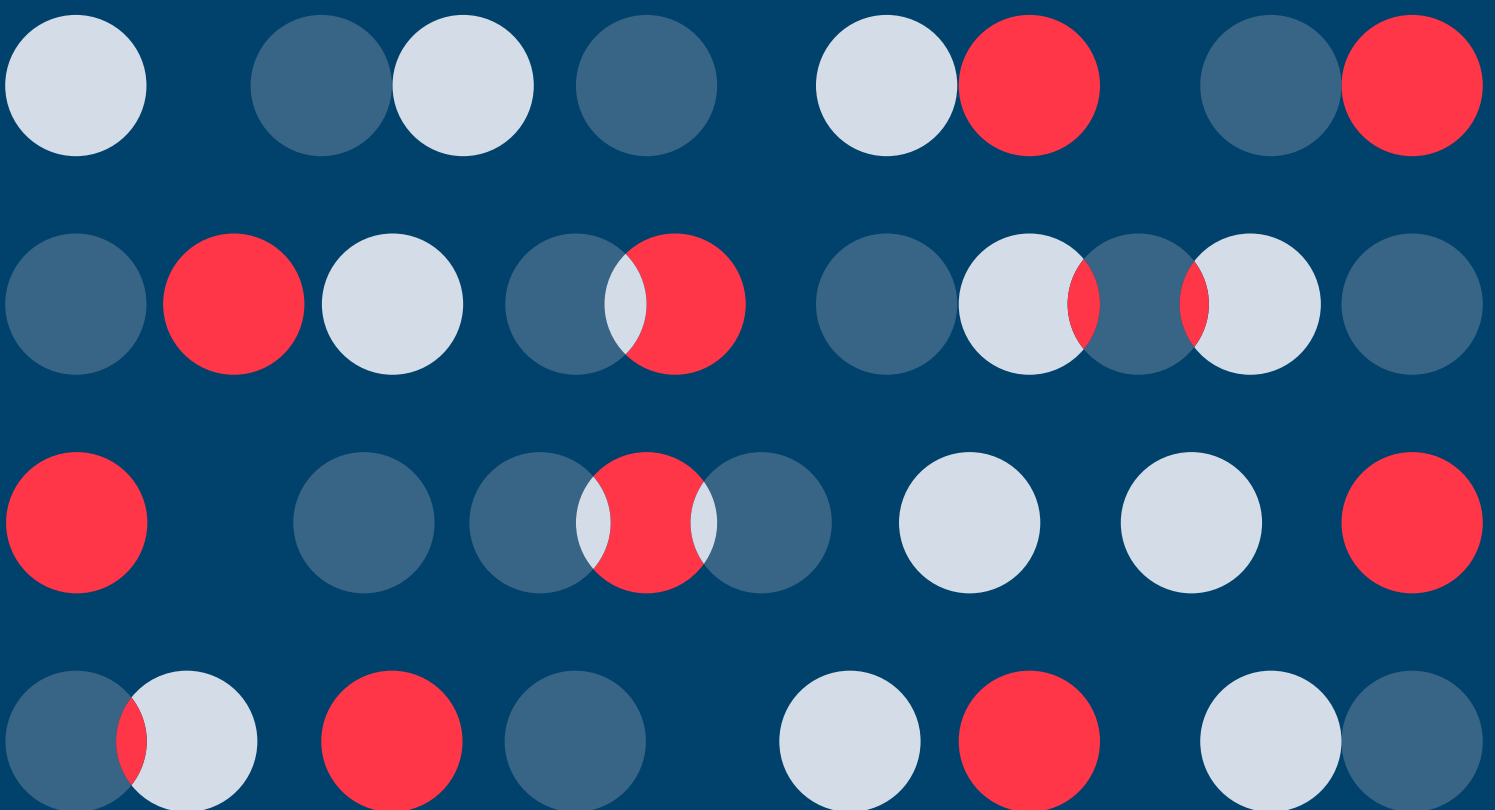


SECURITIES AND DERIVATIVES MARKETS QUARTERLY REPORT

Second Quarter 2016



MARKET HIGHLIGHTS

Main Board

Highlights	2nd Quarter 2016	2nd Quarter 2015
No. of listed companies	1,666	1,580
No. of listed securities	8,259	10,036
Equities		
Ordinary shares	1,667	1,581
Preference shares	8	6
Warrants	4,081	5,694
Equity	11	14
Derivative	4,070	5,680
Equity linked instrument	0	0
Callable bull/bear contracts	1,547	1,905
Unit trusts/mutual funds	159	142
Debt securities	797	708
No. of trading only stocks		
Nasdaq stocks	6	6
iShares	2	2
No. of trading days	62	60
Total turnover in value (HK\$ mil.) *	3,849,911.73	9,762,437.26
Total turnover in shares (Mil. shs)	11,737,412.54	14,360,683.21
Total number of trades (deals)	62,979,410	114,094,238
Total market capitalisation (HK\$ mil.)	22,796,551.70	28,754,383.61
Average P/E ratio (times)	9.75	11.84
Average dividend yield (%)	3.68	2.98
Number of exchange participants		
– Trading	530	500
– Non-trading	36	37
Turnover in value (HK\$ mil.)		
Highest	103,134.62 (31/05)	291,528.73 (09/04)
Lowest	43,757.44 (23/05)	90,576.17 (22/06)
Turnover in shares (Mil. shs)		
Highest	232,335.97 (21/04)	344,439.32 (28/05)
Lowest	72,005.11 (24/06)	120,657.90 (09/04)
Total number of trades (deals)		
Highest	1,410,077 (24/06)	3,247,002 (09/04)
Lowest	762,049 (23/05)	1,115,641 (22/06)

* Included turnover value traded in non-HKD currency securities.

MARKET HIGHLIGHTS

Growth Enterprise Market (GEM)

Highlights	2nd Quarter 2016	2nd Quarter 2015
No. of listed companies	236	213
No. of listed securities	238	213
Equities	236	213
Warrants	2	0
No. of trading days	62	60
Total turnover in value (HK\$ mil.) *	31,547.63	131,631.55
Total turnover in shares (Mil. shs)	110,826.11	254,155.08
Total number of trades (deals)	919,402	2,815,024
Total market capitalisation (HK\$ mil.)	266,315.62	326,139.64
Average P/E ratio (times)	59.25	84.72
Average dividend yield (%)	0.29	0.42
Turnover in value (HK\$ mil.)		
Highest	831.48 (13/04)	3,481.63 (26/05)
Lowest	304.58 (10/06)	1,196.33 (22/06)
Turnover in shares (Mil. shs)		
Highest	3,624.49 (31/05)	7,243.12 (26/05)
Lowest	918.67 (17/06)	2,012.50 (22/06)
Total number of trades (deals)		
Highest	23,074 (19/04)	68,883 (26/05)
Lowest	9,829 (10/06)	25,252 (22/06)

* Included turnover value traded in non-HKD currency securities.

MARKET HIGHLIGHTS

Derivatives Market Highlights

Highlights	2nd Quarter 2016	2nd Quarter 2015
All futures and options products (excluding stock options)		
No. of trading days	62.0	60.0
Total contract volume	28,895,038	25,348,614
Equity index products	28,752,850	25,028,935
Equity products	50,158	273,812
Interest rates products	0	0
RMB Currency Futures	81,003	34,390
Gold Futures #	-	0
London Metal Mini Futures	11,027	11,477
Average daily contract volume	466,049	422,477
Total open interest as at the quarter end (contracts)	3,020,512	2,150,764
Equity index products	2,986,499	2,115,487
Equity products	5,648	27,123
Interest rates products	0	30
RMB Currency Futures	28,004	7,583
Gold Futures #	-	-
London Metal Mini Futures	361	541

#Trading in Gold Futures suspended with effect from 27 May 2015

Stock options		
No. of newly introduced stock options classes	0	3
No. of stock options as at the quarter end		
Class	84	82
Series	29,594	26,326
Total premium (HK\$ mil.)	8,723.16	30,404.09
Call	3,835.51	17,659.76
Put	4,887.65	12,744.34
Average daily premium (HK\$ mil.)	140.70	506.73
Total contract volume	18,146,397	31,431,575
Call	8,909,775	17,336,691
Put	9,236,622	14,094,884
Average daily contract volume	292,684	523,860
Total open interest as at the quarter end (contracts)	5,550,885	7,635,003
Call	2,591,048	3,880,372
Put	2,959,837	3,754,631
Total number of trades	718,955	1,267,131
Average daily number of trades	11,596	21,119
Total contracts exercised	2,093,668	3,593,207
Average premium per contract (HK\$)	480.71	967.31
Average contract per trade (contracts)	25	25
Put volume/call volume	1.04	0.81
Average daily contract volume/open interest	5.3%	6.9%

All futures and options products		
Total contract volume	47,041,435	56,780,189
Total open interest as at the quarter end (contracts)	8,571,397	9,785,767

MARKET HIGHLIGHTS

Clearing and Settlement Statistics

Securities Market	2nd Quarter 2016	2nd Quarter 2015
Average Daily Exchange Trades Handled by CCASS		
- Number of trades	1,030,032	1,864,260
- Value of trades (HK\$bil.)	62.5	158.1
- Share quantity Involved (bil shares)	191.1	242.3
Average Daily Settlement Instructions ("SIs") Settled by CCASS		
- Number of SIs	81,388	122,363
- Value of SIs (HK\$bil.)	183.4	372.6
- Share quantity involved (bil. Shares)	47.0	72.5
Average Daily Investor SIs ("ISIs") Settled by CCASS		
- Number of ISIs	272	716
- Value of ISIs (HK\$mil.)	169.3	289.2
- Share quantity involved (mil. shares)	102.8	231.3
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.92	99.96
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
- Number of brokers involved	3	8
- Number of buy-ins	3	9
- Value of buy-ins (HK\$mil)	2.6	5.7
Shares Deposited in the CCASS Depository *		
- Number of shares (bil shares)	5,694.1	4,952.2
- Percentage of total issued shares of the admitted securities	73.49	73.78
- Value of shares (HK\$bil)	14,309.5	17,891.7
- Percentage of the total market capitalisation of the admitted securities	49.13	57.24
Derivatives Market		
Open Interest		
- Equity Index Futures	666,795	515,539
- Stock Futures	5,648	27,123
- Gold Futures ^	-	-
- Interest Rates Futures	0	30
- RMB Currency Futures	28,004	7,583
- London Metal Mini Futures	361	541
- Equity Index Options	2,319,704	1,599,948
- Stock Options	5,550,885	7,635,003
Cumulative Stock Options Exercised		
- Share quantity involved (mil. shs)	2,240	4,201
- Value of shares (HK\$bil.)	30	74

* Share quantity and market value of securities in non-HKD currency are included

^ Trading in Gold Futures suspended with effect from 27 May 2015

MAIN BOARD EQUITIES

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2015	Q2	2,486,785.70	6,796,873.72	94,261,256
	Q3	1,241,453.02	4,136,147.76	73,808,111
	Q4	944,007.06	2,888,836.92	56,185,286
2016	Q1	862,976.22	2,639,071.92	55,813,607
	Q2	781,041.26	2,563,892.49	50,058,306

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2015	Q2	60	113,281.23	72,107
	Q3	63	65,653.14	56,039
	Q4	63	45,854.55	51,416
2016	Q1	59	44,730.03	47,284
	Q2	62	41,353.10	51,218

MAIN BOARD EQUITIES

20 Most Advanced Stocks

for 2nd quarter 2016

Rank	Code	Stock	Closing price		% up
			End of Jun 2016	End of Mar 2016	
1	01130	CHINA ENV RES	0.570	0.210	171.43
2	02277	CHUN SING ENG	2.180	0.890	144.94
3	01532	CHINA PARTYTIME	1.200	0.530	126.42
4	01462	GOLD-FIN HLDG	5.480	2.490	120.08
5	00433	NORTH MINING	0.183	0.087	110.34
6	01082	HK EDU INTL	0.760	0.375	102.67
7	00975	MONGOL MINING	0.091	0.046	97.83
8	00860	O LUXE HOLD	0.600	0.315	90.48
9	01768	BRACELL	1.630	0.870	87.36
10	00988	LOUDONG GN RES	0.800	0.445	79.78
11	00474	HAO TIAN DEV	0.490	0.275	78.18
12	00607	FULLSHARE	3.440	1.950	76.41
13	02098	ZALL GROUP	4.190	2.380	76.05
14	06893	HINSANG GROUP	2.430	1.400	73.57
15	01315	VISION FAME	3.160	1.872	A 68.80
16	00835	ASIA COAL	0.167	0.100	67.00
17	00073	ASIAN CITRUS	0.800	0.480	66.67
18	01370	HENGSHIMINING	2.240	1.350	65.93
19	00732	TRULY INT'L	3.710	2.250	64.89
20	00540	SPEEDY GLOBAL	0.780	0.475	64.21

A Adjusted

20 Most Declined Stocks

for 2nd quarter 2016

Rank	Code	Stock	Closing price		% down
			End of Jun 2016	End of Mar 2016	
1	01143	CH HCARE ENT	0.290	0.875	A -66.86
2	00555	REXLOT HOLDINGS	0.153	0.440	-65.23
3	01321	CHINA NEWCITY	3.990	11.100	-64.05
4	00515	TC ORI LIGHT	0.238	0.653	A -63.55
5	00575	REGENT PAC-NEW	0.216	0.550	A -60.73
6	01250	BE CLEAN ENERGY	0.192	0.485	-60.41
7	00827	KO YO GROUP	0.143	0.355	-59.72
8	01459	JUJIANG CONS	2.160	5.000	-56.80
9	00009	NINE EXPRESS	0.435	0.990	-56.06
10	00274	C BILLION RES	0.035	0.078	A -55.13
11	00585	IMAGI INT'L-NEW	0.241	0.530	A -54.53
12	03344	ADDCHANCE	0.465	1.000	-53.50
13	01217	CH INNOVATION	0.047	0.100	A -53.00
14	00399	INNOVATIVE PHAR	0.530	1.100	-51.82
15	01225	LERADO FIN	0.068	0.137	-50.36
16	02211	UNI HEALTH	0.445	0.850	-47.65
17	00263	GT GROUP HLDG	0.300	0.570	-47.37
18	01808	ENTERPRISE DEV	0.375	0.700	A -46.43
19	00904	CHINA GREEN	0.154	0.285	-45.96
20	00997	CHINLINK INTL	0.330	0.610	-45.90

A Adjusted

MAIN BOARD EQUITIES

20 Most Active Stocks by Value

for 2nd quarter 2016

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00700	TENCENT	181,340.14	7.07
2	00005	HSBC HOLDINGS	104,341.72	4.07
3	00939	CCB	88,192.23	3.44
4	00941	CHINA MOBILE	79,544.26	3.10
5	01398	ICBC	70,477.32	2.75
6	00388	HKEX	66,207.97	2.58
7	02318	PING AN	62,972.76	2.46
8	01299	AIA	59,024.12	2.30
9	03988	BANK OF CHINA	55,014.82	2.15
10	02628	CHINA LIFE	48,388.60	1.89
11	00001	CKH HOLDINGS	46,322.97	1.81
12	00883	CNOOC	39,264.88	1.53
13	02328	PICC P&C	32,762.18	1.28
14	00386	SINOPEC CORP	31,349.23	1.22
15	00857	PETROCHINA	30,379.66	1.18
16	00016	SHK PPT	28,612.75	1.12
17	00688	CHINA OVERSEAS	25,711.98	1.00
18	03968	CM BANK	24,043.09	0.94
19	01928	SANDS CHINA LTD	23,037.52	0.90
20	00992	LENOVO GROUP	21,808.62	0.85
Total			1,118,796.83	43.64

20 Most Active Stock by Shares

for 2nd quarter 2016

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	00911	HANGFAT GINSENG	60,793.10	7.78
2	00261	CCT LAND	53,876.92	6.90
3	00939	CCB	17,838.10	2.28
4	03988	BANK OF CHINA	17,687.71	2.26
5	01398	ICBC	16,946.71	2.17
6	00555	REXLOT HOLDINGS	16,092.63	2.06
7	00364	PING SHAN TEA	9,827.34	1.26
8	00575	REGENT PAC-NEW	8,162.23	1.05
9	00985	NETMIND FIN	7,685.06	0.98
10	01288	ABC	7,236.91	0.93
11	00493	GOME	6,973.02	0.89
12	00981	SMIC	6,945.05	0.89
13	00619	SOUTH CHINA FIN	6,721.98	0.86
14	00471	CMMB VISION	6,550.62	0.84
15	00386	SINOPEC CORP	5,923.92	0.76
16	00857	PETROCHINA	5,666.44	0.73
17	00875	CHINA FIN INV	5,614.22	0.72
18	01733	WINSWAY	5,519.34	0.71
19	01076	IMPERIAL PAC	5,219.13	0.67
20	01246	NGAISHUN H-NEW	5,186.03	0.66
Total			276,466.44	35.40

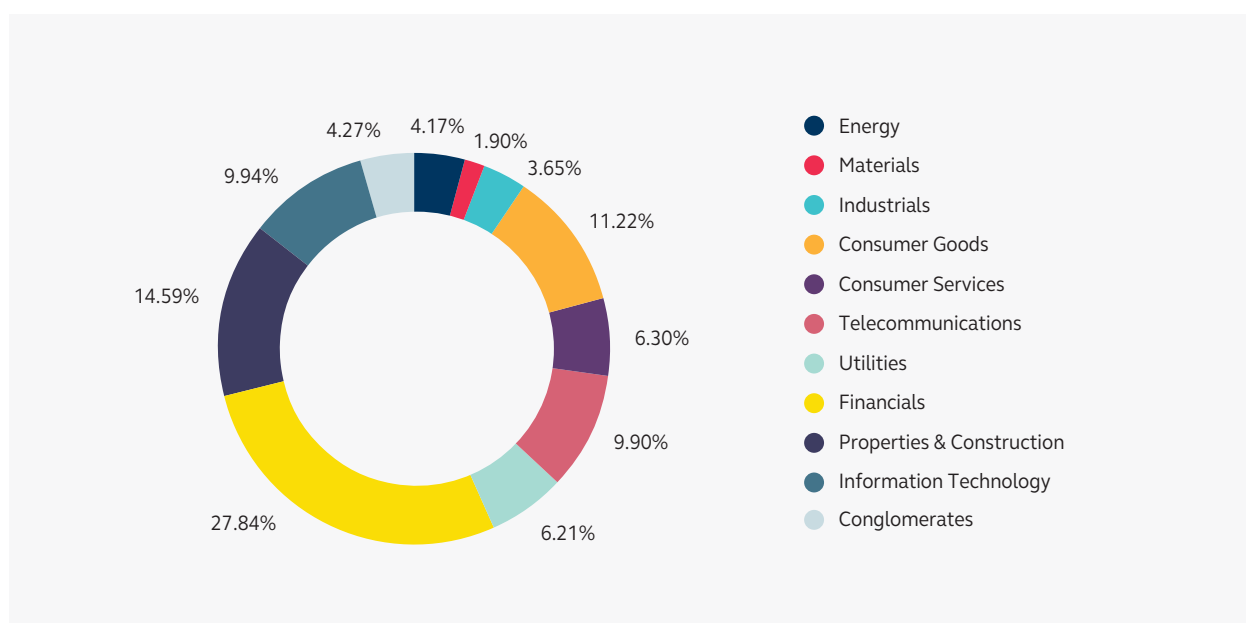
MAIN BOARD EQUITIES

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2015	Q2	28,754,383.61
	Q3	22,965,987.58
	Q4	24,425,554.96
2016	Q1	23,195,583.34
	Q2	22,796,551.70

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

MAIN BOARD EQUITIES

50 Leading Companies by Market Capitalisation

as at the 2nd quarter end 2016

Rank	Code	Company	Market capitalisation		
			(HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,815,151.56	7.96	
2	00700	Tencent Holdings Ltd.	1,656,694.80	7.27	
3	00939	China Construction Bank Corporation – H Shares	1,230,936.68	5.40	
4	00005	HSBC Holdings plc	938,128.93	4.12	
5	01299	AIA Group Ltd.	558,491.95	2.45	
6	00883	CNOOC Ltd.	429,508.53	1.88	
7	01398	Industrial and Commercial Bank of China Ltd. – H Shares	372,346.45	1.63	
8	02378	Prudential plc *	–	336,048.13	N.A.
9	00267	CITIC Ltd.	328,138.16	1.44	
10	00001	CK Hutchison Holdings Ltd.	326,335.82	1.43	
11	00016	Sun Hung Kai Properties Ltd.	269,384.24	1.18	
12	03988	Bank of China Ltd. – H Shares	258,392.83	1.13	
13	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	254,334.75	1.12	
14	00011	Hang Seng Bank Ltd.	252,936.79	1.11	
15	02388	BOC Hong Kong (Holdings) Ltd.	245,288.50	1.08	
16	00688	China Overseas Land & Investment Ltd.	241,584.24	1.06	
17	00066	MTR Corporation Ltd.	230,507.67	1.01	
18	00388	Hong Kong Exchanges and Clearing Ltd.	228,384.11	1.00	
19	01928	Sands China Ltd.	209,414.93	0.92	
20	00945	Manulife Financial Corporation *	–	205,947.82	N.A.
21	00805	Glencore plc *	–	204,256.44	N.A.
22	00002	CLP Holdings Ltd.	199,589.60	0.88	
23	00762	China Unicom (Hong Kong) Ltd.	192,055.59	0.84	
24	02888	Standard Chartered PLC	188,424.34	0.83	
25	01113	Cheung Kong Property Holdings Ltd.	186,122.10	0.82	
26	00003	Hong Kong and China Gas Co. Ltd., The	179,818.98	0.79	
27	01038	Cheung Kong Infrastructure Holdings Ltd.	176,800.09	0.78	
28	03328	Bank of Communications Co., Ltd. – H Shares	171,208.01	0.75	
29	00012	Henderson Land Development Co. Ltd.	158,409.02	0.69	
30	00006	Power Assets Holdings Ltd.	151,639.29	0.67	
31	00386	China Petroleum & Chemical Corporation – H Shares	142,875.26	0.63	
32	00004	Wharf (Holdings) Ltd., The	142,306.73	0.62	
33	01109	China Resources Land Ltd.	125,450.01	0.55	
34	00019	Swire Pacific Ltd.	125,182.82	0.55	
35	02628	China Life Insurance Co. Ltd. – H Shares	123,672.33	0.54	
36	01972	Swire Properties Ltd.	120,217.50	0.53	
37	00857	PetroChina Co. Ltd. – H Shares	111,613.18	0.49	
38	00027	Galaxy Entertainment Group Ltd.	98,103.82	0.43	
39	00288	WH Group Ltd.	89,062.40	0.39	
40	01288	Agricultural Bank of China Ltd. – H Shares	86,990.87	0.38	
41	00656	Fosun International Ltd.	86,121.75	0.38	
42	06823	HKT Ltd.	84,652.08	0.37	
43	02018	AAC Technologies Holdings Inc.	80,863.80	0.35	
44	00023	Bank of East Asia, Ltd., The	79,957.98	0.35	
45	03968	China Merchants Bank Co., Ltd. – H Shares	79,606.23	0.35	
46	01044	Hengan International Group Co. Ltd.	78,482.31	0.34	
47	00083	Sino Land Co. Ltd.	78,060.11	0.34	
48	02799	China Huarong Asset Management Co., Ltd. – H Shares	76,634.19	0.34	
49	00270	Guangdong Investment Ltd.	73,784.82	0.32	
50	00017	New World Development Co. Ltd.	73,696.63	0.32	
Total			13,407,362.77	58.81	
Market Total			22,796,551.70	100.00	

Notes:

* not included in Market Total

MAIN BOARD EQUITIES

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Market turnover (HK\$ mil.) *	% of market total
2015	Q2	812,595.30	9,894,068.82	8.21
	Q3	673,434.03	6,395,260.35	10.53
	Q4	467,982.84	4,529,285.89	10.33
2016	Q1	529,347.58	4,288,073.96	12.34
	Q2	488,227.56	3,881,459.36	12.58

* Included Market total for Main Board and GEM

Short Selling (share)

		Short selling turnover (Mil. shs)	Market turnover (Mil. shs) *	% of market total
2015	Q2	66,878.15	14,614,838.29	0.46
	Q3	63,796.36	14,314,202.23	0.45
	Q4	45,874.78	13,299,980.60	0.34
2016	Q1	54,180.01	13,043,825.48	0.42
	Q2	53,429.40	11,848,238.65	0.45

* Included Market total for Main Board and GEM

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2015	Q2	16	2	108,838.86
	Q3	19	7	26,086.55
	Q4	39	2	105,769.28
2016	Q1	13	1	29,916.67
	Q2	11	0	12,489.33

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

MAIN BOARD EQUITIES

Newly Listed Companies

for 2nd quarter 2016

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
01419	Human Health Holdings Ltd.	2016/04/01	361,502,000	121.69
01570	Weiye Holdings Ltd.	2016/04/06	196,133,152	-
01496	AP Rentals Holdings Ltd.	2016/04/08	864,000,000	113.40
01469	Get Nice Financial Group Ltd.	2016/04/08	2,500,000,000	507.55
01982	Nameson Holdings Ltd.	2016/04/12	2,075,000,000	690.00
01243	Wang On Properties Ltd.	2016/04/12	1,520,000,000	349.60
02738	Huajin International Holdings Ltd.	2016/04/15	600,000,000	357.00
03626	Hang Sang (Siu Po) International Holding Co. Ltd.	2016/05/18	184,000,000	62.56
01585	Yadea Group Holdings Ltd.	2016/05/19	3,000,000,000	1,290.00
02588	BOC Aviation Ltd.	2016/06/01	694,010,334	8,744.53
01420	Chuan Holdings Ltd.	2016/06/08	1,037,500,000	253.00
Total				12,489.33

MAIN BOARD EQUITIES

Withdrawal of Listed Companies

for 2nd quarter 2016

Code	Company name	Last trading date	Delisted date
03386	Dongpeng Holdings Co. Ltd.	2016/06/14	2016/06/23

MAIN BOARD EQUITIES

Company Name Changes

for 2nd quarter 2016

Code	Old name	New name	Effective date	Adoption date
01037	Daiwa Associate Holdings Ltd.	Maxnerva Technology Services Ltd.	2016/03/02	2016/04/15
01178	Vitop Bioenergy Holdings Ltd.	Vitop Group Ltd.	2016/03/18	2016/04/15
00404	Hsin Chong Construction Group Ltd.	Hsin Chong Group Holdings Ltd.	2016/03/14	2016/04/20
01462	Nga Chun Holdings Co. Ltd.	Gold-Finance Holdings Ltd.	2016/04/01	2016/04/21
01332	China Optoelectronics Holding Group Co., Ltd.	China Opto Holdings Ltd.	2016/03/30	2016/04/22
00577	Louis XIII Holdings Ltd.	The 13 Holdings Ltd.	2016/03/28	2016/05/03
00419	China Jiu hao Health Industry Corporation Ltd.	Huayi Tencent Entertainment Co. Ltd.	2016/04/22	2016/05/13
00009	Cheung Wo International Holdings Ltd.	Nine Express Ltd.	2016/04/07	2016/05/19
00616	Easyknit Enterprises Holdings Ltd.	Eminence Enterprise Ltd.	2016/03/04	2016/06/01
01678	China Creative Home Group Ltd.	China Creative Global Holdings Ltd.	2016/05/11	2016/06/03
00164	REX Global Entertainment Holdings Ltd.	China Baoli Technologies Holdings Ltd.	2016/04/26	2016/06/03
00985	CST Mining Group Ltd.	NetMind Financial Holdings Ltd.	2016/05/17	2016/06/06
01822	E-Rental Car Co. Ltd.	HongDa Financial Holding Ltd.	2016/05/12	2016/06/08
03966	Mastercraft International Holdings Ltd.	China Baofeng (International) Ltd.	2016/05/12	2016/06/10
03377	Sino-Ocean Land Holdings Ltd.	Sino-Ocean Group Holding Ltd.	2016/05/26	2016/06/14
02098	Zall Development Group Ltd.	Zall Group Ltd.	2016/05/20	2016/06/15
00777	NetDragon Websoft Inc.	NetDragon Websoft Holdings Ltd.	2016/05/23	2016/06/17
00668	Dowell Property Holdings Ltd.	Doyen International Holdings Ltd.	2016/06/10	2016/06/20
00583	SCMP Group Ltd.	Armada Holdings Ltd.	2016/04/05	2016/06/20
00230	Minmetals Land Ltd. #	Minmetals Land Ltd.	2016/05/31	2016/06/24
01803	ASR Logistics Holdings Ltd.	Beijing Sports and Entertainment Industry Group Ltd.	2016/05/25	2016/06/30
00953	Meike International Holdings Ltd.	Shaw Brothers Holdings Ltd.	2016/05/11	2016/06/30

Change of Chinese name only

MAIN BOARD EQUITIES

Bonus Issues/Bonus Warrants

for 2nd quarter 2016

Code	Company	Particulars	Ex-date
00131	Cheuk Nang (Holdings) Ltd.	1 wt for 35	2016/04/07
00265	Orient Victory China Holdings Ltd.	1 wt for 7	2016/04/18
01733	Winsway Enterprises Holdings Ltd.	9 for 3 rts shares	2016/05/19
00771	Automated Systems Holdings Ltd.	1 for 10	2016/05/23
02009	BBMG Corporation – H Shares	10 for 10	2016/05/24
02342	Comba Telecom Systems Holdings Ltd.	1 for 10	2016/05/31
00599	E. Bon Holdings Ltd.	1 for 1	2016/06/01
00012	Henderson Land Development Co. Ltd.	1 for 10	2016/06/06
00003	Hong Kong and China Gas Co. Ltd., The	1 for 10	2016/06/10
02341	EcoGreen International Group Ltd.	2 for 10	2016/06/13
01223	Symphony Holdings Ltd.	1 wt for 5	2016/06/14
00096	Yusei Holdings Ltd.	1 for 1	2016/06/27
01389	Major Holdings Ltd.	3 for 2	2016/06/28

Share Split/Consolidation

for 2nd quarter 2016

Code	Company	Particulars	Effective date
01143	China Healthcare Enterprise Group Ltd.	Split 1 into 10	2016/04/01
00055	Neway Group Holdings Ltd.	Consolidation 10 into 1	2016/04/05
00397	Jun Yang Financial Holdings Ltd.	Consolidation 10 into 1	2016/04/06
00274	China Billion Resources Ltd.	Consolidation 2 into 1	2016/04/19
01027	China Jicheng Holdings Ltd.	Split 1 into 5	2016/04/26
01315	Vision Fame International Holding Ltd.	Split 1 into 5	2016/05/03
03638	Goldenmars Technology Holdings Ltd.	Split 1 into 12	2016/05/06
00704	Husoke Resources Holdings Ltd.	Consolidation 5 into 1	2016/05/12
00735	China Power New Energy Development Co. Ltd.	Consolidation 10 into 1	2016/05/13
01733	Winsway Enterprises Holdings Ltd.	Consolidation 20 into 1	2016/05/18
00070	Neptune Group Ltd.	Consolidation 10 into 1	2016/05/23
02212	Future Bright Mining Holdings Ltd.	Split 1 into 10	2016/05/27
00585	Imagi International Holdings Ltd.	Consolidation 5 into 1	2016/05/31
00575	Regent Pacific Group Ltd.	Consolidation 10 into 1	2016/06/10
01246	Ngai Shun Holdings Ltd.	Consolidation 25 into 1	2016/06/15
00471	CMMB Vision Holdings Ltd.	Consolidation 10 into 1	2016/06/21
01808	Enterprise Development Holdings Ltd.	Consolidation 10 into 1	2016/06/30

MAIN BOARD EQUITIES

Rights Issues & Open Offers

for 2nd quarter 2016

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Apr	00527	China Ruifeng Renewable Energy Holdings Ltd.	Open offer 1 for 5 @\$0.75	224.89
	00471	CMMB Vision Holdings Ltd.	Rts 1 for 1 @\$0.10 with bonus 1 for 1 rts sh	625.32
	00298	Chuang's China Investments Ltd.	Rts 1 for 2 @\$0.28	226.96
	01613	Synertone Communication Corporation	Rts 1 for 1 @\$0.125 (after consolidation 5 into 1)	209.30
	00275	Hanny Holdings Ltd.	Rts 8 for 1 @\$0.25 (after consolidation 10 into 1)	1,123.71
May	00959	Amax International Holdings Ltd.	Open offer 1 for 2 @\$0.20	32.79
	00393	Glorious Sun Enterprises Ltd.	Rts 1 for 2 @\$0.90	460.83
	00186	Grande Holdings Ltd., The	Open offer 5 for 2 @\$0.087	100.10
	00274	China Billion Resources Ltd.	Open offer 2 for 1 @\$0.03	157.06
	00058	Sunway International Holdings Ltd.	Open offer 3 for 2 @\$0.08	209.49
Jun	01217	China Innovation Investment Ltd.	Open offer 1 for 2 @\$0.05	213.36
	01733	Winsway Enterprises Holdings Ltd.	Rts 3 shs and 9 Anti-dilution shs for 1 consolidated sh @HKD 0.69 (after consolidation 20 into 1)	390.53
	00070	Neptune Group Ltd.	Open offer 1 for 2 @\$0.42 (after consolidation 10 into 1)	96.94
	02343	Pacific Basin Shipping Ltd.	Rts 1 for 1 @\$0.60	1,168.09
	01192	Titan Petrochemicals Group Ltd.	Open offer 1 for 3 @\$0.10	260.69
	02178	Petro-king Oilfield Services Ltd.	Rts 3 for 10 @\$0.31	123.52
Total				5,623.58

MAIN BOARD EQUITIES

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)	
2016						
Apr	00863	BRANDINGCHINA	4,960,885	2.4200	12.01	
	01194	C PRECIOUSMETAL	3,404,845,782	0.2300	783.11	
	00362	C ZENITH CHEM	138,000,000	0.3150	43.47	
	00164	CHINA BAOLI TEC	25,000,000,000	0.0320	800.00	
	00875	CHINA FIN INV	719,696,968	0.0990	71.25	
	00875	CHINA FIN INV	420,000,000	0.0980	41.16	
	00479	CIL HOLDINGS	602,500,000	0.1000	60.25	
	00707	CO-PROSPERITY	900,000,000	0.2000	180.00	
	01808	ENTERPRISE DEV	696,540,000	0.0570	39.70	
	06108	NEW RAY MEDIC	57,840,000	0.4000	23.14	
	00850	PETROASIAN	1,333,332,000	0.1300	173.33	
	00243	QPL INT'L	875,000,000	0.3200	280.00	
	00953	SHAW BROTHERS	235,000,000	1.0000	235.00	
	01080	SHENGLI PIPE	545,727,600	0.2800	152.80	
	02012	SUNSHINE OIL	88,234,000	0.3400	30.00	
	01046	UNIVERSE INT'L	29,625,000	0.7790	23.08	
	00710	VARITRONIX INTL	400,000,000	3.5000	1,400.00	
	May	01338	BAWANG GROUP	250,000,000	0.3100	77.50
		06828	BLUE SKY PWR	2,155,555,555	0.4500	970.00
		01381	CANVEST ENV	34,235,294	3.4000	116.40
02312		CH FIN LEASING	50,000,000	0.5800	29.00	
01380		CHI KINGSTONE	1,080,000,000	0.1000	108.00	
00711		CHINA CITY CONS	72,000,000	1.2500	90.00	
01130		CHINA ENV RES	282,856,352	0.1650	46.67	
00717		EMPEROR CAPITAL	380,000,000	0.6600	250.80	
00108		GR PROPERTIES	105,578,910	1.0000	105.58	
00911		HANGFAT GINSENG	40,000,000,000	0.0100	400.00	
00033		HARMONIC STR	1,000,000,000	0.2000	200.00	
00197		HENG TAI	150,000,000	0.3400	51.00	
00397		JUN YANG FIN	391,800,000	0.2380	93.25	
00663		KING STONE ENGY	3,000,000,000	0.1390	417.00	
01499		LEAP HLDGS GP	168,000,000	0.5000	84.00	
00254		NUR HOLDINGS	496,000,000	0.1650	81.84	
01020		SINOREF HLDGS	409,000,000	0.1660	67.89	
01141		SKYWAY SEC	1,450,000,000	0.1800	261.00	
01166		SOLARTECH INT'L	326,560,000	0.1950	63.68	
00223		SRGL	60,000,000	0.1640	9.84	
00365	SUN EAST TECH	730,000,000	0.4000	292.00		
01070	TCL MULTIMEDIA	348,850,000	6.5000	2,267.53		
00539	VICTORY CITY	500,000,000	0.5200	260.00		
03331	VINDA INT'L	30,000,000	13.2500	397.50		

MAIN BOARD EQUITIES

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
	01305	WAI CHI HOLD	16,825,000	2.0000	33.65
	00183	WINFULL GP	420,000,000	0.1500	63.00
	00185	ZH INT'L HOLD	795,400,000	0.2000	159.08
Jun	00030	BAN LOONG HOLD	190,110,000	0.0900	17.11
	00996	CARNIVAL GROUP	725,000,000	0.9500	688.75
	02349	CH CITY INFRA	262,000,000	0.5000	131.00
	03311	CHINA STATE CON	431,824,639	11.1400	4,810.53
	02277	CHUN SING ENG	206,000,000	0.9000	185.40
	00080	CNEWECON FUND	185,436,000	0.1400	25.96
	01240	CNQC INT'L	110,000,000	2.4500	269.50
	00547	DIGITAL DOMAIN	700,000,000	0.5000	350.00
	02662	FITTEC	108,734,000	1.1000	119.61
	01175	FU JI CATERING	450,510,000	0.5400	243.28
	00905	GLOBAL M CAP	84,000,000	0.4200	35.28
	06893	HINSANG GROUP	280,000,000	1.1800	330.40
	02226	HONWORLD GP	60,000,000	6.0000	360.00
	00585	IMAGI INT'L-NEW	505,352,508	0.2300	116.23
	01495	JIYIHOUSEHOLD	72,000,000	0.7800	56.16
	00798	OVU	2,941,469,917	0.8000	2,353.18
	00482	SANDMARTIN INTL	75,000,000	0.4000	30.00
	01616	SILVERMAN HL	88,105,000	2.5000	220.26
	00059	SKYFAME REALTY	400,000,000	0.4800	192.00
	01430	SUCHUANG GAS	36,688,000	2.5500	93.55
	02012	SUNSHINE OIL	58,871,000	0.3400	20.02
	02012	SUNSHINE OIL	53,333,333	0.7500	40.00
	00515	TC ORI LIGHT	106,147,960	0.1980	21.02
	00334	TCL DISPLAY	258,224,000	0.6200	160.10
	01192	TITAN PETROCHEM	2,600,000,000	0.1000	260.00
Total					22,473.84

* Due to the reporting time-lag, placing figures for the quarter are provisional.

MAIN BOARD WARRANTS

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2015	Q2	1,727.22	1,721,585.57	1,723,312.78
	Q3	723.63	1,090,657.45	1,091,381.08
	Q4	242.28	794,250.41	794,492.69
2016	Q1	92.83	915,484.74	915,577.57
	Q2	64.04	677,965.49	678,029.53

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2015	Q2	14	4,383.11	5,680	197,036.57	5,694	201,419.68
	Q3	13	2,472.60	5,633	84,057.90	5,646	86,530.49
	Q4	12	1,836.69	4,590	60,433.79	4,602	62,270.48
2016	Q1	12	1,444.55	4,184	58,444.35	4,196	59,888.90
	Q2	11	1,018.90	4,070	51,518.27	4,081	52,537.17

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2015	Q2	2	2,124	68,662.15
	Q3	1	1,552	46,018.14
	Q4	2	1,162	27,608.36
2016	Q1	2	1,276	31,890.47
	Q2	2	1,045	21,652.05

Newly Listed Equity Warrants

for 2nd quarter 2016

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
01457	Cheuk Nang (Holdings) Ltd. Warrants 2017	60,837,230	2016/04/25	2017/04/22	-
01479	Orient Victory China Holdings Ltd. Warrants 2017	305,852,970	2016/05/05	2017/05/03	-
Total					-

MAIN BOARD WARRANTS

Newly Listed Derivative Warrants

for 2nd quarter 2016

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
27075	Credit Suisse AG – CKI Holdings European Warrants Oct 2016 A	30,000,000	2016/04/01	2016/10/04	12.90
27073	Credit Suisse AG – Ch Overseas European Warrants Oct 2016 A	50,000,000	2016/04/01	2016/10/04	12.50
27071	Credit Suisse AG – HKEX European Warrants Feb 2017 A	150,000,000	2016/04/01	2017/02/28	22.50
27072	Credit Suisse AG – HKEX European Warrants Mar 2017 A	150,000,000	2016/04/01	2017/03/03	37.50
27070	Credit Suisse AG – Tencent Holdings European Wts Dec 2016 B	150,000,000	2016/04/01	2016/12/30	22.50
27059	HK Bank – CGS European Warrants Nov 2016 A	80,000,000	2016/04/01	2016/11/30	12.00
27064	HK Bank – China Life European Warrants Oct 2016 A	80,000,000	2016/04/01	2016/10/31	12.00
27060	HK Bank – China Life European Warrants Dec 2016 B	80,000,000	2016/04/01	2016/12/30	20.00
27062	HK Bank – China Mobile European Warrants Sep 2016 A	50,000,000	2016/04/01	2016/09/30	15.00
27061	HK Bank – Ping An European Warrants Sep 2016 D	50,000,000	2016/04/01	2016/09/30	15.00
27082	J P Morgan SP BV – BOCL European Warrants Feb 2017 A	100,000,000	2016/04/01	2017/02/01	25.10
27078	J P Morgan SP BV – China Mob European Wts Oct 2016 B	100,000,000	2016/04/01	2016/10/03	29.00
27076	J P Morgan SP BV – HSCEI European Wts Nov 2016 A	200,000,000	2016/04/01	2016/11/29	50.00
27077	J P Morgan SP BV – HSI European Warrants Oct 2016 D	300,000,000	2016/04/01	2016/10/28	75.90
27081	J P Morgan SP BV – Kingsoft European Warrants Oct 2016 A	60,000,000	2016/04/01	2016/10/03	15.18
27080	J P Morgan SP BV – Tencent European Warrants Apr 2017 A	100,000,000	2016/04/01	2017/04/03	15.20
27058	Macquarie Bank Ltd. – Tencent European Warrants Oct 2016 F	34,000,000	2016/04/01	2016/10/04	10.03
27065	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Oct 2016 C	100,000,000	2016/04/01	2016/10/05	25.00
27066	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Mar 2017 A	100,000,000	2016/04/01	2017/03/03	25.50
27067	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2016 B	150,000,000	2016/04/01	2016/12/05	37.50
27068	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2017 A	150,000,000	2016/04/01	2017/06/05	37.50
27069	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2017 A	100,000,000	2016/04/01	2017/04/06	25.00
27094	BOCI Asia Ltd. – HKEX European Warrants Feb 2017 A	200,000,000	2016/04/05	2017/02/27	50.00
27095	BOCI Asia Ltd. – Ping An European Warrants Oct 2016 B	200,000,000	2016/04/05	2016/10/18	50.00
27096	Credit Suisse AG – Tencent Holdings European Wts Feb 2017 B	150,000,000	2016/04/05	2017/02/27	25.50
27098	Goldman Sachs SP (Asia) – Evergrande European Wts Oct 2016 A	70,000,000	2016/04/05	2016/10/17	10.50
27097	Goldman Sachs SP (Asia) – SHK Ppt European Put Wt Oct 2016 A	20,000,000	2016/04/05	2016/10/06	10.34
27093	HK Bank – Agricultural Bank European Warrants Feb 2017 A	80,000,000	2016/04/05	2017/02/28	12.00
27092	HK Bank – BOCL European Warrants Feb 2017 A	80,000,000	2016/04/05	2017/02/28	12.00
27090	HK Bank – Sinopec Corp European Warrants Oct 2016 A	60,000,000	2016/04/05	2016/10/04	24.00
27091	HK Bank – Kingsoft European Warrants Dec 2016 A	50,000,000	2016/04/05	2016/12/30	15.00
27121	Credit Suisse AG – CGS European Warrants Dec 2016 A	70,000,000	2016/04/06	2016/12/02	10.50
27126	Credit Suisse AG – CITIC Sec European Warrants Oct 2016 A	70,000,000	2016/04/06	2016/10/05	17.50
27125	Credit Suisse AG – CITIC Sec European Warrants Dec 2016 A	70,000,000	2016/04/06	2016/12/30	17.50
27128	Credit Suisse AG – CITIC Sec European Put Warrants Oct 2016B	60,000,000	2016/04/06	2016/10/05	15.00
27123	Credit Suisse AG – GF Sec European Warrants Oct 2016 A	50,000,000	2016/04/06	2016/10/05	12.50
27131	Goldman Sachs SP (Asia) – Fosun Intl European Wts Feb 2017 A	60,000,000	2016/04/06	2017/02/28	15.00
27130	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Dec 2016 A	80,000,000	2016/04/06	2016/12/30	21.68
27129	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2016 G	200,000,000	2016/04/06	2016/07/28	30.40
27114	HK Bank – HSCEI European Put Warrants Oct 2016 A	180,000,000	2016/04/06	2016/10/28	27.00
27103	HK Bank – HSCEI European Put Warrants Dec 2016 D	180,000,000	2016/04/06	2016/12/29	28.80
27111	HK Bank – HSI European Put Warrants Sep 2016 H	180,000,000	2016/04/06	2016/09/29	27.00

MAIN BOARD WARRANTS

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
27112	HK Bank – HSI European Put Warrants Sep 2016 I	180,000,000	2016/04/06	2016/09/29	27.00
27110	HK Bank – Lenovo European Warrants Apr 2018 A	100,000,000	2016/04/06	2018/04/27	15.00
27109	HK Bank – PetroChina European Warrants Oct 2016 A	50,000,000	2016/04/06	2016/10/31	22.50
27099	SG Issuer – China Overseas European Warrants Jan 2017 A	40,000,000	2016/04/06	2017/01/05	10.00
27120	SG Issuer – CRRC European Warrants Jul 2017 A	100,000,000	2016/04/06	2017/07/05	25.00
27115	SG Issuer – Li & Fung European Warrants Dec 2016 A	25,000,000	2016/04/06	2016/12/05	10.00
27100	SG Issuer – Stanchart European Warrants Dec 2016 A	25,000,000	2016/04/06	2016/12/05	10.00
27152	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2017 A	100,000,000	2016/04/07	2017/12/27	18.00
27133	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Jul 2016 C	80,000,000	2016/04/07	2016/07/11	20.80
27157	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2016 A	200,000,000	2016/04/07	2016/10/28	50.20
27153	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2016 H	200,000,000	2016/04/07	2016/07/28	30.20
27139	HK Bank – BYD European Warrants Sep 2017 A	100,000,000	2016/04/07	2017/09/29	16.00
27138	HK Bank – China Railway European Warrants Oct 2017 A	100,000,000	2016/04/07	2017/10/30	15.00
27136	Haitong Int'l Sec – C Transmission European Wts Jan 2018 A	50,000,000	2016/04/07	2018/01/18	12.50
27134	Haitong Int'l Sec – Sinopec Corp European Wts Sep 2016 A	68,000,000	2016/04/07	2016/09/26	10.20
27137	Haitong Int'l Sec – Tencent European Warrants Apr 2017 A	80,000,000	2016/04/07	2017/04/03	12.00
27140	SG Issuer – CKH European Warrants Nov 2016 A	150,000,000	2016/04/07	2016/11/03	22.50
27141	SG Issuer – Galaxy Ent European Warrants Dec 2016 A	80,000,000	2016/04/07	2016/12/05	20.00
27142	SG Issuer – Galaxy European Put Warrants Nov 2016 D	80,000,000	2016/04/07	2016/11/03	28.80
27145	SG Issuer – Haitong Sec European Warrants Feb 2017 A	60,000,000	2016/04/07	2017/02/03	13.50
27151	SG Issuer – Tencent European Put Warrants Nov 2017 A	500,000,000	2016/04/07	2017/11/03	90.00
27171	BOCI Asia Ltd. – CKP European Warrants Dec 2016 A	100,000,000	2016/04/08	2016/12/05	43.80
27169	BOCI Asia Ltd. – Tencent Holdings European Put Wts Feb 2017 C	100,000,000	2016/04/08	2017/02/09	15.00
27192	Credit Suisse AG – CC Bank European Warrants Dec 2016 A	80,000,000	2016/04/08	2016/12/30	14.40
27159	Credit Suisse AG – China Life European Warrants Nov 2016 C	80,000,000	2016/04/08	2016/11/02	12.00
27168	Credit Suisse AG – HSBC European Wts Oct 2016 D	120,000,000	2016/04/08	2016/10/31	30.00
27190	Goldman Sachs SP (Asia) – Agri Bk European Wts Feb 2017 A	80,000,000	2016/04/08	2017/02/28	14.24
27191	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2016 A	80,000,000	2016/04/08	2016/09/30	12.64
27180	Goldman Sachs SP (Asia) – CC Bank European Wts Aug 2016 C	80,000,000	2016/04/08	2016/08/01	12.56
27174	HK Bank – CC Bank European Warrants Nov 2016 A	80,000,000	2016/04/08	2016/11/30	20.00
27173	HK Bank – Geely Auto European Warrants Dec 2016 A	40,000,000	2016/04/08	2016/12/30	22.00
27177	HK Bank – HSI European Warrants Oct 2016 A	180,000,000	2016/04/08	2016/10/28	45.00
27175	HK Bank – ICBC European Warrants Oct 2016 A	80,000,000	2016/04/08	2016/10/31	20.00
27186	Macquarie Bank Ltd. – HKEX European Warrants Mar 2017 B	67,000,000	2016/04/08	2017/03/02	10.05
27178	SG Issuer – CCB European Warrants Nov 2016 A	100,000,000	2016/04/08	2016/11/03	25.00
27181	SG Issuer – CMob European Put Warrants Nov 2016 A	80,000,000	2016/04/08	2016/11/03	20.80
27183	SG Issuer – COVS European Put Warrants Nov 2016 B	40,000,000	2016/04/08	2016/11/03	10.60
27185	SG Issuer – CP&CC European Put Warrants Nov 2016 A	80,000,000	2016/04/08	2016/11/03	21.20
27184	SG Issuer – HKEX European Put Warrants Jan 2017 B	200,000,000	2016/04/08	2017/01/05	39.00
27179	SG Issuer – ICBC European Warrants Dec 2016 A	100,000,000	2016/04/08	2016/12/05	25.00
27189	UBS AG – Galaxy Ent European Warrants Oct 2016 B	70,000,000	2016/04/08	2016/10/11	21.70
27187	UBS AG – HKEX European Warrants Feb 2017 A	300,000,000	2016/04/08	2017/02/23	60.00
27188	UBS AG – HSI European Put Warrants Jul 2016 C	300,000,000	2016/04/08	2016/07/28	69.30
27194	Haitong Int'l Sec – China Mobile European Warrants Dec 2016A	50,000,000	2016/04/11	2016/12/29	12.50

MAIN BOARD WARRANTS

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
27201	Haitong Int'l Sec - China Shenhua European Warrants Dec 16 A	68,000,000	2016/04/11	2016/12/29	10.20
27197	Haitong Int'l Sec - CTEG European Warrants Jan 2017 A	30,000,000	2016/04/11	2017/01/18	12.30
27193	Haitong Int'l Sec - Galaxy Ent European Warrants Nov 2016 A	50,000,000	2016/04/11	2016/11/28	12.50
27200	Haitong Int'l Sec - Haitong Sec European Warrants Jan 2017 A	50,000,000	2016/04/11	2017/01/27	12.50
27221	Macquarie Bank Ltd. - Sinopec Corp European Wts Nov 2016 B	40,000,000	2016/04/11	2016/11/02	10.00
27222	Macquarie Bank Ltd. - HSBC European Warrants Oct 2016 B	55,000,000	2016/04/11	2016/10/04	10.01
27204	Macquarie Bank Ltd. - Kingsoft European Warrants Dec 2016 A	40,000,000	2016/04/11	2016/12/02	10.00
27203	Macquarie Bank Ltd. - Lenovo European Warrants Nov 2016 A	15,000,000	2016/04/11	2016/11/02	10.01
27206	SG Issuer - AGBK European Warrants Dec 2016 A	100,000,000	2016/04/11	2016/12/05	15.00
27207	SG Issuer - CCB European Warrants Mar 2017 A	100,000,000	2016/04/11	2017/03/03	15.00
27208	SG Issuer - ICBC European Warrants May 2017 A	100,000,000	2016/04/11	2017/05/04	16.00
27214	SG Issuer - Tencent European Warrants Mar 2017 A	500,000,000	2016/04/11	2017/03/03	75.00
27218	SG Issuer - Tencent European Warrants Jun 2017 A	500,000,000	2016/04/11	2017/06/05	75.00
27244	BNP Paribas Arbit Issu B.V. - AIA European Wts Jun 2017 A	40,000,000	2016/04/12	2017/06/02	16.00
27247	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2016 B	70,000,000	2016/04/12	2016/11/02	11.90
27249	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Aug 2017 A	70,000,000	2016/04/12	2017/08/02	19.60
27246	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2016 B	40,000,000	2016/04/12	2016/11/02	10.40
27245	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jun 2017 A	40,000,000	2016/04/12	2017/06/02	12.00
27257	Credit Suisse AG - ICBC European Warrants Feb 2017 A	80,000,000	2016/04/12	2017/02/28	12.00
27235	Credit Suisse AG - Lenovo European Warrants May 2018 A	150,000,000	2016/04/12	2018/05/03	22.50
27272	Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2016 C	100,000,000	2016/04/12	2016/09/30	15.10
27270	Goldman Sachs SP (Asia) - CC Bank European Wts Aug 2016 D	100,000,000	2016/04/12	2016/08/31	20.90
27266	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2016 E	100,000,000	2016/04/12	2016/09/29	20.10
27263	Goldman Sachs SP (Asia) - HSBC European Put Wts Nov 2016 A	80,000,000	2016/04/12	2016/11/07	20.40
27271	Goldman Sachs Sp (Asia) - ICBC European Warrants Sep 2016 B	100,000,000	2016/04/12	2016/09/30	23.90
27264	Goldman Sachs SP (Asia) - Ping An European Wts Jul 2016 E	100,000,000	2016/04/12	2016/07/29	16.00
27232	Haitong Int'l Sec - Avichina European Warrants Sep 2017 A	60,000,000	2016/04/12	2017/09/29	15.00
27234	Haitong Int'l Sec - China Life European Warrants Dec 2016 A	68,000,000	2016/04/12	2016/12/21	10.20
27225	Haitong Int'l Sec - PetroChina European Warrants Oct 2016 A	30,000,000	2016/04/12	2016/10/18	12.30
27230	Haitong Int'l Sec - Tencent European Put Warrants Jan 2017 A	68,000,000	2016/04/12	2017/01/24	10.20
27231	Haitong Int'l Sec - Goldwind European Warrants Mar 2017 A	40,000,000	2016/04/12	2017/03/31	12.40
27258	J P Morgan SP BV - CC Bank European Wts Jan 2017 A	100,000,000	2016/04/12	2017/01/03	25.10
27262	J P Morgan SP BV - China Life European Warrants Dec 2016 B	100,000,000	2016/04/12	2016/12/30	15.10
27261	J P Morgan SP BV - China Mob European Put Wts Dec 2016 B	100,000,000	2016/04/12	2016/12/01	25.60
27240	J P Morgan SP BV - HSI European Warrants Nov 2016 A	300,000,000	2016/04/12	2016/11/29	75.00
27253	Macquarie Bank Ltd. - China Mobile European Wts Dec 2016 A	40,000,000	2016/04/12	2016/12/02	10.00
27254	Macquarie Bank Ltd. - Ping An European Warrants Feb 2017 A	40,000,000	2016/04/12	2017/02/02	10.00
27256	Macquarie Bank Ltd. - Ping An European Warrants Apr 2017 C	40,000,000	2016/04/12	2017/04/04	10.00
27242	SG Issuer - CAM CSI300 European Warrants Feb 2017 A	50,000,000	2016/04/12	2017/02/03	12.50
27236	SG Issuer - BOCOM European Warrants Dec 2016 A	25,000,000	2016/04/12	2016/12/05	10.00
27238	SG Issuer - CITBK European Warrants Feb 2017 A	28,000,000	2016/04/12	2017/02/03	10.36
27252	SG Issuer - CP&CC European Warrants Nov 2016 B	60,000,000	2016/04/12	2016/11/03	24.90
27243	SG Issuer - HS H-SHARE European Warrants Nov 2016 A	50,000,000	2016/04/12	2016/11/03	19.50
27239	SG Issuer - Petch European Warrants Nov 2016 B	60,000,000	2016/04/12	2016/11/03	24.60

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27241	UBS AG - Sinopec Corp European Warrants Aug 2016 A	50,000,000	2016/04/12	2016/08/26	10.85
27279	BOCI Asia Ltd. - CGS European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/05	15.00
27277	BOCI Asia Ltd. - CITIC Sec European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/12	28.80
27273	BOCI Asia Ltd. - HSBC European Warrants Oct 2016 A	200,000,000	2016/04/13	2016/10/17	50.00
27280	BOCI Asia Ltd. - HSI European Warrants Oct 2016 B	100,000,000	2016/04/13	2016/10/28	15.00
27275	BOCI Asia Ltd. - Kingsoft European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/19	34.90
27285	Credit Suisse AG - HKEX European Warrants Dec 2016 B	150,000,000	2016/04/13	2016/12/12	22.50
27289	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2016 B	100,000,000	2016/04/13	2016/10/31	15.50
27290	Goldman Sachs SP (Asia) - China Life Euro Put Wts Nov 2016 A	80,000,000	2016/04/13	2016/11/30	14.64
27287	Goldman Sachs SP (Asia) - HSBC European Warrants Sep 2016 F	100,000,000	2016/04/13	2016/09/29	29.00
27286	Goldman Sachs SP (Asia) - HSI European Warrants Aug 2016 H	200,000,000	2016/04/13	2016/08/30	32.20
27293	J P Morgan SP BV - HSBC European Warrants Dec 2016 A	100,000,000	2016/04/13	2016/12/05	25.20
27298	J P Morgan SP BV - HSBC European Put Warrants Dec 2016 B	100,000,000	2016/04/13	2016/12/30	25.10
27296	J P Morgan SP BV - Ping An European Warrants Dec 2016 B	100,000,000	2016/04/13	2016/12/30	25.10
27281	Macquarie Bank Ltd. - AAC Acoustic European Wts Mar 2017 A	9,500,000	2016/04/13	2017/03/16	10.00
27282	Macquarie Bank Ltd. - CC Bank European Warrants Feb 2017 A	40,000,000	2016/04/13	2017/02/02	10.00
27283	UBS AG - HSBC European Warrants Nov 2016 B	100,000,000	2016/04/13	2016/11/21	50.00
27284	UBS AG - HSI European Put Warrants Jul 2016 D	300,000,000	2016/04/13	2016/07/28	45.00
27308	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Dec 2016 A	40,000,000	2016/04/14	2016/12/02	10.00
27306	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Dec 2018 A	80,000,000	2016/04/14	2018/12/03	12.00
27305	BNP Paribas Arbit Issu B.V. - HSBC European Wts Oct 2016 B	50,000,000	2016/04/14	2016/10/04	10.00
27324	Credit Suisse AG - HSBC European Wts Nov 2016 A	120,000,000	2016/04/14	2016/11/04	45.60
27325	Credit Suisse AG - HSBC European Put Warrants Nov 2016 B	60,000,000	2016/04/14	2016/11/04	15.00
27299	Macquarie Bank Ltd. - CNOOC European Put Warrants Dec 2016 B	18,000,000	2016/04/14	2016/12/02	10.03
27319	Macquarie Bank Ltd. - ZTE European Warrants Dec 2016 A	39,000,000	2016/04/14	2016/12/19	10.02
27300	SG Issuer - CITIC European Warrants Aug 2017 A	80,000,000	2016/04/14	2017/08/03	12.80
27309	SG Issuer - CKP European Warrants Dec 2016 A	50,000,000	2016/04/14	2016/12/05	20.00
27310	SG Issuer - ChiUnicom European Warrants Dec 2017 B	100,000,000	2016/04/14	2017/12/05	25.00
27320	SG Issuer - HSBC European Warrants Nov 2016 A	100,000,000	2016/04/14	2016/11/03	29.50
27321	SG Issuer - HSI European Warrants Oct 2016 A	150,000,000	2016/04/14	2016/10/28	22.50
27314	SG Issuer - Ping An European Warrants Oct 2016 B	120,000,000	2016/04/14	2016/10/05	23.40
27315	SG Issuer - Ping An European Warrants Mar 2017 B	120,000,000	2016/04/14	2017/03/03	30.00
27316	SG Issuer - ZTE European Warrants Dec 2016 A	50,000,000	2016/04/14	2016/12/05	12.50
27341	Credit Suisse AG - HSI European Warrants Dec 2016 A	120,000,000	2016/04/15	2016/12/29	18.00
27337	Credit Suisse AG - ICBC European Warrants Nov 2016 A	40,000,000	2016/04/15	2016/11/04	10.00
27343	Goldman Sachs SP (Asia) - Ping An European Wts Sep 2016 B	100,000,000	2016/04/15	2016/09/30	20.90
27346	Goldman Sachs SP (Asia) - Ping An European Wts Sep 2016 C	100,000,000	2016/04/15	2016/09/26	22.70
27330	Haitong Int'l Sec - China Dongxiang European Warrants Mar17A	50,000,000	2016/04/15	2017/03/30	15.00
27333	Haitong Int'l Sec - China East Air European Warrants Apr18 A	68,000,000	2016/04/15	2018/04/25	10.20
27331	Haitong Int'l Sec - China Railway European Warrants Oct 16 A	35,000,000	2016/04/15	2016/10/31	20.65
27329	Haitong Int'l Sec - FDG Evehicles European Warrants Oct 18 A	50,000,000	2016/04/15	2018/10/18	12.50
27328	Haitong Int'l Sec - HSBC European Warrants Sep 2016 A	68,000,000	2016/04/15	2016/09/29	10.20
27342	J P Morgan SP BV - China Life European Warrants Dec 2016 C	100,000,000	2016/04/15	2016/12/05	15.10
27336	Macquarie Bank Ltd. - Chinas Cement European Wt Jun 2017 A	40,000,000	2016/04/15	2017/06/15	10.00

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27326	Macquarie Bank Ltd. – Tencent European Warrants Nov 2016 A	40,000,000	2016/04/15	2016/11/02	10.00
27334	SG Issuer – HSBC European Put Warrants Nov 2016 B	100,000,000	2016/04/15	2016/11/03	25.00
27349	BOCI Asia Ltd. – Tencent Holdings European Wts Apr 2017 A	200,000,000	2016/04/18	2017/04/10	30.00
27351	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2016 A	100,000,000	2016/04/18	2016/10/28	15.00
27352	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2016 A	100,000,000	2016/04/18	2016/12/29	26.00
27356	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2016 B	100,000,000	2016/04/18	2016/10/28	25.00
27358	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2016 B	100,000,000	2016/04/18	2016/12/29	25.00
27381	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Nov 2016 A	100,000,000	2016/04/18	2016/11/30	25.00
27370	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2016 A	80,000,000	2016/04/18	2016/09/28	24.16
27372	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2016 B	80,000,000	2016/04/18	2016/09/05	15.36
27374	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2016 B	100,000,000	2016/04/18	2016/11/04	39.90
27380	Goldman Sachs SP (Asia) – PetroChina European Wts Oct 2016 A	80,000,000	2016/04/18	2016/10/31	27.36
27379	Goldman Sachs SP (Asia) – Tencent European Put Wt Nov 2016 B	300,000,000	2016/04/18	2016/11/30	47.40
27348	HK Bank – Sinopec Corp European Warrants Oct 2016 B	50,000,000	2016/04/18	2016/10/31	17.50
27347	HK Bank – HKEX European Warrants Feb 2017 B	180,000,000	2016/04/18	2017/02/20	27.00
27362	SG Issuer – Anhui Conch European Warrants Dec 2016 A	40,000,000	2016/04/18	2016/12/05	10.40
27364	SG Issuer – CCCCL European Warrants Oct 2017 A	100,000,000	2016/04/18	2017/10/06	25.00
27365	SG Issuer – Ch Mob European Warrants Nov 2016 B	80,000,000	2016/04/18	2016/11/03	20.00
27359	SG Issuer – HSI Euro Put Wts Oct 2016 B	150,000,000	2016/04/18	2016/10/28	22.50
27360	SG Issuer – HSI Euro Put Wts Oct 2016 C	150,000,000	2016/04/18	2016/10/28	37.50
10391	SG Issuer – Nikkei 225 European Warrants Mar 2017 B	150,000,000	2016/04/18	2017/03/10	24.00
10392	SG Issuer – Nikkei 225 European Put Warrants Mar 2017 C	150,000,000	2016/04/18	2017/03/10	37.50
27369	UBS AG – China EB Int'l European Warrants Mar 2017 A	60,000,000	2016/04/18	2017/03/09	11.10
27368	UBS AG – Kingsoft European Warrants Dec 2016 A	100,000,000	2016/04/18	2016/12/19	36.00
27383	BOCI Asia Ltd. – HSCEI European Warrants Dec 2016 B	100,000,000	2016/04/19	2016/12/29	15.00
27382	BOCI Asia Ltd. – HSI European Put Warrants Sep 2016 A	100,000,000	2016/04/19	2016/09/29	15.00
27389	Credit Suisse AG – Henganintl European Warrants Oct 2016 A	25,000,000	2016/04/19	2016/10/04	10.25
27403	Goldman Sachs SP (Asia) – HKEX European Warrants Dec 2016 C	200,000,000	2016/04/19	2016/12/28	42.00
27400	Goldman Sachs SP (Asia) – HKEX European Put Wts Oct 2016 B	200,000,000	2016/04/19	2016/10/28	30.00
27402	Goldman Sachs SP (Asia) – HKEX European Put Wts Dec 2016 B	200,000,000	2016/04/19	2016/12/23	41.00
27398	J P Morgan SP BV – CPIC European Warrants Dec 2016 A	80,000,000	2016/04/19	2016/12/30	20.56
27394	J P Morgan SP BV – HSI European Put Warrants Oct 2016 E	300,000,000	2016/04/19	2016/10/28	75.30
27385	Macquarie Bank Ltd. – Anhui Conch European Wts Feb 2017 A	34,000,000	2016/04/19	2017/02/02	10.17
27386	Macquarie Bank Ltd. – CITIC European Warrants Sep 2016 A	15,000,000	2016/04/19	2016/09/02	12.17
27384	Macquarie Bank Ltd. – Tencent European Warrants Nov 2016 B	18,500,000	2016/04/19	2016/11/02	10.01
27387	SG Issuer – CRCC European Warrants Jul 2017 A	80,000,000	2016/04/19	2017/07/05	20.00
27388	SG Issuer – HKEX European Warrants Jun 2017 B	200,000,000	2016/04/19	2017/06/05	34.00
27397	UBS AG – China Mobile European Warrants Sep 2016 A	100,000,000	2016/04/19	2016/09/26	37.00
27396	UBS AG – HSBC European Warrants Nov 2016 C	100,000,000	2016/04/19	2016/11/30	25.00
27406	BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2016 B	100,000,000	2016/04/20	2016/11/14	31.00
27408	BOCI Asia Ltd. – HSI European Warrants Nov 2016 A	100,000,000	2016/04/20	2016/11/29	17.00
27407	BOCI Asia Ltd. – PetroChina European Warrants Oct 2016 A	100,000,000	2016/04/20	2016/10/31	36.00
27414	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jan17A	70,000,000	2016/04/20	2017/01/03	14.00
27418	BNP Paribas Arbit Issu B.V. – AIA European Wts Apr 2017 A	40,000,000	2016/04/20	2017/04/06	10.00

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27413	BNP Paribas Arbit Issu B.V. – HKEX Euro Put Wts Dec 2016 B	60,000,000	2016/04/20	2016/12/02	15.00
27415	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Nov 2016 A	40,000,000	2016/04/20	2016/11/02	16.80
27432	Credit Suisse AG – Anhui Conch European Warrants Dec 2016 A	50,000,000	2016/04/20	2016/12/05	12.50
27435	Credit Suisse AG – AIA European Put Warrants Nov 2016 B	40,000,000	2016/04/20	2016/11/04	10.00
27436	Credit Suisse AG – CITIC Sec European Put Warrants Nov 2016A	40,000,000	2016/04/20	2016/11/04	10.00
27426	Credit Suisse AG – China Mobile European Warrants Nov 2016 C	60,000,000	2016/04/20	2016/11/04	15.00
27424	Credit Suisse AG – China Mobile European Put Wts Nov 2016 B	40,000,000	2016/04/20	2016/11/04	10.80
27422	Credit Suisse AG – HSI European Put Warrants Sep 2016 D	120,000,000	2016/04/20	2016/09/29	20.40
27433	Credit Suisse AG – Ping An European Warrants Nov 2016 A	80,000,000	2016/04/20	2016/11/04	20.00
27434	Credit Suisse AG – Ping An European Put Warrants Nov 2016 B	40,000,000	2016/04/20	2016/11/04	10.00
27423	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2016 C	90,000,000	2016/04/20	2016/12/02	13.50
27437	Credit Suisse AG – WH Group European Warrants Nov 2016 A	15,000,000	2016/04/20	2016/11/04	14.70
27467	Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Aug 2017 A	60,000,000	2016/04/20	2017/08/31	15.36
27450	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2016 B	100,000,000	2016/04/20	2016/12/28	15.20
27447	Goldman Sachs SP (Asia) – China Railway Euro Wts Jul 2017 A	60,000,000	2016/04/20	2017/07/28	10.14
27453	Goldman Sachs SP (Asia) – Ping An European Wts Dec 2016 D	100,000,000	2016/04/20	2016/12/30	25.10
27449	Goldman Sachs SP (Asia) – Stanchart European Wts Aug 2016 B	50,000,000	2016/04/20	2016/08/30	13.65
27459	J P Morgan SP BV – HSI European Put Warrants Sep 2016 G	300,000,000	2016/04/20	2016/09/29	56.70
27465	J P Morgan SP BV – HSI European Put Warrants Oct 2016 F	300,000,000	2016/04/20	2016/10/28	75.30
27457	J P Morgan SP BV – Wynn Macau European Warrants Feb 2017 A	80,000,000	2016/04/20	2017/02/02	20.08
27421	Macquarie Bank Ltd. – Tencent European Put Wts Nov 2016 C	13,000,000	2016/04/20	2016/11/02	10.01
27443	SG Issuer – CP&CC European Warrants Dec 2016 A	60,000,000	2016/04/20	2016/12/05	20.10
27440	SG Issuer – HSCEI European Warrants Jun 2017 A	150,000,000	2016/04/20	2017/06/29	22.50
27409	SG Issuer – HSCEI European Put Warrants Nov 2016 A	150,000,000	2016/04/20	2016/11/29	37.50
27441	SG Issuer – Lenovo European Warrants May 2018 A	100,000,000	2016/04/20	2018/05/04	15.00
27445	SG Issuer – Wynn European Warrants May 2017 A	60,000,000	2016/04/20	2017/05/04	15.00
27473	UBS AG – CC Bank European Warrants Oct 2016 A	70,000,000	2016/04/20	2016/10/19	17.50
27474	UBS AG – China Life European Warrants Dec 2016 B	100,000,000	2016/04/20	2016/12/23	15.30
27471	UBS AG – HKEX European Warrants Oct 2016 D	60,000,000	2016/04/20	2016/10/19	33.60
27476	UBS AG – HSCEI European Warrants Jun 2017 A	100,000,000	2016/04/20	2017/06/29	15.00
27438	UBS AG – HSI European Warrants Oct 2016 A	300,000,000	2016/04/20	2016/10/28	45.60
27439	UBS AG – Link REIT European Warrants Sep 2016 A	70,000,000	2016/04/20	2016/09/28	15.19
27475	UBS AG – Tencent Holdings European Warrants Jun 2017 A	300,000,000	2016/04/20	2017/06/02	45.00
27492	BOCI Asia Ltd. – AIA European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/07	25.00
27493	BOCI Asia Ltd. – AIA European Put Warrants Nov 2016 B	100,000,000	2016/04/21	2016/11/14	26.00
27498	BOCI Asia Ltd. – CC Bank European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/28	18.10
27490	BOCI Asia Ltd. – China Life European Warrants Dec 2016 C	200,000,000	2016/04/21	2016/12/30	39.20
27491	BOCI Asia Ltd. – HSBC European Warrants Oct 2016 B	200,000,000	2016/04/21	2016/10/03	32.20
27503	BOCI Asia Ltd. – ICBC European Warrants Feb 2017 A	100,000,000	2016/04/21	2017/02/28	18.70
27494	BOCI Asia Ltd. – Ping An European Warrants Dec 2016 C	200,000,000	2016/04/21	2016/12/12	50.00
27478	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2016 A	30,000,000	2016/04/21	2016/11/02	23.40
27480	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Nov 2016 A	50,000,000	2016/04/21	2016/11/02	25.00
27481	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Sep 2016 A	40,000,000	2016/04/21	2016/09/02	10.00
27483	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Nov 2016 A	40,000,000	2016/04/21	2016/11/02	16.80

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27512	Credit Suisse AG – BOCL European Warrants Feb 2017 A	70,000,000	2016/04/21	2017/02/01	10.50
27513	Credit Suisse AG – Galaxy Ent European Warrants Nov 2016 A	60,000,000	2016/04/21	2016/11/04	15.00
27514	Goldman Sachs SP (Asia) – HSCEI European Warrants Dec 2016 D	200,000,000	2016/04/21	2016/12/29	30.60
27477	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2017 A	200,000,000	2016/04/21	2017/02/20	33.60
27519	J P Morgan SP BV – AIA European Warrants Nov 2016 B	100,000,000	2016/04/21	2016/11/02	25.20
27524	J P Morgan SP BV – Sinopec Corp European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/02	28.00
27520	J P Morgan SP BV – PetroChina European Warrants Nov 2016 A	100,000,000	2016/04/21	2016/11/02	25.90
27484	Macquarie Bank Ltd. – AIA European Warrants Jan 2017 A	38,000,000	2016/04/21	2017/01/04	10.03
27486	Macquarie Bank Ltd. – China Life European Wts May 2017 A	40,000,000	2016/04/21	2017/05/02	10.00
27489	Macquarie Bank Ltd. – Sinopec Corp European Wts Jan 2017 A	30,000,000	2016/04/21	2017/01/04	10.68
27488	Macquarie Bank Ltd. – Sinopec Corp European Put Wts Mar17A	35,000,000	2016/04/21	2017/03/02	10.36
27485	Macquarie Bank Ltd. – Foxconn European Warrants Mar 2017 A	19,000,000	2016/04/21	2017/03/02	10.01
27508	Macquarie Bank Ltd. – HSBC European Warrants Nov 2016 A	40,000,000	2016/04/21	2016/11/02	10.00
27509	SG Issuer – Ping An European Put Warrants Nov 2016 B	120,000,000	2016/04/21	2016/11/03	30.00
27510	SG Issuer – Tencent European Put Warrants Dec 2016 C	500,000,000	2016/04/21	2016/12/05	75.00
27487	UBS AG – HSBC European Warrants Sep 2016 D	100,000,000	2016/04/21	2016/09/27	15.10
27536	Credit Suisse AG – Ping An European Warrants Dec 2016 C	80,000,000	2016/04/22	2016/12/05	20.00
27532	Goldman Sachs SP (Asia) – Evergrande European Wts Jul 2017 A	80,000,000	2016/04/22	2017/07/07	12.00
27533	Goldman Sachs SP (Asia) – Huaneng European Wts Nov 2017 A	80,000,000	2016/04/22	2017/11/23	12.00
27530	HK Bank – AIA European Warrants Oct 2016 A	80,000,000	2016/04/22	2016/10/31	20.00
27528	HK Bank – China Mobile European Warrants Oct 2016 A	50,000,000	2016/04/22	2016/10/31	15.00
27525	HK Bank – CNOOC European Warrants Oct 2016 B	40,000,000	2016/04/22	2016/10/31	24.00
27527	HK Bank – CNOOC European Warrants May 2018 A	100,000,000	2016/04/22	2018/05/31	15.00
27529	HK Bank – China Tel European Warrants Oct 2016 A	50,000,000	2016/04/22	2016/10/31	15.00
27535	Macquarie Bank Ltd. – CKI Holdings European Warrants Oct16 A	19,000,000	2016/04/22	2016/10/24	10.01
27531	SG Issuer – Shenhua European Warrants Oct 2017 A	100,000,000	2016/04/22	2017/10/06	25.00
27549	UBS AG – CAM CSI300 European Warrants Oct 2016 A	50,000,000	2016/04/22	2016/10/31	12.75
27545	UBS AG – Geely Auto European Warrants Nov 2016 A	40,000,000	2016/04/22	2016/11/21	17.20
27540	UBS AG – HKEX European Put Warrants Dec 2016 D	100,000,000	2016/04/22	2016/12/23	17.30
27541	UBS AG – Sands China European Warrants Oct 2016 A	60,000,000	2016/04/22	2016/10/24	15.00
27542	UBS AG – Sands China European Put Warrants Oct 2016 B	60,000,000	2016/04/22	2016/10/11	15.60
27556	Credit Suisse AG – ZTE European Warrants Nov 2016 A	50,000,000	2016/04/25	2016/11/28	10.00
27555	Bank of East Asia – Great Wall Motor European Wts Mar 2017 A	70,000,000	2016/04/25	2017/03/02	10.50
27558	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2016 C	100,000,000	2016/04/25	2016/11/02	25.00
27557	Goldman Sachs SP (Asia) – Geely Auto European Wts Dec 2016 A	40,000,000	2016/04/25	2016/12/29	17.24
27552	Macquarie Bank Ltd. – Car Inc European Warrants Apr 2017 A	40,000,000	2016/04/25	2017/04/18	10.00
27554	Macquarie Bank Ltd. – CITIC European Warrants Jan 2017 A	16,000,000	2016/04/25	2017/01/04	10.02
27553	Macquarie Bank Ltd. – Stanchart European Warrants Mar 2017 A	15,000,000	2016/04/25	2017/03/02	10.01
27551	SG Issuer – CNOOC European Warrants Nov 2016A	30,000,000	2016/04/25	2016/11/03	14.85
27550	SG Issuer – CNOOC European Warrants Jun 2018A	300,000,000	2016/04/25	2018/06/05	45.00
27571	BOCI Asia Ltd. – HSCEI European Warrants Dec 2016 C	100,000,000	2016/04/26	2016/12/29	15.00
27575	BOCI Asia Ltd. – HSCEI European Put Warrants Dec 2016 D	100,000,000	2016/04/26	2016/12/29	25.00
27570	BOCI Asia Ltd. – HS H-SHARE European Warrants Nov 2016 A	100,000,000	2016/04/26	2016/11/18	48.00
27569	BOCI Asia Ltd. – Tracker Fund European Warrants Dec 2017 A	100,000,000	2016/04/26	2017/12/27	16.60

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		issue (units)	Listing date	Expiry	
27604	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Nov 2016 A	30,000,000	2016/04/26	2016/11/02	15.90
27607	Credit Suisse AG – Haitong Sec European Warrants Sep 2016 A	66,000,000	2016/04/26	2016/09/23	10.56
27594	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2016 I	200,000,000	2016/04/26	2016/07/28	32.80
27596	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2016 I	200,000,000	2016/04/26	2016/08/30	35.00
27592	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2016 H	200,000,000	2016/04/26	2016/09/29	34.20
27560	HK Bank – Minsheng Bank European Warrants Oct 2016 A	40,000,000	2016/04/26	2016/10/31	18.00
27559	HK Bank – HSI European Warrants Oct 2016 B	180,000,000	2016/04/26	2016/10/28	27.00
27564	HK Bank – HSI European Put Warrants Jul 2016 G	180,000,000	2016/04/26	2016/07/28	28.80
27562	HK Bank – HSI European Put Warrants Sep 2016 J	180,000,000	2016/04/26	2016/09/29	27.00
27561	HK Bank – Tencent European Put Warrants Nov 2016 B	100,000,000	2016/04/26	2016/11/30	15.00
27591	Haitong Int'l Sec – Ch Unicom European Warrants Nov 2017 A	80,000,000	2016/04/26	2017/11/29	12.00
27589	Haitong Int'l Sec – Gome European Warrants May 2017 A	40,000,000	2016/04/26	2017/05/31	10.40
27588	Haitong Int'l Sec – HSBC European Warrants Sep 2016 B	80,000,000	2016/04/26	2016/09/30	12.00
27608	J P Morgan SP BV – HSI European Put Warrants Jul 2016 G	300,000,000	2016/04/26	2016/07/28	48.90
27609	J P Morgan SP BV – Sands China European Warrants Nov 2016 B	100,000,000	2016/04/26	2016/11/01	25.10
27602	Macquarie Bank Ltd. – HSBC European Warrants Feb 2017 A	40,000,000	2016/04/26	2017/02/03	10.00
27600	UBS AG – China Tel European Warrants Oct 2016 A	40,000,000	2016/04/26	2016/10/25	10.00
27599	UBS AG – HS H-SHARE European Warrants Oct 2016 A	50,000,000	2016/04/26	2016/10/25	14.50
27597	UBS AG – Tracker Fund European Warrants Dec 2017 A	200,000,000	2016/04/26	2017/12/21	30.60
27624	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Dec 2016 A	60,000,000	2016/04/27	2016/12/02	15.00
27625	BNP Paribas Arbit Issu B.V. – Haitong Sec Eu Wts Feb 2017 A	40,000,000	2016/04/27	2017/02/02	10.00
27633	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Oct 2016 A	80,000,000	2016/04/27	2016/10/28	33.20
27613	HK Bank – CKP European Warrants Oct 2016 B	50,000,000	2016/04/27	2016/10/31	15.00
27617	HK Bank – Foxconn European Warrants Dec 2016 A	50,000,000	2016/04/27	2016/12/30	15.00
27614	HK Bank – Greatwall Motor European Warrants Feb 2017 A	80,000,000	2016/04/27	2017/02/28	12.00
27622	HK Bank – Ping An European Warrants Oct 2016 C	80,000,000	2016/04/27	2016/10/31	20.00
27627	J P Morgan SP BV – China Unicom European Warrants Nov 2017 A	100,000,000	2016/04/27	2017/11/30	15.70
27650	J P Morgan SP BV – A50 China European Put Wts Dec 2017 B	200,000,000	2016/04/27	2017/12/29	30.00
27626	J P Morgan SP BV – HSI European Warrants Nov 2016 B	300,000,000	2016/04/27	2016/11/29	75.00
27649	J P Morgan SP BV – PetroChina European Put Warrants Nov 16 B	100,000,000	2016/04/27	2016/11/30	31.60
27658	J P Morgan SP BV – Ping An European Put Warrants Nov 2016 B	100,000,000	2016/04/27	2016/11/30	25.40
27632	Macquarie Bank Ltd. – CNOOC European Put Warrants Feb 2017 A	12,000,000	2016/04/27	2017/02/02	10.78
27629	Macquarie Bank Ltd. – Chi Res Land European Wts Mar 2017 A	34,000,000	2016/04/27	2017/03/02	10.20
27643	UBS AG – BOCL European Warrants Mar 2017 A	70,000,000	2016/04/27	2017/03/30	11.34
27644	UBS AG – CITIC Bank European Warrants Nov 2016 A	40,000,000	2016/04/27	2016/11/28	10.24
27641	UBS AG – China Mobile European Warrants Oct 2016 B	100,000,000	2016/04/27	2016/10/26	25.00
27645	UBS AG – HSBC European Warrants Nov 2016 D	100,000,000	2016/04/27	2016/11/08	25.10
27635	UBS AG – Ping An European Warrants Oct 2016 C	100,000,000	2016/04/27	2016/10/31	25.50
27636	UBS AG – Ping An European Put Warrants Oct 2016 D	70,000,000	2016/04/27	2016/10/26	17.50
27662	BOCI Asia Ltd. – BYD European Warrants Sep 2017 A	100,000,000	2016/04/28	2017/09/25	15.00
27663	BOCI Asia Ltd. – China Mobile European Warrants Nov 2016 B	200,000,000	2016/04/28	2016/11/07	56.80
27661	BOCI Asia Ltd. – Sinopec Corp European Warrants Nov 2016 A	100,000,000	2016/04/28	2016/11/21	36.40
27660	BOCI Asia Ltd. – HSBC European Put Warrants Nov 2016 A	200,000,000	2016/04/28	2016/11/11	65.20
27659	BOCI Asia Ltd. – Ping An European Warrants Dec 2016 D	200,000,000	2016/04/28	2016/12/19	105.20

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		issue (units)	Listing date	Expiry	
27683	Credit Suisse AG – CITIC Bank European Warrants Nov 2016 A	40,000,000	2016/04/28	2016/11/04	10.00
27692	Credit Suisse AG – GAC European Warrants Aug 2017 A	40,000,000	2016/04/28	2017/08/31	10.00
27682	Credit Suisse AG – HKEX European Warrants Nov 2016 E	150,000,000	2016/04/28	2016/11/29	22.50
27681	Credit Suisse AG – HKEX European Put Warrants Dec 2016 C	80,000,000	2016/04/28	2016/12/23	12.00
27691	Credit Suisse AG – PetroChina European Warrants Dec 2016 A	30,000,000	2016/04/28	2016/12/30	13.80
27690	Credit Suisse AG – Zijin Mining European Warrants Nov 2016 A	40,000,000	2016/04/28	2016/11/04	10.00
27706	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2017 A	60,000,000	2016/04/28	2017/01/27	11.16
27699	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2016 G	100,000,000	2016/04/28	2016/09/30	16.30
27701	Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2016 B	80,000,000	2016/04/28	2016/09/30	22.48
27709	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2016 F	100,000,000	2016/04/28	2016/07/29	16.40
27708	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Oct 2016 A	100,000,000	2016/04/28	2016/10/31	34.70
27700	Goldman Sachs SP (Asia) – Sands China Euro Wts Dec 2016 A	80,000,000	2016/04/28	2016/12/30	20.24
27694	J P Morgan SP BV – China Life European Warrants Apr 2017 A	100,000,000	2016/04/28	2017/04/28	15.80
27693	J P Morgan SP BV – Sinopec Corp Eu Put Wt Nov 2016 B	100,000,000	2016/04/28	2016/11/03	37.00
27696	J P Morgan SP BV – HSCEI European Wts Jun 2017 A	200,000,000	2016/04/28	2017/06/29	32.60
27670	Macquarie Bank Ltd. – CNBM European Warrants Feb 2017 A	15,000,000	2016/04/28	2017/02/02	10.02
27671	SG Issuer – AIA Eu Put Wt Nov 2016 A	100,000,000	2016/04/28	2016/11/03	17.00
27672	SG Issuer – Ch Mob European Warrants Nov 2016 C	80,000,000	2016/04/28	2016/11/03	20.00
27674	SG Issuer – CSOP A50 ETF Eu Wt Nov 2016 B	30,000,000	2016/04/28	2016/11/03	11.55
27679	SG Issuer – A50 Ch Eu Wt Nov 2016 C	30,000,000	2016/04/28	2016/11/03	10.80
27678	SG Issuer – HSBC European Warrants Dec 2016 B	100,000,000	2016/04/28	2016/12/05	25.00
27665	SG Issuer – HSBC European Put Warrants Nov 2016 C	100,000,000	2016/04/28	2016/11/03	26.50
27677	SG Issuer – HS H-SHARE European Warrants Nov 2016 B	50,000,000	2016/04/28	2016/11/03	13.00
27668	UBS AG – AIA European Warrants Oct 2016 B	100,000,000	2016/04/28	2016/10/31	25.10
27669	UBS AG – China Mobile European Put Warrants Oct 2016 C	100,000,000	2016/04/28	2016/10/31	39.80
27664	UBS AG – HSBC European Put Warrants Sep 2016 E	100,000,000	2016/04/28	2016/09/26	15.10
27666	UBS AG – HSI European Put Warrants Aug 2016 C	300,000,000	2016/04/28	2016/08/30	48.90
27721	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2017 A	50,000,000	2016/04/29	2017/12/04	13.00
27716	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2017 A	50,000,000	2016/04/29	2017/12/04	17.50
27717	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Mar 2017 A	40,000,000	2016/04/29	2017/03/02	11.20
27719	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wt Nov 2016 A	40,000,000	2016/04/29	2016/11/02	14.40
27732	BNP Paribas Arbit Issu B.V. – HKEX European Wts Jun 2017 B	80,000,000	2016/04/29	2017/06/02	12.00
27736	Credit Suisse AG – HSBC European Wts Sep 2016 E	100,000,000	2016/04/29	2016/09/05	16.00
27737	Credit Suisse AG – HSBC European Wts Dec 2016 A	100,000,000	2016/04/29	2016/12/05	25.00
27745	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2016 B	80,000,000	2016/04/29	2016/09/30	12.24
27747	Goldman Sachs SP (Asia) – BOC HK European Wts Dec 2016 A	80,000,000	2016/04/29	2016/12/30	20.08
27748	Goldman Sachs SP (Asia) – CKH European Put Warrants Nov 16 A	80,000,000	2016/04/29	2016/11/30	51.68
27743	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2016 A	200,000,000	2016/04/29	2016/11/29	50.00
27712	Haitong Int'l Sec – Agile Ppt European Warrants Mar 2017 A	30,000,000	2016/04/29	2017/03/30	10.50
27714	Haitong Int'l Sec – Geely Auto European Warrants Nov 2016 A	30,000,000	2016/04/29	2016/11/28	15.30
27710	Haitong Int'l Sec – HKEX European Warrants Feb 2017 A	68,000,000	2016/04/29	2017/02/27	10.20
27711	Haitong Int'l Sec – Zijin Mining European Warrants Nov 2016A	45,000,000	2016/04/29	2016/11/15	10.80
27741	J P Morgan SP BV – CAM CSI300 European Warrants Dec 2016 A	100,000,000	2016/04/29	2016/12/02	15.10
27738	J P Morgan SP BV – China Mob European Put Wts Nov 2016 B	100,000,000	2016/04/29	2016/11/01	33.20

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27742	J P Morgan SP BV – HKEX European Warrants May 2017 B	200,000,000	2016/04/29	2017/05/31	30.00
27740	J P Morgan SP BV – Sands China European Put Wts Dec 2016 A	100,000,000	2016/04/29	2016/12/02	25.10
27727	Macquarie Bank Ltd. – China Life European Wts Nov 2016 B	11,000,000	2016/04/29	2016/11/02	10.01
27722	Macquarie Bank Ltd. – HKEX European Warrants Dec 2016 C	67,000,000	2016/04/29	2016/12/02	10.05
27723	Macquarie Bank Ltd. – HSBC European Warrants Dec 2016 A	40,000,000	2016/04/29	2016/12/02	10.00
27730	Macquarie Bank Ltd. – Ping An European Warrants Nov 2016 C	11,000,000	2016/04/29	2016/11/02	10.30
27735	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Dec 2016 A	100,000,000	2016/04/29	2016/12/05	25.00
27756	Credit Suisse AG – AIA European Warrants Oct 2016 B	70,000,000	2016/05/03	2016/10/05	10.50
27758	Credit Suisse AG – AIA European Warrants Dec 2016 A	70,000,000	2016/05/03	2016/12/05	17.50
27752	HK Bank – HKEX European Warrants Nov 2016 A	180,000,000	2016/05/03	2016/11/30	27.00
27753	HK Bank – HKEX European Warrants May 2017 B	180,000,000	2016/05/03	2017/05/31	27.00
27754	HK Bank – HKEX European Put Warrants Nov 2016 B	180,000,000	2016/05/03	2016/11/30	27.00
27749	HK Bank – Sands China European Warrants Nov 2016 A	50,000,000	2016/05/03	2016/11/02	15.00
27755	UBS AG – HSCEI European Warrants Nov 2016 A	100,000,000	2016/05/03	2016/11/29	15.00
27771	Goldman Sachs SP (Asia) – HKEX European Put Wts Nov 2016 C	200,000,000	2016/05/04	2016/11/30	38.40
27769	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2016 C	100,000,000	2016/05/04	2016/11/04	27.40
27770	Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2016 B	80,000,000	2016/05/04	2016/08/30	20.48
27761	J P Morgan SP BV – AIA European Put Warrants Dec 2016 A	100,000,000	2016/05/04	2016/12/01	25.10
27762	J P Morgan SP BV – PCCW European Warrants Nov 2016 A	35,000,000	2016/05/04	2016/11/30	10.01
27764	J P Morgan SP BV – Ping An European Warrants Sep 2016 D	100,000,000	2016/05/04	2016/09/30	15.20
27759	Macquarie Bank Ltd. – Xinyi Solar European Warrants Dec 16 A	20,400,000	2016/05/04	2016/12/16	10.02
27760	UBS AG – HSI European Warrants Aug 2016 D	300,000,000	2016/05/04	2016/08/30	45.00
27788	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Sep 2016 B	80,000,000	2016/05/05	2016/09/28	14.08
27796	Goldman Sachs SP (Asia) – CGS European Warrants Nov 2016 A	30,000,000	2016/05/05	2016/11/29	21.18
27797	Goldman Sachs SP (Asia) – China Cinda Euro Wts Nov 2016 A	50,000,000	2016/05/05	2016/11/29	12.50
27795	Goldman Sachs SP (Asia) – China Life Euro Wts Nov 2016 B	100,000,000	2016/05/05	2016/11/28	15.00
27799	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Jan 2017 A	300,000,000	2016/05/05	2017/01/09	48.30
27793	Goldman Sachs SP (Asia) – ICBC European Put Warrants Sep16 C	80,000,000	2016/05/05	2016/09/28	12.16
27785	J P Morgan SP BV – HKT-SS European Warrants Dec 2017 A	60,000,000	2016/05/05	2017/12/29	15.12
27783	J P Morgan SP BV – PetroChina European Warrants Dec 2016 A	100,000,000	2016/05/05	2016/12/30	25.20
27781	J P Morgan SP BV – Stanchart European Warrants Dec 2016 B	60,000,000	2016/05/05	2016/12/02	24.00
27787	J P Morgan SP BV – China Vanke European Warrants Dec 2016 A	80,000,000	2016/05/05	2016/12/30	20.00
27780	Macquarie Bank Ltd. – BOCHK European Warrants Dec 2016 A	10,000,000	2016/05/05	2016/12/02	10.59
27774	UBS AG – BYD European Warrants Feb 2017 A	20,000,000	2016/05/05	2017/02/20	20.12
27772	UBS AG – CNOOC European Warrants Jun 2017 A	100,000,000	2016/05/05	2017/06/27	15.00
27777	UBS AG – HSI European Warrants Nov 2016 A	300,000,000	2016/05/05	2016/11/29	45.00
27775	UBS AG – ICBC European Warrants Oct 2016 A	100,000,000	2016/05/05	2016/10/24	15.00
27816	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Nov 2016 A	100,000,000	2016/05/06	2016/11/29	15.00
27818	Credit Suisse AG – China Tel European Warrants Dec 2016 A	40,000,000	2016/05/06	2016/12/05	10.00
27819	Credit Suisse AG – Huaneng Power European Warrants Nov 2016A	23,000,000	2016/05/06	2016/11/07	10.12
27812	HK Bank – CITIC Sec European Warrants Nov 2016 A	80,000,000	2016/05/06	2016/11/30	20.00
27815	HK Bank – CM Bank European Warrants Dec 2016 A	80,000,000	2016/05/06	2016/12/30	12.00
27813	HK Bank – Evergrande European Warrants Jul 2017 A	80,000,000	2016/05/06	2017/07/10	12.00
27814	HK Bank – SHK Ppt European Warrants Dec 2016 B	40,000,000	2016/05/06	2016/12/02	12.00

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		issue (units)	Listing date	Expiry	
27802	Haitong Int'l Sec - Anta Sports European Warrants Dec 2016 A	33,000,000	2016/05/06	2016/12/08	10.23
27811	Haitong Int'l Sec - China Mobile European Put Wts Oct16A	68,000,000	2016/05/06	2016/10/31	10.20
27800	Haitong Int'l Sec - CNOOC European Put Warrants Nov 2016 A	68,000,000	2016/05/06	2016/11/18	17.00
27806	Haitong Int'l Sec - A50 China European Warrants Nov 2016 A	40,000,000	2016/05/06	2016/11/08	12.40
27807	Haitong Int'l Sec - A50 China European Put Warrants Dec 17 A	100,000,000	2016/05/06	2017/12/27	15.00
27805	Haitong Int'l Sec - Ping An European Warrants Dec 2016 A	80,000,000	2016/05/06	2016/12/22	14.16
27824	J P Morgan SP BV - HSI European Warrants Dec 2016 B	300,000,000	2016/05/06	2016/12/29	46.20
27821	J P Morgan SP BV - HSI European Put Warrants Aug 2016 G	300,000,000	2016/05/06	2016/08/30	47.70
27822	J P Morgan SP BV - HSI European Put Warrants Dec 2016 A	300,000,000	2016/05/06	2016/12/29	45.30
27817	SGA Societe Generale Acceptance N.V. - Petch Eu Put Wt Dec16A	60,000,000	2016/05/06	2016/12/05	20.70
27848	Credit Suisse AG - Galaxy Ent European Warrants Sep 2016 B	60,000,000	2016/05/09	2016/09/30	12.60
27843	Bank of East Asia - ZTE European Warrants Feb 2017 A	40,000,000	2016/05/09	2017/02/28	10.00
27852	Goldman Sachs SP (Asia) - CITIC Bank European Wts Oct 2016 A	60,000,000	2016/05/09	2016/10/28	11.34
27861	Goldman Sachs SP (Asia) - CITIC Sec European Wts Nov 2016 A	80,000,000	2016/05/09	2016/11/30	20.00
27854	Goldman Sachs SP (Asia) - CKP European Warrants Nov 2016 A	60,000,000	2016/05/09	2016/11/09	15.48
27858	Goldman Sachs SP (Asia) - CLP European Warrants Nov 2016 A	40,000,000	2016/05/09	2016/11/28	10.04
27860	Goldman Sachs SP (Asia) - Ch Overseas Euro Put Wt Nov 2016 A	40,000,000	2016/05/09	2016/11/11	10.00
27835	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Nov 2016 A	50,000,000	2016/05/09	2016/11/11	12.55
27855	Goldman Sachs SP (Asia) - China Tel European Wts Oct 2016 A	60,000,000	2016/05/09	2016/10/24	10.26
27833	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Nov 2016 A	60,000,000	2016/05/09	2016/11/10	15.00
27862	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Feb 2017 A	80,000,000	2016/05/09	2017/02/23	12.00
27849	Goldman Sachs SP (Asia) - Lenovo European Wts Nov 2016 B	80,000,000	2016/05/09	2016/11/09	32.16
27829	Goldman Sachs SP (Asia) - Sands China Euro Wts Oct 2016 A	80,000,000	2016/05/09	2016/10/17	12.16
27830	Goldman Sachs SP (Asia) - Sands China Eur Put Wts Dec 2016 B	60,000,000	2016/05/09	2016/12/28	15.00
27826	HK Bank - HSI European Warrants Nov 2016 A	180,000,000	2016/05/09	2016/11/29	27.00
27828	HK Bank - HSI European Put Warrants Aug 2016 F	180,000,000	2016/05/09	2016/08/30	28.80
27827	HK Bank - HSI European Put Warrants Oct 2016 C	180,000,000	2016/05/09	2016/10/28	27.00
27844	Macquarie Bank Ltd. - CM Bank European Warrants Oct 2016 A	65,000,000	2016/05/09	2016/10/04	10.01
27836	Macquarie Bank Ltd. - Hang Seng Bank European Wts Dec 2016 A	35,000,000	2016/05/09	2016/12/02	10.08
27845	Macquarie Bank Ltd. - HS H-SHARE European Warrants Dec 2016A	40,000,000	2016/05/09	2016/12/02	10.00
27841	Macquarie Bank Ltd. - Jiangxi Copper European Wts Dec 2016 A	10,000,000	2016/05/09	2016/12/02	10.91
27876	Credit Suisse AG - AIA European Warrants Sep 2016 C	70,000,000	2016/05/10	2016/09/30	17.50
27880	Credit Suisse AG - BOC HK European Warrants Dec 2016 A	40,000,000	2016/05/10	2016/12/30	10.00
27877	Credit Suisse AG - CKH European Warrants Dec 2016 B	40,000,000	2016/05/10	2016/12/05	10.00
27878	Credit Suisse AG - SHK Ppt European Warrants Dec 2016 B	40,000,000	2016/05/10	2016/12/05	10.00
27868	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Nov 2016 D	80,000,000	2016/05/10	2016/11/10	24.72
27894	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2016 C	200,000,000	2016/05/10	2016/10/28	30.00
27891	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2016 I	200,000,000	2016/05/10	2016/09/29	30.00
27893	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2016 B	200,000,000	2016/05/10	2016/10/28	30.00
27884	J P Morgan SP BV - HKEX European Warrants Feb 2017 A	200,000,000	2016/05/10	2017/02/28	30.00
27882	J P Morgan SP BV - HSBC European Warrants Dec 2016 C	100,000,000	2016/05/10	2016/12/01	15.20
27886	J P Morgan SP BV - HSCEI European Wts Dec 2016 C	200,000,000	2016/05/10	2016/12/29	30.20
27890	J P Morgan SP BV - Ping An European Warrants Nov 2016 C	100,000,000	2016/05/10	2016/11/25	39.50
27888	J P Morgan SP BV - Tencent European Warrants Sep 2016 A	100,000,000	2016/05/10	2016/09/01	55.10

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27865	Macquarie Bank Ltd. – AIA European Put Warrants Dec 2016 A	40,000,000	2016/05/10	2016/12/02	10.08
27870	Macquarie Bank Ltd. – CITIC Sec European Warrants Nov 2016 A	55,000,000	2016/05/10	2016/11/04	10.01
27871	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2017 B	25,000,000	2016/05/10	2017/02/02	10.23
27867	Macquarie Bank Ltd. – New World Dev European Wts Dec 2016 A	30,000,000	2016/05/10	2016/12/02	10.02
27869	Macquarie Bank Ltd. – Tencent European Warrants Dec 2016 A	28,000,000	2016/05/10	2016/12/02	10.05
27874	UBS AG – CC Bank European Warrants Sep 2016 A	70,000,000	2016/05/10	2016/09/23	10.50
27875	UBS AG – Ping An European Warrants Oct 2016 E	100,000,000	2016/05/10	2016/10/03	17.10
27080 #	J P Morgan SP BV – Tencent European Warrants Apr 2017 A	200,000,000	2016/05/10	2017/04/03	20.40
10393	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Dec 2016 A	50,000,000	2016/05/11	2016/12/22	12.50
10394	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wt Dec 2016 B	50,000,000	2016/05/11	2016/12/22	12.50
10396	BNP Paribas Arbit Issu B.V. – GBP/USD European Wts Dec16A	50,000,000	2016/05/11	2016/12/22	12.50
10397	BNP Paribas Arbit Issu B.V. – GBP/USD European Put Wt Dec16B	50,000,000	2016/05/11	2016/12/22	12.50
10395	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Dec 2016 A	50,000,000	2016/05/11	2016/12/22	12.50
27928	Credit Suisse AG – CITIC European Warrants Dec 2016 A	30,000,000	2016/05/11	2016/12/05	18.00
27923	Credit Suisse AG – China Life European Warrants Oct 2016 A	80,000,000	2016/05/11	2016/10/31	12.00
27926	Credit Suisse AG – Jiangxi Copper European Wts Nov 2016 A	30,000,000	2016/05/11	2016/11/10	10.50
27925	Credit Suisse AG – Stanchart European Warrants Dec 2016 B	40,000,000	2016/05/11	2016/12/05	21.20
27934	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2016 B	100,000,000	2016/05/11	2016/09/29	15.00
27930	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2016 D	100,000,000	2016/05/11	2016/11/02	15.00
27904	Goldman Sachs SP (Asia) – HSCEI European Warrants Mar 2017 A	120,000,000	2016/05/11	2017/03/30	30.00
27895	Goldman Sachs SP (Asia) – HSCEI European Warrants Jun 2017 A	120,000,000	2016/05/11	2017/06/29	18.00
27931	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2016 B	100,000,000	2016/05/11	2016/10/31	15.00
27933	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2016 C	100,000,000	2016/05/11	2016/10/31	15.00
27941	J P Morgan SP BV – China Mob European Wts Nov 2016 C	100,000,000	2016/05/11	2016/11/01	25.10
27938	J P Morgan SP BV – Tencent European Warrants Feb 2017 B	200,000,000	2016/05/11	2017/02/20	30.20
27940	J P Morgan SP BV – Tracker Fund European Put Warrants Feb17B	100,000,000	2016/05/11	2017/02/07	15.20
27929	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Jun 2017 A	40,000,000	2016/05/11	2017/06/02	10.00
27905	Macquarie Bank Ltd. – Kingdee Int'l European Wts Mar 2017 A	20,000,000	2016/05/11	2017/03/16	10.00
27906	SG Issuer – Geely Auto European Warrants Dec 2016 A	20,000,000	2016/05/11	2016/12/05	10.00
27909	SG Issuer – GWMC European Warrants Jan 2018 A	100,000,000	2016/05/11	2018/01/04	16.00
27922	SG Issuer – HSI European Warrants Dec 2016 D	150,000,000	2016/05/11	2016/12/29	31.50
27921	SG Issuer – HSI European Warrants Feb 2017 A	150,000,000	2016/05/11	2017/02/27	37.50
27920	SG Issuer – HSI European Warrants Jun 2017 A	150,000,000	2016/05/11	2017/06/29	37.50
27914	UBS AG – HSI European Warrants Aug 2016 E	300,000,000	2016/05/11	2016/08/30	45.00
27919	UBS AG – HSI European Warrants Nov 2016 B	300,000,000	2016/05/11	2016/11/29	45.00
27959	Credit Suisse AG – CNOOC European Warrants Jun 2018 A	100,000,000	2016/05/12	2018/06/04	15.00
27961	Credit Suisse AG – Chi Res Land European Warrants Nov 2016 A	50,000,000	2016/05/12	2016/11/03	10.00
27964	Credit Suisse AG – CSOP A50 ETF European Warrants Nov 2016 A	33,000,000	2016/05/12	2016/11/03	10.23
27962	Credit Suisse AG – HSBC European Wts Nov 2016 C	100,000,000	2016/05/12	2016/11/03	18.00
27963	Credit Suisse AG – PCCW European Warrants Nov 2016 A	40,000,000	2016/05/12	2016/11/14	10.00
27969	Goldman Sachs SP (Asia) – Agri Bk European Wts May 2017 A	60,000,000	2016/05/12	2017/05/31	15.00
27971	Goldman Sachs SP (Asia) – AIA European Warrants Nov 2016 A	80,000,000	2016/05/12	2016/11/14	23.52
27967	Goldman Sachs SP (Asia) – Dongfeng European Wts Nov 2016 A	20,000,000	2016/05/12	2016/11/28	10.04
27970	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Oct 2016 A	80,000,000	2016/05/12	2016/10/24	18.80

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27966	Goldman Sachs SP (Asia) – HKEX European Warrants Feb 2017 B	100,000,000	2016/05/12	2017/02/20	15.00
27968	Goldman Sachs SP (Asia) – PetroChina European Wts Dec 2016 A	60,000,000	2016/05/12	2016/12/05	15.54
27957	Macquarie Bank Ltd. – CC Bank European Put Warrants Jan 17 A	40,000,000	2016/05/12	2017/01/03	10.00
27956	Macquarie Bank Ltd. – CPIC European Warrants Jan 2017 A	40,000,000	2016/05/12	2017/01/04	10.00
27958	Macquarie Bank Ltd. – PICC Group European Wts Dec 2016 A	35,000,000	2016/05/12	2016/12/02	10.01
27942	Macquarie Bank Ltd. – Tencent European Warrants Dec 2016 B	13,000,000	2016/05/12	2016/12/02	10.01
27954	SG Issuer – GF Sec European Warrants Dec 2016 A	70,000,000	2016/05/12	2016/12/05	10.50
27953	SG Issuer – GF Sec European Warrants Feb 2017 A	70,000,000	2016/05/12	2017/02/03	19.95
27965	SG Issuer – Weichai Power European Warrants Sep 2017 A	80,000,000	2016/05/12	2017/09/05	20.00
27952	UBS AG – CKH European Warrants Nov 2017 A	100,000,000	2016/05/12	2017/11/28	15.00
27945	UBS AG – China Life European Warrants Oct 2016 A	100,000,000	2016/05/12	2016/10/27	15.00
27950	UBS AG – Tencent Holdings European Warrants Aug 2016 A	50,000,000	2016/05/12	2016/08/26	35.15
27949	UBS AG – Tencent Holdings European Warrants Feb 2017 A	300,000,000	2016/05/12	2017/02/20	45.00
27976	BNP Paribas Arbit Issu B.V. – CKH European Wts Dec 2016 B	40,000,000	2016/05/13	2016/12/02	13.60
27974	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2017 A	60,000,000	2016/05/13	2017/03/02	15.00
27975	BNP Paribas Arbit Issu B.V. – Ping An European Wt Dec 2016 C	60,000,000	2016/05/13	2016/12/02	16.80
27983	Credit Suisse AG – CITIC Bank European Warrants Dec 2016 A	40,000,000	2016/05/13	2016/12/05	10.00
27980	Credit Suisse AG – CM Bank European Warrants Dec 2016 A	70,000,000	2016/05/13	2016/12/28	10.50
27981	Credit Suisse AG – China Railway European Warrants Oct 2016A	30,000,000	2016/05/13	2016/10/31	16.50
27984	Goldman Sachs SP (Asia) – China Life Euro Wts Nov 2016 C	150,000,000	2016/05/13	2016/11/25	22.50
27972	Goldman Sachs SP (Asia) – HKEX European Warrants Feb 2017 C	150,000,000	2016/05/13	2017/02/20	41.25
27987	J P Morgan SP BV – China Life European Warrants Dec 2016 D	100,000,000	2016/05/13	2016/12/01	15.20
27988	J P Morgan SP BV – Galaxy Ent European Warrants Dec 2016 A	100,000,000	2016/05/13	2016/12/01	25.10
27986	J P Morgan SP BV – HSBC European Warrants Dec 2016 D	100,000,000	2016/05/13	2016/12/02	15.10
27985	J P Morgan SP BV – HSI European Warrants Dec 2016 C	300,000,000	2016/05/13	2016/12/29	75.30
27978	SG Issuer – China Tel European Warrants Dec 2016 A	35,000,000	2016/05/13	2016/12/05	10.15
27973	UBS AG – CITIC Sec European Warrants Nov 2016 A	60,000,000	2016/05/13	2016/11/23	11.52
27989	BOCI Asia Ltd. – HSBC European Warrants Nov 2016 B	200,000,000	2016/05/16	2016/11/28	50.00
27994	BOCI Asia Ltd. – HSBC European Warrants Nov 2016 C	200,000,000	2016/05/16	2016/11/07	30.00
27995	BOCI Asia Ltd. – PetroChina European Warrants Dec 2016 A	100,000,000	2016/05/16	2016/12/12	32.00
28003	Bank of East Asia – HKEX European Warrants Feb 2017 A	70,000,000	2016/05/16	2017/02/20	10.50
28006	Bank of East Asia – Ping An European Warrants Nov 2016 A	40,000,000	2016/05/16	2016/11/25	11.40
28004	Bank of East Asia – Yanzhou Coal European Wts Dec 2016 A	30,000,000	2016/05/16	2016/12/01	10.50
28034	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Sep 2016 A	80,000,000	2016/05/16	2016/09/30	12.72
28025	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2016 D	200,000,000	2016/05/16	2016/10/28	30.20
28033	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2016 A	200,000,000	2016/05/16	2016/12/29	50.00
28002	HK Bank – AIA European Warrants Nov 2016 A	80,000,000	2016/05/16	2016/11/30	20.00
28000	HK Bank – China Life European Warrants Sep 2016 B	80,000,000	2016/05/16	2016/09/30	12.00
27996	HK Bank – HSI European Put Warrants Dec 2016 B	200,000,000	2016/05/16	2016/12/29	50.00
28021	J P Morgan SP BV – BankComm European Warrants Mar 2017 A	80,000,000	2016/05/16	2017/03/01	20.64
28024	Macquarie Bank Ltd. – CM Bank European Put Warrants Aug 17 A	40,000,000	2016/05/16	2017/08/02	10.00
28012	Macquarie Bank Ltd. – China Unicom European Wts Dec 2017 A	67,000,000	2016/05/16	2017/12/04	10.05
28020	Macquarie Bank Ltd. – Galaxy Ent European Put Wts Nov 2016 A	55,000,000	2016/05/16	2016/11/02	10.01
28008	SG Issuer – HKEX European Warrants Mar 2017 C	200,000,000	2016/05/16	2017/03/03	31.00

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28050	Goldman Sachs SP (Asia) – CITIC European Warrants Oct 2017 A	100,000,000	2016/05/17	2017/10/26	15.90
28035	Goldman Sachs SP (Asia) – CRCC European Warrants Jun 2017 A	60,000,000	2016/05/17	2017/06/30	10.14
28036	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Oct 2017 A	100,000,000	2016/05/17	2017/10/27	16.10
28038	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2016 E	100,000,000	2016/05/17	2016/11/30	25.00
28051	J P Morgan SP BV – BOC HK European Warrants Dec 2016 B	100,000,000	2016/05/17	2016/12/30	16.30
28046	Macquarie Bank Ltd. – Galaxy Ent European Wts Mar 2017 A	40,000,000	2016/05/17	2017/03/02	10.00
28049	Macquarie Bank Ltd. – Sands China European Wts Feb 2017 A	40,000,000	2016/05/17	2017/02/02	10.00
28043	SG Issuer – Lenovo European Warrants Jan 2017 B	16,000,000	2016/05/17	2017/01/05	10.08
28041	SG Issuer – Ping An European Warrants Dec 2016 B	120,000,000	2016/05/17	2016/12/05	18.00
28053	BOCI Asia Ltd. – China Life European Warrants Nov 2016 B	200,000,000	2016/05/18	2016/11/08	39.60
28067	BNP Paribas Arbit Issu B.V. – CICC European Warrants Mar 17A	40,000,000	2016/05/18	2017/03/02	10.00
28076	Credit Suisse AG – CRCC European Warrants Dec 2016 A	70,000,000	2016/05/18	2016/12/05	10.50
28074	Credit Suisse AG – Lenovo European Warrants Dec 2016 B	30,000,000	2016/05/18	2016/12/05	10.80
28073	Credit Suisse AG – Ping An European Warrants Oct 2016 E	80,000,000	2016/05/18	2016/10/25	12.00
28052	Goldman Sachs SP (Asia) – BOCL European Warrants Dec 2016 A	80,000,000	2016/05/18	2016/12/21	12.00
28062	Macquarie Bank Ltd. – China Mobile European Wts Apr 2017 A	40,000,000	2016/05/18	2017/04/05	10.00
28060	Macquarie Bank Ltd. – China Overseas European Wts Dec 2016 A	7,000,000	2016/05/18	2016/12/02	10.67
28056	Macquarie Bank Ltd. – HKEX European Warrants Dec 2016 D	16,000,000	2016/05/18	2016/12/02	10.05
28059	Macquarie Bank Ltd. – HKEX European Put Warrants Dec 2016 E	16,000,000	2016/05/18	2016/12/02	10.05
28068	Macquarie Bank Ltd. – Tencent European Warrants Dec 2016 C	10,000,000	2016/05/18	2016/12/02	16.95
28070	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2016 D	10,000,000	2016/05/18	2016/12/02	13.05
28055	SG Issuer – Anhui Conch European Warrants Jan 2017 A	40,000,000	2016/05/18	2017/01/05	10.00
28071	SG Issuer – CCoal European Warrants Dec 2016 A	22,000,000	2016/05/18	2016/12/05	10.01
28072	SG Issuer – MGM China European Warrants May 2017 A	80,000,000	2016/05/18	2017/05/05	20.00
28066	UBS AG – CITIC European Warrants Dec 2017 A	70,000,000	2016/05/18	2017/12/20	10.50
28065	UBS AG – China Unicom European Warrants Dec 2017 A	100,000,000	2016/05/18	2017/12/20	15.00
28079	BOCI Asia Ltd. – AIA European Warrants Nov 2016 C	200,000,000	2016/05/19	2016/11/21	50.00
28077	BOCI Asia Ltd. – Ping An European Warrants Jan 2017 A	200,000,000	2016/05/19	2017/01/23	50.00
28100	Credit Suisse AG – Agricultural Bank European Wts Jan 2017 A	70,000,000	2016/05/19	2017/01/03	10.50
28101	Credit Suisse AG – BOCL European Warrants Aug 2017 A	70,000,000	2016/05/19	2017/08/02	10.50
28115	Goldman Sachs SP (Asia) – AIA European Warrants Oct 2016 A	80,000,000	2016/05/19	2016/10/03	12.32
28106	Goldman Sachs SP (Asia) – HKEX European Warrants Dec 2016 D	80,000,000	2016/05/19	2016/12/05	20.00
28109	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Oct 2016 B	50,000,000	2016/05/19	2016/10/04	12.50
28111	Goldman Sachs SP (Asia) – Lenovo European Wts Dec 2016 C	50,000,000	2016/05/19	2016/12/30	12.50
28105	Goldman Sachs SP (Asia) – Tracker Fund European Wts May 17 A	80,000,000	2016/05/19	2017/05/23	12.00
28081	HK Bank – HSI European Warrants Sep 2016 K	180,000,000	2016/05/19	2016/09/29	28.80
28080	HK Bank – HSI European Warrants Oct 2016 D	180,000,000	2016/05/19	2016/10/28	27.00
28085	Macquarie Bank Ltd. – Lenovo European Warrants Jan 2017 A	18,000,000	2016/05/19	2017/01/04	10.01
28089	Macquarie Bank Ltd. – SMIC European Warrants Apr 2019 A	40,000,000	2016/05/19	2019/04/16	10.00
28082	SG Issuer – CHALCO European Warrants Dec 2016 A	30,000,000	2016/05/19	2016/12/05	10.05
28092	SG Issuer – China EB Ltd European Warrants Aug 2017 A	50,000,000	2016/05/19	2017/08/03	12.50
28090	SG Issuer – CKI Holdings European Warrants Jan 2017 A	20,000,000	2016/05/19	2017/01/05	10.00
28093	SG Issuer – Fosun Intl European Warrants Mar 2017 A	80,000,000	2016/05/19	2017/03/03	12.00
28083	SG Issuer – JIANG European Warrants Dec 2017 A	100,000,000	2016/05/19	2017/12/05	18.50

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28098	SG Issuer – Zijin Mining European Warrants Dec 2016 A	25,000,000	2016/05/19	2016/12/05	10.00
28151	Credit Suisse AG – AIA European Warrants Sep 2016 D	70,000,000	2016/05/20	2016/09/30	16.10
28152	Credit Suisse AG – CICC European Warrants Dec 2016 A	40,000,000	2016/05/20	2016/12/30	10.00
28147	Credit Suisse AG – HSBC European Wts Oct 2016 E	100,000,000	2016/05/20	2016/10/07	25.00
28128	Credit Suisse AG – HSI European Warrants Dec 2016 B	120,000,000	2016/05/20	2016/12/29	18.00
28129	Credit Suisse AG – HSI European Put Warrants Sep 2016 E	120,000,000	2016/05/20	2016/09/29	18.00
28131	Credit Suisse AG – HSI European Put Warrants Oct 2016 A	120,000,000	2016/05/20	2016/10/28	18.00
28144	Credit Suisse AG – Ping An European Warrants Dec 2016 D	80,000,000	2016/05/20	2016/12/05	16.00
28173	Goldman Sachs SP (Asia) – Agri Bk European Wts Dec 2016 A	80,000,000	2016/05/20	2016/12/23	12.00
28174	Goldman Sachs SP (Asia) – CC Bank European Wts Oct 2016 A	80,000,000	2016/05/20	2016/10/31	12.00
28167	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2016 J	200,000,000	2016/05/20	2016/09/29	30.00
28177	Goldman Sachs Sp (Asia) – ICBC European Warrants Oct 2016 A	80,000,000	2016/05/20	2016/10/31	12.00
28161	J P Morgan SP BV – China Mob European Wts Dec 2016 C	100,000,000	2016/05/20	2016/12/01	29.50
28164	J P Morgan SP BV – CNOOC European Put Warrants Nov 2017 A	150,000,000	2016/05/20	2017/11/30	22.95
28158	J P Morgan SP BV – HSI European Warrants Nov 2016 C	300,000,000	2016/05/20	2016/11/29	75.30
28160	J P Morgan SP BV – Li & Fung European Warrants Dec 2016 A	60,000,000	2016/05/20	2016/12/30	16.02
28165	J P Morgan SP BV – Lenovo European Warrants Dec 2016 C	60,000,000	2016/05/20	2016/12/05	22.20
28159	J P Morgan SP BV – SHK Ppt European Warrants Dec 2016 A	60,000,000	2016/05/20	2016/12/30	17.88
28119	Macquarie Bank Ltd. – Anta Sports European Wts Mar 2017 A	34,000,000	2016/05/20	2017/03/21	10.10
28118	Macquarie Bank Ltd. – China Life European Wts Dec 2016 C	13,000,000	2016/05/20	2016/12/02	10.02
28123	Macquarie Bank Ltd. – Ping An European Warrants Dec 2016 C	10,000,000	2016/05/20	2016/12/02	10.36
28124	Macquarie Bank Ltd. – Ping An European Put Warrants Jan 17 C	10,000,000	2016/05/20	2017/01/04	10.58
28127	Macquarie Bank Ltd. – Sunny Optical European Warrants Mar17A	13,000,000	2016/05/20	2017/03/24	10.08
28121	SG Issuer – SHK P European Warrants Jan 2017 B	30,000,000	2016/05/20	2017/01/05	11.85
28168	UBS AG – CKP European Warrants Oct 2016 A	70,000,000	2016/05/20	2016/10/26	10.71
28120	UBS AG – HSI European Warrants Aug 2016 F	300,000,000	2016/05/20	2016/08/30	45.00
28172	UBS AG – HSI European Put Warrants Sep 2016 K	300,000,000	2016/05/20	2016/09/29	45.90
28193	Goldman Sachs SP (Asia) – CKH European Wts Nov 2016 B	50,000,000	2016/05/23	2016/11/30	12.50
28217	Goldman Sachs SP (Asia) – Ping An European Wts Nov 2016 A	100,000,000	2016/05/23	2016/11/30	16.60
28218	Goldman Sachs SP (Asia) – SHK Ppt European Wts Dec 2016 A	100,000,000	2016/05/23	2016/12/30	25.00
28192	Haitong Int'l Sec – Ch Comm Cons European Warrants Sep 2017A	68,000,000	2016/05/23	2017/09/28	10.34
28189	Haitong Int'l Sec – China Coal European Warrants Nov 2016 A	30,000,000	2016/05/23	2016/11/28	10.50
28190	Haitong Int'l Sec – CRCC European Warrants May 2017 A	50,000,000	2016/05/23	2017/05/31	12.50
28191	Haitong Int'l Sec – CRCC European Warrants Jun 2017 A	50,000,000	2016/05/23	2017/06/28	10.00
28186	Haitong Int'l Sec – CRRC European Warrants Jun 2017 A	80,000,000	2016/05/23	2017/06/29	12.00
28184	Haitong Int'l Sec – China Telecom European Warrants Nov 16 A	68,000,000	2016/05/23	2016/11/28	10.20
28179	Haitong Int'l Sec – Li & Fung European Warrants Nov 2016 A	50,000,000	2016/05/23	2016/11/23	12.50
28208	Macquarie Bank Ltd. – Minsheng Bank European Wts Dec 2016 A	23,000,000	2016/05/23	2016/12/02	10.01
28207	Macquarie Bank Ltd. – PetroChina European Wts Dec 2016 A	18,000,000	2016/05/23	2016/12/02	10.03
28210	SG Issuer – BOCL European Warrants Feb 2017 A	80,000,000	2016/05/23	2017/02/03	20.00
28211	SG Issuer – CCB European Warrants Dec 2016 A	80,000,000	2016/05/23	2016/12/05	20.00
28212	SG Issuer – Geely Auto European Warrants Jan 2017 A	18,000,000	2016/05/23	2017/01/05	10.44
28214	SG Issuer – ICBC European Warrants Jan 2017 A	80,000,000	2016/05/23	2017/01/05	20.00
28203	UBS AG – BOCL European Warrants Sep 2016 B	70,000,000	2016/05/23	2016/09/23	10.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
28215	UBS AG – CKH European Warrants Nov 2016 A	30,000,000	2016/05/23	2016/11/22	10.65
28216	UBS AG – Sinopec Corp European Warrants Oct 2016 A	50,000,000	2016/05/23	2016/10/27	19.90
28199	UBS AG – Lenovo European Warrants Dec 2016 C	30,000,000	2016/05/23	2016/12/12	10.29
28232	Credit Suisse AG – BOCL European Warrants Oct 2016 B	70,000,000	2016/05/24	2016/10/05	10.50
28231	Credit Suisse AG – CC Bank European Warrants Oct 2016 A	60,000,000	2016/05/24	2016/10/05	10.80
28233	Credit Suisse AG – Tencent Holdings European Wts Jun 2017 A	100,000,000	2016/05/24	2017/06/05	15.00
28226	Macquarie Bank Ltd. – BOCHK European Warrants Feb 2017 B	10,000,000	2016/05/24	2017/02/02	10.43
28219	Macquarie Bank Ltd. – CKH European Warrants Dec 2016 A	19,000,000	2016/05/24	2016/12/02	10.01
28230	Macquarie Bank Ltd. – Huaneng Power European Wts Jun 2017 A	20,000,000	2016/05/24	2017/06/02	10.20
28220	SG Issuer – AGBK European Warrants Jun 2017 A	80,000,000	2016/05/24	2017/06/05	20.00
28222	SG Issuer – CNBM European Warrants Feb 2017 A	18,000,000	2016/05/24	2017/02/03	10.08
28225	SG Issuer – Haitong Sec European Warrants Jul 2017 A	60,000,000	2016/05/24	2017/07/05	15.00
28236	UBS AG – Ping An European Warrants Dec 2016 B	100,000,000	2016/05/24	2016/12/30	25.00
28234	UBS AG – Tencent Holdings European Put Warrants Nov 2016 A	100,000,000	2016/05/24	2016/11/25	15.10
28247	Goldman Sachs SP (Asia) – CITIC Bank European Wts Nov 2016 A	70,000,000	2016/05/25	2016/11/28	10.50
28243	J P Morgan SP BV – BOCL European Warrants May 2017 A	100,000,000	2016/05/25	2017/05/31	25.10
28246	J P Morgan SP BV – CNOOC European Warrants Oct 2016 B	100,000,000	2016/05/25	2016/10/31	51.60
28245	J P Morgan SP BV – Ch Railway Cons European Wts Jun 2017 A	80,000,000	2016/05/25	2017/06/30	14.00
28244	J P Morgan SP BV – Ping An European Warrants Dec 2016 C	100,000,000	2016/05/25	2016/12/01	27.30
28242	Macquarie Bank Ltd. – BOCL European Warrants Jun 2017 A	40,000,000	2016/05/25	2017/06/02	10.00
28281	Credit Suisse AG – Tencent Holdings European Wts Mar 2017 A	100,000,000	2016/05/26	2017/03/02	15.00
28276	Goldman Sachs SP (Asia) – CICC European Warrants Dec 2016 A	40,000,000	2016/05/26	2016/12/19	10.00
28259	Goldman Sachs SP (Asia) – CNBM European Warrants Mar 2017 A	40,000,000	2016/05/26	2017/03/15	10.04
28258	Goldman Sachs SP (Asia) – Ch Overseas European Wts Jan 2017 A	50,000,000	2016/05/26	2017/01/09	12.50
28257	Goldman Sachs SP (Asia) – Link REIT European Wts Mar 2017 A	50,000,000	2016/05/26	2017/03/29	12.50
28248	HK Bank – HSCEI European Put Warrants Dec 2016 E	100,000,000	2016/05/26	2016/12/29	18.00
28251	HK Bank – HSI European Put Warrants Dec 2016 C	180,000,000	2016/05/26	2016/12/29	27.00
28285	J P Morgan SP BV – China Cinda European Warrants Dec 2016 B	70,000,000	2016/05/26	2016/12/02	10.64
28286	J P Morgan SP BV – Tencent European Warrants Sep 2016 B	100,000,000	2016/05/26	2016/09/30	31.00
28287	J P Morgan SP BV – Tencent European Put Warrants Oct 2016 C	100,000,000	2016/05/26	2016/10/31	55.90
28282	Macquarie Bank Ltd. – China Life European Put Warrants Jan17A	10,500,000	2016/05/26	2017/01/04	10.02
28261	SG Issuer – AIA European Warrants Nov 2016 B	100,000,000	2016/05/26	2016/11/03	15.00
28263	SG Issuer – CLife European Warrants Aug 2017 A	150,000,000	2016/05/26	2017/08/03	22.50
28264	SG Issuer – Ch Mob European Warrants Dec 2016 C	80,000,000	2016/05/26	2016/12/05	22.00
28272	SG Issuer – CNOOC European Warrants Dec 2016 B	30,000,000	2016/05/26	2016/12/05	14.70
28274	SG Issuer – Galaxy Ent European Warrants Dec 2016 B	80,000,000	2016/05/26	2016/12/05	22.80
28283	SG Issuer – Tracker Fund European Warrants Dec 2016 A	100,000,000	2016/05/26	2016/12/05	18.00
28284	SG Issuer – Tracker Fund European Warrants Jul 2017 A	100,000,000	2016/05/26	2017/07/03	15.00
26389 [#]	J P Morgan SP BV – China Life European Warrants Sep 2016 C	200,000,000	2016/05/26	2016/09/01	12.00
28298	Credit Suisse AG – Kingsoft European Warrants Dec 2016 A	40,000,000	2016/05/27	2016/12/05	10.00
28288	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2016 E	80,000,000	2016/05/27	2016/11/28	26.80
28297	Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2016 A	50,000,000	2016/05/27	2016/10/24	11.70
28314	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2016 B	200,000,000	2016/05/27	2016/12/29	30.00
28291	Goldman Sachs SP (Asia) – Tencent European Wt Jun 2017 A	300,000,000	2016/05/27	2017/06/30	50.70

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		issue (units)	Listing date	Expiry	
28292	Goldman Sachs SP (Asia) – Tencent European Put Wt Dec 2017 A	180,000,000	2016/05/27	2017/12/22	29.34
28316	J P Morgan SP BV – Anta Sports European Warrants Dec 2016 A	60,000,000	2016/05/27	2016/12/30	15.00
28317	J P Morgan SP BV – China Tel European Warrants Dec 2016 A	80,000,000	2016/05/27	2016/12/30	20.00
28300	J P Morgan SP BV – HSI European Put Warrants Dec 2016 D	300,000,000	2016/05/27	2016/12/29	45.30
28319	J P Morgan SP BV – Kingsoft European Warrants Oct 2016 B	70,000,000	2016/05/27	2016/10/05	10.64
28296	Macquarie Bank Ltd. – Kingsoft European Warrants Feb 2017 A	38,000,000	2016/05/27	2017/02/02	10.03
28301	SG Issuer – HKEX European Warrants Dec 2016 D	20,000,000	2016/05/27	2016/12/05	10.60
28305	SG Issuer – Kingsoft European Warrants Dec 2016 A	40,000,000	2016/05/27	2016/12/05	10.00
28290	SG Issuer – Kingsoft European Warrants Jan 2017 A	40,000,000	2016/05/27	2017/01/05	10.00
28309	SG Issuer – Tencent European Warrants Oct 2016 C	30,000,000	2016/05/27	2016/10/05	11.55
28307	SG Issuer – Tencent European Warrants Dec 2016 D	30,000,000	2016/05/27	2016/12/05	21.60
28312	SG Issuer – Tencent European Put Warrants Nov 2016 B	30,000,000	2016/05/27	2016/11/03	19.80
28293	UBS AG – Kingsoft European Warrants Nov 2016 B	100,000,000	2016/05/27	2016/11/28	35.00
28342	BOCI Asia Ltd. – CC Bank European Warrants Jan 2017 A	100,000,000	2016/05/30	2017/01/09	25.20
28341	BOCI Asia Ltd. – ICBC European Warrants Jan 2017 A	100,000,000	2016/05/30	2017/01/09	20.50
28336	BOCI Asia Ltd. – Tencent Holdings European Wts Jun 2017 A	200,000,000	2016/05/30	2017/06/02	30.00
28339	BOCI Asia Ltd. – Tencent Holdings European Put Wts Dec 2016 E	100,000,000	2016/05/30	2016/12/02	15.00
28374	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2016 C	100,000,000	2016/05/30	2016/10/28	15.00
28368	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2016 C	100,000,000	2016/05/30	2016/12/29	25.00
28375	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2017 A	100,000,000	2016/05/30	2017/02/27	16.00
28384	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2017 B	100,000,000	2016/05/30	2017/03/30	25.00
28376	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2017 B	100,000,000	2016/05/30	2017/02/27	25.00
28385	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2017 C	100,000,000	2016/05/30	2017/03/30	25.00
28333	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Mar 2017 A	40,000,000	2016/05/30	2017/03/02	10.00
28332	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Wts Jan 2017 B	40,000,000	2016/05/30	2017/01/04	12.40
28389	Goldman Sachs SP (Asia) – Kingsoft European Wts Dec 2016 A	40,000,000	2016/05/30	2016/12/05	10.00
28320	HK Bank – CNOOC European Warrants Nov 2016 B	50,000,000	2016/05/30	2016/11/30	31.00
28329	HK Bank – Kingsoft European Warrants Dec 2016 B	50,000,000	2016/05/30	2016/12/30	12.50
28330	HK Bank – Lenovo European Warrants Dec 2016 C	40,000,000	2016/05/30	2016/12/30	12.40
28321	HK Bank – Ping An European Warrants Nov 2016 A	80,000,000	2016/05/30	2016/11/30	24.00
28360	J P Morgan SP BV – CKH European Warrants Nov 2017 A	200,000,000	2016/05/30	2017/11/30	30.20
28364	J P Morgan SP BV – China Life European Warrants Sep 2016 D	100,000,000	2016/05/30	2016/09/30	15.00
28386	J P Morgan SP BV – China Life European Warrants Sep 2016 E	200,000,000	2016/05/30	2016/09/09	30.00
28345	Macquarie Bank Ltd. – CITIC Bank European Wts Oct 2016 B	65,000,000	2016/05/30	2016/10/04	10.14
28343	Macquarie Bank Ltd. – Ping An European Warrants Mar 2017 A	40,000,000	2016/05/30	2017/03/02	10.00
28350	Macquarie Bank Ltd. – Sino Biopharm European Warrants May17A	10,000,000	2016/05/30	2017/05/16	10.00
28335	SG Issuer – Anta Sports European Warrants Dec 2016 A	40,000,000	2016/05/30	2016/12/05	10.00
28353	SG Issuer – BOCHK European Warrants Jan 2017 A	70,000,000	2016/05/30	2017/01/05	10.50
28354	SG Issuer – Galaxy European Put Warrants Dec 2016 C	80,000,000	2016/05/30	2016/12/05	20.00
28355	SG Issuer – HSI European Warrants Jan 2017 A	150,000,000	2016/05/30	2017/01/26	37.50
28357	SG Issuer – HSI Euro Put Wts Nov 2016 A	150,000,000	2016/05/30	2016/11/29	37.50
28356	SG Issuer – HSI Euro Put Wts Dec 2016 E	150,000,000	2016/05/30	2016/12/29	32.25
28358	SG Issuer – Sands China European Warrants Jan 2017 A	80,000,000	2016/05/30	2017/01/05	20.00
28424	Goldman Sachs SP (Asia) – CGN Power European Warrants Dec16A	30,000,000	2016/05/31	2016/12/30	10.05

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28422	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2016 C	100,000,000	2016/05/31	2016/09/27	15.00
28393	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2016 E	200,000,000	2016/05/31	2016/10/28	30.00
28401	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2016 C	200,000,000	2016/05/31	2016/12/29	50.00
28406	Haitong Int'l Sec – China Life European Warrants Nov 2016 A	80,000,000	2016/05/31	2016/11/08	12.00
28408	Haitong Int'l Sec – Kingsoft European Warrants Dec 2016 A	40,000,000	2016/05/31	2016/12/28	10.04
28402	Haitong Int'l Sec – Lenovo European Warrants Dec 2016 A	40,000,000	2016/05/31	2016/12/28	10.36
28421	J P Morgan SP BV – Ping An European Warrants Sep 2016 E	200,000,000	2016/05/31	2016/09/30	30.00
28420	Macquarie Bank Ltd. – CNOOC European Warrants Apr 2017 A	15,000,000	2016/05/31	2017/04/05	10.05
28419	Macquarie Bank Ltd. – Tencent European Warrants Jan 2017 B	27,000,000	2016/05/31	2017/01/04	10.02
28437	BOCI Asia Ltd. – China Life European Warrants Oct 2016 B	200,000,000	2016/06/01	2016/10/12	30.00
28438	BOCI Asia Ltd. – China Life European Warrants Sep 2017 A	200,000,000	2016/06/01	2017/09/29	50.00
28441	Goldman Sachs SP (Asia) – BOCL European Warrants Sep 2016 D	70,000,000	2016/06/01	2016/09/23	10.64
28456	Goldman Sachs SP (Asia) – China Unicom Euro Wts Nov 2017 A	100,000,000	2016/06/01	2017/11/28	15.00
28460	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2017 A	100,000,000	2016/06/01	2017/01/26	25.00
28442	J P Morgan SP BV – HSI European Put Warrants Dec 2016 E	300,000,000	2016/06/01	2016/12/29	75.30
28446	SG Issuer – BOCL European Put Warrants Feb 2017 B	80,000,000	2016/06/01	2017/02/03	20.00
28447	SG Issuer – CCB European Put Warrants Dec 2016 B	80,000,000	2016/06/01	2016/12/05	21.20
28455	SG Issuer – CNOOC European Put Warrants Dec 2016 C	20,000,000	2016/06/01	2016/12/05	13.00
28448	SG Issuer – CSOP A50 ETF European Warrants Dec 2016 B	30,000,000	2016/06/01	2016/12/05	11.70
28449	SG Issuer – HS H-SHARE European Warrants Dec 2016 A	50,000,000	2016/06/01	2016/12/05	12.50
28451	SG Issuer – HS H-SH European Put Warrants Jan 2017 C	50,000,000	2016/06/01	2017/01/05	48.00
28452	SG Issuer – ICBC European Put Wt Dec 2016 B	80,000,000	2016/06/01	2016/12/05	21.60
28453	SG Issuer – Stanchart European Warrants Jan 2017 B	20,000,000	2016/06/01	2017/01/05	10.00
28504	Credit Suisse AG – CNBM European Warrants Dec 2016 B	30,000,000	2016/06/02	2016/12/05	10.50
28510	Credit Suisse AG – HSBC European Put Warrants Nov 2016 D	40,000,000	2016/06/02	2016/11/04	12.80
28491	Goldman Sachs SP (Asia) – CKH European Wts Nov 2017 A	100,000,000	2016/06/02	2017/11/28	15.00
28480	Goldman Sachs SP (Asia) – CNOOC European Warrants May 2018 A	100,000,000	2016/06/02	2018/05/28	15.30
28507	J P Morgan SP BV – ICBC European Put Wts Dec 2016 A	100,000,000	2016/06/02	2016/12/01	15.10
28492	SG Issuer – CAM CSI300 European Warrants Jan 2017 A	50,000,000	2016/06/02	2017/01/05	12.50
28493	SG Issuer – Huaneng Power European Warrants Dec 2016 A	20,000,000	2016/06/02	2016/12/05	10.00
28466	UBS AG – AIA European Warrants Nov 2016 A	100,000,000	2016/06/02	2016/11/07	20.40
28473	UBS AG – BOCL European Warrants Dec 2016 A	70,000,000	2016/06/02	2016/12/21	10.50
28477	UBS AG – CC Bank European Warrants Dec 2016 A	70,000,000	2016/06/02	2016/12/23	10.85
28469	UBS AG – HSBC European Warrants Nov 2016 E	100,000,000	2016/06/02	2016/11/03	21.90
28472	UBS AG – ICBC European Warrants Sep 2016 B	70,000,000	2016/06/02	2016/09/23	10.50
28513	BOCI Asia Ltd. – China Mobile European Put Wts Jan 2017 B	100,000,000	2016/06/03	2017/01/03	51.10
28515	BOCI Asia Ltd. – HSBC European Warrants Nov 2016 D	200,000,000	2016/06/03	2016/11/09	32.00
28543	J P Morgan SP BV – CITIC Bank European Warrants Dec 2016 A	50,000,000	2016/06/03	2016/12/01	10.00
28544	J P Morgan SP BV – ICBC European Warrants Jul 2017 A	100,000,000	2016/06/03	2017/07/18	15.00
28557	J P Morgan SP BV – Tencent European Warrants Sep 2016 C	80,000,000	2016/06/03	2016/09/05	12.40
28552	J P Morgan SP BV – Tencent European Warrants Oct 2016 D	80,000,000	2016/06/03	2016/10/31	42.56
28530	J P Morgan SP BV – Tencent European Put Warrants Dec 2017 A	200,000,000	2016/06/03	2017/12/29	30.00
28534	Macquarie Bank Ltd. – Kingsoft European Warrants Dec 2016 B	34,000,000	2016/06/03	2016/12/05	10.17
28533	SG Issuer – HSCEI European Warrants Mar 2017 A	150,000,000	2016/06/03	2017/03/30	22.50

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		issue (units)	Listing date	Expiry	
28519	SG Issuer – Tencent European Warrants Dec 2016 E	35,000,000	2016/06/03	2016/12/05	10.15
28520	SG Issuer – Tencent European Put Warrants Mar 2017 B	300,000,000	2016/06/03	2017/03/03	75.00
28541	UBS AG – Tencent Holdings European Warrants Oct 2017 A	300,000,000	2016/06/03	2017/10/23	75.00
26575 #	Credit Suisse AG – ChiUnicom European Warrants Dec 2016 B	200,000,000	2016/06/03	2016/12/12	10.80
28596	Credit Suisse AG – CNOOC European Put Warrants Jan 2017 A	70,000,000	2016/06/06	2017/01/03	10.50
28595	Credit Suisse AG – Sinopec Corp European Warrants Nov 2016 A	70,000,000	2016/06/06	2016/11/02	11.20
28588	Credit Suisse AG – HKEX European Warrants Oct 2016 A	60,000,000	2016/06/06	2016/10/17	13.80
28600	Credit Suisse AG – HS H-SHARE European Warrants Oct 2016 A	60,000,000	2016/06/06	2016/10/25	10.80
28599	Credit Suisse AG – ICBC European Warrants Jul 2017 A	70,000,000	2016/06/06	2017/07/11	10.50
28584	Credit Suisse AG – Tencent Holdings European Wts Dec 2016 D	50,000,000	2016/06/06	2016/12/02	22.00
28580	Credit Suisse AG – Tencent Holdings Euro Put Wts Apr 2017 B	90,000,000	2016/06/06	2017/04/05	22.50
28568	Goldman Sachs SP (Asia) – CC Bank European Wts Nov 2016 A	100,000,000	2016/06/06	2016/11/23	15.40
28574	Goldman Sachs SP (Asia) – CRRC European Warrants Jul 2017 A	100,000,000	2016/06/06	2017/07/04	15.00
28566	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2016 B	200,000,000	2016/06/06	2016/11/29	30.00
28567	Goldman Sachs Sp (Asia) – ICBC European Warrants Dec 2016 A	80,000,000	2016/06/06	2016/12/30	20.08
28569	Haitong Int'l Sec – Tencent European Warrants Sep 2017 A	80,000,000	2016/06/06	2017/09/29	20.00
28577	Macquarie Bank Ltd. – Tencent European Warrants Feb 2017 A	22,000,000	2016/06/06	2017/02/02	10.01
28579	UBS AG – HSI European Put Warrants Oct 2016 B	300,000,000	2016/06/06	2016/10/28	45.00
28619	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jan 2017 A	80,000,000	2016/06/07	2017/01/03	20.00
28616	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2017 A	60,000,000	2016/06/07	2017/01/03	15.00
28603	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2017 C	100,000,000	2016/06/07	2017/02/27	26.00
28610	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2017 D	100,000,000	2016/06/07	2017/02/27	28.00
28614	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jan 2017 A	60,000,000	2016/06/07	2017/01/03	15.00
28611	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Jan 2017 A	30,000,000	2016/06/07	2017/01/03	17.70
28642	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jan 2017 A	80,000,000	2016/06/07	2017/01/18	20.00
28633	J P Morgan SP BV – AIA European Warrants Dec 2016 B	100,000,000	2016/06/07	2016/12/06	25.10
28629	J P Morgan SP BV – CC Bank European Wts Nov 2016 B	100,000,000	2016/06/07	2016/11/30	15.40
28628	J P Morgan SP BV – CITIC Sec European Warrants Nov 2016 A	100,000,000	2016/06/07	2016/11/29	15.20
28637	J P Morgan SP BV – CSOP A50 ETF European Warrants Nov 2016 A	100,000,000	2016/06/07	2016/11/01	15.00
28634	J P Morgan SP BV – A50 China European Warrants Dec 2016 C	100,000,000	2016/06/07	2016/12/30	28.30
28625	J P Morgan SP BV – HSI European Put Warrants Nov 2016 D	300,000,000	2016/06/07	2016/11/29	57.60
28602	Macquarie Bank Ltd. – Tencent European Warrants Jan 2017 C	36,000,000	2016/06/07	2017/01/04	10.01
28620	UBS AG – CC Bank European Warrants Nov 2016 A	70,000,000	2016/06/07	2016/11/23	11.34
28621	UBS AG – CSOP A50 ETF European Warrants Dec 2016 B	40,000,000	2016/06/07	2016/12/06	10.00
28622	UBS AG – Haitong Sec European Warrants Jan 2017 A	70,000,000	2016/06/07	2017/01/26	10.64
28623	UBS AG – Tencent Holdings European Warrants Oct 2016 C	50,000,000	2016/06/07	2016/10/04	15.55
28703	BOCI Asia Ltd. – BOC HK European Warrants Apr 2017 A	100,000,000	2016/06/08	2017/04/25	25.00
28704	BOCI Asia Ltd. – BOC HK European Warrants Jul 2017 A	100,000,000	2016/06/08	2017/07/17	25.00
28705	BOCI Asia Ltd. – BOCL European Warrants Apr 2017 A	100,000,000	2016/06/08	2017/04/25	33.00
28707	BOCI Asia Ltd. – BOCL European Warrants Aug 2017 A	100,000,000	2016/06/08	2017/08/02	18.80
28737	BOCI Asia Ltd. – BOCL European Warrants Dec 2017 A	100,000,000	2016/06/08	2017/12/04	17.80
28740	BOCI Asia Ltd. – BOCL European Put Warrants Aug 2017 B	100,000,000	2016/06/08	2017/08/07	25.00
28743	BOCI Asia Ltd. – Lenovo European Warrants Dec 2016 B	100,000,000	2016/06/08	2016/12/19	25.00
28756	Credit Suisse AG – Ch Comm Cons European Warrants Sep 2017 A	70,000,000	2016/06/08	2017/09/28	10.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
28754	Credit Suisse AG – Geely Auto European Warrants Nov 2016 A	40,000,000	2016/06/08	2016/11/14	16.00
28769	Credit Suisse AG – HTSC European Warrants Feb 2017 A	40,000,000	2016/06/08	2017/02/28	10.00
28761	Credit Suisse AG – Lenovo European Warrants Dec 2016 C	30,000,000	2016/06/08	2016/12/12	12.00
28644	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Oct 2016 A	80,000,000	2016/06/08	2016/10/26	12.00
28643	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Dec 2016 B	60,000,000	2016/06/08	2016/12/30	15.00
28645	Goldman Sachs SP (Asia) – Tracker Fund European Wts Dec 17 A	100,000,000	2016/06/08	2017/12/21	15.00
28670	Haitong Int'l Sec – Ch LongYuan European Warrants Aug 2018 A	40,000,000	2016/06/08	2018/08/31	10.00
28696	Haitong Int'l Sec – Minsheng Bank European Warrants Dec 16 A	50,000,000	2016/06/08	2016/12/30	15.15
28684	Haitong Int'l Sec – Huadian Power European Warrants Jul 17 A	20,000,000	2016/06/08	2017/07/27	14.54
28671	Haitong Int'l Sec – HN Renewables European Warrants Apr 17 A	40,000,000	2016/06/08	2017/04/28	19.56
28688	Haitong Int'l Sec – ICBC European Warrants Jul 2017 A	80,000,000	2016/06/08	2017/07/27	12.00
28770	J P Morgan SP BV – CSOP A50 ETF European Warrants Sep 2018 A	200,000,000	2016/06/08	2018/09/21	30.20
28669	Macquarie Bank Ltd. – AIA European Warrants Nov 2016 A	46,000,000	2016/06/08	2016/11/02	10.07
28646	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2016 A	67,000,000	2016/06/08	2016/12/02	10.05
28752	Macquarie Bank Ltd. – ICBC European Warrants Sep 2017 A	40,000,000	2016/06/08	2017/09/04	10.00
28698	Macquarie Bank Ltd. – Li Ning European Warrants Nov 2017 A	10,000,000	2016/06/08	2017/11/16	10.00
28699	SG Issuer – CITIC Sec European Warrants Dec 2016 A	60,000,000	2016/06/08	2016/12/05	10.20
28700	SG Issuer – Lenovo European Warrants Feb 2017 A	18,000,000	2016/06/08	2017/02/03	10.08
28778	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2016 A	70,000,000	2016/06/10	2016/12/02	11.20
28835	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Sep 2018 B	120,000,000	2016/06/10	2018/09/21	18.00
28776	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2017 A	200,000,000	2016/06/10	2017/03/30	30.00
28780	Haitong Int'l Sec – CITIC Sec European Warrants Nov 2016 A	65,000,000	2016/06/10	2016/11/28	10.01
28786	Haitong Int'l Sec – CSOP A50 ETF European Warrants Oct 2016A	80,000,000	2016/06/10	2016/10/31	12.08
28795	Haitong Int'l Sec – A50 China European Warrants Mar 2017 A	50,000,000	2016/06/10	2017/03/30	15.95
28796	Haitong Int'l Sec – GCL-Poly European Warrants Jun 2017 A	40,000,000	2016/06/10	2017/06/30	10.76
28797	Haitong Int'l Sec – HTSC European Warrants Mar 2017 A	40,000,000	2016/06/10	2017/03/28	10.04
28841	J P Morgan SP BV – BYD European Warrants Sep 2017 A	200,000,000	2016/06/10	2017/09/18	30.40
28845	J P Morgan SP BV – HSI European Warrants Mar 2017 A	300,000,000	2016/06/10	2017/03/30	46.50
28838	J P Morgan SP BV – Lenovo European Warrants Feb 2017 A	60,000,000	2016/06/10	2017/02/01	24.00
28839	J P Morgan SP BV – Weichai Power European Wts Aug 2017 A	60,000,000	2016/06/10	2017/08/15	15.00
28801	UBS AG – HSI European Put Warrants Dec 2016 E	300,000,000	2016/06/10	2016/12/29	45.30
28813	UBS AG – Lenovo European Warrants Dec 2016 D	30,000,000	2016/06/10	2016/12/19	10.05
28800	UBS AG – Ping An European Warrants Nov 2016 B	100,000,000	2016/06/10	2016/11/28	20.60
28867	Credit Suisse AG – AAC Acoustic European Warrants Dec 2016 A	15,000,000	2016/06/13	2016/12/14	12.45
28868	Credit Suisse AG – China Re European Warrants Dec 2016 A	40,000,000	2016/06/13	2016/12/30	10.00
28869	J P Morgan SP BV – HSI European Put Warrants Sep 2016 H	300,000,000	2016/06/13	2016/09/29	45.60
10399	J P Morgan SP BV – N225 Index Euro Wts Dec 2016 C	100,000,000	2016/06/13	2016/12/09	15.00
10398	J P Morgan SP BV – N225 Idx Euro Put Wts Dec 2016 B	100,000,000	2016/06/13	2016/12/09	15.10
28865	Macquarie Bank Ltd. – BYD European Warrants Feb 2017 A	9,000,000	2016/06/13	2017/02/02	10.01
28866	Macquarie Bank Ltd. – Ping An European Warrants Feb 2017 B	10,000,000	2016/06/13	2017/02/02	10.02
29013	BNP Paribas Arbit Issu B.V. – BYD European Wts Oct 2017 A	70,000,000	2016/06/14	2017/10/03	10.50
28908	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Nov 2017 A	80,000,000	2016/06/14	2017/11/02	15.20
28904	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Oct 2018 B	80,000,000	2016/06/14	2018/10/03	12.00
28912	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2016 B	80,000,000	2016/06/14	2016/11/02	12.80

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28919	BNP Paribas Arbit Issu B.V. – HS H-SHARE Euro Wts Nov 2016 A	50,000,000	2016/06/14	2016/11/02	10.50
29003	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Jan 2017 A	40,000,000	2016/06/14	2017/01/04	12.40
29002	Goldman Sachs SP (Asia) – Kingsoft European Wts Nov 2016 A	80,000,000	2016/06/14	2016/11/07	12.00
29021	Goldman Sachs SP (Asia) – Lenovo European Wts Dec 2016 D	50,000,000	2016/06/14	2016/12/28	10.00
28884	Haitong Int'l Sec – BYD European Warrants Sep 2017 A	100,000,000	2016/06/14	2017/09/18	15.00
28880	Haitong Int'l Sec – Gome European Warrants Nov 2017 A	40,000,000	2016/06/14	2017/11/28	10.00
28883	Haitong Int'l Sec – Lenovo European Warrants Jan 2017 A	40,000,000	2016/06/14	2017/01/26	11.92
28895	Haitong Int'l Sec – Li Ning European Warrants Nov 2017 A	20,000,000	2016/06/14	2017/11/15	15.00
28896	Haitong Int'l Sec – Weichai Power European Wts Aug 2017 A	50,000,000	2016/06/14	2017/08/15	12.50
29024	J P Morgan SP BV – Tencent European Warrants Sep 2017 A	200,000,000	2016/06/14	2017/09/29	34.00
29025	J P Morgan SP BV – Tencent European Put Warrants Mar 2017 B	200,000,000	2016/06/14	2017/03/31	37.00
29023	J P Morgan SP BV – Wharf European Warrants Feb 2017 A	60,000,000	2016/06/14	2017/02/01	15.12
28972	UBS AG – HS H-SHARE European Warrants Dec 2016 A	50,000,000	2016/06/14	2016/12/29	22.85
28999	UBS AG – HSI European Put Warrants Oct 2016 C	300,000,000	2016/06/14	2016/10/28	54.30
28968	UBS AG – Lenovo European Put Warrants Dec 2016 E	30,000,000	2016/06/14	2016/12/19	13.17
28954	UBS AG – Tencent Holdings European Put Warrants Mar 2017 A	200,000,000	2016/06/14	2017/03/28	36.20
29042	BOCI Asia Ltd. – China Life European Warrants Dec 2016 D	200,000,000	2016/06/15	2016/12/09	32.00
29038	BOCI Asia Ltd. – A50 China European Warrants Jan 2017 A	100,000,000	2016/06/15	2017/01/03	29.40
29033	BOCI Asia Ltd. – HKEX European Warrants Mar 2017 A	200,000,000	2016/06/15	2017/03/10	30.00
29030	BOCI Asia Ltd. – HKEX European Warrants Jun 2017 A	200,000,000	2016/06/15	2017/06/02	34.80
29034	BOCI Asia Ltd. – HKEX European Put Warrants Jan 2017 A	100,000,000	2016/06/15	2017/01/03	15.00
29037	BOCI Asia Ltd. – ICBC European Warrants Jul 2017 A	100,000,000	2016/06/15	2017/07/14	21.30
29026	BNP Paribas Arbit Issu B.V. – BOCHK European Wts May 2017 A	50,000,000	2016/06/15	2017/05/04	11.50
29029	BNP Paribas Arbit Issu B.V. – Ping An European Wt Feb 2017 B	60,000,000	2016/06/15	2017/02/02	13.20
29223	Credit Suisse AG – Tencent Holdings European Wts Nov 2016 C	50,000,000	2016/06/15	2016/11/02	37.50
29113	Goldman Sachs SP (Asia) – China Railway Euro Wts Nov 2017 A	70,000,000	2016/06/15	2017/11/27	10.50
29141	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2017 A	200,000,000	2016/06/15	2017/06/29	30.00
29217	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2016 F	200,000,000	2016/06/15	2016/10/28	30.00
29246	J P Morgan SP BV – HSI European Put Warrants Feb 2017 A	300,000,000	2016/06/15	2017/02/27	48.30
29257	J P Morgan SP BV – Tencent European Warrants Dec 2016 G	100,000,000	2016/06/15	2016/12/30	51.90
29243	J P Morgan SP BV – Tencent European Warrants Jul 2017 A	200,000,000	2016/06/15	2017/07/31	50.80
29048	Macquarie Bank Ltd. – Lenovo European Warrants Feb 2017 A	20,000,000	2016/06/15	2017/02/02	10.00
29043	Macquarie Bank Ltd. – Tencent European Put Wts Jan 2017 D	17,000,000	2016/06/15	2017/01/04	10.01
29215	SG Issuer – AIA European Put Warrants Dec 2016 B	100,000,000	2016/06/15	2016/12/05	25.50
29151	SG Issuer – CMB European Warrants Jan 2017 A	12,000,000	2016/06/15	2017/01/05	10.68
29216	SG Issuer – CMob European Put Warrants Nov 2016 D	80,000,000	2016/06/15	2016/11/03	23.60
29199	SG Issuer – Geely Auto European Warrants Feb 2017 A	18,000,000	2016/06/15	2017/02/03	10.08
29200	SG Issuer – HKEX European Put Warrants Dec 2016 E	200,000,000	2016/06/15	2016/12/05	33.00
29201	SG Issuer – Tencent European Warrants Jan 2017 E	35,000,000	2016/06/15	2017/01/05	10.15
29098	UBS AG – HSI European Warrants Mar 2017 A	300,000,000	2016/06/15	2017/03/30	45.30
29050	UBS AG – HSI European Put Warrants Sep 2016 L	300,000,000	2016/06/15	2016/09/29	45.00
29271	Goldman Sachs SP (Asia) – CITIC Sec European Wts Sep 2016 A	100,000,000	2016/06/16	2016/09/30	15.00
29283	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Dec 2016 A	30,000,000	2016/06/16	2016/12/21	10.11
29263	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Feb 2017 A	80,000,000	2016/06/16	2017/02/23	12.00

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29330	Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2016 C	200,000,000	2016/06/16	2016/11/29	30.00
29284	Goldman Sachs Sp (Asia) – ICBC European Warrants Jan 2017 A	80,000,000	2016/06/16	2017/01/27	12.00
29338	Macquarie Bank Ltd. – China Mobile European Wts Jun 2017 A	40,000,000	2016/06/16	2017/06/02	11.12
29342	Macquarie Bank Ltd. – CNOOC European Warrants Jan 2017 A	15,000,000	2016/06/16	2017/01/04	10.14
29332	Macquarie Bank Ltd. – Sinopharm European Warrants Apr 2017 A	14,000,000	2016/06/16	2017/04/18	10.01
29287	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2017 A	23,000,000	2016/06/16	2017/04/05	10.05
29313	SG Issuer – HSI European Warrants Mar 2017 A	150,000,000	2016/06/16	2017/03/30	22.50
29322	SG Issuer – HSI European Warrants Jun 2017 B	150,000,000	2016/06/16	2017/06/29	22.50
25399 #	Credit Suisse AG – ChiUnicom European Warrants Dec 2017 A	120,000,000	2016/06/16	2017/12/29	10.20
29464	Credit Suisse AG – Ping An European Put Warrants Jan 2017 A	40,000,000	2016/06/17	2017/01/03	10.00
29494	Bank of East Asia – Lenovo European Warrants Jan 2017 A	40,000,000	2016/06/17	2017/01/09	10.00
29424	HK Bank – Tencent European Warrants Sep 2017 A	100,000,000	2016/06/17	2017/09/28	18.00
29398	HK Bank – Tencent European Put Warrants Mar 2017 A	100,000,000	2016/06/17	2017/03/28	16.00
29463	Haitong Int'l Sec – China Power European Warrants Jun 2017 A	25,000,000	2016/06/17	2017/06/30	10.05
29436	Haitong Int'l Sec – China State Con European Warrants Dec17A	40,000,000	2016/06/17	2017/12/28	10.00
29432	Haitong Int'l Sec – ND Paper European Warrants Aug 2017 A	68,000,000	2016/06/17	2017/08/01	10.81
29499	J P Morgan SP BV – HSI European Warrants May 2017 A	300,000,000	2016/06/17	2017/05/29	76.50
29458	SG Issuer – China Oilfield European Warrants Dec 2017 A	100,000,000	2016/06/17	2017/12/05	25.00
29528	BOCI Asia Ltd. – Agricultural Bk European Wts May 2017 A	100,000,000	2016/06/20	2017/05/29	16.00
29531	BOCI Asia Ltd. – AIA European Warrants Dec 2016 A	100,000,000	2016/06/20	2016/12/28	25.00
29514	BOCI Asia Ltd. – CNOOC European Warrants May 2018 A	100,000,000	2016/06/20	2018/05/28	16.00
29536	Credit Suisse AG – ChiUnicom European Warrants Nov 2017 A	150,000,000	2016/06/20	2017/11/28	22.50
29605	Macquarie Bank Ltd. – GCL-Poly Energy European Wts Aug 2017 A	40,000,000	2016/06/20	2017/08/02	10.00
29550	Macquarie Bank Ltd. – MTRC European Warrants Jun 2017 A	40,000,000	2016/06/20	2017/06/02	10.00
29583	Macquarie Bank Ltd. – Techtronic Ind European Wts Sep 2017 A	18,000,000	2016/06/20	2017/09/18	10.01
29663	UBS AG – China Life European Warrants Sep 2016 B	100,000,000	2016/06/20	2016/09/27	15.20
10401	BNP Paribas Arbit Issu B.V. – AUD/USD European Wts Mar 2017 A	50,000,000	2016/06/21	2017/03/24	12.50
10402	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Mar 2017 B	50,000,000	2016/06/21	2017/03/24	12.50
10400	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Mar 2017 A	50,000,000	2016/06/21	2017/03/24	12.50
29769	Credit Suisse AG – China Life European Warrants Oct 2016 B	80,000,000	2016/06/21	2016/10/04	12.00
29811	Credit Suisse AG – China Life European Warrants Aug 2017 A	100,000,000	2016/06/21	2017/08/02	15.00
29734	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2016 A	100,000,000	2016/06/21	2016/12/22	26.40
29735	Goldman Sachs SP (Asia) – HSBC European Put Wts Dec 2016 B	80,000,000	2016/06/21	2016/12/30	25.52
29737	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Jan 2017 B	60,000,000	2016/06/21	2017/01/27	15.00
29738	Goldman Sachs SP (Asia) – Stanchart European Wts Jan 2017 A	40,000,000	2016/06/21	2017/01/27	14.56
29845	J P Morgan SP BV – BOCL European Put Wts Feb 2017 B	100,000,000	2016/06/21	2017/02/03	15.60
29957	J P Morgan SP BV – HKEX European Put Wts Oct 2017 A	200,000,000	2016/06/21	2017/10/03	50.00
29740	Macquarie Bank Ltd. – Tencent European Warrants Jan 2017 E	11,500,000	2016/06/21	2017/01/04	10.03
29741	SG Issuer – Li & Fung European Warrants Jan 2017 A	30,000,000	2016/06/21	2017/01/05	10.05
29713	UBS AG – Sinopec Corp European Warrants Nov 2016 A	60,000,000	2016/06/21	2016/11/28	10.20
11009	BOCI Asia Ltd. – Galaxy Ent European Warrants Jan 2017 A	100,000,000	2016/06/22	2017/01/16	25.00
11016	BOCI Asia Ltd. – HSBC European Put Warrants Dec 2016 A	100,000,000	2016/06/22	2016/12/28	28.00
11013	BOCI Asia Ltd. – Sands China European Warrants Jan 2017 A	100,000,000	2016/06/22	2017/01/10	25.00
11003	BOCI Asia Ltd. – Tencent Holdings European Wts Jun 2017 B	200,000,000	2016/06/22	2017/06/30	50.00

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11007	BOCI Asia Ltd. – Tencent Holdings European Wts Jul 2017 A	200,000,000	2016/06/22	2017/07/31	35.60
11021	BNP Paribas Arbit Issu B.V. – HKEX Euro Put Wts Jun 2017 C	60,000,000	2016/06/22	2017/06/02	15.00
11032	Credit Suisse AG – CITIC Sec European Warrants Dec 2016 B	60,000,000	2016/06/22	2016/12/05	10.20
11030	Credit Suisse AG – GCL-Poly Energy European Wts Dec 2016 A	70,000,000	2016/06/22	2016/12/30	10.50
11033	Credit Suisse AG – Galaxy Ent European Warrants Jan 2017 A	60,000,000	2016/06/22	2017/01/05	15.00
11031	Credit Suisse AG – GF Sec European Warrants Jan 2017 A	40,000,000	2016/06/22	2017/01/27	10.00
11035	Credit Suisse AG – Haitong Sec European Warrants May 2017 A	40,000,000	2016/06/22	2017/05/16	10.00
11034	Credit Suisse AG – Sands China European Warrants Jan 2017 A	60,000,000	2016/06/22	2017/01/05	15.00
11017	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2017 A	100,000,000	2016/06/22	2017/07/26	15.00
11037	J P Morgan SP BV – HKEX European Warrants Mar 2017 A	200,000,000	2016/06/22	2017/03/01	30.00
11038	J P Morgan SP BV – Link REIT European Warrants Mar 2017 A	100,000,000	2016/06/22	2017/03/02	25.00
11023	Macquarie Bank Ltd. – China Tel European Warrants May 2017 A	40,000,000	2016/06/22	2017/05/04	10.00
11001	Macquarie Bank Ltd. – Travelsky Tech European Wts May17A	30,000,000	2016/06/22	2017/05/15	10.02
11025	SG Issuer – CKH European Warrants Jan 2017 B	30,000,000	2016/06/22	2017/01/05	14.10
11024	SG Issuer – Minsheng Bk Eu Wt Jan 2017 A	18,000,000	2016/06/22	2017/01/05	10.08
10403	SG Issuer – Nikkei 225 European Warrants Mar 2017 D	150,000,000	2016/06/22	2017/03/10	37.50
11027	SG Issuer – Tencent European Warrants Aug 2017 A	500,000,000	2016/06/22	2017/08/03	85.00
11036	UBS AG – Fosun Intl European Warrants Feb 2017 A	70,000,000	2016/06/22	2017/02/21	10.57
11055	Credit Suisse AG – HKEX European Warrants Dec 2016 D	150,000,000	2016/06/23	2016/12/05	25.50
11052	Credit Suisse AG – HSBC European Wts Apr 2017 A	100,000,000	2016/06/23	2017/04/06	15.00
11049	Goldman Sachs SP (Asia) – BOCL European Warrants May 2017 A	80,000,000	2016/06/23	2017/05/29	12.16
11039	Goldman Sachs SP (Asia) – CM Bank European Wts Jun 2017 A	70,000,000	2016/06/23	2017/06/30	10.50
11041	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Sep 2017 A	100,000,000	2016/06/23	2017/09/27	15.00
11043	Goldman Sachs SP (Asia) – Geely Auto European Wts Feb 2017 A	30,000,000	2016/06/23	2017/02/28	11.52
11046	Goldman Sachs SP (Asia) – HKEX European Warrants Apr 2017 A	100,000,000	2016/06/23	2017/04/24	25.00
11084	Goldman Sachs SP (Asia) – Lenovo European Wts Dec 2016 E	80,000,000	2016/06/23	2016/12/05	26.72
11087	J P Morgan SP BV – China Life European Warrants Jul 2017 A	100,000,000	2016/06/23	2017/07/31	15.20
11096	J P Morgan SP BV – Chi Res Land European Warrants Jul 2017 A	60,000,000	2016/06/23	2017/07/03	15.12
11093	J P Morgan SP BV – Geely Auto European Warrants Dec 2016 A	80,000,000	2016/06/23	2016/12/30	35.20
11094	J P Morgan SP BV – Galaxy Ent European Warrants Jan 2017 A	100,000,000	2016/06/23	2017/01/03	25.00
11086	J P Morgan SP BV – HSBC European Warrants Nov 2016 C	100,000,000	2016/06/23	2016/11/02	37.30
11097	J P Morgan SP BV – HSCEI European Wts Mar 2017 A	200,000,000	2016/06/23	2017/03/30	30.20
11099	J P Morgan SP BV – HSCEI European Put Warrants Jun 2017 B	200,000,000	2016/06/23	2017/06/29	50.20
11089	J P Morgan SP BV – Li & Fung European Warrants Mar 2017 A	60,000,000	2016/06/23	2017/03/31	20.76
11050	Macquarie Bank Ltd. – China Life European Wts Jan 2017 B	21,000,000	2016/06/23	2017/01/04	10.02
11051	Macquarie Bank Ltd. – Digital China European Wts Dec 2018 A	40,000,000	2016/06/23	2018/12/18	10.00
11074	Macquarie Bank Ltd. – PWR Assets European Wts May 2017 A	40,000,000	2016/06/23	2017/05/04	10.04
11065	SG Issuer – China Life European Warrants Nov 2016 C	150,000,000	2016/06/23	2016/11/03	22.50
11066	SG Issuer – China Life European Warrants Mar 2017 A	150,000,000	2016/06/23	2017/03/03	25.50
11047	SG Issuer – HKEX European Warrants Nov 2016 B	200,000,000	2016/06/23	2016/11/03	30.00
11072	SG Issuer – HSBC European Warrants Jan 2017 A	100,000,000	2016/06/23	2017/01/05	25.00
11048	SG Issuer – Tencent European Warrants Oct 2017 A	500,000,000	2016/06/23	2017/10/06	82.50
11085	UBS AG – HSBC European Put Warrants Dec 2016 A	100,000,000	2016/06/23	2016/12/21	19.70
11078	UBS AG – Stanchart European Warrants Dec 2016 B	50,000,000	2016/06/23	2016/12/22	52.95

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11103	Credit Suisse AG – CC Bank European Put Warrants Jan 2017 A	70,000,000	2016/06/24	2017/01/03	10.50
11113	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2016 A	80,000,000	2016/06/24	2016/12/29	20.00
11107	Macquarie Bank Ltd. – China East Air European Wts Mar 2017 A	20,000,000	2016/06/24	2017/03/02	10.02
11109	Macquarie Bank Ltd. – Guotai Junani European Warrants Mar17A	27,000,000	2016/06/24	2017/03/02	10.02
11110	Macquarie Bank Ltd. – Hang Seng Bank European Wts Mar 2017 A	34,000,000	2016/06/24	2017/03/02	10.03
11105	SG Issuer – Link REIT European Warrants Mar 2017 A	35,000,000	2016/06/24	2017/03/03	10.15
11106	SG Issuer – Petch European Warrants Jan 2017 A	60,000,000	2016/06/24	2017/01/05	15.00
18649 #	Credit Suisse AG – CNOOC European Put Warrants Oct 2016 B	138,000,000	2016/06/24	2016/10/31	10.07
11118	Credit Suisse AG – CKI Holdings European Warrants Dec 2016 A	40,000,000	2016/06/27	2016/12/30	10.00
11127	Credit Suisse AG – China Mobile European Warrants Dec 2016 D	60,000,000	2016/06/27	2016/12/05	17.40
11116	Credit Suisse AG – Tencent Holdings European Wts Jul 2017 A	100,000,000	2016/06/27	2017/07/31	16.00
11117	Credit Suisse AG – Zijin Mining European Warrants Nov 2016 B	50,000,000	2016/06/27	2016/11/30	10.00
11134	Macquarie Bank Ltd. – Avichina European Warrants May 2018 A	40,000,000	2016/06/27	2018/05/02	10.00
11124	Macquarie Bank Ltd. – CKH European Warrants Feb 2017 A	24,000,000	2016/06/27	2017/02/02	10.03
11130	Macquarie Bank Ltd. – CM Bank European Warrants Aug 2018 A	40,000,000	2016/06/27	2018/08/02	10.00
11121	Macquarie Bank Ltd. – China Mobile Euro Put Wts Feb 2017 A	30,000,000	2016/06/27	2017/02/02	10.50
11135	Credit Suisse AG – HKEX European Warrants Dec 2016 E	60,000,000	2016/06/28	2016/12/05	36.00
11137	J P Morgan SP BV – Tencent European Warrants Dec 2016 H	80,000,000	2016/06/28	2016/12/01	25.20
11138	UBS AG – Sands China European Warrants Oct 2016 C	70,000,000	2016/06/28	2016/10/26	10.50
11151	J P Morgan SP BV – HKEX European Warrants Dec 2016 D	100,000,000	2016/06/29	2016/12/01	34.90
11148	J P Morgan SP BV – HSBC European Warrants Feb 2017 A	100,000,000	2016/06/29	2017/02/02	15.10
11152	J P Morgan SP BV – Tencent European Put Warrants Feb 2017 C	200,000,000	2016/06/29	2017/02/28	31.00
11139	SG Issuer – HSI European Warrants Mar 2017 B	150,000,000	2016/06/29	2017/03/30	37.50
11144	SG Issuer – HSI European Warrants May 2017 A	150,000,000	2016/06/29	2017/05/29	37.50
11145	SG Issuer – HSI European Put Warrants Jan 2017 B	150,000,000	2016/06/29	2017/01/26	37.50
11147	SG Issuer – HSI European Put Warrants Jan 2017 C	150,000,000	2016/06/29	2017/01/26	37.50
11172	Credit Suisse AG – Anta Sports European Warrants Feb 2017 A	40,000,000	2016/06/30	2017/02/28	10.00
11171	Credit Suisse AG – BOC HK European Warrants Mar 2017 A	60,000,000	2016/06/30	2017/03/20	10.80
11174	Credit Suisse AG – Li & Fung European Warrants Jan 2017 A	40,000,000	2016/06/30	2017/01/05	10.00
11156	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2016 F	50,000,000	2016/06/30	2016/12/01	12.90
11157	Goldman Sachs SP (Asia) – Tencent European Wt Jul 2017 A	200,000,000	2016/06/30	2017/07/27	33.20
11154	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2017 A	200,000,000	2016/06/30	2017/09/28	33.00
11178	J P Morgan SP BV – CSOP A50 ETF European Warrants Jan 2017 A	100,000,000	2016/06/30	2017/01/03	28.70
11177	J P Morgan SP BV – HSBC European Put Warrants Nov 2016 D	100,000,000	2016/06/30	2016/11/02	17.10
11176	J P Morgan SP BV – HSI European Warrants Jan 2017 A	300,000,000	2016/06/30	2017/01/26	75.30
11166	Macquarie Bank Ltd. – Anta Sports European Wts May 2017 A	35,000,000	2016/06/30	2017/05/10	10.01
11169	Macquarie Bank Ltd. – Ping An European Put Warrants Mar 17 B	40,000,000	2016/06/30	2017/03/02	10.00
11159	Macquarie Bank Ltd. – Tencent European Warrants Jan 2017 F	10,000,000	2016/06/30	2017/01/04	21.28
11165	Macquarie Bank Ltd. – Tencent European Put Wts Jan 2017 G	10,000,000	2016/06/30	2017/01/04	14.49
11170	SG Issuer – HSBC European Warrants Feb 2017 A	100,000,000	2016/06/30	2017/02/03	15.00
Total					21,652.05

Further issue

MAIN BOARD DEBT SECURITIES

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2015	Q2	-	2,000.30
	Q3	-	5,208.52
	Q4	-	1,147.96
2016	Q1	-	2,120.63
	Q2	-	6,070.66

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2015	Q2	708	2,637,617.58
	Q3	736	2,750,133.27
	Q4	762	2,741,871.80
2016	Q1	769	2,777,917.26
	Q2	797	2,923,871.68

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2015	Q2	59	307,836.43
	Q3	37	141,193.57
	Q4	43	115,190.75
2016	Q1	26	89,996.61
	Q2	59	253,671.85

MAIN BOARD DEBT SECURITIES

Newly Listed Debt Securities

for 2nd quarter 2016

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD 10,000,000	2016/04/01	2032/11/14	-
05624	Yunnan Provincial Investment Holdings Group Co., Ltd. 3.375% Notes 2019	USD 300,000,000	2016/04/05	2019/04/01	2,334.10
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD 30,000,000	2016/04/07	2032/11/14	-
05596 #	Unigroup International Holdings Ltd. 5.25% Guar. Bonds 2018	USD 100,000,000	2016/04/12	2018/12/10	785.72
05597 #	Unigroup International Holdings Ltd. 6.00% Guar. Bonds 2020	USD 100,000,000	2016/04/12	2020/12/10	781.49
05625	Bestgain Real Estate Lyra Ltd. 2.50% Guaranteed Notes 2019	HKD 1,375,000,000	2016/04/14	2019/04/13	1,375.00
04219 #	The Govt of the HKSAR of the PRC 1.47% Bonds 2019	HKD 4,000,000,000	2016/04/15	2019/02/20	4,068.80
05626	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2025	HKD 10,000,000	2016/04/19	2025/09/12	-
05625 #	Bestgain Real Estate Lyra Ltd. 2.50% Guaranteed Notes 2019	HKD 625,000,000	2016/04/19	2019/04/13	625.00
05627	Sunshine Life Insurance Corporation Ltd. 2.50% Notes 2019	USD 500,000,000	2016/04/21	2019/04/18	3,893.96
05628	Sunshine Life Insurance Corporation Ltd. 3.15% Notes 2021	USD 700,000,000	2016/04/21	2021/04/20	5,448.21
05629	Sunshine Life Insurance Corporation Ltd. 4.50% Notes 2026	USD 300,000,000	2016/04/21	2026/04/20	2,320.30
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD 50,000,000	2016/04/22	2032/11/14	-
05626 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2025	HKD 10,000,000	2016/04/22	2025/09/12	-
05630	CITIC Ltd. 4.65% Notes 2036	USD 90,000,000	2016/04/26	2036/04/25	702.00
05631	CITIC Ltd. 4.85% Notes 2046	USD 210,000,000	2016/04/26	2046/04/25	1,612.55
05632	Yunnan Energy Investment Finance Co. Ltd. 3.00% Guar. Bonds 2019	USD 300,000,000	2016/04/27	2019/04/26	2,335.67
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD 20,000,000	2016/04/27	2032/11/14	-
05626 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2025	HKD 10,000,000	2016/04/27	2025/09/12	-
05633	Malaysia Sukuk Global Berhad Trust Certificates 2026	USD 1,000,000,000	2016/04/28	2026/04/27	7,800.00
05634	Malaysia Sukuk Global Berhad Trust Certificates 2046	USD 500,000,000	2016/04/28	2046/04/27	3,900.00
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD 10,000,000	2016/05/03	2032/11/14	-
05625 #	Bestgain Real Estate Lyra Ltd. 2.50% Guaranteed Notes 2019	HKD 1,650,000,000	2016/05/03	2019/04/13	1,650.00
05639	China Energy Reserve and Chemicals Group Holding Ltd. 5.55% Guaranteed Bonds 2021	USD 400,000,000	2016/05/03	2021/04/14	3,014.48
05635	Sinopec Group Overseas Development (2016) Ltd. 2.125% Senior Notes 2019	USD 1,000,000,000	2016/05/04	2019/05/03	7,780.66
05636	Sinopec Group Overseas Development (2016) Ltd. 2.75% Senior Notes 2021	USD 900,000,000	2016/05/04	2021/05/03	7,007.01
05637	Sinopec Group Overseas Development (2016) Ltd. 3.5% Senior Notes 2026	USD 700,000,000	2016/05/04	2026/05/03	5,414.47
05638	Sinopec Group Overseas Development (2016) Ltd. 4.25% Senior Notes 2046	USD 400,000,000	2016/05/04	2046/05/03	3,120.00
05640	Hongkong Electric Finance Ltd. 2.875% Notes 2026	USD 750,000,000	2016/05/04	2026/05/03	5,786.24
05581 #	Tuspark Forward Ltd. 5.375% Guaranteed Bonds 2018	USD 100,000,000	2016/05/04	2018/11/24	781.36
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD 30,000,000	2016/05/06	2032/11/14	-
05641	Proven Honour Capital Ltd. 4.125% Guaranteed Bonds 2026	USD 2,000,000,000	2016/05/09	2026/05/06	15,555.70
05642	CALC Bond 1 Ltd. 5.90% Guaranteed Bonds 2019	USD 300,000,000	2016/05/09	2019/05/06	2,340.00
04229 #	The Govt of the HKSAR of the PRC 0.91% Bonds 2020	HKD 2,500,000,000	2016/05/13	2020/11/05	2,483.25
05643	Rail Transit International Investment Co. Ltd. 2.50% Guar. Bonds 2019	USD 200,000,000	2016/05/16	2019/05/13	1,558.08
05644	Rail Transit International Investment Co. Ltd. 2.875% Guar. Bonds 2021	USD 300,000,000	2016/05/16	2021/05/13	2,317.61
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD 50,000,000	2016/05/18	2032/11/14	-
05645	CICC Hong Kong Finance 2016 MTN Ltd. 2.75% Guar. Notes 2019	USD 500,000,000	2016/05/19	2019/05/18	3,893.22
04064	Hong Kong Monetary Authority, The 0.67% Exchange Fund Notes 2018	HKD 1,200,000,000	2016/05/20	2018/05/21	1,201.80
05646	ICBCIL Finance Co. Ltd. 2.375% Notes 2019	USD 500,000,000	2016/05/20	2019/05/19	3,890.37
05647	ICBCIL Finance Co. Ltd. 2.75% Notes 2021	USD 500,000,000	2016/05/20	2021/05/19	3,868.65

MAIN BOARD DEBT SECURITIES

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)	
05648	ICBCIL Finance Co. Ltd. 3.625% Notes 2026	USD	300,000,000	2016/05/20	2026/05/19	2,313.68
05649	State Grid Overseas Investment (2016) Ltd. 2.125% Senior Guar. Notes 2021	USD	500,000,000	2016/05/20	2021/05/18	3,897.08
05650	State Grid Overseas Investment (2016) Ltd. 2.875% Senior Guar. Notes 2026	USD	500,000,000	2016/05/20	2026/05/18	3,863.15
05651	State Grid Overseas Investment (2016) Ltd. 1.25% Senior Guar. Notes 2022	EUR	500,000,000	2016/05/20	2022/05/19	4,347.40
05652	State Grid Overseas Investment (2016) Ltd. 1.75% Senior Guar. Notes 2025	EUR	500,000,000	2016/05/20	2025/05/19	4,313.54
05653	Industrial and Commercial Bank of China Ltd., HK Branch 1.875% Notes 2019	USD	500,000,000	2016/05/24	2019/05/23	3,884.99
05654	Sun Hung Kai & Co. (BVI) Ltd. 4.75% Notes 2021	USD	361,639,000	2016/06/01	2021/05/31	2,820.78
05655	CCBL (Cayman) 1 Corporation Ltd. 2.375% Guar. Notes 2019	USD	400,000,000	2016/06/01	2019/05/31	3,096.38
05656	CCBL (Cayman) 1 Corporation Ltd. 2.75% Guar. Notes 2021	USD	600,000,000	2016/06/01	2021/05/31	4,608.73
05659	China Development Bank Corporation 2.125% Notes 2021 A	USD	1,000,000,000	2016/06/02	2021/06/01	7,772.08
05660	China Development Bank Corporation 3.00% Notes 2026	USD	600,000,000	2016/06/02	2026/06/01	4,659.17
05661	China Development Bank Corporation 0.50% Notes 2021 B	EUR	1,000,000,000	2016/06/02	2021/06/01	8,603.29
05657	Agricultural Bank of China Ltd., NY Branch FR Notes 2019 A	USD	850,000,000	2016/06/03	2019/05/16	6,630.00
05658	Agricultural Bank of China Ltd., NY Branch 1.875% Notes 2019 B	USD	400,000,000	2016/06/03	2019/05/16	3,114.20
05667	MTR Corporation (C.I.) Ltd. 3.375% Fixed Rate Notes 2046	USD	50,000,000	2016/06/03	2046/06/02	378.88
05662	361 Degrees International Ltd. 7.25% Senior Notes 2021	USD	400,000,000	2016/06/06	2021/06/03	3,090.52
05663	Huarong Finance II Co., Ltd. 2.75% Guaranteed Notes 2019	USD	700,000,000	2016/06/06	2019/06/03	5,452.20
05664	Huarong Finance II Co., Ltd. 3.25% Guaranteed Notes 2021	USD	900,000,000	2016/06/06	2021/06/03	6,985.40
05665	Huarong Finance II Co., Ltd. 4.625% Guaranteed Notes 2026	USD	900,000,000	2016/06/06	2026/06/03	6,960.12
05666	Midea Investment Development Co. Ltd. 2.375% Guar Notes 2019	USD	700,000,000	2016/06/06	2019/06/03	5,455.30
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD	30,000,000	2016/06/06	2032/11/14	-
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD	40,000,000	2016/06/08	2032/11/14	-
05670	MTR Corporation Ltd. 3.375% Fixed Rate Notes 2046	USD	40,000,000	2016/06/14	2046/06/13	312.00
05668	CSCEC Finance (Cayman) II Ltd. 2.25% Guaranteed Notes 2019	USD	500,000,000	2016/06/15	2019/06/14	3,889.78
05669	CSCEC Finance (Cayman) II Ltd. 2.70% Guaranteed Notes 2021	USD	500,000,000	2016/06/15	2021/06/14	3,890.76
05671	CITIC Ltd. 2.80% Notes 2021	USD	500,000,000	2016/06/15	2021/12/14	3,899.03
05672	CITIC Ltd. 3.70% Notes 2026	USD	750,000,000	2016/06/15	2026/06/14	5,837.42
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD	10,000,000	2016/06/15	2032/11/14	-
05626 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2025	HKD	10,000,000	2016/06/15	2025/09/12	-
05673	Tianjin Infrastructure Construction & Investment Group Co., Ltd. 2.75% Bonds 2019	USD	500,000,000	2016/06/16	2019/06/15	3,894.31
05674	MTR Corporation (C.I.) Ltd. 3.375% Fixed Rate Notes 2046B	USD	30,000,000	2016/06/16	2046/06/15	234.00
05675	Xuzhou Economic and Technology Development Zone International Investment Co., Ltd. 4.50% Guar. Notes 2019	USD	300,000,000	2016/06/17	2019/06/16	2,328.65
05676	Hua Han Health Industry Holdings Ltd. 7.00% Senior Notes 2019	USD	150,000,000	2016/06/17	2019/06/16	1,170.00
04231	The Govt of the HKSAR of the PRC iBonds 2019	HKD	10,000,000,000	2016/06/21	2019/06/20	10,000.00
05677	ABCL Glory Capital Ltd. 2.50% Guaranteed Notes 2021	USD	500,000,000	2016/06/22	2021/06/21	3,882.18
05678	China Development Bank Corporation 1.625% Notes 2019	USD	1,000,000,000	2016/06/23	2019/06/22	7,784.09
05602 #	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2032	HKD	30,000,000	2016/06/23	2032/11/14	-
05679	Xihui Haiwai I Investment Holdings Co., Ltd. 3.25% Guar. Bonds 2019	USD	300,000,000	2016/06/28	2019/06/27	2,339.60
05680	Hanrui Overseas Investment Co., Ltd. 4.90% Guar. Bonds 2019	USD	300,000,000	2016/06/29	2019/06/28	2,317.54
Total						253,671.85

Further issue

MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Leveraged and Inverse Products (L&I Products) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2015	Q2	780,241.86	-	25,576.54	775.90	806,594.30	8.26
	Q3	568,942.55	-	22,063.78	92.32	591,098.64	9.32
	Q4	287,309.24	-	14,162.34	88.40	301,559.98	6.72
2016	Q1	304,713.11	-	21,668.39	40.27	326,421.77	7.66
	Q2	237,211.91	65.38	18,190.19	20.96	255,488.43	6.64

Note: Turnover value traded in non-HKD currency securities have been included

Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Leveraged and Inverse Products (L&I Products) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2015	Q2	130	565,937.82	-	-	11	204,798.09	1	2,635.24	142	773,371.15
	Q3	131	483,672.20	-	-	11	187,754.18	1	1,739.34	143	673,165.72
	Q4	133	477,142.97	-	-	11	199,652.72	1	2,236.60	145	679,032.29
2016	Q1	137	535,139.73	-	-	11	199,170.18	1	1,342.80	149	735,652.71
	Q2	143	589,881.25	4	111.01	11	219,952.15	1	1,401.55	159	811,345.96

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2015	Q2	4	-
	Q3	1	-
	Q4	4	-
2016	Q1	5	-
	Q2	16	-

MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

Newly Listed Unit Trust and Mutual Funds

for 2nd quarter 2016

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	No. of unit offer	Amount raised (HK\$ mil)	Subscription rate (times)	Listing date
83012/03012	AMUNDI Hang Seng HK 35 Index ETF	Amundi Hong Kong Limited	n.a.	n.a.	n.a.	n.a.	2016/04/12
03175	Samsung S&P GSCI Crude Oil ER Futures ETF	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2016/04/29
03135	CSOP WTI OIL ANNUAL ROLL December Futures ER ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2016/05/12
03171	ComStage 1 DAX UCITS ETF	Commerz Funds Solutions S.A.	n.a.	n.a.	n.a.	n.a.	2016/05/18
03177	ComStage 1 DivDAX UCITS ETF	Commerz Funds Solutions S.A.	n.a.	n.a.	n.a.	n.a.	2016/05/18
07250	Samsung KOSPI 200 Daily (2x) Leveraged Product	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2016/06/13
07326	Samsung KOSPI 200 Daily (-1x) Inverse Product	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2016/06/13
07255	Samsung TOPIX Daily (2x) Leveraged Product	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2016/06/13
07311	Samsung TOPIX Daily (-1x) Inverse Product	Samsung Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2016/06/13
03097	Mirae Asset Horizons S&P Crude Oil Futures Enhanced ER ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2016/06/16
02834/82834/09834	iShares NASDAQ 100 Index ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2016/06/29
02847/82847/09847	iShares FTSE 100 Index ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2016/06/29
03146/83146/09146	iShares DAX Index ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2016/06/29
03155/83155/09155	iShares EURO STOXX 50 Index ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2016/06/29
03170/83170/09170	iShares Core KOSPI 200 Index ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2016/06/29
03074/83074/09074	iShares Core MSCI Taiwan Index ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	n.a.	n.a.	2016/06/29

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2015	Q2	1,905	84,638.00	433,656.16
	Q3	1,871	104,265.78	520,050.32
	Q4	1,630	56,424.40	503,357.75
2016	Q1	1,613	48,512.71	379,212.60
	Q2	1,547	44,132.86	346,430.63

New Listings Callable Bull / Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2015	Q2	3,066	133,613.20
	Q3	3,799	161,333.51
	Q4	2,061	87,034.75
2016	Q1	2,421	94,755.60
	Q2	1,985	84,611.84

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Newly Listed Callable Bull / Bear Contracts

for 2nd quarter 2016

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64354	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Dec 16A	50,000,000	2016/04/01	2016/12/30	12.50
64353	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2016 F	80,000,000	2016/04/01	2016/12/30	20.00
64355	BNP Paribas Arbit Issu B.V. – HKEX R Bull CBBC Dec 2016 A	40,000,000	2016/04/01	2016/12/30	10.00
64351	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Aug 2016 B	100,000,000	2016/04/01	2016/08/30	25.00
64352	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Aug 2016 C	100,000,000	2016/04/01	2016/08/30	25.00
64357	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Dec 2016 B	50,000,000	2016/04/01	2016/12/30	12.50
64358	Credit Suisse AG – HSI R Bull CBBC Jul 2017 C	200,000,000	2016/04/01	2017/07/28	50.00
64359	Credit Suisse AG – HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/01	2016/07/28	50.00
64371	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/01	2017/06/29	50.20
64370	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/01	2016/07/28	50.40
64350	HK Bank – CAM CSI300 R Bull CBBC Aug 2017 B	50,000,000	2016/04/01	2017/08/28	12.50
64341	HK Bank – HSCEI R Bear CBBC Mar 2017 A	50,000,000	2016/04/01	2017/03/30	12.50
64342	HK Bank – HSCEI R Bear CBBC Apr 2017 A	50,000,000	2016/04/01	2017/04/27	12.50
64336	HK Bank – HSI R Bear CBBC Feb 2017 C	150,000,000	2016/04/01	2017/02/27	37.50
64339	HK Bank – HSI R Bear CBBC Feb 2017 D	150,000,000	2016/04/01	2017/02/27	37.50
64335	HK Bank – HSI R Bear CBBC Mar 2017 C	150,000,000	2016/04/01	2017/03/30	37.50
64338	HK Bank – HSI R Bear CBBC Mar 2017 D	400,000,000	2016/04/01	2017/03/30	100.00
64344	HK Bank – Sands China R Bear CBBC Jan 2017 A	40,000,000	2016/04/01	2017/01/16	15.20
64346	HK Bank – Sands China R Bear CBBC Feb 2017 A	40,000,000	2016/04/01	2017/02/28	10.00
64347	HK Bank – Sands China R Bear CBBC Apr 2017 A	40,000,000	2016/04/01	2017/04/28	10.00
64349	HK Bank – TCH R Bull CBBC Dec 2016 J	50,000,000	2016/04/01	2016/12/30	12.50
64376	J P Morgan SP BV – ABC R Bull CBBC Feb 2017 A	100,000,000	2016/04/01	2017/02/17	25.00
64470	J P Morgan SP BV – Galaxy Ent R Bear CBBC Nov 2016 A	100,000,000	2016/04/01	2016/11/18	25.00
64372	J P Morgan SP BV – HSCEI R Bear CBBC Nov 2016 A	100,000,000	2016/04/01	2016/11/29	25.00
64373	J P Morgan SP BV – HSCEI R Bear CBBC Dec 2016 A	100,000,000	2016/04/01	2016/12/29	25.00
64476	J P Morgan SP BV – HSCEI R Bear CBBC Dec 2016 B	100,000,000	2016/04/01	2016/12/29	25.00
64486	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 J	150,000,000	2016/04/01	2017/06/29	37.50
64477	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 R	150,000,000	2016/04/01	2016/07/28	37.50
64478	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 S	150,000,000	2016/04/01	2016/07/28	37.50
64479	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 A	150,000,000	2016/04/01	2016/09/29	37.50
64480	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 A	150,000,000	2016/04/01	2016/10/28	37.50
64374	J P Morgan SP BV – ICBC R Bull CBBC Dec 2016 A	100,000,000	2016/04/01	2016/12/16	25.00
64467	J P Morgan SP BV – Sands China R Bear CBBC Oct 2016 A	100,000,000	2016/04/01	2016/10/21	25.00
64461	J P Morgan SP BV – Tencent R Bear CBBC Dec 2016 C	60,000,000	2016/04/01	2016/12/16	15.00
64363	UBS AG – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/01	2016/07/28	50.00
64365	UBS AG – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/01	2016/07/28	50.00
64495	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 F	250,000,000	2016/04/05	2017/05/29	62.50
64514	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 G	250,000,000	2016/04/05	2017/05/29	62.50
64491	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 E	250,000,000	2016/04/05	2017/06/29	62.50
64515	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/05	2016/08/30	50.00
64496	Credit Suisse AG – HSI R Bull CBBC Jun 2017 M	200,000,000	2016/04/05	2017/06/29	50.00
64530	Credit Suisse AG – HSI R Bull CBBC Jun 2017 N	200,000,000	2016/04/05	2017/06/29	50.00
64531	Credit Suisse AG – HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/05	2016/07/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64489	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/04/05	2017/06/29	51.00
64510	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/05	2016/07/28	50.80
64511	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/05	2016/07/28	50.20
64488	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 P	200,000,000	2016/04/05	2016/10/28	50.00
64519	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov16 Y	200,000,000	2016/04/05	2016/11/29	50.00
64516	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul16 L	200,000,000	2016/04/05	2016/07/28	50.00
64518	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug16 W	200,000,000	2016/04/05	2016/08/30	50.00
64528	UBS AG – HSCEI R Bear CBBC Jul 2016 A	200,000,000	2016/04/05	2016/07/28	50.00
64529	UBS AG – HSCEI R Bear CBBC Jul 2016 B	200,000,000	2016/04/05	2016/07/28	50.00
64520	UBS AG – HSI R Bull CBBC Jul 2017 J	200,000,000	2016/04/05	2017/07/28	50.00
64522	UBS AG – HSI R Bull CBBC Sep 2017 E	200,000,000	2016/04/05	2017/09/28	50.00
64523	UBS AG – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/05	2016/07/28	50.00
64524	UBS AG – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/05	2016/07/28	50.00
64526	UBS AG – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/05	2016/07/28	50.00
64558	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/06	2016/07/28	50.00
64559	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/06	2016/07/28	50.00
64574	Credit Suisse AG – HSI R Bull CBBC Jul 2017 D	200,000,000	2016/04/06	2017/07/28	50.00
64582	Credit Suisse AG – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/06	2016/07/28	50.00
64581	Credit Suisse AG – HSI R Bear CBBC Jul 2016 Z	200,000,000	2016/04/06	2016/07/28	50.00
64560	Bank of East Asia – CKH R Bull CBBC Sep 2017 A	40,000,000	2016/04/06	2017/09/01	10.00
64561	Bank of East Asia – Galaxy Ent R Bull CBBC Jul 2017 A	25,000,000	2016/04/06	2017/07/03	11.25
64563	Bank of East Asia – HKEX R Bull CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/16	10.00
64562	Bank of East Asia – Sands China R Bull CBBC Sep 2017 A	25,000,000	2016/04/06	2017/09/28	13.75
64573	Bank of East Asia – TCH R Bear CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/15	10.00
64587	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/04/06	2017/06/29	51.20
64586	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/06	2016/07/28	51.00
64539	HK Bank – AIA R Bear CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/27	10.00
64533	HK Bank – CNOOC R Bear CBBC Apr 2017 A	40,000,000	2016/04/06	2017/04/28	10.00
64553	HK Bank – HS H-SH ETF R Bear CBBC Mar 2017 A	40,000,000	2016/04/06	2017/03/30	10.00
64547	HK Bank – HSCEI R Bear CBBC Dec 2016 E	50,000,000	2016/04/06	2016/12/29	12.50
64552	HK Bank – HSI R Bear CBBC Dec 2016 L	150,000,000	2016/04/06	2016/12/29	37.50
64541	HK Bank – Lenovo R Bull CBBC Aug 2017 A	40,000,000	2016/04/06	2017/08/31	10.00
64554	SG Issuer – HSI R Bull CBBC Nov 2016 D	200,000,000	2016/04/06	2016/11/29	50.00
64556	SG Issuer – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/06	2016/07/28	50.00
64555	SG Issuer – HSI R Bear CBBC Aug 2016 X	200,000,000	2016/04/06	2016/08/30	50.00
64584	UBS AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2016/04/06	2016/11/29	50.00
64583	UBS AG – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/06	2017/06/29	50.00
64585	UBS AG – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/06	2016/07/28	50.00
64639	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 H	250,000,000	2016/04/07	2017/05/29	62.50
64618	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 F	250,000,000	2016/04/07	2017/06/29	62.50
64640	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 G	250,000,000	2016/04/07	2017/06/29	62.50
64607	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/07	2016/07/28	50.00
64603	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/07	2016/08/30	50.00
64621	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/07	2016/08/30	50.00
64651	Credit Suisse AG – HSI R Bull CBBC Jun 2017 O	200,000,000	2016/04/07	2017/06/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64652	Credit Suisse AG – HSI R Bull CBBC Jun 2017 P	200,000,000	2016/04/07	2017/06/29	50.00
64629	Credit Suisse AG – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/07	2016/08/30	50.00
64636	Credit Suisse AG – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/07	2016/08/30	50.00
64611	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/07	2017/06/29	51.40
64612	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/07	2017/06/29	50.60
64598	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/07	2016/07/28	50.80
64707	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/07	2016/07/28	51.60
64718	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/07	2016/07/28	51.60
64721	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/07	2016/07/28	50.20
64722	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 Z	200,000,000	2016/04/07	2016/07/28	50.40
64623	SG Issuer – HSI R Bull CBBC Oct 2016 R	200,000,000	2016/04/07	2016/10/28	50.00
64628	SG Issuer – HSI R Bull CBBC Nov 2016 E	200,000,000	2016/04/07	2016/11/29	50.00
64626	SG Issuer – HSI R Bull CBBC Dec 2016 X	200,000,000	2016/04/07	2016/12/29	50.00
64599	SG Issuer – HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/04/07	2016/08/30	50.00
64601	SG Issuer – HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/04/07	2016/08/30	50.00
64600	SG Issuer – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/07	2016/09/29	50.00
64680	UBS AG – HSCEI R Bull CBBC Jun 2017 C	200,000,000	2016/04/07	2017/06/29	50.00
64668	UBS AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2016/04/07	2016/11/29	50.00
64673	UBS AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2016/04/07	2016/11/29	50.00
64666	UBS AG – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/07	2017/06/29	50.00
64659	UBS AG – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/07	2016/07/28	50.00
64664	UBS AG – HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/07	2016/07/28	50.00
64665	UBS AG – HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/07	2016/07/28	50.00
64693	UBS AG – HSI R Bear CBBC Jul 2016 Z	200,000,000	2016/04/07	2016/07/28	62.00
64682	UBS AG – HSI R Bear CBBC Aug 2016 A	200,000,000	2016/04/07	2016/08/30	50.00
64687	UBS AG – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/04/07	2016/08/30	50.00
64694	UBS AG – HSI R Bear CBBC Sep 2016 A	200,000,000	2016/04/07	2016/09/29	72.00
64748	Credit Suisse AG – HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/04/08	2017/06/29	50.00
64750	Credit Suisse AG – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/08	2016/07/28	50.00
64731	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/04/08	2017/06/29	51.60
64729	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/04/08	2017/06/29	51.20
64738	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 E	40,000,000	2016/04/08	2016/12/29	10.00
64724	SG Issuer – HSI R Bull CBBC Oct 2016 Y	200,000,000	2016/04/08	2016/10/28	50.00
64727	SG Issuer – HSI R Bull CBBC Oct 2016 Z	200,000,000	2016/04/08	2016/10/28	50.00
64725	SG Issuer – HSI R Bull CBBC Nov 2016 J	200,000,000	2016/04/08	2016/11/29	50.00
64735	SG Issuer – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/08	2016/07/28	50.00
64739	UBS AG – HSI R Bull CBBC Mar 2017 P	200,000,000	2016/04/08	2017/03/30	50.00
64743	UBS AG – HSI R Bull CBBC Mar 2017 Q	200,000,000	2016/04/08	2017/03/30	50.00
64745	UBS AG – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/08	2016/07/28	50.00
64744	UBS AG – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/04/08	2016/08/30	50.00
64746	UBS AG – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/04/08	2016/08/30	50.00
64762	Credit Suisse AG – HSI R Bull CBBC Jul 2017 E	200,000,000	2016/04/11	2017/07/28	50.00
64763	Credit Suisse AG – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/04/11	2016/08/30	50.00
64764	Credit Suisse AG – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/04/11	2016/08/30	50.00
64754	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/11	2016/07/28	51.40

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64753	SG Issuer – HSI R Bull CBBC Oct 2016 M	200,000,000	2016/04/11	2016/10/28	50.00
64757	SG Issuer – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/11	2016/07/28	50.00
64758	SG Issuer – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/04/11	2016/08/30	50.00
64761	UBS AG – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/04/11	2017/06/29	50.00
64760	UBS AG – HSI R Bull CBBC Sep 2017 F	200,000,000	2016/04/11	2017/09/28	50.00
64767	UBS AG – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/11	2016/07/28	50.00
64765	UBS AG – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/04/11	2016/08/30	50.00
64777	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 H	250,000,000	2016/04/12	2017/06/29	62.50
64778	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 I	250,000,000	2016/04/12	2017/06/29	62.50
64835	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/12	2016/07/28	50.00
64827	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/12	2016/08/30	50.00
64809	Credit Suisse AG – HSI R Bull CBBC Jun 2017 R	200,000,000	2016/04/12	2017/06/29	50.00
64819	Credit Suisse AG – HSI R Bull CBBC Jun 2017 S	200,000,000	2016/04/12	2017/06/29	50.00
64822	Credit Suisse AG – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/12	2016/07/28	50.00
64821	Credit Suisse AG – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/12	2016/07/28	50.00
64825	Credit Suisse AG – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/12	2016/07/28	50.00
64804	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 J	200,000,000	2016/04/12	2017/06/29	50.60
64803	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/12	2016/07/28	50.60
64851	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/12	2016/07/28	51.60
64772	HK Bank – HSI R Bear CBBC Oct 2016 K	150,000,000	2016/04/12	2016/10/28	37.50
64775	HK Bank – ICBC R Bull CBBC Jan 2017 A	50,000,000	2016/04/12	2017/01/16	12.50
64774	HK Bank – Ping An R Bear CBBC Jan 2017 A	40,000,000	2016/04/12	2017/01/23	10.00
64874	J P Morgan SP BV – AIA R Bull CBBC Dec 2016 A	150,000,000	2016/04/12	2016/12/16	37.50
64873	J P Morgan SP BV – CC Bank R Bear CBBC Jan 2017 A	100,000,000	2016/04/12	2017/01/20	25.00
64872	J P Morgan SP BV – Galaxy Ent R Bear CBBC Dec 2016 A	100,000,000	2016/04/12	2016/12/16	25.00
64876	J P Morgan SP BV – HSCEI R Bear CBBC Feb 2017 A	100,000,000	2016/04/12	2017/02/27	25.00
64798	J P Morgan SP BV – HSI R Bull CBBC Mar 2017 I	200,000,000	2016/04/12	2017/03/30	50.00
64802	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 S	200,000,000	2016/04/12	2017/04/27	50.00
64866	J P Morgan SP BV – HSI R Bull CBBC May 2017 G	150,000,000	2016/04/12	2017/05/29	37.50
64852	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/12	2016/07/28	50.00
64854	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/12	2016/07/28	50.00
64858	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/12	2016/07/28	50.00
64859	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/12	2016/08/30	50.00
64875	J P Morgan SP BV – Lenovo R Bull CBBC Feb 2017 A	60,000,000	2016/04/12	2017/02/17	15.00
64870	J P Morgan SP BV – Sands China R Bull CBBC Feb 2017 A	100,000,000	2016/04/12	2017/02/17	25.00
64869	J P Morgan SP BV – Sands China R Bear CBBC Dec 2016 B	100,000,000	2016/04/12	2016/12/16	25.00
64808	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2016 G	40,000,000	2016/04/12	2016/09/29	10.00
64779	SG Issuer – HSI R Bull CBBC Oct 2016 L	200,000,000	2016/04/12	2016/10/28	50.00
64782	SG Issuer – HSI R Bull CBBC Nov 2016 Y	200,000,000	2016/04/12	2016/11/29	50.00
64786	SG Issuer – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/12	2016/07/28	50.00
64844	UBS AG – HSI R Bull CBBC Jul 2017 K	200,000,000	2016/04/12	2017/07/28	50.00
64842	UBS AG – HSI R Bull CBBC Sep 2017 G	200,000,000	2016/04/12	2017/09/28	50.00
64847	UBS AG – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/12	2016/07/28	50.00
64849	UBS AG – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/12	2016/07/28	50.00
64890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 I	250,000,000	2016/04/13	2017/05/29	62.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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64897	Credit Suisse AG – HSI R Bull CBBC Jul 2017 F	200,000,000	2016/04/13	2017/07/28	50.00
64896	Credit Suisse AG – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/13	2016/08/30	50.00
64895	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/04/13	2017/06/29	51.40
64920	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/13	2016/07/28	51.40
64922	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/13	2016/07/28	51.80
64925	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/13	2016/07/28	51.60
64889	HK Bank – AIA R Bull CBBC Jan 2017 A	40,000,000	2016/04/13	2017/01/16	10.00
64878	HK Bank – CNOOC R Bear CBBC Dec 2016 D	40,000,000	2016/04/13	2016/12/30	10.00
64882	HK Bank – Galaxy Ent R Bear CBBC Jan 2017 A	40,000,000	2016/04/13	2017/01/16	10.00
64877	HK Bank – HSI R Bear CBBC Nov 2016 I	150,000,000	2016/04/13	2016/11/29	37.50
64880	HK Bank – Sands China R Bear CBBC Apr 2017 B	40,000,000	2016/04/13	2017/04/28	10.00
64914	J P Morgan SP BV – CNOOC R Bear CBBC Feb 2017 B	60,000,000	2016/04/13	2017/02/17	15.00
64919	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/04/13	2017/06/29	50.00
64915	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/13	2016/07/28	50.00
64910	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/13	2016/08/30	50.00
64917	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/04/13	2016/08/30	50.00
64913	J P Morgan SP BV – Tencent R Bull CBBC Nov 2016 B	60,000,000	2016/04/13	2016/11/18	15.00
64891	SG Issuer – HSI R Bull CBBC Sep 2016 J	200,000,000	2016/04/13	2016/09/29	50.00
64892	SG Issuer – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/04/13	2016/08/30	50.00
64906	UBS AG – HSCEI R Bear CBBC Jul 2016 C	100,000,000	2016/04/13	2016/07/28	25.00
64904	UBS AG – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/04/13	2017/06/29	50.00
64902	UBS AG – HSI R Bull CBBC Sep 2017 H	200,000,000	2016/04/13	2017/09/28	50.00
64898	UBS AG – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/13	2016/08/30	50.00
64899	UBS AG – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/13	2016/08/30	50.00
64926	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/14	2016/07/28	50.00
64929	Credit Suisse AG – HSI R Bull CBBC Apr 2017 D	200,000,000	2016/04/14	2017/04/27	50.00
64930	Credit Suisse AG – HSI R Bull CBBC Jun 2017 T	200,000,000	2016/04/14	2017/06/29	50.00
64933	Credit Suisse AG – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/14	2016/07/28	50.00
64934	Credit Suisse AG – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/14	2016/08/30	50.00
64936	Credit Suisse AG – HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/14	2016/08/30	50.00
64937	Credit Suisse AG – HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/14	2016/08/30	50.00
64939	Credit Suisse AG – HSI R Bear CBBC Sep 2016 A	200,000,000	2016/04/14	2016/09/29	50.00
64940	Credit Suisse AG – HSI R Bear CBBC Sep 2016 B	200,000,000	2016/04/14	2016/09/29	50.00
64959	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/14	2016/07/28	51.40
64957	J P Morgan SP BV – CNOOC R Bull CBBC Jan 2017 A	60,000,000	2016/04/14	2017/01/20	15.00
64948	J P Morgan SP BV – HKEX R Bear CBBC Nov 2016 A	40,000,000	2016/04/14	2016/11/18	10.00
64946	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/14	2016/07/28	50.00
64945	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 B	150,000,000	2016/04/14	2016/09/29	37.50
64956	J P Morgan SP BV – Tencent R Bull CBBC Dec 2016 C	60,000,000	2016/04/14	2016/12/16	15.00
64953	J P Morgan SP BV – Tencent R Bear CBBC Jan 2017 B	60,000,000	2016/04/14	2017/01/20	16.80
64927	SG Issuer – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/04/14	2016/08/30	50.00
64941	UBS AG – HSI R Bull CBBC Sep 2017 I	200,000,000	2016/04/14	2017/09/28	50.00
64942	UBS AG – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/04/14	2016/08/30	50.00
64943	UBS AG – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/04/14	2016/08/30	50.00
64944	UBS AG – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/14	2016/08/30	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64979	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 J	250,000,000	2016/04/15	2017/05/29	62.50
64981	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/15	2016/07/28	50.00
64973	Credit Suisse AG – HSBC R Bull CBBC Nov 2016 B	50,000,000	2016/04/15	2016/11/30	12.50
64982	Credit Suisse AG – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/15	2017/06/29	50.00
64974	Credit Suisse AG – HSI R Bull CBBC Jul 2017 G	200,000,000	2016/04/15	2017/07/28	50.00
64978	Credit Suisse AG – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/15	2016/07/28	50.00
64977	Credit Suisse AG – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/15	2016/08/30	50.00
64965	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 L	200,000,000	2016/04/15	2017/06/29	50.80
64967	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 M	200,000,000	2016/04/15	2017/06/29	50.60
65001	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 O	200,000,000	2016/04/15	2017/06/29	50.80
64992	J P Morgan SP BV – HKEX R Bull CBBC Oct 2016 G	40,000,000	2016/04/15	2016/10/21	10.00
64998	J P Morgan SP BV – HSI R Bull CBBC Apr 2017 T	150,000,000	2016/04/15	2017/04/27	37.50
64996	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 L	150,000,000	2016/04/15	2017/06/29	37.50
64985	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/15	2016/07/28	50.00
65000	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 C	150,000,000	2016/04/15	2016/09/29	37.50
64995	J P Morgan SP BV – Lenovo R Bull CBBC Jan 2017 A	40,000,000	2016/04/15	2017/01/20	10.00
64964	SG Issuer – HSI R Bull CBBC Sep 2016 Y	200,000,000	2016/04/15	2016/09/29	50.00
64962	SG Issuer – HSI R Bull CBBC Oct 2016 P	200,000,000	2016/04/15	2016/10/28	50.00
64966	SG Issuer – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/15	2016/07/28	50.00
65019	UBS AG – HSI R Bull CBBC Mar 2017 R	200,000,000	2016/04/15	2017/03/30	50.00
65005	UBS AG – HSI R Bull CBBC Jul 2017 L	200,000,000	2016/04/15	2017/07/28	50.00
65006	UBS AG – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/15	2016/07/28	50.00
65018	UBS AG – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/15	2016/07/28	50.00
65047	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/18	2016/07/28	50.00
65043	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/18	2016/08/30	50.00
65074	Credit Suisse AG – HSI R Bull CBBC Jul 2017 H	200,000,000	2016/04/18	2017/07/28	50.00
65071	Credit Suisse AG – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/04/18	2016/08/30	50.00
65041	Bank of East Asia – ABC R Bull CBBC Mar 2017 A	80,000,000	2016/04/18	2017/03/20	20.00
65040	Bank of East Asia – BOCL R Bull CBBC Mar 2017 A	80,000,000	2016/04/18	2017/03/17	20.00
65037	Bank of East Asia – CC Bank R Bull CBBC Mar 2017 A	80,000,000	2016/04/18	2017/03/16	20.00
65042	Bank of East Asia – CM Bank R Bull CBBC Mar 2017 A	40,000,000	2016/04/18	2017/03/21	10.00
65034	Bank of East Asia – TCH R Bull CBBC Mar 2017 B	40,000,000	2016/04/18	2017/03/15	10.00
65062	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 P	200,000,000	2016/04/18	2017/06/29	50.80
65088	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 R	200,000,000	2016/04/18	2017/06/29	51.40
65056	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/18	2016/07/28	50.40
65057	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/18	2016/07/28	51.80
65023	HK Bank – China Life R Bear CBBC Jan 2017 A	40,000,000	2016/04/18	2017/01/09	10.00
65025	HK Bank – PetCh R Bear CBBC Jan 2017 A	40,000,000	2016/04/18	2017/01/16	10.00
65021	HK Bank – TCH R Bull CBBC Jan 2017 B	50,000,000	2016/04/18	2017/01/16	12.50
65027	HK Bank – TCH R Bear CBBC Jan 2017 B	40,000,000	2016/04/18	2017/01/16	12.40
65033	HK Bank – TCH R Bear CBBC Feb 2017 C	40,000,000	2016/04/18	2017/02/13	15.60
65022	HK Bank – TCH R Bear CBBC Apr 2017 A	40,000,000	2016/04/18	2017/04/10	22.00
65091	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 T	150,000,000	2016/04/18	2017/01/26	37.50
65093	J P Morgan SP BV – HSI R Bull CBBC May 2017 H	150,000,000	2016/04/18	2017/05/29	37.50
65097	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 O	150,000,000	2016/04/18	2016/07/28	37.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65090	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 D	150,000,000	2016/04/18	2016/09/29	37.50
65068	SG Issuer – HSI R Bull CBBC Oct 2016 A	200,000,000	2016/04/18	2016/10/28	50.00
65064	SG Issuer – HSI R Bull CBBC Oct 2016 R	200,000,000	2016/04/18	2016/10/28	50.00
65048	SG Issuer – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/18	2016/07/28	50.00
65054	SG Issuer – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/18	2016/08/30	50.00
65087	UBS AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2016/04/18	2016/11/29	50.00
65086	UBS AG – HSI R Bull CBBC Jun 2017 E	200,000,000	2016/04/18	2017/06/29	50.00
65075	UBS AG – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/18	2016/07/28	50.00
65076	UBS AG – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/18	2016/08/30	50.00
65083	UBS AG – TCH R Bull CBBC Sep 2016 D	50,000,000	2016/04/18	2016/09/26	12.50
65084	UBS AG – Tencent R Bear CBBC Sep 2016 A	50,000,000	2016/04/18	2016/09/26	12.50
65134	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 K	250,000,000	2016/04/19	2017/05/29	62.50
65119	Credit Suisse AG – HSI R Bull CBBC Apr 2017 E	200,000,000	2016/04/19	2017/04/27	50.00
65118	Credit Suisse AG – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/19	2016/07/28	50.00
65158	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 S	200,000,000	2016/04/19	2017/06/29	51.40
65159	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 T	200,000,000	2016/04/19	2017/06/29	50.60
65099	HK Bank – CNOOC R Bull CBBC Feb 2017 A	50,000,000	2016/04/19	2017/02/27	12.50
65100	HK Bank – Sinopec Corp R Bull CBBC Feb 2017 A	50,000,000	2016/04/19	2017/02/27	12.50
65110	HK Bank – HKEEX R Bull CBBC Jan 2017 A	50,000,000	2016/04/19	2017/01/23	12.50
65109	HK Bank – HKEEX R Bear CBBC Jun 2017 B	40,000,000	2016/04/19	2017/06/30	10.00
65098	HK Bank – HSI R Bull CBBC Oct 2016 D	150,000,000	2016/04/19	2016/10/28	37.50
65132	J P Morgan SP BV – HSI R Bull CBBC May 2017 I	200,000,000	2016/04/19	2017/05/29	50.00
65163	J P Morgan SP BV – HSI R Bull CBBC May 2017 J	150,000,000	2016/04/19	2017/05/29	37.50
65125	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/19	2016/07/28	50.00
65126	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 P	150,000,000	2016/04/19	2016/08/30	37.50
65127	J P Morgan SP BV – HSI R Bear CBBC Dec 2016 A	200,000,000	2016/04/19	2016/12/29	50.00
65113	SG Issuer – HSI R Bull CBBC Dec 2016 W	200,000,000	2016/04/19	2016/12/29	50.00
65114	SG Issuer – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/19	2016/07/28	50.00
65117	SG Issuer – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/19	2016/07/28	50.00
65141	UBS AG – HSI R Bull CBBC Sep 2017 J	200,000,000	2016/04/19	2017/09/28	50.00
65138	UBS AG – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/19	2016/07/28	50.00
65136	UBS AG – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/19	2016/07/28	50.00
65198	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Jun 2017 A	100,000,000	2016/04/20	2017/06/29	25.00
65181	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 L	250,000,000	2016/04/20	2017/05/29	62.50
65183	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 M	250,000,000	2016/04/20	2017/05/29	62.50
65329	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 N	250,000,000	2016/04/20	2017/05/29	62.50
65180	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 J	250,000,000	2016/04/20	2017/06/29	62.50
65328	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 K	250,000,000	2016/04/20	2017/06/29	62.50
65168	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 A	200,000,000	2016/04/20	2017/02/27	50.00
65171	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 B	200,000,000	2016/04/20	2017/02/27	50.00
65185	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 C	250,000,000	2016/04/20	2017/02/27	62.50
65191	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 D	250,000,000	2016/04/20	2017/02/27	62.50
65192	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 E	250,000,000	2016/04/20	2017/02/27	62.50
65193	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 F	250,000,000	2016/04/20	2017/02/27	62.50
65194	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 G	250,000,000	2016/04/20	2017/02/27	62.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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65196	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 H	250,000,000	2016/04/20	2017/02/27	62.50
65173	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 A	200,000,000	2016/04/20	2017/03/30	50.00
65186	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 B	250,000,000	2016/04/20	2017/03/30	62.50
65187	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 C	250,000,000	2016/04/20	2017/03/30	62.50
65189	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 D	250,000,000	2016/04/20	2017/03/30	62.50
65190	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 E	250,000,000	2016/04/20	2017/03/30	62.50
65241	Credit Suisse AG – HKEX R Bull CBBC Dec 2016 A	50,000,000	2016/04/20	2016/12/30	12.50
65242	Credit Suisse AG – HKEX R Bear CBBC Sep 2016 A	50,000,000	2016/04/20	2016/09/30	12.50
65253	Credit Suisse AG – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/04/20	2017/06/29	50.00
65254	Credit Suisse AG – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/04/20	2017/06/29	50.00
65257	Credit Suisse AG – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/20	2017/06/29	50.00
65258	Credit Suisse AG – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/20	2017/06/29	50.00
65222	Credit Suisse AG – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/20	2016/07/28	50.00
65225	Credit Suisse AG – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/20	2016/07/28	50.00
65244	Credit Suisse AG – HSI R Bear CBBC Aug 2016 P	200,000,000	2016/04/20	2016/08/30	50.00
65246	Credit Suisse AG – HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/04/20	2016/08/30	50.00
65243	Credit Suisse AG – HSI R Bear CBBC Sep 2016 C	200,000,000	2016/04/20	2016/09/29	50.00
65245	Credit Suisse AG – HSI R Bear CBBC Sep 2016 D	200,000,000	2016/04/20	2016/09/29	50.00
65248	Credit Suisse AG – HSI R Bear CBBC Oct 2016 A	200,000,000	2016/04/20	2016/10/28	58.00
65251	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 Q	200,000,000	2016/04/20	2017/05/29	50.60
65330	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 R	200,000,000	2016/04/20	2017/05/29	50.60
65250	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/20	2017/06/29	50.80
65252	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/04/20	2017/06/29	51.60
65175	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/20	2016/07/28	50.60
65176	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/20	2016/07/28	50.80
65337	J P Morgan SP BV – CAM CSI300 R Bull CBBC Jan 2017 B	100,000,000	2016/04/20	2017/01/20	25.00
65340	J P Morgan SP BV – China Life R Bull CBBC Dec 2016 C	40,000,000	2016/04/20	2016/12/16	10.00
65335	J P Morgan SP BV – CNOOC R Bull CBBC Dec 2016 A	60,000,000	2016/04/20	2016/12/16	15.00
65336	J P Morgan SP BV – CNOOC R Bear CBBC Mar 2017 A	60,000,000	2016/04/20	2017/03/17	15.00
65334	J P Morgan SP BV – Galaxy Ent R Bull CBBC Mar 2017 B	100,000,000	2016/04/20	2017/03/17	25.00
65343	J P Morgan SP BV – HKEX R Bull CBBC Jan 2017 E	40,000,000	2016/04/20	2017/01/20	10.00
65344	J P Morgan SP BV – HKEX R Bear CBBC Dec 2016 B	40,000,000	2016/04/20	2016/12/16	10.00
65345	J P Morgan SP BV – HKEX R Bear CBBC Mar 2017 A	40,000,000	2016/04/20	2017/03/17	16.00
65347	J P Morgan SP BV – HSCEI R Bull CBBC Aug 2017 A	100,000,000	2016/04/20	2017/08/30	25.00
65364	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 M	150,000,000	2016/04/20	2017/06/29	37.50
65365	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 H	200,000,000	2016/04/20	2017/07/28	50.00
65377	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 E	200,000,000	2016/04/20	2017/08/30	50.00
65380	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 D	200,000,000	2016/04/20	2017/09/28	50.00
65350	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 B	150,000,000	2016/04/20	2016/07/28	37.50
65351	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/20	2016/07/28	50.00
65331	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/20	2016/07/28	50.00
65332	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/20	2016/07/28	50.00
65348	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 Z	150,000,000	2016/04/20	2016/07/28	37.50
65356	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/04/20	2016/08/30	50.00
65357	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/20	2016/09/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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65358	J P Morgan SP BV – HSI R Bear CBBC Dec 2016 B	200,000,000	2016/04/20	2016/12/29	52.00
65361	J P Morgan SP BV – HSI R Bear CBBC Jan 2017 A	200,000,000	2016/04/20	2017/01/26	62.00
65338	J P Morgan SP BV – Ping An R Bull CBBC Jan 2017 B	100,000,000	2016/04/20	2017/01/20	25.00
65346	J P Morgan SP BV – Tencent R Bear CBBC Mar 2017 A	40,000,000	2016/04/20	2017/03/17	12.00
65199	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 F	40,000,000	2016/04/20	2016/12/29	10.00
65200	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 G	40,000,000	2016/04/20	2016/12/29	10.16
65211	SG Issuer – HSI R Bull CBBC Sep 2016 O	200,000,000	2016/04/20	2016/09/29	50.00
65202	SG Issuer – HSI R Bull CBBC Sep 2016 U	200,000,000	2016/04/20	2016/09/29	50.00
65205	SG Issuer – HSI R Bull CBBC Nov 2016 Z	200,000,000	2016/04/20	2016/11/29	50.00
65164	SG Issuer – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/20	2016/07/28	50.00
65217	SG Issuer – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/20	2016/07/28	50.00
65165	SG Issuer – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/20	2016/08/30	50.00
65286	UBS AG – HSCEI R Bull CBBC Jul 2017 B	200,000,000	2016/04/20	2017/07/28	50.00
65296	UBS AG – HSCEI R Bear CBBC Aug 2016 A	100,000,000	2016/04/20	2016/08/30	25.00
65315	UBS AG – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/04/20	2017/06/29	50.00
65319	UBS AG – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/04/20	2017/06/29	50.00
65321	UBS AG – HSI R Bull CBBC Jun 2017 L	200,000,000	2016/04/20	2017/06/29	50.00
65318	UBS AG – HSI R Bull CBBC Aug 2017 A	200,000,000	2016/04/20	2017/08/30	50.00
65320	UBS AG – HSI R Bull CBBC Aug 2017 B	200,000,000	2016/04/20	2017/08/30	50.00
65317	UBS AG – HSI R Bull CBBC Sep 2017 K	200,000,000	2016/04/20	2017/09/28	50.00
65304	UBS AG – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/20	2016/07/28	57.00
65299	UBS AG – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/20	2016/07/28	50.00
65324	UBS AG – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/20	2016/07/28	50.00
65262	UBS AG – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/20	2016/07/28	50.00
65259	UBS AG – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/20	2016/07/28	50.00
65265	UBS AG – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/20	2016/07/28	50.00
65276	UBS AG – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/20	2016/07/28	50.00
65280	UBS AG – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/20	2016/07/28	50.00
65281	UBS AG – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/20	2016/07/28	50.00
65283	UBS AG – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/20	2016/07/28	50.00
65297	UBS AG – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/20	2016/07/28	50.00
65285	UBS AG – HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/20	2016/08/30	51.00
65303	UBS AG – HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/20	2016/08/30	50.00
65307	UBS AG – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/20	2016/08/30	71.00
65327	UBS AG – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/04/20	2016/08/30	50.00
65446	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2016 E	50,000,000	2016/04/21	2016/12/30	12.50
65443	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC May 2017 B	100,000,000	2016/04/21	2017/05/29	25.00
65435	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 O	250,000,000	2016/04/21	2017/05/29	62.50
65382	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 L	250,000,000	2016/04/21	2017/06/29	62.50
65383	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 I	250,000,000	2016/04/21	2017/02/27	62.50
65385	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 J	250,000,000	2016/04/21	2017/02/27	62.50
65440	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 K	250,000,000	2016/04/21	2017/02/27	62.50
65442	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 L	250,000,000	2016/04/21	2017/02/27	72.50
65436	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 F	250,000,000	2016/04/21	2017/03/30	62.50
65437	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 G	250,000,000	2016/04/21	2017/03/30	62.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65441	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 H	250,000,000	2016/04/21	2017/03/30	62.50
65463	Credit Suisse AG – HSI R Bull CBBC Jul 2017 I	200,000,000	2016/04/21	2017/07/28	50.00
65464	Credit Suisse AG – HSI R Bull CBBC Jul 2017 J	200,000,000	2016/04/21	2017/07/28	50.00
65466	Credit Suisse AG – HSI R Bull CBBC Jul 2017 K	200,000,000	2016/04/21	2017/07/28	50.00
65467	Credit Suisse AG – HSI R Bull CBBC Jul 2017 L	200,000,000	2016/04/21	2017/07/28	50.00
65468	Credit Suisse AG – HSI R Bull CBBC Jul 2017 M	200,000,000	2016/04/21	2017/07/28	50.00
65460	Credit Suisse AG – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/21	2016/07/28	50.00
65459	Credit Suisse AG – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/21	2016/07/28	50.00
65461	Credit Suisse AG – HSI R Bear CBBC Aug 2016 R	200,000,000	2016/04/21	2016/08/30	50.00
65462	Credit Suisse AG – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/21	2016/09/29	50.00
65458	Credit Suisse AG – HSI R Bear CBBC Oct 2016 B	200,000,000	2016/04/21	2016/10/28	64.00
65402	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/04/21	2017/06/29	50.80
65403	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/04/21	2017/06/29	51.40
65434	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/04/21	2017/06/29	51.80
65405	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/21	2016/07/28	50.80
65407	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/21	2016/07/28	50.80
65428	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/21	2016/07/28	51.40
65429	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/21	2016/07/28	51.60
65432	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/21	2016/07/28	51.40
65433	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/21	2016/07/28	51.40
65531	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 A	200,000,000	2016/04/21	2016/08/30	51.00
65533	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/04/21	2016/08/30	51.20
65536	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/04/21	2016/08/30	51.20
65540	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/04/21	2016/08/30	50.40
65418	HK Bank – China Life R Bull CBBC Feb 2017 A	50,000,000	2016/04/21	2017/02/27	12.50
65408	HK Bank – HKEX R Bull CBBC Dec 2016 I	50,000,000	2016/04/21	2016/12/16	12.50
65414	HK Bank – HKEX R Bull CBBC Dec 2016 J	50,000,000	2016/04/21	2016/12/12	12.50
65398	HK Bank – HSCEI R Bull CBBC Feb 2017 A	60,000,000	2016/04/21	2017/02/27	15.00
65391	HK Bank – HSI R Bull CBBC Nov 2016 X	150,000,000	2016/04/21	2016/11/29	37.50
65390	HK Bank – HSI R Bull CBBC Dec 2016 W	150,000,000	2016/04/21	2016/12/29	37.50
65388	HK Bank – HSI R Bull CBBC Feb 2017 F	150,000,000	2016/04/21	2017/02/27	37.50
65392	HK Bank – HSI R Bull CBBC Mar 2017 B	400,000,000	2016/04/21	2017/03/30	100.00
65419	HK Bank – Ping An R Bull CBBC Dec 2016 L	50,000,000	2016/04/21	2016/12/23	12.50
65416	HK Bank – TCH R Bull CBBC Dec 2016 K	50,000,000	2016/04/21	2016/12/19	12.50
65546	J P Morgan SP BV – China Mobile R Bull CBBC Jan 2017 B	100,000,000	2016/04/21	2017/01/20	25.00
65544	J P Morgan SP BV – COVS R Bull CBBC Jan 2017 C	30,000,000	2016/04/21	2017/01/20	10.50
65543	J P Morgan SP BV – HSBC R Bull CBBC Dec 2016 B	100,000,000	2016/04/21	2016/12/16	25.00
65491	J P Morgan SP BV – HSI R Bull CBBC May 2017 K	200,000,000	2016/04/21	2017/05/29	50.00
65492	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 N	200,000,000	2016/04/21	2017/06/29	50.00
65493	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 E	200,000,000	2016/04/21	2017/09/28	50.00
65471	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/21	2016/07/28	50.00
65472	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 C	150,000,000	2016/04/21	2016/07/28	37.50
65473	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 F	150,000,000	2016/04/21	2016/07/28	37.50
65470	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/21	2016/07/28	50.00
65479	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 R	200,000,000	2016/04/21	2016/08/30	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65486	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 F	200,000,000	2016/04/21	2016/09/29	50.00
65487	J P Morgan SP BV – HSI R Bear CBBC Dec 2016 C	200,000,000	2016/04/21	2016/12/29	50.00
65489	J P Morgan SP BV – HSI R Bear CBBC Feb 2017 A	200,000,000	2016/04/21	2017/02/27	80.00
65450	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 H	40,000,000	2016/04/21	2016/12/29	10.00
65453	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 I	40,000,000	2016/04/21	2016/12/29	10.20
65454	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2016 D	40,000,000	2016/04/21	2016/10/28	10.00
65455	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2016 E	40,000,000	2016/04/21	2016/10/28	10.20
65420	SG Issuer – HSI R Bull CBBC Sep 2016 E	200,000,000	2016/04/21	2016/09/29	50.00
65457	SG Issuer – HSI R Bull CBBC Sep 2016 N	200,000,000	2016/04/21	2016/09/29	50.00
65425	SG Issuer – HSI R Bull CBBC Nov 2016 D	200,000,000	2016/04/21	2016/11/29	50.00
65456	SG Issuer – HSI R Bull CBBC Nov 2016 E	200,000,000	2016/04/21	2016/11/29	50.00
65423	SG Issuer – HSI R Bull CBBC Dec 2016 X	200,000,000	2016/04/21	2016/12/29	50.00
65427	SG Issuer – HSI R Bear CBBC Jul 2016 D	200,000,000	2016/04/21	2016/07/28	50.00
65426	SG Issuer – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/21	2016/07/28	50.00
65524	UBS AG – HSCEI R Bull CBBC Sep 2017 A	200,000,000	2016/04/21	2017/09/28	50.00
65525	UBS AG – HSCEI R Bear CBBC Sep 2016 A	100,000,000	2016/04/21	2016/09/29	25.00
65529	UBS AG – HSI R Bull CBBC Jun 2017 M	200,000,000	2016/04/21	2017/06/29	50.00
65528	UBS AG – HSI R Bull CBBC Jul 2017 M	200,000,000	2016/04/21	2017/07/28	50.00
65530	UBS AG – HSI R Bull CBBC Jul 2017 N	200,000,000	2016/04/21	2017/07/28	50.00
65527	UBS AG – HSI R Bull CBBC Sep 2017 L	200,000,000	2016/04/21	2017/09/28	50.00
65497	UBS AG – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/21	2016/07/28	50.00
65502	UBS AG – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/21	2016/07/28	50.00
65500	UBS AG – HSI R Bear CBBC Aug 2016 P	200,000,000	2016/04/21	2016/08/30	50.00
65505	UBS AG – HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/04/21	2016/08/30	50.00
65516	UBS AG – HSI R Bear CBBC Aug 2016 R	200,000,000	2016/04/21	2016/08/30	50.00
65506	UBS AG – HSI R Bear CBBC Sep 2016 B	200,000,000	2016/04/21	2016/09/29	50.00
65513	UBS AG – HSI R Bear CBBC Sep 2016 C	200,000,000	2016/04/21	2016/09/29	50.00
65520	UBS AG – HSI R Bear CBBC Sep 2016 D	200,000,000	2016/04/21	2016/09/29	53.00
65503	UBS AG – HSI R Bear CBBC Oct 2016 A	200,000,000	2016/04/21	2016/10/28	50.00
65523	UBS AG – HSI R Bear CBBC Oct 2016 B	200,000,000	2016/04/21	2016/10/28	82.00
65568	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Feb 2017 A	100,000,000	2016/04/22	2017/02/27	25.00
65572	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Feb 2017 B	100,000,000	2016/04/22	2017/02/27	25.00
65564	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 M	250,000,000	2016/04/22	2017/06/29	62.50
65582	Credit Suisse AG – HSI R Bull CBBC Apr 2017 F	200,000,000	2016/04/22	2017/04/27	50.00
65583	Credit Suisse AG – HSI R Bull CBBC Apr 2017 G	200,000,000	2016/04/22	2017/04/27	50.00
65590	Credit Suisse AG – HSI R Bull CBBC Apr 2017 H	200,000,000	2016/04/22	2017/04/27	50.00
65595	Credit Suisse AG – HSI R Bear CBBC Jul 2016 N	200,000,000	2016/04/22	2016/07/28	50.00
65593	Credit Suisse AG – HSI R Bear CBBC Aug 2016 S	200,000,000	2016/04/22	2016/08/30	50.00
65594	Credit Suisse AG – HSI R Bear CBBC Sep 2016 F	200,000,000	2016/04/22	2016/09/29	50.00
65562	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/04/22	2017/06/29	50.60
65563	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 B	200,000,000	2016/04/22	2017/07/28	51.80
65559	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/22	2016/07/28	50.80
65560	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/22	2016/07/28	50.60
65627	J P Morgan SP BV – BOCL R Bull CBBC Mar 2017 A	100,000,000	2016/04/22	2017/03/17	25.00
65637	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 O	200,000,000	2016/04/22	2017/06/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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65661	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 F	200,000,000	2016/04/22	2017/08/30	50.00
65638	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 B	200,000,000	2016/04/22	2017/10/30	50.00
65639	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 M	200,000,000	2016/04/22	2016/07/28	50.00
65648	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 G	200,000,000	2016/04/22	2016/09/29	50.00
65655	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 B	200,000,000	2016/04/22	2016/10/28	50.00
65659	J P Morgan SP BV – HSI R Bear CBBC Feb 2017 B	200,000,000	2016/04/22	2017/02/27	70.00
65645	J P Morgan SP BV – HSI R Bear CBBC Aug 2017 A	200,000,000	2016/04/22	2017/08/30	50.00
65547	SG Issuer – HSI R Bull CBBC Jun 2017 A	200,000,000	2016/04/22	2017/06/29	50.00
65548	SG Issuer – HSI R Bull CBBC Jun 2017 B	200,000,000	2016/04/22	2017/06/29	50.00
65549	SG Issuer – HSI R Bull CBBC Jun 2017 C	200,000,000	2016/04/22	2017/06/29	50.00
65550	SG Issuer – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/04/22	2017/06/29	50.00
65556	SG Issuer – HSI R Bull CBBC Jun 2017 E	200,000,000	2016/04/22	2017/06/29	50.00
65558	SG Issuer – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/04/22	2016/08/30	50.00
65625	UBS AG – China Mobile R Bear CBBC Jan 2017 A	50,000,000	2016/04/22	2017/01/16	12.50
65598	UBS AG – HSI R Bull CBBC Aug 2017 C	200,000,000	2016/04/22	2017/08/30	50.00
65599	UBS AG – HSI R Bull CBBC Aug 2017 D	200,000,000	2016/04/22	2017/08/30	50.00
65603	UBS AG – HSI R Bull CBBC Aug 2017 E	200,000,000	2016/04/22	2017/08/30	50.00
65602	UBS AG – HSI R Bull CBBC Sep 2017 M	200,000,000	2016/04/22	2017/09/28	50.00
65604	UBS AG – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/22	2016/07/28	50.00
65619	UBS AG – HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/22	2016/07/28	50.00
65606	UBS AG – HSI R Bear CBBC Aug 2016 S	200,000,000	2016/04/22	2016/08/30	50.00
65612	UBS AG – HSI R Bear CBBC Aug 2016 T	200,000,000	2016/04/22	2016/08/30	50.00
65623	UBS AG – HSI R Bear CBBC Aug 2016 U	200,000,000	2016/04/22	2016/08/30	53.00
65605	UBS AG – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/04/22	2016/09/29	50.00
65610	UBS AG – HSI R Bear CBBC Sep 2016 F	200,000,000	2016/04/22	2016/09/29	50.00
65617	UBS AG – HSI R Bear CBBC Sep 2016 G	200,000,000	2016/04/22	2016/09/29	50.00
65680	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 I	250,000,000	2016/04/25	2017/03/30	62.50
65682	Credit Suisse AG – HSI R Bull CBBC Apr 2017 I	200,000,000	2016/04/25	2017/04/27	50.00
65681	Credit Suisse AG – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/04/25	2017/06/29	50.00
65683	Credit Suisse AG – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/25	2016/07/28	50.00
65685	Credit Suisse AG – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/25	2016/07/28	50.00
65675	Bank of East Asia – COVS R Bear CBBC Mar 2017 A	20,000,000	2016/04/25	2017/03/06	14.40
65678	Bank of East Asia – Galaxy Ent R Bear CBBC Feb 2017 B	60,000,000	2016/04/25	2017/02/27	15.00
65674	Bank of East Asia – Lenovo R Bull CBBC Dec 2017 A	40,000,000	2016/04/25	2017/12/29	10.00
65679	Bank of East Asia – Sands China R Bear CBBC Jan 2017 A	60,000,000	2016/04/25	2017/01/09	15.00
65666	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 B	200,000,000	2016/04/25	2016/07/28	50.80
65667	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 C	200,000,000	2016/04/25	2016/07/28	51.40
65693	J P Morgan SP BV – HSI R Bull CBBC May 2017 L	150,000,000	2016/04/25	2017/05/29	37.50
65687	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 I	200,000,000	2016/04/25	2017/07/28	50.00
65688	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/25	2016/07/28	50.00
65691	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/25	2016/07/28	50.00
65662	SG Issuer – HSI R Bull CBBC Jul 2017 A	200,000,000	2016/04/25	2017/07/28	50.00
65663	SG Issuer – HSI R Bull CBBC Jul 2017 B	200,000,000	2016/04/25	2017/07/28	50.00
65664	SG Issuer – HSI R Bull CBBC Jul 2017 C	200,000,000	2016/04/25	2017/07/28	50.00
65670	SG Issuer – HSI R Bull CBBC Jul 2017 D	200,000,000	2016/04/25	2017/07/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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65671	SG Issuer – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/04/25	2016/08/30	50.00
65672	SG Issuer – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/04/25	2016/08/30	50.00
65700	UBS AG – HSI R Bull CBBC Nov 2016 B	200,000,000	2016/04/25	2016/11/29	50.00
65699	UBS AG – HSI R Bull CBBC Nov 2016 U	200,000,000	2016/04/25	2016/11/29	50.00
65701	UBS AG – HSI R Bull CBBC Sep 2017 N	200,000,000	2016/04/25	2017/09/28	50.00
65702	UBS AG – HSI R Bull CBBC Sep 2017 O	200,000,000	2016/04/25	2017/09/28	50.00
65713	UBS AG – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/25	2016/07/28	50.00
65724	UBS AG – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/25	2016/07/28	50.00
65704	UBS AG – HSI R Bear CBBC Jul 2016 Y	200,000,000	2016/04/25	2016/07/28	50.00
65844	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 N	250,000,000	2016/04/26	2017/06/29	62.50
65847	Credit Suisse AG – HSI R Bull CBBC Jun 2017 C	200,000,000	2016/04/26	2017/06/29	50.00
65840	Credit Suisse AG – HSI R Bear CBBC Aug 2016 T	200,000,000	2016/04/26	2016/08/30	50.00
65841	Credit Suisse AG – HSI R Bear CBBC Aug 2016 U	200,000,000	2016/04/26	2016/08/30	50.00
65890	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 C	200,000,000	2016/04/26	2017/07/28	51.40
65891	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 D	200,000,000	2016/04/26	2017/07/28	51.40
65885	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/04/26	2016/08/30	50.80
65887	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/04/26	2016/08/30	50.40
65888	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/04/26	2016/08/30	50.80
65740	HK Bank – China Mobile R Bull CBBC Jan 2017 A	50,000,000	2016/04/26	2017/01/16	12.50
65771	HK Bank – CNOOC R Bull CBBC Jul 2017 A	50,000,000	2016/04/26	2017/07/31	12.50
65781	HK Bank – Galaxy Ent R Bull CBBC Jun 2017 C	40,000,000	2016/04/26	2017/06/26	10.00
65765	HK Bank – HSCEI R Bull CBBC Jul 2017 A	60,000,000	2016/04/26	2017/07/28	15.00
65772	HK Bank – HSI R Bear CBBC Feb 2017 E	150,000,000	2016/04/26	2017/02/27	37.50
65786	HK Bank – HSI R Bear CBBC Feb 2017 F	150,000,000	2016/04/26	2017/02/27	37.50
65787	HK Bank – HSI R Bear CBBC Apr 2017 A	150,000,000	2016/04/26	2017/04/27	37.50
65788	HK Bank – HSI R Bear CBBC Apr 2017 B	150,000,000	2016/04/26	2017/04/27	37.50
65780	HK Bank – Lenovo R Bull CBBC Mar 2017 A	40,000,000	2016/04/26	2017/03/31	10.00
65733	HK Bank – Lenovo R Bear CBBC Apr 2017 A	40,000,000	2016/04/26	2017/04/28	10.00
65751	HK Bank – PetCh R Bull CBBC Jun 2017 A	50,000,000	2016/04/26	2017/06/30	12.50
65748	HK Bank – Ping An R Bear CBBC Apr 2017 A	40,000,000	2016/04/26	2017/04/28	10.00
65782	HK Bank – Sands China R Bull CBBC Jul 2017 B	40,000,000	2016/04/26	2017/07/31	10.00
65859	J P Morgan SP BV – COVS R Bear CBBC Oct 2016 A	25,000,000	2016/04/26	2016/10/21	12.50
65863	J P Morgan SP BV – HKEX R Bull CBBC Dec 2016 D	60,000,000	2016/04/26	2016/12/16	15.00
65866	J P Morgan SP BV – HKEX R Bear CBBC Jan 2017 B	60,000,000	2016/04/26	2017/01/20	15.00
65871	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 F	200,000,000	2016/04/26	2016/08/30	50.00
65872	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 G	150,000,000	2016/04/26	2016/08/30	37.50
65873	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/26	2016/07/28	50.00
65857	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 S	150,000,000	2016/04/26	2016/08/30	37.50
65858	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 T	200,000,000	2016/04/26	2016/08/30	50.00
65875	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 U	200,000,000	2016/04/26	2016/08/30	50.00
65877	J P Morgan SP BV – HSI R Bear CBBC Dec 2016 D	200,000,000	2016/04/26	2016/12/29	50.00
65829	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 J	40,000,000	2016/04/26	2016/08/30	10.20
65831	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2016 K	40,000,000	2016/04/26	2016/08/30	10.00
65838	SG Issuer – HSBC R Bull CBBC Nov 2017 A	200,000,000	2016/04/26	2017/11/29	50.00
65835	SG Issuer – HSBC R Bull CBBC Mar 2018 A	200,000,000	2016/04/26	2018/03/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65791	SG Issuer – HSI R Bull CBBC Jul 2017 E	200,000,000	2016/04/26	2017/07/28	50.00
65850	SG Issuer – HSI R Bull CBBC Jul 2017 F	200,000,000	2016/04/26	2017/07/28	50.00
65794	SG Issuer – HSI R Bear CBBC Jul 2016 X	200,000,000	2016/04/26	2016/07/28	50.00
65797	SG Issuer – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/04/26	2016/08/30	50.00
65822	SG Issuer – HSI R Bear CBBC Aug 2016 L	200,000,000	2016/04/26	2016/08/30	50.00
65827	SG Issuer – HSI R Bear CBBC Aug 2016 M	200,000,000	2016/04/26	2016/08/30	50.00
65828	SG Issuer – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/04/26	2016/08/30	50.00
65855	UBS AG – HSI R Bull CBBC Aug 2017 F	200,000,000	2016/04/26	2017/08/30	50.00
65854	UBS AG – HSI R Bear CBBC Aug 2016 V	200,000,000	2016/04/26	2016/08/30	50.00
65898	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 J	250,000,000	2016/04/27	2017/03/30	62.50
65929	Credit Suisse AG – HSI R Bull CBBC Mar 2017 C	200,000,000	2016/04/27	2017/03/30	50.00
65930	Credit Suisse AG – HSI R Bull CBBC Apr 2017 J	200,000,000	2016/04/27	2017/04/27	50.00
65931	Credit Suisse AG – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/27	2016/07/28	50.00
65903	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 S	200,000,000	2016/04/27	2017/05/29	51.40
65899	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 E	200,000,000	2016/04/27	2017/07/28	51.00
65978	J P Morgan SP BV – CC Bank R Bull CBBC Jan 2017 B	100,000,000	2016/04/27	2017/01/20	25.00
65979	J P Morgan SP BV – CKH R Bull CBBC Jun 2017 B	40,000,000	2016/04/27	2017/06/16	10.00
65975	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 C	150,000,000	2016/04/27	2016/09/29	37.50
65977	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 D	150,000,000	2016/04/27	2016/09/29	37.50
65897	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 P	200,000,000	2016/04/27	2017/06/29	50.00
65970	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 J	150,000,000	2016/04/27	2017/07/28	37.50
65982	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 G	150,000,000	2016/04/27	2017/08/30	37.50
65965	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 T	150,000,000	2016/04/27	2016/07/28	37.50
65968	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 V	200,000,000	2016/04/27	2016/08/30	50.00
65917	SG Issuer – HKEX R Bull CBBC May 2017 A	100,000,000	2016/04/27	2017/05/31	25.00
65918	SG Issuer – HKEX R Bull CBBC May 2017 B	100,000,000	2016/04/27	2017/05/31	25.00
65909	SG Issuer – HKEX R Bull CBBC Jul 2017 A	100,000,000	2016/04/27	2017/07/28	25.00
65912	SG Issuer – HKEX R Bull CBBC Jul 2017 B	100,000,000	2016/04/27	2017/07/28	25.00
65906	SG Issuer – HKEX R Bull CBBC Sep 2017 A	100,000,000	2016/04/27	2017/09/28	25.00
65919	SG Issuer – HKEX R Bear CBBC Sep 2016 A	100,000,000	2016/04/27	2016/09/29	25.00
65920	SG Issuer – HKEX R Bear CBBC Nov 2016 A	100,000,000	2016/04/27	2016/11/29	25.00
65921	SG Issuer – HKEX R Bear CBBC Feb 2017 A	100,000,000	2016/04/27	2017/02/01	27.00
65922	SG Issuer – HSBC R Bull CBBC Jun 2018 A	100,000,000	2016/04/27	2018/06/28	25.00
65927	SG Issuer – HSBC R Bear CBBC Sep 2016 A	100,000,000	2016/04/27	2016/09/29	25.00
65893	SG Issuer – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/04/27	2017/06/29	50.00
65905	SG Issuer – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/27	2016/07/28	50.00
65934	UBS AG – HSI R Bull CBBC Jun 2017 S	200,000,000	2016/04/27	2017/06/29	50.00
65933	UBS AG – HSI R Bull CBBC Jul 2017 O	200,000,000	2016/04/27	2017/07/28	50.00
65937	UBS AG – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/27	2016/07/28	50.00
65945	UBS AG – HSI R Bear CBBC Jul 2016 F	200,000,000	2016/04/27	2016/07/28	50.00
65938	UBS AG – HSI R Bear CBBC Jul 2016 G	200,000,000	2016/04/27	2016/07/28	50.00
65941	UBS AG – HSI R Bear CBBC Jul 2016 H	200,000,000	2016/04/27	2016/07/28	50.00
65952	UBS AG – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/27	2016/07/28	50.00
65951	UBS AG – HSI R Bear CBBC Jul 2016 K	200,000,000	2016/04/27	2016/07/28	50.00
65953	UBS AG – HSI R Bear CBBC Jul 2016 L	200,000,000	2016/04/27	2016/07/28	59.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65963	UBS AG – HSI R Bear CBBC Jul 2016 O	200,000,000	2016/04/27	2016/07/28	50.00
66026	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 P	250,000,000	2016/04/28	2017/05/29	62.50
66030	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 O	250,000,000	2016/04/28	2017/06/29	62.50
65991	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 M	250,000,000	2016/04/28	2017/02/27	62.50
65994	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 N	250,000,000	2016/04/28	2017/02/27	62.50
65992	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 K	250,000,000	2016/04/28	2017/03/30	62.50
66034	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 L	250,000,000	2016/04/28	2017/03/30	62.50
66037	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 M	250,000,000	2016/04/28	2017/03/30	62.50
66058	Credit Suisse AG – HSI R Bull CBBC Jul 2017 N	200,000,000	2016/04/28	2017/07/28	50.00
66041	Credit Suisse AG – HSI R Bear CBBC Jul 2016 R	200,000,000	2016/04/28	2016/07/28	50.00
66043	Credit Suisse AG – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/28	2016/07/28	50.00
66053	Credit Suisse AG – HSI R Bear CBBC Jul 2016 T	200,000,000	2016/04/28	2016/07/28	50.00
66056	Credit Suisse AG – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/28	2016/07/28	50.00
66052	Credit Suisse AG – HSI R Bear CBBC Aug 2016 V	200,000,000	2016/04/28	2016/08/30	50.00
66055	Credit Suisse AG – HSI R Bear CBBC Aug 2016 W	200,000,000	2016/04/28	2016/08/30	50.00
66044	Credit Suisse AG – HSI R Bear CBBC Sep 2016 G	200,000,000	2016/04/28	2016/09/29	50.00
66018	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 T	200,000,000	2016/04/28	2017/05/29	50.60
66019	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 F	200,000,000	2016/04/28	2017/07/28	50.80
65995	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 A	200,000,000	2016/04/28	2016/07/28	50.80
65999	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2016 E	200,000,000	2016/04/28	2016/07/28	50.40
65987	HK Bank – ABC R Bull CBBC Aug 2017 B	50,000,000	2016/04/28	2017/08/31	12.50
65988	HK Bank – AIA R Bear CBBC Mar 2017 B	40,000,000	2016/04/28	2017/03/31	13.20
65983	HK Bank – CM Bank R Bull CBBC Jan 2017 B	40,000,000	2016/04/28	2017/01/23	10.00
66015	HK Bank – HSI R Bull CBBC Oct 2016 A	150,000,000	2016/04/28	2016/10/28	37.50
66014	HK Bank – HSI R Bull CBBC Dec 2016 X	150,000,000	2016/04/28	2016/12/29	37.50
65989	HK Bank – PICC P&C R Bull CBBC Jan 2017 B	40,000,000	2016/04/28	2017/01/19	10.00
66108	J P Morgan SP BV – China Mobile R Bear CBBC May 2017 A	100,000,000	2016/04/28	2017/05/19	25.00
66098	J P Morgan SP BV – HKEX R Bull CBBC Nov 2016 G	40,000,000	2016/04/28	2016/11/18	10.00
66100	J P Morgan SP BV – HKEX R Bear CBBC Feb 2017 B	40,000,000	2016/04/28	2017/02/17	10.40
66101	J P Morgan SP BV – HKEX R Bear CBBC Mar 2017 B	40,000,000	2016/04/28	2017/03/17	14.40
66104	J P Morgan SP BV – HSBC R Bull CBBC Feb 2017 A	100,000,000	2016/04/28	2017/02/17	25.00
66105	J P Morgan SP BV – HSBC R Bear CBBC Jan 2017 B	100,000,000	2016/04/28	2017/01/20	25.00
66109	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/04/28	2017/06/29	50.00
66088	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 H	200,000,000	2016/04/28	2017/08/30	50.00
66089	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 C	150,000,000	2016/04/28	2017/10/30	37.50
66112	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 H	150,000,000	2016/04/28	2016/07/28	37.50
66115	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 N	150,000,000	2016/04/28	2016/07/28	37.50
66086	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/28	2016/07/28	50.00
66087	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/28	2016/07/28	50.00
66106	J P Morgan SP BV – Tencent R Bull CBBC Jan 2017 C	60,000,000	2016/04/28	2017/01/20	15.00
66020	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2016 J	40,000,000	2016/04/28	2016/12/29	10.00
66024	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2016 F	40,000,000	2016/04/28	2016/10/28	10.00
66083	SG Issuer – HSI R Bull CBBC Jun 2017 G	200,000,000	2016/04/28	2017/06/29	50.00
66084	SG Issuer – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/04/28	2017/06/29	50.00
66009	SG Issuer – HSI R Bear CBBC Jul 2016 I	200,000,000	2016/04/28	2016/07/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66013	SG Issuer – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/28	2016/07/28	50.00
66074	UBS AG – HKEX R Bull CBBC Oct 2016 F	50,000,000	2016/04/28	2016/10/31	12.50
66071	UBS AG – HSBC R Bull CBBC Jun 2017 A	100,000,000	2016/04/28	2017/06/19	25.00
66078	UBS AG – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/04/28	2017/06/29	50.00
66075	UBS AG – HSI R Bull CBBC Aug 2017 G	200,000,000	2016/04/28	2017/08/30	50.00
66080	UBS AG – HSI R Bull CBBC Sep 2017 P	200,000,000	2016/04/28	2017/09/28	50.00
66059	UBS AG – HSI R Bear CBBC Jul 2016 Q	200,000,000	2016/04/28	2016/07/28	50.00
66060	UBS AG – HSI R Bear CBBC Jul 2016 S	200,000,000	2016/04/28	2016/07/28	50.00
66061	UBS AG – HSI R Bear CBBC Jul 2016 W	200,000,000	2016/04/28	2016/07/28	50.00
66072	UBS AG – Tencent R Bull CBBC Oct 2016 E	50,000,000	2016/04/28	2016/10/17	12.50
66134	BNP Paribas Arbit Issu B.V. – HKEX R Bull CBBC Dec 2016 B	40,000,000	2016/04/29	2016/12/30	10.00
66168	Credit Suisse AG – HKEX R Bull CBBC Sep 2016 C	50,000,000	2016/04/29	2016/09/30	12.50
66162	Credit Suisse AG – HKEX R Bear CBBC Jul 2016 C	50,000,000	2016/04/29	2016/07/29	13.00
66160	Credit Suisse AG – HSBC R Bear CBBC Sep 2016 A	50,000,000	2016/04/29	2016/09/30	12.50
66152	Credit Suisse AG – HSCEI R Bear CBBC Jul 2016 B	100,000,000	2016/04/29	2016/07/28	25.00
66154	Credit Suisse AG – HSI R Bull CBBC Apr 2017 K	200,000,000	2016/04/29	2017/04/27	50.00
66164	Credit Suisse AG – HSI R Bear CBBC Jul 2016 U	200,000,000	2016/04/29	2016/07/28	50.00
66155	Credit Suisse AG – Tencent R Bear CBBC Jul 2016 A	50,000,000	2016/04/29	2016/07/29	12.50
66133	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 A	200,000,000	2016/04/29	2017/08/30	51.20
66182	J P Morgan SP BV – HKEX R Bull CBBC Apr 2017 B	40,000,000	2016/04/29	2017/04/21	10.00
66180	J P Morgan SP BV – HKEX R Bear CBBC Apr 2017 A	40,000,000	2016/04/29	2017/04/21	20.00
66171	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 R	150,000,000	2016/04/29	2017/06/29	37.50
66170	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 K	200,000,000	2016/04/29	2017/07/28	50.00
66184	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 D	150,000,000	2016/04/29	2016/07/28	37.50
66183	J P Morgan SP BV – HSI R Bear CBBC Jul 2016 X	150,000,000	2016/04/29	2016/07/28	37.50
66130	SG Issuer – HSI R Bull CBBC Jul 2017 G	200,000,000	2016/04/29	2017/07/28	50.00
66147	SG Issuer – HSI R Bear CBBC Jul 2016 V	200,000,000	2016/04/29	2016/07/28	50.00
66121	SG Issuer – Tencent R Bull CBBC Jun 2017 A	100,000,000	2016/04/29	2017/06/29	25.00
66122	SG Issuer – Tencent R Bull CBBC Jun 2017 B	100,000,000	2016/04/29	2017/06/29	25.00
66123	SG Issuer – Tencent R Bull CBBC Jun 2017 C	100,000,000	2016/04/29	2017/06/29	25.00
66116	SG Issuer – Tencent R Bull CBBC Aug 2017 A	100,000,000	2016/04/29	2017/08/30	25.00
66119	SG Issuer – Tencent R Bull CBBC Aug 2017 B	100,000,000	2016/04/29	2017/08/30	25.00
66127	SG Issuer – Tencent R Bear CBBC Sep 2016 A	100,000,000	2016/04/29	2016/09/29	25.00
66128	SG Issuer – Tencent R Bear CBBC Sep 2016 B	100,000,000	2016/04/29	2016/09/29	25.00
66129	SG Issuer – Tencent R Bear CBBC Nov 2016 A	100,000,000	2016/04/29	2016/11/29	25.00
66174	UBS AG – HSI R Bull CBBC Aug 2017 H	200,000,000	2016/04/29	2017/08/30	50.00
66177	UBS AG – HSI R Bear CBBC Jul 2016 J	200,000,000	2016/04/29	2016/07/28	50.00
66178	UBS AG – HSI R Bear CBBC Jul 2016 P	200,000,000	2016/04/29	2016/07/28	50.00
66237	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 Q	250,000,000	2016/05/03	2017/05/29	62.50
66234	Credit Suisse AG – HSI R Bull CBBC Mar 2017 D	200,000,000	2016/05/03	2017/03/30	50.00
66235	Credit Suisse AG – HSI R Bear CBBC Aug 2016 X	200,000,000	2016/05/03	2016/08/30	50.00
66240	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/05/03	2017/06/29	51.20
66243	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/03	2016/08/30	50.60
66244	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/03	2016/08/30	50.20
66215	HK Bank – AIA R Bull CBBC Jan 2017 B	40,000,000	2016/05/03	2017/01/09	16.40

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66185	HK Bank – CNOOC R Bull CBBC Jan 2017 D	50,000,000	2016/05/03	2017/01/06	12.50
66190	HK Bank – CNOOC R Bear CBBC Feb 2017 A	40,000,000	2016/05/03	2017/02/27	10.00
66191	HK Bank – CNOOC R Bear CBBC Mar 2017 A	40,000,000	2016/05/03	2017/03/06	10.00
66186	HK Bank – CNOOC R Bear CBBC Nov 2017 A	40,000,000	2016/05/03	2017/11/06	12.80
66197	HK Bank – HKEX R Bull CBBC Oct 2016 X	50,000,000	2016/05/03	2016/10/31	12.50
66217	HK Bank – HKEX R Bull CBBC Dec 2016 K	50,000,000	2016/05/03	2016/12/30	12.50
66220	HK Bank – HKEX R Bull CBBC Dec 2016 L	50,000,000	2016/05/03	2016/12/19	12.50
66206	HK Bank – HKEX R Bear CBBC Jun 2017 C	40,000,000	2016/05/03	2017/06/30	30.80
66221	HK Bank – HSI R Bull CBBC Nov 2016 Y	150,000,000	2016/05/03	2016/11/29	37.50
66195	HK Bank – PetCh R Bull CBBC Jul 2017 A	50,000,000	2016/05/03	2017/07/17	12.50
66247	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 S	150,000,000	2016/05/03	2017/06/29	37.50
66245	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 F	150,000,000	2016/05/03	2017/09/28	37.50
66248	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 W	200,000,000	2016/05/03	2016/08/30	50.00
66249	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 X	150,000,000	2016/05/03	2016/08/30	37.50
66223	SG Issuer – A50 R Bull CBBC Feb 2017 B	100,000,000	2016/05/03	2017/02/27	25.00
66224	SG Issuer – A50 R Bull CBBC Feb 2017 C	100,000,000	2016/05/03	2017/02/27	25.00
66225	SG Issuer – A50 R Bull CBBC Feb 2017 D	100,000,000	2016/05/03	2017/02/27	25.00
66222	SG Issuer – A50 R Bull CBBC Apr 2017 C	100,000,000	2016/05/03	2017/04/27	25.00
66228	SG Issuer – A50 R Bear CBBC Sep 2016 A	100,000,000	2016/05/03	2016/09/29	25.00
66230	SG Issuer – A50 R Bear CBBC Oct 2016 A	100,000,000	2016/05/03	2016/10/28	25.00
66231	SG Issuer – A50 R Bear CBBC Nov 2016 A	100,000,000	2016/05/03	2016/11/29	25.00
66233	UBS AG – HSI R Bull CBBC Aug 2017 I	200,000,000	2016/05/03	2017/08/30	50.00
66232	UBS AG – HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/05/03	2017/09/28	50.00
66236	UBS AG – HSI R Bear CBBC Aug 2016 W	200,000,000	2016/05/03	2016/08/30	50.00
66250	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 R	250,000,000	2016/05/04	2017/05/29	62.50
66251	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 P	250,000,000	2016/05/04	2017/06/29	62.50
66269	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 Q	250,000,000	2016/05/04	2017/06/29	62.50
66263	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 O	250,000,000	2016/05/04	2017/02/27	62.50
66266	Credit Suisse AG – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/05/04	2017/06/29	50.00
66267	Credit Suisse AG – HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/04	2016/08/30	50.00
66281	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/04	2017/06/29	50.20
66253	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/05/04	2017/06/29	50.60
66256	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 B	200,000,000	2016/05/04	2017/08/30	51.60
66257	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/04	2016/08/30	50.60
66283	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 L	200,000,000	2016/05/04	2017/07/28	50.00
66284	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 I	200,000,000	2016/05/04	2017/08/30	50.00
66286	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/04	2016/08/30	50.00
66288	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 Z	150,000,000	2016/05/04	2016/08/30	37.50
66258	SG Issuer – HSI R Bull CBBC Jun 2017 I	200,000,000	2016/05/04	2017/06/29	50.00
66260	SG Issuer – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/04	2016/08/30	50.00
66262	SG Issuer – HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/04	2016/08/30	50.00
66272	UBS AG – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/04	2017/06/29	50.00
66273	UBS AG – HSI R Bull CBBC Aug 2017 J	200,000,000	2016/05/04	2017/08/30	50.00
66279	UBS AG – HSI R Bear CBBC Aug 2016 X	200,000,000	2016/05/04	2016/08/30	50.00
66280	UBS AG – HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/04	2016/08/30	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66310	Credit Suisse AG – HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/05	2017/07/28	50.00
66311	Credit Suisse AG – HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/05	2017/07/28	50.00
66312	Credit Suisse AG – HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/05/05	2016/08/30	50.00
66333	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 C	200,000,000	2016/05/05	2017/08/30	50.40
66334	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/05	2016/08/30	50.20
66293	HK Bank – Sinopec Corp R Bull CBBC Jul 2017 A	50,000,000	2016/05/05	2017/07/17	12.50
66296	HK Bank – HSCEI R Bear CBBC Feb 2017 B	50,000,000	2016/05/05	2017/02/27	12.50
66294	HK Bank – TCH R Bear CBBC Jan 2017 C	40,000,000	2016/05/05	2017/01/16	10.00
66321	J P Morgan SP BV – HSBC R Bull CBBC Apr 2017 C	100,000,000	2016/05/05	2017/04/21	25.00
66319	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 M	200,000,000	2016/05/05	2017/07/28	50.00
66320	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 G	200,000,000	2016/05/05	2017/09/28	50.00
66322	J P Morgan SP BV – Ping An R Bull CBBC Feb 2017 D	100,000,000	2016/05/05	2017/02/17	25.00
66330	J P Morgan SP BV – Tencent R Bear CBBC Nov 2016 B	60,000,000	2016/05/05	2016/11/18	15.00
66300	SG Issuer – HSI R Bull CBBC Jun 2017 J	200,000,000	2016/05/05	2017/06/29	50.00
66299	SG Issuer – HSI R Bull CBBC Jul 2017 H	200,000,000	2016/05/05	2017/07/28	50.00
66313	SG Issuer – HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/05	2016/08/30	50.00
66301	UBS AG – HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/05	2017/07/28	50.00
66303	UBS AG – HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/05	2017/07/28	50.00
66309	UBS AG – HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/05/05	2016/08/30	50.00
66305	UBS AG – Ping An R Bull CBBC Jan 2017 B	100,000,000	2016/05/05	2017/01/16	25.00
66337	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 P	250,000,000	2016/05/06	2017/02/27	62.50
66346	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 Q	250,000,000	2016/05/06	2017/02/27	62.50
66344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 N	250,000,000	2016/05/06	2017/03/30	62.50
66377	Credit Suisse AG – HSI R Bull CBBC Apr 2017 L	200,000,000	2016/05/06	2017/04/27	50.00
66356	Credit Suisse AG – HSI R Bear CBBC Aug 2016 A	200,000,000	2016/05/06	2016/08/30	50.00
66360	Credit Suisse AG – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/06	2016/08/30	50.00
66350	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 D	200,000,000	2016/05/06	2017/08/30	51.40
66351	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 L	200,000,000	2016/05/06	2016/08/30	51.20
66352	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 M	200,000,000	2016/05/06	2016/08/30	51.20
66390	J P Morgan SP BV – Galaxy Ent R Bear CBBC Feb 2017 A	100,000,000	2016/05/06	2017/02/17	25.00
66388	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 J	200,000,000	2016/05/06	2017/08/30	50.00
66391	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/06	2016/08/30	50.00
66386	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/05/06	2016/08/30	50.00
66353	SG Issuer – HSI R Bull CBBC Jul 2017 I	200,000,000	2016/05/06	2017/07/28	50.00
66355	SG Issuer – HSI R Bull CBBC Jul 2017 J	200,000,000	2016/05/06	2017/07/28	50.00
66336	SG Issuer – HSI R Bear CBBC Aug 2016 U	200,000,000	2016/05/06	2016/08/30	50.00
66335	SG Issuer – HSI R Bear CBBC Sep 2016 F	200,000,000	2016/05/06	2016/09/29	50.00
66385	UBS AG – HSI R Bull CBBC Jun 2017 T	200,000,000	2016/05/06	2017/06/29	50.00
66384	UBS AG – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/06	2017/06/29	50.00
66380	UBS AG – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/06	2016/08/30	50.00
66379	UBS AG – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/06	2016/08/30	50.00
66383	UBS AG – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/06	2016/08/30	50.00
66438	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 O	250,000,000	2016/05/09	2017/03/30	62.50
66439	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 P	250,000,000	2016/05/09	2017/03/30	62.50
66443	Credit Suisse AG – HSI R Bull CBBC Jun 2017 E	200,000,000	2016/05/09	2017/06/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66446	Credit Suisse AG – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/05/09	2017/06/29	50.00
66450	Credit Suisse AG – HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/09	2016/09/29	50.00
66452	Credit Suisse AG – HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/09	2016/09/29	50.00
66437	Bank of East Asia – Great Wall Motor R Bull CBBC Dec 2017 A	60,000,000	2016/05/09	2017/12/22	15.00
66432	Bank of East Asia – HKEX R Bull CBBC Apr 2017 A	40,000,000	2016/05/09	2017/04/27	10.00
66433	Bank of East Asia – HKEX R Bear CBBC Apr 2017 A	40,000,000	2016/05/09	2017/04/21	10.00
66436	Bank of East Asia – HSBC Holdings R Bear CBBC Feb 2017 A	40,000,000	2016/05/09	2017/02/22	10.00
66418	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 E	200,000,000	2016/05/09	2017/08/30	51.60
66420	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 F	200,000,000	2016/05/09	2017/08/30	51.60
66426	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 G	200,000,000	2016/05/09	2017/08/30	50.40
66422	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/05/09	2016/08/30	51.20
66427	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/09	2016/08/30	51.00
66428	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/09	2016/08/30	51.00
66394	HK Bank – HKEX R Bull CBBC Jun 2017 A	50,000,000	2016/05/09	2017/06/30	12.50
66409	HK Bank – HSI R Bull CBBC Nov 2016 Z	150,000,000	2016/05/09	2016/11/29	37.50
66410	HK Bank – HSI R Bull CBBC Dec 2016 Y	150,000,000	2016/05/09	2016/12/29	37.50
66413	HK Bank – PetCh R Bull CBBC Jul 2017 B	50,000,000	2016/05/09	2017/07/03	12.50
66414	HK Bank – Sands China R Bear CBBC Apr 2017 C	40,000,000	2016/05/09	2017/04/28	10.00
66416	HK Bank – Tencent R Bull CBBC Dec 2016 L	50,000,000	2016/05/09	2016/12/30	12.50
66417	HK Bank – Tencent R Bull CBBC Jan 2017 C	50,000,000	2016/05/09	2017/01/18	12.50
66395	HK Bank – Tencent R Bear CBBC Nov 2016 E	40,000,000	2016/05/09	2016/11/30	10.00
66460	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 T	200,000,000	2016/05/09	2017/06/29	50.00
66462	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/09	2016/08/30	50.00
66463	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/05/09	2016/08/30	50.00
66424	SG Issuer – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/05/09	2017/06/29	50.00
66423	SG Issuer – HSI R Bull CBBC Jul 2017 K	200,000,000	2016/05/09	2017/07/28	50.00
66425	SG Issuer – HSI R Bull CBBC Jul 2017 L	200,000,000	2016/05/09	2017/07/28	50.00
66440	SG Issuer – HSI R Bear CBBC Sep 2016 G	200,000,000	2016/05/09	2016/09/29	50.00
66442	SG Issuer – HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/09	2016/09/29	50.00
66453	UBS AG – HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/09	2017/07/28	50.00
66455	UBS AG – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/09	2016/08/30	50.00
66457	UBS AG – HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/09	2016/09/29	50.00
66459	UBS AG – HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/09	2016/09/29	50.00
66504	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Feb 2017 C	100,000,000	2016/05/10	2017/02/27	25.00
66528	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Mar 2017 A	100,000,000	2016/05/10	2017/03/30	25.00
66482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 S	250,000,000	2016/05/10	2017/05/29	62.50
66512	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 T	250,000,000	2016/05/10	2017/05/29	62.50
66478	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 R	250,000,000	2016/05/10	2017/06/29	62.50
66483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 S	250,000,000	2016/05/10	2017/06/29	62.50
66503	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 R	250,000,000	2016/05/10	2017/02/27	62.50
66527	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 S	250,000,000	2016/05/10	2017/02/27	62.50
66502	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/05/10	2017/03/30	62.50
66526	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 R	250,000,000	2016/05/10	2017/03/30	62.50
66521	Credit Suisse AG – HSI R Bull CBBC Apr 2017 M	200,000,000	2016/05/10	2017/04/27	50.00
66519	Credit Suisse AG – HSI R Bull CBBC Jun 2017 J	200,000,000	2016/05/10	2017/06/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66513	Credit Suisse AG – HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/10	2017/07/28	50.00
66517	Credit Suisse AG – HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/10	2017/07/28	50.00
66523	Credit Suisse AG – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/10	2016/08/30	50.00
66524	Credit Suisse AG – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/05/10	2016/08/30	50.00
66525	Credit Suisse AG – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/10	2016/08/30	50.00
66491	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2017 U	200,000,000	2016/05/10	2017/05/29	50.00
66563	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/10	2017/06/29	52.20
66492	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 H	200,000,000	2016/05/10	2017/08/30	50.00
66493	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/05/10	2016/08/30	51.40
66496	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 R	200,000,000	2016/05/10	2016/08/30	50.40
66564	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/10	2016/08/30	50.60
66477	HK Bank – CKP R Bull CBBC Dec 2016 C	40,000,000	2016/05/10	2016/12/30	10.00
66475	HK Bank – CNOOC R Bear CBBC Dec 2016 E	40,000,000	2016/05/10	2016/12/19	10.00
66476	HK Bank – China Res Land R Bull CBBC Dec 2016 A	40,000,000	2016/05/10	2016/12/19	14.00
66469	HK Bank – Galaxy Ent R Bear CBBC Jan 2017 B	40,000,000	2016/05/10	2017/01/16	10.00
66471	HK Bank – Galaxy Ent R Bear CBBC Jun 2017 A	40,000,000	2016/05/10	2017/06/30	10.00
66474	HK Bank – HSI R Bear CBBC Nov 2016 J	150,000,000	2016/05/10	2016/11/29	37.50
66473	HK Bank – HSI R Bear CBBC Dec 2016 M	150,000,000	2016/05/10	2016/12/29	37.50
66551	J P Morgan SP BV – HKEX R Bear CBBC Dec 2016 C	40,000,000	2016/05/10	2016/12/16	10.00
66548	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 N	200,000,000	2016/05/10	2017/07/28	50.00
66550	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 K	200,000,000	2016/05/10	2017/08/30	50.00
66559	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/10	2016/08/30	50.00
66560	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/10	2016/08/30	50.00
66562	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/10	2016/09/29	50.00
66498	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2016 A	40,000,000	2016/05/10	2016/12/29	10.20
66500	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2016 B	40,000,000	2016/05/10	2016/12/29	10.00
66467	SG Issuer – HSI R Bull CBBC Jun 2017 L	200,000,000	2016/05/10	2017/06/29	50.00
66465	SG Issuer – HSI R Bull CBBC Jul 2017 M	200,000,000	2016/05/10	2017/07/28	50.00
66468	SG Issuer – HSI R Bull CBBC Jul 2017 N	200,000,000	2016/05/10	2017/07/28	50.00
66506	SG Issuer – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/10	2016/08/30	50.00
66510	SG Issuer – HSI R Bear CBBC Aug 2016 Q	200,000,000	2016/05/10	2016/08/30	50.00
66509	SG Issuer – HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/10	2016/09/29	50.00
66532	UBS AG – HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/10	2017/07/28	50.00
66531	UBS AG – HSI R Bull CBBC Aug 2017 K	200,000,000	2016/05/10	2017/08/30	50.00
66530	UBS AG – HSI R Bull CBBC Sep 2017 R	200,000,000	2016/05/10	2017/09/28	50.00
66538	UBS AG – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/10	2016/08/30	50.00
66543	UBS AG – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/10	2016/08/30	50.00
66540	UBS AG – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/10	2016/09/29	50.00
66544	UBS AG – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/10	2016/09/29	50.00
66547	UBS AG – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/10	2016/09/29	50.00
66581	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 U	250,000,000	2016/05/11	2017/05/29	62.50
66586	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 T	250,000,000	2016/05/11	2017/06/29	62.50
66597	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 T	250,000,000	2016/05/11	2017/02/27	62.50
66595	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 S	250,000,000	2016/05/11	2017/03/30	62.50
66621	Credit Suisse AG – HSCEI R Bear CBBC Aug 2016 A	100,000,000	2016/05/11	2016/08/30	25.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66614	Credit Suisse AG – HSI R Bull CBBC Apr 2017 N	200,000,000	2016/05/11	2017/04/27	50.00
66615	Credit Suisse AG – HSI R Bull CBBC Apr 2017 O	200,000,000	2016/05/11	2017/04/27	50.00
66619	Credit Suisse AG – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/11	2016/08/30	50.00
66620	Credit Suisse AG – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/11	2016/08/30	50.00
66571	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 G	200,000,000	2016/05/11	2017/07/28	51.40
66631	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 H	200,000,000	2016/05/11	2017/07/28	50.20
66570	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 I	200,000,000	2016/05/11	2017/08/30	51.20
66587	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/11	2016/08/30	51.20
66568	HK Bank – HKEX R Bear CBBC Dec 2016 D	40,000,000	2016/05/11	2016/12/19	10.00
66565	HK Bank – HSI R Bull CBBC Dec 2016 Z	150,000,000	2016/05/11	2016/12/29	37.50
66567	HK Bank – Tencent R Bear CBBC Dec 2016 B	40,000,000	2016/05/11	2016/12/19	10.00
66638	J P Morgan SP BV – HKEX R Bull CBBC May 2017 A	40,000,000	2016/05/11	2017/05/19	10.00
66635	J P Morgan SP BV – HKEX R Bear CBBC Feb 2017 C	40,000,000	2016/05/11	2017/02/17	10.00
66622	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/11	2017/07/28	50.00
66623	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/11	2016/08/30	50.00
66624	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/11	2016/08/30	50.00
66627	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/11	2016/09/29	50.00
66628	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/11	2016/10/28	50.00
66640	J P Morgan SP BV – Ping An R Bull CBBC Feb 2017 E	100,000,000	2016/05/11	2017/02/17	25.00
66589	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2016 C	40,000,000	2016/05/11	2016/12/29	10.20
66592	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2016 D	40,000,000	2016/05/11	2016/12/29	10.00
66575	SG Issuer – HSI R Bull CBBC Jun 2017 M	200,000,000	2016/05/11	2017/06/29	50.00
66574	SG Issuer – HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/11	2017/07/28	50.00
66580	SG Issuer – HSI R Bear CBBC Aug 2016 V	200,000,000	2016/05/11	2016/08/30	50.00
66576	SG Issuer – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/11	2016/09/29	50.00
66579	SG Issuer – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/11	2016/09/29	50.00
66594	SG Issuer – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/11	2016/09/29	50.00
66613	UBS AG – HSCEI R Bear CBBC Aug 2016 B	100,000,000	2016/05/11	2016/08/30	25.00
66598	UBS AG – HSI R Bull CBBC Aug 2017 L	200,000,000	2016/05/11	2017/08/30	50.00
66601	UBS AG – HSI R Bull CBBC Sep 2017 S	200,000,000	2016/05/11	2017/09/28	50.00
66603	UBS AG – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/11	2016/08/30	50.00
66605	UBS AG – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/05/11	2016/09/29	50.00
66607	UBS AG – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/05/11	2016/09/29	50.00
66611	UBS AG – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/11	2016/09/29	50.00
66612	UBS AG – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/11	2016/09/29	50.00
66662	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 U	250,000,000	2016/05/12	2017/06/29	62.50
66687	Credit Suisse AG – HSI R Bull CBBC Mar 2017 E	200,000,000	2016/05/12	2017/03/30	50.00
66689	Credit Suisse AG – HSI R Bull CBBC Mar 2017 F	200,000,000	2016/05/12	2017/03/30	50.00
66690	Credit Suisse AG – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/12	2016/09/29	50.00
66700	Credit Suisse AG – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/12	2016/09/29	50.00
66716	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 I	200,000,000	2016/05/12	2017/07/28	50.40
66715	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 A	200,000,000	2016/05/12	2016/09/29	50.60
66658	HK Bank – China Life R Bull CBBC Dec 2016 D	50,000,000	2016/05/12	2016/12/12	12.50
66657	HK Bank – China Life R Bull CBBC Jan 2017 C	50,000,000	2016/05/12	2017/01/16	14.00
66661	HK Bank – HKEX R Bear CBBC Dec 2016 E	40,000,000	2016/05/12	2016/12/12	10.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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66646	HK Bank – HSCEI R Bear CBBC Dec 2016 F	50,000,000	2016/05/12	2016/12/29	12.50
66656	HK Bank – HSI R Bear CBBC Dec 2016 N	150,000,000	2016/05/12	2016/12/29	37.50
66653	HK Bank – HSI R Bear CBBC Feb 2017 G	150,000,000	2016/05/12	2017/02/27	37.50
66655	HK Bank – HSI R Bear CBBC Feb 2017 H	200,000,000	2016/05/12	2017/02/27	50.00
66701	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 L	200,000,000	2016/05/12	2017/08/30	50.00
66721	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 M	150,000,000	2016/05/12	2017/08/30	37.50
66723	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 H	150,000,000	2016/05/12	2017/09/28	37.50
66704	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 A	200,000,000	2016/05/12	2016/08/30	50.00
66709	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/12	2016/08/30	50.00
66705	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/12	2016/10/28	50.00
66717	J P Morgan SP BV – Sands China R Bear CBBC Jan 2017 A	100,000,000	2016/05/12	2017/01/20	25.00
66663	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 A	40,000,000	2016/05/12	2017/02/27	10.00
66669	SG Issuer – CSA50 R Bull CBBC Feb 2017 D	100,000,000	2016/05/12	2017/02/27	25.00
66672	SG Issuer – CSA50 R Bull CBBC Feb 2017 E	100,000,000	2016/05/12	2017/02/27	25.00
66666	SG Issuer – CSA50 R Bull CBBC Apr 2017 B	100,000,000	2016/05/12	2017/04/27	25.00
66675	SG Issuer – CSA50 R Bear CBBC Oct 2016 A	100,000,000	2016/05/12	2016/10/28	25.00
66678	SG Issuer – CSA50 R Bear CBBC Nov 2016 B	100,000,000	2016/05/12	2016/11/29	25.00
66643	SG Issuer – HSI R Bull CBBC Jun 2017 N	200,000,000	2016/05/12	2017/06/29	50.00
66641	SG Issuer – HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/12	2017/07/28	50.00
66642	SG Issuer – HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/12	2017/07/28	50.00
66683	SG Issuer – HSI R Bear CBBC Aug 2016 R	200,000,000	2016/05/12	2016/08/30	50.00
66685	SG Issuer – HSI R Bear CBBC Aug 2016 W	200,000,000	2016/05/12	2016/08/30	50.00
66684	SG Issuer – HSI R Bear CBBC Aug 2016 X	200,000,000	2016/05/12	2016/08/30	50.00
66728	UBS AG – HSI R Bull CBBC Aug 2017 M	200,000,000	2016/05/12	2017/08/30	50.00
66729	UBS AG – HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/05/12	2016/09/29	50.00
66731	UBS AG – HSI R Bear CBBC Sep 2016 R	200,000,000	2016/05/12	2016/09/29	50.00
66733	UBS AG – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/05/12	2016/09/29	50.00
66734	UBS AG – HSI R Bear CBBC Sep 2016 T	200,000,000	2016/05/12	2016/09/29	50.00
66772	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Feb 2017 D	100,000,000	2016/05/13	2017/02/27	25.00
66768	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Mar 2017 B	100,000,000	2016/05/13	2017/03/30	25.00
66735	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 V	250,000,000	2016/05/13	2017/05/29	62.50
66756	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 W	250,000,000	2016/05/13	2017/05/29	62.50
66736	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 V	250,000,000	2016/05/13	2017/06/29	62.50
66764	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 U	250,000,000	2016/05/13	2017/02/27	62.50
66767	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 V	250,000,000	2016/05/13	2017/02/27	62.50
66766	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 T	250,000,000	2016/05/13	2017/03/30	62.50
66809	Credit Suisse AG – HSI R Bull CBBC Jun 2017 K	200,000,000	2016/05/13	2017/06/29	50.00
66811	Credit Suisse AG – HSI R Bull CBBC Jun 2017 L	200,000,000	2016/05/13	2017/06/29	50.00
66815	Credit Suisse AG – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/05/13	2016/08/30	50.00
66813	Credit Suisse AG – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/13	2016/09/29	50.00
66814	Credit Suisse AG – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/05/13	2016/09/29	50.00
66745	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 J	200,000,000	2016/05/13	2017/07/28	50.60
66746	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 K	200,000,000	2016/05/13	2017/07/28	51.40
66797	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 L	200,000,000	2016/05/13	2017/07/28	51.80
66798	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 B	200,000,000	2016/05/13	2016/09/29	51.40

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66799	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 C	200,000,000	2016/05/13	2016/09/29	50.20
66846	J P Morgan SP BV – China Life R Bull CBBC Jul 2017 C	70,000,000	2016/05/13	2017/07/21	17.50
66843	J P Morgan SP BV – Galaxy Ent R Bull CBBC May 2017 B	100,000,000	2016/05/13	2017/05/19	25.00
66844	J P Morgan SP BV – HKEX R Bull CBBC May 2017 B	60,000,000	2016/05/13	2017/05/19	15.00
66831	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/13	2017/06/29	50.00
66841	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/13	2017/07/28	50.00
66850	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 N	150,000,000	2016/05/13	2017/08/30	37.50
66849	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 D	150,000,000	2016/05/13	2017/10/30	37.50
66853	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 D	150,000,000	2016/05/13	2017/12/28	37.50
66847	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/13	2016/08/30	50.00
66848	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/13	2016/09/29	50.00
66845	J P Morgan SP BV – Tencent R Bear CBBC Feb 2017 C	70,000,000	2016/05/13	2017/02/17	17.50
66748	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2016 E	40,000,000	2016/05/13	2016/12/29	10.00
66785	SG Issuer – Galaxy R Bull CBBC Oct 2017 A	100,000,000	2016/05/13	2017/10/30	25.00
66787	SG Issuer – Galaxy R Bull CBBC Oct 2017 B	100,000,000	2016/05/13	2017/10/30	25.00
66776	SG Issuer – Galaxy R Bull CBBC Mar 2018 A	100,000,000	2016/05/13	2018/03/28	25.00
66788	SG Issuer – Galaxy R Bear CBBC Feb 2017 A	100,000,000	2016/05/13	2017/02/27	25.00
66791	SG Issuer – Galaxy R Bear CBBC May 2017 A	100,000,000	2016/05/13	2017/05/29	25.00
66739	SG Issuer – HSI R Bull CBBC Jun 2017 O	200,000,000	2016/05/13	2017/06/29	50.00
66742	SG Issuer – HSI R Bull CBBC Jun 2017 P	200,000,000	2016/05/13	2017/06/29	50.00
66794	SG Issuer – HSI R Bear CBBC Aug 2016 Y	200,000,000	2016/05/13	2016/08/30	50.00
66792	SG Issuer – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/05/13	2016/09/29	50.00
66795	SG Issuer – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/05/13	2016/09/29	50.00
66750	UBS AG – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/13	2017/06/29	50.00
66751	UBS AG – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/05/13	2017/06/29	50.00
66816	UBS AG – HSI R Bull CBBC Aug 2017 N	200,000,000	2016/05/13	2017/08/30	50.00
66817	UBS AG – HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/13	2016/08/30	50.00
66829	UBS AG – HSI R Bear CBBC Sep 2016 U	200,000,000	2016/05/13	2016/09/29	50.00
66819	UBS AG – HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/13	2016/10/28	50.00
66910	Credit Suisse AG – HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/16	2017/07/28	50.00
66909	Credit Suisse AG – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/16	2016/08/30	50.00
66892	Credit Suisse AG – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/05/16	2016/09/29	50.00
66895	Credit Suisse AG – HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/16	2016/10/28	50.00
66870	Bank of East Asia – HKEX R Bull CBBC May 2017 A	40,000,000	2016/05/16	2017/05/26	10.00
66871	Bank of East Asia – HSBC Holdings R Bull CBBC Apr 2017 B	60,000,000	2016/05/16	2017/04/26	15.00
66933	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 J	200,000,000	2016/05/16	2017/08/30	51.20
66890	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 D	200,000,000	2016/05/16	2016/09/29	51.00
66867	HK Bank – AIA R Bear CBBC Mar 2017 C	40,000,000	2016/05/16	2017/03/31	10.00
66857	HK Bank – China Mobile R Bear CBBC Apr 2017 B	40,000,000	2016/05/16	2017/04/24	10.00
66861	HK Bank – China Life R Bear CBBC Feb 2017 A	40,000,000	2016/05/16	2017/02/27	10.00
66864	HK Bank – HSCEI R Bear CBBC Feb 2017 C	50,000,000	2016/05/16	2017/02/27	12.50
66862	HK Bank – HSI R Bear CBBC Nov 2016 K	150,000,000	2016/05/16	2016/11/29	37.50
66858	HK Bank – Lenovo R Bear CBBC Mar 2017 A	40,000,000	2016/05/16	2017/03/31	10.00
66854	HK Bank – Tencent R Bear CBBC Dec 2016 C	40,000,000	2016/05/16	2016/12/12	10.00
66920	J P Morgan SP BV – HSCEI R Bear CBBC Feb 2017 B	100,000,000	2016/05/16	2017/02/27	25.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66915	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/16	2017/06/29	50.00
66919	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 I	150,000,000	2016/05/16	2017/09/28	37.50
66913	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/05/16	2016/09/29	50.00
66921	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/05/16	2016/09/29	50.00
66922	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 M	150,000,000	2016/05/16	2016/09/29	37.50
66873	SG Issuer – HSI R Bear CBBC Aug 2016 C	200,000,000	2016/05/16	2016/08/30	50.00
66886	SG Issuer – HSI R Bear CBBC Aug 2016 D	200,000,000	2016/05/16	2016/08/30	50.00
66876	SG Issuer – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/16	2016/09/29	50.00
66887	SG Issuer – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/16	2016/09/29	50.00
66930	UBS AG – HSI R Bull CBBC Nov 2016 V	200,000,000	2016/05/16	2016/11/29	50.00
66932	UBS AG – HSI R Bull CBBC Aug 2017 O	200,000,000	2016/05/16	2017/08/30	50.00
66928	UBS AG – HSI R Bear CBBC Aug 2016 V	200,000,000	2016/05/16	2016/08/30	50.00
66925	UBS AG – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/05/16	2016/09/29	50.00
66929	UBS AG – HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/16	2016/10/28	50.00
66934	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 W	250,000,000	2016/05/17	2017/06/29	62.50
66954	Credit Suisse AG – HSI R Bull CBBC Jun 2017 B	200,000,000	2016/05/17	2017/06/29	50.00
66955	Credit Suisse AG – HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/17	2017/07/28	50.00
66946	Credit Suisse AG – HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/17	2016/10/28	50.00
66952	Credit Suisse AG – HSI R Bear CBBC Oct 2016 E	200,000,000	2016/05/17	2016/10/28	50.00
66989	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 K	200,000,000	2016/05/17	2017/08/30	51.40
66991	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2017 L	200,000,000	2016/05/17	2017/08/30	51.80
66992	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/05/17	2016/09/29	50.40
66997	J P Morgan SP BV – HSBC R Bear CBBC Feb 2017 A	100,000,000	2016/05/17	2017/02/17	25.00
66993	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 O	200,000,000	2016/05/17	2017/08/30	50.00
67001	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 M	150,000,000	2016/05/17	2016/08/30	37.50
67003	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 E	150,000,000	2016/05/17	2016/10/28	37.50
66999	J P Morgan SP BV – Tencent R Bull CBBC Jun 2017 A	70,000,000	2016/05/17	2017/06/16	17.50
66937	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 B	40,000,000	2016/05/17	2017/02/27	10.00
66966	SG Issuer – HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/05/17	2017/06/29	50.00
66956	SG Issuer – HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/17	2017/07/28	50.00
66938	SG Issuer – HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/17	2016/08/30	50.00
66942	SG Issuer – HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/05/17	2016/09/29	50.00
66983	UBS AG – HSI R Bull CBBC Aug 2017 P	200,000,000	2016/05/17	2017/08/30	50.00
66972	UBS AG – HSI R Bull CBBC Sep 2017 T	200,000,000	2016/05/17	2017/09/28	50.00
66981	UBS AG – HSI R Bull CBBC Sep 2017 U	200,000,000	2016/05/17	2017/09/28	50.00
66978	UBS AG – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/05/17	2016/09/29	50.00
66979	UBS AG – HSI R Bear CBBC Oct 2016 E	200,000,000	2016/05/17	2016/10/28	50.00
67014	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 U	250,000,000	2016/05/18	2017/03/30	62.50
67025	Credit Suisse AG – HSI R Bull CBBC Apr 2017 P	200,000,000	2016/05/18	2017/04/27	50.00
67035	Credit Suisse AG – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/05/18	2017/06/29	50.00
67026	Credit Suisse AG – HSI R Bear CBBC Aug 2016 J	200,000,000	2016/05/18	2016/08/30	50.00
67032	Credit Suisse AG – HSI R Bear CBBC Aug 2016 K	200,000,000	2016/05/18	2016/08/30	50.00
67024	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 M	200,000,000	2016/05/18	2017/07/28	50.60
67013	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 F	200,000,000	2016/05/18	2016/09/29	50.60
67011	HK Bank – HKEX R Bull CBBC Jun 2017 B	50,000,000	2016/05/18	2017/06/30	12.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67005	HK Bank – HSI R Bull CBBC Nov 2016 D	150,000,000	2016/05/18	2016/11/29	37.50
67004	HK Bank – HSI R Bull CBBC Dec 2016 E	150,000,000	2016/05/18	2016/12/29	37.50
67010	HK Bank – Lenovo R Bull CBBC Aug 2017 B	40,000,000	2016/05/18	2017/08/28	10.00
67007	HK Bank – Tencent R Bull CBBC Jun 2017 B	50,000,000	2016/05/18	2017/06/30	12.50
67019	J P Morgan SP BV – A50 China R Bear CBBC Nov 2016 B	80,000,000	2016/05/18	2016/11/18	20.00
67020	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/05/18	2016/08/30	50.00
67023	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 N	150,000,000	2016/05/18	2016/09/29	37.50
67012	SG Issuer – HSI R Bull CBBC Jun 2017 R	200,000,000	2016/05/18	2017/06/29	50.00
67015	UBS AG – HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/18	2017/07/28	50.00
67017	UBS AG – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/05/18	2016/09/29	50.00
67054	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 X	250,000,000	2016/05/19	2017/05/29	62.50
67063	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 W	250,000,000	2016/05/19	2017/02/27	62.50
67067	Credit Suisse AG – HSI R Bull CBBC Jun 2017 M	200,000,000	2016/05/19	2017/06/29	50.00
67069	Credit Suisse AG – HSI R Bear CBBC Aug 2016 L	200,000,000	2016/05/19	2016/08/30	50.00
67056	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 N	200,000,000	2016/05/19	2017/07/28	50.20
67097	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 G	200,000,000	2016/05/19	2016/09/29	50.80
67045	HK Bank – CNOOC R Bear CBBC Apr 2017 B	40,000,000	2016/05/19	2017/04/28	10.00
67038	HK Bank – HSI R Bear CBBC Jan 2017 A	150,000,000	2016/05/19	2017/01/26	37.50
67048	HK Bank – Ping An R Bear CBBC Feb 2017 A	40,000,000	2016/05/19	2017/02/20	10.00
67040	HK Bank – Tencent R Bull CBBC Jan 2017 D	50,000,000	2016/05/19	2017/01/23	12.50
67086	J P Morgan SP BV – HKEX R Bear CBBC Jan 2017 C	60,000,000	2016/05/19	2017/01/20	15.00
67085	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/19	2017/06/29	50.00
67092	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 E	150,000,000	2016/05/19	2017/12/28	37.50
67087	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/19	2016/08/30	50.00
67089	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 F	150,000,000	2016/05/19	2016/10/28	37.50
67060	Macquarie Bank Ltd. – HSI R Bear CBBC Jan 2017 A	40,000,000	2016/05/19	2017/01/26	10.00
67062	Macquarie Bank Ltd. – HSI R Bear CBBC Jan 2017 B	40,000,000	2016/05/19	2017/01/26	10.20
67049	SG Issuer – HSI R Bull CBBC Jun 2017 S	200,000,000	2016/05/19	2017/06/29	50.00
67082	SG Issuer – HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/19	2017/07/28	50.00
67083	SG Issuer – HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/19	2017/07/28	50.00
67084	SG Issuer – HSI R Bear CBBC Aug 2016 Z	200,000,000	2016/05/19	2016/08/30	50.00
67050	SG Issuer – HSI R Bear CBBC Sep 2016 R	200,000,000	2016/05/19	2016/09/29	50.00
67072	UBS AG – HSCEI R Bull CBBC Sep 2017 B	100,000,000	2016/05/19	2017/09/28	25.00
67070	UBS AG – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/05/19	2017/06/29	50.00
67073	UBS AG – HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/05/19	2016/09/29	50.00
67099	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 Y	250,000,000	2016/05/20	2017/05/29	62.50
67100	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 X	250,000,000	2016/05/20	2017/06/29	62.50
67120	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 Y	250,000,000	2016/05/20	2017/06/29	62.50
67121	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 X	250,000,000	2016/05/20	2017/02/27	62.50
67123	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 V	250,000,000	2016/05/20	2017/03/30	62.50
67130	Credit Suisse AG – HSI R Bull CBBC Jun 2017 N	200,000,000	2016/05/20	2017/06/29	50.00
67134	Credit Suisse AG – HSI R Bull CBBC Jun 2017 O	200,000,000	2016/05/20	2017/06/29	50.00
67136	Credit Suisse AG – HSI R Bear CBBC Aug 2016 M	200,000,000	2016/05/20	2016/08/30	50.00
67139	Credit Suisse AG – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/20	2016/09/29	50.00
67103	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/20	2017/06/29	50.40

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67104	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/20	2017/06/29	50.60
67118	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 H	200,000,000	2016/05/20	2016/09/29	51.60
67161	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 I	200,000,000	2016/05/20	2016/09/29	51.20
67150	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/20	2017/07/28	50.00
67153	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 F	150,000,000	2016/05/20	2017/12/28	37.50
67151	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/20	2016/08/30	50.00
67152	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/20	2016/08/30	50.00
67098	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 C	40,000,000	2016/05/20	2017/02/27	10.00
67129	Macquarie Bank Ltd. – HSI R Bear CBBC Jan 2017 C	40,000,000	2016/05/20	2017/01/26	10.00
67101	SG Issuer – HSI R Bull CBBC Jun 2017 T	200,000,000	2016/05/20	2017/06/29	50.00
67102	SG Issuer – HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/20	2017/07/28	50.00
67145	SG Issuer – HSI R Bull CBBC Jul 2017 V	200,000,000	2016/05/20	2017/07/28	50.00
67146	SG Issuer – HSI R Bear CBBC Aug 2016 A	200,000,000	2016/05/20	2016/08/30	50.00
67148	SG Issuer – HSI R Bear CBBC Aug 2016 E	200,000,000	2016/05/20	2016/08/30	50.00
67147	SG Issuer – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/05/20	2016/09/29	50.00
67109	SG Issuer – Ping An R Bull CBBC Sep 2017 A	100,000,000	2016/05/20	2017/09/28	25.00
67110	SG Issuer – Ping An R Bull CBBC Sep 2017 B	100,000,000	2016/05/20	2017/09/28	25.00
67106	SG Issuer – Ping An R Bull CBBC Nov 2017 A	100,000,000	2016/05/20	2017/11/29	25.00
67105	SG Issuer – Ping An R Bull CBBC Dec 2017 A	100,000,000	2016/05/20	2017/12/28	25.00
67113	SG Issuer – Ping An R Bear CBBC Dec 2016 A	100,000,000	2016/05/20	2016/12/29	25.00
67114	SG Issuer – Ping An R Bear CBBC Feb 2017 A	100,000,000	2016/05/20	2017/02/27	25.00
67115	SG Issuer – Ping An R Bear CBBC Apr 2017 A	100,000,000	2016/05/20	2017/04/27	25.00
67168	UBS AG – HSI R Bull CBBC Aug 2017 Q	200,000,000	2016/05/20	2017/08/30	50.00
67171	UBS AG – HSI R Bull CBBC Sep 2017 V	200,000,000	2016/05/20	2017/09/28	50.00
67175	UBS AG – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/05/20	2016/09/29	50.00
67172	UBS AG – HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/05/20	2016/09/29	50.00
67174	UBS AG – HSI R Bear CBBC Oct 2016 F	200,000,000	2016/05/20	2016/10/28	50.00
67226	Credit Suisse AG – HSI R Bull CBBC Apr 2017 S	200,000,000	2016/05/23	2017/04/27	50.00
67227	Credit Suisse AG – HSI R Bull CBBC Apr 2017 T	200,000,000	2016/05/23	2017/04/27	50.00
67213	Credit Suisse AG – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/23	2016/09/29	50.00
67206	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 O	200,000,000	2016/05/23	2017/07/28	50.80
67246	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/05/23	2016/09/29	50.60
67181	HK Bank – China Res Land R Bull CBBC Jul 2017 A	40,000,000	2016/05/23	2017/07/17	14.00
67184	HK Bank – Galaxy Ent R Bear CBBC Mar 2017 A	40,000,000	2016/05/23	2017/03/06	10.00
67186	HK Bank – HSCEI R Bear CBBC Mar 2017 B	50,000,000	2016/05/23	2017/03/30	12.50
67177	HK Bank – HSI R Bear CBBC Dec 2016 O	150,000,000	2016/05/23	2016/12/29	37.50
67179	HK Bank – HSI R Bear CBBC Mar 2017 E	200,000,000	2016/05/23	2017/03/30	50.00
67180	HK Bank – Lenovo R Bull CBBC Mar 2017 B	40,000,000	2016/05/23	2017/03/31	10.00
67231	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/23	2017/06/29	50.00
67229	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/05/23	2016/09/29	50.00
67187	SG Issuer – CMob R Bull CBBC Oct 2017 A	100,000,000	2016/05/23	2017/10/30	25.00
67190	SG Issuer – CMob R Bear CBBC Nov 2016 A	100,000,000	2016/05/23	2016/11/29	25.00
67191	SG Issuer – CMob R Bear CBBC Jan 2017 A	100,000,000	2016/05/23	2017/01/26	25.00
67199	SG Issuer – HKEX R Bull CBBC Sep 2017 B	100,000,000	2016/05/23	2017/09/28	25.00
67196	SG Issuer – HKEX R Bull CBBC Dec 2017 A	100,000,000	2016/05/23	2017/12/28	25.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67200	SG Issuer – HKEX R Bear CBBC Nov 2016 B	100,000,000	2016/05/23	2016/11/29	25.00
67192	SG Issuer – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/23	2017/06/29	50.00
67228	SG Issuer – HSI R Bull CBBC Jun 2017 V	200,000,000	2016/05/23	2017/06/29	50.00
67194	SG Issuer – HSI R Bear CBBC Sep 2016 T	200,000,000	2016/05/23	2016/09/29	50.00
67195	SG Issuer – HSI R Bear CBBC Sep 2016 U	200,000,000	2016/05/23	2016/09/29	50.00
67202	SG Issuer – Tencent R Bull CBBC Jun 2017 D	100,000,000	2016/05/23	2017/06/29	25.00
67221	UBS AG – HSI R Bull CBBC Aug 2017 R	200,000,000	2016/05/23	2017/08/30	50.00
67220	UBS AG – HSI R Bull CBBC Sep 2017 W	200,000,000	2016/05/23	2017/09/28	50.00
67207	UBS AG – HSI R Bear CBBC Oct 2016 G	200,000,000	2016/05/23	2016/10/28	50.00
67208	UBS AG – HSI R Bear CBBC Nov 2016 A	200,000,000	2016/05/23	2016/11/29	50.00
60996 #	J P Morgan SP BV – HSI R Bull CBBC Aug 2015 P	150,000,000	2016/05/23	2015/08/28	14.70
67259	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 Z	250,000,000	2016/05/24	2017/05/29	62.50
67258	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 Z	250,000,000	2016/05/24	2017/06/29	62.50
67255	Credit Suisse AG – HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/24	2017/07/28	50.00
67271	Credit Suisse AG – HSI R Bull CBBC Jul 2017 W	200,000,000	2016/05/24	2017/07/28	50.00
67251	Credit Suisse AG – HSI R Bear CBBC Oct 2016 F	200,000,000	2016/05/24	2016/10/28	50.00
67289	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 P	200,000,000	2016/05/24	2017/07/28	50.40
67292	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 Q	200,000,000	2016/05/24	2017/07/28	51.20
67266	J P Morgan SP BV – HKEX R Bull CBBC May 2017 C	40,000,000	2016/05/24	2017/05/19	10.00
67297	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 P	200,000,000	2016/05/24	2017/08/30	50.00
67294	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 J	200,000,000	2016/05/24	2017/09/28	50.00
67296	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 K	200,000,000	2016/05/24	2017/09/28	50.00
67262	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/05/24	2016/09/29	50.00
67299	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 G	150,000,000	2016/05/24	2016/10/28	37.50
67267	J P Morgan SP BV – Tencent R Bull CBBC Mar 2017 A	60,000,000	2016/05/24	2017/03/17	15.00
67261	SG Issuer – HSI R Bull CBBC Jul 2017 W	200,000,000	2016/05/24	2017/07/28	50.00
67275	UBS AG – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/05/24	2017/06/29	50.00
67282	UBS AG – HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/24	2017/07/28	50.00
67288	UBS AG – HSI R Bull CBBC Jul 2017 V	200,000,000	2016/05/24	2017/07/28	50.00
67276	UBS AG – HSI R Bull CBBC Aug 2017 S	200,000,000	2016/05/24	2017/08/30	50.00
67281	UBS AG – HSI R Bull CBBC Sep 2017 X	200,000,000	2016/05/24	2017/09/28	50.00
67274	UBS AG – HSI R Bear CBBC Aug 2016 P	200,000,000	2016/05/24	2016/08/30	50.00
67273	UBS AG – HSI R Bear CBBC Oct 2016 H	200,000,000	2016/05/24	2016/10/28	50.00
67327	Credit Suisse AG – HSI R Bull CBBC Jun 2017 P	200,000,000	2016/05/25	2017/06/29	50.00
67323	Credit Suisse AG – HSI R Bear CBBC Oct 2016 G	200,000,000	2016/05/25	2016/10/28	50.00
67308	Bank of East Asia – China Mobile R Bear CBBC Apr 2017 A	40,000,000	2016/05/25	2017/04/03	10.00
67312	Bank of East Asia – A50 China R Bull CBBC Mar 2017 A	40,000,000	2016/05/25	2017/03/29	10.00
67311	Bank of East Asia – HKEX R Bear CBBC Mar 2017 A	40,000,000	2016/05/25	2017/03/30	10.00
67309	Bank of East Asia – Lenovo R Bull CBBC Dec 2017 B	60,000,000	2016/05/25	2017/12/28	15.00
67304	Bank of East Asia – PetCh R Bull CBBC Dec 2017 A	40,000,000	2016/05/25	2017/12/08	10.00
67316	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 A	200,000,000	2016/05/25	2016/10/28	50.20
67359	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 B	200,000,000	2016/05/25	2016/10/28	50.80
67302	HK Bank – HSI R Bull CBBC Feb 2017 G	150,000,000	2016/05/25	2017/02/27	37.50
67301	HK Bank – Tencent R Bull CBBC Jul 2017 A	50,000,000	2016/05/25	2017/07/10	12.50
67357	J P Morgan SP BV – China Life R Bear CBBC Feb 2017 A	50,000,000	2016/05/25	2017/02/17	12.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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67343	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/25	2017/07/28	50.00
67345	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 E	150,000,000	2016/05/25	2017/10/30	37.50
67347	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 Q	150,000,000	2016/05/25	2016/09/29	37.50
67355	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 H	150,000,000	2016/05/25	2016/10/28	37.50
67358	J P Morgan SP BV – Ping An R Bear CBBC May 2017 A	100,000,000	2016/05/25	2017/05/19	25.00
67314	SG Issuer – HSI R Bull CBBC Jul 2017 X	200,000,000	2016/05/25	2017/07/28	50.00
67331	SG Issuer – HSI R Bear CBBC Aug 2016 F	200,000,000	2016/05/25	2016/08/30	50.00
67336	SG Issuer – HSI R Bear CBBC Aug 2016 G	200,000,000	2016/05/25	2016/08/30	50.00
67321	UBS AG – HSI R Bull CBBC Jun 2017 U	200,000,000	2016/05/25	2017/06/29	50.00
67320	UBS AG – HSI R Bull CBBC Jul 2017 W	200,000,000	2016/05/25	2017/07/28	50.00
67377	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Feb 2017 E	100,000,000	2016/05/26	2017/02/27	25.00
67374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 W	250,000,000	2016/05/26	2017/03/30	62.50
67367	Credit Suisse AG – HSI R Bull CBBC Jul 2017 X	200,000,000	2016/05/26	2017/07/28	50.00
67371	Credit Suisse AG – HSI R Bear CBBC Aug 2016 N	200,000,000	2016/05/26	2016/08/30	50.00
67369	Credit Suisse AG – HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/05/26	2016/09/29	50.00
67363	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 R	200,000,000	2016/05/26	2017/07/28	50.20
67364	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/26	2017/07/28	51.20
67388	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 C	200,000,000	2016/05/26	2016/10/28	50.80
67360	HK Bank – HKE X R Bear CBBC Jan 2017 C	40,000,000	2016/05/26	2017/01/13	10.00
67381	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 F	150,000,000	2016/05/26	2017/10/30	37.50
67386	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/26	2016/08/30	50.00
67385	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 I	150,000,000	2016/05/26	2016/10/28	37.50
67382	J P Morgan SP BV – Tencent R Bear CBBC Feb 2017 D	60,000,000	2016/05/26	2017/02/17	15.00
67362	SG Issuer – HSI R Bull CBBC Jul 2017 Y	200,000,000	2016/05/26	2017/07/28	50.00
67378	UBS AG – HSI R Bear CBBC Oct 2016 I	200,000,000	2016/05/26	2016/10/28	50.00
67421	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 C	250,000,000	2016/05/27	2017/05/29	62.50
67423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 D	250,000,000	2016/05/27	2017/05/29	62.50
67422	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 C	250,000,000	2016/05/27	2017/06/29	62.50
67391	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 Y	250,000,000	2016/05/27	2017/02/27	62.50
67395	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 X	250,000,000	2016/05/27	2017/03/30	62.50
67434	Credit Suisse AG – HSI R Bull CBBC Jun 2017 G	200,000,000	2016/05/27	2017/06/29	50.00
67417	Credit Suisse AG – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/27	2016/08/30	50.00
67435	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/05/27	2017/06/29	50.60
67427	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/05/27	2017/06/29	51.20
67420	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 D	200,000,000	2016/05/27	2016/10/28	50.60
67437	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 E	200,000,000	2016/05/27	2016/10/28	50.20
67439	J P Morgan SP BV – CAM CSI300 R Bull CBBC Mar 2017 A	100,000,000	2016/05/27	2017/03/17	25.00
67442	J P Morgan SP BV – China Mobile R Bear CBBC Apr 2017 A	100,000,000	2016/05/27	2017/04/21	25.00
67443	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 S	200,000,000	2016/05/27	2017/07/28	50.00
67424	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 J	150,000,000	2016/05/27	2016/10/28	37.50
67402	SG Issuer – AIA R Bull CBBC Jun 2017 A	100,000,000	2016/05/27	2017/06/29	25.00
67401	SG Issuer – AIA R Bull CBBC Sep 2017 A	100,000,000	2016/05/27	2017/09/28	25.00
67398	SG Issuer – AIA R Bull CBBC Nov 2017 A	100,000,000	2016/05/27	2017/11/29	25.00
67408	SG Issuer – China Life R Bull CBBC Aug 2017 A	50,000,000	2016/05/27	2017/08/30	12.50
67404	SG Issuer – China Life R Bull CBBC Oct 2017 A	50,000,000	2016/05/27	2017/10/30	12.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67403	SG Issuer – China Life R Bull CBBC Dec 2017 A	50,000,000	2016/05/27	2017/12/28	12.50
67429	SG Issuer – HSI R Bull CBBC Jul 2017 C	200,000,000	2016/05/27	2017/07/28	50.00
67428	SG Issuer – HSI R Bull CBBC Jul 2017 Z	200,000,000	2016/05/27	2017/07/28	50.00
67396	SG Issuer – HSI R Bear CBBC Aug 2016 H	200,000,000	2016/05/27	2016/08/30	50.00
67397	SG Issuer – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/05/27	2016/09/29	50.00
67409	SG Issuer – Lenovo R Bull CBBC Dec 2017 A	40,000,000	2016/05/27	2017/12/28	10.00
67411	SG Issuer – PetroChina R Bull CBBC Jul 2017 A	40,000,000	2016/05/27	2017/07/28	10.00
67410	SG Issuer – PetroChina R Bull CBBC Oct 2017 A	40,000,000	2016/05/27	2017/10/30	10.00
67414	SG Issuer – Sands R Bull CBBC Jul 2017 A	100,000,000	2016/05/27	2017/07/28	25.00
67432	UBS AG – HSI R Bull CBBC Aug 2017 T	200,000,000	2016/05/27	2017/08/30	50.00
67433	UBS AG – HSI R Bull CBBC Nov 2017 A	200,000,000	2016/05/27	2017/11/29	50.00
67431	UBS AG – HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/05/27	2016/09/29	50.00
67430	UBS AG – HSI R Bear CBBC Oct 2016 J	200,000,000	2016/05/27	2016/10/28	50.00
67450	Credit Suisse AG – HSI R Bull CBBC Jul 2017 Y	200,000,000	2016/05/30	2017/07/28	50.00
67451	Credit Suisse AG – HSI R Bear CBBC Aug 2016 S	200,000,000	2016/05/30	2016/08/30	50.00
67449	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 T	200,000,000	2016/05/30	2017/07/28	50.80
67463	J P Morgan SP BV – CKH R Bull CBBC Feb 2017 A	40,000,000	2016/05/30	2017/02/17	10.00
67460	J P Morgan SP BV – Galaxy Ent R Bear CBBC Mar 2017 A	100,000,000	2016/05/30	2017/03/17	25.00
67459	J P Morgan SP BV – HKEX R Bull CBBC Mar 2017 A	50,000,000	2016/05/30	2017/03/17	12.50
67453	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 Q	200,000,000	2016/05/30	2017/08/30	50.00
67454	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 G	150,000,000	2016/05/30	2017/10/30	37.50
67462	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 B	200,000,000	2016/05/30	2016/08/30	50.00
67461	J P Morgan SP BV – Lenovo R Bull CBBC Aug 2017 A	100,000,000	2016/05/30	2017/08/18	25.00
67444	SG Issuer – HSI R Bull CBBC Jun 2017 W	200,000,000	2016/05/30	2017/06/29	50.00
67446	SG Issuer – HSI R Bear CBBC Aug 2016 I	200,000,000	2016/05/30	2016/08/30	50.00
67455	UBS AG – HSI R Bull CBBC Nov 2017 B	200,000,000	2016/05/30	2017/11/29	50.00
67456	UBS AG – HSI R Bear CBBC Oct 2016 K	200,000,000	2016/05/30	2016/10/28	50.00
67466	Credit Suisse AG – HSI R Bull CBBC Apr 2017 U	200,000,000	2016/05/31	2017/04/27	50.00
67468	Credit Suisse AG – HSI R Bear CBBC Aug 2016 T	200,000,000	2016/05/31	2016/08/30	50.00
67483	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 U	200,000,000	2016/05/31	2017/07/28	50.80
67478	J P Morgan SP BV – HSI R Bear CBBC Aug 2016 O	200,000,000	2016/05/31	2016/08/30	50.00
67482	J P Morgan SP BV – Ping An R Bull CBBC Aug 2017 A	100,000,000	2016/05/31	2017/08/18	25.00
67479	J P Morgan SP BV – Tencent R Bull CBBC Jul 2017 A	60,000,000	2016/05/31	2017/07/21	15.00
67470	SG Issuer – HSI R Bull CBBC Jun 2017 X	200,000,000	2016/05/31	2017/06/29	50.00
67472	UBS AG – HSI R Bull CBBC Sep 2017 Y	200,000,000	2016/05/31	2017/09/28	50.00
67474	UBS AG – HSI R Bear CBBC Jan 2017 A	200,000,000	2016/05/31	2017/01/26	50.00
67536	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 E	250,000,000	2016/06/01	2017/05/29	62.50
67541	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 F	250,000,000	2016/06/01	2017/05/29	62.50
67537	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 B	250,000,000	2016/06/01	2017/06/29	62.50
67534	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 D	250,000,000	2016/06/01	2017/06/29	62.50
67486	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 Z	250,000,000	2016/06/01	2017/02/27	62.50
67484	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 Y	250,000,000	2016/06/01	2017/03/30	62.50
67565	Credit Suisse AG – HSI R Bull CBBC Jul 2017 A	200,000,000	2016/06/01	2017/07/28	50.00
67567	Credit Suisse AG – HSI R Bull CBBC Jul 2017 B	200,000,000	2016/06/01	2017/07/28	50.00
67563	Credit Suisse AG – HSI R Bull CBBC Jul 2017 Z	200,000,000	2016/06/01	2017/07/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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67556	Credit Suisse AG – HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/01	2016/09/29	50.00
67558	Credit Suisse AG – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/01	2016/09/29	50.00
67560	Credit Suisse AG – HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/01	2016/09/29	50.00
67612	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 V	200,000,000	2016/06/01	2017/07/28	51.00
67613	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 W	200,000,000	2016/06/01	2017/07/28	50.60
67490	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 F	200,000,000	2016/06/01	2016/10/28	50.40
67491	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 G	200,000,000	2016/06/01	2016/10/28	50.40
67493	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 H	200,000,000	2016/06/01	2016/10/28	50.60
67528	HK Bank – HSCEI R Bull CBBC Jul 2017 B	60,000,000	2016/06/01	2017/07/28	15.00
67526	HK Bank – HSI R Bull CBBC Mar 2017 C	150,000,000	2016/06/01	2017/03/30	37.50
67527	HK Bank – HSI R Bear CBBC Jan 2017 B	150,000,000	2016/06/01	2017/01/26	37.50
67605	J P Morgan SP BV – AIA R Bull CBBC Sep 2017 A	150,000,000	2016/06/01	2017/09/15	37.50
67604	J P Morgan SP BV – Galaxy Ent R Bull CBBC May 2017 C	200,000,000	2016/06/01	2017/05/19	50.00
67606	J P Morgan SP BV – HSBC R Bull CBBC Jun 2017 C	100,000,000	2016/06/01	2017/06/16	25.00
67591	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 R	200,000,000	2016/06/01	2017/08/30	50.00
67592	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 L	200,000,000	2016/06/01	2017/09/28	50.00
67595	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/01	2017/11/29	50.00
67542	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 R	150,000,000	2016/06/01	2016/09/29	37.50
67543	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/01	2016/09/29	50.00
67544	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/01	2016/09/29	50.00
67602	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 K	150,000,000	2016/06/01	2016/10/28	37.50
67530	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 D	40,000,000	2016/06/01	2017/02/27	10.00
67533	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 E	40,000,000	2016/06/01	2017/02/27	10.16
67499	SG Issuer – CCB R Bull CBBC Jul 2017 A	40,000,000	2016/06/01	2017/07/28	10.00
67496	SG Issuer – CCB R Bull CBBC Sep 2017 A	40,000,000	2016/06/01	2017/09/28	10.00
67501	SG Issuer – CCB R Bear CBBC Dec 2016 A	40,000,000	2016/06/01	2016/12/29	10.00
67504	SG Issuer – CCB R Bear CBBC Feb 2017 A	40,000,000	2016/06/01	2017/02/27	10.00
67506	SG Issuer – HKEX R Bear CBBC Nov 2016 C	100,000,000	2016/06/01	2016/11/29	25.00
67545	SG Issuer – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/06/01	2017/06/29	50.00
67546	SG Issuer – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/06/01	2017/06/29	50.00
67548	SG Issuer – HSI R Bull CBBC Jul 2017 F	200,000,000	2016/06/01	2017/07/28	50.00
67513	SG Issuer – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/01	2016/09/29	50.00
67519	SG Issuer – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/01	2016/09/29	50.00
67515	SG Issuer – HSI R Bear CBBC Oct 2016 A	200,000,000	2016/06/01	2016/10/28	50.00
67520	SG Issuer – HSI R Bear CBBC Oct 2016 B	200,000,000	2016/06/01	2016/10/28	50.00
67509	SG Issuer – Ping An R Bear CBBC Dec 2016 B	100,000,000	2016/06/01	2016/12/29	25.00
67512	SG Issuer – Tencent R Bull CBBC Jun 2017 E	100,000,000	2016/06/01	2017/06/29	25.00
67587	UBS AG – HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/06/01	2017/09/28	50.00
67583	UBS AG – HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/01	2017/10/30	50.00
67588	UBS AG – HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/01	2017/10/30	50.00
67590	UBS AG – HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/01	2017/11/29	50.00
67576	UBS AG – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/01	2016/09/29	50.00
67570	UBS AG – HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/01	2016/09/29	50.00
67578	UBS AG – HSI R Bear CBBC Jan 2017 B	200,000,000	2016/06/01	2017/01/26	50.00
67582	UBS AG – HSI R Bear CBBC Jan 2017 C	200,000,000	2016/06/01	2017/01/26	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67623	Credit Suisse AG – HSI R Bull CBBC Jun 2017 Q	200,000,000	2016/06/02	2017/06/29	50.00
67630	Credit Suisse AG – HSI R Bull CBBC Jun 2017 R	200,000,000	2016/06/02	2017/06/29	50.00
67638	Credit Suisse AG – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/06/02	2017/06/29	50.00
67624	Credit Suisse AG – HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/02	2016/09/29	50.00
67625	Credit Suisse AG – HSI R Bear CBBC Oct 2016 H	200,000,000	2016/06/02	2016/10/28	50.00
67657	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 X	200,000,000	2016/06/02	2017/07/28	50.20
67656	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/02	2017/09/28	50.20
67655	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 I	200,000,000	2016/06/02	2016/10/28	50.40
67645	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 S	200,000,000	2016/06/02	2017/08/30	50.00
67641	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 M	150,000,000	2016/06/02	2017/09/28	37.50
67640	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/02	2017/10/30	50.00
67643	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 U	150,000,000	2016/06/02	2016/09/29	37.50
67644	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 V	150,000,000	2016/06/02	2016/09/29	37.50
67619	SG Issuer – HSI R Bull CBBC Jun 2017 D	200,000,000	2016/06/02	2017/06/29	50.00
67615	SG Issuer – HSI R Bull CBBC Jun 2017 E	200,000,000	2016/06/02	2017/06/29	50.00
67617	SG Issuer – HSI R Bull CBBC Jul 2017 B	200,000,000	2016/06/02	2017/07/28	50.00
67620	SG Issuer – HSI R Bear CBBC Oct 2016 C	200,000,000	2016/06/02	2016/10/28	50.00
67621	SG Issuer – HSI R Bear CBBC Oct 2016 D	200,000,000	2016/06/02	2016/10/28	50.00
67649	UBS AG – HSI R Bull CBBC Jun 2017 S	200,000,000	2016/06/02	2017/06/29	50.00
67653	UBS AG – HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/02	2017/10/30	50.00
67651	UBS AG – HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/02	2017/11/29	50.00
67648	UBS AG – HSI R Bear CBBC Feb 2017 A	200,000,000	2016/06/02	2017/02/27	50.00
67763	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 G	250,000,000	2016/06/03	2017/05/29	62.50
67757	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 E	250,000,000	2016/06/03	2017/06/29	62.50
67717	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 A	250,000,000	2016/06/03	2017/02/27	62.50
67721	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/06/03	2017/03/30	62.50
67731	Credit Suisse AG – HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/03	2017/10/30	50.00
67732	Credit Suisse AG – HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/03	2017/10/30	50.00
67735	Credit Suisse AG – HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/03	2017/10/30	50.00
67737	Credit Suisse AG – HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/03	2017/10/30	50.00
67723	Credit Suisse AG – HSI R Bear CBBC Oct 2016 I	200,000,000	2016/06/03	2016/10/28	50.00
67729	Credit Suisse AG – HSI R Bear CBBC Oct 2016 J	200,000,000	2016/06/03	2016/10/28	50.00
67764	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 Y	200,000,000	2016/06/03	2017/07/28	50.40
67766	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2017 Z	200,000,000	2016/06/03	2017/07/28	50.80
67709	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 J	200,000,000	2016/06/03	2016/10/28	50.60
67661	HK Bank – CKP R Bull CBBC Jul 2017 A	40,000,000	2016/06/03	2017/07/03	10.00
67659	HK Bank – HKEX R Bull CBBC Jul 2017 A	50,000,000	2016/06/03	2017/07/17	12.50
67658	HK Bank – HSI R Bull CBBC Jun 2017 A	150,000,000	2016/06/03	2017/06/29	37.50
67660	HK Bank – Tencent R Bull CBBC Jul 2017 B	50,000,000	2016/06/03	2017/07/31	12.50
67798	J P Morgan SP BV – China Life R Bull CBBC Mar 2017 B	40,000,000	2016/06/03	2017/03/17	10.00
67789	J P Morgan SP BV – HKEX R Bull CBBC Jun 2017 B	40,000,000	2016/06/03	2017/06/16	10.00
67770	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 T	200,000,000	2016/06/03	2017/08/30	50.00
67773	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 N	150,000,000	2016/06/03	2017/09/28	37.50
67775	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 I	150,000,000	2016/06/03	2017/10/30	37.50
67713	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/03	2016/09/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67781	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/03	2016/09/29	50.00
67786	J P Morgan SP BV – HSI R Bear CBBC Jan 2017 B	200,000,000	2016/06/03	2017/01/26	50.00
67796	J P Morgan SP BV – Ping An R Bull CBBC Apr 2017 C	100,000,000	2016/06/03	2017/04/21	25.00
67791	J P Morgan SP BV – Tencent R Bull CBBC Jun 2017 B	72,000,000	2016/06/03	2017/06/16	18.00
67799	J P Morgan SP BV – Tencent R Bear CBBC Apr 2017 A	60,000,000	2016/06/03	2017/04/21	18.00
67722	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2017 A	40,000,000	2016/06/03	2017/03/30	10.00
67666	SG Issuer – CAM CSI300 R Bull CBBC Aug 2017 A	100,000,000	2016/06/03	2017/08/30	25.00
67664	SG Issuer – CAM CSI300 R Bull CBBC Oct 2017 A	100,000,000	2016/06/03	2017/10/30	25.00
67663	SG Issuer – CAM CSI300 R Bull CBBC Dec 2017 A	100,000,000	2016/06/03	2017/12/28	25.00
67669	SG Issuer – CAM CSI300 R Bear CBBC May 2017 A	100,000,000	2016/06/03	2017/05/29	25.00
67701	SG Issuer – HS H-SH ETF R Bull CBBC Jul 2017 A	100,000,000	2016/06/03	2017/07/28	25.00
67693	SG Issuer – HS H-SH ETF R Bull CBBC Sep 2017 A	100,000,000	2016/06/03	2017/09/28	25.00
67687	SG Issuer – HS H-SH ETF R Bull CBBC Nov 2017 A	100,000,000	2016/06/03	2017/11/29	25.00
67702	SG Issuer – HS H-SH ETF R Bear CBBC Nov 2016 A	100,000,000	2016/06/03	2016/11/29	25.00
67677	SG Issuer – HSI R Bull CBBC Jun 2017 F	200,000,000	2016/06/03	2017/06/29	50.00
67744	SG Issuer – HSI R Bull CBBC Jun 2017 G	200,000,000	2016/06/03	2017/06/29	50.00
67740	SG Issuer – HSI R Bull CBBC Jul 2017 G	200,000,000	2016/06/03	2017/07/28	50.00
67683	SG Issuer – HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/03	2016/09/29	50.00
67714	SG Issuer – Tencent R Bull CBBC Jun 2017 F	100,000,000	2016/06/03	2017/06/29	25.00
67715	SG Issuer – Tencent R Bear CBBC Dec 2016 A	100,000,000	2016/06/03	2016/12/29	25.00
67716	SG Issuer – Tencent R Bear CBBC Feb 2017 A	100,000,000	2016/06/03	2017/02/27	25.00
67755	UBS AG – HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/03	2017/09/28	50.00
67747	UBS AG – HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/03	2017/11/29	50.00
67746	UBS AG – HSI R Bull CBBC Dec 2017 A	200,000,000	2016/06/03	2017/12/28	50.00
67750	UBS AG – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/03	2016/09/29	50.00
67751	UBS AG – HSI R Bear CBBC Jan 2017 D	200,000,000	2016/06/03	2017/01/26	50.00
67824	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 B	250,000,000	2016/06/06	2017/05/29	62.50
67823	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 F	250,000,000	2016/06/06	2017/06/29	62.50
67881	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 A	250,000,000	2016/06/06	2017/03/30	62.50
67848	Credit Suisse AG – HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/06	2017/09/28	50.00
67850	Credit Suisse AG – HSI R Bull CBBC Sep 2017 B	200,000,000	2016/06/06	2017/09/28	50.00
67842	Credit Suisse AG – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/06	2016/09/29	50.00
67844	Credit Suisse AG – HSI R Bear CBBC Oct 2016 K	200,000,000	2016/06/06	2016/10/28	50.00
67846	Credit Suisse AG – HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/06	2016/10/28	50.00
67825	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/06	2017/10/30	50.40
67875	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/06	2017/10/30	50.60
67877	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 K	200,000,000	2016/06/06	2016/10/28	50.80
67802	HK Bank – HKEX R Bull CBBC Sep 2017 A	50,000,000	2016/06/06	2017/09/04	12.50
67822	HK Bank – HSCEI R Bull CBBC Aug 2017 A	60,000,000	2016/06/06	2017/08/30	15.00
67821	HK Bank – HSI R Bull CBBC Jul 2017 A	150,000,000	2016/06/06	2017/07/28	37.50
67811	HK Bank – HSI R Bull CBBC Aug 2017 A	150,000,000	2016/06/06	2017/08/30	37.50
67801	HK Bank – Tencent R Bull CBBC Mar 2017 A	50,000,000	2016/06/06	2017/03/02	12.50
67800	HK Bank – Tencent R Bull CBBC Jun 2017 C	50,000,000	2016/06/06	2017/06/26	12.50
67864	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 U	200,000,000	2016/06/06	2017/08/30	50.00
67865	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 O	200,000,000	2016/06/06	2017/09/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67868	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 J	150,000,000	2016/06/06	2017/10/30	37.50
67862	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/06	2016/09/29	50.00
67869	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/06	2016/09/29	50.00
67874	J P Morgan SP BV – Tencent R Bull CBBC Jul 2017 B	60,000,000	2016/06/06	2017/07/21	15.00
67871	J P Morgan SP BV – Tencent R Bear CBBC Mar 2017 B	60,000,000	2016/06/06	2017/03/17	15.00
67856	SG Issuer – HSCEI R Bull CBBC Jul 2017 A	200,000,000	2016/06/06	2017/07/28	50.00
67857	SG Issuer – HSCEI R Bull CBBC Jul 2017 B	200,000,000	2016/06/06	2017/07/28	50.00
67858	SG Issuer – HSCEI R Bull CBBC Jul 2017 C	200,000,000	2016/06/06	2017/07/28	50.00
67861	SG Issuer – HSCEI R Bull CBBC Jul 2017 D	200,000,000	2016/06/06	2017/07/28	50.00
67817	SG Issuer – HSI R Bull CBBC Jul 2017 A	200,000,000	2016/06/06	2017/07/28	50.00
67836	SG Issuer – HSI R Bull CBBC Jul 2017 E	200,000,000	2016/06/06	2017/07/28	50.00
67840	SG Issuer – HSI R Bear CBBC Sep 2016 B	200,000,000	2016/06/06	2016/09/29	50.00
67818	SG Issuer – HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/06	2016/09/29	50.00
67839	SG Issuer – HSI R Bear CBBC Oct 2016 E	200,000,000	2016/06/06	2016/10/28	50.00
67832	UBS AG – HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/06	2017/10/30	50.00
67835	UBS AG – HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/06	2017/11/29	50.00
67826	UBS AG – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/06	2016/09/29	50.00
67829	UBS AG – HSI R Bear CBBC Jan 2017 E	200,000,000	2016/06/06	2017/01/26	50.00
67891	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 G	250,000,000	2016/06/07	2017/06/29	62.50
67892	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 B	250,000,000	2016/06/07	2017/02/27	62.50
67893	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 C	250,000,000	2016/06/07	2017/02/27	62.50
67897	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 B	250,000,000	2016/06/07	2017/03/30	62.50
67945	Credit Suisse AG – HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/07	2017/11/29	50.00
67946	Credit Suisse AG – HSI R Bull CBBC Nov 2017 B	200,000,000	2016/06/07	2017/11/29	50.00
67939	Credit Suisse AG – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/07	2016/09/29	50.00
67941	Credit Suisse AG – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/07	2016/09/29	50.00
67934	Bank of East Asia – CAM CSI300 R Bull CBBC May 2017 A	60,000,000	2016/06/07	2017/05/26	15.00
67933	Bank of East Asia – CSOP A50 ETF R Bull CBBC Apr 2017 A	40,000,000	2016/06/07	2017/04/07	10.00
67935	Bank of East Asia – HKEX R Bull CBBC May 2017 B	40,000,000	2016/06/07	2017/05/25	10.00
67930	Bank of East Asia – Tencent R Bull CBBC May 2017 A	40,000,000	2016/06/07	2017/05/29	10.00
67932	Bank of East Asia – Tencent R Bear CBBC Apr 2017 A	40,000,000	2016/06/07	2017/04/21	10.00
67949	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 B	200,000,000	2016/06/07	2017/09/28	51.00
67950	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 C	200,000,000	2016/06/07	2017/09/28	50.80
67890	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/07	2016/10/28	50.20
67947	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/07	2016/10/28	50.60
67901	HK Bank – HKEX R Bull CBBC Jun 2017 C	50,000,000	2016/06/07	2017/06/30	12.50
67902	HK Bank – HSI R Bull CBBC Jun 2017 B	150,000,000	2016/06/07	2017/06/29	37.50
67885	HK Bank – Ping An R Bull CBBC Jan 2017 C	40,000,000	2016/06/07	2017/01/23	10.00
67882	HK Bank – Sands China R Bull CBBC Jul 2017 C	30,000,000	2016/06/07	2017/07/31	10.50
67898	HK Bank – Tencent R Bull CBBC Jan 2017 E	50,000,000	2016/06/07	2017/01/23	12.50
68052	J P Morgan SP BV – CAM CSI300 R Bull CBBC Aug 2017 A	100,000,000	2016/06/07	2017/08/18	25.00
68045	J P Morgan SP BV – HKEX R Bull CBBC Sep 2017 B	40,000,000	2016/06/07	2017/09/15	10.00
68029	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2017 B	100,000,000	2016/06/07	2017/09/28	25.00
68021	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 P	200,000,000	2016/06/07	2017/09/28	50.00
68025	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/07	2017/10/30	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68027	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/07	2017/11/29	50.00
67984	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 B	150,000,000	2016/06/07	2016/09/29	37.50
67994	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 C	200,000,000	2016/06/07	2016/09/29	50.00
68010	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 D	150,000,000	2016/06/07	2016/09/29	37.50
68019	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 O	150,000,000	2016/06/07	2016/09/29	37.50
68006	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/07	2016/10/28	50.00
68046	J P Morgan SP BV – Ping An R Bull CBBC Aug 2017 B	200,000,000	2016/06/07	2017/08/18	50.00
68038	J P Morgan SP BV – Tencent R Bull CBBC Mar 2017 B	60,000,000	2016/06/07	2017/03/17	15.00
68032	J P Morgan SP BV – Tencent R Bear CBBC Feb 2017 E	40,000,000	2016/06/07	2017/02/17	10.00
67914	SG Issuer – HSI R Bull CBBC Jun 2017 B	200,000,000	2016/06/07	2017/06/29	50.00
67923	SG Issuer – HSI R Bull CBBC Jun 2017 C	200,000,000	2016/06/07	2017/06/29	50.00
67913	SG Issuer – HSI R Bull CBBC Jun 2017 H	200,000,000	2016/06/07	2017/06/29	50.00
67886	SG Issuer – HSI R Bear CBBC Sep 2016 C	200,000,000	2016/06/07	2016/09/29	50.00
67887	SG Issuer – HSI R Bear CBBC Oct 2016 F	200,000,000	2016/06/07	2016/10/28	50.00
67929	SG Issuer – HSI R Bear CBBC Oct 2016 G	200,000,000	2016/06/07	2016/10/28	50.00
67967	UBS AG – HSCEI R Bull CBBC Sep 2017 C	100,000,000	2016/06/07	2017/09/28	25.00
67970	UBS AG – HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/07	2017/09/28	50.00
67980	UBS AG – HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/07	2017/09/28	50.00
67977	UBS AG – HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/07	2017/10/30	50.00
67976	UBS AG – HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/07	2017/11/29	50.00
67982	UBS AG – HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/07	2016/09/29	50.00
67959	UBS AG – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/07	2016/09/29	50.00
67983	UBS AG – HSI R Bear CBBC Jan 2017 F	200,000,000	2016/06/07	2017/01/26	50.00
67951	UBS AG – HSI R Bear CBBC Feb 2017 B	200,000,000	2016/06/07	2017/02/27	50.00
67968	UBS AG – HSI R Bear CBBC Feb 2017 C	200,000,000	2016/06/07	2017/02/27	50.00
68139	Credit Suisse AG – HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/08	2017/10/30	50.00
68150	Credit Suisse AG – HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/08	2016/09/29	50.00
68141	Credit Suisse AG – HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/08	2016/10/28	50.00
68110	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/08	2017/09/28	50.20
68061	HK Bank – China Life R Bull CBBC Jul 2017 B	50,000,000	2016/06/08	2017/07/31	12.50
68062	HK Bank – CSOP A50 ETF R Bull CBBC Dec 2016 B	50,000,000	2016/06/08	2016/12/30	12.50
68063	HK Bank – A50 R Bull CBBC Dec 2016 F	50,000,000	2016/06/08	2016/12/30	12.50
68058	HK Bank – HKEX R Bull CBBC Jun 2017 D	50,000,000	2016/06/08	2017/06/05	12.50
68054	HK Bank – Tencent R Bull CBBC Jul 2017 C	50,000,000	2016/06/08	2017/07/31	12.50
68158	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Mar 2017 B	100,000,000	2016/06/08	2017/03/17	25.00
68161	J P Morgan SP BV – A50 China R Bull CBBC Mar 2017 C	100,000,000	2016/06/08	2017/03/17	25.00
68152	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/06/08	2017/09/28	50.00
68154	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 L	150,000,000	2016/06/08	2017/10/30	37.50
68167	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/08	2016/09/29	50.00
68077	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 F	40,000,000	2016/06/08	2017/02/27	10.00
68079	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 G	40,000,000	2016/06/08	2017/02/27	10.00
68081	SG Issuer – HSCEI R Bull CBBC Jul 2017 E	200,000,000	2016/06/08	2017/07/28	50.00
68083	SG Issuer – HSCEI R Bear CBBC Feb 2017 A	200,000,000	2016/06/08	2017/02/27	50.00
68094	SG Issuer – HSCEI R Bear CBBC Feb 2017 B	200,000,000	2016/06/08	2017/02/27	50.00
68095	SG Issuer – HSCEI R Bear CBBC Feb 2017 C	200,000,000	2016/06/08	2017/02/27	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68104	SG Issuer – HSCEI R Bear CBBC Feb 2017 D	200,000,000	2016/06/08	2017/02/27	50.00
68105	SG Issuer – HSCEI R Bear CBBC Feb 2017 E	200,000,000	2016/06/08	2017/02/27	50.00
68076	SG Issuer – HSI R Bull CBBC Jul 2017 D	200,000,000	2016/06/08	2017/07/28	50.00
68073	SG Issuer – HSI R Bull CBBC Jul 2017 H	200,000,000	2016/06/08	2017/07/28	50.00
68109	SG Issuer – HSI R Bear CBBC Sep 2016 A	200,000,000	2016/06/08	2016/09/29	50.00
68128	UBS AG – HSI R Bull CBBC Sep 2017 F	200,000,000	2016/06/08	2017/09/28	50.00
68111	UBS AG – HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/08	2017/10/30	50.00
68114	UBS AG – HSI R Bear CBBC Jan 2017 G	200,000,000	2016/06/08	2017/01/26	50.00
68124	UBS AG – Tencent R Bull CBBC Jun 2017 B	50,000,000	2016/06/08	2017/06/12	12.50
68246	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Jun17 A	50,000,000	2016/06/10	2017/06/30	12.50
68255	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2016 F	50,000,000	2016/06/10	2016/12/30	12.50
68240	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2016 G	80,000,000	2016/06/10	2016/12/30	20.00
68203	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC May 2017 C	100,000,000	2016/06/10	2017/05/29	25.00
68185	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Jun 2017 B	100,000,000	2016/06/10	2017/06/29	25.00
68178	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 C	250,000,000	2016/06/10	2017/03/30	62.50
68251	BNP Paribas Arbit Issu B.V. – Tencent R Bull CBBC Dec 2016 C	50,000,000	2016/06/10	2016/12/30	12.50
68226	Credit Suisse AG – HSI R Bull CBBC Jun 2017 Z	200,000,000	2016/06/10	2017/06/29	50.00
68218	Credit Suisse AG – HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/10	2016/10/28	50.00
68231	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/10	2017/09/28	50.20
68205	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/10	2016/10/28	50.40
68170	HK Bank – China Mobile R Bull CBBC Feb 2017 A	50,000,000	2016/06/10	2017/02/06	12.50
68168	HK Bank – Lenovo R Bull CBBC Dec 2017 B	40,000,000	2016/06/10	2017/12/18	10.00
68263	J P Morgan SP BV – CC Bank R Bull CBBC Jul 2017 A	100,000,000	2016/06/10	2017/07/21	25.00
68260	J P Morgan SP BV – China Life R Bull CBBC Jul 2017 D	60,000,000	2016/06/10	2017/07/21	15.00
68257	J P Morgan SP BV – HKEX R Bull CBBC Oct 2017 B	60,000,000	2016/06/10	2017/10/20	15.00
68268	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 G	150,000,000	2016/06/10	2017/12/28	37.50
68270	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 K	150,000,000	2016/06/10	2016/09/29	37.50
68174	SG Issuer – HSI R Bull CBBC Jun 2017 A	200,000,000	2016/06/10	2017/06/29	50.00
68176	SG Issuer – HSI R Bear CBBC Sep 2016 D	200,000,000	2016/06/10	2016/09/29	50.00
68232	UBS AG – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/10	2016/09/29	50.00
68239	UBS AG – HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/10	2016/09/29	50.00
68288	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 H	250,000,000	2016/06/13	2017/05/29	62.50
68272	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 I	250,000,000	2016/06/13	2017/02/27	62.50
68302	Credit Suisse AG – HSI R Bull CBBC Sep 2017 C	200,000,000	2016/06/13	2017/09/28	50.00
68294	Credit Suisse AG – HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/13	2016/10/28	50.00
68300	Credit Suisse AG – HSI R Bear CBBC Oct 2016 P	200,000,000	2016/06/13	2016/10/28	50.00
68349	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 F	200,000,000	2016/06/13	2017/09/28	50.60
68290	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/13	2016/10/28	50.60
68346	J P Morgan SP BV – CAM CSI300 R Bull CBBC Sep 2017 A	100,000,000	2016/06/13	2017/09/15	25.00
68335	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 M	150,000,000	2016/06/13	2017/10/30	37.50
68345	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 N	200,000,000	2016/06/13	2017/10/30	50.00
68309	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/13	2016/09/29	50.00
68278	SG Issuer – HSI R Bull CBBC Jul 2017 I	200,000,000	2016/06/13	2017/07/28	50.00
68282	SG Issuer – HSI R Bear CBBC Oct 2016 H	200,000,000	2016/06/13	2016/10/28	50.00
68287	SG Issuer – HSI R Bear CBBC Oct 2016 I	200,000,000	2016/06/13	2016/10/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68308	UBS AG – HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/13	2017/10/30	50.00
68306	UBS AG – HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/13	2016/09/29	50.00
68363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 M	250,000,000	2016/06/14	2017/06/29	62.50
68362	Credit Suisse AG – HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/14	2017/11/29	50.00
68361	Credit Suisse AG – HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/14	2016/09/29	50.00
68381	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/14	2017/10/30	50.40
68356	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 P	200,000,000	2016/06/14	2016/10/28	50.60
68400	J P Morgan SP BV – CC Bank R Bear CBBC Apr 2017 A	100,000,000	2016/06/14	2017/04/21	25.00
68391	J P Morgan SP BV – HKEX R Bull CBBC Nov 2017 B	40,000,000	2016/06/14	2017/11/17	10.00
68427	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 R	200,000,000	2016/06/14	2017/09/28	50.00
68389	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/14	2017/11/29	50.00
68413	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 Q	150,000,000	2016/06/14	2016/09/29	37.50
68392	J P Morgan SP BV – Tencent R Bull CBBC Jul 2017 C	50,000,000	2016/06/14	2017/07/21	12.50
68393	J P Morgan SP BV – Tencent R Bear CBBC May 2017 A	50,000,000	2016/06/14	2017/05/19	14.00
68351	SG Issuer – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/14	2016/09/29	50.00
68366	UBS AG – HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/14	2017/10/30	50.00
68368	UBS AG – HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/14	2017/11/29	50.00
68367	UBS AG – HSI R Bear CBBC Jan 2017 H	200,000,000	2016/06/14	2017/01/26	50.00
68535	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Jun 2017 C	100,000,000	2016/06/15	2017/06/29	25.00
68536	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Mar 2017 C	100,000,000	2016/06/15	2017/03/30	25.00
68537	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Mar 2017 D	100,000,000	2016/06/15	2017/03/30	25.00
68560	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 N	250,000,000	2016/06/15	2017/05/29	62.50
68533	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 O	250,000,000	2016/06/15	2017/05/29	62.50
68559	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 N	250,000,000	2016/06/15	2017/06/29	62.50
68430	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 J	250,000,000	2016/06/15	2017/02/27	62.50
68429	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 I	250,000,000	2016/06/15	2017/03/30	62.50
68534	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 J	250,000,000	2016/06/15	2017/03/30	62.50
68564	Credit Suisse AG – HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/15	2017/10/30	50.00
68568	Credit Suisse AG – HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/15	2017/10/30	50.00
68558	Credit Suisse AG – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/15	2016/09/29	50.00
68545	Credit Suisse AG – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/15	2016/09/29	50.00
68555	Credit Suisse AG – HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/15	2016/10/28	50.00
68556	Credit Suisse AG – HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/15	2016/10/28	50.00
68557	Credit Suisse AG – HSI R Bear CBBC Nov 2016 A	200,000,000	2016/06/15	2016/11/29	50.00
68684	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/15	2016/10/28	50.20
68605	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Feb 2017 B	100,000,000	2016/06/15	2017/02/17	25.00
68622	J P Morgan SP BV – HKEX R Bull CBBC Sep 2017 C	50,000,000	2016/06/15	2017/09/15	12.50
68601	J P Morgan SP BV – HKEX R Bear CBBC Apr 2017 B	50,000,000	2016/06/15	2017/04/21	12.50
68629	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 V	200,000,000	2016/06/15	2017/08/30	50.00
68644	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 O	200,000,000	2016/06/15	2017/10/30	50.00
68575	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/15	2016/09/29	50.00
68580	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/15	2016/09/29	50.00
68602	J P Morgan SP BV – Ping An R Bull CBBC Sep 2017 A	100,000,000	2016/06/15	2017/09/15	25.00
68617	J P Morgan SP BV – Tencent R Bull CBBC Jun 2017 C	50,000,000	2016/06/15	2017/06/16	12.50
68584	J P Morgan SP BV – Tencent R Bear CBBC Apr 2017 B	50,000,000	2016/06/15	2017/04/21	14.50

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68433	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2017 H	40,000,000	2016/06/15	2017/02/27	10.00
68516	SG Issuer – CMob R Bull CBBC Oct 2017 B	100,000,000	2016/06/15	2017/10/30	25.00
68517	SG Issuer – CMob R Bear CBBC Jan 2017 B	100,000,000	2016/06/15	2017/01/26	25.00
68513	SG Issuer – China Life R Bull CBBC Aug 2017 B	40,000,000	2016/06/15	2017/08/30	10.00
68515	SG Issuer – China Life R Bear CBBC Apr 2017 A	40,000,000	2016/06/15	2017/04/27	12.80
68518	SG Issuer – HKEX R Bull CBBC Sep 2017 C	100,000,000	2016/06/15	2017/09/28	25.00
68519	SG Issuer – HKEX R Bull CBBC Sep 2017 D	100,000,000	2016/06/15	2017/09/28	25.00
68525	SG Issuer – HKEX R Bull CBBC Sep 2017 E	100,000,000	2016/06/15	2017/09/28	25.00
68530	SG Issuer – HKEX R Bull CBBC Sep 2017 F	100,000,000	2016/06/15	2017/09/28	25.00
68531	SG Issuer – HSBC R Bull CBBC Mar 2018 B	100,000,000	2016/06/15	2018/03/28	25.00
68532	SG Issuer – HSBC R Bear CBBC Feb 2017 A	100,000,000	2016/06/15	2017/02/27	25.00
68435	SG Issuer – HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/15	2017/10/30	50.00
68437	SG Issuer – HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/15	2017/10/30	50.00
68438	SG Issuer – HSI R Bull CBBC Oct 2017 C	200,000,000	2016/06/15	2017/10/30	50.00
68476	SG Issuer – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/15	2016/09/29	50.00
68484	SG Issuer – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/15	2016/09/29	50.00
68512	SG Issuer – HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/15	2016/09/29	50.00
68497	SG Issuer – HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/15	2016/09/29	50.00
68445	SG Issuer – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/15	2016/09/29	50.00
68466	SG Issuer – HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/15	2016/09/29	50.00
68503	SG Issuer – HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/15	2016/09/29	50.00
68448	SG Issuer – HSI R Bear CBBC Oct 2016 J	200,000,000	2016/06/15	2016/10/28	50.00
68452	SG Issuer – HSI R Bear CBBC Oct 2016 K	200,000,000	2016/06/15	2016/10/28	50.00
68474	SG Issuer – HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/15	2016/10/28	50.00
68495	SG Issuer – HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/15	2016/10/28	50.00
68498	SG Issuer – HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/15	2016/10/28	50.00
68508	SG Issuer – HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/15	2016/10/28	50.00
68538	SG Issuer – Ping An R Bull CBBC Sep 2017 C	100,000,000	2016/06/15	2017/09/28	25.00
68539	SG Issuer – Ping An R Bear CBBC Jun 2017 A	100,000,000	2016/06/15	2017/06/29	25.00
68540	SG Issuer – Tencent R Bull CBBC Aug 2017 C	100,000,000	2016/06/15	2017/08/30	25.00
68542	SG Issuer – Tencent R Bull CBBC Aug 2017 D	100,000,000	2016/06/15	2017/08/30	25.00
68543	SG Issuer – Tencent R Bear CBBC Jan 2017 A	100,000,000	2016/06/15	2017/01/26	25.00
68544	SG Issuer – Tencent R Bear CBBC Feb 2017 B	100,000,000	2016/06/15	2017/02/27	25.00
68657	UBS AG – HKEX R Bull CBBC Sep 2017 A	50,000,000	2016/06/15	2017/09/18	12.50
68674	UBS AG – HSI R Bull CBBC Sep 2017 L	200,000,000	2016/06/15	2017/09/28	50.00
68673	UBS AG – HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/15	2017/10/30	50.00
68675	UBS AG – HSI R Bull CBBC Nov 2017 I	200,000,000	2016/06/15	2017/11/29	50.00
68651	UBS AG – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/15	2016/09/29	50.00
68649	UBS AG – HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/15	2016/09/29	50.00
68652	UBS AG – Tencent R Bear CBBC Jan 2017 A	50,000,000	2016/06/15	2017/01/09	12.50
68728	Credit Suisse AG – HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/16	2017/11/29	50.00
68729	Credit Suisse AG – HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/16	2017/11/29	50.00
68730	Credit Suisse AG – HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/16	2016/10/28	50.00
68696	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/16	2017/10/30	50.40
68699	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/16	2017/10/30	50.60

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68712	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/16	2016/10/28	50.40
68689	HK Bank – HKEX R Bull CBBC Sep 2017 B	50,000,000	2016/06/16	2017/09/29	12.50
68691	HK Bank – HSCEI R Bull CBBC Sep 2017 A	60,000,000	2016/06/16	2017/09/28	15.00
68685	HK Bank – HSI R Bull CBBC Aug 2017 B	150,000,000	2016/06/16	2017/08/30	37.50
68693	HK Bank – Tencent R Bull CBBC Jan 2017 F	50,000,000	2016/06/16	2017/01/16	12.50
68694	HK Bank – Tencent R Bear CBBC Sep 2017 A	40,000,000	2016/06/16	2017/09/25	20.00
68744	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 P	200,000,000	2016/06/16	2017/10/30	50.00
68746	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/16	2017/11/29	50.00
68769	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 M	150,000,000	2016/06/16	2016/10/28	37.50
68700	SG Issuer – HSI R Bull CBBC Sep 2017 A	200,000,000	2016/06/16	2017/09/28	50.00
68702	SG Issuer – HSI R Bull CBBC Sep 2017 B	200,000,000	2016/06/16	2017/09/28	50.00
68704	SG Issuer – HSI R Bull CBBC Oct 2017 D	200,000,000	2016/06/16	2017/10/30	50.00
68706	SG Issuer – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/16	2016/09/29	50.00
68705	SG Issuer – HSI R Bear CBBC Oct 2016 P	200,000,000	2016/06/16	2016/10/28	50.00
68711	SG Issuer – HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/16	2016/10/28	50.00
68740	UBS AG – CAM CSI300 R Bull CBBC Aug 2017 A	50,000,000	2016/06/16	2017/08/21	12.50
68733	UBS AG – HSI R Bull CBBC Sep 2017 P	200,000,000	2016/06/16	2017/09/28	50.00
68737	UBS AG – HSI R Bull CBBC Nov 2017 J	200,000,000	2016/06/16	2017/11/29	50.00
68743	UBS AG – HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/16	2016/09/29	50.00
68739	UBS AG – Ping An R Bull CBBC Oct 2017 A	50,000,000	2016/06/16	2017/10/09	12.50
68789	Credit Suisse AG – HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/17	2017/11/29	50.00
68801	Credit Suisse AG – HSI R Bear CBBC Oct 2016 T	200,000,000	2016/06/17	2016/10/28	50.00
68808	Credit Suisse AG – HSI R Bear CBBC Oct 2016 U	200,000,000	2016/06/17	2016/10/28	50.00
68794	Bank of East Asia – China Mobile R Bull CBBC Dec 2017 A	40,000,000	2016/06/17	2017/12/14	10.00
68790	Bank of East Asia – Lenovo R Bull CBBC Sep 2017 A	60,000,000	2016/06/17	2017/09/01	15.00
68795	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 G	200,000,000	2016/06/17	2017/09/28	51.00
68834	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/17	2016/10/28	50.60
68823	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 S	150,000,000	2016/06/17	2017/09/28	37.50
68829	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 T	200,000,000	2016/06/17	2017/09/28	50.00
68770	SG Issuer – HSI R Bull CBBC Sep 2017 C	200,000,000	2016/06/17	2017/09/28	50.00
68772	SG Issuer – HSI R Bull CBBC Oct 2017 E	200,000,000	2016/06/17	2017/10/30	50.00
68786	SG Issuer – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/17	2016/09/29	50.00
68787	SG Issuer – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/17	2016/09/29	50.00
68782	SG Issuer – HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/17	2016/10/28	50.00
68810	UBS AG – HSI R Bull CBBC Sep 2017 K	200,000,000	2016/06/17	2017/09/28	50.00
68809	UBS AG – HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/17	2017/10/30	50.00
68812	UBS AG – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/17	2016/09/29	50.00
68813	UBS AG – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/17	2016/09/29	50.00
68845	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 P	250,000,000	2016/06/20	2017/05/29	62.50
68852	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 K	250,000,000	2016/06/20	2017/06/29	62.50
68848	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 O	250,000,000	2016/06/20	2017/06/29	62.50
68917	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 M	250,000,000	2016/06/20	2017/02/27	62.50
68927	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 N	250,000,000	2016/06/20	2017/02/27	62.50
68930	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 K	250,000,000	2016/06/20	2017/03/30	62.50
68870	Credit Suisse AG – HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/20	2017/09/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68875	Credit Suisse AG – HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/20	2017/09/28	50.00
68880	Credit Suisse AG – HSI R Bull CBBC Sep 2017 F	200,000,000	2016/06/20	2017/09/28	50.00
68996	Credit Suisse AG – HSI R Bull CBBC Sep 2017 G	200,000,000	2016/06/20	2017/09/28	50.00
68882	Credit Suisse AG – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/20	2016/09/29	50.00
68888	Credit Suisse AG – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/20	2016/09/29	50.00
68942	Credit Suisse AG – HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/20	2016/09/29	50.00
68896	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 H	200,000,000	2016/06/20	2017/09/28	50.20
68855	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/20	2017/10/30	50.40
68857	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/20	2017/10/30	50.20
68949	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 T	200,000,000	2016/06/20	2016/10/28	50.40
68951	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 U	200,000,000	2016/06/20	2016/10/28	50.60
68869	HK Bank – HSI R Bear CBBC Dec 2016 P	150,000,000	2016/06/20	2016/12/29	37.50
68868	HK Bank – HSI R Bear CBBC Feb 2017 I	150,000,000	2016/06/20	2017/02/27	37.50
68858	HK Bank – HSI R Bear CBBC Mar 2017 F	150,000,000	2016/06/20	2017/03/30	37.50
68843	Haitong Int'l Sec – HSI R Bull CBBC Oct 2017 A	200,000,000	2016/06/20	2017/10/30	50.60
68844	Haitong Int'l Sec – HSI R Bear CBBC Mar 2017 A	200,000,000	2016/06/20	2017/03/30	50.00
69005	J P Morgan SP BV – CC Bank R Bull CBBC Feb 2017 B	100,000,000	2016/06/20	2017/02/17	25.00
69004	J P Morgan SP BV – Galaxy Ent R Bear CBBC Mar 2017 B	100,000,000	2016/06/20	2017/03/17	25.00
69011	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2017 C	100,000,000	2016/06/20	2017/09/28	25.00
69007	J P Morgan SP BV – HSCEI R Bear CBBC Jan 2017 A	100,000,000	2016/06/20	2017/01/26	25.00
69020	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 U	200,000,000	2016/06/20	2017/09/28	50.00
68910	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/06/20	2017/10/30	50.00
68908	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/20	2017/11/29	50.00
68911	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/20	2017/11/29	50.00
69022	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/20	2016/09/29	50.00
69023	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 N	200,000,000	2016/06/20	2016/10/28	50.00
69028	J P Morgan SP BV – HSI R Bear CBBC Nov 2016 A	150,000,000	2016/06/20	2016/11/29	37.50
68893	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2017 A	40,000,000	2016/06/20	2017/02/27	10.00
68895	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2017 B	40,000,000	2016/06/20	2017/02/27	10.00
68837	SG Issuer – HSI R Bull CBBC Sep 2017 D	200,000,000	2016/06/20	2017/09/28	50.00
68841	SG Issuer – HSI R Bull CBBC Sep 2017 E	200,000,000	2016/06/20	2017/09/28	50.00
68836	SG Issuer – HSI R Bull CBBC Oct 2017 F	200,000,000	2016/06/20	2017/10/30	50.00
68840	SG Issuer – HSI R Bull CBBC Oct 2017 G	200,000,000	2016/06/20	2017/10/30	50.00
68938	SG Issuer – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/20	2016/09/29	50.00
68941	SG Issuer – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/20	2016/09/29	50.00
68932	SG Issuer – HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/20	2016/10/28	50.00
68940	SG Issuer – HSI R Bear CBBC Oct 2016 T	200,000,000	2016/06/20	2016/10/28	50.00
68978	UBS AG – HSI R Bull CBBC Sep 2017 M	200,000,000	2016/06/20	2017/09/28	50.00
68985	UBS AG – HSI R Bull CBBC Sep 2017 N	200,000,000	2016/06/20	2017/09/28	50.00
68976	UBS AG – HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/20	2017/10/30	50.00
68981	UBS AG – HSI R Bull CBBC Nov 2017 K	200,000,000	2016/06/20	2017/11/29	50.00
68984	UBS AG – HSI R Bull CBBC Dec 2017 B	200,000,000	2016/06/20	2017/12/28	50.00
68990	UBS AG – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/20	2016/09/29	50.00
68994	UBS AG – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/20	2016/09/29	50.00
68988	UBS AG – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/20	2016/09/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68993	UBS AG – HSI R Bear CBBC Sep 2016 Z	200,000,000	2016/06/20	2016/09/29	50.00
69086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 L	250,000,000	2016/06/21	2017/06/29	62.50
69103	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 X	250,000,000	2016/06/21	2017/02/27	62.50
69088	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 T	250,000,000	2016/06/21	2017/03/30	62.50
69087	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 V	250,000,000	2016/06/21	2017/03/30	62.50
69101	Credit Suisse AG – HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/21	2017/10/30	50.00
69143	Credit Suisse AG – HSI R Bear CBBC Sep 2016 J	200,000,000	2016/06/21	2016/09/29	50.00
69145	Credit Suisse AG – HSI R Bear CBBC Oct 2016 V	200,000,000	2016/06/21	2016/10/28	50.00
69146	Credit Suisse AG – HSI R Bear CBBC Oct 2016 W	200,000,000	2016/06/21	2016/10/28	50.00
69071	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 I	200,000,000	2016/06/21	2017/09/28	50.80
69183	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/21	2016/09/29	50.20
69184	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 V	200,000,000	2016/06/21	2016/10/28	50.80
69147	J P Morgan SP BV – HSI R Bull CBBC Jun 2017 Y	200,000,000	2016/06/21	2017/06/29	50.00
69163	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 I	200,000,000	2016/06/21	2016/09/29	50.00
69180	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/21	2016/09/29	50.00
69178	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 O	200,000,000	2016/06/21	2016/10/28	50.00
69073	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2017 C	40,000,000	2016/06/21	2017/02/27	10.00
69079	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2017 D	40,000,000	2016/06/21	2017/02/27	10.00
69029	SG Issuer – HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/21	2017/11/29	50.00
69037	SG Issuer – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/21	2016/09/29	50.00
69053	SG Issuer – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/21	2016/09/29	50.00
69038	SG Issuer – HSI R Bear CBBC Oct 2016 U	200,000,000	2016/06/21	2016/10/28	50.00
69058	SG Issuer – HSI R Bear CBBC Oct 2016 V	200,000,000	2016/06/21	2016/10/28	50.00
69118	UBS AG – HSCEI R Bear CBBC Sep 2016 B	100,000,000	2016/06/21	2016/09/29	25.00
69108	UBS AG – HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/06/21	2017/09/28	50.00
69107	UBS AG – HSI R Bull CBBC Nov 2017 L	200,000,000	2016/06/21	2017/11/29	50.00
69119	UBS AG – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/21	2016/09/29	50.00
69132	UBS AG – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/21	2016/09/29	50.00
69122	UBS AG – HSI R Bear CBBC Oct 2016 L	200,000,000	2016/06/21	2016/10/28	50.00
69136	UBS AG – HSI R Bear CBBC Oct 2016 M	200,000,000	2016/06/21	2016/10/28	50.00
69141	UBS AG – HSI R Bear CBBC Feb 2017 D	200,000,000	2016/06/21	2017/02/27	50.00
69241	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 L	250,000,000	2016/06/22	2017/05/29	62.50
69240	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 J	250,000,000	2016/06/22	2017/06/29	62.50
69268	Credit Suisse AG – HSI R Bull CBBC Sep 2017 H	200,000,000	2016/06/22	2017/09/28	50.00
69267	Credit Suisse AG – HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/22	2017/10/30	50.00
69270	Credit Suisse AG – HSI R Bear CBBC Oct 2016 X	200,000,000	2016/06/22	2016/10/28	50.00
69264	Credit Suisse AG – HSI R Bear CBBC Nov 2016 B	200,000,000	2016/06/22	2016/11/29	50.00
69265	Credit Suisse AG – HSI R Bear CBBC Nov 2016 C	200,000,000	2016/06/22	2016/11/29	50.00
69255	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/22	2017/11/29	50.20
69254	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/22	2016/09/29	50.60
69291	J P Morgan SP BV – HKEX R Bull CBBC Jun 2017 C	50,000,000	2016/06/22	2017/06/16	12.50
69292	J P Morgan SP BV – HKEX R Bear CBBC Nov 2016 B	50,000,000	2016/06/22	2016/11/18	12.50
69309	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 W	200,000,000	2016/06/22	2017/08/30	50.00
69295	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 R	200,000,000	2016/06/22	2017/10/30	50.00
69317	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/22	2016/09/29	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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69323	J P Morgan SP BV – HSI R Bear CBBC Nov 2016 B	150,000,000	2016/06/22	2016/11/29	37.50
69249	SG Issuer – HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/22	2017/10/30	50.00
69248	SG Issuer – HSI R Bull CBBC Nov 2017 B	200,000,000	2016/06/22	2017/11/29	50.00
69233	SG Issuer – HSI R Bear CBBC Sep 2016 I	200,000,000	2016/06/22	2016/09/29	50.00
69190	SG Issuer – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/22	2016/09/29	50.00
69214	SG Issuer – HSI R Bear CBBC Oct 2016 W	200,000,000	2016/06/22	2016/10/28	50.00
69277	UBS AG – HSI R Bull CBBC Oct 2017 L	200,000,000	2016/06/22	2017/10/30	50.00
69283	UBS AG – HSI R Bull CBBC Nov 2017 M	200,000,000	2016/06/22	2017/11/29	50.00
69273	UBS AG – HSI R Bear CBBC Jan 2017 I	200,000,000	2016/06/22	2017/01/26	50.00
69274	UBS AG – HSI R Bear CBBC Jan 2017 J	200,000,000	2016/06/22	2017/01/26	50.00
69271	UBS AG – HSI R Bear CBBC Feb 2017 E	200,000,000	2016/06/22	2017/02/27	50.00
69365	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 M	250,000,000	2016/06/23	2017/05/29	62.50
69378	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 P	250,000,000	2016/06/23	2017/06/29	62.50
69381	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 U	250,000,000	2016/06/23	2017/02/27	62.50
69379	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 U	250,000,000	2016/06/23	2017/03/30	62.50
69382	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 W	250,000,000	2016/06/23	2017/03/30	62.50
69394	Credit Suisse AG – HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/23	2017/10/30	50.00
69396	Credit Suisse AG – HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/23	2017/10/30	50.00
69421	Credit Suisse AG – HSI R Bull CBBC Oct 2017 L	200,000,000	2016/06/23	2017/10/30	50.00
69401	Credit Suisse AG – HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/06/23	2016/10/28	50.00
69406	Credit Suisse AG – HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/06/23	2016/10/28	50.00
69368	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 H	200,000,000	2016/06/23	2017/10/30	51.20
69461	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/23	2016/09/29	50.40
69468	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 W	200,000,000	2016/06/23	2016/10/28	50.80
69369	HK Bank – HSCEI R Bear CBBC Mar 2017 C	50,000,000	2016/06/23	2017/03/30	12.50
69371	HK Bank – HSCEI R Bear CBBC Apr 2017 B	50,000,000	2016/06/23	2017/04/27	12.50
69375	HK Bank – HSI R Bear CBBC Feb 2017 J	150,000,000	2016/06/23	2017/02/27	37.50
69373	HK Bank – HSI R Bear CBBC Mar 2017 G	150,000,000	2016/06/23	2017/03/30	37.50
69472	J P Morgan SP BV – HSBC R Bull CBBC Sep 2017 B	100,000,000	2016/06/23	2017/09/15	25.00
69474	J P Morgan SP BV – HSBC R Bear CBBC Jan 2017 C	100,000,000	2016/06/23	2017/01/20	25.00
69471	J P Morgan SP BV – HSI R Bull CBBC Jul 2017 T	200,000,000	2016/06/23	2017/07/28	50.00
69469	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 V	200,000,000	2016/06/23	2017/09/28	50.00
69493	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 W	200,000,000	2016/06/23	2017/09/28	50.00
69495	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 T	200,000,000	2016/06/23	2016/09/29	50.00
69500	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 U	200,000,000	2016/06/23	2016/09/29	50.00
69501	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 P	150,000,000	2016/06/23	2016/10/28	37.50
69508	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/06/23	2016/10/28	50.00
69376	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2017 E	40,000,000	2016/06/23	2017/02/27	10.12
69377	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2017 A	40,000,000	2016/06/23	2017/03/30	10.00
69324	SG Issuer – HSI R Bull CBBC Feb 2017 C	400,000,000	2016/06/23	2017/02/27	100.00
69343	SG Issuer – HSI R Bull CBBC Feb 2017 D	400,000,000	2016/06/23	2017/02/27	100.00
69333	SG Issuer – HSI R Bull CBBC Mar 2017 C	400,000,000	2016/06/23	2017/03/30	100.00
69344	SG Issuer – HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/23	2017/10/30	50.00
69345	SG Issuer – HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/23	2017/11/29	50.00
69362	SG Issuer – HSI R Bear CBBC Sep 2016 C	400,000,000	2016/06/23	2016/09/29	100.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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69390	SG Issuer – HSI R Bear CBBC Sep 2016 G	200,000,000	2016/06/23	2016/09/29	50.00
69346	SG Issuer – HSI R Bear CBBC Sep 2016 Y	400,000,000	2016/06/23	2016/09/29	100.00
69351	SG Issuer – HSI R Bear CBBC Sep 2016 Z	400,000,000	2016/06/23	2016/09/29	100.00
69392	SG Issuer – HSI R Bear CBBC Oct 2016 X	200,000,000	2016/06/23	2016/10/28	50.00
69393	SG Issuer – HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/06/23	2016/10/28	50.00
69424	UBS AG – HSI R Bull CBBC Sep 2017 J	200,000,000	2016/06/23	2017/09/28	50.00
69422	UBS AG – HSI R Bull CBBC Nov 2017 N	200,000,000	2016/06/23	2017/11/29	50.00
69443	UBS AG – HSI R Bull CBBC Nov 2017 O	200,000,000	2016/06/23	2017/11/29	50.00
69446	UBS AG – HSI R Bull CBBC Dec 2017 C	200,000,000	2016/06/23	2017/12/28	50.00
69450	UBS AG – HSI R Bear CBBC Sep 2016 H	200,000,000	2016/06/23	2016/09/29	50.00
69452	UBS AG – HSI R Bear CBBC Jan 2017 K	200,000,000	2016/06/23	2017/01/26	50.00
69456	UBS AG – HSI R Bear CBBC Feb 2017 F	200,000,000	2016/06/23	2017/02/27	50.00
69545	Credit Suisse AG – HSI R Bull CBBC Sep 2017 I	200,000,000	2016/06/24	2017/09/28	50.00
69547	Credit Suisse AG – HSI R Bull CBBC Sep 2017 J	200,000,000	2016/06/24	2017/09/28	50.00
69557	Credit Suisse AG – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/24	2016/09/29	50.00
69541	Credit Suisse AG – HSI R Bear CBBC Nov 2016 D	200,000,000	2016/06/24	2016/11/29	50.00
69543	Credit Suisse AG – HSI R Bear CBBC Nov 2016 E	200,000,000	2016/06/24	2016/11/29	50.00
69509	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 X	200,000,000	2016/06/24	2016/10/28	50.20
69594	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 X	200,000,000	2016/06/24	2017/09/28	50.00
69588	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 I	200,000,000	2016/06/24	2017/11/29	50.00
69553	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 R	200,000,000	2016/06/24	2016/10/28	50.00
69595	J P Morgan SP BV – HSI R Bear CBBC Nov 2016 C	150,000,000	2016/06/24	2016/11/29	37.50
69555	J P Morgan SP BV – Tencent R Bear CBBC Nov 2017 A	60,000,000	2016/06/24	2017/11/17	15.00
69532	SG Issuer – HSI R Bull CBBC Nov 2017 D	200,000,000	2016/06/24	2017/11/29	50.00
69539	SG Issuer – HSI R Bull CBBC Nov 2017 E	200,000,000	2016/06/24	2017/11/29	50.00
69527	SG Issuer – HSI R Bear CBBC Sep 2016 A	200,000,000	2016/06/24	2016/09/29	50.00
69529	SG Issuer – HSI R Bear CBBC Sep 2016 D	200,000,000	2016/06/24	2016/09/29	50.00
69513	SG Issuer – HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/06/24	2016/10/28	50.00
69572	UBS AG – HKEX R Bull CBBC Sep 2017 B	50,000,000	2016/06/24	2017/09/18	12.50
69580	UBS AG – HSI R Bull CBBC Oct 2017 M	200,000,000	2016/06/24	2017/10/30	50.00
69560	UBS AG – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/24	2016/09/29	50.00
69567	UBS AG – HSI R Bear CBBC Feb 2017 G	200,000,000	2016/06/24	2017/02/27	50.00
69718	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 Q	250,000,000	2016/06/27	2017/06/29	62.50
69716	Credit Suisse AG – HSI R Bull CBBC Sep 2017 K	200,000,000	2016/06/27	2017/09/28	50.00
69690	Credit Suisse AG – HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/27	2017/11/29	50.00
69670	Credit Suisse AG – HSI R Bear CBBC Sep 2016 K	200,000,000	2016/06/27	2016/09/29	50.00
69671	Credit Suisse AG – HSI R Bear CBBC Nov 2016 F	200,000,000	2016/06/27	2016/11/29	50.00
69687	Credit Suisse AG – HSI R Bear CBBC Nov 2016 G	200,000,000	2016/06/27	2016/11/29	50.00
69730	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 J	200,000,000	2016/06/27	2017/09/28	50.20
69719	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 I	200,000,000	2016/06/27	2017/10/30	50.20
69669	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 N	200,000,000	2016/06/27	2016/09/29	50.60
69608	HK Bank – HKEX R Bear CBBC Jan 2017 D	40,000,000	2016/06/27	2017/01/27	10.00
69600	HK Bank – HSI R Bull CBBC Dec 2016 D	150,000,000	2016/06/27	2016/12/29	37.50
69621	HK Bank – Tencent R Bear CBBC Feb 2017 D	40,000,000	2016/06/27	2017/02/27	10.00
69736	J P Morgan SP BV – HSBC R Bull CBBC Jul 2017 A	100,000,000	2016/06/27	2017/07/21	25.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69741	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 Y	150,000,000	2016/06/27	2017/09/28	37.50
69666	J P Morgan SP BV – HSI R Bear CBBC Oct 2016 S	200,000,000	2016/06/27	2016/10/28	50.00
69668	J P Morgan SP BV – HSI R Bear CBBC Nov 2016 D	150,000,000	2016/06/27	2016/11/29	37.50
69624	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2017 B	40,000,000	2016/06/27	2017/03/30	10.00
69649	SG Issuer – HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/27	2017/10/30	50.00
69657	SG Issuer – HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/27	2017/10/30	50.00
69651	SG Issuer – HSI R Bull CBBC Nov 2017 F	200,000,000	2016/06/27	2017/11/29	50.00
69596	SG Issuer – HSI R Bear CBBC Oct 2016 A	200,000,000	2016/06/27	2016/10/28	50.00
69664	SG Issuer – HSI R Bear CBBC Oct 2016 B	200,000,000	2016/06/27	2016/10/28	50.00
69597	SG Issuer – HSI R Bear CBBC Nov 2016 A	200,000,000	2016/06/27	2016/11/29	50.00
69761	UBS AG – HSI R Bull CBBC Sep 2017 O	200,000,000	2016/06/27	2017/09/28	50.00
69760	UBS AG – HSI R Bull CBBC Oct 2017 N	200,000,000	2016/06/27	2017/10/30	50.00
69759	UBS AG – HSI R Bull CBBC Nov 2017 P	200,000,000	2016/06/27	2017/11/29	50.00
69746	UBS AG – HSI R Bear CBBC Sep 2016 L	200,000,000	2016/06/27	2016/09/29	50.00
69754	UBS AG – HSI R Bear CBBC Sep 2016 M	200,000,000	2016/06/27	2016/09/29	50.00
69753	UBS AG – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/27	2016/09/29	50.00
69796	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Feb 2017 F	100,000,000	2016/06/28	2017/02/27	25.00
69793	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2017 I	250,000,000	2016/06/28	2017/06/29	62.50
69765	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 W	250,000,000	2016/06/28	2017/02/27	62.50
69789	Credit Suisse AG – HSI R Bull CBBC Sep 2017 L	200,000,000	2016/06/28	2017/09/28	50.00
69781	Credit Suisse AG – HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/28	2017/11/29	50.00
69775	Credit Suisse AG – HSI R Bear CBBC Sep 2016 R	200,000,000	2016/06/28	2016/09/29	50.00
69778	Credit Suisse AG – HSI R Bear CBBC Nov 2016 H	200,000,000	2016/06/28	2016/11/29	50.00
69792	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2017 B	200,000,000	2016/06/28	2017/11/29	50.60
69791	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 O	200,000,000	2016/06/28	2016/09/29	50.60
69798	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 S	200,000,000	2016/06/28	2017/10/30	50.00
69799	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 J	150,000,000	2016/06/28	2017/11/29	37.50
69797	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/28	2016/09/29	50.00
69801	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/28	2016/09/29	50.00
69767	SG Issuer – HSI R Bull CBBC Oct 2017 L	200,000,000	2016/06/28	2017/10/30	50.00
69771	SG Issuer – HSI R Bear CBBC Nov 2016 B	200,000,000	2016/06/28	2016/11/29	50.00
69805	UBS AG – HSI R Bull CBBC Oct 2017 O	200,000,000	2016/06/28	2017/10/30	50.00
69803	UBS AG – HSI R Bear CBBC Sep 2016 Q	200,000,000	2016/06/28	2016/09/29	50.00
69838	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2017 Q	250,000,000	2016/06/29	2017/05/29	62.50
69842	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2017 V	250,000,000	2016/06/29	2017/02/27	62.50
69806	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2017 S	250,000,000	2016/06/29	2017/03/30	62.50
69857	Credit Suisse AG – HSI R Bull CBBC Oct 2017 M	200,000,000	2016/06/29	2017/10/30	50.00
69861	Credit Suisse AG – HSI R Bull CBBC Oct 2017 N	200,000,000	2016/06/29	2017/10/30	50.00
69856	Credit Suisse AG – HSI R Bear CBBC Oct 2016 D	200,000,000	2016/06/29	2016/10/28	50.00
69921	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 J	200,000,000	2016/06/29	2017/10/30	50.60
69817	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/29	2016/09/29	50.40
69916	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/06/29	2016/10/28	51.20
69821	HK Bank – A50 R Bull CBBC Mar 2017 I	50,000,000	2016/06/29	2017/03/31	12.50
69824	HK Bank – HKEX R Bull CBBC Sep 2017 C	50,000,000	2016/06/29	2017/09/29	12.50
69828	HK Bank – HSCEI R Bull CBBC Jul 2017 C	60,000,000	2016/06/29	2017/07/28	15.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69827	HK Bank – HSI R Bull CBBC Jul 2017 B	150,000,000	2016/06/29	2017/07/28	37.50
69825	HK Bank – Tencent R Bull CBBC Jan 2017 G	50,000,000	2016/06/29	2017/01/23	12.50
69896	J P Morgan SP BV – CKH R Bull CBBC Jun 2017 C	40,000,000	2016/06/29	2017/06/16	10.00
69882	J P Morgan SP BV – HKEX R Bull CBBC May 2017 D	50,000,000	2016/06/29	2017/05/19	12.50
69893	J P Morgan SP BV – HSBC R Bull CBBC Jun 2017 D	100,000,000	2016/06/29	2017/06/16	25.00
69897	J P Morgan SP BV – HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/06/29	2017/09/28	50.00
69901	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 T	200,000,000	2016/06/29	2017/10/30	50.00
69898	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 K	200,000,000	2016/06/29	2017/11/29	50.00
69879	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 X	200,000,000	2016/06/29	2016/09/29	50.00
69903	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 Y	200,000,000	2016/06/29	2016/09/29	50.00
69895	J P Morgan SP BV – Ping An R Bull CBBC Mar 2017 A	100,000,000	2016/06/29	2017/03/17	25.00
69908	J P Morgan SP BV – Tencent R Bull CBBC Jul 2017 D	60,000,000	2016/06/29	2017/07/21	15.00
69847	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2017 C	40,000,000	2016/06/29	2017/03/30	10.20
69852	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2017 D	40,000,000	2016/06/29	2017/03/30	10.00
69810	SG Issuer – HSI R Bull CBBC Nov 2017 G	200,000,000	2016/06/29	2017/11/29	50.00
69830	SG Issuer – HSI R Bear CBBC Sep 2016 P	200,000,000	2016/06/29	2016/09/29	50.00
69874	UBS AG – HSI R Bull CBBC Sep 2017 G	200,000,000	2016/06/29	2017/09/28	50.00
69873	UBS AG – HSI R Bull CBBC Oct 2017 P	200,000,000	2016/06/29	2017/10/30	50.00
69872	UBS AG – HSI R Bear CBBC Sep 2016 E	200,000,000	2016/06/29	2016/09/29	50.00
69871	UBS AG – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/29	2016/09/29	50.00
69875	UBS AG – HSI R Bear CBBC Sep 2016 V	200,000,000	2016/06/29	2016/09/29	50.00
69958	Credit Suisse AG – HSI R Bull CBBC Nov 2017 I	200,000,000	2016/06/30	2017/11/29	50.00
69960	Credit Suisse AG – HSI R Bull CBBC Nov 2017 J	200,000,000	2016/06/30	2017/11/29	50.00
69957	Credit Suisse AG – HSI R Bear CBBC Sep 2016 S	200,000,000	2016/06/30	2016/09/29	50.00
69983	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2017 K	200,000,000	2016/06/30	2017/09/28	51.20
69982	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2017 K	200,000,000	2016/06/30	2017/10/30	50.80
69981	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2017 C	200,000,000	2016/06/30	2017/11/29	50.40
69930	Haitong Int'l Sec – HSI R Bull CBBC Jun 2017 A	200,000,000	2016/06/30	2017/06/29	50.00
69935	Haitong Int'l Sec – HSI R Bull CBBC Oct 2017 B	200,000,000	2016/06/30	2017/10/30	50.20
69926	Haitong Int'l Sec – HSI R Bull CBBC Nov 2017 A	200,000,000	2016/06/30	2017/11/29	50.20
69936	Haitong Int'l Sec – HSI R Bear CBBC Sep 2016 A	200,000,000	2016/06/30	2016/09/29	50.00
69938	Haitong Int'l Sec – HSI R Bear CBBC Oct 2016 A	200,000,000	2016/06/30	2016/10/28	50.40
69976	J P Morgan SP BV – China Mobile R Bull CBBC Sep 2017 A	100,000,000	2016/06/30	2017/09/15	25.00
69977	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 U	200,000,000	2016/06/30	2017/10/30	50.00
69979	J P Morgan SP BV – HSI R Bull CBBC Nov 2017 L	200,000,000	2016/06/30	2017/11/29	50.00
69978	J P Morgan SP BV – HSI R Bull CBBC Dec 2017 H	200,000,000	2016/06/30	2017/12/28	50.00
69971	J P Morgan SP BV – HSI R Bear CBBC Sep 2016 B	200,000,000	2016/06/30	2016/09/29	50.00
69975	J P Morgan SP BV – Tencent R Bull CBBC Aug 2017 A	60,000,000	2016/06/30	2017/08/18	15.00
69942	SG Issuer – HSI R Bull CBBC Feb 2017 E	400,000,000	2016/06/30	2017/02/27	100.00
69940	SG Issuer – HSI R Bull CBBC Mar 2017 D	400,000,000	2016/06/30	2017/03/30	100.00
69945	SG Issuer – HSI R Bull CBBC Oct 2017 M	200,000,000	2016/06/30	2017/10/30	50.00
69944	SG Issuer – HSI R Bull CBBC Nov 2017 H	200,000,000	2016/06/30	2017/11/29	50.00
69947	SG Issuer – HSI R Bear CBBC Oct 2016 C	200,000,000	2016/06/30	2016/10/28	50.00
69949	SG Issuer – HSI R Bear CBBC Oct 2016 D	400,000,000	2016/06/30	2016/10/28	100.00
69951	SG Issuer – HSI R Bear CBBC Oct 2016 E	200,000,000	2016/06/30	2016/10/28	50.00

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69952	SG Issuer – HSI R Bear CBBC Oct 2016 F	200,000,000	2016/06/30	2016/10/28	50.00
69948	SG Issuer – HSI R Bear CBBC Nov 2016 C	400,000,000	2016/06/30	2016/11/29	100.00
69955	SG Issuer – HSI R Bear CBBC Nov 2016 D	200,000,000	2016/06/30	2016/11/29	50.00
69956	SG Issuer – HSI R Bear CBBC Nov 2016 E	200,000,000	2016/06/30	2016/11/29	50.00
69967	UBS AG – HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/06/30	2017/10/30	50.00
69964	UBS AG – HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/06/30	2017/11/29	50.00
69965	UBS AG – HSI R Bull CBBC Dec 2017 D	200,000,000	2016/06/30	2017/12/28	50.00
69961	UBS AG – HSI R Bear CBBC Sep 2016 W	200,000,000	2016/06/30	2016/09/29	50.00
Total					84,611.84

Further issue

DR/ELI/TRADING ONLY STOCKS TRADING STATISTICS

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2015	Q2	4	107.08
	Q3	4	67.99
	Q4	4	49.30
2016	Q1	4	29.99
	Q2	4	54.20

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2015	Q2	-	-
	Q3	-	-
	Q4	-	-
2016	Q1	-	-
	Q2	-	-

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2015	Q2	6	0.48
	Q3	6	0.01
	Q4	6	0.01
2016	Q1	6	0.04
	Q2	6	0.10

iShares

		No. of issues	Turnover value (HK\$ mil.)
2015	Q2	2	-
	Q3	2	-
	Q4	2	0.09
2016	Q1	2	-
	Q2	2	-

GEM EQUITIES

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2015	Q2	254,155.08	131,631.55	2,815,024
	Q3	137,580.38	51,332.73	1,377,832
	Q4	136,058.68	39,886.12	1,212,890
2016	Q1	85,420.86	25,666.83	730,890
	Q2	107,905.65	31,516.06	917,745

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2015	Q2	60	2,193.86	46,760
	Q3	63	814.81	37,256
	Q4	63	633.11	32,885
2016	Q1	59	435.03	35,117
	Q2	62	508.32	34,341

20 Most Advanced GEM Stocks

for 2nd quarter 2016

Rank	Code	Stock	Closing price		% up
			End of Jun 2016	End of Mar 2016	
1	08270	CHINA CBM	0.227	0.066	243.94
2	08109	CREATIVE ENERGY	0.480	0.144	233.33
3	08233	CIG PORTS	1.870	0.610	206.56
4	08345	FIRE ROCK	5.900	2.330	153.22
5	08265	POWERWELL PAC	1.880	0.798 A	135.59
6	08305	KWAN ON HLDGS	0.960	0.415	131.33
7	08318	CHING LEE	5.630	2.590	117.37
8	08051	TELEEYE HOLDING	0.950	0.450	111.11
9	08012	WORLD-LINK LOG	1.670	0.870	91.95
10	08242	MEGALOGICTECH	0.370	0.200	85.00
11	08027	KPM HOLDING	5.850	3.280	78.35
12	08215	FIRST CREDIT	0.242	0.138	75.36
13	08310	DAFENG PORT	1.350	0.810	66.67
14	08340	VINCO FINANCIAL	1.610	1.050	53.33
15	08055	CHI E-LEARNING	0.330	0.216	52.78
16	08060	GLOBAL LINK	0.190	0.126	50.79
17	08127	SEASON PACIFIC	8.000	5.310	50.66
18	08159	GLORY MARK	0.600	0.400	50.00
19	08078	CHINA3D DIGIT	0.840	0.570	47.37
20	08009	CHI ENGY HOLD	0.171	0.118	44.92

A Adjusted

GEM EQUITIES

20 Most Declined GEM Stocks

for 2nd quarter 2016

Rank	Code	Stock	Closing price		% down
			End of Jun 2016	End of Mar 2016	
1	08173	UNION ASIA ENT	0.031	0.161 A	-80.75
2	08186	IR RESOURCES	0.036	0.140 A	-74.29
3	08147	MILLENNIUM PG	0.510	1.300	-60.77
4	08315	KING FORCE GP	0.100	0.249	-59.84
5	08003	GREAT WORLD	0.091	0.218	-58.26
6	08046	HENG XIN CHINA	0.131	0.270	-51.48
7	08316	PAK WING GP	3.200	6.550	-51.15
8	08156	CHINA VANGUARD	0.300	0.600	-50.00
9	08088	AID PARTNERS	0.126	0.215	-41.40
10	08192	GLOBAL ENERGY	0.036	0.061	-40.98
11	08369	LAP KEI ENGRG	0.178	0.285	-37.54
12	08179	FOOD IDEA	0.233	0.370 A	-37.03
13	08148	AURUM PACIFIC	0.154	0.241 A	-36.10
14	08143	HUA XIA HEALTH	0.410	0.640	-35.94
15	08269	WEALTH GLORY	0.050	0.077	-35.06
16	08171	CHINA TRENDS	0.015	0.023	-34.78
17	08163	MERDEKA FIN	0.100	0.153	-34.64
18	08245	ON REAL INT'L	7.000	10.680	-34.46
19	08290	AHSAY BACKUP	0.102	0.151	-32.45
20	08295	ASIAN CAPITAL H	0.485	0.690	-29.71

A Adjusted

GEM EQUITIES

20 Most Active GEM Stocks by Value

for 2nd quarter 2016

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08195	L&A INTL HOLD	3,705.80	11.76
2	08078	CHINA3D DIGIT	1,211.32	3.84
3	08318	CHING LEE	1,156.75	3.67
4	08109	CREATIVE ENERGY	959.54	3.04
5	08051	TELEEYE HOLDING	882.42	2.80
6	08046	HENG XIN CHINA	833.39	2.64
7	08359	HYPEBEAST	808.17	2.56
8	08315	KING FORCE GP	700.67	2.22
9	08215	FIRST CREDIT	609.36	1.93
10	08326	TK NEW ENERGY	498.53	1.58
11	08189	TEDA BIOMEDICAL	478.63	1.52
12	08088	AID PARTNERS	462.53	1.47
13	08207	CREDIT CHINA	459.03	1.46
14	08340	VINCO FINANCIAL	446.43	1.42
15	08233	CIG PORTS	443.27	1.41
16	08279	AGTECH HOLDINGS	432.62	1.37
17	08193	GC PRO SER	424.51	1.35
18	08346	TEM HOLDINGS	392.88	1.25
19	08089	CHINESE STRAT	391.00	1.24
20	08125	KATE CHINA	390.20	1.24
Total			15,687.04	49.77

GEM EQUITIES

20 Most Active GEM Stock by Shares

for 2nd quarter 2016

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08186	IR RESOURCES	15,846.63	14.69
2	08171	CHINA TRENDS	9,994.85	9.26
3	08315	KING FORCE GP	5,275.32	4.89
4	08046	HENG XIN CHINA	3,869.54	3.59
5	08173	UNION ASIA ENT	3,414.11	3.16
6	08215	FIRST CREDIT	3,154.60	2.92
7	08359	HYPEBEAST	3,134.82	2.91
8	08195	L&A INTL HOLD	2,521.34	2.34
9	08088	AID PARTNERS	2,499.86	2.32
10	08238	WINTO GROUP	2,473.14	2.29
11	08081	IE CHINA	2,351.27	2.18
12	08109	CREATIVE ENERGY	2,294.51	2.13
13	08087	CHINA 33MEDIA	2,193.44	2.03
14	08006	SINO SPLEND-NEW	2,113.07	1.96
15	08078	CHINA3D DIGIT	1,614.68	1.50
16	08179	FOOD IDEA	1,599.24	1.48
17	08369	LAP KEI ENGRG	1,412.01	1.31
18	08192	GLOBAL ENERGY	1,244.90	1.15
19	08140	ICO GROUP	1,222.48	1.13
20	08047	SKY FOREVER	1,041.57	0.97
Total			69,271.36	64.20

GEM EQUITIES

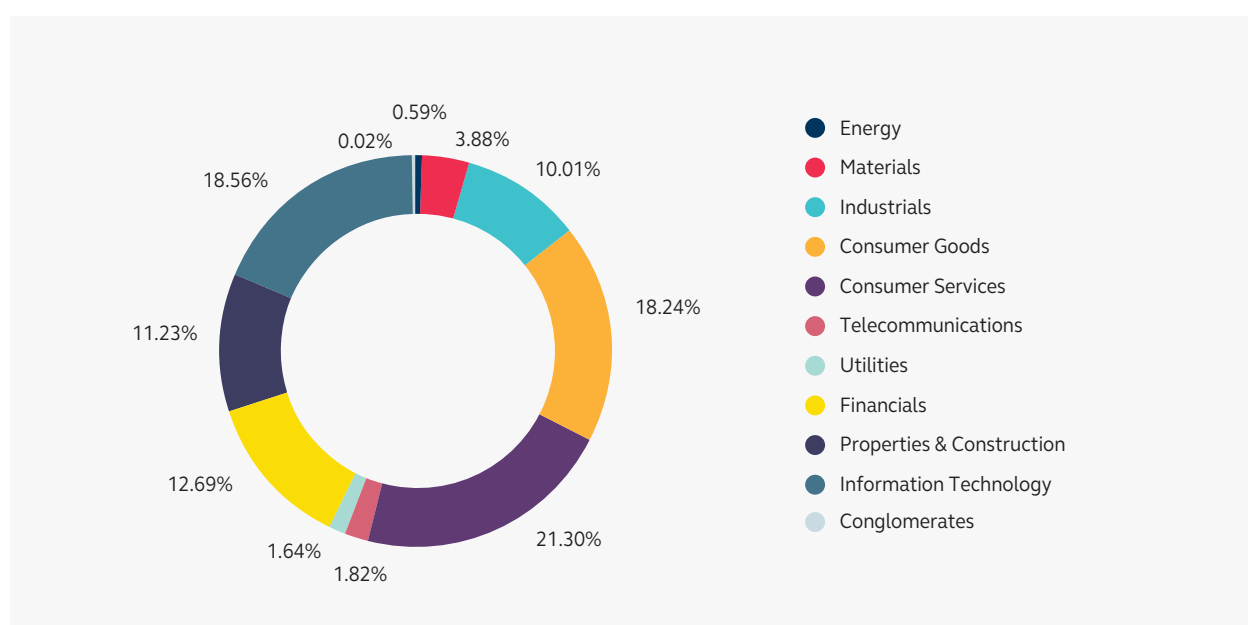
Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2015	Q2	326,139.64
	Q3	224,157.68
	Q4	258,175.74
2016	Q1	248,978.34
	Q2	266,315.62

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

GEM EQUITIES

50 Leading Companies by Market Capitalisation – GEM

as at the 2nd quarter end 2016

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08207 Credit China Holdings Ltd.	12,360.00	4.64
2	08195 L & A International Holdings Ltd.	9,825.50	3.69
3	08279 AGTech Holdings Ltd.	9,172.97	3.44
4	08127 Season Pacific Holdings Ltd.	8,000.00	3.00
5	08138 Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	7,692.95	2.89
6	08217 Luen Wong Group Holdings Ltd.	7,463.04	2.80
7	08368 Creative China Holdings Ltd.	7,332.00	2.75
8	08008 Sunevision Holdings Ltd.	6,432.97	2.42
9	08032 Viva China Holdings Ltd.	5,862.13	2.20
10	08318 Ching Lee Holdings Ltd.	5,630.00	2.11
11	08158 China Regenerative Medicine International Ltd.	5,101.73	1.92
12	08005 Yuxing InfoTech Investment Holdings Ltd.	4,647.94	1.75
13	08057 Madison Wine Holdings Ltd.	4,640.00	1.74
14	08137 Honbridge Holdings Ltd.	4,481.24	1.68
15	08021 WLS Holdings Ltd.	4,468.49	1.68
16	08097 Pinestone Captial Ltd.	4,468.10	1.68
17	08237 Link Holdings Ltd.	4,467.20	1.68
18	08346 TEM Holdings Ltd.	4,434.00	1.66
19	08167 Neo Telemedia Ltd.	3,668.61	1.38
20	08261 Haitian Hydropower International Ltd.	3,471.68	1.30
21	08245 On Real International Holdings Ltd.	3,360.00	1.26
22	08078 China 3D Digital Entertainment Ltd.	3,219.52	1.21
23	08099 China Wood Optimization (Holding) Ltd.	3,010.00	1.13
24	08326 Tonking New Energy Group Holdings Ltd.	2,900.00	1.09
25	08265 Powerwell Pacific Holdings Ltd.	2,882.98	1.08
26	08147 Millennium Pacific Group Holdings Ltd.	2,666.18	1.00
27	08316 Pak Wing Group (Holdings) Ltd.	2,560.00	0.96
28	08068 New Universe Environmental Group Ltd.	2,482.79	0.93
29	08233 CIG Yangtze Ports PLC	2,462.90	0.92
30	08027 KPM Holding Ltd.	2,340.00	0.88
31	08193 GreaterChina Professional Services Ltd.	2,331.82	0.88
32	08361 China Parenting Network Holdings Ltd.	2,258.30	0.85
33	08262 Super Strong Holdings Ltd.	2,184.00	0.82
34	08083 China Innovationpay Group Ltd.	2,182.34	0.82
35	08325 China Smartpay Group Holdings Ltd.	2,024.83	0.76
36	08029 Sun International Resources Ltd.	2,017.53	0.76
37	08155 South China Assets Holdings Ltd.	2,012.13	0.76
38	08058 Shandong Luoxin Pharmaceutical Group Stock Co., Ltd. – H Shs	1,928.64	0.72
39	08030 Flying Financial Service Holdings Ltd.	1,869.30	0.70
40	08102 Li Bao Ge Group Ltd.	1,824.00	0.68
41	08172 Lajin Entertainment Network Group Ltd.	1,801.47	0.68
42	08310 Dafeng Port Heshun Technology Co. Ltd.	1,738.80	0.65
43	08295 Asian Capital Holdings Ltd.	1,721.99	0.65
44	08246 Northern New Energy Holdings Ltd.	1,699.47	0.64
45	08016 Changhong Jiahua Holdings Ltd.	1,687.40	0.63
46	08176 SkyNet Group Ltd.	1,637.23	0.61
47	08090 China Assurance Finance Group Ltd.	1,595.58	0.60
48	08267 Linekong Interactive Group Co., Ltd.	1,586.13	0.60
49	08077 KVB Kunlun Financial Group Ltd.	1,565.59	0.59
50	08189 Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	1,516.78	0.57
Total		188,688.25	70.85
Market Total		266,315.62	100.00

GEM EQUITIES

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2015	Q2	5	404.40
	Q3	7	777.74
	Q4	13	931.94
2016	Q1	6	344.80
	Q2	9	801.32

Newly Listed Companies for GEM

for 2nd quarter 2016

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08359	Hypebeast Ltd.	2016/04/11	2,000,000,000	65.00
08319	Expert Systems Holdings Ltd.	2016/04/12	800,000,000	50.00
08217	Luen Wong Group Holdings Ltd.	2016/04/12	1,248,000,000	81.12
08251	A.Plus Group Holdings Ltd.	2016/04/19	400,000,000	30.00
08346	TEM Holdings Ltd.	2016/05/18	600,000,000	79.50
08187	Ever Smart International Holdings Ltd.	2016/05/30	480,000,000	60.00
08161	MediNet Group Ltd.	2016/05/31	1,040,000,000	70.20
08280	China Digital Video Holdings Ltd.	2016/06/27	620,000,000	294.50
08102	Li Bao Ge Group Ltd.	2016/06/30	800,000,000	71.00
Total				801.32

Withdrawal of Listed Companies – GEM

for 2nd quarter 2016

Code	Company name	Last trading date	Delisted date
NIL			

Company Name Changes for GEM

for 2nd quarter 2016

Code	Old name	New name	Effective date	Adoption date
08326	JC Group Holdings Ltd.	Tonking New Energy Group Holdings Ltd.	2016/04/05	2016/05/16
08173	Pan Asia Mining Ltd.	Union Asia Enterprise Holdings Ltd.	2016/04/11	2016/05/31
08068	New Universe International Group Ltd.	New Universe Environmental Group Ltd.	2016/05/06	2016/06/01

GEM EQUITIES

Bonus Issues / Bonus Warrants for GEM

for 2nd quarter 2016

Code	Company	Particulars	Ex-date
08081	Interactive Entertainment China Cultural Technology Inv Ltd.	2 for 10	2016/05/11

Share Split / Consolidation for GEM

for 2nd quarter 2016

Code	Company	Particulars	Effective date
08173	Union Asia Enterprise Holdings Ltd.	Consolidation 8 into 1	2016/04/11
08018	Finsoft Financial Investment Holdings Ltd.	Consolidation 10 into 1	2016/04/19
08265	Powerwell Pacific Holdings Ltd.	Split 1 into 5	2016/05/04
08261	Haitian Hydropower International Ltd.	Split 1 into 4	2016/05/17
08246	Northern New Energy Holdings Ltd.	Split 1 into 8	2016/05/20
08100	GET Holdings Ltd.	Consolidation 5 into 1	2016/05/24
08006	Sino Splendid Holdings Ltd.	Consolidation 20 into 1	2016/06/10
08186	IR Resources Ltd.	Consolidation 10 into 1	2016/06/22
08195	L & A International Holdings Ltd.	Split 1 into 5	2016/06/23
08179	Food Idea Holdings Ltd.	Consolidation 10 into 1	2016/06/28

Rights Issues & Open Offers for GEM

for 2nd quarter 2016

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Apr	08120	China Demeter Investments Ltd.	Open offer 1 for 2 @\$0.10	19.73
	08109	Creative Energy Solutions Holdings Ltd.	Open offer 5 for 2 @\$0.12 (after consolidation 10 into 1)	102.71
May	08173	Union Asia Enterprise Holdings Ltd.	Rts 8 for 1 @\$0.112 (after consolidation 8 into 1)	283.33
	08148	Aurum Pacific (China) Group Ltd.	Open offer 1 for 2 @\$0.24	60.48
	08086	DX.com Holdings Ltd.	Rts 1 for 1 @\$0.128	86.07
	08018	Finsoft Financial Investment Holdings Ltd.	Rts 1 for 2 @\$0.324 (after consolidation 10 into 1)	77.76
	08112	Focus Media Network Ltd.	Rts 5 for 1 @\$0.068	130.00
	08186	IR Resources Ltd.	Rts 10 for 1 @\$0.01	262.40
Total				1,022.48

GEM EQUITIES

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2016					
Apr	08175	C DIGITAL CUL	312,500,000	0.4800	150.00
	08212	CELEBRATE INT	44,376,000	0.2500	11.09
	08060	GLOBAL LINK	1,000,000,000	0.0800	80.00
	08227	HT ANTENNA HOLD	92,000,000	0.3300	30.36
	08163	MERDEKA FIN	125,000,000	0.1190	14.88
	08256	NETEL	27,000,000	0.1000	2.70
	08250	SILK RD ENERGY	1,046,260,000	0.1950	204.02
May	08206	C COMM TELE SER	361,000,000	0.5000	180.50
	08166	CHINA ECO-FARM	420,000,000	0.1000	42.00
	08178	CHINA INFO TECH	1,830,792,000	0.1300	238.00
	08109	CREATIVE ENERGY	68,460,000	0.1450	9.93
	08310	DAFENG PORT	168,000,000	0.5800	97.44
	08059	GLORY FLAME	90,000,000	0.7200	64.80
	08011	POLYARD PETRO	60,000,000	0.4140	24.84
	08260	YIN HE HLDGS	25,000,000	0.6400	16.00
Jun	08212	CELEBRATE INT	53,248,000	0.2250	11.98
	08030	FLYING FIN	200,000,000	0.9450	189.00
	08163	MERDEKA FIN	270,000,000	0.0920	24.84
	08256	NETEL	11,000,000	0.1160	1.28
	08097	PINESTONE	110,000,000	0.5500	60.50
	08011	POLYARD PETRO	50,000,000	0.4140	20.70
	08201	PPS INT'L	300,000,000	0.0850	25.50
	08082	SAGE INT'L GP	138,000,000	0.1400	19.32
	08226	SUNRISE C TECH	227,500,000	0.1580	35.95
	08260	YIN HE HLDGS	30,000,000	0.6850	20.55
Total					1,576.17

* Due to the reporting time-lag, placing figures for the quarter are provisional.

GEM WARRANTS

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2015	Q2	0	0.00	0.00
	Q3	1	41.31	18.74
	Q4	1	4.37	9.86
2016	Q1	2	2.64	99.62
	Q2	2	31.57	72.78

Newly Listed Equity GEM Warrants

for 2nd quarter 2016

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					-

STOCK MARKET INDICES

Hang Seng Index



S&P/HKEX LargeCap Index



S&P/HKEX GEM Index



STOCK MARKET INDICES

Hong Kong Stock Market Indices

Index	End of Jun 2016 Close	End of Mar 2016 Close	Change %
S&P/HKEX LargeCap Index	25732.40	25534.34	+ 0.78%
S&P/HKEX GEM Index	400.97	422.76	- 5.15%
HANG SENG INDEX	20794.37	20776.70	+ 0.09%
SECTORIAL INDICES			
Finance	26930.21	27125.40	- 0.72%
Utilities	52962.03	52843.39	+ 0.22%
Properties	29566.15	28973.39	+ 2.05%
Commerce & Industry	12636.24	12579.84	+ 0.45%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	3624.86	3772.08	- 3.90%
HANG SENG CHINA ENTERPRISES INDEX	8712.89	9003.25	- 3.23%

STOCK MARKET INDICES

World Indices

Index	End of Jun 2016 Close	End of Mar 2016 Close	Change %
HONG KONG			
S&P/HKEX LargeCap Index	25732.40	25534.34	+ 0.78%
Hang Seng Index	20794.37	20776.70	+ 0.09%
AUSTRALIA			
All Ordinaries Index	5310.41	5151.79	+ 3.08%
JAKARTA			
Composite Index	5016.65	4845.37	+ 3.53%
JAPAN			
Nikkei (225)	15575.92	16758.67	- 7.06%
KOREA			
KOSPI Index	1970.35	1995.85	- 1.28%
KUALA LUMPUR			
Composite Index	1654.08	1717.58	- 3.70%
MANILA			
Composite Index	7796.25	7262.30	+ 7.35%
NEW ZEALAND			
NZSE (50)	6897.53	6752.42	+ 2.15%
SHANGHAI			
A Shares Index	3066.50	3143.56	- 2.45%
B Shares Index	346.75	375.16	- 7.57%
SHENZHEN			
A Shares Index	2065.49	2000.02	+ 3.27%
B Shares Index	1100.25	1144.26	- 3.85%
SINGAPORE			
Straits Times Index	2840.93	2840.90	+ 0.00%
TAIWAN			
Taipei Weighted Stock Index	8666.58	8744.83	- 0.89%
THAILAND			
SET Index	1444.99	1407.70	+ 2.65%
NEW YORK			
DJIA	17929.99	17685.09	+ 1.38%
Nasdaq Composite Index	4842.67	4869.85	- 0.56%
TORONTO			
S&P/TSX Composite Index	14064.54	13494.36	+ 4.23%
GERMANY			
DAX Kursindex	4713.91	4980.74	- 5.36%
LONDON			
FTSE 100 Index	6504.33	6174.90	+ 5.33%

DERIVATIVES MARKET – FUTURES AND OPTIONS

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2016	2nd Quarter 2015
Hang Seng Index Futures	8,405,492	4,892,457
Hang Seng Index Options	2,405,701	2,107,749
Mini-Hang Seng Index Futures	3,282,211	2,535,820
Mini-Hang Seng Index Options	343,509	301,902
H-shares Index Futures	8,563,874	9,273,172
H-shares Index Options	4,405,132	3,609,899
Mini H-shares Index Futures	1,196,358	2,257,351
Flexible Hang Seng Index Options	560	0
Flexible H-shares Index Options	4,264	17,730
HSI Dividend Point Index Futures	7,862	3,271
HSCEI Dividend Point Index Futures	137,628	19,946
HSI Volatility Index Futures	55	235
CES China 120 Index Futures	130	9,403
CES Gaming Top 10 Index Futures ¹	0	-
Hang Seng Mainland Oil & Gas Index Futures ²	0	-
Hang Seng Mainland Banks Index Futures ³	74	-
Hang Seng Mainland Healthcare Index Futures ⁴	0	-
Hang Seng Mainland Properties Index Futures ⁵	0	-
Hang Seng IT Hardware Index Futures ⁶	0	-
Hang Seng Software & Services Index Futures ⁷	0	-
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures	0	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	50,158	273,812
Stock Options	18,146,397	31,431,575
Three-month HIBOR Futures	0	0
One-month HIBOR Futures	0	0
Three-year Exchange Fund Note Futures ⁸	-	0
RMB Currency Futures – USD/CNH Futures	80,661	34,390
RMB Currency Futures – EUR/CNH Futures ⁹	21	-
RMB Currency Futures – JPY/CNH Futures ¹⁰	0	-
RMB Currency Futures – AUD/CNH Futures ¹¹	0	-
RMB Currency Futures – CNH/USD Futures ¹²	321	-
Gold Futures ¹³	-	-
London Aluminium Mini Futures	734	2,054
London Zinc Mini Futures	7,049	3,450
London Copper Mini Futures	921	5,973
London Lead Mini Futures ¹⁴	4	-
London Nickel Mini Futures ¹⁵	2,314	-
London Tin Mini Futures ¹⁶	5	-
Total	47,041,435	56,780,189

¹ Trading in CES Gaming Top 10 Index Futures commenced on 09 May 2016

² Trading in Hang Seng Mainland Oil & Gas Index Futures commenced on 09 May 2016

³ Trading in Hang Seng Mainland Banks Index Futures commenced on 09 May 2016

⁴ Trading in Hang Seng Mainland Healthcare Index Futures commenced on 09 May 2016

⁵ Trading in Hang Seng Mainland Properties Index Futures commenced on 09 May 2016

⁶ Trading in Hang Seng IT Hardware Index Futures commenced on 09 May 2016

⁷ Trading in Hang Seng Software & Services Index Futures commenced on 09 May 2016

⁸ Trading in 3-Year Exchange Fund Note Futures suspended with effect from 14 Sep 2015

⁹ Trading in RMB Currency Futures – EUR/CNH Futures commenced on 30 May 2016

¹⁰ Trading in RMB Currency Futures – JPY/CNH Futures commenced on 30 May 2016

¹¹ Trading in RMB Currency Futures – AUD/CNH Futures commenced on 30 May 2016

¹² Trading in RMB Currency Futures – CNH/USD Futures commenced on 30 May 2016

¹³ Trading in Gold Futures suspended with effect from 27 May 2015

¹⁴ Trading in London Lead Mini Futures commenced on 14 Dec 2015

¹⁵ Trading in London Nickel Mini Futures commenced on 14 Dec 2015

¹⁶ Trading in London Tin Mini Futures commenced on 14 Dec 2015

DERIVATIVES MARKET – FUTURES AND OPTIONS

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2015	Q2	4,892,457	120,329
	Q3	5,212,839	102,582
	Q4	7,209,070	97,118
2016	Q1	8,344,875	105,887
	Q2	8,405,492	104,573

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2015	Q2	2,535,820	10,316
	Q3	3,064,596	7,431
	Q4	2,611,709	7,556
2016	Q1	3,087,080	9,138
	Q2	3,282,211	10,857

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2015	Q2	2,107,749	202,300
	Q3	2,093,981	257,268
	Q4	1,622,372	172,564
2016	Q1	2,255,893	243,225
	Q2	2,405,701	226,187

H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2015	Q2	9,273,172	317,137
	Q3	9,576,380	349,891
	Q4	7,894,548	384,767
2016	Q1	9,089,155	357,894
	Q2	8,563,874	366,688

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2015	Q2	30,404.09	31,431,575	7,635,003
	Q3	22,706.73	23,674,156	6,679,004
	Q4	9,781.44	15,195,091	4,827,678
2016	Q1	11,846.68	17,289,771	5,368,449
	Q2	8,723.16	18,146,397	5,550,885

DERIVATIVES MARKET – FUTURES AND OPTIONS

Stock Options Premium by Class

for 2nd Quarter 2016

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	455.45	5.22%
ANHUI CONCH	ACC	29.01	0.33%
AIA	AIA	303.77	3.48%
CHALCO	ALC	3.11	0.04%
CAM CSI300	AMC	142.21	1.63%
BANKCOMM	BCM	17.13	0.20%
BANK OF E ASIA	BEA	9.48	0.11%
BELLE INT'L	BIH	15.47	0.18%
BOC HONG KONG	BOC	36.21	0.42%
BYD COMPANY	BYD	23.51	0.27%
CHINA COMM CONS	CCC	71.93	0.82%
CHINA COAL	CCE	8.46	0.10%
CHINA COSCO	CCS	1.10	0.01%
CHINA CINDA	CDA	3.76	0.04%
CGN POWER	CGN	4.14	0.05%
CHINA MOBILE	CHT	381.83	4.38%
CHINA UNICOM	CHU	40.74	0.47%
CITIC	CIT	18.96	0.22%
CKH HOLDINGS	CKH	186.40	2.14%
CK PROPERTY	CKP	15.73	0.18%
CHINA LIFE	CLI	605.46	6.94%
CLP HOLDINGS	CLP	29.01	0.33%
CM BANK	CMB	79.42	0.91%
CNOOC	CNC	192.33	2.20%
CHINA OVERSEAS	COL	150.18	1.72%
CATHAY PAC AIR	CPA	2.27	0.03%
SINOPEC CORP	CPC	108.10	1.24%
CPIC	CPI	63.03	0.72%
CHINA RAIL CONS	CRC	4.32	0.05%
CHINA RAILWAY	CRG	4.02	0.05%
CHINA RES LAND	CRL	30.95	0.35%
X WISECSI300ETF	CS3	0.05	0.00%
CSOP A50 ETF	CSA	558.80	6.41%
CHINA SHENHUA	CSE	54.13	0.62%
CITIC BANK	CTB	15.03	0.17%
CHINA TELECOM	CTC	18.24	0.21%
CITIC SEC	CTS	17.91	0.21%
DONGFENG GROUP	DFM	4.06	0.05%
ESPRIT HOLDINGS	ESP	0.21	0.00%
FIH	FIH	0.21	0.00%
GALAXY ENT	GLX	140.74	1.61%
GREATWALL MOTOR	GWM	13.42	0.15%
HAITONG SEC	HAI	73.91	0.85%
HS H-SHARE ETF	HCF	15.11	0.17%
POWER ASSETS	HEH	53.79	0.62%
HKEX	HEX	837.59	9.60%
HENGAN INT'L	HGN	14.12	0.16%
HSBC HOLDINGS	HKB	817.65	9.37%
HK & CHINA GAS	HKG	6.83	0.08%
HENDERSON LAND	HLD	23.44	0.27%
HUANENG POWER	HNP	27.45	0.31%
HANG SENG BANK	HSB	22.85	0.26%
JIANGXI COPPER	JXC	18.03	0.21%
KUNLUN ENERGY	KLE	22.74	0.26%
KINGSOFT	KSO	22.20	0.25%
LENOVO GROUP	LEN	66.09	0.76%
LI & FUNG	LIF	12.17	0.14%
MENGIU DAIRY	MEN	4.06	0.05%

DERIVATIVES MARKET – FUTURES AND OPTIONS

Options class		Premium (HK\$ mil.)	% of total
MGM CHINA	MGM	0.62	0.01%
MINSHENG BANK	MSB	74.00	0.85%
MTR CORPORATION	MTR	9.83	0.11%
CNBM	NBM	9.32	0.11%
NCI	NCL	22.59	0.26%
NEW WORLD DEV	NWD	7.91	0.09%
PING AN	PAI	517.24	5.93%
PETROCHINA	PEC	141.67	1.62%
PICC P&C	PIC	25.62	0.29%
PICC GROUP	PIN	7.45	0.09%
GCL-POLY ENERGY	PLE	1.99	0.02%
R&F PROPERTIES	RFP	1.01	0.01%
SANDS CHINA LTD	SAN	90.64	1.04%
SHK PPT	SHK	313.70	3.60%
STANCHART	STC	16.65	0.19%
SWIRE PACIFIC A	SWA	19.09	0.22%
TENCENT	TCH	862.95	9.89%
TRACKER FUND	TRF	12.89	0.15%
WHARF HOLDINGS	WHL	51.76	0.59%
WANT WANT CHINA	WWC	2.72	0.03%
ABC	XAB	117.23	1.34%
BANK OF CHINA	XBC	102.81	1.18%
CCB	XCC	224.06	2.57%
ICBC	XIC	171.62	1.97%
YANZHOU COAL	YZC	15.57	0.18%
ZIJIN MINING	ZJM	3.88	0.04%
Total		8,723.16	100.00%

DERIVATIVES MARKET – FUTURES AND OPTIONS

Stock Options Contract Volume by Class

for 2nd Quarter 2016

Options class		Contract volume	% of total
X ISHARES A50	A50	319,788	1.76%
ANHUI CONCH	ACC	72,642	0.40%
AIA	AIA	347,707	1.92%
CHALCO	ALC	11,315	0.06%
CAM CSI300	AMC	83,825	0.46%
BANKCOMM	BCM	91,942	0.51%
BANK OF E ASIA	BEA	59,914	0.33%
BELLE INT'L	BIH	47,923	0.26%
BOC HONG KONG	BOC	113,672	0.63%
BYD COMPANY	BYD	21,392	0.12%
CHINA COMM CONS	CCC	190,563	1.05%
CHINA COAL	CCE	28,241	0.16%
CHINA COSCO	CCS	6,681	0.04%
CHINA CINDA	CDA	6,739	0.04%
CGN POWER	CGN	4,210	0.02%
CHINA MOBILE	CHT	507,582	2.80%
CHINA UNICOM	CHU	73,073	0.40%
CITIC	CIT	37,196	0.20%
CKH HOLDINGS	CKH	236,640	1.30%
CK PROPERTY	CKP	11,406	0.06%
CHINA LIFE	CLI	693,333	3.82%
CLP HOLDINGS	CLP	44,970	0.25%
CM BANK	CMB	252,915	1.39%
CNOOC	CNC	606,948	3.34%
CHINA OVERSEAS	COL	130,315	0.72%
CATHAY PAC AIR	CPA	5,104	0.03%
SINOPEC CORP	CPC	249,648	1.38%
CPIC	CPI	79,846	0.44%
CHINA RAIL CONS	CRC	16,117	0.09%
CHINA RAILWAY	CRG	17,468	0.10%
CHINA RES LAND	CRL	28,403	0.16%
X WISECSI300ETF	CS3	214	0.00%
CSOP A50 ETF	CSA	472,244	2.60%
CHINA SHENHUA	CSE	241,309	1.33%
CITIC BANK	CTB	95,496	0.53%
CHINA TELECOM	CTC	63,379	0.35%
CITIC SEC	CTS	26,661	0.15%
DONGFENG GROUP	DFM	6,985	0.04%
ESPRIT HOLDINGS	ESP	3,457	0.02%
FIH	FIH	1,049	0.01%
GALAXY ENT	GLX	213,239	1.18%
GREATWALL MOTOR	GWM	111,913	0.62%
HAITONG SEC	HAI	66,313	0.37%
HS H-SHARE ETF	HCF	13,189	0.07%
POWER ASSETS	HEH	93,658	0.52%
HKEX	HEX	1,915,228	10.55%
HENGAN INT'L	HGN	18,528	0.10%
HSBC HOLDINGS	HKB	1,554,513	8.57%
HK & CHINA GAS	HKG	25,260	0.14%
HENDERSON LAND	HLD	22,563	0.12%
HUANENG POWER	HNP	49,784	0.27%
HANG SENG BANK	HSB	113,109	0.62%
JIANGXI COPPER	JXC	37,305	0.21%
KUNLUN ENERGY	KLE	24,725	0.14%
KINGSOFT	KSO	37,041	0.20%
LENOVO GROUP	LEN	134,312	0.74%
LI & FUNG	LIF	27,740	0.15%
MENGIU DAIRY	MEN	9,675	0.05%

DERIVATIVES MARKET – FUTURES AND OPTIONS

Options class		Contract volume	% of total
MGM CHINA	MGM	2,873	0.02%
MINSHENG BANK	MSB	94,146	0.52%
MTR CORPORATION	MTR	31,877	0.18%
CNBM	NBM	27,842	0.15%
NCI	NCL	13,285	0.07%
NEW WORLD DEV	NWD	27,055	0.15%
PING AN	PAI	1,062,960	5.86%
PETROCHINA	PEC	307,797	1.70%
PICC P&C	PIC	34,544	0.19%
PICC GROUP	PIN	9,297	0.05%
GCL-POLY ENERGY	PLE	4,912	0.03%
R&F PROPERTIES	RFP	4,812	0.03%
SANDS CHINA LTD	SAN	257,160	1.42%
SHK PPT	SHK	141,475	0.78%
STANCHART	STC	132,637	0.73%
SWIRE PACIFIC A	SWA	28,190	0.16%
TENCENT	TCH	2,520,175	13.89%
TRACKER FUND	TRF	41,797	0.23%
WHARF HOLDINGS	WHL	42,488	0.23%
WANT WANT CHINA	WWC	11,882	0.07%
ABC	XAB	92,273	0.51%
BANK OF CHINA	XBC	804,601	4.43%
CCB	XCC	1,441,377	7.94%
ICBC	XIC	1,188,583	6.55%
YANZHOU COAL	YZC	27,846	0.15%
ZIJIN MINING	ZJM	18,106	0.10%
Total		18,146,397	100.00%

CLEARING & SETTLEMENT

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2015	Q2	122,363	72.45	372.55
	Q3	101,225	58.26	243.97
	Q4	89,652	47.71	188.39
2016	Q1	85,208	47.09	170.11
	Q2	81,388	47.01	183.39

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2015	Q2	716	231.32	289.16
	Q3	390	193.07	220.27
	Q4	267	61.49	101.52
2016	Q1	249	129.91	89.17
	Q2	272	102.77	169.31

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2015	Q2	4,952.17	73.78	17,891.63	57.24
	Q3	5,397.98	74.44	14,074.11	56.13
	Q4	5,467.21	74.50	15,071.15	56.58
2016	Q1	5,558.03	74.67	14,395.88	56.61
	Q2	5,694.08	73.49	14,309.49	49.13

Share quantity and market value of securities in non-HKD currency are included

CLEARING & SETTLEMENT

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2015	Q2	1,864,260	100	242,342.57	100	158,049.69	100
	Q3	1,449,054	100	226,605.64	100	98,705.62	100
	Q4	1,106,299	100	210,829.38	100	70,729.28	100
2016	Q1	1,196,563	100	221,073.88	100	72,576.98	100
	Q2	1,030,032	100	191,095.88	100	62,524.66	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2015	Q2	119,864	96.54	51,102.24	88.81
	Q3	85,219	96.81	30,285.88	93.26
	Q4	72,118	96.46	24,746.99	93.92
2016	Q1	69,511	96.91	24,993.76	94.24
	Q2	66,419	96.57	23,875.24	93.49

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2015	Q2	99.96	99.99
	Q3	99.93	99.99
	Q4	99.92	99.99
2016	Q1	99.93	99.99
	Q2	99.92	99.99

CLEARING & SETTLEMENT

Open Interest by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2016	2nd Quarter 2015
Hang Seng Index Futures	104,573	120,329
Hang Seng Index Options	226,187	202,300
Mini-Hang Seng Index Futures	10,857	10,316
Mini-Hang Seng Index Options	8,813	7,438
H-shares Index Futures	366,688	317,137
H-shares Index Options	2,069,046	1,354,820
Mini H-shares Index Futures	4,920	9,041
Flexible Hang Seng Index Options	2,975	100
Flexible H-shares Index Options	12,683	35,290
HSI Dividend Point Index Futures	7,081	5,081
HSCEI Dividend Point Index Futures	172,653	53,425
HSI Volatility Index Futures	7	13
CES China 120 Index Futures	11	197
CES Gaming Top 10 Index Futures ¹	0	-
Hang Seng Mainland Oil & Gas Index Futures ²	0	-
Hang Seng Mainland Banks Index Futures ³	5	-
Hang Seng Mainland Healthcare Index Futures ⁴	0	-
Hang Seng Mainland Properties Index Futures ⁵	0	-
Hang Seng IT Hardware Index Futures ⁶	0	-
Hang Seng Software & Services Index Futures ⁷	0	-
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures	0	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	5,648	27,123
Stock Options	5,550,885	7,635,003
Three-month HIBOR Futures	0	30
One-month HIBOR Futures	0	0
Three-year Exchange Fund Note Futures ⁸	-	0
RMB Currency Futures – USD/CNH Futures	27,819	7,583
RMB Currency Futures – EUR/CNH Futures ⁹	11	-
RMB Currency Futures – JPY/CNH Futures ¹⁰	0	-
RMB Currency Futures – AUD/CNH Futures ¹¹	0	-
RMB Currency Futures – CNH/USD Futures ¹²	174	-
Gold Futures ¹³	-	-
London Aluminium Mini Futures	76	136
London Zinc Mini Futures	178	284
London Copper Mini Futures	70	121
London Lead Mini Futures ¹⁴	0	-
London Nickel Mini Futures ¹⁵	37	-
London Tin Mini Futures ¹⁶	0	-
Total	8,571,397	9,785,767

CLEARING & SETTLEMENT

- ¹ Trading in CES Gaming Top 10 Index Futures commenced on 09 May 2016
- ² Trading in Hang Seng Mainland Oil & Gas Index Futures commenced on 09 May 2016
- ³ Trading in Hang Seng Mainland Banks Index Futures commenced on 09 May 2016
- ⁴ Trading in Hang Seng Mainland Healthcare Index Futures commenced on 09 May 2016
- ⁵ Trading in Hang Seng Mainland Properties Index Futures commenced on 09 May 2016
- ⁶ Trading in Hang Seng IT Hardware Index Futures commenced on 09 May 2016
- ⁷ Trading in Hang Seng Software & Services Index Futures commenced on 09 May 2016
- ⁸ Trading in 3-Year Exchange Fund Note Futures suspended with effect from 14 Sep 2015
- ⁹ Trading in RMB Currency Futures – EUR/CNH Futures commenced on 30 May 2016
- ¹⁰ Trading in RMB Currency Futures – JPY/CNH Futures commenced on 30 May 2016
- ¹¹ Trading in RMB Currency Futures – AUD/CNH Futures commenced on 30 May 2016
- ¹² Trading in RMB Currency Futures – CNH/USD Futures commenced on 30 May 2016
- ¹³ Trading in Gold Futures suspended with effect from 27 May 2015
- ¹⁴ Trading in London Lead Mini Futures commenced on 14 Dec 2015
- ¹⁵ Trading in London Nickel Mini Futures commenced on 14 Dec 2015
- ¹⁶ Trading in London Tin Mini Futures commenced on 14 Dec 2015

CLEARING & SETTLEMENT

Stock Options Open Interest by Class

as at the end of 2nd Quarter 2016

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	104,696	1.89
ANHUI CONCH	ACC	31,295	0.56
AIA	AIA	87,953	1.58
CHALCO	ALC	4,613	0.08
CAM CSI300	AMC	16,226	0.29
BANKCOMM	BCM	39,470	0.71
BANK OF E ASIA	BEA	9,720	0.18
BELLE INT'L	BIH	9,670	0.17
BOC HONG KONG	BOC	38,108	0.69
BYD COMPANY	BYD	9,131	0.16
CHINA COMM CONS	CCC	46,500	0.84
CHINA COAL	CCE	12,820	0.23
CHINA COSCO	CCS	3,844	0.07
CHINA CINDA	CDA	3,624	0.07
CGN POWER	CGN	1,414	0.03
CHINA MOBILE	CHT	98,982	1.78
CHINA UNICOM	CHU	45,400	0.82
CITIC	CIT	18,043	0.33
CKH HOLDINGS	CKH	54,842	0.99
CK PROPERTY	CKP	3,597	0.06
CHINA LIFE	CLI	240,636	4.34
CLP HOLDINGS	CLP	7,690	0.14
CM BANK	CMB	122,023	2.20
CNOOC	CNC	212,202	3.82
CHINA OVERSEAS	COL	42,173	0.76
CATHAY PAC AIR	CPA	2,023	0.04
SINOPEC CORP	CPC	123,212	2.22
CPIC	CPI	31,407	0.57
CHINA RAIL CONS	CRC	6,054	0.11
CHINA RAILWAY	CRG	3,675	0.07
CHINA RES LAND	CRL	14,245	0.26
X WISECSI300ETF	CS3	147	0.00
CSOP A50 ETF	CSA	97,002	1.75
CHINA SHENHUA	CSE	51,959	0.94
CITIC BANK	CTB	43,727	0.79
CHINA TELECOM	CTC	32,041	0.58
CITIC SEC	CTS	7,748	0.14
DONGFENG GROUP	DFM	1,928	0.03
ESPRIT HOLDINGS	ESP	2,410	0.04
FIH	FIH	359	0.01
GALAXY ENT	GLX	39,940	0.72
GREATWALL MOTOR	GWM	35,874	0.65
HAITONG SEC	HAI	24,915	0.45

CLEARING & SETTLEMENT

Options class		Open interest (contracts)	% of total
HS H-SHARE ETF	HCF	3,069	0.06
POWER ASSETS	HEH	13,359	0.24
HKEX	HEX	379,502	6.84
HENGAN INT'L	HGN	3,140	0.06
HSBC HOLDINGS	HKB	416,018	7.49
HK & CHINA GAS	HKG	5,814	0.10
HENDERSON LAND	HLD	6,319	0.11
HUANENG POWER	HNP	12,806	0.23
HANG SENG BANK	HSB	59,283	1.07
JIANGXI COPPER	JXC	8,478	0.15
KUNLUN ENERGY	KLE	7,175	0.13
KINGSOFT	KSO	11,260	0.20
LENOVO GROUP	LEN	70,448	1.27
LI & FUNG	LIF	7,595	0.14
MENGNIU DAIRY	MEN	2,582	0.05
MGM CHINA	MGM	323	0.01
MINSHENG BANK	MSB	66,681	1.20
MTR CORPORATION	MTR	5,748	0.10
CNBM	NBM	10,654	0.19
NCI	NCL	6,852	0.12
NEW WORLD DEV	NWD	7,415	0.13
PING AN	PAI	448,479	8.08
PETROCHINA	PEC	134,279	2.42
PICC P&C	PIC	14,068	0.25
PICC GROUP	PIN	4,493	0.08
GCL-POLY ENERGY	PLE	2,502	0.05
R&F PROPERTIES	RFP	1,301	0.02
SANDS CHINA LTD	SAN	59,640	1.07
SHK PPT	SHK	27,692	0.50
STANCHART	STC	21,157	0.38
SWIRE PACIFIC A	SWA	9,165	0.17
TENCENT	TCH	542,376	9.77
TRACKER FUND	TRF	19,548	0.35
WHARF HOLDINGS	WHL	11,856	0.21
WANT WANT CHINA	WWC	3,740	0.07
ABC	XAB	40,158	0.72
BANK OF CHINA	XBC	417,944	7.53
CCB	XCC	389,436	7.02
ICBC	XIC	499,065	8.99
YANZHOU COAL	YZC	9,027	0.16
ZIJIN MINING	ZJM	7,100	0.13
Total		5,550,885	100.00

EXCHANGE PARTICIPANTS STATISTICS

Stock Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2015	Q2	553 (962)	500 (900)	37 (46)	16 (16)
	Q3	556 (965)	505 (905)	35 (44)	16 (16)
	Q4	566 (975)	515 (915)	35 (44)	16 (16)
2016	Q1	575 (981)	526 (925)	33 (40)	16 (16)
	Q2	582 (985)	530 (924)	36 (45)	16 (16)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2015	Q2	230 (254)	177 (199)	-	53 (55)
	Q3	230 (254)	177 (199)	-	53 (55)
	Q4	232 (256)	179 (201)	-	53 (55)
2016	Q1	230 (253)	177 (198)	-	53 (55)
	Q2	229 (252)	176 (197)	-	53 (55)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

CLEARING PARTICIPANTS STATISTICS

HKCC Participants

Year/month		Clearing Participants	General Clearing Participants
2015	Q2	150	9
	Q3	149	9
	Q4	151	9
2016	Q1	148	10
	Q2	148	9

SEOCH Participants

Year/month		Direct Clearing Participants	General Clearing Participants
2015	Q2	68	9
	Q3	67	9
	Q4	69	9
2016	Q1	66	10
	Q2	66	9

HKSCC Participants

Year/month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2015	Q2	478	10	42
	Q3	482	10	43
	Q4	492	10	43
2016	Q1	503	9	43
	Q2	507	9	43

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

ACRONYMS

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEX	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
L&I Products	Leveraged and Inverse Products
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities