



SECURITIES AND
DERIVATIVES MARKETS
QUARTERLY REPORT

Second Quarter 2011

Market Highlights

Main Board

Highlights	2nd Quarter 2011	2nd Quarter 2010
No. of listed companies	1,284	1,170
No. of listed securities	7,110	6,562
Equities		
Ordinary shares	1,285	1,171
Preference shares	3	2
Warrants	4,851	4,139
Equity	15	25
Derivative	4,836	4,114
Equity linked instrument	0	0
Callable bull/bear contracts	711	1020
Unit trusts/mutual funds	87	72
Debt securities	173	158
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	59	60
Total turnover in value (HK\$ mil.) *	4,175,836.48	3,734,132.31
Total turnover in shares (Mil. shs)	9,172,596.45	7,483,434.99
Total number of trades (deals)	50,846,489	44,614,057
Total market capitalisation (HK\$ mil.)	20,992,345.92	17,000,166.93
Average P/E ratio (times)	11.74	14.71
Average dividend yield (%)	2.65	2.66
Number of exchange participants		
– Trading	490	471
– Non-trading	35	30
Turnover in value (HK\$ mil.)		
Highest	104,358.75 (06/04)	90,359.39 (07/05)
Lowest	50,397.75 (30/05)	37,889.20 (14/06)
Turnover in shares (Mil. shs)		
Highest	192,332.74 (14/06)	154,911.48 (06/05)
Lowest	123,245.57 (27/05)	83,832.57 (14/06)
Total number of trades (deals)		
Highest	1,214,111 (06/04)	1,105,758 (07/04)
Lowest	622,494 (30/05)	503,927 (14/06)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Growth Enterprise Market (GEM)

Highlights	2nd Quarter 2011	2nd Quarter 2010
No. of listed companies	164	174
No. of listed securities	166	175
Equities	164	174
Warrants	2	1
No. of trading days	59	60
Total turnover in value (HK\$ mil.) *	20,232.99	39,315.14
Total turnover in shares (Mil. shs)	79,225.80	139,317.76
Total number of trades (deals)	507,216	987,314
Total market capitalisation (HK\$ mil.)	111,535.83	130,902.34
Average P/E ratio (times)	23.61	28.88
Average dividend yield (%)	1.00	0.76
Turnover in value (HK\$ mil.)		
Highest	614.73 (26/05)	1,636.44 (20/04)
Lowest	178.08 (27/06)	201.03 (24/06)
Turnover in shares (Mil. shs)		
Highest	5,426.00 (06/04)	7,408.01 (14/04)
Lowest	560.41 (23/06)	758.26 (10/06)
Total number of trades (deals)		
Highest	15,305 (26/04)	39,296 (14/04)
Lowest	4,411 (27/06)	6,308 (24/06)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Derivatives Market Highlights

Highlights	2nd Quarter 2011	2nd Quarter 2010
All futures and options products (excluding stock options)		
No. of trading days	59.0	60.0
Total contract volume	14,996,318	13,419,216
Equity index products	14,892,864	13,383,110
Equity products	102,565	34,403
Interest rates products	180	264
Gold Futures	709	1,439
Average daily contract volume	254,175	223,654
Total open interest as at the quarter end (contracts)	651,927	501,210
Equity index products	632,481	495,067
Equity products	19,267	5,822
Interest rates products	138	155
Gold Futures	41	166
Stock options		
No. of newly introduced stock options classes	–	–
No. of stock options as at the quarter end		
Class	57	51
Series	11,838	9,666
Total premium (HK\$ mil.)	14,491.79	12,614.00
Call	3,882.54	4,371.63
Put	10,609.25	8,242.37
Average daily premium (HK\$ mil.)	245.62	210.23
Total contract volume	16,340,993	14,262,472
Call	6,327,688	6,054,502
Put	10,013,305	8,207,970
Average daily contract volume	276,966	237,708
Total open interest as at the quarter end (contracts)	5,622,028	5,163,995
Call	1,782,639	1,864,787
Put	3,839,389	3,299,208
Total number of trades	656,257	481,335
Average daily number of trades	11,123	8,022
Total contracts exercised	1,895,494	1,300,346
Average premium per contract (HK\$)	887	884
Average contract per trade (contracts)	25	30
Put volume/call volume	1.58	1.36
Average daily contract volume/open interest	4.9%	4.6%
All futures and options products		
Total contract volume	31,337,311	27,681,688
Total open interest as at the quarter end (contracts)	6,273,955	5,665,205

Market Highlights

Clearing and Settlement Statistics

Securities Market	2nd Quarter 2011	2nd Quarter 2010
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	869,474	759,995
– Value of trades (HK\$bil.)	71.0	62.9
– Share quantity Involved (bil shares)	156.8	127.0
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	87,498	77,887
– Value of SIs (HK\$bil.)	233.1	177.0
– Share quantity involved (bil. Shares)	49.0	44.4
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	527	543
– Value of ISIs (HK\$mil.)	323.7	252.4
– Share quantity involved (mil. shares)	108.1	129.2
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.88	99.87
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	7	8
– Number of buy-ins	8	9
– Value of buy-ins (HK\$mil)	3.6	3.7
Shares Deposited in the CCASS Depository		
– Number of shares (bil shares)	3,707.1	3,409.7
– Percentage of total issued shares of the admitted securities	69.37	71.10
– Value of shares (HK\$bil)	11,612.9	8,904.8
– Percentage of the total market capitalisation of the admitted securities	50.11	48.93
Derivatives Market		
Open Interest		
– Equity Index Futures	233,163	175,160
– Stock Futures	19,267	5,822
– Gold Futures	41	166
– Interest Rates Futures	138	155
– Equity Index Options	399,318	319,907
– Stock Options	5,622,028	5,163,995
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	1,884	1,116
– Value of shares (HK\$bil.)	35	23

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2010	Q2	817,338.52	2,748,750.08	37,828,922
	Q3	794,114.98	2,759,690.18	35,997,455
	Q4	1,011,031.65	3,847,088.03	46,784,952
2011	Q1	747,798.73	3,315,169.08	42,267,831
	Q2	754,334.25	3,069,149.98	41,652,899

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2010	Q2	60	45,812.50	72,663
	Q3	64	43,120.16	76,663
	Q4	64	60,110.75	82,229
2011	Q1	62	53,470.47	78,432
	Q2	59	52,019.49	73,684

Main Board Equities

20 Most Advanced Stocks

for 2nd quarter 2011

Rank	Code	Stock	Closing price		% up
			End of Jun 2011	End of Mar 2011	
1	01164	VITAL GROUP	1.400	0.360	288.89
2	00692	BAO YUAN HOLD	0.150	0.060	A 150.00
3	00809	GLOBAL BIO-CHEM	2.460	1.270	93.70
4	00205	SEEC MEDIA	0.355	0.185	91.89
5	00398	ORIENTAL WATCH	6.300	3.590	75.49
6	00612	CHINA INV FUND	0.375	0.219	71.23
7	00590	LUK FOOK HOLD	37.750	23.000	64.13
8	01026	UNIVERSAL TECH	0.435	0.270	61.11
9	00887	EMPEROR WATCH&J	1.450	0.930	55.91
10	00072	MODERN MEDIA	2.720	1.750	55.43
11	00869	PLAYMATES TOYS	0.400	0.260	53.85
12	01102	ENVIRO ENERGY	0.480	0.315	52.38
13	00116	CHOW SANG SANG	26.300	17.300	52.02
14	00200	MELCO INT'L DEV	7.850	5.300	48.11
15	00027	GALAXY ENT	16.640	11.320	47.00
16	00361	SINO GOLF HOLD	0.620	0.425	45.88
17	00643	CARRY WEALTH	0.800	0.550	45.45
18	00657	G-VISION INT'L	0.580	0.400	45.00
19	00999	I.T	7.560	5.240	44.27
20	00647	JOYCE BOUTIQUE	0.990	0.690	43.48

A Adjusted

20 Most Declined Stocks

for 2nd quarter 2011

Rank	Code	Stock	Closing price		% down
			End of Jun 2011	End of Mar 2011	
1	00986	CH ENV ENERGY	0.275	2.440	A -88.73
2	00736	CHINA PPT INV	0.040	0.350	-88.57
3	00204	TEMUJIN INT'L	0.470	1.700	-72.35
4	00673	CHINA HEALTH	0.305	1.100	-72.27
5	01063	SUNCORP TECH	0.020	0.056	-64.29
6	00149	CH AGRI-PROD EX	0.142	0.395	-64.05
7	00630	GUOJIN RES	0.078	0.209	-62.68
8	01049	CELESTIAL ASIA	0.226	0.570	-60.35
9	00055	NEWAY GROUP	0.172	0.430	-60.00
10	00094	GREENHEART GP	1.070	2.670	-59.93
11	00767	PACIFIC PLYWOOD	0.068	0.169	A -59.76
12	00764	ETERNITY INV	0.074	0.179	-58.66
13	00263	CH YUNNAN TIN	0.049	0.110	-55.45
14	01166	SOLARTECH-NEW	0.340	0.760	A -55.26
15	00362	C ZENITH CHEM	0.730	1.580	A -53.80
16	00279	FREEMAN FIN	0.126	0.270	-53.33
17	00254	CH OUTDOORMEDIA	0.270	0.570	-52.63
18	00510	CASH FIN SER GP	0.198	0.418	A -52.63
19	00802	RCG	1.070	2.230	-52.02
20	00705	CVM MINERALS	0.178	0.370	-51.89

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 2nd quarter 2011

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00005	HSBC HOLDINGS	112,450.38	3.66
2	00939	CCB	105,116.08	3.42
3	01398	ICBC	89,361.63	2.91
4	00941	CHINA MOBILE	80,811.86	2.63
5	00883	CNOOC	74,121.90	2.42
6	00700	TENCENT	69,895.30	2.28
7	03988	BANK OF CHINA	66,355.49	2.16
8	00857	PETROCHINA	64,240.08	2.09
9	01299	AIA	63,961.67	2.08
10	02628	CHINA LIFE	59,686.97	1.94
11	00388	HKEX	57,636.88	1.88
12	00013	HUTCHISON	54,662.92	1.78
13	01288	ABC	47,392.94	1.54
14	03800	GCL-POLY ENERGY	45,182.45	1.47
15	02318	PING AN	42,918.54	1.40
16	01088	CHINA SHENHUA	42,321.76	1.38
17	00762	CHINA UNICOM	39,323.58	1.28
18	00386	SINOPEC CORP	39,038.05	1.27
19	03323	CNBM	34,263.63	1.12
20	00016	SHK PPT	32,132.43	1.05
Total			1,220,874.53	39.78

20 Most Active Stock by Shares

for 2nd quarter 2011

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	01041	FULBOND HOLDING	35,070.41	4.65
2	03988	BANK OF CHINA	16,023.88	2.12
3	00566	APOLLO SOLAR EN	15,734.80	2.09
4	00981	SMIC	15,455.66	2.05
5	01166	SOLARTECH-NEW	15,282.14	2.03
6	00939	CCB	14,941.17	1.98
7	02904	CHI PPT INV RTS	14,768.61	1.96
8	01398	ICBC	14,306.83	1.90
9	00736	CHINA PPT INV	11,265.11	1.49
10	01288	ABC	10,877.68	1.44
11	03800	GCL-POLY ENERGY	10,495.03	1.39
12	00985	CST MINING	10,332.98	1.37
13	00689	EPI (HOLDINGS)	9,745.26	1.29
14	01129	WATER INDUSTRY	8,146.55	1.08
15	00767	PACIFIC PLYWOOD	8,108.21	1.07
16	00986	CH ENV ENERGY	7,060.50	0.94
17	00729	SINOPOLYBATTERY	6,701.61	0.89
18	00273	WILLIE INT'L	6,512.16	0.86
19	00269	CHINA TIMBER	6,339.90	0.84
20	00067	LUMENA NEWMAT	6,224.48	0.83
Total			243,392.99	32.27

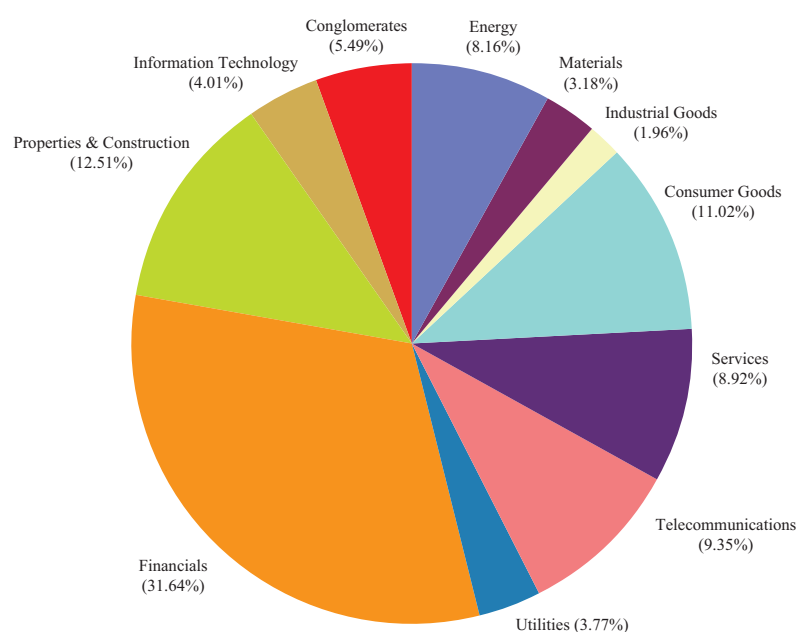
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2010	Q2	17,000,166.93
	Q3	19,557,277.66
	Q4	20,942,284.39
2011	Q1	21,259,067.69
	Q2	20,992,345.92

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 2nd quarter end 2011

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00939	China Construction Bank Corporation – H Shares	1,550,691.71	7.39	
2	00941	China Mobile Ltd.	1,444,833.27	6.88	
3	00005	HSBC Holdings plc	1,372,832.53	6.54	
4	00883	CNOOC Ltd.	811,192.67	3.86	
5	01398	Industrial and Commercial Bank of China Ltd. – H Shares	512,952.80	2.44	
6	02888	Standard Chartered PLC	477,619.00	2.28	
7	00805	Glencore International plc *	–	419,862.57	N.A.
8	00700	Tencent Holdings Ltd.	388,417.85	1.85	
9	00762	China Unicom (Hong Kong) Ltd.	369,492.00	1.76	
10	00013	Hutchison Whampoa Ltd.	358,123.15	1.71	
11	01299	AIA Group Ltd.	324,585.80	1.55	
12	03988	Bank of China Ltd. – H Shares	316,928.43	1.51	
13	00016	Sun Hung Kai Properties Ltd.	291,185.44	1.39	
14	00001	Cheung Kong (Holdings) Ltd.	263,579.50	1.26	
15	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	251,474.00	1.20	
16	00857	PetroChina Co. Ltd. – H Shares	240,105.48	1.14	
17	02388	BOC Hong Kong (Holdings) Ltd.	238,944.83	1.14	
18	00011	Hang Seng Bank Ltd.	237,642.05	1.13	
19	00945	Manulife Financial Corporation *	–	235,453.36	N.A.
20	02378	Prudential plc *	–	228,402.50	N.A.
21	02628	China Life Insurance Co. Ltd. – H Shares	197,935.26	0.94	
22	03328	Bank of Communications Co., Ltd. – H Shares	197,604.83	0.94	
23	00388	Hong Kong Exchanges and Clearing Ltd.	175,972.38	0.84	
24	00019	Swire Pacific Ltd.	170,001.51	0.81	
25	01928	Sands China Ltd.	169,017.21	0.81	
26	00002	CLP Holdings Ltd.	165,903.59	0.79	
27	00004	Wharf (Holdings) Ltd., The	163,730.82	0.78	
28	00486	United Company RUSAL Ltd. *	–	162,261.40	N.A.
29	00066	MTR Corporation Ltd.	159,633.37	0.76	
30	06210	Vale S.A. – DR *	–	152,122.13	N.A.
31	00101	Hang Lung Properties Ltd.	142,650.87	0.68	
32	00003	Hong Kong and China Gas Co. Ltd., The	139,681.80	0.67	
33	01880	Belle International Holdings Ltd.	138,321.42	0.66	
34	00688	China Overseas Land & Investment Ltd.	136,317.62	0.65	
35	00322	Tingyi (Cayman Islands) Holding Corp.	134,391.24	0.64	
36	01128	Wynn Macau, Ltd.	131,503.13	0.63	
37	00386	China Petroleum & Chemical Corporation – H Shares	131,391.22	0.63	
38	01088	China Shenhua Energy Co. Ltd. – H Shares	126,087.41	0.60	
39	01288	Agricultural Bank of China Ltd. – H Shares	125,721.79	0.60	
40	00006	Power Assets Holdings Ltd.	125,708.01	0.60	
41	00494	Li & Fung Ltd.	125,556.59	0.60	
42	01913	PRADA S.p.A.	120,136.79	0.57	
43	00012	Henderson Land Development Co. Ltd.	118,788.07	0.57	
44	00880	SJM Holdings Ltd.	101,523.01	0.48	
45	00151	Want Want China Holdings Ltd.	99,809.61	0.48	
46	00847	Kazakhmys PLC *	–	91,526.10	N.A.
47	01038	Cheung Kong Infrastructure Holdings Ltd.	91,070.08	0.43	
48	01044	Hengan International Group Co. Ltd.	85,450.47	0.41	
49	00291	China Resources Enterprise, Ltd.	76,292.05	0.36	
50	03333	Evergrande Real Estate Group Ltd.	76,050.00	0.36	
Total			13,076,850.66	62.29	
Market Total			20,992,345.92	100.00	

Notes:

Foreign company included since July 2010

* Foreign companies not solely listed in Hong Kong

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.) *	% of equity total
2010	Q2	278,492.48	2,788,065.18	9.99
	Q3	231,187.06	2,783,988.10	8.30
	Q4	308,007.77	3,885,615.68	7.93
2011	Q1	273,905.42	3,337,783.59	8.21
	Q2	327,730.09	3,089,381.94	10.61

* Include Main Board and GEM figures

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs) *	% of equity total
2010	Q2	24,633.79	956,653.63	2.57
	Q3	20,554.19	882,787.31	2.33
	Q4	26,787.09	1,131,725.16	2.37
2011	Q1	22,721.76	872,394.66	2.60
	Q2	29,078.38	833,504.44	3.49

* Include Main Board and GEM figures

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2010	Q2	13	2	16,304.58
	Q3	21	5	109,964.78
	Q4	47	5	288,596.31
2011	Q1	10	4	17,317.76
	Q2	22	6	156,775.55

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

Main Board Equities

Newly Listed Companies

for 2nd quarter 2011

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
06488	SBI Holdings, Inc. – DR	2011/04/14	20,000,000	1,604.60
01181	Tang Palace (China) Holdings Ltd.	2011/04/19	415,000,000	189.75
01011	China NT Pharma Group Co. Ltd.	2011/04/20	1,081,916,500	1,620.93
01623	Hilong Holding Ltd.	2011/04/21	1,600,000,000	1,196.00
01106	Sino Haijing Holdings Ltd. *	2011/05/12	1,209,680,620	–
01069	Jiangchen International Holdings Ltd. *	2011/05/16	370,000,000	–
02789	Yuanda China Holdings Ltd.	2011/05/17	6,208,734,000	2,563.10
02299	Billion Industrial Holdings Ltd.	2011/05/18	2,299,000,000	2,977.21
02607	Shanghai Pharmaceuticals Holding Co., Ltd. – H Shares	2011/05/20	765,893,920	16,014.15
01150	Milan Station Holdings Ltd.	2011/05/23	674,374,000	312.08
00805	Glencore International plc	2011/05/25	6,922,713,511	77,745.76
02083	China Flooring Holding Co. Ltd.	2011/05/26	1,508,264,990	1,145.38
02282	MGM China Holdings Ltd.	2011/06/03	3,800,000,001	12,568.66
01822	Perception Digital Holdings Ltd. *	2011/06/03	622,500,000	–
03363	Zhengye International Holdings Co. Ltd.	2011/06/03	500,000,000	178.75
00935	Dragon Crown Group Holdings Ltd.	2011/06/10	1,100,000,000	313.13
00958	Huaneng Renewables Corporation Ltd. – H Shares	2011/06/10	2,734,280,000	6,617.25
01910	Samsonite International S.A.	2011/06/16	1,407,137,004	10,090.55
00831	Convenience Retail Asia Ltd. *	2011/06/20	733,103,974	–
00871	Xiangyu Dredging Holdings Ltd.	2011/06/20	800,000,000	638.00
01061	Essex Bio-Technology Ltd. *	2011/06/23	556,750,000	–
01145	Courage Marine Group Ltd.	2011/06/24	1,058,829,308	–
01913	PRADA S.p.A.	2011/06/24	2,558,824,000	19,227.22
01488	Lee & Man Handbags Holding Ltd.	2011/06/27	825,000,000	–
00847	Kazakhmys PLC	2011/06/29	535,240,338	–
01241	Shuanghua Holdings Ltd.	2011/06/30	650,000,000	188.50
01115	Tibet 5100 Water Resources Holdings Ltd.	2011/06/30	2,500,000,000	1,584.55
01025	Wumart Stores, Inc. – H Shares *	2011/06/30	536,568,000	–
Total				156,775.55

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

Main Board Equities

Withdrawal of Listed Companies

for 2nd quarter 2011

Code	Company name	Last trading date	Delisted date
02337	Shanghai Forte Land Co. Ltd. – H Shares	2011/05/06	2011/05/13
00636	Fubon Bank (Hong Kong) Ltd.	2011/05/27	2011/06/08

Main Board Equities

Company Name Changes

for 2nd quarter 2011

Code	Old name	New name	Effective date	Adoption date
00206	TSC Offshore Group Ltd.	TSC Group Holdings Ltd.	2011/03/04	2011/04/13
01229	China Sonangol Resources Enterprise Ltd.	International Resources Enterprise Ltd.	2011/03/16	2011/04/18
01031	Golden Resorts Group Ltd.	Kingston Financial Group Ltd.	2011/04/19	2011/05/06
00986	Nam Hing Holdings Ltd.	China Environmental Energy Investment Ltd.	2011/03/22	2011/05/06
00729	Thunder Sky Battery Ltd.	Sinopoly Battery Ltd.	2011/04/14	2011/05/11
01008	CT Holdings (International) Ltd.	Brilliant Circle Holdings International Ltd.	2011/04/21	2011/05/27
00639	Fushan International Energy Group Ltd.	Shougang Fushan Resources Group Ltd.	2011/05/24	2011/06/03
00102	Arnhold Holdings Ltd.	Summit Ascent Holdings Ltd.	2011/05/16	2011/06/21
00987	Hong Kong Energy (Holdings) Ltd.	China Renewable Energy Investment Ltd.	2011/06/01	2011/06/21
00309	Lo's Enviro-Pro Holdings Ltd.	Xinhua News Media Holdings Ltd.	2011/05/24	2011/06/22
02010	Ruinian International Ltd. *	Real Nutraceutical Group Ltd.	2011/05/06	2011/06/24
00305	Dragon Hill Wuling Automobile Holdings Ltd.	Wuling Motors Holdings Ltd.	2011/06/09	2011/06/27
01052	GZI Transport Ltd.	Yuexiu Transport Infrastructure Ltd.	2011/05/30	2011/06/28
00760	Tomorrow International Holdings Ltd.	Talent Property Group Ltd.	2011/05/26	2011/06/28
00169	Hengli Properties Development (Group) Ltd.	Hengli Commercial Properties (Group) Ltd.	2011/05/10	2011/06/29
01808	Tai-I International Holdings Ltd.	Enterprise Development Holdings Ltd.	2011/05/17	2011/06/29
00578	Dynamic Energy Holdings Ltd.	China CBM Group Ltd.	2011/05/30	2011/06/30

* Change of English name only

Change of Chinese name only

Main Board Equities

Bonus Issues / Bonus Warrants

for 2nd quarter 2011

Code	Company	Particulars	Ex-date
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	2 for 1	2011/04/11
00268	Kingdee International Software Group Co. Ltd.	2 for 10	2011/04/14
00763	ZTE Corporation – H Shares	2 for 10	2011/04/14
00542	Morning Star Resources Ltd.	2 for 5 offer shares	2011/04/27
00914	Anhui Conch Cement Co. Ltd. – H Shares	5 for 10	2011/04/28
03323	China National Building Material Co. Ltd. – H Shares	10 for 10	2011/04/29
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	1 for 1	2011/05/04
00609	Tiande Chemical Holdings Ltd.	1 for 1	2011/05/04
00631	Sany Heavy Equipment International Holdings Co. Ltd.	5 for 10	2011/05/05
00510	CASH Financial Services Group Ltd.	1 for 10	2011/05/12
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	1 for 1	2011/05/12
00838	EVA Precision Industrial Holdings Ltd.	1 for 1	2011/05/13
01045	APT Satellite Holdings Ltd.	1 for 2	2011/05/17
02342	Comba Telecom Systems Holdings Ltd.	1 for 10	2011/05/18
00632	Pearl Oriental Innovation Ltd.	1 for 5	2011/05/20
00003	Hong Kong and China Gas Co. Ltd., The	1 for 10	2011/05/23
00543	Pacific Online Ltd.	1 for 10	2011/05/24
00056	Allied Properties (HK) Ltd.	1 2016 wt for 5	2011/05/25
00980	Lianhua Supermarket Holdings Co Ltd – H Shares	8 for 10	2011/05/26
00696	TravelSky Technology Ltd. – H Shares	1 for 2	2011/05/26
00326	China Star Entertainment Ltd.	1 2012 wt for 5 rts shs	2011/05/30
00733	Hopefluent Group Holdings Ltd.	1 for 5	2011/06/02
00829	Shenguan Holdings (Group) Ltd.	10 for 10	2011/06/09
02899	Zijin Mining Group Co., Ltd. – H Shares	5 for 10	2011/06/13
01157	Changsha Zoomlion Heavy Ind Science & Tech Dev Co., Ltd.-Hsh	0.3 for 1	2011/06/20

Main Board Equities

Share Split / Consolidation

for 2nd quarter 2011

Code	Company	Particulars	Effective date
00542	Morning Star Resources Ltd.	Consolidation 10 into 1	2011/04/08
03300	China Glass Holdings Ltd.	Split 1 into 2	2011/04/18
00326	China Star Entertainment Ltd.	Consolidation 10 into 1	2011/05/09
00307	Up Energy Development Group Ltd.	Consolidation 20 into 1	2011/05/13
00419	Media China Corporation Ltd.	Consolidation 10 into 1	2011/05/16
00494	Li & Fung Ltd.	Split 1 into 2	2011/05/19
00826	Tiangong International Co. Ltd.	Split 1 into 4	2011/05/24
00382	Welling Holding Ltd.	Consolidation 5 into 1	2011/05/27
01166	Solartech International Holdings Ltd.	Consolidation 20 into 1	2011/06/02
00362	China Zenith Chemical Group Ltd.	Consolidation 10 into 1	2011/06/21
01003	21 Holdings Ltd.	Consolidation 10 into 1	2011/06/22
01041	Fulbond Holdings Ltd.	Consolidation 10 into 1	2011/06/22
00689	EPI (Holdings) Ltd.	Consolidation 10 into 1	2011/06/23
00663	King Stone Energy Group Ltd.	Consolidation 20 into 1	2011/06/27
01106	Sino Haijing Holdings Ltd.	Split 1 into 2	2011/06/28
00986	China Environmental Energy Investment Ltd.	Consolidation 10 into 1	2011/06/30

Main Board Equities

Rights Issues & Open Offers

for 2nd quarter 2011

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Apr	01059	Kantone Holdings Ltd.	Rts 2 for 5 @\$0.10	212.17
	00275	Hanny Holdings Ltd.	Rts 8 for 1 @\$0.30	299.02
			(after consolidation 10 into 1)	
	00986	China Environmental Energy Investment Ltd.	Rts 26 for 1 @\$0.068	206.08
			(after consolidation 16 into 1)	
	00736	China Properties Investment Holdings Ltd.	Rts 30 for 1 @\$0.068	338.27
	00885	Forefront Group Ltd.	Rts 8 for 1 @\$0.125	406.08
			(after consolidation 10 into 1)	
May	03311	China State Construction International Holdings Ltd.	Rts 1 for 5 @\$6.00	3,584.19
	00111	Cinda International Holdings Ltd.	Rts 1 for 5 @\$1.10	117.55
	00767	Pacific Plywood Holdings Ltd.	Rts 30 for 1 @\$0.08	221.93
	00542	Morning Star Resources Ltd.	Open offer 5 for 1 @\$0.20	241.45
			with bonus 2 for 5 offer shs	
			(after consolidation 10 into 1)	
	01223	Symphony Holdings Ltd.	Open offer 1 for 2 @\$0.25	218.01
Jun	00419	Media China Corporation Ltd.	Rts 1 for 2 @\$0.18	259.15
			(after consolidation 10 into 1)	
	01205	CITIC Resources Holdings Ltd.	Rts 3 for 10 @\$1.38	2,504.93
	00810	Opes Asia Development Ltd.	Open offer 1 for 2 @\$0.07	58.20
	00273	Willie International Holdings Ltd.	Rts 8 for 1 @\$0.125	296.07
	00832	Central China Real Estate Ltd.	Rts 21.4 for 100 @\$1.71	731.88
	00326	China Star Entertainment Ltd.	Rts 3 for 1 @\$0.25	368.39
			with bonus 1 2012 wt for 5 rts shs	
			(after consolidation 10 into 1)	
Total				10,063.37

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2011					
Mar **	00692	BAO YUAN HOLD	58,000,000	0.250	14.50
	02309	BIRMINGHAM INTL	450,000,000	0.200	90.00
Apr	01003	21 HOLDINGS	247,900,000	0.110	27.27
	02309	BIRMINGHAM INTL	250,000,000	0.200	50.00
	00721	C FIN INT INV	370,000,000	0.500	185.00
	01049	CELESTIAL ASIA	100,000,000	0.500	50.00
	00976	CHIHO-TIANDE	60,000,000	6.600	396.00
	00922	CHINA BOON HLDG	165,000,000	0.380	62.70
	00544	DAIDO GROUP	199,920,000	0.235	46.98
	00329	DRAGONITE INT'L	18,470,000	0.160	2.96
	00887	EMPEROR WATCH&J	800,000,000	1.000	800.00
	00585	IMAGI INT'L	1,282,816,000	0.280	359.19
	00585	IMAGI INT'L	1,500,000,000	0.076	114.00
	01031	KINGSTON FIN	560,000,000	0.950	532.00
	01208	MIN RESOURCES	762,612,000	5.100	3,889.32
	00402	MONGOLIA INV	1,320,000,000	0.067	88.44
	01227	NATIONAL INV	350,000,000	0.141	49.35
	00810	OPES ASIA	32,600,000	0.112	3.65
	00451	SAME TIME HOLD	11,380,000	3.270	37.21
	00171	SILVER GRANT	120,000,000	3.000	360.00
	02000	SIM TECH	137,500,000	1.600	220.00
	00878	SOUNDWILL HOLD	9,000,000	12.000	108.00
	00204	TEMUJIN INT'L	600,000,000	0.100	60.00
	00839	TIANDA OIL PIPE	196,000,000	3.960	776.16
	00913	UNITY INV HOLD	8,500,000	0.300	2.55
	00082	VODONE	90,000,000	2.260	203.40
May	00030	ABC COM (HOLD)	73,107,200	0.640	46.79
	00761	BEL GLOBAL RES	600,000,000	0.100	60.00
	01008	BRILLIANT CIR	7,500,000	8.000	60.00
	00721	C FIN INT INV	60,000,000	0.500	30.00
	03300	CHINA GLASS	200,000,000	4.060	812.00
	00855	CHINA WATER	235,598,277	3.400	801.03
	00689	EPI (HOLDINGS)	1,280,000,000	0.050	64.00
	01226	GARRON INT'L	491,800,000	1.150	565.57
	01031	KINGSTON FIN	330,000,000	1.030	339.90
	00848	MAOYE INT'L	273,000,000	3.810	1,040.13
	00072	MODERN MEDIA	20,850,000	2.500	52.13
	03989	NEW ENV ENERGY	202,022,000	0.400	80.81
	02366	QJY MEDIA	84,100,000	1.350	113.54
	00802	RCG	68,500,000	1.450	99.33
	01142	SIBERIAN MINING	128,000,000	0.112	14.34
	00993	SIMSEN INT'L	216,000,000	0.185	39.96
	00766	SINO PROSPER SG	1,100,000,000	0.380	418.00
	01099	SINOPHARM	138,056,825	25.000	3,451.42
	01063	SUNCORP TECH	533,157,785	0.055	29.32
	01129	WATER INDUSTRY	325,000,000	0.123	39.98

Main Board Equities

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
	01066	WEIGAO GROUP	85,624,000	20.600	1,763.85
	00309	XH NEWS MEDIA	45,900,000	0.700	32.13
	02379	ZHONGTIAN INT'L	36,170,000	0.660	23.87
Jun	01049	CELESTIAL ASIA	208,000,000	0.510	106.08
	00263	CH YUNNAN TIN	1,081,737,759	0.062	67.07
	00354	CHINASOFT INT'L	150,000,000	1.860	279.00
	01060	CHINAVISION	125,000,000	0.400	50.00
	00943	EFORCE HOLDINGS	760,000,000	0.050	38.00
	00764	ETERNITY INV	220,280,000	0.135	29.74
	01998	FLYKE INT'L	24,000,000	1.650	39.60
	00988	LOUDONG GN RES	40,002,000	1.040	41.60
	03828	MING FAI INT'L	30,000,000	2.124	63.72
	02318	PING AN	272,000,000	71.500	19,448.00
	00750	SINGYES SOLAR	35,000,000	6.800	238.00
	00878	SOUNDWILL HOLD	10,000,000	12.200	122.00
	01063	SUNCORP TECH	653,000,000	0.022	14.37
	00273	WILLIE INT'L	59,213,993	0.200	11.84
	00868	XINYI GLASS	100,000,000	8.350	835.00
Total					39,890.78

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2010	Q2	302.00	538,302.09	538,604.09
	Q3	375.85	669,145.29	669,521.14
	Q4	884.16	896,594.85	897,479.00
2011	Q1	393.42	829,434.95	829,828.38
	Q2	401.20	636,349.65	636,750.86

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2010	Q2	25	1,697.57	4,114	86,643.45	4,139	88,341.02
	Q3	25	2,204.10	4,348	131,501.94	4,373	133,706.04
	Q4	22	2,049.41	5,148	142,445.77	5,170	144,495.18
2011	Q1	23	1,217.46	5,689	148,882.52	5,712	150,099.98
	Q2	15	785.68	4,836	96,546.69	4,851	97,332.38

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2010	Q2	8	1,536	64,484.98
	Q3	2	1,938	72,490.02
	Q4	1	2,703	115,549.29
2011	Q1	2	2,056	86,446.46
	Q2	2	1,606	59,723.05

Newly Listed Equity Warrants

for 2nd quarter 2011

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
00824	Culturecom Holdings Ltd. Warrants 2013	38,598,000	2011/05/03	2013/05/02	27.57
01183	Allied Properties (HK) Ltd. Warrants 2016	2,781,246,634	2011/06/15	2016/06/13	–
Total					27.57

Main Board Warrants

Newly Listed Derivative Warrants

for 2nd quarter 2011

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
29930	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2011	200,000,000	2011/04/01	2011/11/07	54.00
29933	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2012	200,000,000	2011/04/01	2012/02/06	60.00
29938	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Dec 2011	200,000,000	2011/04/01	2011/12/05	50.00
29934	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Apr 2012	200,000,000	2011/04/01	2012/04/10	50.00
29935	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2012	200,000,000	2011/04/01	2012/07/11	32.00
29936	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Dec 2011	100,000,000	2011/04/01	2011/12/12	25.00
29939	Credit Suisse AG – CNOOC European Warrants Jul 2011 A	300,000,000	2011/04/01	2011/07/18	45.00
29940	Credit Suisse AG – HSI European Warrants Jul 2011 A	300,000,000	2011/04/01	2011/07/28	45.00
29944	Credit Suisse AG – HSI European Put Warrants Jul 2011 B	300,000,000	2011/04/01	2011/07/28	45.00
29946	Deutsche Bank AG – Tencent European Put Warrants Aug 2011	150,000,000	2011/04/01	2011/08/22	28.50
29925	HK Bank – HSCEI European Warrants Dec 2011 B	150,000,000	2011/04/01	2011/12/29	37.50
29937	HK Bank – HSCEI European Warrants Dec 2011 C	150,000,000	2011/04/01	2011/12/29	37.50
29928	HK Bank – HSI European Warrants Oct 2011 A	300,000,000	2011/04/01	2011/10/28	75.00
29929	HK Bank – HSI European Warrants Oct 2011 B	300,000,000	2011/04/01	2011/10/28	75.00
29927	HK Bank – HSI European Warrants Dec 2011 C	300,000,000	2011/04/01	2011/12/29	75.00
29931	HK Bank – HSI European Put Warrants Dec 2011 D	200,000,000	2011/04/01	2011/12/29	50.00
29932	HK Bank – HSI European Put Warrants Dec 2011 E	200,000,000	2011/04/01	2011/12/29	50.00
29941	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Aug2011C	108,000,000	2011/04/01	2011/08/01	23.76
29942	KBC Fin Products Int'l Ltd. – AIA European Warrants Sep 2011	188,000,000	2011/04/01	2011/09/08	28.20
29943	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2011 D	108,000,000	2011/04/01	2011/08/15	61.56
29945	KBC Fin Products Int'l Ltd. – Li & Fung Euro Wts Oct 2011	58,000,000	2011/04/01	2011/10/03	14.50
29926	Standard Chartered Bank-China Life European Wts Dec 2011 B	100,000,000	2011/04/01	2011/12/08	34.50
29919	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Dec 2011	100,000,000	2011/04/01	2011/12/21	25.00
29918	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Apr 2012	500,000,000	2011/04/01	2012/04/23	80.00
29920	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Oct2011B	100,000,000	2011/04/01	2011/10/10	67.00
29921	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2011 B	200,000,000	2011/04/01	2011/08/01	70.00
29922	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2011 C	200,000,000	2011/04/01	2011/08/22	82.00
10103	SGA Societe Generale Acceptance N.V. – Oil Eur Put Wt Nov 11	100,000,000	2011/04/01	2011/11/21	27.00
10099	SGA Societe Generale Acceptance N.V. – Oil Eur Wt Nov 2011 A	100,000,000	2011/04/01	2011/11/21	25.00
10100	SGA Societe Generale Acceptance N.V. – Oil Eur Wt Nov 2011 B	100,000,000	2011/04/01	2011/11/21	25.00
29923	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2011A	100,000,000	2011/04/01	2011/10/10	57.00
29924	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 11B	200,000,000	2011/04/01	2011/10/03	44.00
28911 #	Deutsche Bank AG – Hutchison European Warrants Jul 2011 B	150,000,000	2011/04/01	2011/07/11	52.50
29968	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2011	100,000,000	2011/04/04	2011/12/02	25.00
29969	BNP Paribas Arbit Issu B.V. – BYD European Warrants Nov 2011	95,000,000	2011/04/04	2011/11/02	46.55
29970	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Oct 2011	50,000,000	2011/04/04	2011/10/04	13.50
29974	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Oct 2011	21,000,000	2011/04/04	2011/10/04	10.08
29973	BNP Paribas Arbit Issu B.V. – China Mengniu Euro Wts Dec 11	85,000,000	2011/04/04	2011/12/02	21.25
29972	Deutsche Bank AG – HSBC European Warrants Jul 2011 D	200,000,000	2011/04/04	2011/07/18	42.00
29960	J P Morgan SP BV – Agricultural Bk European Warrants Oct 11A	150,000,000	2011/04/04	2011/10/04	37.50
29961	J P Morgan SP BV – AIA European Warrants Nov 2011	200,000,000	2011/04/04	2011/11/29	50.00
29962	J P Morgan SP BV – C Transmission European Warrants Jun 2012	150,000,000	2011/04/04	2012/06/28	37.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
29963	J P Morgan SP BV – China Life European Warrants Oct 2011 A	300,000,000	2011/04/04	2011/10/04	75.00
29964	J P Morgan SP BV – Geely Auto European Warrants Oct 2011	100,000,000	2011/04/04	2011/10/04	25.00
29965	Macquarie Bank Ltd.-Agricultural Bk European Warrants Oct11A	200,000,000	2011/04/04	2011/10/28	50.00
29967	Macquarie Bank Ltd. – Stanchart European Warrants Dec 2011	250,000,000	2011/04/04	2011/12/30	62.50
29966	Macquarie Bank Ltd. – Yurun Food European Warrants Oct 2011	200,000,000	2011/04/04	2011/10/28	50.00
29947	CC Rabobank B.A. – Ch Mobile European Warrants Sep 2011	200,000,000	2011/04/04	2011/09/26	30.00
29948	CC Rabobank B.A. – Shougang Res European Warrants Sep 2011	100,000,000	2011/04/04	2011/09/22	40.00
29958	CC Rabobank B.A. – Sinofert European Warrants Oct 2011	100,000,000	2011/04/04	2011/10/28	40.00
29959	CC Rabobank B.A. – Tencent Holdings European Wts Sep 2011 B	200,000,000	2011/04/04	2011/09/27	40.00
29971	UBS AG – BYD European Warrants Oct 2011 B	100,000,000	2011/04/04	2011/10/11	25.00
28899 #	Standard Chartered Bank-China Life European Wts Aug 2011 B	100,000,000	2011/04/04	2011/08/22	20.00
29985	Barclays Bank plc – China Telecom European Warrants Oct 2011	100,000,000	2011/04/06	2011/10/28	30.00
29986	Barclays Bank plc – ChiUnicom European Warrants Mar 2012	150,000,000	2011/04/06	2012/03/19	22.50
29988	Barclays Bank plc – China Life European Warrants Oct 2011	150,000,000	2011/04/06	2011/10/28	37.65
29987	Barclays Bank plc – China Mobile European Wts Oct 2011 B	150,000,000	2011/04/06	2011/10/17	37.50
29989	Barclays Bank plc – GCL-Poly Energy European Wts Oct 2011	100,000,000	2011/04/06	2011/10/28	44.60
11002	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Feb 2012	80,000,000	2011/04/06	2012/02/29	20.00
29975	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Oct 2011	80,000,000	2011/04/06	2011/10/10	20.00
29999	KBC Fin Products Int'l Ltd. – China Coal Euro Wts Mar 2012	108,000,000	2011/04/06	2012/03/01	27.00
11001	KBC Fin Products Int'l Ltd. – YZ Coal European Wts Oct 2011A	128,000,000	2011/04/06	2011/10/06	32.00
29977	Macquarie Bank Ltd. – BBMG European Warrants Oct 2011	250,000,000	2011/04/06	2011/10/31	38.75
29979	Macquarie Bank Ltd. – CITIC Bank European Warrants Oct 2011	350,000,000	2011/04/06	2011/10/07	88.55
29980	Macquarie Bank Ltd. – Minsheng Bank European Wts Oct 2011	128,000,000	2011/04/06	2011/10/10	33.02
29981	Macquarie Bank Ltd. – Sinopec Corp European Wts Oct 2011	350,000,000	2011/04/06	2011/10/07	122.50
29978	Macquarie Bank Ltd. – Cathay Pacific European Wts Oct 2011	350,000,000	2011/04/06	2011/10/31	87.50
29982	Macquarie Bank Ltd. – R&F Ppt European Warrants Oct 2011	150,000,000	2011/04/06	2011/10/28	96.00
29983	Macquarie Bank Ltd. – Sinofert European Warrants Oct 2011	128,000,000	2011/04/06	2011/10/10	32.26
29984	Macquarie Bank Ltd. – Zijin Mining European Wts Oct 2011	100,000,000	2011/04/06	2011/10/06	43.40
29976	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2011 B	100,000,000	2011/04/06	2011/10/07	36.00
27927 #	J P Morgan SP BV – China Unicom European Warrants Nov 2011	250,000,000	2011/04/06	2011/11/25	14.50
11040	Credit Suisse AG – HSI European Warrants Jul 2011 C	300,000,000	2011/04/07	2011/07/28	45.00
11044	Credit Suisse AG – HSI European Put Warrants Jul 2011 D	300,000,000	2011/04/07	2011/07/28	45.00
11017	Deutsche Bank AG – HSI European Warrants Oct 2011	150,000,000	2011/04/07	2011/10/28	41.25
11020	Deutsche Bank AG – HSI European Put Warrants Aug 2011	150,000,000	2011/04/07	2011/08/30	28.50
11021	Deutsche Bank AG – HSI European Put Warrants Oct 2011	150,000,000	2011/04/07	2011/10/28	37.50
11018	Deutsche Bank AG – HSI European Warrants Jul 2011 F	150,000,000	2011/04/07	2011/07/28	28.50
11019	Deutsche Bank AG – HSI European Warrants Jul 2011 G	150,000,000	2011/04/07	2011/07/28	22.50
11007	HK Bank – CNBM European Warrants Dec 2011	120,000,000	2011/04/07	2011/12/16	30.00
11008	HK Bank – China Shenhua European Warrants Oct 2011	150,000,000	2011/04/07	2011/10/07	37.50
11009	HK Bank – Yanzhou Coal European Warrants Oct 2011 B	150,000,000	2011/04/07	2011/10/28	37.50
11037	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Oct 11 A	108,000,000	2011/04/07	2011/10/07	29.16
11038	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Oct 11 B	108,000,000	2011/04/07	2011/10/14	27.00
11039	KBC Fin Products Int'l Ltd. – Yanzhou Coal Euro Put Wt Oct11	98,000,000	2011/04/07	2011/10/14	29.40
11003	CC Rabobank B.A. – Cheung Kong European Warrants Oct 2011	100,000,000	2011/04/07	2011/10/28	40.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11004	CC Rabobank B.A. – GCL-Poly Energy European Wts Oct 2011	100,000,000	2011/04/07	2011/10/10	35.00
11005	CC Rabobank B.A. – Tencent Holdings European Wts Dec 2011	200,000,000	2011/04/07	2011/12/23	30.00
11006	CC Rabobank B.A. – Weichai Power European Wts Oct 2011 B	150,000,000	2011/04/07	2011/10/10	87.00
11023	SGA Societe Generale Acceptance N.V. – Ch Mer H Eu Wt Oct11	80,000,000	2011/04/07	2011/10/10	30.40
11024	SGA Societe Generale Acceptance N.V.-Ch Oilfield Eu Wt Oct11	120,000,000	2011/04/07	2011/10/10	30.00
11025	SGA Societe Generale Acceptance N.V. – Chi Res Eu Wt Oct11	80,000,000	2011/04/07	2011/10/10	28.00
11010	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011D	300,000,000	2011/04/07	2011/08/08	45.00
11011	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011E	300,000,000	2011/04/07	2011/08/15	66.00
11012	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011F	300,000,000	2011/04/07	2011/08/15	51.00
11013	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2011C	300,000,000	2011/04/07	2011/09/21	54.00
11022	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Dec 2011	200,000,000	2011/04/07	2011/12/21	50.00
11026	SGA Societe Generale Acceptance N.V. – COSCO Pac Eu Wt Nov11	150,000,000	2011/04/07	2011/11/21	37.50
11027	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Oct11A	80,000,000	2011/04/07	2011/10/10	38.40
11029	SGA Societe Generale Acceptance N.V. – GAC Eur Wts Jan 2012	120,000,000	2011/04/07	2012/01/04	22.80
11028	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Oct11B	100,000,000	2011/04/07	2011/10/31	44.00
11014	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2011 D	200,000,000	2011/04/07	2011/08/24	94.00
11030	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Oct11	100,000,000	2011/04/07	2011/10/10	32.00
11031	SGA Societe Generale Acceptance N.V-Nine Dragons Eu Wt Jul12	150,000,000	2011/04/07	2012/07/04	37.50
11032	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Sep 11	200,000,000	2011/04/07	2011/09/05	32.00
11033	SGA Societe Generale Acceptance N.V.-SkyworthDig Eu Wt Oct11	80,000,000	2011/04/07	2011/10/10	31.20
11015	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Sep 11B	200,000,000	2011/04/07	2011/09/28	40.00
11016	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 11C	200,000,000	2011/04/07	2011/10/26	32.00
29296 #	Credit Suisse AG – HSI European Put Warrants Jun 2011 F	300,000,000	2011/04/07	2011/06/29	15.30
11046	J P Morgan SP BV – GCL-Poly European Warrants Oct 2011	100,000,000	2011/04/08	2011/10/10	50.00
11047	J P Morgan SP BV – ICBC European Warrants Oct 2011A	100,000,000	2011/04/08	2011/10/10	25.00
11048	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Sep 11	108,000,000	2011/04/08	2011/09/05	16.20
11041	Standard Chartered Bank – BOCL European Warrants Nov 2011	100,000,000	2011/04/08	2011/11/01	25.00
11042	Standard Chartered Bank-China Life European Wts Feb 2012	150,000,000	2011/04/08	2012/02/01	37.50
11043	Standard Chartered Bank – HSBC European Warrants Nov 2011 A	100,000,000	2011/04/08	2011/11/01	40.00
11045	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2013	100,000,000	2011/04/08	2013/07/29	22.30
11049	UBS AG – Agricultural Bank European Warrants Oct 2011	200,000,000	2011/04/08	2011/10/11	50.00
25434 #	KBC Fin Products Int'l Ltd. – HKEx European Warrants Oct 11A	280,000,000	2011/04/08	2011/10/07	49.00
11059	Credit Suisse AG – China Mobile European Warrants Aug 2011	300,000,000	2011/04/11	2011/08/08	45.00
11060	Credit Suisse AG – CNOOC European Warrants Jul 2011 B	300,000,000	2011/04/11	2011/07/25	45.00
11061	Credit Suisse AG – HSBC European Wts Jul 2011	300,000,000	2011/04/11	2011/07/25	45.00
11056	Credit Suisse AG – HSI European Warrants Aug 2011	300,000,000	2011/04/11	2011/08/30	45.00
11058	Credit Suisse AG – HSI European Put Warrants Aug 2011	300,000,000	2011/04/11	2011/08/30	60.00
11057	Credit Suisse AG – HSI European Put Warrants Jul 2011 E	300,000,000	2011/04/11	2011/07/28	60.00
11063	Credit Suisse AG – Hutchison European Warrants Aug 2011	300,000,000	2011/04/11	2011/08/29	120.00
11062	Credit Suisse AG – Hutchison European Warrants Jul 2011 E	300,000,000	2011/04/11	2011/07/11	45.00
11064	Credit Suisse AG – ICBC European Warrants Aug 2011 A	300,000,000	2011/04/11	2011/08/01	45.00
11065	Credit Suisse AG – Ping An European Warrants Sep 2011 B	300,000,000	2011/04/11	2011/09/14	150.00
11066	KBC Fin Products Int'l Ltd. – BOCL European Wts Sep 2011	108,000,000	2011/04/11	2011/09/09	16.20
11068	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Nov 2011	108,000,000	2011/04/11	2011/11/01	27.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11067	KBC Fin Products Int'l Ltd. – CNBM European Warrants Oct 11	68,000,000	2011/04/11	2011/10/11	17.00
11069	KBC Fin Products Int'l Ltd. – CNOOC European Wts Nov 2011	108,000,000	2011/04/11	2011/11/23	21.60
11073	KBC Fin Products Int'l Ltd. – HSI European Wts Aug 2011	200,000,000	2011/04/11	2011/08/30	30.00
11072	KBC Fin Products Int'l Ltd.-MTRC European Put Warrants Nov11	58,000,000	2011/04/11	2011/11/10	14.50
11070	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Aug 2011	88,000,000	2011/04/11	2011/08/15	39.60
11071	KBC Fin Products Int'l Ltd. – YZ Coal European Wts Oct 2011B	108,000,000	2011/04/11	2011/10/14	27.00
11051	Standard Chartered Bank – China Coal European Warrants Nov11	100,000,000	2011/04/11	2011/11/01	25.00
11052	Standard Chartered Bank – China Life Euro Put Wts Nov 2011	100,000,000	2011/04/11	2011/11/01	25.00
11053	Standard Chartered Bank -YZ Coal European Warrants Nov 2011	100,000,000	2011/04/11	2011/11/01	25.00
11050	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 11D	200,000,000	2011/04/11	2011/10/10	50.00
11054	UBS AG – China Life European Warrants Oct 2011	300,000,000	2011/04/11	2011/10/04	45.00
11055	UBS AG – HSBC European Warrants Oct 2011 B	300,000,000	2011/04/11	2011/10/11	75.00
11111	BOCI Asia Ltd. – China Life European Warrants Sep 2011	100,000,000	2011/04/12	2011/09/26	15.00
11113	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/12	35.00
11112	BOCI Asia Ltd. – PetroChina European Warrants Aug 2011	100,000,000	2011/04/12	2011/08/03	25.00
11083	BNP Paribas Arbit Issu B.V. – China EB Ltd European Wt Feb12	95,000,000	2011/04/12	2012/02/02	23.75
11106	BNP Paribas Arbit Issu B.V. – China Overseas Eur Wt Dec 2011	100,000,000	2011/04/12	2011/12/22	16.00
11085	BNP Paribas Arbit Issu B.V. – CITIC Pacific Euro Wts Feb12	95,000,000	2011/04/12	2012/02/02	27.55
11107	BNP Paribas Arbit Issu B.V. – Chi Res Land Euro Wts Apr 12	100,000,000	2011/04/12	2012/04/05	22.00
11086	BNP Paribas Arbit Issu B.V. – Geely Auto European Wts Feb12	60,000,000	2011/04/12	2012/02/02	28.20
11087	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Feb 2012	100,000,000	2011/04/12	2012/02/02	32.00
11088	BNP Paribas Arbit Issu B.V. – KECL European Warrants Dec 12	100,000,000	2011/04/12	2012/12/04	25.00
11108	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Apr 2013	200,000,000	2011/04/12	2013/04/03	50.00
11089	BNP Paribas Arbit Issu B.V. – Sinopharm European Wts Feb 12	40,000,000	2011/04/12	2012/02/02	13.60
11084	BNP Paribas Arbit Issu B.V. – Yurun Food European Wts Jan 12	100,000,000	2011/04/12	2012/01/04	25.00
11115	Credit Suisse AG – HKEx European Warrants Aug 2011 B	300,000,000	2011/04/12	2011/08/08	45.00
11130	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Dec 2011	80,000,000	2011/04/12	2011/12/23	20.00
11109	Citigroup Global Mkt H Inc. – HKEx European Wts Dec 2011	80,000,000	2011/04/12	2011/12/02	12.00
11131	Citigroup Global Mkt H Inc. -Yanzhou Coal European Wt Oct 11	80,000,000	2011/04/12	2011/10/17	20.00
11118	Deutsche Bank AG – CC Bank European Warrants Oct 2011	200,000,000	2011/04/12	2011/10/17	50.00
11119	Deutsche Bank AG – China Life European Warrants Sep 2011 B	300,000,000	2011/04/12	2011/09/26	45.00
11120	Deutsche Bank AG – China Life European Warrants Oct 2011	300,000,000	2011/04/12	2011/10/17	75.00
11122	Deutsche Bank AG – ICBC European Warrants Aug 2011	150,000,000	2011/04/12	2011/08/29	40.50
11123	Deutsche Bank AG – PetroChina European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/17	33.00
11124	Deutsche Bank AG – PetroChina European Put Wts Mar 2012	100,000,000	2011/04/12	2012/03/19	19.00
11078	HK Bank -BYD European Warrants Oct 2011	80,000,000	2011/04/12	2011/10/12	20.00
11121	J P Morgan SP BV – ICBC European Warrants Aug 2011	200,000,000	2011/04/12	2011/08/01	32.00
11127	J P Morgan SP BV – ICBC European Warrants Oct 2011 B	200,000,000	2011/04/12	2011/10/12	50.00
11125	KBC Fin Products Int'l Ltd. – HKEx European Warrants Oct 11B	288,000,000	2011/04/12	2011/10/03	43.20
11126	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Sep 11D	88,000,000	2011/04/12	2011/09/28	15.84
11114	Macquarie Bank Ltd. – China Railway European Wts Oct 2011	200,000,000	2011/04/12	2011/10/12	50.00
11110	Macquarie Bank Ltd. – Goldwind European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/12	25.00
11079	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2011	200,000,000	2011/04/12	2011/08/30	30.60
11077	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jul 2011 G	200,000,000	2011/04/12	2011/07/28	30.40

