



證券及衍生產品市場 季報

2013年第二季

市場概況

主板

概況	2013年第2季	2012年第2季
上市公司數目	1,384	1,342
上市證券數目	7,991	6,747
股份		
普通股	1,385	1,343
優先股	3	3
認股權證	4,905	4,046
股份	10	14
衍生	4,895	4,032
股票掛鈎票據	0	0
牛熊證	1,222	1021
單位信託及互惠基金	121	103
債券	355	231
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	60	61
總成交金額(百萬港元)*	3,722,955.78	3,054,292.16
總成交股數(百萬股)	8,712,875.48	8,211,427.70
總成交宗數(宗數)	57,258,564	44,746,737
上市公司市價總值(百萬港元)	20,607,719.38	18,351,873.28
平均市盈率(倍)	9.80	8.78
平均週息率(百分率)	3.33	3.47
交易所參與者數目		
開業	507	503
非開業	42	39
成交金額(百萬港元)		
最高	97,169.63 (21/06)	76,534.41 (31/05)
最低	42,633.39 (27/05)	36,673.28 (28/05)
成交股數(百萬股)		
最高	205,743.98 (20/06)	168,227.42 (16/05)
最低	93,356.54 (29/04)	87,792.75 (30/04)
總成交宗數(宗數)		
最高	1,504,632 (25/06)	1,002,391 (16/05)
最低	701,288 (29/04)	567,529 (28/05)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2013 年 第 2 季	2012 年 第 2 季
上市公司數目	183	177
上市證券數目	183	179
股份	183	177
認股權證	0	2
交易日數	60	61
總成交金額(百萬港元)*	15,384.62	6,875.14
總成交股數(百萬股)	38,483.69	33,829.05
總成交宗數(宗數)	413,646	228,063
上市公司市價總值(百萬港元)	98,730.52	77,357.91
平均市盈率(倍)	33.95	17.36
平均週息率(百分率)	0.93	0.53
成交金額(百萬港元)		
最高	875.41 (07/05)	353.03 (13/04)
最低	111.99 (03/04)	52.11 (25/06)
成交股數(百萬股)		
最高	1,320.90 (26/04)	1,562.26 (13/04)
最低	356.46 (09/04)	198.35 (22/06)
總成交宗數(宗數)		
最高	15,938 (07/05)	6,459 (02/04)
最低	3,549 (15/04)	2,009 (25/06)

*成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2013年第2季	2012年第2季
所有期貨及期權產品(股票期權除外)		
交易日數	60.0	61.0
總合約成交量	18,449,749	16,842,634
股市指數產品	18,293,427	16,782,405
股票產品	110,084	60,172
利率產品	0	55
人民幣貨幣期貨	46,238	—
黃金期貨	0	2
平均每日合約成交量	307,496	276,109
季末未平倉合約		
股市指數產品	1,716,051	1,054,101
股票產品	1,690,765	1,043,526
利率產品	18,258	10,510
利率產品	0	65
人民幣貨幣期貨	7,028	—
黃金期貨	0	0
股票期權		
新開辦期權類別	0	—
股票期權數目(於季末數目)		
類別	65	63
系列	17,304	14,708
期權金總額(百萬港元)		
認購	9,361.17	8,578.21
認沽	3,525.89	3,006.23
認沽	5,835.29	5,571.98
平均每日期權金總額(百萬港元)	156.02	140.63
總合約成交量	15,369,742	13,741,210
認購	7,012,176	5,810,627
認沽	8,357,566	7,930,583
平均每日合約成交量	256,162	225,266
季末未平倉合約	4,810,169	5,060,742
認購	2,219,643	1,926,473
認沽	2,590,526	3,134,269
總成交宗數	658,657	577,189
平均每日成交宗數	10,978	9,462
已行使合約總數	2,114,831	1,992,879
每張合約平均期權金(港元)	609.07	624.27
每宗交易平均合約數目	23	24
認沽數量／認購數量	1.19	1.36
平均每日合約成交量／未平倉合約	5.3%	4.5%
所有期貨及期權產品		
總合約成交量	33,819,491	30,583,844
季末未平倉合約	6,526,220	6,114,843

市場概況

結算及交收

中央結算系統營運資料	2013年第2季	2012年第2季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	960,186	737,075
-交易金額(十億港元)	62.2	50.2
-涉及股數(十億股)	145.8	135.2
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	81,848	70,419
-交收指示涉及金額(十億港元)	218.9	179.8
-涉及股數(十億股)	58.4	43.0
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	386	329
-交收指示涉及金額(百萬港元)	229.9	254.7
-涉及股數(百萬股)	70.5	115.4
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.89	99.91
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	4	2
-補購宗數	4	2
-補購涉及金額(百萬港元)	4.7	0.5
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	3,726.3	3,713.6
-獲納入系統的證券佔已發行股本總數的百分比	70.11	70.37
-股份價值(十億港元)	11,616.4	10,006.9
-獲納入系統的證券佔市價總值的百分比	51.72	50.29
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	390,823	304,527
-股票期貨	18,258	10,510
-黃金期貨	0	0
-利率期貨	0	65
-人民幣貨幣期貨	7,028	-
-股市指數期權	1,299,942	738,999
-股票期權	4,810,169	5,060,742
累積行使股票期權		
-涉及股數(百萬股)	2,478	2,048
-涉及金額(十億港元)	39	31

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2012	第 2 季	409,732.26	2,175,416.95	36,208,311
	第 3 季	437,269.47	2,123,255.03	35,393,350
	第 4 季	524,830.38	2,473,053.10	40,125,188
2013	第 1 季	668,094.05	3,081,052.48	47,851,601
	第 2 季	535,314.29	2,706,214.49	47,274,428

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2012	第 2 季	61	35,662.57	60,081
	第 3 季	64	33,175.86	59,990
	第 4 季	61	40,541.85	61,633
2013	第 1 季	59	52,221.23	64,388
	第 2 季	60	45,103.57	57,245

主 板 股 份

20種升幅最大之股份

2013年第2季

順次	股份代號	股份	收市價		升幅
			2013年6月底	2013年3月底	
1	00169	恒力商業地產	4.180	0.345	1,111.59
2	00582	嘉輝化工	0.445	0.086	417.44
3	01808	企展控股	0.640	0.200	220.00
4	00337	盛高置地	8.190	2.780	194.60
5	00106	深圳科技	0.930	0.385	141.56
6	02668	百德國際	1.200	0.500	140.00
7	00508	鼎億集團投資	0.570	0.240	137.50
8	01152	豐臨集團	2.400	1.100	118.18
9	00777	網龍	19.360	8.880	118.02
10	01046	寰宇國際	0.124	0.058	113.79
11	00439	英發國際	0.280	0.133	110.53
12	02088	西王糖業	1.640	0.780	110.26
13	01182	中青基業	0.207	0.106	95.28
14	01236	乾隆科技	1.620	0.840	92.86
15	01282	世達科技	0.365	0.190	92.11
16	00869	彩星玩具	1.410	0.750	88.00
17	00362	中國天化工	0.385	0.209	84.21
18	03888	金山軟件	12.820	7.140	79.55
19	00095	新澤控股	0.465	0.260	78.85
20	00176	聯太工業	0.710	0.400	77.50

A 經調整

20種跌幅最大之股份

2013年第2季

順次	股份代號	股份	收市價		跌幅
			2013年6月底	2013年3月底	
1	00377	新洲印刷集團	0.410	1.390	-70.50
2	02366	勤+緣媒體服務	0.119	0.345	-65.51
3	00648	中國仁濟醫療	0.021	0.060	-65.00
4	00847	哈薩克銅業-S	31.050	83.900	-62.99
5	00690	聯康生物科技集團	0.167	0.425	-60.71
6	00412	漢基控股	0.175	0.392	-55.36
7	03335	DBA電訊	2.030	4.520	-55.09
8	03330	靈寶黃金	1.340	2.920	-54.11
9	01818	招金礦業	4.990	10.420	-52.11
10	01733	永暉焦煤	0.440	0.900	-51.11
11	00975	MONGOL MINING	1.440	2.850	-49.47
12	00379	必美宜	0.236	0.455	-48.13
13	01004	麗盛集團控股	0.305	0.580	-47.41
14	01171	兗州煤業股份	5.560	10.460	-46.85
15	00326	中國星集團	0.208	0.390	-46.67
16	02899	紫金礦業	1.370	2.560	-46.48
17	00631	三一國際	1.950	3.590	-45.68
18	01229	南南資源	0.360	0.640	-43.75
19	00139	中國微電子	0.115	0.200	-42.50
20	01938	珠江鋼管	2.200	3.800	-42.11

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2013年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	01398	工商銀行	113,449.09	4.19
2	00939	建設銀行	102,169.37	3.78
3	00941	中國移動	78,635.99	2.91
4	03988	中國銀行	76,555.18	2.83
5	00005	匯豐控股	75,486.72	2.79
6	00700	騰訊控股	72,871.43	2.69
7	01299	友邦保險	54,284.14	2.01
8	00883	中國海洋石油	47,763.23	1.76
9	02628	中國人壽	45,613.20	1.69
10	02318	中國平安	44,123.40	1.63
11	00857	中國石油股份	43,998.97	1.63
12	00386	中國石油化工股份	40,837.13	1.51
13	00016	新鴻基地產	37,191.29	1.37
14	00001	長江實業	34,498.20	1.27
15	00027	銀河娛樂	34,152.87	1.26
16	01988	民生銀行	33,071.11	1.22
17	00388	香港交易所	32,080.21	1.19
18	00013	和記黃埔	31,333.99	1.16
19	01088	中國神華	30,876.97	1.14
20	00688	中國海外發展	29,625.80	1.09
總 額			1,058,618.28	39.12

20種成交最活躍股份(股數)

2013年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	03988	中國銀行	22,050.03	4.12
2	01398	工商銀行	21,931.80	4.10
3	00939	建設銀行	17,104.60	3.20
4	03800	保利協鑫能源	11,958.80	2.23
5	00981	中芯國際	11,486.79	2.15
6	01288	農業銀行	8,359.19	1.56
7	00566	漢能太陽能	6,953.65	1.30
8	00702	中國油氣控股	6,563.70	1.23
9	00386	中國石油化工股份	5,526.98	1.03
10	00152	深圳國際	5,507.82	1.03
11	00622	威華達控股	5,177.01	0.97
12	00857	中國石油股份	4,777.34	0.89
13	00076	南海石油	4,145.97	0.77
14	00261	中建科技國際	4,069.38	0.76
15	03333	恒大地產	3,748.79	0.70
16	01988	民生銀行	3,725.97	0.70
17	00136	馬斯葛集團	3,608.13	0.67
18	01094	中國公共採購	3,553.66	0.66
19	00883	中國海洋石油	3,458.01	0.65
20	00493	國美電器	3,397.73	0.63
總 數			157,105.35	29.35

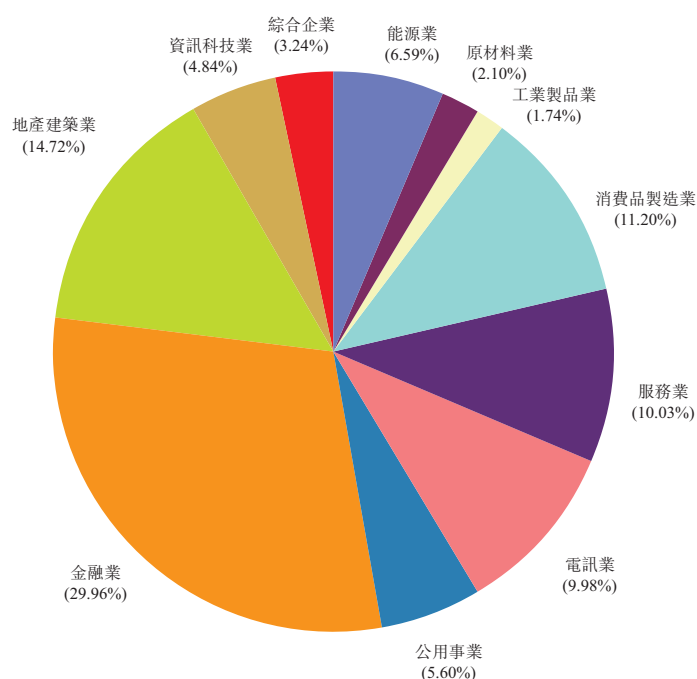
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2012	第2季	18,351,873.28
	第3季	19,575,154.28
	第4季	21,871,730.17
2013	第1季	21,865,919.62
	第2季	20,607,719.38

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主 板 股 份

50間市價總值最大之上市公司

截至2013年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,628,173.24	7.90
2	00005	匯豐控股有限公司	1,513,389.73	7.34
3	00939	中國建設銀行股份有限公司－H股	1,319,891.09	6.40
4	00883	中國海洋石油有限公司	587,545.39	2.85
5	00700	騰訊控股有限公司	563,283.05	2.73
6	01398	中國工商銀行股份有限公司－H股	424,422.88	2.06
7	02888	渣打集團有限公司	413,135.78	2.00
8	01299	友邦保險控股有限公司	395,645.40	1.92
9	00013	和記黃埔有限公司	347,677.89	1.69
10	02378	英國保誠有限公司*	–	322,724.49 N.A.
11	01928	金沙中國有限公司	294,479.12	1.43
12	00016	新鴻基地產發展有限公司	267,361.96	1.30
13	03988	中國銀行股份有限公司－H股	266,755.06	1.29
14	02388	中銀香港(控股)有限公司	252,160.81	1.22
15	00001	長江實業(集團)有限公司	243,660.49	1.18
16	00762	中國聯合網絡通信(香港)股份有限公司	243,432.83	1.18
17	00945	Manulife Financial Corporation *	–	229,549.58 N.A.
18	00805	Glencore Xstrata plc *	–	228,103.41 N.A.
19	00011	恒生銀行有限公司	219,288.36	1.06
20	00004	九龍倉集團有限公司	197,538.22	0.96
21	00003	香港中華煤氣有限公司	181,251.35	0.88
22	01913	PRADA S.p.A.	180,141.21	0.87
23	03328	交通銀行股份有限公司－H股	175,059.31	0.85
24	00857	中國石油天然氣股份有限公司－H股	174,065.93	0.84
25	00688	中國海外發展有限公司	166,312.74	0.81
26	00066	香港鐵路有限公司	165,800.22	0.80
27	02318	中國平安保險(集團)股份有限公司－H股	163,372.03	0.79
28	00027	銀河娛樂集團有限公司	159,987.01	0.78
29	00002	中電控股有限公司	158,534.77	0.77
30	00151	中國旺旺控股有限公司	144,446.91	0.70
31	00006	電能實業有限公司	142,782.10	0.69
32	00019	太古股份有限公司	139,846.35	0.68
33	00386	中國石油化工股份有限公司－H股	139,303.37	0.68
34	02628	中國人壽保險股份有限公司－H股	136,917.62	0.66
35	00388	香港交易及結算所有限公司	135,130.76	0.66
36	01972	太古地產有限公司	134,257.50	0.65
37	01038	長江基建集團有限公司	129,035.21	0.63
38	01109	華潤置地有限公司	123,572.12	0.60
39	00012	恒基兆業地產有限公司	122,987.93	0.60
40	00101	恒隆地產有限公司	121,142.85	0.59
41	00322	康師傅控股有限公司	112,996.73	0.55
42	00135	昆侖能源有限公司	111,002.03	0.54
43	01128	永利澳門有限公司	108,938.55	0.53
44	06808	高鑫零售有限公司	107,035.49	0.52
45	00880	澳門博彩控股有限公司	104,676.76	0.51
46	01044	恒安國際集團有限公司	104,052.01	0.50
47	01288	中國農業銀行股份有限公司－H股	98,364.23	0.48
48	06883	新濠博亞娛樂有限公司	97,483.81	0.47
49	01880	百麗國際控股有限公司	89,908.92	0.44
50	00494	利豐有限公司	89,121.85	0.43
總額			13,195,368.98	64.03
市場總值			20,607,719.38	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2012	第2季	297,380.47	3,061,167.30	9.71
	第3季	295,053.14	2,967,517.56	9.94
	第4季	285,387.99	3,416,543.59	8.35
2013	第1季	407,011.47	4,387,021.75	9.28
	第2季	402,326.06	3,738,340.40	10.76

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2012	第2季	30,899.22	8,245,256.75	0.37
	第3季	29,541.26	8,151,536.18	0.36
	第4季	28,450.72	7,779,826.30	0.37
2013	第1季	39,479.17	10,186,659.76	0.39
	第2季	41,554.24	8,751,359.18	0.47

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2012	第2季	10	0	20,429.18
	第3季	12	2	13,628.71
	第4季	13	0	45,234.54
2013	第1季	10	0	8,124.40
	第2季	6	1	30,416.14

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2013年第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
06881	中國銀河證券股份有限公司－H股	2013/05/22	1,690,984,633	8,515.00
02386	中石化煉化工程(集團)股份有限公司－H股	2013/05/23	1,460,800,000	13,944.00
01270	朗廷酒店投資與朗廷酒店投資有限公司－SS	2013/05/30	2,000,000,000	4,260.87
03836	中國和諧汽車控股有限公司	2013/06/13	1,075,126,000	1,672.77
01369	五洲國際控股有限公司	2013/06/13	4,562,901,914	1,391.70
06888	英達公路再生科技(集團)有限公司	2013/06/26	1,040,000,000	631.80
00954	常茂生物化學工程股份有限公司－H股*	2013/06/28	183,700,000	—
總 額				30,416.14

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2013 年 第 2 季

股 份 代 號	公 司 名 稱	最 後 買 賣 日 期	除 牌 日 期
00739	浙江玻璃股份有限公司－H 股	2010/04/30	2013/05/31

主 板 股 份

公司名稱更改

2013 年 第 2 季

股份代號	原有名稱	新名稱	生效日期	採用日期
00397	中國保綠資產投資有限公司	君陽太陽能電力投資有限公司	2013/03/21	2013/05/08
00542	星晨集團有限公司	新嶺域(集團)有限公司	2013/04/08	2013/05/09
00577	保華建業集團有限公司	路易十三集團有限公司	2013/04/03	2013/05/15
00805	Glencore International plc	Glencore Xstrata plc	2013/05/02	2013/05/29
00559	華藝礦業控股有限公司	國藏集團有限公司	2013/05/02	2013/05/31
00112	至祥置業有限公司	勒泰控股有限公司	2013/06/19	2013/06/27
00527	中國瑞風銀河新能源控股有限公司	中國瑞風新能源控股有限公司	2013/05/08	2013/06/27
00099	王氏國際(集團)有限公司	王氏國際集團有限公司	2013/06/27	2013/06/27

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2013年第2季

股份代號	公司	詳情	除淨日
01127	匯星印刷集團有限公司	10送1	2013/04/24
02268	優源國際控股有限公司	10送1	2013/05/07
00148	建滔化工集團有限公司	10送2	2013/05/08
03389	亨得利控股有限公司	10送1	2013/05/20
03688	萊蒙國際集團有限公司	5送2	2013/05/20
(可選擇收取紅利永久次級可換股證券以代替全部或部分紅股)			
00091	駿新能源集團有限公司	每供1股送2份2014年認股權證	2013/05/22
00856	偉仕控股有限公司	10送2	2013/05/27
01628	禹洲地產股份有限公司	10送2	2013/05/31
00833	華訊股份有限公司	10送1	2013/06/03
00012	恒基兆業地產有限公司	10送1	2013/06/05
00003	香港中華煤氣有限公司	10送1	2013/06/07
00386	中國石油化工股份有限公司－H股	10送3	2013/06/10
00131	卓能(集團)有限公司	50股送1份2014年認股權證	2013/06/13
00895	東江環保股份有限公司－H股	10送5	2013/06/18

股份拆細及合併

2013年第2季

股份代號	公司	詳情	生效日期
00055	中星集團控股有限公司	10股合併為1股	2013/04/05
01191	粵首環保控股有限公司	20股合併為1股	2013/04/18
01175	福記食品服務控股有限公司	10股合併為1股	2013/05/07
00155	中國源暢光電能源控股有限公司	10股合併為1股	2013/05/16
00091	駿新能源集團有限公司	2股合併為1股	2013/05/21
00901	萊福資本投資有限公司	10股合併為1股	2013/05/21
01803	瀚洋控股有限公司	1股分拆為2股	2013/05/24
00577	路易十三集團有限公司	10股合併為1股	2013/05/27
00279	民豐企業控股有限公司	10股合併為1股	2013/05/31
00899	亞洲資源控股有限公司	25股合併為1股	2013/06/03
00102	凱升控股有限公司	1股分拆為2股	2013/06/03
01008	貴聯控股國際有限公司	1股分拆為2股	2013/06/11
02324	首都創投有限公司	10股合併為1股	2013/06/14
01180	匯彩控股有限公司	10股合併為1股	2013/06/19

主 板 股 份

供股／公開售股

2013年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
4月	01127	匯星印刷集團有限公司	股權5供2，每股供0.50港元	100.00
	00665	海通國際證券集團有限公司	股權2供1，每股供2.55港元	1,167.06
	00102	凱升控股有限公司	公開售股10供3，每股供1.20港元	85.66
	00764	永恒策略投資有限公司	公開售股2供1，每股供1.20港元	182.67
	00993	天行國際(控股)有限公司	股權1供3，每股供0.095港元	181.17
	00546	阜豐集團有限公司	股權5供1，每股供1.80港元	626.78
	00723	永保林業控股有限公司	公開售股6供1，每股供0.0534港元	63.06
			另每供1股送1份認股權證	
5月	00272	瑞安房地產有限公司	股權3供1，每股供1.84港元	3,680.79
	00136	馬斯葛集團有限公司	股權1供4，每股供0.07港元	515.61
	00412	漢基控股有限公司	股權2供1，每股供0.215港元	202.04
	00518	同得仕(集團)有限公司	股權5供1，每股供0.80港元	56.28
	01883	中信國際電訊集團有限公司	股權8供3，每股供2.02港元	1,825.52
6月	01175	福記食品服務控股有限公司	公開售股1供1，每股供0.74港元	40.06
			(10股合併為1股後)	
	01049	時富投資集團有限公司	股權2供1，每股供0.30港元	55.41
	00616	永義實業集團有限公司	股權1供3，每股供0.10港元	123.58
	00091	駿新能源集團有限公司	股權10供1，每股供0.13港元	43.15
			另每供1股送2份2014年認股權證	
			(2股合併為1股後)	
	02328	中國人民財產保險股份有限公司 — H股	股權每10H股供1.1H股，每H股供5.38港元	2,249.77
	00280	景福集團有限公司	股權2供1，每股供0.55港元	119.64
	03989	新環保能源控股有限公司	股權2供3，每股供0.20港元	558.68
總額				11,876.93

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
3月**	00204	中國投資開發	14,600,000	0.500	7.30
	03918	金界控股	200,000,000	6.050	1,210.00
4月	00835	亞洲煤業	400,000,000	0.120	48.00
	00024	寶威控股	397,052,000	0.122	48.44
	01130	中國環境資源	24,000,000	0.265	6.36
	00587	華瀚生物製藥	58,000,000	2.020	117.16
	01029	鐵貨	817,536,000	0.940	768.48
	00348	龍昌	2,000,000,000	0.200	400.00
	00342	新海能源	166,500,000	4.680	779.22
	01099	國藥控股	165,668,190	24.600	4,075.44
5月	00940	中國動物保健品	53,079,270	1.879	99.74
	00940	中國動物保健品	53,079,270	1.879	99.74
	00643	恆富控股	89,234,000	0.350	31.23
	01269	中國車輛零部件	64,000,000	1.300	83.20
	01019	康宏理財	19,000,000	2.300	43.70
	00508	鼎億集團投資	475,000,000	0.300	142.50
	01102	環能國際	77,500,000	0.150	11.63
	00279	民豐企業	42,067,480	0.100	4.21
	03303	巨濤海洋石油服務	50,000,000	1.730	86.50
	00402	蒙古投資	360,000,000	0.250	90.00
	00901	萊福資本	242,079,719	0.065	15.74
	00934	中石化冠德	412,500,000	6.500	2,681.25
	00729	中聚電池	1,200,000,000	0.220	264.00
	00757	陽光能源	222,220,000	0.360	80.00
	00928	野馬國際	22,910,489	0.540	12.37
	00690	聯康生物科技集團	260,000,000	0.150	39.00
	00913	合一投資	38,807,040	0.690	26.78
6月	00745	中國鐵聯傳媒	88,200,000	0.340	29.99
	00670	中國東方航空股份	698,865,000	2.320	1,621.37
	01130	中國環境資源	30,453,958	0.200	6.09
	00439	英發國際	241,580,000	0.100	24.16
	01159	泰盛實業集團	140,468,000	0.210	29.50
	01323	NEWTREE GROUP	40,000,000	2.100	84.00
	00802	宏霸數碼	98,600,000	0.355	35.00
	00376	瑞東集團	27,000,000	3.050	82.35
	00223	神州資源	412,470,000	0.080	33.00
	02349	水務地產集團	50,000,000	0.660	33.00
	00570	盈天醫藥	225,000,000	3.100	697.50
總額					13,947.92

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2012	第2季	22.75	382,702.18	382,724.93
	第3季	10.37	355,739.26	355,749.63
	第4季	18.06	382,100.50	382,118.56
2013	第1季	25.69	605,817.72	605,843.41
	第2季	5.48	428,993.08	428,998.56

認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)
		數目	數目	總數		
2012	第2季	14	378.29	4,032	64,850.10	65,228.38
	第3季	12	335.18	3,903	77,929.72	78,264.90
	第4季	10	521.90	3,747	105,257.03	105,778.92
2013	第1季	11	359.52	4,484	70,659.35	71,018.87
	第2季	10	231.90	4,895	56,917.10	57,149.00

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2012	第2季	2	1,373	44,256.44
	第3季	0	1,328	36,903.66
	第4季	0	1,605	42,042.21
2013	第1季	1	1,880	48,254.00
	第2季	2	1,684	41,005.85

新上市股份認股權證

2013年第2季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
00944	卓能(集團)有限公司2014年認股權證	35,772,792	2013/06/25	2014/06/24	—
01042	駿新能源集團有限公司2014年認股權證	66,384,732	2013/06/25	2014/06/23	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2013年第2季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24683	BOCI Asia Ltd. - China Mobile European Warrants Aug 2013 A	100,000,000	2013/04/02	2013/08/08	17.00
24681	BOCI Asia Ltd. - Ping An European Warrants Sep 2013 A	100,000,000	2013/04/02	2013/09/03	19.00
24678	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2013 A	100,000,000	2013/04/02	2013/09/03	15.00
24699	Credit Suisse AG - KECL European Warrants Aug 2013 A	70,000,000	2013/04/02	2013/08/05	11.90
24700	Daiwa Capital Mkt - CCB European Warrants Aug 2013 A	128,000,000	2013/04/02	2013/08/26	23.04
24695	Goldman Sachs SP (Asia) - Dongfeng European Wts Jun 2014 A	80,000,000	2013/04/02	2014/06/19	13.36
24696	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2013 C	80,000,000	2013/04/02	2013/08/05	12.24
24677	J P Morgan SP BV - China Railway European Wts Oct 2013 A	60,000,000	2013/04/02	2013/10/02	15.00
24672	J P Morgan SP BV - Henderson Land Dev European Wt Oct 2013 A	200,000,000	2013/04/02	2013/10/02	50.00
24671	J P Morgan SP BV - Kerry Ppt European Warrants Nov 2013 A	40,000,000	2013/04/02	2013/11/01	10.00
24668	J P Morgan SP BV - Sa Sa Int'l European Warrants Dec 2014 A	80,000,000	2013/04/02	2014/12/31	20.00
24666	J P Morgan SP BV - Tencent European Warrants Oct 2013 E	200,000,000	2013/04/02	2013/10/02	50.00
24664	J P Morgan SP BV - Tencent European Put Warrants Oct 2013 D	200,000,000	2013/04/02	2013/10/02	50.00
24663	J P Morgan SP BV - Tingyi European Warrants Dec 2013 A	100,000,000	2013/04/02	2013/12/02	25.00
24662	J P Morgan SP BV - Yuxiu Property European Wts Nov 2013 A	80,000,000	2013/04/02	2013/11/11	20.00
24692	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Sep13A	100,000,000	2013/04/02	2013/09/16	25.00
24693	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Sep 2013 A	30,000,000	2013/04/02	2013/09/16	20.70
24687	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Sep2013 A	50,000,000	2013/04/02	2013/09/16	22.00
24701	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2013 B	300,000,000	2013/04/02	2013/09/27	45.00
24685	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Aug13 A	300,000,000	2013/04/02	2013/08/05	51.00
24694	UBS AG - HSI European Put Warrants Dec 2013 C	300,000,000	2013/04/02	2013/12/30	45.00
21625#	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2013 B	150,000,000	2013/04/02	2013/08/05	12.75
24723	Credit Suisse - Cheung Kong European Put Warrants Oct 2013 A	70,000,000	2013/04/03	2013/10/02	26.60
24719	Credit Suisse AG - Tencent Holdings European Wts Jul 2013 E	100,000,000	2013/04/03	2013/07/16	15.00
24717	J P Morgan SP BV - China Coal European Warrants Oct 2013 A	40,000,000	2013/04/03	2013/10/02	12.20
24718	J P Morgan SP BV - CKI Holdings European Warrants Oct 2013 A	50,000,000	2013/04/03	2013/10/02	12.50
24731	J P Morgan SP BV - CNBM European Warrants Oct 2013 A	80,000,000	2013/04/03	2013/10/02	39.68
24730	J P Morgan SP BV - CNBM European Warrants Jun 2014 A	200,000,000	2013/04/03	2014/06/09	50.00
24716	J P Morgan SP BV - China Tel European Warrants Oct 2013 B	180,000,000	2013/04/03	2013/10/02	45.54
24713	J P Morgan SP BV - Datang European Wts Oct 2013 A	40,000,000	2013/04/03	2013/10/02	10.00
24711	J P Morgan SP BV - HSI European Put Warrants Oct 2013 B	200,000,000	2013/04/03	2013/10/30	50.00
24710	J P Morgan SP BV - Samsonite European Warrants Oct 2013 A	100,000,000	2013/04/03	2013/10/02	25.00
24706	J P Morgan SP BV - Weigao Group European Warrants Sep 2014 A	200,000,000	2013/04/03	2014/09/01	50.00
24722	Macquarie Bank Ltd. - China Mobile European Wts Aug 2013 A	120,000,000	2013/04/03	2013/08/02	18.00
24724	Macquarie Bank Ltd. - Chi Res Power European Wts Oct 2013 A	120,000,000	2013/04/03	2013/10/03	30.60
24720	Macquarie Bank Ltd. - Tencent European Put Wts Oct 2013 A	250,000,000	2013/04/03	2013/10/03	62.75
24704	Standard Chartered Bank - Tencent Hldg Euro Wts Aug 2013 C	100,000,000	2013/04/03	2013/08/05	15.00
24702	Standard Chartered Bank - Tencent Hldg Euro Wts Oct 2013 A	100,000,000	2013/04/03	2013/10/04	25.00
24725	UBS AG - China Mobile European Warrants Jul 2013 F	200,000,000	2013/04/03	2013/07/29	30.00
24727	UBS AG - HKEx European Warrants Sep 2013 C	100,000,000	2013/04/03	2013/09/16	30.00
24729	UBS AG - Tencent Holdings European Warrants Oct 2013 A	200,000,000	2013/04/03	2013/10/09	50.00
24759	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2013 A	100,000,000	2013/04/05	2013/11/04	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24756	BNP Paribas Arbit Issu B.V. - HLand Dev Euro Wts Nov 2013 A	69,000,000	2013/04/05	2013/11/04	13.11
24757	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wt Dec2013 A	100,000,000	2013/04/05	2013/12/03	15.00
24753	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Nov 2013 A	92,000,000	2013/04/05	2013/11/04	23.00
24758	BNP Paribas Arbit Issu B.V. - Ting Yi European Wts Feb 2014 A	100,000,000	2013/04/05	2014/02/05	25.00
24765	Credit Suisse AG - GCL-Poly Energy European Wts Aug 2013 A	70,000,000	2013/04/05	2013/08/26	11.20
24770	Daiwa Capital Mkt - CNOOC European Warrants Feb 2014 A	158,000,000	2013/04/05	2014/02/12	39.50
24739	Goldman Sachs SP (Asia) - BOCL European Warrants Nov 2013 A	80,000,000	2013/04/05	2013/11/26	12.64
24746	Goldman Sachs SP (Asia) - CITIC Bank European Wts Dec 2013 A	80,000,000	2013/04/05	2013/12/02	20.56
24742	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Oct 2013 A	80,000,000	2013/04/05	2013/10/31	56.08
24766	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Nov 2013 A	80,000,000	2013/04/05	2013/11/25	12.08
24751	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2013 A	120,000,000	2013/04/05	2013/12/02	30.24
24752	HK Bank - Tencent European Warrants Aug 2013 C	160,000,000	2013/04/05	2013/08/05	24.00
24738	HK Bank - Tencent European Warrants Oct 2013 A	160,000,000	2013/04/05	2013/10/07	40.00
24737	J P Morgan SP BV - China Life European Warrants Sep 2013 E	300,000,000	2013/04/05	2013/09/13	45.00
24736	J P Morgan SP BV - China Life European Warrants Nov 2013 A	300,000,000	2013/04/05	2013/11/01	75.00
24735	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Oct 2013 C	300,000,000	2013/04/05	2013/10/30	75.00
24734	J P Morgan SP BV - HSCEI European Put Warrants Dec 2013 C	300,000,000	2013/04/05	2013/12/30	75.00
24733	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct13 A	200,000,000	2013/04/05	2013/10/28	50.00
24782	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2013 A	200,000,000	2013/04/08	2013/09/27	32.00
24781	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Oct 2013 B	200,000,000	2013/04/08	2013/10/30	54.00
24780	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Nov 2013 A	200,000,000	2013/04/08	2013/11/28	50.00
24783	Credit Suisse AG - Sands China European Warrants Oct 2013 A	50,000,000	2013/04/08	2013/10/09	12.50
24778	Daiwa Capital Mkt - China Life European Warrants Oct 2013 D	168,000,000	2013/04/08	2013/10/08	42.00
24777	Goldman Sachs SP (Asia) - EFUND CSI100 Euro Wts Sep 2013 A	100,000,000	2013/04/08	2013/09/11	15.60
24775	Macquarie Bank Ltd. - Evergrande European Wts Oct 2013 B	80,000,000	2013/04/08	2013/10/07	20.32
24776	Macquarie Bank Ltd. - NCI European Warrants Jan 2014 A	100,000,000	2013/04/08	2014/01/03	25.00
24779	Macquarie Bank Ltd. - Sands China European Wts Nov 2013 A	100,000,000	2013/04/08	2013/11/04	25.00
24772	Standard Chartered Bank - SHK P European Warrants Oct 2013 A	60,000,000	2013/04/08	2013/10/08	18.00
24808	Credit Suisse AG - A50 China European Warrants Aug 2013 C	70,000,000	2013/04/09	2013/08/08	26.60
24809	Credit Suisse AG - Hutchison European Warrants Aug 2013 A	70,000,000	2013/04/09	2013/08/13	16.10
24786	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Dec 2013 A	60,000,000	2013/04/09	2013/12/24	10.86
24802	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Aug 2013 A	80,000,000	2013/04/09	2013/08/28	12.08
24788	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Oct 2013 B	80,000,000	2013/04/09	2013/10/28	12.24
24795	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Nov 2013 A	80,000,000	2013/04/09	2013/11/15	12.00
24793	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jan 2014 A	60,000,000	2013/04/09	2014/01/13	16.20
24792	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Oct 2013 A	40,000,000	2013/04/09	2013/10/15	14.20
24797	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Sep 2013 B	40,000,000	2013/04/09	2013/09/02	10.00
24796	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Oct 2013 A	40,000,000	2013/04/09	2013/10/28	10.20
24791	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Aug2013 C	80,000,000	2013/04/09	2013/08/26	15.60
24805	Standard Chartered Bank - CC Bank European Wts Oct 2013 A	60,000,000	2013/04/09	2013/10/10	18.00
24804	Standard Chartered Bank - China Life European Wts Oct 2013 B	100,000,000	2013/04/09	2013/10/24	25.00
24806	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Oct13 B	30,000,000	2013/04/09	2013/10/09	22.50
24807	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Nov13A	100,000,000	2013/04/09	2013/11/04	19.00
24815	UBS AG - China Life European Warrants Nov 2013 A	200,000,000	2013/04/09	2013/11/11	50.00

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		發行單位	上市日期	到期日	
24814	UBS AG - CSOP A50 ETF European Warrants Aug 2013 B	100,000,000	2013/04/09	2013/08/22	65.00
24811	UBS AG - ICBC European Warrants Nov 2013 A	100,000,000	2013/04/09	2013/11/18	20.00
20303#	HK Bank - Zoomlion European Warrants Sep 2013 A	170,000,000	2013/04/09	2013/09/30	10.37
24860	Credit Suisse AG - China Coal European Warrants Oct 2013 A	70,000,000	2013/04/10	2013/10/15	19.60
24846	Credit Suisse AG - Cheung Kong European Warrants Aug 2013 A	70,000,000	2013/04/10	2013/08/26	19.60
24858	Credit Suisse AG - CM Bank European Warrants May 2014 A	70,000,000	2013/04/10	2014/05/26	10.50
24849	Credit Suisse AG - Sinopec Corp European Warrants Aug 2013 B	70,000,000	2013/04/10	2013/08/30	12.60
24851	Credit Suisse AG - HKEx European Warrants Sep 2013 B	70,000,000	2013/04/10	2013/09/23	29.40
24835	Credit Suisse AG - Nine Dragons European Warrants Dec 2013 A	70,000,000	2013/04/10	2013/12/24	10.50
24848	Credit Suisse AG - New World Dev European Wts Jul 2013 C	50,000,000	2013/04/10	2013/07/12	22.50
24856	Credit Suisse AG - Tencent Holdings European Wts Sep 2013 C	70,000,000	2013/04/10	2013/09/27	13.30
24857	Credit Suisse AG - Tencent Holdings European Wts Oct 2013 A	70,000,000	2013/04/10	2013/10/04	10.50
24847	Credit Suisse AG - Wharf European Warrants Jul 2013 A	70,000,000	2013/04/10	2013/07/29	10.50
24834	Daiwa Capital Mkt - ICBC European Warrants Sep 2013 B	128,000,000	2013/04/10	2013/09/23	30.72
24868	Goldman Sachs SP (Asia) - HSCEI European Warrants Dec 2013 B	150,000,000	2013/04/10	2013/12/30	22.50
24874	Goldman Sachs SP (Asia) - HSCEI European Put Wts Mar 2014 A	150,000,000	2013/04/10	2014/03/28	38.85
24875	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2013 C	150,000,000	2013/04/10	2013/10/30	23.10
24827	Macquarie Bank Ltd. - China COSCO European Wts Oct 2013 A	80,000,000	2013/04/10	2013/10/09	20.00
24837	Macquarie Bank Ltd. - Ch LongYuan European Wts Oct 2014 A	150,000,000	2013/04/10	2014/10/06	22.50
24833	Macquarie Bank Ltd. - CSR Times European Warrants Mar 2014 A	150,000,000	2013/04/10	2014/03/04	37.50
24832	Macquarie Bank Ltd. - Jiangxi Copper European Wts Dec 2013 A	150,000,000	2013/04/10	2013/12/23	22.50
24829	Macquarie Bank Ltd. - Shui On Land European Wts Nov 2013 A	80,000,000	2013/04/10	2013/11/04	20.00
24820	Standard Chartered Bank - Evergrande European Wts Nov 2013 A	60,000,000	2013/04/10	2013/11/04	16.80
24822	Standard Chartered Bank - Hutchison Euro Euro Wts Oct 2013 B	100,000,000	2013/04/10	2013/10/11	25.00
24823	Standard Chartered Bank - ICBC European Warrants Oct 2013 B	100,000,000	2013/04/10	2013/10/10	25.00
24865	UBS AG - CC Bank European Warrants Aug 2013 B	100,000,000	2013/04/10	2013/08/26	21.00
24862	UBS AG - Minsheng Bank European Warrants Oct 2013 A	30,000,000	2013/04/10	2013/10/09	14.40
24866	UBS AG - HSCEI European Warrants Dec 2013 C	100,000,000	2013/04/10	2013/12/30	15.00
24864	UBS AG - Ping An European Warrants Aug 2013 C	100,000,000	2013/04/10	2013/08/27	15.00
24923	BOCI Asia Ltd. - China Life European Warrants Sep 2013 B	100,000,000	2013/04/11	2013/09/23	15.00
24921	BOCI Asia Ltd. - HSI European Warrants Sep 2013 A	100,000,000	2013/04/11	2013/09/27	15.00
24922	BOCI Asia Ltd. - Sands China European Warrants Sep 2013 A	100,000,000	2013/04/11	2013/09/23	16.00
24924	BOCI Asia Ltd. - Tencent Holdings European Put Wts Dec 2013 A	100,000,000	2013/04/11	2013/12/02	25.00
24900	Goldman Sachs SP (Asia) - BYD European Warrants Dec 2013 A	80,000,000	2013/04/11	2013/12/02	20.72
24926	Goldman Sachs SP (Asia) - CC Bank European Wts Nov 2013 A	80,000,000	2013/04/11	2013/11/28	21.52
24925	Goldman Sachs SP (Asia) - China Life Euro Put Wts Feb 2014 A	80,000,000	2013/04/11	2014/02/27	20.08
24893	Goldman Sachs SP (Asia) - Cathay Pacific Euro Wts Dec 2014 A	80,000,000	2013/04/11	2014/12/30	20.24
24895	Goldman Sachs SP (Asia) - Chi Resources Euro Wt Dec 2013 A	80,000,000	2013/04/11	2013/12/31	20.00
24899	Goldman Sachs SP (Asia) - China Tel European Wts Dec 2013 A	80,000,000	2013/04/11	2013/12/02	20.64
24894	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Dec 2013 A	80,000,000	2013/04/11	2013/12/31	20.32
24929	Goldman Sachs SP (Asia) - HSBC European Put Wts Aug 2013 A	80,000,000	2013/04/11	2013/08/29	12.56
24902	HK Bank - Minsheng Bank European Warrants Oct 2013 A	50,000,000	2013/04/11	2013/10/15	35.00
24901	HK Bank - Minsheng Bank European Warrants Nov 2013 A	60,000,000	2013/04/11	2013/11/04	31.20
24931	Macquarie Bank Ltd. - Anhui Conch European Wts Dec 2013 A	150,000,000	2013/04/11	2013/12/03	37.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24935	Macquarie Bank Ltd. - Chinares Cement European Wt Oct 2013 A	80,000,000	2013/04/11	2013/10/10	20.00
24876	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Aug 2013 B	60,000,000	2013/04/11	2013/08/26	13.68
24884	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Sep 2013 A	60,000,000	2013/04/11	2013/09/23	12.36
24890	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Sep 2013 A	40,000,000	2013/04/11	2013/09/04	16.00
24891	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Sep 2013 B	60,000,000	2013/04/11	2013/09/17	14.04
24885	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Oct 2013 A	40,000,000	2013/04/11	2013/10/29	15.00
24886	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Oct 2013 B	40,000,000	2013/04/11	2013/10/21	20.40
24882	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Sep 2013 B	60,000,000	2013/04/11	2013/09/23	15.00
24877	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Nov 2013 A	60,000,000	2013/04/11	2013/11/18	12.78
24936	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Oct 2013 A	150,000,000	2013/04/11	2013/10/02	22.50
23915#	Standard Chartered Bank - China Life European Wts Nov 2013 B	130,000,000	2013/04/11	2013/11/22	10.01
24951	BOCI Asia Ltd. - HSBC European Warrants Aug 2013 B	100,000,000	2013/04/12	2013/08/08	16.00
24953	BOCI Asia Ltd. - Hutchison European Warrants Aug 2013 A	100,000,000	2013/04/12	2013/08/19	21.00
24950	BOCI Asia Ltd. - PetroChina European Warrants Oct 2013 A	100,000,000	2013/04/12	2013/10/21	18.00
24992	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Jan 2014 A	100,000,000	2013/04/12	2014/01/03	27.00
24990	BNP Paribas Arbit Issu B.V. - AIA European Put Wt Jan 2014 B	100,000,000	2013/04/12	2014/01/03	26.00
24991	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Jan 2014 A	85,000,000	2013/04/12	2014/01/03	22.95
24988	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2014 B	100,000,000	2013/04/12	2014/01/03	25.00
25002	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Jan 2014A	100,000,000	2013/04/12	2014/01/03	25.00
24986	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Jan 2014 A	100,000,000	2013/04/12	2014/01/03	34.00
25007	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Nov 2013 B	100,000,000	2013/04/12	2013/11/04	30.00
25006	BNP Paribas Arbit Issu B.V. - Li & Fung Euro Wts Apr 2015 A	100,000,000	2013/04/12	2015/04/02	25.00
24998	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2014 B	100,000,000	2013/04/12	2014/01/03	25.00
24993	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Jan 2014 A	100,000,000	2013/04/12	2014/01/03	25.00
24954	HK Bank - CITIC Bank European Warrants Nov 2013 A	60,000,000	2013/04/12	2013/11/18	15.00
24963	HK Bank - China Life European Warrants Oct 2013 B	200,000,000	2013/04/12	2013/10/28	30.00
24957	HK Bank - Minsheng Bank European Warrants Dec 2014 B	200,000,000	2013/04/12	2014/12/31	50.00
24959	HK Bank - Minsheng Bank European Warrants Jun 2015 A	250,000,000	2013/04/12	2015/06/04	50.00
24962	Macquarie Bank Ltd. - Angang Steel European Wts Oct 2013 B	80,000,000	2013/04/12	2013/10/15	25.68
24961	Macquarie Bank Ltd. - Ch Southern Air Euro Wts Oct 2013 A	80,000,000	2013/04/12	2013/10/15	28.80
24960	Macquarie Bank Ltd. - Ping An European Warrants Nov 2013 A	180,000,000	2013/04/12	2013/11/04	45.00
24949	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Sep 2013 B	40,000,000	2013/04/12	2013/09/16	22.80
24943	Merrill Lynch Int'l & Co. C.V. - Ch Telecom Eu Wt Jul 2013 B	80,000,000	2013/04/12	2013/07/30	12.08
24940	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Sep 2013 A	40,000,000	2013/04/12	2013/09/23	10.20
24938	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Nov 2013 B	80,000,000	2013/04/12	2013/11/25	20.00
24937	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Nov 2013A	80,000,000	2013/04/12	2013/11/18	20.00
24939	Merrill Lynch Int'l & Co. C.V. - Wharf Euro Wts Jul 2013 B	70,000,000	2013/04/12	2013/07/29	11.06
24973	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Sep 2013 D	300,000,000	2013/04/12	2013/09/27	46.50
24965	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jul13 C	300,000,000	2013/04/12	2013/07/30	45.00
24964	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep13 C	300,000,000	2013/04/12	2013/09/27	54.00
24981	UBS AG - CNOOC European Warrants Feb 2014 B	100,000,000	2013/04/12	2014/02/05	17.50
24979	UBS AG - Hutchison European Warrants Oct 2013 A	100,000,000	2013/04/12	2013/10/03	35.50
24977	UBS AG - ICBC European Warrants Sep 2013 B	100,000,000	2013/04/12	2013/09/23	20.00
24984	UBS AG - PICC European Warrants Dec 2013 A	100,000,000	2013/04/12	2013/12/16	15.00

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		發行單位	上市日期	到期日	
24975	UBS AG - Yurun Food European Warrants Sep 2013 A	50,000,000	2013/04/12	2013/09/05	12.75
25009	BOCI Asia Ltd. - China Life European Warrants Nov 2013 A	100,000,000	2013/04/15	2013/11/01	15.00
25008	BOCI Asia Ltd. - Ch Overseas European Warrants Nov 2013 B	100,000,000	2013/04/15	2013/11/15	18.00
25011	BOCI Asia Ltd. - Ping An European Warrants Oct 2013 A	100,000,000	2013/04/15	2013/10/31	25.00
10180	BNP Paribas Arbit Issu B.V. - AUD/USD European Wts Nov 2013 B	100,000,000	2013/04/15	2013/11/28	25.00
10179	BNP Paribas Arbit Issu B.V. - AUD/USD Euro Put Wts Nov 2013 A	100,000,000	2013/04/15	2013/11/28	25.00
10178	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Nov 2013 B	100,000,000	2013/04/15	2013/11/28	25.00
25053	Credit Suisse AG - AIA European Warrants Dec 2013 A	80,000,000	2013/04/15	2013/12/24	12.00
25035	Credit Suisse AG - China Life European Warrants Oct 2013 A	70,000,000	2013/04/15	2013/10/28	10.50
25051	Credit Suisse - China Railway European Warrants Sep 2013 A	70,000,000	2013/04/15	2013/09/30	10.50
25027	Credit Suisse AG - China Shenhua European Wts Sep 2013 B	70,000,000	2013/04/15	2013/09/30	10.50
25052	Credit Suisse AG - Evergrande European Warrants Sep 2013 A	70,000,000	2013/04/15	2013/09/02	10.50
25049	Credit Suisse AG - Galaxy Ent European Warrants Oct 2013 A	70,000,000	2013/04/15	2013/10/21	10.50
25022	Credit Suisse AG - HSI European Warrants Oct 2013 C	150,000,000	2013/04/15	2013/10/30	22.50
25031	Credit Suisse AG - ICBC European Warrants Sep 2013 B	70,000,000	2013/04/15	2013/09/30	12.60
25030	Credit Suisse AG - ICBC European Warrants Nov 2013 A	70,000,000	2013/04/15	2013/11/25	10.50
25032	Credit Suisse AG - Ping An European Warrants Sep 2013 A	70,000,000	2013/04/15	2013/09/30	10.50
25023	Credit Suisse AG - Tencent Holdings European Wts Sep 2013 D	100,000,000	2013/04/15	2013/09/30	15.00
25025	Credit Suisse AG - Tencent Holdings European Wts Sep 2013 E	100,000,000	2013/04/15	2013/09/30	20.00
25090	Daiwa Capital Mkt - HSCEI European Put Warrants Nov 2013 A	100,000,000	2013/04/15	2013/11/28	25.00
25089	Daiwa Capital Mkt - HSI European Put Warrants Oct 2013 B	200,000,000	2013/04/15	2013/10/30	50.00
25042	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2013 C	200,000,000	2013/04/15	2013/07/30	30.40
25014	HK Bank - CAM CSI300 European Warrants Sep 2013 B	100,000,000	2013/04/15	2013/09/16	15.00
25015	HK Bank - CNOOC European Warrants Nov 2014 A	150,000,000	2013/04/15	2014/11/17	34.50
25012	HK Bank - China Shenhua European Warrants Nov 2013 B	80,000,000	2013/04/15	2013/11/18	12.00
25013	HK Bank - HKEx European Warrants Nov 2013 B	60,000,000	2013/04/15	2013/11/18	15.00
25125	J P Morgan SP BV - AIA European Warrants Jan 2014 A	200,000,000	2013/04/15	2014/01/03	30.00
25075	J P Morgan SP BV - China Life European Warrants Oct 2013 B	300,000,000	2013/04/15	2013/10/02	45.00
25083	J P Morgan SP BV - A50 China European Warrants Oct 2013 A	200,000,000	2013/04/15	2013/10/15	71.20
25081	J P Morgan SP BV - A50 China European Warrants Nov 2013 A	200,000,000	2013/04/15	2013/11/04	53.00
25084	J P Morgan SP BV - Ping An European Warrants Oct 2013 B	200,000,000	2013/04/15	2013/10/02	34.00
25124	J P Morgan SP BV - Yurun Food European Warrants Oct 2013 A	50,000,000	2013/04/15	2013/10/15	12.50
25047	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Nov 2013 A	50,000,000	2013/04/15	2013/11/04	12.50
25048	Macquarie Bank Ltd. - A50 China European Warrants Oct 2013 A	50,000,000	2013/04/15	2013/10/03	10.00
25112	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Sep 2013 B	40,000,000	2013/04/15	2013/09/24	11.00
25117	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2013 I	100,000,000	2013/04/15	2013/09/27	15.30
25119	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2013 B	100,000,000	2013/04/15	2013/12/30	15.90
25123	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2013 C	100,000,000	2013/04/15	2013/12/30	16.90
25118	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Mar 2014 A	100,000,000	2013/04/15	2014/03/28	25.00
25111	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Oct 2013 A	40,000,000	2013/04/15	2013/10/15	10.20
25106	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Oct 2013 B	40,000,000	2013/04/15	2013/10/28	14.60
25108	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Oct 2013 B	40,000,000	2013/04/15	2013/10/22	22.40
25109	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Oct 2013 B	80,000,000	2013/04/15	2013/10/02	12.00
25043	Standard Chartered Bank - BOCL European Warrants Jan 2014 A	100,000,000	2013/04/15	2014/01/03	46.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25038	Standard Chartered Bank - CC Bank European Wts Dec 2013 A	100,000,000	2013/04/15	2013/12/31	45.00
25037	Standard Chartered Bank - China Life European Wts Dec 2013 B	150,000,000	2013/04/15	2013/12/31	37.50
25040	Standard Chartered Bank - CNOOC European Warrants Feb 2014 A	150,000,000	2013/04/15	2014/02/05	22.50
25039	Standard Chartered Bank - ICBC European Warrants Dec 2013 A	100,000,000	2013/04/15	2013/12/04	40.00
25041	Standard Chartered Bank - PetroChina European Wts Dec 2013 A	150,000,000	2013/04/15	2013/12/04	37.50
25044	Standard Chartered Bank - Ping An European Wts Oct 2013 B	100,000,000	2013/04/15	2013/10/30	40.00
25046	Standard Chartered Bank - Ping An Euro Put Wts Jul 2013 B	100,000,000	2013/04/15	2013/07/29	15.00
25017	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Nov 2013 A	80,000,000	2013/04/15	2013/11/18	13.20
25021	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jan 2014 A	100,000,000	2013/04/15	2014/01/27	15.00
25063	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Oct 2013 A	30,000,000	2013/04/15	2013/10/16	13.35
25066	SGA Societe Generale Acceptance N.V. - Chi COSCO Eu Wt Oct13 A	40,000,000	2013/04/15	2013/10/16	10.80
25062	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Oct 2013 A	30,000,000	2013/04/15	2013/10/16	10.05
25054	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Oct2013A	50,000,000	2013/04/15	2013/10/16	12.50
25085	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct2013 B	200,000,000	2013/04/15	2013/10/07	35.00
25086	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct2013 C	200,000,000	2013/04/15	2013/10/30	30.00
25018	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Feb2014 A	120,000,000	2013/04/15	2014/02/05	18.00
25069	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Sep13B	50,000,000	2013/04/15	2013/09/18	17.50
25068	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Sep13A	100,000,000	2013/04/15	2013/09/09	27.00
25087	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2013 A	150,000,000	2013/04/15	2013/09/04	46.50
25088	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2013 B	150,000,000	2013/04/15	2013/09/23	27.00
25019	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Oct 2013 A	100,000,000	2013/04/15	2013/10/02	38.50
25020	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Oct13 A	100,000,000	2013/04/15	2013/10/16	39.50
25067	SGA Societe Generale Acceptance N.V. - Yurun Food Eu Wt Oct13A	30,000,000	2013/04/15	2013/10/16	14.40
25072	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Oct13A	25,000,000	2013/04/15	2013/10/16	12.25
25104	UBS AG - China Life European Warrants Sep 2013 E	200,000,000	2013/04/15	2013/09/30	34.00
25101	UBS AG - China Life European Warrants Oct 2013 B	200,000,000	2013/04/15	2013/10/15	50.00
25105	UBS AG - HSBC European Warrants Sep 2013 D	100,000,000	2013/04/15	2013/09/10	31.00
25100	UBS AG - HSI European Warrants Sep 2013 F	300,000,000	2013/04/15	2013/09/27	45.00
25091	UBS AG - HSI European Put Warrants Sep 2013 E	300,000,000	2013/04/15	2013/09/27	63.00
25170	Credit Suisse AG - Angang Steel European Warrants Oct 2013 A	60,000,000	2013/04/16	2013/10/18	16.80
25164	Credit Suisse AG - Ch LongYuan European Warrants Sep 2013 A	60,000,000	2013/04/16	2013/09/30	21.00
25166	Credit Suisse AG - Minsheng Bank European Wts Oct 2013 A	60,000,000	2013/04/16	2013/10/18	51.60
25167	Credit Suisse AG - ChiUnicom European Warrants Oct 2013 A	60,000,000	2013/04/16	2013/10/18	33.00
25163	Credit Suisse AG - HSI European Put Warrants Dec 2013 A	150,000,000	2013/04/16	2013/12/30	22.50
25172	Credit Suisse AG - Ping An European Warrants Oct 2013 A	80,000,000	2013/04/16	2013/10/18	21.60
25150	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2013 B	120,000,000	2013/04/16	2013/10/30	19.08
25149	Goldman Sachs SP (Asia) - CNOOC European Warrants Oct 2013 A	80,000,000	2013/04/16	2013/10/30	36.24
25145	Goldman Sachs SP (Asia) - Evergrande European Wts Nov 2013 A	80,000,000	2013/04/16	2013/11/28	20.40
25151	Goldman Sachs SP (Asia) - A50 China European Wts Oct 2013 A	80,000,000	2013/04/16	2013/10/30	42.48
25146	Goldman Sachs SP (Asia) - Ping An European Wts Nov 2013 B	80,000,000	2013/04/16	2013/11/28	35.52
25148	Goldman Sachs SP (Asia) - Weichai Power Euro Wts Feb 2014 A	80,000,000	2013/04/16	2014/02/27	24.96
25131	HK Bank - China Life European Warrants Sep 2013 C	120,000,000	2013/04/16	2013/09/30	19.20
25136	HK Bank - HSI European Warrants Sep 2013 G	200,000,000	2013/04/16	2013/09/27	30.00
25132	HK Bank - Ping An European Warrants Oct 2013 C	80,000,000	2013/04/16	2013/10/16	21.60

