,000,000	2011/04/28	2011/08/30	75.00
63981	UBS AG - HSI R Bull CBBC Oct 2011 F	300,000,000	2011/04/28	2011/10/28	75.00
63979	UBS AG - HSI R Bear CBBC Jul 2011 O	300,000,000	2011/04/28	2011/07/28	75.00
63980	UBS AG - HSI R Bear CBBC Aug 2011 P	300,000,000	2011/04/28	2011/08/30	75.00
63988	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 E	200,000,000	2011/04/29	2011/09/29	50.00
63987	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 J	200,000,000	2011/04/29	2011/09/29	50.00
63984	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11V	300,000,000	2011/04/29	2011/09/29	75.00
63985	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11W	300,000,000	2011/04/29	2011/09/29	75.00
63986	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11X	300,000,000	2011/04/29	2011/09/29	75.00
63995	UBS AG - HSI R Bull CBBC Aug 2011 F	300,000,000	2011/04/29	2011/08/30	75.00
63983	UBS AG - HSI R Bear CBBC Aug 2011 T	300,000,000	2011/04/29	2011/08/30	75.00
64008	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 N	200,000,000	2011/05/03	2011/09/29	50.00
63999	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 O	200,000,000	2011/05/03	2011/09/29	50.00
64010	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 K	200,000,000	2011/05/03	2011/08/30	50.00
64013	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 L	200,000,000	2011/05/03	2011/08/30	50.00
63997	UBS AG - HSI R Bull CBBC Aug 2011 K	300,000,000	2011/05/03	2011/08/30	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63998	UBS AG - HSI R Bull CBBC Oct 2011 G	300,000,000	2011/05/03	2011/10/28	75.00
63996	UBS AG - HSI R Bear CBBC Sep 2011 R	300,000,000	2011/05/03	2011/09/29	75.00
64023	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 M	200,000,000	2011/05/04	2011/08/30	50.00
64022	HK Bank - CNOOC R Bull CBBC Nov 2011 A	80,000,000	2011/05/04	2011/11/18	20.00
64019	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/05/04	2011/09/29	75.00
64017	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/05/04	2011/09/29	75.00
64014	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11Y	300,000,000	2011/05/04	2011/09/29	75.00
64016	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11Z	300,000,000	2011/05/04	2011/09/29	75.00
64032	UBS AG - AIA R Bull CBBC Oct 2011 B	100,000,000	2011/05/04	2011/10/24	25.00
64031	UBS AG - AIA R Bear CBBC Oct 2011 A	100,000,000	2011/05/04	2011/10/17	30.00
64044	UBS AG - COVS R Bull CBBC Oct 2011 B	100,000,000	2011/05/04	2011/10/24	25.00
64043	UBS AG - COVS R Bear CBBC Oct 2011 A	100,000,000	2011/05/04	2011/10/10	25.50
64052	UBS AG - CUni R Bull CBBC Oct 2011 D	100,000,000	2011/05/04	2011/10/03	32.00
64051	UBS AG - CUni R Bear CBBC Oct 2011 C	100,000,000	2011/05/04	2011/10/17	25.00
64028	UBS AG - HSI R Bull CBBC Sep 2011 S	300,000,000	2011/05/04	2011/09/29	75.00
64034	UBS AG - HSI R Bear CBBC Aug 2011 Q	300,000,000	2011/05/04	2011/08/30	75.00
64053	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/05/05	2011/08/30	75.00
64054	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/05/05	2011/08/30	75.00
64058	UBS AG - ABC R Bear CBBC Nov 2011 A	100,000,000	2011/05/05	2011/11/07	25.00
64066	UBS AG - HSBC R Bull CBBC Nov 2011 A	100,000,000	2011/05/05	2011/11/14	25.00
64056	UBS AG - HSI R Bull CBBC Sep 2011 U	300,000,000	2011/05/05	2011/09/29	75.00
64067	UBS AG - HSI R Bear CBBC Aug 2011 L	300,000,000	2011/05/05	2011/08/30	75.00
64055	UBS AG - HSI R Bear CBBC Sep 2011 T	300,000,000	2011/05/05	2011/09/29	75.00
64068	UBS AG - HSI R Bear CBBC Oct 2011 H	300,000,000	2011/05/05	2011/10/28	75.00
64059	UBS AG - TCH R Bear CBBC Nov 2011 A	100,000,000	2011/05/05	2011/11/14	37.50
64074	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 N	200,000,000	2011/05/06	2011/08/30	50.00
64075	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 O	200,000,000	2011/05/06	2011/08/30	50.00
64076	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 P	200,000,000	2011/05/06	2011/08/30	50.00
64073	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2011 D	228,000,000	2011/05/06	2011/09/29	57.00
64072	UBS AG - HSCEI R Bear CBBC Oct 2011 A	100,000,000	2011/05/06	2011/10/28	25.00
64071	UBS AG - HSI R Bull CBBC Aug 2011 M	300,000,000	2011/05/06	2011/08/30	75.00
64070	UBS AG - HSI R Bear CBBC Oct 2011 I	300,000,000	2011/05/06	2011/10/28	75.00
64069	UBS AG - HSI R Bear CBBC Nov 2011 D	300,000,000	2011/05/06	2011/11/29	75.00
64077	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 A	100,000,000	2011/05/09	2011/11/29	26.50
64078	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 B	100,000,000	2011/05/09	2011/11/29	26.00
64079	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 C	100,000,000	2011/05/09	2011/11/29	25.50
64080	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 D	100,000,000	2011/05/09	2011/11/29	25.00
64081	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 E	100,000,000	2011/05/09	2011/11/29	25.00
64082	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 F	100,000,000	2011/05/09	2011/11/29	25.50
64085	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 G	100,000,000	2011/05/09	2011/11/29	26.00
64087	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 H	100,000,000	2011/05/09	2011/11/29	26.50
64088	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 I	100,000,000	2011/05/09	2011/11/29	27.00
64097	RB of Scotland N.V. - Yanzhou Coal R Bull CBBC Feb 2012 A	80,000,000	2011/05/09	2012/02/27	20.00
64093	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11L	300,000,000	2011/05/09	2011/09/29	75.00
64094	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11M	300,000,000	2011/05/09	2011/09/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64099	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11N	300,000,000	2011/05/09	2011/09/29	75.00
64100	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11O	300,000,000	2011/05/09	2011/09/29	75.00
64102	UBS AG - China Life R Bull CBBC Oct 2011 A	100,000,000	2011/05/09	2011/10/24	58.00
64096	UBS AG - HSI R Bull CBBC Aug 2011 O	300,000,000	2011/05/09	2011/08/30	75.00
64101	UBS AG - HSI R Bear CBBC Aug 2011 A	300,000,000	2011/05/09	2011/08/30	75.00
64127	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 Q	200,000,000	2011/05/11	2011/08/30	50.00
64130	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 R	200,000,000	2011/05/11	2011/08/30	50.00
64116	HK Bank - China Life R Bull CBBC Dec 2011 A	80,000,000	2011/05/11	2011/12/29	20.00
64117	HK Bank - A50 R Bull CBBC Dec 2011 A	200,000,000	2011/05/11	2011/12/29	50.00
64118	HK Bank - A50 R Bull CBBC Dec 2011 B	100,000,000	2011/05/11	2011/12/29	25.00
64120	HK Bank - A50 R Bear CBBC Dec 2011 C	200,000,000	2011/05/11	2011/12/29	50.00
64121	HK Bank - HSI R Bear CBBC Dec 2011 A	100,000,000	2011/05/11	2011/12/29	25.00
64122	HK Bank - HSI R Bear CBBC Dec 2011 B	100,000,000	2011/05/11	2011/12/29	25.00
64119	HK Bank - TCH R Bear CBBC Nov 2011 B	80,000,000	2011/05/11	2011/11/29	35.20
64124	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 O	168,000,000	2011/05/11	2011/08/30	42.00
64123	UBS AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/05/11	2011/08/30	75.00
64115	UBS AG - HSI R Bear CBBC Sep 2011 V	300,000,000	2011/05/11	2011/09/29	75.00
64149	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 S	200,000,000	2011/05/12	2011/08/30	50.00
64150	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 T	200,000,000	2011/05/12	2011/08/30	50.00
64151	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 U	200,000,000	2011/05/12	2011/08/30	50.00
64132	RB of Scotland N.V. - DJIA R Bull CBBC Dec 2011 A	80,000,000	2011/05/12	2011/12/16	20.00
64133	RB of Scotland N.V. - DJIA R Bear CBBC Dec 2011 B	80,000,000	2011/05/12	2011/12/16	20.00
64156	UBS AG - Sinopec Corp R Bull CBBC Oct 2011 A	100,000,000	2011/05/12	2011/10/17	25.00
64155	UBS AG - A50 China R Bear CBBC Oct 2011 A	100,000,000	2011/05/12	2011/10/24	25.00
64147	UBS AG - HSI R Bull CBBC Aug 2011 N	300,000,000	2011/05/12	2011/08/30	75.00
64143	UBS AG - HSI R Bull CBBC Sep 2011 W	300,000,000	2011/05/12	2011/09/29	75.00
64148	UBS AG - HSI R Bull CBBC Sep 2011 X	300,000,000	2011/05/12	2011/09/29	75.00
64142	UBS AG - HSI R Bull CBBC Oct 2011 J	300,000,000	2011/05/12	2011/10/28	75.00
64152	UBS AG - HSI R Bear CBBC Sep 2011 Y	300,000,000	2011/05/12	2011/09/29	75.00
64154	UBS AG - HSI R Bear CBBC Sep 2011 Z	300,000,000	2011/05/12	2011/09/29	75.00
64180	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 V	200,000,000	2011/05/13	2011/08/30	50.00
64163	HK Bank - China Shenhua R Bull CBBC Dec 2011 A	80,000,000	2011/05/13	2011/12/29	20.00
64159	HK Bank - Hutchison R Bear CBBC Dec 2011 A	80,000,000	2011/05/13	2011/12/29	20.00
64162	HK Bank - SHK Ppt R Bull CBBC Dec 2011 B	80,000,000	2011/05/13	2011/12/29	20.00
64178	UBS AG - China Mobile R Bull CBBC Oct 2011 A	100,000,000	2011/05/13	2011/10/24	25.00
64179	UBS AG - CNOOC R Bull CBBC Oct 2011 A	100,000,000	2011/05/13	2011/10/17	25.00
64157	UBS AG - HSI R Bull CBBC Aug 2011 S	300,000,000	2011/05/13	2011/08/30	75.00
64164	UBS AG - HSI R Bear CBBC Aug 2011 P	300,000,000	2011/05/13	2011/08/30	75.00
64167	UBS AG - HSI R Bear CBBC Aug 2011 T	300,000,000	2011/05/13	2011/08/30	75.00
64186	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 W	200,000,000	2011/05/16	2011/08/30	50.00
64181	HK Bank - CNOOC R Bull CBBC Dec 2011 A	80,000,000	2011/05/16	2011/12/29	20.80
64195	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2011 E	128,000,000	2011/05/16	2011/09/29	32.00
64183	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 A	250,000,000	2011/05/16	2011/09/29	62.50
64185	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 B	250,000,000	2011/05/16	2011/09/29	62.50
64192	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11W	300,000,000	2011/05/16	2011/08/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64194	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11X	300,000,000	2011/05/16	2011/08/30	75.00
64193	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Y	300,000,000	2011/05/16	2011/08/30	75.00
64187	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11F	300,000,000	2011/05/16	2011/09/29	75.00
64188	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11G	300,000,000	2011/05/16	2011/09/29	75.00
64189	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11H	300,000,000	2011/05/16	2011/09/29	75.00
64190	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11P	300,000,000	2011/05/16	2011/09/29	75.00
64191	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Q	300,000,000	2011/05/16	2011/09/29	75.00
64199	UBS AG - China Life R Bear CBBC Oct 2011 B	100,000,000	2011/05/16	2011/10/17	31.50
64198	UBS AG - HSI R Bull CBBC Aug 2011 K	300,000,000	2011/05/16	2011/08/30	75.00
64197	UBS AG - HSI R Bear CBBC Aug 2011 F	300,000,000	2011/05/16	2011/08/30	75.00
64196	UBS AG - HSI R Bear CBBC Dec 2011 A	300,000,000	2011/05/16	2011/12/29	75.00
64201	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 M	200,000,000	2011/05/17	2011/12/29	50.00
64202	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 P	200,000,000	2011/05/17	2011/12/29	50.00
64204	UBS AG - AIA R Bull CBBC Nov 2011 B	100,000,000	2011/05/17	2011/11/07	25.00
64203	UBS AG - AIA R Bear CBBC Nov 2011 A	100,000,000	2011/05/17	2011/11/14	36.50
64205	UBS AG - HSI R Bull CBBC Sep 2011 B	300,000,000	2011/05/17	2011/09/29	75.00
64206	UBS AG - HSI R Bull CBBC Oct 2011 K	300,000,000	2011/05/17	2011/10/28	75.00
64207	UBS AG - HSI R Bull CBBC Oct 2011 L	300,000,000	2011/05/17	2011/10/28	75.00
64218	Credit Suisse AG - HSI R Bull CBBC Sep 2011 D	210,000,000	2011/05/18	2011/09/29	52.50
64219	Credit Suisse AG - HSI R Bull CBBC Oct 2011 X	210,000,000	2011/05/18	2011/10/28	52.50
64220	Credit Suisse AG - HSI R Bull CBBC Nov 2011 J	210,000,000	2011/05/18	2011/11/29	52.50
64228	Credit Suisse AG - HSI R Bull CBBC Nov 2011 K	210,000,000	2011/05/18	2011/11/29	52.50