Main Board Warrants

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		issue (units)	Listing date	Expiry	
11074	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2011 B	200,000,000	2011/04/12	2011/08/30	30.40
11075	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2011 C	200,000,000	2011/04/12	2011/08/30	30.60
11076	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 B	200,000,000	2011/04/12	2011/09/29	31.60
11080	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2011 C	200,000,000	2011/04/12	2011/09/29	30.00
11081	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2011 D	200,000,000	2011/04/12	2011/09/29	30.60
11082	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2011 E	200,000,000	2011/04/12	2011/09/29	35.40
11090	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jul 2011 C	200,000,000	2011/04/12	2011/07/25	44.00
11091	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 C	200,000,000	2011/04/12	2011/10/12	50.00
11092	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Oct 2011	120,000,000	2011/04/12	2011/10/12	72.00
11095	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2011 B	200,000,000	2011/04/12	2011/10/12	54.00
11094	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Oct 2011	80,000,000	2011/04/12	2011/10/12	43.20
11093	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Feb 2012	300,000,000	2011/04/12	2012/02/29	51.00
11097	SGA Societe Generale Acceptance N.V. – Gome Euro Wt Oct 2011	120,000,000	2011/04/12	2011/10/12	30.00
11102	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2011 B	300,000,000	2011/04/12	2011/10/12	99.00
11098	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Dec 2011	200,000,000	2011/04/12	2011/12/29	44.00
11099	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Oct 11	200,000,000	2011/04/12	2011/10/28	50.00
11100	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2011 E	300,000,000	2011/04/12	2011/09/29	45.00
11101	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 11D	300,000,000	2011/04/12	2011/10/28	75.00
11103	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2011 B	200,000,000	2011/04/12	2011/08/31	36.00
11104	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Oct 2011	200,000,000	2011/04/12	2011/10/03	48.00
11096	SGA Societe Generale Acceptance N.V. -Yurun Food Eu Wt Nov11	100,000,000	2011/04/12	2011/11/02	25.00
11105	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Nov 11	120,000,000	2011/04/12	2011/11/28	30.00
11116	UBS AG – CNOOC European Warrants Jun 2012	200,000,000	2011/04/12	2012/06/18	50.00
11129	UBS AG – HSI European Put Warrants Aug 2011	300,000,000	2011/04/12	2011/08/30	51.00
11128	UBS AG – HSI European Put Warrants Jul 2011 G	300,000,000	2011/04/12	2011/07/28	45.00
11117	UBS AG – Ping An European Warrants Oct 2011	100,000,000	2011/04/12	2011/10/17	30.00
25865 #	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 11C	300,000,000	2011/04/12	2011/06/02	13.80
23753 #	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 B	200,000,000	2011/04/12	2011/06/23	12.40
11166	Credit Suisse AG – CNOOC European Warrants Oct 2011	300,000,000	2011/04/13	2011/10/19	45.00
11167	Credit Suisse AG – HSBC European Wts Aug 2011 B	300,000,000	2011/04/13	2011/08/08	45.00
11168	Credit Suisse AG – HSI European Warrants Jul 2011 F	300,000,000	2011/04/13	2011/07/28	45.00
11145	HK Bank – BOCL European Warrants Sep 2011	120,000,000	2011/04/13	2011/09/09	18.00
11146	HK Bank – CC Bank European Warrants Oct 2011	120,000,000	2011/04/13	2011/10/13	30.00
11147	HK Bank – ICBC European Warrants Sep 2011	120,000,000	2011/04/13	2011/09/05	30.00
11148	HK Bank – ICBC European Warrants Oct 2011	120,000,000	2011/04/13	2011/10/13	30.00
11152	J P Morgan SP BV – China Mob European Wts Oct 2011	150,000,000	2011/04/13	2011/10/13	37.50
11154	J P Morgan SP BV – China Unicom European Warrants Mar 2012	100,000,000	2011/04/13	2012/03/30	25.00
11151	J P Morgan SP BV – Cheung Kong European Warrants Jan 2012	200,000,000	2011/04/13	2012/01/16	30.00
11153	J P Morgan SP BV – Ch Overseas European Warrants Oct 2011 B	100,000,000	2011/04/13	2011/10/13	25.00
11156	J P Morgan SP BV – JX Copper European Warrants Oct 2011	100,000,000	2011/04/13	2011/10/13	25.00
11158	J P Morgan SP BV – New World Dev European Warrants Jun 2012	100,000,000	2011/04/13	2012/06/25	25.00
11157	J P Morgan SP BV – Yanzhou Coal European Warrants Oct 2011 B	100,000,000	2011/04/13	2011/10/13	25.00
11159	J P Morgan SP BV – Zijin Mining European Warrants May 2012	200,000,000	2011/04/13	2012/05/23	30.00
11155	KBC Fin Products Int'l Ltd. – AIA European Warrants Oct 2011	188,000,000	2011/04/13	2011/10/03	28.20

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11132	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jul 2011	80,000,000	2011/04/13	2011/07/22	12.16
11133	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2011	80,000,000	2011/04/13	2011/08/29	25.20
11135	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2012	80,000,000	2011/04/13	2012/01/30	20.40
11136	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Jul 2011	80,000,000	2011/04/13	2011/07/25	14.08
11137	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Oct 2011	80,000,000	2011/04/13	2011/10/17	34.80
11134	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2011 C	80,000,000	2011/04/13	2011/10/24	20.40
11138	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jul 2011	80,000,000	2011/04/13	2011/07/18	12.08
11139	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Oct 2011B	80,000,000	2011/04/13	2011/10/17	20.00
11140	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2011 A	80,000,000	2011/04/13	2011/09/27	15.52
11141	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2011 B	80,000,000	2011/04/13	2011/09/05	12.40
11170	CC Rabobank B.A. – CC Bank European Warrants Dec 2011	100,000,000	2011/04/13	2011/12/02	15.10
11172	RB of Scotland N.V. – CNOOC European Warrants Nov 2011	200,000,000	2011/04/13	2011/11/21	33.00
11169	RB of Scotland N.V. – A50 China European Warrants Dec 2011	250,000,000	2011/04/13	2011/12/19	37.75
11171	RB of Scotland N.V. – ICBC European Warrants Sep 2011	200,000,000	2011/04/13	2011/09/05	30.20
11149	Standard Chartered Bank – HSBC European Warrants Jul 2011 B	100,000,000	2011/04/13	2011/07/18	22.00
11150	Standard Chartered Bank – Tencent Hldg European Wts Sep 2011B	200,000,000	2011/04/13	2011/09/28	49.60
11142	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 D	200,000,000	2011/04/13	2011/10/17	50.00
11143	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2011 C	200,000,000	2011/04/13	2011/10/17	52.00
11144	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2011 B	200,000,000	2011/04/13	2011/10/17	50.00
11161	UBS AG – China Mobile European Warrants Sep 2011 B	200,000,000	2011/04/13	2011/09/26	30.00
11162	UBS AG – CNOOC European Warrants Oct 2011 B	200,000,000	2011/04/13	2011/10/19	30.00
11163	UBS AG – HKEx European Warrants Mar 2012	300,000,000	2011/04/13	2012/03/19	45.00
11160	UBS AG – HSI European Warrants Jul 2011 H	300,000,000	2011/04/13	2011/07/28	45.00
11165	UBS AG – HSI European Put Warrants Jul 2011 I	300,000,000	2011/04/13	2011/07/28	54.00
11164	UBS AG – PetroChina European Warrants Oct 2011	100,000,000	2011/04/13	2011/10/17	25.00
11198	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wts Dec 2015	100,000,000	2011/04/14	2015/12/02	25.00
11199	BNP Paribas Arbit Issu B.V. – CNBM European Wts Nov 2011	100,000,000	2011/04/14	2011/11/02	25.00
11200	BNP Paribas Arbit Issu B.V. – Sany Int'l Euro Wts Jan 2012	65,000,000	2011/04/14	2012/01/03	16.25
11201	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Nov 2011 B	100,000,000	2011/04/14	2011/11/02	25.00
11189	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Jan 2012 B	80,000,000	2011/04/14	2012/01/18	12.00
11190	Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wts Dec 2011	80,000,000	2011/04/14	2011/12/23	12.00
11195	Deutsche Bank AG – BOCL European Warrants Oct 2011	150,000,000	2011/04/14	2011/10/17	37.50
11196	Deutsche Bank AG – China Life European Warrants Nov 2011	300,000,000	2011/04/14	2011/11/28	45.00
11173	Deutsche Bank AG – China Mobile European Warrants Sep 2011 C	300,000,000	2011/04/14	2011/09/26	51.00
11174	Deutsche Bank AG – CNOOC European Put Warrants Oct 2011	100,000,000	2011/04/14	2011/10/17	25.00
11175	Deutsche Bank AG – HKEx European Put Warrants Sep 2011	150,000,000	2011/04/14	2011/09/26	27.00
11197	Deutsche Bank AG – Hutchison European Warrants Aug 2011	200,000,000	2011/04/14	2011/08/29	110.00
11182	J P Morgan SP BV – AIA European Warrants Sep 2011	200,000,000	2011/04/14	2011/09/22	30.00
11185	J P Morgan SP BV – CNOOC European Warrants Oct 2011	100,000,000	2011/04/14	2011/10/14	25.00
11183	J P Morgan SP BV – CPIC European Warrants Oct 2011	100,000,000	2011/04/14	2011/10/14	25.00
11186	J P Morgan SP BV – HKEx European Warrants Nov 2011	300,000,000	2011/04/14	2011/11/29	45.00
11187	J P Morgan SP BV – HKEx European Put Wts Dec 2011	300,000,000	2011/04/14	2011/12/12	51.00
11188	J P Morgan SP BV – PetroChina European Warrants Dec 2012	300,000,000	2011/04/14	2012/12/28	75.00
11191	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Oct 2011	80,000,000	2011/04/14	2011/10/14	29.60

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
11192	KBC Fin Products Int'l Ltd. – China Life Euro Wts Oct 2011	200,000,000	2011/04/14	2011/10/14	50.00
11193	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2011 A	108,000,000	2011/04/14	2011/10/14	34.56
11194	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2011 B	108,000,000	2011/04/14	2011/10/14	27.00
11181	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011	300,000,000	2011/04/14	2011/12/29	54.00
11180	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2011 E	300,000,000	2011/04/14	2011/10/28	75.00
11184	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 11F	300,000,000	2011/04/14	2011/10/28	48.00
11176	UBS AG – CC Bank European Warrants Sep 2011 A	200,000,000	2011/04/14	2011/09/01	30.00
11177	UBS AG – China Life European Warrants Sep 2011 B	300,000,000	2011/04/14	2011/09/08	45.00
11178	UBS AG – ICBC European Warrants Oct 2011	200,000,000	2011/04/14	2011/10/17	50.00
11179	UBS AG – Tencent Holdings European Warrants Oct 2011 B	200,000,000	2011/04/14	2011/10/24	30.00
28123 #	UBS AG – HKEx European Warrants Aug 2011 A	300,000,000	2011/04/14	2011/08/04	19.50
11226	BNP Paribas Arbit Issu B.V. – CITIC Pacific Euro Wt Jul12	100,000,000	2011/04/15	2012/07/03	25.00
11227	BNP Paribas Arbit Issu B.V. – Dongfang Elec Euro Wt Feb12	85,000,000	2011/04/15	2012/02/02	29.75
11228	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2012	200,000,000	2011/04/15	2012/07/03	54.00
11229	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jan 2012	200,000,000	2011/04/15	2012/01/04	144.00
11230	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2012	200,000,000	2011/04/15	2012/04/03	80.00
11231	BNP Paribas Arbit Issu B.V. – Goldwind Euro Warrants Feb 12	88,000,000	2011/04/15	2012/02/02	22.88
11240	Credit Suisse AG – Agricultural Bank European Wts Jul 2011 B	300,000,000	2011/04/15	2011/07/25	45.00
11241	Credit Suisse AG – Agricultural Bank European Wts Jul 2011 C	300,000,000	2011/04/15	2011/07/15	45.00
11236	Credit Suisse AG – ChiUnicom European Warrants Nov 2011	200,000,000	2011/04/15	2011/11/14	50.00
11234	Credit Suisse AG – CC Bank European Warrants Jul 2011	300,000,000	2011/04/15	2011/07/25	45.00
11235	Credit Suisse AG – China Tel European Warrants Jul 2011 B	200,000,000	2011/04/15	2011/07/25	30.00
11242	Credit Suisse AG – HKEx European Warrants Aug 2011 C	300,000,000	2011/04/15	2011/08/29	45.00
11239	Credit Suisse AG – HSI European Put Warrants Jul 2011 G	300,000,000	2011/04/15	2011/07/28	45.00
11237	Credit Suisse AG – ICBC European Warrants Aug 2011 B	300,000,000	2011/04/15	2011/08/29	45.00
11238	Credit Suisse AG – Yanzhou Coal European Warrants Oct 2011	200,000,000	2011/04/15	2011/10/17	50.00
11221	Citigroup Global Mkt H Inc. – Ch Overseas Euro Put Wt Dec11	80,000,000	2011/04/15	2011/12/23	20.00
11222	Citigroup Global Mkt H Inc. – Yanzhou Coal Euro Put Wt Dec11	80,000,000	2011/04/15	2011/12/19	20.00
11215	Deutsche Bank AG – CC Bank European Warrants Sep 2011 B	200,000,000	2011/04/15	2011/09/12	58.00
11216	Deutsche Bank AG – China Life European Warrants Sep 2011 C	300,000,000	2011/04/15	2011/09/12	45.00
11217	Deutsche Bank AG – CNOOC European Warrants Oct 2011 C	200,000,000	2011/04/15	2011/10/17	50.00
11218	Deutsche Bank AG – ICBC European Warrants Oct 2011	200,000,000	2011/04/15	2011/10/03	76.00
11219	Deutsche Bank AG – Tencent Holdings European Wts Sep 2011 B	200,000,000	2011/04/15	2011/09/20	30.00
11208	HK Bank – AIA European Warrants Oct 2011	150,000,000	2011/04/15	2011/10/17	37.50
11209	HK Bank – HSI European Warrants Nov 2011	300,000,000	2011/04/15	2011/11/29	75.00
11232	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Dec 2011	188,000,000	2011/04/15	2011/12/19	28.20
11220	Macquarie Bank Ltd. – China Unicom European Wts Jun 2012	300,000,000	2011/04/15	2012/06/01	75.00
11225	Macquarie Bank Ltd. – HSI European Warrants Sep 2011	300,000,000	2011/04/15	2011/09/29	45.30
11224	Standard Chartered Bank – China Overseas European Wt Nov11	100,000,000	2011/04/15	2011/11/01	25.00
11223	Standard Chartered Bank – CM Bank European Warrants Nov 2011	100,000,000	2011/04/15	2011/11/01	25.00
11202	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2011 B	200,000,000	2011/04/15	2011/10/17	50.00
11203	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct 2011	500,000,000	2011/04/15	2011/10/17	125.00
11204	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jan 2012	500,000,000	2011/04/15	2012/01/30	125.00
11205	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 C	500,000,000	2011/04/15	2011/11/02	75.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11206	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 D	500,000,000	2011/04/15	2011/11/28	75.00
11207	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Sep 2011B	100,000,000	2011/04/15	2011/09/12	42.00
11210	UBS AG – AIA European Warrants Sep 2011	200,000,000	2011/04/15	2011/09/22	30.00
11233	UBS AG – A50 China European Warrants Dec 2011	300,000,000	2011/04/15	2011/12/29	45.00
11211	UBS AG – Hutchison European Warrants Aug 2011 B	300,000,000	2011/04/15	2011/08/29	120.00
11212	UBS AG – Hutchison European Warrants Sep 2011 B	300,000,000	2011/04/15	2011/09/29	54.00
11213	UBS AG – Jiangxi Copper European Warrants Sep 2011	100,000,000	2011/04/15	2011/09/15	15.00
11214	UBS AG – Tencent Holdings European Warrants Sep 2011 D	200,000,000	2011/04/15	2011/09/05	30.00
11258	Deutsche Bank AG – China Life European Warrants Aug 2011 C	200,000,000	2011/04/18	2011/08/08	36.00
11261	Deutsche Bank AG – China Life European Warrants Sep 2011 D	200,000,000	2011/04/18	2011/09/20	30.00
10101	Deutsche Bank AG – EUR/USD European Put Warrants Oct 2011	50,000,000	2011/04/18	2011/10/24	14.00
11259	Deutsche Bank AG – HKEx European Warrants Sep 2011 B	150,000,000	2011/04/18	2011/09/05	22.50
11260	Deutsche Bank AG – HSBC European Warrants Sep 2011 E	200,000,000	2011/04/18	2011/09/20	50.00
11251	J P Morgan SP BV – Agricultural Bk European Warrants Oct 11B	150,000,000	2011/04/18	2011/10/18	37.50
11252	J P Morgan SP BV – CITIC Pacific Euro Wts Nov 2011	100,000,000	2011/04/18	2011/11/18	25.00
11254	Macquarie Bank Ltd. – China Unicom European Wts Aug 2012	300,000,000	2011/04/18	2012/08/01	75.00
11255	Macquarie Bank Ltd. – PetroChina European Wts Oct 2011	250,000,000	2011/04/18	2011/10/18	85.50
11257	Macquarie Bank Ltd. – Yanzhou Coal European Wts Oct 2011 B	200,000,000	2011/04/18	2011/10/28	50.00
11246	CC Rabobank B.A. – Anhui Conch European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/24	40.00
11247	CC Rabobank B.A. – China EB Ltd European Warrants Feb 2012	100,000,000	2011/04/18	2012/02/27	25.00
11248	CC Rabobank B.A. – CNBM European Warrants Oct 2011 B	100,000,000	2011/04/18	2011/10/24	25.00
11249	CC Rabobank B.A. – Evergrande European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/24	35.00
11250	CC Rabobank B.A. – Wynn Macau European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/24	25.00
11245	Standard Chartered Bank – A50 China European Warrants Dec 11	100,000,000	2011/04/18	2011/12/21	15.00
11244	Standard Chartered Bank –PetroChina European Warrants Aug11B	100,000,000	2011/04/18	2011/08/15	40.50
11243	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 E	200,000,000	2011/04/18	2011/10/19	50.00
11253	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2011B	100,000,000	2011/04/18	2011/10/19	40.00
11256	UBS AG – China Tel European Warrants Oct 2011	100,000,000	2011/04/18	2011/10/18	25.00
11269	BOCI Asia Ltd. – Agricultural Bk European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/31	25.00
11270	BOCI Asia Ltd. – China Shenhua European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/24	25.00
11272	BOCI Asia Ltd. – Tracker Fund European Put Warrants Dec 2011	100,000,000	2011/04/19	2011/12/15	25.00
11271	BOCI Asia Ltd. – Yanzhou Coal European Warrants Nov 2011	100,000,000	2011/04/19	2011/11/15	25.00
11266	J P Morgan SP BV – Air China European Warrants Oct 2012	200,000,000	2011/04/19	2012/10/30	30.00
11267	J P Morgan SP BV – Cathay Pacific European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/24	15.00
11285	J P Morgan SP BV – HSBC European Warrants Sep 2011 D	200,000,000	2011/04/19	2011/09/14	46.80
11268	J P Morgan SP BV – PetroChina European Warrants Feb 2013	300,000,000	2011/04/19	2013/02/27	75.00
11275	Macquarie Bank Ltd. – AIA European Put Warrants Nov 2011	250,000,000	2011/04/19	2011/11/02	62.75
11286	Macquarie Bank Ltd. – HSCEI European Warrants Mar 2012	300,000,000	2011/04/19	2012/03/29	75.00
11287	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2011	300,000,000	2011/04/19	2011/12/29	75.00
11278	Macquarie Bank Ltd. – Sinopharm European Warrants Nov 2011	200,000,000	2011/04/19	2011/11/02	50.00
11277	Macquarie Bank Ltd. – Shui On Land European Warrants Nov 11	200,000,000	2011/04/19	2011/11/02	50.00
11262	CC Rabobank B.A. – Agricultural Bk European Warrants Oct 11	150,000,000	2011/04/19	2011/10/24	36.00
11263	CC Rabobank B.A. – Ch Ship Cont European Warrants Oct 2011	100,000,000	2011/04/19	2011/10/24	32.00
11264	CC Rabobank B.A. – HKEx European Warrants Mar 2012	200,000,000	2011/04/19	2012/03/19	30.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11265	CC Rabobank B.A. – KECL European Warrants Oct 2012	200,000,000	2011/04/19	2012/10/30	50.00
11281	Standard Chartered Bank – Agricultural Bk European Wts Oct11	100,000,000	2011/04/19	2011/10/28	40.00
11284	Standard Chartered Bank – BOCL European Warrants Dec 2011	100,000,000	2011/04/19	2011/12/01	37.00
11283	Standard Chartered Bank-China Life European Wts Aug 2011 C	100,000,000	2011/04/19	2011/08/01	15.00
11280	Standard Chartered Bank – China Mobile Euro Wts Sep 2011 B	100,000,000	2011/04/19	2011/09/27	25.00
11274	Standard Chartered Bank – China Shenhua Euro Wts Oct 2011	100,000,000	2011/04/19	2011/10/31	36.00
11273	Standard Chartered Bank – HSBC European Put Warrants Dec 11	100,000,000	2011/04/19	2011/12/01	25.00
11279	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2012	200,000,000	2011/04/19	2012/02/01	50.00
11282	Standard Chartered Bank – ICBC European Warrants Sep 2011 B	100,000,000	2011/04/19	2011/09/30	31.00
11276	UBS AG – HKEx European Warrants Dec 2011 B	300,000,000	2011/04/19	2011/12/05	45.00
11311	Credit Suisse AG – Li & Fung European Warrants Sep 2011	200,000,000	2011/04/20	2011/09/05	30.00
11312	Credit Suisse AG – Tencent Holdings European Wts Jul 2011 D	300,000,000	2011/04/20	2011/07/21	45.00
11322	Citigroup Global Mkt H Inc. – Ch LongYuan European Wts Oct12	80,000,000	2011/04/20	2012/10/03	20.00
11321	Citigroup Global Mkt H Inc. – China Life Euro Wts Sep 2011 B	80,000,000	2011/04/20	2011/09/02	19.20
11323	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Oct 11	80,000,000	2011/04/20	2011/10/20	20.00
11324	Citigroup Global Mkt H Inc. – HS Bank European Wts Dec 2012	80,000,000	2011/04/20	2012/12/27	12.00
11329	Citigroup Global Mkt H Inc. – HSI European Warrants Jul 2011	80,000,000	2011/04/20	2011/07/28	12.00
11330	Citigroup Global Mkt H Inc. – HSI European Warrants Sep 2011	80,000,000	2011/04/20	2011/09/29	12.00
11333	Citigroup Global Mkt H Inc. – HSI European Put Wts Jul 2011	80,000,000	2011/04/20	2011/07/28	12.00
11331	Citigroup Global Mkt H Inc. – HSI European Put Wts Aug 2011	80,000,000	2011/04/20	2011/08/30	12.00
11325	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Oct 2011 B	80,000,000	2011/04/20	2011/10/20	20.00
11304	HK Bank – Angang Steel European Warrants Aug 2012	80,000,000	2011/04/20	2012/08/23	20.00
11305	HK Bank – Ch Ship Cont European Warrants Sep 2011	80,000,000	2011/04/20	2011/09/27	12.00
11306	HK Bank – HKEx European Warrants Sep 2011	150,000,000	2011/04/20	2011/09/26	22.50
11313	J P Morgan SP BV – Belle Int'l European Warrants Sep 2011	150,000,000	2011/04/20	2011/09/30	22.50
11314	J P Morgan SP BV – China Life European Warrants Oct 2011 B	300,000,000	2011/04/20	2011/10/20	75.00
11315	J P Morgan SP BV – CNBM European Warrants Oct 2011	200,000,000	2011/04/20	2011/10/31	55.80
11332	J P Morgan SP BV – HSBC European Warrants Mar 2016	300,000,000	2011/04/20	2016/03/30	75.00
11316	J P Morgan SP BV – Li & Fung European Warrants Oct 2011	80,000,000	2011/04/20	2011/10/28	20.00
11317	J P Morgan SP BV – Renhe Comm European Warrants Mar 2012	100,000,000	2011/04/20	2012/03/15	25.00
11318	Macquarie Bank Ltd. – China Unicom European Put Wts May 2012	300,000,000	2011/04/20	2012/05/30	75.00
11326	Macquarie Bank Ltd. – CNBM European Warrants Oct 2011 B	150,000,000	2011/04/20	2011/10/20	37.80
11291	Macquarie Bank Ltd. – CRCC European Warrants Nov 2011	200,000,000	2011/04/20	2011/11/02	50.00
11288	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Dec 2011	200,000,000	2011/04/20	2011/12/29	50.00
11290	Macquarie Bank Ltd. – Huaneng Power European Wts Nov 2011	150,000,000	2011/04/20	2011/11/02	37.50
11289	Macquarie Bank Ltd. -Want Want China European Warrants Nov11	88,000,000	2011/04/20	2011/11/02	22.00
11303	Standard Chartered Bank – CTel European Warrants Oct 2011	100,000,000	2011/04/20	2011/10/31	60.00
11302	Standard Chartered Bank – Sinopec Corp European Wts Aug 11B	100,000,000	2011/04/20	2011/08/31	48.00
11296	Standard Chartered Bank – HSBC European Warrants Oct 2011	100,000,000	2011/04/20	2011/10/24	90.00
11298	Standard Chartered Bank – HSBC European Warrants Jun 2012	100,000,000	2011/04/20	2012/06/01	25.00
11297	Standard Chartered Bank – HSBC European Warrants Nov 2011 B	100,000,000	2011/04/20	2011/11/30	30.00
11299	Standard Chartered Bank – Hutchison Euro Euro Wts Sep 2011	100,000,000	2011/04/20	2011/09/27	60.00
11301	Standard Chartered Bank – Jiangxi Copper Euro Wts Nov 2011	100,000,000	2011/04/20	2011/11/01	25.00
11300	Standard Chartered Bank – MTRC European Warrants Nov 2011	100,000,000	2011/04/20	2011/11/01	25.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
11292	SGA Societe Generale Acceptance N.V. -Agile Ppt Eu Wts Nov11	200,000,000	2011/04/20	2011/11/23	30.00
11293	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Nov 2012	250,000,000	2011/04/20	2012/11/26	37.50
11294	SGA Societe Generale Acceptance NV-HuanengPower Eu Wt Oct 11	50,000,000	2011/04/20	2011/10/24	12.50
11295	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2011 B	150,000,000	2011/04/20	2011/09/21	106.50
11319	UBS AG – China Shenhua European Warrants Oct 2011	100,000,000	2011/04/20	2011/10/31	20.00
11320	UBS AG – A50 China European Warrants Jul 2012	200,000,000	2011/04/20	2012/07/25	30.00
11307	UBS AG – HSBC European Warrants Jul 2011 C	200,000,000	2011/04/20	2011/07/25	36.00
11310	UBS AG – HSI European Warrants Oct 2011	300,000,000	2011/04/20	2011/10/28	75.00
11308	UBS AG – Tencent Holdings European Warrants Aug 2011	200,000,000	2011/04/20	2011/08/23	44.00
11309	UBS AG – Tencent Holdings European Warrants Oct 2011 C	200,000,000	2011/04/20	2011/10/03	30.00
27776 #	J P Morgan SP BV – China Mob European Wts Jun 2011 B	200,000,000	2011/04/20	2011/06/29	13.00
23753 #	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 B	200,000,000	2011/04/20	2011/06/23	11.20
26155 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2011 B	200,000,000	2011/04/20	2011/07/20	10.40
28604 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2011 B	100,000,000	2011/04/20	2011/08/29	11.60
11342	BOCI Asia Ltd. – HSI European Warrants Jan 2012	100,000,000	2011/04/21	2012/01/30	25.00
11346	BOCI Asia Ltd. – HSI European Put Warrants Jan 2012	100,000,000	2011/04/21	2012/01/30	25.00
11367	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Jan 2012	80,000,000	2011/04/21	2012/01/05	20.00
11366	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Dec 2012	80,000,000	2011/04/21	2012/12/20	12.00
11368	Citigroup Global Mkt H Inc. – HSBC European Wts Aug 2011	80,000,000	2011/04/21	2011/08/08	17.60
11364	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Oct 2011	80,000,000	2011/04/21	2011/10/24	28.00
11365	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Dec 2012	80,000,000	2011/04/21	2012/12/19	13.60
11361	Bank of East Asia – China Tel European Warrants Nov 2011	50,000,000	2011/04/21	2011/11/23	12.50
11363	Bank of East Asia – Ch Comm Cons European Warrants Apr 2013	250,000,000	2011/04/21	2013/04/23	62.50
11360	Bank of East Asia – Sinopec Corp European Warrants Nov 2011	45,000,000	2011/04/21	2011/11/23	11.25
11362	Bank of East Asia – Shenhua European Warrants Nov 2011	60,000,000	2011/04/21	2011/11/23	15.00
11340	HK Bank – China Unicom European Warrants May 2012	100,000,000	2011/04/21	2012/05/11	25.00
11341	HK Bank – Lonking European Warrants Dec 2011	80,000,000	2011/04/21	2011/12/20	21.60
11350	J P Morgan SP BV – China Life European Put Warrants Oct 2011	150,000,000	2011/04/21	2011/10/21	37.50
11351	J P Morgan SP BV – Hutchison European Warrants Aug 2011	100,000,000	2011/04/21	2011/08/23	33.50
11354	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Oct 2011	80,000,000	2011/04/21	2011/10/03	44.80
11355	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Nov 2011 B	80,000,000	2011/04/21	2011/11/01	28.80
11356	KBC Fin Products Int'l Ltd. – CR Cement Euro Wts Nov 2011	58,000,000	2011/04/21	2011/11/10	29.58
11357	KBC Fin Products Int'l Ltd.-Henderson Land Dev Eu Wt Sep11B	58,000,000	2011/04/21	2011/09/07	18.56
11353	KBC Fin Products Int'l Ltd. – Huaneng Power Eur Wts Mar 2012	58,000,000	2011/04/21	2012/03/08	14.50
11359	KBC Fin Products Int'l Ltd. -HuanengPower Euro Put Wt Mar 12	58,000,000	2011/04/21	2012/03/08	25.52
11358	KBC Fin Products Int'l Ltd. – New World Euro Put Wt Dec 2011	58,000,000	2011/04/21	2011/12/12	14.50
11344	Macquarie Bank Ltd. – Evergrande European Warrants Oct 2011	150,000,000	2011/04/21	2011/10/21	71.85
11343	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Oct 2011	250,000,000	2011/04/21	2011/10/24	110.25
11337	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Oct 2011	80,000,000	2011/04/21	2011/10/28	20.00
11338	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Nov 2011	80,000,000	2011/04/21	2011/11/29	14.00
11339	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jul 2012	80,000,000	2011/04/21	2012/07/16	17.92
11334	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Oct 11B	80,000,000	2011/04/21	2011/10/21	22.80
11335	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Nov 11	80,000,000	2011/04/21	2011/11/01	20.40
11336	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Oct 2011	80,000,000	2011/04/21	2011/10/21	20.40