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25134	HK Bank - SHK Ppt European Warrants Dec 2013 A	60,000,000	2013/04/16	2013/12/31	18.00
25143	J P Morgan SP BV - Angang Steel European Warrants Oct 2013 A	40,000,000	2013/04/16	2013/10/15	10.00
25126	J P Morgan SP BV - HSI European Warrants Oct 2013 C	300,000,000	2013/04/16	2013/10/30	75.00
25160	Macquarie Bank Ltd. - Cheung Kong European Wts Nov 2013 A	150,000,000	2013/04/16	2013/11/04	37.50
25161	Macquarie Bank Ltd. - China Life European Wts Nov 2013 B	75,000,000	2013/04/16	2013/11/04	11.25
25156	Macquarie Bank Ltd. - SHK Ppt European Warrants Nov 2013 A	150,000,000	2013/04/16	2013/11/04	37.50
25162	Macquarie Bank Ltd. - Yanzhou Coal European Wts Apr 2014 A	150,000,000	2013/04/16	2014/04/02	22.50
25137	Standard Chartered Bank - Galaxy Ent European Wts Oct 2013 A	100,000,000	2013/04/16	2013/10/21	15.00
25152	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Dec13A	100,000,000	2013/04/16	2013/12/04	25.00
25139	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Oct13A	100,000,000	2013/04/16	2013/10/28	20.50
25138	SGA Societe Generale Acceptance N.V. - CRail Eu Wt Dec2013 A	50,000,000	2013/04/16	2013/12/09	12.50
25177	UBS AG - A50 China European Warrants Oct 2013 A	100,000,000	2013/04/16	2013/10/15	45.00
25178	UBS AG - Lumena Newmat European Warrants Jan 2014 A	100,000,000	2013/04/16	2014/01/20	25.00
25186	BOCI Asia Ltd. - BOCL European Warrants Dec 2013 A	100,000,000	2013/04/17	2013/12/16	22.00
25187	BOCI Asia Ltd. - HSI European Warrants Sep 2013 B	100,000,000	2013/04/17	2013/09/27	17.00
25188	BOCI Asia Ltd. - HSI European Put Warrants Oct 2013 B	100,000,000	2013/04/17	2013/10/30	20.00
25195	Credit Suisse AG - CNOOC European Warrants Feb 2014 A	70,000,000	2013/04/17	2014/02/10	10.50
25197	Credit Suisse AG - Hutchison European Warrants Jul 2013 B	80,000,000	2013/04/17	2013/07/22	12.00
25198	Credit Suisse AG - Lumena Newmat European Wts Dec 2013 A	60,000,000	2013/04/17	2013/12/23	15.00
25215	Goldman Sachs SP (Asia) - BankComm European Wts Feb 2014 A	80,000,000	2013/04/17	2014/02/27	20.08
25217	Goldman Sachs SP (Asia) - Esprit European Wts Sep 2014 A	80,000,000	2013/04/17	2014/09/29	20.00
25223	Goldman Sachs SP (Asia) - A50 China Euro Put Wts Oct 2013 B	80,000,000	2013/04/17	2013/10/30	26.96
25218	Goldman Sachs SP (Asia) - Yurun Food European Wts Feb 2014 A	80,000,000	2013/04/17	2014/02/27	30.88
25189	HK Bank - Agricultural Bank European Warrants Nov 2013 A	80,000,000	2013/04/17	2013/11/18	13.60
25184	HK Bank - HSCEI European Put Warrants Dec 2013 C	180,000,000	2013/04/17	2013/12/30	37.80
25185	HK Bank - HWL European Warrants Sep 2013 A	100,000,000	2013/04/17	2013/09/24	15.00
25181	J P Morgan SP BV - Evergrande European Warrants Oct 2013 A	150,000,000	2013/04/17	2013/10/16	37.50
25190	J P Morgan SP BV - GCL-Poly European Warrants Nov 2013 A	200,000,000	2013/04/17	2013/11/04	50.00
25180	J P Morgan SP BV - Sands China European Warrants Nov 2013 A	200,000,000	2013/04/17	2013/11/04	50.00
25191	Macquarie Bank Ltd. - China Railway European Wts Oct 2013 B	80,000,000	2013/04/17	2013/10/03	12.00
25182	Macquarie Bank Ltd. - China Shenhua European Wts Feb 2014 A	150,000,000	2013/04/17	2014/02/17	22.50
25183	Macquarie Bank Ltd. - Geely Auto European Wts Nov 2013 A	80,000,000	2013/04/17	2013/11/04	20.00
25194	Macquarie Bank Ltd. - Yurun Food European Wts Oct 2013 A	80,000,000	2013/04/17	2013/10/17	22.64
25203	UBS AG - China Life European Put Warrants Nov 2013 B	100,000,000	2013/04/17	2013/11/19	25.00
25199	UBS AG - A50 China European Put Warrants Oct 2013 B	50,000,000	2013/04/17	2013/10/16	21.50
25209	UBS AG - HSI European Warrants Oct 2013 B	300,000,000	2013/04/17	2013/10/30	45.00
25200	UBS AG - Hutchison European Warrants Sep 2013 B	200,000,000	2013/04/17	2013/09/24	33.00
25202	UBS AG - Hutchison European Warrants Oct 2013 B	200,000,000	2013/04/17	2013/10/16	86.00
25230	BOCI Asia Ltd. - CNBM European Warrants Jan 2014 A	100,000,000	2013/04/18	2014/01/27	15.00
25231	BOCI Asia Ltd. - China Shenhua European Warrants Nov 2013 B	100,000,000	2013/04/18	2013/11/25	15.00
25245	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Jun 2015 A	100,000,000	2013/04/18	2015/06/02	17.00
25244	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Nov 2013 A	100,000,000	2013/04/18	2013/11/04	27.00
25243	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Sep 2013 A	100,000,000	2013/04/18	2013/09/02	19.00
25246	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Dec 2013 A	100,000,000	2013/04/18	2013/12/03	16.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25224	Goldman Sachs Sp (Asia) - ICBC European Warrants Nov 2013 A	60,000,000	2013/04/18	2013/11/28	10.08
25225	Goldman Sachs SP (Asia) - Mongol Mining Euro Wt Mar 2014 A	50,000,000	2013/04/18	2014/03/31	12.80
25228	HK Bank - ICBC European Warrants Oct 2013 A	80,000,000	2013/04/18	2013/10/03	12.80
25242	J P Morgan SP BV - Sh Electric European Warrants Dec 2013 A	50,000,000	2013/04/18	2013/12/02	12.50
25240	J P Morgan SP BV - Wharf European Warrants Dec 2013 A	100,000,000	2013/04/18	2013/12/02	25.00
25236	Macquarie Bank Ltd. - CPIC European Warrants Jan 2014 A	60,000,000	2013/04/18	2014/01/03	10.20
25226	Macquarie Bank Ltd. - HK Gas European Warrants Dec 2014 A	150,000,000	2013/04/18	2014/12/02	37.50
25239	Macquarie Bank Ltd. - HSCEI European Warrants Dec 2013 B	70,000,000	2013/04/18	2013/12/30	10.50
25232	Macquarie Bank Ltd. - HSCEI European Put Warrants Dec 2013 A	70,000,000	2013/04/18	2013/12/30	11.83
25233	Macquarie Bank Ltd. - Hutchison European Warrants Aug 2013 A	60,000,000	2013/04/18	2013/08/30	15.00
25247	UBS AG - HKEx European Warrants Dec 2014 B	300,000,000	2013/04/18	2014/12/24	45.00
25254	Macquarie Bank Ltd. - BankComm European Warrants Oct 2013 A	80,000,000	2013/04/19	2013/10/09	12.00
25248	Macquarie Bank Ltd. - China Coal European Wts Nov 2013 A	80,000,000	2013/04/19	2013/11/04	20.00
25263	Macquarie Bank Ltd. - A50 China European Put Wts Jul 2014 A	200,000,000	2013/04/19	2014/07/03	30.00
25251	Macquarie Bank Ltd. - Sh Electric European Wts Dec 2013 A	80,000,000	2013/04/19	2013/12/03	20.00
25264	Standard Chartered Bank - China COSCO European Wt Nov 2013 B	60,000,000	2013/04/19	2013/11/04	21.00
25265	Standard Chartered Bank - Lenovo European Wts Jul 2014 A	100,000,000	2013/04/19	2014/07/02	25.00
25259	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Oct 13 A	50,000,000	2013/04/19	2013/10/21	25.00
25277	BOCI Asia Ltd. - Lenovo European Warrants Nov 2013 A	100,000,000	2013/04/22	2013/11/04	25.00
25299	Credit Suisse AG - Sinopec Corp European Warrants Sep 2013 A	50,000,000	2013/04/22	2013/09/13	16.50
25303	Credit Suisse AG - HKEx European Put Warrants Oct 2013 A	70,000,000	2013/04/22	2013/10/25	22.40
25302	Credit Suisse AG - Lenovo European Warrants Oct 2013 A	50,000,000	2013/04/22	2013/10/25	17.50
25301	Credit Suisse AG - Yanzhou Coal European Warrants Oct 2013 A	50,000,000	2013/04/22	2013/10/08	17.50
25311	Citigroup Global Mkt H Inc. - CC Bank European Wt Sep 2013 A	100,000,000	2013/04/22	2013/09/23	20.00
25317	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Sep 2013 A	100,000,000	2013/04/22	2013/09/23	16.00
25319	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Oct 2013 B	100,000,000	2013/04/22	2013/10/02	30.00
25325	Citigroup Global Mkt H Inc. - HKEx European Wts Sep 2013 B	100,000,000	2013/04/22	2013/09/24	25.00
25332	Citigroup Global Mkt H Inc. - HKEx Euro Put Wts Jul 2013 A	70,000,000	2013/04/22	2013/07/22	44.10
25313	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Sep 2013 B	100,000,000	2013/04/22	2013/09/16	35.00
25304	Citigroup Global Mkt H Inc. - Hutchison Eu Put Wt Sep 2013 A	70,000,000	2013/04/22	2013/09/25	40.60
25268	HK Bank - Evergrande European Warrants Oct 2013 A	60,000,000	2013/04/22	2013/10/28	15.00
25271	HK Bank - Lenovo European Warrants Oct 2013 A	60,000,000	2013/04/22	2013/10/22	31.80
25267	HK Bank - Lenovo European Warrants Dec 2013 A	60,000,000	2013/04/22	2013/12/09	21.60
25286	J P Morgan SP BV - China Mob European Wts Nov 2013 A	200,000,000	2013/04/22	2013/11/01	50.00
25274	J P Morgan SP BV - Evergrande European Warrants Nov 2013 A	100,000,000	2013/04/22	2013/11/04	25.00
25285	J P Morgan SP BV - Zijin Mining European Warrants Nov 2013 A	88,000,000	2013/04/22	2013/11/01	22.00
25279	Standard Chartered Bank - HKEx European Warrants Sep 2013 B	100,000,000	2013/04/22	2013/09/09	25.00
25288	Standard Chartered Bank - SPDR Gold Trust Euro Wt Apr 2014 A	50,000,000	2013/04/22	2014/04/25	25.00
25273	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Oct13 B	30,000,000	2013/04/22	2013/10/23	13.35
25290	UBS AG - China Mobile European Warrants Sep 2013 A	100,000,000	2013/04/22	2013/09/23	15.00
25289	UBS AG - HKEx European Warrants Sep 2013 D	100,000,000	2013/04/22	2013/09/23	31.00
25293	UBS AG - SPDR Gold Trust European Warrants Oct 2013 A	100,000,000	2013/04/22	2013/10/21	38.00
25292	UBS AG - Yanzhou Coal European Wts Oct 2013 A	30,000,000	2013/04/22	2013/10/21	11.40
25278	UBS AG - Zhaojin Mining European Warrants Aug 2014 A	100,000,000	2013/04/22	2014/08/11	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25294	UBS AG - Zijin Mining European Warrants Sep 2013 A	60,000,000	2013/04/22	2013/09/19	13.50
18212#	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jul 2013 A	300,000,000	2013/04/22	2013/07/25	10.20
25396	BOCI Asia Ltd. - Anhui Conch European Warrants Nov 2013 A	100,000,000	2013/04/23	2013/11/25	25.00
25394	BOCI Asia Ltd. - Agricultural Bk European Warrants Nov2013 A	100,000,000	2013/04/23	2013/11/25	15.00
25393	BOCI Asia Ltd. - Sinopec Corp European Warrants Oct 2013 A	100,000,000	2013/04/23	2013/10/02	19.00
25392	BOCI Asia Ltd. - SHK Ppt European Warrants Sep 2013 A	100,000,000	2013/04/23	2013/09/23	21.00
10183	BNP Paribas Arbit Issu B.V. - Gold European Wts Dec 2013 B	100,000,000	2013/04/23	2013/12/06	25.00
10182	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Dec13 A	100,000,000	2013/04/23	2013/12/06	25.00
25481	Credit Suisse - SPDR Gold Trust European Warrants Oct 2013 B	50,000,000	2013/04/23	2013/10/25	12.50
25480	Credit Suisse AG - SPDR Gold Trust Euro Put Wts Oct 2013 A	50,000,000	2013/04/23	2013/10/25	12.50
25484	Credit Suisse AG - Zhaojin Mining European Wts Oct 2013 A	50,000,000	2013/04/23	2013/10/25	32.00
25482	Credit Suisse AG - Zijin Mining European Warrants Oct 2013 A	50,000,000	2013/04/23	2013/10/25	12.50
25488	Daiwa Capital Mkt - CCB European Warrants Dec 2013 A	128,000,000	2013/04/23	2013/12/02	20.48
25489	Daiwa Capital Mkt - CCB European Put Warrants Oct 2013 A	80,000,000	2013/04/23	2013/10/23	21.60
25397	Goldman Sachs SP (Asia) - Agri Bk European Wts Nov 2013 A	80,000,000	2013/04/23	2013/11/18	12.08
25404	Goldman Sachs SP (Asia) - Angang Steel Euro Wts Dec 2013 A	50,000,000	2013/04/23	2013/12/30	22.30
25398	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Sep 2013 B	80,000,000	2013/04/23	2013/09/27	12.16
25416	Goldman Sachs SP (Asia) - Lenovo European Wts Oct 2013 A	80,000,000	2013/04/23	2013/10/30	36.80
25415	Goldman Sachs SP (Asia) - Yanzhou Coal Euro Wts Dec 2013 B	80,000,000	2013/04/23	2013/12/30	50.48
25367	J P Morgan SP BV - CHALCO European Warrants Nov 2013 A	48,000,000	2013/04/23	2013/11/01	12.10
25365	J P Morgan SP BV - CPIC European Warrants Nov 2013 A	180,000,000	2013/04/23	2013/11/01	45.00
25341	J P Morgan SP BV - HSI European Warrants Apr 2014 A	500,000,000	2013/04/23	2014/04/29	125.00
25350	J P Morgan SP BV - HSI European Put Warrants Oct 2013 D	500,000,000	2013/04/23	2013/10/30	125.00
25362	J P Morgan SP BV - Haitong Sec European Warrants Sep 2014 A	150,000,000	2013/04/23	2014/09/01	37.50
25337	J P Morgan SP BV - PICC European Warrants Dec 2013 A	150,000,000	2013/04/23	2013/12/20	22.50
25426	J P Morgan SP BV - SPDR Gold Trust European Wts Nov 2013 A	100,000,000	2013/04/23	2013/11/20	25.00
25420	J P Morgan SP BV - SPDR Gold Trust Euro Put Wts Dec 2013 A	100,000,000	2013/04/23	2013/12/18	25.00
25389	J P Morgan SP BV - Wharf European Warrants Nov 2013 A	100,000,000	2013/04/23	2013/11/01	25.00
25335	Macquarie Bank Ltd. - CNOOC European Warrants Nov 2014 A	150,000,000	2013/04/23	2014/11/17	22.50
25405	Macquarie Bank Ltd. - Zhaojin Mining Euro Wts Dec 2014 A	150,000,000	2013/04/23	2014/12/02	37.50
25406	Macquarie Bank Ltd. - Zijin Mining European Wts Jul 2014 A	75,000,000	2013/04/23	2014/07/03	18.75
25413	Standard Chartered Bank - CTel European Warrants Nov 2013 A	60,000,000	2013/04/23	2013/11/05	15.00
25409	Standard Chartered Bank - Lenovo European Wts Dec 2013 A	60,000,000	2013/04/23	2013/12/02	24.00
25414	Standard Chartered Bank - SPDR Gold Trust Euro Wt Oct 2013 A	50,000,000	2013/04/23	2013/10/28	50.00
25408	Standard Chartered Bank - YZ Coal European Wts Sep 2014 A	100,000,000	2013/04/23	2014/09/02	25.00
25407	Standard Chartered Bank - Zhaojin Mining Euro Wts Mar 2014 A	100,000,000	2013/04/23	2014/03/31	25.00
25451	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Oct2013 B	100,000,000	2013/04/23	2013/10/30	17.00
25477	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Aug13B	80,000,000	2013/04/23	2013/08/26	32.40
25464	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Oct13A	80,000,000	2013/04/23	2013/10/23	54.40
25439	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Oct 13 B	100,000,000	2013/04/23	2013/10/09	23.50
10181	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Wt Nov13 A	50,000,000	2013/04/23	2013/11/27	12.50
25454	SGA Societe Generale Acceptance NV - Zijin Mining Eu Wt Sep13A	50,000,000	2013/04/23	2013/09/23	10.00
25333	UBS AG - CC Bank European Warrants Sep 2013 C	100,000,000	2013/04/23	2013/09/19	33.50
25564	BOCI Asia Ltd. - Cheung Kong European Warrants Sep 2013 A	100,000,000	2013/04/24	2013/09/30	28.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25563	BOCI Asia Ltd. - Chi Res Land European Warrants Dec 2013 A	100,000,000	2013/04/24	2013/12/10	25.00
25568	BOCI Asia Ltd. - A50 China European Warrants Sep 2013 B	100,000,000	2013/04/24	2013/09/23	20.00
25560	Credit Suisse AG - CNOOC European Warrants Sep 2013 A	70,000,000	2013/04/24	2013/09/27	17.50
25593	Credit Suisse AG - Greatwall Motor European Wts Aug 2013 A	70,000,000	2013/04/24	2013/08/30	10.50
25579	Credit Suisse AG - HSCEI European Warrants Dec 2013 A	70,000,000	2013/04/24	2013/12/30	10.50
25585	Credit Suisse AG - PetroChina European Warrants Nov 2013 A	70,000,000	2013/04/24	2013/11/29	10.50
25607	Credit Suisse AG - Poly HK Inv European Warrants Sep 2013 A	70,000,000	2013/04/24	2013/09/06	14.00
25597	Credit Suisse AG - Yurun Food European Warrants Oct 2013 A	70,000,000	2013/04/24	2013/10/11	17.50
25605	Credit Suisse AG - ZTE European Warrants Sep 2013 A	70,000,000	2013/04/24	2013/09/27	10.50
25610	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Sep 2013 A	100,000,000	2013/04/24	2013/09/02	20.00
25612	Citigroup Global Mkt H Inc. - ICBC European Wts Oct 2013 A	100,000,000	2013/04/24	2013/10/08	20.00
25531	Goldman Sachs SP (Asia) - China Coal European Wts Dec 2013 B	60,000,000	2013/04/24	2013/12/30	20.82
25528	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Oct 2013 B	60,000,000	2013/04/24	2013/10/11	35.22
25559	Goldman Sachs SP (Asia) - CRCC European Warrants Dec 2013 A	60,000,000	2013/04/24	2013/12/30	30.36
25502	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2013 B	150,000,000	2013/04/24	2013/12/30	22.95
25516	HK Bank - China Tel European Warrants Nov 2013 A	80,000,000	2013/04/24	2013/11/04	20.00
25514	HK Bank - A50 China European Warrants Aug 2013 A	80,000,000	2013/04/24	2013/08/26	12.00
25512	HK Bank - Ping An European Warrants Nov 2013 A	80,000,000	2013/04/24	2013/11/04	20.00
25510	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Nov 2014 A	80,000,000	2013/04/24	2014/11/17	12.00
25511	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Dec 2013 A	80,000,000	2013/04/24	2013/12/23	35.60
25571	Standard Chartered Bank - Jiangxi Copper Euro Wts Nov 2013 A	100,000,000	2013/04/24	2013/11/04	25.00
25573	Standard Chartered Bank - Li & Fung European Wts Dec 2014 A	100,000,000	2013/04/24	2014/12/24	15.00
25569	Standard Chartered Bank - Zijin Mining Euro Wts Nov 2013 B	50,000,000	2013/04/24	2013/11/29	17.50
25608	UBS AG - CNOOC European Warrants Oct 2013 A	100,000,000	2013/04/24	2013/10/28	18.50
25578	UBS AG - China Tel European Warrants Oct 2013 A	100,000,000	2013/04/24	2013/10/23	25.00
25609	UBS AG - Tencent Holdings European Warrants Oct 2013 B	200,000,000	2013/04/24	2013/10/02	35.00
25658	BOCI Asia Ltd. - CC Bank European Put Warrants Nov 2013 A	100,000,000	2013/04/25	2013/11/11	25.00
25657	BOCI Asia Ltd. - China Life European Put Warrants Dec 2013 A	100,000,000	2013/04/25	2013/12/16	25.00
25660	BOCI Asia Ltd. - ICBC European Warrants Dec 2013 A	100,000,000	2013/04/25	2013/12/03	15.00
25661	Daiwa Capital Mkt - Hutchison European Warrants Oct 2013 B	128,000,000	2013/04/25	2013/10/15	56.32
25665	Daiwa Capital Mkt - Hutchison European Put Wts Oct 2013 C	80,000,000	2013/04/25	2013/10/25	22.40
25646	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2013 C	80,000,000	2013/04/25	2013/07/29	12.72
25647	Goldman Sachs SP (Asia) - China Mobile Euro Wts Oct 2013 A	80,000,000	2013/04/25	2013/10/02	17.44
25641	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Oct 2013 B	80,000,000	2013/04/25	2013/10/30	30.64
25644	Goldman Sachs SP (Asia) - HKEx European Warrants Oct 2013 B	80,000,000	2013/04/25	2013/10/30	44.72
25631	Goldman Sachs SP (Asia) - HSBC European Warrants Nov 2013 A	80,000,000	2013/04/25	2013/11/28	29.28
25630	J P Morgan SP BV - Minsheng Bank European Wts Dec 2014 B	200,000,000	2013/04/25	2014/12/29	30.00
25668	Macquarie Bank Ltd. - HSBC European Warrants Oct 2013 A	150,000,000	2013/04/25	2013/10/03	22.50
25667	Macquarie Bank Ltd. - Hang Seng Bank European Wts Sep 2013 A	150,000,000	2013/04/25	2013/09/03	22.50
25656	Standard Chartered Bank - R&F Ppt European Wts Nov 2013 A	100,000,000	2013/04/25	2013/11/04	25.00
25648	Standard Chartered Bank - HS H-SHARE European Wts Nov 2013 A	100,000,000	2013/04/25	2013/11/04	33.00
25649	Standard Chartered Bank - HS H-SHARE European Wts Nov 2014 A	150,000,000	2013/04/25	2014/11/04	37.50
25613	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep13 B	150,000,000	2013/04/25	2013/09/30	39.00
25614	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Sep13 C	150,000,000	2013/04/25	2013/09/25	22.50

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		發行單位	上市日期	到期日	
25697	UBS AG - HKEx European Warrants Oct 2013 A	100,000,000	2013/04/25	2013/10/24	68.00
25687	UBS AG - KECL European Warrants Oct 2013 A	100,000,000	2013/04/25	2013/10/28	15.00
25877	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2013 B	100,000,000	2013/04/26	2013/10/03	19.00
25880	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Aug 2014 A	100,000,000	2013/04/26	2014/08/04	19.00
25876	BNP Paribas Arbit Issu B.V. - HKEx European Wts Oct 2013 A	57,000,000	2013/04/26	2013/10/03	14.25
25878	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Oct 2013 B	100,000,000	2013/04/26	2013/10/03	17.00
25879	BNP Paribas Arbit Issu B.V. - Zijin Mining Eu Wts Sep 2014 A	79,000,000	2013/04/26	2014/09/02	25.28
25836	Credit Suisse AG - CSOP A50 ETF European Warrants Aug 2013 B	80,000,000	2013/04/26	2013/08/19	16.00
25828	Credit Suisse AG - HSBC European Wts Sep 2013 B	80,000,000	2013/04/26	2013/09/09	21.60
25829	Credit Suisse AG - HSBC European Put Warrants Jul 2013 D	80,000,000	2013/04/26	2013/07/29	15.20
25834	Credit Suisse AG - HSI European Put Warrants Oct 2013 D	150,000,000	2013/04/26	2013/10/30	25.50
25835	Credit Suisse AG - HSI European Put Warrants Oct 2013 E	150,000,000	2013/04/26	2013/10/30	24.00
25882	Citigroup Global Mkt H Inc. - Ch Overseas Eu Put Wt Sep 13 B	100,000,000	2013/04/26	2013/09/23	25.00
25887	Citigroup Global Mkt H Inc. - HSBC European Wts Dec 2013 A	100,000,000	2013/04/26	2013/12/23	30.00
25886	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Dec 2013 C	100,000,000	2013/04/26	2013/12/23	40.00
25881	Citigroup Global Mkt H Inc. - Sands China Euro Wt Jul 2013 C	100,000,000	2013/04/26	2013/07/29	16.00
25883	Citigroup Global Mkt H Inc. - Sands China Eu Put Wt Dec 13 A	70,000,000	2013/04/26	2013/12/23	28.00
25837	Daiwa Capital Mkt - BOCL European Warrants Oct 2013 A	158,000,000	2013/04/26	2013/10/02	23.70
25868	Daiwa Capital Mkt - CSOP A50 ETF European Wts Sep 2013 B	108,000,000	2013/04/26	2013/09/02	22.68
25867	Daiwa Capital Mkt - CSOP A50 ETF European Wts Dec 2013 A	128,000,000	2013/04/26	2013/12/23	19.20
25838	Daiwa Capital Mkt - ICBC European Warrants Nov 2013 A	128,000,000	2013/04/26	2013/11/26	19.20
25779	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Dec 2013 C	80,000,000	2013/04/26	2013/12/30	20.00
25787	Goldman Sachs SP (Asia) - SPDR Gold Trust Euro Wt Dec 2013 A	80,000,000	2013/04/26	2013/12/30	26.32
25759	HK Bank - China Mobile European Warrants Nov 2013 A	100,000,000	2013/04/26	2013/11/04	25.00
25755	HK Bank - HSI European Warrants Oct 2013 B	150,000,000	2013/04/26	2013/10/30	37.50
25758	HK Bank - HSI European Put Warrants Dec 2013 B	250,000,000	2013/04/26	2013/12/30	37.50
25732	J P Morgan SP BV - Agricultural Bk Euro Warrants Dec 2013 A	100,000,000	2013/04/26	2013/12/02	25.00
25703	J P Morgan SP BV - BOCL European Warrants Nov 2013 B	200,000,000	2013/04/26	2013/11/04	50.00
25704	J P Morgan SP BV - CSOP A50 ETF European Warrants Nov 2013 A	150,000,000	2013/04/26	2013/11/04	51.00
25729	J P Morgan SP BV - Luk Fook Hold European Wts Dec 2013 A	60,000,000	2013/04/26	2013/12/02	15.00
25788	Standard Chartered Bank - CNOOC European Warrants Sep 2013 A	60,000,000	2013/04/26	2013/09/25	11.10
25789	Standard Chartered Bank - China Shenhua Euro Wts Nov 2013 A	100,000,000	2013/04/26	2013/11/05	25.00
25799	Standard Chartered Bank - HSI European Put Wts Jul 2013 C	100,000,000	2013/04/26	2013/07/30	15.60
25795	Standard Chartered Bank - ICBC European Warrants Nov 2013 A	100,000,000	2013/04/26	2013/11/05	25.00
10184	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Dec 13 A	100,000,000	2013/04/26	2013/12/13	25.00
10185	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Dec 13 B	100,000,000	2013/04/26	2013/12/13	25.00
10186	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Dec 2013 C	100,000,000	2013/04/26	2013/12/13	25.00
25814	UBS AG - Geely Auto European Warrants Oct 2013 A	50,000,000	2013/04/26	2013/10/25	14.00
25875	UBS AG - Jiangxi Copper European Warrants Oct 2014 A	60,000,000	2013/04/26	2014/10/27	15.00
25889	BOCI Asia Ltd. - Galaxy Ent European Warrants Nov 2013 A	100,000,000	2013/04/29	2013/11/04	17.00
25891	BOCI Asia Ltd. - HKEx European Warrants Oct 2013 C	100,000,000	2013/04/29	2013/10/02	24.00
25888	BOCI Asia Ltd. - ICBC European Put Warrants Nov 2013 A	100,000,000	2013/04/29	2013/11/04	25.00
25948	BNP Paribas Arbit Issu B.V. - CHALCO European Wts Feb 2014 A	31,000,000	2013/04/29	2014/02/05	10.23
25945	BNP Paribas Arbit Issu B.V. - China Res Gas Eu Wt Dec 2013 A	60,000,000	2013/04/29	2013/12/05	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25951	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Dec 13 A	100,000,000	2013/04/29	2013/12/03	29.00
25946	BNP Paribas Arbit Issu B.V. - Shanghai Electric Eu Wt Feb14A	32,000,000	2013/04/29	2014/02/05	10.56
25949	BNP Paribas Arbit Issu B.V. - Weichai Power Eu Wt Dec 2013 A	64,000,000	2013/04/29	2013/12/03	18.56
25942	Macquarie Bank Ltd. - Ping An European Warrants Oct 2013 B	70,000,000	2013/04/29	2013/10/03	10.50
25904	UBS AG - HSCEI European Warrants Oct 2013 A	100,000,000	2013/04/29	2013/10/30	15.00
26065	BOCI Asia Ltd. - Minsheng Bank European Warrants Sep 2013 A	100,000,000	2013/04/30	2013/09/09	18.00
26064	BOCI Asia Ltd. - China Unicom European Warrants Nov 2013 A	100,000,000	2013/04/30	2013/11/11	15.00
26050	BOCI Asia Ltd. - Hutchison European Warrants Oct 2013 A	100,000,000	2013/04/30	2013/10/18	19.00
26070	Credit Suisse AG - Sinopharm European Warrants Sep 2013 B	70,000,000	2013/04/30	2013/09/30	10.50
26071	Credit Suisse AG - SJM Holdings European Warrants Sep 2013 B	70,000,000	2013/04/30	2013/09/30	10.50
25959	Goldman Sachs SP (Asia) - CITIC Bank European Wts Mar 2014 A	80,000,000	2013/04/30	2014/03/28	20.16
25966	Goldman Sachs SP (Asia) - CNOOC European Warrants Nov 2014 A	100,000,000	2013/04/30	2014/11/17	15.30
25955	HK Bank - Anhui Conch European Warrants Nov 2013 A	60,000,000	2013/04/30	2013/11/04	15.00
25958	HK Bank - China Unicom European Warrants Oct 2013 A	100,000,000	2013/04/30	2013/10/29	15.00
26014	J P Morgan SP BV - Galaxy Ent European Warrants Nov 2013 A	200,000,000	2013/04/30	2013/11/04	50.00
25979	J P Morgan SP BV - Yanzhou Coal European Warrants Nov 2013 B	50,000,000	2013/04/30	2013/11/15	12.50
26067	Macquarie Bank Ltd. - BYD European Warrants Nov 2013 A	150,000,000	2013/04/30	2013/11/04	37.50
26074	Macquarie Bank Ltd. - Galaxy Ent European Wts Nov 2013 A	50,000,000	2013/04/30	2013/11/04	12.50
26066	Macquarie Bank Ltd. - Greatwall Motor European Wts Nov 2013 A	150,000,000	2013/04/30	2013/11/04	37.50
26046	Standard Chartered Bank - NCI European Warrants Dec 2013 A	60,000,000	2013/04/30	2013/12/31	15.00
26048	UBS AG - Anhui Conch European Warrants Oct 2013 A	100,000,000	2013/04/30	2013/10/29	30.00
26077	UBS AG - Ping An European Warrants Oct 2013 B	100,000,000	2013/04/30	2013/10/21	45.00
26216	Credit Suisse AG - Hutchison European Put Wts Aug 2013 B	70,000,000	2013/05/02	2013/08/30	10.50
26221	Credit Suisse AG - ZTE European Warrants Nov 2013 A	60,000,000	2013/05/02	2013/11/05	10.80
26226	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Dec 2013 A	80,000,000	2013/05/02	2013/12/30	41.84
26178	J P Morgan SP BV - CITIC Bank European Warrants Nov 2013 A	100,000,000	2013/05/02	2013/11/04	25.00
26233	J P Morgan SP BV - CNOOC European Warrants Nov 2014 A	300,000,000	2013/05/02	2014/11/17	45.00
26185	J P Morgan SP BV - Link Reit European Warrants Dec 2013 A	80,000,000	2013/05/02	2013/12/02	20.00
26158	J P Morgan SP BV - PetroChina European Warrants Nov 2013 A	100,000,000	2013/05/02	2013/11/01	25.00
26228	J P Morgan SP BV - Sands China European Put Wts Nov 2013 B	200,000,000	2013/05/02	2013/11/04	50.00
26157	J P Morgan SP BV - Weichai Power European Wts Dec 2013 A	80,000,000	2013/05/02	2013/12/02	20.00
26156	Macquarie Bank Ltd. - A50 China European Warrants Aug 2013 A	50,000,000	2013/05/02	2013/08/02	10.00
26152	Macquarie Bank Ltd. - Shui On Land European Wts Mar 2014 A	60,000,000	2013/05/02	2014/03/04	15.00
26097	Merrill Lynch Int'l & Co. C.V. - CAM CSI300 Eu Wt Oct 2013 A	60,000,000	2013/05/02	2013/10/15	11.10
26147	Merrill Lynch Int'l & Co. C.V. - CAM CSI300 Eu Wt Dec 2013 A	40,000,000	2013/05/02	2013/12/09	10.00
26150	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Oct 2013 C	70,000,000	2013/05/02	2013/10/25	10.64
26148	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Feb 14 A	60,000,000	2013/05/02	2014/02/20	12.30
26087	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Dec 13 D	100,000,000	2013/05/02	2013/12/30	21.30
26132	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Aug 2013 A	60,000,000	2013/05/02	2013/08/30	10.56
26130	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Oct 2013 C	60,000,000	2013/05/02	2013/10/04	10.56
26151	Merrill Lynch Int'l & Co. C.V. - Hutchison Eu Put Wt Nov 13 A	40,000,000	2013/05/02	2013/11/11	15.20
26093	Merrill Lynch Int'l & Co. C.V. - Wharf Euro Wts Dec 2013 A	25,000,000	2013/05/02	2013/12/30	10.38
26271	Credit Suisse AG - CC Bank European Warrants Sep 2013 A	70,000,000	2013/05/03	2013/09/23	11.90
26328	Credit Suisse AG - HSCEI European Warrants Oct 2013 A	70,000,000	2013/05/03	2013/10/30	10.50

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		發行單位	上市日期	到期日	
26287	Credit Suisse AG - HSI European Put Warrants Sep 2013 D	150,000,000	2013/05/03	2013/09/27	22.50
26327	Credit Suisse AG - HSI European Put Warrants Nov 2013 A	150,000,000	2013/05/03	2013/11/28	22.50
26270	Credit Suisse AG - ICBC European Warrants Oct 2013 A	70,000,000	2013/05/03	2013/10/03	12.60
26259	Bank of East Asia - BYD European Warrants Oct 2013 A	50,000,000	2013/05/03	2013/10/28	10.50
26387	Bank of East Asia - China COSCO European Warrants Feb 2014 A	50,000,000	2013/05/03	2014/02/04	12.50
26334	Bank of East Asia - Ch Mobile European Warrants Jan 2014 A	50,000,000	2013/05/03	2014/01/02	13.50
26366	Bank of East Asia - China Overseas Euro Warrants Feb 2014 A	60,000,000	2013/05/03	2014/02/04	15.00
26393	Bank of East Asia - Galaxy Ent European Warrants Feb 2014 A	50,000,000	2013/05/03	2014/02/04	12.50
26368	Bank of East Asia - Zhaojin Mining European Wts Feb 2015 A	90,000,000	2013/05/03	2015/02/02	22.50
26264	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Feb 2014 A	80,000,000	2013/05/03	2014/02/05	20.00
26260	Macquarie Bank Ltd. - CITIC Bank European Wts Jan 2014 A	80,000,000	2013/05/03	2014/01/03	20.00
26234	Merrill Lynch Int'l & Co. C.V. - ChiUnicom Eu Wts Apr 2014 A	80,000,000	2013/05/03	2014/04/22	12.00
26246	Standard Chartered Bank - Agricultural Bk Eur Wts Dec 2013 A	60,000,000	2013/05/03	2013/12/04	15.00
26252	Standard Chartered Bank - HuanengPower Euro Wts Aug 2013 A	50,000,000	2013/05/03	2013/08/05	21.00
26251	Standard Chartered Bank - HuanengPower Euro Wts Dec 2013 A	50,000,000	2013/05/03	2013/12/06	17.50
89010	UBS AG - CSOP A50 ETF European Warrants Dec 2013 B	25,000,000	2013/05/03	2013/12/30	10.37
26445	HK Bank - HSI European Put Warrants Aug 2013 D	180,000,000	2013/05/06	2013/08/29	27.00
26442	HK Bank - Sands China European Warrants Oct 2013 A	80,000,000	2013/05/06	2013/10/02	12.00
26514	Macquarie Bank Ltd. - CC Bank European Put Wts Dec 2013 B	100,000,000	2013/05/06	2013/12/03	25.00
26447	Macquarie Bank Ltd. - Ch Comm Cons European Wts Dec 2014 A	150,000,000	2013/05/06	2014/12/02	22.50
26486	Macquarie Bank Ltd. - CM Bank European Warrants Jul 2014 A	150,000,000	2013/05/06	2014/07/03	22.50
26511	Macquarie Bank Ltd. - ICBC European Put Warrants Dec 2013 B	100,000,000	2013/05/06	2013/12/03	25.00
26473	Macquarie Bank Ltd. - Link REIT European Warrants Mar 2014 A	150,000,000	2013/05/06	2014/03/04	22.50
26494	Standard Chartered Bank - Sinopec Corp Euro Wts Nov 2013 A	80,000,000	2013/05/06	2013/11/08	20.00
26552	UBS AG - Galaxy Ent European Warrants Nov 2013 A	80,000,000	2013/05/06	2013/11/05	20.00
26534	UBS AG - HSI European Warrants Oct 2013 C	300,000,000	2013/05/06	2013/10/30	45.00
26543	UBS AG - HSI European Put Warrants Sep 2013 G	300,000,000	2013/05/06	2013/09/27	51.00
26536	UBS AG - Hutchison European Warrants Sep 2013 C	200,000,000	2013/05/06	2013/09/30	39.00
26545	UBS AG - Lumena Newmat European Warrants Dec 2013 A	100,000,000	2013/05/06	2013/12/30	25.00
26560	UBS AG - Sands China European Warrants Sep 2013 A	60,000,000	2013/05/06	2013/09/16	12.00
26551	UBS AG - Tencent Holdings European Put Warrants Sep 2013 D	100,000,000	2013/05/06	2013/09/25	15.00
26786	Credit Suisse AG - China Coal European Warrants Aug 2013 A	60,000,000	2013/05/07	2013/08/30	12.00
26651	Credit Suisse AG - Jiangxi Copper European Wts Dec 2013 A	70,000,000	2013/05/07	2013/12/02	17.50
26914	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2013 B	150,000,000	2013/05/07	2013/08/29	23.25
26916	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2013 B	150,000,000	2013/05/07	2013/09/27	22.80
26616	HK Bank - CNOOC European Warrants Feb 2014 A	120,000,000	2013/05/07	2014/02/11	18.00
26583	HK Bank - CSOP A50 ETF European Warrants Dec 2013 A	80,000,000	2013/05/07	2013/12/31	30.40
26692	Macquarie Bank Ltd. - Agricultural Bk Euro Put Wt Oct 2013 A	100,000,000	2013/05/07	2013/10/09	18.90
26637	Macquarie Bank Ltd. - CRCC European Warrants Jan 2015 A	150,000,000	2013/05/07	2015/01/05	22.50
26679	Macquarie Bank Ltd. - Stanchart European Warrants Jul 2014 A	250,000,000	2013/05/07	2014/07/03	62.50
26645	Standard Chartered Bank - HSI European Warrants Sep 2013 D	100,000,000	2013/05/07	2013/09/27	15.00
26755	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Nov13A	25,000,000	2013/05/07	2013/11/11	12.75
26884	UBS AG - AIA European Warrants Dec 2013 A	100,000,000	2013/05/07	2013/12/24	19.00
26883	UBS AG - China Coal European Warrants Nov 2013 A	40,000,000	2013/05/07	2013/11/06	12.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26835	UBS AG - HSCEI European Put Warrants Dec 2013 D	100,000,000	2013/05/07	2013/12/30	20.00
26858	UBS AG - Wynn Macau European Warrants Nov 2013 A	50,000,000	2013/05/07	2013/11/06	12.50
26948	Credit Suisse AG - AIA European Warrants Nov 2013 A	80,000,000	2013/05/08	2013/11/11	13.60
26947	Credit Suisse AG - CNBM European Warrants Nov 2013 A	60,000,000	2013/05/08	2013/11/11	37.20
26949	Daiwa Capital Mkt - Galaxy Ent European Warrants Nov 2013 A	98,000,000	2013/05/08	2013/11/01	27.44
26928	Goldman Sachs SP (Asia) - JIANG European Warrants Jun 2014 A	80,000,000	2013/05/08	2014/06/27	20.08
26917	Goldman Sachs SP (Asia) - KECL European Warrants Dec 2014 B	80,000,000	2013/05/08	2014/12/15	12.16
26945	Goldman Sachs SP (Asia) - Tencent European Wt Oct 2013 B	80,000,000	2013/05/08	2013/10/31	12.08
26972	UBS AG - Sinopec Corp European Warrants Nov 2013 A	100,000,000	2013/05/08	2013/11/07	26.00
26979	UBS AG - HSI European Warrants Aug 2013 B	300,000,000	2013/05/08	2013/08/29	45.00
26976	UBS AG - Ping An European Put Warrants Nov 2013 A	100,000,000	2013/05/08	2013/11/07	40.00
26977	UBS AG - Sands China European Warrants Nov 2013 A	60,000,000	2013/05/08	2013/11/07	21.00
27094	Credit Suisse AG - SUNAC European Warrants Nov 2013 A	50,000,000	2013/05/09	2013/11/11	36.00
27089	Credit Suisse AG - Tencent Holdings Euro Put Wts Sep 2013 F	80,000,000	2013/05/09	2013/09/30	13.60
27021	HK Bank - Minsheng Bank European Warrants Dec 2013 A	50,000,000	2013/05/09	2013/12/02	63.50
27022	HK Bank - HSI European Put Warrants Sep 2013 H	150,000,000	2013/05/09	2013/09/27	22.50
27023	HK Bank - HSI European Put Warrants Nov 2013 A	150,000,000	2013/05/09	2013/11/28	22.50
27020	HK Bank - Tencent European Put Warrants Oct 2013 B	100,000,000	2013/05/09	2013/10/18	15.00
27026	Standard Chartered Bank - AIA European Warrants Feb 2014 A	100,000,000	2013/05/09	2014/02/26	19.00
27041	Standard Chartered Bank - China Mobile Eur Put Wt Nov 2013 B	80,000,000	2013/05/09	2013/11/15	20.00
27025	Standard Chartered Bank - Ping An European Wts Aug 2013 D	100,000,000	2013/05/09	2013/08/27	15.00
27024	Standard Chartered Bank - Tencent Hldg Euro Wts Sep 2013 B	100,000,000	2013/05/09	2013/09/25	15.00
27136	UBS AG - CITIC Bank European Warrants Nov 2013 A	50,000,000	2013/05/09	2013/11/08	12.50
27117	UBS AG - Esprit European Warrants Aug 2014 A	100,000,000	2013/05/09	2014/08/08	25.00
27141	UBS AG - Tencent Holdings European Warrants Nov 2013 A	100,000,000	2013/05/09	2013/11/08	25.00
27221	Daiwa Capital Mkt - Agricultural Bank European Wts Nov 2013 A	108,000,000	2013/05/10	2013/11/11	27.00
27180	Daiwa Capital Mkt - CCB European Warrants Nov 2013 A	128,000,000	2013/05/10	2013/11/11	19.20
27216	Daiwa Capital Mkt - HSBC European Warrants Oct 2013 B	128,000,000	2013/05/10	2013/10/02	35.84
27217	Daiwa Capital Mkt - HSBC European Put Warrants Sep 2013 C	98,000,000	2013/05/10	2013/09/09	34.30
27182	Daiwa Capital Mkt - Hutchison European Warrants Oct 2013 D	108,000,000	2013/05/10	2013/10/21	28.08
27488	Goldman Sachs SP (Asia) - CC Bank European Wts Dec 2013 A	80,000,000	2013/05/10	2013/12/27	20.00
27331	Goldman Sachs SP (Asia) - CITIC Pacific Euro Wts Nov 2013 A	60,000,000	2013/05/10	2013/11/29	25.56
27305	Goldman Sachs SP (Asia) - Ch Mer Hold Euro Wts Jan 2014 A	60,000,000	2013/05/10	2014/01/30	15.00
27491	Goldman Sachs SP (Asia) - Geely Auto European Wts Jan 2014 A	60,000,000	2013/05/10	2014/01/30	15.78
27149	HK Bank - A50 China European Warrants Aug 2014 A	200,000,000	2013/05/10	2014/08/01	30.00
27170	HK Bank - HWL European Warrants Oct 2013 B	80,000,000	2013/05/10	2013/10/03	40.00
27179	HK Bank - HWL European Warrants Dec 2013 A	80,000,000	2013/05/10	2013/12/31	56.00
27257	UBS AG - China Shenhua European Warrants Jan 2014 A	80,000,000	2013/05/10	2014/01/27	20.00
27242	UBS AG - HSI European Warrants Feb 2014 A	300,000,000	2013/05/10	2014/02/27	45.00
27251	UBS AG - New World European Warrants Oct 2014 A	100,000,000	2013/05/10	2014/10/20	25.00
27248	UBS AG - Tencent Holdings European Put Warrants Sep 2013 E	100,000,000	2013/05/10	2013/09/24	18.00
27301	UBS AG - ZTE European Warrants Nov 2013 B	100,000,000	2013/05/10	2013/11/05	20.00
20867#	Daiwa Capital Mkt - HSCEI European Warrants Jun 2013 D	300,000,000	2013/05/10	2013/06/27	19.50
11058	BNP Paribas Arbit Issu B.V. - BOCL European Put Wts Apr 2014 A	100,000,000	2013/05/13	2014/04/02	25.00

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11059	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jan 2015 A	100,000,000	2013/05/13	2015/01/05	25.00
11061	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Jan 2014 A	100,000,000	2013/05/13	2014/01/03	25.00
11062	BNP Paribas Arbit Issu B.V. - PICC Group Euro Wts Jan 2014 A	90,000,000	2013/05/13	2014/01/03	22.50
11060	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Jan 2014 C	100,000,000	2013/05/13	2014/01/03	25.00
29330	Credit Suisse AG - Ch Oil & Gas European Warrants Dec 2013 A	50,000,000	2013/05/13	2013/12/23	12.50
27495	Credit Suisse AG - HSI European Put Warrants Aug 2013 D	150,000,000	2013/05/13	2013/08/29	22.50
11010	Credit Suisse AG - PICC Group European Warrants Nov 2013 A	60,000,000	2013/05/13	2013/11/12	15.00
11011	Credit Suisse AG - PICC Group European Warrants Nov 2013 B	60,000,000	2013/05/13	2013/11/12	15.00
11040	Daiwa Capital Mkt - BOC HK European Put Warrants May 2014 A	80,000,000	2013/05/13	2014/05/02	20.00
11019	Daiwa Capital Mkt - China Life European Warrants Nov 2013 B	168,000,000	2013/05/13	2013/11/15	25.20
11014	Daiwa Capital Mkt - China Life European Put Wts Nov 2013 A	80,000,000	2013/05/13	2013/11/12	24.80
11020	Daiwa Capital Mkt - ICBC European Warrants Dec 2013 A	128,000,000	2013/05/13	2013/12/24	19.20
11018	Daiwa Capital Mkt - ICBC European Put Warrants Nov 2013 B	80,000,000	2013/05/13	2013/11/12	24.00
11057	Bank of East Asia - PICC Group European Warrants Jan 2014 A	50,000,000	2013/05/13	2014/01/13	13.00
27517	HK Bank - Esprit European Warrants Jun 2014 A	120,000,000	2013/05/13	2014/06/30	30.00
27501	HK Bank - Tencent European Warrants Oct 2013 C	150,000,000	2013/05/13	2013/10/07	22.50
27497	Macquarie Bank Ltd. - Esprit European Warrants Sep 2014 A	120,000,000	2013/05/13	2014/09/02	30.00
11012	Macquarie Bank Ltd. - PICC Group European Wts Dec 2013 A	50,000,000	2013/05/13	2013/12/03	12.50
27546	Nomura Int'l plc - BOCL European Warrants Aug 2014 A	100,000,000	2013/05/13	2014/08/01	15.70
27987	Nomura Int'l plc - China Agri European Warrants Feb 2014 A	50,000,000	2013/05/13	2014/02/18	12.50
27978	Nomura Int'l plc - China Coal European Warrants Dec 2013 A	50,000,000	2013/05/13	2013/12/31	12.75
27940	Nomura Int'l plc - CITIC Bank European Warrants Dec 2013 A	50,000,000	2013/05/13	2013/12/31	12.60
27555	Nomura Int'l plc - China Life European Warrants Nov 2013 A	100,000,000	2013/05/13	2013/11/28	15.00
27991	Nomura Int'l plc - Ch Oil & Gas European Warrants Aug 2014 A	50,000,000	2013/05/13	2014/08/28	12.95
27545	Nomura Int'l plc - China Shenhua European Wts Feb 2014 A	80,000,000	2013/05/13	2014/02/17	12.00
27844	Nomura Int'l plc - PICC Group European Warrants Dec 2013 A	50,000,000	2013/05/13	2013/12/02	13.75
27802	Nomura Int'l plc - Ping An European Warrants Dec 2013 A	50,000,000	2013/05/13	2013/12/02	13.95
27544	Nomura Int'l plc - Renhe Comm European Warrants Dec 2015 A	50,000,000	2013/05/13	2015/12/18	12.75
27996	SGA Societe Generale Acceptance N.V. - PICC Gp Eu Wts Nov13A	50,000,000	2013/05/13	2013/11/13	13.75
11044	UBS AG - CNOOC European Put Warrants Nov 2014 B	80,000,000	2013/05/13	2014/11/07	20.00
11056	UBS AG - PICC Group European Warrants Nov 2013 A	60,000,000	2013/05/13	2013/11/12	15.00
12833 [#]	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Dec 2013	200,000,000	2013/05/13	2013/12/11	10.40
22339 [#]	UBS AG - HSI European Put Warrants Jul 2013 A	200,000,000	2013/05/13	2013/07/30	14.40
11197	Credit Suisse AG - HKEx European Warrants Aug 2013 B	70,000,000	2013/05/14	2013/08/26	10.50
11211	Credit Suisse AG - HKEx European Warrants Sep 2013 C	70,000,000	2013/05/14	2013/09/27	29.40
11237	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Dec 2013 A	100,000,000	2013/05/14	2013/12/18	40.00
11235	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Mar 2014 A	100,000,000	2013/05/14	2014/03/19	40.00
11240	Citigroup Global Mkt H Inc. - Sands China Euro Wt Nov 2013 A	100,000,000	2013/05/14	2013/11/20	25.00
11239	Citigroup Global Mkt H Inc. - Sands China Euro Wt Feb 2014 A	100,000,000	2013/05/14	2014/02/19	25.00
11136	Daiwa Capital Mkt - AIA European Warrants Mar 2014 A	108,000,000	2013/05/14	2014/03/03	21.60
11168	Daiwa Capital Mkt - AIA European Put Warrants Dec 2013 B	80,000,000	2013/05/14	2013/12/13	20.00
11129	Daiwa Capital Mkt - Ping An European Warrants Oct 2013 A	108,000,000	2013/05/14	2013/10/02	30.24
11132	Daiwa Capital Mkt - Ping An European Warrants Nov 2013 A	108,000,000	2013/05/14	2013/11/01	17.28
11133	Daiwa Capital Mkt - Ping An European Put Warrants Nov 2013 B	80,000,000	2013/05/14	2013/11/14	40.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11138	Goldman Sachs SP (Asia) - CNBM European Warrants Jun 2014 A	80,000,000	2013/05/14	2014/06/16	12.80
11149	Goldman Sachs SP (Asia) - Ch Ship Dev Euro Wts Nov 2013 A	60,000,000	2013/05/14	2013/11/28	20.22
11165	Goldman Sachs SP (Asia) - Chow Tai Fook Euro Wts Aug 2014 B	80,000,000	2013/05/14	2014/08/18	12.00
11064	Goldman Sachs SP (Asia) - HSCEI European Put Wts Oct 2013 A	150,000,000	2013/05/14	2013/10/30	27.00
11160	Goldman Sachs SP (Asia) - Li & Fung European Wts Dec 2014 A	80,000,000	2013/05/14	2014/12/24	12.24
11167	Goldman Sachs SP (Asia) - Zhaojin Mining Euro Wts Nov 2014 A	80,000,000	2013/05/14	2014/11/26	12.32
11073	HK Bank - Haitong Sec European Warrants Dec 2013 A	100,000,000	2013/05/14	2013/12/05	15.00
11074	HK Bank - Jiangxi Copper European Warrants Aug 2014 A	80,000,000	2013/05/14	2014/08/04	20.00
11077	HK Bank - PICC Group European Warrants Nov 2013 A	100,000,000	2013/05/14	2013/11/13	25.00
11075	HK Bank - Ping An European Warrants Aug 2013 A	100,000,000	2013/05/14	2013/08/30	15.00
11070	HK Bank - SPDR Gold Trust European Warrants Nov 2013 A	80,000,000	2013/05/14	2013/11/18	20.00
11079	Standard Chartered Bank - China Coal European Wts Jan 2014 A	60,000,000	2013/05/14	2014/01/09	24.00
11090	Standard Chartered Bank - Jiangxi Copper Euro Wts Jan 2014 A	100,000,000	2013/05/14	2014/01/09	25.00
11103	Standard Chartered Bank - SPDR Gold Trust Euro Wt Jul 2014 A	100,000,000	2013/05/14	2014/07/25	44.00
11063	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Sep13B	100,000,000	2013/05/14	2013/09/23	15.00
11122	UBS AG - AIA European Warrants Nov 2013 A	100,000,000	2013/05/14	2013/11/11	20.00
11128	UBS AG - AIA European Warrants Feb 2014 A	100,000,000	2013/05/14	2014/02/17	25.00
11234	UBS AG - CC Bank European Warrants Sep 2013 D	100,000,000	2013/05/14	2013/09/27	20.00
11112	UBS AG - CITIC Sec European Warrants Oct 2013 A	80,000,000	2013/05/14	2013/10/29	15.20
11115	UBS AG - Galaxy Ent European Warrants Nov 2013 B	80,000,000	2013/05/14	2013/11/01	15.20
11121	UBS AG - Haitong Sec European Warrants May 2014 A	80,000,000	2013/05/14	2014/05/26	20.00
24125#	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jul 2013 B	240,000,000	2013/05/14	2013/07/30	10.08
12104	Credit Suisse AG - BOC HK European Warrants Sep 2014 A	70,000,000	2013/05/15	2014/09/26	12.60
12101	Credit Suisse AG - BYD European Warrants Sep 2013 A	70,000,000	2013/05/15	2013/09/30	11.20
11880	Credit Suisse AG - CC Bank European Warrants Nov 2013 A	70,000,000	2013/05/15	2013/11/15	17.50
11957	Credit Suisse AG - CC Bank European Put Warrants Sep 2013 B	60,000,000	2013/05/15	2013/09/23	18.00
12103	Credit Suisse AG - China Mobile European Warrants Sep 2013 A	70,000,000	2013/05/15	2013/09/23	10.50
12102	Credit Suisse AG - China Mobile European Warrants Oct 2013 A	70,000,000	2013/05/15	2013/10/28	11.90
12105	Credit Suisse AG - Greatwall Motor European Wts Oct 2013 A	50,000,000	2013/05/15	2013/10/28	14.00
11783	Credit Suisse AG - HSBC European Put Warrants Sep 2013 C	60,000,000	2013/05/15	2013/09/09	16.80
11439	Credit Suisse AG - HSI European Put Warrants Sep 2013 E	150,000,000	2013/05/15	2013/09/27	22.50
11782	Credit Suisse AG - HSI European Put Warrants Sep 2013 F	150,000,000	2013/05/15	2013/09/27	27.00
12106	Credit Suisse AG - Jiangxi Copper European Wts Jan 2014 A	60,000,000	2013/05/15	2014/01/27	15.00
11879	Credit Suisse AG - ZTE European Warrants Sep 2013 B	70,000,000	2013/05/15	2013/09/09	10.50
12108	Daiwa Capital Mkt - China Mobile European Wts Sep 2013 B	128,000,000	2013/05/15	2013/09/23	19.20
12109	Daiwa Capital Mkt - China Mobile European Wts Nov 2013 A	128,000,000	2013/05/15	2013/11/15	42.24
12110	Daiwa Capital Mkt - China Mobile European Put Wts Nov 2013 B	80,000,000	2013/05/15	2013/11/15	29.60
12107	Daiwa Capital Mkt - HKEx European Warrants Sep 2014 A	128,000,000	2013/05/15	2014/09/05	19.20
12111	Daiwa Capital Mkt - HKEx European Put Warrants Jul 2014 A	80,000,000	2013/05/15	2014/07/02	20.00
11387	Goldman Sachs SP (Asia) - AIA European Put Wts Dec 2013 B	80,000,000	2013/05/15	2013/12/30	20.16
11394	Goldman Sachs SP (Asia) - China Life Euro Wts Jan 2014 A	80,000,000	2013/05/15	2014/01/30	20.00
11370	Goldman Sachs SP (Asia) - A50 China European Wts Oct 2013 C	80,000,000	2013/05/15	2013/10/30	31.20
11438	Goldman Sachs SP (Asia) - HSBC European Put Wts Nov 2013 B	80,000,000	2013/05/15	2013/11/29	36.00
11368	Goldman Sachs SP (Asia) - Yurun Food European Wts Mar 2014 A	60,000,000	2013/05/15	2014/03/31	31.02

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11351	Goldman Sachs SP (Asia) - Zoomlion European Wts Dec 2013 A	60,000,000	2013/05/15	2013/12/31	31.44
11307	HK Bank - AIA European Put Warrants Dec 2013 B	80,000,000	2013/05/15	2013/12/02	20.00
11302	HK Bank - China Unicom European Warrants Apr 2014 A	100,000,000	2013/05/15	2014/04/23	15.00
11329	Macquarie Bank Ltd. - China Gas Hold Euro Wts Oct 2014 A	150,000,000	2013/05/15	2014/10/28	27.00
11331	Macquarie Bank Ltd. - MGM China European Warrants Apr 2014 A	100,000,000	2013/05/15	2014/04/02	25.00
11333	Macquarie Bank Ltd - Skyworth Digital European Wts Feb 2016 A	120,000,000	2013/05/15	2016/02/15	30.00
11242	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2013 A	70,000,000	2013/05/15	2013/11/01	11.48
11283	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2013 B	100,000,000	2013/05/15	2013/10/30	15.50
11241	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Oct 2013 C	70,000,000	2013/05/15	2013/10/28	11.34
11244	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Sep 2013 B	80,000,000	2013/05/15	2013/09/24	12.08
11258	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Dec 2013 A	60,000,000	2013/05/15	2013/12/16	12.36
12112	Standard Chartered Bank - BOC HK European Wts Sep 2014 A	100,000,000	2013/05/15	2014/09/23	15.00
11308	Standard Chartered Bank - China COSCO European Wt Jan 2014 A	60,000,000	2013/05/15	2014/01/06	15.00
11312	Standard Chartered Bank - Ch Ship Cont Euro Wts Dec 2013 A	60,000,000	2013/05/15	2013/12/03	15.00
12113	Standard Chartered Bank - HSBC European Put Wts Jan 2014 A	100,000,000	2013/05/15	2014/01/08	39.00
11635	UBS AG - CC Bank European Warrants Nov 2013 A	100,000,000	2013/05/15	2013/11/21	16.00
11448	UBS AG - Esprit European Warrants Apr 2014 A	100,000,000	2013/05/15	2014/04/08	25.00
11481	UBS AG - HSBC European Warrants Nov 2013 A	100,000,000	2013/05/15	2013/11/06	21.00
11581	UBS AG - HSCEI European Put Warrants Sep 2013 C	100,000,000	2013/05/15	2013/09/27	19.00
11704	UBS AG - HSI European Warrants Dec 2013 D	300,000,000	2013/05/15	2013/12/30	45.00
11744	UBS AG - HSI European Put Warrants Nov 2013 A	300,000,000	2013/05/15	2013/11/28	87.00
11478	UBS AG - ICBC European Warrants Dec 2013 A	100,000,000	2013/05/15	2013/12/24	15.00
11455	UBS AG - PetroChina European Warrants Sep 2013 A	60,000,000	2013/05/15	2013/09/23	13.20
11534	UBS AG - SPDR Gold Trust European Warrants Nov 2013 A	100,000,000	2013/05/15	2013/11/25	25.00
12158	BNP Paribas Arbit Issu B.V. - AIA European Wts Sep 2014 A	100,000,000	2013/05/16	2014/09/02	25.00
12156	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Sep 2013 A	100,000,000	2013/05/16	2013/09/30	16.00
12157	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Dec 2014 B	100,000,000	2013/05/16	2014/12/01	27.00
12154	BNP Paribas Arbit Issu B.V. - HSBC European Wts Sep 2013 A	100,000,000	2013/05/16	2013/09/03	16.00
12153	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Dec 2013 C	100,000,000	2013/05/16	2013/12/30	15.00
12152	BNP Paribas Arbit Issu B.V. - HSCEI Euro Put Wts Dec 2013 B	100,000,000	2013/05/16	2013/12/30	16.00
12151	BNP Paribas Arbit Issu B.V. - HSI European Wts Feb 2014 A	100,000,000	2013/05/16	2014/02/27	15.00
12155	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jul 2014 A	100,000,000	2013/05/16	2014/07/03	28.00
12143	Credit Suisse AG - AIA European Warrants Jan 2014 B	70,000,000	2013/05/16	2014/01/27	17.50
12144	Credit Suisse AG - AIA European Put Warrants Nov 2013 B	70,000,000	2013/05/16	2013/11/25	17.50
12136	Credit Suisse - Cheung Kong European Put Warrants Sep 2013 A	70,000,000	2013/05/16	2013/09/30	12.60
12140	Credit Suisse AG - ChiUnicom European Warrants Sep 2014 A	70,000,000	2013/05/16	2014/09/29	10.50
12134	Credit Suisse AG - A50 China European Warrants Sep 2013 A	70,000,000	2013/05/16	2013/09/30	12.60
12139	Credit Suisse AG - Geely Auto European Warrants Sep 2013 A	70,000,000	2013/05/16	2013/09/30	10.50
12141	Credit Suisse AG - Galaxy Ent European Warrants Sep 2013 A	70,000,000	2013/05/16	2013/09/30	10.50
12142	Credit Suisse AG - Galaxy Ent European Put Wts Nov 2013 A	70,000,000	2013/05/16	2013/11/15	17.50
12135	Credit Suisse AG - HSI European Warrants Dec 2013 B	150,000,000	2013/05/16	2013/12/30	22.50
12137	Credit Suisse AG - Ping An European Warrants Aug 2013 B	70,000,000	2013/05/16	2013/08/30	12.60
12146	Credit Suisse AG - Sands China European Put Wts Nov 2013 A	60,000,000	2013/05/16	2013/11/15	15.00
12138	Credit Suisse AG - SHK Ppt European Warrants Oct 2013 A	60,000,000	2013/05/16	2013/10/28	16.80

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12145	Credit Suisse AG - SHK Ppt European Put Warrants Nov 2013 B	60,000,000	2013/05/16	2013/11/15	31.80
12133	Citigroup Global Mkt H Inc. - A50 China Euro Wts Nov 2013 B	100,000,000	2013/05/16	2013/11/20	50.00
12132	Citigroup Global Mkt H Inc. - A50 China Euro Wts Feb 2014 A	100,000,000	2013/05/16	2014/02/19	40.00
12131	Citigroup Global Mkt H Inc. - A50 China Eu Put Wt Nov 2013 A	150,000,000	2013/05/16	2013/11/20	52.50
12161	Daiwa Capital Mkt - HSI European Put Warrants Oct 2013 C	200,000,000	2013/05/16	2013/10/30	30.00
12162	Daiwa Capital Mkt - HSI European Put Warrants Nov 2013 A	200,000,000	2013/05/16	2013/11/28	50.00
12159	Daiwa Capital Mkt - Tencent Holdings European Wts Feb 2014 A	168,000,000	2013/05/16	2014/02/10	30.24
12160	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Dec 2013 A	108,000,000	2013/05/16	2013/12/12	27.00
12125	HK Bank - HSI European Put Warrants Oct 2013 C	200,000,000	2013/05/16	2013/10/30	36.00
12124	HK Bank - HSI European Put Warrants Nov 2013 B	150,000,000	2013/05/16	2013/11/28	37.50
12126	HK Bank - PICC Group European Warrants Dec 2013 A	80,000,000	2013/05/16	2013/12/02	20.00
12120	J P Morgan SP BV - AIA European Warrants Dec 2013 A	200,000,000	2013/05/16	2013/12/02	50.00
12118	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Dec 2013 A	200,000,000	2013/05/16	2013/12/02	50.00
12119	J P Morgan SP BV - China Life European Warrants Oct 2013 C	200,000,000	2013/05/16	2013/10/10	30.00
12114	J P Morgan SP BV - Galaxy Ent European Warrants Dec 2013 A	200,000,000	2013/05/16	2013/12/02	50.00
12115	J P Morgan SP BV - Galaxy Ent European Put Wts Dec 2013 B	200,000,000	2013/05/16	2013/12/02	50.00
12116	J P Morgan SP BV - Skyworth Digital European Wts Dec 2013 A	50,000,000	2013/05/16	2013/12/30	12.50
12117	J P Morgan SP BV - Zhaojin Mining European Wts Nov 2014 A	150,000,000	2013/05/16	2014/11/25	22.50
12127	Macquarie Bank Ltd. - Avichina European Warrants Dec 2013 A	50,000,000	2013/05/16	2013/12/03	12.50
12128	Macquarie Bank Ltd. - China Life European Wts Apr 2014 A	100,000,000	2013/05/16	2014/04/02	25.00
12130	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Jan 2014 A	100,000,000	2013/05/16	2014/01/03	25.00
12129	Macquarie Bank Ltd. - Shougang Res European Wts Jan 2014 A	50,000,000	2013/05/16	2014/01/03	12.50
12123	Standard Chartered Bank - Minsheng Bank Euro Wts Feb 2015 A	100,000,000	2013/05/16	2015/02/02	17.00
12122	Standard Chartered Bank - China Mobile Euro Wts Dec 2013 A	100,000,000	2013/05/16	2013/12/19	25.00
12121	Standard Chartered Bank - PetroChina European Wts Feb 2014 A	100,000,000	2013/05/16	2014/02/05	25.00
12150	UBS AG - BYD European Warrants Nov 2013 A	80,000,000	2013/05/16	2013/11/15	20.00
12147	UBS AG - China Mobile European Warrants Sep 2013 B	100,000,000	2013/05/16	2013/09/30	15.00
12149	UBS AG - Galaxy Ent European Put Warrants Nov 2013 C	40,000,000	2013/05/16	2013/11/15	10.00
12148	UBS AG - Sands China European Put Warrants Nov 2013 B	40,000,000	2013/05/16	2013/11/15	10.00
12199	Credit Suisse AG - GCL-Poly Energy European Wts Sep 2013 A	70,000,000	2013/05/20	2013/09/23	10.50
12200	Credit Suisse AG - HSCEI European Warrants Dec 2013 B	100,000,000	2013/05/20	2013/12/30	15.00
12201	Credit Suisse AG - HSCEI European Warrants Sep 2014 A	100,000,000	2013/05/20	2014/09/29	15.00
12198	Daiwa Capital Mkt - Sands China European Warrants Nov 2013 A	98,000,000	2013/05/20	2013/11/01	21.56
12172	HK Bank - CC Bank European Warrants Dec 2013 A	80,000,000	2013/05/20	2013/12/02	20.00
12173	HK Bank - China Life European Warrants Nov 2013 A	150,000,000	2013/05/20	2013/11/18	22.50
12175	HK Bank - China Mobile European Warrants Dec 2013 A	80,000,000	2013/05/20	2013/12/02	20.00
12174	HK Bank - Zhaojin Mining European Warrants Oct 2014 A	150,000,000	2013/05/20	2014/10/06	37.50
12195	HK Bank - Zijin Mining European Warrants Jan 2014 A	150,000,000	2013/05/20	2014/01/02	37.50
12193	J P Morgan SP BV - Cheung Kong European Warrants Dec 2013 B	100,000,000	2013/05/20	2013/12/03	25.00
12192	J P Morgan SP BV - China Mob European Wts Dec 2013 A	200,000,000	2013/05/20	2013/12/02	50.00
12197	J P Morgan SP BV - Geely Auto European Warrants Nov 2013 A	100,000,000	2013/05/20	2013/11/20	25.00
12187	J P Morgan SP BV - HSBC European Warrants Dec 2013 A	200,000,000	2013/05/20	2013/12/03	50.00
12186	J P Morgan SP BV - Hutchison European Warrants Dec 2013 B	100,000,000	2013/05/20	2013/12/02	25.00
12191	J P Morgan SP BV - Lenovo European Warrants Dec 2013 A	100,000,000	2013/05/20	2013/12/03	25.00

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		發行單位	上市日期	到期日	
12190	J P Morgan SP BV - PetroChina European Warrants Dec 2013 A	100,000,000	2013/05/20	2013/12/02	25.00
12189	J P Morgan SP BV - Ping An European Warrants Dec 2013 A	150,000,000	2013/05/20	2013/12/03	37.50
12188	J P Morgan SP BV - Tencent European Warrants Dec 2013 A	200,000,000	2013/05/20	2013/12/03	50.00
12196	Macquarie Bank Ltd. - AAC Acoustic European Wts Nov 2013 A	100,000,000	2013/05/20	2013/11/19	25.00
12166	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Nov 2013 A	40,000,000	2013/05/20	2013/11/25	10.00
12167	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Nov 2013 B	70,000,000	2013/05/20	2013/11/21	10.99
12169	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Nov 13 B	40,000,000	2013/05/20	2013/11/25	10.00
12168	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2013 B	40,000,000	2013/05/20	2013/11/25	10.40
12164	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Dec 2013 A	70,000,000	2013/05/20	2013/12/27	11.90
12165	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Dec 2013 B	40,000,000	2013/05/20	2013/12/02	10.00
12163	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Put Wts Nov13A	40,000,000	2013/05/20	2013/11/20	14.80
12184	Nomura Int'l plc - CITIC Sec European Warrants Oct 2013 A	100,000,000	2013/05/20	2013/10/25	15.90
12183	Nomura Int'l plc - Ch Ship Cont European Warrants Dec 2013 A	50,000,000	2013/05/20	2013/12/30	12.50
12185	Nomura Int'l plc - Lenovo European Warrants Oct 2013 A	80,000,000	2013/05/20	2013/10/28	12.32
12181	Nomura Int'l plc - MCC European Warrants Dec 2014 A	50,000,000	2013/05/20	2014/12/18	12.60
12178	Nomura Int'l plc - New World Dev European Wts Mar 2015 A	50,000,000	2013/05/20	2015/03/02	12.60
12179	Nomura Int'l plc - Poly European Warrants Nov 2013 A	50,000,000	2013/05/20	2013/11/29	18.25
12177	Nomura Int'l plc - SHK P European Warrants Dec 2013 A	50,000,000	2013/05/20	2013/12/02	18.35
12176	Nomura Int'l plc - Wharf European Warrants Dec 2013 A	50,000,000	2013/05/20	2013/12/02	16.75
12182	Nomura Int'l plc - Weichai Power European Warrants Feb2014 A	50,000,000	2013/05/20	2014/02/28	12.50
12180	Nomura Int'l plc - Yanzhou Coal European Warrants Nov 2013 A	50,000,000	2013/05/20	2013/11/20	12.55
12170	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2013 A	120,000,000	2013/05/20	2013/10/09	18.00
12194	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2013 A	300,000,000	2013/05/20	2013/10/30	45.00
12171	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Sep 2013 B	100,000,000	2013/05/20	2013/09/30	20.50
10187	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Wt Nov13 B	50,000,000	2013/05/20	2013/11/27	12.50
10188	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Put Wt Nov13C	50,000,000	2013/05/20	2013/11/27	13.00
12213	Daiwa Capital Mkt - Galaxy Ent European Put Wts Nov 2013 B	80,000,000	2013/05/21	2013/11/21	20.00
12217	J P Morgan SP BV - PICC Group European Warrants Nov 2013 A	68,000,000	2013/05/21	2013/11/05	10.20
12208	Macquarie Bank Ltd. - AIA European Warrants Apr 2014 A	50,000,000	2013/05/21	2014/04/02	12.55
12202	Merrill Lynch Int'l & Co. C.V. - China Coal Eur Wts Dec 13 A	40,000,000	2013/05/21	2013/12/23	10.00
12204	Merrill Lynch Int'l & Co. C.V. - Ch Telecom Eu Wt Sep 2013 B	70,000,000	2013/05/21	2013/09/30	10.85
12205	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Wts Nov2013 A	40,000,000	2013/05/21	2013/11/22	10.00
12203	Merrill Lynch Int'l & Co. C.V. - Lenovo Euro Wts Dec 2013 A	40,000,000	2013/05/21	2013/12/02	16.80
12207	Standard Chartered Bank - Chi Res Power Euro Wts Mar 2014 A	80,000,000	2013/05/21	2014/03/05	20.00
12209	Standard Chartered Bank - Galaxy Ent European Wts Sep 2013 A	100,000,000	2013/05/21	2013/09/23	20.60
12210	Standard Chartered Bank - Galaxy Ent Euro Put Wts Jan 2014 A	100,000,000	2013/05/21	2014/01/08	25.00
12211	Standard Chartered Bank - PICC Group European Wts Nov 2013 A	60,000,000	2013/05/21	2013/11/05	16.20
12212	Standard Chartered Bank - PICC Group European Wts May 2014 A	60,000,000	2013/05/21	2014/05/08	15.00
12206	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Dec13A	80,000,000	2013/05/21	2013/12/16	12.00
12214	UBS AG - Anhui Conch European Warrants Nov 2013 A	100,000,000	2013/05/21	2013/11/05	15.00
12216	UBS AG - BankComm European Warrants Oct 2013 A	50,000,000	2013/05/21	2013/10/08	10.00
12215	UBS AG - CITIC Pacific European Warrants Nov 2013 A	36,000,000	2013/05/21	2013/11/20	10.08
12226	Credit Suisse AG - Agricultural Bank European Wts Nov 2013 A	70,000,000	2013/05/22	2013/11/04	11.20
12225	Credit Suisse AG - Sinopec Corp European Warrants Sep 2013 A	70,000,000	2013/05/22	2013/09/23	11.20

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12232	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Oct 2013 A	80,000,000	2013/05/22	2013/10/10	12.24
12230	Goldman Sachs SP (Asia) - PICC Group European Wts Dec 2013 A	80,000,000	2013/05/22	2013/12/30	20.00
12233	Goldman Sachs SP (Asia) - Sands China Euro Wts Feb 2014 A	80,000,000	2013/05/22	2014/02/28	20.00
12231	Goldman Sachs SP (Asia) - Wharf European Warrants Feb 2014 A	60,000,000	2013/05/22	2014/02/27	24.06
12222	HK Bank - Tencent European Warrants Dec 2013 A	100,000,000	2013/05/22	2013/12/02	25.00
12219	J P Morgan SP BV - HSBC European Put Warrants Dec 2013 B	100,000,000	2013/05/22	2013/12/02	27.80
12218	J P Morgan SP BV - Stanchart European Warrants Jul 2014 A	80,000,000	2013/05/22	2014/07/02	12.00
12224	Merrill Lynch Int'l & Co. C.V. - AIA Euro Put Wts Dec 2013 B	80,000,000	2013/05/22	2013/12/09	12.56
12220	Merrill Lynch Int'l & Co. C.V. - CNOOC Eu Put Wts Sep 2014 A	40,000,000	2013/05/22	2014/09/08	10.00
12221	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2013 C	100,000,000	2013/05/22	2013/11/28	25.00
12223	Standard Chartered Bank - Zhaojin Mining Euro Wts Aug 2014 A	100,000,000	2013/05/22	2014/08/08	15.00
12229	UBS AG - PICC Group European Warrants Nov 2013 B	60,000,000	2013/05/22	2013/11/05	13.20
12227	UBS AG - Ping An European Warrants Oct 2013 C	100,000,000	2013/05/22	2013/10/28	24.00
12228	UBS AG - Ping An European Warrants Nov 2013 B	100,000,000	2013/05/22	2013/11/04	15.00
24653#	UBS AG - Tencent Holdings European Put Warrants Aug 2013 C	250,000,000	2013/05/22	2013/08/26	10.75
12245	BOCI Asia Ltd. - China Life European Warrants Nov 2013 B	100,000,000	2013/05/23	2013/11/22	15.00
12246	BOCI Asia Ltd. - CNOOC European Warrants Feb 2014 A	100,000,000	2013/05/23	2014/02/12	15.00
12244	BOCI Asia Ltd. - HSI European Warrants Dec 2013 B	100,000,000	2013/05/23	2013/12/30	15.00
12243	BOCI Asia Ltd. - HSI European Put Warrants Dec 2013 A	100,000,000	2013/05/23	2013/12/30	25.00
12255	Credit Suisse AG - Anhui Conch European Warrants Nov 2013 A	60,000,000	2013/05/23	2013/11/29	11.40
12256	Credit Suisse AG - China Coal European Warrants Oct 2013 B	60,000,000	2013/05/23	2013/10/31	12.00
12258	Credit Suisse AG - CITIC Bank European Warrants Nov 2013 A	50,000,000	2013/05/23	2013/11/01	16.00
12257	Credit Suisse AG - CITIC Pacific European Warrants Sep 13 A	70,000,000	2013/05/23	2013/09/30	10.50
12259	Credit Suisse AG - Li & Fung European Warrants Nov 2013 A	50,000,000	2013/05/23	2013/11/22	24.00
12254	Credit Suisse - SPDR Gold Trust European Warrants Nov 2013 A	60,000,000	2013/05/23	2013/11/18	10.80
12251	J P Morgan SP BV - Anhui Conch European Put Warrants Dec13 A	200,000,000	2013/05/23	2013/12/02	50.00
12237	J P Morgan SP BV - AIA European Put Warrants Dec 2013 B	100,000,000	2013/05/23	2013/12/02	25.00
12250	J P Morgan SP BV - Esprit European Put Warrants Jul 2014 A	80,000,000	2013/05/23	2014/07/02	20.00
12236	J P Morgan SP BV - Want Want Ch European Warrants Aug 2014 A	120,000,000	2013/05/23	2014/08/01	30.00
12240	Macquarie Bank Ltd. - China Coal European Wts Dec 2013 A	80,000,000	2013/05/23	2013/12/03	20.00
12239	Standard Chartered Bank - AIA European Put Warrants Mar 14 A	80,000,000	2013/05/23	2014/03/28	20.00
12247	Standard Chartered Bank - China Coal European Wts Dec 2013 A	100,000,000	2013/05/23	2013/12/02	45.00
12248	Standard Chartered Bank - China Coal European Put Wt Jul14 A	100,000,000	2013/05/23	2014/07/04	22.50
12238	Standard Chartered Bank - ICBC European Warrants Dec 2013 B	100,000,000	2013/05/23	2013/12/24	15.00
12249	Standard Chartered Bank - Li & Fung European Wts Nov 2013 A	50,000,000	2013/05/23	2013/11/28	22.50
12241	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Nov 2013 A	40,000,000	2013/05/23	2013/11/25	11.40
12234	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Feb 14 A	100,000,000	2013/05/23	2014/02/17	15.00
12242	SGA Societe Generale Acceptance N.V. - Evergrande Eu Wt Oct13 A	50,000,000	2013/05/23	2013/10/09	15.25
12252	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2013 C	120,000,000	2013/05/23	2013/09/02	18.00
12235	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Nov 2013 A	100,000,000	2013/05/23	2013/11/25	27.50
12253	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Oct 13 C	120,000,000	2013/05/23	2013/10/02	18.00
12263	UBS AG - CPIC European Warrants Dec 2013 A	80,000,000	2013/05/23	2013/12/09	20.00
12261	UBS AG - HSCEI European Put Warrants Oct 2013 B	100,000,000	2013/05/23	2013/10/30	15.00
12260	UBS AG - PICC European Warrants Aug 2014 A	100,000,000	2013/05/23	2014/08/21	15.00

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		發行單位	上市日期	到期日	
12262	UBS AG - SJM Holdings European Warrants Dec 2013 A	80,000,000	2013/05/23	2013/12/16	15.60
24147#	UBS AG - Tencent Holdings European Put Warrants Aug 2013 B	300,000,000	2013/05/23	2013/08/05	11.40
24275#	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Oct2013 A	70,000,000	2013/05/23	2013/10/28	10.85
12275	BOCI Asia Ltd. - China Mobile European Put Wts Nov 2013 A	100,000,000	2013/05/24	2013/11/15	18.00
12276	BOCI Asia Ltd. - HSBC European Put Warrants Nov 2013 A	100,000,000	2013/05/24	2013/11/08	19.00
12277	BOCI Asia Ltd. - Tencent Holdings European Wts Dec 2013 B	100,000,000	2013/05/24	2013/12/03	18.00
12289	BNP Paribas Arbit Issu B.V. - BYD European Wts Dec 2013 A	67,000,000	2013/05/24	2013/12/03	20.10
12292	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Dec 2013 A	100,000,000	2013/05/24	2013/12/03	15.00
12285	BNP Paribas Arbit Issu B.V. - First Pacific Eu Wt Feb 2015 A	79,000,000	2013/05/24	2015/02/03	20.54
12293	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Jan 2017 A	100,000,000	2013/05/24	2017/01/04	25.00
12287	BNP Paribas Arbit Issu B.V. - Poly HK Inv Eur Wts Dec 2013 A	22,000,000	2013/05/24	2013/12/03	10.34
12291	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Dec 2014 A	77,000,000	2013/05/24	2014/12/02	26.18
12290	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Nov 2013 A	77,000,000	2013/05/24	2013/11/04	18.48
12286	BNP Paribas Arbit Issu B.V. - Yuexiu Property Eu Wt Feb 14 A	40,000,000	2013/05/24	2014/02/05	10.40
12288	BNP Paribas Arbit Issu B.V. - Zhaojin Mining Eu Wt Aug 14 A	100,000,000	2013/05/24	2014/08/04	15.00
12280	Credit Suisse AG - Tencent Holdings Euro Put Wts Sep 2013 G	80,000,000	2013/05/24	2013/09/23	12.00
12294	Daiwa Capital Mkt - Tencent Holdings European Wts Feb 2014 B	168,000,000	2013/05/24	2014/02/18	42.00
12279	HK Bank - China Coal European Warrants Jan 2014 A	80,000,000	2013/05/24	2014/01/06	20.00
12278	HK Bank - Yanzhou Coal European Warrants Jan 2014 A	70,000,000	2013/05/24	2014/01/06	28.00
12295	Macquarie Bank Ltd. - Tencent European Warrants Jan 2014 A	250,000,000	2013/05/24	2014/01/03	62.50
12274	Macquarie Bank Ltd. - Tencent European Put Wts Dec 2013 A	250,000,000	2013/05/24	2013/12/03	62.50
12273	Nomura Int'l plc - BOC HK European Warrants Dec 2014 A	50,000,000	2013/05/24	2014/12/01	12.95
12266	Nomura Int'l plc - BYD European Warrants Nov 2013 A	50,000,000	2013/05/24	2013/11/25	15.15
12265	Nomura Int'l plc - CITIC Bank European Put Warrants Dec 13 B	50,000,000	2013/05/24	2013/12/02	12.70
12269	Nomura Int'l plc - Esprit European Warrants May 2014 A	50,000,000	2013/05/24	2014/05/20	12.55
12271	Nomura Int'l plc - Esprit European Put Warrants Mar 2014 A	50,000,000	2013/05/24	2014/03/31	12.90
12268	Nomura Int'l plc - HSBC European Warrants Feb 2014 A	50,000,000	2013/05/24	2014/02/28	13.05
12267	Nomura Int'l plc - HSBC European Put Warrants Dec 2013 A	50,000,000	2013/05/24	2013/12/02	12.90
12264	Nomura Int'l plc - Link REIT European Put Wts Mar 2014 A	50,000,000	2013/05/24	2014/03/31	14.65
12272	Nomura Int'l plc - Ping An European Put Warrants Dec 2013 B	50,000,000	2013/05/24	2013/12/02	12.70
12270	Nomura Int'l plc - Tencent Holdings Euro Warrants Jun 2014 A	80,000,000	2013/05/24	2014/06/30	20.32
12282	Standard Chartered Bank - Anhui Conch Euro Wts Nov 2013 A	100,000,000	2013/05/24	2013/11/01	15.00
12281	Standard Chartered Bank - CITIC Pacific Euro Wts Nov 2014 A	100,000,000	2013/05/24	2014/11/04	25.00
12283	UBS AG - Tencent Holdings European Warrants Jan 2014 A	100,000,000	2013/05/24	2014/01/27	25.00
12284	UBS AG - Tencent Holdings European Put Warrants Dec 2013 A	100,000,000	2013/05/24	2013/12/05	19.50
14630	BOCI Asia Ltd. - Tencent Holdings European Wts Dec 2013 C	100,000,000	2013/05/27	2013/12/30	25.00
14944	BOCI Asia Ltd. - Tencent Holdings European Put Wts Nov 2013 A	100,000,000	2013/05/27	2013/11/04	18.00
10189	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Nov 2013 C	100,000,000	2013/05/27	2013/11/29	25.00
15597	Credit Suisse AG - Tencent Holdings European Wts Nov 2013 B	100,000,000	2013/05/27	2013/11/26	25.00
17394	Credit Suisse AG - Tencent Holdings European Wts Nov 2013 C	100,000,000	2013/05/27	2013/11/26	25.00
15586	Credit Suisse AG - Tencent Holdings Euro Put Wts Oct 2013 B	100,000,000	2013/05/27	2013/10/28	16.00
15415	Credit Suisse AG - Tencent Holdings Euro Put Wts Dec 2013 A	100,000,000	2013/05/27	2013/12/05	15.00
12662	HK Bank - BYD European Warrants Jan 2014 A	60,000,000	2013/05/27	2014/01/06	16.80
12299	HK Bank - CITIC Bank European Warrants Dec 2013 A	60,000,000	2013/05/27	2013/12/02	15.00

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		發行單位	上市日期	到期日	
12297	HK Bank - Datang Power European Warrants Dec 2013 A	60,000,000	2013/05/27	2013/12/02	15.00
12298	HK Bank - Link REIT European Warrants Jan 2014 A	60,000,000	2013/05/27	2014/01/06	15.00
12695	HK Bank - Tencent European Warrants Dec 2013 B	100,000,000	2013/05/27	2013/12/02	25.00
13462	HK Bank - Tencent European Put Warrants Oct 2013 D	120,000,000	2013/05/27	2013/10/21	20.40
14428	HK Bank - Tencent European Put Warrants Dec 2013 C	120,000,000	2013/05/27	2013/12/05	18.00
12296	J P Morgan SP BV - China Coal European Warrants Dec 2013 A	40,000,000	2013/05/27	2013/12/02	10.00
17271	J P Morgan SP BV - China Shenhua Euro Put Wts Jan 2014 A	100,000,000	2013/05/27	2014/01/02	25.00
17277	J P Morgan SP BV - Tencent European Warrants Dec 2013 C	200,000,000	2013/05/27	2013/12/02	50.00
17094	J P Morgan SP BV - Tencent European Warrants Feb 2014 A	200,000,000	2013/05/27	2014/02/04	50.00
17092	J P Morgan SP BV - Tencent European Put Warrants Dec 2013 B	200,000,000	2013/05/27	2013/12/02	50.00
16722	Macquarie Bank Ltd. - Dongfeng Motor European Wts Mar 2014 A	120,000,000	2013/05/27	2014/03/04	18.00
16878	Macquarie Bank Ltd. - Hysan Dev European Warrants Feb 2014 A	120,000,000	2013/05/27	2014/02/05	30.00
15201	Standard Chartered Bank - Tencent Hldg Euro Wts Dec 2013 B	100,000,000	2013/05/27	2013/12/04	25.00
15225	Standard Chartered Bank - Tencent Hldg Eu Put Wts Oct 2013 B	100,000,000	2013/05/27	2013/10/21	16.00
15869	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Nov 2013 B	120,000,000	2013/05/27	2013/11/11	21.00
16298	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Dec13A	50,000,000	2013/05/27	2013/12/02	17.75
16661	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov2013 A	50,000,000	2013/05/27	2013/11/11	12.50
16299	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep 13 E	150,000,000	2013/05/27	2013/09/27	27.00
17360	UBS AG - Galaxy Ent European Warrants Nov 2013 D	80,000,000	2013/05/27	2013/11/29	20.00
17321	UBS AG - HSBC European Put Warrants Nov 2013 B	100,000,000	2013/05/27	2013/11/22	36.00
17396	UBS AG - Tencent Holdings European Warrants Nov 2013 B	200,000,000	2013/05/27	2013/11/26	50.00
17393	UBS AG - Tencent Holdings European Put Warrants Oct 2013 C	200,000,000	2013/05/27	2013/10/21	32.00
19187	BOCI Asia Ltd. - AIA European Warrants Jan 2014 A	100,000,000	2013/05/28	2014/01/13	25.00
19136	BOCI Asia Ltd. - CAM CSI300 European Warrants Dec 2013 A	100,000,000	2013/05/28	2013/12/30	15.00
19386	BOCI Asia Ltd. - HSBC European Warrants Sep 2013 A	100,000,000	2013/05/28	2013/09/09	20.00
20578	BNP Paribas Arbit Issu B.V. - AAC Acoustic Eu Wts Dec 2013 A	72,000,000	2013/05/28	2013/12/03	24.48
20425	BNP Paribas Arbit Issu B.V. - Agile Ppt Euro Wt Aug 2014 A	100,000,000	2013/05/28	2014/08/04	17.00
20588	BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Jan 2014 A	53,000,000	2013/05/28	2014/01/03	16.96
20431	BNP Paribas Arbit Issu B.V. - Ch Ship Cont Eur Wt Jan 2014 A	53,000,000	2013/05/28	2014/01/03	13.25
20411	BNP Paribas Arbit Issu B.V. - Datang Power Eu Wts Feb 2014 A	28,000,000	2013/05/28	2014/02/05	10.08
20480	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Dec 2013 A	100,000,000	2013/05/28	2013/12/03	46.00
20420	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Dec 2013 B	100,000,000	2013/05/28	2013/12/03	25.00
20475	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Mar 2014 A	100,000,000	2013/05/28	2014/03/04	26.00
20537	BNP Paribas Arbit Issu B.V. - Wharf European Wts Dec 2013 A	80,000,000	2013/05/28	2013/12/03	20.00
20626	BNP Paribas Arbit Issu B.V. - Yanzhou Coal Eur Wt Dec 2013 A	44,000,000	2013/05/28	2013/12/03	11.88
21331	Credit Suisse AG - GCL-Poly Energy European Wts Aug 2013 B	70,000,000	2013/05/28	2013/08/30	11.20
21348	Credit Suisse - Huaneng Power European Warrants Sep 2013 A	60,000,000	2013/05/28	2013/09/23	33.60
21325	Credit Suisse AG - Hutchison European Warrants Sep 2013 A	80,000,000	2013/05/28	2013/09/23	16.00
21350	Credit Suisse AG - Link REIT European Warrants Dec 2013 A	60,000,000	2013/05/28	2013/12/09	15.00
10190	Daiwa Capital Mkt - Nikkei 225 Index European Wts Dec 2013 A	250,000,000	2013/05/28	2013/12/13	62.50
10191	Daiwa Capital Mkt - Nikkei 225 Index European Wts Dec 2013 B	250,000,000	2013/05/28	2013/12/13	62.50
10192	Daiwa Capital Mkt - Nikkei 225 Index European Wts Dec 2013 C	250,000,000	2013/05/28	2013/12/13	62.75
10193	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2013 D	250,000,000	2013/05/28	2013/12/13	62.50
10194	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2013 E	250,000,000	2013/05/28	2013/12/13	62.75

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		發行單位	上市日期	到期日	
10195	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2013 F	250,000,000	2013/05/28	2013/12/13	62.50
19477	Goldman Sachs SP (Asia) - Ch Overseas European Wts Dec 2013 A	80,000,000	2013/05/28	2013/12/30	20.48
19428	Goldman Sachs SP (Asia) - HKEx European Warrants Nov 2013 B	80,000,000	2013/05/28	2013/11/28	38.72
19839	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2013 B	80,000,000	2013/05/28	2013/12/30	20.00
19845	Goldman Sachs SP (Asia) - Tencent European Put Wt Dec 2013 C	80,000,000	2013/05/28	2013/12/30	20.00
20114	Goldman Sachs SP (Asia) - ZTE European Warrants May 2014 A	80,000,000	2013/05/28	2014/05/30	20.08
18785	HK Bank - HSCEI European Warrants Sep 2014 A	200,000,000	2013/05/28	2014/09/29	30.00
18795	HK Bank - HSCEI European Put Warrants Oct 2013 B	200,000,000	2013/05/28	2013/10/30	30.00
18796	HK Bank - HWL European Warrants Sep 2013 B	100,000,000	2013/05/28	2013/09/23	20.00
18783	HK Bank - Tencent European Warrants Jul 2014 A	150,000,000	2013/05/28	2014/07/02	37.50
18617	HK Bank - Tencent European Put Warrants Dec 2013 D	100,000,000	2013/05/28	2013/12/02	27.00
21129	J P Morgan SP BV - HKEx European Warrants Jun 2014 A	300,000,000	2013/05/28	2014/06/20	45.00
21157	J P Morgan SP BV - HSI European Warrants Dec 2013 A	300,000,000	2013/05/28	2013/12/30	75.00
21132	J P Morgan SP BV - HSI European Put Warrants Nov 2013 A	300,000,000	2013/05/28	2013/11/28	75.00
20267	Macquarie Bank Ltd. - Golden Eagle European Wts Aug 2014 A	120,000,000	2013/05/28	2014/08/04	30.00
20169	Macquarie Bank Ltd. - Kerry Ppt European Warrants Feb 2014 A	120,000,000	2013/05/28	2014/02/05	30.00
17773	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Dec 2013 D	40,000,000	2013/05/28	2013/12/23	10.00
17758	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Apr 2014 A	40,000,000	2013/05/28	2014/04/24	10.00
17752	Merrill Lynch Int'l & Co. C.V. - AIA Euro Put Wts Dec 2013 C	40,000,000	2013/05/28	2013/12/02	10.00
17497	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2013 B	80,000,000	2013/05/28	2013/10/29	13.04
17680	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2013 A	40,000,000	2013/05/28	2013/12/09	10.00
17994	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Dec 2013 C	40,000,000	2013/05/28	2013/12/02	10.20
17984	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Jan 2014 A	40,000,000	2013/05/28	2014/01/20	10.20
17851	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Feb 2014 A	40,000,000	2013/05/28	2014/02/17	10.00
17840	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Dec 2013B	40,000,000	2013/05/28	2013/12/10	10.00
17810	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Mar 2014A	40,000,000	2013/05/28	2014/03/28	10.00
18876	Standard Chartered Bank - Belle Int'l European Wts Mar 2014A	80,000,000	2013/05/28	2014/03/24	20.00
17997	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Nov 2013 A	40,000,000	2013/05/28	2013/11/11	12.00
18094	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Nov13A	100,000,000	2013/05/28	2013/11/25	15.50
20663	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jan 2014A	180,000,000	2013/05/28	2014/01/29	36.00
20785	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Mar 2014A	180,000,000	2013/05/28	2014/03/31	36.00
18531	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Jan15 A	200,000,000	2013/05/28	2015/01/19	32.00
20954	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Oct 13 A	150,000,000	2013/05/28	2013/10/28	22.50
18052	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Jun 2014 A	150,000,000	2013/05/28	2014/06/04	22.50
18079	SGA Societe Generale Acceptance N.V. - CPIC Euro Wt Dec 2013A	100,000,000	2013/05/28	2013/12/23	20.00
18160	SGA Societe Generale Acceptance N.V. - Esprit Eu Wt Jun2014A	150,000,000	2013/05/28	2014/06/23	28.50
18239	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Dec13A	100,000,000	2013/05/28	2013/12/23	25.00
18265	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Jan 2014A	100,000,000	2013/05/28	2014/01/22	15.00
18267	SGA Societe Generale Acceptance NV - NewWorld Dev Eu Wt Jan15A	100,000,000	2013/05/28	2015/01/05	15.00
18344	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Sep13A	100,000,000	2013/05/28	2013/09/16	15.00
21125	UBS AG - Link REIT European Warrants Jan 2014 A	100,000,000	2013/05/28	2014/01/09	25.00
23369#	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2013 C	170,000,000	2013/05/28	2013/08/29	10.37
21818	BNP Paribas Arbit Issu B.V. - China EB Int'l Eu Wts Dec 13 A	53,000,000	2013/05/29	2013/12/03	26.50
21813	BNP Paribas Arbit Issu B.V. - CKI Holdings Eu Wts Feb 2014 A	80,000,000	2013/05/29	2014/02/05	20.00

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		發行單位	上市日期	到期日	
21816	BNP Paribas Arbit Issu B.V. - Esprit European Wts Sep 2014 A	72,000,000	2013/05/29	2014/09/02	18.72
21821	BNP Paribas Arbit Issu B.V. - HK Gas European Wts Jan 2015 A	44,000,000	2013/05/29	2015/01/05	11.00
21812	Daiwa Capital Mkt - Hutchison European Put Wts Nov 2013 A	80,000,000	2013/05/29	2013/11/28	33.60
21711	Goldman Sachs SP (Asia) - BYD European Warrants Nov 2013 B	80,000,000	2013/05/29	2013/11/08	16.16
21707	Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2013 B	80,000,000	2013/05/29	2013/12/30	22.56
21729	Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2013 C	80,000,000	2013/05/29	2013/12/30	20.08
21588	HK Bank - HSI European Warrants Sep 2013 I	200,000,000	2013/05/29	2013/09/27	30.00
21419	HK Bank - HSI European Warrants Oct 2013 D	200,000,000	2013/05/29	2013/10/30	30.00
21563	HK Bank - HSI European Warrants Dec 2013 C	200,000,000	2013/05/29	2013/12/30	30.00
21416	J P Morgan Int'l Der. Ltd. - COSCO Pac Euro Wts Nov 2014 A	100,000,000	2013/05/29	2014/11/03	15.00
21404	J P Morgan SP BV - HS Bank European Warrants Dec 2013 A	40,000,000	2013/05/29	2013/12/02	10.00
21352	J P Morgan SP BV - HSI European Warrants Dec 2014 A	500,000,000	2013/05/29	2014/12/30	125.00
21648	Macquarie Bank Ltd. - Luk Fook Hold European Wts Jul 2014 A	50,000,000	2013/05/29	2014/07/03	12.50
21785	UBS AG - HSI European Warrants Nov 2013 B	300,000,000	2013/05/29	2013/11/28	75.00
10198	UBS AG - Nikkei 225 European Warrants Dec 2013 C	100,000,000	2013/05/29	2013/12/13	25.00
10199	UBS AG - Nikkei 225 European Warrants Dec 2013 D	100,000,000	2013/05/29	2013/12/13	25.00
10196	UBS AG - Nikkei 225 European Put Warrants Dec 2013 A	100,000,000	2013/05/29	2013/12/13	25.00
10197	UBS AG - Nikkei 225 European Put Warrants Dec 2013 B	100,000,000	2013/05/29	2013/12/13	25.00
22244#	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2013 A	230,000,000	2013/05/29	2013/08/29	10.12
21876	HK Bank - AIA European Warrants Dec 2013 C	100,000,000	2013/05/30	2013/12/31	25.00
21922	HK Bank - HKEx European Warrants Sep 2013 A	80,000,000	2013/05/30	2013/09/24	20.00
22024	HK Bank - HKEx European Warrants Dec 2013 B	80,000,000	2013/05/30	2013/12/02	20.80
21859	HK Bank - Ping An European Warrants Sep 2013 A	120,000,000	2013/05/30	2013/09/24	19.20
21864	HK Bank - Ping An European Warrants Oct 2013 D	100,000,000	2013/05/30	2013/10/07	28.00
21852	J P Morgan SP BV - Chi Res Power European Wts Dec 2013 A	80,000,000	2013/05/30	2013/12/02	20.00
21822	J P Morgan SP BV - HSBC European Warrants Dec 2013 C	200,000,000	2013/05/30	2013/12/02	50.00
22211	Macquarie Bank Ltd. - CITIC Sec European Warrants Nov 2013 A	120,000,000	2013/05/30	2013/11/25	18.00
22217	Macquarie Bank Ltd. - China Mobile European Wts Dec 2013 B	120,000,000	2013/05/30	2013/12/02	18.00
22116	Merrill Lynch Int'l & Co. C.V. - CNOOC Eu Put Wts Mar 2014 A	60,000,000	2013/05/30	2014/03/24	12.36
22156	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Dec 2013 A	40,000,000	2013/05/30	2013/12/02	10.20
22064	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eu Wt Feb 2014 A	70,000,000	2013/05/30	2014/02/17	10.50
22033	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eu Wt Mar 2014 A	40,000,000	2013/05/30	2014/03/10	10.20
22199	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Oct 2013 D	70,000,000	2013/05/30	2013/10/15	10.78
22030	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Dec 2013 A	40,000,000	2013/05/30	2013/12/23	12.40
22197	Merrill Lynch Int'l & Co. C.V. - Hutchison Eu Put Wt Jan 14 A	40,000,000	2013/05/30	2014/01/13	17.40
22090	Merrill Lynch Int'l & Co. C.V. - JX Copper Eu Wts Jun 2014 A	60,000,000	2013/05/30	2014/06/20	10.86
22259	UBS AG - China Mobile European Warrants Oct 2013 B	100,000,000	2013/05/30	2013/10/28	27.00
22257	UBS AG - HKEx European Warrants Oct 2013 B	100,000,000	2013/05/30	2013/10/30	61.00
22254	UBS AG - Li & Fung European Warrants Dec 2014 A	100,000,000	2013/05/30	2014/12/24	20.00
22307	BOCI Asia Ltd. - CNOOC European Put Warrants Jan 2014 A	100,000,000	2013/05/31	2014/01/27	25.00
22309	Macquarie Bank Ltd. - Henganintl European Wts Dec 2013 A	120,000,000	2013/05/31	2013/12/03	30.00
22272	Nomura Int'l plc - CSOP A50 ETF European Warrants Dec 2013 A	50,000,000	2013/05/31	2013/12/02	12.70
22273	Nomura Int'l plc - CSOP A50 ETF European Warrants Dec 2013 B	80,000,000	2013/05/31	2013/12/23	12.00
22278	Nomura Int'l plc - CSOP A50 ETF European Warrants Nov 2015 A	100,000,000	2013/05/31	2015/11/02	15.20

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22282	Nomura Int'l plc - A50 China European Warrants Nov 2013 B	80,000,000	2013/05/31	2013/11/11	15.84
22304	Nomura Int'l plc - A50 China European Warrants Mar 2014 A	50,000,000	2013/05/31	2014/03/31	14.80
22291	Nomura Int'l plc - A50 China European Put Wts Dec 2014 A	80,000,000	2013/05/31	2014/12/01	12.88
22270	Nomura Int'l plc - HKEx European Warrants Dec 2014 A	80,000,000	2013/05/31	2014/12/24	12.00
22271	Nomura Int'l plc - HKEx European Warrants Dec 2015 A	50,000,000	2013/05/31	2015/12/31	12.50
22265	Nomura Int'l plc - JIANG European Warrants Jan 2014 A	80,000,000	2013/05/31	2014/01/20	12.00
22267	Nomura Int'l plc - JIANG European Warrants Mar 2015 A	50,000,000	2013/05/31	2015/03/31	12.95
22292	Nomura Int'l plc - Zhaojin Mining European Wts Mar 2014 A	80,000,000	2013/05/31	2014/03/24	12.00
22320	Standard Chartered Bank - ICBC European Warrants Nov 2013 B	100,000,000	2013/05/31	2013/11/26	15.00
22260	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Oct 2013 A	120,000,000	2013/05/31	2013/10/02	18.00
22262	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Oct 2013 A	50,000,000	2013/05/31	2013/10/23	26.50
22263	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Nov 13 A	120,000,000	2013/05/31	2013/11/04	23.40
22346	BOCI Asia Ltd. - CC Bank European Warrants Dec 2013 A	100,000,000	2013/06/03	2013/12/09	16.00
22331	BOCI Asia Ltd. - China Mobile European Warrants Nov 2013 B	100,000,000	2013/06/03	2013/11/15	15.00
22487	Credit Suisse AG - Tencent Holdings European Wts Jan 2014 A	100,000,000	2013/06/03	2014/01/24	18.00
22460	Citigroup Global Mkt H Inc. - Galaxy Ent Eu Put Wt Dec 13 B	60,000,000	2013/06/03	2013/12/02	15.00
22471	Citigroup Global Mkt H Inc. - Ping An Euro Wts Oct 2013 A	100,000,000	2013/06/03	2013/10/09	30.50
22456	Citigroup Global Mkt H Inc. - Tencent European Wts Dec 2013 A	100,000,000	2013/06/03	2013/12/04	25.00
22581	Bank of East Asia - JIANG European Warrants Feb 2014 A	60,000,000	2013/06/03	2014/02/24	15.00
22552	Bank of East Asia - Li & Fung European Warrants Dec 2014 A	80,000,000	2013/06/03	2014/12/24	13.60
22322	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2013 C	150,000,000	2013/06/03	2013/09/27	22.50
22545	J P Morgan SP BV - Ch Overseas European Warrants Mar 2014 A	100,000,000	2013/06/03	2014/03/03	25.00
22505	J P Morgan SP BV - ICBC European Warrants Dec 2013 A	180,000,000	2013/06/03	2013/12/02	47.70
22522	J P Morgan SP BV - ICBC European Warrants Dec 2013 B	180,000,000	2013/06/03	2013/12/02	45.00
22369	Standard Chartered Bank - China Life European Wts Apr 2014 A	100,000,000	2013/06/03	2014/04/01	15.00
22349	Standard Chartered Bank - HSBC European Warrants Feb 2014 A	100,000,000	2013/06/03	2014/02/21	20.00
22441	Standard Chartered Bank - Link REIT European Wts Dec 2013 A	80,000,000	2013/06/03	2013/12/02	12.00
22433	Standard Chartered Bank - PetroChina European Wts Oct 2013 A	80,000,000	2013/06/03	2013/10/28	20.00
22498	Standard Chartered Bank - Ping An European Wts Oct 2013 C	100,000,000	2013/06/03	2013/10/28	17.00
10200	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Dec 13 D	100,000,000	2013/06/03	2013/12/13	25.00
10201	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Dec 13 E	100,000,000	2013/06/03	2013/12/13	25.00
10202	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Dec 13 F	100,000,000	2013/06/03	2013/12/13	25.00
10203	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Dec 2013 G	100,000,000	2013/06/03	2013/12/13	25.00
10204	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Dec 2013 H	100,000,000	2013/06/03	2013/12/13	25.00
22615	UBS AG - Wynn Macau European Warrants Dec 2013 A	50,000,000	2013/06/03	2013/12/02	12.50
22682	Credit Suisse AG - China Gas Hold European Wts Dec 2013 A	50,000,000	2013/06/04	2013/12/03	29.00
22641	Credit Suisse AG - China Mobile European Warrants Oct 2013 B	70,000,000	2013/06/04	2013/10/28	10.50
22631	Credit Suisse AG - CSOP A50 ETF European Warrants Sep 2013 A	80,000,000	2013/06/04	2013/09/12	31.20
22691	Credit Suisse AG - Xinyi Glass European Warrants Dec 2013 A	50,000,000	2013/06/04	2013/12/03	26.50
22733	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Oct 2013 A	80,000,000	2013/06/04	2013/10/22	12.08
22735	Goldman Sachs SP (Asia) - Ch LongYuan Euro Wts Dec 2013 A	60,000,000	2013/06/04	2013/12/30	32.70
22738	Goldman Sachs SP (Asia) - Huaneng European Wts Jan 2014 A	60,000,000	2013/06/04	2014/01/30	40.44
22734	Goldman Sachs SP (Asia) - Lenovo European Wts Jan 2014 A	68,000,000	2013/06/04	2014/01/30	24.28
22732	Goldman Sachs SP (Asia) - PetroChina European Wts Dec 2013 A	80,000,000	2013/06/04	2013/12/30	29.36

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		發行單位	上市日期	到期日	
10205	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Dec 13 I	150,000,000	2013/06/04	2013/12/13	23.25
22621	UBS AG - China Life European Warrants Jan 2014 A	300,000,000	2013/06/04	2014/01/23	54.00
22714	UBS AG - CSOP A50 ETF European Warrants Oct 2013 A	50,000,000	2013/06/04	2013/10/28	17.50
10208	UBS AG - Nikkei 225 European Warrants Dec 2013 G	100,000,000	2013/06/04	2013/12/13	25.00
10209	UBS AG - Nikkei 225 European Warrants Dec 2013 H	100,000,000	2013/06/04	2013/12/13	25.00
10206	UBS AG - Nikkei 225 European Put Warrants Dec 2013 E	100,000,000	2013/06/04	2013/12/13	25.00
10207	UBS AG - Nikkei 225 European Put Warrants Dec 2013 F	100,000,000	2013/06/04	2013/12/13	25.00
22622	UBS AG - Tencent Holdings European Warrants Jun 2014 A	200,000,000	2013/06/04	2014/06/24	30.00
22902	Daiwa Capital Mkt - China Mobile European Wts Oct 2013 A	128,000,000	2013/06/05	2013/10/02	30.72
22919	Daiwa Capital Mkt - China Mobile European Wts Nov 2013 C	128,000,000	2013/06/05	2013/11/01	21.76
22890	Goldman Sachs SP (Asia) - CAM CSI300 Euro Put Wts Mar 2014 A	80,000,000	2013/06/05	2014/03/31	20.00
22879	Goldman Sachs SP (Asia) - China Life Euro Wts Mar 2014 A	120,000,000	2013/06/05	2014/03/28	18.00
22842	Goldman Sachs SP (Asia) - A50 China European Wts Dec 2013 A	80,000,000	2013/06/05	2013/12/30	31.60
22849	Goldman Sachs Sp (Asia) - ICBC European Warrants Mar 2014 A	68,000,000	2013/06/05	2014/03/28	17.20
22880	Goldman Sachs SP (Asia) - China Mengniu Euro Wt Dec 2013 A	80,000,000	2013/06/05	2013/12/31	20.24
22838	Macquarie Bank Ltd. - Xinyi Glass European Wts Dec 2013 A	80,000,000	2013/06/05	2013/12/06	22.72
22739	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Sep 2013 A	80,000,000	2013/06/05	2013/09/13	12.00
22746	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Oct 2013 A	40,000,000	2013/06/05	2013/10/28	15.40
22820	Standard Chartered Bank - Anhui Conch Euro Wts Jan 2014 A	100,000,000	2013/06/05	2014/01/17	25.00
22781	Standard Chartered Bank - BYD European Warrants Dec 2013 A	50,000,000	2013/06/05	2013/12/04	12.50
22806	Standard Chartered Bank - China Mobile Euro Wts Nov 2013 C	100,000,000	2013/06/05	2013/11/11	16.00
22804	Standard Chartered Bank - Lenovo European Wts Dec 2013 B	100,000,000	2013/06/05	2013/12/04	25.00
22815	Standard Chartered Bank - YZ Coal European Wts Dec 2013 B	50,000,000	2013/06/05	2013/12/20	12.50
22889	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Dec 2013 A	100,000,000	2013/06/05	2013/12/16	15.00
22755	SGA Societe Generale Acceptance N.V. - PICC P&C Eu Wt May14A	150,000,000	2013/06/05	2014/05/26	23.25
22946	UBS AG - China Mobile European Warrants Dec 2013 A	100,000,000	2013/06/05	2013/12/23	15.00
22833	UBS AG - Hutchison European Warrants Oct 2013 C	100,000,000	2013/06/05	2013/10/28	43.00
22941	UBS AG - Link REIT European Warrants Dec 2013 A	80,000,000	2013/06/05	2013/12/16	20.00
23020	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Jan 2014 A	52,000,000	2013/06/06	2014/01/03	13.52
23027	BNP Paribas Arbit Issu B.V. - CQRC Bank Euro Wts Feb 2014 A	30,000,000	2013/06/06	2014/02/05	10.20
23021	BNP Paribas Arbit Issu B.V. - CSR European Wts Jan 2014 A	27,000,000	2013/06/06	2014/01/03	14.58
23037	BNP Paribas Arbit Issu B.V. - Huaneng Euro Wts Jan 2014 A	38,000,000	2013/06/06	2014/01/03	12.16
23100	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Dec 2013 A	100,000,000	2013/06/06	2013/12/03	20.00
23039	BNP Paribas Arbit Issu B.V. - Zoomlion Euro Wts Jan 2016 A	100,000,000	2013/06/06	2016/01/05	25.00
23064	Daiwa Capital Mkt - Agricultural Bank Euro Put Wt Apr 2014 A	80,000,000	2013/06/06	2014/04/01	20.00
23090	Daiwa Capital Mkt - CNOOC European Put Warrants Jun 2014 A	80,000,000	2013/06/06	2014/06/03	20.00
23067	Daiwa Capital Mkt - Ping An European Warrants Nov 2013 C	108,000,000	2013/06/06	2013/11/01	41.04
23066	Daiwa Capital Mkt - Ping An European Put Warrants Dec 2013 A	80,000,000	2013/06/06	2013/12/05	20.00
23016	Goldman Sachs SP (Asia) - HSBC European Warrants Nov 2013 C	80,000,000	2013/06/06	2013/11/18	15.28
23012	Goldman Sachs SP (Asia) - Link REIT European Wts May 2014 A	80,000,000	2013/06/06	2014/05/30	20.00
23006	Goldman Sachs SP (Asia) - Swire Pacific A Euro Wt Dec 2013 A	68,000,000	2013/06/06	2013/12/30	17.07
22998	J P Morgan SP BV - BankComm European Warrants Dec 2013 A	80,000,000	2013/06/06	2013/12/05	20.00
22996	J P Morgan SP BV - Sinopec Corp European Warrants Dec 2013 A	150,000,000	2013/06/06	2013/12/05	37.50
22968	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2013 A	200,000,000	2013/06/06	2013/12/30	50.00

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22992	J P Morgan SP BV - China Shenhua European Wts Feb 2014 A	200,000,000	2013/06/06	2014/02/04	50.00
22955	J P Morgan SP BV - Datang European Wts Jan 2014 A	40,000,000	2013/06/06	2014/01/02	10.60
22948	J P Morgan SP BV - SHK Ppt European Warrants Jan 2014 A	100,000,000	2013/06/06	2014/01/02	25.20
23004	Macquarie Bank Ltd. - GAC European Wts Dec 2014 A	120,000,000	2013/06/06	2014/12/02	30.00
23000	Macquarie Bank Ltd. - Link REIT European Warrants Mar 2014 B	120,000,000	2013/06/06	2014/03/04	30.00
23060	Standard Chartered Bank - Agricultural Bk Eur Wts Jan 2014 A	80,000,000	2013/06/06	2014/01/30	14.40
23063	Standard Chartered Bank - A50 China Euro Warrants Dec 2013 B	100,000,000	2013/06/06	2013/12/05	25.00
23052	Standard Chartered Bank - Ping An European Wts Feb 2014 A	100,000,000	2013/06/06	2014/02/06	25.00
23056	Standard Chartered Bank - Tencent Hldg Euro Wts Jan 2014 A	100,000,000	2013/06/06	2014/01/24	19.50
23055	Standard Chartered Bank - Tencent Hldg Eu Put Wts Dec 2013 C	100,000,000	2013/06/06	2013/12/05	30.00
23099	UBS AG - Cheung Kong European Warrants Dec 2013 B	50,000,000	2013/06/06	2013/12/09	22.50
23223	Credit Suisse AG - HSI European Warrants Nov 2013 B	150,000,000	2013/06/07	2013/11/28	25.50
23233	Credit Suisse AG - Link REIT European Warrants Sep 2013 A	70,000,000	2013/06/07	2013/09/09	10.50
23234	Credit Suisse AG - New World Dev European Wts Oct 2014 A	70,000,000	2013/06/07	2014/10/13	10.50
23244	Credit Suisse AG - New World Dev European Wts Dec 2014 A	70,000,000	2013/06/07	2014/12/22	12.60
10213	Daiwa Capital Mkt - Nikkei 225 Index European Wts Dec 2013 J	250,000,000	2013/06/07	2013/12/13	62.50
10210	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2013 G	250,000,000	2013/06/07	2013/12/13	62.50
10211	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2013 H	250,000,000	2013/06/07	2013/12/13	62.50
10212	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2013 I	250,000,000	2013/06/07	2013/12/13	62.50
23144	HK Bank - AIA European Warrants Dec 2013 D	120,000,000	2013/06/07	2013/12/30	30.00
23178	HK Bank - China Mobile European Warrants Dec 2013 B	120,000,000	2013/06/07	2013/12/30	30.00
23203	HK Bank - China Mobile European Warrants Dec 2013 C	120,000,000	2013/06/07	2013/12/09	33.60
23156	HK Bank - Geely Auto European Warrants Dec 2013 A	60,000,000	2013/06/07	2013/12/09	19.20
23169	HK Bank - HSI European Put Warrants Nov 2013 C	250,000,000	2013/06/07	2013/11/28	47.50
23113	HK Bank - PICC Group European Warrants Dec 2013 B	80,000,000	2013/06/07	2013/12/09	20.00
23220	Macquarie Bank Ltd. - A50 China European Warrants Jan 2014 A	50,000,000	2013/06/07	2014/01/03	12.50
23222	Macquarie Bank Ltd. - A50 China European Put Wts Jan 2014 B	50,000,000	2013/06/07	2014/01/03	12.50
23206	SGA Societe Generale Acceptance N.V. - Ch Overseas Eu Wt Dec13A	100,000,000	2013/06/07	2013/12/30	15.50
23208	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Oct 2013 B	80,000,000	2013/06/07	2013/10/09	20.00
23210	SGA Societe Generale Acceptance N.V. - Link REIT Eu Wt Apr14A	60,000,000	2013/06/07	2014/04/28	13.20
23212	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Dec 2013A	50,000,000	2013/06/07	2013/12/30	13.00
23217	UBS AG - CC Bank European Warrants Oct 2013 A	100,000,000	2013/06/07	2013/10/07	17.00
23291	BNP Paribas Arbit Issu B.V. - Cheung Kong Eu Put Wt Jan 14 A	70,000,000	2013/06/10	2014/01/03	17.50
23287	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Jan 2014 A	100,000,000	2013/06/10	2014/01/03	26.00
23286	BNP Paribas Arbit Issu B.V. - SHK Ppt Eu Put Wts Jan 2014 A	100,000,000	2013/06/10	2014/01/03	26.00
23270	Daiwa Capital Mkt - China Telecom European Wts Feb 2014 A	80,000,000	2013/06/10	2014/02/10	20.00
23248	J P Morgan SP BV - Sinopharm European Warrants Jan 2014 A	80,000,000	2013/06/10	2014/01/02	20.00
23282	Macquarie Bank Ltd. - CITIC Pacific European Wts Jan 2014 B	80,000,000	2013/06/10	2014/01/03	20.00
23256	Macquarie Bank Ltd. - China Life European Wts Jan 2014 A	55,000,000	2013/06/10	2014/01/03	10.18
23260	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Sep 2013 B	100,000,000	2013/06/10	2013/09/25	27.50
21969#	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Sep 2014 A	110,000,000	2013/06/10	2014/09/15	10.12
23439	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Jan 2014 B	65,000,000	2013/06/11	2014/01/03	18.20
23444	BNP Paribas Arbit Issu B.V. - Fortune Reit Eu Wts Dec 2015 A	50,000,000	2013/06/11	2015/12/01	12.50
23426	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Jan 2014 A	100,000,000	2013/06/11	2014/01/03	34.00

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23425	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jan 2014 A	90,000,000	2013/06/11	2014/01/03	33.30
23422	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jan 2014 A	100,000,000	2013/06/11	2014/01/03	25.00
23380	Citigroup Global Mkt H Inc. - AIA European Wts Dec 2013 B	100,000,000	2013/06/11	2013/12/16	41.00
23355	Citigroup Global Mkt H Inc. - Ping An Euro Wts Dec 2013 A	100,000,000	2013/06/11	2013/12/10	46.00
23375	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Dec 2013 A	100,000,000	2013/06/11	2013/12/23	25.00
23354	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Dec 2013 B	70,000,000	2013/06/11	2013/12/10	17.50
23351	Goldman Sachs SP (Asia) - Cheung Kong Euro Wts Jan 2014 A	80,000,000	2013/06/11	2014/01/30	24.32
23420	Goldman Sachs SP (Asia) - Ch Overseas Euro Put Wt Feb 2014 A	80,000,000	2013/06/11	2014/02/27	20.00
23345	Goldman Sachs SP (Asia) - China Railway Euro Wts Feb 2014 A	68,000,000	2013/06/11	2014/02/27	17.68
23346	Goldman Sachs SP (Asia) - Henganintl European Wts Oct 2015 A	100,000,000	2013/06/11	2015/10/02	15.40
23353	Goldman Sachs SP (Asia) - Poly HK Inv Euro Wts Jan 2014 A	60,000,000	2013/06/11	2014/01/30	19.56
23404	Macquarie Bank Ltd. - Galaxy Ent European Wts Jan 2014 B	40,000,000	2013/06/11	2014/01/03	10.00
23403	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Jan 2014 A	40,000,000	2013/06/11	2014/01/03	10.00
23312	Standard Chartered Bank - Foxconn European Wts Dec 2013 A	40,000,000	2013/06/11	2013/12/10	16.00
23318	Standard Chartered Bank - Foxconn European Wts Dec 2016 A	100,000,000	2013/06/11	2016/12/02	25.00
23332	Standard Chartered Bank - Galaxy Ent European Wts Jan 2014 B	100,000,000	2013/06/11	2014/01/03	25.00
23337	Standard Chartered Bank - Sands China Euro Wts Jan 2014 A	100,000,000	2013/06/11	2014/01/03	25.00
23297	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Dec14 A	150,000,000	2013/06/11	2014/12/29	27.00
23301	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Jan 14 A	100,000,000	2013/06/11	2014/01/20	18.00
23303	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Dec 2014 A	100,000,000	2013/06/11	2014/12/22	15.00
23309	SGA Societe Generale Acceptance N.V. - Li & Fung Eu Wt Dec14 A	100,000,000	2013/06/11	2014/12/29	18.50
23397	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Oct 14 A	150,000,000	2013/06/11	2014/10/06	22.50
23401	SGA Societe Generale Acceptance N.V. - Zhaojin Eu Wt Aug14 A	100,000,000	2013/06/11	2014/08/11	15.00
23408	UBS AG - Ping An European Warrants Dec 2013 A	100,000,000	2013/06/11	2013/12/09	15.00
21237#	Standard Chartered Bank - PetroChina European Wts Nov 2013 A	420,000,000	2013/06/11	2013/11/01	10.08
23507	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Sep 2013 A	100,000,000	2013/06/13	2013/09/16	27.00
23519	Credit Suisse AG - CC Bank European Warrants Sep 2013 C	70,000,000	2013/06/13	2013/09/23	10.50
23550	Credit Suisse AG - CITIC Sec European Warrants Nov 2013 A	70,000,000	2013/06/13	2013/11/29	10.50
23547	Credit Suisse AG - CSR European Warrants Dec 2013 A	50,000,000	2013/06/13	2013/12/13	17.50
23518	Credit Suisse AG - Geely Auto European Warrants Oct 2013 A	50,000,000	2013/06/13	2013/10/18	11.50
23535	Credit Suisse - Henderson Land European Warrants Dec 2013 A	50,000,000	2013/06/13	2013/12/13	16.50
23512	Credit Suisse AG - SHK Ppt European Warrants Oct 2013 B	60,000,000	2013/06/13	2013/10/15	19.20
23522	Credit Suisse AG - Wharf European Warrants Dec 2013 A	50,000,000	2013/06/13	2013/12/13	20.00
23551	Goldman Sachs SP (Asia) - Lenovo European Put Wts Jan 2014 B	68,000,000	2013/06/13	2014/01/30	47.74
23472	HK Bank - Anhui Conch European Warrants Nov 2013 B	100,000,000	2013/06/13	2013/11/18	15.00
23470	HK Bank - Cheung Kong European Warrants Dec 2013 B	80,000,000	2013/06/13	2013/12/31	24.80
23466	HK Bank - China Life European Warrants Oct 2013 C	128,000,000	2013/06/13	2013/10/17	20.48
23467	HK Bank - China Life European Warrants Feb 2014 A	128,000,000	2013/06/13	2014/02/04	19.20
23477	Macquarie Bank Ltd. - Hang Seng Bank European Wts Dec 2013 A	120,000,000	2013/06/13	2013/12/03	18.00
23480	Macquarie Bank Ltd. - Swire Pacific A Euro Wts Feb 2014 A	120,000,000	2013/06/13	2014/02/05	30.00
23454	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Oct 2013 B	40,000,000	2013/06/13	2013/10/23	22.80
23460	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Dec 2013 A	40,000,000	2013/06/13	2013/12/23	10.20
23482	Standard Chartered Bank - HSI European Warrants Nov 2013 A	100,000,000	2013/06/13	2013/11/28	15.00
23494	Standard Chartered Bank - HSI European Put Wts Oct 2013 A	150,000,000	2013/06/13	2013/10/30	28.50

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23493	Standard Chartered Bank - HSI European Put Wts Nov 2013 B	100,000,000	2013/06/13	2013/11/28	15.00
23496	Standard Chartered Bank - Wynn Macau European Wts Nov 2013 A	60,000,000	2013/06/13	2013/11/25	13.20
23508	SGA Societe Generale Acceptance N.V - Anhui Conch Eu Wt Dec13B	100,000,000	2013/06/13	2013/12/16	25.00
23476	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Sep14A	100,000,000	2013/06/13	2014/09/01	15.00
23509	UBS AG - HSI European Put Warrants Oct 2013 D	300,000,000	2013/06/13	2013/10/30	45.00
23686	Daiwa Capital Mkt - CCB European Warrants Oct 2013 B	128,000,000	2013/06/14	2013/10/08	32.00
23715	Daiwa Capital Mkt - CCB European Warrants Dec 2013 B	128,000,000	2013/06/14	2013/12/13	32.00
23679	Bank of East Asia - CITIC Pacific European Wts Oct 2014 A	80,000,000	2013/06/14	2014/10/28	13.60
23683	Bank of East Asia - CM Bank European Warrants Dec 2013 A	80,000,000	2013/06/14	2013/12/24	12.00
23674	Bank of East Asia - China Overseas Eur Put Wts Jan 2014 B	60,000,000	2013/06/14	2014/01/07	15.00
23682	Bank of East Asia - PICC European Warrants May 2014 A	80,000,000	2013/06/14	2014/05/23	12.00
23585	Goldman Sachs SP (Asia) - China Mobile Euro Wts Nov 2013 A	100,000,000	2013/06/14	2013/11/04	15.20
23579	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Dec 2013 B	80,000,000	2013/06/14	2013/12/30	20.24
23648	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2013 D	150,000,000	2013/06/14	2013/10/30	22.65
23646	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2013 C	150,000,000	2013/06/14	2013/12/30	37.80
23647	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2013 D	150,000,000	2013/06/14	2013/12/30	23.70
23589	HK Bank - Cheung Kong European Warrants Oct 2013 A	150,000,000	2013/06/14	2013/10/25	24.00
23592	HK Bank - Sands China European Warrants Oct 2013 B	80,000,000	2013/06/14	2013/10/31	27.20
23721	J P Morgan SP BV - HSI European Warrants Dec 2013 B	300,000,000	2013/06/14	2013/12/30	75.00
23726	J P Morgan SP BV - HSI European Warrants Dec 2013 C	300,000,000	2013/06/14	2013/12/30	75.00
23673	Macquarie Bank Ltd. - Country Garden European Wts Dec 2013 A	50,000,000	2013/06/14	2013/12/13	12.50
23599	Macquarie Bank Ltd. - Foxconn European Warrants Jan 2014 A	50,000,000	2013/06/14	2014/01/03	18.55
23604	Macquarie Bank Ltd. - Zoomlion European Warrants Dec 2015 A	120,000,000	2013/06/14	2015/12/28	18.00
23627	Standard Chartered Bank - Dongfeng European Wts Nov 2013 A	100,000,000	2013/06/14	2013/11/25	15.00
23638	Standard Chartered Bank - Dongfeng European Wts Dec 2014 A	100,000,000	2013/06/14	2014/12/03	22.00
23639	Standard Chartered Bank - Wharf European Warrants Nov 2013 A	100,000,000	2013/06/14	2013/11/26	17.50
23654	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Dec 2013 A	150,000,000	2013/06/14	2013/12/30	22.50
23655	SGA Societe Generale Acceptance N.V. - CPIC Eur Wt Oct 2013 A	80,000,000	2013/06/14	2013/10/30	12.00
10214	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Dec 13 J	150,000,000	2013/06/14	2013/12/13	37.50
10215	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Dec 2013 K	150,000,000	2013/06/14	2013/12/13	37.50
10217	UBS AG - Nikkei 225 European Warrants Dec 2013 J	100,000,000	2013/06/14	2013/12/13	25.00
10218	UBS AG - Nikkei 225 European Warrants Dec 2013 K	100,000,000	2013/06/14	2013/12/13	15.00
10216	UBS AG - Nikkei 225 European Put Warrants Dec 2013 I	100,000,000	2013/06/14	2013/12/13	25.00
23662	UBS AG - PetroChina European Warrants Nov 2013 A	70,000,000	2013/06/14	2013/11/04	10.50
23917	BOCI Asia Ltd. - Sands China European Warrants Nov 2013 A	100,000,000	2013/06/17	2013/11/20	22.00
24024	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Aug 2014 A	100,000,000	2013/06/17	2014/08/04	25.00
23992	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2013 C	100,000,000	2013/06/17	2013/10/03	16.00
23987	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jan 2014 A	100,000,000	2013/06/17	2014/01/27	15.00
24026	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2014 B	100,000,000	2013/06/17	2014/01/03	25.00
24006	BNP Paribas Arbit Issu B.V. - ICBC European Wts Aug 2014 A	100,000,000	2013/06/17	2014/08/04	25.00
23984	Credit Suisse AG - Ch Agri-Ind European Warrants Dec 2013 A	50,000,000	2013/06/17	2013/12/16	12.50
23976	Credit Suisse AG - China Mobile European Warrants Oct 2013 C	70,000,000	2013/06/17	2013/10/02	10.50
23954	Credit Suisse AG - China Mobile European Put Wts Nov 2013 A	70,000,000	2013/06/17	2013/11/29	10.50
23947	Credit Suisse AG - HKEx European Warrants Oct 2013 B	50,000,000	2013/06/17	2013/10/28	21.00

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23951	Credit Suisse AG - Hutchison European Warrants Sep 2013 B	70,000,000	2013/06/17	2013/09/30	10.50
23986	Credit Suisse AG - China Mengniu European Warrants Dec2013 A	50,000,000	2013/06/17	2013/12/16	12.50
23979	Credit Suisse AG - Nine Dragons European Warrants Dec 2013 B	50,000,000	2013/06/17	2013/12/16	21.50
23960	Credit Suisse AG - PICC Group European Warrants Dec 2013 A	70,000,000	2013/06/17	2013/12/02	10.50
23985	Credit Suisse AG - Sinopharm European Warrants Dec 2013 A	50,000,000	2013/06/17	2013/12/16	12.50
23909	HK Bank - CC Bank European Warrants Nov 2013 A	120,000,000	2013/06/17	2013/11/26	18.00
23905	HK Bank - China Mobile European Warrants Dec 2013 D	120,000,000	2013/06/17	2013/12/23	30.00
23872	HK Bank - Link REIT European Warrants Feb 2014 A	120,000,000	2013/06/17	2014/02/04	30.00
23912	HK Bank - PetroChina European Warrants Jan 2014 A	120,000,000	2013/06/17	2014/01/06	30.00
23857	HK Bank - Zoomlion European Warrants Dec 2015 A	120,000,000	2013/06/17	2015/12/28	18.00
23966	J P Morgan SP BV - Lenovo European Warrants Oct 2013 A	80,000,000	2013/06/17	2013/10/28	20.00
23962	Macquarie Bank Ltd. - Angang Steel European Wts Jan 2014 B	40,000,000	2013/06/17	2014/01/03	10.00
23964	Macquarie Bank Ltd. - CLP European Warrants May 2014 A	120,000,000	2013/06/17	2014/05/05	30.00
23961	Macquarie Bank Ltd. - Ping An European Warrants Jan 2014 A	40,000,000	2013/06/17	2014/01/03	10.00
23748	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Dec 2013 B	40,000,000	2013/06/17	2013/12/02	10.60
23736	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Nov 2013 A	80,000,000	2013/06/17	2013/11/01	12.00
23734	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jan 2014 B	40,000,000	2013/06/17	2014/01/13	10.00
23752	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Nov 2013 A	80,000,000	2013/06/17	2013/11/18	12.00
23753	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Dec 2013 A	80,000,000	2013/06/17	2013/12/02	12.00
23758	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Dec 2013 B	40,000,000	2013/06/17	2013/12/18	10.00
23771	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Dec 2013 C	40,000,000	2013/06/17	2013/12/17	10.00
23791	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Sep 2013 B	100,000,000	2013/06/17	2013/09/27	15.70
23809	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2013 E	100,000,000	2013/06/17	2013/10/30	15.50
23793	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Nov 2013 D	100,000,000	2013/06/17	2013/11/28	15.10
23794	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2013 C	100,000,000	2013/06/17	2013/10/30	15.50
23805	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2013 D	100,000,000	2013/06/17	2013/10/30	15.60
23772	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2013 D	100,000,000	2013/06/17	2013/12/30	25.00
23937	Nomura Int'l plc - China Life European Warrants Dec 2013 A	80,000,000	2013/06/17	2013/12/24	12.16
23839	Nomura Int'l plc - Henganintl European Warrants Sep 2015 A	80,000,000	2013/06/17	2015/09/24	12.96
23831	Nomura Int'l plc - PetroChina European Warrants Oct 2013 A	80,000,000	2013/06/17	2013/10/30	12.00
23828	Nomura Int'l plc - Shui On Land EEuropean Warrants Jun2014 A	50,000,000	2013/06/17	2014/06/18	12.50
23923	Nomura Int'l plc - SMIC European Warrants Jun 2015 A	50,000,000	2013/06/17	2015/06/18	12.55
23932	Standard Chartered Bank - Minsheng Bank Euro Wts Dec 2014 A	100,000,000	2013/06/17	2014/12/22	17.40
23927	Standard Chartered Bank - HKEx European Warrants Dec 2013 A	80,000,000	2013/06/17	2013/12/16	32.00
23967	Standard Chartered Bank - PICC Group European Wts Dec 2013 A	100,000,000	2013/06/17	2013/12/02	15.00
23975	Standard Chartered Bank - PICC Group European Wts Jan 2014 A	100,000,000	2013/06/17	2014/01/06	25.00
23931	Standard Chartered Bank - Wharf European Warrants Nov 2013 B	100,000,000	2013/06/17	2013/11/25	30.50
23814	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Dec 2013 A	100,000,000	2013/06/17	2013/12/16	25.00
23938	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 13 A	150,000,000	2013/06/17	2013/12/02	27.00
23827	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Nov 2013 A	100,000,000	2013/06/17	2013/11/25	17.50
23939	SGA Societe Generale Acceptance N.V. - PICC Gp Eu Wts Jan14A	50,000,000	2013/06/17	2014/01/13	12.50
24003	UBS AG - HSI European Warrants Oct 2013 E	300,000,000	2013/06/17	2013/10/30	54.00
23841	UBS AG - HSI European Put Warrants Dec 2013 E	300,000,000	2013/06/17	2013/12/30	45.00
23993	UBS AG - KECL European Warrants Dec 2014 A	80,000,000	2013/06/17	2014/12/15	12.00

