



證券及衍生產品市場 季報

2014年第二季

市場概況

主板

概況	2014 年第 2 季	2013 年第 2 季
上市公司數目	1,495	1,384
上市證券數目	9,071	7,991
股份		
普通股	1,496	1,385
優先股	3	3
認股權證	5,059	4,905
股份	5	10
衍生	5,054	4,895
股票掛鈎票據	0	0
牛熊證	1,846	1,222
單位信託及互惠基金	136	121
債券	531	355
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	60	60
總成交金額(百萬港元)*	3,419,186.69	3,722,955.78
總成交股數(百萬股)	6,694,733.66	8,712,875.48
總成交宗數(宗數)	54,893,648	57,258,564
上市公司市價總值(百萬港元)	23,780,215.41	20,607,719.38
平均市盈率(倍)	10.60	9.80
平均週息率(百分率)	3.51	3.33
交易所參與者數目		
開業	501	507
非開業	37	42
成交金額(百萬港元)		
最高	106,910.15 (10/04)	97,169.63 (21/06)
最低	39,200.50 (26/05)	42,633.39 (27/05)
成交股數(百萬股)		
最高	165,537.07 (10/04)	205,743.98 (20/06)
最低	86,197.78 (26/05)	93,356.54 (29/04)
總成交宗數(宗數)		
最高	1,482,490 (10/04)	1,504,632 (25/06)
最低	654,474 (27/05)	701,288 (29/04)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2014 年第 2 季	2013 年第 2 季
上市公司數目	194	183
上市證券數目	194	183
股份	194	183
認股權證	0	0
交易日數	60	60
總成交金額(百萬港元)*	28,231.96	15,384.62
總成交股數(百萬股)	80,562.77	38,483.69
總成交宗數(宗數)	844,565	413,646
上市公司市價總值(百萬港元)	164,166.52	98,730.52
平均市盈率(倍)	10.86	33.95
平均週息率(百分率)	0.66	0.93
成交金額(百萬港元)		
最高	695.46 (02/04)	875.41 (07/05)
最低	311.40 (24/04)	111.99 (03/04)
成交股數(百萬股)		
最高	4,008.97 (21/05)	1,320.90 (26/04)
最低	756.47 (24/04)	356.46 (09/04)
總成交宗數(宗數)		
最高	20,057 (30/05)	15,938 (07/05)
最低	9,271 (24/04)	3,549 (15/04)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2014年第2季	2013年第2季
所有期貨及期權產品(股票期權除外)		
交易日數	60.0	60.0
總合約成交量	14,704,454	18,449,749
股市指數產品	14,589,901	18,293,427
股票產品	81,179	110,084
利率產品	15	0
人民幣貨幣期貨	33,359	46,238
黃金期貨	0	0
平均每日合約成交量	245,074	307,496
季末未平倉合約		
股市指數產品	1,682,197	1,716,051
股票產品	1,660,385	1,690,765
利率產品	11,643	18,258
利率產品	15	0
人民幣貨幣期貨	10,154	7,028
黃金期貨	0	0
股票期權		
新開辦期權類別	0	0
股票期權數目(於季末數目)		
類別	74	65
系列	19,478	17,304
期權金總額(百萬港元)		
認購	10,523.18	9,361.17
認沽	4,933.48	3,525.89
認沽	5,589.70	5,835.29
平均每日期權金總額(百萬港元)	175.39	156.02
總合約成交量	15,082,507	15,369,742
認購	7,459,591	7,012,176
認沽	7,622,916	8,357,566
平均每日合約成交量	251,375	256,162
季末未平倉合約	4,767,976	4,810,169
認購	1,927,816	2,219,643
認沽	2,840,160	2,590,526
總成交宗數	648,952	658,657
平均每日成交宗數	10,816	10,978
已行使合約總數	2,484,424	2,114,831
每張合約平均期權金(港元)	697.71	609.07
每宗交易平均合約數目	23	23
認沽數量／認購數量	1.02	1.19
平均每日合約成交量／未平倉合約	5.3%	5.3%
所有期貨及期權產品		
總合約成交量	29,786,961	33,819,491
季末未平倉合約	6,450,173	6,526,220

市場概況

結算及交收

中央結算系統營運資料	2014年第2季	2013年第2季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	928,429	960,186
-交易金額(十億港元)	57.4	62.2
-涉及股數(十億股)	112.9	145.8
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	84,454	81,848
-交收指示涉及金額(十億港元)	191.1	218.9
-涉及股數(十億股)	51.8	58.4
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	334	386
-交收指示涉及金額(百萬港元)	188.6	229.9
-涉及股數(百萬股)	169.4	70.5
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.90	99.89
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	4	4
-補購宗數	4	4
-補購涉及金額(百萬港元)	1.6	4.7
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	4,100.7	3,726.3
-獲納入系統的證券佔已發行股本總數的百分比	70.42	70.11
-股份價值(十億港元)	13,911.4	11,616.4
-獲納入系統的證券佔市價總值的百分比	50.86	51.72
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	443,977	390,823
-股票期貨	11,643	18,258
-黃金期貨	0	0
-利率期貨	15	0
-人民幣貨幣期貨	10,154	7,028
-股市指數期權	1,216,408	1,299,942
-股票期權	4,767,976	4,810,169
累積行使股票期權		
-涉及股數(百萬股)	2,641	2,478
-涉及金額(十億港元)	43	39

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2013	第 2 季	535,314.29	2,706,214.49	47,274,428
	第 3 季	547,703.15	2,531,014.96	44,925,237
	第 4 季	696,519.78	2,824,736.45	47,763,753
2014	第 1 季	823,979.44	3,181,471.21	56,265,350
	第 2 季	639,726.12	2,641,701.39	45,496,255

股份交易統計

		交 易 日 數	每 日 平 均 成 交 金 額 (百 萬 港 元)	平 均 每 宗 交 易 成 交 金 額
2013	第 2 季	60	45,103.57	57,245
	第 3 季	63	40,174.84	56,338
	第 4 季	62	45,560.27	59,140
2014	第 1 季	61	52,155.27	56,544
	第 2 季	60	44,028.36	58,064

主 板 股 份

20種升幅最大之股份

2014年第2季

順次	股份代號	股份	收市價		升幅
			2014年6月底	2014年3月底	
1	00391	美亞娛樂資訊	0.620	0.140	342.86
2	02312	中國金融租賃	2.880	0.680	323.53
3	00439	英發國際	1.100	0.275	300.00
4	01089	森寶食品	0.720	0.223	222.87
5	01108	洛陽玻璃股份	3.800	1.340	183.58
6	00651	中海船舶	0.400	0.150	166.67
7	00928	野馬國際	1.560	0.598 A	160.87
8	00295	江山控股	1.210	0.480	152.08
9	01057	浙江世寶	7.400	3.020	145.03
10	00913	合一投資	1.800	0.764 A	135.60
11	00209	中國大亨飲品	0.207	0.089	132.58
12	00370	國華	0.182	0.079	130.38
13	00842	理士國際	1.540	0.700	120.00
14	01141	保興資本	0.280	0.140	100.00
15	01159	中國綠能國際	1.060	0.540	96.30
16	01399	飛毛腿	1.190	0.620	91.94
17	01227	國盛投資	0.370	0.193	91.71
18	00736	中國置業投資	0.460	0.248	85.48
19	01076	博華太平洋	0.570	0.323 A	76.47
20	01195	京維集團	0.850	0.490	73.47

A 經調整

20種跌幅最大之股份

2014年第2季

順次	股份代號	股份	收市價		跌幅
			2014年6月底	2014年3月底	
1	00745	中國國家文化產業	0.202	0.730	-72.33
2	00948	融達控股	0.210	0.600	-65.00
3	00550	先傳媒	1.130	2.860	-60.49
4	01808	企展控股	0.360	0.900	-60.00
5	00080	中國新經濟投資	0.275	0.680	-59.56
6	00120	COSMOPOL INT'L	0.030	0.070	-57.14
7	01315	允升國際	1.690	3.710	-54.45
8	00593	天洋國際控股	4.120	8.600	-52.09
9	01738	飛尚無煙煤	6.750	13.200	-48.86
10	01111	創興銀行	14.160	27.100	-47.75
11	00397	君陽太陽能	0.053	0.101	-47.52
12	00692	中國家居	0.485	0.900	-46.11
13	00648	中國仁濟醫療	0.480	0.880 A	-45.45
14	00720	意達利控股	0.075	0.136	-44.85
15	01003	21控股	0.730	1.287 A	-43.28
16	00904	中國綠色食品	0.415	0.730	-43.15
17	00194	廖創興企業	9.180	16.000	-42.63
18	00519	實力建業	0.385	0.670	-42.54
19	01196	昌明投資	0.760	1.300	-41.54
20	00986	中國環保能源	0.082	0.138	-40.58

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2014年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00700	騰訊控股	166,948.81	6.32
2	00941	中國移動	79,370.26	3.00
3	00939	建設銀行	77,029.59	2.92
4	01928	金沙中國有限公司	75,809.56	2.87
5	01398	工商銀行	70,430.14	2.67
6	03988	中國銀行	62,880.84	2.38
7	00005	匯豐控股	56,723.47	2.15
8	00027	銀河娛樂	56,606.51	2.14
9	00388	香港交易所	51,912.71	1.97
10	02318	中國平安	49,168.29	1.86
11	01299	友邦保險	42,341.65	1.60
12	00883	中國海洋石油	41,868.40	1.58
13	00857	中國石油股份	39,879.02	1.51
14	00386	中國石油化工股份	38,591.31	1.46
15	02628	中國人壽	33,987.84	1.29
16	00688	中國海外發展	32,894.38	1.25
17	03888	金山軟件	32,168.62	1.22
18	01288	農業銀行	31,683.90	1.20
19	00013	和記黃埔	30,191.78	1.14
20	00914	安徽海螺水泥股份	29,001.98	1.10
總額			1,099,489.06	41.62

20種成交最活躍股份(股數)

2014年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	00745	中國國家文化產業	19,943.26	3.12
2	03988	中國銀行	17,824.14	2.79
3	00076	南海石油	15,466.59	2.42
4	01398	工商銀行	14,422.45	2.25
5	00939	建設銀行	13,809.02	2.16
6	00981	中芯國際	12,037.07	1.88
7	01371	華彩控股	10,877.67	1.70
8	01288	農業銀行	9,146.99	1.43
9	00397	君陽太陽能	8,950.60	1.40
10	01060	文化中國傳播	7,936.62	1.24
11	00209	中國大亨飲品	6,390.99	1.00
12	00729	五龍電動車	6,263.37	0.98
13	00692	中國家居	5,693.50	0.89
14	00986	中國環保能源	5,476.46	0.86
15	00386	中國石油化工股份	5,429.95	0.85
16	00566	漢能太陽能	5,349.12	0.84
17	00555	御泰中彩控股	5,203.22	0.81
18	03800	保利協鑫能源	5,134.14	0.80
19	00651	中海船舶	4,975.15	0.78
20	00931	中國天然氣	4,425.74	0.69
總數			184,756.04	28.88

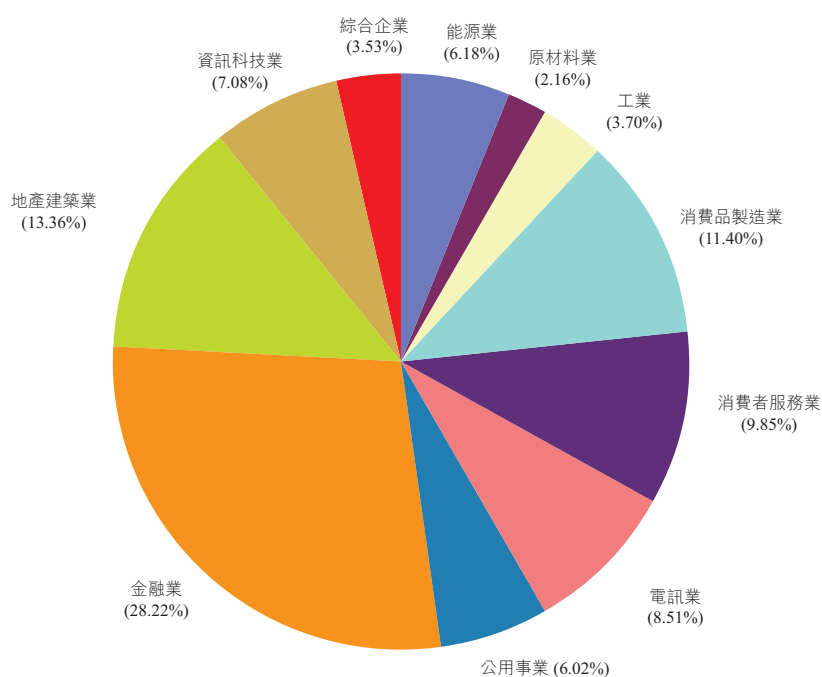
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2013	第2季	20,607,719.38
	第3季	22,697,506.14
	第4季	23,908,803.80
2014	第1季	22,892,041.53
	第2季	23,780,215.41

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2014年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)	
1	00941	中國移動有限公司	1,527,031.72	6.42	
2	00005	匯豐控股有限公司	1,498,938.01	6.30	
3	00939	中國建設銀行股份有限公司－H股	1,408,845.49	5.92	
4	00700	騰訊控股有限公司	1,104,265.78	4.64	
5	00883	中國海洋石油有限公司	621,492.59	2.61	
6	00805	Glencore plc *	—	569,000.09	N.A.
7	01928	金沙中國有限公司	472,206.23	1.99	
8	01299	友邦保險控股有限公司	469,143.20	1.97	
9	00013	和記黃埔有限公司	451,917.30	1.90	
10	02378	英國保誠有限公司*	—	451,656.04	N.A.
11	01398	中國工商銀行股份有限公司－H股	425,290.82	1.79	
12	02888	渣打集團有限公司	389,368.95	1.64	
13	00001	長江實業(集團)有限公司	318,472.60	1.34	
14	03988	中國銀行股份有限公司－H股	290,169.30	1.22	
15	00016	新鴻基地產發展有限公司	289,674.82	1.22	
16	00762	中國聯合網絡通信(香港)股份有限公司	285,508.95	1.20	
17	00945	Manulife Financial Corporation *	—	284,633.09	N.A.
18	00027	銀河娛樂集團有限公司	263,018.67	1.11	
19	00011	恒生銀行有限公司	242,039.29	1.02	
20	02388	中銀香港(控股)有限公司	237,358.92	1.00	
21	00857	中國石油天然氣股份有限公司－H股	206,558.23	0.87	
22	00386	中國石油化工股份有限公司－H股	188,544.31	0.79	
23	02318	中國平安保險(集團)股份有限公司－H股	187,783.95	0.79	
24	03328	交通銀行股份有限公司－H股	187,313.47	0.79	
25	00003	香港中華煤氣有限公司	178,345.21	0.75	
26	00066	香港鐵路有限公司	173,123.73	0.73	
27	00004	九龍倉集團有限公司	169,081.10	0.71	
28	00388	香港交易及結算所有限公司	168,647.40	0.71	
29	00002	中電控股有限公司	160,682.26	0.68	
30	01128	永利澳門有限公司	157,713.32	0.66	
31	06883	新濠博亞娛樂有限公司	156,663.54	0.66	
32	00688	中國海外發展有限公司	153,670.74	0.65	
33	02628	中國人壽保險股份有限公司－H股	151,055.85	0.64	
34	00151	中國旺旺控股有限公司	147,183.81	0.62	
35	00006	電能實業有限公司	144,596.23	0.61	
36	01913	PRADA S.p.A.	140,351.50	0.59	
37	00019	太古股份有限公司	139,886.82	0.59	
38	00012	恒基兆業地產有限公司	134,639.42	0.57	
39	01972	太古地產有限公司	132,502.50	0.56	
40	01038	長江基建集團有限公司	130,397.21	0.55	
41	00322	康師傅控股有限公司	121,488.26	0.51	
42	01929	周大福珠寶集團有限公司	118,400.00	0.50	
43	00992	聯想集團有限公司	110,111.85	0.46	
44	00880	澳門博彩控股有限公司	109,832.32	0.46	
45	00101	恒隆地產有限公司	107,193.60	0.45	
46	00836	華潤電力控股有限公司	105,454.17	0.44	
47	01288	中國農業銀行股份有限公司－H股	105,126.77	0.44	
48	00135	昆侖能源有限公司	103,165.15	0.43	
49	02282	美高梅中國控股有限公司	102,220.00	0.43	
50	01044	恒安國際集團有限公司	100,223.87	0.42	
總額			14,586,699.21	61.34	
市場總值			23,780,215.41	100.00	

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2013	第2季	402,326.06	3,738,340.40	10.76
	第3季	362,504.10	3,478,201.02	10.42
	第4季	327,062.28	3,661,066.79	8.93
2014	第1季	413,654.80	4,167,426.78	9.93
	第2季	355,541.15	3,447,418.64	10.31

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2013	第2季	41,554.24	8,751,359.18	0.47
	第3季	38,306.44	8,245,169.14	0.46
	第4季	34,177.79	7,256,548.86	0.47
2014	第1季	41,100.03	8,443,354.93	0.49
	第2季	36,449.08	6,775,296.43	0.54

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2013	第2季	6	1	30,416.14
	第3季	15	2	19,845.52
	第4季	48	5	107,181.69
2014	第1季	18	2	45,540.23
	第2季	24	2	35,916.98

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2014年第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
02100	百奧家庭互動有限公司	2014/04/10	2,824,422,000	1,518.13
01400	宏太控股有限公司	2014/04/25	1,010,000,000	187.20
01771	新豐泰集團控股有限公司	2014/05/15	600,000,000	541.50
01397	碧瑤綠色集團有限公司	2014/05/22	415,000,000	138.00
06199	中國北車股份有限公司—H股	2014/05/22	2,133,696,400	10,028.37
02111	超盈國際控股有限公司	2014/05/23	1,018,778,000	577.87
01908	西南環保發展有限公司*	2014/05/26	300,000,000	—
02303	恒興黃金控股有限公司	2014/05/29	925,000,000	370.00
06198	青島港國際股份有限公司—H股	2014/06/06	856,025,000	3,218.65
02014	浩澤淨水國際控股有限公司	2014/06/17	1,751,300,000	1,310.31
01639	安捷利實業有限公司*	2014/06/18	987,470,000	—
01330	綠色動力環保集團股份有限公司—H股	2014/06/19	404,359,792	1,190.25
03903	瀚華金控股份有限公司—H股	2014/06/19	1,170,000,000	1,895.40
01619	天合化工集團有限公司	2014/06/20	25,533,000,000	5,799.93
06128	泛亞環境國際控股有限公司	2014/06/25	400,000,000	120.00
01375	中原證券股份有限公司—H股	2014/06/25	657,910,000	1,501.23
02202	萬科企業股份有限公司—H股	2014/06/25	1,314,955,468	—
02229	長港敦信實業有限公司	2014/06/26	992,800,000	347.48
01588	暢捷通信息技術股份有限公司—H股	2014/06/26	55,000,000	900.90
02298	都市麗人(中國)控股有限公司	2014/06/26	1,906,457,000	1,463.25
01626	嘉耀控股有限公司	2014/06/27	300,000,000	94.50
03709	珂萊蒂爾控股有限公司	2014/06/27	500,000,000	525.00
00911	恒發洋參控股有限公司	2014/06/27	2,000,000,000	990.00
03639	億達中國控股有限公司	2014/06/27	2,580,000,000	1,421.00
06899	聯眾國際控股有限公司	2014/06/30	784,000,000	833.00
01778	彩生活服務集團有限公司	2014/06/30	1,000,000,000	945.00
總 額				35,916.98

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2014年第2季

股份代號	公司名稱	最後買賣日期	除牌日期
01633	美即控股國際有限公司	2014/04/01	2014/04/10
06488	SBI Holdings, Inc. 一預託證券	2014/05/26	2014/06/25

主 板 股 份

公司名稱更改

2014年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
00500	天地數碼(控股)有限公司	先豐服務集團有限公司	2014/03/06	2014/04/03
00108	建懋國際有限公司	國銳地產有限公司	2014/04/07	2014/04/15
00745	中國鐵聯傳媒有限公司	中國國家文化產業集團有限公司	2014/03/19	2014/04/28
03886	康健國際投資有限公司	康健國際醫療集團有限公司	2014/03/25	2014/05/19
00451	森泰集團有限公司	協鑫新能源控股有限公司	2014/05/09	2014/05/21
03989	新環保能源控股有限公司	首創環境控股有限公司	2014/05/08	2014/05/30
00476	中銅資源(控股)有限公司	中國動力(控股)有限公司	2014/05/15	2014/06/05
00395	中國龍新能源控股有限公司	中國智能集團控股有限公司	2014/05/20	2014/06/11
00332	毅力工業集團有限公司	元亨燃氣控股有限公司	2014/05/19	2014/06/16
00729	中聚電池有限公司	五龍電動車(集團)有限公司	2014/05/13	2014/06/19
02178	添利百勤油田服務有限公司	百勤油田服務有限公司	2014/05/30	2014/06/20
00931	宏通集團控股有限公司	中國天然氣集團有限公司	2014/05/28	2014/06/23
00805	Glencore Xstrata plc	Glencore plc	2014/06/04	2014/06/25
01803	瀚洋控股有限公司	瀚洋物流控股有限公司	2014/05/20	2014/06/26
00800	A8電媒音樂控股有限公司	A8新媒體集團有限公司	2014/05/26	2014/06/30

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2014年第2季

股份代號	公司	詳情	除淨日
00993	天行國際(控股)有限公司	1送9	2014/04/02
00016	新鴻基地產發展有限公司	12股送1份2016年認股權證	2014/04/08
00723	永保林業控股有限公司	每供1股送5股股份	2014/04/08
02369	酷派集團有限公司	1送1	2014/05/27
00653	卓悅控股有限公司	10送1	2014/05/28
03886	康健國際醫療集團有限公司	1送4	2014/05/28
01184	時捷集團有限公司	1送1	2014/06/04
00801	金衛醫療集團有限公司	11股送2份2015年認股權證 (2股合併為1股後)	2014/06/05
00460	四環醫藥控股集團有限公司	1送1	2014/06/05
00003	香港中華煤氣有限公司	10送1	2014/06/06
00012	恒基兆業地產有限公司	10送1	2014/06/11
01988	中國民生銀行股份有限公司—H股	10送2	2014/06/12
00895	東江環保股份有限公司—H股	10送5	2014/06/12
01666	北京同仁堂科技發展股份 有限公司—H股	1送1	2014/06/17
02488	深圳市元征科技股份有限公司—H股	1送4	2014/06/30

股份拆細及合併

2014年第2季

股份代號	公司	詳情	生效日期
02668	百德國際有限公司	1股分拆為5股	2014/04/07
00115	鈞濠集團有限公司	5股合併為1股	2014/04/09
01076	博華太平洋國際控股有限公司	1股分拆為20股	2014/04/11
00491	漢傳媒集團有限公司	10股合併為1股	2014/05/08
00989	廣澤地產有限公司	5股合併為1股	2014/05/15
00700	騰訊控股有限公司	1股分拆為5股	2014/05/15
01106	中國海景控股有限公司	5股合併為1股	2014/05/20
00333	黛麗斯國際有限公司	5股合併為1股	2014/05/23
00801	金衛醫療集團有限公司	2股合併為1股	2014/06/04
00928	野馬國際集團有限公司	1股分拆為10股	2014/06/04
00913	合一投資控股有限公司	1股分拆為5股	2014/06/04
00648	中國仁濟醫療集團有限公司	20股合併為1股	2014/06/13
00102	凱升控股有限公司	1股分拆為2股	2014/06/16
00451	協鑫新能源控股有限公司	1股分拆為6股	2014/06/30

主 板 股 份

供股／公開售股

2014年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
4月	00860	明豐珠寶集團有限公司	公開售股2供1，每股供0.08港元	174.64
	00444	Sincere Watch (Hong Kong) Ltd.	股權2供1，每股供0.60港元	122.40
	02324	首都創投有限公司	公開售股1供4，每股供0.25港元	171.39
			另每供4股送1份紅利認股權證	
	01166	蒙古礦業控股有限公司	公開售股1供5，每股供0.12港元	188.99
	00017	新世界發展有限公司	股權3供1，每股供6.20港元	13,316.36
	00440	大新金融集團有限公司	股權100供13，每股供23.40港元	902.03
	02356	大新銀行集團有限公司	股權100供12，每股供8.00港元	1,201.32
	00723	永保林業控股有限公司	公開售股10供1，每股供0.32港元	44.54
			另每供1股送5股股份	
	00656	復星國際有限公司	股權500供39，每股供9.76港元	4,888.63
	00989	廣澤地產有限公司	公開售股2供1，每股供0.20港元	286.15
	00665	海通國際證券集團有限公司	股權2供1，每股供3.80港元	2,652.32
	00188	新華匯富金融控股有限公司	公開售股4供1，每股供0.148港元	136.20
	00491	漢傳媒集團有限公司	股權1供9，每股供0.228港元	306.66
			(10股合併為1股後)	
	01003	21控股有限公司	公開售股2供1，每股供0.50港元	96.19
6月	00572	中國包裝集團有限公司	公開售股2供1，每股供0.04港元	43.81
	00220	統一企業中國控股有限公司	股權5供1，每股供4.56港元	3,282.69
總額				27,814.32

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2014					
4 月	00630	雋泰控股	175,160,000	0.2390	41.86
	00605	中國金融投資管理	300,000,000	0.5500	165.00
	00633	中國全通	120,000,000	3.2000	384.00
	00476	中國動力控股	450,000,000	0.8300	373.50
	01130	中國環境資源	157,142,418	0.3750	58.93
	00572	中國包裝集團	169,000,000	0.1050	17.75
	00326	中國星集團	3,200,000,000	0.1250	400.00
	00241	中信 2 1 世紀	4,423,175,008	0.3000	1,326.95
	00712	卡姆丹克太陽能	59,541,985	1.3100	78.00
	00943	意科控股	36,575,414	0.2350	8.60
	00689	長盈集團(控股)	682,480,000	0.2280	155.61
	02196	復星醫藥	67,214,000	26.5100	1,781.84
	01788	國泰君安國際	160,000,000	4.3000	688.00
	01029	鐵貨	165,000,000	0.9400	155.10
	00582	藍鼎國際	1,370,000,000	0.3400	465.80
	00582	藍鼎國際	500,000,000	0.4000	200.00
	00905	MASTERMIND CAP	400,000,000	0.1000	40.00
	01830	必瘦站	75,000,000	1.7500	131.25
	01089	森寶食品	332,000,000	0.2000	66.40
	00176	聯太工業	147,428,134	0.8870	130.77
	01178	天年生物	278,000,000	0.1121	31.16
5 月	01003	21 控股	64,000,000	0.8100	51.84
	00718	百威國際	150,000,000	0.5600	84.00
	01211	比亞迪股份	121,900,000	35.0000	4,266.50
	01069	中國農林低碳	74,000,000	1.3000	96.20
	00479	華建控股	627,660,000	0.1100	69.04
	01019	康宏理財	39,800,000	1.1500	45.77
	01152	豐臨集團	120,000,000	1.0000	120.00
	00451	協鑫新能源	410,000,000	4.0000	1,640.00
	00033	和協海峽金融集團	230,000,000	0.1000	23.00
	00185	恒輝企業控股	53,000,000	0.3600	19.08
	01082	香港教育國際	115,200,000	0.1450	16.70
	01188	正道集團	1,979,750,000	0.1000	197.98
	00381	僑雄能源	75,600,000	0.1600	12.10
	00810	華保亞洲	86,390,000	0.4100	35.42
	00802	宏霸數碼	167,080,000	0.2700	45.11
	00521	首長科技	538,428,000	0.3000	161.53
	00628	華銀控股	43,000,000	1.2500	53.75
	00039	中國釀酒集團	80,000,000	0.7000	56.00
	00102	凱升控股	52,000,000	11.3000	587.60
	01191	粵首環保	220,000,000	0.2000	44.00
	00096	YUSEI	26,400,000	0.8000	21.12

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
6 月	00630	雋泰控股	175,160,000	0.2390	41.86
	01522	中國城市軌道科技	332,691,575	1.2500	415.86
	01094	中國公共採購	698,888,000	0.3600	251.60
	00476	中國動力控股	96,000,000	0.9200	88.32
	00986	中國環保能源	180,000,000	0.1070	19.26
	00673	中國衛生控股	50,000,000	0.2120	10.60
	01060	文化中國傳播	12,488,058,846	0.5000	6,244.03
	00997	普匯中金國際	350,000,000	0.5500	192.50
	00616	永義實業	65,200,000	0.3500	22.82
	00033	和協海峽金融集團	100,000,000	0.1000	10.00
	00145	香港建屋貸款	257,000,000	0.8000	205.60
	00356	INCUTECH INVEST	1,400,000,000	0.1000	140.00
	00582	藍鼎國際	700,000,000	0.5000	350.00
	01237	美麗家園	200,000,000	0.8000	160.00
	01323	NEWTREE GROUP	23,700,000	2.4500	58.07
	01830	必瘦站	58,000,000	1.9000	110.20
	00981	中芯國際	2,590,000,000	0.6000	1,554.00
	02012	陽光油砂	640,000,000	0.8500	544.00
	01129	中國水業集團	222,000,000	1.0000	222.00
總 額					24,987.97

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2013	第2季	5.48	428,993.08	428,998.56
	第3季	12.47	389,973.38	389,985.85
	第4季	37.19	358,530.50	358,567.70
2014	第1季	30.09	431,689.18	431,719.27
	第2季	651.85	384,246.51	384,898.36

認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)
		數目	數目	總數		
2013	第2季	10	231.90	4,895	56,917.10	57,149.00
	第3季	9	280.27	4,696	91,474.60	91,754.87
	第4季	8	356.40	4,715	95,733.93	96,090.32
2014	第1季	7	336.27	5,335	76,633.31	76,969.58
	第2季	5	2,493.01	5,054	67,588.71	70,081.72

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2013	第2季	2	1,684	41,005.85
	第3季	0	1,654	40,026.39
	第4季	0	2,046	45,648.98
2014	第1季	1	2,188	51,909.16
	第2季	1	1,435	33,081.98

