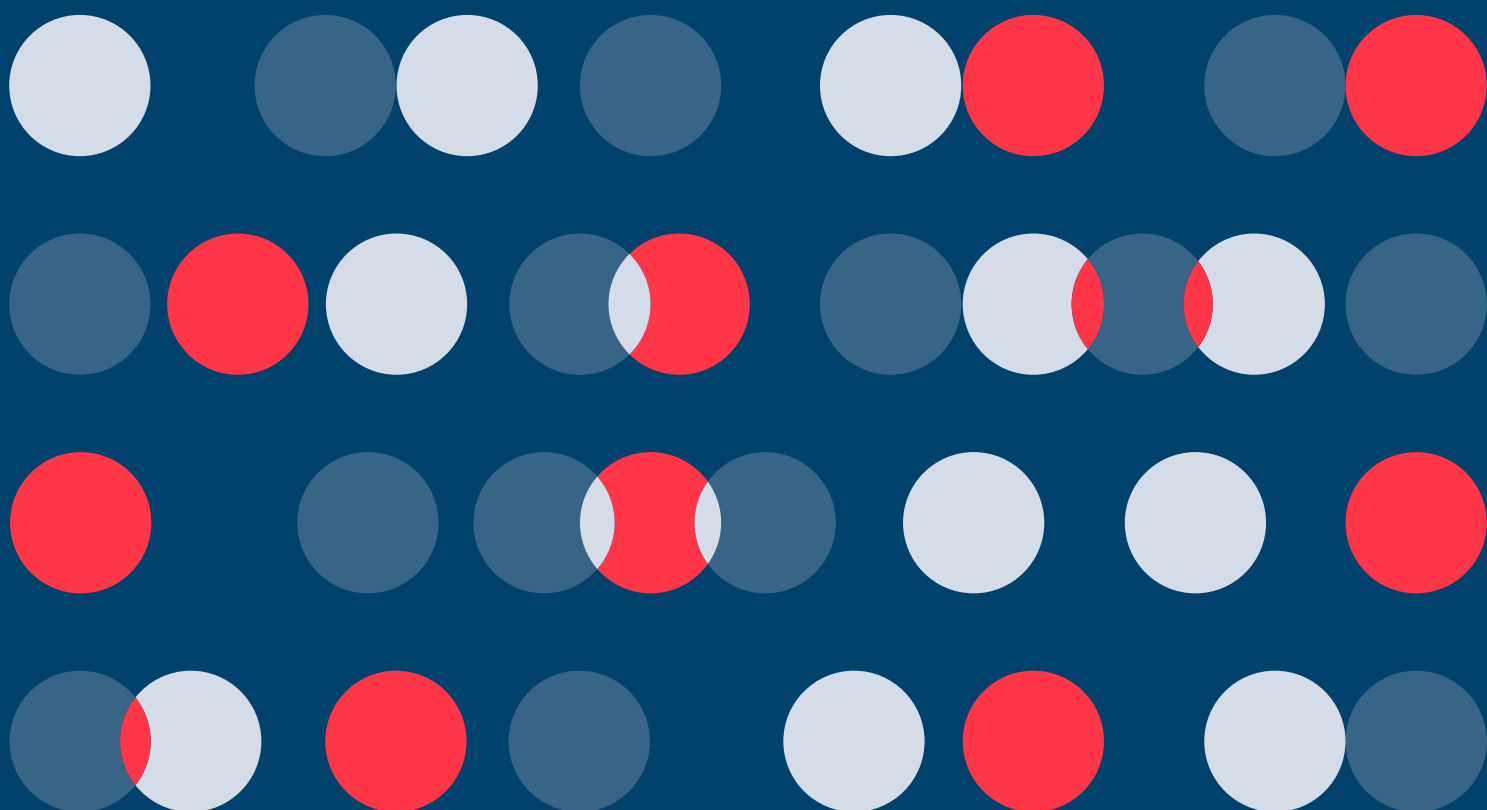


# 證券及衍生產品市場季報

2016年第二季



# 市場概況

## 主板

概況	2016年第2季	2015年第2季
上市公司數目	1,666	1,580
上市證券數目	8,259	10,036
股份		
普通股	1,667	1,581
優先股	8	6
認股權證	4,081	5,694
股份	11	14
衍生	4,070	5,680
股票掛鈎票據	0	0
牛熊證	1,547	1,905
單位信託及互惠基金	159	142
債券	797	708
非上市可交易股份數目		
Nasdaq 股份	6	6
iShares	2	2
交易日數	62	60
總成交金額(百萬港元)*	3,849,911.73	9,762,437.26
總成交股數(百萬股)	11,737,412.54	14,360,683.21
總成交宗數(宗數)	62,979,410	114,094,238
上市公司市價總值(百萬港元)	22,796,551.70	28,754,383.61
平均市盈率(倍)	9.75	11.84
平均週息率(百分率)	3.68	2.98
交易所參與者數目		
開業	530	500
非開業	36	37
成交金額(百萬港元)		
最高	103,134.62 (31/05)	291,528.73 (09/04)
最低	43,757.44 (23/05)	90,576.17 (22/06)
成交股數(百萬股)		
最高	232,335.97 (21/04)	344,439.32 (28/05)
最低	72,005.11 (24/06)	120,657.90 (09/04)
總成交宗數(宗數)		
最高	1,410,077 (24/06)	3,247,002 (09/04)
最低	762,049 (23/05)	1,115,641 (22/06)

\* 成交金額包括非港元交易買賣的證券

# 市場概況

## 創業板

概況	2016年第2季	2015年第2季
上市公司數目	236	213
上市證券數目	238	213
股份	236	213
認股權證	2	0
交易日數	62	60
總成交金額(百萬港元)*	31,547.63	131,631.55
總成交股數(百萬股)	110,826.11	254,155.08
總成交宗數(宗數)	919,402	2,815,024
上市公司市價總值(百萬港元)	266,315.62	326,139.64
平均市盈率(倍)	59.25	84.72
平均週息率(百分率)	0.29	0.42
成交金額(百萬港元)		
最高	831.48 (13/04)	3,481.63 (26/05)
最低	304.58 (10/06)	1,196.33 (22/06)
成交股數(百萬股)		
最高	3,624.49 (31/05)	7,243.12 (26/05)
最低	918.67 (17/06)	2,012.50 (22/06)
總成交宗數(宗數)		
最高	23,074 (19/04)	68,883 (26/05)
最低	9,829 (10/06)	25,252 (22/06)

\* 成交金額包括非港元交易買賣的證券

# 市場概況

## 衍生產品市場

概況	2016年第2季	2015年第2季
<b>所有期貨及期權產品(股票期權除外)</b>		
交易日數	62.0	60.0
總合約成交量	28,895,038	25,348,614
股市指數產品	28,752,850	25,028,935
股票產品	50,158	273,812
利率產品	0	0
人民幣貨幣期貨	81,003	34,390
黃金期貨#	-	0
倫敦金屬期貨小型合約	11,027	11,477
平均每日合約成交量	466,049	422,477
季末未平倉合約	3,020,512	2,150,764
股市指數產品	2,986,499	2,115,487
股票產品	5,648	27,123
利率產品	0	30
人民幣貨幣期貨	28,004	7,583
黃金期貨#	-	-
倫敦金屬期貨小型合約	361	541

# 黃金期貨由2015年5月27日停止買賣

<b>股票期權</b>		
新開辦期權類別	0	3
股票期權數目(於季末數目)		
類別	84	82
系列	29,594	26,326
期權金總額(百萬港元)	8,723.16	30,404.09
認購	3,835.51	17,659.76
認沽	4,887.65	12,744.34
平均每日期權金總額(百萬港元)	140.70	506.73
總合約成交量	18,146,397	31,431,575
認購	8,909,775	17,336,691
認沽	9,236,622	14,094,884
平均每日合約成交量	292,684	523,860
季末未平倉合約	5,550,885	7,635,003
認購	2,591,048	3,880,372
認沽	2,959,837	3,754,631
總成交宗數	718,955	1,267,131
平均每日成交宗數	11,596	21,119
已行使合約總數	2,093,668	3,593,207
每張合約平均期權金(港元)	480.71	967.31
每宗交易平均合約數目	25	25
認沽數量/認購數量	1.04	0.81
平均每日合約成交量/未平倉合約	5.3%	6.9%

<b>所有期貨及期權產品</b>		
總合約成交量	47,041,435	56,780,189
季末未平倉合約	8,571,397	9,785,767

# 市場概況

## 結算及交收

中央結算系統營運資料	2016年第2季	2015年第2季
經中央結算系統處理之聯交所交易(每日平均數)		
- 交易宗數	1,030,032	1,864,260
- 交易金額(十億港元)	62.5	158.1
- 涉及股數(十億股)	191.1	242.3
經中央結算系統處理的交收指示(每日平均數)		
- 交收指示數目	81,388	122,363
- 交收指示涉及金額(十億港元)	183.4	372.6
- 涉及股數(十億股)	47.0	72.5
經中央結算系統處理的投資者交收指示(每日平均數)		
- 交收指示數目	272	716
- 交收指示涉及金額(百萬港元)	169.3	289.2
- 涉及股數(百萬股)	102.8	231.3
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.92	99.96
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
- 涉及經紀數目	3	8
- 補購宗數	3	9
- 補購涉及金額(百萬港元)	2.6	5.7
存放於中央結算系統證券存管處的股份*		
- 股數(十億股)	5,694.1	4,952.2
- 獲納入系統的證券佔已發行股本總數的百分比	73.49	73.78
- 股份價值(十億港元)	14,309.5	17,891.7
- 獲納入系統的證券佔市價總值的百分比	49.13	57.24
衍生產品結算及交收系統營運資料		
季末未平倉合約		
- 股市指數期貨	666,795	515,539
- 股票期貨	5,648	27,123
- 黃金期貨 <sup>^</sup>	-	-
- 利率期貨	0	30
- 人民幣貨幣期貨	28,004	7,583
- 倫敦金屬期貨小型合約	361	541
- 股市指數期權	2,319,704	1,599,948
- 股票期權	5,550,885	7,635,003
累積行使股票期權		
- 涉及股數(百萬股)	2,240	4,201
- 涉及金額(十億港元)	30	74

\* 股數及市價總值包括非港元證券

<sup>^</sup> 黃金期貨由2015年5月27日停止買賣

# 主板 股份

## 股份成交

		股數 (百萬股)	金額 (百萬港元)	成交宗數
2015	第2季	2,486,785.70	6,796,873.72	94,261,256
	第3季	1,241,453.02	4,136,147.76	73,808,111
	第4季	944,007.06	2,888,836.92	56,185,286
2016	第1季	862,976.22	2,639,071.92	55,813,607
	第2季	781,041.26	2,563,892.49	50,058,306

## 股份交易統計

		交易日數	每日平均成交 金額(百萬港元)	平均每宗 交易成交金額
2015	第2季	60	113,281.23	72,107
	第3季	63	65,653.14	56,039
	第4季	63	45,854.55	51,416
2016	第1季	59	44,730.03	47,284
	第2季	62	41,353.10	51,218

# 主板 股份

## 20種升幅最大之股份

2016年第2季

順次	股份代號	股份	收市價		升幅
			2016年6月底	2016年3月底	
1	01130	中國環境資源	0.570	0.210	171.43
2	02277	震昇工程	2.180	0.890	144.94
3	01532	中國派對文化	1.200	0.530	126.42
4	01462	金誠控股	5.480	2.490	120.08
5	00433	北方礦業	0.183	0.087	110.34
6	01082	香港教育國際	0.760	0.375	102.67
7	00975	MONGOL MINING	0.091	0.046	97.83
8	00860	奧立仕控股	0.600	0.315	90.48
9	01768	BRACELL	1.630	0.870	87.36
10	00988	樓東俊安資源	0.800	0.445	79.78
11	00474	昊天發展集團	0.490	0.275	78.18
12	00607	豐盛控股	3.440	1.950	76.41
13	02098	卓爾集團	4.190	2.380	76.05
14	06893	衍生集團	2.430	1.400	73.57
15	01315	允升國際	3.160	1.872	A 68.80
16	00835	亞洲煤業	0.167	0.100	67.00
17	00073	亞洲果業	0.800	0.480	66.67
18	01370	恒實礦業	2.240	1.350	65.93
19	00732	信利國際	3.710	2.250	64.89
20	00540	迅捷環球控股	0.780	0.475	64.21

A 經調整

## 20種跌幅最大之股份

2016年第2季

順次	股份代號	股份	收市價		跌幅
			2016年6月底	2016年3月底	
1	01143	華夏健康產業	0.290	0.875	A -66.86
2	00555	御泰中彩控股	0.153	0.440	-65.23
3	01321	中國新城市	3.990	11.100	-64.05
4	00515	達進東方照明	0.238	0.653	A -63.55
5	00575	勵晶太平洋	0.216	0.550	A -60.73
6	01250	北控清潔能源集團	0.192	0.485	-60.41
7	00827	玖源集團	0.143	0.355	-59.72
8	01459	巨匠建設	2.160	5.000	-56.80
9	00009	九號運通	0.435	0.990	-56.06
10	00274	中富資源	0.035	0.078	A -55.13
11	00585	意馬國際	0.241	0.530	A -54.53
12	03344	互益集團	0.465	1.000	-53.50
13	01217	中國創新投資	0.047	0.100	A -53.00
14	00399	領航醫藥生物科技	0.530	1.100	-51.82
15	01225	隆成金融	0.068	0.137	-50.36
16	02211	大健康國際	0.445	0.850	-47.65
17	00263	高富集團控股	0.300	0.570	-47.37
18	01808	企展控股	0.375	0.700	A -46.43
19	00904	中綠	0.154	0.285	-45.96
20	00997	普匯中金國際	0.330	0.610	-45.90

A 經調整

# 主板 股份

## 20種成交最活躍股份(金額)

2016年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00700	騰訊控股	181,340.14	7.07
2	00005	匯豐控股	104,341.72	4.07
3	00939	建設銀行	88,192.23	3.44
4	00941	中國移動	79,544.26	3.10
5	01398	工商銀行	70,477.32	2.75
6	00388	香港交易所	66,207.97	2.58
7	02318	中國平安	62,972.76	2.46
8	01299	友邦保險	59,024.12	2.30
9	03988	中國銀行	55,014.82	2.15
10	02628	中國人壽	48,388.60	1.89
11	00001	長和	46,322.97	1.81
12	00883	中國海洋石油	39,264.88	1.53
13	02328	中國財險	32,762.18	1.28
14	00386	中國石油化工股份	31,349.23	1.22
15	00857	中國石油股份	30,379.66	1.18
16	00016	新鴻基地產	28,612.75	1.12
17	00688	中國海外發展	25,711.98	1.00
18	03968	招商銀行	24,043.09	0.94
19	01928	金沙中國有限公司	23,037.52	0.90
20	00992	聯想集團	21,808.62	0.85
總額			1,118,796.83	43.64

## 20種成交最活躍股份(股數)

2016年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	00911	恒發洋參	60,793.10	7.78
2	00261	中建置地	53,876.92	6.90
3	00939	建設銀行	17,838.10	2.28
4	03988	中國銀行	17,687.71	2.26
5	01398	工商銀行	16,946.71	2.17
6	00555	御泰中彩控股	16,092.63	2.06
7	00364	坪山茶業	9,827.34	1.26
8	00575	勵晶太平洋	8,162.23	1.05
9	00985	網智金控	7,685.06	0.98
10	01288	農業銀行	7,236.91	0.93
11	00493	國美電器	6,973.02	0.89
12	00981	中芯國際	6,945.05	0.89
13	00619	南華金融	6,721.98	0.86
14	00471	中播控股	6,550.62	0.84
15	00386	中國石油化工股份	5,923.92	0.76
16	00857	中國石油股份	5,666.44	0.73
17	00875	中國金控	5,614.22	0.72
18	01733	永暉控股	5,519.34	0.71
19	01076	博華太平洋	5,219.13	0.67
20	01246	毅信控股	5,186.03	0.66
總數			276,466.44	35.40



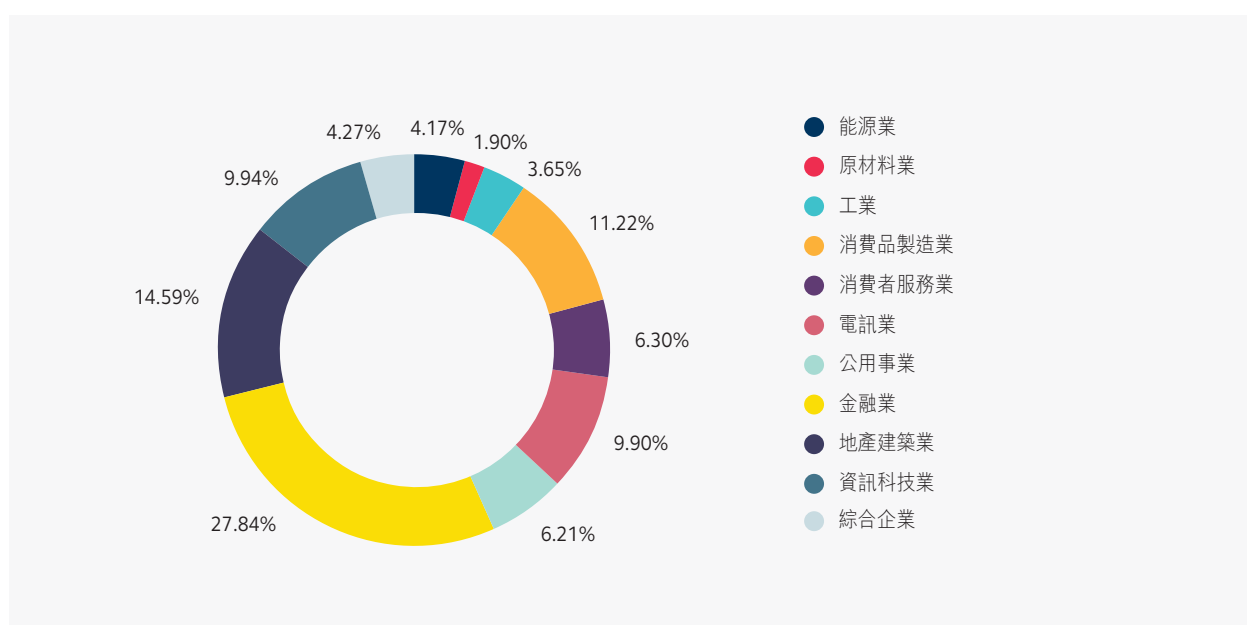
# 主板 股份

## 上市公司市價總值－主板

		百萬港元
2015	第2季	28,754,383.61
	第3季	22,965,987.58
	第4季	24,425,554.96
2016	第1季	23,195,583.34
	第2季	22,796,551.70

## 恒生行業分類系統之證券市價總值\*－主板

季末數字



\* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

# 主板 股份

## 50間市價總值最大之上市公司

截至2016年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)	
1	00941	中國移動有限公司	1,815,151.56	7.96	
2	00700	騰訊控股有限公司	1,656,694.80	7.27	
3	00939	中國建設銀行股份有限公司－H股	1,230,936.68	5.40	
4	00005	匯豐控股有限公司	938,128.93	4.12	
5	01299	友邦保險控股有限公司	558,491.95	2.45	
6	00883	中國海洋石油有限公司	429,508.53	1.88	
7	01398	中國工商銀行股份有限公司－H股	372,346.45	1.63	
8	02378	英國保誠有限公司*	–	336,048.13	N.A.
9	00267	中國中信股份有限公司	328,138.16	1.44	
10	00001	長江和記實業有限公司	326,335.82	1.43	
11	00016	新鴻基地產發展有限公司	269,384.24	1.18	
12	03988	中國銀行股份有限公司－H股	258,392.83	1.13	
13	02318	中國平安保險(集團)股份有限公司－H股	254,334.75	1.12	
14	00011	恒生銀行有限公司	252,936.79	1.11	
15	02388	中銀香港(控股)有限公司	245,288.50	1.08	
16	00688	中國海外發展有限公司	241,584.24	1.06	
17	00066	香港鐵路有限公司	230,507.67	1.01	
18	00388	香港交易及結算所有限公司	228,384.11	1.00	
19	01928	金沙中國有限公司	209,414.93	0.92	
20	00945	Manulife Financial Corporation *	–	205,947.82	N.A.
21	00805	Glencore plc *	–	204,256.44	N.A.
22	00002	中電控股有限公司	199,589.60	0.88	
23	00762	中國聯合網絡通信(香港)股份有限公司	192,055.59	0.84	
24	02888	渣打集團有限公司	188,424.34	0.83	
25	01113	長江實業地產有限公司	186,122.10	0.82	
26	00003	香港中華煤氣有限公司	179,818.98	0.79	
27	01038	長江基建集團有限公司	176,800.09	0.78	
28	03328	交通銀行股份有限公司－H股	171,208.01	0.75	
29	00012	恒基兆業地產有限公司	158,409.02	0.69	
30	00006	電能實業有限公司	151,639.29	0.67	
31	00386	中國石油化工股份有限公司－H股	142,875.26	0.63	
32	00004	九龍倉集團有限公司	142,306.73	0.62	
33	01109	華潤置地有限公司	125,450.01	0.55	
34	00019	太古股份有限公司	125,182.82	0.55	
35	02628	中國人壽保險股份有限公司－H股	123,672.33	0.54	
36	01972	太古地產有限公司	120,217.50	0.53	
37	00857	中國石油天然氣股份有限公司－H股	111,613.18	0.49	
38	00027	銀河娛樂集團有限公司	98,103.82	0.43	
39	00288	萬洲國際有限公司	89,062.40	0.39	
40	01288	中國農業銀行股份有限公司－H股	86,990.87	0.38	
41	00656	復星國際有限公司	86,121.75	0.38	
42	06823	香港電訊有限公司	84,652.08	0.37	
43	02018	瑞聲科技控股有限公司	80,863.80	0.35	
44	00023	東亞銀行有限公司	79,957.98	0.35	
45	03968	招商銀行股份有限公司－H股	79,606.23	0.35	
46	01044	恒安國際集團有限公司	78,482.31	0.34	
47	00083	信和置業有限公司	78,060.11	0.34	
48	02799	中國華融資產管理股份有限公司－H股	76,634.19	0.34	
49	00270	粵海投資有限公司	73,784.82	0.32	
50	00017	新世界發展有限公司	73,696.63	0.32	
<b>總額</b>			<b>13,407,362.77</b>	<b>58.81</b>	
<b>市場總值</b>			<b>22,796,551.70</b>	<b>100.00</b>	

註：

\* 不包括市場總值內

# 主板 股份

## 賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2015	第2季	812,595.30	9,894,068.82	8.21
	第3季	673,434.03	6,395,260.35	10.53
	第4季	467,982.84	4,529,285.89	10.33
2016	第1季	529,347.58	4,288,073.96	12.34
	第2季	488,227.56	3,881,459.36	12.58

\* 包括主板及創業板市場總額

## 賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2015	第2季	66,878.15	14,614,838.29	0.46
	第3季	63,796.36	14,314,202.23	0.45
	第4季	45,874.78	13,299,980.60	0.34
2016	第1季	54,180.01	13,043,825.48	0.42
	第2季	53,429.40	11,848,238.65	0.45

\* 包括主板及創業板市場總股數

## 新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2015	第2季	16	2	108,838.86
	第3季	19	7	26,086.55
	第4季	39	2	105,769.28
2016	第1季	13	1	29,916.67
	第2季	11	0	12,489.33

\* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

# 集資金額為新上市公司首次公開招股的集資總額

# 主板 股份

## 新上市公司

2016年第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
01419	盈健醫療集團有限公司	2016/04/01	361,502,000	121.69
01570	偉業控股有限公司	2016/04/06	196,133,152	-
01496	亞積邦租賃控股有限公司	2016/04/08	864,000,000	113.40
01469	結好金融集團有限公司	2016/04/08	2,500,000,000	507.55
01982	南旋控股有限公司	2016/04/12	2,075,000,000	690.00
01243	宏安地產有限公司	2016/04/12	1,520,000,000	349.60
02738	華津國際控股有限公司	2016/04/15	600,000,000	357.00
03626	Hang Sang (Siu Po) International Holding Co. Ltd.	2016/05/18	184,000,000	62.56
01585	雅迪集團控股有限公司	2016/05/19	3,000,000,000	1,290.00
02588	中銀航空租賃有限公司	2016/06/01	694,010,334	8,744.53
01420	川控股有限公司	2016/06/08	1,037,500,000	253.00
<b>總額</b>				<b>12,489.33</b>

# 主板 股份

## 除牌上市公司

2016年第2季

股份代號	公司名稱	最後買賣日期	除牌日期
03386	東鵬控股股份有限公司	2016/06/14	2016/06/23

# 主板 股份

## 公司名稱更改

2016年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
01037	台和商事控股有限公司	雲智匯科技服務有限公司	2016/03/02	2016/04/15
01178	天年生物控股有限公司	天年集團有限公司	2016/03/18	2016/04/15
00404	新昌營造集團有限公司	新昌集團控股有限公司	2016/03/14	2016/04/20
01462	雅駿控股有限公司	金誠控股有限公司	2016/04/01	2016/04/21
01332	中國光電控股集團有限公司	中國新進控股有限公司	2016/03/30	2016/04/22
00577	路易十三集團有限公司	十三集團有限公司	2016/03/28	2016/05/03
00419	中國9號健康產業有限公司	華誼騰訊娛樂有限公司	2016/04/22	2016/05/13
00009	長和國際實業集團有限公司	九號運通有限公司	2016/04/07	2016/05/19
00616	永義實業集團有限公司	高山企業有限公司	2016/03/04	2016/06/01
01678	中國創意家居集團有限公司	中創環球控股有限公司	2016/05/11	2016/06/03
00164	御濠娛樂控股有限公司	中國寶力科技控股有限公司	2016/04/26	2016/06/03
00985	中科礦業集團有限公司	網智金控集團有限公司	2016/05/17	2016/06/06
01822	宜租互聯網租車有限公司	弘達金融控股有限公司	2016/05/12	2016/06/08
03966	馬仕達國際控股有限公司	中國寶豐(國際)有限公司	2016/05/12	2016/06/10
03377	遠洋地產控股有限公司	遠洋集團控股有限公司	2016/05/26	2016/06/14
02098	卓爾發展集團有限公司	卓爾集團股份有限公司	2016/05/20	2016/06/15
00777	網龍網絡有限公司	網龍網絡控股有限公司	2016/05/23	2016/06/17
00668	東原地產控股有限公司	東銀國際控股有限公司	2016/06/10	2016/06/20
00583	南華早報集團有限公司	南潮控股有限公司	2016/04/05	2016/06/20
00230	五礦建設有限公司#	五礦地產有限公司	2016/05/31	2016/06/24
01803	瀚洋物流控股有限公司	北京體育文化產業集團有限公司	2016/05/25	2016/06/30
00953	美克國際控股有限公司	邵氏兄弟控股有限公司	2016/05/11	2016/06/30

# 祇改中文名稱

# 主板 股份

## 紅股／紅利認股權證

2016年第2季

股份代號	公司	詳情	除淨日
00131	卓能(集團)有限公司	35股送1份認股權證	2016/04/07
00265	東勝中國控股有限公司	7股送1份認股權證	2016/04/18
01733	永暉實業控股股份有限公司	每供3股送9股股份	2016/05/19
00771	自動系統集團有限公司	10送1	2016/05/23
02009	北京金隅股份有限公司－H股	10送10	2016/05/24
02342	京信通信系統控股有限公司	10送1	2016/05/31
00599	怡邦行控股有限公司	1送1	2016/06/01
00012	恒基兆業地產有限公司	10送1	2016/06/06
00003	香港中華煤氣有限公司	10送1	2016/06/10
02341	中怡國際集團有限公司	10送2	2016/06/13
01223	新豐集團有限公司	5股送1份認股權證	2016/06/14
00096	友成控股有限公司	1送1	2016/06/27
01389	美捷滙控股有限公司	2送3	2016/06/28

## 股份拆細及合併

2016年第2季

股份代號	公司	詳情	生效日期
01143	華夏健康產業集團有限公司	1股分拆為10股	2016/04/01
00055	中星集團控股有限公司	10股合併為1股	2016/04/05
00397	君陽金融控股有限公司	10股合併為1股	2016/04/06
00274	中富資源有限公司	2股合併為1股	2016/04/19
01027	中國集成控股有限公司	1股分拆為5股	2016/04/26
01315	允升國際控股有限公司	1股分拆為5股	2016/05/03
03638	晶芯科技控股有限公司	1股分拆為12股	2016/05/06
00704	和嘉資源控股有限公司	5股合併為1股	2016/05/12
00735	中國電力新能源發展有限公司	10股合併為1股	2016/05/13
01733	永暉實業控股股份有限公司	20股合併為1股	2016/05/18
00070	海王國際集團有限公司	10股合併為1股	2016/05/23
02212	高鵬礦業控股有限公司	1股分拆為10股	2016/05/27
00585	意馬國際控股有限公司	5股合併為1股	2016/05/31
00575	勵晶太平洋集團有限公司	10股合併為1股	2016/06/10
01246	毅信控股有限公司	25股合併為1股	2016/06/15
00471	中國移動多媒體廣播控股有限公司	10股合併為1股	2016/06/21
01808	企展控股有限公司	10股合併為1股	2016/06/30

# 主板 股份

## 供股／公開售股

2016年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
4月	00527	中國瑞風新能源控股有限公司	公開售股5供1，每股供0.75港元	224.89
	00471	中國移動多媒體廣播控股有限公司	股權1供1，每股供0.10港元 另每供1股送1股股份	625.32
	00298	莊士中國投資有限公司	股權2供1，每股供0.28港元	226.96
	01613	協同通信集團有限公司	股權1供1，每股供0.125港元 (5股合併為1股後)	209.30
	00275	錦興集團有限公司	股權1供8，每股供0.25港元 (10股合併為1股後)	1,123.71
	5月	00959	奧瑪仕國際控股有限公司	公開售股2供1，每股供0.20港元
00393		旭日企業有限公司	股權2供1，每股供0.90港元	460.83
00186		嘉域集團有限公司	公開售股2供5，每股供0.087港元	100.10
00274		中富資源有限公司	公開售股1供2，每股供0.03港元	157.06
00058		新威國際控股有限公司	公開售股2供3，每股供0.08港元	209.49
6月	01217	中國創新投資有限公司	公開售股2供1，每股供0.05港元	213.36
	01733	永暉實業控股股份有限公司	股權1供3(合併後)及 9股反攤薄股份@HKD 0.69 (20股合併為1股後)	390.53
	00070	海王國際集團有限公司	公開售股2供1，每股供0.42港元 (10股合併為1股後)	96.94
	02343	太平洋航運集團有限公司	股權1供1，每股供0.60港元	1,168.09
	01192	泰山石化集團有限公司	公開售股3供1，每股供0.10港元	260.69
	02178	百勤油田服務有限公司	股權10供3，每股供0.31港元	123.52
<b>總額</b>				<b>5,623.58</b>



# 主板 股份

## 股份配售\*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)	
2016						
4月	00863	品牌中國	4,960,885	2.4200	12.01	
	01194	中國貴金屬	3,404,845,782	0.2300	783.11	
	00362	中國天化工	138,000,000	0.3150	43.47	
	00164	中國寶力科技	25,000,000,000	0.0320	800.00	
	00875	中國金控	719,696,968	0.0990	71.25	
	00875	中國金控	420,000,000	0.0980	41.16	
	00479	華建控股	602,500,000	0.1000	60.25	
	00707	協盛協豐	900,000,000	0.2000	180.00	
	01808	企展控股	696,540,000	0.0570	39.70	
	06108	新銳醫藥	57,840,000	0.4000	23.14	
	00850	中亞能源	1,333,332,000	0.1300	173.33	
	00243	QPL INT'L	875,000,000	0.3200	280.00	
	00953	邵氏兄弟控股	235,000,000	1.0000	235.00	
	01080	勝利管道	545,727,600	0.2800	152.80	
	02012	陽光油砂	88,234,000	0.3400	30.00	
	01046	寰宇國際	29,625,000	0.7790	23.08	
	00710	精電	400,000,000	3.5000	1,400.00	
	5月	01338	霸王集團	250,000,000	0.3100	77.50
		06828	藍天威力	2,155,555,555	0.4500	970.00
01381		粵豐環保	34,235,294	3.4000	116.40	
02312		中國金融租賃	50,000,000	0.5800	29.00	
01380		中國金石	1,080,000,000	0.1000	108.00	
00711		中國城建集團股份	72,000,000	1.2500	90.00	
01130		中國環境資源	282,856,352	0.1650	46.67	
00717		英皇證券	380,000,000	0.6600	250.80	
00108		國銳地產	105,578,910	1.0000	105.58	
00911		恒發洋參	40,000,000,000	0.0100	400.00	
00033		和協海峽金融集團	1,000,000,000	0.2000	200.00	
00197		亨泰	150,000,000	0.3400	51.00	
00397		君陽金融	391,800,000	0.2380	93.25	
00663		金山能源	3,000,000,000	0.1390	417.00	
01499		前進控股集團	168,000,000	0.5000	84.00	
00254		國家聯合資源	496,000,000	0.1650	81.84	
01020		華耐控股	409,000,000	0.1660	67.89	
01141		天順證券	1,450,000,000	0.1800	261.00	
01166		星凱控股	326,560,000	0.1950	63.68	
00223		神州資源	60,000,000	0.1640	9.84	
00365		日東科技	730,000,000	0.4000	292.00	
01070		TCL多媒體	348,850,000	6.5000	2,267.53	
00539		冠華國際控股	500,000,000	0.5200	260.00	
03331		維達國際	30,000,000	13.2500	397.50	

# 主板 股份

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
6月	01305	偉志控股	16,825,000	2.0000	33.65
	00183	宏輝集團	420,000,000	0.1500	63.00
	00185	正恒國際控股	795,400,000	0.2000	159.08
	00030	萬隆控股集團	190,110,000	0.0900	17.11
	00996	嘉年華國際	725,000,000	0.9500	688.75
	02349	中國城市基礎設施	262,000,000	0.5000	131.00
	03311	中國建築國際	431,824,639	11.1400	4,810.53
	02277	震昇工程	206,000,000	0.9000	185.40
	00080	中國新經濟投資	185,436,000	0.1400	25.96
	01240	青建國際	110,000,000	2.4500	269.50
	00547	數字王國	700,000,000	0.5000	350.00
	02662	奕達	108,734,000	1.1000	119.61
	01175	福記食品服務	450,510,000	0.5400	243.28
	00905	環球大通投資	84,000,000	0.4200	35.28
	06893	衍生集團	280,000,000	1.1800	330.40
	02226	老恒和釀造	60,000,000	6.0000	360.00
	00585	意馬國際	505,352,508	0.2300	116.23
	01495	集一家居	72,000,000	0.7800	56.16
	00798	光谷聯合	2,941,469,917	0.8000	2,353.18
	00482	聖馬丁國際	75,000,000	0.4000	30.00
	01616	銀仕來控股	88,105,000	2.5000	220.26
	00059	天譽置業	400,000,000	0.4800	192.00
	01430	蘇創燃氣	36,688,000	2.5500	93.55
	02012	陽光油砂	58,871,000	0.3400	20.02
	02012	陽光油砂	53,333,333	0.7500	40.00
	00515	達進東方照明	106,147,960	0.1980	21.02
	00334	TCL顯示科技	258,224,000	0.6200	160.10
	01192	泰山石化	2,600,000,000	0.1000	260.00
<b>總額</b>					<b>22,473.84</b>

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

# 主板 認股權證

## 認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2015	第2季	1,727.22	1,721,585.57	1,723,312.78
	第3季	723.63	1,090,657.45	1,091,381.08
	第4季	242.28	794,250.41	794,492.69
2016	第1季	92.83	915,484.74	915,577.57
	第2季	64.04	677,965.49	678,029.53

## 認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)	
		數目	數目	數目	總數		
2015	第2季	14	4,383.11	5,680	197,036.57	5,694	201,419.68
	第3季	13	2,472.60	5,633	84,057.90	5,646	86,530.49
	第4季	12	1,836.69	4,590	60,433.79	4,602	62,270.48
2016	第1季	12	1,444.55	4,184	58,444.35	4,196	59,888.90
	第2季	11	1,018.90	4,070	51,518.27	4,081	52,537.17

## 新上市統計—認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2015	第2季	2	2,124	68,662.15
	第3季	1	1,552	46,018.14
	第4季	2	1,162	27,608.36
2016	第1季	2	1,276	31,890.47
	第2季	2	1,045	21,652.05

## 新上市股份認股權證

2016年第2季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01457	卓能(集團)有限公司2017年認股權證	60,837,230	2016/04/25	2017/04/22	-
01479	東勝中國控股有限公司2017年認股權證	305,852,970	2016/05/05	2017/05/03	-
總額					-

# 主板 認股權證

## 新上市衍生權證

2016年第二季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27075	Credit Suisse AG - CKI Holdings European Warrants Oct 2016 A	30,000,000	2016/04/01	2016/10/04	12.90
27073	Credit Suisse AG - Ch Overseas European Warrants Oct 2016 A	50,000,000	2016/04/01	2016/10/04	12.50
27071	Credit Suisse AG - HKEX European Warrants Feb 2017 A	150,000,000	2016/04/01	2017/02/28	22.50
27072	Credit Suisse AG - HKEX European Warrants Mar 2017 A	150,000,000	2016/04/01	2017/03/03	37.50
27070	Credit Suisse AG - Tencent Holdings European Wts Dec 2016 B	150,000,000	2016/04/01	2016/12/30	22.50
27059	HK Bank - CGS European Warrants Nov 2016 A	80,000,000	2016/04/01	2016/11/30	12.00
27064	HK Bank - China Life European Warrants Oct 2016 A	80,000,000	2016/04/01	2016/10/31	12.00
27060	HK Bank - China Life European Warrants Dec 2016 B	80,000,000	2016/04/01	2016/12/30	20.00
27062	HK Bank - China Mobile European Warrants Sep 2016 A	50,000,000	2016/04/01	2016/09/30	15.00
27061	HK Bank - Ping An European Warrants Sep 2016 D	50,000,000	2016/04/01	2016/09/30	15.00
27082	J P Morgan SP BV - BOCL European Warrants Feb 2017 A	100,000,000	2016/04/01	2017/02/01	25.10
27078	J P Morgan SP BV - China Mob European Wts Oct 2016 B	100,000,000	2016/04/01	2016/10/03	29.00
27076	J P Morgan SP BV - HSCEI European Wts Nov 2016 A	200,000,000	2016/04/01	2016/11/29	50.00
27077	J P Morgan SP BV - HSI European Warrants Oct 2016 D	300,000,000	2016/04/01	2016/10/28	75.90
27081	J P Morgan SP BV - Kingsoft European Warrants Oct 2016 A	60,000,000	2016/04/01	2016/10/03	15.18
27080	J P Morgan SP BV - Tencent European Warrants Apr 2017 A	100,000,000	2016/04/01	2017/04/03	15.20
27058	Macquarie Bank Ltd. - Tencent European Warrants Oct 2016 F	34,000,000	2016/04/01	2016/10/04	10.03
27065	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Oct 2016 C	100,000,000	2016/04/01	2016/10/05	25.00
27066	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Mar 2017 A	100,000,000	2016/04/01	2017/03/03	25.50
27067	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2016 B	150,000,000	2016/04/01	2016/12/05	37.50
27068	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jun 2017 A	150,000,000	2016/04/01	2017/06/05	37.50
27069	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Apr 2017 A	100,000,000	2016/04/01	2017/04/06	25.00
27094	BOCI Asia Ltd. - HKEX European Warrants Feb 2017 A	200,000,000	2016/04/05	2017/02/27	50.00
27095	BOCI Asia Ltd. - Ping An European Warrants Oct 2016 B	200,000,000	2016/04/05	2016/10/18	50.00
27096	Credit Suisse AG - Tencent Holdings European Wts Feb 2017 B	150,000,000	2016/04/05	2017/02/27	25.50
27098	Goldman Sachs SP (Asia) - Evergrande European Wts Oct 2016 A	70,000,000	2016/04/05	2016/10/17	10.50
27097	Goldman Sachs SP (Asia) - SHK Ppt European Put Wt Oct 2016 A	20,000,000	2016/04/05	2016/10/06	10.34
27093	HK Bank - Agricultural Bank European Warrants Feb 2017 A	80,000,000	2016/04/05	2017/02/28	12.00
27092	HK Bank - BOCL European Warrants Feb 2017 A	80,000,000	2016/04/05	2017/02/28	12.00
27090	HK Bank - Sinopec Corp European Warrants Oct 2016 A	60,000,000	2016/04/05	2016/10/04	24.00
27091	HK Bank - Kingsoft European Warrants Dec 2016 A	50,000,000	2016/04/05	2016/12/30	15.00
27121	Credit Suisse AG - CGS European Warrants Dec 2016 A	70,000,000	2016/04/06	2016/12/02	10.50
27126	Credit Suisse AG - CITIC Sec European Warrants Oct 2016 A	70,000,000	2016/04/06	2016/10/05	17.50
27125	Credit Suisse AG - CITIC Sec European Warrants Dec 2016 A	70,000,000	2016/04/06	2016/12/30	17.50
27128	Credit Suisse AG - CITIC Sec European Put Warrants Oct 2016B	60,000,000	2016/04/06	2016/10/05	15.00
27123	Credit Suisse AG - GF Sec European Warrants Oct 2016 A	50,000,000	2016/04/06	2016/10/05	12.50
27131	Goldman Sachs SP (Asia) - Fosun Intl European Wts Feb 2017 A	60,000,000	2016/04/06	2017/02/28	15.00
27130	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Dec 2016 A	80,000,000	2016/04/06	2016/12/30	21.68
27129	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2016 G	200,000,000	2016/04/06	2016/07/28	30.40
27114	HK Bank - HSCEI European Put Warrants Oct 2016 A	180,000,000	2016/04/06	2016/10/28	27.00
27103	HK Bank - HSCEI European Put Warrants Dec 2016 D	180,000,000	2016/04/06	2016/12/29	28.80
27111	HK Bank - HSI European Put Warrants Sep 2016 H	180,000,000	2016/04/06	2016/09/29	27.00

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27112	HK Bank - HSI European Put Warrants Sep 2016 I	180,000,000	2016/04/06	2016/09/29	27.00
27110	HK Bank - Lenovo European Warrants Apr 2018 A	100,000,000	2016/04/06	2018/04/27	15.00
27109	HK Bank - PetroChina European Warrants Oct 2016 A	50,000,000	2016/04/06	2016/10/31	22.50
27099	SG Issuer - China Overseas European Warrants Jan 2017 A	40,000,000	2016/04/06	2017/01/05	10.00
27120	SG Issuer - CRRC European Warrants Jul 2017 A	100,000,000	2016/04/06	2017/07/05	25.00
27115	SG Issuer - Li & Fung European Warrants Dec 2016 A	25,000,000	2016/04/06	2016/12/05	10.00
27100	SG Issuer - Stanchart European Warrants Dec 2016 A	25,000,000	2016/04/06	2016/12/05	10.00
27152	Credit Suisse AG - Tencent Holdings Euro Put Wts Dec 2017 A	100,000,000	2016/04/07	2017/12/27	18.00
27133	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Jul 2016 C	80,000,000	2016/04/07	2016/07/11	20.80
27157	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2016 A	200,000,000	2016/04/07	2016/10/28	50.20
27153	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2016 H	200,000,000	2016/04/07	2016/07/28	30.20
27139	HK Bank - BYD European Warrants Sep 2017 A	100,000,000	2016/04/07	2017/09/29	16.00
27138	HK Bank - China Railway European Warrants Oct 2017 A	100,000,000	2016/04/07	2017/10/30	15.00
27136	Haitong Int'l Sec - C Transmission European Wts Jan 2018 A	50,000,000	2016/04/07	2018/01/18	12.50
27134	Haitong Int'l Sec - Sinopec Corp European Wts Sep 2016 A	68,000,000	2016/04/07	2016/09/26	10.20
27137	Haitong Int'l Sec - Tencent European Warrants Apr 2017 A	80,000,000	2016/04/07	2017/04/03	12.00
27140	SG Issuer - CKH European Warrants Nov 2016 A	150,000,000	2016/04/07	2016/11/03	22.50
27141	SG Issuer - Galaxy Ent European Warrants Dec 2016 A	80,000,000	2016/04/07	2016/12/05	20.00
27142	SG Issuer - Galaxy European Put Warrants Nov 2016 D	80,000,000	2016/04/07	2016/11/03	28.80
27145	SG Issuer - Haitong Sec European Warrants Feb 2017 A	60,000,000	2016/04/07	2017/02/03	13.50
27151	SG Issuer - Tencent European Put Warrants Nov 2017 A	500,000,000	2016/04/07	2017/11/03	90.00
27171	BOCI Asia Ltd. - CKP European Warrants Dec 2016 A	100,000,000	2016/04/08	2016/12/05	43.80
27169	BOCI Asia Ltd. - Tencent Holdings European Put Wts Feb 2017 C	100,000,000	2016/04/08	2017/02/09	15.00
27192	Credit Suisse AG - CC Bank European Warrants Dec 2016 A	80,000,000	2016/04/08	2016/12/30	14.40
27159	Credit Suisse AG - China Life European Warrants Nov 2016 C	80,000,000	2016/04/08	2016/11/02	12.00
27168	Credit Suisse AG - HSBC European Wts Oct 2016 D	120,000,000	2016/04/08	2016/10/31	30.00
27190	Goldman Sachs SP (Asia) - Agri Bk European Wts Feb 2017 A	80,000,000	2016/04/08	2017/02/28	14.24
27191	Goldman Sachs SP (Asia) - AIA European Warrants Sep 2016 A	80,000,000	2016/04/08	2016/09/30	12.64
27180	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2016 C	80,000,000	2016/04/08	2016/08/01	12.56
27174	HK Bank - CC Bank European Warrants Nov 2016 A	80,000,000	2016/04/08	2016/11/30	20.00
27173	HK Bank - Geely Auto European Warrants Dec 2016 A	40,000,000	2016/04/08	2016/12/30	22.00
27177	HK Bank - HSI European Warrants Oct 2016 A	180,000,000	2016/04/08	2016/10/28	45.00
27175	HK Bank - ICBC European Warrants Oct 2016 A	80,000,000	2016/04/08	2016/10/31	20.00
27186	Macquarie Bank Ltd. - HKEX European Warrants Mar 2017 B	67,000,000	2016/04/08	2017/03/02	10.05
27178	SG Issuer - CCB European Warrants Nov 2016 A	100,000,000	2016/04/08	2016/11/03	25.00
27181	SG Issuer - CMob European Put Warrants Nov 2016 A	80,000,000	2016/04/08	2016/11/03	20.80
27183	SG Issuer - COVS European Put Warrants Nov 2016 B	40,000,000	2016/04/08	2016/11/03	10.60
27185	SG Issuer - CP&CC European Put Warrants Nov 2016 A	80,000,000	2016/04/08	2016/11/03	21.20
27184	SG Issuer - HKEX European Put Warrants Jan 2017 B	200,000,000	2016/04/08	2017/01/05	39.00
27179	SG Issuer - ICBC European Warrants Dec 2016 A	100,000,000	2016/04/08	2016/12/05	25.00
27189	UBS AG - Galaxy Ent European Warrants Oct 2016 B	70,000,000	2016/04/08	2016/10/11	21.70
27187	UBS AG - HKEX European Warrants Feb 2017 A	300,000,000	2016/04/08	2017/02/23	60.00
27188	UBS AG - HSI European Put Warrants Jul 2016 C	300,000,000	2016/04/08	2016/07/28	69.30
27194	Haitong Int'l Sec - China Mobile European Warrants Dec 2016A	50,000,000	2016/04/11	2016/12/29	12.50

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27201	Haitong Int'l Sec - China Shenhua European Warrants Dec 16 A	68,000,000	2016/04/11	2016/12/29	10.20
27197	Haitong Int'l Sec - CTEG European Warrants Jan 2017 A	30,000,000	2016/04/11	2017/01/18	12.30
27193	Haitong Int'l Sec - Galaxy Ent European Warrants Nov 2016 A	50,000,000	2016/04/11	2016/11/28	12.50
27200	Haitong Int'l Sec - Haitong Sec European Warrants Jan 2017 A	50,000,000	2016/04/11	2017/01/27	12.50
27221	Macquarie Bank Ltd. - Sinopec Corp European Wts Nov 2016 B	40,000,000	2016/04/11	2016/11/02	10.00
27222	Macquarie Bank Ltd. - HSBC European Warrants Oct 2016 B	55,000,000	2016/04/11	2016/10/04	10.01
27204	Macquarie Bank Ltd. - Kingsoft European Warrants Dec 2016 A	40,000,000	2016/04/11	2016/12/02	10.00
27203	Macquarie Bank Ltd. - Lenovo European Warrants Nov 2016 A	15,000,000	2016/04/11	2016/11/02	10.01
27206	SG Issuer - AGBK European Warrants Dec 2016 A	100,000,000	2016/04/11	2016/12/05	15.00
27207	SG Issuer - CCB European Warrants Mar 2017 A	100,000,000	2016/04/11	2017/03/03	15.00
27208	SG Issuer - ICBC European Warrants May 2017 A	100,000,000	2016/04/11	2017/05/04	16.00
27214	SG Issuer - Tencent European Warrants Mar 2017 A	500,000,000	2016/04/11	2017/03/03	75.00
27218	SG Issuer - Tencent European Warrants Jun 2017 A	500,000,000	2016/04/11	2017/06/05	75.00
27244	BNP Paribas Arbit Issu B.V. - AIA European Wts Jun 2017 A	40,000,000	2016/04/12	2017/06/02	16.00
27247	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2016 B	70,000,000	2016/04/12	2016/11/02	11.90
27249	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Aug 2017 A	70,000,000	2016/04/12	2017/08/02	19.60
27246	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2016 B	40,000,000	2016/04/12	2016/11/02	10.40
27245	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jun 2017 A	40,000,000	2016/04/12	2017/06/02	12.00
27257	Credit Suisse AG - ICBC European Warrants Feb 2017 A	80,000,000	2016/04/12	2017/02/28	12.00
27235	Credit Suisse AG - Lenovo European Warrants May 2018 A	150,000,000	2016/04/12	2018/05/03	22.50
27272	Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2016 C	100,000,000	2016/04/12	2016/09/30	15.10
27270	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2016 D	100,000,000	2016/04/12	2016/08/31	20.90
27266	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2016 E	100,000,000	2016/04/12	2016/09/29	20.10
27263	Goldman Sachs SP (Asia) - HSBC European Put Wts Nov 2016 A	80,000,000	2016/04/12	2016/11/07	20.40
27271	Goldman Sachs Sp (Asia) - ICBC European Warrants Sep 2016 B	100,000,000	2016/04/12	2016/09/30	23.90
27264	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2016 E	100,000,000	2016/04/12	2016/07/29	16.00
27232	Haitong Int'l Sec - Avichina European Warrants Sep 2017 A	60,000,000	2016/04/12	2017/09/29	15.00
27234	Haitong Int'l Sec - China Life European Warrants Dec 2016 A	68,000,000	2016/04/12	2016/12/21	10.20
27225	Haitong Int'l Sec - PetroChina European Warrants Oct 2016 A	30,000,000	2016/04/12	2016/10/18	12.30
27230	Haitong Int'l Sec - Tencent European Put Warrants Jan 2017 A	68,000,000	2016/04/12	2017/01/24	10.20
27231	Haitong Int'l Sec - Goldwind European Warrants Mar 2017 A	40,000,000	2016/04/12	2017/03/31	12.40
27258	J P Morgan SP BV - CC Bank European Wts Jan 2017 A	100,000,000	2016/04/12	2017/01/03	25.10
27262	J P Morgan SP BV - China Life European Warrants Dec 2016 B	100,000,000	2016/04/12	2016/12/30	15.10
27261	J P Morgan SP BV - China Mob European Put Wts Dec 2016 B	100,000,000	2016/04/12	2016/12/01	25.60
27240	J P Morgan SP BV - HSI European Warrants Nov 2016 A	300,000,000	2016/04/12	2016/11/29	75.00
27253	Macquarie Bank Ltd. - China Mobile European Wts Dec 2016 A	40,000,000	2016/04/12	2016/12/02	10.00
27254	Macquarie Bank Ltd. - Ping An European Warrants Feb 2017 A	40,000,000	2016/04/12	2017/02/02	10.00
27256	Macquarie Bank Ltd. - Ping An European Warrants Apr 2017 C	40,000,000	2016/04/12	2017/04/04	10.00
27242	SG Issuer - CAM CSI300 European Warrants Feb 2017 A	50,000,000	2016/04/12	2017/02/03	12.50
27236	SG Issuer - BCom European Warrants Dec 2016 A	25,000,000	2016/04/12	2016/12/05	10.00
27238	SG Issuer - CITBK European Warrants Feb 2017 A	28,000,000	2016/04/12	2017/02/03	10.36
27252	SG Issuer - CP&CC European Warrants Nov 2016 B	60,000,000	2016/04/12	2016/11/03	24.90
27243	SG Issuer - HS H-SHARE European Warrants Nov 2016 A	50,000,000	2016/04/12	2016/11/03	19.50
27239	SG Issuer - Petch European Warrants Nov 2016 B	60,000,000	2016/04/12	2016/11/03	24.60