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		發行單位	上市日期	到期日	
24107	BOCI Asia Ltd. - Sinopec Corp European Warrants Dec 2013 A	100,000,000	2013/06/18	2013/12/05	15.00
24108	Credit Suisse AG - Sinopec Corp European Warrants Dec 2013 A	60,000,000	2013/06/18	2013/12/20	15.00
24110	Citigroup Global Mkt H Inc. - HSI European Wts Oct 2013 A	100,000,000	2013/06/18	2013/10/30	15.00
24105	HK Bank - BOCL European Warrants Dec 2013 A	100,000,000	2013/06/18	2013/12/10	16.00
24090	HK Bank - China Life European Warrants Oct 2013 D	120,000,000	2013/06/18	2013/10/07	18.00
24104	HK Bank - HSI European Put Warrants Oct 2013 E	150,000,000	2013/06/18	2013/10/30	22.50
24103	HK Bank - HSI European Put Warrants Dec 2013 D	120,000,000	2013/06/18	2013/12/30	30.00
24041	J P Morgan SP BV - China Agri European Warrants Dec 2013 A	50,000,000	2013/06/18	2013/12/31	12.50
24029	J P Morgan SP BV - China Unicom European Warrants Apr 2014 A	200,000,000	2013/06/18	2014/04/22	30.00
24027	J P Morgan SP BV - PICC Group European Warrants Dec 2013 A	100,000,000	2013/06/18	2013/12/31	25.00
24088	Macquarie Bank Ltd. - Bank of EA European Wts Dec 2014 A	120,000,000	2013/06/18	2014/12/02	30.00
24075	Macquarie Bank Ltd. - Minsheng Bank European Wts Jan 2014 A	80,000,000	2013/06/18	2014/01/03	20.00
24106	Macquarie Bank Ltd. - Tencent European Put Wts Jan 2014 B	200,000,000	2013/06/18	2014/01/03	48.20
24045	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2013 A	300,000,000	2013/06/18	2013/12/30	75.00
24067	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 13 B	300,000,000	2013/06/18	2013/12/30	78.00
24043	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Nov13B	100,000,000	2013/06/18	2013/11/18	20.00
24111	UBS AG - China Mobile European Warrants Dec 2013 B	100,000,000	2013/06/18	2013/12/17	30.00
24114	UBS AG - HSBC European Warrants Nov 2013 C	80,000,000	2013/06/18	2013/11/18	13.60
24112	UBS AG - SHK Ppt European Warrants Dec 2013 A	80,000,000	2013/06/18	2013/12/30	26.40
24113	UBS AG - SHK Ppt European Warrants Dec 2013 B	80,000,000	2013/06/18	2013/12/19	12.00
24173	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Feb14A	100,000,000	2013/06/19	2014/02/05	15.00
24138	BNP Paribas Arbit Issu B.V. - Angang Steel Eur Wt Feb 2014 A	22,000,000	2013/06/19	2014/02/05	10.34
24148	BNP Paribas Arbit Issu B.V. - Chi Resources Eu Wt Jul 2014 A	78,000,000	2013/06/19	2014/07/03	21.06
24196	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Mar 2014 A	100,000,000	2013/06/19	2014/03/04	15.00
24172	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Feb 2014 A	85,000,000	2013/06/19	2014/02/05	21.25
24202	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Jan 2014 A	38,000,000	2013/06/19	2014/01/03	10.64
24198	BNP Paribas Arbit Issu B.V. - Lumena Newmat Eu Wt Jul 2014 A	43,000,000	2013/06/19	2014/07/03	10.75
24188	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Jan 2014 B	92,000,000	2013/06/19	2014/01/03	23.92
24237	Credit Suisse AG - Anhui Conch European Warrants Jan 2014 A	50,000,000	2013/06/19	2014/01/27	12.50
24242	Credit Suisse AG - CITIC Bank European Warrants Dec 2013 A	50,000,000	2013/06/19	2013/12/20	17.00
24234	Credit Suisse AG - Evergrande European Warrants Oct 2013 A	70,000,000	2013/06/19	2013/10/28	11.20
24233	Credit Suisse AG - HSBC European Wts Sep 2013 D	70,000,000	2013/06/19	2013/09/23	12.60
24229	Credit Suisse AG - HSI European Warrants Oct 2013 F	150,000,000	2013/06/19	2013/10/30	25.50
24230	Credit Suisse AG - HSI European Put Warrants Dec 2013 C	150,000,000	2013/06/19	2013/12/30	22.50
24238	Credit Suisse AG - KECL European Warrants Dec 2013 A	50,000,000	2013/06/19	2013/12/20	33.50
24262	Credit Suisse AG - Shenzhen Int'l European Wts Apr 2014 A	50,000,000	2013/06/19	2014/04/28	12.50
24131	Goldman Sachs SP (Asia) - CC Bank European Wts Dec 2013 B	80,000,000	2013/06/19	2013/12/30	20.16
24136	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2013 A	120,000,000	2013/06/19	2013/12/30	20.04
24122	Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2013 D	120,000,000	2013/06/19	2013/12/30	30.12
24129	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Dec 2013 A	120,000,000	2013/06/19	2013/12/30	30.48
24133	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Jan 2014 A	80,000,000	2013/06/19	2014/01/30	12.56
24120	HK Bank - CITIC Sec European Warrants Apr 2014 A	100,000,000	2013/06/19	2014/04/30	25.00
24121	HK Bank - Sinopec Corp European Warrants Jan 2014 A	80,000,000	2013/06/19	2014/01/06	20.00
24119	Macquarie Bank Ltd. - BankComm European Warrants Dec 2013 A	80,000,000	2013/06/19	2013/12/03	12.00

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		發行單位	上市日期	到期日	
24214	Macquarie Bank Ltd. - China Agri European Wts Jan 2014 A	80,000,000	2013/06/19	2014/01/03	20.00
24206	Macquarie Bank Ltd. - Cheung Kong European Wts Jan 2014 B	120,000,000	2013/06/19	2014/01/03	30.00
24215	Macquarie Bank Ltd. - New World Dev European Wts Jan 2015 B	120,000,000	2013/06/19	2015/01/05	18.00
24220	Macquarie Bank Ltd. - SHK Ppt European Warrants Jan 2014 A	120,000,000	2013/06/19	2014/01/03	18.00
24221	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Oct 2013 C	100,000,000	2013/06/19	2013/10/30	26.00
24222	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Dec 13 A	100,000,000	2013/06/19	2013/12/18	25.00
24263	UBS AG - HSCEI European Put Warrants Mar 2014 A	100,000,000	2013/06/19	2014/03/28	25.00
24228	UBS AG - Link REIT European Warrants Dec 2013 B	80,000,000	2013/06/19	2013/12/23	20.00
24223	UBS AG - SJM Holdings European Warrants Dec 2013 B	80,000,000	2013/06/19	2013/12/23	13.60
15115#	HK Bank - HSCEI European Warrants Dec 2013	200,000,000	2013/06/19	2013/12/30	14.80
24415#	HK Bank - A50 China European Warrants Jan 2014 A	200,000,000	2013/06/19	2014/01/02	10.80
24575	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jan 2014 B	100,000,000	2013/06/20	2014/01/03	28.00
24589	BNP Paribas Arbit Issu B.V. - Ch Ship Dev Euro Wts Mar 2014A	22,000,000	2013/06/20	2014/03/04	10.12
24568	BNP Paribas Arbit Issu B.V. - HSI European Wts Feb 2014 B	100,000,000	2013/06/20	2014/02/27	26.00
24570	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Feb 2014 C	100,000,000	2013/06/20	2014/02/27	30.00
24574	BNP Paribas Arbit Issu B.V. - Poly HK Inv Eur Wts Feb 2014 A	20,000,000	2013/06/20	2014/02/05	10.00
24580	BNP Paribas Arbit Issu B.V. - Sinopharm Euro Wts Mar 2014 A	92,000,000	2013/06/20	2014/03/04	23.92
24571	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Jan 2014 B	100,000,000	2013/06/20	2014/01/02	26.00
24572	BNP Paribas Arbit Issu B.V. - Sino Land Euro Wt Aug 2015 A	100,000,000	2013/06/20	2015/08/04	25.00
24587	BNP Paribas Arbit Issu B.V. - Wynn Macau Euro Wts Feb 2014 A	100,000,000	2013/06/20	2014/02/05	25.00
24579	BNP Paribas Arbit Issu B.V. - Yurun Food Euro Wts Jan 2014 B	22,000,000	2013/06/20	2014/01/03	10.34
24406	Credit Suisse AG - BOCL European Warrants Dec 2013 A	70,000,000	2013/06/20	2013/12/09	10.50
24463	Credit Suisse AG - CC Bank European Warrants Oct 2013 A	70,000,000	2013/06/20	2013/10/09	10.50
24476	Credit Suisse AG - China Coal European Warrants Nov 2013 A	70,000,000	2013/06/20	2013/11/25	11.20
24455	Credit Suisse AG - Cheung Kong European Warrants Dec 2013 B	60,000,000	2013/06/20	2013/12/02	16.20
24471	Credit Suisse AG - Minsheng Bank European Wts Oct 2013 B	60,000,000	2013/06/20	2013/10/11	18.60
24467	Credit Suisse AG - China Mobile European Warrants Dec 2013 A	70,000,000	2013/06/20	2013/12/20	17.50
24477	Credit Suisse AG - CSOP A50 ETF European Warrants Oct 2013 A	70,000,000	2013/06/20	2013/10/28	17.50
24405	Credit Suisse AG - China Shenhua European Wts Jan 2014 A	70,000,000	2013/06/20	2014/01/27	10.50
24482	Credit Suisse AG - A50 China European Warrants Oct 2013 A	70,000,000	2013/06/20	2013/10/07	12.60
24400	Credit Suisse AG - HSCEI European Warrants Sep 2013 A	80,000,000	2013/06/20	2013/09/27	13.60
24492	Credit Suisse AG - HSI European Warrants Sep 2013 G	150,000,000	2013/06/20	2013/09/27	28.50
24486	Credit Suisse AG - HSI European Put Warrants Dec 2013 D	150,000,000	2013/06/20	2013/12/30	27.00
24462	Credit Suisse AG - Hutchison European Warrants Oct 2013 A	70,000,000	2013/06/20	2013/10/03	15.40
24465	Credit Suisse AG - ICBC European Warrants Oct 2013 B	70,000,000	2013/06/20	2013/10/15	10.50
24468	Credit Suisse AG - Ping An European Warrants Oct 2013 B	70,000,000	2013/06/20	2013/10/11	12.60
24548	Credit Suisse AG - Yanzhou Coal European Warrants Nov 2013 A	70,000,000	2013/06/20	2013/11/04	11.20
24433	Daiwa Capital Mkt - China Mobile European Wts Dec 2013 A	128,000,000	2013/06/20	2013/12/19	37.12
24441	Daiwa Capital Mkt - China Mobile European Put Wts Nov 2013 D	80,000,000	2013/06/20	2013/11/26	16.00
24432	Daiwa Capital Mkt - HSBC European Put Warrants Dec 2013 A	80,000,000	2013/06/20	2013/12/19	20.00
24447	Daiwa Capital Mkt - ICBC European Warrants Nov 2013 C	128,000,000	2013/06/20	2013/11/01	23.04
24385	Bank of East Asia - Belle European Warrants Mar 2014 A	80,000,000	2013/06/20	2014/03/20	12.00
24396	Bank of East Asia - CITIC Sec European Warrants Jan 2014 A	80,000,000	2013/06/20	2014/01/02	12.00
24549	Bank of East Asia - Minsheng Bank European Warrants Jan 15 A	80,000,000	2013/06/20	2015/01/08	12.00

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		發行單位	上市日期	到期日	
24567	Bank of East Asia - Foxconn European Warrants Feb 2014 A	40,000,000	2013/06/20	2014/02/06	10.40
24558	Bank of East Asia - HKEx European Warrants Feb 2014 A	80,000,000	2013/06/20	2014/02/04	12.48
24565	Bank of East Asia - Wynn Macau European Warrants Feb 2014 A	60,000,000	2013/06/20	2014/02/12	15.00
24424	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Jan 2014 A	120,000,000	2013/06/20	2014/01/30	30.48
24416	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2014 A	120,000,000	2013/06/20	2014/01/30	35.28
24281	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2013 E	150,000,000	2013/06/20	2013/10/30	22.50
24422	Goldman Sachs SP (Asia) - Link REIT European Wts Mar 2014 A	120,000,000	2013/06/20	2014/03/31	30.24
24419	Goldman Sachs SP (Asia) - New World Dev Euro Wts Dec 2013 A	68,000,000	2013/06/20	2013/12/30	27.20
24498	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Jan 2014 A	200,000,000	2013/06/20	2014/01/02	50.00
24266	J P Morgan SP BV - CITIC Sec European Warrants May 2014 A	100,000,000	2013/06/20	2014/05/02	25.00
24511	J P Morgan SP BV - China Life European Warrants Jan 2014 A	300,000,000	2013/06/20	2014/01/02	75.00
24349	J P Morgan SP BV - China Mob European Wts Jan 2014 A	200,000,000	2013/06/20	2014/01/02	50.00
24514	J P Morgan SP BV - HSI European Put Warrants Dec 2013 D	300,000,000	2013/06/20	2013/12/30	75.00
24515	J P Morgan SP BV - Hutchison European Warrants Jan 2014 A	150,000,000	2013/06/20	2014/01/02	48.90
24517	J P Morgan SP BV - ICBC European Warrants Jan 2014 A	200,000,000	2013/06/20	2014/01/02	50.00
24523	J P Morgan SP BV - PetroChina European Warrants Jan 2014 B	100,000,000	2013/06/20	2014/01/02	25.00
24524	J P Morgan SP BV - Ping An European Warrants Dec 2013 B	200,000,000	2013/06/20	2013/12/31	50.00
24352	Macquarie Bank Ltd. - China Coal European Wts Dec 2013 B	80,000,000	2013/06/20	2013/12/03	16.24
24429	Macquarie Bank Ltd. - China Mobile European Wts Dec 2013 C	120,000,000	2013/06/20	2013/12/03	18.12
24537	Macquarie Bank Ltd. - Huaneng Power European Wts Jan 2014 A	50,000,000	2013/06/20	2014/01/03	12.50
24354	Macquarie Bank Ltd. - PetroChina European Wts Jan 2014 B	80,000,000	2013/06/20	2014/01/03	16.16
24398	Standard Chartered Bank - Sinopec Corp Euro Wts Dec 2013 A	80,000,000	2013/06/20	2013/12/27	20.00
24296	SGA Societe Generale Acceptance N.V. - Belle Int'l Eu Wt Mar14A	150,000,000	2013/06/20	2014/03/24	22.50
24300	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Dec 2013 A	40,000,000	2013/06/20	2013/12/23	15.40
24302	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Oct14A	150,000,000	2013/06/20	2014/10/29	24.00
24361	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2013D	120,000,000	2013/06/20	2013/10/09	20.40
24362	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2013A	120,000,000	2013/06/20	2013/12/30	19.80
24448	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 13 B	150,000,000	2013/06/20	2013/12/23	37.50
24452	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Nov13A	100,000,000	2013/06/20	2013/11/18	31.50
24449	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2014 A	300,000,000	2013/06/20	2014/01/06	45.00
24380	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2013 A	120,000,000	2013/06/20	2013/12/18	51.00
24327	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2013 C	300,000,000	2013/06/20	2013/12/30	84.00
24329	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2013 D	300,000,000	2013/06/20	2013/12/30	75.00
24348	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 13 E	300,000,000	2013/06/20	2013/12/30	75.00
24368	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Nov 2013 B	100,000,000	2013/06/20	2013/11/04	19.00
24311	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Oct 2013B	100,000,000	2013/06/20	2013/10/21	21.50
24313	SGA Societe Generale Acceptance NV - Zijin Mining Eu Wt Nov13A	100,000,000	2013/06/20	2013/11/27	15.00
24319	SGA Societe Generale Acceptance N.V. - ZTE Eu Wt May 2014 A	100,000,000	2013/06/20	2014/05/26	15.00
24425	UBS AG - China Life European Warrants Dec 2013 A	200,000,000	2013/06/20	2013/12/23	50.00
24427	UBS AG - HSI European Warrants Dec 2013 F	300,000,000	2013/06/20	2013/12/30	75.00
24661	BOCI Asia Ltd. - A50 China European Warrants Oct 2013 A	100,000,000	2013/06/21	2013/10/30	26.00
24633	BOCI Asia Ltd. - Ping An European Warrants Oct 2013 B	100,000,000	2013/06/21	2013/10/30	24.00
24657	BOCI Asia Ltd. - SHK Ppt European Warrants Dec 2013 A	100,000,000	2013/06/21	2013/12/23	25.00
10219	BNP Paribas Arbit Issu B.V. - Nikkei 225 Index Eu Wt Dec13A	100,000,000	2013/06/21	2013/12/13	24.30

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		發行單位	上市日期	到期日	
10220	BNP Paribas Arbit Issu B.V. - Nikkei 225 Eu Put Wt Mar14A	100,000,000	2013/06/21	2014/03/14	25.00
24707	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Dec 2013 B	100,000,000	2013/06/21	2013/12/30	31.00
24712	Citigroup Global Mkt H Inc. - Ping An Euro Wts Dec 2013 B	100,000,000	2013/06/21	2013/12/30	57.00
24721	Citigroup Global Mkt H Inc. - Sands China Euro Wt Dec 2013 B	100,000,000	2013/06/21	2013/12/30	33.00
24709	Citigroup Global Mkt H Inc. - SHK Ppt Euro Wts Dec 2013 B	100,000,000	2013/06/21	2013/12/30	28.00
24732	Citigroup Global Mkt H Inc. - Tencent European Wts Dec 2013 C	100,000,000	2013/06/21	2013/12/30	33.00
24667	Goldman Sachs SP (Asia) - HSCEI European Put Wts Jun 2014 A	150,000,000	2013/06/21	2014/06/27	38.55
24673	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2013 F	150,000,000	2013/06/21	2013/10/30	24.45
24674	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2014 A	150,000,000	2013/06/21	2014/04/29	38.10
24669	Goldman Sachs SP (Asia) - Yanzhou Coal Euro Wts Feb 2014 A	80,000,000	2013/06/21	2014/02/27	26.08
24632	HK Bank - Agricultural Bank European Warrants Jan 2014 A	80,000,000	2013/06/21	2014/01/06	20.00
24624	HK Bank - CC Bank European Warrants Dec 2013 B	80,000,000	2013/06/21	2013/12/31	20.00
24625	HK Bank - HKEx European Warrants Jan 2014 A	200,000,000	2013/06/21	2014/01/06	30.00
24618	HK Bank - HSI European Put Warrants Jan 2014 A	200,000,000	2013/06/21	2014/01/29	50.00
24591	J P Morgan SP BV - China Life European Warrants Jan 2014 B	300,000,000	2013/06/21	2014/01/02	45.00
24750	Macquarie Bank Ltd. - Lee & Man Paper European Wt Jan 2014 A	50,000,000	2013/06/21	2014/01/14	15.05
24760	Macquarie Bank Ltd. - Poly HK Inv European Wts Jan 2014 A	50,000,000	2013/06/21	2014/01/02	12.50
24763	Macquarie Bank Ltd. - Wharf European Warrants Jan 2014 A	120,000,000	2013/06/21	2014/01/03	30.00
24755	Macquarie Bank Ltd. - Yuexiu Property Euro Wts Jan 2014 A	70,000,000	2013/06/21	2014/01/27	10.50
24686	Standard Chartered Bank - CAM CSI300 European Wts Oct 2013 A	100,000,000	2013/06/21	2013/10/07	20.00
24684	Standard Chartered Bank - CC Bank European Wts Jan 2014 A	100,000,000	2013/06/21	2014/01/07	25.00
24680	Standard Chartered Bank - China Mobile Euro Wts Dec 2013 B	100,000,000	2013/06/21	2013/12/23	27.00
24689	Standard Chartered Bank - CSOP A50 ETF Euro Wts Oct 2013 B	50,000,000	2013/06/21	2013/10/28	13.00
24676	Standard Chartered Bank - HSI European Warrants Oct 2013 B	150,000,000	2013/06/21	2013/10/30	22.50
24675	Standard Chartered Bank - HSI European Put Wts Dec 2013 A	100,000,000	2013/06/21	2013/12/30	15.00
24688	Standard Chartered Bank - PetroChina European Wts Dec 2013 B	80,000,000	2013/06/21	2013/12/23	20.00
24691	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Dec 2013 B	100,000,000	2013/06/21	2013/12/23	26.50
24698	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Oct13 C	30,000,000	2013/06/21	2013/10/21	11.55
24598	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 2013B	50,000,000	2013/06/21	2013/11/06	12.75
24614	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 2014A	50,000,000	2013/06/21	2014/01/06	14.50
24595	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 13 F	300,000,000	2013/06/21	2013/12/30	75.00
24705	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Dec 2013B	60,000,000	2013/06/21	2013/12/23	20.40
24749	UBS AG - Agricultural Bank European Warrants Nov 2013 A	70,000,000	2013/06/21	2013/11/25	10.50
24740	UBS AG - BOCL European Warrants Dec 2013 A	100,000,000	2013/06/21	2013/12/09	15.00
24748	UBS AG - CC Bank European Warrants Dec 2013 A	100,000,000	2013/06/21	2013/12/20	26.00
24745	UBS AG - ICBC European Warrants Oct 2013 A	100,000,000	2013/06/21	2013/10/29	19.00
24817	BOCI Asia Ltd. - PetroChina European Warrants Dec 2013 A	100,000,000	2013/06/24	2013/12/30	20.00
24771	Goldman Sachs SP (Asia) - CC Bank European Wts Dec 2013 C	80,000,000	2013/06/24	2013/12/30	20.56
24773	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Jan 2014 A	80,000,000	2013/06/24	2014/01/30	30.56
24774	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jan 2014 A	80,000,000	2013/06/24	2014/01/30	20.08
24840	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Jan 2014 B	80,000,000	2013/06/24	2014/01/30	23.52
24769	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Jan 2014 B	80,000,000	2013/06/24	2014/01/30	21.20
24821	HK Bank - CM Bank European Warrants Dec 2013 A	100,000,000	2013/06/24	2013/12/30	15.00
24831	HK Bank - Galaxy Ent European Warrants Dec 2013 A	80,000,000	2013/06/24	2013/12/23	20.00

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24818	HK Bank - HSI European Warrants Dec 2013 E	200,000,000	2013/06/24	2013/12/30	30.00
24819	HK Bank - HSI European Warrants Dec 2013 F	200,000,000	2013/06/24	2013/12/30	30.00
24794	J P Morgan SP BV - HSCEI European Put Warrants Dec 2013 D	300,000,000	2013/06/24	2013/12/30	75.00
24767	Macquarie Bank Ltd. - CHALCO European Warrants Jul 2014 A	50,000,000	2013/06/24	2014/07/03	12.50
24830	Macquarie Bank Ltd. - China COSCO European Wts Feb 2014 A	50,000,000	2013/06/24	2014/02/05	12.50
24768	Macquarie Bank Ltd. - Chinas Cement European Wt Dec 2013 A	50,000,000	2013/06/24	2013/12/24	12.50
24825	Macquarie Bank Ltd. - Chi Res Land European Wts Dec 2013 A	120,000,000	2013/06/24	2013/12/03	18.00
24839	Standard Chartered Bank - BOCL European Warrants May 2014 A	60,000,000	2013/06/24	2014/05/09	15.00
24836	Standard Chartered Bank - BOCL European Warrants Dec 2014 A	100,000,000	2013/06/24	2014/12/24	16.40
24801	Standard Chartered Bank - Galaxy Ent European Wts Mar 2014 A	100,000,000	2013/06/24	2014/03/07	25.00
24803	Standard Chartered Bank - HSBC European Warrants Nov 2013 C	100,000,000	2013/06/24	2013/11/26	22.00
24764	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Jan14A	50,000,000	2013/06/24	2014/01/06	17.00
24911	Credit Suisse AG - Ch Oil & Gas European Warrants Dec 2013 B	50,000,000	2013/06/25	2013/12/24	12.50
24889	Credit Suisse AG - Galaxy Ent European Warrants Dec 2013 A	70,000,000	2013/06/25	2013/12/24	17.50
24888	Credit Suisse AG - Galaxy Ent European Put Wts Nov 2013 B	70,000,000	2013/06/25	2013/11/25	10.50
24958	Citigroup Global Mkt H Inc. - HSI European Wts Dec 2013 E	100,000,000	2013/06/25	2013/12/30	18.00
24933	Goldman Sachs SP (Asia) - Belle Int'l Euro Wts Mar 2014 A	80,000,000	2013/06/25	2014/03/17	12.08
24930	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Jan 2014 A	80,000,000	2013/06/25	2014/01/30	23.68
24927	Goldman Sachs SP (Asia) - A50 China European Wts Aug 2014 A	120,000,000	2013/06/25	2014/08/01	18.00
24928	Goldman Sachs SP (Asia) - SHK Ppt European Wts Jan 2014 A	80,000,000	2013/06/25	2014/01/30	40.40
24872	HK Bank - China Shenhua European Warrants Feb 2014 A	100,000,000	2013/06/25	2014/02/04	15.00
24869	HK Bank - HSCEI European Warrants Mar 2014 A	200,000,000	2013/06/25	2014/03/28	50.00
24867	HK Bank - ICBC European Warrants Oct 2013 B	100,000,000	2013/06/25	2013/10/30	16.00
24945	J P Morgan SP BV - Galaxy Ent European Warrants Dec 2013 D	150,000,000	2013/06/25	2013/12/30	37.50
24944	J P Morgan SP BV - Galaxy Ent European Put Wts Dec 2013 C	150,000,000	2013/06/25	2013/12/30	37.50
24934	J P Morgan SP BV - Sands China European Warrants Dec 2013 A	150,000,000	2013/06/25	2013/12/30	37.50
24914	Macquarie Bank Ltd. - PICC Group European Wts Apr 2014 A	40,000,000	2013/06/25	2014/04/02	10.00
24913	Macquarie Bank Ltd. - Sands China European Wts Jan 2014 A	40,000,000	2013/06/25	2014/01/03	10.00
24852	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Dec 2013 A	40,000,000	2013/06/25	2013/12/27	10.00
24855	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2013 B	40,000,000	2013/06/25	2013/12/10	10.00
24842	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Nov 13 B	80,000,000	2013/06/25	2013/11/26	12.16
24853	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Nov 2013 B	40,000,000	2013/06/25	2013/11/27	10.20
24845	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Dec 2013 A	40,000,000	2013/06/25	2013/12/27	10.00
24854	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Dec 2013 B	80,000,000	2013/06/25	2013/12/19	12.00
24892	Standard Chartered Bank - CITIC Sec European Wts Dec 2013 B	100,000,000	2013/06/25	2013/12/24	15.00
24878	Standard Chartered Bank - CNOOC European Warrants Jan 2015 A	100,000,000	2013/06/25	2015/01/05	16.00
24896	Standard Chartered Bank - HuanengPower Euro Wts Jan 2014 A	60,000,000	2013/06/25	2014/01/06	24.00
24861	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Jan 2014A	50,000,000	2013/06/25	2014/01/06	15.75
24880	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Feb14A	100,000,000	2013/06/25	2014/02/05	25.00
24863	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt May14A	100,000,000	2013/06/25	2014/05/19	16.00
24887	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Dec13B	25,000,000	2013/06/25	2013/12/30	10.88
24908	UBS AG - China Tel European Warrants Nov 2013 A	70,000,000	2013/06/25	2013/11/25	10.50
24910	UBS AG - GCL-Poly Energy European Warrants Dec 2013 A	60,000,000	2013/06/25	2013/12/24	16.80
24907	UBS AG - Galaxy Ent European Warrants Dec 2013 A	80,000,000	2013/06/25	2013/12/24	20.00

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24905	UBS AG - KECL European Warrants Aug 2014 A	100,000,000	2013/06/25	2014/08/25	25.00
24920	UBS AG - Sands China European Warrants Dec 2013 A	60,000,000	2013/06/25	2013/12/24	15.00
24906	UBS AG - Yanzhou Coal European Wts Dec 2013 A	30,000,000	2013/06/25	2013/12/24	11.40
20013 [#]	Standard Chartered Bank - CNBM European Warrants Dec 2013 A	200,000,000	2013/06/25	2013/12/13	10.80
24980	BOCI Asia Ltd. - CC Bank European Warrants Dec 2013 B	100,000,000	2013/06/26	2013/12/13	17.00
24978	BOCI Asia Ltd. - Galaxy Ent European Warrants Nov 2013 B	100,000,000	2013/06/26	2013/11/29	28.00
24982	BOCI Asia Ltd. - ICBC European Warrants Nov 2013 B	100,000,000	2013/06/26	2013/11/05	18.00
24996	BNP Paribas Arbit Issu B.V. - Belle Int'l Euro Wt Jul 2015 A	100,000,000	2013/06/26	2015/07/03	25.00
24994	BNP Paribas Arbit Issu B.V. - BOCL European Wts Mar 2014 A	100,000,000	2013/06/26	2014/03/04	25.00
24987	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Jan 2014 A	100,000,000	2013/06/26	2014/01/03	28.00
24985	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Feb 2014 A	100,000,000	2013/06/26	2014/02/05	26.00
24989	BNP Paribas Arbit Issu B.V. - Huaneng Euro Wts Jan 2014 B	40,000,000	2013/06/26	2014/01/03	16.80
25059	Goldman Sachs Sp (Asia) - ICBC European Warrants Oct 2013 B	80,000,000	2013/06/26	2013/10/29	12.00
25057	Goldman Sachs SP (Asia) - PetroChina European Wts Jan 2014 A	80,000,000	2013/06/26	2014/01/30	21.92
25060	Goldman Sachs SP (Asia) - Ping An European Wts Dec 2013 A	100,000,000	2013/06/26	2013/12/30	25.00
24976	HK Bank - HSI European Warrants Dec 2013 G	200,000,000	2013/06/26	2013/12/30	30.00
24972	HK Bank - Sands China European Warrants Dec 2013 A	100,000,000	2013/06/26	2013/12/24	18.00
24970	Macquarie Bank Ltd. - CC Bank European Warrants Jan 2014 A	120,000,000	2013/06/26	2014/01/03	30.48
25034	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Jan 2014 A	40,000,000	2013/06/26	2014/01/03	10.00
25033	Macquarie Bank Ltd. - Huaneng Power European Wts Jan 2014 B	50,000,000	2013/06/26	2014/01/03	13.25
24969	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Dec 2013 B	70,000,000	2013/06/26	2013/12/13	10.50
24968	Merrill Lynch Int'l & Co. C.V. - A50 China Eu Put Wt Oct13 B	50,000,000	2013/06/26	2013/10/28	10.95
24967	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Dec 2013 A	40,000,000	2013/06/26	2013/12/31	10.00
25000	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2013 F	100,000,000	2013/06/26	2013/10/30	15.00
24966	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Dec 2013 B	40,000,000	2013/06/26	2013/12/27	11.20
25001	Standard Chartered Bank - Galaxy Ent European Wts May 2014 A	80,000,000	2013/06/26	2014/05/30	20.00
25003	Standard Chartered Bank - Galaxy Ent Euro Put Wts May 2014 B	80,000,000	2013/06/26	2014/05/02	26.40
25005	Standard Chartered Bank - MGM China European Wts Jan 2014 A	80,000,000	2013/06/26	2014/01/07	20.00
25010	Standard Chartered Bank - MGM China European Wts May 2014 A	80,000,000	2013/06/26	2014/05/05	20.00
25004	Standard Chartered Bank - Sands China Eu Put Wts Apr 2014 A	80,000,000	2013/06/26	2014/04/04	20.00
25036	UBS AG - CC Bank European Warrants Dec 2013 B	100,000,000	2013/06/26	2013/12/31	38.00
25056	UBS AG - Evergrande European Warrants Oct 2013 A	50,000,000	2013/06/26	2013/10/28	10.00
25055	UBS AG - GCL-Poly Energy European Warrants Jan 2014 A	60,000,000	2013/06/26	2014/01/13	11.40
25050	UBS AG - PICC Group European Warrants Dec 2013 A	60,000,000	2013/06/26	2013/12/27	15.00
24522 [#]	HK Bank - HSI European Warrants Sep 2013 E	200,000,000	2013/06/26	2013/09/27	10.80
25110	BOCI Asia Ltd. - China Life European Warrants Dec 2013 B	100,000,000	2013/06/27	2013/12/31	18.00
25107	BOCI Asia Ltd. - China Mobile European Warrants Jan 2014 A	100,000,000	2013/06/27	2014/01/02	18.00
25193	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Feb 2014 B	100,000,000	2013/06/27	2014/02/05	25.00
25192	BNP Paribas Arbit Issu B.V. - CPIC European Wts Feb 2014 A	100,000,000	2013/06/27	2014/02/05	25.00
25201	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Feb 2014 A	100,000,000	2013/06/27	2014/02/05	25.00
25196	BNP Paribas Arbit Issu B.V. - ICBC European Wts Jan 2014 C	100,000,000	2013/06/27	2014/01/03	15.00
25174	BNP Paribas Arbit Issu B.V. - Zijin Mining Eu Wts Jan 2015 A	65,000,000	2013/06/27	2015/01/05	16.25
25283	Credit Suisse AG - CAM CSI300 European Warrants Dec 2013 A	70,000,000	2013/06/27	2013/12/09	11.20
25212	Credit Suisse AG - CC Bank European Warrants Dec 2013 A	70,000,000	2013/06/27	2013/12/23	11.20

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25229	Credit Suisse AG - China Life European Warrants Oct 2013 B	70,000,000	2013/06/27	2013/10/07	10.50
25235	Credit Suisse AG - CNOOC European Warrants Nov 2014 A	70,000,000	2013/06/27	2014/11/17	10.50
25238	Credit Suisse AG - CSOP A50 ETF European Warrants Aug 2014 A	70,000,000	2013/06/27	2014/08/01	10.50
25241	Credit Suisse AG - HSI European Warrants Dec 2013 E	200,000,000	2013/06/27	2013/12/30	32.00
25249	Credit Suisse AG - HSI European Warrants Dec 2013 F	200,000,000	2013/06/27	2013/12/30	38.00
25253	Credit Suisse AG - HSI European Warrants Dec 2013 G	200,000,000	2013/06/27	2013/12/30	30.00
25255	Credit Suisse AG - HSI European Put Warrants Mar 2014 A	200,000,000	2013/06/27	2014/03/28	44.00
25256	Credit Suisse AG - HSI European Put Warrants Mar 2014 B	200,000,000	2013/06/27	2014/03/28	50.00
25213	Credit Suisse AG - ICBC European Warrants Nov 2013 B	70,000,000	2013/06/27	2013/11/29	11.90
25237	Credit Suisse AG - PICC Group European Warrants Jan 2014 A	70,000,000	2013/06/27	2014/01/10	14.00
25222	Credit Suisse AG - Tencent Holdings European Wts Nov 2013 D	70,000,000	2013/06/27	2013/11/25	10.50
25219	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 A	70,000,000	2013/06/27	2014/06/30	10.50
25169	Daiwa Capital Mkt - CCB European Warrants Dec 2013 C	128,000,000	2013/06/27	2013/12/23	21.76
25210	Daiwa Capital Mkt - HSCEI European Put Warrants Dec 2013 C	100,000,000	2013/06/27	2013/12/30	25.00
25211	Daiwa Capital Mkt - HSCEI European Put Warrants Mar 2014 A	100,000,000	2013/06/27	2014/03/28	25.00
25206	Daiwa Capital Mkt - HSI European Put Warrants Dec 2013 B	200,000,000	2013/06/27	2013/12/30	50.00
25207	Daiwa Capital Mkt - HSI European Put Warrants Dec 2013 C	200,000,000	2013/06/27	2013/12/30	50.00
25297	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Jan 2014 A	80,000,000	2013/06/27	2014/01/30	56.48
25291	Goldman Sachs SP (Asia) - CQRC Bank European Wts Mar 2014 A	68,000,000	2013/06/27	2014/03/31	17.07
25287	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Jan 2014 A	100,000,000	2013/06/27	2014/01/30	32.80
25296	Goldman Sachs SP (Asia) - Lenovo European Wts Mar 2014 A	80,000,000	2013/06/27	2014/03/31	46.72
25093	HK Bank - AIA European Warrants Jan 2014 A	88,000,000	2013/06/27	2014/01/06	22.00
25079	HK Bank - Minsheng Bank European Warrants Dec 2013 B	60,000,000	2013/06/27	2013/12/31	30.60
25082	HK Bank - China Mobile European Warrants Dec 2013 E	88,000,000	2013/06/27	2013/12/31	24.64
25080	HK Bank - HWL European Warrants Dec 2013 B	60,000,000	2013/06/27	2013/12/31	43.80
25078	J P Morgan SP BV - Anhui Conch European Warrants Jan 2014 A	150,000,000	2013/06/27	2014/01/20	22.50
25315	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Jan 2014 B	200,000,000	2013/06/27	2014/01/02	50.00
25314	J P Morgan SP BV - China Mob European Wts Jan 2014 B	200,000,000	2013/06/27	2014/01/02	50.00
25064	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2013 B	200,000,000	2013/06/27	2013/12/31	50.00
25070	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2013 C	200,000,000	2013/06/27	2013/12/31	58.80
25061	J P Morgan SP BV - A50 China European Warrants Dec 2013 A	200,000,000	2013/06/27	2013/12/31	50.00
25071	J P Morgan SP BV - A50 China European Warrants Dec 2013 B	200,000,000	2013/06/27	2013/12/31	73.20
25310	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Jan 2014 A	300,000,000	2013/06/27	2014/01/29	75.00
25312	J P Morgan SP BV - HSCEI European Put Warrants Feb 2014 A	300,000,000	2013/06/27	2014/02/27	75.00
25074	J P Morgan SP BV - HSI European Warrants Dec 2013 F	300,000,000	2013/06/27	2013/12/30	75.00
25307	J P Morgan SP BV - HSI European Warrants Dec 2013 G	500,000,000	2013/06/27	2013/12/30	125.00
25306	J P Morgan SP BV - HSI European Warrants Jan 2014 B	500,000,000	2013/06/27	2014/01/29	126.50
25305	J P Morgan SP BV - HSI European Put Warrants Jan 2014 A	500,000,000	2013/06/27	2014/01/29	125.00
25300	J P Morgan SP BV - ICBC European Warrants Jan 2014 B	200,000,000	2013/06/27	2014/01/02	50.00
25077	J P Morgan SP BV - Yanzhou Coal European Warrants Dec 2013 A	60,000,000	2013/06/27	2013/12/31	15.00
25076	J P Morgan SP BV - Zijin Mining European Warrants Feb 2014 A	80,000,000	2013/06/27	2014/02/05	20.00
25140	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Feb 2014 B	100,000,000	2013/06/27	2014/02/05	25.00
25141	Macquarie Bank Ltd. - CITIC Bank European Wts Feb 2014 A	100,000,000	2013/06/27	2014/02/05	25.00
25159	Macquarie Bank Ltd. - ICBC European Warrants Feb 2014 A	100,000,000	2013/06/27	2014/02/05	26.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25102	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Oct 2013 C	40,000,000	2013/06/27	2013/10/17	12.60
25097	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jan 2014 A	80,000,000	2013/06/27	2014/01/06	12.00
25095	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Dec 2015 A	80,000,000	2013/06/27	2015/12/23	12.00
25171	Standard Chartered Bank - AIA European Warrants Dec 2013 A	100,000,000	2013/06/27	2013/12/09	25.00
25113	Standard Chartered Bank - China Railway Euro Wts Dec 2013 A	70,000,000	2013/06/27	2013/12/02	11.20
25115	Standard Chartered Bank - HSI European Warrants Dec 2013 B	100,000,000	2013/06/27	2013/12/30	25.00
25165	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jan 2014 A	100,000,000	2013/06/27	2014/01/06	25.00
25173	SGA Societe Generale Acceptance N.V. - Evergrande Eu Wt Dec13 A	50,000,000	2013/06/27	2013/12/30	21.00
25272	UBS AG - BOCL European Warrants Jan 2014 A	100,000,000	2013/06/27	2014/01/13	25.00
25281	UBS AG - BYD European Warrants Dec 2013 A	80,000,000	2013/06/27	2013/12/27	26.40
25133	UBS AG - Minsheng Bank European Warrants Dec 2014 A	70,000,000	2013/06/27	2014/12/22	10.50
25270	UBS AG - China Mobile European Warrants Dec 2013 C	100,000,000	2013/06/27	2013/12/27	32.00
25282	UBS AG - CNOOC European Warrants Dec 2014 A	100,000,000	2013/06/27	2014/12/08	25.00
25280	UBS AG - CSOP A50 ETF European Warrants Dec 2013 A	100,000,000	2013/06/27	2013/12/27	50.00
25284	UBS AG - A50 China European Warrants Aug 2014 B	300,000,000	2013/06/27	2014/08/15	45.00
25135	UBS AG - HSCEI European Warrants Sep 2013 D	100,000,000	2013/06/27	2013/09/27	15.00
25116	UBS AG - HSI European Warrants Dec 2013 G	300,000,000	2013/06/27	2013/12/30	45.00
25122	UBS AG - HSI European Warrants Dec 2013 H	300,000,000	2013/06/27	2013/12/30	75.00
25257	UBS AG - HSI European Put Warrants Dec 2013 I	300,000,000	2013/06/27	2013/12/30	75.00
25275	UBS AG - ICBC European Warrants Dec 2013 B	100,000,000	2013/06/27	2013/12/27	28.00
25261	UBS AG - Ping An European Warrants Dec 2013 B	100,000,000	2013/06/27	2013/12/27	42.00
25377	BOCI Asia Ltd. - HSBC European Warrants Dec 2013 A	100,000,000	2013/06/28	2013/12/16	32.00
25376	BOCI Asia Ltd. - HSI European Warrants Dec 2013 D	100,000,000	2013/06/28	2013/12/30	18.00
25375	BOCI Asia Ltd. - HSI European Put Warrants Dec 2013 C	100,000,000	2013/06/28	2013/12/30	20.00
25385	Credit Suisse AG - Agricultural Bank European Wts Jan 2014 A	70,000,000	2013/06/28	2014/01/10	10.50
25384	Credit Suisse AG - AIA European Warrants Oct 2013 A	70,000,000	2013/06/28	2013/10/21	12.60
25423	Credit Suisse AG - BOCL European Warrants Jan 2014 A	70,000,000	2013/06/28	2014/01/13	17.50
25421	Credit Suisse AG - CC Bank European Warrants Dec 2013 B	70,000,000	2013/06/28	2013/12/30	21.70
25381	Credit Suisse AG - CSOP A50 ETF European Warrants Jul 2015 A	100,000,000	2013/06/28	2015/07/06	17.00
25386	Credit Suisse AG - HKEx European Warrants Oct 2013 C	70,000,000	2013/06/28	2013/10/21	24.50
25390	Credit Suisse AG - HKEx European Warrants Jan 2014 A	70,000,000	2013/06/28	2014/01/27	10.50
25422	Credit Suisse AG - ICBC European Warrants Dec 2013 A	70,000,000	2013/06/28	2013/12/30	23.80
25382	Credit Suisse AG - Link REIT European Warrants Jan 2014 A	60,000,000	2013/06/28	2014/01/27	10.80
25391	Credit Suisse AG - Ping An European Warrants Oct 2013 C	70,000,000	2013/06/28	2013/10/02	11.20
25380	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Jan 2014 B	100,000,000	2013/06/28	2014/01/30	25.00
25379	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Dec 2013 D	100,000,000	2013/06/28	2013/12/27	17.00
25403	Daiwa Capital Mkt - CSOP A50 ETF European Wts Dec 2013 B	98,000,000	2013/06/28	2013/12/27	32.34
25418	Daiwa Capital Mkt - CSOP A50 ETF European Put Wts Dec 2014 A	98,000,000	2013/06/28	2014/12/23	14.70
25410	Daiwa Capital Mkt - HSI European Warrants Dec 2013 D	200,000,000	2013/06/28	2013/12/30	34.00
25417	Daiwa Capital Mkt - HSI European Warrants Dec 2013 E	200,000,000	2013/06/28	2013/12/30	30.00
25326	HK Bank - HSI European Warrants Dec 2013 H	200,000,000	2013/06/28	2013/12/30	30.00
25336	J P Morgan SP BV - AIA European Warrants Jan 2014 B	200,000,000	2013/06/28	2014/01/02	50.00
25374	J P Morgan SP BV - CAM CSI300 European Warrants Mar 2014 A	200,000,000	2013/06/28	2014/03/31	50.00
25355	J P Morgan SP BV - BEA European Warrants Jul 2014 A	50,000,000	2013/06/28	2014/07/02	12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25334	J P Morgan SP BV - BOCL European Warrants Dec 2013 A	200,000,000	2013/06/28	2013/12/31	50.00
25354	J P Morgan SP BV - BYD European Warrants Jan 2014 A	80,000,000	2013/06/28	2014/01/02	21.36
25352	J P Morgan SP BV - Ch Overseas European Warrants Jul 2014 A	180,000,000	2013/06/28	2014/07/02	45.00
25351	J P Morgan SP BV - Chi Res Land European Warrants Feb 2014 A	100,000,000	2013/06/28	2014/02/05	25.00
25327	J P Morgan SP BV - Foxconn European Warrants Jan 2014 A	40,000,000	2013/06/28	2014/01/02	12.08
25348	J P Morgan SP BV - HS Bank European Warrants Jan 2014 A	40,000,000	2013/06/28	2014/01/02	10.00
25347	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Dec 2013 E	200,000,000	2013/06/28	2013/12/30	50.00
25330	J P Morgan SP BV - HSI European Warrants Dec 2013 H	500,000,000	2013/06/28	2013/12/30	125.00
25346	J P Morgan SP BV - JX Copper European Warrants Jul 2014 A	100,000,000	2013/06/28	2014/07/02	15.10
25353	J P Morgan SP BV - Lumena Newmat European Wts Jan 2014 A	60,000,000	2013/06/28	2014/01/13	10.08
25328	J P Morgan SP BV - Ping An European Warrants Dec 2013 C	200,000,000	2013/06/28	2013/12/31	50.00
25345	J P Morgan SP BV - Sands China European Warrants Jan 2014 A	150,000,000	2013/06/28	2014/01/02	37.50
25340	J P Morgan SP BV - ZTE European Warrants Jul 2014 A	80,000,000	2013/06/28	2014/07/02	20.00
25318	Macquarie Bank Ltd. - China EB Ltd Euro Warrants Mar 2014 A	100,000,000	2013/06/28	2014/03/03	15.10
25419	Macquarie Bank Ltd. - Haitong Sec European Wts May 2014 A	80,000,000	2013/06/28	2014/05/26	12.00
25357	Standard Chartered Bank - Anhui Conch Euro Wts Mar 2014 A	80,000,000	2013/06/28	2014/03/05	20.00
25369	Standard Chartered Bank - BOCL European Put Wts Feb 2014 A	100,000,000	2013/06/28	2014/02/05	25.00
25361	Standard Chartered Bank - BYD European Warrants Oct 2013 B	80,000,000	2013/06/28	2013/10/28	24.00
25356	Standard Chartered Bank - CC Bank European Wts Jan 2014 B	100,000,000	2013/06/28	2014/01/09	25.00
25371	Standard Chartered Bank - China Coal European Wts Jan 2014 B	100,000,000	2013/06/28	2014/01/28	40.00
25372	Standard Chartered Bank - China Life European Wts Jun 2014 B	100,000,000	2013/06/28	2014/06/06	30.00
25370	Standard Chartered Bank - China Life Euro Put Wts Jun 2014 A	100,000,000	2013/06/28	2014/06/27	25.00
25363	Standard Chartered Bank - ICBC European Warrants Jan 2014 A	100,000,000	2013/06/28	2014/01/06	26.00
25368	Standard Chartered Bank - ICBC European Put Wts Jan 2014 B	100,000,000	2013/06/28	2014/01/06	26.00
25320	SGA Societe Generale Acceptance N.V. - Ch Overseas Eu Wt Dec13B	100,000,000	2013/06/28	2013/12/30	15.00
25321	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2013 G	300,000,000	2013/06/28	2013/12/30	75.00
25322	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2013 H	300,000,000	2013/06/28	2013/12/30	75.00
25323	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 13 I	300,000,000	2013/06/28	2013/12/30	75.00
25324	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 13 J	300,000,000	2013/06/28	2013/12/30	99.00
25395	UBS AG - HSCEI European Put Warrants Feb 2014 A	100,000,000	2013/06/28	2014/02/27	25.00
25400	UBS AG - PetroChina European Warrants Dec 2013 B	70,000,000	2013/06/28	2013/12/30	17.50
25401	UBS AG - SPDR Gold Trust European Warrants Dec 2013 A	100,000,000	2013/06/28	2013/12/30	45.00
25399	UBS AG - Tencent Holdings European Warrants Dec 2013 B	100,000,000	2013/06/28	2013/12/03	18.00
總 額					41,005.85

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2012	第2季	—	1,069.72
	第3季	—	742.85
	第4季	—	567.60
2013	第1季	—	585.65
	第2季	—	1,818.61

債券面值

		發行數目	面值(百萬港元)
2012	第2季	231	754,232.63
	第3季	247	811,545.76
	第4季	269	883,784.51
2013	第1季	299	960,682.29
	第2季	355	1,185,676.77

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2012	第2季	30	113,905.00
	第3季	26	77,396.31
	第4季	29	80,894.77
2013	第1季	37	113,959.19
	第2季	69	237,646.45

主板 債務證券

新上市債券

2013年第2季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
85916	China Minmetals Corporation 3.65%人民幣債券2016年	RMB	2,500,000,000	2013/04/02	2016/03/28	3,122.50
05916	Ch State Construct Fin (Cayman) I Ltd. 3.125%有擔保票據2018年	USD	500,000,000	2013/04/03	2018/04/02	3,882.14
85917	帝盛酒店集團有限公司6%人民幣債券2018年	RMB	850,000,000	2013/04/05	2018/04/03	1,064.12
05902#	綠城中國控股有限公司8.50%優先票據2018年	USD	300,000,000	2013/04/08	2018/02/04	2,398.50
05917	SmarTone Finance Ltd. 3.875%有擔保票據2023年	USD	200,000,000	2013/04/09	2023/04/08	1,542.92
05919	Central Plaza Development Ltd. 8.375%高級永續證券	USD	400,000,000	2013/04/09	—	3,120.00
05918	中信泰富有限公司6.375%票據2020年	USD	500,000,000	2013/04/11	2020/04/10	3,900.00
05920	旭輝控股(集團)有限公司12.25%優先票據2018年	USD	275,000,000	2013/04/16	2018/04/15	2,145.00
05921	CNPC General Capital Ltd. 1.45%有擔保優先票據2016年	USD	750,000,000	2013/04/17	2016/04/16	5,844.03
05922	CNPC General Capital Ltd. 1.95%有擔保優先票據2018年	USD	500,000,000	2013/04/17	2018/04/16	3,900.00
05923	CNPC General Capital Ltd. 3.40%有擔保優先票據2023年	USD	750,000,000	2013/04/17	2023/04/16	5,848.01
05924	FPC Treasury Ltd. 4.5%有擔保債券2023年	USD	400,000,000	2013/04/17	2023/04/16	3,120.00
85918	泛海國際集團有限公司6.5%優先人民幣票據2018年	RMB	500,000,000	2013/04/18	2018/04/17	627.60
05925	天虹紡織集團有限公司6.5%優先票據2019年	USD	200,000,000	2013/04/19	2019/01/18	1,551.00
05926	盈德氣體投資有限公司8.125%優先票據2018年	USD	300,000,000	2013/04/23	2018/04/22	2,328.21
05927	Sinopec Capital (2013) Ltd. 1.25%優先票據2016年	USD	750,000,000	2013/04/25	2016/04/24	5,834.73
05928	Sinopec Capital (2013) Ltd. 1.875%優先票據2018年	USD	1,000,000,000	2013/04/25	2018/04/24	7,790.02
05929	Sinopec Capital (2013) Ltd. 3.125%優先票據2023年	USD	1,250,000,000	2013/04/25	2023/04/24	9,693.65
05930	Sinopec Capital (2013) Ltd. 4.25%優先票據2043年	USD	500,000,000	2013/04/25	2043/04/24	3,874.46
85919	中國大唐集團公司3.6%人民幣債券2016年	RMB	2,500,000,000	2013/04/26	2016/04/25	3,141.00
05931	中油燃氣集團有限公司5.25%優先票據2018年	USD	350,000,000	2013/04/26	2018/04/25	2,730.00
05934	香港按揭證券有限公司浮息票據2014年	USD	240,000,000	2013/04/26	2014/04/25	1,872.00
85920	麗豐控股有限公司6.875%優先人民幣票據2018年	RMB	1,800,000,000	2013/04/26	2018/04/25	2,261.52
05932	五礦建設資本有限公司5.50%有擔保債券2018年	USD	225,000,000	2013/04/29	2018/04/26	1,755.00
05933	五礦建設資本有限公司6.50%有擔保債券2023年	USD	125,000,000	2013/04/29	2023/04/26	975.00
85921	金輪天地控股有限公司11.25%優先人民幣票據2016年	RMB	600,000,000	2013/05/03	2016/04/25	755.04
05935	Sinochem Global Capital Co., Ltd. 次級永久資本債券	USD	600,000,000	2013/05/03	—	4,680.00
05926#	盈德氣體投資有限公司8.125%優先票據2018年	USD	125,000,000	2013/05/03	2018/04/22	1,007.91
05936	百盛商業集團有限公司4.50%票據2018年	USD	500,000,000	2013/05/06	2018/05/03	3,900.00
05937	CITIC Sec Fin 2013 Co., Ltd. 2.5%債券2018年	USD	800,000,000	2013/05/06	2018/05/03	6,224.58
05938	Beijing Enterprises Water CapMgt Ltd. 4.625%有擔保債券2018年	USD	500,000,000	2013/05/07	2018/05/06	3,900.00
04217	中華人民共和國香港特別行政區0.34%債券2016年	HKD	3,000,000,000	2013/05/10	2016/05/09	2,991.90
05939	CNOOC Finance (2013) Ltd. 1.125%有擔保票據2016年	USD	750,000,000	2013/05/10	2016/05/09	5,829.41
05940	CNOOC Finance (2013) Ltd. 1.75%有擔保票據2018年	USD	750,000,000	2013/05/10	2018/05/09	5,823.03
05941	CNOOC Finance (2013) Ltd. 3.00%有擔保票據2023年	USD	2,000,000,000	2013/05/10	2023/05/09	15,362.42
05942	CNOOC Finance (2013) Ltd. 4.25%有擔保票據2043年	USD	500,000,000	2013/05/10	2043/05/09	3,842.09
85922	綠城中國控股有限公司5.625%優先人民幣票據2016年	RMB	2,500,000,000	2013/05/14	2016/05/13	3,158.25
05943	Yuexiu REIT MTN Co. Ltd. 3.10%票據2018年	USD	350,000,000	2013/05/15	2018/05/14	2,730.00
05944	Want Want China Finance Ltd. 1.875%有擔保優先票據2018年	USD	600,000,000	2013/05/15	2018/05/14	4,675.13
85923	I.T Ltd. 6.25%優先人民幣票據2018年	RMB	1,000,000,000	2013/05/16	2018/05/15	1,263.30
05945	CRCC Yuxiang Ltd. 3.50%有擔保票據2023年	USD	800,000,000	2013/05/20	2023/05/16	6,218.60
05946	保利置業集團有限公司4.75%票據2018年	USD	500,000,000	2013/05/20	2018/05/16	3,900.00
05947	Societe Generale可贖回零息債券票據2043年	USD	50,000,000	2013/05/21	2043/05/21	390.00
04038	香港金融管理局0.15%外匯基金票據2015年	HKD	1,200,000,000	2013/05/21	2015/05/20	1,198.92

主 板 債 務 證 券

股份 代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
05948	Bright Food Hong Kong Ltd. 3%有擔保票據2018年	USD	500,000,000	2013/05/22	2018/05/21	3,877.97
05949	IFC Development (Corp Treasury) Ltd. 2.375%有擔保票據2019年	USD	500,000,000	2013/05/22	2019/05/21	3,868.69
05950	金鷹商貿集團有限公司4.625%票據2023年	USD	400,000,000	2013/05/22	2023/05/21	3,106.93
05951	中信泰富有限公司8.625%次級永久資本債券	USD	800,000,000	2013/05/23	—	6,240.00
05951#	中信泰富有限公司8.625%次級永久資本債券	USD	200,000,000	2013/05/23	—	1,567.80
05952	R-REIT International Finance Ltd. 4.1%有擔保票據2018年	USD	150,000,000	2013/05/23	2018/05/22	1,164.77
05953	State Grid Overseas Invest (2013) Ltd. 1.75%有擔保優先票據2018年	USD	500,000,000	2013/05/23	2018/05/22	3,893.88
05954	State Grid Overseas Invest (2013) Ltd. 3.125%有擔保優先票據2023年	USD	1,000,000,000	2013/05/23	2023/05/22	7,720.60
05955	State Grid Overseas Invest (2013) Ltd. 4.375%有擔保優先票據2043年	USD	500,000,000	2013/05/23	2043/05/22	3,825.20
85925	Brave Rise Investments Ltd. 4.7%有擔保人民幣債券2016年	RMB	230,000,000	2013/05/27	2016/05/24	291.00
85924	寶龍地產控股有限公司9.50%優先人民幣票據2016年	RMB	800,000,000	2013/05/28	2016/05/27	1,012.48
05956	中國大冶有色金屬礦業有限公司0.5%美元結算 可換股債券2018年	RMB	820,000,000	2013/05/31	2018/05/30	1,038.86
04039	香港金融管理局1.09%外匯基金票據2023年	HKD	800,000,000	2013/06/06	2023/06/05	772.08
85926	Eastern Air Overseas (HK) Corp. Ltd. 3.875%有擔保人民幣債券2016年	RMB	2,200,000,000	2013/06/06	2016/06/05	2,785.20
85927	統一企業中國控股有限公司3.5%人民幣票據2016年	RMB	1,000,000,000	2013/06/07	2016/06/06	1,266.20
05957	Huaneng Hong Kong Capital Ltd. 3.375%有擔保債券2018年	USD	400,000,000	2013/06/13	2018/06/11	3,112.60
05958	香港鐵路有限公司3.65%票據2043年	USD	90,000,000	2013/06/18	2043/06/17	702.00
04040	香港金融管理局7.24%外匯基金票據2003年	HKD	1,000,000,000	2013/06/18	2018/06/18	999.50
04015#	香港金融管理局1.57%外匯基金票據2016年	HKD	1,200,000,000	2013/06/18	2016/06/13	1,241.64
05959	Societe Generale可贖回零息債券票據2043年A	USD	25,000,000	2013/06/18	2043/06/18	195.00
05960	Societe Generale可贖回零息債券票據2043年B	USD	30,000,000	2013/06/21	2043/06/21	234.00
05961	Societe Generale可贖回零息債券票據2043年C	USD	20,000,000	2013/06/24	2043/06/24	156.00
04218	中華人民共和國香港特別行政區政府通脹掛鈎債券2016年	HKD	10,000,000,000	2013/06/25	2016/06/24	10,000.00
86607	中華人民共和國財政部2.87%人民幣債券2016年	RMB	7,000,000,000	2013/06/28	2016/06/27	8,831.90
86608	中華人民共和國財政部3.02%人民幣債券2018年	RMB	2,700,000,000	2013/06/28	2018/06/27	3,406.59
86609	中華人民共和國財政部3.09%人民幣債券2020年	RMB	1,200,000,000	2013/06/28	2020/06/27	1,514.04
86610	中華人民共和國財政部3.16%人民幣債券2023年	RMB	1,100,000,000	2013/06/28	2023/06/27	1,387.87
86611	中華人民共和國財政部3.60%人民幣債券2028年	RMB	500,000,000	2013/06/28	2028/06/27	630.85
86612	中華人民共和國財政部3.95%人民幣債券2043年	RMB	500,000,000	2013/06/28	2043/06/27	630.85
總額						237,646.45

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬元)	房地產投資 信託基金 (REITs) (百萬元)	其他 (百萬元)	合計 (百萬元)	佔市場 百分比
2012	第2季	92,849.35	16,848.41	66.55	109,764.32	3.59
	第3季	99,979.83	14,391.15	105.35	114,476.33	3.86
	第4季	213,637.42	22,489.26	51.26	236,177.94	6.93
2013	第1季	309,073.10	22,090.25	84.66	331,248.01	7.57
	第2季	232,045.35	27,829.00	41.10	259,915.45	6.98

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬元)		房地產投資 信託基金 (REIT) (百萬元)		其他 (百萬元)		合計 (百萬元)	
		發行數目		發行數目		發行數目		發行數目	
2012	第2季	92	711,788.89	9	136,167.04	2	2,367.38	103	850,323.31
	第3季	96	808,879.98	9	158,736.84	2	2,157.57	107	969,774.39
	第4季	100	839,802.08	9	174,124.01	2	1,474.98	111	1,015,401.07
2013	第1季	100	808,762.75	9	188,509.03	2	1,445.61	111	998,717.39
	第2季	110	577,695.30	9	174,766.41	2	1,290.35	121	753,752.05

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬元)
2012	第2季	3	—
	第3季	4	—
	第4季	4	—
2013	第1季	—	—
	第2季	10	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2013年第2季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
03013	db x-trackers MSCI亞洲(日本除外) 高收益指數 UCITS ETF	DB Platinum Advisors	n.a.	n.a.	n.a.	n.a.	2013/04/11
03105	db x-trackers MSCI孟加拉可投資 市場指數 UCITS ETF	DB Platinum Advisors	n.a.	n.a.	n.a.	n.a.	2013/04/11
03106	db x-trackers MSCI巴基斯坦可投資 市場指數 UCITS ETF	DB Platinum Advisors	n.a.	n.a.	n.a.	n.a.	2013/04/11
03016	db x-trackers MSCI菲律賓可投資 市場指數 UCITS ETF	DB Platinum Advisors	n.a.	n.a.	n.a.	n.a.	2013/04/11
03065	db x-trackers MSCI新加坡可投資 市場指數 UCITS ETF	DB Platinum Advisors	n.a.	n.a.	n.a.	n.a.	2013/04/11
02805	領航富時亞洲(日本除外)指數ETF	The Vanguard Group, Inc.	n.a.	n.a.	n.a.	n.a.	2013/05/15
83136/ 03136	嘉實MSCI中國A 50指數ETF	嘉實國際資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2013/06/06
03040	Horizons MSCI中國ETF	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2013/06/17
03110	Horizons 恒生高股息率ETF	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2013/06/17
83139/ 03139	iShares安碩人民幣債券指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2013/06/18

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2012	第2季	1,021	46,730.67	385,316.23
	第3季	1,104	49,099.81	367,897.88
	第4季	1,214	53,414.12	315,589.20
2013	第1季	1,276	45,190.12	355,912.23
	第2季	1,222	52,676.48	326,008.67

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2012	第2季	1,530	91,955.65
	第3季	1,656	94,844.71
	第4季	1,451	80,018.42
2013	第1季	1,922	100,336.26
	第2季	2,415	132,125.62