64235	Credit Suisse AG - HSI R Bull CBBC Nov 2011 L	210,000,000	2011/05/18	2011/11/29	52.50
64236	Credit Suisse AG - HSI R Bull CBBC Dec 2011 D	210,000,000	2011/05/18	2011/12/29	52.50
64215	Credit Suisse AG - HSI R Bear CBBC Aug 2011 O	210,000,000	2011/05/18	2011/08/30	52.50
64214	Credit Suisse AG - HSI R Bear CBBC Aug 2011 T	210,000,000	2011/05/18	2011/08/30	52.50
64216	Credit Suisse AG - HSI R Bear CBBC Aug 2011 W	210,000,000	2011/05/18	2011/08/30	52.50
64217	Credit Suisse AG - HSI R Bear CBBC Aug 2011 X	210,000,000	2011/05/18	2011/08/30	52.50
64238	Credit Suisse AG - TCH R Bull CBBC Sep 2011 C	100,000,000	2011/05/18	2011/09/26	25.00
64239	Credit Suisse AG - TCH R Bull CBBC Oct 2011 A	100,000,000	2011/05/18	2011/10/24	31.00
64240	Credit Suisse AG - TCH R Bear CBBC Sep 2011 D	100,000,000	2011/05/18	2011/09/26	25.00
64209	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 J	100,000,000	2011/05/18	2011/11/29	25.00
64212	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 C	250,000,000	2011/05/18	2011/09/29	62.50
64213	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 D	250,000,000	2011/05/18	2011/09/29	62.50
64211	UBS AG - HSI R Bull CBBC Sep 2011 A	300,000,000	2011/05/18	2011/09/29	75.00
64266	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 X	200,000,000	2011/05/19	2011/08/30	50.00
64267	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 Y	200,000,000	2011/05/19	2011/08/30	50.00
64264	Credit Suisse AG - A50 China R Bear CBBC Sep 2011 C	100,000,000	2011/05/19	2011/09/26	25.00
64258	Credit Suisse AG - HKEx R Bear CBBC Sep 2011 A	100,000,000	2011/05/19	2011/09/26	25.00
64257	Credit Suisse AG - HSI R Bull CBBC Nov 2011 M	210,000,000	2011/05/19	2011/11/29	52.50
64242	Credit Suisse AG - HSI R Bear CBBC Aug 2011 N	210,000,000	2011/05/19	2011/08/30	52.50
64247	Credit Suisse AG - HSI R Bear CBBC Aug 2011 R	210,000,000	2011/05/19	2011/08/30	52.50
64250	Credit Suisse AG - HSI R Bear CBBC Sep 2011 K	210,000,000	2011/05/19	2011/09/29	52.50
64251	Credit Suisse AG - HSI R Bear CBBC Sep 2011 O	210,000,000	2011/05/19	2011/09/29	52.50
64252	Credit Suisse AG - HSI R Bear CBBC Oct 2011 Y	210,000,000	2011/05/19	2011/10/28	52.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64253	Credit Suisse AG - HSI R Bear CBBC Oct 2011 Z	210,000,000	2011/05/19	2011/10/28	52.50
64262	Credit Suisse AG - TCH R Bear CBBC Sep 2011 E	100,000,000	2011/05/19	2011/09/26	29.00
64263	Credit Suisse AG - TCH R Bear CBBC Oct 2011 C	100,000,000	2011/05/19	2011/10/24	39.00
64270	RB of Scotland N.V. - CPIC R Bull CBBC Jan 2012 A	80,000,000	2011/05/19	2012/01/09	20.00
64271	RB of Scotland N.V. - China Shenhua R Bull CBBC Jan 2012 A	80,000,000	2011/05/19	2012/01/09	20.00
64273	RB of Scotland N.V. - Jiangxi Copper R Bull CBBC Jan 2012 A	80,000,000	2011/05/19	2012/01/09	20.00
64268	UBS AG - HSI R Bear CBBC Sep 2011 C	300,000,000	2011/05/19	2011/09/29	75.00
64269	UBS AG - TCH R Bull CBBC Oct 2011 A	100,000,000	2011/05/19	2011/10/17	26.50
64303	Credit Suisse AG - HSI R Bull CBBC Oct 2011 F	210,000,000	2011/05/20	2011/10/28	52.50
64300	Credit Suisse AG - HSI R Bear CBBC Aug 2011 C	210,000,000	2011/05/20	2011/08/30	52.50
64296	Credit Suisse AG - HSI R Bear CBBC Aug 2011 S	210,000,000	2011/05/20	2011/08/30	52.50
64297	Credit Suisse AG - HSI R Bear CBBC Aug 2011 Y	210,000,000	2011/05/20	2011/08/30	52.50
64301	Credit Suisse AG - HSI R Bear CBBC Sep 2011 P	210,000,000	2011/05/20	2011/09/29	52.50
64302	Credit Suisse AG - HSI R Bear CBBC Sep 2011 Q	210,000,000	2011/05/20	2011/09/29	52.50
64276	HK Bank - China Life R Bull CBBC Nov 2011 A	80,000,000	2011/05/20	2011/11/29	25.60
64279	HK Bank - HSI R Bear CBBC Nov 2011 A	150,000,000	2011/05/20	2011/11/29	37.50
64281	HK Bank - HSI R Bear CBBC Nov 2011 B	150,000,000	2011/05/20	2011/11/29	37.50
64284	HK Bank - HSI R Bear CBBC Dec 2011 C	150,000,000	2011/05/20	2011/12/29	37.50
64310	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2011 A	168,000,000	2011/05/20	2011/10/28	42.00
64274	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 K	100,000,000	2011/05/20	2011/11/29	25.00
64275	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 L	100,000,000	2011/05/20	2011/11/29	25.00
64294	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11G	300,000,000	2011/05/20	2011/08/30	75.00
64287	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11S	300,000,000	2011/05/20	2011/08/30	75.00
64293	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Z	300,000,000	2011/05/20	2011/08/30	75.00
64290	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11T	300,000,000	2011/05/20	2011/09/29	75.00
64292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11U	300,000,000	2011/05/20	2011/09/29	75.00
64285	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/05/20	2011/09/29	75.00
64288	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11S	300,000,000	2011/05/20	2011/09/29	75.00
64308	UBS AG - HSI R Bull CBBC Aug 2011 I	300,000,000	2011/05/20	2011/08/30	75.00
64309	UBS AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/05/20	2011/09/29	75.00
64307	UBS AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/05/20	2011/09/29	75.00
64313	Credit Suisse AG - HSI R Bull CBBC Nov 2011 N	210,000,000	2011/05/23	2011/11/29	52.50
64317	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2011 F	128,000,000	2011/05/23	2011/09/29	32.00
64312	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11V	300,000,000	2011/05/23	2011/09/29	75.00
64311	UBS AG - HSI R Bull CBBC Aug 2011 J	300,000,000	2011/05/23	2011/08/30	75.00
64314	UBS AG - HSI R Bull CBBC Oct 2011 M	300,000,000	2011/05/23	2011/10/28	75.00
64315	UBS AG - HSI R Bull CBBC Nov 2011 E	300,000,000	2011/05/23	2011/11/29	75.00
64329	Credit Suisse AG - HSI R Bull CBBC Oct 2011 Q	300,000,000	2011/05/24	2011/10/28	75.00
64326	Credit Suisse AG - HSI R Bull CBBC Oct 2011 R	300,000,000	2011/05/24	2011/10/28	75.00
64341	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 G	200,000,000	2011/05/24	2011/09/29	50.00
64332	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 A	200,000,000	2011/05/24	2011/09/29	50.00
64333	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 B	200,000,000	2011/05/24	2011/09/29	50.00
64334	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 C	200,000,000	2011/05/24	2011/09/29	50.00
64335	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 D	200,000,000	2011/05/24	2011/09/29	50.00
64339	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 E	200,000,000	2011/05/24	2011/09/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64340	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 F	200,000,000	2011/05/24	2011/09/29	50.00
64331	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2011 G	188,000,000	2011/05/24	2011/09/29	47.00
64325	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11W	300,000,000	2011/05/24	2011/08/30	75.00
64319	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/05/24	2011/09/29	75.00
64320	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/05/24	2011/09/29	75.00
64318	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11W	300,000,000	2011/05/24	2011/09/29	75.00
64324	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11X	300,000,000	2011/05/24	2011/09/29	75.00
64330	UBS AG - HSI R Bull CBBC Sep 2011 K	300,000,000	2011/05/24	2011/09/29	75.00
64361	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/05/25	2011/12/29	50.00
64362	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/05/25	2011/12/29	50.00
64367	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 H	200,000,000	2011/05/25	2011/09/29	50.00
64342	SGA Societe Generale Acceptance N.V. - CLife R Bull CBBC Oct11A	100,000,000	2011/05/25	2011/10/31	37.00
64343	SGA Societe Generale Acceptance N.V. - CLife R Bull CBBC Oct11B	100,000,000	2011/05/25	2011/10/31	26.00
64359	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct11C	150,000,000	2011/05/25	2011/10/31	37.50
64360	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct11D	150,000,000	2011/05/25	2011/10/31	37.50
64355	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Oct11A	150,000,000	2011/05/25	2011/10/31	37.50
64358	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Oct11B	150,000,000	2011/05/25	2011/10/31	37.50
64345	SGA Societe Generale Acceptance N.V. - HWL R Bull CBBC Oct11A	150,000,000	2011/05/25	2011/10/31	37.50
64348	SGA Societe Generale Acceptance N.V. - HWL R Bull CBBC Oct11B	150,000,000	2011/05/25	2011/10/31	37.50
64349	SGA Societe Generale Acceptance N.V. - HWL R Bear CBBC Oct11C	150,000,000	2011/05/25	2011/10/31	37.50
64350	SGA Societe Generale Acceptance N.V. - HWL R Bear CBBC Oct11D	150,000,000	2011/05/25	2011/10/31	37.50
64353	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Oct11A	100,000,000	2011/05/25	2011/10/31	32.00
64354	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Oct11B	100,000,000	2011/05/25	2011/10/31	35.00
64366	UBS AG - COVS R Bull CBBC Nov 2011 A	100,000,000	2011/05/25	2011/11/07	25.00
64363	UBS AG - HSI R Bull CBBC Oct 2011 N	300,000,000	2011/05/25	2011/10/28	75.00
64373	Credit Suisse AG - HSI R Bull CBBC Nov 2011 O	300,000,000	2011/05/26	2011/11/29	75.00
64370	Credit Suisse AG - HSI R Bear CBBC Aug 2011 Z	300,000,000	2011/05/26	2011/08/30	75.00
64374	UBS AG - HSI R Bull CBBC Sep 2011 P	300,000,000	2011/05/26	2011/09/29	75.00
64368	UBS AG - HSI R Bear CBBC Aug 2011 M	300,000,000	2011/05/26	2011/08/30	75.00
64388	Credit Suisse AG - HSI R Bull CBBC Oct 2011 P	300,000,000	2011/05/27	2011/10/28	75.00
64389	Credit Suisse AG - HSI R Bull CBBC Nov 2011 P	300,000,000	2011/05/27	2011/11/29	75.00
64379	Credit Suisse AG - HSI R Bear CBBC Aug 2011 I	300,000,000	2011/05/27	2011/08/30	75.00
64384	RB of Scotland N.V. - HSCEI R Bull CBBC Nov 2011 A	250,000,000	2011/05/27	2011/11/29	62.50
64385	RB of Scotland N.V. - HSCEI R Bull CBBC Nov 2011 B	250,000,000	2011/05/27	2011/11/29	62.50
64377	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11M	300,000,000	2011/05/27	2011/08/30	75.00
64376	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Y	300,000,000	2011/05/27	2011/08/30	75.00
64375	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Y	300,000,000	2011/05/27	2011/09/29	75.00
64383	UBS AG - HKE X R Bull CBBC Nov 2011 A	100,000,000	2011/05/27	2011/11/21	25.00
64378	UBS AG - HSI R Bear CBBC Sep 2011 Q	300,000,000	2011/05/27	2011/09/29	75.00
64458	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 A	200,000,000	2011/05/30	2011/08/30	50.00
64452	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 F	200,000,000	2011/05/30	2011/08/30	50.00
64460	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 I	200,000,000	2011/05/30	2011/08/30	50.00
64451	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 Z	200,000,000	2011/05/30	2011/08/30	50.00
64399	Credit Suisse AG - HSI R Bull CBBC Oct 2011 T	300,000,000	2011/05/30	2011/10/28	75.00
64398	Credit Suisse AG - HSI R Bear CBBC Sep 2011 I	300,000,000	2011/05/30	2011/09/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64396	Credit Suisse AG - HSI R Bear CBBC Sep 2011 U	300,000,000	2011/05/30	2011/09/29	75.00
64461	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 A	208,000,000	2011/05/30	2011/11/29	52.00
64391	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Q	300,000,000	2011/05/30	2011/09/29	75.00
64392	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/05/30	2011/09/29	75.00
64390	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Z	300,000,000	2011/05/30	2011/09/29	75.00
64476	UBS AG - A50 China R Bull CBBC Oct 2011 C	100,000,000	2011/05/30	2011/10/03	25.00
64475	UBS AG - A50 China R Bear CBBC Oct 2011 B	100,000,000	2011/05/30	2011/10/17	25.00
64467	UBS AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/05/30	2011/08/30	75.00
64468	UBS AG - HSI R Bull CBBC Aug 2011 N	300,000,000	2011/05/30	2011/08/30	75.00
64394	UBS AG - HSI R Bull CBBC Aug 2011 O	300,000,000	2011/05/30	2011/08/30	75.00