新上市股份認股權證

2014年第2季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01441	新鴻基地產發展有限公司2016年認股權證	22,222,293,577	2014/04/24	2016/04/22	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2014年第2季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15037	BNP Paribas Arbit Issu B.V. - CIMC Enric Euro Wts Mar 2015 A	49,000,000	2014/04/01	2015/03/03	12.25
15036	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Feb 2015 A	100,000,000	2014/04/01	2015/02/03	25.00
15035	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Mar 2015 A	94,000,000	2014/04/01	2015/03/03	24.44
15033	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Oct 2014 A	100,000,000	2014/04/01	2014/10/06	27.00
15034	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Jan 2015 A	100,000,000	2014/04/01	2015/01/05	26.00
15026	Credit Suisse AG - Hutchison European Warrants Dec 2015 A	100,000,000	2014/04/01	2015/12/29	15.00
15028	Credit Suisse AG - Hutchison European Warrants Jun 2017 A	100,000,000	2014/04/01	2017/06/26	17.00
15025	Daiwa Capital Mkt - CCB European Warrants Oct 2014 A	128,000,000	2014/04/01	2014/10/03	29.44
15023	Daiwa Capital Mkt - China Life European Warrants Dec 2014 A	168,000,000	2014/04/01	2014/12/01	25.20
15024	Daiwa Capital Mkt - ICBC European Warrants Dec 2014 A	128,000,000	2014/04/01	2014/12/24	21.76
15014	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2014 C	150,000,000	2014/04/01	2014/08/28	27.00
15015	Goldman Sachs SP (Asia) - Tencent European Wt Oct 2014 A	80,000,000	2014/04/01	2014/10/06	37.92
15049	J P Morgan SP BV - Galaxy Ent European Put Wts Oct 2014 A	150,000,000	2014/04/01	2014/10/03	56.85
15051	J P Morgan SP BV - HSBC European Warrants Oct 2014 D	200,000,000	2014/04/01	2014/10/03	61.20
15050	J P Morgan SP BV - Hutchison European Warrants Oct 2014 D	100,000,000	2014/04/01	2014/10/03	34.10
15040	J P Morgan SP BV - Kingsoft European Warrants Oct 2014 A	100,000,000	2014/04/01	2014/10/03	25.00
15043	J P Morgan SP BV - Ping An European Warrants Oct 2014 B	100,000,000	2014/04/01	2014/10/03	67.50
15042	J P Morgan SP BV - Ping An European Put Warrants Oct 2014 A	150,000,000	2014/04/01	2014/10/03	37.50
15046	J P Morgan SP BV - Sands China European Warrants Oct 2014 B	150,000,000	2014/04/01	2014/10/03	81.90
15044	J P Morgan SP BV - Sands China European Put Wts Oct 2014 A	200,000,000	2014/04/01	2014/10/03	54.60
15047	J P Morgan SP BV - Tencent European Warrants Oct 2014 G	100,000,000	2014/04/01	2014/10/03	63.20
15003	Macquarie Bank Ltd. - Datang European Warrants Dec 2014 B	30,000,000	2014/04/01	2014/12/16	10.02
15002	Macquarie Bank Ltd. - HN Renewables European Wts Dec 2014 A	30,000,000	2014/04/01	2014/12/16	10.02
15006	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Oct 2014 A	40,000,000	2014/04/01	2014/10/03	12.00
15012	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Dec 2014 A	40,000,000	2014/04/01	2014/12/30	10.20
15011	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Aug 2014 C	70,000,000	2014/04/01	2014/08/11	12.11
15004	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Oct 2014 A	40,000,000	2014/04/01	2014/10/03	10.40
15005	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Dec 14 A	60,000,000	2014/04/01	2014/12/30	15.00
15013	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Sep 2014 B	80,000,000	2014/04/01	2014/09/01	12.56
15008	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Oct 2014 A	40,000,000	2014/04/01	2014/10/03	12.80
15010	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Oct 2015 A	80,000,000	2014/04/01	2015/10/05	12.00
15016	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Sep 2014 A	60,000,000	2014/04/01	2014/09/23	11.34
15022	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Oct 2014 A	40,000,000	2014/04/01	2014/10/06	12.40
15009	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Dec 2014 A	40,000,000	2014/04/01	2014/12/30	11.80
15052	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Jun 2015 A	100,000,000	2014/04/01	2015/06/03	15.00
15031	UBS AG - Hutchison European Put Warrants Sep 2014 C	40,000,000	2014/04/01	2014/09/23	11.00
15062	HK Bank - CC Bank European Warrants Nov 2014 A	80,000,000	2014/04/02	2014/11/24	12.00
15059	HK Bank - Ch Comm Cons European Warrants Oct 2014 A	60,000,000	2014/04/02	2014/10/03	15.00
15060	HK Bank - Galaxy Ent European Warrants Oct 2014 A	60,000,000	2014/04/02	2014/10/03	19.80
15054	HK Bank - Jiangxi Copper European Warrants Nov 2015 A	100,000,000	2014/04/02	2015/11/25	19.00
15061	HK Bank - Tencent European Warrants Jul 2014 G	80,000,000	2014/04/02	2014/07/31	22.40
15075	J P Morgan SP BV - HSI European Warrants Oct 2014 F	500,000,000	2014/04/02	2014/10/30	125.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15079	Macquarie Bank Ltd. - AAC Acoustic European Wts Oct 2014 A	50,000,000	2014/04/02	2014/10/06	12.50
15073	Macquarie Bank Ltd. - CHALCO European Warrants Jan 2015 A	40,000,000	2014/04/02	2015/01/05	10.00
15072	Macquarie Bank Ltd. - China Cinda European Wts Sep 2014 B	70,000,000	2014/04/02	2014/09/02	10.50
15070	Macquarie Bank Ltd. - China Mobile European Wts Nov 2014 A	70,000,000	2014/04/02	2014/11/04	10.50
15066	Macquarie Bank Ltd. - Kingsoft European Warrants Oct 2014 A	60,000,000	2014/04/02	2014/10/06	15.00
15063	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Apr 2017 A	70,000,000	2014/04/02	2017/04/04	12.11
15065	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Sep 2014 B	40,000,000	2014/04/02	2014/09/23	10.40
15067	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Feb 2016 A	70,000,000	2014/04/02	2016/02/22	11.13
15064	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Dec 2015 A	80,000,000	2014/04/02	2015/12/28	12.00
15069	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Jan 2017 A	300,000,000	2014/04/02	2017/01/04	57.00
15082	UBS AG - China Overseas European Warrants Jan 2015 A	70,000,000	2014/04/02	2015/01/19	17.50
15109	BOCI Asia Ltd. - Agricultural Bk European Wts Dec 2014 A	100,000,000	2014/04/03	2014/12/15	25.00
15110	BOCI Asia Ltd. - HKEx European Warrants Oct 2014 A	100,000,000	2014/04/03	2014/10/13	25.00
15135	Credit Suisse AG - Tencent Holdings European Wts Sep 2014 D	100,000,000	2014/04/03	2014/09/26	29.00
15132	Credit Suisse AG - Tencent Holdings European Wts Oct 2014 A	100,000,000	2014/04/03	2014/10/06	25.00
15090	Daiwa Capital Mkt - Agricultural Bank European Wts Nov 2014 B	108,000,000	2014/04/03	2014/11/25	16.20
15091	Daiwa Capital Mkt - BOC HK European Warrants Dec 2014 B	128,000,000	2014/04/03	2014/12/01	32.00
15118	Daiwa Capital Mkt - BOC HK European Put Warrants Dec 2014 C	80,000,000	2014/04/03	2014/12/31	20.00
15092	Daiwa Capital Mkt - China Life European Put Wts Oct 2014 A	80,000,000	2014/04/03	2014/10/23	20.80
15088	Daiwa Capital Mkt - Ping An European Warrants Dec 2014 A	108,000,000	2014/04/03	2014/12/23	18.36
15089	Daiwa Capital Mkt - Ping An European Put Warrants Oct 2014 B	80,000,000	2014/04/03	2014/10/03	28.80
15119	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Oct 2014 B	108,000,000	2014/04/03	2014/10/03	27.00
15111	HK Bank - Agricultural Bank European Warrants Dec 2014 A	80,000,000	2014/04/03	2014/12/09	12.00
15097	HK Bank - BOCL European Warrants Dec 2014 A	80,000,000	2014/04/03	2014/12/31	20.00
15100	HK Bank - HSCEI European Warrants Oct 2014 C	200,000,000	2014/04/03	2014/10/30	50.00
15099	HK Bank - HSCEI European Put Warrants Oct 2014 B	200,000,000	2014/04/03	2014/10/30	32.00
15098	HK Bank - HSI European Warrants Oct 2014 A	200,000,000	2014/04/03	2014/10/30	50.00
15093	HK Bank - HWL European Warrants Oct 2014 A	80,000,000	2014/04/03	2014/10/03	20.00
15094	HK Bank - ICBC European Warrants Dec 2014 A	80,000,000	2014/04/03	2014/12/24	13.60
15085	J P Morgan SP BV - Agricultural Bk Euro Warrants Aug 2014 B	150,000,000	2014/04/03	2014/08/01	22.50
15083	J P Morgan SP BV - BOC HK European Warrants Dec 2014 A	150,000,000	2014/04/03	2014/12/29	37.50
15122	J P Morgan SP BV - Galaxy Ent European Warrants Oct 2014 B	100,000,000	2014/04/03	2014/10/03	53.10
15124	J P Morgan SP BV - Galaxy Ent European Put Wts Oct 2014 C	100,000,000	2014/04/03	2014/10/03	34.20
15129	J P Morgan SP BV - Huishan Dairy European Warrants Dec 2014A	50,000,000	2014/04/03	2014/12/02	12.50
15125	J P Morgan SP BV - Melco Int'l Dev European Wts Nov 2014 A	80,000,000	2014/04/03	2014/11/03	20.00
15130	J P Morgan SP BV - Tencent European Warrants Aug 2014 E	150,000,000	2014/04/03	2014/08/01	65.10
15102	Macquarie Bank Ltd. - Anton Oilfield European Wts Oct 2014 A	30,000,000	2014/04/03	2014/10/06	12.09
15136	Macquarie Bank Ltd. - China Agri European Wts Jan 2015 A	40,000,000	2014/04/03	2015/01/05	10.00
15104	Macquarie Bank Ltd. - Evergrande European Wts Dec 2014 A	40,000,000	2014/04/03	2014/12/02	10.00
15103	Macquarie Bank Ltd. - MGM China European Warrants Nov 2014 B	40,000,000	2014/04/03	2014/11/04	10.00
15101	Macquarie Bank Ltd. - New World Dev European Wts Nov 2014 A	40,000,000	2014/04/03	2014/11/04	14.00
15141	Standard Chartered Bank - CITIC Pacific Euro Wts Feb 2015 A	100,000,000	2014/04/03	2015/02/02	25.00
15142	Standard Chartered Bank - CITIC Pacific Euro Wts May 2015 A	100,000,000	2014/04/03	2015/05/11	25.00
15140	UBS AG - BYD European Warrants Oct 2014 A	50,000,000	2014/04/03	2014/10/03	22.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15138	UBS AG - China EB Int'l European Warrants Dec 2014 B	70,000,000	2014/04/03	2014/12/15	11.55
15137	UBS AG - Geely Auto European Warrants Oct 2014 A	40,000,000	2014/04/03	2014/10/10	10.00
15139	UBS AG - Kingsoft European Warrants Sep 2014 D	50,000,000	2014/04/03	2014/09/22	15.00
15113	UBS AG - ZTE European Warrants Nov 2014 A	50,000,000	2014/04/03	2014/11/03	12.50
15159	BOCI Asia Ltd. - Galaxy Ent European Warrants Oct 2014 A	100,000,000	2014/04/04	2014/10/13	40.00
15160	BOCI Asia Ltd. - Sands China European Put Wts Oct 2014 B	100,000,000	2014/04/04	2014/10/13	25.00
15162	BOCI Asia Ltd. - Tencent Holdings European Wts Oct 2014 C	100,000,000	2014/04/04	2014/10/06	30.00
15161	BOCI Asia Ltd. - Tencent Holdings European Put Wts Sep 2014 D	100,000,000	2014/04/04	2014/09/22	25.00
15182	Credit Suisse AG - Galaxy Ent European Warrants Oct 2014 A	60,000,000	2014/04/04	2014/10/03	30.00
15185	Credit Suisse AG - Galaxy Ent European Put Wts Oct 2014 B	50,000,000	2014/04/04	2014/10/03	12.50
15181	Credit Suisse AG - REXLot Holdings European Wts Dec 2014 A	50,000,000	2014/04/04	2014/12/22	12.50
15186	Credit Suisse AG - Sands China European Put Wts Oct 2014 A	50,000,000	2014/04/04	2014/10/03	12.50
15171	Daiwa Capital Mkt - AIA European Warrants Dec 2014 B	108,000,000	2014/04/04	2014/12/01	18.36
15172	Daiwa Capital Mkt - AIA European Warrants Dec 2014 C	108,000,000	2014/04/04	2014/12/01	35.64
15173	Daiwa Capital Mkt - AIA European Put Warrants Oct 2014 A	128,000,000	2014/04/04	2014/10/08	19.20
15176	Daiwa Capital Mkt - Galaxy Ent European Warrants Oct 2014 A	80,000,000	2014/04/04	2014/10/15	42.40
15177	Daiwa Capital Mkt - Galaxy Ent European Warrants Oct 2014 B	80,000,000	2014/04/04	2014/10/03	26.40
15178	Daiwa Capital Mkt - Galaxy Ent European Put Wts Oct 2014 C	68,000,000	2014/04/04	2014/10/03	20.40
15167	Daiwa Capital Mkt - HSBC European Warrants Oct 2014 A	128,000,000	2014/04/04	2014/10/15	32.00
15169	Daiwa Capital Mkt - HSBC European Warrants Oct 2014 B	128,000,000	2014/04/04	2014/10/03	43.52
15170	Daiwa Capital Mkt - HSBC European Put Warrants Oct 2014 C	80,000,000	2014/04/04	2014/10/03	20.00
15180	Daiwa Capital Mkt - PetroChina European Warrants Nov 2014 A	98,000,000	2014/04/04	2014/11/03	24.50
15191	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Aug 2014 D	80,000,000	2014/04/04	2014/08/04	27.76
15194	Goldman Sachs SP (Asia) - Sands China Euro Wts Aug 2014 A	80,000,000	2014/04/04	2014/08/29	18.64
15152	HK Bank - GCL-Poly Energy European Warrants Oct 2014 A	60,000,000	2014/04/04	2014/10/03	18.00
15156	HK Bank - HSI European Put Warrants Oct 2014 B	200,000,000	2014/04/04	2014/10/30	30.00
15154	HK Bank - Haitong Sec European Warrants Nov 2015 A	60,000,000	2014/04/04	2015/11/25	10.80
15151	HK Bank - Tencent European Warrants Jul 2014 H	60,000,000	2014/04/04	2014/07/31	21.00
15150	HK Bank - Tencent European Put Warrants Aug 2014 H	60,000,000	2014/04/04	2014/08/29	17.40
15203	J P Morgan SP BV - Anhui Conch European Warrants Oct 2014 B	150,000,000	2014/04/04	2014/10/03	37.50
15190	J P Morgan SP BV - BOCL European Warrants Mar 2015 A	200,000,000	2014/04/04	2015/03/31	50.00
15147	J P Morgan SP BV - Fosun Intl European Warrants Jul 2015 A	80,000,000	2014/04/04	2015/07/02	20.00
15143	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Oct 2014 B	300,000,000	2014/04/04	2014/10/30	75.90
15144	J P Morgan SP BV - HSCEI European Put Warrants Oct 2014 C	300,000,000	2014/04/04	2014/10/30	75.00
15204	J P Morgan SP BV - HSI European Warrants Sep 2014 H	500,000,000	2014/04/04	2014/09/29	75.00
15189	J P Morgan SP BV - KECL European Warrants Jul 2015 B	150,000,000	2014/04/04	2015/07/02	22.50
15188	J P Morgan SP BV - Tencent European Warrants Aug 2014 F	200,000,000	2014/04/04	2014/08/01	41.40
15187	J P Morgan SP BV - Tencent European Warrants Oct 2014 I	200,000,000	2014/04/04	2014/10/03	51.60
15146	J P Morgan SP BV - Tencent European Put Warrants Oct 2014 H	200,000,000	2014/04/04	2014/10/03	50.20
15195	Macquarie Bank Ltd. - Belle Int'l European Wts Jan 2016 A	70,000,000	2014/04/04	2016/01/05	10.50
15197	Macquarie Bank Ltd. - Galaxy Ent European Wts Dec 2015 A	70,000,000	2014/04/04	2015/12/02	10.50
15158	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Mar 2015 A	60,000,000	2014/04/04	2015/03/03	15.00
15198	Macquarie Bank Ltd. - Sands China European Wts Sep 2014 B	50,000,000	2014/04/04	2014/09/02	10.05
15196	Macquarie Bank Ltd. - Yurun Food European Wts Nov 2014 A	50,000,000	2014/04/04	2014/11/04	12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15200	Standard Chartered Bank - Tencent Hldg Euro Wts Nov 2014 A	100,000,000	2014/04/04	2014/11/03	35.00
15202	Standard Chartered Bank - Tencent Hldg Euro Wts Feb 2015 A	100,000,000	2014/04/04	2015/02/04	70.00
15199	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Nov 2014 A	100,000,000	2014/04/04	2014/11/05	25.00
15157	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Apr17A	300,000,000	2014/04/04	2017/04/03	48.00
15166	UBS AG - AIA European Warrants Nov 2014 A	100,000,000	2014/04/04	2014/11/21	15.00
15164	UBS AG - China Life European Warrants Dec 2014 B	100,000,000	2014/04/04	2014/12/01	15.00
15165	UBS AG - ICBC European Warrants Dec 2014 A	100,000,000	2014/04/04	2014/12/29	17.00
15212	Credit Suisse AG - PICC Group European Warrants Sep 2014 A	70,000,000	2014/04/07	2014/09/29	10.50
15218	Daiwa Capital Mkt - China Life European Warrants Dec 2014 B	138,000,000	2014/04/07	2014/12/24	20.70
15214	Daiwa Capital Mkt - China Mobile European Wts Nov 2014 B	108,000,000	2014/04/07	2014/11/03	16.20
15222	Daiwa Capital Mkt - China Mobile European Put Wts Oct 2014 A	80,000,000	2014/04/07	2014/10/15	28.00
15213	Daiwa Capital Mkt - CNOOC European Warrants Dec 2014 B	128,000,000	2014/04/07	2014/12/18	32.00
15215	Daiwa Capital Mkt - Ch Overseas European Warrants Dec 2014 A	80,000,000	2014/04/07	2014/12/19	12.00
15219	Daiwa Capital Mkt - Sinopec Corp European Put Wts Nov 2014 A	68,000,000	2014/04/07	2014/11/14	21.08
15223	Daiwa Capital Mkt - ICBC European Put Warrants Dec 2014 B	80,000,000	2014/04/07	2014/12/01	32.00
15220	Daiwa Capital Mkt - PetroChina European Put Wts Dec 2014 A	68,000,000	2014/04/07	2014/12/08	33.32
15224	Daiwa Capital Mkt - Sands China European Put Wts Oct 2014 A	68,000,000	2014/04/07	2014/10/08	17.00
15217	Daiwa Capital Mkt - Tencent Holdings European Wts Dec 2014 B	158,000,000	2014/04/07	2014/12/15	39.50
15205	Macquarie Bank Ltd. - Nine Dragons European Wts Apr 2016 A	70,000,000	2014/04/07	2016/04/05	10.50
15208	Standard Chartered Bank - Anhui Conch Euro Wts Oct 2014 A	80,000,000	2014/04/07	2014/10/30	20.00
15211	Standard Chartered Bank - Anhui Conch Eur Put Wt Oct 2014 B	80,000,000	2014/04/07	2014/10/30	20.00
15207	Standard Chartered Bank - BOC HK European Wts Jan 2015 A	80,000,000	2014/04/07	2015/01/29	20.00
15206	Standard Chartered Bank - Galaxy Ent Euro Put Wts Oct 2014 B	80,000,000	2014/04/07	2014/10/30	20.00
15229	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Dec15A	500,000,000	2014/04/07	2015/12/02	75.00
15226	SGA Societe Generale Acceptance N.V. - PICC P&C Eu Wt Jan16 A	120,000,000	2014/04/07	2016/01/06	24.00
15228	UBS AG - Evergrande European Warrants Oct 2014 A	40,000,000	2014/04/07	2014/10/10	10.00
28827#	HK Bank - CSR European Warrants Jan 2015 A	200,000,000	2014/04/07	2015/01/05	16.00
15242	Credit Suisse - Cheung Kong European Put Warrants Oct 2014 A	50,000,000	2014/04/08	2014/10/07	17.00
15238	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2014 D	80,000,000	2014/04/08	2014/08/28	12.16
15237	HK Bank - Agricultural Bank European Warrants Oct 2014 A	80,000,000	2014/04/08	2014/10/31	20.00
15235	HK Bank - BOCL European Warrants Oct 2014 A	80,000,000	2014/04/08	2014/10/10	12.00
15234	HK Bank - CC Bank European Warrants Oct 2014 A	80,000,000	2014/04/08	2014/10/31	20.00
15231	HK Bank - CNOOC European Warrants Sep 2014 A	100,000,000	2014/04/08	2014/09/01	16.00
15230	HK Bank - China Tel European Warrants Dec 2014 A	80,000,000	2014/04/08	2014/12/31	20.00
15240	Macquarie Bank Ltd. - Intime European Warrants Nov 2014 A	40,000,000	2014/04/08	2014/11/03	23.96
15247	Standard Chartered Bank - Evergrande European Wts Aug 2014 A	70,000,000	2014/04/08	2014/08/22	11.20
15241	UBS AG - Evergrande European Warrants Jan 2015 A	40,000,000	2014/04/08	2015/01/19	10.00
15250	BOCI Asia Ltd. - HSCEI European Warrants Dec 2014 B	100,000,000	2014/04/09	2014/12/30	15.00
15251	BOCI Asia Ltd. - HSI European Put Warrants Oct 2014 A	100,000,000	2014/04/09	2014/10/30	15.00
15284	Credit Suisse AG - CNOOC European Warrants Oct 2014 B	60,000,000	2014/04/09	2014/10/08	15.00
15282	Credit Suisse AG - Sinopec Corp European Put Wts Jul 2014 B	60,000,000	2014/04/09	2014/07/31	11.40
15249	Goldman Sachs SP (Asia) - AIA European Put Wts Nov 2014 A	80,000,000	2014/04/09	2014/11/28	20.16
15248	Goldman Sachs SP (Asia) - ZTE European Warrants Oct 2014 A	80,000,000	2014/04/09	2014/10/30	12.32
15259	HK Bank - CRCC European Warrants Oct 2014 A	60,000,000	2014/04/09	2014/10/31	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15261	HK Bank - CSR European Warrants Oct 2014 A	60,000,000	2014/04/09	2014/10/31	21.00
15260	HK Bank - HSI European Warrants Jul 2014 L	200,000,000	2014/04/09	2014/07/30	30.00
15258	HK Bank - Kingsoft European Warrants Oct 2014 A	60,000,000	2014/04/09	2014/10/31	18.00
15257	Macquarie Bank Ltd. - China Railway European Wts Feb 2015 A	40,000,000	2014/04/09	2015/02/03	10.00
15256	Macquarie Bank Ltd. - CRCC European Warrants Nov 2014 A	40,000,000	2014/04/09	2014/11/04	10.00
15262	Macquarie Bank Ltd. - CSR European Warrants Oct 2016 A	100,000,000	2014/04/09	2016/10/04	25.00
15252	Standard Chartered Bank - Belle Int'l European Wts Dec 2015A	100,000,000	2014/04/09	2015/12/28	16.00
15285	Standard Chartered Bank - Intime European Warrants Jul 2015A	50,000,000	2014/04/09	2015/07/02	12.50
15253	Standard Chartered Bank - Kingsoft European Wts Sep 2014 A	60,000,000	2014/04/09	2014/09/25	22.20
15255	Standard Chartered Bank - Kingsoft European Wts Oct 2014 A	60,000,000	2014/04/09	2014/10/08	15.00
15269	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Jan 2017 B	300,000,000	2014/04/09	2017/01/04	54.00
15275	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Jul 2016 A	150,000,000	2014/04/09	2016/07/06	24.75
15278	SGA Societe Generale Acceptance N.V. - CSR Euro Wts Dec 18 A	150,000,000	2014/04/09	2018/12/05	28.50
15280	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct 14 B	150,000,000	2014/04/09	2014/10/30	28.50
15264	UBS AG - AAC Acoustic European Warrants Oct 2014 B	30,000,000	2014/04/09	2014/10/13	10.20
15263	UBS AG - Cheung Kong European Warrants Oct 2014 A	40,000,000	2014/04/09	2014/10/08	12.00
15268	UBS AG - Galaxy Ent European Put Warrants Oct 2014 A	40,000,000	2014/04/09	2014/10/10	20.00
15267	UBS AG - HKEx European Warrants Oct 2014 B	60,000,000	2014/04/09	2014/10/08	15.00
15266	UBS AG - HKEx European Put Warrants Sep 2015 A	50,000,000	2014/04/09	2015/09/21	13.00
15265	UBS AG - Li & Fung European Warrants Oct 2014 A	40,000,000	2014/04/09	2014/10/08	11.20
15323	BOCI Asia Ltd. - Anhui Conch European Warrants Oct 2014 B	100,000,000	2014/04/10	2014/10/20	25.00
15328	BOCI Asia Ltd. - HKEx European Warrants Dec 2015 A	100,000,000	2014/04/10	2015/12/23	15.00
15326	BOCI Asia Ltd. - HKEx European Put Warrants Sep 2015 A	100,000,000	2014/04/10	2015/09/21	20.00
15325	BOCI Asia Ltd. - Sands China European Warrants Oct 2014 C	100,000,000	2014/04/10	2014/10/20	43.00
15320	Credit Suisse AG - GCL-Poly Energy European Wts Oct 2014 A	60,000,000	2014/04/10	2014/10/10	15.00
15318	Credit Suisse AG - HSI European Put Warrants Aug 2014 G	150,000,000	2014/04/10	2014/08/28	22.50
15316	Credit Suisse AG - HSI European Put Warrants Sep 2014 F	150,000,000	2014/04/10	2014/09/29	22.50
15319	Credit Suisse AG - Ping An European Warrants Aug 2014 C	70,000,000	2014/04/10	2014/08/25	10.50
15321	Credit Suisse AG - Tencent Holdings European Wts Oct 2014 B	100,000,000	2014/04/10	2014/10/10	25.00
15335	Daiwa Capital Mkt - HKEx European Warrants Oct 2014 B	98,000,000	2014/04/10	2014/10/03	14.70
15290	Goldman Sachs SP (Asia) - Geely Auto European Wts Nov 2014 A	80,000,000	2014/04/10	2014/11/28	20.48
15289	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Aug 2014 E	80,000,000	2014/04/10	2014/08/22	24.72
15291	Goldman Sachs SP (Asia) - Melco Int'l Dev Eur Wts Oct 2014 A	80,000,000	2014/04/10	2014/10/28	21.52
15292	Goldman Sachs SP (Asia) - PetroChina European Wts Oct 2014 A	80,000,000	2014/04/10	2014/10/28	20.88
15307	HK Bank - AIA European Warrants Dec 2014 A	80,000,000	2014/04/10	2014/12/31	12.00
15306	HK Bank - China Overseas European Warrants Dec 2014 A	80,000,000	2014/04/10	2014/12/22	14.40
15330	HK Bank - CSR European Warrants Dec 2014 A	150,000,000	2014/04/10	2014/12/31	22.50
15331	HK Bank - HKEx European Warrants Sep 2014 B	60,000,000	2014/04/10	2014/09/23	12.00
15304	HK Bank - Henderson Land Dev European Warrants Oct 2014 A	60,000,000	2014/04/10	2014/10/31	15.00
15308	HK Bank - HSI European Warrants Aug 2014 J	200,000,000	2014/04/10	2014/08/28	30.00
15311	HK Bank - HSI European Put Warrants Sep 2014 K	200,000,000	2014/04/10	2014/09/29	32.00
15312	Macquarie Bank Ltd. - Anhui Conch European Wts Nov 2014 A	40,000,000	2014/04/10	2014/11/05	10.00
15297	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Nov 2014 A	40,000,000	2014/04/10	2014/11/26	10.00
15301	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Nov 2014 A	40,000,000	2014/04/10	2014/11/03	11.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15293	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Dec 2014 A	80,000,000	2014/04/10	2014/12/24	12.24
15296	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Sep 2014 B	70,000,000	2014/04/10	2014/09/25	11.13
15294	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Dec 2014 A	70,000,000	2014/04/10	2014/12/24	10.64
15329	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Sep 2014 C	70,000,000	2014/04/10	2014/09/23	15.40
15299	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2014 A	40,000,000	2014/04/10	2014/12/10	10.00
15286	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2014 A	100,000,000	2014/04/10	2014/10/30	25.00
15287	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2014 B	100,000,000	2014/04/10	2014/10/30	31.00
15288	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2014 C	100,000,000	2014/04/10	2014/10/30	30.50
15298	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Dec 2014 A	40,000,000	2014/04/10	2014/12/15	10.40
15322	Standard Chartered Bank - Jiangxi Copper Euro Wts Nov 2015 A	80,000,000	2014/04/10	2015/11/25	14.40
15332	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Dec 2014 A	40,000,000	2014/04/10	2014/12/03	10.40
15340	UBS AG - Cheung Kong European Warrants Dec 2015 A	100,000,000	2014/04/10	2015/12/28	25.00
15337	UBS AG - HKEx European Warrants Sep 2014 A	60,000,000	2014/04/10	2014/09/12	17.10
15336	UBS AG - Kingsoft European Warrants Dec 2014 A	50,000,000	2014/04/10	2014/12/24	10.50
15338	UBS AG - Ping An European Put Warrants Oct 2014 C	60,000,000	2014/04/10	2014/10/03	10.80
15374	BNP Paribas Arbit Issu B.V. - Ch Comm Cons Eur Wt Nov 2014 A	29,000,000	2014/04/11	2014/11/04	13.34
15376	BNP Paribas Arbit Issu B.V. - China EB Int'l Eu Wts Jan 15 A	100,000,000	2014/04/11	2015/01/05	15.00
15377	BNP Paribas Arbit Issu B.V. - CITIC Pacific Eu Wt Jan 2016 B	100,000,000	2014/04/11	2016/01/05	25.00
15378	BNP Paribas Arbit Issu B.V. - Chi Resources Eu Wt Feb 2015 A	58,000,000	2014/04/11	2015/02/03	14.50
15375	BNP Paribas Arbit Issu B.V. - CSPC Pharma Euro Wts Aug16A	100,000,000	2014/04/11	2016/08/02	25.00
15388	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Put Wts Nov14A	100,000,000	2014/04/11	2014/11/04	25.00
15381	BNP Paribas Arbit Issu B.V. - Hutchison Eu Put Wt Nov 2014 B	100,000,000	2014/04/11	2014/11/04	25.00
15392	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Apr 2015 A	100,000,000	2014/04/11	2015/04/02	25.00
15384	BNP Paribas Arbit Issu B.V. - Sa Sa Int'l Eu Wts Oct 2016 A	100,000,000	2014/04/11	2016/10/04	25.00
15389	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Sep 2014 C	100,000,000	2014/04/11	2014/09/02	15.00
15390	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Oct 2014 D	100,000,000	2014/04/11	2014/10/27	15.00
15391	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Oct 2014 E	100,000,000	2014/04/11	2014/10/06	17.00
15380	BNP Paribas Arbit Issu B.V. - Ting Yi European Wts Feb 2015 A	76,000,000	2014/04/11	2015/02/03	19.76
15373	BNP Paribas Arbit Issu B.V. - Yuexiu Property Eu Wt Feb 15 A	37,000,000	2014/04/11	2015/02/03	10.73
15371	Credit Suisse AG - HKEx European Put Warrants Oct 2014 A	50,000,000	2014/04/11	2014/10/10	23.50
15393	Bank of East Asia - CITIC Pacific European Wts May 2015 A	70,000,000	2014/04/11	2015/05/06	10.50
15394	Bank of East Asia - CRCC European Warrants Oct 2014 A	40,000,000	2014/04/11	2014/10/31	10.00
15359	Bank of East Asia - New World Dev European Wts Dec 2015 A	100,000,000	2014/04/11	2015/12/24	25.00
15366	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Aug 2014 F	80,000,000	2014/04/11	2014/08/26	17.44
15370	Goldman Sachs SP (Asia) - HKEx European Warrants Oct 2014 A	80,000,000	2014/04/11	2014/10/30	31.36
15386	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2014 D	80,000,000	2014/04/11	2014/08/29	25.28
15387	Goldman Sachs SP (Asia) - Tencent European Put Wt Aug 2014 E	80,000,000	2014/04/11	2014/08/29	20.64
15346	Nomura Int'l plc - Anhui Conch European Warrants Oct 2014 A	50,000,000	2014/04/11	2014/10/28	12.50
15344	Nomura Int'l plc - CITIC P European Warrants May 2015 A	50,000,000	2014/04/11	2015/05/08	12.50
15353	Nomura Int'l plc - CITIC Sec European Put Wts Nov 2014 A	80,000,000	2014/04/11	2014/11/28	20.72
15355	Nomura Int'l plc - China Life European Warrants Dec 2014 A	80,000,000	2014/04/11	2014/12/30	12.00
15348	Nomura Int'l plc - Chinares Cement European Warrants Oct14 A	50,000,000	2014/04/11	2014/10/28	16.50
15349	Nomura Int'l plc - Ch State Con European Warrants Feb 2015 A	80,000,000	2014/04/11	2015/02/23	12.00
15345	Nomura Int'l plc - HKEx European Warrants Nov 2014 A	50,000,000	2014/04/11	2014/11/28	12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15351	Nomura Int'l plc - Kingsoft European Warrants Sep 2014 E	80,000,000	2014/04/11	2014/09/25	16.64
15343	Nomura Int'l plc - Poly European Warrants Nov 2014 A	50,000,000	2014/04/11	2014/11/28	12.50
15354	Nomura Int'l plc - Yuexiu Property European Wts May 2015 A	50,000,000	2014/04/11	2015/05/29	12.50
15361	Standard Chartered Bank - CITIC Sec European Wts Oct 2014 A	80,000,000	2014/04/11	2014/10/23	12.80
15363	Standard Chartered Bank - China Overseas Euro Wt Jan 2015 A	80,000,000	2014/04/11	2015/01/06	20.00
15364	Standard Chartered Bank - CSR European Warrants Oct 2014 A	35,000,000	2014/04/11	2014/10/13	10.50
15372	Standard Chartered Bank - HKEx European Warrants Jan 2015 A	80,000,000	2014/04/11	2015/01/05	20.00
15365	Standard Chartered Bank - HKEx European Put Wts Oct 2014 B	80,000,000	2014/04/11	2014/10/24	15.20
15360	Standard Chartered Bank - Huishan Dairy Euro Wts Nov 2014 A	40,000,000	2014/04/11	2014/11/28	10.00
15357	UBS AG - Kingsoft European Warrants Oct 2014 A	50,000,000	2014/04/11	2014/10/10	14.00
15356	UBS AG - Yurun Food European Warrants Oct 2014 A	40,000,000	2014/04/11	2014/10/10	12.00
13064#	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Jul 2014 B	200,000,000	2014/04/11	2014/07/07	10.00
13546#	UBS AG - Galaxy Ent European Warrants Oct 2015 A	250,000,000	2014/04/11	2015/10/23	24.25
15440	BNP Paribas Arbit Issu B.V. - Ch Mer Hold Euro Wts Jan 2015A	60,000,000	2014/04/14	2015/01/05	15.00
15442	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jan 2015 B	100,000,000	2014/04/14	2015/01/05	25.00
15436	BNP Paribas Arbit Issu B.V. - HSBC European Wts Aug 2014 B	100,000,000	2014/04/14	2014/08/04	15.00
15441	BNP Paribas Arbit Issu B.V. - ZTE European Wts Apr 2015 A	70,000,000	2014/04/14	2015/04/02	17.50
15404	Credit Suisse AG - Sinopec Corp European Warrants Sep 2014 A	70,000,000	2014/04/14	2014/09/29	12.60
15423	Credit Suisse AG - Kingsoft European Warrants Sep 2014 C	80,000,000	2014/04/14	2014/09/29	19.20
15424	Credit Suisse AG - Kingsoft European Warrants Oct 2014 A	80,000,000	2014/04/14	2014/10/13	22.40
15402	Credit Suisse AG - Tencent Holdings European Wts Oct 2014 C	100,000,000	2014/04/14	2014/10/13	42.00
15401	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 B	100,000,000	2014/04/14	2014/12/22	18.00
15403	Credit Suisse AG - Tencent Holdings Euro Put Wts Oct 2014 D	80,000,000	2014/04/14	2014/10/13	20.00
15425	Citigroup Global Mkt H Inc. - Haier Elec Euro Wts Oct 2014 A	60,000,000	2014/04/14	2014/10/20	15.00
15426	Citigroup Global Mkt H Inc. - Ping An Euro Wts Jul 2014 B	60,000,000	2014/04/14	2014/07/28	10.80
15407	Citigroup Global Mkt H Inc. - PWR Assets European Wts Oct14A	50,000,000	2014/04/14	2014/10/20	12.50
15428	Citigroup Global Mkt H Inc. - Tencent European Wts Sep 2014 B	100,000,000	2014/04/14	2014/09/15	21.00
15431	Citigroup Global Mkt H Inc. - Tencent European Wts Sep 2014 C	100,000,000	2014/04/14	2014/09/22	38.00
15405	Daiwa Capital Mkt - Tencent Holdings European Wts Oct 2014 C	128,000,000	2014/04/14	2014/10/03	48.64
15398	HK Bank - CITIC Pacific European Warrants Jan 2015 A	100,000,000	2014/04/14	2015/01/26	18.00
15397	HK Bank - Cheung Kong European Warrants Oct 2014 A	60,000,000	2014/04/14	2014/10/31	15.00
15399	HK Bank - Lenovo European Warrants Jun 2015 A	100,000,000	2014/04/14	2015/06/29	15.00
15400	HK Bank - Tencent European Warrants Jun 2015 A	80,000,000	2014/04/14	2015/06/01	38.40
15420	Macquarie Bank Ltd. - Tencent European Warrants Nov 2014 A	80,000,000	2014/04/14	2014/11/04	56.32
15422	Macquarie Bank Ltd. - Tencent European Warrants Nov 2014 B	80,000,000	2014/04/14	2014/11/04	26.16
15417	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2015 A	40,000,000	2014/04/14	2015/01/05	10.60
15418	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Nov14A	40,000,000	2014/04/14	2014/11/05	14.80
15409	UBS AG - Zhuzhou CSR European Warrants Oct 2014 A	23,000,000	2014/04/14	2014/10/13	10.35
15414	UBS AG - Geely Auto European Warrants Sep 2014 C	70,000,000	2014/04/14	2014/09/25	10.50
15408	UBS AG - Kingsoft European Warrants Oct 2014 B	50,000,000	2014/04/14	2014/10/17	21.00
15413	UBS AG - Tencent Holdings European Warrants Sep 2014 H	100,000,000	2014/04/14	2014/09/22	38.00
15451	BOCI Asia Ltd. - AIA European Warrants Oct 2014 A	100,000,000	2014/04/15	2014/10/06	18.00
15450	BOCI Asia Ltd. - AIA European Warrants Nov 2014 A	100,000,000	2014/04/15	2014/11/24	16.00
15454	BOCI Asia Ltd. - BOC HK European Warrants Dec 2014 A	100,000,000	2014/04/15	2014/12/22	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15455	BOCI Asia Ltd. - China Mobile European Warrants Oct 2014 C	100,000,000	2014/04/15	2014/10/29	15.00
15458	BOCI Asia Ltd. - HSBC European Warrants Oct 2014 A	100,000,000	2014/04/15	2014/10/13	15.00
15459	Credit Suisse AG - ICBC European Warrants Oct 2014 A	80,000,000	2014/04/15	2014/10/20	20.00
15467	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Oct 2014 A	30,000,000	2014/04/15	2014/10/24	10.20
15443	Goldman Sachs SP (Asia) - Kingsoft European Wts Aug 2014 A	80,000,000	2014/04/15	2014/08/28	20.80
15445	Standard Chartered Bank - HS H-SHARE European Wts Oct 2014 A	60,000,000	2014/04/15	2014/10/30	15.00
15444	Standard Chartered Bank - Kingsoft European Put Wts Sep2014B	80,000,000	2014/04/15	2014/09/11	12.80
15447	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 14 B	150,000,000	2014/04/15	2014/10/06	56.25
15462	UBS AG - China Mobile European Warrants Oct 2014 E	100,000,000	2014/04/15	2014/10/14	25.00
15460	UBS AG - A50 China European Warrants Oct 2014 B	70,000,000	2014/04/15	2014/10/21	12.60
15463	UBS AG - Greatwall Motor European Warrants Sep 2014 B	40,000,000	2014/04/15	2014/09/25	10.00
15465	UBS AG - HSBC European Put Warrants Sep 2014 D	50,000,000	2014/04/15	2014/09/23	12.00
15461	UBS AG - Ping An European Warrants Aug 2014 B	100,000,000	2014/04/15	2014/08/11	19.00
15464	UBS AG - SHK Ppt European Warrants Oct 2014 A	40,000,000	2014/04/15	2014/10/14	10.00
15466	UBS AG - Tencent Holdings European Put Warrants Sep 2014 I	100,000,000	2014/04/15	2014/09/25	15.00
15493	Citigroup Global Mkt H Inc. - HKEx European Wts Sep 2014 A	50,000,000	2014/04/16	2014/09/25	13.00
15494	Citigroup Global Mkt H Inc. - HKEx European Wts Sep 2014 B	50,000,000	2014/04/16	2014/09/15	27.50
15495	Citigroup Global Mkt H Inc. - Ping An Euro Put Wt Sep 2014 A	80,000,000	2014/04/16	2014/09/24	21.60
15468	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2014 D	150,000,000	2014/04/16	2014/07/30	30.30
15491	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2014 E	150,000,000	2014/04/16	2014/07/30	22.80
15478	Standard Chartered Bank - PetroChina Euro Put Wts Oct 2014 B	60,000,000	2014/04/16	2014/10/30	15.00
15475	Standard Chartered Bank - Ping An European Wts Sep 2014 A	60,000,000	2014/04/16	2014/09/10	18.00
15476	Standard Chartered Bank - Ping An European Wts Dec 2015 A	200,000,000	2014/04/16	2015/12/23	30.00
15472	Standard Chartered Bank - Sands China Euro Wts Aug 2014 B	80,000,000	2014/04/16	2014/08/27	18.40
15474	Standard Chartered Bank - Sands China Euro Wts Apr 2017 A	200,000,000	2014/04/16	2017/04/03	34.00
15486	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jan 2015 A	100,000,000	2014/04/16	2015/01/05	15.00
15469	SGA Societe Generale Acceptance N.V. - CRCC Eu Wt Dec 2014 A	20,000,000	2014/04/16	2014/12/03	10.00
15490	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Dec14A	30,000,000	2014/04/16	2014/12/03	10.05
15488	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Apr 15 A	100,000,000	2014/04/16	2015/04/08	25.00
15471	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 2014B	40,000,000	2014/04/16	2014/12/03	12.40
15489	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Oct14A	40,000,000	2014/04/16	2014/10/06	10.00
15470	SGA Societe Generale Acceptance N.V. - HLand Eur Wt Aug 15 A	25,000,000	2014/04/16	2015/08/03	10.00
15480	UBS AG - BOCL European Warrants Dec 2014 A	100,000,000	2014/04/16	2014/12/24	15.00
15482	UBS AG - CITIC Sec European Warrants Oct 2014 A	60,000,000	2014/04/16	2014/10/23	11.40
15485	UBS AG - Haitong Sec European Warrants Dec 2014 A	70,000,000	2014/04/16	2014/12/23	10.50
15481	UBS AG - Sands China European Put Warrants Oct 2014 A	50,000,000	2014/04/16	2014/10/10	11.50
15506	BOCI Asia Ltd. - CC Bank European Warrants Oct 2014 A	100,000,000	2014/04/17	2014/10/06	18.00
15507	BOCI Asia Ltd. - Sinopec Corp European Warrants Nov 2014 A	100,000,000	2014/04/17	2014/11/03	25.00
15502	BOCI Asia Ltd. - ICBC European Warrants Nov 2014 A	100,000,000	2014/04/17	2014/11/03	25.00
15504	BOCI Asia Ltd. - Tencent Holdings European Wts Oct 2014 D	100,000,000	2014/04/17	2014/10/27	37.00
15505	BOCI Asia Ltd. - Tencent Holdings European Wts Jun 2015 A	100,000,000	2014/04/17	2015/06/01	49.00
15524	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2014 B	100,000,000	2014/04/17	2014/10/06	19.00
15528	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Mar 2015 A	100,000,000	2014/04/17	2015/03/03	20.00
15530	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Jul 2015 A	100,000,000	2014/04/17	2015/07/03	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15532	BNP Paribas Arbit Issu B.V. - HSBC European Wts Oct 2014 B	100,000,000	2014/04/17	2014/10/06	18.00
15533	BNP Paribas Arbit Issu B.V. - Ping An European Wt Sep 2014 A	100,000,000	2014/04/17	2014/09/02	18.00
15534	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Sep 2014 A	100,000,000	2014/04/17	2014/09/02	23.00
15523	BNP Paribas Arbit Issu B.V. - SHK Ppt Eu Put Wts Jan 2015 A	65,000,000	2014/04/17	2015/01/05	16.90
15546	Credit Suisse AG - HSI European Put Warrants Jul 2014 C	150,000,000	2014/04/17	2014/07/30	22.50
15545	Credit Suisse AG - HSI European Put Warrants Oct 2014 A	150,000,000	2014/04/17	2014/10/30	22.50
15574	Citigroup Global Mkt H Inc. - AIA European Wts Feb 2015 A	100,000,000	2014/04/17	2015/02/16	25.00
15560	Citigroup Global Mkt H Inc. - CGS European Wts Jul 2014 A	50,000,000	2014/04/17	2014/07/25	10.00
15552	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Aug 2014 A	100,000,000	2014/04/17	2014/08/26	15.00
15571	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Oct 2014 A	60,000,000	2014/04/17	2014/10/16	21.00
15554	Citigroup Global Mkt H Inc. - A50 China Euro Wts Sep 2014 A	80,000,000	2014/04/17	2014/09/23	16.80
15573	Citigroup Global Mkt H Inc. - A50 China Euro Wts Oct 2014 A	80,000,000	2014/04/17	2014/10/16	32.00
15563	Citigroup Global Mkt H Inc. - HSBC European Wts Sep 2014 D	100,000,000	2014/04/17	2014/09/25	21.00
15581	Citigroup Global Mkt H Inc. - Haitong Sec European Wt Aug15A	100,000,000	2014/04/17	2015/08/11	17.00
15578	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Oct 2014 A	80,000,000	2014/04/17	2014/10/16	20.00
15556	Citigroup Global Mkt H Inc. - Ping An Euro Wts Oct 2014 A	80,000,000	2014/04/17	2014/10/16	20.00
15497	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Oct 2014 A	80,000,000	2014/04/17	2014/10/27	20.56
15499	Goldman Sachs SP (Asia) - AIA European Warrants Dec 2014 A	80,000,000	2014/04/17	2014/12/29	20.48
15500	Goldman Sachs Sp (Asia) - ICBC European Warrants Nov 2014 A	80,000,000	2014/04/17	2014/11/25	12.08
15501	Goldman Sachs SP (Asia) - Ping An European Wts Oct 2014 A	80,000,000	2014/04/17	2014/10/17	22.80
15496	Goldman Sachs SP (Asia) - Tencent European Wt Oct 2014 B	80,000,000	2014/04/17	2014/10/20	32.16
15517	HK Bank - China Mobile European Warrants Sep 2014 C	80,000,000	2014/04/17	2014/09/29	12.00
15513	HK Bank - Sinopec Corp European Warrants Oct 2014 A	60,000,000	2014/04/17	2014/10/31	15.00
15512	HK Bank - Galaxy Ent European Warrants Oct 2014 B	60,000,000	2014/04/17	2014/10/06	27.00
15518	HK Bank - HSI European Put Warrants Sep 2014 L	150,000,000	2014/04/17	2014/09/29	22.50
15516	HK Bank - Kingsoft European Warrants Oct 2014 B	60,000,000	2014/04/17	2014/10/31	18.00
15535	Standard Chartered Bank - CC Bank European Put Wts Nov 2014A	80,000,000	2014/04/17	2014/11/03	32.00
15538	Standard Chartered Bank - ICBC European Warrants Nov 2014 A	100,000,000	2014/04/17	2014/11/26	15.00
15537	Standard Chartered Bank - KECL European Warrants Nov 2015 A	100,000,000	2014/04/17	2015/11/25	15.00
15536	Standard Chartered Bank - SHK P European Warrants Mar 2015 A	80,000,000	2014/04/17	2015/03/03	20.00
15509	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Dec14A	45,000,000	2014/04/17	2014/12/03	11.25
15510	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Jan 2015 A	35,000,000	2014/04/17	2015/01/05	10.15
15511	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Dec 2015A	80,000,000	2014/04/17	2015/12/02	16.00
15540	UBS AG - CAM CSI300 European Warrants Dec 2014 B	100,000,000	2014/04/17	2014/12/12	18.00
15551	UBS AG - CC Bank European Warrants Oct 2014 A	100,000,000	2014/04/17	2014/10/06	15.00
15539	UBS AG - CITIC Sec European Warrants Dec 2014 A	70,000,000	2014/04/17	2014/12/24	10.50
15547	UBS AG - CSOP A50 ETF European Warrants Oct 2015 A	100,000,000	2014/04/17	2015/10/05	15.00
15550	UBS AG - A50 China European Warrants Oct 2014 C	70,000,000	2014/04/17	2014/10/28	17.50
15543	UBS AG - HSI European Warrants Aug 2014 J	300,000,000	2014/04/17	2014/08/28	45.00
15542	UBS AG - HSI European Put Warrants Aug 2014 I	300,000,000	2014/04/17	2014/08/28	57.00
15674	BOCI Asia Ltd. - HKEx European Warrants Nov 2014 A	100,000,000	2014/04/22	2014/11/10	38.00
15673	BOCI Asia Ltd. - Lenovo European Warrants Oct 2014 A	100,000,000	2014/04/22	2014/10/31	43.00
15672	BOCI Asia Ltd. - PetroChina European Warrants Nov 2014 A	100,000,000	2014/04/22	2014/11/03	26.00
15620	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Dec 2014 A	100,000,000	2014/04/22	2014/12/02	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15624	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Dec 2014 A	100,000,000	2014/04/22	2014/12/02	26.00
15619	BNP Paribas Arbit Issu B.V. - HKEx European Wts Dec 2014 A	52,000,000	2014/04/22	2014/12/02	13.00
15621	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2014 C	100,000,000	2014/04/22	2014/09/29	15.00
15636	Credit Suisse AG - China Mobile European Warrants Sep 2014 C	80,000,000	2014/04/22	2014/09/29	13.60
15638	Credit Suisse AG - HKEx European Warrants Oct 2014 B	80,000,000	2014/04/22	2014/10/21	58.40
15637	Credit Suisse AG - PetroChina European Warrants Oct 2014 A	80,000,000	2014/04/22	2014/10/21	21.60
15646	Credit Suisse AG - Ping An European Put Warrants Oct 2014 A	60,000,000	2014/04/22	2014/10/21	15.60
15655	Credit Suisse AG - SHK Ppt European Put Warrants Oct 2014 B	60,000,000	2014/04/22	2014/10/21	18.00
15657	Citigroup Global Mkt H Inc. - CC Bank European Wt Nov 2014 A	100,000,000	2014/04/22	2014/11/28	20.00
15656	Citigroup Global Mkt H Inc. - CC Bank European Wt Mar 2015 A	100,000,000	2014/04/22	2015/03/26	23.00
15664	Citigroup Global Mkt H Inc. - ICBC European Wts Nov 2014 A	100,000,000	2014/04/22	2014/11/25	15.00
15634	Daiwa Capital Mkt - HKEx European Warrants Oct 2014 C	128,000,000	2014/04/22	2014/10/21	62.72
15633	Daiwa Capital Mkt - HKEx European Warrants Jan 2015 A	258,000,000	2014/04/22	2015/01/02	64.50
15608	Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2014 A	80,000,000	2014/04/22	2014/12/30	20.48
15611	Goldman Sachs SP (Asia) - A50 China European Wts Oct 2014 A	80,000,000	2014/04/22	2014/10/30	20.40
15605	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2014 E	150,000,000	2014/04/22	2014/08/28	26.85
15607	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2014 B	150,000,000	2014/04/22	2014/09/29	24.15
15609	Goldman Sachs SP (Asia) - Sands China Euro Wts Aug 2014 B	80,000,000	2014/04/22	2014/08/27	12.16
15613	HK Bank - CITIC Sec European Warrants Nov 2014 A	150,000,000	2014/04/22	2014/11/26	22.50
15614	HK Bank - Datang Power European Warrants Nov 2014 A	50,000,000	2014/04/22	2014/11/28	12.50
15615	HK Bank - HKEx European Warrants Oct 2014 A	50,000,000	2014/04/22	2014/10/31	17.50
15594	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Nov 2014 A	40,000,000	2014/04/22	2014/11/04	10.00
15593	Macquarie Bank Ltd. - A50 China European Warrants Dec 2014 A	40,000,000	2014/04/22	2014/12/02	10.00
15599	Nomura Int'l plc - BYD European Warrants Dec 2016 A	50,000,000	2014/04/22	2016/12/28	12.50
15600	Nomura Int'l plc - China COSCO European Warrants Sep 2014 A	80,000,000	2014/04/22	2014/09/25	12.00
15618	Nomura Int'l plc - CIMC European Warrants Dec 2014 A	50,000,000	2014/04/22	2014/12/18	12.50
15596	Nomura Int'l plc - Datang European Warrants Nov 2014 A	50,000,000	2014/04/22	2014/11/18	12.50
15603	Nomura Int'l plc - Greatwall Motor European Wts Sep 2014 A	80,000,000	2014/04/22	2014/09/25	12.00
15616	Nomura Int'l plc - Baiyunshan Ph European Warrants Oct 2014A	50,000,000	2014/04/22	2014/10/22	15.80
15601	Nomura Int'l plc - China Mengniu European Warrants Oct 2014A	50,000,000	2014/04/22	2014/10/28	15.50
15595	Nomura Int'l plc - PetroChina European Warrants Dec 2014 A	50,000,000	2014/04/22	2014/12/31	12.50
15628	Standard Chartered Bank - Galaxy Ent Euro Put Wts Oct 2014 C	80,000,000	2014/04/22	2014/10/30	64.00
15632	Standard Chartered Bank - HSBC European Warrants Sep 2014 C	80,000,000	2014/04/22	2014/09/29	12.00
15631	Standard Chartered Bank - Zijin Mining Euro Wts Dec 2014 A	80,000,000	2014/04/22	2014/12/30	20.00
15584	SGA Societe Generale Acceptance N.V. - CHALCO Eu Wt Jan 15 A	40,000,000	2014/04/22	2015/01/05	10.00
15588	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Jan 2015 B	35,000,000	2014/04/22	2015/01/05	10.15
15585	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Dec 14 A	40,000,000	2014/04/22	2014/12/03	10.20
15583	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Aug16A	300,000,000	2014/04/22	2016/08/03	45.00
15590	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2014 A	100,000,000	2014/04/22	2014/10/08	18.00
15591	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2014 B	100,000,000	2014/04/22	2014/10/06	15.00
15592	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Feb15A	20,000,000	2014/04/22	2015/02/04	10.00
15635	SGA Societe Generale Acceptance NV - Zijin Mining Eu Wt Aug15A	70,000,000	2014/04/22	2015/08/05	17.50
15665	UBS AG - Anhui Conch European Warrants Sep 2014 C	50,000,000	2014/04/22	2014/09/29	14.00
15667	UBS AG - China Life European Warrants Oct 2014 A	100,000,000	2014/04/22	2014/10/21	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15670	UBS AG - HKEx European Warrants Oct 2014 C	40,000,000	2014/04/22	2014/10/21	15.20
15669	UBS AG - HKEx European Warrants Sep 2015 B	200,000,000	2014/04/22	2015/09/10	50.00
15668	UBS AG - Kingsoft European Warrants Oct 2014 C	50,000,000	2014/04/22	2014/10/24	17.50
15666	UBS AG - Ping An European Warrants Aug 2014 C	60,000,000	2014/04/22	2014/08/29	20.40
15712	Credit Suisse AG - China Life European Put Warrants Nov 14 A	70,000,000	2014/04/23	2014/11/25	10.50
15710	Credit Suisse AG - HKEx European Warrants Oct 2014 C	80,000,000	2014/04/23	2014/10/22	40.00
15714	Credit Suisse AG - HKEx European Warrants Jun 2015 A	80,000,000	2014/04/23	2015/06/30	20.00
15709	Goldman Sachs SP (Asia) - HKEx European Put Wts Sep 2015 A	80,000,000	2014/04/23	2015/09/29	12.00
15707	Goldman Sachs SP (Asia) - Ping An European Wts Oct 2014 B	80,000,000	2014/04/23	2014/10/30	27.68
15687	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Dec 2014 B	40,000,000	2014/04/23	2014/12/24	10.00
15680	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Nov 2014 A	40,000,000	2014/04/23	2014/11/04	10.00
15681	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2014 B	40,000,000	2014/04/23	2014/12/01	10.00
15676	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Sep 14 A	60,000,000	2014/04/23	2014/09/24	10.98
15679	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Oct 2014 A	40,000,000	2014/04/23	2014/10/22	15.40
15678	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Dec 2014 C	40,000,000	2014/04/23	2014/12/01	10.40
15685	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Sep 2015 A	70,000,000	2014/04/23	2015/09/21	10.50
15682	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2014 A	40,000,000	2014/04/23	2014/11/04	10.20
15675	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Put Wts Sep14A	60,000,000	2014/04/23	2014/09/24	12.54
15686	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Oct 2014 A	40,000,000	2014/04/23	2014/10/22	10.20
15688	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Oct 2014B	40,000,000	2014/04/23	2014/10/22	15.80
15689	Standard Chartered Bank - CITIC Bank European Wts Nov 2014 A	80,000,000	2014/04/23	2014/11/28	25.60
15690	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Aug 2014 B	40,000,000	2014/04/23	2014/08/04	10.80
15693	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Oct 2014 C	150,000,000	2014/04/23	2014/10/30	24.75
15697	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Sep 14 C	150,000,000	2014/04/23	2014/09/29	28.50
15705	UBS AG - CITIC Bank European Warrants Sep 2014 A	60,000,000	2014/04/23	2014/09/01	10.20
15701	UBS AG - Geely Auto European Warrants Oct 2014 B	40,000,000	2014/04/23	2014/10/22	10.00
15706	UBS AG - HKEx European Warrants Nov 2015 A	200,000,000	2014/04/23	2015/11/09	50.00
18254 [#]	Merrill Lynch Int'l & Co. C.V. - Sinopec Eu Put Wt Sep 2014A	200,000,000	2014/04/23	2014/09/16	10.00
15723	Credit Suisse AG - HKEx European Warrants Oct 2015 A	80,000,000	2014/04/24	2015/10/30	20.00
15744	Goldman Sachs SP (Asia) - AIA European Warrants Nov 2014 B	80,000,000	2014/04/24	2014/11/24	12.00
15727	HK Bank - AIA European Warrants Nov 2014 B	100,000,000	2014/04/24	2014/11/21	15.00
15729	HK Bank - AIA European Put Warrants Nov 2014 C	80,000,000	2014/04/24	2014/11/28	20.00
15739	HK Bank - Ch Ship Cont European Warrants Dec 2014 A	50,000,000	2014/04/24	2014/12/31	12.50
15741	HK Bank - Zijin Mining European Warrants Dec 2014 A	60,000,000	2014/04/24	2014/12/31	15.00
15745	Macquarie Bank Ltd. - HKEx European Warrants Jul 2015 A	200,000,000	2014/04/24	2015/07/03	50.00
15716	Standard Chartered Bank - Tencent Hldg Euro Wts Dec 2014 A	100,000,000	2014/04/24	2014/12/05	70.00
15742	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Jan 2015 A	25,000,000	2014/04/24	2015/01/05	10.00
15743	SGA Societe Generale Acceptance N.V. - CRail Eu Wt Apr 2015A	30,000,000	2014/04/24	2015/04/08	10.35
15757	UBS AG - Minsheng Bank European Warrants Oct 2014 A	70,000,000	2014/04/24	2014/10/23	27.30
15754	UBS AG - GCL-Poly Energy European Warrants Sep 2014 A	50,000,000	2014/04/24	2014/09/24	11.00
15746	UBS AG - Galaxy Ent European Warrants Oct 2014 B	30,000,000	2014/04/24	2014/10/23	13.50
15750	UBS AG - Greatwall Motor European Warrants Oct 2014 A	40,000,000	2014/04/24	2014/10/23	10.40
15747	UBS AG - HKEx European Warrants Oct 2014 D	40,000,000	2014/04/24	2014/10/28	15.20
15787	Credit Suisse AG - SHK Ppt European Warrants Oct 2014 C	70,000,000	2014/04/25	2014/10/24	24.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15788	Citigroup Global Mkt H Inc. - China Life Euro Wts Dec 2014 B	150,000,000	2014/04/25	2014/12/24	28.50
15758	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2014 C	150,000,000	2014/04/25	2014/10/30	35.25
15759	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2014 D	150,000,000	2014/04/25	2014/10/30	40.95
15760	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2014 E	150,000,000	2014/04/25	2014/10/30	37.65
15772	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Nov 2014 A	40,000,000	2014/04/25	2014/11/25	12.00
15771	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Dec 2014 A	40,000,000	2014/04/25	2014/12/23	10.40
15775	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Nov 2014B	40,000,000	2014/04/25	2014/11/24	12.80
15777	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Dec 2014B	40,000,000	2014/04/25	2014/12/23	10.00
15778	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2015 B	300,000,000	2014/04/25	2015/01/05	45.00
15785	UBS AG - Chi Res Power European Warrants Nov 2014 A	50,000,000	2014/04/25	2014/11/11	10.00
15780	UBS AG - Melco European Warrants Oct 2014 A	50,000,000	2014/04/25	2014/10/21	10.00
15781	UBS AG - Sands China European Warrants Oct 2014 B	40,000,000	2014/04/25	2014/10/24	20.00
15782	UBS AG - Wynn Macau European Warrants Oct 2014 A	70,000,000	2014/04/25	2014/10/03	10.50
15824	Credit Suisse AG - HKEx European Warrants Dec 2014 A	70,000,000	2014/04/28	2014/12/24	10.50
15856	Credit Suisse AG - Ping An European Warrants Oct 2014 B	80,000,000	2014/04/28	2014/10/27	20.00
15811	J P Morgan SP BV - AIA European Warrants Nov 2014 A	200,000,000	2014/04/28	2014/11/28	30.00
15835	J P Morgan SP BV - Beijing Ent European Warrants Nov 2014 A	30,000,000	2014/04/28	2014/11/04	13.08
15834	J P Morgan SP BV - BJ Ent Water European Warrants Dec 2014 A	70,000,000	2014/04/28	2014/12/30	26.81
15810	J P Morgan SP BV - CITIC Bank European Warrants Dec 2014 A	100,000,000	2014/04/28	2014/12/02	25.00
15796	J P Morgan SP BV - Cheung Kong European Warrants Nov 2014 A	100,000,000	2014/04/28	2014/11/04	25.00
15818	J P Morgan SP BV - Minsheng Bank European Wts Oct 2014 A	100,000,000	2014/04/28	2014/10/30	25.00
15798	J P Morgan SP BV - CSOP A50 ETF Euro Put Wts Nov 2014 A	100,000,000	2014/04/28	2014/11/04	31.40
15851	J P Morgan SP BV - China Shenhua European Wts Apr 2015 A	100,000,000	2014/04/28	2015/04/01	18.70
15844	J P Morgan SP BV - Datang European Wts Dec 2014 A	35,000,000	2014/04/28	2014/12/02	11.55
15853	J P Morgan SP BV - Evergrande European Warrants Nov 2014 A	100,000,000	2014/04/28	2014/11/04	25.00
15832	J P Morgan SP BV - Galaxy Ent European Warrants Oct 2014 D	100,000,000	2014/04/28	2014/10/06	39.10
15804	J P Morgan SP BV - HKEx European Warrants Nov 2014 A	80,000,000	2014/04/28	2014/11/04	32.16
15823	J P Morgan SP BV - HKEx European Warrants Jan 2015 A	200,000,000	2014/04/28	2015/01/05	30.00
15805	J P Morgan SP BV - HKEx European Put Wts Sep 2015 A	200,000,000	2014/04/28	2015/09/29	30.00
15820	J P Morgan SP BV - HSI European Put Warrants Oct 2014 G	500,000,000	2014/04/28	2014/10/30	125.50
15816	J P Morgan SP BV - ICBC European Warrants Dec 2014 A	200,000,000	2014/04/28	2014/12/30	30.00
15849	J P Morgan SP BV - Lenovo European Warrants Jun 2015 A	200,000,000	2014/04/28	2015/06/29	30.00
15819	J P Morgan SP BV - Ping An European Warrants Nov 2014 A	200,000,000	2014/04/28	2014/11/04	50.00
15852	J P Morgan SP BV - Sands China European Warrants Apr 2017 A	200,000,000	2014/04/28	2017/04/03	33.00
15833	J P Morgan SP BV - Sa Sa Int'l European Warrants Jul 2016 A	100,000,000	2014/04/28	2016/07/28	25.00
15797	J P Morgan SP BV - SHK Ppt European Warrants Nov 2014 A	100,000,000	2014/04/28	2014/11/04	25.00
15842	J P Morgan SP BV - SJM Holdings European Warrants Dec 2014 A	100,000,000	2014/04/28	2014/12/01	15.00
15806	J P Morgan SP BV - Tencent European Warrants Aug 2014 G	200,000,000	2014/04/28	2014/08/11	51.40
15808	J P Morgan SP BV - Tencent European Warrants Aug 2014 H	200,000,000	2014/04/28	2014/08/29	47.00
15838	J P Morgan SP BV - ZTE European Warrants Dec 2014 A	100,000,000	2014/04/28	2014/12/29	25.00
15825	Macquarie Bank Ltd. - BJ Ent Water European Wts Oct 2014 A	40,000,000	2014/04/28	2014/10/28	10.00
15827	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 15 A	100,000,000	2014/04/28	2015/03/04	16.50
15795	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Nov 2014 A	40,000,000	2014/04/28	2014/11/05	10.60
15794	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Feb 2015 A	40,000,000	2014/04/28	2015/02/04	23.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15828	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 15 A	150,000,000	2014/04/28	2015/02/04	61.50
15790	UBS AG - BOCL European Warrants Oct 2014 A	100,000,000	2014/04/28	2014/10/03	15.00
15831	UBS AG - CITIC Pacific European Warrants Jan 2015 A	70,000,000	2014/04/28	2015/01/26	10.50
15829	UBS AG - CSOP A50 ETF European Warrants Oct 2014 A	30,000,000	2014/04/28	2014/10/27	12.90
15866	BOCI Asia Ltd. - China Life European Warrants Dec 2014 B	100,000,000	2014/04/30	2014/12/24	16.00
15861	BOCI Asia Ltd. - HKEx European Warrants Nov 2015 A	100,000,000	2014/04/30	2015/11/02	16.00
15870	BOCI Asia Ltd. - Kingsoft European Warrants Nov 2014 A	100,000,000	2014/04/30	2014/11/10	32.00
15862	BOCI Asia Ltd. - Ping An European Warrants Oct 2014 A	100,000,000	2014/04/30	2014/10/08	17.00
15879	BNP Paribas Arbit Issu B.V. - Anhui Conch Eu Put Wt Jan 15 B	100,000,000	2014/04/30	2015/01/05	25.00
15880	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Apr 2015 A	100,000,000	2014/04/30	2015/04/02	25.00
15873	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Jan 2015 A	60,000,000	2014/04/30	2015/01/05	15.00
15877	BNP Paribas Arbit Issu B.V. - Cheung Kong Eu Put Wt Jan 15 B	60,000,000	2014/04/30	2015/01/05	15.60
15878	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Jan 2015 A	100,000,000	2014/04/30	2015/01/05	25.00
15891	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Sep 2014 A	100,000,000	2014/04/30	2014/09/30	26.00
15893	Citigroup Global Mkt H Inc. - HKEx European Wts Sep 2015 B	150,000,000	2014/04/30	2015/09/03	31.50
15892	Citigroup Global Mkt H Inc. - ICBC European Wts Sep 2014 A	100,000,000	2014/04/30	2014/09/23	20.00
15894	Citigroup Global Mkt H Inc. - Tencent European Wts Jul 2014 E	100,000,000	2014/04/30	2014/07/31	46.00
15895	Citigroup Global Mkt H Inc. - Tencent European Wts Aug 2014 C	100,000,000	2014/04/30	2014/08/21	26.00
15858	Goldman Sachs SP (Asia) - Agri Bk European Wts Dec 2014 A	80,000,000	2014/04/30	2014/12/22	12.96
15859	Goldman Sachs SP (Asia) - Sands China Euro Wts Oct 2014 A	80,000,000	2014/04/30	2014/10/31	36.08
15857	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2014 C	80,000,000	2014/04/30	2014/09/24	35.28
15890	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Nov 2014 A	200,000,000	2014/04/30	2014/11/28	30.00
15860	Standard Chartered Bank - Sinopec Corp Euro Wts Nov 2014 A	80,000,000	2014/04/30	2014/11/28	20.00
15881	UBS AG - AIA European Warrants Sep 2014 C	100,000,000	2014/04/30	2014/09/24	20.00
15883	UBS AG - China Coal European Warrants Sep 2014 A	35,000,000	2014/04/30	2014/09/24	10.33
15882	UBS AG - China Shenhua European Warrants Jan 2015 A	60,000,000	2014/04/30	2015/01/27	10.20
15885	UBS AG - HSCEI European Warrants Oct 2014 A	100,000,000	2014/04/30	2014/10/30	15.00
15886	UBS AG - HSCEI European Warrants Oct 2014 B	100,000,000	2014/04/30	2014/10/30	17.00
15887	UBS AG - HSCEI European Put Warrants Oct 2014 C	100,000,000	2014/04/30	2014/10/30	15.00
15889	UBS AG - HSI European Put Warrants Sep 2014 D	300,000,000	2014/04/30	2014/09/29	54.00
15888	UBS AG - HSI European Put Warrants Oct 2014 A	300,000,000	2014/04/30	2014/10/30	52.50
15884	UBS AG - Yanzhou Coal European Wts Sep 2014 A	30,000,000	2014/04/30	2014/09/25	13.65
15923	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Dec 2014 B	100,000,000	2014/05/02	2014/12/02	25.00
15924	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Mar 2015 A	100,000,000	2014/05/02	2015/03/03	25.00
15925	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Apr 2016 A	100,000,000	2014/05/02	2016/04/05	25.00
15926	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Jan 2015 B	100,000,000	2014/05/02	2015/01/05	25.00
15927	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Apr 2015 A	100,000,000	2014/05/02	2015/04/02	25.00
15896	HK Bank - BOC HK European Warrants Dec 2014 B	100,000,000	2014/05/02	2014/12/31	15.00
15897	HK Bank - Huaneng Power European Warrants Nov 2014 A	60,000,000	2014/05/02	2014/11/03	18.00
15905	Standard Chartered Bank - Agricultural Bk Eur Wts Feb 2015 A	70,000,000	2014/05/02	2015/02/04	17.50
15915	Standard Chartered Bank - HKEx European Warrants Jun 2015 A	100,000,000	2014/05/02	2015/06/26	15.00
15916	Standard Chartered Bank - HKEx European Put Wts May 2015 A	100,000,000	2014/05/02	2015/05/29	25.00
15903	Standard Chartered Bank - HuanengPower Euro Wts Jan 2015 A	50,000,000	2014/05/02	2015/01/06	25.00
15913	Standard Chartered Bank - Ping An Euro Put Wts Nov 2014 A	80,000,000	2014/05/02	2014/11/05	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15914	Standard Chartered Bank - Sands China Eu Put Wts Nov 2014 A	60,000,000	2014/05/02	2014/11/05	19.20
15911	Standard Chartered Bank - ZTE European Warrants Feb 2015 A	70,000,000	2014/05/02	2015/02/02	17.50
15917	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Jan15A	100,000,000	2014/05/02	2015/01/05	15.50
15922	UBS AG - Cheung Kong European Warrants Nov 2014 A	50,000,000	2014/05/02	2014/11/03	12.50
15966	Credit Suisse AG - Anton Oilfield European Warrants Nov 14 A	50,000,000	2014/05/05	2014/11/04	21.50
15968	Credit Suisse AG - CC Bank European Warrants Oct 2014 A	70,000,000	2014/05/05	2014/10/24	10.50
15967	Credit Suisse AG - ICBC European Warrants Dec 2014 A	70,000,000	2014/05/05	2014/12/24	10.50
15949	Daiwa Capital Mkt - Kingsoft European Warrants Sep 2014 A	80,000,000	2014/05/05	2014/09/01	20.00
15950	Daiwa Capital Mkt - Kingsoft European Warrants Sep 2014 B	80,000,000	2014/05/05	2014/09/24	12.80
15951	Daiwa Capital Mkt - Sands China European Warrants Oct 2014 B	98,000,000	2014/05/05	2014/10/03	22.54
16000	Goldman Sachs SP (Asia) - HKEx European Warrants Oct 2014 B	80,000,000	2014/05/05	2014/10/14	22.40
15995	Goldman Sachs SP (Asia) - HKEx European Warrants Jun 2015 A	80,000,000	2014/05/05	2015/06/30	12.72
15987	Goldman Sachs SP (Asia) - Sands China Euro Wts Sep 2014 A	80,000,000	2014/05/05	2014/09/25	15.20
15985	J P Morgan SP BV - HSI European Put Warrants Aug 2014 G	300,000,000	2014/05/05	2014/08/28	45.00
15974	J P Morgan SP BV - Kingsoft European Warrants Dec 2014 A	100,000,000	2014/05/05	2014/12/29	25.00
15959	Macquarie Bank Ltd. - China Tel European Warrants Jan 2015 A	40,000,000	2014/05/05	2015/01/05	10.00
15961	Macquarie Bank Ltd. - Huishan Dairy European Warrants Dec14A	40,000,000	2014/05/05	2014/12/02	10.00
15960	Macquarie Bank Ltd. - ZTE European Warrants Mar 2015 B	40,000,000	2014/05/05	2015/03/03	10.00
15945	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Nov 2014 A	70,000,000	2014/05/05	2014/11/21	10.92
15936	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Dec 2014 A	70,000,000	2014/05/05	2014/12/24	11.76
15947	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Nov 2014 A	40,000,000	2014/05/05	2014/11/28	10.20
15946	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jan 2015 A	40,000,000	2014/05/05	2015/01/30	10.00
15943	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Nov 2014 A	40,000,000	2014/05/05	2014/11/05	10.20
15940	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Feb 2015 A	40,000,000	2014/05/05	2015/02/16	10.40
15938	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Apr 2015 B	40,000,000	2014/05/05	2015/04/02	10.00
15942	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Feb 2015B	40,000,000	2014/05/05	2015/02/13	14.80
15937	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Apr 2015A	40,000,000	2014/05/05	2015/04/01	10.00
15933	Nomura Int'l plc - Country Garden European Warrants Sep14 A	80,000,000	2014/05/05	2014/09/30	12.32
15929	Nomura Int'l plc - Ch Overseas European Warrants Dec 2014 B	80,000,000	2014/05/05	2014/12/30	12.24
15930	Nomura Int'l plc - A50 China European Warrants Nov 2014 A	50,000,000	2014/05/05	2014/11/28	17.00
15931	Nomura Int'l plc - A50 China European Warrants Nov 2014 B	50,000,000	2014/05/05	2014/11/28	10.35
15928	Nomura Int'l plc - Gome European Warrants Aug 2015 A	50,000,000	2014/05/05	2015/08/31	13.00
15932	Nomura Int'l plc - Haier Elec European Warrants Oct 2014 A	80,000,000	2014/05/05	2014/10/29	15.20
15958	Standard Chartered Bank - BOCL European Warrants Aug 2016 A	100,000,000	2014/05/05	2016/08/30	32.00
15956	Standard Chartered Bank - CC Bank European Wts Nov 2014 B	80,000,000	2014/05/05	2014/11/21	12.00
15953	Standard Chartered Bank - Greatwall Motor Euro Wt Dec 2014 A	80,000,000	2014/05/05	2014/12/03	20.00
15954	Standard Chartered Bank - Kingsoft European Wts Sep 2014 C	80,000,000	2014/05/05	2014/09/23	28.00
15955	Standard Chartered Bank - Kingsoft European Put Wts Sep 14 D	80,000,000	2014/05/05	2014/09/19	28.00
15952	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Dec 2014 A	100,000,000	2014/05/05	2014/12/03	15.00
15965	UBS AG - Galaxy Ent European Warrants Oct 2014 C	40,000,000	2014/05/05	2014/10/08	22.40
15964	UBS AG - Huishan Dairy European Warrants Nov 2014 A	40,000,000	2014/05/05	2014/11/04	10.00
15962	UBS AG - SHK Ppt European Warrants Apr 2016 A	50,000,000	2014/05/05	2016/04/22	40.00
16057	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Oct 2015 A	40,000,000	2014/05/07	2015/10/05	10.80
16055	BNP Paribas Arbit Issu B.V. - Chsoutcity Euro Wts Dec14A	50,000,000	2014/05/07	2014/12/02	21.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16056	BNP Paribas Arbit Issu B.V. - Fosun Pharma Euro Wts Dec14A	60,000,000	2014/05/07	2014/12/02	19.20
16053	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Jan 2015 A	100,000,000	2014/05/07	2015/01/05	25.00
16054	BNP Paribas Arbit Issu B.V. - NCI European Wts Dec 2014 A	50,000,000	2014/05/07	2014/12/02	15.00
16022	Credit Suisse AG - Agricultural Bank European Wts Dec 2014 A	70,000,000	2014/05/07	2014/12/08	10.50
16036	Credit Suisse AG - CITIC Bank European Warrants Nov 2014 A	60,000,000	2014/05/07	2014/11/10	18.00
16035	Credit Suisse AG - China Life European Warrants Dec 2014 B	70,000,000	2014/05/07	2014/12/29	10.50
16023	Credit Suisse AG - Sands China European Warrants Oct 2014 B	60,000,000	2014/05/07	2014/10/13	15.60
16020	Credit Suisse AG - SJM Holdings European Warrants Nov 2014 A	70,000,000	2014/05/07	2014/11/17	11.20
16019	Credit Suisse AG - Tencent Holdings European Wts Sep 2014 E	100,000,000	2014/05/07	2014/09/22	35.00
16018	Daiwa Capital Mkt - Ping An European Warrants Nov 2014 A	98,000,000	2014/05/07	2014/11/06	24.50
16062	J P Morgan SP BV - China Life European Warrants Oct 2014 C	200,000,000	2014/05/07	2014/10/14	30.00
16058	J P Morgan SP BV - Chsoutcity European Warrants Apr 2015 A	50,000,000	2014/05/07	2015/04/02	21.45
16061	J P Morgan SP BV - Fosun Pharma European Warrants Dec 2014 A	50,000,000	2014/05/07	2014/12/29	12.50
16059	J P Morgan SP BV - Macau Legend European Warrants Jan 2016 A	100,000,000	2014/05/07	2016/01/05	25.00
16060	J P Morgan SP BV - Shunfeng Pv European Warrants Dec 2014 A	70,000,000	2014/05/07	2014/12/29	17.50
16005	Macquarie Bank Ltd. - CITIC Pacific European Wts May 2015 A	70,000,000	2014/05/07	2015/05/05	10.50
16004	Macquarie Bank Ltd. - Galaxy Ent European Wts Oct 2014 A	50,000,000	2014/05/07	2014/10/06	10.05
16050	Standard Chartered Bank - HSI European Warrants Oct 2014 B	100,000,000	2014/05/07	2014/10/30	15.00
16049	Standard Chartered Bank - HSI European Put Wts Sep 2014 B	100,000,000	2014/05/07	2014/09/29	17.00
16011	Standard Chartered Bank - Ping An European Wts Aug 2014 B	80,000,000	2014/05/07	2014/08/25	13.60
16013	Standard Chartered Bank - Ping An European Wts Oct 2014 B	80,000,000	2014/05/07	2014/10/03	32.00
16015	Standard Chartered Bank - Tencent Hldg Euro Wts Nov 2014 B	100,000,000	2014/05/07	2014/11/06	25.00
16016	Standard Chartered Bank - Tencent Hldg Eu Put Wts Nov 2014 C	100,000,000	2014/05/07	2014/11/06	35.00
16001	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Oct14 B	30,000,000	2014/05/07	2014/10/06	10.65
16002	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Nov 14 A	100,000,000	2014/05/07	2014/11/05	22.50
16003	SGA Societe Generale Acceptance N.V. - Ping An Eu Put Wt Dec14A	70,000,000	2014/05/07	2014/12/03	25.90
16041	UBS AG - BYD European Warrants Aug 2014 B	50,000,000	2014/05/07	2014/08/26	30.00
16045	UBS AG - BYD European Warrants Dec 2016 A	100,000,000	2014/05/07	2016/12/22	15.00
16039	UBS AG - Sinopec Corp European Put Warrants Nov 2014 B	30,000,000	2014/05/07	2014/11/06	12.00
16047	UBS AG - Kingsoft European Warrants Aug 2014 C	100,000,000	2014/05/07	2014/08/29	40.00
16048	UBS AG - Kingsoft European Warrants Aug 2014 D	100,000,000	2014/05/07	2014/08/22	28.00
16040	UBS AG - PetroChina European Warrants Oct 2014 A	50,000,000	2014/05/07	2014/10/14	14.00
16104	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Jan 2015 B	86,000,000	2014/05/08	2015/01/05	18.06
16102	BNP Paribas Arbit Issu B.V. - Ping An European Wt Nov 2014 B	100,000,000	2014/05/08	2014/11/04	22.00
16110	Credit Suisse AG - Kingsoft European Warrants Sep 2014 D	60,000,000	2014/05/08	2014/09/22	13.80
16111	Credit Suisse AG - TCL Comm European Warrants Feb 2015 A	50,000,000	2014/05/08	2015/02/27	12.50
10284	Daiwa Capital Mkt - Nikkei 225 Index European Wts Dec 2014 A	250,000,000	2014/05/08	2014/12/12	62.50
10285	Daiwa Capital Mkt - Nikkei 225 Index European Wts Dec 2014 B	250,000,000	2014/05/08	2014/12/12	62.50
10286	Daiwa Capital Mkt - Nikkei 225 Index European Wts Dec 2014 C	250,000,000	2014/05/08	2014/12/12	62.50
10287	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2014 D	250,000,000	2014/05/08	2014/12/12	62.50
10288	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2014 E	250,000,000	2014/05/08	2014/12/12	62.50
10289	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Dec 2014 F	250,000,000	2014/05/08	2014/12/12	62.50
16115	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Nov 2014 A	80,000,000	2014/05/08	2014/11/27	39.36
16112	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Nov 2014 A	80,000,000	2014/05/08	2014/11/28	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16113	Goldman Sachs SP (Asia) - SHK Ppt European Put Wt Nov 2014 A	80,000,000	2014/05/08	2014/11/10	25.52
16065	HK Bank - Galaxy Ent European Warrants Sep 2014 A	60,000,000	2014/05/08	2014/09/29	30.00
16063	HK Bank - Kingsoft European Warrants Nov 2014 A	60,000,000	2014/05/08	2014/11/28	15.00
16066	HK Bank - Ping An European Warrants Aug 2014 B	80,000,000	2014/05/08	2014/08/25	12.00
16091	HK Bank - Sands China European Warrants Apr 2017 A	150,000,000	2014/05/08	2017/04/03	24.00
16100	Macquarie Bank Ltd. - Kingsoft European Warrants Nov 2014 A	80,000,000	2014/05/08	2014/11/07	20.00
16092	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Dec 2014 A	40,000,000	2014/05/08	2014/12/02	10.00
16093	Macquarie Bank Ltd. - Ping An European Warrants Dec 2014 B	40,000,000	2014/05/08	2014/12/02	10.00
16086	Nomura Int'l plc - Chsouthcity European Warrants Nov 2014 A	50,000,000	2014/05/08	2014/11/18	32.50
16089	Nomura Int'l plc - Fosun Pharma European Warrants Nov 2014 A	50,000,000	2014/05/08	2014/11/18	14.00
16067	Nomura Int'l plc - Kerry Log Net European Warrants Mar 2015A	50,000,000	2014/05/08	2015/03/30	12.50
16068	Nomura Int'l plc - Shunfeng Pv EEuropean Warrants Feb 2015 A	50,000,000	2014/05/08	2015/02/18	13.50
16090	Nomura Int'l plc - TCL Comm European Warrants Jun 2015 A	50,000,000	2014/05/08	2015/06/18	12.50
16096	Standard Chartered Bank - Ch Comm Cons Eur Wts Feb 2015 A	60,000,000	2014/05/08	2015/02/04	15.00
16097	Standard Chartered Bank - Ch Comm Cons Eur Wts Jun 2015 A	60,000,000	2014/05/08	2015/06/04	15.00
16099	Standard Chartered Bank - CTel European Warrants Nov 2014 B	60,000,000	2014/05/08	2014/11/28	15.00
16098	Standard Chartered Bank - CTel European Warrants Mar 2015 A	60,000,000	2014/05/08	2015/03/03	15.00
16094	Standard Chartered Bank - Tencent Hldg Euro Wts Jan 2015 B	100,000,000	2014/05/08	2015/01/06	36.00
16095	Standard Chartered Bank - Tencent Hldg Eu Put Wts Jan 2015 C	100,000,000	2014/05/08	2015/01/06	25.00
16106	UBS AG - BYD European Warrants Dec 2016 B	100,000,000	2014/05/08	2016/12/30	15.00
16105	UBS AG - Sands China European Warrants Apr 2017 A	200,000,000	2014/05/08	2017/04/03	34.00
16207	Credit Suisse AG - China Mobile European Put Wts Sep 2014 D	60,000,000	2014/05/09	2014/09/29	10.20
16197	Credit Suisse AG - Sands China European Warrants Oct 2014 C	60,000,000	2014/05/09	2014/10/20	24.00
16204	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 I	100,000,000	2014/05/09	2014/08/11	30.00
16205	Credit Suisse AG - Tencent Holdings European Wts Nov 2014 B	100,000,000	2014/05/09	2014/11/10	45.00
16178	Daiwa Capital Mkt - China Telecom European Wts Nov 2014 A	80,000,000	2014/05/09	2014/11/10	20.00
16166	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Nov 2014 B	80,000,000	2014/05/09	2014/11/28	20.24
16167	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Sep 2014 A	80,000,000	2014/05/09	2014/09/26	20.40
16177	Goldman Sachs SP (Asia) - MGM China European Warrants Dec14A	80,000,000	2014/05/09	2014/12/30	24.08
16117	HK Bank - Tencent European Warrants Aug 2014 I	60,000,000	2014/05/09	2014/08/14	21.00
16211	J P Morgan SP BV - Tencent European Warrants Nov 2014 A	200,000,000	2014/05/09	2014/11/10	82.60
16186	Macquarie Bank Ltd. - Anta Sports European Wts Apr 2015 A	80,000,000	2014/05/09	2015/04/02	20.00
16195	Macquarie Bank Ltd. - China Tel European Warrants Jan 2015 B	50,000,000	2014/05/09	2015/01/05	12.50
16180	Macquarie Bank Ltd. - Sinopharm European Warrants Aug 2015 A	80,000,000	2014/05/09	2015/08/04	20.00
16131	Standard Chartered Bank - Galaxy Ent European Wts Oct 2014 D	100,000,000	2014/05/09	2014/10/06	25.00
16138	Standard Chartered Bank - PetroChina European Wts Nov 2014 B	80,000,000	2014/05/09	2014/11/28	20.00
16127	Standard Chartered Bank - Tencent Hldg Euro Wts Aug 2014 E	100,000,000	2014/05/09	2014/08/22	25.00
16124	Standard Chartered Bank - Tencent Hldg Euro Wts Sep 2014 D	100,000,000	2014/05/09	2014/09/25	21.00
16151	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Feb15A	50,000,000	2014/05/09	2015/02/04	16.50
16179	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Nov 14 B	100,000,000	2014/05/09	2014/11/05	15.00
16152	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 15 B	100,000,000	2014/05/09	2015/01/05	17.00
16153	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Dec 4A	80,000,000	2014/05/09	2014/12/03	36.00
16154	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Dec14B	40,000,000	2014/05/09	2014/12/03	16.80
16155	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Oct14B	100,000,000	2014/05/09	2014/10/06	47.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16160	SGA Societe Generale Acceptance N.V - Sands China Eu Wt Oct14C	100,000,000	2014/05/09	2014/10/06	27.50
16210	UBS AG - China Mobile European Warrants Feb 2015 A	100,000,000	2014/05/09	2015/02/23	19.00
16209	UBS AG - China Mobile European Put Warrants Oct 2014 F	60,000,000	2014/05/09	2014/10/08	10.20
16145	UBS AG - HSI European Warrants Oct 2014 B	300,000,000	2014/05/09	2014/10/30	45.00
16146	UBS AG - HSI European Warrants Oct 2014 C	300,000,000	2014/05/09	2014/10/30	45.00
16208	UBS AG - Tencent Holdings European Warrants Nov 2014 A	100,000,000	2014/05/09	2014/11/10	35.00
13448#	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Aug 2014 A	210,000,000	2014/05/09	2014/08/07	10.08
25095#	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Dec 2015 A	50,000,000	2014/05/09	2015/12/23	10.90
16272	BNP Paribas Arbit Issu B.V. - Gome European Wts Jul 2015 A	100,000,000	2014/05/12	2015/07/03	26.00
16271	BNP Paribas Arbit Issu B.V. - Huishan Dairy Eu Wt Dec 2014 A	100,000,000	2014/05/12	2014/12/02	25.00
16270	BNP Paribas Arbit Issu B.V. - Li & Fung Euro Wts Jul 2016 A	100,000,000	2014/05/12	2016/07/05	25.00
16273	BNP Paribas Arbit Issu B.V. - Melco Int'l Dev Eu Wt Jan2015A	82,000,000	2014/05/12	2015/01/05	20.50
16276	Goldman Sachs SP (Asia) - Sands China Eur Put Wts Nov 2014 A	80,000,000	2014/05/12	2014/11/28	40.56
16274	Goldman Sachs SP (Asia) - Tencent European Wt Nov 2014 A	80,000,000	2014/05/12	2014/11/28	41.76
16275	Goldman Sachs SP (Asia) - Tencent European Put Wt Nov 2014 B	80,000,000	2014/05/12	2014/11/28	29.20
16218	HK Bank - China Mobile European Warrants Oct 2014 A	100,000,000	2014/05/12	2014/10/29	15.00
16217	HK Bank - China Tel European Warrants Nov 2014 A	80,000,000	2014/05/12	2014/11/28	20.00
16216	HK Bank - China Unicom European Warrants Sep 2015 A	100,000,000	2014/05/12	2015/09/30	25.00
16215	HK Bank - Kingsoft European Warrants Nov 2014 B	60,000,000	2014/05/12	2014/11/28	18.00
16212	HK Bank - Tencent European Warrants Apr 2015 A	100,000,000	2014/05/12	2015/04/30	25.00
16213	HK Bank - Tencent European Put Warrants Sep 2014 D	60,000,000	2014/05/12	2014/09/30	16.20
16241	J P Morgan SP BV - HSBC European Warrants Aug 2014 B	200,000,000	2014/05/12	2014/08/27	30.00
16251	Standard Chartered Bank - China Unicom Euro Put Wts Dec15A	100,000,000	2014/05/12	2015/12/30	25.00
16250	Standard Chartered Bank - ICBC European Warrants Dec 2014 A	100,000,000	2014/05/12	2014/12/30	15.00
16245	Standard Chartered Bank - Kingsoft European Wts Aug 2014 C	80,000,000	2014/05/12	2014/08/27	24.00
16249	Standard Chartered Bank - Kingsoft European Wts Nov 2014 A	80,000,000	2014/05/12	2014/11/12	36.00
16257	Standard Chartered Bank - Tencent Hldg Euro Wts Sep 2014 E	100,000,000	2014/05/12	2014/09/24	25.00
16258	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Dec14B	70,000,000	2014/05/12	2014/12/03	37.80
16244	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2014 C	100,000,000	2014/05/12	2014/10/06	24.00
16259	SGA Societe Generale Acceptance N.V - Tencent Eu Put Wt Dec14B	150,000,000	2014/05/12	2014/12/03	45.75
16303	Credit Suisse AG - Cheung Kong European Warrants Nov 2014 A	60,000,000	2014/05/13	2014/11/17	24.00
16295	Credit Suisse AG - HSI European Warrants Oct 2014 B	150,000,000	2014/05/13	2014/10/30	25.50
16297	Credit Suisse AG - HSI European Warrants Oct 2014 C	150,000,000	2014/05/13	2014/10/30	22.50
16304	Credit Suisse AG - Hutchison European Warrants Nov 2014 A	70,000,000	2014/05/13	2014/11/17	30.80
16306	Credit Suisse AG - Hutchison European Put Wts Nov 2014 B	70,000,000	2014/05/13	2014/11/17	17.50
16311	Credit Suisse AG - Ping An European Warrants Nov 2014 A	70,000,000	2014/05/13	2014/11/17	18.20
16342	J P Morgan SP BV - Sinopec Corp European Warrants Dec 2014 A	150,000,000	2014/05/13	2014/12/01	40.20
16314	Macquarie Bank Ltd. - AIA European Warrants Jan 2015 A	40,000,000	2014/05/13	2015/01/05	10.00
16302	Macquarie Bank Ltd. - Tencent European Warrants Feb 2015 A	200,000,000	2014/05/13	2015/02/03	50.00
16292	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Wts Oct 2014A	40,000,000	2014/05/13	2014/10/08	10.00
16280	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Sep 2015 B	80,000,000	2014/05/13	2015/09/03	12.32
16278	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Oct 2015 A	40,000,000	2014/05/13	2015/10/15	10.00
16277	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2014 D	100,000,000	2014/05/13	2014/10/30	17.50
16294	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Dec 2014 B	40,000,000	2014/05/13	2014/12/03	11.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16293	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Dec 2014 C	40,000,000	2014/05/13	2014/12/23	12.40
16312	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Dec 2014B	70,000,000	2014/05/13	2014/12/03	21.70
16320	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Dec 2014 A	50,000,000	2014/05/13	2014/12/03	16.00
16325	UBS AG - Tencent Holdings European Warrants Nov 2014 B	100,000,000	2014/05/13	2014/11/17	18.00
16349	Credit Suisse AG - AIA European Warrants Nov 2014 B	70,000,000	2014/05/14	2014/11/24	10.50
16470	Credit Suisse AG - Galaxy Ent European Warrants Nov 2014 A	80,000,000	2014/05/14	2014/11/17	40.00
16437	Credit Suisse AG - Tencent Holdings European Wts Sep 2014 F	100,000,000	2014/05/14	2014/09/29	23.00
16438	Credit Suisse AG - Tencent Holdings European Wts Nov 2014 C	100,000,000	2014/05/14	2014/11/10	23.00
16456	Credit Suisse AG - Tencent Holdings European Wts Nov 2014 D	100,000,000	2014/05/14	2014/11/17	39.00
16453	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 C	100,000,000	2014/05/14	2014/12/22	16.00
16454	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 D	100,000,000	2014/05/14	2014/12/22	25.00
16455	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 E	100,000,000	2014/05/14	2014/12/15	34.00
16462	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 F	100,000,000	2014/05/14	2014/12/22	53.00
16464	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 G	100,000,000	2014/05/14	2014/12/01	60.00
16439	Credit Suisse AG - Tencent Holdings European Wts Jan 2015 A	100,000,000	2014/05/14	2015/01/30	22.00
16468	Credit Suisse AG - Tencent Holdings European Wts Jan 2015 B	100,000,000	2014/05/14	2015/01/26	82.00
16452	Credit Suisse AG - Tencent Holdings European Wts Jun 2015 A	100,000,000	2014/05/14	2015/06/01	32.00
16469	Credit Suisse AG - Tencent Holdings Euro Put Wts Nov 2014 E	100,000,000	2014/05/14	2014/11/17	26.00
16397	Daiwa Capital Mkt - Agricultural Bank European Wts Jan 2015 A	98,000,000	2014/05/14	2015/01/28	15.68
16395	Daiwa Capital Mkt - BYD European Warrants Nov 2014 A	68,000,000	2014/05/14	2014/11/14	17.00
16381	Daiwa Capital Mkt - Tencent Holdings European Wts Nov 2014 C	128,000,000	2014/05/14	2014/11/14	55.04
16383	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Jan 2015 A	108,000,000	2014/05/14	2015/01/30	27.00
16474	Goldman Sachs SP (Asia) - Sands China Euro Wts Nov 2014 B	80,000,000	2014/05/14	2014/11/27	33.12
16348	HK Bank - CITIC Sec European Warrants Dec 2014 A	150,000,000	2014/05/14	2014/12/31	37.50
16347	HK Bank - China Mobile European Warrants Oct 2014 B	80,000,000	2014/05/14	2014/10/28	12.00
16346	HK Bank - CSR European Warrants Sep 2016 A	200,000,000	2014/05/14	2016/09/27	30.00
16344	HK Bank - MGM China European Warrants Dec 2014 A	80,000,000	2014/05/14	2014/12/31	20.00
16477	J P Morgan SP BV - Country Garden European Warrants Jan 15 A	80,000,000	2014/05/14	2015/01/05	20.00
16475	J P Morgan SP BV - CPIC European Warrants Apr 2015 A	150,000,000	2014/05/14	2015/04/02	37.50
16478	J P Morgan SP BV - GCL-Poly European Warrants Dec 2014 A	100,000,000	2014/05/14	2014/12/03	25.00
16410	J P Morgan SP BV - Tencent European Warrants Oct 2014 J	200,000,000	2014/05/14	2014/10/28	91.00
16400	J P Morgan SP BV - Tencent European Warrants Dec 2014 C	200,000,000	2014/05/14	2014/12/01	80.40
16406	J P Morgan SP BV - Tencent European Warrants Dec 2014 D	200,000,000	2014/05/14	2014/12/01	50.80
16399	J P Morgan SP BV - Tencent European Warrants Jan 2015 B	200,000,000	2014/05/14	2015/01/02	65.00
16407	J P Morgan SP BV - Tencent European Warrants Mar 2015 A	200,000,000	2014/05/14	2015/03/02	53.00
16398	J P Morgan SP BV - Tencent European Put Warrants Dec 2014 B	150,000,000	2014/05/14	2014/12/01	107.85
16411	J P Morgan SP BV - Tencent European Put Warrants Jan 2015 C	150,000,000	2014/05/14	2015/01/02	39.00
16362	Macquarie Bank Ltd. - Galaxy Ent European Wts Oct 2014 B	67,000,000	2014/05/14	2014/10/06	10.05
16363	Macquarie Bank Ltd. - Greatwall Motor European Wts Dec 2014 A	40,000,000	2014/05/14	2014/12/02	10.00
16350	Standard Chartered Bank - Cheung Kong Euro Wts Dec 2014 A	40,000,000	2014/05/14	2014/12/03	10.00
16351	Standard Chartered Bank - Cheung Kong European Put Wt Dec14B	40,000,000	2014/05/14	2014/12/03	10.00
16352	Standard Chartered Bank - Evergrande European Wts Sep 2014 A	60,000,000	2014/05/14	2014/09/26	10.20
16413	Standard Chartered Bank - Tencent Hldg Euro Wts Nov 2014 D	100,000,000	2014/05/14	2014/11/13	51.00
16427	Standard Chartered Bank - Tencent Hldg Euro Wts Feb 2015 B	100,000,000	2014/05/14	2015/02/03	45.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16431	Standard Chartered Bank - Tencent Hldg Eu Put Wts Mar 2015 A	100,000,000	2014/05/14	2015/03/03	25.00
16471	SGA Societe Generale Acceptance N.V. - SHKP Eu Put Wt Jan15A	50,000,000	2014/05/14	2015/01/05	10.50
16379	UBS AG - CC Bank European Warrants Sep 2014 B	100,000,000	2014/05/14	2014/09/30	15.00
16378	UBS AG - HSBC European Put Warrants Sep 2014 E	70,000,000	2014/05/14	2014/09/30	10.50
16372	UBS AG - MGM China European Warrants Oct 2014 A	70,000,000	2014/05/14	2014/10/28	10.50
16355	UBS AG - Tencent Holdings European Warrants Sep 2014 J	100,000,000	2014/05/14	2014/09/29	17.00
16356	UBS AG - Tencent Holdings European Warrants Nov 2014 C	100,000,000	2014/05/14	2014/11/24	25.00
16361	UBS AG - Tencent Holdings European Warrants Nov 2014 D	100,000,000	2014/05/14	2014/11/17	46.00
16599	Credit Suisse AG - GCL-Poly Energy European Wts Dec 2014 A	50,000,000	2014/05/15	2014/12/22	12.50
16526	Daiwa Capital Mkt - Galaxy Ent European Warrants Nov 2014 A	98,000,000	2014/05/15	2014/11/14	33.32
16527	Daiwa Capital Mkt - Galaxy Ent European Put Wts Nov 2014 B	68,000,000	2014/05/15	2014/11/14	17.00
16533	Daiwa Capital Mkt - ICBC European Warrants Oct 2014 A	108,000,000	2014/05/15	2014/10/03	20.52
16532	Daiwa Capital Mkt - Sands China European Warrants Nov 2014 A	98,000,000	2014/05/15	2014/11/14	33.32
16677	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2014 F	150,000,000	2014/05/15	2014/08/28	27.15
16518	Goldman Sachs SP (Asia) - Hutchison European Wts Sep 2014 A	80,000,000	2014/05/15	2014/09/29	27.76
16515	Goldman Sachs SP (Asia) - Hutchison European Wts Dec 2015 A	80,000,000	2014/05/15	2015/12/29	12.08
16485	HK Bank - CSR Times European Warrants Dec 2014 A	80,000,000	2014/05/15	2014/12/31	20.00
16497	HK Bank - Galaxy Ent European Warrants Nov 2014 A	80,000,000	2014/05/15	2014/11/28	36.00
16488	HK Bank - Sands China European Warrants Sep 2014 B	80,000,000	2014/05/15	2014/09/29	27.20
16484	HK Bank - SJM Holdings European Warrants Dec 2014 A	80,000,000	2014/05/15	2014/12/31	20.00
16482	J P Morgan SP BV - BYD European Warrants Dec 2016 A	200,000,000	2014/05/15	2016/12/20	30.00
16568	J P Morgan SP BV - Galaxy Ent European Warrants Nov 2014 A	100,000,000	2014/05/15	2014/11/28	48.40
16573	J P Morgan SP BV - Galaxy Ent European Warrants Dec 2014 B	100,000,000	2014/05/15	2014/12/02	29.10
16561	J P Morgan SP BV - Galaxy Ent European Put Wts Dec 2014 A	100,000,000	2014/05/15	2014/12/01	31.30
16551	J P Morgan SP BV - Sands China European Warrants Dec 2014 B	100,000,000	2014/05/15	2014/12/01	37.70
16546	J P Morgan SP BV - Sands China European Put Wts Dec 2014 A	100,000,000	2014/05/15	2014/12/02	29.60
16538	J P Morgan SP BV - Wynn Macau European Warrants Dec 2014 A	80,000,000	2014/05/15	2014/12/30	20.00
16510	Macquarie Bank Ltd. - Ch Modern D European Warrants Nov 14 A	40,000,000	2014/05/15	2014/11/17	10.00
16672	Macquarie Bank Ltd. - China Unicom European Wts Jul 2015 A	80,000,000	2014/05/15	2015/07/03	20.00
16511	Macquarie Bank Ltd. - Galaxy Ent European Wts Dec 2014 A	40,000,000	2014/05/15	2014/12/02	10.00
16502	Macquarie Bank Ltd. - Sands China European Wts Nov 2014 A	50,000,000	2014/05/15	2014/11/04	10.05
16500	Macquarie Bank Ltd. - Sands China European Wts Apr 2017 A	67,000,000	2014/05/15	2017/04/04	10.05
16658	Standard Chartered Bank - Foxconn European Wts Sep 2014 A	50,000,000	2014/05/15	2014/09/25	10.00
16634	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Dec 2014A	70,000,000	2014/05/15	2014/12/03	10.50
16646	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Dec14A	100,000,000	2014/05/15	2014/12/03	33.00
16650	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Dec14C	80,000,000	2014/05/15	2014/12/03	33.20
16499	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Oct 14 B	100,000,000	2014/05/15	2014/10/06	29.50
16654	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Dec14B	100,000,000	2014/05/15	2014/12/03	46.00
16590	UBS AG - Galaxy Ent European Warrants Nov 2014 A	40,000,000	2014/05/15	2014/11/14	22.00
16581	UBS AG - Melco European Warrants Nov 2014 A	40,000,000	2014/05/15	2014/11/14	10.00
16587	UBS AG - Sands China European Warrants Sep 2014 C	40,000,000	2014/05/15	2014/09/25	16.00
16589	UBS AG - SJM Holdings European Warrants Sep 2014 A	60,000,000	2014/05/15	2014/09/29	11.40
16598	UBS AG - Wynn Macau European Warrants Nov 2014 A	50,000,000	2014/05/15	2014/11/14	13.50
17048	Citigroup Global Mkt H Inc. - Ping An Euro Wts Sep 2014 B	100,000,000	2014/05/16	2014/09/24	26.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
17065	Citigroup Global Mkt H Inc. - Ping An Euro Wts Oct 2014 B	100,000,000	2014/05/16	2014/10/30	15.00
17050	Citigroup Global Mkt H Inc. - Ping An Euro Wts Nov 2014 A	100,000,000	2014/05/16	2014/11/25	24.00
16860	Daiwa Capital Mkt - China Cinda European Warrants Dec 2014 A	68,000,000	2014/05/16	2014/12/01	17.00
16808	Daiwa Capital Mkt - China Mobile European Wts Jan 2015 A	108,000,000	2014/05/16	2015/01/02	16.20
16855	Daiwa Capital Mkt - Kingsoft European Warrants Nov 2014 A	80,000,000	2014/05/16	2014/11/18	20.00
17030	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Nov 2014 B	80,000,000	2014/05/16	2014/11/24	37.52
17007	Goldman Sachs SP (Asia) - HSI European Warrants Nov 2014 A	150,000,000	2014/05/16	2014/11/27	38.25
17029	Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2014 B	150,000,000	2014/05/16	2014/11/27	37.80
16798	HK Bank - BYD European Warrants Dec 2014 A	60,000,000	2014/05/16	2014/12/31	18.00
16792	HK Bank - China Unicom European Warrants Dec 2014 B	100,000,000	2014/05/16	2014/12/31	15.00
16787	HK Bank - GCL-Poly Energy European Warrants Dec 2014 A	60,000,000	2014/05/16	2014/12/31	15.00
16960	J P Morgan SP BV - Kingsoft European Warrants Dec 2014 B	150,000,000	2014/05/16	2014/12/01	37.50
16700	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Dec 2014 B	70,000,000	2014/05/16	2014/12/08	10.50
16701	Macquarie Bank Ltd. - CC Bank European Warrants Dec 2014 A	70,000,000	2014/05/16	2014/12/02	10.50
16703	Macquarie Bank Ltd. - Minsheng Bank European Wts Dec 2014 A	40,000,000	2014/05/16	2014/12/02	10.00
16708	Macquarie Bank Ltd. - Greatwall Motor European Wts Oct 2014 B	40,000,000	2014/05/16	2014/10/06	10.00
16678	Macquarie Bank Ltd. - ICBC European Warrants Jan 2015 A	70,000,000	2014/05/16	2015/01/05	10.50
16854	Nomura Int'l plc - Angang Steel European Warrants Dec 2014 A	50,000,000	2014/05/16	2014/12/31	27.50
16842	Nomura Int'l plc - Brilliance Chi European Warrants Dec 14 A	80,000,000	2014/05/16	2014/12/30	12.00
16907	Nomura Int'l plc - CITIC P European Warrants Jan 2015 A	80,000,000	2014/05/16	2015/01/26	12.00
16820	Nomura Int'l plc - China Life European Warrants Oct 2014 A	80,000,000	2014/05/16	2014/10/20	12.00
16845	Nomura Int'l plc - China Mobile European Warrants Oct 2014 A	80,000,000	2014/05/16	2014/10/30	13.60
16853	Nomura Int'l plc - Sinopec European Warrants Sep 2014 A	80,000,000	2014/05/16	2014/09/23	12.00
16850	Nomura Int'l plc - China Telecom European Wts Dec 2014 A	50,000,000	2014/05/16	2014/12/31	12.50
16848	Nomura Int'l plc - China Unicom European Warrants Dec 2014 A	80,000,000	2014/05/16	2014/12/30	12.00
16818	Nomura Int'l plc - GCL-Poly Energy European Warrants Dec14 A	50,000,000	2014/05/16	2014/12/31	15.00
16852	Nomura Int'l plc - HKEx European Warrants Jan 2015 A	80,000,000	2014/05/16	2015/01/05	12.00
16813	Nomura Int'l plc - Ping An European Warrants Nov 2014 A	50,000,000	2014/05/16	2014/11/18	17.00
16840	Nomura Int'l plc - Ping An European Put Warrants Sep 2014 B	50,000,000	2014/05/16	2014/09/24	16.50
16748	Standard Chartered Bank - China Life European Wts May 2015 A	100,000,000	2014/05/16	2015/05/29	25.00
16779	Standard Chartered Bank - China Mobile Eur Put Wt Oct 2014 C	80,000,000	2014/05/16	2014/10/08	12.00
16948	Standard Chartered Bank - Kingsoft European Wts Nov 2014 C	80,000,000	2014/05/16	2014/11/17	37.60
16953	Standard Chartered Bank - Kingsoft European Put Wts Nov 14 D	80,000,000	2014/05/16	2014/11/24	20.00
16741	Standard Chartered Bank - China Mengniu Euro Wts Feb 2015 A	50,000,000	2014/05/16	2015/02/03	12.50
16766	Standard Chartered Bank - Sands China Euro Wts Sep 2014 A	80,000,000	2014/05/16	2014/09/24	20.00
16767	Standard Chartered Bank - Sands China Euro Wts Oct 2014 A	80,000,000	2014/05/16	2014/10/17	16.00
16761	Standard Chartered Bank - Wynn Macau European Wts Dec 2014 A	50,000,000	2014/05/16	2014/12/22	12.50
16909	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Nov15A	80,000,000	2014/05/16	2015/11/04	20.00
16930	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Mar15A	50,000,000	2014/05/16	2015/03/04	17.50
16936	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Jan15A	50,000,000	2014/05/16	2015/01/05	16.75
16937	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Nov 14 A	150,000,000	2014/05/16	2014/11/27	37.50
16938	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Feb15A	50,000,000	2014/05/16	2015/02/04	18.75
16727	SGA Societe Generale Acceptance N.V. - ZTE Eu Wt Mar 2015 A	100,000,000	2014/05/16	2015/03/04	22.50
16893	UBS AG - China Mobile European Warrants Dec 2014 A	100,000,000	2014/05/16	2014/12/19	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
16905	UBS AG - China Tel European Warrants Nov 2014 A	50,000,000	2014/05/16	2014/11/03	11.00
16898	UBS AG - China Unicom European Warrants Dec 2014 B	100,000,000	2014/05/16	2014/12/24	15.00
16879	UBS AG - Galaxy Ent European Put Warrants Oct 2014 D	40,000,000	2014/05/16	2014/10/23	13.60
16946	UBS AG - Greatwall Motor European Warrants Oct 2014 B	70,000,000	2014/05/16	2014/10/08	10.50
16882	UBS AG - Greatwall Motor European Warrants Nov 2014 A	40,000,000	2014/05/16	2014/11/17	10.00
16880	UBS AG - HKEx European Warrants Dec 2015 B	200,000,000	2014/05/16	2015/12/24	50.00
16883	UBS AG - HSBC European Warrants Dec 2014 A	100,000,000	2014/05/16	2014/12/03	17.00
17214	BOCI Asia Ltd. - CC Bank European Warrants Nov 2014 A	100,000,000	2014/05/19	2014/11/03	16.00
17182	BOCI Asia Ltd. - China Cinda European Warrants Dec 2014 A	100,000,000	2014/05/19	2014/12/01	29.00
17187	BOCI Asia Ltd. - China Mobile European Put Wts Nov 2014 A	100,000,000	2014/05/19	2014/11/24	17.00
17254	BOCI Asia Ltd. - Galaxy Ent European Warrants Nov 2014 A	100,000,000	2014/05/19	2014/11/24	43.00
17233	BOCI Asia Ltd. - HKEx European Put Warrants May 2015 A	100,000,000	2014/05/19	2015/05/29	20.00
17260	BOCI Asia Ltd. - Hutchison European Warrants Nov 2014 A	100,000,000	2014/05/19	2014/11/24	32.00
17125	BOCI Asia Ltd. - Kingsoft European Warrants Nov 2014 B	100,000,000	2014/05/19	2014/11/28	31.00
17124	BOCI Asia Ltd. - Ping An European Warrants Dec 2014 A	100,000,000	2014/05/19	2014/12/01	25.00
17089	BOCI Asia Ltd. - Ping An European Put Warrants Nov 2014 A	100,000,000	2014/05/19	2014/11/24	25.00
17155	BOCI Asia Ltd. - Sands China European Warrants Nov 2014 A	100,000,000	2014/05/19	2014/11/24	39.00
17405	Citigroup Global Mkt H Inc. - AIA European Wts Sep 2014 A	100,000,000	2014/05/19	2014/09/24	19.50
17085	HK Bank - China Cinda European Warrants Nov 2014 A	60,000,000	2014/05/19	2014/11/28	18.00
17084	HK Bank - Greatwall Motor European Warrants Dec 2014 A	80,000,000	2014/05/19	2014/12/31	20.00
17069	HK Bank - HWL European Warrants Nov 2014 A	80,000,000	2014/05/19	2014/11/28	24.00
17078	HK Bank - Kingsoft European Warrants Nov 2014 C	60,000,000	2014/05/19	2014/11/28	18.00
17402	J P Morgan SP BV - Greatwall Motor European Wts Dec 2014 A	100,000,000	2014/05/19	2014/12/01	25.00
17401	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Dec 2014 A	40,000,000	2014/05/19	2014/12/02	10.00
17261	Standard Chartered Bank - Greatwall Motor Euro Wt Dec 2014 B	40,000,000	2014/05/19	2014/12/03	10.00
17088	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Jan15A	60,000,000	2014/05/19	2015/01/05	15.00
17326	UBS AG - Hutchison European Warrants Nov 2014 B	100,000,000	2014/05/19	2014/11/18	38.00
17314	UBS AG - Hutchison European Put Warrants Nov 2014 A	40,000,000	2014/05/19	2014/11/18	15.20
17278	UBS AG - Kingsoft European Warrants Nov 2014 A	100,000,000	2014/05/19	2014/11/18	25.00
18045	BNP Paribas Arbit Issu B.V. - Chi Res Land Eu Wt Jan 2015 A	100,000,000	2014/05/20	2015/01/05	15.00
17992	BNP Paribas Arbit Issu B.V. - Ping An European Wt Feb 2015 A	100,000,000	2014/05/20	2015/02/03	19.00
17991	BNP Paribas Arbit Issu B.V. - Wynn Macau Euro Wts Apr 2015 A	100,000,000	2014/05/20	2015/04/02	25.00
19038	Goldman Sachs SP (Asia) - CC Bank European Wts Oct 2014 A	80,000,000	2014/05/20	2014/10/24	12.72
18474	Goldman Sachs SP (Asia) - HSBC European Put Wts Oct 2014 A	80,000,000	2014/05/20	2014/10/03	13.20
19427	Goldman Sachs SP (Asia) - Kingsoft European Wts Nov 2014 A	80,000,000	2014/05/20	2014/11/28	20.32
19454	Goldman Sachs SP (Asia) - Ping An European Wts Nov 2014 B	80,000,000	2014/05/20	2014/11/28	25.68
17841	HK Bank - China Coal European Warrants Dec 2014 A	60,000,000	2014/05/20	2014/12/31	15.00
17695	HK Bank - Evergrande European Warrants Dec 2014 A	60,000,000	2014/05/20	2014/12/31	15.00
17661	HK Bank - Foxconn European Warrants Nov 2014 A	60,000,000	2014/05/20	2014/11/28	18.00
17850	HK Bank - Mengniu European Warrants Nov 2014 A	60,000,000	2014/05/20	2014/11/28	15.00
17734	HK Bank - PetroChina European Warrants Nov 2014 A	60,000,000	2014/05/20	2014/11/28	15.00
18395	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Jan 2015 A	300,000,000	2014/05/20	2015/01/29	75.00
18471	J P Morgan SP BV - HSI European Warrants Oct 2014 H	500,000,000	2014/05/20	2014/10/30	78.50
18472	J P Morgan SP BV - HSI European Warrants Nov 2014 A	500,000,000	2014/05/20	2014/11/27	129.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
17422	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Dec 2014 B	80,000,000	2014/05/20	2014/12/29	12.00
17509	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Put Wt Oct14B	40,000,000	2014/05/20	2014/10/23	10.20
17902	Merrill Lynch Int'l & Co. C.V. - Lenovo Euro Wts Dec 2015 A	80,000,000	2014/05/20	2015/12/17	12.00
17659	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Aug 2014 B	80,000,000	2014/05/20	2014/08/25	12.16
17569	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Oct 2014 B	80,000,000	2014/05/20	2014/10/23	12.08
17471	Merrill Lynch Int'l & Co. C.V. - Sands China Euro Wt Nov14 B	80,000,000	2014/05/20	2014/11/20	29.20
17430	Merrill Lynch Int'l & Co. C.V. - Sands China Eu Put Wt Nov14A	40,000,000	2014/05/20	2014/11/24	11.00
18473	Standard Chartered Bank - CITIC Sec European Wts Mar 2015 A	80,000,000	2014/05/20	2015/03/26	12.00
18240	Standard Chartered Bank - CLP European Warrants Jan 2015 A	80,000,000	2014/05/20	2015/01/30	20.00
18235	Standard Chartered Bank - A50 China Euro Put Wts Aug 2016 B	100,000,000	2014/05/20	2016/08/30	25.00
19135	Standard Chartered Bank - Haitong Sec Euro Wts Feb 2015 A	80,000,000	2014/05/20	2015/02/23	12.00
19134	SGA Societe Generale Acceptance NV - NewWorld Dev Eu Wt Mar15A	16,000,000	2014/05/20	2015/03/04	10.56
18230	UBS AG - HSCEI European Warrants Dec 2014 C	100,000,000	2014/05/20	2014/12/30	15.00
19371	UBS AG - Kingsoft European Put Warrants Nov 2014 B	50,000,000	2014/05/20	2014/11/19	12.50
18145	UBS AG - PetroChina European Warrants Nov 2014 A	50,000,000	2014/05/20	2014/11/19	12.50
20944	Credit Suisse AG - China Coal European Warrants Nov 2014 A	60,000,000	2014/05/21	2014/11/24	18.00
20587	Credit Suisse AG - Kingsoft European Warrants Nov 2014 A	60,000,000	2014/05/21	2014/11/24	15.00
20576	Goldman Sachs SP (Asia) - BOCL European Warrants Mar 2015 A	80,000,000	2014/05/21	2015/03/24	13.28
20962	J P Morgan SP BV - BankComm European Warrants Dec 2014 A	40,000,000	2014/05/21	2014/12/01	10.00
21259	J P Morgan SP BV - China Life European Put Wts Dec 2014 A	180,000,000	2014/05/21	2014/12/01	27.00
21263	J P Morgan SP BV - China Mob European Put Wts Dec 2014 A	180,000,000	2014/05/21	2014/12/01	51.48
19871	J P Morgan SP BV - China Mengniu European Warrants Dec 2014A	100,000,000	2014/05/21	2014/12/01	25.40
20307	J P Morgan SP BV - PetroChina European Warrants Dec 2014 A	100,000,000	2014/05/21	2014/12/29	25.40
19850	Standard Chartered Bank - CAM CSI300 European Wts Apr 2015 A	100,000,000	2014/05/21	2015/04/01	25.00
19737	Standard Chartered Bank - Huishan Dairy Euro Wts Dec 2015 A	30,000,000	2014/05/21	2015/12/31	13.80
19732	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Feb 2015 A	40,000,000	2014/05/21	2015/02/04	10.20
19735	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Feb 2015A	60,000,000	2014/05/21	2015/02/04	15.00
22147	BOCI Asia Ltd. - HKEx European Warrants Jun 2015 A	100,000,000	2014/05/22	2015/06/29	15.00
21305	BOCI Asia Ltd. - HSI European Warrants Oct 2014 C	100,000,000	2014/05/22	2014/10/30	15.00
21349	BOCI Asia Ltd. - HSI European Warrants Oct 2014 D	100,000,000	2014/05/22	2014/10/30	18.00
21304	BOCI Asia Ltd. - HSI European Put Warrants Oct 2014 B	100,000,000	2014/05/22	2014/10/30	15.00
21923	BOCI Asia Ltd. - Tencent Holdings European Wts Nov 2014 A	100,000,000	2014/05/22	2014/11/24	28.00
22096	BOCI Asia Ltd. - Tencent Holdings European Wts Nov 2014 B	100,000,000	2014/05/22	2014/11/17	46.00
22065	BOCI Asia Ltd. - Tencent Holdings European Wts Dec 2014 A	100,000,000	2014/05/22	2014/12/29	41.00
21819	BOCI Asia Ltd. - Tencent Holdings European Put Wts Oct 2014 E	100,000,000	2014/05/22	2014/10/27	33.00
21624	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jan 2015 C	100,000,000	2014/05/22	2015/01/05	15.00
21783	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Jan 15 A	100,000,000	2014/05/22	2015/01/05	25.00
21597	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Jan 2016 A	100,000,000	2014/05/22	2016/01/05	25.00
21675	BNP Paribas Arbit Issu B.V. - ICBC European Wts Jun 2016 A	100,000,000	2014/05/22	2016/06/02	25.00
22264	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Nov 2014 A	80,000,000	2014/05/22	2014/11/21	20.00
22231	Goldman Sachs SP (Asia) - CITIC Pacific Euro Wts May 2015 A	80,000,000	2014/05/22	2015/05/04	12.24
22219	Goldman Sachs SP (Asia) - China Tel European Wts Dec 2014 A	80,000,000	2014/05/22	2014/12/30	20.24
22285	J P Morgan SP BV - HSI European Warrants Aug 2014 H	500,000,000	2014/05/22	2014/08/28	75.00
22348	J P Morgan SP BV - HSI European Warrants Dec 2014 B	500,000,000	2014/05/22	2014/12/30	126.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
22266	J P Morgan SP BV - HSI European Warrants Mar 2015 A	500,000,000	2014/05/22	2015/03/30	125.50
21423	Standard Chartered Bank - Brilliance Chi Euro Wts Oct 2015 A	50,000,000	2014/05/22	2015/10/07	12.50
21592	SGA Societe Generale Acceptance N.V. - CMBC Eu Put Wt Dec15A	200,000,000	2014/05/22	2015/12/02	50.00
22176	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Nov 14 B	150,000,000	2014/05/22	2014/11/05	97.50
22195	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jan 15 B	150,000,000	2014/05/22	2015/01/05	63.75
22213	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Dec14C	150,000,000	2014/05/22	2014/12/03	68.25
22216	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt May15A	500,000,000	2014/05/22	2015/05/06	125.00
22891	Credit Suisse - Cheung Kong European Put Warrants Nov 2014 B	50,000,000	2014/05/23	2014/11/24	13.50
23022	Goldman Sachs SP (Asia) - China Cinda Euro Wts Dec 2014 A	80,000,000	2014/05/23	2014/12/30	31.92
23019	Goldman Sachs SP (Asia) - CNOOC European Warrants Nov 2014 B	80,000,000	2014/05/23	2014/11/28	25.84
22971	Goldman Sachs SP (Asia) - Geely Auto European Wts Feb 2015 A	80,000,000	2014/05/23	2015/02/02	20.40
22374	J P Morgan SP BV - China Life European Warrants Jan 2015 A	300,000,000	2014/05/23	2015/01/02	45.00
22877	Macquarie Bank Ltd. - China Mobile European Wts Nov 2014 B	100,000,000	2014/05/23	2014/11/04	15.00
22378	Macquarie Bank Ltd. - Shunfeng Pv European Warrants Mar 15 A	40,000,000	2014/05/23	2015/03/03	10.00
22462	Standard Chartered Bank - Chi Res Power Euro Wts Jan 2015 A	80,000,000	2014/05/23	2015/01/29	20.00
22604	Standard Chartered Bank - HSBC European Warrants Dec 2014 A	100,000,000	2014/05/23	2014/12/30	25.00
22393	Standard Chartered Bank - Link REIT European Wts Oct 2015 A	50,000,000	2014/05/23	2015/10/30	12.50
22591	Standard Chartered Bank - Tracker Fund Euro Wts Mar 2015 A	100,000,000	2014/05/23	2015/03/30	18.00
22499	Standard Chartered Bank - Tracker Fund Eu Put Wt Dec 2014 B	100,000,000	2014/05/23	2014/12/29	15.00
22618	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Jan17A	300,000,000	2014/05/23	2017/01/04	45.00
22695	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jun 2015 B	100,000,000	2014/05/23	2015/06/03	22.00
22730	SGA Societe Generale Acceptance N.V. - MGM China Eu Wt Jan15A	50,000,000	2014/05/23	2015/01/05	12.50
22638	SGA Societe Generale Acceptance N.V. - Wynn Macau Eu Wt Jan15A	40,000,000	2014/05/23	2015/01/05	14.20
22778	UBS AG - Galaxy Ent European Warrants Nov 2014 B	35,000,000	2014/05/23	2014/11/24	11.55
22793	UBS AG - HSBC European Warrants Nov 2014 A	100,000,000	2014/05/23	2014/11/28	25.00
22892	UBS AG - Lenovo European Warrants Jun 2015 B	70,000,000	2014/05/23	2015/06/30	10.50
22664	UBS AG - Tencent Holdings European Warrants Aug 2015 A	500,000,000	2014/05/23	2015/08/31	125.00
22642	UBS AG - Tencent Holdings European Put Warrants Oct 2014 C	100,000,000	2014/05/23	2014/10/15	36.00
23304	Goldman Sachs SP (Asia) - Cheung Kong Euro Wts Jan 2015 A	80,000,000	2014/05/26	2015/01/05	30.08
23306	Goldman Sachs SP (Asia) - China Life Euro Put Wts Mar 2015 A	80,000,000	2014/05/26	2015/03/31	20.00
23163	HK Bank - ICBC European Warrants Dec 2014 B	100,000,000	2014/05/26	2014/12/24	18.00
23284	J P Morgan SP BV - Shunfeng Pv European Warrants Mar 2015 A	60,000,000	2014/05/26	2015/03/03	15.24
23296	J P Morgan SP BV - Tencent European Warrants Sep 2015 A	500,000,000	2014/05/26	2015/09/29	125.00
23295	J P Morgan SP BV - Tencent European Put Warrants Dec 2015 A	500,000,000	2014/05/26	2015/12/30	125.00
23023	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Put Wts Dec 2014 A	40,000,000	2014/05/26	2014/12/22	10.00
23108	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Dec 14 C	60,000,000	2014/05/26	2014/12/02	10.62
23114	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Sep 2014 C	70,000,000	2014/05/26	2014/09/01	10.57
23097	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Put Wt Dec14A	60,000,000	2014/05/26	2014/12/01	12.36
23042	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Eu Put Wt Nov 2014B	70,000,000	2014/05/26	2014/11/03	12.46
23164	Standard Chartered Bank - China Cinda Euro Wts Sep 2014 B	50,000,000	2014/05/26	2014/09/23	10.00
23261	Standard Chartered Bank - China Cinda Euro Put Wts Dec 14 A	25,000,000	2014/05/26	2014/12/03	10.00
23127	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2014 A	100,000,000	2014/05/26	2014/12/03	15.50
10293	UBS AG - Nikkei 225 European Warrants Dec 2014 D	100,000,000	2014/05/26	2014/12/12	25.00
10295	UBS AG - Nikkei 225 European Warrants Dec 2014 E	100,000,000	2014/05/26	2014/12/12	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
10292	UBS AG - Nikkei 225 European Warrants Mar 2015 B	100,000,000	2014/05/26	2015/03/13	25.00
10294	UBS AG - Nikkei 225 European Warrants Mar 2015 C	100,000,000	2014/05/26	2015/03/13	25.00
10291	UBS AG - Nikkei 225 European Put Warrants Dec 2014 C	100,000,000	2014/05/26	2014/12/12	25.00
10290	UBS AG - Nikkei 225 European Put Warrants Mar 2015 A	100,000,000	2014/05/26	2015/03/13	25.00
23481	Credit Suisse AG - BOCL European Warrants Mar 2015 A	70,000,000	2014/05/27	2015/03/30	10.50
23474	Credit Suisse AG - CC Bank European Warrants Nov 2014 A	70,000,000	2014/05/27	2014/11/28	10.50
23478	Credit Suisse AG - China Mobile European Warrants Oct 2014 B	80,000,000	2014/05/27	2014/10/31	19.20
23479	Credit Suisse AG - China Shenhua European Wts Mar 2015 A	70,000,000	2014/05/27	2015/03/30	10.50
23453	Credit Suisse AG - HSBC European Wts Oct 2014 A	70,000,000	2014/05/27	2014/10/13	10.50
23455	Credit Suisse AG - Jiangxi Copper European Wts Nov 2015 A	60,000,000	2014/05/27	2015/11/30	11.40
23461	Credit Suisse AG - ZTE European Warrants Oct 2014 A	70,000,000	2014/05/27	2014/10/27	10.50
23440	Daiwa Capital Mkt - China Life European Warrants Dec 2014 C	128,000,000	2014/05/27	2014/12/15	20.48
23452	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Oct 2014 D	108,000,000	2014/05/27	2014/10/15	27.00
23531	Bank of East Asia - China Cinda European Warrants Mar 2015 A	30,000,000	2014/05/27	2015/03/30	11.58
23526	Bank of East Asia - CITIC Sec European Warrants May 2015 A	40,000,000	2014/05/27	2015/05/29	10.00
23548	Bank of East Asia - China Life European Put Wts May 2015 A	40,000,000	2014/05/27	2015/05/29	10.00
23603	Bank of East Asia - Kingsoft European Warrants Dec 2014 A	40,000,000	2014/05/27	2014/12/12	15.00
23601	Bank of East Asia - Ping An European Put Warrants Dec 2014 A	40,000,000	2014/05/27	2014/12/03	10.00
23339	HK Bank - BOCL European Warrants Mar 2015 A	80,000,000	2014/05/27	2015/03/24	12.00
23321	HK Bank - China Life European Warrants Dec 2014 C	100,000,000	2014/05/27	2014/12/12	15.00
23398	HK Bank - China Mobile European Put Warrants Dec 2014 A	60,000,000	2014/05/27	2014/12/31	15.00
23315	HK Bank - Tencent European Put Warrants Feb 2015 A	100,000,000	2014/05/27	2015/02/27	25.00
23511	J P Morgan SP BV - China Cinda European Warrants Dec 2014 A	100,000,000	2014/05/27	2014/12/02	36.50
23513	J P Morgan SP BV - Foxconn European Warrants Nov 2014 A	40,000,000	2014/05/27	2014/11/26	10.04
23506	J P Morgan SP BV - Link Reit European Warrants Apr 2015 A	100,000,000	2014/05/27	2015/04/02	25.30
23501	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 15 C	100,000,000	2014/05/27	2015/01/05	15.00
23399	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2014 B	100,000,000	2014/05/27	2014/12/03	27.00
23402	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Dec 14C	100,000,000	2014/05/27	2014/12/03	28.00
23483	SGA Societe Generale Acceptance N.V. - Ch Mengniu Eu Wt Feb15A	30,000,000	2014/05/27	2015/02/04	12.75
23413	UBS AG - Lenovo European Warrants Dec 2014 A	40,000,000	2014/05/27	2014/12/15	11.20
23436	UBS AG - Tencent Holdings European Put Warrants Nov 2014 E	100,000,000	2014/05/27	2014/11/24	40.00
13428#	J P Morgan SP BV - HSI European Put Warrants Aug 2014 D	500,000,000	2014/05/27	2014/08/28	20.50
23685	Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2014 B	80,000,000	2014/05/28	2014/12/01	20.32
23688	Goldman Sachs SP (Asia) - China Mobile Euro Wts Mar 2015 A	80,000,000	2014/05/28	2015/03/02	20.32
23777	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Nov 2014 C	80,000,000	2014/05/28	2014/11/28	21.20
23803	Goldman Sachs SP (Asia) - Hutchison European Wts Nov 2014 A	80,000,000	2014/05/28	2014/11/28	23.76
23786	Goldman Sachs SP (Asia) - Link REIT European Wts Mar 2015 A	80,000,000	2014/05/28	2015/03/30	20.00
23680	HK Bank - China Mobile European Warrants Nov 2014 A	80,000,000	2014/05/28	2014/11/24	12.00
23641	HK Bank - HSCEI European Warrants Dec 2014 D	150,000,000	2014/05/28	2014/12/30	37.50
23642	HK Bank - HSCEI European Put Warrants Dec 2014 E	150,000,000	2014/05/28	2014/12/30	37.50
23613	HK Bank - HSI European Warrants Nov 2014 A	150,000,000	2014/05/28	2014/11/27	37.50
23619	HK Bank - HSI European Warrants Nov 2014 B	150,000,000	2014/05/28	2014/11/27	37.50
23640	HK Bank - HSI European Put Warrants Nov 2014 C	150,000,000	2014/05/28	2014/11/27	37.50
23689	Macquarie Bank Ltd. - PetroChina European Wts Dec 2014 A	35,000,000	2014/05/28	2014/12/02	10.15