主板 可收回牛熊證

新上市牛熊證

2013年第2季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66727	Credit Suisse AG - HSI R Bull CBBC Nov 2013 O	300,000,000	2013/04/02	2013/11/28	75.00
66763	Credit Suisse AG - HSI R Bear CBBC Sep 2013 F	300,000,000	2013/04/02	2013/09/27	75.00
66733	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 A	150,000,000	2013/04/02	2013/07/30	37.80
66734	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 B	150,000,000	2013/04/02	2013/07/30	38.85
66735	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 C	150,000,000	2013/04/02	2013/07/30	38.25
66739	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 D	150,000,000	2013/04/02	2013/07/30	37.50
66744	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 E	150,000,000	2013/04/02	2013/07/30	39.15
66706	HK Bank - A50 R Bull CBBC Sep 2013 F	100,000,000	2013/04/02	2013/09/16	25.00
66709	HK Bank - A50 R Bull CBBC Nov 2013 B	100,000,000	2013/04/02	2013/11/06	29.00
66705	HK Bank - HSI R Bull CBBC Aug 2013 K	100,000,000	2013/04/02	2013/08/29	25.00
66720	HK Bank - TCH R Bull CBBC Nov 2013 B	50,000,000	2013/04/02	2013/11/25	26.50
66712	HK Bank - TCH R Bear CBBC Aug 2013 D	50,000,000	2013/04/02	2013/08/12	15.00
66747	UBS AG - China Life R Bull CBBC Oct 2013 A	100,000,000	2013/04/02	2013/10/07	25.00
66748	UBS AG - HKEx R Bull CBBC Dec 2013 A	100,000,000	2013/04/02	2013/12/16	25.00
66756	UBS AG - HSBC R Bear CBBC Sep 2013 B	100,000,000	2013/04/02	2013/09/09	25.00
66731	UBS AG - HSI R Bull CBBC Feb 2014 C	350,000,000	2013/04/02	2014/02/27	87.50
66745	UBS AG - HSI R Bull CBBC Mar 2014 A	350,000,000	2013/04/02	2014/03/28	87.50
66764	UBS AG - HSI R Bear CBBC Sep 2013 Q	350,000,000	2013/04/02	2013/09/27	87.50
66765	UBS AG - HSI R Bear CBBC Sep 2013 R	300,000,000	2013/04/02	2013/09/27	75.00
66752	UBS AG - Hutchison R Bull CBBC Dec 2013 A	100,000,000	2013/04/02	2013/12/16	25.00
66786	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 D	300,000,000	2013/04/03	2013/07/30	75.00
66784	Credit Suisse AG - HSI R Bear CBBC Sep 2013 G	300,000,000	2013/04/03	2013/09/27	75.00
66785	Credit Suisse AG - HSI R Bear CBBC Sep 2013 H	300,000,000	2013/04/03	2013/09/27	75.00
66793	Credit Suisse AG - HSI R Bear CBBC Sep 2013 I	300,000,000	2013/04/03	2013/09/27	75.00
66798	UBS AG - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/04/03	2013/12/30	75.00
66807	UBS AG - HSI R Bull CBBC Dec 2013 J	350,000,000	2013/04/03	2013/12/30	87.50
66787	UBS AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/04/03	2013/08/29	75.00
66788	UBS AG - HSI R Bear CBBC Sep 2013 S	300,000,000	2013/04/03	2013/09/27	75.00
66841	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/04/05	2013/12/30	75.00
66903	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/04/05	2013/12/30	75.00
66842	Credit Suisse AG - HSI R Bull CBBC Nov 2013 P	300,000,000	2013/04/05	2013/11/28	75.00
66845	Credit Suisse AG - HSI R Bull CBBC Nov 2013 Q	300,000,000	2013/04/05	2013/11/28	75.00
66894	Credit Suisse AG - HSI R Bull CBBC Nov 2013 R	300,000,000	2013/04/05	2013/11/28	75.00
66857	Credit Suisse AG - HSI R Bear CBBC Sep 2013 J	300,000,000	2013/04/05	2013/09/27	75.00
66829	HK Bank - CC Bank R Bull CBBC Aug 2013 D	80,000,000	2013/04/05	2013/08/28	20.00
66827	HK Bank - China Life R Bear CBBC Sep 2013 C	50,000,000	2013/04/05	2013/09/30	14.00
66809	HK Bank - HSCEI R Bull CBBC Dec 2013 C	100,000,000	2013/04/05	2013/12/30	25.00
66828	HK Bank - SHK Ppt R Bear CBBC Sep 2013 C	50,000,000	2013/04/05	2013/09/23	12.50
66817	HK Bank - TCH R Bull CBBC Dec 2013 A	50,000,000	2013/04/05	2013/12/23	25.50
66811	HK Bank - TCH R Bear CBBC Nov 2013 A	50,000,000	2013/04/05	2013/11/06	16.00
66850	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 J	100,000,000	2013/04/05	2013/07/30	25.00
66899	UBS AG - HSCEI R Bull CBBC Oct 2013 D	100,000,000	2013/04/05	2013/10/30	25.00
66896	UBS AG - HSI R Bull CBBC Nov 2013 T	300,000,000	2013/04/05	2013/11/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66846	UBS AG - HSI R Bull CBBC Mar 2014 B	350,000,000	2013/04/05	2014/03/28	87.50
66861	UBS AG - HSI R Bear CBBC Sep 2013 T	350,000,000	2013/04/05	2013/09/27	87.50
66870	UBS AG - HSI R Bear CBBC Sep 2013 U	350,000,000	2013/04/05	2013/09/27	87.50
66986	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/04/08	2013/12/30	75.00
66945	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 E	300,000,000	2013/04/08	2013/07/30	75.00
66936	Credit Suisse AG - HSI R Bull CBBC Nov 2013 S	300,000,000	2013/04/08	2013/11/28	75.00
66993	Credit Suisse AG - HSI R Bull CBBC Nov 2013 T	300,000,000	2013/04/08	2013/11/28	75.00
66994	Credit Suisse AG - HSI R Bull CBBC Nov 2013 U	300,000,000	2013/04/08	2013/11/28	75.00
66995	Credit Suisse AG - HSI R Bull CBBC Nov 2013 V	300,000,000	2013/04/08	2013/11/28	75.00
66937	Credit Suisse AG - HSI R Bear CBBC Sep 2013 K	300,000,000	2013/04/08	2013/09/27	75.00
66942	Credit Suisse AG - HSI R Bear CBBC Sep 2013 L	300,000,000	2013/04/08	2013/09/27	75.00
66906	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 F	150,000,000	2013/04/08	2013/07/30	37.95
67003	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 G	150,000,000	2013/04/08	2013/07/30	37.65
67017	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 H	150,000,000	2013/04/08	2013/07/30	38.85
67019	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 I	150,000,000	2013/04/08	2013/07/30	37.80
67020	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 J	150,000,000	2013/04/08	2013/07/30	38.55
67037	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 K	150,000,000	2013/04/08	2013/07/30	38.85
67042	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 L	150,000,000	2013/04/08	2013/07/30	37.95
66909	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 A	150,000,000	2013/04/08	2013/07/30	38.40
66928	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 B	150,000,000	2013/04/08	2013/07/30	38.40
66951	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 R	100,000,000	2013/04/08	2013/09/27	25.00
66985	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 S	100,000,000	2013/04/08	2013/09/27	25.00
66933	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 K	100,000,000	2013/04/08	2013/07/30	25.00
67001	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 I	200,000,000	2013/04/08	2013/07/30	50.00
67002	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 I	200,000,000	2013/04/08	2013/07/30	50.00
66988	UBS AG - HSI R Bull CBBC Oct 2013 Z	300,000,000	2013/04/08	2013/10/30	75.00
66991	UBS AG - HSI R Bull CBBC Feb 2014 D	300,000,000	2013/04/08	2014/02/27	75.00
66990	UBS AG - HSI R Bull CBBC Mar 2014 C	350,000,000	2013/04/08	2014/03/28	87.50
66946	UBS AG - HSI R Bear CBBC Jul 2013 Z	300,000,000	2013/04/08	2013/07/30	75.00
66949	UBS AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/04/08	2013/08/29	75.00
67056	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/04/09	2013/12/30	75.00
67064	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 F	300,000,000	2013/04/09	2013/07/30	75.00
67052	Credit Suisse AG - HSI R Bull CBBC Nov 2013 W	300,000,000	2013/04/09	2013/11/28	75.00
67054	Credit Suisse AG - HSI R Bull CBBC Nov 2013 X	300,000,000	2013/04/09	2013/11/28	75.00
67049	Credit Suisse AG - HSI R Bear CBBC Sep 2013 M	300,000,000	2013/04/09	2013/09/27	75.00
67070	Credit Suisse AG - HSI R Bear CBBC Sep 2013 N	300,000,000	2013/04/09	2013/09/27	75.00
67077	Credit Suisse AG - HSI R Bear CBBC Sep 2013 O	300,000,000	2013/04/09	2013/09/27	75.00
67079	Credit Suisse AG - HSI R Bear CBBC Sep 2013 P	300,000,000	2013/04/09	2013/09/27	75.00
67044	HK Bank - HSI R Bull CBBC Oct 2013 H	100,000,000	2013/04/09	2013/10/30	25.00
67045	HK Bank - HSI R Bear CBBC Nov 2013 K	400,000,000	2013/04/09	2013/11/28	100.00
67063	UBS AG - HSI R Bull CBBC Nov 2013 U	300,000,000	2013/04/09	2013/11/28	75.00
67058	UBS AG - HSI R Bull CBBC Feb 2014 E	350,000,000	2013/04/09	2014/02/27	87.50
67084	UBS AG - HSI R Bear CBBC Jul 2013 S	300,000,000	2013/04/09	2013/07/30	75.00
67086	UBS AG - HSI R Bear CBBC Aug 2013 O	350,000,000	2013/04/09	2013/08/29	87.50
67097	Credit Suisse AG - HSI R Bull CBBC Oct 2013 N	300,000,000	2013/04/10	2013/10/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67098	Credit Suisse AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/04/10	2013/07/30	75.00
67132	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 M	150,000,000	2013/04/10	2013/07/30	38.10
67124	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 C	150,000,000	2013/04/10	2013/07/30	38.70
67087	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 N	200,000,000	2013/04/10	2013/07/30	50.00
67088	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 J	200,000,000	2013/04/10	2013/07/30	50.00
67100	UBS AG - CNBM R Bear CBBC Sep 2013 A	50,000,000	2013/04/10	2013/09/09	13.00
67101	UBS AG - A50 China R Bear CBBC Aug 2013 C	100,000,000	2013/04/10	2013/08/12	25.00
67107	UBS AG - Galaxy Ent R Bear CBBC Aug 2013 A	50,000,000	2013/04/10	2013/08/26	23.50
67099	UBS AG - HKEx R Bear CBBC Sep 2013 A	100,000,000	2013/04/10	2013/09/30	25.00
67117	UBS AG - HSI R Bull CBBC Nov 2013 V	300,000,000	2013/04/10	2013/11/28	75.00
67123	UBS AG - HSI R Bull CBBC Dec 2013 K	350,000,000	2013/04/10	2013/12/30	87.50
67119	UBS AG - HSI R Bull CBBC Feb 2014 F	350,000,000	2013/04/10	2014/02/27	87.50
67091	UBS AG - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/04/10	2013/08/29	75.00
67108	UBS AG - SHK Ppt R Bear CBBC Nov 2013 A	100,000,000	2013/04/10	2013/11/04	25.00
67106	UBS AG - TCH R Bear CBBC Sep 2013 A	100,000,000	2013/04/10	2013/09/02	25.50
67152	Credit Suisse AG - HSI R Bull CBBC Oct 2013 O	300,000,000	2013/04/11	2013/10/30	75.00
67154	Credit Suisse AG - HSI R Bear CBBC Jul 2013 Z	300,000,000	2013/04/11	2013/07/30	75.00
67134	HK Bank - CUni R Bear CBBC Sep 2013 A	40,000,000	2013/04/11	2013/09/23	10.40
67133	HK Bank - China Life R Bull CBBC Dec 2013 A	60,000,000	2013/04/11	2013/12/23	27.00
67140	HK Bank - China Shenhua R Bear CBBC Dec 2013 B	40,000,000	2013/04/11	2013/12/18	10.00
67135	HK Bank - Yanzhou Coal R Bull CBBC Nov 2013 A	50,000,000	2013/04/11	2013/11/25	12.50
67150	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 O	200,000,000	2013/04/11	2013/07/30	50.00
67151	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 K	200,000,000	2013/04/11	2013/07/30	50.00
67160	UBS AG - CSOP A50 ETF R Bear CBBC Jul 2013 D	100,000,000	2013/04/11	2013/07/22	25.00
67153	UBS AG - HSI R Bull CBBC Oct 2013 A	300,000,000	2013/04/11	2013/10/30	75.00
67158	UBS AG - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/04/11	2013/12/30	75.00
67155	UBS AG - HSI R Bear CBBC Jul 2013 U	300,000,000	2013/04/11	2013/07/30	75.00
67156	UBS AG - HSI R Bear CBBC Sep 2013 V	300,000,000	2013/04/11	2013/09/27	75.00
67311	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 G	200,000,000	2013/04/12	2013/12/30	50.00
67179	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/04/12	2013/12/30	75.00
67181	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/04/12	2013/12/30	75.00
67184	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/04/12	2013/12/30	75.00
67175	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/04/12	2013/12/30	75.00
67177	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/04/12	2013/12/30	75.00
67312	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 G	300,000,000	2013/04/12	2013/07/30	75.00
67314	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 H	300,000,000	2013/04/12	2013/07/30	75.00
67315	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 I	300,000,000	2013/04/12	2013/07/30	75.00
67316	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 J	300,000,000	2013/04/12	2013/07/30	75.00
67317	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 K	300,000,000	2013/04/12	2013/07/30	75.00
67318	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 L	300,000,000	2013/04/12	2013/07/30	75.00
67324	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 M	300,000,000	2013/04/12	2013/07/30	75.00
67335	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 N	300,000,000	2013/04/12	2013/07/30	75.00
67389	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 O	300,000,000	2013/04/12	2013/07/30	75.00
67186	Credit Suisse AG - HSI R Bull CBBC Sep 2013 E	300,000,000	2013/04/12	2013/09/27	75.00
67185	Credit Suisse AG - HSI R Bull CBBC Sep 2013 X	300,000,000	2013/04/12	2013/09/27	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67196	Credit Suisse AG - HSI R Bull CBBC Oct 2013 P	300,000,000	2013/04/12	2013/10/30	75.00
67199	Credit Suisse AG - HSI R Bull CBBC Oct 2013 W	300,000,000	2013/04/12	2013/10/30	75.00
67359	Credit Suisse AG - HSI R Bull CBBC Nov 2013 B	300,000,000	2013/04/12	2013/11/28	75.00
67208	Credit Suisse AG - HSI R Bull CBBC Nov 2013 Y	300,000,000	2013/04/12	2013/11/28	75.00
67209	Credit Suisse AG - HSI R Bull CBBC Nov 2013 Z	300,000,000	2013/04/12	2013/11/28	75.00
67432	Credit Suisse AG - HSI R Bear CBBC Jul 2013 A	300,000,000	2013/04/12	2013/07/30	75.00
67433	Credit Suisse AG - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/04/12	2013/07/30	75.00
67367	Credit Suisse AG - HSI R Bear CBBC Jul 2013 R	300,000,000	2013/04/12	2013/07/30	75.00
67381	Credit Suisse AG - HSI R Bear CBBC Jul 2013 T	300,000,000	2013/04/12	2013/07/30	75.00
67378	Credit Suisse AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/04/12	2013/08/29	75.00
67412	Credit Suisse AG - HSI R Bear CBBC Aug 2013 U	300,000,000	2013/04/12	2013/08/29	75.00
67422	Credit Suisse AG - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/04/12	2013/08/29	75.00
67255	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 N	150,000,000	2013/04/12	2013/07/30	37.65
67258	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 O	150,000,000	2013/04/12	2013/07/30	38.10
67259	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 P	150,000,000	2013/04/12	2013/07/30	37.65
67260	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 D	150,000,000	2013/04/12	2013/07/30	37.80
67263	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 E	150,000,000	2013/04/12	2013/07/30	38.10
67264	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 F	150,000,000	2013/04/12	2013/07/30	37.50
67265	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 L	300,000,000	2013/04/12	2013/07/30	75.00
67271	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 M	300,000,000	2013/04/12	2013/07/30	75.00
67275	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 N	300,000,000	2013/04/12	2013/07/30	75.00
67276	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 O	300,000,000	2013/04/12	2013/07/30	75.00
67281	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 P	300,000,000	2013/04/12	2013/07/30	75.00
67435	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 D	60,000,000	2013/04/12	2013/09/27	15.00
67459	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 E	60,000,000	2013/04/12	2013/09/27	15.00
67460	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 F	60,000,000	2013/04/12	2013/09/27	15.00
67447	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 H	60,000,000	2013/04/12	2013/09/27	15.00
67449	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 I	60,000,000	2013/04/12	2013/09/27	15.00
67450	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 J	60,000,000	2013/04/12	2013/09/27	15.00
67451	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 K	60,000,000	2013/04/12	2013/09/27	15.00
67452	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 L	60,000,000	2013/04/12	2013/09/27	15.00
67461	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 M	60,000,000	2013/04/12	2013/09/27	15.00
67174	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 T	100,000,000	2013/04/12	2013/09/27	25.00
67358	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 U	100,000,000	2013/04/12	2013/09/27	25.00
67336	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 L	100,000,000	2013/04/12	2013/07/30	25.00
67338	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 M	100,000,000	2013/04/12	2013/07/30	25.00
67168	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 H	200,000,000	2013/04/12	2013/07/30	50.00
67299	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 J	200,000,000	2013/04/12	2013/07/30	50.00
67161	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 M	200,000,000	2013/04/12	2013/07/30	50.00
67282	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 O	200,000,000	2013/04/12	2013/08/29	50.00
67292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 P	200,000,000	2013/04/12	2013/08/29	50.00
67304	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 L	200,000,000	2013/04/12	2013/07/30	50.00
67309	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 M	200,000,000	2013/04/12	2013/07/30	50.00
67310	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 N	200,000,000	2013/04/12	2013/07/30	50.00
67529	UBS AG - China Life R Bull CBBC Aug 2013 B	100,000,000	2013/04/12	2013/08/19	45.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67528	UBS AG - China Life R Bear CBBC Dec 2013 A	100,000,000	2013/04/12	2013/12/09	25.00
67519	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2014 A	100,000,000	2013/04/12	2014/01/27	25.00
67506	UBS AG - Evergrande R Bull CBBC Mar 2014 A	50,000,000	2013/04/12	2014/03/17	12.50
67511	UBS AG - A50 China R Bull CBBC Jan 2014 C	100,000,000	2013/04/12	2014/01/27	25.00
67462	UBS AG - HSCEI R Bear CBBC Jul 2013 E	100,000,000	2013/04/12	2013/07/30	25.00
67463	UBS AG - HSCEI R Bear CBBC Aug 2013 B	100,000,000	2013/04/12	2013/08/29	25.00
67210	UBS AG - HSI R Bull CBBC Oct 2013 D	300,000,000	2013/04/12	2013/10/30	75.00
67240	UBS AG - HSI R Bull CBBC Nov 2013 W	300,000,000	2013/04/12	2013/11/28	75.00
67218	UBS AG - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/04/12	2013/12/30	75.00
67254	UBS AG - HSI R Bull CBBC Feb 2014 G	300,000,000	2013/04/12	2014/02/27	75.00
67225	UBS AG - HSI R Bull CBBC Mar 2014 D	350,000,000	2013/04/12	2014/03/28	87.50
67497	UBS AG - HSI R Bull CBBC Mar 2014 E	300,000,000	2013/04/12	2014/03/28	75.00
67498	UBS AG - HSI R Bull CBBC Mar 2014 F	300,000,000	2013/04/12	2014/03/28	75.00
67493	UBS AG - HSI R Bull CBBC May 2014 A	300,000,000	2013/04/12	2014/05/29	75.00
67496	UBS AG - HSI R Bull CBBC May 2014 B	300,000,000	2013/04/12	2014/05/29	75.00
67480	UBS AG - HSI R Bull CBBC Jul 2014 A	300,000,000	2013/04/12	2014/07/30	75.00
67470	UBS AG - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/04/12	2013/07/30	75.00
67473	UBS AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/04/12	2013/07/30	75.00
67464	UBS AG - HSI R Bear CBBC Jul 2013 X	300,000,000	2013/04/12	2013/07/30	75.00
67469	UBS AG - HSI R Bear CBBC Jul 2013 Y	300,000,000	2013/04/12	2013/07/30	75.00
67468	UBS AG - HSI R Bear CBBC Aug 2013 N	350,000,000	2013/04/12	2013/08/29	87.50
67534	UBS AG - HSI R Bear CBBC Aug 2013 S	350,000,000	2013/04/12	2013/08/29	87.50
67532	UBS AG - Petrochina R Bull CBBC Oct 2013 B	100,000,000	2013/04/12	2013/10/21	25.00
62125 [#]	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2012 H	180,000,000	2013/04/12	2012/08/30	20.88
67537	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/04/15	2013/12/30	75.00
67589	Credit Suisse AG - HSI R Bull CBBC Sep 2013 T	300,000,000	2013/04/15	2013/09/27	75.00
67593	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Z	300,000,000	2013/04/15	2013/09/27	75.00
67590	Credit Suisse AG - HSI R Bull CBBC Oct 2013 Q	300,000,000	2013/04/15	2013/10/30	75.00
67637	Credit Suisse AG - HSI R Bull CBBC Oct 2013 T	300,000,000	2013/04/15	2013/10/30	75.00
67551	Credit Suisse AG - HSI R Bull CBBC Oct 2013 X	300,000,000	2013/04/15	2013/10/30	75.00
67592	Credit Suisse AG - HSI R Bull CBBC Nov 2013 A	300,000,000	2013/04/15	2013/11/28	75.00
67552	Credit Suisse AG - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/04/15	2013/12/30	99.00
67553	Credit Suisse AG - HSI R Bull CBBC Dec 2013 B	300,000,000	2013/04/15	2013/12/30	93.00
67562	Credit Suisse AG - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/04/15	2013/12/30	85.50
67563	Credit Suisse AG - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/04/15	2013/12/30	79.50
67564	Credit Suisse AG - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/04/15	2013/12/30	75.00
67547	Credit Suisse AG - HSI R Bear CBBC Aug 2013 W	300,000,000	2013/04/15	2013/08/29	75.00
67548	Credit Suisse AG - HSI R Bear CBBC Aug 2013 X	300,000,000	2013/04/15	2013/08/29	75.00
67549	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/04/15	2013/08/29	75.00
67550	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Z	300,000,000	2013/04/15	2013/08/29	75.00
67644	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 G	150,000,000	2013/04/15	2013/07/30	38.70
67645	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 H	150,000,000	2013/04/15	2013/07/30	37.80
67646	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 I	150,000,000	2013/04/15	2013/07/30	37.95
67650	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 J	150,000,000	2013/04/15	2013/07/30	37.65
67652	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 K	150,000,000	2013/04/15	2013/07/30	37.95

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67597	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 W	300,000,000	2013/04/15	2013/07/30	75.00
67598	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 X	300,000,000	2013/04/15	2013/07/30	75.00
67599	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 Y	300,000,000	2013/04/15	2013/07/30	75.00
67600	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 Z	300,000,000	2013/04/15	2013/07/30	75.00
67596	J P Morgan SP BV - HSI R Bear CBBC Jul 2013 Q	300,000,000	2013/04/15	2013/07/30	75.00
67658	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 G	60,000,000	2013/04/15	2013/09/27	15.00
67659	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 H	60,000,000	2013/04/15	2013/09/27	15.00
67535	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 V	100,000,000	2013/04/15	2013/09/27	25.00
67536	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 W	100,000,000	2013/04/15	2013/09/27	25.00
67621	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct13 A	100,000,000	2013/04/15	2013/10/30	25.00
67627	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct13 B	100,000,000	2013/04/15	2013/10/30	25.00
67628	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct13 C	100,000,000	2013/04/15	2013/10/30	25.00
67630	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Oct13 A	100,000,000	2013/04/15	2013/10/30	25.00
67634	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Oct13B	100,000,000	2013/04/15	2013/10/30	25.00
67636	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Oct13C	100,000,000	2013/04/15	2013/10/30	25.00
67614	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 K	200,000,000	2013/04/15	2013/07/30	50.00
67617	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 O	200,000,000	2013/04/15	2013/07/30	50.00
67638	UBS AG - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/04/15	2013/12/30	75.00
67543	UBS AG - HSI R Bull CBBC Feb 2014 H	300,000,000	2013/04/15	2014/02/27	75.00
67542	UBS AG - HSI R Bull CBBC Mar 2014 G	300,000,000	2013/04/15	2014/03/28	75.00
67539	UBS AG - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/04/15	2014/04/29	75.00
67544	UBS AG - HSI R Bull CBBC Apr 2014 B	300,000,000	2013/04/15	2014/04/29	75.00
67641	UBS AG - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/04/15	2013/08/29	75.00
67538	UBS AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/04/15	2013/08/29	75.00
67639	UBS AG - HSI R Bear CBBC Sep 2013 W	300,000,000	2013/04/15	2013/09/27	75.00
67640	UBS AG - HSI R Bear CBBC Oct 2013 L	300,000,000	2013/04/15	2013/10/30	75.00
67747	Credit Suisse AG - HSI R Bull CBBC Aug 2013 Z	300,000,000	2013/04/16	2013/08/29	75.00
67748	Credit Suisse AG - HSI R Bull CBBC Oct 2013 R	300,000,000	2013/04/16	2013/10/30	75.00
67754	Credit Suisse AG - HSI R Bull CBBC Oct 2013 U	300,000,000	2013/04/16	2013/10/30	75.00
67680	Credit Suisse AG - HSI R Bull CBBC Feb 2014 A	300,000,000	2013/04/16	2014/02/27	75.00
67709	Credit Suisse AG - HSI R Bull CBBC Feb 2014 B	300,000,000	2013/04/16	2014/02/27	75.00
67716	Credit Suisse AG - HSI R Bull CBBC Feb 2014 C	300,000,000	2013/04/16	2014/02/27	75.00
67718	Credit Suisse AG - HSI R Bull CBBC Feb 2014 D	300,000,000	2013/04/16	2014/02/27	75.00
67719	Credit Suisse AG - HSI R Bull CBBC Feb 2014 E	300,000,000	2013/04/16	2014/02/27	75.00
67730	Credit Suisse AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/04/16	2013/08/29	75.00
67732	Credit Suisse AG - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/04/16	2013/08/29	75.00
67723	Credit Suisse AG - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/04/16	2013/08/29	75.00
67733	Credit Suisse AG - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/04/16	2013/08/29	75.00
67671	HK Bank - A50 R Bull CBBC Sep 2013 G	100,000,000	2013/04/16	2013/09/30	25.00
67664	HK Bank - A50 R Bear CBBC Sep 2013 C	50,000,000	2013/04/16	2013/09/30	12.50
67672	HK Bank - HKEx R Bear CBBC Nov 2013 B	50,000,000	2013/04/16	2013/11/11	12.50
67662	HK Bank - HSCEI R Bear CBBC Sep 2013 B	68,000,000	2013/04/16	2013/09/27	17.00
67660	HK Bank - HSI R Bear CBBC Aug 2013 J	100,000,000	2013/04/16	2013/08/29	25.00
67661	HK Bank - HSI R Bear CBBC Sep 2013 K	100,000,000	2013/04/16	2013/09/27	25.00
67673	HK Bank - Ping An R Bull CBBC Oct 2013 B	60,000,000	2013/04/16	2013/10/21	15.00

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67674	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 N	100,000,000	2013/04/16	2013/07/30	25.00
67755	UBS AG - HSI R Bull CBBC Sep 2013 M	300,000,000	2013/04/16	2013/09/27	75.00
67757	UBS AG - HSI R Bull CBBC Sep 2013 S	300,000,000	2013/04/16	2013/09/27	75.00
67746	UBS AG - HSI R Bear CBBC Aug 2013 B	300,000,000	2013/04/16	2013/08/29	75.00
67742	UBS AG - HSI R Bear CBBC Oct 2013 M	300,000,000	2013/04/16	2013/10/30	75.00
67744	UBS AG - HSI R Bear CBBC Nov 2013 D	300,000,000	2013/04/16	2013/11/28	75.00
67745	UBS AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/04/16	2013/12/30	75.00
67791	Credit Suisse AG - HSI R Bull CBBC Sep 2013 F	300,000,000	2013/04/17	2013/09/27	75.00
67807	Credit Suisse AG - HSI R Bull CBBC Sep 2013 K	300,000,000	2013/04/17	2013/09/27	75.00
67810	Credit Suisse AG - HSI R Bull CBBC Oct 2013 V	300,000,000	2013/04/17	2013/10/30	75.00
67779	Credit Suisse AG - HSI R Bear CBBC Sep 2013 Q	300,000,000	2013/04/17	2013/09/27	75.00
67784	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 Q	150,000,000	2013/04/17	2013/07/30	37.95
67785	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 R	150,000,000	2013/04/17	2013/07/30	38.40
67787	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 S	150,000,000	2013/04/17	2013/07/30	37.80
67790	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 T	150,000,000	2013/04/17	2013/07/30	37.80
67769	HK Bank - BOCL R Bull CBBC Nov 2013 C	80,000,000	2013/04/17	2013/11/25	20.00
67771	HK Bank - CUni R Bull CBBC Nov 2013 A	50,000,000	2013/04/17	2013/11/25	13.50
67768	HK Bank - CNBM R Bear CBBC Sep 2013 B	50,000,000	2013/04/17	2013/09/30	12.50
67767	HK Bank - CNOOC R Bull CBBC Dec 2013 A	60,000,000	2013/04/17	2013/12/23	19.80
67763	HK Bank - CSOP A50 ETF R Bear CBBC Oct 2013 A	40,000,000	2013/04/17	2013/10/28	10.00
67778	HK Bank - PetCh R Bull CBBC Nov 2013 A	60,000,000	2013/04/17	2013/11/25	15.00
67782	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 P	200,000,000	2013/04/17	2013/07/30	50.00
67811	UBS AG - China Life R Bull CBBC Jul 2013 E	100,000,000	2013/04/17	2013/07/29	25.00
67817	UBS AG - HSI R Bull CBBC Sep 2013 J	300,000,000	2013/04/17	2013/09/27	75.00
67816	UBS AG - HSI R Bull CBBC Sep 2013 T	300,000,000	2013/04/17	2013/09/27	75.00
67813	UBS AG - HSI R Bull CBBC Oct 2013 C	300,000,000	2013/04/17	2013/10/30	75.00
67820	UBS AG - HSI R Bear CBBC Nov 2013 E	300,000,000	2013/04/17	2013/11/28	75.00
67821	UBS AG - HSI R Bear CBBC Dec 2013 E	350,000,000	2013/04/17	2013/12/30	87.50
67863	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/04/18	2013/12/30	75.00
67864	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/04/18	2013/12/30	75.00
67865	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/04/18	2013/12/30	75.00
67836	Credit Suisse AG - A50 China R Bull CBBC Oct 2013 A	60,000,000	2013/04/18	2013/10/25	15.00
67837	Credit Suisse AG - A50 China R Bear CBBC Jul 2013 A	60,000,000	2013/04/18	2013/07/26	15.00
67839	Credit Suisse AG - A50 China R Bear CBBC Jul 2013 B	60,000,000	2013/04/18	2013/07/26	15.00
67844	Credit Suisse AG - A50 China R Bear CBBC Jul 2013 C	60,000,000	2013/04/18	2013/07/26	15.00
67866	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Y	300,000,000	2013/04/18	2013/09/27	75.00
67868	Credit Suisse AG - HSI R Bull CBBC Oct 2013 S	300,000,000	2013/04/18	2013/10/30	75.00
67867	Credit Suisse AG - HSI R Bull CBBC Nov 2013 C	300,000,000	2013/04/18	2013/11/28	75.00
67856	Credit Suisse AG - HSI R Bear CBBC Aug 2013 K	300,000,000	2013/04/18	2013/08/29	75.00
67855	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/04/18	2013/08/29	75.00
67875	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 U	120,000,000	2013/04/18	2013/07/30	30.36
67876	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 V	120,000,000	2013/04/18	2013/07/30	30.24
67877	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 W	120,000,000	2013/04/18	2013/07/30	30.96
67882	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 X	120,000,000	2013/04/18	2013/07/30	30.12
67830	HK Bank - CNBM R Bull CBBC Oct 2013 B	50,000,000	2013/04/18	2013/10/21	12.50

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67829	HK Bank - CNBM R Bull CBBC Nov 2013 A	50,000,000	2013/04/18	2013/11/18	12.50
67831	HK Bank - China Shenhua R Bull CBBC Mar 2014 B	60,000,000	2013/04/18	2014/03/18	15.00
67824	HK Bank - HSI R Bull CBBC Nov 2013 G	100,000,000	2013/04/18	2013/11/28	25.00
67826	HK Bank - HSI R Bull CBBC Nov 2013 H	100,000,000	2013/04/18	2013/11/28	25.00
67825	HK Bank - HSI R Bear CBBC Oct 2013 I	100,000,000	2013/04/18	2013/10/30	25.00
67833	HK Bank - Yanzhou Coal R Bull CBBC Dec 2013 A	50,000,000	2013/04/18	2013/12/23	12.50
67873	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 F	300,000,000	2013/04/18	2013/07/30	75.00
67874	J P Morgan SP BV - HSI R Bull CBBC Jul 2013 H	300,000,000	2013/04/18	2013/07/30	75.00
67860	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 X	100,000,000	2013/04/18	2013/09/27	25.00
67861	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 O	100,000,000	2013/04/18	2013/07/30	25.00
67858	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 G	200,000,000	2013/04/18	2013/07/30	50.00
67834	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 L	200,000,000	2013/04/18	2013/07/30	50.00
67835	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 Q	200,000,000	2013/04/18	2013/07/30	50.00
67871	UBS AG - HSI R Bull CBBC Oct 2013 E	300,000,000	2013/04/18	2013/10/30	75.00
67869	UBS AG - HSI R Bull CBBC Oct 2013 H	300,000,000	2013/04/18	2013/10/30	75.00
67870	UBS AG - HSI R Bull CBBC Oct 2013 I	300,000,000	2013/04/18	2013/10/30	75.00
67872	UBS AG - HSI R Bear CBBC Dec 2013 F	350,000,000	2013/04/18	2013/12/30	87.50
67980	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 P	300,000,000	2013/04/19	2013/07/30	75.00
67976	Credit Suisse AG - HSI R Bull CBBC Sep 2013 C	300,000,000	2013/04/19	2013/09/27	75.00
67902	Credit Suisse AG - HSI R Bear CBBC Jul 2013 A	300,000,000	2013/04/19	2013/07/30	75.00
67977	Credit Suisse AG - HSI R Bear CBBC Sep 2013 S	300,000,000	2013/04/19	2013/09/27	75.00
67943	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Q	150,000,000	2013/04/19	2013/12/30	37.50
67928	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 R	150,000,000	2013/04/19	2013/12/30	37.50
67942	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 T	150,000,000	2013/04/19	2013/12/30	37.50
67915	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 U	150,000,000	2013/04/19	2013/12/30	37.50
67924	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 V	150,000,000	2013/04/19	2013/12/30	37.50
67944	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 I	150,000,000	2013/04/19	2013/08/29	37.50
67945	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 J	150,000,000	2013/04/19	2013/08/29	37.50
67947	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 K	150,000,000	2013/04/19	2013/08/29	37.50
67964	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 L	150,000,000	2013/04/19	2013/08/29	37.50
67887	HK Bank - China Mobile R Bull CBBC Nov 2013 C	120,000,000	2013/04/19	2013/11/26	30.00
67888	HK Bank - China Life R Bull CBBC Sep 2013 B	60,000,000	2013/04/19	2013/09/18	15.00
67883	HK Bank - HSI R Bull CBBC Nov 2013 I	100,000,000	2013/04/19	2013/11/28	25.00
67884	HK Bank - Hutchison R Bull CBBC Nov 2013 C	60,000,000	2013/04/19	2013/11/18	15.00
67904	UBS AG - Sinopec Corp R Bull CBBC Nov 2013 A	100,000,000	2013/04/19	2013/11/25	25.00
67971	UBS AG - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/04/19	2013/12/30	75.00
67968	UBS AG - HSI R Bull CBBC Mar 2014 H	350,000,000	2013/04/19	2014/03/28	87.50
67975	UBS AG - HSI R Bull CBBC Mar 2014 I	350,000,000	2013/04/19	2014/03/28	87.50
67905	UBS AG - HSI R Bear CBBC Jul 2013 S	300,000,000	2013/04/19	2013/07/30	75.00
65326 [#]	HK Bank - A50 R Bull CBBC Jul 2013 A	180,000,000	2013/04/19	2013/07/22	14.04
67982	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/04/22	2013/12/30	75.00
67984	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/04/22	2013/12/30	75.00
68030	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 Q	300,000,000	2013/04/22	2013/07/30	75.00
68031	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 R	300,000,000	2013/04/22	2013/07/30	75.00
68032	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 S	300,000,000	2013/04/22	2013/07/30	75.00

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67995	Credit Suisse AG - HSI R Bull CBBC Sep 2013 D	300,000,000	2013/04/22	2013/09/27	75.00
67985	Credit Suisse AG - HSI R Bull CBBC Nov 2013 D	300,000,000	2013/04/22	2013/11/28	75.00
67992	Credit Suisse AG - HSI R Bull CBBC Nov 2013 E	300,000,000	2013/04/22	2013/11/28	75.00
67994	Credit Suisse AG - HSI R Bull CBBC Nov 2013 F	300,000,000	2013/04/22	2013/11/28	75.00
68042	Credit Suisse AG - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/04/22	2013/07/30	75.00
68043	Credit Suisse AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/04/22	2013/08/29	75.00
68061	Credit Suisse AG - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/04/22	2013/08/29	75.00
68071	Credit Suisse AG - HSI R Bear CBBC Sep 2013 T	300,000,000	2013/04/22	2013/09/27	75.00
68194	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 B	150,000,000	2013/04/22	2013/12/30	37.50
67997	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 H	150,000,000	2013/04/22	2013/12/30	37.50
68193	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 P	150,000,000	2013/04/22	2013/12/30	37.50
67996	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 S	150,000,000	2013/04/22	2013/12/30	37.50
68195	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 M	150,000,000	2013/04/22	2013/08/29	37.50
68196	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 N	150,000,000	2013/04/22	2013/08/29	37.50
68202	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 O	150,000,000	2013/04/22	2013/08/29	37.50
68113	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 F	150,000,000	2013/04/22	2013/07/30	38.55
68110	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 Y	150,000,000	2013/04/22	2013/07/30	38.10
68112	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 Z	150,000,000	2013/04/22	2013/07/30	37.80
68096	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 L	150,000,000	2013/04/22	2013/07/30	37.50
68107	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 M	150,000,000	2013/04/22	2013/07/30	37.80
68170	J P Morgan SP BV - HSI R Bull CBBC Sep 2013 A	300,000,000	2013/04/22	2013/09/27	75.00
68115	J P Morgan SP BV - HSI R Bear CBBC Sep 2013 A	300,000,000	2013/04/22	2013/09/27	75.00
68024	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 P	100,000,000	2013/04/22	2013/07/30	25.00
68016	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 R	200,000,000	2013/04/22	2013/07/30	50.00
68020	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 S	200,000,000	2013/04/22	2013/07/30	50.00
68011	UBS AG - HSI R Bull CBBC Oct 2013 F	300,000,000	2013/04/22	2013/10/30	75.00
68009	UBS AG - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/04/22	2013/12/30	75.00
68012	UBS AG - HSI R Bull CBBC Feb 2014 I	350,000,000	2013/04/22	2014/02/27	87.50
67999	UBS AG - HSI R Bull CBBC Mar 2014 J	350,000,000	2013/04/22	2014/03/28	87.50
68040	UBS AG - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/04/22	2013/07/30	75.00
68039	UBS AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/04/22	2013/08/29	75.00
68038	UBS AG - HSI R Bear CBBC Nov 2013 F	350,000,000	2013/04/22	2013/11/28	87.50
68224	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/04/23	2013/12/30	75.00
68228	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/04/23	2013/12/30	75.00
68231	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/04/23	2013/12/30	75.00
68233	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/04/23	2013/12/30	75.00
68246	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/04/23	2013/12/30	75.00
68223	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/04/23	2013/12/30	75.00
68369	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 T	300,000,000	2013/04/23	2013/07/30	75.00
68370	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 U	300,000,000	2013/04/23	2013/07/30	75.00
68259	Credit Suisse AG - HSI R Bull CBBC Oct 2013 A	300,000,000	2013/04/23	2013/10/30	75.00
68261	Credit Suisse AG - HSI R Bull CBBC Oct 2013 B	300,000,000	2013/04/23	2013/10/30	75.00
68258	Credit Suisse AG - HSI R Bull CBBC Oct 2013 Y	300,000,000	2013/04/23	2013/10/30	75.00
68262	Credit Suisse AG - HSI R Bull CBBC Oct 2013 Z	300,000,000	2013/04/23	2013/10/30	75.00
68366	Credit Suisse AG - HSI R Bull CBBC Mar 2014 A	300,000,000	2013/04/23	2014/03/28	75.00

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68367	Credit Suisse AG - HSI R Bull CBBC Mar 2014 B	300,000,000	2013/04/23	2014/03/28	78.00
68368	Credit Suisse AG - HSI R Bull CBBC Mar 2014 C	300,000,000	2013/04/23	2014/03/28	84.00
68354	Credit Suisse AG - HSI R Bear CBBC Jul 2013 T	300,000,000	2013/04/23	2013/07/30	75.00
68362	Credit Suisse AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/04/23	2013/08/29	75.00
68365	Credit Suisse AG - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/04/23	2013/11/28	75.00
68212	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 D	150,000,000	2013/04/23	2013/12/30	37.50
68214	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 E	150,000,000	2013/04/23	2013/12/30	37.50
68326	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 G	150,000,000	2013/04/23	2013/12/30	37.50
68328	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 M	150,000,000	2013/04/23	2013/12/30	37.50
68352	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 C	150,000,000	2013/04/23	2013/08/29	37.50
68336	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Aug 2013 P	150,000,000	2013/04/23	2013/08/29	37.50
68416	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 G	150,000,000	2013/04/23	2013/07/30	38.25
68412	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 J	150,000,000	2013/04/23	2013/07/30	38.25
68413	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 M	150,000,000	2013/04/23	2013/07/30	37.80
68209	HK Bank - HSCEI R Bear CBBC Oct 2013 C	68,000,000	2013/04/23	2013/10/30	17.00
68278	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 Y	100,000,000	2013/04/23	2013/09/27	25.00
68221	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 B	200,000,000	2013/04/23	2013/07/30	50.00
68220	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 F	200,000,000	2013/04/23	2013/07/30	50.00
68215	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 Q	200,000,000	2013/04/23	2013/08/29	50.00
68217	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 R	200,000,000	2013/04/23	2013/08/29	50.00
68219	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 T	200,000,000	2013/04/23	2013/07/30	50.00
68270	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 U	200,000,000	2013/04/23	2013/07/30	50.00
68400	UBS AG - China Mobile R Bear CBBC Nov 2013 A	100,000,000	2013/04/23	2013/11/18	25.00
68389	UBS AG - CNBM R Bull CBBC Dec 2013 A	50,000,000	2013/04/23	2013/12/16	12.50
68402	UBS AG - CNOOC R Bull CBBC Dec 2013 A	100,000,000	2013/04/23	2013/12/16	25.00
68399	UBS AG - A50 China R Bull CBBC Feb 2014 A	100,000,000	2013/04/23	2014/02/17	25.00
68404	UBS AG - HSBC R Bull CBBC Dec 2013 A	100,000,000	2013/04/23	2013/12/09	25.00
68249	UBS AG - HSI R Bull CBBC Nov 2013 X	300,000,000	2013/04/23	2013/11/28	75.00
68257	UBS AG - HSI R Bull CBBC Nov 2013 Y	350,000,000	2013/04/23	2013/11/28	87.50
68279	UBS AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/04/23	2013/08/29	75.00
68280	UBS AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/04/23	2013/08/29	75.00
68312	UBS AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/04/23	2013/08/29	75.00
61141#	HK Bank - HSCEI R Bull CBBC Oct 2013 B	188,000,000	2013/04/23	2013/10/30	10.34
68533	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/04/24	2013/07/30	75.00
68536	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/04/24	2013/07/30	75.00
68506	Credit Suisse AG - HSI R Bull CBBC Sep 2013 B	300,000,000	2013/04/24	2013/09/27	75.00
68505	Credit Suisse AG - HSI R Bull CBBC Nov 2013 G	300,000,000	2013/04/24	2013/11/28	75.00
68454	Credit Suisse AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/04/24	2013/08/29	75.00
68531	Credit Suisse AG - HSI R Bear CBBC Aug 2013 U	300,000,000	2013/04/24	2013/08/29	75.00
68456	Credit Suisse AG - HSI R Bear CBBC Oct 2013 A	300,000,000	2013/04/24	2013/10/30	75.00
68463	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 N	150,000,000	2013/04/24	2013/12/30	37.50
68504	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 O	150,000,000	2013/04/24	2013/12/30	37.50
68509	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 A	150,000,000	2013/04/24	2013/09/27	37.50
68518	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 B	150,000,000	2013/04/24	2013/09/27	37.50
68537	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 N	150,000,000	2013/04/24	2013/07/30	38.85

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68541	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 O	150,000,000	2013/04/24	2013/07/30	39.00
68547	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 P	150,000,000	2013/04/24	2013/07/30	37.65
68440	HK Bank - ABC R Bear CBBC Jan 2014 A	40,000,000	2013/04/24	2014/01/16	10.00
68435	HK Bank - AIA R Bear CBBC Oct 2013 B	50,000,000	2013/04/24	2013/10/07	17.00
68448	HK Bank - Evergrande R Bull CBBC Jan 2014 A	60,000,000	2013/04/24	2014/01/28	15.00
68432	HK Bank - A50 R Bull CBBC Sep 2013 H	100,000,000	2013/04/24	2013/09/16	25.00
68433	HK Bank - A50 R Bull CBBC Sep 2013 I	100,000,000	2013/04/24	2013/09/30	25.00
68421	HK Bank - HSI R Bull CBBC Dec 2013 F	100,000,000	2013/04/24	2013/12/30	25.00
68444	HK Bank - Sands China R Bull CBBC Dec 2013 D	60,000,000	2013/04/24	2013/12/18	15.00
68550	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 A	300,000,000	2013/04/24	2013/10/30	75.00
68551	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 B	300,000,000	2013/04/24	2013/10/30	75.00
68552	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 C	300,000,000	2013/04/24	2013/10/30	75.00
68566	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 D	300,000,000	2013/04/24	2013/10/30	75.00
68567	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 E	300,000,000	2013/04/24	2013/10/30	75.00
68569	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 F	300,000,000	2013/04/24	2013/10/30	75.00
68549	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 A	300,000,000	2013/04/24	2013/10/30	75.00
68457	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 Q	100,000,000	2013/04/24	2013/07/30	25.00
68527	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 V	200,000,000	2013/04/24	2013/07/30	50.00
68528	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 W	200,000,000	2013/04/24	2013/07/30	50.00
68524	UBS AG - HSBC R Bull CBBC Jun 2014 A	100,000,000	2013/04/24	2014/06/16	25.00
68458	UBS AG - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/04/24	2013/12/30	75.00
68462	UBS AG - HSI R Bull CBBC Feb 2014 J	350,000,000	2013/04/24	2014/02/27	87.50
68519	UBS AG - HSI R Bear CBBC Jul 2013 W	300,000,000	2013/04/24	2013/07/30	75.00
68522	UBS AG - HSI R Bear CBBC Jul 2013 Y	350,000,000	2013/04/24	2013/07/30	87.50
68520	UBS AG - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/04/24	2013/08/29	75.00
63482 [#]	HK Bank - HSI R Bull CBBC Jul 2013 C	200,000,000	2013/04/24	2013/07/30	11.20
68646	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 X	300,000,000	2013/04/25	2013/07/30	75.00
68624	Credit Suisse AG - HSI R Bull CBBC Nov 2013 H	300,000,000	2013/04/25	2013/11/28	75.00
68625	Credit Suisse AG - HSI R Bull CBBC Nov 2013 I	300,000,000	2013/04/25	2013/11/28	75.00
68634	Credit Suisse AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/04/25	2013/08/29	75.00
68626	Credit Suisse AG - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/04/25	2013/08/29	75.00
68631	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/04/25	2013/08/29	75.00
68627	Credit Suisse AG - HSI R Bear CBBC Sep 2013 U	300,000,000	2013/04/25	2013/09/27	75.00
68623	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 J	150,000,000	2013/04/25	2013/12/30	37.50
68639	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 W	150,000,000	2013/04/25	2013/12/30	37.50
68640	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 X	150,000,000	2013/04/25	2013/12/30	37.50
68638	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 C	150,000,000	2013/04/25	2013/09/27	37.50
68648	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 K	150,000,000	2013/04/25	2013/07/30	38.10
68651	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 N	150,000,000	2013/04/25	2013/07/30	37.80
68652	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 Q	150,000,000	2013/04/25	2013/07/30	38.10
68650	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 Q	150,000,000	2013/04/25	2013/07/30	38.55
68599	HK Bank - China Shenhua R Bull CBBC Jul 2014 A	60,000,000	2013/04/25	2014/07/18	15.00
68598	HK Bank - China Shenhua R Bear CBBC Jan 2014 A	40,000,000	2013/04/25	2014/01/14	10.00
68573	HK Bank - HSCEI R Bull CBBC Nov 2013 C	100,000,000	2013/04/25	2013/11/28	25.00
68571	HK Bank - HSI R Bear CBBC Oct 2013 J	400,000,000	2013/04/25	2013/10/30	100.00

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68582	HK Bank - TCH R Bull CBBC Sep 2013 B	50,000,000	2013/04/25	2013/09/30	12.50
68653	J P Morgan SP BV - HSI R Bear CBBC Sep 2013 B	300,000,000	2013/04/25	2013/09/27	75.00
68654	J P Morgan SP BV - HSI R Bear CBBC Sep 2013 C	300,000,000	2013/04/25	2013/09/27	75.00
68655	J P Morgan SP BV - HSI R Bear CBBC Sep 2013 D	300,000,000	2013/04/25	2013/09/27	75.00
68600	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 Z	100,000,000	2013/04/25	2013/09/27	25.00
68601	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 R	100,000,000	2013/04/25	2013/07/30	25.00
68622	UBS AG - HSCEI R Bear CBBC Aug 2013 C	100,000,000	2013/04/25	2013/08/29	25.00
68645	UBS AG - HSI R Bull CBBC Dec 2013 R	350,000,000	2013/04/25	2013/12/30	87.50
68604	UBS AG - HSI R Bull CBBC Feb 2014 K	300,000,000	2013/04/25	2014/02/27	75.00
68644	UBS AG - HSI R Bull CBBC Feb 2014 L	350,000,000	2013/04/25	2014/02/27	87.50
68643	UBS AG - HSI R Bull CBBC Mar 2014 K	350,000,000	2013/04/25	2014/03/28	87.50
68642	UBS AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/04/25	2013/08/29	75.00
68641	UBS AG - HSI R Bear CBBC Sep 2013 X	300,000,000	2013/04/25	2013/09/27	75.00
68710	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/04/26	2013/12/30	75.00
68709	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/04/26	2013/12/30	75.00
68714	Credit Suisse AG - HSI R Bull CBBC Sep 2013 P	300,000,000	2013/04/26	2013/09/27	75.00
68715	Credit Suisse AG - HSI R Bull CBBC Sep 2013 Q	300,000,000	2013/04/26	2013/09/27	75.00
68712	Credit Suisse AG - HSI R Bull CBBC Oct 2013 C	300,000,000	2013/04/26	2013/10/30	75.00
68713	Credit Suisse AG - HSI R Bull CBBC Oct 2013 D	300,000,000	2013/04/26	2013/10/30	75.00
68701	Credit Suisse AG - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/04/26	2013/08/29	75.00
68656	Credit Suisse AG - HSI R Bear CBBC Sep 2013 V	300,000,000	2013/04/26	2013/09/27	75.00
68657	Credit Suisse AG - HSI R Bear CBBC Sep 2013 W	300,000,000	2013/04/26	2013/09/27	75.00
68699	Credit Suisse AG - HSI R Bear CBBC Oct 2013 B	300,000,000	2013/04/26	2013/10/30	75.00
68711	Credit Suisse AG - HSI R Bear CBBC Oct 2013 C	300,000,000	2013/04/26	2013/10/30	75.00
68727	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Y	150,000,000	2013/04/26	2013/12/30	37.50
68673	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 D	150,000,000	2013/04/26	2013/09/27	37.50
68697	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 E	150,000,000	2013/04/26	2013/09/27	37.50
68698	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 F	150,000,000	2013/04/26	2013/09/27	37.50
68728	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 R	150,000,000	2013/04/26	2013/07/30	38.85
68732	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 S	150,000,000	2013/04/26	2013/07/30	38.70
68733	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 U	150,000,000	2013/04/26	2013/07/30	38.25
68734	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2013 V	150,000,000	2013/04/26	2013/07/30	38.40
68667	HK Bank - Anhui Conch R Bull CBBC Sep 2014 A	50,000,000	2013/04/26	2014/09/29	12.50
68663	HK Bank - CNOOC R Bull CBBC Oct 2013 B	60,000,000	2013/04/26	2013/10/28	19.80
68664	HK Bank - HKEx R Bull CBBC Nov 2013 B	60,000,000	2013/04/26	2013/11/25	19.20
68661	HK Bank - HSI R Bear CBBC Aug 2013 K	100,000,000	2013/04/26	2013/08/29	25.00
68662	HK Bank - HSI R Bear CBBC Aug 2013 L	100,000,000	2013/04/26	2013/08/29	25.00
68665	HK Bank - PetCh R Bull CBBC Dec 2013 A	60,000,000	2013/04/26	2013/12/23	15.00
68666	HK Bank - PetCh R Bear CBBC Nov 2013 A	40,000,000	2013/04/26	2013/11/25	10.00
68668	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 A	200,000,000	2013/04/26	2013/12/30	50.00
68669	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 A	200,000,000	2013/04/26	2013/12/30	50.00
68726	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 A	200,000,000	2013/04/26	2013/07/30	50.00
68678	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 C	200,000,000	2013/04/26	2013/07/30	50.00
68720	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 E	200,000,000	2013/04/26	2013/07/30	50.00
68725	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 P	200,000,000	2013/04/26	2013/07/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68658	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 S	200,000,000	2013/04/26	2013/08/29	50.00
68674	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 T	200,000,000	2013/04/26	2013/08/29	50.00
68675	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 U	200,000,000	2013/04/26	2013/08/29	50.00
68676	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 V	200,000,000	2013/04/26	2013/08/29	50.00
68677	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 W	200,000,000	2013/04/26	2013/08/29	50.00
68659	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 X	200,000,000	2013/04/26	2013/07/30	50.00
68660	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 Y	200,000,000	2013/04/26	2013/07/30	50.00
68679	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul13 Z	200,000,000	2013/04/26	2013/07/30	50.00
68682	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 A	200,000,000	2013/04/26	2013/08/29	50.00
68683	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 B	200,000,000	2013/04/26	2013/08/29	50.00
68684	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 C	200,000,000	2013/04/26	2013/08/29	50.00
68685	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 D	200,000,000	2013/04/26	2013/08/29	50.00
68692	UBS AG - China Mobile R Bear CBBC Oct 2013 A	100,000,000	2013/04/26	2013/10/21	25.00
68691	UBS AG - China Mobile R Bear CBBC Nov 2013 B	100,000,000	2013/04/26	2013/11/25	25.00
68690	UBS AG - China Shenhua R Bull CBBC Apr 2014 A	100,000,000	2013/04/26	2014/04/07	25.00
68689	UBS AG - China Shenhua R Bear CBBC Dec 2013 B	100,000,000	2013/04/26	2013/12/16	25.00
68686	UBS AG - Evergrande R Bull CBBC Jan 2014 A	100,000,000	2013/04/26	2014/01/20	25.00
68718	UBS AG - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/04/26	2013/12/30	75.00
68716	UBS AG - HSI R Bull CBBC Feb 2014 M	350,000,000	2013/04/26	2014/02/27	87.50
68717	UBS AG - HSI R Bull CBBC Feb 2014 N	300,000,000	2013/04/26	2014/02/27	75.00
68719	UBS AG - HSI R Bull CBBC Mar 2014 L	300,000,000	2013/04/26	2014/03/28	75.00
68695	UBS AG - HSI R Bear CBBC Sep 2013 Y	300,000,000	2013/04/26	2013/09/27	75.00
68696	UBS AG - HSI R Bear CBBC Sep 2013 Z	350,000,000	2013/04/26	2013/09/27	87.50
68670	UBS AG - HSI R Bear CBBC Oct 2013 N	350,000,000	2013/04/26	2013/10/30	87.50
68672	UBS AG - HSI R Bear CBBC Oct 2013 O	300,000,000	2013/04/26	2013/10/30	75.00
68693	UBS AG - Petrochina R Bear CBBC Dec 2013 A	100,000,000	2013/04/26	2013/12/02	25.00
68687	UBS AG - Sands China R Bull CBBC Mar 2014 A	100,000,000	2013/04/26	2014/03/17	25.00
68694	UBS AG - TCH R Bull CBBC Sep 2013 A	100,000,000	2013/04/26	2013/09/23	25.00
68688	UBS AG - Yanzhou Coal R Bull CBBC Nov 2013 A	50,000,000	2013/04/26	2013/11/18	12.50
68737	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 Y	300,000,000	2013/04/29	2013/07/30	75.00
68749	Credit Suisse AG - HSI R Bull CBBC Sep 2013 R	300,000,000	2013/04/29	2013/09/27	75.00
68751	Credit Suisse AG - HSI R Bull CBBC Oct 2013 G	300,000,000	2013/04/29	2013/10/30	75.00
68738	Credit Suisse AG - HSI R Bear CBBC Aug 2013 W	300,000,000	2013/04/29	2013/08/29	75.00
68748	Credit Suisse AG - HSI R Bear CBBC Sep 2013 X	300,000,000	2013/04/29	2013/09/27	75.00
68752	Credit Suisse AG - HSI R Bear CBBC Sep 2013 Y	300,000,000	2013/04/29	2013/09/27	75.00
68775	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 A	150,000,000	2013/04/29	2013/12/30	37.50
68776	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 F	150,000,000	2013/04/29	2013/12/30	37.50
68774	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Z	150,000,000	2013/04/29	2013/12/30	37.50
68764	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 G	150,000,000	2013/04/29	2013/09/27	37.50
68765	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 H	150,000,000	2013/04/29	2013/09/27	37.50
68766	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 I	150,000,000	2013/04/29	2013/09/27	37.50
68767	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2013 R	150,000,000	2013/04/29	2013/07/30	37.65
68753	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 I	60,000,000	2013/04/29	2013/09/27	15.00
68754	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 J	60,000,000	2013/04/29	2013/09/27	15.00
68758	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 K	60,000,000	2013/04/29	2013/09/27	15.00

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68759	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 L	60,000,000	2013/04/29	2013/09/27	15.00
68760	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 M	60,000,000	2013/04/29	2013/09/27	15.00
68761	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 N	60,000,000	2013/04/29	2013/09/27	15.00
68735	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 A	100,000,000	2013/04/29	2013/09/27	25.00
68736	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 H	100,000,000	2013/04/29	2013/09/27	25.00
68747	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul13 D	200,000,000	2013/04/29	2013/07/30	50.00
68773	UBS AG - Anhui Conch R Bull CBBC Jul 2014 A	100,000,000	2013/04/29	2014/07/07	25.00
68772	UBS AG - HSCEI R Bull CBBC Dec 2013 A	100,000,000	2013/04/29	2013/12/30	25.00
68771	UBS AG - HSI R Bull CBBC Nov 2013 A	300,000,000	2013/04/29	2013/11/28	75.00
68769	UBS AG - HSI R Bull CBBC Nov 2013 Z	300,000,000	2013/04/29	2013/11/28	75.00
68741	UBS AG - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/04/29	2013/12/30	75.00
68770	UBS AG - HSI R Bull CBBC Mar 2014 M	300,000,000	2013/04/29	2014/03/28	75.00
68740	UBS AG - HSI R Bear CBBC Jul 2013 V	300,000,000	2013/04/29	2013/07/30	75.00
68768	UBS AG - HSI R Bear CBBC Dec 2013 G	350,000,000	2013/04/29	2013/12/30	87.50
68785	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/04/30	2013/12/30	75.00
68784	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/04/30	2013/12/30	75.00
68806	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 D	300,000,000	2013/04/30	2013/07/30	75.00
68807	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 G	300,000,000	2013/04/30	2013/07/30	75.00
68805	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2013 Z	300,000,000	2013/04/30	2013/07/30	75.00
68813	Credit Suisse AG - HSI R Bull CBBC Sep 2013 S	300,000,000	2013/04/30	2013/09/27	75.00
68802	Credit Suisse AG - HSI R Bull CBBC Nov 2013 M	300,000,000	2013/04/30	2013/11/28	75.00
68801	Credit Suisse AG - HSI R Bull CBBC Nov 2013 N	300,000,000	2013/04/30	2013/11/28	75.00
68812	Credit Suisse AG - HSI R Bull CBBC Nov 2013 O	300,000,000	2013/04/30	2013/11/28	75.00
68819	Credit Suisse AG - HSI R Bear CBBC Jul 2013 C	300,000,000	2013/04/30	2013/07/30	75.00
68820	Credit Suisse AG - HSI R Bear CBBC Jul 2013 T	300,000,000	2013/04/30	2013/07/30	75.00
68821	Credit Suisse AG - HSI R Bear CBBC Sep 2013 Z	300,000,000	2013/04/30	2013/09/27	75.00
68815	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 C	150,000,000	2013/04/30	2013/12/30	37.50
68799	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 I	150,000,000	2013/04/30	2013/12/30	37.50
68800	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 K	150,000,000	2013/04/30	2013/12/30	37.50
68814	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 L	150,000,000	2013/04/30	2013/12/30	37.50
68816	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 J	150,000,000	2013/04/30	2013/09/27	37.50
68817	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 K	150,000,000	2013/04/30	2013/09/27	37.50
68818	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 L	150,000,000	2013/04/30	2013/09/27	37.50
68780	HK Bank - China Life R Bear CBBC Aug 2013 D	50,000,000	2013/04/30	2013/08/19	12.50
68782	HK Bank - CNBM R Bull CBBC Sep 2013 B	50,000,000	2013/04/30	2013/09/30	12.50
68783	HK Bank - Sinopec Corp R Bull CBBC Nov 2013 B	80,000,000	2013/04/30	2013/11/25	20.00
68779	HK Bank - HSI R Bull CBBC Sep 2013 I	100,000,000	2013/04/30	2013/09/27	25.00
68781	HK Bank - Yanzhou Coal R Bull CBBC Nov 2013 B	50,000,000	2013/04/30	2013/11/25	12.50
68825	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 N	60,000,000	2013/04/30	2013/09/27	15.00
68826	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 O	60,000,000	2013/04/30	2013/09/27	15.00
68827	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 P	60,000,000	2013/04/30	2013/09/27	15.00
68810	Standard Chartered Bank - HSI R Bear CBBC Jul 2013 S	100,000,000	2013/04/30	2013/07/30	25.00
68797	UBS AG - HSI R Bull CBBC Oct 2013 G	300,000,000	2013/04/30	2013/10/30	75.00
68798	UBS AG - HSI R Bull CBBC Oct 2013 J	300,000,000	2013/04/30	2013/10/30	75.00
68795	UBS AG - HSI R Bull CBBC Nov 2013 B	350,000,000	2013/04/30	2013/11/28	87.50

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68792	UBS AG - HSI R Bull CBBC Dec 2013 U	350,000,000	2013/04/30	2013/12/30	87.50
68793	UBS AG - HSI R Bull CBBC Dec 2013 V	350,000,000	2013/04/30	2013/12/30	87.50
68794	UBS AG - HSI R Bull CBBC Dec 2013 W	350,000,000	2013/04/30	2013/12/30	87.50
68796	UBS AG - HSI R Bull CBBC Dec 2013 X	350,000,000	2013/04/30	2013/12/30	87.50
68790	UBS AG - HSI R Bull CBBC Jan 2014 A	300,000,000	2013/04/30	2014/01/29	75.00
68791	UBS AG - HSI R Bull CBBC Jan 2014 B	300,000,000	2013/04/30	2014/01/29	75.00
68822	UBS AG - HSI R Bear CBBC Aug 2013 B	300,000,000	2013/04/30	2013/08/29	75.00
68823	UBS AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/04/30	2013/08/29	75.00
68824	UBS AG - HSI R Bear CBBC Nov 2013 G	350,000,000	2013/04/30	2013/11/28	87.50
68854	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/05/02	2013/12/30	75.00
68855	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/05/02	2013/12/30	75.00
68856	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/05/02	2013/12/30	75.00
68843	Credit Suisse AG - HSI R Bull CBBC Sep 2013 M	300,000,000	2013/05/02	2013/09/27	75.00
68845	Credit Suisse AG - HSI R Bull CBBC Sep 2013 N	300,000,000	2013/05/02	2013/09/27	75.00
68846	Credit Suisse AG - HSI R Bull CBBC Sep 2013 U	300,000,000	2013/05/02	2013/09/27	75.00
68836	Credit Suisse AG - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/05/02	2013/08/29	75.00
68837	Credit Suisse AG - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/05/02	2013/08/29	75.00
68838	Credit Suisse AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/05/02	2013/08/29	75.00
68842	Credit Suisse AG - HSI R Bear CBBC Sep 2013 F	300,000,000	2013/05/02	2013/09/27	75.00
68831	HK Bank - BOCL R Bear CBBC Dec 2013 B	40,000,000	2013/05/02	2013/12/19	10.00
68830	HK Bank - CNOOC R Bear CBBC Aug 2013 B	40,000,000	2013/05/02	2013/08/19	10.00
68833	HK Bank - ICBC R Bull CBBC Nov 2013 A	80,000,000	2013/05/02	2013/11/25	20.00
68832	HK Bank - ICBC R Bear CBBC Nov 2013 B	40,000,000	2013/05/02	2013/11/25	10.00
68828	J P Morgan SP BV - HSI R Bull CBBC Sep 2013 B	300,000,000	2013/05/02	2013/09/27	75.00
68829	J P Morgan SP BV - HSI R Bull CBBC Sep 2013 C	300,000,000	2013/05/02	2013/09/27	75.00
68840	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/05/02	2013/12/30	75.00
68841	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 B	300,000,000	2013/05/02	2013/12/30	75.00
68850	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 O	60,000,000	2013/05/02	2013/09/27	15.00
68853	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 P	60,000,000	2013/05/02	2013/09/27	15.00
68847	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 Q	60,000,000	2013/05/02	2013/09/27	15.00
68858	UBS AG - HSI R Bull CBBC Oct 2013 K	300,000,000	2013/05/02	2013/10/30	75.00
68860	UBS AG - HSI R Bull CBBC Oct 2013 L	300,000,000	2013/05/02	2013/10/30	75.00
68859	UBS AG - HSI R Bull CBBC Dec 2013 Y	350,000,000	2013/05/02	2013/12/30	87.50
68834	UBS AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/05/02	2013/08/29	75.00
68835	UBS AG - HSI R Bear CBBC Sep 2013 A	300,000,000	2013/05/02	2013/09/27	75.00
68857	UBS AG - HSI R Bear CBBC Sep 2013 I	300,000,000	2013/05/02	2013/09/27	75.00
68886	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/05/03	2013/12/30	75.00
68871	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/05/03	2013/12/30	75.00
68887	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/05/03	2013/12/30	75.00
68890	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/05/03	2013/12/30	75.00
68891	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 U	300,000,000	2013/05/03	2013/12/30	75.00
68885	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/05/03	2013/12/30	75.00
68869	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/05/03	2013/08/29	75.00
68870	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 B	300,000,000	2013/05/03	2013/08/29	75.00
68877	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 C	300,000,000	2013/05/03	2013/08/29	75.00

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68895	Credit Suisse AG - HSI R Bull CBBC Oct 2013 E	300,000,000	2013/05/03	2013/10/30	75.00
68893	Credit Suisse AG - HSI R Bull CBBC Oct 2013 I	300,000,000	2013/05/03	2013/10/30	75.00
68894	Credit Suisse AG - HSI R Bull CBBC Nov 2013 P	300,000,000	2013/05/03	2013/11/28	75.00
68896	Credit Suisse AG - HSI R Bull CBBC Nov 2013 Q	300,000,000	2013/05/03	2013/11/28	75.00
68879	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/05/03	2013/08/29	75.00
68880	Credit Suisse AG - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/05/03	2013/08/29	75.00
68878	Credit Suisse AG - HSI R Bear CBBC Sep 2013 G	300,000,000	2013/05/03	2013/09/27	75.00
68892	Credit Suisse AG - HSI R Bear CBBC Sep 2013 H	300,000,000	2013/05/03	2013/09/27	75.00
68903	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 A	150,000,000	2013/05/03	2013/08/29	38.25
68904	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 B	150,000,000	2013/05/03	2013/08/29	37.80
68907	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 C	150,000,000	2013/05/03	2013/08/29	37.95
68908	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 D	150,000,000	2013/05/03	2013/08/29	38.85
68862	HK Bank - Sinopec Corp R Bear CBBC Nov 2013 B	40,000,000	2013/05/03	2013/11/18	10.00
68866	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2013 C	80,000,000	2013/05/03	2013/10/15	20.00
68868	HK Bank - Galaxy Ent R Bull CBBC Sep 2013 B	50,000,000	2013/05/03	2013/09/30	17.00
68861	HK Bank - HSI R Bull CBBC Oct 2013 I	100,000,000	2013/05/03	2013/10/30	25.00
68897	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 G	100,000,000	2013/05/03	2013/09/27	25.00
68872	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 B	200,000,000	2013/05/03	2013/12/30	50.00
68874	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 A	100,000,000	2013/05/03	2013/08/29	25.00
68873	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 B	200,000,000	2013/05/03	2013/12/30	50.00
68875	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 X	200,000,000	2013/05/03	2013/08/29	50.00
68883	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 Y	200,000,000	2013/05/03	2013/08/29	50.00
68884	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 Z	200,000,000	2013/05/03	2013/08/29	50.00
68876	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 E	200,000,000	2013/05/03	2013/08/29	50.00
68898	UBS AG - HSI R Bull CBBC Oct 2013 N	300,000,000	2013/05/03	2013/10/30	75.00
68899	UBS AG - HSI R Bull CBBC Nov 2013 C	300,000,000	2013/05/03	2013/11/28	75.00
68901	UBS AG - HSI R Bull CBBC Nov 2013 D	300,000,000	2013/05/03	2013/11/28	75.00
68900	UBS AG - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/05/03	2013/12/30	75.00
68881	UBS AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/05/03	2013/08/29	75.00
68902	UBS AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/05/03	2013/08/29	75.00
68882	UBS AG - HSI R Bear CBBC Nov 2013 H	350,000,000	2013/05/03	2013/11/28	87.50
68934	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 F	300,000,000	2013/05/06	2013/09/27	75.00
68935	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 G	300,000,000	2013/05/06	2013/09/27	75.00
68911	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 D	300,000,000	2013/05/06	2013/08/29	75.00
68912	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 E	300,000,000	2013/05/06	2013/08/29	75.00
68913	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 F	300,000,000	2013/05/06	2013/08/29	75.00
68939	Credit Suisse AG - HSI R Bull CBBC Sep 2013 I	300,000,000	2013/05/06	2013/09/27	75.00
68937	Credit Suisse AG - HSI R Bull CBBC Sep 2013 V	300,000,000	2013/05/06	2013/09/27	75.00
68936	Credit Suisse AG - HSI R Bull CBBC Nov 2013 R	300,000,000	2013/05/06	2013/11/28	75.00
68938	Credit Suisse AG - HSI R Bull CBBC Nov 2013 S	300,000,000	2013/05/06	2013/11/28	75.00
68941	Credit Suisse AG - HSI R Bull CBBC Nov 2013 T	300,000,000	2013/05/06	2013/11/28	75.00
68918	Credit Suisse AG - HSI R Bull CBBC Jan 2014 A	300,000,000	2013/05/06	2014/01/29	75.00
68919	Credit Suisse AG - HSI R Bull CBBC Jan 2014 B	300,000,000	2013/05/06	2014/01/29	75.00
68920	Credit Suisse AG - HSI R Bull CBBC Jan 2014 C	300,000,000	2013/05/06	2014/01/29	75.00
68917	Credit Suisse AG - HSI R Bear CBBC Aug 2013 X	300,000,000	2013/05/06	2013/08/29	75.00