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11345	CC Rabobank B.A. – Belle Int'l European Warrants Jan 2012	100,000,000	2011/04/21	2012/01/30	25.00
11347	CC Rabobank B.A. – Maanshan Iron European Wts Oct 2011	100,000,000	2011/04/21	2011/10/21	25.00
11348	CC Rabobank B.A. – SJM Holdings European Warrants Dec 2011	100,000,000	2011/04/21	2011/12/29	25.00
11349	CC Rabobank B.A. – Yanzhou Coal European Warrants Oct 2011	150,000,000	2011/04/21	2011/10/28	37.50
11370	UBS AG – Agricultural Bank European Warrants Jul 2011 D	200,000,000	2011/04/21	2011/07/26	30.00
11369	UBS AG – HSBC European Warrants Sep 2011 D	300,000,000	2011/04/21	2011/09/19	45.00
11385	BOCI Asia Ltd. – HS Bank European Warrants Oct 2011	100,000,000	2011/04/26	2011/10/04	22.00
11386	BOCI Asia Ltd. – HSBC European Warrants Sep 2011	100,000,000	2011/04/26	2011/09/30	18.00
11387	BOCI Asia Ltd. – Hutchison European Warrants Aug 2011	100,000,000	2011/04/26	2011/08/15	22.00
11394	Citigroup Global Mkt H Inc. – CC Bank European Wts Aug 2011	80,000,000	2011/04/26	2011/08/25	12.00
11395	Citigroup Global Mkt H Inc. – CC Bank European Wts Oct 2011	80,000,000	2011/04/26	2011/10/31	20.00
11397	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2012	80,000,000	2011/04/26	2012/01/18	12.00
11393	Citigroup Global Mkt H Inc. – Cathay Pacific Eur Wt Oct 11B	80,000,000	2011/04/26	2011/10/24	12.00
11396	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Oct 2011	80,000,000	2011/04/26	2011/10/31	20.00
11388	HK Bank – Agricultural Bank European Warrants Oct 2011	120,000,000	2011/04/26	2011/10/07	30.00
11389	HK Bank – China Life European Warrants Oct 2011	150,000,000	2011/04/26	2011/10/26	22.50
11390	HK Bank – China Life European Warrants Dec 2011	150,000,000	2011/04/26	2011/12/20	37.50
11374	J P Morgan SP BV – Evergrande European Warrants Oct 2011	100,000,000	2011/04/26	2011/10/26	54.90
11375	Macquarie Bank Ltd.-Agricultural Bk European Warrants Oct11B	150,000,000	2011/04/26	2011/10/26	37.50
11378	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Dec 2011	80,000,000	2011/04/26	2011/12/01	19.52
11379	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Oct 2012	80,000,000	2011/04/26	2012/10/02	18.48
11376	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Nov 11A	80,000,000	2011/04/26	2011/11/21	62.40
11377	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Nov 11B	80,000,000	2011/04/26	2011/11/23	39.20
11382	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Sep 2011	80,000,000	2011/04/26	2011/09/27	12.08
11381	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Nov 2011	80,000,000	2011/04/26	2011/11/01	12.08
11383	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 11B	200,000,000	2011/04/26	2011/12/29	30.20
11384	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Oct 2011	200,000,000	2011/04/26	2011/10/28	35.40
11380	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Mar 2012	80,000,000	2011/04/26	2012/03/21	12.16
11371	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Oct 11	100,000,000	2011/04/26	2011/10/26	39.00
11372	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Oct11B	80,000,000	2011/04/26	2011/10/26	57.60
11373	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Oct 11	200,000,000	2011/04/26	2011/10/26	50.00
11391	UBS AG – CC Bank European Warrants Sep 2011 B	200,000,000	2011/04/26	2011/09/26	30.00
11392	UBS AG – HKEx European Warrants Sep 2011	300,000,000	2011/04/26	2011/09/26	45.00
28187 #	Macquarie Bank Ltd. – Sh Petrochem European Wts Jul 2011	200,000,000	2011/04/26	2011/07/13	11.20
11398	Barclays Bank plc – Agricultural Bank European Wts Nov 2011	100,000,000	2011/04/27	2011/11/28	25.00
11400	Barclays Bank plc – CC Bank European Warrants Nov 2011	100,000,000	2011/04/27	2011/11/28	25.00
11401	Barclays Bank plc – CNOOC European Warrants Jul 2012	100,000,000	2011/04/27	2012/07/11	18.10
11399	Barclays Bank plc – China Shenhua European Warrants Nov 2011	100,000,000	2011/04/27	2011/11/21	25.00
11402	Barclays Bank plc – PetroChina European Warrants Dec 2012	100,000,000	2011/04/27	2012/12/28	17.90
11415	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Oct 2011	80,000,000	2011/04/27	2011/10/31	20.00
11413	Citigroup Global Mkt H Inc. – AIA European Warrants Dec 2011	80,000,000	2011/04/27	2011/12/05	20.00
11420	Citigroup Global Mkt H Inc. – BOCL European Wts Oct 2011	80,000,000	2011/04/27	2011/10/10	12.00
11414	Citigroup Global Mkt H Inc. – BYD European Warrants Oct 2011	80,000,000	2011/04/27	2011/10/31	20.00
11419	Citigroup Global Mkt H Inc. – China Coal European Wts Feb 12	80,000,000	2011/04/27	2012/02/23	12.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11417	Citigroup Global Mkt H Inc. – CPIC European Warrants Oct 11	80,000,000	2011/04/27	2011/10/31	20.00
11412	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Oct 11A	80,000,000	2011/04/27	2011/10/31	20.00
11410	Citigroup Global Mkt H Inc. – HKEx European Wts Nov 2011	80,000,000	2011/04/27	2011/11/02	12.00
11411	Citigroup Global Mkt H Inc. – HKEx European Put Wts Oct 2011	80,000,000	2011/04/27	2011/10/26	12.00
11416	Citigroup Global Mkt H Inc. – ICBC European Wts Oct 2011	80,000,000	2011/04/27	2011/10/31	20.00
11409	Macquarie Bank Ltd. – AIA European Warrants Nov 2011	250,000,000	2011/04/27	2011/11/18	64.00
11408	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Sep 2011	200,000,000	2011/04/27	2011/09/26	30.00
11407	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt May 12	150,000,000	2011/04/27	2012/05/28	37.50
11403	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Oct 11	120,000,000	2011/04/27	2011/10/31	57.60
11404	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Oct11	80,000,000	2011/04/27	2011/10/31	20.80
11405	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Oct 11A	60,000,000	2011/04/27	2011/10/31	15.00
11406	SGA Societe Generale Acceptance NV-Maanshan Iron Eu Wt Oct11	50,000,000	2011/04/27	2011/10/31	15.50
11418	UBS AG – AIA European Warrants Nov 2011	100,000,000	2011/04/27	2011/11/28	15.00
28222 #	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2011 B	200,000,000	2011/04/27	2011/06/27	10.20
28776 #	CC Rabobank B.A. – Tencent Holdings European Put Wts Aug 11	400,000,000	2011/04/27	2011/08/22	36.00
11425	BOCI Asia Ltd. – AIA European Warrants Sep 2011	100,000,000	2011/04/28	2011/09/30	15.00
11424	BOCI Asia Ltd. – Li & Fung European Warrants Oct 2011	100,000,000	2011/04/28	2011/10/31	18.00
11441	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Oct 11	80,000,000	2011/04/28	2011/10/31	20.00
11440	Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jun 2012	80,000,000	2011/04/28	2012/06/26	12.00
11442	Citigroup Global Mkt H Inc. – Ping An Euro Wts Oct 2011	80,000,000	2011/04/28	2011/10/31	32.00
11443	Citigroup Global Mkt H Inc. – Tencent European Put Wts Dec11	80,000,000	2011/04/28	2011/12/30	20.00
11429	HK Bank – AIA European Warrants Feb 2012	150,000,000	2011/04/28	2012/02/22	37.50
11427	HK Bank – China Mobile European Warrants Sep 2011	150,000,000	2011/04/28	2011/09/19	30.00
11428	HK Bank – CC Bank European Warrants Sep 2011	120,000,000	2011/04/28	2011/09/26	30.00
11426	HK Bank – GCL-Poly Energy European Warrants Dec 2011	80,000,000	2011/04/28	2011/12/12	20.00
11431	HK Bank – HSI European Warrants Oct 2011 D	250,000,000	2011/04/28	2011/10/28	62.50
11432	HK Bank – HSI European Warrants Oct 2011 E	250,000,000	2011/04/28	2011/10/28	62.50
11430	HK Bank – HSI European Put Warrants Oct 2011 C	200,000,000	2011/04/28	2011/10/28	50.00
11435	Macquarie Bank Ltd. – Air China European Warrants Apr 2012	150,000,000	2011/04/28	2012/04/03	37.50
11434	Macquarie Bank Ltd. – Avichina European Warrants Nov 2011	150,000,000	2011/04/28	2011/11/03	75.00
11433	Macquarie Bank Ltd. – Sh Petrochem European Wts Oct 2011	150,000,000	2011/04/28	2011/10/27	37.95
11423	CC Rabobank B.A. – Agile Ppt European Warrants Jan 2012	100,000,000	2011/04/28	2012/01/30	25.00
11422	CC Rabobank B.A. – Anta Sports European Warrants Sep 2011	100,000,000	2011/04/28	2011/09/22	15.00
11421	CC Rabobank B.A. – Jiangxi Copper European Warrants Sep 2011	200,000,000	2011/04/28	2011/09/27	30.00
11436	UBS AG – CNOOC European Warrants Oct 2011 C	200,000,000	2011/04/28	2011/10/31	30.00
11439	UBS AG – HSI European Warrants Aug 2011 D	300,000,000	2011/04/28	2011/08/30	45.00
11438	UBS AG – HSI European Put Warrants Aug 2011 C	300,000,000	2011/04/28	2011/08/30	45.00
11437	UBS AG – HSI European Put Warrants Sep 2011 A	300,000,000	2011/04/28	2011/09/29	45.00
27921 #	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11G	200,000,000	2011/04/28	2011/06/09	10.40
11493	BOCI Asia Ltd. – BYD European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/07	17.00
11491	BOCI Asia Ltd. – Geely Auto European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.00
11492	BOCI Asia Ltd. – Lenovo European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.00
11490	BOCI Asia Ltd. – Tencent Holdings European Warrants Dec 2011	200,000,000	2011/04/29	2011/12/23	36.00
11507	BNP Paribas Arbit Issu B.V. – BYD European Warrants Dec 11A	100,000,000	2011/04/29	2011/12/02	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11508	BNP Paribas Arbit Issu B.V.-CM Bank European Warrants Feb 12	100,000,000	2011/04/29	2012/02/02	25.00
11506	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Jan 2012	100,000,000	2011/04/29	2012/01/03	25.00
11503	Citigroup Global Mkt H Inc. – BBMG European Warrants Dec 11	80,000,000	2011/04/29	2011/12/19	12.00
11513	Citigroup Global Mkt H Inc. – Comba European Warrants Apr 12	50,000,000	2011/04/29	2012/04/17	12.50
11499	Citigroup Global Mkt H Inc. – Ch Railway Cons Eu Wts Oct 11	50,000,000	2011/04/29	2011/10/31	17.50
11514	Citigroup Global Mkt H Inc. – Chineway Pharm Euro Wts Feb12	50,000,000	2011/04/29	2012/02/06	12.50
11512	Citigroup Global Mkt H Inc. – Ch Zhongwang Euro Wts Feb12	80,000,000	2011/04/29	2012/02/06	24.00
11501	Citigroup Global Mkt H Inc. – Galaxy Ent European Wts Dec11	80,000,000	2011/04/29	2011/12/05	20.00
11511	Citigroup Global Mkt H Inc. – KB Laminates Euro Wts Dec12	80,000,000	2011/04/29	2012/12/24	20.00
11515	Citigroup Global Mkt H Inc. – Kingdee Int'l Euro Wts Apr12	80,000,000	2011/04/29	2012/04/17	24.00
11498	Citigroup Global Mkt H Inc. – Li & Fung European Wts Oct 11	80,000,000	2011/04/29	2011/10/31	20.00
11500	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Oct 2011	50,000,000	2011/04/29	2011/10/31	17.50
11455	HK Bank – HSI European Warrants Aug 2011	280,000,000	2011/04/29	2011/08/30	42.00
11485	J P Morgan SP BV – BEA European Warrants Oct 2011	80,000,000	2011/04/29	2011/10/31	20.00
11489	J P Morgan SP BV – Ch Railway Cons European Warrants Dec 13	200,000,000	2011/04/29	2013/12/30	50.00
11488	J P Morgan SP BV – Ch Southern Air European Wts Oct 2011	80,000,000	2011/04/29	2011/10/31	20.00
11487	J P Morgan SP BV – Henderson Land Dev European Wts Oct 2011	80,000,000	2011/04/29	2011/10/31	20.00
11486	J P Morgan SP BV – Ping An European Warrants Oct 2011 A	200,000,000	2011/04/29	2011/10/31	96.00
11504	Macquarie Bank Ltd. – CC Bank European Warrants Nov 2011	200,000,000	2011/04/29	2011/11/02	50.20
11505	Macquarie Bank Ltd. – CC Bank European Put Warrants Nov 2011	200,000,000	2011/04/29	2011/11/02	70.20
11454	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2011	300,000,000	2011/04/29	2011/12/29	75.00
11444	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2011 A	80,000,000	2011/04/29	2011/09/27	18.40
11445	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Nov 2011	80,000,000	2011/04/29	2011/11/14	25.60
11446	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Sep 2011	80,000,000	2011/04/29	2011/09/19	12.40
11447	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2011	80,000,000	2011/04/29	2011/12/19	12.16
11453	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2011 A	80,000,000	2011/04/29	2011/11/07	12.08
11448	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2011 C	80,000,000	2011/04/29	2011/08/16	15.92
11451	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2011	80,000,000	2011/04/29	2011/10/10	24.40
11449	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2011 A	80,000,000	2011/04/29	2011/09/19	30.00
11450	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2011 B	80,000,000	2011/04/29	2011/09/05	15.20
11452	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Mar 12A	80,000,000	2011/04/29	2012/03/19	12.32
11476	Nomura Int'l plc – Alibaba European Warrants Nov 2011	100,000,000	2011/04/29	2011/11/21	15.00
11482	Nomura Int'l plc – BOC HK European Warrants Dec 2011	100,000,000	2011/04/29	2011/12/05	15.60
11469	Nomura Int'l plc – China Telecom European Warrants Oct 2011	80,000,000	2011/04/29	2011/10/31	23.68
11470	Nomura Int'l plc – China Telecom European Warrants Dec 2011	80,000,000	2011/04/29	2011/12/19	20.48
11471	Nomura Int'l plc – China Unicom European Warrants May 2012	200,000,000	2011/04/29	2012/05/25	30.20
11477	Nomura Int'l plc – CC Bank European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	28.80
11478	Nomura Int'l plc – CC Bank European Warrants Aug 2011 A	100,000,000	2011/04/29	2011/08/25	15.60
11483	Nomura Int'l plc – China Life European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.50
11484	Nomura Int'l plc – China Life European Warrants Dec 2011	100,000,000	2011/04/29	2011/12/30	25.00
11474	Nomura Int'l plc – CNOOC European Warrants Jan 2012	100,000,000	2011/04/29	2012/01/31	15.00
11475	Nomura Int'l plc – CNOOC European Warrants Jun 2012	100,000,000	2011/04/29	2012/06/11	17.00
11480	Nomura Int'l plc – China Shenhua European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/24	15.60
11479	Nomura Int'l plc – Dongfang Elec European Warrants Sep 2011	80,000,000	2011/04/29	2011/09/26	12.24

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		issue (units)	Listing date	Expiry	
11468	Nomura Int'l plc – HKEx European Warrants Nov 2011 A	100,000,000	2011/04/29	2011/11/30	15.00
11467	Nomura Int'l plc – JIANC European Warrants Nov 2011	100,000,000	2011/04/29	2011/11/30	25.00
11472	Nomura Int'l plc – PetroChina European Warrants Dec 2012	100,000,000	2011/04/29	2012/12/31	15.50
11473	Nomura Int'l plc – PetroChina European Warrants Feb 2013	100,000,000	2011/04/29	2013/02/28	15.00
11465	Nomura Int'l plc – SHK P European Warrants Dec 2012	100,000,000	2011/04/29	2012/12/03	17.50
11466	Nomura Int'l plc – SHK P European Warrants Dec 2012 A	100,000,000	2011/04/29	2012/12/21	15.00
11481	Nomura Int'l plc – Yanzhou Coal European Warrants Aug 2011	100,000,000	2011/04/29	2011/08/25	15.10
11464	CC Rabobank B.A. – AIA European Warrants Nov 2011	200,000,000	2011/04/29	2011/11/28	30.00
11495	CC Rabobank B.A. – BOCL European Warrants Dec 2011	200,000,000	2011/04/29	2011/12/12	30.00
11497	CC Rabobank B.A. – CC Bank European Warrants Oct 2011	200,000,000	2011/04/29	2011/10/31	50.00
11456	CC Rabobank B.A. – Minsheng Bank European Wts Sep 2011	150,000,000	2011/04/29	2011/09/30	36.00
11461	CC Rabobank B.A. – Ch Southern Air European Warrants Oct 11	100,000,000	2011/04/29	2011/10/31	40.00
11457	CC Rabobank B.A. – Dongfeng Motor European Warrants Oct 2011	150,000,000	2011/04/29	2011/10/31	22.50
11496	CC Rabobank B.A. – Galaxy Ent European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/31	25.00
11462	CC Rabobank B.A. – Gome European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/04	20.00
11463	CC Rabobank B.A. – Greatwall Motor European Wts Nov 2011	120,000,000	2011/04/29	2011/11/29	30.00
11494	CC Rabobank B.A. – ICBC European Warrants Oct 2011	200,000,000	2011/04/29	2011/10/10	40.00
11458	CC Rabobank B.A. – PetroChina European Warrants Oct 2011	100,000,000	2011/04/29	2011/10/17	55.00
11459	CC Rabobank B.A. – Ping An European Warrants Nov 2011	100,000,000	2011/04/29	2011/11/14	45.00
11502	CC Rabobank B.A. – Westchinacement European Warrants Dec 11	80,000,000	2011/04/29	2011/12/19	32.00
11460	CC Rabobank B.A. – ZTE European Warrants Oct 2011	120,000,000	2011/04/29	2011/10/31	30.00
11510	RB of Scotland N.V. – BYD European Warrants Sep 2011 A	100,000,000	2011/04/29	2011/09/05	15.10
11509	RB of Scotland N.V. – China Mobile European Wts Oct 2011 C	200,000,000	2011/04/29	2011/10/21	30.20
10104	Goldman Sachs SP (Asia) – N225 Index Euro Wts Sep 2011 B	100,000,000	2011/04/29	2011/09/09	3.40
10105	Goldman Sachs SP (Asia) – N225 Index Euro Wts Dec 2011 B	100,000,000	2011/04/29	2011/12/09	3.20
10106	Goldman Sachs SP (Asia) – N225 Index Euro Put Wts Sep 2011 A	100,000,000	2011/04/29	2011/09/09	21.60
10107	Goldman Sachs SP (Asia) – N225 Index Euro Put Wts Dec 2011 A	100,000,000	2011/04/29	2011/12/09	18.00
27300 #	Merrill Lynch Int'l & Co. C.V.-HSI European Warrants Jun 11A	300,000,000	2011/04/29	2011/06/29	14.40
11522	BNP Paribas Arbit Issu B.V. – China Unicom Euro Wt Oct12A	100,000,000	2011/05/03	2012/10/03	25.00
11520	BNP Paribas Arbit Issu B.V. – Evergrande European Wts Dec 11	100,000,000	2011/05/03	2011/12/02	37.00
11530	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2011 A	100,000,000	2011/05/03	2011/12/02	25.00
11523	BNP Paribas Arbit Issu B.V. – Tsingtao Brew Euro Wts Dec11	29,000,000	2011/05/03	2011/12/02	10.15
11521	BNP Paribas Arbit Issu B.V. – Xinyi Glass European Wts Jul12	100,000,000	2011/05/03	2012/07/03	25.00
11526	Citigroup Global Mkt H Inc. – AAC Acoustic European Wt Feb12	80,000,000	2011/05/03	2012/02/06	20.00
11528	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts May 2012	80,000,000	2011/05/03	2012/05/04	16.00
11527	Citigroup Global Mkt H Inc.-Tencent European Wts Sep11A	80,000,000	2011/05/03	2011/09/26	12.00
11519	J P Morgan SP BV – Angang Steel European Warrants May 2012	200,000,000	2011/05/03	2012/05/28	30.00
11518	J P Morgan SP BV – BOC HK European Warrants Nov 2011	200,000,000	2011/05/03	2011/11/11	30.20
11517	J P Morgan SP BV – China Unicom European Warrants Dec 2011	200,000,000	2011/05/03	2011/12/29	50.00
11524	J P Morgan SP BV – China Coal European Warrants Feb 2012	200,000,000	2011/05/03	2012/02/23	30.00
11529	J P Morgan SP BV – Tencent European Put Warrants Sep 2011 A	200,000,000	2011/05/03	2011/09/12	44.60
11516	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Sep 11C	200,000,000	2011/05/03	2011/09/07	34.00
11525	UBS AG – CPIC European Warrants Nov 2011	200,000,000	2011/05/03	2011/11/08	50.00
28984 #	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2011	500,000,000	2011/05/03	2011/07/04	10.00

Main Board Warrants

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		issue (units)	Listing date	Expiry	
23354 #	UBS AG – A50 China European Warrants Aug 2011 A	300,000,000	2011/05/03	2011/08/29	19.50
11556	BNP Paribas Arbit Issu B.V. – Brilliance Chi Euro Wt Apr12	100,000,000	2011/05/04	2012/04/03	25.00
11554	BNP Paribas Arbit Issu B.V. – China Liansu European Wt Oct12	100,000,000	2011/05/04	2012/10/04	25.00
11557	BNP Paribas Arbit Issu B.V. – CQRC Bank European Wts Sep13	100,000,000	2011/05/04	2013/09/03	25.00
11555	BNP Paribas Arbit Issu B.V. – Zoomlion European Wts Dec11	100,000,000	2011/05/04	2011/12/02	25.00
10108	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 11C	200,000,000	2011/05/04	2011/12/06	50.00
10110	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Nov11D	200,000,000	2011/05/04	2011/11/16	50.00
10109	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt May12C	200,000,000	2011/05/04	2012/05/17	50.00
11553	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Oct 11C	168,000,000	2011/05/04	2011/10/12	28.56
11549	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Nov11	108,000,000	2011/05/04	2011/11/04	27.00
11550	KBC Fin Products Int'l Ltd. – AIA European Warrants Dec 11A	198,000,000	2011/05/04	2011/12/01	29.70
11547	Macquarie Bank Ltd. – SJM Holdings European Warrants Jan 12	200,000,000	2011/05/04	2012/01/03	50.60
11546	Macquarie Bank Ltd. – Wynn Macau European Warrants Nov 2011	200,000,000	2011/05/04	2011/11/04	52.20
11534	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Aug 2011	80,000,000	2011/05/04	2011/08/09	12.08
11535	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Oct 11A	80,000,000	2011/05/04	2011/10/10	18.96
11536	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Sep 11A	80,000,000	2011/05/04	2011/09/19	12.40
11537	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Sep11	80,000,000	2011/05/04	2011/09/22	13.28
11538	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Nov11	80,000,000	2011/05/04	2011/11/28	12.00
11532	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Jul12	80,000,000	2011/05/04	2012/07/23	20.00
11533	Merrill Lynch Int'l & Co. C.V. – AIA European Put Wts Nov11	80,000,000	2011/05/04	2011/11/28	20.00
11539	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Put Wts Nov11	80,000,000	2011/05/04	2011/11/07	20.00
11543	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 2011	200,000,000	2011/05/04	2011/11/07	90.00
11544	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 11A	200,000,000	2011/05/04	2011/11/07	50.00
11545	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 11B	200,000,000	2011/05/04	2011/11/28	30.00
11541	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2012	500,000,000	2011/05/04	2012/08/06	100.00
11540	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Nov 2011	200,000,000	2011/05/04	2011/11/07	50.00
11548	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Feb 12	200,000,000	2011/05/04	2012/02/29	50.00
11542	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Dec 2012	500,000,000	2011/05/04	2012/12/19	85.00
11552	UBS AG – AIA European Warrants Sep 2011 A	200,000,000	2011/05/04	2011/09/30	30.00
11551	UBS AG – China Life European Warrants Sep 2011 C	300,000,000	2011/05/04	2011/09/19	45.00
10112	BNP Paribas Arbit Issu B.V. -EUR/USD European Warrants Dec11	200,000,000	2011/05/05	2011/12/12	50.00
10111	BNP Paribas Arbit Issu B.V. – EUR/USD European Put Wts Dec11	200,000,000	2011/05/05	2011/12/12	50.00
11567	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2013	200,000,000	2011/05/05	2013/12/30	50.00
11565	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2013	200,000,000	2011/05/05	2013/05/30	52.00
11569	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2011 D	200,000,000	2011/05/05	2011/09/29	30.00
11568	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2011 A	200,000,000	2011/05/05	2011/10/28	44.00
11566	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2011 C	200,000,000	2011/05/05	2011/09/29	30.00
10114	BNP Paribas Arbit Issu B.V. -USD/YEN European Warrants Dec11	200,000,000	2011/05/05	2011/12/12	50.00
10113	BNP Paribas Arbit Issu B.V. – USD/YEN European Put Wts Dec11	200,000,000	2011/05/05	2011/12/12	50.00
11561	Macquarie Bank Ltd. – CQRC Bank European Warrants Nov 2011	200,000,000	2011/05/05	2011/11/07	51.60
11560	Macquarie Bank Ltd. – Zoomlion European Warrants Nov 2011	200,000,000	2011/05/05	2011/11/07	50.40
11559	Macquarie Bank Ltd. – Hutchison European Put Wts Nov 2011	200,000,000	2011/05/05	2011/11/07	66.40
11558	Macquarie Bank Ltd. – China Mengniu European Wts Dec 2011	200,000,000	2011/05/05	2011/12/02	50.00
11562	UBS AG – AIA European Warrants Nov 2011 A	200,000,000	2011/05/05	2011/11/08	50.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11564	UBS AG – China Life European Warrants Sep 2011 D	300,000,000	2011/05/05	2011/09/27	51.00
11563	UBS AG – Tencent Holdings European Put Warrants Sep 2011	100,000,000	2011/05/05	2011/09/27	15.00
11604	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2011 A	100,000,000	2011/05/06	2011/10/04	18.00
11603	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Dec 2012	100,000,000	2011/05/06	2012/12/04	26.00
11602	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2011 C	100,000,000	2011/05/06	2011/11/02	15.00
11601	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jan 2012 A	100,000,000	2011/05/06	2012/01/03	18.00
11597	BNP Paribas Arbit Issu B.V. – CRCC European Wts Dec 2013	100,000,000	2011/05/06	2013/12/03	25.00
11600	Macquarie Bank Ltd. – China Coal European Warrants Feb 2012	300,000,000	2011/05/06	2012/02/28	45.00
11586	Macquarie Bank Ltd. – CLP European Warrants Feb 2012	200,000,000	2011/05/06	2012/02/02	51.20
11587	Macquarie Bank Ltd. – Shanshui Cement European Wts Jun 2012	200,000,000	2011/05/06	2012/06/27	50.00
11588	Macquarie Bank Ltd. – Jiangxi Copper European Put Wts Dec 11	300,000,000	2011/05/06	2011/12/29	75.00
11585	Macquarie Bank Ltd. – Sands China European Warrants Dec 2011	200,000,000	2011/05/06	2011/12/02	50.00
11584	Macquarie Bank Ltd. – Goldwind European Warrants Dec 2011	200,000,000	2011/05/06	2011/12/02	50.40
11589	Merrill Lynch Int'l & Co. C.V. – CHALCO Euro Wts Nov 2011	80,000,000	2011/05/06	2011/11/21	34.40
11590	Merrill Lynch Int'l & Co. C.V. – CHALCO Euro Wts Nov 2012	80,000,000	2011/05/06	2012/11/26	12.08
11592	Merrill Lynch Int'l & Co. C.V. – China Coal Eur Wts Feb 2012	80,000,000	2011/05/06	2012/02/23	12.48
11575	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Sep 2011 C	80,000,000	2011/05/06	2011/09/29	12.00
11574	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2011 D	80,000,000	2011/05/06	2011/09/30	12.00
11573	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2011 C	80,000,000	2011/05/06	2011/10/17	12.08
11572	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Sep11C	80,000,000	2011/05/06	2011/09/26	17.92
11571	Merrill Lynch Int'l & Co. C.V. – Yanzhou Coal Eu Wt Sep 2011	80,000,000	2011/05/06	2011/09/26	29.60
11570	Merrill Lynch Int'l & Co. C.V. – Yanzhou Coal Eu Wt Oct 2011	80,000,000	2011/05/06	2011/10/10	13.92
11591	Merrill Lynch Int'l & Co. C.V. – Zijin Mining Eu Wt Nov 2011	80,000,000	2011/05/06	2011/11/07	39.60
11593	Standard Chartered Bank – Zoomlion European Warrants Feb 12	60,000,000	2011/05/06	2012/02/03	15.60
11594	Standard Chartered Bank – SPDR Gold Trust Euro Wts Dec11	50,000,000	2011/05/06	2011/12/30	34.00
11581	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Aug 2011	80,000,000	2011/05/06	2011/08/22	18.40
11580	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Oct 2011	80,000,000	2011/05/06	2011/10/24	24.80
11579	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Dec 11	200,000,000	2011/05/06	2011/12/28	36.00
11578	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts May 12	200,000,000	2011/05/06	2012/05/28	30.00
11595	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011A	300,000,000	2011/05/06	2011/11/23	75.00
11596	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 11A	300,000,000	2011/05/06	2011/11/07	45.00
11576	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2011	300,000,000	2011/05/06	2011/11/16	81.00
11577	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2011 A	300,000,000	2011/05/06	2011/11/07	108.00
11583	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 11	300,000,000	2011/05/06	2011/11/29	81.00
11582	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011 A	300,000,000	2011/05/06	2011/12/29	45.00
11599	UBS AG – China Life European Warrants Sep 2011 E	300,000,000	2011/05/06	2011/09/19	78.00
11598	UBS AG – Hutchison European Warrants Nov 2011	300,000,000	2011/05/06	2011/11/08	90.00
11608	Barclays Bank plc – HSI European Warrants Dec 2011	150,000,000	2011/05/09	2011/12/29	37.65
11613	BNP Paribas Arbit Issu B.V.-Dongfeng Motor European Wt Aug12	100,000,000	2011/05/09	2012/08/02	25.00
11612	BNP Paribas Arbit Issu B.V. – Nine Dragons European Wt Apr13	100,000,000	2011/05/09	2013/04/02	25.00
11611	KBC Fin Products Int'l Ltd. – Angang Steel Euro Put Wt Jan12	80,000,000	2011/05/09	2012/01/18	20.00
11605	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Sep 2011	80,000,000	2011/05/09	2011/09/07	12.08
11606	Merrill Lynch Int'l & Co. C.V. – SPA European Wts Nov 2011	80,000,000	2011/05/09	2011/11/28	26.40
11607	Merrill Lynch Int'l & Co. C.V. – SPA European Wts Mar 2012	80,000,000	2011/05/09	2012/03/21	12.08

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11610	Standard Chartered Bank – China Coal European Warrants Dec11	100,000,000	2011/05/09	2011/12/30	17.80
11614	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012	400,000,000	2011/05/09	2012/03/21	60.00
11609	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2013	400,000,000	2011/05/09	2013/07/29	72.00
11615	UBS AG – A50 China European Warrants Jan 2012	300,000,000	2011/05/09	2012/01/18	45.00
11616	UBS AG – Hutchison European Warrants Jul 2013	500,000,000	2011/05/09	2013/07/29	75.00
28604 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2011 B	200,000,000	2011/05/09	2011/08/29	14.20
11620	HK Bank – Tencent European Warrants Sep 2011	250,000,000	2011/05/11	2011/09/30	37.50
11619	HK Bank – Tencent European Put Warrants Sep 2011	180,000,000	2011/05/11	2011/09/16	32.40
11621	Standard Chartered Bank – Anhui Conch European Wts Nov 2011	100,000,000	2011/05/11	2011/11/28	60.00
11622	Standard Chartered Bank – Anhui Conch European Wts Dec 2011	100,000,000	2011/05/11	2011/12/30	52.00
11623	Standard Chartered Bank – Evergrande European Wts Dec 2011	40,000,000	2011/05/11	2011/12/30	28.00
11624	UBS AG – A50 China European Warrants Nov 2011 A	300,000,000	2011/05/11	2011/11/04	45.00
11040 #	Credit Suisse AG – HSI European Warrants Jul 2011 C	600,000,000	2011/05/11	2011/07/28	34.80
11638	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2012	100,000,000	2011/05/12	2012/03/02	25.00
11640	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2012	100,000,000	2011/05/12	2012/06/04	25.00
11639	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2011 A	100,000,000	2011/05/12	2011/12/02	25.00
11657	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011	128,000,000	2011/05/12	2011/10/10	19.20
11658	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2011	128,000,000	2011/05/12	2011/11/15	46.08
11653	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Dec 2011	100,000,000	2011/05/12	2011/12/29	17.00
11650	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2012	100,000,000	2011/05/12	2012/03/29	25.00
11651	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Dec 2011	100,000,000	2011/05/12	2011/12/29	27.00
11652	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Dec 2011 A	100,000,000	2011/05/12	2011/12/29	20.00
11648	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011	200,000,000	2011/05/12	2011/12/29	50.00
11659	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2011	200,000,000	2011/05/12	2011/12/29	62.00
11649	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 A	200,000,000	2011/05/12	2011/12/29	50.00
11654	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2011	128,000,000	2011/05/12	2011/11/15	32.00
11655	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2011 A	128,000,000	2011/05/12	2011/11/15	43.52
11656	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2011 B	128,000,000	2011/05/12	2011/11/15	65.28
11627	Nomura Int'l plc – Angang Steel European Warrants May 2012	100,000,000	2011/05/12	2012/05/28	15.00
11634	Nomura Int'l plc – BJ Ent Water European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.00
11631	Nomura Int'l plc – BYD European Warrants Oct 2011	100,000,000	2011/05/12	2011/10/31	18.00
11628	Nomura Int'l plc – China Mobile European Warrants Sep 2011	128,000,000	2011/05/12	2011/09/14	19.33
11632	Nomura Int'l plc – China Coal European Warrants Feb 2012	150,000,000	2011/05/12	2012/02/24	22.65
11633	Nomura Int'l plc – Shineway Pharm European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.20
11630	Nomura Int'l plc – Zoomlion European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.00
11625	Nomura Int'l plc – Hutchison European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/30	44.00
11629	Nomura Int'l plc – Lenovo European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/14	25.00
11626	Nomura Int'l plc – Sh Petrochem European Warrants Sep 2011	100,000,000	2011/05/12	2011/09/29	15.10
11644	CC Rabobank B.A. – CC Bank European Warrants Sep 2011	100,000,000	2011/05/12	2011/09/12	15.10
11645	CC Rabobank B.A. – CC Bank European Warrants Oct 2011	100,000,000	2011/05/12	2011/10/31	15.10
11646	RB of Scotland N.V. – China Life European Wts Oct 2011	200,000,000	2011/05/12	2011/10/04	30.20
11647	RB of Scotland N.V. – China Life European Wts Oct 2011 A	200,000,000	2011/05/12	2011/10/31	30.20
11635	Standard Chartered Bank – China Life Euro Put Wts Aug 2011	100,000,000	2011/05/12	2011/08/29	15.60
11636	Standard Chartered Bank – China Life Euro Put Wts Feb 2012	100,000,000	2011/05/12	2012/02/28	25.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
11637	Standard Chartered Bank – CNBM European Warrants Nov 2011	100,000,000	2011/05/12	2011/11/30	25.00
11641	UBS AG – China Life European Warrants Oct 2011 A	300,000,000	2011/05/12	2011/10/24	45.00
11643	UBS AG – China Mobile European Warrants Oct 2011 A	200,000,000	2011/05/12	2011/10/10	30.00
11642	UBS AG – ICBC European Warrants Oct 2011 A	100,000,000	2011/05/12	2011/10/03	15.00
11669	HK Bank – Sinopec Corp European Warrants Sep 2011	100,000,000	2011/05/13	2011/09/28	17.00
11670	HK Bank – Henderson Land Dev European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	25.00
11673	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011	128,000,000	2011/05/13	2011/11/15	32.00
11682	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Apr 2012	108,000,000	2011/05/13	2012/04/02	16.20
11681	KBC Fin Products Int'l Ltd.- China Overseas Eu Put Wt Nov11	108,000,000	2011/05/13	2011/11/15	16.20
11680	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Dec 2011	80,000,000	2011/05/13	2011/12/01	20.00
11678	KBC Fin Products Int'l Ltd. – Minsheng Bank Euro Wt Nov 2011	58,000,000	2011/05/13	2011/11/15	27.26
11677	KBC Fin Products Int'l Ltd. – Minsheng Bank Eu Put Wts Nov11	58,000,000	2011/05/13	2011/11/15	22.04
11674	KBC Fin Products Int'l Ltd. – CNOOC European Wts Dec 2011	80,000,000	2011/05/13	2011/12/21	20.00
11679	KBC Fin Products Int'l Ltd. – China Unicom Eur Put Wt Dec11A	80,000,000	2011/05/13	2011/12/01	20.00
11676	KBC Fin Products Int'l Ltd. – R&F Ppt European Wts Feb 2012	68,000,000	2011/05/13	2012/02/01	10.20
11675	KBC Fin Products Int'l Ltd. – HKEx European Warrants Dec 11	198,000,000	2011/05/13	2011/12/15	49.50
11668	Macquarie Bank Ltd. – CNOOC European Put Warrants Dec 2011	300,000,000	2011/05/13	2011/12/29	75.00
11672	Macquarie Bank Ltd. – A50 China European Put Wts May 2012	200,000,000	2011/05/13	2012/05/22	35.20
11671	Macquarie Bank Ltd. – Nine Dragons European Wts Jun 2012	200,000,000	2011/05/13	2012/06/26	30.00
11667	CC Rabobank B.A. – China COSCO European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	70.00
11666	CC Rabobank B.A. – Zoomlion European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	25.00
11663	CC Rabobank B.A. – Li & Fung European Warrants Oct 2011 C	100,000,000	2011/05/13	2011/10/03	25.00
11664	CC Rabobank B.A. – Realgold Mining European Warrants Mar 12	100,000,000	2011/05/13	2012/03/26	25.00
11665	CC Rabobank B.A. – Winsway European Warrants Nov 2011	100,000,000	2011/05/13	2011/11/14	50.00
11662	Standard Chartered Bank – Agricultural Bk European Wt Oct11A	100,000,000	2011/05/13	2011/10/10	15.40
11660	Standard Chartered Bank – CTel European Warrants Dec 2011	100,000,000	2011/05/13	2011/12/01	25.00
11661	Standard Chartered Bank – CC Bank European Warrants Sep 11A	100,000,000	2011/05/13	2011/09/19	33.00
11686	HK Bank -BYD European Warrants Nov 2011 A	120,000,000	2011/05/16	2011/11/16	30.00
11685	HK Bank – Minsheng Bank European Warrants Sep 2011	100,000,000	2011/05/16	2011/09/30	20.00
11688	HK Bank – Chi Taiping European Warrants Dec 2011	100,000,000	2011/05/16	2011/12/01	25.00
11687	HK Bank – Sinofer European Warrants Dec 2011	80,000,000	2011/05/16	2011/12/01	20.00
11692	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Dec11	108,000,000	2011/05/16	2011/12/01	27.00
11683	Macquarie Bank Ltd. – China Railway European Wts Dec 2011	100,000,000	2011/05/16	2011/12/02	25.00
11690	RB of Scotland N.V. – HSCEI European Put Warrants Dec 2011	200,000,000	2011/05/16	2011/12/29	30.20
11689	RB of Scotland N.V. – HWL European Warrants Sep 2011	200,000,000	2011/05/16	2011/09/19	30.20
11684	Standard Chartered Bank – Sinopec Corp European Wts Nov 2011	100,000,000	2011/05/16	2011/11/21	29.00
11694	UBS AG – Cheung Kong European Warrants Dec 2012	200,000,000	2011/05/16	2012/12/10	30.00
11693	UBS AG – HSI European Warrants Sep 2011	300,000,000	2011/05/16	2011/09/29	45.00
11691	UBS AG – Jiangxi Copper European Warrants Sep 2011 A	200,000,000	2011/05/16	2011/09/29	30.00
11695	UBS AG – Yanzhou Coal European Wts Oct 2011	200,000,000	2011/05/16	2011/10/21	30.00
11713	Macquarie Bank Ltd. – AIA European Warrants Dec 2011	250,000,000	2011/05/17	2011/12/02	38.25
11712	Macquarie Bank Ltd. – AIA European Put Warrants Feb 2012	150,000,000	2011/05/17	2012/02/02	37.50
11706	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Oct 2011 A	250,000,000	2011/05/17	2011/10/10	57.50
11701	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 2011	200,000,000	2011/05/17	2011/11/21	50.00

Main Board Warrants

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		issue (units)	Listing date	Expiry	
11705	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2011 C	200,000,000	2011/05/17	2011/09/26	64.00
11710	SGA Societe Generale Acceptance N.V.-Chi COSCO Euro Wt Jan 13	200,000,000	2011/05/17	2013/01/02	30.00
11702	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 11A	200,000,000	2011/05/17	2011/11/21	66.00
11709	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Nov 2011	150,000,000	2011/05/17	2011/11/30	37.50
11699	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec 2011A	500,000,000	2011/05/17	2011/12/28	75.00
11700	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 11C	100,000,000	2011/05/17	2011/11/21	43.00
11703	SGA Societe Generale Acceptance N.V. – Zoomlion Eu Wt Nov11	200,000,000	2011/05/17	2011/11/21	50.00
11708	SGA Societe Generale Acceptance N.V. – Esprit Euro Wts Nov11	80,000,000	2011/05/17	2011/11/21	20.00
11707	SGA Societe Generale Acceptance N.V. – R&F Ppt Eu Wts Feb 12	200,000,000	2011/05/17	2012/02/01	30.00
11711	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Nov 11	100,000,000	2011/05/17	2011/11/30	49.00
11697	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2012	500,000,000	2011/05/17	2012/04/11	125.00
11698	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2011 C	500,000,000	2011/05/17	2011/12/19	125.00
11696	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011	150,000,000	2011/05/17	2011/11/07	90.00
11704	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2011 C	200,000,000	2011/05/17	2011/08/22	62.00
28670 #	CC Rabobank B.A. – Galaxy Ent European Warrants Aug 2011	100,000,000	2011/05/17	2011/08/15	17.70
11729	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2012	100,000,000	2011/05/18	2012/01/04	55.00
11728	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Feb 2012	100,000,000	2011/05/18	2012/02/02	28.00
11735	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Nov 2011	100,000,000	2011/05/18	2011/11/02	22.00
11736	BNP Paribas Arbit Issu B.V. – Esprit European Wts Jan 2012	100,000,000	2011/05/18	2012/01/03	26.00
11726	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Feb 2012	100,000,000	2011/05/18	2012/02/02	68.00
11727	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Mar 12	100,000,000	2011/05/18	2012/03/02	25.00
11747	Credit Suisse AG – Agricultural Bank European Wts Sep 2011	300,000,000	2011/05/18	2011/09/26	60.00
11748	Credit Suisse AG – Agricultural Bank European Wts Oct 2011	300,000,000	2011/05/18	2011/10/17	45.00
11749	Credit Suisse AG – AIA European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/26	45.00
11741	Credit Suisse AG – ChiUnicom European Warrants Dec 2011	200,000,000	2011/05/18	2011/12/20	40.00
11745	Credit Suisse AG – CC Bank European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/05	45.00
11744	Credit Suisse AG – CC Bank European Warrants Aug 2011 A	300,000,000	2011/05/18	2011/08/29	45.00
11751	Credit Suisse AG – China Life European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/30	45.00
11742	Credit Suisse AG – CNOOC European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/26	45.00
11743	Credit Suisse AG – CNOOC European Warrants Oct 2011 A	300,000,000	2011/05/18	2011/10/24	45.00
11746	Credit Suisse AG – Zoomlion European Warrants Nov 2011	200,000,000	2011/05/18	2011/11/21	50.00
11738	Credit Suisse AG – HSBC European Wts Sep 2011 A	300,000,000	2011/05/18	2011/09/19	45.00
11737	Credit Suisse AG – HSI European Warrants Sep 2011	300,000,000	2011/05/18	2011/09/29	45.00
11739	Credit Suisse AG – Hutchison European Warrants Oct 2011	300,000,000	2011/05/18	2011/10/03	75.00
11740	Credit Suisse AG – Jiangxi Copper European Wts Sep 2011	200,000,000	2011/05/18	2011/09/12	30.00
11750	Credit Suisse AG – Ping An European Warrants Nov 2011 C	300,000,000	2011/05/18	2011/11/21	75.00
11724	HK Bank – China Life European Warrants Sep 2011	200,000,000	2011/05/18	2011/09/21	30.00
11725	HK Bank – China Life European Warrants Nov 2011	200,000,000	2011/05/18	2011/11/28	30.00
11730	Macquarie Bank Ltd. – Zoomlion European Warrants Dec 2011	200,000,000	2011/05/18	2011/12/29	50.00
11714	Merrill Lynch Int'l & Co. C.V. – BankComm Euro Wts Feb 2012	80,000,000	2011/05/18	2012/02/20	33.20
11715	Merrill Lynch Int'l & Co. C.V. – MTRC European Wts Nov 2011	80,000,000	2011/05/18	2011/11/08	14.56
11718	Merrill Lynch Int'l & Co. C.V. – MTRC European Wts Oct 2012	80,000,000	2011/05/18	2012/10/22	20.40
11716	Merrill Lynch Int'l & Co. C.V. – Wharf European Wts Oct11	80,000,000	2011/05/18	2011/10/17	21.60
11717	Merrill Lynch Int'l & Co. C.V. – Wharf European Wts Dec11	80,000,000	2011/05/18	2011/12/19	20.40