# 主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27241	UBS AG - Sinopec Corp European Warrants Aug 2016 A	50,000,000	2016/04/12	2016/08/26	10.85
27279	BOCI Asia Ltd. - CGS European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/05	15.00
27277	BOCI Asia Ltd. - CITIC Sec European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/12	28.80
27273	BOCI Asia Ltd. - HSBC European Warrants Oct 2016 A	200,000,000	2016/04/13	2016/10/17	50.00
27280	BOCI Asia Ltd. - HSI European Warrants Oct 2016 B	100,000,000	2016/04/13	2016/10/28	15.00
27275	BOCI Asia Ltd. - Kingsoft European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/19	34.90
27285	Credit Suisse AG - HKEX European Warrants Dec 2016 B	150,000,000	2016/04/13	2016/12/12	22.50
27289	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2016 B	100,000,000	2016/04/13	2016/10/31	15.50
27290	Goldman Sachs SP (Asia) - China Life Euro Put Wts Nov 2016 A	80,000,000	2016/04/13	2016/11/30	14.64
27287	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2016 F	100,000,000	2016/04/13	2016/09/29	29.00
27286	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2016 H	200,000,000	2016/04/13	2016/08/30	32.20
27293	J P Morgan SP BV - HSBC European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/05	25.20
27298	J P Morgan SP BV - HSBC European Put Warrants Dec 2016 B	100,000,000	2016/04/13	2016/12/30	25.10
27296	J P Morgan SP BV - Ping An European Warrants Dec 2016 B	100,000,000	2016/04/13	2016/12/30	25.10
27281	Macquarie Bank Ltd. - AAC Acoustic European Wts Mar 2017 A	9,500,000	2016/04/13	2017/03/16	10.00
27282	Macquarie Bank Ltd. - CC Bank European Warrants Feb 2017 A	40,000,000	2016/04/13	2017/02/02	10.00
27283	UBS AG - HSBC European Warrants Nov 2016 B	100,000,000	2016/04/13	2016/11/21	50.00
27284	UBS AG - HSI European Put Warrants Jul 2016 D	300,000,000	2016/04/13	2016/07/28	45.00
27308	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Dec 2016 A	40,000,000	2016/04/14	2016/12/02	10.00
27306	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Dec 2018 A	80,000,000	2016/04/14	2018/12/03	12.00
27305	BNP Paribas Arbit Issu B.V. - HSBC European Wts Oct 2016 B	50,000,000	2016/04/14	2016/10/04	10.00
27324	Credit Suisse AG - HSBC European Wts Nov 2016 A	120,000,000	2016/04/14	2016/11/04	45.60
27325	Credit Suisse AG - HSBC European Put Warrants Nov 2016 B	60,000,000	2016/04/14	2016/11/04	15.00
27299	Macquarie Bank Ltd. - CNOOC European Put Warrants Dec 2016 B	18,000,000	2016/04/14	2016/12/02	10.03
27319	Macquarie Bank Ltd. - ZTE European Warrants Dec 2016 A	39,000,000	2016/04/14	2016/12/19	10.02
27300	SG Issuer - CITIC European Warrants Aug 2017 A	80,000,000	2016/04/14	2017/08/03	12.80
27309	SG Issuer - CKP European Warrants Dec 2016 A	50,000,000	2016/04/14	2016/12/05	20.00
27310	SG Issuer - ChiUnicom European Warrants Dec 2017 B	100,000,000	2016/04/14	2017/12/05	25.00
27320	SG Issuer - HSBC European Warrants Nov 2016 A	100,000,000	2016/04/14	2016/11/03	29.50
27321	SG Issuer - HSI European Warrants Oct 2016 A	150,000,000	2016/04/14	2016/10/28	22.50
27314	SG Issuer - Ping An European Warrants Oct 2016 B	120,000,000	2016/04/14	2016/10/05	23.40
27315	SG Issuer - Ping An European Warrants Mar 2017 B	120,000,000	2016/04/14	2017/03/03	30.00
27316	SG Issuer - ZTE European Warrants Dec 2016 A	50,000,000	2016/04/14	2016/12/05	12.50
27341	Credit Suisse AG - HSI European Warrants Dec 2016 A	120,000,000	2016/04/15	2016/12/29	18.00
27337	Credit Suisse AG - ICBC European Warrants Nov 2016 A	40,000,000	2016/04/15	2016/11/04	10.00
27343	Goldman Sachs SP (Asia) - Ping An European Wts Sep 2016 B	100,000,000	2016/04/15	2016/09/30	20.90
27346	Goldman Sachs SP (Asia) - Ping An European Wts Sep 2016 C	100,000,000	2016/04/15	2016/09/26	22.70
27330	Haitong Int'l Sec - China Dongxiang European Warrants Mar17A	50,000,000	2016/04/15	2017/03/30	15.00
27333	Haitong Int'l Sec - China East Air European Warrants Apr18 A	68,000,000	2016/04/15	2018/04/25	10.20
27331	Haitong Int'l Sec - China Railway European Warrants Oct 16 A	35,000,000	2016/04/15	2016/10/31	20.65
27329	Haitong Int'l Sec - FDG Evehicles European Warrants Oct 18 A	50,000,000	2016/04/15	2018/10/18	12.50
27328	Haitong Int'l Sec - HSBC European Warrants Sep 2016 A	68,000,000	2016/04/15	2016/09/29	10.20
27342	J P Morgan SP BV - China Life European Warrants Dec 2016 C	100,000,000	2016/04/15	2016/12/05	15.10
27336	Macquarie Bank Ltd. - Chinas Cement European Wt Jun 2017 A	40,000,000	2016/04/15	2017/06/15	10.00

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27326	Macquarie Bank Ltd. - Tencent European Warrants Nov 2016 A	40,000,000	2016/04/15	2016/11/02	10.00
27334	SG Issuer - HSBC European Put Warrants Nov 2016 B	100,000,000	2016/04/15	2016/11/03	25.00
27349	BOCI Asia Ltd. - Tencent Holdings European Wts Apr 2017 A	200,000,000	2016/04/18	2017/04/10	30.00
27351	BNP Paribas Arbit Issu B.V. - HSI European Wts Oct 2016 A	100,000,000	2016/04/18	2016/10/28	15.00
27352	BNP Paribas Arbit Issu B.V. - HSI European Wts Dec 2016 A	100,000,000	2016/04/18	2016/12/29	26.00
27356	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Oct 2016 B	100,000,000	2016/04/18	2016/10/28	25.00
27358	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2016 B	100,000,000	2016/04/18	2016/12/29	25.00
27381	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Nov 2016 A	100,000,000	2016/04/18	2016/11/30	25.00
27370	Goldman Sachs SP (Asia) - China Mobile Euro Wts Sep 2016 A	80,000,000	2016/04/18	2016/09/28	24.16
27372	Goldman Sachs SP (Asia) - China Mobile Euro Wts Sep 2016 B	80,000,000	2016/04/18	2016/09/05	15.36
27374	Goldman Sachs SP (Asia) - HSBC European Warrants Nov 2016 B	100,000,000	2016/04/18	2016/11/04	39.90
27380	Goldman Sachs SP (Asia) - PetroChina European Wts Oct 2016 A	80,000,000	2016/04/18	2016/10/31	27.36
27379	Goldman Sachs SP (Asia) - Tencent European Put Wt Nov 2016 B	300,000,000	2016/04/18	2016/11/30	47.40
27348	HK Bank - Sinopec Corp European Warrants Oct 2016 B	50,000,000	2016/04/18	2016/10/31	17.50
27347	HK Bank - HKEX European Warrants Feb 2017 B	180,000,000	2016/04/18	2017/02/20	27.00
27362	SG Issuer - Anhui Conch European Warrants Dec 2016 A	40,000,000	2016/04/18	2016/12/05	10.40
27364	SG Issuer - CCCCL European Warrants Oct 2017 A	100,000,000	2016/04/18	2017/10/06	25.00
27365	SG Issuer - Ch Mob European Warrants Nov 2016 B	80,000,000	2016/04/18	2016/11/03	20.00
27359	SG Issuer - HSI Euro Put Wts Oct 2016 B	150,000,000	2016/04/18	2016/10/28	22.50
27360	SG Issuer - HSI Euro Put Wts Oct 2016 C	150,000,000	2016/04/18	2016/10/28	37.50
10391	SG Issuer - Nikkei 225 European Warrants Mar 2017 B	150,000,000	2016/04/18	2017/03/10	24.00
10392	SG Issuer - Nikkei 225 European Put Warrants Mar 2017 C	150,000,000	2016/04/18	2017/03/10	37.50
27369	UBS AG - China EB Int'l European Warrants Mar 2017 A	60,000,000	2016/04/18	2017/03/09	11.10
27368	UBS AG - Kingsoft European Warrants Dec 2016 A	100,000,000	2016/04/18	2016/12/19	36.00
27383	BOCI Asia Ltd. - HSCEI European Warrants Dec 2016 B	100,000,000	2016/04/19	2016/12/29	15.00
27382	BOCI Asia Ltd. - HSI European Put Warrants Sep 2016 A	100,000,000	2016/04/19	2016/09/29	15.00
27389	Credit Suisse AG - Henganintl European Warrants Oct 2016 A	25,000,000	2016/04/19	2016/10/04	10.25
27403	Goldman Sachs SP (Asia) - HKEX European Warrants Dec 2016 C	200,000,000	2016/04/19	2016/12/28	42.00
27400	Goldman Sachs SP (Asia) - HKEX European Put Wts Oct 2016 B	200,000,000	2016/04/19	2016/10/28	30.00
27402	Goldman Sachs SP (Asia) - HKEX European Put Wts Dec 2016 B	200,000,000	2016/04/19	2016/12/23	41.00
27398	J P Morgan SP BV - CPIC European Warrants Dec 2016 A	80,000,000	2016/04/19	2016/12/30	20.56
27394	J P Morgan SP BV - HSI European Put Warrants Oct 2016 E	300,000,000	2016/04/19	2016/10/28	75.30
27385	Macquarie Bank Ltd. - Anhui Conch European Wts Feb 2017 A	34,000,000	2016/04/19	2017/02/02	10.17
27386	Macquarie Bank Ltd. - CITIC European Warrants Sep 2016 A	15,000,000	2016/04/19	2016/09/02	12.17
27384	Macquarie Bank Ltd. - Tencent European Warrants Nov 2016 B	18,500,000	2016/04/19	2016/11/02	10.01
27387	SG Issuer - CRCC European Warrants Jul 2017 A	80,000,000	2016/04/19	2017/07/05	20.00
27388	SG Issuer - HKEX European Warrants Jun 2017 B	200,000,000	2016/04/19	2017/06/05	34.00
27397	UBS AG - China Mobile European Warrants Sep 2016 A	100,000,000	2016/04/19	2016/09/26	37.00
27396	UBS AG - HSBC European Warrants Nov 2016 C	100,000,000	2016/04/19	2016/11/30	25.00
27406	BOCI Asia Ltd. - Galaxy Ent European Warrants Nov 2016 B	100,000,000	2016/04/20	2016/11/14	31.00
27408	BOCI Asia Ltd. - HSI European Warrants Nov 2016 A	100,000,000	2016/04/20	2016/11/29	17.00
27407	BOCI Asia Ltd. - PetroChina European Warrants Oct 2016 A	100,000,000	2016/04/20	2016/10/31	36.00
27414	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Jan17A	70,000,000	2016/04/20	2017/01/03	14.00
27418	BNP Paribas Arbit Issu B.V. - AIA European Wts Apr 2017 A	40,000,000	2016/04/20	2017/04/06	10.00



# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27413	BNP Paribas Arbit Issu B.V. - HKEX Euro Put Wts Dec 2016 B	60,000,000	2016/04/20	2016/12/02	15.00
27415	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Nov 2016 A	40,000,000	2016/04/20	2016/11/02	16.80
27432	Credit Suisse AG - Anhui Conch European Warrants Dec 2016 A	50,000,000	2016/04/20	2016/12/05	12.50
27435	Credit Suisse AG - AIA European Put Warrants Nov 2016 B	40,000,000	2016/04/20	2016/11/04	10.00
27436	Credit Suisse AG - CITIC Sec European Put Warrants Nov 2016A	40,000,000	2016/04/20	2016/11/04	10.00
27426	Credit Suisse AG - China Mobile European Warrants Nov 2016 C	60,000,000	2016/04/20	2016/11/04	15.00
27424	Credit Suisse AG - China Mobile European Put Wts Nov 2016 B	40,000,000	2016/04/20	2016/11/04	10.80
27422	Credit Suisse AG - HSI European Put Warrants Sep 2016 D	120,000,000	2016/04/20	2016/09/29	20.40
27433	Credit Suisse AG - Ping An European Warrants Nov 2016 A	80,000,000	2016/04/20	2016/11/04	20.00
27434	Credit Suisse AG - Ping An European Put Warrants Nov 2016 B	40,000,000	2016/04/20	2016/11/04	10.00
27423	Credit Suisse AG - Tencent Holdings Euro Put Wts Dec 2016 C	90,000,000	2016/04/20	2016/12/02	13.50
27437	Credit Suisse AG - WH Group European Warrants Nov 2016 A	15,000,000	2016/04/20	2016/11/04	14.70
27467	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Aug 2017 A	60,000,000	2016/04/20	2017/08/31	15.36
27450	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2016 B	100,000,000	2016/04/20	2016/12/28	15.20
27447	Goldman Sachs SP (Asia) - China Railway Euro Wts Jul 2017 A	60,000,000	2016/04/20	2017/07/28	10.14
27453	Goldman Sachs SP (Asia) - Ping An European Wts Dec 2016 D	100,000,000	2016/04/20	2016/12/30	25.10
27449	Goldman Sachs SP (Asia) - Stanchart European Wts Aug 2016 B	50,000,000	2016/04/20	2016/08/30	13.65
27459	J P Morgan SP BV - HSI European Put Warrants Sep 2016 G	300,000,000	2016/04/20	2016/09/29	56.70
27465	J P Morgan SP BV - HSI European Put Warrants Oct 2016 F	300,000,000	2016/04/20	2016/10/28	75.30
27457	J P Morgan SP BV - Wynn Macau European Warrants Feb 2017 A	80,000,000	2016/04/20	2017/02/02	20.08
27421	Macquarie Bank Ltd. - Tencent European Put Wts Nov 2016 C	13,000,000	2016/04/20	2016/11/02	10.01
27443	SG Issuer - CP&CC European Warrants Dec 2016 A	60,000,000	2016/04/20	2016/12/05	20.10
27440	SG Issuer - HSCEI European Warrants Jun 2017 A	150,000,000	2016/04/20	2017/06/29	22.50
27409	SG Issuer - HSCEI European Put Warrants Nov 2016 A	150,000,000	2016/04/20	2016/11/29	37.50
27441	SG Issuer - Lenovo European Warrants May 2018 A	100,000,000	2016/04/20	2018/05/04	15.00
27445	SG Issuer - Wynn European Warrants May 2017 A	60,000,000	2016/04/20	2017/05/04	15.00
27473	UBS AG - CC Bank European Warrants Oct 2016 A	70,000,000	2016/04/20	2016/10/19	17.50
27474	UBS AG - China Life European Warrants Dec 2016 B	100,000,000	2016/04/20	2016/12/23	15.30
27471	UBS AG - HKEX European Warrants Oct 2016 D	60,000,000	2016/04/20	2016/10/19	33.60
27476	UBS AG - HSCEI European Warrants Jun 2017 A	100,000,000	2016/04/20	2017/06/29	15.00
27438	UBS AG - HSI European Warrants Oct 2016 A	300,000,000	2016/04/20	2016/10/28	45.60
27439	UBS AG - Link REIT European Warrants Sep 2016 A	70,000,000	2016/04/20	2016/09/28	15.19
27475	UBS AG - Tencent Holdings European Warrants Jun 2017 A	300,000,000	2016/04/20	2017/06/02	45.00
27492	BOCI Asia Ltd. - AIA European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/07	25.00
27493	BOCI Asia Ltd. - AIA European Put Warrants Nov 2016 B	100,000,000	2016/04/21	2016/11/14	26.00
27498	BOCI Asia Ltd. - CC Bank European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/28	18.10
27490	BOCI Asia Ltd. - China Life European Warrants Dec 2016 C	200,000,000	2016/04/21	2016/12/30	39.20
27491	BOCI Asia Ltd. - HSBC European Warrants Oct 2016 B	200,000,000	2016/04/21	2016/10/03	32.20
27503	BOCI Asia Ltd. - ICBC European Warrants Feb 2017 A	100,000,000	2016/04/21	2017/02/28	18.70
27494	BOCI Asia Ltd. - Ping An European Warrants Dec 2016 C	200,000,000	2016/04/21	2016/12/12	50.00
27478	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Nov 2016 A	30,000,000	2016/04/21	2016/11/02	23.40
27480	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Nov 2016 A	50,000,000	2016/04/21	2016/11/02	25.00
27481	BNP Paribas Arbit Issu B.V. - Stanchart Euro Wts Sep 2016 A	40,000,000	2016/04/21	2016/09/02	10.00
27483	BNP Paribas Arbit Issu B.V. - Stanchart Euro Wts Nov 2016 A	40,000,000	2016/04/21	2016/11/02	16.80

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27512	Credit Suisse AG - BOCL European Warrants Feb 2017 A	70,000,000	2016/04/21	2017/02/01	10.50
27513	Credit Suisse AG - Galaxy Ent European Warrants Nov 2016 A	60,000,000	2016/04/21	2016/11/04	15.00
27514	Goldman Sachs SP (Asia) - HSCEI European Warrants Dec 2016 D	200,000,000	2016/04/21	2016/12/29	30.60
27477	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2017 A	200,000,000	2016/04/21	2017/02/20	33.60
27519	J P Morgan SP BV - AIA European Warrants Nov 2016 B	100,000,000	2016/04/21	2016/11/02	25.20
27524	J P Morgan SP BV - Sinopec Corp European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/02	28.00
27520	J P Morgan SP BV - PetroChina European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/02	25.90
27484	Macquarie Bank Ltd. - AIA European Warrants Jan 2017 A	38,000,000	2016/04/21	2017/01/04	10.03
27486	Macquarie Bank Ltd. - China Life European Wts May 2017 A	40,000,000	2016/04/21	2017/05/02	10.00
27489	Macquarie Bank Ltd. - Sinopec Corp European Wts Jan 2017 A	30,000,000	2016/04/21	2017/01/04	10.68
27488	Macquarie Bank Ltd. - Sinopec Corp European Put Wts Mar17A	35,000,000	2016/04/21	2017/03/02	10.36
27485	Macquarie Bank Ltd. - Foxconn European Warrants Mar 2017 A	19,000,000	2016/04/21	2017/03/02	10.01
27508	Macquarie Bank Ltd. - HSBC European Warrants Nov 2016 A	40,000,000	2016/04/21	2016/11/02	10.00
27509	SG Issuer - Ping An European Put Warrants Nov 2016 B	120,000,000	2016/04/21	2016/11/03	30.00
27510	SG Issuer - Tencent European Put Warrants Dec 2016 C	500,000,000	2016/04/21	2016/12/05	75.00
27487	UBS AG - HSBC European Warrants Sep 2016 D	100,000,000	2016/04/21	2016/09/27	15.10
27536	Credit Suisse AG - Ping An European Warrants Dec 2016 C	80,000,000	2016/04/22	2016/12/05	20.00
27532	Goldman Sachs SP (Asia) - Evergrande European Wts Jul 2017 A	80,000,000	2016/04/22	2017/07/07	12.00
27533	Goldman Sachs SP (Asia) - Huaneng European Wts Nov 2017 A	80,000,000	2016/04/22	2017/11/23	12.00
27530	HK Bank - AIA European Warrants Oct 2016 A	80,000,000	2016/04/22	2016/10/31	20.00
27528	HK Bank - China Mobile European Warrants Oct 2016 A	50,000,000	2016/04/22	2016/10/31	15.00
27525	HK Bank - CNOOC European Warrants Oct 2016 B	40,000,000	2016/04/22	2016/10/31	24.00
27527	HK Bank - CNOOC European Warrants May 2018 A	100,000,000	2016/04/22	2018/05/31	15.00
27529	HK Bank - China Tel European Warrants Oct 2016 A	50,000,000	2016/04/22	2016/10/31	15.00
27535	Macquarie Bank Ltd. - CKI Holdings European Warrants Oct16 A	19,000,000	2016/04/22	2016/10/24	10.01
27531	SG Issuer - Shenhua European Warrants Oct 2017 A	100,000,000	2016/04/22	2017/10/06	25.00
27549	UBS AG - CAM CSI300 European Warrants Oct 2016 A	50,000,000	2016/04/22	2016/10/31	12.75
27545	UBS AG - Geely Auto European Warrants Nov 2016 A	40,000,000	2016/04/22	2016/11/21	17.20
27540	UBS AG - HKEX European Put Warrants Dec 2016 D	100,000,000	2016/04/22	2016/12/23	17.30
27541	UBS AG - Sands China European Warrants Oct 2016 A	60,000,000	2016/04/22	2016/10/24	15.00
27542	UBS AG - Sands China European Put Warrants Oct 2016 B	60,000,000	2016/04/22	2016/10/11	15.60
27556	Credit Suisse AG - ZTE European Warrants Nov 2016 A	50,000,000	2016/04/25	2016/11/28	10.00
27555	Bank of East Asia - Great Wall Motor European Wts Mar 2017 A	70,000,000	2016/04/25	2017/03/02	10.50
27558	Goldman Sachs SP (Asia) - China Mobile Euro Wts Nov 2016 C	100,000,000	2016/04/25	2016/11/02	25.00
27557	Goldman Sachs SP (Asia) - Geely Auto European Wts Dec 2016 A	40,000,000	2016/04/25	2016/12/29	17.24
27552	Macquarie Bank Ltd. - Car Inc European Warrants Apr 2017 A	40,000,000	2016/04/25	2017/04/18	10.00
27554	Macquarie Bank Ltd. - CITIC European Warrants Jan 2017 A	16,000,000	2016/04/25	2017/01/04	10.02
27553	Macquarie Bank Ltd. - Stanchart European Warrants Mar 2017 A	15,000,000	2016/04/25	2017/03/02	10.01
27551	SG Issuer - CNOOC European Warrants Nov 2016A	30,000,000	2016/04/25	2016/11/03	14.85
27550	SG Issuer - CNOOC European Warrants Jun 2018A	300,000,000	2016/04/25	2018/06/05	45.00
27571	BOCI Asia Ltd. - HSCEI European Warrants Dec 2016 C	100,000,000	2016/04/26	2016/12/29	15.00
27575	BOCI Asia Ltd. - HSCEI European Put Warrants Dec 2016 D	100,000,000	2016/04/26	2016/12/29	25.00
27570	BOCI Asia Ltd. - HS H-SHARE European Warrants Nov 2016 A	100,000,000	2016/04/26	2016/11/18	48.00
27569	BOCI Asia Ltd. - Tracker Fund European Warrants Dec 2017 A	100,000,000	2016/04/26	2017/12/27	16.60

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27604	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Nov 2016 A	30,000,000	2016/04/26	2016/11/02	15.90
27607	Credit Suisse AG - Haitong Sec European Warrants Sep 2016 A	66,000,000	2016/04/26	2016/09/23	10.56
27594	Goldman Sachs SP (Asia) - HSI European Put Wts Jul 2016 I	200,000,000	2016/04/26	2016/07/28	32.80
27596	Goldman Sachs SP (Asia) - HSI European Put Wts Aug 2016 I	200,000,000	2016/04/26	2016/08/30	35.00
27592	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2016 H	200,000,000	2016/04/26	2016/09/29	34.20
27560	HK Bank - Minsheng Bank European Warrants Oct 2016 A	40,000,000	2016/04/26	2016/10/31	18.00
27559	HK Bank - HSI European Warrants Oct 2016 B	180,000,000	2016/04/26	2016/10/28	27.00
27564	HK Bank - HSI European Put Warrants Jul 2016 G	180,000,000	2016/04/26	2016/07/28	28.80
27562	HK Bank - HSI European Put Warrants Sep 2016 J	180,000,000	2016/04/26	2016/09/29	27.00
27561	HK Bank - Tencent European Put Warrants Nov 2016 B	100,000,000	2016/04/26	2016/11/30	15.00
27591	Haitong Int'l Sec - Ch Unicom European Warrants Nov 2017 A	80,000,000	2016/04/26	2017/11/29	12.00
27589	Haitong Int'l Sec - Gome European Warrants May 2017 A	40,000,000	2016/04/26	2017/05/31	10.40
27588	Haitong Int'l Sec - HSBC European Warrants Sep 2016 B	80,000,000	2016/04/26	2016/09/30	12.00
27608	J P Morgan SP BV - HSI European Put Warrants Jul 2016 G	300,000,000	2016/04/26	2016/07/28	48.90
27609	J P Morgan SP BV - Sands China European Warrants Nov 2016 B	100,000,000	2016/04/26	2016/11/01	25.10
27602	Macquarie Bank Ltd. - HSBC European Warrants Feb 2017 A	40,000,000	2016/04/26	2017/02/03	10.00
27600	UBS AG - China Tel European Warrants Oct 2016 A	40,000,000	2016/04/26	2016/10/25	10.00
27599	UBS AG - HS H-SHARE European Warrants Oct 2016 A	50,000,000	2016/04/26	2016/10/25	14.50
27597	UBS AG - Tracker Fund European Warrants Dec 2017 A	200,000,000	2016/04/26	2017/12/21	30.60
27624	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Dec 2016 A	60,000,000	2016/04/27	2016/12/02	15.00
27625	BNP Paribas Arbit Issu B.V. - Haitong Sec Eu Wts Feb 2017 A	40,000,000	2016/04/27	2017/02/02	10.00
27633	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Oct 2016 A	80,000,000	2016/04/27	2016/10/28	33.20
27613	HK Bank - CKP European Warrants Oct 2016 B	50,000,000	2016/04/27	2016/10/31	15.00
27617	HK Bank - Foxconn European Warrants Dec 2016 A	50,000,000	2016/04/27	2016/12/30	15.00
27614	HK Bank - Greatwall Motor European Warrants Feb 2017 A	80,000,000	2016/04/27	2017/02/28	12.00
27622	HK Bank - Ping An European Warrants Oct 2016 C	80,000,000	2016/04/27	2016/10/31	20.00
27627	J P Morgan SP BV - China Unicom European Warrants Nov 2017 A	100,000,000	2016/04/27	2017/11/30	15.70
27650	J P Morgan SP BV - A50 China European Put Wts Dec 2017 B	200,000,000	2016/04/27	2017/12/29	30.00
27626	J P Morgan SP BV - HSI European Warrants Nov 2016 B	300,000,000	2016/04/27	2016/11/29	75.00
27649	J P Morgan SP BV - PetroChina European Put Warrants Nov 16 B	100,000,000	2016/04/27	2016/11/30	31.60
27658	J P Morgan SP BV - Ping An European Put Warrants Nov 2016 B	100,000,000	2016/04/27	2016/11/30	25.40
27632	Macquarie Bank Ltd. - CNOOC European Put Warrants Feb 2017 A	12,000,000	2016/04/27	2017/02/02	10.78
27629	Macquarie Bank Ltd. - Chi Res Land European Wts Mar 2017 A	34,000,000	2016/04/27	2017/03/02	10.20
27643	UBS AG - BOCL European Warrants Mar 2017 A	70,000,000	2016/04/27	2017/03/30	11.34
27644	UBS AG - CITIC Bank European Warrants Nov 2016 A	40,000,000	2016/04/27	2016/11/28	10.24
27641	UBS AG - China Mobile European Warrants Oct 2016 B	100,000,000	2016/04/27	2016/10/26	25.00
27645	UBS AG - HSBC European Warrants Nov 2016 D	100,000,000	2016/04/27	2016/11/08	25.10
27635	UBS AG - Ping An European Warrants Oct 2016 C	100,000,000	2016/04/27	2016/10/31	25.50
27636	UBS AG - Ping An European Put Warrants Oct 2016 D	70,000,000	2016/04/27	2016/10/26	17.50
27662	BOCI Asia Ltd. - BYD European Warrants Sep 2017 A	100,000,000	2016/04/28	2017/09/25	15.00
27663	BOCI Asia Ltd. - China Mobile European Warrants Nov 2016 B	200,000,000	2016/04/28	2016/11/07	56.80
27661	BOCI Asia Ltd. - Sinopec Corp European Warrants Nov 2016 A	100,000,000	2016/04/28	2016/11/21	36.40
27660	BOCI Asia Ltd. - HSBC European Put Warrants Nov 2016 A	200,000,000	2016/04/28	2016/11/11	65.20
27659	BOCI Asia Ltd. - Ping An European Warrants Dec 2016 D	200,000,000	2016/04/28	2016/12/19	105.20

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27683	Credit Suisse AG - CITIC Bank European Warrants Nov 2016 A	40,000,000	2016/04/28	2016/11/04	10.00
27692	Credit Suisse AG - GAC European Warrants Aug 2017 A	40,000,000	2016/04/28	2017/08/31	10.00
27682	Credit Suisse AG - HKEX European Warrants Nov 2016 E	150,000,000	2016/04/28	2016/11/29	22.50
27681	Credit Suisse AG - HKEX European Put Warrants Dec 2016 C	80,000,000	2016/04/28	2016/12/23	12.00
27691	Credit Suisse AG - PetroChina European Warrants Dec 2016 A	30,000,000	2016/04/28	2016/12/30	13.80
27690	Credit Suisse AG - Zijin Mining European Warrants Nov 2016 A	40,000,000	2016/04/28	2016/11/04	10.00
27706	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2017 A	60,000,000	2016/04/28	2017/01/27	11.16
27699	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2016 G	100,000,000	2016/04/28	2016/09/30	16.30
27701	Goldman Sachs SP (Asia) - PetroChina European Wts Sep 2016 B	80,000,000	2016/04/28	2016/09/30	22.48
27709	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2016 F	100,000,000	2016/04/28	2016/07/29	16.40
27708	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Oct 2016 A	100,000,000	2016/04/28	2016/10/31	34.70
27700	Goldman Sachs SP (Asia) - Sands China Euro Wts Dec 2016 A	80,000,000	2016/04/28	2016/12/30	20.24
27694	J P Morgan SP BV - China Life European Warrants Apr 2017 A	100,000,000	2016/04/28	2017/04/28	15.80
27693	J P Morgan SP BV - Sinopec Corp Eu Put Wt Nov 2016 B	100,000,000	2016/04/28	2016/11/03	37.00
27696	J P Morgan SP BV - HSCEI European Wts Jun 2017 A	200,000,000	2016/04/28	2017/06/29	32.60
27670	Macquarie Bank Ltd. - CNBM European Warrants Feb 2017 A	15,000,000	2016/04/28	2017/02/02	10.02
27671	SG Issuer - AIA Eu Put Wt Nov 2016 A	100,000,000	2016/04/28	2016/11/03	17.00
27672	SG Issuer - Ch Mob European Warrants Nov 2016 C	80,000,000	2016/04/28	2016/11/03	20.00
27674	SG Issuer - CSOP A50 ETF Eu Wt Nov 2016 B	30,000,000	2016/04/28	2016/11/03	11.55
27679	SG Issuer - A50 Ch Eu Wt Nov 2016 C	30,000,000	2016/04/28	2016/11/03	10.80
27678	SG Issuer - HSBC European Warrants Dec 2016 B	100,000,000	2016/04/28	2016/12/05	25.00
27665	SG Issuer - HSBC European Put Warrants Nov 2016 C	100,000,000	2016/04/28	2016/11/03	26.50
27677	SG Issuer - HS H-SHARE European Warrants Nov 2016 B	50,000,000	2016/04/28	2016/11/03	13.00
27668	UBS AG - AIA European Warrants Oct 2016 B	100,000,000	2016/04/28	2016/10/31	25.10
27669	UBS AG - China Mobile European Put Warrants Oct 2016 C	100,000,000	2016/04/28	2016/10/31	39.80
27664	UBS AG - HSBC European Put Warrants Sep 2016 E	100,000,000	2016/04/28	2016/09/26	15.10
27666	UBS AG - HSI European Put Warrants Aug 2016 C	300,000,000	2016/04/28	2016/08/30	48.90
27721	BNP Paribas Arbit Issu B.V. - BOCL European Wts Dec 2017 A	50,000,000	2016/04/29	2017/12/04	13.00
27716	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Dec 2017 A	50,000,000	2016/04/29	2017/12/04	17.50
27717	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Mar 2017 A	40,000,000	2016/04/29	2017/03/02	11.20
27719	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Nov 2016 A	40,000,000	2016/04/29	2016/11/02	14.40
27732	BNP Paribas Arbit Issu B.V. - HKEX European Wts Jun 2017 B	80,000,000	2016/04/29	2017/06/02	12.00
27736	Credit Suisse AG - HSBC European Wts Sep 2016 E	100,000,000	2016/04/29	2016/09/05	16.00
27737	Credit Suisse AG - HSBC European Wts Dec 2016 A	100,000,000	2016/04/29	2016/12/05	25.00
27745	Goldman Sachs SP (Asia) - AIA European Warrants Sep 2016 B	80,000,000	2016/04/29	2016/09/30	12.24
27747	Goldman Sachs SP (Asia) - BOC HK European Wts Dec 2016 A	80,000,000	2016/04/29	2016/12/30	20.08
27748	Goldman Sachs SP (Asia) - CKH European Put Warrants Nov 2016 A	80,000,000	2016/04/29	2016/11/30	51.68
27743	Goldman Sachs SP (Asia) - HSI European Warrants Nov 2016 A	200,000,000	2016/04/29	2016/11/29	50.00
27712	Haitong Int'l Sec - Agile Ppt European Warrants Mar 2017 A	30,000,000	2016/04/29	2017/03/30	10.50
27714	Haitong Int'l Sec - Geely Auto European Warrants Nov 2016 A	30,000,000	2016/04/29	2016/11/28	15.30
27710	Haitong Int'l Sec - HKEX European Warrants Feb 2017 A	68,000,000	2016/04/29	2017/02/27	10.20
27711	Haitong Int'l Sec - Zijin Mining European Warrants Nov 2016 A	45,000,000	2016/04/29	2016/11/15	10.80
27741	J P Morgan SP BV - CAM CSI300 European Warrants Dec 2016 A	100,000,000	2016/04/29	2016/12/02	15.10
27738	J P Morgan SP BV - China Mob European Put Wts Nov 2016 B	100,000,000	2016/04/29	2016/11/01	33.20

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27742	J P Morgan SP BV - HKEX European Warrants May 2017 B	200,000,000	2016/04/29	2017/05/31	30.00
27740	J P Morgan SP BV - Sands China European Put Wts Dec 2016 A	100,000,000	2016/04/29	2016/12/02	25.10
27727	Macquarie Bank Ltd. - China Life European Wts Nov 2016 B	11,000,000	2016/04/29	2016/11/02	10.01
27722	Macquarie Bank Ltd. - HKEX European Warrants Dec 2016 C	67,000,000	2016/04/29	2016/12/02	10.05
27723	Macquarie Bank Ltd. - HSBC European Warrants Dec 2016 A	40,000,000	2016/04/29	2016/12/02	10.00
27730	Macquarie Bank Ltd. - Ping An European Warrants Nov 2016 C	11,000,000	2016/04/29	2016/11/02	10.30
27735	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Dec 2016 A	100,000,000	2016/04/29	2016/12/05	25.00
27756	Credit Suisse AG - AIA European Warrants Oct 2016 B	70,000,000	2016/05/03	2016/10/05	10.50
27758	Credit Suisse AG - AIA European Warrants Dec 2016 A	70,000,000	2016/05/03	2016/12/05	17.50
27752	HK Bank - HKEX European Warrants Nov 2016 A	180,000,000	2016/05/03	2016/11/30	27.00
27753	HK Bank - HKEX European Warrants May 2017 B	180,000,000	2016/05/03	2017/05/31	27.00
27754	HK Bank - HKEX European Put Warrants Nov 2016 B	180,000,000	2016/05/03	2016/11/30	27.00
27749	HK Bank - Sands China European Warrants Nov 2016 A	50,000,000	2016/05/03	2016/11/02	15.00
27755	UBS AG - HSCEI European Warrants Nov 2016 A	100,000,000	2016/05/03	2016/11/29	15.00
27771	Goldman Sachs SP (Asia) - HKEX European Put Wts Nov 2016 C	200,000,000	2016/05/04	2016/11/30	38.40
27769	Goldman Sachs SP (Asia) - HSBC European Warrants Nov 2016 C	100,000,000	2016/05/04	2016/11/04	27.40
27770	Goldman Sachs SP (Asia) - HSBC European Put Wts Aug 2016 B	80,000,000	2016/05/04	2016/08/30	20.48
27761	J P Morgan SP BV - AIA European Put Warrants Dec 2016 A	100,000,000	2016/05/04	2016/12/01	25.10
27762	J P Morgan SP BV - PCCW European Warrants Nov 2016 A	35,000,000	2016/05/04	2016/11/30	10.01
27764	J P Morgan SP BV - Ping An European Warrants Sep 2016 D	100,000,000	2016/05/04	2016/09/30	15.20
27759	Macquarie Bank Ltd. - Xinyi Solar European Warrants Dec 16 A	20,400,000	2016/05/04	2016/12/16	10.02
27760	UBS AG - HSI European Warrants Aug 2016 D	300,000,000	2016/05/04	2016/08/30	45.00
27788	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Sep 2016 B	80,000,000	2016/05/05	2016/09/28	14.08
27796	Goldman Sachs SP (Asia) - CGS European Warrants Nov 2016 A	30,000,000	2016/05/05	2016/11/29	21.18
27797	Goldman Sachs SP (Asia) - China Cinda Euro Wts Nov 2016 A	50,000,000	2016/05/05	2016/11/29	12.50
27795	Goldman Sachs SP (Asia) - China Life Euro Wts Nov 2016 B	100,000,000	2016/05/05	2016/11/28	15.00
27799	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Jan 2017 A	300,000,000	2016/05/05	2017/01/09	48.30
27793	Goldman Sachs SP (Asia) - ICBC European Put Warrants Sep16 C	80,000,000	2016/05/05	2016/09/28	12.16
27785	J P Morgan SP BV - HKT-SS European Warrants Dec 2017 A	60,000,000	2016/05/05	2017/12/29	15.12
27783	J P Morgan SP BV - PetroChina European Warrants Dec 2016 A	100,000,000	2016/05/05	2016/12/30	25.20
27781	J P Morgan SP BV - Stanchart European Warrants Dec 2016 B	60,000,000	2016/05/05	2016/12/02	24.00
27787	J P Morgan SP BV - China Vanke European Warrants Dec 2016 A	80,000,000	2016/05/05	2016/12/30	20.00
27780	Macquarie Bank Ltd. - BOCHK European Warrants Dec 2016 A	10,000,000	2016/05/05	2016/12/02	10.59
27774	UBS AG - BYD European Warrants Feb 2017 A	20,000,000	2016/05/05	2017/02/20	20.12
27772	UBS AG - CNOOC European Warrants Jun 2017 A	100,000,000	2016/05/05	2017/06/27	15.00
27777	UBS AG - HSI European Warrants Nov 2016 A	300,000,000	2016/05/05	2016/11/29	45.00
27775	UBS AG - ICBC European Warrants Oct 2016 A	100,000,000	2016/05/05	2016/10/24	15.00
27816	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Nov 2016 A	100,000,000	2016/05/06	2016/11/29	15.00
27818	Credit Suisse AG - China Tel European Warrants Dec 2016 A	40,000,000	2016/05/06	2016/12/05	10.00
27819	Credit Suisse AG - Huaneng Power European Warrants Nov 2016A	23,000,000	2016/05/06	2016/11/07	10.12
27812	HK Bank - CITIC Sec European Warrants Nov 2016 A	80,000,000	2016/05/06	2016/11/30	20.00
27815	HK Bank - CM Bank European Warrants Dec 2016 A	80,000,000	2016/05/06	2016/12/30	12.00
27813	HK Bank - Evergrande European Warrants Jul 2017 A	80,000,000	2016/05/06	2017/07/10	12.00
27814	HK Bank - SHK Ppt European Warrants Dec 2016 B	40,000,000	2016/05/06	2016/12/02	12.00

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27802	Haitong Int'l Sec - Anta Sports European Warrants Dec 2016 A	33,000,000	2016/05/06	2016/12/08	10.23
27811	Haitong Int'l Sec - China Mobile European Put Wts Oct16A	68,000,000	2016/05/06	2016/10/31	10.20
27800	Haitong Int'l Sec - CNOOC European Put Warrants Nov 2016 A	68,000,000	2016/05/06	2016/11/18	17.00
27806	Haitong Int'l Sec - A50 China European Warrants Nov 2016 A	40,000,000	2016/05/06	2016/11/08	12.40
27807	Haitong Int'l Sec - A50 China European Put Warrants Dec 17 A	100,000,000	2016/05/06	2017/12/27	15.00
27805	Haitong Int'l Sec - Ping An European Warrants Dec 2016 A	80,000,000	2016/05/06	2016/12/22	14.16
27824	J P Morgan SP BV - HSI European Warrants Dec 2016 B	300,000,000	2016/05/06	2016/12/29	46.20
27821	J P Morgan SP BV - HSI European Put Warrants Aug 2016 G	300,000,000	2016/05/06	2016/08/30	47.70
27822	J P Morgan SP BV - HSI European Put Warrants Dec 2016 A	300,000,000	2016/05/06	2016/12/29	45.30
27817	SGA Societe Generale Acceptance N.V. - Petch Eu Put Wt Dec16A	60,000,000	2016/05/06	2016/12/05	20.70
27848	Credit Suisse AG - Galaxy Ent European Warrants Sep 2016 B	60,000,000	2016/05/09	2016/09/30	12.60
27843	Bank of East Asia - ZTE European Warrants Feb 2017 A	40,000,000	2016/05/09	2017/02/28	10.00
27852	Goldman Sachs SP (Asia) - CITIC Bank European Wts Oct 2016 A	60,000,000	2016/05/09	2016/10/28	11.34
27861	Goldman Sachs SP (Asia) - CITIC Sec European Wts Nov 2016 A	80,000,000	2016/05/09	2016/11/30	20.00
27854	Goldman Sachs SP (Asia) - CKP European Warrants Nov 2016 A	60,000,000	2016/05/09	2016/11/09	15.48
27858	Goldman Sachs SP (Asia) - CLP European Warrants Nov 2016 A	40,000,000	2016/05/09	2016/11/28	10.04
27860	Goldman Sachs SP (Asia) - Ch Overseas Euro Put Wt Nov 2016 A	40,000,000	2016/05/09	2016/11/11	10.00
27835	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Nov 2016 A	50,000,000	2016/05/09	2016/11/11	12.55
27855	Goldman Sachs SP (Asia) - China Tel European Wts Oct 2016 A	60,000,000	2016/05/09	2016/10/24	10.26
27833	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Nov 2016 A	60,000,000	2016/05/09	2016/11/10	15.00
27862	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Feb 2017 A	80,000,000	2016/05/09	2017/02/23	12.00
27849	Goldman Sachs SP (Asia) - Lenovo European Wts Nov 2016 B	80,000,000	2016/05/09	2016/11/09	32.16
27829	Goldman Sachs SP (Asia) - Sands China Euro Wts Oct 2016 A	80,000,000	2016/05/09	2016/10/17	12.16
27830	Goldman Sachs SP (Asia) - Sands China Eur Put Wts Dec 2016 B	60,000,000	2016/05/09	2016/12/28	15.00
27826	HK Bank - HSI European Warrants Nov 2016 A	180,000,000	2016/05/09	2016/11/29	27.00
27828	HK Bank - HSI European Put Warrants Aug 2016 F	180,000,000	2016/05/09	2016/08/30	28.80
27827	HK Bank - HSI European Put Warrants Oct 2016 C	180,000,000	2016/05/09	2016/10/28	27.00
27844	Macquarie Bank Ltd. - CM Bank European Warrants Oct 2016 A	65,000,000	2016/05/09	2016/10/04	10.01
27836	Macquarie Bank Ltd. - Hang Seng Bank European Wts Dec 2016 A	35,000,000	2016/05/09	2016/12/02	10.08
27845	Macquarie Bank Ltd. - HS H-SHARE European Warrants Dec 2016A	40,000,000	2016/05/09	2016/12/02	10.00
27841	Macquarie Bank Ltd. - Jiangxi Copper European Wts Dec 2016 A	10,000,000	2016/05/09	2016/12/02	10.91
27876	Credit Suisse AG - AIA European Warrants Sep 2016 C	70,000,000	2016/05/10	2016/09/30	17.50
27880	Credit Suisse AG - BOC HK European Warrants Dec 2016 A	40,000,000	2016/05/10	2016/12/30	10.00
27877	Credit Suisse AG - CKH European Warrants Dec 2016 B	40,000,000	2016/05/10	2016/12/05	10.00
27878	Credit Suisse AG - SHK Ppt European Warrants Dec 2016 B	40,000,000	2016/05/10	2016/12/05	10.00
27868	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Nov 2016 D	80,000,000	2016/05/10	2016/11/10	24.72
27894	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2016 C	200,000,000	2016/05/10	2016/10/28	30.00
27891	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2016 I	200,000,000	2016/05/10	2016/09/29	30.00
27893	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2016 B	200,000,000	2016/05/10	2016/10/28	30.00
27884	J P Morgan SP BV - HKEX European Warrants Feb 2017 A	200,000,000	2016/05/10	2017/02/28	30.00
27882	J P Morgan SP BV - HSBC European Warrants Dec 2016 C	100,000,000	2016/05/10	2016/12/01	15.20
27886	J P Morgan SP BV - HSCEI European Wts Dec 2016 C	200,000,000	2016/05/10	2016/12/29	30.20
27890	J P Morgan SP BV - Ping An European Warrants Nov 2016 C	100,000,000	2016/05/10	2016/11/25	39.50
27888	J P Morgan SP BV - Tencent European Warrants Sep 2016 A	100,000,000	2016/05/10	2016/09/01	55.10

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27865	Macquarie Bank Ltd. - AIA European Put Warrants Dec 2016 A	40,000,000	2016/05/10	2016/12/02	10.08
27870	Macquarie Bank Ltd. - CITIC Sec European Warrants Nov 2016 A	55,000,000	2016/05/10	2016/11/04	10.01
27871	Macquarie Bank Ltd. - CNOOC European Warrants Feb 2017 B	25,000,000	2016/05/10	2017/02/02	10.23
27867	Macquarie Bank Ltd. - New World Dev European Wts Dec 2016 A	30,000,000	2016/05/10	2016/12/02	10.02
27869	Macquarie Bank Ltd. - Tencent European Warrants Dec 2016 A	28,000,000	2016/05/10	2016/12/02	10.05
27874	UBS AG - CC Bank European Warrants Sep 2016 A	70,000,000	2016/05/10	2016/09/23	10.50
27875	UBS AG - Ping An European Warrants Oct 2016 E	100,000,000	2016/05/10	2016/10/03	17.10
27080 #	J P Morgan SP BV - Tencent European Warrants Apr 2017 A	200,000,000	2016/05/10	2017/04/03	20.40
10393	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Dec 2016 A	50,000,000	2016/05/11	2016/12/22	12.50
10394	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wt Dec 2016 B	50,000,000	2016/05/11	2016/12/22	12.50
10396	BNP Paribas Arbit Issu B.V. - GBP/USD European Wts Dec16A	50,000,000	2016/05/11	2016/12/22	12.50
10397	BNP Paribas Arbit Issu B.V. - GBP/USD European Put Wt Dec16B	50,000,000	2016/05/11	2016/12/22	12.50
10395	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Dec 2016 A	50,000,000	2016/05/11	2016/12/22	12.50
27928	Credit Suisse AG - CITIC European Warrants Dec 2016 A	30,000,000	2016/05/11	2016/12/05	18.00
27923	Credit Suisse AG - China Life European Warrants Oct 2016 A	80,000,000	2016/05/11	2016/10/31	12.00
27926	Credit Suisse AG - Jiangxi Copper European Wts Nov 2016 A	30,000,000	2016/05/11	2016/11/10	10.50
27925	Credit Suisse AG - Stanchart European Warrants Dec 2016 B	40,000,000	2016/05/11	2016/12/05	21.20
27934	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2016 B	100,000,000	2016/05/11	2016/09/29	15.00
27930	Goldman Sachs SP (Asia) - HSBC European Warrants Nov 2016 D	100,000,000	2016/05/11	2016/11/02	15.00
27904	Goldman Sachs SP (Asia) - HSCEI European Warrants Mar 2017 A	120,000,000	2016/05/11	2017/03/30	30.00
27895	Goldman Sachs SP (Asia) - HSCEI European Warrants Jun 2017 A	120,000,000	2016/05/11	2017/06/29	18.00
27931	Goldman Sachs SP (Asia) - Ping An European Wts Oct 2016 B	100,000,000	2016/05/11	2016/10/31	15.00
27933	Goldman Sachs SP (Asia) - Ping An European Wts Oct 2016 C	100,000,000	2016/05/11	2016/10/31	15.00
27941	J P Morgan SP BV - China Mob European Wts Nov 2016 C	100,000,000	2016/05/11	2016/11/01	25.10
27938	J P Morgan SP BV - Tencent European Warrants Feb 2017 B	200,000,000	2016/05/11	2017/02/20	30.20
27940	J P Morgan SP BV - Tracker Fund European Put Warrants Feb17B	100,000,000	2016/05/11	2017/02/07	15.20
27929	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Jun 2017 A	40,000,000	2016/05/11	2017/06/02	10.00
27905	Macquarie Bank Ltd. - Kingdee Int'l European Wts Mar 2017 A	20,000,000	2016/05/11	2017/03/16	10.00
27906	SG Issuer - Geely Auto European Warrants Dec 2016 A	20,000,000	2016/05/11	2016/12/05	10.00
27909	SG Issuer - GWMC European Warrants Jan 2018 A	100,000,000	2016/05/11	2018/01/04	16.00
27922	SG Issuer - HSI European Warrants Dec 2016 D	150,000,000	2016/05/11	2016/12/29	31.50
27921	SG Issuer - HSI European Warrants Feb 2017 A	150,000,000	2016/05/11	2017/02/27	37.50
27920	SG Issuer - HSI European Warrants Jun 2017 A	150,000,000	2016/05/11	2017/06/29	37.50
27914	UBS AG - HSI European Warrants Aug 2016 E	300,000,000	2016/05/11	2016/08/30	45.00
27919	UBS AG - HSI European Warrants Nov 2016 B	300,000,000	2016/05/11	2016/11/29	45.00
27959	Credit Suisse AG - CNOOC European Warrants Jun 2018 A	100,000,000	2016/05/12	2018/06/04	15.00
27961	Credit Suisse AG - Chi Res Land European Warrants Nov 2016 A	50,000,000	2016/05/12	2016/11/03	10.00
27964	Credit Suisse AG - CSOP A50 ETF European Warrants Nov 2016 A	33,000,000	2016/05/12	2016/11/03	10.23
27962	Credit Suisse AG - HSBC European Wts Nov 2016 C	100,000,000	2016/05/12	2016/11/03	18.00
27963	Credit Suisse AG - PCCW European Warrants Nov 2016 A	40,000,000	2016/05/12	2016/11/14	10.00
27969	Goldman Sachs SP (Asia) - Agri Bk European Wts May 2017 A	60,000,000	2016/05/12	2017/05/31	15.00
27971	Goldman Sachs SP (Asia) - AIA European Warrants Nov 2016 A	80,000,000	2016/05/12	2016/11/14	23.52
27967	Goldman Sachs SP (Asia) - Dongfeng European Wts Nov 2016 A	20,000,000	2016/05/12	2016/11/28	10.04
27970	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Oct 2016 A	80,000,000	2016/05/12	2016/10/24	18.80