64465	UBS AG - HSI R Bull CBBC Oct 2011 O	300,000,000	2011/05/30	2011/10/28	76.50
64462	UBS AG - HSI R Bull CBBC Nov 2011 F	300,000,000	2011/05/30	2011/11/29	136.50
64469	UBS AG - HSI R Bull CBBC Dec 2011 B	300,000,000	2011/05/30	2011/12/29	75.00
64470	UBS AG - HSI R Bear CBBC Aug 2011 G	300,000,000	2011/05/30	2011/08/30	75.00
64473	UBS AG - HSI R Bear CBBC Sep 2011 S	300,000,000	2011/05/30	2011/09/29	75.00
68322 #	HK Bank - HSI R Bull CBBC Sep 2011 A	200,000,000	2011/05/30	2011/08/30	14.80
64499	Credit Suisse AG - A50 China R Bull CBBC Oct 2011 A	100,000,000	2011/05/31	2011/10/24	25.00
64498	Credit Suisse AG - A50 China R Bear CBBC Sep 2011 D	100,000,000	2011/05/31	2011/09/26	25.00
64496	Credit Suisse AG - HKEx R Bull CBBC Oct 2011 A	100,000,000	2011/05/31	2011/10/24	25.00
64495	Credit Suisse AG - HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/05/31	2011/11/29	75.00
64494	Credit Suisse AG - HSI R Bear CBBC Sep 2011 J	300,000,000	2011/05/31	2011/09/29	75.00
64497	Credit Suisse AG - TCH R Bear CBBC Sep 2011 F	100,000,000	2011/05/31	2011/09/26	25.00
64500	HK Bank - CM Bank R Bull CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	20.00
64503	HK Bank - CNOOC R Bear CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	32.80
64501	HK Bank - Sinopec Corp R Bear CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	20.00
64502	HK Bank - China Shenhua R Bear CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	20.00
64504	HK Bank - A50 R Bear CBBC Feb 2012 A	100,000,000	2011/05/31	2012/02/28	25.00
64481	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2011 A	100,000,000	2011/05/31	2011/12/29	30.00
64483	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2011 B	100,000,000	2011/05/31	2011/12/29	25.00
64486	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2011 C	100,000,000	2011/05/31	2011/12/29	25.00
64487	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2011 D	100,000,000	2011/05/31	2011/12/29	25.00
64488	CC Rabobank B.A. - HSI R Bull CBBC Dec 2011 A	150,000,000	2011/05/31	2011/12/29	37.50
64490	CC Rabobank B.A. - HSI R Bull CBBC Dec 2011 B	150,000,000	2011/05/31	2011/12/29	37.50
64491	CC Rabobank B.A. - HSI R Bull CBBC Dec 2011 C	150,000,000	2011/05/31	2011/12/29	37.50
64492	CC Rabobank B.A. - HSI R Bear CBBC Dec 2011 D	150,000,000	2011/05/31	2011/12/29	37.50
64493	CC Rabobank B.A. - HSI R Bear CBBC Dec 2011 E	150,000,000	2011/05/31	2011/12/29	37.50
64505	UBS AG - HSI R Bear CBBC Sep 2011 U	300,000,000	2011/05/31	2011/09/29	75.00
66806 #	HK Bank - A50 R Bull CBBC Jul 2011 B	150,000,000	2011/05/31	2011/07/29	22.05
64516	Credit Suisse AG - HSI R Bear CBBC Sep 2011 N	300,000,000	2011/06/01	2011/09/29	75.00
64526	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 M	200,000,000	2011/06/01	2011/09/29	50.00
64527	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 N	200,000,000	2011/06/01	2011/09/29	50.00
64528	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 O	200,000,000	2011/06/01	2011/09/29	50.00
64521	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 I	200,000,000	2011/06/01	2011/09/29	50.00
64522	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 J	200,000,000	2011/06/01	2011/09/29	50.00
64524	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 K	200,000,000	2011/06/01	2011/09/29	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64525	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 L	200,000,000	2011/06/01	2011/09/29	50.00
64512	RB of Scotland N.V. - HSI R Bull CBBC Sep 2011 E	250,000,000	2011/06/01	2011/09/29	62.50
64513	RB of Scotland N.V. - HSI R Bull CBBC Sep 2011 F	250,000,000	2011/06/01	2011/09/29	62.50
64514	RB of Scotland N.V. - HSI R Bull CBBC Sep 2011 G	250,000,000	2011/06/01	2011/09/29	62.50
64509	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11S	300,000,000	2011/06/01	2011/09/29	75.00
64510	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11V	300,000,000	2011/06/01	2011/09/29	75.00
64518	UBS AG - HSI R Bull CBBC Sep 2011 X	300,000,000	2011/06/01	2011/09/29	75.00
64511	UBS AG - HSI R Bull CBBC Oct 2011 P	300,000,000	2011/06/01	2011/10/28	75.00
64517	UBS AG - HSI R Bull CBBC Oct 2011 R	300,000,000	2011/06/01	2011/10/28	75.00
64515	UBS AG - HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/06/01	2011/10/28	75.00
64519	UBS AG - HSI R Bear CBBC Oct 2011 S	300,000,000	2011/06/01	2011/10/28	75.00
64520	UBS AG - HSI R Bear CBBC Nov 2011 G	300,000,000	2011/06/01	2011/11/29	75.00
64530	Credit Suisse AG - HSI R Bull CBBC Oct 2011 U	300,000,000	2011/06/02	2011/10/28	75.00
64529	Credit Suisse AG - HSI R Bull CBBC Oct 2011 V	300,000,000	2011/06/02	2011/10/28	75.00
64531	HK Bank - Sinopec Corp R Bull CBBC Nov 2011 A	80,000,000	2011/06/02	2011/11/22	20.00
64532	HK Bank - Sinopec Corp R Bull CBBC Dec 2011 A	80,000,000	2011/06/02	2011/12/23	20.00
64543	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2011 B	208,000,000	2011/06/02	2011/10/28	52.00
64537	UBS AG - HSI R Bull CBBC Oct 2011 T	300,000,000	2011/06/02	2011/10/28	75.00
64540	UBS AG - HSI R Bull CBBC Oct 2011 U	300,000,000	2011/06/02	2011/10/28	75.00
64541	UBS AG - HSI R Bull CBBC Oct 2011 V	300,000,000	2011/06/02	2011/10/28	75.00
64542	UBS AG - HSI R Bull CBBC Nov 2011 H	300,000,000	2011/06/02	2011/11/29	75.00
64555	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 A	200,000,000	2011/06/03	2011/12/29	50.00
64557	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/06/03	2011/12/29	50.00
64561	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 N	200,000,000	2011/06/03	2011/12/29	50.00
64554	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 X	200,000,000	2011/06/03	2011/12/29	50.00
64558	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Z	200,000,000	2011/06/03	2011/12/29	50.00
64563	Credit Suisse AG - HSI R Bull CBBC Oct 2011 O	300,000,000	2011/06/03	2011/10/28	75.00
64564	Credit Suisse AG - HSI R Bull CBBC Nov 2011 R	300,000,000	2011/06/03	2011/11/29	75.00
64562	Credit Suisse AG - HSI R Bear CBBC Sep 2011 E	300,000,000	2011/06/03	2011/09/29	75.00
64544	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Oct11A	300,000,000	2011/06/03	2011/10/31	75.00
64545	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Oct11B	300,000,000	2011/06/03	2011/10/31	75.00
64546	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Oct11C	300,000,000	2011/06/03	2011/10/31	75.00
64547	SGA Societe Generale Acceptance N.V. - HSBC R Bear CBBC Oct11D	300,000,000	2011/06/03	2011/10/31	75.00
64551	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11I	300,000,000	2011/06/03	2011/09/29	75.00
64548	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11A	300,000,000	2011/06/03	2011/10/28	75.00
64549	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11B	300,000,000	2011/06/03	2011/10/28	75.00
64552	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11C	300,000,000	2011/06/03	2011/10/28	75.00
64553	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11D	300,000,000	2011/06/03	2011/10/28	75.00
64566	UBS AG - HSI R Bull CBBC Sep 2011 B	300,000,000	2011/06/03	2011/09/29	75.00
64565	UBS AG - HSI R Bull CBBC Sep 2011 W	300,000,000	2011/06/03	2011/09/29	75.00
64568	UBS AG - HSI R Bull CBBC Oct 2011 X	300,000,000	2011/06/03	2011/10/28	75.00
64550	UBS AG - HSI R Bear CBBC Oct 2011 W	300,000,000	2011/06/03	2011/10/28	75.00
63492 #	Deutsche Bank AG - Hutchison R Bull CBBC Oct 2011 A	120,000,000	2011/06/03	2011/10/18	11.40
64577	Credit Suisse AG - HSI R Bull CBBC Nov 2011 S	300,000,000	2011/06/07	2011/11/29	75.00
64576	Credit Suisse AG - HSI R Bear CBBC Sep 2011 L	300,000,000	2011/06/07	2011/09/29	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64571	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2011 C	188,000,000	2011/06/07	2011/10/28	47.00
64570	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11E	300,000,000	2011/06/07	2011/10/28	75.00
64572	UBS AG - HSI R Bull CBBC Oct 2011 Y	300,000,000	2011/06/07	2011/10/28	75.00
64573	UBS AG - HSI R Bull CBBC Nov 2011 I	300,000,000	2011/06/07	2011/11/29	75.00
64575	UBS AG - HSI R Bull CBBC Nov 2011 J	300,000,000	2011/06/07	2011/11/29	75.00
64569	UBS AG - HSI R Bear CBBC Sep 2011 A	300,000,000	2011/06/07	2011/09/29	75.00
64608	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 M	200,000,000	2011/06/08	2011/12/29	50.00
64610	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 O	200,000,000	2011/06/08	2011/12/29	50.00
64611	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 P	200,000,000	2011/06/08	2011/12/29	50.00
64613	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/06/08	2011/12/29	50.00
64612	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 R	200,000,000	2011/06/08	2011/12/29	50.00
64606	Credit Suisse AG - HSI R Bull CBBC Oct 2011 X	300,000,000	2011/06/08	2011/10/28	75.00
64607	Credit Suisse AG - HSI R Bull CBBC Nov 2011 V	300,000,000	2011/06/08	2011/11/29	75.00
64596	Credit Suisse AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/06/08	2011/09/29	75.00
64580	Credit Suisse AG - HSI R Bear CBBC Sep 2011 M	300,000,000	2011/06/08	2011/09/29	75.00
64597	Credit Suisse AG - HSI R Bear CBBC Sep 2011 Z	300,000,000	2011/06/08	2011/09/29	75.00
64601	Credit Suisse AG - HSI R Bear CBBC Oct 2011 M	300,000,000	2011/06/08	2011/10/28	75.00
64600	Credit Suisse AG - HSI R Bear CBBC Oct 2011 N	300,000,000	2011/06/08	2011/10/28	75.00
64604	Credit Suisse AG - HSI R Bear CBBC Nov 2011 T	300,000,000	2011/06/08	2011/11/29	75.00
64605	Credit Suisse AG - HSI R Bear CBBC Nov 2011 U	300,000,000	2011/06/08	2011/11/29	75.00
64616	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2011 B	108,000,000	2011/06/08	2011/11/29	27.00
64617	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2011 C	128,000,000	2011/06/08	2011/11/29	32.00
64614	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2011 A	300,000,000	2011/06/08	2011/12/30	75.00
64615	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2011 A	300,000,000	2011/06/08	2011/10/28	75.00
64587	UBS AG - HSI R Bull CBBC Sep 2011 C	300,000,000	2011/06/08	2011/09/29	75.00
64591	UBS AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/06/08	2011/10/28	75.00
64593	UBS AG - HSI R Bear CBBC Oct 2011 G	300,000,000	2011/06/08	2011/10/28	75.00
64578	UBS AG - HSI R Bear CBBC Oct 2011 Z	300,000,000	2011/06/08	2011/10/28	75.00
64585	UBS AG - HSI R Bear CBBC Nov 2011 K	300,000,000	2011/06/08	2011/11/29	75.00
64586	UBS AG - HSI R Bear CBBC Nov 2011 L	300,000,000	2011/06/08	2011/11/29	75.00
64581	UBS AG - Hutchison R Bull CBBC Nov 2011 A	100,000,000	2011/06/08	2011/11/21	25.00
64629	Credit Suisse AG - HSI R Bull CBBC Nov 2011 X	300,000,000	2011/06/09	2011/11/29	75.00
64625	Credit Suisse AG - HSI R Bear CBBC Oct 2011 F	300,000,000	2011/06/09	2011/10/28	75.00
64626	Credit Suisse AG - HSI R Bear CBBC Nov 2011 W	300,000,000	2011/06/09	2011/11/29	75.00
64627	Credit Suisse AG - HSI R Bear CBBC Dec 2011 E	300,000,000	2011/06/09	2011/12/29	75.00
64628	Credit Suisse AG - HSI R Bear CBBC Dec 2011 F	300,000,000	2011/06/09	2011/12/29	75.00
64630	Credit Suisse AG - Hutchison R Bull CBBC Sep 2011 A	100,000,000	2011/06/09	2011/09/26	25.00
64631	Credit Suisse AG - TCH R Bull CBBC Sep 2011 G	100,000,000	2011/06/09	2011/09/26	25.00
64632	Credit Suisse AG - TCH R Bull CBBC Oct 2011 D	100,000,000	2011/06/09	2011/10/24	25.00
64618	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11F	300,000,000	2011/06/09	2011/10/28	75.00
64619	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11G	300,000,000	2011/06/09	2011/10/28	75.00
64620	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11H	300,000,000	2011/06/09	2011/10/28	75.00
64621	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11I	300,000,000	2011/06/09	2011/10/28	75.00
64622	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Q	300,000,000	2011/06/09	2011/09/29	75.00
64624	UBS AG - HSI R Bull CBBC Oct 2011 F	300,000,000	2011/06/09	2011/10/28	75.00