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68914	Credit Suisse AG - HSI R Bear CBBC Sep 2013 I	300,000,000	2013/05/06	2013/09/27	75.00
68915	Credit Suisse AG - HSI R Bear CBBC Sep 2013 J	300,000,000	2013/05/06	2013/09/27	75.00
68916	Credit Suisse AG - HSI R Bear CBBC Sep 2013 N	300,000,000	2013/05/06	2013/09/27	75.00
68921	Credit Suisse AG - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/05/06	2013/11/28	75.00
68922	Credit Suisse AG - HSI R Bear CBBC Nov 2013 C	300,000,000	2013/05/06	2013/11/28	75.00
68923	Credit Suisse AG - HSI R Bear CBBC Nov 2013 D	300,000,000	2013/05/06	2013/11/28	75.00
68958	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 E	150,000,000	2013/05/06	2013/08/29	39.00
68959	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 F	150,000,000	2013/05/06	2013/08/29	38.40
68960	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 G	150,000,000	2013/05/06	2013/08/29	38.10
68961	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 H	150,000,000	2013/05/06	2013/08/29	37.80
68951	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 Q	60,000,000	2013/05/06	2013/09/27	15.00
68952	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 R	60,000,000	2013/05/06	2013/09/27	15.00
68949	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 R	60,000,000	2013/05/06	2013/09/27	15.00
68950	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Sep 2013 S	60,000,000	2013/05/06	2013/09/27	15.00
68909	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 B	100,000,000	2013/05/06	2013/09/27	25.00
68910	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 B	100,000,000	2013/05/06	2013/08/29	25.00
68932	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 C	100,000,000	2013/05/06	2013/08/29	25.00
68933	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 C	200,000,000	2013/05/06	2013/12/30	50.00
68927	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 F	200,000,000	2013/05/06	2013/08/29	50.00
68928	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 G	200,000,000	2013/05/06	2013/08/29	50.00
68929	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 F	200,000,000	2013/05/06	2013/08/29	50.00
68931	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 G	200,000,000	2013/05/06	2013/08/29	50.00
68948	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 H	200,000,000	2013/05/06	2013/08/29	50.00
68957	UBS AG - CC Bank R Bull CBBC Dec 2013 B	100,000,000	2013/05/06	2013/12/16	25.00
68953	UBS AG - HSBC R Bull CBBC Nov 2013 B	100,000,000	2013/05/06	2013/11/11	25.00
68943	UBS AG - HSI R Bull CBBC Oct 2013 M	300,000,000	2013/05/06	2013/10/30	75.00
68942	UBS AG - HSI R Bull CBBC Oct 2013 O	300,000,000	2013/05/06	2013/10/30	75.00
68944	UBS AG - HSI R Bull CBBC Nov 2013 E	300,000,000	2013/05/06	2013/11/28	75.00
68946	UBS AG - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/05/06	2013/12/30	75.00
68924	UBS AG - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/05/06	2013/08/29	75.00
68926	UBS AG - HSI R Bear CBBC Sep 2013 J	300,000,000	2013/05/06	2013/09/27	75.00
68925	UBS AG - HSI R Bear CBBC Oct 2013 P	350,000,000	2013/05/06	2013/10/30	87.50
68955	UBS AG - Hutchison R Bull CBBC Oct 2013 B	100,000,000	2013/05/06	2013/10/28	25.00
68980	Credit Suisse AG - HSI R Bull CBBC Sep 2013 H	300,000,000	2013/05/07	2013/09/27	75.00
68977	Credit Suisse AG - HSI R Bull CBBC Oct 2013 J	300,000,000	2013/05/07	2013/10/30	75.00
68984	Credit Suisse AG - HSI R Bull CBBC Oct 2013 N	300,000,000	2013/05/07	2013/10/30	75.00
68985	Credit Suisse AG - HSI R Bull CBBC Oct 2013 O	300,000,000	2013/05/07	2013/10/30	75.00
68972	Credit Suisse AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/05/07	2013/08/29	75.00
68974	Credit Suisse AG - HSI R Bear CBBC Sep 2013 O	300,000,000	2013/05/07	2013/09/27	75.00
68973	Credit Suisse AG - HSI R Bear CBBC Oct 2013 D	300,000,000	2013/05/07	2013/10/30	75.00
68969	HK Bank - ABC R Bull CBBC Feb 2014 A	80,000,000	2013/05/07	2014/02/28	20.00
68967	HK Bank - China Mobile R Bull CBBC Nov 2013 D	120,000,000	2013/05/07	2013/11/11	30.00
68966	HK Bank - HSCEI R Bull CBBC Sep 2013 D	100,000,000	2013/05/07	2013/09/27	25.00
68963	HK Bank - HSI R Bull CBBC Sep 2013 J	100,000,000	2013/05/07	2013/09/27	25.00
68962	HK Bank - HSI R Bull CBBC Nov 2013 J	100,000,000	2013/05/07	2013/11/28	25.00

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68965	HK Bank - HSI R Bull CBBC Dec 2013 G	400,000,000	2013/05/07	2013/12/30	100.00
68968	HK Bank - Hutchison R Bull CBBC Nov 2013 D	60,000,000	2013/05/07	2013/11/28	15.00
68970	HK Bank - SHK Ppt R Bull CBBC Jan 2014 A	60,000,000	2013/05/07	2014/01/16	15.00
68981	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 J	200,000,000	2013/05/07	2013/08/29	50.00
68982	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 L	200,000,000	2013/05/07	2013/08/29	50.00
68983	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 I	200,000,000	2013/05/07	2013/08/29	50.00
68989	UBS AG - HSI R Bull CBBC Dec 2013 B	350,000,000	2013/05/07	2013/12/30	87.50
68986	UBS AG - HSI R Bull CBBC Jan 2014 C	300,000,000	2013/05/07	2014/01/29	75.00
68988	UBS AG - HSI R Bull CBBC Jan 2014 D	300,000,000	2013/05/07	2014/01/29	75.00
68971	UBS AG - HSI R Bear CBBC Sep 2013 K	300,000,000	2013/05/07	2013/09/27	75.00
61140 [#]	HK Bank - HSI R Bear CBBC Nov 2013 I	280,000,000	2013/05/07	2013/11/28	22.12
69001	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 J	300,000,000	2013/05/08	2013/09/27	75.00
69031	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 K	300,000,000	2013/05/08	2013/09/27	75.00
69030	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 U	300,000,000	2013/05/08	2013/09/27	75.00
68999	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 G	300,000,000	2013/05/08	2013/08/29	75.00
69000	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 H	300,000,000	2013/05/08	2013/08/29	75.00
69002	Credit Suisse AG - A50 China R Bull CBBC Oct 2013 B	50,000,000	2013/05/08	2013/10/25	12.50
69003	Credit Suisse AG - A50 China R Bull CBBC Nov 2013 A	50,000,000	2013/05/08	2013/11/29	12.50
69004	Credit Suisse AG - A50 China R Bull CBBC Nov 2013 B	50,000,000	2013/05/08	2013/11/29	12.50
69027	Credit Suisse AG - HSI R Bull CBBC Jan 2014 D	300,000,000	2013/05/08	2014/01/29	75.00
69028	Credit Suisse AG - HSI R Bull CBBC Jan 2014 E	300,000,000	2013/05/08	2014/01/29	75.00
69029	Credit Suisse AG - HSI R Bull CBBC Jan 2014 F	300,000,000	2013/05/08	2014/01/29	75.00
69005	Credit Suisse AG - HSI R Bear CBBC Aug 2013 K	300,000,000	2013/05/08	2013/08/29	75.00
69015	Credit Suisse AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/05/08	2013/08/29	75.00
69007	Credit Suisse AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/05/08	2013/08/29	75.00
69009	Credit Suisse AG - HSI R Bear CBBC Aug 2013 U	300,000,000	2013/05/08	2013/08/29	75.00
69020	Credit Suisse AG - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/05/08	2013/08/29	75.00
69008	Credit Suisse AG - HSI R Bear CBBC Sep 2013 Q	300,000,000	2013/05/08	2013/09/27	75.00
69006	Credit Suisse AG - HSI R Bear CBBC Sep 2013 R	300,000,000	2013/05/08	2013/09/27	75.00
69010	Credit Suisse AG - HSI R Bear CBBC Sep 2013 S	300,000,000	2013/05/08	2013/09/27	75.00
69012	Credit Suisse AG - HSI R Bear CBBC Sep 2013 T	300,000,000	2013/05/08	2013/09/27	75.00
69011	Credit Suisse AG - HSI R Bear CBBC Oct 2013 E	300,000,000	2013/05/08	2013/10/30	75.00
69013	Credit Suisse AG - HSI R Bear CBBC Oct 2013 F	300,000,000	2013/05/08	2013/10/30	75.00
69021	Credit Suisse AG - HSI R Bear CBBC Oct 2013 G	300,000,000	2013/05/08	2013/10/30	75.00
69014	Credit Suisse AG - HSI R Bear CBBC Nov 2013 E	300,000,000	2013/05/08	2013/11/28	75.00
69032	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 A	150,000,000	2013/05/08	2013/08/29	38.25
69034	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 B	150,000,000	2013/05/08	2013/08/29	37.95
69035	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 C	150,000,000	2013/05/08	2013/08/29	38.25
69036	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 D	150,000,000	2013/05/08	2013/08/29	37.95
68992	HK Bank - COVS R Bull CBBC Nov 2013 C	50,000,000	2013/05/08	2013/11/11	12.50
68993	HK Bank - CNOOC R Bull CBBC Nov 2013 B	60,000,000	2013/05/08	2013/11/11	15.00
68990	HK Bank - Galaxy Ent R Bull CBBC Nov 2013 A	50,000,000	2013/05/08	2013/11/25	20.00
68991	HK Bank - Sands China R Bull CBBC Nov 2013 B	60,000,000	2013/05/08	2013/11/25	15.00
68994	HK Bank - Yanzhou Coal R Bull CBBC Dec 2013 B	50,000,000	2013/05/08	2013/12/23	12.50
69026	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 C	100,000,000	2013/05/08	2013/09/27	25.00

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68995	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 D	100,000,000	2013/05/08	2013/08/29	25.00
69022	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 C	200,000,000	2013/05/08	2013/08/29	50.00
69023	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 D	200,000,000	2013/05/08	2013/08/29	50.00
69024	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 J	200,000,000	2013/05/08	2013/08/29	50.00
69025	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 K	200,000,000	2013/05/08	2013/08/29	50.00
69040	UBS AG - HSI R Bull CBBC Nov 2013 G	300,000,000	2013/05/08	2013/11/28	75.00
69037	UBS AG - HSI R Bull CBBC Nov 2013 H	300,000,000	2013/05/08	2013/11/28	75.00
69039	UBS AG - HSI R Bull CBBC Dec 2013 D	350,000,000	2013/05/08	2013/12/30	87.50
69038	UBS AG - HSI R Bull CBBC Jan 2014 E	300,000,000	2013/05/08	2014/01/29	75.00
68996	UBS AG - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/05/08	2013/08/29	75.00
68997	UBS AG - HSI R Bear CBBC Sep 2013 G	300,000,000	2013/05/08	2013/09/27	75.00
68998	UBS AG - HSI R Bear CBBC Dec 2013 H	350,000,000	2013/05/08	2013/12/30	87.50
69041	Credit Suisse AG - HSI R Bull CBBC Nov 2013 U	300,000,000	2013/05/09	2013/11/28	75.00
69042	Credit Suisse AG - HSI R Bull CBBC Nov 2013 V	300,000,000	2013/05/09	2013/11/28	75.00
69043	Credit Suisse AG - HSI R Bull CBBC Nov 2013 W	300,000,000	2013/05/09	2013/11/28	75.00
69054	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/05/09	2013/08/29	75.00
69055	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Z	300,000,000	2013/05/09	2013/08/29	75.00
69067	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 I	150,000,000	2013/05/09	2013/08/29	38.10
69066	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 E	150,000,000	2013/05/09	2013/08/29	37.50
69047	HK Bank - Anhui Conch R Bull CBBC Sep 2014 B	50,000,000	2013/05/09	2014/09/18	12.50
69048	HK Bank - AIA R Bull CBBC Nov 2013 B	60,000,000	2013/05/09	2013/11/25	15.00
69046	HK Bank - CUni R Bull CBBC Oct 2013 C	50,000,000	2013/05/09	2013/10/28	12.50
69045	HK Bank - Galaxy Ent R Bear CBBC Jan 2014 A	40,000,000	2013/05/09	2014/01/27	30.00
69044	HK Bank - HSI R Bull CBBC Oct 2013 J	100,000,000	2013/05/09	2013/10/30	25.00
69049	HK Bank - Ping An R Bull CBBC Nov 2013 A	60,000,000	2013/05/09	2013/11/25	15.00
69060	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 A	60,000,000	2013/05/09	2013/10/30	15.00
69061	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 B	60,000,000	2013/05/09	2013/10/30	15.00
69062	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 C	60,000,000	2013/05/09	2013/10/30	15.00
69058	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 A	60,000,000	2013/05/09	2013/10/30	15.00
69052	UBS AG - HSI R Bull CBBC Jan 2014 F	350,000,000	2013/05/09	2014/01/29	87.50
69056	UBS AG - HSI R Bear CBBC Oct 2013 Q	300,000,000	2013/05/09	2013/10/30	75.00
69057	UBS AG - HSI R Bear CBBC Nov 2013 I	350,000,000	2013/05/09	2013/11/28	87.50
69065	UBS AG - SHK Ppt R Bull CBBC Jan 2014 B	100,000,000	2013/05/09	2014/01/27	25.00
69063	UBS AG - TCH R Bull CBBC Nov 2013 A	100,000,000	2013/05/09	2013/11/11	31.50
69097	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 C	200,000,000	2013/05/10	2013/12/30	50.00
69099	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 T	300,000,000	2013/05/10	2013/09/27	75.00
69098	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 Z	300,000,000	2013/05/10	2013/09/27	75.00
69116	Credit Suisse AG - HSI R Bull CBBC Oct 2013 P	300,000,000	2013/05/10	2013/10/30	75.00
69117	Credit Suisse AG - HSI R Bull CBBC Oct 2013 W	300,000,000	2013/05/10	2013/10/30	75.00
69078	Credit Suisse AG - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/05/10	2013/08/29	75.00
69081	Credit Suisse AG - HSI R Bear CBBC Sep 2013 K	300,000,000	2013/05/10	2013/09/27	75.00
69082	Credit Suisse AG - HSI R Bear CBBC Oct 2013 H	300,000,000	2013/05/10	2013/10/30	75.00
69118	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 J	150,000,000	2013/05/10	2013/08/29	38.10
69076	HK Bank - CC Bank R Bull CBBC Jan 2014 A	80,000,000	2013/05/10	2014/01/21	20.00
69074	HK Bank - CNBM R Bull CBBC Dec 2013 A	50,000,000	2013/05/10	2013/12/30	14.00

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69075	HK Bank - CNBM R Bull CBBC Dec 2013 B	50,000,000	2013/05/10	2013/12/09	12.50
69070	HK Bank - HSI R Bull CBBC Nov 2013 K	100,000,000	2013/05/10	2013/11/28	25.00
69069	HK Bank - HSI R Bull CBBC Dec 2013 H	100,000,000	2013/05/10	2013/12/30	25.00
69071	HK Bank - HSI R Bear CBBC Dec 2013 E	400,000,000	2013/05/10	2013/12/30	100.00
69073	HK Bank - ICBC R Bull CBBC Nov 2013 B	80,000,000	2013/05/10	2013/11/04	20.00
69072	HK Bank - TCH R Bull CBBC Oct 2013 B	50,000,000	2013/05/10	2013/10/28	18.00
69100	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 D	100,000,000	2013/05/10	2013/09/27	25.00
69095	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 E	200,000,000	2013/05/10	2013/08/29	50.00
69094	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 F	200,000,000	2013/05/10	2013/09/27	50.00
69096	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 L	200,000,000	2013/05/10	2013/08/29	50.00
69110	UBS AG - Anhui Conch R Bull CBBC Aug 2014 A	100,000,000	2013/05/10	2014/08/18	25.00
69111	UBS AG - CUni R Bull CBBC Dec 2013 A	100,000,000	2013/05/10	2013/12/09	25.00
69106	UBS AG - CNBM R Bull CBBC Nov 2013 A	50,000,000	2013/05/10	2013/11/04	12.50
69105	UBS AG - CNBM R Bear CBBC Nov 2013 A	50,000,000	2013/05/10	2013/11/18	12.50
69113	UBS AG - Galaxy Ent R Bull CBBC Aug 2013 B	50,000,000	2013/05/10	2013/08/19	15.00
69115	UBS AG - Galaxy Ent R Bull CBBC Sep 2013 B	50,000,000	2013/05/10	2013/09/30	37.50
69112	UBS AG - Galaxy Ent R Bear CBBC Oct 2013 A	50,000,000	2013/05/10	2013/10/28	33.50
69101	UBS AG - HSI R Bull CBBC Oct 2013 P	300,000,000	2013/05/10	2013/10/30	75.00
69102	UBS AG - HSI R Bull CBBC Nov 2013 I	300,000,000	2013/05/10	2013/11/28	75.00
69103	UBS AG - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/05/10	2013/12/30	75.00
69083	UBS AG - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/05/10	2013/08/29	75.00
69091	UBS AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/05/10	2013/08/29	75.00
69092	UBS AG - HSI R Bear CBBC Aug 2013 R	350,000,000	2013/05/10	2013/08/29	87.50
69093	UBS AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/05/10	2013/08/29	75.00
69104	UBS AG - Ping An R Bull CBBC Oct 2013 C	100,000,000	2013/05/10	2013/10/28	25.00
69109	UBS AG - Yanzhou Coal R Bull CBBC Nov 2013 B	50,000,000	2013/05/10	2013/11/11	12.50
69108	UBS AG - Yanzhou Coal R Bull CBBC Jun 2014 A	50,000,000	2013/05/10	2014/06/30	12.50
69107	UBS AG - Yanzhou Coal R Bear CBBC Dec 2013 A	50,000,000	2013/05/10	2013/12/09	12.50
69122	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 E	300,000,000	2013/05/13	2013/09/27	75.00
69148	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 S	300,000,000	2013/05/13	2013/09/27	75.00
69121	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 I	300,000,000	2013/05/13	2013/08/29	75.00
69150	Credit Suisse AG - HSI R Bull CBBC Jan 2014 G	300,000,000	2013/05/13	2014/01/29	75.00
69151	Credit Suisse AG - HSI R Bull CBBC Jan 2014 H	300,000,000	2013/05/13	2014/01/29	75.00
69152	Credit Suisse AG - HSI R Bull CBBC Jan 2014 I	300,000,000	2013/05/13	2014/01/29	75.00
69120	Credit Suisse AG - HSI R Bear CBBC Aug 2013 W	300,000,000	2013/05/13	2013/08/29	75.00
69119	Credit Suisse AG - HSI R Bear CBBC Sep 2013 L	300,000,000	2013/05/13	2013/09/27	75.00
69153	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Q	150,000,000	2013/05/13	2013/12/30	37.50
69167	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 R	150,000,000	2013/05/13	2013/12/30	37.50
69168	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 T	150,000,000	2013/05/13	2013/12/30	37.50
69169	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 U	150,000,000	2013/05/13	2013/12/30	37.50
69170	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 V	150,000,000	2013/05/13	2013/12/30	37.50
69137	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 K	150,000,000	2013/05/13	2013/08/29	38.55
69144	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 L	150,000,000	2013/05/13	2013/08/29	38.70
69125	HK Bank - CAM CSI300 R Bull CBBC Oct 2013 A	60,000,000	2013/05/13	2013/10/21	15.00
69123	HK Bank - CAM CSI300 R Bull CBBC Nov 2013 A	60,000,000	2013/05/13	2013/11/18	22.80

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69130	HK Bank - Minsheng Bank R Bull CBBC Oct 2013 B	40,000,000	2013/05/13	2013/10/15	10.00
69131	HK Bank - Minsheng Bank R Bull CBBC Nov 2013 A	40,000,000	2013/05/13	2013/11/11	10.00
69129	HK Bank - Minsheng Bank R Bear CBBC Nov 2013 A	40,000,000	2013/05/13	2013/11/11	10.00
69126	HK Bank - CPIC R Bull CBBC Oct 2013 A	60,000,000	2013/05/13	2013/10/28	18.60
69147	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 H	200,000,000	2013/05/13	2013/08/29	50.00
69132	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 M	200,000,000	2013/05/13	2013/08/29	50.00
69145	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 N	200,000,000	2013/05/13	2013/08/29	50.00
69146	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 O	200,000,000	2013/05/13	2013/08/29	50.00
69155	UBS AG - CAM CSI300 R Bull CBBC Feb 2014 A	40,000,000	2013/05/13	2014/02/10	10.00
69154	UBS AG - CAM CSI300 R Bear CBBC Dec 2013 A	40,000,000	2013/05/13	2013/12/16	10.60
69162	UBS AG - Minsheng Bank R Bull CBBC Nov 2013 A	50,000,000	2013/05/13	2013/11/25	12.50
69161	UBS AG - Minsheng Bank R Bear CBBC Oct 2013 A	50,000,000	2013/05/13	2013/10/28	12.50
69157	UBS AG - CPIC R Bull CBBC Nov 2013 A	30,000,000	2013/05/13	2013/11/04	11.25
69156	UBS AG - CPIC R Bear CBBC Oct 2013 A	30,000,000	2013/05/13	2013/10/07	13.05
69160	UBS AG - HSI R Bull CBBC Oct 2013 R	300,000,000	2013/05/13	2013/10/30	75.00
69159	UBS AG - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/05/13	2013/12/30	75.00
69158	UBS AG - HSI R Bull CBBC Jan 2014 G	300,000,000	2013/05/13	2014/01/29	75.00
69135	UBS AG - HSI R Bear CBBC Aug 2013 B	300,000,000	2013/05/13	2013/08/29	75.00
69133	UBS AG - HSI R Bear CBBC Aug 2013 X	300,000,000	2013/05/13	2013/08/29	75.00
69134	UBS AG - HSI R Bear CBBC Sep 2013 L	300,000,000	2013/05/13	2013/09/27	75.00
69136	UBS AG - HSI R Bear CBBC Oct 2013 R	350,000,000	2013/05/13	2013/10/30	87.50
69166	UBS AG - Lenovo R Bull CBBC Dec 2013 C	50,000,000	2013/05/13	2013/12/09	12.50
69165	UBS AG - Lenovo R Bear CBBC Oct 2013 A	50,000,000	2013/05/13	2013/10/28	12.50
69164	UBS AG - PICC Group R Bull CBBC Dec 2013 A	50,000,000	2013/05/13	2013/12/16	12.50
69163	UBS AG - PICC Group R Bear CBBC Nov 2013 A	50,000,000	2013/05/13	2013/11/11	12.50
69218	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 L	300,000,000	2013/05/14	2013/09/27	75.00
69223	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 M	300,000,000	2013/05/14	2013/09/27	75.00
69211	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 J	300,000,000	2013/05/14	2013/08/29	75.00
69226	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 K	300,000,000	2013/05/14	2013/08/29	75.00
69229	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/05/14	2013/08/29	75.00
69214	Credit Suisse AG - HSI R Bull CBBC Feb 2014 F	300,000,000	2013/05/14	2014/02/27	75.00
69215	Credit Suisse AG - HSI R Bull CBBC Feb 2014 G	300,000,000	2013/05/14	2014/02/27	75.00
69216	Credit Suisse AG - HSI R Bull CBBC Feb 2014 H	300,000,000	2013/05/14	2014/02/27	75.00
69217	Credit Suisse AG - HSI R Bull CBBC Feb 2014 I	300,000,000	2013/05/14	2014/02/27	75.00
69192	Credit Suisse AG - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/05/14	2013/08/29	75.00
69191	Credit Suisse AG - HSI R Bear CBBC Sep 2013 P	300,000,000	2013/05/14	2013/09/27	75.00
69213	Credit Suisse AG - HSI R Bear CBBC Sep 2013 U	300,000,000	2013/05/14	2013/09/27	75.00
69236	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 A	150,000,000	2013/05/14	2014/01/29	37.50
69237	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 B	150,000,000	2013/05/14	2014/01/29	37.50
69238	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 C	150,000,000	2013/05/14	2014/01/29	37.50
69239	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 D	150,000,000	2013/05/14	2014/01/29	37.50
69240	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 E	150,000,000	2013/05/14	2014/01/29	37.50
69212	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Sep 2013 M	150,000,000	2013/05/14	2013/09/27	37.50
69233	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 M	150,000,000	2013/05/14	2013/08/29	38.10
69234	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 N	150,000,000	2013/05/14	2013/08/29	37.65

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69235	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 O	150,000,000	2013/05/14	2013/08/29	38.10
69177	HK Bank - BOCL R Bull CBBC Mar 2014 A	80,000,000	2013/05/14	2014/03/18	20.00
69182	HK Bank - China Mobile R Bull CBBC Dec 2013 B	120,000,000	2013/05/14	2013/12/02	30.00
69176	HK Bank - Cheung Kong R Bull CBBC Dec 2013 A	60,000,000	2013/05/14	2013/12/02	15.00
69174	HK Bank - A50 R Bull CBBC Sep 2013 J	100,000,000	2013/05/14	2013/09/30	25.00
69171	HK Bank - HSI R Bull CBBC Nov 2013 L	100,000,000	2013/05/14	2013/11/28	25.00
69172	HK Bank - HSI R Bull CBBC Dec 2013 I	400,000,000	2013/05/14	2013/12/30	100.00
69183	HK Bank - Lenovo R Bear CBBC Jan 2014 A	40,000,000	2013/05/14	2014/01/21	10.00
69185	HK Bank - PICC Group R Bull CBBC Nov 2013 A	50,000,000	2013/05/14	2013/11/25	12.50
69184	HK Bank - PICC Group R Bull CBBC Dec 2013 A	50,000,000	2013/05/14	2013/12/12	12.50
69181	HK Bank - TCH R Bull CBBC Oct 2013 C	50,000,000	2013/05/14	2013/10/15	13.50
69188	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 E	100,000,000	2013/05/14	2013/08/29	25.00
69189	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 D	200,000,000	2013/05/14	2013/12/30	50.00
69190	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 E	200,000,000	2013/05/14	2013/12/30	50.00
69186	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 P	200,000,000	2013/05/14	2013/08/29	50.00
69187	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 Q	200,000,000	2013/05/14	2013/08/29	50.00
69194	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 R	200,000,000	2013/05/14	2013/08/29	50.00
69201	UBS AG - AIA R Bull CBBC May 2014 A	100,000,000	2013/05/14	2014/05/26	25.00
69200	UBS AG - AIA R Bear CBBC Feb 2014 A	100,000,000	2013/05/14	2014/02/10	25.00
69203	UBS AG - CNOOC R Bull CBBC Nov 2013 A	100,000,000	2013/05/14	2013/11/04	25.00
69197	UBS AG - A50 China R Bull CBBC Jan 2014 D	100,000,000	2013/05/14	2014/01/20	25.00
69207	UBS AG - HKEx R Bull CBBC Nov 2013 A	100,000,000	2013/05/14	2013/11/25	25.00
69210	UBS AG - HSBC R Bear CBBC Dec 2013 A	100,000,000	2013/05/14	2013/12/09	25.00
69196	UBS AG - HSCEI R Bull CBBC Nov 2013 A	100,000,000	2013/05/14	2013/11/28	25.00
69195	UBS AG - HSCEI R Bear CBBC Aug 2013 D	100,000,000	2013/05/14	2013/08/29	25.00
69231	UBS AG - HSI R Bull CBBC Oct 2013 Q	300,000,000	2013/05/14	2013/10/30	75.00
69230	UBS AG - HSI R Bull CBBC Nov 2013 J	300,000,000	2013/05/14	2013/11/28	75.00
69193	UBS AG - HSI R Bear CBBC Sep 2013 Q	300,000,000	2013/05/14	2013/09/27	75.00
69232	UBS AG - HSI R Bear CBBC Sep 2013 R	300,000,000	2013/05/14	2013/09/27	75.00
69209	UBS AG - Hutchison R Bull CBBC Nov 2013 A	100,000,000	2013/05/14	2013/11/25	25.00
69199	UBS AG - ICBC R Bull CBBC Nov 2013 A	100,000,000	2013/05/14	2013/11/04	25.00
69198	UBS AG - Sands China R Bull CBBC Nov 2013 A	100,000,000	2013/05/14	2013/11/25	25.00
69208	UBS AG - SHK Ppt R Bull CBBC Jan 2014 C	100,000,000	2013/05/14	2014/01/13	25.00
69206	UBS AG - TCH R Bull CBBC Dec 2013 B	100,000,000	2013/05/14	2013/12/16	25.00
69267	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2013 A	300,000,000	2013/05/15	2013/08/29	75.00
69268	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 N	300,000,000	2013/05/15	2013/09/27	75.00
69289	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 P	300,000,000	2013/05/15	2013/09/27	75.00
69293	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 Q	300,000,000	2013/05/15	2013/09/27	75.00
69270	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 R	300,000,000	2013/05/15	2013/09/27	75.00
69252	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/05/15	2013/08/29	75.00
69253	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/05/15	2013/08/29	75.00
69265	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/05/15	2013/08/29	75.00
69279	Credit Suisse AG - HSI R Bull CBBC Jan 2014 J	300,000,000	2013/05/15	2014/01/29	75.00
69282	Credit Suisse AG - HSI R Bull CBBC Jan 2014 K	300,000,000	2013/05/15	2014/01/29	75.00
69283	Credit Suisse AG - HSI R Bull CBBC Jan 2014 L	300,000,000	2013/05/15	2014/01/29	75.00

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69258	Credit Suisse AG - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/05/15	2013/08/29	75.00
69259	Credit Suisse AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/05/15	2013/08/29	75.00
69311	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 F	150,000,000	2013/05/15	2014/01/29	37.50
69312	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 G	150,000,000	2013/05/15	2014/01/29	37.50
69313	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 H	150,000,000	2013/05/15	2014/01/29	37.50
69314	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 I	150,000,000	2013/05/15	2014/01/29	37.50
69316	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 J	150,000,000	2013/05/15	2014/01/29	37.50
69261	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 A	150,000,000	2013/05/15	2013/12/30	37.50
69262	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 B	150,000,000	2013/05/15	2013/12/30	37.50
69307	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 P	120,000,000	2013/05/15	2013/08/29	30.12
69309	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 Q	120,000,000	2013/05/15	2013/08/29	30.12
69310	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 R	120,000,000	2013/05/15	2013/08/29	30.12
69308	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 F	120,000,000	2013/05/15	2013/08/29	30.00
69246	HK Bank - AIA R Bear CBBC Nov 2013 B	50,000,000	2013/05/15	2013/11/25	24.50
69247	HK Bank - Cheung Kong R Bear CBBC Dec 2013 A	50,000,000	2013/05/15	2013/12/02	12.50
69245	HK Bank - HSCEI R Bull CBBC Oct 2013 C	100,000,000	2013/05/15	2013/10/30	25.00
69300	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 G	300,000,000	2013/05/15	2013/10/30	75.00
69304	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 H	300,000,000	2013/05/15	2013/10/30	75.00
69301	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 A	300,000,000	2013/05/15	2013/11/28	75.00
69305	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 B	300,000,000	2013/05/15	2013/11/28	75.00
69303	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/05/15	2013/12/30	75.00
69306	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/05/15	2013/12/30	75.00
69241	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 D	60,000,000	2013/05/15	2013/10/30	15.00
69242	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 E	60,000,000	2013/05/15	2013/10/30	15.00
69243	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 F	60,000,000	2013/05/15	2013/10/30	15.00
69244	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 G	60,000,000	2013/05/15	2013/10/30	15.00
69248	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 I	100,000,000	2013/05/15	2013/09/27	25.00
69251	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 F	100,000,000	2013/05/15	2013/08/29	25.00
69249	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 A	100,000,000	2013/05/15	2013/10/30	25.00
69250	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 B	100,000,000	2013/05/15	2013/10/30	25.00
69255	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 K	200,000,000	2013/05/15	2013/08/29	50.00
69294	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 M	200,000,000	2013/05/15	2013/08/29	50.00
69254	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 G	200,000,000	2013/05/15	2013/09/27	50.00
69256	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 S	200,000,000	2013/05/15	2013/08/29	50.00
69257	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 T	200,000,000	2013/05/15	2013/08/29	50.00
69295	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 U	200,000,000	2013/05/15	2013/08/29	50.00
69273	UBS AG - AIA R Bear CBBC Mar 2014 A	100,000,000	2013/05/15	2014/03/17	25.00
69275	UBS AG - China Mobile R Bull CBBC Nov 2013 C	100,000,000	2013/05/15	2013/11/04	25.00
69276	UBS AG - COVS R Bull CBBC Nov 2013 A	50,000,000	2013/05/15	2013/11/04	14.00
69278	UBS AG - Cheung Kong R Bull CBBC Nov 2013 A	100,000,000	2013/05/15	2013/11/04	25.00
69272	UBS AG - China Life R Bull CBBC Oct 2013 B	100,000,000	2013/05/15	2013/10/21	25.00
69277	UBS AG - HKEH R Bear CBBC Nov 2013 A	100,000,000	2013/05/15	2013/11/11	25.50
69299	UBS AG - HSCEI R Bull CBBC Oct 2013 E	100,000,000	2013/05/15	2013/10/30	25.00
69298	UBS AG - HSI R Bull CBBC Oct 2013 B	300,000,000	2013/05/15	2013/10/30	75.00
69297	UBS AG - HSI R Bull CBBC Nov 2013 K	300,000,000	2013/05/15	2013/11/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69296	UBS AG - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/05/15	2013/12/30	75.00
69264	UBS AG - HSI R Bear CBBC Aug 2013 U	300,000,000	2013/05/15	2013/08/29	75.00
69271	UBS AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/05/15	2013/08/29	75.00
69322	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/05/16	2013/08/29	75.00
69323	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/05/16	2013/08/29	75.00
69324	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/05/16	2013/08/29	75.00
69325	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/05/16	2013/08/29	75.00
69326	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/05/16	2013/08/29	75.00
69333	Credit Suisse AG - HSI R Bull CBBC Nov 2013 B	300,000,000	2013/05/16	2013/11/28	75.00
69331	Credit Suisse AG - HSI R Bull CBBC Nov 2013 X	300,000,000	2013/05/16	2013/11/28	75.00
69338	Credit Suisse AG - HSI R Bull CBBC Jan 2014 N	300,000,000	2013/05/16	2014/01/29	75.00
69340	Credit Suisse AG - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/05/16	2013/08/29	75.00
69339	Credit Suisse AG - HSI R Bear CBBC Sep 2013 V	300,000,000	2013/05/16	2013/09/27	75.00
69341	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 C	150,000,000	2013/05/16	2013/12/30	37.50
69321	HK Bank - China Life R Bull CBBC Oct 2013 B	60,000,000	2013/05/16	2013/10/18	15.00
69320	HK Bank - HSI R Bull CBBC Oct 2013 K	100,000,000	2013/05/16	2013/10/30	25.00
69319	HK Bank - HSI R Bull CBBC Nov 2013 M	400,000,000	2013/05/16	2013/11/28	100.00
69327	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 J	100,000,000	2013/05/16	2013/09/27	25.00
69328	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 G	100,000,000	2013/05/16	2013/08/29	25.00
69334	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 V	200,000,000	2013/05/16	2013/08/29	50.00
69330	UBS AG - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/05/16	2013/12/30	75.00
69329	UBS AG - HSI R Bull CBBC Jan 2014 H	300,000,000	2013/05/16	2014/01/29	75.00
69343	UBS AG - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/05/16	2014/03/28	75.00
69349	UBS AG - HSI R Bull CBBC Mar 2014 O	300,000,000	2013/05/16	2014/03/28	75.00
69342	UBS AG - HSI R Bull CBBC Apr 2014 C	300,000,000	2013/05/16	2014/04/29	75.00
69348	UBS AG - HSI R Bull CBBC May 2014 C	300,000,000	2013/05/16	2014/05/29	75.00
69346	UBS AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/05/16	2013/08/29	75.00
69345	UBS AG - HSI R Bear CBBC Aug 2013 Z	300,000,000	2013/05/16	2013/08/29	75.00
69344	UBS AG - HSI R Bear CBBC Sep 2013 S	350,000,000	2013/05/16	2013/09/27	87.50
69347	UBS AG - HSI R Bear CBBC Oct 2013 S	300,000,000	2013/05/16	2013/10/30	75.00
69368	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 O	300,000,000	2013/05/20	2013/09/27	75.00
69366	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 U	300,000,000	2013/05/20	2013/08/29	75.00
69367	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/05/20	2013/08/29	75.00
69357	Credit Suisse AG - HSI R Bull CBBC Oct 2013 R	300,000,000	2013/05/20	2013/10/30	75.00
69358	Credit Suisse AG - HSI R Bull CBBC Oct 2013 U	300,000,000	2013/05/20	2013/10/30	75.00
69365	Credit Suisse AG - HSI R Bull CBBC Jan 2014 O	300,000,000	2013/05/20	2014/01/29	75.00
69363	Credit Suisse AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/05/20	2013/08/29	75.00
69362	Credit Suisse AG - HSI R Bear CBBC Aug 2013 X	300,000,000	2013/05/20	2013/08/29	75.00
69364	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 K	150,000,000	2013/05/20	2014/01/29	37.50
69353	HK Bank - China Shenhua R Bull CBBC Aug 2014 A	60,000,000	2013/05/20	2014/08/25	15.00
69354	HK Bank - Evergrande R Bull CBBC Feb 2014 A	60,000,000	2013/05/20	2014/02/24	15.00
69351	HK Bank - Lenovo R Bear CBBC Jan 2014 B	40,000,000	2013/05/20	2014/01/16	11.60
69356	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 N	200,000,000	2013/05/20	2013/08/29	50.00
69355	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 W	200,000,000	2013/05/20	2013/08/29	50.00
69369	UBS AG - HSI R Bull CBBC Oct 2013 S	300,000,000	2013/05/20	2013/10/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69360	UBS AG - HSI R Bull CBBC Nov 2013 L	350,000,000	2013/05/20	2013/11/28	87.50
69359	UBS AG - HSI R Bull CBBC Jan 2014 I	300,000,000	2013/05/20	2014/01/29	75.00
69361	UBS AG - HSI R Bear CBBC Oct 2013 T	300,000,000	2013/05/20	2013/10/30	75.00
69377	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/05/21	2013/08/29	75.00
69378	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 B	300,000,000	2013/05/21	2013/08/29	75.00
69379	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 C	300,000,000	2013/05/21	2013/08/29	75.00
69373	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 W	300,000,000	2013/05/21	2013/08/29	75.00
69374	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 X	300,000,000	2013/05/21	2013/08/29	75.00
69375	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/05/21	2013/08/29	75.00
69376	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 Z	300,000,000	2013/05/21	2013/08/29	75.00
69371	Credit Suisse AG - HSI R Bull CBBC Nov 2013 C	300,000,000	2013/05/21	2013/11/28	75.00
69370	Credit Suisse AG - HSI R Bull CBBC Nov 2013 Y	300,000,000	2013/05/21	2013/11/28	75.00
69382	Credit Suisse AG - HSI R Bear CBBC Aug 2013 K	300,000,000	2013/05/21	2013/08/29	75.00
69380	Credit Suisse AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/05/21	2013/08/29	75.00
69381	Credit Suisse AG - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/05/21	2013/08/29	75.00
69393	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 G	150,000,000	2013/05/21	2013/08/29	37.95
69395	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 H	150,000,000	2013/05/21	2013/08/29	38.85
69397	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 B	300,000,000	2013/05/21	2013/10/30	75.00
69398	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 C	300,000,000	2013/05/21	2013/10/30	75.00
69400	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 D	300,000,000	2013/05/21	2013/10/30	75.00
69396	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/05/21	2013/11/28	75.00
69399	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/05/21	2013/11/28	75.00
69383	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 B	100,000,000	2013/05/21	2013/10/30	25.00
69384	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 C	100,000,000	2013/05/21	2013/10/30	25.00
69385	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 D	100,000,000	2013/05/21	2013/10/30	25.00
69386	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 E	100,000,000	2013/05/21	2013/10/30	25.00
69392	UBS AG - China Shenhua R Bull CBBC Jul 2014 A	100,000,000	2013/05/21	2014/07/21	25.00
69387	UBS AG - Evergrande R Bull CBBC Feb 2014 A	100,000,000	2013/05/21	2014/02/17	25.00
69372	UBS AG - HSI R Bull CBBC Nov 2013 M	300,000,000	2013/05/21	2013/11/28	75.00
69390	UBS AG - HSI R Bull CBBC Mar 2014 P	300,000,000	2013/05/21	2014/03/28	75.00
69388	UBS AG - HSI R Bear CBBC Sep 2013 T	300,000,000	2013/05/21	2013/09/27	75.00
69389	UBS AG - HSI R Bear CBBC Oct 2013 U	300,000,000	2013/05/21	2013/10/30	75.00
61013 [#]	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2013 B	180,000,000	2013/05/21	2013/09/30	13.50
69415	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 H	300,000,000	2013/05/22	2013/09/27	75.00
69408	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 E	300,000,000	2013/05/22	2013/08/29	75.00
69410	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 F	300,000,000	2013/05/22	2013/08/29	75.00
69412	Credit Suisse AG - HSI R Bull CBBC Feb 2014 J	300,000,000	2013/05/22	2014/02/27	75.00
69426	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/05/22	2013/08/29	75.00
69413	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 L	150,000,000	2013/05/22	2014/01/29	37.50
69427	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 D	150,000,000	2013/05/22	2013/12/30	37.50
69428	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 E	150,000,000	2013/05/22	2013/12/30	37.50
69429	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 I	120,000,000	2013/05/22	2013/08/29	30.00
69403	HK Bank - HSI R Bear CBBC Oct 2013 K	100,000,000	2013/05/22	2013/10/30	25.00
69404	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 H	100,000,000	2013/05/22	2013/08/29	25.00
69407	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 X	200,000,000	2013/05/22	2013/08/29	50.00

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69424	UBS AG - Sinopec Corp R Bull CBBC Nov 2013 B	100,000,000	2013/05/22	2013/11/04	25.00
69411	UBS AG - HSI R Bull CBBC Apr 2014 D	300,000,000	2013/05/22	2014/04/29	75.00
69416	UBS AG - HSI R Bear CBBC Sep 2013 U	300,000,000	2013/05/22	2013/09/27	75.00
69425	UBS AG - Hutchison R Bear CBBC Nov 2013 A	100,000,000	2013/05/22	2013/11/11	25.00
69422	UBS AG - Ping An R Bull CBBC Nov 2013 B	100,000,000	2013/05/22	2013/11/25	25.00
69417	UBS AG - Ping An R Bear CBBC Nov 2013 A	100,000,000	2013/05/22	2013/11/18	25.00
69423	UBS AG - TCH R Bull CBBC Dec 2013 C	100,000,000	2013/05/22	2013/12/16	45.50
69438	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 I	300,000,000	2013/05/23	2013/09/27	75.00
69437	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2013 G	300,000,000	2013/05/23	2013/08/29	75.00
69453	Credit Suisse AG - HSI R Bull CBBC Nov 2013 D	300,000,000	2013/05/23	2013/11/28	75.00
69454	Credit Suisse AG - HSI R Bull CBBC Nov 2013 E	300,000,000	2013/05/23	2013/11/28	75.00
69433	Credit Suisse AG - HSI R Bear CBBC Sep 2013 W	300,000,000	2013/05/23	2013/09/27	75.00
69434	Credit Suisse AG - HSI R Bear CBBC Sep 2013 X	300,000,000	2013/05/23	2013/09/27	75.00
69455	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 M	150,000,000	2013/05/23	2014/01/29	37.50
69458	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 N	150,000,000	2013/05/23	2014/01/29	37.50
69459	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 O	150,000,000	2013/05/23	2014/01/29	37.50
69436	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 F	150,000,000	2013/05/23	2013/12/30	37.50
69460	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 G	150,000,000	2013/05/23	2013/12/30	37.50
69430	HK Bank - ABC R Bull CBBC Jan 2014 A	80,000,000	2013/05/23	2014/01/27	20.00
69431	HK Bank - Minsheng Bank R Bull CBBC Dec 2013 A	40,000,000	2013/05/23	2013/12/30	13.60
69439	UBS AG - HSI R Bull CBBC Nov 2013 N	300,000,000	2013/05/23	2013/11/28	75.00
69444	UBS AG - HSI R Bull CBBC Nov 2013 O	300,000,000	2013/05/23	2013/11/28	75.00
69435	UBS AG - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/05/23	2014/01/29	75.00
69445	UBS AG - PICC Group R Bull CBBC Jan 2014 A	50,000,000	2013/05/23	2014/01/13	12.50
63707#	HK Bank - HSI R Bear CBBC Dec 2013 A	280,000,000	2013/05/23	2013/12/30	32.76
69477	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2013 M	300,000,000	2013/05/24	2013/09/27	75.00
69485	Credit Suisse AG - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/05/24	2013/12/30	75.00
69489	Credit Suisse AG - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/05/24	2013/12/30	75.00
69491	Credit Suisse AG - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/05/24	2013/12/30	75.00
69471	Credit Suisse AG - HSI R Bear CBBC Oct 2013 I	300,000,000	2013/05/24	2013/10/30	75.00
69462	HK Bank - Sinopec Corp R Bull CBBC Oct 2013 B	80,000,000	2013/05/24	2013/10/28	20.00
69461	HK Bank - Ping An R Bear CBBC Nov 2013 A	40,000,000	2013/05/24	2013/11/11	10.00
69473	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 C	100,000,000	2013/05/24	2013/10/30	25.00
69474	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 D	100,000,000	2013/05/24	2013/10/30	25.00
69464	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Oct13A	100,000,000	2013/05/24	2013/10/30	25.00
69465	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Oct13B	100,000,000	2013/05/24	2013/10/30	25.00
69468	SGA Societe Generale Acceptance N.V. - CSA50 R Bear CBBC Oct13A	100,000,000	2013/05/24	2013/10/30	25.00
69469	SGA Societe Generale Acceptance N.V. - CSA50 R Bear CBBC Oct13B	100,000,000	2013/05/24	2013/10/30	25.00
69470	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct 13D	100,000,000	2013/05/24	2013/10/30	25.00
69476	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug 13B	200,000,000	2013/05/24	2013/08/29	50.00
69475	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug 13I	200,000,000	2013/05/24	2013/08/29	50.00
69484	UBS AG - HSI R Bull CBBC Oct 2013 T	300,000,000	2013/05/24	2013/10/30	75.00
69483	UBS AG - HSI R Bull CBBC Apr 2014 E	300,000,000	2013/05/24	2014/04/29	75.00
69472	UBS AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/05/24	2013/08/29	75.00
69478	UBS AG - TCH R Bull CBBC Dec 2013 D	100,000,000	2013/05/24	2013/12/02	26.50

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69492	UBS AG - TCH R Bear CBBC Nov 2013 A	100,000,000	2013/05/24	2013/11/25	26.00
69479	UBS AG - TCH R Bear CBBC Feb 2014 A	100,000,000	2013/05/24	2014/02/10	80.00
69534	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/05/27	2014/04/29	75.00
69535	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 B	300,000,000	2013/05/27	2014/04/29	75.00
69537	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 C	300,000,000	2013/05/27	2014/04/29	75.00
69538	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 D	300,000,000	2013/05/27	2014/04/29	75.00
69531	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 A	300,000,000	2013/05/27	2013/12/30	75.00
69533	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/05/27	2013/12/30	75.00
69505	Credit Suisse AG - HSI R Bull CBBC Feb 2014 K	300,000,000	2013/05/27	2014/02/27	75.00
69558	Credit Suisse AG - HSI R Bull CBBC Feb 2014 L	300,000,000	2013/05/27	2014/02/27	75.00
69559	Credit Suisse AG - HSI R Bull CBBC Feb 2014 M	300,000,000	2013/05/27	2014/02/27	75.00
69560	Credit Suisse AG - HSI R Bull CBBC Feb 2014 N	300,000,000	2013/05/27	2014/02/27	75.00
69561	Credit Suisse AG - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/05/27	2014/02/27	75.00
69511	Credit Suisse AG - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/05/27	2013/08/29	75.00
69512	Credit Suisse AG - HSI R Bear CBBC Aug 2013 W	300,000,000	2013/05/27	2013/08/29	75.00
69509	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/05/27	2013/08/29	75.00
69510	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Z	300,000,000	2013/05/27	2013/08/29	75.00
69506	Credit Suisse AG - HSI R Bear CBBC Oct 2013 J	300,000,000	2013/05/27	2013/10/30	75.00
69508	Credit Suisse AG - HSI R Bear CBBC Oct 2013 K	300,000,000	2013/05/27	2013/10/30	75.00
69572	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 P	120,000,000	2013/05/27	2014/01/29	30.00
69573	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 Q	120,000,000	2013/05/27	2014/01/29	30.00
69574	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 R	120,000,000	2013/05/27	2014/01/29	30.00
69513	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 H	120,000,000	2013/05/27	2013/12/30	30.00
69514	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 I	120,000,000	2013/05/27	2013/12/30	30.00
69515	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 J	120,000,000	2013/05/27	2013/12/30	30.00
69539	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 S	150,000,000	2013/05/27	2013/08/29	38.25
69540	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 T	150,000,000	2013/05/27	2013/08/29	38.85
69541	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 U	150,000,000	2013/05/27	2013/08/29	37.80
69542	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 V	150,000,000	2013/05/27	2013/08/29	38.40
69543	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 W	150,000,000	2013/05/27	2013/08/29	37.50
69544	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 X	150,000,000	2013/05/27	2013/08/29	38.10
69545	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 Y	150,000,000	2013/05/27	2013/08/29	38.40
69546	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2013 Z	150,000,000	2013/05/27	2013/08/29	37.50
69575	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 J	150,000,000	2013/05/27	2013/08/29	38.55
69576	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 K	150,000,000	2013/05/27	2013/08/29	37.95
69577	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 L	150,000,000	2013/05/27	2013/08/29	38.40
69578	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 M	150,000,000	2013/05/27	2013/08/29	39.15
69579	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 N	150,000,000	2013/05/27	2013/08/29	38.85
69493	HK Bank - Hutchison R Bull CBBC Oct 2013 B	60,000,000	2013/05/27	2013/10/28	15.00
69494	HK Bank - Hutchison R Bull CBBC Dec 2013 A	60,000,000	2013/05/27	2013/12/30	15.00
69522	HK Bank - TCH R Bull CBBC Nov 2013 C	50,000,000	2013/05/27	2013/11/18	24.00
69524	HK Bank - TCH R Bull CBBC Nov 2013 D	50,000,000	2013/05/27	2013/11/04	19.00
69581	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 C	300,000,000	2013/05/27	2013/11/28	75.00
69582	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 D	300,000,000	2013/05/27	2013/11/28	75.00
69583	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/05/27	2013/12/30	75.00

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69585	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/05/27	2013/12/30	75.00
69587	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 E	300,000,000	2013/05/27	2013/10/30	75.00
69586	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 C	300,000,000	2013/05/27	2013/11/28	75.00
69562	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 H	100,000,000	2013/05/27	2013/10/30	25.00
69563	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 I	100,000,000	2013/05/27	2013/10/30	25.00
69564	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 J	100,000,000	2013/05/27	2013/10/30	25.00
69565	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 K	100,000,000	2013/05/27	2013/10/30	25.00
69567	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Oct 2013 L	100,000,000	2013/05/27	2013/10/30	25.00
69580	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 F	100,000,000	2013/05/27	2013/10/30	25.00
69495	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 K	100,000,000	2013/05/27	2013/09/27	25.00
69526	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 L	100,000,000	2013/05/27	2013/09/27	25.00
69525	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 M	100,000,000	2013/05/27	2013/09/27	25.00
69496	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 I	100,000,000	2013/05/27	2013/08/29	25.00
69497	Standard Chartered Bank - HSI R Bear CBBC Aug 2013 J	100,000,000	2013/05/27	2013/08/29	25.00
69527	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 A	200,000,000	2013/05/27	2013/08/29	50.00
69528	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 H	200,000,000	2013/05/27	2013/08/29	50.00
69529	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 H	200,000,000	2013/05/27	2013/09/27	50.00
69530	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 A	200,000,000	2013/05/27	2013/08/29	50.00
69498	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 Y	200,000,000	2013/05/27	2013/08/29	50.00
69501	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 Z	200,000,000	2013/05/27	2013/08/29	50.00
69502	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 A	200,000,000	2013/05/27	2013/09/27	50.00
69553	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2013 A	100,000,000	2013/05/27	2013/11/11	25.00
69552	UBS AG - CSOP A50 ETF R Bear CBBC Oct 2013 A	100,000,000	2013/05/27	2013/10/28	25.00
69551	UBS AG - A50 China R Bull CBBC Jan 2014 E	100,000,000	2013/05/27	2014/01/13	25.00
69550	UBS AG - A50 China R Bear CBBC Oct 2013 B	100,000,000	2013/05/27	2013/10/28	25.00
69555	UBS AG - HKEx R Bear CBBC Nov 2013 B	100,000,000	2013/05/27	2013/11/25	25.00
69557	UBS AG - HSBC R Bull CBBC Dec 2013 B	100,000,000	2013/05/27	2013/12/23	25.00
69556	UBS AG - HSBC R Bear CBBC Dec 2013 B	100,000,000	2013/05/27	2013/12/02	25.00
69548	UBS AG - HSCEI R Bear CBBC Sep 2013 A	100,000,000	2013/05/27	2013/09/27	25.00
69549	UBS AG - HSCEI R Bear CBBC Sep 2013 B	100,000,000	2013/05/27	2013/09/27	25.00
69568	UBS AG - HSI R Bull CBBC Oct 2013 U	300,000,000	2013/05/27	2013/10/30	75.00
69571	UBS AG - HSI R Bull CBBC Oct 2013 V	300,000,000	2013/05/27	2013/10/30	75.00
69570	UBS AG - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/05/27	2013/12/30	75.00
69517	UBS AG - HSI R Bear CBBC Aug 2013 A	300,000,000	2013/05/27	2013/08/29	75.00
69518	UBS AG - HSI R Bear CBBC Aug 2013 N	300,000,000	2013/05/27	2013/08/29	75.00
69516	UBS AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/05/27	2013/08/29	75.00
69519	UBS AG - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/05/27	2013/08/29	75.00
69554	UBS AG - TCH R Bull CBBC Jan 2014 A	100,000,000	2013/05/27	2014/01/20	25.00
69547	UBS AG - TCH R Bear CBBC Nov 2013 B	100,000,000	2013/05/27	2013/11/11	33.00
69604	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/05/28	2013/12/30	75.00
69600	Credit Suisse AG - HSI R Bull CBBC Jan 2014 P	300,000,000	2013/05/28	2014/01/29	75.00
69601	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Q	300,000,000	2013/05/28	2014/01/29	75.00
69602	Credit Suisse AG - HSI R Bull CBBC Jan 2014 R	300,000,000	2013/05/28	2014/01/29	75.00
69611	Credit Suisse AG - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/05/28	2013/08/29	75.00
69612	Credit Suisse AG - HSI R Bear CBBC Aug 2013 O	300,000,000	2013/05/28	2013/08/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69605	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 S	120,000,000	2013/05/28	2014/01/29	30.00
69606	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 K	120,000,000	2013/05/28	2013/12/30	30.00
69607	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 L	120,000,000	2013/05/28	2013/12/30	30.00
69608	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 M	120,000,000	2013/05/28	2013/12/30	30.00
69593	HK Bank - AIA R Bull CBBC Nov 2013 C	60,000,000	2013/05/28	2013/11/04	19.80
69596	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2013 B	80,000,000	2013/05/28	2013/11/11	20.00
69597	HK Bank - A50 R Bull CBBC Oct 2013 E	100,000,000	2013/05/28	2013/10/28	25.00
69598	HK Bank - HSI R Bull CBBC Dec 2013 J	100,000,000	2013/05/28	2013/12/30	25.00
69595	HK Bank - TCH R Bull CBBC Oct 2013 D	50,000,000	2013/05/28	2013/10/21	16.00
69599	HK Bank - TCH R Bear CBBC Nov 2013 B	50,000,000	2013/05/28	2013/11/25	19.50
69594	HK Bank - TCH R Bear CBBC Dec 2013 A	50,000,000	2013/05/28	2013/12/16	25.00
69589	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 K	200,000,000	2013/05/28	2013/08/29	50.00
69588	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 M	200,000,000	2013/05/28	2013/08/29	50.00
69590	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 E	200,000,000	2013/05/28	2013/08/29	50.00
69591	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 F	200,000,000	2013/05/28	2013/08/29	50.00
69592	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 H	200,000,000	2013/05/28	2013/08/29	50.00
69617	UBS AG - HSI R Bull CBBC Sep 2013 I	300,000,000	2013/05/28	2013/09/27	75.00
69603	UBS AG - HSI R Bull CBBC Nov 2013 P	300,000,000	2013/05/28	2013/11/28	75.00
69616	UBS AG - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/05/28	2013/12/30	75.00
69615	UBS AG - HSI R Bear CBBC Aug 2013 R	350,000,000	2013/05/28	2013/08/29	87.50
69613	UBS AG - HSI R Bear CBBC Aug 2013 W	300,000,000	2013/05/28	2013/08/29	75.00
69610	UBS AG - TCH R Bull CBBC Dec 2013 E	100,000,000	2013/05/28	2013/12/23	33.00
68951 [#]	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Sep 2013 Q	220,000,000	2013/05/28	2013/09/27	10.12
69624	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 E	300,000,000	2013/05/29	2014/04/29	75.00
69634	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/05/29	2013/12/30	75.00
69625	Credit Suisse AG - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/05/29	2013/12/30	75.00
69626	Credit Suisse AG - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/05/29	2013/12/30	75.00
69627	Credit Suisse AG - HSI R Bear CBBC Aug 2013 P	300,000,000	2013/05/29	2013/08/29	75.00
69628	Credit Suisse AG - HSI R Bear CBBC Aug 2013 R	300,000,000	2013/05/29	2013/08/29	75.00
69631	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 O	150,000,000	2013/05/29	2013/08/29	38.40
69632	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 P	150,000,000	2013/05/29	2013/08/29	38.55
69633	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2013 Q	150,000,000	2013/05/29	2013/08/29	37.65
69640	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 E	300,000,000	2013/05/29	2013/11/28	75.00
69644	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 F	300,000,000	2013/05/29	2013/11/28	75.00
69638	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/05/29	2013/12/30	75.00
69639	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/05/29	2013/12/30	75.00
69641	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/05/29	2013/12/30	75.00
69642	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/05/29	2013/12/30	75.00
69645	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 D	300,000,000	2013/05/29	2013/11/28	75.00
69646	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 E	300,000,000	2013/05/29	2013/11/28	75.00
69648	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 F	300,000,000	2013/05/29	2013/11/28	75.00
69647	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 A	300,000,000	2013/05/29	2013/12/30	75.00
69649	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/05/29	2013/12/30	75.00
69629	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 G	100,000,000	2013/05/29	2013/10/30	25.00
69630	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Oct 2013 H	100,000,000	2013/05/29	2013/10/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69618	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 B	200,000,000	2013/05/29	2013/08/29	50.00
69619	UBS AG - AIA R Bull CBBC Jun 2014 A	100,000,000	2013/05/29	2014/06/16	25.00
69621	UBS AG - HSI R Bull CBBC Oct 2013 X	300,000,000	2013/05/29	2013/10/30	75.00
69620	UBS AG - HSI R Bull CBBC Nov 2013 S	350,000,000	2013/05/29	2013/11/28	87.50
69636	UBS AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/05/29	2013/08/29	75.00
69637	UBS AG - HSI R Bear CBBC Sep 2013 V	300,000,000	2013/05/29	2013/09/27	75.00
69656	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/05/30	2014/04/29	75.00
69657	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/05/30	2014/04/29	75.00
69693	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/05/30	2014/04/29	75.00
69695	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/05/30	2014/04/29	75.00
69685	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/05/30	2013/12/30	75.00
69686	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/05/30	2013/12/30	75.00
69687	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/05/30	2013/12/30	75.00
69688	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/05/30	2013/12/30	75.00
69689	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/05/30	2013/12/30	75.00
69690	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/05/30	2013/12/30	75.00
69691	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/05/30	2013/12/30	75.00
69742	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 L	300,000,000	2013/05/30	2013/12/30	75.00
69743	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/05/30	2013/12/30	75.00
69662	Credit Suisse AG - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/05/30	2013/12/30	75.00
69666	Credit Suisse AG - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/05/30	2013/12/30	75.00
69667	Credit Suisse AG - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/05/30	2013/12/30	75.00
69668	Credit Suisse AG - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/05/30	2013/12/30	75.00
69681	Credit Suisse AG - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/05/30	2013/12/30	75.00
69682	Credit Suisse AG - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/05/30	2013/12/30	75.00
69708	Credit Suisse AG - HSI R Bear CBBC Aug 2013 K	300,000,000	2013/05/30	2013/08/29	75.00
69712	Credit Suisse AG - HSI R Bear CBBC Aug 2013 L	300,000,000	2013/05/30	2013/08/29	75.00
69744	Credit Suisse AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/05/30	2013/08/29	75.00
69700	Credit Suisse AG - HSI R Bear CBBC Aug 2013 S	300,000,000	2013/05/30	2013/08/29	75.00
69704	Credit Suisse AG - HSI R Bear CBBC Aug 2013 T	300,000,000	2013/05/30	2013/08/29	75.00
69746	Credit Suisse AG - HSI R Bear CBBC Aug 2013 V	300,000,000	2013/05/30	2013/08/29	75.00
69705	Credit Suisse AG - HSI R Bear CBBC Aug 2013 X	300,000,000	2013/05/30	2013/08/29	75.00
69747	Credit Suisse AG - HSI R Bear CBBC Sep 2013 Y	300,000,000	2013/05/30	2013/09/27	75.00
69669	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 T	120,000,000	2013/05/30	2014/01/29	30.00
69670	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 U	120,000,000	2013/05/30	2014/01/29	30.00
69671	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 V	120,000,000	2013/05/30	2014/01/29	30.00
69697	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 W	120,000,000	2013/05/30	2014/01/29	30.00
69699	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 X	120,000,000	2013/05/30	2014/01/29	30.00
69715	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 A	120,000,000	2013/05/30	2014/01/29	30.00
69716	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 B	120,000,000	2013/05/30	2014/01/29	30.00
69717	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 C	120,000,000	2013/05/30	2014/01/29	30.00
69748	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 A	150,000,000	2013/05/30	2013/09/27	38.40
69749	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 B	150,000,000	2013/05/30	2013/09/27	39.00
69750	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 C	150,000,000	2013/05/30	2013/09/27	37.65
69752	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 D	150,000,000	2013/05/30	2013/09/27	38.10

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69753	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 E	150,000,000	2013/05/30	2013/09/27	37.95
69754	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 F	150,000,000	2013/05/30	2013/09/27	37.80
69756	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 G	150,000,000	2013/05/30	2013/09/27	37.50
69759	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 H	150,000,000	2013/05/30	2013/09/27	37.50
69760	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 I	150,000,000	2013/05/30	2013/09/27	37.95
69650	HK Bank - CAM CSI300 R Bull CBBC Nov 2013 B	60,000,000	2013/05/30	2013/11/04	15.00
69652	HK Bank - CPIC R Bull CBBC Nov 2013 A	60,000,000	2013/05/30	2013/11/25	15.00
69654	HK Bank - HKEx R Bull CBBC Oct 2013 C	60,000,000	2013/05/30	2013/10/07	15.00
69651	HK Bank - PetCh R Bear CBBC Nov 2013 B	40,000,000	2013/05/30	2013/11/25	10.00
69761	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/05/30	2013/12/30	75.00
69762	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/05/30	2013/12/30	75.00
69763	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 G	300,000,000	2013/05/30	2013/11/28	75.00
69764	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 H	300,000,000	2013/05/30	2013/11/28	75.00
69731	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 A	100,000,000	2013/05/30	2013/11/28	25.00
69719	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 A	100,000,000	2013/05/30	2013/11/28	25.00
69721	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 B	100,000,000	2013/05/30	2013/11/28	25.00
69722	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 C	100,000,000	2013/05/30	2013/11/28	25.00
69723	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 D	100,000,000	2013/05/30	2013/11/28	25.00
69724	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 E	100,000,000	2013/05/30	2013/11/28	25.00
69730	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 F	100,000,000	2013/05/30	2013/11/28	25.00
69655	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug13 N	200,000,000	2013/05/30	2013/08/29	50.00
69673	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 I	200,000,000	2013/05/30	2013/09/27	50.00
69684	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 J	200,000,000	2013/05/30	2013/09/27	50.00
69679	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 A	200,000,000	2013/05/30	2013/10/30	50.00
69674	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 I	200,000,000	2013/05/30	2013/08/29	50.00
69676	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 J	200,000,000	2013/05/30	2013/08/29	50.00
69678	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 L	200,000,000	2013/05/30	2013/08/29	50.00
69718	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug13 M	200,000,000	2013/05/30	2013/08/29	50.00
69732	UBS AG - China Life R Bear CBBC Dec 2013 B	100,000,000	2013/05/30	2013/12/23	25.00
69672	UBS AG - HSI R Bull CBBC Oct 2013 Y	300,000,000	2013/05/30	2013/10/30	75.00
69680	UBS AG - HSI R Bull CBBC Nov 2013 Q	300,000,000	2013/05/30	2013/11/28	75.00
69658	UBS AG - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/05/30	2013/12/30	75.00
69659	UBS AG - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/05/30	2013/12/30	75.00
69660	UBS AG - HSI R Bull CBBC Mar 2014 Q	300,000,000	2013/05/30	2014/03/28	75.00
69733	UBS AG - HSI R Bear CBBC Aug 2013 B	300,000,000	2013/05/30	2013/08/29	75.00
69735	UBS AG - HSI R Bear CBBC Aug 2013 M	300,000,000	2013/05/30	2013/08/29	75.00
69736	UBS AG - HSI R Bear CBBC Aug 2013 Q	300,000,000	2013/05/30	2013/08/29	75.00
69734	UBS AG - HSI R Bear CBBC Aug 2013 U	300,000,000	2013/05/30	2013/08/29	75.00
69739	UBS AG - HSI R Bear CBBC Aug 2013 Y	300,000,000	2013/05/30	2013/08/29	75.00
69788	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 J	300,000,000	2013/05/31	2014/04/29	75.00
69789	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 K	300,000,000	2013/05/31	2014/04/29	75.00
69784	Credit Suisse AG - HSI R Bull CBBC Jan 2014 S	300,000,000	2013/05/31	2014/01/29	75.00
69809	Credit Suisse AG - HSI R Bull CBBC Jan 2014 T	300,000,000	2013/05/31	2014/01/29	75.00
69810	Credit Suisse AG - HSI R Bull CBBC Jan 2014 U	300,000,000	2013/05/31	2014/01/29	75.00
69815	Credit Suisse AG - HSI R Bear CBBC Sep 2013 F	300,000,000	2013/05/31	2013/09/27	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69817	Credit Suisse AG - HSI R Bear CBBC Sep 2013 G	300,000,000	2013/05/31	2013/09/27	75.00
69814	Credit Suisse AG - HSI R Bear CBBC Sep 2013 Z	300,000,000	2013/05/31	2013/09/27	75.00
69811	Credit Suisse AG - HSI R Bear CBBC Oct 2013 L	300,000,000	2013/05/31	2013/10/30	75.00
69816	Credit Suisse AG - HSI R Bear CBBC Oct 2013 M	300,000,000	2013/05/31	2013/10/30	75.00
69819	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 Y	120,000,000	2013/05/31	2014/01/29	30.00
69822	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 Z	120,000,000	2013/05/31	2014/01/29	30.00
69823	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 D	120,000,000	2013/05/31	2014/01/29	30.00
69824	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 E	120,000,000	2013/05/31	2014/01/29	30.00
69830	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 A	150,000,000	2013/05/31	2013/09/27	38.70
69825	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 J	150,000,000	2013/05/31	2013/09/27	37.50
69826	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 K	150,000,000	2013/05/31	2013/09/27	40.20
69827	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 L	150,000,000	2013/05/31	2013/09/27	38.40
69829	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 M	150,000,000	2013/05/31	2013/09/27	38.85
69775	HK Bank - CSOP A50 ETF R Bear CBBC Jan 2014 A	40,000,000	2013/05/31	2014/01/13	10.00
69776	HK Bank - CSOP A50 ETF R Bear CBBC Feb 2014 A	40,000,000	2013/05/31	2014/02/17	10.00
69766	HK Bank - HSCEI R Bull CBBC Nov 2013 D	100,000,000	2013/05/31	2013/11/28	25.00
69765	HK Bank - HSCEI R Bear CBBC Nov 2013 C	68,000,000	2013/05/31	2013/11/28	17.00
69772	HK Bank - HSI R Bear CBBC Sep 2013 L	100,000,000	2013/05/31	2013/09/27	25.00
69768	HK Bank - HSI R Bear CBBC Oct 2013 L	100,000,000	2013/05/31	2013/10/30	25.00
69767	HK Bank - HSI R Bear CBBC Nov 2013 L	100,000,000	2013/05/31	2013/11/28	25.00
69774	HK Bank - HSI R Bear CBBC Nov 2013 M	100,000,000	2013/05/31	2013/11/28	25.00
69781	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 E	100,000,000	2013/05/31	2013/10/30	25.00
69782	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 F	100,000,000	2013/05/31	2013/10/30	25.00
69777	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep 13K	200,000,000	2013/05/31	2013/09/27	50.00
69787	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep 13L	200,000,000	2013/05/31	2013/09/27	50.00
69778	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13B	200,000,000	2013/05/31	2013/09/27	50.00
69779	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13C	200,000,000	2013/05/31	2013/09/27	50.00
69780	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13D	200,000,000	2013/05/31	2013/09/27	50.00
69803	UBS AG - HSBC R Bear CBBC Nov 2013 A	100,000,000	2013/05/31	2013/11/18	25.00
69798	UBS AG - HSCEI R Bear CBBC Oct 2013 A	100,000,000	2013/05/31	2013/10/30	25.00
69797	UBS AG - HSI R Bull CBBC Nov 2013 T	300,000,000	2013/05/31	2013/11/28	75.00
69786	UBS AG - HSI R Bull CBBC Mar 2014 R	300,000,000	2013/05/31	2014/03/28	75.00
69818	UBS AG - HSI R Bear CBBC Sep 2013 P	300,000,000	2013/05/31	2013/09/27	75.00
69791	UBS AG - HSI R Bear CBBC Sep 2013 W	300,000,000	2013/05/31	2013/09/27	75.00
69793	UBS AG - HSI R Bear CBBC Sep 2013 X	300,000,000	2013/05/31	2013/09/27	75.00
69802	UBS AG - TCH R Bear CBBC Dec 2013 B	100,000,000	2013/05/31	2013/12/02	28.00
69891	Credit Suisse AG - HSI R Bull CBBC Nov 2013 F	300,000,000	2013/06/03	2013/11/28	75.00
69893	Credit Suisse AG - HSI R Bull CBBC Nov 2013 Z	300,000,000	2013/06/03	2013/11/28	75.00
69841	Credit Suisse AG - HSI R Bear CBBC Sep 2013 H	300,000,000	2013/06/03	2013/09/27	75.00
69838	Credit Suisse AG - HSI R Bear CBBC Oct 2013 N	300,000,000	2013/06/03	2013/10/30	75.00
69840	Credit Suisse AG - HSI R Bear CBBC Oct 2013 O	300,000,000	2013/06/03	2013/10/30	75.00
69885	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 E	120,000,000	2013/06/03	2014/01/29	30.00
69832	HK Bank - Hutchison R Bear CBBC Oct 2013 A	50,000,000	2013/06/03	2013/10/28	12.50
69831	HK Bank - Lenovo R Bull CBBC Jan 2014 A	60,000,000	2013/06/03	2014/01/27	15.00
69836	HK Bank - PICC Group R Bull CBBC Feb 2014 A	50,000,000	2013/06/03	2014/02/26	12.50

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69837	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 O	100,000,000	2013/06/03	2013/09/27	25.00
69844	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 M	200,000,000	2013/06/03	2013/09/27	50.00
69843	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 B	200,000,000	2013/06/03	2013/10/30	50.00
69845	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 E	200,000,000	2013/06/03	2013/09/27	50.00
69849	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 F	200,000,000	2013/06/03	2013/09/27	50.00
69854	UBS AG - Minsheng Bank R Bull CBBC Oct 2013 A	50,000,000	2013/06/03	2013/10/07	12.50
69853	UBS AG - Minsheng Bank R Bull CBBC Nov 2013 B	50,000,000	2013/06/03	2013/11/11	12.50
69897	UBS AG - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/06/03	2014/02/27	75.00
69850	UBS AG - HSI R Bear CBBC Sep 2013 Y	300,000,000	2013/06/03	2013/09/27	75.00
69851	UBS AG - HSI R Bear CBBC Sep 2013 Z	300,000,000	2013/06/03	2013/09/27	75.00
69842	UBS AG - HSI R Bear CBBC Oct 2013 V	300,000,000	2013/06/03	2013/10/30	75.00
69882	UBS AG - Hutchison R Bull CBBC Dec 2013 B	100,000,000	2013/06/03	2013/12/16	25.00
69881	UBS AG - Lenovo R Bull CBBC Dec 2013 D	50,000,000	2013/06/03	2013/12/09	12.50
69878	UBS AG - Lenovo R Bear CBBC Jan 2014 A	50,000,000	2013/06/03	2014/01/13	12.50
69859	UBS AG - PICC Group R Bull CBBC Jan 2014 B	50,000,000	2013/06/03	2014/01/27	12.50
69904	Credit Suisse AG - HSI R Bull CBBC Nov 2013 B	300,000,000	2013/06/04	2013/11/28	75.00
69912	Credit Suisse AG - HSI R Bull CBBC Nov 2013 C	300,000,000	2013/06/04	2013/11/28	75.00
69906	Credit Suisse AG - HSI R Bull CBBC Nov 2013 X	300,000,000	2013/06/04	2013/11/28	75.00
69911	Credit Suisse AG - HSI R Bull CBBC Nov 2013 Y	300,000,000	2013/06/04	2013/11/28	75.00
69898	Credit Suisse AG - HSI R Bear CBBC Sep 2013 I	300,000,000	2013/06/04	2013/09/27	75.00
69929	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 Z	120,000,000	2013/06/04	2013/11/28	30.00
69928	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 A	120,000,000	2013/06/04	2013/11/28	30.00
69930	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 B	120,000,000	2013/06/04	2013/09/27	30.24
69935	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 C	120,000,000	2013/06/04	2013/09/27	30.24
69936	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 D	120,000,000	2013/06/04	2013/09/27	30.48
69937	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 E	120,000,000	2013/06/04	2013/09/27	30.36
69938	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/06/04	2013/12/30	75.00
69940	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/06/04	2013/12/30	75.00
69949	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 I	300,000,000	2013/06/04	2013/11/28	75.00
69950	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/06/04	2013/11/28	75.00
69942	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/06/04	2013/12/30	75.00
69951	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/06/04	2013/12/30	75.00
69913	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 B	100,000,000	2013/06/04	2013/11/28	25.00
69914	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 C	100,000,000	2013/06/04	2013/11/28	25.00
69920	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 D	100,000,000	2013/06/04	2013/11/28	25.00
69921	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 N	200,000,000	2013/06/04	2013/09/27	50.00
69922	UBS AG - HSI R Bull CBBC Oct 2013 Z	300,000,000	2013/06/04	2013/10/30	75.00
69924	UBS AG - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/06/04	2013/12/30	75.00
69925	UBS AG - HSI R Bull CBBC Mar 2014 S	300,000,000	2013/06/04	2014/03/28	75.00
69900	UBS AG - HSI R Bear CBBC Sep 2013 A	300,000,000	2013/06/04	2013/09/27	75.00
69901	UBS AG - HSI R Bear CBBC Oct 2013 W	350,000,000	2013/06/04	2013/10/30	87.50
69984	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/06/05	2013/12/30	75.00
69985	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/06/05	2013/12/30	75.00
69955	Credit Suisse AG - HSI R Bull CBBC Jan 2014 V	300,000,000	2013/06/05	2014/01/29	75.00
69968	Credit Suisse AG - HSI R Bull CBBC Jan 2014 W	300,000,000	2013/06/05	2014/01/29	75.00

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69971	Credit Suisse AG - HSI R Bear CBBC Sep 2013 N	300,000,000	2013/06/05	2013/09/27	75.00
69972	Credit Suisse AG - HSI R Bear CBBC Sep 2013 O	300,000,000	2013/06/05	2013/09/27	75.00
69969	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 V	120,000,000	2013/06/05	2013/10/30	30.00
69970	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 W	120,000,000	2013/06/05	2013/10/30	30.00
69973	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 A	120,000,000	2013/06/05	2013/10/30	30.00
69953	HK Bank - HSI R Bull CBBC Oct 2013 L	100,000,000	2013/06/05	2013/10/30	25.00
69952	HK Bank - HSI R Bull CBBC Nov 2013 N	100,000,000	2013/06/05	2013/11/28	25.00
69986	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/06/05	2013/12/30	75.00
69995	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/06/05	2013/12/30	75.00
60000	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/06/05	2013/12/30	75.00
60002	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/06/05	2013/11/28	75.00
60010	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/06/05	2013/11/28	75.00
60001	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/06/05	2013/12/30	75.00
69954	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 Q	100,000,000	2013/06/05	2013/09/27	25.00
69982	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 G	200,000,000	2013/06/05	2013/09/27	50.00
69962	UBS AG - HSI R Bull CBBC Nov 2013 R	300,000,000	2013/06/05	2013/11/28	75.00
69967	UBS AG - HSI R Bull CBBC Nov 2013 U	300,000,000	2013/06/05	2013/11/28	75.00
69957	UBS AG - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/06/05	2013/12/30	75.00
69981	UBS AG - HSI R Bear CBBC Sep 2013 I	300,000,000	2013/06/05	2013/09/27	75.00
69974	UBS AG - HSI R Bear CBBC Oct 2013 X	300,000,000	2013/06/05	2013/10/30	75.00
67824 [#]	HK Bank - HSI R Bull CBBC Nov 2013 G	180,000,000	2013/06/05	2013/11/28	18.00
60065	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 L	300,000,000	2013/06/06	2014/04/29	75.00
60066	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 M	300,000,000	2013/06/06	2014/04/29	75.00
60067	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 N	300,000,000	2013/06/06	2014/04/29	75.00
60070	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 O	300,000,000	2013/06/06	2014/04/29	90.00
60062	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/06/06	2013/12/30	75.00
60063	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/06/06	2013/12/30	75.00
60035	Credit Suisse AG - HSI R Bull CBBC Jan 2014 M	300,000,000	2013/06/06	2014/01/29	75.00
60026	Credit Suisse AG - HSI R Bull CBBC Jan 2014 X	300,000,000	2013/06/06	2014/01/29	75.00
60031	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Y	300,000,000	2013/06/06	2014/01/29	75.00
60032	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Z	300,000,000	2013/06/06	2014/01/29	75.00
60027	Credit Suisse AG - HSI R Bull CBBC Feb 2014 P	300,000,000	2013/06/06	2014/02/27	75.00
60038	Credit Suisse AG - HSI R Bear CBBC Sep 2013 K	300,000,000	2013/06/06	2013/09/27	75.00
60036	Credit Suisse AG - HSI R Bear CBBC Sep 2013 M	300,000,000	2013/06/06	2013/09/27	75.00
60029	Credit Suisse AG - HSI R Bear CBBC Oct 2013 Q	300,000,000	2013/06/06	2013/10/30	75.00
60030	Credit Suisse AG - HSI R Bear CBBC Nov 2013 F	300,000,000	2013/06/06	2013/11/28	75.00
60083	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Z	120,000,000	2013/06/06	2013/10/30	30.00
60075	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 B	120,000,000	2013/06/06	2013/10/30	30.00
60086	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 N	150,000,000	2013/06/06	2013/09/27	37.65
60087	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 O	150,000,000	2013/06/06	2013/09/27	38.10
60019	HK Bank - CPIC R Bull CBBC Dec 2013 C	60,000,000	2013/06/06	2013/12/23	29.40
60012	HK Bank - HSI R Bull CBBC Jan 2014 A	400,000,000	2013/06/06	2014/01/29	100.00
60014	HK Bank - HSI R Bull CBBC Jan 2014 B	400,000,000	2013/06/06	2014/01/29	100.00
60011	HK Bank - HSI R Bear CBBC Oct 2013 M	100,000,000	2013/06/06	2013/10/30	25.00
60016	HK Bank - Ping An R Bear CBBC Oct 2013 A	40,000,000	2013/06/06	2013/10/28	10.00

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60015	HK Bank - SHK Ppt R Bear CBBC Dec 2013 A	50,000,000	2013/06/06	2013/12/30	12.50
60080	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 E	100,000,000	2013/06/06	2013/11/28	25.00
60082	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 F	100,000,000	2013/06/06	2013/11/28	25.00
60081	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 G	100,000,000	2013/06/06	2013/11/28	25.00
60061	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 R	100,000,000	2013/06/06	2013/09/27	25.00
60024	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 G	100,000,000	2013/06/06	2013/10/30	25.00
60040	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep 13O	200,000,000	2013/06/06	2013/09/27	50.00
60049	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13C	200,000,000	2013/06/06	2013/10/30	50.00
60051	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13H	200,000,000	2013/06/06	2013/09/27	50.00
60060	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13I	200,000,000	2013/06/06	2013/09/27	50.00
60078	UBS AG - China Life R Bear CBBC Nov 2013 A	100,000,000	2013/06/06	2013/11/18	25.00
60033	UBS AG - HSI R Bull CBBC Oct 2013 A	300,000,000	2013/06/06	2013/10/30	75.00
60079	UBS AG - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/06/06	2013/12/30	75.00
60034	UBS AG - HSI R Bull CBBC Mar 2014 T	350,000,000	2013/06/06	2014/03/28	87.50
60023	UBS AG - HSI R Bull CBBC May 2014 D	300,000,000	2013/06/06	2014/05/29	75.00
60022	UBS AG - HSI R Bull CBBC Jun 2014 A	300,000,000	2013/06/06	2014/06/27	75.00
60077	UBS AG - HSI R Bear CBBC Sep 2013 J	300,000,000	2013/06/06	2013/09/27	75.00
60076	UBS AG - HSI R Bear CBBC Oct 2013 Y	300,000,000	2013/06/06	2013/10/30	75.00
60020	UBS AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/06/06	2014/02/27	75.00
60021	UBS AG - HSI R Bear CBBC Apr 2014 A	300,000,000	2013/06/06	2014/04/29	75.00
60112	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/06/07	2013/12/30	75.00
60089	Credit Suisse AG - HSI R Bull CBBC Feb 2014 Q	300,000,000	2013/06/07	2014/02/27	75.00
60094	Credit Suisse AG - HSI R Bull CBBC Feb 2014 R	300,000,000	2013/06/07	2014/02/27	75.00
60102	Credit Suisse AG - HSI R Bear CBBC Sep 2013 L	300,000,000	2013/06/07	2013/09/27	75.00
60103	Credit Suisse AG - HSI R Bear CBBC Sep 2013 P	300,000,000	2013/06/07	2013/09/27	75.00
60111	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 C	120,000,000	2013/06/07	2013/10/30	30.00
60104	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 C	120,000,000	2013/06/07	2013/10/30	30.00
60113	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 F	120,000,000	2013/06/07	2013/09/27	30.24
60088	HK Bank - HSCEI R Bear CBBC Dec 2013 C	68,000,000	2013/06/07	2013/12/30	17.00
60118	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 M	300,000,000	2013/06/07	2013/11/28	75.00
60114	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/06/07	2013/12/30	75.00
60098	UBS AG - HSI R Bull CBBC Nov 2013 V	300,000,000	2013/06/07	2013/11/28	75.00
60097	UBS AG - HSI R Bull CBBC Mar 2014 U	300,000,000	2013/06/07	2014/03/28	75.00
60101	UBS AG - HSI R Bear CBBC Sep 2013 O	300,000,000	2013/06/07	2013/09/27	75.00
60099	UBS AG - SHK Ppt R Bear CBBC Dec 2013 A	100,000,000	2013/06/07	2013/12/23	25.00
60174	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/06/10	2013/12/30	75.00
60175	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 T	300,000,000	2013/06/10	2013/12/30	75.00
60176	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 U	300,000,000	2013/06/10	2013/12/30	75.00
60129	Credit Suisse AG - HSI R Bull CBBC Nov 2013 D	300,000,000	2013/06/10	2013/11/28	75.00
60130	Credit Suisse AG - HSI R Bull CBBC Nov 2013 E	300,000,000	2013/06/10	2013/11/28	75.00
60131	Credit Suisse AG - HSI R Bull CBBC Nov 2013 U	300,000,000	2013/06/10	2013/11/28	75.00
60152	Credit Suisse AG - HSI R Bear CBBC Sep 2013 R	300,000,000	2013/06/10	2013/09/27	75.00
60126	Credit Suisse AG - HSI R Bear CBBC Nov 2013 G	300,000,000	2013/06/10	2013/11/28	75.00
60135	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 B	120,000,000	2013/06/10	2013/10/30	30.00
60173	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 D	120,000,000	2013/06/10	2013/10/30	30.00

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60166	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 D	120,000,000	2013/06/10	2013/10/30	30.00
60172	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 E	120,000,000	2013/06/10	2013/10/30	30.00
60163	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 P	150,000,000	2013/06/10	2013/09/27	38.10
60164	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 Q	150,000,000	2013/06/10	2013/09/27	37.95
60165	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 R	150,000,000	2013/06/10	2013/09/27	38.70
60178	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/06/10	2013/11/28	75.00
60177	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/06/10	2013/12/30	75.00
60155	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 H	100,000,000	2013/06/10	2013/11/28	25.00
60156	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 I	100,000,000	2013/06/10	2013/11/28	25.00
60157	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Nov 2013 J	100,000,000	2013/06/10	2013/11/28	25.00
60122	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 H	100,000,000	2013/06/10	2013/10/30	25.00
60158	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 J	200,000,000	2013/06/10	2013/09/27	50.00
60140	UBS AG - HSCEI R Bear CBBC Sep 2013 C	100,000,000	2013/06/10	2013/09/27	25.00
60144	UBS AG - HSI R Bull CBBC Nov 2013 W	300,000,000	2013/06/10	2013/11/28	75.00
60150	UBS AG - HSI R Bull CBBC Nov 2013 Y	300,000,000	2013/06/10	2013/11/28	75.00
60146	UBS AG - HSI R Bull CBBC Feb 2014 P	300,000,000	2013/06/10	2014/02/27	75.00
60123	UBS AG - HSI R Bear CBBC Sep 2013 K	300,000,000	2013/06/10	2013/09/27	75.00
60159	UBS AG - HSI R Bear CBBC Sep 2013 N	300,000,000	2013/06/10	2013/09/27	75.00
60137	UBS AG - Ping An R Bear CBBC Oct 2013 A	100,000,000	2013/06/10	2013/10/07	25.00
60184	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 P	300,000,000	2013/06/11	2014/04/29	90.00
60181	Credit Suisse AG - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/06/11	2013/12/30	75.00
60183	Credit Suisse AG - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/06/11	2013/12/30	75.00
60190	Credit Suisse AG - HSI R Bear CBBC Sep 2013 U	300,000,000	2013/06/11	2013/09/27	75.00
60191	Credit Suisse AG - HSI R Bear CBBC Sep 2013 V	300,000,000	2013/06/11	2013/09/27	75.00
60189	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 S	100,000,000	2013/06/11	2013/09/27	25.00
60187	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 P	200,000,000	2013/06/11	2013/09/27	50.00
60179	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 K	200,000,000	2013/06/11	2013/09/27	50.00
60180	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 L	200,000,000	2013/06/11	2013/09/27	50.00
60200	UBS AG - China Mobile R Bear CBBC Feb 2014 A	100,000,000	2013/06/11	2014/02/10	25.00
60201	UBS AG - CC Bank R Bull CBBC Jan 2014 A	100,000,000	2013/06/11	2014/01/27	25.00
60197	UBS AG - China Life R Bull CBBC Jan 2014 A	100,000,000	2013/06/11	2014/01/20	25.00
60185	UBS AG - HSI R Bull CBBC Oct 2013 D	300,000,000	2013/06/11	2013/10/30	75.00
60194	UBS AG - HSI R Bear CBBC Sep 2013 G	300,000,000	2013/06/11	2013/09/27	75.00
60193	UBS AG - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/06/11	2013/11/28	75.00
60199	UBS AG - ICBC R Bull CBBC Feb 2014 A	100,000,000	2013/06/11	2014/02/10	25.00
60227	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 D	200,000,000	2013/06/13	2013/12/30	50.00
60217	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 Q	300,000,000	2013/06/13	2014/04/29	90.00
60236	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 R	300,000,000	2013/06/13	2014/04/29	90.00
60237	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 S	300,000,000	2013/06/13	2014/04/29	90.00
60238	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 T	300,000,000	2013/06/13	2014/04/29	75.00
60241	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 U	300,000,000	2013/06/13	2014/04/29	75.00
60242	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 V	300,000,000	2013/06/13	2014/04/29	75.00
60243	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 W	300,000,000	2013/06/13	2014/04/29	75.00
60233	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 V	300,000,000	2013/06/13	2013/12/30	75.00
60234	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/06/13	2013/12/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60235	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/06/13	2013/12/30	75.00
60293	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/06/13	2013/12/30	75.00
60277	Credit Suisse AG - HSI R Bull CBBC Jan 2014 E	300,000,000	2013/06/13	2014/01/29	75.00
60282	Credit Suisse AG - HSI R Bull CBBC Jan 2014 F	300,000,000	2013/06/13	2014/01/29	75.00
60220	Credit Suisse AG - HSI R Bull CBBC Jan 2014 G	300,000,000	2013/06/13	2014/01/29	75.00
60221	Credit Suisse AG - HSI R Bull CBBC Jan 2014 J	300,000,000	2013/06/13	2014/01/29	75.00
60245	Credit Suisse AG - HSI R Bull CBBC Jan 2014 K	300,000,000	2013/06/13	2014/01/29	75.00
60246	Credit Suisse AG - HSI R Bull CBBC Jan 2014 L	300,000,000	2013/06/13	2014/01/29	75.00
60256	Credit Suisse AG - HSI R Bull CBBC Jan 2014 O	300,000,000	2013/06/13	2014/01/29	75.00
60284	Credit Suisse AG - HSI R Bear CBBC Oct 2013 S	300,000,000	2013/06/13	2013/10/30	75.00
60289	Credit Suisse AG - HSI R Bear CBBC Oct 2013 T	300,000,000	2013/06/13	2013/10/30	75.00
60290	Credit Suisse AG - HSI R Bear CBBC Oct 2013 U	300,000,000	2013/06/13	2013/10/30	75.00
60224	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 E	120,000,000	2013/06/13	2013/10/30	30.00
60300	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 F	120,000,000	2013/06/13	2013/10/30	30.00
60302	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 G	120,000,000	2013/06/13	2013/10/30	30.00
60295	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 F	120,000,000	2013/06/13	2013/10/30	30.00
60297	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 G	120,000,000	2013/06/13	2013/10/30	30.00
60340	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 G	150,000,000	2013/06/13	2013/09/27	38.40
60337	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 S	150,000,000	2013/06/13	2013/09/27	37.80
60338	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 T	150,000,000	2013/06/13	2013/09/27	38.70
60339	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 U	150,000,000	2013/06/13	2013/09/27	38.25
60214	HK Bank - China Mobile R Bear CBBC Oct 2013 B	50,000,000	2013/06/13	2013/10/15	12.50
60216	HK Bank - Cheung Kong R Bull CBBC Nov 2013 B	60,000,000	2013/06/13	2013/11/18	15.00
60213	HK Bank - China Life R Bear CBBC Nov 2013 B	50,000,000	2013/06/13	2013/11/11	12.50
60212	HK Bank - A50 R Bull CBBC Nov 2013 C	100,000,000	2013/06/13	2013/11/11	25.00
60210	HK Bank - HSI R Bull CBBC Dec 2013 K	100,000,000	2013/06/13	2013/12/30	25.00
60209	HK Bank - HSI R Bear CBBC Nov 2013 N	100,000,000	2013/06/13	2013/11/28	25.00
60215	HK Bank - SHK Ppt R Bull CBBC Dec 2013 A	60,000,000	2013/06/13	2013/12/30	15.60
60351	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/06/13	2013/12/30	75.00
60361	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/06/13	2013/12/30	75.00
60362	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/06/13	2013/12/30	75.00
60365	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 U	300,000,000	2013/06/13	2013/12/30	75.00
60367	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/06/13	2013/11/28	75.00
60369	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/06/13	2013/11/28	75.00
60368	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/06/13	2013/12/30	75.00
60325	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 G	100,000,000	2013/06/13	2013/11/28	25.00
60333	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 H	100,000,000	2013/06/13	2013/11/28	25.00
60334	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 I	100,000,000	2013/06/13	2013/11/28	25.00
60335	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Nov 2013 J	100,000,000	2013/06/13	2013/11/28	25.00
60321	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 A	100,000,000	2013/06/13	2013/12/30	25.00
60332	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 B	100,000,000	2013/06/13	2013/12/30	25.00
60257	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 T	100,000,000	2013/06/13	2013/09/27	25.00
60219	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 Q	200,000,000	2013/06/13	2013/09/27	50.00
60291	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 R	200,000,000	2013/06/13	2013/09/27	50.00
60225	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 D	200,000,000	2013/06/13	2013/10/30	50.00

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60226	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 M	200,000,000	2013/06/13	2013/09/27	50.00
60292	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 N	200,000,000	2013/06/13	2013/09/27	50.00
60312	UBS AG - CPIC R Bull CBBC Dec 2013 B	30,000,000	2013/06/13	2013/12/09	11.10
60320	UBS AG - HKEx R Bear CBBC Nov 2013 C	100,000,000	2013/06/13	2013/11/25	25.00
60307	UBS AG - HSCEI R Bear CBBC Oct 2013 B	100,000,000	2013/06/13	2013/10/30	25.00
60262	UBS AG - HSI R Bull CBBC Oct 2013 W	300,000,000	2013/06/13	2013/10/30	75.00
60261	UBS AG - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/06/13	2013/12/30	75.00
60222	UBS AG - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/06/13	2013/12/30	75.00
60223	UBS AG - HSI R Bull CBBC Mar 2014 V	350,000,000	2013/06/13	2014/03/28	87.50
60259	UBS AG - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/06/13	2014/03/28	75.00
60258	UBS AG - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/06/13	2014/04/29	75.00
60306	UBS AG - HSI R Bear CBBC Sep 2013 M	300,000,000	2013/06/13	2013/09/27	75.00
60305	UBS AG - HSI R Bear CBBC Oct 2013 A	300,000,000	2013/06/13	2013/10/30	75.00
60304	UBS AG - HSI R Bear CBBC Oct 2013 Z	300,000,000	2013/06/13	2013/10/30	75.00
60319	UBS AG - Petrochina R Bull CBBC Nov 2013 A	100,000,000	2013/06/13	2013/11/18	25.00
65129#	UBS AG - HSCEI R Bull CBBC Sep 2013 B	200,000,000	2013/06/13	2013/09/27	10.00
60384	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 X	300,000,000	2013/06/14	2014/04/29	75.00
60428	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 Y	300,000,000	2013/06/14	2014/04/29	75.00
60414	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/06/14	2013/11/28	75.00
60417	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/06/14	2013/11/28	75.00
60418	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 C	300,000,000	2013/06/14	2013/11/28	75.00
60427	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 D	300,000,000	2013/06/14	2013/11/28	75.00
60387	Credit Suisse AG - HSI R Bull CBBC Dec 2013 U	300,000,000	2013/06/14	2013/12/30	75.00
60391	Credit Suisse AG - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/06/14	2013/12/30	75.00
60392	Credit Suisse AG - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/06/14	2013/12/30	75.00
60394	Credit Suisse AG - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/06/14	2013/12/30	75.00
60395	Credit Suisse AG - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/06/14	2013/12/30	75.00
60448	Credit Suisse AG - HSI R Bear CBBC Sep 2013 W	300,000,000	2013/06/14	2013/09/27	75.00
60449	Credit Suisse AG - HSI R Bear CBBC Sep 2013 X	300,000,000	2013/06/14	2013/09/27	75.00
60436	Credit Suisse AG - HSI R Bear CBBC Oct 2013 V	300,000,000	2013/06/14	2013/10/30	75.00
60437	Credit Suisse AG - HSI R Bear CBBC Oct 2013 W	300,000,000	2013/06/14	2013/10/30	75.00
60386	Credit Suisse AG - HSI R Bear CBBC Dec 2013 A	300,000,000	2013/06/14	2013/12/30	75.00
60482	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 H	120,000,000	2013/06/14	2013/10/30	30.00
60488	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 I	120,000,000	2013/06/14	2013/10/30	30.00
60489	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 X	120,000,000	2013/06/14	2013/10/30	30.00
60490	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 H	120,000,000	2013/06/14	2013/10/30	30.00
60511	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 I	120,000,000	2013/06/14	2013/10/30	30.00
60480	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 V	150,000,000	2013/06/14	2013/09/27	38.40
60481	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 W	150,000,000	2013/06/14	2013/09/27	37.95
60383	HK Bank - China Mobile R Bear CBBC Nov 2013 A	50,000,000	2013/06/14	2013/11/25	12.50
60377	HK Bank - Cheung Kong R Bear CBBC Nov 2013 B	50,000,000	2013/06/14	2013/11/04	12.50
60376	HK Bank - HSI R Bear CBBC Oct 2013 N	100,000,000	2013/06/14	2013/10/30	25.00
60373	HK Bank - HSI R Bear CBBC Nov 2013 O	100,000,000	2013/06/14	2013/11/28	25.00
60374	HK Bank - HSI R Bear CBBC Dec 2013 F	400,000,000	2013/06/14	2013/12/30	100.00
60513	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/06/14	2013/12/30	75.00

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60515	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/06/14	2013/12/30	75.00
60520	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/06/14	2013/12/30	75.00
60527	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/06/14	2013/12/30	75.00
60529	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/06/14	2013/11/28	75.00
60528	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/06/14	2013/12/30	75.00
60479	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 C	100,000,000	2013/06/14	2013/12/30	25.00
60475	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 A	100,000,000	2013/06/14	2013/12/30	25.00
60477	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 B	100,000,000	2013/06/14	2013/12/30	25.00
60478	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 C	100,000,000	2013/06/14	2013/12/30	25.00
60429	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 U	100,000,000	2013/06/14	2013/09/27	25.00
60430	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 I	100,000,000	2013/06/14	2013/10/30	25.00
60431	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 J	100,000,000	2013/06/14	2013/10/30	25.00
60401	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 E	200,000,000	2013/06/14	2013/10/30	50.00
60402	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 F	200,000,000	2013/06/14	2013/10/30	50.00
60403	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 O	200,000,000	2013/06/14	2013/09/27	50.00
60404	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 P	200,000,000	2013/06/14	2013/09/27	50.00
60405	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 Q	200,000,000	2013/06/14	2013/09/27	50.00
60470	UBS AG - HSCEI R Bear CBBC Nov 2013 A	100,000,000	2013/06/14	2013/11/28	25.00
60400	UBS AG - HSI R Bull CBBC Nov 2013 J	300,000,000	2013/06/14	2013/11/28	75.00
60399	UBS AG - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/06/14	2013/12/30	75.00
60450	UBS AG - HSI R Bear CBBC Sep 2013 Q	300,000,000	2013/06/14	2013/09/27	75.00
60451	UBS AG - HSI R Bear CBBC Sep 2013 R	300,000,000	2013/06/14	2013/09/27	75.00
60452	UBS AG - HSI R Bear CBBC Oct 2013 E	300,000,000	2013/06/14	2013/10/30	75.00
60463	UBS AG - HSI R Bear CBBC Oct 2013 F	300,000,000	2013/06/14	2013/10/30	75.00
60464	UBS AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/06/14	2013/12/30	75.00
60465	UBS AG - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/06/14	2013/12/30	75.00
60473	UBS AG - Hutchison R Bear CBBC Dec 2013 A	100,000,000	2013/06/14	2013/12/09	25.00
60557	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/06/17	2014/04/29	75.00
60582	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 B	300,000,000	2013/06/17	2014/04/29	75.00
60583	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 C	300,000,000	2013/06/17	2014/04/29	75.00
60666	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 D	300,000,000	2013/06/17	2014/04/29	90.00
60674	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 E	300,000,000	2013/06/17	2014/04/29	90.00
60550	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 Z	300,000,000	2013/06/17	2014/04/29	75.00
60551	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 E	300,000,000	2013/06/17	2013/11/28	75.00
60552	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 F	300,000,000	2013/06/17	2013/11/28	75.00
60661	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 G	300,000,000	2013/06/17	2013/11/28	75.00
60663	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 H	300,000,000	2013/06/17	2013/11/28	75.00
60664	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 I	300,000,000	2013/06/17	2013/11/28	75.00
60665	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/06/17	2013/11/28	75.00
60600	Credit Suisse AG - HSI R Bull CBBC Jan 2014 H	300,000,000	2013/06/17	2014/01/29	75.00
60604	Credit Suisse AG - HSI R Bull CBBC Jan 2014 I	300,000,000	2013/06/17	2014/01/29	75.00
60609	Credit Suisse AG - HSI R Bull CBBC Jan 2014 P	300,000,000	2013/06/17	2014/01/29	75.00
60660	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Q	300,000,000	2013/06/17	2014/01/29	75.00
60655	Credit Suisse AG - HSI R Bull CBBC Mar 2014 D	300,000,000	2013/06/17	2014/03/28	84.00
60656	Credit Suisse AG - HSI R Bull CBBC Mar 2014 E	300,000,000	2013/06/17	2014/03/28	99.00

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60657	Credit Suisse AG - HSI R Bull CBBC Mar 2014 F	300,000,000	2013/06/17	2014/03/28	75.00
60711	Credit Suisse AG - HSI R Bear CBBC Oct 2013 X	300,000,000	2013/06/17	2013/10/30	75.00
60712	Credit Suisse AG - HSI R Bear CBBC Oct 2013 Y	300,000,000	2013/06/17	2013/10/30	75.00
60716	Credit Suisse AG - HSI R Bear CBBC Oct 2013 Z	300,000,000	2013/06/17	2013/10/30	75.00
60635	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 A	120,000,000	2013/06/17	2013/10/30	30.00
60636	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 J	120,000,000	2013/06/17	2013/10/30	30.00
60807	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 C	120,000,000	2013/06/17	2013/11/28	30.00
60826	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 D	120,000,000	2013/06/17	2013/11/28	30.00
60804	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 B	120,000,000	2013/06/17	2013/11/28	30.00
60805	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 C	120,000,000	2013/06/17	2013/11/28	30.00
60709	Bank of East Asia - Ping An R Bull CBBC Jun 2014 A	60,000,000	2013/06/17	2014/06/26	15.00
60706	Bank of East Asia - Sands China R Bull CBBC Jun 2014 A	60,000,000	2013/06/17	2014/06/26	15.00
60762	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2013 H	120,000,000	2013/06/17	2013/09/27	30.36
60763	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 X	120,000,000	2013/06/17	2013/09/27	30.24
60767	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 Y	120,000,000	2013/06/17	2013/09/27	30.12
60771	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2013 Z	120,000,000	2013/06/17	2013/09/27	30.24
60546	HK Bank - CC Bank R Bear CBBC Nov 2013 A	40,000,000	2013/06/17	2013/11/25	10.00
60545	HK Bank - Minsheng Bank R Bear CBBC Dec 2013 A	40,000,000	2013/06/17	2013/12/23	10.00
60543	HK Bank - HKEX R Bear CBBC Nov 2013 C	50,000,000	2013/06/17	2013/11/11	12.50
60532	HK Bank - HSI R Bull CBBC Nov 2013 O	100,000,000	2013/06/17	2013/11/28	25.00
60531	HK Bank - HSI R Bull CBBC Dec 2013 L	100,000,000	2013/06/17	2013/12/30	25.00
60530	HK Bank - HSI R Bear CBBC Nov 2013 P	100,000,000	2013/06/17	2013/11/28	25.00
60541	HK Bank - Hutchison R Bull CBBC Dec 2013 B	60,000,000	2013/06/17	2013/12/16	15.00
60544	HK Bank - TCH R Bear CBBC Nov 2013 C	50,000,000	2013/06/17	2013/11/04	13.00
60848	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 I	300,000,000	2013/06/17	2013/10/30	75.00
60871	J P Morgan SP BV - HSI R Bull CBBC Oct 2013 J	300,000,000	2013/06/17	2013/10/30	75.00
60845	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 G	300,000,000	2013/06/17	2013/11/28	75.00
60854	J P Morgan SP BV - HSI R Bull CBBC Nov 2013 H	300,000,000	2013/06/17	2013/11/28	75.00
60843	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/06/17	2013/12/30	75.00
60847	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/06/17	2013/12/30	75.00
60840	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/06/17	2013/12/30	75.00
60827	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 F	300,000,000	2013/06/17	2013/10/30	75.00
60837	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 G	300,000,000	2013/06/17	2013/10/30	75.00
60839	J P Morgan SP BV - HSI R Bear CBBC Oct 2013 H	300,000,000	2013/06/17	2013/10/30	75.00
60829	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/06/17	2013/11/28	75.00
60838	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/06/17	2013/11/28	75.00
60830	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/06/17	2013/12/30	75.00
60718	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 D	100,000,000	2013/06/17	2013/12/30	25.00
60720	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 E	100,000,000	2013/06/17	2013/12/30	25.00
60723	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 F	100,000,000	2013/06/17	2013/12/30	25.00
60719	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 D	100,000,000	2013/06/17	2013/12/30	25.00
60584	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 S	200,000,000	2013/06/17	2013/09/27	50.00
60586	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 R	200,000,000	2013/06/17	2013/09/27	50.00
60642	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 S	200,000,000	2013/06/17	2013/09/27	50.00
60653	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 T	200,000,000	2013/06/17	2013/09/27	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60746	UBS AG - HKEX R Bull CBBC Dec 2013 B	100,000,000	2013/06/17	2013/12/23	25.00
60743	UBS AG - HSCEI R Bear CBBC Sep 2013 D	100,000,000	2013/06/17	2013/09/27	25.00
60641	UBS AG - HSI R Bull CBBC Oct 2013 C	300,000,000	2013/06/17	2013/10/30	75.00
60637	UBS AG - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/06/17	2013/12/30	75.00
60640	UBS AG - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/06/17	2013/12/30	75.00
60733	UBS AG - HSI R Bear CBBC Sep 2013 H	300,000,000	2013/06/17	2013/09/27	75.00
60734	UBS AG - HSI R Bear CBBC Sep 2013 S	300,000,000	2013/06/17	2013/09/27	75.00
60739	UBS AG - HSI R Bear CBBC Sep 2013 T	300,000,000	2013/06/17	2013/09/27	75.00
60735	UBS AG - HSI R Bear CBBC Oct 2013 G	300,000,000	2013/06/17	2013/10/30	75.00
60736	UBS AG - HSI R Bear CBBC Oct 2013 H	300,000,000	2013/06/17	2013/10/30	75.00
60747	UBS AG - Hutchison R Bull CBBC Nov 2013 B	100,000,000	2013/06/17	2013/11/18	25.00
60956	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/06/18	2014/04/29	75.00
60976	Credit Suisse AG - HSI R Bull CBBC Feb 2014 S	300,000,000	2013/06/18	2014/02/27	75.00
60981	Credit Suisse AG - HSI R Bull CBBC Feb 2014 T	300,000,000	2013/06/18	2014/02/27	75.00
60982	Credit Suisse AG - HSI R Bull CBBC Feb 2014 U	300,000,000	2013/06/18	2014/02/27	75.00
60921	Credit Suisse AG - HSI R Bear CBBC Oct 2013 A	300,000,000	2013/06/18	2013/10/30	75.00
60922	Credit Suisse AG - HSI R Bear CBBC Oct 2013 B	300,000,000	2013/06/18	2013/10/30	75.00
60923	Credit Suisse AG - HSI R Bear CBBC Oct 2013 C	300,000,000	2013/06/18	2013/10/30	75.00
60924	Credit Suisse AG - HSI R Bear CBBC Oct 2013 D	300,000,000	2013/06/18	2013/10/30	75.00
61033	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 K	120,000,000	2013/06/18	2013/10/30	30.00
61045	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 J	120,000,000	2013/06/18	2013/10/30	30.00
60895	HK Bank - AIA R Bear CBBC Nov 2013 C	50,000,000	2013/06/18	2013/11/11	17.50
60898	HK Bank - CNOOC R Bear CBBC Nov 2013 A	40,000,000	2013/06/18	2013/11/25	10.00
60886	HK Bank - HSCEI R Bull CBBC Jan 2014 A	100,000,000	2013/06/18	2014/01/29	25.00
60885	HK Bank - HSCEI R Bear CBBC Jan 2014 A	68,000,000	2013/06/18	2014/01/29	17.00
60872	HK Bank - HSI R Bull CBBC Feb 2014 B	400,000,000	2013/06/18	2014/02/27	120.00
60878	HK Bank - HSI R Bear CBBC Dec 2013 G	400,000,000	2013/06/18	2013/12/30	100.00
60887	HK Bank - ICBC R Bear CBBC Nov 2013 C	40,000,000	2013/06/18	2013/11/25	10.00
60901	HK Bank - PICC Group R Bull CBBC Jan 2014 A	50,000,000	2013/06/18	2014/01/27	12.50
60969	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 E	100,000,000	2013/06/18	2013/09/27	25.00
60919	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 K	100,000,000	2013/06/18	2013/10/30	25.00
60950	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 T	200,000,000	2013/06/18	2013/09/27	50.00
60914	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 U	200,000,000	2013/06/18	2013/09/27	50.00
60918	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep13 V	200,000,000	2013/06/18	2013/09/27	50.00
61003	UBS AG - CC Bank R Bear CBBC Jan 2014 A	100,000,000	2013/06/18	2014/01/13	25.00
61018	UBS AG - Cheung Kong R Bear CBBC Dec 2013 A	100,000,000	2013/06/18	2013/12/23	25.00
61014	UBS AG - Sinopec Corp R Bull CBBC Dec 2013 A	100,000,000	2013/06/18	2013/12/09	25.00
61004	UBS AG - Sinopec Corp R Bear CBBC Nov 2013 A	100,000,000	2013/06/18	2013/11/25	25.00
61002	UBS AG - A50 China R Bull CBBC Mar 2014 A	100,000,000	2013/06/18	2014/03/10	25.00
61000	UBS AG - HSCEI R Bull CBBC May 2014 A	100,000,000	2013/06/18	2014/05/29	25.00
60996	UBS AG - HSCEI R Bull CBBC Jun 2014 B	100,000,000	2013/06/18	2014/06/27	25.00
60986	UBS AG - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/06/18	2013/12/30	75.00
60987	UBS AG - HSI R Bull CBBC Mar 2014 X	350,000,000	2013/06/18	2014/03/28	87.50
60925	UBS AG - HSI R Bear CBBC Oct 2013 I	300,000,000	2013/06/18	2013/10/30	75.00
60949	UBS AG - HSI R Bear CBBC Oct 2013 L	350,000,000	2013/06/18	2013/10/30	87.50

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60948	UBS AG - HSI R Bear CBBC Oct 2013 M	300,000,000	2013/06/18	2013/10/30	75.00
60940	UBS AG - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/06/18	2013/11/28	75.00
61113	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 E	200,000,000	2013/06/19	2013/12/30	50.00
61116	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/06/19	2014/04/29	75.00
61127	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/06/19	2014/04/29	75.00
61129	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/06/19	2014/04/29	75.00
61130	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 J	300,000,000	2013/06/19	2014/04/29	75.00
61246	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 K	300,000,000	2013/06/19	2014/04/29	75.00
61165	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/06/19	2013/11/28	75.00
61166	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/06/19	2013/11/28	75.00
61167	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 M	300,000,000	2013/06/19	2013/11/28	75.00
61244	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/06/19	2013/11/28	75.00
61245	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/06/19	2013/11/28	75.00
61133	Credit Suisse AG - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/06/19	2013/12/30	75.00
61197	Credit Suisse AG - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/06/19	2013/12/30	75.00
61132	Credit Suisse AG - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/06/19	2013/12/30	75.00
61218	Credit Suisse AG - HSI R Bear CBBC Sep 2013 J	300,000,000	2013/06/19	2013/09/27	75.00
61219	Credit Suisse AG - HSI R Bear CBBC Oct 2013 G	300,000,000	2013/06/19	2013/10/30	75.00
61134	Credit Suisse AG - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/06/19	2013/12/30	75.00
61198	Credit Suisse AG - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/06/19	2013/12/30	75.00
61150	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 L	120,000,000	2013/06/19	2013/10/30	30.00
61231	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 F	120,000,000	2013/06/19	2013/11/28	30.00
61232	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 D	120,000,000	2013/06/19	2013/11/28	30.00
61236	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 E	120,000,000	2013/06/19	2013/11/28	30.00
61102	HK Bank - Sinopec Corp R Bull CBBC Dec 2013 A	80,000,000	2013/06/19	2013/12/23	20.00
61112	HK Bank - Sinopec Corp R Bear CBBC Dec 2013 A	40,000,000	2013/06/19	2013/12/23	10.00
61046	HK Bank - HSCEI R Bear CBBC Nov 2013 D	68,000,000	2013/06/19	2013/11/28	17.00
61047	HK Bank - HSI R Bear CBBC Oct 2013 O	100,000,000	2013/06/19	2013/10/30	25.00
61095	HK Bank - Hutchison R Bear CBBC Nov 2013 C	50,000,000	2013/06/19	2013/11/11	12.50
61096	HK Bank - ICBC R Bull CBBC Dec 2013 A	80,000,000	2013/06/19	2013/12/02	20.00
61089	HK Bank - TCH R Bull CBBC Nov 2013 E	50,000,000	2013/06/19	2013/11/25	12.50
61149	Standard Chartered Bank - HSI R Bull CBBC Sep 2013 X	100,000,000	2013/06/19	2013/09/27	25.00
61169	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 L	100,000,000	2013/06/19	2013/10/30	25.00
61170	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 F	200,000,000	2013/06/19	2013/12/30	50.00
61157	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep 13U	200,000,000	2013/06/19	2013/09/27	50.00
61158	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13G	200,000,000	2013/06/19	2013/10/30	50.00
61161	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13W	200,000,000	2013/06/19	2013/09/27	50.00
61222	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13X	200,000,000	2013/06/19	2013/09/27	50.00
61223	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13Y	200,000,000	2013/06/19	2013/09/27	50.00
61227	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 13Z	200,000,000	2013/06/19	2013/09/27	50.00
61195	UBS AG - AIA R Bear CBBC Mar 2014 B	100,000,000	2013/06/19	2014/03/31	25.00
61196	UBS AG - CNOOC R Bear CBBC Nov 2013 A	100,000,000	2013/06/19	2013/11/11	25.00
61151	UBS AG - HSI R Bull CBBC Nov 2013 K	300,000,000	2013/06/19	2013/11/28	75.00
61156	UBS AG - HSI R Bull CBBC Nov 2013 L	300,000,000	2013/06/19	2013/11/28	75.00
61241	UBS AG - HSI R Bull CBBC Dec 2013 B	350,000,000	2013/06/19	2013/12/30	87.50

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61193	UBS AG - HSI R Bull CBBC Mar 2014 Y	300,000,000	2013/06/19	2014/03/28	75.00
61180	UBS AG - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/06/19	2014/06/27	75.00
61181	UBS AG - HSI R Bull CBBC Jun 2014 C	300,000,000	2013/06/19	2014/06/27	75.00
61189	UBS AG - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/06/19	2014/06/27	75.00
61173	UBS AG - HSI R Bull CBBC Aug 2014 A	300,000,000	2013/06/19	2014/08/28	75.00
61178	UBS AG - HSI R Bull CBBC Aug 2014 B	300,000,000	2013/06/19	2014/08/28	75.00
61182	UBS AG - HSI R Bull CBBC Aug 2014 C	300,000,000	2013/06/19	2014/08/28	75.00
61171	UBS AG - HSI R Bull CBBC Sep 2014 A	300,000,000	2013/06/19	2014/09/29	79.50
61172	UBS AG - HSI R Bull CBBC Sep 2014 B	300,000,000	2013/06/19	2014/09/29	75.00
61240	UBS AG - HSI R Bear CBBC Sep 2013 U	300,000,000	2013/06/19	2013/09/27	75.00
61238	UBS AG - HSI R Bear CBBC Oct 2013 N	300,000,000	2013/06/19	2013/10/30	75.00
61239	UBS AG - HSI R Bear CBBC Oct 2013 O	300,000,000	2013/06/19	2013/10/30	75.00
61237	UBS AG - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/06/19	2013/11/28	75.00
61194	UBS AG - ICBC R Bear CBBC Nov 2013 A	100,000,000	2013/06/19	2013/11/11	25.00
61331	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 H	200,000,000	2013/06/20	2013/12/30	50.00
61337	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 F	200,000,000	2013/06/20	2013/12/30	50.00
61295	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 A	300,000,000	2013/06/20	2014/03/28	120.00
61299	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 B	300,000,000	2013/06/20	2014/03/28	105.00
61304	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 C	300,000,000	2013/06/20	2014/03/28	105.00
61306	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 D	300,000,000	2013/06/20	2014/03/28	90.00
61307	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 E	300,000,000	2013/06/20	2014/03/28	90.00
61311	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 F	300,000,000	2013/06/20	2014/03/28	90.00
61315	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 G	300,000,000	2013/06/20	2014/03/28	75.00
61317	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 H	300,000,000	2013/06/20	2014/03/28	75.00
61318	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 I	300,000,000	2013/06/20	2014/03/28	75.00
61319	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 J	300,000,000	2013/06/20	2014/03/28	75.00
61321	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 K	300,000,000	2013/06/20	2014/03/28	75.00
61322	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 L	300,000,000	2013/06/20	2014/03/28	75.00
61323	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 M	300,000,000	2013/06/20	2014/03/28	75.00
61324	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/06/20	2014/03/28	75.00
61327	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 O	300,000,000	2013/06/20	2014/03/28	75.00
61328	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 P	300,000,000	2013/06/20	2014/03/28	75.00
61329	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 Q	300,000,000	2013/06/20	2014/03/28	75.00
61330	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 R	300,000,000	2013/06/20	2014/03/28	75.00
61380	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 S	300,000,000	2013/06/20	2014/03/28	120.00
61383	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 T	300,000,000	2013/06/20	2014/03/28	120.00
61396	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/06/20	2013/11/28	75.00
61397	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/06/20	2013/11/28	75.00
61398	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/06/20	2013/11/28	75.00
61399	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/06/20	2013/11/28	75.00
61400	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/06/20	2013/11/28	75.00
61401	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/06/20	2013/11/28	75.00
61384	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/06/20	2014/01/29	75.00
61385	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/06/20	2014/01/29	75.00
61386	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/06/20	2014/01/29	75.00

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61388	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/06/20	2014/01/29	75.00
61394	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/06/20	2014/01/29	75.00
61395	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/06/20	2014/01/29	75.00
61350	Credit Suisse AG - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/06/20	2013/12/30	75.00
61351	Credit Suisse AG - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/06/20	2013/12/30	75.00
61352	Credit Suisse AG - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/06/20	2013/12/30	75.00
61353	Credit Suisse AG - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/06/20	2013/12/30	75.00
61354	Credit Suisse AG - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/06/20	2013/12/30	75.00
61408	Credit Suisse AG - HSI R Bull CBBC Jan 2014 N	300,000,000	2013/06/20	2014/01/29	75.00
61407	Credit Suisse AG - HSI R Bull CBBC Jan 2014 R	300,000,000	2013/06/20	2014/01/29	75.00
61409	Credit Suisse AG - HSI R Bull CBBC Jan 2014 S	300,000,000	2013/06/20	2014/01/29	75.00
61410	Credit Suisse AG - HSI R Bull CBBC Jan 2014 T	300,000,000	2013/06/20	2014/01/29	84.00
61411	Credit Suisse AG - HSI R Bull CBBC Jan 2014 U	300,000,000	2013/06/20	2014/01/29	99.00
61419	Credit Suisse AG - HSI R Bull CBBC Feb 2014 V	300,000,000	2013/06/20	2014/02/27	75.00
61413	Credit Suisse AG - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/06/20	2014/04/29	75.00
61414	Credit Suisse AG - HSI R Bull CBBC Apr 2014 B	300,000,000	2013/06/20	2014/04/29	75.00
61416	Credit Suisse AG - HSI R Bull CBBC Apr 2014 C	300,000,000	2013/06/20	2014/04/29	79.50
61417	Credit Suisse AG - HSI R Bull CBBC Apr 2014 D	300,000,000	2013/06/20	2014/04/29	96.00
61418	Credit Suisse AG - HSI R Bull CBBC Apr 2014 E	300,000,000	2013/06/20	2014/04/29	109.50
61422	Credit Suisse AG - HSI R Bear CBBC Oct 2013 H	300,000,000	2013/06/20	2013/10/30	75.00
61425	Credit Suisse AG - HSI R Bear CBBC Oct 2013 P	300,000,000	2013/06/20	2013/10/30	75.00
61427	Credit Suisse AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/06/20	2013/10/30	75.00
61421	Credit Suisse AG - HSI R Bear CBBC Nov 2013 H	300,000,000	2013/06/20	2013/11/28	75.00
61423	Credit Suisse AG - HSI R Bear CBBC Nov 2013 I	300,000,000	2013/06/20	2013/11/28	75.00
61426	Credit Suisse AG - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/06/20	2013/11/28	75.00
61420	Credit Suisse AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/06/20	2014/02/27	75.00
61462	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 B	120,000,000	2013/06/20	2013/11/28	30.00
61466	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 E	120,000,000	2013/06/20	2013/11/28	30.00
61355	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 G	120,000,000	2013/06/20	2013/11/28	30.00
61356	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 M	120,000,000	2013/06/20	2013/11/28	30.00
61357	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 O	120,000,000	2013/06/20	2013/11/28	30.00
61358	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 Q	120,000,000	2013/06/20	2013/11/28	30.00
61461	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 T	120,000,000	2013/06/20	2013/11/28	30.00
61456	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 A	120,000,000	2013/06/20	2014/03/28	30.00
61457	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 B	120,000,000	2013/06/20	2014/03/28	30.00
61459	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 C	120,000,000	2013/06/20	2014/03/28	30.00
61460	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 D	120,000,000	2013/06/20	2014/03/28	30.00
61428	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 F	120,000,000	2013/06/20	2013/11/28	30.00
61429	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 G	120,000,000	2013/06/20	2013/11/28	30.00
61467	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 H	120,000,000	2013/06/20	2013/11/28	30.00
61468	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 I	120,000,000	2013/06/20	2013/11/28	30.00
61402	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 A	150,000,000	2013/06/20	2013/10/30	38.55
61403	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 B	150,000,000	2013/06/20	2013/10/30	38.10
61404	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 C	150,000,000	2013/06/20	2013/10/30	38.70
61405	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 D	150,000,000	2013/06/20	2013/10/30	38.25

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61406	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 E	150,000,000	2013/06/20	2013/10/30	38.25
61248	HK Bank - China Mobile R Bull CBBC Feb 2014 A	120,000,000	2013/06/20	2014/02/24	30.00
61247	HK Bank - HSI R Bear CBBC Nov 2013 Q	100,000,000	2013/06/20	2013/11/28	25.00
61477	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/06/20	2013/12/30	75.00
61481	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/06/20	2013/12/30	75.00
61485	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/06/20	2013/12/30	75.00
61476	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 A	300,000,000	2013/06/20	2014/01/29	75.00
61480	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 B	300,000,000	2013/06/20	2014/01/29	75.00
61483	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 C	300,000,000	2013/06/20	2014/01/29	75.00
61475	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 A	300,000,000	2013/06/20	2014/02/27	75.00
61478	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 B	300,000,000	2013/06/20	2014/02/27	75.00
61482	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 C	300,000,000	2013/06/20	2014/02/27	75.00
61474	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 A	300,000,000	2013/06/20	2014/03/28	75.00
61471	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/06/20	2013/11/28	75.00
61473	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/06/20	2013/11/28	75.00
61469	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/06/20	2013/12/30	75.00
61470	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 L	300,000,000	2013/06/20	2013/12/30	75.00
61472	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/06/20	2013/12/30	75.00
61359	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 G	100,000,000	2013/06/20	2013/12/30	25.00
61360	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 H	100,000,000	2013/06/20	2013/12/30	25.00
61361	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 I	100,000,000	2013/06/20	2013/12/30	25.00
61362	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 E	100,000,000	2013/06/20	2013/12/30	25.00
61363	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 F	100,000,000	2013/06/20	2013/12/30	25.00
61364	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 G	100,000,000	2013/06/20	2013/12/30	25.00
61365	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 H	100,000,000	2013/06/20	2013/12/30	25.00
61366	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 I	100,000,000	2013/06/20	2013/12/30	25.00
61367	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 J	100,000,000	2013/06/20	2013/12/30	25.00
61369	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 K	100,000,000	2013/06/20	2013/12/30	25.00
61370	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 L	100,000,000	2013/06/20	2013/12/30	25.00
61371	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 M	100,000,000	2013/06/20	2013/12/30	25.00
61338	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 A	100,000,000	2013/06/20	2013/11/28	25.00
61339	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 B	100,000,000	2013/06/20	2013/11/28	25.00
61340	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 C	100,000,000	2013/06/20	2013/11/28	25.00
61346	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 D	100,000,000	2013/06/20	2013/11/28	25.00
61347	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 E	100,000,000	2013/06/20	2013/11/28	25.00
61348	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 F	100,000,000	2013/06/20	2013/11/28	25.00
61341	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 M	100,000,000	2013/06/20	2013/10/30	25.00
61254	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 V	200,000,000	2013/06/20	2013/09/27	50.00
61256	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 W	200,000,000	2013/06/20	2013/09/27	50.00
61260	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 X	200,000,000	2013/06/20	2013/09/27	50.00
61264	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 Y	200,000,000	2013/06/20	2013/09/27	50.00
61266	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 Z	200,000,000	2013/06/20	2013/09/27	50.00
61262	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 H	200,000,000	2013/06/20	2013/10/30	50.00
61265	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 I	200,000,000	2013/06/20	2013/10/30	50.00
61267	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 J	200,000,000	2013/06/20	2013/10/30	51.00

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61268	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 K	200,000,000	2013/06/20	2013/10/30	56.00
61453	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 L	200,000,000	2013/06/20	2013/10/30	50.00
61454	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 M	200,000,000	2013/06/20	2013/10/30	50.00
61372	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 A	200,000,000	2013/06/20	2013/10/30	50.00
61373	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 B	200,000,000	2013/06/20	2013/10/30	50.00
61375	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 C	200,000,000	2013/06/20	2013/10/30	50.00
61376	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 D	200,000,000	2013/06/20	2013/10/30	50.00
61377	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 E	200,000,000	2013/06/20	2013/10/30	50.00
61378	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 F	200,000,000	2013/06/20	2013/10/30	50.00
61379	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 G	200,000,000	2013/06/20	2013/10/30	50.00
61440	UBS AG - China Mobile R Bull CBBC Dec 2013 A	100,000,000	2013/06/20	2013/12/16	25.00
61439	UBS AG - China Mobile R Bull CBBC Jun 2014 B	100,000,000	2013/06/20	2014/06/23	25.00
61442	UBS AG - CC Bank R Bull CBBC Feb 2014 A	100,000,000	2013/06/20	2014/02/24	25.00
61441	UBS AG - CC Bank R Bear CBBC Dec 2013 A	100,000,000	2013/06/20	2013/12/16	25.00
61434	UBS AG - China Life R Bull CBBC Feb 2014 A	100,000,000	2013/06/20	2014/02/17	48.50
61433	UBS AG - China Life R Bear CBBC Jan 2014 A	100,000,000	2013/06/20	2014/01/06	27.00
61432	UBS AG - CSOP A50 ETF R Bear CBBC Nov 2013 A	100,000,000	2013/06/20	2013/11/25	25.00
61431	UBS AG - A50 China R Bull CBBC Feb 2014 B	100,000,000	2013/06/20	2014/02/10	25.00
61430	UBS AG - A50 China R Bear CBBC Nov 2013 A	100,000,000	2013/06/20	2013/11/18	25.00
61444	UBS AG - HKEx R Bear CBBC Dec 2013 A	100,000,000	2013/06/20	2013/12/16	25.00
61446	UBS AG - HSBC R Bull CBBC Dec 2013 C	100,000,000	2013/06/20	2013/12/23	25.00
61284	UBS AG - HSI R Bull CBBC Sep 2013 U	300,000,000	2013/06/20	2013/09/27	75.00
61287	UBS AG - HSI R Bull CBBC Sep 2013 V	300,000,000	2013/06/20	2013/09/27	75.00
61283	UBS AG - HSI R Bull CBBC Nov 2013 M	300,000,000	2013/06/20	2013/11/28	75.00
61293	UBS AG - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/06/20	2013/12/30	75.00
61285	UBS AG - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/06/20	2013/12/30	75.00
61282	UBS AG - HSI R Bull CBBC Mar 2014 Z	300,000,000	2013/06/20	2014/03/28	75.00
61269	UBS AG - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/06/20	2014/04/29	75.00
61271	UBS AG - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/06/20	2014/04/29	75.00
61272	UBS AG - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/06/20	2014/04/29	75.00
61280	UBS AG - HSI R Bull CBBC May 2014 E	300,000,000	2013/06/20	2014/05/29	75.00
61448	UBS AG - HSI R Bear CBBC Oct 2013 K	300,000,000	2013/06/20	2013/10/30	75.00
61449	UBS AG - HSI R Bear CBBC Oct 2013 P	300,000,000	2013/06/20	2013/10/30	75.00
61450	UBS AG - HSI R Bear CBBC Oct 2013 Q	300,000,000	2013/06/20	2013/10/30	75.00
61451	UBS AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/06/20	2013/10/30	75.00
61452	UBS AG - HSI R Bear CBBC Oct 2013 T	300,000,000	2013/06/20	2013/10/30	75.00
61455	UBS AG - HSI R Bear CBBC Oct 2013 U	300,000,000	2013/06/20	2013/10/30	75.00
61447	UBS AG - HSI R Bear CBBC Nov 2013 M	300,000,000	2013/06/20	2013/11/28	75.00
61445	UBS AG - Hutchison R Bull CBBC Jan 2014 A	100,000,000	2013/06/20	2014/01/13	25.00
61436	UBS AG - ICBC R Bull CBBC Feb 2014 B	100,000,000	2013/06/20	2014/02/10	25.00
61520	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 U	300,000,000	2013/06/21	2014/03/28	75.00
61526	Credit Suisse AG - HSI R Bull CBBC Jan 2014 M	300,000,000	2013/06/21	2014/01/29	75.00
61524	Credit Suisse AG - HSI R Bull CBBC Jan 2014 V	300,000,000	2013/06/21	2014/01/29	75.00
61525	Credit Suisse AG - HSI R Bull CBBC Jan 2014 W	300,000,000	2013/06/21	2014/01/29	75.00
61494	Credit Suisse AG - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/06/21	2013/11/28	75.00

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61495	Credit Suisse AG - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/06/21	2013/11/28	75.00
61496	Credit Suisse AG - HSI R Bear CBBC Nov 2013 M	300,000,000	2013/06/21	2013/11/28	75.00
61497	Credit Suisse AG - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/06/21	2013/11/28	75.00
61498	Credit Suisse AG - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/06/21	2013/11/28	75.00
61527	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 H	120,000,000	2013/06/21	2013/11/28	30.00
61528	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 J	120,000,000	2013/06/21	2013/11/28	30.00
61530	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 F	150,000,000	2013/06/21	2013/10/30	39.00
61531	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 G	150,000,000	2013/06/21	2013/10/30	39.00
61532	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 H	150,000,000	2013/06/21	2013/10/30	37.80
61533	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 I	150,000,000	2013/06/21	2013/10/30	37.50
61534	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 J	150,000,000	2013/06/21	2013/10/30	37.80
61492	HK Bank - China Mobile R Bull CBBC Dec 2013 C	120,000,000	2013/06/21	2013/12/20	30.00
61493	HK Bank - CC Bank R Bear CBBC Dec 2013 B	40,000,000	2013/06/21	2013/12/20	10.00
61491	HK Bank - HSCEI R Bear CBBC Jan 2014 B	68,000,000	2013/06/21	2014/01/29	17.00
61489	HK Bank - HSI R Bull CBBC Jan 2014 C	100,000,000	2013/06/21	2014/01/29	25.00
61490	HK Bank - HSI R Bull CBBC Jan 2014 D	100,000,000	2013/06/21	2014/01/29	25.00
61486	HK Bank - HSI R Bear CBBC Nov 2013 R	100,000,000	2013/06/21	2013/11/28	25.00
61487	HK Bank - HSI R Bear CBBC Nov 2013 S	100,000,000	2013/06/21	2013/11/28	25.00
61488	HK Bank - HSI R Bear CBBC Dec 2013 H	400,000,000	2013/06/21	2013/12/30	100.00
61519	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 E	200,000,000	2013/06/21	2013/09/27	50.00
61500	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 H	200,000,000	2013/06/21	2013/10/30	50.00
61501	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 I	200,000,000	2013/06/21	2013/10/30	50.00
61513	UBS AG - CAM CSI300 R Bull CBBC Dec 2013 A	40,000,000	2013/06/21	2013/12/09	11.80
61512	UBS AG - CAM CSI300 R Bear CBBC Feb 2014 A	40,000,000	2013/06/21	2014/02/17	16.60
61515	UBS AG - Minsheng Bank R Bull CBBC Jan 2014 A	50,000,000	2013/06/21	2014/01/20	12.50
61518	UBS AG - Sinopec Corp R Bull CBBC Dec 2013 B	100,000,000	2013/06/21	2013/12/16	25.00
61516	UBS AG - China Shenhua R Bull CBBC Sep 2014 A	100,000,000	2013/06/21	2014/09/29	25.00
61523	UBS AG - HSI R Bull CBBC Oct 2013 E	300,000,000	2013/06/21	2013/10/30	75.00
61522	UBS AG - HSI R Bull CBBC Nov 2013 G	300,000,000	2013/06/21	2013/11/28	75.00
61521	UBS AG - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/06/21	2013/12/30	75.00
61510	UBS AG - HSI R Bull CBBC Apr 2014 J	300,000,000	2013/06/21	2014/04/29	75.00
61508	UBS AG - HSI R Bull CBBC Aug 2014 D	300,000,000	2013/06/21	2014/08/28	78.00
61509	UBS AG - HSI R Bull CBBC Aug 2014 E	300,000,000	2013/06/21	2014/08/28	75.00
61506	UBS AG - HSI R Bull CBBC Sep 2014 C	300,000,000	2013/06/21	2014/09/29	108.00
61507	UBS AG - HSI R Bull CBBC Sep 2014 D	300,000,000	2013/06/21	2014/09/29	93.00
61502	UBS AG - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/06/21	2013/11/28	75.00
61503	UBS AG - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/06/21	2013/11/28	75.00
61504	UBS AG - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/06/21	2013/11/28	75.00
61505	UBS AG - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/06/21	2013/11/28	75.00
61517	UBS AG - Petrochina R Bull CBBC Dec 2013 A	100,000,000	2013/06/21	2013/12/16	25.00
61514	UBS AG - Ping An R Bull CBBC Dec 2013 A	100,000,000	2013/06/21	2013/12/23	25.00
61555	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 V	300,000,000	2013/06/24	2014/03/28	75.00
61556	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/06/24	2014/03/28	75.00
61540	Credit Suisse AG - A50 China R Bull CBBC Jan 2014 A	50,000,000	2013/06/24	2014/01/24	12.50
61541	Credit Suisse AG - A50 China R Bull CBBC Jan 2014 B	50,000,000	2013/06/24	2014/01/24	12.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61542	Credit Suisse AG - A50 China R Bear CBBC Jan 2014 A	50,000,000	2013/06/24	2014/01/24	12.50
61543	Credit Suisse AG - A50 China R Bear CBBC Jan 2014 B	50,000,000	2013/06/24	2014/01/24	12.50
61550	Credit Suisse AG - HSCEI R Bull CBBC Oct 2013 A	150,000,000	2013/06/24	2013/10/30	37.50
61551	Credit Suisse AG - HSCEI R Bull CBBC Oct 2013 B	150,000,000	2013/06/24	2013/10/30	37.50
61552	Credit Suisse AG - HSCEI R Bull CBBC Oct 2013 C	150,000,000	2013/06/24	2013/10/30	37.50
61553	Credit Suisse AG - HSCEI R Bear CBBC Oct 2013 A	150,000,000	2013/06/24	2013/10/30	37.50
61554	Credit Suisse AG - HSCEI R Bear CBBC Oct 2013 B	150,000,000	2013/06/24	2013/10/30	37.50
61575	Credit Suisse AG - HSI R Bull CBBC Feb 2014 F	300,000,000	2013/06/24	2014/02/27	75.00
61570	Credit Suisse AG - HSI R Bull CBBC Feb 2014 W	300,000,000	2013/06/24	2014/02/27	75.00
61571	Credit Suisse AG - HSI R Bull CBBC Feb 2014 X	300,000,000	2013/06/24	2014/02/27	75.00
61573	Credit Suisse AG - HSI R Bull CBBC Feb 2014 Y	300,000,000	2013/06/24	2014/02/27	75.00
61574	Credit Suisse AG - HSI R Bull CBBC Feb 2014 Z	300,000,000	2013/06/24	2014/02/27	75.00
61544	Credit Suisse AG - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/06/24	2013/11/28	75.00
61545	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/06/24	2013/11/28	75.00
61546	Credit Suisse AG - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/06/24	2013/11/28	75.00
61579	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 I	120,000,000	2013/06/24	2013/11/28	30.00
61580	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 J	120,000,000	2013/06/24	2013/11/28	30.00
61577	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 K	120,000,000	2013/06/24	2013/11/28	30.00
61578	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 L	120,000,000	2013/06/24	2013/11/28	30.00
61594	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/06/24	2013/12/30	75.00
61591	J P Morgan SP BV - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/06/24	2013/12/30	75.00
61590	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 D	300,000,000	2013/06/24	2014/01/29	75.00
61593	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 E	300,000,000	2013/06/24	2014/01/29	75.00
61589	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 D	300,000,000	2013/06/24	2014/02/27	75.00
61592	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 E	300,000,000	2013/06/24	2014/02/27	75.00
61585	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/06/24	2013/11/28	75.00
61588	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/06/24	2013/11/28	75.00
61587	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/06/24	2013/12/30	75.00
61581	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 J	100,000,000	2013/06/24	2013/12/30	25.00
61582	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 K	100,000,000	2013/06/24	2013/12/30	25.00
61583	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 L	100,000,000	2013/06/24	2013/12/30	25.00
61584	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 M	100,000,000	2013/06/24	2013/12/30	25.00
61535	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 N	100,000,000	2013/06/24	2013/10/30	25.00
61549	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 C	200,000,000	2013/06/24	2013/09/27	50.00
61548	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 D	200,000,000	2013/06/24	2013/09/27	50.00
61547	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 N	200,000,000	2013/06/24	2013/10/30	50.00
61568	UBS AG - HSCEI R Bull CBBC May 2014 B	100,000,000	2013/06/24	2014/05/29	25.00
61567	UBS AG - HSCEI R Bear CBBC Oct 2013 C	100,000,000	2013/06/24	2013/10/30	25.00
61563	UBS AG - HSI R Bull CBBC Oct 2013 H	300,000,000	2013/06/24	2013/10/30	75.00
61564	UBS AG - HSI R Bull CBBC Feb 2014 Q	300,000,000	2013/06/24	2014/02/27	75.00
61565	UBS AG - HSI R Bull CBBC Feb 2014 R	300,000,000	2013/06/24	2014/02/27	75.00
61566	UBS AG - HSI R Bull CBBC Feb 2014 S	300,000,000	2013/06/24	2014/02/27	75.00
61558	UBS AG - HSI R Bull CBBC Jul 2014 B	300,000,000	2013/06/24	2014/07/30	84.00
61559	UBS AG - HSI R Bull CBBC Jul 2014 C	300,000,000	2013/06/24	2014/07/30	78.00
61557	UBS AG - HSI R Bull CBBC Aug 2014 F	300,000,000	2013/06/24	2014/08/28	135.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61539	UBS AG - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/06/24	2013/11/28	75.00
61536	UBS AG - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/06/24	2013/12/30	75.00
61538	UBS AG - HSI R Bear CBBC Dec 2013 L	300,000,000	2013/06/24	2013/12/30	75.00
61569	UBS AG - ICBC R Bear CBBC Dec 2013 A	100,000,000	2013/06/24	2013/12/02	25.00
61616	Credit Suisse AG - HSI R Bull CBBC Mar 2014 G	300,000,000	2013/06/25	2014/03/28	75.00
61617	Credit Suisse AG - HSI R Bull CBBC Mar 2014 H	300,000,000	2013/06/25	2014/03/28	75.00
61620	Credit Suisse AG - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/06/25	2013/11/28	75.00
61622	Credit Suisse AG - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/06/25	2013/11/28	75.00
61624	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 L	120,000,000	2013/06/25	2013/11/28	30.00
61625	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 M	120,000,000	2013/06/25	2013/11/28	30.00
61597	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 A	150,000,000	2013/06/25	2013/10/30	38.25
61599	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 B	150,000,000	2013/06/25	2013/10/30	38.10
61600	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 C	150,000,000	2013/06/25	2013/10/30	38.10
61601	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 D	150,000,000	2013/06/25	2013/10/30	37.50
61602	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 E	150,000,000	2013/06/25	2013/10/30	37.50
61612	HK Bank - Sinopec Corp R Bull CBBC Jan 2014 A	80,000,000	2013/06/25	2014/01/27	20.00
61610	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2014 A	80,000,000	2013/06/25	2014/01/27	20.00
61611	HK Bank - CSOP A50 ETF R Bear CBBC Dec 2013 A	40,000,000	2013/06/25	2013/12/09	10.00
61608	HK Bank - A50 R Bull CBBC Dec 2013 A	100,000,000	2013/06/25	2013/12/02	25.00
61609	HK Bank - A50 R Bull CBBC Jan 2014 A	100,000,000	2013/06/25	2014/01/20	25.00
61607	HK Bank - HSCEI R Bull CBBC Feb 2014 A	100,000,000	2013/06/25	2014/02/27	25.00
61606	HK Bank - HSI R Bull CBBC Oct 2013 M	100,000,000	2013/06/25	2013/10/30	25.00
61603	HK Bank - HSI R Bull CBBC Nov 2013 P	100,000,000	2013/06/25	2013/11/28	25.00
61618	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 A	200,000,000	2013/06/25	2013/09/27	50.00
61619	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 J	200,000,000	2013/06/25	2013/10/30	50.00
61614	UBS AG - HSI R Bull CBBC Nov 2013 I	300,000,000	2013/06/25	2013/11/28	75.00
61613	UBS AG - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/06/25	2013/12/30	75.00
61615	UBS AG - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/06/25	2013/12/30	75.00
61623	UBS AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/06/25	2014/02/27	75.00
61636	Credit Suisse AG - HSI R Bull CBBC Feb 2014 G	300,000,000	2013/06/26	2014/02/27	75.00
61637	Credit Suisse AG - HSI R Bull CBBC Feb 2014 H	300,000,000	2013/06/26	2014/02/27	75.00
61639	Credit Suisse AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/06/26	2013/11/28	75.00
61640	Credit Suisse AG - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/06/26	2013/11/28	75.00
61647	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 M	120,000,000	2013/06/26	2013/10/30	30.00
61650	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 K	120,000,000	2013/06/26	2013/10/30	30.00
61651	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 F	150,000,000	2013/06/26	2013/10/30	38.10
61652	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 G	150,000,000	2013/06/26	2013/10/30	37.50
61626	HK Bank - China Mobile R Bull CBBC Dec 2013 D	120,000,000	2013/06/26	2013/12/09	30.00
61628	HK Bank - CC Bank R Bull CBBC Nov 2013 A	80,000,000	2013/06/26	2013/11/25	20.00
61627	HK Bank - CC Bank R Bull CBBC Dec 2013 A	80,000,000	2013/06/26	2013/12/23	20.00
61630	HK Bank - China Life R Bull CBBC Dec 2013 B	60,000,000	2013/06/26	2013/12/09	27.60
61629	HK Bank - ICBC R Bull CBBC Dec 2013 B	80,000,000	2013/06/26	2013/12/23	20.00
61643	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/06/26	2013/11/28	75.00
61646	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/06/26	2013/11/28	75.00
61642	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/06/26	2013/12/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61644	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/06/26	2013/12/30	75.00
61632	UBS AG - HSI R Bull CBBC Mar 2014 A	350,000,000	2013/06/26	2014/03/28	87.50
61633	UBS AG - HSI R Bull CBBC Mar 2014 B	350,000,000	2013/06/26	2014/03/28	87.50
61631	UBS AG - HSI R Bull CBBC Apr 2014 K	350,000,000	2013/06/26	2014/04/29	87.50
61641	UBS AG - HSI R Bear CBBC Dec 2013 M	350,000,000	2013/06/26	2013/12/30	87.50
61694	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 I	200,000,000	2013/06/27	2013/12/30	50.00
61692	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 G	200,000,000	2013/06/27	2013/12/30	50.00
61702	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 A	300,000,000	2013/06/27	2014/02/27	75.00
61703	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 B	300,000,000	2013/06/27	2014/02/27	75.00
61704	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 C	300,000,000	2013/06/27	2014/02/27	75.00
61705	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 D	300,000,000	2013/06/27	2014/02/27	75.00
61706	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 E	300,000,000	2013/06/27	2014/02/27	75.00
61679	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 P	300,000,000	2013/06/27	2014/03/28	75.00
61676	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 X	300,000,000	2013/06/27	2014/03/28	75.00
61677	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 Y	300,000,000	2013/06/27	2014/03/28	75.00
61678	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 Z	300,000,000	2013/06/27	2014/03/28	75.00
61707	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 L	300,000,000	2013/06/27	2014/04/29	75.00
61708	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 M	300,000,000	2013/06/27	2014/04/29	75.00
61709	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 P	300,000,000	2013/06/27	2014/04/29	75.00
61695	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/06/27	2014/01/29	75.00
61697	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/06/27	2014/01/29	75.00
61698	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/06/27	2014/01/29	75.00
61699	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/06/27	2014/01/29	75.00
61700	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/06/27	2014/01/29	75.00
61701	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/06/27	2014/01/29	75.00
61762	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/06/27	2014/01/29	75.00
61670	Credit Suisse AG - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/06/27	2014/04/29	75.00
61671	Credit Suisse AG - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/06/27	2014/04/29	75.00
61672	Credit Suisse AG - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/06/27	2014/04/29	75.00
61673	Credit Suisse AG - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/06/27	2014/04/29	75.00
61675	Credit Suisse AG - HSI R Bull CBBC Apr 2014 J	300,000,000	2013/06/27	2014/04/29	75.00
61710	Credit Suisse AG - HSI R Bull CBBC May 2014 A	300,000,000	2013/06/27	2014/05/29	75.00
61711	Credit Suisse AG - HSI R Bull CBBC May 2014 B	300,000,000	2013/06/27	2014/05/29	79.50
61713	Credit Suisse AG - HSI R Bull CBBC May 2014 C	300,000,000	2013/06/27	2014/05/29	82.50
61714	Credit Suisse AG - HSI R Bull CBBC Jul 2014 A	300,000,000	2013/06/27	2014/07/30	94.50
61715	Credit Suisse AG - HSI R Bull CBBC Jul 2014 B	300,000,000	2013/06/27	2014/07/30	106.50
61716	Credit Suisse AG - HSI R Bull CBBC Aug 2014 A	300,000,000	2013/06/27	2014/08/28	115.50
61717	Credit Suisse AG - HSI R Bull CBBC Aug 2014 B	300,000,000	2013/06/27	2014/08/28	121.50
61718	Credit Suisse AG - HSI R Bull CBBC Aug 2014 C	300,000,000	2013/06/27	2014/08/28	75.00
61719	Credit Suisse AG - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/06/27	2013/11/28	75.00
61720	Credit Suisse AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/06/27	2013/11/28	75.00
61721	Credit Suisse AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/06/27	2013/12/30	75.00
61722	Credit Suisse AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/06/27	2013/12/30	75.00
61723	Credit Suisse AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/06/27	2013/12/30	75.00
61725	Credit Suisse AG - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/06/27	2013/12/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61680	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 N	120,000,000	2013/06/27	2013/10/30	30.00
61682	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 O	120,000,000	2013/06/27	2013/10/30	30.00
61757	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 P	120,000,000	2013/06/27	2013/10/30	30.00
61758	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Q	120,000,000	2013/06/27	2013/10/30	30.00
61681	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Y	120,000,000	2013/06/27	2013/10/30	30.00
61748	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 E	120,000,000	2013/06/27	2014/03/28	30.00
61749	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 F	120,000,000	2013/06/27	2014/03/28	30.00
61755	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 G	120,000,000	2013/06/27	2014/03/28	30.00
61759	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 L	120,000,000	2013/06/27	2013/10/30	30.00
61760	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 M	120,000,000	2013/06/27	2013/10/30	30.00
61761	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 N	120,000,000	2013/06/27	2013/10/30	30.00
61743	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 H	150,000,000	2013/06/27	2013/10/30	37.80
61744	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 I	150,000,000	2013/06/27	2013/10/30	37.50
61745	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 J	150,000,000	2013/06/27	2013/10/30	38.40
61747	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2013 K	150,000,000	2013/06/27	2013/10/30	37.65
61656	HK Bank - AIA R Bull CBBC Dec 2013 C	60,000,000	2013/06/27	2013/12/09	15.00
61655	HK Bank - CAM CSI300 R Bull CBBC Jan 2014 A	60,000,000	2013/06/27	2014/01/27	27.00
61660	HK Bank - BOCL R Bear CBBC Feb 2014 A	40,000,000	2013/06/27	2014/02/20	10.00
61658	HK Bank - CPIC R Bull CBBC Jan 2014 A	60,000,000	2013/06/27	2014/01/27	32.40
61653	HK Bank - A50 R Bear CBBC Nov 2013 C	50,000,000	2013/06/27	2013/11/04	12.50
61654	HK Bank - A50 R Bear CBBC Nov 2013 D	50,000,000	2013/06/27	2013/11/25	12.50
61659	HK Bank - Lenovo R Bear CBBC Dec 2013 A	40,000,000	2013/06/27	2013/12/23	10.00
61657	HK Bank - Ping An R Bull CBBC Nov 2013 B	60,000,000	2013/06/27	2013/11/25	15.00
61773	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 F	300,000,000	2013/06/27	2014/01/29	75.00
61776	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 G	300,000,000	2013/06/27	2014/01/29	75.00
61779	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 H	300,000,000	2013/06/27	2014/01/29	75.00
61782	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 I	300,000,000	2013/06/27	2014/01/29	75.00
61772	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 F	300,000,000	2013/06/27	2014/02/27	75.00
61775	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 G	300,000,000	2013/06/27	2014/02/27	75.00
61778	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 H	300,000,000	2013/06/27	2014/02/27	75.00
61781	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 I	300,000,000	2013/06/27	2014/02/27	75.00
61770	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 B	300,000,000	2013/06/27	2014/03/28	75.00
61774	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 C	300,000,000	2013/06/27	2014/03/28	75.00
61777	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 D	300,000,000	2013/06/27	2014/03/28	75.00
61780	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 E	300,000,000	2013/06/27	2014/03/28	75.00
61768	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/06/27	2013/11/28	75.00
61765	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/06/27	2013/11/28	75.00
61763	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/06/27	2013/12/30	75.00
61766	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/06/27	2013/12/30	75.00
61769	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/06/27	2013/12/30	75.00
61661	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 B	200,000,000	2013/06/27	2013/09/27	50.00
61662	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 F	200,000,000	2013/06/27	2013/09/27	50.00
61663	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 H	200,000,000	2013/06/27	2013/09/27	50.00
61688	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 I	200,000,000	2013/06/27	2013/09/27	50.00
61664	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 O	200,000,000	2013/06/27	2013/10/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61666	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 P	200,000,000	2013/06/27	2013/10/30	50.00
61668	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 Q	200,000,000	2013/06/27	2013/10/30	50.00
61689	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 R	200,000,000	2013/06/27	2013/10/30	50.00
61690	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 K	200,000,000	2013/06/27	2013/10/30	50.00
61691	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 L	200,000,000	2013/06/27	2013/10/30	50.00
61732	UBS AG - COVS R Bull CBBC Dec 2013 A	50,000,000	2013/06/27	2013/12/16	18.75
61730	UBS AG - CC Bank R Bull CBBC Jan 2014 B	100,000,000	2013/06/27	2014/01/21	25.00
61729	UBS AG - CC Bank R Bull CBBC Mar 2014 A	100,000,000	2013/06/27	2014/03/11	25.00
61728	UBS AG - HSCEI R Bull CBBC May 2014 C	100,000,000	2013/06/27	2014/05/29	25.00
61726	UBS AG - HSCEI R Bear CBBC Sep 2013 E	100,000,000	2013/06/27	2013/09/27	25.00
61686	UBS AG - HSI R Bull CBBC Oct 2013 I	300,000,000	2013/06/27	2013/10/30	75.00
61685	UBS AG - HSI R Bull CBBC Nov 2013 N	300,000,000	2013/06/27	2013/11/28	75.00
61684	UBS AG - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/06/27	2013/12/30	75.00
61683	UBS AG - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/06/27	2013/12/30	75.00
61687	UBS AG - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/06/27	2013/12/30	75.00
61735	UBS AG - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/06/27	2013/12/30	75.00
61740	UBS AG - HSI R Bull CBBC Jul 2014 D	300,000,000	2013/06/27	2014/07/30	75.00
61742	UBS AG - HSI R Bull CBBC Aug 2014 G	300,000,000	2013/06/27	2014/08/28	75.00
61737	UBS AG - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/06/27	2013/11/28	75.00
61738	UBS AG - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/06/27	2013/11/28	75.00
61739	UBS AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/06/27	2013/11/28	75.00
61736	UBS AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/06/27	2013/12/30	75.00
61734	UBS AG - ICBC R Bull CBBC Dec 2013 A	100,000,000	2013/06/27	2013/12/30	25.00
61733	UBS AG - ICBC R Bear CBBC Nov 2013 B	100,000,000	2013/06/27	2013/11/25	25.00
61731	UBS AG - TCH R Bear CBBC Dec 2013 C	100,000,000	2013/06/27	2013/12/23	28.00
61807	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 J	200,000,000	2013/06/28	2013/12/30	50.00
61808	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2013 K	200,000,000	2013/06/28	2013/12/30	50.00
61811	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 F	300,000,000	2013/06/28	2014/02/27	75.00
61812	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 G	300,000,000	2013/06/28	2014/02/27	75.00
61813	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 H	300,000,000	2013/06/28	2014/02/27	75.00
61814	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 I	300,000,000	2013/06/28	2014/02/27	75.00
61815	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 J	300,000,000	2013/06/28	2014/02/27	75.00
61816	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 K	300,000,000	2013/06/28	2014/02/27	75.00
61817	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 L	300,000,000	2013/06/28	2014/02/27	75.00
61853	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/06/28	2014/01/29	75.00
61856	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/06/28	2014/01/29	75.00
61857	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/06/28	2014/01/29	75.00
61831	Credit Suisse AG - HSI R Bull CBBC Mar 2014 I	300,000,000	2013/06/28	2014/03/28	75.00
61836	Credit Suisse AG - HSI R Bull CBBC Mar 2014 J	300,000,000	2013/06/28	2014/03/28	75.00
61837	Credit Suisse AG - HSI R Bull CBBC Mar 2014 K	300,000,000	2013/06/28	2014/03/28	75.00
61838	Credit Suisse AG - HSI R Bull CBBC Mar 2014 L	300,000,000	2013/06/28	2014/03/28	75.00
61839	Credit Suisse AG - HSI R Bull CBBC Mar 2014 M	300,000,000	2013/06/28	2014/03/28	75.00
61870	Credit Suisse AG - HSI R Bull CBBC Jun 2014 A	300,000,000	2013/06/28	2014/06/27	75.00
61871	Credit Suisse AG - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/06/28	2014/06/27	85.50
61872	Credit Suisse AG - HSI R Bull CBBC Jun 2014 C	300,000,000	2013/06/28	2014/06/27	97.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61873	Credit Suisse AG - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/06/28	2014/06/27	100.50
61874	Credit Suisse AG - HSI R Bull CBBC Jun 2014 E	300,000,000	2013/06/28	2014/06/27	118.50
61875	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/06/28	2013/11/28	75.00
61877	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/06/28	2013/11/28	75.00
61878	Credit Suisse AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/06/28	2013/12/30	75.00
61879	Credit Suisse AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/06/28	2013/12/30	75.00
61880	Credit Suisse AG - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/06/28	2013/12/30	75.00
61861	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 R	120,000,000	2013/06/28	2013/10/30	30.00
61858	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 H	120,000,000	2013/06/28	2014/03/28	30.00
61859	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 I	120,000,000	2013/06/28	2014/03/28	30.00
61860	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 J	120,000,000	2013/06/28	2014/03/28	30.00
61863	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 O	120,000,000	2013/06/28	2013/10/30	30.00
61866	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 P	120,000,000	2013/06/28	2013/10/30	30.00
61919	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 K	150,000,000	2013/06/28	2013/10/30	38.85
61920	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 L	150,000,000	2013/06/28	2013/10/30	38.10
61922	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 M	150,000,000	2013/06/28	2013/10/30	37.95
61923	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 N	150,000,000	2013/06/28	2013/10/30	38.85
61924	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2013 O	150,000,000	2013/06/28	2013/10/30	39.30
61799	HK Bank - CSOP A50 ETF R Bull CBBC Feb 2014 A	80,000,000	2013/06/28	2014/02/17	20.00
61797	HK Bank - A50 R Bull CBBC Jan 2014 B	100,000,000	2013/06/28	2014/01/13	25.00
61798	HK Bank - A50 R Bull CBBC Jan 2014 C	100,000,000	2013/06/28	2014/01/27	25.00
61796	HK Bank - HSCEI R Bull CBBC Mar 2014 A	100,000,000	2013/06/28	2014/03/28	25.00
61792	HK Bank - HSI R Bull CBBC Dec 2013 M	100,000,000	2013/06/28	2013/12/30	25.00
61793	HK Bank - HSI R Bull CBBC Jan 2014 E	100,000,000	2013/06/28	2014/01/29	25.00
61794	HK Bank - HSI R Bull CBBC Jan 2014 F	400,000,000	2013/06/28	2014/01/29	100.00
61795	HK Bank - HSI R Bear CBBC Dec 2013 I	100,000,000	2013/06/28	2013/12/30	25.00
61800	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 J	300,000,000	2013/06/28	2014/01/29	75.00
61803	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 K	300,000,000	2013/06/28	2014/01/29	75.00
61940	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 L	300,000,000	2013/06/28	2014/01/29	75.00
61941	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 M	300,000,000	2013/06/28	2014/01/29	75.00
61802	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 J	300,000,000	2013/06/28	2014/02/27	75.00
61935	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 K	300,000,000	2013/06/28	2014/02/27	75.00
61937	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 L	300,000,000	2013/06/28	2014/02/27	75.00
61939	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 M	300,000,000	2013/06/28	2014/02/27	75.00
61943	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 N	300,000,000	2013/06/28	2014/02/27	75.00
61801	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 F	300,000,000	2013/06/28	2014/03/28	75.00
61929	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 G	300,000,000	2013/06/28	2014/03/28	75.00
61936	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 H	300,000,000	2013/06/28	2014/03/28	75.00
61938	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 I	300,000,000	2013/06/28	2014/03/28	75.00
61942	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 J	300,000,000	2013/06/28	2014/03/28	75.00
61933	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 T	300,000,000	2013/06/28	2013/12/30	75.00
61932	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/06/28	2014/01/29	75.00
61934	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/06/28	2014/01/29	75.00
61915	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 N	100,000,000	2013/06/28	2013/12/30	25.00
61916	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 O	100,000,000	2013/06/28	2013/12/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61917	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 P	100,000,000	2013/06/28	2013/12/30	25.00
61913	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 N	100,000,000	2013/06/28	2013/12/30	25.00
61914	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 O	100,000,000	2013/06/28	2013/12/30	25.00
61804	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 G	100,000,000	2013/06/28	2013/11/28	25.00
61805	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 H	100,000,000	2013/06/28	2013/11/28	25.00
61806	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 O	100,000,000	2013/06/28	2013/10/30	25.00
61818	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 J	200,000,000	2013/06/28	2013/09/27	50.00
61821	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 L	200,000,000	2013/06/28	2013/09/27	50.00
61823	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep13 M	200,000,000	2013/06/28	2013/09/27	50.00
61824	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 S	200,000,000	2013/06/28	2013/10/30	50.00
61825	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 T	200,000,000	2013/06/28	2013/10/30	50.00
61852	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 U	200,000,000	2013/06/28	2013/10/30	50.00
61846	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 M	200,000,000	2013/06/28	2013/10/30	50.00
61847	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 N	200,000,000	2013/06/28	2013/10/30	50.00
61848	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 O	200,000,000	2013/06/28	2013/10/30	50.00
61849	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 P	200,000,000	2013/06/28	2013/10/30	50.00
61869	UBS AG - ABC R Bull CBBC Jul 2014 A	100,000,000	2013/06/28	2014/07/28	25.00
61907	UBS AG - BOCL R Bull CBBC Jul 2014 A	100,000,000	2013/06/28	2014/07/21	25.00
61867	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2014 B	100,000,000	2013/06/28	2014/01/13	25.00
61910	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2014 A	100,000,000	2013/06/28	2014/03/10	25.00
61909	UBS AG - A50 China R Bull CBBC Feb 2014 C	100,000,000	2013/06/28	2014/02/17	25.00
61908	UBS AG - A50 China R Bull CBBC Apr 2014 A	100,000,000	2013/06/28	2014/04/07	25.00
61906	UBS AG - HSCEI R Bull CBBC Apr 2014 A	100,000,000	2013/06/28	2014/04/29	25.00
61905	UBS AG - HSCEI R Bear CBBC Oct 2013 D	100,000,000	2013/06/28	2013/10/30	25.00
61842	UBS AG - HSI R Bull CBBC Nov 2013 H	300,000,000	2013/06/28	2013/11/28	75.00
61840	UBS AG - HSI R Bull CBBC Nov 2013 O	300,000,000	2013/06/28	2013/11/28	75.00
61841	UBS AG - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/06/28	2013/12/30	75.00
61899	UBS AG - HSI R Bull CBBC Mar 2014 C	300,000,000	2013/06/28	2014/03/28	90.00
61902	UBS AG - HSI R Bull CBBC Mar 2014 D	300,000,000	2013/06/28	2014/03/28	75.00
61895	UBS AG - HSI R Bull CBBC May 2014 F	300,000,000	2013/06/28	2014/05/29	114.00
61897	UBS AG - HSI R Bull CBBC Sep 2014 E	300,000,000	2013/06/28	2014/09/29	75.00
61901	UBS AG - HSI R Bull CBBC Sep 2014 F	300,000,000	2013/06/28	2014/09/29	75.00
61903	UBS AG - HSI R Bull CBBC Dec 2014 A	300,000,000	2013/06/28	2014/12/30	75.00
61904	UBS AG - HSI R Bull CBBC Dec 2014 B	300,000,000	2013/06/28	2014/12/30	75.00
61881	UBS AG - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/06/28	2013/11/28	75.00
61882	UBS AG - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/06/28	2013/11/28	75.00
61883	UBS AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/06/28	2013/11/28	75.00
61887	UBS AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/06/28	2013/12/30	75.00
61890	UBS AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/06/28	2013/12/30	75.00
61892	UBS AG - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/06/28	2013/12/30	75.00
61893	UBS AG - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/06/28	2013/12/30	75.00
61868	UBS AG - ICBC R Bull CBBC Jun 2014 A	100,000,000	2013/06/28	2014/06/30	25.00
總額					132,125.62

進一步發行

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2012	第2季	4	14.88
	第3季	4	10.47
	第4季	4	18.62
2013	第1季	4	15.07
	第2季	4	20.85

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2012	第2季	—	—
	第3季	—	—
	第4季	—	—
2013	第1季	—	—
	第2季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第2季	7	0.14
	第3季	7	0.49
	第4季	7	0.00
2013	第1季	7	0.25
	第2季	7	0.03

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第2季	2	—
	第3季	2	—
	第4季	2	—
2013	第1季	2	—
	第2季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2012	第2季	33,806.65	6,874.87	228,059
	第3季	33,483.43	5,395.70	206,623
	第4季	45,943.00	9,037.19	329,420
2013	第1季	55,775.21	12,379.11	371,122
	第2季	38,483.69	15,384.62	413,646

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2012	第2季	61	112.70	30,145
	第3季	64	84.31	26,114
	第4季	61	148.15	27,434
2013	第1季	59	209.82	33,356
	第2季	60	256.41	37,193

20種升幅最大之創業板股份

2013年第2季

順次	股份代號	股份	收市價		升幅
			2013年6月底	2013年3月底	
1	08171	中國趨勢	0.029	0.013	123.08
2	08272	華人飲食集團	0.450	0.210 A	114.29
3	08072	羅馬集團	1.430	0.740	93.24
4	08271	環球數碼創意	0.300	0.168	78.57
5	08102	上海復旦	5.300	3.110	70.42
6	08150	無縫綠色	1.330	0.820	62.20
7	08050	雲博產業	0.285	0.177	61.02
8	08215	FIRST CREDIT	0.186	0.121	53.72
9	08270	中國聯盛	0.495	0.325	52.31
10	08292	慧聰網	6.580	4.390	49.89
11	08039	天馬娛樂	0.880	0.590	49.15
12	08179	喜尚控股	0.132	0.089	48.31
13	08212	譽滿國際控股	0.510	0.345	47.83
14	08078	中國3D數碼	0.204	0.138	47.83
15	08020	川盟金融	0.750	0.520 A	44.23
16	08279	亞博科技控股	0.485	0.340	42.65
17	08123	首華財經網絡	0.143	0.106	34.91
18	08132	鉦皓控股	0.163	0.122	33.61
19	08231	復旦張江	4.500	3.400	32.35
20	08356	中國新華電視	0.780	0.590	32.20

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2013年第2季

順次	股份代號	股份	收市價		跌幅
			2013年6月底	2013年3月底	
1	08242	宏創高科	0.167	1.000	-83.30
2	08037	朗力福集團	0.071	0.173	-58.96
3	08079	無限創意控股	0.315	0.560 A	-43.75
4	08120	東麟農業	0.445	0.780	-42.95
5	08076	新利軟件	0.116	0.200	-42.00
6	08066	品創控股	0.275	0.435	-36.78
7	08175	中國數碼版權	0.197	0.300	-34.33
8	08111	中科光電	0.077	0.114	-32.46
9	08167	中國新電信	0.420	0.620	-32.26
10	08153	科地農業	0.062	0.090	-31.11
11	08178	中國信息科技	0.195	0.280	-30.36
12	08148	奧栢中國	0.270	0.385	-29.87
13	08269	富譽控股	0.149	0.210	-29.05
14	08286	長城微光	0.210	0.295	-28.81
15	08137	洪橋集團	1.020	1.420	-28.17
16	08058	羅欣藥業	7.500	10.280	-27.04
17	08029	太陽國際	0.540	0.740	-27.03
18	08198	新濠環彩	0.550	0.740	-25.68
19	08025	亞洲資產	0.550	0.730	-24.66
20	08048	香港珠寶	0.275	0.365	-24.66

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2013年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08138	同仁堂國藥	3,568.58	23.20
2	08008	新意網集團	567.49	3.69
3	08137	洪橋集團	552.58	3.59
4	08017	百齡國際	526.90	3.42
5	08356	中國新華電視	512.97	3.33
6	08078	中國3D數碼	373.71	2.43
7	08212	譽滿國際控股	363.87	2.37
8	08090	中國融保金融集團	352.30	2.29
9	08058	羅欣藥業	336.04	2.18
10	08269	富譽控股	331.23	2.15
11	08231	復旦張江	320.54	2.08
12	08167	中國新電信	307.23	2.00
13	08279	亞博科技控股	302.57	1.97
14	08250	中國天然投資	286.48	1.86
15	08102	上海復旦	275.77	1.79
16	08150	無縫綠色	272.10	1.77
17	08270	中國聯盛	268.65	1.75
18	08120	東麟農業	241.07	1.57
19	08072	羅馬集團	228.28	1.48
20	08260	施伯樂策略控股	226.06	1.47
總額			10,214.42	66.39

創業板 股份

20種成交最活躍創業板股份(股數)

2013年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08250	中國天然投資	2,868.78	7.45
2	08171	中國趨勢	2,581.56	6.71
3	08078	中國3D數碼	1,927.16	5.01
4	08269	富譽控股	1,680.21	4.37
5	08037	朗力福集團	1,653.01	4.30
6	08079	無限創意控股	1,559.83	4.05
7	08100	聯夢活力世界	1,405.08	3.65
8	08103	泰盛國際控股	1,261.33	3.28
9	08215	FIRST CREDIT	1,213.00	3.15
10	08108	名家國際	1,112.73	2.89
11	08019	皓文控股	1,086.75	2.82
12	08212	譽滿國際控股	789.72	2.05
13	08266	流動電訊	783.60	2.04
14	08356	中國新華電視	720.80	1.87
15	08167	中國新電信	684.80	1.78
16	08017	百齡國際	680.11	1.77
17	08161	華彩控股	649.58	1.69
18	08279	亞博科技控股	609.03	1.58
19	08270	中國聯盛	598.13	1.55
20	08085	香港生命科學	537.24	1.40
總數			24,402.45	63.41

創業板 股份

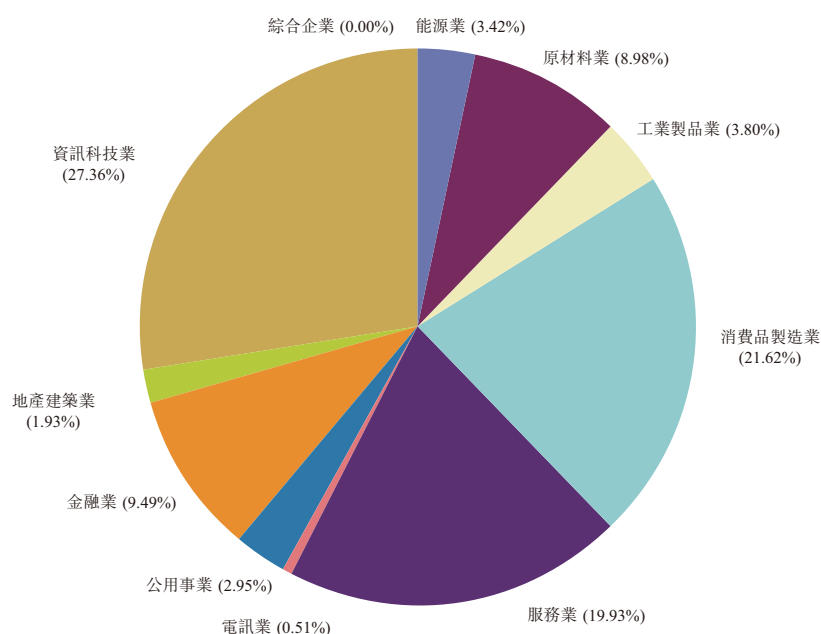
創業板公司市價總值

截至季末

		百 萬 港 元
2012	第 2 季	77,357.91
	第 3 季	73,436.35
	第 4 季	78,398.98
2013	第 1 季	87,318.43
	第 2 季	98,730.52

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2013年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08138	北京同仁堂國藥有限公司	8,881.00	9.00
2	08137	洪橋集團有限公司	6,339.99	6.42
3	08008	新意網集團有限公司	5,411.05	5.48
4	08292	慧聰網有限公司	3,782.36	3.83
5	08122	先健科技公司	2,915.00	2.95
6	08032	非凡中國控股有限公司	2,582.47	2.62
7	08086	易寶有限公司	2,445.37	2.48
8	08035	濱海投資有限公司	2,307.23	2.34
9	08270	中國聯盛煤層氣頁岩氣產業集團有限公司	2,298.04	2.33
10	08279	亞博科技控股有限公司	2,071.14	2.1
11	08090	中國融保金融集團有限公司	1,858.97	1.88
12	08020	川盟金融集團有限公司	1,665.00	1.69
13	08155	南華置地有限公司	1,620.88	1.64
14	08207	中國信貸控股有限公司	1,600.63	1.62
15	08231	上海復旦張江生物醫藥股份有限公司－H股	1,530.00	1.55
16	08158	中國生物醫學再生科技有限公司	1,495.97	1.52
17	08005	裕興科技投資控股有限公司	1,379.93	1.4
18	08232	中國優通控股有限公司	1,344.00	1.36
19	08128	中國地能有限公司	1,335.30	1.35
20	08356	中國新華電視控股有限公司	1,306.29	1.32
21	08102	上海復旦微電子集團股份有限公司－H股	1,284.35	1.3
22	08198	新濠環彩有限公司	1,284.07	1.3
23	08058	山東羅欣藥業股份有限公司－H股	1,234.20	1.25
24	08072	羅馬集團有限公司	1,144.00	1.16
25	08167	中國新電信集團有限公司	1,027.29	1.04
26	08083	中國創新支付集團有限公司	983.79	1.00
27	08161	華彩控股有限公司	872.99	0.88
28	08066	品創控股有限公司	869.50	0.88
29	08025	亞洲資產(控股)有限公司	817.04	0.83
30	08219	品牌中國集團有限公司	752.77	0.76
31	08046	恒芯中國控股有限公司	673.80	0.68
32	08075	寰亞傳媒集團有限公司	657.01	0.67
33	08016	長虹佳華控股有限公司	656.60	0.67
34	08030	匯聯金融服務控股有限公司	653.16	0.66
35	08175	中國數碼版權(集團)有限公司	650.20	0.66
36	08085	香港生命科學技術集團有限公司	648.51	0.66
37	08109	科瑞控股有限公司	641.91	0.65
38	08017	百齡國際(控股)有限公司	619.70	0.63
39	08306	中國有色金屬有限公司	612.96	0.62
40	08261	海天水電國際有限公司	610.00	0.62
41	08240	中國城市軌道交通科技控股有限公司	592.00	0.6
42	08123	首華財經網絡集團有限公司	576.14	0.58
43	08250	中國天然投資有限公司	564.16	0.57
44	08325	奧思知集團控股有限公司	552.00	0.56
45	08006	中華網科技公司	526.22	0.53
46	08189	天津泰達生物醫學工程股份有限公司－H股	514.65	0.52
47	08206	神通電信服務有限公司	504.93	0.51
48	08150	無縫綠色中國(集團)有限公司	504.71	0.51
49	08029	太陽國際資源有限公司	500.90	0.51
50	08220	比高集團控股有限公司	480.64	0.49
總額			76,180.86	77.16
市場總值			98,730.52	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2012	第2季	4	617.66
	第3季	3	179.40
	第4季	2	139.50
2013	第1季	1	60.00
	第2季	5	887.80

創業板新上市公司

2013年第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08260	施伯樂策略控股有限公司	2013/04/10	400,000,000	41.00
08138	北京同仁堂國藥有限公司	2013/05/07	830,000,000	699.20
08276	華章科技控股有限公司	2013/05/16	272,000,000	81.60
08145	電訊首科控股有限公司	2013/05/30	120,000,000	30.00
08201	寶聯控股有限公司	2013/06/17	100,000,000	36.00
總額				887.80

除牌上市公司－創業板

2013年第2季

股份代號	公司名稱	最後買賣日期	除牌日期
08208	常茂生物化學工程股份有限公司－H股*	2013/06/27	2013/06/28 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》
經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2013年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
08176	中國金豐集團控股有限公司	EDS Wellness Holdings Ltd.	2013/03/08	2013/04/29
08215	第一信用控股有限公司	第一信用金融集團有限公司	2013/04/29	2013/05/13
08063	卓施金網有限公司	和滙集團有限公司	2013/05/29	2013/06/28

創業板 股份

創業板紅股／紅利認股權證

2013年第2季

股份代號	公司	詳情	除淨日
08020	川盟金融集團有限公司	1送3	2013/04/25

創業板股份拆細及合併

2013年第2季

股份代號	公司	詳情	生效日期
08272	華人飲食集團有限公司	10股合併為1股	2013/04/05
08109	科瑞控股有限公司	1股分拆為10股	2013/04/23
08047	中國能效科技(集團)有限公司	10股合併為1股	2013/05/14
08079	無限創意控股有限公司	20股合併為1股	2013/06/18
08306	中國有色金屬有限公司	5股合併為1股	2013/06/20

創業板供股／公開售股

2013年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
5月	08022	彩娛集團有限公司	公開售股1供2，每股供0.50港元	65.65
	08351	俊文寶石國際有限公司	公開售股10供3，每股供0.11港元	33.60
總額				99.25

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
3月**	08053	比優集團	87,000,000	0.161	14.01
	08050	雲博產業	125,000,000	0.145	18.13
4月	08047	中國能效	349,480,000	0.072	25.16
	08158	中國生物醫學	1,500,000,000	0.165	247.50
	08270	中國聯盛	400,000,000	0.250	100.00
	08078	中國3D數碼	300,000,000	0.100	30.00
	08215	FIRST CREDIT	200,000,000	0.090	18.00
	08048	香港珠寶	173,410,000	0.340	58.96
	08046	恒芯中國	100,000,000	0.250	25.00
	08103	泰盛國際控股	57,000,000	0.140	7.98
	08279	亞博科技控股	406,521,739	0.345	140.25
	08116	中國幸福投資	225,449,977	0.100	22.54
5月	08150	無縫綠色	5,000,000	0.700	3.50
	08078	中國3D數碼	300,000,000	0.100	30.00
	08266	流動電訊	274,000,000	0.085	23.29
6月	08120	東麟農業	78,000,000	0.500	39.00
	08022	彩娛集團	13,110,000	0.600	7.87
總額					811.18

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2012	第2季	2	0.27	15.17
	第3季	1	0.13	8.00
	第4季	1	0.01	8.00
2013	第1季	0	0.85	0.00
	第2季	0	0.00	0.00

創業板新上市股份認股權證

2013年第2季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2013年6月底 收市	2013年3月底 收市	升跌
標準普爾／香港交易所大型股指數	24,823.71	26,597.26	－ 6.67%
標準普爾／香港交易所創業板指數	392.10	400.38	－ 2.07%
恒生指數	20,803.29	22,299.63	－ 6.71%
分類指數			
金融	29,297.68	31,536.57	－ 7.10%
公用事業	50,801.22	56,114.25	－ 9.47%
地產	28,436.00	30,591.29	－ 7.05%
工商業	11,653.99	12,360.83	－ 5.72%
恒生香港中資企業指數	4,028.72	4,362.55	－ 7.65%
恒生中國企業指數	9,311.44	10,896.22	－ 14.54%

股票市場指數

世界指數

指數	2013年6月底 收市	2013年3月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	24,823.71	26,597.26	– 6.67%
恒生指數	20,803.29	22,299.63	– 6.71%
澳洲			
普通股指數	4,775.41	4,979.87	– 4.11%
雅加達			
綜合指數	4,818.90	4,940.99	– 2.47%
日本			
日經指數(225)	13,677.32	12,397.91	+ 10.32%
韓國			
綜合指數	1,863.32	2,004.89	– 7.06%
吉隆坡			
綜合指數	1,773.54	1,671.63	+ 6.10%
馬尼拉			
綜合指數	6,465.28	6,847.47	– 5.58%
紐西蘭			
證交所指數(50)	4,440.17	4,422.75	+ 0.39%
上海			
A股指數	2,071.26	2,340.92	– 11.52%
B股指數	238.66	263.80	– 9.53%
深圳			
A股指數	925.75	967.96	– 4.36%
B股指數	775.94	791.26	– 1.94%
新加坡			
FTSE海峽時報指數	3,150.44	3,308.10	– 4.77%
台灣			
台北加權股份指數	8,062.21	7,918.61	+ 1.81%
泰國			
證交所指數	1,451.90	1,561.06	– 6.99%
紐約			
杜瓊斯工業平均指數	14,909.60	14,578.54	+ 2.27%
Nasdaq綜合指數	3,403.25	3,267.52	+ 4.15%
多倫多			
S&P/TSX綜合指數	12,129.11	12,749.90	– 4.87%
德國			
法蘭克福指數	4,209.43	4,245.47	– 0.85%
倫敦			
富時指數(100)	6,215.47	6,411.70	– 3.06%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2013年第2季	2012年第2季
恒生指數期貨	5,468,244	5,405,446
恒生指數期權	2,598,223	2,661,654
小型恒生指數期貨	2,169,689	2,278,107
小型恒生指數期權	335,245	349,946
H股指數期貨	5,259,192	3,973,006
H股指數期權	1,910,329	1,609,830
小型H股指數期貨	486,689	448,935
自訂條款恒生指數期權	486	3,500
自訂條款H股指數期權	8,720	2,925
恒指股息點指數期貨	1,412	4,329
恒生國企股息點指數期貨	54,939	43,884
恒指波幅指數期貨	259	664
IBOVESPA期貨	0	7
MICEX指數期貨	0	8
S&P BSE Sensex指數期貨 ²	0	164
FTSE/JSE Top40期貨	0	0
股票期貨	110,084	60,172
股票期權	15,369,742	13,741,210
三個月港元利率期貨	0	55
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ¹	46,238	—
黃金期貨	0	2
總數	33,819,491	30,583,844

¹ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

² 前稱Sensex指數期貨

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第2季	5,405,446	103,069
	第3季	4,924,352	124,794
	第4季	4,882,303	139,344
2013年	第1季	4,871,620	107,939
	第2季	5,468,244	101,093

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第2季	2,278,107	6,063
	第3季	2,086,235	7,350
	第4季	1,832,074	6,638
2013年	第1季	1,873,375	6,897
	第2季	2,169,689	7,096

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第2季	2,661,654	346,519
	第3季	2,289,204	364,960
	第4季	2,115,315	260,785
2013年	第1季	2,269,370	316,226
	第2季	2,598,223	282,528

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第2季	3,973,006	127,099
	第3季	3,813,494	142,443
	第4季	4,276,867	181,909
2013年	第1季	4,549,577	147,677
	第2季	5,259,192	197,763

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2012年	第2季	8,578.21	13,741,210	5,060,742
	第3季	7,354.69	13,428,912	4,658,740
	第4季	7,341.35	14,366,259	4,031,961
2013年	第1季	10,840.90	16,408,792	4,758,454
	第2季	9,361.17	15,369,742	4,810,169

衍生產品市場 期貨及期權

各期權類別之期權金總額

2013年第2季

期權類別		期權金額(百萬港元)	佔市場百分比
X安碩A50中國	A50	884.21	9.45%
農業銀行	ABC	123.76	1.32%
安徽海螺水泥股份	ACC	52.18	0.56%
友邦保險	AIA	295.72	3.16%
中國鋁業	ALC	19.26	0.21%
華夏滬深三百	AMC	41.63	0.44%
中國銀行	BCL	136.67	1.46%
交通銀行	BCM	33.07	0.35%
東亞銀行	BEA	2.01	0.02%
百麗國際	BIH	33.09	0.35%
中銀香港	BOC	55.94	0.60%
比亞迪股份	BYD	19.55	0.21%
建設銀行	CCB	361.76	3.86%
中國交通建設	CCC	18.64	0.20%
中國中煤能源	CCE	46.10	0.49%
中國遠洋	CCS	4.11	0.04%
中國移動	CHT	707.27	7.56%
中國聯通	CHU	65.90	0.70%
中信泰富	CIT	23.09	0.25%
長江實業	CKH	273.98	2.93%
中國人壽	CLI	555.75	5.94%
中電控股	CLP	19.99	0.21%
招商銀行	CMB	91.35	0.98%
中國海洋石油	CNC	172.37	1.84%
中國海外發展	COL	144.46	1.54%
國泰航空	CPA	1.71	0.02%
中國石油化工股份	CPC	145.68	1.56%
中國太保	CPI	94.83	1.01%
中國鐵建	CRC	11.07	0.12%
中國中鐵	CRG	4.73	0.05%
X標智滬深300	CS3	1.08	0.01%
南方A50	CSA	79.69	0.85%
中國神華	CSE	162.93	1.74%
中信銀行	CTB	11.41	0.12%
中國電信	CTC	28.09	0.30%
思捷環球	ESP	5.39	0.06%
富智康集團	FIH	3.46	0.04%
銀河娛樂	GLX	519.85	5.55%
電能實業	HEH	48.84	0.52%
香港交易所	HEX	288.38	3.08%
匯豐控股	HKB	505.68	5.40%
香港中華煤氣	HKG	15.47	0.17%
恒基地產	HLD	36.22	0.39%
華能國際電力股份	HNP	38.48	0.41%
恒生銀行	HSB	11.16	0.12%
和記黃埔	HWL	262.00	2.80%
工商銀行	ICB	261.16	2.79%
江西銅業	JXC	101.12	1.08%
利豐	LIF	45.45	0.49%
地鐵公司	MTR	11.79	0.13%
中國建材	NBM	87.13	0.93%
新世界發展	NWD	36.19	0.39%
中國平安	PAI	610.47	6.52%
中國石油股份	PEC	164.83	1.76%
中國人民財產保險	PIC	60.24	0.64%
富力地產	RFP	3.79	0.04%
金沙中國有限公司	SAN	229.80	2.45%
新鴻基地產	SHK	337.62	3.61%
渣打集團	STC	5.51	0.06%
太古股份公司A	SWA	33.06	0.35%
騰訊控股	TCH	662.40	7.08%
盈富基金	TRF	8.96	0.10%
九龍倉集團	WHL	82.08	0.88%
兗州煤業股份	YZC	145.80	1.56%
紫金礦業	ZJM	15.77	0.17%
總額		9,361.17	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2013年第2季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	570,849	3.71%
農業銀行	ABC	74,058	0.48%
安徽海螺水泥股份	ACC	96,358	0.63%
友邦保險	AIA	383,847	2.50%
中國鋁業	ALC	52,265	0.34%
華夏滬深三百	AMC	28,952	0.19%
中國銀行	BCL	1,023,281	6.66%
交通銀行	BCM	135,503	0.88%
東亞銀行	BEA	13,002	0.08%
百麗國際	BIH	77,626	0.51%
中銀香港	BOC	173,573	1.13%
比亞迪股份	BYD	26,016	0.17%
建設銀行	CCB	1,666,585	10.84%
中國交通建設	CCC	86,743	0.56%
中國中煤能源	CCE	110,077	0.72%
中國遠洋	CCS	23,854	0.16%
中國移動	CHT	825,706	5.37%
中國聯通	CHU	78,363	0.51%
中信泰富	CIT	44,378	0.29%
長江實業	CKH	153,648	1.00%
中國人壽	CLI	672,744	4.38%
中電控股	CLP	43,026	0.28%
招商銀行	CMB	320,843	2.09%
中國海洋石油	CNC	329,314	2.14%
中國海外發展	COL	97,238	0.63%
國泰航空	CPA	4,054	0.03%
中國石油化工股份	CPC	266,635	1.73%
中國太保	CPI	87,843	0.57%
中國鐵建	CRC	39,281	0.26%
中國中鐵	CRG	19,656	0.13%
X標智滬深300	CS3	862	0.01%
南方A50	CSA	57,334	0.37%
中國神華	CSE	213,997	1.39%
中信銀行	CTB	57,580	0.37%
中國電信	CTC	86,530	0.56%
思捷環球	ESP	117,142	0.76%
富智康集團	FIH	15,511	0.10%
銀河娛樂	GLX	460,045	2.99%
電能實業	HEH	59,405	0.39%
香港交易所	HEX	948,048	6.17%
匯豐控股	HKB	786,732	5.12%
香港中華煤氣	HKG	33,846	0.22%
恒基地產	HLD	32,295	0.21%
華能國際電力股份	HNP	50,331	0.33%
恒生銀行	HSB	45,923	0.30%
和記黃埔	HWL	178,975	1.16%
工商銀行	ICB	1,392,537	9.06%
江西銅業	JXC	161,775	1.05%
利豐	LIF	63,072	0.41%
地鐵公司	MTR	33,857	0.22%
中國建材	NBM	96,041	0.62%
新世界發展	NWD	64,441	0.42%
中國平安	PAI	573,220	3.73%
中國石油股份	PEC	267,906	1.74%
中國人民財產保險	PIC	66,727	0.43%
富力地產	RFP	15,469	0.10%
金沙中國有限公司	SAN	524,971	3.42%
新鴻基地產	SHK	161,851	1.05%
渣打集團	STC	34,050	0.22%
太古股份公司A	SWA	39,211	0.26%
騰訊控股	TCH	959,503	6.24%
盈富基金	TRF	26,535	0.17%
九龍倉集團	WHL	57,515	0.37%
兗州煤業股份	YZC	120,036	0.78%
紫金礦業	ZJM	41,151	0.27%
總數		15,369,742	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2012 第2季	70,419	43.03	179.84
第3季	66,152	42.10	156.14
第4季	76,640	50.63	198.54
2013 第1季	89,261	59.01	268.79
第2季	81,848	58.43	218.85

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2012 第2季	329	115.35	254.73
第3季	313	96.41	164.42
第4季	378	114.69	213.72
2013 第1季	463	240.85	251.98
第2季	386	70.50	229.88

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10 億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2012 第2季	3,713.59	70.37	10,006.88	50.29
第3季	3,672.03	69.98	10,816.76	50.71
第4季	3,663.66	70.30	12,330.06	51.73
2013 第1季	3,673.74	69.58	12,345.00	51.66
第2季	3,726.30	70.11	11,616.39	51.72

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2012	第2季	737,075	100	135,165.30	100	50,166.62	100
	第3季	686,075	100	127,363.30	100	46,310.30	100
	第4季	804,910	100	127,520.75	100	55,786.39	100
2013	第1季	990,740	100	172,627.79	100	74,164.38	100
	第2季	960,186	100	145,842.29	100	62,161.42	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2012	第2季	52,112	96.20	15,324.06	94.21
	第3季	51,862	95.93	15,577.24	93.76
	第4季	61,879	95.79	19,248.65	92.00
2013	第1季	71,626	96.07	24,342.25	92.71
	第2季	65,358	96.26	20,347.75	92.80

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2012	第2季	99.91	99.99
	第3季	99.91	99.99
	第4季	99.90	99.99
2013	第1季	99.91	99.99
	第2季	99.89	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2013 年第 2 季	2012 年第 2 季
恒生指數期貨	101,093	103,069
恒生指數期權	282,528	346,519
小型恒生指數期貨	7,096	6,063
小型恒生指數期權	8,909	12,617
H股指數期貨	197,763	127,099
H股指數期權	973,057	361,728
小型H股指數期貨	4,047	3,236
自訂條款恒生指數期權	10,932	11,010
自訂條款H股指數期權	24,516	7,125
恒指股息點指數期貨	5,259	5,412
恒生國企股息點指數期貨	75,519	59,548
恒指波幅指數期貨	46	77
IBOVESPA 期貨	0	0
MICEX 指數期貨	0	0
S&P BSE Sensex 指數期貨 ²	0	23
FTSE/JSE Top40 期貨	0	0
股票期貨	18,258	10,510
股票期權	4,810,169	5,060,742
三個月港元利率期貨	0	65
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ¹	7,028	—
黃金期貨	0	0
總數	6,526,220	6,114,843

¹ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

² 前稱Sensex指數期貨

結算及交收

各期權類別之未平倉合約

2013年第2季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	173,651	3.61
農業銀行	ABC	28,421	0.59
安徽海螺水泥股份	ACC	20,269	0.42
友邦保險	AIA	89,826	1.87
中國鋁業	ALC	14,322	0.30
華夏滬深三百	AMC	11,148	0.23
中國銀行	BCL	595,383	12.38
交通銀行	BCM	68,540	1.42
東亞銀行	BEA	5,645	0.12
百麗國際	BIH	15,709	0.33
中銀香港	BOC	46,728	0.97
比亞迪股份	BYD	4,342	0.09
建設銀行	CCB	700,456	14.56
中國交通建設	CCC	19,428	0.40
中國中煤能源	CCE	61,235	1.27
中國遠洋	CCS	13,072	0.27
中國移動	CHT	182,340	3.79
中國聯通	CHU	27,046	0.56
中信泰富	CIT	15,466	0.32
長江實業	CKH	24,661	0.51
中國人壽	CLI	147,690	3.07
中電控股	CLP	14,840	0.31
招商銀行	CMB	93,955	1.95
中國海洋石油	CNC	137,522	2.86
中國海外發展	COL	31,320	0.65
國泰航空	CPA	3,163	0.07
中國石油化工股份	CPC	118,551	2.46
中國太保	CPI	21,963	0.46
中國鐵建	CRC	17,139	0.36
中國中鐵	CRG	7,789	0.16
X標智滬深300	CS3	454	0.01
南方A50	CSA	17,588	0.37
中國神華	CSE	85,299	1.77
中信銀行	CTB	20,997	0.44
中國電信	CTC	33,466	0.70
思捷環球	ESP	13,614	0.28
富智康集團	FIH	5,078	0.11
銀河娛樂	GLX	84,016	1.75
電能實業	HEH	14,668	0.30
香港交易所	HEX	170,957	3.55
匯豐控股	HKB	196,279	4.08
香港中華煤氣	HKG	10,684	0.22
恒基地產	HLD	6,093	0.13

結算及交收

期權類別		未平倉合約	佔市場百份比
華能國際電力股份	HNP	17,175	0.36
恒生銀行	HSB	18,175	0.38
和記黃埔	HWL	33,131	0.69
工商銀行	ICB	593,666	12.34
江西銅業	JXC	24,104	0.50
利豐	LIF	26,582	0.55
地鐵公司	MTR	12,868	0.27
中國建材	NBM	27,745	0.58
新世界發展	NWD	19,591	0.41
中國平安	PAI	158,247	3.29
中國石油股份	PEC	101,748	2.12
中國人民財產保險	PIC	18,251	0.38
富力地產	RFP	4,502	0.09
金沙中國有限公司	SAN	105,480	2.19
新鴻基地產	SHK	26,392	0.55
渣打集團	STC	11,377	0.24
太古股份公司A	SWA	10,186	0.21
騰訊控股	TCH	155,661	3.24
盈富基金	TRF	13,336	0.28
九龍倉集團	WHL	11,473	0.24
兗州煤業股份	YZC	37,952	0.79
紫金礦業	ZJM	11,714	0.24
總數		4,810,169	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第2季	563 (988)	503 (921)	39 (46)	21 (21)
	第3季	568 (994)	506 (923)	41 (50)	21 (21)
	第4季	571 (996)	511 (928)	39 (47)	21 (21)
2013	第1季	572 (997)	509 (924)	42 (52)	21 (21)
	第2季	569 (987)	507 (918)	42 (49)	20 (20)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第2季	234 (258)	185 (207)	—	49 (51)
	第3季	235 (259)	185 (207)	—	50 (52)
	第4季	234 (258)	185 (207)	—	49 (51)
2013	第1季	230 (254)	181 (203)	—	49 (51)
	第2季	233 (257)	180 (202)	—	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2012 第2季	158	10
第3季	158	9
第4季	158	9
2013 第1季	154	8
第2季	154	8

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2012 第2季	59	8
第3季	62	8
第4季	63	9
2013 第1季	63	9
第2季	62	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2012 第2季	489	8	48
第3季	491	9	47
第4季	493	9	46
2013 第1季	491	9	45
第2季	488	9	44

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券