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27966	Goldman Sachs SP (Asia) - HKEX European Warrants Feb 2017 B	100,000,000	2016/05/12	2017/02/20	15.00
27968	Goldman Sachs SP (Asia) - PetroChina European Wts Dec 2016 A	60,000,000	2016/05/12	2016/12/05	15.54
27957	Macquarie Bank Ltd. - CC Bank European Put Warrants Jan 17 A	40,000,000	2016/05/12	2017/01/03	10.00
27956	Macquarie Bank Ltd. - CPIC European Warrants Jan 2017 A	40,000,000	2016/05/12	2017/01/04	10.00
27958	Macquarie Bank Ltd. - PICC Group European Wts Dec 2016 A	35,000,000	2016/05/12	2016/12/02	10.01
27942	Macquarie Bank Ltd. - Tencent European Warrants Dec 2016 B	13,000,000	2016/05/12	2016/12/02	10.01
27954	SG Issuer - GF Sec European Warrants Dec 2016 A	70,000,000	2016/05/12	2016/12/05	10.50
27953	SG Issuer - GF Sec European Warrants Feb 2017 A	70,000,000	2016/05/12	2017/02/03	19.95
27965	SG Issuer - Weichai Power European Warrants Sep 2017 A	80,000,000	2016/05/12	2017/09/05	20.00
27952	UBS AG - CKH European Warrants Nov 2017 A	100,000,000	2016/05/12	2017/11/28	15.00
27945	UBS AG - China Life European Warrants Oct 2016 A	100,000,000	2016/05/12	2016/10/27	15.00
27950	UBS AG - Tencent Holdings European Warrants Aug 2016 A	50,000,000	2016/05/12	2016/08/26	35.15
27949	UBS AG - Tencent Holdings European Warrants Feb 2017 A	300,000,000	2016/05/12	2017/02/20	45.00
27976	BNP Paribas Arbit Issu B.V. - CKH European Wts Dec 2016 B	40,000,000	2016/05/13	2016/12/02	13.60
27974	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Mar 2017 A	60,000,000	2016/05/13	2017/03/02	15.00
27975	BNP Paribas Arbit Issu B.V. - Ping An European Wt Dec 2016 C	60,000,000	2016/05/13	2016/12/02	16.80
27983	Credit Suisse AG - CITIC Bank European Warrants Dec 2016 A	40,000,000	2016/05/13	2016/12/05	10.00
27980	Credit Suisse AG - CM Bank European Warrants Dec 2016 A	70,000,000	2016/05/13	2016/12/28	10.50
27981	Credit Suisse AG - China Railway European Warrants Oct 2016A	30,000,000	2016/05/13	2016/10/31	16.50
27984	Goldman Sachs SP (Asia) - China Life Euro Wts Nov 2016 C	150,000,000	2016/05/13	2016/11/25	22.50
27972	Goldman Sachs SP (Asia) - HKEX European Warrants Feb 2017 C	150,000,000	2016/05/13	2017/02/20	41.25
27987	J P Morgan SP BV - China Life European Warrants Dec 2016 D	100,000,000	2016/05/13	2016/12/01	15.20
27988	J P Morgan SP BV - Galaxy Ent European Warrants Dec 2016 A	100,000,000	2016/05/13	2016/12/01	25.10
27986	J P Morgan SP BV - HSBC European Warrants Dec 2016 D	100,000,000	2016/05/13	2016/12/02	15.10
27985	J P Morgan SP BV - HSI European Warrants Dec 2016 C	300,000,000	2016/05/13	2016/12/29	75.30
27978	SG Issuer - China Tel European Warrants Dec 2016 A	35,000,000	2016/05/13	2016/12/05	10.15
27973	UBS AG - CITIC Sec European Warrants Nov 2016 A	60,000,000	2016/05/13	2016/11/23	11.52
27989	BOCI Asia Ltd. - HSBC European Warrants Nov 2016 B	200,000,000	2016/05/16	2016/11/28	50.00
27994	BOCI Asia Ltd. - HSBC European Warrants Nov 2016 C	200,000,000	2016/05/16	2016/11/07	30.00
27995	BOCI Asia Ltd. - PetroChina European Warrants Dec 2016 A	100,000,000	2016/05/16	2016/12/12	32.00
28003	Bank of East Asia - HKEX European Warrants Feb 2017 A	70,000,000	2016/05/16	2017/02/20	10.50
28006	Bank of East Asia - Ping An European Warrants Nov 2016 A	40,000,000	2016/05/16	2016/11/25	11.40
28004	Bank of East Asia - Yanzhou Coal European Wts Dec 2016 A	30,000,000	2016/05/16	2016/12/01	10.50
28034	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Sep 2016 A	80,000,000	2016/05/16	2016/09/30	12.72
28025	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2016 D	200,000,000	2016/05/16	2016/10/28	30.20
28033	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2016 A	200,000,000	2016/05/16	2016/12/29	50.00
28002	HK Bank - AIA European Warrants Nov 2016 A	80,000,000	2016/05/16	2016/11/30	20.00
28000	HK Bank - China Life European Warrants Sep 2016 B	80,000,000	2016/05/16	2016/09/30	12.00
27996	HK Bank - HSI European Put Warrants Dec 2016 B	200,000,000	2016/05/16	2016/12/29	50.00
28021	J P Morgan SP BV - BankComm European Warrants Mar 2017 A	80,000,000	2016/05/16	2017/03/01	20.64
28024	Macquarie Bank Ltd. - CM Bank European Put Warrants Aug 17 A	40,000,000	2016/05/16	2017/08/02	10.00
28012	Macquarie Bank Ltd. - China Unicom European Wts Dec 2017 A	67,000,000	2016/05/16	2017/12/04	10.05
28020	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Nov 2016 A	55,000,000	2016/05/16	2016/11/02	10.01
28008	SG Issuer - HKEX European Warrants Mar 2017 C	200,000,000	2016/05/16	2017/03/03	31.00



# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28050	Goldman Sachs SP (Asia) - CITIC European Warrants Oct 2017 A	100,000,000	2016/05/17	2017/10/26	15.90
28035	Goldman Sachs SP (Asia) - CRCC European Warrants Jun 2017 A	60,000,000	2016/05/17	2017/06/30	10.14
28036	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Oct 2017 A	100,000,000	2016/05/17	2017/10/27	16.10
28038	Goldman Sachs SP (Asia) - HSBC European Warrants Nov 2016 E	100,000,000	2016/05/17	2016/11/30	25.00
28051	J P Morgan SP BV - BOC HK European Warrants Dec 2016 B	100,000,000	2016/05/17	2016/12/30	16.30
28046	Macquarie Bank Ltd. - Galaxy Ent European Wts Mar 2017 A	40,000,000	2016/05/17	2017/03/02	10.00
28049	Macquarie Bank Ltd. - Sands China European Wts Feb 2017 A	40,000,000	2016/05/17	2017/02/02	10.00
28043	SG Issuer - Lenovo European Warrants Jan 2017 B	16,000,000	2016/05/17	2017/01/05	10.08
28041	SG Issuer - Ping An European Warrants Dec 2016 B	120,000,000	2016/05/17	2016/12/05	18.00
28053	BOCI Asia Ltd. - China Life European Warrants Nov 2016 B	200,000,000	2016/05/18	2016/11/08	39.60
28067	BNP Paribas Arbit Issu B.V. - CICC European Warrants Mar 17A	40,000,000	2016/05/18	2017/03/02	10.00
28076	Credit Suisse AG - CRCC European Warrants Dec 2016 A	70,000,000	2016/05/18	2016/12/05	10.50
28074	Credit Suisse AG - Lenovo European Warrants Dec 2016 B	30,000,000	2016/05/18	2016/12/05	10.80
28073	Credit Suisse AG - Ping An European Warrants Oct 2016 E	80,000,000	2016/05/18	2016/10/25	12.00
28052	Goldman Sachs SP (Asia) - BOCL European Warrants Dec 2016 A	80,000,000	2016/05/18	2016/12/21	12.00
28062	Macquarie Bank Ltd. - China Mobile European Wts Apr 2017 A	40,000,000	2016/05/18	2017/04/05	10.00
28060	Macquarie Bank Ltd. - China Overseas European Wts Dec 2016 A	7,000,000	2016/05/18	2016/12/02	10.67
28056	Macquarie Bank Ltd. - HKEX European Warrants Dec 2016 D	16,000,000	2016/05/18	2016/12/02	10.05
28059	Macquarie Bank Ltd. - HKEX European Put Warrants Dec 2016 E	16,000,000	2016/05/18	2016/12/02	10.05
28068	Macquarie Bank Ltd. - Tencent European Warrants Dec 2016 C	10,000,000	2016/05/18	2016/12/02	16.95
28070	Macquarie Bank Ltd. - Tencent European Put Wts Dec 2016 D	10,000,000	2016/05/18	2016/12/02	13.05
28055	SG Issuer - Anhui Conch European Warrants Jan 2017 A	40,000,000	2016/05/18	2017/01/05	10.00
28071	SG Issuer - CCoal European Warrants Dec 2016 A	22,000,000	2016/05/18	2016/12/05	10.01
28072	SG Issuer - MGM China European Warrants May 2017 A	80,000,000	2016/05/18	2017/05/05	20.00
28066	UBS AG - CITIC European Warrants Dec 2017 A	70,000,000	2016/05/18	2017/12/20	10.50
28065	UBS AG - China Unicom European Warrants Dec 2017 A	100,000,000	2016/05/18	2017/12/20	15.00
28079	BOCI Asia Ltd. - AIA European Warrants Nov 2016 C	200,000,000	2016/05/19	2016/11/21	50.00
28077	BOCI Asia Ltd. - Ping An European Warrants Jan 2017 A	200,000,000	2016/05/19	2017/01/23	50.00
28100	Credit Suisse AG - Agricultural Bank European Wts Jan 2017 A	70,000,000	2016/05/19	2017/01/03	10.50
28101	Credit Suisse AG - BOCL European Warrants Aug 2017 A	70,000,000	2016/05/19	2017/08/02	10.50
28115	Goldman Sachs SP (Asia) - AIA European Warrants Oct 2016 A	80,000,000	2016/05/19	2016/10/03	12.32
28106	Goldman Sachs SP (Asia) - HKEX European Warrants Dec 2016 D	80,000,000	2016/05/19	2016/12/05	20.00
28109	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Oct 2016 B	50,000,000	2016/05/19	2016/10/04	12.50
28111	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2016 C	50,000,000	2016/05/19	2016/12/30	12.50
28105	Goldman Sachs SP (Asia) - Tracker Fund European Wts May 17 A	80,000,000	2016/05/19	2017/05/23	12.00
28081	HK Bank - HSI European Warrants Sep 2016 K	180,000,000	2016/05/19	2016/09/29	28.80
28080	HK Bank - HSI European Warrants Oct 2016 D	180,000,000	2016/05/19	2016/10/28	27.00
28085	Macquarie Bank Ltd. - Lenovo European Warrants Jan 2017 A	18,000,000	2016/05/19	2017/01/04	10.01
28089	Macquarie Bank Ltd. - SMIC European Warrants Apr 2019 A	40,000,000	2016/05/19	2019/04/16	10.00
28082	SG Issuer - CHALCO European Warrants Dec 2016 A	30,000,000	2016/05/19	2016/12/05	10.05
28092	SG Issuer - China EB Ltd European Warrants Aug 2017 A	50,000,000	2016/05/19	2017/08/03	12.50
28090	SG Issuer - CKI Holdings European Warrants Jan 2017 A	20,000,000	2016/05/19	2017/01/05	10.00
28093	SG Issuer - Fosun Intl European Warrants Mar 2017 A	80,000,000	2016/05/19	2017/03/03	12.00
28083	SG Issuer - JIANC European Warrants Dec 2017 A	100,000,000	2016/05/19	2017/12/05	18.50

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28098	SG Issuer - Zijin Mining European Warrants Dec 2016 A	25,000,000	2016/05/19	2016/12/05	10.00
28151	Credit Suisse AG - AIA European Warrants Sep 2016 D	70,000,000	2016/05/20	2016/09/30	16.10
28152	Credit Suisse AG - CICC European Warrants Dec 2016 A	40,000,000	2016/05/20	2016/12/30	10.00
28147	Credit Suisse AG - HSBC European Wts Oct 2016 E	100,000,000	2016/05/20	2016/10/07	25.00
28128	Credit Suisse AG - HSI European Warrants Dec 2016 B	120,000,000	2016/05/20	2016/12/29	18.00
28129	Credit Suisse AG - HSI European Put Warrants Sep 2016 E	120,000,000	2016/05/20	2016/09/29	18.00
28131	Credit Suisse AG - HSI European Put Warrants Oct 2016 A	120,000,000	2016/05/20	2016/10/28	18.00
28144	Credit Suisse AG - Ping An European Warrants Dec 2016 D	80,000,000	2016/05/20	2016/12/05	16.00
28173	Goldman Sachs SP (Asia) - Agri Bk European Wts Dec 2016 A	80,000,000	2016/05/20	2016/12/23	12.00
28174	Goldman Sachs SP (Asia) - CC Bank European Wts Oct 2016 A	80,000,000	2016/05/20	2016/10/31	12.00
28167	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2016 J	200,000,000	2016/05/20	2016/09/29	30.00
28177	Goldman Sachs Sp (Asia) - ICBC European Warrants Oct 2016 A	80,000,000	2016/05/20	2016/10/31	12.00
28161	J P Morgan SP BV - China Mob European Wts Dec 2016 C	100,000,000	2016/05/20	2016/12/01	29.50
28164	J P Morgan SP BV - CNOOC European Put Warrants Nov 2017 A	150,000,000	2016/05/20	2017/11/30	22.95
28158	J P Morgan SP BV - HSI European Warrants Nov 2016 C	300,000,000	2016/05/20	2016/11/29	75.30
28160	J P Morgan SP BV - Li & Fung European Warrants Dec 2016 A	60,000,000	2016/05/20	2016/12/30	16.02
28165	J P Morgan SP BV - Lenovo European Warrants Dec 2016 C	60,000,000	2016/05/20	2016/12/05	22.20
28159	J P Morgan SP BV - SHK Ppt European Warrants Dec 2016 A	60,000,000	2016/05/20	2016/12/30	17.88
28119	Macquarie Bank Ltd. - Anta Sports European Wts Mar 2017 A	34,000,000	2016/05/20	2017/03/21	10.10
28118	Macquarie Bank Ltd. - China Life European Wts Dec 2016 C	13,000,000	2016/05/20	2016/12/02	10.02
28123	Macquarie Bank Ltd. - Ping An European Warrants Dec 2016 C	10,000,000	2016/05/20	2016/12/02	10.36
28124	Macquarie Bank Ltd. - Ping An European Put Warrants Jan 17 C	10,000,000	2016/05/20	2017/01/04	10.58
28127	Macquarie Bank Ltd. - Sunny Optical European Warrants Mar17A	13,000,000	2016/05/20	2017/03/24	10.08
28121	SG Issuer - SHK P European Warrants Jan 2017 B	30,000,000	2016/05/20	2017/01/05	11.85
28168	UBS AG - CKP European Warrants Oct 2016 A	70,000,000	2016/05/20	2016/10/26	10.71
28120	UBS AG - HSI European Warrants Aug 2016 F	300,000,000	2016/05/20	2016/08/30	45.00
28172	UBS AG - HSI European Put Warrants Sep 2016 K	300,000,000	2016/05/20	2016/09/29	45.90
28193	Goldman Sachs SP (Asia) - CKH European Wts Nov 2016 B	50,000,000	2016/05/23	2016/11/30	12.50
28217	Goldman Sachs SP (Asia) - Ping An European Wts Nov 2016 A	100,000,000	2016/05/23	2016/11/30	16.60
28218	Goldman Sachs SP (Asia) - SHK Ppt European Wts Dec 2016 A	100,000,000	2016/05/23	2016/12/30	25.00
28192	Haitong Int'l Sec - Ch Comm Cons European Warrants Sep 2017A	68,000,000	2016/05/23	2017/09/28	10.34
28189	Haitong Int'l Sec - China Coal European Warrants Nov 2016 A	30,000,000	2016/05/23	2016/11/28	10.50
28190	Haitong Int'l Sec - CRCC European Warrants May 2017 A	50,000,000	2016/05/23	2017/05/31	12.50
28191	Haitong Int'l Sec - CRCC European Warrants Jun 2017 A	50,000,000	2016/05/23	2017/06/28	10.00
28186	Haitong Int'l Sec - CRCC European Warrants Jun 2017 A	80,000,000	2016/05/23	2017/06/29	12.00
28184	Haitong Int'l Sec - China Telecom European Warrants Nov 16 A	68,000,000	2016/05/23	2016/11/28	10.20
28179	Haitong Int'l Sec - Li & Fung European Warrants Nov 2016 A	50,000,000	2016/05/23	2016/11/23	12.50
28208	Macquarie Bank Ltd. - Minsheng Bank European Wts Dec 2016 A	23,000,000	2016/05/23	2016/12/02	10.01
28207	Macquarie Bank Ltd. - PetroChina European Wts Dec 2016 A	18,000,000	2016/05/23	2016/12/02	10.03
28210	SG Issuer - BOCL European Warrants Feb 2017 A	80,000,000	2016/05/23	2017/02/03	20.00
28211	SG Issuer - CCB European Warrants Dec 2016 A	80,000,000	2016/05/23	2016/12/05	20.00
28212	SG Issuer - Geely Auto European Warrants Jan 2017 A	18,000,000	2016/05/23	2017/01/05	10.44
28214	SG Issuer - ICBC European Warrants Jan 2017 A	80,000,000	2016/05/23	2017/01/05	20.00
28203	UBS AG - BOCL European Warrants Sep 2016 B	70,000,000	2016/05/23	2016/09/23	10.50

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28215	UBS AG - CKH European Warrants Nov 2016 A	30,000,000	2016/05/23	2016/11/22	10.65
28216	UBS AG - Sinopec Corp European Warrants Oct 2016 A	50,000,000	2016/05/23	2016/10/27	19.90
28199	UBS AG - Lenovo European Warrants Dec 2016 C	30,000,000	2016/05/23	2016/12/12	10.29
28232	Credit Suisse AG - BOCL European Warrants Oct 2016 B	70,000,000	2016/05/24	2016/10/05	10.50
28231	Credit Suisse AG - CC Bank European Warrants Oct 2016 A	60,000,000	2016/05/24	2016/10/05	10.80
28233	Credit Suisse AG - Tencent Holdings European Wts Jun 2017 A	100,000,000	2016/05/24	2017/06/05	15.00
28226	Macquarie Bank Ltd. - BOCHK European Warrants Feb 2017 B	10,000,000	2016/05/24	2017/02/02	10.43
28219	Macquarie Bank Ltd. - CKH European Warrants Dec 2016 A	19,000,000	2016/05/24	2016/12/02	10.01
28230	Macquarie Bank Ltd. - Huaneng Power European Wts Jun 2017 A	20,000,000	2016/05/24	2017/06/02	10.20
28220	SG Issuer - AGBK European Warrants Jun 2017 A	80,000,000	2016/05/24	2017/06/05	20.00
28222	SG Issuer - CNBM European Warrants Feb 2017 A	18,000,000	2016/05/24	2017/02/03	10.08
28225	SG Issuer - Haitong Sec European Warrants Jul 2017 A	60,000,000	2016/05/24	2017/07/05	15.00
28236	UBS AG - Ping An European Warrants Dec 2016 B	100,000,000	2016/05/24	2016/12/30	25.00
28234	UBS AG - Tencent Holdings European Put Warrants Nov 2016 A	100,000,000	2016/05/24	2016/11/25	15.10
28247	Goldman Sachs SP (Asia) - CITIC Bank European Wts Nov 2016 A	70,000,000	2016/05/25	2016/11/28	10.50
28243	J P Morgan SP BV - BOCL European Warrants May 2017 A	100,000,000	2016/05/25	2017/05/31	25.10
28246	J P Morgan SP BV - CNOOC European Warrants Oct 2016 B	100,000,000	2016/05/25	2016/10/31	51.60
28245	J P Morgan SP BV - Ch Railway Cons European Wts Jun 2017 A	80,000,000	2016/05/25	2017/06/30	14.00
28244	J P Morgan SP BV - Ping An European Warrants Dec 2016 C	100,000,000	2016/05/25	2016/12/01	27.30
28242	Macquarie Bank Ltd. - BOCL European Warrants Jun 2017 A	40,000,000	2016/05/25	2017/06/02	10.00
28281	Credit Suisse AG - Tencent Holdings European Wts Mar 2017 A	100,000,000	2016/05/26	2017/03/02	15.00
28276	Goldman Sachs SP (Asia) - CICC European Warrants Dec 2016 A	40,000,000	2016/05/26	2016/12/19	10.00
28259	Goldman Sachs SP (Asia) - CNBM European Warrants Mar 2017 A	40,000,000	2016/05/26	2017/03/15	10.04
28258	Goldman Sachs SP (Asia) - Ch Overseas European Wts Jan 2017 A	50,000,000	2016/05/26	2017/01/09	12.50
28257	Goldman Sachs SP (Asia) - Link REIT European Wts Mar 2017 A	50,000,000	2016/05/26	2017/03/29	12.50
28248	HK Bank - HSCEI European Put Warrants Dec 2016 E	100,000,000	2016/05/26	2016/12/29	18.00
28251	HK Bank - HSI European Put Warrants Dec 2016 C	180,000,000	2016/05/26	2016/12/29	27.00
28285	J P Morgan SP BV - China Cinda European Warrants Dec 2016 B	70,000,000	2016/05/26	2016/12/02	10.64
28286	J P Morgan SP BV - Tencent European Warrants Sep 2016 B	100,000,000	2016/05/26	2016/09/30	31.00
28287	J P Morgan SP BV - Tencent European Put Warrants Oct 2016 C	100,000,000	2016/05/26	2016/10/31	55.90
28282	Macquarie Bank Ltd. - China Life European Put Warrants Jan17A	10,500,000	2016/05/26	2017/01/04	10.02
28261	SG Issuer - AIA European Warrants Nov 2016 B	100,000,000	2016/05/26	2016/11/03	15.00
28263	SG Issuer - CLife European Warrants Aug 2017 A	150,000,000	2016/05/26	2017/08/03	22.50
28264	SG Issuer - Ch Mob European Warrants Dec 2016 C	80,000,000	2016/05/26	2016/12/05	22.00
28272	SG Issuer - CNOOC European Warrants Dec 2016 B	30,000,000	2016/05/26	2016/12/05	14.70
28274	SG Issuer - Galaxy Ent European Warrants Dec 2016 B	80,000,000	2016/05/26	2016/12/05	22.80
28283	SG Issuer - Tracker Fund European Warrants Dec 2016 A	100,000,000	2016/05/26	2016/12/05	18.00
28284	SG Issuer - Tracker Fund European Warrants Jul 2017 A	100,000,000	2016/05/26	2017/07/03	15.00
26389 #	J P Morgan SP BV - China Life European Warrants Sep 2016 C	200,000,000	2016/05/26	2016/09/01	12.00
28298	Credit Suisse AG - Kingsoft European Warrants Dec 2016 A	40,000,000	2016/05/27	2016/12/05	10.00
28288	Goldman Sachs SP (Asia) - China Mobile Euro Wts Nov 2016 E	80,000,000	2016/05/27	2016/11/28	26.80
28297	Goldman Sachs SP (Asia) - CNOOC European Warrants Oct 2016 A	50,000,000	2016/05/27	2016/10/24	11.70
28314	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2016 B	200,000,000	2016/05/27	2016/12/29	30.00
28291	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2017 A	300,000,000	2016/05/27	2017/06/30	50.70

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28292	Goldman Sachs SP (Asia) - Tencent European Put Wt Dec 2017 A	180,000,000	2016/05/27	2017/12/22	29.34
28316	J P Morgan SP BV - Anta Sports European Warrants Dec 2016 A	60,000,000	2016/05/27	2016/12/30	15.00
28317	J P Morgan SP BV - China Tel European Warrants Dec 2016 A	80,000,000	2016/05/27	2016/12/30	20.00
28300	J P Morgan SP BV - HSI European Put Warrants Dec 2016 D	300,000,000	2016/05/27	2016/12/29	45.30
28319	J P Morgan SP BV - Kingsoft European Warrants Oct 2016 B	70,000,000	2016/05/27	2016/10/05	10.64
28296	Macquarie Bank Ltd. - Kingsoft European Warrants Feb 2017 A	38,000,000	2016/05/27	2017/02/02	10.03
28301	SG Issuer - HKEX European Warrants Dec 2016 D	20,000,000	2016/05/27	2016/12/05	10.60
28305	SG Issuer - Kingsoft European Warrants Dec 2016 A	40,000,000	2016/05/27	2016/12/05	10.00
28290	SG Issuer - Kingsoft European Warrants Jan 2017 A	40,000,000	2016/05/27	2017/01/05	10.00
28309	SG Issuer - Tencent European Warrants Oct 2016 C	30,000,000	2016/05/27	2016/10/05	11.55
28307	SG Issuer - Tencent European Warrants Dec 2016 D	30,000,000	2016/05/27	2016/12/05	21.60
28312	SG Issuer - Tencent European Put Warrants Nov 2016 B	30,000,000	2016/05/27	2016/11/03	19.80
28293	UBS AG - Kingsoft European Warrants Nov 2016 B	100,000,000	2016/05/27	2016/11/28	35.00
28342	BOCI Asia Ltd. - CC Bank European Warrants Jan 2017 A	100,000,000	2016/05/30	2017/01/09	25.20
28341	BOCI Asia Ltd. - ICBC European Warrants Jan 2017 A	100,000,000	2016/05/30	2017/01/09	20.50
28336	BOCI Asia Ltd. - Tencent Holdings European Wts Jun 2017 A	200,000,000	2016/05/30	2017/06/02	30.00
28339	BOCI Asia Ltd. - Tencent Holdings European Put Wts Dec 2016 E	100,000,000	2016/05/30	2016/12/02	15.00
28374	BNP Paribas Arbit Issu B.V. - HSI European Wts Oct 2016 C	100,000,000	2016/05/30	2016/10/28	15.00
28368	BNP Paribas Arbit Issu B.V. - HSI European Wts Dec 2016 C	100,000,000	2016/05/30	2016/12/29	25.00
28375	BNP Paribas Arbit Issu B.V. - HSI European Wts Feb 2017 A	100,000,000	2016/05/30	2017/02/27	16.00
28384	BNP Paribas Arbit Issu B.V. - HSI European Wts Mar 2017 B	100,000,000	2016/05/30	2017/03/30	25.00
28376	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Feb 2017 B	100,000,000	2016/05/30	2017/02/27	25.00
28385	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Mar 2017 C	100,000,000	2016/05/30	2017/03/30	25.00
28333	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Mar 2017 A	40,000,000	2016/05/30	2017/03/02	10.00
28332	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Jan 2017 B	40,000,000	2016/05/30	2017/01/04	12.40
28389	Goldman Sachs SP (Asia) - Kingsoft European Wts Dec 2016 A	40,000,000	2016/05/30	2016/12/05	10.00
28320	HK Bank - CNOOC European Warrants Nov 2016 B	50,000,000	2016/05/30	2016/11/30	31.00
28329	HK Bank - Kingsoft European Warrants Dec 2016 B	50,000,000	2016/05/30	2016/12/30	12.50
28330	HK Bank - Lenovo European Warrants Dec 2016 C	40,000,000	2016/05/30	2016/12/30	12.40
28321	HK Bank - Ping An European Warrants Nov 2016 A	80,000,000	2016/05/30	2016/11/30	24.00
28360	J P Morgan SP BV - CKH European Warrants Nov 2017 A	200,000,000	2016/05/30	2017/11/30	30.20
28364	J P Morgan SP BV - China Life European Warrants Sep 2016 D	100,000,000	2016/05/30	2016/09/30	15.00
28386	J P Morgan SP BV - China Life European Warrants Sep 2016 E	200,000,000	2016/05/30	2016/09/09	30.00
28345	Macquarie Bank Ltd. - CITIC Bank European Wts Oct 2016 B	65,000,000	2016/05/30	2016/10/04	10.14
28343	Macquarie Bank Ltd. - Ping An European Warrants Mar 2017 A	40,000,000	2016/05/30	2017/03/02	10.00
28350	Macquarie Bank Ltd. - Sino Biopharm European Warrants May17A	10,000,000	2016/05/30	2017/05/16	10.00
28335	SG Issuer - Anta Sports European Warrants Dec 2016 A	40,000,000	2016/05/30	2016/12/05	10.00
28353	SG Issuer - BOCHK European Warrants Jan 2017 A	70,000,000	2016/05/30	2017/01/05	10.50
28354	SG Issuer - Galaxy European Put Warrants Dec 2016 C	80,000,000	2016/05/30	2016/12/05	20.00
28355	SG Issuer - HSI European Warrants Jan 2017 A	150,000,000	2016/05/30	2017/01/26	37.50
28357	SG Issuer - HSI Euro Put Wts Nov 2016 A	150,000,000	2016/05/30	2016/11/29	37.50
28356	SG Issuer - HSI Euro Put Wts Dec 2016 E	150,000,000	2016/05/30	2016/12/29	32.25
28358	SG Issuer - Sands China European Warrants Jan 2017 A	80,000,000	2016/05/30	2017/01/05	20.00
28424	Goldman Sachs SP (Asia) - CGN Power European Warrants Dec16A	30,000,000	2016/05/31	2016/12/30	10.05

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28422	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2016 C	100,000,000	2016/05/31	2016/09/27	15.00
28393	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2016 E	200,000,000	2016/05/31	2016/10/28	30.00
28401	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2016 C	200,000,000	2016/05/31	2016/12/29	50.00
28406	Haitong Int'l Sec - China Life European Warrants Nov 2016 A	80,000,000	2016/05/31	2016/11/08	12.00
28408	Haitong Int'l Sec - Kingsoft European Warrants Dec 2016 A	40,000,000	2016/05/31	2016/12/28	10.04
28402	Haitong Int'l Sec - Lenovo European Warrants Dec 2016 A	40,000,000	2016/05/31	2016/12/28	10.36
28421	J P Morgan SP BV - Ping An European Warrants Sep 2016 E	200,000,000	2016/05/31	2016/09/30	30.00
28420	Macquarie Bank Ltd. - CNOOC European Warrants Apr 2017 A	15,000,000	2016/05/31	2017/04/05	10.05
28419	Macquarie Bank Ltd. - Tencent European Warrants Jan 2017 B	27,000,000	2016/05/31	2017/01/04	10.02
28437	BOCI Asia Ltd. - China Life European Warrants Oct 2016 B	200,000,000	2016/06/01	2016/10/12	30.00
28438	BOCI Asia Ltd. - China Life European Warrants Sep 2017 A	200,000,000	2016/06/01	2017/09/29	50.00
28441	Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2016 D	70,000,000	2016/06/01	2016/09/23	10.64
28456	Goldman Sachs SP (Asia) - China Unicom Euro Wts Nov 2017 A	100,000,000	2016/06/01	2017/11/28	15.00
28460	Goldman Sachs SP (Asia) - Ping An European Wts Jan 2017 A	100,000,000	2016/06/01	2017/01/26	25.00
28442	J P Morgan SP BV - HSI European Put Warrants Dec 2016 E	300,000,000	2016/06/01	2016/12/29	75.30
28446	SG Issuer - BOCL European Put Warrants Feb 2017 B	80,000,000	2016/06/01	2017/02/03	20.00
28447	SG Issuer - CCB European Put Warrants Dec 2016 B	80,000,000	2016/06/01	2016/12/05	21.20
28455	SG Issuer - CNOOC European Put Warrants Dec 2016 C	20,000,000	2016/06/01	2016/12/05	13.00
28448	SG Issuer - CSOP A50 ETF European Warrants Dec 2016 B	30,000,000	2016/06/01	2016/12/05	11.70
28449	SG Issuer - HS H-SHARE European Warrants Dec 2016 A	50,000,000	2016/06/01	2016/12/05	12.50
28451	SG Issuer - HS H-SH European Put Warrants Jan 2017 C	50,000,000	2016/06/01	2017/01/05	48.00
28452	SG Issuer - ICBC European Put Wt Dec 2016 B	80,000,000	2016/06/01	2016/12/05	21.60
28453	SG Issuer - Stanchart European Warrants Jan 2017 B	20,000,000	2016/06/01	2017/01/05	10.00
28504	Credit Suisse AG - CNBM European Warrants Dec 2016 B	30,000,000	2016/06/02	2016/12/05	10.50
28510	Credit Suisse AG - HSBC European Put Warrants Nov 2016 D	40,000,000	2016/06/02	2016/11/04	12.80
28491	Goldman Sachs SP (Asia) - CKH European Wts Nov 2017 A	100,000,000	2016/06/02	2017/11/28	15.00
28480	Goldman Sachs SP (Asia) - CNOOC European Warrants May 2018 A	100,000,000	2016/06/02	2018/05/28	15.30
28507	J P Morgan SP BV - ICBC European Put Wts Dec 2016 A	100,000,000	2016/06/02	2016/12/01	15.10
28492	SG Issuer - CAM CSI300 European Warrants Jan 2017 A	50,000,000	2016/06/02	2017/01/05	12.50
28493	SG Issuer - Huaneng Power European Warrants Dec 2016 A	20,000,000	2016/06/02	2016/12/05	10.00
28466	UBS AG - AIA European Warrants Nov 2016 A	100,000,000	2016/06/02	2016/11/07	20.40
28473	UBS AG - BOCL European Warrants Dec 2016 A	70,000,000	2016/06/02	2016/12/21	10.50
28477	UBS AG - CC Bank European Warrants Dec 2016 A	70,000,000	2016/06/02	2016/12/23	10.85
28469	UBS AG - HSBC European Warrants Nov 2016 E	100,000,000	2016/06/02	2016/11/03	21.90
28472	UBS AG - ICBC European Warrants Sep 2016 B	70,000,000	2016/06/02	2016/09/23	10.50
28513	BOCI Asia Ltd. - China Mobile European Put Wts Jan 2017 B	100,000,000	2016/06/03	2017/01/03	51.10
28515	BOCI Asia Ltd. - HSBC European Warrants Nov 2016 D	200,000,000	2016/06/03	2016/11/09	32.00
28543	J P Morgan SP BV - CITIC Bank European Warrants Dec 2016 A	50,000,000	2016/06/03	2016/12/01	10.00
28544	J P Morgan SP BV - ICBC European Warrants Jul 2017 A	100,000,000	2016/06/03	2017/07/18	15.00
28557	J P Morgan SP BV - Tencent European Warrants Sep 2016 C	80,000,000	2016/06/03	2016/09/05	12.40
28552	J P Morgan SP BV - Tencent European Warrants Oct 2016 D	80,000,000	2016/06/03	2016/10/31	42.56
28530	J P Morgan SP BV - Tencent European Put Warrants Dec 2017 A	200,000,000	2016/06/03	2017/12/29	30.00
28534	Macquarie Bank Ltd. - Kingsoft European Warrants Dec 2016 B	34,000,000	2016/06/03	2016/12/05	10.17
28533	SG Issuer - HSCEI European Warrants Mar 2017 A	150,000,000	2016/06/03	2017/03/30	22.50

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28519	SG Issuer - Tencent European Warrants Dec 2016 E	35,000,000	2016/06/03	2016/12/05	10.15
28520	SG Issuer - Tencent European Put Warrants Mar 2017 B	300,000,000	2016/06/03	2017/03/03	75.00
28541	UBS AG - Tencent Holdings European Warrants Oct 2017 A	300,000,000	2016/06/03	2017/10/23	75.00
26575 #	Credit Suisse AG - ChiUnicom European Warrants Dec 2016 B	200,000,000	2016/06/03	2016/12/12	10.80
28596	Credit Suisse AG - CNOOC European Put Warrants Jan 2017 A	70,000,000	2016/06/06	2017/01/03	10.50
28595	Credit Suisse AG - Sinopec Corp European Warrants Nov 2016 A	70,000,000	2016/06/06	2016/11/02	11.20
28588	Credit Suisse AG - HKEX European Warrants Oct 2016 A	60,000,000	2016/06/06	2016/10/17	13.80
28600	Credit Suisse AG - HS H-SHARE European Warrants Oct 2016 A	60,000,000	2016/06/06	2016/10/25	10.80
28599	Credit Suisse AG - ICBC European Warrants Jul 2017 A	70,000,000	2016/06/06	2017/07/11	10.50
28584	Credit Suisse AG - Tencent Holdings European Wts Dec 2016 D	50,000,000	2016/06/06	2016/12/02	22.00
28580	Credit Suisse AG - Tencent Holdings Euro Put Wts Apr 2017 B	90,000,000	2016/06/06	2017/04/05	22.50
28568	Goldman Sachs SP (Asia) - CC Bank European Wts Nov 2016 A	100,000,000	2016/06/06	2016/11/23	15.40
28574	Goldman Sachs SP (Asia) - CRRC European Warrants Jul 2017 A	100,000,000	2016/06/06	2017/07/04	15.00
28566	Goldman Sachs SP (Asia) - HSI European Warrants Nov 2016 B	200,000,000	2016/06/06	2016/11/29	30.00
28567	Goldman Sachs Sp (Asia) - ICBC European Warrants Dec 2016 A	80,000,000	2016/06/06	2016/12/30	20.08
28569	Haitong Int'l Sec - Tencent European Warrants Sep 2017 A	80,000,000	2016/06/06	2017/09/29	20.00
28577	Macquarie Bank Ltd. - Tencent European Warrants Feb 2017 A	22,000,000	2016/06/06	2017/02/02	10.01
28579	UBS AG - HSI European Put Warrants Oct 2016 B	300,000,000	2016/06/06	2016/10/28	45.00
28619	BNP Paribas Arbit Issu B.V. - BOCL European Wts Jan 2017 A	80,000,000	2016/06/07	2017/01/03	20.00
28616	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jan 2017 A	60,000,000	2016/06/07	2017/01/03	15.00
28603	BNP Paribas Arbit Issu B.V. - HSI European Wts Feb 2017 C	100,000,000	2016/06/07	2017/02/27	26.00
28610	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Feb 2017 D	100,000,000	2016/06/07	2017/02/27	28.00
28614	BNP Paribas Arbit Issu B.V. - ICBC European Wts Jan 2017 A	60,000,000	2016/06/07	2017/01/03	15.00
28611	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2017 A	30,000,000	2016/06/07	2017/01/03	17.70
28642	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Jan 2017 A	80,000,000	2016/06/07	2017/01/18	20.00
28633	J P Morgan SP BV - AIA European Warrants Dec 2016 B	100,000,000	2016/06/07	2016/12/06	25.10
28629	J P Morgan SP BV - CC Bank European Wts Nov 2016 B	100,000,000	2016/06/07	2016/11/30	15.40
28628	J P Morgan SP BV - CITIC Sec European Warrants Nov 2016 A	100,000,000	2016/06/07	2016/11/29	15.20
28637	J P Morgan SP BV - CSOP A50 ETF European Warrants Nov 2016 A	100,000,000	2016/06/07	2016/11/01	15.00
28634	J P Morgan SP BV - A50 China European Warrants Dec 2016 C	100,000,000	2016/06/07	2016/12/30	28.30
28625	J P Morgan SP BV - HSI European Put Warrants Nov 2016 D	300,000,000	2016/06/07	2016/11/29	57.60
28602	Macquarie Bank Ltd. - Tencent European Warrants Jan 2017 C	36,000,000	2016/06/07	2017/01/04	10.01
28620	UBS AG - CC Bank European Warrants Nov 2016 A	70,000,000	2016/06/07	2016/11/23	11.34
28621	UBS AG - CSOP A50 ETF European Warrants Dec 2016 B	40,000,000	2016/06/07	2016/12/06	10.00
28622	UBS AG - Haitong Sec European Warrants Jan 2017 A	70,000,000	2016/06/07	2017/01/26	10.64
28623	UBS AG - Tencent Holdings European Warrants Oct 2016 C	50,000,000	2016/06/07	2016/10/04	15.55
28703	BOCI Asia Ltd. - BOC HK European Warrants Apr 2017 A	100,000,000	2016/06/08	2017/04/25	25.00
28704	BOCI Asia Ltd. - BOC HK European Warrants Jul 2017 A	100,000,000	2016/06/08	2017/07/17	25.00
28705	BOCI Asia Ltd. - BOCL European Warrants Apr 2017 A	100,000,000	2016/06/08	2017/04/25	33.00
28707	BOCI Asia Ltd. - BOCL European Warrants Aug 2017 A	100,000,000	2016/06/08	2017/08/02	18.80
28737	BOCI Asia Ltd. - BOCL European Warrants Dec 2017 A	100,000,000	2016/06/08	2017/12/04	17.80
28740	BOCI Asia Ltd. - BOCL European Put Warrants Aug 2017 B	100,000,000	2016/06/08	2017/08/07	25.00
28743	BOCI Asia Ltd. - Lenovo European Warrants Dec 2016 B	100,000,000	2016/06/08	2016/12/19	25.00
28756	Credit Suisse AG - Ch Comm Cons European Warrants Sep 2017 A	70,000,000	2016/06/08	2017/09/28	10.50

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28754	Credit Suisse AG - Geely Auto European Warrants Nov 2016 A	40,000,000	2016/06/08	2016/11/14	16.00
28769	Credit Suisse AG - HTSC European Warrants Feb 2017 A	40,000,000	2016/06/08	2017/02/28	10.00
28761	Credit Suisse AG - Lenovo European Warrants Dec 2016 C	30,000,000	2016/06/08	2016/12/12	12.00
28644	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Oct 2016 A	80,000,000	2016/06/08	2016/10/26	12.00
28643	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Dec 2016 B	60,000,000	2016/06/08	2016/12/30	15.00
28645	Goldman Sachs SP (Asia) - Tracker Fund European Wts Dec 17 A	100,000,000	2016/06/08	2017/12/21	15.00
28670	Haitong Int'l Sec - Ch LongYuan European Warrants Aug 2018 A	40,000,000	2016/06/08	2018/08/31	10.00
28696	Haitong Int'l Sec - Minsheng Bank European Warrants Dec 16 A	50,000,000	2016/06/08	2016/12/30	15.15
28684	Haitong Int'l Sec - Huadian Power European Warrants Jul 17 A	20,000,000	2016/06/08	2017/07/27	14.54
28671	Haitong Int'l Sec - HN Renewables European Warrants Apr 17 A	40,000,000	2016/06/08	2017/04/28	19.56
28688	Haitong Int'l Sec - ICBC European Warrants Jul 2017 A	80,000,000	2016/06/08	2017/07/27	12.00
28770	J P Morgan SP BV - CSOP A50 ETF European Warrants Sep 2018 A	200,000,000	2016/06/08	2018/09/21	30.20
28669	Macquarie Bank Ltd. - AIA European Warrants Nov 2016 A	46,000,000	2016/06/08	2016/11/02	10.07
28646	Macquarie Bank Ltd. - CC Bank European Warrants Dec 2016 A	67,000,000	2016/06/08	2016/12/02	10.05
28752	Macquarie Bank Ltd. - ICBC European Warrants Sep 2017 A	40,000,000	2016/06/08	2017/09/04	10.00
28698	Macquarie Bank Ltd. - Li Ning European Warrants Nov 2017 A	10,000,000	2016/06/08	2017/11/16	10.00
28699	SG Issuer - CITIC Sec European Warrants Dec 2016 A	60,000,000	2016/06/08	2016/12/05	10.20
28700	SG Issuer - Lenovo European Warrants Feb 2017 A	18,000,000	2016/06/08	2017/02/03	10.08
28778	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2016 A	70,000,000	2016/06/10	2016/12/02	11.20
28835	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Sep 2018 B	120,000,000	2016/06/10	2018/09/21	18.00
28776	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2017 A	200,000,000	2016/06/10	2017/03/30	30.00
28780	Haitong Int'l Sec - CITIC Sec European Warrants Nov 2016 A	65,000,000	2016/06/10	2016/11/28	10.01
28786	Haitong Int'l Sec - CSOP A50 ETF European Warrants Oct 2016A	80,000,000	2016/06/10	2016/10/31	12.08
28795	Haitong Int'l Sec - A50 China European Warrants Mar 2017 A	50,000,000	2016/06/10	2017/03/30	15.95
28796	Haitong Int'l Sec - GCL-Poly European Warrants Jun 2017 A	40,000,000	2016/06/10	2017/06/30	10.76
28797	Haitong Int'l Sec - HTSC European Warrants Mar 2017 A	40,000,000	2016/06/10	2017/03/28	10.04
28841	J P Morgan SP BV - BYD European Warrants Sep 2017 A	200,000,000	2016/06/10	2017/09/18	30.40
28845	J P Morgan SP BV - HSI European Warrants Mar 2017 A	300,000,000	2016/06/10	2017/03/30	46.50
28838	J P Morgan SP BV - Lenovo European Warrants Feb 2017 A	60,000,000	2016/06/10	2017/02/01	24.00
28839	J P Morgan SP BV - Weichai Power European Wts Aug 2017 A	60,000,000	2016/06/10	2017/08/15	15.00
28801	UBS AG - HSI European Put Warrants Dec 2016 E	300,000,000	2016/06/10	2016/12/29	45.30
28813	UBS AG - Lenovo European Warrants Dec 2016 D	30,000,000	2016/06/10	2016/12/19	10.05
28800	UBS AG - Ping An European Warrants Nov 2016 B	100,000,000	2016/06/10	2016/11/28	20.60
28867	Credit Suisse AG - AAC Acoustic European Warrants Dec 2016 A	15,000,000	2016/06/13	2016/12/14	12.45
28868	Credit Suisse AG - China Re European Warrants Dec 2016 A	40,000,000	2016/06/13	2016/12/30	10.00
28869	J P Morgan SP BV - HSI European Put Warrants Sep 2016 H	300,000,000	2016/06/13	2016/09/29	45.60
10399	J P Morgan SP BV - N225 Index Euro Wts Dec 2016 C	100,000,000	2016/06/13	2016/12/09	15.00
10398	J P Morgan SP BV - N225 Idx Euro Put Wts Dec 2016 B	100,000,000	2016/06/13	2016/12/09	15.10
28865	Macquarie Bank Ltd. - BYD European Warrants Feb 2017 A	9,000,000	2016/06/13	2017/02/02	10.01
28866	Macquarie Bank Ltd. - Ping An European Warrants Feb 2017 B	10,000,000	2016/06/13	2017/02/02	10.02
29013	BNP Paribas Arbit Issu B.V. - BYD European Wts Oct 2017 A	70,000,000	2016/06/14	2017/10/03	10.50
28908	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Nov 2017 A	80,000,000	2016/06/14	2017/11/02	15.20
28904	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Oct 2018 B	80,000,000	2016/06/14	2018/10/03	12.00
28912	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Nov 2016 B	80,000,000	2016/06/14	2016/11/02	12.80

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28919	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Nov 2016 A	50,000,000	2016/06/14	2016/11/02	10.50
29003	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jan 2017 A	40,000,000	2016/06/14	2017/01/04	12.40
29002	Goldman Sachs SP (Asia) - Kingsoft European Wts Nov 2016 A	80,000,000	2016/06/14	2016/11/07	12.00
29021	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2016 D	50,000,000	2016/06/14	2016/12/28	10.00
28884	Haitong Int'l Sec - BYD European Warrants Sep 2017 A	100,000,000	2016/06/14	2017/09/18	15.00
28880	Haitong Int'l Sec - Gome European Warrants Nov 2017 A	40,000,000	2016/06/14	2017/11/28	10.00
28883	Haitong Int'l Sec - Lenovo European Warrants Jan 2017 A	40,000,000	2016/06/14	2017/01/26	11.92
28895	Haitong Int'l Sec - Li Ning European Warrants Nov 2017 A	20,000,000	2016/06/14	2017/11/15	15.00
28896	Haitong Int'l Sec - Weichai Power European Wts Aug 2017 A	50,000,000	2016/06/14	2017/08/15	12.50
29024	J P Morgan SP BV - Tencent European Warrants Sep 2017 A	200,000,000	2016/06/14	2017/09/29	34.00
29025	J P Morgan SP BV - Tencent European Put Warrants Mar 2017 B	200,000,000	2016/06/14	2017/03/31	37.00
29023	J P Morgan SP BV - Wharf European Warrants Feb 2017 A	60,000,000	2016/06/14	2017/02/01	15.12
28972	UBS AG - HS H-SHARE European Warrants Dec 2016 A	50,000,000	2016/06/14	2016/12/29	22.85
28999	UBS AG - HSI European Put Warrants Oct 2016 C	300,000,000	2016/06/14	2016/10/28	54.30
28968	UBS AG - Lenovo European Put Warrants Dec 2016 E	30,000,000	2016/06/14	2016/12/19	13.17
28954	UBS AG - Tencent Holdings European Put Warrants Mar 2017 A	200,000,000	2016/06/14	2017/03/28	36.20
29042	BOCI Asia Ltd. - China Life European Warrants Dec 2016 D	200,000,000	2016/06/15	2016/12/09	32.00
29038	BOCI Asia Ltd. - A50 China European Warrants Jan 2017 A	100,000,000	2016/06/15	2017/01/03	29.40
29033	BOCI Asia Ltd. - HKEX European Warrants Mar 2017 A	200,000,000	2016/06/15	2017/03/10	30.00
29030	BOCI Asia Ltd. - HKEX European Warrants Jun 2017 A	200,000,000	2016/06/15	2017/06/02	34.80
29034	BOCI Asia Ltd. - HKEX European Put Warrants Jan 2017 A	100,000,000	2016/06/15	2017/01/03	15.00
29037	BOCI Asia Ltd. - ICBC European Warrants Jul 2017 A	100,000,000	2016/06/15	2017/07/14	21.30
29026	BNP Paribas Arbit Issu B.V. - BOCHK European Wts May 2017 A	50,000,000	2016/06/15	2017/05/04	11.50
29029	BNP Paribas Arbit Issu B.V. - Ping An European Wt Feb 2017 B	60,000,000	2016/06/15	2017/02/02	13.20
29223	Credit Suisse AG - Tencent Holdings European Wts Nov 2016 C	50,000,000	2016/06/15	2016/11/02	37.50
29113	Goldman Sachs SP (Asia) - China Railway Euro Wts Nov 2017 A	70,000,000	2016/06/15	2017/11/27	10.50
29141	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2017 A	200,000,000	2016/06/15	2017/06/29	30.00
29217	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2016 F	200,000,000	2016/06/15	2016/10/28	30.00
29246	J P Morgan SP BV - HSI European Put Warrants Feb 2017 A	300,000,000	2016/06/15	2017/02/27	48.30
29257	J P Morgan SP BV - Tencent European Warrants Dec 2016 G	100,000,000	2016/06/15	2016/12/30	51.90
29243	J P Morgan SP BV - Tencent European Warrants Jul 2017 A	200,000,000	2016/06/15	2017/07/31	50.80
29048	Macquarie Bank Ltd. - Lenovo European Warrants Feb 2017 A	20,000,000	2016/06/15	2017/02/02	10.00
29043	Macquarie Bank Ltd. - Tencent European Put Wts Jan 2017 D	17,000,000	2016/06/15	2017/01/04	10.01
29215	SG Issuer - AIA European Put Warrants Dec 2016 B	100,000,000	2016/06/15	2016/12/05	25.50
29151	SG Issuer - CMB European Warrants Jan 2017 A	12,000,000	2016/06/15	2017/01/05	10.68
29216	SG Issuer - CMob European Put Warrants Nov 2016 D	80,000,000	2016/06/15	2016/11/03	23.60
29199	SG Issuer - Geely Auto European Warrants Feb 2017 A	18,000,000	2016/06/15	2017/02/03	10.08
29200	SG Issuer - HKEX European Put Warrants Dec 2016 E	200,000,000	2016/06/15	2016/12/05	33.00
29201	SG Issuer - Tencent European Warrants Jan 2017 E	35,000,000	2016/06/15	2017/01/05	10.15
29098	UBS AG - HSI European Warrants Mar 2017 A	300,000,000	2016/06/15	2017/03/30	45.30
29050	UBS AG - HSI European Put Warrants Sep 2016 L	300,000,000	2016/06/15	2016/09/29	45.00
29271	Goldman Sachs SP (Asia) - CITIC Sec European Wts Sep 2016 A	100,000,000	2016/06/16	2016/09/30	15.00
29283	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Dec 2016 A	30,000,000	2016/06/16	2016/12/21	10.11
29263	Goldman Sachs SP (Asia) - Chi Res Land Euro Wts Feb 2017 A	80,000,000	2016/06/16	2017/02/23	12.00



# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29330	Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2016 C	200,000,000	2016/06/16	2016/11/29	30.00
29284	Goldman Sachs Sp (Asia) - ICBC European Warrants Jan 2017 A	80,000,000	2016/06/16	2017/01/27	12.00
29338	Macquarie Bank Ltd. - China Mobile European Wts Jun 2017 A	40,000,000	2016/06/16	2017/06/02	11.12
29342	Macquarie Bank Ltd. - CNOOC European Warrants Jan 2017 A	15,000,000	2016/06/16	2017/01/04	10.14
29332	Macquarie Bank Ltd. - Sinopharm European Warrants Apr 2017 A	14,000,000	2016/06/16	2017/04/18	10.01
29287	Macquarie Bank Ltd. - SHK Ppt European Warrants Apr 2017 A	23,000,000	2016/06/16	2017/04/05	10.05
29313	SG Issuer - HSI European Warrants Mar 2017 A	150,000,000	2016/06/16	2017/03/30	22.50
29322	SG Issuer - HSI European Warrants Jun 2017 B	150,000,000	2016/06/16	2017/06/29	22.50
25399 #	Credit Suisse AG - ChiUnicom European Warrants Dec 2017 A	120,000,000	2016/06/16	2017/12/29	10.20
29464	Credit Suisse AG - Ping An European Put Warrants Jan 2017 A	40,000,000	2016/06/17	2017/01/03	10.00
29494	Bank of East Asia - Lenovo European Warrants Jan 2017 A	40,000,000	2016/06/17	2017/01/09	10.00
29424	HK Bank - Tencent European Warrants Sep 2017 A	100,000,000	2016/06/17	2017/09/28	18.00
29398	HK Bank - Tencent European Put Warrants Mar 2017 A	100,000,000	2016/06/17	2017/03/28	16.00
29463	Haitong Int'l Sec - China Power European Warrants Jun 2017 A	25,000,000	2016/06/17	2017/06/30	10.05
29436	Haitong Int'l Sec - China State Con European Warrants Dec17A	40,000,000	2016/06/17	2017/12/28	10.00
29432	Haitong Int'l Sec - ND Paper European Warrants Aug 2017 A	68,000,000	2016/06/17	2017/08/01	10.81
29499	J P Morgan SP BV - HSI European Warrants May 2017 A	300,000,000	2016/06/17	2017/05/29	76.50
29458	SG Issuer - China Oilfield European Warrants Dec 2017 A	100,000,000	2016/06/17	2017/12/05	25.00
29528	BOCI Asia Ltd. - Agricultural Bk European Wts May 2017 A	100,000,000	2016/06/20	2017/05/29	16.00
29531	BOCI Asia Ltd. - AIA European Warrants Dec 2016 A	100,000,000	2016/06/20	2016/12/28	25.00
29514	BOCI Asia Ltd. - CNOOC European Warrants May 2018 A	100,000,000	2016/06/20	2018/05/28	16.00
29536	Credit Suisse AG - ChiUnicom European Warrants Nov 2017 A	150,000,000	2016/06/20	2017/11/28	22.50
29605	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Aug 2017 A	40,000,000	2016/06/20	2017/08/02	10.00
29550	Macquarie Bank Ltd. - MTRC European Warrants Jun 2017 A	40,000,000	2016/06/20	2017/06/02	10.00
29583	Macquarie Bank Ltd. - Techtronic Ind European Wts Sep 2017 A	18,000,000	2016/06/20	2017/09/18	10.01
29663	UBS AG - China Life European Warrants Sep 2016 B	100,000,000	2016/06/20	2016/09/27	15.20
10401	BNP Paribas Arbit Issu B.V. - AUD/USD European Wts Mar 2017 A	50,000,000	2016/06/21	2017/03/24	12.50
10402	BNP Paribas Arbit Issu B.V. - AUD/USD Euro Put Wts Mar 2017 B	50,000,000	2016/06/21	2017/03/24	12.50
10400	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Mar 2017 A	50,000,000	2016/06/21	2017/03/24	12.50
29769	Credit Suisse AG - China Life European Warrants Oct 2016 B	80,000,000	2016/06/21	2016/10/04	12.00
29811	Credit Suisse AG - China Life European Warrants Aug 2017 A	100,000,000	2016/06/21	2017/08/02	15.00
29734	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2016 A	100,000,000	2016/06/21	2016/12/22	26.40
29735	Goldman Sachs SP (Asia) - HSBC European Put Wts Dec 2016 B	80,000,000	2016/06/21	2016/12/30	25.52
29737	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Jan 2017 B	60,000,000	2016/06/21	2017/01/27	15.00
29738	Goldman Sachs SP (Asia) - Stanchart European Wts Jan 2017 A	40,000,000	2016/06/21	2017/01/27	14.56
29845	J P Morgan SP BV - BOCL European Put Wts Feb 2017 B	100,000,000	2016/06/21	2017/02/03	15.60
29957	J P Morgan SP BV - HKEX European Put Wts Oct 2017 A	200,000,000	2016/06/21	2017/10/03	50.00
29740	Macquarie Bank Ltd. - Tencent European Warrants Jan 2017 E	11,500,000	2016/06/21	2017/01/04	10.03
29741	SG Issuer - Li & Fung European Warrants Jan 2017 A	30,000,000	2016/06/21	2017/01/05	10.05
29713	UBS AG - Sinopec Corp European Warrants Nov 2016 A	60,000,000	2016/06/21	2016/11/28	10.20
11009	BOCI Asia Ltd. - Galaxy Ent European Warrants Jan 2017 A	100,000,000	2016/06/22	2017/01/16	25.00
11016	BOCI Asia Ltd. - HSBC European Put Warrants Dec 2016 A	100,000,000	2016/06/22	2016/12/28	28.00
11013	BOCI Asia Ltd. - Sands China European Warrants Jan 2017 A	100,000,000	2016/06/22	2017/01/10	25.00
11003	BOCI Asia Ltd. - Tencent Holdings European Wts Jun 2017 B	200,000,000	2016/06/22	2017/06/30	50.00

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11007	BOCI Asia Ltd. - Tencent Holdings European Wts Jul 2017 A	200,000,000	2016/06/22	2017/07/31	35.60
11021	BNP Paribas Arbit Issu B.V. - HKEX Euro Put Wts Jun 2017 C	60,000,000	2016/06/22	2017/06/02	15.00
11032	Credit Suisse AG - CITIC Sec European Warrants Dec 2016 B	60,000,000	2016/06/22	2016/12/05	10.20
11030	Credit Suisse AG - GCL-Poly Energy European Wts Dec 2016 A	70,000,000	2016/06/22	2016/12/30	10.50
11033	Credit Suisse AG - Galaxy Ent European Warrants Jan 2017 A	60,000,000	2016/06/22	2017/01/05	15.00
11031	Credit Suisse AG - GF Sec European Warrants Jan 2017 A	40,000,000	2016/06/22	2017/01/27	10.00
11035	Credit Suisse AG - Haitong Sec European Warrants May 2017 A	40,000,000	2016/06/22	2017/05/16	10.00
11034	Credit Suisse AG - Sands China European Warrants Jan 2017 A	60,000,000	2016/06/22	2017/01/05	15.00
11017	Goldman Sachs SP (Asia) - China Life Euro Wts Jul 2017 A	100,000,000	2016/06/22	2017/07/26	15.00
11037	J P Morgan SP BV - HKEX European Warrants Mar 2017 A	200,000,000	2016/06/22	2017/03/01	30.00
11038	J P Morgan SP BV - Link REIT European Warrants Mar 2017 A	100,000,000	2016/06/22	2017/03/02	25.00
11023	Macquarie Bank Ltd. - China Tel European Warrants May 2017 A	40,000,000	2016/06/22	2017/05/04	10.00
11001	Macquarie Bank Ltd. - Travelsky Tech European Wts May17A	30,000,000	2016/06/22	2017/05/15	10.02
11025	SG Issuer - CKH European Warrants Jan 2017 B	30,000,000	2016/06/22	2017/01/05	14.10
11024	SG Issuer - Minsheng Bk Eu Wt Jan 2017 A	18,000,000	2016/06/22	2017/01/05	10.08
10403	SG Issuer - Nikkei 225 European Warrants Mar 2017 D	150,000,000	2016/06/22	2017/03/10	37.50
11027	SG Issuer - Tencent European Warrants Aug 2017 A	500,000,000	2016/06/22	2017/08/03	85.00
11036	UBS AG - Fosun Intl European Warrants Feb 2017 A	70,000,000	2016/06/22	2017/02/21	10.57
11055	Credit Suisse AG - HKEX European Warrants Dec 2016 D	150,000,000	2016/06/23	2016/12/05	25.50
11052	Credit Suisse AG - HSBC European Wts Apr 2017 A	100,000,000	2016/06/23	2017/04/06	15.00
11049	Goldman Sachs SP (Asia) - BOCL European Warrants May 2017 A	80,000,000	2016/06/23	2017/05/29	12.16
11039	Goldman Sachs SP (Asia) - CM Bank European Wts Jun 2017 A	70,000,000	2016/06/23	2017/06/30	10.50
11041	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Sep 2017 A	100,000,000	2016/06/23	2017/09/27	15.00
11043	Goldman Sachs SP (Asia) - Geely Auto European Wts Feb 2017 A	30,000,000	2016/06/23	2017/02/28	11.52
11046	Goldman Sachs SP (Asia) - HKEX European Warrants Apr 2017 A	100,000,000	2016/06/23	2017/04/24	25.00
11084	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2016 E	80,000,000	2016/06/23	2016/12/05	26.72
11087	J P Morgan SP BV - China Life European Warrants Jul 2017 A	100,000,000	2016/06/23	2017/07/31	15.20
11096	J P Morgan SP BV - Chi Res Land European Warrants Jul 2017 A	60,000,000	2016/06/23	2017/07/03	15.12
11093	J P Morgan SP BV - Geely Auto European Warrants Dec 2016 A	80,000,000	2016/06/23	2016/12/30	35.20
11094	J P Morgan SP BV - Galaxy Ent European Warrants Jan 2017 A	100,000,000	2016/06/23	2017/01/03	25.00
11086	J P Morgan SP BV - HSBC European Warrants Nov 2016 C	100,000,000	2016/06/23	2016/11/02	37.30
11097	J P Morgan SP BV - HSCEI European Wts Mar 2017 A	200,000,000	2016/06/23	2017/03/30	30.20
11099	J P Morgan SP BV - HSCEI European Put Warrants Jun 2017 B	200,000,000	2016/06/23	2017/06/29	50.20
11089	J P Morgan SP BV - Li & Fung European Warrants Mar 2017 A	60,000,000	2016/06/23	2017/03/31	20.76
11050	Macquarie Bank Ltd. - China Life European Wts Jan 2017 B	21,000,000	2016/06/23	2017/01/04	10.02
11051	Macquarie Bank Ltd. - Digital China European Wts Dec 2018 A	40,000,000	2016/06/23	2018/12/18	10.00
11074	Macquarie Bank Ltd. - PWR Assets European Wts May 2017 A	40,000,000	2016/06/23	2017/05/04	10.04
11065	SG Issuer - China Life European Warrants Nov 2016 C	150,000,000	2016/06/23	2016/11/03	22.50
11066	SG Issuer - China Life European Warrants Mar 2017 A	150,000,000	2016/06/23	2017/03/03	25.50
11047	SG Issuer - HKEX European Warrants Nov 2016 B	200,000,000	2016/06/23	2016/11/03	30.00
11072	SG Issuer - HSBC European Warrants Jan 2017 A	100,000,000	2016/06/23	2017/01/05	25.00
11048	SG Issuer - Tencent European Warrants Oct 2017 A	500,000,000	2016/06/23	2017/10/06	82.50
11085	UBS AG - HSBC European Put Warrants Dec 2016 A	100,000,000	2016/06/23	2016/12/21	19.70
11078	UBS AG - Stanchart European Warrants Dec 2016 B	50,000,000	2016/06/23	2016/12/22	52.95

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
11103	Credit Suisse AG - CC Bank European Put Warrants Jan 2017 A	70,000,000	2016/06/24	2017/01/03	10.50
11113	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Dec 2016 A	80,000,000	2016/06/24	2016/12/29	20.00
11107	Macquarie Bank Ltd. - China East Air European Wts Mar 2017 A	20,000,000	2016/06/24	2017/03/02	10.02
11109	Macquarie Bank Ltd. - Guotai Junani European Warrants Mar17A	27,000,000	2016/06/24	2017/03/02	10.02
11110	Macquarie Bank Ltd. - Hang Seng Bank European Wts Mar 2017 A	34,000,000	2016/06/24	2017/03/02	10.03
11105	SG Issuer - Link REIT European Warrants Mar 2017 A	35,000,000	2016/06/24	2017/03/03	10.15
11106	SG Issuer - Petch European Warrants Jan 2017 A	60,000,000	2016/06/24	2017/01/05	15.00
18649 #	Credit Suisse AG - CNOOC European Put Warrants Oct 2016 B	138,000,000	2016/06/24	2016/10/31	10.07
11118	Credit Suisse AG - CKI Holdings European Warrants Dec 2016 A	40,000,000	2016/06/27	2016/12/30	10.00
11127	Credit Suisse AG - China Mobile European Warrants Dec 2016 D	60,000,000	2016/06/27	2016/12/05	17.40
11116	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 A	100,000,000	2016/06/27	2017/07/31	16.00
11117	Credit Suisse AG - Zijin Mining European Warrants Nov 2016 B	50,000,000	2016/06/27	2016/11/30	10.00
11134	Macquarie Bank Ltd. - Avichina European Warrants May 2018 A	40,000,000	2016/06/27	2018/05/02	10.00
11124	Macquarie Bank Ltd. - CKH European Warrants Feb 2017 A	24,000,000	2016/06/27	2017/02/02	10.03
11130	Macquarie Bank Ltd. - CM Bank European Warrants Aug 2018 A	40,000,000	2016/06/27	2018/08/02	10.00
11121	Macquarie Bank Ltd. - China Mobile Euro Put Wts Feb 2017 A	30,000,000	2016/06/27	2017/02/02	10.50
11135	Credit Suisse AG - HKEX European Warrants Dec 2016 E	60,000,000	2016/06/28	2016/12/05	36.00
11137	J P Morgan SP BV - Tencent European Warrants Dec 2016 H	80,000,000	2016/06/28	2016/12/01	25.20
11138	UBS AG - Sands China European Warrants Oct 2016 C	70,000,000	2016/06/28	2016/10/26	10.50
11151	J P Morgan SP BV - HKEX European Warrants Dec 2016 D	100,000,000	2016/06/29	2016/12/01	34.90
11148	J P Morgan SP BV - HSBC European Warrants Feb 2017 A	100,000,000	2016/06/29	2017/02/02	15.10
11152	J P Morgan SP BV - Tencent European Put Warrants Feb 2017 C	200,000,000	2016/06/29	2017/02/28	31.00
11139	SG Issuer - HSI European Warrants Mar 2017 B	150,000,000	2016/06/29	2017/03/30	37.50
11144	SG Issuer - HSI European Warrants May 2017 A	150,000,000	2016/06/29	2017/05/29	37.50
11145	SG Issuer - HSI European Put Warrants Jan 2017 B	150,000,000	2016/06/29	2017/01/26	37.50
11147	SG Issuer - HSI European Put Warrants Jan 2017 C	150,000,000	2016/06/29	2017/01/26	37.50
11172	Credit Suisse AG - Anta Sports European Warrants Feb 2017 A	40,000,000	2016/06/30	2017/02/28	10.00
11171	Credit Suisse AG - BOC HK European Warrants Mar 2017 A	60,000,000	2016/06/30	2017/03/20	10.80
11174	Credit Suisse AG - Li & Fung European Warrants Jan 2017 A	40,000,000	2016/06/30	2017/01/05	10.00
11156	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2016 F	50,000,000	2016/06/30	2016/12/01	12.90
11157	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2017 A	200,000,000	2016/06/30	2017/07/27	33.20
11154	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2017 A	200,000,000	2016/06/30	2017/09/28	33.00
11178	J P Morgan SP BV - CSOP A50 ETF European Warrants Jan 2017 A	100,000,000	2016/06/30	2017/01/03	28.70
11177	J P Morgan SP BV - HSBC European Put Warrants Nov 2016 D	100,000,000	2016/06/30	2016/11/02	17.10
11176	J P Morgan SP BV - HSI European Warrants Jan 2017 A	300,000,000	2016/06/30	2017/01/26	75.30
11166	Macquarie Bank Ltd. - Anta Sports European Wts May 2017 A	35,000,000	2016/06/30	2017/05/10	10.01
11169	Macquarie Bank Ltd. - Ping An European Put Warrants Mar 17 B	40,000,000	2016/06/30	2017/03/02	10.00
11159	Macquarie Bank Ltd. - Tencent European Warrants Jan 2017 F	10,000,000	2016/06/30	2017/01/04	21.28
11165	Macquarie Bank Ltd. - Tencent European Put Wts Jan 2017 G	10,000,000	2016/06/30	2017/01/04	14.49
11170	SG Issuer - HSBC European Warrants Feb 2017 A	100,000,000	2016/06/30	2017/02/03	15.00
<b>總額</b>					<b>21,652.05</b>

# 進一步發行

# 主板 債務證券

## 債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2015	第2季	-	2,000.30
	第3季	-	5,208.52
	第4季	-	1,147.96
2016	第1季	-	2,120.63
	第2季	-	6,070.66

## 債券面值

		發行數目	面值(百萬港元)
2015	第2季	708	2,637,617.58
	第3季	736	2,750,133.27
	第4季	762	2,741,871.80
2016	第1季	769	2,777,917.26
	第2季	797	2,923,871.68

## 新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2015	第2季	59	307,836.43
	第3季	37	141,193.57
	第4季	43	115,190.75
2016	第1季	26	89,996.61
	第2季	59	253,671.85

# 主板 債務證券

## 新上市債券

2016年第二季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 10,000,000	2016/04/01	2032/11/14	-
05624	雲南省投資控股集團有限公司3.375%票據2019年	USD 300,000,000	2016/04/05	2019/04/01	2,334.10
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 30,000,000	2016/04/07	2032/11/14	-
05596 #	Unigroup International Holdings Ltd. 5.25%有擔保債券2018年	USD 100,000,000	2016/04/12	2018/12/10	785.72
05597 #	Unigroup International Holdings Ltd. 6.00%有擔保債券2020年	USD 100,000,000	2016/04/12	2020/12/10	781.49
05625	Bestgain Real Estate Lyra Ltd. 2.50%有擔保票據2019年	HKD 1,375,000,000	2016/04/14	2019/04/13	1,375.00
04219 #	中華人民共和國香港特別行政區1.47%債券2019年	HKD 4,000,000,000	2016/04/15	2019/02/20	4,068.80
05626	天譽置業(控股)有限公司0.10%債券2025年	HKD 10,000,000	2016/04/19	2025/09/12	-
05625 #	Bestgain Real Estate Lyra Ltd. 2.50%有擔保票據2019年	HKD 625,000,000	2016/04/19	2019/04/13	625.00
05627	陽光人壽保險股份有限公司2.50%票據2019年	USD 500,000,000	2016/04/21	2019/04/18	3,893.96
05628	陽光人壽保險股份有限公司3.15%票據2021年	USD 700,000,000	2016/04/21	2021/04/20	5,448.21
05629	陽光人壽保險股份有限公司4.50%票據2026年	USD 300,000,000	2016/04/21	2026/04/20	2,320.30
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 50,000,000	2016/04/22	2032/11/14	-
05626 #	天譽置業(控股)有限公司0.10%債券2025年	HKD 10,000,000	2016/04/22	2025/09/12	-
05630	中國中信股份有限公司4.65%票據2036年	USD 90,000,000	2016/04/26	2036/04/25	702.00
05631	中國中信股份有限公司4.85%票據2046年	USD 210,000,000	2016/04/26	2046/04/25	1,612.55
05632	Yunnan Energy Invest Finance Co. Ltd. 3.00%有擔保債券2019年	USD 300,000,000	2016/04/27	2019/04/26	2,335.67
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 20,000,000	2016/04/27	2032/11/14	-
05626 #	天譽置業(控股)有限公司0.10%債券2025年	HKD 10,000,000	2016/04/27	2025/09/12	-
05633	Malaysia Sukuk Global Berhad 伊斯蘭債券2026年	USD 1,000,000,000	2016/04/28	2026/04/27	7,800.00
05634	Malaysia Sukuk Global Berhad 伊斯蘭債券2046年	USD 500,000,000	2016/04/28	2046/04/27	3,900.00
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 10,000,000	2016/05/03	2032/11/14	-
05625 #	Bestgain Real Estate Lyra Ltd. 2.50%有擔保票據2019年	HKD 1,650,000,000	2016/05/03	2019/04/13	1,650.00
05639	Ch Energy Reserve & Chem Group 5.55%有擔保債券2021年	USD 400,000,000	2016/05/03	2021/04/14	3,014.48
05635	Sinopec Grp Overseas Dev (2016) Ltd. 2.125%優先票據2019年	USD 1,000,000,000	2016/05/04	2019/05/03	7,780.66
05636	Sinopec Grp Overseas Dev (2016) Ltd. 2.75%優先票據2021年	USD 900,000,000	2016/05/04	2021/05/03	7,007.01
05637	Sinopec Grp Overseas Dev (2016) Ltd. 3.50%優先票據2026年	USD 700,000,000	2016/05/04	2026/05/03	5,414.47
05638	Sinopec Grp Overseas Dev (2016) Ltd. 4.25%優先票據2046年	USD 400,000,000	2016/05/04	2046/05/03	3,120.00
05640	Hongkong Electric Finance Ltd. 2.875%票據2026年	USD 750,000,000	2016/05/04	2026/05/03	5,786.24
05581 #	啟迪科華有限公司5.375%有擔保債券2018年	USD 100,000,000	2016/05/04	2018/11/24	781.36
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 30,000,000	2016/05/06	2032/11/14	-
05641	Proven Honour Capital Ltd. 4.125%有擔保債券2026年	USD 2,000,000,000	2016/05/09	2026/05/06	15,555.70
05642	CALC Bond 1 Ltd. 5.90%有擔保票據2019年	USD 300,000,000	2016/05/09	2019/05/06	2,340.00
04229 #	中華人民共和國香港特別行政區0.91%債券2020年	HKD 2,500,000,000	2016/05/13	2020/11/05	2,483.25
05643	Rail Transit Int'l Investment Co. Ltd. 2.50%有擔保債券2019年	USD 200,000,000	2016/05/16	2019/05/13	1,558.08
05644	Rail Transit Int'l Investment Co. Ltd. 2.875%有擔保債券2021年	USD 300,000,000	2016/05/16	2021/05/13	2,317.61
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 50,000,000	2016/05/18	2032/11/14	-
05645	CICC Hong Kong Finance 2016 MTN Ltd. 2.75%有擔保票據2019年	USD 500,000,000	2016/05/19	2019/05/18	3,893.22
04064	香港金融管理局0.67%外匯基金票據2018年	HKD 1,200,000,000	2016/05/20	2018/05/21	1,201.80
05646	ICBCIL Finance Co. Ltd. 2.375%票據2019年	USD 500,000,000	2016/05/20	2019/05/19	3,890.37
05647	ICBCIL Finance Co. Ltd. 2.75%票據2021年	USD 500,000,000	2016/05/20	2021/05/19	3,868.65

# 主板 債務證券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
05648	ICBCIL Finance Co. Ltd. 3.625%票據2026年	USD	300,000,000	2016/05/20	2026/05/19	2,313.68
05649	國家電網海外投資(2016)有限公司2.125% 有擔保優先票據2021年	USD	500,000,000	2016/05/20	2021/05/18	3,897.08
05650	國家電網海外投資(2016)有限公司2.875% 有擔保優先票據2026年	USD	500,000,000	2016/05/20	2026/05/18	3,863.15
05651	國家電網海外投資(2016)有限公司1.25% 有擔保優先票據2022年	EUR	500,000,000	2016/05/20	2022/05/19	4,347.40
05652	國家電網海外投資(2016)有限公司1.75% 有擔保優先票據2025年	EUR	500,000,000	2016/05/20	2025/05/19	4,313.54
05653	ICBC, HK Branch 1.875%票據2019年	USD	500,000,000	2016/05/24	2019/05/23	3,884.99
05654	Sun Hung Kai & Co. (BVI) Ltd. 4.75%票據2021年	USD	361,639,000	2016/06/01	2021/05/31	2,820.78
05655	CCBL (Cayman) 1 Corporation Ltd. 2.375%有擔保票據2019年	USD	400,000,000	2016/06/01	2019/05/31	3,096.38
05656	CCBL (Cayman) 1 Corporation Ltd. 2.75%有擔保票據2021年	USD	600,000,000	2016/06/01	2021/05/31	4,608.73
05659	China Development Bank Corporation 2.125%票據2021年A	USD	1,000,000,000	2016/06/02	2021/06/01	7,772.08
05660	China Development Bank Corporation 3.00%票據2021年	USD	600,000,000	2016/06/02	2026/06/01	4,659.17
05661	China Development Bank Corporation 0.50%票據2021年B	EUR	1,000,000,000	2016/06/02	2021/06/01	8,603.29
05657	中國農業銀行股份有限公司紐約分行浮息票據2019年A	USD	850,000,000	2016/06/03	2019/05/16	6,630.00
05658	中國農業銀行股份有限公司紐約分行1.875%票據2019年B	USD	400,000,000	2016/06/03	2019/05/16	3,114.20
05667	MTR Corporation (C.I.) Ltd. 3.375%定息票據2046年	USD	50,000,000	2016/06/03	2046/06/02	378.88
05662	361度國際有限公司7.25%優先票據2021年	USD	400,000,000	2016/06/06	2021/06/03	3,090.52
05663	Huarong Finance II Co., Ltd. 2.75%有擔保票據2019年	USD	700,000,000	2016/06/06	2019/06/03	5,452.20
05664	Huarong Finance II Co., Ltd. 3.25%有擔保票據2021年	USD	900,000,000	2016/06/06	2021/06/03	6,985.40
05665	Huarong Finance II Co., Ltd. 4.625%有擔保票據2026年	USD	900,000,000	2016/06/06	2026/06/03	6,960.12
05666	Midea Investment Development Co. Ltd. 2.375% 有擔保票據2019年	USD	700,000,000	2016/06/06	2019/06/03	5,455.30
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD	30,000,000	2016/06/06	2032/11/14	-
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD	40,000,000	2016/06/08	2032/11/14	-
05670	香港鐵路有限公司3.375%定息票據2046年	USD	40,000,000	2016/06/14	2046/06/13	312.00
05668	CSCEC Finance (Cayman) II Ltd. 2.25%有擔保票據2019年	USD	500,000,000	2016/06/15	2019/06/14	3,889.78
05669	CSCEC Finance (Cayman) II Ltd. 2.70%有擔保票據2021年	USD	500,000,000	2016/06/15	2021/06/14	3,890.76
05671	中國中信股份有限公司2.80%票據2021年	USD	500,000,000	2016/06/15	2021/12/14	3,899.03
05672	中國中信股份有限公司3.70%票據2026年	USD	750,000,000	2016/06/15	2026/06/14	5,837.42
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD	10,000,000	2016/06/15	2032/11/14	-
05626 #	天譽置業(控股)有限公司0.10%債券2025年	HKD	10,000,000	2016/06/15	2025/09/12	-
05673	天津城市基礎設施建設投資集團有限公司2.75%債券2019年	USD	500,000,000	2016/06/16	2019/06/15	3,894.31
05674	MTR Corporation (C.I.) Ltd. 3.375%定息票據2046年B	USD	30,000,000	2016/06/16	2046/06/15	234.00
05675	Xuzhou Econ&Tech Dev Zone Int'l Invest 4.50% 有擔保票據2019年	USD	300,000,000	2016/06/17	2019/06/16	2,328.65
05676	華瀚健康產業控股有限公司7.00%優先票據2019年	USD	150,000,000	2016/06/17	2019/06/16	1,170.00
04231	中華人民共和國香港特別行政區政府通脹掛鉤債券2019年	HKD	10,000,000,000	2016/06/21	2019/06/20	10,000.00
05677	ABCL Glory Capital Ltd. 2.50%有擔保票據2021年	USD	500,000,000	2016/06/22	2021/06/21	3,882.18
05678	China Development Bank Corporation 1.625%票據2019年	USD	1,000,000,000	2016/06/23	2019/06/22	7,784.09
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD	30,000,000	2016/06/23	2032/11/14	-
05679	Xihui Haiwai I Invest Hldgs Co., Ltd. 3.25%有擔保債券2019年	USD	300,000,000	2016/06/28	2019/06/27	2,339.60
05680	Hanrui Overseas Investment Co., Ltd. 4.90%有擔保債券2019年	USD	300,000,000	2016/06/29	2019/06/28	2,317.54
<b>總額</b>					<b>253,671.85</b>	

# 進一步發行

# 主板 單位信託及互惠基金

## 單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	槓桿及 反向產品 (L&I Products) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2015	第2季	780,241.86	-	25,576.54	775.90	806,594.30	8.26
	第3季	568,942.55	-	22,063.78	92.32	591,098.64	9.32
	第4季	287,309.24	-	14,162.34	88.40	301,559.98	6.72
2016	第1季	304,713.11	-	21,668.39	40.27	326,421.77	7.66
	第2季	237,211.91	65.38	18,190.19	20.96	255,488.43	6.64

註：成交金額包括非港元交易買賣的證券

## 單位信託及互惠基金市值

		交易所 買賣基金 (ETF)		槓桿及 反向產品 (L&I Products)		房地產投資 信託基金 (REITs)		其他		合計	
		發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)
2015	第2季	130	565,937.82	-	-	11	204,798.09	1	2,635.24	142	773,371.15
	第3季	131	483,672.20	-	-	11	187,754.18	1	1,739.34	143	673,165.72
	第4季	133	477,142.97	-	-	11	199,652.72	1	2,236.60	145	679,032.29
2016	第1季	137	535,139.73	-	-	11	199,170.18	1	1,342.80	149	735,652.71
	第2季	143	589,881.25	4	111.01	11	219,952.15	1	1,401.55	159	811,345.96

## 新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2015	第2季	4	-
	第3季	1	-
	第4季	4	-
2016	第1季	5	-
	第2季	16	-

# 主板 單位信託及互惠基金

## 新上市單位信託及互惠基金

2016年第2季

股份代號	單位信託及互惠基金	基金經理	上市時之		集資金額		上市日期
			發行價(港元)	發行單位	(百萬港元)	認購倍數	
83012/03012	東方匯理恒生香港35指數ETF	東方匯理資產管理香港有限公司	n.a.	n.a.	n.a.	n.a.	2016/04/12
03175	三星標普高盛原油ER期貨ETF	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/04/29
03135	南方東英WTI原油年度滾動 十二月期貨ER ETF	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2016/05/12
03171	ComStage 1德國股票指數UCITS ETF	Commerz Funds Solutions S.A.	n.a.	n.a.	n.a.	n.a.	2016/05/18
03177	ComStage 1德國高息股票指數 UCITS ETF	Commerz Funds Solutions S.A.	n.a.	n.a.	n.a.	n.a.	2016/05/18
07250	三星KOSPI 200每日槓桿(2x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/13
07326	三星KOSPI 200每日反向(-1x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/13
07255	三星東證每日槓桿(2x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/13
07311	三星東證每日反向(-1x)產品	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/13
03097	未來資產標普原油期貨增強型ER ETF	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/16
02834/82834/ 09834	iShares安碩納斯達克100指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/29
02847/82847/ 09847	iShares安碩富時100指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/29
03146/83146/ 09146	iShares安碩德國DAX指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/29
03155/83155/ 09155	iShares安碩歐元區STOXX 50指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/29
03170/83170/ 09170	iShares安碩核心韓國綜合股價 200指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/29
03074/83074/ 09074	iShares安碩核心MSCI台灣指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/06/29



# 主板 可收回牛熊證

## 牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2015	第2季	1,905	84,638.00	433,656.16
	第3季	1,871	104,265.78	520,050.32
	第4季	1,630	56,424.40	503,357.75
2016	第1季	1,613	48,512.71	379,212.60
	第2季	1,547	44,132.86	346,430.63

## 新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2015	第2季	3,066	133,613.20
	第3季	3,799	161,333.51
	第4季	2,061	87,034.75
2016	第1季	2,421	94,755.60
	第2季	1,985	84,611.84

# 主板 可收回牛熊證

## 新上市牛熊證

2016年第二季

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64354	BNP Paribas Arbit Issu B.V. - CAM CSI300 R Bull CBBC Dec 16A	50,000,000	2016/04/01	2016/12/30	12.50
64353	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2016 F	80,000,000	2016/04/01	2016/12/30	20.00
64355	BNP Paribas Arbit Issu B.V. - HKEX R Bull CBBC Dec 2016 A	40,000,000	2016/04/01	2016/12/30	10.00
64351	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Aug 2016 B	100,000,000	2016/04/01	2016/08/30	25.00
64352	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Aug 2016 C	100,000,000	2016/04/01	2016/08/30	25.00
64357	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2016 B	50,000,000	2016/04/01	2016/12/30	12.50
64358	Credit Suisse AG - HSI R Bull CBBC Jul 2017 C	200,000,000	2016/04/01	2017/07/28	50.00
64359	Credit Suisse AG - HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/01	2016/07/28	50.00
64371	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/01	2017/06/29	50.20
64370	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/01	2016/07/28	50.40
64350	HK Bank - CAM CSI300 R Bull CBBC Aug 2017 B	50,000,000	2016/04/01	2017/08/28	12.50
64341	HK Bank - HSCEI R Bear CBBC Mar 2017 A	50,000,000	2016/04/01	2017/03/30	12.50
64342	HK Bank - HSCEI R Bear CBBC Apr 2017 A	50,000,000	2016/04/01	2017/04/27	12.50
64336	HK Bank - HSI R Bear CBBC Feb 2017 C	150,000,000	2016/04/01	2017/02/27	37.50
64339	HK Bank - HSI R Bear CBBC Feb 2017 D	150,000,000	2016/04/01	2017/02/27	37.50
64335	HK Bank - HSI R Bear CBBC Mar 2017 C	150,000,000	2016/04/01	2017/03/30	37.50
64338	HK Bank - HSI R Bear CBBC Mar 2017 D	400,000,000	2016/04/01	2017/03/30	100.00
64344	HK Bank - Sands China R Bear CBBC Jan 2017 A	40,000,000	2016/04/01	2017/01/16	15.20
64346	HK Bank - Sands China R Bear CBBC Feb 2017 A	40,000,000	2016/04/01	2017/02/28	10.00
64347	HK Bank - Sands China R Bear CBBC Apr 2017 A	40,000,000	2016/04/01	2017/04/28	10.00
64349	HK Bank - TCH R Bull CBBC Dec 2016 J	50,000,000	2016/04/01	2016/12/30	12.50
64376	J P Morgan SP BV - ABC R Bull CBBC Feb 2017 A	100,000,000	2016/04/01	2017/02/17	25.00
64470	J P Morgan SP BV - Galaxy Ent R Bear CBBC Nov 2016 A	100,000,000	2016/04/01	2016/11/18	25.00
64372	J P Morgan SP BV - HSCEI R Bear CBBC Nov 2016 A	100,000,000	2016/04/01	2016/11/29	25.00
64373	J P Morgan SP BV - HSCEI R Bear CBBC Dec 2016 A	100,000,000	2016/04/01	2016/12/29	25.00
64476	J P Morgan SP BV - HSCEI R Bear CBBC Dec 2016 B	100,000,000	2016/04/01	2016/12/29	25.00
64486	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 J	150,000,000	2016/04/01	2017/06/29	37.50
64477	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 R	150,000,000	2016/04/01	2016/07/28	37.50
64478	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 S	150,000,000	2016/04/01	2016/07/28	37.50
64479	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 A	150,000,000	2016/04/01	2016/09/29	37.50
64480	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 A	150,000,000	2016/04/01	2016/10/28	37.50
64374	J P Morgan SP BV - ICBC R Bull CBBC Dec 2016 A	100,000,000	2016/04/01	2016/12/16	25.00
64467	J P Morgan SP BV - Sands China R Bear CBBC Oct 2016 A	100,000,000	2016/04/01	2016/10/21	25.00
64461	J P Morgan SP BV - Tencent R Bear CBBC Dec 2016 C	60,000,000	2016/04/01	2016/12/16	15.00
64363	UBS AG - HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/01	2016/07/28	50.00
64365	UBS AG - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/01	2016/07/28	50.00
64495	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 F	250,000,000	2016/04/05	2017/05/29	62.50
64514	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 G	250,000,000	2016/04/05	2017/05/29	62.50
64491	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 E	250,000,000	2016/04/05	2017/06/29	62.50
64515	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/05	2016/08/30	50.00
64496	Credit Suisse AG - HSI R Bull CBBC Jun 2017 M	200,000,000	2016/04/05	2017/06/29	50.00
64530	Credit Suisse AG - HSI R Bull CBBC Jun 2017 N	200,000,000	2016/04/05	2017/06/29	50.00
64531	Credit Suisse AG - HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/05	2016/07/28	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64489	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 V	200,000,000	2016/04/05	2017/06/29	51.00
64510	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/05	2016/07/28	50.80
64511	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/05	2016/07/28	50.20
64488	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct16 P	200,000,000	2016/04/05	2016/10/28	50.00
64519	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov16 Y	200,000,000	2016/04/05	2016/11/29	50.00
64516	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jul16 L	200,000,000	2016/04/05	2016/07/28	50.00
64518	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Aug16 W	200,000,000	2016/04/05	2016/08/30	50.00
64528	UBS AG - HSCEI R Bear CBBC Jul 2016 A	200,000,000	2016/04/05	2016/07/28	50.00
64529	UBS AG - HSCEI R Bear CBBC Jul 2016 B	200,000,000	2016/04/05	2016/07/28	50.00
64520	UBS AG - HSI R Bull CBBC Jul 2017 J	200,000,000	2016/04/05	2017/07/28	50.00
64522	UBS AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/04/05	2017/09/28	50.00
64523	UBS AG - HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/05	2016/07/28	50.00
64524	UBS AG - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/05	2016/07/28	50.00
64526	UBS AG - HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/05	2016/07/28	50.00
64558	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/06	2016/07/28	50.00
64559	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/06	2016/07/28	50.00
64574	Credit Suisse AG - HSI R Bull CBBC Jul 2017 D	200,000,000	2016/04/06	2017/07/28	50.00
64582	Credit Suisse AG - HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/06	2016/07/28	50.00
64581	Credit Suisse AG - HSI R Bear CBBC Jul 2016 Z	200,000,000	2016/04/06	2016/07/28	50.00
64560	Bank of East Asia - CKH R Bull CBBC Sep 2017 A	40,000,000	2016/04/06	2017/09/01	10.00
64561	Bank of East Asia - Galaxy Ent R Bull CBBC Jul 2017 A	25,000,000	2016/04/06	2017/07/03	11.25
64563	Bank of East Asia - HKEK R Bull CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/16	10.00
64562	Bank of East Asia - Sands China R Bull CBBC Sep 2017 A	25,000,000	2016/04/06	2017/09/28	13.75
64573	Bank of East Asia - TCH R Bear CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/15	10.00
64587	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 W	200,000,000	2016/04/06	2017/06/29	51.20
64586	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/06	2016/07/28	51.00
64539	HK Bank - AIA R Bear CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/27	10.00
64533	HK Bank - CNOOC R Bear CBBC Apr 2017 A	40,000,000	2016/04/06	2017/04/28	10.00
64553	HK Bank - HS-H-SH ETF R Bear CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/30	10.00
64547	HK Bank - HSCEI R Bear CBBC Dec 2016 E	50,000,000	2016/04/06	2016/12/29	12.50
64552	HK Bank - HSI R Bear CBBC Dec 2016 L	150,000,000	2016/04/06	2016/12/29	37.50
64541	HK Bank - Lenovo R Bull CBBC Aug 2017 A	40,000,000	2016/04/06	2017/08/31	10.00
64554	SG Issuer - HSI R Bull CBBC Nov 2016 D	200,000,000	2016/04/06	2016/11/29	50.00
64556	SG Issuer - HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/06	2016/07/28	50.00
64555	SG Issuer - HSI R Bear CBBC Aug 2016 X	200,000,000	2016/04/06	2016/08/30	50.00
64584	UBS AG - HSI R Bull CBBC Nov 2016 Q	200,000,000	2016/04/06	2016/11/29	50.00
64583	UBS AG - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/06	2017/06/29	50.00
64585	UBS AG - HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/06	2016/07/28	50.00
64639	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 H	250,000,000	2016/04/07	2017/05/29	62.50
64618	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 F	250,000,000	2016/04/07	2017/06/29	62.50
64640	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 G	250,000,000	2016/04/07	2017/06/29	62.50
64607	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/07	2016/07/28	50.00
64603	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/07	2016/08/30	50.00
64621	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/07	2016/08/30	50.00
64651	Credit Suisse AG - HSI R Bull CBBC Jun 2017 O	200,000,000	2016/04/07	2017/06/29	50.00

## 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64652	Credit Suisse AG - HSI R Bull CBBC Jun 2017 P	200,000,000	2016/04/07	2017/06/29	50.00
64629	Credit Suisse AG - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/07	2016/08/30	50.00
64636	Credit Suisse AG - HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/07	2016/08/30	50.00
64611	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/07	2017/06/29	51.40
64612	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/07	2017/06/29	50.60
64598	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/07	2016/07/28	50.80
64707	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/07	2016/07/28	51.60
64718	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/07	2016/07/28	51.60
64721	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/07	2016/07/28	50.20
64722	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 Z	200,000,000	2016/04/07	2016/07/28	50.40
64623	SG Issuer - HSI R Bull CBBC Oct 2016 R	200,000,000	2016/04/07	2016/10/28	50.00
64628	SG Issuer - HSI R Bull CBBC Nov 2016 E	200,000,000	2016/04/07	2016/11/29	50.00
64626	SG Issuer - HSI R Bull CBBC Dec 2016 X	200,000,000	2016/04/07	2016/12/29	50.00
64599	SG Issuer - HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/04/07	2016/08/30	50.00
64601	SG Issuer - HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/04/07	2016/08/30	50.00
64600	SG Issuer - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/07	2016/09/29	50.00
64680	UBS AG - HSCEI R Bull CBBC Jun 2017 C	200,000,000	2016/04/07	2017/06/29	50.00
64668	UBS AG - HSI R Bull CBBC Nov 2016 R	200,000,000	2016/04/07	2016/11/29	50.00
64673	UBS AG - HSI R Bull CBBC Nov 2016 S	200,000,000	2016/04/07	2016/11/29	50.00
64666	UBS AG - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/07	2017/06/29	50.00
64659	UBS AG - HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/07	2016/07/28	50.00
64664	UBS AG - HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/07	2016/07/28	50.00
64665	UBS AG - HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/07	2016/07/28	50.00
64693	UBS AG - HSI R Bear CBBC Jul 2016 Z	200,000,000	2016/04/07	2016/07/28	62.00
64682	UBS AG - HSI R Bear CBBC Aug 2016 A	200,000,000	2016/04/07	2016/08/30	50.00
64687	UBS AG - HSI R Bear CBBC Aug 2016 B	200,000,000	2016/04/07	2016/08/30	50.00
64694	UBS AG - HSI R Bear CBBC Sep 2016 A	200,000,000	2016/04/07	2016/09/29	72.00
64748	Credit Suisse AG - HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/04/08	2017/06/29	50.00
64750	Credit Suisse AG - HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/08	2016/07/28	50.00
64731	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 H	200,000,000	2016/04/08	2017/06/29	51.60
64729	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/04/08	2017/06/29	51.20
64738	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2016 E	40,000,000	2016/04/08	2016/12/29	10.00
64724	SG Issuer - HSI R Bull CBBC Oct 2016 Y	200,000,000	2016/04/08	2016/10/28	50.00
64727	SG Issuer - HSI R Bull CBBC Oct 2016 Z	200,000,000	2016/04/08	2016/10/28	50.00
64725	SG Issuer - HSI R Bull CBBC Nov 2016 J	200,000,000	2016/04/08	2016/11/29	50.00
64735	SG Issuer - HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/08	2016/07/28	50.00
64739	UBS AG - HSI R Bull CBBC Mar 2017 P	200,000,000	2016/04/08	2017/03/30	50.00
64743	UBS AG - HSI R Bull CBBC Mar 2017 Q	200,000,000	2016/04/08	2017/03/30	50.00
64745	UBS AG - HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/08	2016/07/28	50.00
64744	UBS AG - HSI R Bear CBBC Aug 2016 C	200,000,000	2016/04/08	2016/08/30	50.00
64746	UBS AG - HSI R Bear CBBC Aug 2016 D	200,000,000	2016/04/08	2016/08/30	50.00
64762	Credit Suisse AG - HSI R Bull CBBC Jul 2017 E	200,000,000	2016/04/11	2017/07/28	50.00
64763	Credit Suisse AG - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/04/11	2016/08/30	50.00
64764	Credit Suisse AG - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/04/11	2016/08/30	50.00
64754	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/11	2016/07/28	51.40