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23713	Macquarie Bank Ltd. - Tencent European Warrants Nov 2014 C	55,000,000	2014/05/28	2014/11/04	10.73
23700	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Nov 2014 A	100,000,000	2014/05/28	2014/11/27	25.50
23711	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jul 2015 A	100,000,000	2014/05/28	2015/07/30	16.80
23705	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2014 B	100,000,000	2014/05/28	2014/11/27	25.00
23725	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2016 C	300,000,000	2014/05/28	2016/01/04	54.00
23717	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2014 B	150,000,000	2014/05/28	2014/11/27	29.25
23732	UBS AG - Cheung Kong European Put Warrants Dec 2014 A	40,000,000	2014/05/28	2014/12/15	10.00
23729	UBS AG - China Mobile European Put Warrants Nov 2014 A	60,000,000	2014/05/28	2014/11/24	17.10
23735	UBS AG - Fosun Pharma European Warrants Nov 2014 A	26,000,000	2014/05/28	2014/11/27	10.14
23737	UBS AG - Fosun Pharma European Warrants Aug 2015 A	50,000,000	2014/05/28	2015/08/11	10.00
23815	UBS AG - Link REIT European Warrants Jul 2015 A	40,000,000	2014/05/28	2015/07/20	10.00
23759	UBS AG - Xinyi Glass European Warrants Nov 2014 A	35,000,000	2014/05/28	2014/11/27	10.15
23926	Credit Suisse AG - HSI European Put Warrants Oct 2014 D	150,000,000	2014/05/29	2014/10/30	22.50
23933	Credit Suisse AG - HSI European Put Warrants Oct 2014 E	150,000,000	2014/05/29	2014/10/30	22.50
23998	Daiwa Capital Mkt - Sinopec Corp European Wts Nov 2014 B	80,000,000	2014/05/29	2014/11/03	13.60
23877	HK Bank - Tencent European Put Warrants Nov 2014 A	80,000,000	2014/05/29	2014/11/24	32.00
24015	J P Morgan SP BV - Anton Oilfield European Wts Sep 2016 A	80,000,000	2014/05/29	2016/09/02	20.00
24023	J P Morgan SP BV - China Mob European Wts Dec 2014 B	200,000,000	2014/05/29	2014/12/01	50.00
24018	J P Morgan Int'l Der. Ltd. - Sinopec Corp Eu Put Wt Dec 14 B	80,000,000	2014/05/29	2014/12/01	27.92
23844	Macquarie Bank Ltd. - Lenovo European Warrants Dec 2014 A	30,000,000	2014/05/29	2014/12/02	10.02
23837	Macquarie Bank Ltd. - Tencent European Put Wts Sep 2014 A	40,000,000	2014/05/29	2014/09/02	11.44
23924	Standard Chartered Bank - Anhui Conch Euro Wts Feb 2015 A	80,000,000	2014/05/29	2015/02/04	17.60
23896	Standard Chartered Bank - CC Bank European Wts Feb 2015 A	80,000,000	2014/05/29	2015/02/13	24.00
23871	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Feb 2015 B	40,000,000	2014/05/29	2015/02/04	22.00
24051	UBS AG - Chsoutcity European Warrants Nov 2014 A	20,000,000	2014/05/29	2014/11/28	10.00
24085	UBS AG - Shunfeng Pv European Warrants Nov 2014 A	40,000,000	2014/05/29	2014/11/28	10.20
24316	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Feb 2015 A	100,000,000	2014/05/30	2015/02/03	25.00
24341	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Jan 2015 D	100,000,000	2014/05/30	2015/01/05	40.00
24117	J P Morgan SP BV - China Gas Hold European Wts Sep 2015 A	80,000,000	2014/05/30	2015/09/07	20.08
24096	J P Morgan SP BV - Kingsoft European Warrants Dec 2014 C	100,000,000	2014/05/30	2014/12/01	25.10
24094	J P Morgan SP BV - Ping An European Warrants Dec 2014 A	150,000,000	2014/05/30	2014/12/01	37.50
24086	Macquarie Bank Ltd. - Tingyi European Warrants Mar 2015 A	40,000,000	2014/05/30	2015/03/03	10.00
24303	Nomura Int'l plc - Anton Oilfield European Warrants Aug 16 A	50,000,000	2014/05/30	2016/08/08	12.50
24257	Nomura Int'l plc - BJ Ent Water European Warrants Dec 2014 A	50,000,000	2014/05/30	2014/12/18	18.00
24308	Nomura Int'l plc - China EB Int'l European Wts Oct 2015 B	80,000,000	2014/05/30	2015/10/23	12.64
24272	Nomura Int'l plc - China Gas Hold European Wts Jun 2015 B	50,000,000	2014/05/30	2015/06/30	12.50
24295	Nomura Int'l plc - Chi Res Land European Warrants Dec 2014 A	80,000,000	2014/05/30	2014/12/24	12.00
24314	Nomura Int'l plc - Chi Res Land European Warrants Jul 2015 A	80,000,000	2014/05/30	2015/07/28	13.60
24231	Nomura Int'l plc - HSBC European Warrants Dec 2014 A	80,000,000	2014/05/30	2014/12/03	12.00
24307	Nomura Int'l plc - Kingsoft European Warrants Dec 2014 A	50,000,000	2014/05/30	2014/12/01	15.50
24279	Nomura Int'l plc - Lenovo European Put Warrants Jul 2015 A	80,000,000	2014/05/30	2015/07/31	14.40
24251	Nomura Int'l plc - SHK Ppt European Warrants Dec 2014 A	50,000,000	2014/05/30	2014/12/31	12.50
24344	Standard Chartered Bank - China Mobile Euro Wts Dec 2014 A	80,000,000	2014/05/30	2014/12/03	21.60
24367	Standard Chartered Bank - China Mobile Euro Wts Dec 2014 B	80,000,000	2014/05/30	2014/12/19	12.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
24345	Standard Chartered Bank - China Mobile Eur Put Wt Nov 2014 A	80,000,000	2014/05/30	2014/11/24	21.60
24369	Standard Chartered Bank - Lenovo European Wts Jun 2015 A	100,000,000	2014/05/30	2015/06/26	15.00
24370	Standard Chartered Bank - Lenovo European Wts Jun 2016 A	100,000,000	2014/05/30	2016/06/30	25.00
24134	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Feb 2015 A	100,000,000	2014/05/30	2015/02/04	22.00
24401	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Apr15B	100,000,000	2014/05/30	2015/04/08	20.00
24388	SGA Societe Generale Acceptance NV - Chi Res Land Eu Wt Aug15A	70,000,000	2014/05/30	2015/08/05	11.20
24175	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Aug 2018A	400,000,000	2014/05/30	2018/08/01	68.00
24403	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Jul 15 A	120,000,000	2014/05/30	2015/07/06	18.00
24216	SGA Societe Generale Acceptance N.V. - Link REIT Eu Wt Apr15A	40,000,000	2014/05/30	2015/04/08	10.00
24430	SGA Societe Generale Acceptance N.V. - Shunfeng Pv Eu Wt Feb15A	50,000,000	2014/05/30	2015/02/04	12.75
24377	UBS AG - Chi Res Land European Warrants Dec 2014 A	70,000,000	2014/05/30	2014/12/23	10.50
24386	UBS AG - Haier Elec European Warrants Oct 2014 A	70,000,000	2014/05/30	2014/10/23	10.50
24456	BOCI Asia Ltd. - A50 China European Warrants Dec 2014 A	100,000,000	2014/06/03	2014/12/01	15.00
24458	BOCI Asia Ltd. - A50 China European Warrants Jul 2015 A	100,000,000	2014/06/03	2015/07/27	25.00
24489	J P Morgan SP BV - BYD European Warrants Dec 2014 A	100,000,000	2014/06/03	2014/12/02	25.20
24478	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Apr15A	200,000,000	2014/06/03	2015/04/01	36.00
24479	UBS AG - BYD European Warrants Dec 2014 A	50,000,000	2014/06/03	2014/12/02	20.00
24484	UBS AG - Ping An European Warrants Nov 2014 A	60,000,000	2014/06/03	2014/11/10	19.20
24516	HK Bank - Anhui Conch European Warrants Jan 2015 A	80,000,000	2014/06/04	2015/01/28	15.20
24564	HK Bank - BYD European Warrants Dec 2016 B	150,000,000	2014/06/04	2016/12/30	22.50
24569	HK Bank - CPIC European Warrants Feb 2015 A	60,000,000	2014/06/04	2015/02/27	15.00
24550	HK Bank - CSOP A50 ETF European Warrants Dec 2014 A	60,000,000	2014/06/04	2014/12/31	15.00
24539	HK Bank - A50 China European Warrants Dec 2014 B	60,000,000	2014/06/04	2014/12/31	15.00
24622	Macquarie Bank Ltd. - BYD European Warrants Jan 2017 A	150,000,000	2014/06/04	2017/01/04	22.50
24623	Macquarie Bank Ltd. - China Life European Wts Jan 2015 A	70,000,000	2014/06/04	2015/01/05	10.50
24576	Macquarie Bank Ltd. - Kingsoft European Warrants Jan 2015 A	30,000,000	2014/06/04	2015/01/05	10.02
24628	Macquarie Bank Ltd. - Macau Legend European Warrants Feb16 A	40,000,000	2014/06/04	2016/02/02	10.00
24604	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jan 2015 A	40,000,000	2014/06/04	2015/01/05	10.00
24581	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jan 2015 A	40,000,000	2014/06/04	2015/01/06	10.40
24584	Standard Chartered Bank - BYD European Warrants Dec 2014 A	40,000,000	2014/06/04	2014/12/22	20.00
24592	Standard Chartered Bank - Kingsoft European Wts Oct 2014 B	60,000,000	2014/06/04	2014/10/17	12.00
24690	BOCI Asia Ltd. - China Mobile European Warrants Nov 2014 B	100,000,000	2014/06/05	2014/11/05	18.00
24697	BOCI Asia Ltd. - HKEx European Warrants Sep 2015 B	100,000,000	2014/06/05	2015/09/07	15.00
24679	BOCI Asia Ltd. - Kingsoft European Warrants Nov 2014 C	100,000,000	2014/06/05	2014/11/03	30.00
24715	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Oct 2014 A	100,000,000	2014/06/05	2014/10/28	19.00
24726	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Oct 2014 B	100,000,000	2014/06/05	2014/10/29	24.00
24708	Citigroup Global Mkt H Inc. - Tencent European Wts Nov 2014 A	100,000,000	2014/06/05	2014/11/17	45.00
24714	Citigroup Global Mkt H Inc. - Tencent European Wts Nov 2014 B	100,000,000	2014/06/05	2014/11/07	58.00
24703	Daiwa Capital Mkt - BYD European Warrants Dec 2014 A	80,000,000	2014/06/05	2014/12/04	26.40
24631	HK Bank - Sinopec Corp European Warrants Dec 2014 A	60,000,000	2014/06/05	2014/12/31	15.00
24665	HK Bank - SHK Ppt European Warrants Dec 2014 A	60,000,000	2014/06/05	2014/12/31	15.00
24762	Daiwa Capital Mkt - Kingsoft European Warrants Sep 2014 C	80,000,000	2014/06/06	2014/09/10	18.40
24800	Macquarie Bank Ltd. - Avichina European Warrants Feb 2015 A	40,000,000	2014/06/06	2015/02/03	10.00
24813	Macquarie Bank Ltd. - Galaxy Ent European Wts Oct 2014 C	50,000,000	2014/06/06	2014/10/06	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
24799	Macquarie Bank Ltd. - HKEx European Warrants Jul 2015 B	200,000,000	2014/06/06	2015/07/03	30.00
24798	Macquarie Bank Ltd. - HKEx European Put Warrants Oct 2015 A	200,000,000	2014/06/06	2015/10/05	30.00
24790	Macquarie Bank Ltd. - Ping An European Warrants Jan 2015 A	80,000,000	2014/06/06	2015/01/05	20.00
24747	Standard Chartered Bank - BOC HK European Wts Dec 2014 A	100,000,000	2014/06/06	2014/12/31	15.00
24761	Standard Chartered Bank - China Life European Wts Oct 2015 A	100,000,000	2014/06/06	2015/10/08	25.00
24754	Standard Chartered Bank - Wharf European Warrants Jan 2015 A	80,000,000	2014/06/06	2015/01/05	14.40
24728	SGA Societe Generale Acceptance N.V. - BOCL Eu Put Wt Feb15A	100,000,000	2014/06/06	2015/02/04	31.00
24741	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Nov 2014 B	40,000,000	2014/06/06	2014/11/05	11.60
24789	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Nov14B	50,000,000	2014/06/06	2014/11/05	20.00
24784	UBS AG - HSI European Put Warrants Dec 2014 B	300,000,000	2014/06/06	2014/12/30	75.00
24816	BOCI Asia Ltd. - BYD European Warrants Dec 2014 A	100,000,000	2014/06/09	2014/12/15	31.00
24838	BOCI Asia Ltd. - Cheung Kong European Warrants Dec 2015 A	100,000,000	2014/06/09	2015/12/21	25.00
24826	BOCI Asia Ltd. - Hutchison European Warrants Dec 2014 A	100,000,000	2014/06/09	2014/12/05	32.00
24824	BOCI Asia Ltd. - SHK Ppt European Warrants Feb 2015 A	100,000,000	2014/06/09	2015/02/24	29.00
24916	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2014 A	150,000,000	2014/06/09	2014/12/30	37.50
24915	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2015 A	150,000,000	2014/06/09	2015/04/29	37.50
24897	Macquarie Bank Ltd. - Anhui Conch European Wts Feb 2015 A	40,000,000	2014/06/09	2015/02/03	10.00
24841	Macquarie Bank Ltd. - Shunfeng Pv European Warrants Jan 15 A	40,000,000	2014/06/09	2015/01/05	10.00
24844	Standard Chartered Bank - HSI European Warrants Sep 2014 C	100,000,000	2014/06/09	2014/09/29	15.30
24850	Standard Chartered Bank - HSI European Warrants Oct 2014 C	100,000,000	2014/06/09	2014/10/30	15.00
24859	Standard Chartered Bank - HSI European Warrants Nov 2014 A	100,000,000	2014/06/09	2014/11/27	15.00
24870	Standard Chartered Bank - HSI European Warrants Jul 2015 A	100,000,000	2014/06/09	2015/07/30	15.00
24879	Standard Chartered Bank - HSI European Put Wts Sep 2014 D	100,000,000	2014/06/09	2014/09/29	15.00
24881	Standard Chartered Bank - HSI European Put Wts Oct 2014 D	100,000,000	2014/06/09	2014/10/30	15.00
24883	Standard Chartered Bank - HSI European Put Wts Nov 2014 B	100,000,000	2014/06/09	2014/11/27	15.10
24909	Standard Chartered Bank - Kingsoft European Wts Nov 2014 E	60,000,000	2014/06/09	2014/11/21	15.60
24903	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Feb15B	150,000,000	2014/06/09	2015/02/09	48.00
24898	UBS AG - Kingsoft European Warrants Nov 2014 C	100,000,000	2014/06/09	2014/11/24	18.50
24946	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Nov 2014 A	100,000,000	2014/06/10	2014/11/27	20.00
24941	Credit Suisse AG - Cheung Kong European Warrants Dec 2014 A	80,000,000	2014/06/10	2014/12/09	26.40
24942	Credit Suisse - Cheung Kong European Put Warrants Dec 2014 B	80,000,000	2014/06/10	2014/12/09	20.80
24956	Credit Suisse AG - Tencent Holdings European Wts Sep 2014 G	100,000,000	2014/06/10	2014/09/15	15.00
24947	Daiwa Capital Mkt - CCB European Warrants Nov 2014 B	128,000,000	2014/06/10	2014/11/21	20.48
24948	Daiwa Capital Mkt - A50 China European Warrants Nov 2014 C	108,000,000	2014/06/10	2014/11/26	16.20
24997	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Dec 2014 A	50,000,000	2014/06/10	2014/12/11	12.50
24999	Goldman Sachs SP (Asia) - Sinopec Corp Euro Put Wts Dec14A	50,000,000	2014/06/10	2014/12/11	15.70
24995	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Dec 2014 A	80,000,000	2014/06/10	2014/12/11	24.00
24983	Goldman Sachs SP (Asia) - Xinyi Glass European Wts Dec 2014A	50,000,000	2014/06/10	2014/12/11	16.40
24932	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Nov 14 B	60,000,000	2014/06/10	2014/11/26	10.38
24919	Merrill Lynch Int'l & Co. C.V. - Hutchison Eu Put Wt Nov 14 A	70,000,000	2014/06/10	2014/11/11	10.50
24918	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Feb 2015C	60,000,000	2014/06/10	2015/02/18	10.98
24917	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Apr 2015C	50,000,000	2014/06/10	2015/04/30	11.75
24974	Standard Chartered Bank - Hutchison Euro Euro Wts May 2015 A	80,000,000	2014/06/10	2015/05/18	36.00
24971	Standard Chartered Bank - Hutchison Euro Euro Wts Jun 2016 A	200,000,000	2014/06/10	2016/06/08	50.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24952	SGA Societe Generale Acceptance N.V. - CCB Euro Put Wt Feb15A	100,000,000	2014/06/10	2015/02/04	43.50
24955	UBS AG - Cheung Kong European Warrants Dec 2014 B	50,000,000	2014/06/10	2014/12/09	20.00
25092	BOCI Asia Ltd. - HSI European Warrants Dec 2014 A	100,000,000	2014/06/11	2014/12/30	21.00
25094	BOCI Asia Ltd. - HSI European Warrants Mar 2015 A	100,000,000	2014/06/11	2015/03/30	20.00
25099	BOCI Asia Ltd. - HSI European Put Warrants Oct 2014 E	100,000,000	2014/06/11	2014/10/30	15.00
25098	BOCI Asia Ltd. - HSI European Put Warrants Nov 2014 A	100,000,000	2014/06/11	2014/11/27	15.00
25026	HK Bank - Agricultural Bank European Warrants Dec 2014 B	80,000,000	2014/06/11	2014/12/09	16.00
25024	HK Bank - ICBC European Warrants Oct 2014 A	80,000,000	2014/06/11	2014/10/27	12.00
25028	HK Bank - Tencent European Warrants Oct 2014 A	80,000,000	2014/06/11	2014/10/27	29.60
25045	HK Bank - Tencent European Warrants Oct 2014 B	80,000,000	2014/06/11	2014/10/31	19.20
25029	HK Bank - Tencent European Warrants Nov 2014 B	80,000,000	2014/06/11	2014/11/28	28.00
25065	HK Bank - Tencent European Put Warrants Oct 2014 C	80,000,000	2014/06/11	2014/10/31	12.00
25073	HK Bank - Tencent European Put Warrants Oct 2014 D	80,000,000	2014/06/11	2014/10/29	14.40
25147	Standard Chartered Bank - AIA European Warrants Dec 2014 B	80,000,000	2014/06/11	2014/12/19	12.00
25157	Standard Chartered Bank - CGS European Warrants Dec 2014 A	48,000,000	2014/06/11	2014/12/15	12.00
25155	Standard Chartered Bank - CNBM European Warrants Dec 2014 A	40,000,000	2014/06/11	2014/12/10	18.00
25142	Standard Chartered Bank - A50 China Euro Warrants Oct 2014 B	80,000,000	2014/06/11	2014/10/10	12.00
25128	Standard Chartered Bank - NewWorld Dev European Wts Jan15A	60,000,000	2014/06/11	2015/01/02	15.60
25103	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2014 A	150,000,000	2014/06/11	2014/12/30	22.50
25114	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Dec 14 B	150,000,000	2014/06/11	2014/12/30	40.50
25127	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Jan15A	80,000,000	2014/06/11	2015/01/05	27.20
25158	UBS AG - SHK Ppt European Put Warrants Dec 2014 B	35,000,000	2014/06/11	2014/12/29	10.50
25276	Credit Suisse AG - SHK Ppt European Put Warrants Dec 2014 A	70,000,000	2014/06/12	2014/12/15	25.90
25252	Daiwa Capital Mkt - Tencent Holdings European Wts Dec 2014 C	108,000,000	2014/06/12	2014/12/15	38.88
25266	Bank of East Asia - China Life European Warrants Oct 2015 A	40,000,000	2014/06/12	2015/10/07	10.00
25262	Bank of East Asia - A50 China European Warrants Jun 2015 A	40,000,000	2014/06/12	2015/06/26	10.00
25260	Bank of East Asia - HKEx European Warrants Jul 2015 A	70,000,000	2014/06/12	2015/07/03	10.50
25269	Bank of East Asia - Hutchison European Warrants Feb 2015 A	40,000,000	2014/06/12	2015/02/02	10.00
25250	Goldman Sachs SP (Asia) - Agri Bk European Wts Dec 2015 A	80,000,000	2014/06/12	2015/12/30	20.56
25221	Goldman Sachs SP (Asia) - China Mengniu Euro Wt Mar 2015 A	80,000,000	2014/06/12	2015/03/30	25.84
25234	Goldman Sachs SP (Asia) - Ping An European Wts Dec 2014 A	80,000,000	2014/06/12	2014/12/30	20.48
25204	Macquarie Bank Ltd. - CNBM European Warrants Jan 2015 A	30,000,000	2014/06/12	2015/01/05	10.02
25168	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Nov 2014 C	100,000,000	2014/06/12	2014/11/27	17.50
25175	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2014 A	100,000,000	2014/06/12	2014/12/30	25.00
25179	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2014 D	100,000,000	2014/06/12	2014/11/27	15.80
25176	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2014 B	100,000,000	2014/06/12	2014/12/30	25.00
25205	Standard Chartered Bank - Cheung Kong Euro Wts Jan 2015 A	40,000,000	2014/06/12	2015/01/06	10.00
25208	Standard Chartered Bank - Cheung Kong European Put Wt Jan15B	40,000,000	2014/06/12	2015/01/06	12.00
25214	Standard Chartered Bank - HKEx European Warrants Nov 2015 A	100,000,000	2014/06/12	2015/11/02	15.00
25216	Standard Chartered Bank - HKEx European Warrants Jan 2016 A	100,000,000	2014/06/12	2016/01/08	25.00
25295	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Jan 2015A	40,000,000	2014/06/12	2015/01/05	12.00
25298	SGA Societe Generale Acceptance N.V. - CP&CC Eu Put Wt Jan15B	70,000,000	2014/06/12	2015/01/05	26.25
25344	BOCI Asia Ltd. - China Shenhua European Warrants Mar 2015 A	100,000,000	2014/06/13	2015/03/30	17.00
25359	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Nov 2014 B	100,000,000	2014/06/13	2014/11/10	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25349	BOCI Asia Ltd. - Sands China European Warrants Nov 2014 B	100,000,000	2014/06/13	2014/11/27	38.00
25358	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2015 A	100,000,000	2014/06/13	2015/09/21	20.00
25316	Macquarie Bank Ltd. - China Mobile European Wts Jan 2015 A	30,000,000	2014/06/13	2015/01/05	10.02
25387	Standard Chartered Bank - Agricultural Bk Eur Wts Dec 2014 A	80,000,000	2014/06/13	2014/12/08	12.00
25388	Standard Chartered Bank - HSBC European Warrants Nov 2014 A	80,000,000	2014/06/13	2014/11/03	12.00
25402	Standard Chartered Bank - SJM Hold European Wts Dec 2014 A	80,000,000	2014/06/13	2014/12/31	12.80
25373	SGA Societe Generale Acceptance N.V. - CK(H) Eu Put Wt Feb15 A	40,000,000	2014/06/13	2015/02/04	17.40
25383	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Oct 2014A	70,000,000	2014/06/13	2014/10/06	12.25
25329	SGA Societe Generale Acceptance NV - Kingsoft Eu Put Wt Jan15B	50,000,000	2014/06/13	2015/01/05	17.25
25331	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 15 A	500,000,000	2014/06/13	2015/10/05	95.00
25339	SGA Societe Generale Acceptance N.V. - Wharf Eu Wts Mar 15 A	40,000,000	2014/06/13	2015/03/04	10.20
25366	UBS AG - Agricultural Bank European Warrants Nov 2014 A	70,000,000	2014/06/13	2014/11/25	10.50
25360	UBS AG - CC Bank European Warrants Mar 2015 A	100,000,000	2014/06/13	2015/03/26	20.00
25364	UBS AG - ICBC European Warrants Nov 2014 A	100,000,000	2014/06/13	2014/11/25	15.00
25499	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Oct 2014 A	100,000,000	2014/06/16	2014/10/28	36.00
25495	Citigroup Global Mkt H Inc. - HSBC European Wts Nov 2014 A	100,000,000	2014/06/16	2014/11/26	25.00
25497	Citigroup Global Mkt H Inc. - HSBC European Wts Dec 2014 A	100,000,000	2014/06/16	2014/12/19	19.50
25443	Daiwa Capital Mkt - Cheung Kong European Warrants Dec 2014 A	58,000,000	2014/06/16	2014/12/15	27.26
25468	Daiwa Capital Mkt - Hutchison European Warrants Dec 2014 A	108,000,000	2014/06/16	2014/12/01	31.32
25492	Daiwa Capital Mkt - Hutchison European Put Wts Dec 2014 B	68,000,000	2014/06/16	2014/12/15	20.40
25448	Daiwa Capital Mkt - SHK Ppt European Warrants Jan 2015 A	58,000,000	2014/06/16	2015/01/02	17.98
25436	Standard Chartered Bank - Evergrande European Wts Dec 2014 A	50,000,000	2014/06/16	2014/12/31	12.50
25434	Standard Chartered Bank - HSBC European Put Wts Dec 2014 B	80,000,000	2014/06/16	2014/12/03	20.00
25437	Standard Chartered Bank - HSCEI European Put Wts Sep 2014 A	100,000,000	2014/06/16	2014/09/29	19.00
25412	UBS AG - HSCEI European Put Warrants Dec 2014 D	100,000,000	2014/06/16	2014/12/30	25.00
13770#	Credit Suisse AG - Galaxy Ent European Warrants Jun 2015 A	150,000,000	2014/06/16	2015/06/29	10.65
25666	Credit Suisse AG - China Mobile European Put Wts Nov 2014 A	70,000,000	2014/06/17	2014/11/28	10.50
25672	Credit Suisse AG - HSBC European Put Warrants Nov 2014 A	70,000,000	2014/06/17	2014/11/28	14.70
25628	Credit Suisse AG - Lenovo European Warrants Jun 2015 A	70,000,000	2014/06/17	2015/06/23	10.50
25601	Credit Suisse AG - SJM Holdings European Warrants Dec 2014 A	70,000,000	2014/06/17	2014/12/24	10.50
25674	Credit Suisse AG - Tencent Holdings Euro Put Wts Nov 2014 F	70,000,000	2014/06/17	2014/11/24	22.40
25596	Credit Suisse AG - ZTE European Warrants Dec 2014 A	70,000,000	2014/06/17	2014/12/22	10.50
25572	Daiwa Capital Mkt - BOCL European Warrants Dec 2014 A	108,000,000	2014/06/17	2014/12/24	16.20
25526	Goldman Sachs SP (Asia) - China EB Int'l Euro Wts Mar 2015 B	80,000,000	2014/06/17	2015/03/30	12.80
25534	Goldman Sachs SP (Asia) - Datang Power European Wts Nov14A	80,000,000	2014/06/17	2014/11/25	20.08
25533	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2014 A	80,000,000	2014/06/17	2014/12/30	20.16
25539	Goldman Sachs SP (Asia) - Techtronic Ind European Wts Mar15A	80,000,000	2014/06/17	2015/03/30	33.04
25535	Goldman Sachs SP (Asia) - Yurun Food European Wts Feb 2015 A	80,000,000	2014/06/17	2015/02/27	28.00
25503	Macquarie Bank Ltd. - Tencent European Warrants Oct 2014 D	40,000,000	2014/06/17	2014/10/06	12.52
25500	Macquarie Bank Ltd. - Tencent European Put Wts Oct 2014 C	50,000,000	2014/06/17	2014/10/06	10.00
25543	Standard Chartered Bank - AIA European Put Wts Nov 2014 B	70,000,000	2014/06/17	2014/11/26	10.50
25678	Standard Chartered Bank - CITIC Sec European Wts Jun 2015 A	100,000,000	2014/06/17	2015/06/19	25.00
25546	Standard Chartered Bank - Sinopec Corp Eu Put Wt Dec 2014 A	50,000,000	2014/06/17	2014/12/29	12.50
25553	Standard Chartered Bank - Galaxy Ent European Wts Nov 2014 A	50,000,000	2014/06/17	2014/11/17	12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25676	Standard Chartered Bank - Tencent Hldg Eu Put Wts Dec 2014 B	60,000,000	2014/06/17	2014/12/08	18.60
25589	UBS AG - Galaxy Ent European Warrants Nov 2014 C	40,000,000	2014/06/17	2014/11/21	21.20
25576	UBS AG - HSI European Warrants Dec 2014 C	300,000,000	2014/06/17	2014/12/30	45.00
25680	UBS AG - HSI European Warrants Dec 2014 D	300,000,000	2014/06/17	2014/12/30	75.00
25681	UBS AG - HSI European Warrants Mar 2015 A	300,000,000	2014/06/17	2015/03/30	75.00
25542	UBS AG - HSI European Put Warrants Oct 2014 D	300,000,000	2014/06/17	2014/10/30	45.00
25590	UBS AG - Sands China European Warrants Nov 2014 A	40,000,000	2014/06/17	2014/11/24	15.80
25748	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Mar 2015 A	100,000,000	2014/06/18	2015/03/03	26.00
25746	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Oct 2014 F	100,000,000	2014/06/18	2014/10/29	15.00
25683	HK Bank - HSI European Warrants Dec 2014 D	200,000,000	2014/06/18	2014/12/30	30.00
25730	J P Morgan SP BV - Anhui Conch European Warrants Jan 2015 A	100,000,000	2014/06/18	2015/01/05	25.20
25852	J P Morgan SP BV - Agricultural Bk Euro Warrants Aug 2015 A	200,000,000	2014/06/18	2015/08/03	50.80
25845	J P Morgan SP BV - CITIC Sec European Warrants Mar 2015 A	100,000,000	2014/06/18	2015/03/31	15.40
25813	J P Morgan SP BV - Cheung Kong European Put Warrants Dec 14A	100,000,000	2014/06/18	2014/12/02	25.10
25711	J P Morgan SP BV - China Mob European Wts Dec 2014 C	200,000,000	2014/06/18	2014/12/02	39.00
25739	J P Morgan SP BV - Sinopec Corp European Warrants Jan 2015 A	100,000,000	2014/06/18	2015/01/05	25.30
25719	J P Morgan SP BV - A50 China European Warrants Dec 2014 B	200,000,000	2014/06/18	2014/12/01	49.80
25846	J P Morgan SP BV - Geely Auto European Warrants Jan 2015 A	80,000,000	2014/06/18	2015/01/05	20.16
25735	J P Morgan SP BV - HKEx European Warrants Jun 2015 A	300,000,000	2014/06/18	2015/06/30	45.30
25715	J P Morgan SP BV - HSBC European Warrants Dec 2014 A	200,000,000	2014/06/18	2014/12/01	38.00
25793	J P Morgan SP BV - SHK Ppt European Put Warrants Jan 2015 A	50,000,000	2014/06/18	2015/01/05	12.50
25743	Macquarie Bank Ltd. - MGM China European Warrants Apr 2015 A	40,000,000	2014/06/18	2015/04/02	10.00
25707	Macquarie Bank Ltd. - Want Want China European Wts Aug 2016 A	80,000,000	2014/06/18	2016/08/02	14.16
25792	Standard Chartered Bank - China Cinda Euro Wts Jan 2015 A	50,000,000	2014/06/18	2015/01/30	21.25
25750	Standard Chartered Bank - China Cinda Euro Wts Apr 2017 A	100,000,000	2014/06/18	2017/04/25	15.00
25778	Standard Chartered Bank - ICBC European Put Wts Dec 2014 B	100,000,000	2014/06/18	2014/12/03	15.00
25767	Standard Chartered Bank - Kingsoft European Wts May 2015 A	100,000,000	2014/06/18	2015/05/27	25.00
25773	Standard Chartered Bank - Kingsoft European Wts Dec 2015 A	100,000,000	2014/06/18	2015/12/03	28.00
25685	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Dec 2014 A	100,000,000	2014/06/18	2014/12/03	15.00
25705	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Jul 15A	100,000,000	2014/06/18	2015/07/06	16.00
25944	BNP Paribas Arbit Issu B.V. - AIA European Wts Apr 2015 A	100,000,000	2014/06/19	2015/04/02	25.00
25938	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Nov 2014 A	100,000,000	2014/06/19	2014/11/04	15.00
25939	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Feb 2015 A	100,000,000	2014/06/19	2015/02/06	19.00
26086	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2015 A	80,000,000	2014/06/19	2015/02/18	12.00
25954	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Feb 2015 A	98,000,000	2014/06/19	2015/02/18	14.70
25873	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Dec 2014 A	60,000,000	2014/06/19	2014/12/30	22.20
25855	Goldman Sachs SP (Asia) - HSBC European Put Wts Dec 2014 B	60,000,000	2014/06/19	2014/12/30	15.00
25857	Goldman Sachs SP (Asia) - SHK Ppt European Put Wt Feb 2015 A	60,000,000	2014/06/19	2015/02/26	20.46
25923	HK Bank - HKEx European Warrants Sep 2015 B	200,000,000	2014/06/19	2015/09/03	30.00
25918	HK Bank - Tencent European Put Warrants Apr 2015 B	200,000,000	2014/06/19	2015/04/29	32.00
25900	HK Bank - Tencent European Put Warrants Dec 2015 A	200,000,000	2014/06/19	2015/12/22	30.00
26109	J P Morgan SP BV - MGM China European Warrants Jan 2015 A	80,000,000	2014/06/19	2015/01/02	15.92
26113	J P Morgan SP BV - SJM Holdings European Warrants Jan 2015 A	100,000,000	2014/06/19	2015/01/02	15.70
26098	J P Morgan SP BV - Tencent European Warrants Nov 2015 A	300,000,000	2014/06/19	2015/11/02	75.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26101	J P Morgan SP BV - Want Want Ch European Warrants Aug 2016 A	100,000,000	2014/06/19	2016/08/01	20.20
25897	Macquarie Bank Ltd. - China Coal European Wts Jan 2015 A	30,000,000	2014/06/19	2015/01/05	10.02
25899	Macquarie Bank Ltd. - China Shenhua European Wts May 2015 A	40,000,000	2014/06/19	2015/05/05	10.00
25930	Macquarie Bank Ltd. - A50 China European Warrants Jan 2015 A	40,000,000	2014/06/19	2015/01/05	10.00
26073	Merrill Lynch Int'l & Co. C.V. - Minsheng Bk Eu Wts Sep 14 A	50,000,000	2014/06/19	2014/09/25	10.05
26011	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Dec 2014 B	40,000,000	2014/06/19	2014/12/19	10.20
26010	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Wts Jan 2015A	40,000,000	2014/06/19	2015/01/05	13.40
26030	Merrill Lynch Int'l & Co. C.V. - Henderson Land Eu Wt Mar15A	40,000,000	2014/06/19	2015/03/05	10.00
26053	Merrill Lynch Int'l & Co. C.V. - Wharf Euro Wts Dec 2014 A	70,000,000	2014/06/19	2014/12/30	10.92
26001	Standard Chartered Bank - BYD European Warrants Dec 2016 A	80,000,000	2014/06/19	2016/12/20	12.00
26090	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Jan15C	50,000,000	2014/06/19	2015/01/05	12.50
26115	UBS AG - China Life European Warrants Sep 2015 A	100,000,000	2014/06/19	2015/09/29	21.00
26133	UBS AG - Tencent Holdings European Warrants Dec 2014 B	100,000,000	2014/06/19	2014/12/16	35.00
26144	UBS AG - Yurun Food European Warrants Dec 2014 A	35,000,000	2014/06/19	2014/12/18	10.50
26288	Credit Suisse AG - CSOP A50 ETF European Warrants Nov 2014 A	70,000,000	2014/06/20	2014/11/28	11.20
26308	Credit Suisse AG - A50 China European Warrants Nov 2014 A	70,000,000	2014/06/20	2014/11/28	10.50
26210	HK Bank - Cheung Kong European Warrants Dec 2014 A	60,000,000	2014/06/20	2014/12/31	15.00
26214	HK Bank - Minsheng Bank European Warrants Dec 2014 C	60,000,000	2014/06/20	2014/12/31	15.00
26217	HK Bank - Minsheng Bank European Warrants Dec 2014 D	60,000,000	2014/06/20	2014/12/31	22.80
26223	HK Bank - Dongfeng Motor European Warrants Sep 2015 A	80,000,000	2014/06/20	2015/09/24	12.00
26194	HK Bank - Lenovo European Warrants Dec 2014 A	60,000,000	2014/06/20	2014/12/31	18.00
26219	HK Bank - Ping An European Warrants Nov 2014 A	80,000,000	2014/06/20	2014/11/24	15.20
26181	HK Bank - Tencent European Put Warrants Oct 2014 E	80,000,000	2014/06/20	2014/10/06	20.80
26316	J P Morgan SP BV - Minsheng Bank European Wts Oct 2014 B	80,000,000	2014/06/20	2014/10/03	33.68
26311	J P Morgan SP BV - Minsheng Bank European Put Wts Jan 2015 B	80,000,000	2014/06/20	2015/01/02	26.24
26347	J P Morgan SP BV - Shunfeng Pv European Warrants Apr 2015 A	80,000,000	2014/06/20	2015/04/01	22.96
26330	J P Morgan SP BV - Tencent European Warrants Oct 2014 K	200,000,000	2014/06/20	2014/10/27	75.00
26249	Macquarie Bank Ltd. - Avichina European Warrants Jan 2015 A	40,000,000	2014/06/20	2015/01/05	10.04
26258	Macquarie Bank Ltd. - China Mengniu European Wts Jan 2015 A	60,000,000	2014/06/20	2015/01/05	15.00
26268	Standard Chartered Bank - Agricultural Bk Eur Wts Aug 2015 A	60,000,000	2014/06/20	2015/08/31	15.00
26286	Standard Chartered Bank - China Mobile Euro Wts Nov 2014 B	80,000,000	2014/06/20	2014/11/03	13.60
26282	Standard Chartered Bank - Galaxy Ent Euro Put Wts Dec 2014 A	60,000,000	2014/06/20	2014/12/31	25.20
26267	Standard Chartered Bank - HSCEI European Warrants Oct 2014 A	100,000,000	2014/06/20	2014/10/30	22.00
26266	Standard Chartered Bank - HSI European Warrants Dec 2014 A	100,000,000	2014/06/20	2014/12/30	15.00
26341	SGA Societe Generale Acceptance NV - Chi Res Land Eu Wt Sep15A	70,000,000	2014/06/20	2015/09/02	17.50
26345	UBS AG - Xinyi Glass European Warrants Dec 2014 A	35,000,000	2014/06/20	2014/12/19	10.50
26360	Daiwa Capital Mkt - CCB European Warrants Feb 2015 A	108,000,000	2014/06/23	2015/02/03	27.00
26364	Daiwa Capital Mkt - CCB European Put Warrants Dec 2014 A	80,000,000	2014/06/23	2014/12/22	20.80
26375	Daiwa Capital Mkt - Galaxy Ent European Put Wts Dec 2014 A	80,000,000	2014/06/23	2014/12/22	31.20
26356	Daiwa Capital Mkt - PetroChina European Warrants Feb 2015 A	80,000,000	2014/06/23	2015/02/02	19.20
26376	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Nov 2014 D	98,000,000	2014/06/23	2014/11/24	22.54
26414	J P Morgan SP BV - CNOOC European Warrants Aug 2016 A	300,000,000	2014/06/23	2016/08/01	46.50
26396	J P Morgan SP BV - Sinopec Corp European Warrants Dec 2014 C	150,000,000	2014/06/23	2014/12/01	23.25
26392	J P Morgan SP BV - Ping An European Put Warrants Jan 2015 A	150,000,000	2014/06/23	2015/01/05	37.80

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26394	J P Morgan SP BV - Sands China European Warrants Jan 2015 A	150,000,000	2014/06/23	2015/01/05	38.40
26397	J P Morgan SP BV - Xinyi Glass European Warrants Jan 2015 A	40,000,000	2014/06/23	2015/01/02	10.08
26391	Macquarie Bank Ltd. - Xinyi Glass European Wts Jan 2015 A	40,000,000	2014/06/23	2015/01/05	10.00
26350	SGA Societe Generale Acceptance N.V. - Shimao Ppt Eu Wt Jun15A	100,000,000	2014/06/23	2015/06/01	25.00
26581	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Oct 2014 B	100,000,000	2014/06/24	2014/10/24	18.00
26533	Daiwa Capital Mkt - Agricultural Bank Euro Put Wt Feb 2015 A	68,000,000	2014/06/24	2015/02/02	17.00
26529	Daiwa Capital Mkt - CMB European Warrants Dec 2014 A	48,000,000	2014/06/24	2014/12/23	14.88
26523	Daiwa Capital Mkt - Minsheng Bk European Warrants Oct 2014 A	58,000,000	2014/06/24	2014/10/23	13.34
26528	Daiwa Capital Mkt - Minsheng Bk European Warrants Dec 2014 A	58,000,000	2014/06/24	2014/12/23	14.50
26557	Daiwa Capital Mkt - HSBC European Put Warrants Dec 2014 A	80,000,000	2014/06/24	2014/12/23	20.00
26593	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Dec 2014 A	60,000,000	2014/06/24	2014/12/24	15.00
26588	Goldman Sachs SP (Asia) - China Coal European Wts Dec 2014 A	60,000,000	2014/06/24	2014/12/03	12.30
26597	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Dec 2014 B	80,000,000	2014/06/24	2014/12/24	20.00
26564	J P Morgan SP BV - AAC Acoustic European Warrants Jan 2015 A	100,000,000	2014/06/24	2015/01/05	40.10
26571	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Feb 2015 A	200,000,000	2014/06/24	2015/02/03	50.60
26415	J P Morgan SP BV - HSI European Put Warrants Dec 2014 C	500,000,000	2014/06/24	2014/12/30	125.50
26451	J P Morgan SP BV - HSI European Put Warrants Dec 2014 D	500,000,000	2014/06/24	2014/12/30	125.00
26461	J P Morgan SP BV - HSI European Put Warrants Dec 2014 E	500,000,000	2014/06/24	2014/12/30	127.50
26586	J P Morgan SP BV - Hutchison European Warrants Jan 2015 B	80,000,000	2014/06/24	2015/01/02	20.00
26565	J P Morgan SP BV - China Mengniu European Warrants Feb 2015A	100,000,000	2014/06/24	2015/02/03	25.50
26464	Macquarie Bank Ltd. - Luk Fook Hold European Wts Nov 2014 A	80,000,000	2014/06/24	2014/11/04	12.00
26493	Standard Chartered Bank - China COSCO European Wt Apr 2015 A	60,000,000	2014/06/24	2015/04/02	15.00
26520	Standard Chartered Bank - Minsheng Bank Euro Wts Dec 2014 B	50,000,000	2014/06/24	2014/12/24	12.50
26522	Standard Chartered Bank - CSOP A50 ETF Euro Wts Nov 2014 A	60,000,000	2014/06/24	2014/11/03	16.80
26465	Standard Chartered Bank - Xinyi Glass Euro Wts Dec 2014 A	80,000,000	2014/06/24	2014/12/08	12.00
26726	Daiwa Capital Mkt - Galaxy Ent European Warrants Dec 2014 B	98,000,000	2014/06/25	2014/12/24	24.50
26741	Daiwa Capital Mkt - Kingsoft European Warrants Jan 2015 A	80,000,000	2014/06/25	2015/01/02	12.00
26740	Daiwa Capital Mkt - Ping An European Warrants Jan 2015 A	98,000,000	2014/06/25	2015/01/23	22.54
26731	Daiwa Capital Mkt - Sands China European Warrants Dec 2014 A	98,000,000	2014/06/25	2014/12/24	24.50
26796	J P Morgan SP BV - CC Bank Euro Put Wts Jan 2015 A	200,000,000	2014/06/25	2015/01/05	51.20
26776	J P Morgan SP BV - ICBC European Put Wts Jan 2015 A	200,000,000	2014/06/25	2015/01/05	50.40
26839	J P Morgan SP BV - Tencent European Warrants Jan 2015 D	200,000,000	2014/06/25	2015/01/02	77.00
26699	Macquarie Bank Ltd. - CNOOC European Warrants Jan 2015 A	25,000,000	2014/06/25	2015/01/05	10.00
26684	Nomura Int'l plc - Ch Comm Cons European Warrants Dec 2014 A	80,000,000	2014/06/25	2014/12/30	20.00
26690	Nomura Int'l plc - CITIC Sec European Warrants Mar 2015 A	80,000,000	2014/06/25	2015/03/26	12.00
26646	Nomura Int'l plc - China Mer Hold European Wts Jan 2015 A	50,000,000	2014/06/25	2015/01/30	12.50
26721	Nomura Int'l plc - Evergrande European Warrants Dec 2014 A	80,000,000	2014/06/25	2014/12/29	20.80
26627	Nomura Int'l plc - HSBC European Warrants Dec 2014 B	50,000,000	2014/06/25	2014/12/30	12.50
26640	Nomura Int'l plc - Hutchison European Warrants Dec 2014 A	50,000,000	2014/06/25	2014/12/30	12.50
26642	Nomura Int'l plc - Hutchison European Put Warrants Dec 2014B	50,000,000	2014/06/25	2014/12/30	12.50
26691	Nomura Int'l plc - Kingdee Intl European Warrants Dec 2014 A	50,000,000	2014/06/25	2014/12/30	21.00
26658	Nomura Int'l plc - Shui On Land EEuropean Warrants Mar 2015A	50,000,000	2014/06/25	2015/03/30	12.50
26648	Nomura Int'l plc - Want Want China European Wts Jun 2016 A	50,000,000	2014/06/25	2016/06/30	12.50
26752	Standard Chartered Bank - YZ Coal European Wts Apr 2015 A	50,000,000	2014/06/25	2015/04/02	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26715	UBS AG - AIA European Warrants Dec 2014 A	100,000,000	2014/06/25	2014/12/17	15.00
26826	UBS AG - Hutchison European Warrants Nov 2014 C	100,000,000	2014/06/25	2014/11/25	23.00
26984	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Dec 2014 A	100,000,000	2014/06/26	2014/12/02	15.00
26994	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Jul 2015 A	100,000,000	2014/06/26	2015/07/03	28.00
27000	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2015 B	100,000,000	2014/06/26	2015/01/05	21.00
26954	Credit Suisse AG - HSI European Warrants Dec 2014 A	150,000,000	2014/06/26	2014/12/30	22.50
26965	Credit Suisse AG - HSI European Warrants Dec 2014 B	150,000,000	2014/06/26	2014/12/30	22.50
26967	Credit Suisse AG - HSI European Put Warrants Oct 2014 F	150,000,000	2014/06/26	2014/10/30	22.50
26982	Citigroup Global Mkt H Inc. - A50 China Euro Wts Dec 2014 B	80,000,000	2014/06/26	2014/12/22	20.00
27015	J P Morgan SP BV - CITIC Pacific Euro Wts Jan 2016 A	100,000,000	2014/06/26	2016/01/05	15.20
27003	J P Morgan SP BV - Melco Int'l Dev European Wts Mar 2015 A	80,000,000	2014/06/26	2015/03/03	20.16
26946	Macquarie Bank Ltd. - Angang Steel European Wts Jan 2015 A	40,000,000	2014/06/26	2015/01/05	10.00
26842	Macquarie Bank Ltd. - BYD European Warrants Jan 2015 A	25,000,000	2014/06/26	2015/01/05	10.00
26934	Macquarie Bank Ltd. - Li & Fung European Warrants Jul 2016 A	70,000,000	2014/06/26	2016/07/05	10.50
26841	Macquarie Bank Ltd. - PetroChina European Wts Feb 2015 A	30,000,000	2014/06/26	2015/02/03	10.05
26887	Nomura Int'l plc - AviChina European Warrants Mar 2015 A	50,000,000	2014/06/26	2015/03/30	19.00
26918	Nomura Int'l plc - BOCL European Warrants Mar 2015 A	50,000,000	2014/06/26	2015/03/26	13.00
26875	Nomura Int'l plc - BYD European Warrants Dec 2014 A	50,000,000	2014/06/26	2014/12/30	25.50
26900	Nomura Int'l plc - CM Bank European Warrants Dec 2014 A	50,000,000	2014/06/26	2014/12/30	26.00
26851	Nomura Int'l plc - Sinopec European Warrants Dec 2014 A	50,000,000	2014/06/26	2014/12/30	12.50
26870	Nomura Int'l plc - Sinopec European Warrants Mar 2015 A	50,000,000	2014/06/26	2015/03/30	12.50
26888	Nomura Int'l plc - Ch Ship Cont European Warrants Jan 2015 A	50,000,000	2014/06/26	2015/01/30	12.50
26901	Nomura Int'l plc - Esprit European Warrants Mar 2016 A	50,000,000	2014/06/26	2016/03/29	12.50
26911	Nomura Int'l plc - GCL-Poly Energy European Warrants Mar 15A	50,000,000	2014/06/26	2015/03/30	19.50
26873	Nomura Int'l plc - JNCEC European Warrants Feb 2015 A	50,000,000	2014/06/26	2015/02/18	15.00
27063	Daiwa Capital Mkt - Ch Overseas European Put Wts Mar 2015 A	80,000,000	2014/06/27	2015/03/03	20.00
27048	HK Bank - CC Bank European Warrants Mar 2015 A	150,000,000	2014/06/27	2015/03/26	28.50
27019	HK Bank - CNOOC European Warrants Jul 2016 A	150,000,000	2014/06/27	2016/07/26	22.50
27119	HK Bank - HSCEI European Warrants Dec 2014 F	150,000,000	2014/06/30	2014/12/30	37.50
27087	HK Bank - HSI European Warrants Dec 2014 E	150,000,000	2014/06/30	2014/12/30	27.00
27086	HK Bank - HSI European Put Warrants Oct 2014 C	150,000,000	2014/06/30	2014/10/30	22.50
27113	HK Bank - HSI European Put Warrants Nov 2014 D	150,000,000	2014/06/30	2014/11/27	22.50
27105	HK Bank - HSI European Put Warrants Dec 2014 F	150,000,000	2014/06/30	2014/12/30	37.50
27124	Macquarie Bank Ltd. - Kingdee Intl European Warrants Jan15 A	25,000,000	2014/06/30	2015/01/05	10.00
27146	UBS AG - China Cinda European Warrants Nov 2014 A	50,000,000	2014/06/30	2014/11/21	20.00
27147	UBS AG - HSI European Put Warrants Nov 2014 A	300,000,000	2014/06/30	2014/11/27	45.00
27132	UBS AG - Lenovo European Put Warrants Aug 2015 A	70,000,000	2014/06/30	2015/08/03	10.50
12722 [#]	Standard Chartered Bank - China Life European Wts Dec 2014 A	180,000,000	2014/06/30	2014/12/08	10.26
總額					33,081.98

[#] 進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2013	第2季	—	1,818.61
	第3季	—	1,171.98
	第4季	—	886.67
2014	第1季	—	695.99
	第2季	—	711.36

債券面值

		發行數目	面值(百萬港元)
2013	第2季	355	1,185,676.77
	第3季	367	1,212,064.53
	第4季	403	1,378,794.08
2014	第1季	456	1,541,903.06
	第2季	531	1,839,650.08

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2013	第2季	69	237,646.45
	第3季	16	34,683.76
	第4季	48	190,108.73
2014	第1季	62	175,574.56
	第2季	83	318,930.32

主 板 債 務 證 券

新上市債券

2014年第2季

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬元)
05717	China Construction Bank (Asia) Corp Ltd. 2.375%票據2017年	USD 300,000,000	2014/04/02	2017/04/01	2,332.07
05718	德昌電機控股有限公司1.00%可換股債券2021年	USD 200,000,000	2014/04/03	2021/04/02	1,560.00
85947#	Central Plaza Development Ltd. 5.75%有擔保人民幣票據2017年	RMB 1,000,000,000	2014/04/07	2017/02/17	1,254.74
85956	AVIC Int'l Finance & Investment Ltd. 4.80%人民幣債券2017年	RMB 1,500,000,000	2014/04/11	2017/04/10	1,873.16
85957	招商銀行股份有限公司4.10%人民幣債券2017年	RMB 1,000,000,000	2014/04/11	2017/04/10	1,250.50
05719	Sinopec Gp Overseas Dev (2014) Ltd. 1.75%優先票據2017年	USD 1,250,000,000	2014/04/11	2017/04/10	9,727.86
05720	Sinopec Gp Overseas Dev (2014) Ltd. 2.75%優先票據2019年	USD 750,000,000	2014/04/11	2019/04/10	5,838.07
05721	Sinopec Gp Overseas Dev (2014) Ltd. 4.375%優先票據2024年	USD 1,000,000,000	2014/04/11	2024/04/10	7,781.83
05722	Sinopec Gp Overseas Dev (2014) Ltd. 優先浮息票據2017年	USD 1,500,000,000	2014/04/11	2017/04/10	11,700.00
05723	Sinopec Gp Overseas Dev (2014) Ltd. 優先浮息票據2019年	USD 500,000,000	2014/04/11	2019/04/10	3,900.00
04220	中華人民共和國香港特別行政區1.02%債券2017年	HKD 3,000,000,000	2014/04/11	2017/04/10	2,993.40
05724	Crotona Assets Ltd. 4.00%有擔保可換股債券2019年	USD 175,000,000	2014/04/15	2019/04/14	1,365.00
05726	HLP Finance Ltd. 4.45%有擔保票據2021年	USD 500,000,000	2014/04/15	2021/04/16	3,862.56
85958	中國聯合網絡通信(香港)股份有限公司4.00%優先 人民幣票據2017年	RMB 4,000,000,000	2014/04/17	2017/04/16	4,985.20
05725	華彩控股有限公司5.00%可換股債券2019年	HKD 580,000,000	2014/04/22	2019/04/17	580.00
05727	Chalco Hong Kong Investment Co. Ltd. 優先永久債券	USD 400,000,000	2014/04/22	-	3,120.00
05728	Ch CITIC Bank Int'l Ltd. 無期限非累積次級額外一級資本債券	USD 300,000,000	2014/04/23	-	2,340.00
85959	Guosen Securities (Overseas) Co. Ltd. 6.40%人民幣債券2017年	RMB 1,200,000,000	2014/04/25	2017/04/24	1,491.60
05729	Azure Orbit II Int'l Finance Ltd. 3.375%有擔保票據2019年	USD 500,000,000	2014/04/28	2019/04/25	3,897.70
05730	Poly Real Estate Finance Ltd. 5.25%有擔保債券2019年	USD 500,000,000	2014/04/28	2019/04/25	3,877.19
05731	China Construction Bank (Asia) Corp Ltd. 浮息票據2016年	USD 20,000,000	2014/04/28	2016/04/25	156.00
05732	Sinochem Offshore Cap Co. Ltd. 3.25%有擔保優先票據2019年	USD 500,000,000	2014/04/30	2019/04/29	3,893.22
05733	騰訊控股有限公司2.00%優先票據2017年	USD 500,000,000	2014/04/30	2017/05/02	3,892.09
05734	騰訊控股有限公司3.375%優先票據2019年	USD 2,000,000,000	2014/04/30	2019/05/02	15,583.62
05737	CNOOC Nexen Finance (2014) ULC 1.625%有擔保票據2017年	USD 1,250,000,000	2014/05/02	2017/04/30	9,712.56
05738	CNOOC Nexen Finance (2014) ULC 4.25%有擔保票據2024年	USD 2,250,000,000	2014/05/02	2024/04/30	17,473.66
05739	CNOOC Nexen Finance (2014) ULC 4.875%有擔保票據2044年	USD 500,000,000	2014/05/02	2044/04/30	3,835.96
05735	香港按揭證券有限公司浮息票據2015年	USD 250,000,000	2014/05/02	2015/04/30	1,950.00
05736	遠東宏信有限公司4.25%票據2017年	SGD 400,000,000	2014/05/02	2017/10/30	2,469.52
05712#	時代地產控股有限公司12.625%優先票據2019年	USD 80,000,000	2014/05/05	2019/03/21	624.78
05725#	華彩控股有限公司5.00%可換股債券2019年	HKD 70,000,000	2014/05/05	2019/04/17	70.00
05740	CLP Power HK Finance Ltd. 永久次級有擔保資本證券	USD 500,000,000	2014/05/08	-	3,900.00
05741	國家電網海外投資(2014)有限公司2.75%有擔保 優先票據2019年	USD 1,250,000,000	2014/05/08	2019/05/07	9,660.01
05742	國家電網海外投資(2014)有限公司4.125%有擔保 優先票據2024年	USD 1,600,000,000	2014/05/08	2024/05/07	12,343.97
05743	國家電網海外投資(2014)有限公司4.85%有擔保 優先票據2044年	USD 650,000,000	2014/05/08	2044/05/07	5,000.54
05744	聯想集團有限公司4.70%票據2019年	USD 1,500,000,000	2014/05/09	2019/05/08	11,678.82
05745	China Overseas Fin (Cayman) VI Ltd. 4.25%有擔保票據2019年	USD 550,000,000	2014/05/09	2019/05/08	4,280.82
05746	China Overseas Fin (Cayman) VI Ltd. 5.95%有擔保票據2024年	USD 450,000,000	2014/05/09	2024/05/08	3,494.34
85960	中國電力國際發展有限公司4.50%人民幣債券2017年	RMB 2,000,000,000	2014/05/12	2017/05/09	2,489.00
85961	中國誠通發展集團有限公司4.00%信用增強人民幣債券2017年	RMB 600,000,000	2014/05/12	2017/05/09	746.70
85965	Sinochem Offshore Cap Co. Ltd. 3.55%有擔保人民幣 優先票據2017	RMB 2,500,000,000	2014/05/14	2017/05/13	3,107.00
85962	The Export-Import Bank of China 3.00%人民幣債券2016年	RMB 1,500,000,000	2014/05/15	2016/05/14	1,866.75
85963	The Export-Import Bank of China 3.65%人民幣債券2019年	RMB 500,000,000	2014/05/15	2019/05/14	622.25
85964	The Export-Import Bank of China 4.40%人民幣債券2024年	RMB 1,000,000,000	2014/05/15	2024/05/14	1,244.50

主 板 債 務 證 券

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05747	China Cinda Finance (2014) Ltd. 4.00%有擔保優先票據2019年	USD 1,000,000,000	2014/05/15	2019/05/14	7,749.07
05748	China Cinda Finance (2014) Ltd. 5.625%有擔保優先票據2024年	USD 500,000,000	2014/05/15	2024/05/14	3,882.06
05749	CNPC General Capital Ltd. 浮息有擔保優先票據2017年	USD 750,000,000	2014/05/15	2017/05/14	5,850.00
05750	CNPC General Capital Ltd. 2.75%有擔保優先票據2019年	USD 750,000,000	2014/05/15	2019/05/14	5,802.44
05998#	中國水務地產集團有限公司12.50%優先票據2016年	HKD 250,000,000	2014/05/15	2016/11/28	248.75
85966	日照(香港)有限公司4.25%信用增強人民幣債券2017年	RMB 850,000,000	2014/05/16	2017/05/15	1,057.83
85967	中國電力建設集團有限公司4.20%人民幣票據2017年	RMB 1,500,000,000	2014/05/16	2017/05/15	1,866.75
04215#	中華人民共和國香港特別行政區1.10%債券2023年	HKD 800,000,000	2014/05/16	2023/01/17	718.80
05700#	華潤置地有限公司4.375%票據2019年	USD 350,000,000	2014/05/16	2019/02/27	2,726.78
05752	騰訊控股有限公司3.20%優先票據2020年	HKD 2,000,000,000	2014/05/19	2020/01/10	2,000.00
04050	香港金融管理局0.42%外匯基金票據2016年	HKD 1,200,000,000	2014/05/20	2016/05/19	1,201.20
05751	茂業國際控股有限公司7.75%優先票據2017年	USD 300,000,000	2014/05/20	2017/05/19	2,340.00
85953#	Eastern Air Overseas (HK) Corp Ltd. 4.80%有擔保 人民幣債券2017	RMB 800,000,000	2014/05/22	2017/03/13	995.04
85968	中國農業發展銀行3.00%人民幣債券2016年	RMB 2,000,000,000	2014/05/22	2016/05/21	2,485.60
05753	Yancoal Int'l Trading Co., Ltd. 優先有擔保永久資本證券	USD 300,000,000	2014/05/23	-	2,340.00
05754	Guotai Junan Fin Hldgs (BVI) Ltd. 3.625%信用增強債券2019年	USD 500,000,000	2014/05/23	2019/05/22	3,885.34
85969	Shanghai Pudong Dev Bank Co., Ltd. 4.08%人民幣債券2017年	RMB 1,000,000,000	2014/05/23	2017/05/22	1,243.80
85970	Sure Best Management Ltd. 4.75%有擔保人民幣票據2017年	RMB 1,000,000,000	2014/05/23	2017/05/22	1,243.80
86616	中華人民共和國財政部2.53%人民幣票據2017年	RMB 8,400,000,000	2014/05/23	2017/05/22	10,447.92
86617	中華人民共和國財政部3.25%人民幣票據2019年	RMB 4,400,000,000	2014/05/23	2019/05/22	5,472.72
86618	中華人民共和國財政部3.80%人民幣票據2021年	RMB 1,200,000,000	2014/05/23	2021/05/22	1,492.56
86619	中華人民共和國財政部4.00%人民幣票據2024年	RMB 1,000,000,000	2014/05/23	2024/05/22	1,243.80
86620	中華人民共和國財政部4.29%人民幣票據2029年	RMB 500,000,000	2014/05/23	2029/05/22	621.90
86621	中華人民共和國財政部4.50%人民幣票據2034年	RMB 500,000,000	2014/05/23	2034/05/22	621.90
05755	中國農產品交易有限公司1.00%票據2024年	HKD 10,000,000	2014/06/03	2024/09/30	-
85971	Unican Ltd. 5.80%有擔保人民幣票據2017年	RMB 500,000,000	2014/06/03	2017/05/30	621.50
04051	香港金融管理局1.96%外匯基金票據2024年	HKD 800,000,000	2014/06/04	2024/06/03	804.00
05756	IFC Development (Corp Treasury) Ltd. 3.40%有 擔保票據2020年A	HKD 500,000,000	2014/06/05	2020/06/04	500.00
05757	IFC Development (Corp Treasury) Ltd. 3.40%有 擔保票據2020年B	HKD 500,000,000	2014/06/05	2020/06/04	500.00
05758	龍光地產控股有限公司11.25%優先票據2019年	USD 300,000,000	2014/06/05	2019/06/04	2,340.00
05759	Bestgain Real Estate Lyra Ltd. 4.50%有擔保票據2019年	USD 400,000,000	2014/06/05	2019/06/04	3,082.92
85972	Maikun Investment Co., Ltd. 4.50%有擔保人民幣票據2017年	RMB 1,500,000,000	2014/06/09	2017/06/06	1,858.50
85973	Sinotrans Sailing Ltd. 4.50%人民幣債券2017年	RMB 1,000,000,000	2014/06/11	2017/06/10	1,240.27
05760	China Overseas Fin (Cayman) VI Ltd. 6.45%有擔保票據2034年	USD 500,000,000	2014/06/12	2034/06/11	3,878.36
05745#	China Overseas Fin (Cayman) VI Ltd. 4.25%有擔保票據2019年	USD 250,000,000	2014/06/12	2019/05/08	1,972.08
05746#	China Overseas Fin (Cayman) VI Ltd. 5.95%有擔保票據2024年	USD 250,000,000	2014/06/12	2024/05/08	2,010.06
05755#	中國農產品交易有限公司1.00%票據2024年	HKD 20,000,000	2014/06/12	2024/09/30	-
05761	招商銀行股份有限公司2.375%票據2017年	USD 500,000,000	2014/06/13	2017/06/12	3,888.81
05719#	Sinopec Gp Overseas Dev (2014) Ltd. 1.75%優先票據2017年	USD 300,000,000	2014/06/13	2017/04/10	2,344.68
05721#	Sinopec Gp Overseas Dev (2014) Ltd. 4.375%優先票據2024年	USD 400,000,000	2014/06/13	2024/04/10	3,248.95
05722#	Sinopec Gp Overseas Dev (2014) Ltd. 優先浮息票據2017年	USD 300,000,000	2014/06/13	2017/04/10	2,342.13
85974	Soar Rise Ltd. 4.375%信用增強人民幣債券2017年	RMB 500,000,000	2014/06/16	2017/06/13	623.25
05740#	CLP Power HK Finance Ltd. 永久次級有擔保資本證券	USD 250,000,000	2014/06/16	-	1,954.88
85975	China Minmetals Corporation 4.25%人民幣債券2017年	RMB 2,000,000,000	2014/06/17	2017/06/16	2,497.00

主 板 債 務 證 券

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
05762	申洲國際集團控股有限公司0.50%可換股債券2019年	HKD	3,900,000,000	2014/06/19	2019/06/18	3,900.00
85976	HK Huafa Invest Hldgs Co. Ltd. 4.25% 信用增強 人民幣債券2017年	RMB	850,000,000	2014/06/19	2017/06/18	1,057.51
05763	Goodman HK Finance 4.375%有擔保票據2024年	USD	400,000,000	2014/06/20	2024/06/19	3,104.02
05765	Cheung Kong Infrastructure Finance (BVI) Ltd. 浮息票據2017年	USD	300,000,000	2014/06/23	2017/06/20	2,340.00
85977	首創香港有限公司4.70%人民幣債券2017年	RMB	1,000,000,000	2014/06/23	2017/06/20	1,244.30
05764	遠東宏信有限公司高級永續證券	USD	200,000,000	2014/06/24	—	1,560.00
04052	香港金融管理局1.34%外匯基金票據2019年	HKD	1,000,000,000	2014/06/24	2019/06/24	997.30
04027*	香港金融管理局0.44%外匯基金票據2017年	HKD	1,200,000,000	2014/06/24	2017/06/19	1,186.44
04510*	中信泰富有限公司6.625%票據2021年	USD	250,000,000	2014/06/24	2021/04/15	2,164.50
85978	eSun Int'l Finance Ltd. 8.375%有抵押有擔保人民幣 票據2018年	RMB	650,000,000	2014/06/25	2018/06/24	809.25
05755*	中國農產品交易有限公司1.00%票據2024年	HKD	30,000,000	2014/06/27	2024/09/30	—
85979	Eastern Creation II Invest Hldgs Ltd. 3.75%有擔保人民幣 票據2017年	RMB	1,200,000,000	2014/06/30	2017/06/27	1,494.60
總額						318,930.32

* 進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2013	第2季	232,045.35	27,829.00	41.10	259,915.45	6.98
	第3季	187,460.35	19,308.78	32.94	206,802.07	5.98
	第4季	174,554.43	13,129.54	37.59	187,721.56	5.17
2014	第1季	166,595.89	12,531.06	52.35	179,179.30	4.37
	第2季	134,427.45	12,993.49	36.04	147,456.99	4.31

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		房地產投資 信託基金 (REIT) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目	
2013	第2季	110	577,695.30	9	174,766.41	2	1,290.35	121	753,752.05
	第3季	113	592,893.27	10	175,966.45	2	1,319.72	125	770,179.44
	第4季	116	538,892.05	11	177,310.63	2	1,376.37	129	717,579.05
2014	第1季	119	539,592.84	11	175,108.28	1	1,246.28	131	715,947.41
	第2季	124	550,831.24	11	185,309.13	1	1,239.99	136	737,380.36

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2013	第2季	10	—
	第3季	4	1,650.50
	第4季	4	1,674.50
2014	第1季	3	—
	第2季	5	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2014年第2季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
83107/03107	添富共享中証主要消費指數ETF	匯添富資產管理(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2014/05/12
83132/03132	添富共享中証醫藥衛生指數ETF	匯添富資產管理(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2014/05/12
03085	領航富時亞洲(日本除外)高股息率指數ETF	The Vanguard Group, Inc.	n.a.	n.a.	n.a.	n.a.	2014/06/13
03101	領航富時發展歐洲指數ETF	The Vanguard Group, Inc.	n.a.	n.a.	n.a.	n.a.	2014/06/13
03126	領航富時日本指數ETF	The Vanguard Group, Inc.	n.a.	n.a.	n.a.	n.a.	2014/06/13

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2013	第2季	1,222	52,676.48	326,008.67
	第3季	1,596	68,940.37	330,779.62
	第4季	1,620	68,388.12	256,527.75
2014	第1季	1,799	59,586.41	310,519.71
	第2季	1,846	52,720.61	244,418.59

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2013	第2季	2,415	132,125.62
	第3季	2,455	137,493.48
	第4季	2,156	112,648.01
2014	第1季	3,115	146,272.13
	第2季	2,079	93,001.85