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64635	UBS AG - HSI R Bull CBBC Nov 2011 M	300,000,000	2011/06/09	2011/11/29	75.00
64648	Credit Suisse AG - HSI R Bull CBBC Dec 2011 G	300,000,000	2011/06/10	2011/12/29	75.00
64645	Credit Suisse AG - HSI R Bear CBBC Sep 2011 D	300,000,000	2011/06/10	2011/09/29	75.00
64646	Credit Suisse AG - HSI R Bear CBBC Sep 2011 W	300,000,000	2011/06/10	2011/09/29	75.00
64651	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2011 D	108,000,000	2011/06/10	2011/10/28	27.00
64652	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 D	128,000,000	2011/06/10	2011/11/29	32.00
64642	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11F	300,000,000	2011/06/10	2011/09/29	75.00
64637	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/06/10	2011/09/29	75.00
64638	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Y	300,000,000	2011/06/10	2011/09/29	75.00
64641	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Z	300,000,000	2011/06/10	2011/09/29	75.00
64636	UBS AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/06/10	2011/09/29	75.00
64649	UBS AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/06/10	2011/09/29	75.00
64650	UBS AG - HSI R Bear CBBC Sep 2011 I	300,000,000	2011/06/10	2011/09/29	75.00
64660	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 L	200,000,000	2011/06/13	2011/09/29	50.00
64657	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 M	200,000,000	2011/06/13	2011/09/29	50.00
64661	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 P	200,000,000	2011/06/13	2011/09/29	50.00
64662	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 Q	200,000,000	2011/06/13	2011/09/29	50.00
64663	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 R	200,000,000	2011/06/13	2011/09/29	50.00
64670	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 Y	200,000,000	2011/06/13	2011/09/29	50.00
64669	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 Z	200,000,000	2011/06/13	2011/09/29	50.00
64672	Credit Suisse AG - HSI R Bull CBBC Oct 2011 Q	300,000,000	2011/06/13	2011/10/28	75.00
64671	Credit Suisse AG - HSI R Bear CBBC Sep 2011 I	300,000,000	2011/06/13	2011/09/29	75.00
64656	Credit Suisse AG - HSI R Bear CBBC Sep 2011 X	300,000,000	2011/06/13	2011/09/29	75.00
64675	Credit Suisse AG - Hutchison R Bull CBBC Oct 2011 A	100,000,000	2011/06/13	2011/10/24	25.00
64664	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 E	168,000,000	2011/06/13	2011/11/29	42.00
64653	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11G	300,000,000	2011/06/13	2011/09/29	75.00
64668	UBS AG - HSBC R Bull CBBC Nov 2011 B	100,000,000	2011/06/13	2011/11/14	25.00
64666	UBS AG - HSI R Bull CBBC Sep 2011 K	300,000,000	2011/06/13	2011/09/29	75.00
64665	UBS AG - HSI R Bear CBBC Nov 2011 N	300,000,000	2011/06/13	2011/11/29	75.00
64667	UBS AG - Hutchison R Bull CBBC Nov 2011 B	100,000,000	2011/06/13	2011/11/21	25.00
64683	Credit Suisse AG - HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/06/14	2011/11/29	75.00
64687	Credit Suisse AG - HSI R Bull CBBC Dec 2011 H	300,000,000	2011/06/14	2011/12/29	75.00
64688	Credit Suisse AG - HSI R Bull CBBC Dec 2011 I	300,000,000	2011/06/14	2011/12/29	75.00
64679	Credit Suisse AG - HSI R Bear CBBC Sep 2011 U	300,000,000	2011/06/14	2011/09/29	75.00
64680	Credit Suisse AG - HSI R Bear CBBC Oct 2011 O	300,000,000	2011/06/14	2011/10/28	75.00
64676	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11H	300,000,000	2011/06/14	2011/09/29	75.00
64677	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11O	300,000,000	2011/06/14	2011/09/29	75.00
64689	UBS AG - A50 China R Bull CBBC Nov 2011 A	100,000,000	2011/06/14	2011/11/21	25.00
64678	UBS AG - HSI R Bull CBBC Oct 2011 J	300,000,000	2011/06/14	2011/10/28	75.00
64690	UBS AG - HSI R Bear CBBC Nov 2011 O	300,000,000	2011/06/14	2011/11/29	75.00
64705	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 S	200,000,000	2011/06/15	2011/09/29	50.00
64708	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 T	200,000,000	2011/06/15	2011/09/29	50.00
64709	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 U	200,000,000	2011/06/15	2011/09/29	50.00
64704	Credit Suisse AG - HSI R Bull CBBC Oct 2011 T	300,000,000	2011/06/15	2011/10/28	75.00
64703	Credit Suisse AG - HSI R Bear CBBC Sep 2011 J	300,000,000	2011/06/15	2011/09/29	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64697	HK Bank - HSI R Bear CBBC Jan 2012 A	120,000,000	2011/06/15	2012/01/30	30.00
64698	HK Bank - HSI R Bear CBBC Jan 2012 B	150,000,000	2011/06/15	2012/01/30	37.50
64713	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 F	128,000,000	2011/06/15	2011/11/29	32.00
64702	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2011 B	300,000,000	2011/06/15	2011/12/30	75.00
64695	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11P	300,000,000	2011/06/15	2011/09/29	75.00
64696	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11V	300,000,000	2011/06/15	2011/09/29	75.00
64711	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11J	300,000,000	2011/06/15	2011/10/28	75.00
64712	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11K	300,000,000	2011/06/15	2011/10/28	75.00
64699	UBS AG - HSI R Bull CBBC Nov 2011 P	300,000,000	2011/06/15	2011/11/29	75.00
64701	UBS AG - HSI R Bull CBBC Dec 2011 C	300,000,000	2011/06/15	2011/12/29	75.00
64721	UBS AG - HSI R Bear CBBC Oct 2011 L	300,000,000	2011/06/15	2011/10/28	75.00
64714	UBS AG - TCH R Bull CBBC Nov 2011 B	100,000,000	2011/06/15	2011/11/07	25.00
64716	UBS AG - TCH R Bear CBBC Nov 2011 C	100,000,000	2011/06/15	2011/11/14	25.00
63366 #	HK Bank - HSI R Bull CBBC Sep 2011 E	250,000,000	2011/06/15	2011/09/29	14.25
68488 #	HK Bank - HSI R Bull CBBC Aug 2011 D	200,000,000	2011/06/15	2011/08/30	22.00
64728	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 V	200,000,000	2011/06/16	2011/09/29	50.00
64729	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 W	200,000,000	2011/06/16	2011/09/29	50.00
64743	Credit Suisse AG - HSI R Bull CBBC Nov 2011 Z	300,000,000	2011/06/16	2011/11/29	75.00
64746	Credit Suisse AG - HSI R Bull CBBC Jan 2012 A	300,000,000	2011/06/16	2012/01/30	75.00
64742	Credit Suisse AG - HSI R Bear CBBC Sep 2011 N	300,000,000	2011/06/16	2011/09/29	75.00
64741	Credit Suisse AG - HSI R Bear CBBC Sep 2011 P	300,000,000	2011/06/16	2011/09/29	75.00
64740	Credit Suisse AG - TCH R Bear CBBC Oct 2011 E	100,000,000	2011/06/16	2011/10/24	25.00
64730	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11S	300,000,000	2011/06/16	2011/09/29	75.00
64732	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11L	300,000,000	2011/06/16	2011/10/28	75.00
64734	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11M	300,000,000	2011/06/16	2011/10/28	75.00
64726	UBS AG - HSI R Bull CBBC Oct 2011 M	300,000,000	2011/06/16	2011/10/28	75.00
64723	UBS AG - HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/06/16	2011/11/29	75.00
64747	UBS AG - HSI R Bull CBBC Nov 2011 R	300,000,000	2011/06/16	2011/11/29	75.00
64748	UBS AG - HSI R Bull CBBC Dec 2011 D	300,000,000	2011/06/16	2011/12/29	75.00
64752	UBS AG - HSI R Bear CBBC Sep 2011 P	300,000,000	2011/06/16	2011/09/29	75.00
64756	UBS AG - HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/06/16	2011/10/28	75.00
64758	UBS AG - HSI R Bear CBBC Oct 2011 X	300,000,000	2011/06/16	2011/10/28	75.00
64751	UBS AG - ICBC R Bull CBBC Dec 2011 A	100,000,000	2011/06/16	2011/12/05	25.00
64776	Credit Suisse AG - HSI R Bull CBBC Dec 2011 J	300,000,000	2011/06/17	2011/12/29	75.00
64775	Credit Suisse AG - HSI R Bear CBBC Sep 2011 E	300,000,000	2011/06/17	2011/09/29	75.00
64761	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 A	100,000,000	2011/06/17	2012/01/30	31.50
64762	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 B	100,000,000	2011/06/17	2012/01/30	25.00
64767	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 C	100,000,000	2011/06/17	2012/01/30	25.50
64768	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 D	100,000,000	2011/06/17	2012/01/30	25.00
64769	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 E	100,000,000	2011/06/17	2012/01/30	25.00
64770	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 F	100,000,000	2011/06/17	2012/01/30	25.00
64772	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 G	100,000,000	2011/06/17	2012/01/30	25.00
64773	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 H	100,000,000	2011/06/17	2012/01/30	25.00
64759	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11I	300,000,000	2011/06/17	2011/09/29	75.00
64760	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/06/17	2011/09/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64779	UBS AG - CC Bank R Bull CBBC Nov 2011 A	100,000,000	2011/06/17	2011/11/21	25.00
64774	UBS AG - HSI R Bull CBBC Sep 2011 Q	300,000,000	2011/06/17	2011/09/29	75.00
64778	UBS AG - HSI R Bull CBBC Oct 2011 V	300,000,000	2011/06/17	2011/10/28	75.00
64777	UBS AG - HSI R Bull CBBC Oct 2011 W	300,000,000	2011/06/17	2011/10/28	75.00
64780	UBS AG - HSI R Bear CBBC Sep 2011 S	300,000,000	2011/06/17	2011/09/29	75.00
64791	Credit Suisse AG - HSI R Bull CBBC Nov 2011 F	300,000,000	2011/06/20	2011/11/29	75.00
64809	Credit Suisse AG - HSI R Bear CBBC Sep 2011 V	300,000,000	2011/06/20	2011/09/29	75.00
64792	Credit Suisse AG - TCH R Bull CBBC Nov 2011 A	100,000,000	2011/06/20	2011/11/28	36.00
64793	Credit Suisse AG - TCH R Bear CBBC Nov 2011 B	100,000,000	2011/06/20	2011/11/28	25.00
64781	HK Bank - China Mobile R Bull CBBC Dec 2011 A	100,000,000	2011/06/20	2011/12/16	25.00
64782	HK Bank - Sinopec Corp R Bull CBBC Dec 2011 B	120,000,000	2011/06/20	2011/12/28	30.00
64783	HK Bank - A50 R Bull CBBC Jan 2012 A	120,000,000	2011/06/20	2012/01/20	30.00
64787	HK Bank - A50 R Bear CBBC Dec 2011 D	100,000,000	2011/06/20	2011/12/02	25.00
64788	HK Bank - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/06/20	2011/12/29	50.00
64789	HK Bank - HSI R Bear CBBC Jan 2012 C	120,000,000	2011/06/20	2012/01/30	30.00
64807	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/06/20	2011/09/29	75.00
64794	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11W	300,000,000	2011/06/20	2011/09/29	75.00
64797	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11P	300,000,000	2011/06/20	2011/10/28	75.00
64798	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11Q	300,000,000	2011/06/20	2011/10/28	75.00
64799	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11R	300,000,000	2011/06/20	2011/10/28	75.00
64800	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11S	300,000,000	2011/06/20	2011/10/28	75.00
64801	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11T	300,000,000	2011/06/20	2011/10/28	75.00
64802	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11U	300,000,000	2011/06/20	2011/10/28	75.00
64803	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11V	300,000,000	2011/06/20	2011/10/28	75.00
64795	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11N	300,000,000	2011/06/20	2011/10/28	75.00
64796	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11O	300,000,000	2011/06/20	2011/10/28	75.00
64814	UBS AG - HSBC R Bear CBBC Nov 2011 C	100,000,000	2011/06/20	2011/11/07	25.00
64790	UBS AG - HSI R Bull CBBC Sep 2011 U	300,000,000	2011/06/20	2011/09/29	75.00
64811	UBS AG - HSI R Bull CBBC Sep 2011 Z	300,000,000	2011/06/20	2011/09/29	75.00
64812	UBS AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/06/20	2011/10/28	75.00
64813	UBS AG - HSI R Bull CBBC Nov 2011 S	300,000,000	2011/06/20	2011/11/29	75.00
64810	UBS AG - HSI R Bear CBBC Sep 2011 Y	300,000,000	2011/06/20	2011/09/29	75.00
63492 #	Deutsche Bank AG - Hutchison R Bull CBBC Oct 2011 A	160,000,000	2011/06/20	2011/10/18	10.40
67713 #	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 A	180,000,000	2011/06/20	2011/08/30	13.14
64830	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 N	200,000,000	2011/06/21	2011/12/29	50.00
64828	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 T	200,000,000	2011/06/21	2011/12/29	50.00
64829	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/06/21	2011/12/29	50.00
64815	Credit Suisse AG - Sinopec Corp R Bull CBBC Dec 2011 A	100,000,000	2011/06/21	2011/12/12	25.00
64819	Credit Suisse AG - HSI R Bull CBBC Dec 2011 K	300,000,000	2011/06/21	2011/12/29	75.00
64820	Credit Suisse AG - HSI R Bull CBBC Dec 2011 L	300,000,000	2011/06/21	2011/12/29	75.00
64822	Credit Suisse AG - HSI R Bull CBBC Jan 2012 B	300,000,000	2011/06/21	2012/01/30	75.00
64825	Credit Suisse AG - HSI R Bull CBBC Jan 2012 C	300,000,000	2011/06/21	2012/01/30	75.00
64826	Credit Suisse AG - HSI R Bull CBBC Jan 2012 D	300,000,000	2011/06/21	2012/01/30	75.00
64816	Credit Suisse AG - TCH R Bull CBBC Oct 2011 F	100,000,000	2011/06/21	2011/10/24	25.00
64827	UBS AG - HSI R Bear CBBC Dec 2011 E	300,000,000	2011/06/21	2011/12/29	75.00