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64753	SG Issuer - HSI R Bull CBBC Oct 2016 M	200,000,000	2016/04/11	2016/10/28	50.00
64757	SG Issuer - HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/11	2016/07/28	50.00
64758	SG Issuer - HSI R Bear CBBC Aug 2016 C	200,000,000	2016/04/11	2016/08/30	50.00
64761	UBS AG - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/04/11	2017/06/29	50.00
64760	UBS AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/04/11	2017/09/28	50.00
64767	UBS AG - HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/11	2016/07/28	50.00
64765	UBS AG - HSI R Bear CBBC Aug 2016 E	200,000,000	2016/04/11	2016/08/30	50.00
64777	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 H	250,000,000	2016/04/12	2017/06/29	62.50
64778	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 I	250,000,000	2016/04/12	2017/06/29	62.50
64835	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/12	2016/07/28	50.00
64827	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/12	2016/08/30	50.00
64809	Credit Suisse AG - HSI R Bull CBBC Jun 2017 R	200,000,000	2016/04/12	2017/06/29	50.00
64819	Credit Suisse AG - HSI R Bull CBBC Jun 2017 S	200,000,000	2016/04/12	2017/06/29	50.00
64822	Credit Suisse AG - HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/12	2016/07/28	50.00
64821	Credit Suisse AG - HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/12	2016/07/28	50.00
64825	Credit Suisse AG - HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/12	2016/07/28	50.00
64804	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 J	200,000,000	2016/04/12	2017/06/29	50.60
64803	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/12	2016/07/28	50.60
64851	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/12	2016/07/28	51.60
64772	HK Bank - HSI R Bear CBBC Oct 2016 K	150,000,000	2016/04/12	2016/10/28	37.50
64775	HK Bank - ICBC R Bull CBBC Jan 2017 A	50,000,000	2016/04/12	2017/01/16	12.50
64774	HK Bank - Ping An R Bear CBBC Jan 2017 A	40,000,000	2016/04/12	2017/01/23	10.00
64874	J P Morgan SP BV - AIA R Bull CBBC Dec 2016 A	150,000,000	2016/04/12	2016/12/16	37.50
64873	J P Morgan SP BV - CC Bank R Bear CBBC Jan 2017 A	100,000,000	2016/04/12	2017/01/20	25.00
64872	J P Morgan SP BV - Galaxy Ent R Bear CBBC Dec 2016 A	100,000,000	2016/04/12	2016/12/16	25.00
64876	J P Morgan SP BV - HSCEI R Bear CBBC Feb 2017 A	100,000,000	2016/04/12	2017/02/27	25.00
64798	J P Morgan SP BV - HSI R Bull CBBC Mar 2017 I	200,000,000	2016/04/12	2017/03/30	50.00
64802	J P Morgan SP BV - HSI R Bull CBBC Apr 2017 S	200,000,000	2016/04/12	2017/04/27	50.00
64866	J P Morgan SP BV - HSI R Bull CBBC May 2017 G	150,000,000	2016/04/12	2017/05/29	37.50
64852	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/12	2016/07/28	50.00
64854	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/12	2016/07/28	50.00
64858	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/12	2016/07/28	50.00
64859	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/12	2016/08/30	50.00
64875	J P Morgan SP BV - Lenovo R Bull CBBC Feb 2017 A	60,000,000	2016/04/12	2017/02/17	15.00
64870	J P Morgan SP BV - Sands China R Bull CBBC Feb 2017 A	100,000,000	2016/04/12	2017/02/17	25.00
64869	J P Morgan SP BV - Sands China R Bear CBBC Dec 2016 B	100,000,000	2016/04/12	2016/12/16	25.00
64808	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2016 G	40,000,000	2016/04/12	2016/09/29	10.00
64779	SG Issuer - HSI R Bull CBBC Oct 2016 L	200,000,000	2016/04/12	2016/10/28	50.00
64782	SG Issuer - HSI R Bull CBBC Nov 2016 Y	200,000,000	2016/04/12	2016/11/29	50.00
64786	SG Issuer - HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/12	2016/07/28	50.00
64844	UBS AG - HSI R Bull CBBC Jul 2017 K	200,000,000	2016/04/12	2017/07/28	50.00
64842	UBS AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/04/12	2017/09/28	50.00
64847	UBS AG - HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/12	2016/07/28	50.00
64849	UBS AG - HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/12	2016/07/28	50.00
64890	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 I	250,000,000	2016/04/13	2017/05/29	62.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64897	Credit Suisse AG - HSI R Bull CBBC Jul 2017 F	200,000,000	2016/04/13	2017/07/28	50.00
64896	Credit Suisse AG - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/13	2016/08/30	50.00
64895	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 K	200,000,000	2016/04/13	2017/06/29	51.40
64920	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/13	2016/07/28	51.40
64922	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/13	2016/07/28	51.80
64925	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/13	2016/07/28	51.60
64889	HK Bank - AIA R Bull CBBC Jan 2017 A	40,000,000	2016/04/13	2017/01/16	10.00
64878	HK Bank - CNOOC R Bear CBBC Dec 2016 D	40,000,000	2016/04/13	2016/12/30	10.00
64882	HK Bank - Galaxy Ent R Bear CBBC Jan 2017 A	40,000,000	2016/04/13	2017/01/16	10.00
64877	HK Bank - HSI R Bear CBBC Nov 2016 I	150,000,000	2016/04/13	2016/11/29	37.50
64880	HK Bank - Sands China R Bear CBBC Apr 2017 B	40,000,000	2016/04/13	2017/04/28	10.00
64914	J P Morgan SP BV - CNOOC R Bear CBBC Feb 2017 B	60,000,000	2016/04/13	2017/02/17	15.00
64919	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 K	200,000,000	2016/04/13	2017/06/29	50.00
64915	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/13	2016/07/28	50.00
64910	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/13	2016/08/30	50.00
64917	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/04/13	2016/08/30	50.00
64913	J P Morgan SP BV - Tencent R Bull CBBC Nov 2016 B	60,000,000	2016/04/13	2016/11/18	15.00
64891	SG Issuer - HSI R Bull CBBC Sep 2016 J	200,000,000	2016/04/13	2016/09/29	50.00
64892	SG Issuer - HSI R Bear CBBC Aug 2016 D	200,000,000	2016/04/13	2016/08/30	50.00
64906	UBS AG - HSCEI R Bear CBBC Jul 2016 C	100,000,000	2016/04/13	2016/07/28	25.00
64904	UBS AG - HSI R Bull CBBC Jun 2017 D	200,000,000	2016/04/13	2017/06/29	50.00
64902	UBS AG - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/04/13	2017/09/28	50.00
64898	UBS AG - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/13	2016/08/30	50.00
64899	UBS AG - HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/13	2016/08/30	50.00
64926	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/14	2016/07/28	50.00
64929	Credit Suisse AG - HSI R Bull CBBC Apr 2017 D	200,000,000	2016/04/14	2017/04/27	50.00
64930	Credit Suisse AG - HSI R Bull CBBC Jun 2017 T	200,000,000	2016/04/14	2017/06/29	50.00
64933	Credit Suisse AG - HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/14	2016/07/28	50.00
64934	Credit Suisse AG - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/14	2016/08/30	50.00
64936	Credit Suisse AG - HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/14	2016/08/30	50.00
64937	Credit Suisse AG - HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/14	2016/08/30	50.00
64939	Credit Suisse AG - HSI R Bear CBBC Sep 2016 A	200,000,000	2016/04/14	2016/09/29	50.00
64940	Credit Suisse AG - HSI R Bear CBBC Sep 2016 B	200,000,000	2016/04/14	2016/09/29	50.00
64959	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/14	2016/07/28	51.40
64957	J P Morgan SP BV - CNOOC R Bull CBBC Jan 2017 A	60,000,000	2016/04/14	2017/01/20	15.00
64948	J P Morgan SP BV - HKEX R Bear CBBC Nov 2016 A	40,000,000	2016/04/14	2016/11/18	10.00
64946	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/14	2016/07/28	50.00
64945	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 B	150,000,000	2016/04/14	2016/09/29	37.50
64956	J P Morgan SP BV - Tencent R Bull CBBC Dec 2016 C	60,000,000	2016/04/14	2016/12/16	15.00
64953	J P Morgan SP BV - Tencent R Bear CBBC Jan 2017 B	60,000,000	2016/04/14	2017/01/20	16.80
64927	SG Issuer - HSI R Bear CBBC Aug 2016 E	200,000,000	2016/04/14	2016/08/30	50.00
64941	UBS AG - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/04/14	2017/09/28	50.00
64942	UBS AG - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/04/14	2016/08/30	50.00
64943	UBS AG - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/04/14	2016/08/30	50.00
64944	UBS AG - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/14	2016/08/30	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64979	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 J	250,000,000	2016/04/15	2017/05/29	62.50
64981	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/15	2016/07/28	50.00
64973	Credit Suisse AG - HSBC R Bull CBBC Nov 2016 B	50,000,000	2016/04/15	2016/11/30	12.50
64982	Credit Suisse AG - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/15	2017/06/29	50.00
64974	Credit Suisse AG - HSI R Bull CBBC Jul 2017 G	200,000,000	2016/04/15	2017/07/28	50.00
64978	Credit Suisse AG - HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/15	2016/07/28	50.00
64977	Credit Suisse AG - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/15	2016/08/30	50.00
64965	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 L	200,000,000	2016/04/15	2017/06/29	50.80
64967	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 M	200,000,000	2016/04/15	2017/06/29	50.60
65001	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 O	200,000,000	2016/04/15	2017/06/29	50.80
64992	J P Morgan SP BV - HKEX R Bull CBBC Oct 2016 G	40,000,000	2016/04/15	2016/10/21	10.00
64998	J P Morgan SP BV - HSI R Bull CBBC Apr 2017 T	150,000,000	2016/04/15	2017/04/27	37.50
64996	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 L	150,000,000	2016/04/15	2017/06/29	37.50
64985	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/15	2016/07/28	50.00
65000	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 C	150,000,000	2016/04/15	2016/09/29	37.50
64995	J P Morgan SP BV - Lenovo R Bull CBBC Jan 2017 A	40,000,000	2016/04/15	2017/01/20	10.00
64964	SG Issuer - HSI R Bull CBBC Sep 2016 Y	200,000,000	2016/04/15	2016/09/29	50.00
64962	SG Issuer - HSI R Bull CBBC Oct 2016 P	200,000,000	2016/04/15	2016/10/28	50.00
64966	SG Issuer - HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/15	2016/07/28	50.00
65019	UBS AG - HSI R Bull CBBC Mar 2017 R	200,000,000	2016/04/15	2017/03/30	50.00
65005	UBS AG - HSI R Bull CBBC Jul 2017 L	200,000,000	2016/04/15	2017/07/28	50.00
65006	UBS AG - HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/15	2016/07/28	50.00
65018	UBS AG - HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/15	2016/07/28	50.00
65047	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/18	2016/07/28	50.00
65043	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/18	2016/08/30	50.00
65074	Credit Suisse AG - HSI R Bull CBBC Jul 2017 H	200,000,000	2016/04/18	2017/07/28	50.00
65071	Credit Suisse AG - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/04/18	2016/08/30	50.00
65041	Bank of East Asia - ABC R Bull CBBC Mar 2017 A	80,000,000	2016/04/18	2017/03/20	20.00
65040	Bank of East Asia - BOCL R Bull CBBC Mar 2017 A	80,000,000	2016/04/18	2017/03/17	20.00
65037	Bank of East Asia - CC Bank R Bull CBBC Mar 2017 A	80,000,000	2016/04/18	2017/03/16	20.00
65042	Bank of East Asia - CM Bank R Bull CBBC Mar 2017 A	40,000,000	2016/04/18	2017/03/21	10.00
65034	Bank of East Asia - TCH R Bull CBBC Mar 2017 B	40,000,000	2016/04/18	2017/03/15	10.00
65062	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 P	200,000,000	2016/04/18	2017/06/29	50.80
65088	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 R	200,000,000	2016/04/18	2017/06/29	51.40
65056	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/18	2016/07/28	50.40
65057	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/18	2016/07/28	51.80
65023	HK Bank - China Life R Bear CBBC Jan 2017 A	40,000,000	2016/04/18	2017/01/09	10.00
65025	HK Bank - PetCh R Bear CBBC Jan 2017 A	40,000,000	2016/04/18	2017/01/16	10.00
65021	HK Bank - TCH R Bull CBBC Jan 2017 B	50,000,000	2016/04/18	2017/01/16	12.50
65027	HK Bank - TCH R Bear CBBC Jan 2017 B	40,000,000	2016/04/18	2017/01/16	12.40
65033	HK Bank - TCH R Bear CBBC Feb 2017 C	40,000,000	2016/04/18	2017/02/13	15.60
65022	HK Bank - TCH R Bear CBBC Apr 2017 A	40,000,000	2016/04/18	2017/04/10	22.00
65091	J P Morgan SP BV - HSI R Bull CBBC Jan 2017 T	150,000,000	2016/04/18	2017/01/26	37.50
65093	J P Morgan SP BV - HSI R Bull CBBC May 2017 H	150,000,000	2016/04/18	2017/05/29	37.50
65097	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 O	150,000,000	2016/04/18	2016/07/28	37.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65090	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 D	150,000,000	2016/04/18	2016/09/29	37.50
65068	SG Issuer - HSI R Bull CBBC Oct 2016 A	200,000,000	2016/04/18	2016/10/28	50.00
65064	SG Issuer - HSI R Bull CBBC Oct 2016 R	200,000,000	2016/04/18	2016/10/28	50.00
65048	SG Issuer - HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/18	2016/07/28	50.00
65054	SG Issuer - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/18	2016/08/30	50.00
65087	UBS AG - HSI R Bull CBBC Nov 2016 T	200,000,000	2016/04/18	2016/11/29	50.00
65086	UBS AG - HSI R Bull CBBC Jun 2017 E	200,000,000	2016/04/18	2017/06/29	50.00
65075	UBS AG - HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/18	2016/07/28	50.00
65076	UBS AG - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/18	2016/08/30	50.00
65083	UBS AG - TCH R Bull CBBC Sep 2016 D	50,000,000	2016/04/18	2016/09/26	12.50
65084	UBS AG - Tencent R Bear CBBC Sep 2016 A	50,000,000	2016/04/18	2016/09/26	12.50
65134	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 K	250,000,000	2016/04/19	2017/05/29	62.50
65119	Credit Suisse AG - HSI R Bull CBBC Apr 2017 E	200,000,000	2016/04/19	2017/04/27	50.00
65118	Credit Suisse AG - HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/19	2016/07/28	50.00
65158	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 S	200,000,000	2016/04/19	2017/06/29	51.40
65159	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 T	200,000,000	2016/04/19	2017/06/29	50.60
65099	HK Bank - CNOOC R Bull CBBC Feb 2017 A	50,000,000	2016/04/19	2017/02/27	12.50
65100	HK Bank - Sinopec Corp R Bull CBBC Feb 2017 A	50,000,000	2016/04/19	2017/02/27	12.50
65110	HK Bank - HKEX R Bull CBBC Jan 2017 A	50,000,000	2016/04/19	2017/01/23	12.50
65109	HK Bank - HKEX R Bear CBBC Jun 2017 B	40,000,000	2016/04/19	2017/06/30	10.00
65098	HK Bank - HSI R Bull CBBC Oct 2016 D	150,000,000	2016/04/19	2016/10/28	37.50
65132	J P Morgan SP BV - HSI R Bull CBBC May 2017 I	200,000,000	2016/04/19	2017/05/29	50.00
65163	J P Morgan SP BV - HSI R Bull CBBC May 2017 J	150,000,000	2016/04/19	2017/05/29	37.50
65125	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/19	2016/07/28	50.00
65126	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 P	150,000,000	2016/04/19	2016/08/30	37.50
65127	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/04/19	2016/12/29	50.00
65113	SG Issuer - HSI R Bull CBBC Dec 2016 W	200,000,000	2016/04/19	2016/12/29	50.00
65114	SG Issuer - HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/19	2016/07/28	50.00
65117	SG Issuer - HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/19	2016/07/28	50.00
65141	UBS AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/04/19	2017/09/28	50.00
65138	UBS AG - HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/19	2016/07/28	50.00
65136	UBS AG - HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/19	2016/07/28	50.00
65198	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Jun 2017 A	100,000,000	2016/04/20	2017/06/29	25.00
65181	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 L	250,000,000	2016/04/20	2017/05/29	62.50
65183	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 M	250,000,000	2016/04/20	2017/05/29	62.50
65329	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 N	250,000,000	2016/04/20	2017/05/29	62.50
65180	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 J	250,000,000	2016/04/20	2017/06/29	62.50
65328	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 K	250,000,000	2016/04/20	2017/06/29	62.50
65168	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/04/20	2017/02/27	50.00
65171	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/04/20	2017/02/27	50.00
65185	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 C	250,000,000	2016/04/20	2017/02/27	62.50
65191	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 D	250,000,000	2016/04/20	2017/02/27	62.50
65192	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 E	250,000,000	2016/04/20	2017/02/27	62.50
65193	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 F	250,000,000	2016/04/20	2017/02/27	62.50
65194	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 G	250,000,000	2016/04/20	2017/02/27	62.50



# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65196	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 H	250,000,000	2016/04/20	2017/02/27	62.50
65173	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/04/20	2017/03/30	50.00
65186	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 B	250,000,000	2016/04/20	2017/03/30	62.50
65187	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 C	250,000,000	2016/04/20	2017/03/30	62.50
65189	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 D	250,000,000	2016/04/20	2017/03/30	62.50
65190	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 E	250,000,000	2016/04/20	2017/03/30	62.50
65241	Credit Suisse AG - HKEX R Bull CBBC Dec 2016 A	50,000,000	2016/04/20	2016/12/30	12.50
65242	Credit Suisse AG - HKEX R Bear CBBC Sep 2016 A	50,000,000	2016/04/20	2016/09/30	12.50
65253	Credit Suisse AG - HSI R Bull CBBC Jun 2017 V	200,000,000	2016/04/20	2017/06/29	50.00
65254	Credit Suisse AG - HSI R Bull CBBC Jun 2017 W	200,000,000	2016/04/20	2017/06/29	50.00
65257	Credit Suisse AG - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/20	2017/06/29	50.00
65258	Credit Suisse AG - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/20	2017/06/29	50.00
65222	Credit Suisse AG - HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/20	2016/07/28	50.00
65225	Credit Suisse AG - HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/20	2016/07/28	50.00
65244	Credit Suisse AG - HSI R Bear CBBC Aug 2016 P	200,000,000	2016/04/20	2016/08/30	50.00
65246	Credit Suisse AG - HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/04/20	2016/08/30	50.00
65243	Credit Suisse AG - HSI R Bear CBBC Sep 2016 C	200,000,000	2016/04/20	2016/09/29	50.00
65245	Credit Suisse AG - HSI R Bear CBBC Sep 2016 D	200,000,000	2016/04/20	2016/09/29	50.00
65248	Credit Suisse AG - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/04/20	2016/10/28	58.00
65251	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2017 Q	200,000,000	2016/04/20	2017/05/29	50.60
65330	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2017 R	200,000,000	2016/04/20	2017/05/29	50.60
65250	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/20	2017/06/29	50.80
65252	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 V	200,000,000	2016/04/20	2017/06/29	51.60
65175	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/20	2016/07/28	50.60
65176	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/20	2016/07/28	50.80
65337	J P Morgan SP BV - CAM CSI300 R Bull CBBC Jan 2017 B	100,000,000	2016/04/20	2017/01/20	25.00
65340	J P Morgan SP BV - China Life R Bull CBBC Dec 2016 C	40,000,000	2016/04/20	2016/12/16	10.00
65335	J P Morgan SP BV - CNOOC R Bull CBBC Dec 2016 A	60,000,000	2016/04/20	2016/12/16	15.00
65336	J P Morgan SP BV - CNOOC R Bear CBBC Mar 2017 A	60,000,000	2016/04/20	2017/03/17	15.00
65334	J P Morgan SP BV - Galaxy Ent R Bull CBBC Mar 2017 B	100,000,000	2016/04/20	2017/03/17	25.00
65343	J P Morgan SP BV - HKEX R Bull CBBC Jan 2017 E	40,000,000	2016/04/20	2017/01/20	10.00
65344	J P Morgan SP BV - HKEX R Bear CBBC Dec 2016 B	40,000,000	2016/04/20	2016/12/16	10.00
65345	J P Morgan SP BV - HKEX R Bear CBBC Mar 2017 A	40,000,000	2016/04/20	2017/03/17	16.00
65347	J P Morgan SP BV - HSCEI R Bull CBBC Aug 2017 A	100,000,000	2016/04/20	2017/08/30	25.00
65364	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 M	150,000,000	2016/04/20	2017/06/29	37.50
65365	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 H	200,000,000	2016/04/20	2017/07/28	50.00
65377	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 E	200,000,000	2016/04/20	2017/08/30	50.00
65380	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/04/20	2017/09/28	50.00
65350	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 B	150,000,000	2016/04/20	2016/07/28	37.50
65351	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/20	2016/07/28	50.00
65331	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/20	2016/07/28	50.00
65332	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/20	2016/07/28	50.00
65348	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 Z	150,000,000	2016/04/20	2016/07/28	37.50
65356	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/04/20	2016/08/30	50.00
65357	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/20	2016/09/29	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65358	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/04/20	2016/12/29	52.00
65361	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/04/20	2017/01/26	62.00
65338	J P Morgan SP BV - Ping An R Bull CBBC Jan 2017 B	100,000,000	2016/04/20	2017/01/20	25.00
65346	J P Morgan SP BV - Tencent R Bear CBBC Mar 2017 A	40,000,000	2016/04/20	2017/03/17	12.00
65199	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2016 F	40,000,000	2016/04/20	2016/12/29	10.00
65200	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2016 G	40,000,000	2016/04/20	2016/12/29	10.16
65211	SG Issuer - HSI R Bull CBBC Sep 2016 O	200,000,000	2016/04/20	2016/09/29	50.00
65202	SG Issuer - HSI R Bull CBBC Sep 2016 U	200,000,000	2016/04/20	2016/09/29	50.00
65205	SG Issuer - HSI R Bull CBBC Nov 2016 Z	200,000,000	2016/04/20	2016/11/29	50.00
65164	SG Issuer - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/20	2016/07/28	50.00
65217	SG Issuer - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/20	2016/07/28	50.00
65165	SG Issuer - HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/20	2016/08/30	50.00
65286	UBS AG - HSCEI R Bull CBBC Jul 2017 B	200,000,000	2016/04/20	2017/07/28	50.00
65296	UBS AG - HSCEI R Bear CBBC Aug 2016 A	100,000,000	2016/04/20	2016/08/30	25.00
65315	UBS AG - HSI R Bull CBBC Jun 2017 F	200,000,000	2016/04/20	2017/06/29	50.00
65319	UBS AG - HSI R Bull CBBC Jun 2017 K	200,000,000	2016/04/20	2017/06/29	50.00
65321	UBS AG - HSI R Bull CBBC Jun 2017 L	200,000,000	2016/04/20	2017/06/29	50.00
65318	UBS AG - HSI R Bull CBBC Aug 2017 A	200,000,000	2016/04/20	2017/08/30	50.00
65320	UBS AG - HSI R Bull CBBC Aug 2017 B	200,000,000	2016/04/20	2017/08/30	50.00
65317	UBS AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/04/20	2017/09/28	50.00
65304	UBS AG - HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/20	2016/07/28	57.00
65299	UBS AG - HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/20	2016/07/28	50.00
65324	UBS AG - HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/20	2016/07/28	50.00
65262	UBS AG - HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/20	2016/07/28	50.00
65259	UBS AG - HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/20	2016/07/28	50.00
65265	UBS AG - HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/20	2016/07/28	50.00
65276	UBS AG - HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/20	2016/07/28	50.00
65280	UBS AG - HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/20	2016/07/28	50.00
65281	UBS AG - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/20	2016/07/28	50.00
65283	UBS AG - HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/20	2016/07/28	50.00
65297	UBS AG - HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/20	2016/07/28	50.00
65285	UBS AG - HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/20	2016/08/30	51.00
65303	UBS AG - HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/20	2016/08/30	50.00
65307	UBS AG - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/20	2016/08/30	71.00
65327	UBS AG - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/04/20	2016/08/30	50.00
65446	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2016 E	50,000,000	2016/04/21	2016/12/30	12.50
65443	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC May 2017 B	100,000,000	2016/04/21	2017/05/29	25.00
65435	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 O	250,000,000	2016/04/21	2017/05/29	62.50
65382	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 L	250,000,000	2016/04/21	2017/06/29	62.50
65383	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 I	250,000,000	2016/04/21	2017/02/27	62.50
65385	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 J	250,000,000	2016/04/21	2017/02/27	62.50
65440	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 K	250,000,000	2016/04/21	2017/02/27	62.50
65442	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 L	250,000,000	2016/04/21	2017/02/27	72.50
65436	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 F	250,000,000	2016/04/21	2017/03/30	62.50
65437	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 G	250,000,000	2016/04/21	2017/03/30	62.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65441	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 H	250,000,000	2016/04/21	2017/03/30	62.50
65463	Credit Suisse AG - HSI R Bull CBBC Jul 2017 I	200,000,000	2016/04/21	2017/07/28	50.00
65464	Credit Suisse AG - HSI R Bull CBBC Jul 2017 J	200,000,000	2016/04/21	2017/07/28	50.00
65466	Credit Suisse AG - HSI R Bull CBBC Jul 2017 K	200,000,000	2016/04/21	2017/07/28	50.00
65467	Credit Suisse AG - HSI R Bull CBBC Jul 2017 L	200,000,000	2016/04/21	2017/07/28	50.00
65468	Credit Suisse AG - HSI R Bull CBBC Jul 2017 M	200,000,000	2016/04/21	2017/07/28	50.00
65460	Credit Suisse AG - HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/21	2016/07/28	50.00
65459	Credit Suisse AG - HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/21	2016/07/28	50.00
65461	Credit Suisse AG - HSI R Bear CBBC Aug 2016 R	200,000,000	2016/04/21	2016/08/30	50.00
65462	Credit Suisse AG - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/21	2016/09/29	50.00
65458	Credit Suisse AG - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/04/21	2016/10/28	64.00
65402	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 W	200,000,000	2016/04/21	2017/06/29	50.80
65403	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/21	2017/06/29	51.40
65434	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/21	2017/06/29	51.80
65405	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/21	2016/07/28	50.80
65407	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/21	2016/07/28	50.80
65428	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/21	2016/07/28	51.40
65429	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/21	2016/07/28	51.60
65432	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/21	2016/07/28	51.40
65433	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/21	2016/07/28	51.40
65531	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 A	200,000,000	2016/04/21	2016/08/30	51.00
65533	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 B	200,000,000	2016/04/21	2016/08/30	51.20
65536	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 C	200,000,000	2016/04/21	2016/08/30	51.20
65540	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 D	200,000,000	2016/04/21	2016/08/30	50.40
65418	HK Bank - China Life R Bull CBBC Feb 2017 A	50,000,000	2016/04/21	2017/02/27	12.50
65408	HK Bank - HKE X R Bull CBBC Dec 2016 I	50,000,000	2016/04/21	2016/12/16	12.50
65414	HK Bank - HKE X R Bull CBBC Dec 2016 J	50,000,000	2016/04/21	2016/12/12	12.50
65398	HK Bank - HSCEI R Bull CBBC Feb 2017 A	60,000,000	2016/04/21	2017/02/27	15.00
65391	HK Bank - HSI R Bull CBBC Nov 2016 X	150,000,000	2016/04/21	2016/11/29	37.50
65390	HK Bank - HSI R Bull CBBC Dec 2016 W	150,000,000	2016/04/21	2016/12/29	37.50
65388	HK Bank - HSI R Bull CBBC Feb 2017 F	150,000,000	2016/04/21	2017/02/27	37.50
65392	HK Bank - HSI R Bull CBBC Mar 2017 B	400,000,000	2016/04/21	2017/03/30	100.00
65419	HK Bank - Ping An R Bull CBBC Dec 2016 L	50,000,000	2016/04/21	2016/12/23	12.50
65416	HK Bank - TCH R Bull CBBC Dec 2016 K	50,000,000	2016/04/21	2016/12/19	12.50
65546	J P Morgan SP BV - China Mobile R Bull CBBC Jan 2017 B	100,000,000	2016/04/21	2017/01/20	25.00
65544	J P Morgan SP BV - COVS R Bull CBBC Jan 2017 C	30,000,000	2016/04/21	2017/01/20	10.50
65543	J P Morgan SP BV - HSBC R Bull CBBC Dec 2016 B	100,000,000	2016/04/21	2016/12/16	25.00
65491	J P Morgan SP BV - HSI R Bull CBBC May 2017 K	200,000,000	2016/04/21	2017/05/29	50.00
65492	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 N	200,000,000	2016/04/21	2017/06/29	50.00
65493	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/04/21	2017/09/28	50.00
65471	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/21	2016/07/28	50.00
65472	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 C	150,000,000	2016/04/21	2016/07/28	37.50
65473	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 F	150,000,000	2016/04/21	2016/07/28	37.50
65470	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/21	2016/07/28	50.00
65479	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 R	200,000,000	2016/04/21	2016/08/30	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65486	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 F	200,000,000	2016/04/21	2016/09/29	50.00
65487	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/04/21	2016/12/29	50.00
65489	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/04/21	2017/02/27	80.00
65450	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2016 H	40,000,000	2016/04/21	2016/12/29	10.00
65453	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2016 I	40,000,000	2016/04/21	2016/12/29	10.20
65454	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2016 D	40,000,000	2016/04/21	2016/10/28	10.00
65455	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2016 E	40,000,000	2016/04/21	2016/10/28	10.20
65420	SG Issuer - HSI R Bull CBBC Sep 2016 E	200,000,000	2016/04/21	2016/09/29	50.00
65457	SG Issuer - HSI R Bull CBBC Sep 2016 N	200,000,000	2016/04/21	2016/09/29	50.00
65425	SG Issuer - HSI R Bull CBBC Nov 2016 D	200,000,000	2016/04/21	2016/11/29	50.00
65456	SG Issuer - HSI R Bull CBBC Nov 2016 E	200,000,000	2016/04/21	2016/11/29	50.00
65423	SG Issuer - HSI R Bull CBBC Dec 2016 X	200,000,000	2016/04/21	2016/12/29	50.00
65427	SG Issuer - HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/21	2016/07/28	50.00
65426	SG Issuer - HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/21	2016/07/28	50.00
65524	UBS AG - HSCEI R Bull CBBC Sep 2017 A	200,000,000	2016/04/21	2017/09/28	50.00
65525	UBS AG - HSCEI R Bear CBBC Sep 2016 A	100,000,000	2016/04/21	2016/09/29	25.00
65529	UBS AG - HSI R Bull CBBC Jun 2017 M	200,000,000	2016/04/21	2017/06/29	50.00
65528	UBS AG - HSI R Bull CBBC Jul 2017 M	200,000,000	2016/04/21	2017/07/28	50.00
65530	UBS AG - HSI R Bull CBBC Jul 2017 N	200,000,000	2016/04/21	2017/07/28	50.00
65527	UBS AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/04/21	2017/09/28	50.00
65497	UBS AG - HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/21	2016/07/28	50.00
65502	UBS AG - HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/21	2016/07/28	50.00
65500	UBS AG - HSI R Bear CBBC Aug 2016 P	200,000,000	2016/04/21	2016/08/30	50.00
65505	UBS AG - HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/04/21	2016/08/30	50.00
65516	UBS AG - HSI R Bear CBBC Aug 2016 R	200,000,000	2016/04/21	2016/08/30	50.00
65506	UBS AG - HSI R Bear CBBC Sep 2016 B	200,000,000	2016/04/21	2016/09/29	50.00
65513	UBS AG - HSI R Bear CBBC Sep 2016 C	200,000,000	2016/04/21	2016/09/29	50.00
65520	UBS AG - HSI R Bear CBBC Sep 2016 D	200,000,000	2016/04/21	2016/09/29	53.00
65503	UBS AG - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/04/21	2016/10/28	50.00
65523	UBS AG - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/04/21	2016/10/28	82.00
65568	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 A	100,000,000	2016/04/22	2017/02/27	25.00
65572	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 B	100,000,000	2016/04/22	2017/02/27	25.00
65564	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 M	250,000,000	2016/04/22	2017/06/29	62.50
65582	Credit Suisse AG - HSI R Bull CBBC Apr 2017 F	200,000,000	2016/04/22	2017/04/27	50.00
65583	Credit Suisse AG - HSI R Bull CBBC Apr 2017 G	200,000,000	2016/04/22	2017/04/27	50.00
65590	Credit Suisse AG - HSI R Bull CBBC Apr 2017 H	200,000,000	2016/04/22	2017/04/27	50.00
65595	Credit Suisse AG - HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/22	2016/07/28	50.00
65593	Credit Suisse AG - HSI R Bear CBBC Aug 2016 S	200,000,000	2016/04/22	2016/08/30	50.00
65594	Credit Suisse AG - HSI R Bear CBBC Sep 2016 F	200,000,000	2016/04/22	2016/09/29	50.00
65562	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 H	200,000,000	2016/04/22	2017/06/29	50.60
65563	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 B	200,000,000	2016/04/22	2017/07/28	51.80
65559	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/22	2016/07/28	50.80
65560	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/22	2016/07/28	50.60
65627	J P Morgan SP BV - BOCL R Bull CBBC Mar 2017 A	100,000,000	2016/04/22	2017/03/17	25.00
65637	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 O	200,000,000	2016/04/22	2017/06/29	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65661	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 F	200,000,000	2016/04/22	2017/08/30	50.00
65638	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/04/22	2017/10/30	50.00
65639	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/22	2016/07/28	50.00
65648	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 G	200,000,000	2016/04/22	2016/09/29	50.00
65655	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/04/22	2016/10/28	50.00
65659	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/04/22	2017/02/27	70.00
65645	J P Morgan SP BV - HSI R Bear CBBC Aug 2017 A	200,000,000	2016/04/22	2017/08/30	50.00
65547	SG Issuer - HSI R Bull CBBC Jun 2017 A	200,000,000	2016/04/22	2017/06/29	50.00
65548	SG Issuer - HSI R Bull CBBC Jun 2017 B	200,000,000	2016/04/22	2017/06/29	50.00
65549	SG Issuer - HSI R Bull CBBC Jun 2017 C	200,000,000	2016/04/22	2017/06/29	50.00
65550	SG Issuer - HSI R Bull CBBC Jun 2017 D	200,000,000	2016/04/22	2017/06/29	50.00
65556	SG Issuer - HSI R Bull CBBC Jun 2017 E	200,000,000	2016/04/22	2017/06/29	50.00
65558	SG Issuer - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/04/22	2016/08/30	50.00
65625	UBS AG - China Mobile R Bear CBBC Jan 2017 A	50,000,000	2016/04/22	2017/01/16	12.50
65598	UBS AG - HSI R Bull CBBC Aug 2017 C	200,000,000	2016/04/22	2017/08/30	50.00
65599	UBS AG - HSI R Bull CBBC Aug 2017 D	200,000,000	2016/04/22	2017/08/30	50.00
65603	UBS AG - HSI R Bull CBBC Aug 2017 E	200,000,000	2016/04/22	2017/08/30	50.00
65602	UBS AG - HSI R Bull CBBC Sep 2017 M	200,000,000	2016/04/22	2017/09/28	50.00
65604	UBS AG - HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/22	2016/07/28	50.00
65619	UBS AG - HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/22	2016/07/28	50.00
65606	UBS AG - HSI R Bear CBBC Aug 2016 S	200,000,000	2016/04/22	2016/08/30	50.00
65612	UBS AG - HSI R Bear CBBC Aug 2016 T	200,000,000	2016/04/22	2016/08/30	50.00
65623	UBS AG - HSI R Bear CBBC Aug 2016 U	200,000,000	2016/04/22	2016/08/30	53.00
65605	UBS AG - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/22	2016/09/29	50.00
65610	UBS AG - HSI R Bear CBBC Sep 2016 F	200,000,000	2016/04/22	2016/09/29	50.00
65617	UBS AG - HSI R Bear CBBC Sep 2016 G	200,000,000	2016/04/22	2016/09/29	50.00
65680	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/04/25	2017/03/30	62.50
65682	Credit Suisse AG - HSI R Bull CBBC Apr 2017 I	200,000,000	2016/04/25	2017/04/27	50.00
65681	Credit Suisse AG - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/04/25	2017/06/29	50.00
65683	Credit Suisse AG - HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/25	2016/07/28	50.00
65685	Credit Suisse AG - HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/25	2016/07/28	50.00
65675	Bank of East Asia - COVS R Bear CBBC Mar 2017 A	20,000,000	2016/04/25	2017/03/06	14.40
65678	Bank of East Asia - Galaxy Ent R Bear CBBC Feb 2017 B	60,000,000	2016/04/25	2017/02/27	15.00
65674	Bank of East Asia - Lenovo R Bull CBBC Dec 2017 A	40,000,000	2016/04/25	2017/12/29	10.00
65679	Bank of East Asia - Sands China R Bear CBBC Jan 2017 A	60,000,000	2016/04/25	2017/01/09	15.00
65666	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/25	2016/07/28	50.80
65667	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/25	2016/07/28	51.40
65693	J P Morgan SP BV - HSI R Bull CBBC May 2017 L	150,000,000	2016/04/25	2017/05/29	37.50
65687	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 I	200,000,000	2016/04/25	2017/07/28	50.00
65688	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/25	2016/07/28	50.00
65691	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/25	2016/07/28	50.00
65662	SG Issuer - HSI R Bull CBBC Jul 2017 A	200,000,000	2016/04/25	2017/07/28	50.00
65663	SG Issuer - HSI R Bull CBBC Jul 2017 B	200,000,000	2016/04/25	2017/07/28	50.00
65664	SG Issuer - HSI R Bull CBBC Jul 2017 C	200,000,000	2016/04/25	2017/07/28	50.00
65670	SG Issuer - HSI R Bull CBBC Jul 2017 D	200,000,000	2016/04/25	2017/07/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65671	SG Issuer - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/04/25	2016/08/30	50.00
65672	SG Issuer - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/25	2016/08/30	50.00
65700	UBS AG - HSI R Bull CBBC Nov 2016 B	200,000,000	2016/04/25	2016/11/29	50.00
65699	UBS AG - HSI R Bull CBBC Nov 2016 U	200,000,000	2016/04/25	2016/11/29	50.00
65701	UBS AG - HSI R Bull CBBC Sep 2017 N	200,000,000	2016/04/25	2017/09/28	50.00
65702	UBS AG - HSI R Bull CBBC Sep 2017 O	200,000,000	2016/04/25	2017/09/28	50.00
65713	UBS AG - HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/25	2016/07/28	50.00
65724	UBS AG - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/25	2016/07/28	50.00
65704	UBS AG - HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/25	2016/07/28	50.00
65844	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 N	250,000,000	2016/04/26	2017/06/29	62.50
65847	Credit Suisse AG - HSI R Bull CBBC Jun 2017 C	200,000,000	2016/04/26	2017/06/29	50.00
65840	Credit Suisse AG - HSI R Bear CBBC Aug 2016 T	200,000,000	2016/04/26	2016/08/30	50.00
65841	Credit Suisse AG - HSI R Bear CBBC Aug 2016 U	200,000,000	2016/04/26	2016/08/30	50.00
65890	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 C	200,000,000	2016/04/26	2017/07/28	51.40
65891	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 D	200,000,000	2016/04/26	2017/07/28	51.40
65885	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 E	200,000,000	2016/04/26	2016/08/30	50.80
65887	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/26	2016/08/30	50.40
65888	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/26	2016/08/30	50.80
65740	HK Bank - China Mobile R Bull CBBC Jan 2017 A	50,000,000	2016/04/26	2017/01/16	12.50
65771	HK Bank - CNOOC R Bull CBBC Jul 2017 A	50,000,000	2016/04/26	2017/07/31	12.50
65781	HK Bank - Galaxy Ent R Bull CBBC Jun 2017 C	40,000,000	2016/04/26	2017/06/26	10.00
65765	HK Bank - HSCEI R Bull CBBC Jul 2017 A	60,000,000	2016/04/26	2017/07/28	15.00
65772	HK Bank - HSI R Bear CBBC Feb 2017 E	150,000,000	2016/04/26	2017/02/27	37.50
65786	HK Bank - HSI R Bear CBBC Feb 2017 F	150,000,000	2016/04/26	2017/02/27	37.50
65787	HK Bank - HSI R Bear CBBC Apr 2017 A	150,000,000	2016/04/26	2017/04/27	37.50
65788	HK Bank - HSI R Bear CBBC Apr 2017 B	150,000,000	2016/04/26	2017/04/27	37.50
65780	HK Bank - Lenovo R Bull CBBC Mar 2017 A	40,000,000	2016/04/26	2017/03/31	10.00
65733	HK Bank - Lenovo R Bear CBBC Apr 2017 A	40,000,000	2016/04/26	2017/04/28	10.00
65751	HK Bank - PetCh R Bull CBBC Jun 2017 A	50,000,000	2016/04/26	2017/06/30	12.50
65748	HK Bank - Ping An R Bear CBBC Apr 2017 A	40,000,000	2016/04/26	2017/04/28	10.00
65782	HK Bank - Sands China R Bull CBBC Jul 2017 B	40,000,000	2016/04/26	2017/07/31	10.00
65859	J P Morgan SP BV - COVS R Bear CBBC Oct 2016 A	25,000,000	2016/04/26	2016/10/21	12.50
65863	J P Morgan SP BV - HKEX R Bull CBBC Dec 2016 D	60,000,000	2016/04/26	2016/12/16	15.00
65866	J P Morgan SP BV - HKEX R Bear CBBC Jan 2017 B	60,000,000	2016/04/26	2017/01/20	15.00
65871	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 F	200,000,000	2016/04/26	2016/08/30	50.00
65872	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 G	150,000,000	2016/04/26	2016/08/30	37.50
65873	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/26	2016/07/28	50.00
65857	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 S	150,000,000	2016/04/26	2016/08/30	37.50
65858	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 T	200,000,000	2016/04/26	2016/08/30	50.00
65875	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 U	200,000,000	2016/04/26	2016/08/30	50.00
65877	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/04/26	2016/12/29	50.00
65829	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2016 J	40,000,000	2016/04/26	2016/08/30	10.20
65831	Macquarie Bank Ltd. - HSI R Bear CBBC Aug 2016 K	40,000,000	2016/04/26	2016/08/30	10.00
65838	SG Issuer - HSBC R Bull CBBC Nov 2017 A	200,000,000	2016/04/26	2017/11/29	50.00
65835	SG Issuer - HSBC R Bull CBBC Mar 2018 A	200,000,000	2016/04/26	2018/03/28	50.00

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65791	SG Issuer - HSI R Bull CBBC Jul 2017 E	200,000,000	2016/04/26	2017/07/28	50.00
65850	SG Issuer - HSI R Bull CBBC Jul 2017 F	200,000,000	2016/04/26	2017/07/28	50.00
65794	SG Issuer - HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/26	2016/07/28	50.00
65797	SG Issuer - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/26	2016/08/30	50.00
65822	SG Issuer - HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/26	2016/08/30	50.00
65827	SG Issuer - HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/26	2016/08/30	50.00
65828	SG Issuer - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/26	2016/08/30	50.00
65855	UBS AG - HSI R Bull CBBC Aug 2017 F	200,000,000	2016/04/26	2017/08/30	50.00
65854	UBS AG - HSI R Bear CBBC Aug 2016 V	200,000,000	2016/04/26	2016/08/30	50.00
65898	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 J	250,000,000	2016/04/27	2017/03/30	62.50
65929	Credit Suisse AG - HSI R Bull CBBC Mar 2017 C	200,000,000	2016/04/27	2017/03/30	50.00
65930	Credit Suisse AG - HSI R Bull CBBC Apr 2017 J	200,000,000	2016/04/27	2017/04/27	50.00
65931	Credit Suisse AG - HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/27	2016/07/28	50.00
65903	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2017 S	200,000,000	2016/04/27	2017/05/29	51.40
65899	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 E	200,000,000	2016/04/27	2017/07/28	51.00
65978	J P Morgan SP BV - CC Bank R Bull CBBC Jan 2017 B	100,000,000	2016/04/27	2017/01/20	25.00
65979	J P Morgan SP BV - CKH R Bull CBBC Jun 2017 B	40,000,000	2016/04/27	2017/06/16	10.00
65975	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 C	150,000,000	2016/04/27	2016/09/29	37.50
65977	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 D	150,000,000	2016/04/27	2016/09/29	37.50
65897	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 P	200,000,000	2016/04/27	2017/06/29	50.00
65970	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 J	150,000,000	2016/04/27	2017/07/28	37.50
65982	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 G	150,000,000	2016/04/27	2017/08/30	37.50
65965	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 T	150,000,000	2016/04/27	2016/07/28	37.50
65968	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 V	200,000,000	2016/04/27	2016/08/30	50.00
65917	SG Issuer - HKEX R Bull CBBC May 2017 A	100,000,000	2016/04/27	2017/05/31	25.00
65918	SG Issuer - HKEX R Bull CBBC May 2017 B	100,000,000	2016/04/27	2017/05/31	25.00
65909	SG Issuer - HKEX R Bull CBBC Jul 2017 A	100,000,000	2016/04/27	2017/07/28	25.00
65912	SG Issuer - HKEX R Bull CBBC Jul 2017 B	100,000,000	2016/04/27	2017/07/28	25.00
65906	SG Issuer - HKEX R Bull CBBC Sep 2017 A	100,000,000	2016/04/27	2017/09/28	25.00
65919	SG Issuer - HKEX R Bear CBBC Sep 2016 A	100,000,000	2016/04/27	2016/09/29	25.00
65920	SG Issuer - HKEX R Bear CBBC Nov 2016 A	100,000,000	2016/04/27	2016/11/29	25.00
65921	SG Issuer - HKEX R Bear CBBC Feb 2017 A	100,000,000	2016/04/27	2017/02/01	27.00
65922	SG Issuer - HSBC R Bull CBBC Jun 2018 A	100,000,000	2016/04/27	2018/06/28	25.00
65927	SG Issuer - HSBC R Bear CBBC Sep 2016 A	100,000,000	2016/04/27	2016/09/29	25.00
65893	SG Issuer - HSI R Bull CBBC Jun 2017 F	200,000,000	2016/04/27	2017/06/29	50.00
65905	SG Issuer - HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/27	2016/07/28	50.00
65934	UBS AG - HSI R Bull CBBC Jun 2017 S	200,000,000	2016/04/27	2017/06/29	50.00
65933	UBS AG - HSI R Bull CBBC Jul 2017 O	200,000,000	2016/04/27	2017/07/28	50.00
65937	UBS AG - HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/27	2016/07/28	50.00
65945	UBS AG - HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/27	2016/07/28	50.00
65938	UBS AG - HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/27	2016/07/28	50.00
65941	UBS AG - HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/27	2016/07/28	50.00
65952	UBS AG - HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/27	2016/07/28	50.00
65951	UBS AG - HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/27	2016/07/28	50.00
65953	UBS AG - HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/27	2016/07/28	59.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65963	UBS AG - HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/27	2016/07/28	50.00
66026	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 P	250,000,000	2016/04/28	2017/05/29	62.50
66030	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 O	250,000,000	2016/04/28	2017/06/29	62.50
65991	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 M	250,000,000	2016/04/28	2017/02/27	62.50
65994	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 N	250,000,000	2016/04/28	2017/02/27	62.50
65992	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/04/28	2017/03/30	62.50
66034	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 L	250,000,000	2016/04/28	2017/03/30	62.50
66037	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 M	250,000,000	2016/04/28	2017/03/30	62.50
66058	Credit Suisse AG - HSI R Bull CBBC Jul 2017 N	200,000,000	2016/04/28	2017/07/28	50.00
66041	Credit Suisse AG - HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/28	2016/07/28	50.00
66043	Credit Suisse AG - HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/28	2016/07/28	50.00
66053	Credit Suisse AG - HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/28	2016/07/28	50.00
66056	Credit Suisse AG - HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/28	2016/07/28	50.00
66052	Credit Suisse AG - HSI R Bear CBBC Aug 2016 V	200,000,000	2016/04/28	2016/08/30	50.00
66055	Credit Suisse AG - HSI R Bear CBBC Aug 2016 W	200,000,000	2016/04/28	2016/08/30	50.00
66044	Credit Suisse AG - HSI R Bear CBBC Sep 2016 G	200,000,000	2016/04/28	2016/09/29	50.00
66018	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2017 T	200,000,000	2016/04/28	2017/05/29	50.60
66019	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 F	200,000,000	2016/04/28	2017/07/28	50.80
65995	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/28	2016/07/28	50.80
65999	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/28	2016/07/28	50.40
65987	HK Bank - ABC R Bull CBBC Aug 2017 B	50,000,000	2016/04/28	2017/08/31	12.50
65988	HK Bank - AIA R Bear CBBC Mar 2017 B	40,000,000	2016/04/28	2017/03/31	13.20
65983	HK Bank - CM Bank R Bull CBBC Jan 2017 B	40,000,000	2016/04/28	2017/01/23	10.00
66015	HK Bank - HSI R Bull CBBC Oct 2016 A	150,000,000	2016/04/28	2016/10/28	37.50
66014	HK Bank - HSI R Bull CBBC Dec 2016 X	150,000,000	2016/04/28	2016/12/29	37.50
65989	HK Bank - PICC P&C R Bull CBBC Jan 2017 B	40,000,000	2016/04/28	2017/01/19	10.00
66108	J P Morgan SP BV - China Mobile R Bear CBBC May 2017 A	100,000,000	2016/04/28	2017/05/19	25.00
66098	J P Morgan SP BV - HKEX R Bull CBBC Nov 2016 G	40,000,000	2016/04/28	2016/11/18	10.00
66100	J P Morgan SP BV - HKEX R Bear CBBC Feb 2017 B	40,000,000	2016/04/28	2017/02/17	10.40
66101	J P Morgan SP BV - HKEX R Bear CBBC Mar 2017 B	40,000,000	2016/04/28	2017/03/17	14.40
66104	J P Morgan SP BV - HSBC R Bull CBBC Feb 2017 A	100,000,000	2016/04/28	2017/02/17	25.00
66105	J P Morgan SP BV - HSBC R Bear CBBC Jan 2017 B	100,000,000	2016/04/28	2017/01/20	25.00
66109	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/04/28	2017/06/29	50.00
66088	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 H	200,000,000	2016/04/28	2017/08/30	50.00
66089	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 C	150,000,000	2016/04/28	2017/10/30	37.50
66112	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 H	150,000,000	2016/04/28	2016/07/28	37.50
66115	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 N	150,000,000	2016/04/28	2016/07/28	37.50
66086	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/28	2016/07/28	50.00
66087	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/28	2016/07/28	50.00
66106	J P Morgan SP BV - Tencent R Bull CBBC Jan 2017 C	60,000,000	2016/04/28	2017/01/20	15.00
66020	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2016 J	40,000,000	2016/04/28	2016/12/29	10.00
66024	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2016 F	40,000,000	2016/04/28	2016/10/28	10.00
66083	SG Issuer - HSI R Bull CBBC Jun 2017 G	200,000,000	2016/04/28	2017/06/29	50.00
66084	SG Issuer - HSI R Bull CBBC Jun 2017 H	200,000,000	2016/04/28	2017/06/29	50.00
66009	SG Issuer - HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/28	2016/07/28	50.00



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66013	SG Issuer - HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/28	2016/07/28	50.00
66074	UBS AG - HKEX R Bull CBBC Oct 2016 F	50,000,000	2016/04/28	2016/10/31	12.50
66071	UBS AG - HSBC R Bull CBBC Jun 2017 A	100,000,000	2016/04/28	2017/06/19	25.00
66078	UBS AG - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/28	2017/06/29	50.00
66075	UBS AG - HSI R Bull CBBC Aug 2017 G	200,000,000	2016/04/28	2017/08/30	50.00
66080	UBS AG - HSI R Bull CBBC Sep 2017 P	200,000,000	2016/04/28	2017/09/28	50.00
66059	UBS AG - HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/28	2016/07/28	50.00
66060	UBS AG - HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/28	2016/07/28	50.00
66061	UBS AG - HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/28	2016/07/28	50.00
66072	UBS AG - Tencent R Bull CBBC Oct 2016 E	50,000,000	2016/04/28	2016/10/17	12.50
66134	BNP Paribas Arbit Issu B.V. - HKEX R Bull CBBC Dec 2016 B	40,000,000	2016/04/29	2016/12/30	10.00
66168	Credit Suisse AG - HKEX R Bull CBBC Sep 2016 C	50,000,000	2016/04/29	2016/09/30	12.50
66162	Credit Suisse AG - HKEX R Bear CBBC Jul 2016 C	50,000,000	2016/04/29	2016/07/29	13.00
66160	Credit Suisse AG - HSBC R Bear CBBC Sep 2016 A	50,000,000	2016/04/29	2016/09/30	12.50
66152	Credit Suisse AG - HSCEI R Bear CBBC Jul 2016 B	100,000,000	2016/04/29	2016/07/28	25.00
66154	Credit Suisse AG - HSI R Bull CBBC Apr 2017 K	200,000,000	2016/04/29	2017/04/27	50.00
66164	Credit Suisse AG - HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/29	2016/07/28	50.00
66155	Credit Suisse AG - Tencent R Bear CBBC Jul 2016 A	50,000,000	2016/04/29	2016/07/29	12.50
66133	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 A	200,000,000	2016/04/29	2017/08/30	51.20
66182	J P Morgan SP BV - HKEX R Bull CBBC Apr 2017 B	40,000,000	2016/04/29	2017/04/21	10.00
66180	J P Morgan SP BV - HKEX R Bear CBBC Apr 2017 A	40,000,000	2016/04/29	2017/04/21	20.00
66171	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 R	150,000,000	2016/04/29	2017/06/29	37.50
66170	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 K	200,000,000	2016/04/29	2017/07/28	50.00
66184	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 D	150,000,000	2016/04/29	2016/07/28	37.50
66183	J P Morgan SP BV - HSI R Bear CBBC Jul 2016 X	150,000,000	2016/04/29	2016/07/28	37.50
66130	SG Issuer - HSI R Bull CBBC Jul 2017 G	200,000,000	2016/04/29	2017/07/28	50.00
66147	SG Issuer - HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/29	2016/07/28	50.00
66121	SG Issuer - Tencent R Bull CBBC Jun 2017 A	100,000,000	2016/04/29	2017/06/29	25.00
66122	SG Issuer - Tencent R Bull CBBC Jun 2017 B	100,000,000	2016/04/29	2017/06/29	25.00
66123	SG Issuer - Tencent R Bull CBBC Jun 2017 C	100,000,000	2016/04/29	2017/06/29	25.00
66116	SG Issuer - Tencent R Bull CBBC Aug 2017 A	100,000,000	2016/04/29	2017/08/30	25.00
66119	SG Issuer - Tencent R Bull CBBC Aug 2017 B	100,000,000	2016/04/29	2017/08/30	25.00
66127	SG Issuer - Tencent R Bear CBBC Sep 2016 A	100,000,000	2016/04/29	2016/09/29	25.00
66128	SG Issuer - Tencent R Bear CBBC Sep 2016 B	100,000,000	2016/04/29	2016/09/29	25.00
66129	SG Issuer - Tencent R Bear CBBC Nov 2016 A	100,000,000	2016/04/29	2016/11/29	25.00
66174	UBS AG - HSI R Bull CBBC Aug 2017 H	200,000,000	2016/04/29	2017/08/30	50.00
66177	UBS AG - HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/29	2016/07/28	50.00
66178	UBS AG - HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/29	2016/07/28	50.00
66237	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 Q	250,000,000	2016/05/03	2017/05/29	62.50
66234	Credit Suisse AG - HSI R Bull CBBC Mar 2017 D	200,000,000	2016/05/03	2017/03/30	50.00
66235	Credit Suisse AG - HSI R Bear CBBC Aug 2016 X	200,000,000	2016/05/03	2016/08/30	50.00
66240	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/05/03	2017/06/29	51.20
66243	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/03	2016/08/30	50.60
66244	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/03	2016/08/30	50.20
66215	HK Bank - AIA R Bull CBBC Jan 2017 B	40,000,000	2016/05/03	2017/01/09	16.40

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66185	HK Bank - CNOOC R Bull CBBC Jan 2017 D	50,000,000	2016/05/03	2017/01/06	12.50
66190	HK Bank - CNOOC R Bear CBBC Feb 2017 A	40,000,000	2016/05/03	2017/02/27	10.00
66191	HK Bank - CNOOC R Bear CBBC Mar 2017 A	40,000,000	2016/05/03	2017/03/06	10.00
66186	HK Bank - CNOOC R Bear CBBC Nov 2017 A	40,000,000	2016/05/03	2017/11/06	12.80
66197	HK Bank - HKEEX R Bull CBBC Oct 2016 X	50,000,000	2016/05/03	2016/10/31	12.50
66217	HK Bank - HKEEX R Bull CBBC Dec 2016 K	50,000,000	2016/05/03	2016/12/30	12.50
66220	HK Bank - HKEEX R Bull CBBC Dec 2016 L	50,000,000	2016/05/03	2016/12/19	12.50
66206	HK Bank - HKEEX R Bear CBBC Jun 2017 C	40,000,000	2016/05/03	2017/06/30	30.80
66221	HK Bank - HSI R Bull CBBC Nov 2016 Y	150,000,000	2016/05/03	2016/11/29	37.50
66195	HK Bank - PetCh R Bull CBBC Jul 2017 A	50,000,000	2016/05/03	2017/07/17	12.50
66247	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 S	150,000,000	2016/05/03	2017/06/29	37.50
66245	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 F	150,000,000	2016/05/03	2017/09/28	37.50
66248	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 W	200,000,000	2016/05/03	2016/08/30	50.00
66249	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 X	150,000,000	2016/05/03	2016/08/30	37.50
66223	SG Issuer - A50 R Bull CBBC Feb 2017 B	100,000,000	2016/05/03	2017/02/27	25.00
66224	SG Issuer - A50 R Bull CBBC Feb 2017 C	100,000,000	2016/05/03	2017/02/27	25.00
66225	SG Issuer - A50 R Bull CBBC Feb 2017 D	100,000,000	2016/05/03	2017/02/27	25.00
66222	SG Issuer - A50 R Bull CBBC Apr 2017 C	100,000,000	2016/05/03	2017/04/27	25.00
66228	SG Issuer - A50 R Bear CBBC Sep 2016 A	100,000,000	2016/05/03	2016/09/29	25.00
66230	SG Issuer - A50 R Bear CBBC Oct 2016 A	100,000,000	2016/05/03	2016/10/28	25.00
66231	SG Issuer - A50 R Bear CBBC Nov 2016 A	100,000,000	2016/05/03	2016/11/29	25.00
66233	UBS AG - HSI R Bull CBBC Aug 2017 I	200,000,000	2016/05/03	2017/08/30	50.00
66232	UBS AG - HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/05/03	2017/09/28	50.00
66236	UBS AG - HSI R Bear CBBC Aug 2016 W	200,000,000	2016/05/03	2016/08/30	50.00
66250	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 R	250,000,000	2016/05/04	2017/05/29	62.50
66251	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 P	250,000,000	2016/05/04	2017/06/29	62.50
66269	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 Q	250,000,000	2016/05/04	2017/06/29	62.50
66263	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/05/04	2017/02/27	62.50
66266	Credit Suisse AG - HSI R Bull CBBC Jun 2017 D	200,000,000	2016/05/04	2017/06/29	50.00
66267	Credit Suisse AG - HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/04	2016/08/30	50.00
66281	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/04	2017/06/29	50.20
66253	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/05/04	2017/06/29	50.60
66256	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 B	200,000,000	2016/05/04	2017/08/30	51.60
66257	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/04	2016/08/30	50.60
66283	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 L	200,000,000	2016/05/04	2017/07/28	50.00
66284	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 I	200,000,000	2016/05/04	2017/08/30	50.00
66286	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/04	2016/08/30	50.00
66288	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 Z	150,000,000	2016/05/04	2016/08/30	37.50
66258	SG Issuer - HSI R Bull CBBC Jun 2017 I	200,000,000	2016/05/04	2017/06/29	50.00
66260	SG Issuer - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/04	2016/08/30	50.00
66262	SG Issuer - HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/04	2016/08/30	50.00
66272	UBS AG - HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/04	2017/06/29	50.00
66273	UBS AG - HSI R Bull CBBC Aug 2017 J	200,000,000	2016/05/04	2017/08/30	50.00
66279	UBS AG - HSI R Bear CBBC Aug 2016 X	200,000,000	2016/05/04	2016/08/30	50.00
66280	UBS AG - HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/04	2016/08/30	50.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66310	Credit Suisse AG - HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/05	2017/07/28	50.00
66311	Credit Suisse AG - HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/05	2017/07/28	50.00
66312	Credit Suisse AG - HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/05/05	2016/08/30	50.00
66333	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 C	200,000,000	2016/05/05	2017/08/30	50.40
66334	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/05	2016/08/30	50.20
66293	HK Bank - Sinopec Corp R Bull CBBC Jul 2017 A	50,000,000	2016/05/05	2017/07/17	12.50
66296	HK Bank - HSCEI R Bear CBBC Feb 2017 B	50,000,000	2016/05/05	2017/02/27	12.50
66294	HK Bank - TCH R Bear CBBC Jan 2017 C	40,000,000	2016/05/05	2017/01/16	10.00
66321	J P Morgan SP BV - HSBC R Bull CBBC Apr 2017 C	100,000,000	2016/05/05	2017/04/21	25.00
66319	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 M	200,000,000	2016/05/05	2017/07/28	50.00
66320	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/05/05	2017/09/28	50.00
66322	J P Morgan SP BV - Ping An R Bull CBBC Feb 2017 D	100,000,000	2016/05/05	2017/02/17	25.00
66330	J P Morgan SP BV - Tencent R Bear CBBC Nov 2016 B	60,000,000	2016/05/05	2016/11/18	15.00
66300	SG Issuer - HSI R Bull CBBC Jun 2017 J	200,000,000	2016/05/05	2017/06/29	50.00
66299	SG Issuer - HSI R Bull CBBC Jul 2017 H	200,000,000	2016/05/05	2017/07/28	50.00
66313	SG Issuer - HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/05	2016/08/30	50.00
66301	UBS AG - HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/05	2017/07/28	50.00
66303	UBS AG - HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/05	2017/07/28	50.00
66309	UBS AG - HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/05/05	2016/08/30	50.00
66305	UBS AG - Ping An R Bull CBBC Jan 2017 B	100,000,000	2016/05/05	2017/01/16	25.00
66337	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 P	250,000,000	2016/05/06	2017/02/27	62.50
66346	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Q	250,000,000	2016/05/06	2017/02/27	62.50
66344	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 N	250,000,000	2016/05/06	2017/03/30	62.50
66377	Credit Suisse AG - HSI R Bull CBBC Apr 2017 L	200,000,000	2016/05/06	2017/04/27	50.00
66356	Credit Suisse AG - HSI R Bear CBBC Aug 2016 A	200,000,000	2016/05/06	2016/08/30	50.00
66360	Credit Suisse AG - HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/06	2016/08/30	50.00
66350	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 D	200,000,000	2016/05/06	2017/08/30	51.40
66351	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 L	200,000,000	2016/05/06	2016/08/30	51.20
66352	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 M	200,000,000	2016/05/06	2016/08/30	51.20
66390	J P Morgan SP BV - Galaxy Ent R Bear CBBC Feb 2017 A	100,000,000	2016/05/06	2017/02/17	25.00
66388	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 J	200,000,000	2016/05/06	2017/08/30	50.00
66391	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/06	2016/08/30	50.00
66386	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 D	200,000,000	2016/05/06	2016/08/30	50.00
66353	SG Issuer - HSI R Bull CBBC Jul 2017 I	200,000,000	2016/05/06	2017/07/28	50.00
66355	SG Issuer - HSI R Bull CBBC Jul 2017 J	200,000,000	2016/05/06	2017/07/28	50.00
66336	SG Issuer - HSI R Bear CBBC Aug 2016 U	200,000,000	2016/05/06	2016/08/30	50.00
66335	SG Issuer - HSI R Bear CBBC Sep 2016 F	200,000,000	2016/05/06	2016/09/29	50.00
66385	UBS AG - HSI R Bull CBBC Jun 2017 T	200,000,000	2016/05/06	2017/06/29	50.00
66384	UBS AG - HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/06	2017/06/29	50.00
66380	UBS AG - HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/06	2016/08/30	50.00
66379	UBS AG - HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/06	2016/08/30	50.00
66383	UBS AG - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/06	2016/08/30	50.00
66438	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 O	250,000,000	2016/05/09	2017/03/30	62.50
66439	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 P	250,000,000	2016/05/09	2017/03/30	62.50
66443	Credit Suisse AG - HSI R Bull CBBC Jun 2017 E	200,000,000	2016/05/09	2017/06/29	50.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66446	Credit Suisse AG - HSI R Bull CBBC Jun 2017 F	200,000,000	2016/05/09	2017/06/29	50.00
66450	Credit Suisse AG - HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/09	2016/09/29	50.00
66452	Credit Suisse AG - HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/09	2016/09/29	50.00
66437	Bank of East Asia - Great Wall Motor R Bull CBBC Dec 2017 A	60,000,000	2016/05/09	2017/12/22	15.00
66432	Bank of East Asia - HKEX R Bull CBBC Apr 2017 A	40,000,000	2016/05/09	2017/04/27	10.00
66433	Bank of East Asia - HKEX R Bear CBBC Apr 2017 A	40,000,000	2016/05/09	2017/04/21	10.00
66436	Bank of East Asia - HSBC Holdings R Bear CBBC Feb 2017 A	40,000,000	2016/05/09	2017/02/22	10.00
66418	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 E	200,000,000	2016/05/09	2017/08/30	51.60
66420	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 F	200,000,000	2016/05/09	2017/08/30	51.60
66426	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 G	200,000,000	2016/05/09	2017/08/30	50.40
66422	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/05/09	2016/08/30	51.20
66427	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/09	2016/08/30	51.00
66428	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/09	2016/08/30	51.00
66394	HK Bank - HKEX R Bull CBBC Jun 2017 A	50,000,000	2016/05/09	2017/06/30	12.50
66409	HK Bank - HSI R Bull CBBC Nov 2016 Z	150,000,000	2016/05/09	2016/11/29	37.50
66410	HK Bank - HSI R Bull CBBC Dec 2016 Y	150,000,000	2016/05/09	2016/12/29	37.50
66413	HK Bank - PetCh R Bull CBBC Jul 2017 B	50,000,000	2016/05/09	2017/07/03	12.50
66414	HK Bank - Sands China R Bear CBBC Apr 2017 C	40,000,000	2016/05/09	2017/04/28	10.00
66416	HK Bank - Tencent R Bull CBBC Dec 2016 L	50,000,000	2016/05/09	2016/12/30	12.50
66417	HK Bank - Tencent R Bull CBBC Jan 2017 C	50,000,000	2016/05/09	2017/01/18	12.50
66395	HK Bank - Tencent R Bear CBBC Nov 2016 E	40,000,000	2016/05/09	2016/11/30	10.00
66460	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 T	200,000,000	2016/05/09	2017/06/29	50.00
66462	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/09	2016/08/30	50.00
66463	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 G	200,000,000	2016/05/09	2016/08/30	50.00
66424	SG Issuer - HSI R Bull CBBC Jun 2017 K	200,000,000	2016/05/09	2017/06/29	50.00
66423	SG Issuer - HSI R Bull CBBC Jul 2017 K	200,000,000	2016/05/09	2017/07/28	50.00
66425	SG Issuer - HSI R Bull CBBC Jul 2017 L	200,000,000	2016/05/09	2017/07/28	50.00
66440	SG Issuer - HSI R Bear CBBC Sep 2016 G	200,000,000	2016/05/09	2016/09/29	50.00
66442	SG Issuer - HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/09	2016/09/29	50.00
66453	UBS AG - HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/09	2017/07/28	50.00
66455	UBS AG - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/09	2016/08/30	50.00
66457	UBS AG - HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/09	2016/09/29	50.00
66459	UBS AG - HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/09	2016/09/29	50.00
66504	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 C	100,000,000	2016/05/10	2017/02/27	25.00
66528	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 A	100,000,000	2016/05/10	2017/03/30	25.00
66482	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 S	250,000,000	2016/05/10	2017/05/29	62.50
66512	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 T	250,000,000	2016/05/10	2017/05/29	62.50
66478	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 R	250,000,000	2016/05/10	2017/06/29	62.50
66483	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 S	250,000,000	2016/05/10	2017/06/29	62.50
66503	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 R	250,000,000	2016/05/10	2017/02/27	62.50
66527	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 S	250,000,000	2016/05/10	2017/02/27	62.50
66502	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/05/10	2017/03/30	62.50
66526	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 R	250,000,000	2016/05/10	2017/03/30	62.50
66521	Credit Suisse AG - HSI R Bull CBBC Apr 2017 M	200,000,000	2016/05/10	2017/04/27	50.00
66519	Credit Suisse AG - HSI R Bull CBBC Jun 2017 J	200,000,000	2016/05/10	2017/06/29	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66513	Credit Suisse AG - HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/10	2017/07/28	50.00
66517	Credit Suisse AG - HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/10	2017/07/28	50.00
66523	Credit Suisse AG - HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/10	2016/08/30	50.00
66524	Credit Suisse AG - HSI R Bear CBBC Aug 2016 D	200,000,000	2016/05/10	2016/08/30	50.00
66525	Credit Suisse AG - HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/10	2016/08/30	50.00
66491	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2017 U	200,000,000	2016/05/10	2017/05/29	50.00
66563	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/10	2017/06/29	52.20
66492	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 H	200,000,000	2016/05/10	2017/08/30	50.00
66493	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/05/10	2016/08/30	51.40
66496	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 R	200,000,000	2016/05/10	2016/08/30	50.40
66564	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/10	2016/08/30	50.60
66477	HK Bank - CKP R Bull CBBC Dec 2016 C	40,000,000	2016/05/10	2016/12/30	10.00
66475	HK Bank - CNOOC R Bear CBBC Dec 2016 E	40,000,000	2016/05/10	2016/12/19	10.00
66476	HK Bank - China Res Land R Bull CBBC Dec 2016 A	40,000,000	2016/05/10	2016/12/19	14.00
66469	HK Bank - Galaxy Ent R Bear CBBC Jan 2017 B	40,000,000	2016/05/10	2017/01/16	10.00
66471	HK Bank - Galaxy Ent R Bear CBBC Jun 2017 A	40,000,000	2016/05/10	2017/06/30	10.00
66474	HK Bank - HSI R Bear CBBC Nov 2016 J	150,000,000	2016/05/10	2016/11/29	37.50
66473	HK Bank - HSI R Bear CBBC Dec 2016 M	150,000,000	2016/05/10	2016/12/29	37.50
66551	J P Morgan SP BV - HKEX R Bear CBBC Dec 2016 C	40,000,000	2016/05/10	2016/12/16	10.00
66548	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 N	200,000,000	2016/05/10	2017/07/28	50.00
66550	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 K	200,000,000	2016/05/10	2017/08/30	50.00
66559	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/10	2016/08/30	50.00
66560	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/10	2016/08/30	50.00
66562	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/10	2016/09/29	50.00
66498	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2016 A	40,000,000	2016/05/10	2016/12/29	10.20
66500	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2016 B	40,000,000	2016/05/10	2016/12/29	10.00
66467	SG Issuer - HSI R Bull CBBC Jun 2017 L	200,000,000	2016/05/10	2017/06/29	50.00
66465	SG Issuer - HSI R Bull CBBC Jul 2017 M	200,000,000	2016/05/10	2017/07/28	50.00
66468	SG Issuer - HSI R Bull CBBC Jul 2017 N	200,000,000	2016/05/10	2017/07/28	50.00
66506	SG Issuer - HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/10	2016/08/30	50.00
66510	SG Issuer - HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/05/10	2016/08/30	50.00
66509	SG Issuer - HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/10	2016/09/29	50.00
66532	UBS AG - HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/10	2017/07/28	50.00
66531	UBS AG - HSI R Bull CBBC Aug 2017 K	200,000,000	2016/05/10	2017/08/30	50.00
66530	UBS AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/05/10	2017/09/28	50.00
66538	UBS AG - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/10	2016/08/30	50.00
66543	UBS AG - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/10	2016/08/30	50.00
66540	UBS AG - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/10	2016/09/29	50.00
66544	UBS AG - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/10	2016/09/29	50.00
66547	UBS AG - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/10	2016/09/29	50.00
66581	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 U	250,000,000	2016/05/11	2017/05/29	62.50
66586	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 T	250,000,000	2016/05/11	2017/06/29	62.50
66597	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 T	250,000,000	2016/05/11	2017/02/27	62.50
66595	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 S	250,000,000	2016/05/11	2017/03/30	62.50
66621	Credit Suisse AG - HSCEI R Bear CBBC Aug 2016 A	100,000,000	2016/05/11	2016/08/30	25.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66614	Credit Suisse AG - HSI R Bull CBBC Apr 2017 N	200,000,000	2016/05/11	2017/04/27	50.00
66615	Credit Suisse AG - HSI R Bull CBBC Apr 2017 O	200,000,000	2016/05/11	2017/04/27	50.00
66619	Credit Suisse AG - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/11	2016/08/30	50.00
66620	Credit Suisse AG - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/11	2016/08/30	50.00
66571	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 G	200,000,000	2016/05/11	2017/07/28	51.40
66631	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 H	200,000,000	2016/05/11	2017/07/28	50.20
66570	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 I	200,000,000	2016/05/11	2017/08/30	51.20
66587	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/11	2016/08/30	51.20
66568	HK Bank - HKEX R Bear CBBC Dec 2016 D	40,000,000	2016/05/11	2016/12/19	10.00
66565	HK Bank - HSI R Bull CBBC Dec 2016 Z	150,000,000	2016/05/11	2016/12/29	37.50
66567	HK Bank - Tencent R Bear CBBC Dec 2016 B	40,000,000	2016/05/11	2016/12/19	10.00
66638	J P Morgan SP BV - HKEX R Bull CBBC May 2017 A	40,000,000	2016/05/11	2017/05/19	10.00
66635	J P Morgan SP BV - HKEX R Bear CBBC Feb 2017 C	40,000,000	2016/05/11	2017/02/17	10.00
66622	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/11	2017/07/28	50.00
66623	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/11	2016/08/30	50.00
66624	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/11	2016/08/30	50.00
66627	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/11	2016/09/29	50.00
66628	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/11	2016/10/28	50.00
66640	J P Morgan SP BV - Ping An R Bull CBBC Feb 2017 E	100,000,000	2016/05/11	2017/02/17	25.00
66589	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2016 C	40,000,000	2016/05/11	2016/12/29	10.20
66592	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2016 D	40,000,000	2016/05/11	2016/12/29	10.00
66575	SG Issuer - HSI R Bull CBBC Jun 2017 M	200,000,000	2016/05/11	2017/06/29	50.00
66574	SG Issuer - HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/11	2017/07/28	50.00
66580	SG Issuer - HSI R Bear CBBC Aug 2016 V	200,000,000	2016/05/11	2016/08/30	50.00
66576	SG Issuer - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/11	2016/09/29	50.00
66579	SG Issuer - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/11	2016/09/29	50.00
66594	SG Issuer - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/11	2016/09/29	50.00
66613	UBS AG - HSCEI R Bear CBBC Aug 2016 B	100,000,000	2016/05/11	2016/08/30	25.00
66598	UBS AG - HSI R Bull CBBC Aug 2017 L	200,000,000	2016/05/11	2017/08/30	50.00
66601	UBS AG - HSI R Bull CBBC Sep 2017 S	200,000,000	2016/05/11	2017/09/28	50.00
66603	UBS AG - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/11	2016/08/30	50.00
66605	UBS AG - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/05/11	2016/09/29	50.00
66607	UBS AG - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/05/11	2016/09/29	50.00
66611	UBS AG - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/11	2016/09/29	50.00
66612	UBS AG - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/11	2016/09/29	50.00
66662	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 U	250,000,000	2016/05/12	2017/06/29	62.50
66687	Credit Suisse AG - HSI R Bull CBBC Mar 2017 E	200,000,000	2016/05/12	2017/03/30	50.00
66689	Credit Suisse AG - HSI R Bull CBBC Mar 2017 F	200,000,000	2016/05/12	2017/03/30	50.00
66690	Credit Suisse AG - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/12	2016/09/29	50.00
66700	Credit Suisse AG - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/12	2016/09/29	50.00
66716	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 I	200,000,000	2016/05/12	2017/07/28	50.40
66715	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 A	200,000,000	2016/05/12	2016/09/29	50.60
66658	HK Bank - China Life R Bull CBBC Dec 2016 D	50,000,000	2016/05/12	2016/12/12	12.50
66657	HK Bank - China Life R Bull CBBC Jan 2017 C	50,000,000	2016/05/12	2017/01/16	14.00
66661	HK Bank - HKEX R Bear CBBC Dec 2016 E	40,000,000	2016/05/12	2016/12/12	10.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66646	HK Bank - HSCEI R Bear CBBC Dec 2016 F	50,000,000	2016/05/12	2016/12/29	12.50
66656	HK Bank - HSI R Bear CBBC Dec 2016 N	150,000,000	2016/05/12	2016/12/29	37.50
66653	HK Bank - HSI R Bear CBBC Feb 2017 G	150,000,000	2016/05/12	2017/02/27	37.50
66655	HK Bank - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/05/12	2017/02/27	50.00
66701	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 L	200,000,000	2016/05/12	2017/08/30	50.00
66721	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 M	150,000,000	2016/05/12	2017/08/30	37.50
66723	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 H	150,000,000	2016/05/12	2017/09/28	37.50
66704	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 A	200,000,000	2016/05/12	2016/08/30	50.00
66709	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/12	2016/08/30	50.00
66705	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/12	2016/10/28	50.00
66717	J P Morgan SP BV - Sands China R Bear CBBC Jan 2017 A	100,000,000	2016/05/12	2017/01/20	25.00
66663	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 A	40,000,000	2016/05/12	2017/02/27	10.00
66669	SG Issuer - CSA50 R Bull CBBC Feb 2017 D	100,000,000	2016/05/12	2017/02/27	25.00
66672	SG Issuer - CSA50 R Bull CBBC Feb 2017 E	100,000,000	2016/05/12	2017/02/27	25.00
66666	SG Issuer - CSA50 R Bull CBBC Apr 2017 B	100,000,000	2016/05/12	2017/04/27	25.00
66675	SG Issuer - CSA50 R Bear CBBC Oct 2016 A	100,000,000	2016/05/12	2016/10/28	25.00
66678	SG Issuer - CSA50 R Bear CBBC Nov 2016 B	100,000,000	2016/05/12	2016/11/29	25.00
66643	SG Issuer - HSI R Bull CBBC Jun 2017 N	200,000,000	2016/05/12	2017/06/29	50.00
66641	SG Issuer - HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/12	2017/07/28	50.00
66642	SG Issuer - HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/12	2017/07/28	50.00
66683	SG Issuer - HSI R Bear CBBC Aug 2016 R	200,000,000	2016/05/12	2016/08/30	50.00
66685	SG Issuer - HSI R Bear CBBC Aug 2016 W	200,000,000	2016/05/12	2016/08/30	50.00
66684	SG Issuer - HSI R Bear CBBC Aug 2016 X	200,000,000	2016/05/12	2016/08/30	50.00
66728	UBS AG - HSI R Bull CBBC Aug 2017 M	200,000,000	2016/05/12	2017/08/30	50.00
66729	UBS AG - HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/05/12	2016/09/29	50.00
66731	UBS AG - HSI R Bear CBBC Sep 2016 R	200,000,000	2016/05/12	2016/09/29	50.00
66733	UBS AG - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/05/12	2016/09/29	50.00
66734	UBS AG - HSI R Bear CBBC Sep 2016 T	200,000,000	2016/05/12	2016/09/29	50.00
66772	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 D	100,000,000	2016/05/13	2017/02/27	25.00
66768	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 B	100,000,000	2016/05/13	2017/03/30	25.00
66735	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 V	250,000,000	2016/05/13	2017/05/29	62.50
66756	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 W	250,000,000	2016/05/13	2017/05/29	62.50
66736	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 V	250,000,000	2016/05/13	2017/06/29	62.50
66764	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 U	250,000,000	2016/05/13	2017/02/27	62.50
66767	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 V	250,000,000	2016/05/13	2017/02/27	62.50
66766	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/05/13	2017/03/30	62.50
66809	Credit Suisse AG - HSI R Bull CBBC Jun 2017 K	200,000,000	2016/05/13	2017/06/29	50.00
66811	Credit Suisse AG - HSI R Bull CBBC Jun 2017 L	200,000,000	2016/05/13	2017/06/29	50.00
66815	Credit Suisse AG - HSI R Bear CBBC Aug 2016 G	200,000,000	2016/05/13	2016/08/30	50.00
66813	Credit Suisse AG - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/13	2016/09/29	50.00
66814	Credit Suisse AG - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/05/13	2016/09/29	50.00
66745	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 J	200,000,000	2016/05/13	2017/07/28	50.60
66746	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 K	200,000,000	2016/05/13	2017/07/28	51.40
66797	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 L	200,000,000	2016/05/13	2017/07/28	51.80
66798	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 B	200,000,000	2016/05/13	2016/09/29	51.40

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66799	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 C	200,000,000	2016/05/13	2016/09/29	50.20
66846	J P Morgan SP BV - China Life R Bull CBBC Jul 2017 C	70,000,000	2016/05/13	2017/07/21	17.50
66843	J P Morgan SP BV - Galaxy Ent R Bull CBBC May 2017 B	100,000,000	2016/05/13	2017/05/19	25.00
66844	J P Morgan SP BV - HKEX R Bull CBBC May 2017 B	60,000,000	2016/05/13	2017/05/19	15.00
66831	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/13	2017/06/29	50.00
66841	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/13	2017/07/28	50.00
66850	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 N	150,000,000	2016/05/13	2017/08/30	37.50
66849	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 D	150,000,000	2016/05/13	2017/10/30	37.50
66853	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 D	150,000,000	2016/05/13	2017/12/28	37.50
66847	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/13	2016/08/30	50.00
66848	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/13	2016/09/29	50.00
66845	J P Morgan SP BV - Tencent R Bear CBBC Feb 2017 C	70,000,000	2016/05/13	2017/02/17	17.50
66748	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2016 E	40,000,000	2016/05/13	2016/12/29	10.00
66785	SG Issuer - Galaxy R Bull CBBC Oct 2017 A	100,000,000	2016/05/13	2017/10/30	25.00
66787	SG Issuer - Galaxy R Bull CBBC Oct 2017 B	100,000,000	2016/05/13	2017/10/30	25.00
66776	SG Issuer - Galaxy R Bull CBBC Mar 2018 A	100,000,000	2016/05/13	2018/03/28	25.00
66788	SG Issuer - Galaxy R Bear CBBC Feb 2017 A	100,000,000	2016/05/13	2017/02/27	25.00
66791	SG Issuer - Galaxy R Bear CBBC May 2017 A	100,000,000	2016/05/13	2017/05/29	25.00
66739	SG Issuer - HSI R Bull CBBC Jun 2017 O	200,000,000	2016/05/13	2017/06/29	50.00
66742	SG Issuer - HSI R Bull CBBC Jun 2017 P	200,000,000	2016/05/13	2017/06/29	50.00
66794	SG Issuer - HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/13	2016/08/30	50.00
66792	SG Issuer - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/05/13	2016/09/29	50.00
66795	SG Issuer - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/05/13	2016/09/29	50.00
66750	UBS AG - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/13	2017/06/29	50.00
66751	UBS AG - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/05/13	2017/06/29	50.00
66816	UBS AG - HSI R Bull CBBC Aug 2017 N	200,000,000	2016/05/13	2017/08/30	50.00
66817	UBS AG - HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/13	2016/08/30	50.00
66829	UBS AG - HSI R Bear CBBC Sep 2016 U	200,000,000	2016/05/13	2016/09/29	50.00
66819	UBS AG - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/13	2016/10/28	50.00
66910	Credit Suisse AG - HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/16	2017/07/28	50.00
66909	Credit Suisse AG - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/16	2016/08/30	50.00
66892	Credit Suisse AG - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/05/16	2016/09/29	50.00
66895	Credit Suisse AG - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/16	2016/10/28	50.00
66870	Bank of East Asia - HKEX R Bull CBBC May 2017 A	40,000,000	2016/05/16	2017/05/26	10.00
66871	Bank of East Asia - HSBC Holdings R Bull CBBC Apr 2017 B	60,000,000	2016/05/16	2017/04/26	15.00
66933	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 J	200,000,000	2016/05/16	2017/08/30	51.20
66890	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 D	200,000,000	2016/05/16	2016/09/29	51.00
66867	HK Bank - AIA R Bear CBBC Mar 2017 C	40,000,000	2016/05/16	2017/03/31	10.00
66857	HK Bank - China Mobile R Bear CBBC Apr 2017 B	40,000,000	2016/05/16	2017/04/24	10.00
66861	HK Bank - China Life R Bear CBBC Feb 2017 A	40,000,000	2016/05/16	2017/02/27	10.00
66864	HK Bank - HSCEI R Bear CBBC Feb 2017 C	50,000,000	2016/05/16	2017/02/27	12.50
66862	HK Bank - HSI R Bear CBBC Nov 2016 K	150,000,000	2016/05/16	2016/11/29	37.50
66858	HK Bank - Lenovo R Bear CBBC Mar 2017 A	40,000,000	2016/05/16	2017/03/31	10.00
66854	HK Bank - Tencent R Bear CBBC Dec 2016 C	40,000,000	2016/05/16	2016/12/12	10.00
66920	J P Morgan SP BV - HSCEI R Bear CBBC Feb 2017 B	100,000,000	2016/05/16	2017/02/27	25.00



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66915	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/16	2017/06/29	50.00
66919	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 I	150,000,000	2016/05/16	2017/09/28	37.50
66913	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/16	2016/09/29	50.00
66921	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/16	2016/09/29	50.00
66922	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 M	150,000,000	2016/05/16	2016/09/29	37.50
66873	SG Issuer - HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/16	2016/08/30	50.00
66886	SG Issuer - HSI R Bear CBBC Aug 2016 D	200,000,000	2016/05/16	2016/08/30	50.00
66876	SG Issuer - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/16	2016/09/29	50.00
66887	SG Issuer - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/16	2016/09/29	50.00
66930	UBS AG - HSI R Bull CBBC Nov 2016 V	200,000,000	2016/05/16	2016/11/29	50.00
66932	UBS AG - HSI R Bull CBBC Aug 2017 O	200,000,000	2016/05/16	2017/08/30	50.00
66928	UBS AG - HSI R Bear CBBC Aug 2016 V	200,000,000	2016/05/16	2016/08/30	50.00
66925	UBS AG - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/05/16	2016/09/29	50.00
66929	UBS AG - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/16	2016/10/28	50.00
66934	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 W	250,000,000	2016/05/17	2017/06/29	62.50
66954	Credit Suisse AG - HSI R Bull CBBC Jun 2017 B	200,000,000	2016/05/17	2017/06/29	50.00
66955	Credit Suisse AG - HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/17	2017/07/28	50.00
66946	Credit Suisse AG - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/17	2016/10/28	50.00
66952	Credit Suisse AG - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/05/17	2016/10/28	50.00
66989	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 K	200,000,000	2016/05/17	2017/08/30	51.40
66991	Goldman Sachs SP (Asia) - HSI R Bull CBBC Aug 2017 L	200,000,000	2016/05/17	2017/08/30	51.80
66992	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/05/17	2016/09/29	50.40
66997	J P Morgan SP BV - HSBC R Bear CBBC Feb 2017 A	100,000,000	2016/05/17	2017/02/17	25.00
66993	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 O	200,000,000	2016/05/17	2017/08/30	50.00
67001	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 M	150,000,000	2016/05/17	2016/08/30	37.50
67003	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 E	150,000,000	2016/05/17	2016/10/28	37.50
66999	J P Morgan SP BV - Tencent R Bull CBBC Jun 2017 A	70,000,000	2016/05/17	2017/06/16	17.50
66937	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 B	40,000,000	2016/05/17	2017/02/27	10.00
66966	SG Issuer - HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/05/17	2017/06/29	50.00
66956	SG Issuer - HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/17	2017/07/28	50.00
66938	SG Issuer - HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/17	2016/08/30	50.00
66942	SG Issuer - HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/05/17	2016/09/29	50.00
66983	UBS AG - HSI R Bull CBBC Aug 2017 P	200,000,000	2016/05/17	2017/08/30	50.00
66972	UBS AG - HSI R Bull CBBC Sep 2017 T	200,000,000	2016/05/17	2017/09/28	50.00
66981	UBS AG - HSI R Bull CBBC Sep 2017 U	200,000,000	2016/05/17	2017/09/28	50.00
66978	UBS AG - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/05/17	2016/09/29	50.00
66979	UBS AG - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/05/17	2016/10/28	50.00
67014	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/05/18	2017/03/30	62.50
67025	Credit Suisse AG - HSI R Bull CBBC Apr 2017 P	200,000,000	2016/05/18	2017/04/27	50.00
67035	Credit Suisse AG - HSI R Bull CBBC Jun 2017 H	200,000,000	2016/05/18	2017/06/29	50.00
67026	Credit Suisse AG - HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/18	2016/08/30	50.00
67032	Credit Suisse AG - HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/18	2016/08/30	50.00
67024	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 M	200,000,000	2016/05/18	2017/07/28	50.60
67013	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 F	200,000,000	2016/05/18	2016/09/29	50.60
67011	HK Bank - HKE X R Bull CBBC Jun 2017 B	50,000,000	2016/05/18	2017/06/30	12.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67005	HK Bank - HSI R Bull CBBC Nov 2016 D	150,000,000	2016/05/18	2016/11/29	37.50
67004	HK Bank - HSI R Bull CBBC Dec 2016 E	150,000,000	2016/05/18	2016/12/29	37.50
67010	HK Bank - Lenovo R Bull CBBC Aug 2017 B	40,000,000	2016/05/18	2017/08/28	10.00
67007	HK Bank - Tencent R Bull CBBC Jun 2017 B	50,000,000	2016/05/18	2017/06/30	12.50
67019	J P Morgan SP BV - A50 China R Bear CBBC Nov 2016 B	80,000,000	2016/05/18	2016/11/18	20.00
67020	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/05/18	2016/08/30	50.00
67023	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 N	150,000,000	2016/05/18	2016/09/29	37.50
67012	SG Issuer - HSI R Bull CBBC Jun 2017 R	200,000,000	2016/05/18	2017/06/29	50.00
67015	UBS AG - HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/18	2017/07/28	50.00
67017	UBS AG - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/05/18	2016/09/29	50.00
67054	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 X	250,000,000	2016/05/19	2017/05/29	62.50
67063	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 W	250,000,000	2016/05/19	2017/02/27	62.50
67067	Credit Suisse AG - HSI R Bull CBBC Jun 2017 M	200,000,000	2016/05/19	2017/06/29	50.00
67069	Credit Suisse AG - HSI R Bear CBBC Aug 2016 L	200,000,000	2016/05/19	2016/08/30	50.00
67056	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 N	200,000,000	2016/05/19	2017/07/28	50.20
67097	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 G	200,000,000	2016/05/19	2016/09/29	50.80
67045	HK Bank - CNOOC R Bear CBBC Apr 2017 B	40,000,000	2016/05/19	2017/04/28	10.00
67038	HK Bank - HSI R Bear CBBC Jan 2017 A	150,000,000	2016/05/19	2017/01/26	37.50
67048	HK Bank - Ping An R Bear CBBC Feb 2017 A	40,000,000	2016/05/19	2017/02/20	10.00
67040	HK Bank - Tencent R Bull CBBC Jan 2017 D	50,000,000	2016/05/19	2017/01/23	12.50
67086	J P Morgan SP BV - HKEX R Bear CBBC Jan 2017 C	60,000,000	2016/05/19	2017/01/20	15.00
67085	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/19	2017/06/29	50.00
67092	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 E	150,000,000	2016/05/19	2017/12/28	37.50
67087	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/19	2016/08/30	50.00
67089	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 F	150,000,000	2016/05/19	2016/10/28	37.50
67060	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2017 A	40,000,000	2016/05/19	2017/01/26	10.00
67062	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2017 B	40,000,000	2016/05/19	2017/01/26	10.20
67049	SG Issuer - HSI R Bull CBBC Jun 2017 S	200,000,000	2016/05/19	2017/06/29	50.00
67082	SG Issuer - HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/19	2017/07/28	50.00
67083	SG Issuer - HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/19	2017/07/28	50.00
67084	SG Issuer - HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/05/19	2016/08/30	50.00
67050	SG Issuer - HSI R Bear CBBC Sep 2016 R	200,000,000	2016/05/19	2016/09/29	50.00
67072	UBS AG - HSCEI R Bull CBBC Sep 2017 B	100,000,000	2016/05/19	2017/09/28	25.00
67070	UBS AG - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/05/19	2017/06/29	50.00
67073	UBS AG - HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/05/19	2016/09/29	50.00
67099	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 Y	250,000,000	2016/05/20	2017/05/29	62.50
67100	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 X	250,000,000	2016/05/20	2017/06/29	62.50
67120	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 Y	250,000,000	2016/05/20	2017/06/29	62.50
67121	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 X	250,000,000	2016/05/20	2017/02/27	62.50
67123	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 V	250,000,000	2016/05/20	2017/03/30	62.50
67130	Credit Suisse AG - HSI R Bull CBBC Jun 2017 N	200,000,000	2016/05/20	2017/06/29	50.00
67134	Credit Suisse AG - HSI R Bull CBBC Jun 2017 O	200,000,000	2016/05/20	2017/06/29	50.00
67136	Credit Suisse AG - HSI R Bear CBBC Aug 2016 M	200,000,000	2016/05/20	2016/08/30	50.00
67139	Credit Suisse AG - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/20	2016/09/29	50.00
67103	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/20	2017/06/29	50.40

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67104	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/20	2017/06/29	50.60
67118	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/20	2016/09/29	51.60
67161	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/20	2016/09/29	51.20
67150	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/20	2017/07/28	50.00
67153	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 F	150,000,000	2016/05/20	2017/12/28	37.50
67151	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/20	2016/08/30	50.00
67152	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/20	2016/08/30	50.00
67098	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 C	40,000,000	2016/05/20	2017/02/27	10.00
67129	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2017 C	40,000,000	2016/05/20	2017/01/26	10.00
67101	SG Issuer - HSI R Bull CBBC Jun 2017 T	200,000,000	2016/05/20	2017/06/29	50.00
67102	SG Issuer - HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/20	2017/07/28	50.00
67145	SG Issuer - HSI R Bull CBBC Jul 2017 V	200,000,000	2016/05/20	2017/07/28	50.00
67146	SG Issuer - HSI R Bear CBBC Aug 2016 A	200,000,000	2016/05/20	2016/08/30	50.00
67148	SG Issuer - HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/20	2016/08/30	50.00
67147	SG Issuer - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/05/20	2016/09/29	50.00
67109	SG Issuer - Ping An R Bull CBBC Sep 2017 A	100,000,000	2016/05/20	2017/09/28	25.00
67110	SG Issuer - Ping An R Bull CBBC Sep 2017 B	100,000,000	2016/05/20	2017/09/28	25.00
67106	SG Issuer - Ping An R Bull CBBC Nov 2017 A	100,000,000	2016/05/20	2017/11/29	25.00
67105	SG Issuer - Ping An R Bull CBBC Dec 2017 A	100,000,000	2016/05/20	2017/12/28	25.00
67113	SG Issuer - Ping An R Bear CBBC Dec 2016 A	100,000,000	2016/05/20	2016/12/29	25.00
67114	SG Issuer - Ping An R Bear CBBC Feb 2017 A	100,000,000	2016/05/20	2017/02/27	25.00
67115	SG Issuer - Ping An R Bear CBBC Apr 2017 A	100,000,000	2016/05/20	2017/04/27	25.00
67168	UBS AG - HSI R Bull CBBC Aug 2017 Q	200,000,000	2016/05/20	2017/08/30	50.00
67171	UBS AG - HSI R Bull CBBC Sep 2017 V	200,000,000	2016/05/20	2017/09/28	50.00
67175	UBS AG - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/05/20	2016/09/29	50.00
67172	UBS AG - HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/05/20	2016/09/29	50.00
67174	UBS AG - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/05/20	2016/10/28	50.00
67226	Credit Suisse AG - HSI R Bull CBBC Apr 2017 S	200,000,000	2016/05/23	2017/04/27	50.00
67227	Credit Suisse AG - HSI R Bull CBBC Apr 2017 T	200,000,000	2016/05/23	2017/04/27	50.00
67213	Credit Suisse AG - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/23	2016/09/29	50.00
67206	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/23	2017/07/28	50.80
67246	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/23	2016/09/29	50.60
67181	HK Bank - China Res Land R Bull CBBC Jul 2017 A	40,000,000	2016/05/23	2017/07/17	14.00
67184	HK Bank - Galaxy Ent R Bear CBBC Mar 2017 A	40,000,000	2016/05/23	2017/03/06	10.00
67186	HK Bank - HSCEI R Bear CBBC Mar 2017 B	50,000,000	2016/05/23	2017/03/30	12.50
67177	HK Bank - HSI R Bear CBBC Dec 2016 O	150,000,000	2016/05/23	2016/12/29	37.50
67179	HK Bank - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/05/23	2017/03/30	50.00
67180	HK Bank - Lenovo R Bull CBBC Mar 2017 B	40,000,000	2016/05/23	2017/03/31	10.00
67231	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/23	2017/06/29	50.00
67229	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/23	2016/09/29	50.00
67187	SG Issuer - CMob R Bull CBBC Oct 2017 A	100,000,000	2016/05/23	2017/10/30	25.00
67190	SG Issuer - CMob R Bear CBBC Nov 2016 A	100,000,000	2016/05/23	2016/11/29	25.00
67191	SG Issuer - CMob R Bear CBBC Jan 2017 A	100,000,000	2016/05/23	2017/01/26	25.00
67199	SG Issuer - HKEX R Bull CBBC Sep 2017 B	100,000,000	2016/05/23	2017/09/28	25.00
67196	SG Issuer - HKEX R Bull CBBC Dec 2017 A	100,000,000	2016/05/23	2017/12/28	25.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67200	SG Issuer - HKEX R Bear CBBC Nov 2016 B	100,000,000	2016/05/23	2016/11/29	25.00
67192	SG Issuer - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/23	2017/06/29	50.00
67228	SG Issuer - HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/23	2017/06/29	50.00
67194	SG Issuer - HSI R Bear CBBC Sep 2016 T	200,000,000	2016/05/23	2016/09/29	50.00
67195	SG Issuer - HSI R Bear CBBC Sep 2016 U	200,000,000	2016/05/23	2016/09/29	50.00
67202	SG Issuer - Tencent R Bull CBBC Jun 2017 D	100,000,000	2016/05/23	2017/06/29	25.00
67221	UBS AG - HSI R Bull CBBC Aug 2017 R	200,000,000	2016/05/23	2017/08/30	50.00
67220	UBS AG - HSI R Bull CBBC Sep 2017 W	200,000,000	2016/05/23	2017/09/28	50.00
67207	UBS AG - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/05/23	2016/10/28	50.00
67208	UBS AG - HSI R Bear CBBC Nov 2016 A	200,000,000	2016/05/23	2016/11/29	50.00
60996*	J P Morgan SP BV - HSI R Bull CBBC Aug 2015 P	150,000,000	2016/05/23	2015/08/28	14.70
67259	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 Z	250,000,000	2016/05/24	2017/05/29	62.50
67258	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 Z	250,000,000	2016/05/24	2017/06/29	62.50
67255	Credit Suisse AG - HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/24	2017/07/28	50.00
67271	Credit Suisse AG - HSI R Bull CBBC Jul 2017 W	200,000,000	2016/05/24	2017/07/28	50.00
67251	Credit Suisse AG - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/05/24	2016/10/28	50.00
67289	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/24	2017/07/28	50.40
67292	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/24	2017/07/28	51.20
67266	J P Morgan SP BV - HKEX R Bull CBBC May 2017 C	40,000,000	2016/05/24	2017/05/19	10.00
67297	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 P	200,000,000	2016/05/24	2017/08/30	50.00
67294	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/05/24	2017/09/28	50.00
67296	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/05/24	2017/09/28	50.00
67262	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/24	2016/09/29	50.00
67299	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 G	150,000,000	2016/05/24	2016/10/28	37.50
67267	J P Morgan SP BV - Tencent R Bull CBBC Mar 2017 A	60,000,000	2016/05/24	2017/03/17	15.00
67261	SG Issuer - HSI R Bull CBBC Jul 2017 W	200,000,000	2016/05/24	2017/07/28	50.00
67275	UBS AG - HSI R Bull CBBC Jun 2017 F	200,000,000	2016/05/24	2017/06/29	50.00
67282	UBS AG - HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/24	2017/07/28	50.00
67288	UBS AG - HSI R Bull CBBC Jul 2017 V	200,000,000	2016/05/24	2017/07/28	50.00
67276	UBS AG - HSI R Bull CBBC Aug 2017 S	200,000,000	2016/05/24	2017/08/30	50.00
67281	UBS AG - HSI R Bull CBBC Sep 2017 X	200,000,000	2016/05/24	2017/09/28	50.00
67274	UBS AG - HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/24	2016/08/30	50.00
67273	UBS AG - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/05/24	2016/10/28	50.00
67327	Credit Suisse AG - HSI R Bull CBBC Jun 2017 P	200,000,000	2016/05/25	2017/06/29	50.00
67323	Credit Suisse AG - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/05/25	2016/10/28	50.00
67308	Bank of East Asia - China Mobile R Bear CBBC Apr 2017 A	40,000,000	2016/05/25	2017/04/03	10.00
67312	Bank of East Asia - A50 China R Bull CBBC Mar 2017 A	40,000,000	2016/05/25	2017/03/29	10.00
67311	Bank of East Asia - HKEX R Bear CBBC Mar 2017 A	40,000,000	2016/05/25	2017/03/30	10.00
67309	Bank of East Asia - Lenovo R Bull CBBC Dec 2017 B	60,000,000	2016/05/25	2017/12/28	15.00
67304	Bank of East Asia - PetCh R Bull CBBC Dec 2017 A	40,000,000	2016/05/25	2017/12/08	10.00
67316	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/05/25	2016/10/28	50.20
67359	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/05/25	2016/10/28	50.80
67302	HK Bank - HSI R Bull CBBC Feb 2017 G	150,000,000	2016/05/25	2017/02/27	37.50
67301	HK Bank - Tencent R Bull CBBC Jul 2017 A	50,000,000	2016/05/25	2017/07/10	12.50
67357	J P Morgan SP BV - China Life R Bear CBBC Feb 2017 A	50,000,000	2016/05/25	2017/02/17	12.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67343	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/25	2017/07/28	50.00
67345	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 E	150,000,000	2016/05/25	2017/10/30	37.50
67347	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 Q	150,000,000	2016/05/25	2016/09/29	37.50
67355	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 H	150,000,000	2016/05/25	2016/10/28	37.50
67358	J P Morgan SP BV - Ping An R Bear CBBC May 2017 A	100,000,000	2016/05/25	2017/05/19	25.00
67314	SG Issuer - HSI R Bull CBBC Jul 2017 X	200,000,000	2016/05/25	2017/07/28	50.00
67331	SG Issuer - HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/25	2016/08/30	50.00
67336	SG Issuer - HSI R Bear CBBC Aug 2016 G	200,000,000	2016/05/25	2016/08/30	50.00
67321	UBS AG - HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/25	2017/06/29	50.00
67320	UBS AG - HSI R Bull CBBC Jul 2017 W	200,000,000	2016/05/25	2017/07/28	50.00
67377	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 E	100,000,000	2016/05/26	2017/02/27	25.00
67374	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 W	250,000,000	2016/05/26	2017/03/30	62.50
67367	Credit Suisse AG - HSI R Bull CBBC Jul 2017 X	200,000,000	2016/05/26	2017/07/28	50.00
67371	Credit Suisse AG - HSI R Bear CBBC Aug 2016 N	200,000,000	2016/05/26	2016/08/30	50.00
67369	Credit Suisse AG - HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/05/26	2016/09/29	50.00
67363	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/26	2017/07/28	50.20
67364	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/26	2017/07/28	51.20
67388	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/26	2016/10/28	50.80
67360	HK Bank - HKEX R Bear CBBC Jan 2017 C	40,000,000	2016/05/26	2017/01/13	10.00
67381	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 F	150,000,000	2016/05/26	2017/10/30	37.50
67386	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/26	2016/08/30	50.00
67385	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 I	150,000,000	2016/05/26	2016/10/28	37.50
67382	J P Morgan SP BV - Tencent R Bear CBBC Feb 2017 D	60,000,000	2016/05/26	2017/02/17	15.00
67362	SG Issuer - HSI R Bull CBBC Jul 2017 Y	200,000,000	2016/05/26	2017/07/28	50.00
67378	UBS AG - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/05/26	2016/10/28	50.00
67421	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 C	250,000,000	2016/05/27	2017/05/29	62.50
67423	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 D	250,000,000	2016/05/27	2017/05/29	62.50
67422	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 C	250,000,000	2016/05/27	2017/06/29	62.50
67391	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Y	250,000,000	2016/05/27	2017/02/27	62.50
67395	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/05/27	2017/03/30	62.50
67434	Credit Suisse AG - HSI R Bull CBBC Jun 2017 G	200,000,000	2016/05/27	2017/06/29	50.00
67417	Credit Suisse AG - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/27	2016/08/30	50.00
67435	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 H	200,000,000	2016/05/27	2017/06/29	50.60
67427	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/05/27	2017/06/29	51.20
67420	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/27	2016/10/28	50.60
67437	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/05/27	2016/10/28	50.20
67439	J P Morgan SP BV - CAM CSI300 R Bull CBBC Mar 2017 A	100,000,000	2016/05/27	2017/03/17	25.00
67442	J P Morgan SP BV - China Mobile R Bear CBBC Apr 2017 A	100,000,000	2016/05/27	2017/04/21	25.00
67443	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/27	2017/07/28	50.00
67424	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 J	150,000,000	2016/05/27	2016/10/28	37.50
67402	SG Issuer - AIA R Bull CBBC Jun 2017 A	100,000,000	2016/05/27	2017/06/29	25.00
67401	SG Issuer - AIA R Bull CBBC Sep 2017 A	100,000,000	2016/05/27	2017/09/28	25.00
67398	SG Issuer - AIA R Bull CBBC Nov 2017 A	100,000,000	2016/05/27	2017/11/29	25.00
67408	SG Issuer - China Life R Bull CBBC Aug 2017 A	50,000,000	2016/05/27	2017/08/30	12.50
67404	SG Issuer - China Life R Bull CBBC Oct 2017 A	50,000,000	2016/05/27	2017/10/30	12.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67403	SG Issuer - China Life R Bull CBBC Dec 2017 A	50,000,000	2016/05/27	2017/12/28	12.50
67429	SG Issuer - HSI R Bull CBBC Jul 2017 C	200,000,000	2016/05/27	2017/07/28	50.00
67428	SG Issuer - HSI R Bull CBBC Jul 2017 Z	200,000,000	2016/05/27	2017/07/28	50.00
67396	SG Issuer - HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/27	2016/08/30	50.00
67397	SG Issuer - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/05/27	2016/09/29	50.00
67409	SG Issuer - Lenovo R Bull CBBC Dec 2017 A	40,000,000	2016/05/27	2017/12/28	10.00
67411	SG Issuer - PetroChina R Bull CBBC Jul 2017 A	40,000,000	2016/05/27	2017/07/28	10.00
67410	SG Issuer - PetroChina R Bull CBBC Oct 2017 A	40,000,000	2016/05/27	2017/10/30	10.00
67414	SG Issuer - Sands R Bull CBBC Jul 2017 A	100,000,000	2016/05/27	2017/07/28	25.00
67432	UBS AG - HSI R Bull CBBC Aug 2017 T	200,000,000	2016/05/27	2017/08/30	50.00
67433	UBS AG - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/05/27	2017/11/29	50.00
67431	UBS AG - HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/05/27	2016/09/29	50.00
67430	UBS AG - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/05/27	2016/10/28	50.00
67450	Credit Suisse AG - HSI R Bull CBBC Jul 2017 Y	200,000,000	2016/05/30	2017/07/28	50.00
67451	Credit Suisse AG - HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/30	2016/08/30	50.00
67449	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/30	2017/07/28	50.80
67463	J P Morgan SP BV - CKH R Bull CBBC Feb 2017 A	40,000,000	2016/05/30	2017/02/17	10.00
67460	J P Morgan SP BV - Galaxy Ent R Bear CBBC Mar 2017 A	100,000,000	2016/05/30	2017/03/17	25.00
67459	J P Morgan SP BV - HKEX R Bull CBBC Mar 2017 A	50,000,000	2016/05/30	2017/03/17	12.50
67453	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 Q	200,000,000	2016/05/30	2017/08/30	50.00
67454	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 G	150,000,000	2016/05/30	2017/10/30	37.50
67462	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/30	2016/08/30	50.00
67461	J P Morgan SP BV - Lenovo R Bull CBBC Aug 2017 A	100,000,000	2016/05/30	2017/08/18	25.00
67444	SG Issuer - HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/30	2017/06/29	50.00
67446	SG Issuer - HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/30	2016/08/30	50.00
67455	UBS AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/05/30	2017/11/29	50.00
67456	UBS AG - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/05/30	2016/10/28	50.00
67466	Credit Suisse AG - HSI R Bull CBBC Apr 2017 U	200,000,000	2016/05/31	2017/04/27	50.00
67468	Credit Suisse AG - HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/31	2016/08/30	50.00
67483	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/31	2017/07/28	50.80
67478	J P Morgan SP BV - HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/31	2016/08/30	50.00
67482	J P Morgan SP BV - Ping An R Bull CBBC Aug 2017 A	100,000,000	2016/05/31	2017/08/18	25.00
67479	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 A	60,000,000	2016/05/31	2017/07/21	15.00
67470	SG Issuer - HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/31	2017/06/29	50.00
67472	UBS AG - HSI R Bull CBBC Sep 2017 Y	200,000,000	2016/05/31	2017/09/28	50.00
67474	UBS AG - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/05/31	2017/01/26	50.00
67536	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 E	250,000,000	2016/06/01	2017/05/29	62.50
67541	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 F	250,000,000	2016/06/01	2017/05/29	62.50
67537	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 B	250,000,000	2016/06/01	2017/06/29	62.50
67534	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 D	250,000,000	2016/06/01	2017/06/29	62.50
67486	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Z	250,000,000	2016/06/01	2017/02/27	62.50
67484	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Y	250,000,000	2016/06/01	2017/03/30	62.50
67565	Credit Suisse AG - HSI R Bull CBBC Jul 2017 A	200,000,000	2016/06/01	2017/07/28	50.00
67567	Credit Suisse AG - HSI R Bull CBBC Jul 2017 B	200,000,000	2016/06/01	2017/07/28	50.00
67563	Credit Suisse AG - HSI R Bull CBBC Jul 2017 Z	200,000,000	2016/06/01	2017/07/28	50.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67556	Credit Suisse AG - HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/01	2016/09/29	50.00
67558	Credit Suisse AG - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/01	2016/09/29	50.00
67560	Credit Suisse AG - HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/01	2016/09/29	50.00
67612	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 V	200,000,000	2016/06/01	2017/07/28	51.00
67613	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 W	200,000,000	2016/06/01	2017/07/28	50.60
67490	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/06/01	2016/10/28	50.40
67491	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/06/01	2016/10/28	50.40
67493	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/06/01	2016/10/28	50.60
67528	HK Bank - HSCEI R Bull CBBC Jul 2017 B	60,000,000	2016/06/01	2017/07/28	15.00
67526	HK Bank - HSI R Bull CBBC Mar 2017 C	150,000,000	2016/06/01	2017/03/30	37.50
67527	HK Bank - HSI R Bear CBBC Jan 2017 B	150,000,000	2016/06/01	2017/01/26	37.50
67605	J P Morgan SP BV - AIA R Bull CBBC Sep 2017 A	150,000,000	2016/06/01	2017/09/15	37.50
67604	J P Morgan SP BV - Galaxy Ent R Bull CBBC May 2017 C	200,000,000	2016/06/01	2017/05/19	50.00
67606	J P Morgan SP BV - HSBC R Bull CBBC Jun 2017 C	100,000,000	2016/06/01	2017/06/16	25.00
67591	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 R	200,000,000	2016/06/01	2017/08/30	50.00
67592	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/06/01	2017/09/28	50.00
67595	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/01	2017/11/29	50.00
67542	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 R	150,000,000	2016/06/01	2016/09/29	37.50
67543	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/01	2016/09/29	50.00
67544	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/01	2016/09/29	50.00
67602	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 K	150,000,000	2016/06/01	2016/10/28	37.50
67530	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 D	40,000,000	2016/06/01	2017/02/27	10.00
67533	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 E	40,000,000	2016/06/01	2017/02/27	10.16
67499	SG Issuer - CCB R Bull CBBC Jul 2017 A	40,000,000	2016/06/01	2017/07/28	10.00
67496	SG Issuer - CCB R Bull CBBC Sep 2017 A	40,000,000	2016/06/01	2017/09/28	10.00
67501	SG Issuer - CCB R Bear CBBC Dec 2016 A	40,000,000	2016/06/01	2016/12/29	10.00
67504	SG Issuer - CCB R Bear CBBC Feb 2017 A	40,000,000	2016/06/01	2017/02/27	10.00
67506	SG Issuer - HKEX R Bear CBBC Nov 2016 C	100,000,000	2016/06/01	2016/11/29	25.00
67545	SG Issuer - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/06/01	2017/06/29	50.00
67546	SG Issuer - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/06/01	2017/06/29	50.00
67548	SG Issuer - HSI R Bull CBBC Jul 2017 F	200,000,000	2016/06/01	2017/07/28	50.00
67513	SG Issuer - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/01	2016/09/29	50.00
67519	SG Issuer - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/01	2016/09/29	50.00
67515	SG Issuer - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/06/01	2016/10/28	50.00
67520	SG Issuer - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/06/01	2016/10/28	50.00
67509	SG Issuer - Ping An R Bear CBBC Dec 2016 B	100,000,000	2016/06/01	2016/12/29	25.00
67512	SG Issuer - Tencent R Bull CBBC Jun 2017 E	100,000,000	2016/06/01	2017/06/29	25.00
67587	UBS AG - HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/06/01	2017/09/28	50.00
67583	UBS AG - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/01	2017/10/30	50.00
67588	UBS AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/01	2017/10/30	50.00
67590	UBS AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/01	2017/11/29	50.00
67576	UBS AG - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/01	2016/09/29	50.00
67570	UBS AG - HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/01	2016/09/29	50.00
67578	UBS AG - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/06/01	2017/01/26	50.00
67582	UBS AG - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/06/01	2017/01/26	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67623	Credit Suisse AG - HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/06/02	2017/06/29	50.00
67630	Credit Suisse AG - HSI R Bull CBBC Jun 2017 R	200,000,000	2016/06/02	2017/06/29	50.00
67638	Credit Suisse AG - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/06/02	2017/06/29	50.00
67624	Credit Suisse AG - HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/02	2016/09/29	50.00
67625	Credit Suisse AG - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/06/02	2016/10/28	50.00
67657	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 X	200,000,000	2016/06/02	2017/07/28	50.20
67656	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/02	2017/09/28	50.20
67655	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/06/02	2016/10/28	50.40
67645	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 S	200,000,000	2016/06/02	2017/08/30	50.00
67641	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 M	150,000,000	2016/06/02	2017/09/28	37.50
67640	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/02	2017/10/30	50.00
67643	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 U	150,000,000	2016/06/02	2016/09/29	37.50
67644	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 V	150,000,000	2016/06/02	2016/09/29	37.50
67619	SG Issuer - HSI R Bull CBBC Jun 2017 D	200,000,000	2016/06/02	2017/06/29	50.00
67615	SG Issuer - HSI R Bull CBBC Jun 2017 E	200,000,000	2016/06/02	2017/06/29	50.00
67617	SG Issuer - HSI R Bull CBBC Jul 2017 B	200,000,000	2016/06/02	2017/07/28	50.00
67620	SG Issuer - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/06/02	2016/10/28	50.00
67621	SG Issuer - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/06/02	2016/10/28	50.00
67649	UBS AG - HSI R Bull CBBC Jun 2017 S	200,000,000	2016/06/02	2017/06/29	50.00
67653	UBS AG - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/02	2017/10/30	50.00
67651	UBS AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/02	2017/11/29	50.00
67648	UBS AG - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/06/02	2017/02/27	50.00
67763	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 G	250,000,000	2016/06/03	2017/05/29	62.50
67757	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 E	250,000,000	2016/06/03	2017/06/29	62.50
67717	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/06/03	2017/02/27	62.50
67721	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/06/03	2017/03/30	62.50
67731	Credit Suisse AG - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/03	2017/10/30	50.00
67732	Credit Suisse AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/03	2017/10/30	50.00
67735	Credit Suisse AG - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/03	2017/10/30	50.00
67737	Credit Suisse AG - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/03	2017/10/30	50.00
67723	Credit Suisse AG - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/06/03	2016/10/28	50.00
67729	Credit Suisse AG - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/06/03	2016/10/28	50.00
67764	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 Y	200,000,000	2016/06/03	2017/07/28	50.40
67766	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2017 Z	200,000,000	2016/06/03	2017/07/28	50.80
67709	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/06/03	2016/10/28	50.60
67661	HK Bank - CKP R Bull CBBC Jul 2017 A	40,000,000	2016/06/03	2017/07/03	10.00
67659	HK Bank - HKEX R Bull CBBC Jul 2017 A	50,000,000	2016/06/03	2017/07/17	12.50
67658	HK Bank - HSI R Bull CBBC Jun 2017 A	150,000,000	2016/06/03	2017/06/29	37.50
67660	HK Bank - Tencent R Bull CBBC Jul 2017 B	50,000,000	2016/06/03	2017/07/31	12.50
67798	J P Morgan SP BV - China Life R Bull CBBC Mar 2017 B	40,000,000	2016/06/03	2017/03/17	10.00
67789	J P Morgan SP BV - HKEX R Bull CBBC Jun 2017 B	40,000,000	2016/06/03	2017/06/16	10.00
67770	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 T	200,000,000	2016/06/03	2017/08/30	50.00
67773	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 N	150,000,000	2016/06/03	2017/09/28	37.50
67775	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 I	150,000,000	2016/06/03	2017/10/30	37.50
67713	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/03	2016/09/29	50.00



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67781	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/03	2016/09/29	50.00
67786	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/06/03	2017/01/26	50.00
67796	J P Morgan SP BV - Ping An R Bull CBBC Apr 2017 C	100,000,000	2016/06/03	2017/04/21	25.00
67791	J P Morgan SP BV - Tencent R Bull CBBC Jun 2017 B	72,000,000	2016/06/03	2017/06/16	18.00
67799	J P Morgan SP BV - Tencent R Bear CBBC Apr 2017 A	60,000,000	2016/06/03	2017/04/21	18.00
67722	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 A	40,000,000	2016/06/03	2017/03/30	10.00
67666	SG Issuer - CAM CSI300 R Bull CBBC Aug 2017 A	100,000,000	2016/06/03	2017/08/30	25.00
67664	SG Issuer - CAM CSI300 R Bull CBBC Oct 2017 A	100,000,000	2016/06/03	2017/10/30	25.00
67663	SG Issuer - CAM CSI300 R Bull CBBC Dec 2017 A	100,000,000	2016/06/03	2017/12/28	25.00
67669	SG Issuer - CAM CSI300 R Bear CBBC May 2017 A	100,000,000	2016/06/03	2017/05/29	25.00
67701	SG Issuer - HS H-SH ETF R Bull CBBC Jul 2017 A	100,000,000	2016/06/03	2017/07/28	25.00
67693	SG Issuer - HS H-SH ETF R Bull CBBC Sep 2017 A	100,000,000	2016/06/03	2017/09/28	25.00
67687	SG Issuer - HS H-SH ETF R Bull CBBC Nov 2017 A	100,000,000	2016/06/03	2017/11/29	25.00
67702	SG Issuer - HS H-SH ETF R Bear CBBC Nov 2016 A	100,000,000	2016/06/03	2016/11/29	25.00
67677	SG Issuer - HSI R Bull CBBC Jun 2017 F	200,000,000	2016/06/03	2017/06/29	50.00
67744	SG Issuer - HSI R Bull CBBC Jun 2017 G	200,000,000	2016/06/03	2017/06/29	50.00
67740	SG Issuer - HSI R Bull CBBC Jul 2017 G	200,000,000	2016/06/03	2017/07/28	50.00
67683	SG Issuer - HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/03	2016/09/29	50.00
67714	SG Issuer - Tencent R Bull CBBC Jun 2017 F	100,000,000	2016/06/03	2017/06/29	25.00
67715	SG Issuer - Tencent R Bear CBBC Dec 2016 A	100,000,000	2016/06/03	2016/12/29	25.00
67716	SG Issuer - Tencent R Bear CBBC Feb 2017 A	100,000,000	2016/06/03	2017/02/27	25.00
67755	UBS AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/03	2017/09/28	50.00
67747	UBS AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/03	2017/11/29	50.00
67746	UBS AG - HSI R Bull CBBC Dec 2017 A	200,000,000	2016/06/03	2017/12/28	50.00
67750	UBS AG - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/03	2016/09/29	50.00
67751	UBS AG - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/06/03	2017/01/26	50.00
67824	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 B	250,000,000	2016/06/06	2017/05/29	62.50
67823	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 F	250,000,000	2016/06/06	2017/06/29	62.50
67881	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 A	250,000,000	2016/06/06	2017/03/30	62.50
67848	Credit Suisse AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/06	2017/09/28	50.00
67850	Credit Suisse AG - HSI R Bull CBBC Sep 2017 B	200,000,000	2016/06/06	2017/09/28	50.00
67842	Credit Suisse AG - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/06	2016/09/29	50.00
67844	Credit Suisse AG - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/06/06	2016/10/28	50.00
67846	Credit Suisse AG - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/06	2016/10/28	50.00
67825	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/06	2017/10/30	50.40
67875	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/06	2017/10/30	50.60
67877	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/06/06	2016/10/28	50.80
67802	HK Bank - HKEX R Bull CBBC Sep 2017 A	50,000,000	2016/06/06	2017/09/04	12.50
67822	HK Bank - HSCEI R Bull CBBC Aug 2017 A	60,000,000	2016/06/06	2017/08/30	15.00
67821	HK Bank - HSI R Bull CBBC Jul 2017 A	150,000,000	2016/06/06	2017/07/28	37.50
67811	HK Bank - HSI R Bull CBBC Aug 2017 A	150,000,000	2016/06/06	2017/08/30	37.50
67801	HK Bank - Tencent R Bull CBBC Mar 2017 A	50,000,000	2016/06/06	2017/03/02	12.50
67800	HK Bank - Tencent R Bull CBBC Jun 2017 C	50,000,000	2016/06/06	2017/06/26	12.50
67864	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 U	200,000,000	2016/06/06	2017/08/30	50.00
67865	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 O	200,000,000	2016/06/06	2017/09/28	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67868	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 J	150,000,000	2016/06/06	2017/10/30	37.50
67862	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/06	2016/09/29	50.00
67869	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/06	2016/09/29	50.00
67874	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 B	60,000,000	2016/06/06	2017/07/21	15.00
67871	J P Morgan SP BV - Tencent R Bear CBBC Mar 2017 B	60,000,000	2016/06/06	2017/03/17	15.00
67856	SG Issuer - HSCEI R Bull CBBC Jul 2017 A	200,000,000	2016/06/06	2017/07/28	50.00
67857	SG Issuer - HSCEI R Bull CBBC Jul 2017 B	200,000,000	2016/06/06	2017/07/28	50.00
67858	SG Issuer - HSCEI R Bull CBBC Jul 2017 C	200,000,000	2016/06/06	2017/07/28	50.00
67861	SG Issuer - HSCEI R Bull CBBC Jul 2017 D	200,000,000	2016/06/06	2017/07/28	50.00
67817	SG Issuer - HSI R Bull CBBC Jul 2017 A	200,000,000	2016/06/06	2017/07/28	50.00
67836	SG Issuer - HSI R Bull CBBC Jul 2017 E	200,000,000	2016/06/06	2017/07/28	50.00
67840	SG Issuer - HSI R Bear CBBC Sep 2016 B	200,000,000	2016/06/06	2016/09/29	50.00
67818	SG Issuer - HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/06	2016/09/29	50.00
67839	SG Issuer - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/06/06	2016/10/28	50.00
67832	UBS AG - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/06	2017/10/30	50.00
67835	UBS AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/06	2017/11/29	50.00
67826	UBS AG - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/06	2016/09/29	50.00
67829	UBS AG - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/06/06	2017/01/26	50.00
67891	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 G	250,000,000	2016/06/07	2017/06/29	62.50
67892	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 B	250,000,000	2016/06/07	2017/02/27	62.50
67893	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 C	250,000,000	2016/06/07	2017/02/27	62.50
67897	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 B	250,000,000	2016/06/07	2017/03/30	62.50
67945	Credit Suisse AG - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/07	2017/11/29	50.00
67946	Credit Suisse AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/06/07	2017/11/29	50.00
67939	Credit Suisse AG - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/07	2016/09/29	50.00
67941	Credit Suisse AG - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/07	2016/09/29	50.00
67934	Bank of East Asia - CAM CSI300 R Bull CBBC May 2017 A	60,000,000	2016/06/07	2017/05/26	15.00
67933	Bank of East Asia - CSOP A50 ETF R Bull CBBC Apr 2017 A	40,000,000	2016/06/07	2017/04/07	10.00
67935	Bank of East Asia - HKEX R Bull CBBC May 2017 B	40,000,000	2016/06/07	2017/05/25	10.00
67930	Bank of East Asia - Tencent R Bull CBBC May 2017 A	40,000,000	2016/06/07	2017/05/29	10.00
67932	Bank of East Asia - Tencent R Bear CBBC Apr 2017 A	40,000,000	2016/06/07	2017/04/21	10.00
67949	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 B	200,000,000	2016/06/07	2017/09/28	51.00
67950	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 C	200,000,000	2016/06/07	2017/09/28	50.80
67890	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/07	2016/10/28	50.20
67947	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/07	2016/10/28	50.60
67901	HK Bank - HKEX R Bull CBBC Jun 2017 C	50,000,000	2016/06/07	2017/06/30	12.50
67902	HK Bank - HSI R Bull CBBC Jun 2017 B	150,000,000	2016/06/07	2017/06/29	37.50
67885	HK Bank - Ping An R Bull CBBC Jan 2017 C	40,000,000	2016/06/07	2017/01/23	10.00
67882	HK Bank - Sands China R Bull CBBC Jul 2017 C	30,000,000	2016/06/07	2017/07/31	10.50
67898	HK Bank - Tencent R Bull CBBC Jan 2017 E	50,000,000	2016/06/07	2017/01/23	12.50
68052	J P Morgan SP BV - CAM CSI300 R Bull CBBC Aug 2017 A	100,000,000	2016/06/07	2017/08/18	25.00
68045	J P Morgan SP BV - HKEX R Bull CBBC Sep 2017 B	40,000,000	2016/06/07	2017/09/15	10.00
68029	J P Morgan SP BV - HSCEI R Bull CBBC Sep 2017 B	100,000,000	2016/06/07	2017/09/28	25.00
68021	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 P	200,000,000	2016/06/07	2017/09/28	50.00
68025	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/07	2017/10/30	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68027	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/07	2017/11/29	50.00
67984	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 B	150,000,000	2016/06/07	2016/09/29	37.50
67994	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 C	200,000,000	2016/06/07	2016/09/29	50.00
68010	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 D	150,000,000	2016/06/07	2016/09/29	37.50
68019	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 O	150,000,000	2016/06/07	2016/09/29	37.50
68006	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/07	2016/10/28	50.00
68046	J P Morgan SP BV - Ping An R Bull CBBC Aug 2017 B	200,000,000	2016/06/07	2017/08/18	50.00
68038	J P Morgan SP BV - Tencent R Bull CBBC Mar 2017 B	60,000,000	2016/06/07	2017/03/17	15.00
68032	J P Morgan SP BV - Tencent R Bear CBBC Feb 2017 E	40,000,000	2016/06/07	2017/02/17	10.00
67914	SG Issuer - HSI R Bull CBBC Jun 2017 B	200,000,000	2016/06/07	2017/06/29	50.00
67923	SG Issuer - HSI R Bull CBBC Jun 2017 C	200,000,000	2016/06/07	2017/06/29	50.00
67913	SG Issuer - HSI R Bull CBBC Jun 2017 H	200,000,000	2016/06/07	2017/06/29	50.00
67886	SG Issuer - HSI R Bear CBBC Sep 2016 C	200,000,000	2016/06/07	2016/09/29	50.00
67887	SG Issuer - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/06/07	2016/10/28	50.00
67929	SG Issuer - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/06/07	2016/10/28	50.00
67967	UBS AG - HSCEI R Bull CBBC Sep 2017 C	100,000,000	2016/06/07	2017/09/28	25.00
67970	UBS AG - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/07	2017/09/28	50.00
67980	UBS AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/07	2017/09/28	50.00
67977	UBS AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/07	2017/10/30	50.00
67976	UBS AG - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/07	2017/11/29	50.00
67982	UBS AG - HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/07	2016/09/29	50.00
67959	UBS AG - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/07	2016/09/29	50.00
67983	UBS AG - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/06/07	2017/01/26	50.00
67951	UBS AG - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/06/07	2017/02/27	50.00
67968	UBS AG - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/06/07	2017/02/27	50.00
68139	Credit Suisse AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/08	2017/10/30	50.00
68150	Credit Suisse AG - HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/08	2016/09/29	50.00
68141	Credit Suisse AG - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/08	2016/10/28	50.00
68110	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/08	2017/09/28	50.20
68061	HK Bank - China Life R Bull CBBC Jul 2017 B	50,000,000	2016/06/08	2017/07/31	12.50
68062	HK Bank - CSOP A50 ETF R Bull CBBC Dec 2016 B	50,000,000	2016/06/08	2016/12/30	12.50
68063	HK Bank - A50 R Bull CBBC Dec 2016 F	50,000,000	2016/06/08	2016/12/30	12.50
68058	HK Bank - HKEX R Bull CBBC Jun 2017 D	50,000,000	2016/06/08	2017/06/05	12.50
68054	HK Bank - Tencent R Bull CBBC Jul 2017 C	50,000,000	2016/06/08	2017/07/31	12.50
68158	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Mar 2017 B	100,000,000	2016/06/08	2017/03/17	25.00
68161	J P Morgan SP BV - A50 China R Bull CBBC Mar 2017 C	100,000,000	2016/06/08	2017/03/17	25.00
68152	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/06/08	2017/09/28	50.00
68154	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 L	150,000,000	2016/06/08	2017/10/30	37.50
68167	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/08	2016/09/29	50.00
68077	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 F	40,000,000	2016/06/08	2017/02/27	10.00
68079	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 G	40,000,000	2016/06/08	2017/02/27	10.00
68081	SG Issuer - HSCEI R Bull CBBC Jul 2017 E	200,000,000	2016/06/08	2017/07/28	50.00
68083	SG Issuer - HSCEI R Bear CBBC Feb 2017 A	200,000,000	2016/06/08	2017/02/27	50.00
68094	SG Issuer - HSCEI R Bear CBBC Feb 2017 B	200,000,000	2016/06/08	2017/02/27	50.00
68095	SG Issuer - HSCEI R Bear CBBC Feb 2017 C	200,000,000	2016/06/08	2017/02/27	50.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68104	SG Issuer - HSCEI R Bear CBBC Feb 2017 D	200,000,000	2016/06/08	2017/02/27	50.00
68105	SG Issuer - HSCEI R Bear CBBC Feb 2017 E	200,000,000	2016/06/08	2017/02/27	50.00
68076	SG Issuer - HSI R Bull CBBC Jul 2017 D	200,000,000	2016/06/08	2017/07/28	50.00
68073	SG Issuer - HSI R Bull CBBC Jul 2017 H	200,000,000	2016/06/08	2017/07/28	50.00
68109	SG Issuer - HSI R Bear CBBC Sep 2016 A	200,000,000	2016/06/08	2016/09/29	50.00
68128	UBS AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/06/08	2017/09/28	50.00
68111	UBS AG - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/08	2017/10/30	50.00
68114	UBS AG - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/06/08	2017/01/26	50.00
68124	UBS AG - Tencent R Bull CBBC Jun 2017 B	50,000,000	2016/06/08	2017/06/12	12.50
68246	BNP Paribas Arbit Issu B.V. - CAM CSI300 R Bull CBBC Jun17 A	50,000,000	2016/06/10	2017/06/30	12.50
68255	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2016 F	50,000,000	2016/06/10	2016/12/30	12.50
68240	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2016 G	80,000,000	2016/06/10	2016/12/30	20.00
68203	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC May 2017 C	100,000,000	2016/06/10	2017/05/29	25.00
68185	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Jun 2017 B	100,000,000	2016/06/10	2017/06/29	25.00
68178	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 C	250,000,000	2016/06/10	2017/03/30	62.50
68251	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Dec 2016 C	50,000,000	2016/06/10	2016/12/30	12.50
68226	Credit Suisse AG - HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/06/10	2017/06/29	50.00
68218	Credit Suisse AG - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/10	2016/10/28	50.00
68231	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/10	2017/09/28	50.20
68205	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/10	2016/10/28	50.40
68170	HK Bank - China Mobile R Bull CBBC Feb 2017 A	50,000,000	2016/06/10	2017/02/06	12.50
68168	HK Bank - Lenovo R Bull CBBC Dec 2017 B	40,000,000	2016/06/10	2017/12/18	10.00
68263	J P Morgan SP BV - CC Bank R Bull CBBC Jul 2017 A	100,000,000	2016/06/10	2017/07/21	25.00
68260	J P Morgan SP BV - China Life R Bull CBBC Jul 2017 D	60,000,000	2016/06/10	2017/07/21	15.00
68257	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 B	60,000,000	2016/06/10	2017/10/20	15.00
68268	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	150,000,000	2016/06/10	2017/12/28	37.50
68270	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 K	150,000,000	2016/06/10	2016/09/29	37.50
68174	SG Issuer - HSI R Bull CBBC Jun 2017 A	200,000,000	2016/06/10	2017/06/29	50.00
68176	SG Issuer - HSI R Bear CBBC Sep 2016 D	200,000,000	2016/06/10	2016/09/29	50.00
68232	UBS AG - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/10	2016/09/29	50.00
68239	UBS AG - HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/10	2016/09/29	50.00
68288	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 H	250,000,000	2016/06/13	2017/05/29	62.50
68272	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 I	250,000,000	2016/06/13	2017/02/27	62.50
68302	Credit Suisse AG - HSI R Bull CBBC Sep 2017 C	200,000,000	2016/06/13	2017/09/28	50.00
68294	Credit Suisse AG - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/13	2016/10/28	50.00
68300	Credit Suisse AG - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/06/13	2016/10/28	50.00
68349	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/06/13	2017/09/28	50.60
68290	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/13	2016/10/28	50.60
68346	J P Morgan SP BV - CAM CSI300 R Bull CBBC Sep 2017 A	100,000,000	2016/06/13	2017/09/15	25.00
68335	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 M	150,000,000	2016/06/13	2017/10/30	37.50
68345	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/06/13	2017/10/30	50.00
68309	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/13	2016/09/29	50.00
68278	SG Issuer - HSI R Bull CBBC Jul 2017 I	200,000,000	2016/06/13	2017/07/28	50.00
68282	SG Issuer - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/06/13	2016/10/28	50.00
68287	SG Issuer - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/06/13	2016/10/28	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68308	UBS AG - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/13	2017/10/30	50.00
68306	UBS AG - HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/13	2016/09/29	50.00
68363	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 M	250,000,000	2016/06/14	2017/06/29	62.50
68362	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/14	2017/11/29	50.00
68361	Credit Suisse AG - HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/14	2016/09/29	50.00
68381	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/14	2017/10/30	50.40
68356	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/06/14	2016/10/28	50.60
68400	J P Morgan SP BV - CC Bank R Bear CBBC Apr 2017 A	100,000,000	2016/06/14	2017/04/21	25.00
68391	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 B	40,000,000	2016/06/14	2017/11/17	10.00
68427	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/06/14	2017/09/28	50.00
68389	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/14	2017/11/29	50.00
68413	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 Q	150,000,000	2016/06/14	2016/09/29	37.50
68392	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 C	50,000,000	2016/06/14	2017/07/21	12.50
68393	J P Morgan SP BV - Tencent R Bear CBBC May 2017 A	50,000,000	2016/06/14	2017/05/19	14.00
68351	SG Issuer - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/14	2016/09/29	50.00
68366	UBS AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/14	2017/10/30	50.00
68368	UBS AG - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/14	2017/11/29	50.00
68367	UBS AG - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/06/14	2017/01/26	50.00
68535	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Jun 2017 C	100,000,000	2016/06/15	2017/06/29	25.00
68536	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 C	100,000,000	2016/06/15	2017/03/30	25.00
68537	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 D	100,000,000	2016/06/15	2017/03/30	25.00
68560	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 N	250,000,000	2016/06/15	2017/05/29	62.50
68533	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 O	250,000,000	2016/06/15	2017/05/29	62.50
68559	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 N	250,000,000	2016/06/15	2017/06/29	62.50
68430	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 J	250,000,000	2016/06/15	2017/02/27	62.50
68429	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/06/15	2017/03/30	62.50
68534	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 J	250,000,000	2016/06/15	2017/03/30	62.50
68564	Credit Suisse AG - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/15	2017/10/30	50.00
68568	Credit Suisse AG - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/15	2017/10/30	50.00
68558	Credit Suisse AG - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/15	2016/09/29	50.00
68545	Credit Suisse AG - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/15	2016/09/29	50.00
68555	Credit Suisse AG - HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/15	2016/10/28	50.00
68556	Credit Suisse AG - HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/15	2016/10/28	50.00
68557	Credit Suisse AG - HSI R Bear CBBC Nov 2016 A	200,000,000	2016/06/15	2016/11/29	50.00
68684	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/15	2016/10/28	50.20
68605	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Feb 2017 B	100,000,000	2016/06/15	2017/02/17	25.00
68622	J P Morgan SP BV - HKEX R Bull CBBC Sep 2017 C	50,000,000	2016/06/15	2017/09/15	12.50
68601	J P Morgan SP BV - HKEX R Bear CBBC Apr 2017 B	50,000,000	2016/06/15	2017/04/21	12.50
68629	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 V	200,000,000	2016/06/15	2017/08/30	50.00
68644	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/06/15	2017/10/30	50.00
68575	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/15	2016/09/29	50.00
68580	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/15	2016/09/29	50.00
68602	J P Morgan SP BV - Ping An R Bull CBBC Sep 2017 A	100,000,000	2016/06/15	2017/09/15	25.00
68617	J P Morgan SP BV - Tencent R Bull CBBC Jun 2017 C	50,000,000	2016/06/15	2017/06/16	12.50
68584	J P Morgan SP BV - Tencent R Bear CBBC Apr 2017 B	50,000,000	2016/06/15	2017/04/21	14.50

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68433	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2017 H	40,000,000	2016/06/15	2017/02/27	10.00
68516	SG Issuer - CMob R Bull CBBC Oct 2017 B	100,000,000	2016/06/15	2017/10/30	25.00
68517	SG Issuer - CMob R Bear CBBC Jan 2017 B	100,000,000	2016/06/15	2017/01/26	25.00
68513	SG Issuer - China Life R Bull CBBC Aug 2017 B	40,000,000	2016/06/15	2017/08/30	10.00
68515	SG Issuer - China Life R Bear CBBC Apr 2017 A	40,000,000	2016/06/15	2017/04/27	12.80
68518	SG Issuer - HKEX R Bull CBBC Sep 2017 C	100,000,000	2016/06/15	2017/09/28	25.00
68519	SG Issuer - HKEX R Bull CBBC Sep 2017 D	100,000,000	2016/06/15	2017/09/28	25.00
68525	SG Issuer - HKEX R Bull CBBC Sep 2017 E	100,000,000	2016/06/15	2017/09/28	25.00
68530	SG Issuer - HKEX R Bull CBBC Sep 2017 F	100,000,000	2016/06/15	2017/09/28	25.00
68531	SG Issuer - HSBC R Bull CBBC Mar 2018 B	100,000,000	2016/06/15	2018/03/28	25.00
68532	SG Issuer - HSBC R Bear CBBC Feb 2017 A	100,000,000	2016/06/15	2017/02/27	25.00
68435	SG Issuer - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/15	2017/10/30	50.00
68437	SG Issuer - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/15	2017/10/30	50.00
68438	SG Issuer - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/15	2017/10/30	50.00
68476	SG Issuer - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/15	2016/09/29	50.00
68484	SG Issuer - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/15	2016/09/29	50.00
68512	SG Issuer - HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/15	2016/09/29	50.00
68497	SG Issuer - HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/15	2016/09/29	50.00
68445	SG Issuer - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/15	2016/09/29	50.00
68466	SG Issuer - HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/15	2016/09/29	50.00
68503	SG Issuer - HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/15	2016/09/29	50.00
68448	SG Issuer - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/06/15	2016/10/28	50.00
68452	SG Issuer - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/06/15	2016/10/28	50.00
68474	SG Issuer - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/15	2016/10/28	50.00
68495	SG Issuer - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/15	2016/10/28	50.00
68498	SG Issuer - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/15	2016/10/28	50.00
68508	SG Issuer - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/15	2016/10/28	50.00
68538	SG Issuer - Ping An R Bull CBBC Sep 2017 C	100,000,000	2016/06/15	2017/09/28	25.00
68539	SG Issuer - Ping An R Bear CBBC Jun 2017 A	100,000,000	2016/06/15	2017/06/29	25.00
68540	SG Issuer - Tencent R Bull CBBC Aug 2017 C	100,000,000	2016/06/15	2017/08/30	25.00
68542	SG Issuer - Tencent R Bull CBBC Aug 2017 D	100,000,000	2016/06/15	2017/08/30	25.00
68543	SG Issuer - Tencent R Bear CBBC Jan 2017 A	100,000,000	2016/06/15	2017/01/26	25.00
68544	SG Issuer - Tencent R Bear CBBC Feb 2017 B	100,000,000	2016/06/15	2017/02/27	25.00
68657	UBS AG - HKEX R Bull CBBC Sep 2017 A	50,000,000	2016/06/15	2017/09/18	12.50
68674	UBS AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/06/15	2017/09/28	50.00
68673	UBS AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/15	2017/10/30	50.00
68675	UBS AG - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/06/15	2017/11/29	50.00
68651	UBS AG - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/15	2016/09/29	50.00
68649	UBS AG - HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/15	2016/09/29	50.00
68652	UBS AG - Tencent R Bear CBBC Jan 2017 A	50,000,000	2016/06/15	2017/01/09	12.50
68728	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/16	2017/11/29	50.00
68729	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/16	2017/11/29	50.00
68730	Credit Suisse AG - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/16	2016/10/28	50.00
68696	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/16	2017/10/30	50.40
68699	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/16	2017/10/30	50.60

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68712	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/16	2016/10/28	50.40
68689	HK Bank - HKEX R Bull CBBC Sep 2017 B	50,000,000	2016/06/16	2017/09/29	12.50
68691	HK Bank - HSCEI R Bull CBBC Sep 2017 A	60,000,000	2016/06/16	2017/09/28	15.00
68685	HK Bank - HSI R Bull CBBC Aug 2017 B	150,000,000	2016/06/16	2017/08/30	37.50
68693	HK Bank - Tencent R Bull CBBC Jan 2017 F	50,000,000	2016/06/16	2017/01/16	12.50
68694	HK Bank - Tencent R Bear CBBC Sep 2017 A	40,000,000	2016/06/16	2017/09/25	20.00
68744	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/06/16	2017/10/30	50.00
68746	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/16	2017/11/29	50.00
68769	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 M	150,000,000	2016/06/16	2016/10/28	37.50
68700	SG Issuer - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/16	2017/09/28	50.00
68702	SG Issuer - HSI R Bull CBBC Sep 2017 B	200,000,000	2016/06/16	2017/09/28	50.00
68704	SG Issuer - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/16	2017/10/30	50.00
68706	SG Issuer - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/16	2016/09/29	50.00
68705	SG Issuer - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/06/16	2016/10/28	50.00
68711	SG Issuer - HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/16	2016/10/28	50.00
68740	UBS AG - CAM CSI300 R Bull CBBC Aug 2017 A	50,000,000	2016/06/16	2017/08/21	12.50
68733	UBS AG - HSI R Bull CBBC Sep 2017 P	200,000,000	2016/06/16	2017/09/28	50.00
68737	UBS AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/06/16	2017/11/29	50.00
68743	UBS AG - HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/16	2016/09/29	50.00
68739	UBS AG - Ping An R Bull CBBC Oct 2017 A	50,000,000	2016/06/16	2017/10/09	12.50
68789	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/17	2017/11/29	50.00
68801	Credit Suisse AG - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/06/17	2016/10/28	50.00
68808	Credit Suisse AG - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/06/17	2016/10/28	50.00
68794	Bank of East Asia - China Mobile R Bull CBBC Dec 2017 A	40,000,000	2016/06/17	2017/12/14	10.00
68790	Bank of East Asia - Lenovo R Bull CBBC Sep 2017 A	60,000,000	2016/06/17	2017/09/01	15.00
68795	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/06/17	2017/09/28	51.00
68834	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/17	2016/10/28	50.60
68823	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 S	150,000,000	2016/06/17	2017/09/28	37.50
68829	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 T	200,000,000	2016/06/17	2017/09/28	50.00
68770	SG Issuer - HSI R Bull CBBC Sep 2017 C	200,000,000	2016/06/17	2017/09/28	50.00
68772	SG Issuer - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/17	2017/10/30	50.00
68786	SG Issuer - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/17	2016/09/29	50.00
68787	SG Issuer - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/17	2016/09/29	50.00
68782	SG Issuer - HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/17	2016/10/28	50.00
68810	UBS AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/06/17	2017/09/28	50.00
68809	UBS AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/17	2017/10/30	50.00
68812	UBS AG - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/17	2016/09/29	50.00
68813	UBS AG - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/17	2016/09/29	50.00
68845	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 P	250,000,000	2016/06/20	2017/05/29	62.50
68852	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 K	250,000,000	2016/06/20	2017/06/29	62.50
68848	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 O	250,000,000	2016/06/20	2017/06/29	62.50
68917	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 M	250,000,000	2016/06/20	2017/02/27	62.50
68927	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 N	250,000,000	2016/06/20	2017/02/27	62.50
68930	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/06/20	2017/03/30	62.50
68870	Credit Suisse AG - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/20	2017/09/28	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68875	Credit Suisse AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/20	2017/09/28	50.00
68880	Credit Suisse AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/06/20	2017/09/28	50.00
68996	Credit Suisse AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/06/20	2017/09/28	50.00
68882	Credit Suisse AG - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/20	2016/09/29	50.00
68888	Credit Suisse AG - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/20	2016/09/29	50.00
68942	Credit Suisse AG - HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/20	2016/09/29	50.00
68896	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/06/20	2017/09/28	50.20
68855	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/20	2017/10/30	50.40
68857	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/20	2017/10/30	50.20
68949	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/06/20	2016/10/28	50.40
68951	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/06/20	2016/10/28	50.60
68869	HK Bank - HSI R Bear CBBC Dec 2016 P	150,000,000	2016/06/20	2016/12/29	37.50
68868	HK Bank - HSI R Bear CBBC Feb 2017 I	150,000,000	2016/06/20	2017/02/27	37.50
68858	HK Bank - HSI R Bear CBBC Mar 2017 F	150,000,000	2016/06/20	2017/03/30	37.50
68843	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/20	2017/10/30	50.60
68844	Haitong Int'l Sec - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/06/20	2017/03/30	50.00
69005	J P Morgan SP BV - CC Bank R Bull CBBC Feb 2017 B	100,000,000	2016/06/20	2017/02/17	25.00
69004	J P Morgan SP BV - Galaxy Ent R Bear CBBC Mar 2017 B	100,000,000	2016/06/20	2017/03/17	25.00
69011	J P Morgan SP BV - HSCEI R Bull CBBC Sep 2017 C	100,000,000	2016/06/20	2017/09/28	25.00
69007	J P Morgan SP BV - HSCEI R Bear CBBC Jan 2017 A	100,000,000	2016/06/20	2017/01/26	25.00
69020	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 U	200,000,000	2016/06/20	2017/09/28	50.00
68910	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/06/20	2017/10/30	50.00
68908	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/20	2017/11/29	50.00
68911	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/20	2017/11/29	50.00
69022	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/20	2016/09/29	50.00
69023	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/20	2016/10/28	50.00
69028	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 A	150,000,000	2016/06/20	2016/11/29	37.50
68893	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 A	40,000,000	2016/06/20	2017/02/27	10.00
68895	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 B	40,000,000	2016/06/20	2017/02/27	10.00
68837	SG Issuer - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/20	2017/09/28	50.00
68841	SG Issuer - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/20	2017/09/28	50.00
68836	SG Issuer - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/20	2017/10/30	50.00
68840	SG Issuer - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/20	2017/10/30	50.00
68938	SG Issuer - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/20	2016/09/29	50.00
68941	SG Issuer - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/20	2016/09/29	50.00
68932	SG Issuer - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/20	2016/10/28	50.00
68940	SG Issuer - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/06/20	2016/10/28	50.00
68978	UBS AG - HSI R Bull CBBC Sep 2017 M	200,000,000	2016/06/20	2017/09/28	50.00
68985	UBS AG - HSI R Bull CBBC Sep 2017 N	200,000,000	2016/06/20	2017/09/28	50.00
68976	UBS AG - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/20	2017/10/30	50.00
68981	UBS AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/06/20	2017/11/29	50.00
68984	UBS AG - HSI R Bull CBBC Dec 2017 B	200,000,000	2016/06/20	2017/12/28	50.00
68990	UBS AG - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/20	2016/09/29	50.00
68994	UBS AG - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/20	2016/09/29	50.00
68988	UBS AG - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/20	2016/09/29	50.00



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68993	UBS AG - HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/20	2016/09/29	50.00
69086	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 L	250,000,000	2016/06/21	2017/06/29	62.50
69103	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 X	250,000,000	2016/06/21	2017/02/27	62.50
69088	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/06/21	2017/03/30	62.50
69087	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 V	250,000,000	2016/06/21	2017/03/30	62.50
69101	Credit Suisse AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/21	2017/10/30	50.00
69143	Credit Suisse AG - HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/21	2016/09/29	50.00
69145	Credit Suisse AG - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/06/21	2016/10/28	50.00
69146	Credit Suisse AG - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/06/21	2016/10/28	50.00
69071	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/06/21	2017/09/28	50.80
69183	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/21	2016/09/29	50.20
69184	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/06/21	2016/10/28	50.80
69147	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/06/21	2017/06/29	50.00
69163	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 I	200,000,000	2016/06/21	2016/09/29	50.00
69180	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/21	2016/09/29	50.00
69178	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/21	2016/10/28	50.00
69073	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 C	40,000,000	2016/06/21	2017/02/27	10.00
69079	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 D	40,000,000	2016/06/21	2017/02/27	10.00
69029	SG Issuer - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/21	2017/11/29	50.00
69037	SG Issuer - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/21	2016/09/29	50.00
69053	SG Issuer - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/21	2016/09/29	50.00
69038	SG Issuer - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/06/21	2016/10/28	50.00
69058	SG Issuer - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/06/21	2016/10/28	50.00
69118	UBS AG - HSCEI R Bear CBBC Sep 2016 B	100,000,000	2016/06/21	2016/09/29	25.00
69108	UBS AG - HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/06/21	2017/09/28	50.00
69107	UBS AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/06/21	2017/11/29	50.00
69119	UBS AG - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/21	2016/09/29	50.00
69132	UBS AG - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/21	2016/09/29	50.00
69122	UBS AG - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/21	2016/10/28	50.00
69136	UBS AG - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/21	2016/10/28	50.00
69141	UBS AG - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/06/21	2017/02/27	50.00
69241	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 L	250,000,000	2016/06/22	2017/05/29	62.50
69240	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 J	250,000,000	2016/06/22	2017/06/29	62.50
69268	Credit Suisse AG - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/06/22	2017/09/28	50.00
69267	Credit Suisse AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/22	2017/10/30	50.00
69270	Credit Suisse AG - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/06/22	2016/10/28	50.00
69264	Credit Suisse AG - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/06/22	2016/11/29	50.00
69265	Credit Suisse AG - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/06/22	2016/11/29	50.00
69255	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/22	2017/11/29	50.20
69254	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/22	2016/09/29	50.60
69291	J P Morgan SP BV - HKEX R Bull CBBC Jun 2017 C	50,000,000	2016/06/22	2017/06/16	12.50
69292	J P Morgan SP BV - HKEX R Bear CBBC Nov 2016 B	50,000,000	2016/06/22	2016/11/18	12.50
69309	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 W	200,000,000	2016/06/22	2017/08/30	50.00
69295	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/06/22	2017/10/30	50.00
69317	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/22	2016/09/29	50.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69323	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 B	150,000,000	2016/06/22	2016/11/29	37.50
69249	SG Issuer - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/22	2017/10/30	50.00
69248	SG Issuer - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/06/22	2017/11/29	50.00
69233	SG Issuer - HSI R Bear CBBC Sep 2016 I	200,000,000	2016/06/22	2016/09/29	50.00
69190	SG Issuer - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/22	2016/09/29	50.00
69214	SG Issuer - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/06/22	2016/10/28	50.00
69277	UBS AG - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/06/22	2017/10/30	50.00
69283	UBS AG - HSI R Bull CBBC Nov 2017 M	200,000,000	2016/06/22	2017/11/29	50.00
69273	UBS AG - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/06/22	2017/01/26	50.00
69274	UBS AG - HSI R Bear CBBC Jan 2017 J	200,000,000	2016/06/22	2017/01/26	50.00
69271	UBS AG - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/06/22	2017/02/27	50.00
69365	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 M	250,000,000	2016/06/23	2017/05/29	62.50
69378	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 P	250,000,000	2016/06/23	2017/06/29	62.50
69381	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 U	250,000,000	2016/06/23	2017/02/27	62.50
69379	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/06/23	2017/03/30	62.50
69382	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 W	250,000,000	2016/06/23	2017/03/30	62.50
69394	Credit Suisse AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/23	2017/10/30	50.00
69396	Credit Suisse AG - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/23	2017/10/30	50.00
69421	Credit Suisse AG - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/06/23	2017/10/30	50.00
69401	Credit Suisse AG - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/06/23	2016/10/28	50.00
69406	Credit Suisse AG - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/06/23	2016/10/28	50.00
69368	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/23	2017/10/30	51.20
69461	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/23	2016/09/29	50.40
69468	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/06/23	2016/10/28	50.80
69369	HK Bank - HSCEI R Bear CBBC Mar 2017 C	50,000,000	2016/06/23	2017/03/30	12.50
69371	HK Bank - HSCEI R Bear CBBC Apr 2017 B	50,000,000	2016/06/23	2017/04/27	12.50
69375	HK Bank - HSI R Bear CBBC Feb 2017 J	150,000,000	2016/06/23	2017/02/27	37.50
69373	HK Bank - HSI R Bear CBBC Mar 2017 G	150,000,000	2016/06/23	2017/03/30	37.50
69472	J P Morgan SP BV - HSBC R Bull CBBC Sep 2017 B	100,000,000	2016/06/23	2017/09/15	25.00
69474	J P Morgan SP BV - HSBC R Bear CBBC Jan 2017 C	100,000,000	2016/06/23	2017/01/20	25.00
69471	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 T	200,000,000	2016/06/23	2017/07/28	50.00
69469	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 V	200,000,000	2016/06/23	2017/09/28	50.00
69493	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 W	200,000,000	2016/06/23	2017/09/28	50.00
69495	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/23	2016/09/29	50.00
69500	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/23	2016/09/29	50.00
69501	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 P	150,000,000	2016/06/23	2016/10/28	37.50
69508	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/23	2016/10/28	50.00
69376	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 E	40,000,000	2016/06/23	2017/02/27	10.12
69377	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 A	40,000,000	2016/06/23	2017/03/30	10.00
69324	SG Issuer - HSI R Bull CBBC Feb 2017 C	400,000,000	2016/06/23	2017/02/27	100.00
69343	SG Issuer - HSI R Bull CBBC Feb 2017 D	400,000,000	2016/06/23	2017/02/27	100.00
69333	SG Issuer - HSI R Bull CBBC Mar 2017 C	400,000,000	2016/06/23	2017/03/30	100.00
69344	SG Issuer - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/23	2017/10/30	50.00
69345	SG Issuer - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/23	2017/11/29	50.00
69362	SG Issuer - HSI R Bear CBBC Sep 2016 C	400,000,000	2016/06/23	2016/09/29	100.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69390	SG Issuer - HSI R Bear CBBC Sep 2016 G	200,000,000	2016/06/23	2016/09/29	50.00
69346	SG Issuer - HSI R Bear CBBC Sep 2016 Y	400,000,000	2016/06/23	2016/09/29	100.00
69351	SG Issuer - HSI R Bear CBBC Sep 2016 Z	400,000,000	2016/06/23	2016/09/29	100.00
69392	SG Issuer - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/06/23	2016/10/28	50.00
69393	SG Issuer - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/06/23	2016/10/28	50.00
69424	UBS AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/06/23	2017/09/28	50.00
69422	UBS AG - HSI R Bull CBBC Nov 2017 N	200,000,000	2016/06/23	2017/11/29	50.00
69443	UBS AG - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/06/23	2017/11/29	50.00
69446	UBS AG - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/06/23	2017/12/28	50.00
69450	UBS AG - HSI R Bear CBBC Sep 2016 H	200,000,000	2016/06/23	2016/09/29	50.00
69452	UBS AG - HSI R Bear CBBC Jan 2017 K	200,000,000	2016/06/23	2017/01/26	50.00
69456	UBS AG - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/06/23	2017/02/27	50.00
69545	Credit Suisse AG - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/06/24	2017/09/28	50.00
69547	Credit Suisse AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/06/24	2017/09/28	50.00
69557	Credit Suisse AG - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/24	2016/09/29	50.00
69541	Credit Suisse AG - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/06/24	2016/11/29	50.00
69543	Credit Suisse AG - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/06/24	2016/11/29	50.00
69509	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/06/24	2016/10/28	50.20
69594	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 X	200,000,000	2016/06/24	2017/09/28	50.00
69588	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/06/24	2017/11/29	50.00
69553	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/24	2016/10/28	50.00
69595	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 C	150,000,000	2016/06/24	2016/11/29	37.50
69555	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 A	60,000,000	2016/06/24	2017/11/17	15.00
69532	SG Issuer - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/24	2017/11/29	50.00
69539	SG Issuer - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/24	2017/11/29	50.00
69527	SG Issuer - HSI R Bear CBBC Sep 2016 A	200,000,000	2016/06/24	2016/09/29	50.00
69529	SG Issuer - HSI R Bear CBBC Sep 2016 D	200,000,000	2016/06/24	2016/09/29	50.00
69513	SG Issuer - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/06/24	2016/10/28	50.00
69572	UBS AG - HKEX R Bull CBBC Sep 2017 B	50,000,000	2016/06/24	2017/09/18	12.50
69580	UBS AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/06/24	2017/10/30	50.00
69560	UBS AG - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/24	2016/09/29	50.00
69567	UBS AG - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/06/24	2017/02/27	50.00
69718	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 Q	250,000,000	2016/06/27	2017/06/29	62.50
69716	Credit Suisse AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/06/27	2017/09/28	50.00
69690	Credit Suisse AG - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/27	2017/11/29	50.00
69670	Credit Suisse AG - HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/27	2016/09/29	50.00
69671	Credit Suisse AG - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/06/27	2016/11/29	50.00
69687	Credit Suisse AG - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/06/27	2016/11/29	50.00
69730	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/06/27	2017/09/28	50.20
69719	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/27	2017/10/30	50.20
69669	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/27	2016/09/29	50.60
69608	HK Bank - HKEX R Bear CBBC Jan 2017 D	40,000,000	2016/06/27	2017/01/27	10.00
69600	HK Bank - HSI R Bull CBBC Dec 2016 D	150,000,000	2016/06/27	2016/12/29	37.50
69621	HK Bank - Tencent R Bear CBBC Feb 2017 D	40,000,000	2016/06/27	2017/02/27	10.00
69736	J P Morgan SP BV - HSBC R Bull CBBC Jul 2017 A	100,000,000	2016/06/27	2017/07/21	25.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69741	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 Y	150,000,000	2016/06/27	2017/09/28	37.50
69666	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/27	2016/10/28	50.00
69668	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 D	150,000,000	2016/06/27	2016/11/29	37.50
69624	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 B	40,000,000	2016/06/27	2017/03/30	10.00
69649	SG Issuer - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/27	2017/10/30	50.00
69657	SG Issuer - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/27	2017/10/30	50.00
69651	SG Issuer - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/27	2017/11/29	50.00
69596	SG Issuer - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/06/27	2016/10/28	50.00
69664	SG Issuer - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/06/27	2016/10/28	50.00
69597	SG Issuer - HSI R Bear CBBC Nov 2016 A	200,000,000	2016/06/27	2016/11/29	50.00
69761	UBS AG - HSI R Bull CBBC Sep 2017 O	200,000,000	2016/06/27	2017/09/28	50.00
69760	UBS AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/06/27	2017/10/30	50.00
69759	UBS AG - HSI R Bull CBBC Nov 2017 P	200,000,000	2016/06/27	2017/11/29	50.00
69746	UBS AG - HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/27	2016/09/29	50.00
69754	UBS AG - HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/27	2016/09/29	50.00
69753	UBS AG - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/27	2016/09/29	50.00
69796	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 F	100,000,000	2016/06/28	2017/02/27	25.00
69793	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2017 I	250,000,000	2016/06/28	2017/06/29	62.50
69765	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 W	250,000,000	2016/06/28	2017/02/27	62.50
69789	Credit Suisse AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/06/28	2017/09/28	50.00
69781	Credit Suisse AG - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/28	2017/11/29	50.00
69775	Credit Suisse AG - HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/28	2016/09/29	50.00
69778	Credit Suisse AG - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/06/28	2016/11/29	50.00
69792	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/06/28	2017/11/29	50.60
69791	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/28	2016/09/29	50.60
69798	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/06/28	2017/10/30	50.00
69799	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 J	150,000,000	2016/06/28	2017/11/29	37.50
69797	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/28	2016/09/29	50.00
69801	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/28	2016/09/29	50.00
69767	SG Issuer - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/06/28	2017/10/30	50.00
69771	SG Issuer - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/06/28	2016/11/29	50.00
69805	UBS AG - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/06/28	2017/10/30	50.00
69803	UBS AG - HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/28	2016/09/29	50.00
69838	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2017 Q	250,000,000	2016/06/29	2017/05/29	62.50
69842	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 V	250,000,000	2016/06/29	2017/02/27	62.50
69806	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 S	250,000,000	2016/06/29	2017/03/30	62.50
69857	Credit Suisse AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/06/29	2017/10/30	50.00
69861	Credit Suisse AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/06/29	2017/10/30	50.00
69856	Credit Suisse AG - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/06/29	2016/10/28	50.00
69921	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/29	2017/10/30	50.60
69817	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/29	2016/09/29	50.40
69916	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/06/29	2016/10/28	51.20
69821	HK Bank - A50 R Bull CBBC Mar 2017 I	50,000,000	2016/06/29	2017/03/31	12.50
69824	HK Bank - HKEEX R Bull CBBC Sep 2017 C	50,000,000	2016/06/29	2017/09/29	12.50
69828	HK Bank - HSCEI R Bull CBBC Jul 2017 C	60,000,000	2016/06/29	2017/07/28	15.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69827	HK Bank - HSI R Bull CBBC Jul 2017 B	150,000,000	2016/06/29	2017/07/28	37.50
69825	HK Bank - Tencent R Bull CBBC Jan 2017 G	50,000,000	2016/06/29	2017/01/23	12.50
69896	J P Morgan SP BV - CKH R Bull CBBC Jun 2017 C	40,000,000	2016/06/29	2017/06/16	10.00
69882	J P Morgan SP BV - HKEX R Bull CBBC May 2017 D	50,000,000	2016/06/29	2017/05/19	12.50
69893	J P Morgan SP BV - HSBC R Bull CBBC Jun 2017 D	100,000,000	2016/06/29	2017/06/16	25.00
69897	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/06/29	2017/09/28	50.00
69901	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/06/29	2017/10/30	50.00
69898	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/06/29	2017/11/29	50.00
69879	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/29	2016/09/29	50.00
69903	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/29	2016/09/29	50.00
69895	J P Morgan SP BV - Ping An R Bull CBBC Mar 2017 A	100,000,000	2016/06/29	2017/03/17	25.00
69908	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 D	60,000,000	2016/06/29	2017/07/21	15.00
69847	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 C	40,000,000	2016/06/29	2017/03/30	10.20
69852	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 D	40,000,000	2016/06/29	2017/03/30	10.00
69810	SG Issuer - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/29	2017/11/29	50.00
69830	SG Issuer - HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/29	2016/09/29	50.00
69874	UBS AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/06/29	2017/09/28	50.00
69873	UBS AG - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/06/29	2017/10/30	50.00
69872	UBS AG - HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/29	2016/09/29	50.00
69871	UBS AG - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/29	2016/09/29	50.00
69875	UBS AG - HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/29	2016/09/29	50.00
69958	Credit Suisse AG - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/06/30	2017/11/29	50.00
69960	Credit Suisse AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/06/30	2017/11/29	50.00
69957	Credit Suisse AG - HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/30	2016/09/29	50.00
69983	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/06/30	2017/09/28	51.20
69982	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/30	2017/10/30	50.80
69981	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/30	2017/11/29	50.40
69930	Haitong Int'l Sec - HSI R Bull CBBC Jun 2017 A	200,000,000	2016/06/30	2017/06/29	50.00
69935	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/30	2017/10/30	50.20
69926	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/30	2017/11/29	50.20
69936	Haitong Int'l Sec - HSI R Bear CBBC Sep 2016 A	200,000,000	2016/06/30	2016/09/29	50.00
69938	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/06/30	2016/10/28	50.40
69976	J P Morgan SP BV - China Mobile R Bull CBBC Sep 2017 A	100,000,000	2016/06/30	2017/09/15	25.00
69977	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/06/30	2017/10/30	50.00
69979	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/06/30	2017/11/29	50.00
69978	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/06/30	2017/12/28	50.00
69971	J P Morgan SP BV - HSI R Bear CBBC Sep 2016 B	200,000,000	2016/06/30	2016/09/29	50.00
69975	J P Morgan SP BV - Tencent R Bull CBBC Aug 2017 A	60,000,000	2016/06/30	2017/08/18	15.00
69942	SG Issuer - HSI R Bull CBBC Feb 2017 E	400,000,000	2016/06/30	2017/02/27	100.00
69940	SG Issuer - HSI R Bull CBBC Mar 2017 D	400,000,000	2016/06/30	2017/03/30	100.00
69945	SG Issuer - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/06/30	2017/10/30	50.00
69944	SG Issuer - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/30	2017/11/29	50.00
69947	SG Issuer - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/06/30	2016/10/28	50.00
69949	SG Issuer - HSI R Bear CBBC Oct 2016 D	400,000,000	2016/06/30	2016/10/28	100.00
69951	SG Issuer - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/06/30	2016/10/28	50.00

## 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69952	SG Issuer - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/06/30	2016/10/28	50.00
69948	SG Issuer - HSI R Bear CBBC Nov 2016 C	400,000,000	2016/06/30	2016/11/29	100.00
69955	SG Issuer - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/06/30	2016/11/29	50.00
69956	SG Issuer - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/06/30	2016/11/29	50.00
69967	UBS AG - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/06/30	2017/10/30	50.00
69964	UBS AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/06/30	2017/11/29	50.00
69965	UBS AG - HSI R Bull CBBC Dec 2017 D	200,000,000	2016/06/30	2017/12/28	50.00
69961	UBS AG - HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/30	2016/09/29	50.00
<b>總額</b>					<b>84,611.84</b>

# 進一步發行

# 預託證券／股票掛鉤票據／非上市可交易股份交易統計

## 預託證券交易統計

		發行數目	成交金額(百萬港元)
2015	第2季	4	107.08
	第3季	4	67.99
	第4季	4	49.30
2016	第1季	4	29.99
	第2季	4	54.20

## 股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2015	第2季	-	-
	第3季	-	-
	第4季	-	-
2016	第1季	-	-
	第2季	-	-

## Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第2季	6	0.48
	第3季	6	0.01
	第4季	6	0.01
2016	第1季	6	0.04
	第2季	6	0.10

## iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第2季	2	-
	第3季	2	-
	第4季	2	0.09
2016	第1季	2	-
	第2季	2	-

# 創業板 股份

## 股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2015	第2季	254,155.08	131,631.55	2,815,024
	第3季	137,580.38	51,332.73	1,377,832
	第4季	136,058.68	39,886.12	1,212,890
2016	第1季	85,420.86	25,666.83	730,890
	第2季	107,905.65	31,516.06	917,745

## 創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2015	第2季	60	2,193.86	46,760
	第3季	63	814.81	37,256
	第4季	63	633.11	32,885
2016	第1季	59	435.03	35,117
	第2季	62	508.32	34,341

## 20種升幅最大之創業板股份

2016年第2季

順次	股份代號	股份	收市價		升幅
			2016年6月底	2016年3月底	
1	08270	中國煤層氣	0.227	0.066	243.94
2	08109	科瑞控股	0.480	0.144	233.33
3	08233	中國基建港口	1.870	0.610	206.56
4	08345	火岩控股	5.900	2.330	153.22
5	08265	宏峰太平洋	1.880	0.798 A	135.59
6	08305	均安控股	0.960	0.415	131.33
7	08318	正利控股	5.630	2.590	117.37
8	08051	千里眼控股	0.950	0.450	111.11
9	08012	環宇物流(亞洲)	1.670	0.870	91.95
10	08242	宏創高科	0.370	0.200	85.00
11	08027	吉輝控股	5.850	3.280	78.35
12	08215	FIRST CREDIT	0.242	0.138	75.36
13	08310	大豐港	1.350	0.810	66.67
14	08340	域高金融	1.610	1.050	53.33
15	08055	中國網絡教育	0.330	0.216	52.78
16	08060	國聯通信	0.190	0.126	50.79
17	08127	雲裳衣	8.000	5.310	50.66
18	08159	輝煌科技	0.600	0.400	50.00
19	08078	中國3D數碼	0.840	0.570	47.37
20	08009	華夏能源控股	0.171	0.118	44.92

A 經調整



# 創業板 股份

## 20種跌幅最大之創業板股份

2016年第2季

順次	股份代號	股份	收市價		跌幅
			2016年6月底	2016年3月底	
1	08173	萬亞企業控股	0.031	0.161 A	-80.75
2	08186	同仁資源	0.036	0.140 A	-74.29
3	08147	匯思太平洋	0.510	1.300	-60.77
4	08315	冠輝集團控股	0.100	0.249	-59.84
5	08003	世大控股	0.091	0.218	-58.26
6	08046	恒芯中國	0.131	0.270	-51.48
7	08316	柏榮集團控股	3.200	6.550	-51.15
8	08156	眾彩股份	0.300	0.600	-50.00
9	08088	滙友資本	0.126	0.215	-41.40
10	08192	環球能源資源	0.036	0.061	-40.98
11	08369	立基工程控股	0.178	0.285	-37.54
12	08179	新煮意控股	0.233	0.370 A	-37.03
13	08148	奧栢中國	0.154	0.241 A	-36.10
14	08143	華夏醫療	0.410	0.640	-35.94
15	08269	富譽控股	0.050	0.077	-35.06
16	08171	中國趨勢	0.015	0.023	-34.78
17	08163	萬德金融	0.100	0.153	-34.64
18	08245	安悅國際控股	7.000	10.680	-34.46
19	08290	亞勢備份	0.102	0.151	-32.45
20	08295	卓亞資本	0.485	0.690	-29.71

A 經調整

# 創業板 股份

## 20種成交最活躍創業板股份(金額)

2016年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08195	L&A INTL HOLD	3,705.80	11.76
2	08078	中國3D數碼	1,211.32	3.84
3	08318	正利控股	1,156.75	3.67
4	08109	科瑞控股	959.54	3.04
5	08051	千里眼控股	882.42	2.80
6	08046	恒芯中國	833.39	2.64
7	08359	HYPEBEAST	808.17	2.56
8	08315	冠輝集團控股	700.67	2.22
9	08215	FIRST CREDIT	609.36	1.93
10	08326	同景新能源	498.53	1.58
11	08189	泰達生物	478.63	1.52
12	08088	滙友資本	462.53	1.47
13	08207	中國信貸	459.03	1.46
14	08340	域高金融	446.43	1.42
15	08233	中國基建港口	443.27	1.41
16	08279	亞博科技控股	432.62	1.37
17	08193	漢華專業服務	424.51	1.35
18	08346	創新電子控股	392.88	1.25
19	08089	華人策略控股	391.00	1.24
20	08125	中持基業	390.20	1.24
<b>總額</b>			<b>15,687.04</b>	<b>49.77</b>

# 創業板 股份

## 20種成交最活躍創業板股份(股數)

2016年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	08186	同仁資源	15,846.63	14.69
2	08171	中國趨勢	9,994.85	9.26
3	08315	冠輝集團控股	5,275.32	4.89
4	08046	恒芯中國	3,869.54	3.59
5	08173	萬亞企業控股	3,414.11	3.16
6	08215	FIRST CREDIT	3,154.60	2.92
7	08359	HYPEBEAST	3,134.82	2.91
8	08195	L&A INTL HOLD	2,521.34	2.34
9	08088	滙友資本	2,499.86	2.32
10	08238	惠陶集團	2,473.14	2.29
11	08081	互娛中國	2,351.27	2.18
12	08109	科瑞控股	2,294.51	2.13
13	08087	中國三三傳媒	2,193.44	2.03
14	08006	華泰瑞銀	2,113.07	1.96
15	08078	中國3D數碼	1,614.68	1.50
16	08179	新煮意控股	1,599.24	1.48
17	08369	立基工程控股	1,412.01	1.31
18	08192	環球能源資源	1,244.90	1.15
19	08140	揚科集團	1,222.48	1.13
20	08047	宇恒供應鏈	1,041.57	0.97
總數			69,271.36	64.20

# 創業板 股份

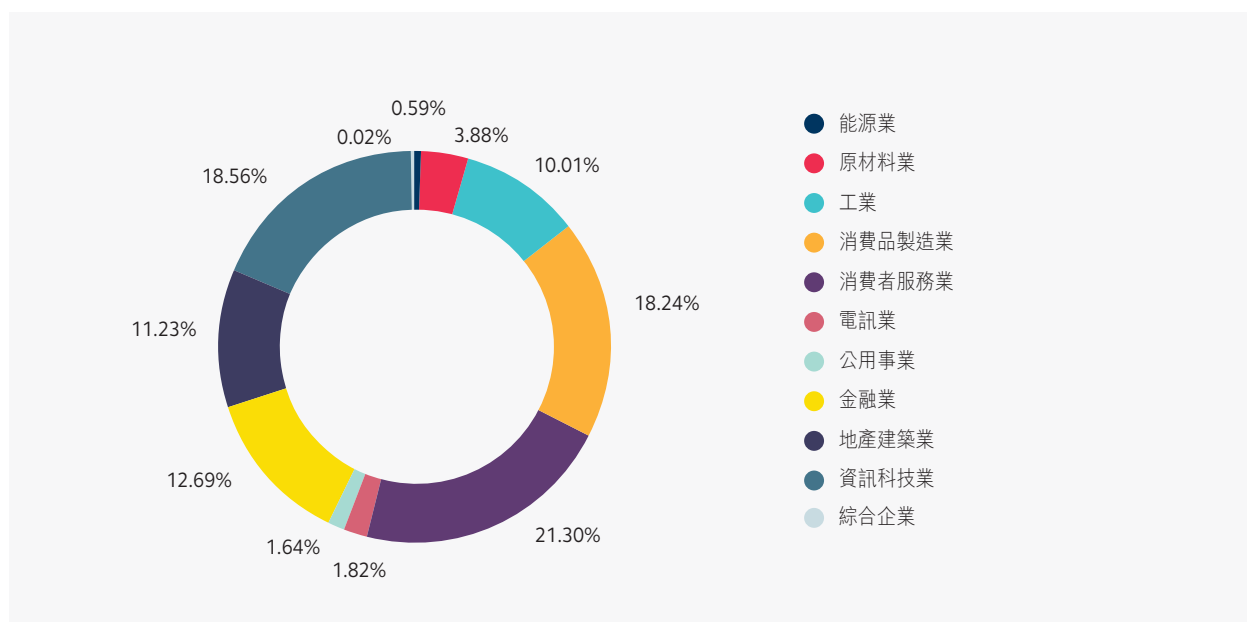
## 創業板公司市價總值

截至季末

		百萬港元
2015	第2季	326,139.64
	第3季	224,157.68
	第4季	258,175.74
2016	第1季	248,978.34
	第2季	266,315.62

## 恒生行業分類系統之證券市價總值\*－創業板

季末數字



\* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

# 創業板 股份

## 50間市價總值最大之上市公司－創業板

截至2016年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08207	中國信貸控股有限公司	12,360.00	4.64
2	08195	樂亞國際控股有限公司	9,825.50	3.69
3	08279	亞博科技控股有限公司	9,172.97	3.44
4	08127	雲裳衣控股有限公司	8,000.00	3.00
5	08138	北京同仁堂國藥有限公司	7,692.95	2.89
6	08217	聯旺集團控股有限公司	7,463.04	2.80
7	08368	中國創意控股有限公司	7,332.00	2.75
8	08008	新意網集團有限公司	6,432.97	2.42
9	08032	非凡中國控股有限公司	5,862.13	2.20
10	08318	正利控股有限公司	5,630.00	2.11
11	08158	中國再生醫學國際有限公司	5,101.73	1.92
12	08005	裕興科技投資控股有限公司	4,647.94	1.75
13	08057	麥迪森酒業控股有限公司	4,640.00	1.74
14	08137	洪橋集團有限公司	4,481.24	1.68
15	08021	匯隆控股有限公司	4,468.49	1.68
16	08097	鼎石資本有限公司	4,468.10	1.68
17	08237	華星控股有限公司	4,467.20	1.68
18	08346	創新電子控股有限公司	4,434.00	1.66
19	08167	中國新電信集團有限公司	3,668.61	1.38
20	08261	海天水電國際有限公司	3,471.68	1.30
21	08245	安悅國際控股有限公司	3,360.00	1.26
22	08078	中國3D數碼娛樂有限公司	3,219.52	1.21
23	08099	中國優材(控股)有限公司	3,010.00	1.13
24	08326	同景新能源集團控股有限公司	2,900.00	1.09
25	08265	宏峰太平洋集團有限公司	2,882.98	1.08
26	08147	匯思太平洋集團控股有限公司	2,666.18	1.00
27	08316	柏榮集團(控股)有限公司	2,560.00	0.96
28	08068	新宇環保集團有限公司	2,482.79	0.93
29	08233	中國基建港口有限公司	2,462.90	0.92
30	08027	吉輝控股有限公司	2,340.00	0.88
31	08193	漢華專業服務有限公司	2,331.82	0.88
32	08361	中國育兒網絡控股有限公司	2,258.30	0.85
33	08262	宏強控股有限公司	2,184.00	0.82
34	08083	中國創新支付集團有限公司	2,182.34	0.82
35	08325	中國支付通集團控股有限公司	2,024.83	0.76
36	08029	太陽國際資源有限公司	2,017.53	0.76
37	08155	南華資產控股有限公司	2,012.13	0.76
38	08276	山東羅欣藥業集團股份有限公司－H股	1,928.64	0.72
39	08030	匯聯金融服務控股有限公司	1,869.30	0.70
40	08102	利寶閣集團有限公司	1,824.00	0.68
41	08172	拉近網娛集團有限公司	1,801.47	0.68
42	08310	大豐港和順科技股份有限公司	1,738.80	0.65
43	08295	卓亞資本有限公司	1,721.99	0.65
44	08246	北方新能源控股有限公司	1,699.47	0.64
45	08016	長虹佳華控股有限公司	1,687.40	0.63
46	08176	航空互聯集團有限公司	1,637.23	0.61
47	08090	中國融保金融集團有限公司	1,595.58	0.60
48	08267	藍港互動集團有限公司	1,586.13	0.60
49	08077	昆侖國際金融集團有限公司	1,565.59	0.59
50	08189	天津泰達生物醫學工程股份有限公司－H股	1,516.78	0.57
<b>總值</b>			<b>188,688.25</b>	<b>70.85</b>
<b>市場總值</b>			<b>266,315.62</b>	<b>100.00</b>

# 創業板 股份

## 新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2015	第2季	5	404.40
	第3季	7	777.74
	第4季	13	931.94
2016	第1季	6	344.80
	第2季	9	801.32

## 創業板新上市公司

2016年第2季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08359	Hypebeast Ltd.	2016/04/11	2,000,000,000	65.00
08319	思博系統控股有限公司	2016/04/12	800,000,000	50.00
08217	聯旺集團控股有限公司	2016/04/12	1,248,000,000	81.12
08251	優越集團控股有限公司	2016/04/19	400,000,000	30.00
08346	創新電子控股有限公司	2016/05/18	600,000,000	79.50
08187	永駿國際控股有限公司	2016/05/30	480,000,000	60.00
08161	醫匯集團有限公司	2016/05/31	1,040,000,000	70.20
08280	中國數字視頻控股有限公司	2016/06/27	620,000,000	294.50
08102	利寶閣集團有限公司	2016/06/30	800,000,000	71.00
<b>總額</b>				<b>801.32</b>

## 除牌上市公司－創業板

2016年第2季

股份代號	公司名稱	最後買賣日期	除牌日期
NIL			

## 創業板公司名稱更改

2016年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
08326	JC Group Holdings Ltd.	同景新能源集團控股有限公司	2016/04/05	2016/05/16
08173	寰亞礦業有限公司	萬亞企業控股有限公司	2016/04/11	2016/05/31
08068	新宇國際實業(集團)有限公司	新宇環保集團有限公司	2016/05/06	2016/06/01

# 創業板 股份

## 創業板紅股／紅利認股權證

2016年第2季

股份代號	公司	詳情	除淨日
08081	互娛中國文化科技投資有限公司	10送2	2016/05/11

## 創業板股份拆細及合併

2016年第2季

股份代號	公司	詳情	生效日期
08173	萬亞企業控股有限公司	8股合併為1股	2016/04/11
08018	匯財金融投資控股有限公司	10股合併為1股	2016/04/19
08265	宏峰太平洋集團有限公司	1股分拆為5股	2016/05/04
08261	海天水電國際有限公司	1股分拆為4股	2016/05/17
08246	北方新能源控股有限公司	1股分拆為8股	2016/05/20
08100	智易控股有限公司	5股合併為1股	2016/05/24
08006	中國華泰瑞銀控股有限公司	20股合併為1股	2016/06/10
08186	同仁資源有限公司	10股合併為1股	2016/06/22
08195	樂亞國際控股有限公司	1股分拆為5股	2016/06/23
08179	新煮意控股有限公司	10股合併為1股	2016/06/28

## 創業板供股／公開售股

2016年第2季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
4月	08120	中國神農投資有限公司	公開售股2供1，每股供0.10港元	19.73
	08109	科瑞控股有限公司	公開售股2供5，每股供0.12港元 (10股合併為1股後)	102.71
5月	08173	萬亞企業控股有限公司	股權1供8，每股供0.112港元 (8股合併為1股後)	283.33
	08148	奧栢中國集團有限公司	公開售股2供1，每股供0.24港元	60.48
	08086	DX.com控股有限公司	股權1供1，每股供0.128港元	86.07
	08018	匯財金融投資控股有限公司	股權2供1，每股供0.324港元 (10股合併為1股後)	77.76
	08112	Focus Media Network Ltd.	股權1供5，每股供0.068港元	130.00
	08186	同仁資源有限公司	股權1供10，每股供0.01港元	262.40
<b>總額</b>				<b>1,022.48</b>

# 創業板 股份

## 股份配售\*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)	
2016						
4月	08175	中國數碼文化	312,500,000	0.4800	150.00	
	08212	譽滿國際控股	44,376,000	0.2500	11.09	
	08060	國聯通信	1,000,000,000	0.0800	80.00	
	08227	海天天綫控股	92,000,000	0.3300	30.36	
	08163	萬德金融	125,000,000	0.1190	14.88	
	08256	金利通	27,000,000	0.1000	2.70	
	08250	絲路能源	1,046,260,000	0.1950	204.02	
	5月	08206	神通電信服務	361,000,000	0.5000	180.50
08166		中國農業生態	420,000,000	0.1000	42.00	
08178		中國信息科技	1,830,792,000	0.1300	238.00	
08109		科瑞控股	68,460,000	0.1450	9.93	
08310		大豐港	168,000,000	0.5800	97.44	
08059		朝威控股	90,000,000	0.7200	64.80	
08011		百田石油	60,000,000	0.4140	24.84	
08260		銀合控股	25,000,000	0.6400	16.00	
6月		08212	譽滿國際控股	53,248,000	0.2250	11.98
		08030	匯聯金融服務	200,000,000	0.9450	189.00
	08163	萬德金融	270,000,000	0.0920	24.84	
	08256	金利通	11,000,000	0.1160	1.28	
	08097	鼎石資本	110,000,000	0.5500	60.50	
	08011	百田石油	50,000,000	0.4140	20.70	
	08201	寶聯控股	300,000,000	0.0850	25.50	
	08082	仁智國際集團	138,000,000	0.1400	19.32	
	08226	中昱科技	227,500,000	0.1580	35.95	
	08260	銀合控股	30,000,000	0.6850	20.55	
<b>總額</b>					<b>1,576.17</b>	

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。



# 創業板 認股權證

## 認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2015	第2季	0	0.00	0.00
	第3季	1	41.31	18.74
	第4季	1	4.37	9.86
2016	第1季	2	2.64	99.62
	第2季	2	31.57	72.78

## 創業板新上市股份認股權證

2016年第2季

股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
Nil					
總額					-

# 股票市場指數

## 恒生指數



## 標準普爾／香港交易所大型股指數



## 標準普爾／香港交易所創業板指數



# 股票市場指數

## 香港股市指數

指數	2016年6月底 收市	2016年3月底 收市	升跌
標準普爾／香港交易所大型股指數	25732.40	25534.34	+ 0.78%
標準普爾／香港交易所創業板指數	400.97	422.76	- 5.15%
恒生指數	20794.37	20776.70	+ 0.09%
分類指數			
金融	26930.21	27125.40	- 0.72%
公用事業	52962.03	52843.39	+ 0.22%
地產	29566.15	28973.39	+ 2.05%
工商業	12636.24	12579.84	+ 0.45%
恒生香港中資企業指數	3624.86	3772.08	- 3.90%
恒生中國企業指數	8712.89	9003.25	- 3.23%

# 股票市場指數

## 世界指數

指數	2016年6月底 收市	2016年3月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	25732.40	25534.34	+ 0.78%
恒生指數	20794.37	20776.70	+ 0.09%
澳洲			
普通股指數	5310.41	5151.79	+ 3.08%
雅加達			
綜合指數	5016.65	4845.37	+ 3.53%
日本			
日經指數(225)	15575.92	16758.67	- 7.06%
韓國			
綜合指數	1970.35	1995.85	- 1.28%
吉隆坡			
綜合指數	1654.08	1717.58	- 3.70%
馬尼拉			
綜合指數	7796.25	7262.30	+ 7.35%
紐西蘭			
證交所指數(50)	6897.53	6752.42	+ 2.15%
上海			
A股指數	3066.50	3143.56	- 2.45%
B股指數	346.75	375.16	- 7.57%
深圳			
A股指數	2065.49	2000.02	+ 3.27%
B股指數	1100.25	1144.26	- 3.85%
新加坡			
海峽時報指數	2840.93	2840.90	+ 0.00%
台灣			
台北加權股份指數	8666.58	8744.83	- 0.89%
泰國			
SET指數	1444.99	1407.70	+ 2.65%
紐約			
杜瓊斯工業平均指數	17929.99	17685.09	+ 1.38%
Nasdaq綜合指數	4842.67	4869.85	- 0.56%
多倫多			
S&P/TSX綜合指數	14064.54	13494.36	+ 4.23%
德國			
DAX Kursindex	4713.91	4980.74	- 5.36%
倫敦			
金融時報100指數	6504.33	6174.90	+ 5.33%

# 衍生產品市場 期貨及期權

## 合約成交量

衍生產品	合約張數	
	2016年第2季	2015年第2季
恒生指數期貨	8,405,492	4,892,457
恒生指數期權	2,405,701	2,107,749
小型恒生指數期貨	3,282,211	2,535,820
小型恒生指數期權	343,509	301,902
H股指數期貨	8,563,874	9,273,172
H股指數期權	4,405,132	3,609,899
小型H股指數期貨	1,196,358	2,257,351
自訂條款恒生指數期權	560	0
自訂條款H股指數期權	4,264	17,730
恒指股息點指數期貨	7,862	3,271
恒生國企股息點指數期貨	137,628	19,946
恒指波幅指數期貨	55	235
中華交易服務中國120指數期貨	130	9,403
中華交易服務博彩業指數期貨 <sup>1</sup>	0	-
恒生中國內地石油及天然氣指數期貨 <sup>2</sup>	0	-
恒生中國內地銀行指數期貨 <sup>3</sup>	74	-
恒生中國內地醫療保健指數期貨 <sup>4</sup>	0	-
恒生中國內地地產指數期貨 <sup>5</sup>	0	-
恒生資訊科技器材指數期貨 <sup>6</sup>	0	-
恒生軟件服務指數期貨 <sup>7</sup>	0	-
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	50,158	273,812
股票期權	18,146,397	31,431,575
三個月港元利率期貨	0	0
一個月港元利率期貨	0	0
三年期外匯基金債券期貨 <sup>8</sup>	-	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	80,661	34,390
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 <sup>9</sup>	21	-
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 <sup>10</sup>	0	-
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 <sup>11</sup>	0	-
人民幣貨幣期貨—人民幣(香港)兌美元期貨 <sup>12</sup>	321	-
黃金期貨 <sup>13</sup>	-	-
倫敦鋁期貨小型合約	734	2,054
倫敦鋅期貨小型合約	7,049	3,450
倫敦銅期貨小型合約	921	5,973
倫敦鉛期貨小型合約 <sup>14</sup>	4	-
倫敦鎳期貨小型合約 <sup>15</sup>	2,314	-
倫敦錫期貨小型合約 <sup>16</sup>	5	-
<b>總數</b>	<b>47,041,435</b>	<b>56,780,189</b>

<sup>1</sup> 中華交易服務博彩業指數期貨由2016年5月09日開始買賣

<sup>2</sup> 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣

<sup>3</sup> 恒生中國內地銀行指數期貨由2016年5月09日開始買賣

<sup>4</sup> 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣

<sup>5</sup> 恒生中國內地地產指數期貨由2016年5月09日開始買賣

<sup>6</sup> 恒生資訊科技器材指數期貨由2016年5月09日開始買賣

<sup>7</sup> 恒生軟件服務指數期貨由2016年5月09日開始買賣

<sup>8</sup> 三年期外匯基金債券期貨由2015年9月14日停止買賣

<sup>9</sup> 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣

<sup>10</sup> 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣

<sup>11</sup> 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣

<sup>12</sup> 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣

<sup>13</sup> 黃金期貨由2015年5月27日停止買賣

<sup>14</sup> 倫敦鉛期貨小型合約由2015年12月14日開始買賣

<sup>15</sup> 倫敦鎳期貨小型合約由2015年12月14日開始買賣

<sup>16</sup> 倫敦錫期貨小型合約由2015年12月14日開始買賣

## 衍生產品市場 期貨及期權

### 恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第2季	4,892,457	120,329
	第3季	5,212,839	102,582
	第4季	7,209,070	97,118
2016年	第1季	8,344,875	105,887
	第2季	8,405,492	104,573

### 小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第2季	2,535,820	10,316
	第3季	3,064,596	7,431
	第4季	2,611,709	7,556
2016年	第1季	3,087,080	9,138
	第2季	3,282,211	10,857

### 恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第2季	2,107,749	202,300
	第3季	2,093,981	257,268
	第4季	1,622,372	172,564
2016年	第1季	2,255,893	243,225
	第2季	2,405,701	226,187

### H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第2季	9,273,172	317,137
	第3季	9,576,380	349,891
	第4季	7,894,548	384,767
2016年	第1季	9,089,155	357,894
	第2季	8,563,874	366,688

### 股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2015年	第2季	30,404.09	31,431,575	7,635,003
	第3季	22,706.73	23,674,156	6,679,004
	第4季	9,781.44	15,195,091	4,827,678
2016年	第1季	11,846.68	17,289,771	5,368,449
	第2季	8,723.16	18,146,397	5,550,885

## 衍生產品市場 期貨及期權

### 各期權類別之期權金總額

2016年第2季

期權類別		期權金額 (百萬港元)	佔市場百分比
X安碩A50中國	A50	455.45	5.22%
安徽海螺水泥股份	ACC	29.01	0.33%
友邦保險	AIA	303.77	3.48%
中國鋁業	ALC	3.11	0.04%
華夏滬深三百	AMC	142.21	1.63%
交通銀行	BCM	17.13	0.20%
東亞銀行	BEA	9.48	0.11%
百麗國際	BIH	15.47	0.18%
中銀香港	BOC	36.21	0.42%
比亞迪股份	BYD	23.51	0.27%
中國交通建設	CCC	71.93	0.82%
中國中煤能源	CCE	8.46	0.10%
中國遠洋	CCS	1.10	0.01%
中國信達	CDA	3.76	0.04%
中廣核電力	CGN	4.14	0.05%
中國移動	CHT	381.83	4.38%
中國聯通	CHU	40.74	0.47%
中信股份	CIT	18.96	0.22%
長和	CKH	186.40	2.14%
長實地產	CKP	15.73	0.18%
中國人壽	CLI	605.46	6.94%
中電控股	CLP	29.01	0.33%
招商銀行	CMB	79.42	0.91%
中國海洋石油	CNC	192.33	2.20%
中國海外發展	COL	150.18	1.72%
國泰航空	CPA	2.27	0.03%
中國石油化工股份	CPC	108.10	1.24%
中國太保	CPI	63.03	0.72%
中國鐵建	CRC	4.32	0.05%
中國中鐵	CRG	4.02	0.05%
華潤置地	CRL	30.95	0.35%
X標智滬深300	CS3	0.05	0.00%
南方A50	CSA	558.80	6.41%
中國神華	CSE	54.13	0.62%
中信銀行	CTB	15.03	0.17%
中國電信	CTC	18.24	0.21%
中信証券	CTS	17.91	0.21%
東風集團股份	DFM	4.06	0.05%
思捷環球	ESP	0.21	0.00%
富智康集團	FIH	0.21	0.00%
銀河娛樂	GLX	140.74	1.61%
長城汽車	GWM	13.42	0.15%
海通證券	HAI	73.91	0.85%
恒生H股ETF	HCF	15.11	0.17%
電能實業	HEH	53.79	0.62%
香港交易所	HEX	837.59	9.60%
恒安國際	HGN	14.12	0.16%
匯豐控股	HKB	817.65	9.37%
香港中華煤氣	HKG	6.83	0.08%
恒基地產	HLD	23.44	0.27%
華能國際電力股份	HNP	27.45	0.31%
恒生銀行	HSB	22.85	0.26%
江西銅業	JXC	18.03	0.21%
昆侖能源	KLE	22.74	0.26%
金山軟件	KSO	22.20	0.25%
聯想集團	LEN	66.09	0.76%
利豐	LIF	12.17	0.14%
蒙牛乳業	MEN	4.06	0.05%

## 衍生產品市場 期貨及期權

期權類別		期權金額 (百萬港元)	佔市場百分比
美高梅中國	MGM	0.62	0.01%
民生銀行	MSB	74.00	0.85%
港鐵公司	MTR	9.83	0.11%
中國建材	NBM	9.32	0.11%
新華保險	NCL	22.59	0.26%
新世界發展	NWD	7.91	0.09%
中國平安	PAI	517.24	5.93%
中國石油股份	PEC	141.67	1.62%
中國人民財產保險	PIC	25.62	0.29%
中國人民保險集團	PIN	7.45	0.09%
保利協鑫能源	PLE	1.99	0.02%
富力地產	RFP	1.01	0.01%
金沙中國有限公司	SAN	90.64	1.04%
新鴻基地產	SHK	313.70	3.60%
渣打集團	STC	16.65	0.19%
太古股份公司A	SWA	19.09	0.22%
騰訊控股	TCH	862.95	9.89%
盈富基金	TRF	12.89	0.15%
九龍倉集團	WHL	51.76	0.59%
中國旺旺	WWC	2.72	0.03%
農業銀行	XAB	117.23	1.34%
中國銀行	XBC	102.81	1.18%
建設銀行	XCC	224.06	2.57%
工商銀行	XIC	171.62	1.97%
兗州煤業股份	YZC	15.57	0.18%
紫金礦業	ZJM	3.88	0.04%
<b>總額</b>		<b>8,723.16</b>	<b>100.00%</b>



## 衍生產品市場 期貨及期權

### 各期權類別之合約成交量

2016年第2季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	319,788	1.76%
安徽海螺水泥股份	ACC	72,642	0.40%
友邦保險	AIA	347,707	1.92%
中國鋁業	ALC	11,315	0.06%
華夏滬深三百	AMC	83,825	0.46%
交通銀行	BCM	91,942	0.51%
東亞銀行	BEA	59,914	0.33%
百麗國際	BIH	47,923	0.26%
中銀香港	BOC	113,672	0.63%
比亞迪股份	BYD	21,392	0.12%
中國交通建設	CCC	190,563	1.05%
中國中煤能源	CCE	28,241	0.16%
中國遠洋	CCS	6,681	0.04%
中國信達	CDA	6,739	0.04%
中廣核電力	CGN	4,210	0.02%
中國移動	CHT	507,582	2.80%
中國聯通	CHU	73,073	0.40%
中信股份	CIT	37,196	0.20%
長和	CKH	236,640	1.30%
長實地產	CKP	11,406	0.06%
中國人壽	CLI	693,333	3.82%
中電控股	CLP	44,970	0.25%
招商銀行	CMB	252,915	1.39%
中國海洋石油	CNC	606,948	3.34%
中國海外發展	COL	130,315	0.72%
國泰航空	CPA	5,104	0.03%
中國石油化工股份	CPC	249,648	1.38%
中國太保	CPI	79,846	0.44%
中國鐵建	CRC	16,117	0.09%
中國中鐵	CRG	17,468	0.10%
華潤置地	CRL	28,403	0.16%
X標智滬深300	CS3	214	0.00%
南方A50	CSA	472,244	2.60%
中國神華	CSE	241,309	1.33%
中信銀行	CTB	95,496	0.53%
中國電信	CTC	63,379	0.35%
中信証券	CTS	26,661	0.15%
東風集團股份	DFM	6,985	0.04%
思捷環球	ESP	3,457	0.02%
富智康集團	FIH	1,049	0.01%
銀河娛樂	GLX	213,239	1.18%
長城汽車	GWM	111,913	0.62%
海通證券	HAI	66,313	0.37%
恒生H股ETF	HCF	13,189	0.07%
電能實業	HEH	93,658	0.52%
香港交易所	HEX	1,915,228	10.55%
恒安國際	HGN	18,528	0.10%
匯豐控股	HKB	1,554,513	8.57%
香港中華煤氣	HKG	25,260	0.14%
恒基地產	HLD	22,563	0.12%
華能國際電力股份	HNP	49,784	0.27%
恒生銀行	HSB	113,109	0.62%
江西銅業	JXC	37,305	0.21%
昆侖能源	KLE	24,725	0.14%
金山軟件	KSO	37,041	0.20%
聯想集團	LEN	134,312	0.74%
利豐	LIF	27,740	0.15%
蒙牛乳業	MEN	9,675	0.05%

## 衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
美高梅中國	MGM	2,873	0.02%
民生銀行	MSB	94,146	0.52%
港鐵公司	MTR	31,877	0.18%
中國建材	NBM	27,842	0.15%
新華保險	NCL	13,285	0.07%
新世界發展	NWD	27,055	0.15%
中國平安	PAI	1,062,960	5.86%
中國石油股份	PEC	307,797	1.70%
中國人民財產保險	PIC	34,544	0.19%
中國人民保險集團	PIN	9,297	0.05%
保利協鑫能源	PLE	4,912	0.03%
富力地產	RFP	4,812	0.03%
金沙中國有限公司	SAN	257,160	1.42%
新鴻基地產	SHK	141,475	0.78%
渣打集團	STC	132,637	0.73%
太古股份公司A	SWA	28,190	0.16%
騰訊控股	TCH	2,520,175	13.89%
盈富基金	TRF	41,797	0.23%
九龍倉集團	WHL	42,488	0.23%
中國旺旺	WWC	11,882	0.07%
農業銀行	XAB	92,273	0.51%
中國銀行	XBC	804,601	4.43%
建設銀行	XCC	1,441,377	7.94%
工商銀行	XIC	1,188,583	6.55%
兗州煤業股份	YZC	27,846	0.15%
紫金礦業	ZJM	18,106	0.10%
<b>總數</b>		<b>18,146,397</b>	<b>100.00%</b>

## 結算及交收

### 經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年/月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2015	第2季	122,363	72.45
	第3季	101,225	58.26
	第4季	89,652	47.71
2016	第1季	85,208	47.09
	第2季	81,388	47.01

### 經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年/月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2015	第2季	716	231.32
	第3季	390	193.07
	第4季	267	61.49
2016	第1季	249	129.91
	第2季	272	102.77

### 經中央結算系統存管的股份

(按季度結存統計)

年/月	股數 (10億股)	佔獲納入 中央結算系統的		市值 (10億港元)	佔獲納入 中央結算系統的	
		證券的已發行股數 (%)	證券的市價總值 (%)			
2015	第2季	4,952.17	73.78	17,891.63	57.24	
	第3季	5,397.98	74.44	14,074.11	56.13	
	第4季	5,467.21	74.50	15,071.15	56.58	
2016	第1季	5,558.03	74.67	14,395.88	56.61	
	第2季	5,694.08	73.49	14,309.49	49.13	

股數及市價總值包括非港元證券

## 結算及交收

### 經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年/月	買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2015 第2季	1,864,260	100	242,342.57	100	158,049.69	100
第3季	1,449,054	100	226,605.64	100	98,705.62	100
第4季	1,106,299	100	210,829.38	100	70,729.28	100
2016 第1季	1,196,563	100	221,073.88	100	72,576.98	100
第2季	1,030,032	100	191,095.88	100	62,524.66	100

### 以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2015 第2季	119,864	96.54	51,102.24	88.81
第3季	85,219	96.81	30,285.88	93.26
第4季	72,118	96.46	24,746.99	93.92
2016 第1季	69,511	96.91	24,993.76	94.24
第2季	66,419	96.57	23,875.24	93.49

### 以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月	交收效率(%)	
	到期交收日	到期交收日翌日
2015 第2季	99.96	99.99
第3季	99.93	99.99
第4季	99.92	99.99
2016 第1季	99.92	99.99
第2季	99.92	99.99

# 結算及交收

## 季末未平倉合約

衍生產品	合約張數	
	2016年第2季	2015年第2季
恒生指數期貨	104,573	120,329
恒生指數期權	226,187	202,300
小型恒生指數期貨	10,857	10,316
小型恒生指數期權	8,813	7,438
H股指數期貨	366,688	317,137
H股指數期權	2,069,046	1,354,820
小型H股指數期貨	4,920	9,041
自訂條款恒生指數期權	2,975	100
自訂條款H股指數期權	12,683	35,290
恒指股息點指數期貨	7,081	5,081
恒生國企股息點指數期貨	172,653	53,425
恒指波幅指數期貨	7	13
中華交易服務中國120指數期貨	11	197
中華交易服務博彩業指數期貨 <sup>1</sup>	0	-
恒生中國內地石油及天然氣指數期貨 <sup>2</sup>	0	-
恒生中國內地銀行指數期貨 <sup>3</sup>	5	-
恒生中國內地醫療保健指數期貨 <sup>4</sup>	0	-
恒生中國內地地產指數期貨 <sup>5</sup>	0	-
恒生資訊科技器材指數期貨 <sup>6</sup>	0	-
恒生軟件服務指數期貨 <sup>7</sup>	0	-
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	5,648	27,123
股票期權	5,550,885	7,635,003
三個月港元利率期貨	0	30
一個月港元利率期貨	0	0
三年期外匯基金債券期貨 <sup>8</sup>	-	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	27,819	7,583
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 <sup>9</sup>	11	-
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 <sup>10</sup>	0	-
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 <sup>11</sup>	0	-
人民幣貨幣期貨—人民幣(香港)兌美元期貨 <sup>12</sup>	174	-
黃金期貨 <sup>13</sup>	-	-
倫敦鋁期貨小型合約	76	136
倫敦鋅期貨小型合約	178	284
倫敦銅期貨小型合約	70	121
倫敦鉛期貨小型合約 <sup>14</sup>	0	-
倫敦鎳期貨小型合約 <sup>15</sup>	37	-
倫敦錫期貨小型合約 <sup>16</sup>	0	-
<b>總數</b>	<b>8,571,397</b>	<b>9,785,767</b>

## 結算及交收

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- 1 中華交易服務博彩業指數期貨由2016年5月09日開始買賣
- 2 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣
- 3 恒生中國內地銀行指數期貨由2016年5月09日開始買賣
- 4 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣
- 5 恒生中國內地地產指數期貨由2016年5月09日開始買賣
- 6 恒生資訊科技器材指數期貨由2016年5月09日開始買賣
- 7 恒生軟件服務指數期貨由2016年5月09日開始買賣
- 8 三年期外匯基金債券期貨由2015年9月14日停止買賣
- 9 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣
- 10 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣
- 11 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣
- 12 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣
- 13 黃金期貨由2015年5月27日停止買賣
- 14 倫敦鉛期貨小型合約由2015年12月14日開始買賣
- 15 倫敦鎳期貨小型合約由2015年12月14日開始買賣
- 16 倫敦錫期貨小型合約由2015年12月14日開始買賣

# 結算及交收

## 各期權類別之未平倉合約

2016年第2季末

期權類別		未平倉合約	佔市場百分比
X安碩A50中國	A50	104,696	1.89
安徽海螺水泥股份	ACC	31,295	0.56
友邦保險	AIA	87,953	1.58
中國鋁業	ALC	4,613	0.08
華夏滬深三百	AMC	16,226	0.29
交通銀行	BCM	39,470	0.71
東亞銀行	BEA	9,720	0.18
百麗國際	BIH	9,670	0.17
中銀香港	BOC	38,108	0.69
比亞迪股份	BYD	9,131	0.16
中國交通建設	CCC	46,500	0.84
中國中煤能源	CCE	12,820	0.23
中國遠洋	CCS	3,844	0.07
中國信達	CDA	3,624	0.07
中廣核電力	CGN	1,414	0.03
中國移動	CHT	98,982	1.78
中國聯通	CHU	45,400	0.82
中信股份	CIT	18,043	0.33
長和	CKH	54,842	0.99
長實地產	CKP	3,597	0.06
中國人壽	CLI	240,636	4.34
中電控股	CLP	7,690	0.14
招商銀行	CMB	122,023	2.20
中國海洋石油	CNC	212,202	3.82
中國海外發展	COL	42,173	0.76
國泰航空	CPA	2,023	0.04
中國石油化工股份	CPC	123,212	2.22
中國太保	CPI	31,407	0.57
中國鐵建	CRC	6,054	0.11
中國中鐵	CRG	3,675	0.07
華潤置地	CRL	14,245	0.26
X標智滬深300	CS3	147	0.00
南方A50	CSA	97,002	1.75
中國神華	CSE	51,959	0.94
中信銀行	CTB	43,727	0.79
中國電信	CTC	32,041	0.58
中信証券	CTS	7,748	0.14
東風集團股份	DFM	1,928	0.03
思捷環球	ESP	2,410	0.04
富智康集團	FIH	359	0.01
銀河娛樂	GLX	39,940	0.72
長城汽車	GWM	35,874	0.65
海通證券	HAI	24,915	0.45

## 結算及交收

期權類別		未平倉合約	佔市場百分比
恒生H股ETF	HCF	3,069	0.06
電能實業	HEH	13,359	0.24
香港交易所	HEX	379,502	6.84
恒安國際	HGN	3,140	0.06
匯豐控股	HKB	416,018	7.49
香港中華煤氣	HKG	5,814	0.10
恒基地產	HLD	6,319	0.11
華能國際電力股份	HNP	12,806	0.23
恒生銀行	HSB	59,283	1.07
江西銅業	JXC	8,478	0.15
昆侖能源	KLE	7,175	0.13
金山軟件	KSO	11,260	0.20
聯想集團	LEN	70,448	1.27
利豐	LIF	7,595	0.14
蒙牛乳業	MEN	2,582	0.05
美高梅中國	MGM	323	0.01
民生銀行	MSB	66,681	1.20
港鐵公司	MTR	5,748	0.10
中國建材	NBM	10,654	0.19
新華保險	NCL	6,852	0.12
新世界發展	NWD	7,415	0.13
中國平安	PAI	448,479	8.08
中國石油股份	PEC	134,279	2.42
中國人民財產保險	PIC	14,068	0.25
中國人民保險集團	PIN	4,493	0.08
保利協鑫能源	PLE	2,502	0.05
富力地產	RFP	1,301	0.02
金沙中國有限公司	SAN	59,640	1.07
新鴻基地產	SHK	27,692	0.50
渣打集團	STC	21,157	0.38
太古股份公司A	SWA	9,165	0.17
騰訊控股	TCH	542,376	9.77
盈富基金	TRF	19,548	0.35
九龍倉集團	WHL	11,856	0.21
中國旺旺	WWC	3,740	0.07
農業銀行	XAB	40,158	0.72
中國銀行	XBC	417,944	7.53
建設銀行	XCC	389,436	7.02
工商銀行	XIC	499,065	8.99
兗州煤業股份	YZC	9,027	0.16
紫金礦業	ZJM	7,100	0.13
<b>總數</b>		<b>5,550,885</b>	<b>100.00</b>



# 交易所參與者統計

## 聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第2季	553 (962)	500 (900)	37 (46)	16 (16)
	第3季	556 (965)	505 (905)	35 (44)	16 (16)
	第4季	566 (975)	515 (915)	35 (44)	16 (16)
2016	第1季	575 (981)	526 (925)	33 (40)	16 (16)
	第2季	582 (985)	530 (924)	36 (45)	16 (16)

( ) 交易權數目

## 期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第2季	230 (254)	177 (199)	–	53 (55)
	第3季	230 (254)	177 (199)	–	53 (55)
	第4季	232 (256)	179 (201)	–	53 (55)
2016	第1季	230 (253)	177 (198)	–	53 (55)
	第2季	229 (252)	176 (197)	–	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

## 結算所參與者

### 期貨結算所參與者

年/月	結算參與者	全面結算參與者	
2015	第2季	150	9
	第3季	149	9
	第4季	151	9
2016	第1季	148	10
	第2季	148	9

### 聯交所期權結算所參與者

年/月	直接結算參與者	全面結算參與者	
2015	第2季	68	9
	第3季	67	9
	第4季	69	9
2016	第1季	66	10
	第2季	66	9

### 中央結算所參與者

年/月	直接結算參與者	全面結算參與者	非經紀參與者(*)	
2015	第2季	478	10	42
	第3季	482	10	43
	第4季	492	10	43
2016	第1季	503	9	43
	第2季	507	9	43

\* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

## 簡釋

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CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
L&I Products	槓桿及反向產品
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券