主板 可收回牛熊證

新上市牛熊證

2014年第2季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68984	Credit Suisse AG - HSI R Bull CBBC Nov 2014 V	238,000,000	2014/04/01	2014/11/27	59.50
68985	Credit Suisse AG - HSI R Bull CBBC Nov 2014 W	238,000,000	2014/04/01	2014/11/27	59.50
69000	Credit Suisse AG - HSI R Bear CBBC Jul 2014 T	238,000,000	2014/04/01	2014/07/30	59.50
68999	Credit Suisse AG - HSI R Bear CBBC Sep 2014 M	238,000,000	2014/04/01	2014/09/29	59.50
68965	HK Bank - China Mobile R Bull CBBC Mar 2015 D	120,000,000	2014/04/01	2015/03/02	30.00
68957	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 E	80,000,000	2014/04/01	2015/01/26	20.00
68952	HK Bank - A50 R Bull CBBC Jan 2015 H	200,000,000	2014/04/01	2015/01/12	50.00
68955	HK Bank - A50 R Bull CBBC Jan 2015 I	200,000,000	2014/04/01	2015/01/09	50.00
68968	HK Bank - Geely Auto R Bull CBBC Aug 2015 A	40,000,000	2014/04/01	2015/08/31	10.00
68967	HK Bank - Great Wall Motor R Bull CBBC Nov 2015 B	40,000,000	2014/04/01	2015/11/30	10.00
68951	HK Bank - HSCEI R Bull CBBC Nov 2014 D	100,000,000	2014/04/01	2014/11/27	25.00
68963	HK Bank - HSCEI R Bull CBBC Dec 2014 C	100,000,000	2014/04/01	2014/12/30	25.00
68960	HK Bank - HSI R Bull CBBC Oct 2014 R	100,000,000	2014/04/01	2014/10/30	25.00
68962	HK Bank - HSI R Bull CBBC Nov 2014 N	100,000,000	2014/04/01	2014/11/27	25.00
68961	HK Bank - HSI R Bull CBBC Dec 2014 H	100,000,000	2014/04/01	2014/12/30	25.00
68966	HK Bank - PetCh R Bull CBBC Dec 2014 A	60,000,000	2014/04/01	2014/12/22	15.00
68969	HK Bank - SHK Ppt R Bear CBBC Jan 2015 A	50,000,000	2014/04/01	2015/01/26	12.50
68958	HK Bank - TCH R Bull CBBC Nov 2014 M	50,000,000	2014/04/01	2014/11/03	17.50
68959	HK Bank - TCH R Bull CBBC Nov 2014 N	50,000,000	2014/04/01	2014/11/27	12.50
68949	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 G	300,000,000	2014/04/01	2014/11/27	75.00
68950	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 C	300,000,000	2014/04/01	2014/12/30	75.00
68948	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 C	300,000,000	2014/04/01	2015/03/30	75.00
69001	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/04/01	2014/08/28	75.00
68981	Standard Chartered Bank - China Mobile R Bull CBBC Nov 2014C	50,000,000	2014/04/01	2014/11/18	12.50
68982	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 O	100,000,000	2014/04/01	2015/02/26	25.00
68983	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 P	100,000,000	2014/04/01	2015/02/26	25.00
68977	Standard Chartered Bank - Hutchison R Bull CBBC Oct 2014 B	50,000,000	2014/04/01	2014/10/23	12.50
68980	Standard Chartered Bank - Hutchison R Bear CBBC Sep 2014 B	50,000,000	2014/04/01	2014/09/25	12.50
68973	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14T	200,000,000	2014/04/01	2014/11/27	50.00
68972	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14Z	200,000,000	2014/04/01	2014/11/27	50.00
68974	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14S	200,000,000	2014/04/01	2014/07/30	50.00
68998	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14T	200,000,000	2014/04/01	2014/07/30	50.00
68995	UBS AG - China Mobile R Bear CBBC Aug 2014 E	100,000,000	2014/04/01	2014/08/18	25.00
68993	UBS AG - China Life R Bull CBBC Jul 2015 A	50,000,000	2014/04/01	2015/07/20	22.50
68992	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2015 B	50,000,000	2014/04/01	2015/03/16	12.50
68991	UBS AG - A50 China R Bull CBBC Mar 2015 C	100,000,000	2014/04/01	2015/03/02	25.00
69004	UBS AG - Geely Auto R Bull CBBC Jul 2015 B	50,000,000	2014/04/01	2015/07/20	12.50
68997	UBS AG - Galaxy Ent R Bear CBBC Aug 2014 C	100,000,000	2014/04/01	2014/08/25	25.00
68994	UBS AG - Great Wall Motor R Bull CBBC Jul 2015 B	50,000,000	2014/04/01	2015/07/13	12.50
68989	UBS AG - HSCEI R Bull CBBC Feb 2015 A	100,000,000	2014/04/01	2015/02/26	25.00
68986	UBS AG - HSI R Bull CBBC Sep 2014 H	300,000,000	2014/04/01	2014/09/29	75.00
68971	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/04/01	2014/11/27	75.00
68988	UBS AG - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/04/01	2014/12/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69002	UBS AG - HSI R Bear CBBC Jul 2014 N	300,000,000	2014/04/01	2014/07/30	75.00
69003	UBS AG - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/04/01	2014/07/30	75.00
68996	UBS AG - TCH R Bear CBBC Sep 2014 K	40,000,000	2014/04/01	2014/09/08	14.60
69049	Credit Suisse AG - HSI R Bull CBBC Sep 2014 D	238,000,000	2014/04/02	2014/09/29	59.50
69047	Credit Suisse AG - HSI R Bull CBBC Sep 2014 I	238,000,000	2014/04/02	2014/09/29	59.50
69048	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Z	238,000,000	2014/04/02	2014/09/29	59.50
69023	Credit Suisse AG - HSI R Bear CBBC Jul 2014 K	238,000,000	2014/04/02	2014/07/30	59.50
69021	Credit Suisse AG - HSI R Bear CBBC Jul 2014 U	238,000,000	2014/04/02	2014/07/30	59.50
69022	Credit Suisse AG - HSI R Bear CBBC Aug 2014 H	238,000,000	2014/04/02	2014/08/28	59.50
69055	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 A	150,000,000	2014/04/02	2014/08/28	50.70
69056	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 B	150,000,000	2014/04/02	2014/08/28	52.20
69057	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 C	150,000,000	2014/04/02	2014/08/28	42.60
69007	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 G	60,000,000	2014/04/02	2014/12/15	15.00
69011	HK Bank - HSI R Bear CBBC Nov 2014 K	100,000,000	2014/04/02	2014/11/27	25.00
69005	HK Bank - TCH R Bear CBBC Dec 2014 C	50,000,000	2014/04/02	2014/12/15	17.50
69006	HK Bank - TCH R Bear CBBC Dec 2014 D	50,000,000	2014/04/02	2014/12/08	12.50
69015	HK Bank - TCH R Bear CBBC Dec 2014 E	50,000,000	2014/04/02	2014/12/22	22.50
69058	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 H	300,000,000	2014/04/02	2014/11/27	75.00
69060	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/04/02	2014/12/30	75.00
69065	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/04/02	2014/07/30	75.00
69061	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 D	300,000,000	2014/04/02	2014/08/28	75.00
69062	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 E	300,000,000	2014/04/02	2014/08/28	75.00
69066	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/04/02	2014/08/28	75.00
69040	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2014 E	50,000,000	2014/04/02	2014/08/28	12.50
69041	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2014 F	50,000,000	2014/04/02	2014/08/28	12.50
69052	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 Q	100,000,000	2014/04/02	2015/02/26	25.00
69020	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 K	100,000,000	2014/04/02	2014/11/27	25.00
69054	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 L	100,000,000	2014/04/02	2014/11/27	25.00
69027	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14A	200,000,000	2014/04/02	2014/11/27	50.00
69042	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14H	200,000,000	2014/04/02	2014/11/27	50.00
69028	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14U	200,000,000	2014/04/02	2014/07/30	50.00
69029	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14V	200,000,000	2014/04/02	2014/07/30	50.00
69043	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14W	200,000,000	2014/04/02	2014/07/30	50.00
69037	UBS AG - CC Bank R Bull CBBC Dec 2014 B	100,000,000	2014/04/02	2014/12/15	25.00
69034	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2015 B	50,000,000	2014/04/02	2015/02/09	12.50
69032	UBS AG - A50 China R Bull CBBC Feb 2015 C	100,000,000	2014/04/02	2015/02/02	25.00
69031	UBS AG - A50 China R Bull CBBC Mar 2015 D	100,000,000	2014/04/02	2015/03/23	25.00
69030	UBS AG - HSCEI R Bull CBBC Dec 2014 F	100,000,000	2014/04/02	2014/12/30	25.00
69045	UBS AG - HSI R Bull CBBC Sep 2014 M	300,000,000	2014/04/02	2014/09/29	75.00
69044	UBS AG - HSI R Bull CBBC Oct 2014 Y	300,000,000	2014/04/02	2014/10/30	75.00
69046	UBS AG - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/04/02	2014/11/27	75.00
69026	UBS AG - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/04/02	2014/07/30	75.00
69024	UBS AG - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/04/02	2014/08/28	75.00
69038	UBS AG - Petrochina R Bull CBBC Nov 2014 B	50,000,000	2014/04/02	2014/11/17	12.50
69039	UBS AG - TCH R Bear CBBC Oct 2014 H	40,000,000	2014/04/02	2014/10/20	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69093	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2014 A	50,000,000	2014/04/03	2014/11/28	12.50
69094	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2014 B	50,000,000	2014/04/03	2014/11/28	12.50
69078	Credit Suisse AG - HSI R Bull CBBC Dec 2014 A	238,000,000	2014/04/03	2014/12/30	59.50
69076	Credit Suisse AG - HSI R Bull CBBC Dec 2014 P	238,000,000	2014/04/03	2014/12/30	59.50
69101	Credit Suisse AG - HSI R Bear CBBC Aug 2014 I	238,000,000	2014/04/03	2014/08/28	59.50
69102	Credit Suisse AG - HSI R Bear CBBC Aug 2014 R	238,000,000	2014/04/03	2014/08/28	59.50
69095	Credit Suisse AG - TCH R Bull CBBC Nov 2014 A	50,000,000	2014/04/03	2014/11/28	37.00
69096	Credit Suisse AG - TCH R Bull CBBC Nov 2014 B	50,000,000	2014/04/03	2014/11/28	47.00
69097	Credit Suisse AG - TCH R Bear CBBC Aug 2014 A	50,000,000	2014/04/03	2014/08/29	28.50
69106	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 D	150,000,000	2014/04/03	2014/08/28	38.85
69069	HK Bank - HSCEI R Bull CBBC Oct 2014 E	100,000,000	2014/04/03	2014/10/30	25.00
69067	HK Bank - HSI R Bear CBBC Oct 2014 R	100,000,000	2014/04/03	2014/10/30	25.00
69070	HK Bank - HSI R Bear CBBC Dec 2014 G	100,000,000	2014/04/03	2014/12/30	25.00
69074	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 X	300,000,000	2014/04/03	2014/11/27	75.00
69073	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/04/03	2014/12/30	75.00
69108	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/04/03	2014/12/30	75.00
69109	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 D	300,000,000	2014/04/03	2015/03/30	75.00
69075	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 T	300,000,000	2014/04/03	2014/07/30	75.00
69110	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/04/03	2014/08/28	75.00
69111	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/04/03	2014/08/28	75.00
69112	Standard Chartered Bank - Galaxy Ent R Bull CBBC Sep 2014 E	50,000,000	2014/04/03	2014/09/25	12.50
69116	Standard Chartered Bank - Galaxy Ent R Bear CBBC Sep 2014 C	50,000,000	2014/04/03	2014/09/25	12.50
69098	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14J	200,000,000	2014/04/03	2014/11/27	50.00
69099	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14X	200,000,000	2014/04/03	2014/07/30	50.00
69100	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14Y	200,000,000	2014/04/03	2014/07/30	50.00
69092	UBS AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2014/04/03	2014/10/30	75.00
69104	UBS AG - HSI R Bear CBBC Jul 2014 Q	300,000,000	2014/04/03	2014/07/30	75.00
69103	UBS AG - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/04/03	2014/08/28	75.00
69091	UBS AG - TCH R Bear CBBC Jul 2014 G	40,000,000	2014/04/03	2014/07/21	10.00
69081	UBS AG - TCH R Bear CBBC Aug 2014 G	40,000,000	2014/04/03	2014/08/25	29.60
69082	UBS AG - TCH R Bear CBBC Aug 2014 H	40,000,000	2014/04/03	2014/08/04	17.40
69164	Credit Suisse AG - HSI R Bull CBBC Oct 2014 H	238,000,000	2014/04/04	2014/10/30	59.50
69165	Credit Suisse AG - HSI R Bull CBBC Oct 2014 I	238,000,000	2014/04/04	2014/10/30	59.50
69167	Credit Suisse AG - HSI R Bull CBBC Oct 2014 M	238,000,000	2014/04/04	2014/10/30	59.50
69132	Credit Suisse AG - HSI R Bear CBBC Jul 2014 F	238,000,000	2014/04/04	2014/07/30	59.50
69131	Credit Suisse AG - HSI R Bear CBBC Jul 2014 L	238,000,000	2014/04/04	2014/07/30	59.50
69130	Credit Suisse AG - HSI R Bear CBBC Jul 2014 O	238,000,000	2014/04/04	2014/07/30	59.50
69157	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 A	150,000,000	2014/04/04	2014/08/28	39.45
69158	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 B	150,000,000	2014/04/04	2014/08/28	37.95
69117	HK Bank - China Mobile R Bull CBBC Jan 2015 C	120,000,000	2014/04/04	2015/01/30	30.00
69118	HK Bank - Huishan Dairy R Bull CBBC Dec 2015 A	40,000,000	2014/04/04	2015/12/21	10.00
69119	HK Bank - TCH R Bear CBBC Jan 2015 A	50,000,000	2014/04/04	2015/01/19	25.00
69120	HK Bank - TCH R Bear CBBC Jan 2015 B	50,000,000	2014/04/04	2015/01/12	20.00
69121	HK Bank - TCH R Bear CBBC Jan 2015 C	50,000,000	2014/04/04	2015/01/05	15.00
69150	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 F	300,000,000	2014/04/04	2014/10/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69146	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Z	300,000,000	2014/04/04	2014/10/30	75.00
69144	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 R	300,000,000	2014/04/04	2014/11/27	75.00
69148	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/04/04	2014/11/27	75.00
69147	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/04/04	2014/12/30	75.00
69137	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/04/04	2014/12/30	75.00
69155	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 A	300,000,000	2014/04/04	2015/01/29	75.00
69154	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 E	300,000,000	2014/04/04	2015/03/30	75.00
69151	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/04/04	2014/07/30	75.00
69153	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/04/04	2014/07/30	75.00
69123	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/04/04	2014/07/30	75.00
69125	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/04/04	2014/07/30	75.00
69122	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/04/04	2014/08/28	75.00
69152	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/04/04	2014/08/28	75.00
69183	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/04/04	2014/08/28	75.00
69184	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/04/04	2014/08/28	75.00
69185	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 M	300,000,000	2014/04/04	2014/08/28	75.00
69191	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/04/04	2014/08/28	75.00
69192	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 O	300,000,000	2014/04/04	2014/08/28	75.00
69168	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 R	100,000,000	2014/04/04	2015/02/26	25.00
69162	Standard Chartered Bank - TCH R Bear CBBC Oct 2014 K	50,000,000	2014/04/04	2014/10/15	34.00
69159	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14O	200,000,000	2014/04/04	2014/11/27	50.00
69160	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14E	200,000,000	2014/04/04	2014/07/30	50.00
69126	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14Z	200,000,000	2014/04/04	2014/07/30	50.00
69176	UBS AG - HSCEI R Bull CBBC Nov 2014 G	100,000,000	2014/04/04	2014/11/27	25.00
69172	UBS AG - HSCEI R Bear CBBC Jul 2014 A	100,000,000	2014/04/04	2014/07/30	25.00
69174	UBS AG - HSCEI R Bear CBBC Jul 2014 B	100,000,000	2014/04/04	2014/07/30	25.00
69171	UBS AG - HSCEI R Bear CBBC Aug 2014 A	100,000,000	2014/04/04	2014/08/28	25.00
69169	UBS AG - HSI R Bull CBBC Nov 2014 C	300,000,000	2014/04/04	2014/11/27	75.00
69170	UBS AG - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/04/04	2014/12/30	75.00
69135	UBS AG - HSI R Bear CBBC Jul 2014 B	300,000,000	2014/04/04	2014/07/30	75.00
69136	UBS AG - HSI R Bear CBBC Sep 2014 C	300,000,000	2014/04/04	2014/09/29	75.00
69182	UBS AG - TCH R Bull CBBC Oct 2014 D	40,000,000	2014/04/04	2014/10/20	11.00
69177	UBS AG - TCH R Bear CBBC Sep 2014 L	40,000,000	2014/04/04	2014/09/15	15.80
69208	Credit Suisse AG - HSI R Bull CBBC Nov 2014 D	238,000,000	2014/04/07	2014/11/27	59.50
69209	Credit Suisse AG - HSI R Bull CBBC Nov 2014 E	238,000,000	2014/04/07	2014/11/27	59.50
69196	Credit Suisse AG - HSI R Bear CBBC Jul 2014 D	238,000,000	2014/04/07	2014/07/30	59.50
69197	Credit Suisse AG - HSI R Bear CBBC Jul 2014 I	238,000,000	2014/04/07	2014/07/30	59.50
69207	Credit Suisse AG - HSI R Bear CBBC Aug 2014 S	238,000,000	2014/04/07	2014/08/28	59.50
69212	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 S	100,000,000	2014/04/07	2015/02/26	25.00
69211	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 M	100,000,000	2014/04/07	2014/11/27	25.00
69193	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov14 W	200,000,000	2014/04/07	2014/11/27	50.00
69194	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 F	200,000,000	2014/04/07	2014/07/30	50.00
69201	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 A	200,000,000	2014/04/07	2014/08/28	50.00
69203	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 B	200,000,000	2014/04/07	2014/08/28	50.00
69234	UBS AG - AIA R Bull CBBC Jun 2015 B	100,000,000	2014/04/07	2015/06/22	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69237	UBS AG - Galaxy Ent R Bull CBBC Aug 2014 C	100,000,000	2014/04/07	2014/08/18	25.00
69231	UBS AG - HSCEI R Bear CBBC Jul 2014 C	100,000,000	2014/04/07	2014/07/30	25.00
69215	UBS AG - HSI R Bull CBBC Sep 2014 I	300,000,000	2014/04/07	2014/09/29	75.00
69216	UBS AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/04/07	2014/10/30	75.00
69213	UBS AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/04/07	2014/10/30	75.00
69198	UBS AG - HSI R Bear CBBC Jul 2014 F	300,000,000	2014/04/07	2014/07/30	75.00
69218	UBS AG - HSI R Bear CBBC Jul 2014 G	300,000,000	2014/04/07	2014/07/30	75.00
69230	UBS AG - HSI R Bear CBBC Jul 2014 H	300,000,000	2014/04/07	2014/07/30	75.00
69214	UBS AG - HSI R Bear CBBC Jul 2014 K	300,000,000	2014/04/07	2014/07/30	75.00
69223	UBS AG - HSI R Bear CBBC Jul 2014 M	300,000,000	2014/04/07	2014/07/30	75.00
69217	UBS AG - HSI R Bear CBBC Jul 2014 Y	300,000,000	2014/04/07	2014/07/30	75.00
69232	UBS AG - Huishan Dairy R Bull CBBC Nov 2015 A	50,000,000	2014/04/07	2015/11/09	12.50
69233	UBS AG - ICBC R Bull CBBC Dec 2014 B	100,000,000	2014/04/07	2014/12/15	25.00
69236	UBS AG - TCH R Bull CBBC Sep 2014 G	40,000,000	2014/04/07	2014/09/22	10.00
69235	UBS AG - TCH R Bear CBBC Aug 2014 I	40,000,000	2014/04/07	2014/08/18	10.00
69264	Credit Suisse AG - China Mobile R Bull CBBC Nov 2014 C	50,000,000	2014/04/08	2014/11/28	12.50
69262	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2014 C	50,000,000	2014/04/08	2014/11/28	12.50
69306	Credit Suisse AG - HSI R Bull CBBC Oct 2014 K	238,000,000	2014/04/08	2014/10/30	59.50
69301	Credit Suisse AG - HSI R Bull CBBC Oct 2014 O	238,000,000	2014/04/08	2014/10/30	59.50
69307	Credit Suisse AG - HSI R Bull CBBC Oct 2014 S	238,000,000	2014/04/08	2014/10/30	59.50
69254	Credit Suisse AG - HSI R Bear CBBC Jul 2014 H	238,000,000	2014/04/08	2014/07/30	59.50
69253	Credit Suisse AG - HSI R Bear CBBC Jul 2014 J	238,000,000	2014/04/08	2014/07/30	59.50
69255	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Q	238,000,000	2014/04/08	2014/07/30	59.50
69300	Credit Suisse AG - HSI R Bear CBBC Aug 2014 T	238,000,000	2014/04/08	2014/08/28	59.50
69259	Credit Suisse AG - TCH R Bull CBBC Nov 2014 C	50,000,000	2014/04/08	2014/11/28	27.00
69261	Credit Suisse AG - TCH R Bull CBBC Nov 2014 D	50,000,000	2014/04/08	2014/11/28	17.00
69270	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 C	150,000,000	2014/04/08	2014/08/28	41.70
69272	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 D	150,000,000	2014/04/08	2014/08/28	39.15
69312	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 E	150,000,000	2014/04/08	2014/08/28	41.10
69271	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 E	150,000,000	2014/04/08	2014/08/28	51.75
69275	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 F	150,000,000	2014/04/08	2014/08/28	50.25
69248	HK Bank - China Life R Bull CBBC Dec 2014 A	60,000,000	2014/04/08	2014/12/22	15.00
69245	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 H	60,000,000	2014/04/08	2014/12/18	15.00
69241	HK Bank - HSCEI R Bull CBBC Dec 2014 D	100,000,000	2014/04/08	2014/12/30	25.00
69238	HK Bank - HSI R Bull CBBC Nov 2014 O	100,000,000	2014/04/08	2014/11/27	25.00
69240	HK Bank - HSI R Bull CBBC Nov 2014 P	100,000,000	2014/04/08	2014/11/27	25.00
69239	HK Bank - HSI R Bull CBBC Dec 2014 I	100,000,000	2014/04/08	2014/12/30	25.00
69244	HK Bank - Sands China R Bear CBBC Dec 2014 B	50,000,000	2014/04/08	2014/12/22	12.50
69242	HK Bank - TCH R Bull CBBC Dec 2014 A	50,000,000	2014/04/08	2014/12/08	17.50
69243	HK Bank - TCH R Bull CBBC Dec 2014 B	50,000,000	2014/04/08	2014/12/01	12.50
69303	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 H	50,000,000	2014/04/08	2014/09/29	12.50
69304	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 I	50,000,000	2014/04/08	2014/09/29	12.50
69258	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 A	100,000,000	2014/04/08	2014/12/30	25.00
69267	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14D	200,000,000	2014/04/08	2014/11/27	50.00
69268	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14H	200,000,000	2014/04/08	2014/07/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69308	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug 14C	200,000,000	2014/04/08	2014/08/28	50.00
69309	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug 14D	200,000,000	2014/04/08	2014/08/28	50.00
69297	UBS AG - COVS R Bear CBBC Nov 2014 A	50,000,000	2014/04/08	2014/11/03	12.50
69299	UBS AG - Cheung Kong R Bull CBBC Dec 2014 A	100,000,000	2014/04/08	2014/12/01	25.00
69294	UBS AG - China Life R Bull CBBC Oct 2014 A	50,000,000	2014/04/08	2014/10/13	12.50
69298	UBS AG - Sinopec Corp R Bull CBBC Nov 2014 B	50,000,000	2014/04/08	2014/11/24	12.50
69293	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2015 C	50,000,000	2014/04/08	2015/02/16	12.50
69296	UBS AG - China Shenhua R Bull CBBC Aug 2015 A	100,000,000	2014/04/08	2015/08/31	25.00
69289	UBS AG - HSCEI R Bear CBBC Aug 2014 B	100,000,000	2014/04/08	2014/08/28	25.00
69310	UBS AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/04/08	2014/11/27	75.00
69311	UBS AG - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/04/08	2014/12/30	75.00
69305	UBS AG - HSI R Bear CBBC Jul 2014 I	300,000,000	2014/04/08	2014/07/30	75.00
69251	UBS AG - HSI R Bear CBBC Aug 2014 M	300,000,000	2014/04/08	2014/08/28	75.00
69252	UBS AG - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/04/08	2014/08/28	75.00
69279	UBS AG - HSI R Bear CBBC Aug 2014 O	300,000,000	2014/04/08	2014/08/28	75.00
69282	UBS AG - HSI R Bear CBBC Aug 2014 P	300,000,000	2014/04/08	2014/08/28	75.00
69283	UBS AG - HSI R Bear CBBC Aug 2014 Q	300,000,000	2014/04/08	2014/08/28	75.00
69276	UBS AG - HSI R Bear CBBC Sep 2014 D	300,000,000	2014/04/08	2014/09/29	75.00
69278	UBS AG - HSI R Bear CBBC Sep 2014 E	300,000,000	2014/04/08	2014/09/29	75.00
69349	Credit Suisse AG - HSI R Bull CBBC Dec 2014 B	238,000,000	2014/04/09	2014/12/30	59.50
69353	Credit Suisse AG - HSI R Bull CBBC Dec 2014 C	238,000,000	2014/04/09	2014/12/30	59.50
69354	Credit Suisse AG - HSI R Bull CBBC Dec 2014 D	238,000,000	2014/04/09	2014/12/30	59.50
69330	Credit Suisse AG - HSI R Bear CBBC Jul 2014 P	238,000,000	2014/04/09	2014/07/30	59.50
69331	Credit Suisse AG - HSI R Bear CBBC Jul 2014 T	238,000,000	2014/04/09	2014/07/30	59.50
69363	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Jan 2015 A	100,000,000	2014/04/09	2015/01/12	25.00
69381	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jan15A	80,000,000	2014/04/09	2015/01/12	20.00
69382	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jan15B	80,000,000	2014/04/09	2015/01/12	20.00
69384	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jan15C	80,000,000	2014/04/09	2015/01/12	20.00
69383	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Jan15A	80,000,000	2014/04/09	2015/01/12	20.00
69364	Citigroup Global Mkt H Inc. - CNOOC R Bear CBBC Jan 2015 A	50,000,000	2014/04/09	2015/01/12	12.50
69369	Citigroup Global Mkt H Inc. - Sinopec Corp R Bull CBBC Jan15A	60,000,000	2014/04/09	2015/01/12	15.00
69380	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Jan 15 A	100,000,000	2014/04/09	2015/01/12	25.00
69371	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Jan 15A	60,000,000	2014/04/09	2015/01/12	15.00
69372	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Jan 15B	60,000,000	2014/04/09	2015/01/12	15.00
69375	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Jan 15A	60,000,000	2014/04/09	2015/01/12	15.00
69362	Citigroup Global Mkt H Inc. - HSBC R Bull CBBC Jan 2015 A	80,000,000	2014/04/09	2015/01/12	20.00
69365	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Jan 2015 A	50,000,000	2014/04/09	2015/01/12	12.50
69368	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Jan 2015 B	50,000,000	2014/04/09	2015/01/12	12.50
69370	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Jan15A	60,000,000	2014/04/09	2015/01/12	15.00
69385	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 B	50,000,000	2014/04/09	2015/01/12	30.00
69386	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 C	50,000,000	2014/04/09	2015/01/12	24.00
69387	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 D	50,000,000	2014/04/09	2015/01/12	19.00
69388	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 E	50,000,000	2014/04/09	2015/01/12	14.00
69389	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 F	50,000,000	2014/04/09	2015/01/12	12.50
69359	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Jan 2015 A	50,000,000	2014/04/09	2015/01/12	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69360	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Jan 2015 B	50,000,000	2014/04/09	2015/01/12	15.00
69361	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Jan 2015 C	50,000,000	2014/04/09	2015/01/12	20.00
69314	HK Bank - Galaxy Ent R Bull CBBC Jan 2015 A	80,000,000	2014/04/09	2015/01/30	20.00
69313	HK Bank - HSI R Bull CBBC Nov 2014 Q	400,000,000	2014/04/09	2014/11/27	100.00
69316	HK Bank - Sands China R Bull CBBC Jan 2015 A	60,000,000	2014/04/09	2015/01/30	15.00
69319	HK Bank - TCH R Bull CBBC Nov 2014 O	50,000,000	2014/04/09	2014/11/24	20.00
69320	HK Bank - TCH R Bull CBBC Nov 2014 P	50,000,000	2014/04/09	2014/11/27	15.00
69329	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2014 G	50,000,000	2014/04/09	2014/08/28	12.50
69321	Standard Chartered Bank - Galaxy Ent R Bull CBBC Oct 2014 A	50,000,000	2014/04/09	2014/10/23	12.50
69343	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 T	100,000,000	2014/04/09	2015/02/26	25.00
69344	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 U	100,000,000	2014/04/09	2015/02/26	25.00
69326	Standard Chartered Bank - Sands China R Bull CBBC Nov 2014 C	50,000,000	2014/04/09	2014/11/24	12.50
69327	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 E	50,000,000	2014/04/09	2014/11/03	31.00
69348	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14C	200,000,000	2014/04/09	2014/11/27	50.00
69345	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec 14V	200,000,000	2014/04/09	2014/12/30	50.00
69328	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul 14I	200,000,000	2014/04/09	2014/07/30	50.00
69358	UBS AG - Cheung Kong R Bear CBBC Jul 2014 A	100,000,000	2014/04/09	2014/07/21	25.00
69342	UBS AG - Galaxy Ent R Bull CBBC Oct 2014 G	100,000,000	2014/04/09	2014/10/20	25.00
69334	UBS AG - HKEx R Bull CBBC Nov 2014 B	100,000,000	2014/04/09	2014/11/17	25.00
69355	UBS AG - HSI R Bull CBBC Sep 2014 L	300,000,000	2014/04/09	2014/09/29	75.00
69356	UBS AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/04/09	2014/10/30	75.00
69357	UBS AG - HSI R Bull CBBC Nov 2014 D	300,000,000	2014/04/09	2014/11/27	75.00
69333	UBS AG - HSI R Bear CBBC Jul 2014 J	300,000,000	2014/04/09	2014/07/30	75.00
69339	UBS AG - Sands China R Bull CBBC Sep 2014 C	100,000,000	2014/04/09	2014/09/22	25.00
69338	UBS AG - Sands China R Bear CBBC Oct 2014 C	50,000,000	2014/04/09	2014/10/06	12.50
69340	UBS AG - TCH R Bull CBBC Aug 2014 I	40,000,000	2014/04/09	2014/08/25	10.00
69341	UBS AG - TCH R Bull CBBC Oct 2014 E	40,000,000	2014/04/09	2014/10/06	16.00
69465	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/04/10	2014/12/30	75.00
69455	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/04/10	2014/12/30	75.00
69470	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/04/10	2014/12/30	75.00
69458	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/04/10	2014/12/30	75.00
69459	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/04/10	2014/12/30	75.00
69462	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/04/10	2014/12/30	75.00
69464	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/04/10	2014/12/30	75.00
69454	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/04/10	2014/12/30	75.00
69444	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 U	300,000,000	2014/04/10	2015/02/26	75.00
69445	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 V	300,000,000	2014/04/10	2015/02/26	75.00
69451	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 W	300,000,000	2014/04/10	2015/02/26	75.00
69453	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2015 X	300,000,000	2014/04/10	2015/02/26	75.00
69475	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 J	300,000,000	2014/04/10	2014/09/29	75.00
69476	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 K	300,000,000	2014/04/10	2014/09/29	75.00
69477	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 L	300,000,000	2014/04/10	2014/09/29	75.00
69478	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 M	300,000,000	2014/04/10	2014/09/29	75.00
69483	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 N	300,000,000	2014/04/10	2014/09/29	75.00
69484	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 O	300,000,000	2014/04/10	2014/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69485	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 P	300,000,000	2014/04/10	2014/09/29	75.00
69489	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 Q	300,000,000	2014/04/10	2014/09/29	75.00
69434	Credit Suisse AG - HSI R Bull CBBC Nov 2014 H	238,000,000	2014/04/10	2014/11/27	59.50
69431	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Q	238,000,000	2014/04/10	2014/11/27	59.50
69433	Credit Suisse AG - HSI R Bull CBBC Nov 2014 R	238,000,000	2014/04/10	2014/11/27	59.50
69403	Credit Suisse AG - HSI R Bear CBBC Jul 2014 K	238,000,000	2014/04/10	2014/07/30	59.50
69404	Credit Suisse AG - HSI R Bear CBBC Jul 2014 U	238,000,000	2014/04/10	2014/07/30	59.50
69428	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 F	150,000,000	2014/04/10	2014/08/28	41.55
69429	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 G	150,000,000	2014/04/10	2014/08/28	40.80
69439	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 G	150,000,000	2014/04/10	2014/08/28	40.80
69393	HK Bank - COVS R Bull CBBC Jan 2015 A	50,000,000	2014/04/10	2015/01/30	15.00
69395	HK Bank - Cheung Kong R Bull CBBC Dec 2014 B	60,000,000	2014/04/10	2014/12/30	15.00
69390	HK Bank - HSI R Bull CBBC Nov 2014 R	100,000,000	2014/04/10	2014/11/27	25.00
69392	HK Bank - HSI R Bull CBBC Nov 2014 S	100,000,000	2014/04/10	2014/11/27	25.00
69430	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 J	50,000,000	2014/04/10	2014/09/29	12.50
69407	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 B	100,000,000	2014/04/10	2014/12/30	25.00
69424	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 W	200,000,000	2014/04/10	2014/12/30	50.00
69426	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 J	200,000,000	2014/04/10	2014/07/30	50.00
69427	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 K	200,000,000	2014/04/10	2014/07/30	50.00
69411	UBS AG - AIA R Bull CBBC Dec 2014 A	100,000,000	2014/04/10	2014/12/29	25.00
69412	UBS AG - China Mobile R Bull CBBC Nov 2014 D	100,000,000	2014/04/10	2014/11/03	25.00
69422	UBS AG - HSBC R Bull CBBC Dec 2014 B	100,000,000	2014/04/10	2014/12/15	25.00
69410	UBS AG - HSCEI R Bull CBBC Dec 2014 G	100,000,000	2014/04/10	2014/12/30	25.00
69436	UBS AG - HSI R Bull CBBC Sep 2014 X	300,000,000	2014/04/10	2014/09/29	75.00
69435	UBS AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/04/10	2014/10/30	75.00
69437	UBS AG - HSI R Bull CBBC Oct 2014 O	300,000,000	2014/04/10	2014/10/30	75.00
69438	UBS AG - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/04/10	2014/12/30	75.00
69399	UBS AG - HSI R Bear CBBC Aug 2014 R	300,000,000	2014/04/10	2014/08/28	75.00
69400	UBS AG - HSI R Bear CBBC Sep 2014 F	300,000,000	2014/04/10	2014/09/29	75.00
69413	UBS AG - Petrochina R Bull CBBC Oct 2014 C	50,000,000	2014/04/10	2014/10/13	12.50
69416	UBS AG - TCH R Bear CBBC Aug 2014 J	40,000,000	2014/04/10	2014/08/25	10.00
69415	UBS AG - TCH R Bear CBBC Sep 2014 M	40,000,000	2014/04/10	2014/09/22	14.00
69492	Credit Suisse AG - China Mobile R Bull CBBC Nov 2014 D	50,000,000	2014/04/11	2014/11/28	12.50
69506	Credit Suisse AG - A50 China R Bull CBBC Dec 2014 E	50,000,000	2014/04/11	2014/12/30	12.50
69508	Credit Suisse AG - A50 China R Bear CBBC Aug 2014 C	50,000,000	2014/04/11	2014/08/29	12.50
69493	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2014 D	50,000,000	2014/04/11	2014/11/28	12.50
69524	Credit Suisse AG - HSI R Bull CBBC Oct 2014 E	238,000,000	2014/04/11	2014/10/30	59.50
69541	Credit Suisse AG - HSI R Bear CBBC Jul 2014 E	238,000,000	2014/04/11	2014/07/30	59.50
69542	Credit Suisse AG - HSI R Bear CBBC Jul 2014 F	238,000,000	2014/04/11	2014/07/30	59.50
69540	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Z	238,000,000	2014/04/11	2014/07/30	59.50
69495	Credit Suisse AG - TCH R Bear CBBC Aug 2014 B	50,000,000	2014/04/11	2014/08/29	20.50
69505	Credit Suisse AG - TCH R Bear CBBC Aug 2014 C	50,000,000	2014/04/11	2014/08/29	12.50
69534	Standard Chartered Bank - HKEx R Bull CBBC Aug 2014 B	50,000,000	2014/04/11	2014/08/28	12.50
69535	Standard Chartered Bank - HKEx R Bear CBBC Jul 2014 B	50,000,000	2014/04/11	2014/07/30	12.50
69527	UBS AG - COVS R Bull CBBC Sep 2014 B	50,000,000	2014/04/11	2014/09/22	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69529	UBS AG - Cheung Kong R Bull CBBC Oct 2014 A	100,000,000	2014/04/11	2014/10/27	25.00
69528	UBS AG - HKEEx R Bull CBBC Oct 2014 B	100,000,000	2014/04/11	2014/10/27	25.00
69491	UBS AG - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/04/11	2014/12/30	75.00
69537	UBS AG - HSI R Bull CBBC Feb 2015 G	300,000,000	2014/04/11	2015/02/26	75.00
69539	UBS AG - HSI R Bear CBBC Aug 2014 S	300,000,000	2014/04/11	2014/08/28	75.00
69538	UBS AG - HSI R Bear CBBC Sep 2014 G	300,000,000	2014/04/11	2014/09/29	75.00
69526	UBS AG - TCH R Bull CBBC Oct 2014 F	40,000,000	2014/04/11	2014/10/20	10.00
69525	UBS AG - TCH R Bear CBBC Sep 2014 N	40,000,000	2014/04/11	2014/09/08	10.00
69572	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2014 D	200,000,000	2014/04/14	2014/12/30	50.00
69568	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/04/14	2014/12/30	75.00
69570	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 R	300,000,000	2014/04/14	2014/09/29	75.00
69571	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 S	300,000,000	2014/04/14	2014/09/29	75.00
69559	Credit Suisse AG - HSI R Bull CBBC Oct 2014 F	238,000,000	2014/04/14	2014/10/30	59.50
69573	Credit Suisse AG - HSI R Bear CBBC Jul 2014 L	238,000,000	2014/04/14	2014/07/30	59.50
69574	Credit Suisse AG - HSI R Bear CBBC Jul 2014 O	238,000,000	2014/04/14	2014/07/30	59.50
69583	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Nov 2014 B	50,000,000	2014/04/14	2014/11/28	48.00
69585	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Nov 2014 C	50,000,000	2014/04/14	2014/11/21	38.00
69588	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Nov 2014 D	50,000,000	2014/04/14	2014/11/21	28.00
69589	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Nov 2014 C	50,000,000	2014/04/14	2014/11/21	20.00
69593	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Nov 2014 D	50,000,000	2014/04/14	2014/11/21	25.00
69595	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Nov 2014 E	50,000,000	2014/04/14	2014/11/28	30.00
69596	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Nov 2014 F	50,000,000	2014/04/14	2014/11/28	35.00
69561	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 H	150,000,000	2014/04/14	2014/08/28	41.85
69562	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 I	150,000,000	2014/04/14	2014/08/28	38.55
69557	HK Bank - AIA R Bull CBBC Dec 2014 A	60,000,000	2014/04/14	2014/12/22	15.00
69558	HK Bank - China Mobile R Bull CBBC Feb 2015 D	120,000,000	2014/04/14	2015/02/09	30.00
69551	HK Bank - HKEEx R Bull CBBC Dec 2014 A	60,000,000	2014/04/14	2014/12/30	15.00
69553	HK Bank - HKEEx R Bull CBBC Dec 2014 B	60,000,000	2014/04/14	2014/12/15	15.00
69545	HK Bank - HSI R Bull CBBC Oct 2014 S	100,000,000	2014/04/14	2014/10/30	25.00
69546	HK Bank - HSI R Bull CBBC Nov 2014 T	100,000,000	2014/04/14	2014/11/27	25.00
69544	HK Bank - HSI R Bear CBBC Nov 2014 L	100,000,000	2014/04/14	2014/11/27	25.00
69543	HK Bank - HSI R Bear CBBC Dec 2014 H	100,000,000	2014/04/14	2014/12/30	25.00
69554	HK Bank - TCH R Bear CBBC Nov 2014 M	50,000,000	2014/04/14	2014/11/20	12.50
69567	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 V	100,000,000	2014/04/14	2015/02/26	25.00
69560	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 C	100,000,000	2014/04/14	2014/12/30	25.00
69563	UBS AG - A50 China R Bear CBBC Oct 2014 B	100,000,000	2014/04/14	2014/10/27	25.00
69564	UBS AG - Galaxy Ent R Bear CBBC Oct 2014 E	50,000,000	2014/04/14	2014/10/13	12.50
69565	UBS AG - HSI R Bull CBBC Sep 2014 Y	300,000,000	2014/04/14	2014/09/29	75.00
69582	UBS AG - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/04/14	2014/07/30	75.00
69581	UBS AG - HSI R Bear CBBC Aug 2014 T	300,000,000	2014/04/14	2014/08/28	75.00
69644	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 C	300,000,000	2014/04/15	2014/12/30	75.00
69650	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 T	300,000,000	2014/04/15	2014/09/29	75.00
69652	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 U	300,000,000	2014/04/15	2014/09/29	75.00
69654	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 V	300,000,000	2014/04/15	2014/09/29	75.00
69620	Credit Suisse AG - HSI R Bull CBBC Sep 2014 J	238,000,000	2014/04/15	2014/09/29	59.50

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69621	Credit Suisse AG - HSI R Bull CBBC Sep 2014 K	238,000,000	2014/04/15	2014/09/29	59.50
69624	Credit Suisse AG - HSI R Bull CBBC Sep 2014 R	238,000,000	2014/04/15	2014/09/29	59.50
69603	Credit Suisse AG - HSI R Bear CBBC Aug 2014 U	238,000,000	2014/04/15	2014/08/28	59.50
69605	Credit Suisse AG - HSI R Bear CBBC Aug 2014 V	238,000,000	2014/04/15	2014/08/28	59.50
69657	Citigroup Global Mkt H Inc. - Cheung Kong R Bull CBBC Nov14A	50,000,000	2014/04/15	2014/11/28	12.50
69655	Citigroup Global Mkt H Inc. - Cheung Kong R Bear CBBC Nov14A	50,000,000	2014/04/15	2014/11/28	21.00
69656	Citigroup Global Mkt H Inc. - Cheung Kong R Bear CBBC Nov14B	50,000,000	2014/04/15	2014/11/28	16.00
69610	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 H	150,000,000	2014/04/15	2014/08/28	42.30
69598	HK Bank - HSI R Bear CBBC Nov 2014 M	100,000,000	2014/04/15	2014/11/27	25.00
69597	HK Bank - TCH R Bear CBBC Dec 2014 F	50,000,000	2014/04/15	2014/12/05	15.00
69617	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 K	50,000,000	2014/04/15	2014/09/29	12.50
69619	Macquarie Bank Ltd. - HSI R Bear CBBC Jul 2014 C	50,000,000	2014/04/15	2014/07/30	12.50
69600	Standard Chartered Bank - Sands China R Bear CBBC Nov 2014 A	50,000,000	2014/04/15	2014/11/17	12.50
69601	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 F	50,000,000	2014/04/15	2014/11/19	37.50
69602	Standard Chartered Bank - TCH R Bear CBBC Nov 2014 B	50,000,000	2014/04/15	2014/11/21	36.50
69616	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 L	200,000,000	2014/04/15	2014/07/30	50.00
69642	UBS AG - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/04/15	2014/10/30	75.00
69641	UBS AG - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/04/15	2014/11/27	75.00
69640	UBS AG - HSI R Bear CBBC Aug 2014 U	300,000,000	2014/04/15	2014/08/28	75.00
69625	UBS AG - Hutchison R Bear CBBC Dec 2014 A	50,000,000	2014/04/15	2014/12/08	12.50
69626	UBS AG - Ping An R Bull CBBC Nov 2014 A	50,000,000	2014/04/15	2014/11/24	12.50
69639	UBS AG - SHK Ppt R Bull CBBC May 2015 A	50,000,000	2014/04/15	2015/05/04	12.50
69638	UBS AG - TCH R Bull CBBC Nov 2014 C	40,000,000	2014/04/15	2014/11/03	11.40
69682	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 H	200,000,000	2014/04/16	2014/12/30	50.00
69809	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/04/16	2014/12/30	75.00
69819	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/04/16	2014/12/30	75.00
69822	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/04/16	2014/12/30	75.00
69810	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/04/16	2014/12/30	75.00
69811	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/04/16	2014/12/30	75.00
69680	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 W	300,000,000	2014/04/16	2014/09/29	75.00
69681	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 X	300,000,000	2014/04/16	2014/09/29	75.00
69844	Credit Suisse AG - HSI R Bull CBBC Oct 2014 C	238,000,000	2014/04/16	2014/10/30	59.50
69891	Credit Suisse AG - HSI R Bull CBBC Oct 2014 W	238,000,000	2014/04/16	2014/10/30	59.50
69836	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Z	238,000,000	2014/04/16	2014/10/30	59.50
69830	Credit Suisse AG - HSI R Bull CBBC Nov 2014 P	238,000,000	2014/04/16	2014/11/27	59.50
69831	Credit Suisse AG - HSI R Bull CBBC Dec 2014 G	238,000,000	2014/04/16	2014/12/30	59.50
69672	Credit Suisse AG - HSI R Bear CBBC Jul 2014 D	238,000,000	2014/04/16	2014/07/30	59.50
69679	Credit Suisse AG - HSI R Bear CBBC Jul 2014 H	238,000,000	2014/04/16	2014/07/30	59.50
69673	Credit Suisse AG - HSI R Bear CBBC Jul 2014 I	238,000,000	2014/04/16	2014/07/30	59.50
69911	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Jan 2015 A	80,000,000	2014/04/16	2015/01/19	20.00
69912	Citigroup Global Mkt H Inc. - CC Bank R Bull CBBC Jan 2015 B	80,000,000	2014/04/16	2015/01/19	20.00
69893	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Dec 2014 B	50,000,000	2014/04/16	2014/12/15	12.50
69897	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Dec 2014 C	50,000,000	2014/04/16	2014/12/15	12.50
69904	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Jan 2015 A	80,000,000	2014/04/16	2015/01/19	20.00
69906	Citigroup Global Mkt H Inc. - ICBC R Bull CBBC Jan 2015 B	80,000,000	2014/04/16	2015/01/19	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69659	HK Bank - ABC R Bull CBBC Jan 2015 A	80,000,000	2014/04/16	2015/01/28	20.00
69662	HK Bank - BOCL R Bull CBBC Jan 2015 B	80,000,000	2014/04/16	2015/01/28	20.00
69671	HK Bank - CC Bank R Bull CBBC Nov 2014 A	80,000,000	2014/04/16	2014/11/24	20.00
69669	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 F	80,000,000	2014/04/16	2015/01/22	20.00
69667	HK Bank - A50 R Bull CBBC Jan 2015 J	200,000,000	2014/04/16	2015/01/22	50.00
69668	HK Bank - A50 R Bull CBBC Jan 2015 K	200,000,000	2014/04/16	2015/01/15	50.00
69666	HK Bank - HSCEI R Bull CBBC Nov 2014 E	100,000,000	2014/04/16	2014/11/27	25.00
69660	HK Bank - HSI R Bull CBBC Nov 2014 U	100,000,000	2014/04/16	2014/11/27	25.00
69658	HK Bank - ICBC R Bull CBBC Nov 2014 B	80,000,000	2014/04/16	2014/11/24	20.00
69670	HK Bank - TCH R Bull CBBC Dec 2014 C	50,000,000	2014/04/16	2014/12/01	12.50
69837	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 L	50,000,000	2014/04/16	2014/09/29	12.50
69843	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 W	100,000,000	2014/04/16	2015/02/26	25.00
69840	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 D	100,000,000	2014/04/16	2014/12/30	25.00
69684	Standard Chartered Bank - ICBC R Bear CBBC Sep 2014 B	50,000,000	2014/04/16	2014/09/25	12.50
69854	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 X	200,000,000	2014/04/16	2014/12/30	50.00
69859	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 Y	200,000,000	2014/04/16	2014/12/30	50.00
69693	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 G	200,000,000	2014/04/16	2014/07/30	50.00
69695	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 M	200,000,000	2014/04/16	2014/07/30	50.00
69784	UBS AG - China Mobile R Bull CBBC Nov 2014 E	100,000,000	2014/04/16	2014/11/17	25.00
69787	UBS AG - COVS R Bear CBBC Nov 2014 B	50,000,000	2014/04/16	2014/11/03	17.00
69786	UBS AG - CC Bank R Bull CBBC Nov 2014 B	50,000,000	2014/04/16	2014/11/24	12.50
69766	UBS AG - Minsheng Bank R Bull CBBC Oct 2014 E	50,000,000	2014/04/16	2014/10/13	12.50
69802	UBS AG - Sinopec Corp R Bull CBBC Dec 2014 A	50,000,000	2014/04/16	2014/12/01	12.50
69797	UBS AG - Sinopec Corp R Bear CBBC Nov 2014 A	50,000,000	2014/04/16	2014/11/03	12.50
69762	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2015 C	50,000,000	2014/04/16	2015/01/26	12.50
69761	UBS AG - A50 China R Bull CBBC Jan 2015 D	100,000,000	2014/04/16	2015/01/26	25.00
69789	UBS AG - HKEx R Bull CBBC Dec 2014 A	100,000,000	2014/04/16	2014/12/01	25.00
69788	UBS AG - HKEx R Bear CBBC Oct 2014 A	100,000,000	2014/04/16	2014/10/06	25.00
69881	UBS AG - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/04/16	2014/11/27	75.00
69885	UBS AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/04/16	2014/11/27	75.00
69699	UBS AG - HSI R Bear CBBC Jul 2014 X	300,000,000	2014/04/16	2014/07/30	75.00
69697	UBS AG - HSI R Bear CBBC Aug 2014 V	300,000,000	2014/04/16	2014/08/28	75.00
69731	UBS AG - HSI R Bear CBBC Oct 2014 B	300,000,000	2014/04/16	2014/10/30	75.00
69777	UBS AG - ICBC R Bull CBBC Nov 2014 A	50,000,000	2014/04/16	2014/11/24	12.50
63374 [#]	HK Bank - HSI R Bear CBBC Jun 2014 B	200,000,000	2014/04/16	2014/06/27	33.20
60145	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 A	300,000,000	2014/04/17	2014/12/30	75.00
60149	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 B	300,000,000	2014/04/17	2014/12/30	75.00
60140	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/04/17	2014/12/30	75.00
60134	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 A	300,000,000	2014/04/17	2015/01/29	75.00
60136	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 B	300,000,000	2014/04/17	2015/01/29	75.00
60137	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 C	300,000,000	2014/04/17	2015/01/29	75.00
60139	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 D	300,000,000	2014/04/17	2015/01/29	75.00
69938	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 A	300,000,000	2014/04/17	2014/09/29	75.00
69940	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 B	300,000,000	2014/04/17	2014/09/29	75.00
69952	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 C	300,000,000	2014/04/17	2014/09/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69955	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 D	300,000,000	2014/04/17	2014/09/29	75.00
69953	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 E	300,000,000	2014/04/17	2014/09/29	75.00
69954	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 F	300,000,000	2014/04/17	2014/09/29	75.00
69957	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 G	300,000,000	2014/04/17	2014/09/29	90.00
69969	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 H	300,000,000	2014/04/17	2014/09/29	75.00
69936	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 Y	300,000,000	2014/04/17	2014/09/29	75.00
69937	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 Z	300,000,000	2014/04/17	2014/09/29	75.00
60104	Credit Suisse AG - China Mobile R Bull CBBC Nov 2014 E	50,000,000	2014/04/17	2014/11/28	12.50
60107	Credit Suisse AG - A50 China R Bull CBBC Dec 2014 F	50,000,000	2014/04/17	2014/12/30	12.50
60112	Credit Suisse AG - A50 China R Bull CBBC Dec 2014 G	50,000,000	2014/04/17	2014/12/30	12.50
60087	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2014 E	50,000,000	2014/04/17	2014/11/28	12.50
60114	Credit Suisse AG - HSI R Bull CBBC Nov 2014 B	238,000,000	2014/04/17	2014/11/27	59.50
60118	Credit Suisse AG - HSI R Bull CBBC Nov 2014 F	238,000,000	2014/04/17	2014/11/27	59.50
60122	Credit Suisse AG - HSI R Bull CBBC Nov 2014 N	238,000,000	2014/04/17	2014/11/27	59.50
60123	Credit Suisse AG - HSI R Bull CBBC Nov 2014 O	238,000,000	2014/04/17	2014/11/27	59.50
60126	Credit Suisse AG - HSI R Bull CBBC Nov 2014 X	238,000,000	2014/04/17	2014/11/27	59.50
69970	Credit Suisse AG - HSI R Bear CBBC Jul 2014 J	238,000,000	2014/04/17	2014/07/30	59.50
60010	Credit Suisse AG - HSI R Bear CBBC Jul 2014 P	238,000,000	2014/04/17	2014/07/30	59.50
69995	Credit Suisse AG - HSI R Bear CBBC Jul 2014 Q	238,000,000	2014/04/17	2014/07/30	59.50
60011	Credit Suisse AG - HSI R Bear CBBC Jul 2014 R	238,000,000	2014/04/17	2014/07/30	59.50
60016	Credit Suisse AG - HSI R Bear CBBC Jul 2014 T	238,000,000	2014/04/17	2014/07/30	59.50
69929	Credit Suisse AG - HSI R Bear CBBC Aug 2014 F	238,000,000	2014/04/17	2014/08/28	59.50
69986	Credit Suisse AG - HSI R Bear CBBC Aug 2014 G	238,000,000	2014/04/17	2014/08/28	59.50
60001	Credit Suisse AG - HSI R Bear CBBC Aug 2014 J	238,000,000	2014/04/17	2014/08/28	59.50
60002	Credit Suisse AG - HSI R Bear CBBC Aug 2014 K	238,000,000	2014/04/17	2014/08/28	59.50
69925	Credit Suisse AG - HSI R Bear CBBC Aug 2014 W	238,000,000	2014/04/17	2014/08/28	59.50
60101	Credit Suisse AG - TCH R Bull CBBC Nov 2014 E	50,000,000	2014/04/17	2014/11/28	38.50
60102	Credit Suisse AG - TCH R Bull CBBC Nov 2014 F	50,000,000	2014/04/17	2014/11/28	28.50
60103	Credit Suisse AG - TCH R Bull CBBC Nov 2014 G	50,000,000	2014/04/17	2014/11/28	18.50
60233	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Feb 2015 A	100,000,000	2014/04/17	2015/02/09	25.00
60234	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Feb 2015 B	100,000,000	2014/04/17	2015/02/09	25.00
60226	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Feb15 A	50,000,000	2014/04/17	2015/02/09	12.50
60214	Citigroup Global Mkt H Inc. - Minsheng Bank R Bear CBBC Feb15A	40,000,000	2014/04/17	2015/02/09	10.00
60193	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Feb15D	100,000,000	2014/04/17	2015/02/09	25.00
60194	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Feb15B	100,000,000	2014/04/17	2015/02/09	25.00
60200	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Feb 15 D	100,000,000	2014/04/17	2015/02/09	25.00
60209	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Feb 15 B	100,000,000	2014/04/17	2015/02/09	25.00
60213	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Feb 15 C	100,000,000	2014/04/17	2015/02/09	25.00
60235	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Feb 2015 A	50,000,000	2014/04/17	2015/02/09	12.50
60239	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Feb 2015 A	50,000,000	2014/04/17	2015/02/09	12.50
60248	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Feb 2015 B	50,000,000	2014/04/17	2015/02/09	12.50
60250	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Feb 2015 C	50,000,000	2014/04/17	2015/02/09	12.50
60180	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 B	50,000,000	2014/04/17	2015/02/09	25.00
60190	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 C	50,000,000	2014/04/17	2015/02/09	20.00
60191	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 D	50,000,000	2014/04/17	2015/02/09	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60163	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 I	150,000,000	2014/04/17	2014/08/28	41.25
60164	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 J	150,000,000	2014/04/17	2014/08/28	38.40
60165	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 J	150,000,000	2014/04/17	2014/08/28	37.65
60166	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 K	150,000,000	2014/04/17	2014/08/28	41.85
60172	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 L	150,000,000	2014/04/17	2014/08/28	42.15
60174	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 M	150,000,000	2014/04/17	2014/08/28	50.25
69920	HK Bank - China Mobile R Bull CBBC Jan 2015 D	120,000,000	2014/04/17	2015/01/12	30.00
69922	HK Bank - Sinopec Corp R Bull CBBC Nov 2014 B	80,000,000	2014/04/17	2014/11/24	20.00
69921	HK Bank - Sinopec Corp R Bull CBBC Dec 2014 A	80,000,000	2014/04/17	2014/12/22	20.00
69913	HK Bank - HSI R Bull CBBC Nov 2014 V	100,000,000	2014/04/17	2014/11/27	25.00
69924	HK Bank - HSI R Bull CBBC Dec 2014 J	100,000,000	2014/04/17	2014/12/30	25.00
69914	HK Bank - HSI R Bear CBBC Dec 2014 I	100,000,000	2014/04/17	2014/12/30	25.00
60177	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 X	100,000,000	2014/04/17	2015/02/26	25.00
60178	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 Y	100,000,000	2014/04/17	2015/02/26	25.00
60179	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 Z	100,000,000	2014/04/17	2015/02/26	25.00
69930	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 G	50,000,000	2014/04/17	2014/11/24	19.50
60175	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 H	50,000,000	2014/04/17	2014/11/26	31.00
60176	Standard Chartered Bank - TCH R Bull CBBC Nov 2014 I	50,000,000	2014/04/17	2014/11/28	20.50
60158	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 A	200,000,000	2014/04/17	2014/12/30	50.00
60159	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 Q	200,000,000	2014/04/17	2014/12/30	50.00
69962	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 Z	200,000,000	2014/04/17	2014/12/30	50.00
69967	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 N	200,000,000	2014/04/17	2014/07/30	50.00
69968	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 O	200,000,000	2014/04/17	2014/07/30	50.00
60160	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 P	200,000,000	2014/04/17	2014/07/30	50.00
60161	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 Q	200,000,000	2014/04/17	2014/07/30	50.00
60162	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 R	200,000,000	2014/04/17	2014/07/30	50.00
60076	UBS AG - ABC R Bull CBBC Aug 2015 A	50,000,000	2014/04/17	2015/08/04	12.50
60060	UBS AG - BOCL R Bull CBBC Jul 2015 C	50,000,000	2014/04/17	2015/07/27	12.50
60077	UBS AG - CC Bank R Bear CBBC Dec 2014 A	50,000,000	2014/04/17	2014/12/15	12.50
60071	UBS AG - China Life R Bull CBBC Nov 2014 A	50,000,000	2014/04/17	2014/11/10	12.50
60072	UBS AG - Minsheng Bank R Bear CBBC Nov 2014 A	50,000,000	2014/04/17	2014/11/03	12.50
60063	UBS AG - CSOP A50 ETF R Bear CBBC Nov 2014 A	50,000,000	2014/04/17	2014/11/03	12.50
60062	UBS AG - A50 China R Bull CBBC Feb 2015 D	100,000,000	2014/04/17	2015/02/09	25.00
60059	UBS AG - HSCEI R Bull CBBC Nov 2014 H	100,000,000	2014/04/17	2014/11/27	25.00
60155	UBS AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2014/04/17	2014/09/29	75.00
60156	UBS AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/04/17	2014/10/30	75.00
60152	UBS AG - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/04/17	2014/12/30	75.00
60157	UBS AG - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/04/17	2014/12/30	75.00
60051	UBS AG - HSI R Bull CBBC Feb 2015 H	300,000,000	2014/04/17	2015/02/26	75.00
60053	UBS AG - HSI R Bull CBBC Mar 2015 F	300,000,000	2014/04/17	2015/03/30	75.00
60038	UBS AG - HSI R Bear CBBC Jul 2014 N	300,000,000	2014/04/17	2014/07/30	75.00
60039	UBS AG - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/04/17	2014/07/30	75.00
69935	UBS AG - HSI R Bear CBBC Jul 2014 Z	300,000,000	2014/04/17	2014/07/30	75.00
60020	UBS AG - HSI R Bear CBBC Sep 2014 H	300,000,000	2014/04/17	2014/09/29	100.50
60024	UBS AG - HSI R Bear CBBC Sep 2014 I	300,000,000	2014/04/17	2014/09/29	85.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60028	UBS AG - HSI R Bear CBBC Sep 2014 J	300,000,000	2014/04/17	2014/09/29	79.50
60036	UBS AG - HSI R Bear CBBC Oct 2014 C	300,000,000	2014/04/17	2014/10/30	75.00
60075	UBS AG - ICBC R Bear CBBC Dec 2014 A	50,000,000	2014/04/17	2014/12/22	12.50
60078	UBS AG - Petrochina R Bull CBBC Oct 2014 D	50,000,000	2014/04/17	2014/10/20	12.50
60151	UBS AG - TCH R Bull CBBC Sep 2014 H	40,000,000	2014/04/17	2014/09/22	10.60
60086	UBS AG - TCH R Bull CBBC Oct 2014 G	40,000,000	2014/04/17	2014/10/16	15.60
60081	UBS AG - TCH R Bull CBBC Nov 2014 D	40,000,000	2014/04/17	2014/11/17	10.00
60404	Credit Suisse AG - HKEx R Bull CBBC Dec 2014 A	50,000,000	2014/04/22	2014/12/30	12.50
60405	Credit Suisse AG - HKEx R Bull CBBC Dec 2014 B	50,000,000	2014/04/22	2014/12/30	12.50
60407	Credit Suisse AG - HKEx R Bear CBBC Jul 2014 A	50,000,000	2014/04/22	2014/07/31	12.50
60408	Credit Suisse AG - HKEx R Bear CBBC Jul 2014 B	50,000,000	2014/04/22	2014/07/31	12.50
60414	Credit Suisse AG - HKEx R Bear CBBC Jul 2014 C	50,000,000	2014/04/22	2014/07/31	17.50
60339	Credit Suisse AG - HSI R Bull CBBC Dec 2014 J	238,000,000	2014/04/22	2014/12/30	59.50
60337	Credit Suisse AG - HSI R Bull CBBC Dec 2014 M	238,000,000	2014/04/22	2014/12/30	59.50
60338	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Y	238,000,000	2014/04/22	2014/12/30	59.50
60427	Credit Suisse AG - HSI R Bear CBBC Aug 2014 E	238,000,000	2014/04/22	2014/08/28	59.50
60430	Credit Suisse AG - HSI R Bear CBBC Aug 2014 L	238,000,000	2014/04/22	2014/08/28	59.50
60431	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Jan 2015 A	100,000,000	2014/04/22	2015/01/26	25.00
60432	Citigroup Global Mkt H Inc. - AIA R Bear CBBC Jan 2015 B	100,000,000	2014/04/22	2015/01/26	25.00
60417	Citigroup Global Mkt H Inc. - China Life R Bull CBBC Feb15 B	50,000,000	2014/04/22	2015/02/09	12.50
60418	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 G	50,000,000	2014/04/22	2015/01/26	27.50
60419	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 H	50,000,000	2014/04/22	2015/01/26	22.50
60422	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jan 2015 I	50,000,000	2014/04/22	2015/01/26	17.50
60297	HK Bank - CM Bank R Bull CBBC Nov 2014 B	40,000,000	2014/04/22	2014/11/24	10.00
60295	HK Bank - Minsheng Bank R Bull CBBC Nov 2014 G	40,000,000	2014/04/22	2014/11/24	10.00
60292	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 G	80,000,000	2014/04/22	2015/01/29	20.00
60293	HK Bank - A50 R Bull CBBC Nov 2014 I	200,000,000	2014/04/22	2014/11/24	50.00
60328	HK Bank - A50 R Bull CBBC Nov 2014 J	200,000,000	2014/04/22	2014/11/24	50.00
60289	HK Bank - HSI R Bull CBBC Oct 2014 T	100,000,000	2014/04/22	2014/10/30	25.00
60263	HK Bank - HSI R Bull CBBC Nov 2014 W	100,000,000	2014/04/22	2014/11/27	25.00
60290	HK Bank - HSI R Bull CBBC Dec 2014 K	100,000,000	2014/04/22	2014/12/30	25.00
60255	HK Bank - HSI R Bear CBBC Nov 2014 N	100,000,000	2014/04/22	2014/11/27	25.00
60306	HK Bank - TCH R Bull CBBC Nov 2014 Q	50,000,000	2014/04/22	2014/11/27	19.00
60304	HK Bank - TCH R Bull CBBC Dec 2014 D	50,000,000	2014/04/22	2014/12/22	34.00
60305	HK Bank - TCH R Bull CBBC Dec 2014 E	50,000,000	2014/04/22	2014/12/15	24.00
60307	HK Bank - TCH R Bull CBBC Dec 2014 F	50,000,000	2014/04/22	2014/12/08	14.00
60327	HK Bank - TCH R Bull CBBC Dec 2014 G	50,000,000	2014/04/22	2014/12/18	29.00
60367	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2014 M	50,000,000	2014/04/22	2014/09/29	12.50
60368	Macquarie Bank Ltd. - HSI R Bear CBBC Jul 2014 D	50,000,000	2014/04/22	2014/07/30	13.50
60369	Macquarie Bank Ltd. - HSI R Bear CBBC Jul 2014 E	50,000,000	2014/04/22	2014/07/30	13.00
60373	Macquarie Bank Ltd. - HSI R Bear CBBC Jul 2014 F	50,000,000	2014/04/22	2014/07/30	12.50
60398	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 R	200,000,000	2014/04/22	2014/12/30	50.00
60403	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 S	200,000,000	2014/04/22	2014/07/30	50.00
60376	UBS AG - AIA R Bull CBBC Jun 2015 C	100,000,000	2014/04/22	2015/06/16	25.00
60377	UBS AG - China Mobile R Bull CBBC Dec 2014 B	100,000,000	2014/04/22	2014/12/01	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60397	UBS AG - HKEEx R Bull CBBC Nov 2014 C	100,000,000	2014/04/22	2014/11/24	25.00
60390	UBS AG - HKEEx R Bear CBBC Oct 2014 B	100,000,000	2014/04/22	2014/10/27	25.00
60386	UBS AG - HKEEx R Bear CBBC Nov 2014 A	100,000,000	2014/04/22	2014/11/17	30.50
60342	UBS AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2014/04/22	2014/09/29	75.00
60347	UBS AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2014/04/22	2014/10/30	75.00
60357	UBS AG - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/04/22	2014/11/27	75.00
60348	UBS AG - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/04/22	2014/12/30	75.00
60434	UBS AG - HSI R Bear CBBC Jul 2014 W	300,000,000	2014/04/22	2014/07/30	75.00
60439	UBS AG - HSI R Bear CBBC Aug 2014 W	300,000,000	2014/04/22	2014/08/28	75.00
60441	UBS AG - HSI R Bear CBBC Aug 2014 X	300,000,000	2014/04/22	2014/08/28	75.00
60433	UBS AG - HSI R Bear CBBC Oct 2014 D	300,000,000	2014/04/22	2014/10/30	75.00
60436	UBS AG - HSI R Bear CBBC Nov 2014 B	300,000,000	2014/04/22	2014/11/27	79.50
60437	UBS AG - HSI R Bear CBBC Nov 2014 C	300,000,000	2014/04/22	2014/11/27	75.00
60374	UBS AG - Sands China R Bear CBBC Nov 2014 A	50,000,000	2014/04/22	2014/11/03	12.50
60378	UBS AG - TCH R Bear CBBC Oct 2014 I	40,000,000	2014/04/22	2014/10/13	10.20
60464	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/04/23	2014/12/30	59.50
60552	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	238,000,000	2014/04/23	2014/12/30	59.50
60551	Credit Suisse AG - HSI R Bear CBBC Aug 2014 M	238,000,000	2014/04/23	2014/08/28	59.50
60442	HK Bank - AIA R Bull CBBC Dec 2014 B	60,000,000	2014/04/23	2014/12/30	15.00
60450	HK Bank - HKEEx R Bull CBBC Oct 2014 A	60,000,000	2014/04/23	2014/10/27	15.00
60451	HK Bank - HKEEx R Bull CBBC Nov 2014 B	60,000,000	2014/04/23	2014/11/10	15.00
60452	HK Bank - HKEEx R Bull CBBC Nov 2014 C	60,000,000	2014/04/23	2014/11/17	15.00
60456	HK Bank - HKEEx R Bear CBBC Oct 2014 B	50,000,000	2014/04/23	2014/10/27	12.50
60463	HK Bank - HKEEx R Bear CBBC Nov 2014 A	50,000,000	2014/04/23	2014/11/17	12.50
60449	HK Bank - HSI R Bull CBBC Dec 2014 L	400,000,000	2014/04/23	2014/12/30	100.00
60448	HK Bank - HSI R Bear CBBC Dec 2014 J	100,000,000	2014/04/23	2014/12/30	25.00
60530	Standard Chartered Bank - HKEEx R Bull CBBC Nov 2014 A	50,000,000	2014/04/23	2014/11/28	12.50
60538	Standard Chartered Bank - HKEEx R Bear CBBC Sep 2014 A	50,000,000	2014/04/23	2014/09/25	12.50
60529	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 A	100,000,000	2014/04/23	2015/02/26	25.00
60465	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 O	200,000,000	2014/04/23	2014/12/30	50.00
60481	UBS AG - AIA R Bear CBBC Dec 2014 A	100,000,000	2014/04/23	2014/12/22	25.00
60478	UBS AG - China Life R Bear CBBC Dec 2014 A	50,000,000	2014/04/23	2014/12/15	27.50
60468	UBS AG - CM Bank R Bull CBBC Nov 2014 A	50,000,000	2014/04/23	2014/11/03	12.50
60466	UBS AG - CM Bank R Bear CBBC Oct 2014 A	50,000,000	2014/04/23	2014/10/13	12.50
60480	UBS AG - Minsheng Bank R Bull CBBC Nov 2014 B	50,000,000	2014/04/23	2014/11/10	12.50
60477	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2015 D	50,000,000	2014/04/23	2015/01/12	12.50
60475	UBS AG - A50 China R Bull CBBC Mar 2015 E	100,000,000	2014/04/23	2015/03/02	25.00
60511	UBS AG - HKEEx R Bull CBBC Oct 2014 C	100,000,000	2014/04/23	2014/10/20	25.00
60525	UBS AG - HKEEx R Bull CBBC Nov 2014 D	100,000,000	2014/04/23	2014/11/10	25.00
60491	UBS AG - HKEEx R Bear CBBC Aug 2014 A	100,000,000	2014/04/23	2014/08/04	36.00
60562	UBS AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2014/04/23	2014/09/29	75.00
60556	UBS AG - HSI R Bull CBBC Oct 2014 N	300,000,000	2014/04/23	2014/10/30	75.00
60564	UBS AG - HSI R Bear CBBC Aug 2014 Y	300,000,000	2014/04/23	2014/08/28	75.00
60566	UBS AG - HSI R Bear CBBC Aug 2014 Z	300,000,000	2014/04/23	2014/08/28	75.00
60528	UBS AG - HSI R Bear CBBC Sep 2014 K	300,000,000	2014/04/23	2014/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60565	UBS AG - HSI R Bear CBBC Sep 2014 L	300,000,000	2014/04/23	2014/09/29	75.00
60563	UBS AG - HSI R Bear CBBC Oct 2014 E	300,000,000	2014/04/23	2014/10/30	75.00
60490	UBS AG - TCH R Bull CBBC Oct 2014 H	40,000,000	2014/04/23	2014/10/27	11.20
60487	UBS AG - TCH R Bear CBBC Aug 2014 K	40,000,000	2014/04/23	2014/08/04	15.60
60700	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 I	300,000,000	2014/04/24	2014/09/29	75.00
60619	Credit Suisse AG - HSI R Bull CBBC Dec 2014 V	238,000,000	2014/04/24	2014/12/30	59.50
60653	Credit Suisse AG - HSI R Bear CBBC Aug 2014 N	238,000,000	2014/04/24	2014/08/28	59.50
60661	Credit Suisse AG - HSI R Bear CBBC Aug 2014 O	238,000,000	2014/04/24	2014/08/28	59.50
60671	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 K	150,000,000	2014/04/24	2014/08/28	44.10
60675	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 N	150,000,000	2014/04/24	2014/08/28	38.85
60693	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 O	150,000,000	2014/04/24	2014/08/28	38.70
60694	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 P	150,000,000	2014/04/24	2014/08/28	44.10
60591	HK Bank - CNOOC R Bull CBBC Nov 2014 D	60,000,000	2014/04/24	2014/11/24	15.00
60589	HK Bank - CNOOC R Bull CBBC Dec 2014 D	60,000,000	2014/04/24	2014/12/15	15.00
60590	HK Bank - Great Wall Motor R Bull CBBC Dec 2015 C	40,000,000	2014/04/24	2015/12/09	10.00
60586	HK Bank - HKEx R Bull CBBC Oct 2014 B	60,000,000	2014/04/24	2014/10/20	15.00
60575	HK Bank - HSCEI R Bear CBBC Oct 2014 E	68,000,000	2014/04/24	2014/10/30	17.00
60577	HK Bank - HSCEI R Bear CBBC Dec 2014 B	68,000,000	2014/04/24	2014/12/30	17.00
60568	HK Bank - HSCEI R Bear CBBC Jan 2015 A	68,000,000	2014/04/24	2015/01/29	17.00
60569	HK Bank - HSCEI R Bear CBBC Feb 2015 A	68,000,000	2014/04/24	2015/02/26	17.00
60592	HK Bank - PetCh R Bull CBBC Oct 2014 C	60,000,000	2014/04/24	2014/10/13	15.00
60652	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 D	50,000,000	2014/04/24	2014/09/29	12.50
60593	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 B	100,000,000	2014/04/24	2015/02/26	25.00
60608	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 E	100,000,000	2014/04/24	2014/12/30	25.00
60625	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 T	200,000,000	2014/04/24	2014/07/30	50.00
60648	UBS AG - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/04/24	2014/12/30	75.00
60638	UBS AG - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/04/24	2014/07/30	75.00
60665	UBS AG - HSI R Bear CBBC Jul 2014 Q	300,000,000	2014/04/24	2014/07/30	75.00
60663	UBS AG - HSI R Bear CBBC Jul 2014 R	300,000,000	2014/04/24	2014/07/30	75.00
60669	UBS AG - HSI R Bear CBBC Jul 2014 S	300,000,000	2014/04/24	2014/07/30	75.00
60664	UBS AG - HSI R Bear CBBC Jul 2014 T	300,000,000	2014/04/24	2014/07/30	75.00
60632	UBS AG - HSI R Bear CBBC Nov 2014 D	300,000,000	2014/04/24	2014/11/27	75.00
60627	UBS AG - HSI R Bear CBBC Dec 2014 A	300,000,000	2014/04/24	2014/12/30	75.00
60642	UBS AG - Hutchison R Bull CBBC Jun 2015 A	100,000,000	2014/04/24	2015/06/15	25.00
60626	UBS AG - TCH R Bear CBBC Nov 2014 D	40,000,000	2014/04/24	2014/11/03	13.00
60750	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 E	300,000,000	2014/04/25	2015/01/29	75.00
60751	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 F	300,000,000	2014/04/25	2015/01/29	75.00
60719	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 J	300,000,000	2014/04/25	2014/09/29	75.00
60748	Credit Suisse AG - HSI R Bull CBBC Oct 2014 A	238,000,000	2014/04/25	2014/10/30	59.50
60749	Credit Suisse AG - HSI R Bull CBBC Oct 2014 X	238,000,000	2014/04/25	2014/10/30	59.50
60721	Credit Suisse AG - HSI R Bear CBBC Aug 2014 P	238,000,000	2014/04/25	2014/08/28	59.50
60728	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Q	238,000,000	2014/04/25	2014/08/28	59.50
60756	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Z	238,000,000	2014/04/25	2014/08/28	59.50
60827	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Jan 2015 A	50,000,000	2014/04/25	2015/01/19	12.50
60828	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Jan 2015 B	50,000,000	2014/04/25	2015/01/19	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60829	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 A	50,000,000	2014/04/25	2015/01/19	12.50
60830	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 B	50,000,000	2014/04/25	2015/01/19	12.50
60837	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 C	50,000,000	2014/04/25	2015/01/19	13.00
60838	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 D	50,000,000	2014/04/25	2015/01/19	15.50
60702	HK Bank - Minsheng Bank R Bear CBBC Dec 2014 A	40,000,000	2014/04/25	2014/12/22	10.00
60703	HK Bank - HSI R Bear CBBC Oct 2014 S	100,000,000	2014/04/25	2014/10/30	25.00
60711	HK Bank - HSI R Bear CBBC Nov 2014 O	100,000,000	2014/04/25	2014/11/27	25.00
60712	HK Bank - HSI R Bear CBBC Nov 2014 P	100,000,000	2014/04/25	2014/11/27	25.00
60701	HK Bank - Ping An R Bear CBBC Dec 2014 A	40,000,000	2014/04/25	2014/12/22	10.00
60716	HK Bank - SHK Ppt R Bull CBBC Feb 2015 A	60,000,000	2014/04/25	2015/02/16	15.00
60764	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/04/25	2014/10/30	75.00
60781	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 M	300,000,000	2014/04/25	2014/10/30	75.00
60769	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 P	300,000,000	2014/04/25	2014/10/30	75.00
60782	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 E	300,000,000	2014/04/25	2014/11/27	75.00
60766	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/04/25	2014/11/27	75.00
60770	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/04/25	2014/11/27	75.00
60783	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/04/25	2014/12/30	75.00
60767	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/04/25	2014/12/30	75.00
60771	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 B	300,000,000	2014/04/25	2015/01/29	75.00
60790	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 D	300,000,000	2014/04/25	2014/07/30	75.00
60786	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 L	300,000,000	2014/04/25	2014/07/30	75.00
60785	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 M	300,000,000	2014/04/25	2014/07/30	75.00
60793	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 N	300,000,000	2014/04/25	2014/07/30	75.00
60795	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 O	300,000,000	2014/04/25	2014/07/30	75.00
60804	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 P	300,000,000	2014/04/25	2014/08/28	75.00
60805	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 Q	300,000,000	2014/04/25	2014/08/28	75.00
60815	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 R	300,000,000	2014/04/25	2014/08/28	75.00
60752	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 F	100,000,000	2014/04/25	2014/12/30	25.00
60743	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 N	200,000,000	2014/04/25	2014/12/30	50.00
60741	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 P	200,000,000	2014/04/25	2014/12/30	50.00
60758	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 U	200,000,000	2014/04/25	2014/07/30	50.00
60736	UBS AG - CNOOC R Bull CBBC Oct 2015 A	50,000,000	2014/04/25	2015/10/19	12.50
60735	UBS AG - Great Wall Motor R Bull CBBC Jul 2015 C	50,000,000	2014/04/25	2015/07/06	12.50
60733	UBS AG - HSCEI R Bear CBBC Aug 2014 C	100,000,000	2014/04/25	2014/08/28	25.00
60734	UBS AG - HSCEI R Bear CBBC Aug 2014 D	100,000,000	2014/04/25	2014/08/28	25.00
60761	UBS AG - HSI R Bull CBBC Nov 2014 P	300,000,000	2014/04/25	2014/11/27	75.00
60763	UBS AG - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/04/25	2014/11/27	75.00
60739	UBS AG - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/04/25	2014/08/28	75.00
60940	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Y	238,000,000	2014/04/28	2014/10/30	59.50
60878	Credit Suisse AG - HSI R Bear CBBC Aug 2014 H	238,000,000	2014/04/28	2014/08/28	59.50
60885	Credit Suisse AG - HSI R Bear CBBC Aug 2014 X	238,000,000	2014/04/28	2014/08/28	59.50
60948	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 C	300,000,000	2014/04/28	2015/01/29	75.00
60839	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 B	300,000,000	2014/04/28	2014/07/30	75.00
60850	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 E	300,000,000	2014/04/28	2014/07/30	75.00
60849	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 S	300,000,000	2014/04/28	2014/08/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60855	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 T	300,000,000	2014/04/28	2014/08/28	75.00
60898	Standard Chartered Bank - HSI R Bull CBBC Mar 2015 A	100,000,000	2014/04/28	2015/03/30	25.00
60914	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 S	200,000,000	2014/04/28	2014/12/30	50.00
60918	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 V	200,000,000	2014/04/28	2014/07/30	50.00
60925	UBS AG - Minsheng Bank R Bear CBBC Oct 2014 A	50,000,000	2014/04/28	2014/10/27	12.50
60922	UBS AG - HSI R Bull CBBC Aug 2014 S	300,000,000	2014/04/28	2014/08/28	75.00
60923	UBS AG - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/04/28	2014/11/27	75.00
60941	UBS AG - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/04/28	2014/12/30	75.00
60921	UBS AG - HSI R Bear CBBC Jul 2014 V	300,000,000	2014/04/28	2014/07/30	75.00
60903	UBS AG - HSI R Bear CBBC Oct 2014 F	300,000,000	2014/04/28	2014/10/30	75.00
60919	UBS AG - HSI R Bear CBBC Oct 2014 G	300,000,000	2014/04/28	2014/10/30	75.00
60924	UBS AG - Ping An R Bear CBBC Nov 2014 B	50,000,000	2014/04/28	2014/11/24	12.50
61195	Credit Suisse AG - A50 China R Bear CBBC Sep 2014 B	50,000,000	2014/04/29	2014/09/30	12.50
61196	Credit Suisse AG - A50 China R Bear CBBC Sep 2014 C	50,000,000	2014/04/29	2014/09/30	12.50
61004	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Y	238,000,000	2014/04/29	2014/11/27	59.50
61170	Credit Suisse AG - HSI R Bear CBBC Jul 2014 K	238,000,000	2014/04/29	2014/07/30	59.50
61165	Credit Suisse AG - HSI R Bear CBBC Aug 2014 I	238,000,000	2014/04/29	2014/08/28	59.50
61166	Credit Suisse AG - HSI R Bear CBBC Aug 2014 R	238,000,000	2014/04/29	2014/08/28	59.50
61169	Credit Suisse AG - HSI R Bear CBBC Oct 2014 A	238,000,000	2014/04/29	2014/10/30	59.50
61362	Citigroup Global Mkt H Inc. - CNOOC R Bull CBBC Jan 2015 A	50,000,000	2014/04/29	2015/01/26	12.50
61363	Citigroup Global Mkt H Inc. - CNOOC R Bull CBBC Jan 2015 B	50,000,000	2014/04/29	2015/01/26	12.50
61364	Citigroup Global Mkt H Inc. - CNOOC R Bear CBBC Jan 2015 B	50,000,000	2014/04/29	2015/01/26	12.50
61378	Citigroup Global Mkt H Inc. - CNOOC R Bear CBBC Jan 2015 C	50,000,000	2014/04/29	2015/01/26	12.50
61384	Citigroup Global Mkt H Inc. - Sinopec Corp R Bull CBBC Jan15B	60,000,000	2014/04/29	2015/01/26	15.00
61385	Citigroup Global Mkt H Inc. - Sinopec Corp R Bear CBBC Jan15A	60,000,000	2014/04/29	2015/01/26	15.00
61386	Citigroup Global Mkt H Inc. - Sinopec Corp R Bear CBBC Jan15B	60,000,000	2014/04/29	2015/01/26	15.00
61379	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Jan15A	100,000,000	2014/04/29	2015/01/26	25.00
60949	HK Bank - Cheung Kong R Bear CBBC Apr 2015 A	50,000,000	2014/04/29	2015/04/13	12.50
60990	HK Bank - Cheung Kong R Bear CBBC Apr 2015 B	50,000,000	2014/04/29	2015/04/27	12.50
60974	HK Bank - CM Bank R Bull CBBC Jul 2015 A	40,000,000	2014/04/29	2015/07/27	10.80
60993	HK Bank - CM Bank R Bear CBBC May 2015 A	40,000,000	2014/04/29	2015/05/11	10.40
60997	HK Bank - China Shenhua R Bull CBBC Nov 2015 A	40,000,000	2014/04/29	2015/11/30	10.00
60960	HK Bank - HSCEI R Bear CBBC Nov 2014 D	68,000,000	2014/04/29	2014/11/27	17.00
60968	HK Bank - HSCEI R Bear CBBC Nov 2014 E	68,000,000	2014/04/29	2014/11/27	17.00
60967	HK Bank - Hutchison R Bear CBBC Mar 2015 A	50,000,000	2014/04/29	2015/03/02	12.50
61273	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 E	300,000,000	2014/04/29	2014/10/30	75.00
61277	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/04/29	2014/11/27	75.00
61282	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/04/29	2014/12/30	75.00
61302	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 C	300,000,000	2014/04/29	2014/07/30	75.00
61335	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 P	300,000,000	2014/04/29	2014/07/30	75.00
61303	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 U	300,000,000	2014/04/29	2014/08/28	75.00
61010	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 E	50,000,000	2014/04/29	2014/09/29	12.50
61160	Standard Chartered Bank - HKEx R Bull CBBC Nov 2014 B	50,000,000	2014/04/29	2014/11/28	12.50
61162	Standard Chartered Bank - HKEx R Bear CBBC Sep 2014 B	50,000,000	2014/04/29	2014/09/25	12.50
61163	Standard Chartered Bank - HKEx R Bear CBBC Oct 2014 A	50,000,000	2014/04/29	2014/10/23	17.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61164	Standard Chartered Bank - HKEx R Bear CBBC Oct 2014 B	50,000,000	2014/04/29	2014/10/23	22.50
61137	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 A	100,000,000	2014/04/29	2015/03/30	25.00
61095	UBS AG - China Life R Bear CBBC Dec 2014 B	50,000,000	2014/04/29	2014/12/22	15.25
61231	UBS AG - CM Bank R Bull CBBC Dec 2014 A	50,000,000	2014/04/29	2014/12/01	16.50
61086	UBS AG - CSOP A50 ETF R Bear CBBC Nov 2014 B	50,000,000	2014/04/29	2014/11/17	12.50
61237	UBS AG - China Shenhua R Bear CBBC May 2015 A	50,000,000	2014/04/29	2015/05/11	12.50
61082	UBS AG - A50 China R Bear CBBC Nov 2014 B	50,000,000	2014/04/29	2014/11/10	12.50
61238	UBS AG - Geely Auto R Bull CBBC Jul 2015 C	50,000,000	2014/04/29	2015/07/20	12.50
61263	UBS AG - HKEx R Bull CBBC May 2015 A	100,000,000	2014/04/29	2015/05/18	54.00
61249	UBS AG - HKEx R Bear CBBC Jan 2015 A	100,000,000	2014/04/29	2015/01/26	52.00
61257	UBS AG - HKEx R Bear CBBC Jan 2015 B	100,000,000	2014/04/29	2015/01/12	25.00
61252	UBS AG - HKEx R Bear CBBC Feb 2015 A	100,000,000	2014/04/29	2015/02/09	25.00
61244	UBS AG - HKEx R Bear CBBC Mar 2015 A	100,000,000	2014/04/29	2015/03/23	73.00
61247	UBS AG - HKEx R Bear CBBC Mar 2015 B	100,000,000	2014/04/29	2015/03/09	62.00
61101	UBS AG - HSBC R Bear CBBC Dec 2014 A	50,000,000	2014/04/29	2014/12/22	12.50
61076	UBS AG - HSCEI R Bear CBBC Sep 2014 A	100,000,000	2014/04/29	2014/09/29	25.00
61114	UBS AG - HSI R Bull CBBC Sep 2014 T	300,000,000	2014/04/29	2014/09/29	75.00
61115	UBS AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/04/29	2014/10/30	75.00
61047	UBS AG - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/04/29	2014/08/28	75.00
61046	UBS AG - HSI R Bear CBBC Sep 2014 M	300,000,000	2014/04/29	2014/09/29	75.00
61075	UBS AG - HSI R Bear CBBC Sep 2014 N	300,000,000	2014/04/29	2014/09/29	75.00
61223	UBS AG - HSI R Bear CBBC Sep 2014 O	300,000,000	2014/04/29	2014/09/29	75.00
61018	UBS AG - HSI R Bear CBBC Oct 2014 H	300,000,000	2014/04/29	2014/10/30	75.00
61045	UBS AG - HSI R Bear CBBC Oct 2014 I	300,000,000	2014/04/29	2014/10/30	75.00
61198	UBS AG - HSI R Bear CBBC Oct 2014 J	300,000,000	2014/04/29	2014/10/30	75.00
61011	UBS AG - HSI R Bear CBBC Nov 2014 E	300,000,000	2014/04/29	2014/11/27	75.00
61227	UBS AG - Huishan Dairy R Bull CBBC Oct 2015 B	50,000,000	2014/04/29	2015/10/19	12.50
61100	UBS AG - Sands China R Bear CBBC Dec 2014 A	50,000,000	2014/04/29	2014/12/01	12.50
61134	UBS AG - SHK Ppt R Bear CBBC Nov 2014 A	50,000,000	2014/04/29	2014/11/10	12.50
61453	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 G	300,000,000	2014/04/30	2015/01/29	75.00
61659	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 H	300,000,000	2014/04/30	2015/01/29	75.00
61653	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 E	300,000,000	2014/04/30	2014/08/28	75.00
61654	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/04/30	2014/08/28	75.00
61644	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 M	300,000,000	2014/04/30	2014/08/28	75.00
61588	Credit Suisse AG - HSI R Bull CBBC Nov 2014 B	238,000,000	2014/04/30	2014/11/27	59.50
61595	Credit Suisse AG - HSI R Bull CBBC Nov 2014 F	238,000,000	2014/04/30	2014/11/27	59.50
61601	Credit Suisse AG - HSI R Bull CBBC Nov 2014 N	238,000,000	2014/04/30	2014/11/27	59.50
61611	Credit Suisse AG - HSI R Bear CBBC Jul 2014 M	238,000,000	2014/04/30	2014/07/30	59.50
61621	Credit Suisse AG - HSI R Bear CBBC Jul 2014 N	238,000,000	2014/04/30	2014/07/30	59.50
61668	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 E	50,000,000	2014/04/30	2015/01/26	21.00
61689	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 F	50,000,000	2014/04/30	2015/01/26	24.00
61696	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 G	50,000,000	2014/04/30	2015/01/26	26.00
61726	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 H	50,000,000	2014/04/30	2015/01/26	29.00
61785	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 I	50,000,000	2014/04/30	2015/01/26	31.00
61786	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 J	50,000,000	2014/04/30	2015/01/26	35.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61819	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 K	50,000,000	2014/04/30	2015/01/26	45.00
61821	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jan 2015 L	50,000,000	2014/04/30	2015/01/26	60.00
61447	HK Bank - China Shenhua R Bear CBBC Dec 2015 A	40,000,000	2014/04/30	2015/12/07	10.00
61441	HK Bank - HKEx R Bear CBBC Dec 2014 A	50,000,000	2014/04/30	2014/12/22	17.50
61444	HK Bank - HKEx R Bear CBBC Jan 2015 A	50,000,000	2014/04/30	2015/01/26	22.50
61430	HK Bank - TCH R Bear CBBC Nov 2014 N	50,000,000	2014/04/30	2014/11/25	18.50
61433	HK Bank - TCH R Bear CBBC Nov 2014 O	50,000,000	2014/04/30	2014/11/18	13.50
61388	HK Bank - TCH R Bear CBBC Dec 2014 G	50,000,000	2014/04/30	2014/12/09	28.50
61420	HK Bank - TCH R Bear CBBC Dec 2014 H	50,000,000	2014/04/30	2014/12/02	23.50
61666	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/04/30	2014/10/30	75.00
61664	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Q	300,000,000	2014/04/30	2014/11/27	75.00
61660	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/04/30	2014/12/30	75.00
61488	J P Morgan SP BV - HSI R Bear CBBC Jul 2014 Z	300,000,000	2014/04/30	2014/07/30	75.00
61491	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 F	50,000,000	2014/04/30	2014/09/29	12.50
61454	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 T	200,000,000	2014/04/30	2014/12/30	50.00
61469	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul14 W	200,000,000	2014/04/30	2014/07/30	50.00
61587	UBS AG - Cheung Kong R Bear CBBC Dec 2014 A	50,000,000	2014/04/30	2014/12/15	12.50
61553	UBS AG - CM Bank R Bull CBBC Jul 2015 A	50,000,000	2014/04/30	2015/07/13	12.50
61554	UBS AG - CNOOC R Bear CBBC Jan 2015 A	50,000,000	2014/04/30	2015/01/05	12.50
61585	UBS AG - HKEx R Bull CBBC Jun 2015 A	100,000,000	2014/04/30	2015/06/01	37.50
61562	UBS AG - HKEx R Bear CBBC Feb 2015 B	100,000,000	2014/04/30	2015/02/02	69.00
61567	UBS AG - HKEx R Bear CBBC Feb 2015 C	100,000,000	2014/04/30	2015/02/16	48.00
61569	UBS AG - HKEx R Bear CBBC Mar 2015 C	100,000,000	2014/04/30	2015/03/23	28.00
61543	UBS AG - HSCEI R Bear CBBC Nov 2014 A	100,000,000	2014/04/30	2014/11/27	25.00
61471	UBS AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2014/04/30	2014/09/29	75.00
61470	UBS AG - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/04/30	2014/12/30	75.00
61604	UBS AG - HSI R Bear CBBC Jul 2014 B	300,000,000	2014/04/30	2014/07/30	75.00
61602	UBS AG - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/04/30	2014/08/28	75.00
61542	UBS AG - HSI R Bear CBBC Sep 2014 P	300,000,000	2014/04/30	2014/09/29	75.00
61511	UBS AG - HSI R Bear CBBC Oct 2014 K	300,000,000	2014/04/30	2014/10/30	75.00
61534	UBS AG - HSI R Bear CBBC Oct 2014 L	300,000,000	2014/04/30	2014/10/30	75.00
61493	UBS AG - HSI R Bear CBBC Dec 2014 B	300,000,000	2014/04/30	2014/12/30	75.00
61973	Credit Suisse AG - HSI R Bull CBBC Oct 2014 K	238,000,000	2014/05/02	2014/10/30	59.50
61975	Credit Suisse AG - HSI R Bull CBBC Oct 2014 S	238,000,000	2014/05/02	2014/10/30	59.50
61889	Credit Suisse AG - HSI R Bear CBBC Aug 2014 S	238,000,000	2014/05/02	2014/08/28	59.50
61891	Credit Suisse AG - HSI R Bear CBBC Aug 2014 T	238,000,000	2014/05/02	2014/08/28	59.50
61861	HK Bank - ABC R Bull CBBC Jul 2015 A	80,000,000	2014/05/02	2015/07/17	20.00
61864	HK Bank - Sinopec Corp R Bear CBBC Apr 2015 A	40,000,000	2014/05/02	2015/04/20	10.00
61865	HK Bank - Sinopec Corp R Bear CBBC Apr 2015 B	40,000,000	2014/05/02	2015/04/27	10.00
61852	HK Bank - HSCEI R Bear CBBC Dec 2014 C	68,000,000	2014/05/02	2014/12/30	17.00
61834	HK Bank - HSI R Bear CBBC Nov 2014 Q	100,000,000	2014/05/02	2014/11/27	25.00
61833	HK Bank - Huishan Dairy R Bull CBBC Nov 2015 A	40,000,000	2014/05/02	2015/11/30	10.00
62061	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 A	300,000,000	2014/05/02	2014/12/30	75.00
61824	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/05/02	2014/12/30	75.00
61825	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 V	300,000,000	2014/05/02	2014/08/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61828	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 W	300,000,000	2014/05/02	2014/08/28	75.00
62049	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 X	300,000,000	2014/05/02	2014/08/28	75.00
61823	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 B	100,000,000	2014/05/02	2015/03/30	25.00
61894	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 C	100,000,000	2014/05/02	2015/03/30	25.00
61893	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 E	200,000,000	2014/05/02	2014/08/28	50.00
61967	UBS AG - HKEx R Bull CBBC May 2015 B	50,000,000	2014/05/02	2015/05/11	20.00
62045	UBS AG - HKEx R Bull CBBC May 2015 C	50,000,000	2014/05/02	2015/05/11	12.50
61960	UBS AG - HKEx R Bull CBBC Jun 2015 B	50,000,000	2014/05/02	2015/06/15	12.50
61959	UBS AG - HKEx R Bull CBBC Jul 2015 A	50,000,000	2014/05/02	2015/07/13	12.50
61966	UBS AG - HKEx R Bull CBBC Sep 2015 A	50,000,000	2014/05/02	2015/09/21	15.00
61970	UBS AG - HKEx R Bull CBBC Sep 2015 B	50,000,000	2014/05/02	2015/09/14	25.00
61918	UBS AG - HKEx R Bear CBBC Jan 2015 C	50,000,000	2014/05/02	2015/01/26	39.50
61919	UBS AG - HKEx R Bear CBBC Jan 2015 D	50,000,000	2014/05/02	2015/01/19	34.50
61924	UBS AG - HKEx R Bear CBBC Jan 2015 E	50,000,000	2014/05/02	2015/01/05	23.25
61955	UBS AG - HKEx R Bear CBBC Jan 2015 F	50,000,000	2014/05/02	2015/01/12	13.25
61957	UBS AG - HKEx R Bear CBBC Jan 2015 G	100,000,000	2014/05/02	2015/01/26	25.00
61900	UBS AG - HKEx R Bear CBBC Feb 2015 D	50,000,000	2014/05/02	2015/02/02	12.50
61911	UBS AG - HKEx R Bear CBBC Feb 2015 E	100,000,000	2014/05/02	2015/02/09	81.00
61922	UBS AG - HKEx R Bear CBBC Feb 2015 F	100,000,000	2014/05/02	2015/02/09	59.00
61931	UBS AG - HKEx R Bear CBBC Feb 2015 G	50,000,000	2014/05/02	2015/02/02	18.25
61956	UBS AG - HKEx R Bear CBBC Feb 2015 H	50,000,000	2014/05/02	2015/02/16	12.50
61905	UBS AG - HKEx R Bear CBBC Mar 2015 D	100,000,000	2014/05/02	2015/03/16	86.00
61923	UBS AG - HKEx R Bear CBBC Mar 2015 E	50,000,000	2014/05/02	2015/03/02	28.50
61927	UBS AG - HKEx R Bear CBBC Mar 2015 F	100,000,000	2014/05/02	2015/03/30	44.00
61928	UBS AG - HKEx R Bear CBBC Mar 2015 G	100,000,000	2014/05/02	2015/03/02	39.00
61976	UBS AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2014/05/02	2014/10/30	75.00
61896	UBS AG - HSI R Bear CBBC Sep 2014 Q	300,000,000	2014/05/02	2014/09/29	75.00
62008	UBS AG - HSI R Bear CBBC Oct 2014 M	300,000,000	2014/05/02	2014/10/30	75.00
62150	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 I	300,000,000	2014/05/05	2015/01/29	75.00
62151	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 J	300,000,000	2014/05/05	2015/01/29	75.00
62347	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 K	300,000,000	2014/05/05	2015/01/29	75.00
62353	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/05/05	2014/08/28	75.00
62348	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/05/05	2014/08/28	75.00
62349	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/05/05	2014/08/28	75.00
62386	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/05/05	2014/08/28	75.00
62364	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 W	300,000,000	2014/05/05	2014/08/28	75.00
62218	Credit Suisse AG - HKEx R Bull CBBC Jan 2015 A	50,000,000	2014/05/05	2015/01/30	13.50
62268	Credit Suisse AG - HKEx R Bull CBBC Jan 2015 B	50,000,000	2014/05/05	2015/01/30	22.00
62266	Credit Suisse AG - HKEx R Bull CBBC Feb 2015 A	50,000,000	2014/05/05	2015/02/27	17.00
62287	Credit Suisse AG - HKEx R Bear CBBC Jan 2015 A	50,000,000	2014/05/05	2015/01/30	12.50
62300	Credit Suisse AG - HKEx R Bear CBBC Jan 2015 B	50,000,000	2014/05/05	2015/01/30	12.50
62308	Credit Suisse AG - HKEx R Bear CBBC Jan 2015 C	50,000,000	2014/05/05	2015/01/30	17.50
62311	Credit Suisse AG - HKEx R Bear CBBC Jan 2015 D	50,000,000	2014/05/05	2015/01/30	25.50
62310	Credit Suisse AG - HKEx R Bear CBBC Feb 2015 A	50,000,000	2014/05/05	2015/02/27	12.50
62312	Credit Suisse AG - HKEx R Bear CBBC Feb 2015 B	50,000,000	2014/05/05	2015/02/27	33.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62205	Credit Suisse AG - HSI R Bull CBBC Sep 2014 F	238,000,000	2014/05/05	2014/09/29	59.50
62209	Credit Suisse AG - HSI R Bull CBBC Sep 2014 O	238,000,000	2014/05/05	2014/09/29	59.50
62153	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Q	238,000,000	2014/05/05	2014/09/29	59.50
62152	Credit Suisse AG - HSI R Bull CBBC Sep 2014 S	238,000,000	2014/05/05	2014/09/29	59.50
62683	Credit Suisse AG - HSI R Bear CBBC Aug 2014 U	238,000,000	2014/05/05	2014/08/28	59.50
62679	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Y	238,000,000	2014/05/05	2014/08/28	59.50
62677	Credit Suisse AG - HSI R Bear CBBC Sep 2014 N	238,000,000	2014/05/05	2014/09/29	59.50
62682	Credit Suisse AG - HSI R Bear CBBC Sep 2014 O	238,000,000	2014/05/05	2014/09/29	59.50
62643	Bank of East Asia - Minsheng Bank R Bull CBBC Dec 2014 A	80,000,000	2014/05/05	2014/12/05	20.00
62654	Bank of East Asia - China Shenhua R Bear CBBC Feb 2015 A	200,000,000	2014/05/05	2015/02/02	50.00
62635	Bank of East Asia - HKEx R Bull CBBC Nov 2014 A	60,000,000	2014/05/05	2014/11/28	15.00
62639	Bank of East Asia - HKEx R Bear CBBC Nov 2014 A	60,000,000	2014/05/05	2014/11/26	15.60
62645	Bank of East Asia - Huishan Dairy R Bull CBBC Dec 2015 B	300,000,000	2014/05/05	2015/12/29	75.00
62343	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 Q	150,000,000	2014/05/05	2014/08/28	37.80
62314	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 Y	300,000,000	2014/05/05	2014/08/28	75.00
62321	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 Z	300,000,000	2014/05/05	2014/08/28	75.00
62346	Macquarie Bank Ltd. - HSI R Bull CBBC Aug 2014 B	50,000,000	2014/05/05	2014/08/28	12.50
62344	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 G	50,000,000	2014/05/05	2014/09/29	12.50
62345	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 H	50,000,000	2014/05/05	2014/09/29	12.50
62147	Standard Chartered Bank - HSI R Bull CBBC Mar 2015 B	100,000,000	2014/05/05	2015/03/30	25.00
62146	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 D	100,000,000	2014/05/05	2015/03/30	25.00
62078	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 Q	200,000,000	2014/05/05	2014/10/30	50.00
62079	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 R	200,000,000	2014/05/05	2014/10/30	50.00
62329	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 F	200,000,000	2014/05/05	2014/08/28	50.00
62330	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 G	200,000,000	2014/05/05	2014/08/28	50.00
62331	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 H	200,000,000	2014/05/05	2014/08/28	50.00
62342	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 I	200,000,000	2014/05/05	2014/08/28	50.00
62512	UBS AG - China Mobile R Bull CBBC Jun 2015 A	50,000,000	2014/05/05	2015/06/22	12.50
62511	UBS AG - China Shenhua R Bull CBBC Oct 2015 A	50,000,000	2014/05/05	2015/10/26	12.50
62615	UBS AG - HKEx R Bull CBBC Jun 2015 C	100,000,000	2014/05/05	2015/06/01	25.00
62685	UBS AG - HKEx R Bear CBBC Dec 2014 A	50,000,000	2014/05/05	2014/12/22	12.50
62561	UBS AG - HKEx R Bear CBBC Jan 2015 H	50,000,000	2014/05/05	2015/01/26	55.00
62562	UBS AG - HKEx R Bear CBBC Jan 2015 I	50,000,000	2014/05/05	2015/01/05	50.00
62557	UBS AG - HKEx R Bear CBBC Feb 2015 I	50,000,000	2014/05/05	2015/02/16	60.00
62536	UBS AG - HKEx R Bear CBBC Mar 2015 H	50,000,000	2014/05/05	2015/03/09	65.00
62392	UBS AG - HSCEI R Bull CBBC Dec 2014 H	100,000,000	2014/05/05	2014/12/30	25.00
62400	UBS AG - HSCEI R Bull CBBC Dec 2014 I	100,000,000	2014/05/05	2014/12/30	25.00
62387	UBS AG - HSCEI R Bear CBBC Oct 2014 A	100,000,000	2014/05/05	2014/10/30	25.00
62578	UBS AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2014/05/05	2014/09/29	75.00
62577	UBS AG - HSI R Bull CBBC Oct 2014 L	300,000,000	2014/05/05	2014/10/30	75.00
62690	UBS AG - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/05/05	2014/08/28	75.00
62692	UBS AG - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/05/05	2014/08/28	75.00
62691	UBS AG - HSI R Bear CBBC Sep 2014 R	300,000,000	2014/05/05	2014/09/29	75.00
62564	UBS AG - Huishan Dairy R Bull CBBC Nov 2015 B	40,000,000	2014/05/05	2015/11/16	10.00
62503	UBS AG - Huishan Dairy R Bull CBBC Dec 2015 B	40,000,000	2014/05/05	2015/12/14	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62775	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 L	300,000,000	2014/05/07	2015/01/29	75.00
62779	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 M	300,000,000	2014/05/07	2015/01/29	75.00
62830	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/05/07	2014/08/28	75.00
62822	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 X	300,000,000	2014/05/07	2014/08/28	75.00
62795	Credit Suisse AG - HSI R Bull CBBC Oct 2014 C	238,000,000	2014/05/07	2014/10/30	59.50
62793	Credit Suisse AG - HSI R Bull CBBC Oct 2014 P	238,000,000	2014/05/07	2014/10/30	59.50
62874	Credit Suisse AG - HSI R Bear CBBC Aug 2014 V	238,000,000	2014/05/07	2014/08/28	59.50
62852	Credit Suisse AG - HSI R Bear CBBC Sep 2014 P	238,000,000	2014/05/07	2014/09/29	59.50
62855	Credit Suisse AG - HSI R Bear CBBC Sep 2014 Q	238,000,000	2014/05/07	2014/09/29	59.50
62915	Bank of East Asia - China Cinda R Bull CBBC Mar 2015 A	200,000,000	2014/05/07	2015/03/27	50.00
62916	Bank of East Asia - Kingsoft R Bull CBBC Apr 2015 A	200,000,000	2014/05/07	2015/04/29	50.00
62914	Bank of East Asia - Lenovo R Bull CBBC Dec 2014 B	80,000,000	2014/05/07	2014/12/31	20.00
62724	HK Bank - Anhui Conch R Bull CBBC Jul 2015 B	50,000,000	2014/05/07	2015/07/27	12.50
62728	HK Bank - China Cinda R Bull CBBC Dec 2014 A	50,000,000	2014/05/07	2014/12/22	12.50
62696	HK Bank - China Cinda R Bull CBBC Jan 2015 A	50,000,000	2014/05/07	2015/01/26	12.50
62707	HK Bank - GCL-Poly Energy R Bull CBBC Aug 2015 A	40,000,000	2014/05/07	2015/08/28	10.00
62729	HK Bank - GCL-Poly Energy R Bull CBBC Sep 2015 A	40,000,000	2014/05/07	2015/09/18	10.00
62705	HK Bank - HSI R Bear CBBC Nov 2014 R	100,000,000	2014/05/07	2014/11/27	25.00
62695	HK Bank - HSI R Bear CBBC Dec 2014 K	100,000,000	2014/05/07	2014/12/30	25.00
62694	HK Bank - HSI R Bear CBBC Jan 2015 B	100,000,000	2014/05/07	2015/01/29	25.00
62732	HK Bank - Kingsoft R Bull CBBC Sep 2015 A	50,000,000	2014/05/07	2015/09/18	12.50
62734	HK Bank - Kingsoft R Bull CBBC Sep 2015 B	50,000,000	2014/05/07	2015/09/18	12.50
62709	HK Bank - Lenovo R Bull CBBC Dec 2014 C	60,000,000	2014/05/07	2014/12/22	15.00
62717	HK Bank - Lenovo R Bull CBBC Jan 2015 A	60,000,000	2014/05/07	2015/01/26	15.00
62722	HK Bank - Lenovo R Bear CBBC Dec 2014 B	40,000,000	2014/05/07	2014/12/22	10.00
62744	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/05/07	2014/10/30	75.00
62735	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Y	300,000,000	2014/05/07	2014/11/27	75.00
62913	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 A	300,000,000	2014/05/07	2014/08/28	75.00
62894	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 A	300,000,000	2014/05/07	2014/09/29	75.00
62898	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 B	300,000,000	2014/05/07	2014/09/29	75.00
62834	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 A	100,000,000	2014/05/07	2015/01/29	25.00
62804	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 E	100,000,000	2014/05/07	2015/03/30	25.00
62845	Standard Chartered Bank - Kingsoft R Bull CBBC Dec 2014 A	50,000,000	2014/05/07	2014/12/12	27.50
62849	Standard Chartered Bank - Kingsoft R Bear CBBC Dec 2014 A	50,000,000	2014/05/07	2014/12/19	37.50
62789	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 S	200,000,000	2014/05/07	2014/10/30	50.00
62821	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 J	200,000,000	2014/05/07	2014/08/28	50.00
62893	UBS AG - HSBC R Bull CBBC Apr 2015 B	50,000,000	2014/05/07	2015/04/20	12.50
62886	UBS AG - HSBC R Bear CBBC Feb 2015 A	50,000,000	2014/05/07	2015/02/02	12.50
62801	UBS AG - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/05/07	2014/11/27	75.00
62798	UBS AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/05/07	2014/11/27	75.00
62875	UBS AG - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/05/07	2014/08/28	75.00
62880	UBS AG - HSI R Bear CBBC Sep 2014 S	300,000,000	2014/05/07	2014/09/29	75.00
62878	UBS AG - HSI R Bear CBBC Oct 2014 N	300,000,000	2014/05/07	2014/10/30	75.00
62881	UBS AG - HSI R Bear CBBC Oct 2014 O	300,000,000	2014/05/07	2014/10/30	75.00
62882	UBS AG - TCH R Bear CBBC Jan 2015 A	40,000,000	2014/05/07	2015/01/12	10.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62958	Credit Suisse AG - HSI R Bull CBBC Dec 2014 J	238,000,000	2014/05/08	2014/12/30	59.50
62959	Credit Suisse AG - HSI R Bull CBBC Dec 2014 M	238,000,000	2014/05/08	2014/12/30	59.50
62962	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Y	238,000,000	2014/05/08	2014/12/30	59.50
62926	Credit Suisse AG - HSI R Bear CBBC Sep 2014 R	238,000,000	2014/05/08	2014/09/29	59.50
62928	Credit Suisse AG - HSI R Bear CBBC Sep 2014 S	238,000,000	2014/05/08	2014/09/29	59.50
62955	Credit Suisse AG - HSI R Bear CBBC Sep 2014 T	238,000,000	2014/05/08	2014/09/29	59.50
63002	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2014 L	150,000,000	2014/05/08	2014/08/28	40.95
62917	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 F	60,000,000	2014/05/08	2014/11/21	15.00
62919	HK Bank - Kingsoft R Bull CBBC Dec 2014 A	50,000,000	2014/05/08	2014/12/08	21.00
62918	HK Bank - TCH R Bear CBBC Nov 2014 P	50,000,000	2014/05/08	2014/11/17	20.00
62996	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 U	300,000,000	2014/05/08	2014/10/30	75.00
62992	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/05/08	2014/12/30	75.00
62921	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 B	300,000,000	2014/05/08	2014/08/28	75.00
62920	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 C	300,000,000	2014/05/08	2014/09/29	75.00
62937	Standard Chartered Bank - Anhui Conch R Bull CBBC Jul 2015 A	50,000,000	2014/05/08	2015/07/30	12.50
62938	Standard Chartered Bank - Anhui Conch R Bear CBBC Dec 2014 A	50,000,000	2014/05/08	2014/12/31	12.50
62934	Standard Chartered Bank - GCL-Poly Energy R Bull CBBC Nov15A	50,000,000	2014/05/08	2015/11/25	12.50
62933	Standard Chartered Bank - GCL-Poly Energy R Bear CBBC Dec14A	50,000,000	2014/05/08	2014/12/02	12.50
62932	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 B	100,000,000	2014/05/08	2015/01/29	25.00
62950	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 C	100,000,000	2014/05/08	2015/01/29	25.00
62939	Standard Chartered Bank - PetCh R Bull CBBC Oct 2014 A	50,000,000	2014/05/08	2014/10/23	12.50
62949	Standard Chartered Bank - PetCh R Bear CBBC Sep 2014 B	50,000,000	2014/05/08	2014/09/26	12.50
62986	UBS AG - Anhui Conch R Bull CBBC Jul 2015 B	50,000,000	2014/05/08	2015/07/13	12.50
62983	UBS AG - China Cinda R Bull CBBC Jan 2015 A	50,000,000	2014/05/08	2015/01/12	12.50
62984	UBS AG - China Cinda R Bull CBBC Jan 2015 B	50,000,000	2014/05/08	2015/01/19	12.50
62981	UBS AG - GCL-Poly R Bull CBBC Aug 2015 A	50,000,000	2014/05/08	2015/08/03	12.50
63007	UBS AG - Galaxy Ent R Bull CBBC Dec 2014 A	50,000,000	2014/05/08	2014/12/01	12.50
63008	UBS AG - Galaxy Ent R Bull CBBC Feb 2015 A	50,000,000	2014/05/08	2015/02/09	12.50
63006	UBS AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2014/05/08	2014/10/30	75.00
63005	UBS AG - HSI R Bull CBBC Oct 2014 T	300,000,000	2014/05/08	2014/10/30	75.00
63004	UBS AG - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/05/08	2014/12/30	75.00
62987	UBS AG - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/05/08	2014/08/28	75.00
62989	UBS AG - HSI R Bear CBBC Aug 2014 M	300,000,000	2014/05/08	2014/08/28	75.00
62990	UBS AG - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/05/08	2014/08/28	75.00
62978	UBS AG - Kingsoft R Bull CBBC Jul 2015 A	50,000,000	2014/05/08	2015/07/13	12.50
63003	UBS AG - Kingsoft R Bull CBBC Jul 2015 B	50,000,000	2014/05/08	2015/07/27	12.50
62979	UBS AG - Kingsoft R Bull CBBC Aug 2015 A	50,000,000	2014/05/08	2015/08/03	12.50
62970	UBS AG - Kingsoft R Bear CBBC Dec 2014 A	50,000,000	2014/05/08	2014/12/22	12.50
62985	UBS AG - Lenovo R Bull CBBC Dec 2014 E	50,000,000	2014/05/08	2014/12/15	12.50
63035	Credit Suisse AG - China Mobile R Bull CBBC Dec 2014 A	50,000,000	2014/05/09	2014/12/23	12.50
63042	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2014 A	50,000,000	2014/05/09	2014/12/23	12.50
63029	Credit Suisse AG - HSI R Bull CBBC Nov 2014 O	238,000,000	2014/05/09	2014/11/27	59.50
63034	Credit Suisse AG - HSI R Bull CBBC Nov 2014 X	238,000,000	2014/05/09	2014/11/27	59.50
63099	Credit Suisse AG - HSI R Bear CBBC Sep 2014 U	238,000,000	2014/05/09	2014/09/29	59.50
63101	Credit Suisse AG - HSI R Bear CBBC Sep 2014 V	238,000,000	2014/05/09	2014/09/29	59.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63104	Credit Suisse AG - TCH R Bull CBBC Dec 2014 A	50,000,000	2014/05/09	2014/12/23	38.50
63043	Credit Suisse AG - TCH R Bear CBBC Sep 2014 A	50,000,000	2014/05/09	2014/09/30	12.50
63044	Credit Suisse AG - TCH R Bear CBBC Sep 2014 B	50,000,000	2014/05/09	2014/09/30	19.00
63026	HK Bank - China Cinda R Bull CBBC Feb 2015 A	50,000,000	2014/05/09	2015/02/16	12.50
63023	HK Bank - HSI R Bull CBBC Nov 2014 X	100,000,000	2014/05/09	2014/11/27	25.00
63024	HK Bank - HSI R Bull CBBC Dec 2014 M	100,000,000	2014/05/09	2014/12/30	25.00
63022	HK Bank - HSI R Bull CBBC Jan 2015 F	100,000,000	2014/05/09	2015/01/29	25.00
63025	HK Bank - ICBC R Bull CBBC Dec 2014 C	80,000,000	2014/05/09	2014/12/22	20.00
63027	HK Bank - Ping An R Bull CBBC Nov 2014 C	60,000,000	2014/05/09	2014/11/10	15.00
63028	HK Bank - Ping An R Bull CBBC Dec 2014 B	60,000,000	2014/05/09	2014/12/22	15.00
63012	HK Bank - TCH R Bear CBBC Nov 2014 Q	50,000,000	2014/05/09	2014/11/27	12.50
63011	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 B	300,000,000	2014/05/09	2014/11/27	75.00
63010	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/05/09	2014/11/27	75.00
63112	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/05/09	2014/08/28	75.00
63059	Standard Chartered Bank - ABC R Bull CBBC Oct 2015 A	50,000,000	2014/05/09	2015/10/26	12.50
63050	Standard Chartered Bank - China Mobile R Bull CBBC Nov 2014D	50,000,000	2014/05/09	2014/11/19	12.50
63052	Standard Chartered Bank - China Mobile R Bull CBBC Nov 2014E	50,000,000	2014/05/09	2014/11/20	12.50
63066	Standard Chartered Bank - Minsheng Bank R Bear CBBC Jan 15 A	50,000,000	2014/05/09	2015/01/20	12.50
63055	Standard Chartered Bank - Galaxy Ent R Bear CBBC Oct 2014 B	50,000,000	2014/05/09	2014/10/30	12.50
63045	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 A	100,000,000	2014/05/09	2015/04/29	25.00
63078	Standard Chartered Bank - Kingsoft R Bull CBBC Jul 2015 A	50,000,000	2014/05/09	2015/07/15	12.50
63080	Standard Chartered Bank - Kingsoft R Bear CBBC Dec 2014 B	50,000,000	2014/05/09	2014/12/19	12.50
63046	Standard Chartered Bank - Ping An R Bear CBBC Nov 2014 A	50,000,000	2014/05/09	2014/11/13	12.50
63098	UBS AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/05/09	2014/11/27	75.00
63092	UBS AG - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/05/09	2014/12/30	75.00
63105	UBS AG - HSI R Bear CBBC Aug 2014 Q	300,000,000	2014/05/09	2014/08/28	75.00
63110	UBS AG - HSI R Bear CBBC Sep 2014 T	350,000,000	2014/05/09	2014/09/29	87.50
63166	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/05/12	2014/12/30	59.50
63167	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	238,000,000	2014/05/12	2014/12/30	59.50
63151	Credit Suisse AG - HSI R Bear CBBC Oct 2014 B	238,000,000	2014/05/12	2014/10/30	59.50
63154	Credit Suisse AG - HSI R Bear CBBC Oct 2014 C	238,000,000	2014/05/12	2014/10/30	59.50
63121	HK Bank - China Mobile R Bull CBBC Jan 2015 E	120,000,000	2014/05/12	2015/01/12	30.00
63131	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 G	60,000,000	2014/05/12	2014/11/28	15.00
63116	HK Bank - HSI R Bear CBBC Dec 2014 L	100,000,000	2014/05/12	2014/12/30	25.00
63144	HK Bank - Kingsoft R Bull CBBC Dec 2014 B	50,000,000	2014/05/12	2014/12/22	25.50
63145	HK Bank - Kingsoft R Bull CBBC Dec 2014 C	50,000,000	2014/05/12	2014/12/22	30.50
63147	HK Bank - Kingsoft R Bull CBBC Dec 2014 D	50,000,000	2014/05/12	2014/12/22	35.50
63142	HK Bank - Kingsoft R Bull CBBC Jan 2015 A	50,000,000	2014/05/12	2015/01/12	15.50
63133	HK Bank - Kingsoft R Bull CBBC Aug 2015 A	50,000,000	2014/05/12	2015/08/18	12.50
63148	HK Bank - Kingsoft R Bull CBBC Aug 2015 B	50,000,000	2014/05/12	2015/08/18	12.50
63138	HK Bank - Kingsoft R Bear CBBC Jan 2015 A	40,000,000	2014/05/12	2015/01/30	32.80
63140	HK Bank - Kingsoft R Bear CBBC Jan 2015 B	40,000,000	2014/05/12	2015/01/30	40.80
63122	HK Bank - TCH R Bear CBBC Dec 2014 I	50,000,000	2014/05/12	2014/12/18	20.00
63129	HK Bank - TCH R Bear CBBC Dec 2014 J	50,000,000	2014/05/12	2014/12/11	15.00
63168	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/05/12	2014/11/27	75.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63149	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 D	300,000,000	2014/05/12	2014/09/29	75.00
63155	Standard Chartered Bank - Kingsoft R Bull CBBC Jul 2015 B	50,000,000	2014/05/12	2015/07/22	26.00
63156	Standard Chartered Bank - Kingsoft R Bear CBBC Mar 2015 A	50,000,000	2014/05/12	2015/03/17	36.00
63169	UBS AG - HSI R Bull CBBC Jan 2015 B	300,000,000	2014/05/12	2015/01/29	75.00
63157	UBS AG - HSI R Bear CBBC Aug 2014 R	300,000,000	2014/05/12	2014/08/28	75.00
63161	UBS AG - ICBC R Bull CBBC Jul 2015 B	50,000,000	2014/05/12	2015/07/27	12.50
63158	UBS AG - Ping An R Bull CBBC Jul 2015 A	50,000,000	2014/05/12	2015/07/27	12.50
63213	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 N	300,000,000	2014/05/13	2015/01/29	75.00
63215	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 O	300,000,000	2014/05/13	2015/01/29	75.00
63281	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 D	300,000,000	2014/05/13	2014/08/28	75.00
63282	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/05/13	2014/08/28	75.00
63283	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 R	300,000,000	2014/05/13	2014/08/28	75.00
63280	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 Y	300,000,000	2014/05/13	2014/08/28	75.00
63249	Credit Suisse AG - HSI R Bull CBBC Nov 2014 E	238,000,000	2014/05/13	2014/11/27	59.50
63242	Credit Suisse AG - HSI R Bull CBBC Nov 2014 H	238,000,000	2014/05/13	2014/11/27	59.50
63247	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Q	238,000,000	2014/05/13	2014/11/27	59.50
63245	Credit Suisse AG - HSI R Bull CBBC Nov 2014 R	238,000,000	2014/05/13	2014/11/27	59.50
63287	Credit Suisse AG - HSI R Bear CBBC Aug 2014 W	238,000,000	2014/05/13	2014/08/28	59.50
63285	Credit Suisse AG - HSI R Bear CBBC Sep 2014 W	238,000,000	2014/05/13	2014/09/29	59.50
63289	Credit Suisse AG - HSI R Bear CBBC Sep 2014 X	238,000,000	2014/05/13	2014/09/29	59.50
63257	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 R	150,000,000	2014/05/13	2014/08/28	37.80
63170	HK Bank - COVS R Bear CBBC May 2015 A	40,000,000	2014/05/13	2015/05/04	12.80
63203	HK Bank - Minsheng Bank R Bull CBBC Jan 2015 A	40,000,000	2014/05/13	2015/01/26	10.00
63189	HK Bank - Galaxy Ent R Bull CBBC Dec 2014 B	80,000,000	2014/05/13	2014/12/15	20.00
63191	HK Bank - Galaxy Ent R Bull CBBC Dec 2014 C	80,000,000	2014/05/13	2014/12/08	20.00
63178	HK Bank - Galaxy Ent R Bull CBBC Jan 2015 B	80,000,000	2014/05/13	2015/01/19	20.00
63177	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 I	60,000,000	2014/05/13	2014/12/03	15.00
63182	HK Bank - Sands China R Bull CBBC Dec 2014 B	60,000,000	2014/05/13	2014/12/08	15.00
63184	HK Bank - Sands China R Bull CBBC Jan 2015 B	60,000,000	2014/05/13	2015/01/26	15.00
63187	HK Bank - Sands China R Bear CBBC Nov 2014 G	50,000,000	2014/05/13	2014/11/24	12.50
63176	HK Bank - TCH R Bull CBBC Nov 2014 R	50,000,000	2014/05/13	2014/11/12	12.50
63212	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 P	300,000,000	2014/05/13	2014/11/27	75.00
63211	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 B	300,000,000	2014/05/13	2014/12/30	75.00
63204	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 D	300,000,000	2014/05/13	2015/01/29	75.00
63295	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 D	300,000,000	2014/05/13	2014/08/28	75.00
63292	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 E	300,000,000	2014/05/13	2014/09/29	75.00
63234	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 B	100,000,000	2014/05/13	2015/04/29	25.00
63216	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 A	200,000,000	2014/05/13	2014/09/29	50.00
63224	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 B	200,000,000	2014/05/13	2014/09/29	50.00
63259	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 K	200,000,000	2014/05/13	2014/08/28	50.00
63278	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 L	200,000,000	2014/05/13	2014/08/28	50.00
63251	UBS AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/05/13	2014/10/30	75.00
63253	UBS AG - HSI R Bull CBBC Dec 2014 O	300,000,000	2014/05/13	2014/12/30	75.00
63255	UBS AG - HSI R Bull CBBC Jan 2015 C	300,000,000	2014/05/13	2015/01/29	75.00
63291	UBS AG - HSI R Bear CBBC Aug 2014 S	300,000,000	2014/05/13	2014/08/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63290	UBS AG - HSI R Bear CBBC Sep 2014 U	300,000,000	2014/05/13	2014/09/29	75.00
62813 [#]	HK Bank - Galaxy Ent R Bull CBBC Aug 2014 A	150,000,000	2014/05/13	2014/08/25	11.70
63364	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 P	300,000,000	2014/05/14	2015/01/29	75.00
63365	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 Q	300,000,000	2014/05/14	2015/01/29	75.00
63369	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 R	300,000,000	2014/05/14	2015/01/29	75.00
63404	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 S	300,000,000	2014/05/14	2015/01/29	75.00
63417	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 T	300,000,000	2014/05/14	2015/01/29	75.00
63422	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 A	300,000,000	2014/05/14	2014/08/28	75.00
63418	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 S	300,000,000	2014/05/14	2014/08/28	75.00
63423	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 T	300,000,000	2014/05/14	2014/08/28	75.00
63420	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 Z	300,000,000	2014/05/14	2014/08/28	75.00
63346	Credit Suisse AG - HSI R Bull CBBC Jan 2015 A	238,000,000	2014/05/14	2015/01/29	59.50
63355	Credit Suisse AG - HSI R Bull CBBC Jan 2015 B	238,000,000	2014/05/14	2015/01/29	59.50
63356	Credit Suisse AG - HSI R Bull CBBC Jan 2015 C	238,000,000	2014/05/14	2015/01/29	59.50
63359	Credit Suisse AG - HSI R Bull CBBC Jan 2015 D	238,000,000	2014/05/14	2015/01/29	59.50
63363	Credit Suisse AG - HSI R Bull CBBC Jan 2015 E	238,000,000	2014/05/14	2015/01/29	59.50
63433	Credit Suisse AG - HSI R Bear CBBC Sep 2014 A	238,000,000	2014/05/14	2014/09/29	59.50
63434	Credit Suisse AG - HSI R Bear CBBC Sep 2014 D	238,000,000	2014/05/14	2014/09/29	59.50
63431	Credit Suisse AG - HSI R Bear CBBC Sep 2014 Y	238,000,000	2014/05/14	2014/09/29	59.50
63432	Credit Suisse AG - HSI R Bear CBBC Sep 2014 Z	238,000,000	2014/05/14	2014/09/29	59.50
63426	Credit Suisse AG - TCH R Bull CBBC Dec 2014 B	50,000,000	2014/05/14	2014/12/23	44.50
63429	Credit Suisse AG - TCH R Bear CBBC Sep 2014 C	50,000,000	2014/05/14	2014/09/30	18.50
63403	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 A	150,000,000	2014/05/14	2014/09/29	40.50
63337	HK Bank - ABC R Bull CBBC Jul 2015 B	80,000,000	2014/05/14	2015/07/22	20.00
63339	HK Bank - ABC R Bull CBBC Jul 2015 C	80,000,000	2014/05/14	2015/07/31	20.00
63340	HK Bank - AIA R Bear CBBC Apr 2015 A	40,000,000	2014/05/14	2015/04/13	10.00
63331	HK Bank - CC Bank R Bull CBBC Dec 2014 C	80,000,000	2014/05/14	2014/12/22	20.00
63320	HK Bank - China Life R Bull CBBC Jan 2015 A	60,000,000	2014/05/14	2015/01/26	15.00
63325	HK Bank - China Life R Bull CBBC Feb 2015 A	60,000,000	2014/05/14	2015/02/16	20.40
63329	HK Bank - China Life R Bear CBBC Dec 2014 C	50,000,000	2014/05/14	2014/12/08	15.50
63319	HK Bank - Sinopec Corp R Bull CBBC Feb 2015 A	80,000,000	2014/05/14	2015/02/16	20.00
63318	HK Bank - Sinopec Corp R Bear CBBC Apr 2015 C	40,000,000	2014/05/14	2015/04/13	10.00
63317	HK Bank - HSI R Bull CBBC Oct 2014 U	100,000,000	2014/05/14	2014/10/30	25.00
63299	HK Bank - HSI R Bear CBBC Oct 2014 T	100,000,000	2014/05/14	2014/10/30	25.00
63298	HK Bank - HSI R Bear CBBC Nov 2014 S	100,000,000	2014/05/14	2014/11/27	25.00
63397	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/05/14	2014/12/30	75.00
63446	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 E	300,000,000	2014/05/14	2014/08/28	75.00
63445	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 F	300,000,000	2014/05/14	2014/09/29	75.00
63447	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 G	300,000,000	2014/05/14	2014/09/29	75.00
63380	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 I	50,000,000	2014/05/14	2014/09/29	12.50
63391	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 J	50,000,000	2014/05/14	2014/09/29	12.50
63392	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 K	50,000,000	2014/05/14	2014/09/29	12.50
63376	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 D	100,000,000	2014/05/14	2015/01/29	25.00
63377	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 E	100,000,000	2014/05/14	2015/01/29	25.00
63439	Standard Chartered Bank - TCH R Bull CBBC Dec 2014 A	50,000,000	2014/05/14	2014/12/23	43.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63441	Standard Chartered Bank - TCH R Bull CBBC Dec 2014 B	50,000,000	2014/05/14	2014/12/18	33.00
63442	Standard Chartered Bank - TCH R Bear CBBC Dec 2014 A	50,000,000	2014/05/14	2014/12/11	30.50
63444	Standard Chartered Bank - TCH R Bear CBBC Dec 2014 B	50,000,000	2014/05/14	2014/12/04	40.50
63343	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 C	200,000,000	2014/05/14	2014/09/29	50.00
63344	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 D	200,000,000	2014/05/14	2014/09/29	50.00
63345	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 M	200,000,000	2014/05/14	2014/08/28	50.00
63424	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 N	200,000,000	2014/05/14	2014/08/28	50.00
63395	UBS AG - Minsheng Bank R Bull CBBC Jan 2015 A	50,000,000	2014/05/14	2015/01/19	12.50
63378	UBS AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2014/05/14	2014/10/30	75.00
63393	UBS AG - HSI R Bull CBBC Nov 2014 D	300,000,000	2014/05/14	2014/11/27	75.00
63379	UBS AG - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/05/14	2014/11/27	75.00
63436	UBS AG - HSI R Bear CBBC Aug 2014 T	300,000,000	2014/05/14	2014/08/28	75.00
63435	UBS AG - HSI R Bear CBBC Sep 2014 V	300,000,000	2014/05/14	2014/09/29	75.00
63487	Credit Suisse AG - HSI R Bull CBBC Dec 2014 B	238,000,000	2014/05/15	2014/12/30	59.50
63488	Credit Suisse AG - HSI R Bull CBBC Dec 2014 C	238,000,000	2014/05/15	2014/12/30	59.50
63486	Credit Suisse AG - HSI R Bull CBBC Dec 2014 V	238,000,000	2014/05/15	2014/12/30	59.50
63455	Credit Suisse AG - HSI R Bear CBBC Oct 2014 D	238,000,000	2014/05/15	2014/10/30	59.50
63456	Credit Suisse AG - HSI R Bear CBBC Oct 2014 E	238,000,000	2014/05/15	2014/10/30	59.50
63451	HK Bank - COVS R Bull CBBC Feb 2015 A	50,000,000	2014/05/15	2015/02/26	20.00
63453	HK Bank - Galaxy Ent R Bear CBBC Feb 2015 A	60,000,000	2014/05/15	2015/02/26	15.00
63448	HK Bank - HSI R Bear CBBC Oct 2014 U	100,000,000	2014/05/15	2014/10/30	25.00
63449	HK Bank - HSI R Bear CBBC Oct 2014 V	100,000,000	2014/05/15	2014/10/30	25.00
63450	HK Bank - HSI R Bear CBBC Oct 2014 W	400,000,000	2014/05/15	2014/10/30	100.00
63454	HK Bank - Sands China R Bear CBBC Feb 2015 A	50,000,000	2014/05/15	2015/02/26	12.50
63501	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/05/15	2014/12/30	75.00
63492	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 H	300,000,000	2014/05/15	2014/09/29	75.00
63458	Standard Chartered Bank - GCL-Poly Energy R Bull CBBC Dec15A	50,000,000	2014/05/15	2015/12/02	12.50
63459	Standard Chartered Bank - GCL-Poly Energy R Bull CBBC Dec15B	50,000,000	2014/05/15	2015/12/09	12.50
63457	Standard Chartered Bank - GCL-Poly Energy R Bear CBBC Dec14B	50,000,000	2014/05/15	2014/12/02	12.50
63535	Standard Chartered Bank - Galaxy Ent R Bull CBBC Oct 2014 B	50,000,000	2014/05/15	2014/10/23	12.50
63490	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 C	100,000,000	2014/05/15	2015/04/29	25.00
63483	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep 14E	200,000,000	2014/05/15	2014/09/29	50.00
63484	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug 14O	200,000,000	2014/05/15	2014/08/28	50.00
63485	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug 14P	200,000,000	2014/05/15	2014/08/28	50.00
63521	UBS AG - China Mobile R Bull CBBC Jun 2015 B	100,000,000	2014/05/15	2015/06/15	25.00
63522	UBS AG - Galaxy Ent R Bull CBBC Jun 2015 A	50,000,000	2014/05/15	2015/06/22	12.50
63504	UBS AG - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/05/15	2014/12/30	75.00
63505	UBS AG - HSI R Bull CBBC Jan 2015 D	300,000,000	2014/05/15	2015/01/29	75.00
63461	UBS AG - HSI R Bear CBBC Aug 2014 U	300,000,000	2014/05/15	2014/08/28	75.00
63476	UBS AG - HSI R Bear CBBC Sep 2014 W	300,000,000	2014/05/15	2014/09/29	75.00
63480	UBS AG - HSI R Bear CBBC Sep 2014 X	350,000,000	2014/05/15	2014/09/29	87.50
63502	UBS AG - HSI R Bear CBBC Mar 2015 A	300,000,000	2014/05/15	2015/03/30	75.00
63508	UBS AG - Sands China R Bull CBBC Mar 2015 A	50,000,000	2014/05/15	2015/03/16	12.50
63643	Credit Suisse AG - HSI R Bull CBBC Oct 2014 W	238,000,000	2014/05/16	2014/10/30	59.50
63646	Credit Suisse AG - HSI R Bear CBBC Oct 2014 F	238,000,000	2014/05/16	2014/10/30	59.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63617	Credit Suisse AG - Kingsoft R Bull CBBC Dec 2014 A	50,000,000	2014/05/16	2014/12/19	12.50
63619	Credit Suisse AG - Kingsoft R Bull CBBC Dec 2014 B	50,000,000	2014/05/16	2014/12/19	22.50
63626	Credit Suisse AG - Kingsoft R Bull CBBC Dec 2014 C	50,000,000	2014/05/16	2014/12/19	32.50
63665	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Feb15A	80,000,000	2014/05/16	2015/02/16	20.00
63653	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Feb15C	100,000,000	2014/05/16	2015/02/16	25.00
63654	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Feb 15 D	100,000,000	2014/05/16	2015/02/16	25.00
63675	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Feb 15B	60,000,000	2014/05/16	2015/02/16	15.00
63676	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Feb 15C	60,000,000	2014/05/16	2015/02/16	15.00
63677	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Feb 15D	60,000,000	2014/05/16	2015/02/16	15.00
63679	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Feb 15B	60,000,000	2014/05/16	2015/02/16	15.00
63657	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Feb 2015 B	80,000,000	2014/05/16	2015/02/16	20.00
63666	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Feb15A	60,000,000	2014/05/16	2015/02/16	15.00
63667	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Feb15B	60,000,000	2014/05/16	2015/02/16	15.00
63669	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Feb15C	60,000,000	2014/05/16	2015/02/16	15.00
63674	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Feb15B	60,000,000	2014/05/16	2015/02/16	15.00
63562	HK Bank - China Mobile R Bull CBBC Jan 2015 F	120,000,000	2014/05/16	2015/01/15	30.00
63567	HK Bank - Galaxy Ent R Bull CBBC Dec 2014 D	80,000,000	2014/05/16	2014/12/11	20.00
63579	HK Bank - Galaxy Ent R Bull CBBC Dec 2014 E	80,000,000	2014/05/16	2014/12/18	20.00
63582	HK Bank - Galaxy Ent R Bull CBBC Dec 2014 F	80,000,000	2014/05/16	2014/12/22	20.00
63564	HK Bank - Galaxy Ent R Bear CBBC Jan 2015 C	60,000,000	2014/05/16	2015/01/19	15.00
63557	HK Bank - HSI R Bull CBBC Oct 2014 V	100,000,000	2014/05/16	2014/10/30	25.00
63548	HK Bank - HSI R Bull CBBC Nov 2014 Y	100,000,000	2014/05/16	2014/11/27	25.00
63553	HK Bank - HSI R Bull CBBC Nov 2014 Z	100,000,000	2014/05/16	2014/11/27	25.00
63556	HK Bank - Sands China R Bull CBBC Feb 2015 A	80,000,000	2014/05/16	2015/02/09	20.00
63561	HK Bank - Sands China R Bull CBBC Feb 2015 B	80,000,000	2014/05/16	2015/02/16	20.00
63587	HK Bank - Sands China R Bull CBBC Feb 2015 C	80,000,000	2014/05/16	2015/02/23	20.00
63589	HK Bank - Sands China R Bear CBBC Jan 2015 A	60,000,000	2014/05/16	2015/01/13	15.00
63544	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 F	100,000,000	2014/05/16	2015/01/29	25.00
63651	Standard Chartered Bank - Kingsoft R Bull CBBC Jul 2015 C	50,000,000	2014/05/16	2015/07/23	27.00
63652	Standard Chartered Bank - Kingsoft R Bear CBBC Mar 2015 B	50,000,000	2014/05/16	2015/03/18	35.00
63538	SGA Societe Generale Acceptance NV - Galaxy R Bull CBBC Sep14A	50,000,000	2014/05/16	2014/09/29	12.50
63537	SGA Societe Generale Acceptance NV - Galaxy R Bull CBBC Oct14A	50,000,000	2014/05/16	2014/10/30	12.50
63539	SGA Societe Generale Acceptance NV - Galaxy R Bear CBBC Oct14A	50,000,000	2014/05/16	2014/10/30	12.50
63541	SGA Societe Generale Acceptance NV - Galaxy R Bear CBBC Nov14A	50,000,000	2014/05/16	2014/11/27	12.50
63604	UBS AG - GCL-Poly R Bull CBBC Sep 2015 A	50,000,000	2014/05/16	2015/09/14	12.50
63649	UBS AG - HSI R Bull CBBC Nov 2014 P	300,000,000	2014/05/16	2014/11/27	75.00
63590	UBS AG - HSI R Bear CBBC Sep 2014 Y	350,000,000	2014/05/16	2014/09/29	87.50
63650	UBS AG - HSI R Bear CBBC Sep 2014 Z	300,000,000	2014/05/16	2014/09/29	75.00
63612	UBS AG - Kingsoft R Bull CBBC Aug 2015 B	50,000,000	2014/05/16	2015/08/31	12.50
63613	UBS AG - Kingsoft R Bull CBBC Sep 2015 A	50,000,000	2014/05/16	2015/09/14	12.50
63615	UBS AG - Lenovo R Bull CBBC Aug 2015 A	50,000,000	2014/05/16	2015/08/17	12.50
63751	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 U	300,000,000	2014/05/19	2015/01/29	75.00
63752	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 V	300,000,000	2014/05/19	2015/01/29	75.00
63753	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 W	300,000,000	2014/05/19	2015/01/29	75.00
63719	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 B	300,000,000	2014/05/19	2014/08/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63754	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 O	300,000,000	2014/05/19	2014/08/28	75.00
63759	Credit Suisse AG - HSI R Bull CBBC Dec 2014 A	238,000,000	2014/05/19	2014/12/30	59.50
63755	Credit Suisse AG - HSI R Bull CBBC Dec 2014 D	238,000,000	2014/05/19	2014/12/30	59.50
63760	Credit Suisse AG - HSI R Bull CBBC Dec 2014 G	238,000,000	2014/05/19	2014/12/30	59.50
63756	Credit Suisse AG - HSI R Bull CBBC Dec 2014 P	238,000,000	2014/05/19	2014/12/30	59.50
63768	Credit Suisse AG - HSI R Bear CBBC Sep 2014 C	238,000,000	2014/05/19	2014/09/29	59.50
63701	Credit Suisse AG - HSI R Bear CBBC Sep 2014 E	238,000,000	2014/05/19	2014/09/29	59.50
63703	Credit Suisse AG - HSI R Bear CBBC Sep 2014 F	238,000,000	2014/05/19	2014/09/29	59.50
63705	Credit Suisse AG - HSI R Bear CBBC Sep 2014 G	238,000,000	2014/05/19	2014/09/29	59.50
63706	Credit Suisse AG - HSI R Bear CBBC Sep 2014 L	238,000,000	2014/05/19	2014/09/29	59.50
63784	Citigroup Global Mkt H Inc. - Lenovo R Bull CBBC Feb 2015 A	40,000,000	2014/05/19	2015/02/23	10.00
63786	Citigroup Global Mkt H Inc. - Lenovo R Bull CBBC Feb 2015 B	40,000,000	2014/05/19	2015/02/23	10.00
63787	Citigroup Global Mkt H Inc. - Lenovo R Bear CBBC Feb 2015 A	40,000,000	2014/05/19	2015/02/23	10.00
63788	Citigroup Global Mkt H Inc. - Lenovo R Bear CBBC Feb 2015 B	40,000,000	2014/05/19	2015/02/23	10.00
63744	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 A	150,000,000	2014/05/19	2014/09/29	38.55
63772	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 B	150,000,000	2014/05/19	2014/09/29	38.25
63691	HK Bank - China Cinda R Bull CBBC Feb 2015 B	50,000,000	2014/05/19	2015/02/09	12.50
63695	HK Bank - Minsheng Bank R Bear CBBC Jul 2015 A	40,000,000	2014/05/19	2015/07/27	10.00
63694	HK Bank - Great Wall Motor R Bull CBBC Sep 2015 B	40,000,000	2014/05/19	2015/09/29	10.00
63692	HK Bank - Great Wall Motor R Bull CBBC Oct 2015 B	40,000,000	2014/05/19	2015/10/26	10.00
63690	HK Bank - Great Wall Motor R Bull CBBC Nov 2015 C	40,000,000	2014/05/19	2015/11/30	10.00
63680	HK Bank - HSCEI R Bear CBBC Nov 2014 F	68,000,000	2014/05/19	2014/11/27	17.00
63686	HK Bank - Kingsoft R Bear CBBC Dec 2014 A	40,000,000	2014/05/19	2014/12/22	32.00
63689	HK Bank - Kingsoft R Bear CBBC Dec 2014 B	40,000,000	2014/05/19	2014/12/15	24.00
63700	HK Bank - PetCh R Bear CBBC Apr 2015 A	40,000,000	2014/05/19	2015/04/20	10.00
63782	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 X	300,000,000	2014/05/19	2014/10/30	75.00
63778	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 T	300,000,000	2014/05/19	2014/11/27	75.00
63774	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 A	300,000,000	2014/05/19	2014/12/30	75.00
63773	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/05/19	2014/12/30	75.00
63725	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/05/19	2014/08/28	75.00
63738	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 A	50,000,000	2014/05/19	2014/10/30	12.50
63739	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 B	50,000,000	2014/05/19	2014/10/30	12.50
63722	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 D	100,000,000	2014/05/19	2015/04/29	25.00
63724	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 G	100,000,000	2014/05/19	2015/01/29	25.00
63726	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 F	200,000,000	2014/05/19	2014/09/29	50.00
63729	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 G	200,000,000	2014/05/19	2014/09/29	50.00
63733	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 Q	200,000,000	2014/05/19	2014/08/28	50.00
63737	UBS AG - China Cinda R Bull CBBC Feb 2015 A	50,000,000	2014/05/19	2015/02/02	12.50
63765	UBS AG - HSI R Bull CBBC Oct 2014 K	300,000,000	2014/05/19	2014/10/30	75.00
63766	UBS AG - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/05/19	2014/11/27	75.00
63763	UBS AG - HSI R Bull CBBC Dec 2014 C	300,000,000	2014/05/19	2014/12/30	75.00
63762	UBS AG - HSI R Bull CBBC Jan 2015 E	300,000,000	2014/05/19	2015/01/29	75.00
63708	UBS AG - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/05/19	2014/08/28	75.00
63734	UBS AG - HSI R Bear CBBC Aug 2014 K	300,000,000	2014/05/19	2014/08/28	75.00
63709	UBS AG - HSI R Bear CBBC Sep 2014 A	300,000,000	2014/05/19	2014/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63710	UBS AG - HSI R Bear CBBC Sep 2014 C	350,000,000	2014/05/19	2014/09/29	87.50
63735	UBS AG - Hutchison R Bull CBBC Jun 2015 B	50,000,000	2014/05/19	2015/06/15	12.50
63831	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 X	300,000,000	2014/05/20	2015/01/29	75.00
63821	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 P	300,000,000	2014/05/20	2014/08/28	75.00
63841	Credit Suisse AG - HSI R Bull CBBC Oct 2014 E	238,000,000	2014/05/20	2014/10/30	59.50
63837	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Z	238,000,000	2014/05/20	2014/10/30	59.50
63812	Credit Suisse AG - HSI R Bear CBBC Aug 2014 U	238,000,000	2014/05/20	2014/08/28	59.50
63815	Credit Suisse AG - HSI R Bear CBBC Aug 2014 V	238,000,000	2014/05/20	2014/08/28	59.50
63802	HK Bank - Cheung Kong R Bull CBBC Nov 2014 B	60,000,000	2014/05/20	2014/11/17	15.00
63808	HK Bank - Cheung Kong R Bear CBBC Apr 2015 C	50,000,000	2014/05/20	2015/04/20	12.50
63823	HK Bank - Great Wall Motor R Bull CBBC Nov 2015 D	40,000,000	2014/05/20	2015/11/30	10.00
63792	HK Bank - HSI R Bull CBBC Nov 2014 G	100,000,000	2014/05/20	2014/11/27	25.00
63801	HK Bank - HSI R Bull CBBC Nov 2014 I	100,000,000	2014/05/20	2014/11/27	25.00
63790	HK Bank - HSI R Bull CBBC Dec 2014 N	100,000,000	2014/05/20	2014/12/30	25.00
63794	HK Bank - HSI R Bear CBBC Oct 2014 X	100,000,000	2014/05/20	2014/10/30	25.00
63800	HK Bank - HSI R Bear CBBC Nov 2014 T	400,000,000	2014/05/20	2014/11/27	100.00
63795	HK Bank - HSI R Bear CBBC Dec 2014 M	100,000,000	2014/05/20	2014/12/30	25.00
63796	HK Bank - HSI R Bear CBBC Dec 2014 N	100,000,000	2014/05/20	2014/12/30	25.00
63798	HK Bank - HSI R Bear CBBC Dec 2014 O	100,000,000	2014/05/20	2014/12/30	25.00
63799	HK Bank - HSI R Bear CBBC Dec 2014 P	100,000,000	2014/05/20	2014/12/30	25.00
63797	HK Bank - HSI R Bear CBBC Jan 2015 C	100,000,000	2014/05/20	2015/01/29	25.00
63807	HK Bank - Lenovo R Bear CBBC Jun 2015 A	40,000,000	2014/05/20	2015/06/15	10.00
63827	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/05/20	2014/12/30	75.00
63847	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 E	300,000,000	2014/05/20	2015/01/29	75.00
63846	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 A	300,000,000	2014/05/20	2015/02/26	75.00
63828	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 G	300,000,000	2014/05/20	2014/08/28	75.00
63824	Standard Chartered Bank - A50 China R Bull CBBC Nov 2014 B	50,000,000	2014/05/20	2014/11/25	12.50
63825	Standard Chartered Bank - A50 China R Bear CBBC Oct 2014 B	50,000,000	2014/05/20	2014/10/15	12.50
63826	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 E	100,000,000	2014/05/20	2015/04/29	25.00
63829	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 H	200,000,000	2014/05/20	2014/09/29	50.00
63830	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 R	200,000,000	2014/05/20	2014/08/28	50.00
63835	UBS AG - HSI R Bull CBBC Nov 2014 V	300,000,000	2014/05/20	2014/11/27	75.00
63845	UBS AG - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/05/20	2014/12/30	75.00
63816	UBS AG - HSI R Bear CBBC Aug 2014 M	300,000,000	2014/05/20	2014/08/28	75.00
63818	UBS AG - HSI R Bear CBBC Sep 2014 B	300,000,000	2014/05/20	2014/09/29	75.00
63877	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 C	300,000,000	2014/05/21	2015/01/29	75.00
63874	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 D	300,000,000	2014/05/21	2015/01/29	75.00
63872	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 Y	300,000,000	2014/05/21	2015/01/29	75.00
63873	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 Z	300,000,000	2014/05/21	2015/01/29	75.00
63853	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/05/21	2014/08/28	75.00
63854	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/05/21	2014/08/28	75.00
63881	Credit Suisse AG - HSI R Bull CBBC Jan 2015 F	238,000,000	2014/05/21	2015/01/29	59.50
63882	Credit Suisse AG - HSI R Bull CBBC Jan 2015 G	238,000,000	2014/05/21	2015/01/29	59.50
63884	Credit Suisse AG - HSI R Bull CBBC Jan 2015 H	238,000,000	2014/05/21	2015/01/29	59.50
63851	Credit Suisse AG - HSI R Bear CBBC Aug 2014 S	238,000,000	2014/05/21	2014/08/28	59.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63852	Credit Suisse AG - HSI R Bear CBBC Aug 2014 T	238,000,000	2014/05/21	2014/08/28	59.50
63850	Credit Suisse AG - HSI R Bear CBBC Aug 2014 W	238,000,000	2014/05/21	2014/08/28	59.50
63880	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Y	238,000,000	2014/05/21	2014/08/28	59.50
63889	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 C	150,000,000	2014/05/21	2014/09/29	40.35
63897	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 E	300,000,000	2014/05/21	2014/11/27	75.00
63856	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/05/21	2014/12/30	75.00
63890	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 F	300,000,000	2014/05/21	2015/01/29	75.00
63857	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 I	300,000,000	2014/05/21	2014/09/29	75.00
63865	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 F	100,000,000	2014/05/21	2015/04/29	25.00
63863	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 H	100,000,000	2014/05/21	2015/01/29	25.00
63867	Standard Chartered Bank - SHK Ppt R Bull CBBC Aug 2015 A	50,000,000	2014/05/21	2015/08/03	12.50
63869	Standard Chartered Bank - SHK Ppt R Bear CBBC Feb 2015 A	50,000,000	2014/05/21	2015/02/05	12.50
63859	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 I	200,000,000	2014/05/21	2014/09/29	50.00
63860	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 J	200,000,000	2014/05/21	2014/09/29	50.00
63862	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 S	200,000,000	2014/05/21	2014/08/28	50.00
63886	UBS AG - HSI R Bull CBBC Oct 2014 N	300,000,000	2014/05/21	2014/10/30	75.00
63887	UBS AG - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/05/21	2014/12/30	75.00
63888	UBS AG - HSI R Bull CBBC Jan 2015 F	300,000,000	2014/05/21	2015/01/29	75.00
63848	UBS AG - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/05/21	2014/08/28	75.00
63849	UBS AG - HSI R Bear CBBC Aug 2014 Q	300,000,000	2014/05/21	2014/08/28	75.00
63855	UBS AG - HSI R Bear CBBC Sep 2014 F	300,000,000	2014/05/21	2014/09/29	75.00
63940	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 A	300,000,000	2014/05/22	2015/01/29	75.00
63941	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 B	300,000,000	2014/05/22	2015/01/29	75.00
63959	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 E	300,000,000	2014/05/22	2015/01/29	75.00
63964	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 F	300,000,000	2014/05/22	2015/01/29	75.00
63936	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 Q	300,000,000	2014/05/22	2014/08/28	75.00
63946	Credit Suisse AG - HSI R Bull CBBC Nov 2014 B	238,000,000	2014/05/22	2014/11/27	59.50
63952	Credit Suisse AG - HSI R Bull CBBC Nov 2014 F	238,000,000	2014/05/22	2014/11/27	59.50
63953	Credit Suisse AG - HSI R Bull CBBC Nov 2014 N	238,000,000	2014/05/22	2014/11/27	59.50
63942	Credit Suisse AG - HSI R Bull CBBC Nov 2014 Y	238,000,000	2014/05/22	2014/11/27	59.50
63924	Credit Suisse AG - HSI R Bear CBBC Sep 2014 B	238,000,000	2014/05/22	2014/09/29	59.50
63929	Credit Suisse AG - HSI R Bear CBBC Sep 2014 H	238,000,000	2014/05/22	2014/09/29	59.50
63921	Credit Suisse AG - HSI R Bear CBBC Sep 2014 M	238,000,000	2014/05/22	2014/09/29	59.50
63966	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 E	200,000,000	2014/05/22	2015/02/16	50.00
63967	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 F	200,000,000	2014/05/22	2015/02/16	50.00
63968	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 G	200,000,000	2014/05/22	2015/02/16	50.00
63969	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 H	200,000,000	2014/05/22	2015/02/16	50.00
63970	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 E	200,000,000	2014/05/22	2015/02/16	50.00
63971	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 F	200,000,000	2014/05/22	2015/02/16	50.00
64003	Bank of East Asia - China Cinda R Bull CBBC May 2015 A	100,000,000	2014/05/22	2015/05/28	25.00
63994	Bank of East Asia - GCL-Poly Energy R Bull CBBC Nov 2015 A	200,000,000	2014/05/22	2015/11/23	50.00
63995	Bank of East Asia - Great Wall Motor R Bull CBBC Jun 2015 A	200,000,000	2014/05/22	2015/06/22	50.00
63991	Bank of East Asia - Kingsoft R Bull CBBC Jul 2015 A	200,000,000	2014/05/22	2015/07/31	50.00
64002	Bank of East Asia - Sands China R Bull CBBC Dec 2014 B	80,000,000	2014/05/22	2014/12/31	20.00
63977	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 B	150,000,000	2014/05/22	2014/09/29	38.40

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63976	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/05/22	2014/11/27	75.00
63904	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/05/22	2014/12/30	75.00
63901	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/05/22	2014/08/28	75.00
63905	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/05/22	2014/08/28	75.00
63973	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 G	100,000,000	2014/05/22	2015/04/29	25.00
63974	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 I	100,000,000	2014/05/22	2015/01/29	25.00
63906	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 K	200,000,000	2014/05/22	2014/09/29	50.00
63908	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 L	200,000,000	2014/05/22	2014/09/29	50.00
63954	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 M	200,000,000	2014/05/22	2014/09/29	50.00
63914	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 T	200,000,000	2014/05/22	2014/08/28	50.00
63916	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 U	200,000,000	2014/05/22	2014/08/28	50.00
63917	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 A	200,000,000	2014/05/22	2014/09/29	50.00
63918	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 B	200,000,000	2014/05/22	2014/09/29	50.00
63919	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 C	200,000,000	2014/05/22	2014/09/29	50.00
63920	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 D	200,000,000	2014/05/22	2014/09/29	50.00
63983	UBS AG - HSI R Bull CBBC Nov 2014 C	300,000,000	2014/05/22	2014/11/27	75.00
63986	UBS AG - HSI R Bull CBBC Nov 2014 Z	300,000,000	2014/05/22	2014/11/27	75.00
63987	UBS AG - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/05/22	2014/12/30	75.00
63988	UBS AG - HSI R Bear CBBC Aug 2014 L	300,000,000	2014/05/22	2014/08/28	75.00
63990	UBS AG - HSI R Bear CBBC Aug 2014 S	300,000,000	2014/05/22	2014/08/28	75.00
64019	Credit Suisse AG - HSI R Bull CBBC Dec 2014 J	238,000,000	2014/05/23	2014/12/30	59.50
64022	Credit Suisse AG - HSI R Bull CBBC Dec 2014 M	238,000,000	2014/05/23	2014/12/30	59.50
64034	Credit Suisse AG - HSI R Bear CBBC Oct 2014 G	238,000,000	2014/05/23	2014/10/30	59.50
64035	Credit Suisse AG - HSI R Bear CBBC Oct 2014 H	238,000,000	2014/05/23	2014/10/30	59.50
64041	Citigroup Global Mkt H Inc. - Kingsoft R Bull CBBC Mar 15 A	40,000,000	2014/05/23	2015/03/02	22.00
64046	Citigroup Global Mkt H Inc. - Kingsoft R Bull CBBC Mar 15 B	40,000,000	2014/05/23	2015/03/02	17.20
64048	Citigroup Global Mkt H Inc. - Kingsoft R Bull CBBC Mar 15 C	40,000,000	2014/05/23	2015/03/02	12.80
64051	Citigroup Global Mkt H Inc. - Kingsoft R Bear CBBC Mar 15 A	40,000,000	2014/05/23	2015/03/02	12.80
64052	Citigroup Global Mkt H Inc. - Kingsoft R Bear CBBC Mar 15 B	40,000,000	2014/05/23	2015/03/02	17.20
64057	Citigroup Global Mkt H Inc. - Kingsoft R Bear CBBC Mar 15 C	40,000,000	2014/05/23	2015/03/02	22.00
64025	HK Bank - TCH R Bull CBBC Dec 2014 H	100,000,000	2014/05/23	2014/12/15	25.00
64005	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 S	300,000,000	2014/05/23	2014/11/27	75.00
64006	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 C	50,000,000	2014/05/23	2014/10/30	12.50
64009	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 D	50,000,000	2014/05/23	2014/10/30	12.50
64010	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 E	50,000,000	2014/05/23	2014/10/30	12.50
64016	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 F	50,000,000	2014/05/23	2014/10/30	12.50
64017	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 G	50,000,000	2014/05/23	2014/10/30	12.50
64039	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 N	200,000,000	2014/05/23	2014/09/29	50.00
64040	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug14 V	200,000,000	2014/05/23	2014/08/28	50.00
64031	SGA Societe Generale Acceptance N.V. - SandsR Bull CBBC Oct14A	50,000,000	2014/05/23	2014/10/30	12.50
64032	SGA Societe Generale Acceptance N.V. - SandsR Bear CBBC Oct14A	50,000,000	2014/05/23	2014/10/30	12.50
64033	SGA Societe Generale Acceptance N.V. - SandsR Bear CBBC Nov14A	50,000,000	2014/05/23	2014/11/27	12.50
64024	UBS AG - HSI R Bull CBBC Oct 2014 O	300,000,000	2014/05/23	2014/10/30	75.00
64023	UBS AG - HSI R Bull CBBC Dec 2014 J	300,000,000	2014/05/23	2014/12/30	75.00
64036	UBS AG - HSI R Bear CBBC Aug 2014 F	300,000,000	2014/05/23	2014/08/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64038	UBS AG - HSI R Bear CBBC Aug 2014 J	300,000,000	2014/05/23	2014/08/28	75.00
64030	UBS AG - TCH R Bull CBBC Jul 2015 A	100,000,000	2014/05/23	2015/07/13	25.00
64028	UBS AG - TCH R Bull CBBC Aug 2015 A	100,000,000	2014/05/23	2015/08/10	25.00
64026	UBS AG - TCH R Bear CBBC Apr 2015 A	100,000,000	2014/05/23	2015/04/20	25.00
64141	Credit Suisse AG - HSI R Bull CBBC Oct 2014 A	238,000,000	2014/05/26	2014/10/30	59.50
64139	Credit Suisse AG - HSI R Bull CBBC Oct 2014 F	238,000,000	2014/05/26	2014/10/30	59.50
64151	Credit Suisse AG - HSI R Bear CBBC Aug 2014 H	238,000,000	2014/05/26	2014/08/28	59.50
64077	HK Bank - CNOOC R Bull CBBC Jul 2015 A	60,000,000	2014/05/26	2015/07/06	15.00
64074	HK Bank - HSCEI R Bull CBBC Jan 2015 A	100,000,000	2014/05/26	2015/01/29	25.00
64075	HK Bank - HSCEI R Bull CBBC Feb 2015 A	100,000,000	2014/05/26	2015/02/26	25.00
64083	HK Bank - HSI R Bull CBBC Dec 2014 O	100,000,000	2014/05/26	2014/12/30	25.00
64098	HK Bank - HSI R Bull CBBC Dec 2014 P	100,000,000	2014/05/26	2014/12/30	25.00
64096	HK Bank - HSI R Bull CBBC Jan 2015 G	100,000,000	2014/05/26	2015/01/29	25.00
64061	HK Bank - HSI R Bear CBBC Nov 2014 U	100,000,000	2014/05/26	2014/11/27	25.00
64071	HK Bank - HSI R Bear CBBC Jan 2015 D	100,000,000	2014/05/26	2015/01/29	25.00
64076	HK Bank - PetCh R Bull CBBC Jun 2015 A	60,000,000	2014/05/26	2015/06/29	15.00
64103	HK Bank - TCH R Bull CBBC Dec 2014 I	100,000,000	2014/05/26	2014/12/01	25.00
64107	HK Bank - TCH R Bull CBBC Dec 2014 J	100,000,000	2014/05/26	2014/12/22	25.00
64110	HK Bank - TCH R Bull CBBC Dec 2014 K	100,000,000	2014/05/26	2014/12/08	25.00
64111	HK Bank - TCH R Bear CBBC Dec 2014 K	100,000,000	2014/05/26	2014/12/01	25.00
64112	HK Bank - TCH R Bear CBBC Dec 2014 L	100,000,000	2014/05/26	2014/12/08	25.00
64154	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 O	300,000,000	2014/05/26	2014/11/27	75.00
64156	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 C	300,000,000	2014/05/26	2014/12/30	75.00
64152	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 J	100,000,000	2014/05/26	2015/01/29	25.00
64143	UBS AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2014/05/26	2014/10/30	75.00
64145	UBS AG - HSI R Bull CBBC Nov 2014 J	300,000,000	2014/05/26	2014/11/27	75.00
64146	UBS AG - HSI R Bear CBBC Oct 2014 P	300,000,000	2014/05/26	2014/10/30	75.00
64150	UBS AG - HSI R Bear CBBC Oct 2014 Q	350,000,000	2014/05/26	2014/10/30	87.50
64149	UBS AG - Hutchison R Bull CBBC Jul 2015 A	50,000,000	2014/05/26	2015/07/06	12.50
64148	UBS AG - TCH R Bull CBBC Jul 2015 B	100,000,000	2014/05/26	2015/07/27	25.00
64147	UBS AG - TCH R Bear CBBC Mar 2015 A	100,000,000	2014/05/26	2015/03/30	25.00
64191	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 G	300,000,000	2014/05/27	2015/01/29	75.00
64172	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 U	300,000,000	2014/05/27	2014/08/28	75.00
64177	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2014 V	300,000,000	2014/05/27	2014/08/28	75.00
64198	Credit Suisse AG - HSI R Bull CBBC Jan 2015 I	238,000,000	2014/05/27	2015/01/29	59.50
64201	Credit Suisse AG - HSI R Bull CBBC Jan 2015 J	238,000,000	2014/05/27	2015/01/29	59.50
64180	Credit Suisse AG - HSI R Bear CBBC Aug 2014 N	238,000,000	2014/05/27	2014/08/28	59.50
64181	Credit Suisse AG - HSI R Bear CBBC Aug 2014 O	238,000,000	2014/05/27	2014/08/28	59.50
64182	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 D	150,000,000	2014/05/27	2014/09/29	40.35
64205	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 B	300,000,000	2014/05/27	2014/10/30	75.00
64190	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/05/27	2014/11/27	75.00
64164	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 H	300,000,000	2014/05/27	2014/08/28	75.00
64167	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 N	300,000,000	2014/05/27	2014/08/28	75.00
64197	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 H	100,000,000	2014/05/27	2015/04/29	25.00
64179	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 K	100,000,000	2014/05/27	2015/01/29	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64188	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep 14O	200,000,000	2014/05/27	2014/09/29	50.00
64171	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep 14E	200,000,000	2014/05/27	2014/09/29	50.00
64203	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/05/27	2014/11/27	75.00
64202	UBS AG - HSI R Bull CBBC Jan 2015 G	300,000,000	2014/05/27	2015/01/29	75.00
64186	UBS AG - HSI R Bear CBBC Aug 2014 R	300,000,000	2014/05/27	2014/08/28	75.00
64187	UBS AG - HSI R Bear CBBC Sep 2014 G	350,000,000	2014/05/27	2014/09/29	87.50
64249	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Y	238,000,000	2014/05/28	2014/12/30	59.50
64238	Credit Suisse AG - HSI R Bear CBBC Aug 2014 F	238,000,000	2014/05/28	2014/08/28	59.50
64285	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Q	238,000,000	2014/05/28	2014/08/28	59.50
64235	Credit Suisse AG - HSI R Bear CBBC Aug 2014 Z	238,000,000	2014/05/28	2014/08/28	59.50
64236	Credit Suisse AG - HSI R Bear CBBC Sep 2014 O	238,000,000	2014/05/28	2014/09/29	59.50
64239	Credit Suisse AG - HSI R Bear CBBC Sep 2014 Q	238,000,000	2014/05/28	2014/09/29	59.50
64228	Credit Suisse AG - HSI R Bear CBBC Oct 2014 I	238,000,000	2014/05/28	2014/10/30	59.50
64229	Credit Suisse AG - HSI R Bear CBBC Oct 2014 J	238,000,000	2014/05/28	2014/10/30	59.50
64232	Credit Suisse AG - HSI R Bear CBBC Oct 2014 K	238,000,000	2014/05/28	2014/10/30	59.50
64221	HK Bank - China Mobile R Bull CBBC Jan 2015 G	120,000,000	2014/05/28	2015/01/19	30.00
64222	HK Bank - China Mobile R Bull CBBC Jan 2015 H	120,000,000	2014/05/28	2015/01/23	30.00
64206	HK Bank - China Mobile R Bear CBBC Apr 2015 A	40,000,000	2014/05/28	2015/04/13	10.00
64207	HK Bank - China Mobile R Bear CBBC Apr 2015 B	40,000,000	2014/05/28	2015/04/20	10.00
64214	HK Bank - HSI R Bull CBBC Nov 2014 J	100,000,000	2014/05/28	2014/11/27	25.00
64220	HK Bank - HSI R Bull CBBC Jan 2015 H	100,000,000	2014/05/28	2015/01/29	25.00
64210	HK Bank - HSI R Bull CBBC Feb 2015 D	100,000,000	2014/05/28	2015/02/26	25.00
64208	HK Bank - HSI R Bear CBBC Jan 2015 E	100,000,000	2014/05/28	2015/01/29	25.00
64225	HK Bank - TCH R Bull CBBC Jan 2015 A	100,000,000	2014/05/28	2015/01/26	25.00
64226	HK Bank - TCH R Bull CBBC Jan 2015 B	100,000,000	2014/05/28	2015/01/19	25.00
64227	HK Bank - TCH R Bull CBBC Jan 2015 C	100,000,000	2014/05/28	2015/01/12	25.00
64291	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 D	300,000,000	2014/05/28	2014/10/30	75.00
64290	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 G	300,000,000	2014/05/28	2015/01/29	75.00
64240	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 H	50,000,000	2014/05/28	2014/10/30	12.50
64241	Standard Chartered Bank - Galaxy Ent R Bear CBBC Feb 2015 A	50,000,000	2014/05/28	2015/02/18	12.50
64243	Standard Chartered Bank - Ping An R Bear CBBC Oct 2014 A	50,000,000	2014/05/28	2014/10/30	12.50
64257	SGA Societe Generale Acceptance NV - Lenovo R Bull CBBC Oct14A	50,000,000	2014/05/28	2014/10/30	12.50
64256	SGA Societe Generale Acceptance NV - Lenovo R Bull CBBC Nov14A	50,000,000	2014/05/28	2014/11/27	12.50
64259	SGA Societe Generale Acceptance NV - Lenovo R Bear CBBC Nov14A	50,000,000	2014/05/28	2014/11/27	12.50
64287	UBS AG - Cheung Kong R Bear CBBC Mar 2015 A	50,000,000	2014/05/28	2015/03/02	12.50
64283	UBS AG - Galaxy Ent R Bull CBBC Jul 2015 A	50,000,000	2014/05/28	2015/07/06	12.50
64248	UBS AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/05/28	2014/10/30	75.00
64260	UBS AG - HSI R Bear CBBC Aug 2014 I	300,000,000	2014/05/28	2014/08/28	75.00
64247	UBS AG - HSI R Bear CBBC Aug 2014 T	300,000,000	2014/05/28	2014/08/28	75.00
64288	UBS AG - HSI R Bear CBBC Aug 2014 U	350,000,000	2014/05/28	2014/08/28	87.50
64277	UBS AG - Kingsoft R Bull CBBC Aug 2015 C	50,000,000	2014/05/28	2015/08/10	12.50
64352	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 F	300,000,000	2014/05/29	2014/12/30	75.00
64353	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/05/29	2014/12/30	75.00
64354	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 R	300,000,000	2014/05/29	2014/12/30	75.00
64356	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 S	300,000,000	2014/05/29	2014/12/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64348	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/05/29	2014/12/30	75.00
64307	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 K	300,000,000	2014/05/29	2014/09/29	75.00
64340	Credit Suisse AG - HSI R Bull CBBC Oct 2014 M	238,000,000	2014/05/29	2014/10/30	59.50
64341	Credit Suisse AG - HSI R Bull CBBC Oct 2014 O	238,000,000	2014/05/29	2014/10/30	59.50
64339	Credit Suisse AG - HSI R Bull CBBC Oct 2014 X	238,000,000	2014/05/29	2014/10/30	59.50
64317	Credit Suisse AG - HSI R Bear CBBC Aug 2014 U	238,000,000	2014/05/29	2014/08/28	59.50
64318	Credit Suisse AG - HSI R Bear CBBC Aug 2014 V	238,000,000	2014/05/29	2014/08/28	59.50
64319	Credit Suisse AG - HSI R Bear CBBC Aug 2014 X	238,000,000	2014/05/29	2014/08/28	59.50
64323	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Feb 2015 I	200,000,000	2014/05/29	2015/02/25	50.00
64324	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 G	200,000,000	2014/05/29	2015/02/25	50.00
64327	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 H	200,000,000	2014/05/29	2015/02/25	50.00
64329	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Feb 2015 I	200,000,000	2014/05/29	2015/02/25	50.00
64293	HK Bank - GCL-Poly Energy R Bull CBBC Nov 2015 A	40,000,000	2014/05/29	2015/11/26	10.00
64295	HK Bank - Kingsoft R Bull CBBC Jan 2015 B	50,000,000	2014/05/29	2015/01/26	22.50
64292	HK Bank - Lenovo R Bull CBBC Mar 2015 A	60,000,000	2014/05/29	2015/03/09	15.00
64297	HK Bank - Lenovo R Bull CBBC Mar 2015 B	60,000,000	2014/05/29	2015/03/30	15.00
64359	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 J	300,000,000	2014/05/29	2014/10/30	75.00
64322	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/05/29	2014/12/30	75.00
64301	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 A	300,000,000	2014/05/29	2014/08/28	75.00
64303	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 B	300,000,000	2014/05/29	2014/08/28	75.00
64305	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 C	300,000,000	2014/05/29	2014/08/28	75.00
64299	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 O	300,000,000	2014/05/29	2014/08/28	75.00
64306	J P Morgan SP BV - HSI R Bear CBBC Aug 2014 Z	300,000,000	2014/05/29	2014/08/28	75.00
64320	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 I	50,000,000	2014/05/29	2014/10/30	12.50
64321	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2014 L	50,000,000	2014/05/29	2014/09/29	12.50
64344	Standard Chartered Bank - HSI R Bull CBBC Jan 2015 D	100,000,000	2014/05/29	2015/01/29	25.00
64345	Standard Chartered Bank - HSI R Bull CBBC Jan 2015 E	100,000,000	2014/05/29	2015/01/29	25.00
64335	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 P	200,000,000	2014/05/29	2014/09/29	50.00
64336	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 Q	200,000,000	2014/05/29	2014/09/29	50.00
64308	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 F	200,000,000	2014/05/29	2014/09/29	50.00
64342	UBS AG - HSI R Bull CBBC Nov 2014 M	300,000,000	2014/05/29	2014/11/27	75.00
64343	UBS AG - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/05/29	2014/12/30	75.00
64316	UBS AG - HSI R Bear CBBC Sep 2014 T	300,000,000	2014/05/29	2014/09/29	75.00
64357	UBS AG - HSI R Bear CBBC Sep 2014 U	300,000,000	2014/05/29	2014/09/29	75.00
64331	UBS AG - TCH R Bull CBBC Aug 2015 B	100,000,000	2014/05/29	2015/08/24	25.00
64330	UBS AG - TCH R Bear CBBC Mar 2015 B	100,000,000	2014/05/29	2015/03/02	25.00
64360	Credit Suisse AG - HSI R Bull CBBC Nov 2014 D	238,000,000	2014/05/30	2014/11/27	59.50
64392	Credit Suisse AG - HSI R Bear CBBC Sep 2014 R	238,000,000	2014/05/30	2014/09/29	59.50
64393	Credit Suisse AG - HSI R Bear CBBC Sep 2014 S	238,000,000	2014/05/30	2014/09/29	59.50
64385	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 E	150,000,000	2014/05/30	2014/09/29	41.55
64386	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 F	150,000,000	2014/05/30	2014/09/29	41.10
64387	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 G	150,000,000	2014/05/30	2014/09/29	39.30
64388	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 C	150,000,000	2014/05/30	2014/09/29	39.15
64389	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 D	150,000,000	2014/05/30	2014/09/29	38.25
64390	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 E	150,000,000	2014/05/30	2014/09/29	39.60

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64363	HK Bank - HSCEI R Bull CBBC Feb 2015 B	100,000,000	2014/05/30	2015/02/26	25.00
64361	HK Bank - HSI R Bull CBBC Jan 2015 I	100,000,000	2014/05/30	2015/01/29	25.00
64362	HK Bank - HSI R Bear CBBC Feb 2015 A	100,000,000	2014/05/30	2015/02/26	25.00
64365	HK Bank - Kingsoft R Bull CBBC Feb 2015 A	50,000,000	2014/05/30	2015/02/16	23.50
64364	HK Bank - SHK Ppt R Bull CBBC Apr 2015 B	60,000,000	2014/05/30	2015/04/27	15.00
64383	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 Q	300,000,000	2014/05/30	2014/11/27	75.00
64391	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 J	50,000,000	2014/05/30	2014/10/30	12.50
64379	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 F	100,000,000	2014/05/30	2015/03/30	25.00
64370	Standard Chartered Bank - Lenovo R Bull CBBC Aug 2015 A	50,000,000	2014/05/30	2015/08/12	12.50
64378	Standard Chartered Bank - Lenovo R Bear CBBC Feb 2015 A	50,000,000	2014/05/30	2015/02/11	12.50
64369	UBS AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/05/30	2014/10/30	75.00
64367	UBS AG - HSI R Bear CBBC Oct 2014 R	300,000,000	2014/05/30	2014/10/30	75.00
64382	UBS AG - Lenovo R Bull CBBC Feb 2015 A	50,000,000	2014/05/30	2015/02/02	12.50
64394	Credit Suisse AG - HSI R Bull CBBC Sep 2014 T	238,000,000	2014/06/03	2014/09/29	59.50
64453	Credit Suisse AG - HSI R Bear CBBC Sep 2014 T	238,000,000	2014/06/03	2014/09/29	59.50
64464	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Mar15 A	60,000,000	2014/06/03	2015/03/02	15.00
64465	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Mar15 B	60,000,000	2014/06/03	2015/03/02	15.00
64459	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Mar15A	60,000,000	2014/06/03	2015/03/02	15.00
64461	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Mar15A	60,000,000	2014/06/03	2015/03/02	15.00
64466	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 F	200,000,000	2014/06/03	2015/03/02	50.00
64467	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 G	200,000,000	2014/06/03	2015/03/02	50.00
64468	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 H	200,000,000	2014/06/03	2015/03/02	50.00
64458	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 F	150,000,000	2014/06/03	2014/09/29	38.10
64456	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 V	300,000,000	2014/06/03	2014/10/30	75.00
64457	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 J	300,000,000	2014/06/03	2014/09/29	75.00
64398	SGA Societe Generale Acceptance N.V. - Cinda R Bull CBBC Jun15A	100,000,000	2014/06/03	2015/06/29	25.00
64399	SGA Societe Generale Acceptance N.V. - Cinda R Bull CBBC Jun15B	100,000,000	2014/06/03	2015/06/29	25.00
64400	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 G	200,000,000	2014/06/03	2014/09/29	50.00
64451	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 A	200,000,000	2014/06/03	2014/10/30	50.00
64452	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 B	200,000,000	2014/06/03	2014/10/30	50.00
64455	UBS AG - HSI R Bull CBBC Jan 2015 H	300,000,000	2014/06/03	2015/01/29	75.00
64395	UBS AG - HSI R Bear CBBC Sep 2014 Q	300,000,000	2014/06/03	2014/09/29	75.00
64505	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Y	238,000,000	2014/06/04	2014/10/30	59.50
64506	Credit Suisse AG - HSI R Bear CBBC Oct 2014 L	238,000,000	2014/06/04	2014/10/30	59.50
64477	HK Bank - ABC R Bull CBBC Aug 2015 A	80,000,000	2014/06/04	2015/08/17	20.00
64470	HK Bank - BOCL R Bear CBBC May 2015 A	40,000,000	2014/06/04	2015/05/04	10.00
64479	HK Bank - CC Bank R Bull CBBC Aug 2015 A	80,000,000	2014/06/04	2015/08/03	20.00
64476	HK Bank - Great Wall Motor R Bull CBBC Dec 2015 D	40,000,000	2014/06/04	2015/12/21	10.00
64471	HK Bank - Kingsoft R Bull CBBC Mar 2015 A	50,000,000	2014/06/04	2015/03/30	25.50
64472	HK Bank - Lenovo R Bull CBBC Apr 2015 A	60,000,000	2014/06/04	2015/04/13	15.00
64483	Standard Chartered Bank - HSI R Bear CBBC Apr 2015 A	100,000,000	2014/06/04	2015/04/29	25.00
64491	Standard Chartered Bank - HSI R Bear CBBC Apr 2015 B	100,000,000	2014/06/04	2015/04/29	25.00
64492	Standard Chartered Bank - Kingsoft R Bull CBBC Jul 2015 D	50,000,000	2014/06/04	2015/07/21	31.00
64494	Standard Chartered Bank - Sands China R Bull CBBC Nov 2014 D	50,000,000	2014/06/04	2014/11/21	12.50
64496	SGA Societe Generale Acceptance NV - Galaxy R Bear CBBC Dec14A	50,000,000	2014/06/04	2014/12/30	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64497	SGA Societe Generale Acceptance N.V. - Sands R Bull CBBC Dec14A	50,000,000	2014/06/04	2014/12/30	12.50
64481	UBS AG - HSI R Bull CBBC Nov 2014 U	350,000,000	2014/06/04	2014/11/27	87.50
64480	UBS AG - HSI R Bear CBBC Oct 2014 S	350,000,000	2014/06/04	2014/10/30	87.50
64495	UBS AG - Ping An R Bull CBBC Jul 2015 B	50,000,000	2014/06/04	2015/07/13	12.50
64537	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 A	300,000,000	2014/06/05	2014/12/30	75.00
64510	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 R	300,000,000	2014/06/05	2014/09/29	75.00
64538	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 S	300,000,000	2014/06/05	2014/09/29	75.00
64545	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/06/05	2014/12/30	59.50
64546	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	238,000,000	2014/06/05	2014/12/30	59.50
64516	Credit Suisse AG - HSI R Bear CBBC Sep 2014 P	238,000,000	2014/06/05	2014/09/29	59.50
64511	Credit Suisse AG - HSI R Bear CBBC Sep 2014 U	238,000,000	2014/06/05	2014/09/29	59.50
64513	Credit Suisse AG - HSI R Bear CBBC Sep 2014 V	238,000,000	2014/06/05	2014/09/29	59.50
64556	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 A	200,000,000	2014/06/05	2015/01/29	50.00
64561	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 B	200,000,000	2014/06/05	2015/01/29	50.00
64566	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 C	200,000,000	2014/06/05	2015/01/29	50.00
64567	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 A	200,000,000	2014/06/05	2015/01/29	50.00
64582	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 B	200,000,000	2014/06/05	2015/01/29	50.00
64583	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 C	200,000,000	2014/06/05	2015/01/29	50.00
64549	Citigroup Global Mkt H Inc. - Kingsoft R Bull CBBC Mar 15 D	40,000,000	2014/06/05	2015/03/02	20.80
64553	Citigroup Global Mkt H Inc. - Kingsoft R Bear CBBC Mar 15 D	40,000,000	2014/06/05	2015/03/02	16.80
64555	Citigroup Global Mkt H Inc. - Kingsoft R Bear CBBC Mar 15 E	40,000,000	2014/06/05	2015/03/02	24.80
64529	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2014 K	50,000,000	2014/06/05	2014/10/30	12.50
64542	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 I	100,000,000	2014/06/05	2015/04/29	25.00
64540	Standard Chartered Bank - HSI R Bear CBBC Apr 2015 C	100,000,000	2014/06/05	2015/04/29	25.00
64518	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 R	200,000,000	2014/06/05	2014/09/29	50.00
64532	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 X	200,000,000	2014/06/05	2014/12/30	50.00
64533	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 Y	200,000,000	2014/06/05	2014/12/30	50.00
64523	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 H	200,000,000	2014/06/05	2014/09/29	50.00
64524	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 C	200,000,000	2014/06/05	2014/10/30	50.00
64527	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 D	200,000,000	2014/06/05	2014/10/30	50.00
64534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 A	200,000,000	2014/06/05	2014/12/30	50.00
64535	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 B	200,000,000	2014/06/05	2014/12/30	50.00
64548	UBS AG - HSI R Bull CBBC Jan 2015 I	300,000,000	2014/06/05	2015/01/29	75.00
64509	UBS AG - HSI R Bear CBBC Nov 2014 F	300,000,000	2014/06/05	2014/11/27	75.00
64536	UBS AG - HSI R Bear CBBC Nov 2014 G	300,000,000	2014/06/05	2014/11/27	75.00
64681	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 B	300,000,000	2014/06/06	2014/12/30	75.00
64639	Credit Suisse AG - HSI R Bull CBBC Jan 2015 K	238,000,000	2014/06/06	2015/01/29	59.50
64648	Credit Suisse AG - HSI R Bull CBBC Jan 2015 L	238,000,000	2014/06/06	2015/01/29	59.50
64620	Credit Suisse AG - HSI R Bear CBBC Oct 2014 M	238,000,000	2014/06/06	2014/10/30	59.50
64624	Credit Suisse AG - HSI R Bear CBBC Oct 2014 N	238,000,000	2014/06/06	2014/10/30	59.50
64589	HK Bank - AIA R Bull CBBC Jun 2015 A	60,000,000	2014/06/06	2015/06/22	15.00
64584	HK Bank - BOCL R Bull CBBC Jul 2015 A	80,000,000	2014/06/06	2015/07/20	20.00
64598	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 H	80,000,000	2014/06/06	2015/01/26	20.00
64590	HK Bank - HSI R Bull CBBC Dec 2014 Q	100,000,000	2014/06/06	2014/12/30	25.00
64594	HK Bank - HSI R Bear CBBC Jan 2015 F	100,000,000	2014/06/06	2015/01/29	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64592	HK Bank - HSI R Bear CBBC Feb 2015 B	100,000,000	2014/06/06	2015/02/26	25.00
64608	HK Bank - ICBC R Bull CBBC Jul 2015 A	80,000,000	2014/06/06	2015/07/20	20.00
64588	HK Bank - Lenovo R Bear CBBC Jun 2015 B	40,000,000	2014/06/06	2015/06/15	10.00
64606	HK Bank - TCH R Bull CBBC Jan 2015 D	100,000,000	2014/06/06	2015/01/15	25.00
64655	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 J	100,000,000	2014/06/06	2015/04/29	25.00
64672	Standard Chartered Bank - Kingsoft R Bear CBBC Mar 2015 C	50,000,000	2014/06/06	2015/03/17	30.00
64659	Standard Chartered Bank - SHK Ppt R Bull CBBC Dec 2014 A	50,000,000	2014/06/06	2014/12/31	12.50
64633	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 S	200,000,000	2014/06/06	2014/09/29	50.00
64634	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 T	200,000,000	2014/06/06	2014/09/29	50.00
64635	UBS AG - Galaxy Ent R Bull CBBC Jun 2015 B	50,000,000	2014/06/06	2015/06/08	12.50
64668	UBS AG - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/06/06	2014/12/30	75.00
64667	UBS AG - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/06/06	2014/12/30	75.00
64666	UBS AG - HSI R Bear CBBC Sep 2014 O	300,000,000	2014/06/06	2014/09/29	75.00
64630	UBS AG - HSI R Bear CBBC Oct 2014 U	300,000,000	2014/06/06	2014/10/30	75.00
64688	Credit Suisse AG - HSI R Bull CBBC Nov 2014 V	238,000,000	2014/06/09	2014/11/27	59.50
64683	Credit Suisse AG - HSI R Bear CBBC Sep 2014 W	238,000,000	2014/06/09	2014/09/29	59.50
64702	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 H	150,000,000	2014/06/09	2014/09/29	38.85
64690	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 G	150,000,000	2014/06/09	2014/09/29	46.95
64692	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 H	150,000,000	2014/06/09	2014/09/29	43.50
64689	UBS AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/06/09	2014/11/27	75.00
64685	UBS AG - HSI R Bear CBBC Sep 2014 W	300,000,000	2014/06/09	2014/09/29	75.00
64686	UBS AG - HSI R Bear CBBC Oct 2014 V	350,000,000	2014/06/09	2014/10/30	87.50
64749	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Z	300,000,000	2014/06/10	2014/12/30	75.00
64715	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 W	300,000,000	2014/06/10	2014/09/29	75.00
64737	Credit Suisse AG - Galaxy Ent R Bull CBBC Jan 2015 A	50,000,000	2014/06/10	2015/01/30	12.50
64739	Credit Suisse AG - Galaxy Ent R Bear CBBC Jan 2015 A	50,000,000	2014/06/10	2015/01/30	12.50
64758	Credit Suisse AG - HSI R Bull CBBC Oct 2014 H	238,000,000	2014/06/10	2014/10/30	59.50
64754	Credit Suisse AG - HSI R Bull CBBC Oct 2014 K	238,000,000	2014/06/10	2014/10/30	59.50
64757	Credit Suisse AG - HSI R Bull CBBC Oct 2014 S	238,000,000	2014/06/10	2014/10/30	59.50
64751	Credit Suisse AG - HSI R Bear CBBC Oct 2014 O	238,000,000	2014/06/10	2014/10/30	59.50
64712	Credit Suisse AG - HSI R Bear CBBC Nov 2014 A	238,000,000	2014/06/10	2014/11/27	59.50
64713	Credit Suisse AG - HSI R Bear CBBC Nov 2014 B	238,000,000	2014/06/10	2014/11/27	59.50
64714	Credit Suisse AG - HSI R Bear CBBC Nov 2014 C	238,000,000	2014/06/10	2014/11/27	59.50
64746	Credit Suisse AG - HSI R Bear CBBC Nov 2014 D	238,000,000	2014/06/10	2014/11/27	59.50
64747	Credit Suisse AG - HSI R Bear CBBC Nov 2014 E	238,000,000	2014/06/10	2014/11/27	59.50
64727	Credit Suisse AG - TCH R Bull CBBC Jan 2015 A	50,000,000	2014/06/10	2015/01/30	12.50
64733	Credit Suisse AG - TCH R Bull CBBC Jan 2015 B	50,000,000	2014/06/10	2015/01/30	12.50
64736	Credit Suisse AG - TCH R Bull CBBC Jan 2015 C	50,000,000	2014/06/10	2015/01/30	12.50
64717	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 I	150,000,000	2014/06/10	2014/09/29	38.70
64705	HK Bank - Kingsoft R Bear CBBC Apr 2015 A	40,000,000	2014/06/10	2015/04/13	18.80
64704	HK Bank - TCH R Bear CBBC Dec 2014 M	100,000,000	2014/06/10	2014/12/08	25.00
64748	Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2014 A	50,000,000	2014/06/10	2014/11/27	12.50
64759	Standard Chartered Bank - HSI R Bear CBBC Apr 2015 D	100,000,000	2014/06/10	2015/04/29	25.00
64760	Standard Chartered Bank - HSI R Bear CBBC Apr 2015 E	100,000,000	2014/06/10	2015/04/29	25.00
64719	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 U	200,000,000	2014/06/10	2014/09/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64720	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 I	200,000,000	2014/06/10	2014/09/29	50.00
64723	UBS AG - A50 China R Bull CBBC Mar 2015 F	100,000,000	2014/06/10	2015/03/23	25.00
64722	UBS AG - HSCEI R Bull CBBC Dec 2014 J	100,000,000	2014/06/10	2014/12/30	25.00
64761	UBS AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/06/10	2014/10/30	75.00
64762	UBS AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/06/10	2014/11/27	75.00
64706	UBS AG - HSI R Bear CBBC Sep 2014 X	300,000,000	2014/06/10	2014/09/29	75.00
64707	UBS AG - HSI R Bear CBBC Nov 2014 H	350,000,000	2014/06/10	2014/11/27	87.50
64726	UBS AG - SHK Ppt R Bear CBBC Feb 2015 A	50,000,000	2014/06/10	2015/02/09	12.50
64775	Credit Suisse AG - HSI R Bull CBBC Nov 2014 O	238,000,000	2014/06/11	2014/11/27	59.50
64774	Credit Suisse AG - HSI R Bull CBBC Nov 2014 W	238,000,000	2014/06/11	2014/11/27	59.50
64796	Credit Suisse AG - HSI R Bear CBBC Sep 2014 A	238,000,000	2014/06/11	2014/09/29	59.50
64784	Credit Suisse AG - HSI R Bear CBBC Sep 2014 X	238,000,000	2014/06/11	2014/09/29	59.50
64768	HK Bank - Cheung Kong R Bull CBBC Jun 2015 A	60,000,000	2014/06/11	2015/06/29	15.00
64769	HK Bank - Cheung Kong R Bear CBBC Apr 2015 D	50,000,000	2014/06/11	2015/04/13	14.00
64766	HK Bank - China Life R Bull CBBC Feb 2015 B	60,000,000	2014/06/11	2015/02/09	16.20
64767	HK Bank - Minsheng Bank R Bull CBBC Jul 2015 A	40,000,000	2014/06/11	2015/07/20	10.00
64763	HK Bank - HSI R Bull CBBC Nov 2014 H	100,000,000	2014/06/11	2014/11/27	25.00
64764	HK Bank - HSI R Bull CBBC Dec 2014 R	100,000,000	2014/06/11	2014/12/30	25.00
64765	HK Bank - HSI R Bull CBBC Dec 2014 S	100,000,000	2014/06/11	2014/12/30	25.00
64773	HK Bank - SHK Ppt R Bear CBBC Oct 2015 A	50,000,000	2014/06/11	2015/10/19	12.50
64778	Standard Chartered Bank - TCH R Bull CBBC Aug 2015 A	80,000,000	2014/06/11	2015/08/05	20.00
64779	Standard Chartered Bank - TCH R Bull CBBC Aug 2015 B	80,000,000	2014/06/11	2015/08/12	20.00
64780	Standard Chartered Bank - TCH R Bear CBBC Dec 2014 C	80,000,000	2014/06/11	2014/12/05	20.00
64782	Standard Chartered Bank - TCH R Bear CBBC Dec 2014 D	80,000,000	2014/06/11	2014/12/12	20.00
64783	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 J	200,000,000	2014/06/11	2014/09/29	50.00
64777	UBS AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2014/06/11	2014/10/30	75.00
64802	UBS AG - HSI R Bear CBBC Nov 2014 I	350,000,000	2014/06/11	2014/11/27	87.50
64807	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/06/12	2014/12/30	75.00
64805	Credit Suisse AG - HSI R Bull CBBC Oct 2014 I	238,000,000	2014/06/12	2014/10/30	59.50
64813	Credit Suisse AG - HSI R Bear CBBC Oct 2014 P	238,000,000	2014/06/12	2014/10/30	59.50
64812	Standard Chartered Bank - HSI R Bear CBBC Apr 2015 F	100,000,000	2014/06/12	2015/04/29	25.00
64803	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 V	200,000,000	2014/06/12	2014/09/29	50.00
64804	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Sep14 K	200,000,000	2014/06/12	2014/09/29	50.00
64816	UBS AG - A50 China R Bull CBBC Mar 2015 G	100,000,000	2014/06/12	2015/03/16	25.00
64811	UBS AG - HSI R Bull CBBC Jan 2015 J	300,000,000	2014/06/12	2015/01/29	75.00
64815	UBS AG - HSI R Bear CBBC Oct 2014 W	300,000,000	2014/06/12	2014/10/30	75.00
64843	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Y	300,000,000	2014/06/13	2014/12/30	75.00
64830	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 I	300,000,000	2014/06/13	2014/09/29	75.00
64838	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 J	300,000,000	2014/06/13	2014/09/29	75.00
64897	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 X	300,000,000	2014/06/13	2014/09/29	75.00
64836	Credit Suisse AG - HSI R Bull CBBC Sep 2014 U	238,000,000	2014/06/13	2014/09/29	59.50
64865	Credit Suisse AG - HSI R Bull CBBC Sep 2014 V	238,000,000	2014/06/13	2014/09/29	59.50
64867	Credit Suisse AG - HSI R Bear CBBC Sep 2014 D	238,000,000	2014/06/13	2014/09/29	59.50
64873	Credit Suisse AG - HSI R Bear CBBC Sep 2014 N	238,000,000	2014/06/13	2014/09/29	59.50
64874	Credit Suisse AG - HSI R Bear CBBC Sep 2014 Y	238,000,000	2014/06/13	2014/09/29	59.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64829	HK Bank - China Cinda R Bull CBBC Mar 2015 A	50,000,000	2014/06/13	2015/03/30	12.50
64819	HK Bank - HSI R Bull CBBC Dec 2014 T	400,000,000	2014/06/13	2014/12/30	100.00
64817	HK Bank - HSI R Bear CBBC Dec 2014 Q	100,000,000	2014/06/13	2014/12/30	25.00
64820	HK Bank - Hutchison R Bull CBBC Jun 2015 A	60,000,000	2014/06/13	2015/06/29	15.00
64826	HK Bank - SHK Ppt R Bull CBBC May 2015 A	60,000,000	2014/06/13	2015/05/11	15.00
64821	HK Bank - TCH R Bear CBBC Dec 2014 N	100,000,000	2014/06/13	2014/12/01	25.00
64837	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2014 A	50,000,000	2014/06/13	2014/10/30	12.50
64833	UBS AG - HSI R Bull CBBC Jan 2015 K	300,000,000	2014/06/13	2015/01/29	75.00
64880	UBS AG - HSI R Bear CBBC Sep 2014 Y	300,000,000	2014/06/13	2014/09/29	75.00
64892	UBS AG - HSI R Bear CBBC Oct 2014 X	300,000,000	2014/06/13	2014/10/30	75.00
64947	Credit Suisse AG - HSI R Bull CBBC Feb 2015 A	238,000,000	2014/06/16	2015/02/26	59.50
64933	Credit Suisse AG - HSI R Bear CBBC Oct 2014 Q	238,000,000	2014/06/16	2014/10/30	59.50
64934	Credit Suisse AG - HSI R Bear CBBC Oct 2014 R	238,000,000	2014/06/16	2014/10/30	59.50
65027	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Mar 2015 A	80,000,000	2014/06/16	2015/03/02	20.00
65026	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Mar15B	60,000,000	2014/06/16	2015/03/02	15.00
64923	Bank of East Asia - Kingsoft R Bull CBBC Aug 2015 A	200,000,000	2014/06/16	2015/08/26	50.00
64921	Bank of East Asia - Lenovo R Bull CBBC Feb 2015 A	40,000,000	2014/06/16	2015/02/26	10.00
64922	Bank of East Asia - Sands China R Bear CBBC Dec 2014 A	60,000,000	2014/06/16	2014/12/31	15.00
64927	Bank of East Asia - TCH R Bull CBBC Aug 2015 A	40,000,000	2014/06/16	2015/08/31	10.00
64932	Bank of East Asia - TCH R Bear CBBC Aug 2015 A	40,000,000	2014/06/16	2015/08/27	10.00
64986	Standard Chartered Bank - Galaxy Ent R Bull CBBC Jan 2015 A	50,000,000	2014/06/16	2015/01/21	12.50
64984	Standard Chartered Bank - Galaxy Ent R Bull CBBC Feb 2015 A	50,000,000	2014/06/16	2015/02/12	12.50
65017	Standard Chartered Bank - Galaxy Ent R Bear CBBC Jan 2015 A	50,000,000	2014/06/16	2015/01/07	12.50
64987	Standard Chartered Bank - Galaxy Ent R Bear CBBC Feb 2015 B	50,000,000	2014/06/16	2015/02/18	12.50
64979	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 K	100,000,000	2014/06/16	2015/04/29	25.00
64983	Standard Chartered Bank - HSI R Bear CBBC Apr 2015 G	100,000,000	2014/06/16	2015/04/29	25.00
64909	Standard Chartered Bank - Hutchison R Bull CBBC Aug 2015 A	50,000,000	2014/06/16	2015/08/05	12.50
64910	Standard Chartered Bank - Kingsoft R Bear CBBC Mar 2015 D	50,000,000	2014/06/16	2015/03/19	25.00
64935	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 W	200,000,000	2014/06/16	2014/09/29	50.00
65019	UBS AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/06/16	2014/11/27	75.00
65020	UBS AG - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/06/16	2014/12/30	75.00
64917	UBS AG - HSI R Bear CBBC Sep 2014 A	300,000,000	2014/06/16	2014/09/29	75.00
64916	UBS AG - HSI R Bear CBBC Sep 2014 Z	300,000,000	2014/06/16	2014/09/29	75.00
65024	UBS AG - Sands China R Bull CBBC Mar 2015 B	50,000,000	2014/06/16	2015/03/09	12.50
65141	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/06/17	2014/12/30	75.00
65367	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 P	300,000,000	2014/06/17	2014/12/30	75.00
65165	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 Y	300,000,000	2014/06/17	2014/09/29	75.00
65368	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 A	300,000,000	2014/06/17	2015/01/29	75.00
65369	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 B	300,000,000	2014/06/17	2015/01/29	75.00
65196	Credit Suisse AG - HSI R Bull CBBC Jan 2015 M	238,000,000	2014/06/17	2015/01/29	59.50
65198	Credit Suisse AG - HSI R Bull CBBC Jan 2015 N	238,000,000	2014/06/17	2015/01/29	59.50
65356	Credit Suisse AG - HSI R Bull CBBC Jan 2015 O	238,000,000	2014/06/17	2015/01/29	59.50
65036	Credit Suisse AG - HSI R Bear CBBC Oct 2014 S	238,000,000	2014/06/17	2014/10/30	59.50
65075	Credit Suisse AG - HSI R Bear CBBC Oct 2014 T	238,000,000	2014/06/17	2014/10/30	59.50
65076	Credit Suisse AG - HSI R Bear CBBC Oct 2014 U	238,000,000	2014/06/17	2014/10/30	59.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65077	Credit Suisse AG - HSI R Bear CBBC Dec 2014 A	238,000,000	2014/06/17	2014/12/30	59.50
65078	Credit Suisse AG - HSI R Bear CBBC Dec 2014 B	238,000,000	2014/06/17	2014/12/30	59.50
65079	Credit Suisse AG - HSI R Bear CBBC Dec 2014 C	238,000,000	2014/06/17	2014/12/30	59.50
65083	Credit Suisse AG - HSI R Bear CBBC Dec 2014 D	238,000,000	2014/06/17	2014/12/30	59.50
65133	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2014 J	150,000,000	2014/06/17	2014/09/29	37.95
65383	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 I	150,000,000	2014/06/17	2014/09/29	41.85
65108	Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2014 B	50,000,000	2014/06/17	2014/11/27	12.50
65366	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Mar 2015A	80,000,000	2014/06/17	2015/03/25	20.00
65365	Standard Chartered Bank - A50 China R Bull CBBC Feb 2015 A	80,000,000	2014/06/17	2015/02/12	20.00
65110	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 14N	200,000,000	2014/06/17	2014/10/30	50.00
65054	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct 14E	200,000,000	2014/06/17	2014/10/30	50.00
65130	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct 14F	200,000,000	2014/06/17	2014/10/30	50.00
65182	UBS AG - HSCEI R Bull CBBC Jan 2015 A	100,000,000	2014/06/17	2015/01/29	25.00
65173	UBS AG - HSCEI R Bear CBBC Nov 2014 B	100,000,000	2014/06/17	2014/11/27	25.00
65428	UBS AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2014/06/17	2014/10/30	75.00
65453	UBS AG - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/06/17	2014/12/30	75.00
65456	UBS AG - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/06/17	2014/12/30	75.00
65166	UBS AG - HSI R Bear CBBC Sep 2014 C	300,000,000	2014/06/17	2014/09/29	75.00
65072	UBS AG - HSI R Bear CBBC Dec 2014 C	350,000,000	2014/06/17	2014/12/30	87.50
65601	Credit Suisse AG - HSI R Bull CBBC Oct 2014 C	238,000,000	2014/06/18	2014/10/30	59.50
65819	Credit Suisse AG - HSI R Bear CBBC Oct 2014 V	238,000,000	2014/06/18	2014/10/30	59.50
65552	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Mar 15A	60,000,000	2014/06/18	2015/03/16	15.00
65553	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Mar 15B	60,000,000	2014/06/18	2015/03/16	15.00
65571	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Mar 15C	60,000,000	2014/06/18	2015/03/16	15.00
65573	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Mar 15D	60,000,000	2014/06/18	2015/03/16	15.00
65741	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Mar 15 A	60,000,000	2014/06/18	2015/03/16	15.00
65742	Citigroup Global Mkt H Inc. - Hutchison R Bull CBBC Mar 15 B	60,000,000	2014/06/18	2015/03/16	15.00
65700	Citigroup Global Mkt H Inc. - SHK Ppt R Bull CBBC Mar 2015 A	60,000,000	2014/06/18	2015/03/16	15.00
65708	Citigroup Global Mkt H Inc. - SHK Ppt R Bull CBBC Mar 2015 B	60,000,000	2014/06/18	2015/03/16	15.00
65714	Citigroup Global Mkt H Inc. - SHK Ppt R Bear CBBC Mar 2015 A	60,000,000	2014/06/18	2015/03/16	15.00
65735	Citigroup Global Mkt H Inc. - SHK Ppt R Bear CBBC Mar 2015 B	60,000,000	2014/06/18	2015/03/16	15.00
65530	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 I	200,000,000	2014/06/18	2015/03/02	50.00
65531	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 J	200,000,000	2014/06/18	2015/03/02	50.00
65543	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Mar 2015 K	200,000,000	2014/06/18	2015/03/02	50.00
65466	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 I	80,000,000	2014/06/18	2015/01/29	20.00
65474	HK Bank - A50 R Bull CBBC Jan 2015 L	200,000,000	2014/06/18	2015/01/22	50.00
65477	HK Bank - Galaxy Ent R Bull CBBC Feb 2015 A	80,000,000	2014/06/18	2015/02/09	20.00
65479	HK Bank - Galaxy Ent R Bear CBBC Jan 2015 D	60,000,000	2014/06/18	2015/01/26	15.00
65508	HK Bank - Sands China R Bull CBBC Mar 2015 A	80,000,000	2014/06/18	2015/03/16	20.00
65487	HK Bank - Sands China R Bear CBBC Jan 2015 B	60,000,000	2014/06/18	2015/01/12	15.00
65659	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 H	300,000,000	2014/06/18	2015/01/29	75.00
65661	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 I	300,000,000	2014/06/18	2015/01/29	75.00
65658	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 B	300,000,000	2014/06/18	2015/02/26	75.00
65664	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 K	300,000,000	2014/06/18	2014/09/29	75.00
65677	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 L	300,000,000	2014/06/18	2014/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65683	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 M	300,000,000	2014/06/18	2014/09/29	75.00
65687	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 N	300,000,000	2014/06/18	2014/09/29	75.00
65622	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 L	100,000,000	2014/06/18	2015/04/29	25.00
65576	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 T	200,000,000	2014/06/18	2014/10/30	50.00
65581	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 G	200,000,000	2014/06/18	2014/10/30	50.00
65583	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 H	200,000,000	2014/06/18	2014/10/30	50.00
65789	UBS AG - HSI R Bull CBBC Jan 2015 L	300,000,000	2014/06/18	2015/01/29	75.00
65788	UBS AG - HSI R Bear CBBC Sep 2014 B	300,000,000	2014/06/18	2014/09/29	75.00
65821	UBS AG - HSI R Bear CBBC Dec 2014 D	350,000,000	2014/06/18	2014/12/30	87.50
65805	UBS AG - TCH R Bear CBBC Feb 2015 A	100,000,000	2014/06/18	2015/02/09	25.00
65842	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 W	300,000,000	2014/06/19	2014/12/30	75.00
65859	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/06/19	2014/12/30	59.50
65952	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	238,000,000	2014/06/19	2014/12/30	59.50
65982	Credit Suisse AG - HSI R Bear CBBC Oct 2014 W	238,000,000	2014/06/19	2014/10/30	59.50
65864	Credit Suisse AG - TCH R Bull CBBC Dec 2014 E	50,000,000	2014/06/19	2014/12/19	12.50
65872	Credit Suisse AG - TCH R Bear CBBC Dec 2014 A	50,000,000	2014/06/19	2014/12/19	12.50
65873	Credit Suisse AG - TCH R Bear CBBC Dec 2014 B	50,000,000	2014/06/19	2014/12/19	12.50
65886	Credit Suisse AG - TCH R Bear CBBC Dec 2014 C	50,000,000	2014/06/19	2014/12/19	12.50
65903	Credit Suisse AG - TCH R Bear CBBC Dec 2014 D	50,000,000	2014/06/19	2014/12/19	12.50
65839	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 J	300,000,000	2014/06/19	2015/01/29	75.00
65834	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 C	300,000,000	2014/06/19	2015/02/26	75.00
65840	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 O	300,000,000	2014/06/19	2014/09/29	75.00
65841	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 P	300,000,000	2014/06/19	2014/09/29	75.00
65927	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep14 X	200,000,000	2014/06/19	2014/09/29	50.00
65906	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 A	200,000,000	2014/06/19	2014/12/30	50.00
65932	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 I	200,000,000	2014/06/19	2014/10/30	50.00
65856	UBS AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2014/06/19	2014/10/30	75.00
65988	UBS AG - HSI R Bear CBBC Sep 2014 F	300,000,000	2014/06/19	2014/09/29	75.00
65989	UBS AG - HSI R Bear CBBC Sep 2014 K	300,000,000	2014/06/19	2014/09/29	75.00
65958	UBS AG - TCH R Bull CBBC Sep 2015 A	100,000,000	2014/06/19	2015/09/07	25.00
60166 [#]	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2014 K	230,000,000	2014/06/19	2014/08/28	10.35
66116	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Sep 2014 H	300,000,000	2014/06/20	2014/09/29	75.00
66159	Credit Suisse AG - HSI R Bull CBBC Feb 2015 B	238,000,000	2014/06/20	2015/02/26	59.50
66163	Credit Suisse AG - HSI R Bull CBBC Feb 2015 C	238,000,000	2014/06/20	2015/02/26	59.50
66127	Credit Suisse AG - HSI R Bear CBBC Sep 2014 C	238,000,000	2014/06/20	2014/09/29	59.50
66125	Credit Suisse AG - HSI R Bear CBBC Sep 2014 Z	238,000,000	2014/06/20	2014/09/29	59.50
66061	HK Bank - HSCEI R Bull CBBC Aug 2015 A	100,000,000	2014/06/20	2015/08/28	25.00
66043	HK Bank - HSI R Bull CBBC Dec 2014 U	100,000,000	2014/06/20	2014/12/30	25.00
66045	HK Bank - HSI R Bull CBBC Jan 2015 J	100,000,000	2014/06/20	2015/01/29	25.00
66098	HK Bank - TCH R Bull CBBC Feb 2015 A	100,000,000	2014/06/20	2015/02/09	25.00
66103	HK Bank - TCH R Bull CBBC Feb 2015 B	100,000,000	2014/06/20	2015/02/02	25.00
66105	HK Bank - TCH R Bull CBBC Feb 2015 C	100,000,000	2014/06/20	2015/02/16	25.00
66016	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 X	300,000,000	2014/06/20	2014/11/27	75.00
66041	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 T	300,000,000	2014/06/20	2014/12/30	75.00
65996	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 Q	300,000,000	2014/06/20	2014/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66129	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 Q	200,000,000	2014/06/20	2014/12/30	50.00
66133	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 J	200,000,000	2014/06/20	2014/10/30	50.00
66173	UBS AG - Minsheng Bank R Bull CBBC Mar 2015 A	50,000,000	2014/06/20	2015/03/13	12.50
66170	UBS AG - HSI R Bull CBBC Oct 2014 L	300,000,000	2014/06/20	2014/10/30	75.00
66171	UBS AG - HSI R Bull CBBC Oct 2014 W	300,000,000	2014/06/20	2014/10/30	75.00
66113	UBS AG - HSI R Bear CBBC Sep 2014 G	300,000,000	2014/06/20	2014/09/29	75.00
66111	UBS AG - HSI R Bear CBBC Sep 2014 R	300,000,000	2014/06/20	2014/09/29	75.00
66359	Credit Suisse AG - HSI R Bull CBBC Nov 2014 X	238,000,000	2014/06/23	2014/11/27	59.50
66326	Credit Suisse AG - HSI R Bear CBBC Sep 2014 E	238,000,000	2014/06/23	2014/09/29	59.50
66343	Credit Suisse AG - HSI R Bear CBBC Sep 2014 F	238,000,000	2014/06/23	2014/09/29	59.50
66400	Credit Suisse AG - HSI R Bear CBBC Sep 2014 G	238,000,000	2014/06/23	2014/09/29	59.50
66328	Credit Suisse AG - HSI R Bear CBBC Oct 2014 X	238,000,000	2014/06/23	2014/10/30	59.50
66347	Credit Suisse AG - HSI R Bear CBBC Oct 2014 Y	238,000,000	2014/06/23	2014/10/30	59.50
66327	Credit Suisse AG - HSI R Bear CBBC Nov 2014 F	238,000,000	2014/06/23	2014/11/27	59.50
66187	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 J	150,000,000	2014/06/23	2014/09/29	37.50
66205	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2014 K	150,000,000	2014/06/23	2014/09/29	37.50
66258	HK Bank - ABC R Bull CBBC Aug 2015 B	80,000,000	2014/06/23	2015/08/24	20.00
66218	HK Bank - HSI R Bull CBBC Dec 2014 V	100,000,000	2014/06/23	2014/12/30	25.00
66225	HK Bank - HSI R Bull CBBC Jan 2015 K	100,000,000	2014/06/23	2015/01/29	25.00
66228	HK Bank - HSI R Bear CBBC Dec 2014 R	400,000,000	2014/06/23	2014/12/30	100.00
66254	HK Bank - HSI R Bear CBBC Dec 2014 S	400,000,000	2014/06/23	2014/12/30	100.00
66257	HK Bank - HSI R Bear CBBC Jan 2015 G	400,000,000	2014/06/23	2015/01/29	100.00
66214	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 D	300,000,000	2014/06/23	2015/02/26	75.00
66207	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 R	300,000,000	2014/06/23	2014/09/29	75.00
66261	Standard Chartered Bank - HSI R Bear CBBC Jun 2015 A	100,000,000	2014/06/23	2015/06/29	25.00
66262	Standard Chartered Bank - HSI R Bear CBBC Jun 2015 B	100,000,000	2014/06/23	2015/06/29	25.00
66401	UBS AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/06/23	2014/11/27	75.00
66325	UBS AG - HSI R Bear CBBC Sep 2014 S	300,000,000	2014/06/23	2014/09/29	75.00
66267	UBS AG - HSI R Bear CBBC Oct 2014 Y	300,000,000	2014/06/23	2014/10/30	75.00
66320	UBS AG - HSI R Bear CBBC Oct 2014 Z	300,000,000	2014/06/23	2014/10/30	75.00
66269	UBS AG - HSI R Bear CBBC Nov 2014 J	300,000,000	2014/06/23	2014/11/27	75.00
66505	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/06/24	2014/12/30	75.00
66452	Credit Suisse AG - HSI R Bull CBBC Nov 2014 V	238,000,000	2014/06/24	2014/11/27	59.50
66659	Credit Suisse AG - HSI R Bear CBBC Nov 2014 G	238,000,000	2014/06/24	2014/11/27	59.50
66665	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Mar15 C	60,000,000	2014/06/24	2015/03/16	15.00
66667	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Mar15 D	60,000,000	2014/06/24	2015/03/16	15.00
66541	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 D	200,000,000	2014/06/24	2015/01/29	50.00
66561	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 E	200,000,000	2014/06/24	2015/01/29	50.00
66569	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 D	200,000,000	2014/06/24	2015/01/29	50.00
66632	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 E	200,000,000	2014/06/24	2015/01/29	50.00
66426	HK Bank - A50 R Bull CBBC Feb 2015 D	200,000,000	2014/06/24	2015/02/16	50.00
66412	HK Bank - A50 R Bull CBBC Mar 2015 E	200,000,000	2014/06/24	2015/03/09	50.00
66409	HK Bank - HSI R Bear CBBC Dec 2014 T	100,000,000	2014/06/24	2014/12/30	25.00
66431	HK Bank - HSI R Bear CBBC Feb 2015 C	100,000,000	2014/06/24	2015/02/26	25.00
66434	HK Bank - HSI R Bear CBBC Feb 2015 D	100,000,000	2014/06/24	2015/02/26	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66404	HK Bank - HSI R Bear CBBC Mar 2015 A	100,000,000	2014/06/24	2015/03/30	25.00
66413	HK Bank - HSI R Bear CBBC Mar 2015 B	100,000,000	2014/06/24	2015/03/30	25.00
66682	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 S	300,000,000	2014/06/24	2014/09/29	75.00
66686	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 A	300,000,000	2014/06/24	2014/10/30	75.00
66687	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 B	300,000,000	2014/06/24	2014/10/30	75.00
66639	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 A	100,000,000	2014/06/24	2015/06/29	25.00
66641	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 U	200,000,000	2014/06/24	2014/10/30	50.00
66516	UBS AG - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/06/24	2014/12/30	75.00
66660	UBS AG - HSI R Bear CBBC Nov 2014 K	350,000,000	2014/06/24	2014/11/27	87.50
66716	Credit Suisse AG - HSI R Bull CBBC Oct 2014 N	238,000,000	2014/06/25	2014/10/30	59.50
66717	Credit Suisse AG - HSI R Bear CBBC Oct 2014 Z	238,000,000	2014/06/25	2014/10/30	59.50
66710	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Mar15A	80,000,000	2014/06/25	2015/03/18	20.00
66711	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Mar15A	80,000,000	2014/06/25	2015/03/18	20.00
66714	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Mar15B	80,000,000	2014/06/25	2015/03/18	20.00
66715	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Mar15C	80,000,000	2014/06/25	2015/03/18	20.00
66702	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Mar 2015 A	80,000,000	2014/06/25	2015/03/18	20.00
66708	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Mar 2015 B	80,000,000	2014/06/25	2015/03/18	20.00
66700	HK Bank - COVS R Bull CBBC Jul 2015 A	50,000,000	2014/06/25	2015/07/13	19.00
66693	HK Bank - CNOOC R Bull CBBC Oct 2015 A	60,000,000	2014/06/25	2015/10/07	15.00
66699	HK Bank - Sinopec Corp R Bull CBBC Jul 2015 A	80,000,000	2014/06/25	2015/07/13	20.00
66692	HK Bank - Galaxy Ent R Bull CBBC Mar 2015 A	80,000,000	2014/06/25	2015/03/30	20.00
66690	HK Bank - HSI R Bull CBBC Jan 2015 L	100,000,000	2014/06/25	2015/01/29	25.00
66689	HK Bank - HSI R Bear CBBC Feb 2015 E	100,000,000	2014/06/25	2015/02/26	25.00
66695	HK Bank - Ping An R Bull CBBC Feb 2015 A	60,000,000	2014/06/25	2015/02/16	15.00
66762	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 K	300,000,000	2014/06/25	2015/01/29	75.00
66761	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 T	300,000,000	2014/06/25	2014/09/29	75.00
66718	Standard Chartered Bank - HSI R Bear CBBC Jun 2015 C	100,000,000	2014/06/25	2015/06/29	25.00
66759	Standard Chartered Bank - HSI R Bear CBBC Jun 2015 D	100,000,000	2014/06/25	2015/06/29	25.00
66760	UBS AG - HSI R Bear CBBC Sep 2014 T	300,000,000	2014/06/25	2014/09/29	75.00
66766	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 C	300,000,000	2014/06/26	2015/01/29	75.00
66773	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 D	300,000,000	2014/06/26	2015/01/29	75.00
66855	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/06/26	2014/12/30	59.50
66852	Credit Suisse AG - HSI R Bull CBBC Jan 2015 P	238,000,000	2014/06/26	2015/01/29	59.50
66851	Credit Suisse AG - HSI R Bull CBBC Feb 2015 D	238,000,000	2014/06/26	2015/02/26	59.50
66863	Credit Suisse AG - HSI R Bear CBBC Sep 2014 B	238,000,000	2014/06/26	2014/09/29	59.50
66848	Credit Suisse AG - HSI R Bear CBBC Oct 2014 B	238,000,000	2014/06/26	2014/10/30	59.50
66859	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Mar15 E	60,000,000	2014/06/26	2015/03/23	15.00
66860	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Mar 2015 E	200,000,000	2014/06/26	2015/03/23	50.00
66907	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 U	300,000,000	2014/06/26	2014/09/29	75.00
66844	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 A	50,000,000	2014/06/26	2014/11/27	12.50
66905	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 K	200,000,000	2014/06/26	2014/10/30	50.00
66883	UBS AG - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/06/26	2014/12/30	75.00
66901	UBS AG - HSI R Bull CBBC Jan 2015 M	300,000,000	2014/06/26	2015/01/29	75.00
66904	UBS AG - HSI R Bear CBBC Sep 2014 O	300,000,000	2014/06/26	2014/09/29	75.00
66779	UBS AG - HSI R Bear CBBC Sep 2014 U	300,000,000	2014/06/26	2014/09/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66791	UBS AG - HSI R Bear CBBC Sep 2014 V	300,000,000	2014/06/26	2014/09/29	75.00
66943	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 I	100,000,000	2014/06/27	2014/12/30	25.00
66934	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 E	200,000,000	2014/06/27	2015/01/29	50.00
66953	Credit Suisse AG - HSI R Bull CBBC Jan 2015 Q	238,000,000	2014/06/27	2015/01/29	59.50
66954	Credit Suisse AG - HSI R Bull CBBC Jan 2015 R	238,000,000	2014/06/27	2015/01/29	59.50
66947	Credit Suisse AG - HSI R Bear CBBC Sep 2014 H	238,000,000	2014/06/27	2014/09/29	59.50
66952	Credit Suisse AG - HSI R Bear CBBC Sep 2014 M	238,000,000	2014/06/27	2014/09/29	59.50
66982	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 L	300,000,000	2014/06/27	2015/01/29	75.00
66997	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 V	300,000,000	2014/06/27	2014/09/29	75.00
66918	Standard Chartered Bank - AIA R Bull CBBC Jun 2015 A	80,000,000	2014/06/27	2015/06/29	20.00
66917	Standard Chartered Bank - AIA R Bear CBBC Apr 2015 A	80,000,000	2014/06/27	2015/04/30	20.00
66911	Standard Chartered Bank - Sinopec Corp R Bull CBBC Jun 2015A	80,000,000	2014/06/27	2015/06/29	20.00
66908	Standard Chartered Bank - Sinopec Corp R Bear CBBC Apr 2015A	80,000,000	2014/06/27	2015/04/30	20.00
66916	Standard Chartered Bank - PetCh R Bull CBBC Jun 2015 A	80,000,000	2014/06/27	2015/06/29	20.00
66912	Standard Chartered Bank - PetCh R Bear CBBC Apr 2015 A	80,000,000	2014/06/27	2015/04/30	20.00
66932	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 L	200,000,000	2014/06/27	2014/10/30	50.00
66972	UBS AG - China Life R Bull CBBC Jul 2015 B	50,000,000	2014/06/27	2015/07/13	12.75
66960	UBS AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/06/27	2014/11/27	75.00
66931	UBS AG - HSI R Bear CBBC Sep 2014 N	300,000,000	2014/06/27	2014/09/29	75.00
66924	UBS AG - HSI R Bear CBBC Sep 2014 W	300,000,000	2014/06/27	2014/09/29	75.00
67043	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 H	200,000,000	2014/06/30	2015/01/29	50.00
67046	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 I	200,000,000	2014/06/30	2015/01/29	50.00
67047	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2015 J	200,000,000	2014/06/30	2015/01/29	50.00
67118	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 F	200,000,000	2014/06/30	2015/01/29	50.00
67120	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 G	200,000,000	2014/06/30	2015/01/29	50.00
67121	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 H	200,000,000	2014/06/30	2015/01/29	50.00
67032	Credit Suisse AG - HSI R Bull CBBC Feb 2015 E	238,000,000	2014/06/30	2015/02/26	59.50
67035	Credit Suisse AG - HSI R Bull CBBC Feb 2015 F	238,000,000	2014/06/30	2015/02/26	59.50
67040	Credit Suisse AG - HSI R Bull CBBC Feb 2015 G	238,000,000	2014/06/30	2015/02/26	59.50
67041	Credit Suisse AG - HSI R Bull CBBC Feb 2015 H	238,000,000	2014/06/30	2015/02/26	59.50
67065	Credit Suisse AG - HSI R Bull CBBC Feb 2015 I	238,000,000	2014/06/30	2015/02/26	59.50
67082	Credit Suisse AG - HSI R Bear CBBC Sep 2014 P	238,000,000	2014/06/30	2014/09/29	59.50
67069	Credit Suisse AG - HSI R Bear CBBC Sep 2014 Q	238,000,000	2014/06/30	2014/09/29	59.50
67023	Credit Suisse AG - HSI R Bear CBBC Sep 2014 S	238,000,000	2014/06/30	2014/09/29	59.50
67075	Credit Suisse AG - HSI R Bear CBBC Sep 2014 T	238,000,000	2014/06/30	2014/09/29	59.50
67167	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 M	300,000,000	2014/06/30	2015/01/29	75.00
67169	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 B	300,000,000	2014/06/30	2014/09/29	75.00
67165	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 C	300,000,000	2014/06/30	2014/09/29	75.00
67136	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 W	300,000,000	2014/06/30	2014/09/29	75.00
67139	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 X	300,000,000	2014/06/30	2014/09/29	75.00
67147	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 Y	300,000,000	2014/06/30	2014/09/29	75.00
67148	J P Morgan SP BV - HSI R Bear CBBC Sep 2014 Z	300,000,000	2014/06/30	2014/09/29	75.00
67104	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 B	50,000,000	2014/06/30	2014/11/27	12.50
67105	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 C	50,000,000	2014/06/30	2014/11/27	12.50
67051	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 B	100,000,000	2014/06/30	2015/06/29	25.00

主 板 可 收 回 牛 熊 證

股 份 代 號	牛 熊 證	上 市 時 之 發 行 單 位	上 市 日 期	到 期 日	集 資 金 額 (百 萬 港 元)
67053	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 V	200,000,000	2014/06/30	2014/10/30	50.00
67055	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 W	200,000,000	2014/06/30	2014/10/30	50.00
67057	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 X	200,000,000	2014/06/30	2014/10/30	50.00
67059	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 M	200,000,000	2014/06/30	2014/10/30	50.00
67101	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 N	200,000,000	2014/06/30	2014/10/30	50.00
67103	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 O	200,000,000	2014/06/30	2014/10/30	50.00
67092	UBS AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2014/06/30	2014/10/30	75.00
67085	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/06/30	2014/11/27	75.00
67100	UBS AG - HSI R Bull CBBC Dec 2014 U	300,000,000	2014/06/30	2014/12/30	75.00
67099	UBS AG - HSI R Bull CBBC Mar 2015 G	300,000,000	2014/06/30	2015/03/30	75.00
67025	UBS AG - HSI R Bear CBBC Oct 2014 A	300,000,000	2014/06/30	2014/10/30	75.00
67110	UBS AG - HSI R Bear CBBC Oct 2014 B	300,000,000	2014/06/30	2014/10/30	75.00
67116	UBS AG - HSI R Bear CBBC Oct 2014 O	300,000,000	2014/06/30	2014/10/30	75.00
67115	UBS AG - HSI R Bear CBBC Nov 2014 L	300,000,000	2014/06/30	2014/11/27	75.00
總 額					93,001.85

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2013	第2季	4	20.85
	第3季	4	7.69
	第4季	4	5.78
2014	第1季	5	276.71
	第2季	5	68.00

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2013	第2季	—	—
	第3季	—	—
	第4季	—	—
2014	第1季	—	—
	第2季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2013	第2季	7	0.03
	第3季	7	0.08
	第4季	7	0.03
2014	第1季	7	0.39
	第2季	7	1.28

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2013	第2季	2	—
	第3季	2	—
	第4季	2	—
2014	第1季	2	—
	第2季	2	—

創業板 股份

股份成交－創業板

		股數(百 萬 股)	金 額(百 萬 港 元)	成 交 宗 數
2013	第 2 季	38,483.69	15,384.62	413,646
	第 3 季	56,706.88	18,446.55	542,526
	第 4 季	78,234.46	32,626.67	914,205
2014	第 1 季	108,376.84	63,841.30	1,599,170
	第 2 季	80,562.77	28,231.96	844,565

創業板股份交易統計

		交易 日 數	每 日 平 均 成 交 金 額 (百 萬 港 元)	平 均 每 宗 交 易 成 交 金 額
2013	第 2 季	60	256.41	37,193
	第 3 季	63	292.80	34,001
	第 4 季	62	526.24	35,689
2014	第 1 季	61	1,046.58	39,922
	第 2 季	60	470.53	33,428

20種升幅最大之創業板股份

2014年第2季

順 次	股 份 代 號	股 份	收 市 價		升 幅
			2014年6月底	2014年3月底	
1	08309	時尚環球	0.820	0.320	156.25
2	08018	匯財軟件	1.370	0.580 A	136.21
3	08201	寶聯控股	0.900	0.395	127.85
4	08239	明基控股	0.860	0.385	123.38
5	08295	卓亞資本	0.355	0.180	97.22
6	08032	非凡中國	0.860	0.445	93.26
7	08167	中國新電信	0.395	0.206	91.75
8	08179	喜尚控股	0.720	0.390	84.62
9	08326	JC GROUP HLDG	2.960	1.650	79.39
10	08088	高誠資本	1.220	0.710	71.83
11	08135	正美豐業	1.650	1.000	65.00
12	08260	施伯樂策略控股	0.390	0.237	64.56
13	08311	圓美光電	1.870	1.170	59.83
14	08129	中國生物資源	0.215	0.145	48.28
15	08047	昇力集團	0.960	0.670	43.28
16	08181	港深聯合	0.600	0.435	37.93
17	08078	中國3D數碼	0.219	0.164	33.54
18	08250	中國天然投資	0.235	0.177	32.77
19	08270	中國煤層氣	0.650	0.510 A	27.45
20	08131	辰罡科技	0.380	0.300	26.67

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2014年第2季

順次	股份代號	股份	收市價		跌幅
			2014年6月底	2014年3月底	
1	08072	羅馬集團	0.021	0.107 A	-80.37
2	08176	EDS WELLNESS	3.460	16.100 A	-78.51
3	08228	國藝娛樂	0.028	0.087	-67.82
4	08109	科瑞控股	0.131	0.305	-57.05
5	08130	智城控股	0.410	0.950	-56.84
6	08001	東方匯財證券	0.860	1.900	-54.74
7	08202	匯創控股	0.085	0.180	-52.78
8	08101	家夢控股	0.530	1.078 A	-50.83
9	08100	聯夢活力世界	0.038	0.076	-50.00
10	08050	雲博產業	5.940	11.860	-49.92
11	08180	新銳醫藥	0.520	1.000	-48.00
12	08321	中國汽車內飾	0.310	0.590	-47.46
13	08175	中國數碼文化	0.106	0.201	-47.26
14	08192	環球能源資源	0.280	0.490	-42.86
15	08212	譽滿國際控股	0.100	0.169	-40.83
16	08021	匯隆控股	0.086	0.136	-36.76
17	08022	彩娛集團	0.242	0.380	-36.32
18	08190	康佰控股	0.094	0.146	-35.62
19	08150	無縫綠色	0.800	1.220	-34.43
20	08045	南大蘇富特	0.220	0.335	-34.33

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2014年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08002	IGG	2,410.80	8.54
2	08292	慧聰網	2,394.74	8.48
3	08083	中國創新支付	1,647.93	5.84
4	08207	中國信貸	1,250.29	4.43
5	08072	羅馬集團	1,116.31	3.95
6	08156	眾彩股份	1,013.81	3.59
7	08050	雲博產業	938.87	3.33
8	08325	中國支付通	718.80	2.55
9	08018	匯財軟件	714.47	2.53
10	08100	聯夢活力世界	688.31	2.44
11	08180	新銳醫藥	638.85	2.26
12	08279	亞博科技控股	620.71	2.20
13	08192	環球能源資源	519.52	1.84
14	08198	新濠環彩	518.89	1.84
15	08158	中國生物醫學	482.06	1.71
16	08047	昇力集團	438.74	1.55
17	08032	非凡中國	409.35	1.45
18	08120	東麟農業	376.00	1.33
19	08138	同仁堂國藥	372.33	1.32
20	08071	中彩網通控股	353.99	1.25
總額			17,624.78	62.43

創業板 股份

20種成交最活躍創業板股份(股數)

2014年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08072	羅馬集團	17,593.69	21.84
2	08100	聯夢活力世界	11,211.61	13.92
3	08083	中國創新支付	3,272.10	4.06
4	08120	東麟農業	2,175.30	2.70
5	08132	中油港燃	2,103.00	2.61
6	08081	中國手遊文化	2,094.40	2.60
7	08078	中國3D數碼	1,795.78	2.23
8	08228	國藝娛樂	1,725.01	2.14
9	08270	中國煤層氣	1,649.93	2.05
10	08019	皓文控股	1,628.48	2.02
11	08158	中國生物醫學	1,517.33	1.88
12	08021	匯隆控股	1,418.42	1.76
13	08212	譽滿國際控股	1,270.03	1.58
14	08192	環球能源資源	1,213.43	1.51
15	08171	中國趨勢	1,060.36	1.32
16	08266	金達集團國際	1,051.75	1.31
17	08175	中國數碼文化	1,048.53	1.30
18	08180	新銳醫藥	986.36	1.22
19	08071	中彩網通控股	971.58	1.21
20	08018	匯財軟件	910.59	1.13
總數			56,697.68	70.38

創業板 股份

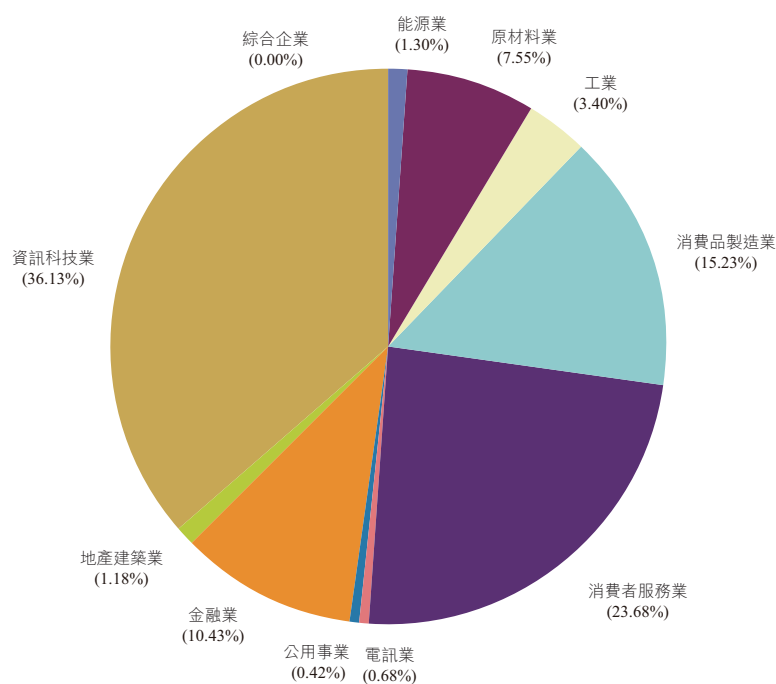
創業板公司市價總值

截至季末

		百 萬 港 元
2013	第 2 季	98,730.52
	第 3 季	119,020.80
	第 4 季	134,002.06
2014	第 1 季	172,776.82
	第 2 季	164,166.52

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2014年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08292	慧聰網有限公司	11,917.44	7.26
2	08137	洪橋集團有限公司	8,639.79	5.26
3	08138	北京同仁堂國藥有限公司	8,283.40	5.05
4	08050	雲博產業集團有限公司	8,056.13	4.91
5	08032	非凡中國控股有限公司	7,289.70	4.44
6	08002	IGG Inc	6,451.03	3.93
7	08279	亞博科技控股有限公司	6,279.55	3.83
8	08008	新意網集團有限公司	6,107.76	3.72
9	08207	中國信貸控股有限公司	5,498.85	3.35
10	08198	新濠環彩有限公司	4,276.75	2.61
11	08158	中國生物醫學再生科技有限公司	3,764.12	2.29
12	08156	眾彩科技股份有限公司	3,029.50	1.85
13	08232	中國優通控股有限公司	2,780.00	1.69
14	08018	匯財軟件公司	2,740.00	1.67
15	08083	中國創新支付集團有限公司	2,730.92	1.66
16	08006	中國華泰瑞銀控股有限公司	2,501.43	1.52
17	08311	圓美光電有限公司	2,468.40	1.50
18	08179	喜尚控股有限公司	2,304.00	1.40
19	08090	中國融保金融集團有限公司	2,224.60	1.36
20	08005	裕興科技投資控股有限公司	1,935.49	1.18
21	08016	長虹佳華控股有限公司	1,891.05	1.15
22	08058	山東羅欣藥業股份有限公司－H股	1,839.78	1.12
23	08155	南華置地有限公司	1,620.88	0.99
24	08020	川盟金融集團有限公司	1,554.00	0.95
25	08123	首華財經網絡集團有限公司	1,359.98	0.83
26	08128	中國地能產業集團有限公司	1,335.30	0.81
27	08250	中國天然投資有限公司	1,220.24	0.74
28	08099	中國優材(控股)有限公司	1,220.00	0.74
29	08326	JC Group Holdings Ltd.	1,184.00	0.72
30	08220	比高集團控股有限公司	1,092.32	0.67
31	08167	中國新電信集團有限公司	1,088.19	0.66
32	08325	中國支付通集團控股有限公司	1,045.44	0.64
33	08056	鼎豐集團控股有限公司	990.00	0.60
34	08086	DX.com控股有限公司	952.67	0.58
35	08185	御藥堂集團控股有限公司	906.40	0.55
36	08132	中油港燃能源集團控股有限公司	900.80	0.55
37	08201	寶聯控股有限公司	900.00	0.55
38	08255	神州數字銷售技術有限公司	883.20	0.54
39	08077	昆侖國際金融集團有限公司	860.00	0.52
40	08085	香港生命科學技術集團有限公司	841.42	0.51
41	08239	明基控股有限公司	832.81	0.51
42	08135	正美豐業汽車玻璃服務有限公司	792.00	0.48
43	08276	華章科技控股有限公司	791.52	0.48
44	08219	品牌中國集團有限公司	745.37	0.45
45	08270	中國煤層氣集團有限公司	741.96	0.45
46	08075	寰亞傳媒集團有限公司	723.53	0.44
47	08192	環球能源資源國際集團有限公司	716.51	0.44
48	08206	神通電信服務有限公司	699.14	0.43
49	08261	海天水電國際有限公司	690.00	0.42
50	08356	中國新華電視控股有限公司	689.75	0.42
總額			130,387.11	79.42
市場總值			164,166.52	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2013	第2季	5	887.80
	第3季	5	362.29
	第4季	12	1,873.36
2014	第1季	5	496.33
	第2季	1	100.00

創業板新上市公司

2014 第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08336	電訊數碼控股有限公司	2014/05/30	400,000,000	100.00
總額				100.00

除牌上市公司－創業板

2014 年第2季

股份代號	公司名稱	最後買賣日期	除牌日期
08291	西南環保發展有限公司*	2014/05/23	2014/05/26 §
08298	安捷利實業有限公司*	2014/06/17	2014/06/18 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2014 年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
08325	奧思知集團控股有限公司	中國支付通集團控股有限公司	2014/02/19	2014/04/02
08132	鉦皓控股有限公司	中油港燃能源集團控股有限公司	2014/04/17	2014/05/05
08163	萬德資源集團有限公司	萬德移動集團有限公司	2014/05/08	2014/05/08
08037	朗力福集團控股有限公司	銳康藥業集團投資有限公司	2014/03/28	2014/05/09
08081	駿科網絡訊息有限公司	中國手遊文化投資有限公司	2014/05/27	2014/06/19
08270	中國聯盛煤層氣頁岩氣產業集團有限公司	中國煤層氣集團有限公司	2014/05/12	2014/06/25

創業板 股份

創業板紅股／紅利認股權證

2014年第2季

股份代號	公司	詳情	除淨日
08072	羅馬集團有限公司	1送1	2014/05/09

創業板股份拆細及合併

2014年第2季

股份代號	公司	詳情	生效日期
08041	薈萃國際(控股)有限公司	5股合併為1股	2014/04/24
08018	匯財軟件公司	1股分拆為10股	2014/05/02
08270	中國煤層氣集團有限公司	10股合併為1股	2014/05/12
08176	EDS Wellness Holdings Ltd.	100股合併為1股	2014/05/13
08116	中國幸福投資(控股)有限公司	5股合併為1股	2014/06/03
08101	家夢控股有限公司	1股分拆為4股	2014/06/03
08108	名家國際控股有限公司	5股合併為1股	2014/06/10

創業板供股／公開售股

2014年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
5月	08081	中國手遊文化投資有限公司	股權2供1，每股供0.128港元	71.73
	08120	東麟農業集團有限公司	股權2供13，每股供0.16港元	180.39
	08198	新濠環彩有限公司	公開售股10供3，每股供0.90港元	652.70
	08117	中國基礎資源控股有限公司	股權2供1，每股供0.21元	50.70
6月	08063	和滙集團有限公司	公開售股2供1，每股供0.70港元	52.47
	08086	DX.com控股有限公司	公開售股10供1，每股供0.10港元	50.95
總額				1,058.94

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2014					
4月	08116	中國幸福投資	20,000,000	0.0960	1.92
	08116	中國幸福投資	30,000,000	0.1000	3.00
	08116	中國幸福投資	187,000,000	0.0900	16.83
	08172	中國傳媒影視	100,000,000	0.2300	23.00
	08325	中國支付通	144,000,000	1.4600	210.24
	08078	中國3D數碼	134,100,000	0.1280	17.16
	08270	中國煤層氣	190,220,000	0.3110	59.16
5月	08215	FIRST CREDIT	240,000,000	0.0900	21.60
	08310	伽瑪物流集團	160,000,000	0.2720	43.52
	08046	恒芯中國	50,000,000	0.2500	12.50
	08239	明基控股	44,500,000	0.4500	20.03
	08167	中國新電信	200,000,000	0.2010	40.20
	08130	智城控股	30,000,000	0.8100	24.30
	08135	正美豐業	80,000,000	0.5500	44.00
	08172	中國傳媒影視	300,000,000	0.2800	84.00
	08212	譽滿國際控股	184,104,000	0.0760	13.99
	08081	中國手遊文化	224,166,000	0.1000	22.42
6月	08108	名家國際	410,000,000	0.0670	27.47
	08351	俊文寶石	264,690,000	0.1100	29.12
	08150	無縫綠色	17,000,000	0.6500	11.05
總額					725.50

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2013	第2季	0	0.00	0.00
	第3季	0	0.00	0.00
	第4季	0	0.00	0.00
2014	第1季	0	0.00	0.00
	第2季	0	0.00	0.00

創業板新上市股份認股權證

2014年第2季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2014年6月底 收市	2014年3月底 收市	升跌
標準普爾／香港交易所大型股指數	28252.13	26777.04	+ 5.51%
標準普爾／香港交易所創業板指數	515.55	529.64	- 2.66%
恒生指數	23190.72	22151.06	+ 4.69%
分類指數			
金融	30850.73	30017.59	+ 2.78%
公用事業	51913.66	48521.28	+ 6.99%
地產	29052.75	27285.17	+ 6.48%
工商業	14383.16	13539.95	+ 6.23%
恒生香港中資企業指數	4369.01	4161.24	+ 4.99%
恒生中國企業指數	10335.03	10075.10	+ 2.58%

股票市場指數

世界指數

指數	2014年6月底 收市	2014年3月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	28252.13	26777.04	+ 5.51%
恒生指數	23190.72	22151.06	+ 4.69%
澳洲			
普通股指數	5382.03	5402.99	- 0.39%
雅加達			
綜合指數	4878.58	4768.28	+ 2.31%
日本			
日經指數(225)	15162.10	14827.83	+ 2.25%
韓國			
綜合指數	2002.21	1985.61	+ 0.84%
吉隆坡			
綜合指數	1882.71	1849.21	+ 1.81%
馬尼拉			
綜合指數	6844.31	6428.71	+ 6.46%
紐西蘭			
證交所指數(50)	5141.48	5139.98	+ 0.03%
上海			
A股指數	2144.75	2128.79	+ 0.75%
B股指數	221.27	224.98	- 1.65%
深圳			
A股指數	1145.36	1085.68	+ 5.50%
B股指數	842.57	820.35	+ 2.71%
新加坡			
FTSE海峽時報指數	3255.67	3188.62	+ 2.10%
台灣			
台北加權股份指數	9393.07	8849.28	+ 6.15%
泰國			
證交所指數	1485.75	1376.26	+ 7.96%
紐約			
杜瓊斯工業平均指數	16826.60	16457.66	+ 2.24%
Nasdaq綜合指數	4408.18	4198.99	+ 4.98%
多倫多			
S&P/TSX綜合指數	15146.01	14335.31	+ 5.66%
德國			
法蘭克福指數	5058.86	5037.49	+ 0.42%
倫敦			
富時指數(100)	6743.94	6598.37	+ 2.21%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2014年第2季	2013年第2季
恒生指數期貨	3,893,812	5,468,244
恒生指數期權	1,728,591	2,598,223
小型恒生指數期貨	1,536,157	2,169,689
小型恒生指數期權	211,814	335,245
H股指數期貨	4,656,807	5,259,192
H股指數期權	1,867,765	1,910,329
小型H股指數期貨	626,231	486,689
自訂條款恒生指數期權	0	486
自訂條款H股指數期權	13,060	8,720
恒指股息點指數期貨	2,251	1,412
恒生國企股息點指數期貨	44,461	54,939
恒指波幅指數期貨	184	259
中華交易服務中國120指數期貨 ¹	8,768	—
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	81,179	110,084
股票期權	15,082,507	15,369,742
三個月港元利率期貨	15	0
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	33,359	46,238
黃金期貨	0	0
總數	29,786,961	33,819,491

¹ 中華交易服務中國120指數期貨由2013年8月12日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第2季	5,468,244	101,093
	第3季	4,926,071	123,253
	第4季	4,314,395	107,304
2014年	第1季	4,368,013	104,527
	第2季	3,893,812	126,964

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第2季	2,169,689	7,096
	第3季	2,237,418	8,969
	第4季	1,573,318	5,835
2014年	第1季	1,648,258	7,441
	第2季	1,536,157	7,067

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第2季	2,598,223	282,528
	第3季	1,959,869	293,948
	第4季	1,774,047	173,176
2014年	第1季	1,759,351	201,802
	第2季	1,728,591	184,741

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第2季	5,259,192	197,763
	第3季	5,534,747	214,299
	第4季	5,527,741	217,646
2014年	第1季	5,647,145	199,566
	第2季	4,656,807	217,624

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2013年	第2季	9,361.17	15,369,742	4,810,169
	第3季	8,479.72	13,681,676	4,882,089
	第4季	10,051.31	15,367,765	4,740,818
2014年	第1季	14,497.65	16,760,161	5,458,789
	第2季	10,523.18	15,082,507	4,767,976

衍生產品市場 期貨及期權

各期權類別之期權金總額

2014年第2季

期權類別		期權金額(百萬港元)	佔市場百分比
X安碩A50中國	A50	392.52	3.73%
農業銀行	ABC/XAB	119.31	1.13%
安徽海螺水泥股份	ACC	149.09	1.42%
友邦保險	AIA	188.33	1.79%
中國鋁業	ALC	5.71	0.05%
華夏滬深三百	AMC	26.82	0.25%
中國銀行	BCL/XBC	165.41	1.57%
交通銀行	BCM	12.89	0.12%
東亞銀行	BEA	2.79	0.03%
百麗國際	BIH	18.61	0.18%
中銀香港	BOC	30.95	0.29%
比亞迪股份	BYD	39.55	0.38%
建設銀行	CCB/XCC	199.63	1.90%
中國交通建設	CCC	12.48	0.12%
中國中煤能源	CCE	13.97	0.13%
中國遠洋	CCS	5.28	0.05%
中國移動	CHT	510.68	4.85%
中國聯通	CHU	63.76	0.61%
中信泰富	CIT	59.69	0.57%
長江實業	CKH	352.97	3.35%
中國人壽	CLI	215.31	2.05%
中電控股	CLP	10.61	0.10%
招商銀行	CMB	47.18	0.45%
中國海洋石油	CNC	129.33	1.23%
中國海外發展	COL	382.56	3.64%
國泰航空	CPA	1.19	0.01%
中國石油化工股份	CPC	156.99	1.49%
中國太保	CPI	78.67	0.75%
中國鐵建	CRC	3.22	0.03%
中國中鐵	CRG	1.13	0.01%
X標智滬深300	CS3	1.20	0.01%
南方A50	CSA	110.51	1.05%
中國神華	CSE	39.72	0.38%
中信銀行	CTB	36.94	0.35%
中國電信	CTC	16.85	0.16%
東風集團股份	DFM	5.82	0.06%
思捷環球	ESP	1.75	0.02%
富智康集團	FIH	1.91	0.02%
銀河娛樂	GLX	834.64	7.93%
長城汽車	GWM	41.65	0.40%
電能實業	HEH	19.98	0.19%
香港交易所	HEX	518.95	4.93%
恒安國際	HGN	8.40	0.08%

衍生產品市場 期貨及期權

期權類別		期權金額(百萬港元)	佔市場百分比
匯豐控股	HKB	299.32	2.84%
香港中華煤氣	HKG	7.20	0.07%
恒基地產	HLD	32.76	0.31%
華能國際電力股份	HNP	11.40	0.11%
恒生銀行	HSB	5.98	0.06%
和記黃埔	HWL	334.91	3.18%
工商銀行	ICB/XIC	196.37	1.87%
江西銅業	JXC	18.22	0.17%
昆侖能源	KLE	7.23	0.07%
聯想集團	LEN	54.58	0.52%
利豐	LIF	21.54	0.20%
美高梅中國	MGM	4.59	0.04%
民生銀行	MSB	83.04	0.79%
港鐵公司	MTR	2.87	0.03%
中國建材	NBM	34.25	0.33%
新世界發展	NWD	23.96	0.23%
中國平安	PAI	361.76	3.44%
中國石油股份	PEC	154.31	1.47%
中國人民財產保險	PIC	22.40	0.21%
中國人民保險集團	PIN	10.90	0.10%
富力地產	RFP	5.66	0.05%
金沙中國有限公司	SAN	675.33	6.42%
新鴻基地產	SHK	230.37	2.19%
渣打集團	STC	3.95	0.04%
太古股份公司A	SWA	43.45	0.41%
騰訊控股	TCH	2,746.12	26.10%
盈富基金	TRF	5.26	0.05%
九龍倉集團	WHL	52.01	0.49%
中國旺旺	WWC	7.04	0.07%
兗州煤業股份	YZC	26.63	0.25%
紫金礦業	ZJM	4.81	0.05%
總額		10,523.18	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2014年第2季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	379,639	2.52%
農業銀行	ABC/XAB	104,254	0.69%
安徽海螺水泥股份	ACC	256,443	1.70%
友邦保險	AIA	281,549	1.87%
中國鋁業	ALC	25,351	0.17%
華夏滬深三百	AMC	22,480	0.15%
中國銀行	BCL/XBC	1,104,187	7.32%
交通銀行	BCM	84,050	0.56%
東亞銀行	BEA	15,936	0.11%
百麗國際	BIH	40,740	0.27%
中銀香港	BOC	99,078	0.66%
比亞迪股份	BYD	26,640	0.18%
建設銀行	CCB/XCC	1,257,258	8.34%
中國交通建設	CCC	55,981	0.37%
中國中煤能源	CCE	66,459	0.44%
中國遠洋	CCS	44,755	0.30%
中國移動	CHT	581,935	3.86%
中國聯通	CHU	81,236	0.54%
中信泰富	CIT	84,302	0.56%
長江實業	CKH	132,628	0.88%
中國人壽	CLI	363,714	2.41%
中電控股	CLP	19,789	0.13%
招商銀行	CMB	186,445	1.24%
中國海洋石油	CNC	337,621	2.24%
中國海外發展	COL	230,228	1.53%
國泰航空	CPA	3,506	0.02%
中國石油化工股份	CPC	353,896	2.35%
中國太保	CPI	85,645	0.57%
中國鐵建	CRC	19,454	0.13%
中國中鐵	CRG	8,339	0.06%
X標智滬深300	CS3	482	0.00%
南方A50	CSA	87,979	0.58%
中國神華	CSE	101,127	0.67%
中信銀行	CTB	138,857	0.92%
中國電信	CTC	64,645	0.43%
東風集團股份	DFM	8,951	0.06%
思捷環球	ESP	27,635	0.18%
富智康集團	FIH	7,913	0.05%
銀河娛樂	GLX	486,741	3.23%
長城汽車	GWM	69,866	0.46%
電能實業	HEH	28,765	0.19%
香港交易所	HEX	1,353,534	8.97%
恒安國際	HGN	10,176	0.07%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
匯豐控股	HKB	531,917	3.53%
香港中華煤氣	HKG	21,383	0.14%
恒基地產	HLD	23,941	0.16%
華能國際電力股份	HNP	19,677	0.13%
恒生銀行	HSB	37,577	0.25%
和記黃埔	HWL	155,209	1.03%
工商銀行	ICB/XIC	1,111,648	7.37%
江西銅業	JXC	44,381	0.29%
昆侖能源	KLE	10,133	0.07%
聯想集團	LEN	90,459	0.60%
利豐	LIF	31,356	0.21%
美高梅中國	MGM	12,547	0.08%
民生銀行	MSB	103,727	0.69%
港鐵公司	MTR	11,225	0.07%
中國建材	NBM	64,965	0.43%
新世界發展	NWD	62,943	0.42%
中國平安	PAI	466,346	3.09%
中國石油股份	PEC	311,927	2.07%
中國人民財產保險	PIC	32,621	0.22%
中國人民保險集團	PIN	17,984	0.12%
富力地產	RFP	25,259	0.17%
金沙中國有限公司	SAN	697,074	4.62%
新鴻基地產	SHK	122,029	0.81%
渣打集團	STC	22,162	0.15%
太古股份公司A	SWA	30,312	0.20%
騰訊控股	TCH	2,148,368	14.24%
盈富基金	TRF	16,111	0.11%
九龍倉集團	WHL	37,174	0.25%
中國旺旺	WWC	22,990	0.15%
兗州煤業股份	YZC	38,049	0.25%
紫金礦業	ZJM	20,804	0.14%
總數		15,082,507	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2013 第2季	81,848	58.43	218.85
第3季	76,390	49.11	176.60
第4季	84,326	52.14	201.87
2014 第1季	89,857	51.46	200.37
第2季	84,454	51.80	191.05

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2013 第2季	386	70.50	229.88
第3季	347	46.57	139.57
第4季	412	143.96	203.73
2014 第1季	418	195.82	302.92
第2季	334	169.36	188.57

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10 億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2013 第2季	3,726.30	70.11	11,616.39	51.72
第3季	3,839.01	70.64	12,905.25	51.98
第4季	3,955.16	70.87	13,878.91	52.68
2014 第1季	4,058.32	70.36	13,423.17	52.82
第2季	4,100.74	70.42	13,911.39	50.86

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2013	第2季	960,186	100	145,842.29	100	62,161.42	100
	第3季	877,568	100	130,868.07	100	55,128.00	100
	第4季	933,875	100	117,032.40	100	58,954.27	100
2014	第1季	1,120,215	100	138,407.50	100	68,237.10	100
	第2季	928,429	100	112,913.91	100	57,388.97	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2013	第2季	65,358	96.26	20,347.75	92.80
	第3季	65,100	95.99	18,908.50	92.59
	第4季	72,380	95.83	22,299.70	90.28
2014	第1季	77,656	96.29	23,984.86	91.21
	第2季	67,488	96.09	19,979.41	91.05

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2013	第2季	99.89	99.99
	第3季	99.91	99.99
	第4季	99.91	99.99
2014	第1季	99.91	99.99
	第2季	99.90	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2014年第2季	2013年第2季
恒生指數期貨	126,964	101,093
恒生指數期權	184,741	282,528
小型恒生指數期貨	7,067	7,096
小型恒生指數期權	6,508	8,909
H股指數期貨	217,624	197,763
H股指數期權	996,149	973,057
小型H股指數期貨	2,772	4,047
自訂條款恒生指數期權	0	10,932
自訂條款H股指數期權	29,010	24,516
恒指股息點指數期貨	6,483	5,259
恒生國企股息點指數期貨	82,598	75,519
恒指波幅指數期貨	57	46
中華交易服務中國120指數期貨 ¹	412	—
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	11,643	18,258
股票期權	4,767,976	4,810,169
三個月港元利率期貨	15	0
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	10,154	7,028
黃金期貨	0	0
總數	6,450,173	6,526,220

¹ 中華交易服務中國120指數期貨由2013年8月12日開始買賣

結算及交收

各期權類別之未平倉合約

2014年第2季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	156,261	3.28
農業銀行	ABC/XAB	32,544	0.68
安徽海螺水泥股份	ACC	57,807	1.21
友邦保險	AIA	55,567	1.17
中國鋁業	ALC	8,831	0.19
華夏滬深三百	AMC	9,434	0.20
中國銀行	BCL/XBC	640,395	13.43
交通銀行	BCM	46,805	0.98
東亞銀行	BEA	4,811	0.10
百麗國際	BIH	13,115	0.28
中銀香港	BOC	47,022	0.99
比亞迪股份	BYD	18,629	0.39
建設銀行	CCB/XCC	455,700	9.56
中國交通建設	CCC	16,410	0.34
中國中煤能源	CCE	33,472	0.70
中國遠洋	CCS	22,424	0.47
中國移動	CHT	134,558	2.82
中國聯通	CHU	32,249	0.68
中信泰富	CIT	24,658	0.52
長江實業	CKH	22,351	0.47
中國人壽	CLI	114,773	2.41
中電控股	CLP	5,746	0.12
招商銀行	CMB	65,392	1.37
中國海洋石油	CNC	128,602	2.70
中國海外發展	COL	54,879	1.15
國泰航空	CPA	962	0.02
中國石油化工股份	CPC	105,127	2.20
中國太保	CPI	19,382	0.41
中國鐵建	CRC	9,562	0.20
中國中鐵	CRG	3,315	0.07
X標智滬深300	CS3	660	0.01
南方A50	CSA	29,351	0.62
中國神華	CSE	46,093	0.97
中信銀行	CTB	81,926	1.72
中國電信	CTC	26,382	0.55
東風集團股份	DFM	2,850	0.06
思捷環球	ESP	9,877	0.21
富智康集團	FIH	3,479	0.07
銀河娛樂	GLX	67,566	1.42
長城汽車	GWM	17,014	0.36
電能實業	HEH	7,306	0.15
香港交易所	HEX	285,644	5.99
恒安國際	HGN	1,925	0.04

結算及交收

期權類別		未平倉合約	佔市場百份比
匯豐控股	HKB	183,822	3.86
香港中華煤氣	HKG	6,288	0.13
恒基地產	HLD	5,752	0.12
華能國際電力股份	HNP	6,181	0.13
恒生銀行	HSB	9,593	0.20
和記黃埔	HWL	25,485	0.53
工商銀行	ICB/XIC	451,351	9.47
江西銅業	JXC	13,175	0.28
昆侖能源	KLE	3,375	0.07
聯想集團	LEN	31,193	0.65
利豐	LIF	21,737	0.46
美高梅中國	MGM	5,051	0.11
民生銀行	MSB	71,505	1.50
港鐵公司	MTR	4,389	0.09
中國建材	NBM	27,711	0.58
新世界發展	NWD	18,899	0.40
中國平安	PAI	107,356	2.25
中國石油股份	PEC	117,019	2.45
中國人民財產保險	PIC	13,756	0.29
中國人民保險集團	PIN	9,940	0.21
富力地產	RFP	12,492	0.26
金沙中國有限公司	SAN	105,851	2.22
新鴻基地產	SHK	29,489	0.62
渣打集團	STC	10,575	0.22
太古股份公司A	SWA	8,204	0.17
騰訊控股	TCH	495,288	10.39
盈富基金	TRF	9,129	0.19
九龍倉集團	WHL	9,208	0.19
中國旺旺	WWC	4,775	0.10
兗州煤業股份	YZC	20,161	0.42
紫金礦業	ZJM	8,370	0.18
總數		4,767,976	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2013	第2季	569 (987)	507 (918)	42 (49)	20 (20)
	第3季	566 (982)	506 (915)	40 (47)	20 (20)
	第4季	563 (978)	504 (912)	39 (46)	20 (20)
2014	第1季	561 (975)	503 (909)	38 (46)	20 (20)
	第2季	558 (970)	501 (907)	37 (43)	20 (20)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2013	第2季	233 (257)	180 (202)	—	53 (55)
	第3季	232 (256)	179 (201)	—	53 (55)
	第4季	232 (256)	179 (201)	—	53 (55)
2014	第1季	231 (255)	179 (201)	—	52 (54)
	第2季	229 (253)	178 (200)	—	51 (53)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2013 第2季	154	8
第3季	152	9
第4季	152	9
2014 第1季	151	9
第2季	150	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2013 第2季	62	9
第3季	62	9
第4季	62	9
2014 第1季	62	9
第2季	63	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2013 第2季	488	9	44
第3季	486	9	43
第4季	485	9	43
2014 第1季	486	9	43
第2季	481	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券