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63470 #	Credit Suisse AG - HSI R Bull CBBC Oct 2011 L	210,000,000	2011/06/21	2011/10/28	15.75
64835	Credit Suisse AG - HSI R Bull CBBC Nov 2011 G	300,000,000	2011/06/22	2011/11/29	75.00
64831	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2012 A	388,000,000	2011/06/22	2012/01/30	97.78
64832	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11W	300,000,000	2011/06/22	2011/10/28	78.00
64833	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11X	300,000,000	2011/06/22	2011/10/28	75.00
64836	UBS AG - HSI R Bear CBBC Sep 2011 B	300,000,000	2011/06/22	2011/09/29	75.00
64855	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 C	200,000,000	2011/06/23	2011/09/29	50.00
64856	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 D	200,000,000	2011/06/23	2011/09/29	50.00
64863	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 F	200,000,000	2011/06/23	2011/09/29	50.00
64860	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 I	200,000,000	2011/06/23	2011/09/29	50.00
64837	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 X	200,000,000	2011/06/23	2011/09/29	50.00
64844	Credit Suisse AG - HSI R Bull CBBC Dec 2011 M	300,000,000	2011/06/23	2011/12/29	75.00
64882	Credit Suisse AG - HSI R Bull CBBC Dec 2011 N	300,000,000	2011/06/23	2011/12/29	75.00
64883	Credit Suisse AG - HSI R Bull CBBC Jan 2012 E	300,000,000	2011/06/23	2012/01/30	84.00
64884	Credit Suisse AG - HSI R Bull CBBC Jan 2012 F	300,000,000	2011/06/23	2012/01/30	75.00
64885	Credit Suisse AG - HSI R Bull CBBC Jan 2012 G	300,000,000	2011/06/23	2012/01/30	75.00
64887	Credit Suisse AG - HSI R Bull CBBC Feb 2012 A	300,000,000	2011/06/23	2012/02/28	96.00
64889	Credit Suisse AG - HSI R Bull CBBC Feb 2012 B	300,000,000	2011/06/23	2012/02/28	90.00
64890	Credit Suisse AG - HSI R Bull CBBC Feb 2012 C	300,000,000	2011/06/23	2012/02/28	78.00
64891	Credit Suisse AG - HSI R Bull CBBC Feb 2012 D	300,000,000	2011/06/23	2012/02/28	75.00
64878	Credit Suisse AG - HSI R Bear CBBC Sep 2011 T	300,000,000	2011/06/23	2011/09/29	75.00
64842	Credit Suisse AG - HSI R Bear CBBC Oct 2011 U	300,000,000	2011/06/23	2011/10/28	75.00
64838	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11Y	300,000,000	2011/06/23	2011/10/28	75.00
64848	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11A	300,000,000	2011/06/23	2011/12/29	123.00
64850	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11B	300,000,000	2011/06/23	2011/12/29	99.00
64851	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11C	300,000,000	2011/06/23	2011/12/29	115.50
64853	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11D	300,000,000	2011/06/23	2011/12/29	112.50
64854	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11E	300,000,000	2011/06/23	2011/12/29	90.00
64841	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11C	300,000,000	2011/06/23	2011/10/28	75.00
64840	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11Z	300,000,000	2011/06/23	2011/10/28	75.00
64864	UBS AG - Cheung Kong R Bull CBBC Dec 2011 A	100,000,000	2011/06/23	2011/12/12	25.00
64865	UBS AG - HSBC R Bull CBBC Nov 2011 D	100,000,000	2011/06/23	2011/11/14	25.00
64839	UBS AG - HSI R Bull CBBC Sep 2011 C	300,000,000	2011/06/23	2011/09/29	75.00
64867	UBS AG - HSI R Bull CBBC Nov 2011 T	300,000,000	2011/06/23	2011/11/29	75.00
64868	UBS AG - HSI R Bull CBBC Dec 2011 F	300,000,000	2011/06/23	2011/12/29	156.00
64869	UBS AG - HSI R Bull CBBC Dec 2011 G	300,000,000	2011/06/23	2011/12/29	75.00
64870	UBS AG - HSI R Bull CBBC Dec 2011 H	300,000,000	2011/06/23	2011/12/29	75.00
64872	UBS AG - HSI R Bull CBBC Jan 2012 A	300,000,000	2011/06/23	2012/01/30	75.00
64873	UBS AG - HSI R Bull CBBC Jan 2012 B	300,000,000	2011/06/23	2012/01/30	75.00
64876	UBS AG - HSI R Bear CBBC Sep 2011 W	300,000,000	2011/06/23	2011/09/29	75.00
64877	UBS AG - HSI R Bear CBBC Nov 2011 U	300,000,000	2011/06/23	2011/11/29	75.00
64866	UBS AG - Ping An R Bull CBBC Dec 2011 A	100,000,000	2011/06/23	2011/12/19	25.00
64932	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 H	200,000,000	2011/06/24	2011/09/29	50.00
64933	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 J	200,000,000	2011/06/24	2011/09/29	50.00
64931	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 K	200,000,000	2011/06/24	2011/09/29	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64914	Credit Suisse AG - HSI R Bull CBBC Nov 2011 E	300,000,000	2011/06/24	2011/11/29	75.00
64911	Credit Suisse AG - HSI R Bull CBBC Dec 2011 O	300,000,000	2011/06/24	2011/12/29	75.00
64910	Credit Suisse AG - HSI R Bear CBBC Oct 2011 J	300,000,000	2011/06/24	2011/10/28	75.00
64913	Credit Suisse AG - HSI R Bear CBBC Oct 2011 P	300,000,000	2011/06/24	2011/10/28	75.00
64909	Credit Suisse AG - HSI R Bear CBBC Oct 2011 X	300,000,000	2011/06/24	2011/10/28	75.00
64906	Credit Suisse AG - TCH R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/12	36.00
64905	Credit Suisse AG - TCH R Bear CBBC Nov 2011 C	100,000,000	2011/06/24	2011/11/21	25.00
64896	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 I	100,000,000	2011/06/24	2012/01/30	25.50
64897	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 J	100,000,000	2011/06/24	2012/01/30	25.00
64898	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 K	100,000,000	2011/06/24	2012/01/30	25.00
64899	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 L	100,000,000	2011/06/24	2012/01/30	25.50
64902	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 M	100,000,000	2011/06/24	2012/01/30	27.50
64903	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 N	100,000,000	2011/06/24	2012/01/30	28.50
64915	UBS AG - AIA R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/05	30.00
64916	UBS AG - China Life R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/05	47.50
64926	UBS AG - China Life R Bear CBBC Dec 2011 B	100,000,000	2011/06/24	2011/12/12	33.00
64921	UBS AG - A50 China R Bull CBBC Nov 2011 B	100,000,000	2011/06/24	2011/11/28	25.00
64923	UBS AG - HSCEI R Bull CBBC Nov 2011 A	100,000,000	2011/06/24	2011/11/29	25.00
64912	UBS AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/06/24	2011/09/29	75.00
64904	UBS AG - HSI R Bull CBBC Oct 2011 F	300,000,000	2011/06/24	2011/10/28	75.00
64924	UBS AG - HSI R Bull CBBC Dec 2011 I	300,000,000	2011/06/24	2011/12/29	75.00
64925	UBS AG - HSI R Bull CBBC Jan 2012 C	300,000,000	2011/06/24	2012/01/30	85.50
64928	UBS AG - HSI R Bear CBBC Sep 2011 K	300,000,000	2011/06/24	2011/09/29	75.00
64929	UBS AG - HSI R Bear CBBC Oct 2011 K	300,000,000	2011/06/24	2011/10/28	75.00
64930	UBS AG - HSI R Bear CBBC Nov 2011 V	300,000,000	2011/06/24	2011/11/29	75.00
64917	UBS AG - TCH R Bull CBBC Nov 2011 D	100,000,000	2011/06/24	2011/11/28	25.00
64918	UBS AG - TCH R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/12	38.00
64927	UBS AG - TCH R Bear CBBC Nov 2011 E	100,000,000	2011/06/24	2011/11/28	28.50
64967	Credit Suisse AG - HKEx R Bull CBBC Nov 2011 A	100,000,000	2011/06/27	2011/11/28	25.00
64969	Credit Suisse AG - HKEx R Bear CBBC Nov 2011 B	100,000,000	2011/06/27	2011/11/28	25.00
64972	Credit Suisse AG - HSI R Bull CBBC Dec 2011 P	300,000,000	2011/06/27	2011/12/29	75.00
64951	Credit Suisse AG - HSI R Bull CBBC Jan 2012 H	300,000,000	2011/06/27	2012/01/30	75.00
64952	Credit Suisse AG - HSI R Bull CBBC Feb 2012 E	300,000,000	2011/06/27	2012/02/28	75.00
64953	Credit Suisse AG - HSI R Bull CBBC Feb 2012 F	300,000,000	2011/06/27	2012/02/28	75.00
64955	Credit Suisse AG - HSI R Bull CBBC Mar 2012 A	300,000,000	2011/06/27	2012/03/29	85.50
64956	Credit Suisse AG - HSI R Bull CBBC Mar 2012 B	300,000,000	2011/06/27	2012/03/29	75.00
64957	Credit Suisse AG - HSI R Bull CBBC Mar 2012 C	300,000,000	2011/06/27	2012/03/29	75.00
64958	Credit Suisse AG - HSI R Bull CBBC Mar 2012 D	300,000,000	2011/06/27	2012/03/29	75.00
65004	Credit Suisse AG - HSI R Bear CBBC Sep 2011 C	300,000,000	2011/06/27	2011/09/29	75.00
64949	Credit Suisse AG - HSI R Bear CBBC Oct 2011 K	300,000,000	2011/06/27	2011/10/28	75.00
64950	Credit Suisse AG - HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/06/27	2011/10/28	75.00
64966	Credit Suisse AG - Hutchison R Bull CBBC Nov 2011 A	100,000,000	2011/06/27	2011/11/28	25.00
64968	Credit Suisse AG - Hutchison R Bear CBBC Nov 2011 B	100,000,000	2011/06/27	2011/11/28	25.00
64934	HK Bank - China Life R Bull CBBC Dec 2011 B	80,000,000	2011/06/27	2011/12/29	20.00
64941	HK Bank - CM Bank R Bull CBBC Feb 2012 B	80,000,000	2011/06/27	2012/02/24	20.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64944	HK Bank - A50 R Bull CBBC Jan 2012 B	100,000,000	2011/06/27	2012/01/30	25.00
64945	HK Bank - HSI R Bull CBBC Dec 2011 E	100,000,000	2011/06/27	2011/12/29	25.00
64946	HK Bank - HSI R Bull CBBC Dec 2011 F	100,000,000	2011/06/27	2011/12/29	25.00
64947	HK Bank - HSI R Bull CBBC Jan 2012 D	100,000,000	2011/06/27	2012/01/30	25.00
64943	HK Bank - Hutchison R Bull CBBC Dec 2011 B	80,000,000	2011/06/27	2011/12/29	20.00
64973	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11F	300,000,000	2011/06/27	2011/12/29	106.50
64974	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11G	300,000,000	2011/06/27	2011/12/29	123.00
64975	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11H	300,000,000	2011/06/27	2011/12/29	120.00
64979	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11I	300,000,000	2011/06/27	2011/12/29	97.50
64980	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11J	300,000,000	2011/06/27	2011/12/29	112.50
64985	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11A	300,000,000	2011/06/27	2011/10/28	75.00
64986	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11B	300,000,000	2011/06/27	2011/10/28	75.00
64982	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11D	300,000,000	2011/06/27	2011/10/28	75.00
64987	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11E	300,000,000	2011/06/27	2011/10/28	75.00
64988	UBS AG - Cheung Kong R Bull CBBC Dec 2011 B	100,000,000	2011/06/27	2011/12/19	25.00
64990	UBS AG - HKEx R Bull CBBC Dec 2011 A	100,000,000	2011/06/27	2011/12/19	36.00
64991	UBS AG - HKEx R Bull CBBC Dec 2011 B	100,000,000	2011/06/27	2011/12/12	25.00
65007	UBS AG - HKEx R Bear CBBC Dec 2011 C	100,000,000	2011/06/27	2011/12/19	26.50
65006	UBS AG - HSI R Bull CBBC Sep 2011 X	300,000,000	2011/06/27	2011/09/29	75.00
65005	UBS AG - HSI R Bull CBBC Nov 2011 X	300,000,000	2011/06/27	2011/11/29	75.00
65009	UBS AG - HSI R Bear CBBC Oct 2011 P	300,000,000	2011/06/27	2011/10/28	75.00
64948	UBS AG - HSI R Bear CBBC Nov 2011 W	300,000,000	2011/06/27	2011/11/29	75.00
64992	UBS AG - Hutchison R Bull CBBC Dec 2011 A	100,000,000	2011/06/27	2011/12/12	25.00
64993	UBS AG - SHK Ppt R Bull CBBC Dec 2011 A	100,000,000	2011/06/27	2011/12/19	25.00
64995	UBS AG - TCH R Bull CBBC Dec 2011 B	100,000,000	2011/06/27	2011/12/19	35.00
65008	UBS AG - TCH R Bear CBBC Dec 2011 C	100,000,000	2011/06/27	2011/12/19	31.50
65030	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/06/28	2011/12/29	50.00
65031	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Z	200,000,000	2011/06/28	2011/12/29	50.00
65018	Credit Suisse AG - HSI R Bull CBBC Dec 2011 Q	300,000,000	2011/06/28	2011/12/29	75.00
65017	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2012 B	388,000,000	2011/06/28	2012/01/30	97.78
65016	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2012 A	388,000,000	2011/06/28	2012/02/28	100.49
65019	UBS AG - CC Bank R Bull CBBC Dec 2011 A	100,000,000	2011/06/28	2011/12/19	25.00
65029	UBS AG - HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/06/28	2011/11/29	75.00
63472 #	Credit Suisse AG - HSI R Bull CBBC Dec 2011 C	210,000,000	2011/06/28	2011/12/29	17.22
65033	UBS AG - A50 China R Bear CBBC Dec 2011 A	100,000,000	2011/06/29	2011/12/12	25.00
65032	UBS AG - Hutchison R Bear CBBC Dec 2011 B	100,000,000	2011/06/29	2011/12/19	25.00
65034	Barclays Bank plc - HSI R Bull CBBC Jan 2012 A	300,000,000	2011/06/30	2012/01/30	75.00
65035	Barclays Bank plc - HSI R Bull CBBC Jan 2012 B	300,000,000	2011/06/30	2012/01/30	75.00
65037	Barclays Bank plc - HSI R Bear CBBC Jan 2012 C	300,000,000	2011/06/30	2012/01/30	75.00
65038	Barclays Bank plc - HSI R Bear CBBC Jan 2012 D	300,000,000	2011/06/30	2012/01/30	75.00
65039	HK Bank - HSI R Bear CBBC Feb 2012 A	100,000,000	2011/06/30	2012/02/28	25.00
65041	HK Bank - HSI R Bear CBBC Feb 2012 B	100,000,000	2011/06/30	2012/02/28	26.00
65042	UBS AG - Petrochina R Bull CBBC Dec 2011 A	100,000,000	2011/06/30	2011/12/19	25.00
總額					54,073.65

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2010	第4季*	2	119.44
2011	第1季	2	139.30
	第2季	3	331.18

* 第一家預託證券於2010年12月8日開始交易買賣

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2010	第2季	—	—
	第3季	—	—
	第4季	—	—
2011	第1季	—	—
	第2季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第2季	7	0.05
	第3季	7	0.30
	第4季	7	0.30
2011	第1季	7	0.06
	第2季	7	0.32

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第2季	2	0.00
	第3季	2	0.00
	第4季	2	0.00
2011	第1季	2	0.00
	第2季	2	0.00

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2010	第2季	139,315.11	39,315.10	987,294
	第3季	88,672.33	24,297.92	647,501
	第4季	120,693.51	38,527.65	969,546
2011	第1季	124,595.93	22,614.51	608,740
	第2季	79,170.20	20,231.96	507,155

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2010	第2季	60	655.25	39,821
	第3季	64	379.65	37,526
	第4季	64	601.99	39,738
2011	第1季	62	364.75	37,150
	第2季	59	342.91	39,893

20種升幅最大之創業板股份

2011年第2季

順次	股份代號	股份	收市價		升幅
			2011年6月底	2011年3月底	
1	08270	中國聯盛	0.560	0.265	111.32
2	08186	神州東盟資源	0.430	0.255	68.63
3	08226	中昱科技	1.340	0.860	55.81
4	08111	一創科技	0.415	0.285	45.61
5	08279	亞博科技控股	0.460	0.320	43.75
6	08070	中裕燃氣	0.650	0.490	32.65
7	08052	利亞零售	3.850	2.980	29.19
8	08233	中國基建港口	0.117	0.091	28.57
9	08207	中國信貸	1.560	1.220	27.87
10	08249	屹東電子	0.230	0.180	27.78
11	08131	辰罡科技	0.540	0.425	27.06
12	08231	復旦張江	2.030	1.650	23.03
13	08292	慧聰網	1.710	1.400	22.14
14	08151	億勝生物科技	0.880	0.740	18.92
15	08198	新濠環彩	0.148	0.125	18.40
16	08269	富譽控股	0.355	0.310	14.52
17	08123	首華財經網絡	0.270	0.240	12.50
18	08033	愛達利網絡	0.320	0.285	12.28
19	08055	中國網絡教育	0.385	0.345	11.59
20	08061	光亞	0.129	0.117	10.26

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2011年第2季

順次	股份代號	股份	收市價		跌幅
			2011年6月底	2011年3月底	
1	08078	中國3D數碼	0.020	0.083	-75.90
2	08212	香港生命集團	0.049	0.145	-66.21
3	08235	賽迪顧問	0.213	0.560	-61.96
4	08130	智城控股	0.285	0.740 A	-61.49
5	08202	匯創控股	0.425	1.080 A	-60.65
6	08022	彩娛集團	0.115	0.285	-59.65
7	08167	中國新電信	0.480	1.140 A	-57.89
8	08271	環球數碼創意	0.395	0.870	-54.60
9	08088	高誠資本	0.085	0.174	-51.15
10	08075	ROJAM	0.208	0.385	-45.97
11	08053	中國電力科技	0.103	0.175	-41.14
12	08011	百田石油	0.082	0.138 A	-40.58
13	08166	中國農業生態	0.084	0.141	-40.43
14	08003	世大控股	0.156	0.250	-37.60
15	08080	北亞策略	0.047	0.073	-35.62
16	08071	中彩網通控股	0.210	0.325	-35.38
17	08321	中國汽車內飾	0.750	1.120	-33.04
18	08047	中國掌付	0.180	0.265	-32.08
19	08050	FLEXSYSTEM	0.425	0.620	-31.45
20	08312	金滿堂控股	0.158	0.230	-31.30

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2011年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	08137	洪橋集團	2,132.65	10.54
2	08207	中國信貸	1,893.67	9.36
3	08046	恒芯中國	1,483.50	7.33
4	08277	物美商業	721.20	3.56
5	08351	永恒國際股份	680.37	3.36
6	08086	易寶	654.00	3.23
7	08132	鉦皓控股	632.09	3.12
8	08161	華彩控股	578.24	2.86
9	08150	無縫綠色	573.92	2.84
10	08071	中彩網通控股	558.96	2.76
11	08078	中國3D數碼	508.12	2.51
12	08167	中國新電信	463.57	2.29
13	08032	非凡中國	453.73	2.24
14	08075	ROJAM	411.46	2.03
15	08043	年代煤礦機電	373.78	1.85
16	08103	泰盛國際控股	368.60	1.82
17	08029	太陽國際	353.02	1.74
18	08220	比高集團	323.34	1.60
19	08270	中國聯盛	318.17	1.57
20	08176	中國金豐集團	266.75	1.32
總額			13,749.14	67.96

創業板 股份

20種成交最活躍創業板股份(股數)

2011年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	08078	中國3D數碼	15,363.99	19.41
2	08009	菱控有限公司	8,707.36	11.00
3	08167	中國新電信	5,308.07	6.70
4	08382	中國3D數碼股權	4,636.69	5.86
5	08161	華彩控股	2,500.63	3.16
6	08103	泰盛國際控股	2,189.95	2.77
7	08212	香港生命集團	2,180.22	2.75
8	08071	中彩網通控股	1,981.79	2.50
9	08202	匯創控股	1,760.20	2.22
10	08130	智城控股	1,607.12	2.03
11	08220	比高集團	1,549.09	1.96
12	08032	非凡中國	1,400.40	1.77
13	08075	ROJAM	1,377.62	1.74
14	08132	鉦皓控股	1,288.01	1.63
15	08080	北亞策略	1,251.44	1.58
16	08171	中國趨勢	1,220.05	1.54
17	08022	彩娛集團	1,218.47	1.54
18	08046	恒芯中國	1,198.17	1.51
19	08207	中國信貸	1,128.63	1.43
20	08116	中國公共醫療	1,061.06	1.34
總數			58,928.94	74.43

創業板 股份

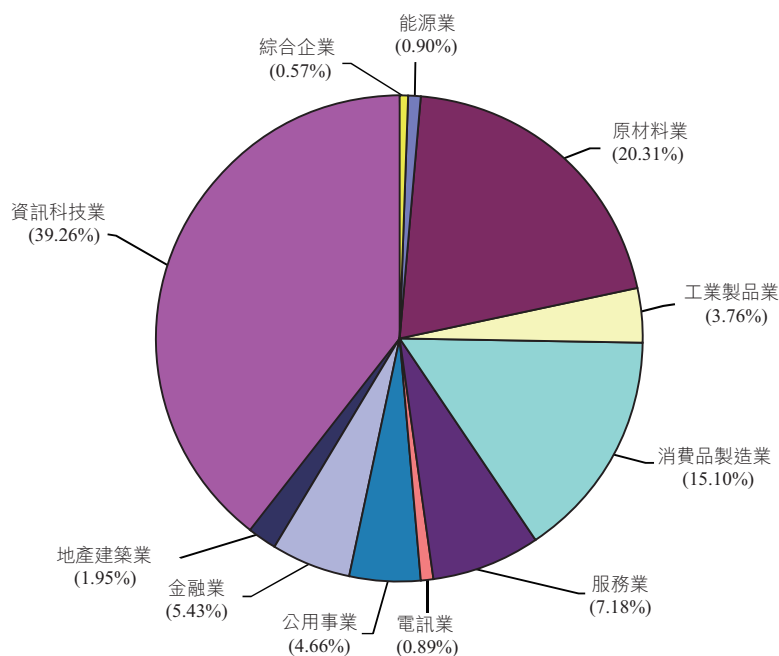
創業板公司市價總值

截至季末

		百萬港元
2010	第2季	130,902.34
	第3季	129,859.18
	第4季	134,674.08
2011	第1季	137,835.27
	第2季	111,535.83

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2011年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	15,932.59	14.28
2	08086	易寶有限公司	7,243.98	6.49
3	08032	非凡中國控股有限公司	4,961.28	4.45
4	08192	環球能源資源國際集團有限公司	3,387.96	3.04
5	08046	恒芯中國控股有限公司	3,282.96	2.94
6	08043	年代煤礦機電設備制造有限公司	3,126.19	2.80
7	08035	濱海投資有限公司	2,996.41	2.69
8	08096	瑞豐石化控股有限公司	2,965.02	2.66
9	08207	中國信貸控股有限公司	2,730.00	2.45
10	08008	新意網集團有限公司	2,193.73	1.97
11	08075	Rojam Entertainment Holdings Ltd.	2,087.96	1.87
12	08206	神通電信服務有限公司	1,911.52	1.71
13	08071	中彩網通控股有限公司	1,906.00	1.71
14	08066	品創控股有限公司	1,699.91	1.52
15	08279	亞博科技控股有限公司	1,697.21	1.52
16	08158	中國生物醫學再生科技有限公司	1,672.48	1.50
17	08161	華彩控股有限公司	1,608.07	1.44
18	08155	南華置地有限公司	1,564.99	1.40
19	08070	中裕燃氣控股有限公司	1,539.20	1.38
20	08270	中國聯盛投資集團有限公司	1,446.76	1.30
21	08029	太陽國際集團有限公司	1,419.23	1.27
22	08058	山東羅欣藥業股份有限公司 - H股	1,347.75	1.21
23	08083	中國創新支付集團有限公司	1,202.20	1.08
24	08123	首華財經網絡集團有限公司	1,087.82	0.98
25	08190	康佰控股有限公司	976.46	0.88
26	08299	大唐潼金控股有限公司	968.29	0.87
27	08156	眾彩科技股份有限公司	950.46	0.85
28	08292	慧聰網有限公司	929.02	0.83
29	08167	中國新電信集團有限公司	927.75	0.83
30	08186	神州東盟資源有限公司	906.55	0.81
31	08005	裕興科技控股有限公司	884.45	0.79
32	08228	國藝控股有限公司	873.37	0.78
33	08306	中國有色金屬有限公司	866.13	0.78
34	08087	中國三三傳媒集團有限公司	862.42	0.77
35	08351	永恆國際股份有限公司	765.55	0.69
36	08203	凱順能源集團有限公司	748.49	0.67
37	08175	中國數碼版權(集團)有限公司	744.10	0.67
38	08116	中國公共醫療(控股)有限公司	732.71	0.66
39	08153	科地農業控股有限公司	705.59	0.63
40	08102	上海復旦微電子集團股份有限公司 - H股	683.37	0.61
41	08290	天津天聯公用事業股份有限公司 - H股	660.08	0.59
42	08061	光亞有限公司	653.34	0.59
43	08080	北亞策略控股有限公司	638.09	0.57
44	08128	中國地能有限公司	629.92	0.56
45	08325	奧思知集團控股有限公司	600.00	0.54
46	08226	中昱科技集團有限公司	576.82	0.52
47	08109	科瑞控股有限公司	554.40	0.50
48	08016	中華數據廣播控股有限公司	551.10	0.49
49	08163	萬德資源集團有限公司	547.29	0.49
50	08220	比高集團控股有限公司	541.65	0.49
總額			90,488.60	81.13
市場總值			111,535.83	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2010	第2季	2	146.25
	第3季	2	78.24
	第4季	3	424.79
2011	第1季	3	452.01
	第2季	2	139.50

創業板新上市公司

2011年第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08132	鉦皓控股有限公司	2011/05/18	550,000,000	49.50
08193	漢華專業服務有限公司	2011/05/31	500,000,000	90.00
總額				139.50

除牌上市公司－創業板

2011年第2季

股份代號	公司名稱	最後買賣日期	除牌日期
08065	中國海景控股有限公司*	2011/05/11	2011/05/12 [§]
08305	江晨國際控股有限公司*	2011/05/13	2011/05/16 [§]
08248	幻音數碼控股有限公司*	2011/06/02	2011/06/03 [§]
08052	利亞零售有限公司*	2011/06/17	2011/06/20 [§]
08151	億勝生物科技有限公司*	2011/06/22	2011/06/23 [§]
08277	北京物美商業集團股份有限公司－H股*	2011/06/29	2011/06/30 [§]

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》
經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2011年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
08096	博軟(控股)有限公司	瑞豐石化控股有限公司	2011/03/11	2011/04/15
08213	萬達資訊科技控股有限公司	惟膳有限公司	2011/03/15	2011/04/19
08083	矽感科技控股有限公司	中國創新支付集團有限公司	2011/05/02	2011/06/07
08043	年代國際控股有限公司	年代煤礦機電設備制造有限公司	2011/05/09	2011/06/27

* 祇改英文名稱

祇改中文名稱

創業板 股份

創業板紅股／紅利認股權證

2011年第2季

股份代號	公司	詳情	除淨日
08277	北京物美商業集團股份有限公司－H股	1送3	2011/04/04

創業板股份拆細及合併

2011年第2季

股份代號	公司	詳情	生效日期
08277	北京物美商業集團股份有限公司－H股	4股合併為1股	2011/04/12
08317	財華社集團有限公司	5股合併為1股	2011/05/20
08011	百田石油國際集團有限公司	2股合併為1股	2011/05/20
08130	智城控股有限公司	20股合併為1股	2011/06/01
08202	匯創控股有限公司	20股合併為1股	2011/06/28
08167	中國新電信集團有限公司	10股合併為1股	2011/06/30

創業板供股／公開售股

2011年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
4月	08078	中國3D數碼娛樂有限公司	股權1供7，每股供0.015港元	114.02
6月	08317	財華社集團有限公司	公開售股1供4，每股供0.25港元 (5股合併為1股後)	88.16
	08011	百田石油國際集團有限公司	股權1供1，每股供0.12港元 (2股合併為1股後)	54.93
	08266	流動電訊網絡(控股)有限公司	公開售股1供1，每股供0.10港元	58.86
總額				315.97

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2011					
3月**	08351	ETERNITE INT'L	64,500,000	0.553	35.67
	08150	SEAMLESS GREEN	28,000,000	0.700	19.60
4月	08351	ETERNITE INT'L	26,000,000	0.553	14.38
	08019	HAO WEN HLDGS	300,000,000	0.055	16.50
	08165	JIAN EPAYMENT	179,600,000	0.205	36.82
	08022	TLT LOTTOTAIN	100,000,000	0.295	29.50
	08070	ZHONGYU GAS	394,000,000	0.410	161.54
5月	08089	C RAILLOGISTICS	112,000,000	0.430	48.16
	08016	CHINA DATACAST	16,000,000	0.500	8.00
	08212	HK LIFE GROUP	356,160,000	0.100	35.62
	08103	TAI SHING	393,500,000	0.161	63.35
	08022	TLT LOTTOTAIN	65,000,000	0.245	15.93
	08079	UL CREATIVITY	105,000,000	0.105	11.03
	08269	WEALTH GLORY	110,400,000	0.370	40.85
	08021	WLS HOLDINGS	118,380,000	0.188	22.26
6月	08207	CREDIT CHINA	90,000,000	1.750	157.50
	08292	HC INTL	53,809,685	1.500	80.71
	08100	M DREAM INWORLD	400,000,000	0.065	26.00
	08075	ROJAM	6,918,343,209	0.017	118.58
	08150	SEAMLESS GREEN	35,000,000	1.450	50.75
總額					992.73

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計 – 創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2010	第2季	1	0.04	1.49
	第3季	1	8.43	35.85
	第4季	1	13.61	40.15
2011	第1季	2	2.74	43.08
	第2季	2	1.03	21.87

創業板新上市股份認股權證

2011年第2季

股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
Nil					
總額					-

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2011年6月底 收市	2011年3月底 收市	升跌
標準普爾／香港交易所大型股指數	26685.63	28034.90	- 4.81%
標準普爾／香港交易所創業板指數	695.54	769.66	- 9.63%
恒生指數	22398.10	23527.52	- 4.80%
分類指數			
金融	31908.19	34488.12	- 7.48%
公用事業	46286.11	42761.10	+ 8.24%
地產	27976.75	30035.02	- 6.85%
工商業	12993.33	13324.00	- 2.48%
恒生香港中資企業指數	4192.08	4244.39	- 1.23%
恒生中國企業指數	12576.68	13315.84	- 5.55%

股票市場指數

世界指數

指數	2011年6月底 收市	2011年3月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	26,685.63	28,034.90	- 4.81%
恒生指數	22,398.10	23,527.52	- 4.80%
澳洲			
普通股指數	4,659.79	4,928.61	- 5.45%
雅加達			
綜合指數	3,888.57	3,678.67	+ 5.71%
日本			
日經指數 (225)	9,816.09	9,755.10	+ 0.63%
韓國			
綜合指數	2,100.69	2,106.70	- 0.29%
吉隆坡			
綜合指數	1,579.07	1,545.13	+ 2.20%
馬尼拉			
綜合指數	4,291.21	4,055.14	+ 5.82%
紐西蘭			
證交所指數 (50)	3,448.35	3,439.85	+ 0.25%
上海			
A 股指數	2,893.53	3,065.92	- 5.62%
B 股指數	271.31	317.45	- 14.53%
深圳			
A 股指數	1,210.12	1,311.83	- 7.75%
B 股指數	723.45	817.49	- 11.50%
新加坡			
FTSE 海峽時報指數	3,120.44	3,105.85	+ 0.47%
台灣			
台北加權股份指數	8,652.59	8,683.30	- 0.35%
泰國			
證交所指數	1,041.48	1,047.48	- 0.57%
紐約			
杜瓊斯工業平均指數	12,414.34	12,319.73	+ 0.77%
Nasdaq 綜合指數	2,773.52	2,781.07	- 0.27%
多倫多			
S&P/TSX 綜合指數	13,300.87	14,116.10	- 5.78%
德國			
法蘭克福指數	4,184.30	4,114.03	+ 1.71%
倫敦			
富時指數 (100)	5,945.70	5,908.80	+ 0.62%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2011年第2季	2010年第2季
恒生指數期貨	5,167,935	5,031,763
恒生指數期權	2,584,559	1,935,115
小型恒生指數期貨	2,160,435	2,084,704
小型恒生指數期權	219,925	104,355
H股指數期貨	3,371,887	3,223,123
H股指數期權	969,847	748,555
小型H股指數期貨	410,354	255,495
自訂條款恒生指數期權	600	–
自訂條款H股指數期權	2,600	–
恒指股息點指數期貨 ¹	1,781	–
恒生國企股息點指數期貨 ²	2,941	–
股票期貨	102,565	34,403
股票期權	16,340,993	14,262,472
三個月港元利率期貨	58	264
一個月港元利率期貨	122	–
三年期外匯基金債券期貨	–	–
黃金期貨	709	1,439
總數	31,337,311	27,681,688

¹ 恒指股息點指數期貨由2010年11月01日開始買賣

² 恒生國企股息點指數期貨由2010年11月01日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第2季	5,031,763	77,070
	第3季	5,027,573	113,153
	第4季	5,839,361	88,816
2011年	第1季	5,589,341	89,300
	第2季	5,167,935	95,917

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第2季	2,084,704	7,602
	第3季	1,948,828	7,181
	第4季	2,150,209	7,359
2011年	第1季	2,176,878	8,475
	第2季	2,160,435	10,213

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第2季	1,935,115	202,453
	第3季	2,035,171	235,934
	第4季	2,731,179	187,784
2011年	第1季	2,673,755	229,086
	第2季	2,584,559	222,085

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第2季	3,223,123	89,089
	第3季	2,998,397	114,171
	第4季	3,242,625	94,734
2011年	第1季	3,206,173	107,348
	第2季	3,371,887	114,333

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2010年	第2季	12,614.00	14,262,472	5,163,995
	第3季	11,967.70	13,877,094	5,090,926
	第4季	18,050.22	20,445,628	5,381,215
2011年	第1季	16,446.28	18,417,824	5,912,772
	第2季	14,491.79	16,340,993	5,622,028

衍生產品市場 期貨及期權

各期權類別之期權金總額

2011年第2季

期權類別		期權金額(百萬港元)	佔市場百分比
X 安碩 A50 中國	A50	286.50	1.98%
農業銀行	ABC	225.54	1.56%
友邦保險	AIA	228.78	1.58%
中國鋁業	ALC	55.09	0.38%
中國銀行	BCL	420.48	2.90%
交通銀行	BCM	78.63	0.54%
東亞銀行	BEA	12.64	0.09%
中銀香港	BOC	239.12	1.65%
比亞迪股份	BYD	70.69	0.49%
建設銀行	CCB	526.56	3.63%
中國交通建設	CCC	27.48	0.19%
中國中煤能源	CCE	56.66	0.39%
中國遠洋	CCS	32.95	0.23%
中國移動	CHT	1,704.44	11.76%
中國聯通	CHU	156.37	1.08%
中信泰富	CIT	26.02	0.18%
長江實業	CKH	552.16	3.81%
中國人壽	CLI	1,934.49	13.35%
中電控股	CLP	59.93	0.41%
招商銀行	CMB	76.15	0.53%
中國海洋石油	CNC	563.94	3.89%
中國海外發展	COL	67.10	0.46%
國泰航空	CPA	16.43	0.11%
中國石油化工股份	CPC	146.66	1.01%
中國太保	CPI	40.40	0.28%
中國鐵建	CRC	17.22	0.12%
中國中鐵	CRG	21.95	0.15%
X 標智滬深300	CS3	3.42	0.02%
中國神華	CSE	93.82	0.65%
中信銀行	CTB	15.10	0.10%
中國電信	CTC	93.74	0.65%
思捷環球	ESP	28.42	0.20%
富士康國際	FIH	9.64	0.07%
電能實業	HEH	35.77	0.25%
香港交易所	HEX	492.18	3.40%
匯豐控股	HKB	1,621.18	11.19%
香港中華煤氣	HKG	8.82	0.06%
恒基地產	HLD	39.92	0.28%
華能國際電力股份	HNP	9.15	0.06%
恒生銀行	HSB	223.93	1.55%
和記黃埔	HWL	1,143.23	7.89%
工商銀行	ICB	433.21	2.99%
江西銅業	JXC	96.65	0.67%
利豐	LIF	126.24	0.87%
地鐵公司	MTR	11.99	0.08%
新世界發展	NWD	8.49	0.06%
中國平安	PAI	532.85	3.68%
中國石油股份	PEC	311.24	2.15%
中國人民財產保險	PIC	41.26	0.28%
富力地產	RFP	7.65	0.05%
新鴻基地產	SHK	747.31	5.16%
太古股份公司 A	SWA	45.06	0.31%
騰訊控股	TCH	540.17	3.73%
盈富基金	TRF	9.11	0.06%
九龍倉集團	WHL	49.66	0.34%
兗州煤業股份	YZC	50.17	0.35%
紫金礦業	ZJM	18.06	0.12%
總額		14,491.79	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2011年第2季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	149,747	0.92%
農業銀行	ABC	125,006	0.76%
友邦保險	AIA	333,206	2.04%
中國鋁業	ALC	75,250	0.46%
中國銀行	BCL	1,516,691	9.28%
交通銀行	BCM	193,159	1.18%
東亞銀行	BEA	58,466	0.36%
中銀香港	BOC	216,347	1.32%
比亞迪股份	BYD	72,493	0.44%
建設銀行	CCB	1,702,961	10.42%
中國交通建設	CCC	105,369	0.64%
中國中煤能源	CCE	121,114	0.74%
中國遠洋	CCS	136,182	0.83%
中國移動	CHT	943,345	5.77%
中國聯通	CHU	146,029	0.89%
中信泰富	CIT	31,846	0.19%
長江實業	CKH	141,909	0.87%
中國人壽	CLI	828,619	5.07%
中電控股	CLP	131,784	0.81%
招商銀行	CMB	273,866	1.68%
中國海洋石油	CNC	505,796	3.10%
中國海外發展	COL	60,277	0.37%
國泰航空	CPA	31,006	0.19%
中國石油化工股份	CPC	252,137	1.54%
中國太保	CPI	54,114	0.33%
中國鐵建	CRC	47,971	0.29%
中國中鐵	CRG	70,643	0.43%
X標智滬深300	CS3	3,426	0.02%
中國神華	CSE	214,504	1.31%
中信銀行	CTB	53,685	0.33%
中國電信	CTC	115,521	0.71%
思捷環球	ESP	159,065	0.97%
富士康國際	FIH	26,635	0.16%
電能實業	HEH	65,563	0.40%
香港交易所	HEX	1,247,338	7.63%
匯豐控股	HKB	1,615,635	9.89%
香港中華煤氣	HKG	19,910	0.12%
恒基地產	HLD	33,916	0.21%
華能國際電力股份	HNP	24,452	0.15%
恒生銀行	HSB	225,518	1.38%
和記黃埔	HWL	532,962	3.26%
工商銀行	ICB	1,440,431	8.81%
江西銅業	JXC	128,363	0.79%
利豐	LIF	55,671	0.34%
地鐵公司	MTR	44,634	0.27%
新世界發展	NWD	16,282	0.10%
中國平安	PAI	397,434	2.43%
中國石油股份	PEC	427,240	2.61%
中國人民財產保險	PIC	52,112	0.32%
富力地產	RFP	37,071	0.23%
新鴻基地產	SHK	142,811	0.87%
太古股份公司A	SWA	36,362	0.22%
騰訊控股	TCH	750,709	4.59%
盈富基金	TRF	53,900	0.33%
九龍倉集團	WHL	32,477	0.20%
兗州煤業股份	YZC	27,027	0.17%
紫金礦業	ZJM	35,006	0.21%
總數		16,340,993	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年/月	交收指示的數量	股數 (10億股)	數值 (10億港元)	
2010	第2季	77,887	44.35	176.97
	第3季	74,032	46.78	169.54
	第4季	92,915	54.76	234.79
2011	第1季	86,292	47.11	202.59
	第2季	87,498	49.00	233.07

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年/月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)	
2010	第2季	543	129.16	252.42
	第3季	499	115.92	177.54
	第4季	715	120.35	480.27
2011	第1季	516	178.77	227.52
	第2季	527	108.05	323.66

經中央結算系統存管的股份

(按季度結存統計)

年/月	股數 (10億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)	
2010	第2季	3,409.67	71.10	8,904.81	48.93
	第3季	3,538.16	71.67	10,442.07	50.28
	第4季	3,834.10	71.80	11,294.50	49.57
2011	第1季	3,933.19	72.49	11,539.57	50.44
	第2季	3,707.09	69.37	11,612.87	50.11

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年/月	買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2010 第2季	759,995	100	127,045.87	100	62,890.79	100
第3季	688,441	100	140,304.39	100	61,762.38	100
第4季	904,380	100	171,236.05	100	86,455.45	100
2011 第1季	855,117	100	164,899.54	100	75,908.44	100
第2季	869,474	100	156,793.01	100	71,017.11	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2010 第2季	68,933	95.24	27,021.58	89.16
第3季	65,867	95.01	24,660.06	91.10
第4季	80,167	95.27	31,301.46	90.52
2011 第1季	74,506	95.39	27,083.36	91.57
第2季	70,588	95.73	25,359.54	91.71

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月	交收效率(%)	
	到期交收日	到期交收日翌日
2010 第2季	99.87	99.99
第3季	99.90	99.99
第4季	99.86	99.99
2011 第1季	99.90	99.99
第2季	99.88	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2011年第2季	2010年第2季
恒生指數期貨	95,917	77,070
恒生指數期權	222,085	202,453
小型恒生指數期貨	10,213	7,602
小型恒生指數期權	5,681	4,525
H股指數期貨	114,333	89,089
H股指數期權	163,542	112,929
小型H股指數期貨	2,945	1,399
自訂條款恒生指數期權	5,410	–
自訂條款H股指數期權	2,600	–
恒指股息點指數期貨 ¹	5,644	–
恒生國企股息點指數期貨 ²	4,111	–
股票期貨	19,267	5,822
股票期權	5,622,028	5,163,995
三個月港元利率期貨	83	155
一個月港元利率期貨	55	0
三年期外匯基金債券期貨	–	–
黃金期貨	41	166
總數	6,273,955	5,665,205

¹ 恒指股息點指數期貨由2010年11月01日開始買賣

² 恒生國企股息點指數期貨由2010年11月01日開始買賣

結算及交收

各期權類別之未平倉合約

2011年第2季末

期權類別		未平倉合約	佔市場百分比
X安碩A50中國	A50	55,955	1.00
農業銀行	ABC	53,986	0.96
友邦保險	AIA	92,277	1.64
中國鋁業	ALC	28,770	0.51
中國銀行	BCL	597,987	10.64
交通銀行	BCM	79,003	1.41
東亞銀行	BEA	37,082	0.66
中銀香港	BOC	105,012	1.87
比亞迪股份	BYD	24,540	0.44
建設銀行	CCB	733,812	13.05
中國交通建設	CCC	56,341	1.00
中國中煤能源	CCE	53,926	0.96
中國遠洋	CCS	50,648	0.90
中國移動	CHT	271,802	4.83
中國聯通	CHU	64,332	1.14
中信泰富	CIT	19,872	0.35
長江實業	CKH	38,600	0.69
中國人壽	CLI	248,578	4.42
中電控股	CLP	32,629	0.58
招商銀行	CMB	109,651	1.95
中國海洋石油	CNC	191,669	3.41
中國海外發展	COL	19,616	0.35
國泰航空	CPA	18,556	0.33
中國石油化工股份	CPC	148,462	2.64
中國太保	CPI	14,990	0.27
中國鐵建	CRC	21,415	0.38
中國中鐵	CRG	25,953	0.46
X標智滬深300	CS3	2,058	0.04
中國神華	CSE	81,963	1.46
中信銀行	CTB	35,474	0.63
中國電信	CTC	57,216	1.02
思捷環球	ESP	36,663	0.65
富士康國際	FIH	6,369	0.11
電能實業	HEH	20,869	0.37
香港交易所	HEX	313,218	5.57
匯豐控股	HKB	405,697	7.22
香港中華煤氣	HKG	16,450	0.29
恒基地產	HLD	6,375	0.11
華能國際電力股份	HNP	12,272	0.22
恒生銀行	HSB	96,925	1.72
和記黃埔	HWL	107,707	1.92
工商銀行	ICB	563,556	10.02

結算及交收

期權類別		未平倉合約	佔市場百份比
江西銅業	JXC	28,110	0.50
利豐	LIF	17,772	0.32
地鐵公司	MTR	20,928	0.37
新世界發展	NWD	7,933	0.14
中國平安	PAI	96,878	1.72
中國石油股份	PEC	180,141	3.20
中國人民財產保險	PIC	19,012	0.34
富力地產	RFP	15,800	0.28
新鴻基地產	SHK	66,200	1.18
太古股份公司A	SWA	9,298	0.17
騰訊控股	TCH	151,038	2.69
盈富基金	TRF	12,142	0.22
九龍倉集團	WHL	17,004	0.30
兗州煤業股份	YZC	7,483	0.13
紫金礦業	ZJM	14,013	0.25
總數		5,622,028	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2010	第2季	523 (946)	471 (891)	30 (33)	22 (22)
	第3季	530 (953)	478 (898)	30 (33)	22 (22)
	第4季	538 (960)	485 (904)	31 (34)	22 (22)
2011	第1季	544 (965)	489 (907)	33 (36)	22 (22)
	第2季	547 (970)	490 (906)	35 (42)	22 (22)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2010	第2季	214 (239)	171 (194)	–	43 (45)
	第3季	220 (245)	177 (200)	–	43 (45)
	第4季	221 (246)	179 (202)	–	42 (44)
2011	第1季	222 (246)	180 (202)	–	42 (44)
	第2季	228 (252)	184 (206)	–	44 (46)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年/月	結算參與者	全面結算參與者
2010 第2季	148	9
第3季	152	10
第4季	153	9
2011 第1季	156	9
第2季	159	9

聯交所期權結算所參與者

年/月	直接結算參與者	全面結算參與者
2010 第2季	54	6
第3季	55	6
第4季	56	6
2011 第1季	57	7
第2季	57	7

中央結算所參與者

年/月	直接結算參與者	全面結算參與者	非經紀參與者(*)
2010 第2季	455	7	41
第3季	460	7	41
第4季	468	7	44
2011 第1季	473	8	46
第2季	474	8	46

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示