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
11720	CC Rabobank B.A. – AIA European Put Warrants Nov 2011	200,000,000	2011/05/18	2011/11/21	40.00
11721	CC Rabobank B.A. – Datang European Warrants Nov 2011	100,000,000	2011/05/18	2011/11/21	25.00
11719	CC Rabobank B.A. – Esprit European Warrants Dec 2011	100,000,000	2011/05/18	2011/12/29	25.00
11722	CC Rabobank B.A. – Huaneng Power European Warrants Mar 2012	100,000,000	2011/05/18	2012/03/01	40.00
11723	CC Rabobank B.A. – Tencent Holdings European Put Wts Nov 11A	200,000,000	2011/05/18	2011/11/07	30.00
11731	RB of Scotland N.V. – AIA European Warrants Sep 2011	200,000,000	2011/05/18	2011/09/30	30.20
11732	RB of Scotland N.V. – AIA European Warrants Nov 2011	200,000,000	2011/05/18	2011/11/28	30.20
11734	RB of Scotland N.V. – CNOOC European Warrants Oct 2011 A	200,000,000	2011/05/18	2011/10/21	30.20
11733	RB of Scotland N.V. – Sinopec Corp European Wts Nov 2011	100,000,000	2011/05/18	2011/11/18	25.10
11789	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Feb 2012	40,000,000	2011/05/19	2012/02/02	18.00
11790	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Feb 2012	100,000,000	2011/05/19	2012/02/02	35.00
11788	Credit Suisse AG – BOCL European Warrants Oct 2011	200,000,000	2011/05/19	2011/10/10	30.00
11786	Credit Suisse AG – GCL-Poly Energy European Warrants Oct 11	200,000,000	2011/05/19	2011/10/04	60.00
11787	Credit Suisse AG – GCL-Poly Energy European Warrants Nov 11	200,000,000	2011/05/19	2011/11/21	100.00
11785	Credit Suisse AG – HKEx European Warrants Sep 2011	300,000,000	2011/05/19	2011/09/26	45.00
11784	Credit Suisse AG – HSBC European Wts Sep 2011 B	300,000,000	2011/05/19	2011/09/05	45.00
11782	Credit Suisse AG – HSI European Warrants Aug 2011 A	300,000,000	2011/05/19	2011/08/30	45.00
11783	Credit Suisse AG – HSI European Warrants Aug 2011 B	300,000,000	2011/05/19	2011/08/30	45.00
11776	HK Bank – Angang Steel European Warrants May 2012	100,000,000	2011/05/19	2012/05/21	15.00
11777	HK Bank – CNBM European Warrants Dec 2011 A	100,000,000	2011/05/19	2011/12/30	25.00
11778	HK Bank – Esprit European Warrants Jan 2012	100,000,000	2011/05/19	2012/01/20	15.00
11779	HK Bank – Esprit European Put Warrants Dec 2011	80,000,000	2011/05/19	2011/12/30	20.00
11780	HK Bank – HWL European Warrants Sep 2011	160,000,000	2011/05/19	2011/09/23	32.00
11781	HK Bank – Zijin Mining European Warrants Nov 2011	80,000,000	2011/05/19	2011/11/21	20.00
11791	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Nov 2011	80,000,000	2011/05/19	2011/11/08	12.00
11793	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Dec 2011	80,000,000	2011/05/19	2011/12/20	12.00
11792	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Dec 11	108,000,000	2011/05/19	2011/12/01	32.40
11754	Macquarie Bank Ltd. – China Tel European Warrants Dec 2011	200,000,000	2011/05/19	2011/12/02	50.00
11753	Macquarie Bank Ltd. – China Life European Wts Jan 2012	200,000,000	2011/05/19	2012/01/04	51.00
11752	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Nov 2011 A	150,000,000	2011/05/19	2011/11/30	57.90
11768	Nomura Int'l plc – Anhui Conch European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/30	26.60
11772	Nomura Int'l plc – AviChina European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/21	37.00
11771	Nomura Int'l plc – Chinares Cement European Warrants Feb 13	100,000,000	2011/05/19	2013/02/04	25.00
11774	Nomura Int'l plc – Ch State Con European Warrants May 2012	100,000,000	2011/05/19	2012/05/21	25.00
11770	Nomura Int'l plc – Zoomlion European Warrants Nov 2011 A	100,000,000	2011/05/19	2011/11/30	25.20
11773	Nomura Int'l plc – A50 China European Warrants Feb 2012	100,000,000	2011/05/19	2012/02/01	17.00
11775	Nomura Int'l plc – Lonking European Warrants Sep 2011	100,000,000	2011/05/19	2011/09/26	30.00
11769	Nomura Int'l plc – Sinopharm European Warrants Oct 2011	100,000,000	2011/05/19	2011/10/31	15.20
11766	Nomura Int'l plc – Wharf European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/30	25.00
11767	Nomura Int'l plc – Xinyi Glass European Warrants Jun 2012	100,000,000	2011/05/19	2012/06/25	25.10
11759	CC Rabobank B.A. – CHALCO European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/28	60.00
11761	CC Rabobank B.A. – China Oilfield European Warrants Oct 2011	100,000,000	2011/05/19	2011/10/31	15.00
11756	CC Rabobank B.A. – China Coal European Warrants Feb 2012	200,000,000	2011/05/19	2012/02/23	30.00
11762	CC Rabobank B.A. – Chaoda Modern European Warrants Mar 2012	100,000,000	2011/05/19	2012/03/12	80.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
11758	CC Rabobank B.A. – China Liansu European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/28	110.00
11763	CC Rabobank B.A. – CQRC Bank European Warrants Nov 2011	100,000,000	2011/05/19	2011/11/28	60.00
11760	CC Rabobank B.A. – Chinares Cement European Warrants Nov 11	100,000,000	2011/05/19	2011/11/28	80.00
11755	CC Rabobank B.A. – Chi Res Power European Warrants May 2012	100,000,000	2011/05/19	2012/05/07	25.00
11757	CC Rabobank B.A. – GCL-Poly Energy European Wts Nov 2011	100,000,000	2011/05/19	2011/11/28	50.00
11765	Standard Chartered Bank – Agricultural Bk European Wts Nov11	100,000,000	2011/05/19	2011/11/25	17.40
11764	Standard Chartered Bank – CC Bank European Warrants Jan 2012	100,000,000	2011/05/19	2012/01/03	25.00
11552 #	UBS AG – AIA European Warrants Sep 2011 A	200,000,000	2011/05/19	2011/09/30	25.40
28103 #	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts May 2012	137,000,000	2011/05/19	2012/05/21	10.00
11823	Credit Suisse AG – AIA European Put Warrants Oct 2011	300,000,000	2011/05/20	2011/10/31	45.00
11821	Credit Suisse AG – AIA European Warrants Sep 2011 A	300,000,000	2011/05/20	2011/09/26	45.00
11820	Credit Suisse AG – CC Bank European Warrants Sep 2011 A	300,000,000	2011/05/20	2011/09/19	45.00
11819	Credit Suisse AG – HSI European Warrants Sep 2011 A	300,000,000	2011/05/20	2011/09/29	45.00
11822	Credit Suisse AG – ICBC European Warrants Sep 2011	300,000,000	2011/05/20	2011/09/30	45.00
11804	HK Bank – HSI European Put Warrants Nov 2011	200,000,000	2011/05/20	2011/11/29	50.00
11799	HK Bank – HSI European Warrants Nov 2011 A	200,000,000	2011/05/20	2011/11/29	50.00
11800	HK Bank – HSI European Warrants Nov 2011 B	200,000,000	2011/05/20	2011/11/29	50.00
11801	HK Bank – HSI European Warrants Nov 2011 C	200,000,000	2011/05/20	2011/11/29	50.00
11802	HK Bank – HSI European Warrants Dec 2011 F	250,000,000	2011/05/20	2011/12/29	62.50
11805	HK Bank – HSI European Put Warrants Nov 2011 D	200,000,000	2011/05/20	2011/11/29	50.00
11803	HK Bank – Zhaojin Mining European Warrants Mar 2012	100,000,000	2011/05/20	2012/03/23	25.00
11824	KBC Fin Products Int'l Ltd. – ICBC European Wts Nov 2011	108,000,000	2011/05/20	2011/11/21	33.48
11794	Macquarie Bank Ltd. – BYD European Warrants Dec 2011	200,000,000	2011/05/20	2011/12/02	50.00
11796	Macquarie Bank Ltd. – CNOOC European Warrants Apr 2012	200,000,000	2011/05/20	2012/04/27	50.00
11797	Macquarie Bank Ltd. – Henganintl European Warrants Nov 2011	200,000,000	2011/05/20	2011/11/21	51.60
11795	Macquarie Bank Ltd. – Yurun Food European Warrants Nov 2011	200,000,000	2011/05/20	2011/11/29	50.00
11798	Macquarie Bank Ltd. – Yanzhou Coal European Wts Dec 2011	200,000,000	2011/05/20	2011/12/02	52.00
11808	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Apr 2012	80,000,000	2011/05/20	2012/04/16	20.00
11809	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2012	80,000,000	2011/05/20	2012/09/11	52.80
11806	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011 C	80,000,000	2011/05/20	2011/12/19	25.20
11807	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2012 A	80,000,000	2011/05/20	2012/01/09	21.20
11810	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11D	80,000,000	2011/05/20	2011/12/12	25.60
10115	RB of Scotland N.V. – Gold European Put Warrants Dec 2011	100,000,000	2011/05/20	2011/12/28	25.10
11827	RB of Scotland N.V. – HSBC European Warrants Oct 2011	100,000,000	2011/05/20	2011/10/04	15.10
11818	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Dec 2011	30,000,000	2011/05/20	2011/12/21	15.30
11817	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Nov 11	40,000,000	2011/05/20	2011/11/21	16.00
11811	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2011D	300,000,000	2011/05/20	2011/09/26	54.00
11816	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Nov 11	150,000,000	2011/05/20	2011/11/21	37.50
11815	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2011 C	150,000,000	2011/05/20	2011/09/26	79.50
11814	SGA Societe Generale Acceptance N.V. -Sinopharm Eu Wts Nov11	80,000,000	2011/05/20	2011/11/21	20.00
11813	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Nov11A	120,000,000	2011/05/20	2011/11/21	36.00
11812	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Nov 11	30,000,000	2011/05/20	2011/11/21	15.30
11825	UBS AG – HSI European Warrants Sep 2011 B	300,000,000	2011/05/20	2011/09/29	45.00
11826	UBS AG – HSI European Warrants Sep 2011 C	300,000,000	2011/05/20	2011/09/29	45.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
29940 #	Credit Suisse AG – HSI European Warrants Jul 2011 A	300,000,000	2011/05/20	2011/07/28	15.60
11841	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Feb 2013	100,000,000	2011/05/23	2013/02/04	25.00
11842	BNP Paribas Arbit Issu B.V. – PICC European Wts Feb 2012	100,000,000	2011/05/23	2012/02/02	15.00
11851	Citigroup Global Mkt H Inc. – BOC HK European Wts Oct 2011	80,000,000	2011/05/23	2011/10/24	12.00
11854	Citigroup Global Mkt H Inc. – CNBM European Warrants Dec 11	80,000,000	2011/05/23	2011/12/05	20.00
11852	Citigroup Global Mkt H Inc. – Zoomlion European Wts Nov11	80,000,000	2011/05/23	2011/11/30	20.00
11853	Citigroup Global Mkt H Inc. – Esprit European Wts Dec 2011	80,000,000	2011/05/23	2011/12/05	20.00
11832	Citigroup Global Mkt H Inc. – HSCEI European Wts Oct 2011	80,000,000	2011/05/23	2011/10/28	12.00
11831	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Dec 2011	80,000,000	2011/05/23	2011/12/29	20.00
11833	Citigroup Global Mkt H Inc. – HSI European Put Wts Sep 2011	80,000,000	2011/05/23	2011/09/29	12.00
11834	Citigroup Global Mkt H Inc. – HSI European Warrants Sep 11A	80,000,000	2011/05/23	2011/09/29	12.80
11835	Citigroup Global Mkt H Inc. – HSI European Warrants Sep 11B	80,000,000	2011/05/23	2011/09/29	12.00
11850	Citigroup Global Mkt H Inc. – Lonking European Wts Nov11	50,000,000	2011/05/23	2011/11/30	12.50
11848	Bank of East Asia – CHALCO European Warrants Jun 2013	235,000,000	2011/05/23	2013/06/24	58.75
11847	Bank of East Asia – China Coal European Warrants Jun 2013	130,000,000	2011/05/23	2013/06/24	32.50
11846	Bank of East Asia – Cheung Kong European Warrants Jan 2012	41,000,000	2011/05/23	2012/01/03	10.25
11849	Bank of East Asia – Zijin Mining European Warrants Jan 2012	38,000,000	2011/05/23	2012/01/03	10.26
11830	HK Bank – PICC European Warrants Dec 2011	100,000,000	2011/05/23	2011/12/14	15.00
11845	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Oct 2011	200,000,000	2011/05/23	2011/10/28	30.00
11843	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Dec 2011	80,000,000	2011/05/23	2011/12/01	44.00
11844	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Dec 11A	80,000,000	2011/05/23	2011/12/01	20.00
11829	Macquarie Bank Ltd. – CM Bank European Warrants Dec 2011 A	200,000,000	2011/05/23	2011/12/02	31.80
11828	Standard Chartered Bank – Henganintl European Warrants Sep11	100,000,000	2011/05/23	2011/09/12	23.30
11838	SGA Societe Generale Acceptance N.V. -Henganintl Eu Wt Nov11	40,000,000	2011/05/23	2011/11/23	19.60
11837	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Dec 2011	200,000,000	2011/05/23	2011/12/05	50.00
11836	SGA Societe Generale Acceptance N.V. – Lonking Euro Wt Nov11	30,000,000	2011/05/23	2011/11/23	11.70
11840	UBS AG – CNOOC European Warrants Oct 2011 D	200,000,000	2011/05/23	2011/10/31	30.00
11839	UBS AG – HKEx European Warrants Oct 2011 C	300,000,000	2011/05/23	2011/10/24	45.00
26984 #	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Oct 2011 A	230,000,000	2011/05/23	2011/10/11	10.12
11879	Credit Suisse AG – CNOOC European Warrants Aug 2011	300,000,000	2011/05/24	2011/08/29	45.00
11880	Credit Suisse AG – Yanzhou Coal European Warrants Aug 2011	200,000,000	2011/05/24	2011/08/29	30.00
11877	Citigroup Global Mkt H Inc. – BOCL European Wts Nov 2011	80,000,000	2011/05/24	2011/11/24	20.00
11865	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Wts Nov 11	80,000,000	2011/05/24	2011/11/30	20.00
11867	Citigroup Global Mkt H Inc. – Evergrande European Wts Nov 11	50,000,000	2011/05/24	2011/11/30	15.00
11866	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Nov 11	80,000,000	2011/05/24	2011/11/24	24.00
11864	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Dec 2012	80,000,000	2011/05/24	2012/12/10	12.00
11873	J P Morgan SP BV – AIA European Warrants Dec 2011	200,000,000	2011/05/24	2011/12/29	50.00
11881	J P Morgan SP BV – China Mob European Wts Nov 2011	150,000,000	2011/05/24	2011/11/24	37.50
11874	J P Morgan SP BV – China Life European Warrants Sep 2011 A	200,000,000	2011/05/24	2011/09/23	30.00
11884	J P Morgan SP BV – CM Bank European Warrants Nov 2011	200,000,000	2011/05/24	2011/11/28	30.60
11882	J P Morgan SP BV – Minsheng Bank European Warrants Nov 2011	100,000,000	2011/05/24	2011/11/25	39.10
11883	J P Morgan SP BV – HSBC European Warrants Oct 2011	200,000,000	2011/05/24	2011/10/04	55.00
11876	J P Morgan SP BV – HSBC European Warrants Sep 2011 E	200,000,000	2011/05/24	2011/09/05	33.80
11869	J P Morgan SP BV – Hutchison European Warrants Nov 2011	100,000,000	2011/05/24	2011/11/24	27.50

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		issue (units)	Listing date	Expiry	
11870	J P Morgan SP BV – Hutchison European Warrants Nov 2011 A	100,000,000	2011/05/24	2011/11/24	43.20
11871	J P Morgan SP BV – ICBC European Warrants Nov 2011	150,000,000	2011/05/24	2011/11/24	37.50
11875	J P Morgan SP BV – Shimao Property European Wts Jun 2012	150,000,000	2011/05/24	2012/06/29	22.50
11872	J P Morgan SP BV – Stanchart European Warrants Dec 2011	200,000,000	2011/05/24	2011/12/29	50.00
11856	Macquarie Bank Ltd. – Esprit European Warrants Jan 2012	200,000,000	2011/05/24	2012/01/27	32.80
11855	Macquarie Bank Ltd. – ICBC European Warrants Dec 2011	200,000,000	2011/05/24	2011/12/02	50.00
11868	Macquarie Bank Ltd. – Tingyi European Warrants Jan 2012	250,000,000	2011/05/24	2012/01/03	62.50
11878	RB of Scotland N.V. – ICBC European Warrants Oct 2011	200,000,000	2011/05/24	2011/10/10	30.20
11861	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011B	300,000,000	2011/05/24	2011/11/28	84.00
11860	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011	300,000,000	2011/05/24	2011/12/05	75.00
11858	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 G	300,000,000	2011/05/24	2011/09/21	96.00
11857	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2011 B	300,000,000	2011/05/24	2011/11/28	156.00
11859	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2011	300,000,000	2011/05/24	2011/11/29	75.00
11862	UBS AG – AIA European Put Warrants Oct 2011	100,000,000	2011/05/24	2011/10/28	15.00
11863	UBS AG – CC Bank European Warrants Sep 2011 C	200,000,000	2011/05/24	2011/09/23	50.00
23640 #	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 2011	250,000,000	2011/05/24	2011/11/21	25.75
11889	BOCI Asia Ltd. – Evergrande European Warrants Nov 2011	100,000,000	2011/05/25	2011/11/28	40.00
11888	BOCI Asia Ltd. -Tencent Holdings European Put Warrants Nov11	200,000,000	2011/05/25	2011/11/29	40.00
11903	BNP Paribas Arbit Issu B.V. – Galaxy Ent European Wts Mar 12	100,000,000	2011/05/25	2012/03/02	25.00
11902	BNP Paribas Arbit Issu B.V. – Wynn Macau European Wts Apr12	100,000,000	2011/05/25	2012/04/03	36.00
11886	HK Bank – Datang Power European Warrants Nov 2011	80,000,000	2011/05/25	2011/11/25	20.00
11887	HK Bank – Evergrande European Warrants Nov 2011	80,000,000	2011/05/25	2011/11/25	20.00
11898	HK Bank – HSI European Put Warrants Jul 2012	250,000,000	2011/05/25	2012/07/30	62.50
11897	HK Bank – SJM Holdings European Warrants Nov 2011	120,000,000	2011/05/25	2011/11/25	30.00
11895	J P Morgan SP BV – AIA European Warrants Nov 2011 A	200,000,000	2011/05/25	2011/11/28	30.00
11909	J P Morgan SP BV – Angang Steel European Warrants May 2012 A	100,000,000	2011/05/25	2012/05/21	15.00
11892	J P Morgan SP BV – CITIC Bank European Warrants Nov 2011 A	100,000,000	2011/05/25	2011/11/25	25.00
11896	J P Morgan SP BV – Dongfeng Motor European Warrants Oct 2011	100,000,000	2011/05/25	2011/10/31	15.00
11893	J P Morgan SP BV – Shougang Res European Warrants Nov 2011	100,000,000	2011/05/25	2011/11/25	30.00
11891	J P Morgan SP BV – Lee & Man Paper European Warrants Nov 11	100,000,000	2011/05/25	2011/11/25	40.00
11894	J P Morgan SP BV – Tencent European Put Warrants Nov 2011	200,000,000	2011/05/25	2011/11/25	50.00
11890	J P Morgan SP BV – Zijin Mining European Warrants Nov 2011	100,000,000	2011/05/25	2011/11/25	40.00
11900	Macquarie Bank Ltd. – BankComm European Warrants Dec 2011	200,000,000	2011/05/25	2011/12/02	50.80
11899	Macquarie Bank Ltd. – Tencent European Put Warrants Dec 11A	300,000,000	2011/05/25	2011/12/02	56.70
11908	RB of Scotland N.V. – HKEx European Warrants Dec 2011	200,000,000	2011/05/25	2011/12/05	30.20
11904	Standard Chartered Bank – CC Bank European Warrants Dec 2011	100,000,000	2011/05/25	2011/12/02	25.00
11905	Standard Chartered Bank – GCL-Poly Energy European Wts Oct11	100,000,000	2011/05/25	2011/10/27	46.00
11906	Standard Chartered Bank – GCL-Poly Energy European Wts Dec11	100,000,000	2011/05/25	2011/12/02	88.00
11907	Standard Chartered Bank – GCL-Poly Energy European Wts Aug13	200,000,000	2011/05/25	2013/08/30	50.00
11885	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2012	300,000,000	2011/05/25	2012/01/04	75.00
11901	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2011 A	300,000,000	2011/05/25	2011/12/05	81.00
11910	Barclays Bank plc – HSI European Warrants Nov 2011	150,000,000	2011/05/26	2011/11/29	37.50
11911	Barclays Bank plc – HSI European Put Warrants Nov 2011	150,000,000	2011/05/26	2011/11/29	37.50
11924	BNP Paribas Arbit Issu B.V. – Sands China European Wts Feb12	100,000,000	2011/05/26	2012/02/02	25.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
11928	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Nov 2011	50,000,000	2011/05/26	2011/11/30	12.50
11925	Citigroup Global Mkt H Inc. – CC Bank European Wts Nov 2011	80,000,000	2011/05/26	2011/11/30	24.00
11927	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Nov11A	80,000,000	2011/05/26	2011/11/30	32.00
11929	Citigroup Global Mkt H Inc. – Kerry Ppt European Wts Nov 11	80,000,000	2011/05/26	2011/11/30	20.00
11926	Citigroup Global Mkt H Inc. – SJM Holdings European Wt Nov11	80,000,000	2011/05/26	2011/11/30	20.00
11912	HK Bank – China Shenhua European Warrants Nov 2011	150,000,000	2011/05/26	2011/11/28	37.50
11913	HK Bank – Galaxy Ent European Warrants Jan 2012	100,000,000	2011/05/26	2012/01/12	25.00
11933	KBC Fin Products Int'l Ltd. -BEA European Put Warrants Jan12	58,000,000	2011/05/26	2012/01/18	14.50
11932	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Nov 2011	80,000,000	2011/05/26	2011/11/15	31.20
11931	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Nov 11	80,000,000	2011/05/26	2011/11/28	38.40
11935	KBC Fin Products Int'l Ltd. – HS Bank Euro Put Wts Nov 2011	68,000,000	2011/05/26	2011/11/28	20.40
11937	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 C	128,000,000	2011/05/26	2011/10/12	19.20
11939	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 D	128,000,000	2011/05/26	2011/10/10	19.20
11938	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2011 A	128,000,000	2011/05/26	2011/11/11	28.16
11936	KBC Fin Products Int'l Ltd. – HS Bank European Wts Dec 2011A	68,000,000	2011/05/26	2011/12/01	28.56
11934	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Oct 2011	188,000,000	2011/05/26	2011/10/03	28.20
11914	Macquarie Bank Ltd. – Angang Steel European Wts Dec 2011	100,000,000	2011/05/26	2011/12/02	34.80
11915	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Nov 2011	80,000,000	2011/05/26	2011/11/28	22.40
11921	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Nov 2011	80,000,000	2011/05/26	2011/11/29	44.80
11918	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Oct 2011	80,000,000	2011/05/26	2011/10/28	12.88
11919	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 11C	80,000,000	2011/05/26	2011/12/29	12.72
11920	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 11D	80,000,000	2011/05/26	2011/12/29	12.56
11923	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Dec 2011	80,000,000	2011/05/26	2011/12/29	14.96
11916	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Nov 2011 A	80,000,000	2011/05/26	2011/11/28	44.80
11917	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Dec 2012	80,000,000	2011/05/26	2012/12/19	12.40
11922	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Nov 11	80,000,000	2011/05/26	2011/11/29	48.80
11930	UBS AG – Tencent Holdings European Put Warrants Nov 2011	100,000,000	2011/05/26	2011/11/22	15.00
28888 #	UBS AG – Hutchison European Warrants Mar 2012 B	500,000,000	2011/05/26	2012/03/21	39.50
11956	Barclays Bank plc – China Life European Warrants Nov 2011	150,000,000	2011/05/27	2011/11/28	37.50
11955	Barclays Bank plc – CNOOC European Put Warrants Dec 2011	100,000,000	2011/05/27	2011/12/28	25.00
11952	Barclays Bank plc – HSBC European Warrants Dec 2011 A	150,000,000	2011/05/27	2011/12/19	37.50
11951	Barclays Bank plc – HSI European Put Warrants Sep 2011 A	150,000,000	2011/05/27	2011/09/29	23.10
11953	Barclays Bank plc – Hutchison European Warrants Dec 2013	100,000,000	2011/05/27	2013/12/23	25.00
11954	Barclays Bank plc -Tencent Holdings European Warrants Nov 11	150,000,000	2011/05/27	2011/11/28	37.50
11959	Credit Suisse AG – Cheung Kong European Warrants Sep 2011	200,000,000	2011/05/27	2011/09/26	40.00
11962	Credit Suisse AG – ChiUnicom European Warrants Dec 2011 A	200,000,000	2011/05/27	2011/12/19	50.00
11958	Credit Suisse AG – HSI European Put Warrants Sep 2011	300,000,000	2011/05/27	2011/09/29	45.00
11957	Credit Suisse AG – HSI European Put Warrants Aug 2011 C	300,000,000	2011/05/27	2011/08/30	45.00
11949	Macquarie Bank Ltd. – Agricultural Bk European Put Wt Dec 11	250,000,000	2011/05/27	2011/12/02	62.50
11960	Macquarie Bank Ltd. – Belle Int'l European Warrants Feb 2012	200,000,000	2011/05/27	2012/02/10	50.00
11950	Macquarie Bank Ltd. – China Life European Put Wts Dec 2011	250,000,000	2011/05/27	2011/12/02	62.50
11942	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2011	200,000,000	2011/05/27	2011/11/29	33.00
11940	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 A	200,000,000	2011/05/27	2011/11/29	31.60
11941	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 B	200,000,000	2011/05/27	2011/11/29	30.60

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
11943	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2011 C	200,000,000	2011/05/27	2011/11/29	33.60
11945	CC Rabobank B.A. – Ch Rongsheng European Warrants Dec 2011	100,000,000	2011/05/27	2011/12/05	60.00
11948	CC Rabobank B.A. – RUSAL European Warrants Apr 2012	100,000,000	2011/05/27	2012/04/10	25.00
11946	CC Rabobank B.A. – Sinopharm European Warrants Oct 2011	100,000,000	2011/05/27	2011/10/26	15.00
11947	CC Rabobank B.A. – Zhaojin Mining European Warrants Dec 2011	100,000,000	2011/05/27	2011/12/05	25.00
11944	CC Rabobank B.A. – Zijin Mining European Warrants Dec 2011	100,000,000	2011/05/27	2011/12/05	30.00
11961	UBS AG – China Unicom European Warrants Apr 2012	100,000,000	2011/05/27	2012/04/30	25.00
11113 #	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Oct 2011	100,000,000	2011/05/27	2011/10/12	10.50
29426 #	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Nov 2011	120,000,000	2011/05/27	2011/11/21	20.40
29433 #	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Sep 2011B	100,000,000	2011/05/27	2011/09/19	20.10
11963	HK Bank – CNOOC European Put Warrants Mar 2012	120,000,000	2011/05/30	2012/03/28	30.00
11964	HK Bank – ICBC European Put Warrants Dec 2011	100,000,000	2011/05/30	2011/12/16	25.00
11965	HK Bank – Lonking European Warrants Nov 2011	80,000,000	2011/05/30	2011/11/30	20.00
11971	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jan 2012	80,000,000	2011/05/30	2012/01/10	35.20
11972	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Feb 2012	80,000,000	2011/05/30	2012/02/15	20.00
11968	KBC Fin Products Int'l Ltd. – China Life Euro Wts Dec 2011	128,000,000	2011/05/30	2011/12/15	49.92
11969	KBC Fin Products Int'l Ltd. – China Life Euro Wts Dec 2011 A	158,000,000	2011/05/30	2011/12/01	45.82
11970	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2012	158,000,000	2011/05/30	2012/01/03	42.66
11975	KBC Fin Products Int'l Ltd. – HKEx European Warrants Dec 11A	198,000,000	2011/05/30	2011/12/08	49.50
11973	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Dec 11	108,000,000	2011/05/30	2011/12/01	27.00
11974	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Dec11A	108,000,000	2011/05/30	2011/12/05	16.20
11967	Macquarie Bank Ltd. – Chi Res Power European Warrants Jan 12	200,000,000	2011/05/30	2012/01/04	50.00
11976	RB of Scotland N.V. – HSI European Warrants Dec 2011	250,000,000	2011/05/30	2011/12/29	37.75
11978	RB of Scotland N.V. – HSI European Put Warrants Oct 2011	250,000,000	2011/05/30	2011/10/28	37.75
11979	RB of Scotland N.V. – HSI European Put Warrants Dec 2011	250,000,000	2011/05/30	2011/12/29	62.75
11977	RB of Scotland N.V. – HSI European Warrants Dec 2011 A	250,000,000	2011/05/30	2011/12/29	37.75
11966	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 11B	200,000,000	2011/05/30	2011/11/30	74.00
11981	UBS AG – A50 China European Warrants Jan 2012 A	200,000,000	2011/05/30	2012/01/09	30.00
11980	UBS AG – HSI European Put Warrants Nov 2011	300,000,000	2011/05/30	2011/11/29	75.00
11990	Citigroup Global Mkt H Inc. – C Transmission Euro Wt Feb 12	80,000,000	2011/05/31	2012/02/24	12.00
11991	Citigroup Global Mkt H Inc. – Dongfeng European Wts Jan 2012	80,000,000	2011/05/31	2012/01/03	12.00
11993	Citigroup Global Mkt H Inc. – HSBC European Wts Dec 2011	80,000,000	2011/05/31	2011/12/01	24.00
11994	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2011 C	80,000,000	2011/05/31	2011/09/27	12.00
11992	Citigroup Global Mkt H Inc. – ICBC European Wts Dec 2011	80,000,000	2011/05/31	2011/12/01	24.00
11987	Macquarie Bank Ltd. – China Mobile European Wts Dec 2011	300,000,000	2011/05/31	2011/12/02	75.00
11984	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Sep 2011	80,000,000	2011/05/31	2011/09/12	19.36
11983	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2011	80,000,000	2011/05/31	2011/12/05	14.80
11986	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Dec 2011	80,000,000	2011/05/31	2011/12/19	20.00
11982	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Oct 11A	80,000,000	2011/05/31	2011/10/24	12.16
11985	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Feb 2012	80,000,000	2011/05/31	2012/02/20	20.00
11989	Standard Chartered Bank – Anhui Conch European Wts Apr 2012	100,000,000	2011/05/31	2012/04/27	48.00
11988	Standard Chartered Bank – CTel European Warrants Apr 2012	100,000,000	2011/05/31	2012/04/27	60.00
12314	Barclays Bank plc – CHALCO European Warrants Jun 2013	100,000,000	2011/06/01	2013/06/24	18.10
12317	Barclays Bank plc – China Mobile European Put Wts Dec 2011	150,000,000	2011/06/01	2011/12/28	37.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12310	Barclays Bank plc – China Overseas European Wts Jun 2012	100,000,000	2011/06/01	2012/06/18	25.00
12311	Barclays Bank plc – ChiUnicom European Warrants Dec 2011	150,000,000	2011/06/01	2011/12/29	33.75
12316	Barclays Bank plc – CC Bank European Put Warrants Dec 2011	100,000,000	2011/06/01	2011/12/28	25.00
12315	Barclays Bank plc – CPIC European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/28	25.00
12318	Barclays Bank plc – ICBC European Put Warrants Oct 2011	130,000,000	2011/06/01	2011/10/04	32.11
12313	Barclays Bank plc – SJM Holdings European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/28	25.00
11996	BOCI Asia Ltd. – China Railway European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/05	25.00
11997	BOCI Asia Ltd. – Lonking European Warrants Dec 2011	100,000,000	2011/06/01	2011/12/05	25.00
11998	BOCI Asia Ltd. – Mongolia Energy European Warrants Jan 2012	100,000,000	2011/06/01	2012/01/30	28.00
11999	BOCI Asia Ltd. – Renhe Comm European Warrants Mar 2012	100,000,000	2011/06/01	2012/03/15	15.00
12000	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wts Jul 2012	100,000,000	2011/06/01	2012/07/03	25.00
12325	BNP Paribas Arbit Issu B.V. – BOCL European Wts Feb 2012	100,000,000	2011/06/01	2012/02/02	33.00
12300	BNP Paribas Arbit Issu B.V. – Ch Oilfield European Wts Apr12	100,000,000	2011/06/01	2012/04/03	25.00
12326	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2012	200,000,000	2011/06/01	2012/01/04	50.00
12328	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jan 2012 A	200,000,000	2011/06/01	2012/01/04	88.00
12329	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2011 A	200,000,000	2011/06/01	2011/12/02	108.00
12330	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2011	200,000,000	2011/06/01	2011/12/29	52.00
12324	BNP Paribas Arbit Issu B.V. – HSI European Wts Nov 2011 A	200,000,000	2011/06/01	2011/11/29	30.00
12327	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jan 2012	100,000,000	2011/06/01	2012/01/03	46.00
12334	Credit Suisse AG – Cheung Kong European Warrants Dec 2011	300,000,000	2011/06/01	2011/12/05	75.00
12335	Credit Suisse AG – GCL-Poly Energy European Warrants Aug 13	200,000,000	2011/06/01	2013/08/26	30.00
12319	Citigroup Global Mkt H Inc. – Bank of EA European Wts Feb 12	80,000,000	2011/06/01	2012/02/06	20.00
12321	Citigroup Global Mkt H Inc. – COSCO Pacific Euro Wts Dec 11	80,000,000	2011/06/01	2011/12/12	12.00
12323	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Aug 13	180,000,000	2011/06/01	2013/08/23	27.00
12322	Citigroup Global Mkt H Inc. – Goldwind European Wts Nov11	80,000,000	2011/06/01	2011/11/25	12.00
12320	Citigroup Global Mkt H Inc. – ZTE European Warrants Dec 2011	80,000,000	2011/06/01	2011/12/01	20.00
12305	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2011	200,000,000	2011/06/01	2011/10/24	35.80
12304	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2011 A	200,000,000	2011/06/01	2011/09/19	43.00
12303	J P Morgan SP BV – China Life European Warrants Dec 2011	300,000,000	2011/06/01	2011/12/01	75.00
12302	J P Morgan SP BV – HSBC European Warrants Sep 2011 F	200,000,000	2011/06/01	2011/09/19	65.40
12301	J P Morgan SP BV – HSBC European Warrants Oct 2011 A	200,000,000	2011/06/01	2011/10/25	45.60
12312	RB of Scotland N.V. – HSCEI European Warrants Jun 2012	200,000,000	2011/06/01	2012/06/28	50.20
12306	Standard Chartered Bank – BYD European Warrants Mar 2012	100,000,000	2011/06/01	2012/03/01	29.00
12307	Standard Chartered Bank – BYD European Warrants Aug 2012	100,000,000	2011/06/01	2012/08/08	28.00
12308	Standard Chartered Bank – Ping An European Warrants Jun 2013	100,000,000	2011/06/01	2013/06/24	21.30
12309	Standard Chartered Bank – Ping An European Warrants Dec 2013	100,000,000	2011/06/01	2013/12/02	25.00
11995	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2011 C	200,000,000	2011/06/01	2011/10/31	34.00
12331	UBS AG – CC Bank European Warrants Sep 2011 D	200,000,000	2011/06/01	2011/09/29	30.00
12332	UBS AG – China Life European Warrants Nov 2011	300,000,000	2011/06/01	2011/11/21	45.00
12333	UBS AG – HKEx European Put Warrants Dec 2011	300,000,000	2011/06/01	2011/12/05	45.00
22695 #	BOCI Asia Ltd. – HSBC European Warrants Dec 2011	300,000,000	2011/06/01	2011/12/19	18.60
29944 #	Credit Suisse AG – HSI European Put Warrants Jul 2011 B	300,000,000	2011/06/01	2011/07/28	22.80
12344	HK Bank – Esprit European Warrants Dec 2011	120,000,000	2011/06/02	2011/12/29	30.00
12345	HK Bank – ICBC European Warrants Oct 2011 A	120,000,000	2011/06/02	2011/10/24	18.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12365	Macquarie Bank Ltd. – Anhui Conch European Warrants Dec 2011	200,000,000	2011/06/02	2011/12/05	50.00
12356	Macquarie Bank Ltd. – C Transmission European Warrants Mar12	100,000,000	2011/06/02	2012/03/02	115.50
12355	Macquarie Bank Ltd. – Shougang Res European Warrants Dec 11	200,000,000	2011/06/02	2011/12/02	72.40
12363	Macquarie Bank Ltd. – KECL European Warrants Aug 2012	200,000,000	2011/06/02	2012/08/03	50.20
12364	Macquarie Bank Ltd. – Sinoma European Warrants Nov 2012	200,000,000	2011/06/02	2012/11/27	35.60
12339	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012	200,000,000	2011/06/02	2012/01/30	50.00
12341	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2011	200,000,000	2011/06/02	2011/12/29	50.00
12336	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 A	200,000,000	2011/06/02	2011/12/29	52.00
12337	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 B	200,000,000	2011/06/02	2011/12/29	31.20
12338	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 C	200,000,000	2011/06/02	2011/12/29	52.00
12340	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 A	200,000,000	2011/06/02	2012/01/30	50.00
12342	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2011 D	200,000,000	2011/06/02	2011/12/29	50.00
12343	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2011 E	200,000,000	2011/06/02	2011/12/29	53.00
12346	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011A	300,000,000	2011/06/02	2011/12/05	90.00
12347	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2012A	300,000,000	2011/06/02	2012/01/11	78.00
12349	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2012	300,000,000	2011/06/02	2012/01/04	81.00
12348	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2011 B	300,000,000	2011/06/02	2011/12/12	90.00
12350	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Oct 2011	200,000,000	2011/06/02	2011/10/28	34.00
12351	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Dec 11	200,000,000	2011/06/02	2011/12/29	50.00
12353	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 11	300,000,000	2011/06/02	2011/12/29	75.00
12352	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011 B	300,000,000	2011/06/02	2011/12/29	75.00
12354	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 11C	300,000,000	2011/06/02	2011/12/29	75.00
12366	UBS AG – BYD European Warrants Dec 2011	100,000,000	2011/06/02	2011/12/08	25.00
12377	BOCI Asia Ltd. – China Life European Warrants Sep 2011 A	100,000,000	2011/06/03	2011/09/30	16.00
12379	BOCI Asia Ltd. – HSI European Warrants Dec 2011	100,000,000	2011/06/03	2011/12/29	15.00
12378	BOCI Asia Ltd. – Tracker Fund European Warrants Aug 2012	100,000,000	2011/06/03	2012/08/01	25.00
12400	BNP Paribas Arbit Issu B.V. – Ch Rongsheng European Wt Jan12	23,000,000	2011/06/03	2012/01/03	12.88
12408	Citigroup Global Mkt H Inc. – CNOOC European Wts Dec 2011	80,000,000	2011/06/03	2011/12/23	12.00
12410	Citigroup Global Mkt H Inc. – A50 China Eur Put Wts May 2012	80,000,000	2011/06/03	2012/05/21	12.00
12407	Citigroup Global Mkt H Inc. – Link Reit European Wts Feb 12	80,000,000	2011/06/03	2012/02/06	20.00
12406	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Aug 2012	80,000,000	2011/06/03	2012/08/13	12.00
12409	Citigroup Global Mkt H Inc. – Ch Rongsheng European Wt Dec11	50,000,000	2011/06/03	2011/12/05	12.50
12382	HK Bank -BYD European Warrants Dec 2011	100,000,000	2011/06/03	2011/12/16	25.00
12383	HK Bank – China Coal European Warrants Dec 2012	100,000,000	2011/06/03	2012/12/05	25.00
12386	HK Bank – Ch Ship Cont European Warrants Mar 2012	80,000,000	2011/06/03	2012/03/09	24.80
12381	HK Bank – Shougang Res European Warrants Feb 2012	80,000,000	2011/06/03	2012/02/21	27.20
12385	HK Bank – R&F Ppt European Warrants Nov 2012	100,000,000	2011/06/03	2012/11/16	25.00
12387	HK Bank – GCL-Poly Energy European Warrants Dec 2011 A	80,000,000	2011/06/03	2011/12/05	44.00
12380	HK Bank – KECL European Warrants Aug 2012	100,000,000	2011/06/03	2012/08/13	25.00
12384	HK Bank – Li Ning European Warrants Apr 2012	100,000,000	2011/06/03	2012/04/20	25.00
12405	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Dec 2011	128,000,000	2011/06/03	2011/12/05	32.00
12404	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011 A	128,000,000	2011/06/03	2011/11/14	32.00
12390	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011	128,000,000	2011/06/03	2011/12/05	32.00
12397	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Dec 2011	108,000,000	2011/06/03	2011/12/05	48.60

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12388	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 E	108,000,000	2011/06/03	2011/10/17	51.84
12389	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 F	108,000,000	2011/06/03	2011/10/03	61.56
12391	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011 A	128,000,000	2011/06/03	2011/12/05	32.00
12392	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011 B	108,000,000	2011/06/03	2011/12/15	16.20
12393	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011 C	128,000,000	2011/06/03	2011/12/05	48.64
12394	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Sep 2011	128,000,000	2011/06/03	2011/09/27	66.56
12395	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Sep 2011 A	128,000,000	2011/06/03	2011/09/22	42.24
12396	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Oct 2011 A	128,000,000	2011/06/03	2011/10/03	19.20
12401	KBC Fin Products Int'l Ltd. – ICBC European Wts Sep 2011	108,000,000	2011/06/03	2011/09/26	27.00
12403	KBC Fin Products Int'l Ltd. – ICBC European Wts Dec 2011	128,000,000	2011/06/03	2011/12/05	32.00
12402	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2011 C	128,000,000	2011/06/03	2011/10/03	19.20
12367	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Sep 2012	80,000,000	2011/06/03	2012/09/28	20.00
12368	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Jan 2012	80,000,000	2011/06/03	2012/01/09	30.40
12369	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2011 A	80,000,000	2011/06/03	2011/10/11	38.00
12370	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Dec 2011	80,000,000	2011/06/03	2011/12/05	20.40
12371	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Mar 2012	80,000,000	2011/06/03	2012/03/05	20.40
12373	CC Rabobank B.A. – CITIC Pacific European Warrants Dec 2011	100,000,000	2011/06/03	2011/12/05	25.00
12375	CC Rabobank B.A. – China Life European Warrants Nov 2011	200,000,000	2011/06/03	2011/11/28	30.00
12376	CC Rabobank B.A. – Evergrande European Warrants Nov 2011	100,000,000	2011/06/03	2011/11/23	40.00
12372	CC Rabobank B.A. – Galaxy Ent European Warrants Mar 2012	100,000,000	2011/06/03	2012/03/26	25.00
12374	CC Rabobank B.A. – Yanzhou Coal European Warrants Nov 2011	100,000,000	2011/06/03	2011/11/21	35.00
12399	Standard Chartered Bank – Evergrande European Wts Aug 2013	200,000,000	2011/06/03	2013/08/01	50.00
12398	Standard Chartered Bank – HSBC European Warrants Feb 2012	100,000,000	2011/06/03	2012/02/01	42.50
11380 #	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Mar 2012	200,000,000	2011/06/03	2012/03/21	18.60
11815 #	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2011 C	100,000,000	2011/06/03	2011/09/26	62.00
12430	Credit Suisse AG – AIA European Warrants Nov 2011	300,000,000	2011/06/07	2011/11/28	45.00
12422	KBC Fin Products Int'l Ltd. – AIA European Warrants Dec 11B	188,000,000	2011/06/07	2011/12/07	47.00
12423	KBC Fin Products Int'l Ltd. – AIA European Warrants Dec 11C	188,000,000	2011/06/07	2011/12/07	54.52
12425	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012	128,000,000	2011/06/07	2012/01/03	32.00
12420	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Nov 2011	108,000,000	2011/06/07	2011/11/02	19.44
12421	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Nov 2011 A	108,000,000	2011/06/07	2011/11/23	37.80
12417	KBC Fin Products Int'l Ltd. – China Life Euro Wts Nov 2011	158,000,000	2011/06/07	2011/11/16	23.70
12419	KBC Fin Products Int'l Ltd. – China Life Euro Wts Nov 2011 A	158,000,000	2011/06/07	2011/11/21	34.76
12418	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2012 A	158,000,000	2011/06/07	2012/01/03	39.50
12416	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Dec 2011	108,000,000	2011/06/07	2011/12/07	29.16
12426	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Dec 2011 D	128,000,000	2011/06/07	2011/12/07	46.08
12427	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012 A	108,000,000	2011/06/07	2012/01/10	63.72
12424	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Dec 2011 C	128,000,000	2011/06/07	2011/12/07	44.80
12428	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Dec 2011 B	100,000,000	2011/06/07	2011/12/29	25.00
12429	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Mar 2012	100,000,000	2011/06/07	2012/03/29	46.00
12413	Macquarie Bank Ltd. – China Unicom European Wts Apr 2012	300,000,000	2011/06/07	2012/04/03	75.00
12411	Macquarie Bank Ltd. – CITIC Pacific European Warrants Feb 12	150,000,000	2011/06/07	2012/02/02	30.75
12414	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jan 2012	300,000,000	2011/06/07	2012/01/04	75.00
12412	Macquarie Bank Ltd. – Lee & Man Paper European Wts Jan12	200,000,000	2011/06/07	2012/01/04	87.60

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12415	Macquarie Bank Ltd. – Ch Rongsheng European Warrants Dec 11	100,000,000	2011/06/07	2011/12/06	29.20
12431	UBS AG – GCL-Poly Energy European Warrants Dec 2011	100,000,000	2011/06/07	2011/12/12	25.00
12432	UBS AG – GCL-Poly Energy European Warrants Aug 2013	400,000,000	2011/06/07	2013/08/23	60.00
28380 #	Macquarie Bank Ltd. – Tencent European Put Warrants Aug 11B	250,000,000	2011/06/07	2011/08/02	13.25
29427 #	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Sep 11	200,000,000	2011/06/07	2011/09/12	12.60
12443	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Oct 2011	100,000,000	2011/06/08	2011/10/31	35.00
12445	Credit Suisse AG – Hutchison European Warrants Nov 2011	300,000,000	2011/06/08	2011/11/11	75.00
12444	Credit Suisse AG – Hutchison European Warrants Oct 2011 A	300,000,000	2011/06/08	2011/10/28	105.00
12446	HK Bank – GCL-Poly Energy European Warrants Dec 2013	100,000,000	2011/06/08	2013/12/30	25.00
12454	KBC Fin Products Int'l Ltd. – BOCL European Wts Nov 2011	108,000,000	2011/06/08	2011/11/18	21.60
12453	KBC Fin Products Int'l Ltd. – BOCL European Wts Dec 2011	88,000,000	2011/06/08	2011/12/08	22.88
12455	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2012	158,000,000	2011/06/08	2012/01/03	23.70
12457	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Dec 11	88,000,000	2011/06/08	2011/12/30	13.20
12460	KBC Fin Products Int'l Ltd. – HSI European Wts Oct 2011	200,000,000	2011/06/08	2011/10/28	30.00
12461	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2011 F	200,000,000	2011/06/08	2011/09/29	30.00
12462	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2011 G	200,000,000	2011/06/08	2011/09/29	30.00
12459	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Oct 2011 A	200,000,000	2011/06/08	2011/10/28	30.00
12458	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2011 B	200,000,000	2011/06/08	2011/12/29	58.00
12456	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Oct 2011 B	168,000,000	2011/06/08	2011/10/17	25.20
12441	Macquarie Bank Ltd. – China Unicom European Put Wts Jul 2012	200,000,000	2011/06/08	2012/07/03	50.00
12448	Macquarie Bank Ltd. – HSCEI European Warrants Dec 2011	300,000,000	2011/06/08	2011/12/29	45.00
12447	Macquarie Bank Ltd. – HSI European Warrants Nov 2011	300,000,000	2011/06/08	2011/11/29	48.00
12437	CC Rabobank B.A. – Shougang Res European Warrants Dec 2011	100,000,000	2011/06/08	2011/12/09	45.00
12438	CC Rabobank B.A. – GCL-Poly Energy European Wts Dec 2011	120,000,000	2011/06/08	2011/12/09	54.00
12439	CC Rabobank B.A. – HSBC European Warrants Nov 2011	100,000,000	2011/06/08	2011/11/09	20.00
12440	CC Rabobank B.A. – Hutchison European Warrants Oct 2011	120,000,000	2011/06/08	2011/10/26	42.00
12436	CC Rabobank B.A. – China Mengniu European Warrants Jan 2012	100,000,000	2011/06/08	2012/01/06	25.00
12433	Standard Chartered Bank – CC Bank European Warrants Jun 2012	100,000,000	2011/06/08	2012/06/01	50.00
12434	Standard Chartered Bank – CC Bank European Warrants Nov 2012	100,000,000	2011/06/08	2012/11/01	25.00
12435	Standard Chartered Bank-China Life European Wts Mar 2012	100,000,000	2011/06/08	2012/03/30	25.00
12442	UBS AG – AIA European Warrants Mar 2012	300,000,000	2011/06/08	2012/03/12	75.00
12449	UBS AG – HKEx European Warrants Dec 2011 C	300,000,000	2011/06/08	2011/12/05	45.00
12451	UBS AG – HSI European Warrants Nov 2011	300,000,000	2011/06/08	2011/11/29	45.00
12452	UBS AG – HSI European Put Warrants Oct 2011	300,000,000	2011/06/08	2011/10/28	45.00
12450	UBS AG – Hutchison European Warrants Nov 2011 A	300,000,000	2011/06/08	2011/11/08	75.00
12486	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wts Feb 2012	100,000,000	2011/06/09	2012/02/02	36.00
12485	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Dec 12	100,000,000	2011/06/09	2012/12/04	29.00
12487	BNP Paribas Arbit Issu B.V. – Ch Mer Hold European Wts Feb12	80,000,000	2011/06/09	2012/02/02	35.20
12489	BNP Paribas Arbit Issu B.V. – CPIC European Warrants Apr 12	100,000,000	2011/06/09	2012/04/02	36.00
12488	BNP Paribas Arbit Issu B.V. – Wharf European Warrants Jan 12	80,000,000	2011/06/09	2012/01/04	27.20
12473	Credit Suisse AG – China Mobile European Warrants Oct 2011 C	300,000,000	2011/06/09	2011/10/03	45.00
12495	Citigroup Global Mkt H Inc. – CPIC European Warrants Dec 11	80,000,000	2011/06/09	2011/12/12	20.00
12496	Citigroup Global Mkt H Inc. – Galaxy Ent European Wts Dec11A	80,000,000	2011/06/09	2011/12/12	20.00
12498	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2011	80,000,000	2011/06/09	2011/12/09	28.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12497	Citigroup Global Mkt H Inc. – Lenovo European Warrants Dec11	80,000,000	2011/06/09	2011/12/12	20.00
12494	Citigroup Global Mkt H Inc. – PICC P&C European Wts Feb 2012	80,000,000	2011/06/09	2012/02/03	12.00
12492	Bank of East Asia – BYD European Warrants Feb 2012	50,000,000	2011/06/09	2012/02/23	12.50
12493	Bank of East Asia – R&F Ppt European Warrants Dec 2012	130,000,000	2011/06/09	2012/12/21	32.50
12491	Bank of East Asia – HKEx European Warrants Jul 2012	80,000,000	2011/06/09	2012/07/23	20.00
12490	Bank of East Asia – Hutchison European Warrants Jan 2012	41,000,000	2011/06/09	2012/01/20	10.25
12477	HK Bank – HWL European Warrants Oct 2011	200,000,000	2011/06/09	2011/10/26	58.00
12478	HK Bank – HWL European Warrants Dec 2011	200,000,000	2011/06/09	2011/12/09	50.00
12479	HK Bank – HWL European Warrants Jan 2012	200,000,000	2011/06/09	2012/01/27	50.00
12471	Macquarie Bank Ltd. – Ch Oilfield European Warrants Jan 2012	200,000,000	2011/06/09	2012/01/04	50.00
12470	Macquarie Bank Ltd. – Ch Comm Cons European Wts Jan 2012	100,000,000	2011/06/09	2012/01/04	28.60
12472	Macquarie Bank Ltd. – China Shenhua European Wts Jan 2012	200,000,000	2011/06/09	2012/01/04	50.00
12483	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Nov 2011	80,000,000	2011/06/09	2011/11/23	40.80
12484	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Nov 11A	80,000,000	2011/06/09	2011/11/08	27.60
12481	CC Rabobank B.A. – ChiUnicom European Warrants Apr 2012	150,000,000	2011/06/09	2012/04/23	27.00
12482	CC Rabobank B.A. – Chow Sang Sang European Warrants Dec 2011	100,000,000	2011/06/09	2011/12/12	25.00
12480	CC Rabobank B.A. – Hutchison European Warrants Dec 2011	200,000,000	2011/06/09	2011/12/12	66.00
12475	Standard Chartered Bank – Hutchison Euro Euro Wts Jan 2014	200,000,000	2011/06/09	2014/01/07	50.00
12474	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2011 C	100,000,000	2011/06/09	2011/10/03	36.50
12476	Standard Chartered Bank – ICBC European Warrants Dec 2011	100,000,000	2011/06/09	2011/12/09	25.00
12463	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2011	200,000,000	2011/06/09	2011/12/12	50.00
12464	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 2012	200,000,000	2011/06/09	2012/01/09	50.00
12465	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2012	500,000,000	2011/06/09	2012/04/02	90.00
12466	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2011	200,000,000	2011/06/09	2011/10/03	58.00
12467	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2011 A	200,000,000	2011/06/09	2011/10/26	52.00
12468	SGA Societe Generale Acceptance N.V. – Lonking Euro Wt Dec11	30,000,000	2011/06/09	2011/12/21	14.10
12469	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Dec 11	200,000,000	2011/06/09	2011/12/12	54.00
12502	BNP Paribas Arbit Issu B.V. – Ch Ship Cont European Wt Jan12	60,000,000	2011/06/10	2012/01/04	19.80
12501	BNP Paribas Arbit Issu B.V. – CSR Times European Wts Jan12	55,000,000	2011/06/10	2012/01/04	20.90
12506	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Jan 2012	80,000,000	2011/06/10	2012/01/04	25.60
12505	BNP Paribas Arbit Issu B.V. -MTRC European Warrants Dec 2012	45,000,000	2011/06/10	2012/12/04	11.70
12504	BNP Paribas Arbit Issu B.V. – SkyworthDig European Wts Jun14	100,000,000	2011/06/10	2014/06/04	25.00
12503	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Euro Wts Mar12	55,000,000	2011/06/10	2012/03/02	15.95
12510	Credit Suisse AG – BYD European Warrants Oct 2011	200,000,000	2011/06/10	2011/10/03	30.00
12508	Credit Suisse AG – ChiUnicom European Warrants Feb 2012	200,000,000	2011/06/10	2012/02/20	50.00
12507	Credit Suisse AG – HSI European Warrants Sep 2011 B	300,000,000	2011/06/10	2011/09/29	45.00
12512	Credit Suisse AG – HSI European Put Warrants Sep 2011 C	300,000,000	2011/06/10	2011/09/29	45.00
12509	Credit Suisse AG – Tencent Holdings European Wts Oct 2011 A	300,000,000	2011/06/10	2011/10/31	60.00
12511	Credit Suisse AG – Tencent Holdings Euro Put Wts Sep 2011 D	300,000,000	2011/06/10	2011/09/12	45.00
12524	Citigroup Global Mkt H Inc. – Anhui Conch European Wts Dec11	80,000,000	2011/06/10	2011/12/12	20.00
12526	Citigroup Global Mkt H Inc. – Evergrande European Wts Oct11A	50,000,000	2011/06/10	2011/10/19	20.00
12525	Citigroup Global Mkt H Inc. – Li & Fung European Wts Feb 12	80,000,000	2011/06/10	2012/02/06	20.00
12523	Citigroup Global Mkt H Inc. – SPDR Gold T European Wts Dec11	50,000,000	2011/06/10	2011/12/12	20.00
12519	KBC Fin Products Int'l Ltd. – Ping An European Wts Dec 2011	80,000,000	2011/06/10	2011/12/12	43.20

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12520	KBC Fin Products Int'l Ltd. – Ping An European Wts Dec 2011A	80,000,000	2011/06/10	2011/12/22	26.40
12521	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Dec 2011	68,000,000	2011/06/10	2011/12/12	41.48
12500	Macquarie Bank Ltd. – HSI European Warrants Mar 2012	300,000,000	2011/06/10	2012/03/29	75.00
12499	Macquarie Bank Ltd. – Lenovo European Warrants Jan 2012	128,000,000	2011/06/10	2012/01/04	32.13
12513	CC Rabobank B.A. – CMOE European Warrants Nov 2012	80,000,000	2011/06/10	2012/11/27	13.60
12514	CC Rabobank B.A. – Shineway Pharm European Warrants Feb 2012	80,000,000	2011/06/10	2012/02/27	24.00
12515	CC Rabobank B.A. – Henganintl European Warrants Dec 2011	80,000,000	2011/06/10	2011/12/12	47.20
12517	CC Rabobank B.A. – Poly HK Inv European Warrants Dec 2011	80,000,000	2011/06/10	2011/12/12	50.40
12516	CC Rabobank B.A. – Soho China European Warrants Dec 2011	80,000,000	2011/06/10	2011/12/12	50.40
12518	UBS AG – HSBC European Warrants Sep 2011 E	300,000,000	2011/06/10	2011/09/19	60.00
12541	Credit Suisse AG – Agricultural Bank European Wts Sep 2011 A	300,000,000	2011/06/13	2011/09/30	45.00
12527	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Dec 2011	80,000,000	2011/06/13	2011/12/13	28.00
12528	Citigroup Global Mkt H Inc. – Angang Steel European Wt Dec12	80,000,000	2011/06/13	2012/12/31	20.00
12535	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Nov 2011	108,000,000	2011/06/13	2011/11/01	33.48
12529	Macquarie Bank Ltd. – Bank of EA European Warrants May 2012	200,000,000	2011/06/13	2012/05/03	50.00
12537	CC Rabobank B.A. – Air China European Warrants Dec 2012	80,000,000	2011/06/13	2012/12/17	20.00
12531	CC Rabobank B.A. – BEA European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/09	20.00
12538	CC Rabobank B.A. – Zoomlion European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/30	20.00
12532	CC Rabobank B.A. – LOccitane European Warrants Mar 2012	80,000,000	2011/06/13	2012/03/12	20.00
12539	CC Rabobank B.A. – Nine Dragons European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/16	58.40
12533	CC Rabobank B.A. – Shui On Land European Warrants Mar 2012	80,000,000	2011/06/13	2012/03/26	31.20
12534	CC Rabobank B.A. – Ting Yi European Warrants Dec 2011	80,000,000	2011/06/13	2011/12/19	20.00
12540	CC Rabobank B.A. – Winsway European Warrants Jan 2012	80,000,000	2011/06/13	2012/01/30	49.60
12530	Standard Chartered Bank – CNBM European Warrants Jan 2012	100,000,000	2011/06/13	2012/01/06	25.00
12536	Standard Chartered Bank – HSBC European Warrants Dec 2015	200,000,000	2011/06/13	2015/12/07	50.00
12543	UBS AG – Agricultural Bank European Warrants Oct 2011 A	200,000,000	2011/06/13	2011/10/21	30.00
12542	UBS AG – China Mobile European Warrants Dec 2011 A	200,000,000	2011/06/13	2011/12/13	50.00
12548	BNP Paribas Arbit Issu B.V. – KECL European Warrants Mar 12	100,000,000	2011/06/14	2012/03/02	18.00
12546	UBS AG – China Life European Warrants Nov 2011 A	300,000,000	2011/06/14	2011/11/28	45.00
12547	UBS AG – SHK Ppt European Warrants Dec 2012	200,000,000	2011/06/14	2012/12/10	30.00
11782 #	Credit Suisse AG – HSI European Warrants Aug 2011 A	300,000,000	2011/06/14	2011/08/30	16.50
12567	BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2012	200,000,000	2011/06/15	2012/01/30	50.00
12569	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2011	200,000,000	2011/06/15	2011/10/28	36.00
12570	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2011	200,000,000	2011/06/15	2011/11/29	30.00
12568	BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2012 A	200,000,000	2011/06/15	2012/01/30	30.00
12580	Credit Suisse AG – BYD European Warrants Oct 2011 A	200,000,000	2011/06/15	2011/10/04	30.00
12577	Credit Suisse AG – China Unicom European Put Warrants Nov 11	200,000,000	2011/06/15	2011/11/25	30.00
12573	Credit Suisse AG – Cheung Kong European Warrants Apr 2012	300,000,000	2011/06/15	2012/04/23	45.00
12579	Credit Suisse AG – Zoomlion European Warrants Dec 2011	200,000,000	2011/06/15	2011/12/19	50.00
12574	Credit Suisse AG – HSBC European Wts Oct 2011	300,000,000	2011/06/15	2011/10/04	45.00
12572	Credit Suisse AG – HSI European Warrants Sep 2011 D	300,000,000	2011/06/15	2011/09/29	45.00
12582	Credit Suisse AG – HSI European Put Warrants Sep 2011 E	300,000,000	2011/06/15	2011/09/29	45.00
12575	Credit Suisse AG – Hutchison European Warrants Oct 2011 B	300,000,000	2011/06/15	2011/10/24	120.00
12576	Credit Suisse AG – KECL European Warrants Feb 2012	200,000,000	2011/06/15	2012/02/27	30.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12581	Credit Suisse AG – Lonking European Warrants Dec 2011	200,000,000	2011/06/15	2011/12/19	50.00
12578	Credit Suisse AG – PetroChina European Warrants Sep 2011	300,000,000	2011/06/15	2011/09/30	45.00
12565	HK Bank – BOCL European Warrants Dec 2011	100,000,000	2011/06/15	2011/12/15	25.00
12566	HK Bank – HSCEI European Put Warrants Dec 2011	150,000,000	2011/06/15	2011/12/29	37.50
12571	Macquarie Bank Ltd. – HSI European Put Warrants Nov 2011	300,000,000	2011/06/15	2011/11/29	45.00
12549	Merrill Lynch Int'l & Co. C.V. – BankComm Euro Wts Jan 2012	80,000,000	2011/06/15	2012/01/16	29.20
12550	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Nov 2012	80,000,000	2011/06/15	2012/11/26	22.80
12551	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2011 A	80,000,000	2011/06/15	2011/12/20	20.00
12552	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Apr 2012	80,000,000	2011/06/15	2012/04/30	20.00
12554	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Apr 2012	80,000,000	2011/06/15	2012/04/05	12.16
12553	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 C	80,000,000	2011/06/15	2011/12/09	12.08
12555	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Oct 2011C	80,000,000	2011/06/15	2011/10/11	12.16
12556	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Oct 2011D	80,000,000	2011/06/15	2011/10/10	17.68
12557	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Mar 12	80,000,000	2011/06/15	2012/03/30	20.00
12558	CC Rabobank B.A. – Angang Steel European Warrants Jan 2012	100,000,000	2011/06/15	2012/01/31	75.00
12561	CC Rabobank B.A. – Ch Comm Cons European Warrants Jan 2012	100,000,000	2011/06/15	2012/01/16	65.00
12564	CC Rabobank B.A. – Ch State Con European Warrants Aug 2012	100,000,000	2011/06/15	2012/08/31	25.00
12563	CC Rabobank B.A. – GAC European Warrants Mar 2012	100,000,000	2011/06/15	2012/03/26	25.00
12559	CC Rabobank B.A. – Ch Rongsheng European Warrants Dec 2011 A	100,000,000	2011/06/15	2011/12/29	55.00
12562	CC Rabobank B.A. – Sands China European Warrants Jan 2012	100,000,000	2011/06/15	2012/01/30	25.00
12560	CC Rabobank B.A. – Wynn Macau European Warrants Mar 2012	100,000,000	2011/06/15	2012/03/30	47.00
12584	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb 2012	300,000,000	2011/06/15	2012/02/06	57.00
12585	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jul 12A	300,000,000	2011/06/15	2012/07/25	75.00
12586	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 2012	300,000,000	2011/06/15	2012/10/15	75.00
12583	UBS AG – ICBC European Warrants Dec 2011	100,000,000	2011/06/15	2011/12/19	25.00
12604	Credit Suisse AG – Agricultural Bank European Wts Sep 2011 B	300,000,000	2011/06/16	2011/09/26	45.00
12603	Credit Suisse AG – CC Bank European Warrants Sep 2011 B	300,000,000	2011/06/16	2011/09/26	45.00
12606	Credit Suisse AG – GCL-Poly Energy European Warrants Sep 11	200,000,000	2011/06/16	2011/09/26	30.00
12601	Credit Suisse AG – HKEx European Warrants Nov 2011	300,000,000	2011/06/16	2011/11/21	45.00
12602	Credit Suisse AG – HKEx European Warrants Dec 2011	300,000,000	2011/06/16	2011/12/12	45.00
12598	Credit Suisse AG – HSI European Warrants Sep 2011 F	300,000,000	2011/06/16	2011/09/29	45.00
12599	Credit Suisse AG – HSI European Warrants Sep 2011 G	300,000,000	2011/06/16	2011/09/29	45.00
12600	Credit Suisse AG – HSI European Warrants Sep 2011 H	300,000,000	2011/06/16	2011/09/29	45.00
12608	Credit Suisse AG – HSI European Put Warrants Sep 2011 I	300,000,000	2011/06/16	2011/09/29	45.00
12605	Credit Suisse AG – ICBC European Warrants Sep 2011 A	300,000,000	2011/06/16	2011/09/26	45.00
12607	Credit Suisse AG – Tencent Holdings Euro Put Wts Nov 2011	300,000,000	2011/06/16	2011/11/07	45.00
12587	HK Bank – CHALCO European Warrants Sep 2013	120,000,000	2011/06/16	2013/09/25	18.00
12590	Macquarie Bank Ltd. – BJ Ent Water European Warrants Nov 11	200,000,000	2011/06/16	2011/11/09	30.00
12596	Macquarie Bank Ltd. – BYD European Warrants Oct 2011	100,000,000	2011/06/16	2011/10/04	15.00
12591	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2011	200,000,000	2011/06/16	2011/12/16	69.20
12595	Macquarie Bank Ltd. – CC Bank European Put Warrants Dec 2011	200,000,000	2011/06/16	2011/12/16	50.00
12592	Macquarie Bank Ltd. – Ch Ship Cont European Warrants Jan 12	200,000,000	2011/06/16	2012/01/04	56.20
12594	Macquarie Bank Ltd. – Li & Fung European Warrants Oct 2012	200,000,000	2011/06/16	2012/10/03	50.20
12593	Macquarie Bank Ltd. – Maanshan Iron European Wts Dec 2011	200,000,000	2011/06/16	2011/12/16	55.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12588	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Nov 2011	200,000,000	2011/06/16	2011/11/28	36.00
12597	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2011 D	200,000,000	2011/06/16	2011/09/28	52.00
12589	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2011 D	200,000,000	2011/06/16	2011/10/03	60.00
12611	HK Bank – Agricultural Bank European Warrants Dec 2011	100,000,000	2011/06/17	2011/12/19	25.00
12612	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2012	200,000,000	2011/06/17	2012/06/04	50.00
12613	Macquarie Bank Ltd. – CITIC Bank European Warrants Dec 2011	200,000,000	2011/06/17	2011/12/19	70.20
12614	Standard Chartered Bank – China Mobile Euro Wts Feb 2012	100,000,000	2011/06/17	2012/02/29	25.00
12616	Standard Chartered Bank – A50 China European Warrants Apr 12	100,000,000	2011/06/17	2012/04/20	19.50
12615	Standard Chartered Bank -YZ Coal European Warrants Mar 2012	100,000,000	2011/06/17	2012/03/02	25.00
12618	UBS AG – Agricultural Bank European Warrants Dec 2011	100,000,000	2011/06/17	2011/12/19	25.00
12617	UBS AG – BYD European Warrants Dec 2011 A	100,000,000	2011/06/17	2011/12/19	25.00
12619	UBS AG – Tencent Holdings European Warrants Oct 2011 D	200,000,000	2011/06/17	2011/10/31	30.00
12620	UBS AG – Tencent Holdings European Put Warrants Nov 2011 A	200,000,000	2011/06/17	2011/11/07	30.00
25670 #	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2011 A	300,000,000	2011/06/17	2011/10/03	18.30
12621	BOCI Asia Ltd. – BOCL European Warrants Jan 2012	100,000,000	2011/06/20	2012/01/16	25.00
12622	BOCI Asia Ltd. – CC Bank European Warrants Jan 2012	100,000,000	2011/06/20	2012/01/16	25.00
12623	BOCI Asia Ltd. – ICBC European Warrants Jan 2012	100,000,000	2011/06/20	2012/01/16	25.00
12627	BNP Paribas Arbit Issu B.V. – BYD European Warrants Jun 2012	90,000,000	2011/06/20	2012/06/04	22.50
12628	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Feb 2012	100,000,000	2011/06/20	2012/02/02	16.00
12626	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Sep12	100,000,000	2011/06/20	2012/09/04	18.00
12633	Credit Suisse – Cheung Kong European Put Warrants Dec 2011	200,000,000	2011/06/20	2011/12/20	50.00
12632	Credit Suisse AG – HSI European Warrants Feb 2012	500,000,000	2011/06/20	2012/02/28	125.00
12631	Credit Suisse AG – SHK Ppt European Warrants Dec 2011	200,000,000	2011/06/20	2011/12/20	50.00
12634	Credit Suisse AG – SHK Ppt European Put Warrants Dec 2011	200,000,000	2011/06/20	2011/12/20	50.00
12630	HK Bank – Zijin Mining European Warrants Dec 2011 A	80,000,000	2011/06/20	2011/12/29	20.00
12625	Macquarie Bank Ltd. – China Life European Put Wts Feb 2012 A	200,000,000	2011/06/20	2012/02/02	50.00
12638	Macquarie Bank Ltd. – CRCC European Warrants Jan 2012	200,000,000	2011/06/20	2012/01/04	50.00
12624	Macquarie Bank Ltd. – HSBC European Warrants Jan 2012	200,000,000	2011/06/20	2012/01/04	61.40
12639	Macquarie Bank Ltd. – Wharf European Warrants Jan 2012	200,000,000	2011/06/20	2012/01/04	56.20
12629	Standard Chartered Bank – CC Bank European Warrants Dec 11A	100,000,000	2011/06/20	2011/12/30	55.00
12642	Standard Chartered Bank – CNBM European Warrants May 2012	100,000,000	2011/06/20	2012/05/07	27.50
12641	Standard Chartered Bank – HKEx European Warrants Mar 2012	100,000,000	2011/06/20	2012/03/29	17.60
12640	Standard Chartered Bank – MTRC European Warrants Feb 2012	100,000,000	2011/06/20	2012/02/01	25.00
12635	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Dec 2011	180,000,000	2011/06/20	2011/12/21	52.20
12636	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2011 F	300,000,000	2011/06/20	2011/09/29	45.00
12637	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 11A	300,000,000	2011/06/20	2011/11/29	45.00
11418 #	UBS AG – AIA European Warrants Nov 2011	200,000,000	2011/06/20	2011/11/28	19.60
12650	BOCI Asia Ltd. – BOC HK European Warrants Jul 2012	100,000,000	2011/06/21	2012/07/04	25.00
12652	BOCI Asia Ltd. – HKEx European Put Warrants Dec 2011	200,000,000	2011/06/21	2011/12/12	30.00
12653	BOCI Asia Ltd. – HSBC European Put Warrants Dec 2011	100,000,000	2011/06/21	2011/12/28	25.00
12651	BOCI Asia Ltd. – KECL European Warrants Feb 2012	100,000,000	2011/06/21	2012/02/29	15.00
12656	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Apr 2012	200,000,000	2011/06/21	2012/04/03	50.40
12655	Macquarie Bank Ltd. – MTRC European Warrants Oct 2012	200,000,000	2011/06/21	2012/10/04	50.00
12657	Macquarie Bank Ltd. – Zijin Mining European Put Wts Feb 2012	200,000,000	2011/06/21	2012/02/02	50.00

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12643	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2011 A	80,000,000	2011/06/21	2011/12/28	13.92
12644	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2011 B	80,000,000	2011/06/21	2011/12/06	12.08
12647	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2011 A	80,000,000	2011/06/21	2011/11/14	13.44
12645	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 D	80,000,000	2011/06/21	2011/12/07	29.60
12646	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 E	80,000,000	2011/06/21	2011/12/06	19.04
12648	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2011 A	80,000,000	2011/06/21	2011/12/28	22.40
12649	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2012	80,000,000	2011/06/21	2012/02/21	20.00
12658	UBS AG – BOCL European Warrants Nov 2011	100,000,000	2011/06/21	2011/11/24	15.00
12654	UBS AG – China Unicom European Warrants Dec 2011	100,000,000	2011/06/21	2011/12/12	15.00
12660	UBS AG – HSI European Warrants Dec 2011	300,000,000	2011/06/21	2011/12/29	45.00
12659	UBS AG – ICBC European Warrants Dec 2011 A	100,000,000	2011/06/21	2011/12/28	25.00
11783 #	Credit Suisse AG – HSI European Warrants Aug 2011 B	300,000,000	2011/06/21	2011/08/30	12.00
12671	BNP Paribas Arbit Issu B.V. -Alibaba European Wts Oct 2012	100,000,000	2011/06/22	2012/10/04	25.00
12674	HK Bank – AIA European Warrants Mar 2012	150,000,000	2011/06/22	2012/03/26	37.50
12673	HK Bank – CC Bank European Warrants Nov 2011	120,000,000	2011/06/22	2011/11/23	30.00
12675	HK Bank – ICBC European Warrants Dec 2011	100,000,000	2011/06/22	2011/12/22	25.00
12672	HK Bank – Maanshan Iron European Warrants Dec 2011	80,000,000	2011/06/22	2011/12/28	20.00
12676	HK Bank – Zhaojin Mining European Warrants Feb 2012	100,000,000	2011/06/22	2012/02/14	25.00
12677	Macquarie Bank Ltd. – Galaxy Ent European Warrants Jan 2012	200,000,000	2011/06/22	2012/01/04	50.00
12662	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2011 A	388,000,000	2011/06/22	2011/12/29	97.78
12661	Macquarie Bank Ltd. – HSI European Put Warrants Nov 2011 A	388,000,000	2011/06/22	2011/11/29	58.20
12663	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Jan12	80,000,000	2011/06/22	2012/01/10	20.40
12664	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Mar12	80,000,000	2011/06/22	2012/03/07	20.00
12669	Merrill Lynch Int'l & Co. C.V. – AIA European Put Wts Jan 12	80,000,000	2011/06/22	2012/01/30	20.00
12665	Merrill Lynch Int'l & Co. C.V. – BEA European Wts Jan 2012	80,000,000	2011/06/22	2012/01/09	21.20
12666	Merrill Lynch Int'l & Co. C.V. – BEA European Wts Mar 2012	80,000,000	2011/06/22	2012/03/12	20.40
12667	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts May 2012	80,000,000	2011/06/22	2012/05/07	20.80
12668	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Mar 12	80,000,000	2011/06/22	2012/03/12	27.20
12670	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 F	200,000,000	2011/06/22	2011/09/29	30.20
12681	Standard Chartered Bank – Tencent Hldg European Wts Nov 2011	100,000,000	2011/06/22	2011/11/30	18.00
12682	Standard Chartered Bank – Zijin Mining European Wts Dec11	100,000,000	2011/06/22	2011/12/30	25.00
12680	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Nov 2012	300,000,000	2011/06/22	2012/11/28	54.00
12679	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Apr 2012	200,000,000	2011/06/22	2012/04/30	50.00
12678	SGA Societe Generale Acceptance N.V. – CPAir Eur Wt Jan 2012	80,000,000	2011/06/22	2012/01/30	15.20
12684	UBS AG – AIA European Warrants Dec 2011	100,000,000	2011/06/22	2011/12/05	15.00
12683	UBS AG – Sinopec Corp European Warrants Nov 2011	100,000,000	2011/06/22	2011/11/21	15.00
12685	UBS AG – HSI European Warrants Nov 2011 A	300,000,000	2011/06/22	2011/11/29	45.00
12696	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Aug 2013	300,000,000	2011/06/23	2013/08/30	45.00
12722	Credit Suisse AG – BOCL European Warrants Dec 2011	200,000,000	2011/06/23	2011/12/05	30.00
12715	Credit Suisse AG – CNBM European Warrants Nov 2011	150,000,000	2011/06/23	2011/11/28	22.50
12721	Credit Suisse AG – EvergrandeEuropean Warrants Dec 2011	150,000,000	2011/06/23	2011/12/23	37.50
12720	Credit Suisse AG – HSBC European Wts Nov 2011	300,000,000	2011/06/23	2011/11/28	63.00
12713	Credit Suisse AG – Lenovo European Warrants Nov 2011	150,000,000	2011/06/23	2011/11/07	22.50
12714	Credit Suisse AG – PICC European Warrants Dec 2011	150,000,000	2011/06/23	2011/12/19	22.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12693	HK Bank – China COSCO European Warrants Mar 2012	80,000,000	2011/06/23	2012/03/09	40.00
12694	HK Bank – HSI European Warrants Sep 2011 A	200,000,000	2011/06/23	2011/09/29	30.00
12695	HK Bank – HSI European Warrants Dec 2011 G	200,000,000	2011/06/23	2011/12/29	50.00
12697	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Jan 2012	200,000,000	2011/06/23	2012/01/04	51.60
12699	Macquarie Bank Ltd. – Hunan Nonferrous European Wts Dec 2011	200,000,000	2011/06/23	2011/12/23	52.00
12698	Macquarie Bank Ltd. – ZTE European Warrants Dec 2011	200,000,000	2011/06/23	2011/12/23	50.40
12688	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Nov 2013	80,000,000	2011/06/23	2013/11/26	13.44
12687	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Apr 2012	80,000,000	2011/06/23	2012/04/26	20.00
12686	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Nov 2012	80,000,000	2011/06/23	2012/11/21	20.00
12691	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Dec 2011 D	80,000,000	2011/06/23	2011/12/16	12.32
12689	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Feb 2012	80,000,000	2011/06/23	2012/02/06	49.60
12692	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2011 D	200,000,000	2011/06/23	2011/11/29	30.80
12690	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Nov 2011	80,000,000	2011/06/23	2011/11/14	24.00
12703	Nomura Int'l plc – HSI European Warrants Sep 2011	200,000,000	2011/06/23	2011/09/29	30.20
12705	Nomura Int'l plc – HSI European Warrants Oct 2011	200,000,000	2011/06/23	2011/10/28	30.60
12707	Nomura Int'l plc – HSI European Warrants Nov 2011	200,000,000	2011/06/23	2011/11/29	30.00
12708	Nomura Int'l plc – HSI European Put Warrants Sep 2011	200,000,000	2011/06/23	2011/09/29	41.60
12711	Nomura Int'l plc – HSI European Put Warrants Oct 2011	200,000,000	2011/06/23	2011/10/28	39.00
12712	Nomura Int'l plc – HSI European Put Warrants Dec 2011	200,000,000	2011/06/23	2011/12/29	31.40
12704	Nomura Int'l plc – HSI European Warrants Sep 2011 A	200,000,000	2011/06/23	2011/09/29	30.20
12706	Nomura Int'l plc – HSI European Warrants Oct 2011 A	200,000,000	2011/06/23	2011/10/28	30.40
12709	Nomura Int'l plc – HSI European Put Warrants Sep 2011 B	200,000,000	2011/06/23	2011/09/29	31.00
12710	Nomura Int'l plc – HSI European Put Warrants Sep 2011 C	200,000,000	2011/06/23	2011/09/29	31.60
12716	Standard Chartered Bank – HSBC European Warrants Sep 2011 D	100,000,000	2011/06/23	2011/09/23	17.80
12718	Standard Chartered Bank – ICBC European Warrants Oct 2011	100,000,000	2011/06/23	2011/10/10	18.00
12717	Standard Chartered Bank – ICBC European Warrants Sep 2011 C	100,000,000	2011/06/23	2011/09/26	25.00
12719	Standard Chartered Bank – ICBC European Warrants Dec 2011 A	100,000,000	2011/06/23	2011/12/28	25.00
12701	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2012	200,000,000	2011/06/23	2012/01/09	52.00
12700	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2011	200,000,000	2011/06/23	2011/11/30	52.00
12702	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2012	200,000,000	2011/06/23	2012/01/09	54.00
12723	UBS AG – HKEx European Warrants Dec 2011 D	300,000,000	2011/06/23	2011/12/22	45.00
12725	UBS AG – SPDR Gold Trust European Warrants Dec 2011	100,000,000	2011/06/23	2011/12/23	25.00
12724	UBS AG – Tencent Holdings European Warrants Dec 2011	200,000,000	2011/06/23	2011/12/12	30.00
11380 #	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Mar 2012	300,000,000	2011/06/23	2012/03/21	14.10
29060 #	Standard Chartered Bank-China Life European Wts Nov 2011	230,000,000	2011/06/23	2011/11/04	10.35
12764	Credit Suisse AG – HKEx European Warrants Dec 2011 A	300,000,000	2011/06/24	2011/12/20	45.00
12768	Credit Suisse AG – HSI European Put Warrants Oct 2011	500,000,000	2011/06/24	2011/10/28	75.00
12765	Credit Suisse AG – HSI European Warrants Sep 2011 J	500,000,000	2011/06/24	2011/09/29	75.00
12766	Credit Suisse AG – HSI European Warrants Sep 2011 K	500,000,000	2011/06/24	2011/09/29	75.00
12767	Credit Suisse AG – HSI European Warrants Sep 2011 L	500,000,000	2011/06/24	2011/09/29	75.00
12761	Credit Suisse AG – Tencent Holdings European Wts Sep 2011 E	300,000,000	2011/06/24	2011/09/30	45.00
12732	HK Bank – Angang Steel European Warrants Jul 2013	80,000,000	2011/06/24	2013/07/30	20.00
12734	HK Bank – China Mobile European Warrants Nov 2011	150,000,000	2011/06/24	2011/11/14	37.50
12733	HK Bank – China Life European Warrants Jan 2012	150,000,000	2011/06/24	2012/01/04	27.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12742	HK Bank – China Life European Put Warrants Mar 2012	150,000,000	2011/06/24	2012/03/16	37.50
12735	HK Bank – Geely Auto European Warrants Jun 2012	80,000,000	2011/06/24	2012/06/27	22.40
12740	HK Bank – HSI European Warrants Sep 2013	250,000,000	2011/06/24	2013/09/27	62.50
12741	HK Bank – HSI European Warrants Jun 2014	250,000,000	2011/06/24	2014/06/27	62.50
12744	HK Bank – HSI European Put Warrants Dec 2013	250,000,000	2011/06/24	2013/12/30	62.50
12736	HK Bank – Shimao Ppt European Warrants Dec 2013	80,000,000	2011/06/24	2013/12/30	20.00
12737	HK Bank – SJM Holdings European Warrants Dec 2011	80,000,000	2011/06/24	2011/12/28	20.00
12738	HK Bank – Tencent European Warrants Jan 2012	150,000,000	2011/06/24	2012/01/20	37.50
12743	HK Bank – Tencent European Put Warrants Mar 2012	150,000,000	2011/06/24	2012/03/09	37.50
12739	HK Bank – ZTE European Warrants Aug 2012	80,000,000	2011/06/24	2012/08/16	20.00
12730	Macquarie Bank Ltd. -CHALCO European Put Warrants Jan 2012	200,000,000	2011/06/24	2012/01/04	56.40
12731	Macquarie Bank Ltd. – BankComm European Put Wts Jan 2012	200,000,000	2011/06/24	2012/01/04	51.00
12745	Macquarie Bank Ltd. – Sinopec Corp European Wts Jan 2012	200,000,000	2011/06/24	2012/01/04	84.00
12726	Macquarie Bank Ltd. – HKEx European Warrants Jan 2013	200,000,000	2011/06/24	2013/01/03	50.00
12727	Macquarie Bank Ltd. – HKEx European Put Warrants Jan 2012	200,000,000	2011/06/24	2012/01/04	66.20
12728	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 E	200,000,000	2011/06/24	2011/11/29	30.40
12729	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2011 G	200,000,000	2011/06/24	2011/10/28	30.00
12756	Nomura Int'l plc – AIA European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/05	15.00
12758	Nomura Int'l plc – BOC HK European Warrants May 2012	100,000,000	2011/06/24	2012/05/30	16.70
12753	Nomura Int'l plc – CC Bank European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/16	26.40
12760	Nomura Int'l plc – CC Bank European Put Warrants Dec 2011	100,000,000	2011/06/24	2011/12/16	26.70
12754	Nomura Int'l plc -CITIC Bank European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/16	25.00
12746	Nomura Int'l plc – Cheung Kong European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/05	28.00
12759	Nomura Int'l plc – China Life European Warrants Dec 2011 A	100,000,000	2011/06/24	2011/12/05	15.00
12757	Nomura Int'l plc – Minsheng Bank European Warrants Oct 2011	100,000,000	2011/06/24	2011/10/10	15.00
12752	Nomura Int'l plc – CNOOC European Warrants Nov 2011 A	100,000,000	2011/06/24	2011/11/30	15.00
12750	Nomura Int'l plc – Sinopec European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/28	30.00
12751	Nomura Int'l plc – HKEx European Warrants Apr 2012	100,000,000	2011/06/24	2012/04/11	15.00
12747	Nomura Int'l plc – HSBC European Warrants Jan 2012	100,000,000	2011/06/24	2012/01/04	25.80
12748	Nomura Int'l plc – Hutchison European Warrants Nov 2011 A	100,000,000	2011/06/24	2011/11/11	20.50
12749	Nomura Int'l plc – JIANG European Warrants Dec 2011	100,000,000	2011/06/24	2011/12/28	26.50
12755	Nomura Int'l plc – Yanzhou Coal European Warrants Oct 2011 A	100,000,000	2011/06/24	2011/10/14	15.00
12770	UBS AG – China Mobile European Warrants Nov 2011	200,000,000	2011/06/24	2011/11/14	30.00
12769	UBS AG – China Life European Warrants Dec 2011	300,000,000	2011/06/24	2011/12/28	75.00
12763	UBS AG – A50 China European Warrants Feb 2012	200,000,000	2011/06/24	2012/02/01	30.00
12762	UBS AG – HSBC European Warrants Nov 2011	200,000,000	2011/06/24	2011/11/28	40.00
12772	UBS AG – HSI European Warrants Dec 2011 A	300,000,000	2011/06/24	2011/12/29	75.00
12773	UBS AG – HSI European Put Warrants Oct 2011 A	300,000,000	2011/06/24	2011/10/28	45.00
12771	UBS AG – Hutchison European Warrants Dec 2011	300,000,000	2011/06/24	2011/12/28	132.00
12784	HK Bank – Alibaba European Warrants Dec 2012	120,000,000	2011/06/27	2012/12/28	30.00
12785	HK Bank -BYD European Warrants Aug 2012	100,000,000	2011/06/27	2012/08/13	25.00
12789	HK Bank – C Transmission European Warrants Feb 2012	80,000,000	2011/06/27	2012/02/10	20.00
12786	HK Bank – Minsheng Bank European Warrants Dec 2011	80,000,000	2011/06/27	2011/12/28	21.60
12790	HK Bank – Ch Ship Cont European Warrants Apr 2012	80,000,000	2011/06/27	2012/04/10	20.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12787	HK Bank – China Shenhua European Warrants Dec 2011	120,000,000	2011/06/27	2011/12/28	30.00
12788	HK Bank – China Shenhua European Warrants Oct 2012	150,000,000	2011/06/27	2012/10/18	37.50
12791	HK Bank – Evergrande European Warrants Mar 2012	80,000,000	2011/06/27	2012/03/29	36.00
12792	HK Bank – Foxconn European Warrants Apr 2012 A	80,000,000	2011/06/27	2012/04/23	22.40
12794	Macquarie Bank Ltd. – Esprit European Warrants Jan 2012 A	200,000,000	2011/06/27	2012/01/04	51.00
12782	Macquarie Bank Ltd. – HSBC European Put Warrants Jan 2012	200,000,000	2011/06/27	2012/01/04	50.20
12781	Macquarie Bank Ltd. – PetroChina European Wts Jan 2012	200,000,000	2011/06/27	2012/01/04	51.80
12795	Macquarie Bank Ltd. – SHK Ppt European Warrants Jan 2012	200,000,000	2011/06/27	2012/01/04	51.60
12783	Macquarie Bank Ltd. – Tencent European Put Warrants May 2012	200,000,000	2011/06/27	2012/05/31	51.80
12774	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Nov 2011	80,000,000	2011/06/27	2011/11/17	12.08
12775	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Dec 2011	80,000,000	2011/06/27	2011/12/01	12.08
12793	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2011 A	80,000,000	2011/06/27	2011/11/21	12.56
12776	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Dec 2011	80,000,000	2011/06/27	2011/12/14	12.16
12777	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Feb 2012	80,000,000	2011/06/27	2012/02/14	20.00
12778	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2011 B	80,000,000	2011/06/27	2011/11/29	32.80
12780	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan 2012	100,000,000	2011/06/27	2012/01/30	25.00
12779	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Dec 2011	80,000,000	2011/06/27	2011/12/30	36.00
12796	Standard Chartered Bank – China Unicom European Wts Feb12	100,000,000	2011/06/27	2012/02/02	25.00
12797	Standard Chartered Bank-China Life European Wts Jan 2012	100,000,000	2011/06/27	2012/01/04	25.00
12798	Standard Chartered Bank – GCL-Poly Energy European Wts Jul14	100,000,000	2011/06/27	2014/07/31	25.00
12799	UBS AG – BYD European Warrants Dec 2011 B	100,000,000	2011/06/27	2011/12/14	15.00
12800	UBS AG – CC Bank European Warrants Dec 2011 A	200,000,000	2011/06/27	2011/12/09	50.00
12801	UBS AG – HKEx European Warrants Dec 2011 E	300,000,000	2011/06/27	2011/12/01	45.00
12802	UBS AG – Hutchison European Warrants Dec 2011 A	300,000,000	2011/06/27	2011/12/28	129.00
12811	Credit Suisse AG – CNOOC European Warrants Oct 2011 B	300,000,000	2011/06/28	2011/10/24	45.00
12803	HK Bank – Sinopec Corp European Warrants Dec 2011	100,000,000	2011/06/28	2011/12/28	25.00
12807	Macquarie Bank Ltd. – Angang Steel European Wts Jan 2012	200,000,000	2011/06/28	2012/01/04	50.60
12808	Macquarie Bank Ltd. – HSCEI European Warrants Feb 2012	388,000,000	2011/06/28	2012/02/28	97.78
12809	Macquarie Bank Ltd. – HSI European Warrants Dec 2011	388,000,000	2011/06/28	2011/12/29	64.41
12810	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2011 A	388,000,000	2011/06/28	2011/12/29	64.80
12806	Standard Chartered Bank – CC Bank European Warrants Nov 11A	100,000,000	2011/06/28	2011/11/23	24.70
12804	Standard Chartered Bank – Sinopec Corp European Wts May 2012	100,000,000	2011/06/28	2012/05/30	93.00
12805	Standard Chartered Bank – Tencent Hldg European Wts Dec 2011	100,000,000	2011/06/28	2011/12/12	27.00
12812	UBS AG – CC Bank European Warrants Dec 2011 B	200,000,000	2011/06/28	2011/12/23	68.00
29168 #	Citigroup Global Mkt H Inc. – CNOOC European Wts Oct 2011 B	120,000,000	2011/06/28	2011/10/25	11.16
12820	BNP Paribas Arbit Issu B.V. – Henganintl Euro Wts Apr 2016	100,000,000	2011/06/29	2016/04/05	25.00
12822	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2015	200,000,000	2011/06/29	2015/12/02	32.00
12821	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jan 2012 A	200,000,000	2011/06/29	2012/01/04	50.00
12823	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jan 2014	200,000,000	2011/06/29	2014/01/03	30.00
12817	HK Bank – HSI European Warrants Dec 2011 H	200,000,000	2011/06/29	2011/12/29	50.00
12819	HK Bank – HSI European Warrants Dec 2011 I	250,000,000	2011/06/29	2011/12/29	62.50
12818	HK Bank – HSI European Warrants Dec 2011 J	200,000,000	2011/06/29	2011/12/29	50.00
12816	HK Bank – HWL European Warrants Dec 2013	200,000,000	2011/06/29	2013/12/16	30.00
12813	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jan 2012	80,000,000	2011/06/29	2012/01/03	12.08

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12814	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2012 A	80,000,000	2011/06/29	2012/12/17	12.16
12815	Merrill Lynch Int'l & Co. C.V. – Tencent Eur Put Wt Feb 2012	80,000,000	2011/06/29	2012/02/20	20.00
12838	Barclays Bank plc – China Mobile European Wts Jan 2012	100,000,000	2011/06/30	2012/01/26	25.00
12837	Barclays Bank plc – CC Bank European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	30.50
12840	Barclays Bank plc – China Life European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	25.10
12836	Barclays Bank plc – CNOOC European Warrants Jul 2012 A	100,000,000	2011/06/30	2012/07/03	25.00
12834	Barclays Bank plc – HSBC European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	25.20
12835	Barclays Bank plc – Hutchison European Warrants Nov 2011	100,000,000	2011/06/30	2011/11/10	27.50
12839	Barclays Bank plc – ICBC European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/26	25.00
12824	BNP Paribas Arbit Issu B.V. – HK Gas European Warrants Sep12	95,000,000	2011/06/30	2012/09/04	23.75
12831	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Jun12	80,000,000	2011/06/30	2012/06/11	20.00
12832	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants May13	80,000,000	2011/06/30	2013/05/20	20.00
12828	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts May 2012	80,000,000	2011/06/30	2012/05/21	22.80
12825	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2012	80,000,000	2011/06/30	2012/02/15	26.40
12826	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2012	80,000,000	2011/06/30	2012/03/12	24.00
12827	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2012	80,000,000	2011/06/30	2012/04/16	20.40
12830	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt May 2013	80,000,000	2011/06/30	2013/05/13	20.00
12833	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Dec 2013	80,000,000	2011/06/30	2013/12/11	20.00
12829	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun 2012	80,000,000	2011/06/30	2012/06/18	26.80
12845	Standard Chartered Bank – BOCL European Warrants Jan 2012	100,000,000	2011/06/30	2012/01/30	29.00
12844	Standard Chartered Bank – China Mobile Euro Wts Nov 2011	100,000,000	2011/06/30	2011/11/30	39.00
12841	UBS AG – CPIC European Warrants Dec 2011	100,000,000	2011/06/30	2011/12/30	25.00
12843	UBS AG – HSI European Warrants Dec 2011 B	300,000,000	2011/06/30	2011/12/29	45.00
12842	UBS AG – Tencent Holdings European Warrants Nov 2011	200,000,000	2011/06/30	2011/11/21	30.00
11337 #	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Oct 2011	200,000,000	2011/06/30	2011/10/28	10.20
22971 #	Credit Suisse AG – HSBC European Wts Dec 2011	600,000,000	2011/06/30	2011/12/12	21.60
Total					59,695.48

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2010	Q2	–	1.22
	Q3	–	0.05
	Q4	–	0.10
2011	Q1	–	1.96
	Q2	–	0.60

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2010	Q2	158	426,338.89
	Q3	160	440,113.92
	Q4	169	488,418.71
2011	Q1	170	506,219.59
	Q2	173	523,451.54

New Listing Statistics - Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2010	Q2	6	28,133.65
	Q3	7	21,025.02
	Q4	16	60,126.80
2011	Q1	7	24,958.35
	Q2	13	43,572.09

Main Board Debt Securities

Newly Listed Debt Securities

for 2nd quarter 2011

Code	Debt securities	Principal		Listing date	Maturity	Amount raised (HK\$ mil.)
86003	HKCG (Finance) Ltd. 1.40% Fixed Rate Notes 2016	RMB	1,000,000,000	2011/04/11	2016/04/11	1,188.50
04509	Hong Kong Mortgage Corporation Ltd. Floating Rate Notes 2013	USD	450,000,000	2011/04/18	2013/04/15	3,504.35
04510	CITIC Pacific Ltd. 6.625% Notes 2021	USD	500,000,000	2011/04/18	2021/04/15	3,900.00
04511	CITIC Pacific Ltd. 7.875% Perpetual Subordinated Capital Sec	USD	750,000,000	2011/04/18	Perpetual	5,850.00
04512	CNPC (HK) Overseas Capital Ltd. 3.125% Guaranteed Senior N 2016	USD	700,000,000	2011/04/29	2016/04/28	5,403.65
04513	CNPC (HK) Overseas Capital Ltd. 4.50% Guaranteed Senior N 2021	USD	650,000,000	2011/04/29	2021/04/28	4,954.25
04514	CNPC (HK) Overseas Capital Ltd. 5.95% Guaranteed Senior N 2041	USD	500,000,000	2011/04/29	2041/04/28	3,814.67
04515	China Huiyuan Juice Group Ltd. 4% Convertible Bonds 2016	USD	150,000,000	2011/05/03	2016/04/29	1,170.00
04206 #	The Govt of the HKSAR of the PRC 1.43% Bonds 2015	HKD	2,500,000,000	2011/05/05	2015/12/02	2,477.00
04516	China Resources Power East Foundation Co., Ltd. Perpetual Subordinated Guaranteed Capital Securities	USD	750,000,000	2011/05/11	Perpetual	5,850.00
04517	China Power International Development Ltd. USD Settled 2.25% Convertible Bonds 2016	RMB	982,000,000	2011/05/17	2016/05/17	1,174.96
04014	Hong Kong Monetary Authority 0.59% Exchange Fund Notes 2013	HKD	1,200,000,000	2011/05/19	2013/05/20	1,202.40
04191 #	Hong Kong Monetary Authority 2.46% Exchange Fund Notes 2014	HKD	1,200,000,000	2011/06/13	2014/06/23	1,264.80
04015	Hong Kong Monetary Authority 1.57% Exchange Fund Notes 2016	HKD	1,000,000,000	2011/06/14	2016/06/13	1,011.90
04016	Hong Kong Monetary Authority 2.31% Exchange Fund Notes 2021	HKD	800,000,000	2011/06/21	2021/06/21	805.60
Total						43,572.09

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total (HK\$ mil.)
2010	Q2	136,150.74	10,809.77	176.89	147,137.40	3.94
	Q3	137,121.96	10,860.01	182.56	148,164.53	3.77
	Q4	222,632.25	13,000.51	234.47	235,867.23	4.29
2011	Q1	158,281.12	13,623.13	220.01	172,124.26	3.67
	Q2	127,347.26	16,652.86	105.65	144,105.78	3.45

Note: 1. Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2010	Q2	62	584,399.19	8	82,806.33	2	2,617.20	72	669,822.73
	Q3	66	647,219.69	8	95,248.94	2	2,972.33	76	745,440.96
	Q4	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	Q1	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
	Q2	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2010	Q2	2	–
	Q3	4	–
	Q4	3	–
2011	Q1	3	–
	Q2	5	–

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 2nd quarter 2011

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised (HK\$ mil)	Listing date
03088	HSBC MSCI Golden Dragon ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03000	HSBC MSCI Hong Kong ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03033	HSBC MSCI China ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03083	HSBC MSCI Taiwan ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
87001	Hui Xian Real Estate Investment Trust	Hui Xian Asset Management Ltd.	RMB 5.24	RMB 10,480.00 (equivalent to HKD 12,507.88)	2011/04/29

Main Board Callable Bull/Bear Contracts

Callable Bull / Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2010	Q2	1,020	43,060.09	299,639.52
	Q3	974	40,872.16	351,106.38
	Q4	1,064	49,816.76	514,510.75
2011	Q1	974	43,399.41	367,097.05
	Q2	711	32,550.52	325,829.26

New Listings Callable Bull / Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2010	Q2	1,473	74,636.30
	Q3	1,369	73,903.10
	Q4	1,813	107,647.20
2011	Q1	1,449	80,829.55
	Q2	931	54,073.65

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull / Bear Contracts

for 2nd quarter 2011

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63545	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 N	200,000,000	2011/04/01	2011/12/29	50.00
63546	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Z	200,000,000	2011/04/01	2011/12/29	50.00
63537	Credit Suisse AG - HSI R Bull CBBC Oct 2011 M	210,000,000	2011/04/01	2011/10/28	52.50
63538	Credit Suisse AG - HSI R Bull CBBC Oct 2011 N	210,000,000	2011/04/01	2011/10/28	52.50
63536	Credit Suisse AG - HSI R Bear CBBC Jul 2011 G	210,000,000	2011/04/01	2011/07/28	52.50
63549	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 E	80,000,000	2011/04/01	2011/09/20	20.00
63542	Deutsche Bank AG - HSCEI R Bull CBBC Oct 2011 A	80,000,000	2011/04/01	2011/10/28	20.00
63543	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/04/01	2011/10/28	75.00
63544	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 R	300,000,000	2011/04/01	2011/11/29	81.00
63547	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 Y	208,000,000	2011/04/01	2011/07/28	52.00
63548	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 I	248,000,000	2011/04/01	2011/08/30	62.00
63533	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11G	300,000,000	2011/04/01	2011/08/30	75.00
63539	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11S	300,000,000	2011/04/01	2011/08/30	75.00
63540	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Z	300,000,000	2011/04/01	2011/08/30	75.00
63534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11M	300,000,000	2011/04/01	2011/07/28	75.00
63541	UBS AG - HSI R Bull CBBC Jul 2011 P	300,000,000	2011/04/01	2011/07/28	75.00
63535	UBS AG - HSI R Bear CBBC Aug 2011 I	300,000,000	2011/04/01	2011/08/30	75.00
63550	Credit Suisse AG - A50 China R Bull CBBC Aug 2011 B	100,000,000	2011/04/04	2011/08/29	25.00
63551	Credit Suisse AG - HSI R Bear CBBC Jul 2011 H	210,000,000	2011/04/04	2011/07/28	52.50
63562	Deutsche Bank AG - DJIA R Bull CBBC Dec 2011 C	80,000,000	2011/04/04	2011/12/19	20.00
63553	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 G	300,000,000	2011/04/04	2011/12/29	75.00
63554	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 W	300,000,000	2011/04/04	2011/08/30	75.00
63555	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 V	300,000,000	2011/04/04	2011/09/29	84.00
63556	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 J	300,000,000	2011/04/04	2011/10/28	90.00
63557	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 S	300,000,000	2011/04/04	2011/11/29	99.00
63558	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 T	300,000,000	2011/04/04	2011/11/29	105.00
63560	UBS AG - CC Bank R Bear CBBC Sep 2011 B	300,000,000	2011/04/04	2011/09/12	75.00
63552	UBS AG - HSI R Bull CBBC Jul 2011 M	300,000,000	2011/04/04	2011/07/28	75.00
63559	UBS AG - HSI R Bull CBBC Sep 2011 I	300,000,000	2011/04/04	2011/09/29	75.00
63561	UBS AG - HSI R Bear CBBC Jul 2011 A	300,000,000	2011/04/04	2011/07/28	75.00
67262#	Credit Suisse AG - Hutchison R Bull CBBC Jun 2011 B	200,000,000	2011/04/04	2011/06/07	21.20
63567	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 U	200,000,000	2011/04/06	2011/07/28	50.00
63563	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 R	300,000,000	2011/04/06	2011/08/30	75.00
63564	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 X	300,000,000	2011/04/06	2011/09/29	114.00
63565	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 U	300,000,000	2011/04/06	2011/11/29	108.00
63566	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 V	300,000,000	2011/04/06	2011/11/29	126.00
63569	UBS AG - HSI R Bear CBBC Jul 2011 O	300,000,000	2011/04/06	2011/07/28	75.00
63568	UBS AG - TCH R Bear CBBC Jul 2011 D	100,000,000	2011/04/06	2011/07/25	25.00
63592	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 A	200,000,000	2011/04/07	2011/12/29	50.00
63590	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/04/07	2011/12/29	50.00
63593	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 X	200,000,000	2011/04/07	2011/12/29	50.00
63589	Credit Suisse AG - HSI R Bull CBBC Sep 2011 H	210,000,000	2011/04/07	2011/09/29	52.50
63587	Credit Suisse AG - HSI R Bear CBBC Jul 2011 Q	210,000,000	2011/04/07	2011/07/28	52.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63603	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 Z	300,000,000	2011/04/07	2011/07/28	81.00
63604	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 X	300,000,000	2011/04/07	2011/08/30	75.00
63583	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 H	300,000,000	2011/04/07	2011/12/29	105.00
63582	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 I	300,000,000	2011/04/07	2011/10/28	75.00
63597	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 U	200,000,000	2011/04/07	2011/07/28	50.00
63598	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 V	200,000,000	2011/04/07	2011/07/28	50.00
63602	J P Morgan SP BV - HSI R Bull CBBC Jul 2011 W	200,000,000	2011/04/07	2011/07/28	50.00
63596	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2011 C	248,000,000	2011/04/07	2011/09/29	62.00
63570	SGA Societe Generale Acceptance N.V. - CMob R Bull CBBC Sep11A	300,000,000	2011/04/07	2011/09/26	75.00
63574	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Sep11A	150,000,000	2011/04/07	2011/09/26	37.50
63571	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC Sep11A	150,000,000	2011/04/07	2011/09/26	48.00
63572	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC Sep11B	150,000,000	2011/04/07	2011/09/26	37.50
63573	SGA Societe Generale Acceptance N.V. - HSBC R Bear CBBC Sep11A	300,000,000	2011/04/07	2011/09/26	75.00
63586	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11W	300,000,000	2011/04/07	2011/08/30	75.00
63585	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11X	300,000,000	2011/04/07	2011/08/30	75.00
63580	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/04/07	2011/08/30	75.00
63579	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/04/07	2011/08/30	75.00
63575	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Sep11A	100,000,000	2011/04/07	2011/09/26	28.00
63577	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Sep11B	100,000,000	2011/04/07	2011/09/26	39.00
63594	UBS AG - HSI R Bull CBBC Jul 2011 F	300,000,000	2011/04/07	2011/07/28	75.00
63595	UBS AG - HSI R Bull CBBC Aug 2011 J	300,000,000	2011/04/07	2011/08/30	75.00
63581	UBS AG - HSI R Bear CBBC Aug 2011 K	300,000,000	2011/04/07	2011/08/30	75.00
63611	Credit Suisse AG - HSI R Bull CBBC Sep 2011 E	210,000,000	2011/04/08	2011/09/29	52.50
63606	Credit Suisse AG - HSI R Bull CBBC Oct 2011 O	210,000,000	2011/04/08	2011/10/28	52.50
63605	Credit Suisse AG - HSI R Bear CBBC Jul 2011 S	210,000,000	2011/04/08	2011/07/28	52.50
63609	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 W	300,000,000	2011/04/08	2011/11/29	75.00
63613	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 D	250,000,000	2011/04/08	2011/08/30	62.50
63614	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 E	250,000,000	2011/04/08	2011/08/30	62.50
63616	UBS AG - HSI R Bull CBBC Jul 2011 S	300,000,000	2011/04/08	2011/07/28	75.00
63612	UBS AG - HSI R Bear CBBC Jul 2011 K	300,000,000	2011/04/08	2011/07/28	75.00
63667	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 P	200,000,000	2011/04/11	2011/12/29	50.00
63666	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/04/11	2011/12/29	50.00
63665	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/04/11	2011/12/29	50.00
63640	Credit Suisse AG - China Mobile R Bull CBBC Sep 2011 A	100,000,000	2011/04/11	2011/09/26	25.00
63645	Credit Suisse AG - China Mobile R Bear CBBC Sep 2011 B	100,000,000	2011/04/11	2011/09/26	25.00
63651	Credit Suisse AG - HSI R Bull CBBC Oct 2011 P	210,000,000	2011/04/11	2011/10/28	52.50
63652	Credit Suisse AG - HSI R Bull CBBC Oct 2011 Q	210,000,000	2011/04/11	2011/10/28	52.50
63619	Credit Suisse AG - HSI R Bull CBBC Nov 2011 D	210,000,000	2011/04/11	2011/11/29	52.50
63620	Credit Suisse AG - HSI R Bear CBBC Jul 2011 A	210,000,000	2011/04/11	2011/07/28	52.50
63643	Credit Suisse AG - Hutchison R Bear CBBC Aug 2011 A	100,000,000	2011/04/11	2011/08/29	25.00
63621	Credit Suisse AG - TCH R Bull CBBC Sep 2011 A	100,000,000	2011/04/11	2011/09/26	34.00
63644	Credit Suisse AG - TCH R Bear CBBC Aug 2011 C	100,000,000	2011/04/11	2011/08/29	25.00
63639	Deutsche Bank AG - China Life R Bull CBBC Sep 2011 D	80,000,000	2011/04/11	2011/09/27	20.00
63631	Deutsche Bank AG - HSCEI R Bull CBBC Nov 2011 A	80,000,000	2011/04/11	2011/11/29	20.00
63636	Deutsche Bank AG - HSCEI R Bear CBBC Oct 2011 B	80,000,000	2011/04/11	2011/10/28	23.20

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63676	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 Q	300,000,000	2011/04/11	2011/07/28	78.00
63677	Deutsche Bank AG - HSI R Bull CBBC Aug 2011 Z	300,000,000	2011/04/11	2011/08/30	75.00
63637	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 X	300,000,000	2011/04/11	2011/11/29	75.00
63678	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 L	300,000,000	2011/04/11	2011/07/28	75.00
63638	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 Y	300,000,000	2011/04/11	2011/11/29	75.00
63626	HK Bank - HSI R Bull CBBC Sep 2011 L	100,000,000	2011/04/11	2011/09/29	25.00
63669	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jul 2011 Z	208,000,000	2011/04/11	2011/07/28	52.00
63675	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 J	248,000,000	2011/04/11	2011/08/30	62.00
63615	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Y	300,000,000	2011/04/11	2011/08/30	75.00
63617	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11J	300,000,000	2011/04/11	2011/07/28	75.00
63653	UBS AG - ABC R Bull CBBC Sep 2011 B	100,000,000	2011/04/11	2011/09/12	25.00
63662	UBS AG - ABC R Bear CBBC Sep 2011 C	100,000,000	2011/04/11	2011/09/26	25.00
63664	UBS AG - CNOOC R Bear CBBC Sep 2011 A	100,000,000	2011/04/11	2011/09/12	25.00
63655	UBS AG - HSI R Bull CBBC Jul 2011 J	300,000,000	2011/04/11	2011/07/28	75.00
63658	UBS AG - HSI R Bull CBBC Jul 2011 Y	300,000,000	2011/04/11	2011/07/28	75.00
63661	UBS AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/04/11	2011/08/30	75.00
63650	UBS AG - HSI R Bear CBBC Jul 2011 E	300,000,000	2011/04/11	2011/07/28	75.00
63646	UBS AG - HSI R Bear CBBC Aug 2011 L	300,000,000	2011/04/11	2011/08/30	75.00
63649	UBS AG - HSI R Bear CBBC Sep 2011 J	300,000,000	2011/04/11	2011/09/29	75.00
63708	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 H	200,000,000	2011/04/12	2011/09/29	50.00
63709	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 I	200,000,000	2011/04/12	2011/09/29	50.00
63710	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 M	200,000,000	2011/04/12	2011/12/29	50.00
63725	Credit Suisse AG - HKEx R Bull CBBC Aug 2011 B	100,000,000	2011/04/12	2011/08/29	25.00
63731	Credit Suisse AG - HKEx R Bear CBBC Aug 2011 C	100,000,000	2011/04/12	2011/08/29	26.00
63726	Credit Suisse AG - HSI R Bull CBBC Sep 2011 I	210,000,000	2011/04/12	2011/09/29	52.50
63729	Credit Suisse AG - HSI R Bull CBBC Oct 2011 R	210,000,000	2011/04/12	2011/10/28	52.50
63730	Credit Suisse AG - HSI R Bull CBBC Nov 2011 E	210,000,000	2011/04/12	2011/11/29	52.50
63705	Credit Suisse AG - HSI R Bear CBBC Jul 2011 H	210,000,000	2011/04/12	2011/07/28	52.50
63706	Credit Suisse AG - HSI R Bear CBBC Jul 2011 Z	210,000,000	2011/04/12	2011/07/28	52.50
63735	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 F	80,000,000	2011/04/12	2011/09/27	20.00
63736	Deutsche Bank AG - A50 China R Bear CBBC Sep 2011 G	80,000,000	2011/04/12	2011/09/27	27.20
63733	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 W	300,000,000	2011/04/12	2011/09/29	75.00
63734	Deutsche Bank AG - HSI R Bull CBBC Nov 2011 A	300,000,000	2011/04/12	2011/11/29	84.00
63712	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 H	300,000,000	2011/04/12	2011/08/30	81.00
63711	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 I	300,000,000	2011/04/12	2011/08/30	75.00
63713	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 Y	300,000,000	2011/04/12	2011/09/29	90.00
63717	Deutsche Bank AG - HSI R Bear CBBC Oct 2011 L	300,000,000	2011/04/12	2011/10/28	99.00
63691	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/04/12	2011/11/29	75.00
63692	HK Bank - CNOOC R Bull CBBC Oct 2011 A	80,000,000	2011/04/12	2011/10/28	20.00
63697	HK Bank - CNOOC R Bear CBBC Oct 2011 B	80,000,000	2011/04/12	2011/10/28	32.80
63694	HK Bank - HSI R Bull CBBC Oct 2011 B	100,000,000	2011/04/12	2011/10/28	25.00
63724	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 K	168,000,000	2011/04/12	2011/08/30	42.00
63698	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2011 A	300,000,000	2011/04/12	2011/09/29	75.00
63699	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2011 A	300,000,000	2011/04/12	2011/08/30	75.00
63737	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 F	250,000,000	2011/04/12	2011/08/30	62.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63738	RB of Scotland N.V. - HSI R Bull CBBC Aug 2011 G	250,000,000	2011/04/12	2011/08/30	62.50
63680	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11F	300,000,000	2011/04/12	2011/09/29	75.00
63688	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11G	300,000,000	2011/04/12	2011/09/29	75.00
63689	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11H	300,000,000	2011/04/12	2011/09/29	75.00
63690	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11I	300,000,000	2011/04/12	2011/09/29	75.00
63700	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/04/12	2011/09/29	75.00
63703	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/04/12	2011/09/29	75.00
63704	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11M	300,000,000	2011/04/12	2011/07/28	75.00
63718	UBS AG - HKEx R Bull CBBC Sep 2011 B	100,000,000	2011/04/12	2011/09/19	25.00
63723	UBS AG - HKEx R Bear CBBC Sep 2011 C	100,000,000	2011/04/12	2011/09/26	25.00
63722	UBS AG - HSI R Bull CBBC Jul 2011 V	300,000,000	2011/04/12	2011/07/28	75.00
63720	UBS AG - HSI R Bull CBBC Jul 2011 Z	300,000,000	2011/04/12	2011/07/28	75.00
63695	UBS AG - HSI R Bear CBBC Jul 2011 L	300,000,000	2011/04/12	2011/07/28	75.00
63719	UBS AG - ICBC R Bull CBBC Oct 2011 A	100,000,000	2011/04/12	2011/10/10	25.00
63745	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 C	200,000,000	2011/04/13	2011/09/29	50.00
63747	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 D	200,000,000	2011/04/13	2011/09/29	50.00
63751	Credit Suisse AG - HSI R Bull CBBC Sep 2011 J	210,000,000	2011/04/13	2011/09/29	52.50
63743	Credit Suisse AG - HSI R Bull CBBC Nov 2011 F	210,000,000	2011/04/13	2011/11/29	52.50
63744	Credit Suisse AG - HSI R Bear CBBC Jul 2011 G	210,000,000	2011/04/13	2011/07/28	52.50
63742	Credit Suisse AG - TCH R Bull CBBC Aug 2011 D	100,000,000	2011/04/13	2011/08/29	25.00
63746	Credit Suisse AG - TCH R Bear CBBC Aug 2011 E	100,000,000	2011/04/13	2011/08/29	25.00
63748	Deutsche Bank AG - HSI R Bull CBBC Sep 2011 U	300,000,000	2011/04/13	2011/09/29	75.00
63749	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 K	300,000,000	2011/04/13	2011/10/28	87.00
63770	Deutsche Bank AG - HSI R Bear CBBC Sep 2011 S	300,000,000	2011/04/13	2011/09/29	75.00
63750	Deutsche Bank AG - HSI R Bear CBBC Nov 2011 E	300,000,000	2011/04/13	2011/11/29	75.00
63759	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 A	200,000,000	2011/04/13	2011/08/30	50.00
63760	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 B	200,000,000	2011/04/13	2011/08/30	50.00
63766	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 C	200,000,000	2011/04/13	2011/08/30	50.00
63767	J P Morgan SP BV - HSI R Bull CBBC Aug 2011 D	200,000,000	2011/04/13	2011/08/30	50.00
63756	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 L	248,000,000	2011/04/13	2011/08/30	62.00
63772	RB of Scotland N.V. - HSCEI R Bear CBBC Aug 2011 A	250,000,000	2011/04/13	2011/08/30	62.50
63773	RB of Scotland N.V. - HSCEI R Bear CBBC Aug 2011 B	250,000,000	2011/04/13	2011/08/30	62.50
63771	RB of Scotland N.V. - Ping An R Bull CBBC Oct 2011 A	80,000,000	2011/04/13	2011/10/10	20.00
63740	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11L	300,000,000	2011/04/13	2011/09/29	75.00
63741	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11M	300,000,000	2011/04/13	2011/09/29	75.00
63753	UBS AG - A50 China R Bull CBBC Sep 2011 D	100,000,000	2011/04/13	2011/09/12	25.00
63754	UBS AG - HSI R Bull CBBC Jul 2011 W	300,000,000	2011/04/13	2011/07/28	75.00
63755	UBS AG - HSI R Bull CBBC Aug 2011 M	300,000,000	2011/04/13	2011/08/30	75.00
63739	UBS AG - HSI R Bear CBBC Aug 2011 O	300,000,000	2011/04/13	2011/08/30	75.00
63752	UBS AG - Hutchison R Bull CBBC Sep 2011 A	100,000,000	2011/04/13	2011/09/19	25.00
63789	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 P	200,000,000	2011/04/14	2011/07/28	50.00
63790	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 Q	200,000,000	2011/04/14	2011/07/28	50.00
63788	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 R	200,000,000	2011/04/14	2011/07/28	50.00
63787	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 S	200,000,000	2011/04/14	2011/07/28	50.00
63786	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 T	200,000,000	2011/04/14	2011/07/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63775	Credit Suisse AG - A50 China R Bull CBBC Sep 2011 A	100,000,000	2011/04/14	2011/09/26	25.00
63779	Credit Suisse AG - A50 China R Bear CBBC Sep 2011 B	100,000,000	2011/04/14	2011/09/26	25.00
63776	Credit Suisse AG - HSI R Bear CBBC Jul 2011 W	210,000,000	2011/04/14	2011/07/28	52.50
63792	Deutsche Bank AG - China Mobile R Bull CBBC Sep 2011 C	80,000,000	2011/04/14	2011/09/29	20.00
63791	Deutsche Bank AG - China Life R Bull CBBC Sep 2011 E	80,000,000	2011/04/14	2011/09/29	20.00
63794	Deutsche Bank AG - A50 China R Bull CBBC Sep 2011 H	80,000,000	2011/04/14	2011/09/29	20.00
63793	Deutsche Bank AG - HSBC R Bull CBBC Sep 2011 G	80,000,000	2011/04/14	2011/09/15	20.00
63774	Deutsche Bank AG - HSI R Bull CBBC Dec 2011 I	300,000,000	2011/04/14	2011/12/29	75.00
63778	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 D	300,000,000	2011/04/14	2011/08/30	75.00
63777	Deutsche Bank AG - HSI R Bear CBBC Aug 2011 T	300,000,000	2011/04/14	2011/08/30	84.00
63781	UBS AG - China Life R Bull CBBC Sep 2011 D	100,000,000	2011/04/14	2011/09/19	25.50
63783	UBS AG - HSI R Bull CBBC Sep 2011 K	300,000,000	2011/04/14	2011/09/29	75.00
63784	UBS AG - HSI R Bull CBBC Oct 2011 C	300,000,000	2011/04/14	2011/10/28	75.00
63780	UBS AG - Ping An R Bull CBBC Sep 2011 A	100,000,000	2011/04/14	2011/09/26	25.00
63785	UBS AG - Ping An R Bear CBBC Sep 2011 B	100,000,000	2011/04/14	2011/09/26	25.00
63782	UBS AG - TCH R Bull CBBC Sep 2011 E	100,000,000	2011/04/14	2011/09/26	25.00
63810	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 F	200,000,000	2011/04/15	2011/09/29	50.00
63811	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 K	200,000,000	2011/04/15	2011/09/29	50.00
63800	Credit Suisse AG - HSI R Bull CBBC Sep 2011 N	210,000,000	2011/04/15	2011/09/29	52.50
63799	Credit Suisse AG - HSI R Bear CBBC Jul 2011 R	210,000,000	2011/04/15	2011/07/28	52.50
63809	Deutsche Bank AG - A50 China R Bear CBBC Oct 2011 A	80,000,000	2011/04/15	2011/10/11	20.00
63808	Deutsche Bank AG - HSI R Bull CBBC Oct 2011 Q	300,000,000	2011/04/15	2011/10/28	75.00
63796	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 O	300,000,000	2011/04/15	2011/07/28	75.00
63807	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 M	248,000,000	2011/04/15	2011/08/30	62.00
63797	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11N	300,000,000	2011/04/15	2011/09/29	75.00
63798	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11O	300,000,000	2011/04/15	2011/09/29	75.00
63801	UBS AG - HSI R Bull CBBC Aug 2011 A	300,000,000	2011/04/15	2011/08/30	75.00
63802	UBS AG - HSI R Bull CBBC Nov 2011 A	300,000,000	2011/04/15	2011/11/29	75.00
63795	UBS AG - HSI R Bear CBBC Jul 2011 X	300,000,000	2011/04/15	2011/07/28	75.00
63806	UBS AG - HSI R Bear CBBC Sep 2011 L	300,000,000	2011/04/15	2011/09/29	75.00
63830	Credit Suisse AG - HSI R Bull CBBC Nov 2011 G	210,000,000	2011/04/18	2011/11/29	52.50
63831	Credit Suisse AG - HSI R Bear CBBC Aug 2011 V	210,000,000	2011/04/18	2011/08/30	52.50
63832	Credit Suisse AG - HSI R Bear CBBC Oct 2011 S	210,000,000	2011/04/18	2011/10/28	56.70
63833	Credit Suisse AG - HSI R Bear CBBC Nov 2011 H	210,000,000	2011/04/18	2011/11/29	52.50
63834	Credit Suisse AG - HSI R Bear CBBC Nov 2011 I	210,000,000	2011/04/18	2011/11/29	52.50
63844	Deutsche Bank AG - A50 China R Bear CBBC Oct 2011 B	80,000,000	2011/04/18	2011/10/18	20.00
63829	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 K	300,000,000	2011/04/18	2011/07/28	75.00
63828	HK Bank - HSI R Bull CBBC Oct 2011 C	100,000,000	2011/04/18	2011/10/28	25.00
63813	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 E	200,000,000	2011/04/18	2011/08/30	50.00
63814	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 F	200,000,000	2011/04/18	2011/08/30	50.00
63815	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 G	200,000,000	2011/04/18	2011/08/30	50.00
63816	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 H	200,000,000	2011/04/18	2011/08/30	50.00
63817	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 I	200,000,000	2011/04/18	2011/08/30	50.00
63818	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 J	200,000,000	2011/04/18	2011/08/30	50.00
63819	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 K	200,000,000	2011/04/18	2011/08/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63820	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 L	200,000,000	2011/04/18	2011/08/30	50.00
63822	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 M	200,000,000	2011/04/18	2011/08/30	50.00
63823	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 N	200,000,000	2011/04/18	2011/08/30	50.00
63824	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 O	200,000,000	2011/04/18	2011/08/30	57.00
63825	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 P	200,000,000	2011/04/18	2011/08/30	50.00
63826	J P Morgan SP BV - HSI R Bear CBBC Aug 2011 Q	200,000,000	2011/04/18	2011/08/30	67.00
63812	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11G	300,000,000	2011/04/18	2011/07/28	75.00
63835	UBS AG - HKEx R Bull CBBC Sep 2011 D	100,000,000	2011/04/18	2011/09/26	25.00
63836	UBS AG - HKEx R Bear CBBC Oct 2011 A	100,000,000	2011/04/18	2011/10/10	30.00
63837	UBS AG - HSI R Bull CBBC Jul 2011 A	300,000,000	2011/04/18	2011/07/28	75.00
63838	UBS AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/04/18	2011/10/28	75.00
63839	UBS AG - HSI R Bear CBBC Sep 2011 M	300,000,000	2011/04/18	2011/09/29	75.00
63840	UBS AG - HSI R Bear CBBC Sep 2011 N	300,000,000	2011/04/18	2011/09/29	75.00
63842	UBS AG - HSI R Bear CBBC Oct 2011 E	300,000,000	2011/04/18	2011/10/28	85.50
63843	UBS AG - HSI R Bear CBBC Nov 2011 B	300,000,000	2011/04/18	2011/11/29	84.00
63863	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 E	200,000,000	2011/04/19	2011/07/28	50.00
63860	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 W	200,000,000	2011/04/19	2011/07/28	50.00
63857	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 X	200,000,000	2011/04/19	2011/07/28	50.00
63847	Credit Suisse AG - HSI R Bull CBBC Oct 2011 T	210,000,000	2011/04/19	2011/10/28	52.50
63846	Credit Suisse AG - HSI R Bear CBBC Jul 2011 E	210,000,000	2011/04/19	2011/07/28	52.50
63865	Credit Suisse AG - TCH R Bear CBBC Aug 2011 F	100,000,000	2011/04/19	2011/08/29	25.00
63880	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 X	300,000,000	2011/04/19	2011/07/28	75.00
63853	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 V	300,000,000	2011/04/19	2011/07/28	81.00
63854	Deutsche Bank AG - HSI R Bear CBBC Jul 2011 W	300,000,000	2011/04/19	2011/07/28	75.00
63869	HK Bank - ABC R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.00
63875	HK Bank - ABC R Bear CBBC Oct 2011 B	80,000,000	2011/04/19	2011/10/28	20.00
63870	HK Bank - CC Bank R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.00
63871	HK Bank - CM Bank R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.80
63876	HK Bank - CM Bank R Bear CBBC Oct 2011 B	80,000,000	2011/04/19	2011/10/28	24.80
63872	HK Bank - Sinopec Corp R Bull CBBC Oct 2011 B	80,000,000	2011/04/19	2011/10/28	20.00
63873	HK Bank - ICBC R Bull CBBC Oct 2011 A	80,000,000	2011/04/19	2011/10/28	20.00
63845	UBS AG - HSI R Bull CBBC Jul 2011 O	300,000,000	2011/04/19	2011/07/28	75.00
63878	UBS AG - HSI R Bear CBBC Jul 2011 K	300,000,000	2011/04/19	2011/07/28	75.00
63877	UBS AG - HSI R Bear CBBC Jul 2011 N	300,000,000	2011/04/19	2011/07/28	75.00
63896	BOCI Asia Ltd. - HSI R Bull CBBC Jul 2011 A	100,000,000	2011/04/20	2011/07/28	25.00
63900	BOCI Asia Ltd. - HSI R Bear CBBC Jul 2011 B	100,000,000	2011/04/20	2011/07/28	25.00
63917	Credit Suisse AG - HSI R Bull CBBC Oct 2011 V	210,000,000	2011/04/20	2011/10/28	52.50
63899	Credit Suisse AG - HSI R Bear CBBC Oct 2011 U	210,000,000	2011/04/20	2011/10/28	52.50
63898	Credit Suisse AG - TCH R Bull CBBC Sep 2011 B	100,000,000	2011/04/20	2011/09/26	25.00
63883	Deutsche Bank AG - China Life R Bear CBBC Sep 2011 F	100,000,000	2011/04/20	2011/09/27	33.00
63884	Deutsche Bank AG - HSBC R Bear CBBC Sep 2011 H	100,000,000	2011/04/20	2011/09/27	25.00
63918	Deutsche Bank AG - HSI R Bull CBBC Jul 2011 T	300,000,000	2011/04/20	2011/07/28	75.00
63901	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11P	300,000,000	2011/04/20	2011/09/29	75.00
63902	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11Q	300,000,000	2011/04/20	2011/09/29	75.00
63886	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11J	300,000,000	2011/04/20	2011/07/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63887	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul11M	300,000,000	2011/04/20	2011/07/28	75.00
63895	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/04/20	2011/08/30	75.00
63888	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/04/20	2011/08/30	75.00
63905	UBS AG - HSI R Bull CBBC Jul 2011 E	300,000,000	2011/04/20	2011/07/28	75.00
63915	UBS AG - HSI R Bear CBBC Jul 2011 V	300,000,000	2011/04/20	2011/07/28	75.00
63906	UBS AG - HSI R Bear CBBC Aug 2011 R	300,000,000	2011/04/20	2011/08/30	75.00
63909	UBS AG - HSI R Bear CBBC Sep 2011 O	300,000,000	2011/04/20	2011/09/29	75.00
63910	UBS AG - HSI R Bear CBBC Nov 2011 C	300,000,000	2011/04/20	2011/11/29	75.00
63924	Credit Suisse AG - HSI R Bull CBBC Sep 2011 M	210,000,000	2011/04/21	2011/09/29	52.50
63920	Credit Suisse AG - HSI R Bear CBBC Jul 2011 G	210,000,000	2011/04/21	2011/07/28	52.50
63919	UBS AG - HSI R Bull CBBC Jul 2011 L	300,000,000	2011/04/21	2011/07/28	75.00
63930	UBS AG - HSI R Bear CBBC Jul 2011 W	300,000,000	2011/04/21	2011/07/28	75.00
63931	Credit Suisse AG - HSI R Bull CBBC Sep 2011 L	210,000,000	2011/04/26	2011/09/29	52.50
63932	UBS AG - CC Bank R Bear CBBC Oct 2011 A	100,000,000	2011/04/26	2011/10/03	25.00
63933	UBS AG - ICBC R Bear CBBC Sep 2011 A	100,000,000	2011/04/26	2011/09/26	25.00
63939	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 H	200,000,000	2011/04/27	2011/07/28	50.00
63940	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 K	200,000,000	2011/04/27	2011/07/28	50.00
63937	UBS AG - HSI R Bull CBBC Aug 2011 S	300,000,000	2011/04/27	2011/08/30	75.00
63935	UBS AG - HSI R Bull CBBC Sep 2011 P	300,000,000	2011/04/27	2011/09/29	75.00
63936	UBS AG - HSI R Bull CBBC Sep 2011 Q	300,000,000	2011/04/27	2011/09/29	75.00
63934	UBS AG - HSI R Bear CBBC Aug 2011 N	300,000,000	2011/04/27	2011/08/30	75.00
63963	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 I	200,000,000	2011/04/28	2011/07/28	50.00
63964	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 J	200,000,000	2011/04/28	2011/07/28	50.00
63952	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2011 L	200,000,000	2011/04/28	2011/07/28	50.00
63969	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/04/28	2011/09/29	75.00
63971	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11S	300,000,000	2011/04/28	2011/09/29	75.00
63972	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11T	300,000,000	2011/04/28	2011/09/29	75.00
63977	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11U	300,000,000	2011/04/28	2011/09/29	75.00
63951	UBS AG - HSI R Bull CBBC Jul 2011 A	300,000,000	2011/04/28	2011/07/28	75.00
63950	UBS AG - HSI R Bull CBBC Aug 2011 I	300,000,000	2011/04/28	2011/08/30	75.00
63981	UBS AG - HSI R Bull CBBC Oct 2011 F	300,000,000	2011/04/28	2011/10/28	75.00
63979	UBS AG - HSI R Bear CBBC Jul 2011 O	300,000,000	2011/04/28	2011/07/28	75.00
63980	UBS AG - HSI R Bear CBBC Aug 2011 P	300,000,000	2011/04/28	2011/08/30	75.00
63988	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 E	200,000,000	2011/04/29	2011/09/29	50.00
63987	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 J	200,000,000	2011/04/29	2011/09/29	50.00
63984	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11V	300,000,000	2011/04/29	2011/09/29	75.00
63985	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11W	300,000,000	2011/04/29	2011/09/29	75.00
63986	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11X	300,000,000	2011/04/29	2011/09/29	75.00
63995	UBS AG - HSI R Bull CBBC Aug 2011 F	300,000,000	2011/04/29	2011/08/30	75.00
63983	UBS AG - HSI R Bear CBBC Aug 2011 T	300,000,000	2011/04/29	2011/08/30	75.00
64008	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 N	200,000,000	2011/05/03	2011/09/29	50.00
63999	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2011 O	200,000,000	2011/05/03	2011/09/29	50.00
64010	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 K	200,000,000	2011/05/03	2011/08/30	50.00
64013	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 L	200,000,000	2011/05/03	2011/08/30	50.00
63997	UBS AG - HSI R Bull CBBC Aug 2011 K	300,000,000	2011/05/03	2011/08/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63998	UBS AG - HSI R Bull CBBC Oct 2011 G	300,000,000	2011/05/03	2011/10/28	75.00
63996	UBS AG - HSI R Bear CBBC Sep 2011 R	300,000,000	2011/05/03	2011/09/29	75.00
64023	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 M	200,000,000	2011/05/04	2011/08/30	50.00
64022	HK Bank - CNOOC R Bull CBBC Nov 2011 A	80,000,000	2011/05/04	2011/11/18	20.00
64019	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/05/04	2011/09/29	75.00
64017	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/05/04	2011/09/29	75.00
64014	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11Y	300,000,000	2011/05/04	2011/09/29	75.00
64016	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11Z	300,000,000	2011/05/04	2011/09/29	75.00
64032	UBS AG - AIA R Bull CBBC Oct 2011 B	100,000,000	2011/05/04	2011/10/24	25.00
64031	UBS AG - AIA R Bear CBBC Oct 2011 A	100,000,000	2011/05/04	2011/10/17	30.00
64044	UBS AG - COVS R Bull CBBC Oct 2011 B	100,000,000	2011/05/04	2011/10/24	25.00
64043	UBS AG - COVS R Bear CBBC Oct 2011 A	100,000,000	2011/05/04	2011/10/10	25.50
64052	UBS AG - CUni R Bull CBBC Oct 2011 D	100,000,000	2011/05/04	2011/10/03	32.00
64051	UBS AG - CUni R Bear CBBC Oct 2011 C	100,000,000	2011/05/04	2011/10/17	25.00
64028	UBS AG - HSI R Bull CBBC Sep 2011 S	300,000,000	2011/05/04	2011/09/29	75.00
64034	UBS AG - HSI R Bear CBBC Aug 2011 Q	300,000,000	2011/05/04	2011/08/30	75.00
64053	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11A	300,000,000	2011/05/05	2011/08/30	75.00
64054	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug11B	300,000,000	2011/05/05	2011/08/30	75.00
64058	UBS AG - ABC R Bear CBBC Nov 2011 A	100,000,000	2011/05/05	2011/11/07	25.00
64066	UBS AG - HSBC R Bull CBBC Nov 2011 A	100,000,000	2011/05/05	2011/11/14	25.00
64056	UBS AG - HSI R Bull CBBC Sep 2011 U	300,000,000	2011/05/05	2011/09/29	75.00
64067	UBS AG - HSI R Bear CBBC Aug 2011 L	300,000,000	2011/05/05	2011/08/30	75.00
64055	UBS AG - HSI R Bear CBBC Sep 2011 T	300,000,000	2011/05/05	2011/09/29	75.00
64068	UBS AG - HSI R Bear CBBC Oct 2011 H	300,000,000	2011/05/05	2011/10/28	75.00
64059	UBS AG - TCH R Bear CBBC Nov 2011 A	100,000,000	2011/05/05	2011/11/14	37.50
64074	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 N	200,000,000	2011/05/06	2011/08/30	50.00
64075	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 O	200,000,000	2011/05/06	2011/08/30	50.00
64076	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 P	200,000,000	2011/05/06	2011/08/30	50.00
64073	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2011 D	228,000,000	2011/05/06	2011/09/29	57.00
64072	UBS AG - HSCEI R Bear CBBC Oct 2011 A	100,000,000	2011/05/06	2011/10/28	25.00
64071	UBS AG - HSI R Bull CBBC Aug 2011 M	300,000,000	2011/05/06	2011/08/30	75.00
64070	UBS AG - HSI R Bear CBBC Oct 2011 I	300,000,000	2011/05/06	2011/10/28	75.00
64069	UBS AG - HSI R Bear CBBC Nov 2011 D	300,000,000	2011/05/06	2011/11/29	75.00
64077	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 A	100,000,000	2011/05/09	2011/11/29	26.50
64078	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 B	100,000,000	2011/05/09	2011/11/29	26.00
64079	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 C	100,000,000	2011/05/09	2011/11/29	25.50
64080	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 D	100,000,000	2011/05/09	2011/11/29	25.00
64081	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 E	100,000,000	2011/05/09	2011/11/29	25.00
64082	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 F	100,000,000	2011/05/09	2011/11/29	25.50
64085	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 G	100,000,000	2011/05/09	2011/11/29	26.00
64087	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 H	100,000,000	2011/05/09	2011/11/29	26.50
64088	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 I	100,000,000	2011/05/09	2011/11/29	27.00
64097	RB of Scotland N.V. - Yanzhou Coal R Bull CBBC Feb 2012 A	80,000,000	2011/05/09	2012/02/27	20.00
64093	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11L	300,000,000	2011/05/09	2011/09/29	75.00
64094	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11M	300,000,000	2011/05/09	2011/09/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64099	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11N	300,000,000	2011/05/09	2011/09/29	75.00
64100	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11O	300,000,000	2011/05/09	2011/09/29	75.00
64102	UBS AG - China Life R Bull CBBC Oct 2011 A	100,000,000	2011/05/09	2011/10/24	58.00
64096	UBS AG - HSI R Bull CBBC Aug 2011 O	300,000,000	2011/05/09	2011/08/30	75.00
64101	UBS AG - HSI R Bear CBBC Aug 2011 A	300,000,000	2011/05/09	2011/08/30	75.00
64127	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 Q	200,000,000	2011/05/11	2011/08/30	50.00
64130	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 R	200,000,000	2011/05/11	2011/08/30	50.00
64116	HK Bank - China Life R Bull CBBC Dec 2011 A	80,000,000	2011/05/11	2011/12/29	20.00
64117	HK Bank - A50 R Bull CBBC Dec 2011 A	200,000,000	2011/05/11	2011/12/29	50.00
64118	HK Bank - A50 R Bull CBBC Dec 2011 B	100,000,000	2011/05/11	2011/12/29	25.00
64120	HK Bank - A50 R Bear CBBC Dec 2011 C	200,000,000	2011/05/11	2011/12/29	50.00
64121	HK Bank - HSI R Bear CBBC Dec 2011 A	100,000,000	2011/05/11	2011/12/29	25.00
64122	HK Bank - HSI R Bear CBBC Dec 2011 B	100,000,000	2011/05/11	2011/12/29	25.00
64119	HK Bank - TCH R Bear CBBC Nov 2011 B	80,000,000	2011/05/11	2011/11/29	35.20
64124	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Aug 2011 O	168,000,000	2011/05/11	2011/08/30	42.00
64123	UBS AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/05/11	2011/08/30	75.00
64115	UBS AG - HSI R Bear CBBC Sep 2011 V	300,000,000	2011/05/11	2011/09/29	75.00
64149	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 S	200,000,000	2011/05/12	2011/08/30	50.00
64150	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 T	200,000,000	2011/05/12	2011/08/30	50.00
64151	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 U	200,000,000	2011/05/12	2011/08/30	50.00
64132	RB of Scotland N.V. - DJIA R Bull CBBC Dec 2011 A	80,000,000	2011/05/12	2011/12/16	20.00
64133	RB of Scotland N.V. - DJIA R Bear CBBC Dec 2011 B	80,000,000	2011/05/12	2011/12/16	20.00
64156	UBS AG - Sinopec Corp R Bull CBBC Oct 2011 A	100,000,000	2011/05/12	2011/10/17	25.00
64155	UBS AG - A50 China R Bear CBBC Oct 2011 A	100,000,000	2011/05/12	2011/10/24	25.00
64147	UBS AG - HSI R Bull CBBC Aug 2011 N	300,000,000	2011/05/12	2011/08/30	75.00
64143	UBS AG - HSI R Bull CBBC Sep 2011 W	300,000,000	2011/05/12	2011/09/29	75.00
64148	UBS AG - HSI R Bull CBBC Sep 2011 X	300,000,000	2011/05/12	2011/09/29	75.00
64142	UBS AG - HSI R Bull CBBC Oct 2011 J	300,000,000	2011/05/12	2011/10/28	75.00
64152	UBS AG - HSI R Bear CBBC Sep 2011 Y	300,000,000	2011/05/12	2011/09/29	75.00
64154	UBS AG - HSI R Bear CBBC Sep 2011 Z	300,000,000	2011/05/12	2011/09/29	75.00
64180	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 V	200,000,000	2011/05/13	2011/08/30	50.00
64163	HK Bank - China Shenhua R Bull CBBC Dec 2011 A	80,000,000	2011/05/13	2011/12/29	20.00
64159	HK Bank - Hutchison R Bear CBBC Dec 2011 A	80,000,000	2011/05/13	2011/12/29	20.00
64162	HK Bank - SHK Ppt R Bull CBBC Dec 2011 B	80,000,000	2011/05/13	2011/12/29	20.00
64178	UBS AG - China Mobile R Bull CBBC Oct 2011 A	100,000,000	2011/05/13	2011/10/24	25.00
64179	UBS AG - CNOOC R Bull CBBC Oct 2011 A	100,000,000	2011/05/13	2011/10/17	25.00
64157	UBS AG - HSI R Bull CBBC Aug 2011 S	300,000,000	2011/05/13	2011/08/30	75.00
64164	UBS AG - HSI R Bear CBBC Aug 2011 P	300,000,000	2011/05/13	2011/08/30	75.00
64167	UBS AG - HSI R Bear CBBC Aug 2011 T	300,000,000	2011/05/13	2011/08/30	75.00
64186	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 W	200,000,000	2011/05/16	2011/08/30	50.00
64181	HK Bank - CNOOC R Bull CBBC Dec 2011 A	80,000,000	2011/05/16	2011/12/29	20.80
64195	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2011 E	128,000,000	2011/05/16	2011/09/29	32.00
64183	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 A	250,000,000	2011/05/16	2011/09/29	62.50
64185	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 B	250,000,000	2011/05/16	2011/09/29	62.50
64192	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11W	300,000,000	2011/05/16	2011/08/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64194	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11X	300,000,000	2011/05/16	2011/08/30	75.00
64193	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Y	300,000,000	2011/05/16	2011/08/30	75.00
64187	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11F	300,000,000	2011/05/16	2011/09/29	75.00
64188	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11G	300,000,000	2011/05/16	2011/09/29	75.00
64189	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11H	300,000,000	2011/05/16	2011/09/29	75.00
64190	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11P	300,000,000	2011/05/16	2011/09/29	75.00
64191	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Q	300,000,000	2011/05/16	2011/09/29	75.00
64199	UBS AG - China Life R Bear CBBC Oct 2011 B	100,000,000	2011/05/16	2011/10/17	31.50
64198	UBS AG - HSI R Bull CBBC Aug 2011 K	300,000,000	2011/05/16	2011/08/30	75.00
64197	UBS AG - HSI R Bear CBBC Aug 2011 F	300,000,000	2011/05/16	2011/08/30	75.00
64196	UBS AG - HSI R Bear CBBC Dec 2011 A	300,000,000	2011/05/16	2011/12/29	75.00
64201	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 M	200,000,000	2011/05/17	2011/12/29	50.00
64202	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 P	200,000,000	2011/05/17	2011/12/29	50.00
64204	UBS AG - AIA R Bull CBBC Nov 2011 B	100,000,000	2011/05/17	2011/11/07	25.00
64203	UBS AG - AIA R Bear CBBC Nov 2011 A	100,000,000	2011/05/17	2011/11/14	36.50
64205	UBS AG - HSI R Bull CBBC Sep 2011 B	300,000,000	2011/05/17	2011/09/29	75.00
64206	UBS AG - HSI R Bull CBBC Oct 2011 K	300,000,000	2011/05/17	2011/10/28	75.00
64207	UBS AG - HSI R Bull CBBC Oct 2011 L	300,000,000	2011/05/17	2011/10/28	75.00
64218	Credit Suisse AG - HSI R Bull CBBC Sep 2011 D	210,000,000	2011/05/18	2011/09/29	52.50
64219	Credit Suisse AG - HSI R Bull CBBC Oct 2011 X	210,000,000	2011/05/18	2011/10/28	52.50
64220	Credit Suisse AG - HSI R Bull CBBC Nov 2011 J	210,000,000	2011/05/18	2011/11/29	52.50
64228	Credit Suisse AG - HSI R Bull CBBC Nov 2011 K	210,000,000	2011/05/18	2011/11/29	52.50
64235	Credit Suisse AG - HSI R Bull CBBC Nov 2011 L	210,000,000	2011/05/18	2011/11/29	52.50
64236	Credit Suisse AG - HSI R Bull CBBC Dec 2011 D	210,000,000	2011/05/18	2011/12/29	52.50
64215	Credit Suisse AG - HSI R Bear CBBC Aug 2011 O	210,000,000	2011/05/18	2011/08/30	52.50
64214	Credit Suisse AG - HSI R Bear CBBC Aug 2011 T	210,000,000	2011/05/18	2011/08/30	52.50
64216	Credit Suisse AG - HSI R Bear CBBC Aug 2011 W	210,000,000	2011/05/18	2011/08/30	52.50
64217	Credit Suisse AG - HSI R Bear CBBC Aug 2011 X	210,000,000	2011/05/18	2011/08/30	52.50
64238	Credit Suisse AG - TCH R Bull CBBC Sep 2011 C	100,000,000	2011/05/18	2011/09/26	25.00
64239	Credit Suisse AG - TCH R Bull CBBC Oct 2011 A	100,000,000	2011/05/18	2011/10/24	31.00
64240	Credit Suisse AG - TCH R Bear CBBC Sep 2011 D	100,000,000	2011/05/18	2011/09/26	25.00
64209	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 J	100,000,000	2011/05/18	2011/11/29	25.00
64212	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 C	250,000,000	2011/05/18	2011/09/29	62.50
64213	RB of Scotland N.V. - HSI R Bear CBBC Sep 2011 D	250,000,000	2011/05/18	2011/09/29	62.50
64211	UBS AG - HSI R Bull CBBC Sep 2011 A	300,000,000	2011/05/18	2011/09/29	75.00
64266	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 X	200,000,000	2011/05/19	2011/08/30	50.00
64267	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 Y	200,000,000	2011/05/19	2011/08/30	50.00
64264	Credit Suisse AG - A50 China R Bear CBBC Sep 2011 C	100,000,000	2011/05/19	2011/09/26	25.00
64258	Credit Suisse AG - HKEx R Bear CBBC Sep 2011 A	100,000,000	2011/05/19	2011/09/26	25.00
64257	Credit Suisse AG - HSI R Bull CBBC Nov 2011 M	210,000,000	2011/05/19	2011/11/29	52.50
64242	Credit Suisse AG - HSI R Bear CBBC Aug 2011 N	210,000,000	2011/05/19	2011/08/30	52.50
64247	Credit Suisse AG - HSI R Bear CBBC Aug 2011 R	210,000,000	2011/05/19	2011/08/30	52.50
64250	Credit Suisse AG - HSI R Bear CBBC Sep 2011 K	210,000,000	2011/05/19	2011/09/29	52.50
64251	Credit Suisse AG - HSI R Bear CBBC Sep 2011 O	210,000,000	2011/05/19	2011/09/29	52.50
64252	Credit Suisse AG - HSI R Bear CBBC Oct 2011 Y	210,000,000	2011/05/19	2011/10/28	52.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64253	Credit Suisse AG - HSI R Bear CBBC Oct 2011 Z	210,000,000	2011/05/19	2011/10/28	52.50
64262	Credit Suisse AG - TCH R Bear CBBC Sep 2011 E	100,000,000	2011/05/19	2011/09/26	29.00
64263	Credit Suisse AG - TCH R Bear CBBC Oct 2011 C	100,000,000	2011/05/19	2011/10/24	39.00
64270	RB of Scotland N.V. - CPIC R Bull CBBC Jan 2012 A	80,000,000	2011/05/19	2012/01/09	20.00
64271	RB of Scotland N.V. - China Shenhua R Bull CBBC Jan 2012 A	80,000,000	2011/05/19	2012/01/09	20.00
64273	RB of Scotland N.V. - Jiangxi Copper R Bull CBBC Jan 2012 A	80,000,000	2011/05/19	2012/01/09	20.00
64268	UBS AG - HSI R Bear CBBC Sep 2011 C	300,000,000	2011/05/19	2011/09/29	75.00
64269	UBS AG - TCH R Bull CBBC Oct 2011 A	100,000,000	2011/05/19	2011/10/17	26.50
64303	Credit Suisse AG - HSI R Bull CBBC Oct 2011 F	210,000,000	2011/05/20	2011/10/28	52.50
64300	Credit Suisse AG - HSI R Bear CBBC Aug 2011 C	210,000,000	2011/05/20	2011/08/30	52.50
64296	Credit Suisse AG - HSI R Bear CBBC Aug 2011 S	210,000,000	2011/05/20	2011/08/30	52.50
64297	Credit Suisse AG - HSI R Bear CBBC Aug 2011 Y	210,000,000	2011/05/20	2011/08/30	52.50
64301	Credit Suisse AG - HSI R Bear CBBC Sep 2011 P	210,000,000	2011/05/20	2011/09/29	52.50
64302	Credit Suisse AG - HSI R Bear CBBC Sep 2011 Q	210,000,000	2011/05/20	2011/09/29	52.50
64276	HK Bank - China Life R Bull CBBC Nov 2011 A	80,000,000	2011/05/20	2011/11/29	25.60
64279	HK Bank - HSI R Bear CBBC Nov 2011 A	150,000,000	2011/05/20	2011/11/29	37.50
64281	HK Bank - HSI R Bear CBBC Nov 2011 B	150,000,000	2011/05/20	2011/11/29	37.50
64284	HK Bank - HSI R Bear CBBC Dec 2011 C	150,000,000	2011/05/20	2011/12/29	37.50
64310	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2011 A	168,000,000	2011/05/20	2011/10/28	42.00
64274	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2011 K	100,000,000	2011/05/20	2011/11/29	25.00
64275	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2011 L	100,000,000	2011/05/20	2011/11/29	25.00
64294	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11G	300,000,000	2011/05/20	2011/08/30	75.00
64287	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11S	300,000,000	2011/05/20	2011/08/30	75.00
64293	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Z	300,000,000	2011/05/20	2011/08/30	75.00
64290	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11T	300,000,000	2011/05/20	2011/09/29	75.00
64292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11U	300,000,000	2011/05/20	2011/09/29	75.00
64285	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/05/20	2011/09/29	75.00
64288	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11S	300,000,000	2011/05/20	2011/09/29	75.00
64308	UBS AG - HSI R Bull CBBC Aug 2011 I	300,000,000	2011/05/20	2011/08/30	75.00
64309	UBS AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/05/20	2011/09/29	75.00
64307	UBS AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/05/20	2011/09/29	75.00
64313	Credit Suisse AG - HSI R Bull CBBC Nov 2011 N	210,000,000	2011/05/23	2011/11/29	52.50
64317	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Sep 2011 F	128,000,000	2011/05/23	2011/09/29	32.00
64312	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11V	300,000,000	2011/05/23	2011/09/29	75.00
64311	UBS AG - HSI R Bull CBBC Aug 2011 J	300,000,000	2011/05/23	2011/08/30	75.00
64314	UBS AG - HSI R Bull CBBC Oct 2011 M	300,000,000	2011/05/23	2011/10/28	75.00
64315	UBS AG - HSI R Bull CBBC Nov 2011 E	300,000,000	2011/05/23	2011/11/29	75.00
64329	Credit Suisse AG - HSI R Bull CBBC Oct 2011 Q	300,000,000	2011/05/24	2011/10/28	75.00
64326	Credit Suisse AG - HSI R Bull CBBC Oct 2011 R	300,000,000	2011/05/24	2011/10/28	75.00
64341	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 G	200,000,000	2011/05/24	2011/09/29	50.00
64332	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 A	200,000,000	2011/05/24	2011/09/29	50.00
64333	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 B	200,000,000	2011/05/24	2011/09/29	50.00
64334	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 C	200,000,000	2011/05/24	2011/09/29	50.00
64335	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 D	200,000,000	2011/05/24	2011/09/29	50.00
64339	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 E	200,000,000	2011/05/24	2011/09/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64340	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 F	200,000,000	2011/05/24	2011/09/29	50.00
64331	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Sep 2011 G	188,000,000	2011/05/24	2011/09/29	47.00
64325	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11W	300,000,000	2011/05/24	2011/08/30	75.00
64319	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/05/24	2011/09/29	75.00
64320	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/05/24	2011/09/29	75.00
64318	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11W	300,000,000	2011/05/24	2011/09/29	75.00
64324	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11X	300,000,000	2011/05/24	2011/09/29	75.00
64330	UBS AG - HSI R Bull CBBC Sep 2011 K	300,000,000	2011/05/24	2011/09/29	75.00
64361	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/05/25	2011/12/29	50.00
64362	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/05/25	2011/12/29	50.00
64367	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 H	200,000,000	2011/05/25	2011/09/29	50.00
64342	SGA Societe Generale Acceptance N.V. - CLife R Bull CBBC Oct11A	100,000,000	2011/05/25	2011/10/31	37.00
64343	SGA Societe Generale Acceptance N.V. - CLife R Bull CBBC Oct11B	100,000,000	2011/05/25	2011/10/31	26.00
64359	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct11C	150,000,000	2011/05/25	2011/10/31	37.50
64360	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct11D	150,000,000	2011/05/25	2011/10/31	37.50
64355	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Oct11A	150,000,000	2011/05/25	2011/10/31	37.50
64358	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Oct11B	150,000,000	2011/05/25	2011/10/31	37.50
64345	SGA Societe Generale Acceptance N.V. - HWL R Bull CBBC Oct11A	150,000,000	2011/05/25	2011/10/31	37.50
64348	SGA Societe Generale Acceptance N.V. - HWL R Bull CBBC Oct11B	150,000,000	2011/05/25	2011/10/31	37.50
64349	SGA Societe Generale Acceptance N.V. - HWL R Bear CBBC Oct11C	150,000,000	2011/05/25	2011/10/31	37.50
64350	SGA Societe Generale Acceptance N.V. - HWL R Bear CBBC Oct11D	150,000,000	2011/05/25	2011/10/31	37.50
64353	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Oct11A	100,000,000	2011/05/25	2011/10/31	32.00
64354	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Oct11B	100,000,000	2011/05/25	2011/10/31	35.00
64366	UBS AG - COVS R Bull CBBC Nov 2011 A	100,000,000	2011/05/25	2011/11/07	25.00
64363	UBS AG - HSI R Bull CBBC Oct 2011 N	300,000,000	2011/05/25	2011/10/28	75.00
64373	Credit Suisse AG - HSI R Bull CBBC Nov 2011 O	300,000,000	2011/05/26	2011/11/29	75.00
64370	Credit Suisse AG - HSI R Bear CBBC Aug 2011 Z	300,000,000	2011/05/26	2011/08/30	75.00
64374	UBS AG - HSI R Bull CBBC Sep 2011 P	300,000,000	2011/05/26	2011/09/29	75.00
64368	UBS AG - HSI R Bear CBBC Aug 2011 M	300,000,000	2011/05/26	2011/08/30	75.00
64388	Credit Suisse AG - HSI R Bull CBBC Oct 2011 P	300,000,000	2011/05/27	2011/10/28	75.00
64389	Credit Suisse AG - HSI R Bull CBBC Nov 2011 P	300,000,000	2011/05/27	2011/11/29	75.00
64379	Credit Suisse AG - HSI R Bear CBBC Aug 2011 I	300,000,000	2011/05/27	2011/08/30	75.00
64384	RB of Scotland N.V. - HSCEI R Bull CBBC Nov 2011 A	250,000,000	2011/05/27	2011/11/29	62.50
64385	RB of Scotland N.V. - HSCEI R Bull CBBC Nov 2011 B	250,000,000	2011/05/27	2011/11/29	62.50
64377	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11M	300,000,000	2011/05/27	2011/08/30	75.00
64376	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug11Y	300,000,000	2011/05/27	2011/08/30	75.00
64375	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Y	300,000,000	2011/05/27	2011/09/29	75.00
64383	UBS AG - HKEr R Bull CBBC Nov 2011 A	100,000,000	2011/05/27	2011/11/21	25.00
64378	UBS AG - HSI R Bear CBBC Sep 2011 Q	300,000,000	2011/05/27	2011/09/29	75.00
64458	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 A	200,000,000	2011/05/30	2011/08/30	50.00
64452	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 F	200,000,000	2011/05/30	2011/08/30	50.00
64460	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 I	200,000,000	2011/05/30	2011/08/30	50.00
64451	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2011 Z	200,000,000	2011/05/30	2011/08/30	50.00
64399	Credit Suisse AG - HSI R Bull CBBC Oct 2011 T	300,000,000	2011/05/30	2011/10/28	75.00
64398	Credit Suisse AG - HSI R Bear CBBC Sep 2011 I	300,000,000	2011/05/30	2011/09/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64396	Credit Suisse AG - HSI R Bear CBBC Sep 2011 U	300,000,000	2011/05/30	2011/09/29	75.00
64461	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 A	208,000,000	2011/05/30	2011/11/29	52.00
64391	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Q	300,000,000	2011/05/30	2011/09/29	75.00
64392	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/05/30	2011/09/29	75.00
64390	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Z	300,000,000	2011/05/30	2011/09/29	75.00
64476	UBS AG - A50 China R Bull CBBC Oct 2011 C	100,000,000	2011/05/30	2011/10/03	25.00
64475	UBS AG - A50 China R Bear CBBC Oct 2011 B	100,000,000	2011/05/30	2011/10/17	25.00
64467	UBS AG - HSI R Bull CBBC Aug 2011 H	300,000,000	2011/05/30	2011/08/30	75.00
64468	UBS AG - HSI R Bull CBBC Aug 2011 N	300,000,000	2011/05/30	2011/08/30	75.00
64394	UBS AG - HSI R Bull CBBC Aug 2011 O	300,000,000	2011/05/30	2011/08/30	75.00
64465	UBS AG - HSI R Bull CBBC Oct 2011 O	300,000,000	2011/05/30	2011/10/28	76.50
64462	UBS AG - HSI R Bull CBBC Nov 2011 F	300,000,000	2011/05/30	2011/11/29	136.50
64469	UBS AG - HSI R Bull CBBC Dec 2011 B	300,000,000	2011/05/30	2011/12/29	75.00
64470	UBS AG - HSI R Bear CBBC Aug 2011 G	300,000,000	2011/05/30	2011/08/30	75.00
64473	UBS AG - HSI R Bear CBBC Sep 2011 S	300,000,000	2011/05/30	2011/09/29	75.00
68322#	HK Bank - HSI R Bull CBBC Sep 2011 A	200,000,000	2011/05/30	2011/08/30	14.80
64499	Credit Suisse AG - A50 China R Bull CBBC Oct 2011 A	100,000,000	2011/05/31	2011/10/24	25.00
64498	Credit Suisse AG - A50 China R Bear CBBC Sep 2011 D	100,000,000	2011/05/31	2011/09/26	25.00
64496	Credit Suisse AG - HKEx R Bull CBBC Oct 2011 A	100,000,000	2011/05/31	2011/10/24	25.00
64495	Credit Suisse AG - HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/05/31	2011/11/29	75.00
64494	Credit Suisse AG - HSI R Bear CBBC Sep 2011 J	300,000,000	2011/05/31	2011/09/29	75.00
64497	Credit Suisse AG - TCH R Bear CBBC Sep 2011 F	100,000,000	2011/05/31	2011/09/26	25.00
64500	HK Bank - CM Bank R Bull CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	20.00
64503	HK Bank - CNOOC R Bear CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	32.80
64501	HK Bank - Sinopec Corp R Bear CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	20.00
64502	HK Bank - China Shenhua R Bear CBBC Feb 2012 A	80,000,000	2011/05/31	2012/02/28	20.00
64504	HK Bank - A50 R Bear CBBC Feb 2012 A	100,000,000	2011/05/31	2012/02/28	25.00
64481	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2011 A	100,000,000	2011/05/31	2011/12/29	30.00
64483	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2011 B	100,000,000	2011/05/31	2011/12/29	25.00
64486	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2011 C	100,000,000	2011/05/31	2011/12/29	25.00
64487	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2011 D	100,000,000	2011/05/31	2011/12/29	25.00
64488	CC Rabobank B.A. - HSI R Bull CBBC Dec 2011 A	150,000,000	2011/05/31	2011/12/29	37.50
64490	CC Rabobank B.A. - HSI R Bull CBBC Dec 2011 B	150,000,000	2011/05/31	2011/12/29	37.50
64491	CC Rabobank B.A. - HSI R Bull CBBC Dec 2011 C	150,000,000	2011/05/31	2011/12/29	37.50
64492	CC Rabobank B.A. - HSI R Bear CBBC Dec 2011 D	150,000,000	2011/05/31	2011/12/29	37.50
64493	CC Rabobank B.A. - HSI R Bear CBBC Dec 2011 E	150,000,000	2011/05/31	2011/12/29	37.50
64505	UBS AG - HSI R Bear CBBC Sep 2011 U	300,000,000	2011/05/31	2011/09/29	75.00
66806#	HK Bank - A50 R Bull CBBC Jul 2011 B	150,000,000	2011/05/31	2011/07/29	22.05
64516	Credit Suisse AG - HSI R Bear CBBC Sep 2011 N	300,000,000	2011/06/01	2011/09/29	75.00
64526	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 M	200,000,000	2011/06/01	2011/09/29	50.00
64527	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 N	200,000,000	2011/06/01	2011/09/29	50.00
64528	J P Morgan SP BV - HSI R Bull CBBC Sep 2011 O	200,000,000	2011/06/01	2011/09/29	50.00
64521	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 I	200,000,000	2011/06/01	2011/09/29	50.00
64522	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 J	200,000,000	2011/06/01	2011/09/29	50.00
64524	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 K	200,000,000	2011/06/01	2011/09/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64525	J P Morgan SP BV - HSI R Bear CBBC Sep 2011 L	200,000,000	2011/06/01	2011/09/29	50.00
64512	RB of Scotland N.V. - HSI R Bull CBBC Sep 2011 E	250,000,000	2011/06/01	2011/09/29	62.50
64513	RB of Scotland N.V. - HSI R Bull CBBC Sep 2011 F	250,000,000	2011/06/01	2011/09/29	62.50
64514	RB of Scotland N.V. - HSI R Bull CBBC Sep 2011 G	250,000,000	2011/06/01	2011/09/29	62.50
64509	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11S	300,000,000	2011/06/01	2011/09/29	75.00
64510	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11V	300,000,000	2011/06/01	2011/09/29	75.00
64518	UBS AG - HSI R Bull CBBC Sep 2011 X	300,000,000	2011/06/01	2011/09/29	75.00
64511	UBS AG - HSI R Bull CBBC Oct 2011 P	300,000,000	2011/06/01	2011/10/28	75.00
64517	UBS AG - HSI R Bull CBBC Oct 2011 R	300,000,000	2011/06/01	2011/10/28	75.00
64515	UBS AG - HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/06/01	2011/10/28	75.00
64519	UBS AG - HSI R Bear CBBC Oct 2011 S	300,000,000	2011/06/01	2011/10/28	75.00
64520	UBS AG - HSI R Bear CBBC Nov 2011 G	300,000,000	2011/06/01	2011/11/29	75.00
64530	Credit Suisse AG - HSI R Bull CBBC Oct 2011 U	300,000,000	2011/06/02	2011/10/28	75.00
64529	Credit Suisse AG - HSI R Bull CBBC Oct 2011 V	300,000,000	2011/06/02	2011/10/28	75.00
64531	HK Bank - Sinopec Corp R Bull CBBC Nov 2011 A	80,000,000	2011/06/02	2011/11/22	20.00
64532	HK Bank - Sinopec Corp R Bull CBBC Dec 2011 A	80,000,000	2011/06/02	2011/12/23	20.00
64543	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2011 B	208,000,000	2011/06/02	2011/10/28	52.00
64537	UBS AG - HSI R Bull CBBC Oct 2011 T	300,000,000	2011/06/02	2011/10/28	75.00
64540	UBS AG - HSI R Bull CBBC Oct 2011 U	300,000,000	2011/06/02	2011/10/28	75.00
64541	UBS AG - HSI R Bull CBBC Oct 2011 V	300,000,000	2011/06/02	2011/10/28	75.00
64542	UBS AG - HSI R Bull CBBC Nov 2011 H	300,000,000	2011/06/02	2011/11/29	75.00
64555	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 A	200,000,000	2011/06/03	2011/12/29	50.00
64557	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/06/03	2011/12/29	50.00
64561	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 N	200,000,000	2011/06/03	2011/12/29	50.00
64554	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 X	200,000,000	2011/06/03	2011/12/29	50.00
64558	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Z	200,000,000	2011/06/03	2011/12/29	50.00
64563	Credit Suisse AG - HSI R Bull CBBC Oct 2011 O	300,000,000	2011/06/03	2011/10/28	75.00
64564	Credit Suisse AG - HSI R Bull CBBC Nov 2011 R	300,000,000	2011/06/03	2011/11/29	75.00
64562	Credit Suisse AG - HSI R Bear CBBC Sep 2011 E	300,000,000	2011/06/03	2011/09/29	75.00
64544	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Oct11A	300,000,000	2011/06/03	2011/10/31	75.00
64545	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Oct11B	300,000,000	2011/06/03	2011/10/31	75.00
64546	SGA Societe Generale Acceptance N.V. - HSBC R Bull CBBC Oct11C	300,000,000	2011/06/03	2011/10/31	75.00
64547	SGA Societe Generale Acceptance N.V. - HSBC R Bear CBBC Oct11D	300,000,000	2011/06/03	2011/10/31	75.00
64551	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11I	300,000,000	2011/06/03	2011/09/29	75.00
64548	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11A	300,000,000	2011/06/03	2011/10/28	75.00
64549	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11B	300,000,000	2011/06/03	2011/10/28	75.00
64552	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11C	300,000,000	2011/06/03	2011/10/28	75.00
64553	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11D	300,000,000	2011/06/03	2011/10/28	75.00
64566	UBS AG - HSI R Bull CBBC Sep 2011 B	300,000,000	2011/06/03	2011/09/29	75.00
64565	UBS AG - HSI R Bull CBBC Sep 2011 W	300,000,000	2011/06/03	2011/09/29	75.00
64568	UBS AG - HSI R Bull CBBC Oct 2011 X	300,000,000	2011/06/03	2011/10/28	75.00
64550	UBS AG - HSI R Bear CBBC Oct 2011 W	300,000,000	2011/06/03	2011/10/28	75.00
63492#	Deutsche Bank AG - Hutchison R Bull CBBC Oct 2011 A	120,000,000	2011/06/03	2011/10/18	11.40
64577	Credit Suisse AG - HSI R Bull CBBC Nov 2011 S	300,000,000	2011/06/07	2011/11/29	75.00
64576	Credit Suisse AG - HSI R Bear CBBC Sep 2011 L	300,000,000	2011/06/07	2011/09/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64571	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Oct 2011 C	188,000,000	2011/06/07	2011/10/28	47.00
64570	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11E	300,000,000	2011/06/07	2011/10/28	75.00
64572	UBS AG - HSI R Bull CBBC Oct 2011 Y	300,000,000	2011/06/07	2011/10/28	75.00
64573	UBS AG - HSI R Bull CBBC Nov 2011 I	300,000,000	2011/06/07	2011/11/29	75.00
64575	UBS AG - HSI R Bull CBBC Nov 2011 J	300,000,000	2011/06/07	2011/11/29	75.00
64569	UBS AG - HSI R Bear CBBC Sep 2011 A	300,000,000	2011/06/07	2011/09/29	75.00
64608	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 M	200,000,000	2011/06/08	2011/12/29	50.00
64610	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 O	200,000,000	2011/06/08	2011/12/29	50.00
64611	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 P	200,000,000	2011/06/08	2011/12/29	50.00
64613	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/06/08	2011/12/29	50.00
64612	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 R	200,000,000	2011/06/08	2011/12/29	50.00
64606	Credit Suisse AG - HSI R Bull CBBC Oct 2011 X	300,000,000	2011/06/08	2011/10/28	75.00
64607	Credit Suisse AG - HSI R Bull CBBC Nov 2011 V	300,000,000	2011/06/08	2011/11/29	75.00
64596	Credit Suisse AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/06/08	2011/09/29	75.00
64580	Credit Suisse AG - HSI R Bear CBBC Sep 2011 M	300,000,000	2011/06/08	2011/09/29	75.00
64597	Credit Suisse AG - HSI R Bear CBBC Sep 2011 Z	300,000,000	2011/06/08	2011/09/29	75.00
64601	Credit Suisse AG - HSI R Bear CBBC Oct 2011 M	300,000,000	2011/06/08	2011/10/28	75.00
64600	Credit Suisse AG - HSI R Bear CBBC Oct 2011 N	300,000,000	2011/06/08	2011/10/28	75.00
64604	Credit Suisse AG - HSI R Bear CBBC Nov 2011 T	300,000,000	2011/06/08	2011/11/29	75.00
64605	Credit Suisse AG - HSI R Bear CBBC Nov 2011 U	300,000,000	2011/06/08	2011/11/29	75.00
64616	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2011 B	108,000,000	2011/06/08	2011/11/29	27.00
64617	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2011 C	128,000,000	2011/06/08	2011/11/29	32.00
64614	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2011 A	300,000,000	2011/06/08	2011/12/30	75.00
64615	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2011 A	300,000,000	2011/06/08	2011/10/28	75.00
64587	UBS AG - HSI R Bull CBBC Sep 2011 C	300,000,000	2011/06/08	2011/09/29	75.00
64591	UBS AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/06/08	2011/10/28	75.00
64593	UBS AG - HSI R Bear CBBC Oct 2011 G	300,000,000	2011/06/08	2011/10/28	75.00
64578	UBS AG - HSI R Bear CBBC Oct 2011 Z	300,000,000	2011/06/08	2011/10/28	75.00
64585	UBS AG - HSI R Bear CBBC Nov 2011 K	300,000,000	2011/06/08	2011/11/29	75.00
64586	UBS AG - HSI R Bear CBBC Nov 2011 L	300,000,000	2011/06/08	2011/11/29	75.00
64581	UBS AG - Hutchison R Bull CBBC Nov 2011 A	100,000,000	2011/06/08	2011/11/21	25.00
64629	Credit Suisse AG - HSI R Bull CBBC Nov 2011 X	300,000,000	2011/06/09	2011/11/29	75.00
64625	Credit Suisse AG - HSI R Bear CBBC Oct 2011 F	300,000,000	2011/06/09	2011/10/28	75.00
64626	Credit Suisse AG - HSI R Bear CBBC Nov 2011 W	300,000,000	2011/06/09	2011/11/29	75.00
64627	Credit Suisse AG - HSI R Bear CBBC Dec 2011 E	300,000,000	2011/06/09	2011/12/29	75.00
64628	Credit Suisse AG - HSI R Bear CBBC Dec 2011 F	300,000,000	2011/06/09	2011/12/29	75.00
64630	Credit Suisse AG - Hutchison R Bull CBBC Sep 2011 A	100,000,000	2011/06/09	2011/09/26	25.00
64631	Credit Suisse AG - TCH R Bull CBBC Sep 2011 G	100,000,000	2011/06/09	2011/09/26	25.00
64632	Credit Suisse AG - TCH R Bull CBBC Oct 2011 D	100,000,000	2011/06/09	2011/10/24	25.00
64618	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11F	300,000,000	2011/06/09	2011/10/28	75.00
64619	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11G	300,000,000	2011/06/09	2011/10/28	75.00
64620	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11H	300,000,000	2011/06/09	2011/10/28	75.00
64621	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11I	300,000,000	2011/06/09	2011/10/28	75.00
64622	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Q	300,000,000	2011/06/09	2011/09/29	75.00
64624	UBS AG - HSI R Bull CBBC Oct 2011 F	300,000,000	2011/06/09	2011/10/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64635	UBS AG - HSI R Bull CBBC Nov 2011 M	300,000,000	2011/06/09	2011/11/29	75.00
64648	Credit Suisse AG - HSI R Bull CBBC Dec 2011 G	300,000,000	2011/06/10	2011/12/29	75.00
64645	Credit Suisse AG - HSI R Bear CBBC Sep 2011 D	300,000,000	2011/06/10	2011/09/29	75.00
64646	Credit Suisse AG - HSI R Bear CBBC Sep 2011 W	300,000,000	2011/06/10	2011/09/29	75.00
64651	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Oct 2011 D	108,000,000	2011/06/10	2011/10/28	27.00
64652	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 D	128,000,000	2011/06/10	2011/11/29	32.00
64642	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11F	300,000,000	2011/06/10	2011/09/29	75.00
64637	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11R	300,000,000	2011/06/10	2011/09/29	75.00
64638	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Y	300,000,000	2011/06/10	2011/09/29	75.00
64641	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11Z	300,000,000	2011/06/10	2011/09/29	75.00
64636	UBS AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/06/10	2011/09/29	75.00
64649	UBS AG - HSI R Bear CBBC Sep 2011 H	300,000,000	2011/06/10	2011/09/29	75.00
64650	UBS AG - HSI R Bear CBBC Sep 2011 I	300,000,000	2011/06/10	2011/09/29	75.00
64660	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 L	200,000,000	2011/06/13	2011/09/29	50.00
64657	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 M	200,000,000	2011/06/13	2011/09/29	50.00
64661	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 P	200,000,000	2011/06/13	2011/09/29	50.00
64662	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 Q	200,000,000	2011/06/13	2011/09/29	50.00
64663	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 R	200,000,000	2011/06/13	2011/09/29	50.00
64670	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 Y	200,000,000	2011/06/13	2011/09/29	50.00
64669	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 Z	200,000,000	2011/06/13	2011/09/29	50.00
64672	Credit Suisse AG - HSI R Bull CBBC Oct 2011 Q	300,000,000	2011/06/13	2011/10/28	75.00
64671	Credit Suisse AG - HSI R Bear CBBC Sep 2011 I	300,000,000	2011/06/13	2011/09/29	75.00
64656	Credit Suisse AG - HSI R Bear CBBC Sep 2011 X	300,000,000	2011/06/13	2011/09/29	75.00
64675	Credit Suisse AG - Hutchison R Bull CBBC Oct 2011 A	100,000,000	2011/06/13	2011/10/24	25.00
64664	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 E	168,000,000	2011/06/13	2011/11/29	42.00
64653	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11G	300,000,000	2011/06/13	2011/09/29	75.00
64668	UBS AG - HSBC R Bull CBBC Nov 2011 B	100,000,000	2011/06/13	2011/11/14	25.00
64666	UBS AG - HSI R Bull CBBC Sep 2011 K	300,000,000	2011/06/13	2011/09/29	75.00
64665	UBS AG - HSI R Bear CBBC Nov 2011 N	300,000,000	2011/06/13	2011/11/29	75.00
64667	UBS AG - Hutchison R Bull CBBC Nov 2011 B	100,000,000	2011/06/13	2011/11/21	25.00
64683	Credit Suisse AG - HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/06/14	2011/11/29	75.00
64687	Credit Suisse AG - HSI R Bull CBBC Dec 2011 H	300,000,000	2011/06/14	2011/12/29	75.00
64688	Credit Suisse AG - HSI R Bull CBBC Dec 2011 I	300,000,000	2011/06/14	2011/12/29	75.00
64679	Credit Suisse AG - HSI R Bear CBBC Sep 2011 U	300,000,000	2011/06/14	2011/09/29	75.00
64680	Credit Suisse AG - HSI R Bear CBBC Oct 2011 O	300,000,000	2011/06/14	2011/10/28	75.00
64676	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11H	300,000,000	2011/06/14	2011/09/29	75.00
64677	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11O	300,000,000	2011/06/14	2011/09/29	75.00
64689	UBS AG - A50 China R Bull CBBC Nov 2011 A	100,000,000	2011/06/14	2011/11/21	25.00
64678	UBS AG - HSI R Bull CBBC Oct 2011 J	300,000,000	2011/06/14	2011/10/28	75.00
64690	UBS AG - HSI R Bear CBBC Nov 2011 O	300,000,000	2011/06/14	2011/11/29	75.00
64705	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 S	200,000,000	2011/06/15	2011/09/29	50.00
64708	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 T	200,000,000	2011/06/15	2011/09/29	50.00
64709	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 U	200,000,000	2011/06/15	2011/09/29	50.00
64704	Credit Suisse AG - HSI R Bull CBBC Oct 2011 T	300,000,000	2011/06/15	2011/10/28	75.00
64703	Credit Suisse AG - HSI R Bear CBBC Sep 2011 J	300,000,000	2011/06/15	2011/09/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64697	HK Bank - HSI R Bear CBBC Jan 2012 A	120,000,000	2011/06/15	2012/01/30	30.00
64698	HK Bank - HSI R Bear CBBC Jan 2012 B	150,000,000	2011/06/15	2012/01/30	37.50
64713	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Nov 2011 F	128,000,000	2011/06/15	2011/11/29	32.00
64702	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2011 B	300,000,000	2011/06/15	2011/12/30	75.00
64695	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11P	300,000,000	2011/06/15	2011/09/29	75.00
64696	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep11V	300,000,000	2011/06/15	2011/09/29	75.00
64711	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11J	300,000,000	2011/06/15	2011/10/28	75.00
64712	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11K	300,000,000	2011/06/15	2011/10/28	75.00
64699	UBS AG - HSI R Bull CBBC Nov 2011 P	300,000,000	2011/06/15	2011/11/29	75.00
64701	UBS AG - HSI R Bull CBBC Dec 2011 C	300,000,000	2011/06/15	2011/12/29	75.00
64721	UBS AG - HSI R Bear CBBC Oct 2011 L	300,000,000	2011/06/15	2011/10/28	75.00
64714	UBS AG - TCH R Bull CBBC Nov 2011 B	100,000,000	2011/06/15	2011/11/07	25.00
64716	UBS AG - TCH R Bear CBBC Nov 2011 C	100,000,000	2011/06/15	2011/11/14	25.00
63366#	HK Bank - HSI R Bull CBBC Sep 2011 E	250,000,000	2011/06/15	2011/09/29	14.25
68488#	HK Bank - HSI R Bull CBBC Aug 2011 D	200,000,000	2011/06/15	2011/08/30	22.00
64728	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 V	200,000,000	2011/06/16	2011/09/29	50.00
64729	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 W	200,000,000	2011/06/16	2011/09/29	50.00
64743	Credit Suisse AG - HSI R Bull CBBC Nov 2011 Z	300,000,000	2011/06/16	2011/11/29	75.00
64746	Credit Suisse AG - HSI R Bull CBBC Jan 2012 A	300,000,000	2011/06/16	2012/01/30	75.00
64742	Credit Suisse AG - HSI R Bear CBBC Sep 2011 N	300,000,000	2011/06/16	2011/09/29	75.00
64741	Credit Suisse AG - HSI R Bear CBBC Sep 2011 P	300,000,000	2011/06/16	2011/09/29	75.00
64740	Credit Suisse AG - TCH R Bear CBBC Oct 2011 E	100,000,000	2011/06/16	2011/10/24	25.00
64730	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11S	300,000,000	2011/06/16	2011/09/29	75.00
64732	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11L	300,000,000	2011/06/16	2011/10/28	75.00
64734	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11M	300,000,000	2011/06/16	2011/10/28	75.00
64726	UBS AG - HSI R Bull CBBC Oct 2011 M	300,000,000	2011/06/16	2011/10/28	75.00
64723	UBS AG - HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/06/16	2011/11/29	75.00
64747	UBS AG - HSI R Bull CBBC Nov 2011 R	300,000,000	2011/06/16	2011/11/29	75.00
64748	UBS AG - HSI R Bull CBBC Dec 2011 D	300,000,000	2011/06/16	2011/12/29	75.00
64752	UBS AG - HSI R Bear CBBC Sep 2011 P	300,000,000	2011/06/16	2011/09/29	75.00
64756	UBS AG - HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/06/16	2011/10/28	75.00
64758	UBS AG - HSI R Bear CBBC Oct 2011 X	300,000,000	2011/06/16	2011/10/28	75.00
64751	UBS AG - ICBC R Bull CBBC Dec 2011 A	100,000,000	2011/06/16	2011/12/05	25.00
64776	Credit Suisse AG - HSI R Bull CBBC Dec 2011 J	300,000,000	2011/06/17	2011/12/29	75.00
64775	Credit Suisse AG - HSI R Bear CBBC Sep 2011 E	300,000,000	2011/06/17	2011/09/29	75.00
64761	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 A	100,000,000	2011/06/17	2012/01/30	31.50
64762	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 B	100,000,000	2011/06/17	2012/01/30	25.00
64767	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 C	100,000,000	2011/06/17	2012/01/30	25.50
64768	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 D	100,000,000	2011/06/17	2012/01/30	25.00
64769	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 E	100,000,000	2011/06/17	2012/01/30	25.00
64770	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 F	100,000,000	2011/06/17	2012/01/30	25.00
64772	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 G	100,000,000	2011/06/17	2012/01/30	25.00
64773	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 H	100,000,000	2011/06/17	2012/01/30	25.00
64759	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11I	300,000,000	2011/06/17	2011/09/29	75.00
64760	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11J	300,000,000	2011/06/17	2011/09/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64779	UBS AG - CC Bank R Bull CBBC Nov 2011 A	100,000,000	2011/06/17	2011/11/21	25.00
64774	UBS AG - HSI R Bull CBBC Sep 2011 Q	300,000,000	2011/06/17	2011/09/29	75.00
64778	UBS AG - HSI R Bull CBBC Oct 2011 V	300,000,000	2011/06/17	2011/10/28	75.00
64777	UBS AG - HSI R Bull CBBC Oct 2011 W	300,000,000	2011/06/17	2011/10/28	75.00
64780	UBS AG - HSI R Bear CBBC Sep 2011 S	300,000,000	2011/06/17	2011/09/29	75.00
64791	Credit Suisse AG - HSI R Bull CBBC Nov 2011 F	300,000,000	2011/06/20	2011/11/29	75.00
64809	Credit Suisse AG - HSI R Bear CBBC Sep 2011 V	300,000,000	2011/06/20	2011/09/29	75.00
64792	Credit Suisse AG - TCH R Bull CBBC Nov 2011 A	100,000,000	2011/06/20	2011/11/28	36.00
64793	Credit Suisse AG - TCH R Bear CBBC Nov 2011 B	100,000,000	2011/06/20	2011/11/28	25.00
64781	HK Bank - China Mobile R Bull CBBC Dec 2011 A	100,000,000	2011/06/20	2011/12/16	25.00
64782	HK Bank - Sinopec Corp R Bull CBBC Dec 2011 B	120,000,000	2011/06/20	2011/12/28	30.00
64783	HK Bank - A50 R Bull CBBC Jan 2012 A	120,000,000	2011/06/20	2012/01/20	30.00
64787	HK Bank - A50 R Bear CBBC Dec 2011 D	100,000,000	2011/06/20	2011/12/02	25.00
64788	HK Bank - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/06/20	2011/12/29	50.00
64789	HK Bank - HSI R Bear CBBC Jan 2012 C	120,000,000	2011/06/20	2012/01/30	30.00
64807	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11K	300,000,000	2011/06/20	2011/09/29	75.00
64794	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep11W	300,000,000	2011/06/20	2011/09/29	75.00
64797	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11P	300,000,000	2011/06/20	2011/10/28	75.00
64798	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11Q	300,000,000	2011/06/20	2011/10/28	75.00
64799	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11R	300,000,000	2011/06/20	2011/10/28	75.00
64800	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11S	300,000,000	2011/06/20	2011/10/28	75.00
64801	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11T	300,000,000	2011/06/20	2011/10/28	75.00
64802	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11U	300,000,000	2011/06/20	2011/10/28	75.00
64803	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11V	300,000,000	2011/06/20	2011/10/28	75.00
64795	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11N	300,000,000	2011/06/20	2011/10/28	75.00
64796	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11O	300,000,000	2011/06/20	2011/10/28	75.00
64814	UBS AG - HSBC R Bear CBBC Nov 2011 C	100,000,000	2011/06/20	2011/11/07	25.00
64790	UBS AG - HSI R Bull CBBC Sep 2011 U	300,000,000	2011/06/20	2011/09/29	75.00
64811	UBS AG - HSI R Bull CBBC Sep 2011 Z	300,000,000	2011/06/20	2011/09/29	75.00
64812	UBS AG - HSI R Bull CBBC Oct 2011 D	300,000,000	2011/06/20	2011/10/28	75.00
64813	UBS AG - HSI R Bull CBBC Nov 2011 S	300,000,000	2011/06/20	2011/11/29	75.00
64810	UBS AG - HSI R Bear CBBC Sep 2011 Y	300,000,000	2011/06/20	2011/09/29	75.00
63492 [#]	Deutsche Bank AG - Hutchison R Bull CBBC Oct 2011 A	160,000,000	2011/06/20	2011/10/18	10.40
67713 [#]	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Aug 2011 A	180,000,000	2011/06/20	2011/08/30	13.14
64830	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 N	200,000,000	2011/06/21	2011/12/29	50.00
64828	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 T	200,000,000	2011/06/21	2011/12/29	50.00
64829	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 W	200,000,000	2011/06/21	2011/12/29	50.00
64815	Credit Suisse AG - Sinopec Corp R Bull CBBC Dec 2011 A	100,000,000	2011/06/21	2011/12/12	25.00
64819	Credit Suisse AG - HSI R Bull CBBC Dec 2011 K	300,000,000	2011/06/21	2011/12/29	75.00
64820	Credit Suisse AG - HSI R Bull CBBC Dec 2011 L	300,000,000	2011/06/21	2011/12/29	75.00
64822	Credit Suisse AG - HSI R Bull CBBC Jan 2012 B	300,000,000	2011/06/21	2012/01/30	75.00
64825	Credit Suisse AG - HSI R Bull CBBC Jan 2012 C	300,000,000	2011/06/21	2012/01/30	75.00
64826	Credit Suisse AG - HSI R Bull CBBC Jan 2012 D	300,000,000	2011/06/21	2012/01/30	75.00
64816	Credit Suisse AG - TCH R Bull CBBC Oct 2011 F	100,000,000	2011/06/21	2011/10/24	25.00
64827	UBS AG - HSI R Bear CBBC Dec 2011 E	300,000,000	2011/06/21	2011/12/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63470 [#]	Credit Suisse AG - HSI R Bull CBBC Oct 2011 L	210,000,000	2011/06/21	2011/10/28	15.75
64835	Credit Suisse AG - HSI R Bull CBBC Nov 2011 G	300,000,000	2011/06/22	2011/11/29	75.00
64831	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2012 A	388,000,000	2011/06/22	2012/01/30	97.78
64832	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11W	300,000,000	2011/06/22	2011/10/28	78.00
64833	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11X	300,000,000	2011/06/22	2011/10/28	75.00
64836	UBS AG - HSI R Bear CBBC Sep 2011 B	300,000,000	2011/06/22	2011/09/29	75.00
64855	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 C	200,000,000	2011/06/23	2011/09/29	50.00
64856	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 D	200,000,000	2011/06/23	2011/09/29	50.00
64863	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 F	200,000,000	2011/06/23	2011/09/29	50.00
64860	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 I	200,000,000	2011/06/23	2011/09/29	50.00
64837	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 X	200,000,000	2011/06/23	2011/09/29	50.00
64844	Credit Suisse AG - HSI R Bull CBBC Dec 2011 M	300,000,000	2011/06/23	2011/12/29	75.00
64882	Credit Suisse AG - HSI R Bull CBBC Dec 2011 N	300,000,000	2011/06/23	2011/12/29	75.00
64883	Credit Suisse AG - HSI R Bull CBBC Jan 2012 E	300,000,000	2011/06/23	2012/01/30	84.00
64884	Credit Suisse AG - HSI R Bull CBBC Jan 2012 F	300,000,000	2011/06/23	2012/01/30	75.00
64885	Credit Suisse AG - HSI R Bull CBBC Jan 2012 G	300,000,000	2011/06/23	2012/01/30	75.00
64887	Credit Suisse AG - HSI R Bull CBBC Feb 2012 A	300,000,000	2011/06/23	2012/02/28	96.00
64889	Credit Suisse AG - HSI R Bull CBBC Feb 2012 B	300,000,000	2011/06/23	2012/02/28	90.00
64890	Credit Suisse AG - HSI R Bull CBBC Feb 2012 C	300,000,000	2011/06/23	2012/02/28	78.00
64891	Credit Suisse AG - HSI R Bull CBBC Feb 2012 D	300,000,000	2011/06/23	2012/02/28	75.00
64878	Credit Suisse AG - HSI R Bear CBBC Sep 2011 T	300,000,000	2011/06/23	2011/09/29	75.00
64842	Credit Suisse AG - HSI R Bear CBBC Oct 2011 U	300,000,000	2011/06/23	2011/10/28	75.00
64838	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct11Y	300,000,000	2011/06/23	2011/10/28	75.00
64848	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11A	300,000,000	2011/06/23	2011/12/29	123.00
64850	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11B	300,000,000	2011/06/23	2011/12/29	99.00
64851	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11C	300,000,000	2011/06/23	2011/12/29	115.50
64853	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11D	300,000,000	2011/06/23	2011/12/29	112.50
64854	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11E	300,000,000	2011/06/23	2011/12/29	90.00
64841	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11C	300,000,000	2011/06/23	2011/10/28	75.00
64840	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11Z	300,000,000	2011/06/23	2011/10/28	75.00
64864	UBS AG - Cheung Kong R Bull CBBC Dec 2011 A	100,000,000	2011/06/23	2011/12/12	25.00
64865	UBS AG - HSBC R Bull CBBC Nov 2011 D	100,000,000	2011/06/23	2011/11/14	25.00
64839	UBS AG - HSI R Bull CBBC Sep 2011 C	300,000,000	2011/06/23	2011/09/29	75.00
64867	UBS AG - HSI R Bull CBBC Nov 2011 T	300,000,000	2011/06/23	2011/11/29	75.00
64868	UBS AG - HSI R Bull CBBC Dec 2011 F	300,000,000	2011/06/23	2011/12/29	156.00
64869	UBS AG - HSI R Bull CBBC Dec 2011 G	300,000,000	2011/06/23	2011/12/29	75.00
64870	UBS AG - HSI R Bull CBBC Dec 2011 H	300,000,000	2011/06/23	2011/12/29	75.00
64872	UBS AG - HSI R Bull CBBC Jan 2012 A	300,000,000	2011/06/23	2012/01/30	75.00
64873	UBS AG - HSI R Bull CBBC Jan 2012 B	300,000,000	2011/06/23	2012/01/30	75.00
64876	UBS AG - HSI R Bear CBBC Sep 2011 W	300,000,000	2011/06/23	2011/09/29	75.00
64877	UBS AG - HSI R Bear CBBC Nov 2011 U	300,000,000	2011/06/23	2011/11/29	75.00
64866	UBS AG - Ping An R Bull CBBC Dec 2011 A	100,000,000	2011/06/23	2011/12/19	25.00
64932	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 H	200,000,000	2011/06/24	2011/09/29	50.00
64933	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 J	200,000,000	2011/06/24	2011/09/29	50.00
64931	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2011 K	200,000,000	2011/06/24	2011/09/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64914	Credit Suisse AG - HSI R Bull CBBC Nov 2011 E	300,000,000	2011/06/24	2011/11/29	75.00
64911	Credit Suisse AG - HSI R Bull CBBC Dec 2011 O	300,000,000	2011/06/24	2011/12/29	75.00
64910	Credit Suisse AG - HSI R Bear CBBC Oct 2011 J	300,000,000	2011/06/24	2011/10/28	75.00
64913	Credit Suisse AG - HSI R Bear CBBC Oct 2011 P	300,000,000	2011/06/24	2011/10/28	75.00
64909	Credit Suisse AG - HSI R Bear CBBC Oct 2011 X	300,000,000	2011/06/24	2011/10/28	75.00
64906	Credit Suisse AG - TCH R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/12	36.00
64905	Credit Suisse AG - TCH R Bear CBBC Nov 2011 C	100,000,000	2011/06/24	2011/11/21	25.00
64896	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 I	100,000,000	2011/06/24	2012/01/30	25.50
64897	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Jan 2012 J	100,000,000	2011/06/24	2012/01/30	25.00
64898	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 K	100,000,000	2011/06/24	2012/01/30	25.00
64899	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 L	100,000,000	2011/06/24	2012/01/30	25.50
64902	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 M	100,000,000	2011/06/24	2012/01/30	27.50
64903	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Jan 2012 N	100,000,000	2011/06/24	2012/01/30	28.50
64915	UBS AG - AIA R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/05	30.00
64916	UBS AG - China Life R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/05	47.50
64926	UBS AG - China Life R Bear CBBC Dec 2011 B	100,000,000	2011/06/24	2011/12/12	33.00
64921	UBS AG - A50 China R Bull CBBC Nov 2011 B	100,000,000	2011/06/24	2011/11/28	25.00
64923	UBS AG - HSCEI R Bull CBBC Nov 2011 A	100,000,000	2011/06/24	2011/11/29	25.00
64912	UBS AG - HSI R Bull CBBC Sep 2011 J	300,000,000	2011/06/24	2011/09/29	75.00
64904	UBS AG - HSI R Bull CBBC Oct 2011 F	300,000,000	2011/06/24	2011/10/28	75.00
64924	UBS AG - HSI R Bull CBBC Dec 2011 I	300,000,000	2011/06/24	2011/12/29	75.00
64925	UBS AG - HSI R Bull CBBC Jan 2012 C	300,000,000	2011/06/24	2012/01/30	85.50
64928	UBS AG - HSI R Bear CBBC Sep 2011 K	300,000,000	2011/06/24	2011/09/29	75.00
64929	UBS AG - HSI R Bear CBBC Oct 2011 K	300,000,000	2011/06/24	2011/10/28	75.00
64930	UBS AG - HSI R Bear CBBC Nov 2011 V	300,000,000	2011/06/24	2011/11/29	75.00
64917	UBS AG - TCH R Bull CBBC Nov 2011 D	100,000,000	2011/06/24	2011/11/28	25.00
64918	UBS AG - TCH R Bull CBBC Dec 2011 A	100,000,000	2011/06/24	2011/12/12	38.00
64927	UBS AG - TCH R Bear CBBC Nov 2011 E	100,000,000	2011/06/24	2011/11/28	28.50
64967	Credit Suisse AG - HKEX R Bull CBBC Nov 2011 A	100,000,000	2011/06/27	2011/11/28	25.00
64969	Credit Suisse AG - HKEX R Bear CBBC Nov 2011 B	100,000,000	2011/06/27	2011/11/28	25.00
64972	Credit Suisse AG - HSI R Bull CBBC Dec 2011 P	300,000,000	2011/06/27	2011/12/29	75.00
64951	Credit Suisse AG - HSI R Bull CBBC Jan 2012 H	300,000,000	2011/06/27	2012/01/30	75.00
64952	Credit Suisse AG - HSI R Bull CBBC Feb 2012 E	300,000,000	2011/06/27	2012/02/28	75.00
64953	Credit Suisse AG - HSI R Bull CBBC Feb 2012 F	300,000,000	2011/06/27	2012/02/28	75.00
64955	Credit Suisse AG - HSI R Bull CBBC Mar 2012 A	300,000,000	2011/06/27	2012/03/29	85.50
64956	Credit Suisse AG - HSI R Bull CBBC Mar 2012 B	300,000,000	2011/06/27	2012/03/29	75.00
64957	Credit Suisse AG - HSI R Bull CBBC Mar 2012 C	300,000,000	2011/06/27	2012/03/29	75.00
64958	Credit Suisse AG - HSI R Bull CBBC Mar 2012 D	300,000,000	2011/06/27	2012/03/29	75.00
65004	Credit Suisse AG - HSI R Bear CBBC Sep 2011 C	300,000,000	2011/06/27	2011/09/29	75.00
64949	Credit Suisse AG - HSI R Bear CBBC Oct 2011 K	300,000,000	2011/06/27	2011/10/28	75.00
64950	Credit Suisse AG - HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/06/27	2011/10/28	75.00
64966	Credit Suisse AG - Hutchison R Bull CBBC Nov 2011 A	100,000,000	2011/06/27	2011/11/28	25.00
64968	Credit Suisse AG - Hutchison R Bear CBBC Nov 2011 B	100,000,000	2011/06/27	2011/11/28	25.00
64934	HK Bank - China Life R Bull CBBC Dec 2011 B	80,000,000	2011/06/27	2011/12/29	20.00
64941	HK Bank - CM Bank R Bull CBBC Feb 2012 B	80,000,000	2011/06/27	2012/02/24	20.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64944	HK Bank - A50 R Bull CBBC Jan 2012 B	100,000,000	2011/06/27	2012/01/30	25.00
64945	HK Bank - HSI R Bull CBBC Dec 2011 E	100,000,000	2011/06/27	2011/12/29	25.00
64946	HK Bank - HSI R Bull CBBC Dec 2011 F	100,000,000	2011/06/27	2011/12/29	25.00
64947	HK Bank - HSI R Bull CBBC Jan 2012 D	100,000,000	2011/06/27	2012/01/30	25.00
64943	HK Bank - Hutchison R Bull CBBC Dec 2011 B	80,000,000	2011/06/27	2011/12/29	20.00
64973	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11F	300,000,000	2011/06/27	2011/12/29	106.50
64974	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11G	300,000,000	2011/06/27	2011/12/29	123.00
64975	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11H	300,000,000	2011/06/27	2011/12/29	120.00
64979	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11I	300,000,000	2011/06/27	2011/12/29	97.50
64980	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec11J	300,000,000	2011/06/27	2011/12/29	112.50
64985	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11A	300,000,000	2011/06/27	2011/10/28	75.00
64986	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11B	300,000,000	2011/06/27	2011/10/28	75.00
64982	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11D	300,000,000	2011/06/27	2011/10/28	75.00
64987	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct11E	300,000,000	2011/06/27	2011/10/28	75.00
64988	UBS AG - Cheung Kong R Bull CBBC Dec 2011 B	100,000,000	2011/06/27	2011/12/19	25.00
64990	UBS AG - HKEX R Bull CBBC Dec 2011 A	100,000,000	2011/06/27	2011/12/19	36.00
64991	UBS AG - HKEX R Bull CBBC Dec 2011 B	100,000,000	2011/06/27	2011/12/12	25.00
65007	UBS AG - HKEX R Bear CBBC Dec 2011 C	100,000,000	2011/06/27	2011/12/19	26.50
65006	UBS AG - HSI R Bull CBBC Sep 2011 X	300,000,000	2011/06/27	2011/09/29	75.00
65005	UBS AG - HSI R Bull CBBC Nov 2011 X	300,000,000	2011/06/27	2011/11/29	75.00
65009	UBS AG - HSI R Bear CBBC Oct 2011 P	300,000,000	2011/06/27	2011/10/28	75.00
64948	UBS AG - HSI R Bear CBBC Nov 2011 W	300,000,000	2011/06/27	2011/11/29	75.00
64992	UBS AG - Hutchison R Bull CBBC Dec 2011 A	100,000,000	2011/06/27	2011/12/12	25.00
64993	UBS AG - SHK Ppt R Bull CBBC Dec 2011 A	100,000,000	2011/06/27	2011/12/19	25.00
64995	UBS AG - TCH R Bull CBBC Dec 2011 B	100,000,000	2011/06/27	2011/12/19	35.00
65008	UBS AG - TCH R Bear CBBC Dec 2011 C	100,000,000	2011/06/27	2011/12/19	31.50
65030	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 D	200,000,000	2011/06/28	2011/12/29	50.00
65031	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2011 Z	200,000,000	2011/06/28	2011/12/29	50.00
65018	Credit Suisse AG - HSI R Bull CBBC Dec 2011 Q	300,000,000	2011/06/28	2011/12/29	75.00
65017	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2012 B	388,000,000	2011/06/28	2012/01/30	97.78
65016	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2012 A	388,000,000	2011/06/28	2012/02/28	100.49
65019	UBS AG - CC Bank R Bull CBBC Dec 2011 A	100,000,000	2011/06/28	2011/12/19	25.00
65029	UBS AG - HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/06/28	2011/11/29	75.00
63472 [#]	Credit Suisse AG - HSI R Bull CBBC Dec 2011 C	210,000,000	2011/06/28	2011/12/29	17.22
65033	UBS AG - A50 China R Bear CBBC Dec 2011 A	100,000,000	2011/06/29	2011/12/12	25.00
65032	UBS AG - Hutchison R Bear CBBC Dec 2011 B	100,000,000	2011/06/29	2011/12/19	25.00
65034	Barclays Bank plc - HSI R Bull CBBC Jan 2012 A	300,000,000	2011/06/30	2012/01/30	75.00
65035	Barclays Bank plc - HSI R Bull CBBC Jan 2012 B	300,000,000	2011/06/30	2012/01/30	75.00
65037	Barclays Bank plc - HSI R Bear CBBC Jan 2012 C	300,000,000	2011/06/30	2012/01/30	75.00
65038	Barclays Bank plc - HSI R Bear CBBC Jan 2012 D	300,000,000	2011/06/30	2012/01/30	75.00
65039	HK Bank - HSI R Bear CBBC Feb 2012 A	100,000,000	2011/06/30	2012/02/28	25.00
65041	HK Bank - HSI R Bear CBBC Feb 2012 B	100,000,000	2011/06/30	2012/02/28	26.00
65042	UBS AG - Petrochina R Bull CBBC Dec 2011 A	100,000,000	2011/06/30	2011/12/19	25.00
Total					54,073.65

DR/ELI/Trading Only Stocks Trading Statistics

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2010	Q4 *	2	119.44
2011	Q1	2	139.30
	Q2	3	331.18

* The first DR commenced trading on 8 December 2010

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2010	Q2	–	–
	Q3	–	–
	Q4	–	–
2011	Q1	–	–
	Q2	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2010	Q2	7	0.05
	Q3	7	0.30
	Q4	7	0.30
2011	Q1	7	0.06
	Q2	7	0.32

iShares

		No. of issues	Turnover value (HK\$ mil.)
2010	Q2	2	0.00
	Q3	2	0.00
	Q4	2	0.00
2011	Q1	2	0.00
	Q2	2	0.00

GEM Equities

Equity Turnover - GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2010	Q2	139,315.11	39,315.10	987,294
	Q3	88,672.33	24,297.92	647,501
	Q4	120,693.51	38,527.65	969,546
2011	Q1	124,595.93	22,614.51	608,740
	Q2	79,170.20	20,231.96	507,155

Equity Trading Statistics - GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2010	Q2	60	655.25	39,821
	Q3	64	379.65	37,526
	Q4	64	601.99	39,738
2011	Q1	62	364.75	37,150
	Q2	59	342.91	39,893

20 Most Advanced GEM Stocks

for 2nd quarter 2011

Rank	Code	Stock	Closing price		% up
			End of Jun 2011	End of Mar 2011	
1	08270	CHINA LEASON	0.560	0.265	111.32
2	08186	CHINA ASEAN RES	0.430	0.255	68.63
3	08226	SUNRISE C TECH	1.340	0.860	55.81
4	08111	SOLUTECK	0.415	0.285	45.61
5	08279	AGTECH HOLDINGS	0.460	0.320	43.75
6	08070	ZHONGYU GAS	0.650	0.490	32.65
7	08052	CR ASIA	3.850	2.980	29.19
8	08233	CIG PORTS	0.117	0.091	28.57
9	08207	CREDIT CHINA	1.560	1.220	27.87
10	08249	YIDONG ELEC	0.230	0.180	27.78
11	08131	ABC MULTIACTIVE	0.540	0.425	27.06
12	08231	FUDANZHANGJIANG	2.030	1.650	23.03
13	08292	HC INTL	1.710	1.400	22.14
14	08151	ESSEX BIO-TECH	0.880	0.740	18.92
15	08198	MELCOLOT	0.148	0.125	18.40
16	08269	WEALTH GLORY	0.355	0.310	14.52
17	08123	FIRST CHINA NET	0.270	0.240	12.50
18	08033	VODATEL NETWORK	0.320	0.285	12.28
19	08055	CHI E-LEARNING	0.385	0.345	11.59
20	08061	ACROSSASIA	0.129	0.117	10.26

A Adjusted

GEM Equities

20 Most Declined GEM Stocks

for 2nd quarter 2011

Rank	Code	Stock	Closing price		% down
			End of Jun 2011	End of Mar 2011	
1	08078	CHINA3D DIGIT	0.020	0.083	-75.90
2	08212	HK LIFE GROUP	0.049	0.145	-66.21
3	08235	CCID CONSULTING	0.213	0.560	-61.96
4	08130	ZHI CHENG H-NEW	0.285	0.740 A	-61.49
5	08202	INNO-TECH HOLD	0.425	1.080 A	-60.65
6	08022	TLT LOTTOTAIN	0.115	0.285	-59.65
7	08167	NEO TELEMEDIA	0.480	1.140 A	-57.89
8	08271	GDC	0.395	0.870	-54.60
9	08088	CROSBY CAPITAL	0.085	0.174	-51.15
10	08075	ROJAM	0.208	0.385	-45.97
11	08053	CH ELEC POWER	0.103	0.175	-41.14
12	08011	POLYARD PETRO	0.082	0.138 A	-40.58
13	08166	CHINA ECO-FARM	0.084	0.141	-40.43
14	08003	GREAT WORLD	0.156	0.250	-37.60
15	08080	NAS HOLDINGS	0.047	0.073	-35.62
16	08071	CH NETCOMTECH	0.210	0.325	-35.38
17	08321	C AUTO INT DECO	0.750	1.120	-33.04
18	08047	PALMPAY CHINA	0.180	0.265	-32.08
19	08050	FLEXSYSTEM	0.425	0.620	-31.45
20	08312	BRILLIANCE WW	0.158	0.230	-31.30

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 2nd quarter 2011

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08137	HONBRIDGE	2,132.65	10.54
2	08207	CREDIT CHINA	1,893.67	9.36
3	08046	HENG XIN CHINA	1,483.50	7.33
4	08277	WUMART	721.20	3.56
5	08351	ETERNITE INT'L	680.37	3.36
6	08086	EPRO	654.00	3.23
7	08132	FAIRSON HLDGS	632.09	3.12
8	08161	CHINALOTSYNERGY	578.24	2.86
9	08150	SEAMLESS GREEN	573.92	2.84
10	08071	CH NETCOMTECH	558.96	2.76
11	08078	CHINA3D DIGIT	508.12	2.51
12	08167	NEO TELEMEDIA	463.57	2.29
13	08032	VIVA CHINA	453.73	2.24
14	08075	ROJAM	411.46	2.03
15	08043	ERA MINING MACH	373.78	1.85
16	08103	TAI SHING	368.60	1.82
17	08029	SUN INT'L	353.02	1.74
18	08220	BINGO GROUP	323.34	1.60
19	08270	CHINA LEASON	318.17	1.57
20	08176	CHINA AU GROUP	266.75	1.32
Total			13,749.14	67.96

GEM Equities

20 Most Active GEM Stock by Shares

for 2nd quarter 2011

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08078	CHINA3D DIGIT	15,363.99	19.41
2	08009	IMERCHANTS	8,707.36	11.00
3	08167	NEO TELEMEDIA	5,308.07	6.70
4	08382	CH 3D DIGIT RTS	4,636.69	5.86
5	08161	CHINALOTSYNERGY	2,500.63	3.16
6	08103	TAI SHING	2,189.95	2.77
7	08212	HK LIFE GROUP	2,180.22	2.75
8	08071	CH NETCOMTECH	1,981.79	2.50
9	08202	INNO-TECH HOLD	1,760.20	2.22
10	08130	ZHI CHENG H-NEW	1,607.12	2.03
11	08220	BINGO GROUP	1,549.09	1.96
12	08032	VIVA CHINA	1,400.40	1.77
13	08075	ROJAM	1,377.62	1.74
14	08132	FAIRSON HLDGS	1,288.01	1.63
15	08080	NAS HOLDINGS	1,251.44	1.58
16	08171	CHINA TRENDS	1,220.05	1.54
17	08022	TLT LOTTOTAIN	1,218.47	1.54
18	08046	HENG XIN CHINA	1,198.17	1.51
19	08207	CREDIT CHINA	1,128.63	1.43
20	08116	C PUBLIC HEALTH	1,061.06	1.34
Total			58,928.94	74.43

GEM Equities

Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2010	Q2	130,902.34
	Q3	129,859.18
	Q4	134,674.08
2011	Q1	137,835.27
	Q2	111,535.83

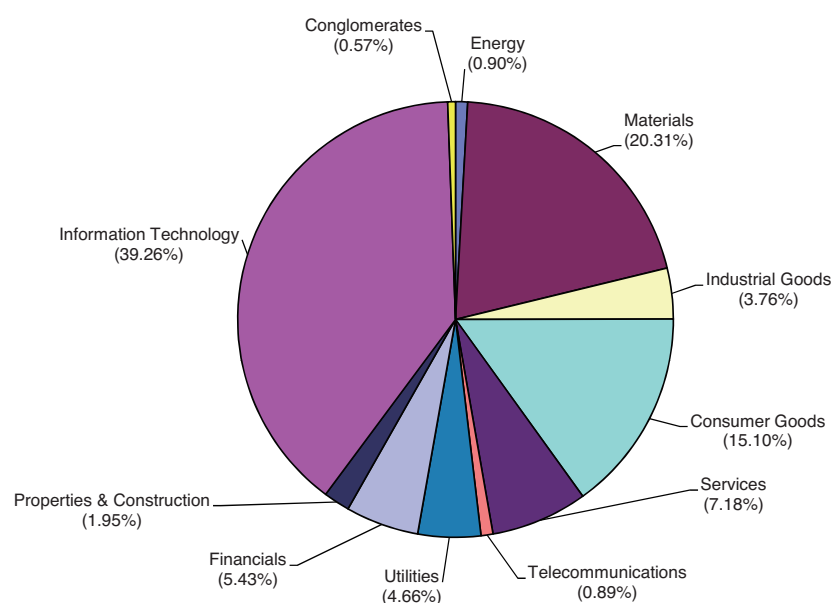
Market Capitalisation

as at 30 June 2011

Industry Classification	HK\$ mil.	HK\$% of totalmil.
Energy	998.90	0.90
Materials	22,652.42	20.31
Industrial Goods	4,195.88	3.76
Consumer Goods	16,844.99	15.10
Services	8,004.25	7.18
Telecommunications	988.62	0.89
Utilities	5,195.69	4.66
Financials	6,055.29	5.43
Properties & Construction	2,176.12	1.95
Information Technology	43,785.58	39.26
Conglomerates	638.09	0.57
Total	111,535.83	100.00

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

GEM Equities

50 Leading Companies by Market Capitalisation - GEM

as at the 2nd quarter end 2011

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08137 Honbridge Holdings Ltd.	15,932.59	14.28
2	08086 ePRO Ltd.	7,243.98	6.49
3	08032 Viva China Holdings Ltd.	4,961.28	4.45
4	08192 Global Energy Resources International Group Ltd.	3,387.96	3.04
5	08046 Heng Xin China Holdings Ltd.	3,282.96	2.94
6	08043 ERA Mining Machinery Ltd.	3,126.19	2.80
7	08035 Binhai Investment Co. Ltd.	2,996.41	2.69
8	08096 Ruifeng Petroleum Chemical Holdings Ltd.	2,965.02	2.66
9	08207 Credit China Holdings Ltd.	2,730.00	2.45
10	08008 Sunevision Holdings Ltd.	2,193.73	1.97
11	08075 Rojam Entertainment Holdings Ltd.	2,087.96	1.87
12	08206 China Communication Telecom Services Co. Ltd.	1,911.52	1.71
13	08071 China Netcom Technology Holdings Ltd.	1,906.00	1.71
14	08066 Phoenixtron Holdings Ltd.	1,699.91	1.52
15	08279 AGTech Holdings Ltd.	1,697.21	1.52
16	08158 China Bio-Med Regeneration Technology Ltd.	1,672.48	1.50
17	08161 China LotSynergy Holdings Ltd.	1,608.07	1.44
18	08155 South China Land Ltd.	1,564.99	1.40
19	08070 Zhongyu Gas Holdings Ltd.	1,539.20	1.38
20	08270 China Leason Investment Group Co. Ltd.	1,446.76	1.30
21	08029 Sun International Group Ltd.	1,419.23	1.27
22	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. - H Shares	1,347.75	1.21
23	08083 China Innovationpay Group Ltd.	1,202.20	1.08
24	08123 First China Financial Network Holdings Ltd.	1,087.82	0.98
25	08190 Combest Holdings Ltd.	976.46	0.88
26	08299 Grand T G Gold Holdings Ltd.	968.29	0.87
27	08156 China Vanguard Group Ltd.	950.46	0.85
28	08292 HC International, Inc.	929.02	0.83
29	08167 Neo Telemedia Ltd.	927.75	0.83
30	08186 China Asean Resources Ltd.	906.55	0.81
31	08005 Yuxing InfoTech Holdings Ltd.	884.45	0.79
32	08228 National Arts Holdings Ltd.	873.37	0.78
33	08306 China Nonferrous Metals Co. Ltd.	866.13	0.78
34	08087 China 33 Media Group Ltd.	862.42	0.77
35	08351 Eternite International Co. Ltd.	765.55	0.69
36	08203 Kaisun Energy Group Ltd.	748.49	0.67
37	08175 China Digital Licensing (Group) Ltd.	744.10	0.67
38	08116 China Public Healthcare (Holding) Ltd.	732.71	0.66
39	08153 Code Agriculture (Holdings) Ltd.	705.59	0.63
40	08102 Shanghai Fudan Microelectronics Group Co. Ltd. - H Shares	683.37	0.61
41	08290 Tianjin Tianlian Public Utilities Co., Ltd. - H Shares	660.08	0.59
42	08061 AcrossAsia Ltd.	653.34	0.59
43	08080 North Asia Strategic Holdings Ltd.	638.09	0.57
44	08128 China Ground Source Energy Ltd.	629.92	0.56
45	08325 Oriental City Group Holdings Ltd.	600.00	0.54
46	08226 Sunrise (China) Technology Group Ltd.	576.82	0.52
47	08109 Creative Energy Solutions Holdings Ltd.	554.40	0.50
48	08016 China Data Broadcasting Holdings Ltd.	551.10	0.49
49	08163 Merdeka Resources Holdings Ltd.	547.29	0.49
50	08220 Bingo Group Holdings Ltd.	541.65	0.49
Total		90,488.60	81.13
Market Total		111,535.83	100.00

GEM Equities

New Listing Statistics - equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2010	Q2	2	146.25
	Q3	2	78.24
	Q4	3	424.79
2011	Q1	3	452.01
	Q2	2	139.50

Newly Listed Companies for GEM

for 2nd quarter 2011

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08132	Fairson Holdings Ltd.	2011/05/18	550,000,000	49.50
08193	GreaterChina Professional Services Ltd.	2011/05/31	500,000,000	90.00
Total				139.50

Withdrawal of listed Companies - GEM

for 2nd quarter 2011

Code	Company name	Last trading date	Delisted date
08065	Sino Haijing Holdings Ltd. *	2011/05/11	2011/05/12 §
08305	Jiangchen International Holdings Ltd. *	2011/05/13	2011/05/16 §
08248	Perception Digital Holdings Ltd. *	2011/06/02	2011/06/03 §
08052	Convenience Retail Asia Ltd. *	2011/06/17	2011/06/20 §
08151	Essex Bio-Technology Ltd. *	2011/06/22	2011/06/23 §
08277	Wumart Stores, Inc. - H Shares *	2011/06/29	2011/06/30 §

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

Company Name Changes for GEM

for 2nd quarter 2011

Code	Old name	New name	Effective date	Adoption date
08096	ThinSoft (Holdings) Inc.	Ruifeng Petroleum Chemical Holdings Ltd.	2011/03/11	2011/04/15
08213	Armitage Technologies Holding Ltd.	Epicurean and Co., Ltd.	2011/03/15	2011/04/19
08083	SYSCAN Technology Holdings Ltd.	China Innovationpay Group Ltd.	2011/05/02	2011/06/07
08043	ERA Holdings Global Ltd.	ERA Mining Machinery Ltd.	2011/05/09	2011/06/27

* Change of English name only

Change of Chinese name only

GEM Equities

Bonus Issues / Bonus Warrants for GEM

for 2nd quarter 2011

Code	Company	Particulars	Ex-date
08277	Wumart Stores, Inc. - H Shares	3 for 1	2011/04/04

Share Split / Consolidation for GEM

for 2nd quarter 2011

Code	Company	Particulars	Effective date
08277	Wumart Stores, Inc. - H Shares	Consolidation 4 into 1	2011/04/12
08317	Finet Group Ltd.	Consolidation 5 into 1	2011/05/20
08011	Polyard Petroleum International Group Ltd.	Consolidation 2 into 1	2011/05/20
08130	Zhi Cheng Holdings Ltd.	Consolidation 20 into 1	2011/06/01
08202	Inno-Tech Holdings Ltd.	Consolidation 20 into 1	2011/06/28
08167	Neo Telemedia Ltd.	Consolidation 10 into 1	2011/06/30

Rights Issues & Open Offers for GEM

for 2nd quarter 2011

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Apr	08078	China 3D Digital Entertainment Ltd.	Rts 7 for 1 @\$0.015	114.02
Jun	08317	Finet Group Ltd.	Open offer 4 for 1 @\$0.25 (after consolidation 5 into 1)	88.16
	08011	Polyard Petroleum International Group Ltd.	Rts 1 for 1 @\$0.12 (after consolidation 2 into 1)	54.93
	08266	Mobile Telecom Network (Holdings) Ltd.	Open offer 1 for 1 @\$0.10	58.86
Total				315.97

GEM Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)	
2011						
Mar **	08351	ETERNITE INT'L	64,500,000	0.553	35.67	
	08150	SEAMLESS GREEN	28,000,000	0.700	19.60	
Apr	08351	ETERNITE INT'L	26,000,000	0.553	14.38	
	08019	HAO WEN HLDGS	300,000,000	0.055	16.50	
	08165	JIAN EPAYMENT	179,600,000	0.205	36.82	
	08022	TLT LOTTOTAIN	100,000,000	0.295	29.50	
	08070	ZHONGYU GAS	394,000,000	0.410	161.54	
May	08089	C RAILLOGISTICS	112,000,000	0.430	48.16	
	08016	CHINA DATACAST	16,000,000	0.500	8.00	
	08212	HK LIFE GROUP	356,160,000	0.100	35.62	
	08103	TAI SHING	393,500,000	0.161	63.35	
	08022	TLT LOTTOTAIN	65,000,000	0.245	15.93	
	08079	UL CREATIVITY	105,000,000	0.105	11.03	
	08269	WEALTH GLORY	110,400,000	0.370	40.85	
	08021	WLS HOLDINGS	118,380,000	0.188	22.26	
	Jun	08207	CREDIT CHINA	90,000,000	1.750	157.50
		08292	HC INTL	53,809,685	1.500	80.71
08100		M DREAM INWORLD	400,000,000	0.065	26.00	
08075		ROJAM	6,918,343,209	0.017	118.58	
	08150	SEAMLESS GREEN	35,000,000	1.450	50.75	
Total					992.73	

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

GEM Warrants

Warrant Trading and Market Value Statistics - GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2010	Q2	1	0.04	1.49
	Q3	1	8.43	35.85
	Q4	1	13.61	40.15
2011	Q1	2	2.74	43.08
	Q2	2	1.03	21.87

Newly Listed Equity GEM Warrants

for 2nd quarter 2011

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					-

Stock Market Indices

Hang Seng Index



S&P/HKEx LargeCap Index



S&P/HKEx GEM Index



Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Jun 2011 Close	End of Mar 2011 Close	Change %
S&P/HKEx LargeCap Index	26685.63	28034.90	- 4.81%
S&P/HKEx GEM Index	695.54	769.66	- 9.63%
HANG SENG INDEX	22398.10	23527.52	- 4.80%
SECTORIAL INDICES			
Finance	31908.19	34488.12	- 7.48%
Utilities	46286.11	42761.10	+ 8.24%
Properties	27976.75	30035.02	- 6.85%
Commerce & Industry	12993.33	13324.00	- 2.48%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	4192.08	4244.39	- 1.23%
HANG SENG CHINA ENTERPRISES INDEX	12576.68	13315.84	- 5.55%

Stock Market Indices

WORLD INDICES

Index	End of Jun 2011 Close	End of Mar 2011 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	26,685.63	28,034.90	- 4.81%
Hang Seng Index	22,398.10	23,527.52	- 4.80%
AUSTRALIA			
All Ordinaries Index	4,659.79	4,928.61	- 5.45%
JAKARTA			
Composite Index	3,888.57	3,678.67	+ 5.71%
JAPAN			
Nikkei (225)	9,816.09	9,755.10	+ 0.63%
KOREA			
Composite Index	2,100.69	2,106.70	- 0.29%
KUALA LUMPUR			
Composite Index	1,579.07	1,545.13	+ 2.20%
MANILA			
Composite Index	4,291.21	4,055.14	+ 5.82%
NEW ZEALAND			
NZSE (50)	3,448.35	3,439.85	+ 0.25%
SHANGHAI			
A Shares Index	2,893.53	3,065.92	- 5.62%
B Shares Index	271.31	317.45	- 14.53%
SHENZHEN			
A Shares Index	1,210.12	1,311.83	- 7.75%
B Shares Index	723.45	817.49	- 11.50%
SINGAPORE			
FTSE Straits Times Index	3,120.44	3,105.85	+ 0.47%
TAIWAN			
Taipei Weighted Stock Index	8,652.59	8,683.30	- 0.35%
THAILAND			
SET Index	1,041.48	1,047.48	- 0.57%
NEW YORK			
DJIA	12,414.34	12,319.73	+ 0.77%
Nasdaq Composite Index	2,773.52	2,781.07	- 0.27%
TORONTO			
S&P/TSX Composite Index	13,300.87	14,116.10	- 5.78%
GERMANY			
DAX Capital Value Index	4,184.30	4,114.03	+ 1.71%
LONDON			
FTSE (100)	5,945.70	5,908.80	+ 0.62%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2011	2nd Quarter 2010
Hang Seng Index Futures	5,167,935	5,031,763
Hang Seng Index Options	2,584,559	1,935,115
Mini-Hang Seng Index Futures	2,160,435	2,084,704
Mini-Hang Seng Index Options	219,925	104,355
H-shares Index Futures	3,371,887	3,223,123
H-shares Index Options	969,847	748,555
Mini H-shares Index Futures	410,354	255,495
Flexible Hang Seng Index Options	600	–
Flexible H-shares Index Options	2,600	–
HSI Dividend Point Index Futures ¹	1,781	–
HSCEI Dividend Point Index Futures ²	2,941	–
Stock Futures	102,565	34,403
Stock Options	16,340,993	14,262,472
Three-month HIBOR Futures	58	264
One-month HIBOR Futures	122	–
Three-year Exchange Fund Note Futures	–	–
Gold Futures	709	1,439
Total	31,337,311	27,681,688

¹ Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

² Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Derivatives Market – Futures and Options

Hang Seng Index Futures - Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q2	5,031,763	77,070
	Q3	5,027,573	113,153
	Q4	5,839,361	88,816
2011	Q1	5,589,341	89,300
	Q2	5,167,935	95,917

Mini Hang Seng Index Futures - Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q2	2,084,704	7,602
	Q3	1,948,828	7,181
	Q4	2,150,209	7,359
2011	Q1	2,176,878	8,475
	Q2	2,160,435	10,213

Hang Seng Index Options - Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q2	1,935,115	202,453
	Q3	2,035,171	235,934
	Q4	2,731,179	187,784
2011	Q1	2,673,755	229,086
	Q2	2,584,559	222,085

H-Share Index Futures - Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q2	3,223,123	89,089
	Q3	2,998,397	114,171
	Q4	3,242,625	94,734
2011	Q1	3,206,173	107,348
	Q2	3,371,887	114,333

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2010	Q2	12,614.00	14,262,472	5,163,995
	Q3	11,967.70	13,877,094	5,090,926
	Q4	18,050.22	20,445,628	5,381,215
2011	Q1	16,446.28	18,417,824	5,912,772
	Q2	14,491.79	16,340,993	5,622,028

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 2nd Quarter 2011

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	286.50	1.98%
ABC	ABC	225.54	1.56%
AIA	AIA	228.78	1.58%
CHALCO	ALC	55.09	0.38%
BANK OF CHINA	BCL	420.48	2.90%
BANKCOMM	BCM	78.63	0.54%
BANK OF E ASIA	BEA	12.64	0.09%
BOC HONG KONG	BOC	239.12	1.65%
BYD COMPANY	BYD	70.69	0.49%
CCB	CCB	526.56	3.63%
CHINA COMM CONS	CCC	27.48	0.19%
CHINA COAL	CCE	56.66	0.39%
CHINA COSCO	CCS	32.95	0.23%
CHINA MOBILE	CHT	1,704.44	11.76%
CHINA UNICOM	CHU	156.37	1.08%
CITIC PACIFIC	CIT	26.02	0.18%
CHEUNG KONG	CKH	552.16	3.81%
CHINA LIFE	CLI	1,934.49	13.35%
CLP HOLDINGS	CLP	59.93	0.41%
CM BANK	CMB	76.15	0.53%
CNOOC	CNC	563.94	3.89%
CHINA OVERSEAS	COL	67.10	0.46%
CATHAY PAC AIR	CPA	16.43	0.11%
SINOPEC CORP	CPC	146.66	1.01%
CPIC	CPI	40.40	0.28%
CHINA RAIL CONS	CRC	17.22	0.12%
CHINA RAILWAY	CRG	21.95	0.15%
X WISECSI300ETF	CS3	3.42	0.02%
CHINA SHENHUA	CSE	93.82	0.65%
CITIC BANK	CTB	15.10	0.10%
CHINA TELECOM	CTC	93.74	0.65%
ESPRIT HOLDINGS	ESP	28.42	0.20%
FIH	FIH	9.64	0.07%
POWER ASSETS	HEH	35.77	0.25%
HKEX	HEX	492.18	3.40%
HSBC HOLDINGS	HKB	1,621.18	11.19%
HK & CHINA GAS	HKG	8.82	0.06%
HENDERSON LAND	HLD	39.92	0.28%
HUANENG POWER	HNP	9.15	0.06%
HANG SENG BANK	HSB	223.93	1.55%
HUTCHISON	HWL	1,143.23	7.89%
ICBC	ICB	433.21	2.99%
JIANGXI COPPER	JXC	96.65	0.67%
LI & FUNG	LIF	126.24	0.87%
MTR CORPORATION	MTR	11.99	0.08%
NEW WORLD DEV	NWD	8.49	0.06%
PING AN	PAI	532.85	3.68%
PETROCHINA	PEC	311.24	2.15%
PICC P&C	PIC	41.26	0.28%
R&F PROPERTIES	RFP	7.65	0.05%
SHK PPT	SHK	747.31	5.16%
SWIRE PACIFIC A	SWA	45.06	0.31%
TENCENT	TCH	540.17	3.73%
TRACKER FUND	TRF	9.11	0.06%
WHARF HOLDINGS	WHL	49.66	0.34%
YANZHOU COAL	YZC	50.17	0.35%
ZIJIN MINING	ZJM	18.06	0.12%
Total		14,491.79	100.00%

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 2nd Quarter 2011

Options class		Contract volume	% of total
X ISHARES A50	A50	149,747	0.92%
ABC	ABC	125,006	0.76%
AIA	AIA	333,206	2.04%
CHALCO	ALC	75,250	0.46%
BANK OF CHINA	BCL	1,516,691	9.28%
BANKCOMM	BCM	193,159	1.18%
BANK OF E ASIA	BEA	58,466	0.36%
BOC HONG KONG	BOC	216,347	1.32%
BYD COMPANY	BYD	72,493	0.44%
CCB	CCB	1,702,961	10.42%
CHINA COMM CONS	CCC	105,369	0.64%
CHINA COAL	CCE	121,114	0.74%
CHINA COSCO	CCS	136,182	0.83%
CHINA MOBILE	CHT	943,345	5.77%
CHINA UNICOM	CHU	146,029	0.89%
CITIC PACIFIC	CIT	31,846	0.19%
CHEUNG KONG	CKH	141,909	0.87%
CHINA LIFE	CLI	828,619	5.07%
CLP HOLDINGS	CLP	131,784	0.81%
CM BANK	CMB	273,866	1.68%
CNOOC	CNC	505,796	3.10%
CHINA OVERSEAS	COL	60,277	0.37%
CATHAY PAC AIR	CPA	31,006	0.19%
SINOPEC CORP	CPC	252,137	1.54%
CPIC	CPI	54,114	0.33%
CHINA RAIL CONS	CRC	47,971	0.29%
CHINA RAILWAY	CRG	70,643	0.43%
X WISECSI300ETF	CS3	3,426	0.02%
CHINA SHENHUA	CSE	214,504	1.31%
CITIC BANK	CTB	53,685	0.33%
CHINA TELECOM	CTC	115,521	0.71%
ESPRIT HOLDINGS	ESP	159,065	0.97%
FIH	FIH	26,635	0.16%
POWER ASSETS	HEH	65,563	0.40%
HKEX	HEX	1,247,338	7.63%
HSBC HOLDINGS	HKB	1,615,635	9.89%
HK & CHINA GAS	HKG	19,910	0.12%
HENDERSON LAND	HLD	33,916	0.21%
HUANENG POWER	HNP	24,452	0.15%
HANG SENG BANK	HSB	225,518	1.38%
HUTCHISON	HWL	532,962	3.26%
ICBC	ICB	1,440,431	8.81%
JIANGXI COPPER	JXC	128,363	0.79%
LI & FUNG	LIF	55,671	0.34%
MTR CORPORATION	MTR	44,634	0.27%
NEW WORLD DEV	NWD	16,282	0.10%
PING AN	PAI	397,434	2.43%
PETROCHINA	PEC	427,240	2.61%
PICC P&C	PIC	52,112	0.32%
R&F PROPERTIES	RFP	37,071	0.23%
SHK PPT	SHK	142,811	0.87%
SWIRE PACIFIC A	SWA	36,362	0.22%
TENCENT	TCH	750,709	4.59%
TRACKER FUND	TRF	53,900	0.33%
WHARF HOLDINGS	WHL	32,477	0.20%
YANZHOU COAL	YZC	27,027	0.17%
ZIJIN MINING	ZJM	35,006	0.21%
Total		16,340,993	100.00%

Clearing and Settlement Statistics

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2010	Q2	77,887	44.35	176.97
	Q3	74,032	46.78	169.54
	Q4	92,915	54.76	234.79
2011	Q1	86,292	47.11	202.59
	Q2	87,498	49.00	233.07

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/Month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2010	Q2	543	129.16	252.42
	Q3	499	115.92	177.54
	Q4	715	120.35	480.27
2011	Q1	516	178.77	227.52
	Q2	527	108.05	323.66

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2010	Q2	3,409.67	71.10	8,904.81	48.93
	Q3	3,538.16	71.67	10,442.07	50.28
	Q4	3,834.10	71.80	11,294.50	49.57
2011	Q1	3,933.19	72.49	11,539.57	50.44
	Q2	3,707.09	69.37	11,612.87	50.11

Clearing and Settlement Statistics

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2010	Q2	759,995	100	127,045.87	100	62,890.79	100
	Q3	688,441	100	140,304.39	100	61,762.38	100
	Q4	904,380	100	171,236.05	100	86,455.45	100
2011	Q1	855,117	100	164,899.54	100	75,908.44	100
	Q2	869,474	100	156,793.01	100	71,017.11	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2010	Q2	68,933	95.24	27,021.58	89.16
	Q3	65,867	95.01	24,660.06	91.10
	Q4	80,167	95.27	31,301.46	90.52
2011	Q1	74,506	95.39	27,083.36	91.57
	Q2	70,588	95.73	25,359.54	91.71

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2010	Q2	99.87	99.99
	Q3	99.90	99.99
	Q4	99.86	99.99
2011	Q1	99.90	99.99
	Q2	99.88	99.99

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2011	2nd Quarter 2010
Hang Seng Index Futures	95,917	77,070
Hang Seng Index Options	222,085	202,453
Mini-Hang Seng Index Futures	10,213	7,602
Mini-Hang Seng Index Options	5,681	4,525
H-shares Index Futures	114,333	89,089
H-shares Index Options	163,542	112,929
Mini H-shares Index Futures	2,945	1,399
Flexible Hang Seng Index Options	5,410	–
Flexible H-shares Index Options	2,600	–
HSI Dividend Point Index Futures ¹	5,644	–
HSCEI Dividend Point Index Futures ²	4,111	–
Stock Futures	19,267	5,822
Stock Options	5,622,028	5,163,995
Three-month HIBOR Futures	83	155
One-month HIBOR Futures	55	–
Three-year Exchange Fund Note Futures	–	–
Gold Futures	41	166
Total	6,273,955	5,665,205

¹ Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

² Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 2nd Quarter 2011

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	55,955	1.00
ABC	ABC	53,986	0.96
AIA	AIA	92,277	1.64
CHALCO	ALC	28,770	0.51
BANK OF CHINA	BCL	597,987	10.64
BANKCOMM	BCM	79,003	1.41
BANK OF E ASIA	BEA	37,082	0.66
BOC HONG KONG	BOC	105,012	1.87
BYD COMPANY	BYD	24,540	0.44
CCB	CCB	733,812	13.05
CHINA COMM CONS	CCC	56,341	1.00
CHINA COAL	CCE	53,926	0.96
CHINA COSCO	CCS	50,648	0.90
CHINA MOBILE	CHT	271,802	4.83
CHINA UNICOM	CHU	64,332	1.14
CITIC PACIFIC	CIT	19,872	0.35
CHEUNG KONG	CKH	38,600	0.69
CHINA LIFE	CLI	248,578	4.42
CLP HOLDINGS	CLP	32,629	0.58
CM BANK	CMB	109,651	1.95
CNOOC	CNC	191,669	3.41
CHINA OVERSEAS	COL	19,616	0.35
CATHAY PAC AIR	CPA	18,556	0.33
SINOPEC CORP	CPC	148,462	2.64
CPIC	CPI	14,990	0.27
CHINA RAIL CONS	CRC	21,415	0.38
CHINA RAILWAY	CRG	25,953	0.46
X WISECSI300ETF	CS3	2,058	0.04
CHINA SHENHUA	CSE	81,963	1.46
CITIC BANK	CTB	35,474	0.63
CHINA TELECOM	CTC	57,216	1.02
ESPRIT HOLDINGS	ESP	36,663	0.65
FIH	FIH	6,369	0.11
POWER ASSETS	HEH	20,869	0.37
HKEX	HEX	313,218	5.57
HSBC HOLDINGS	HKB	405,697	7.22
HK & CHINA GAS	HKG	16,450	0.29
HENDERSON LAND	HLD	6,375	0.11
HUANENG POWER	HNP	12,272	0.22
HANG SENG BANK	HSB	96,925	1.72
HUTCHISON	HWL	107,707	1.92
ICBC	ICB	563,556	10.02

Clearing & Settlement

Options class		Open interest (contracts)	% of total
JIANGXI COPPER	JXC	28,110	0.50
LI & FUNG	LIF	17,772	0.32
MTR CORPORATION	MTR	20,928	0.37
NEW WORLD DEV	NWD	7,933	0.14
PING AN	PAI	96,878	1.72
PETROCHINA	PEC	180,141	3.20
PICC P&C	PIC	19,012	0.34
R&F PROPERTIES	RFP	15,800	0.28
SHK PPT	SHK	66,200	1.18
SWIRE PACIFIC A	SWA	9,298	0.17
TENCENT	TCH	151,038	2.69
TRACKER FUND	TRF	12,142	0.22
WHARF HOLDINGS	WHL	17,004	0.30
YANZHOU COAL	YZC	7,483	0.13
ZIJIN MINING	ZJM	14,013	0.25
Total		5,622,028	100.00

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2010	Q2	523 (946)	471 (891)	30 (33)	22 (22)
	Q3	530 (953)	478 (898)	30 (33)	22 (22)
	Q4	538 (960)	485 (904)	31 (34)	22 (22)
2011	Q1	544 (965)	489 (907)	33 (36)	22 (22)
	Q2	547 (970)	490 (906)	35 (42)	22 (22)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2010	Q2	214 (239)	171 (194)	–	43 (45)
	Q3	220 (245)	177 (200)	–	43 (45)
	Q4	221 (246)	179 (202)	–	42 (44)
2011	Q1	222 (246)	180 (202)	–	42 (44)
	Q2	228 (252)	184 (206)	–	44 (46)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

Year/month		Clearing Participants	General Clearing Participants
2010	Q2	148	9
	Q3	152	10
	Q4	153	9
2011	Q1	156	9
	Q2	159	9

SEOCH Participants

Year/month		Direct Clearing Participants	General Clearing Participants
2010	Q2	54	6
	Q3	55	6
	Q4	56	6
2011	Q1	57	7
	Q2	57	7

HKSCC Participants

Year/month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2010	Q2	455	7	41
	Q3	460	7	41
	Q4	468	7	44
2011	Q1	473	8	46
	Q2	474	8	46

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

Acronyms

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price / earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction