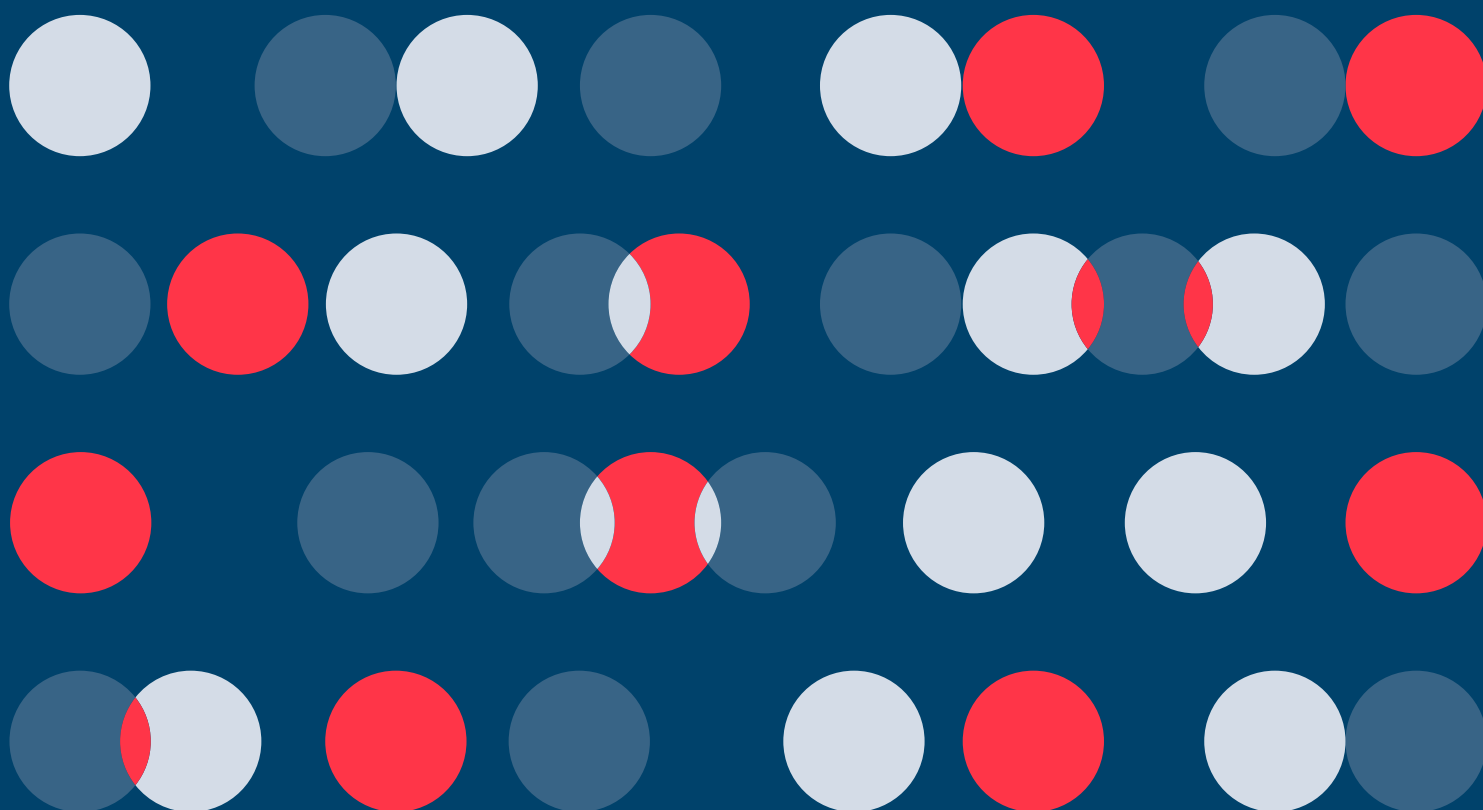


證券及衍生產品市場季報

2018年第二季



市場概況

主板

概況	2018年第2季	2017年第2季
上市公司數目	1,848	1,746
上市證券數目	12,969	9,930
股份		
普通股	1,849	1,747
優先股	16	10
認股權證	7,190	4,368
股份	1	8
衍生	7,189	4,360
股票掛鈎票據	0	0
牛熊證	2,650	2,667
單位信託及互惠基金	147	168
債券	1,117	970
非上市可交易股份數目		
納斯達克股份	6	6
iShares	0	2
交易日數	60	59
總成交金額(百萬港元)*	6,369,437.22	4,548,613.07
總成交股數(百萬股)	12,136,712.34	9,052,922.60
總成交宗數(宗數)	97,354,946	66,569,027
上市公司市價總值(百萬港元)	32,855,932.37	28,412,843.17
平均市盈率(倍)	11.76	14.04
平均週息率(百分率)	3.32	2.96
交易所參與者數目		
開業	635	589
非開業	30	34
成交金額(百萬港元)		
最高	203,754.12 (31/05)	116,954.88 (09/06)
最低	77,375.91 (11/06)	60,803.62 (29/05)
成交股數(百萬股)		
最高	269,204.75 (26/04)	222,423.42 (07/04)
最低	144,608.15 (13/06)	104,586.93 (29/05)
總成交宗數(宗數)		
最高	2,302,822 (19/06)	1,647,294 (05/04)
最低	1,289,204 (11/06)	877,364 (29/05)

* 成交金額包括非港元交易買賣的證券

市場概況

GEM

概況	2018年第2季	2017年第2季
上市公司數目	367	288
上市證券數目	368	289
股份	367	288
認股權證	1	1
交易日數	60	59
總成交金額(百萬港元)*	41,444.89	42,516.61
總成交股數(百萬股)	84,816.78	144,650.63
總成交宗數(宗數)	1,252,478	1,348,491
上市公司市價總值(百萬港元)	248,066.40	267,662.50
平均市盈率(倍)	42.35	36.51
平均週息率(百分率)	0.27	0.34
成交金額(百萬港元)		
最高	1,908.70 (31/05)	1,043.16 (27/06)
最低	388.95 (30/04)	458.56 (24/04)
成交股數(百萬股)		
最高	2,871.69 (31/05)	9,113.39 (28/06)
最低	918.61 (30/04)	1,324.44 (02/05)
總成交宗數(宗數)		
最高	40,272 (16/04)	51,033 (27/06)
最低	11,626 (30/04)	13,770 (03/04)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2018年第2季	2017年第2季
所有期貨及期權產品(股票期權除外)		
交易日數	60	59
總合約成交量	38,470,936	25,254,553
股市指數產品	37,909,593	25,072,981
股票產品	121,288	31,094
國債期貨*	-	6,680
利率產品	441	0
人民幣貨幣產品	389,712	142,975
黃金期貨#	48,347	-
鐵礦石期貨^	964	-
倫敦金屬期貨小型合約	591	823
平均每日合約成交量	641,182	428,043
季末未平倉合約		
股市指數產品	3,819,002	3,732,312
股票產品	3,769,726	3,691,480
國債期貨*	16,417	6,563
利率產品	-	666
人民幣貨幣產品	456	40
黃金期貨#	32,134	33,553
鐵礦石期貨^	208	-
倫敦金屬期貨小型合約	54	-
	7	10

* 國債期貨由2017年4月10日開始買賣及由2017年12月08日停止買賣

黃金期貨由2017年7月10日開始買賣

^ 鐵礦石期貨由2017年11月13日開始買賣

股票期權		
新開辦期權類別	0	3
股票期權數目(於季末數目)		
類別	89	87
系列	34,978	31,958
期權金總額(百萬港元)		
認購	20,957.48	9,477.49
認沽	8,966.77	5,291.19
平均每日期權金總額(百萬港元)	11,990.71	4,186.30
總合約成交量	349.29	160.64
認購	28,891,853	21,947,446
認沽	14,168,985	11,605,403
平均每日合約成交量	14,722,868	10,342,043
總成交宗數	481,531	371,991
認購	9,255,436	7,582,918
認沽	4,478,540	3,699,782
總成交宗數	4,776,896	3,883,136
平均每日成交宗數	1,117,354	791,693
已行使合約總數	18,623	13,419
每張合約平均期權金(港元)	2,780,590	2,092,871
每宗交易平均合約數目	725.38	431.83
	26	28
認沽數量／認購數量	1.04	0.89
平均每日合約成交量／未平倉合約	5.2%	4.9%

所有期貨及期權產品		
總合約成交量	67,362,789	47,201,999
季末未平倉合約	13,074,438	11,315,230

市場概況

結算及交收

中央結算系統營運資料	2018年第2季	2017年第2季
經中央結算系統處理之聯交所交易(每日平均數)		
- 交易宗數	1,633,677	1,150,564
- 交易金額(十億港元)	106.6	77.4
- 涉及股數(十億股)	203.7	155.9
經中央結算系統處理的交收指示(每日平均數)		
- 交收指示數目	104,188	89,205
- 交收指示涉及金額(十億港元)	291.7	224.8
- 涉及股數(十億股)	50.8	48.9
經中央結算系統處理的投資者交收指示(每日平均數)		
- 交收指示數目	303	321
- 交收指示涉及金額(百萬港元)	190.5	147.6
- 涉及股數(百萬股)	352.6	212.6
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.87	99.91
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
- 涉及經紀數目	4	2
- 補購宗數	5	2
- 補購涉及金額(百萬港元)	4.7	1.2
存放於中央結算系統證券存管處的股份*		
- 股數(十億股)	6,663.6	6,264.7
- 獲納入系統的證券佔已發行股本總數的百分比	76.69	75.18
- 股份價值(十億港元)	21,197.1	18,013.1
- 獲納入系統的證券佔市價總值的百分比	59.56	57.34

衍生產品結算及交收系統營運資料	2018年第2季	2017年第2季
季末未平倉合約		
- 股市指數期貨	612,543	610,090
- 股票期貨	16,417	6,563
- 五年期中國財政部國債期貨 [^]	-	666
- 利率期貨	456	40
- 人民幣貨幣期貨	28,161	32,516
- 黃金期貨 ⁺	208	-
- 鐵礦石期貨 ^{^^}	54	-
- 倫敦金屬期貨小型合約	7	10
- 股市指數期權	3,157,183	3,081,390
- 股票期權	9,255,436	7,582,918
- 人民幣貨幣期權	3,973	1,037
累積行使股票期權		
- 涉及股數(百萬股)	2,554	1,944
- 涉及金額(十億港元)	70	41

* 股數及市價總值包括非港元證券

[^] 五年期中國財政部國債期貨由2017年4月10日開始買賣及由2017年12月08日停止買賣

⁺ 黃金期貨由2017年7月10日開始買賣

^{^^} 鐵礦石期貨由2017年11月13日開始買賣

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2017	第 2 季	888,545.35	3,419,708.45	55,686,986
	第 3 季	961,543.54	4,503,339.92	65,867,472
	第 4 季	905,785.89	4,868,645.15	66,454,083
2018	第 1 季	922,529.87	6,442,430.45	82,448,315
	第 2 季	764,395.06	4,893,924.22	74,184,981

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2017	第 2 季	59	57,961.16	61,409
	第 3 季	64	70,364.69	68,370
	第 4 季	61	79,813.85	73,263
2018	第 1 季	61	105,613.61	78,139
	第 2 季	60	81,565.40	65,969

主 板 股 份

20種升幅最大之股份

2018年第2季

順次	股份代號	股份	收市價		升幅
			2018年6月底	2018年3月底	
1	01647	SHIS LTD	1.870	0.700	167.14
2	01889	三愛健康集團	0.520	0.200	160.00
3	00758	JUNEFIELD GROUP	0.630	0.260	142.31
4	00708	恒大健康	7.300	3.090	136.25
5	01611	桐成控股	2.520	1.150	119.13
6	00082	第一視頻	0.480	0.220	118.18
7	01660	兆邦基地產	8.800	4.390	100.46
8	00241	阿里健康	7.570	3.900	94.10
9	01106	中國海景	0.300	0.159	88.68
10	00456	新城市建設發展	0.590	0.330	78.79
11	01220	志道國際	1.200	0.680	76.47
12	01347	華虹半導體	26.950	15.540	73.42
13	00556	泛亞環保	1.500	0.870	72.41
14	01709	雲裳衣	0.600	0.350	71.43
15	01298	天美(控股)	3.940	2.300	71.30
16	00653	卓悅控股	0.380	0.227	67.40
17	00264	中璽國際	1.480	0.900	64.44
18	00496	卡森國際	2.950	1.830	61.20
19	01822	弘達金融控股	0.295	0.183	61.20
20	02083	大自然家居	1.640	1.020	60.78

A 經調整

20種跌幅最大之股份

2018年第2季

順次	股份代號	股份	收市價		跌幅
			2018年6月底	2018年3月底	
1	00279	民眾金融科技	0.088	1.400	-93.71
2	01369	五洲國際	0.063	0.770	-91.82
3	02200	浩沙國際	0.290	2.780	-89.57
4	01439	移動互聯(中國)	0.385	2.190	-82.42
5	03326	保發集團	0.710	3.380	-78.99
6	00378	五龍動力	0.151	0.570	-73.51
7	00932	順騰國際控股	0.325	1.150	-71.74
8	01536	煜榮集團	0.530	1.840	-71.20
9	00633	中國全通	0.800	2.500	-68.00
10	02326	新源萬恒控股	0.055	0.161	-65.84
11	01322	創達科技控股	0.440	1.220	-63.93
12	01219	天喔國際	0.465	1.280	-63.67
13	02277	華融投資股份	0.445	1.190	-62.61
14	00712	卡姆丹克太陽能	0.087	0.227	-61.67
15	00875	中國金控	0.094	0.240	-60.83
16	01103	大生農業金融	0.086	0.215	-60.00
17	01194	麥盛資本	0.320	0.800	-60.00
18	01239	TEAMWAY INTL GP	0.022	0.054	-59.26
19	00058	新威國際	0.200	0.470	-57.45
20	00486	俄鋁	2.050	4.750	-56.84

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2018年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00700	騰訊控股	598,529.59	12.23
2	02318	中國平安	240,861.43	4.92
3	00939	建設銀行	155,680.40	3.18
4	01398	工商銀行	103,304.43	2.11
5	01299	友邦保險	101,946.53	2.08
6	00388	香港交易所	88,726.17	1.81
7	00005	匯豐控股	81,744.58	1.67
8	03988	中國銀行	77,872.70	1.59
9	00941	中國移動	69,707.30	1.42
10	00386	中國石油化工股份	67,127.52	1.37
11	00883	中國海洋石油	66,756.14	1.36
12	00175	吉利汽車	64,563.04	1.32
13	02382	舜宇光學科技	63,970.09	1.31
14	00857	中國石油股份	59,629.33	1.22
15	02018	瑞聲科技	55,906.21	1.14
16	01093	石藥集團	51,952.89	1.06
17	00027	銀河娛樂	49,514.59	1.01
18	02628	中國人壽	47,942.35	0.98
19	02007	碧桂園	47,404.39	0.97
20	03968	招商銀行	40,197.48	0.82
總 額			2,133,337.17	43.59

20種成交最活躍股份(股數)

2018年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00279	民眾金融科技	42,050.95	5.50
2	00939	建設銀行	19,691.54	2.58
3	03988	中國銀行	18,919.82	2.48
4	01398	工商銀行	15,843.64	2.07
5	00582	藍鼎國際	13,089.95	1.71
6	00136	恒騰網絡	12,464.55	1.63
7	01051	國際資源	10,549.67	1.38
8	00857	中國石油股份	9,961.67	1.30
9	01288	農業銀行	9,445.11	1.24
10	00386	中國石油化工股份	8,946.32	1.17
11	01369	五洲國際	8,227.48	1.08
12	00729	五龍電動車	7,706.19	1.01
13	03800	保利協鑫能源	7,100.21	0.93
14	00486	俄鋁	5,525.31	0.72
15	00883	中國海洋石油	5,129.83	0.67
16	01194	麥盛資本	5,083.43	0.67
17	01060	阿里影業	4,970.01	0.65
18	01752	澳洲成峰高教	4,389.50	0.57
19	02799	中國華融	4,312.89	0.56
20	00621	壇金礦業	4,272.54	0.56
總 數			217,680.62	28.48

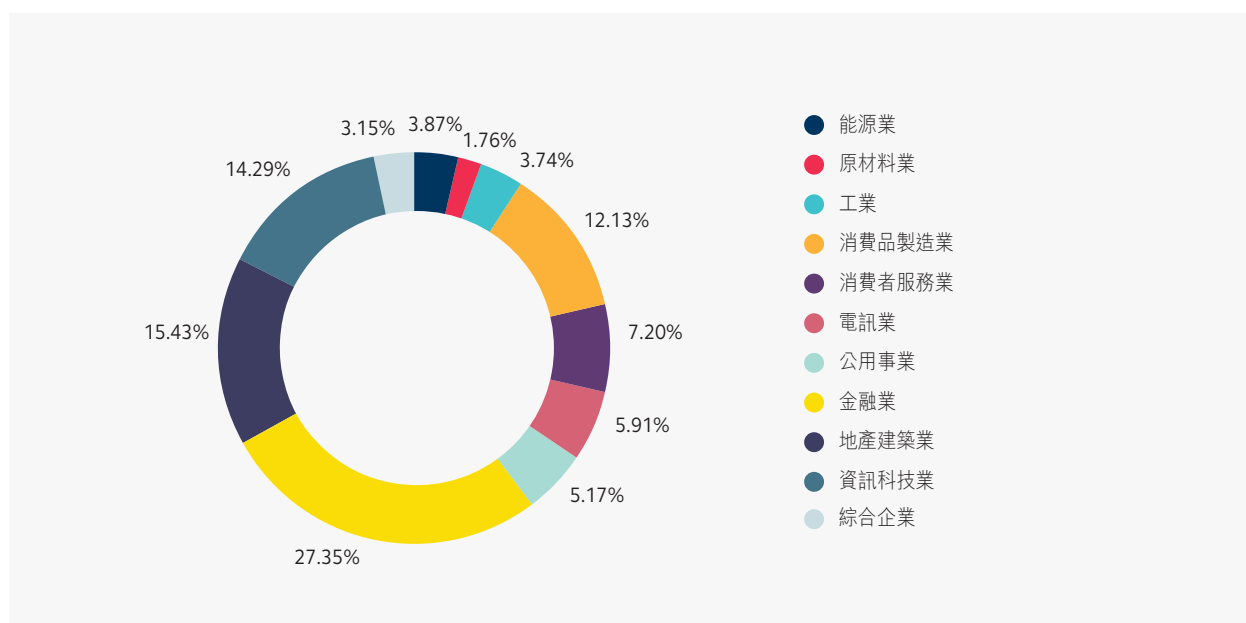
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2017	第 2 季	28,412,843.17
	第 3 季	31,140,799.89
	第 4 季	33,717,995.17
2018	第 1 季	34,138,548.54
	第 2 季	32,855,932.37

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2018年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00700	騰訊控股有限公司	3,742,712.19	11.39
2	00939	中國建設銀行股份有限公司－H股	1,743,025.57	5.31
3	00005	匯豐控股有限公司	1,496,381.61	4.55
4	00941	中國移動有限公司	1,427,141.16	4.34
5	01299	友邦保險控股有限公司	828,427.92	2.52
6	00883	中國海洋石油有限公司	604,526.55	1.84
7	02318	中國平安保險(集團)股份有限公司－H股	537,715.05	1.64
8	01398	中國工商銀行股份有限公司－H股	509,481.04	1.55
9	02378	英國保誠有限公司*	–	466,535.89 不適用
10	02388	中銀香港(控股)有限公司	390,664.23	1.19
11	00011	恒生銀行有限公司	375,103.54	1.14
12	00016	新鴻基地產發展有限公司	343,005.07	1.04
13	01928	金沙中國有限公司	338,963.85	1.03
14	03988	中國銀行股份有限公司－H股	325,290.66	0.99
15	00267	中國中信股份有限公司	321,738.30	0.98
16	00001	長江和記實業有限公司	320,958.85	0.98
17	02007	碧桂園控股有限公司	300,024.88	0.91
18	00762	中國聯合網絡通信(香港)股份有限公司	299,861.62	0.91
19	00388	香港交易及結算所有限公司	294,235.18	0.90
20	00688	中國海外發展有限公司	283,217.81	0.86
21	00945	Manulife Financial Corporation *	–	279,684.54 不適用
22	03333	中國恒大集團	263,567.58	0.80
23	00027	銀河娛樂集團有限公司	262,346.37	0.80
24	00066	香港鐵路有限公司	260,810.58	0.79
25	02888	渣打集團有限公司	240,851.70	0.73
26	00003	香港中華煤氣有限公司	231,103.90	0.70
27	01113	長江實業集團有限公司	230,354.16	0.70
28	00002	中電控股有限公司	213,485.07	0.65
29	03328	交通銀行股份有限公司－H股	210,421.29	0.64
30	01109	華潤置地有限公司	183,323.35	0.56
31	00175	吉利汽車控股有限公司	182,693.05	0.56
32	00012	恒基兆業地產有限公司	182,652.33	0.56
33	00386	中國石油化工股份有限公司－H股	178,849.20	0.54
34	01972	太古地產有限公司	169,650.00	0.52
35	01997	九龍倉置業地產投資有限公司	169,573.30	0.52
36	02382	舜宇光學科技(集團)有限公司	160,162.00	0.49
37	00384	中國燃氣控股有限公司	156,756.79	0.48
38	01038	長江基建集團有限公司	154,136.81	0.47
39	01177	中國生物製藥有限公司	152,159.01	0.46
40	02628	中國人壽保險股份有限公司－H股	150,683.79	0.46
41	01093	石藥集團有限公司	147,959.54	0.45
42	02313	申洲國際集團控股有限公司	145,587.09	0.44
43	02018	瑞聲科技控股有限公司	135,031.00	0.41
44	03968	招商銀行股份有限公司－H股	132,906.59	0.40
45	01128	永利澳門有限公司	131,223.20	0.40
46	00656	復星國際有限公司	126,727.15	0.39
47	00857	中國石油天然氣股份有限公司－H股	125,960.43	0.38
48	00960	龍湖地產有限公司	125,425.15	0.38
49	00291	華潤啤酒(控股)有限公司	123,603.14	0.38
50	01918	融創中國控股有限公司	120,846.13	0.37
總值			19,551,324.79	59.51
市場總值			32,855,932.37	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百份比
2017	第2季	504,352.77	4,591,129.68	10.99
	第3季	589,099.69	5,964,717.45	9.88
	第4季	648,919.41	6,544,565.64	9.92
2018	第1季	988,343.62	8,909,838.11	11.09
	第2季	772,146.39	6,410,882.11	12.04

* 包括主板及GEM市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百份比
2017	第2季	49,419.09	9,197,573.22	0.54
	第3季	55,768.04	10,542,397.64	0.53
	第4季	52,727.59	11,978,771.34	0.44
2018	第1季	73,962.52	15,407,195.88	0.48
	第2季	62,536.85	12,221,529.12	0.51

* 包括主板及GEM市場總股數

新上市統計—公司

		新上市 公司數目	GEM 公司轉板數目*	集資金額 (百萬港元)#
2017	第2季	13	3	40,164.53
	第3季	18	4	30,967.64
	第4季	30	5	39,356.51
2018	第1季	31	5	22,173.45
	第2季	20	2	24,826.14

* 祇包括引用《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由GEM轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2018年第2季

股份代號	公司	上市日期	已發行股數	集資金額(百萬港元)
01726	HKE Holdings Ltd.	2018/04/18	800,000,000	110.00
01671	天津天保能源股份有限公司－H股	2018/04/27	44,320,000	84.21
01833	平安健康醫療科技有限公司	2018/05/04	1,067,294,200	8,773.16
01742	HPC Holdings Ltd.	2018/05/11	1,600,000,000	180.00
01750	全達電器集團(控股)有限公司	2018/05/11	1,800,000,000	135.00
01752	澳洲成峰高教集團有限公司	2018/05/11	2,588,548,000	232.16
02119	捷榮國際控股有限公司	2018/05/11	761,093,112	544.66
03613	北京同仁堂國藥有限公司*	2018/05/29	837,100,000	–
01598	中國21世紀教育集團有限公司	2018/05/29	1,236,000,000	447.48
01978	敘福樓集團有限公司	2018/05/30	800,000,000	220.00
01451	萬成集團股份有限公司	2018/06/01	200,000,000	67.00
06119	天源集團控股有限公司	2018/06/01	600,000,000	127.50
01757	俊裕地基集團有限公司	2018/06/07	1,200,000,000	102.00
01751	成安控股有限公司*	2018/06/12	672,000,000	–
01806	匯付天下有限公司	2018/06/15	1,251,462,961	1,689.48
06098	碧桂園服務控股有限公司	2018/06/19	2,500,000,000	–
02003	維信金科控股有限公司	2018/06/21	497,303,869	1,371.44
01916	江西銀行股份有限公司－H股	2018/06/26	1,170,000,000	7,476.30
01749	杉杉品牌運營股份有限公司－H股	2018/06/27	33,400,000	126.25
01587	欣融國際控股有限公司	2018/06/27	680,000,000	127.50
01620	加達控股有限公司	2018/06/28	1,200,000,000	108.00
06100	有才天下信息技術有限公司	2018/06/29	495,559,464	2,904.00
總 額				24,826.14

* 原為GEM上市公司,後引用於2008年7月1日生效的《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2018年第2季

股份代號	公 司	最後買賣日期	除牌日期
01893	中國中材股份有限公司－H股	2018/04/16	2018/04/24

主 板 股 份

公司名稱更改

2018年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
00860	奧立仕控股有限公司	力世紀有限公司	2018/02/28	2018/04/10
06123	先達國際物流控股有限公司	圓通速遞(國際)控股有限公司	2018/02/28	2018/04/10
01326	天馬影視文化控股有限公司	傳遞娛樂有限公司	2018/03/13	2018/04/13
00186	嘉域集團有限公司	敏捷控股有限公司	2018/02/14	2018/04/18
01152	新融宇集團(控股)有限公司	正乾金融控股有限公司	2018/03/21	2018/04/19
00707	協盛協豐控股有限公司	亞洲電視控股有限公司	2018/03/16	2018/04/20
00888	路訊通控股有限公司	貝森金融集團有限公司	2018/03/16	2018/04/23
01140	東英金融投資有限公司	東英金融有限公司	2018/03/26	2018/04/24
00767	太平洋實業控股有限公司	亞太絲路投資有限公司	2018/04/13	2018/04/30
00331	豐盛機電控股有限公司	豐盛服務集團有限公司	2018/04/11	2018/05/04
00952	中國泛海國際金融有限公司	中國通海國際金融有限公司	2018/04/11	2018/05/07
00397	君陽金融控股有限公司	權威金融集團有限公司	2018/04/11	2018/05/10
00445	中國消防企業集團有限公司	中集天達控股有限公司	2018/04/24	2018/05/18
00007	凱富能源集團有限公司	香港金融投資控股集團有限公司	2018/04/19	2018/06/06
00524	e-Kong Group Ltd.	長城一帶一路控股有限公司	2018/04/23	2018/06/08
00340	中國礦業資源集團有限公司	潼關黃金集團有限公司	2018/04/27	2018/06/11
01660	善樂國際控股有限公司	兆邦基地產控股有限公司	2018/05/16	2018/06/13
00577	十三集團有限公司	南岸集團有限公司	2018/05/09	2018/06/27
01070	TCL多媒體科技控股有限公司	TCL電子控股有限公司	2018/05/23	2018/06/28
03393	威勝集團控股有限公司	威勝控股有限公司	2018/05/30	2018/06/29

主 板 股 份

紅股／紅利認股權證

2018年第2季

股份代號	公司	詳情	除淨日
00771	自動系統集團有限公司	10送2	2018/05/21
02238	廣州汽車集團股份有限公司－H股	10送4	2018/06/01
00094	綠心集團有限公司	10送1	2018/06/04
01177	中國生物製藥有限公司	2送1	2018/06/04
00012	恒基兆業地產有限公司	10送1	2018/06/05
00003	香港中華煤氣有限公司	10送1	2018/06/08
01812	山東晨鳴紙業集團股份有限公司－H股	10送5	2018/06/15
01513	麗珠醫藥集團股份有限公司－H股	10送3	2018/06/22
01988	中國民生銀行股份有限公司－H股	10送2	2018/06/25
00096	友成控股有限公司	5送1	2018/06/26
02328	中國人民財產保險股份有限公司－H股	10送5	2018/06/27

股份拆細及合併

2018年第2季

股份代號	公司	詳情	生效日期
01059	看通集團有限公司	5股合併為1股	2018/04/10
00340	潼關黃金集團有限公司	10股合併為1股	2018/04/30
01194	麥盛資本集團有限公司	20股合併為1股	2018/05/28
01007	大慶乳業控股有限公司	2股合併為1股	2018/05/29
00058	新威國際控股有限公司	10股合併為1股	2018/06/04
01181	唐宮(中國)控股有限公司	1股分拆為2股	2018/06/05
00875	中國金控投資集團有限公司	10股合併為1股	2018/06/25

主 板 股 份

供股／公開售股

2018年第2季

月份	股份代號	公司	比率	集資金額 (百萬港元)
6月	00559	德泰新能源集團有限公司	股權1供2，每股供0.052港元	544.11
	01007	大慶乳業控股有限公司	公開售股5供1，每股供0.1025港元 (2股合併為1股後)	10.36
總額				554.47

主 板 股 份

股份配售*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2018					
4 月	00888	貝森金融	187,500,000	1.4400	270.00
	00371	北控水務集團	169,491,525	5.9000	1,000.00
	00159	布萊克萬礦業	780,000,000	0.1000	78.00
	02312	中國金融租賃	220,000,000	0.1350	29.70
	00810	中國互聯網投資	109,170,000	0.1350	14.74
	03818	中國動向	9,000,000	1.2900	11.61
	03818	中國動向	202,310,000	1.3500	273.12
	01130	中國環境資源	339,400,000	0.2550	86.55
	00348	中國智能健康	1,420,000,000	0.1100	156.20
	00471	中播控股	375,000,000	0.2400	90.00
	01543	中盈盛達融資擔保	261,030,000	1.4200	370.66
	02327	美瑞健康國際	124,800,000	0.3500	43.68
	01539	滙能集團	50,000,000	1.0900	54.50
	00891	利邦	1,846,000,000	1.2000	2,215.20
	01958	北京汽車	420,000,000	7.8900	3,313.80
	01191	中國中石控股	540,000,000	0.1200	64.80
	01371	華彩控股	1,034,500,000	0.1160	120.00
	00445	中集天達	673,225,000	0.3660	246.40
	01589	中國物流資產	321,068,999	2.8000	898.99
5 月	02298	都市麗人	121,443,213	4.2000	510.06
	01218	永義國際	11,900,000	4.6000	54.74
	00223	易生活控股	306,875,000	0.1280	39.28
	00500	先豐服務集團	640,000,000	1.3000	832.00
	01822	弘達金融控股	176,580,000	0.3000	52.97
	00428	匯嘉中國	14,000,000	0.7900	11.06
	02014	浩澤淨水	90,000,000	2.0000	180.00
	01251	華油能源	306,958,000	0.7800	239.43
	01613	協同通信	653,016,000	0.0800	52.24
	00515	達進東方照明	205,927,043	0.1000	20.59
	00826	天工國際	300,000,000	1.6000	480.00
	00570	中國中藥	604,296,222	4.4300	2,677.03
	02623	愛德新能源	322,348,000	0.1150	37.07
	02309	伯明翰體育	1,380,080,000	0.1050	144.91
	00832	建業地產	282,000,000	3.6500	1,029.30
	01259	中國兒童護理	231,687,000	0.1300	30.12
	00139	中國軟實力	2,000,000,000	0.1000	200.00
	00370	國華	1,452,000,000	0.0700	101.64
	01313	華潤水泥控股	450,000,000	9.3000	4,185.00
	00118	大同機械	145,000,000	0.5500	79.75
6 月	06878	鼎豐集團控股	610,378,000	0.5300	323.50
	00223	易生活控股	76,500,000	0.1280	9.79

主 板 股 份

月 份	股 份 代 號	公 司	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	01548	金 斯 瑞 生 物 科 技	75,000,000	26.5000	1,987.50
	02327	美 瑞 健 康 國 際	31,200,000	0.3500	10.92
	00360	新 焦 點	1,904,761,905	0.4200	800.00
	03301	融 信 中 國	103,500,000	10.6200	1,099.17
	01709	雲 裳 衣	123,800,000	0.4850	60.04
	00981	中 芯 國 際	61,526,473	10.6500	655.26
	00542	富 元 國 際 集 團	164,712,000	0.2550	42.00
	00872	啟 迪 國 際	295,000,000	0.5370	158.42
	01400	宏 太 控 股	310,000,000	0.1000	31.00
	00994	恆 誠 建 築	100,000,000	0.8400	84.00
總 額					25,556.75

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認 股 權 證 交 易 統 計

		股份認股權證 (百 萬 港 元)	衍生權證 (百 萬 港 元)	總 額 (百 萬 港 元)
2017	第 2 季	77.29	534,338.11	534,415.40
	第 3 季	18.64	795,264.76	795,283.40
	第 4 季	19.27	952,919.10	952,938.38
2018	第 1 季	14.79	1,420,399.00	1,420,413.79
	第 2 季	0.26	798,436.24	798,436.50

認 股 權 證 市 價 總 值

		數 目	股份認股權證 (百 萬 港 元)	數 目	衍生權證 (百 萬 港 元)	總 數	總 額 (百 萬 港 元)
2017	第 2 季	8	507.43	4,360	96,450.95	4,368	96,958.38
	第 3 季	7	389.34	5,373	136,754.02	5,380	137,143.36
	第 4 季	7	236.46	6,094	165,919.23	6,101	166,155.69
2018	第 1 季	4	61.57	6,963	118,398.35	6,967	118,459.92
	第 2 季	1	48.51	7,189	70,085.05	7,190	70,133.56

新 上 市 統 計 — 認 股 權 證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百 萬 港 元)
2017	第 2 季	3	1,532	29,325.10
	第 3 季	0	2,448	46,575.04
	第 4 季	0	2,507	54,250.29
2018	第 1 季	0	3,076	69,887.42
	第 2 季	0	2,709	58,049.31

新 上 市 股 份 認 股 權 證

2018 年 第 2 季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百 萬 港 元)
Nil					
總 額					-

主 板 認 股 權 證

新上市衍生權證

2018 年 第 2 季

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
29226	BOCI Asia Ltd.- China Life European Warrants Aug 2018 B	100,000,000	2018/04/03	2018/08/29	15.00
29232	BOCI Asia Ltd.- China Life European Warrants Sep 2018 C	100,000,000	2018/04/03	2018/09/27	21.80
29237	BOCI Asia Ltd.- China Mobile European Warrants Sep 2018 C	100,000,000	2018/04/03	2018/09/20	44.60
29197	BOCI Asia Ltd.- HSBC European Warrants Aug 2018 D	200,000,000	2018/04/03	2018/08/29	70.40
29192	BOCI Asia Ltd.- HSBC European Warrants Sep 2018 B	200,000,000	2018/04/03	2018/09/24	55.20
29238	BOCI Asia Ltd.- SUNAC European Warrants Aug 2018 A	50,000,000	2018/04/03	2018/08/24	20.95
29189	BOCI Asia Ltd.- Tencent European Wts Jul 2018 E	200,000,000	2018/04/03	2018/07/26	73.20
29171	BOCI Asia Ltd.- Tencent European Wts Sep 2018 C	200,000,000	2018/04/03	2018/09/24	77.60
29191	BOCI Asia Ltd.- Tencent European Wts Sep 2018 D	200,000,000	2018/04/03	2018/09/17	106.60
29486	Credit Suisse AG- Bank of China European Warrants Jul 2018E	50,000,000	2018/04/03	2018/07/19	10.00
29461	Credit Suisse AG- HKEX European Warrants Jul 2018 I	200,000,000	2018/04/03	2018/07/25	30.00
29423	Credit Suisse AG- Ping An European Warrants Jul 2018 F	150,000,000	2018/04/03	2018/07/09	42.00
29428	Credit Suisse AG- Ping An European Warrants Jul 2018 G	150,000,000	2018/04/03	2018/07/24	66.00
29484	Credit Suisse AG- Sands China European Warrants Jul 2018 C	50,000,000	2018/04/03	2018/07/27	10.00
29452	Credit Suisse AG- Tencent European Wts Jul 2018 K	250,000,000	2018/04/03	2018/07/03	37.50
29453	Credit Suisse AG- Tencent European Wts Oct 2018 A	250,000,000	2018/04/03	2018/10/02	75.00
29543	Goldman Sachs SP (Asia)- HKEX European Warrants Sep 2018 K	150,000,000	2018/04/03	2018/09/20	22.50
29210	Goldman Sachs SP (Asia)- NCI European Warrants Oct 2018 A	40,000,000	2018/04/03	2018/10/03	10.48
29205	Goldman Sachs SP (Asia)- Ping An European Wts Aug 2018 B	100,000,000	2018/04/03	2018/08/13	30.60
29540	Goldman Sachs SP (Asia)- Ping An European Wts Aug 2018 C	100,000,000	2018/04/03	2018/08/24	34.70
29211	Goldman Sachs SP (Asia)- Tencent European Wt Jul 2018 P	150,000,000	2018/04/03	2018/07/26	31.50
29203	Goldman Sachs SP (Asia)- Tencent European Wt Aug 2018 K	150,000,000	2018/04/03	2018/08/21	52.50
29569	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 A	150,000,000	2018/04/03	2018/09/24	38.85
29581	Goldman Sachs SP (Asia)- Yanzhou Coal Euro Wts Aug 2018 A	70,000,000	2018/04/03	2018/08/24	10.50
29537	Goldman Sachs SP (Asia)- ZA Online European Warrants Jun 19A	80,000,000	2018/04/03	2019/06/28	12.00
29167	HK Bank- HSI European Warrants Sep 2018 E	150,000,000	2018/04/03	2018/09/27	22.50
29414	J P Morgan SP BV- CHALCO European Warrants Oct 2018 A	30,000,000	2018/04/03	2018/10/02	13.32
29389	J P Morgan SP BV- China Life European Put Wts Jun 2019 A	100,000,000	2018/04/03	2019/06/03	25.10
29410	J P Morgan SP BV- CNBM European Warrants Feb 2019 A	100,000,000	2018/04/03	2019/02/28	15.10
29400	J P Morgan SP BV- CSOP A50 ETF European Warrants Jul 2018 B	100,000,000	2018/04/03	2018/07/31	51.80
29403	J P Morgan SP BV- A50 China European Warrants Jul 2018 B	100,000,000	2018/04/03	2018/07/31	43.00
29404	J P Morgan SP BV- HSI European Warrants Oct 2018 E	300,000,000	2018/04/03	2018/10/30	75.30
29405	J P Morgan SP BV- Maanshan Iron European Warrants Oct 2018A	60,000,000	2018/04/03	2018/10/02	17.88
29387	J P Morgan SP BV- Tencent European Put Warrants Oct 2018 A	250,000,000	2018/04/03	2018/10/02	65.00
29331	Macquarie Bank Ltd.- Greatwall Motor European Wts Dec 2018B	10,000,000	2018/04/03	2018/12/31	15.05
29239	Macquarie Bank Ltd.- HKEX European Warrants Oct 2018 E	8,000,000	2018/04/03	2018/10/03	13.92
29241	Macquarie Bank Ltd.- HKEX European Warrants Nov 2018 B	50,000,000	2018/04/03	2018/11/02	13.10
29333	Macquarie Bank Ltd.- HKEX European Warrants Dec 2019 A	60,000,000	2018/04/03	2019/12/31	19.14
29286	Macquarie Bank Ltd.- HKEX European Put Warrants Nov 2018 C	40,000,000	2018/04/03	2018/11/02	10.00
29490	SG Issuer- China Coal European Warrants Oct 2018 A	30,000,000	2018/04/03	2018/10/31	10.05
29498	SG Issuer- CM Bank European Warrants Oct 2018 A	40,000,000	2018/04/03	2018/10/31	10.00
29505	SG Issuer- HSBC European Warrants Aug 2018 E	80,000,000	2018/04/03	2018/08/31	22.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬元)	集資金額
29508	SG Issuer- Tencent European Warrants Jul 2018 G	200,000,000	2018/04/03	2018/07/31	55.00
29513	SG Issuer- Tencent European Put Warrants Sep 2018 D	100,000,000	2018/04/03	2018/09/28	19.00
29516	SG Issuer- ZA Online European Warrants Jul 2019 A	100,000,000	2018/04/03	2019/07/02	15.00
29356	UBS AG- AIA European Warrants Aug 2018 B	100,000,000	2018/04/03	2018/08/28	32.70
29372	UBS AG- China Coal European Warrants Oct 2018 A	40,000,000	2018/04/03	2018/10/02	10.36
29377	UBS AG- CGN Power European Warrants Oct 2018 A	40,000,000	2018/04/03	2018/10/22	10.00
29373	UBS AG- HSCEI European Warrants Aug 2018 A	100,000,000	2018/04/03	2018/08/30	15.30
29110	UBS AG- HSI European Warrants Sep 2018 C	200,000,000	2018/04/03	2018/09/27	32.00
29139	UBS AG- HSI European Warrants Oct 2018 A	200,000,000	2018/04/03	2018/10/30	32.00
29368	UBS AG- HSI European Put Warrants Aug 2018 G	200,000,000	2018/04/03	2018/08/30	33.00
29366	UBS AG- Sands China European Warrants Jul 2018 C	50,000,000	2018/04/03	2018/07/27	10.35
29339	UBS AG- Tencent European Warrants Jul 2018 E	300,000,000	2018/04/03	2018/07/03	63.30
29345	UBS AG- Tencent European Warrants Jul 2018 F	300,000,000	2018/04/03	2018/07/03	78.00
29352	UBS AG- Tencent European Warrants Jul 2018 G	300,000,000	2018/04/03	2018/07/26	59.70
29354	UBS AG- Tencent European Warrants Jul 2018 H	300,000,000	2018/04/03	2018/07/03	94.20
29353	UBS AG- Tencent European Put Warrants Aug 2018 A	200,000,000	2018/04/03	2018/08/13	70.60
29376	UBS AG- Tencent European Put Warrants Oct 2018 A	200,000,000	2018/04/03	2018/10/03	51.00
29639	BOCI Asia Ltd.- CHALCO European Warrants Oct 2018 A	50,000,000	2018/04/04	2018/10/29	28.55
29651	BOCI Asia Ltd.- Country Garden European Warrants Oct 2018 B	70,000,000	2018/04/04	2018/10/29	10.78
29647	BOCI Asia Ltd.- China Overseas European Warrants Sep 2018 A	70,000,000	2018/04/04	2018/09/27	11.41
29623	BOCI Asia Ltd.- China Shenhua European Warrants Dec 2018 A	70,000,000	2018/04/04	2018/12/24	11.69
29657	BOCI Asia Ltd.- Evergrande European Warrants Sep 2018 A	50,000,000	2018/04/04	2018/09/24	26.40
29601	BOCI Asia Ltd.- Jiangxi Copper European Warrants Jan 2019 A	70,000,000	2018/04/04	2019/01/28	17.50
29644	BOCI Asia Ltd.- Sands China European Warrants Oct 2018 A	60,000,000	2018/04/04	2018/10/08	15.36
29655	BOCI Asia Ltd.- China Vanke European Warrants Sep 2018 A	50,000,000	2018/04/04	2018/09/24	15.65
29636	BOCI Asia Ltd.- Yanzhou Coal European Warrants Dec 2018 B	70,000,000	2018/04/04	2018/12/24	10.85
29801	BNP Paribas Issuance B.V.- Ping An European Wt Aug 2018 D	60,000,000	2018/04/04	2018/08/02	11.40
29798	BNP Paribas Issuance B.V.- Tencent Euro Wts Dec 2018 C	80,000,000	2018/04/04	2018/12/04	24.00
29768	BNP Paribas Issuance B.V.- Tencent Euro Wts Mar 2019 A	80,000,000	2018/04/04	2019/03/04	36.80
29848	Credit Suisse AG- China Life European Warrants Aug 2018 A	120,000,000	2018/04/04	2018/08/31	18.00
29859	Credit Suisse AG- China Shenhua European Wts Jul 2018 A	70,000,000	2018/04/04	2018/07/24	10.50
29864	Credit Suisse AG- HTSC European Warrants Oct 2018 C	80,000,000	2018/04/04	2018/10/03	20.00
29863	Credit Suisse AG- Tencent European Wts Oct 2018 B	250,000,000	2018/04/04	2018/10/03	80.00
29866	Goldman Sachs SP (Asia)- CM Bank European Wts Jul 2018 D	60,000,000	2018/04/04	2018/07/24	10.14
29914	Goldman Sachs SP (Asia)- CM Bank European Wts Jul 2018 E	60,000,000	2018/04/04	2018/07/26	10.32
29874	Goldman Sachs SP (Asia)- HSBC European Warrants Aug 2018 E	80,000,000	2018/04/04	2018/08/31	20.16
29907	Goldman Sachs SP (Asia)- Ping An European Wts Jul 2018 K	100,000,000	2018/04/04	2018/07/04	45.50
29904	Goldman Sachs SP (Asia)- Ping An European Wts Aug 2018 D	100,000,000	2018/04/04	2018/08/24	54.90
29819	Haitong Int'l Sec- CHALCO European Warrants Sep 2018 A	30,000,000	2018/04/04	2018/09/18	15.90
29840	Haitong Int'l Sec- CHALCO European Warrants Oct 2018 A	30,000,000	2018/04/04	2018/10/04	14.10
29824	Haitong Int'l Sec- CGN Power European Warrants Oct 2018 A	40,000,000	2018/04/04	2018/10/19	10.00
29831	Haitong Int'l Sec- CNBM European Warrants Sep 2018 A	15,000,000	2018/04/04	2018/09/20	14.10
29830	Haitong Int'l Sec- CNBM European Warrants Feb 2019 A	100,000,000	2018/04/04	2019/02/21	16.70
29805	J P Morgan SP BV- KECL European Warrants Oct 2018 A	40,000,000	2018/04/04	2018/10/05	11.44

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
29593	Macquarie Bank Ltd.- China Coal European Wts Jan 2019 A	29,000,000	2018/04/04	2019/01/03 10.12
29594	Macquarie Bank Ltd.- GAC European Wts Oct 2018 A	40,000,000	2018/04/04	2018/10/04 10.76
29596	Macquarie Bank Ltd.- GAC European Wts Dec 2018 A	40,000,000	2018/04/04	2018/12/04 10.16
29707	Macquarie Bank Ltd.- GAC European Put Warrants Dec 2018 B	40,000,000	2018/04/04	2018/12/04 10.00
29592	Macquarie Bank Ltd.- NCI European Warrants Nov 2018 B	20,000,000	2018/04/04	2018/11/02 10.38
29813	SG Issuer- Ping An European Warrants Dec 2019 B	80,000,000	2018/04/04	2019/12/02 12.00
29815	SG Issuer- Tencent European Warrants Sep 2018 E	200,000,000	2018/04/04	2018/09/28 83.00
29711	UBS AG- Ch LongYuan European Warrants Oct 2018 A	13,000,000	2018/04/04	2018/10/29 11.05
29761	UBS AG- GAC European Warrants Nov 2018 A	70,000,000	2018/04/04	2018/11/26 17.64
29758	UBS AG- Kingdee Intl European Warrants Oct 2018 A	25,000,000	2018/04/04	2018/10/03 26.30
29760	UBS AG- Samsonite European Warrants Oct 2018 A	30,000,000	2018/04/04	2018/10/03 14.10
29672	Bank Vontobel- CITIC Sec European Warrants Aug 2018 B	70,000,000	2018/04/04	2018/08/09 11.20
29670	Bank Vontobel- Sunny Optical European Warrants Aug 2018 A	80,000,000	2018/04/04	2018/08/02 12.72
29704	Bank Vontobel- Sunny Optical European Warrants Aug 2018 B	80,000,000	2018/04/04	2018/08/28 22.40
29659	Bank Vontobel- Yanzhou Coal European Warrants Aug 2018 A	70,000,000	2018/04/04	2018/08/24 10.50
29658	Bank Vontobel- Yanzhou Coal European Warrants Sep 2018 A	70,000,000	2018/04/04	2018/09/10 10.57
29938	BNP Paribas Issuance B.V.- Agricultural Bank Eu Wt Nov2018A	40,000,000	2018/04/06	2018/11/02 10.40
11001	BNP Paribas Issuance B.V.- Minsheng Bk Euro Wt Nov 2018 B	40,000,000	2018/04/06	2018/11/02 12.40
29933	BNP Paribas Issuance B.V.- CSOP A50 ETF Eu Wts Jan 2019 A	40,000,000	2018/04/06	2019/01/03 24.40
29932	BNP Paribas Issuance B.V.- HKEX European Wts Aug 2018 C	80,000,000	2018/04/06	2018/08/02 12.00
29920	BNP Paribas Issuance B.V.- PICC European Wts Nov 2018 A	80,000,000	2018/04/06	2018/11/02 12.00
11066	Credit Suisse AG- China Coal European Warrants Oct 2018 A	40,000,000	2018/04/06	2018/10/05 10.80
11065	Credit Suisse AG- Jiangxi Copper European Wts Dec 2018 A	80,000,000	2018/04/06	2018/12/14 12.00
11069	Credit Suisse AG- KB Laminates European Warrants Oct 2018 A	40,000,000	2018/04/06	2018/10/05 10.00
11062	Credit Suisse AG- Tencent European Wts Jul 2018 L	250,000,000	2018/04/06	2018/07/05 37.50
11036	HK Bank- Galaxy Ent European Put Warrants Aug 2018 A	30,000,000	2018/04/06	2018/08/29 12.00
11034	HK Bank- HSI European Warrants Sep 2018 F	180,000,000	2018/04/06	2018/09/27 32.40
11035	HK Bank- Kingboard Chem European Warrants Oct 2018 A	50,000,000	2018/04/06	2018/10/31 12.50
11032	Haitong Int'l Sec- AIA European Put Warrants Jul 2018 B	100,000,000	2018/04/06	2018/07/16 31.30
11031	Haitong Int'l Sec- AIA European Put Warrants Sep 2018 A	100,000,000	2018/04/06	2018/09/20 25.00
11030	Haitong Int'l Sec- BYD European Put Warrants Oct 2018 A	20,000,000	2018/04/06	2018/10/08 10.12
11014	Haitong Int'l Sec- CC Bank European Put Warrants Aug 2018 E	100,000,000	2018/04/06	2018/08/24 46.00
11016	Haitong Int'l Sec- CC Bank European Put Warrants Oct 2018 A	100,000,000	2018/04/06	2018/10/08 35.00
11013	Haitong Int'l Sec- China East Air European Wts Jun 2020 A	100,000,000	2018/04/06	2020/06/30 25.00
11024	Haitong Int'l Sec- CSPC Pharma European Warrants Oct 2018 A	100,000,000	2018/04/06	2018/10/08 30.80
11033	Haitong Int'l Sec- Galaxy Ent European Put Wts Jul 2018 B	65,000,000	2018/04/06	2018/07/17 10.53
11010	Haitong Int'l Sec- Galaxy Ent European Put Wts Sep 2018 B	40,000,000	2018/04/06	2018/09/12 16.96
11011	Haitong Int'l Sec- HKEX European Put Warrants Dec 2018 E	100,000,000	2018/04/06	2018/12/31 25.00
11006	Haitong Int'l Sec- HSBC European Put Warrants Sep 2018 B	50,000,000	2018/04/06	2018/09/20 10.75
11009	Haitong Int'l Sec- HSBC European Put Warrants Dec 2018 A	50,000,000	2018/04/06	2018/12/31 31.80
11021	Haitong Int'l Sec- SMIC European Warrants Dec 2018 B	100,000,000	2018/04/06	2018/12/31 25.00
11023	Haitong Int'l Sec- SMIC European Warrants Mar 2019 B	100,000,000	2018/04/06	2019/03/29 25.00
11025	Haitong Int'l Sec- Zoomlion European Warrants Oct 2018 A	35,000,000	2018/04/06	2018/10/30 11.55
11052	J P Morgan SP BV- Country Garden European Wts Nov 2018 C	100,000,000	2018/04/06	2018/11/30 25.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
11055	J P Morgan SP BV- CKH Holdings European Warrants Oct 2018 A	100,000,000	2018/04/06	2018/10/31 38.00
11057	J P Morgan SP BV- GAC European Warrants Sep 2018 A	70,000,000	2018/04/06	2018/09/28 10.71
11060	J P Morgan SP BV- Haitong Sec European Warrants Oct 2018 A	40,000,000	2018/04/06	2018/10/08 27.48
11050	J P Morgan SP BV- Ping An European Put Warrants Oct 2018 B	100,000,000	2018/04/06	2018/10/08 37.80
11049	J P Morgan SP BV- Tencent European Warrants Jul 2018 I	250,000,000	2018/04/06	2018/07/06 37.75
11047	Macquarie Bank Ltd.- Chinasoft Int'l European Wt Feb 2019 A	8,000,000	2018/04/06	2019/02/15 10.69
11046	Macquarie Bank Ltd.- GAC European Wts Jul 2019 A	40,000,000	2018/04/06	2019/07/03 11.20
11038	Macquarie Bank Ltd.- Greatwall Motor Euro Put Wt Apr 2019 A	8,000,000	2018/04/06	2019/04/02 11.15
11037	Macquarie Bank Ltd.- NCI European Put Warrants Apr 2019 A	22,000,000	2018/04/06	2019/04/02 10.45
11043	Macquarie Bank Ltd.- Sinopec Seg European Wts Jun 2019 A	7,000,000	2018/04/06	2019/06/28 10.30
11072	SG Issuer- HSI European Warrants Mar 2019 A	150,000,000	2018/04/06	2019/03/28 27.75
11078	SG Issuer- HSI European Put Warrants Aug 2018 E	150,000,000	2018/04/06	2018/08/30 23.25
11084	SG Issuer- HSI European Put Warrants Aug 2018 F	150,000,000	2018/04/06	2018/08/30 27.75
11085	SG Issuer- HSI European Put Warrants Sep 2018 C	150,000,000	2018/04/06	2018/09/27 33.00
11074	SG Issuer- Tencent European Warrants Sep 2018 F	200,000,000	2018/04/06	2018/09/28 61.00
11121	BOCI Asia Ltd.- HKEX European Put Warrants Nov 2018 B	100,000,000	2018/04/09	2018/11/26 25.10
11113	BOCI Asia Ltd.- HSBC European Put Warrants Sep 2018 C	60,000,000	2018/04/09	2018/09/20 11.88
11117	BOCI Asia Ltd.- Ping An European Warrants Aug 2018 C	100,000,000	2018/04/09	2018/08/27 47.90
11118	BOCI Asia Ltd.- Ping An European Warrants Oct 2018 A	100,000,000	2018/04/09	2018/10/04 65.00
11110	BOCI Asia Ltd.- Tencent European Put Wts Sep 2018 E	100,000,000	2018/04/09	2018/09/24 18.10
11139	Credit Suisse AG- BYD Company European Warrants Feb 2019 A	100,000,000	2018/04/09	2019/02/11 16.00
11147	Credit Suisse AG- HSBC European Wts Aug 2018 C	100,000,000	2018/04/09	2018/08/24 29.00
11144	Credit Suisse AG- PICC Group European Warrants Jul 2018 C	50,000,000	2018/04/09	2018/07/24 10.00
11145	Credit Suisse AG- Ping An European Warrants Jul 2018 H	150,000,000	2018/04/09	2018/07/09 51.00
11158	Goldman Sachs SP (Asia)- BOC HK European Wts Jul 2018 B	60,000,000	2018/04/09	2018/07/26 10.08
11156	Goldman Sachs SP (Asia)- BYD Company European Wts Feb 2019A	80,000,000	2018/04/09	2019/02/11 12.00
11103	Goldman Sachs SP (Asia)- CKA European Warrants Sep 2018 A	60,000,000	2018/04/09	2018/09/20 10.02
11153	Goldman Sachs SP (Asia)- China Life Euro Wts Aug 2018 C	100,000,000	2018/04/09	2018/08/29 15.00
11152	Goldman Sachs SP (Asia)- China Life Euro Wts Nov 2018 B	100,000,000	2018/04/09	2018/11/27 15.00
11097	Goldman Sachs SP (Asia)- CNBM European Warrants Jul 2018 B	40,000,000	2018/04/09	2018/07/09 28.80
11107	Goldman Sachs SP (Asia)- CNBM European Warrants Jul 2018 C	40,000,000	2018/04/09	2018/07/09 41.88
11151	Goldman Sachs SP (Asia)- Sinopec Corp Euro Wts Jul 2018 C	60,000,000	2018/04/09	2018/07/09 13.50
11105	Goldman Sachs SP (Asia)- Fosun Intl European Wts Jul 2018 B	70,000,000	2018/04/09	2018/07/26 10.50
11106	Goldman Sachs SP (Asia)- A50 China European Wts Jul 2018 C	80,000,000	2018/04/09	2018/07/09 49.84
11148	Goldman Sachs SP (Asia)- Tencent European Put Wt Jul 2018 Q	100,000,000	2018/04/09	2018/07/09 15.00
11124	UBS AG- AAC Tech European Warrants Sep 2018 A	100,000,000	2018/04/09	2018/09/26 17.90
11127	UBS AG- Brilliance Chi European Warrants Oct 2018 A	70,000,000	2018/04/09	2018/10/29 17.92
11128	UBS AG- BYD European Warrants Feb 2019 B	100,000,000	2018/04/09	2019/02/21 17.00
11138	UBS AG- BYD European Put Warrants Oct 2018 A	30,000,000	2018/04/09	2018/10/22 18.69
11137	UBS AG- BYD Electronic European Warrants Oct 2018 A	40,000,000	2018/04/09	2018/10/08 12.88
11135	UBS AG- CSPC Pharma European Warrants Oct 2018 C	40,000,000	2018/04/09	2018/10/12 13.64
11133	UBS AG- Kingsoft European Warrants Sep 2018 A	100,000,000	2018/04/09	2018/09/20 28.10
11109	Bank Vontobel- AAC Tech European Warrants Oct 2018 A	60,000,000	2018/04/09	2018/10/25 10.14
11086	Bank Vontobel- China Unicom European Warrants Oct 2018 C	20,000,000	2018/04/09	2018/10/29 10.18

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日(百萬港元)	集資金額
11093	Bank Vontobel- Evergrande European Warrants Oct 2018 A	40,000,000	2018/04/09	2018/10/25	17.88
11089	Bank Vontobel- Tencent European Warrants Nov 2018 A	100,000,000	2018/04/09	2018/11/02	43.20
11094	Bank Vontobel- Tencent European Put Warrants Oct 2018 C	100,000,000	2018/04/09	2018/10/08	30.10
11169	BOCI Asia Ltd.- Ping An European Warrants Aug 2018 D	100,000,000	2018/04/10	2018/08/31	74.20
11170	BOCI Asia Ltd.- Ping An European Warrants Aug 2018 E	100,000,000	2018/04/10	2018/08/24	71.90
11159	BOCI Asia Ltd.- Tencent European Wts Jul 2018 F	200,000,000	2018/04/10	2018/07/26	77.40
11166	BOCI Asia Ltd.- Tencent European Wts Jul 2018 G	200,000,000	2018/04/10	2018/07/30	98.80
11165	BOCI Asia Ltd.- Tencent European Wts Oct 2018 A	200,000,000	2018/04/10	2018/10/31	108.80
11224	BNP Paribas Issuance B.V.- Tencent Eu Put Wt Nov 2018 D	80,000,000	2018/04/10	2018/11/02	26.40
11240	Credit Suisse AG- CGS European Warrants Sep 2018 A	30,000,000	2018/04/10	2018/09/18	11.70
11239	Credit Suisse AG- Ch LongYuan European Warrants Oct 2018 A	20,000,000	2018/04/10	2018/10/09	16.00
11226	Credit Suisse AG- China South Air European Wts Jul 2018 A	20,000,000	2018/04/10	2018/07/24	11.60
11238	Credit Suisse AG- A50 China European Warrants Jul 2018 B	50,000,000	2018/04/10	2018/07/23	15.50
11231	Credit Suisse AG- Greatwall Motor European Wts Oct 2018 C	20,000,000	2018/04/10	2018/10/09	16.00
11237	Credit Suisse AG- Haitong Sec European Warrants Oct 2018 A	25,000,000	2018/04/10	2018/10/09	17.50
11233	Credit Suisse AG- Nexteer European Warrants Nov 2018 A	40,000,000	2018/04/10	2018/11/30	10.00
11243	Credit Suisse AG- Tencent Euro Put Wts Sep 2018 A	100,000,000	2018/04/10	2018/09/28	19.00
11250	Goldman Sachs SP (Asia)- BYD Company European Wts Aug 2018A	40,000,000	2018/04/10	2018/08/01	20.68
11255	Goldman Sachs SP (Asia)- Ch Comm Cons Euro Wts Jul 2018 B	40,000,000	2018/04/10	2018/07/26	10.00
11260	Goldman Sachs SP (Asia)- China Life Euro Wts Oct 2018 B	80,000,000	2018/04/10	2018/10/26	12.00
11245	Goldman Sachs SP (Asia)- Geely Auto European Wts Jul 2018 F	80,000,000	2018/04/10	2018/07/24	12.00
11246	Goldman Sachs SP (Asia)- Geely Auto European Wts Aug 2018 B	80,000,000	2018/04/10	2018/08/28	12.00
11247	Goldman Sachs SP (Asia)- HKEX European Warrants Sep 2018 L	150,000,000	2018/04/10	2018/09/20	22.50
11261	Goldman Sachs SP (Asia)- Kingsoft European Wts Jul 2018 B	60,000,000	2018/04/10	2018/07/23	10.02
11257	Goldman Sachs SP (Asia)- Ping An European Wts Jul 2018 L	100,000,000	2018/04/10	2018/07/26	32.50
11256	Goldman Sachs SP (Asia)- SUNAC European Warrants Jul 2018 B	40,000,000	2018/04/10	2018/07/24	10.16
11248	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 B	150,000,000	2018/04/10	2018/09/17	53.70
11217	HK Bank- BYD European Warrants Feb 2019 B	100,000,000	2018/04/10	2019/02/21	15.00
11222	HK Bank- China Life European Warrants Sep 2018 D	100,000,000	2018/04/10	2018/09/28	16.00
11223	HK Bank- HSI European Put Warrants Oct 2018 A	180,000,000	2018/04/10	2018/10/30	27.00
11219	HK Bank- Tencent European Warrants Jul 2018 I	150,000,000	2018/04/10	2018/07/31	34.50
11221	HK Bank- Tencent European Put Warrants Sep 2018 C	100,000,000	2018/04/10	2018/09/24	18.00
11215	Macquarie Bank Ltd.- BYD European Put Warrants Dec 2018 B	10,000,000	2018/04/10	2018/12/04	10.02
11216	Macquarie Bank Ltd.- Minsheng Bank Euro Put Wts Dec 2018 A	18,000,000	2018/04/10	2018/12/04	10.04
11214	Macquarie Bank Ltd.- FIH Mobile European Warrants Nov 2018A	40,000,000	2018/04/10	2018/11/02	10.00
11262	SG Issuer- AIA European Warrants Aug 2018 A	30,000,000	2018/04/10	2018/08/31	10.35
11264	SG Issuer- China Life European Warrants Oct 2018 C	70,000,000	2018/04/10	2018/10/31	10.50
11267	SG Issuer- CM Bank European Warrants Nov 2018 A	40,000,000	2018/04/10	2018/11/30	10.00
11272	SG Issuer- CPIC Eur Wt Nov 2018 A	35,000,000	2018/04/10	2018/11/30	10.15
11273	SG Issuer- China Taiping European Warrants Nov 2018 A	30,000,000	2018/04/10	2018/11/30	10.50
11277	SG Issuer- A50 China European Warrants Oct 2018 B	25,000,000	2018/04/10	2018/10/31	11.63
11274	SG Issuer- HSBC European Warrants Nov 2018 A	50,000,000	2018/04/10	2018/11/30	14.00
11276	SG Issuer- HSCEI ETF European Warrants Oct 2018 B	25,000,000	2018/04/10	2018/10/31	11.25
11275	SG Issuer- PICC Group European Warrants Oct 2018 A	30,000,000	2018/04/10	2018/10/31	11.70

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
11184	UBS AG- BYD European Warrants Jan 2019 A	100,000,000	2018/04/10	2019/01/08	15.00
11174	UBS AG- China Life European Warrants Sep 2018 B	200,000,000	2018/04/10	2018/09/27	34.80
11176	UBS AG- GAC European Warrants Dec 2018 A	70,000,000	2018/04/10	2018/12/03	13.30
11178	UBS AG- Greatwall Motor European Warrants Jul 2018 A	70,000,000	2018/04/10	2018/07/23	10.50
11177	UBS AG- Greatwall Motor European Warrants Dec 2019 A	70,000,000	2018/04/10	2019/12/20	10.57
11171	UBS AG- HKEX European Warrants Jul 2018 J	300,000,000	2018/04/10	2018/07/10	45.90
11172	UBS AG- HKEX European Warrants Jul 2018 K	300,000,000	2018/04/10	2018/07/13	46.50
11181	UBS AG- HSBC European Warrants Jul 2018 D	100,000,000	2018/04/10	2018/07/26	15.00
11183	UBS AG- HSBC European Warrants Aug 2018 A	100,000,000	2018/04/10	2018/08/28	27.40
11179	UBS AG- IGG European Warrants Sep 2018 B	60,000,000	2018/04/10	2018/09/21	11.64
11213	UBS AG- China Mengniu European Warrants Sep 2018 A	70,000,000	2018/04/10	2018/09/14	10.57
11180	UBS AG- Nexteer European Warrants Oct 2018 B	70,000,000	2018/04/10	2018/10/03	10.57
11205	UBS AG- Ping An European Warrants Aug 2018 C	100,000,000	2018/04/10	2018/08/24	36.40
11206	UBS AG- Ping An European Warrants Aug 2018 D	100,000,000	2018/04/10	2018/08/31	20.60
11209	UBS AG- Ping An European Warrants Sep 2018 E	100,000,000	2018/04/10	2018/09/18	45.20
11207	UBS AG- Ping An European Warrants Feb 2020 A	300,000,000	2018/04/10	2020/02/21	45.30
11208	UBS AG- Ping An European Put Warrants Oct 2018 B	100,000,000	2018/04/10	2018/10/10	36.50
11192	UBS AG- Tencent European Warrants Jul 2018 I	300,000,000	2018/04/10	2018/07/26	133.20
11203	UBS AG- Tencent European Warrants Jul 2018 J	300,000,000	2018/04/10	2018/07/31	148.80
11194	UBS AG- Tencent European Warrants Aug 2018 B	300,000,000	2018/04/10	2018/08/21	87.30
11200	UBS AG- Tencent European Warrants Aug 2018 C	300,000,000	2018/04/10	2018/08/21	45.00
11202	UBS AG- Tencent European Warrants Sep 2018 B	300,000,000	2018/04/10	2018/09/20	58.80
11189	Bank Vontobel- BYD Company European Warrants Oct 2018 A	30,000,000	2018/04/10	2018/10/09	24.33
11185	Bank Vontobel- CNBM European Warrants Oct 2018 A	15,000,000	2018/04/10	2018/10/10	19.08
11191	Bank Vontobel- Greatwall Motor European Warrants Oct 2018 C	15,000,000	2018/04/10	2018/10/10	13.53
11187	Bank Vontobel- ICBC European Warrants Aug 2018 C	40,000,000	2018/04/10	2018/08/08	12.40
11190	Bank Vontobel- JIANG European Warrants Mar 2019 A	60,000,000	2018/04/10	2019/03/04	15.06
11314	BOCI Asia Ltd.- Bank of China European Warrants Sep 2018 A	80,000,000	2018/04/11	2018/09/20	26.72
11316	BOCI Asia Ltd.- BYD Company European Warrants Feb 2019 A	100,000,000	2018/04/11	2019/02/11	15.00
11311	BOCI Asia Ltd.- ICBC European Warrants Sep 2018 A	80,000,000	2018/04/11	2018/09/24	43.36
11307	BOCI Asia Ltd.- Tencent European Wts Sep 2018 F	200,000,000	2018/04/11	2018/09/20	32.20
11301	BOCI Asia Ltd.- Tencent European Wts Oct 2018 B	200,000,000	2018/04/11	2018/10/29	126.20
11345	Credit Suisse AG- CPIC European Warrants Oct 2018 A	50,000,000	2018/04/11	2018/10/10	12.50
11344	Credit Suisse AG- China Taiping European Warrants Oct 2018A	40,000,000	2018/04/11	2018/10/10	11.20
11338	Credit Suisse AG- Ping An European Warrants Jul 2018 I	150,000,000	2018/04/11	2018/07/26	57.00
11343	Credit Suisse AG- Tencent European Wts Jul 2018 M	250,000,000	2018/04/11	2018/07/26	87.50
11340	Credit Suisse AG- Tencent European Wts Aug 2018 A	250,000,000	2018/04/11	2018/08/29	67.50
11362	Goldman Sachs SP (Asia)- BYD Company European Wts Feb 2019B	80,000,000	2018/04/11	2019/02/21	12.00
11359	Goldman Sachs SP (Asia)- China Life Euro Wts Sep 2018 G	100,000,000	2018/04/11	2018/09/27	15.00
11367	Goldman Sachs SP (Asia)- CPIC European Warrants Nov 2018 A	50,000,000	2018/04/11	2018/11/27	10.00
11371	Goldman Sachs SP (Asia)- Tencent European Wt Jul 2018 R	150,000,000	2018/04/11	2018/07/27	54.60
11360	Goldman Sachs SP (Asia)- Tencent European Put Wt Aug 2018 L	100,000,000	2018/04/11	2018/08/13	25.80
11287	HK Bank- CNOOC European Warrants Dec 2019 A	200,000,000	2018/04/11	2019/12/31	50.00
11286	Haitong Int'l Sec- China Mobile European Wts Sep 2018 B	80,000,000	2018/04/11	2018/09/24	12.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
11284	Haitong Int'l Sec- HSBC European Warrants Jul 2018 D	80,000,000	2018/04/11	2018/07/26	12.00
11285	Haitong Int'l Sec- HSBC European Warrants Mar 2019 A	80,000,000	2018/04/11	2019/03/29	28.00
11281	Haitong Int'l Sec- Tencent European Warrants Aug 2018 H	150,000,000	2018/04/11	2018/08/21	22.50
11278	Haitong Int'l Sec- Tencent European Warrants Mar 2019 A	150,000,000	2018/04/11	2019/03/01	42.00
11317	Macquarie Bank Ltd.- AIA European Warrants Nov 2018 A	4,500,000	2018/04/11	2018/11/02	10.14
11318	Macquarie Bank Ltd.- CNOOC European Warrants Nov 2018 A	19,000,000	2018/04/11	2018/11/02	10.53
11319	Macquarie Bank Ltd.- Tencent European Warrants Nov 2018 A	7,000,000	2018/04/11	2018/11/01	10.03
11351	SG Issuer- CHALCO European Warrants Nov 2018 A	15,000,000	2018/04/11	2018/11/30	10.95
11346	SG Issuer- China Mobile European Warrants Nov 2018 A	40,000,000	2018/04/11	2018/11/30	10.00
11355	SG Issuer- CNOOC European Warrants Nov 2018 A	25,000,000	2018/04/11	2018/11/30	10.38
11352	SG Issuer- Sinopec Corp European Warrants Nov 2018 A	40,000,000	2018/04/11	2018/11/30	10.80
11353	SG Issuer- China Shenhua European Warrants Dec 2018 A	50,000,000	2018/04/11	2018/12/31	10.00
11348	SG Issuer- China Unicom European Warrants Nov 2018 A	15,000,000	2018/04/11	2018/11/30	11.25
11357	SG Issuer- PetroChina European Warrants Sep 2018 B	50,000,000	2018/04/11	2018/09/28	11.00
11358	SG Issuer- Zijin Mining European Warrants Nov 2018 A	20,000,000	2018/04/11	2018/11/30	10.00
11295	UBS AG- BYD Company European Warrants Aug 2018 A	30,000,000	2018/04/11	2018/08/01	17.82
11296	UBS AG- China Life European Warrants Sep 2018 C	200,000,000	2018/04/11	2018/09/24	30.00
11327	UBS AG- CPIC European Warrants Oct 2018 A	40,000,000	2018/04/11	2018/10/11	12.40
11289	UBS AG- China Taiping European Warrants Aug 2018 A	50,000,000	2018/04/11	2018/08/24	10.55
11323	UBS AG- Geely Auto European Warrants Aug 2018 A	40,000,000	2018/04/11	2018/08/24	11.32
11321	UBS AG- Greatwall Motor European Warrants Oct 2018 C	12,000,000	2018/04/11	2018/10/11	10.24
11291	UBS AG- HSI European Warrants Aug 2018 H	200,000,000	2018/04/11	2018/08/30	35.80
11292	UBS AG- HSI European Put Warrants Aug 2018 I	200,000,000	2018/04/11	2018/08/30	35.40
11337	UBS AG- Kingdee Intl European Warrants Jun 2019 A	100,000,000	2018/04/11	2019/06/21	20.40
11300	UBS AG- Kingsoft European Warrants Aug 2018 B	60,000,000	2018/04/11	2018/08/16	18.30
11329	UBS AG- NCI European Warrants Oct 2018 A	30,000,000	2018/04/11	2018/10/15	10.89
11335	UBS AG- ZTE European Warrants Jul 2018 A	40,000,000	2018/04/11	2018/07/31	10.32
11334	UBS AG- ZTE European Warrants Sep 2018 A	40,000,000	2018/04/11	2018/09/20	11.16
23821 #	J P Morgan SP BV- HSI European Warrants Aug 2018 G	200,000,000	2018/04/11	2018/08/30	12.20
11404	BOCI Asia Ltd.- CC Bank European Warrants Jul 2018 G	100,000,000	2018/04/12	2018/07/23	15.20
11416	BOCI Asia Ltd.- CK Asset European Warrants Sep 2018 A	60,000,000	2018/04/12	2018/09/26	13.92
11406	BOCI Asia Ltd.- CNOOC European Warrants Sep 2018 A	100,000,000	2018/04/12	2018/09/20	34.30
11412	BOCI Asia Ltd.- China Tel European Warrants Sep 2018 A	80,000,000	2018/04/12	2018/09/24	12.16
11411	BOCI Asia Ltd.- Fosun Intl European Warrants Oct 2018 A	80,000,000	2018/04/12	2018/10/24	18.72
11417	BOCI Asia Ltd.- Geely Auto European Warrants Aug 2018 C	100,000,000	2018/04/12	2018/08/27	27.40
11429	BOCI Asia Ltd.- HKEX European Warrants Sep 2018 G	200,000,000	2018/04/12	2018/09/26	48.00
11410	BOCI Asia Ltd.- PetroChina European Warrants Oct 2018 A	100,000,000	2018/04/12	2018/10/15	30.30
11436	Credit Suisse AG- BJ Ent Water European Warrants Oct 2018 A	20,000,000	2018/04/12	2018/10/11	10.40
11435	Credit Suisse AG- China Life European Warrants Nov 2018 A	120,000,000	2018/04/12	2018/11/30	18.00
11431	Credit Suisse AG- China Mobile European Warrants Sep 2018 B	70,000,000	2018/04/12	2018/09/28	12.60
11430	Credit Suisse AG- HSBC European Wts Aug 2018 D	100,000,000	2018/04/12	2018/08/06	18.50
11441	Credit Suisse AG- Ping An European Warrants Jul 2018 J	150,000,000	2018/04/12	2018/07/24	57.00
11445	Credit Suisse AG- Ping An European Warrants Jul 2018 K	150,000,000	2018/04/12	2018/07/31	82.50
11438	Credit Suisse AG- Sinopharm European Warrants Oct 2018 A	30,000,000	2018/04/12	2018/10/11	16.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
11452	Goldman Sachs SP (Asia)- Minsheng Bank Euro Wts Jul 2018 C	40,000,000	2018/04/12	2018/07/12	10.00
11451	Goldman Sachs SP (Asia)- CNOOC European Warrants Jul 2018 E	80,000,000	2018/04/12	2018/07/24	12.00
11450	Goldman Sachs SP (Asia)- Sinopec Corp Euro Wts Jul 2018 D	60,000,000	2018/04/12	2018/07/23	10.08
11378	Goldman Sachs SP (Asia)- CSOP A50 ETF Euro Wts Jun 2019 A	150,000,000	2018/04/12	2019/06/21	22.50
11458	Goldman Sachs SP (Asia)- Ping An European Wts Jul 2018 M	100,000,000	2018/04/12	2018/07/19	17.40
11449	Goldman Sachs SP (Asia)- SHK Ppt European Wts Jul 2018 A	40,000,000	2018/04/12	2018/07/24	10.00
11459	Goldman Sachs SP (Asia)- Tencent European Wt Jul 2018 S	150,000,000	2018/04/12	2018/07/26	36.30
11460	Goldman Sachs SP (Asia)- Tencent European Wt Jul 2018 T	150,000,000	2018/04/12	2018/07/26	49.65
11375	Haitong Int'l Sec- Tencent European Warrants Dec 2018 C	150,000,000	2018/04/12	2018/12/19	37.50
11374	Haitong Int'l Sec- Tencent European Warrants Dec 2019 A	150,000,000	2018/04/12	2019/12/23	31.50
11380	SG Issuer- Bank of China European Warrants Oct 2018 B	80,000,000	2018/04/12	2018/10/31	12.40
11381	SG Issuer- Bank of China European Warrants Nov 2018 A	80,000,000	2018/04/12	2018/11/30	26.40
11383	SG Issuer- CC Bank European Warrants Oct 2018 A	60,000,000	2018/04/12	2018/10/31	30.00
11384	SG Issuer- CC Bank European Warrants Nov 2018 A	60,000,000	2018/04/12	2018/11/30	24.60
11387	SG Issuer- China Life European Warrants Sep 2018 C	150,000,000	2018/04/12	2018/09/28	24.00
11388	SG Issuer- Geely Auto European Warrants Nov 2018 A	40,000,000	2018/04/12	2018/11/30	12.00
11418	SG Issuer- HKEX European Put Warrants Jul 2019 A	100,000,000	2018/04/12	2019/07/31	25.00
11389	SG Issuer- HSBC European Warrants Sep 2018 A	80,000,000	2018/04/12	2018/09/28	16.40
11419	SG Issuer- Tencent European Put Warrants Sep 2018 G	150,000,000	2018/04/12	2018/09/28	45.00
11424	SG Issuer- Tencent European Put Warrants Jan 2019 B	150,000,000	2018/04/12	2019/01/31	37.50
11398	UBS AG- China Life European Warrants Sep 2018 D	200,000,000	2018/04/12	2018/09/07	30.00
11397	UBS AG- China South Air European Warrants Oct 2018 B	11,000,000	2018/04/12	2018/10/15	12.91
11372	UBS AG- HSI European Warrants Jul 2018 G	200,000,000	2018/04/12	2018/07/30	30.00
11390	UBS AG- Lenovo European Warrants Oct 2018 A	34,000,000	2018/04/12	2018/10/26	11.05
11395	UBS AG- Luye Pharma European Warrants Dec 2018 A	40,000,000	2018/04/12	2018/12/17	10.04
11399	UBS AG- Sino Biopharm European Warrants Oct 2018 A	40,000,000	2018/04/12	2018/10/15	10.44
11393	UBS AG- Sinopharm European Warrants Oct 2018 A	20,000,000	2018/04/12	2018/10/15	14.12
11506	Credit Suisse AG- China Life European Warrants Sep 2018 F	120,000,000	2018/04/13	2018/09/28	20.40
11507	Credit Suisse AG- CSOP A50 ETF European Warrants Jun 2019 A	150,000,000	2018/04/13	2019/06/21	22.50
11504	Credit Suisse AG- Luye Pharma European Warrants Dec 2018 A	60,000,000	2018/04/13	2018/12/28	15.00
11515	Goldman Sachs SP (Asia)- Geely Auto European Wts Aug 2018 C	80,000,000	2018/04/13	2018/08/24	15.52
11512	Goldman Sachs SP (Asia)- HSBC European Warrants Sep 2018 B	80,000,000	2018/04/13	2018/09/24	12.00
11533	Goldman Sachs SP (Asia)- HSI European Put Wts Aug 2018 H	150,000,000	2018/04/13	2018/08/30	24.15
11534	Goldman Sachs SP (Asia)- HSI European Put Wts Aug 2018 I	150,000,000	2018/04/13	2018/08/30	22.50
11513	Goldman Sachs SP (Asia)- Tencent European Put Wt Sep 2018 C	100,000,000	2018/04/13	2018/09/24	15.00
11477	HK Bank- CITIC Bank European Warrants Oct 2018 A	40,000,000	2018/04/13	2018/10/31	12.00
11476	HK Bank- Minsheng Bank European Warrants Oct 2018 A	25,000,000	2018/04/13	2018/10/31	11.00
11463	HK Bank- China Mobile European Warrants Oct 2018 A	40,000,000	2018/04/13	2018/10/31	14.00
11474	HK Bank- Sinopec Corp European Warrants Oct 2018 A	40,000,000	2018/04/13	2018/10/31	10.00
11468	HK Bank- CPIC European Warrants Oct 2018 A	40,000,000	2018/04/13	2018/10/31	10.00
11471	HK Bank- HSI European Warrants Oct 2018 B	180,000,000	2018/04/13	2018/10/30	27.00
11469	HK Bank- NCI European Warrants Oct 2018 A	40,000,000	2018/04/13	2018/10/31	10.00
11555	J P Morgan SP BV- 3SBIO European Warrants Oct 2018 A	25,000,000	2018/04/13	2018/10/31	10.08
11546	J P Morgan SP BV- China Everbright Ltd European Wts Dec 18A	60,000,000	2018/04/13	2018/12/31	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百 萬 港 元)	集 資 金 額
11547	J P Morgan SP BV- China Gas Hold European Wts Dec 2018 A	60,000,000	2018/04/13	2018/12/31	22.68
11543	J P Morgan SP BV- China Life European Warrants Dec 2018 A	100,000,000	2018/04/13	2018/12/05	15.00
11550	J P Morgan SP BV- China Mobile European Put Wts Nov 2018 A	100,000,000	2018/04/13	2018/11/02	25.00
11549	J P Morgan SP BV- HSBC European Put Warrants Nov 2018 A	100,000,000	2018/04/13	2018/11/02	25.90
11553	J P Morgan SP BV- Jiangxi Copper European Warrants Dec 18 A	80,000,000	2018/04/13	2018/12/17	12.00
11540	J P Morgan SP BV- Luye Pharma European Warrants Dec 2018 A	40,000,000	2018/04/13	2018/12/31	10.32
11535	J P Morgan SP BV- Sino Biopharm European Warrants Oct 2018A	40,000,000	2018/04/13	2018/10/31	10.16
11551	J P Morgan SP BV- Sunny Optical European Warrants Nov 2018B	100,000,000	2018/04/13	2018/11/02	25.00
11538	J P Morgan SP BV- WuXi Bio European Warrants Oct 2018 A	40,000,000	2018/04/13	2018/10/31	10.12
11486	Macquarie Bank Ltd.- CSOP A50 ETF European Wts Nov 2018 A	11,000,000	2018/04/13	2018/11/02	10.21
11487	Macquarie Bank Ltd.- A50 China European Warrants Nov 2018 A	16,000,000	2018/04/13	2018/11/02	10.42
11489	Macquarie Bank Ltd.- Tencent European Warrants Oct 2018 I	40,000,000	2018/04/13	2018/10/26	10.08
11488	Macquarie Bank Ltd.- Tencent European Warrants Nov 2018 B	8,000,000	2018/04/13	2018/11/01	16.91
11516	SG Issuer- CKH Holdings European Warrants Sep 2018 A	50,000,000	2018/04/13	2018/09/28	10.00
11526	SG Issuer- Henderson Land European Warrants Dec 2018 A	30,000,000	2018/04/13	2018/12/31	10.50
11519	SG Issuer- HSCEI European Warrants Jan 2019 A	50,000,000	2018/04/13	2019/01/30	12.50
11518	SG Issuer- HSCEI European Put Warrants Dec 2018 C	50,000,000	2018/04/13	2018/12/28	11.00
11520	SG Issuer- HSI European Warrants Sep 2018 D	100,000,000	2018/04/13	2018/09/27	15.50
11525	SG Issuer- HSI European Warrants Nov 2018 C	100,000,000	2018/04/13	2018/11/29	15.00
11521	SG Issuer- HSI European Put Warrants Sep 2018 E	100,000,000	2018/04/13	2018/09/27	20.00
11530	SG Issuer- New World Dev Eu Wt Dec 2018 A	13,000,000	2018/04/13	2018/12/31	10.14
11500	UBS AG- China Mobile European Warrants Sep 2018 B	100,000,000	2018/04/13	2018/09/20	15.70
11502	UBS AG- China Mobile European Put Warrants Oct 2018 A	70,000,000	2018/04/13	2018/10/12	30.24
11498	UBS AG- CRRC European Warrants Oct 2018 A	11,000,000	2018/04/13	2018/10/29	10.45
11493	UBS AG- Guotai Junan I European Warrants Nov 2018 A	30,000,000	2018/04/13	2018/11/26	10.98
11491	UBS AG- Minth Group European Warrants Nov 2018 A	30,000,000	2018/04/13	2018/11/26	14.43
11496	UBS AG- Nexteer European Warrants Nov 2018 A	45,000,000	2018/04/13	2018/11/12	11.25
11490	UBS AG- SHK Ppt European Warrants Oct 2018 A	30,000,000	2018/04/13	2018/10/12	17.91
11494	UBS AG- Techtronic Ind European Warrants Oct 2018 A	22,000,000	2018/04/13	2018/10/12	11.59
11482	Bank Vontobel- CNOOC European Warrants Oct 2018 B	20,000,000	2018/04/13	2018/10/31	12.00
11483	Bank Vontobel- Tencent European Warrants Jul 2018 D	100,000,000	2018/04/13	2018/07/19	20.40
11484	Bank Vontobel- Tencent European Warrants Jul 2018 E	100,000,000	2018/04/13	2018/07/24	31.60
11610	BNP Paribas Issuance B.V.- BOCL European Wts Apr 2019 B	50,000,000	2018/04/16	2019/04/02	15.50
11608	BNP Paribas Issuance B.V.- China Mobile Euro Wts Nov 2018 A	40,000,000	2018/04/16	2018/11/02	12.00
11611	BNP Paribas Issuance B.V.- Galaxy Ent Euro Wts Mar 2019 A	40,000,000	2018/04/16	2019/03/04	24.00
11607	BNP Paribas Issuance B.V.- Ping An European Wt Jan 2019 C	60,000,000	2018/04/16	2019/01/03	36.00
11605	BNP Paribas Issuance B.V.- Tencent Euro Wts Dec 2018 D	80,000,000	2018/04/16	2018/12/04	36.00
11633	Credit Suisse AG- Car Inc European Warrants Oct 2018 A	15,000,000	2018/04/16	2018/10/15	11.55
11629	Credit Suisse AG- China Mobile European Warrants Sep 2018 C	70,000,000	2018/04/16	2018/09/28	10.50
11630	Credit Suisse AG- Sh Pharma European Warrants Oct 2018 A	40,000,000	2018/04/16	2018/10/16	10.00
11648	Goldman Sachs SP (Asia)- CKH Holdings European Wts Sep 18 A	60,000,000	2018/04/16	2018/09/20	10.14
11652	Goldman Sachs SP (Asia)- CNOOC European Warrants Oct 2018 A	80,000,000	2018/04/16	2018/10/16	31.36
11649	Goldman Sachs SP (Asia)- China Overseas European Wts Sep18A	80,000,000	2018/04/16	2018/09/28	12.00
11653	Goldman Sachs SP (Asia)- Ping An Euro Put Wts Jul 2018 N	60,000,000	2018/04/16	2018/07/26	21.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
11650	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 D	150,000,000	2018/04/16	2018/09/20	22.50
11636	Haitong Int'l Sec- CC Bank European Warrants Oct 2018 B	150,000,000	2018/04/16	2018/10/31	67.50
11638	Haitong Int'l Sec- CC Bank European Warrants Nov 2018 A	150,000,000	2018/04/16	2018/11/30	67.50
11639	Haitong Int'l Sec- CC Bank European Warrants Nov 2018 B	150,000,000	2018/04/16	2018/11/30	57.00
11640	Haitong Int'l Sec- CC Bank European Warrants Dec 2018 B	150,000,000	2018/04/16	2018/12/31	55.50
11644	Haitong Int'l Sec- China Mobile European Wts Dec 2018 A	60,000,000	2018/04/16	2018/12/31	21.84
11645	Haitong Int'l Sec- China Mobile European Wts Dec 2018 B	60,000,000	2018/04/16	2018/12/31	16.44
11647	Haitong Int'l Sec- China Mobile European Wts Jan 2019 A	60,000,000	2018/04/16	2019/01/28	15.00
11588	Haitong Int'l Sec- Ch Overseas European Warrants Oct 2018 A	60,000,000	2018/04/16	2018/10/24	11.16
11589	Haitong Int'l Sec- Ch Overseas European Warrants Feb 2019 A	40,000,000	2018/04/16	2019/02/08	10.00
11600	Haitong Int'l Sec- Geely Auto European Warrants Nov 2018 A	50,000,000	2018/04/16	2018/11/20	18.25
11601	Haitong Int'l Sec- Geely Auto European Warrants Nov 2018 B	50,000,000	2018/04/16	2018/11/20	15.35
11602	Haitong Int'l Sec- Geely Auto European Warrants Dec 2018 A	50,000,000	2018/04/16	2018/12/31	14.50
11580	Haitong Int'l Sec- HKEX European Warrants Nov 2018 A	150,000,000	2018/04/16	2018/11/01	37.50
11583	Haitong Int'l Sec- HKEX European Warrants Nov 2018 B	150,000,000	2018/04/16	2018/11/30	24.00
11582	Haitong Int'l Sec- HKEX European Warrants Dec 2018 F	150,000,000	2018/04/16	2018/12/31	37.50
11587	Haitong Int'l Sec- HKEX European Warrants Dec 2018 G	150,000,000	2018/04/16	2018/12/24	22.50
11597	Haitong Int'l Sec- HSBC European Warrants Oct 2018 A	60,000,000	2018/04/16	2018/10/31	20.70
11599	Haitong Int'l Sec- HSBC European Warrants Nov 2018 B	60,000,000	2018/04/16	2018/11/20	15.72
11592	Haitong Int'l Sec- Tencent European Warrants Oct 2018 B	150,000,000	2018/04/16	2018/10/18	40.80
11591	Haitong Int'l Sec- Tencent European Warrants Nov 2018 A	150,000,000	2018/04/16	2018/11/16	53.55
11593	Haitong Int'l Sec- Tencent European Warrants Nov 2018 B	150,000,000	2018/04/16	2018/11/02	38.10
11594	Haitong Int'l Sec- Tencent European Warrants Dec 2018 D	150,000,000	2018/04/16	2018/12/04	37.50
11622	J P Morgan SP BV- Anhui Conch European Warrants Nov 2018 A	50,000,000	2018/04/16	2018/11/01	12.65
11628	J P Morgan SP BV- Car Inc European Warrants Feb 2020 A	50,000,000	2018/04/16	2020/02/03	12.50
11625	J P Morgan SP BV- CPIC European Warrants Nov 2018 A	50,000,000	2018/04/16	2018/11/02	13.85
11623	J P Morgan SP BV- HSBC European Warrants Nov 2018 B	50,000,000	2018/04/16	2018/11/02	13.90
11613	J P Morgan SP BV- KB Laminates European Warrants Feb 2019 A	50,000,000	2018/04/16	2019/02/01	12.50
11621	J P Morgan SP BV- Kingdee Intl European Warrants May 2019 A	50,000,000	2018/04/16	2019/05/02	13.05
11619	J P Morgan SP BV- Sinopharm European Warrants Nov 2018 A	40,000,000	2018/04/16	2018/11/01	17.84
11556	Macquarie Bank Ltd.- CNBM European Warrants Nov 2018 A	7,700,000	2018/04/16	2018/11/02	10.11
11558	Macquarie Bank Ltd.- CNBM European Put Warrants Jan 2019 A	5,000,000	2018/04/16	2019/01/03	10.27
11562	Macquarie Bank Ltd.- Geely Auto European Wts Nov 2018 A	4,000,000	2018/04/16	2018/11/02	12.18
11561	Macquarie Bank Ltd.- Kingdee Int'l European Wts Dec 2018 B	7,000,000	2018/04/16	2018/12/18	10.05
11567	Macquarie Bank Ltd.- Ping An European Warrants Nov 2018 A	17,000,000	2018/04/16	2018/11/02	10.05
11579	UBS AG- GF Sec European Warrants Oct 2018 B	70,000,000	2018/04/16	2018/10/11	10.50
11573	UBS AG- HSI European Warrants Oct 2018 C	200,000,000	2018/04/16	2018/10/30	37.60
11577	UBS AG- HSI European Warrants Nov 2018 B	200,000,000	2018/04/16	2018/11/29	34.60
11576	UBS AG- HSI European Warrants Dec 2018 A	200,000,000	2018/04/16	2018/12/28	33.60
11570	UBS AG- HSI European Put Warrants Oct 2018 B	200,000,000	2018/04/16	2018/10/30	30.80
11568	UBS AG- HSI European Put Warrants Nov 2018 A	200,000,000	2018/04/16	2018/11/29	38.20
11578	UBS AG- WH Group European Warrants Jul 2019 A	50,000,000	2018/04/16	2019/07/24	10.40
19255 #	Goldman Sachs SP (Asia)- Ping An European Wts May 2018 K	40,000,000	2018/04/16	2018/05/28	23.20
11709	BOCI Asia Ltd.- CNBM European Warrants Oct 2018 A	20,000,000	2018/04/17	2018/10/22	18.88

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
11700	BOCI Asia Ltd.- CSPC Pharma European Warrants Sep 2018 A	50,000,000	2018/04/17	2018/09/24	13.30
11711	BOCI Asia Ltd.- Kingdee Int'l European Warrants Sep 2018 A	25,000,000	2018/04/17	2018/09/13	33.78
11712	BOCI Asia Ltd.- Kingdee Int'l European Warrants Sep 2018 B	25,000,000	2018/04/17	2018/09/24	32.00
11707	BOCI Asia Ltd.- Sino Biopharm European Warrants Oct 2018 A	50,000,000	2018/04/17	2018/10/22	13.80
11702	BOCI Asia Ltd.- Sinopharm European Warrants Oct 2018 A	50,000,000	2018/04/17	2018/10/22	28.05
11723	BNP Paribas Issuance B.V.- Agricultural Bank Eu Wt Jan 19 A	40,000,000	2018/04/17	2019/01/03	14.00
11726	BNP Paribas Issuance B.V.- Minsheng Bk Euro Wt Jan 2019 A	40,000,000	2018/04/17	2019/01/03	25.20
11725	BNP Paribas Issuance B.V.- Chinasoft Int'l Euro Wts Nov18A	10,000,000	2018/04/17	2018/11/02	10.00
11724	BNP Paribas Issuance B.V.- China Unicom Euro Wt Nov 2018 A	40,000,000	2018/04/17	2018/11/02	28.40
11757	Credit Suisse AG- Conch Cement European Warrants Oct 2018 A	50,000,000	2018/04/17	2018/10/16	12.50
11752	Credit Suisse AG- BYD Electronic European Wts Oct 2018 A	40,000,000	2018/04/17	2018/10/16	10.00
11755	Credit Suisse AG- CC Bank European Warrants Aug 2018 F	50,000,000	2018/04/17	2018/08/31	11.10
11756	Credit Suisse AG- CNBM European Warrants Jul 2018 B	20,000,000	2018/04/17	2018/07/31	10.80
11753	Credit Suisse AG- Dongfeng Motor European Warrants Oct 18 A	15,000,000	2018/04/17	2018/10/16	12.00
11750	Credit Suisse AG- Geely Auto European Warrants Aug 2018 C	80,000,000	2018/04/17	2018/08/31	14.56
11754	Credit Suisse AG- Baiyunshan Ph European Warrants Oct 2018A	35,000,000	2018/04/17	2018/10/16	10.33
11748	Credit Suisse AG- HTSC European Warrants Sep 2018 A	70,000,000	2018/04/17	2018/09/28	10.50
11743	Credit Suisse AG- Kingdee Int'l European Warrants Jan 2019A	70,000,000	2018/04/17	2019/01/17	17.50
11745	Credit Suisse AG- Tencent European Wts Jul 2018 N	250,000,000	2018/04/17	2018/07/23	37.50
11746	Credit Suisse AG- Tencent European Wts Sep 2018 B	250,000,000	2018/04/17	2018/09/20	45.00
11744	Credit Suisse AG- WH Group European Warrants Oct 2018 A	15,000,000	2018/04/17	2018/10/16	11.25
11740	Goldman Sachs SP (Asia)- CSOP A50 ETF Euro Wts Sep 2018 C	80,000,000	2018/04/17	2018/09/11	43.76
11735	Goldman Sachs SP (Asia)- China Unicom Euro Wts Aug 2018 A	50,000,000	2018/04/17	2018/08/30	12.45
11734	Goldman Sachs SP (Asia)- HSBC European Warrants Aug 2018 F	80,000,000	2018/04/17	2018/08/31	21.92
11741	Goldman Sachs SP (Asia)- Haitong Sec Euro Wts Oct 2018 A	40,000,000	2018/04/17	2018/10/02	24.04
11738	Goldman Sachs SP (Asia)- PetroChina European Wts Sep 2018 A	80,000,000	2018/04/17	2018/09/26	12.00
11698	HK Bank- BYD Electronic EEuropean Warrants Oct 2018 A	50,000,000	2018/04/17	2018/10/31	12.50
11697	HK Bank- GAC Group European Warrants Nov 2018 A	80,000,000	2018/04/17	2018/11/19	12.00
11695	HK Bank- Geely Auto European Warrants Oct 2018 A	80,000,000	2018/04/17	2018/10/31	24.00
11699	HK Bank- HSI European Put Warrants Nov 2018 A	180,000,000	2018/04/17	2018/11/29	28.80
11664	Haitong Int'l Sec- 3S BIO European Warrants Oct 2018 A	40,000,000	2018/04/17	2018/10/30	13.60
11659	Haitong Int'l Sec- Conch Cement European Warrants Nov 2018A	40,000,000	2018/04/17	2018/11/30	15.60
11665	Haitong Int'l Sec- BBMG European Warrants Oct 2018 A	28,000,000	2018/04/17	2018/10/18	12.04
11655	Haitong Int'l Sec- China EB Ltd European Warrants Dec 2018A	40,000,000	2018/04/17	2018/12/24	10.00
11658	Haitong Int'l Sec- CMS European Warrants Dec 2018 A	40,000,000	2018/04/17	2018/12/18	16.40
11680	Haitong Int'l Sec- CNBM European Warrants Sep 2019 A	80,000,000	2018/04/17	2019/09/30	20.80
11657	Haitong Int'l Sec- China Res Beer European Warrants Oct 18A	50,000,000	2018/04/17	2018/10/30	30.50
11660	Haitong Int'l Sec- CSPC Pharma European Warrants Nov 2018 A	40,000,000	2018/04/17	2018/11/30	17.60
11661	Haitong Int'l Sec- CSPC Pharma European Warrants Nov 2018 B	40,000,000	2018/04/17	2018/11/16	12.00
11654	Haitong Int'l Sec- Galaxy Ent European Warrants Nov 2018 A	40,000,000	2018/04/17	2018/11/30	16.40
11656	Haitong Int'l Sec- Kingdee Int'l European Wts Mar 2019 A	80,000,000	2018/04/17	2019/03/29	20.00
11667	Haitong Int'l Sec- Luye Pharma European Warrants Nov 2018 A	68,000,000	2018/04/17	2018/11/05	17.00
11668	Haitong Int'l Sec- Luye Pharma European Warrants Dec 2018 A	68,000,000	2018/04/17	2018/12/28	17.00
11662	Haitong Int'l Sec- Sino Biopharm European Wts Nov 2018 A	40,000,000	2018/04/17	2018/11/16	10.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
11671	Haitong Int'l Sec- WuXi Bio European Warrants Oct 2018 A	68,000,000	2018/04/17	2018/10/30	17.00
11732	J P Morgan SP BV- BYD Company European Warrants Aug 2018 A	60,000,000	2018/04/17	2018/08/01	34.80
11733	J P Morgan SP BV- CMS European Warrants Nov 2018 A	60,000,000	2018/04/17	2018/11/30	15.24
11729	J P Morgan SP BV- Chinares Cement Euro Warrants Nov 2018 A	30,000,000	2018/04/17	2018/11/02	15.48
11727	J P Morgan SP BV- SUNAC European Warrants Aug 2018 B	60,000,000	2018/04/17	2018/08/31	23.94
11715	Macquarie Bank Ltd.- HSBC European Warrants Apr 2019 A	22,000,000	2018/04/17	2019/04/02	10.32
11716	Macquarie Bank Ltd.- HSBC European Put Warrants Jan 2019 C	14,000,000	2018/04/17	2019/01/03	10.09
11717	Macquarie Bank Ltd.- Tencent European Warrants Oct 2018 J	40,000,000	2018/04/17	2018/10/26	24.12
11719	Macquarie Bank Ltd.- Tencent European Warrants Nov 2018 C	8,000,000	2018/04/17	2018/11/01	26.94
11720	Macquarie Bank Ltd.- Tencent European Warrants Nov 2018 D	8,000,000	2018/04/17	2018/11/01	39.33
11689	Macquarie Bank Ltd.- YOFC European Warrants Jul 2019 A	7,000,000	2018/04/17	2019/07/19	10.86
11682	Bank Vontobel- HSI European Warrants Dec 2018 B	100,000,000	2018/04/17	2018/12/28	16.10
11684	Bank Vontobel- HSI European Put Warrants Aug 2018 G	100,000,000	2018/04/17	2018/08/30	15.10
11688	Bank Vontobel- HSI European Put Warrants Sep 2018 E	100,000,000	2018/04/17	2018/09/27	18.80
18614 #	J P Morgan SP BV- Ping An European Warrants Aug 2018 A	100,000,000	2018/04/17	2018/08/31	130.00
11758	BOCI Asia Ltd.- AIA European Warrants Sep 2018 B	100,000,000	2018/04/18	2018/09/26	23.60
11772	BOCI Asia Ltd.- CHALCO European Warrants Aug 2018 A	50,000,000	2018/04/18	2018/08/20	19.20
11769	BOCI Asia Ltd.- CC Bank European Warrants Sep 2018 A	80,000,000	2018/04/18	2018/09/20	20.08
11770	BOCI Asia Ltd.- CC Bank European Warrants Sep 2018 B	80,000,000	2018/04/18	2018/09/27	15.92
11764	BOCI Asia Ltd.- Sinopec Corp European Warrants Sep 2018 A	50,000,000	2018/04/18	2018/09/26	11.75
11774	BOCI Asia Ltd.- CPIC European Warrants Nov 2018 A	50,000,000	2018/04/18	2018/11/26	15.45
11768	BOCI Asia Ltd.- Geely Auto European Warrants Sep 2018 A	100,000,000	2018/04/18	2018/09/04	20.40
11767	BOCI Asia Ltd.- Geely Auto European Warrants Oct 2018 A	100,000,000	2018/04/18	2018/10/29	29.40
11762	BOCI Asia Ltd.- Galaxy Ent European Warrants Oct 2018 A	60,000,000	2018/04/18	2018/10/29	24.24
11773	BOCI Asia Ltd.- PICC Group European Warrants Aug 2018 A	50,000,000	2018/04/18	2018/08/28	10.35
11843	BNP Paribas Issuance B.V.- AIA European Wts Jan 2019 B	40,000,000	2018/04/18	2019/01/03	21.60
11836	BNP Paribas Issuance B.V.- China Life Euro Wts Dec 2018 B	70,000,000	2018/04/18	2018/12/04	11.20
11837	BNP Paribas Issuance B.V.- CPIC European Wts Nov 2018 A	40,000,000	2018/04/18	2018/11/02	13.60
11838	BNP Paribas Issuance B.V.- NCI European Wts Nov 2018 A	40,000,000	2018/04/18	2018/11/02	18.80
11839	BNP Paribas Issuance B.V.- PICC Group Euro Wts Nov 2018 A	40,000,000	2018/04/18	2018/11/02	16.40
11840	BNP Paribas Issuance B.V.- Ping An European Wt Sep 2018 A	40,000,000	2018/04/18	2018/09/04	23.20
11866	Credit Suisse AG- BAIC Motor European Warrants Oct 2018 A	15,000,000	2018/04/18	2018/10/18	13.95
11872	Credit Suisse AG- China Cinda European Warrants Aug 2018 A	60,000,000	2018/04/18	2018/08/24	11.40
11862	Credit Suisse AG- Minsheng Bank European Wts Jul 2018 B	50,000,000	2018/04/18	2018/07/31	11.05
11868	Credit Suisse AG- China Res Beer European Warrants Oct 18 A	20,000,000	2018/04/18	2018/10/18	10.40
11869	Credit Suisse AG- Chinares Cement European Warrants Oct 18A	20,000,000	2018/04/18	2018/10/18	10.40
11861	Credit Suisse AG- CSOP A50 ETF European Warrants Aug 2018 A	50,000,000	2018/04/18	2018/08/24	18.75
11871	Credit Suisse AG- China Unicom European Warrants Oct 2018 A	30,000,000	2018/04/18	2018/10/18	15.60
11867	Credit Suisse AG- PICC Group European Warrants Oct 2018 A	50,000,000	2018/04/18	2018/10/18	17.50
11858	Credit Suisse AG- Ping An European Warrants Aug 2018 D	150,000,000	2018/04/18	2018/08/31	192.00
11859	Credit Suisse AG- Ping An European Warrants Aug 2018 E	150,000,000	2018/04/18	2018/08/31	145.50
11860	Credit Suisse AG- Ping An European Warrants Sep 2018 F	150,000,000	2018/04/18	2018/09/28	72.00
11881	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Sep 2018 C	60,000,000	2018/04/18	2018/09/26	10.08
11891	Goldman Sachs SP (Asia)- AIA European Warrants Sep 2018 A	80,000,000	2018/04/18	2018/09/20	29.44

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
11873	Goldman Sachs SP (Asia)- CRCC European Warrants Aug 2018 C	40,000,000	2018/04/18	2018/08/27 15.88
11882	Goldman Sachs SP (Asia)- Ping An European Wts Aug 2018 E	100,000,000	2018/04/18	2018/08/24 77.60
11884	Goldman Sachs SP (Asia)- Ping An European Wts Oct 2018 A	100,000,000	2018/04/18	2018/10/02 65.00
11887	Goldman Sachs SP (Asia)- Ping An European Wts Dec 2018 D	60,000,000	2018/04/18	2018/12/28 73.98
11844	Haitong Int'l Sec- CNOOC European Warrants Oct 2018 A	30,000,000	2018/04/18	2018/10/18 19.50
11849	Haitong Int'l Sec- PetroChina European Warrants Oct 2018 A	60,000,000	2018/04/18	2018/10/19 19.80
11845	Haitong Int'l Sec- Ping An European Warrants Aug 2018 G	80,000,000	2018/04/18	2018/08/24 104.00
11850	Haitong Int'l Sec- Ping An European Warrants Oct 2018 A	80,000,000	2018/04/18	2018/10/24 96.00
11853	J P Morgan SP BV- AIA European Warrants Nov 2018 A	100,000,000	2018/04/18	2018/11/02 25.90
11855	J P Morgan SP BV- AIA European Put Warrants Oct 2018 B	100,000,000	2018/04/18	2018/10/31 33.40
11857	J P Morgan SP BV- Anta Sports European Warrants Nov 2018 A	40,000,000	2018/04/18	2018/11/02 20.60
11791	Macquarie Bank Ltd.- China Mobile European Wts Nov 2018 C	10,500,000	2018/04/18	2018/11/01 10.12
11777	Macquarie Bank Ltd.- Sinopec Corp European Wts Nov 2018 A	27,000,000	2018/04/18	2018/11/02 10.10
11781	Macquarie Bank Ltd.- Sinopec Corp European Wts Dec 2018 A	31,000,000	2018/04/18	2018/12/04 10.20
11789	Macquarie Bank Ltd.- China Tel European Warrants Nov 2018 A	36,000,000	2018/04/18	2018/11/02 10.12
11793	Macquarie Bank Ltd.- Jiangxi Copper European Wts Mar 2019 A	6,500,000	2018/04/18	2019/03/04 10.19
11792	Macquarie Bank Ltd.- Stanchart European Warrants Oct 2018 B	12,000,000	2018/04/18	2018/10/31 10.01
11784	Macquarie Bank Ltd.- Tencent European Put Wts Oct 2018 K	22,000,000	2018/04/18	2018/10/26 10.03
11790	Macquarie Bank Ltd.- Tencent European Put Wts Nov 2018 E	7,000,000	2018/04/18	2018/11/01 18.47
11903	SG Issuer- AIA European Warrants Sep 2018 B	60,000,000	2018/04/18	2018/09/28 17.10
11905	SG Issuer- AIA European Warrants Oct 2018 A	60,000,000	2018/04/18	2018/10/31 15.00
11906	SG Issuer- AIA European Put Warrants Oct 2018 B	60,000,000	2018/04/18	2018/10/31 15.00
11892	SG Issuer- China Oilfield European Warrants Dec 2018 A	10,000,000	2018/04/18	2018/12/31 10.50
11893	SG Issuer- PICC Group European Warrants Nov 2018 A	30,000,000	2018/04/18	2018/11/30 11.70
11894	SG Issuer- Ping An European Warrants Sep 2018 B	50,000,000	2018/04/18	2018/09/28 27.00
11895	SG Issuer- Ping An European Warrants Sep 2018 C	50,000,000	2018/04/18	2018/09/28 14.75
11896	SG Issuer- PSBC European Warrants Dec 2018 A	30,000,000	2018/04/18	2018/12/31 11.70
11897	SG Issuer- Stanchart European Warrants Nov 2018 A	25,000,000	2018/04/18	2018/11/30 10.00
11899	SG Issuer- Wynn Macau European Warrants Nov 2018 A	25,000,000	2018/04/18	2018/11/30 11.50
11828	UBS AG- AIA European Warrants Sep 2018 A	100,000,000	2018/04/18	2018/09/03 29.20
11823	UBS AG- CNOOC European Warrants Aug 2018 A	40,000,000	2018/04/18	2018/08/20 12.60
11824	UBS AG- CNOOC European Put Warrants Oct 2018 A	40,000,000	2018/04/18	2018/10/26 32.48
11816	UBS AG- HKEX European Warrants Sep 2018 D	300,000,000	2018/04/18	2018/09/20 60.60
11813	UBS AG- HKEX European Warrants Oct 2018 B	50,000,000	2018/04/18	2018/10/18 49.35
11814	UBS AG- HKEX European Warrants Oct 2018 C	300,000,000	2018/04/18	2018/10/10 46.50
11817	UBS AG- HKEX European Put Warrants Oct 2018 D	300,000,000	2018/04/18	2018/10/26 45.60
11810	UBS AG- PetroChina European Warrants Sep 2018 A	70,000,000	2018/04/18	2018/09/28 11.48
11812	UBS AG- PetroChina European Put Warrants Oct 2018 A	40,000,000	2018/04/18	2018/10/18 13.88
11797	UBS AG- Ping An European Warrants Jul 2018 E	100,000,000	2018/04/18	2018/07/23 76.90
11798	UBS AG- Ping An European Warrants Jul 2018 F	100,000,000	2018/04/18	2018/07/27 63.00
11800	UBS AG- Ping An European Warrants Sep 2018 F	100,000,000	2018/04/18	2018/09/20 48.80
11804	UBS AG- Ping An European Warrants Sep 2018 G	100,000,000	2018/04/18	2018/09/27 23.60
11801	UBS AG- Ping An European Warrants Sep 2019 A	300,000,000	2018/04/18	2019/09/23 52.50
11851	Bank Vontobel- HSI European Warrants Aug 2018 H	100,000,000	2018/04/18	2018/08/30 15.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
11832	Bank Vontobel- HSI European Warrants Sep 2018 F	100,000,000	2018/04/18	2018/09/27 19.50
11831	Bank Vontobel- HSI European Put Warrants Nov 2018 A	100,000,000	2018/04/18	2018/11/29 17.00
11829	Bank Vontobel- Yanzhou Coal European Warrants Dec 2018 A	70,000,000	2018/04/18	2018/12/12 10.85
11969	BOCI Asia Ltd.- BOC HK European Warrants Oct 2018 A	70,000,000	2018/04/19	2018/10/24 10.50
11972	BOCI Asia Ltd.- Ch Comm Cons European Warrants Sep 2018 A	50,000,000	2018/04/19	2018/09/24 15.35
11968	BOCI Asia Ltd.- CITIC Bank European Warrants Oct 2018 A	50,000,000	2018/04/19	2018/10/29 12.75
11967	BOCI Asia Ltd.- Minsheng Bank European Warrants Oct 2018 A	50,000,000	2018/04/19	2018/10/29 12.80
11970	BOCI Asia Ltd.- ICBC European Warrants Sep 2018 B	80,000,000	2018/04/19	2018/09/28 14.72
11971	BOCI Asia Ltd.- ICBC European Warrants Sep 2018 C	80,000,000	2018/04/19	2018/09/20 23.44
11973	BOCI Asia Ltd.- Ping An European Warrants Sep 2018 D	100,000,000	2018/04/19	2018/09/24 26.50
11976	BOCI Asia Ltd.- Ping An European Warrants Sep 2018 E	100,000,000	2018/04/19	2018/09/20 44.30
11944	BNP Paribas Issuance B.V.- Ping An European Wt Feb 2019 A	60,000,000	2018/04/19	2019/02/04 39.60
11939	BNP Paribas Issuance B.V.- Tencent Euro Wts Nov 2018 E	80,000,000	2018/04/19	2018/11/02 25.60
11940	BNP Paribas Issuance B.V.- Tencent Euro Wts Feb 2019 B	80,000,000	2018/04/19	2019/02/04 40.00
11977	Credit Suisse AG- AIA European Warrants Sep 2018 B	70,000,000	2018/04/19	2018/09/28 19.95
11978	Credit Suisse AG- AIA European Warrants Oct 2018 A	70,000,000	2018/04/19	2018/10/31 17.50
11983	Credit Suisse AG- BOC HK European Warrants Oct 2018 B	70,000,000	2018/04/19	2018/10/24 10.50
12108	Credit Suisse AG- Brilliance Chi European Wts Oct 2018 A	55,000,000	2018/04/19	2018/10/22 10.73
11999	Credit Suisse AG- CICC European Warrants Oct 2018 A	50,000,000	2018/04/19	2018/10/18 12.50
11997	Credit Suisse AG- CSDC European Warrants Oct 2018 A	40,000,000	2018/04/19	2018/10/18 10.00
11996	Credit Suisse AG- COSCO Sh Hold European Warrants Oct 2018A	20,000,000	2018/04/19	2018/10/18 10.00
11998	Credit Suisse AG- Evergrande European Warrants Oct 2018 A	40,000,000	2018/04/19	2018/10/18 17.20
12107	Credit Suisse AG- HKEX European Warrants Oct 2018 C	250,000,000	2018/04/19	2018/10/11 37.50
12111	Credit Suisse AG- HKEX European Warrants Oct 2018 D	250,000,000	2018/04/19	2018/10/10 37.50
11986	Credit Suisse AG- HSCEI ETF European Warrants Jul 2018 B	60,000,000	2018/04/19	2018/07/31 10.20
11984	Credit Suisse AG- PICC European Warrants Mar 2019 A	100,000,000	2018/04/19	2019/03/26 15.00
12106	Credit Suisse AG- Ping An European Warrants Sep 2018 G	150,000,000	2018/04/19	2018/09/27 42.75
11995	Credit Suisse AG- ZA Online European Warrants Jun 2019 B	100,000,000	2018/04/19	2019/06/28 15.00
12202	Goldman Sachs SP (Asia)- CRRC European Warrants Oct 2018 A	40,000,000	2018/04/19	2018/10/19 10.16
12175	Goldman Sachs SP (Asia)- HKEX European Warrants Oct 2018 C	150,000,000	2018/04/19	2018/10/26 22.50
12188	Goldman Sachs SP (Asia)- HKEX European Warrants Oct 2018 D	150,000,000	2018/04/19	2018/10/10 22.50
12211	Goldman Sachs SP (Asia)- NCI European Warrants Oct 2018 B	40,000,000	2018/04/19	2018/10/08 13.04
12197	Goldman Sachs SP (Asia)- Ping An European Wts Aug 2018 F	80,000,000	2018/04/19	2018/08/22 25.04
12191	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 E	150,000,000	2018/04/19	2018/09/20 26.10
12196	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 F	150,000,000	2018/04/19	2018/09/24 22.50
11919	Haitong Int'l Sec- AIA European Warrants Aug 2018 A	100,000,000	2018/04/19	2018/08/29 33.00
11921	Haitong Int'l Sec- AIA European Warrants Oct 2018 B	100,000,000	2018/04/19	2018/10/31 26.00
11920	Haitong Int'l Sec- AIA European Warrants Nov 2018 A	100,000,000	2018/04/19	2018/11/30 41.00
11915	Haitong Int'l Sec- AIA European Put Warrants Oct 2018 A	100,000,000	2018/04/19	2018/10/31 33.00
11927	Haitong Int'l Sec- Anta Sports European Warrants Nov 2018 A	30,000,000	2018/04/19	2018/11/02 15.00
11924	Haitong Int'l Sec- PICC Group European Warrants Jul 2018 A	50,000,000	2018/04/19	2018/07/24 10.50
11925	Haitong Int'l Sec- PICC Group European Warrants Nov 2018 A	35,000,000	2018/04/19	2018/11/28 11.90
11928	Haitong Int'l Sec- Ping An European Warrants Jul 2018 C	100,000,000	2018/04/19	2018/07/30 102.00
11932	Haitong Int'l Sec- Ping An European Warrants Sep 2018 I	100,000,000	2018/04/19	2018/09/26 41.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
11929	Haitong Int'l Sec- Ping An European Warrants Nov 2018 A	100,000,000	2018/04/19	2018/11/30 72.00
11933	Haitong Int'l Sec- Ping An European Warrants Nov 2018 B	100,000,000	2018/04/19	2018/11/30 56.00
11935	Haitong Int'l Sec- Ping An European Warrants Nov 2018 C	100,000,000	2018/04/19	2018/11/30 41.00
11936	Haitong Int'l Sec- Ping An European Warrants Nov 2018 D	100,000,000	2018/04/19	2018/11/30 30.00
12151	J P Morgan SP BV- BYD Electronic European Wts Nov 2018 A	60,000,000	2018/04/19	2018/11/01 15.42
12164	J P Morgan SP BV- CNOOC European Warrants Nov 2018 B	100,000,000	2018/04/19	2018/11/01 41.90
12174	J P Morgan SP BV- Sinopec Corp European Warrants Oct 2018 A	100,000,000	2018/04/19	2018/10/30 25.20
12152	J P Morgan SP BV- CR Beer European Warrants Nov 2018 A	30,000,000	2018/04/19	2018/11/01 13.98
12169	J P Morgan SP BV- Li Ning European Warrants Mar 2019 A	60,000,000	2018/04/19	2019/03/04 15.12
12167	J P Morgan SP BV- MGM China European Warrants Dec 2018 A	80,000,000	2018/04/19	2018/12/03 20.08
12155	J P Morgan SP BV- PetroChina European Warrants Oct 2018 A	100,000,000	2018/04/19	2018/10/02 23.10
12168	J P Morgan SP BV- Ping An European Warrants Aug 2018 E	200,000,000	2018/04/19	2018/08/01 64.80
11965	Macquarie Bank Ltd.- BYD European Warrants Nov 2018 B	11,000,000	2018/04/19	2018/11/02 10.01
11966	Macquarie Bank Ltd.- Tencent European Warrants Nov 2018 F	9,000,000	2018/04/19	2018/11/01 64.85
12133	SG Issuer- CGS European Warrants Apr 2019 A	15,000,000	2018/04/19	2019/04/30 13.35
12145	SG Issuer- GAC European Warrants Nov 2018 A	50,000,000	2018/04/19	2018/11/30 10.00
12116	SG Issuer- Galaxy Ent European Warrants Oct 2018 A	40,000,000	2018/04/19	2018/10/31 30.40
12118	SG Issuer- Galaxy Ent European Warrants Oct 2018 B	40,000,000	2018/04/19	2018/10/31 18.40
12130	SG Issuer- Galaxy Ent European Put Warrants Oct 2018 C	40,000,000	2018/04/19	2018/10/31 15.20
12140	SG Issuer- Greatwall Motor European Warrants Nov 2018 A	10,000,000	2018/04/19	2018/11/30 10.10
12144	SG Issuer- Greatwall Motor European Warrants Dec 2019 A	80,000,000	2018/04/19	2019/12/31 12.00
12146	SG Issuer- HTSC European Warrants Apr 2019 A	40,000,000	2018/04/19	2019/04/30 11.40
12149	SG Issuer- Kingdee Intl European Warrants Apr 2019 A	50,000,000	2018/04/19	2019/04/30 14.00
11955	UBS AG- AIA European Warrants Oct 2018 A	100,000,000	2018/04/19	2018/10/18 25.00
11963	UBS AG- CNOOC European Warrants Dec 2019 A	100,000,000	2018/04/19	2019/12/16 25.00
11956	UBS AG- Geely Auto European Put Warrants Sep 2018 B	70,000,000	2018/04/19	2018/09/21 25.76
11952	UBS AG- HSBC European Warrants Aug 2018 B	100,000,000	2018/04/19	2018/08/24 17.00
11953	UBS AG- HSBC European Warrants Oct 2018 A	100,000,000	2018/04/19	2018/10/31 16.30
11964	UBS AG- Li Ning European Warrants Mar 2019 B	50,000,000	2018/04/19	2019/03/08 12.55
11945	UBS AG- Ping An European Warrants Aug 2018 E	100,000,000	2018/04/19	2018/08/31 120.30
11949	UBS AG- Ping An European Warrants Oct 2018 C	100,000,000	2018/04/19	2018/10/18 104.80
12112	UBS AG- Ping An European Warrants Dec 2018 A	100,000,000	2018/04/19	2018/12/17 55.10
11946	UBS AG- Ping An European Warrants Oct 2019 A	100,000,000	2018/04/19	2019/10/21 128.20
11948	UBS AG- Ping An European Warrants Mar 2020 A	100,000,000	2018/04/19	2020/03/23 122.80
11909	Bank Vontobel- AIA European Warrants Jul 2018 A	60,000,000	2018/04/19	2018/07/19 10.80
11908	Bank Vontobel- AIA European Warrants Nov 2018 A	60,000,000	2018/04/19	2018/11/02 15.18
11914	Bank Vontobel- BOC HK European Warrants Oct 2018 A	70,000,000	2018/04/19	2018/10/29 10.78
11938	Bank Vontobel- CNOOC European Warrants Jul 2018 A	50,000,000	2018/04/19	2018/07/24 17.30
11911	Bank Vontobel- HSBC European Warrants Nov 2018 B	40,000,000	2018/04/19	2018/11/02 10.20
23911 [#]	J P Morgan SP BV- HSI European Warrants Aug 2018 H	300,000,000	2018/04/19	2018/08/30 49.80
12305	BOCI Asia Ltd.- Bank of China European Warrants Sep 2018 B	80,000,000	2018/04/20	2018/09/24 12.32
12306	BOCI Asia Ltd.- Bank of China European Warrants Dec 2018 B	80,000,000	2018/04/20	2018/12/18 15.04
12300	BOCI Asia Ltd.- Country Garden European Warrants Dec 2018 A	50,000,000	2018/04/20	2018/12/31 12.60
12277	BOCI Asia Ltd.- CKH Holdings European Warrants Oct 2018 A	30,000,000	2018/04/20	2018/10/24 11.28

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬元)
12309	BOCI Asia Ltd.- CNOOC European Warrants Oct 2018 A	50,000,000	2018/04/20	2018/10/29 12.75
12302	BOCI Asia Ltd.- China Res Land European Warrants Oct 2018 A	70,000,000	2018/04/20	2018/10/29 10.71
12303	BOCI Asia Ltd.- Evergrande European Warrants Dec 2018 A	50,000,000	2018/04/20	2018/12/24 20.55
12293	BOCI Asia Ltd.- GAC Group European Warrants Nov 2018 A	50,000,000	2018/04/20	2018/11/27 10.85
12276	BOCI Asia Ltd.- HSBC European Warrants Oct 2018 A	100,000,000	2018/04/20	2018/10/26 18.00
12295	BOCI Asia Ltd.- Haitong Sec European Warrants Oct 2018 A	25,000,000	2018/04/20	2018/10/03 18.25
12288	BOCI Asia Ltd.- New World Dev European Warrants Dec 2018 A	30,000,000	2018/04/20	2018/12/31 12.48
12281	BOCI Asia Ltd.- SHK Ppt European Warrants Sep 2018 A	40,000,000	2018/04/20	2018/09/24 10.56
12301	BOCI Asia Ltd.- SUNAC European Warrants Sep 2018 A	50,000,000	2018/04/20	2018/09/24 25.35
12272	BOCI Asia Ltd.- Tencent European Wts Sep 2018 G	200,000,000	2018/04/20	2018/09/24 59.20
12274	BOCI Asia Ltd.- Tencent European Wts Sep 2018 H	200,000,000	2018/04/20	2018/09/28 91.00
12299	BOCI Asia Ltd.- ZA Online European Warrants Jun 2019 A	100,000,000	2018/04/20	2019/06/28 15.50
12220	BNP Paribas Issuance B.V.- CNOOC European Wts Jan 2019 A	40,000,000	2018/04/20	2019/01/03 17.60
12219	BNP Paribas Issuance B.V.- Evergrande Euro Wts Jan 2019 A	50,000,000	2018/04/20	2019/01/03 25.00
12215	BNP Paribas Issuance B.V.- Geely Auto Euro Wts Dec 2018 A	60,000,000	2018/04/20	2018/12/04 17.40
12221	BNP Paribas Issuance B.V.- SUNAC European Wts Apr 2019 B	40,000,000	2018/04/20	2019/04/02 27.20
12327	Credit Suisse AG- Anta Sports European Warrants Oct 2018 A	25,000,000	2018/04/20	2018/10/19 11.00
12336	Credit Suisse AG- Avichina European Warrants Oct 2018 A	15,000,000	2018/04/20	2018/10/19 16.50
12334	Credit Suisse AG- CSPC Pharma European Warrants Sep 2018 B	40,000,000	2018/04/20	2018/09/28 10.00
12326	Credit Suisse AG- Geely Auto European Put Wts Oct 2018 A	40,000,000	2018/04/20	2018/10/31 10.00
12339	Credit Suisse AG- ICBC European Warrants Aug 2018 D	70,000,000	2018/04/20	2018/08/31 10.50
12333	Credit Suisse AG- Ping An European Warrants Aug 2018 F	150,000,000	2018/04/20	2018/08/22 57.00
12330	Credit Suisse AG- Ping An European Warrants Oct 2018 D	150,000,000	2018/04/20	2018/10/31 82.50
12332	Credit Suisse AG- Ping An European Warrants Oct 2018 E	150,000,000	2018/04/20	2018/10/19 151.50
12328	Credit Suisse AG- Ping An European Warrants Nov 2018 A	150,000,000	2018/04/20	2018/11/30 112.50
12329	Credit Suisse AG- Ping An European Warrants Nov 2018 B	150,000,000	2018/04/20	2018/11/30 174.00
12324	Credit Suisse AG- WuXi Bio European Warrants Oct 2018 A	40,000,000	2018/04/20	2018/10/19 10.00
12322	Bank of East Asia- Ping An European Warrants Jul 2019 A	30,000,000	2018/04/20	2019/07/31 30.00
12319	Bank of East Asia- Yanzhou Coal European Wts May 2019 A	40,000,000	2018/04/20	2019/05/02 10.00
12354	Goldman Sachs SP (Asia)- AIA European Warrants Oct 2018 A	80,000,000	2018/04/20	2018/10/22 20.00
12349	Goldman Sachs SP (Asia)- HSBC European Warrants Sep 2018 C	80,000,000	2018/04/20	2018/09/26 12.00
12355	Goldman Sachs SP (Asia)- ICBC European Warrants Sep 2018 A	80,000,000	2018/04/20	2018/09/24 14.56
12350	Goldman Sachs SP (Asia)- KECL European Warrants Oct 2018 A	50,000,000	2018/04/20	2018/10/22 16.25
12365	Goldman Sachs SP (Asia)- Sands China Ltd Euro Wts Aug 2018D	80,000,000	2018/04/20	2018/08/22 12.00
12353	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 G	150,000,000	2018/04/20	2018/09/24 32.10
12338	J P Morgan SP BV- Brilliance Chi European Wts Nov 2018 A	60,000,000	2018/04/20	2018/11/05 15.06
12317	Macquarie Bank Ltd.- Bank of China European Warrants Nov18A	40,000,000	2018/04/20	2018/11/02 10.04
12313	Macquarie Bank Ltd.- Country Garden European Wts Jan 2019 B	4,800,000	2018/04/20	2019/01/03 10.08
12315	Macquarie Bank Ltd.- CMS European Warrants Jun 2019 A	24,700,000	2018/04/20	2019/06/18 10.00
12312	Macquarie Bank Ltd.- Li Ning European Warrants Jun 2019 A	40,000,000	2018/04/20	2019/06/18 10.00
12341	SG Issuer- Brilliance Chi European Warrants Nov 2018 A	40,000,000	2018/04/20	2018/11/30 10.00
12342	SG Issuer- China Res Land European Warrants Nov 2018 A	40,000,000	2018/04/20	2018/11/30 12.40
12344	SG Issuer- CRRC European Warrants Nov 2018 A	15,000,000	2018/04/20	2018/11/30 10.80
12343	SG Issuer- COSCO Ship Hold European Warrants Nov 2018 A	20,000,000	2018/04/20	2018/11/30 10.60

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百 萬 港 元)	集 資 金 額
12345	SG Issuer- NCI European Warrants Nov 2018 A	25,000,000	2018/04/20	2018/11/30	10.13
12346	SG Issuer- SJM Hold Eu Wt Nov 2018 A	15,000,000	2018/04/20	2018/11/30	10.05
12348	SG Issuer- SUNAC European Warrants Nov 2018 A	15,000,000	2018/04/20	2018/11/30	10.05
12251	UBS AG- Ch LongYuan European Warrants Oct 2019 A	50,000,000	2018/04/20	2019/10/28	12.50
12255	UBS AG- Fosun Pharma European Warrants Nov 2019 A	11,000,000	2018/04/20	2019/11/19	15.98
12247	UBS AG- Melco Int'l Dev European Warrants Oct 2018 A	50,000,000	2018/04/20	2018/10/19	12.80
12248	UBS AG- MGM China European Warrants Nov 2018 A	50,000,000	2018/04/20	2018/11/19	14.25
12222	UBS AG- Sands China Ltd European Warrants Aug 2018 C	70,000,000	2018/04/20	2018/08/22	13.79
12223	UBS AG- Sands China Ltd European Warrants Nov 2018 A	70,000,000	2018/04/20	2018/11/19	18.20
12236	UBS AG- Sands China Ltd European Put Warrants Sep 2018 A	50,000,000	2018/04/20	2018/09/20	18.35
12233	UBS AG- Wynn Macau European Warrants Oct 2018 A	70,000,000	2018/04/20	2018/10/19	18.20
12269	Bank Vontobel- China Life European Warrants Oct 2018 D	100,000,000	2018/04/20	2018/10/31	26.10
12270	Bank Vontobel- Sinopec Corp European Warrants Nov 2018 A	40,000,000	2018/04/20	2018/11/02	11.60
12337	Bank Vontobel- Galaxy Ent European Warrants Nov 2018 A	40,000,000	2018/04/20	2018/11/01	15.44
12267	Bank Vontobel- HK & China Gas European Warrants Nov 2018 A	20,000,000	2018/04/20	2018/11/01	11.18
12260	Bank Vontobel- Ping An European Warrants Aug 2018 D	40,000,000	2018/04/20	2018/08/28	26.80
12424	BOCI Asia Ltd.- CSOP A50 ETF European Warrants Sep 2018 C	40,000,000	2018/04/23	2018/09/18	23.76
12426	BOCI Asia Ltd.- CSOP A50 ETF European Warrants Sep 2018 D	40,000,000	2018/04/23	2018/09/24	19.00
12427	BOCI Asia Ltd.- A50 China European Warrants Sep 2018 A	40,000,000	2018/04/23	2018/09/03	10.48
12431	BOCI Asia Ltd.- HSCEI European Warrants Nov 2018 A	100,000,000	2018/04/23	2018/11/29	22.10
12428	BOCI Asia Ltd.- HSI European Warrants Oct 2018 A	100,000,000	2018/04/23	2018/10/30	17.50
12430	BOCI Asia Ltd.- HSI European Put Warrants Sep 2018 A	100,000,000	2018/04/23	2018/09/27	18.60
12448	Credit Suisse AG- Tencent European Wts Jul 2018 O	250,000,000	2018/04/23	2018/07/26	37.50
12451	Credit Suisse AG- Wynn Macau European Warrants Oct 2018 A	40,000,000	2018/04/23	2018/10/22	12.40
12479	Goldman Sachs SP (Asia)- GAC European Warrants Nov 2018 A	50,000,000	2018/04/23	2018/11/27	12.50
12476	Goldman Sachs SP (Asia)- Greatwall Motor Eur Wts Oct 2018 A	30,000,000	2018/04/23	2018/10/03	21.39
12472	Goldman Sachs SP (Asia)- HSBC European Warrants Oct 2018 A	80,000,000	2018/04/23	2018/10/24	12.00
12480	Goldman Sachs SP (Asia)- HTSC European Warrants Dec 2018 B	50,000,000	2018/04/23	2018/12/28	12.50
12478	Goldman Sachs SP (Asia)- ICBC European Warrants Sep 2018 B	80,000,000	2018/04/23	2018/09/20	18.40
12475	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 H	150,000,000	2018/04/23	2018/09/24	36.90
12442	HK Bank- PICC P&C European Warrants Mar 2019 A	80,000,000	2018/04/23	2019/03/29	12.00
12441	HK Bank- Sands China European Warrants Oct 2018 A	50,000,000	2018/04/23	2018/10/31	12.50
12407	Haitong Int'l Sec- AIA European Warrants Sep 2018 B	40,000,000	2018/04/23	2018/09/24	20.00
12408	Haitong Int'l Sec- AIA European Warrants Sep 2018 C	60,000,000	2018/04/23	2018/09/20	24.00
12409	Haitong Int'l Sec- AIA European Warrants Sep 2018 D	60,000,000	2018/04/23	2018/09/24	13.80
12419	Haitong Int'l Sec- Avichina European Warrants Oct 2018 A	10,000,000	2018/04/23	2018/10/23	10.90
12400	Haitong Int'l Sec- Brilliance Chi European Warrants Oct18A	40,000,000	2018/04/23	2018/10/23	10.80
12403	Haitong Int'l Sec- Brilliance Chi European Warrants Oct18B	60,000,000	2018/04/23	2018/10/22	11.40
12382	Haitong Int'l Sec- CPIC European Warrants Nov 2018 A	40,000,000	2018/04/23	2018/11/19	13.20
12397	Haitong Int'l Sec- Chi Res Land European Warrants Oct 2018A	68,000,000	2018/04/23	2018/10/26	11.56
12393	Haitong Int'l Sec- Chi Res Land European Warrants Nov 2018A	40,000,000	2018/04/23	2018/11/20	13.20
12386	Haitong Int'l Sec- Chinas Pharma European Warrants Apr19A	80,000,000	2018/04/23	2019/04/29	20.80
12412	Haitong Int'l Sec- CRRC European Warrants Oct 2018 A	30,000,000	2018/04/23	2018/10/23	12.30
12410	Haitong Int'l Sec- CRRC European Warrants Nov 2018 A	20,000,000	2018/04/23	2018/11/20	12.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百 萬 港 元)	集 資 金 額
12413	Haitong Int'l Sec- COSCO Sh Hold European Warrants Oct 18 A	30,000,000	2018/04/23	2018/10/23	13.80
12375	Haitong Int'l Sec- Trad Chi Med European Warrants Oct 2018B	20,000,000	2018/04/23	2018/10/23	11.60
12376	Haitong Int'l Sec- Baiyunshan Ph European Warrants Oct 18 A	35,000,000	2018/04/23	2018/10/23	11.55
12387	Haitong Int'l Sec- HSBC European Warrants Aug 2018 A	40,000,000	2018/04/23	2018/08/28	18.40
12388	Haitong Int'l Sec- HSBC European Warrants Aug 2018 B	100,000,000	2018/04/23	2018/08/24	17.00
12390	Haitong Int'l Sec- HSBC European Warrants Sep 2018 C	100,000,000	2018/04/23	2018/09/21	21.00
12391	Haitong Int'l Sec- KECL European Warrants Sep 2018 A	40,000,000	2018/04/23	2018/09/24	12.00
12381	Haitong Int'l Sec- Li Ning European Warrants Mar 2019 B	90,000,000	2018/04/23	2019/03/29	26.10
12416	Haitong Int'l Sec- Ping An European Warrants Jul 2018 D	80,000,000	2018/04/23	2018/07/25	24.80
12418	Haitong Int'l Sec- Ping An European Warrants Jul 2018 E	80,000,000	2018/04/23	2018/07/30	14.40
12417	Haitong Int'l Sec- Ping An European Warrants Aug 2018 H	80,000,000	2018/04/23	2018/08/22	26.40
12379	Haitong Int'l Sec- Sinopharm European Warrants Nov 2018 A	40,000,000	2018/04/23	2018/11/19	14.40
12371	Haitong Int'l Sec- Sihuan Pharm European Warrants Oct 2018A	40,000,000	2018/04/23	2018/10/23	13.20
12422	Haitong Int'l Sec- Sunny Optical European Wts Jul 2018 C	100,000,000	2018/04/23	2018/07/27	20.00
12423	Haitong Int'l Sec- Sunny Optical European Wts Sep 2018 A	100,000,000	2018/04/23	2018/09/21	22.00
12420	Haitong Int'l Sec- Sunny Optical European Wts Oct 2018 C	100,000,000	2018/04/23	2018/10/23	34.00
12392	Haitong Int'l Sec- Tencent European Warrants Jul 2018 I	80,000,000	2018/04/23	2018/07/31	13.60
12369	Haitong Int'l Sec- Want Want China European Warrants Dec18A	30,000,000	2018/04/23	2018/12/20	17.10
12370	Haitong Int'l Sec- WH Group European Warrants Oct 2018 A	30,000,000	2018/04/23	2018/10/23	13.50
12404	Haitong Int'l Sec- Yanzhou Coal European Warrants Aug 2018C	18,000,000	2018/04/23	2018/08/27	19.26
12405	Haitong Int'l Sec- Yanzhou Coal European Warrants Dec 2018C	100,000,000	2018/04/23	2018/12/24	17.00
12463	J P Morgan SP BV- A50 China European Warrants Aug 2018 A	100,000,000	2018/04/23	2018/08/31	41.60
12458	J P Morgan SP BV- Kingboard Chem European Warrants Dec 18 A	60,000,000	2018/04/23	2018/12/03	15.90
12459	J P Morgan SP BV- Melco Int'l Dev European Wts Nov 2018 A	60,000,000	2018/04/23	2018/11/02	16.68
12460	J P Morgan SP BV- Sands China European Warrants Oct 2018 A	100,000,000	2018/04/23	2018/10/03	24.30
12455	J P Morgan SP BV- Tencent European Warrants Jul 2018 J	250,000,000	2018/04/23	2018/07/31	38.00
12456	J P Morgan SP BV- Tencent European Warrants Jul 2018 K	250,000,000	2018/04/23	2018/07/31	43.75
12453	J P Morgan SP BV- Tencent European Warrants Aug 2018 I	250,000,000	2018/04/23	2018/08/21	52.50
12452	J P Morgan SP BV- Tencent European Warrants Sep 2018 C	250,000,000	2018/04/23	2018/09/20	39.00
12457	J P Morgan SP BV- Tencent European Put Warrants Oct 2018 B	250,000,000	2018/04/23	2018/10/02	40.50
12464	Macquarie Bank Ltd.- Fosun Pharma European Wts Feb 2019 A	11,300,000	2018/04/23	2019/02/18	10.01
12470	Macquarie Bank Ltd.- KB Laminates European Warrants Mar 19A	36,500,000	2018/04/23	2019/03/18	10.00
12437	UBS AG- Bank of China European Warrants Oct 2018 A	70,000,000	2018/04/23	2018/10/22	21.00
12435	UBS AG- CC Bank European Warrants Sep 2018 A	70,000,000	2018/04/23	2018/09/20	20.44
12439	UBS AG- China Taiping European Warrants Oct 2018 A	40,000,000	2018/04/23	2018/10/22	12.36
12440	UBS AG- Fosun Intl European Warrants Aug 2018 C	70,000,000	2018/04/23	2018/08/28	14.14
12433	UBS AG- ICBC European Warrants Sep 2018 A	70,000,000	2018/04/23	2018/09/14	23.38
12447	Bank Vontobel- Bank of China European Warrants Sep 2018 B	60,000,000	2018/04/23	2018/09/20	15.66
12444	Bank Vontobel- CKH Holdings European Warrants Nov 2018 B	30,000,000	2018/04/23	2018/11/01	21.00
12443	Bank Vontobel- HSBC European Put Warrants Sep 2018 B	40,000,000	2018/04/23	2018/09/03	11.64
12446	Bank Vontobel- Tencent European Warrants Sep 2018 D	100,000,000	2018/04/23	2018/09/17	25.00
12445	Bank Vontobel- Tencent European Warrants Oct 2018 D	100,000,000	2018/04/23	2018/10/22	50.00
12487	BNP Paribas Issuance B.V.- Bank of China Euro Wts Feb19A	50,000,000	2018/04/24	2019/02/04	13.50
12484	BNP Paribas Issuance B.V.- HKEX European Wts Apr 2019 C	80,000,000	2018/04/24	2019/04/02	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
12482	BNP Paribas Issuance B.V.- HSBC European Wts Dec 2018 A	40,000,000	2018/04/24	2018/12/04 14.40
12483	BNP Paribas Issuance B.V.- HSBC European Wts Feb 2019 A	40,000,000	2018/04/24	2019/02/04 10.80
12481	BNP Paribas Issuance B.V.- Tencent European Wts Apr 2019 D	80,000,000	2018/04/24	2019/04/02 44.00
12521	Credit Suisse AG- HSBC European Wts Aug 2018 E	100,000,000	2018/04/24	2018/08/24 15.00
12526	Credit Suisse AG- Kunlun Energy European Warrants Oct 2018A	25,000,000	2018/04/24	2018/10/23 10.00
12527	Credit Suisse AG- Tencent European Wts Jul 2018 P	250,000,000	2018/04/24	2018/07/23 40.00
12543	Goldman Sachs SP (Asia)- AIA European Warrants Sep 2018 B	80,000,000	2018/04/24	2018/09/24 12.00
12542	Goldman Sachs SP (Asia)- CC Bank European Wts Sep 2018 B	80,000,000	2018/04/24	2018/09/20 22.64
12544	Goldman Sachs SP (Asia)- ICBC European Warrants Sep 2018 C	80,000,000	2018/04/24	2018/09/14 22.80
12548	Goldman Sachs SP (Asia)- PICC Group European Wts Oct 2018 A	50,000,000	2018/04/24	2018/10/24 12.50
12545	Goldman Sachs SP (Asia)- Sands China Ltd Euro Wts Sep 2018A	80,000,000	2018/04/24	2018/09/28 17.44
12549	Goldman Sachs SP (Asia)- Yanzhou Coal Euro Wts Dec 2018 A	70,000,000	2018/04/24	2018/12/20 10.50
12490	HK Bank- AAC Tech European Warrants Nov 2018 A	150,000,000	2018/04/24	2018/11/30 37.50
12530	J P Morgan SP BV- Bank of China European Warrants Aug 2018D	100,000,000	2018/04/24	2018/08/31 16.10
12529	J P Morgan SP BV- CC Bank European Wts Sep 2018 C	100,000,000	2018/04/24	2018/09/28 33.10
12534	J P Morgan SP BV- Ch Overseas European Warrants Oct 2018 A	100,000,000	2018/04/24	2018/10/31 15.20
12535	J P Morgan SP BV- Geely Auto European Warrants Oct 2018 A	100,000,000	2018/04/24	2018/10/31 25.30
12540	J P Morgan SP BV- HSI European Warrants Dec 2018 B	300,000,000	2018/04/24	2018/12/28 45.90
12533	J P Morgan SP BV- Lenovo European Warrants Sep 2018 A	100,000,000	2018/04/24	2018/09/04 20.70
12539	J P Morgan SP BV- NCI European Warrants Nov 2018 A	100,000,000	2018/04/24	2018/11/02 25.30
12538	J P Morgan SP BV- PICC Group European Warrants Nov 2018 A	60,000,000	2018/04/24	2018/11/02 16.86
12497	Macquarie Bank Ltd.- HKEX European Warrants Nov 2018 D	8,000,000	2018/04/24	2018/11/02 12.49
12493	Macquarie Bank Ltd.- PICC Group European Wts Jan 2019 A	25,000,000	2018/04/24	2019/01/03 10.00
12495	Macquarie Bank Ltd.- Sands China European Wts Dec 2018 A	28,000,000	2018/04/24	2018/12/04 10.81
12496	Macquarie Bank Ltd.- Sands China European Put Wts Jan 2019A	25,000,000	2018/04/24	2019/01/03 10.08
12491	Macquarie Bank Ltd.- Goldwind European Warrants May 2019 A	33,000,000	2018/04/24	2019/05/16 10.03
12503	UBS AG- Bank of E Asia European Warrants Jul 2019 A	25,000,000	2018/04/24	2019/07/22 10.28
12501	UBS AG- BOC HK European Warrants Sep 2018 A	60,000,000	2018/04/24	2018/09/24 10.08
12515	UBS AG- Ping An European Warrants Mar 2019 A	100,000,000	2018/04/24	2019/03/25 107.40
12514	UBS AG- Ping An European Warrants Aug 2019 A	100,000,000	2018/04/24	2019/08/19 79.20
12509	UBS AG- Tencent European Warrants Aug 2018 D	300,000,000	2018/04/24	2018/08/29 212.70
12504	UBS AG- Tencent European Warrants Sep 2018 D	300,000,000	2018/04/24	2018/09/17 104.10
12506	UBS AG- Tencent European Warrants Sep 2018 E	300,000,000	2018/04/24	2018/09/21 76.50
12499	UBS AG- Tencent European Put Warrants Sep 2018 C	200,000,000	2018/04/24	2018/09/21 49.80
12516	Bank Vontobel- CHALCO European Warrants Nov 2018 A	20,000,000	2018/04/24	2018/11/26 11.42
12519	Bank Vontobel- CK Asset European Warrants Dec 2018 A	30,000,000	2018/04/24	2018/12/03 16.50
12517	Bank Vontobel- HKEX European Put Warrants Nov 2018 B	100,000,000	2018/04/24	2018/11/28 19.50
12520	Bank Vontobel- Kunlun Energy European Warrants Oct 2018 B	25,000,000	2018/04/24	2018/10/31 22.53
12518	Bank Vontobel- Kingsoft European Warrants Nov 2018 A	30,000,000	2018/04/24	2018/11/26 15.30
11459 [#]	Goldman Sachs SP (Asia)- Tencent European Wt Jul 2018 S	150,000,000	2018/04/24	2018/07/26 31.05
12573	BOCI Asia Ltd.- CC Bank European Warrants Sep 2018 C	80,000,000	2018/04/25	2018/09/26 50.64
12575	BOCI Asia Ltd.- CC Bank European Warrants Sep 2018 D	80,000,000	2018/04/25	2018/09/24 36.80
12591	BOCI Asia Ltd.- China Life European Warrants Oct 2018 B	100,000,000	2018/04/25	2018/10/29 25.10
12590	BOCI Asia Ltd.- China Mobile European Warrants Dec 2018 A	100,000,000	2018/04/25	2018/12/20 18.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
12583	BOCI Asia Ltd.- Kingsoft European Warrants Sep 2018 A	70,000,000	2018/04/25	2018/09/27 10.99
12588	BOCI Asia Ltd.- Kingsoft European Warrants Sep 2018 B	70,000,000	2018/04/25	2018/09/20 16.80
12595	BOCI Asia Ltd.- NCI European Warrants Nov 2018 A	50,000,000	2018/04/25	2018/11/26 12.85
12601	BOCI Asia Ltd.- SMIC European Warrants Nov 2018 A	70,000,000	2018/04/25	2018/11/29 10.57
12581	BOCI Asia Ltd.- Tencent European Wts Oct 2018 C	200,000,000	2018/04/25	2018/10/29 110.00
12579	BOCI Asia Ltd.- Tencent European Put Wts Jan 2019 A	100,000,000	2018/04/25	2019/01/24 21.20
12623	Credit Suisse AG- BYD Electronic European Wts Oct 2018 B	40,000,000	2018/04/25	2018/10/24 10.00
12622	Credit Suisse AG- HKEX European Put Warrants Nov 2018 C	100,000,000	2018/04/25	2018/11/19 18.00
12645	Goldman Sachs SP (Asia)- CSOP A50 ETF Euro Wts Oct 2018 A	80,000,000	2018/04/25	2018/10/30 65.84
12649	Goldman Sachs SP (Asia)- CSOP A50 ETF Euro Wts Nov 2018 A	80,000,000	2018/04/25	2018/11/29 90.96
12644	Goldman Sachs SP (Asia)- CSOP A50 ETF Euro Wts Jun 2019 B	150,000,000	2018/04/25	2019/06/21 22.50
12634	Goldman Sachs SP (Asia)- Geely Auto European Wts Oct 2018 A	80,000,000	2018/04/25	2018/10/24 16.24
12638	Goldman Sachs SP (Asia)- Lenovo European Wts Aug 2018 B	60,000,000	2018/04/25	2018/08/28 14.88
12646	Goldman Sachs SP (Asia)- PSBC European Warrants Sep 2018 A	40,000,000	2018/04/25	2018/09/24 10.00
12642	Goldman Sachs SP (Asia)- Tencent European Wt Jul 2018 U	150,000,000	2018/04/25	2018/07/24 28.80
12639	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 I	150,000,000	2018/04/25	2018/09/24 36.60
12628	J P Morgan SP BV- Geely Auto European Put Warrants Nov 18 A	100,000,000	2018/04/25	2018/11/02 25.50
12631	J P Morgan SP BV- HKEX European Put Wts Nov 2018 C	200,000,000	2018/04/25	2018/11/30 31.20
12632	J P Morgan SP BV- Tencent European Warrants Sep 2018 D	200,000,000	2018/04/25	2018/09/24 31.40
12630	J P Morgan SP BV- Tencent European Put Warrants Dec 2018 A	200,000,000	2018/04/25	2018/12/31 51.20
12625	J P Morgan SP BV- China Vanke European Warrants Oct 2018 A	50,000,000	2018/04/25	2018/10/02 13.30
12570	Macquarie Bank Ltd.- Agile Ppt European Warrants Apr 2019 A	38,000,000	2018/04/25	2019/04/15 10.60
12562	Macquarie Bank Ltd.- China Cinda European Wts Mar 2019 A	40,000,000	2018/04/25	2019/03/04 10.04
12563	Macquarie Bank Ltd.- GAC European Put Warrants Feb 2019 A	8,000,000	2018/04/25	2019/02/04 13.76
12566	Macquarie Bank Ltd.- Nexteer European Warrants Jun 2019 A	28,000,000	2018/04/25	2019/06/24 10.02
12555	UBS AG- Brilliance Chi European Warrants Nov 2018 A	40,000,000	2018/04/25	2018/11/12 12.44
12616	UBS AG- BYD Company European Warrants Oct 2018 B	20,000,000	2018/04/25	2018/10/02 11.86
12556	UBS AG- BYD Electronic European Warrants Nov 2018 A	40,000,000	2018/04/25	2018/11/12 10.12
12602	UBS AG- CSOP A50 ETF European Warrants Jul 2018 C	35,000,000	2018/04/25	2018/07/25 10.15
12607	UBS AG- CSOP A50 ETF European Warrants Oct 2018 A	11,000,000	2018/04/25	2018/10/25 10.99
12611	UBS AG- A50 China European Warrants Aug 2018 C	20,000,000	2018/04/25	2018/08/24 10.10
12553	UBS AG- GAC European Warrants Oct 2018 A	40,000,000	2018/04/25	2018/10/25 10.12
12557	UBS AG- Geely Auto European Warrants Oct 2018 A	50,000,000	2018/04/25	2018/10/25 18.65
12618	UBS AG- Haitong Sec European Warrants Oct 2018 A	20,000,000	2018/04/25	2018/10/02 10.10
12620	UBS AG- Nine Dragons Paper European Warrants Nov 2018 A	60,000,000	2018/04/25	2018/11/01 10.20
12621	UBS AG- New World Dev European Warrants Dec 2019 A	70,000,000	2018/04/25	2019/12/10 11.06
12614	UBS AG- Ping An European Warrants Jun 2020 A	300,000,000	2018/04/25	2020/06/23 45.30
12615	UBS AG- Ping An European Put Warrants Jul 2018 G	50,000,000	2018/04/25	2018/07/30 18.00
12612	UBS AG- Tencent European Warrants Jul 2018 K	300,000,000	2018/04/25	2018/07/25 48.30
12613	UBS AG- Tencent European Put Warrants Jul 2018 L	200,000,000	2018/04/25	2018/07/25 94.00
12617	UBS AG- China Vanke European Warrants Aug 2018 B	60,000,000	2018/04/25	2018/08/24 11.04
12558	Bank Vontobel- HSCEI European Warrants Aug 2018 A	150,000,000	2018/04/25	2018/08/30 23.10
12559	Bank Vontobel- HSCEI European Warrants Dec 2018 A	150,000,000	2018/04/25	2018/12/28 23.10
12560	Bank Vontobel- HSCEI European Put Warrants Jul 2018 A	150,000,000	2018/04/25	2018/07/30 26.70

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
12561	Bank Vontobel- HSCEI European Put Warrants Dec 2018 B	150,000,000	2018/04/25	2018/12/28	30.00
12661	Credit Suisse AG- AAC Tech European Warrants Sep 2018 A	100,000,000	2018/04/26	2018/09/28	15.00
12665	Credit Suisse AG- CHALCO European Wts Aug 2018 B	30,000,000	2018/04/26	2018/08/20	10.50
12664	Credit Suisse AG- Brilliance Chi European Wts Oct 2018 B	40,000,000	2018/04/26	2018/10/31	10.00
12660	Credit Suisse AG- Ping An European Put Warrants Jul 2018 L	70,000,000	2018/04/26	2018/07/25	12.60
12663	Credit Suisse AG- Tencent European Wts Sep 2018 C	250,000,000	2018/04/26	2018/09/24	37.50
12706	Goldman Sachs SP (Asia)- China Cinda Euro Wts Aug 2018 A	70,000,000	2018/04/26	2018/08/24	10.50
12702	Goldman Sachs SP (Asia)- HKEX European Warrants Dec 2018 C	150,000,000	2018/04/26	2018/12/24	22.50
12705	Goldman Sachs SP (Asia)- HKEX European Put Wts Oct 2018 E	100,000,000	2018/04/26	2018/10/26	15.00
12651	HK Bank- Country Garden European Warrants Nov 2018 B	150,000,000	2018/04/26	2018/11/30	37.50
12653	HK Bank- Tencent European Warrants Sep 2018 D	150,000,000	2018/04/26	2018/09/26	22.50
12654	HK Bank- Tencent European Warrants Sep 2018 E	150,000,000	2018/04/26	2018/09/18	36.00
12652	HK Bank- Tencent European Put Warrants Oct 2018 A	150,000,000	2018/04/26	2018/10/31	37.50
12669	Haitong Int'l Sec- Tencent European Warrants Jul 2018 J	150,000,000	2018/04/26	2018/07/26	58.50
12688	Haitong Int'l Sec- Tencent European Warrants Jul 2018 K	150,000,000	2018/04/26	2018/07/26	39.00
12697	Haitong Int'l Sec- Tencent European Warrants Jul 2018 L	150,000,000	2018/04/26	2018/07/26	22.50
12672	Haitong Int'l Sec- Tencent European Warrants Sep 2018 D	150,000,000	2018/04/26	2018/09/24	67.50
12692	Haitong Int'l Sec- Tencent European Warrants Sep 2018 E	150,000,000	2018/04/26	2018/09/24	45.00
12693	Haitong Int'l Sec- Tencent European Warrants Sep 2018 F	150,000,000	2018/04/26	2018/09/18	37.50
12699	Haitong Int'l Sec- Tencent European Warrants Sep 2018 G	150,000,000	2018/04/26	2018/09/24	25.50
12700	Haitong Int'l Sec- Tencent European Warrants Sep 2018 H	150,000,000	2018/04/26	2018/09/20	22.50
12659	J P Morgan SP BV- Ch LongYuan European Warrants May 2019 A	70,000,000	2018/04/26	2019/05/31	10.57
12658	J P Morgan SP BV- CNOOC European Put Warrants Aug 2018 B	100,000,000	2018/04/26	2018/08/02	25.10
12656	Macquarie Bank Ltd.- AIA European Warrants Oct 2018 A	35,000,000	2018/04/26	2018/10/03	10.26
12707	SG Issuer- China Taiping European Warrants Oct 2018 A	30,000,000	2018/04/26	2018/10/31	10.20
12713	SG Issuer- Ping An European Put Warrants Sep 2018 D	50,000,000	2018/04/26	2018/09/28	18.00
12714	SG Issuer- Sunny Optical European Warrants Sep 2018 A	60,000,000	2018/04/26	2018/09/28	10.20
12712	SG Issuer- China Vanke European Warrants Oct 2018 A	25,000,000	2018/04/26	2018/10/31	11.00
12657	UBS AG- CAM CSI300 European Warrants Sep 2018 A	70,000,000	2018/04/26	2018/09/24	11.13
12718	BOCI Asia Ltd.- AAC Tech European Warrants Oct 2018 A	100,000,000	2018/04/27	2018/10/03	20.50
12723	BOCI Asia Ltd.- CM Bank European Warrants Oct 2018 A	50,000,000	2018/04/27	2018/10/24	11.55
12727	BOCI Asia Ltd.- Sinopec Corp European Warrants Oct 2018 A	60,000,000	2018/04/27	2018/10/30	15.06
12728	BOCI Asia Ltd.- CRRC European Warrants Oct 2018 A	50,000,000	2018/04/27	2018/10/30	18.85
12725	BOCI Asia Ltd.- PetroChina European Warrants Sep 2018 A	70,000,000	2018/04/27	2018/09/26	10.57
12719	BOCI Asia Ltd.- Sunny Optical European Warrants Oct 2018 A	100,000,000	2018/04/27	2018/10/26	26.00
12720	BOCI Asia Ltd.- Sunny Optical European Warrants Nov 2018 A	100,000,000	2018/04/27	2018/11/02	31.70
12715	BOCI Asia Ltd.- Tencent European Wts Sep 2018 I	200,000,000	2018/04/27	2018/09/28	31.00
12787	BNP Paribas Issuance B.V.- Agricultural Bank Eu Wt Aug 19 A	40,000,000	2018/04/27	2019/08/02	11.60
12784	BNP Paribas Issuance B.V.- Sinopec Corp Eu Wts Jan 2019 A	40,000,000	2018/04/27	2019/01/03	13.60
12783	BNP Paribas Issuance B.V.- PetroChina Euro Wts Jan 2019 A	40,000,000	2018/04/27	2019/01/03	10.80
12789	BNP Paribas Issuance B.V.- Tracker Fund Euro Wts Dec 2018 A	70,000,000	2018/04/27	2018/12/21	11.20
12806	Credit Suisse AG- China Huarong European Warrants Oct 2018A	30,000,000	2018/04/27	2018/10/26	11.40
12803	Credit Suisse AG- HKEX European Warrants Dec 2018 A	200,000,000	2018/04/27	2018/12/24	30.00
12805	Credit Suisse AG- Sunny Optical European Warrants Aug 2018A	100,000,000	2018/04/27	2018/08/28	21.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
12808	Credit Suisse AG- Tencent European Wts Jul 2018 Q	250,000,000	2018/04/27	2018/07/26	82.50
12815	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Sep 2018 D	70,000,000	2018/04/27	2018/09/26	10.50
12816	Goldman Sachs SP (Asia)- HSI European Put Wts Sep 2018 C	150,000,000	2018/04/27	2018/09/27	22.50
12813	Goldman Sachs SP (Asia)- Tencent European Wt Oct 2018 A	150,000,000	2018/04/27	2018/10/19	52.80
12810	Goldman Sachs SP (Asia)- Tencent European Wt Dec 2018 B	150,000,000	2018/04/27	2018/12/24	53.85
12760	Haitong Int'l Sec- CAM CSI300 European Warrants Sep 2018 A	68,000,000	2018/04/27	2018/09/24	10.20
12753	Haitong Int'l Sec- BOC HK European Warrants Sep 2018 A	68,000,000	2018/04/27	2018/09/28	11.56
12758	Haitong Int'l Sec- BOC HK European Warrants Sep 2018 B	50,000,000	2018/04/27	2018/09/24	11.50
12759	Haitong Int'l Sec- BOC HK European Warrants Oct 2018 A	72,000,000	2018/04/27	2018/10/26	10.80
12744	Haitong Int'l Sec- CC Bank European Warrants Jul 2018 F	100,000,000	2018/04/27	2018/07/30	30.00
12745	Haitong Int'l Sec- CC Bank European Warrants Jul 2018 G	100,000,000	2018/04/27	2018/07/30	16.00
12746	Haitong Int'l Sec- CC Bank European Warrants Aug 2018 F	100,000,000	2018/04/27	2018/08/28	17.00
12756	Haitong Int'l Sec- CITIC Sec European Warrants Nov 2018 A	68,000,000	2018/04/27	2018/11/27	10.20
12749	Haitong Int'l Sec- Ch Southern Air European Warrants Oct18A	20,000,000	2018/04/27	2018/10/29	12.20
12754	Haitong Int'l Sec- CSDC European Warrants Oct 2018 A	60,000,000	2018/04/27	2018/10/08	11.40
12755	Haitong Int'l Sec- CSDC European Warrants Feb 2019 A	40,000,000	2018/04/27	2019/02/28	10.00
12734	Haitong Int'l Sec- Geely Auto European Warrants Aug 2018 B	50,000,000	2018/04/27	2018/08/24	11.50
12737	Haitong Int'l Sec- Geely Auto European Warrants Oct 2018 B	50,000,000	2018/04/27	2018/10/29	12.50
12738	Haitong Int'l Sec- HKEX European Warrants Sep 2018 I	150,000,000	2018/04/27	2018/09/20	24.00
12739	Haitong Int'l Sec- HKEX European Warrants Oct 2018 F	150,000,000	2018/04/27	2018/10/29	22.50
12750	Haitong Int'l Sec- SUNAC European Warrants Sep 2018 A	20,000,000	2018/04/27	2018/09/21	10.40
12752	Haitong Int'l Sec- SUNAC European Warrants Sep 2018 B	30,000,000	2018/04/27	2018/09/28	10.50
12751	Haitong Int'l Sec- SUNAC European Warrants Nov 2018 B	20,000,000	2018/04/27	2018/11/28	11.60
12757	Haitong Int'l Sec- Tencent European Put Warrants Sep 2018 I	150,000,000	2018/04/27	2018/09/21	27.00
12818	J P Morgan SP BV- AAC Tech European Warrants Oct 2018 B	100,000,000	2018/04/27	2018/10/02	15.30
12817	J P Morgan SP BV- AAC Tech European Warrants Feb 2019 A	100,000,000	2018/04/27	2019/02/11	15.60
12831	J P Morgan SP BV- AAC Tech European Put Warrants Mar 2019 A	60,000,000	2018/04/27	2019/03/29	15.06
12828	J P Morgan SP BV- CAM CSI300 European Warrants Oct 2018 B	100,000,000	2018/04/27	2018/10/31	25.10
12829	J P Morgan SP BV- China Huarong European Warrants Nov 2018A	60,000,000	2018/04/27	2018/11/02	24.48
12819	J P Morgan SP BV- Tencent European Warrants Sep 2018 E	250,000,000	2018/04/27	2018/09/28	54.25
12821	J P Morgan SP BV- Tencent European Warrants Oct 2018 C	250,000,000	2018/04/27	2018/10/31	39.25
12825	J P Morgan SP BV- Tencent European Warrants Oct 2018 D	250,000,000	2018/04/27	2018/10/31	37.50
12826	J P Morgan SP BV- Tencent European Warrants Dec 2018 B	250,000,000	2018/04/27	2018/12/31	38.00
12729	Macquarie Bank Ltd.- CSPC Pharma European Warrants Mar 19 A	26,000,000	2018/04/27	2019/03/18	10.09
12733	Macquarie Bank Ltd.- FIH Mobile European Warrants Mar 2019A	36,000,000	2018/04/27	2019/03/04	10.04
12780	Macquarie Bank Ltd.- HTSC European Warrants Apr 2019 A	33,500,000	2018/04/27	2019/04/16	10.05
12782	Macquarie Bank Ltd.- Skyworth Digital European Wts Apr 19 A	12,000,000	2018/04/27	2019/04/16	10.04
12795	SG Issuer- CSOP A50 ETF European Warrants Oct 2018 B	20,000,000	2018/04/27	2018/10/31	24.00
12798	SG Issuer- CSOP A50 ETF European Warrants Oct 2018 C	20,000,000	2018/04/27	2018/10/31	13.40
12800	SG Issuer- A50 China European Warrants Oct 2018 C	20,000,000	2018/04/27	2018/10/31	20.40
12792	SG Issuer- Tencent European Warrants Jul 2018 H	200,000,000	2018/04/27	2018/07/31	47.00
12793	SG Issuer- Tencent European Warrants Sep 2018 H	200,000,000	2018/04/27	2018/09/28	60.00
12801	SG Issuer- Tencent European Warrants Sep 2018 I	200,000,000	2018/04/27	2018/09/28	78.00
12777	UBS AG- AAC Tech European Warrants Oct 2018 A	70,000,000	2018/04/27	2018/10/03	11.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
12779	UBS AG- China Shenhua European Warrants Sep 2018 B	70,000,000	2018/04/27	2018/09/18	11.13
12778	UBS AG- Sunny Optical European Warrants Aug 2018 B	50,000,000	2018/04/27	2018/08/28	11.25
12775	Bank Vontobel- AAC Tech European Warrants Oct 2018 B	60,000,000	2018/04/27	2018/10/02	10.26
12773	Bank Vontobel- Agricultural Bank European Warrants Dec 18 A	30,000,000	2018/04/27	2018/12/03	10.80
12768	Bank Vontobel- China Overseas European Warrants Oct 2018 B	60,000,000	2018/04/27	2018/10/26	15.18
12764	Bank Vontobel- CSOP A50 ETF European Warrants Sep 2018 A	40,000,000	2018/04/27	2018/09/11	16.04
12762	Bank Vontobel- CSOP A50 ETF European Warrants Oct 2018 B	40,000,000	2018/04/27	2018/10/31	34.00
12763	Bank Vontobel- CSOP A50 ETF European Warrants Nov 2018 A	40,000,000	2018/04/27	2018/11/06	50.04
12772	Bank Vontobel- China Shenhua European Warrants Dec 2018 B	60,000,000	2018/04/27	2018/12/27	10.14
12776	Bank Vontobel- Ping An European Put Warrants Oct 2018 C	40,000,000	2018/04/27	2018/10/29	32.80
12848	BOCI Asia Ltd.- AAC Tech European Warrants Oct 2018 B	100,000,000	2018/04/30	2018/10/04	21.30
12847	BOCI Asia Ltd.- Bank of China European Warrants Oct 2018 B	80,000,000	2018/04/30	2018/10/15	24.08
12865	Credit Suisse AG- AAC Tech European Warrants Sep 2018 B	100,000,000	2018/04/30	2018/09/28	15.00
12866	Credit Suisse AG- Tencent European Wts Sep 2018 D	250,000,000	2018/04/30	2018/09/24	45.00
12869	Credit Suisse AG- Tencent European Wts Oct 2018 C	250,000,000	2018/04/30	2018/10/29	75.00
12859	HK Bank- AAC Tech European Warrants Sep 2018 C	80,000,000	2018/04/30	2018/09/28	12.00
12851	HK Bank- CNOOC European Warrants Oct 2018 A	40,000,000	2018/04/30	2018/10/31	16.00
12857	HK Bank- CSOP A50 ETF European Warrants Oct 2018 A	20,000,000	2018/04/30	2018/10/31	14.00
12849	HK Bank- HSI European Warrants Oct 2018 C	150,000,000	2018/04/30	2018/10/30	22.50
12850	HK Bank- HSI European Put Warrants Sep 2018 G	150,000,000	2018/04/30	2018/09/27	22.50
12852	HK Bank- Lenovo European Warrants Oct 2018 A	40,000,000	2018/04/30	2018/10/31	10.00
12834	Haitong Int'l Sec- BYD Electronic European Wts Oct 2018 A	40,000,000	2018/04/30	2018/10/30	10.80
12835	Haitong Int'l Sec- BYD Electronic European Wts Nov 2018 A	50,000,000	2018/04/30	2018/11/30	12.50
12903	Haitong Int'l Sec- China Life European Warrants Sep 2018 E	80,000,000	2018/04/30	2018/09/28	12.00
12905	Haitong Int'l Sec- China Life European Warrants Mar 2019 A	80,000,000	2018/04/30	2019/03/27	12.00
12912	Haitong Int'l Sec- China Life European Warrants Jul 2019 A	80,000,000	2018/04/30	2019/07/02	25.60
12913	Haitong Int'l Sec- China Life European Warrants Jul 2019 B	80,000,000	2018/04/30	2019/07/31	20.80
12838	Haitong Int'l Sec- CSOP A50 ETF European Warrants Nov 2018A	20,000,000	2018/04/30	2018/11/20	29.20
12841	Haitong Int'l Sec- CSOP A50 ETF European Warrants Jun 2019B	200,000,000	2018/04/30	2019/06/21	32.00
12910	Haitong Int'l Sec- GAC European Put Warrants Jan 2019 A	50,000,000	2018/04/30	2019/01/18	12.50
12895	Haitong Int'l Sec- Galaxy Ent European Put Wts Nov 2018 B	38,000,000	2018/04/30	2018/11/08	12.16
12896	Haitong Int'l Sec- HKEX European Put Warrants Oct 2018 G	80,000,000	2018/04/30	2018/10/26	13.60
12893	Haitong Int'l Sec- HSBC European Put Warrants Aug 2018 C	100,000,000	2018/04/30	2018/08/31	24.00
12902	Haitong Int'l Sec- Ping An European Put Warrants Oct 2018 B	80,000,000	2018/04/30	2018/10/30	31.20
12901	Haitong Int'l Sec- Ping An European Put Warrants Dec 2018 A	80,000,000	2018/04/30	2018/12/28	24.80
12908	Haitong Int'l Sec- Tencent European Put Warrants Jul 2018 M	100,000,000	2018/04/30	2018/07/30	25.00
12906	Haitong Int'l Sec- Tencent European Put Warrants Sep 2018 J	200,000,000	2018/04/30	2018/09/21	52.00
12871	SG Issuer- AAC Tech European Warrants Sep 2018 A	70,000,000	2018/04/30	2018/09/28	10.50
12873	SG Issuer- AAC Tech European Warrants Dec 2018 B	60,000,000	2018/04/30	2018/12/31	11.10
12874	SG Issuer- Minsheng Bank European Warrants Nov 2018 A	15,000,000	2018/04/30	2018/11/30	10.20
12887	SG Issuer- HKEX European Warrants Jun 2019 B	150,000,000	2018/04/30	2019/06/28	37.50
12881	SG Issuer- HKEX European Put Warrants Nov 2018 A	100,000,000	2018/04/30	2018/11/30	15.00
12878	SG Issuer- KECL European Warrants Dec 2018 A	18,000,000	2018/04/30	2018/12/31	10.98
12877	SG Issuer- Kingdee Intl European Warrants Jul 2019 A	40,000,000	2018/04/30	2019/07/31	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
12891	SG Issuer- Lenovo European Warrants Oct 2018 A	36,000,000	2018/04/30	2018/10/31	10.08
12879	SG Issuer- Meitu European Warrants Dec 2018 A	40,000,000	2018/04/30	2018/12/31	10.00
12842	Bank Vontobel- Geely Auto European Warrants Nov 2018 A	40,000,000	2018/04/30	2018/11/02	12.80
12843	Bank Vontobel- HKEX European Put Warrants Nov 2018 C	100,000,000	2018/04/30	2018/11/01	21.10
12844	Bank Vontobel- HKEX European Put Warrants Dec 2018 D	100,000,000	2018/04/30	2018/12/28	17.90
12845	Bank Vontobel- HSBC European Put Warrants Nov 2018 C	40,000,000	2018/04/30	2018/11/05	23.56
12927	BOCI Asia Ltd.- CC Bank European Warrants Aug 2018 D	70,000,000	2018/05/02	2018/08/27	14.00
12925	BOCI Asia Ltd.- CC Bank European Warrants Oct 2018 A	70,000,000	2018/05/02	2018/10/24	29.96
12937	BOCI Asia Ltd.- CSPC Pharma European Warrants Oct 2018 A	60,000,000	2018/05/02	2018/10/22	10.32
12934	BOCI Asia Ltd.- Henderson Land European Warrants Dec 2018 A	40,000,000	2018/05/02	2018/12/24	11.16
12936	BOCI Asia Ltd.- Link REIT European Warrants Oct 2018 A	70,000,000	2018/05/02	2018/10/29	10.85
12940	BOCI Asia Ltd.- Sino Biopharm European Warrants Sep 2018 A	50,000,000	2018/05/02	2018/09/24	10.05
12939	BOCI Asia Ltd.- Sinopharm European Warrants Nov 2018 A	50,000,000	2018/05/02	2018/11/26	27.80
12930	BOCI Asia Ltd.- Yanzhou Coal European Warrants Sep 2018 C	70,000,000	2018/05/02	2018/09/24	10.50
12971	Credit Suisse AG- Lenovo European Warrants Nov 2018 A	50,000,000	2018/05/02	2018/11/01	14.00
12972	Credit Suisse AG- Meitu European Warrants Nov 2018 A	60,000,000	2018/05/02	2018/11/30	15.00
12922	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Aug 2018 B	70,000,000	2018/05/02	2018/08/02	10.50
12921	Goldman Sachs SP (Asia)- Anhui Conch European Wts Sep 2018 A	50,000,000	2018/05/02	2018/09/13	10.00
12979	Goldman Sachs SP (Asia)- BOC HK European Wts Sep 2018 A	60,000,000	2018/05/02	2018/09/27	10.08
12976	Goldman Sachs SP (Asia)- BOCL European Warrants Oct 2018 D	80,000,000	2018/05/02	2018/10/12	19.20
12980	Goldman Sachs SP (Asia)- China Mobile Euro Wts Sep 2018 D	80,000,000	2018/05/02	2018/09/26	12.00
12975	Goldman Sachs SP (Asia)- HKEX European Warrants Dec 2018 D	150,000,000	2018/05/02	2018/12/20	27.30
12924	Goldman Sachs SP (Asia)- Sunny Optical Euro Wts Aug 2018 B	70,000,000	2018/05/02	2018/08/01	10.50
12917	Goldman Sachs SP (Asia)- Tencent European Wt Sep 2018 J	150,000,000	2018/05/02	2018/09/24	45.30
12919	Goldman Sachs SP (Asia)- Tencent European Put Wt Sep 2018 K	100,000,000	2018/05/02	2018/09/21	18.30
12973	Goldman Sachs SP (Asia)- Yanzhou Coal Euro Wts Sep 2018 C	70,000,000	2018/05/02	2018/09/20	10.50
12948	Macquarie Bank Ltd.- China Life European Wts Nov 2018 B	13,000,000	2018/05/02	2018/11/01	10.11
12949	Macquarie Bank Ltd.- HSBC European Warrants Nov 2018 B	10,200,000	2018/05/02	2018/11/01	10.06
12943	Macquarie Bank Ltd.- MTRC European Warrants Nov 2018 A	29,000,000	2018/05/02	2018/11/02	10.18
12914	Macquarie Bank Ltd.- Ping An European Warrants Nov 2018 B	20,000,000	2018/05/02	2018/11/02	14.14
12915	Macquarie Bank Ltd.- Ping An European Warrants Nov 2018 C	34,000,000	2018/05/02	2018/11/02	10.20
12964	UBS AG- Anta Sports European Warrants Oct 2018 A	40,000,000	2018/05/02	2018/10/26	16.56
12958	UBS AG- BankComm European Warrants Sep 2018 A	45,000,000	2018/05/02	2018/09/28	10.94
12967	UBS AG- Chinas Cement European Warrants Oct 2018 A	30,000,000	2018/05/02	2018/10/26	19.80
12956	UBS AG- HSCEI ETF European Warrants Nov 2018 A	50,000,000	2018/05/02	2018/11/02	22.50
12959	UBS AG- Huaneng Power European Warrants Nov 2018 A	20,000,000	2018/05/02	2018/11/19	10.02
12957	UBS AG- Lenovo European Warrants Nov 2018 A	40,000,000	2018/05/02	2018/11/01	11.24
12950	UBS AG- Tencent European Warrants Oct 2018 B	300,000,000	2018/05/02	2018/10/19	109.80
12951	UBS AG- Tencent European Warrants Oct 2018 C	300,000,000	2018/05/02	2018/10/15	141.90
12955	UBS AG- Tencent European Warrants Nov 2018 A	300,000,000	2018/05/02	2018/11/01	76.50
13006	Credit Suisse AG- CC Bank European Warrants Sep 2018 A	50,000,000	2018/05/03	2018/09/28	13.00
13015	Credit Suisse AG- Galaxy Ent European Warrants Aug 2018 A	50,000,000	2018/05/03	2018/08/23	20.00
13008	Credit Suisse AG- Sinopharm European Warrants Nov 2018 A	25,000,000	2018/05/03	2018/11/16	13.00
12998	Credit Suisse AG- Tencent European Wts Aug 2018 B	250,000,000	2018/05/03	2018/08/02	47.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
13000	Credit Suisse AG- Tencent European Wts Sep 2018 E	250,000,000	2018/05/03	2018/09/17	37.50
13001	Credit Suisse AG- Tencent European Wts Sep 2018 F	250,000,000	2018/05/03	2018/09/24	37.50
13039	Goldman Sachs SP (Asia)- Ping An European Wts Aug 2018 G	100,000,000	2018/05/03	2018/08/24	35.00
13038	Goldman Sachs SP (Asia)- Ping An European Wts Sep 2018 F	100,000,000	2018/05/03	2018/09/20	45.50
13037	Goldman Sachs SP (Asia)- Tencent European Wt Aug 2018 M	150,000,000	2018/05/03	2018/08/03	32.40
13033	Goldman Sachs SP (Asia)- Tencent European Wt Oct 2018 B	150,000,000	2018/05/03	2018/10/12	48.60
12988	Haitong Int'l Sec- AAC Tech European Warrants Sep 2018 B	100,000,000	2018/05/03	2018/09/26	15.00
12991	Haitong Int'l Sec- AAC Tech European Warrants Sep 2018 C	100,000,000	2018/05/03	2018/09/21	18.00
12990	Haitong Int'l Sec- AAC Tech European Warrants Nov 2018 A	100,000,000	2018/05/03	2018/11/26	15.00
12985	Haitong Int'l Sec- AAC Tech European Put Warrants Mar 2019A	100,000,000	2018/05/03	2019/03/25	25.00
12983	Haitong Int'l Sec- Sinopec Corp European Wts Nov 2018 C	40,000,000	2018/05/03	2018/11/05	13.60
12981	Haitong Int'l Sec- Sinopec Corp European Put Wts Nov18B	25,000,000	2018/05/03	2018/11/05	11.25
13032	J P Morgan SP BV- CM Bank European Warrants Nov 2018 A	60,000,000	2018/05/03	2018/11/30	15.18
13026	J P Morgan SP BV- HKEX European Warrants Sep 2018 G	200,000,000	2018/05/03	2018/09/20	30.40
13030	J P Morgan SP BV- Henderson Land European Wt Nov 2018 A	60,000,000	2018/05/03	2018/11/30	15.12
13031	J P Morgan SP BV- Huaneng Power European Wts Nov 2018 A	60,000,000	2018/05/03	2018/11/05	17.82
13018	J P Morgan SP BV- Kingsoft European Warrants Aug 2018 A	70,000,000	2018/05/03	2018/08/24	11.13
13024	J P Morgan SP BV- Tencent European Warrants Aug 2018 J	250,000,000	2018/05/03	2018/08/03	44.25
13019	J P Morgan SP BV- Tencent European Warrants Oct 2018 E	250,000,000	2018/05/03	2018/10/19	91.25
13017	J P Morgan SP BV- Tracker Fund European Warrants Nov 2018 A	100,000,000	2018/05/03	2018/11/12	15.30
12995	Macquarie Bank Ltd.- BYD Electronic European Wts Mar 2019 A	35,000,000	2018/05/03	2019/03/15	10.26
12992	Macquarie Bank Ltd.- Chinas Cement European Wt Apr 2019 A	9,000,000	2018/05/03	2019/04/15	11.13
18888 #	J P Morgan SP BV- Ping An European Warrants Jun 2018 B	150,000,000	2018/05/03	2018/06/29	14.10
13062	Credit Suisse AG- Geely Auto European Warrants Oct 2018 B	80,000,000	2018/05/04	2018/10/18	20.00
13063	Credit Suisse AG- HKEX European Warrants Nov 2018 D	200,000,000	2018/05/04	2018/11/05	50.00
13065	Credit Suisse AG- Ping An European Put Warrants Nov 2018 C	70,000,000	2018/05/04	2018/11/05	17.50
13066	Credit Suisse AG- Tencent Euro Put Wts Nov 2018 A	100,000,000	2018/05/04	2018/11/05	25.00
13073	Goldman Sachs SP (Asia)- CC Bank European Wts Oct 2018 A	80,000,000	2018/05/04	2018/10/24	22.96
13074	Goldman Sachs SP (Asia)- China Mobile Euro Wts Sep 2018 E	80,000,000	2018/05/04	2018/09/26	12.00
13075	Goldman Sachs SP (Asia)- Galaxy Ent European Wts Aug 2018 B	80,000,000	2018/05/04	2018/08/23	20.56
13079	Goldman Sachs SP (Asia)- HSI European Warrants Oct 2018 B	150,000,000	2018/05/04	2018/10/30	22.50
13076	Goldman Sachs SP (Asia)- HSI European Put Wts Oct 2018 A	150,000,000	2018/05/04	2018/10/30	22.50
13072	Goldman Sachs SP (Asia)- Tencent European Put Wt Sep 2018 L	100,000,000	2018/05/04	2018/09/24	15.00
13049	HK Bank- Ping An European Warrants Aug 2018 B	80,000,000	2018/05/04	2018/08/27	40.00
13050	HK Bank- Tencent European Warrants Aug 2018 E	150,000,000	2018/05/04	2018/08/09	30.00
13048	HK Bank- Tencent European Warrants Oct 2018 B	150,000,000	2018/05/04	2018/10/12	52.50
13060	J P Morgan SP BV- Geely Auto European Put Warrants Dec 18 B	100,000,000	2018/05/04	2018/12/31	25.10
13059	J P Morgan SP BV- HSI European Warrants Dec 2018 C	300,000,000	2018/05/04	2018/12/28	75.60
13046	Macquarie Bank Ltd.- CC Bank European Warrants Nov 2018 A	26,000,000	2018/05/04	2018/11/05	10.48
13054	Macquarie Bank Ltd.- China Coal European Put Wts Apr19A	22,000,000	2018/05/04	2019/04/02	10.23
13055	Macquarie Bank Ltd.- Ch Oilfield European Wts Jan 2019 A	6,400,000	2018/05/04	2019/01/16	10.11
13045	Macquarie Bank Ltd.- Geely Auto European Wts Dec 2018 A	35,000,000	2018/05/04	2018/12/04	10.05
13056	Macquarie Bank Ltd.- PICC Group European Put Wts Jan 2019 B	26,000,000	2018/05/04	2019/01/03	10.01
13080	SG Issuer- Brilliance Chi European Warrants Nov 2018 B	40,000,000	2018/05/04	2018/11/05	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
13083	SG Issuer- China Cinda European Warrants Nov 2018 A	40,000,000	2018/05/04	2018/11/30	10.00
13085	SG Issuer- HKEX European Warrants Oct 2018 D	150,000,000	2018/05/04	2018/10/31	38.25
13086	SG Issuer- Sunny Optical European Warrants Nov 2018 A	60,000,000	2018/05/04	2018/11/30	15.00
13087	SG Issuer- Tencent European Warrants Aug 2018 F	200,000,000	2018/05/04	2018/08/03	43.00
13090	SG Issuer- Tencent European Warrants Oct 2018 A	200,000,000	2018/05/04	2018/10/31	72.00
13091	SG Issuer- Tencent European Warrants Oct 2018 B	200,000,000	2018/05/04	2018/10/31	48.00
13068	UBS AG- Air China European Warrants Feb 2019 A	60,000,000	2018/05/04	2019/02/21	10.20
13069	UBS AG- China Overseas European Warrants Sep 2018 A	70,000,000	2018/05/04	2018/09/03	10.50
13071	UBS AG- China Shenhua European Warrants Nov 2018 A	70,000,000	2018/05/04	2018/11/23	10.50
13067	UBS AG- HSI European Warrants Sep 2018 D	200,000,000	2018/05/04	2018/09/27	31.00
13053	Bank Vontobel- AAC Tech European Warrants Sep 2018 A	60,000,000	2018/05/04	2018/09/28	10.14
13044	Bank Vontobel- COSCO Sh Hold European Warrants Dec 2018 A	15,000,000	2018/05/04	2018/12/27	10.35
13040	Bank Vontobel- Hang Seng Bank European Warrants Dec 2018 A	20,000,000	2018/05/04	2018/12/03	13.18
13043	Bank Vontobel- Lenovo European Warrants Dec 2018 A	40,000,000	2018/05/04	2018/12/27	15.96
13058	Bank Vontobel- Sunny Optical European Warrants Aug 2018 C	80,000,000	2018/05/04	2018/08/28	17.84
13119	BNP Paribas Issuance B.V.- Ping An European Wt Apr 2019 B	40,000,000	2018/05/07	2019/04/02	22.80
13118	BNP Paribas Issuance B.V.- Ping An Eur Put Wts Oct 2018 G	40,000,000	2018/05/07	2018/10/03	26.40
13120	BNP Paribas Issuance B.V.- Tencent European Wts Apr 2019 E	80,000,000	2018/05/07	2019/04/02	36.80
13121	BNP Paribas Issuance B.V.- Tencent Eu Put Wt Apr 2019 F	80,000,000	2018/05/07	2019/04/02	22.40
13134	Credit Suisse AG- Air China European Warrants Feb 2019 A	50,000,000	2018/05/07	2019/02/21	10.00
13135	Credit Suisse AG- Geely Auto European Put Wts Nov 2018 B	40,000,000	2018/05/07	2018/11/30	10.00
13133	Credit Suisse AG- Ping An European Warrants Aug 2018 G	150,000,000	2018/05/07	2018/08/06	60.00
13169	Goldman Sachs SP (Asia)- Agricultural Bank Euro Wts Sep 18A	80,000,000	2018/05/07	2018/09/20	12.00
13166	Goldman Sachs SP (Asia)- HKEX European Warrants Oct 2018 F	150,000,000	2018/05/07	2018/10/26	31.50
13167	Goldman Sachs SP (Asia)- HKEX European Warrants Oct 2018 G	150,000,000	2018/05/07	2018/10/25	23.40
13165	Goldman Sachs SP (Asia)- Ping An European Wts Aug 2018 H	100,000,000	2018/05/07	2018/08/20	30.50
13168	Goldman Sachs SP (Asia)- Ping An Euro Put Wts Sep 2018 G	60,000,000	2018/05/07	2018/09/24	13.20
13107	HK Bank- Geely Auto European Warrants Nov 2018 A	80,000,000	2018/05/07	2018/11/06	20.00
13106	HK Bank- HKEX European Put Warrants Oct 2018 B	80,000,000	2018/05/07	2018/10/29	12.00
13126	J P Morgan SP BV- CSOP A50 ETF European Warrants Oct 2018 B	100,000,000	2018/05/07	2018/10/31	62.70
13129	J P Morgan SP BV- A50 China European Warrants Nov 2018 A	100,000,000	2018/05/07	2018/11/06	69.70
13123	J P Morgan SP BV- Galaxy Ent European Warrants Aug 2018 A	100,000,000	2018/05/07	2018/08/30	29.70
13131	J P Morgan SP BV- Ping An European Warrants Sep 2018 E	150,000,000	2018/05/07	2018/09/18	30.90
13130	J P Morgan SP BV- Ping An European Warrants Oct 2018 C	150,000,000	2018/05/07	2018/10/02	63.00
13132	J P Morgan SP BV- Ping An European Put Warrants Oct 2018 D	150,000,000	2018/05/07	2018/10/05	40.65
13122	J P Morgan SP BV- Tencent European Warrants Aug 2018 K	250,000,000	2018/05/07	2018/08/06	46.00
13109	Macquarie Bank Ltd.- AIA European Put Warrants Dec 2018 A	25,000,000	2018/05/07	2018/12/04	10.20
13099	Macquarie Bank Ltd.- CHALCO European Warrants Dec 2018 A	16,500,000	2018/05/07	2018/12/04	10.05
13098	Macquarie Bank Ltd.- Country Garden European Put Wts Jan19C	36,000,000	2018/05/07	2019/01/03	10.01
13113	Macquarie Bank Ltd.- Henganintl European Wts Jun 2019 A	12,000,000	2018/05/07	2019/06/04	10.93
13105	Macquarie Bank Ltd.- HN Renewables European Wts Jun 2019 A	16,800,000	2018/05/07	2019/06/18	10.01
13101	Macquarie Bank Ltd.- Kingsoft European Put Warrants Jan 19A	36,000,000	2018/05/07	2019/01/03	10.12
13136	SG Issuer- Ping An European Warrants Sep 2018 E	80,000,000	2018/05/07	2018/09/28	54.40
13151	SG Issuer- Ping An European Warrants Oct 2018 A	80,000,000	2018/05/07	2018/10/31	52.80

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
13152	SG Issuer- Ping An European Warrants Sep 2019 A	500,000,000	2018/05/07	2019/09/30	75.00
13147	SG Issuer- Ping An European Put Warrants Sep 2018 F	50,000,000	2018/05/07	2018/09/28	11.50
13162	UBS AG- Mengniu Dairy European Warrants Sep 2018 B	40,000,000	2018/05/07	2018/09/21	10.40
13157	UBS AG- Tencent European Warrants Sep 2018 F	300,000,000	2018/05/07	2018/09/24	52.50
13158	UBS AG- Tencent European Warrants Nov 2018 C	300,000,000	2018/05/07	2018/11/27	75.30
13153	UBS AG- Tencent European Put Warrants Nov 2018 B	300,000,000	2018/05/07	2018/11/06	98.70
13095	Bank Vontobel- Galaxy Ent European Warrants Oct 2018 A	40,000,000	2018/05/07	2018/10/02	18.80
13096	Bank Vontobel- Ping An European Warrants Nov 2018 D	40,000,000	2018/05/07	2018/11/07	31.84
13092	Bank Vontobel- Ping An European Put Warrants Nov 2018 C	40,000,000	2018/05/07	2018/11/27	21.04
13093	Bank Vontobel- Tencent European Warrants Aug 2018 H	100,000,000	2018/05/07	2018/08/29	49.90
13094	Bank Vontobel- Tencent European Put Warrants Dec 2018 B	100,000,000	2018/05/07	2018/12/20	19.90
14302 #	J P Morgan SP BV- CAM CSI300 European Warrants Aug 2018 A	150,000,000	2018/05/07	2018/08/31	10.80
13178	BNP Paribas Issuance B.V.- Genscript Bio Euro Wts Dec18A	40,000,000	2018/05/08	2018/12/04	24.40
13183	Credit Suisse AG- BYD Company European Warrants Nov 2018 A	25,000,000	2018/05/08	2018/11/09	18.75
13180	Credit Suisse AG- China Lit European Warrants Oct 2019 A	100,000,000	2018/05/08	2019/10/31	25.00
13181	Credit Suisse AG- Hua Hong Semi European Warrants Nov 2018A	30,000,000	2018/05/08	2018/11/07	12.30
13207	Goldman Sachs SP (Asia)- AIA European Put Wts Oct 2018 B	60,000,000	2018/05/08	2018/10/24	11.76
13220	Goldman Sachs SP (Asia)- Geely Auto European Wts Oct 2018 B	80,000,000	2018/05/08	2018/10/18	16.08
13208	Goldman Sachs SP (Asia)- HSBC European Put Wts Aug 2018 G	70,000,000	2018/05/08	2018/08/31	10.50
13209	Goldman Sachs SP (Asia)- Ping An European Wts Sep 2018 H	120,000,000	2018/05/08	2018/09/26	51.12
13200	J P Morgan SP BV- BYD Company European Warrants Nov 2018 A	60,000,000	2018/05/08	2018/11/07	35.52
13203	J P Morgan SP BV- China Lit European Warrants Nov 2018 A	60,000,000	2018/05/08	2018/11/07	29.46
13201	J P Morgan SP BV- CNBM European Warrants Sep 2019 A	100,000,000	2018/05/08	2019/09/23	19.30
13197	J P Morgan SP BV- Hang Seng Bank European Warrants Dec 18 A	40,000,000	2018/05/08	2018/12/03	22.84
13199	J P Morgan SP BV- SHK Ppt European Warrants Nov 2018 A	60,000,000	2018/05/08	2018/11/07	24.00
13198	J P Morgan SP BV- Stanchart European Warrants Aug 2018 B	36,000,000	2018/05/08	2018/08/31	10.04
13205	J P Morgan SP BV- Wharf Reic European Warrants Nov 2018 A	60,000,000	2018/05/08	2018/11/07	23.58
13189	Macquarie Bank Ltd.- China Lit European Warrants Mar 2019 A	7,000,000	2018/05/08	2019/03/15	10.37
13190	Macquarie Bank Ltd.- China Lit European Warrants Jul 2019 A	7,000,000	2018/05/08	2019/07/15	11.11
13192	Macquarie Bank Ltd.- Razer European Warrants Dec 2018 A	16,000,000	2018/05/08	2018/12/14	10.18
13191	Macquarie Bank Ltd.- Razer European Warrants Jul 2019 A	12,500,000	2018/05/08	2019/07/15	10.31
13184	Macquarie Bank Ltd.- Tencent European Put Wts Nov 2018 G	25,000,000	2018/05/08	2018/11/27	10.00
13185	Macquarie Bank Ltd.- Tencent European Put Wts Dec 2018 A	7,000,000	2018/05/08	2018/12/03	14.80
13187	Macquarie Bank Ltd.- Wharf Reic European Warrants Jul 2019A	9,000,000	2018/05/08	2019/07/19	10.21
13210	SG Issuer- BOCOM European Warrants Dec 2018 A	18,000,000	2018/05/08	2018/12/31	10.08
13213	SG Issuer- BYD Company European Warrants Nov 2018 A	13,000,000	2018/05/08	2018/11/30	10.79
13214	SG Issuer- Ch Molybdenum European Warrants Nov 2018 A	13,000,000	2018/05/08	2018/11/30	10.14
13215	SG Issuer- HSBC European Warrants Nov 2018 B	100,000,000	2018/05/08	2018/11/30	20.00
13216	SG Issuer- ICBC European Warrants Sep 2018 B	60,000,000	2018/05/08	2018/09/28	12.30
13218	SG Issuer- Sands China European Warrants Nov 2018 A	40,000,000	2018/05/08	2018/11/30	12.40
13219	SG Issuer- Yanzhou Coal Eu Wt Dec 2018 C	80,000,000	2018/05/08	2018/12/31	12.00
13175	UBS AG- AIA European Warrants Sep 2018 B	100,000,000	2018/05/08	2018/09/24	15.20
13176	UBS AG- AIA European Put Warrants Oct 2018 B	100,000,000	2018/05/08	2018/10/24	39.60
13172	UBS AG- HSBC European Warrants Sep 2018 B	100,000,000	2018/05/08	2018/09/20	24.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
13173	UBS AG- HSBC European Warrants Dec 2018 A	100,000,000	2018/05/08	2018/12/27	15.40
13174	UBS AG- HSBC European Put Warrants Nov 2018 A	100,000,000	2018/05/08	2018/11/07	46.10
13177	UBS AG- Yanzhou Coal European Wts Dec 2018 B	100,000,000	2018/05/08	2018/12/20	15.00
13228	Credit Suisse AG- China Lit European Warrants Nov 2018 A	50,000,000	2018/05/09	2018/11/08	25.00
13232	Credit Suisse AG- Crphoenixhealth European Warrants Feb 19A	40,000,000	2018/05/09	2019/02/28	10.00
13231	Credit Suisse AG- Genscript Bio European Warrants Nov 2018A	30,000,000	2018/05/09	2018/11/08	16.50
13241	Credit Suisse AG- HSBC European Wts Oct 2018 A	100,000,000	2018/05/09	2018/10/26	15.00
13235	Credit Suisse AG- Hang Seng Bank European Warrants Sep 19 A	100,000,000	2018/05/09	2019/09/30	25.00
13242	Credit Suisse AG- SHK Ppt European Warrants Aug 2018 A	40,000,000	2018/05/09	2018/08/31	10.40
13257	Goldman Sachs SP (Asia)- Galaxy Ent European Wts Aug 2018 C	80,000,000	2018/05/09	2018/08/29	19.44
13254	Goldman Sachs SP (Asia)- HSBC European Warrants Dec 2018 B	80,000,000	2018/05/09	2018/12/27	12.00
13262	Goldman Sachs SP (Asia)- HSI European Warrants Sep 2018 D	150,000,000	2018/05/09	2018/09/27	22.50
13258	Goldman Sachs SP (Asia)- Tencent European Wt Oct 2018 C	150,000,000	2018/05/09	2018/10/25	22.50
13261	Goldman Sachs SP (Asia)- Tencent European Wt Nov 2018 A	150,000,000	2018/05/09	2018/11/30	45.00
13247	J P Morgan SP BV- Ch Oilfield Euro Wts Dec 2019 A	60,000,000	2018/05/09	2019/12/31	15.00
13249	J P Morgan SP BV- Evergrande European Warrants Oct 2018 A	50,000,000	2018/05/09	2018/10/18	20.20
13248	J P Morgan SP BV- HSBC European Warrants Sep 2018 D	100,000,000	2018/05/09	2018/09/03	15.30
13227	Macquarie Bank Ltd.- Henganintl European Put Wts Mar19A	12,000,000	2018/05/09	2019/03/04	10.50
13226	Macquarie Bank Ltd.- HKEX European Warrants Dec 2018 B	5,000,000	2018/05/09	2018/12/04	10.21
13243	Macquarie Bank Ltd.- Tencent European Warrants Nov 2018 H	40,000,000	2018/05/09	2018/11/27	10.00
13244	Macquarie Bank Ltd.- Tencent European Warrants Nov 2018 I	40,000,000	2018/05/09	2018/11/27	23.68
13245	Macquarie Bank Ltd.- Tencent European Warrants Dec 2018 B	10,200,000	2018/05/09	2018/12/03	10.05
13225	SG Issuer- CAM CSI300 European Warrants Oct 2018 A	60,000,000	2018/05/09	2018/10/31	10.20
13221	SG Issuer- China Lit European Warrants Oct 2019 A	100,000,000	2018/05/09	2019/10/31	25.00
13222	SG Issuer- Wharf Holdings European Warrants Nov 2018 A	40,000,000	2018/05/09	2018/11/30	10.00
13224	SG Issuer- Wharf Reic European Warrants Nov 2018 A	18,000,000	2018/05/09	2018/11/30	10.08
13277	UBS AG- CK Asset European Warrants Nov 2018 A	40,000,000	2018/05/09	2018/11/12	10.84
13278	UBS AG- China Lit European Warrants Dec 2018 A	11,000,000	2018/05/09	2018/12/10	10.84
13274	UBS AG- China Unicom European Warrants Nov 2018 A	15,000,000	2018/05/09	2018/11/12	11.16
13266	UBS AG- Baiyunshan Ph European Warrants Nov 2018 A	25,000,000	2018/05/09	2018/11/12	10.70
13282	UBS AG- Hua Hong Semi European Warrants Nov 2018 A	30,000,000	2018/05/09	2018/11/12	11.97
13281	UBS AG- Yongda Auto European Warrants Jul 2019 A	50,000,000	2018/05/09	2019/07/11	10.30
13336	Credit Suisse AG- CK Asset European Warrants Sep 2018 A	40,000,000	2018/05/10	2018/09/26	10.00
13335	Credit Suisse AG- Evergrande European Warrants Sep 2018 A	40,000,000	2018/05/10	2018/09/20	16.00
13334	Credit Suisse AG- Baiyunshan Ph European Warrants Nov 2018A	30,000,000	2018/05/10	2018/11/09	11.40
13299	Bank of East Asia- CNOOC European Warrants Oct 2019 A	40,000,000	2018/05/10	2019/10/02	10.00
13283	Bank of East Asia- Sino-Ocean Gp European Warrants Nov 18 A	20,000,000	2018/05/10	2018/11/09	11.78
13363	Goldman Sachs SP (Asia)- Geely Auto European Wts Oct 2018 C	80,000,000	2018/05/10	2018/10/22	12.00
13357	Goldman Sachs SP (Asia)- HSI European Put Wts Sep 2018 E	150,000,000	2018/05/10	2018/09/27	22.50
13358	Goldman Sachs SP (Asia)- Kingsoft European Wts Sep 2018 A	60,000,000	2018/05/10	2018/09/20	10.02
13361	Goldman Sachs SP (Asia)- Ping An European Wts Sep 2018 I	100,000,000	2018/05/10	2018/09/27	44.30
13359	Goldman Sachs SP (Asia)- SHK Ppt European Wts Aug 2018 A	60,000,000	2018/05/10	2018/08/24	10.08
13286	HK Bank- Sands China European Warrants Nov 2018 A	50,000,000	2018/05/10	2018/11/30	12.50
13285	HK Bank- Tencent European Warrants Sep 2018 F	100,000,000	2018/05/10	2018/09/24	16.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
13313	Haitong Int'l Sec- China Lit European Warrants Nov 2018 A	20,000,000	2018/05/10	2018/11/20 10.80
13315	Haitong Int'l Sec- Genscript Bio European Warrants Nov 18 A	25,000,000	2018/05/10	2018/11/12 11.75
13314	Haitong Int'l Sec- Hua Hong Semi European Warrants Nov 18 A	30,000,000	2018/05/10	2018/11/12 11.10
13316	Haitong Int'l Sec- Wharf Reic European Warrants Nov 2018 A	25,000,000	2018/05/10	2018/11/20 11.25
13339	J P Morgan SP BV- Baiyunshan Ph European Wts Dec 2018 A	40,000,000	2018/05/10	2018/12/03 21.52
13342	J P Morgan SP BV- Tencent European Warrants Nov 2018 A	250,000,000	2018/05/10	2018/11/30 62.75
13340	J P Morgan SP BV- Tencent European Warrants Dec 2018 C	250,000,000	2018/05/10	2018/12/31 63.75
13297	Macquarie Bank Ltd.- BYD Company European Warrants Dec 18 C	12,000,000	2018/05/10	2018/12/04 10.07
13298	Macquarie Bank Ltd.- CKH Holdings European Warrants Jan 19A	18,000,000	2018/05/10	2019/01/03 10.04
13294	Macquarie Bank Ltd.- Ch LongYuan European Wts Dec 2019 A	40,000,000	2018/05/10	2019/12/17 10.04
13345	SG Issuer- CK Asset European Warrants Nov 2018 A	26,000,000	2018/05/10	2018/11/30 10.01
13353	SG Issuer- CNBM European Warrants Nov 2018 A	10,000,000	2018/05/10	2018/11/30 11.60
13350	SG Issuer- Link REIT European Warrants Dec 2018 A	26,000,000	2018/05/10	2018/12/31 10.01
13348	SG Issuer- MGM China European Warrants Nov 2018 A	40,000,000	2018/05/10	2018/11/30 13.40
13356	SG Issuer- SJM Holdings Eu Wt Dec 2018 A	11,000,000	2018/05/10	2018/12/31 10.01
13329	UBS AG- HSBC European Warrants Aug 2018 C	100,000,000	2018/05/10	2018/08/10 18.90
13331	UBS AG- HSBC European Warrants Nov 2018 B	100,000,000	2018/05/10	2018/11/09 25.30
13326	UBS AG- Hang Seng Bank European Warrants Nov 2018 A	70,000,000	2018/05/10	2018/11/09 37.31
13319	UBS AG- HSI European Warrants Oct 2018 E	200,000,000	2018/05/10	2018/10/30 31.00
13318	UBS AG- HSI European Put Warrants Oct 2018 D	200,000,000	2018/05/10	2018/10/30 51.80
13317	UBS AG- Melco Int'l Dev European Warrants Nov 2018 A	50,000,000	2018/05/10	2018/11/09 12.50
13288	Bank Vontobel- Agricultural Bank European Warrants Sep 18 A	40,000,000	2018/05/10	2018/09/24 10.00
13290	Bank Vontobel- CNBM European Warrants Nov 2018 A	15,000,000	2018/05/10	2018/11/12 16.34
13292	Bank Vontobel- Geely Auto European Warrants Oct 2018 B	50,000,000	2018/05/10	2018/10/30 10.05
13291	Bank Vontobel- Galaxy Ent European Warrants Nov 2018 B	40,000,000	2018/05/10	2018/11/01 13.96
13289	Bank Vontobel- Lenovo European Warrants Oct 2018 A	40,000,000	2018/05/10	2018/10/29 10.64
13385	BOCI Asia Ltd.- BYD Company European Warrants Nov 2018 A	50,000,000	2018/05/11	2018/11/26 28.95
13386	BOCI Asia Ltd.- China Lit European Warrants Nov 2018 A	30,000,000	2018/05/11	2018/11/12 11.73
13404	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Aug 2018 C	70,000,000	2018/05/11	2018/08/24 10.50
13402	Goldman Sachs SP (Asia)- BOC HK European Wts Oct 2018 B	60,000,000	2018/05/11	2018/10/24 10.80
13401	Goldman Sachs SP (Asia)- BYD Company European Wts Nov 2018A	40,000,000	2018/05/11	2018/11/16 11.72
13406	Goldman Sachs SP (Asia)- China Lit European Warrants Nov18A	50,000,000	2018/05/11	2018/11/12 12.50
13399	Goldman Sachs SP (Asia)- China Lit European Warrants Oct19A	80,000,000	2018/05/11	2019/10/31 20.00
13408	Goldman Sachs SP (Asia)- HSI European Warrants Aug 2018 J	150,000,000	2018/05/11	2018/08/30 22.50
13396	J P Morgan SP BV- Henganintl European Warrants Dec 2018 A	60,000,000	2018/05/11	2018/12/03 21.00
13395	J P Morgan SP BV- HSBC European Warrants Sep 2018 E	150,000,000	2018/05/11	2018/09/03 41.10
13394	J P Morgan SP BV- HSBC European Warrants Oct 2018 B	150,000,000	2018/05/11	2018/10/02 25.05
13390	Macquarie Bank Ltd.- Tencent European Warrants Dec 2018 C	9,000,000	2018/05/11	2018/12/03 36.91
13392	Macquarie Bank Ltd.- Tencent European Warrants Dec 2018 D	8,000,000	2018/05/11	2018/12/03 20.09
13393	Macquarie Bank Ltd.- Tencent European Warrants Dec 2018 E	7,000,000	2018/05/11	2018/12/03 10.46
13397	SG Issuer- Jiangxi Copper European Warrants Dec 2018 A	70,000,000	2018/05/11	2018/12/31 10.50
13380	UBS AG- C Transmission European Warrants Dec 2018 A	30,000,000	2018/05/11	2018/12/17 10.02
13366	UBS AG- HSBC European Warrants Oct 2018 C	100,000,000	2018/05/11	2018/10/24 43.50
13365	UBS AG- HSBC European Put Warrants Oct 2018 B	100,000,000	2018/05/11	2018/10/26 15.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
13382	UBS AG- NagaCorp European Warrants Nov 2018 A	5,000,000	2018/05/11	2018/11/19 10.00
13364	UBS AG- Tencent European Put Warrants Oct 2018 D	300,000,000	2018/05/11	2018/10/29 54.30
13379	UBS AG- Wharf Reic European Warrants Nov 2018 A	50,000,000	2018/05/11	2018/11/12 16.75
13383	UBS AG- Xiabuxiabu European Warrants Nov 2018 A	30,000,000	2018/05/11	2018/11/26 10.02
13419	BOCI Asia Ltd.- IGG European Warrants Sep 2018 B	60,000,000	2018/05/14	2018/09/24 12.36
13415	BOCI Asia Ltd.- Ping An European Warrants Oct 2018 B	100,000,000	2018/05/14	2018/10/29 36.00
13413	BOCI Asia Ltd.- Tencent European Put Wts Sep 2018 J	100,000,000	2018/05/14	2018/09/27 23.20
13464	BNP Paribas Issuance B.V.- Bank of China Euro Wts Dec 2018A	50,000,000	2018/05/14	2018/12/04 13.50
10002	BNP Paribas Issuance B.V.- Gold European Wts Dec 2018 A	50,000,000	2018/05/14	2018/12/21 15.00
10003	BNP Paribas Issuance B.V.- Gold Euro Put Wts Dec 2018 B	50,000,000	2018/05/14	2018/12/21 15.50
13463	BNP Paribas Issuance B.V.- Ping An European Wt Jan 2019 D	60,000,000	2018/05/14	2019/01/03 31.80
13459	BNP Paribas Issuance B.V.- Ping An Eur Put Wts Apr 2019 C	50,000,000	2018/05/14	2019/04/02 32.00
13451	BNP Paribas Issuance B.V.- Tencent European Wts Dec 2018 E	80,000,000	2018/05/14	2018/12/04 28.00
13454	BNP Paribas Issuance B.V.- Tencent European Wts Jul 2019 A	80,000,000	2018/05/14	2019/07/03 25.60
13452	BNP Paribas Issuance B.V.- Tencent Eu Put Wt Dec 2018 F	80,000,000	2018/05/14	2018/12/04 26.40
13475	Credit Suisse AG- Ping An European Warrants Nov 2018 D	150,000,000	2018/05/14	2018/11/13 120.00
13474	Credit Suisse AG- Tencent European Wts Dec 2018 A	250,000,000	2018/05/14	2018/12/31 75.00
13507	Goldman Sachs SP (Asia)- CNOOC European Warrants Aug 2018 B	80,000,000	2018/05/14	2018/08/24 32.00
13511	Goldman Sachs SP (Asia)- HSI European Warrants Nov 2018 A	150,000,000	2018/05/14	2018/11/29 22.50
13514	Goldman Sachs SP (Asia)- HSI European Put Wts Nov 2018 B	150,000,000	2018/05/14	2018/11/29 22.50
13508	Goldman Sachs SP (Asia)- Sunny Optical Euro Wts Sep 2018 A	70,000,000	2018/05/14	2018/09/14 10.50
13506	Goldman Sachs SP (Asia)- Tencent European Wt Dec 2018 C	150,000,000	2018/05/14	2018/12/27 43.20
13449	HK Bank- PICC Group European Warrants Nov 2018 A	40,000,000	2018/05/14	2018/11/30 12.00
13448	HK Bank- SHK Ppt European Warrants Nov 2018 A	40,000,000	2018/05/14	2018/11/30 14.00
13450	HK Bank- Tencent European Warrants Oct 2018 C	150,000,000	2018/05/14	2018/10/22 33.00
13477	J P Morgan SP BV- Ch Molybdenum European Warrants Oct 2018A	40,000,000	2018/05/14	2018/10/02 20.32
13476	J P Morgan SP BV- CNOOC European Put Warrants Dec 2019 A	100,000,000	2018/05/14	2019/12/31 25.00
13487	J P Morgan SP BV- Sinopec Corp European Warrants Jan 2019 A	100,000,000	2018/05/14	2019/01/31 26.10
13479	J P Morgan SP BV- Tencent European Warrants Sep 2018 F	250,000,000	2018/05/14	2018/09/28 55.00
13465	Macquarie Bank Ltd.- Sinopec Corp European Wts Jan 2019 B	40,000,000	2018/05/14	2019/01/03 10.04
13468	Macquarie Bank Ltd.- FIH Mobile European Warrants Jun 2019A	33,000,000	2018/05/14	2019/06/04 10.03
13472	Macquarie Bank Ltd.- Tencent European Warrants Dec 2018 F	10,000,000	2018/05/14	2018/12/03 60.52
13488	SG Issuer- CNOOC European Warrants Nov 2018 B	18,000,000	2018/05/14	2018/11/30 10.08
13489	SG Issuer- Huaneng Power European Warrants Nov 2018 A	20,000,000	2018/05/14	2018/11/30 10.20
13494	SG Issuer- Tencent European Warrants Nov 2018 A	200,000,000	2018/05/14	2018/11/30 57.00
13500	SG Issuer- Tencent European Warrants Dec 2018 B	200,000,000	2018/05/14	2018/12/31 32.00
13498	SG Issuer- Tencent European Put Warrants Nov 2018 B	20,000,000	2018/05/14	2018/11/30 13.40
13438	UBS AG- CAM CSI300 European Warrants Oct 2018 A	70,000,000	2018/05/14	2018/10/24 16.45
13447	UBS AG- CM Bank European Warrants Nov 2018 A	50,000,000	2018/05/14	2018/11/23 10.25
13431	UBS AG- CSOP A50 ETF European Warrants Sep 2018 C	30,000,000	2018/05/14	2018/09/24 11.40
13435	UBS AG- CSOP A50 ETF European Warrants Nov 2018 A	30,000,000	2018/05/14	2018/11/13 11.07
13428	UBS AG- Geely Auto European Warrants Oct 2018 C	50,000,000	2018/05/14	2018/10/24 10.25
13420	UBS AG- Geely Auto European Put Warrants Oct 2018 B	50,000,000	2018/05/14	2018/10/26 11.45
13439	UBS AG- HSCEI European Warrants Dec 2018 A	100,000,000	2018/05/14	2018/12/28 15.10

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
13443	UBS AG- HSCEI European Put Warrants Dec 2018 B	100,000,000	2018/05/14	2018/12/28	17.30
23727 #	J P Morgan SP BV- CSPC Pharma European Warrants Sep 2018 A	60,000,000	2018/05/14	2018/09/28	28.20
23920 #	J P Morgan SP BV- HSI European Put Warrants Oct 2018 B	300,000,000	2018/05/14	2018/10/30	26.10
13520	BOCI Asia Ltd.- CNOOC European Warrants Oct 2018 B	50,000,000	2018/05/15	2018/10/31	28.55
13518	BOCI Asia Ltd.- PetroChina European Warrants Nov 2018 A	60,000,000	2018/05/15	2018/11/26	15.24
13553	BNP Paribas Issuance B.V.- AAC Tech Euro Wts May 2019 A	80,000,000	2018/05/15	2019/05/02	20.00
10006	BNP Paribas Issuance B.V.- AUD/USD European Wts Dec 2018 A	50,000,000	2018/05/15	2018/12/21	12.50
10007	BNP Paribas Issuance B.V.- AUD/USD Euro Put Wts Dec 2018 B	50,000,000	2018/05/15	2018/12/21	12.50
13551	BNP Paribas Issuance B.V.- CKH European Wts Mar 2019 A	40,000,000	2018/05/15	2019/03/04	10.00
13545	BNP Paribas Issuance B.V.- China Life Euro Wts Jul 2019 A	60,000,000	2018/05/15	2019/07/03	15.00
13627	BNP Paribas Issuance B.V.- CPIC European Wts Dec 2018 A	40,000,000	2018/05/15	2018/12/04	10.00
10009	BNP Paribas Issuance B.V.- EUR/USD Euro Wts Dec 2018 A	100,000,000	2018/05/15	2018/12/21	33.00
10010	BNP Paribas Issuance B.V.- EUR/USD Euro Put Wt Dec 2018 B	100,000,000	2018/05/15	2018/12/21	32.00
10004	BNP Paribas Issuance B.V.- GBP/USD European Wts Dec 2018 A	50,000,000	2018/05/15	2018/12/21	17.00
10005	BNP Paribas Issuance B.V.- GBP/USD European Put Wt Dec 18 B	50,000,000	2018/05/15	2018/12/21	16.00
13549	BNP Paribas Issuance B.V.- Galaxy Ent Euro Wts Oct 2018 A	40,000,000	2018/05/15	2018/10/03	15.60
13544	BNP Paribas Issuance B.V.- HKEX European Wts Mar 2019 A	80,000,000	2018/05/15	2019/03/04	20.00
13538	BNP Paribas Issuance B.V.- HSBC European Wts Feb 2019 B	40,000,000	2018/05/15	2019/02/04	18.80
13540	BNP Paribas Issuance B.V.- HSBC Euro Put Wts Feb 2019 C	40,000,000	2018/05/15	2019/02/04	18.40
13554	BNP Paribas Issuance B.V.- Hang Seng Bank Eu Wt Jan 2019 A	20,000,000	2018/05/15	2019/01/03	10.40
13614	Credit Suisse AG- Ch Molybdenum European Wts Nov 2018 A	30,000,000	2018/05/15	2018/11/14	19.50
13610	Credit Suisse AG- Sinopec Corp European Warrants Oct 2018 A	50,000,000	2018/05/15	2018/10/23	14.00
13607	Credit Suisse AG- CSPC Pharma European Warrants Sep 2018 C	40,000,000	2018/05/15	2018/09/20	18.00
13608	Credit Suisse AG- CSPC Pharma European Warrants Oct 2018 A	40,000,000	2018/05/15	2018/10/08	12.80
13620	Goldman Sachs SP (Asia)- CAM CSI300 European Wts Oct 2018 A	80,000,000	2018/05/15	2018/10/24	12.00
13616	Goldman Sachs SP (Asia)- CC Bank European Wts Sep 2018 C	80,000,000	2018/05/15	2018/09/20	12.00
13619	Goldman Sachs SP (Asia)- Ping An European Wts Oct 2018 B	100,000,000	2018/05/15	2018/10/26	17.60
13618	Goldman Sachs SP (Asia)- Tencent European Wt Oct 2018 E	150,000,000	2018/05/15	2018/10/26	22.50
13617	Goldman Sachs SP (Asia)- Tencent European Put Wt Oct 2018 D	100,000,000	2018/05/15	2018/10/29	15.00
13521	HK Bank- CNOOC European Warrants Dec 2019 B	150,000,000	2018/05/15	2019/12/31	37.50
13572	HK Bank- CSPC Pharma European Warrants Aug 2018 A	50,000,000	2018/05/15	2018/08/31	24.00
13555	HK Bank- CSPC Pharma European Warrants Oct 2018 A	80,000,000	2018/05/15	2018/10/22	24.00
13562	HK Bank- CSPC Pharma European Warrants Nov 2018 A	80,000,000	2018/05/15	2018/11/14	20.00
13565	HK Bank- Sino Biopharm European Warrants Nov 2018 A	80,000,000	2018/05/15	2018/11/30	20.00
13536	Haitong Int'l Sec- AAC Tech European Warrants Dec 2018 A	100,000,000	2018/05/15	2018/12/28	25.00
13535	Haitong Int'l Sec- BYD Company European Warrants Oct 2018 B	25,000,000	2018/05/15	2018/10/31	12.50
13532	Haitong Int'l Sec- China Lit European Warrants Aug 2019 A	100,000,000	2018/05/15	2019/08/22	26.00
13534	Haitong Int'l Sec- Baiyunshan Ph European Warrants Nov 18 A	25,000,000	2018/05/15	2018/11/15	11.75
13526	Haitong Int'l Sec- HSBC European Warrants Oct 2018 B	50,000,000	2018/05/15	2018/10/02	15.00
13596	J P Morgan SP BV- Sinopec Corp Eu Put Wt Nov 2018 A	100,000,000	2018/05/15	2018/11/02	28.10
13595	J P Morgan SP BV- CSPC Pharma European Warrants Jan 2019 A	50,000,000	2018/05/15	2019/01/02	13.30
13594	J P Morgan SP BV- HSCEI European Put Warrants Dec 2018 B	200,000,000	2018/05/15	2018/12/28	39.80
13590	J P Morgan SP BV- HSI European Put Warrants Nov 2018 D	300,000,000	2018/05/15	2018/11/29	75.30
13597	J P Morgan SP BV- Tencent European Warrants Dec 2018 D	250,000,000	2018/05/15	2018/12/31	86.25

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
13599	J P Morgan SP BV- Tencent European Warrants Mar 2019 B	250,000,000	2018/05/15	2019/03/29	72.75
13589	Macquarie Bank Ltd.- AAC Tech European Wts Feb 2019 A	5,000,000	2018/05/15	2019/02/04	10.01
13581	Macquarie Bank Ltd.- CITIC European Warrants Jan 2019 A	12,500,000	2018/05/15	2019/01/03	10.11
13588	Macquarie Bank Ltd.- CPIC European Put Warrants Jan 2019 A	38,000,000	2018/05/15	2019/01/03	10.18
13579	Macquarie Bank Ltd.- Hang Seng Bank European Wts Dec 2018 A	14,000,000	2018/05/15	2018/12/04	10.33
13585	Macquarie Bank Ltd.- MGM China European Put Warrants Apr19A	26,000,000	2018/05/15	2019/04/02	10.19
13582	Macquarie Bank Ltd.- PICC P&C European Warrants Jul 2019 A	40,000,000	2018/05/15	2019/07/03	10.00
13587	Macquarie Bank Ltd.- Swire Pacific A Euro Put Wts Apr 19B	14,000,000	2018/05/15	2019/04/02	10.16
13600	SG Issuer- CSPC Pharma European Warrants Sep 2018 B	40,000,000	2018/05/15	2018/09/28	11.40
13601	SG Issuer- CSPC Pharma European Warrants Nov 2018 A	40,000,000	2018/05/15	2018/11/30	20.00
13573	UBS AG- CSPC Pharma European Warrants Nov 2018 B	40,000,000	2018/05/15	2018/11/14	21.68
13577	UBS AG- CSPC Pharma European Warrants Nov 2018 C	40,000,000	2018/05/15	2018/11/30	11.68
13574	UBS AG- CSPC Pharma European Warrants Dec 2018 A	40,000,000	2018/05/15	2018/12/17	19.56
13516	Bank Vontobel- BYD Company European Warrants Nov 2018 A	20,000,000	2018/05/15	2018/11/27	12.16
13630	BOCI Asia Ltd.- CSPC Pharma European Warrants Sep 2018 B	50,000,000	2018/05/16	2018/09/26	19.90
13631	BOCI Asia Ltd.- CSPC Pharma European Warrants Sep 2018 C	50,000,000	2018/05/16	2018/09/20	13.90
13628	BOCI Asia Ltd.- Galaxy Ent European Warrants Nov 2018 A	60,000,000	2018/05/16	2018/11/26	23.94
13634	BOCI Asia Ltd.- HKEX European Warrants Dec 2018 C	200,000,000	2018/05/16	2018/12/21	35.60
13629	BOCI Asia Ltd.- HSBC European Warrants Nov 2018 A	100,000,000	2018/05/16	2018/11/27	16.40
10011	BNP Paribas Issuance B.V.- Oil Futures Euro Wt May 2019 A	50,000,000	2018/05/16	2019/05/20	12.50
10014	BNP Paribas Issuance B.V.- Oil Futures Eu Put Wt May 2019 B	50,000,000	2018/05/16	2019/05/20	17.50
13673	Credit Suisse AG- CITIC European Warrants Sep 2018 A	30,000,000	2018/05/16	2018/09/28	23.40
13676	Credit Suisse AG- CNOOC European Warrants Oct 2018 A	40,000,000	2018/05/16	2018/10/26	14.40
13682	Credit Suisse AG- CNOOC European Put Warrants Sep 2019 A	100,000,000	2018/05/16	2019/09/30	25.00
13670	Credit Suisse AG- CPIC European Warrants Nov 2018 A	50,000,000	2018/05/16	2018/11/30	12.50
13671	Credit Suisse AG- China Taiping European Warrants Sep 2018A	60,000,000	2018/05/16	2018/09/20	10.08
13680	Credit Suisse AG- PetroChina European Warrants Nov 2018 A	60,000,000	2018/05/16	2018/11/15	15.00
13663	Credit Suisse AG- Ping An European Warrants Sep 2018 H	150,000,000	2018/05/16	2018/09/20	28.50
13681	Credit Suisse AG- Tsingtao Brew European Warrants Nov 2018A	30,000,000	2018/05/16	2018/11/15	22.20
13694	Bank of East Asia- CSPC Pharma European Warrants Feb 2019 A	40,000,000	2018/05/16	2019/02/15	14.40
13635	Bank of East Asia- Sino-Ocean Gp European Put Wts Nov 18B	20,000,000	2018/05/16	2018/11/16	11.76
13696	Goldman Sachs SP (Asia)- AIA European Warrants Sep 2018 C	80,000,000	2018/05/16	2018/09/20	24.56
13697	Goldman Sachs SP (Asia)- Geely Auto European Wts Sep 2018 A	80,000,000	2018/05/16	2018/09/20	12.00
13703	Goldman Sachs SP (Asia)- Galaxy Ent European Wts Sep 2018 B	80,000,000	2018/05/16	2018/09/20	12.00
13707	Goldman Sachs SP (Asia)- Link REIT European Wts Dec 2018 A	50,000,000	2018/05/16	2018/12/28	12.50
13695	Goldman Sachs SP (Asia)- Tencent European Wt Oct 2018 F	150,000,000	2018/05/16	2018/10/22	40.80
13662	J P Morgan SP BV- BAIC Motor European Warrants Nov 2018 A	50,000,000	2018/05/16	2018/11/30	37.15
13661	J P Morgan SP BV- CSPC Pharma European Warrants Sep 2018 B	60,000,000	2018/05/16	2018/09/28	15.90
13651	Macquarie Bank Ltd.- Sh Pharma European Warrants Apr 2019 A	31,600,000	2018/05/16	2019/04/16	10.02
13654	Macquarie Bank Ltd.- SJM Holdings European Wts Dec 2018 A	10,000,000	2018/05/16	2018/12/31	10.69
13652	Macquarie Bank Ltd.- SJM Holdings European Wts May 2019 A	10,500,000	2018/05/16	2019/05/15	10.23
13659	Macquarie Bank Ltd.- WuXi Bio European Warrants Dec 2018 A	40,000,000	2018/05/16	2018/12/31	10.00
13686	SG Issuer- CGN Power Eu Wts Nov 2018 A	40,000,000	2018/05/16	2018/11/30	10.00
13688	SG Issuer- CNOOC European Put Warrants Oct 2018 A	30,000,000	2018/05/16	2018/10/31	10.05

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
13687	SG Issuer- Sinopec Corp European Put Warrants Oct 2018 A	35,000,000	2018/05/16	2018/10/31	10.15
13689	SG Issuer- HSBC European Put Warrants Oct 2018 A	60,000,000	2018/05/16	2018/10/31	24.90
13691	SG Issuer- PetroChina European Warrants Nov 2018 A	40,000,000	2018/05/16	2018/11/30	11.20
13692	SG Issuer- PetroChina European Put Warrants Nov 2018 B	35,000,000	2018/05/16	2018/11/30	10.15
13693	SG Issuer- Tencent European Warrants Oct 2018 C	200,000,000	2018/05/16	2018/10/31	33.00
13647	UBS AG- Ch Oilfield European Warrants Dec 2019 A	70,000,000	2018/05/16	2019/12/30	17.50
13646	UBS AG- Kingdee Intl European Warrants Jan 2019 A	100,000,000	2018/05/16	2019/01/10	18.10
13642	UBS AG- PetroChina European Warrants Nov 2018 A	70,000,000	2018/05/16	2018/11/15	17.64
13643	UBS AG- Sino Biopharm European Warrants Mar 2019 A	40,000,000	2018/05/16	2019/03/11	13.08
13640	Bank Vontobel- CNOOC European Warrants Nov 2018 A	30,000,000	2018/05/16	2018/11/30	12.78
13641	Bank Vontobel- Tencent European Warrants Jul 2019 A	100,000,000	2018/05/16	2019/07/03	38.00
13710	BOCI Asia Ltd.- Tencent European Wts Aug 2018 G	200,000,000	2018/05/17	2018/08/24	30.40
13711	BOCI Asia Ltd.- Tencent European Wts Sep 2018 K	200,000,000	2018/05/17	2018/09/27	30.40
10017	BNP Paribas Issuance B.V.- USD/CNH European Wts Dec 2018 A	50,000,000	2018/05/17	2018/12/21	15.00
10018	BNP Paribas Issuance B.V.- USD/CNH European Put Wts Dec 18B	50,000,000	2018/05/17	2018/12/21	12.50
10015	BNP Paribas Issuance B.V.- USD/YEN Euro Wts Dec 2018 A	50,000,000	2018/05/17	2018/12/21	13.00
10016	BNP Paribas Issuance B.V.- USD/YEN Eur Put Wts Dec 2018 B	50,000,000	2018/05/17	2018/12/21	15.00
13734	Credit Suisse AG- AAC Tech European Put Warrants Mar 2019 A	80,000,000	2018/05/17	2019/03/18	16.80
13728	Credit Suisse AG- CNBM European Warrants Sep 2018 A	20,000,000	2018/05/17	2018/09/24	17.00
13727	Credit Suisse AG- China Res Beer European Warrants Nov 18 A	25,000,000	2018/05/17	2018/11/16	14.50
13752	Credit Suisse AG- China State Con European Warrants Sep 19A	80,000,000	2018/05/17	2019/09/30	20.00
13726	Credit Suisse AG- Geely Auto European Warrants Oct 2018 C	80,000,000	2018/05/17	2018/10/22	15.20
13735	Credit Suisse AG- R&F Ppt European Warrants Nov 2018 A	60,000,000	2018/05/17	2018/11/16	15.00
13731	Credit Suisse AG- PetroChina European Put Warrants Nov 18 B	40,000,000	2018/05/17	2018/11/16	12.00
13725	Credit Suisse AG- Tencent European Wts Oct 2018 D	250,000,000	2018/05/17	2018/10/25	47.50
13757	Goldman Sachs SP (Asia)- BOCL European Warrants Sep 2018 B	80,000,000	2018/05/17	2018/09/20	14.64
13754	Goldman Sachs SP (Asia)- China Shenhua Euro Wts Dec 2018 A	70,000,000	2018/05/17	2018/12/24	10.50
13720	Goldman Sachs SP (Asia)- CSPC Pharma European Wts Oct18A	40,000,000	2018/05/17	2018/10/05	10.00
13721	Goldman Sachs SP (Asia)- CSPC Pharma European Wts Nov18A	40,000,000	2018/05/17	2018/11/29	11.48
13712	HK Bank- Sinopec Corp European Warrants Nov 2018 A	150,000,000	2018/05/17	2018/11/30	37.50
13713	HK Bank- PetroChina European Warrants Nov 2018 A	150,000,000	2018/05/17	2018/11/30	37.50
13714	HK Bank- SUNAC European Warrants Nov 2018 B	50,000,000	2018/05/17	2018/11/30	20.00
13718	HK Bank- SUNAC European Warrants Nov 2018 C	50,000,000	2018/05/17	2018/11/30	13.00
13722	J P Morgan SP BV- Galaxy Ent European Warrants Dec 2018 A	100,000,000	2018/05/17	2018/12/03	30.50
13741	UBS AG- China Lit European Warrants Sep 2019 A	100,000,000	2018/05/17	2019/09/30	25.70
13739	UBS AG- Mapleleaf Edu European Warrants Dec 2018 A	40,000,000	2018/05/17	2018/12/24	10.00
13750	UBS AG- Henderson Land Dev European Warrants Nov 2018 A	40,000,000	2018/05/17	2018/11/19	10.00
13749	UBS AG- Hua Hong Semi European Warrants Dec 2018 A	25,000,000	2018/05/17	2018/12/10	11.03
13751	UBS AG- Tencent European Put Warrants Sep 2018 G	200,000,000	2018/05/17	2018/09/24	30.60
13823	BOCI Asia Ltd.- AIA European Warrants Oct 2018 A	100,000,000	2018/05/18	2018/10/29	15.30
13821	BOCI Asia Ltd.- Geely Auto European Warrants Oct 2018 B	100,000,000	2018/05/18	2018/10/22	16.30
13813	BNP Paribas Issuance B.V.- Sinopec Corp Eu Wts Apr 2019 A	40,000,000	2018/05/18	2019/04/02	16.40
13872	Credit Suisse AG- AIA European Put Warrants Oct 2018 B	60,000,000	2018/05/18	2018/10/24	13.80
13870	Credit Suisse AG- China Life European Put Wts Jan 2019 A	50,000,000	2018/05/18	2019/01/31	12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
13873	Credit Suisse AG- China Lit European Warrants Sep 2019 A	100,000,000	2018/05/18	2019/09/30	25.00
13876	Credit Suisse AG- HSBC European Put Warrants Oct 2018 B	60,000,000	2018/05/18	2018/10/31	28.20
13880	Credit Suisse AG- Kingdee Int'l European Warrants Feb 2019A	50,000,000	2018/05/18	2019/02/28	12.50
13903	Goldman Sachs SP (Asia)- HSBC European Warrants Sep 2018 D	80,000,000	2018/05/18	2018/09/20	12.00
13900	Goldman Sachs SP (Asia)- Ping An Euro Put Wts Sep 2018 J	60,000,000	2018/05/18	2018/09/05	14.16
13902	Goldman Sachs SP (Asia)- Sunny Optical Euro Wts Oct 2018 A	60,000,000	2018/05/18	2018/10/26	10.02
13894	Goldman Sachs SP (Asia)- Tencent European Wt Nov 2018 B	150,000,000	2018/05/18	2018/11/19	49.35
13896	Goldman Sachs SP (Asia)- Tencent European Put Wt Aug 2018 N	100,000,000	2018/05/18	2018/08/30	26.20
13832	Haitong Int'l Sec- BAIC Motor European Warrants Dec 2018 A	80,000,000	2018/05/18	2018/12/20	12.00
13811	Haitong Int'l Sec- BAIC Motor European Warrants Dec 2019 A	80,000,000	2018/05/18	2019/12/31	20.00
13787	Haitong Int'l Sec- BYD Company European Warrants Nov 2018 A	40,000,000	2018/05/18	2018/11/20	28.00
13790	Haitong Int'l Sec- Country Garden European Warrants Nov 18C	60,000,000	2018/05/18	2018/11/30	19.80
13791	Haitong Int'l Sec- Country Garden European Warrants Nov 18D	60,000,000	2018/05/18	2018/11/19	15.00
13763	Haitong Int'l Sec- China Gas Hold European Warrants Dec 18B	50,000,000	2018/05/18	2018/12/28	19.00
13804	Haitong Int'l Sec- Ch Oilfield European Warrants Dec 2019 A	80,000,000	2018/05/18	2019/12/19	21.60
13767	Haitong Int'l Sec- Sinopec Corp European Wts Oct 2018 A	100,000,000	2018/05/18	2018/10/26	38.00
13838	Haitong Int'l Sec- China State Con European Warrants Sep19A	80,000,000	2018/05/18	2019/09/18	20.00
13810	Haitong Int'l Sec- China Shenhua European Warrants Dec 18 A	68,000,000	2018/05/18	2018/12/20	12.24
13780	Haitong Int'l Sec- CSPC Pharma European Warrants Sep 2018 C	50,000,000	2018/05/18	2018/09/28	22.50
13808	Haitong Int'l Sec- CSPC Pharma European Warrants Oct 2018 B	50,000,000	2018/05/18	2018/10/22	17.00
13778	Haitong Int'l Sec- CSPC Pharma European Warrants Nov 2018 C	50,000,000	2018/05/18	2018/11/19	27.00
13776	Haitong Int'l Sec- China Taiping European Warrants Nov 18 A	40,000,000	2018/05/18	2018/11/19	13.60
13759	Haitong Int'l Sec- Geely Auto European Warrants Sep 2018 A	80,000,000	2018/05/18	2018/09/26	12.80
13826	Haitong Int'l Sec- Greentown China European Wts Dec 2018 C	60,000,000	2018/05/18	2018/12/18	15.60
13772	Haitong Int'l Sec- Baiyunshan Ph European Warrants Sep 18 A	40,000,000	2018/05/18	2018/09/18	32.00
13768	Haitong Int'l Sec- Link REIT European Warrants Dec 2018 A	38,000,000	2018/05/18	2018/12/18	11.40
13788	Haitong Int'l Sec- Sands China European Warrants Oct 2018 A	40,000,000	2018/05/18	2018/10/25	12.40
13786	Haitong Int'l Sec- Sino Biopharm European Wts Mar 2019 A	40,000,000	2018/05/18	2019/03/01	13.60
13802	Haitong Int'l Sec- Sh Pharma European Warrants Sep 2018 A	40,000,000	2018/05/18	2018/09/21	12.40
13799	Haitong Int'l Sec- Sunny Optical European Put Wts Nov 2018B	68,000,000	2018/05/18	2018/11/06	13.60
13758	Haitong Int'l Sec- Tsingtao Brew European Warrants Nov 18 A	30,000,000	2018/05/18	2018/11/19	17.10
13809	Haitong Int'l Sec- Xinyi Glass European Warrants Jan 2019 A	60,000,000	2018/05/18	2019/01/25	10.80
13866	Haitong Int'l Sec- Yue Yuen Ind European Warrants Mar 2019A	20,000,000	2018/05/18	2019/03/29	10.60
13845	Haitong Int'l Sec- YuHua Edu European Warrants Dec 2019 A	80,000,000	2018/05/18	2019/12/31	20.00
13868	J P Morgan SP BV- CNOOC European Warrants Oct 2018 A	100,000,000	2018/05/18	2018/10/31	33.90
13883	J P Morgan SP BV- Ch Overseas European Warrants Dec 2018 A	100,000,000	2018/05/18	2018/12/03	36.10
13881	J P Morgan SP BV- Sinopec Corp European Warrants Jan 2019 B	100,000,000	2018/05/18	2019/01/03	30.40
13869	J P Morgan SP BV- Kingdee Intl European Warrants Jan 2019 A	60,000,000	2018/05/18	2019/01/10	10.14
13867	J P Morgan SP BV- PetroChina European Put Warrants Oct 18 B	100,000,000	2018/05/18	2018/10/16	16.00
13885	J P Morgan SP BV- Zhongsheng Hold European Warrants Dec 18A	60,000,000	2018/05/18	2018/12/03	20.82
13862	Macquarie Bank Ltd.- Anhui Conch European Wts Dec 2018 A	25,000,000	2018/05/18	2018/12/04	10.00
13859	Macquarie Bank Ltd.- AIA European Warrants Dec 2018 B	33,000,000	2018/05/18	2018/12/04	10.03
13857	Macquarie Bank Ltd.- BAIC Motor European Warrants Nov 2018A	10,700,000	2018/05/18	2018/11/19	10.43
13854	Macquarie Bank Ltd.- BAIC Motor European Warrants Dec 2018A	65,000,000	2018/05/18	2018/12/24	10.34

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
13864	Macquarie Bank Ltd.- CRRC European Warrants Dec 2018 A	14,000,000	2018/05/18	2018/12/04 10.23
13865	Macquarie Bank Ltd.- CRRC European Warrants Jun 2019 A	12,000,000	2018/05/18	2019/06/28 10.80
13860	Macquarie Bank Ltd.- CSDC European Warrants Feb 2019 A	37,000,000	2018/05/18	2019/02/15 10.55
13858	Macquarie Bank Ltd.- Baiyunshan Ph European Warrants May19A	14,700,000	2018/05/18	2019/05/16 10.04
13905	SG Issuer- HKEX European Warrants Sep 2018 D	150,000,000	2018/05/18	2018/09/28 22.50
13906	SG Issuer- Tencent European Warrants Oct 2018 D	200,000,000	2018/05/18	2018/10/31 30.00
13910	SG Issuer- Tencent European Warrants Nov 2018 C	200,000,000	2018/05/18	2018/11/30 30.00
13846	UBS AG- CSPC Pharma European Warrants Dec 2018 B	40,000,000	2018/05/18	2018/12/07 14.76
13847	UBS AG- CSPC Pharma European Warrants Dec 2018 C	40,000,000	2018/05/18	2018/12/24 18.16
13852	UBS AG- Geely Auto European Warrants Nov 2018 A	50,000,000	2018/05/18	2018/11/13 10.55
13925	BOCI Asia Ltd.- HSBC European Warrants Sep 2018 D	100,000,000	2018/05/21	2018/09/28 17.30
13935	Credit Suisse AG- ICBC European Warrants Sep 2018 A	70,000,000	2018/05/21	2018/09/24 11.55
13992	Credit Suisse AG- Sino Biopharm European Wts Nov 2018 A	50,000,000	2018/05/21	2018/11/30 12.50
13933	Credit Suisse AG- Sunny Optical European Put Wts Nov 2018 A	100,000,000	2018/05/21	2018/11/09 21.00
14003	Goldman Sachs SP (Asia)- CC Bank European Wts Sep 2018 D	80,000,000	2018/05/21	2018/09/20 12.00
14000	Goldman Sachs SP (Asia)- CNOOC European Warrants Oct 2018 B	80,000,000	2018/05/21	2018/10/26 30.80
13994	Goldman Sachs SP (Asia)- PetroChina European Wts Aug 2018 B	80,000,000	2018/05/21	2018/08/29 12.00
14004	Goldman Sachs SP (Asia)- Sunny Optical Euro Wts Sep 2018 B	70,000,000	2018/05/21	2018/09/20 10.50
13958	Haitong Int'l Sec- CNBM European Warrants Nov 2018 A	10,000,000	2018/05/21	2018/11/22 10.70
13945	Haitong Int'l Sec- China Overseas European Warrants Nov 18A	40,000,000	2018/05/21	2018/11/30 12.00
13948	Haitong Int'l Sec- China Overseas European Put Wts Nov18B	60,000,000	2018/05/21	2018/11/30 11.40
13949	Haitong Int'l Sec- China Unicom European Warrants Aug 2018A	20,000,000	2018/05/21	2018/08/28 13.60
13952	Haitong Int'l Sec- China Unicom European Warrants Nov 2018A	20,000,000	2018/05/21	2018/11/22 10.80
13943	Haitong Int'l Sec- New World Dev European Warrants Dec 18 A	20,000,000	2018/05/21	2018/12/18 12.00
13953	Haitong Int'l Sec- Zall Group European Warrants Sep 2018 A	40,000,000	2018/05/21	2018/09/26 10.80
13956	Haitong Int'l Sec- Zall Group European Warrants Dec 2018 A	40,000,000	2018/05/21	2018/12/18 10.80
13993	J P Morgan SP BV- HSCEI ETF European Warrants Oct 2018 B	100,000,000	2018/05/21	2018/10/31 36.80
13932	Macquarie Bank Ltd.- China Overseas European Wts Dec 2018 B	38,000,000	2018/05/21	2018/12/04 10.30
13919	Macquarie Bank Ltd.- Chi Res Power European Wts Apr 2019 A	4,700,000	2018/05/21	2019/04/18 10.11
13913	Macquarie Bank Ltd.- Geely Auto European Wts Dec 2018 B	4,000,000	2018/05/21	2018/12/04 10.24
13927	Macquarie Bank Ltd.- Kingboard Chem European Wts Feb 2019 A	30,000,000	2018/05/21	2019/02/15 10.92
13911	Macquarie Bank Ltd.- Ping An European Warrants Sep 2018 C	36,500,000	2018/05/21	2018/09/04 10.04
13914	Macquarie Bank Ltd.- Ping An European Warrants Oct 2018 D	36,000,000	2018/05/21	2018/10/03 10.01
13928	Macquarie Bank Ltd.- ZA Online European Warrants Dec 2018 A	13,000,000	2018/05/21	2018/12/14 10.74
14019	SG Issuer- AAC Tech European Warrants Sep 2018 B	60,000,000	2018/05/21	2018/09/28 13.20
14058	SG Issuer- AAC Tech European Put Warrants Feb 2019 A	80,000,000	2018/05/21	2019/02/28 20.00
14006	SG Issuer- AIA European Put Warrants Nov 2018 A	60,000,000	2018/05/21	2018/11/30 24.90
14060	SG Issuer- Country Garden European Warrants Nov 2018 A	60,000,000	2018/05/21	2018/11/30 15.30
14024	SG Issuer- Ch Gas Hold Eu Wt Nov 2018 A	30,000,000	2018/05/21	2018/11/20 15.30
14025	SG Issuer- Ch Gas Hold Eu Wt Dec 2018 A	30,000,000	2018/05/21	2018/12/05 10.80
14007	SG Issuer- China Lit European Warrants Jun 2019 A	100,000,000	2018/05/21	2019/06/28 25.00
14016	SG Issuer- CSPC Pharma European Warrants Oct 2018 B	40,000,000	2018/05/21	2018/10/31 13.40
14017	SG Issuer- CSPC Pharma European Warrants Nov 2018 B	40,000,000	2018/05/21	2018/11/30 10.00
14030	SG Issuer- China Unicom European Warrants Nov 2018 B	13,000,000	2018/05/21	2018/11/30 10.01

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
14033	SG Issuer- China Unicom European Put Warrants Nov 2018 C	12,000,000	2018/05/21	2018/11/30 10.08
14038	SG Issuer- Geely Auto European Warrants Sep 2018 C	60,000,000	2018/05/21	2018/09/28 10.20
14039	SG Issuer- Geely Auto European Put Warrants Oct 2018 A	50,000,000	2018/05/21	2018/10/31 10.00
14056	SG Issuer- HSCEI European Put Warrants Sep 2018 B	70,000,000	2018/05/21	2018/09/27 12.60
14057	SG Issuer- HSI European Put Warrants Aug 2018 G	100,000,000	2018/05/21	2018/08/30 15.00
14043	SG Issuer- Sands China European Put Warrants Nov 2018 B	40,000,000	2018/05/21	2018/11/30 12.00
14048	SG Issuer- SHK Ppt European Warrants Dec 2018 A	13,000,000	2018/05/21	2018/12/31 10.01
14054	SG Issuer- Sunny Optical European Warrants Dec 2018 A	60,000,000	2018/05/21	2018/12/31 15.00
14049	SG Issuer- Sunny Optical European Put Warrants Nov 2018 B	60,000,000	2018/05/21	2018/11/30 12.90
14055	SG Issuer- Wharf Reic European Warrants Dec 2018 A	18,000,000	2018/05/21	2018/12/31 10.08
13959	UBS AG- AAC Tech European Put Warrants Mar 2019 A	100,000,000	2018/05/21	2019/03/18 20.60
13963	UBS AG- AIA European Warrants Nov 2018 A	100,000,000	2018/05/21	2018/11/20 29.50
13978	UBS AG- Sinopec Corp European Warrants Oct 2018 A	35,000,000	2018/05/21	2018/10/23 10.29
13989	UBS AG- Nine Dragons Paper European Warrants Nov 2018 B	70,000,000	2018/05/21	2018/11/26 17.50
13961	UBS AG- PetroChina European Put Warrants Nov 2018 B	40,000,000	2018/05/21	2018/11/20 18.00
13969	UBS AG- Sunny Optical European Warrants Sep 2018 C	100,000,000	2018/05/21	2018/09/20 16.30
13937	Bank Vontobel- China Mobile European Warrants Dec 2018 A	40,000,000	2018/05/21	2018/12/03 13.28
13939	Bank Vontobel- Sinopec Corp European Warrants Dec 2018 A	30,000,000	2018/05/21	2018/12/03 11.31
13938	Bank Vontobel- HKEX European Put Warrants Dec 2018 E	100,000,000	2018/05/21	2018/12/24 17.40
13936	Bank Vontobel- MTRC European Warrants Dec 2018 A	40,000,000	2018/05/21	2018/12/27 10.40
14061	BNP Paribas Issuance B.V.- CSPC Pharma Euro Wts Dec 2018 A	50,000,000	2018/05/23	2018/12/04 14.00
14065	BNP Paribas Issuance B.V.- CSPC Pharma Euro Wts Jan 2019 A	50,000,000	2018/05/23	2019/01/03 13.50
14144	Credit Suisse AG- China EB Int'l European Wts Dec 2018 A	40,000,000	2018/05/23	2018/12/31 10.00
14146	Credit Suisse AG- CGN Power European Warrants Sep 2018 A	70,000,000	2018/05/23	2018/09/28 10.50
14142	Credit Suisse AG- CM Bank European Warrants Sep 2018 B	70,000,000	2018/05/23	2018/09/20 10.50
14141	Credit Suisse AG- HSCEI ETF European Warrants Oct 2018 A	30,000,000	2018/05/23	2018/10/31 11.70
14143	Credit Suisse AG- KB Laminates European Warrants Dec 2018 A	60,000,000	2018/05/23	2018/12/31 15.00
14150	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Aug 2018 D	70,000,000	2018/05/23	2018/08/29 10.50
14151	Goldman Sachs SP (Asia)- AIA European Put Wts Oct 2018 C	60,000,000	2018/05/23	2018/10/24 12.18
14147	Goldman Sachs SP (Asia)- Sinopec Corp Euro Wts Oct 2018 A	60,000,000	2018/05/23	2018/10/23 15.00
14148	Goldman Sachs SP (Asia)- HSBC European Warrants Sep 2018 E	80,000,000	2018/05/23	2018/09/20 12.00
14172	Goldman Sachs SP (Asia)- HSI European Warrants Sep 2018 F	150,000,000	2018/05/23	2018/09/27 22.50
14178	Goldman Sachs SP (Asia)- HSI European Put Wts Aug 2018 K	150,000,000	2018/05/23	2018/08/30 22.50
14069	HK Bank- AIA European Warrants Nov 2018 A	50,000,000	2018/05/23	2018/11/30 15.00
14083	HK Bank- China Gas Hold European Warrants Nov 2018 A	50,000,000	2018/05/23	2018/11/30 12.50
14074	HK Bank- China Lit European Warrants Nov 2018 A	25,000,000	2018/05/23	2018/11/30 10.00
14081	HK Bank- CSOP A50 ETF European Warrants Jun 2019 A	200,000,000	2018/05/23	2019/06/27 30.00
14082	HK Bank- A50 China European Warrants Jul 2019 A	200,000,000	2018/05/23	2019/07/02 30.00
14067	HK Bank- HSI European Put Warrants Aug 2018 L	150,000,000	2018/05/23	2018/08/30 22.50
14129	Haitong Int'l Sec- CSPC Pharma European Warrants Sep 2018 D	40,000,000	2018/05/23	2018/09/28 15.60
14133	Haitong Int'l Sec- CSPC Pharma European Warrants Dec 2018 B	40,000,000	2018/05/23	2018/12/31 18.80
14134	Haitong Int'l Sec- CSPC Pharma European Warrants Dec 2018 C	40,000,000	2018/05/23	2018/12/18 15.20
14137	Haitong Int'l Sec- CSPC Pharma European Warrants Feb 2019 A	40,000,000	2018/05/23	2019/02/18 14.80
14128	Haitong Int'l Sec- CSPC Pharma European Put Warrants Dec18A	28,000,000	2018/05/23	2018/12/31 10.92

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
14094	Macquarie Bank Ltd.- Anta Sports European Wts Jun 2019 A	12,500,000	2018/05/23	2019/06/14	10.08
14091	Macquarie Bank Ltd.- CKH European Put Warrants Jan 2019 B	21,000,000	2018/05/23	2019/01/03	10.02
14093	Macquarie Bank Ltd.- CM Bank European Put Warrants Jan 19 A	25,000,000	2018/05/23	2019/01/03	10.23
14089	Macquarie Bank Ltd.- China Tel European Warrants Apr 2019 A	40,000,000	2018/05/23	2019/04/02	10.00
14085	Macquarie Bank Ltd.- MTRC European Warrants Apr 2019 A	40,000,000	2018/05/23	2019/04/02	10.08
14088	Macquarie Bank Ltd.- New World Dev European Wts Dec 2018 A	20,000,000	2018/05/23	2018/12/04	10.18
14152	SG Issuer- CSPC Pharma European Warrants Sep 2018 C	50,000,000	2018/05/23	2018/09/21	21.00
14156	SG Issuer- HSI European Put Warrants Nov 2018 D	100,000,000	2018/05/23	2018/11/29	15.00
14153	SG Issuer- Tencent European Warrants Aug 2018 G	200,000,000	2018/05/23	2018/08/31	30.00
14155	SG Issuer- ZA Online European Warrants Nov 2018 A	13,000,000	2018/05/23	2018/11/22	10.01
14096	UBS AG- CSPC Pharma European Warrants Sep 2018 C	60,000,000	2018/05/23	2018/09/28	30.66
14103	UBS AG- CSPC Pharma European Warrants Sep 2018 D	60,000,000	2018/05/23	2018/09/24	15.18
14097	UBS AG- CSPC Pharma European Warrants Oct 2018 D	60,000,000	2018/05/23	2018/10/05	22.74
14105	UBS AG- CSPC Pharma European Warrants Nov 2018 D	60,000,000	2018/05/23	2018/11/07	21.30
14104	UBS AG- Fosun Pharma European Warrants Nov 2018 A	15,000,000	2018/05/23	2018/11/26	11.39
14213	BNP Paribas Issuance B.V.- Anta Sports Euro Wt Dec 2018 A	40,000,000	2018/05/24	2018/12/04	16.80
14212	BNP Paribas Issuance B.V.- Genscript Bio Euro Wts Jan 2019A	40,000,000	2018/05/24	2019/01/03	28.80
14226	Credit Suisse AG- Bank of China European Warrants Oct 2018C	60,000,000	2018/05/24	2018/10/31	10.20
14223	Credit Suisse AG- CSPC Pharma European Warrants Sep 2018 D	40,000,000	2018/05/24	2018/09/24	10.80
14225	Credit Suisse AG- CSPC Pharma European Warrants Oct 2018 B	40,000,000	2018/05/24	2018/10/22	18.00
14229	Credit Suisse AG- A50 China European Warrants Jun 2019 A	150,000,000	2018/05/24	2019/06/21	22.50
14227	Credit Suisse AG- ZA Online European Warrants Nov 2018 A	20,000,000	2018/05/24	2018/11/23	14.00
14245	Goldman Sachs SP (Asia)- CKH Holdings European Wts Nov 18 A	60,000,000	2018/05/24	2018/11/29	16.14
14246	Goldman Sachs SP (Asia)- Minsheng Bank Euro Wts Oct 2018 A	40,000,000	2018/05/24	2018/10/24	11.68
14249	Goldman Sachs SP (Asia)- Lenovo European Wts Oct 2018 A	60,000,000	2018/05/24	2018/10/25	12.90
14253	Goldman Sachs SP (Asia)- Ping An European Wts Oct 2018 C	100,000,000	2018/05/24	2018/10/26	32.40
14247	Goldman Sachs SP (Asia)- Sunny Optical Euro Wts Sep 2018 C	70,000,000	2018/05/24	2018/09/20	10.50
14211	HK Bank- Agricultural Bank European Warrants Nov 2018 B	50,000,000	2018/05/24	2018/11/30	12.50
14205	HK Bank- Bank of China European Warrants Nov 2018 A	50,000,000	2018/05/24	2018/11/30	12.50
14210	HK Bank- CC Bank European Warrants Nov 2018 A	50,000,000	2018/05/24	2018/11/30	17.50
14204	HK Bank- China Lit European Warrants Jun 2019 A	150,000,000	2018/05/24	2019/06/28	37.50
14198	HK Bank- ICBC European Warrants Nov 2018 A	50,000,000	2018/05/24	2018/11/30	12.50
14285	Haitong Int'l Sec- HSI European Warrants Oct 2018 A	200,000,000	2018/05/24	2018/10/30	30.60
14284	Haitong Int'l Sec- HSI European Warrants Jan 2019 B	200,000,000	2018/05/24	2019/01/30	39.00
14287	Haitong Int'l Sec- HSI European Warrants Mar 2019 A	200,000,000	2018/05/24	2019/03/28	40.00
14281	Haitong Int'l Sec- HSI European Put Warrants Nov 2018 A	200,000,000	2018/05/24	2018/11/29	37.20
14279	Haitong Int'l Sec- HSI European Put Warrants Jan 2019 A	150,000,000	2018/05/24	2019/01/30	27.00
14266	Haitong Int'l Sec- IGG European Warrants Nov 2018 A	50,000,000	2018/05/24	2018/11/26	12.50
14267	Haitong Int'l Sec- IGG European Warrants Nov 2018 B	50,000,000	2018/05/24	2018/11/26	13.50
14268	Haitong Int'l Sec- IGG European Put Warrants Nov 2018 C	50,000,000	2018/05/24	2018/11/26	12.50
14275	Haitong Int'l Sec- Lee & Man Paper European Warrants Feb19A	50,000,000	2018/05/24	2019/02/28	13.50
14277	Haitong Int'l Sec- ND Paper European Warrants Feb 2019 A	50,000,000	2018/05/24	2019/02/18	15.50
14233	J P Morgan SP BV- CNBM European Warrants Oct 2018 A	40,000,000	2018/05/24	2018/10/16	29.56
14244	J P Morgan SP BV- CSPC Pharma European Warrants Oct 2018 A	60,000,000	2018/05/24	2018/10/31	22.92

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
14236	J P Morgan SP BV- ND Paper European Warrants Mar 2019 A	80,000,000	2018/05/24	2019/03/01 20.16
14183	Macquarie Bank Ltd.- Genscript Bio European Warrants Jul19A	15,000,000	2018/05/24	2019/07/12 12.32
14184	Macquarie Bank Ltd.- SHK Ppt European Warrants Feb 2019 A	19,000,000	2018/05/24	2019/02/04 10.22
14185	Macquarie Bank Ltd.- SHK Ppt European Put Wts Feb 2019 B	19,000,000	2018/05/24	2019/02/04 10.07
14258	SG Issuer- Conch Cement European Warrants Nov 2018 A	25,000,000	2018/05/24	2018/11/30 10.25
14263	SG Issuer- CKH Holdings European Warrants Nov 2018 A	30,000,000	2018/05/24	2018/11/30 13.95
14254	SG Issuer- CNBM European Warrants Sep 2018 B	10,000,000	2018/05/24	2018/09/28 10.80
14262	SG Issuer- CRCC European Warrants Nov 2018 A	16,000,000	2018/05/24	2018/11/30 10.08
14257	SG Issuer- CSPC Pharma European Warrants Sep 2018 D	50,000,000	2018/05/24	2018/09/21 10.25
14265	SG Issuer- Kingdee Intl European Warrants Sep 2018 A	10,000,000	2018/05/24	2018/09/28 10.50
14218	UBS AG- CITIC Bank European Warrants Nov 2018 A	70,000,000	2018/05/24	2018/11/23 17.50
14219	UBS AG- China South Air European Warrants Sep 2018 A	14,000,000	2018/05/24	2018/09/24 10.02
14215	UBS AG- Kingdee Intl European Warrants Mar 2019 A	100,000,000	2018/05/24	2019/03/22 18.80
14179	Bank Vontobel- HKEX European Warrants May 2019 A	100,000,000	2018/05/24	2019/05/31 25.30
14197	Bank Vontobel- SUNAC European Warrants Sep 2018 A	30,000,000	2018/05/24	2018/09/17 13.08
14294	BNP Paribas Issuance B.V.- Tencent European Wts Oct 2018 I	80,000,000	2018/05/25	2018/10/03 24.00
14289	BNP Paribas Issuance B.V.- Tencent European Wts Jan 2019 J	80,000,000	2018/05/25	2019/01/03 20.00
14290	BNP Paribas Issuance B.V.- Tencent European Wts Apr 2019 G	80,000,000	2018/05/25	2019/04/02 31.20
14295	BNP Paribas Issuance B.V.- Tencent Eu Put Wt Jan 2019 K	80,000,000	2018/05/25	2019/01/03 24.00
14372	Goldman Sachs SP (Asia)- China Unicom Euro Wts Aug 2018 B	60,000,000	2018/05/25	2018/08/27 47.22
14377	Goldman Sachs SP (Asia)- Ping An European Wts Sep 2018 K	100,000,000	2018/05/25	2018/09/20 17.60
14378	Goldman Sachs SP (Asia)- Sands China Ltd Euro Wts Oct 2018A	80,000,000	2018/05/25	2018/10/24 18.96
14368	Goldman Sachs SP (Asia)- Tencent European Wt Oct 2018 G	150,000,000	2018/05/25	2018/10/26 22.50
14367	Goldman Sachs SP (Asia)- Tencent European Wt Nov 2018 C	150,000,000	2018/05/25	2018/11/27 42.90
14313	HK Bank- Evergrande European Warrants Nov 2018 B	40,000,000	2018/05/25	2018/11/30 11.20
14322	HK Bank- HSI European Warrants Nov 2018 C	150,000,000	2018/05/25	2018/11/29 37.50
14324	HK Bank- HSI European Warrants Dec 2018 B	150,000,000	2018/05/25	2018/12/28 22.50
14320	HK Bank- HSI European Put Warrants Nov 2018 B	150,000,000	2018/05/25	2018/11/29 37.50
14315	HK Bank- SMIC European Warrants Jan 2019 A	100,000,000	2018/05/25	2019/01/11 15.00
14330	Haitong Int'l Sec- CSPC Pharma European Warrants Sep 2018 E	50,000,000	2018/05/25	2018/09/21 11.50
14329	Haitong Int'l Sec- CSPC Pharma European Warrants Nov 2018 D	50,000,000	2018/05/25	2018/11/26 20.50
14328	Haitong Int'l Sec- CSPC Pharma European Put Wts Dec 2018 D	50,000,000	2018/05/25	2018/12/31 22.50
14347	Haitong Int'l Sec- Li Ning European Warrants Mar 2019 C	50,000,000	2018/05/25	2019/03/01 10.50
14344	Haitong Int'l Sec- Meitu European Warrants Mar 2019 A	80,000,000	2018/05/25	2019/03/28 20.80
14342	Haitong Int'l Sec- NCI European Warrants Nov 2018 A	40,000,000	2018/05/25	2018/11/26 12.00
14343	Haitong Int'l Sec- PICC Group European Warrants Nov 2018 B	25,000,000	2018/05/25	2018/11/26 10.25
14331	Haitong Int'l Sec- Ping An European Warrants Oct 2018 C	80,000,000	2018/05/25	2018/10/26 39.20
14333	Haitong Int'l Sec- Ping An European Warrants Oct 2018 D	80,000,000	2018/05/25	2018/10/26 28.00
14336	Haitong Int'l Sec- Ping An European Warrants Oct 2018 E	80,000,000	2018/05/25	2018/10/29 16.80
14352	J P Morgan SP BV- Genscript Bio European Warrants Dec 2018A	40,000,000	2018/05/25	2018/12/03 21.60
14350	J P Morgan SP BV- Link REIT European Warrants Dec 2018 A	50,000,000	2018/05/25	2018/12/03 12.60
14326	Macquarie Bank Ltd.- China Huarong European Wts Mar 2019 A	20,000,000	2018/05/25	2019/03/18 10.00
14311	Macquarie Bank Ltd.- CRPH European Warrants Jun 2019 A	39,000,000	2018/05/25	2019/06/14 10.14
14327	Macquarie Bank Ltd.- CSPC Pharma European Wts Dec 2018 B	35,000,000	2018/05/25	2018/12/03 10.01

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
14354	SG Issuer- CITIC Sec European Warrants Dec 2018 B	70,000,000	2018/05/25	2018/12/31 10.50
14355	SG Issuer- HSI European Put Warrants Nov 2018 E	100,000,000	2018/05/25	2018/11/29 15.00
14360	UBS AG- 3SBIO European Warrants Oct 2018 A	30,000,000	2018/05/25	2018/10/24 10.98
14363	UBS AG- Agile Ppt European Warrants Dec 2018 A	40,000,000	2018/05/25	2018/12/17 10.64
14358	UBS AG- BAIC Motor European Warrants Sep 2019 A	40,000,000	2018/05/25	2019/09/30 10.00
14364	UBS AG- Country Garden European Warrants Jan 2019 A	60,000,000	2018/05/25	2019/01/21 10.68
14365	UBS AG- China Res Beer European Warrants Oct 2018 A	30,000,000	2018/05/25	2018/10/25 10.62
14361	UBS AG- Crphoenixhealth European Warrants Dec 2018 A	40,000,000	2018/05/25	2018/12/24 10.00
14357	UBS AG- China Travel HK European Warrants Dec 2018 A	15,000,000	2018/05/25	2018/12/24 10.82
14301	Bank Vontobel- CM Bank European Warrants Dec 2018 A	40,000,000	2018/05/25	2018/12/04 10.04
14310	Bank Vontobel- Power Assets European Warrants Nov 2018 A	30,000,000	2018/05/25	2018/11/30 11.49
14307	Bank Vontobel- Sands China European Warrants Dec 2018 A	40,000,000	2018/05/25	2018/12/04 10.40
14306	Bank Vontobel- SHK Ppt European Warrants Dec 2018 A	30,000,000	2018/05/25	2018/12/03 17.10
14300	Bank Vontobel- Wharf Holdings European Warrants Dec 2018 A	40,000,000	2018/05/25	2018/12/04 14.04
12334 #	Credit Suisse AG- CSPC Pharma European Warrants Sep 2018 B	40,000,000	2018/05/25	2018/09/28 15.80
14418	BOCI Asia Ltd.- CNOOC European Warrants Nov 2018 A	50,000,000	2018/05/28	2018/11/28 18.55
14419	BOCI Asia Ltd.- Sinopec Corp European Warrants Nov 2018 A	60,000,000	2018/05/28	2018/11/30 15.24
14421	BOCI Asia Ltd.- China Shenhua European Warrants Nov 2018 A	80,000,000	2018/05/28	2018/11/27 12.00
14414	BOCI Asia Ltd.- PetroChina European Warrants Nov 2018 B	60,000,000	2018/05/28	2018/11/29 15.06
14410	BOCI Asia Ltd.- PSBC European Warrants Oct 2018 A	50,000,000	2018/05/28	2018/10/24 16.05
14470	BOCI Asia Ltd.- Yanzhou Coal European Warrants Dec 2018 C	70,000,000	2018/05/28	2018/12/10 10.50
14448	BNP Paribas Issuance B.V.- CNOOC European Wts Jan 2019 B	40,000,000	2018/05/28	2019/01/03 22.00
14467	BNP Paribas Issuance B.V.- PetroChina Euro Wts Jan 2019 B	40,000,000	2018/05/28	2019/01/03 12.80
14462	BNP Paribas Issuance B.V.- Sino Biopharm Euro Wts Jan 2019A	40,000,000	2018/05/28	2019/01/03 11.60
14424	Credit Suisse AG- BAIC Motor European Warrants Jul 2019 A	80,000,000	2018/05/28	2019/07/31 20.00
14527	Goldman Sachs SP (Asia)- CC Bank European Wts Sep 2018 E	80,000,000	2018/05/28	2018/09/24 23.44
14525	Goldman Sachs SP (Asia)- Greatwall Motor Eur Wts Dec 2019 A	70,000,000	2018/05/28	2019/12/20 10.50
14533	Goldman Sachs SP (Asia)- Ping An European Wts Oct 2018 D	100,000,000	2018/05/28	2018/10/24 26.10
14534	Goldman Sachs SP (Asia)- Tencent European Put Wt Oct 2018 H	100,000,000	2018/05/28	2018/10/30 15.00
14479	J P Morgan SP BV- CITIC Bank European Warrants Oct 2018 A	100,000,000	2018/05/28	2018/10/31 36.70
14515	J P Morgan SP BV- Mapleleaf Edu European Warrants Dec 2018A	50,000,000	2018/05/28	2018/12/03 12.80
14500	J P Morgan SP BV- Chiores Pharma European Warrants Jan 19A	60,000,000	2018/05/28	2019/01/31 10.14
14489	J P Morgan SP BV- Chi Res Power European Wts May 2019 A	50,000,000	2018/05/28	2019/05/03 12.55
14486	J P Morgan SP BV- Trad Chi Med European Warrants Oct 2018 A	50,000,000	2018/05/28	2018/10/15 34.70
14503	J P Morgan SP BV- R&F Ppt European Warrants Nov 2018 A	60,000,000	2018/05/28	2018/11/09 11.64
14498	J P Morgan SP BV- Hua Hong Semi European Warrants Nov 2018A	50,000,000	2018/05/28	2018/11/06 15.90
14506	J P Morgan SP BV- Logan PPT European Warrants Mar 2019 A	50,000,000	2018/05/28	2019/03/01 12.55
14482	J P Morgan SP BV- Maanshan Iron European Warrants Dec 2018A	60,000,000	2018/05/28	2018/12/03 23.34
14487	J P Morgan SP BV- Minth Group European Warrants Dec 2018 A	50,000,000	2018/05/28	2018/12/03 19.15
14522	J P Morgan SP BV- PetroChina European Warrants Dec 2018 B	100,000,000	2018/05/28	2018/12/03 25.10
14514	J P Morgan SP BV- PetroChina European Put Warrants Dec 18 A	100,000,000	2018/05/28	2018/12/03 28.40
14504	J P Morgan SP BV- Sino-Ocean Gp European Warrants Dec 2018A	30,000,000	2018/05/28	2018/12/03 12.39
14484	J P Morgan SP BV- Sihuan Pharm European Warrants Dec 2018 A	50,000,000	2018/05/28	2018/12/03 17.75
14474	J P Morgan SP BV- Want Want Ch European Warrants Nov 2018 A	50,000,000	2018/05/28	2018/11/30 39.45

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
14509	J P Morgan SP BV- YOFC European Warrants Dec 2018 A	50,000,000	2018/05/28	2018/12/31	35.85
14492	J P Morgan SP BV- YuHua Edu European Warrants Dec 2019 A	50,000,000	2018/05/28	2019/12/02	12.65
14401	Macquarie Bank Ltd.- Brilliance Chi Euro Wts Dec 2018 A	40,000,000	2018/05/28	2018/12/31	10.32
14399	Macquarie Bank Ltd.- Brilliance Chi Euro Wts Mar 2019 A	40,000,000	2018/05/28	2019/03/15	10.20
14397	Macquarie Bank Ltd.- CLP European Warrants Dec 2018 A	32,000,000	2018/05/28	2018/12/14	10.50
14394	Macquarie Bank Ltd.- PSBC European Warrants Mar 2019 A	21,000,000	2018/05/28	2019/03/15	10.16
14444	SG Issuer- CNOOC European Warrants Oct 2018 B	20,000,000	2018/05/28	2018/10/31	11.00
14447	SG Issuer- CNOOC European Put Warrants Nov 2018 C	20,000,000	2018/05/28	2018/11/30	11.00
14458	SG Issuer- PetroChina European Warrants Sep 2018 C	50,000,000	2018/05/28	2018/09/28	10.50
14465	SG Issuer- Tencent European Warrants Sep 2018 J	200,000,000	2018/05/28	2018/09/28	30.00
14468	SG Issuer- Yanzhou Coal Eu Wt Sep 2018 C	80,000,000	2018/05/28	2018/09/28	12.00
14427	UBS AG- CNOOC European Warrants Oct 2018 B	40,000,000	2018/05/28	2018/10/26	17.08
14425	UBS AG- CNOOC European Warrants Nov 2018 A	40,000,000	2018/05/28	2018/11/27	12.28
14441	UBS AG- CNOOC European Put Warrants Oct 2019 A	100,000,000	2018/05/28	2019/10/14	25.00
14435	UBS AG- Sinopec Corp European Warrants Nov 2018 A	50,000,000	2018/05/28	2018/11/27	12.75
14440	UBS AG- Kunlun Energy European Warrants Nov 2018 A	25,000,000	2018/05/28	2018/11/27	10.70
14429	UBS AG- PetroChina European Warrants Nov 2018 C	70,000,000	2018/05/28	2018/11/27	17.50
14392	Bank Vontobel- CHALCO European Warrants Dec 2018 A	20,000,000	2018/05/28	2018/12/27	15.18
14387	Bank Vontobel- New World Dev European Warrants Dec 2018 A	15,000,000	2018/05/28	2018/12/31	10.05
14389	Bank Vontobel- PetroChina European Warrants Nov 2018 B	50,000,000	2018/05/28	2018/11/27	18.50
14423	Bank Vontobel- Swire Pacific A European Warrants Oct 2018 B	30,000,000	2018/05/28	2018/10/22	10.59
14391	Bank Vontobel- Zijin Mining European Warrants Dec 2018 A	30,000,000	2018/05/28	2018/12/03	11.73
14626	Credit Suisse AG- BJ Ent Water European Warrants Nov 2018 A	20,000,000	2018/05/29	2018/11/28	10.40
14601	Credit Suisse AG- Sinopec Corp European Warrants Nov 2018 A	50,000,000	2018/05/29	2018/11/28	13.50
14603	Credit Suisse AG- Genscript Bio European Warrants Nov 2018B	30,000,000	2018/05/29	2018/11/28	16.50
14629	Credit Suisse AG- Genscript Bio European Warrants Nov 2018C	30,000,000	2018/05/29	2018/11/28	21.60
14625	Credit Suisse AG- Maanshan Iron European Warrants Nov 2018A	30,000,000	2018/05/29	2018/11/28	10.20
14656	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Dec 2018 A	70,000,000	2018/05/29	2018/12/24	10.50
14650	Goldman Sachs SP (Asia)- PetroChina European Wts Sep 2018 B	80,000,000	2018/05/29	2018/09/26	12.00
14654	Goldman Sachs SP (Asia)- SHK Ppt European Wts Sep 2018 A	40,000,000	2018/05/29	2018/09/14	10.48
14657	Goldman Sachs SP (Asia)- Stanchart European Wts Sep 2018 A	50,000,000	2018/05/29	2018/09/24	10.00
14662	Goldman Sachs SP (Asia)- Yanzhou Coal Euro Wts Sep 2018 D	70,000,000	2018/05/29	2018/09/20	10.50
14593	HK Bank- AAC Tech European Warrants Dec 2018 A	100,000,000	2018/05/29	2018/12/03	25.00
14537	Haitong Int'l Sec- China Travel HK European Warrants Dec18A	32,000,000	2018/05/29	2018/12/18	10.88
14550	Haitong Int'l Sec- Genscript Bio European Warrants Dec 18 A	30,000,000	2018/05/29	2018/12/03	17.10
14539	Haitong Int'l Sec- Sihuan Pharm European Warrants Dec 2018A	30,000,000	2018/05/29	2018/12/18	15.30
14548	Haitong Int'l Sec- SinoTrans European Warrants Dec 2018 A	30,000,000	2018/05/29	2018/12/18	15.90
14536	Haitong Int'l Sec- Want Want China European Warrants Dec18B	18,000,000	2018/05/29	2018/12/18	11.70
14581	Macquarie Bank Ltd.- China Life European Wts Jan 2019 B	10,500,000	2018/05/29	2019/01/03	10.05
14578	Macquarie Bank Ltd.- China Life European Wts Feb 2019 A	40,000,000	2018/05/29	2019/02/04	10.00
14595	Macquarie Bank Ltd.- Sinopec Corp Euro Put Wts Dec 2018 B	25,000,000	2018/05/29	2018/12/04	10.15
14575	Macquarie Bank Ltd.- COSCO Ship Hold European Wts Apr 19 A	13,000,000	2018/05/29	2019/04/02	10.11
14588	Macquarie Bank Ltd.- PetroChina European Wts Jan 2019 B	34,000,000	2018/05/29	2019/01/03	10.13
14596	Macquarie Bank Ltd.- Tencent European Warrants Dec 2018 G	40,000,000	2018/05/29	2018/12/24	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日(百萬港元)	集資金額
14597	Macquarie Bank Ltd.- Tencent European Warrants Dec 2018 H	30,000,000	2018/05/29	2018/12/24	17.31
14554	SG Issuer- China Coal European Warrants Nov 2018 A	30,000,000	2018/05/29	2018/11/30	10.20
14607	SG Issuer- CITIC Bank European Warrants Nov 2018 A	26,000,000	2018/05/29	2018/11/30	10.01
14608	SG Issuer- COSCO Ship Hold European Warrants Dec 2018 A	20,000,000	2018/05/29	2018/12/31	14.20
14609	SG Issuer- ICBC European Warrants Oct 2018 A	60,000,000	2018/05/29	2018/10/31	19.20
14615	SG Issuer- Kingdee Intl European Warrants Nov 2018 A	10,000,000	2018/05/29	2018/11/30	10.00
14616	SG Issuer- Ping An European Warrants Sep 2018 G	80,000,000	2018/05/29	2018/09/28	16.40
14620	SG Issuer- Ping An European Warrants Oct 2018 B	80,000,000	2018/05/29	2018/10/31	32.40
14621	SG Issuer- Sands China European Warrants Nov 2018 C	40,000,000	2018/05/29	2018/11/30	10.00
14565	SG Issuer- Tencent European Warrants Aug 2018 H	20,000,000	2018/05/29	2018/08/31	37.80
14567	SG Issuer- Tencent European Warrants Aug 2018 I	20,000,000	2018/05/29	2018/08/31	16.80
14572	SG Issuer- Tencent European Warrants Dec 2018 C	200,000,000	2018/05/29	2018/12/31	30.00
14574	SG Issuer- Tencent European Warrants Sep 2019 A	200,000,000	2018/05/29	2019/09/30	77.00
14571	SG Issuer- Tencent European Put Warrants Sep 2018 K	150,000,000	2018/05/29	2018/09/28	30.75
14643	UBS AG- MTR Corporation European Warrants Jan 2019 A	70,000,000	2018/05/29	2019/01/28	23.52
14637	UBS AG- Sino Biopharm European Warrants Nov 2018 A	40,000,000	2018/05/29	2018/11/23	12.68
14644	UBS AG- Wynn Macau European Warrants Nov 2018 A	70,000,000	2018/05/29	2018/11/28	22.47
14746	Credit Suisse AG- Mapleleaf Edu European Warrants Nov 2018A	50,000,000	2018/05/30	2018/11/29	16.50
14753	Credit Suisse AG- Huaneng Power European Warrants Nov 2018A	30,000,000	2018/05/30	2018/11/29	13.20
14823	Credit Suisse AG- Kingdee Int'l European Warrants Feb 2019B	60,000,000	2018/05/30	2019/02/28	15.00
14742	Credit Suisse AG- Tencent Euro Put Wts Sep 2018 G	100,000,000	2018/05/30	2018/09/28	21.00
14745	Credit Suisse AG- Want Want European Warrants Nov 2018 A	20,000,000	2018/05/30	2018/11/29	15.60
14841	Goldman Sachs SP (Asia)- China Shenhua Euro Wts Sep 2018 B	70,000,000	2018/05/30	2018/09/18	10.50
14855	Goldman Sachs SP (Asia)- Galaxy Ent European Wts Sep 2018 C	80,000,000	2018/05/30	2018/09/24	25.36
14824	Goldman Sachs SP (Asia)- KECL European Warrants Sep 2018 B	50,000,000	2018/05/30	2018/09/20	14.30
14849	Goldman Sachs SP (Asia)- Kingsoft European Wts Sep 2018 B	60,000,000	2018/05/30	2018/09/21	10.08
14850	Goldman Sachs SP (Asia)- ZA Online European Warrants Nov 18A	30,000,000	2018/05/30	2018/11/15	15.00
14720	Haitong Int'l Sec- Mapleleaf Edu European Warrants Nov 18 A	50,000,000	2018/05/30	2018/11/30	15.50
14755	Haitong Int'l Sec- Goodbaby Intl European Warrants Dec 18 A	12,000,000	2018/05/30	2018/12/31	11.64
14757	Haitong Int'l Sec- H&H Intl Hldg European Warrants Mar 20 A	80,000,000	2018/05/30	2020/03/30	20.00
14719	Haitong Int'l Sec- Maanshan Iron European Wts Nov 2018 A	30,000,000	2018/05/30	2018/11/30	12.00
14721	Haitong Int'l Sec- Sands China European Warrants Nov 2018 A	40,000,000	2018/05/30	2018/11/30	10.80
14727	Haitong Int'l Sec- YuHua Edu European Warrants Dec 2019 B	80,000,000	2018/05/30	2019/12/31	20.00
14740	J P Morgan SP BV- Bank of China European Warrants Nov 2018A	100,000,000	2018/05/30	2018/11/30	15.00
14737	J P Morgan SP BV- Fosun Pharma European Warrants Dec 2018 A	25,000,000	2018/05/30	2018/12/03	12.00
14730	J P Morgan SP BV- HSI European Put Warrants Dec 2018 D	300,000,000	2018/05/30	2018/12/28	75.30
14731	J P Morgan SP BV- HSI European Put Warrants Dec 2018 E	300,000,000	2018/05/30	2018/12/28	75.30
14739	J P Morgan SP BV- ICBC European Warrants Dec 2018 A	100,000,000	2018/05/30	2018/12/03	25.10
14738	J P Morgan SP BV- New World Dev European Wts Dec 2018 A	30,000,000	2018/05/30	2018/12/04	13.62
14736	J P Morgan SP BV- Sino Biopharm European Warrants Dec 2018A	40,000,000	2018/05/30	2018/12/31	10.08
14741	J P Morgan SP BV- WH Group European Warrants Oct 2018 A	60,000,000	2018/05/30	2018/10/12	26.70
14701	Macquarie Bank Ltd.- CICC European Warrants Jan 2019 A	40,000,000	2018/05/30	2019/01/15	10.28
14710	Macquarie Bank Ltd.- CRCC European Put Warrants Jan 2019 B	12,000,000	2018/05/30	2019/01/03	10.78
14664	Macquarie Bank Ltd.- Haitong Sec European Wts May 2019 A	8,000,000	2018/05/30	2019/05/02	10.38

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
14709	Macquarie Bank Ltd.- Sands China European Wts Jan 2019 B	5,000,000	2018/05/30	2019/01/03	10.85
14666	Macquarie Bank Ltd.- Tencent European Warrants Jan 2019 C	10,000,000	2018/05/30	2019/01/02	10.00
14672	Macquarie Bank Ltd.- Tencent European Warrants Jan 2019 D	7,000,000	2018/05/30	2019/01/02	11.68
14696	Macquarie Bank Ltd.- Tencent European Warrants Jan 2019 E	7,500,000	2018/05/30	2019/01/02	20.46
14669	Macquarie Bank Ltd.- ZA Online European Warrants Mar 2019 A	10,000,000	2018/05/30	2019/03/15	10.91
14767	SG Issuer- CAM CSI300 European Warrants Aug 2018 A	50,000,000	2018/05/30	2018/08/31	10.75
14774	SG Issuer- CAM CSI300 European Warrants Dec 2018 A	40,000,000	2018/05/30	2018/12/31	10.00
14789	SG Issuer- CC Bank European Put Warrants Nov 2018 B	40,000,000	2018/05/30	2018/11/30	12.20
14780	SG Issuer- China Life European Warrants Jul 2019 A	120,000,000	2018/05/30	2019/07/31	18.00
14781	SG Issuer- Ch Molybdenum European Warrants Nov 2018 B	15,000,000	2018/05/30	2018/11/08	10.50
14788	SG Issuer- CSOP A50 ETF European Warrants Nov 2018 A	25,000,000	2018/05/30	2018/11/30	10.75
14777	SG Issuer- CSOP A50 ETF European Warrants Mar 2019 A	20,000,000	2018/05/30	2019/03/29	21.80
14791	SG Issuer- GF Sec European Warrants Sep 2019 A	70,000,000	2018/05/30	2019/09/30	17.50
14760	SG Issuer- HKEX European Warrants Dec 2018 D	200,000,000	2018/05/30	2018/12/31	30.00
14761	SG Issuer- HKEX European Warrants Mar 2019 A	200,000,000	2018/05/30	2019/03/29	50.00
14792	SG Issuer- Haitong Sec European Warrants Dec 2018 A	11,000,000	2018/05/30	2018/12/31	10.34
14793	SG Issuer- Huaneng Power European Warrants Dec 2018 A	20,000,000	2018/05/30	2018/12/31	11.20
14794	SG Issuer- ICBC European Put Wt Nov 2018 A	40,000,000	2018/05/30	2018/11/30	11.80
14785	SG Issuer- NCI European Warrants Dec 2018 A	30,000,000	2018/05/30	2018/12/31	12.45
14787	SG Issuer- Ping An European Warrants Feb 2020 A	500,000,000	2018/05/30	2020/02/28	75.00
14763	SG Issuer- Tencent European Put Warrants Oct 2018 E	150,000,000	2018/05/30	2018/10/31	22.50
14821	UBS AG- Ch LongYuan European Warrants May 2019 A	65,000,000	2018/05/30	2019/05/24	10.14
14815	UBS AG- CNOOC European Warrants Dec 2019 B	70,000,000	2018/05/30	2019/12/20	10.50
14796	UBS AG- HKEX European Warrants Oct 2018 E	300,000,000	2018/05/30	2018/10/24	46.20
14798	UBS AG- HKEX European Warrants Oct 2018 F	300,000,000	2018/05/30	2018/10/30	61.50
14795	UBS AG- HKEX European Warrants Dec 2018 A	300,000,000	2018/05/30	2018/12/24	45.00
14808	UBS AG- Kunlun Energy European Warrants Sep 2018 B	45,000,000	2018/05/30	2018/09/21	10.53
14801	UBS AG- Tencent European Warrants Aug 2018 E	300,000,000	2018/05/30	2018/08/29	46.50
14802	UBS AG- Tencent European Warrants Sep 2018 H	300,000,000	2018/05/30	2018/09/24	45.00
14807	UBS AG- Tencent European Put Warrants Sep 2018 I	200,000,000	2018/05/30	2018/09/28	85.80
14860	BNP Paribas Issuance B.V.- CC Bank Euro Wts Jan 2019 D	40,000,000	2018/05/31	2019/01/03	11.20
14864	BNP Paribas Issuance B.V.- CC Bank Euro Wts Apr 2019 A	40,000,000	2018/05/31	2019/04/02	10.00
14857	BNP Paribas Issuance B.V.- HSBC European Wts Apr 2019 A	40,000,000	2018/05/31	2019/04/02	15.60
14858	BNP Paribas Issuance B.V.- HSBC European Wts Jul 2019 B	40,000,000	2018/05/31	2019/07/03	12.80
14866	BNP Paribas Issuance B.V.- ICBC European Wts Jan 2019 D	40,000,000	2018/05/31	2019/01/03	13.60
14865	BNP Paribas Issuance B.V.- ICBC European Wts Apr 2019 A	40,000,000	2018/05/31	2019/04/02	12.80
15022	Credit Suisse AG- Bank of China European Warrants Oct 2018D	60,000,000	2018/05/31	2018/10/12	10.20
15139	Credit Suisse AG- CKI Holdings European Warrants Nov 2018 A	40,000,000	2018/05/31	2018/11/30	13.20
15069	Credit Suisse AG- CNOOC European Warrants Sep 2019 B	100,000,000	2018/05/31	2019/09/24	18.00
15066	Credit Suisse AG- China Shenhua European Wts Nov 2018 C	70,000,000	2018/05/31	2018/11/23	10.50
14986	Credit Suisse AG- HKEX European Warrants Oct 2018 E	200,000,000	2018/05/31	2018/10/24	30.00
14987	Credit Suisse AG- HSBC European Wts Sep 2018 B	100,000,000	2018/05/31	2018/09/24	23.00
15072	Credit Suisse AG- Haitong Sec European Warrants Nov 2018 A	25,000,000	2018/05/31	2018/11/30	17.00
14994	Credit Suisse AG- ICBC European Warrants Sep 2018 B	70,000,000	2018/05/31	2018/09/20	11.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
15039	Credit Suisse AG- NCI European Warrants Nov 2018 A	40,000,000	2018/05/31	2018/11/30	15.20
15058	Credit Suisse AG- Sands China European Warrants Sep 2018 A	60,000,000	2018/05/31	2018/09/24	10.20
15173	Goldman Sachs SP (Asia)- BOCL European Warrants Oct 2018 E	80,000,000	2018/05/31	2018/10/26	12.00
15191	Goldman Sachs SP (Asia)- CNOOC European Warrants Oct 2018 C	80,000,000	2018/05/31	2018/10/24	48.40
15187	Goldman Sachs SP (Asia)- A50 China European Wts Aug 2018 B	80,000,000	2018/05/31	2018/08/31	16.48
15196	Goldman Sachs SP (Asia)- HSI European Warrants Oct 2018 C	150,000,000	2018/05/31	2018/10/30	22.50
15188	Goldman Sachs SP (Asia)- PetroChina European Wts Sep 2018 C	80,000,000	2018/05/31	2018/09/26	24.56
14966	HK Bank- China Overseas European Warrants Nov 2018 A	80,000,000	2018/05/31	2018/11/27	12.80
14983	HK Bank- HSI European Put Warrants Sep 2018 H	150,000,000	2018/05/31	2018/09/27	22.50
14984	HK Bank- HSI European Put Warrants Nov 2018 D	150,000,000	2018/05/31	2018/11/29	22.50
15157	J P Morgan SP BV- COSCO Sh Hold European Warrants Dec 2018A	50,000,000	2018/05/31	2018/12/03	19.70
15155	J P Morgan SP BV- KECL European Warrants Dec 2018 A	40,000,000	2018/05/31	2018/12/03	13.64
15156	J P Morgan SP BV- Kingsoft European Warrants Dec 2018 A	60,000,000	2018/05/31	2018/12/31	15.06
15146	J P Morgan SP BV- NagaCorp European Warrants May 2019 A	60,000,000	2018/05/31	2019/05/03	15.12
15144	J P Morgan SP BV- SUNAC European Warrants Dec 2018 B	60,000,000	2018/05/31	2018/12/03	22.80
14938	Macquarie Bank Ltd.- Beijing Air European Wts Apr 2019 A	40,000,000	2018/05/31	2019/04/18	10.08
14905	Macquarie Bank Ltd.- China Mobile European Wts Dec 2018 A	4,400,000	2018/05/31	2018/12/04	10.00
14894	Macquarie Bank Ltd.- HKEX European Warrants Dec 2018 C	10,000,000	2018/05/31	2018/12/04	10.41
14898	Macquarie Bank Ltd.- HKEX European Warrants Dec 2018 D	60,000,000	2018/05/31	2018/12/04	15.00
14935	Macquarie Bank Ltd.- HKEX European Put Warrants Dec 2018 E	12,000,000	2018/05/31	2018/12/04	10.22
14934	Macquarie Bank Ltd.- HSBC European Warrants Dec 2018 C	4,100,000	2018/05/31	2018/12/04	10.21
14878	Macquarie Bank Ltd.- Kingsoft European Warrants Dec 2018 B	40,000,000	2018/05/31	2018/12/04	10.00
14943	Macquarie Bank Ltd.- Ping An European Warrants Dec 2018 A	17,000,000	2018/05/31	2018/12/04	10.22
14950	Macquarie Bank Ltd.- Ping An European Warrants Dec 2018 B	30,000,000	2018/05/31	2018/12/04	10.14
14958	Macquarie Bank Ltd.- Ping An European Warrants Dec 2018 C	37,000,000	2018/05/31	2018/12/04	10.06
14900	Macquarie Bank Ltd.- Sinopharm European Warrants May 2019 A	13,000,000	2018/05/31	2019/05/16	10.02
14869	Macquarie Bank Ltd.- Tencent European Warrants Jan 2019 F	8,500,000	2018/05/31	2019/01/02	34.35
14870	Macquarie Bank Ltd.- Tencent European Warrants Jan 2019 G	9,000,000	2018/05/31	2019/01/02	56.92
15262	SG Issuer- AIA European Warrants Aug 2018 B	60,000,000	2018/05/31	2018/08/31	12.30
15263	SG Issuer- Galaxy Ent European Warrants Nov 2018 A	40,000,000	2018/05/31	2018/11/30	21.60
15265	SG Issuer- KECL European Warrants Oct 2018 A	20,000,000	2018/05/31	2018/10/31	10.20
15232	SG Issuer- Maanshan Iron European Warrants Sep 2018 A	33,000,000	2018/05/31	2018/09/28	10.07
15246	SG Issuer- ND Paper European Warrants Nov 2018 A	70,000,000	2018/05/31	2018/11/19	12.60
15269	SG Issuer- ND Paper European Warrants Nov 2018 B	70,000,000	2018/05/31	2018/11/01	15.40
15275	SG Issuer- Ping An European Warrants Nov 2018 A	80,000,000	2018/05/31	2018/11/30	35.60
15289	SG Issuer- Want Want China European Warrants Nov 2018 A	14,000,000	2018/05/31	2018/11/30	10.50
15529	BOCI Asia Ltd.- CC Bank European Warrants Sep 2018 E	70,000,000	2018/06/01	2018/09/20	18.27
15498	BOCI Asia Ltd.- CSPC Pharma European Warrants Nov 2018 A	50,000,000	2018/06/01	2018/11/12	14.80
15625	Credit Suisse AG- Brilliance Chi European Wts Dec 2018 A	40,000,000	2018/06/01	2018/12/03	10.00
15589	Credit Suisse AG- Ch Molybdenum European Wts Nov 2018 B	30,000,000	2018/06/01	2018/11/08	18.60
15599	Credit Suisse AG- Lenovo European Warrants Oct 2018 A	50,000,000	2018/06/01	2018/10/26	10.00
15597	Credit Suisse AG- SUNAC European Warrants Dec 2018 A	40,000,000	2018/06/01	2018/12/03	24.00
15795	Goldman Sachs SP (Asia)- CNOOC European Warrants Sep 2018 A	80,000,000	2018/06/01	2018/09/03	40.08
15754	Goldman Sachs SP (Asia)- Sinopec Corp Euro Wts Sep 2018 A	60,000,000	2018/06/01	2018/09/28	10.02

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
15757	Goldman Sachs SP (Asia)- CPIC European Warrants Oct 2018 A	70,000,000	2018/06/01	2018/10/03 10.50
15756	Goldman Sachs SP (Asia)- Lenovo European Wts Oct 2018 B	60,000,000	2018/06/01	2018/10/26 10.08
15773	Goldman Sachs SP (Asia)- Ping An European Wts Oct 2018 E	100,000,000	2018/06/01	2018/10/26 15.00
15366	HK Bank- Meitu European Warrants Feb 2019 A	60,000,000	2018/06/01	2019/02/28 15.00
15356	HK Bank- ZA Online European Warrants Nov 2018 A	35,000,000	2018/06/01	2018/11/30 10.50
15295	Haitong Int'l Sec- Ali Pictures European Warrants Dec 2019A	40,000,000	2018/06/01	2019/12/18 19.60
15346	Haitong Int'l Sec- CAM CSI300 European Warrants Oct 2018 A	60,000,000	2018/06/01	2018/10/24 11.40
15348	Haitong Int'l Sec- CAM CSI300 European Warrants Jun 2019 A	40,000,000	2018/06/01	2019/06/28 11.60
15303	Haitong Int'l Sec- CSOP A50 ETF European Warrants Oct 2018B	30,000,000	2018/06/01	2018/10/25 16.20
15331	Haitong Int'l Sec- A50 China European Warrants Dec 2018 A	30,000,000	2018/06/01	2018/12/03 18.00
15565	J P Morgan SP BV- AIA European Warrants Sep 2018 B	100,000,000	2018/06/01	2018/09/28 25.30
15563	J P Morgan SP BV- China Life European Warrants Oct 2018 B	100,000,000	2018/06/01	2018/10/02 15.10
15566	J P Morgan SP BV- CSPC Pharma European Warrants Dec 2018 A	60,000,000	2018/06/01	2018/12/03 16.68
15583	J P Morgan SP BV- Meitu European Warrants Nov 2018 A	100,000,000	2018/06/01	2018/11/30 16.60
15533	J P Morgan SP BV- Ping An European Warrants Dec 2018 B	150,000,000	2018/06/01	2018/12/03 37.50
15415	Macquarie Bank Ltd.- BYD Company European Put Wts Jan 19 A	16,000,000	2018/06/01	2019/01/03 10.00
15430	Macquarie Bank Ltd.- Lenovo European Warrants Feb 2019 A	40,000,000	2018/06/01	2019/02/04 10.56
15402	Macquarie Bank Ltd.- Tencent European Put Wts Dec 2018 I	22,000,000	2018/06/01	2018/12/24 10.01
15410	Macquarie Bank Ltd.- Tencent European Put Wts Jan 2019 H	6,000,000	2018/06/01	2019/01/02 16.43
15655	SG Issuer- CC Bank European Warrants Sep 2018 A	60,000,000	2018/06/01	2018/09/28 18.30
15649	SG Issuer- CITIC Bank European Warrants Oct 2018 A	23,000,000	2018/06/01	2018/10/31 10.12
15666	SG Issuer- China Life European Warrants Jun 2019 A	120,000,000	2018/06/01	2019/06/28 22.20
15696	SG Issuer- CNOOC European Warrants Sep 2019 A	200,000,000	2018/06/01	2019/09/30 34.00
15704	SG Issuer- ICBC European Warrants Sep 2018 C	60,000,000	2018/06/01	2018/09/28 16.20
15746	SG Issuer- Maanshan Iron European Warrants Nov 2018 A	35,000,000	2018/06/01	2018/11/30 10.15
15750	SG Issuer- Shimao Ppt Eu Wt Nov 2018 A	40,000,000	2018/06/01	2018/11/30 10.00
15387	UBS AG- Brilliance Chi European Warrants Oct 2018 B	70,000,000	2018/06/01	2018/10/30 12.18
15370	UBS AG- Ch LongYuan European Warrants Jun 2019 A	50,000,000	2018/06/01	2019/06/24 12.50
15384	UBS AG- China Res Beer European Warrants Nov 2018 A	25,000,000	2018/06/01	2018/11/09 11.40
15397	UBS AG- Chinares Cement European Warrants Sep 2019 A	70,000,000	2018/06/01	2019/09/23 17.50
15389	UBS AG- CSPC Pharma European Warrants Dec 2018 D	60,000,000	2018/06/01	2018/12/13 16.20
15388	UBS AG- PetroChina European Warrants Nov 2018 D	70,000,000	2018/06/01	2018/11/22 22.54
15375	UBS AG- Tencent European Warrants Sep 2018 J	300,000,000	2018/06/01	2018/09/24 97.80
15383	UBS AG- Tencent European Put Warrants Oct 2018 E	200,000,000	2018/06/01	2018/10/26 51.80
15455	Bank Vontobel- CC Bank European Warrants Oct 2018 B	50,000,000	2018/06/01	2018/10/24 16.00
15447	Bank Vontobel- CNOOC European Warrants Oct 2018 C	50,000,000	2018/06/01	2018/10/29 25.05
15491	Bank Vontobel- HKEX European Warrants Sep 2018 C	100,000,000	2018/06/01	2018/09/28 16.70
15453	Bank Vontobel- New World Dev European Warrants Dec 2019 A	80,000,000	2018/06/01	2019/12/10 15.68
15474	Bank Vontobel- Tencent European Warrants Nov 2018 B	100,000,000	2018/06/01	2018/11/27 26.20
15957	Credit Suisse AG- Sinopec Corp European Warrants Oct 2018 B	50,000,000	2018/06/04	2018/10/26 16.00
15949	Credit Suisse AG- Chinares Cement European Warrants Jul 19A	60,000,000	2018/06/04	2019/07/31 15.00
15950	Credit Suisse AG- CSPC Pharma European Warrants Nov 2018 A	40,000,000	2018/06/04	2018/11/26 14.40
15958	Credit Suisse AG- Sunny Optical European Warrants Sep 2018D	100,000,000	2018/06/04	2018/09/20 17.00
16105	Goldman Sachs SP (Asia)- Ch Comm Cons Euro Wts Sep 2018 A	40,000,000	2018/06/04	2018/09/20 13.56

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
16131	Goldman Sachs SP (Asia)- CNOOC European Warrants Sep 2019 A	100,000,000	2018/06/04	2019/09/25 15.00
16091	Goldman Sachs SP (Asia)- China Overseas European Wts Sep18B	80,000,000	2018/06/04	2018/09/07 12.00
16106	Goldman Sachs SP (Asia)- Ping An European Wts Jun 2020 A	200,000,000	2018/06/04	2020/06/22 30.00
16164	Goldman Sachs SP (Asia)- Tencent European Put Wt Sep 2018 M	100,000,000	2018/06/04	2018/09/28 18.00
15852	J P Morgan SP BV- Brilliance Chi European Wts Oct 2018 A	70,000,000	2018/06/04	2018/10/30 11.62
15817	J P Morgan SP BV- Chinares Cement Euro Warrants Dec 2018 A	30,000,000	2018/06/04	2018/12/31 29.88
15808	J P Morgan SP BV- Li & Fung European Warrants Dec 2018 A	60,000,000	2018/06/04	2018/12/31 15.06
15863	J P Morgan SP BV- Lenovo European Warrants Nov 2018 A	100,000,000	2018/06/04	2018/11/01 15.90
15796	J P Morgan SP BV- PetroChina European Warrants Nov 2018 A	100,000,000	2018/06/04	2018/11/08 25.60
15833	J P Morgan SP BV- Shenzhen Int'l European Warrants Apr 19 A	60,000,000	2018/06/04	2019/04/30 15.06
15802	J P Morgan SP BV- WuXi Bio European Warrants Dec 2018 A	40,000,000	2018/06/04	2018/12/31 10.12
15977	SG Issuer- Brilliance Chi European Warrants Oct 2018 A	50,000,000	2018/06/04	2018/10/24 10.00
15979	SG Issuer- Sinopec Corp European Warrants Sep 2018 A	35,000,000	2018/06/04	2018/09/27 10.68
15982	SG Issuer- CSPC Pharma European Warrants Nov 2018 C	50,000,000	2018/06/04	2018/11/26 17.75
16082	SG Issuer- A50 China European Warrants Dec 2018 A	25,000,000	2018/06/04	2018/12/03 11.25
16023	SG Issuer- Lenovo European Warrants Dec 2018 A	35,000,000	2018/06/04	2018/12/31 10.15
16037	SG Issuer- Tencent European Warrants Feb 2019 A	200,000,000	2018/06/04	2019/02/28 76.00
16045	SG Issuer- Tencent European Warrants May 2019 A	200,000,000	2018/06/04	2019/05/31 76.00
15897	UBS AG- China Gas Hold European Warrants Dec 2018 A	35,000,000	2018/06/04	2018/12/04 10.26
15940	UBS AG- CLP Holdings European Warrants Dec 2018 A	50,000,000	2018/06/04	2018/12/14 10.75
15939	UBS AG- Mapleleaf Edu European Warrants Jan 2019 A	30,000,000	2018/06/04	2019/01/28 10.11
15943	UBS AG- CSOP A50 ETF European Warrants Sep 2018 D	40,000,000	2018/06/04	2018/09/11 10.04
15894	UBS AG- Baiyunshan Ph European Warrants Dec 2018 A	17,000,000	2018/06/04	2018/12/04 10.12
15869	UBS AG- ZA Online European Warrants Dec 2018 A	12,000,000	2018/06/04	2018/12/04 10.25
16289	BOCI Asia Ltd.- Kingdee Int'l European Warrants Mar 2019 A	70,000,000	2018/06/05	2019/03/22 17.50
16422	BNP Paribas Issuance B.V.- AAC Tech Euro Wts Jan 2019 B	80,000,000	2018/06/05	2019/01/03 12.00
16346	BNP Paribas Issuance B.V.- CNOOC European Wts Oct 2019 A	100,000,000	2018/06/05	2019/10/03 15.00
16366	BNP Paribas Issuance B.V.- PetroChina Euro Wts Mar 2019 A	40,000,000	2018/06/05	2019/03/04 15.60
16333	BNP Paribas Issuance B.V.- Sunny Optical Eu Wt Jan 2019 B	80,000,000	2018/06/05	2019/01/03 20.00
16941	Credit Suisse AG- Ch Comm Cons European Warrants Sep 2018 A	25,000,000	2018/06/05	2018/09/20 10.50
16947	Credit Suisse AG- Conch Venture European Warrants Dec 2018A	30,000,000	2018/06/05	2018/12/04 15.00
16922	Credit Suisse AG- HSBC European Wts Nov 2018 A	100,000,000	2018/06/05	2018/11/02 20.00
16911	Credit Suisse AG- Ping An European Warrants Sep 2018 I	150,000,000	2018/06/05	2018/09/20 33.00
16926	Credit Suisse AG- Shenzhen Int'l European Wts Dec 2018 A	40,000,000	2018/06/05	2018/12/31 10.00
16825	Goldman Sachs SP (Asia)- CC Bank European Wts Sep 2018 F	80,000,000	2018/06/05	2018/09/20 30.56
16793	Goldman Sachs SP (Asia)- CM Bank European Wts Oct 2018 B	60,000,000	2018/06/05	2018/10/24 10.08
16908	Goldman Sachs SP (Asia)- HSI European Put Wts Nov 2018 C	150,000,000	2018/06/05	2018/11/29 24.30
16837	Goldman Sachs SP (Asia)- ICBC European Warrants Sep 2018 D	80,000,000	2018/06/05	2018/09/20 21.12
16906	Goldman Sachs SP (Asia)- SUNAC European Warrants Sep 2018 A	40,000,000	2018/06/05	2018/09/20 10.00
16508	Haitong Int'l Sec- Chinares Cement European Warrants Oct18B	30,000,000	2018/06/05	2018/10/16 45.60
16536	Haitong Int'l Sec- Chinares Cement European Warrants Sep19A	100,000,000	2018/06/05	2019/09/30 26.00
16548	Haitong Int'l Sec- Ch South City European Warrants Dec 18 A	50,000,000	2018/06/05	2018/12/18 15.50
16569	Haitong Int'l Sec- Foxconn European Warrants Feb 2019 A	50,000,000	2018/06/05	2019/02/28 13.00
16442	Haitong Int'l Sec- Hang Lung Ppt European Warrants Feb 19 A	50,000,000	2018/06/05	2019/02/18 12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
16500	Haitong Int'l Sec- PetroChina European Warrants Sep 2018 A	80,000,000	2018/06/05	2018/09/26 13.60
16627	Haitong Int'l Sec- PetroChina European Warrants Nov 2018 A	80,000,000	2018/06/05	2018/11/28 20.00
16445	Haitong Int'l Sec- Poly Property European Wts Dec 2018 A	30,000,000	2018/06/05	2018/12/18 13.20
16482	Haitong Int'l Sec- Sihuan Pharm European Warrants Dec 2018B	30,000,000	2018/06/05	2018/12/18 12.30
16641	J P Morgan SP BV- CK Asset European Warrants Dec 2018 A	100,000,000	2018/06/05	2018/12/31 25.10
16658	J P Morgan SP BV- Baiyunshan Ph European Wts Dec 2018 B	40,000,000	2018/06/05	2018/12/31 18.68
16653	J P Morgan SP BV- HSBC European Put Warrants Dec 2018 B	100,000,000	2018/06/05	2018/12/31 25.40
16184	Macquarie Bank Ltd.- CNOOC European Warrants Jan 2019 A	13,500,000	2018/06/05	2019/01/03 10.07
16298	Macquarie Bank Ltd.- Cathay Pacific European Wts May 2019 A	8,000,000	2018/06/05	2019/05/02 10.00
16191	Macquarie Bank Ltd.- SUNAC European Warrants Jan 2019 A	23,000,000	2018/06/05	2019/01/03 10.01
17000	SG Issuer- Conch Cement European Warrants Sep 2018 B	30,000,000	2018/06/05	2018/09/28 12.75
17012	SG Issuer- BYD Company European Warrants Dec 2018 B	13,000,000	2018/06/05	2018/12/31 11.70
17057	SG Issuer- CNOOC European Warrants Oct 2018 C	21,000,000	2018/06/05	2018/10/31 10.08
17036	SG Issuer- Chinas Cement European Warrants Oct 2018 A	10,000,000	2018/06/05	2018/10/10 16.30
17048	SG Issuer- Chinas Cement European Warrants Dec 2018 A	10,000,000	2018/06/05	2018/12/04 12.30
16978	SG Issuer- HSI European Warrants Oct 2018 E	100,000,000	2018/06/05	2018/10/30 15.00
16981	SG Issuer- HSI European Warrants Oct 2018 F	100,000,000	2018/06/05	2018/10/30 15.00
16987	SG Issuer- HSI European Warrants Dec 2018 B	100,000,000	2018/06/05	2018/12/28 15.00
16951	SG Issuer- HSI European Put Warrants Sep 2018 F	100,000,000	2018/06/05	2018/09/27 15.00
16991	SG Issuer- HSI European Put Warrants Dec 2018 C	100,000,000	2018/06/05	2018/12/28 25.00
17066	SG Issuer- Li & Fung European Warrants Dec 2018 A	40,000,000	2018/06/05	2018/12/31 10.00
17070	SG Issuer- SJM Holdings Eu Wt Dec 2018 B	11,000,000	2018/06/05	2018/12/04 10.01
17054	SG Issuer- China Vanke European Warrants Dec 2018 A	25,000,000	2018/06/05	2018/12/31 10.00
17076	SG Issuer- Zijin Mining European Warrants Dec 2018 A	20,000,000	2018/06/05	2018/12/31 10.20
16676	UBS AG- 3SBIO European Warrants Dec 2018 A	30,000,000	2018/06/05	2018/12/04 14.22
16667	UBS AG- Baiyunshan Ph European Warrants Dec 2018 B	25,000,000	2018/06/05	2018/12/04 14.58
16679	UBS AG- Huaneng Power European Warrants Dec 2018 A	20,000,000	2018/06/05	2018/12/04 10.22
16706	UBS AG- SJM Holdings European Warrants Dec 2018 A	20,000,000	2018/06/05	2018/12/04 13.84
16746	UBS AG- Tingyi European Warrants Jan 2019 A	40,000,000	2018/06/05	2019/01/28 10.00
16270	Bank Vontobel- AIA European Warrants Sep 2018 B	50,000,000	2018/06/05	2018/09/24 11.70
16248	Bank Vontobel- China Unicom European Warrants Oct 2018 D	15,000,000	2018/06/05	2018/10/26 16.65
16241	Bank Vontobel- HKEX European Warrants Oct 2018 A	100,000,000	2018/06/05	2018/10/30 36.00
16213	Bank Vontobel- PetroChina European Warrants Nov 2018 C	50,000,000	2018/06/05	2018/11/26 12.40
17101	BOCI Asia Ltd.- ICBC European Warrants Sep 2018 D	80,000,000	2018/06/06	2018/09/14 12.16
17116	BOCI Asia Ltd.- PetroChina European Warrants Dec 2018 A	60,000,000	2018/06/06	2018/12/24 25.20
17100	BOCI Asia Ltd.- Tencent European Wts Sep 2018 L	200,000,000	2018/06/06	2018/09/28 40.40
17092	BOCI Asia Ltd.- Tencent European Wts Oct 2018 D	200,000,000	2018/06/06	2018/10/22 58.20
17247	Credit Suisse AG- Conch Cement European Warrants Sep 2018 A	40,000,000	2018/06/06	2018/09/20 14.40
17240	Credit Suisse AG- Agricultural Bank European Wts Sep 2018 C	70,000,000	2018/06/06	2018/09/20 10.50
17238	Credit Suisse AG- Bank of China European Warrants Sep 2018A	60,000,000	2018/06/06	2018/09/20 10.80
17227	Credit Suisse AG- CC Bank European Warrants Sep 2018 B	50,000,000	2018/06/06	2018/09/20 21.00
17243	Credit Suisse AG- CITIC Bank European Warrants Oct 2018 A	30,000,000	2018/06/06	2018/10/24 10.20
17241	Credit Suisse AG- CM Bank European Warrants Oct 2018 A	60,000,000	2018/06/06	2018/10/24 10.20
17223	Credit Suisse AG- Chinas Cement European Warrants Oct 18B	20,000,000	2018/06/06	2018/10/22 40.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
17237	Credit Suisse AG- ICBC European Warrants Sep 2018 C	50,000,000	2018/06/06	2018/09/20	14.00
17226	Credit Suisse AG- Tencent Euro Put Wts Oct 2018 E	100,000,000	2018/06/06	2018/10/30	17.00
17246	Credit Suisse AG- Zijin Mining European Warrants Oct 2018 A	30,000,000	2018/06/06	2018/10/08	10.20
17309	Goldman Sachs SP (Asia)- CAM CSI300 European Wts Dec 2018 A	80,000,000	2018/06/06	2018/12/06	20.00
17319	Goldman Sachs SP (Asia)- BOCL European Warrants Nov 2018 B	80,000,000	2018/06/06	2018/11/23	12.00
17308	Goldman Sachs SP (Asia)- China Mobile Euro Wts Oct 2018 A	80,000,000	2018/06/06	2018/10/26	25.92
17321	Goldman Sachs SP (Asia)- HSI European Warrants Sep 2018 G	150,000,000	2018/06/06	2018/09/27	22.50
17320	Goldman Sachs SP (Asia)- Haitong Sec Euro Wts Dec 2018 A	40,000,000	2018/06/06	2018/12/06	10.00
17249	J P Morgan SP BV- China Life European Warrants Jan 2019 A	100,000,000	2018/06/06	2019/01/31	15.30
17257	J P Morgan SP BV- CSOP A50 ETF European Warrants Nov 2018 A	100,000,000	2018/06/06	2018/11/16	53.70
17251	J P Morgan SP BV- Fosun Intl European Warrants Oct 2018 A	70,000,000	2018/06/06	2018/10/31	10.50
17167	Macquarie Bank Ltd.- CSPC Pharma European Wts Oct 2018 A	23,500,000	2018/06/06	2018/10/12	10.06
17210	Macquarie Bank Ltd.- CSPC Pharma European Wts Jan 2019 A	20,000,000	2018/06/06	2019/01/15	10.38
17222	Macquarie Bank Ltd.- Li & Fung European Warrants May 2019 A	15,000,000	2018/06/06	2019/05/15	10.44
17211	Macquarie Bank Ltd.- Li & Fung European Put Warrants Mar19A	24,000,000	2018/06/06	2019/03/15	10.32
17154	Macquarie Bank Ltd.- Yuexiu Property Euro Wts Feb 2019 A	34,000,000	2018/06/06	2019/02/15	10.30
17264	SG Issuer- Bank of China European Warrants Dec 2018 A	60,000,000	2018/06/06	2018/12/31	15.00
17269	SG Issuer- Fosun Intl European Warrants Dec 2018 B	50,000,000	2018/06/06	2018/12/31	12.50
17277	SG Issuer- HSBC European Warrants Oct 2018 B	80,000,000	2018/06/06	2018/10/31	20.40
17290	SG Issuer- Ping An European Warrants Oct 2018 C	80,000,000	2018/06/06	2018/10/31	50.40
17304	SG Issuer- Stanchart European Warrants Oct 2018 A	23,000,000	2018/06/06	2018/10/31	10.01
17123	UBS AG- AIA European Warrants Oct 2018 C	100,000,000	2018/06/06	2018/10/02	38.60
17140	UBS AG- Bank of China European Warrants Nov 2018 A	70,000,000	2018/06/06	2018/11/23	10.50
17129	UBS AG- ICBC European Warrants Sep 2018 B	70,000,000	2018/06/06	2018/09/24	14.98
17134	UBS AG- ICBC European Warrants Nov 2018 A	70,000,000	2018/06/06	2018/11/23	11.48
17361	BOCI Asia Ltd.- Anhui Conch European Warrants Dec 2018 A	40,000,000	2018/06/07	2018/12/24	15.04
17360	BOCI Asia Ltd.- Sinopec Corp European Warrants Nov 2018 B	50,000,000	2018/06/07	2018/11/22	22.90
17365	BOCI Asia Ltd.- Chinas Cement European Warrants Oct 2018A	20,000,000	2018/06/07	2018/10/10	34.84
17369	BOCI Asia Ltd.- Chinas Cement European Warrants Jun 2019A	100,000,000	2018/06/07	2019/06/28	25.00
17324	BOCI Asia Ltd.- HSBC European Warrants Nov 2018 B	80,000,000	2018/06/07	2018/11/23	16.80
17370	BOCI Asia Ltd.- Sands China Ltd European Warrants Nov 2018A	80,000,000	2018/06/07	2018/11/27	27.12
17476	Credit Suisse AG- AIA European Warrants Sep 2018 C	70,000,000	2018/06/07	2018/09/20	21.00
17481	Credit Suisse AG- Fosun Intl European Warrants Oct 2018 A	70,000,000	2018/06/07	2018/10/24	10.50
17500	Credit Suisse AG- Baiyunshan Ph European Warrants Dec 2018A	30,000,000	2018/06/07	2018/12/06	16.50
17519	Credit Suisse AG- Travelsky Tech European Wts Dec 2018 A	40,000,000	2018/06/07	2018/12/06	10.40
17488	Credit Suisse AG- Xinyi Solar European Warrants Dec 2018 A	30,000,000	2018/06/07	2018/12/06	13.50
17490	Credit Suisse AG- Zhaojin Mining European Warrants Dec 18 A	20,000,000	2018/06/07	2018/12/06	15.40
17755	Goldman Sachs SP (Asia)- Anhui Conch European Wts Sep 2018B	40,000,000	2018/06/07	2018/09/20	12.96
17774	Goldman Sachs SP (Asia)- Minsheng Bank Euro Wts Oct 2018 B	50,000,000	2018/06/07	2018/10/12	10.00
17740	Goldman Sachs SP (Asia)- China Mobile Euro Wts Sep 2018 F	80,000,000	2018/06/07	2018/09/20	14.64
17761	Goldman Sachs SP (Asia)- CNBM European Warrants Sep 2018 A	40,000,000	2018/06/07	2018/09/24	23.44
17770	Goldman Sachs SP (Asia)- CSPC Pharma European Wts Sep 2018A	40,000,000	2018/06/07	2018/09/20	10.00
17614	J P Morgan SP BV- BBMG European Warrants Sep 2018 A	40,000,000	2018/06/07	2018/09/28	10.24
17530	J P Morgan SP BV- Minsheng Bank European Wts Dec 2018 B	100,000,000	2018/06/07	2018/12/06	38.70

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
17630	J P Morgan SP BV- Ch Merchants European Warrants Feb 2019 A	70,000,000	2018/06/07	2019/02/04 17.64
17524	J P Morgan SP BV- GCL-Poly European Warrants Dec 2018 A	70,000,000	2018/06/07	2018/12/03 10.64
17600	J P Morgan SP BV- GJ Int'l European Warrants Nov 2018 B	60,000,000	2018/06/07	2018/11/23 11.22
17556	J P Morgan SP BV- Haitong Sec European Warrants Dec 2018 A	25,000,000	2018/06/07	2018/12/06 15.50
17652	J P Morgan SP BV- Huaneng Power European Wts Dec 2018 A	60,000,000	2018/06/07	2018/12/31 16.14
17531	J P Morgan SP BV- SJM Holdings European Warrants Dec 2018 A	40,000,000	2018/06/07	2018/12/06 23.80
17623	J P Morgan SP BV- Yuexiu Property European Wts Feb 2019 A	60,000,000	2018/06/07	2019/02/04 15.30
17400	Macquarie Bank Ltd.- CK Asset European Warrants Jan 2019 A	23,000,000	2018/06/07	2019/01/03 10.01
17385	Macquarie Bank Ltd.- Chinas Cement European Wt Jul 2019 A	6,000,000	2018/06/07	2019/07/15 11.14
17396	Macquarie Bank Ltd.- CSOP A50 ETF European Wts Jan 2019 A	20,000,000	2018/06/07	2019/01/03 11.26
17397	Macquarie Bank Ltd.- A50 China European Warrants Jan 2019 A	19,000,000	2018/06/07	2019/01/03 11.36
17394	Macquarie Bank Ltd.- China Vanke European Wts Mar 2019 A	22,000,000	2018/06/07	2019/03/15 12.17
17395	Macquarie Bank Ltd.- Wynn Macau European Wts Jan 2019 A	24,000,000	2018/06/07	2019/01/15 10.39
17672	SG Issuer- CPIC Eur Wt Dec 2018 A	35,000,000	2018/06/07	2018/12/31 10.15
17702	SG Issuer- HSI European Warrants Apr 2019 A	150,000,000	2018/06/07	2019/04/29 37.50
17676	SG Issuer- Want Want China European Warrants Dec 2018 A	12,000,000	2018/06/07	2018/12/31 10.08
17405	UBS AG- Agricultural Bank European Warrants Nov 2018 A	70,000,000	2018/06/07	2018/11/23 20.93
17432	UBS AG- CITIC Bank European Warrants Oct 2018 A	70,000,000	2018/06/07	2018/10/26 23.80
17418	UBS AG- Minsheng Bank European Warrants Nov 2018 A	50,000,000	2018/06/07	2018/11/23 26.55
17461	UBS AG- Sinopec Corp European Warrants Oct 2018 B	50,000,000	2018/06/07	2018/10/30 23.60
17456	UBS AG- PSBC European Warrants Dec 2018 A	40,000,000	2018/06/07	2018/12/06 14.36
17403	UBS AG- Sands China Ltd European Warrants Oct 2018 A	70,000,000	2018/06/07	2018/10/24 22.61
17881	Credit Suisse AG- China Railway European Warrants Dec 2018A	30,000,000	2018/06/08	2018/12/07 10.80
17888	Credit Suisse AG- Haier Elec European Warrants Dec 2018 A	30,000,000	2018/06/08	2018/12/07 11.10
18094	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Sep 2018 E	70,000,000	2018/06/08	2018/09/24 10.50
18105	Goldman Sachs SP (Asia)- BOCL European Warrants Nov 2018 C	80,000,000	2018/06/08	2018/11/23 12.00
18070	Goldman Sachs SP (Asia)- HSBC European Warrants Nov 2018 A	80,000,000	2018/06/08	2018/11/13 12.00
18110	Goldman Sachs SP (Asia)- PSBC European Warrants Sep 2018 B	40,000,000	2018/06/08	2018/09/20 12.56
18109	Goldman Sachs SP (Asia)- PSBC European Warrants Dec 2018 A	40,000,000	2018/06/08	2018/12/07 10.00
17822	Haitong Int'l Sec- AAC Tech European Warrants Dec 2018 B	100,000,000	2018/06/08	2018/12/24 15.00
17870	Haitong Int'l Sec- AIA European Warrants Dec 2018 A	80,000,000	2018/06/08	2018/12/31 51.20
17869	Haitong Int'l Sec- China Life European Warrants Oct 2018 D	100,000,000	2018/06/08	2018/10/02 16.00
17805	Haitong Int'l Sec- China Mobile European Wts Oct 2018 A	100,000,000	2018/06/08	2018/10/31 21.00
17793	Haitong Int'l Sec- CNOOC European Warrants Oct 2018 B	50,000,000	2018/06/08	2018/10/31 25.00
17797	Haitong Int'l Sec- CNOOC European Warrants Dec 2018 A	50,000,000	2018/06/08	2018/12/10 17.00
17777	Haitong Int'l Sec- Galaxy Ent European Warrants Dec 2018 A	50,000,000	2018/06/08	2018/12/03 16.50
17776	Haitong Int'l Sec- HSBC European Warrants Nov 2018 C	80,000,000	2018/06/08	2018/11/30 17.60
17878	Haitong Int'l Sec- ICBC European Warrants Nov 2018 A	100,000,000	2018/06/08	2018/11/28 21.00
17817	Haitong Int'l Sec- Lenovo European Warrants Dec 2018 A	40,000,000	2018/06/08	2018/12/10 12.00
17791	Haitong Int'l Sec- PetroChina European Warrants Nov 2018 B	100,000,000	2018/06/08	2018/11/23 42.00
17837	Haitong Int'l Sec- Ping An European Warrants Nov 2018 E	80,000,000	2018/06/08	2018/11/30 28.00
18004	J P Morgan SP BV- Chinas Cement Euro Warrants Oct 2018 A	30,000,000	2018/06/08	2018/10/30 56.46
17983	J P Morgan SP BV- Galaxy Ent European Warrants Dec 2018 B	100,000,000	2018/06/08	2018/12/10 38.90
17984	J P Morgan SP BV- Galaxy Ent European Put Wts Dec 2018 C	100,000,000	2018/06/08	2018/12/31 25.30

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日(百萬港元)	集資金額
17998	J P Morgan SP BV- ICBC European Warrants Dec 2018 B	100,000,000	2018/06/08	2018/12/10	25.00
17980	J P Morgan SP BV- Sands China European Warrants Dec 2018 B	100,000,000	2018/06/08	2018/12/10	25.20
18021	SG Issuer- China Mobile European Warrants Sep 2018 A	70,000,000	2018/06/08	2018/09/28	10.50
18056	SG Issuer- Ch Molybdenum European Warrants Dec 2018 A	15,000,000	2018/06/08	2018/12/07	10.35
18010	SG Issuer- Chinas Cement European Warrants Oct 2018 B	12,000,000	2018/06/08	2018/10/29	24.60
18057	SG Issuer- GAC European Warrants Dec 2019 A	80,000,000	2018/06/08	2019/12/31	20.00
18066	SG Issuer- SUNAC European Warrants Oct 2018 A	30,000,000	2018/06/08	2018/10/31	10.50
17947	UBS AG- China National Building European Wts Sep 2018 A	20,000,000	2018/06/08	2018/09/24	12.26
17964	UBS AG- China National Building European Wts Oct 2018 A	20,000,000	2018/06/08	2018/10/26	16.70
17927	UBS AG- CNOOC European Warrants Nov 2018 B	40,000,000	2018/06/08	2018/11/01	22.00
17930	UBS AG- COSCO Ship Hold European Warrants Dec 2018 A	15,000,000	2018/06/08	2018/12/07	10.17
17895	UBS AG- Galaxy Ent European Warrants Oct 2018 A	70,000,000	2018/06/08	2018/10/26	29.05
17906	UBS AG- Wynn Macau European Put Warrants Dec 2018 A	40,000,000	2018/06/08	2018/12/07	13.92
18292	Credit Suisse AG- Chinas Cement European Warrants Oct 18C	20,000,000	2018/06/11	2018/10/29	42.00
18295	Credit Suisse AG- Chinas Cement European Warrants Apr 19A	60,000,000	2018/06/11	2019/04/30	15.00
18289	Credit Suisse AG- GAC European Warrants Oct 2018 A	70,000,000	2018/06/11	2018/10/18	10.50
18142	Credit Suisse AG- Tencent Euro Put Wts Sep 2018 H	150,000,000	2018/06/11	2018/09/21	22.50
18290	Credit Suisse AG- WuXi Bio European Warrants Dec 2018 A	40,000,000	2018/06/11	2018/12/10	10.00
18354	Goldman Sachs SP (Asia)- Agricultural Bank Euro Wts Sep 18B	80,000,000	2018/06/11	2018/09/20	12.00
18333	Goldman Sachs SP (Asia)- China Life Euro Wts Jan 2019 A	100,000,000	2018/06/11	2019/01/28	16.50
18352	Goldman Sachs SP (Asia)- China Mobile Euro Wts Oct 2018 B	80,000,000	2018/06/11	2018/10/26	12.00
18355	Goldman Sachs SP (Asia)- Fosun Intl European Wts Oct 2018 B	70,000,000	2018/06/11	2018/10/24	10.50
18368	Goldman Sachs SP (Asia)- Stanchart European Wts Oct 2018 A	40,000,000	2018/06/11	2018/10/24	16.16
18187	Haitong Int'l Sec- Chinas Cement European Warrants Jan19A	40,000,000	2018/06/11	2019/01/28	10.40
18226	Haitong Int'l Sec- R&F Ppt European Warrants Dec 2018 A	40,000,000	2018/06/11	2018/12/18	11.60
18239	Haitong Int'l Sec- HSCEI European Put Warrants Dec 2018 C	100,000,000	2018/06/11	2018/12/28	15.70
18248	Haitong Int'l Sec- HSI European Warrants Nov 2018 B	150,000,000	2018/06/11	2018/11/29	22.50
18235	Haitong Int'l Sec- HSI European Put Warrants Oct 2018 B	150,000,000	2018/06/11	2018/10/30	24.00
18191	Haitong Int'l Sec- SUNAC European Warrants Jan 2019 A	40,000,000	2018/06/11	2019/01/28	24.80
18192	Haitong Int'l Sec- China Vanke European Warrants Dec 2018 A	40,000,000	2018/06/11	2018/12/18	14.40
18225	Haitong Int'l Sec- WuXi Bio European Warrants Dec 2018 A	80,000,000	2018/06/11	2018/12/18	22.40
18302	J P Morgan SP BV- CAM CSI300 European Warrants Dec 2018 A	100,000,000	2018/06/11	2018/12/31	25.10
18296	J P Morgan SP BV- China Gas Hold European Wts Feb 2019 A	60,000,000	2018/06/11	2019/02/01	17.82
18322	J P Morgan SP BV- CGS European Warrants Dec 2018 B	50,000,000	2018/06/11	2018/12/31	16.00
18329	J P Morgan SP BV- Chinas Cement Euro Warrants Aug 2019 A	100,000,000	2018/06/11	2019/08/02	25.10
18304	J P Morgan SP BV- HSCEI ETF European Warrants Dec 2018 A	100,000,000	2018/06/11	2018/12/31	39.30
18309	J P Morgan SP BV- HSI European Put Warrants Jan 2019 B	300,000,000	2018/06/11	2019/01/30	75.00
18153	Macquarie Bank Ltd.- Towngas China European Wts Jul 2019 A	8,000,000	2018/06/11	2019/07/02	10.46
18283	SG Issuer- China Railway European Warrants Dec 2018 A	20,000,000	2018/06/11	2018/12/31	10.00
18250	SG Issuer- HSI European Put Warrants Nov 2018 F	150,000,000	2018/06/11	2018/11/29	30.75
18270	SG Issuer- HSI European Put Warrants Dec 2018 D	150,000,000	2018/06/11	2018/12/28	24.00
18271	SG Issuer- HSI European Put Warrants Dec 2018 E	150,000,000	2018/06/11	2018/12/28	37.50
18140	UBS AG- Chinas Cement European Warrants Dec 2018 A	10,000,000	2018/06/11	2018/12/10	12.63
18138	UBS AG- China Taiping European Warrants Dec 2018 A	50,000,000	2018/06/11	2018/12/10	16.10

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
18124	UBS AG- Link REIT European Warrants Oct 2018 A	70,000,000	2018/06/11	2018/10/24	14.35
18134	UBS AG- Yanzhou Coal European Wts Dec 2018 C	60,000,000	2018/06/11	2018/12/11	11.40
18132 #	J P Morgan SP BV- Tencent European Warrants Aug 2018 C	300,000,000	2018/06/11	2018/08/31	48.30
23317 #	UBS AG- China Mengniu European Warrants Aug 2018 A	60,000,000	2018/06/11	2018/08/07	10.20
18464	BOCI Asia Ltd.- China Lit European Warrants Dec 2018 A	30,000,000	2018/06/12	2018/12/24	32.19
18444	BOCI Asia Ltd.- China Mobile European Warrants Nov 2018 A	100,000,000	2018/06/12	2018/11/26	32.70
18434	BOCI Asia Ltd.- CSPC Pharma European Warrants Nov 2018 B	50,000,000	2018/06/12	2018/11/26	15.15
18428	BOCI Asia Ltd.- Galaxy Ent European Warrants Nov 2018 B	60,000,000	2018/06/12	2018/11/29	21.06
18442	BOCI Asia Ltd.- Sino Biopharm European Warrants Dec 2018 A	50,000,000	2018/06/12	2018/12/27	13.15
18449	BOCI Asia Ltd.- Tencent European Wts Oct 2018 E	200,000,000	2018/06/12	2018/10/26	31.60
18447	BOCI Asia Ltd.- Tencent European Put Wts Sep 2018 M	100,000,000	2018/06/12	2018/09/27	30.60
18682	Credit Suisse AG- CGS European Warrants Dec 2018 A	30,000,000	2018/06/12	2018/12/11	16.50
18652	Goldman Sachs SP (Asia)- Ch Comm Cons Euro Wts Sep 2018 B	60,000,000	2018/06/12	2018/09/20	10.08
18659	Goldman Sachs SP (Asia)- HKEX European Warrants Sep 2018 M	150,000,000	2018/06/12	2018/09/26	27.00
18657	Goldman Sachs SP (Asia)- PICC Group European Wts Oct 2018 B	40,000,000	2018/06/12	2018/10/26	10.32
18656	Goldman Sachs SP (Asia)- SUNAC European Warrants Sep 2018 B	40,000,000	2018/06/12	2018/09/20	16.80
18663	Goldman Sachs SP (Asia)- Tencent European Put Wt Oct 2018 I	100,000,000	2018/06/12	2018/10/24	15.00
18546	HK Bank- China Life European Warrants Jan 2019 A	80,000,000	2018/06/12	2019/01/28	14.40
18519	HK Bank- Chinares Cement European Warrants Dec 2018 A	80,000,000	2018/06/12	2018/12/31	20.00
18513	HK Bank- CSPC Pharma European Warrants Dec 2018 A	80,000,000	2018/06/12	2018/12/11	20.00
18514	HK Bank- A50 China European Warrants Jan 2019 A	25,000,000	2018/06/12	2019/01/03	11.25
18540	HK Bank- Galaxy Ent European Warrants Oct 2018 A	50,000,000	2018/06/12	2018/10/26	18.00
18545	HK Bank- Lenovo European Warrants Dec 2018 A	40,000,000	2018/06/12	2018/12/31	10.00
18498	HK Bank- Ping An European Warrants Oct 2018 A	80,000,000	2018/06/12	2018/10/31	14.40
18521	HK Bank- Ping An European Warrants Oct 2018 B	80,000,000	2018/06/12	2018/10/24	32.00
18520	HK Bank- Sunny Optical European Warrants Dec 2018 A	80,000,000	2018/06/12	2018/12/31	20.00
18465	HK Bank- Tencent European Warrants Oct 2018 D	100,000,000	2018/06/12	2018/10/26	20.00
18615	Haitong Int'l Sec- China Gas Hold European Warrants Jan 19A	30,000,000	2018/06/12	2019/01/18	15.90
18617	Haitong Int'l Sec- CGS European Warrants Dec 2018 A	50,000,000	2018/06/12	2018/12/31	23.50
18626	Haitong Int'l Sec- Stanchart European Warrants Nov 2018 A	48,000,000	2018/06/12	2018/11/26	11.04
18649	J P Morgan SP BV- CKI Holdings European Warrants Nov 2018 A	60,000,000	2018/06/12	2018/11/30	10.92
18633	J P Morgan SP BV- Chinares Cement Euro Warrants Dec 2018 B	40,000,000	2018/06/12	2018/12/11	10.04
18650	J P Morgan SP BV- Ch State Con European Warrants Sep 2019 A	80,000,000	2018/06/12	2019/09/25	12.16
18651	J P Morgan SP BV- China Taiping European Wts Dec 2018 A	60,000,000	2018/06/12	2018/12/31	15.18
18645	J P Morgan SP BV- Genscript Bio European Warrants Dec 2018B	40,000,000	2018/06/12	2018/12/31	19.08
18632	J P Morgan SP BV- Ping An European Put Warrants Dec 2018 C	100,000,000	2018/06/12	2018/12/31	25.10
18638	J P Morgan SP BV- Goldwind European Warrants May 2019 A	60,000,000	2018/06/12	2019/05/06	15.06
18528	Macquarie Bank Ltd.- China Gas Hold Euro Wts Mar 2019 A	24,000,000	2018/06/12	2019/03/15	10.34
18523	Macquarie Bank Ltd.- GAC European Wts Mar 2019 A	6,000,000	2018/06/12	2019/03/04	10.76
18547	Macquarie Bank Ltd.- NCI European Warrants Feb 2019 A	26,600,000	2018/06/12	2019/02/04	10.03
18522	Macquarie Bank Ltd.- Ping An European Put Warrants Jan 19 B	24,000,000	2018/06/12	2019/01/03	10.27
18665	SG Issuer- China Gas Hold Eu Wt Dec 2018 B	20,000,000	2018/06/12	2018/12/31	10.00
18670	SG Issuer- Chinares Cement European Warrants Nov 2018 A	10,000,000	2018/06/12	2018/11/09	19.00
18673	SG Issuer- Chinares Cement European Warrants Dec 2018 B	10,000,000	2018/06/12	2018/12/31	12.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
18680	SG Issuer- Tencent European Warrants Sep 2018 L	200,000,000	2018/06/12	2018/09/28 54.00
18599	UBS AG- BBMG European Warrants Dec 2018 A	30,000,000	2018/06/12	2018/12/11 14.43
18593	UBS AG- BOCL European Put Warrants Dec 2018 B	50,000,000	2018/06/12	2018/12/11 18.85
18577	UBS AG- CC Bank European Put Warrants Dec 2018 A	50,000,000	2018/06/12	2018/12/11 27.70
18597	UBS AG- China Huarong European Warrants Oct 2018 A	30,000,000	2018/06/12	2018/10/26 10.02
18572	UBS AG- China Cinda European Warrants Feb 2019 A	40,000,000	2018/06/12	2019/02/11 10.48
18576	UBS AG- China Life European Put Warrants Jan 2019 A	70,000,000	2018/06/12	2019/01/24 11.06
18551	UBS AG- Galaxy Ent European Put Warrants Dec 2018 A	50,000,000	2018/06/12	2018/12/11 17.85
18578	UBS AG- ICBC European Put Warrants Dec 2018 A	50,000,000	2018/06/12	2018/12/11 21.20
18595	UBS AG- Kingboard Chem European Warrants Dec 2018 A	30,000,000	2018/06/12	2018/12/17 10.17
18575	UBS AG- PICC Group European Warrants Nov 2018 A	40,000,000	2018/06/12	2018/11/21 14.92
18566	UBS AG- Sands China Ltd European Put Warrants Dec 2018 A	50,000,000	2018/06/12	2018/12/11 12.70
18378	Bank Vontobel- AIA European Warrants Dec 2018 A	50,000,000	2018/06/12	2018/12/11 35.00
18381	Bank Vontobel- HSBC European Warrants Jan 2019 A	40,000,000	2018/06/12	2019/01/04 10.48
18422	Bank Vontobel- HSBC European Put Warrants Dec 2018 A	40,000,000	2018/06/12	2018/12/21 10.84
18386	Bank Vontobel- ICBC European Warrants Dec 2018 A	40,000,000	2018/06/12	2018/12/21 12.08
18423	Bank Vontobel- Ping An European Warrants Dec 2018 D	30,000,000	2018/06/12	2018/12/21 14.46
18426	Bank Vontobel- Tencent European Warrants Oct 2018 E	100,000,000	2018/06/12	2018/10/26 16.00
18425	Bank Vontobel- Tencent European Put Warrants Dec 2018 C	100,000,000	2018/06/12	2018/12/11 36.10
18427	Bank Vontobel- Yanzhou Coal European Warrants Nov 2018 A	85,000,000	2018/06/12	2018/11/29 12.92
18767	Credit Suisse AG- BBMG European Warrants Dec 2018 A	25,000,000	2018/06/13	2018/12/12 12.25
18749	Credit Suisse AG- CC Bank European Put Warrants Dec 2018 A	50,000,000	2018/06/13	2018/12/12 16.00
18766	Credit Suisse AG- ICBC European Put Warrants Nov 2018 A	50,000,000	2018/06/13	2018/11/23 12.50
18775	Credit Suisse AG- Tencent Euro Put Wts Sep 2018 I	150,000,000	2018/06/13	2018/09/12 24.00
18772	Credit Suisse AG- Wynn Macau European Warrants Nov 2018 A	40,000,000	2018/06/13	2018/11/30 11.20
18876	Goldman Sachs SP (Asia)- Angang Steel Euro Wts Dec 2018 A	40,000,000	2018/06/13	2018/12/13 20.20
18868	Goldman Sachs SP (Asia)- Galaxy Ent European Wts Oct 2018 A	80,000,000	2018/06/13	2018/10/26 23.52
18885	Goldman Sachs SP (Asia)- HSCEI ETF European Wts Dec 2018 A	50,000,000	2018/06/13	2018/12/13 17.25
18867	Goldman Sachs SP (Asia)- HSI European Put Wts Oct 2018 D	150,000,000	2018/06/13	2018/10/30 22.50
18891	Goldman Sachs SP (Asia)- ICBC European Warrants Nov 2018 A	80,000,000	2018/06/13	2018/11/23 12.00
18745	Haitong Int'l Sec- Kingsoft European Warrants Dec 2018 A	40,000,000	2018/06/13	2018/12/18 10.40
18730	Haitong Int'l Sec- Sunny Optical European Wts Dec 2018 A	100,000,000	2018/06/13	2018/12/18 25.00
18866	J P Morgan SP BV- HSI European Put Warrants Jan 2019 C	300,000,000	2018/06/13	2019/01/30 75.00
18864	J P Morgan SP BV- SJM Holdings European Warrants Dec 2019 A	40,000,000	2018/06/13	2019/12/31 10.04
18856	J P Morgan SP BV- Tencent European Warrants Dec 2018 E	250,000,000	2018/06/13	2018/12/12 62.50
18706	Macquarie Bank Ltd.- BAIC Motor European Warrants Apr 2019A	6,700,000	2018/06/13	2019/04/02 10.06
18729	Macquarie Bank Ltd.- Chow Tai Fook European Wts Jul 2019 B	40,000,000	2018/06/13	2019/07/15 10.20
18727	Macquarie Bank Ltd.- Shenzhen Int'l European Wts Feb 2019 A	40,000,000	2018/06/13	2019/02/08 10.08
18826	UBS AG- AAC Tech European Warrants Feb 2019 A	60,000,000	2018/06/13	2019/02/11 10.56
18787	UBS AG- China Overseas European Warrants Sep 2018 B	70,000,000	2018/06/13	2018/09/28 10.50
18813	UBS AG- Mengniu Dairy European Warrants Dec 2018 A	50,000,000	2018/06/13	2018/12/24 15.65
18830	UBS AG- PetroChina European Warrants Oct 2018 B	50,000,000	2018/06/13	2018/10/09 10.65
18832	UBS AG- Want Want Ch European Warrants Jan 2019 A	12,000,000	2018/06/13	2019/01/14 10.62
18702	Bank Vontobel- China Life European Warrants Jun 2019 A	100,000,000	2018/06/13	2019/06/05 25.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日 (百萬港元)	集資金額
18687	Bank Vontobel- Geely Auto European Warrants Nov 2018 B	50,000,000	2018/06/13	2018/11/13	10.05
18686	Bank Vontobel- Galaxy Ent European Warrants Dec 2018 A	40,000,000	2018/06/13	2018/12/13	24.04
18694	Bank Vontobel- Sunny Optical European Warrants Sep 2018 A	80,000,000	2018/06/13	2018/09/20	12.32
18705	Bank Vontobel- Tencent European Warrants Oct 2018 F	100,000,000	2018/06/13	2018/10/26	15.10
18975	BOCI Asia Ltd.- Galaxy Ent European Warrants Oct 2018 B	50,000,000	2018/06/14	2018/10/30	16.50
19143	Credit Suisse AG- 3SBIO European Warrants Oct 2018 A	25,000,000	2018/06/14	2018/10/23	10.00
19130	Credit Suisse AG- GF Sec European Warrants Apr 2019 A	40,000,000	2018/06/14	2019/04/30	10.00
19172	Credit Suisse AG- PetroChina European Warrants Dec 2018 A	60,000,000	2018/06/14	2018/12/13	19.80
19181	Credit Suisse AG- Tencent European Wts Dec 2018 B	250,000,000	2018/06/14	2018/12/13	70.00
19221	Goldman Sachs SP (Asia)- Geely Auto European Wts Nov 2018 A	80,000,000	2018/06/14	2018/11/13	12.96
19226	Goldman Sachs SP (Asia)- HSBC European Warrants Oct 2018 B	80,000,000	2018/06/14	2018/10/24	21.52
19266	Goldman Sachs SP (Asia)- Kingsoft European Wts Oct 2018 A	60,000,000	2018/06/14	2018/10/05	10.02
19263	Goldman Sachs SP (Asia)- Ping An Euro Put Wts Sep 2018 L	60,000,000	2018/06/14	2018/09/19	11.82
19267	Goldman Sachs SP (Asia)- Tencent European Put Wt Sep 2018 N	100,000,000	2018/06/14	2018/09/20	21.20
18942	HK Bank- Chinas Cement European Warrants Dec 2018 B	80,000,000	2018/06/14	2018/12/13	20.00
18943	HK Bank- CSOP A50 ETF European Warrants Jan 2019 A	30,000,000	2018/06/14	2019/01/03	10.50
18926	HK Bank- Galaxy Ent European Warrants Dec 2018 A	40,000,000	2018/06/14	2018/12/31	11.20
18966	HK Bank- Genscript Bio European Warrants Dec 2018 A	50,000,000	2018/06/14	2018/12/31	15.00
18970	HK Bank- PICC Group European Warrants Dec 2018 A	40,000,000	2018/06/14	2018/12/31	10.00
18974	HK Bank- Sands China European Warrants Dec 2018 A	40,000,000	2018/06/14	2018/12/31	14.40
18920	HK Bank- Sino Biopharm European Warrants Dec 2018 A	100,000,000	2018/06/14	2018/12/31	25.00
18969	HK Bank- WuXi Bio European Warrants Dec 2018 A	80,000,000	2018/06/14	2018/12/31	20.00
19047	Haitong Int'l Sec- Geely Auto European Warrants Dec 2018 B	50,000,000	2018/06/14	2018/12/31	14.00
19072	Haitong Int'l Sec- Geely Auto European Warrants Dec 2018 C	50,000,000	2018/06/14	2018/12/31	18.00
19046	Haitong Int'l Sec- Kingboard Chem European Warrants Feb 19A	50,000,000	2018/06/14	2019/02/08	17.50
19085	Haitong Int'l Sec- Kingsoft European Warrants Oct 2018 A	68,000,000	2018/06/14	2018/10/30	11.56
19082	Haitong Int'l Sec- Kingsoft European Warrants Dec 2018 B	68,000,000	2018/06/14	2018/12/24	19.72
19080	Haitong Int'l Sec- SJM Holdings European Warrants Nov 2018A	20,000,000	2018/06/14	2018/11/28	18.20
19213	Haitong Int'l Sec- Tianneng Power European Warrants Mar 19A	50,000,000	2018/06/14	2019/03/18	14.00
19094	Haitong Int'l Sec- Wynn Macau European Warrants Nov 2018 A	40,000,000	2018/06/14	2018/11/23	10.80
19188	J P Morgan SP BV- CC Bank European Put Wts Jan 2019 A	100,000,000	2018/06/14	2019/01/02	25.60
19214	J P Morgan SP BV- China Lit European Warrants Aug 2019 A	60,000,000	2018/06/14	2019/08/05	15.00
19211	J P Morgan SP BV- China Overseas European Put Wts Jan 2019B	100,000,000	2018/06/14	2019/01/02	25.20
19190	J P Morgan SP BV- Geely Auto European Warrants Dec 2018 C	100,000,000	2018/06/14	2018/12/31	25.20
19182	J P Morgan SP BV- China Mengniu European Wts Dec 2018 A	60,000,000	2018/06/14	2018/12/31	15.36
18985	Macquarie Bank Ltd.- Ch Molybdenum European Wts May 2019 A	10,000,000	2018/06/14	2019/05/15	12.41
18979	Macquarie Bank Ltd.- China Overseas European Wts Feb 2019 A	40,000,000	2018/06/14	2019/02/04	10.00
18976	Macquarie Bank Ltd.- CPIC European Warrants Feb 2019 A	40,000,000	2018/06/14	2019/02/04	10.08
18983	Macquarie Bank Ltd.- Meitu European Warrants Mar 2019 A	40,000,000	2018/06/14	2019/03/15	10.60
18984	Macquarie Bank Ltd.- WuXi Bio European Warrants Jun 2019 A	26,000,000	2018/06/14	2019/06/14	10.32
19028	UBS AG- CC Bank European Warrants Oct 2018 A	70,000,000	2018/06/14	2018/10/24	19.81
19032	UBS AG- CC Bank European Warrants Nov 2018 A	70,000,000	2018/06/14	2018/11/27	11.41
19025	UBS AG- China Life European Warrants Jan 2019 B	100,000,000	2018/06/14	2019/01/28	21.10
19026	UBS AG- China Life European Warrants Mar 2019 A	100,000,000	2018/06/14	2019/03/26	15.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日(百萬港元)	集資金額
19045	UBS AG- CSPC Pharma European Put Warrants Dec 2018 E	30,000,000	2018/06/14	2018/12/13	10.89
19006	UBS AG- Geely Auto European Warrants Dec 2018 A	50,000,000	2018/06/14	2018/12/20	10.85
19043	UBS AG- Tencent European Put Warrants Oct 2018 F	200,000,000	2018/06/14	2018/10/19	51.80
18899	Bank Vontobel- CNBM European Warrants Sep 2019 A	60,000,000	2018/06/14	2019/09/25	13.44
18892	Bank Vontobel- HKEX European Warrants Oct 2018 B	100,000,000	2018/06/14	2018/10/26	16.80
18893	Bank Vontobel- Kingsoft European Warrants Jan 2019 A	40,000,000	2018/06/14	2019/01/04	12.48
18900	Bank Vontobel- Lenovo European Warrants Jan 2019 A	40,000,000	2018/06/14	2019/01/04	10.08
21428 #	J P Morgan SP BV- HSI European Put Warrants Sep 2018 C	200,000,000	2018/06/14	2018/09/27	20.00
19292	BOCI Asia Ltd.- AIA European Warrants Nov 2018 A	80,000,000	2018/06/15	2018/11/23	20.08
19279	BOCI Asia Ltd.- Sands China Ltd European Warrants Nov 2018B	60,000,000	2018/06/15	2018/11/23	15.24
19316	BNP Paribas Issuance B.V.- Ali Health European Wts Jan 19 A	80,000,000	2018/06/15	2019/01/03	20.00
19324	Credit Suisse AG- China Life European Warrants Jan 2019 B	120,000,000	2018/06/15	2019/01/28	22.80
19326	Credit Suisse AG- HSBC European Wts Nov 2018 B	100,000,000	2018/06/15	2018/11/13	17.00
19325	Credit Suisse AG- ICBC European Warrants Nov 2018 B	70,000,000	2018/06/15	2018/11/23	11.90
19317	Credit Suisse AG- Ping An European Put Warrants Oct 2018 F	70,000,000	2018/06/15	2018/10/23	21.00
19405	Goldman Sachs SP (Asia)- CNOOC European Warrants Oct 2018 D	80,000,000	2018/06/15	2018/10/25	35.12
19403	Goldman Sachs SP (Asia)- China Unicom Euro Wts Oct 2018 A	60,000,000	2018/06/15	2018/10/10	17.22
19393	Goldman Sachs SP (Asia)- HSBC European Warrants Nov 2018 B	80,000,000	2018/06/15	2018/11/05	14.96
19428	Goldman Sachs SP (Asia)- ICBC European Warrants Oct 2018 A	80,000,000	2018/06/15	2018/10/24	12.00
19441	Goldman Sachs SP (Asia)- Sunny Optical Euro Wts Sep 2018 D	70,000,000	2018/06/15	2018/09/20	10.50
19305	HK Bank- Fosun Intl European Warrants Dec 2018 A	50,000,000	2018/06/15	2018/12/31	12.50
19315	HK Bank- HKEX European Put Warrants Dec 2018 A	80,000,000	2018/06/15	2018/12/20	12.00
19268	HK Bank- HSI European Warrants Nov 2018 E	180,000,000	2018/06/15	2018/11/29	27.00
19314	HK Bank- Mengniu European Warrants Dec 2018 A	40,000,000	2018/06/15	2018/12/31	10.00
19309	HK Bank- Nine Dragons European Warrants Feb 2019 A	80,000,000	2018/06/15	2019/02/11	12.80
19382	J P Morgan SP BV- China Unicom European Warrants Oct 2018 A	100,000,000	2018/06/15	2018/10/16	24.90
19338	J P Morgan SP BV- PICC Group European Warrants Dec 2018 A	60,000,000	2018/06/15	2018/12/31	15.00
19350	J P Morgan SP BV- Tencent European Warrants Sep 2018 G	250,000,000	2018/06/15	2018/09/17	56.25
19364	J P Morgan SP BV- Tencent European Warrants Oct 2018 F	250,000,000	2018/06/15	2018/10/31	37.75
19381	J P Morgan SP BV- Tencent European Put Warrants Oct 2018 G	250,000,000	2018/06/15	2018/10/31	38.50
19392	J P Morgan SP BV- ZA Online European Warrants Nov 2018 A	30,000,000	2018/06/15	2018/11/21	14.85
19585	Credit Suisse AG- CNBM European Warrants Sep 2019 A	80,000,000	2018/06/19	2019/09/30	20.00
19569	Credit Suisse AG- HKEX European Put Warrants Dec 2018 B	100,000,000	2018/06/19	2018/12/20	15.00
19596	Credit Suisse AG- WH Group European Warrants Nov 2019 A	60,000,000	2018/06/19	2019/11/11	10.80
19610	Goldman Sachs SP (Asia)- Sinopec Corp Euro Wts Sep 2018 B	30,000,000	2018/06/19	2018/09/27	10.14
19605	Goldman Sachs SP (Asia)- Chi Res Land Euro Wts Oct 2018 B	70,000,000	2018/06/19	2018/10/26	10.50
19614	Goldman Sachs SP (Asia)- A50 China European Wts Oct 2018 A	80,000,000	2018/06/19	2018/10/30	37.52
19615	Goldman Sachs SP (Asia)- GAC European Warrants Oct 2018 A	70,000,000	2018/06/19	2018/10/18	10.50
19613	Goldman Sachs SP (Asia)- Ping An European Wts Oct 2018 F	100,000,000	2018/06/19	2018/10/11	44.60
19478	HK Bank- HSCEI European Warrants Jan 2019 A	100,000,000	2018/06/19	2019/01/30	16.00
19465	HK Bank- HSI European Warrants Dec 2018 D	180,000,000	2018/06/19	2018/12/28	36.00
19448	HK Bank- HSI European Put Warrants Oct 2018 D	180,000,000	2018/06/19	2018/10/30	27.00
19444	HK Bank- HSI European Put Warrants Dec 2018 C	180,000,000	2018/06/19	2018/12/28	27.00
19533	J P Morgan SP BV- CC Bank European Wts Dec 2018 A	100,000,000	2018/06/19	2018/12/31	26.30

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
19540	J P Morgan SP BV- Ch Molybdenum European Warrants Jan 2019A	30,000,000	2018/06/19	2019/01/02 15.99
19538	J P Morgan SP BV- Haier Elec European Warrants Jan 2019 A	40,000,000	2018/06/19	2019/01/02 14.60
19531	J P Morgan SP BV- ICBC European Warrants Dec 2018 C	100,000,000	2018/06/19	2018/12/31 64.90
19550	J P Morgan SP BV- ND Paper European Warrants Feb 2019 A	70,000,000	2018/06/19	2019/02/11 13.51
19522	J P Morgan SP BV- Sh Petrochem European Warrants Dec 2018 A	20,000,000	2018/06/19	2018/12/31 11.10
19521	Macquarie Bank Ltd.- Ch Comm Cons European Wts Aug 2019 A	12,000,000	2018/06/19	2019/08/02 10.24
19509	Macquarie Bank Ltd.- CNBM European Warrants Jan 2019 B	9,000,000	2018/06/19	2019/01/03 10.03
19511	Macquarie Bank Ltd.- Xinyi Solar European Warrants Jun 19 A	17,000,000	2018/06/19	2019/06/18 10.01
19618	SG Issuer- Chinares Cement European Warrants Dec 2018 C	100,000,000	2018/06/19	2018/12/18 25.00
19623	SG Issuer- Chinares Cement European Warrants Sep 2019 A	100,000,000	2018/06/19	2019/09/30 25.00
19479	Bank Vontobel- HSI European Warrants Feb 2019 A	100,000,000	2018/06/19	2019/02/27 25.20
19484	Bank Vontobel- HSI European Warrants Jun 2019 A	100,000,000	2018/06/19	2019/06/27 25.10
19501	Bank Vontobel- HSI European Put Warrants Mar 2019 B	100,000,000	2018/06/19	2019/03/28 25.10
19500	Bank Vontobel- HSI European Put Warrants Jun 2019 B	100,000,000	2018/06/19	2019/06/27 25.10
19758	Credit Suisse AG- Mengniu Dairy European Wts Dec 2018 A	40,000,000	2018/06/20	2018/12/31 10.00
19753	Credit Suisse AG- Xinyi Solar European Warrants Dec 2018 B	25,000,000	2018/06/20	2018/12/19 10.00
19830	Goldman Sachs SP (Asia)- Galaxy Ent European Wts Oct 2018 B	80,000,000	2018/06/20	2018/10/24 15.76
19844	Goldman Sachs SP (Asia)- HKEX European Warrants Oct 2018 H	150,000,000	2018/06/20	2018/10/26 22.50
19808	Goldman Sachs SP (Asia)- HSI European Put Wts Nov 2018 D	150,000,000	2018/06/20	2018/11/29 22.50
19819	Goldman Sachs SP (Asia)- Tracker Fund European Wts Dec 18 A	70,000,000	2018/06/20	2018/12/20 10.50
19822	Goldman Sachs SP (Asia)- Tracker Fund European Put Wts Dec18B	70,000,000	2018/06/20	2018/12/20 10.50
19636	HK Bank- Chinares Cement European Warrants Dec 2018 C	100,000,000	2018/06/20	2018/12/31 25.00
19695	HK Bank- Chinares Cement European Warrants Dec 2018 D	100,000,000	2018/06/20	2018/12/04 18.00
19681	Haitong Int'l Sec- China Unicom European Warrants Nov 2018B	20,000,000	2018/06/20	2018/11/26 17.00
19646	Haitong Int'l Sec- China Unicom European Warrants Jun 2019A	80,000,000	2018/06/20	2019/06/28 13.60
19689	Haitong Int'l Sec- KB Laminates European Warrants Dec 2018A	80,000,000	2018/06/20	2018/12/20 21.60
19692	Haitong Int'l Sec- KB Laminates European Warrants Dec 2018B	80,000,000	2018/06/20	2018/12/28 15.20
19690	Haitong Int'l Sec- KB Laminates European Warrants Feb 2019A	80,000,000	2018/06/20	2019/02/28 20.00
19750	J P Morgan SP BV- HSBC European Warrants Dec 2018 C	100,000,000	2018/06/20	2018/12/31 25.10
19720	J P Morgan SP BV- Shenzhen Invest European Warrants Dec 18A	30,000,000	2018/06/20	2018/12/31 10.26
19703	Macquarie Bank Ltd.- CM Bank European Put Warrants Apr 19 A	40,000,000	2018/06/20	2019/04/02 10.00
19698	Macquarie Bank Ltd.- Kingsoft European Put Warrants Apr 19A	34,000,000	2018/06/20	2019/04/02 10.06
19701	Macquarie Bank Ltd.- Lenovo European Put Warrants Mar 2019A	20,000,000	2018/06/20	2019/03/04 10.00
19798	SG Issuer- Mengniu Dairy European Warrants Sep 2018 A	55,000,000	2018/06/20	2018/09/28 10.18
19803	SG Issuer- Mengniu Dairy European Warrants Dec 2018 A	40,000,000	2018/06/20	2018/12/31 10.00
19777	SG Issuer- Sunny Optical European Warrants Sep 2018 B	50,000,000	2018/06/20	2018/09/28 10.00
19781	SG Issuer- Sunny Optical European Warrants Dec 2018 B	50,000,000	2018/06/20	2018/12/31 12.50
19789	SG Issuer- Tencent European Put Warrants Oct 2018 F	150,000,000	2018/06/20	2018/10/31 33.75
19705	UBS AG- BOC HK European Warrants Jan 2019 A	60,000,000	2018/06/20	2019/01/28 15.00
19707	UBS AG- CKH Holdings European Warrants Nov 2018 A	40,000,000	2018/06/20	2018/11/23 20.88
19709	UBS AG- Li Ning European Warrants Jun 2019 B	50,000,000	2018/06/20	2019/06/11 10.00
19708	UBS AG- Mengniu Dairy European Warrants Dec 2018 B	70,000,000	2018/06/20	2018/12/19 17.71
19706	UBS AG- Sunart Retail European Warrants Jan 2019 A	40,000,000	2018/06/20	2019/01/31 11.16
19715	UBS AG- Tsingtao Brew European Warrants Nov 2018 A	30,000,000	2018/06/20	2018/11/08 17.85

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
19711	UBS AG- WH Group European Warrants Nov 2019 A	50,000,000	2018/06/20	2019/11/11 11.40
19712	UBS AG- WuXi Bio European Warrants Dec 2018 A	40,000,000	2018/06/20	2018/12/11 10.00
19899	BOCI Asia Ltd.- Agricultural Bank European Wts Nov 2018 A	60,000,000	2018/06/21	2018/11/23 14.82
19901	BOCI Asia Ltd.- Agricultural Bank European Wts Feb 2019 A	60,000,000	2018/06/21	2019/02/18 13.62
19889	BOCI Asia Ltd.- Bank of China European Warrants Dec 2018 C	80,000,000	2018/06/21	2018/12/28 13.12
19896	BOCI Asia Ltd.- Bank of China European Warrants Dec 2018 D	80,000,000	2018/06/21	2018/12/20 18.96
19885	BOCI Asia Ltd.- Mengniu Dairy European Warrants Nov 2018 A	50,000,000	2018/06/21	2018/11/27 17.15
19882	BOCI Asia Ltd.- SMIC European Warrants Mar 2019 A	80,000,000	2018/06/21	2019/03/29 20.24
19963	Credit Suisse AG- Ch Molybdenum European Wts Dec 2018 A	30,000,000	2018/06/21	2018/12/20 19.50
19931	Credit Suisse AG- Genscript Bio European Warrants Dec 2018A	30,000,000	2018/06/21	2018/12/20 18.00
19940	Credit Suisse AG- Hua Hong Semi European Warrants Dec 2018A	30,000,000	2018/06/21	2018/12/20 15.00
19968	Credit Suisse AG- KB Laminates European Warrants Dec 2018 B	60,000,000	2018/06/21	2018/12/20 15.00
20022	Goldman Sachs SP (Asia)- CKA European Warrants Nov 2018 A	50,000,000	2018/06/21	2018/11/23 10.40
20020	Goldman Sachs SP (Asia)- China Lit European Warrants May19A	80,000,000	2018/06/21	2019/05/30 20.00
19997	Goldman Sachs SP (Asia)- ICBC European Warrants Sep 2018 E	80,000,000	2018/06/21	2018/09/24 12.00
20012	Goldman Sachs SP (Asia)- Mengniu Dairy Euro Wt Sep 2018 A	70,000,000	2018/06/21	2018/09/21 10.50
20026	Goldman Sachs SP (Asia)- Ping An European Wts Nov 2018 A	100,000,000	2018/06/21	2018/11/23 17.90
19855	HK Bank- China Lit European Warrants Jun 2019 B	100,000,000	2018/06/21	2019/06/28 25.00
19857	HK Bank- Chinares Cement European Warrants Jan 2019 A	100,000,000	2018/06/21	2019/01/31 25.00
19978	J P Morgan SP BV- ZTE European Warrants Dec 2018 A	50,000,000	2018/06/21	2018/12/20 13.45
19908	Macquarie Bank Ltd.- Minsheng Bank European Wts Jan 2019 A	20,000,000	2018/06/21	2019/01/03 10.00
19905	Macquarie Bank Ltd.- China CRSC European Warrants Jun 2019A	7,000,000	2018/06/21	2019/06/14 10.82
19925	Macquarie Bank Ltd.- Virscend Edu European Warrants Apr 20A	40,000,000	2018/06/21	2020/04/16 10.20
19902	Macquarie Bank Ltd.- YuHua Edu European Warrants Nov 2019 A	40,000,000	2018/06/21	2019/11/18 10.08
19919	Macquarie Bank Ltd.- ZTE European Warrants Apr 2019 A	32,000,000	2018/06/21	2019/04/15 10.11
19914	Macquarie Bank Ltd.- ZTE European Warrants Jun 2019 A	24,000,000	2018/06/21	2019/06/14 10.10
19980	SG Issuer- BBMG European Warrants Dec 2018 A	20,000,000	2018/06/21	2018/12/20 10.00
19988	SG Issuer- China Overseas European Warrants Dec 2018 A	40,000,000	2018/06/21	2018/12/31 10.00
19990	SG Issuer- SMIC European Warrants Dec 2018 C	70,000,000	2018/06/21	2018/12/14 10.50
19904	UBS AG- Hua Hong Semi European Warrants Dec 2018 B	25,000,000	2018/06/21	2018/12/20 12.83
19903	UBS AG- ZTE European Warrants Dec 2018 A	40,000,000	2018/06/21	2018/12/20 13.16
19995	UBS AG- ZTE European Warrants Jan 2019 A	40,000,000	2018/06/21	2019/01/14 15.44
19991	UBS AG- ZTE European Warrants Feb 2019 A	40,000,000	2018/06/21	2019/02/18 11.72
19880	Bank Vontobel- Bank of China European Warrants Jan 2019 A	60,000,000	2018/06/21	2019/01/04 21.00
19864	Bank Vontobel- CC Bank European Warrants Sep 2018 C	50,000,000	2018/06/21	2018/09/24 17.45
19866	Bank Vontobel- CITIC Sec European Warrants Jan 2019 B	50,000,000	2018/06/21	2019/01/04 12.55
19929	Bank Vontobel- China Overseas European Warrants Jan 2019 A	50,000,000	2018/06/21	2019/01/04 12.60
19874	Bank Vontobel- Tencent European Warrants Oct 2018 G	100,000,000	2018/06/21	2018/10/22 39.00
20098	Credit Suisse AG- ZTE European Warrants Dec 2018 A	40,000,000	2018/06/22	2018/12/21 15.20
20099	Credit Suisse AG- ZTE European Warrants Dec 2018 B	40,000,000	2018/06/22	2018/12/21 12.00
20292	Goldman Sachs SP (Asia)- Anhui Conch European Wts Oct 2018A	40,000,000	2018/06/22	2018/10/25 10.00
20285	Goldman Sachs SP (Asia)- Bank of China European Wts Dec 18B	80,000,000	2018/06/22	2018/12/24 20.00
20279	Goldman Sachs SP (Asia)- CC Bank European Wts Sep 2018 G	80,000,000	2018/06/22	2018/09/26 28.40
20297	Goldman Sachs SP (Asia)- CNBM European Warrants Oct 2018 A	40,000,000	2018/06/22	2018/10/26 35.28

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
20283	Goldman Sachs SP (Asia)- ICBC European Warrants Dec 2018 A	80,000,000	2018/06/22	2018/12/24 22.88
20073	HK Bank- Agricultural Bank European Warrants Dec 2018 A	80,000,000	2018/06/22	2018/12/31 12.00
20061	HK Bank- BOC HK European Warrants Dec 2018 A	80,000,000	2018/06/22	2018/12/31 20.00
20038	HK Bank- Bank of China European Warrants Dec 2018 A	80,000,000	2018/06/22	2018/12/31 20.00
20055	HK Bank- CC Bank European Warrants Dec 2018 A	80,000,000	2018/06/22	2018/12/31 28.00
20035	HK Bank- ICBC European Warrants Dec 2018 A	80,000,000	2018/06/22	2018/12/31 20.00
20033	HK Bank- Kingdee Intl European Warrants Feb 2019 A	100,000,000	2018/06/22	2019/02/21 15.00
20088	HK Bank- SMIC European Warrants Dec 2018 B	100,000,000	2018/06/22	2018/12/31 15.00
20172	Haitong Int'l Sec- Angang Steel European Warrants Dec 2018B	20,000,000	2018/06/22	2018/12/24 16.40
20215	Haitong Int'l Sec- Bank of China European Warrants Jan 19 A	100,000,000	2018/06/22	2019/01/31 15.00
20205	Haitong Int'l Sec- Ch Comm Cons European Warrants Dec 2018A	20,000,000	2018/06/22	2018/12/24 10.20
20209	Haitong Int'l Sec- Ch Comm Cons European Warrants Dec 2018B	40,000,000	2018/06/22	2018/12/24 13.20
20108	Haitong Int'l Sec- CKH Holdings European Warrants Nov 2018A	38,000,000	2018/06/22	2018/11/02 12.54
20210	Haitong Int'l Sec- Minsheng Bank European Warrants Mar 19 A	20,000,000	2018/06/22	2019/03/28 21.20
20214	Haitong Int'l Sec- Minsheng Bank European Warrants May 19 B	20,000,000	2018/06/22	2019/05/20 16.60
20165	Haitong Int'l Sec- Galaxy Ent European Warrants Oct 2018 A	50,000,000	2018/06/22	2018/10/30 22.00
20171	Haitong Int'l Sec- Galaxy Ent European Warrants Oct 2018 B	40,000,000	2018/06/22	2018/10/24 10.00
20201	Haitong Int'l Sec- Meitu European Warrants Feb 2019 A	80,000,000	2018/06/22	2019/02/21 14.40
20135	Haitong Int'l Sec- SHK Ppt European Warrants Dec 2018 A	25,000,000	2018/06/22	2018/12/21 10.25
20176	Haitong Int'l Sec- Tencent European Put Warrants Dec 2018 E	100,000,000	2018/06/22	2018/12/24 28.00
20184	Haitong Int'l Sec- ZTE European Warrants Dec 2018 A	80,000,000	2018/06/22	2018/12/31 24.80
20189	Haitong Int'l Sec- ZTE European Warrants Dec 2018 B	80,000,000	2018/06/22	2018/12/31 20.00
20231	J P Morgan SP BV- Bank of China European Put Wts Feb 2019 A	100,000,000	2018/06/22	2019/02/01 25.30
20270	J P Morgan SP BV- CKH Holdings European Warrants Jan 2019 A	100,000,000	2018/06/22	2019/01/03 41.20
20232	J P Morgan SP BV- CM Bank European Warrants Jan 2019 A	100,000,000	2018/06/22	2019/01/03 25.30
20218	J P Morgan SP BV- Genscript Bio European Warrants Jan 2019A	40,000,000	2018/06/22	2019/01/03 14.72
20273	J P Morgan SP BV- HKEX European Warrants Dec 2018 C	200,000,000	2018/06/22	2018/12/20 30.00
20221	J P Morgan SP BV- ICBC European Put Wts Dec 2018 D	100,000,000	2018/06/22	2018/12/31 25.70
20095	UBS AG- Ch Molybdenum European Warrants Dec 2018 A	30,000,000	2018/06/22	2018/12/21 17.67
20097	UBS AG- HSCEI European Put Warrants Sep 2018 B	100,000,000	2018/06/22	2018/09/27 25.00
20377	Credit Suisse AG- CKH Holdings European Warrants Nov 2018 A	50,000,000	2018/06/25	2018/11/22 17.50
20372	Credit Suisse AG- HKEX European Warrants Dec 2018 C	200,000,000	2018/06/25	2018/12/20 30.00
20384	Credit Suisse AG- SMIC European Warrants Dec 2018 A	60,000,000	2018/06/25	2018/12/14 10.02
20483	Goldman Sachs SP (Asia)- CM Bank European Wts Nov 2018 A	70,000,000	2018/06/25	2018/11/23 10.50
20501	Goldman Sachs SP (Asia)- China Tel European Wts Oct 2018 B	60,000,000	2018/06/25	2018/10/26 11.64
20486	Goldman Sachs SP (Asia)- Lenovo European Wts Oct 2018 C	60,000,000	2018/06/25	2018/10/25 15.96
20513	Goldman Sachs SP (Asia)- Ping An European Wts Oct 2018 G	100,000,000	2018/06/25	2018/10/29 15.00
20519	Goldman Sachs SP (Asia)- Ping An European Wts Nov 2018 B	100,000,000	2018/06/25	2018/11/23 42.10
20393	J P Morgan SP BV- China Mobile European Wts Dec 2018 B	100,000,000	2018/06/25	2018/12/31 25.20
20408	J P Morgan SP BV- CNOOC European Warrants Sep 2019 A	200,000,000	2018/06/25	2019/09/30 30.20
20404	J P Morgan SP BV- Sinopec Corp European Warrants Dec 2018 A	100,000,000	2018/06/25	2018/12/31 38.60
20398	J P Morgan SP BV- GAC European Warrants Dec 2019 A	60,000,000	2018/06/25	2019/12/20 12.00
20386	J P Morgan SP BV- HSI European Warrants Jan 2019 D	300,000,000	2018/06/25	2019/01/30 75.60
20345	Macquarie Bank Ltd.- BBMG European Warrants Jan 2019 A	20,000,000	2018/06/25	2019/01/16 10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
20352	Macquarie Bank Ltd.- CM Bank European Warrants Jul 2019 A	40,000,000	2018/06/25	2019/07/03 10.00
20348	Macquarie Bank Ltd.- Huadian Fuxin European Warrants May19A	30,000,000	2018/06/25	2019/05/16 10.02
20411	SG Issuer- CC Bank European Warrants Dec 2018 A	60,000,000	2018/06/25	2018/12/31 22.80
20418	SG Issuer- China EB Ltd European Warrants Dec 2018 A	60,000,000	2018/06/25	2018/12/20 10.50
20420	SG Issuer- China Mobile European Warrants Oct 2018 A	50,000,000	2018/06/25	2018/10/31 10.50
20427	SG Issuer- Ch Molybdenum European Warrants Dec 2018 B	15,000,000	2018/06/25	2018/12/31 10.95
20431	SG Issuer- HKEX European Warrants Oct 2018 E	150,000,000	2018/06/25	2018/10/31 22.50
20436	SG Issuer- ICBC European Warrants Dec 2018 A	60,000,000	2018/06/25	2018/12/31 18.30
20480	SG Issuer- Meitu European Warrants Mar 2019 A	80,000,000	2018/06/25	2019/03/08 13.60
20354	UBS AG- China Lit European Warrants May 2019 A	100,000,000	2018/06/25	2019/05/30 25.00
20355	UBS AG- GAC European Warrants Dec 2018 B	11,000,000	2018/06/25	2018/12/28 13.73
20353	UBS AG- HK & China Gas European Warrants Dec 2018 A	16,000,000	2018/06/25	2018/12/28 10.54
20362	UBS AG- HSI European Warrants Dec 2018 B	200,000,000	2018/06/25	2018/12/28 50.00
20365	UBS AG- HSI European Put Warrants Sep 2018 E	200,000,000	2018/06/25	2018/09/27 31.20
20366	UBS AG- HSI European Put Warrants Nov 2018 C	200,000,000	2018/06/25	2018/11/29 41.20
20361	UBS AG- MTR Corporation European Warrants Oct 2018 A	60,000,000	2018/06/25	2018/10/24 10.26
20312	Bank Vontobel- BOC HK European Warrants Mar 2019 A	50,000,000	2018/06/25	2019/03/25 12.60
20327	Bank Vontobel- Evergrande European Warrants Jan 2019 A	40,000,000	2018/06/25	2019/01/04 12.32
20341	Bank Vontobel- Greatwall Motor European Warrants Dec 2018 A	15,000,000	2018/06/25	2018/12/24 13.49
20340	Bank Vontobel- HKEX European Warrants Mar 2019 A	100,000,000	2018/06/25	2019/03/01 18.00
20310	Bank Vontobel- Ping An European Put Warrants Jan 2019 A	40,000,000	2018/06/25	2019/01/03 20.00
20806	BOCI Asia Ltd.- AIA European Warrants Dec 2018 A	80,000,000	2018/06/26	2018/12/28 29.92
20561	BOCI Asia Ltd.- CAM CSI300 European Warrants Nov 2018 A	65,000,000	2018/06/26	2018/11/29 10.21
20812	BOCI Asia Ltd.- CSPC Pharma European Warrants Nov 2018 C	60,000,000	2018/06/26	2018/11/23 18.30
20558	BOCI Asia Ltd.- A50 China European Warrants Nov 2018 A	40,000,000	2018/06/26	2018/11/26 10.60
20811	BOCI Asia Ltd.- Galaxy Ent European Warrants Dec 2018 A	50,000,000	2018/06/26	2018/12/06 16.95
20802	BOCI Asia Ltd.- HKEX European Warrants Nov 2018 C	150,000,000	2018/06/26	2018/11/27 23.25
20803	BOCI Asia Ltd.- HSBC European Warrants Nov 2018 C	100,000,000	2018/06/26	2018/11/27 19.80
20562	BOCI Asia Ltd.- HSCEI ETF European Warrants Dec 2018 A	40,000,000	2018/06/26	2018/12/06 13.08
20782	Credit Suisse AG- CITIC Sec European Warrants Nov 2018 C	60,000,000	2018/06/26	2018/11/23 10.02
20775	Credit Suisse AG- China Life European Warrants Oct 2018 C	120,000,000	2018/06/26	2018/10/22 20.40
20771	Credit Suisse AG- China Mobile European Warrants Oct 2018 A	50,000,000	2018/06/26	2018/10/31 10.00
20785	Credit Suisse AG- Greatwall Motor European Wts Dec 2018 A	20,000,000	2018/06/26	2018/12/27 15.00
20774	Credit Suisse AG- HKEX European Warrants Sep 2018 G	200,000,000	2018/06/26	2018/09/26 33.00
20783	Credit Suisse AG- Haitong Sec European Warrants Dec 2018 A	25,000,000	2018/06/26	2018/12/27 20.00
20801	Credit Suisse AG- Tencent European Wts Sep 2018 J	250,000,000	2018/06/26	2018/09/26 75.00
20765	Credit Suisse AG- Tencent European Wts Oct 2018 F	250,000,000	2018/06/26	2018/10/19 65.00
21003	Goldman Sachs SP (Asia)- AAC Tech Euro Wts Nov 2018 A	60,000,000	2018/06/26	2018/11/26 10.02
20998	Goldman Sachs SP (Asia)- CKH Holdings European Wts Oct 18 A	40,000,000	2018/06/26	2018/10/25 12.08
20983	Goldman Sachs SP (Asia)- CNOOC European Warrants Oct 2018 E	60,000,000	2018/06/26	2018/10/10 35.34
20990	Goldman Sachs SP (Asia)- Galaxy Ent European Wts Dec 2018 A	60,000,000	2018/06/26	2018/12/06 16.74
20980	Goldman Sachs SP (Asia)- HSI European Warrants Dec 2018 A	150,000,000	2018/06/26	2018/12/28 22.50
10019	Haitong Int'l Sec- Baba European Warrants Jan 2019 A	8,000,000	2018/06/26	2019/01/18 20.23
10020	Haitong Int'l Sec- Baba European Warrants Jan 2019 B	8,000,000	2018/06/26	2019/01/18 15.89

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
10021	Haitong Int'l Sec- Baba European Put Warrants Jan 2019 C	8,000,000	2018/06/26	2019/01/18 15.25
20884	J P Morgan SP BV- Agricultural Bank Euro Warrants Jan 2019A	100,000,000	2018/06/26	2019/01/02 25.00
20902	J P Morgan SP BV- AIA European Warrants Dec 2018 A	100,000,000	2018/06/26	2018/12/31 32.50
20840	J P Morgan SP BV- CAM CSI300 European Warrants Feb 2019 A	100,000,000	2018/06/26	2019/02/01 25.10
20940	J P Morgan SP BV- BBMG European Warrants Jan 2019 A	40,000,000	2018/06/26	2019/01/02 14.64
20877	J P Morgan SP BV- Bank of China European Warrants Jan 2019A	100,000,000	2018/06/26	2019/01/02 25.10
20847	J P Morgan SP BV- CC Bank European Wts Oct 2018 A	100,000,000	2018/06/26	2018/10/02 22.40
20852	J P Morgan SP BV- CC Bank European Wts Dec 2018 B	100,000,000	2018/06/26	2018/12/31 26.50
20947	J P Morgan SP BV- CGN Power European Warrants Jan 2019 A	60,000,000	2018/06/26	2019/01/02 15.06
20930	J P Morgan SP BV- CICC European Warrants Jan 2019 A	50,000,000	2018/06/26	2019/01/02 12.55
20972	J P Morgan SP BV- COSCO Ship Dev European Warrants Mar 19 A	40,000,000	2018/06/26	2019/03/04 10.04
20921	J P Morgan SP BV- GF Sec European Warrants Apr 2019 A	70,000,000	2018/06/26	2019/04/30 10.64
20649	J P Morgan SP BV- HSCEI European Wts Mar 2019 A	200,000,000	2018/06/26	2019/03/28 50.00
20892	J P Morgan SP BV- HSI European Warrants Nov 2018 E	300,000,000	2018/06/26	2018/11/29 45.90
20853	J P Morgan SP BV- ICBC European Warrants Jan 2019 A	100,000,000	2018/06/26	2019/01/02 25.50
20909	J P Morgan SP BV- Meitu European Warrants Mar 2019 A	70,000,000	2018/06/26	2019/03/08 10.50
20905	J P Morgan SP BV- Sunny Optical European Warrants Jan 2019A	100,000,000	2018/06/26	2019/01/02 25.10
20634	J P Morgan SP BV- ZTE European Warrants Dec 2018 B	40,000,000	2018/06/26	2018/12/31 12.96
20615	Macquarie Bank Ltd.- CC Bank European Warrants Jan 2019 A	34,000,000	2018/06/26	2019/01/03 10.03
20598	Macquarie Bank Ltd.- CITIC Sec European Warrants Mar 2019 A	9,000,000	2018/06/26	2019/03/04 10.84
20571	Macquarie Bank Ltd.- HKEX European Warrants Jan 2019 A	7,000,000	2018/06/26	2019/01/03 11.49
20579	Macquarie Bank Ltd.- HKEX European Warrants Jan 2019 B	11,000,000	2018/06/26	2019/01/03 10.48
20581	Macquarie Bank Ltd.- HKEX European Warrants Jan 2019 C	40,000,000	2018/06/26	2019/01/03 10.00
20588	Macquarie Bank Ltd.- HKEX European Warrants Jan 2019 D	20,000,000	2018/06/26	2019/01/03 10.18
20618	Macquarie Bank Ltd.- Ping An European Warrants Jan 2019 C	20,000,000	2018/06/26	2019/01/03 11.28
20620	Macquarie Bank Ltd.- Ping An European Warrants Jan 2019 D	30,000,000	2018/06/26	2019/01/03 10.08
21038	SG Issuer- Conch Cement European Warrants Dec 2018 A	25,000,000	2018/06/26	2018/12/31 15.50
21097	SG Issuer- Agricultural Bank European Warrants Nov 2018 A	70,000,000	2018/06/26	2018/11/30 11.20
21009	SG Issuer- AIA European Warrants Sep 2018 C	60,000,000	2018/06/26	2018/09/28 18.30
21034	SG Issuer- CAM CSI300 European Warrants Dec 2018 B	60,000,000	2018/06/26	2018/12/31 15.00
21011	SG Issuer- BOC HK European Warrants Dec 2018 A	40,000,000	2018/06/26	2018/12/31 10.00
21042	SG Issuer- CC Bank European Warrants Sep 2018 B	40,000,000	2018/06/26	2018/09/28 10.80
21050	SG Issuer- China Life European Warrants Oct 2018 D	120,000,000	2018/06/26	2018/10/31 23.40
21101	SG Issuer- China Life European Warrants Jan 2019 A	120,000,000	2018/06/26	2019/01/31 18.00
21104	SG Issuer- Sinopec Corp European Warrants Dec 2018 A	40,000,000	2018/06/26	2018/12/31 16.20
21059	SG Issuer- China Unicom European Warrants Sep 2018 A	18,000,000	2018/06/26	2018/09/28 10.08
21063	SG Issuer- Geely Auto European Warrants Oct 2018 B	50,000,000	2018/06/26	2018/10/31 14.00
21062	SG Issuer- Galaxy Ent European Warrants Oct 2018 D	40,000,000	2018/06/26	2018/10/31 10.20
21064	SG Issuer- HKEX European Warrants Sep 2018 E	150,000,000	2018/06/26	2018/09/28 24.00
21028	SG Issuer- HSBC European Warrants Oct 2018 C	80,000,000	2018/06/26	2018/10/31 16.80
21126	SG Issuer- HSI European Warrants Dec 2018 F	150,000,000	2018/06/26	2018/12/28 37.50
21128	SG Issuer- HSI European Warrants Dec 2018 G	150,000,000	2018/06/26	2018/12/28 37.50
21083	SG Issuer- Jiangxi Copper European Warrants Feb 2019 A	70,000,000	2018/06/26	2019/02/28 13.65
21086	SG Issuer- PetroChina European Warrants Dec 2018 A	40,000,000	2018/06/26	2018/12/31 20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
21105	SG Issuer- Ping An European Warrants Sep 2018 H	80,000,000	2018/06/26	2018/09/28	30.80
21125	SG Issuer- Ping An European Warrants Oct 2018 D	80,000,000	2018/06/26	2018/10/31	14.00
20546	UBS AG- CC Bank European Warrants Sep 2018 B	70,000,000	2018/06/26	2018/09/28	16.38
20548	UBS AG- CC Bank European Warrants Dec 2018 B	70,000,000	2018/06/26	2018/12/28	23.59
20724	UBS AG- China Life European Warrants Oct 2018 B	200,000,000	2018/06/26	2018/10/22	34.20
20687	UBS AG- Sinopec Corp European Warrants Sep 2018 A	60,000,000	2018/06/26	2018/09/26	10.86
20695	UBS AG- Sinopec Corp European Warrants Oct 2018 C	50,000,000	2018/06/26	2018/10/08	26.90
20733	UBS AG- Galaxy Ent European Warrants Nov 2018 A	70,000,000	2018/06/26	2018/11/02	10.57
20734	UBS AG- Galaxy Ent European Warrants Dec 2018 B	50,000,000	2018/06/26	2018/12/03	14.30
20705	UBS AG- HKEX European Warrants Nov 2018 B	300,000,000	2018/06/26	2018/11/05	45.30
20536	UBS AG- HSI European Warrants Nov 2018 D	200,000,000	2018/06/26	2018/11/29	38.40
20525	UBS AG- HSI European Warrants Dec 2018 C	200,000,000	2018/06/26	2018/12/28	50.00
20537	UBS AG- HSI European Warrants Dec 2018 D	200,000,000	2018/06/26	2018/12/28	32.40
20663	UBS AG- HSI European Put Warrants Nov 2018 E	200,000,000	2018/06/26	2018/11/29	34.40
20669	UBS AG- HSI European Put Warrants Dec 2018 E	200,000,000	2018/06/26	2018/12/28	50.00
20670	UBS AG- ICBC European Warrants Dec 2018 B	70,000,000	2018/06/26	2018/12/28	19.67
20549	UBS AG- PetroChina European Warrants Oct 2018 C	50,000,000	2018/06/26	2018/10/12	21.85
20764	UBS AG- Sands China Ltd European Warrants Sep 2018 B	40,000,000	2018/06/26	2018/09/26	12.80
20758	UBS AG- Sands China Ltd European Warrants Oct 2018 B	50,000,000	2018/06/26	2018/10/03	10.35
20731	UBS AG- Wynn Macau European Warrants Dec 2018 B	40,000,000	2018/06/26	2018/12/28	12.52
20550	UBS AG- ZTE European Warrants Dec 2018 B	30,000,000	2018/06/26	2018/12/28	13.89
20629	Bank Vontobel- CC Bank European Warrants Sep 2018 D	50,000,000	2018/06/26	2018/09/26	17.50
20624	Bank Vontobel- CM Bank European Warrants Dec 2018 B	40,000,000	2018/06/26	2018/12/03	10.80
20627	Bank Vontobel- Tencent European Warrants Sep 2018 E	100,000,000	2018/06/26	2018/09/26	28.00
21294	BOCI Asia Ltd.- Genscript Bio European Warrants Nov 2018 A	40,000,000	2018/06/27	2018/11/27	14.08
21290	BOCI Asia Ltd.- Kunlun Energy European Warrants Nov 2018 A	40,000,000	2018/06/27	2018/11/20	12.88
21273	BOCI Asia Ltd.- Meitu European Warrants Mar 2019 A	100,000,000	2018/06/27	2019/03/08	16.60
21323	BOCI Asia Ltd.- Nine Dragons European Warrants Nov 2018 A	70,000,000	2018/06/27	2018/11/23	12.53
21303	Credit Suisse AG- Agricultural Bank European Wts Nov 2018 A	60,000,000	2018/06/27	2018/11/23	10.02
21302	Credit Suisse AG- AIA European Warrants Sep 2018 D	70,000,000	2018/06/27	2018/09/26	17.50
21317	Credit Suisse AG- Galaxy Ent European Warrants Dec 2018 A	50,000,000	2018/06/27	2018/12/06	17.50
21318	Credit Suisse AG- Jiangxi Copper European Wts Jan 2019 A	80,000,000	2018/06/27	2019/01/21	12.00
21306	Credit Suisse AG- PetroChina European Warrants Sep 2018 A	50,000,000	2018/06/27	2018/09/26	10.00
21144	HK Bank- CAM CSI300 European Warrants Nov 2018 A	100,000,000	2018/06/27	2018/11/30	15.00
21157	HK Bank- HKEX European Warrants Nov 2018 B	100,000,000	2018/06/27	2018/11/30	15.00
21140	HK Bank- HSI European Warrants Nov 2018 F	100,000,000	2018/06/27	2018/11/29	15.00
21132	HK Bank- HSI European Put Warrants Dec 2018 E	100,000,000	2018/06/27	2018/12/28	25.00
21301	J P Morgan SP BV- BYD Company European Warrants Jan 2019 A	60,000,000	2018/06/27	2019/01/03	18.72
21187	Macquarie Bank Ltd.- BOCL European Put Warrants Mar 2019 A	40,000,000	2018/06/27	2019/03/04	10.00
21186	Macquarie Bank Ltd.- CC Bank European Put Wts Jan 2019 B	28,000,000	2018/06/27	2019/01/03	10.30
21191	Macquarie Bank Ltd.- CM Bank European Warrants Mar 2019 A	40,000,000	2018/06/27	2019/03/04	10.00
21185	Macquarie Bank Ltd.- China Mobile European Wts Jan 2019 B	24,500,000	2018/06/27	2019/01/03	10.02
21182	Macquarie Bank Ltd.- HSBC European Warrants Feb 2019 A	18,000,000	2018/06/27	2019/02/04	10.55
21184	Macquarie Bank Ltd.- HSBC European Warrants Dec 2019 A	24,500,000	2018/06/27	2019/12/31	10.12

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
21195	Macquarie Bank Ltd.- Kingsoft European Warrants Jan 2019 B	29,600,000	2018/06/27	2019/01/03 10.00
21329	SG Issuer- CHALCO European Warrants Dec 2018 A	22,000,000	2018/06/27	2018/12/31 10.01
21332	SG Issuer- CGS European Warrants Dec 2018 A	25,000,000	2018/06/27	2018/12/31 10.00
21345	SG Issuer- CITIC European Warrants Dec 2018 A	13,000,000	2018/06/27	2018/12/31 10.01
21350	SG Issuer- CKI Holdings European Warrants Dec 2018 A	30,000,000	2018/06/27	2018/12/31 10.05
21333	SG Issuer- China Lit European Warrants Jul 2019 A	100,000,000	2018/06/27	2019/07/31 20.00
21334	SG Issuer- China Mobile European Put Warrants Oct 2018 B	70,000,000	2018/06/27	2018/10/31 10.50
21330	SG Issuer- Cathay Pac Air Euro European Warrants Sep 2019 A	80,000,000	2018/06/27	2019/09/30 14.40
21353	SG Issuer- Galaxy Ent European Put Warrants Dec 2018 A	40,000,000	2018/06/27	2018/12/31 10.00
21355	SG Issuer- GF Sec European Warrants Dec 2018 B	9,000,000	2018/06/27	2018/12/31 10.08
21356	SG Issuer- Greatwall Motor European Warrants Dec 2018 A	13,000,000	2018/06/27	2018/12/31 10.01
21324	SG Issuer- HKEX European Warrants Dec 2018 E	150,000,000	2018/06/27	2018/12/31 22.50
21357	SG Issuer- Haitong Sec European Warrants Dec 2018 B	15,000,000	2018/06/27	2018/12/31 10.05
21358	SG Issuer- MGM China European Warrants Dec 2018 A	40,000,000	2018/06/27	2018/12/31 10.00
21325	SG Issuer- SMIC European Warrants Jan 2019 A	80,000,000	2018/06/27	2019/01/11 12.40
21359	SG Issuer- WH Group European Warrants Dec 2018 A	14,000,000	2018/06/27	2018/12/31 10.08
21223	UBS AG- Conch Cement European Warrants Sep 2018 B	35,000,000	2018/06/27	2018/09/28 10.96
21228	UBS AG- CHALCO European Warrants Dec 2018 A	20,000,000	2018/06/27	2018/12/28 10.08
21226	UBS AG- Bank of China European Warrants Dec 2018 C	70,000,000	2018/06/27	2018/12/20 10.64
21245	UBS AG- China East Air European Warrants Nov 2018 A	20,000,000	2018/06/27	2018/11/16 15.78
21232	UBS AG- CGS European Warrants Dec 2018 A	30,000,000	2018/06/27	2018/12/28 10.47
21222	UBS AG- GF Sec European Warrants Apr 2019 A	70,000,000	2018/06/27	2019/04/23 10.64
21231	UBS AG- R&F Ppt European Warrants Nov 2018 A	70,000,000	2018/06/27	2018/11/09 10.57
21196	UBS AG- Haitong Int'l European Warrants Dec 2018 A	20,000,000	2018/06/27	2018/12/28 10.06
21197	UBS AG- Meitu European Warrants Mar 2019 A	60,000,000	2018/06/27	2019/03/08 10.26
21204	UBS AG- Melco Int'l Dev European Warrants Dec 2018 A	40,000,000	2018/06/27	2018/12/27 10.08
21258	UBS AG- Stanchart European Warrants Dec 2018 A	20,000,000	2018/06/27	2018/12/28 10.88
21246	UBS AG- WH Group European Warrants Dec 2019 A	100,000,000	2018/06/27	2019/12/30 25.00
21262	UBS AG- Xinyi Glass European Warrants Mar 2019 A	60,000,000	2018/06/27	2019/03/22 10.86
21402	BNP Paribas Issuance B.V.- Conch Cement Euro Wt Jan 2019 A	40,000,000	2018/06/28	2019/01/03 14.00
21393	BNP Paribas Issuance B.V.- China Life Euro Wts Jul 2019 B	60,000,000	2018/06/28	2019/07/03 16.20
21391	BNP Paribas Issuance B.V.- Galaxy Ent Euro Wts Jan 2019 B	40,000,000	2018/06/28	2019/01/03 20.40
21387	BNP Paribas Issuance B.V.- PSBC European Warrants Jan 2019A	30,000,000	2018/06/28	2019/01/03 10.50
21386	BNP Paribas Issuance B.V.- Sinopharm Euro Wts Jan 2019 A	40,000,000	2018/06/28	2019/01/03 18.00
21392	BNP Paribas Issuance B.V.- SJM Holdings Eu Wts Jan 2019 A	30,000,000	2018/06/28	2019/01/03 27.90
21528	Credit Suisse AG- CHALCO European Wts Dec 2018 A	30,000,000	2018/06/28	2018/12/27 16.50
21525	Credit Suisse AG- BYD Company European Warrants Dec 2018 B	25,000,000	2018/06/28	2018/12/20 14.50
21532	Credit Suisse AG- Meitu European Warrants May 2019 A	60,000,000	2018/06/28	2019/05/24 15.00
21377	Goldman Sachs SP (Asia)- Agricultural Bank Euro Wts Nov 18A	80,000,000	2018/06/28	2018/11/26 12.00
21548	Goldman Sachs SP (Asia)- CAM CSI300 European Wts Dec 2018 B	80,000,000	2018/06/28	2018/12/28 20.00
21366	Goldman Sachs SP (Asia)- CC Bank European Wts Sep 2018 H	80,000,000	2018/06/28	2018/09/28 28.56
21376	Goldman Sachs SP (Asia)- China Life Euro Wts Oct 2018 C	80,000,000	2018/06/28	2018/10/22 12.00
21553	Goldman Sachs SP (Asia)- China Unicom Euro Wts Sep 2018 A	40,000,000	2018/06/28	2018/09/28 14.52
21378	Goldman Sachs SP (Asia)- Fosun Intl European Wts Dec 2018 A	70,000,000	2018/06/28	2018/12/20 10.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額	
		發行單位	上市日期	到期日(百萬港元)	
21552	Goldman Sachs SP (Asia)- HKEX European Warrants Nov 2018 C	150,000,000	2018/06/28	2018/11/27	22.50
21557	Goldman Sachs SP (Asia)- ICBC European Warrants Sep 2018 F	80,000,000	2018/06/28	2018/09/28	20.96
21367	Goldman Sachs SP (Asia)- Sands China Ltd Euro Wts Sep 2018B	80,000,000	2018/06/28	2018/09/28	20.96
21551	Goldman Sachs SP (Asia)- Sunny Optical Euro Wts Oct 2018 B	70,000,000	2018/06/28	2018/10/15	10.50
21405	HK Bank- AIA European Warrants Dec 2018 A	80,000,000	2018/06/28	2018/12/31	20.00
21413	HK Bank- AIA European Put Warrants Dec 2018 B	80,000,000	2018/06/28	2018/12/31	20.00
21403	HK Bank- HSI European Warrants Dec 2018 F	180,000,000	2018/06/28	2018/12/28	45.00
21496	J P Morgan SP BV- China Life European Warrants Oct 2018 C	100,000,000	2018/06/28	2018/10/31	16.20
21499	J P Morgan SP BV- China Life European Warrants Jun 2019 B	100,000,000	2018/06/28	2019/06/28	15.10
21518	J P Morgan SP BV- HKEX European Warrants Nov 2018 D	200,000,000	2018/06/28	2018/11/30	30.20
21509	J P Morgan SP BV- Hua Hong Semi European Warrants Jan 2019A	100,000,000	2018/06/28	2019/01/02	49.70
21502	J P Morgan SP BV- Sunny Optical European Put Wts Mar 2019 A	100,000,000	2018/06/28	2019/03/01	25.20
21515	J P Morgan SP BV- Wynn Macau European Warrants Jan 2019 A	60,000,000	2018/06/28	2019/01/03	15.30
21447	Macquarie Bank Ltd.- Geely Auto European Wts Jan 2019 A	35,000,000	2018/06/28	2019/01/04	10.19
21453	Macquarie Bank Ltd.- Sunny Optical European Wts Feb 2019 A	40,000,000	2018/06/28	2019/02/04	10.08
21448	Macquarie Bank Ltd.- Tencent European Warrants Jan 2019 I	40,000,000	2018/06/28	2019/01/28	10.00
21449	Macquarie Bank Ltd.- Tencent European Warrants Jan 2019 J	17,000,000	2018/06/28	2019/01/28	10.01
21533	SG Issuer- China Oilfield European Warrants Oct 2019 A	100,000,000	2018/06/28	2019/10/09	15.00
21534	SG Issuer- CRCC European Warrants Dec 2018 A	18,000,000	2018/06/28	2018/12/31	10.08
21535	SG Issuer- CRCC European Warrants Dec 2019 A	120,000,000	2018/06/28	2019/12/31	18.00
21538	SG Issuer- China Telecom European Warrants Dec 2018 A	36,000,000	2018/06/28	2018/12/31	10.26
21539	SG Issuer- Henderson Land European Warrants Dec 2018 B	40,000,000	2018/06/28	2018/12/31	10.00
21545	SG Issuer- HSI European Warrants Dec 2018 H	150,000,000	2018/06/28	2018/12/28	37.50
21540	SG Issuer- Kingdee Intl European Warrants Jan 2019 A	70,000,000	2018/06/28	2019/01/10	10.50
21541	SG Issuer- New World Dev Eu Wt Dec 2018 B	10,000,000	2018/06/28	2018/12/31	10.00
21542	SG Issuer- SHK Ppt European Warrants Dec 2018 B	20,000,000	2018/06/28	2018/12/31	13.60
21543	SG Issuer- Sunny Optical European Warrants Dec 2018 C	60,000,000	2018/06/28	2018/12/31	15.00
21472	UBS AG- Guotai Junan I European Warrants Dec 2018 A	40,000,000	2018/06/28	2018/12/28	10.04
21485	UBS AG- HTSC European Warrants Apr 2019 A	70,000,000	2018/06/28	2019/04/09	10.50
21489	UBS AG- MTRC European Put Warrants Dec 2018 A	40,000,000	2018/06/28	2018/12/28	10.24
21471	UBS AG- Samsonite European Warrants Jan 2019 A	30,000,000	2018/06/28	2019/01/21	15.60
21454	UBS AG- Yongda Auto European Warrants Mar 2019 A	40,000,000	2018/06/28	2019/03/29	10.00
21414	Bank Vontobel- Agricultural Bank European Warrants Jan 19 A	40,000,000	2018/06/28	2019/01/04	11.60
21421	Bank Vontobel- CITIC Sec European Warrants Feb 2019 A	60,000,000	2018/06/28	2019/02/11	15.00
21424	Bank Vontobel- CKH Holdings European Warrants Jan 2019 A	30,000,000	2018/06/28	2019/01/07	27.03
21381	Bank Vontobel- CSPC Pharma European Warrants Sep 2018 A	40,000,000	2018/06/28	2018/09/28	13.80
21380	Bank Vontobel- CSPC Pharma European Warrants Nov 2018 A	40,000,000	2018/06/28	2018/11/09	12.80
21419	Bank Vontobel- Galaxy Ent European Warrants Nov 2018 C	50,000,000	2018/06/28	2018/11/26	10.05
21426	Bank Vontobel- HKEX European Warrants Dec 2018 F	100,000,000	2018/06/28	2018/12/03	15.00
21415	Bank Vontobel- ICBC European Warrants Jan 2019 A	40,000,000	2018/06/28	2019/01/07	12.76
21427	Bank Vontobel- Ping An European Warrants Jan 2019 B	40,000,000	2018/06/28	2019/01/28	23.20
21417	Bank Vontobel- SUNAC European Warrants Nov 2018 A	30,000,000	2018/06/28	2018/11/30	11.43
21444	Bank Vontobel- Sunny Optical European Warrants Oct 2018 A	80,000,000	2018/06/28	2018/10/26	12.08
21420	Bank Vontobel- Sunny Optical European Warrants Nov 2018 A	80,000,000	2018/06/28	2018/11/23	22.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	集資金額 到期日(百萬港元)
21438	Bank Vontobel- Zijin Mining European Warrants Mar 2019 A	30,000,000	2018/06/28	2019/03/01 12.90
21574	BOCI Asia Ltd.- Bank of China European Warrants Dec 2018 E	80,000,000	2018/06/29	2018/12/27 19.12
21563	BOCI Asia Ltd.- CC Bank European Warrants Oct 2018 B	50,000,000	2018/06/29	2018/10/03 15.30
21565	BOCI Asia Ltd.- ICBC European Warrants Dec 2018 A	60,000,000	2018/06/29	2018/12/17 13.74
21566	BOCI Asia Ltd.- ICBC European Warrants Dec 2018 B	60,000,000	2018/06/29	2018/12/20 23.46
21627	Credit Suisse AG- BBMG European Warrants Dec 2018 B	25,000,000	2018/06/29	2018/12/28 12.00
21618	Credit Suisse AG- CC Bank European Warrants Sep 2018 C	50,000,000	2018/06/29	2018/09/28 10.00
21621	Credit Suisse AG- Hua Hong Semi European Warrants Dec 2018B	30,000,000	2018/06/29	2018/12/28 15.60
21616	Credit Suisse AG- ICBC European Warrants Dec 2018 A	50,000,000	2018/06/29	2018/12/17 11.00
21688	Haitong Int'l Sec- BBMG European Warrants Dec 2018 B	30,000,000	2018/06/29	2018/12/31 11.40
21675	Haitong Int'l Sec- BYD Company European Warrants Jan 2019 A	30,000,000	2018/06/29	2019/01/03 13.50
21670	Haitong Int'l Sec- China Gas Hold European Warrants Mar 19A	30,000,000	2018/06/29	2019/03/08 14.40
21696	Haitong Int'l Sec- CGS European Warrants Dec 2018 B	40,000,000	2018/06/29	2018/12/31 13.20
21695	Haitong Int'l Sec- Ch Molybdenum European Warrants Dec 18 A	30,000,000	2018/06/29	2018/12/18 11.70
21691	Haitong Int'l Sec- CSDC European Warrants Feb 2019 B	50,000,000	2018/06/29	2019/02/28 12.50
21682	Haitong Int'l Sec- ICBC European Warrants Dec 2018 A	50,000,000	2018/06/29	2018/12/31 13.50
21674	Haitong Int'l Sec- Lenovo European Warrants Mar 2019 A	40,000,000	2018/06/29	2019/03/29 11.20
21680	Haitong Int'l Sec- Meitu European Warrants Mar 2019 B	100,000,000	2018/06/29	2019/03/08 16.00
21681	Haitong Int'l Sec- Meitu European Warrants Dec 2019 A	80,000,000	2018/06/29	2019/12/31 20.80
21679	Haitong Int'l Sec- NCI European Warrants Dec 2018 A	40,000,000	2018/06/29	2018/12/31 12.40
21694	Haitong Int'l Sec- Stanchart European Warrants Dec 2018 A	25,000,000	2018/06/29	2018/12/31 11.50
21672	Haitong Int'l Sec- Tencent European Put Warrants Dec 2018 F	80,000,000	2018/06/29	2018/12/20 12.80
21668	Haitong Int'l Sec- WH Group European Warrants Dec 2018 A	20,000,000	2018/06/29	2018/12/31 16.40
21610	J P Morgan SP BV- HSI European Warrants Feb 2019 A	300,000,000	2018/06/29	2019/02/27 75.00
21612	J P Morgan SP BV- Haitong Sec European Warrants Jan 2019 A	30,000,000	2018/06/29	2019/01/03 12.15
21608	Macquarie Bank Ltd.- Beijing Air European Wts Jul 2019 A	5,000,000	2018/06/29	2019/07/12 10.01
21591	Macquarie Bank Ltd.- China Life European Put Warrants Feb19B	16,000,000	2018/06/29	2019/02/04 10.03
21601	Macquarie Bank Ltd.- Geely Auto European Put Wts Feb 2019 B	40,000,000	2018/06/29	2019/02/04 10.12
21585	Macquarie Bank Ltd.- Galaxy Ent European Wts Feb 2019 A	24,000,000	2018/06/29	2019/02/04 10.10
21586	Macquarie Bank Ltd.- Galaxy Ent European Put Wts Feb 2019 B	20,000,000	2018/06/29	2019/02/04 10.46
21600	Macquarie Bank Ltd.- Kingdee Int'l European Wts Nov 2019 A	40,000,000	2018/06/29	2019/11/18 10.00
21589	Macquarie Bank Ltd.- Wynn Macau European Put Wts Jan 19 B	27,000,000	2018/06/29	2019/01/14 10.21
21593	Macquarie Bank Ltd.- Zoomlion European Warrants Jul 2019 A	17,000,000	2018/06/29	2019/07/16 10.01
21639	SG Issuer- CC Bank European Warrants Sep 2018 C	40,000,000	2018/06/29	2018/09/28 11.80
21651	SG Issuer- HKEX European Warrants Sep 2018 F	150,000,000	2018/06/29	2018/09/28 23.25
21635	SG Issuer- HSCEI European Warrants Dec 2018 D	150,000,000	2018/06/29	2018/12/28 37.50
21659	SG Issuer- HTSC European Warrants Dec 2018 A	9,000,000	2018/06/29	2018/12/31 10.08
21660	SG Issuer- ICBC European Warrants Sep 2018 D	40,000,000	2018/06/29	2018/09/28 11.00
21662	SG Issuer- Ping An European Warrants Dec 2018 C	80,000,000	2018/06/29	2018/12/31 43.20
21667	SG Issuer- Tencent European Warrants Nov 2018 D	200,000,000	2018/06/29	2018/11/30 38.00
11520 #	SG Issuer- HSI European Warrants Sep 2018 D	200,000,000	2018/06/29	2018/09/27 10.00
總額				58,049.31

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2017	第2季	43.84	23,757.24
	第3季	73.43	17,878.71
	第4季	43.07	9,866.80
2018	第1季	73.73	11,423.14
	第2季	8.66	11,216.39

債券面值

		發行數目	面值(百萬港元)
2017	第2季	970	3,676,742.43
	第3季	996	3,801,628.13
	第4季	1,047	4,033,192.43
2018	第1季	1,095	4,228,190.05
	第2季	1,117	4,313,245.30

新上市統計－債券

		新上市債券數目	集資金額(百萬港元)
2017	第2季	79	332,799.81
	第3季	59	214,236.64
	第4季	100	334,930.82
2018	第1季	79	260,424.86
	第2季	74	268,843.96

主 板 債 務 證 券

新上市債券

2018 第 2 季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
04453	Shandong Iron and Steel Xinheng Int'l 6.90%有擔保債券2020年	USD 150,000,000	2018/04/03	2020/03/29	1,167.85
04454	Tongfang Aqua 2017 Ltd. 5.375%有擔保債券2021年	USD 300,000,000	2018/04/03	2021/03/29	2,300.39
04455	聯想集團有限公司4.75%票據2023年	USD 750,000,000	2018/04/03	2023/03/29	5,845.38
85735	神州租車有限公司6.50%優先人民幣票據2021年	RMB 400,000,000	2018/04/06	2021/04/04	493.17
04426 #	Greenland Global Investment Ltd. 5.25%票據2021年	USD 60,000,000	2018/04/06	2021/02/12	454.11
04457	友邦保險控股有限公司3.90%票據2028年	USD 500,000,000	2018/04/09	2028/04/06	3,887.83
04456	綠旗控股有限公司8.50%優先債券2019年	USD 100,000,000	2018/04/10	2019/04/08	780.00
04458	安業環球有限公司5.20%有擔保票據2021年	USD 350,000,000	2018/04/11	2021/04/10	2,730.00
04459	Bank of China Ltd., Singapore Branch浮息票據2021年	USD 800,000,000	2018/04/18	2021/04/17	6,240.00
04460	Bank of China Ltd., Singapore Branch浮息票據2023年	USD 700,000,000	2018/04/18	2023/04/17	5,460.00
04461	Bank of China Ltd., Luxembourg Branch浮息票據2021年B	EUR 700,000,000	2018/04/18	2021/04/17	6,804.91
04462	Bank of China Ltd., acting thru Sydney branch浮息票據2021年C	AUD 800,000,000	2018/04/18	2021/04/17	4,881.04
04463	中駿置業控股有限公司7.45%優先票據2021年	USD 600,000,000	2018/04/18	2021/04/17	4,680.00
04464	坤智有限公司6.25%有擔保債券2020年	USD 425,000,000	2018/04/18	2020/10/17	3,315.00
04465	萬科地產(香港)有限公司4.15%票據2023年	USD 971,000,000	2018/04/19	2023/04/18	7,561.60
04466	中國中信股份有限公司5.07%票據2048年	USD 200,000,000	2018/04/19	2048/04/18	1,552.86
04467	卓裕控股有限公司4.875%有擔保票據2021年	USD 800,000,000	2018/04/20	2021/04/19	6,230.02
04468	卓裕控股有限公司5.375%有擔保票據2023年	USD 400,000,000	2018/04/20	2023/10/19	3,092.39
04209 #	中華人民共和國香港特別行政區政府2.46%債券2021年	HKD 4,000,000,000	2018/04/20	2021/08/04	4,046.00
04469	景瑞控股有限公司9.45%優先票據2021年	USD 350,000,000	2018/04/24	2021/04/23	2,730.00
04471	Vertex Capital Investment Ltd. 4.15%有擔保債券2019年	USD 500,000,000	2018/04/24	2019/04/22	3,900.00
04473	旭輝控股(集團)有限公司6.875%優先票據2021年	USD 500,000,000	2018/04/24	2021/04/23	3,900.00
04472	新疆交通建設投資控股有限公司6.25%債券2019年	USD 150,000,000	2018/04/25	2019/04/22	1,170.00
04470	Yango (Cayman) Investment Ltd. 9.875%有擔保優先票據2021年	USD 110,000,000	2018/04/26	2021/04/19	841.08
04474	CICC Hong Kong Finance 2016 MTN Ltd. 浮息票據2021年	USD 600,000,000	2018/04/26	2021/04/25	4,680.00
04475	China Overseas Fin (Cayman) VII Ltd. 4.25%有擔保票據2023年	USD 750,000,000	2018/04/27	2023/04/26	5,840.87
04476	China Overseas Fin (Cayman) VII Ltd. 4.75%有擔保票據2028年	USD 750,000,000	2018/04/27	2028/04/26	5,829.29
05088 #	佳源國際控股有限公司8.125%優先有抵押票據2019年	USD 100,000,000	2018/04/27	2019/01/17	784.88
04477	Yuexiu REIT MTN Co. Ltd. 4.75%有擔保票據2021年	USD 400,000,000	2018/04/30	2021/04/27	3,097.85
05044 #	Yankuang Group (Cayman) Ltd. 4.75%債券2020年	USD 300,000,000	2018/05/02	2020/11/30	2,318.17
04478	CNOOC Finance (2015) U.S.A. LLC 4.375%有擔保票據2028年	USD 1,000,000,000	2018/05/03	2028/05/02	7,768.10
04479	CNOOC Finance (2015) U.S.A. LLC 3.75%有擔保票據2023年	USD 450,000,000	2018/05/03	2023/05/02	3,488.66
04480	北控水務資本管理控股有限公司4.95%有擔保債券2023年	USD 500,000,000	2018/05/03	2023/05/02	3,891.46
04481	國家電網海外投資(2016)有限公司3.75%有擔保票據2023年	USD 950,000,000	2018/05/03	2023/05/02	7,394.59
04482	國家電網海外投資(2016)有限公司4.25%有擔保票據2028年	USD 800,000,000	2018/05/03	2028/05/02	6,217.85
04483	MCC Holding (Hong Kong) Corp 4.95%優先有擔保永久資本證券	USD 500,000,000	2018/05/03	-	3,900.00
05078 #	Luso International Banking Ltd. 5.375%後償資本票據2027年	USD 93,200,000	2018/05/03	2027/12/28	726.07
85735 #	神州租車有限公司6.50%優先人民幣票據2021年	RMB 350,000,000	2018/05/03	2021/04/04	428.52
04484	弘昇有限公司8.50%有擔保優先票據2019年	USD 250,000,000	2018/05/04	2019/05/01	1,950.00
04447 #	Huaxin Pharmaceutical (HK) Co., Ltd. 6.80%有擔保票據2021年	USD 56,000,000	2018/05/04	2021/03/15	436.80
04458 #	安業環球有限公司5.20%有擔保票據2021年	USD 150,000,000	2018/05/08	2021/04/10	1,169.95
04485	禹洲地產股份有限公司7.90%優先票據2021年	USD 200,000,000	2018/05/14	2021/05/11	1,560.00
04486	香港按揭證券有限公司浮動利率票據2020年	HKD 300,000,000	2018/05/15	2020/05/14	299.95

主 板 債 務 證 券

股份代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
04487	西證國際證券股份有限公司6.75%債券2019年	USD	150,000,000	2018/05/16	2019/05/13	1,170.00
04488	工銀國際租賃財務有限公司浮息票據2021年B	USD	900,000,000	2018/05/16	2021/05/15	7,020.00
04489	工銀國際租賃財務有限公司浮息票據2023年	USD	600,000,000	2018/05/16	2023/05/15	4,680.00
04490	香港按揭證券有限公司浮息票據2020年B	HKD	1,000,000,000	2018/05/16	2020/05/15	1,000.00
04492	廣盈投資有限公司4.25%有擔保債券2021年	USD	250,000,000	2018/05/17	2021/05/16	1,935.12
04491	中國華電海外發展2018有限公司3.875%有擔保債券2023年	USD	600,000,000	2018/05/18	2023/05/17	4,637.41
04493	Bank of Communications Co., Ltd. HK Branch浮息票據2021年	USD	600,000,000	2018/05/18	2021/05/17	4,680.00
04494	Bank of Communications Co., Ltd. HK Branch浮息票據2023年	USD	700,000,000	2018/05/18	2023/05/17	5,460.00
04496	Excel Capital Global Ltd. 優先永久證券	USD	200,000,000	2018/05/18	-	1,560.00
04215 #	中華人民共和國香港特別行政區1.10%債券2023年	HKD	2,500,000,000	2018/05/18	2023/01/17	2,347.50
04495	Bank of Communications Co., Ltd. HK Branch 2.95%票據2020年B	HKD	3,000,000,000	2018/05/21	2020/05/18	3,000.00
04499	西證國際證券股份有限公司6.00%債券2019年	HKD	780,000,000	2018/05/21	2019/05/10	780.00
04072	香港金融管理局1.79%外匯基金票據2020年	HKD	1,200,000,000	2018/05/23	2020/05/21	1,197.00
04464 #	坤智有限公司6.25%有擔保債券2020年	USD	65,000,000	2018/05/23	2020/10/17	507.00
05100	坤智有限公司浮息有擔保債券2021年	USD	310,000,000	2018/05/23	2021/05/21	2,418.00
04498	中升集團控股有限公司零息可換股債券2023年	HKD	4,700,000,000	2018/05/24	2023/05/23	4,700.00
04497	AVIC Int'l Finance & Investment Ltd.4.375%有擔保債券2021年	USD	400,000,000	2018/05/24	2021/05/23	3,112.45
05102	豐得創投有限公司9.75優先票據2019年	USD	120,000,000	2018/05/25	2019/05/23	925.24
05103	Greenland Global Investment Ltd. 6.75%票據2019年	USD	500,000,000	2018/05/25	2019/05/22	3,900.00
05101	萬科地產(香港)有限公司浮息票據2023年	USD	650,000,000	2018/05/28	2023/05/25	5,070.00
05104	China Great Wall Int'l Hldgs III Ltd. 4.375%有擔保票據2023年	USD	600,000,000	2018/05/28	2023/05/25	4,659.04
05105	鞍鋼股份有限公司零息可換股債券2023年	HKD	1,850,000,000	2018/05/28	2023/05/25	1,850.00
05107	FPC Capital Ltd. 5.75%有擔保債券2025年	USD	175,000,000	2018/05/31	2025/05/30	1,365.00
05106	中國海外宏洋財務IV(開曼)有限公司4.875%有擔保票據2021年	USD	500,000,000	2018/06/04	2021/06/01	3,896.77
05108	China Merchants Bank Co., Ltd., HK Branch浮息票據2021年	USD	500,000,000	2018/06/04	2021/06/01	3,900.00
05109	時代中國控股有限公司7.85%優先票據2021年	USD	450,000,000	2018/06/05	2021/06/04	3,510.00
05110	國瑞置業有限公司10.00%優先票據2020年	USD	100,000,000	2018/06/08	2020/06/07	780.00
05113	Bank of China Ltd., Hong Kong Branch 2.85%票據2020年B	HKD	3,000,000,000	2018/06/08	2020/06/07	3,000.00
05114	Bank of China Ltd., London Branch浮息票據2021年	USD	500,000,000	2018/06/08	2021/06/07	3,900.00
05115	Bank of China Ltd., London Branch浮息票據2023年	USD	500,000,000	2018/06/08	2023/06/07	3,900.00
05111	China Construction Bank Corporation, HK Branch浮息票據2021年	USD	900,000,000	2018/06/11	2021/06/08	7,020.00
05112	China Construction Bank Corporation, HK Branch浮息票據2023年	USD	600,000,000	2018/06/11	2023/06/08	4,680.00
05116	China Everbright Bank Co. Ltd., HK Branch浮息票據2021年	USD	300,000,000	2018/06/14	2021/06/13	2,340.00
05117	青島膠州灣發展有限公司6.50%債券2021年	USD	120,000,000	2018/06/15	2021/06/14	925.99
05073 #	烏魯木齊高新投資發展集團有限公司5.20%債券2020年	USD	73,000,000	2018/06/15	2020/12/20	564.79
05118	中國工商銀行(亞洲)有限公司浮息綠色債券2021年	USD	200,000,000	2018/06/22	2021/06/21	1,560.00
05119	中國工商銀行(亞洲)有限公司浮息綠色債券2023年	USD	200,000,000	2018/06/22	2023/06/21	1,560.00
05120	中國工商銀行(亞洲)有限公司3.00%綠色債券2020年	HKD	2,600,000,000	2018/06/22	2020/06/21	2,600.00
05121	綠地香港控股有限公司7.875%債券2019年	USD	200,000,000	2018/06/27	2019/06/25	1,560.00
05122	Greenland Global Investment Ltd.浮息票據2021年	USD	250,000,000	2018/06/27	2021/09/26	1,950.00
05123	Horse Gallop Finance Ltd.浮息有擔保票據2021年	USD	700,000,000	2018/06/29	2021/06/28	5,460.00
05124	正榮地產集團有限公司10.50%優先票據2020年	USD	250,000,000	2018/06/29	2020/06/28	1,916.01
總額						268,843.96

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	槓桿及 反向產品 (L&I Products) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2017	第2季	287,085.90	40,415.94	22,355.40	35.41	349,892.65	7.69
	第3季	256,464.87	31,956.70	23,257.20	99.96	311,778.73	5.26
	第4季	249,162.50	13,254.17	22,643.22	96.64	285,156.54	4.39
2018	第1季	352,766.39	30,133.06	30,117.82	98.32	413,115.59	4.66
	第2季	251,843.88	23,169.94	24,750.59	51.70	299,816.11	4.71

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		槓桿及 反向產品 (L&I Products) (百萬港元)		房地產投資 信託基金 (REITs) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目		發行數目	
2017	第2季	126	596,939.76	30	4,650.20	11	241,870.58	1	1,045.24	168	844,505.77
	第3季	107	601,666.46	27	4,213.20	11	251,920.21	1	1,110.76	146	858,910.64
	第4季	106	632,535.18	27	3,442.75	11	276,508.56	1	1,162.33	145	913,648.81
2018	第1季	106	612,842.81	27	4,509.75	11	263,218.35	1	941.89	145	881,512.80
	第2季	108	593,609.09	27	5,188.57	11	268,855.16	1	902.36	145	868,555.18

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2017	第2季	–	–
	第3季	1	–
	第4季	3	–
2018	第1季	1	–
	第2季	4	–

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2018年第2季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
03186/83186/ 09186	中金金瑞CSI中國互聯網 指數ETF	中國國際金融香港資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2018/04/10
03169/83169/ 09169	領航全球中國股票指數ETF	領航投資香港有限公司	n.a.	n.a.	n.a.	n.a.	2018/05/10
02813/82813	華夏彭博巴克萊中國國債+ 政策性銀行債券指數ETF	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2018/06/06
02812	三星中證中國龍網ETF	三星資產運用(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2018/06/20

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2017	第2季	2,667	83,611.38	220,839.34
	第3季	3,257	119,305.67	302,173.15
	第4季	3,374	114,271.35	383,700.91
2018	第1季	3,476	116,066.49	581,996.64
	第2季	2,650	82,658.60	366,044.00

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2017	第2季	2,224	75,481.22
	第3季	3,799	122,666.17
	第4季	4,704	144,771.12
2018	第1季	7,440	234,572.95
	第2季	5,371	173,579.03

主板 可收回牛熊證

新上市牛熊證

2018年第二季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59218	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 F	250,000,000	2018/04/03	2019/12/30	62.50
59219	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 G	250,000,000	2018/04/03	2019/12/30	62.50
59220	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 H	250,000,000	2018/04/03	2019/12/30	62.50
59238	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 I	250,000,000	2018/04/03	2019/12/30	62.50
59239	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 J	250,000,000	2018/04/03	2019/12/30	62.50
59240	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 K	250,000,000	2018/04/03	2019/12/30	62.50
59241	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 L	250,000,000	2018/04/03	2019/12/30	62.50
59242	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 M	250,000,000	2018/04/03	2019/12/30	62.50
59243	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/04/03	2019/12/30	62.50
59244	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 O	250,000,000	2018/04/03	2019/12/30	62.50
59245	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/04/03	2019/12/30	62.50
59237	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 A	250,000,000	2018/04/03	2018/07/30	62.50
59233	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 F	250,000,000	2018/04/03	2018/07/30	62.50
59234	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 Q	250,000,000	2018/04/03	2018/07/30	62.50
59235	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 R	250,000,000	2018/04/03	2018/07/30	62.50
59236	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 S	250,000,000	2018/04/03	2018/07/30	62.50
59229	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 W	250,000,000	2018/04/03	2018/07/30	62.50
59230	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 X	250,000,000	2018/04/03	2018/07/30	62.50
59231	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 Y	250,000,000	2018/04/03	2018/07/30	62.50
59232	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 Z	250,000,000	2018/04/03	2018/07/30	62.50
59222	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 E	50,000,000	2018/04/03	2018/09/27	12.50
59224	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 F	50,000,000	2018/04/03	2018/09/27	12.50
59221	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 O	50,000,000	2018/04/03	2018/09/27	12.50
59223	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 W	50,000,000	2018/04/03	2018/09/27	12.50
59227	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 A	50,000,000	2018/04/03	2018/11/29	12.50
59228	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 C	50,000,000	2018/04/03	2018/11/29	12.50
59225	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 Y	50,000,000	2018/04/03	2018/11/29	17.50
59226	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 Z	50,000,000	2018/04/03	2018/11/29	12.50
59376	Credit Suisse AG - CC Bank R Bull CBBC Nov 2018 J	80,000,000	2018/04/03	2018/11/29	20.00
59355	Credit Suisse AG - HKEX R Bull CBBC Sep 2018 S	50,000,000	2018/04/03	2018/09/27	12.50
59354	Credit Suisse AG - HSBC R Bull CBBC Sep 2018 T	100,000,000	2018/04/03	2018/09/27	25.00
59362	Credit Suisse AG - HSBC R Bear CBBC Sep 2018 C	50,000,000	2018/04/03	2018/09/27	12.50
59374	Credit Suisse AG - HSCEI R Bear CBBC Jul 2018 C	100,000,000	2018/04/03	2018/07/30	25.00
59348	Credit Suisse AG - HSI R Bull CBBC Mar 2019 S	200,000,000	2018/04/03	2019/03/28	50.00
59352	Credit Suisse AG - HSI R Bull CBBC Mar 2019 T	200,000,000	2018/04/03	2019/03/28	50.00
59349	Credit Suisse AG - HSI R Bull CBBC Jul 2019 J	200,000,000	2018/04/03	2019/07/30	50.00
59351	Credit Suisse AG - HSI R Bull CBBC Jul 2019 K	200,000,000	2018/04/03	2019/07/30	50.00
59353	Credit Suisse AG - HSI R Bull CBBC Sep 2019 Q	200,000,000	2018/04/03	2019/09/27	50.00
59346	Credit Suisse AG - HSI R Bull CBBC Sep 2019 V	200,000,000	2018/04/03	2019/09/27	50.00
59347	Credit Suisse AG - HSI R Bull CBBC Sep 2019 W	200,000,000	2018/04/03	2019/09/27	50.00
59350	Credit Suisse AG - HSI R Bull CBBC Sep 2019 X	200,000,000	2018/04/03	2019/09/27	50.00
59361	Credit Suisse AG - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/04/03	2018/08/30	50.00
59367	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/03	2018/08/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59369	Credit Suisse AG - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/04/03	2018/08/30	50.00
59371	Credit Suisse AG - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/04/03	2018/08/30	50.00
59373	Credit Suisse AG - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/04/03	2018/08/30	50.00
59368	Credit Suisse AG - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/04/03	2018/09/27	50.00
59370	Credit Suisse AG - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/04/03	2018/09/27	50.00
59372	Credit Suisse AG - HSI R Bear CBBC Sep 2018 K	200,000,000	2018/04/03	2018/09/27	50.00
59358	Credit Suisse AG - ICBC R Bull CBBC Sep 2018 J	80,000,000	2018/04/03	2018/09/27	20.00
59375	Credit Suisse AG - Ping An R Bull CBBC Sep 2018 L	80,000,000	2018/04/03	2018/09/27	20.00
59359	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 D	80,000,000	2018/04/03	2018/12/28	20.00
59366	Credit Suisse AG - Ping An R Bear CBBC Nov 2018 B	50,000,000	2018/04/03	2018/11/29	12.50
59357	Credit Suisse AG - Tencent R Bull CBBC Sep 2018 F	50,000,000	2018/04/03	2018/09/27	12.50
59356	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 P	50,000,000	2018/04/03	2018/10/30	12.50
59360	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 Q	50,000,000	2018/04/03	2018/10/30	17.50
59363	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 B	50,000,000	2018/04/03	2018/12/28	18.50
59364	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 C	50,000,000	2018/04/03	2018/12/28	22.00
59365	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 A	50,000,000	2018/04/03	2018/11/29	12.50
59248	Bank of East Asia - Ping An R Bull CBBC Oct 2018 G	120,000,000	2018/04/03	2018/10/30	30.00
59249	Bank of East Asia - Ping An R Bull CBBC Oct 2018 H	120,000,000	2018/04/03	2018/10/30	30.00
59246	Bank of East Asia - Tencent R Bull CBBC Oct 2018 W	40,000,000	2018/04/03	2018/10/30	10.00
59247	Bank of East Asia - Tencent R Bull CBBC Oct 2018 X	40,000,000	2018/04/03	2018/10/30	10.00
59430	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 G	100,000,000	2018/04/03	2018/12/31	25.00
59437	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 O	100,000,000	2018/04/03	2018/12/31	25.00
59432	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2018 I	100,000,000	2018/04/03	2018/12/31	25.00
59435	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Oct 2018R	100,000,000	2018/04/03	2018/10/30	25.00
59436	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Oct 2018S	100,000,000	2018/04/03	2018/10/25	25.00
59433	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Nov 2018 I	100,000,000	2018/04/03	2018/11/28	25.00
59434	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Nov 2018 J	100,000,000	2018/04/03	2018/11/27	25.00
59275	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Oct 2018 B	100,000,000	2018/04/03	2018/10/29	25.00
59273	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Oct 2018 O	100,000,000	2018/04/03	2018/10/19	25.00
59274	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Oct 2018 R	100,000,000	2018/04/03	2018/10/29	25.00
59276	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 C	100,000,000	2018/04/03	2018/12/27	25.00
59288	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Oct 2019 E	200,000,000	2018/04/03	2019/10/30	50.00
59256	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/04/03	2019/10/30	50.00
59255	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/04/03	2019/10/30	50.00
59258	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 F	200,000,000	2018/04/03	2019/11/28	50.00
59259	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/04/03	2019/11/28	50.00
59261	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/04/03	2019/11/28	50.20
59257	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/04/03	2019/11/28	50.00
59260	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/04/03	2019/12/30	50.00
59289	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 S	200,000,000	2018/04/03	2018/07/30	50.20
59420	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 V	200,000,000	2018/04/03	2018/07/30	50.00
59421	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 X	200,000,000	2018/04/03	2018/07/30	50.00
59422	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 Y	200,000,000	2018/04/03	2018/07/30	50.20
59423	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 Z	200,000,000	2018/04/03	2018/07/30	50.00
59431	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Oct 2018 D	100,000,000	2018/04/03	2018/10/25	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59270	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 S	100,000,000	2018/04/03	2018/12/31	25.00
59271	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 T	100,000,000	2018/04/03	2018/12/31	25.00
59272	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 U	100,000,000	2018/04/03	2018/12/31	25.00
59263	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 H	100,000,000	2018/04/03	2018/08/28	25.00
59264	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 K	100,000,000	2018/04/03	2018/08/29	25.00
59265	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 L	100,000,000	2018/04/03	2018/08/30	25.00
59266	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 M	100,000,000	2018/04/03	2018/08/31	25.00
59267	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 N	100,000,000	2018/04/03	2018/08/20	28.20
59268	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 O	100,000,000	2018/04/03	2018/08/21	30.00
59269	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 P	100,000,000	2018/04/03	2018/08/22	33.60
59262	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Aug 2018 W	100,000,000	2018/04/03	2018/08/27	25.00
59424	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Sep 2018 D	100,000,000	2018/04/03	2018/09/24	25.00
59425	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Sep 2018 E	100,000,000	2018/04/03	2018/09/27	25.00
59426	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Sep 2018 F	100,000,000	2018/04/03	2018/09/28	30.30
59427	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Sep 2018 G	100,000,000	2018/04/03	2018/09/27	34.00
59428	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 C	100,000,000	2018/04/03	2018/12/31	25.00
59429	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 D	100,000,000	2018/04/03	2018/12/31	32.30
59277	HK Bank - AAC Tech R Bull CBBC Oct 2018 F	40,000,000	2018/04/03	2018/10/29	10.00
59284	HK Bank - CC Bank R Bear CBBC Sep 2018 F	50,000,000	2018/04/03	2018/09/10	12.50
59282	HK Bank - China Life R Bull CBBC Oct 2018 B	40,000,000	2018/04/03	2018/10/08	22.00
59217	HK Bank - Galaxy Ent R Bull CBBC Aug 2018 K	80,000,000	2018/04/03	2018/08/06	20.00
59215	HK Bank - HKEX R Bull CBBC Jul 2018 Q	50,000,000	2018/04/03	2018/07/23	12.50
59286	HK Bank - HKEX R Bear CBBC Sep 2018 E	40,000,000	2018/04/03	2018/09/27	10.00
59214	HK Bank - HSCEI R Bull CBBC Dec 2018 Q	60,000,000	2018/04/03	2018/12/28	15.00
59278	HK Bank - HSCEI R Bear CBBC Aug 2018 E	50,000,000	2018/04/03	2018/08/30	12.50
59212	HK Bank - HSI R Bull CBBC Dec 2018 V	150,000,000	2018/04/03	2018/12/28	37.50
59213	HK Bank - HSI R Bull CBBC Mar 2019 L	100,000,000	2018/04/03	2019/03/28	25.00
59279	HK Bank - HSI R Bear CBBC Aug 2018 M	150,000,000	2018/04/03	2018/08/30	37.50
59280	HK Bank - HSI R Bear CBBC Aug 2018 N	150,000,000	2018/04/03	2018/08/30	37.50
59281	HK Bank - HSI R Bear CBBC Sep 2018 Z	150,000,000	2018/04/03	2018/09/27	37.50
59283	HK Bank - ICBC R Bear CBBC Sep 2018 E	50,000,000	2018/04/03	2018/09/10	12.50
59216	HK Bank - Ping An R Bull CBBC Oct 2018 N	100,000,000	2018/04/03	2018/10/04	25.00
59287	HK Bank - Ping An R Bear CBBC Jul 2018 B	80,000,000	2018/04/03	2018/07/23	20.00
59209	HK Bank - Tencent R Bull CBBC Aug 2018 X	60,000,000	2018/04/03	2018/08/02	15.00
59210	HK Bank - Tencent R Bull CBBC Aug 2018 Y	60,000,000	2018/04/03	2018/08/23	15.00
59211	HK Bank - Tencent R Bull CBBC Sep 2018 F	60,000,000	2018/04/03	2018/09/20	21.00
59285	HK Bank - Tencent R Bear CBBC Aug 2018 Y	50,000,000	2018/04/03	2018/08/20	15.00
59290	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2018 L	40,000,000	2018/04/03	2018/09/18	10.00
59291	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2018 M	40,000,000	2018/04/03	2018/09/20	10.00
59336	J P Morgan SP BV - CC Bank R Bull CBBC Jul 2018 J	100,000,000	2018/04/03	2018/07/13	25.00
59333	J P Morgan SP BV - HKEX R Bull CBBC Jul 2018 H	40,000,000	2018/04/03	2018/07/13	10.00
59334	J P Morgan SP BV - HKEX R Bear CBBC Jul 2018 F	40,000,000	2018/04/03	2018/07/13	10.00
59337	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2019 A	100,000,000	2018/04/03	2019/04/29	25.00
59320	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 V	200,000,000	2018/04/03	2019/10/30	50.00
59323	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 X	200,000,000	2018/04/03	2019/10/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59319	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/04/03	2019/11/28	50.00
59322	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/04/03	2019/11/28	50.00
59321	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/04/03	2019/12/30	50.00
59318	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 P	200,000,000	2018/04/03	2019/12/30	50.00
59324	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 X	200,000,000	2018/04/03	2019/12/30	50.00
59325	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 L	200,000,000	2018/04/03	2018/07/30	50.00
59339	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 T	200,000,000	2018/04/03	2018/07/30	50.00
59341	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/04/03	2018/08/30	50.00
59340	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/04/03	2018/08/30	50.00
59345	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/04/03	2018/08/30	50.00
59338	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 L	200,000,000	2018/04/03	2018/08/30	50.00
59342	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/04/03	2018/08/30	50.00
59343	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 O	200,000,000	2018/04/03	2018/08/30	50.00
59344	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/04/03	2018/08/30	50.00
59335	J P Morgan SP BV - Ping An R Bull CBBC Jul 2018 D	100,000,000	2018/04/03	2018/07/13	25.00
59328	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 B	50,000,000	2018/04/03	2018/07/13	15.00
59329	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 D	50,000,000	2018/04/03	2018/07/13	21.00
59314	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 U	50,000,000	2018/04/03	2018/07/13	12.50
59315	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 V	50,000,000	2018/04/03	2018/07/13	12.50
59316	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 W	50,000,000	2018/04/03	2018/07/13	12.50
59317	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 X	50,000,000	2018/04/03	2018/07/13	13.00
59326	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 Y	50,000,000	2018/04/03	2018/07/13	12.50
59327	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 Z	50,000,000	2018/04/03	2018/07/13	12.50
59330	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 P	50,000,000	2018/04/03	2018/07/13	12.50
59331	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 Q	50,000,000	2018/04/03	2018/07/13	12.50
59332	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 R	50,000,000	2018/04/03	2018/07/13	12.50
59417	SG Issuer - CC Bank R Bull CBBC Sep 2018 S	100,000,000	2018/04/03	2018/09/18	25.00
59413	SG Issuer - China Life R Bull CBBC Jan 2019 A	40,000,000	2018/04/03	2019/01/30	10.40
59414	SG Issuer - Geely Auto R Bear CBBC Nov 2018 B	40,000,000	2018/04/03	2018/11/05	13.00
59418	SG Issuer - HKEX R Bull CBBC Nov 2018 S	50,000,000	2018/04/03	2018/11/14	12.50
59415	SG Issuer - HKEX R Bear CBBC Jul 2018 E	50,000,000	2018/04/03	2018/07/19	12.50
59394	SG Issuer - HSBC R Bull CBBC Sep 2018 M	100,000,000	2018/04/03	2018/09/10	25.00
59390	SG Issuer - HSI R Bull CBBC Sep 2019 X	200,000,000	2018/04/03	2019/09/27	50.00
59392	SG Issuer - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/04/03	2019/10/30	50.00
59391	SG Issuer - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/04/03	2019/10/30	50.00
59407	SG Issuer - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/04/03	2019/11/28	50.00
59408	SG Issuer - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/04/03	2019/11/28	50.00
59409	SG Issuer - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/04/03	2019/11/28	50.00
59410	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/04/03	2019/11/28	50.00
59393	SG Issuer - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/04/03	2019/12/30	50.00
59411	SG Issuer - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/04/03	2019/12/30	50.00
59412	SG Issuer - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/04/03	2019/12/30	50.00
59379	SG Issuer - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/03	2018/08/30	50.00
59380	SG Issuer - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/04/03	2018/08/30	50.00
59381	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/04/03	2018/09/27	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59383	SG Issuer - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/04/03	2018/10/30	50.00
59385	SG Issuer - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/04/03	2018/10/30	50.00
59386	SG Issuer - HSI R Bear CBBC Oct 2018 O	200,000,000	2018/04/03	2018/10/30	50.00
59382	SG Issuer - HSI R Bear CBBC Oct 2018 Y	200,000,000	2018/04/03	2018/10/30	50.00
59384	SG Issuer - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/04/03	2018/10/30	50.00
59387	SG Issuer - HSI R Bear CBBC Nov 2018 X	200,000,000	2018/04/03	2018/11/29	50.00
59388	SG Issuer - HSI R Bear CBBC Nov 2018 Y	200,000,000	2018/04/03	2018/11/29	50.00
59389	SG Issuer - HSI R Bear CBBC Nov 2018 Z	200,000,000	2018/04/03	2018/11/29	50.00
59419	SG Issuer - ICBC R Bull CBBC Sep 2018 T	60,000,000	2018/04/03	2018/09/21	15.00
59395	SG Issuer - Ping An R Bull CBBC Nov 2018 J	100,000,000	2018/04/03	2018/11/20	25.00
59397	SG Issuer - Ping An R Bull CBBC Nov 2018 M	100,000,000	2018/04/03	2018/11/13	25.00
59398	SG Issuer - Ping An R Bull CBBC Dec 2018 Q	100,000,000	2018/04/03	2018/12/12	25.00
59416	SG Issuer - Ping An R Bear CBBC Aug 2018 A	100,000,000	2018/04/03	2018/08/08	25.00
59399	SG Issuer - Tencent R Bull CBBC Aug 2018 O	80,000,000	2018/04/03	2018/08/02	21.60
59400	SG Issuer - Tencent R Bull CBBC Aug 2018 S	80,000,000	2018/04/03	2018/08/06	25.60
59403	SG Issuer - Tencent R Bull CBBC Sep 2018 C	80,000,000	2018/04/03	2018/09/24	29.60
59402	SG Issuer - Tencent R Bull CBBC Sep 2018 V	80,000,000	2018/04/03	2018/09/19	20.00
59401	SG Issuer - Tencent R Bull CBBC Sep 2018 X	80,000,000	2018/04/03	2018/09/10	34.40
59404	SG Issuer - Tencent R Bull CBBC Oct 2018 R	80,000,000	2018/04/03	2018/10/03	20.00
59405	SG Issuer - Tencent R Bull CBBC Oct 2018 S	80,000,000	2018/04/03	2018/10/11	20.00
59406	SG Issuer - Tencent R Bull CBBC Oct 2018 T	80,000,000	2018/04/03	2018/10/22	39.20
59396	SG Issuer - Tencent R Bull CBBC Nov 2018 C	80,000,000	2018/04/03	2018/11/08	20.00
59377	SG Issuer - Tencent R Bear CBBC Jul 2018 P	80,000,000	2018/04/03	2018/07/18	20.00
59378	SG Issuer - Tencent R Bear CBBC Jul 2018 Q	80,000,000	2018/04/03	2018/07/20	20.00
59303	UBS AG - HKEX R Bull CBBC Sep 2018 V	50,000,000	2018/04/03	2018/09/24	12.50
59293	UBS AG - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/04/03	2019/10/30	50.00
59292	UBS AG - HSI R Bull CBBC Oct 2019 T	250,000,000	2018/04/03	2019/10/30	62.50
59295	UBS AG - HSI R Bull CBBC Oct 2019 V	200,000,000	2018/04/03	2019/10/30	50.00
59294	UBS AG - HSI R Bull CBBC Nov 2019 B	250,000,000	2018/04/03	2019/11/28	62.50
59296	UBS AG - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/04/03	2019/12/30	62.50
59313	UBS AG - HSI R Bull CBBC Dec 2019 U	250,000,000	2018/04/03	2019/12/30	62.50
59297	UBS AG - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/04/03	2019/12/30	50.00
59312	UBS AG - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/04/03	2019/12/30	62.50
59306	UBS AG - HSI R Bear CBBC Jul 2018 Q	250,000,000	2018/04/03	2018/07/30	62.50
59307	UBS AG - HSI R Bear CBBC Jul 2018 V	200,000,000	2018/04/03	2018/07/30	50.00
59308	UBS AG - HSI R Bear CBBC Jul 2018 W	250,000,000	2018/04/03	2018/07/30	62.50
59309	UBS AG - HSI R Bear CBBC Aug 2018 Z	250,000,000	2018/04/03	2018/08/30	62.50
59304	UBS AG - Ping An R Bull CBBC Jul 2018 A	80,000,000	2018/04/03	2018/07/09	20.00
59305	UBS AG - Ping An R Bull CBBC Jul 2018 B	80,000,000	2018/04/03	2018/07/16	20.00
59300	UBS AG - Tencent R Bull CBBC Jul 2018 B	30,000,000	2018/04/03	2018/07/23	10.20
59301	UBS AG - Tencent R Bull CBBC Aug 2018 R	30,000,000	2018/04/03	2018/08/07	10.80
59302	UBS AG - Tencent R Bull CBBC Aug 2018 S	30,000,000	2018/04/03	2018/08/21	16.80
59299	UBS AG - Tencent R Bull CBBC Sep 2018 V	30,000,000	2018/04/03	2018/09/06	10.20
59298	UBS AG - Tencent R Bull CBBC Oct 2018 N	30,000,000	2018/04/03	2018/10/24	10.20
59310	UBS AG - Tencent R Bear CBBC Aug 2018 A	30,000,000	2018/04/03	2018/08/07	10.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59311	UBS AG - Tencent R Bear CBBC Aug 2018 B	30,000,000	2018/04/03	2018/08/13	10.50
59201	Bank Vontobel - HSI R Bull CBBC Nov 2018 F	100,000,000	2018/04/03	2018/11/29	25.70
59202	Bank Vontobel - HSI R Bull CBBC Nov 2018 G	100,000,000	2018/04/03	2018/11/29	25.70
59203	Bank Vontobel - HSI R Bull CBBC Nov 2018 H	100,000,000	2018/04/03	2018/11/29	25.60
59204	Bank Vontobel - HSI R Bull CBBC Nov 2018 I	100,000,000	2018/04/03	2018/11/29	25.70
59253	Bank Vontobel - HSI R Bull CBBC Nov 2018 J	100,000,000	2018/04/03	2018/11/29	25.70
59250	Bank Vontobel - HSI R Bear CBBC Sep 2018 R	100,000,000	2018/04/03	2018/09/27	25.80
59251	Bank Vontobel - HSI R Bear CBBC Sep 2018 S	100,000,000	2018/04/03	2018/09/27	25.80
59252	Bank Vontobel - HSI R Bear CBBC Sep 2018 T	100,000,000	2018/04/03	2018/09/27	25.70
59205	Bank Vontobel - Tencent R Bull CBBC Sep 2018 K	40,000,000	2018/04/03	2018/09/17	10.24
59206	Bank Vontobel - Tencent R Bull CBBC Sep 2018 L	40,000,000	2018/04/03	2018/09/20	18.56
59207	Bank Vontobel - Tencent R Bull CBBC Sep 2018 M	40,000,000	2018/04/03	2018/09/19	13.92
59208	Bank Vontobel - Tencent R Bull CBBC Sep 2018 N	40,000,000	2018/04/03	2018/09/18	10.20
59254	Bank Vontobel - Tencent R Bear CBBC Jul 2018 N	40,000,000	2018/04/03	2018/07/24	10.28
59453	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 P	100,000,000	2018/04/04	2018/11/29	25.00
59454	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 Q	100,000,000	2018/04/04	2018/11/29	25.00
59456	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2018A	150,000,000	2018/04/04	2018/11/29	37.50
59457	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2018 R	50,000,000	2018/04/04	2018/07/30	12.50
59458	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Jul 2018 F	100,000,000	2018/04/04	2018/07/30	25.00
59459	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Jul 2018 G	100,000,000	2018/04/04	2018/07/30	25.00
59455	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Nov 2018 D	200,000,000	2018/04/04	2018/11/29	50.00
59542	Credit Suisse AG - AIA R Bull CBBC Nov 2018 A	70,000,000	2018/04/04	2018/11/29	17.50
59525	Credit Suisse AG - Bank of China R Bull CBBC Nov 2018 F	80,000,000	2018/04/04	2018/11/29	20.00
59543	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2018 B	50,000,000	2018/04/04	2018/11/29	12.50
59523	Credit Suisse AG - HKEX R Bull CBBC Oct 2018 U	50,000,000	2018/04/04	2018/10/30	12.50
59528	Credit Suisse AG - HSBC R Bull CBBC Nov 2018 C	70,000,000	2018/04/04	2018/11/29	17.50
59537	Credit Suisse AG - HSCEI R Bear CBBC Jul 2018 D	100,000,000	2018/04/04	2018/07/30	25.00
59536	Credit Suisse AG - HSI R Bull CBBC Apr 2019 H	200,000,000	2018/04/04	2019/04/29	50.00
59521	Credit Suisse AG - HSI R Bull CBBC Jul 2019 L	200,000,000	2018/04/04	2019/07/30	50.00
59520	Credit Suisse AG - HSI R Bull CBBC Sep 2019 R	200,000,000	2018/04/04	2019/09/27	50.00
59522	Credit Suisse AG - HSI R Bull CBBC Sep 2019 Y	200,000,000	2018/04/04	2019/09/27	50.00
59535	Credit Suisse AG - HSI R Bull CBBC Sep 2019 Z	200,000,000	2018/04/04	2019/09/27	50.00
59529	Credit Suisse AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/04/04	2018/08/30	50.00
59526	Credit Suisse AG - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/04/04	2018/08/30	50.00
59531	Credit Suisse AG - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/04/04	2018/08/30	50.00
59533	Credit Suisse AG - HSI R Bear CBBC Aug 2018 W	200,000,000	2018/04/04	2018/08/30	50.00
59527	Credit Suisse AG - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/04/04	2018/09/27	50.00
59530	Credit Suisse AG - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/04/04	2018/09/27	50.00
59532	Credit Suisse AG - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/04/04	2018/09/27	50.00
59534	Credit Suisse AG - HSI R Bear CBBC Sep 2018 O	200,000,000	2018/04/04	2018/09/27	50.00
59524	Credit Suisse AG - Ping An R Bull CBBC Nov 2018 P	80,000,000	2018/04/04	2018/11/29	20.00
59538	Credit Suisse AG - Tencent R Bull CBBC Sep 2018 G	50,000,000	2018/04/04	2018/09/27	12.50
59540	Credit Suisse AG - Tencent R Bull CBBC Sep 2018 H	50,000,000	2018/04/04	2018/09/27	12.50
59539	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 J	50,000,000	2018/04/04	2018/11/29	12.50
59541	Credit Suisse AG - Tencent R Bear CBBC Oct 2018 D	50,000,000	2018/04/04	2018/10/30	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59477	Bank of East Asia - CC Bank R Bull CBBC Nov 2018 C	60,000,000	2018/04/04	2018/11/02	15.00
59472	Bank of East Asia - Galaxy Ent R Bull CBBC Nov 2018 D	16,000,000	2018/04/04	2018/11/02	10.40
59473	Bank of East Asia - Galaxy Ent R Bull CBBC Nov 2018 E	16,000,000	2018/04/04	2018/11/02	10.40
59475	Bank of East Asia - HKEH R Bull CBBC Nov 2018 N	40,000,000	2018/04/04	2018/11/02	10.00
59474	Bank of East Asia - HSBC R Bull CBBC Nov 2018 B	60,000,000	2018/04/04	2018/11/02	15.00
59476	Bank of East Asia - Ping An R Bull CBBC Nov 2018 I	120,000,000	2018/04/04	2018/11/02	30.00
59440	Bank of East Asia - Tencent R Bull CBBC Nov 2018 R	40,000,000	2018/04/04	2018/11/02	10.00
59470	Bank of East Asia - Tencent R Bull CBBC Nov 2018 S	40,000,000	2018/04/04	2018/11/02	10.00
59471	Bank of East Asia - Tencent R Bull CBBC Nov 2018 T	40,000,000	2018/04/04	2018/11/02	10.00
59550	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2018 I	100,000,000	2018/04/04	2018/12/28	25.00
59548	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 H	100,000,000	2018/04/04	2018/12/31	25.00
59464	Goldman Sachs SP (Asia) - HKEH R Bull CBBC Oct 2018 C	100,000,000	2018/04/04	2018/10/19	25.00
59551	Goldman Sachs SP (Asia) - HKEH R Bull CBBC Dec 2018 F	100,000,000	2018/04/04	2018/12/27	25.00
59552	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 D	100,000,000	2018/04/04	2018/12/28	25.00
59490	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/04/04	2019/12/30	50.00
59491	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 P	200,000,000	2018/04/04	2019/12/30	50.00
59460	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 H	200,000,000	2018/04/04	2020/01/30	50.00
59461	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 I	200,000,000	2018/04/04	2020/01/30	50.20
59467	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/04/04	2018/08/30	50.00
59468	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/04/04	2018/08/30	50.00
59469	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 K	200,000,000	2018/04/04	2018/08/30	50.00
59549	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Dec 2018 T	100,000,000	2018/04/04	2018/12/28	25.00
59462	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 V	100,000,000	2018/04/04	2018/12/28	25.00
59463	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 W	100,000,000	2018/04/04	2018/12/28	25.00
59465	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 K	100,000,000	2018/04/04	2018/10/29	25.00
59466	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 L	100,000,000	2018/04/04	2018/10/29	25.00
59544	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 M	100,000,000	2018/04/04	2018/10/02	25.00
59545	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 N	100,000,000	2018/04/04	2018/10/03	25.00
59546	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 O	100,000,000	2018/04/04	2018/10/04	28.90
59547	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 B	80,000,000	2018/04/04	2018/12/31	34.32
59448	HK Bank - AIA R Bull CBBC Oct 2018 M	80,000,000	2018/04/04	2018/10/02	20.00
59449	HK Bank - CC Bank R Bull CBBC Sep 2018 M	100,000,000	2018/04/04	2018/09/13	25.00
59446	HK Bank - Geely Auto R Bear CBBC Oct 2018 E	40,000,000	2018/04/04	2018/10/02	14.00
59447	HK Bank - Galaxy Ent R Bull CBBC Oct 2018 E	80,000,000	2018/04/04	2018/10/22	20.00
59444	HK Bank - HKEH R Bull CBBC Oct 2018 Y	50,000,000	2018/04/04	2018/10/04	12.50
59445	HK Bank - HKEH R Bear CBBC Oct 2018 H	40,000,000	2018/04/04	2018/10/04	10.00
59452	HK Bank - HSCEI R Bear CBBC Aug 2018 F	50,000,000	2018/04/04	2018/08/30	12.50
59439	HK Bank - HSI R Bull CBBC Dec 2018 H	150,000,000	2018/04/04	2018/12/28	37.50
59450	HK Bank - HSI R Bear CBBC Aug 2018 O	150,000,000	2018/04/04	2018/08/30	37.50
59451	HK Bank - HSI R Bear CBBC Sep 2018 A	150,000,000	2018/04/04	2018/09/27	37.50
59438	HK Bank - Ping An R Bull CBBC Oct 2018 J	100,000,000	2018/04/04	2018/10/11	25.00
59441	HK Bank - Tencent R Bull CBBC Sep 2018 G	60,000,000	2018/04/04	2018/09/28	15.00
59442	HK Bank - Tencent R Bull CBBC Sep 2018 H	60,000,000	2018/04/04	2018/09/03	15.00
59443	HK Bank - Tencent R Bear CBBC Sep 2018 M	50,000,000	2018/04/04	2018/09/13	20.00
59494	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/04/04	2019/11/28	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59502	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/04/04	2019/11/28	50.00
59493	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/04/04	2019/12/30	50.00
59501	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/04/04	2019/12/30	50.00
59495	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 M	200,000,000	2018/04/04	2018/07/30	50.00
59496	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/04	2018/08/30	50.00
59497	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/04/04	2018/08/30	50.00
59503	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/04/04	2018/08/30	50.00
59504	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/04/04	2018/08/30	50.00
59500	J P Morgan SP BV - Ping An R Bull CBBC Jul 2018 E	100,000,000	2018/04/04	2018/07/13	25.00
59498	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 G	50,000,000	2018/04/04	2018/07/13	12.50
59499	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 I	50,000,000	2018/04/04	2018/07/13	12.50
59492	Macquarie Bank Ltd. - Ping An R Bull CBBC Dec 2018 C	5,000,000	2018/04/04	2018/12/28	15.74
59505	SG Issuer - Galaxy Ent R Bull CBBC Oct 2018 E	80,000,000	2018/04/04	2018/10/26	20.00
59509	SG Issuer - HKEX R Bull CBBC Dec 2018 M	50,000,000	2018/04/04	2018/12/14	12.50
59517	SG Issuer - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/04/04	2019/10/30	50.00
59516	SG Issuer - HSI R Bull CBBC Oct 2019 L	200,000,000	2018/04/04	2019/10/30	50.00
59518	SG Issuer - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/04/04	2019/11/28	50.00
59519	SG Issuer - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/04/04	2019/12/30	50.00
59507	SG Issuer - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/04/04	2018/09/27	50.00
59512	SG Issuer - HSI R Bear CBBC Oct 2018 A	200,000,000	2018/04/04	2018/10/30	50.00
59508	SG Issuer - HSI R Bear CBBC Nov 2018 B	200,000,000	2018/04/04	2018/11/29	50.00
59513	SG Issuer - HSI R Bear CBBC Nov 2018 C	200,000,000	2018/04/04	2018/11/29	50.00
59514	SG Issuer - HSI R Bear CBBC Dec 2018 K	200,000,000	2018/04/04	2018/12/28	50.00
59515	SG Issuer - HSI R Bear CBBC Dec 2018 L	200,000,000	2018/04/04	2018/12/28	50.00
59510	SG Issuer - Tencent R Bull CBBC Nov 2018 D	80,000,000	2018/04/04	2018/11/30	20.00
59506	SG Issuer - Tencent R Bull CBBC Dec 2018 R	80,000,000	2018/04/04	2018/12/21	20.00
59511	SG Issuer - Tencent R Bull CBBC Dec 2018 S	80,000,000	2018/04/04	2018/12/21	20.00
59481	UBS AG - China Life R Bull CBBC Aug 2019 A	40,000,000	2018/04/04	2019/08/19	11.20
59479	UBS AG - HSI R Bull CBBC Nov 2019 C	250,000,000	2018/04/04	2019/11/28	62.50
59478	UBS AG - HSI R Bull CBBC Nov 2019 U	250,000,000	2018/04/04	2019/11/28	62.50
59480	UBS AG - HSI R Bull CBBC Dec 2019 Y	250,000,000	2018/04/04	2019/12/30	62.50
59484	UBS AG - HSI R Bear CBBC Jul 2018 H	250,000,000	2018/04/04	2018/07/30	62.50
59486	UBS AG - HSI R Bear CBBC Jul 2018 K	250,000,000	2018/04/04	2018/07/30	62.50
59483	UBS AG - HSI R Bear CBBC Jul 2018 X	200,000,000	2018/04/04	2018/07/30	50.00
59482	UBS AG - HSI R Bear CBBC Aug 2018 A	250,000,000	2018/04/04	2018/08/30	62.50
59485	UBS AG - HSI R Bear CBBC Aug 2018 C	250,000,000	2018/04/04	2018/08/30	62.50
59487	UBS AG - HSI R Bear CBBC Aug 2018 D	250,000,000	2018/04/04	2018/08/30	62.50
59488	UBS AG - Ping An R Bull CBBC Oct 2018 P	80,000,000	2018/04/04	2018/10/30	20.00
59489	UBS AG - Tencent R Bull CBBC Aug 2018 T	30,000,000	2018/04/04	2018/08/28	10.20
59646	Credit Suisse AG - CC Bank R Bull CBBC Dec 2018 D	80,000,000	2018/04/06	2018/12/28	20.00
59639	Credit Suisse AG - Sinopec Corp R Bull CBBC Nov 2018 C	60,000,000	2018/04/06	2018/11/29	15.00
59644	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Oct 2018 D	50,000,000	2018/04/06	2018/10/30	12.50
59645	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Oct 2018 E	50,000,000	2018/04/06	2018/10/30	12.50
59637	Credit Suisse AG - Galaxy Ent R Bull CBBC Oct 2018 C	50,000,000	2018/04/06	2018/10/30	12.50
59638	Credit Suisse AG - Galaxy Ent R Bear CBBC Oct 2018 B	50,000,000	2018/04/06	2018/10/30	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59640	Credit Suisse AG - HKEX R Bull CBBC Nov 2018 E	50,000,000	2018/04/06	2018/11/29	12.50
59649	Credit Suisse AG - HSI R Bull CBBC Mar 2019 U	200,000,000	2018/04/06	2019/03/28	50.00
59651	Credit Suisse AG - HSI R Bull CBBC Apr 2019 C	200,000,000	2018/04/06	2019/04/29	50.00
59647	Credit Suisse AG - HSI R Bull CBBC Jul 2019 M	200,000,000	2018/04/06	2019/07/30	50.00
59648	Credit Suisse AG - HSI R Bull CBBC Jul 2019 N	200,000,000	2018/04/06	2019/07/30	50.00
59650	Credit Suisse AG - HSI R Bull CBBC Jul 2019 O	200,000,000	2018/04/06	2019/07/30	50.00
59636	Credit Suisse AG - HSI R Bull CBBC Sep 2019 E	200,000,000	2018/04/06	2019/09/27	50.00
59633	Credit Suisse AG - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/04/06	2018/08/30	50.00
59631	Credit Suisse AG - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/04/06	2018/08/30	50.00
59635	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Y	200,000,000	2018/04/06	2018/08/30	50.00
59632	Credit Suisse AG - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/04/06	2018/09/27	50.00
59634	Credit Suisse AG - HSI R Bear CBBC Sep 2018 R	200,000,000	2018/04/06	2018/09/27	50.00
59643	Credit Suisse AG - SUNAC R Bull CBBC Jan 2019 B	100,000,000	2018/04/06	2019/01/30	25.00
59642	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 R	50,000,000	2018/04/06	2018/10/30	12.50
59641	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 K	50,000,000	2018/04/06	2018/11/29	12.50
59652	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 L	50,000,000	2018/04/06	2018/11/29	22.50
59669	Goldman Sachs SP (Asia) - Bank of China R Bull CBBC Feb2019I	100,000,000	2018/04/06	2019/02/26	25.00
59666	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/04/06	2019/12/30	50.20
59664	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/04/06	2019/12/30	50.20
59665	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 R	200,000,000	2018/04/06	2019/12/30	50.00
59569	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 A	200,000,000	2018/04/06	2018/09/27	50.00
59570	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/04/06	2018/09/27	50.00
59571	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/04/06	2018/09/27	50.00
59663	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/04/06	2018/09/27	50.20
59667	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 P	100,000,000	2018/04/06	2018/10/08	25.00
59668	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 Q	100,000,000	2018/04/06	2018/10/09	25.00
59566	HK Bank - AAC Tech R Bull CBBC Oct 2018 G	40,000,000	2018/04/06	2018/10/22	10.00
59568	HK Bank - Country Garden R Bull CBBC Nov 2018 F	40,000,000	2018/04/06	2018/11/05	10.00
59565	HK Bank - Geely Auto R Bull CBBC Sep 2018 Q	40,000,000	2018/04/06	2018/09/17	10.00
59564	HK Bank - Galaxy Ent R Bear CBBC Oct 2018 C	40,000,000	2018/04/06	2018/10/22	10.00
59559	HK Bank - HKEX R Bull CBBC Oct 2018 Z	50,000,000	2018/04/06	2018/10/18	12.50
59563	HK Bank - HSCEI R Bull CBBC Dec 2018 R	60,000,000	2018/04/06	2018/12/28	15.00
59560	HK Bank - HSI R Bull CBBC Dec 2018 I	150,000,000	2018/04/06	2018/12/28	37.50
59561	HK Bank - HSI R Bull CBBC Mar 2019 M	150,000,000	2018/04/06	2019/03/28	37.50
59562	HK Bank - HSI R Bear CBBC Aug 2018 P	150,000,000	2018/04/06	2018/08/30	37.50
59567	HK Bank - ICBC R Bull CBBC Sep 2018 N	100,000,000	2018/04/06	2018/09/17	25.00
59558	HK Bank - Ping An R Bull CBBC Oct 2018 O	100,000,000	2018/04/06	2018/10/02	25.00
59556	HK Bank - Tencent R Bull CBBC Aug 2018 W	60,000,000	2018/04/06	2018/08/30	15.00
59557	HK Bank - Tencent R Bull CBBC Oct 2018 U	60,000,000	2018/04/06	2018/10/02	21.00
59553	Haitong Int'l Sec - HKEX R Bull CBBC Oct 2018 B	40,000,000	2018/04/06	2018/10/24	10.00
59555	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2018 F	30,000,000	2018/04/06	2018/10/10	10.47
59554	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2018 N	40,000,000	2018/04/06	2018/09/24	10.00
59621	J P Morgan SP BV - Bank of China R Bull CBBC Nov 2018 A	150,000,000	2018/04/06	2018/11/16	37.50
59619	J P Morgan SP BV - China Mobile R Bull CBBC Aug 2018 B	100,000,000	2018/04/06	2018/08/17	25.00
59618	J P Morgan SP BV - Galaxy Ent R Bull CBBC Aug 2018 C	80,000,000	2018/04/06	2018/08/17	20.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59616	J P Morgan SP BV - HKEX R Bull CBBC Jul 2018 I	40,000,000	2018/04/06	2018/07/13	10.00
59617	J P Morgan SP BV - HKEX R Bull CBBC Jul 2018 J	50,000,000	2018/04/06	2018/07/13	12.50
59624	J P Morgan SP BV - HSI R Bull CBBC Oct 2018 X	200,000,000	2018/04/06	2018/10/30	50.00
59626	J P Morgan SP BV - HSI R Bull CBBC Oct 2018 Y	200,000,000	2018/04/06	2018/10/30	50.00
59625	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 A	200,000,000	2018/04/06	2019/11/28	50.00
59610	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/04/06	2019/11/28	50.00
59623	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 W	200,000,000	2018/04/06	2019/11/28	50.00
59609	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/04/06	2019/12/30	50.00
59622	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/04/06	2019/12/30	50.00
59629	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 B	200,000,000	2018/04/06	2018/08/30	50.00
59630	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/04/06	2018/08/30	50.00
59606	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/04/06	2018/08/30	50.00
59607	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 W	200,000,000	2018/04/06	2018/08/30	50.00
59608	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/04/06	2018/08/30	50.00
59627	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Y	200,000,000	2018/04/06	2018/08/30	50.00
59628	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Z	200,000,000	2018/04/06	2018/08/30	50.00
59620	J P Morgan SP BV - Sunny Optical R Bull CBBC Jul 2018 E	40,000,000	2018/04/06	2018/07/13	10.00
59611	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 E	50,000,000	2018/04/06	2018/07/13	12.50
59612	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 F	50,000,000	2018/04/06	2018/07/13	12.50
59613	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 S	50,000,000	2018/04/06	2018/07/13	12.50
59614	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 T	50,000,000	2018/04/06	2018/07/13	12.50
59615	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 U	50,000,000	2018/04/06	2018/07/13	12.50
59576	Macquarie Bank Ltd. - HKEX R Bull CBBC Oct 2018 K	16,000,000	2018/04/06	2018/10/26	11.09
59577	Macquarie Bank Ltd. - HKEX R Bull CBBC Oct 2018 L	16,000,000	2018/04/06	2018/10/26	10.64
59578	Macquarie Bank Ltd. - Tencent R Bull CBBC Oct 2018 B	12,000,000	2018/04/06	2018/10/31	12.37
59579	Macquarie Bank Ltd. - Tencent R Bull CBBC Oct 2018 C	12,000,000	2018/04/06	2018/10/31	11.34
59653	SG Issuer - AAC Tech R Bull CBBC Dec 2018 B	40,000,000	2018/04/06	2018/12/07	10.00
59659	SG Issuer - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/04/06	2019/10/30	50.00
59660	SG Issuer - HSI R Bull CBBC Oct 2019 O	200,000,000	2018/04/06	2019/10/30	50.00
59661	SG Issuer - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/04/06	2019/11/28	50.00
59662	SG Issuer - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/04/06	2019/12/30	50.00
59657	SG Issuer - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/04/06	2018/09/27	50.00
59658	SG Issuer - HSI R Bear CBBC Nov 2018 E	200,000,000	2018/04/06	2018/11/29	50.00
59654	SG Issuer - Tencent R Bull CBBC Nov 2018 A	80,000,000	2018/04/06	2018/11/23	20.00
59655	SG Issuer - Tencent R Bull CBBC Dec 2018 T	80,000,000	2018/04/06	2018/12/14	20.00
59656	SG Issuer - Tencent R Bull CBBC Dec 2018 U	80,000,000	2018/04/06	2018/12/21	20.00
59599	UBS AG - CC Bank R Bull CBBC Oct 2018 L	80,000,000	2018/04/06	2018/10/08	20.00
59602	UBS AG - China Life R Bull CBBC Sep 2018 L	40,000,000	2018/04/06	2018/09/17	10.00
59593	UBS AG - HKEX R Bull CBBC Oct 2018 Y	50,000,000	2018/04/06	2018/10/31	12.50
59594	UBS AG - HKEX R Bull CBBC Nov 2018 H	50,000,000	2018/04/06	2018/11/12	12.50
59603	UBS AG - HSCEI R Bull CBBC Nov 2019 D	100,000,000	2018/04/06	2019/11/28	25.00
59604	UBS AG - HSCEI R Bear CBBC Aug 2018 B	100,000,000	2018/04/06	2018/08/30	25.00
59585	UBS AG - HSI R Bull CBBC Oct 2019 B	250,000,000	2018/04/06	2019/10/30	62.50
59586	UBS AG - HSI R Bull CBBC Oct 2019 J	250,000,000	2018/04/06	2019/10/30	62.50
59583	UBS AG - HSI R Bull CBBC Oct 2019 X	250,000,000	2018/04/06	2019/10/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59588	UBS AG - HSI R Bull CBBC Nov 2019 A	250,000,000	2018/04/06	2019/11/28	62.50
59587	UBS AG - HSI R Bull CBBC Nov 2019 D	250,000,000	2018/04/06	2019/11/28	62.50
59584	UBS AG - HSI R Bull CBBC Nov 2019 E	250,000,000	2018/04/06	2019/11/28	62.50
59605	UBS AG - HSI R Bull CBBC Dec 2019 Z	250,000,000	2018/04/06	2019/12/30	62.50
59582	UBS AG - HSI R Bear CBBC Jul 2018 J	250,000,000	2018/04/06	2018/07/30	62.50
59591	UBS AG - HSI R Bear CBBC Jul 2018 L	250,000,000	2018/04/06	2018/07/30	62.50
59580	UBS AG - HSI R Bear CBBC Jul 2018 R	250,000,000	2018/04/06	2018/07/30	62.50
59592	UBS AG - HSI R Bear CBBC Aug 2018 E	250,000,000	2018/04/06	2018/08/30	62.50
59581	UBS AG - HSI R Bear CBBC Aug 2018 F	250,000,000	2018/04/06	2018/08/30	62.50
59589	UBS AG - HSI R Bear CBBC Aug 2018 O	250,000,000	2018/04/06	2018/08/30	62.50
59590	UBS AG - HSI R Bear CBBC Aug 2018 Y	250,000,000	2018/04/06	2018/08/30	62.50
59601	UBS AG - ICBC R Bull CBBC Sep 2018 G	100,000,000	2018/04/06	2018/09/17	25.00
59600	UBS AG - ICBC R Bull CBBC Nov 2018 D	100,000,000	2018/04/06	2018/11/08	25.00
59595	UBS AG - Tencent R Bull CBBC Oct 2018 O	30,000,000	2018/04/06	2018/10/29	10.20
59596	UBS AG - Tencent R Bull CBBC Nov 2018 F	30,000,000	2018/04/06	2018/11/05	10.20
59597	UBS AG - Tencent R Bear CBBC Jul 2018 E	30,000,000	2018/04/06	2018/07/16	11.85
59598	UBS AG - Tencent R Bear CBBC Aug 2018 C	30,000,000	2018/04/06	2018/08/08	10.20
59572	Bank Vontobel - HSI R Bull CBBC Nov 2018 K	100,000,000	2018/04/06	2018/11/29	25.60
59573	Bank Vontobel - HSI R Bull CBBC Nov 2018 L	100,000,000	2018/04/06	2018/11/29	25.60
59574	Bank Vontobel - HSI R Bear CBBC Sep 2018 U	100,000,000	2018/04/06	2018/09/27	25.90
59575	Bank Vontobel - HSI R Bear CBBC Sep 2018 V	100,000,000	2018/04/06	2018/09/27	25.80
59704	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 B	250,000,000	2018/04/09	2018/07/30	62.50
59711	Credit Suisse AG - AIA R Bear CBBC Nov 2018 A	50,000,000	2018/04/09	2018/11/29	12.50
59712	Credit Suisse AG - BYD Company R Bull CBBC Nov 2018 D	50,000,000	2018/04/09	2018/11/29	12.50
59705	Credit Suisse AG - HSI R Bull CBBC Mar 2019 V	200,000,000	2018/04/09	2019/03/28	50.00
59708	Credit Suisse AG - HSI R Bull CBBC Jul 2019 P	200,000,000	2018/04/09	2019/07/30	50.00
59713	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Z	200,000,000	2018/04/09	2018/08/30	50.00
59714	Credit Suisse AG - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/04/09	2018/09/27	50.00
59715	Credit Suisse AG - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/04/09	2018/09/27	50.00
59716	Credit Suisse AG - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/04/09	2018/09/27	50.00
59707	Credit Suisse AG - Ping An R Bull CBBC Nov 2018 Q	80,000,000	2018/04/09	2018/11/29	20.00
59710	Credit Suisse AG - Ping An R Bear CBBC Nov 2018 C	50,000,000	2018/04/09	2018/11/29	12.50
59706	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 S	50,000,000	2018/04/09	2018/10/30	17.00
59709	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 D	50,000,000	2018/04/09	2018/12/28	26.00
59747	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2018I	100,000,000	2018/04/09	2018/11/19	25.00
59748	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2018J	100,000,000	2018/04/09	2018/11/20	25.00
59749	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2018K	100,000,000	2018/04/09	2018/11/21	25.00
59687	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/04/09	2019/12/30	50.00
59717	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/04/09	2019/12/30	50.00
59688	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/04/09	2019/12/30	50.00
59718	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 Q	200,000,000	2018/04/09	2018/07/30	50.00
59719	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 T	200,000,000	2018/04/09	2018/07/30	50.00
59720	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 L	200,000,000	2018/04/09	2018/08/30	50.20
59694	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 X	100,000,000	2018/04/09	2018/12/27	25.00
59743	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 R	100,000,000	2018/04/09	2018/10/22	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59744	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 S	100,000,000	2018/04/09	2018/10/23	25.00
59745	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 C	80,000,000	2018/04/09	2018/12/31	28.40
59746	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 D	80,000,000	2018/04/09	2018/12/31	32.88
59676	HK Bank - CM Bank R Bull CBBC Jan 2019 A	100,000,000	2018/04/09	2019/01/14	25.00
59675	HK Bank - CPIC R Bull CBBC Nov 2018 F	100,000,000	2018/04/09	2018/11/12	25.00
59674	HK Bank - HKEX R Bear CBBC Oct 2018 I	40,000,000	2018/04/09	2018/10/11	10.00
59671	HK Bank - HSI R Bull CBBC Dec 2018 J	100,000,000	2018/04/09	2018/12/28	25.00
59678	HK Bank - HSI R Bull CBBC Dec 2018 K	100,000,000	2018/04/09	2018/12/28	25.00
59672	HK Bank - HSI R Bear CBBC Aug 2018 Q	100,000,000	2018/04/09	2018/08/30	25.00
59677	HK Bank - Tencent R Bull CBBC Aug 2018 O	60,000,000	2018/04/09	2018/08/16	15.00
59670	HK Bank - Tencent R Bull CBBC Aug 2018 Z	60,000,000	2018/04/09	2018/08/13	15.00
59673	HK Bank - Tencent R Bear CBBC Oct 2018 L	50,000,000	2018/04/09	2018/10/04	12.50
59689	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 B	12,300,000	2018/04/09	2018/10/31	10.01
59690	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 C	10,800,000	2018/04/09	2018/10/31	10.05
59691	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 D	9,600,000	2018/04/09	2018/10/31	10.06
59692	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 E	8,600,000	2018/04/09	2018/10/31	10.02
59693	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 F	7,800,000	2018/04/09	2018/10/31	10.01
59741	SG Issuer - AAC Tech R Bull CBBC Nov 2018 C	40,000,000	2018/04/09	2018/11/22	10.00
59726	SG Issuer - AIA R Bull CBBC Dec 2018 I	60,000,000	2018/04/09	2018/12/07	15.00
59727	SG Issuer - BYD Company R Bull CBBC Nov 2018 C	50,000,000	2018/04/09	2018/11/05	12.50
59742	SG Issuer - BYD Company R Bull CBBC Dec 2018 D	50,000,000	2018/04/09	2018/12/07	12.50
59734	SG Issuer - A50 China R Bull CBBC Nov 2018 E	50,000,000	2018/04/09	2018/11/23	12.50
59721	SG Issuer - Geely Auto R Bull CBBC Nov 2018 B	40,000,000	2018/04/09	2018/11/09	10.00
59728	SG Issuer - HKEX R Bull CBBC Dec 2018 N	50,000,000	2018/04/09	2018/12/03	12.50
59740	SG Issuer - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/04/09	2019/12/30	50.00
59735	SG Issuer - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/04/09	2018/10/30	50.00
59736	SG Issuer - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/04/09	2018/10/30	50.00
59737	SG Issuer - HSI R Bear CBBC Nov 2018 F	200,000,000	2018/04/09	2018/11/29	50.00
59738	SG Issuer - HSI R Bear CBBC Dec 2018 M	200,000,000	2018/04/09	2018/12/28	50.00
59739	SG Issuer - HSI R Bear CBBC Dec 2018 N	200,000,000	2018/04/09	2018/12/28	50.00
59722	SG Issuer - Ping An R Bull CBBC Dec 2018 R	100,000,000	2018/04/09	2018/12/12	25.00
59729	SG Issuer - Ping An R Bull CBBC Dec 2018 S	100,000,000	2018/04/09	2018/12/07	25.00
59723	SG Issuer - Sunny Optical R Bull CBBC Dec 2018 F	40,000,000	2018/04/09	2018/12/07	10.00
59724	SG Issuer - Sunny Optical R Bull CBBC Dec 2018 G	40,000,000	2018/04/09	2018/12/10	10.00
59730	SG Issuer - Tencent R Bull CBBC Oct 2018 U	80,000,000	2018/04/09	2018/10/26	20.00
59731	SG Issuer - Tencent R Bull CBBC Nov 2018 E	80,000,000	2018/04/09	2018/11/23	20.00
59725	SG Issuer - Tencent R Bull CBBC Dec 2018 V	80,000,000	2018/04/09	2018/12/07	20.00
59732	SG Issuer - ZTE R Bull CBBC Nov 2018 A	50,000,000	2018/04/09	2018/11/29	22.50
59733	SG Issuer - ZTE R Bull CBBC Dec 2018 A	50,000,000	2018/04/09	2018/12/21	12.50
59698	UBS AG - HSI R Bull CBBC Oct 2019 U	250,000,000	2018/04/09	2019/10/30	62.50
59697	UBS AG - HSI R Bull CBBC Dec 2019 D	250,000,000	2018/04/09	2019/12/30	62.50
59695	UBS AG - HSI R Bull CBBC Dec 2019 Q	250,000,000	2018/04/09	2019/12/30	62.50
59696	UBS AG - HSI R Bull CBBC Dec 2019 V	200,000,000	2018/04/09	2019/12/30	50.00
59701	UBS AG - HSI R Bear CBBC Jul 2018 S	250,000,000	2018/04/09	2018/07/30	62.50
59702	UBS AG - HSI R Bear CBBC Aug 2018 I	250,000,000	2018/04/09	2018/08/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59703	UBS AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/04/09	2018/08/30	50.00
59699	UBS AG - Ping An R Bull CBBC Oct 2018 Q	80,000,000	2018/04/09	2018/10/23	20.00
59700	UBS AG - Ping An R Bull CBBC Oct 2018 R	80,000,000	2018/04/09	2018/10/16	20.00
59679	Bank Vontobel - HSI R Bull CBBC Nov 2018 M	100,000,000	2018/04/09	2018/11/29	25.40
59680	Bank Vontobel - HSI R Bull CBBC Nov 2018 N	100,000,000	2018/04/09	2018/11/29	25.40
59681	Bank Vontobel - HSI R Bear CBBC Sep 2018 W	100,000,000	2018/04/09	2018/09/27	25.40
59686	Bank Vontobel - HSI R Bear CBBC Sep 2018 X	100,000,000	2018/04/09	2018/09/27	25.40
59684	Bank Vontobel - Tencent R Bull CBBC Sep 2018 O	40,000,000	2018/04/09	2018/09/24	45.40
59685	Bank Vontobel - Tencent R Bull CBBC Sep 2018 P	40,000,000	2018/04/09	2018/09/21	27.40
59682	Bank Vontobel - Tencent R Bear CBBC Jul 2018 O	40,000,000	2018/04/09	2018/07/26	10.24
59683	Bank Vontobel - Tencent R Bear CBBC Jul 2018 P	40,000,000	2018/04/09	2018/07/25	10.20
59753	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Q	250,000,000	2018/04/10	2019/12/30	62.50
59818	Credit Suisse AG - BYD Company R Bull CBBC Dec 2018 A	50,000,000	2018/04/10	2018/12/28	12.50
59819	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2018 A	50,000,000	2018/04/10	2018/11/29	12.50
59811	Credit Suisse AG - Geely Auto R Bull CBBC Nov 2018 A	40,000,000	2018/04/10	2018/11/29	15.20
59810	Credit Suisse AG - HSI R Bull CBBC Jul 2019 Q	200,000,000	2018/04/10	2019/07/30	50.00
59814	Credit Suisse AG - HSI R Bear CBBC Aug 2018 F	200,000,000	2018/04/10	2018/08/30	50.00
59815	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/04/10	2018/09/27	50.00
59816	Credit Suisse AG - HSI R Bear CBBC Oct 2018 D	200,000,000	2018/04/10	2018/10/30	50.00
59817	Credit Suisse AG - HSI R Bear CBBC Oct 2018 E	200,000,000	2018/04/10	2018/10/30	50.00
59813	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 E	80,000,000	2018/04/10	2018/12/28	20.00
59812	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 M	50,000,000	2018/04/10	2018/11/29	16.50
59832	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2018 J	100,000,000	2018/04/10	2018/12/27	25.00
59769	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 G	100,000,000	2018/04/10	2018/12/27	25.00
59828	Goldman Sachs SP (Asia) - HSCEI R Bear CBBC Jul 2018 B	200,000,000	2018/04/10	2018/07/30	50.00
59764	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 J	200,000,000	2018/04/10	2020/01/30	50.00
59765	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 K	200,000,000	2018/04/10	2020/01/30	50.00
59820	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 L	200,000,000	2018/04/10	2020/01/30	50.00
59770	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 E	200,000,000	2018/04/10	2018/09/27	50.00
59771	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/04/10	2018/09/27	50.00
59772	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/04/10	2018/09/27	50.00
59768	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 D	100,000,000	2018/04/10	2018/12/27	25.00
59766	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 Y	100,000,000	2018/04/10	2018/12/27	25.00
59767	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 Z	100,000,000	2018/04/10	2018/12/31	25.00
59829	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 E	100,000,000	2018/04/10	2018/12/27	25.00
59830	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 E	80,000,000	2018/04/10	2018/12/31	20.00
59831	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 F	80,000,000	2018/04/10	2018/12/27	42.40
59760	HK Bank - BYD Company R Bull CBBC Sep 2018 E	50,000,000	2018/04/10	2018/09/24	12.50
59762	HK Bank - China Mobile R Bull CBBC Oct 2018 K	50,000,000	2018/04/10	2018/10/18	12.50
59763	HK Bank - CNOOC R Bull CBBC Oct 2018 J	50,000,000	2018/04/10	2018/10/22	12.50
59755	HK Bank - Sinopec Corp R Bull CBBC Nov 2018 B	80,000,000	2018/04/10	2018/11/12	20.00
59761	HK Bank - Geely Auto R Bull CBBC Sep 2018 R	40,000,000	2018/04/10	2018/09/03	10.00
59752	HK Bank - HSI R Bull CBBC Dec 2018 L	150,000,000	2018/04/10	2018/12/28	37.50
59757	HK Bank - HSI R Bear CBBC Aug 2018 R	100,000,000	2018/04/10	2018/08/30	25.00
59759	HK Bank - HSI R Bear CBBC Aug 2018 S	150,000,000	2018/04/10	2018/08/30	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59754	HK Bank - NCI R Bull CBBC Nov 2018 B	40,000,000	2018/04/10	2018/11/19	10.00
59751	HK Bank - Ping An R Bull CBBC Oct 2018 H	100,000,000	2018/04/10	2018/10/25	25.00
59758	HK Bank - Ping An R Bear CBBC Oct 2018 I	80,000,000	2018/04/10	2018/10/11	20.00
59750	HK Bank - Tencent R Bull CBBC Aug 2018 D	60,000,000	2018/04/10	2018/08/09	15.00
59756	HK Bank - Tencent R Bear CBBC Oct 2018 M	50,000,000	2018/04/10	2018/10/11	12.50
59826	SG Issuer - HSI R Bull CBBC Oct 2019 D	200,000,000	2018/04/10	2019/10/30	50.00
59827	SG Issuer - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/04/10	2019/12/30	50.00
59824	SG Issuer - HSI R Bear CBBC Nov 2018 G	200,000,000	2018/04/10	2018/11/29	50.00
59825	SG Issuer - HSI R Bear CBBC Dec 2018 O	200,000,000	2018/04/10	2018/12/28	50.00
59821	SG Issuer - Ping An R Bull CBBC Nov 2018 N	100,000,000	2018/04/10	2018/11/23	25.00
59822	SG Issuer - Ping An R Bull CBBC Dec 2018 T	100,000,000	2018/04/10	2018/12/14	25.00
59823	SG Issuer - Tencent R Bull CBBC Dec 2018 W	80,000,000	2018/04/10	2018/12/07	20.00
59802	UBS AG - BOCL R Bear CBBC Sep 2018 A	50,000,000	2018/04/10	2018/09/17	12.50
59783	UBS AG - China Mobile R Bull CBBC Sep 2018 B	80,000,000	2018/04/10	2018/09/24	20.00
59781	UBS AG - CC Bank R Bull CBBC Aug 2018 K	80,000,000	2018/04/10	2018/08/16	20.00
59782	UBS AG - CC Bank R Bull CBBC Oct 2018 M	80,000,000	2018/04/10	2018/10/22	20.00
59800	UBS AG - CC Bank R Bear CBBC Sep 2018 A	50,000,000	2018/04/10	2018/09/24	12.50
59787	UBS AG - CSOP A50 ETF R Bull CBBC Sep 2018 F	50,000,000	2018/04/10	2018/09/17	12.50
59786	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2018 E	50,000,000	2018/04/10	2018/10/22	12.50
59788	UBS AG - A50 China R Bull CBBC Sep 2018 C	50,000,000	2018/04/10	2018/09/24	12.50
59789	UBS AG - A50 China R Bull CBBC Oct 2018 A	50,000,000	2018/04/10	2018/10/08	12.50
59793	UBS AG - Galaxy Ent R Bull CBBC Aug 2018 D	40,000,000	2018/04/10	2018/08/20	10.00
59803	UBS AG - Galaxy Ent R Bear CBBC Aug 2018 A	40,000,000	2018/04/10	2018/08/20	10.00
59777	UBS AG - HKEX R Bull CBBC Oct 2018 B	50,000,000	2018/04/10	2018/10/08	12.50
59776	UBS AG - HKEX R Bull CBBC Oct 2018 Z	50,000,000	2018/04/10	2018/10/22	12.50
59778	UBS AG - HKEX R Bull CBBC Nov 2018 I	50,000,000	2018/04/10	2018/11/19	12.50
59794	UBS AG - HKEX R Bear CBBC Jul 2018 B	50,000,000	2018/04/10	2018/07/16	12.50
59795	UBS AG - HKEX R Bear CBBC Oct 2018 A	50,000,000	2018/04/10	2018/10/15	14.00
59775	UBS AG - HSBC R Bull CBBC Sep 2018 L	80,000,000	2018/04/10	2018/09/10	20.00
59792	UBS AG - HSBC R Bear CBBC Aug 2018 B	50,000,000	2018/04/10	2018/08/20	12.50
59773	UBS AG - HSI R Bull CBBC Sep 2019 B	250,000,000	2018/04/10	2019/09/27	62.50
59809	UBS AG - HSI R Bull CBBC Dec 2019 E	250,000,000	2018/04/10	2019/12/30	62.50
59807	UBS AG - HSI R Bear CBBC Aug 2018 J	250,000,000	2018/04/10	2018/08/30	62.50
59804	UBS AG - HSI R Bear CBBC Aug 2018 S	250,000,000	2018/04/10	2018/08/30	62.50
59805	UBS AG - HSI R Bear CBBC Aug 2018 T	250,000,000	2018/04/10	2018/08/30	62.50
59806	UBS AG - HSI R Bear CBBC Sep 2018 J	250,000,000	2018/04/10	2018/09/27	62.50
59808	UBS AG - HSI R Bear CBBC Sep 2018 K	250,000,000	2018/04/10	2018/09/27	62.50
59784	UBS AG - ICBC R Bull CBBC Sep 2018 H	100,000,000	2018/04/10	2018/09/03	25.00
59785	UBS AG - ICBC R Bull CBBC Oct 2018 I	100,000,000	2018/04/10	2018/10/15	25.00
59801	UBS AG - ICBC R Bear CBBC Sep 2018 A	50,000,000	2018/04/10	2018/09/17	12.50
59779	UBS AG - Ping An R Bull CBBC Oct 2018 S	80,000,000	2018/04/10	2018/10/24	20.00
59780	UBS AG - Ping An R Bull CBBC Nov 2018 P	80,000,000	2018/04/10	2018/11/05	20.00
59798	UBS AG - Ping An R Bear CBBC Oct 2018 A	80,000,000	2018/04/10	2018/10/15	20.00
59799	UBS AG - Ping An R Bear CBBC Oct 2018 B	80,000,000	2018/04/10	2018/10/29	20.00
59774	UBS AG - Tencent R Bull CBBC Sep 2018 W	30,000,000	2018/04/10	2018/09/24	10.20

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59791	UBS AG - Tencent R Bull CBBC Sep 2018 X	30,000,000	2018/04/10	2018/09/10	12.75
59790	UBS AG - Tencent R Bull CBBC Oct 2018 P	30,000,000	2018/04/10	2018/10/08	11.55
59796	UBS AG - Tencent R Bear CBBC Jul 2018 F	30,000,000	2018/04/10	2018/07/30	15.90
59797	UBS AG - Tencent R Bear CBBC Aug 2018 D	30,000,000	2018/04/10	2018/08/20	10.20
59833	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 R	250,000,000	2018/04/11	2019/12/30	62.50
59856	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 S	250,000,000	2018/04/11	2019/12/30	62.50
59860	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 T	250,000,000	2018/04/11	2019/12/30	62.50
59854	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 C	250,000,000	2018/04/11	2018/07/30	62.50
59859	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 H	250,000,000	2018/04/11	2018/07/30	62.50
59855	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 X	50,000,000	2018/04/11	2018/09/27	12.50
59857	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 H	50,000,000	2018/04/11	2018/11/29	12.50
59858	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 P	50,000,000	2018/04/11	2018/11/29	12.50
59910	Credit Suisse AG - CC Bank R Bull CBBC Sep 2018 L	80,000,000	2018/04/11	2018/09/27	20.00
59919	Credit Suisse AG - CPIC R Bull CBBC Dec 2018 C	50,000,000	2018/04/11	2018/12/28	12.50
59920	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2018 B	50,000,000	2018/04/11	2018/11/29	12.50
59916	Credit Suisse AG - HKEX R Bull CBBC Sep 2018 T	50,000,000	2018/04/11	2018/09/27	12.50
59915	Credit Suisse AG - HSBC R Bull CBBC Nov 2018 D	70,000,000	2018/04/11	2018/11/29	17.50
59914	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 A	70,000,000	2018/04/11	2018/12/28	17.50
59921	Credit Suisse AG - HSI R Bull CBBC Apr 2019 I	200,000,000	2018/04/11	2019/04/29	50.00
59907	Credit Suisse AG - HSI R Bull CBBC Jul 2019 R	200,000,000	2018/04/11	2019/07/30	50.00
59908	Credit Suisse AG - HSI R Bull CBBC Sep 2019 F	200,000,000	2018/04/11	2019/09/27	50.00
59912	Credit Suisse AG - HSI R Bear CBBC Aug 2018 B	200,000,000	2018/04/11	2018/08/30	50.00
59913	Credit Suisse AG - HSI R Bear CBBC Oct 2018 F	200,000,000	2018/04/11	2018/10/30	50.00
59911	Credit Suisse AG - Ping An R Bull CBBC Nov 2018 R	80,000,000	2018/04/11	2018/11/29	20.00
59909	Credit Suisse AG - Tencent R Bull CBBC Sep 2018 I	50,000,000	2018/04/11	2018/09/27	12.50
59917	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 N	50,000,000	2018/04/11	2018/11/29	19.00
59918	Credit Suisse AG - Tencent R Bear CBBC Oct 2018 E	50,000,000	2018/04/11	2018/10/30	12.50
59853	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 P	100,000,000	2018/04/11	2018/12/27	25.00
59923	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2018 P	100,000,000	2018/04/11	2018/12/31	25.00
59852	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 H	100,000,000	2018/04/11	2018/12/27	25.00
59924	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 I	100,000,000	2018/04/11	2018/12/31	25.00
59869	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Dec 2019 I	200,000,000	2018/04/11	2019/12/30	50.00
59848	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/04/11	2019/11/28	50.00
59847	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/04/11	2019/12/30	50.00
59849	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/04/11	2019/12/30	50.00
59866	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 H	200,000,000	2018/04/11	2018/09/27	50.00
59867	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/04/11	2018/09/27	50.20
59868	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/04/11	2018/09/27	50.00
59850	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 T	100,000,000	2018/04/11	2018/10/22	25.00
59851	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 U	100,000,000	2018/04/11	2018/10/23	25.00
59843	HK Bank - BYD Company R Bull CBBC Dec 2018 A	50,000,000	2018/04/11	2018/12/24	12.50
59844	HK Bank - Galaxy Ent R Bull CBBC Oct 2018 F	80,000,000	2018/04/11	2018/10/25	20.00
59835	HK Bank - HKEX R Bull CBBC Sep 2018 X	50,000,000	2018/04/11	2018/09/27	12.50
59842	HK Bank - HKEX R Bear CBBC Oct 2018 J	40,000,000	2018/04/11	2018/10/15	10.00
59840	HK Bank - HSCEI R Bear CBBC Aug 2018 G	50,000,000	2018/04/11	2018/08/30	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59837	HK Bank - HSI R Bull CBBC Dec 2018 B	100,000,000	2018/04/11	2018/12/28	25.00
59845	HK Bank - HSI R Bear CBBC Aug 2018 T	100,000,000	2018/04/11	2018/08/30	25.00
59839	HK Bank - HSI R Bear CBBC Sep 2018 B	150,000,000	2018/04/11	2018/09/27	37.50
59838	HK Bank - Ping An R Bull CBBC Oct 2018 P	100,000,000	2018/04/11	2018/10/08	25.00
59836	HK Bank - Tencent R Bull CBBC Sep 2018 J	60,000,000	2018/04/11	2018/09/27	15.00
59841	HK Bank - Tencent R Bear CBBC Oct 2018 N	50,000,000	2018/04/11	2018/10/29	12.50
59846	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 G	13,700,000	2018/04/11	2018/10/15	10.00
59899	SG Issuer - CSOP A50 ETF R Bull CBBC Dec 2018 A	40,000,000	2018/04/11	2018/12/10	10.00
59893	SG Issuer - HKEX R Bull CBBC Dec 2018 O	50,000,000	2018/04/11	2018/12/07	12.50
59894	SG Issuer - HSBC R Bull CBBC Nov 2018 Q	100,000,000	2018/04/11	2018/11/30	25.00
59895	SG Issuer - HSBC R Bull CBBC Dec 2018 K	100,000,000	2018/04/11	2018/12/14	25.00
59903	SG Issuer - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/04/11	2019/10/30	50.00
59904	SG Issuer - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/04/11	2019/11/28	50.00
59905	SG Issuer - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/04/11	2019/11/28	50.00
59906	SG Issuer - HSI R Bull CBBC Dec 2019 T	200,000,000	2018/04/11	2019/12/30	50.00
59902	SG Issuer - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/11	2018/08/30	50.00
59896	SG Issuer - Tencent R Bull CBBC Oct 2018 V	80,000,000	2018/04/11	2018/10/26	20.00
59897	SG Issuer - Tencent R Bull CBBC Nov 2018 F	80,000,000	2018/04/11	2018/11/30	20.00
59898	SG Issuer - Tencent R Bull CBBC Dec 2018 X	80,000,000	2018/04/11	2018/12/07	20.00
59901	SG Issuer - Tencent R Bull CBBC Dec 2018 Y	80,000,000	2018/04/11	2018/12/07	20.00
59900	SG Issuer - Tencent R Bear CBBC Oct 2018 K	80,000,000	2018/04/11	2018/10/30	20.00
59887	UBS AG - BOCL R Bear CBBC Sep 2018 B	50,000,000	2018/04/11	2018/09/03	12.50
59886	UBS AG - China Mobile R Bear CBBC Aug 2018 A	80,000,000	2018/04/11	2018/08/06	20.00
59892	UBS AG - CM Bank R Bull CBBC Jan 2019 A	40,000,000	2018/04/11	2019/01/14	10.00
59885	UBS AG - CNOOC R Bear CBBC Oct 2018 A	40,000,000	2018/04/11	2018/10/29	10.00
59881	UBS AG - Sinopec Corp R Bull CBBC Oct 2018 A	50,000,000	2018/04/11	2018/10/15	12.50
59882	UBS AG - Sinopec Corp R Bull CBBC Oct 2018 B	50,000,000	2018/04/11	2018/10/29	12.50
59880	UBS AG - Geely Auto R Bear CBBC Sep 2018 A	40,000,000	2018/04/11	2018/09/10	10.00
59879	UBS AG - HSBC R Bull CBBC Sep 2018 M	50,000,000	2018/04/11	2018/09/24	17.00
59888	UBS AG - HSCEI R Bull CBBC Oct 2019 D	100,000,000	2018/04/11	2019/10/30	25.00
59874	UBS AG - HSI R Bull CBBC Oct 2019 A	250,000,000	2018/04/11	2019/10/30	62.50
59875	UBS AG - HSI R Bull CBBC Oct 2019 C	250,000,000	2018/04/11	2019/10/30	62.50
59873	UBS AG - HSI R Bull CBBC Oct 2019 L	250,000,000	2018/04/11	2019/10/30	62.50
59872	UBS AG - HSI R Bull CBBC Nov 2019 G	250,000,000	2018/04/11	2019/11/28	62.50
59871	UBS AG - HSI R Bull CBBC Dec 2019 F	250,000,000	2018/04/11	2019/12/30	62.50
59922	UBS AG - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/04/11	2019/12/30	50.00
59889	UBS AG - HSI R Bear CBBC Jul 2018 Y	250,000,000	2018/04/11	2018/07/30	62.50
59890	UBS AG - HSI R Bear CBBC Aug 2018 U	250,000,000	2018/04/11	2018/08/30	62.50
59891	UBS AG - HSI R Bear CBBC Aug 2018 V	250,000,000	2018/04/11	2018/08/30	62.50
59884	UBS AG - Petrochina R Bear CBBC Oct 2018 A	40,000,000	2018/04/11	2018/10/15	10.00
59876	UBS AG - Tencent R Bull CBBC Sep 2018 Y	30,000,000	2018/04/11	2018/09/17	10.20
59877	UBS AG - Tencent R Bull CBBC Sep 2018 Z	30,000,000	2018/04/11	2018/09/19	10.20
59878	UBS AG - Tencent R Bull CBBC Oct 2018 Q	30,000,000	2018/04/11	2018/10/10	10.20
59883	UBS AG - Tencent R Bear CBBC Aug 2018 E	30,000,000	2018/04/11	2018/08/22	10.20
59861	Bank Vontobel - HSI R Bull CBBC Nov 2018 P	40,000,000	2018/04/11	2018/11/29	10.24

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59862	Bank Vontobel - HSI R Bull CBBC Nov 2018 Q	40,000,000	2018/04/11	2018/11/29	10.24
59863	Bank Vontobel - HSI R Bear CBBC Oct 2018 H	40,000,000	2018/04/11	2018/10/30	10.32
59864	Bank Vontobel - HSI R Bear CBBC Oct 2018 I	40,000,000	2018/04/11	2018/10/30	10.28
59865	Bank Vontobel - HSI R Bear CBBC Oct 2018 J	40,000,000	2018/04/11	2018/10/30	10.24
59977	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 U	250,000,000	2018/04/12	2019/12/30	62.50
59983	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 V	250,000,000	2018/04/12	2019/12/30	62.50
59982	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 A	250,000,000	2018/04/12	2018/07/30	62.50
59981	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 D	250,000,000	2018/04/12	2018/07/30	62.50
59934	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 I	250,000,000	2018/04/12	2018/07/30	62.50
59979	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 T	250,000,000	2018/04/12	2018/07/30	62.50
59980	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 U	250,000,000	2018/04/12	2018/07/30	62.50
59978	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 O	50,000,000	2018/04/12	2018/11/29	12.50
60018	Credit Suisse AG - HKEX R Bull CBBC Oct 2018 V	50,000,000	2018/04/12	2018/10/30	12.50
60036	Credit Suisse AG - HSI R Bull CBBC Aug 2018 F	200,000,000	2018/04/12	2018/08/30	50.00
60037	Credit Suisse AG - HSI R Bull CBBC Aug 2018 G	200,000,000	2018/04/12	2018/08/30	50.00
60006	Credit Suisse AG - HSI R Bull CBBC Mar 2019 W	200,000,000	2018/04/12	2019/03/28	50.00
60007	Credit Suisse AG - HSI R Bull CBBC Jul 2019 S	200,000,000	2018/04/12	2019/07/30	50.00
60008	Credit Suisse AG - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/04/12	2018/08/30	50.00
60041	Credit Suisse AG - HSI R Bear CBBC Aug 2018 G	200,000,000	2018/04/12	2018/08/30	50.00
60043	Credit Suisse AG - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/04/12	2018/08/30	50.00
60050	Credit Suisse AG - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/04/12	2018/09/27	50.00
60052	Credit Suisse AG - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/04/12	2018/09/27	50.00
60011	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 T	50,000,000	2018/04/12	2018/10/30	12.50
60016	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 U	50,000,000	2018/04/12	2018/10/30	20.00
60009	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 O	50,000,000	2018/04/12	2018/11/29	12.50
60055	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 P	50,000,000	2018/04/12	2018/11/29	18.50
60096	Goldman Sachs SP (Asia) - China Life R Bull CBBC Feb 2019 A	100,000,000	2018/04/12	2019/02/27	25.00
60098	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 E	100,000,000	2018/04/12	2018/12/31	25.00
60102	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 F	100,000,000	2018/04/12	2018/12/31	25.00
59928	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 S	200,000,000	2018/04/12	2019/10/30	50.00
59945	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 T	200,000,000	2018/04/12	2019/10/30	50.00
59946	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 V	200,000,000	2018/04/12	2019/10/30	50.00
60061	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 E	200,000,000	2018/04/12	2018/10/30	50.00
60070	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 F	200,000,000	2018/04/12	2018/10/30	50.20
60079	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 G	200,000,000	2018/04/12	2018/10/30	50.00
59948	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Dec 2018 U	100,000,000	2018/04/12	2018/12/31	25.00
59927	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 V	100,000,000	2018/04/12	2018/10/22	25.00
59947	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 W	100,000,000	2018/04/12	2018/10/22	25.00
59949	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 X	100,000,000	2018/04/12	2018/10/22	25.00
60089	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 F	100,000,000	2018/04/12	2018/12/31	25.00
60091	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 G	80,000,000	2018/04/12	2018/12/31	24.08
60092	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 H	80,000,000	2018/04/12	2018/12/31	33.52
60094	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 G	80,000,000	2018/04/12	2018/12/31	20.00
59933	HK Bank - Sinopec Corp R Bull CBBC Oct 2018 G	80,000,000	2018/04/12	2018/10/29	20.00
59932	HK Bank - HKEX R Bull CBBC Oct 2018 V	50,000,000	2018/04/12	2018/10/04	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59939	HK Bank - HSI R Bull CBBC Dec 2018 D	150,000,000	2018/04/12	2018/12/28	37.50
59930	HK Bank - HSI R Bear CBBC Aug 2018 U	150,000,000	2018/04/12	2018/08/30	37.50
59931	HK Bank - HSI R Bear CBBC Sep 2018 D	100,000,000	2018/04/12	2018/09/27	25.00
59929	HK Bank - Tencent R Bull CBBC Sep 2018 K	60,000,000	2018/04/12	2018/09/13	15.00
59944	Macquarie Bank Ltd. - AAC Tech R Bull CBBC Apr 2019 A	19,000,000	2018/04/12	2019/04/15	10.07
59943	Macquarie Bank Ltd. - BYD Company R Bull CBBC Apr 2019 A	40,000,000	2018/04/12	2019/04/15	10.00
59941	Macquarie Bank Ltd. - China Mobile R Bull CBBC Oct 2018 A	40,000,000	2018/04/12	2018/10/30	10.00
59940	Macquarie Bank Ltd. - China Mobile R Bull CBBC Nov 2018 A	40,000,000	2018/04/12	2018/11/29	10.16
59925	Macquarie Bank Ltd. - Geely Auto R Bull CBBC Nov 2018 B	15,000,000	2018/04/12	2018/11/29	10.17
59926	Macquarie Bank Ltd. - Geely Auto R Bull CBBC Nov 2018 C	16,000,000	2018/04/12	2018/11/29	10.19
59942	Macquarie Bank Ltd. - PetroChina R Bull CBBC Nov 2018 A	40,000,000	2018/04/12	2018/11/29	10.00
59990	SG Issuer - HKEX R Bull CBBC Nov 2018 T	50,000,000	2018/04/12	2018/11/19	12.50
59998	SG Issuer - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/04/12	2019/11/28	50.00
59999	SG Issuer - HSI R Bull CBBC Dec 2019 U	200,000,000	2018/04/12	2019/12/30	50.00
59992	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/04/12	2018/09/27	50.00
59993	SG Issuer - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/04/12	2018/09/27	50.00
59994	SG Issuer - HSI R Bear CBBC Oct 2018 I	200,000,000	2018/04/12	2018/10/30	50.00
59995	SG Issuer - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/04/12	2018/10/30	50.00
59996	SG Issuer - HSI R Bear CBBC Nov 2018 H	200,000,000	2018/04/12	2018/11/29	50.00
59997	SG Issuer - HSI R Bear CBBC Dec 2018 P	200,000,000	2018/04/12	2018/12/28	50.00
59989	SG Issuer - Tencent R Bull CBBC Nov 2018 H	80,000,000	2018/04/12	2018/11/30	20.00
59991	SG Issuer - Tencent R Bull CBBC Dec 2018 Z	80,000,000	2018/04/12	2018/12/03	20.00
59967	UBS AG - Bank of China R Bull CBBC Dec 2018 A	60,000,000	2018/04/12	2018/12/17	15.00
59963	UBS AG - BYD Company R Bull CBBC Nov 2018 A	40,000,000	2018/04/12	2018/11/19	10.00
59964	UBS AG - BYD Company R Bull CBBC Dec 2018 A	40,000,000	2018/04/12	2018/12/03	10.00
59968	UBS AG - China Life R Bear CBBC Oct 2018 A	50,000,000	2018/04/12	2018/10/22	14.25
59965	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2018 F	50,000,000	2018/04/12	2018/10/08	12.50
59966	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2018 G	50,000,000	2018/04/12	2018/10/29	12.50
59961	UBS AG - Geely Auto R Bull CBBC Oct 2018 A	40,000,000	2018/04/12	2018/10/29	10.00
59962	UBS AG - Geely Auto R Bull CBBC Nov 2018 B	40,000,000	2018/04/12	2018/11/19	10.60
59952	UBS AG - HKEX R Bull CBBC Oct 2018 A	50,000,000	2018/04/12	2018/10/29	12.50
59953	UBS AG - HKEX R Bull CBBC Nov 2018 J	50,000,000	2018/04/12	2018/11/05	12.50
59954	UBS AG - HKEX R Bull CBBC Nov 2018 K	50,000,000	2018/04/12	2018/11/26	14.00
59969	UBS AG - HSBC R Bull CBBC Aug 2018 F	50,000,000	2018/04/12	2018/08/27	12.50
59986	UBS AG - HSI R Bull CBBC Oct 2019 E	250,000,000	2018/04/12	2019/10/30	62.50
59988	UBS AG - HSI R Bull CBBC Oct 2019 O	250,000,000	2018/04/12	2019/10/30	62.50
59950	UBS AG - HSI R Bull CBBC Nov 2019 N	250,000,000	2018/04/12	2019/11/28	62.50
59971	UBS AG - HSI R Bull CBBC Nov 2019 O	250,000,000	2018/04/12	2019/11/28	62.50
59972	UBS AG - HSI R Bull CBBC Nov 2019 P	250,000,000	2018/04/12	2019/11/28	62.50
59985	UBS AG - HSI R Bull CBBC Nov 2019 R	250,000,000	2018/04/12	2019/11/28	62.50
59987	UBS AG - HSI R Bull CBBC Nov 2019 S	250,000,000	2018/04/12	2019/11/28	62.50
59970	UBS AG - HSI R Bull CBBC Dec 2019 J	250,000,000	2018/04/12	2019/12/30	62.50
59973	UBS AG - HSI R Bear CBBC Jul 2018 Q	250,000,000	2018/04/12	2018/07/30	62.50
59975	UBS AG - HSI R Bear CBBC Jul 2018 X	250,000,000	2018/04/12	2018/07/30	62.50
59951	UBS AG - HSI R Bear CBBC Jul 2018 Z	250,000,000	2018/04/12	2018/07/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
59974	UBS AG - HSI R Bear CBBC Aug 2018 W	250,000,000	2018/04/12	2018/08/30	62.50
59976	UBS AG - HSI R Bear CBBC Aug 2018 X	250,000,000	2018/04/12	2018/08/30	62.50
59958	UBS AG - Ping An R Bull CBBC Oct 2018 T	80,000,000	2018/04/12	2018/10/10	20.00
59959	UBS AG - Ping An R Bull CBBC Nov 2018 Q	80,000,000	2018/04/12	2018/11/12	20.00
59960	UBS AG - Ping An R Bull CBBC Dec 2018 E	80,000,000	2018/04/12	2018/12/03	20.00
59955	UBS AG - Tencent R Bull CBBC Aug 2018 U	30,000,000	2018/04/12	2018/08/08	10.20
59956	UBS AG - Tencent R Bull CBBC Aug 2018 V	30,000,000	2018/04/12	2018/08/15	10.20
59957	UBS AG - Tencent R Bull CBBC Aug 2018 W	30,000,000	2018/04/12	2018/08/29	13.80
59984	UBS AG - Tencent R Bull CBBC Aug 2018 X	30,000,000	2018/04/12	2018/08/20	10.20
59935	Bank Vontobel - HSI R Bull CBBC Nov 2018 R	100,000,000	2018/04/12	2018/11/29	25.20
59936	Bank Vontobel - HSI R Bull CBBC Nov 2018 S	100,000,000	2018/04/12	2018/11/29	25.80
59937	Bank Vontobel - HSI R Bear CBBC Oct 2018 K	100,000,000	2018/04/12	2018/10/30	26.10
59938	Bank Vontobel - HSI R Bear CBBC Oct 2018 L	100,000,000	2018/04/12	2018/10/30	25.30
60483	Credit Suisse AG - Sinopec Corp R Bull CBBC Dec 2018 B	60,000,000	2018/04/13	2018/12/11	15.00
60482	Credit Suisse AG - HKEX R Bear CBBC Dec 2018 A	50,000,000	2018/04/13	2018/12/11	12.50
60496	Credit Suisse AG - HSBC R Bull CBBC Nov 2018 E	80,000,000	2018/04/13	2018/11/28	20.00
60491	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 B	80,000,000	2018/04/13	2018/12/12	20.00
60480	Credit Suisse AG - HSI R Bull CBBC Mar 2019 X	200,000,000	2018/04/13	2019/03/28	50.00
60481	Credit Suisse AG - HSI R Bull CBBC Aug 2019 A	200,000,000	2018/04/13	2019/08/29	50.00
60479	Credit Suisse AG - HSI R Bull CBBC Sep 2019 G	200,000,000	2018/04/13	2019/09/27	50.00
60465	Credit Suisse AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/04/13	2018/08/30	50.00
60475	Credit Suisse AG - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/04/13	2018/08/30	50.00
60457	Credit Suisse AG - HSI R Bear CBBC Sep 2018 X	200,000,000	2018/04/13	2018/09/27	50.00
60473	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/04/13	2018/09/27	50.00
60489	Credit Suisse AG - Tencent R Bull CBBC Sep 2018 D	50,000,000	2018/04/13	2018/09/28	12.50
60486	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 B	50,000,000	2018/04/13	2018/11/29	12.50
60302	Bank of East Asia - HSBC R Bull CBBC Nov 2018 C	60,000,000	2018/04/13	2018/11/06	15.00
60310	Bank of East Asia - Ping An R Bull CBBC Nov 2018 J	120,000,000	2018/04/13	2018/11/06	30.00
60317	Bank of East Asia - Ping An R Bear CBBC Nov 2018 B	40,000,000	2018/04/13	2018/11/06	10.00
60305	Bank of East Asia - Sunny Optical R Bull CBBC Nov 2018 B	40,000,000	2018/04/13	2018/11/06	10.00
60293	Bank of East Asia - Tencent R Bull CBBC Nov 2018 U	30,000,000	2018/04/13	2018/11/06	10.50
60299	Bank of East Asia - Tencent R Bull CBBC Nov 2018 V	30,000,000	2018/04/13	2018/11/06	10.50
60301	Bank of East Asia - Tencent R Bear CBBC Nov 2018 C	30,000,000	2018/04/13	2018/11/06	18.00
60194	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Oct 2018 W	100,000,000	2018/04/13	2018/10/30	25.00
60176	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 M	200,000,000	2018/04/13	2020/01/30	50.00
60181	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 N	200,000,000	2018/04/13	2020/01/30	50.00
60137	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 S	200,000,000	2018/04/13	2018/07/30	50.00
60174	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/04/13	2018/09/27	50.00
60685	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/04/13	2018/09/27	50.00
60112	HK Bank - CSOP A50 ETF R Bear CBBC Sep 2018 A	40,000,000	2018/04/13	2018/09/03	10.00
60113	HK Bank - A50 China R Bull CBBC Oct 2018 I	50,000,000	2018/04/13	2018/10/15	12.50
60111	HK Bank - Geely Auto R Bear CBBC Oct 2018 F	40,000,000	2018/04/13	2018/10/08	10.00
60115	HK Bank - HSI R Bull CBBC Dec 2018 X	100,000,000	2018/04/13	2018/12/28	25.00
60117	HK Bank - HSI R Bear CBBC Aug 2018 V	100,000,000	2018/04/13	2018/08/30	25.00
60114	HK Bank - Tencent R Bull CBBC Oct 2018 V	60,000,000	2018/04/13	2018/10/04	15.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60554	J P Morgan SP BV - AAC Tech R Bear CBBC Jul 2018 A	40,000,000	2018/04/13	2018/07/20	10.00
60439	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 T	200,000,000	2018/04/13	2019/10/30	50.00
60428	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 T	200,000,000	2018/04/13	2019/11/28	50.00
60424	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/04/13	2019/12/30	50.00
60340	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 L	200,000,000	2018/04/13	2018/07/30	50.00
60343	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 M	200,000,000	2018/04/13	2018/07/30	50.00
60348	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 D	200,000,000	2018/04/13	2018/08/30	50.00
60345	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 K	200,000,000	2018/04/13	2018/08/30	50.00
60369	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 A	200,000,000	2018/04/13	2018/09/27	50.00
60392	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/04/13	2018/09/27	50.00
60410	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/04/13	2018/09/27	50.00
60540	J P Morgan SP BV - Ping An R Bull CBBC Jul 2018 G	100,000,000	2018/04/13	2018/07/20	25.00
60707	J P Morgan SP BV - Ping An R Bull CBBC Aug 2018 G	100,000,000	2018/04/13	2018/08/17	25.00
60717	J P Morgan SP BV - Ping An R Bull CBBC Aug 2018 H	100,000,000	2018/04/13	2018/08/10	25.00
60558	J P Morgan SP BV - Sunny Optical R Bear CBBC Jul 2018 C	40,000,000	2018/04/13	2018/07/20	10.00
60504	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 H	50,000,000	2018/04/13	2018/07/20	12.50
60505	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 J	50,000,000	2018/04/13	2018/07/20	12.50
60506	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 K	50,000,000	2018/04/13	2018/07/20	12.50
60507	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 L	50,000,000	2018/04/13	2018/07/20	16.50
60514	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 M	50,000,000	2018/04/13	2018/07/20	19.50
60520	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 V	50,000,000	2018/04/13	2018/07/20	12.50
60523	J P Morgan SP BV - Tencent R Bear CBBC Jul 2018 W	50,000,000	2018/04/13	2018/07/20	12.50
60285	Macquarie Bank Ltd. - China Life R Bull CBBC Oct 2018 B	20,000,000	2018/04/13	2018/10/30	11.70
60286	Macquarie Bank Ltd. - China Life R Bull CBBC Oct 2018 C	20,000,000	2018/04/13	2018/10/30	11.10
60278	Macquarie Bank Ltd. - China Life R Bear CBBC Oct 2018 A	15,000,000	2018/04/13	2018/10/30	10.41
60261	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 A	11,300,000	2018/04/13	2018/11/30	10.01
60593	SG Issuer - AAC Tech R Bull CBBC Jan 2019 A	40,000,000	2018/04/13	2019/01/30	10.00
60564	SG Issuer - China Mobile R Bull CBBC Feb 2019 A	100,000,000	2018/04/13	2019/02/27	25.00
60569	SG Issuer - China Mobile R Bull CBBC Mar 2019 A	100,000,000	2018/04/13	2019/03/28	25.00
60562	SG Issuer - China Mobile R Bear CBBC Oct 2018 A	100,000,000	2018/04/13	2018/10/30	25.00
60613	SG Issuer - CNOOC R Bull CBBC Mar 2019 A	40,000,000	2018/04/13	2019/03/28	10.00
60594	SG Issuer - Sinopec Corp R Bull CBBC Jan 2019 A	40,000,000	2018/04/13	2019/01/30	10.00
60604	SG Issuer - Sinopec Corp R Bull CBBC Feb 2019 A	40,000,000	2018/04/13	2019/02/27	10.00
60626	SG Issuer - HKEX R Bull CBBC Aug 2018 F	50,000,000	2018/04/13	2018/08/29	12.50
60627	SG Issuer - HKEX R Bull CBBC Sep 2018 L	50,000,000	2018/04/13	2018/09/26	12.50
60573	SG Issuer - HKEX R Bear CBBC Sep 2018 H	50,000,000	2018/04/13	2018/09/27	12.50
60588	SG Issuer - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/04/13	2019/11/28	50.00
60585	SG Issuer - HSI R Bull CBBC Nov 2019 T	200,000,000	2018/04/13	2019/11/28	50.00
60590	SG Issuer - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/04/13	2019/12/30	50.00
60582	SG Issuer - HSI R Bear CBBC Nov 2018 I	200,000,000	2018/04/13	2018/11/29	50.00
60583	SG Issuer - HSI R Bear CBBC Dec 2018 Q	200,000,000	2018/04/13	2018/12/28	50.00
60629	SG Issuer - Ping An R Bull CBBC Feb 2019 A	100,000,000	2018/04/13	2019/02/27	25.00
60639	SG Issuer - Ping An R Bull CBBC Mar 2019 A	100,000,000	2018/04/13	2019/03/28	25.00
60577	SG Issuer - Ping An R Bear CBBC Nov 2018 E	100,000,000	2018/04/13	2018/11/29	25.00
60645	SG Issuer - Sunny Optical R Bull CBBC Nov 2018 E	40,000,000	2018/04/13	2018/11/29	10.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60649	SG Issuer - Tencent R Bull CBBC Aug 2018 T	80,000,000	2018/04/13	2018/08/30	20.00
60652	SG Issuer - Tencent R Bull CBBC Sep 2018 A	80,000,000	2018/04/13	2018/09/27	20.00
60580	SG Issuer - Tencent R Bear CBBC Aug 2018 M	80,000,000	2018/04/13	2018/08/28	20.00
60339	UBS AG - HSI R Bull CBBC Dec 2019 A	250,000,000	2018/04/13	2019/12/30	62.50
60335	UBS AG - HSI R Bull CBBC Dec 2019 K	250,000,000	2018/04/13	2019/12/30	62.50
60318	UBS AG - HSI R Bear CBBC Aug 2018 N	250,000,000	2018/04/13	2018/08/30	62.50
60321	UBS AG - HSI R Bear CBBC Sep 2018 L	250,000,000	2018/04/13	2018/09/27	62.50
60334	UBS AG - HSI R Bear CBBC Sep 2018 M	250,000,000	2018/04/13	2018/09/27	62.50
60211	Bank Vontobel - HSI R Bull CBBC Nov 2018 T	100,000,000	2018/04/13	2018/11/29	25.30
60103	Bank Vontobel - HSI R Bear CBBC Oct 2018 M	100,000,000	2018/04/13	2018/10/30	25.20
60206	Bank Vontobel - HSI R Bear CBBC Oct 2018 N	100,000,000	2018/04/13	2018/10/30	25.50
60204	Bank Vontobel - Tencent R Bull CBBC Sep 2018 Q	40,000,000	2018/04/13	2018/09/26	24.28
60234	Bank Vontobel - Tencent R Bull CBBC Sep 2018 R	40,000,000	2018/04/13	2018/09/28	10.92
60260	Bank Vontobel - Tencent R Bull CBBC Sep 2018 S	40,000,000	2018/04/13	2018/09/27	10.36
60220	Bank Vontobel - Tencent R Bear CBBC Jul 2018 Q	40,000,000	2018/04/13	2018/07/27	10.32
60719	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 R	100,000,000	2018/04/16	2018/11/29	25.00
60738	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18A	60,000,000	2018/04/16	2018/11/29	15.00
60739	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2018B	150,000,000	2018/04/16	2018/11/29	37.50
60747	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Aug 2018 G	50,000,000	2018/04/16	2018/08/30	12.50
60767	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2018 S	50,000,000	2018/04/16	2018/07/30	12.50
60740	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Nov 2018 B	150,000,000	2018/04/16	2018/11/29	37.50
60746	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Sep 2018 E	150,000,000	2018/04/16	2018/09/27	37.50
60973	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 W	250,000,000	2018/04/16	2019/12/30	62.50
60976	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/04/16	2019/12/30	62.50
60982	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Y	250,000,000	2018/04/16	2019/12/30	62.50
60967	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 B	250,000,000	2018/04/16	2018/07/30	62.50
60968	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 Q	250,000,000	2018/04/16	2018/07/30	62.50
60768	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 S	250,000,000	2018/04/16	2018/07/30	62.50
61255	Credit Suisse AG - BYD Company R Bull CBBC Nov 2018 E	50,000,000	2018/04/16	2018/11/28	12.50
61251	Credit Suisse AG - Geely Auto R Bull CBBC Oct 2018 C	40,000,000	2018/04/16	2018/10/29	10.00
61250	Credit Suisse AG - HSBC R Bull CBBC Nov 2018 F	80,000,000	2018/04/16	2018/11/30	20.00
61244	Credit Suisse AG - HSI R Bull CBBC Feb 2019 F	200,000,000	2018/04/16	2019/02/27	50.00
61205	Credit Suisse AG - HSI R Bull CBBC Jul 2019 T	200,000,000	2018/04/16	2019/07/30	50.00
61236	Credit Suisse AG - HSI R Bull CBBC Jul 2019 U	200,000,000	2018/04/16	2019/07/30	50.00
61228	Credit Suisse AG - HSI R Bull CBBC Aug 2019 B	200,000,000	2018/04/16	2019/08/29	50.00
61177	Credit Suisse AG - HSI R Bull CBBC Sep 2019 A	200,000,000	2018/04/16	2019/09/27	50.00
61217	Credit Suisse AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/04/16	2018/08/30	50.00
61222	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/16	2018/08/30	50.00
61169	Credit Suisse AG - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/04/16	2018/08/30	50.00
61216	Credit Suisse AG - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/04/16	2018/09/27	50.00
61165	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/04/16	2018/09/27	50.00
61260	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 F	80,000,000	2018/04/16	2018/12/05	20.00
61254	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 E	50,000,000	2018/04/16	2018/12/05	12.50
61380	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 Q	100,000,000	2018/04/16	2018/12/31	25.00
61357	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2018L	100,000,000	2018/04/16	2018/11/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60943	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Oct 2018 X	100,000,000	2018/04/16	2018/10/30	25.00
61359	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 G	100,000,000	2018/04/16	2018/12/31	25.00
61364	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 H	100,000,000	2018/04/16	2018/12/31	25.00
60941	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/04/16	2019/11/28	50.00
61354	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/04/16	2019/11/28	50.00
60940	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 Y	200,000,000	2018/04/16	2019/11/28	50.00
60778	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/04/16	2018/08/30	50.00
60801	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/04/16	2018/08/30	50.00
60950	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 Y	100,000,000	2018/04/16	2018/10/30	25.00
60953	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 Z	100,000,000	2018/04/16	2018/10/30	25.00
60827	HK Bank - China Mobile R Bull CBBC Oct 2018 L	50,000,000	2018/04/16	2018/10/08	12.50
60896	HK Bank - China Life R Bull CBBC Sep 2018 D	40,000,000	2018/04/16	2018/09/24	10.00
60917	HK Bank - Sinopec Corp R Bull CBBC Oct 2018 H	80,000,000	2018/04/16	2018/10/11	20.00
60881	HK Bank - Geely Auto R Bull CBBC Oct 2018 C	40,000,000	2018/04/16	2018/10/02	10.00
60866	HK Bank - HKEX R Bull CBBC Oct 2018 X	50,000,000	2018/04/16	2018/10/11	12.50
60871	HK Bank - HSI R Bull CBBC Dec 2018 E	150,000,000	2018/04/16	2018/12/28	37.50
60877	HK Bank - HSI R Bear CBBC Aug 2018 W	150,000,000	2018/04/16	2018/08/30	37.50
60845	HK Bank - NCI R Bull CBBC Dec 2018 A	40,000,000	2018/04/16	2018/12/17	10.00
60919	HK Bank - Sunny Optical R Bull CBBC Sep 2018 H	40,000,000	2018/04/16	2018/09/17	10.00
61155	J P Morgan SP BV - HKEX R Bull CBBC Jul 2018 K	40,000,000	2018/04/16	2018/07/20	10.00
61156	J P Morgan SP BV - HKEX R Bull CBBC Jul 2018 L	40,000,000	2018/04/16	2018/07/20	10.00
61160	J P Morgan SP BV - HSBC R Bull CBBC Aug 2018 E	100,000,000	2018/04/16	2018/08/17	25.00
61130	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/04/16	2019/10/30	50.00
61137	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 F	200,000,000	2018/04/16	2019/11/28	50.00
61126	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/04/16	2019/11/28	50.00
61125	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/04/16	2019/12/30	50.00
61133	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 H	200,000,000	2018/04/16	2019/12/30	50.00
61124	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/16	2018/08/30	50.00
61119	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/04/16	2018/08/30	50.00
61120	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/04/16	2018/09/27	50.00
61121	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 E	200,000,000	2018/04/16	2018/09/27	50.00
61122	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/04/16	2018/09/27	50.00
61157	J P Morgan SP BV - Ping An R Bull CBBC Aug 2018 I	100,000,000	2018/04/16	2018/08/17	25.00
61140	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 N	50,000,000	2018/04/16	2018/07/20	12.50
61146	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 O	50,000,000	2018/04/16	2018/07/20	12.50
61147	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 P	50,000,000	2018/04/16	2018/07/20	12.50
61152	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 Q	50,000,000	2018/04/16	2018/07/20	14.25
60921	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 B	11,700,000	2018/04/16	2018/11/30	10.11
61312	SG Issuer - Geely Auto R Bull CBBC Oct 2018 F	40,000,000	2018/04/16	2018/10/22	10.00
61319	SG Issuer - HKEX R Bull CBBC Jul 2018 A	50,000,000	2018/04/16	2018/07/23	12.50
61326	SG Issuer - HSBC R Bull CBBC Nov 2018 R	100,000,000	2018/04/16	2018/11/19	25.00
61304	SG Issuer - HSI R Bull CBBC Oct 2019 Q	200,000,000	2018/04/16	2019/10/30	50.00
61346	SG Issuer - HSI R Bull CBBC Oct 2019 T	200,000,000	2018/04/16	2019/10/30	50.00
61309	SG Issuer - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/04/16	2019/11/28	50.00
61353	SG Issuer - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/04/16	2019/11/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61311	SG Issuer - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/04/16	2019/12/30	50.00
61276	SG Issuer - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/04/16	2018/10/30	50.00
61280	SG Issuer - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/04/16	2018/10/30	50.00
61281	SG Issuer - HSI R Bear CBBC Nov 2018 J	200,000,000	2018/04/16	2018/11/29	50.00
61342	SG Issuer - HSI R Bear CBBC Dec 2018 R	200,000,000	2018/04/16	2018/12/28	50.00
61331	SG Issuer - Ping An R Bull CBBC Nov 2018 O	100,000,000	2018/04/16	2018/11/12	25.00
61339	SG Issuer - Tencent R Bull CBBC Aug 2018 X	80,000,000	2018/04/16	2018/08/08	20.00
61341	SG Issuer - Tencent R Bull CBBC Sep 2018 E	80,000,000	2018/04/16	2018/09/24	20.00
61289	SG Issuer - Tencent R Bear CBBC Sep 2018 K	80,000,000	2018/04/16	2018/09/19	20.00
61086	UBS AG - HKEX R Bull CBBC Nov 2018 L	50,000,000	2018/04/16	2018/11/27	12.50
61082	UBS AG - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/04/16	2019/10/30	50.00
61079	UBS AG - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/04/16	2019/11/28	50.00
61070	UBS AG - HSI R Bull CBBC Nov 2019 T	250,000,000	2018/04/16	2019/11/28	62.50
61069	UBS AG - HSI R Bull CBBC Dec 2019 B	250,000,000	2018/04/16	2019/12/30	62.50
61010	UBS AG - HSI R Bear CBBC Jul 2018 H	200,000,000	2018/04/16	2018/07/30	50.00
61035	UBS AG - HSI R Bear CBBC Jul 2018 S	250,000,000	2018/04/16	2018/07/30	62.50
61022	UBS AG - HSI R Bear CBBC Aug 2018 G	250,000,000	2018/04/16	2018/08/30	62.50
61105	UBS AG - Ping An R Bull CBBC Oct 2018 U	80,000,000	2018/04/16	2018/10/16	20.00
61108	UBS AG - Tencent R Bull CBBC Oct 2018 R	30,000,000	2018/04/16	2018/10/08	10.20
60983	Bank Vontobel - HSI R Bull CBBC Nov 2018 U	100,000,000	2018/04/16	2018/11/29	25.40
61003	Bank Vontobel - HSI R Bull CBBC Nov 2018 V	100,000,000	2018/04/16	2018/11/29	25.40
60814	Bank Vontobel - HSI R Bear CBBC Oct 2018 O	100,000,000	2018/04/16	2018/10/30	25.30
60819	Bank Vontobel - HSI R Bear CBBC Oct 2018 P	100,000,000	2018/04/16	2018/10/30	25.20
61005	Bank Vontobel - Tencent R Bull CBBC Oct 2018 A	40,000,000	2018/04/16	2018/10/02	10.08
61588	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Nov 2018 T	100,000,000	2018/04/17	2018/11/29	25.00
61597	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Aug 2018 C	50,000,000	2018/04/17	2018/08/30	12.50
61565	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 A	250,000,000	2018/04/17	2019/12/30	62.50
61633	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 B	250,000,000	2018/04/17	2019/12/30	62.50
61644	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 C	250,000,000	2018/04/17	2019/12/30	62.50
61558	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Z	250,000,000	2018/04/17	2019/12/30	62.50
61569	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 F	250,000,000	2018/04/17	2018/07/30	62.50
61568	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 R	250,000,000	2018/04/17	2018/07/30	62.50
61612	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 Y	250,000,000	2018/04/17	2018/07/30	62.50
61595	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 G	200,000,000	2018/04/17	2018/11/29	50.00
61570	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 M	50,000,000	2018/04/17	2018/09/27	12.50
62348	Credit Suisse AG - Country Garden R Bull CBBC Jan 2019 A	40,000,000	2018/04/17	2019/01/25	10.00
62331	Credit Suisse AG - China Mobile R Bull CBBC Nov 2018 B	70,000,000	2018/04/17	2018/11/28	17.50
62249	Credit Suisse AG - CC Bank R Bull CBBC Dec 2018 E	80,000,000	2018/04/17	2018/12/20	20.00
62293	Credit Suisse AG - CC Bank R Bull CBBC Dec 2018 F	80,000,000	2018/04/17	2018/12/07	20.00
62354	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 A	50,000,000	2018/04/17	2019/01/15	12.50
62357	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 B	50,000,000	2018/04/17	2019/01/18	12.50
62211	Credit Suisse AG - Geely Auto R Bear CBBC Oct 2018 B	40,000,000	2018/04/17	2018/10/31	12.00
62247	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2018 C	50,000,000	2018/04/17	2018/11/28	12.50
62248	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2018 A	50,000,000	2018/04/17	2018/12/04	12.50
62229	Credit Suisse AG - HKEX R Bull CBBC Dec 2018 A	50,000,000	2018/04/17	2018/12/06	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62180	Credit Suisse AG - HSI R Bull CBBC Jul 2019 V	200,000,000	2018/04/17	2019/07/30	50.00
62178	Credit Suisse AG - HSI R Bull CBBC Aug 2019 C	200,000,000	2018/04/17	2019/08/29	50.00
62172	Credit Suisse AG - HSI R Bull CBBC Sep 2019 B	200,000,000	2018/04/17	2019/09/27	50.00
62118	Credit Suisse AG - HSI R Bear CBBC Aug 2018 F	200,000,000	2018/04/17	2018/08/30	50.00
62104	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Z	200,000,000	2018/04/17	2018/08/30	50.00
62110	Credit Suisse AG - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/04/17	2018/09/27	50.00
62102	Credit Suisse AG - HSI R Bear CBBC Oct 2018 G	200,000,000	2018/04/17	2018/10/30	50.00
62332	Credit Suisse AG - ICBC R Bull CBBC Dec 2018 C	80,000,000	2018/04/17	2018/12/19	20.00
62200	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 G	80,000,000	2018/04/17	2018/12/03	20.00
62208	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 H	80,000,000	2018/04/17	2018/12/10	20.00
62342	Credit Suisse AG - SUNAC R Bull CBBC Jan 2019 C	100,000,000	2018/04/17	2019/01/15	25.00
62350	Credit Suisse AG - Sunny Optical R Bull CBBC Nov 2018 C	40,000,000	2018/04/17	2018/11/21	10.00
62405	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 V	50,000,000	2018/04/17	2018/10/31	12.50
62184	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 Q	50,000,000	2018/04/17	2018/11/13	12.50
61755	Bank of East Asia - AIA R Bull CBBC Nov 2018 A	40,000,000	2018/04/17	2018/11/08	10.00
61749	Bank of East Asia - Geely Auto R Bull CBBC Nov 2018 B	40,000,000	2018/04/17	2018/11/08	10.00
61744	Bank of East Asia - Galaxy Ent R Bull CBBC Nov 2018 F	16,000,000	2018/04/17	2018/11/08	10.40
61723	Bank of East Asia - HKEX R Bull CBBC Nov 2018 O	40,000,000	2018/04/17	2018/11/08	10.00
61727	Bank of East Asia - HKEX R Bull CBBC Nov 2018 P	40,000,000	2018/04/17	2018/11/08	10.00
61741	Bank of East Asia - HSBC R Bull CBBC Nov 2018 D	60,000,000	2018/04/17	2018/11/08	15.00
61747	Bank of East Asia - Ping An R Bull CBBC Nov 2018 K	120,000,000	2018/04/17	2018/11/08	30.00
61748	Bank of East Asia - Ping An R Bull CBBC Nov 2018 L	120,000,000	2018/04/17	2018/11/08	30.00
61708	Bank of East Asia - Tencent R Bull CBBC Nov 2018 W	30,000,000	2018/04/17	2018/11/08	10.50
61716	Bank of East Asia - Tencent R Bull CBBC Nov 2018 X	30,000,000	2018/04/17	2018/11/08	10.50
62071	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Oct 2018 Y	100,000,000	2018/04/17	2018/10/30	25.00
61864	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 B	200,000,000	2018/04/17	2019/11/28	50.20
61866	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 D	200,000,000	2018/04/17	2019/11/28	50.20
61858	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/04/17	2019/11/28	50.00
61862	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/04/17	2019/11/28	50.00
61502	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/04/17	2018/10/30	50.00
61505	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 I	200,000,000	2018/04/17	2018/10/30	50.00
61510	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/04/17	2018/10/30	50.00
61512	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/04/17	2018/10/30	50.20
61514	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/04/17	2018/10/30	50.20
62065	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 E	100,000,000	2018/04/17	2018/12/31	25.00
62066	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 F	100,000,000	2018/04/17	2018/12/31	25.00
62064	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 A	100,000,000	2018/04/17	2018/10/30	25.00
61528	HK Bank - AAC Tech R Bull CBBC Oct 2018 H	40,000,000	2018/04/17	2018/10/22	10.00
61519	HK Bank - CNOOC R Bull CBBC Oct 2018 K	50,000,000	2018/04/17	2018/10/15	12.50
61448	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2018 N	50,000,000	2018/04/17	2018/10/15	12.50
61522	HK Bank - Evergrande R Bull CBBC Apr 2019 A	100,000,000	2018/04/17	2019/04/01	25.00
61495	HK Bank - A50 China R Bull CBBC Oct 2018 J	50,000,000	2018/04/17	2018/10/08	12.50
61552	HK Bank - HKEX R Bull CBBC Oct 2018 A	50,000,000	2018/04/17	2018/10/18	12.50
61493	HK Bank - HSCEI R Bull CBBC Dec 2018 S	60,000,000	2018/04/17	2018/12/28	15.00
61454	HK Bank - HSI R Bull CBBC Dec 2018 A	150,000,000	2018/04/17	2018/12/28	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61492	HK Bank - HSI R Bull CBBC Dec 2018 C	150,000,000	2018/04/17	2018/12/28	37.50
61485	HK Bank - HSI R Bear CBBC Aug 2018 B	100,000,000	2018/04/17	2018/08/30	25.00
61524	HK Bank - Ping An R Bull CBBC Oct 2018 F	100,000,000	2018/04/17	2018/10/11	25.00
61531	HK Bank - SUNAC R Bull CBBC Jan 2019 G	100,000,000	2018/04/17	2019/01/21	25.00
61543	HK Bank - Tencent R Bull CBBC Aug 2018 F	60,000,000	2018/04/17	2018/08/20	15.00
61397	Haitong Int'l Sec - BYD Company R Bull CBBC Oct 2018 A	130,000,000	2018/04/17	2018/10/05	32.50
61406	Haitong Int'l Sec - China Life R Bull CBBC Oct 2018 A	40,000,000	2018/04/17	2018/10/04	10.00
61401	Haitong Int'l Sec - CPIC R Bull CBBC Oct 2018 A	40,000,000	2018/04/17	2018/10/04	11.88
61410	Haitong Int'l Sec - Evergrande R Bull CBBC Oct 2018 C	40,000,000	2018/04/17	2018/10/04	11.40
61445	Haitong Int'l Sec - HKEX R Bear CBBC Oct 2018 A	40,000,000	2018/04/17	2018/10/05	10.00
61381	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2018 A	40,000,000	2018/04/17	2018/10/08	10.00
61384	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2018 B	40,000,000	2018/04/17	2018/10/04	10.00
61416	Haitong Int'l Sec - Tencent R Bear CBBC Oct 2018 A	40,000,000	2018/04/17	2018/10/05	12.04
62002	J P Morgan SP BV - CC Bank R Bull CBBC Aug 2018 N	100,000,000	2018/04/17	2018/08/10	25.00
61961	J P Morgan SP BV - HKEX R Bull CBBC Jul 2018 M	40,000,000	2018/04/17	2018/07/20	10.00
62048	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 M	200,000,000	2018/04/17	2019/10/30	50.00
62023	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/04/17	2019/10/30	50.00
62021	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 B	200,000,000	2018/04/17	2019/11/28	50.00
62042	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 E	200,000,000	2018/04/17	2019/11/28	50.00
62058	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/04/17	2019/11/28	50.00
62013	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/04/17	2019/12/30	50.00
62025	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 U	200,000,000	2018/04/17	2019/12/30	50.00
62054	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 V	200,000,000	2018/04/17	2019/12/30	50.00
61779	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 T	200,000,000	2018/04/17	2018/07/30	50.00
61773	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 L	200,000,000	2018/04/17	2018/08/30	50.00
61777	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/04/17	2018/09/27	50.00
61970	J P Morgan SP BV - Ping An R Bull CBBC Aug 2018 J	100,000,000	2018/04/17	2018/08/10	25.00
61974	J P Morgan SP BV - Ping An R Bull CBBC Aug 2018 K	100,000,000	2018/04/17	2018/08/17	25.00
61932	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 R	50,000,000	2018/04/17	2018/07/20	12.50
61955	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 S	50,000,000	2018/04/17	2018/07/20	12.50
61957	J P Morgan SP BV - Tencent R Bull CBBC Jul 2018 T	50,000,000	2018/04/17	2018/07/20	12.50
61878	SG Issuer - CC Bank R Bull CBBC Sep 2018 T	100,000,000	2018/04/17	2018/09/21	25.00
61879	SG Issuer - CC Bank R Bull CBBC Oct 2018 J	100,000,000	2018/04/17	2018/10/19	25.00
61805	SG Issuer - China Life R Bull CBBC Dec 2018 F	40,000,000	2018/04/17	2018/12/05	10.00
61880	SG Issuer - CM Bank R Bull CBBC Apr 2019 A	60,000,000	2018/04/17	2019/04/17	15.00
61927	SG Issuer - CSOP A50 ETF R Bull CBBC Sep 2018 K	40,000,000	2018/04/17	2018/09/18	10.00
61929	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 J	40,000,000	2018/04/17	2018/10/22	10.00
61892	SG Issuer - HKEX R Bull CBBC Sep 2018 M	50,000,000	2018/04/17	2018/09/20	12.50
61808	SG Issuer - HSBC R Bull CBBC Nov 2018 S	100,000,000	2018/04/17	2018/11/21	25.00
61833	SG Issuer - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/04/17	2019/10/30	50.00
61837	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/04/17	2019/11/28	50.00
61841	SG Issuer - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/04/17	2019/12/30	50.00
61839	SG Issuer - HSI R Bull CBBC Dec 2019 R	200,000,000	2018/04/17	2019/12/30	50.00
61856	SG Issuer - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/04/17	2019/12/30	50.00
61828	SG Issuer - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/04/17	2018/08/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61796	SG Issuer - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/04/17	2018/10/30	50.00
61789	SG Issuer - HSI R Bear CBBC Oct 2018 R	200,000,000	2018/04/17	2018/10/30	50.00
61824	SG Issuer - HSI R Bear CBBC Nov 2018 K	200,000,000	2018/04/17	2018/11/29	50.00
61804	SG Issuer - HSI R Bear CBBC Dec 2018 S	200,000,000	2018/04/17	2018/12/28	50.00
61893	SG Issuer - Ping An R Bull CBBC Oct 2018 V	100,000,000	2018/04/17	2018/10/08	25.00
61904	SG Issuer - Ping An R Bull CBBC Oct 2018 W	100,000,000	2018/04/17	2018/10/22	25.00
61909	SG Issuer - Ping An R Bull CBBC Dec 2018 U	100,000,000	2018/04/17	2018/12/10	25.00
61810	SG Issuer - Ping An R Bear CBBC Oct 2018 K	100,000,000	2018/04/17	2018/10/25	25.00
61920	SG Issuer - Tencent R Bull CBBC Jul 2018 Y	80,000,000	2018/04/17	2018/07/26	20.00
61922	SG Issuer - Tencent R Bull CBBC Aug 2018 A	80,000,000	2018/04/17	2018/08/06	20.00
61923	SG Issuer - Tencent R Bull CBBC Sep 2018 G	80,000,000	2018/04/17	2018/09/10	20.00
61815	SG Issuer - Tencent R Bear CBBC Sep 2018 L	80,000,000	2018/04/17	2018/09/10	20.00
61688	UBS AG - HKEX R Bull CBBC Jul 2018 B	50,000,000	2018/04/17	2018/07/23	12.50
61690	UBS AG - HKEX R Bull CBBC Jul 2018 C	50,000,000	2018/04/17	2018/07/30	12.50
61701	UBS AG - HSCEI R Bull CBBC Nov 2019 E	100,000,000	2018/04/17	2019/11/28	25.00
61658	UBS AG - HSI R Bull CBBC Nov 2019 J	250,000,000	2018/04/17	2019/11/28	62.50
61679	UBS AG - HSI R Bull CBBC Nov 2019 Q	250,000,000	2018/04/17	2019/11/28	62.50
61661	UBS AG - HSI R Bull CBBC Dec 2019 C	250,000,000	2018/04/17	2019/12/30	62.50
61657	UBS AG - HSI R Bear CBBC Jul 2018 E	250,000,000	2018/04/17	2018/07/30	62.50
61707	UBS AG - HSI R Bear CBBC Jul 2018 G	250,000,000	2018/04/17	2018/07/30	62.50
61655	UBS AG - HSI R Bear CBBC Jul 2018 V	200,000,000	2018/04/17	2018/07/30	50.00
61691	UBS AG - Ping An R Bull CBBC Aug 2018 E	80,000,000	2018/04/17	2018/08/06	20.00
61697	UBS AG - Ping An R Bull CBBC Aug 2018 F	80,000,000	2018/04/17	2018/08/15	20.00
61686	UBS AG - Tencent R Bull CBBC Sep 2018 B	30,000,000	2018/04/17	2018/09/12	10.20
61627	Bank Vontobel - HSI R Bull CBBC Nov 2018 W	100,000,000	2018/04/17	2018/11/29	25.50
61629	Bank Vontobel - HSI R Bull CBBC Nov 2018 X	100,000,000	2018/04/17	2018/11/29	25.30
61496	Bank Vontobel - HSI R Bear CBBC Oct 2018 Q	100,000,000	2018/04/17	2018/10/30	25.40
62749	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18B	60,000,000	2018/04/18	2018/11/29	15.00
62769	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Aug 2018 H	50,000,000	2018/04/18	2018/08/30	12.50
62772	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2018 T	50,000,000	2018/04/18	2018/07/30	12.50
62821	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 J	100,000,000	2018/04/18	2019/09/27	25.00
62742	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 D	250,000,000	2018/04/18	2019/12/30	62.50
62743	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 E	250,000,000	2018/04/18	2019/12/30	62.50
62738	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 C	250,000,000	2018/04/18	2018/07/30	62.50
62737	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 Z	250,000,000	2018/04/18	2018/07/30	62.50
62765	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 H	200,000,000	2018/04/18	2018/11/29	50.00
62766	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 I	200,000,000	2018/04/18	2018/11/29	50.00
62820	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 M	50,000,000	2018/04/18	2018/11/29	12.50
63183	Credit Suisse AG - AIA R Bull CBBC Jan 2019 A	70,000,000	2018/04/18	2019/01/04	17.50
63184	Credit Suisse AG - AIA R Bull CBBC Jan 2019 B	70,000,000	2018/04/18	2019/01/24	17.50
62708	Credit Suisse AG - CM Bank R Bull CBBC Jan 2019 A	70,000,000	2018/04/18	2019/01/16	17.50
62702	Credit Suisse AG - CNOOC R Bull CBBC Jan 2019 A	50,000,000	2018/04/18	2019/01/04	12.50
62706	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 C	50,000,000	2018/04/18	2019/01/24	12.50
62673	Credit Suisse AG - HKEX R Bull CBBC Jan 2019 A	50,000,000	2018/04/18	2019/01/23	12.50
62677	Credit Suisse AG - HKEX R Bull CBBC Jan 2019 B	50,000,000	2018/04/18	2019/01/17	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63175	Credit Suisse AG - HSBC R Bull CBBC Jan 2019 A	80,000,000	2018/04/18	2019/01/10	20.00
63173	Credit Suisse AG - HSI R Bull CBBC Mar 2019 C	200,000,000	2018/04/18	2019/03/28	50.00
63174	Credit Suisse AG - HSI R Bull CBBC Sep 2019 C	200,000,000	2018/04/18	2019/09/27	50.00
63171	Credit Suisse AG - HSI R Bull CBBC Oct 2019 B	200,000,000	2018/04/18	2019/10/30	50.00
63189	Credit Suisse AG - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/04/18	2019/10/30	50.00
63196	Credit Suisse AG - HSI R Bull CBBC Nov 2019 K	200,000,000	2018/04/18	2019/11/28	50.00
63166	Credit Suisse AG - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/04/18	2018/08/30	50.00
63168	Credit Suisse AG - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/04/18	2018/08/30	50.00
63169	Credit Suisse AG - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/04/18	2018/09/27	50.00
62732	Credit Suisse AG - ICBC R Bull CBBC Jan 2019 A	80,000,000	2018/04/18	2019/01/23	20.00
62695	Credit Suisse AG - PetroChina R Bull CBBC Jan 2019 A	50,000,000	2018/04/18	2019/01/29	12.50
62663	Credit Suisse AG - Ping An R Bull CBBC Nov 2018 S	80,000,000	2018/04/18	2018/11/15	20.00
62710	Credit Suisse AG - SUNAC R Bull CBBC Jan 2019 D	100,000,000	2018/04/18	2019/01/24	25.00
62681	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 R	50,000,000	2018/04/18	2018/11/09	12.50
63227	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 I	100,000,000	2018/04/18	2018/12/31	25.00
63239	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 J	100,000,000	2018/04/18	2018/12/31	25.00
63244	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2018M	100,000,000	2018/04/18	2018/11/30	25.00
62860	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 J	100,000,000	2018/04/18	2018/12/31	25.00
62873	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 K	100,000,000	2018/04/18	2018/12/31	25.00
63224	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 L	100,000,000	2018/04/18	2018/12/31	25.00
62880	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 I	100,000,000	2018/04/18	2018/12/31	25.00
62850	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/04/18	2019/12/30	50.00
62843	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 K	200,000,000	2018/04/18	2019/12/30	50.00
62844	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 N	200,000,000	2018/04/18	2019/12/30	50.00
62587	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 Q	200,000,000	2018/04/18	2018/07/30	50.00
62594	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 T	200,000,000	2018/04/18	2018/07/30	50.00
62857	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 B	100,000,000	2018/04/18	2018/12/31	25.00
63211	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 C	100,000,000	2018/04/18	2018/12/31	25.00
62853	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 B	100,000,000	2018/04/18	2018/10/30	25.00
63208	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 C	100,000,000	2018/04/18	2018/10/30	25.00
62632	HK Bank - AIA R Bull CBBC Sep 2018 F	80,000,000	2018/04/18	2018/09/27	20.00
62645	HK Bank - AIA R Bear CBBC Oct 2018 C	40,000,000	2018/04/18	2018/10/08	10.00
62492	HK Bank - CC Bank R Bull CBBC Sep 2018 N	100,000,000	2018/04/18	2018/09/13	25.00
62484	HK Bank - CNOOC R Bull CBBC Oct 2018 L	50,000,000	2018/04/18	2018/10/08	12.50
62488	HK Bank - Sinopec Corp R Bear CBBC Aug 2018 A	40,000,000	2018/04/18	2018/08/13	10.00
62629	HK Bank - Galaxy Ent R Bear CBBC Nov 2018 A	40,000,000	2018/04/18	2018/11/05	10.00
62599	HK Bank - HKEX R Bull CBBC Oct 2018 B	50,000,000	2018/04/18	2018/10/25	12.50
62517	HK Bank - HSCEI R Bull CBBC Dec 2018 T	60,000,000	2018/04/18	2018/12/28	15.00
62494	HK Bank - HSI R Bull CBBC Dec 2018 M	150,000,000	2018/04/18	2018/12/28	37.50
62502	HK Bank - HSI R Bear CBBC Sep 2018 F	150,000,000	2018/04/18	2018/09/27	37.50
62607	HK Bank - ICBC R Bull CBBC Sep 2018 O	100,000,000	2018/04/18	2018/09/17	25.00
62482	HK Bank - PetroChina R Bull CBBC Oct 2018 L	80,000,000	2018/04/18	2018/10/22	20.00
62595	HK Bank - Ping An R Bull CBBC Oct 2018 Q	100,000,000	2018/04/18	2018/10/18	25.00
62656	HK Bank - SUNAC R Bull CBBC Mar 2019 A	100,000,000	2018/04/18	2019/03/11	25.00
62490	HK Bank - Tencent R Bull CBBC Aug 2018 G	60,000,000	2018/04/18	2018/08/13	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63164	J P Morgan SP BV - AIA R Bull CBBC Sep 2018 A	100,000,000	2018/04/18	2018/09/14	25.00
63156	J P Morgan SP BV - HKEX R Bull CBBC Jul 2018 N	40,000,000	2018/04/18	2018/07/20	10.00
63121	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 S	200,000,000	2018/04/18	2019/10/30	50.00
63137	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/04/18	2019/10/30	50.00
63119	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/04/18	2019/11/28	50.00
63126	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/04/18	2019/11/28	50.00
63145	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/04/18	2019/12/30	50.00
63102	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/04/18	2019/12/30	50.00
63124	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/04/18	2019/12/30	50.00
63100	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/04/18	2018/08/30	50.00
63097	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/04/18	2018/08/30	50.00
62836	Macquarie Bank Ltd. - CM Bank R Bull CBBC Nov 2018 A	12,500,000	2018/04/18	2018/11/15	10.06
62833	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 C	12,500,000	2018/04/18	2018/11/15	10.01
63369	SG Issuer - AIA R Bull CBBC Sep 2018 E	60,000,000	2018/04/18	2018/09/18	15.00
63373	SG Issuer - AIA R Bull CBBC Dec 2018 J	60,000,000	2018/04/18	2018/12/14	15.00
63258	SG Issuer - China Mobile R Bull CBBC Dec 2018 S	100,000,000	2018/04/18	2018/12/12	25.00
63398	SG Issuer - CNOOC R Bull CBBC Oct 2018 H	40,000,000	2018/04/18	2018/10/11	10.00
63390	SG Issuer - Sinopec Corp R Bear CBBC Dec 2018 A	40,000,000	2018/04/18	2018/12/06	10.00
63268	SG Issuer - Galaxy Ent R Bear CBBC Dec 2018 C	80,000,000	2018/04/18	2018/12/21	20.00
63276	SG Issuer - HKEX R Bull CBBC Sep 2018 N	50,000,000	2018/04/18	2018/09/10	12.50
63284	SG Issuer - HKEX R Bull CBBC Dec 2018 P	50,000,000	2018/04/18	2018/12/13	12.50
63288	SG Issuer - HSBC R Bull CBBC Sep 2018 P	100,000,000	2018/04/18	2018/09/10	25.00
63314	SG Issuer - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/04/18	2019/10/30	50.00
63349	SG Issuer - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/04/18	2019/11/28	50.00
63318	SG Issuer - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/04/18	2019/11/28	50.00
63352	SG Issuer - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/04/18	2019/12/30	50.00
63307	SG Issuer - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/04/18	2019/12/30	50.00
63407	SG Issuer - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/18	2018/08/30	50.00
63249	SG Issuer - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/04/18	2018/09/27	50.00
63404	SG Issuer - PetroChina R Bull CBBC Dec 2018 C	40,000,000	2018/04/18	2018/12/20	10.00
63289	SG Issuer - Ping An R Bull CBBC Oct 2018 S	100,000,000	2018/04/18	2018/10/05	25.00
63292	SG Issuer - SUNAC R Bull CBBC Feb 2019 B	80,000,000	2018/04/18	2019/02/21	20.00
63293	SG Issuer - Tencent R Bull CBBC Aug 2018 Q	80,000,000	2018/04/18	2018/08/03	20.00
63405	SG Issuer - Tencent R Bear CBBC Sep 2018 M	80,000,000	2018/04/18	2018/09/17	20.00
62997	UBS AG - CC Bank R Bull CBBC Sep 2018 I	80,000,000	2018/04/18	2018/09/24	20.00
63061	UBS AG - China Life R Bull CBBC Sep 2018 M	40,000,000	2018/04/18	2018/09/17	10.00
63059	UBS AG - China Life R Bull CBBC Oct 2018 A	40,000,000	2018/04/18	2018/10/15	10.00
62994	UBS AG - CNOOC R Bull CBBC Nov 2018 A	40,000,000	2018/04/18	2018/11/05	10.00
63069	UBS AG - A50 China R Bull CBBC Oct 2018 B	40,000,000	2018/04/18	2018/10/15	10.00
62950	UBS AG - Galaxy Ent R Bull CBBC Oct 2018 C	40,000,000	2018/04/18	2018/10/15	10.00
62960	UBS AG - HKEX R Bull CBBC Oct 2018 C	50,000,000	2018/04/18	2018/10/24	12.50
62959	UBS AG - HKEX R Bull CBBC Nov 2018 M	50,000,000	2018/04/18	2018/11/19	12.50
62957	UBS AG - HKEX R Bull CBBC Dec 2018 A	50,000,000	2018/04/18	2018/12/03	12.50
62955	UBS AG - HSBC R Bull CBBC Nov 2018 A	50,000,000	2018/04/18	2018/11/05	12.50
62923	UBS AG - HSI R Bull CBBC Oct 2019 N	250,000,000	2018/04/18	2019/10/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62918	UBS AG - HSI R Bull CBBC Oct 2019 Y	250,000,000	2018/04/18	2019/10/30	62.50
62919	UBS AG - HSI R Bull CBBC Oct 2019 Z	250,000,000	2018/04/18	2019/10/30	62.50
62905	UBS AG - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/04/18	2019/11/28	50.00
62906	UBS AG - HSI R Bull CBBC Dec 2019 H	250,000,000	2018/04/18	2019/12/30	62.50
62907	UBS AG - HSI R Bull CBBC Dec 2019 I	200,000,000	2018/04/18	2019/12/30	50.00
62888	UBS AG - HSI R Bear CBBC Jul 2018 O	250,000,000	2018/04/18	2018/07/30	62.50
62904	UBS AG - HSI R Bear CBBC Jul 2018 P	250,000,000	2018/04/18	2018/07/30	62.50
63013	UBS AG - ICBC R Bull CBBC Oct 2018 J	100,000,000	2018/04/18	2018/10/08	25.00
62982	UBS AG - Petrochina R Bull CBBC Nov 2018 E	60,000,000	2018/04/18	2018/11/19	15.00
63024	UBS AG - Ping An R Bull CBBC Nov 2018 R	80,000,000	2018/04/18	2018/11/05	20.00
63048	UBS AG - Ping An R Bull CBBC Nov 2018 S	80,000,000	2018/04/18	2018/11/19	20.00
62961	UBS AG - Tencent R Bull CBBC Sep 2018 C	30,000,000	2018/04/18	2018/09/05	10.20
62964	UBS AG - Tencent R Bull CBBC Oct 2018 S	30,000,000	2018/04/18	2018/10/15	10.20
62976	UBS AG - Tencent R Bull CBBC Oct 2018 T	30,000,000	2018/04/18	2018/10/29	10.20
62839	Bank Vontobel - HSI R Bull CBBC Dec 2018 A	100,000,000	2018/04/18	2018/12/28	25.20
62885	Bank Vontobel - HSI R Bull CBBC Dec 2018 B	100,000,000	2018/04/18	2018/12/28	25.50
62428	Bank Vontobel - HSI R Bear CBBC Oct 2018 R	100,000,000	2018/04/18	2018/10/30	25.50
62837	Bank Vontobel - Tencent R Bull CBBC Oct 2018 B	40,000,000	2018/04/18	2018/10/03	10.48
62423	Bank Vontobel - Tencent R Bear CBBC Jul 2018 R	40,000,000	2018/04/18	2018/07/30	10.08
63776	Credit Suisse AG - CNOOC R Bull CBBC Jan 2019 B	50,000,000	2018/04/19	2019/01/30	12.50
63734	Credit Suisse AG - Sinopec Corp R Bull CBBC Jan 2019 A	60,000,000	2018/04/19	2019/01/22	15.00
63788	Credit Suisse AG - HKEX R Bull CBBC Dec 2018 B	50,000,000	2018/04/19	2018/12/05	12.50
63735	Credit Suisse AG - HSI R Bull CBBC Mar 2019 D	200,000,000	2018/04/19	2019/03/28	50.00
63748	Credit Suisse AG - HSI R Bull CBBC Aug 2019 D	200,000,000	2018/04/19	2019/08/29	50.00
63742	Credit Suisse AG - HSI R Bull CBBC Oct 2019 D	200,000,000	2018/04/19	2019/10/30	50.00
63749	Credit Suisse AG - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/04/19	2019/11/28	50.00
63762	Credit Suisse AG - HSI R Bear CBBC Aug 2018 B	200,000,000	2018/04/19	2018/08/30	50.00
63781	Credit Suisse AG - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/04/19	2018/08/30	50.00
63751	Credit Suisse AG - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/04/19	2018/08/30	50.00
63784	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 A	50,000,000	2018/04/19	2019/01/11	12.50
63931	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC Dec 2018E	100,000,000	2018/04/19	2018/12/31	25.00
63938	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC Dec 2018F	100,000,000	2018/04/19	2018/12/31	25.00
63921	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 R	100,000,000	2018/04/19	2018/12/31	25.00
63925	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 M	100,000,000	2018/04/19	2018/12/31	25.00
63542	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 H	200,000,000	2018/04/19	2019/12/30	50.00
63547	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 I	200,000,000	2018/04/19	2019/12/30	50.00
63894	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 V	200,000,000	2018/04/19	2018/07/30	50.00
63895	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 O	200,000,000	2018/04/19	2018/08/30	50.00
63923	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 A	100,000,000	2018/04/19	2018/12/31	25.00
63504	HK Bank - AIA R Bull CBBC Oct 2018 N	80,000,000	2018/04/19	2018/10/08	20.00
63423	HK Bank - HKEX R Bull CBBC Oct 2018 T	50,000,000	2018/04/19	2018/10/29	12.50
63501	HK Bank - HSCEI R Bear CBBC Aug 2018 H	50,000,000	2018/04/19	2018/08/30	12.50
63494	HK Bank - HSI R Bull CBBC Dec 2018 N	150,000,000	2018/04/19	2018/12/28	37.50
63525	HK Bank - HSI R Bear CBBC Aug 2018 Z	150,000,000	2018/04/19	2018/08/30	37.50
63470	HK Bank - SUNAC R Bull CBBC Dec 2018 E	100,000,000	2018/04/19	2018/12/10	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63482	HK Bank - Tencent R Bull CBBC Aug 2018 T	60,000,000	2018/04/19	2018/08/30	15.00
63869	J P Morgan SP BV - CNOOC R Bull CBBC Sep 2018 B	80,000,000	2018/04/19	2018/09/21	20.00
63859	J P Morgan SP BV - CNOOC R Bull CBBC Oct 2018 F	80,000,000	2018/04/19	2018/10/12	20.00
63831	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/04/19	2019/10/30	50.00
63825	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 X	200,000,000	2018/04/19	2019/11/28	50.00
63824	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 N	200,000,000	2018/04/19	2019/12/30	50.00
63835	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/04/19	2019/12/30	50.00
63823	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 Z	200,000,000	2018/04/19	2018/07/30	50.00
63874	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/04/19	2018/08/30	50.00
63877	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/04/19	2018/08/30	50.00
63858	J P Morgan SP BV - PetroChina R Bull CBBC Sep 2018 A	100,000,000	2018/04/19	2018/09/14	25.00
63856	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 B	50,000,000	2018/04/19	2018/08/17	19.00
63850	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 C	50,000,000	2018/04/19	2018/08/17	12.50
63549	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2018 A	11,800,000	2018/04/19	2018/11/15	10.05
63619	SG Issuer - AIA R Bull CBBC Oct 2018 B	60,000,000	2018/04/19	2018/10/24	15.00
63626	SG Issuer - CC Bank R Bull CBBC Nov 2018 E	100,000,000	2018/04/19	2018/11/27	25.00
63704	SG Issuer - CNOOC R Bull CBBC Oct 2018 I	40,000,000	2018/04/19	2018/10/22	10.00
63694	SG Issuer - Sinopec Corp R Bull CBBC Nov 2018 D	40,000,000	2018/04/19	2018/11/12	10.00
63691	SG Issuer - CPIC R Bull CBBC Mar 2019 A	100,000,000	2018/04/19	2019/03/12	25.00
63708	SG Issuer - A50 China R Bull CBBC Nov 2018 F	50,000,000	2018/04/19	2018/11/08	12.50
63705	SG Issuer - Galaxy Ent R Bull CBBC Sep 2018 G	80,000,000	2018/04/19	2018/09/18	20.00
63724	SG Issuer - HKEX R Bull CBBC Oct 2018 X	50,000,000	2018/04/19	2018/10/18	12.50
63720	SG Issuer - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/04/19	2019/10/30	50.00
63560	SG Issuer - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/04/19	2019/10/30	50.00
63709	SG Issuer - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/04/19	2019/11/28	50.00
63710	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/04/19	2018/09/27	50.00
63712	SG Issuer - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/04/19	2018/09/27	50.00
63706	SG Issuer - PetroChina R Bull CBBC Dec 2018 D	40,000,000	2018/04/19	2018/12/13	10.00
63571	SG Issuer - Ping An R Bull CBBC Sep 2018 D	100,000,000	2018/04/19	2018/09/27	25.00
63572	SG Issuer - Ping An R Bull CBBC Oct 2018 R	100,000,000	2018/04/19	2018/10/24	25.00
63580	SG Issuer - Tencent R Bull CBBC Aug 2018 R	80,000,000	2018/04/19	2018/08/09	20.00
63597	SG Issuer - Tencent R Bull CBBC Sep 2018 I	80,000,000	2018/04/19	2018/09/18	20.00
63604	SG Issuer - Tencent R Bull CBBC Sep 2018 J	80,000,000	2018/04/19	2018/09/27	20.00
63816	UBS AG - AIA R Bull CBBC Sep 2018 D	50,000,000	2018/04/19	2018/09/24	12.50
63811	UBS AG - CNOOC R Bear CBBC Oct 2018 B	40,000,000	2018/04/19	2018/10/29	10.00
63819	UBS AG - HKEX R Bull CBBC Nov 2018 N	50,000,000	2018/04/19	2018/11/08	12.50
63554	UBS AG - HSI R Bull CBBC Oct 2019 Q	250,000,000	2018/04/19	2019/10/30	62.50
63553	UBS AG - HSI R Bull CBBC Nov 2019 F	250,000,000	2018/04/19	2019/11/28	62.50
63559	UBS AG - HSI R Bull CBBC Dec 2019 L	250,000,000	2018/04/19	2019/12/30	62.50
63550	UBS AG - HSI R Bear CBBC Jul 2018 R	250,000,000	2018/04/19	2018/07/30	62.50
63799	UBS AG - HSI R Bear CBBC Jul 2018 U	250,000,000	2018/04/19	2018/07/30	62.50
63798	UBS AG - HSI R Bear CBBC Aug 2018 K	250,000,000	2018/04/19	2018/08/30	62.50
63420	Bank Vontobel - HSI R Bull CBBC Dec 2018 C	100,000,000	2018/04/19	2018/12/28	25.40
63421	Bank Vontobel - HSI R Bull CBBC Dec 2018 D	100,000,000	2018/04/19	2018/12/28	25.40
64317	Credit Suisse AG - AIA R Bull CBBC Jan 2019 C	70,000,000	2018/04/20	2019/01/10	17.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64297	Credit Suisse AG - AIA R Bear CBBC Jan 2019 A	50,000,000	2018/04/20	2019/01/18	12.50
64282	Credit Suisse AG - AIA R Bear CBBC Feb 2019 A	50,000,000	2018/04/20	2019/02/11	12.50
64331	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 C	100,000,000	2018/04/20	2018/12/12	25.00
64247	Credit Suisse AG - HSI R Bull CBBC Sep 2019 D	200,000,000	2018/04/20	2019/09/27	50.00
64265	Credit Suisse AG - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/04/20	2019/11/28	50.00
64266	Credit Suisse AG - HSI R Bear CBBC Jul 2018 I	200,000,000	2018/04/20	2018/07/30	50.00
64277	Credit Suisse AG - PetroChina R Bull CBBC Feb 2019 A	60,000,000	2018/04/20	2019/02/15	15.00
64276	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 B	50,000,000	2018/04/20	2019/01/22	12.50
64267	Credit Suisse AG - Tencent R Bear CBBC Jan 2019 A	50,000,000	2018/04/20	2019/01/03	12.50
64122	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/04/20	2019/12/30	50.00
64147	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/04/20	2019/12/30	50.00
64339	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 X	200,000,000	2018/04/20	2018/07/30	50.00
64343	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2018 Y	200,000,000	2018/04/20	2018/07/30	50.20
64355	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 G	100,000,000	2018/04/20	2018/12/24	25.00
64157	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Oct 2018 D	100,000,000	2018/04/20	2018/10/30	25.00
64095	HK Bank - CNOOC R Bull CBBC Oct 2018 M	50,000,000	2018/04/20	2018/10/29	12.50
64105	HK Bank - CNOOC R Bear CBBC Nov 2018 A	40,000,000	2018/04/20	2018/11/05	10.00
64076	HK Bank - HKEX R Bull CBBC Nov 2018 I	50,000,000	2018/04/20	2018/11/01	12.50
64086	HK Bank - HSI R Bull CBBC Mar 2019 N	100,000,000	2018/04/20	2019/03/28	25.00
64087	HK Bank - HSI R Bear CBBC Aug 2018 C	100,000,000	2018/04/20	2018/08/30	25.00
64077	HK Bank - Ping An R Bull CBBC Oct 2018 R	100,000,000	2018/04/20	2018/10/04	25.00
64116	HK Bank - Tencent R Bull CBBC Sep 2018 P	60,000,000	2018/04/20	2018/09/13	15.00
64027	Haitong Int'l Sec - AIA R Bull CBBC Oct 2018 C	120,000,000	2018/04/20	2018/10/10	30.00
64025	Haitong Int'l Sec - China Mobile R Bull CBBC Nov 2018 A	110,000,000	2018/04/20	2018/11/05	27.50
64018	Haitong Int'l Sec - CNOOC R Bull CBBC Nov 2018 A	70,000,000	2018/04/20	2018/11/06	17.50
63961	Haitong Int'l Sec - HKEX R Bull CBBC Oct 2018 C	40,000,000	2018/04/20	2018/10/09	10.00
63988	Haitong Int'l Sec - HKEX R Bull CBBC Oct 2018 D	40,000,000	2018/04/20	2018/10/09	10.00
63940	Haitong Int'l Sec - HSBC R Bull CBBC Sep 2018 E	110,000,000	2018/04/20	2018/09/04	27.50
63944	Haitong Int'l Sec - HSBC R Bull CBBC Sep 2018 F	110,000,000	2018/04/20	2018/09/04	27.50
64031	Haitong Int'l Sec - ICBC R Bull CBBC Oct 2018 A	120,000,000	2018/04/20	2018/10/10	30.00
64071	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2018 G	25,000,000	2018/04/20	2018/10/08	10.20
64072	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2018 H	25,000,000	2018/04/20	2018/10/10	11.13
64075	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2018 I	25,000,000	2018/04/20	2018/10/08	18.48
64043	Haitong Int'l Sec - SUNAC R Bull CBBC Oct 2018 C	40,000,000	2018/04/20	2018/10/08	18.40
64005	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2018 C	40,000,000	2018/04/20	2018/10/09	10.00
64367	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 W	200,000,000	2018/04/20	2018/08/30	50.00
64374	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 D	50,000,000	2018/04/20	2018/08/17	12.50
64396	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 K	40,000,000	2018/04/20	2018/11/27	10.00
64454	SG Issuer - HKEX R Bull CBBC Oct 2018 Y	50,000,000	2018/04/20	2018/10/23	12.50
64460	SG Issuer - HSBC R Bull CBBC Sep 2018 R	100,000,000	2018/04/20	2018/09/21	25.00
64456	SG Issuer - HSBC R Bear CBBC Aug 2018 A	100,000,000	2018/04/20	2018/08/24	25.00
64389	SG Issuer - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/04/20	2019/12/30	50.00
64384	SG Issuer - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/04/20	2018/10/30	50.00
64393	SG Issuer - Tencent R Bull CBBC Sep 2018 K	80,000,000	2018/04/20	2018/09/05	20.00
64395	SG Issuer - Tencent R Bull CBBC Sep 2018 L	80,000,000	2018/04/20	2018/09/10	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64457	SG Issuer - Tencent R Bull CBBC Nov 2018 N	80,000,000	2018/04/20	2018/11/21	20.00
64464	SG Issuer - Tencent R Bear CBBC Aug 2018 N	80,000,000	2018/04/20	2018/08/31	20.00
64233	UBS AG - China Life R Bull CBBC Nov 2018 A	40,000,000	2018/04/20	2018/11/05	10.00
64222	UBS AG - CNOOC R Bull CBBC Nov 2018 B	40,000,000	2018/04/20	2018/11/12	10.00
64214	UBS AG - Sinopec Corp R Bull CBBC Nov 2018 A	50,000,000	2018/04/20	2018/11/05	12.50
64208	UBS AG - HSBC R Bull CBBC Nov 2018 B	50,000,000	2018/04/20	2018/11/12	12.50
64198	UBS AG - HSI R Bull CBBC Oct 2019 F	250,000,000	2018/04/20	2019/10/30	62.50
64180	UBS AG - HSI R Bull CBBC Oct 2019 S	200,000,000	2018/04/20	2019/10/30	50.00
64204	UBS AG - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/04/20	2019/11/28	50.00
64243	UBS AG - HSI R Bear CBBC Jul 2018 J	200,000,000	2018/04/20	2018/07/30	50.00
64240	UBS AG - HSI R Bear CBBC Jul 2018 W	250,000,000	2018/04/20	2018/07/30	62.50
64215	UBS AG - Petrochina R Bull CBBC Dec 2018 A	60,000,000	2018/04/20	2018/12/10	15.00
64161	Bank Vontobel - HSI R Bull CBBC Dec 2018 E	100,000,000	2018/04/20	2018/12/28	25.50
64168	Bank Vontobel - HSI R Bull CBBC Dec 2018 F	100,000,000	2018/04/20	2018/12/28	25.00
64172	Bank Vontobel - HSI R Bear CBBC Oct 2018 S	100,000,000	2018/04/20	2018/10/30	25.30
64466	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 S	100,000,000	2018/04/23	2018/11/29	25.00
64468	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Jul 2018 H	100,000,000	2018/04/23	2018/07/30	25.00
64562	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 F	250,000,000	2018/04/23	2019/12/30	62.50
64570	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 G	250,000,000	2018/04/23	2019/12/30	62.50
64566	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 H	250,000,000	2018/04/23	2018/07/30	62.50
64567	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 W	250,000,000	2018/04/23	2018/07/30	62.50
64467	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Nov 2018 E	200,000,000	2018/04/23	2018/11/29	50.00
64618	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2018 D	50,000,000	2018/04/23	2018/11/29	12.50
64601	Credit Suisse AG - HSI R Bull CBBC Sep 2019 I	200,000,000	2018/04/23	2019/09/27	50.00
64608	Credit Suisse AG - HSI R Bull CBBC Sep 2019 J	200,000,000	2018/04/23	2019/09/27	50.00
64612	Credit Suisse AG - HSI R Bull CBBC Sep 2019 K	200,000,000	2018/04/23	2019/09/27	50.00
64633	Credit Suisse AG - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/04/23	2018/08/30	50.00
64623	Credit Suisse AG - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/04/23	2018/08/30	50.00
64634	Credit Suisse AG - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/04/23	2018/09/27	50.00
64624	Credit Suisse AG - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/04/23	2018/09/27	50.00
64620	Credit Suisse AG - Ping An R Bear CBBC Nov 2018 D	50,000,000	2018/04/23	2018/11/16	12.50
64616	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 S	50,000,000	2018/04/23	2018/11/29	12.50
64721	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Nov 2019 D	120,000,000	2018/04/23	2019/11/28	30.00
64560	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 K	200,000,000	2018/04/23	2019/11/28	50.00
64556	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 T	200,000,000	2018/04/23	2019/11/28	50.00
64722	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/04/23	2018/09/27	50.00
64724	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 R	200,000,000	2018/04/23	2018/09/27	50.00
64727	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/04/23	2018/09/27	50.00
64720	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 D	100,000,000	2018/04/23	2019/02/28	25.00
64548	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 I	100,000,000	2018/04/23	2018/12/31	25.00
64554	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 J	100,000,000	2018/04/23	2018/12/31	25.00
64511	HK Bank - Geely Auto R Bull CBBC Oct 2018 D	40,000,000	2018/04/23	2018/10/02	10.00
64514	HK Bank - Galaxy Ent R Bull CBBC Aug 2018 L	80,000,000	2018/04/23	2018/08/27	20.00
64534	HK Bank - Galaxy Ent R Bear CBBC Aug 2018 D	40,000,000	2018/04/23	2018/08/20	10.00
64518	HK Bank - HKEX R Bear CBBC Oct 2018 K	40,000,000	2018/04/23	2018/10/15	10.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64510	HK Bank - HSI R Bull CBBC Dec 2018 P	100,000,000	2018/04/23	2018/12/28	25.00
64525	HK Bank - HSI R Bear CBBC Aug 2018 A	150,000,000	2018/04/23	2018/08/30	37.50
64519	HK Bank - HSI R Bear CBBC Aug 2018 E	150,000,000	2018/04/23	2018/08/30	37.50
64529	HK Bank - HSI R Bear CBBC Sep 2018 C	150,000,000	2018/04/23	2018/09/27	37.50
64530	HK Bank - HSI R Bear CBBC Oct 2018 S	150,000,000	2018/04/23	2018/10/30	37.50
64486	HK Bank - Tencent R Bull CBBC Aug 2018 I	60,000,000	2018/04/23	2018/08/23	15.00
64515	HK Bank - Tencent R Bear CBBC Oct 2018 O	50,000,000	2018/04/23	2018/10/08	12.50
64636	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/04/23	2019/12/30	50.00
64639	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/04/23	2019/12/30	50.00
64665	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 L	200,000,000	2018/04/23	2018/07/30	50.00
64651	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/04/23	2018/08/30	50.00
64659	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/04/23	2018/08/30	50.00
64648	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/04/23	2018/08/30	50.00
64666	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 C	50,000,000	2018/04/23	2018/08/10	12.50
64675	SG Issuer - Galaxy Ent R Bull CBBC Oct 2018 F	80,000,000	2018/04/23	2018/10/12	20.00
64678	SG Issuer - Galaxy Ent R Bull CBBC Nov 2018 I	80,000,000	2018/04/23	2018/11/15	20.00
64679	SG Issuer - HKEX R Bull CBBC Oct 2018 Z	50,000,000	2018/04/23	2018/10/09	12.50
64691	SG Issuer - HSI R Bull CBBC Sep 2019 Y	200,000,000	2018/04/23	2019/09/27	50.00
64719	SG Issuer - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/04/23	2019/10/30	50.00
64668	SG Issuer - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/04/23	2019/10/30	50.00
64672	SG Issuer - HSI R Bull CBBC Oct 2019 R	200,000,000	2018/04/23	2019/10/30	50.00
64692	SG Issuer - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/04/23	2019/11/28	50.00
64673	SG Issuer - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/04/23	2019/12/30	50.00
64689	SG Issuer - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/04/23	2018/09/27	50.00
64714	SG Issuer - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/04/23	2018/10/30	50.00
64693	SG Issuer - HSI R Bear CBBC Nov 2018 L	200,000,000	2018/04/23	2018/11/29	50.00
64717	SG Issuer - HSI R Bear CBBC Nov 2018 M	200,000,000	2018/04/23	2018/11/29	50.00
64718	SG Issuer - HSI R Bear CBBC Dec 2018 T	200,000,000	2018/04/23	2018/12/28	50.00
64680	SG Issuer - Ping An R Bull CBBC Oct 2018 X	100,000,000	2018/04/23	2018/10/29	25.00
64681	SG Issuer - Ping An R Bull CBBC Nov 2018 P	100,000,000	2018/04/23	2018/11/23	25.00
64683	SG Issuer - Tencent R Bull CBBC Jul 2018 U	80,000,000	2018/04/23	2018/07/25	20.00
64684	SG Issuer - Tencent R Bull CBBC Aug 2018 Y	80,000,000	2018/04/23	2018/08/06	20.00
64685	SG Issuer - Tencent R Bull CBBC Aug 2018 Z	80,000,000	2018/04/23	2018/08/07	20.00
64705	SG Issuer - Tencent R Bull CBBC Sep 2018 B	80,000,000	2018/04/23	2018/09/07	20.00
64707	SG Issuer - Tencent R Bull CBBC Sep 2018 M	80,000,000	2018/04/23	2018/09/11	20.00
64695	SG Issuer - Tencent R Bear CBBC Sep 2018 N	80,000,000	2018/04/23	2018/09/05	20.00
64582	UBS AG - HSI R Bull CBBC Nov 2019 B	250,000,000	2018/04/23	2019/11/28	62.50
64589	UBS AG - HSI R Bull CBBC Nov 2019 L	250,000,000	2018/04/23	2019/11/28	62.50
64590	UBS AG - HSI R Bear CBBC Jul 2018 K	250,000,000	2018/04/23	2018/07/30	62.50
64592	UBS AG - HSI R Bear CBBC Jul 2018 Y	250,000,000	2018/04/23	2018/07/30	62.50
64583	UBS AG - Tencent R Bull CBBC Aug 2018 Y	30,000,000	2018/04/23	2018/08/20	10.20
64587	UBS AG - Tencent R Bear CBBC Oct 2018 B	30,000,000	2018/04/23	2018/10/15	10.20
64479	Bank Vontobel - HSI R Bull CBBC Dec 2018 G	100,000,000	2018/04/23	2018/12/28	25.40
64599	Bank Vontobel - HSI R Bear CBBC Oct 2018 T	100,000,000	2018/04/23	2018/10/30	25.50
64471	Bank Vontobel - Tencent R Bull CBBC Oct 2018 C	40,000,000	2018/04/23	2018/10/04	10.40

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64478	Bank Vontobel - Tencent R Bull CBBC Oct 2018 D	40,000,000	2018/04/23	2018/10/05	10.24
64729	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 H	250,000,000	2018/04/24	2019/12/30	62.50
64862	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 A	250,000,000	2018/04/24	2018/07/30	62.50
64866	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 D	250,000,000	2018/04/24	2018/07/30	62.50
64857	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 X	250,000,000	2018/04/24	2018/07/30	62.50
64899	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 D	50,000,000	2018/04/24	2019/01/30	12.50
64904	Credit Suisse AG - A50 China R Bull CBBC Nov 2018 A	50,000,000	2018/04/24	2018/11/29	12.50
64867	Credit Suisse AG - HSI R Bull CBBC Sep 2019 L	200,000,000	2018/04/24	2019/09/27	50.00
64879	Credit Suisse AG - HSI R Bull CBBC Sep 2019 M	200,000,000	2018/04/24	2019/09/27	50.00
64914	Credit Suisse AG - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/04/24	2019/10/30	50.00
64876	Credit Suisse AG - HSI R Bear CBBC Aug 2018 G	200,000,000	2018/04/24	2018/08/30	50.00
64917	Credit Suisse AG - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/04/24	2018/08/30	50.00
64920	Credit Suisse AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/04/24	2018/08/30	50.00
64875	Credit Suisse AG - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/04/24	2018/09/27	50.00
64927	Credit Suisse AG - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/04/24	2018/09/27	50.00
64878	Credit Suisse AG - Ping An R Bull CBBC Nov 2018 T	80,000,000	2018/04/24	2018/11/29	20.00
64881	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 W	50,000,000	2018/04/24	2018/10/30	20.50
64912	Credit Suisse AG - Tencent R Bull CBBC Oct 2018 X	50,000,000	2018/04/24	2018/10/30	12.50
64877	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 F	50,000,000	2018/04/24	2018/12/28	12.50
64896	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 A	50,000,000	2018/04/24	2018/12/28	12.50
65049	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 S	100,000,000	2018/04/24	2018/12/31	25.00
64851	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 N	100,000,000	2018/04/24	2018/12/31	25.00
64757	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/04/24	2019/11/28	50.00
64756	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 T	200,000,000	2018/04/24	2019/12/30	50.00
65052	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 M	200,000,000	2018/04/24	2018/10/30	50.00
65054	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/04/24	2018/10/30	50.00
65058	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 O	200,000,000	2018/04/24	2018/10/30	50.00
64856	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 H	200,000,000	2018/04/24	2018/12/27	50.00
64850	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 K	100,000,000	2018/04/24	2018/12/31	25.00
65042	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 L	100,000,000	2018/04/24	2018/12/31	25.00
64846	HK Bank - HKEX R Bull CBBC Oct 2018 U	50,000,000	2018/04/24	2018/10/18	12.50
64747	HK Bank - HSCEI R Bear CBBC Aug 2018 I	50,000,000	2018/04/24	2018/08/30	12.50
64750	HK Bank - HSI R Bull CBBC Dec 2018 Y	150,000,000	2018/04/24	2018/12/28	37.50
64730	HK Bank - HSI R Bear CBBC Aug 2018 H	150,000,000	2018/04/24	2018/08/30	37.50
64741	HK Bank - Tencent R Bull CBBC Sep 2018 Q	60,000,000	2018/04/24	2018/09/06	15.00
64731	HK Bank - Tencent R Bear CBBC Oct 2018 P	50,000,000	2018/04/24	2018/10/15	12.50
64825	Haitong Int'l Sec - BYD Company R Bear CBBC Mar 2019 A	140,000,000	2018/04/24	2019/03/05	35.00
64836	Haitong Int'l Sec - Country Garden R Bear CBBC Aug 2018 B	40,000,000	2018/04/24	2018/08/15	10.00
64822	Haitong Int'l Sec - China Mobile R Bear CBBC Aug 2018 A	110,000,000	2018/04/24	2018/08/08	27.50
64818	Haitong Int'l Sec - CC Bank R Bear CBBC Aug 2018 A	110,000,000	2018/04/24	2018/08/20	27.50
64816	Haitong Int'l Sec - CNOOC R Bear CBBC Aug 2018 A	70,000,000	2018/04/24	2018/08/03	17.50
64794	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2018 A	40,000,000	2018/04/24	2018/07/31	10.00
64785	Haitong Int'l Sec - HKEX R Bear CBBC Aug 2018 A	40,000,000	2018/04/24	2018/08/03	10.00
64777	Haitong Int'l Sec - HSBC R Bear CBBC Aug 2018 A	110,000,000	2018/04/24	2018/08/13	27.50
64784	Haitong Int'l Sec - HSBC R Bear CBBC Aug 2018 B	110,000,000	2018/04/24	2018/08/09	27.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64826	Haitong Int'l Sec - ICBC R Bear CBBC Oct 2018 A	130,000,000	2018/04/24	2018/10/15	32.50
64811	Haitong Int'l Sec - PetroChina R Bear CBBC Aug 2018 A	150,000,000	2018/04/24	2018/08/08	37.50
64837	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2018 A	20,000,000	2018/04/24	2018/08/15	12.34
64840	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2018 B	20,000,000	2018/04/24	2018/08/15	16.38
64802	Haitong Int'l Sec - Tencent R Bear CBBC Aug 2018 B	40,000,000	2018/04/24	2018/08/02	10.00
64810	Haitong Int'l Sec - Tencent R Bear CBBC Aug 2018 C	40,000,000	2018/04/24	2018/08/03	16.12
64939	J P Morgan SP BV - HKEX R Bear CBBC Aug 2018 A	40,000,000	2018/04/24	2018/08/10	10.00
64932	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/04/24	2019/10/30	50.00
64931	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 K	200,000,000	2018/04/24	2019/10/30	50.00
64944	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/04/24	2018/08/30	50.00
64949	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 H	200,000,000	2018/04/24	2018/09/27	50.00
64952	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/04/24	2018/09/27	50.00
64930	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 D	40,000,000	2018/04/24	2018/08/10	10.00
64936	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 E	50,000,000	2018/04/24	2018/08/10	12.50
64937	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 F	50,000,000	2018/04/24	2018/08/10	12.50
64940	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 G	50,000,000	2018/04/24	2018/08/10	12.50
64758	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 D	13,300,000	2018/04/24	2018/11/15	10.09
64774	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2018 B	12,000,000	2018/04/24	2018/11/15	10.04
65137	SG Issuer - CC Bank R Bear CBBC Aug 2018 B	80,000,000	2018/04/24	2018/08/10	20.00
65059	SG Issuer - HKEX R Bull CBBC Oct 2018 A	50,000,000	2018/04/24	2018/10/19	12.50
65146	SG Issuer - HKEX R Bear CBBC Nov 2018 D	50,000,000	2018/04/24	2018/11/19	12.50
65114	SG Issuer - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/04/24	2019/10/30	50.00
65086	SG Issuer - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/04/24	2019/11/28	50.00
65099	SG Issuer - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/04/24	2019/12/30	50.00
65135	SG Issuer - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/04/24	2019/12/30	50.00
65090	SG Issuer - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/04/24	2019/12/30	50.00
65101	SG Issuer - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/04/24	2018/10/30	50.00
65079	SG Issuer - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/04/24	2018/10/30	50.00
65100	SG Issuer - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/04/24	2018/10/30	50.00
65102	SG Issuer - HSI R Bear CBBC Nov 2018 N	200,000,000	2018/04/24	2018/11/29	50.00
65106	SG Issuer - HSI R Bear CBBC Dec 2018 U	200,000,000	2018/04/24	2018/12/28	50.00
65062	SG Issuer - Ping An R Bull CBBC Nov 2018 Q	100,000,000	2018/04/24	2018/11/21	25.00
65147	SG Issuer - Ping An R Bear CBBC Nov 2018 F	100,000,000	2018/04/24	2018/11/06	25.00
65087	SG Issuer - Tencent R Bull CBBC Jul 2018 V	80,000,000	2018/04/24	2018/07/26	20.00
65088	SG Issuer - Tencent R Bull CBBC Aug 2018 B	80,000,000	2018/04/24	2018/08/06	20.00
65065	SG Issuer - Tencent R Bull CBBC Sep 2018 N	80,000,000	2018/04/24	2018/09/14	20.00
65089	SG Issuer - Tencent R Bull CBBC Oct 2018 W	80,000,000	2018/04/24	2018/10/22	20.00
65149	SG Issuer - Tencent R Bear CBBC Aug 2018 O	80,000,000	2018/04/24	2018/08/07	20.00
65003	UBS AG - CC Bank R Bull CBBC Nov 2018 A	80,000,000	2018/04/24	2018/11/12	20.00
64969	UBS AG - HKEX R Bull CBBC Nov 2018 O	50,000,000	2018/04/24	2018/11/13	12.50
64974	UBS AG - HKEX R Bull CBBC Nov 2018 P	50,000,000	2018/04/24	2018/11/21	12.50
65012	UBS AG - HSCEI R Bull CBBC Oct 2019 E	100,000,000	2018/04/24	2019/10/30	25.00
65013	UBS AG - HSCEI R Bear CBBC Aug 2018 C	100,000,000	2018/04/24	2018/08/30	25.00
64959	UBS AG - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/04/24	2019/11/28	50.00
64956	UBS AG - HSI R Bull CBBC Dec 2019 M	250,000,000	2018/04/24	2019/12/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65026	UBS AG - HSI R Bear CBBC Jul 2018 Q	250,000,000	2018/04/24	2018/07/30	62.50
65031	UBS AG - HSI R Bear CBBC Jul 2018 X	200,000,000	2018/04/24	2018/07/30	50.00
65033	UBS AG - HSI R Bear CBBC Jul 2018 Z	250,000,000	2018/04/24	2018/07/30	62.50
65027	UBS AG - HSI R Bear CBBC Aug 2018 A	250,000,000	2018/04/24	2018/08/30	62.50
65004	UBS AG - Ping An R Bull CBBC Oct 2018 V	80,000,000	2018/04/24	2018/10/08	20.00
65018	UBS AG - Tencent R Bull CBBC Aug 2018 Z	30,000,000	2018/04/24	2018/08/28	10.20
64987	UBS AG - Tencent R Bull CBBC Sep 2018 A	30,000,000	2018/04/24	2018/09/12	10.20
65002	UBS AG - Tencent R Bull CBBC Sep 2018 D	30,000,000	2018/04/24	2018/09/03	10.20
65017	UBS AG - Tencent R Bull CBBC Sep 2018 E	30,000,000	2018/04/24	2018/09/19	10.20
64965	UBS AG - Tencent R Bull CBBC Oct 2018 U	30,000,000	2018/04/24	2018/10/24	10.20
64988	UBS AG - Tencent R Bull CBBC Nov 2018 G	30,000,000	2018/04/24	2018/11/12	10.20
64982	UBS AG - Tencent R Bear CBBC Aug 2018 F	30,000,000	2018/04/24	2018/08/20	10.20
64984	UBS AG - Tencent R Bear CBBC Aug 2018 G	30,000,000	2018/04/24	2018/08/15	10.20
64847	Bank Vontobel - HSI R Bull CBBC Dec 2018 H	100,000,000	2018/04/24	2018/12/28	25.50
65210	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2018 U	50,000,000	2018/04/25	2018/07/30	12.50
65198	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 I	250,000,000	2018/04/25	2019/12/30	62.50
65200	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 G	50,000,000	2018/04/25	2018/09/27	12.50
65204	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 L	50,000,000	2018/04/25	2018/11/29	12.50
65203	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 N	50,000,000	2018/04/25	2018/11/29	12.50
65207	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 Q	50,000,000	2018/04/25	2018/11/29	12.50
65304	Credit Suisse AG - AIA R Bull CBBC Oct 2018 D	70,000,000	2018/04/25	2018/10/30	17.50
65307	Credit Suisse AG - BYD Company R Bull CBBC Nov 2018 F	50,000,000	2018/04/25	2018/11/29	12.50
65299	Credit Suisse AG - CC Bank R Bull CBBC Sep 2018 T	80,000,000	2018/04/25	2018/09/27	20.00
65288	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2018 G	40,000,000	2018/04/25	2018/12/28	15.60
65324	Credit Suisse AG - HSI R Bull CBBC Aug 2019 E	200,000,000	2018/04/25	2019/08/29	50.00
65322	Credit Suisse AG - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/04/25	2019/10/30	50.00
65252	Credit Suisse AG - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/04/25	2018/08/30	50.00
65248	Credit Suisse AG - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/04/25	2018/09/27	50.00
65251	Credit Suisse AG - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/04/25	2018/09/27	50.00
65287	Credit Suisse AG - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/04/25	2018/09/27	50.00
65285	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 I	80,000,000	2018/04/25	2018/12/14	20.00
65321	Credit Suisse AG - SUNAC R Bull CBBC Jan 2019 E	80,000,000	2018/04/25	2019/01/30	20.00
65262	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 T	50,000,000	2018/04/25	2018/11/29	12.50
65361	Bank of East Asia - CNOOC R Bull CBBC Nov 2018 B	40,000,000	2018/04/25	2018/11/16	10.00
65352	Bank of East Asia - Galaxy Ent R Bull CBBC Nov 2018 G	16,000,000	2018/04/25	2018/11/16	10.40
65349	Bank of East Asia - HKEX R Bull CBBC Nov 2018 Q	40,000,000	2018/04/25	2018/11/16	10.00
65364	Bank of East Asia - HKEX R Bull CBBC Nov 2018 R	40,000,000	2018/04/25	2018/11/16	10.00
65363	Bank of East Asia - Ping An R Bear CBBC Nov 2018 C	40,000,000	2018/04/25	2018/11/16	10.00
65342	Bank of East Asia - Tencent R Bull CBBC Nov 2018 Y	30,000,000	2018/04/25	2018/11/16	10.50
65346	Bank of East Asia - Tencent R Bear CBBC Nov 2018 D	30,000,000	2018/04/25	2018/11/16	18.00
65421	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 K	100,000,000	2018/04/25	2018/12/31	25.00
65417	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2018N	100,000,000	2018/04/25	2018/11/30	25.00
65400	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 O	100,000,000	2018/04/25	2018/12/31	25.00
65424	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 J	100,000,000	2018/04/25	2018/12/31	25.00
65407	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/04/25	2019/11/28	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65413	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/04/25	2019/11/28	50.00
65183	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/04/25	2018/10/30	50.00
65184	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/04/25	2018/10/30	50.00
65414	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 I	100,000,000	2018/04/25	2018/12/31	25.00
65176	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 M	100,000,000	2018/04/25	2018/12/31	25.00
65393	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 N	100,000,000	2018/04/25	2018/12/31	25.00
65163	HK Bank - CNOOC R Bull CBBC Oct 2018 N	50,000,000	2018/04/25	2018/10/22	12.50
65171	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2018 O	50,000,000	2018/04/25	2018/10/22	12.50
65158	HK Bank - HKEX R Bull CBBC Oct 2018 W	50,000,000	2018/04/25	2018/10/04	12.50
65164	HK Bank - HKEX R Bear CBBC Oct 2018 L	40,000,000	2018/04/25	2018/10/22	10.00
65175	HK Bank - HSCEI ETF R Bull CBBC Jan 2019 A	50,000,000	2018/04/25	2019/01/14	12.50
65159	HK Bank - HSI R Bull CBBC Dec 2018 Z	150,000,000	2018/04/25	2018/12/28	37.50
65160	HK Bank - HSI R Bear CBBC Aug 2018 D	150,000,000	2018/04/25	2018/08/30	37.50
65156	HK Bank - Ping An R Bull CBBC Oct 2018 S	100,000,000	2018/04/25	2018/10/02	25.00
65154	HK Bank - Tencent R Bull CBBC Sep 2018 N	60,000,000	2018/04/25	2018/09/20	15.00
65339	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Y	200,000,000	2018/04/25	2019/11/28	50.00
65341	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/04/25	2019/11/28	50.00
65326	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 D	200,000,000	2018/04/25	2018/08/30	50.00
65325	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Y	200,000,000	2018/04/25	2018/08/30	50.00
65333	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/04/25	2018/09/27	50.00
65218	Macquarie Bank Ltd. - AAC Tech R Bull CBBC Apr 2019 B	22,000,000	2018/04/25	2019/04/15	10.08
65219	Macquarie Bank Ltd. - CM Bank R Bull CBBC Nov 2018 B	15,600,000	2018/04/25	2018/11/15	10.05
65212	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 E	13,400,000	2018/04/25	2018/11/15	10.08
65216	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2018 C	13,200,000	2018/04/25	2018/11/15	10.07
65373	SG Issuer - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/04/25	2019/10/30	50.00
65374	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/04/25	2019/11/28	50.00
65365	SG Issuer - HSI R Bear CBBC Nov 2018 O	200,000,000	2018/04/25	2018/11/29	50.00
65376	SG Issuer - Ping An R Bull CBBC Oct 2018 P	100,000,000	2018/04/25	2018/10/30	25.00
65390	SG Issuer - Tencent R Bull CBBC Aug 2018 C	80,000,000	2018/04/25	2018/08/29	20.00
65392	SG Issuer - Tencent R Bull CBBC Sep 2018 F	80,000,000	2018/04/25	2018/09/27	20.00
65223	UBS AG - HKEX R Bull CBBC Oct 2018 G	50,000,000	2018/04/25	2018/10/11	12.50
65224	UBS AG - HKEX R Bear CBBC Aug 2018 A	50,000,000	2018/04/25	2018/08/20	12.50
65244	UBS AG - HSI R Bull CBBC Oct 2019 T	250,000,000	2018/04/25	2019/10/30	62.50
65246	UBS AG - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/04/25	2019/12/30	62.50
65221	UBS AG - HSI R Bear CBBC Jul 2018 D	200,000,000	2018/04/25	2018/07/30	50.00
65222	UBS AG - HSI R Bear CBBC Jul 2018 H	250,000,000	2018/04/25	2018/07/30	62.50
65242	UBS AG - HSI R Bear CBBC Jul 2018 S	250,000,000	2018/04/25	2018/07/30	62.50
65241	UBS AG - HSI R Bear CBBC Aug 2018 C	250,000,000	2018/04/25	2018/08/30	62.50
65220	UBS AG - HSI R Bear CBBC Aug 2018 I	250,000,000	2018/04/25	2018/08/30	62.50
65240	UBS AG - Ping An R Bull CBBC Nov 2018 T	80,000,000	2018/04/25	2018/11/19	20.00
65227	UBS AG - Tencent R Bull CBBC Oct 2018 V	30,000,000	2018/04/25	2018/10/30	10.20
65230	UBS AG - Tencent R Bull CBBC Oct 2018 W	30,000,000	2018/04/25	2018/10/03	10.20
65232	UBS AG - Tencent R Bull CBBC Oct 2018 X	30,000,000	2018/04/25	2018/10/24	10.20
65233	UBS AG - Tencent R Bull CBBC Oct 2018 Y	30,000,000	2018/04/25	2018/10/22	10.20
65225	UBS AG - Tencent R Bear CBBC Oct 2018 C	30,000,000	2018/04/25	2018/10/08	10.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65226	UBS AG - Tencent R Bear CBBC Oct 2018 D	30,000,000	2018/04/25	2018/10/15	12.45
65194	Bank Vontobel - HSI R Bull CBBC Dec 2018 I	100,000,000	2018/04/25	2018/12/28	25.30
65196	Bank Vontobel - HSI R Bull CBBC Dec 2018 J	100,000,000	2018/04/25	2018/12/28	39.00
65152	Bank Vontobel - HSI R Bear CBBC Oct 2018 U	100,000,000	2018/04/25	2018/10/30	25.30
65185	Bank Vontobel - Tencent R Bull CBBC Nov 2018 A	40,000,000	2018/04/25	2018/11/27	41.48
65151	Bank Vontobel - Tencent R Bear CBBC Jul 2018 S	40,000,000	2018/04/25	2018/07/31	10.20
65563	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 J	250,000,000	2018/04/26	2019/12/30	62.50
65564	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 K	250,000,000	2018/04/26	2019/12/30	62.50
65566	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 L	250,000,000	2018/04/26	2019/12/30	62.50
65442	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 I	250,000,000	2018/04/26	2018/07/30	62.50
65470	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 T	250,000,000	2018/04/26	2018/07/30	62.50
65727	Credit Suisse AG - Country Garden R Bull CBBC Dec 2018 A	40,000,000	2018/04/26	2018/12/28	10.00
65703	Credit Suisse AG - CM Bank R Bull CBBC Jan 2019 B	70,000,000	2018/04/26	2019/01/30	17.50
65695	Credit Suisse AG - CNOOC R Bull CBBC Dec 2018 B	50,000,000	2018/04/26	2018/12/28	12.50
65753	Credit Suisse AG - Sinopec Corp R Bull CBBC Dec 2018 C	60,000,000	2018/04/26	2018/12/28	15.00
65731	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Oct 2018 F	50,000,000	2018/04/26	2018/10/30	12.50
65733	Credit Suisse AG - A50 China R Bull CBBC Oct 2018 E	50,000,000	2018/04/26	2018/10/30	12.50
65692	Credit Suisse AG - HKEX R Bull CBBC Nov 2018 F	50,000,000	2018/04/26	2018/11/29	12.50
65787	Credit Suisse AG - HSCEI R Bull CBBC Apr 2019 A	100,000,000	2018/04/26	2019/04/29	25.00
65783	Credit Suisse AG - HSI R Bull CBBC Jul 2019 W	200,000,000	2018/04/26	2019/07/30	50.00
65784	Credit Suisse AG - HSI R Bull CBBC Sep 2019 N	200,000,000	2018/04/26	2019/09/27	50.00
65785	Credit Suisse AG - HSI R Bull CBBC Sep 2019 O	200,000,000	2018/04/26	2019/09/27	50.00
65779	Credit Suisse AG - HSI R Bull CBBC Oct 2019 G	200,000,000	2018/04/26	2019/10/30	50.00
65780	Credit Suisse AG - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/04/26	2019/10/30	50.00
65778	Credit Suisse AG - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/04/26	2019/11/28	50.00
65688	Credit Suisse AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/04/26	2018/08/30	50.00
65691	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/26	2018/08/30	50.00
65689	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/04/26	2018/09/27	50.00
65765	Credit Suisse AG - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/04/26	2018/10/30	50.00
65774	Credit Suisse AG - HSI R Bear CBBC Oct 2018 I	200,000,000	2018/04/26	2018/10/30	50.00
65786	Credit Suisse AG - PetroChina R Bull CBBC Dec 2018 A	50,000,000	2018/04/26	2018/12/28	12.50
65730	Credit Suisse AG - Sunny Optical R Bull CBBC Oct 2018 B	40,000,000	2018/04/26	2018/10/30	10.00
65570	Bank of East Asia - HKEX R Bull CBBC Nov 2018 S	40,000,000	2018/04/26	2018/11/22	10.00
65596	Bank of East Asia - HSBC R Bull CBBC Nov 2018 E	60,000,000	2018/04/26	2018/11/22	15.00
65601	Bank of East Asia - PetroChina R Bull CBBC Nov 2018 B	40,000,000	2018/04/26	2018/11/22	10.00
65599	Bank of East Asia - Ping An R Bull CBBC Nov 2018 M	120,000,000	2018/04/26	2018/11/22	30.00
65569	Bank of East Asia - Tencent R Bull CBBC Nov 2018 Z	30,000,000	2018/04/26	2018/11/22	10.50
65888	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 T	100,000,000	2018/04/26	2018/12/31	25.00
65877	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2018 Q	100,000,000	2018/04/26	2018/12/31	25.00
65878	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 P	100,000,000	2018/04/26	2018/12/27	25.00
65875	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 K	100,000,000	2018/04/26	2018/12/27	25.00
65858	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 U	200,000,000	2018/04/26	2019/12/30	50.00
65864	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 V	200,000,000	2018/04/26	2019/12/30	50.00
65873	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/04/26	2019/12/30	50.00
65555	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 A	200,000,000	2018/04/26	2018/11/29	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65560	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 B	200,000,000	2018/04/26	2018/11/29	50.00
65891	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Dec 2018 V	100,000,000	2018/04/26	2018/12/27	25.00
65605	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 J	100,000,000	2018/04/26	2018/12/27	25.00
65604	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 O	100,000,000	2018/04/26	2018/12/31	25.00
65548	HK Bank - CC Bank R Bull CBBC Sep 2018 O	100,000,000	2018/04/26	2018/09/27	25.00
65508	HK Bank - Sinopec Corp R Bull CBBC Oct 2018 I	80,000,000	2018/04/26	2018/10/22	20.00
65545	HK Bank - HSCEI R Bull CBBC Dec 2018 U	60,000,000	2018/04/26	2018/12/28	15.00
65528	HK Bank - HSI R Bull CBBC Dec 2018 F	150,000,000	2018/04/26	2018/12/28	37.50
65542	HK Bank - HSI R Bear CBBC Aug 2018 F	100,000,000	2018/04/26	2018/08/30	25.00
65543	HK Bank - HSI R Bear CBBC Sep 2018 E	100,000,000	2018/04/26	2018/09/27	25.00
65491	HK Bank - PetroChina R Bull CBBC Oct 2018 M	80,000,000	2018/04/26	2018/10/08	20.00
65481	HK Bank - SMIC R Bull CBBC Oct 2018 D	40,000,000	2018/04/26	2018/10/22	10.00
65547	HK Bank - Sunny Optical R Bull CBBC Sep 2018 I	40,000,000	2018/04/26	2018/09/17	10.00
65518	HK Bank - Tencent R Bull CBBC Sep 2018 U	60,000,000	2018/04/26	2018/09/27	15.00
65687	J P Morgan SP BV - HKEX R Bull CBBC Aug 2018 A	40,000,000	2018/04/26	2018/08/10	10.00
65685	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/04/26	2019/11/28	50.00
65674	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/04/26	2019/12/30	50.00
65676	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 P	200,000,000	2018/04/26	2019/12/30	50.00
65681	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/04/26	2019/12/30	50.00
65672	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 M	200,000,000	2018/04/26	2018/07/30	50.00
65671	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 K	200,000,000	2018/04/26	2018/08/30	50.00
65682	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 E	50,000,000	2018/04/26	2018/08/10	12.50
65814	SG Issuer - CSOP A50 ETF R Bull CBBC Aug 2018 H	40,000,000	2018/04/26	2018/08/30	10.00
65819	SG Issuer - CSOP A50 ETF R Bull CBBC Sep 2018 L	40,000,000	2018/04/26	2018/09/20	10.00
65820	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 K	40,000,000	2018/04/26	2018/10/24	10.00
65845	SG Issuer - HSI R Bull CBBC Sep 2019 C	200,000,000	2018/04/26	2019/09/27	50.00
65842	SG Issuer - HSI R Bull CBBC Sep 2019 Z	200,000,000	2018/04/26	2019/09/27	50.00
65821	SG Issuer - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/04/26	2019/12/30	50.00
65792	SG Issuer - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/04/26	2018/09/27	50.00
65795	SG Issuer - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/04/26	2018/10/30	50.00
65796	SG Issuer - HSI R Bear CBBC Nov 2018 A	200,000,000	2018/04/26	2018/11/29	50.00
65833	SG Issuer - HSI R Bear CBBC Nov 2018 P	200,000,000	2018/04/26	2018/11/29	50.00
65838	SG Issuer - HSI R Bear CBBC Dec 2018 V	200,000,000	2018/04/26	2018/12/28	50.00
65798	SG Issuer - Ping An R Bull CBBC Oct 2018 Q	100,000,000	2018/04/26	2018/10/22	25.00
65799	SG Issuer - SUNAC R Bull CBBC Apr 2019 C	80,000,000	2018/04/26	2019/04/23	20.00
65800	SG Issuer - Sunny Optical R Bull CBBC Sep 2018 C	40,000,000	2018/04/26	2018/09/24	10.00
65811	SG Issuer - Tencent R Bull CBBC Jul 2018 C	80,000,000	2018/04/26	2018/07/31	20.00
65849	SG Issuer - Tencent R Bull CBBC Jul 2018 D	80,000,000	2018/04/26	2018/07/30	20.00
65801	SG Issuer - Tencent R Bull CBBC Jul 2018 P	80,000,000	2018/04/26	2018/07/26	20.00
65802	SG Issuer - Tencent R Bear CBBC Jul 2018 R	80,000,000	2018/04/26	2018/07/26	20.00
65646	UBS AG - HSI R Bull CBBC Oct 2019 H	250,000,000	2018/04/26	2019/10/30	62.50
65638	UBS AG - HSI R Bull CBBC Oct 2019 V	250,000,000	2018/04/26	2019/10/30	62.50
65640	UBS AG - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/04/26	2019/11/28	50.00
65665	UBS AG - HSI R Bull CBBC Dec 2019 O	250,000,000	2018/04/26	2019/12/30	62.50
65625	UBS AG - HSI R Bear CBBC Jul 2018 E	200,000,000	2018/04/26	2018/07/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65635	UBS AG - HSI R Bear CBBC Jul 2018 G	200,000,000	2018/04/26	2018/07/30	50.00
65664	UBS AG - HSI R Bear CBBC Jul 2018 O	200,000,000	2018/04/26	2018/07/30	50.00
65609	UBS AG - HSI R Bear CBBC Jul 2018 V	250,000,000	2018/04/26	2018/07/30	62.50
65656	UBS AG - Tencent R Bull CBBC Sep 2018 F	30,000,000	2018/04/26	2018/09/17	10.20
65438	Bank Vontobel - HSI R Bear CBBC Oct 2018 V	100,000,000	2018/04/26	2018/10/30	25.20
65940	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Aug 2018 I	50,000,000	2018/04/27	2018/08/30	12.50
65937	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Nov 2018 C	150,000,000	2018/04/27	2018/11/29	37.50
65892	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jul 2018 U	250,000,000	2018/04/27	2018/07/30	62.50
65939	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 J	200,000,000	2018/04/27	2018/11/29	50.00
66088	Credit Suisse AG - China Mobile R Bull CBBC Oct 2018 M	50,000,000	2018/04/27	2018/10/30	12.50
66084	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 D	100,000,000	2018/04/27	2018/12/28	25.00
66080	Credit Suisse AG - HSI R Bull CBBC Jul 2019 X	200,000,000	2018/04/27	2019/07/30	50.00
66098	Credit Suisse AG - HSI R Bull CBBC Jul 2019 Y	200,000,000	2018/04/27	2019/07/30	50.00
66104	Credit Suisse AG - HSI R Bull CBBC Jul 2019 Z	200,000,000	2018/04/27	2019/07/30	50.00
66081	Credit Suisse AG - HSI R Bull CBBC Aug 2019 F	200,000,000	2018/04/27	2019/08/29	50.00
66108	Credit Suisse AG - HSI R Bear CBBC Aug 2018 F	200,000,000	2018/04/27	2018/08/30	50.00
66082	Credit Suisse AG - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/04/27	2018/08/30	50.00
66083	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Z	200,000,000	2018/04/27	2018/08/30	50.00
66087	Credit Suisse AG - PetroChina R Bull CBBC Nov 2018 D	50,000,000	2018/04/27	2018/11/29	12.50
66121	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 C	50,000,000	2018/04/27	2018/11/29	12.50
66162	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2018 J	40,000,000	2018/04/27	2018/12/31	10.00
66163	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2018 K	40,000,000	2018/04/27	2018/12/31	10.00
65958	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 Q	100,000,000	2018/04/27	2018/12/31	25.00
65946	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 L	100,000,000	2018/04/27	2018/12/31	25.00
65901	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 F	200,000,000	2018/04/27	2019/11/28	50.00
65906	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/04/27	2019/11/28	50.00
65959	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 R	200,000,000	2018/04/27	2018/10/30	50.00
65970	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/04/27	2018/10/30	50.00
66164	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/04/27	2018/10/30	50.00
66168	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 H	100,000,000	2018/04/27	2018/12/31	25.00
66170	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 I	100,000,000	2018/04/27	2018/12/31	25.00
65915	HK Bank - HKEX R Bull CBBC Oct 2018 C	50,000,000	2018/04/27	2018/10/25	12.50
65929	HK Bank - HSI R Bull CBBC Mar 2019 O	100,000,000	2018/04/27	2019/03/28	25.00
65918	HK Bank - HSI R Bear CBBC Aug 2018 G	100,000,000	2018/04/27	2018/08/30	25.00
65917	HK Bank - ICBC R Bull CBBC Oct 2018 C	100,000,000	2018/04/27	2018/10/02	25.00
65911	HK Bank - SMIC R Bull CBBC Nov 2018 A	40,000,000	2018/04/27	2018/11/05	10.00
65930	HK Bank - Tencent R Bull CBBC Oct 2018 W	60,000,000	2018/04/27	2018/10/02	15.00
66139	J P Morgan SP BV - HKEX R Bull CBBC Sep 2018 A	40,000,000	2018/04/27	2018/09/14	10.00
66138	J P Morgan SP BV - HSBC R Bull CBBC Sep 2018 B	100,000,000	2018/04/27	2018/09/14	25.00
66151	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 L	200,000,000	2018/04/27	2019/10/30	50.00
66122	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 D	200,000,000	2018/04/27	2019/11/28	50.00
66126	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 X	200,000,000	2018/04/27	2019/12/30	50.00
66155	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 T	200,000,000	2018/04/27	2018/07/30	50.00
66157	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/04/27	2018/08/30	50.00
66134	J P Morgan SP BV - Ping An R Bull CBBC Aug 2018 L	100,000,000	2018/04/27	2018/08/10	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66067	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 L	40,000,000	2018/04/27	2018/11/15	10.00
66068	SG Issuer - A50 China R Bull CBBC Nov 2018 G	50,000,000	2018/04/27	2018/11/22	12.50
66000	SG Issuer - HKEX R Bull CBBC Nov 2018 U	50,000,000	2018/04/27	2018/11/21	12.50
66034	SG Issuer - HSBC R Bull CBBC Nov 2018 T	100,000,000	2018/04/27	2018/11/19	25.00
66016	SG Issuer - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/04/27	2019/10/30	50.00
66010	SG Issuer - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/04/27	2019/11/28	50.00
66027	SG Issuer - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/04/27	2019/12/30	50.00
66015	SG Issuer - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/04/27	2019/12/30	50.00
66078	SG Issuer - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/04/27	2018/10/30	50.00
66073	SG Issuer - HSI R Bear CBBC Oct 2018 Y	200,000,000	2018/04/27	2018/10/30	50.00
66002	SG Issuer - Tencent R Bull CBBC Jul 2018 E	80,000,000	2018/04/27	2018/07/31	20.00
66050	SG Issuer - Tencent R Bull CBBC Jul 2018 G	80,000,000	2018/04/27	2018/07/27	20.00
66066	SG Issuer - Tencent R Bull CBBC Jul 2018 H	80,000,000	2018/04/27	2018/07/30	20.00
65989	UBS AG - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/04/27	2019/10/30	50.00
65996	UBS AG - HSI R Bull CBBC Oct 2019 W	200,000,000	2018/04/27	2019/10/30	50.00
65988	UBS AG - HSI R Bull CBBC Nov 2019 E	250,000,000	2018/04/27	2019/11/28	62.50
65983	UBS AG - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/04/27	2019/11/28	50.00
65999	UBS AG - HSI R Bear CBBC Jul 2018 W	200,000,000	2018/04/27	2018/07/30	50.00
65998	UBS AG - HSI R Bear CBBC Aug 2018 F	250,000,000	2018/04/27	2018/08/30	62.50
66184	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 M	250,000,000	2018/04/30	2019/12/30	62.50
66206	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/04/30	2019/12/30	62.50
66388	Credit Suisse AG - Galaxy Ent R Bear CBBC Nov 2018 A	50,000,000	2018/04/30	2018/11/29	12.50
66359	Credit Suisse AG - HSI R Bull CBBC Jul 2019 B	200,000,000	2018/04/30	2019/07/30	50.00
66355	Credit Suisse AG - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/04/30	2019/10/30	50.00
66406	Credit Suisse AG - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/04/30	2018/08/30	50.00
66361	Credit Suisse AG - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/04/30	2018/09/27	50.00
66409	Credit Suisse AG - Tencent R Bear CBBC Oct 2018 F	50,000,000	2018/04/30	2018/10/30	12.50
66257	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 X	200,000,000	2018/04/30	2019/12/30	50.00
66261	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/04/30	2019/12/30	50.00
66411	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/04/30	2018/10/30	50.00
66228	HK Bank - Galaxy Ent R Bull CBBC Nov 2018 A	80,000,000	2018/04/30	2018/11/05	20.00
66238	HK Bank - HSI R Bull CBBC Mar 2019 P	100,000,000	2018/04/30	2019/03/28	25.00
66239	HK Bank - HSI R Bear CBBC Sep 2018 G	100,000,000	2018/04/30	2018/09/27	25.00
66253	HK Bank - NCI R Bull CBBC Dec 2018 B	40,000,000	2018/04/30	2018/12/24	10.00
66215	HK Bank - Tencent R Bear CBBC Oct 2018 Q	50,000,000	2018/04/30	2018/10/22	12.50
66487	J P Morgan SP BV - HSI R Bear CBBC Jul 2018 L	200,000,000	2018/04/30	2018/07/30	50.00
66488	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/04/30	2018/08/30	50.00
66479	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 F	50,000,000	2018/04/30	2018/08/10	12.50
66480	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 H	50,000,000	2018/04/30	2018/08/10	12.50
66423	SG Issuer - AAC Tech R Bull CBBC Dec 2018 C	40,000,000	2018/04/30	2018/12/28	10.60
66424	SG Issuer - BYD Company R Bull CBBC Apr 2019 A	50,000,000	2018/04/30	2019/04/16	12.50
66425	SG Issuer - BYD Company R Bull CBBC Apr 2019 B	50,000,000	2018/04/30	2019/04/30	12.50
66430	SG Issuer - Evergrande R Bull CBBC Dec 2018 D	100,000,000	2018/04/30	2018/12/20	68.00
66433	SG Issuer - Evergrande R Bull CBBC Oct 2019 A	100,000,000	2018/04/30	2019/10/30	25.00
66420	SG Issuer - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/04/30	2019/12/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66466	SG Issuer - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/04/30	2019/12/30	50.00
66442	SG Issuer - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/04/30	2018/08/30	50.00
66456	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/04/30	2018/09/27	50.00
66473	SG Issuer - Ping An R Bull CBBC Oct 2018 A	100,000,000	2018/04/30	2018/10/26	25.00
66413	SG Issuer - Tencent R Bull CBBC Jul 2018 I	80,000,000	2018/04/30	2018/07/30	20.00
66435	SG Issuer - Tencent R Bull CBBC Jul 2018 L	80,000,000	2018/04/30	2018/07/31	20.00
66477	SG Issuer - Tencent R Bear CBBC Jul 2018 S	80,000,000	2018/04/30	2018/07/31	20.00
66290	UBS AG - HSI R Bull CBBC Nov 2019 T	250,000,000	2018/04/30	2019/11/28	62.50
66287	UBS AG - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/04/30	2019/12/30	62.50
66333	UBS AG - HSI R Bear CBBC Jul 2018 K	250,000,000	2018/04/30	2018/07/30	62.50
66177	Bank Vontobel - Tencent R Bull CBBC Nov 2018 B	40,000,000	2018/04/30	2018/11/28	10.16
66516	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 A	250,000,000	2018/05/02	2018/08/30	62.50
66529	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 B	250,000,000	2018/05/02	2018/08/30	62.50
66813	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2018 C	50,000,000	2018/05/02	2018/11/29	12.50
66797	Credit Suisse AG - HKEX R Bull CBBC Dec 2018 C	50,000,000	2018/05/02	2018/12/28	12.50
66816	Credit Suisse AG - HSI R Bull CBBC Sep 2019 P	200,000,000	2018/05/02	2019/09/27	50.00
66831	Credit Suisse AG - HSI R Bull CBBC Sep 2019 U	200,000,000	2018/05/02	2019/09/27	50.00
66841	Credit Suisse AG - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/05/02	2019/10/30	50.00
66727	Credit Suisse AG - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/05/02	2018/08/30	50.00
66726	Credit Suisse AG - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/05/02	2018/09/27	50.00
66770	Credit Suisse AG - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/05/02	2018/09/27	50.00
66814	Credit Suisse AG - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/05/02	2018/09/27	50.00
66803	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 J	80,000,000	2018/05/02	2018/12/28	20.00
66799	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 G	50,000,000	2018/05/02	2018/12/28	12.50
66853	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2018 K	100,000,000	2018/05/02	2018/12/27	25.00
66854	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Nov 2018 O	100,000,000	2018/05/02	2018/11/30	25.00
66873	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 J	100,000,000	2018/05/02	2019/12/30	25.00
66534	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 C	200,000,000	2018/05/02	2018/11/29	50.00
66535	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 D	200,000,000	2018/05/02	2018/11/29	50.00
66537	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 E	200,000,000	2018/05/02	2018/11/29	50.00
66860	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 F	100,000,000	2018/05/02	2018/11/29	25.00
66852	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 K	100,000,000	2018/05/02	2018/12/31	25.00
66628	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 P	100,000,000	2018/05/02	2018/12/27	25.00
66844	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 J	100,000,000	2018/05/02	2018/12/27	25.00
66554	HK Bank - BYD Company R Bull CBBC Apr 2019 A	50,000,000	2018/05/02	2019/04/29	12.50
66561	HK Bank - Evergrande R Bull CBBC Apr 2019 B	100,000,000	2018/05/02	2019/04/29	25.00
66541	HK Bank - HSI R Bull CBBC Mar 2019 Q	100,000,000	2018/05/02	2019/03/28	25.00
66546	HK Bank - Ping An R Bull CBBC Oct 2018 V	100,000,000	2018/05/02	2018/10/11	25.00
66543	HK Bank - SMIC R Bull CBBC Apr 2019 A	40,000,000	2018/05/02	2019/04/29	12.00
66545	HK Bank - SMIC R Bull CBBC Jun 2019 A	40,000,000	2018/05/02	2019/06/24	16.00
66705	J P Morgan SP BV - CC Bank R Bull CBBC Sep 2018 H	100,000,000	2018/05/02	2018/09/21	25.00
66715	J P Morgan SP BV - Galaxy Ent R Bull CBBC Sep 2018 A	100,000,000	2018/05/02	2018/09/21	25.00
66718	J P Morgan SP BV - HKEX R Bull CBBC Aug 2018 B	40,000,000	2018/05/02	2018/08/10	10.00
66661	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/05/02	2019/11/28	50.00
66658	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/05/02	2019/12/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66633	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 L	200,000,000	2018/05/02	2018/08/30	50.00
66657	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/05/02	2018/08/30	50.00
66672	J P Morgan SP BV - Ping An R Bull CBBC Sep 2018 A	100,000,000	2018/05/02	2018/09/21	25.00
66574	SG Issuer - HKEX R Bull CBBC Sep 2018 O	50,000,000	2018/05/02	2018/09/24	12.50
66596	SG Issuer - HSBC R Bull CBBC Dec 2018 L	100,000,000	2018/05/02	2018/12/14	25.00
66572	SG Issuer - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/05/02	2019/12/30	50.00
66563	SG Issuer - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/05/02	2018/09/27	50.00
66567	SG Issuer - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/05/02	2018/10/30	50.00
66571	SG Issuer - HSI R Bear CBBC Nov 2018 R	200,000,000	2018/05/02	2018/11/29	50.00
66587	SG Issuer - Ping An R Bull CBBC Oct 2018 B	100,000,000	2018/05/02	2018/10/11	25.00
66584	SG Issuer - Ping An R Bull CBBC Oct 2018 Y	100,000,000	2018/05/02	2018/10/04	25.00
66588	SG Issuer - SUNAC R Bull CBBC May 2019 A	80,000,000	2018/05/02	2019/05/30	20.00
66589	SG Issuer - Tencent R Bull CBBC Aug 2018 D	80,000,000	2018/05/02	2018/08/03	20.00
66590	SG Issuer - Tencent R Bear CBBC Aug 2018 P	80,000,000	2018/05/02	2018/08/06	20.00
66615	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2018 H	50,000,000	2018/05/02	2018/10/10	12.50
66605	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2018 A	50,000,000	2018/05/02	2018/11/02	12.50
66624	UBS AG - A50 China R Bull CBBC Oct 2018 C	50,000,000	2018/05/02	2018/10/22	12.50
66626	UBS AG - A50 China R Bull CBBC Oct 2018 D	50,000,000	2018/05/02	2018/10/29	12.50
66627	UBS AG - HSI R Bull CBBC Dec 2019 R	250,000,000	2018/05/02	2019/12/30	62.50
66597	UBS AG - HSI R Bear CBBC Aug 2018 H	250,000,000	2018/05/02	2018/08/30	62.50
66598	UBS AG - HSI R Bear CBBC Aug 2018 J	250,000,000	2018/05/02	2018/08/30	62.50
66617	UBS AG - Tencent R Bull CBBC Sep 2018 G	30,000,000	2018/05/02	2018/09/19	10.20
66533	Bank Vontobel - HSI R Bull CBBC Dec 2018 K	100,000,000	2018/05/02	2018/12/28	25.10
66511	Bank Vontobel - HSI R Bear CBBC Oct 2018 W	100,000,000	2018/05/02	2018/10/30	25.60
67040	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Nov 2018 U	100,000,000	2018/05/03	2018/11/29	25.00
67044	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 T	100,000,000	2018/05/03	2018/11/29	25.00
67046	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18C	60,000,000	2018/05/03	2018/11/29	15.00
67047	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 A	50,000,000	2018/05/03	2019/03/28	12.50
66945	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 C	250,000,000	2018/05/03	2018/08/30	62.50
66949	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 D	250,000,000	2018/05/03	2018/08/30	62.50
67034	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 S	50,000,000	2018/05/03	2018/11/29	12.50
67070	Credit Suisse AG - HSI R Bull CBBC Jul 2019 D	200,000,000	2018/05/03	2019/07/30	50.00
67076	Credit Suisse AG - HSI R Bull CBBC Sep 2019 Q	200,000,000	2018/05/03	2019/09/27	50.00
67066	Credit Suisse AG - HSI R Bull CBBC Oct 2019 K	200,000,000	2018/05/03	2019/10/30	50.00
67145	Credit Suisse AG - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/05/03	2018/08/30	50.00
67138	Credit Suisse AG - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/05/03	2018/08/30	50.00
67120	Credit Suisse AG - HSI R Bear CBBC Sep 2018 X	200,000,000	2018/05/03	2018/09/27	50.00
67117	Credit Suisse AG - Ping An R Bull CBBC Nov 2018 U	80,000,000	2018/05/03	2018/11/23	20.00
67137	Credit Suisse AG - SUNAC R Bull CBBC Jan 2019 F	80,000,000	2018/05/03	2019/01/18	20.00
67108	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 H	50,000,000	2018/05/03	2018/12/21	12.50
67115	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 I	50,000,000	2018/05/03	2018/12/07	12.50
67124	Credit Suisse AG - Tencent R Bear CBBC Oct 2018 G	50,000,000	2018/05/03	2018/10/30	12.50
67148	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 D	50,000,000	2018/05/03	2018/11/28	12.50
67260	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 L	100,000,000	2018/05/03	2018/12/20	25.00
67007	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 R	100,000,000	2018/05/03	2018/12/27	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67168	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/05/03	2019/11/28	50.00
67015	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/05/03	2019/12/30	50.00
67169	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 V	200,000,000	2018/05/03	2018/10/30	50.00
67173	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/05/03	2018/10/30	50.00
67002	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 L	100,000,000	2018/05/03	2018/12/18	25.00
67000	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 Q	100,000,000	2018/05/03	2018/12/18	25.00
67001	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 R	100,000,000	2018/05/03	2018/12/18	25.00
67154	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 S	100,000,000	2018/05/03	2018/12/20	25.00
67155	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 T	100,000,000	2018/05/03	2018/12/20	28.40
67158	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 U	100,000,000	2018/05/03	2018/12/20	35.60
67161	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 K	100,000,000	2018/05/03	2018/12/20	25.00
66908	HK Bank - HSI R Bull CBBC Dec 2018 G	150,000,000	2018/05/03	2018/12/28	37.50
66899	HK Bank - Tencent R Bull CBBC Sep 2018 T	60,000,000	2018/05/03	2018/09/20	15.00
67235	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/05/03	2019/11/28	50.00
67234	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/05/03	2019/12/30	50.00
67238	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/03	2018/08/30	50.00
67239	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 G	50,000,000	2018/05/03	2018/08/10	12.50
67241	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 H	50,000,000	2018/05/03	2018/08/10	16.50
67242	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 I	50,000,000	2018/05/03	2018/08/17	19.00
67243	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 A	50,000,000	2018/05/03	2018/09/14	21.50
67245	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 B	50,000,000	2018/05/03	2018/09/14	24.00
67250	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 I	50,000,000	2018/05/03	2018/08/17	12.50
66988	Macquarie Bank Ltd. - HKEX R Bull CBBC Nov 2018 D	16,000,000	2018/05/03	2018/11/29	10.14
66999	Macquarie Bank Ltd. - HKEX R Bull CBBC Nov 2018 E	16,000,000	2018/05/03	2018/11/29	10.18
67202	SG Issuer - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/05/03	2019/11/28	50.00
67211	SG Issuer - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/05/03	2019/11/28	50.00
67203	SG Issuer - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/05/03	2019/11/28	50.00
67223	SG Issuer - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/05/03	2019/12/30	50.00
67224	SG Issuer - HSI R Bear CBBC Oct 2018 I	200,000,000	2018/05/03	2018/10/30	50.00
67225	SG Issuer - HSI R Bear CBBC Dec 2018 W	200,000,000	2018/05/03	2018/12/28	50.00
67229	SG Issuer - Ping An R Bear CBBC Oct 2018 L	100,000,000	2018/05/03	2018/10/30	25.00
67181	SG Issuer - Tencent R Bull CBBC Aug 2018 J	80,000,000	2018/05/03	2018/08/03	20.00
67187	SG Issuer - Tencent R Bull CBBC Aug 2018 K	80,000,000	2018/05/03	2018/08/06	20.00
67188	SG Issuer - Tencent R Bull CBBC Aug 2018 N	80,000,000	2018/05/03	2018/08/07	20.00
67189	SG Issuer - Tencent R Bull CBBC Aug 2018 P	80,000,000	2018/05/03	2018/08/07	20.00
67196	SG Issuer - Tencent R Bull CBBC Sep 2018 H	80,000,000	2018/05/03	2018/09/04	31.60
67232	SG Issuer - Tencent R Bear CBBC Aug 2018 Q	80,000,000	2018/05/03	2018/08/08	20.00
66914	UBS AG - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/05/03	2019/11/28	50.00
66918	UBS AG - HSI R Bull CBBC Dec 2019 U	250,000,000	2018/05/03	2019/12/30	62.50
67057	UBS AG - HSI R Bear CBBC Aug 2018 S	250,000,000	2018/05/03	2018/08/30	62.50
67048	UBS AG - HSI R Bear CBBC Sep 2018 N	250,000,000	2018/05/03	2018/09/27	62.50
67058	UBS AG - Tencent R Bull CBBC Oct 2018 A	30,000,000	2018/05/03	2018/10/29	10.20
66921	UBS AG - Tencent R Bull CBBC Oct 2018 Z	30,000,000	2018/05/03	2018/10/15	10.20
66929	UBS AG - Tencent R Bull CBBC Nov 2018 H	30,000,000	2018/05/03	2018/11/26	10.20
66930	UBS AG - Tencent R Bear CBBC Oct 2018 E	30,000,000	2018/05/03	2018/10/22	10.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67020	Bank Vontobel - HSI R Bull CBBC Dec 2018 L	100,000,000	2018/05/03	2018/12/28	25.30
67033	Bank Vontobel - HSI R Bear CBBC Oct 2018 X	100,000,000	2018/05/03	2018/10/30	25.40
67291	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 O	250,000,000	2018/05/04	2019/12/30	62.50
67385	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/05/04	2019/12/30	62.50
67392	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 W	250,000,000	2018/05/04	2019/12/30	62.50
67370	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 E	250,000,000	2018/05/04	2018/08/30	62.50
67376	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 F	250,000,000	2018/05/04	2018/08/30	62.50
67504	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 G	250,000,000	2018/05/04	2018/08/30	62.50
67378	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 L	50,000,000	2018/05/04	2018/09/27	12.50
67694	Credit Suisse AG - BYD Company R Bull CBBC Nov 2018 G	50,000,000	2018/05/04	2018/11/29	12.50
67691	Credit Suisse AG - Geely Auto R Bear CBBC Nov 2018 E	40,000,000	2018/05/04	2018/11/29	10.00
67659	Credit Suisse AG - HKEX R Bull CBBC Nov 2018 G	50,000,000	2018/05/04	2018/11/29	12.50
67707	Credit Suisse AG - HKEX R Bear CBBC Nov 2018 A	50,000,000	2018/05/04	2018/11/29	12.50
67634	Credit Suisse AG - HSI R Bull CBBC Sep 2019 V	200,000,000	2018/05/04	2019/09/27	50.00
67684	Credit Suisse AG - HSI R Bull CBBC Sep 2019 W	200,000,000	2018/05/04	2019/09/27	50.00
67695	Credit Suisse AG - HSI R Bull CBBC Sep 2019 X	200,000,000	2018/05/04	2019/09/27	50.00
67646	Credit Suisse AG - HSI R Bull CBBC Oct 2019 L	200,000,000	2018/05/04	2019/10/30	50.00
67678	Credit Suisse AG - HSI R Bull CBBC Oct 2019 M	200,000,000	2018/05/04	2019/10/30	50.00
67697	Credit Suisse AG - HSI R Bear CBBC Aug 2018 G	200,000,000	2018/05/04	2018/08/30	50.00
67701	Credit Suisse AG - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/04	2018/08/30	50.00
67702	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/05/04	2018/09/27	50.00
67705	Credit Suisse AG - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/05/04	2018/10/30	50.00
67663	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 K	80,000,000	2018/05/04	2018/12/28	20.00
67692	Credit Suisse AG - Ping An R Bear CBBC Nov 2018 E	50,000,000	2018/05/04	2018/11/23	12.50
67660	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 U	50,000,000	2018/05/04	2018/11/30	12.50
67690	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 V	50,000,000	2018/05/04	2018/11/08	12.50
67711	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 E	50,000,000	2018/05/04	2018/11/29	12.50
67714	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 B	50,000,000	2018/05/04	2018/12/07	12.50
67342	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 S	100,000,000	2018/05/04	2018/12/27	25.00
67350	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/05/04	2019/11/28	50.00
67496	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/05/04	2019/11/28	50.00
67346	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/05/04	2019/12/30	50.00
67924	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 G	200,000,000	2018/05/04	2018/11/29	50.00
67926	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 H	200,000,000	2018/05/04	2018/11/29	50.00
67928	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 I	200,000,000	2018/05/04	2018/11/29	50.00
67338	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 O	100,000,000	2018/05/04	2018/12/27	25.00
67339	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 P	100,000,000	2018/05/04	2018/12/27	25.00
67911	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Dec 2018 A	80,000,000	2018/05/04	2018/12/31	20.00
67914	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Dec 2018 B	80,000,000	2018/05/04	2018/12/31	20.00
67886	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 A	80,000,000	2018/05/04	2018/12/31	40.72
67337	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 V	100,000,000	2018/05/04	2018/12/27	25.00
67488	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 W	100,000,000	2018/05/04	2018/12/27	25.00
67845	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 X	80,000,000	2018/05/04	2018/12/31	27.52
67847	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 Y	80,000,000	2018/05/04	2018/12/31	30.80
67878	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 Z	80,000,000	2018/05/04	2018/12/31	34.16

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67891	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 L	80,000,000	2018/05/04	2018/12/27	20.00
67278	HK Bank - HKEX R Bull CBBC Oct 2018 E	50,000,000	2018/05/04	2018/10/04	12.50
67309	HK Bank - HSCEI R Bull CBBC Mar 2019 A	60,000,000	2018/05/04	2019/03/28	15.00
67288	HK Bank - HSI R Bull CBBC Dec 2018 R	150,000,000	2018/05/04	2018/12/28	37.50
67307	HK Bank - HSI R Bear CBBC Sep 2018 H	150,000,000	2018/05/04	2018/09/27	37.50
67275	HK Bank - Tencent R Bull CBBC Sep 2018 V	60,000,000	2018/05/04	2018/09/13	15.00
67295	HK Bank - Tencent R Bear CBBC Oct 2018 R	50,000,000	2018/05/04	2018/10/02	12.50
67628	J P Morgan SP BV - HKEX R Bear CBBC Aug 2018 B	40,000,000	2018/05/04	2018/08/10	10.00
67608	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/05/04	2019/11/28	50.00
67605	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 K	200,000,000	2018/05/04	2019/12/30	50.00
67616	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/05/04	2019/12/30	50.00
67631	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/04	2018/08/30	50.00
67632	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 K	200,000,000	2018/05/04	2018/09/27	50.00
67633	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/05/04	2018/09/27	50.00
67452	Macquarie Bank Ltd. - AAC Tech R Bull CBBC Apr 2019 C	22,600,000	2018/05/04	2019/04/15	10.03
67404	Macquarie Bank Ltd. - CPIC R Bull CBBC Nov 2018 A	8,000,000	2018/05/04	2018/11/29	10.36
67405	Macquarie Bank Ltd. - CPIC R Bull CBBC Nov 2018 B	9,000,000	2018/05/04	2018/11/29	10.32
67410	Macquarie Bank Ltd. - CPIC R Bull CBBC Nov 2018 C	10,000,000	2018/05/04	2018/11/29	10.11
67401	Macquarie Bank Ltd. - CPIC R Bear CBBC Nov 2018 A	7,000,000	2018/05/04	2018/11/30	10.87
67403	Macquarie Bank Ltd. - CPIC R Bear CBBC Nov 2018 B	8,000,000	2018/05/04	2018/11/30	10.37
67420	Macquarie Bank Ltd. - Geely Auto R Bull CBBC Nov 2018 D	16,500,000	2018/05/04	2018/11/29	10.16
67415	Macquarie Bank Ltd. - Geely Auto R Bear CBBC Nov 2018 A	10,000,000	2018/05/04	2018/11/29	10.85
67485	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 F	13,700,000	2018/05/04	2018/11/15	10.01
67463	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2018 D	14,200,000	2018/05/04	2018/11/15	10.05
67473	Macquarie Bank Ltd. - Tencent R Bear CBBC Nov 2018 E	13,100,000	2018/05/04	2018/11/15	10.03
67828	SG Issuer - Geely Auto R Bull CBBC Dec 2018 G	40,000,000	2018/05/04	2018/12/10	15.60
67808	SG Issuer - Galaxy Ent R Bear CBBC Oct 2018 D	80,000,000	2018/05/04	2018/10/15	20.00
67802	SG Issuer - HSI R Bull CBBC Sep 2019 E	200,000,000	2018/05/04	2019/09/27	50.00
67719	SG Issuer - HSI R Bull CBBC Oct 2019 L	200,000,000	2018/05/04	2019/10/30	50.00
67738	SG Issuer - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/05/04	2019/11/28	50.00
67778	SG Issuer - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/05/04	2019/12/30	50.00
67769	SG Issuer - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/05/04	2018/09/27	50.00
67807	SG Issuer - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/05/04	2018/10/30	50.00
67788	SG Issuer - Ping An R Bull CBBC Oct 2018 H	100,000,000	2018/05/04	2018/10/23	25.00
67742	SG Issuer - Ping An R Bull CBBC Nov 2018 K	100,000,000	2018/05/04	2018/11/20	25.00
67754	SG Issuer - Ping An R Bull CBBC Dec 2018 V	100,000,000	2018/05/04	2018/12/13	25.00
67759	SG Issuer - Tencent R Bull CBBC Aug 2018 U	80,000,000	2018/05/04	2018/08/06	20.00
67767	SG Issuer - Tencent R Bull CBBC Aug 2018 V	80,000,000	2018/05/04	2018/08/07	20.00
67791	SG Issuer - Tencent R Bull CBBC Sep 2018 O	80,000,000	2018/05/04	2018/09/07	20.00
67801	SG Issuer - Tencent R Bull CBBC Sep 2018 P	80,000,000	2018/05/04	2018/09/10	20.00
67841	SG Issuer - Tencent R Bull CBBC Sep 2018 W	80,000,000	2018/05/04	2018/09/05	36.00
67843	SG Issuer - Tencent R Bull CBBC Nov 2018 L	80,000,000	2018/05/04	2018/11/12	51.20
67834	SG Issuer - Tencent R Bear CBBC Aug 2018 R	80,000,000	2018/05/04	2018/08/07	20.00
67538	UBS AG - AIA R Bull CBBC Oct 2018 A	50,000,000	2018/05/04	2018/10/08	12.50
67522	UBS AG - CNOOC R Bull CBBC Oct 2018 G	40,000,000	2018/05/04	2018/10/29	10.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67535	UBS AG - CNOOC R Bull CBBC Nov 2018 C	40,000,000	2018/05/04	2018/11/26	10.00
67521	UBS AG - Galaxy Ent R Bull CBBC Oct 2018 D	40,000,000	2018/05/04	2018/10/22	10.00
67510	UBS AG - HSI R Bull CBBC Oct 2019 B	250,000,000	2018/05/04	2019/10/30	62.50
67566	UBS AG - HSI R Bull CBBC Dec 2019 W	250,000,000	2018/05/04	2019/12/30	62.50
67582	UBS AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/05/04	2018/08/30	50.00
67568	UBS AG - HSI R Bear CBBC Aug 2018 T	250,000,000	2018/05/04	2018/08/30	62.50
67589	UBS AG - HSI R Bear CBBC Aug 2018 U	250,000,000	2018/05/04	2018/08/30	62.50
67539	UBS AG - ICBC R Bull CBBC Dec 2018 B	100,000,000	2018/05/04	2018/12/03	25.00
67564	UBS AG - Ping An R Bull CBBC Oct 2018 W	80,000,000	2018/05/04	2018/10/24	20.00
67513	UBS AG - Tencent R Bull CBBC Oct 2018 B	30,000,000	2018/05/04	2018/10/10	10.20
67555	UBS AG - Tencent R Bull CBBC Oct 2018 C	30,000,000	2018/05/04	2018/10/22	10.20
67597	UBS AG - Tencent R Bear CBBC Aug 2018 H	30,000,000	2018/05/04	2018/08/20	10.20
67319	Bank Vontobel - HSI R Bull CBBC Dec 2018 M	100,000,000	2018/05/04	2018/12/28	25.30
67329	Bank Vontobel - HSI R Bull CBBC Dec 2018 N	100,000,000	2018/05/04	2018/12/28	25.40
67263	Bank Vontobel - Tencent R Bull CBBC Nov 2018 C	40,000,000	2018/05/04	2018/11/29	33.52
67264	Bank Vontobel - Tencent R Bull CBBC Nov 2018 D	40,000,000	2018/05/04	2018/11/30	25.16
67365	Bank Vontobel - Tencent R Bull CBBC Dec 2018 A	40,000,000	2018/05/04	2018/12/03	10.00
67310	Bank Vontobel - Tencent R Bear CBBC Aug 2018 C	40,000,000	2018/05/04	2018/08/06	10.20
67316	Bank Vontobel - Tencent R Bear CBBC Aug 2018 D	40,000,000	2018/05/04	2018/08/08	10.48
68085	BNP Paribas Issuance B.V. - Galaxy Ent R Bull CBBC Nov 2018C	150,000,000	2018/05/07	2018/11/29	37.50
68119	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 B	50,000,000	2018/05/07	2019/03/28	12.50
68120	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/05/07	2019/12/30	62.50
68088	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 K	200,000,000	2018/05/07	2018/11/29	50.00
68091	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Nov 2018 F	200,000,000	2018/05/07	2018/11/29	50.00
68378	Credit Suisse AG - BYD Company R Bull CBBC Apr 2019 A	50,000,000	2018/05/07	2019/04/25	12.50
68379	Credit Suisse AG - BYD Company R Bull CBBC Apr 2019 B	50,000,000	2018/05/07	2019/04/26	12.50
68381	Credit Suisse AG - BYD Company R Bull CBBC Apr 2019 C	50,000,000	2018/05/07	2019/04/29	12.50
68397	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 E	80,000,000	2018/05/07	2018/12/28	20.00
68387	Credit Suisse AG - HSI R Bull CBBC Aug 2019 G	200,000,000	2018/05/07	2019/08/29	50.00
68388	Credit Suisse AG - HSI R Bull CBBC Sep 2019 R	200,000,000	2018/05/07	2019/09/27	50.00
68395	Credit Suisse AG - HSI R Bull CBBC Sep 2019 S	200,000,000	2018/05/07	2019/09/27	50.00
68364	Credit Suisse AG - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/05/07	2018/09/27	50.00
68370	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/05/07	2018/09/27	50.00
68374	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 L	80,000,000	2018/05/07	2018/12/14	20.00
68375	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 M	80,000,000	2018/05/07	2018/12/28	20.00
68385	Credit Suisse AG - Ping An R Bear CBBC Nov 2018 F	50,000,000	2018/05/07	2018/11/29	12.50
68371	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 W	50,000,000	2018/05/07	2018/11/28	12.50
68401	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 X	50,000,000	2018/05/07	2018/11/23	12.50
68234	Bank of East Asia - BYD Company R Bull CBBC Mar 2019 A	40,000,000	2018/05/07	2019/03/06	10.00
68251	Bank of East Asia - BYD Company R Bull CBBC Mar 2019 B	40,000,000	2018/05/07	2019/03/06	10.00
68264	Bank of East Asia - China Mobile R Bull CBBC Mar 2019 A	60,000,000	2018/05/07	2019/03/06	15.00
68278	Bank of East Asia - China Mobile R Bull CBBC Mar 2019 B	60,000,000	2018/05/07	2019/03/06	15.00
68229	Bank of East Asia - Evergrande R Bull CBBC Mar 2019 A	15,000,000	2018/05/07	2019/03/06	10.05
68292	Bank of East Asia - Ping An R Bull CBBC Mar 2019 A	120,000,000	2018/05/07	2019/03/06	30.00
68293	Bank of East Asia - SMIC R Bull CBBC Mar 2019 A	40,000,000	2018/05/07	2019/03/06	10.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68253	Bank of East Asia - Tencent R Bull CBBC Mar 2019 A	30,000,000	2018/05/07	2019/03/06	10.50
68550	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2018 L	40,000,000	2018/05/07	2018/12/31	10.00
68552	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 T	100,000,000	2018/05/07	2018/12/31	25.00
68570	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Dec 2018 A	50,000,000	2018/05/07	2018/12/31	12.50
68583	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Dec 2018 B	50,000,000	2018/05/07	2018/12/18	12.50
68590	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 M	100,000,000	2018/05/07	2018/12/31	25.00
68599	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/05/07	2019/12/30	50.00
68065	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 O	200,000,000	2018/05/07	2020/01/30	50.00
68064	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 M	100,000,000	2018/05/07	2018/12/31	25.00
68054	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 N	100,000,000	2018/05/07	2018/12/31	25.00
68055	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 Q	100,000,000	2018/05/07	2018/12/31	25.00
68053	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 E	100,000,000	2018/05/07	2018/12/18	25.00
68549	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 I	100,000,000	2018/05/07	2018/12/18	25.00
67977	HK Bank - Evergrande R Bull CBBC May 2019 A	100,000,000	2018/05/07	2019/05/20	25.00
67975	HK Bank - Geely Auto R Bear CBBC Oct 2018 G	40,000,000	2018/05/07	2018/10/15	10.00
67961	HK Bank - HKEX R Bear CBBC Nov 2018 C	40,000,000	2018/05/07	2018/11/05	10.00
67985	HK Bank - HSI R Bull CBBC Mar 2019 R	150,000,000	2018/05/07	2019/03/28	37.50
67983	HK Bank - HSI R Bear CBBC Sep 2018 I	150,000,000	2018/05/07	2018/09/27	37.50
67931	HK Bank - Ping An R Bull CBBC Oct 2018 T	100,000,000	2018/05/07	2018/10/02	25.00
67929	HK Bank - Ping An R Bull CBBC Oct 2018 W	100,000,000	2018/05/07	2018/10/15	25.00
68008	HK Bank - Ping An R Bear CBBC Oct 2018 J	80,000,000	2018/05/07	2018/10/22	20.00
68012	HK Bank - SMIC R Bull CBBC Jul 2019 A	40,000,000	2018/05/07	2019/07/08	18.00
68011	HK Bank - Sunny Optical R Bull CBBC Sep 2018 J	40,000,000	2018/05/07	2018/09/17	10.00
67992	HK Bank - Tencent R Bull CBBC Sep 2018 W	60,000,000	2018/05/07	2018/09/20	15.00
68360	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/05/07	2019/11/28	50.00
68304	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/07	2019/12/30	50.00
68358	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/05/07	2019/12/30	50.00
68311	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/07	2018/08/30	50.00
68363	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/05/07	2018/08/30	50.00
68322	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/05/07	2018/09/27	50.00
68335	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 J	50,000,000	2018/05/07	2018/08/17	12.50
68344	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 K	50,000,000	2018/05/07	2018/08/10	12.50
68353	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 C	50,000,000	2018/05/07	2018/09/14	12.50
68014	Macquarie Bank Ltd. - HKEX R Bear CBBC Nov 2018 A	16,000,000	2018/05/07	2018/11/29	11.26
68040	Macquarie Bank Ltd. - HKEX R Bear CBBC Nov 2018 B	14,000,000	2018/05/07	2018/11/29	11.52
68042	Macquarie Bank Ltd. - HKEX R Bear CBBC Nov 2018 C	12,000,000	2018/05/07	2018/11/30	11.34
68066	Macquarie Bank Ltd. - Ping An R Bull CBBC Dec 2018 D	40,000,000	2018/05/07	2018/12/28	10.20
68411	SG Issuer - CC Bank R Bear CBBC Sep 2018 F	80,000,000	2018/05/07	2018/09/20	20.00
68412	SG Issuer - China Life R Bear CBBC Oct 2018 A	40,000,000	2018/05/07	2018/10/24	10.00
68450	SG Issuer - CSOP A50 ETF R Bull CBBC Sep 2018 M	40,000,000	2018/05/07	2018/09/20	10.00
68452	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 M	40,000,000	2018/05/07	2018/11/29	10.00
68453	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 N	40,000,000	2018/05/07	2018/11/30	10.00
68461	SG Issuer - A50 China R Bull CBBC Nov 2018 H	50,000,000	2018/05/07	2018/11/29	12.50
68414	SG Issuer - Geely Auto R Bear CBBC Nov 2018 C	40,000,000	2018/05/07	2018/11/30	19.20
68489	SG Issuer - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/05/07	2019/10/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68496	SG Issuer - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/05/07	2019/11/28	50.00
68503	SG Issuer - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/07	2019/12/30	50.00
68478	SG Issuer - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/05/07	2018/10/30	50.00
68484	SG Issuer - HSI R Bear CBBC Nov 2018 S	200,000,000	2018/05/07	2018/11/29	50.00
68487	SG Issuer - HSI R Bear CBBC Nov 2018 T	200,000,000	2018/05/07	2018/11/29	50.00
68498	SG Issuer - HSI R Bear CBBC Nov 2018 U	200,000,000	2018/05/07	2018/11/29	50.00
68415	SG Issuer - ICBC R Bear CBBC Sep 2018 D	60,000,000	2018/05/07	2018/09/27	15.00
68505	SG Issuer - Ping An R Bull CBBC Sep 2018 E	100,000,000	2018/05/07	2018/09/28	25.00
68508	SG Issuer - Ping An R Bull CBBC Oct 2018 F	100,000,000	2018/05/07	2018/10/23	25.00
68521	SG Issuer - Ping An R Bull CBBC Oct 2018 J	100,000,000	2018/05/07	2018/10/26	25.00
68512	SG Issuer - Ping An R Bull CBBC Nov 2018 L	100,000,000	2018/05/07	2018/11/21	25.00
68514	SG Issuer - Ping An R Bull CBBC Nov 2018 R	100,000,000	2018/05/07	2018/11/26	25.00
68530	SG Issuer - Ping An R Bull CBBC Nov 2018 S	100,000,000	2018/05/07	2018/11/30	25.00
68406	SG Issuer - Ping An R Bull CBBC Dec 2018 W	100,000,000	2018/05/07	2018/12/11	25.00
68407	SG Issuer - Ping An R Bull CBBC Dec 2018 X	100,000,000	2018/05/07	2018/12/19	25.00
68408	SG Issuer - Ping An R Bull CBBC Dec 2018 Y	100,000,000	2018/05/07	2018/12/28	25.00
68519	SG Issuer - Ping An R Bear CBBC Sep 2018 D	100,000,000	2018/05/07	2018/09/20	25.00
68488	SG Issuer - Tencent R Bull CBBC Aug 2018 F	80,000,000	2018/05/07	2018/08/07	20.00
68515	SG Issuer - Tencent R Bull CBBC Aug 2018 L	80,000,000	2018/05/07	2018/08/07	20.00
68439	SG Issuer - Tencent R Bull CBBC Sep 2018 Y	80,000,000	2018/05/07	2018/09/06	20.00
68416	SG Issuer - Tencent R Bear CBBC Aug 2018 S	80,000,000	2018/05/07	2018/08/31	20.00
68418	SG Issuer - Tencent R Bear CBBC Sep 2018 O	80,000,000	2018/05/07	2018/09/05	20.00
68163	UBS AG - BYD Company R Bull CBBC Nov 2018 B	40,000,000	2018/05/07	2018/11/26	10.00
68193	UBS AG - BYD Company R Bull CBBC Dec 2018 B	40,000,000	2018/05/07	2018/12/03	10.00
68209	UBS AG - BYD Company R Bull CBBC Dec 2018 C	40,000,000	2018/05/07	2018/12/17	10.00
68222	UBS AG - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/05/07	2019/10/30	50.00
68224	UBS AG - HSI R Bull CBBC Oct 2019 X	200,000,000	2018/05/07	2019/10/30	50.00
68147	UBS AG - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/05/07	2019/11/28	50.00
68127	UBS AG - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/05/07	2018/08/30	50.00
68135	UBS AG - HSI R Bear CBBC Aug 2018 W	250,000,000	2018/05/07	2018/08/30	62.50
68157	UBS AG - Ping An R Bull CBBC Nov 2018 U	80,000,000	2018/05/07	2018/11/12	20.00
68160	UBS AG - Ping An R Bear CBBC Nov 2018 A	80,000,000	2018/05/07	2018/11/05	20.00
68211	UBS AG - Tencent R Bear CBBC Oct 2018 F	30,000,000	2018/05/07	2018/10/08	10.20
68609	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 A	250,000,000	2018/05/08	2019/12/30	62.50
68632	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 B	250,000,000	2018/05/08	2019/12/30	62.50
68641	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 C	250,000,000	2018/05/08	2019/12/30	62.50
68659	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 T	250,000,000	2018/05/08	2019/12/30	62.50
68937	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Y	250,000,000	2018/05/08	2019/12/30	62.50
68600	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 H	250,000,000	2018/05/08	2018/08/30	62.50
68605	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 I	250,000,000	2018/05/08	2018/08/30	62.50
68928	BNP Paribas Issuance B.V. - HSI R Bear CBBC Aug 2018 J	250,000,000	2018/05/08	2018/08/30	62.50
68929	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 U	50,000,000	2018/05/08	2018/09/27	12.50
68991	Credit Suisse AG - AIA R Bull CBBC Nov 2018 B	70,000,000	2018/05/08	2018/11/23	17.50
69045	Credit Suisse AG - CC Bank R Bull CBBC Nov 2018 K	80,000,000	2018/05/08	2018/11/29	20.00
69017	Credit Suisse AG - CM Bank R Bull CBBC Jan 2019 C	70,000,000	2018/05/08	2019/01/30	17.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68971	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2018 E	50,000,000	2018/05/08	2018/11/29	12.50
68977	Credit Suisse AG - HKEX R Bull CBBC Oct 2018 W	50,000,000	2018/05/08	2018/10/30	12.50
68982	Credit Suisse AG - HKEX R Bear CBBC Dec 2018 B	50,000,000	2018/05/08	2018/12/07	12.50
69046	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 F	80,000,000	2018/05/08	2018/12/21	20.00
69059	Credit Suisse AG - HSCEI R Bull CBBC Apr 2019 B	100,000,000	2018/05/08	2019/04/29	25.00
69055	Credit Suisse AG - HSI R Bull CBBC Jul 2019 F	200,000,000	2018/05/08	2019/07/30	50.00
69048	Credit Suisse AG - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/05/08	2019/10/30	50.00
69049	Credit Suisse AG - HSI R Bull CBBC Oct 2019 O	200,000,000	2018/05/08	2019/10/30	50.00
69051	Credit Suisse AG - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/05/08	2019/10/30	50.00
69054	Credit Suisse AG - HSI R Bull CBBC Oct 2019 Q	200,000,000	2018/05/08	2019/10/30	50.00
68961	Credit Suisse AG - HSI R Bear CBBC Aug 2018 F	200,000,000	2018/05/08	2018/08/30	50.00
68957	Credit Suisse AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/05/08	2018/08/30	50.00
68943	Credit Suisse AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/08	2018/08/30	50.00
68944	Credit Suisse AG - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/05/08	2018/08/30	50.00
68969	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/08	2018/08/30	50.00
69004	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 N	80,000,000	2018/05/08	2018/12/21	20.00
69008	Credit Suisse AG - Sunny Optical R Bull CBBC Nov 2018 D	40,000,000	2018/05/08	2018/11/29	10.00
69010	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 J	50,000,000	2018/05/08	2018/12/14	12.50
69443	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 U	100,000,000	2018/05/08	2018/12/31	25.00
69431	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2018 R	100,000,000	2018/05/08	2018/12/18	25.00
69437	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 U	100,000,000	2018/05/08	2018/12/31	25.00
69438	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 N	100,000,000	2018/05/08	2018/12/31	25.00
69386	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Dec 2019 J	200,000,000	2018/05/08	2019/12/30	50.00
69334	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/05/08	2019/12/30	50.00
69333	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 P	200,000,000	2018/05/08	2019/12/30	50.00
69346	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/05/08	2019/12/30	50.00
69351	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 R	200,000,000	2018/05/08	2019/12/30	50.00
68793	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/05/08	2018/08/30	50.00
68802	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/08	2018/08/30	50.00
68810	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/05/08	2018/09/27	50.00
69354	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/05/08	2018/09/27	50.00
69355	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/05/08	2018/09/27	50.00
69414	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 E	100,000,000	2018/05/08	2019/02/28	25.00
69430	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 R	100,000,000	2018/05/08	2018/12/31	25.00
69389	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 J	100,000,000	2018/05/08	2018/12/18	25.00
69394	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 K	100,000,000	2018/05/08	2018/12/31	25.00
68667	HK Bank - ABC R Bull CBBC Nov 2018 A	100,000,000	2018/05/08	2018/11/12	25.00
68759	HK Bank - ABC R Bull CBBC Nov 2018 B	100,000,000	2018/05/08	2018/11/19	25.00
68735	HK Bank - China Mobile R Bull CBBC Oct 2018 M	50,000,000	2018/05/08	2018/10/02	12.50
68732	HK Bank - CC Bank R Bull CBBC Oct 2018 C	100,000,000	2018/05/08	2018/10/08	25.00
68789	HK Bank - Galaxy Ent R Bull CBBC Nov 2018 B	80,000,000	2018/05/08	2018/11/12	20.00
68715	HK Bank - HKEX R Bull CBBC Oct 2018 I	50,000,000	2018/05/08	2018/10/18	12.50
68784	HK Bank - HSCEI R Bull CBBC Dec 2018 V	60,000,000	2018/05/08	2018/12/28	15.00
68776	HK Bank - HSI R Bull CBBC Dec 2018 Q	150,000,000	2018/05/08	2018/12/28	37.50
68770	HK Bank - HSI R Bull CBBC Mar 2019 S	100,000,000	2018/05/08	2019/03/28	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68711	HK Bank - PetroChina R Bull CBBC Oct 2018 N	80,000,000	2018/05/08	2018/10/08	20.00
68714	HK Bank - Ping An R Bull CBBC Oct 2018 U	100,000,000	2018/05/08	2018/10/08	25.00
68686	HK Bank - Sands China R Bull CBBC Nov 2018 A	80,000,000	2018/05/08	2018/11/19	20.00
68703	HK Bank - Sands China R Bull CBBC Nov 2018 B	80,000,000	2018/05/08	2018/11/12	20.00
68788	HK Bank - SUNAC R Bull CBBC Jan 2019 H	100,000,000	2018/05/08	2019/01/14	25.00
68731	HK Bank - Tencent R Bull CBBC Sep 2018 Y	60,000,000	2018/05/08	2018/09/27	15.00
69143	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/05/08	2019/10/30	50.00
69129	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/05/08	2019/11/28	50.00
69194	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/05/08	2019/11/28	50.00
69123	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/05/08	2019/12/30	50.00
69172	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/05/08	2019/12/30	50.00
69064	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 D	200,000,000	2018/05/08	2018/08/30	50.00
69093	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Y	200,000,000	2018/05/08	2018/08/30	50.00
69094	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/05/08	2018/09/27	50.00
69096	J P Morgan SP BV - Ping An R Bull CBBC Sep 2018 B	100,000,000	2018/05/08	2018/09/21	25.00
68925	Macquarie Bank Ltd. - AAC Tech R Bull CBBC Apr 2019 D	24,000,000	2018/05/08	2019/04/15	10.01
68914	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 G	12,900,000	2018/05/08	2018/11/15	10.06
68915	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 H	14,400,000	2018/05/08	2018/11/15	10.01
69321	SG Issuer - ABC R Bull CBBC Dec 2018 C	60,000,000	2018/05/08	2018/12/28	15.00
69257	SG Issuer - Bank of China R Bull CBBC Feb 2019 A	100,000,000	2018/05/08	2019/02/18	25.00
69262	SG Issuer - CC Bank R Bull CBBC Sep 2018 U	100,000,000	2018/05/08	2018/09/19	25.00
69328	SG Issuer - CC Bank R Bull CBBC Sep 2018 V	100,000,000	2018/05/08	2018/09/28	25.00
69195	SG Issuer - Galaxy Ent R Bull CBBC Dec 2018 J	80,000,000	2018/05/08	2018/12/20	20.00
69212	SG Issuer - HKEX R Bull CBBC Oct 2018 B	50,000,000	2018/05/08	2018/10/29	12.50
69274	SG Issuer - HSBC R Bull CBBC Nov 2018 U	100,000,000	2018/05/08	2018/11/20	25.00
69278	SG Issuer - HSBC R Bull CBBC Nov 2018 V	100,000,000	2018/05/08	2018/11/26	25.00
69215	SG Issuer - HSI R Bull CBBC Oct 2019 O	200,000,000	2018/05/08	2019/10/30	50.00
69226	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/05/08	2019/11/28	50.00
69240	SG Issuer - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/05/08	2019/12/30	50.00
69253	SG Issuer - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/05/08	2019/12/30	50.00
69256	SG Issuer - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/05/08	2019/12/30	50.00
69309	SG Issuer - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/08	2018/08/30	50.00
69210	SG Issuer - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/05/08	2018/10/30	50.00
69317	SG Issuer - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/05/08	2018/10/30	50.00
69318	SG Issuer - HSI R Bear CBBC Nov 2018 V	200,000,000	2018/05/08	2018/11/29	50.00
69213	SG Issuer - HSI R Bear CBBC Dec 2018 X	200,000,000	2018/05/08	2018/12/28	50.00
69203	SG Issuer - ICBC R Bull CBBC Sep 2018 U	60,000,000	2018/05/08	2018/09/28	15.00
69279	SG Issuer - ICBC R Bull CBBC Oct 2018 G	60,000,000	2018/05/08	2018/10/22	15.00
69283	SG Issuer - Ping An R Bull CBBC Oct 2018 L	100,000,000	2018/05/08	2018/10/12	25.00
69291	SG Issuer - Sands China R Bull CBBC Dec 2018 B	60,000,000	2018/05/08	2018/12/12	15.00
69299	SG Issuer - Sands China R Bull CBBC Dec 2018 C	60,000,000	2018/05/08	2018/12/18	15.00
69208	SG Issuer - Tencent R Bull CBBC Aug 2018 W	80,000,000	2018/05/08	2018/08/31	20.00
69300	SG Issuer - Tencent R Bull CBBC Sep 2018 Z	80,000,000	2018/05/08	2018/09/04	20.00
68907	UBS AG - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/05/08	2019/10/30	50.00
68836	UBS AG - HSI R Bull CBBC Oct 2019 U	250,000,000	2018/05/08	2019/10/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68838	UBS AG - HSI R Bull CBBC Nov 2019 Q	250,000,000	2018/05/08	2019/11/28	62.50
68842	UBS AG - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/05/08	2019/12/30	50.00
68883	UBS AG - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/05/08	2019/12/30	62.50
68844	UBS AG - HSI R Bear CBBC Aug 2018 A	200,000,000	2018/05/08	2018/08/30	50.00
68871	UBS AG - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/05/08	2018/08/30	50.00
68829	UBS AG - HSI R Bear CBBC Aug 2018 G	200,000,000	2018/05/08	2018/08/30	50.00
68851	UBS AG - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/05/08	2018/08/30	50.00
68827	UBS AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/08	2018/08/30	50.00
68819	UBS AG - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/05/08	2018/08/30	50.00
68830	UBS AG - HSI R Bear CBBC Sep 2018 O	250,000,000	2018/05/08	2018/09/27	62.50
68853	UBS AG - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/05/08	2018/09/27	50.00
69634	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 A	250,000,000	2018/05/09	2018/12/28	62.50
69656	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 B	250,000,000	2018/05/09	2018/12/28	62.50
69657	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 C	250,000,000	2018/05/09	2018/12/28	62.50
69659	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 D	250,000,000	2018/05/09	2018/12/28	62.50
60000	Credit Suisse AG - ABC R Bull CBBC Nov 2018 A	70,000,000	2018/05/09	2018/11/29	17.50
69991	Credit Suisse AG - Bank of China R Bull CBBC Nov 2018 G	80,000,000	2018/05/09	2018/11/29	20.00
69889	Credit Suisse AG - HSI R Bull CBBC Sep 2019 T	200,000,000	2018/05/09	2019/09/27	50.00
69897	Credit Suisse AG - HSI R Bull CBBC Sep 2019 Y	200,000,000	2018/05/09	2019/09/27	50.00
69917	Credit Suisse AG - HSI R Bull CBBC Sep 2019 Z	200,000,000	2018/05/09	2019/09/27	50.00
69926	Credit Suisse AG - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/05/09	2018/09/27	50.00
69984	Credit Suisse AG - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/05/09	2018/09/27	50.00
60002	Credit Suisse AG - Sands China R Bull CBBC Nov 2018 B	50,000,000	2018/05/09	2018/11/29	12.50
60012	Credit Suisse AG - Sands China R Bull CBBC Dec 2018 B	50,000,000	2018/05/09	2018/12/28	12.50
69542	Bank of East Asia - AIA R Bull CBBC Jan 2019 A	40,000,000	2018/05/09	2019/01/08	10.00
69572	Bank of East Asia - Geely Auto R Bull CBBC Jan 2019 A	40,000,000	2018/05/09	2019/01/08	10.00
69615	Bank of East Asia - Geely Auto R Bull CBBC Jan 2019 B	40,000,000	2018/05/09	2019/01/08	10.00
69530	Bank of East Asia - Galaxy Ent R Bull CBBC Jan 2019 A	16,000,000	2018/05/09	2019/01/08	10.40
69541	Bank of East Asia - HKEX R Bull CBBC Jan 2019 A	40,000,000	2018/05/09	2019/01/08	10.00
69534	Bank of East Asia - Sands China R Bull CBBC Jan 2019 A	12,000,000	2018/05/09	2019/01/08	10.08
69540	Bank of East Asia - Tencent R Bull CBBC Jan 2019 A	30,000,000	2018/05/09	2019/01/08	10.50
60063	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2018 S	100,000,000	2018/05/09	2018/12/31	25.00
60065	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 V	100,000,000	2018/05/09	2018/12/27	25.00
69660	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/05/09	2019/11/28	50.00
69661	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/05/09	2019/12/30	50.00
69671	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/05/09	2018/09/27	50.00
69681	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 X	200,000,000	2018/05/09	2018/09/27	50.20
60058	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 L	100,000,000	2018/05/09	2018/12/27	25.00
69527	HK Bank - AAC Tech R Bull CBBC Oct 2018 I	40,000,000	2018/05/09	2018/10/22	10.00
69472	HK Bank - CNOOC R Bull CBBC Nov 2018 B	50,000,000	2018/05/09	2018/11/12	12.50
69474	HK Bank - CNOOC R Bear CBBC Nov 2018 B	40,000,000	2018/05/09	2018/11/19	10.00
69518	HK Bank - HKEX R Bull CBBC Oct 2018 G	50,000,000	2018/05/09	2018/10/25	12.50
69457	HK Bank - HSI R Bull CBBC Mar 2019 T	150,000,000	2018/05/09	2019/03/28	37.50
69464	HK Bank - HSI R Bear CBBC Sep 2018 J	150,000,000	2018/05/09	2018/09/27	37.50
69515	HK Bank - Sands China R Bull CBBC Oct 2018 A	80,000,000	2018/05/09	2018/10/29	20.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69496	HK Bank - Sands China R Bear CBBC Oct 2018 B	40,000,000	2018/05/09	2018/10/22	10.00
69528	HK Bank - Sunny Optical R Bull CBBC Oct 2018 B	40,000,000	2018/05/09	2018/10/02	10.00
69514	HK Bank - Tencent R Bull CBBC Oct 2018 X	60,000,000	2018/05/09	2018/10/02	15.00
60083	J P Morgan SP BV - HSBC R Bull CBBC Sep 2018 C	100,000,000	2018/05/09	2018/09/14	25.00
60067	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/05/09	2019/11/28	50.00
60066	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 U	200,000,000	2018/05/09	2019/12/30	50.00
60093	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 K	200,000,000	2018/05/09	2018/08/30	50.00
60073	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 L	50,000,000	2018/05/09	2018/08/17	12.50
60076	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 J	50,000,000	2018/05/09	2018/08/17	12.50
69885	Macquarie Bank Ltd. - Sands China R Bull CBBC Nov 2018 A	6,000,000	2018/05/09	2018/11/29	10.47
69886	Macquarie Bank Ltd. - Sands China R Bull CBBC Nov 2018 B	8,000,000	2018/05/09	2018/11/29	11.54
69844	Macquarie Bank Ltd. - Sands China R Bear CBBC Nov 2018 A	5,000,000	2018/05/09	2018/11/29	10.85
69845	Macquarie Bank Ltd. - Sands China R Bear CBBC Nov 2018 B	6,500,000	2018/05/09	2018/11/29	10.69
69752	SG Issuer - Galaxy Ent R Bear CBBC Oct 2018 E	80,000,000	2018/05/09	2018/10/30	20.00
69685	SG Issuer - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/05/09	2019/10/30	50.00
69695	SG Issuer - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/05/09	2019/12/30	50.00
69828	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/05/09	2018/09/27	50.00
69765	SG Issuer - Ping An R Bull CBBC Dec 2018 Z	100,000,000	2018/05/09	2018/12/12	25.00
69790	SG Issuer - Ping An R Bear CBBC Sep 2018 E	100,000,000	2018/05/09	2018/09/28	25.00
69815	SG Issuer - Tencent R Bull CBBC Aug 2018 M	80,000,000	2018/05/09	2018/08/08	20.00
69822	SG Issuer - Tencent R Bull CBBC Aug 2018 O	80,000,000	2018/05/09	2018/08/09	20.00
69771	SG Issuer - Tencent R Bear CBBC Aug 2018 T	80,000,000	2018/05/09	2018/08/31	20.00
60038	UBS AG - AAC Tech R Bull CBBC Nov 2018 A	40,000,000	2018/05/09	2018/11/19	10.00
60039	UBS AG - AAC Tech R Bear CBBC Oct 2018 A	40,000,000	2018/05/09	2018/10/22	10.00
60021	UBS AG - ABC R Bull CBBC Nov 2018 A	40,000,000	2018/05/09	2018/11/19	10.00
60030	UBS AG - China Life R Bull CBBC Sep 2018 N	40,000,000	2018/05/09	2018/09/17	10.00
60028	UBS AG - HKEX R Bull CBBC Oct 2018 I	40,000,000	2018/05/09	2018/10/22	10.00
60019	UBS AG - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/05/09	2019/10/30	50.00
60014	UBS AG - HSI R Bull CBBC Nov 2019 F	250,000,000	2018/05/09	2019/11/28	62.50
60015	UBS AG - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/05/09	2019/11/28	50.00
60013	UBS AG - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/05/09	2019/11/28	50.00
60031	UBS AG - HSI R Bear CBBC Sep 2018 Q	250,000,000	2018/05/09	2018/09/27	62.50
60032	UBS AG - HSI R Bear CBBC Sep 2018 R	250,000,000	2018/05/09	2018/09/27	62.50
60034	UBS AG - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/05/09	2018/09/27	50.00
60029	UBS AG - Petrochina R Bull CBBC Dec 2018 B	60,000,000	2018/05/09	2018/12/03	15.00
60025	UBS AG - Sands China R Bull CBBC Nov 2018 A	60,000,000	2018/05/09	2018/11/26	15.00
60026	UBS AG - Sands China R Bull CBBC Dec 2018 A	60,000,000	2018/05/09	2018/12/10	15.00
69453	Bank Vontobel - HSI R Bull CBBC Dec 2018 O	100,000,000	2018/05/09	2018/12/28	25.50
69456	Bank Vontobel - HSI R Bull CBBC Dec 2018 P	100,000,000	2018/05/09	2018/12/28	25.00
69529	Bank Vontobel - HSI R Bear CBBC Nov 2018 B	100,000,000	2018/05/09	2018/11/29	25.30
60145	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 D	250,000,000	2018/05/10	2019/12/30	62.50
60139	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Z	250,000,000	2018/05/10	2019/12/30	62.50
60436	Credit Suisse AG - ABC R Bull CBBC Dec 2018 A	70,000,000	2018/05/10	2018/12/28	17.50
60419	Credit Suisse AG - HSI R Bull CBBC Oct 2019 R	200,000,000	2018/05/10	2019/10/30	50.00
60426	Credit Suisse AG - HSI R Bull CBBC Oct 2019 S	200,000,000	2018/05/10	2019/10/30	50.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
60414	Credit Suisse AG - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/05/10	2019/11/28	50.00
60417	Credit Suisse AG - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/05/10	2019/11/28	50.00
60437	Credit Suisse AG - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/05/10	2018/08/30	50.00
60443	Credit Suisse AG - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/05/10	2018/08/30	50.00
60438	Credit Suisse AG - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/05/10	2018/09/27	50.00
60447	Credit Suisse AG - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/05/10	2018/09/27	50.00
60435	Credit Suisse AG - Ping An R Bull CBBC Nov 2018 V	80,000,000	2018/05/10	2018/11/29	20.00
60432	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 K	50,000,000	2018/05/10	2018/12/07	12.50
60516	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 M	100,000,000	2018/05/10	2018/12/31	25.00
60511	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Dec 2018A	100,000,000	2018/05/10	2018/12/20	25.00
60149	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 W	200,000,000	2018/05/10	2019/10/30	50.00
60156	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 X	200,000,000	2018/05/10	2019/10/30	50.00
60160	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/05/10	2019/10/30	50.00
60294	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/05/10	2018/09/27	50.20
60313	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/05/10	2018/09/27	50.20
60518	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Dec 2018 C	80,000,000	2018/05/10	2018/12/20	20.00
60169	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 B	100,000,000	2018/05/10	2018/12/20	25.00
60171	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 M	100,000,000	2018/05/10	2018/12/31	25.00
60258	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2018 P	50,000,000	2018/05/10	2018/10/15	12.50
60223	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2018 B	50,000,000	2018/05/10	2018/11/05	12.50
60195	HK Bank - Geely Auto R Bull CBBC Oct 2018 E	40,000,000	2018/05/10	2018/10/08	10.00
60131	HK Bank - HSI R Bull CBBC Mar 2019 U	150,000,000	2018/05/10	2019/03/28	37.50
60179	HK Bank - HSI R Bear CBBC Sep 2018 K	100,000,000	2018/05/10	2018/09/27	25.00
60132	HK Bank - Ping An R Bull CBBC Oct 2018 X	100,000,000	2018/05/10	2018/10/22	25.00
60277	HK Bank - Sands China R Bull CBBC Nov 2018 C	80,000,000	2018/05/10	2018/11/26	20.00
60189	HK Bank - Sunny Optical R Bull CBBC Oct 2018 C	40,000,000	2018/05/10	2018/10/15	10.00
60129	HK Bank - Tencent R Bull CBBC Oct 2018 Y	60,000,000	2018/05/10	2018/10/04	15.00
60183	HK Bank - Tencent R Bull CBBC Oct 2018 Z	60,000,000	2018/05/10	2018/10/08	15.00
60469	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/05/10	2019/10/30	50.00
60467	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/05/10	2019/11/28	50.00
60455	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/05/10	2019/12/30	50.00
60470	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/05/10	2019/12/30	50.00
60488	J P Morgan SP BV - HSI R Bear CBBC Oct 2019 A	200,000,000	2018/05/10	2019/10/30	50.00
60484	J P Morgan SP BV - HSI R Bear CBBC Nov 2019 A	200,000,000	2018/05/10	2019/11/28	50.00
60471	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 A	200,000,000	2018/05/10	2019/12/30	50.00
60510	J P Morgan SP BV - Tencent R Bear CBBC Aug 2018 K	50,000,000	2018/05/10	2018/08/17	12.50
60361	SG Issuer - CSOP A50 ETF R Bull CBBC Sep 2018 N	40,000,000	2018/05/10	2018/09/27	10.00
60362	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 L	40,000,000	2018/05/10	2018/10/19	10.00
60347	SG Issuer - HKEX R Bull CBBC Sep 2018 P	50,000,000	2018/05/10	2018/09/20	12.50
60320	SG Issuer - HSI R Bull CBBC Oct 2019 Q	200,000,000	2018/05/10	2019/10/30	50.00
60324	SG Issuer - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/05/10	2019/10/30	50.00
60333	SG Issuer - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/05/10	2019/11/28	50.00
60327	SG Issuer - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/05/10	2019/11/28	50.00
60364	SG Issuer - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/05/10	2018/09/27	50.00
60367	SG Issuer - HSI R Bear CBBC Oct 2018 R	200,000,000	2018/05/10	2018/10/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60372	SG Issuer - HSI R Bear CBBC Nov 2018 W	200,000,000	2018/05/10	2018/11/29	50.00
60384	SG Issuer - HSI R Bear CBBC Dec 2018 Y	200,000,000	2018/05/10	2018/12/28	50.00
60349	SG Issuer - Ping An R Bull CBBC Oct 2018 M	100,000,000	2018/05/10	2018/10/26	25.00
60351	SG Issuer - Ping An R Bull CBBC Oct 2018 N	100,000,000	2018/05/10	2018/10/29	25.00
60353	SG Issuer - Tencent R Bull CBBC Aug 2018 S	80,000,000	2018/05/10	2018/08/09	20.00
60354	SG Issuer - Tencent R Bull CBBC Aug 2018 X	80,000,000	2018/05/10	2018/08/09	20.00
60355	SG Issuer - Tencent R Bull CBBC Sep 2018 V	80,000,000	2018/05/10	2018/09/19	20.00
60363	SG Issuer - Tencent R Bear CBBC Aug 2018 U	80,000,000	2018/05/10	2018/08/29	20.00
60400	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2018 B	50,000,000	2018/05/10	2018/11/12	12.50
60412	UBS AG - Geely Auto R Bull CBBC Aug 2018 C	40,000,000	2018/05/10	2018/08/27	12.40
60399	UBS AG - HSI R Bull CBBC Oct 2019 Q	250,000,000	2018/05/10	2019/10/30	62.50
60388	UBS AG - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/05/10	2019/10/30	50.00
60386	UBS AG - HSI R Bull CBBC Nov 2019 B	250,000,000	2018/05/10	2019/11/28	62.50
60397	UBS AG - HSI R Bull CBBC Nov 2019 L	250,000,000	2018/05/10	2019/11/28	62.50
60394	UBS AG - HSI R Bull CBBC Dec 2019 Y	250,000,000	2018/05/10	2019/12/30	62.50
60404	UBS AG - HSI R Bear CBBC Aug 2018 F	250,000,000	2018/05/10	2018/08/30	62.50
60406	UBS AG - HSI R Bear CBBC Aug 2018 H	250,000,000	2018/05/10	2018/08/30	62.50
60405	UBS AG - HSI R Bear CBBC Aug 2018 K	250,000,000	2018/05/10	2018/08/30	62.50
60401	UBS AG - Tencent R Bull CBBC Sep 2018 K	30,000,000	2018/05/10	2018/09/03	10.20
60403	UBS AG - Tencent R Bull CBBC Sep 2018 L	30,000,000	2018/05/10	2018/09/05	10.20
60097	Bank Vontobel - HSI R Bull CBBC Dec 2018 Q	100,000,000	2018/05/10	2018/12/28	25.40
60120	Bank Vontobel - HSI R Bull CBBC Dec 2018 R	100,000,000	2018/05/10	2018/12/28	25.20
60101	Bank Vontobel - Tencent R Bull CBBC Dec 2018 B	40,000,000	2018/05/10	2018/12/04	10.24
60548	BOCI Asia Ltd. - Tencent R Bull CBBC Aug 2018 A	40,000,000	2018/05/11	2018/08/31	17.52
60552	BOCI Asia Ltd. - Tencent R Bear CBBC Aug 2018 A	40,000,000	2018/05/11	2018/08/24	15.20
60556	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18D	60,000,000	2018/05/11	2018/11/29	15.00
60563	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18E	60,000,000	2018/05/11	2018/11/29	15.00
60527	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 E	250,000,000	2018/05/11	2019/12/30	62.50
60565	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 R	250,000,000	2018/05/11	2019/12/30	62.50
60528	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 E	250,000,000	2018/05/11	2018/12/28	62.50
60529	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 F	250,000,000	2018/05/11	2018/12/28	62.50
60716	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Nov 2018 D	50,000,000	2018/05/11	2018/11/29	12.50
60666	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 G	80,000,000	2018/05/11	2018/12/19	20.00
60648	Credit Suisse AG - HSI R Bull CBBC Sep 2019 E	200,000,000	2018/05/11	2019/09/27	50.00
60651	Credit Suisse AG - HSI R Bull CBBC Oct 2019 T	200,000,000	2018/05/11	2019/10/30	50.00
60653	Credit Suisse AG - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/05/11	2019/10/30	50.00
60671	Credit Suisse AG - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/05/11	2019/11/28	50.00
60673	Credit Suisse AG - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/05/11	2018/08/30	50.00
60675	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Z	200,000,000	2018/05/11	2018/08/30	50.00
60680	Credit Suisse AG - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/05/11	2018/09/27	50.00
60656	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 O	80,000,000	2018/05/11	2018/12/21	20.00
60663	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 P	80,000,000	2018/05/11	2018/12/20	20.00
60664	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 Y	50,000,000	2018/05/11	2018/11/29	12.50
60683	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 F	50,000,000	2018/05/11	2018/11/29	12.50
60848	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 O	100,000,000	2018/05/11	2018/12/20	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60726	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/05/11	2019/10/30	50.00
60547	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/05/11	2019/10/30	50.00
60545	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/05/11	2019/11/28	50.00
60723	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 A	200,000,000	2018/05/11	2018/09/27	50.00
60725	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/05/11	2018/09/27	50.00
60722	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 K	200,000,000	2018/05/11	2018/09/27	50.00
60847	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 F	100,000,000	2018/05/11	2019/02/28	25.00
60572	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 N	100,000,000	2018/05/11	2018/12/20	25.00
60842	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 M	80,000,000	2018/05/11	2018/12/20	20.00
60538	HK Bank - HKEX R Bull CBBC Oct 2018 H	50,000,000	2018/05/11	2018/10/29	12.50
60532	HK Bank - HSI R Bull CBBC Mar 2019 V	150,000,000	2018/05/11	2019/03/28	37.50
60543	HK Bank - HSI R Bear CBBC Sep 2018 L	150,000,000	2018/05/11	2018/09/27	37.50
60544	HK Bank - Sands China R Bull CBBC Dec 2018 A	80,000,000	2018/05/11	2018/12/31	20.00
60542	HK Bank - Tencent R Bull CBBC Oct 2018 A	60,000,000	2018/05/11	2018/10/11	15.00
60729	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 A	200,000,000	2018/05/11	2019/11/28	50.00
60728	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/05/11	2019/12/30	50.00
60727	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 N	200,000,000	2018/05/11	2019/12/30	50.00
60742	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/11	2018/08/30	50.00
60756	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/05/11	2018/08/30	50.00
60758	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 O	200,000,000	2018/05/11	2018/09/27	50.00
60769	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/05/11	2018/09/27	50.00
60735	J P Morgan SP BV - Ping An R Bull CBBC Sep 2018 C	100,000,000	2018/05/11	2018/09/14	25.00
60730	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 B	50,000,000	2018/05/11	2018/09/14	12.50
60784	SG Issuer - CC Bank R Bull CBBC Sep 2018 W	100,000,000	2018/05/11	2018/09/12	25.00
60789	SG Issuer - HKEX R Bear CBBC Sep 2018 I	50,000,000	2018/05/11	2018/09/28	12.50
60828	SG Issuer - HSI R Bull CBBC Sep 2019 R	200,000,000	2018/05/11	2019/09/27	50.00
60836	SG Issuer - HSI R Bull CBBC Sep 2019 S	200,000,000	2018/05/11	2019/09/27	50.00
60796	SG Issuer - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/05/11	2019/10/30	50.00
60773	SG Issuer - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/05/11	2019/11/28	50.00
60776	SG Issuer - HSI R Bull CBBC Dec 2019 T	200,000,000	2018/05/11	2019/12/30	50.00
60779	SG Issuer - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/05/11	2019/12/30	50.00
60800	SG Issuer - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/05/11	2018/09/27	50.00
60803	SG Issuer - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/05/11	2018/10/30	50.00
60802	SG Issuer - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/05/11	2018/10/30	50.00
60809	SG Issuer - HSI R Bear CBBC Nov 2018 D	200,000,000	2018/05/11	2018/11/29	50.00
60786	SG Issuer - Tencent R Bull CBBC Aug 2018 A	80,000,000	2018/05/11	2018/08/30	20.00
60790	SG Issuer - Tencent R Bull CBBC Aug 2018 T	80,000,000	2018/05/11	2018/08/31	20.00
60616	UBS AG - HSBC R Bull CBBC Sep 2018 N	50,000,000	2018/05/11	2018/09/03	12.50
60636	UBS AG - HSBC R Bull CBBC Sep 2018 O	50,000,000	2018/05/11	2018/09/17	12.50
60579	UBS AG - HSI R Bull CBBC Oct 2019 F	250,000,000	2018/05/11	2019/10/30	62.50
60586	UBS AG - HSI R Bull CBBC Dec 2019 Z	250,000,000	2018/05/11	2019/12/30	62.50
60587	UBS AG - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/05/11	2018/08/30	50.00
60640	UBS AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/05/11	2018/08/30	50.00
60589	UBS AG - HSI R Bear CBBC Sep 2018 T	250,000,000	2018/05/11	2018/09/27	62.50
60610	UBS AG - HSI R Bear CBBC Sep 2018 U	250,000,000	2018/05/11	2018/09/27	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60642	UBS AG - HSI R Bear CBBC Sep 2018 V	250,000,000	2018/05/11	2018/09/27	62.50
60611	UBS AG - Sands China R Bull CBBC Nov 2018 B	60,000,000	2018/05/11	2018/11/19	15.00
60612	UBS AG - Tencent R Bear CBBC Sep 2018 A	30,000,000	2018/05/11	2018/09/03	10.20
60912	BOCI Asia Ltd. - HKEX R Bull CBBC Sep 2018 A	50,000,000	2018/05/14	2018/09/28	14.05
60914	BOCI Asia Ltd. - HKEX R Bear CBBC Sep 2018 A	50,000,000	2018/05/14	2018/09/21	13.95
60918	BOCI Asia Ltd. - Ping An R Bull CBBC Sep 2018 A	40,000,000	2018/05/14	2018/09/28	29.36
60924	BOCI Asia Ltd. - Ping An R Bear CBBC Sep 2018 A	40,000,000	2018/05/14	2018/09/21	33.56
60927	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 F	250,000,000	2018/05/14	2019/12/30	62.50
60937	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 G	250,000,000	2018/05/14	2018/12/28	62.50
60946	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 H	250,000,000	2018/05/14	2018/12/28	62.50
60947	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 I	250,000,000	2018/05/14	2018/12/28	62.50
61059	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 J	250,000,000	2018/05/14	2018/12/28	62.50
61053	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 R	50,000,000	2018/05/14	2018/11/29	12.50
61027	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2018 H	40,000,000	2018/05/14	2018/12/28	10.00
61028	Credit Suisse AG - Geely Auto R Bear CBBC Dec 2018 B	40,000,000	2018/05/14	2018/12/28	11.20
61024	Credit Suisse AG - HSI R Bull CBBC Sep 2019 B	200,000,000	2018/05/14	2019/09/27	50.00
61039	Credit Suisse AG - HSI R Bull CBBC Oct 2019 V	200,000,000	2018/05/14	2019/10/30	50.00
61017	Credit Suisse AG - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/05/14	2018/08/30	50.00
61016	Credit Suisse AG - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/05/14	2018/09/27	50.00
61015	Credit Suisse AG - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/05/14	2018/09/27	50.00
61019	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 Q	80,000,000	2018/05/14	2018/12/27	20.00
61023	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 R	80,000,000	2018/05/14	2018/12/28	20.00
61029	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 A	50,000,000	2018/05/14	2018/11/29	12.50
61018	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 Z	50,000,000	2018/05/14	2018/11/23	12.50
61032	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 C	50,000,000	2018/05/14	2018/12/14	12.50
61041	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 D	50,000,000	2018/05/14	2018/12/21	12.50
61077	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Dec 2018B	100,000,000	2018/05/14	2018/12/03	25.00
60977	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 W	100,000,000	2018/05/14	2018/12/21	25.00
60955	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/05/14	2019/11/28	50.00
60956	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/05/14	2019/11/28	50.00
60926	Goldman Sachs SP (Asia) - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/05/14	2018/08/30	50.00
60951	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 X	200,000,000	2018/05/14	2018/10/30	50.20
60965	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 S	100,000,000	2018/05/14	2018/12/21	25.00
60970	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 T	100,000,000	2018/05/14	2018/12/21	25.00
60960	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 C	100,000,000	2018/05/14	2018/12/21	25.00
60963	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 F	100,000,000	2018/05/14	2018/12/21	25.00
61060	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 N	80,000,000	2018/05/14	2018/12/21	20.00
60886	HK Bank - AAC Tech R Bull CBBC Nov 2018 B	40,000,000	2018/05/14	2018/11/12	10.00
60892	HK Bank - AAC Tech R Bull CBBC Dec 2018 A	40,000,000	2018/05/14	2018/12/10	10.00
60875	HK Bank - AIA R Bear CBBC Oct 2018 D	40,000,000	2018/05/14	2018/10/15	10.00
60867	HK Bank - A50 China R Bull CBBC Nov 2018 B	50,000,000	2018/05/14	2018/11/26	12.50
60873	HK Bank - A50 China R Bull CBBC Nov 2018 C	50,000,000	2018/05/14	2018/11/12	12.50
60870	HK Bank - A50 China R Bull CBBC Jan 2019 B	50,000,000	2018/05/14	2019/01/21	12.50
60897	HK Bank - HSI R Bull CBBC Mar 2019 W	150,000,000	2018/05/14	2019/03/28	37.50
60903	HK Bank - HSI R Bear CBBC Sep 2018 M	150,000,000	2018/05/14	2018/09/27	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60907	HK Bank - Ping An R Bull CBBC Dec 2018 A	100,000,000	2018/05/14	2018/12/10	25.00
60909	HK Bank - Tencent R Bull CBBC Oct 2018 B	60,000,000	2018/05/14	2018/10/15	15.00
61051	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/05/14	2019/11/28	50.00
61044	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/05/14	2019/12/30	50.00
61043	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 L	200,000,000	2018/05/14	2018/08/30	50.00
61042	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/05/14	2018/08/30	50.00
61099	SG Issuer - Geely Auto R Bull CBBC Sep 2018 K	40,000,000	2018/05/14	2018/09/28	10.00
61138	SG Issuer - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/05/14	2019/11/28	50.00
61127	SG Issuer - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/05/14	2019/11/28	50.00
61141	SG Issuer - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/05/14	2019/12/30	50.00
61092	SG Issuer - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/05/14	2018/10/30	50.00
61093	SG Issuer - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/05/14	2018/10/30	50.00
61098	SG Issuer - HSI R Bear CBBC Dec 2018 Z	200,000,000	2018/05/14	2018/12/28	50.00
61109	SG Issuer - Ping An R Bull CBBC Oct 2018 I	100,000,000	2018/05/14	2018/10/24	25.00
61106	SG Issuer - Tencent R Bull CBBC Aug 2018 Q	80,000,000	2018/05/14	2018/08/31	20.00
61111	SG Issuer - Tencent R Bull CBBC Aug 2018 R	80,000,000	2018/05/14	2018/08/30	20.00
61114	SG Issuer - Tencent R Bull CBBC Sep 2018 X	80,000,000	2018/05/14	2018/09/17	20.00
61150	SG Issuer - Tencent R Bear CBBC Aug 2018 V	80,000,000	2018/05/14	2018/08/30	20.00
60998	UBS AG - A50 China R Bull CBBC Nov 2018 A	50,000,000	2018/05/14	2018/11/05	12.50
60993	UBS AG - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/05/14	2019/11/28	50.00
60995	UBS AG - HSI R Bull CBBC Dec 2019 D	250,000,000	2018/05/14	2019/12/30	62.50
60854	UBS AG - HSI R Bear CBBC Aug 2018 T	250,000,000	2018/05/14	2018/08/30	62.50
60855	UBS AG - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/05/14	2018/08/30	50.00
60997	UBS AG - Ping An R Bull CBBC Nov 2018 V	80,000,000	2018/05/14	2018/11/19	20.00
60999	UBS AG - Tencent R Bull CBBC Sep 2018 M	30,000,000	2018/05/14	2018/09/10	10.20
61000	UBS AG - Tencent R Bull CBBC Sep 2018 N	30,000,000	2018/05/14	2018/09/19	10.20
61002	UBS AG - Tencent R Bull CBBC Sep 2018 O	30,000,000	2018/05/14	2018/09/17	10.20
61007	Bank Vontobel - HSI R Bull CBBC Dec 2018 S	100,000,000	2018/05/14	2018/12/28	25.50
61011	Bank Vontobel - HSI R Bear CBBC Nov 2018 C	100,000,000	2018/05/14	2018/11/29	25.40
61013	Bank Vontobel - HSI R Bear CBBC Nov 2018 D	100,000,000	2018/05/14	2018/11/29	25.10
61237	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 G	250,000,000	2018/05/15	2019/12/30	62.50
61299	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 H	250,000,000	2018/05/15	2019/12/30	62.50
61238	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 R	50,000,000	2018/05/15	2018/09/27	12.50
61242	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 S	50,000,000	2018/05/15	2018/09/27	12.50
61240	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 T	50,000,000	2018/05/15	2018/11/29	12.50
61498	Credit Suisse AG - CM Bank R Bull CBBC Jan 2019 D	70,000,000	2018/05/15	2019/01/30	17.50
61482	Credit Suisse AG - Sinopec Corp R Bull CBBC Dec 2018 D	60,000,000	2018/05/15	2018/12/28	15.00
61486	Credit Suisse AG - CPIC R Bull CBBC Jan 2019 A	50,000,000	2018/05/15	2019/01/30	12.50
61500	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 E	50,000,000	2018/05/15	2019/01/30	12.50
61520	Credit Suisse AG - HSI R Bull CBBC Sep 2019 C	200,000,000	2018/05/15	2019/09/27	50.00
61525	Credit Suisse AG - HSI R Bull CBBC Sep 2019 D	200,000,000	2018/05/15	2019/09/27	50.00
61516	Credit Suisse AG - HSI R Bull CBBC Oct 2019 W	200,000,000	2018/05/15	2019/10/30	50.00
61473	Credit Suisse AG - HSI R Bear CBBC Aug 2018 G	200,000,000	2018/05/15	2018/08/30	50.00
61481	Credit Suisse AG - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/15	2018/08/30	50.00
61467	Credit Suisse AG - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/05/15	2018/08/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61474	Credit Suisse AG - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/05/15	2018/09/27	50.00
61478	Credit Suisse AG - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/05/15	2018/09/27	50.00
61507	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 S	80,000,000	2018/05/15	2018/12/28	20.00
61483	Credit Suisse AG - SUNAC R Bull CBBC Jan 2019 G	100,000,000	2018/05/15	2019/01/30	25.00
61494	Credit Suisse AG - Sunny Optical R Bull CBBC Dec 2018 B	40,000,000	2018/05/15	2018/12/28	10.00
61504	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 L	50,000,000	2018/05/15	2018/12/06	12.50
61296	Bank of East Asia - CM Bank R Bull CBBC Jan 2019 A	20,000,000	2018/05/15	2019/01/22	10.00
61292	Bank of East Asia - Ping An R Bull CBBC Jan 2019 A	120,000,000	2018/05/15	2019/01/22	30.00
61295	Bank of East Asia - Ping An R Bull CBBC Jan 2019 B	120,000,000	2018/05/15	2019/01/22	30.00
61288	Bank of East Asia - Tencent R Bull CBBC Jan 2019 B	30,000,000	2018/05/15	2019/01/22	10.50
61459	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 V	100,000,000	2018/05/15	2018/12/31	25.00
61457	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 X	100,000,000	2018/05/15	2018/12/21	25.00
61458	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 P	100,000,000	2018/05/15	2018/12/21	25.00
61460	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 B	200,000,000	2018/05/15	2019/11/28	50.00
61444	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/05/15	2019/11/28	50.00
61449	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 Y	200,000,000	2018/05/15	2019/11/28	50.00
61185	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 Y	200,000,000	2018/05/15	2018/10/30	50.00
61186	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/05/15	2018/10/30	50.00
61455	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 U	100,000,000	2018/05/15	2018/12/21	25.00
61456	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 V	100,000,000	2018/05/15	2018/12/21	25.00
61453	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 O	100,000,000	2018/05/15	2018/12/21	25.00
61279	HK Bank - AIA R Bull CBBC Nov 2018 A	80,000,000	2018/05/15	2018/11/05	20.00
61243	HK Bank - China Mobile R Bear CBBC Oct 2018 C	40,000,000	2018/05/15	2018/10/22	10.00
61271	HK Bank - China Life R Bull CBBC Oct 2018 C	40,000,000	2018/05/15	2018/10/15	10.00
61227	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2018 C	50,000,000	2018/05/15	2018/11/12	12.50
61219	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2019 A	50,000,000	2018/05/15	2019/01/28	15.00
61256	HK Bank - Geely Auto R Bull CBBC Nov 2018 A	40,000,000	2018/05/15	2018/11/05	10.00
61272	HK Bank - HKEX R Bull CBBC Dec 2018 A	50,000,000	2018/05/15	2018/12/17	15.00
61218	HK Bank - HSCEI R Bear CBBC Oct 2018 F	50,000,000	2018/05/15	2018/10/30	12.50
61193	HK Bank - HSI R Bull CBBC Mar 2019 X	100,000,000	2018/05/15	2019/03/28	25.00
61220	HK Bank - HSI R Bear CBBC Sep 2018 P	100,000,000	2018/05/15	2018/09/27	25.00
61202	HK Bank - HSI R Bear CBBC Oct 2018 T	100,000,000	2018/05/15	2018/10/30	25.00
61282	HK Bank - NCI R Bull CBBC Dec 2018 C	40,000,000	2018/05/15	2018/12/24	10.00
61253	HK Bank - Ping An R Bull CBBC Nov 2018 K	100,000,000	2018/05/15	2018/11/05	25.00
61275	HK Bank - Sunny Optical R Bull CBBC Nov 2018 A	40,000,000	2018/05/15	2018/11/05	10.00
61232	HK Bank - Tencent R Bull CBBC Oct 2018 E	60,000,000	2018/05/15	2018/10/18	15.00
61229	HK Bank - Tencent R Bull CBBC Feb 2019 A	60,000,000	2018/05/15	2019/02/18	21.00
61231	HK Bank - Tencent R Bull CBBC Mar 2019 A	60,000,000	2018/05/15	2019/03/11	27.00
61172	Haitong Int'l Sec - CNOOC R Bull CBBC Nov 2018 B	60,000,000	2018/05/15	2018/11/01	15.00
61159	Haitong Int'l Sec - Sinopec Corp R Bull CBBC Nov 2018 B	110,000,000	2018/05/15	2018/11/05	27.50
61161	Haitong Int'l Sec - HKEX R Bull CBBC Oct 2018 E	40,000,000	2018/05/15	2018/10/31	10.00
61153	Haitong Int'l Sec - HSBC R Bull CBBC Nov 2018 A	110,000,000	2018/05/15	2018/11/15	27.50
61173	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2018 J	25,000,000	2018/05/15	2018/10/31	10.28
61178	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2018 K	25,000,000	2018/05/15	2018/10/31	17.25
61181	Haitong Int'l Sec - Sunny Optical R Bull CBBC Nov 2018 A	60,000,000	2018/05/15	2018/11/01	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61162	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 A	40,000,000	2018/05/15	2018/11/02	10.00
61419	J P Morgan SP BV - HKEX R Bull CBBC Aug 2018 C	40,000,000	2018/05/15	2018/08/17	10.00
61422	J P Morgan SP BV - HKEX R Bull CBBC Aug 2018 D	40,000,000	2018/05/15	2018/08/17	10.00
61423	J P Morgan SP BV - HSBC R Bull CBBC Sep 2018 D	100,000,000	2018/05/15	2018/09/21	25.00
61433	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 D	200,000,000	2018/05/15	2019/10/30	50.00
61431	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 W	200,000,000	2018/05/15	2019/11/28	50.00
61430	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/05/15	2019/12/30	50.00
61436	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/05/15	2019/12/30	50.00
61440	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/15	2018/08/30	50.00
61395	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/15	2018/08/30	50.00
61387	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/05/15	2018/08/30	50.00
61400	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 M	50,000,000	2018/05/15	2018/08/17	12.50
61405	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 N	50,000,000	2018/05/15	2018/08/17	12.50
61287	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 A	13,300,000	2018/05/15	2018/12/14	10.04
61285	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 A	13,100,000	2018/05/15	2018/12/14	10.02
61318	SG Issuer - CPIC R Bull CBBC Apr 2019 A	100,000,000	2018/05/15	2019/04/26	25.00
61355	SG Issuer - CSOP A50 ETF R Bull CBBC Sep 2018 O	40,000,000	2018/05/15	2018/09/10	10.00
61356	SG Issuer - CSOP A50 ETF R Bull CBBC Sep 2018 P	40,000,000	2018/05/15	2018/09/28	10.00
61358	SG Issuer - A50 China R Bull CBBC Sep 2018 B	50,000,000	2018/05/15	2018/09/17	12.50
61320	SG Issuer - Geely Auto R Bull CBBC Oct 2018 G	40,000,000	2018/05/15	2018/10/31	10.00
61324	SG Issuer - HKEX R Bull CBBC Sep 2018 Q	50,000,000	2018/05/15	2018/09/28	12.50
61373	SG Issuer - HSBC R Bull CBBC Nov 2018 W	100,000,000	2018/05/15	2018/11/29	25.00
61360	SG Issuer - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/05/15	2019/10/30	50.00
61361	SG Issuer - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/05/15	2019/11/28	50.00
61365	SG Issuer - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/05/15	2019/11/28	50.00
61313	SG Issuer - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/05/15	2018/10/30	50.00
61315	SG Issuer - HSI R Bear CBBC Dec 2018 B	200,000,000	2018/05/15	2018/12/28	50.00
61316	SG Issuer - HSI R Bear CBBC Dec 2018 C	200,000,000	2018/05/15	2018/12/28	50.00
61332	SG Issuer - Ping An R Bull CBBC Sep 2018 F	100,000,000	2018/05/15	2018/09/28	25.00
61333	SG Issuer - Ping An R Bull CBBC Oct 2018 O	100,000,000	2018/05/15	2018/10/26	25.00
61352	SG Issuer - Sunny Optical R Bull CBBC Sep 2018 D	40,000,000	2018/05/15	2018/09/21	10.20
61377	SG Issuer - Sunny Optical R Bull CBBC Sep 2018 E	40,000,000	2018/05/15	2018/09/11	10.00
61385	SG Issuer - Tencent R Bull CBBC Aug 2018 Y	80,000,000	2018/05/15	2018/08/30	20.00
61386	SG Issuer - Tencent R Bull CBBC Aug 2018 Z	80,000,000	2018/05/15	2018/08/31	20.00
61301	SG Issuer - Tencent R Bear CBBC Aug 2018 W	80,000,000	2018/05/15	2018/08/30	20.00
61548	UBS AG - HSI R Bull CBBC Oct 2019 S	250,000,000	2018/05/15	2019/10/30	62.50
61566	UBS AG - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/05/15	2019/11/28	50.00
61567	UBS AG - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/05/15	2019/11/28	50.00
61538	UBS AG - HSI R Bear CBBC Aug 2018 W	200,000,000	2018/05/15	2018/08/30	50.00
61547	UBS AG - HSI R Bear CBBC Sep 2018 W	250,000,000	2018/05/15	2018/09/27	62.50
61233	Bank Vontobel - HSI R Bull CBBC Dec 2018 T	100,000,000	2018/05/15	2018/12/28	25.40
61234	Bank Vontobel - Tencent R Bull CBBC Dec 2018 C	40,000,000	2018/05/15	2018/12/03	10.00
61626	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 I	250,000,000	2018/05/16	2019/12/30	62.50
61790	Credit Suisse AG - CNOOC R Bull CBBC Dec 2018 C	50,000,000	2018/05/16	2018/12/28	12.50
61813	Credit Suisse AG - HSI R Bull CBBC Oct 2019 X	200,000,000	2018/05/16	2019/10/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61771	Credit Suisse AG - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/05/16	2019/11/28	50.00
61797	Credit Suisse AG - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/05/16	2019/11/28	50.00
61812	Credit Suisse AG - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/05/16	2019/12/30	50.00
61769	Credit Suisse AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/16	2018/08/30	50.00
61770	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/05/16	2018/09/27	50.00
61801	Credit Suisse AG - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/05/16	2018/10/30	50.00
61816	Credit Suisse AG - PetroChina R Bull CBBC Dec 2018 B	50,000,000	2018/05/16	2018/12/28	12.50
61774	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 T	80,000,000	2018/05/16	2018/12/14	20.00
61788	Credit Suisse AG - Sands China R Bull CBBC Jan 2019 A	50,000,000	2018/05/16	2019/01/30	12.50
61785	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 M	50,000,000	2018/05/16	2018/12/14	12.50
61822	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2018 L	80,000,000	2018/05/16	2018/12/21	20.00
61834	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Dec 2018C	100,000,000	2018/05/16	2018/12/03	25.00
61823	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2018 T	100,000,000	2018/05/16	2018/12/21	25.00
61628	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 D	200,000,000	2018/05/16	2019/11/28	50.00
61630	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/05/16	2019/11/28	50.00
61631	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/05/16	2019/11/28	50.00
61617	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 A	200,000,000	2018/05/16	2018/10/30	50.00
61622	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/05/16	2018/10/30	50.00
61818	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 P	100,000,000	2018/05/16	2018/12/21	25.00
61821	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 Q	100,000,000	2018/05/16	2018/12/21	25.00
61591	HK Bank - ABC R Bull CBBC Nov 2018 C	100,000,000	2018/05/16	2018/11/26	25.00
61578	HK Bank - Sinopec Corp R Bull CBBC Oct 2018 J	80,000,000	2018/05/16	2018/10/22	20.00
61599	HK Bank - CPIC R Bull CBBC Dec 2018 B	100,000,000	2018/05/16	2018/12/24	25.00
61584	HK Bank - HSI R Bear CBBC Oct 2018 U	150,000,000	2018/05/16	2018/10/30	37.50
61587	HK Bank - Ping An R Bull CBBC Oct 2018 Y	100,000,000	2018/05/16	2018/10/15	25.00
61575	HK Bank - Tencent R Bull CBBC Oct 2018 F	60,000,000	2018/05/16	2018/10/22	15.00
61573	HK Bank - Tencent R Bull CBBC Nov 2018 K	60,000,000	2018/05/16	2018/11/26	24.00
61681	J P Morgan SP BV - CNOOC R Bull CBBC Sep 2018 C	50,000,000	2018/05/16	2018/09/21	12.50
61687	J P Morgan SP BV - CNOOC R Bull CBBC Oct 2018 G	50,000,000	2018/05/16	2018/10/19	12.50
61695	J P Morgan SP BV - Sinopec Corp R Bull CBBC Sep 2018 A	50,000,000	2018/05/16	2018/09/21	12.50
61703	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/05/16	2019/12/30	50.00
61667	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 D	200,000,000	2018/05/16	2018/08/30	50.00
61673	J P Morgan SP BV - PetroChina R Bull CBBC Oct 2018 B	50,000,000	2018/05/16	2018/10/19	12.50
61672	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 O	50,000,000	2018/05/16	2018/08/17	12.50
61664	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 B	13,200,000	2018/05/16	2018/12/14	10.03
61666	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 B	13,000,000	2018/05/16	2018/12/14	10.08
61762	SG Issuer - China Life R Bull CBBC Oct 2018 B	40,000,000	2018/05/16	2018/10/26	10.00
61714	SG Issuer - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/05/16	2019/10/30	50.00
61760	SG Issuer - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/05/16	2019/12/30	50.00
61715	SG Issuer - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/05/16	2019/12/30	50.00
61709	SG Issuer - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/16	2018/08/30	50.00
61711	SG Issuer - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/05/16	2018/10/30	50.00
61757	SG Issuer - HSI R Bear CBBC Dec 2018 D	200,000,000	2018/05/16	2018/12/28	50.00
61719	SG Issuer - PetroChina R Bull CBBC Oct 2018 F	40,000,000	2018/05/16	2018/10/30	10.00
61721	SG Issuer - Ping An R Bull CBBC Oct 2018 G	100,000,000	2018/05/16	2018/10/29	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61722	SG Issuer - Tencent R Bull CBBC Aug 2018 B	80,000,000	2018/05/16	2018/08/30	20.00
61726	SG Issuer - Tencent R Bull CBBC Aug 2018 C	80,000,000	2018/05/16	2018/08/31	20.00
61766	SG Issuer - Tencent R Bull CBBC Sep 2018 A	80,000,000	2018/05/16	2018/09/06	20.00
61713	SG Issuer - Tencent R Bear CBBC Aug 2018 X	80,000,000	2018/05/16	2018/08/30	20.00
61646	UBS AG - HSCEI R Bull CBBC Sep 2019 E	100,000,000	2018/05/16	2019/09/27	25.00
61650	UBS AG - HSI R Bull CBBC Nov 2019 E	200,000,000	2018/05/16	2019/11/28	50.00
61659	UBS AG - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/05/16	2019/11/28	50.00
61648	UBS AG - HSI R Bull CBBC Dec 2019 Q	250,000,000	2018/05/16	2019/12/30	62.50
61632	UBS AG - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/05/16	2018/08/30	50.00
61642	UBS AG - Ping An R Bull CBBC Oct 2018 X	80,000,000	2018/05/16	2018/10/08	20.00
61637	UBS AG - Tencent R Bull CBBC Aug 2018 A	30,000,000	2018/05/16	2018/08/27	10.20
61602	Bank Vontobel - HKEX R Bull CBBC Oct 2018 A	40,000,000	2018/05/16	2018/10/12	10.36
61604	Bank Vontobel - HKEX R Bull CBBC Oct 2018 B	40,000,000	2018/05/16	2018/10/12	17.08
61607	Bank Vontobel - HKEX R Bear CBBC Nov 2018 A	40,000,000	2018/05/16	2018/11/09	10.68
61608	Bank Vontobel - HKEX R Bear CBBC Nov 2018 B	40,000,000	2018/05/16	2018/11/09	14.40
61610	Bank Vontobel - Ping An R Bull CBBC Oct 2018 A	40,000,000	2018/05/16	2018/10/19	10.12
61616	Bank Vontobel - Ping An R Bull CBBC Oct 2018 B	40,000,000	2018/05/16	2018/10/19	10.12
61613	Bank Vontobel - Ping An R Bear CBBC Nov 2018 A	40,000,000	2018/05/16	2018/11/16	10.28
61614	Bank Vontobel - Ping An R Bear CBBC Nov 2018 B	40,000,000	2018/05/16	2018/11/16	10.24
61915	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18F	60,000,000	2018/05/17	2018/11/29	15.00
61910	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Aug 2018 B	50,000,000	2018/05/17	2018/08/30	12.50
61914	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Nov 2018 D	150,000,000	2018/05/17	2018/11/29	37.50
61890	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 J	250,000,000	2018/05/17	2019/12/30	62.50
61903	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 K	250,000,000	2018/05/17	2019/12/30	62.50
61907	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 L	250,000,000	2018/05/17	2019/12/30	62.50
61836	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 K	250,000,000	2018/05/17	2018/12/28	62.50
61852	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 L	250,000,000	2018/05/17	2018/12/28	62.50
61861	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 M	250,000,000	2018/05/17	2018/12/28	62.50
61908	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 T	50,000,000	2018/05/17	2018/09/27	12.50
62181	Credit Suisse AG - AIA R Bull CBBC Dec 2018 A	70,000,000	2018/05/17	2018/12/28	17.50
62189	Credit Suisse AG - HSI R Bull CBBC Nov 2019 T	200,000,000	2018/05/17	2019/11/28	50.00
62195	Credit Suisse AG - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/05/17	2019/11/28	50.00
62197	Credit Suisse AG - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/05/17	2019/11/28	50.00
62191	Credit Suisse AG - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/05/17	2019/12/30	50.00
62157	Credit Suisse AG - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/05/17	2018/08/30	50.00
62155	Credit Suisse AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/05/17	2018/08/30	50.00
62147	Credit Suisse AG - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/05/17	2018/08/30	50.00
62187	Credit Suisse AG - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/05/17	2018/10/30	50.00
62177	Credit Suisse AG - PetroChina R Bull CBBC Dec 2018 C	50,000,000	2018/05/17	2018/12/21	12.50
62198	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 N	50,000,000	2018/05/17	2018/12/21	12.50
62209	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 O	50,000,000	2018/05/17	2018/12/20	12.50
62212	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 P	50,000,000	2018/05/17	2018/12/28	12.50
62167	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 G	50,000,000	2018/05/17	2018/11/29	12.50
62174	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 E	50,000,000	2018/05/17	2018/12/21	12.50
61937	Bank of East Asia - AIA R Bull CBBC Jan 2019 B	40,000,000	2018/05/17	2019/01/29	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61938	Bank of East Asia - AIA R Bull CBBC Jan 2019 C	40,000,000	2018/05/17	2019/01/29	10.00
61943	Bank of East Asia - CNOOC R Bull CBBC Jan 2019 A	40,000,000	2018/05/17	2019/01/29	10.00
61944	Bank of East Asia - ICBC R Bull CBBC Jan 2019 A	60,000,000	2018/05/17	2019/01/29	15.00
61939	Bank of East Asia - PetroChina R Bull CBBC Jan 2019 A	40,000,000	2018/05/17	2019/01/29	10.00
61933	Bank of East Asia - Tencent R Bull CBBC Jan 2019 C	30,000,000	2018/05/17	2019/01/29	10.50
61934	Bank of East Asia - Tencent R Bull CBBC Jan 2019 D	30,000,000	2018/05/17	2019/01/29	10.50
62238	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 N	100,000,000	2018/05/17	2018/12/21	25.00
61971	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 Y	100,000,000	2018/05/17	2018/12/21	25.00
62236	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 Q	100,000,000	2018/05/17	2018/12/21	25.00
61945	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 P	200,000,000	2018/05/17	2020/01/30	50.00
61949	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 Q	200,000,000	2018/05/17	2020/01/30	50.00
61962	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 R	200,000,000	2018/05/17	2020/01/30	50.00
61916	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 E	200,000,000	2018/05/17	2018/09/27	50.00
61917	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 C	200,000,000	2018/05/17	2018/10/30	50.00
61918	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 D	200,000,000	2018/05/17	2018/10/30	50.00
62219	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 J	200,000,000	2018/05/17	2018/11/29	50.20
62220	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 K	200,000,000	2018/05/17	2018/11/29	50.20
62222	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 L	200,000,000	2018/05/17	2018/11/29	50.20
62235	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 W	100,000,000	2018/05/17	2018/12/31	25.00
62225	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 R	100,000,000	2018/05/17	2018/12/31	25.00
62227	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 S	100,000,000	2018/05/17	2018/12/31	25.00
62230	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 V	100,000,000	2018/05/17	2018/12/31	25.00
61877	HK Bank - CNOOC R Bull CBBC Nov 2018 C	50,000,000	2018/05/17	2018/11/05	12.50
61871	HK Bank - Sinopec Corp R Bear CBBC Oct 2018 B	40,000,000	2018/05/17	2018/10/15	10.00
61863	HK Bank - HKEX R Bull CBBC Nov 2018 J	50,000,000	2018/05/17	2018/11/05	12.50
62045	HK Bank - HSCEI R Bull CBBC Mar 2019 B	60,000,000	2018/05/17	2019/03/28	15.00
61882	HK Bank - HSI R Bull CBBC Mar 2019 Y	150,000,000	2018/05/17	2019/03/28	37.50
62063	HK Bank - HSI R Bull CBBC Mar 2019 Z	100,000,000	2018/05/17	2019/03/28	25.00
61875	HK Bank - PetroChina R Bull CBBC Nov 2018 C	80,000,000	2018/05/17	2018/11/05	20.00
62057	HK Bank - Tencent R Bull CBBC Sep 2018 Z	60,000,000	2018/05/17	2018/09/20	15.00
62062	HK Bank - Tencent R Bull CBBC Oct 2018 G	60,000,000	2018/05/17	2018/10/25	15.00
62060	HK Bank - Tencent R Bull CBBC Dec 2018 A	60,000,000	2018/05/17	2018/12/17	33.00
62073	J P Morgan SP BV - HSI R Bull CBBC Nov 2018 S	200,000,000	2018/05/17	2018/11/29	50.00
62077	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/05/17	2019/10/30	50.00
62103	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 P	200,000,000	2018/05/17	2019/12/30	50.00
62072	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/17	2018/08/30	50.00
62070	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/05/17	2018/08/30	50.00
62078	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 P	50,000,000	2018/05/17	2018/08/17	12.50
62082	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 Q	50,000,000	2018/05/17	2018/08/17	12.50
62100	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 D	50,000,000	2018/05/17	2018/09/14	16.50
61931	Macquarie Bank Ltd. - AAC Tech R Bull CBBC Feb 2019 A	30,500,000	2018/05/17	2019/02/13	10.00
61919	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 C	12,800,000	2018/05/17	2018/12/14	10.06
61928	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 C	11,000,000	2018/05/17	2018/12/14	10.01
62131	SG Issuer - AIA R Bull CBBC Feb 2019 A	60,000,000	2018/05/17	2019/02/27	15.00
62135	SG Issuer - AIA R Bull CBBC Mar 2019 A	60,000,000	2018/05/17	2019/03/28	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62117	SG Issuer - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/05/17	2019/11/28	50.00
62123	SG Issuer - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/05/17	2019/12/30	50.00
62128	SG Issuer - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/05/17	2019/12/30	50.00
62129	SG Issuer - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/05/17	2019/12/30	50.00
62111	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/05/17	2018/09/27	50.00
62115	SG Issuer - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/05/17	2018/09/27	50.00
62136	SG Issuer - Tencent R Bull CBBC Sep 2018 E	80,000,000	2018/05/17	2018/09/19	20.00
62138	SG Issuer - Tencent R Bull CBBC Sep 2018 G	80,000,000	2018/05/17	2018/09/21	20.00
62139	SG Issuer - Tencent R Bull CBBC Sep 2018 U	80,000,000	2018/05/17	2018/09/26	20.00
62105	SG Issuer - Tencent R Bear CBBC Sep 2018 P	80,000,000	2018/05/17	2018/09/07	20.00
62143	SG Issuer - Tencent R Bear CBBC Dec 2018 L	80,000,000	2018/05/17	2018/12/28	20.00
62024	UBS AG - CNOOC R Bull CBBC Oct 2018 H	40,000,000	2018/05/17	2018/10/08	10.00
62026	UBS AG - CNOOC R Bull CBBC Oct 2018 I	40,000,000	2018/05/17	2018/10/22	10.00
62028	UBS AG - CNOOC R Bear CBBC Nov 2018 A	40,000,000	2018/05/17	2018/11/12	10.00
62034	UBS AG - Sinopec Corp R Bull CBBC Oct 2018 C	50,000,000	2018/05/17	2018/10/08	12.50
62004	UBS AG - CSOP A50 ETF R Bull CBBC Sep 2018 G	50,000,000	2018/05/17	2018/09/24	12.50
62008	UBS AG - A50 China R Bull CBBC Sep 2018 D	50,000,000	2018/05/17	2018/09/17	12.50
62035	UBS AG - HKEX R Bull CBBC Nov 2018 Q	50,000,000	2018/05/17	2018/11/07	12.50
62040	UBS AG - HSBC R Bull CBBC Nov 2018 C	80,000,000	2018/05/17	2018/11/19	20.00
61998	UBS AG - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/05/17	2019/10/30	50.00
62003	UBS AG - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/05/17	2019/12/30	50.00
61990	UBS AG - HSI R Bull CBBC Dec 2019 V	200,000,000	2018/05/17	2019/12/30	50.00
61972	UBS AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/17	2018/08/30	50.00
61975	UBS AG - HSI R Bear CBBC Aug 2018 U	250,000,000	2018/05/17	2018/08/30	62.50
61976	UBS AG - HSI R Bear CBBC Sep 2018 X	250,000,000	2018/05/17	2018/09/27	62.50
62014	UBS AG - Petrochina R Bull CBBC Oct 2018 F	60,000,000	2018/05/17	2018/10/29	15.00
62016	UBS AG - Petrochina R Bull CBBC Oct 2018 G	60,000,000	2018/05/17	2018/10/22	15.00
62022	UBS AG - Petrochina R Bear CBBC Oct 2018 B	40,000,000	2018/05/17	2018/10/15	10.00
62033	UBS AG - Tencent R Bull CBBC Aug 2018 B	30,000,000	2018/05/17	2018/08/22	10.20
62030	UBS AG - Tencent R Bull CBBC Aug 2018 C	30,000,000	2018/05/17	2018/08/29	10.20
62036	UBS AG - Tencent R Bull CBBC Aug 2018 D	30,000,000	2018/05/17	2018/08/20	10.20
62379	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Nov 2018 V	100,000,000	2018/05/18	2018/11/29	25.00
62303	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 U	100,000,000	2018/05/18	2018/11/29	25.00
62385	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18G	60,000,000	2018/05/18	2018/11/29	15.00
62302	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 C	50,000,000	2018/05/18	2019/03/28	12.50
62387	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 M	250,000,000	2018/05/18	2019/12/30	62.50
62390	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/05/18	2019/12/30	62.50
62394	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 O	250,000,000	2018/05/18	2019/12/30	62.50
62386	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Q	250,000,000	2018/05/18	2019/12/30	62.50
62289	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 N	250,000,000	2018/05/18	2018/12/28	62.50
62290	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 O	250,000,000	2018/05/18	2018/12/28	62.50
62292	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 P	250,000,000	2018/05/18	2018/12/28	62.50
62294	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 Q	250,000,000	2018/05/18	2018/12/28	62.50
62380	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 L	200,000,000	2018/05/18	2018/11/29	50.00
62384	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 V	50,000,000	2018/05/18	2018/09/27	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62296	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 K	50,000,000	2018/05/18	2018/11/29	12.50
62295	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 U	50,000,000	2018/05/18	2018/11/29	12.50
62895	Credit Suisse AG - AIA R Bull CBBC Dec 2018 B	70,000,000	2018/05/18	2018/12/21	17.50
62897	Credit Suisse AG - AIA R Bear CBBC Jan 2019 B	50,000,000	2018/05/18	2019/01/30	12.50
62898	Credit Suisse AG - AIA R Bear CBBC Jan 2019 C	50,000,000	2018/05/18	2019/01/25	12.50
62859	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2018 I	40,000,000	2018/05/18	2018/12/28	10.00
62861	Credit Suisse AG - Geely Auto R Bull CBBC Jun 2019 A	100,000,000	2018/05/18	2019/06/28	25.00
62858	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2018 F	50,000,000	2018/05/18	2018/11/29	12.50
62865	Credit Suisse AG - HKEX R Bull CBBC Dec 2018 D	50,000,000	2018/05/18	2018/12/28	12.50
62855	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 H	80,000,000	2018/05/18	2018/12/28	20.00
62845	Credit Suisse AG - HSI R Bull CBBC Sep 2019 I	200,000,000	2018/05/18	2019/09/27	50.00
62840	Credit Suisse AG - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/05/18	2019/10/30	50.00
62842	Credit Suisse AG - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/05/18	2019/10/30	50.00
62810	Credit Suisse AG - HSI R Bull CBBC Nov 2019 W	200,000,000	2018/05/18	2019/11/28	50.00
62819	Credit Suisse AG - HSI R Bull CBBC Nov 2019 X	200,000,000	2018/05/18	2019/11/28	50.00
62835	Credit Suisse AG - HSI R Bull CBBC Nov 2019 Y	200,000,000	2018/05/18	2019/11/28	50.00
62763	Credit Suisse AG - HSI R Bear CBBC Aug 2018 F	200,000,000	2018/05/18	2018/08/30	50.00
62764	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/18	2018/08/30	50.00
62770	Credit Suisse AG - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/05/18	2018/08/30	50.00
62803	Credit Suisse AG - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/05/18	2018/09/27	50.00
62771	Credit Suisse AG - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/05/18	2018/09/27	50.00
62784	Credit Suisse AG - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/05/18	2018/09/27	50.00
62778	Credit Suisse AG - HSI R Bear CBBC Oct 2018 M	200,000,000	2018/05/18	2018/10/30	50.00
62804	Credit Suisse AG - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/05/18	2018/10/30	50.00
62901	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 U	80,000,000	2018/05/18	2018/12/21	20.00
62867	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 Q	50,000,000	2018/05/18	2018/12/13	12.50
62868	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 R	50,000,000	2018/05/18	2018/12/28	12.50
62877	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 F	50,000,000	2018/05/18	2018/12/20	12.50
62891	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 G	50,000,000	2018/05/18	2018/12/07	18.00
62532	Bank of East Asia - Geely Auto R Bull CBBC Feb 2019 A	40,000,000	2018/05/18	2019/02/12	10.00
62531	Bank of East Asia - HKEX R Bull CBBC Feb 2019 A	40,000,000	2018/05/18	2019/02/12	10.00
62523	Bank of East Asia - Tencent R Bull CBBC Feb 2019 A	30,000,000	2018/05/18	2019/02/12	10.50
62524	Bank of East Asia - Tencent R Bull CBBC Feb 2019 B	30,000,000	2018/05/18	2019/02/12	10.50
63044	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2018 M	40,000,000	2018/05/18	2018/12/31	10.00
63043	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 O	100,000,000	2018/05/18	2018/12/21	25.00
62447	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Dec 2018D	100,000,000	2018/05/18	2018/12/21	25.00
63046	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Dec 2018 U	100,000,000	2018/05/18	2018/12/31	25.00
63047	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 Z	100,000,000	2018/05/18	2018/12/31	25.00
62503	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/18	2019/12/30	50.00
62460	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/05/18	2019/12/30	50.00
62505	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/05/18	2019/12/30	50.00
62469	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/05/18	2019/12/30	50.00
62243	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 E	200,000,000	2018/05/18	2018/10/30	50.00
62246	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 F	200,000,000	2018/05/18	2018/10/30	50.00
62251	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 G	200,000,000	2018/05/18	2018/10/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62252	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 M	200,000,000	2018/05/18	2018/11/29	50.00
62254	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 N	200,000,000	2018/05/18	2018/11/29	50.00
62399	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 O	200,000,000	2018/05/18	2018/11/29	50.00
62402	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 P	200,000,000	2018/05/18	2018/11/29	50.00
62406	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 A	200,000,000	2018/05/18	2018/12/28	50.00
62434	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 B	200,000,000	2018/05/18	2018/12/28	50.00
62438	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 C	200,000,000	2018/05/18	2018/12/28	50.00
62445	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 G	100,000,000	2018/05/18	2019/02/27	25.00
62440	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 E	100,000,000	2018/05/18	2018/12/21	25.00
62441	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 I	100,000,000	2018/05/18	2018/12/21	25.00
63042	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 W	100,000,000	2018/05/18	2018/12/31	25.00
62307	HK Bank - AIA R Bull CBBC Oct 2018 O	80,000,000	2018/05/18	2018/10/29	20.00
62310	HK Bank - AIA R Bear CBBC Nov 2018 A	40,000,000	2018/05/18	2018/11/30	10.00
62346	HK Bank - HKEX R Bull CBBC Nov 2018 K	50,000,000	2018/05/18	2018/11/12	12.50
62328	HK Bank - HSCEI R Bull CBBC Mar 2019 C	60,000,000	2018/05/18	2019/03/28	15.00
62335	HK Bank - HSCEI R Bear CBBC Nov 2018 B	50,000,000	2018/05/18	2018/11/29	12.50
62320	HK Bank - HSI R Bull CBBC Mar 2019 B	150,000,000	2018/05/18	2019/03/28	37.50
62321	HK Bank - HSI R Bear CBBC Nov 2018 B	150,000,000	2018/05/18	2018/11/29	37.50
62337	HK Bank - Ping An R Bull CBBC Nov 2018 L	100,000,000	2018/05/18	2018/11/12	25.00
62336	HK Bank - Tencent R Bull CBBC Oct 2018 D	60,000,000	2018/05/18	2018/10/15	15.00
62257	Haitong Int'l Sec - Sinopec Corp R Bull CBBC Nov 2018 C	100,000,000	2018/05/18	2018/11/02	25.00
62288	Haitong Int'l Sec - CPIC R Bull CBBC Nov 2018 A	40,000,000	2018/05/18	2018/11/05	14.96
62256	Haitong Int'l Sec - Geely Auto R Bull CBBC Nov 2018 A	40,000,000	2018/05/18	2018/11/05	10.00
62255	Haitong Int'l Sec - HSBC R Bull CBBC Nov 2018 B	110,000,000	2018/05/18	2018/11/29	27.50
62266	Haitong Int'l Sec - PetroChina R Bull CBBC Nov 2018 A	140,000,000	2018/05/18	2018/11/06	35.00
62279	Haitong Int'l Sec - Ping An R Bull CBBC Nov 2018 B	25,000,000	2018/05/18	2018/11/05	10.80
62287	Haitong Int'l Sec - Sunny Optical R Bull CBBC Nov 2018 B	60,000,000	2018/05/18	2018/11/05	15.00
62259	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 B	40,000,000	2018/05/18	2018/11/05	10.00
62263	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 C	40,000,000	2018/05/18	2018/11/05	10.00
62762	J P Morgan SP BV - HKEX R Bull CBBC Sep 2018 B	40,000,000	2018/05/18	2018/09/14	10.00
62701	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/05/18	2019/10/30	50.00
62717	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 B	200,000,000	2018/05/18	2019/11/28	50.00
62697	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/05/18	2019/11/28	50.00
62696	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/05/18	2019/12/30	50.00
62707	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/05/18	2019/12/30	50.00
62693	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 B	200,000,000	2018/05/18	2018/08/30	50.00
62692	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 K	200,000,000	2018/05/18	2018/08/30	50.00
62690	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Y	200,000,000	2018/05/18	2018/08/30	50.00
62746	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 R	50,000,000	2018/05/18	2018/08/17	14.00
62753	J P Morgan SP BV - Tencent R Bull CBBC Aug 2018 S	50,000,000	2018/05/18	2018/08/17	12.50
62760	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 E	50,000,000	2018/05/18	2018/09/14	12.50
62511	Macquarie Bank Ltd. - COVS R Bull CBBC Dec 2018 A	14,000,000	2018/05/18	2018/12/28	11.14
62513	Macquarie Bank Ltd. - COVS R Bull CBBC Dec 2018 B	12,000,000	2018/05/18	2018/12/28	11.29
62518	Macquarie Bank Ltd. - COVS R Bear CBBC Dec 2018 A	10,000,000	2018/05/18	2018/12/28	10.67
62507	Macquarie Bank Ltd. - HKEX R Bull CBBC Dec 2018 A	16,000,000	2018/05/18	2018/12/28	11.22

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62506	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 D	12,500,000	2018/05/18	2018/12/14	10.01
63023	SG Issuer - AAC Tech R Bull CBBC Nov 2018 D	40,000,000	2018/05/18	2018/11/07	10.00
62969	SG Issuer - AIA R Bull CBBC Oct 2018 C	60,000,000	2018/05/18	2018/10/26	15.00
62970	SG Issuer - CC Bank R Bull CBBC Oct 2018 K	100,000,000	2018/05/18	2018/10/23	25.00
63034	SG Issuer - CNOOC R Bull CBBC Oct 2018 J	40,000,000	2018/05/18	2018/10/05	10.00
63038	SG Issuer - CNOOC R Bull CBBC Oct 2018 K	40,000,000	2018/05/18	2018/10/25	10.00
63028	SG Issuer - CNOOC R Bear CBBC Aug 2018 A	40,000,000	2018/05/18	2018/08/31	10.00
63027	SG Issuer - Sinopec Corp R Bull CBBC Oct 2018 C	40,000,000	2018/05/18	2018/10/05	10.00
63025	SG Issuer - Sinopec Corp R Bear CBBC Sep 2018 A	40,000,000	2018/05/18	2018/09/28	10.00
62984	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 M	40,000,000	2018/05/18	2018/10/19	10.00
62986	SG Issuer - HKEX R Bull CBBC Sep 2018 R	50,000,000	2018/05/18	2018/09/21	12.50
62987	SG Issuer - HKEX R Bull CBBC Oct 2018 C	50,000,000	2018/05/18	2018/10/05	12.50
62998	SG Issuer - HSBC R Bull CBBC Sep 2018 U	100,000,000	2018/05/18	2018/09/27	25.00
63015	SG Issuer - HSI R Bull CBBC Sep 2019 U	200,000,000	2018/05/18	2019/09/27	50.00
62939	SG Issuer - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/05/18	2019/10/30	50.00
62941	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/05/18	2019/11/28	50.00
62968	SG Issuer - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/18	2019/12/30	50.00
62956	SG Issuer - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/05/18	2019/12/30	50.00
62920	SG Issuer - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/18	2018/08/30	50.00
62926	SG Issuer - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/18	2018/08/30	50.00
62931	SG Issuer - HSI R Bear CBBC Oct 2018 Y	200,000,000	2018/05/18	2018/10/30	50.00
63011	SG Issuer - HSI R Bear CBBC Dec 2018 E	200,000,000	2018/05/18	2018/12/28	50.00
63039	SG Issuer - ICBC R Bull CBBC Oct 2018 H	60,000,000	2018/05/18	2018/10/29	15.00
63040	SG Issuer - PetroChina R Bull CBBC Nov 2018 G	40,000,000	2018/05/18	2018/11/23	10.00
63000	SG Issuer - Ping An R Bull CBBC Oct 2018 T	100,000,000	2018/05/18	2018/10/05	25.00
63001	SG Issuer - Tencent R Bull CBBC Aug 2018 D	80,000,000	2018/05/18	2018/08/30	20.00
63007	SG Issuer - Tencent R Bull CBBC Sep 2018 I	80,000,000	2018/05/18	2018/09/14	20.00
63009	SG Issuer - Tencent R Bull CBBC Sep 2018 J	80,000,000	2018/05/18	2018/09/20	20.00
62903	SG Issuer - Tencent R Bear CBBC Aug 2018 Y	80,000,000	2018/05/18	2018/08/31	20.00
62672	UBS AG - CC Bank R Bull CBBC Sep 2018 J	80,000,000	2018/05/18	2018/09/17	20.00
62667	UBS AG - HKEX R Bull CBBC Sep 2018 W	50,000,000	2018/05/18	2018/09/17	12.50
62668	UBS AG - HKEX R Bull CBBC Sep 2018 X	50,000,000	2018/05/18	2018/09/24	12.50
62637	UBS AG - HSI R Bull CBBC Oct 2019 T	250,000,000	2018/05/18	2019/10/30	62.50
62652	UBS AG - HSI R Bull CBBC Oct 2019 V	250,000,000	2018/05/18	2019/10/30	62.50
62633	UBS AG - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/05/18	2019/11/28	50.00
62654	UBS AG - HSI R Bull CBBC Nov 2019 T	250,000,000	2018/05/18	2019/11/28	62.50
62631	UBS AG - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/18	2019/12/30	50.00
62574	UBS AG - HSI R Bear CBBC Aug 2018 A	200,000,000	2018/05/18	2018/08/30	50.00
62577	UBS AG - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/05/18	2018/08/30	50.00
62533	UBS AG - HSI R Bear CBBC Aug 2018 F	200,000,000	2018/05/18	2018/08/30	50.00
62534	UBS AG - HSI R Bear CBBC Aug 2018 G	250,000,000	2018/05/18	2018/08/30	62.50
62535	UBS AG - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/18	2018/08/30	50.00
62614	UBS AG - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/05/18	2018/08/30	50.00
62537	UBS AG - HSI R Bear CBBC Aug 2018 K	250,000,000	2018/05/18	2018/08/30	62.50
62622	UBS AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/05/18	2018/08/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62562	UBS AG - HSI R Bear CBBC Aug 2018 S	250,000,000	2018/05/18	2018/08/30	62.50
62679	UBS AG - ICBC R Bull CBBC Oct 2018 K	100,000,000	2018/05/18	2018/10/08	25.00
62669	UBS AG - Petrochina R Bull CBBC Oct 2018 H	60,000,000	2018/05/18	2018/10/16	15.00
62684	UBS AG - Ping An R Bull CBBC Dec 2018 F	80,000,000	2018/05/18	2018/12/03	20.00
62680	UBS AG - Sands China R Bull CBBC Dec 2018 B	60,000,000	2018/05/18	2018/12/17	15.00
62666	UBS AG - Tencent R Bull CBBC Aug 2018 E	30,000,000	2018/05/18	2018/08/30	10.20
62662	UBS AG - Tencent R Bull CBBC Sep 2018 P	30,000,000	2018/05/18	2018/09/12	10.20
62664	UBS AG - Tencent R Bull CBBC Sep 2018 T	30,000,000	2018/05/18	2018/09/26	10.20
62625	UBS AG - Tencent R Bear CBBC Oct 2018 G	30,000,000	2018/05/18	2018/10/10	10.20
62686	UBS AG - Tracker Fund R Bull CBBC Dec 2018 A	40,000,000	2018/05/18	2018/12/17	10.00
62351	Bank Vontobel - HSI R Bull CBBC Dec 2018 U	100,000,000	2018/05/18	2018/12/28	25.10
62353	Bank Vontobel - HSI R Bull CBBC Dec 2018 V	100,000,000	2018/05/18	2018/12/28	25.30
62362	Bank Vontobel - Tencent R Bull CBBC Dec 2018 D	40,000,000	2018/05/18	2018/12/05	10.16
62369	Bank Vontobel - Tencent R Bull CBBC Dec 2018 E	40,000,000	2018/05/18	2018/12/06	10.32
62239	Bank Vontobel - Tencent R Bear CBBC Sep 2018 A	40,000,000	2018/05/18	2018/09/14	10.00
63114	BOCI Asia Ltd. - CC Bank R Bull CBBC Sep 2018 A	70,000,000	2018/05/21	2018/09/28	17.78
63108	BOCI Asia Ltd. - HKEX R Bull CBBC Sep 2018 B	40,000,000	2018/05/21	2018/09/27	11.88
63106	BOCI Asia Ltd. - Ping An R Bull CBBC Oct 2018 A	100,000,000	2018/05/21	2018/10/05	25.40
63105	BOCI Asia Ltd. - Tencent R Bull CBBC Aug 2018 B	40,000,000	2018/05/21	2018/08/30	18.08
63447	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Aug 2018 F	50,000,000	2018/05/21	2018/08/30	12.50
63450	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 K	100,000,000	2018/05/21	2019/09/27	25.00
63451	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 L	100,000,000	2018/05/21	2019/09/27	25.00
63526	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/05/21	2019/12/30	62.50
63532	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 S	250,000,000	2018/05/21	2019/12/30	62.50
63531	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 W	250,000,000	2018/05/21	2019/12/30	62.50
63070	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 R	250,000,000	2018/05/21	2018/12/28	62.50
63072	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 S	250,000,000	2018/05/21	2018/12/28	62.50
63073	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 T	250,000,000	2018/05/21	2018/12/28	62.50
63444	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 M	200,000,000	2018/05/21	2018/11/29	50.00
63389	Credit Suisse AG - CC Bank R Bull CBBC Nov 2018 L	80,000,000	2018/05/21	2018/11/29	20.00
63400	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 F	50,000,000	2018/05/21	2019/01/25	12.50
63417	Credit Suisse AG - A50 China R Bull CBBC Jan 2019 A	50,000,000	2018/05/21	2019/01/30	12.50
63336	Credit Suisse AG - Geely Auto R Bull CBBC Jun 2019 B	100,000,000	2018/05/21	2019/06/21	25.00
63339	Credit Suisse AG - HKEX R Bull CBBC Nov 2018 H	50,000,000	2018/05/21	2018/11/29	12.50
63344	Credit Suisse AG - HKEX R Bull CBBC Dec 2018 E	50,000,000	2018/05/21	2018/12/14	12.50
63345	Credit Suisse AG - HKEX R Bear CBBC Nov 2018 B	50,000,000	2018/05/21	2018/11/29	12.50
63457	Credit Suisse AG - HSCEI R Bull CBBC Sep 2019 A	100,000,000	2018/05/21	2019/09/27	25.00
63458	Credit Suisse AG - HSCEI R Bear CBBC Sep 2018 A	100,000,000	2018/05/21	2018/09/27	25.00
63467	Credit Suisse AG - HSCEI R Bear CBBC Sep 2018 B	100,000,000	2018/05/21	2018/09/27	25.00
63333	Credit Suisse AG - HSI R Bull CBBC Sep 2019 J	200,000,000	2018/05/21	2019/09/27	50.00
63335	Credit Suisse AG - HSI R Bull CBBC Sep 2019 K	200,000,000	2018/05/21	2019/09/27	50.00
63455	Credit Suisse AG - HSI R Bull CBBC Nov 2019 A	200,000,000	2018/05/21	2019/11/28	50.00
63454	Credit Suisse AG - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/05/21	2019/11/28	50.00
63330	Credit Suisse AG - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/21	2019/12/30	50.00
63334	Credit Suisse AG - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/05/21	2019/12/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63280	Credit Suisse AG - HSI R Bear CBBC Aug 2018 B	200,000,000	2018/05/21	2018/08/30	50.00
63278	Credit Suisse AG - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/05/21	2018/08/30	50.00
63295	Credit Suisse AG - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/05/21	2018/09/27	50.00
63287	Credit Suisse AG - HSI R Bear CBBC Sep 2018 X	200,000,000	2018/05/21	2018/09/27	50.00
63273	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/05/21	2018/09/27	50.00
63286	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/05/21	2018/09/27	50.00
63308	Credit Suisse AG - HSI R Bear CBBC Oct 2018 O	200,000,000	2018/05/21	2018/10/30	50.00
63312	Credit Suisse AG - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/05/21	2018/10/30	50.00
63317	Credit Suisse AG - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/05/21	2018/10/30	50.00
63322	Credit Suisse AG - HSI R Bear CBBC Oct 2018 R	200,000,000	2018/05/21	2018/10/30	50.00
63368	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 V	80,000,000	2018/05/21	2018/12/13	20.00
63381	Credit Suisse AG - Ping An R Bear CBBC Nov 2018 G	50,000,000	2018/05/21	2018/11/30	12.50
63384	Credit Suisse AG - Ping An R Bear CBBC Dec 2018 A	50,000,000	2018/05/21	2018/12/07	12.50
63387	Credit Suisse AG - Ping An R Bear CBBC Dec 2018 B	50,000,000	2018/05/21	2018/12/14	12.50
63391	Credit Suisse AG - Sunny Optical R Bull CBBC Jan 2019 A	40,000,000	2018/05/21	2019/01/30	10.00
63354	Credit Suisse AG - Tencent R Bull CBBC Nov 2018 C	50,000,000	2018/05/21	2018/11/29	12.50
63365	Credit Suisse AG - Tencent R Bear CBBC Nov 2018 H	50,000,000	2018/05/21	2018/11/23	13.50
63752	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Dec 2018 W	100,000,000	2018/05/21	2018/12/31	25.00
63737	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 A	100,000,000	2018/05/21	2018/12/31	25.00
63745	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 B	100,000,000	2018/05/21	2018/12/31	25.00
63747	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 R	100,000,000	2018/05/21	2018/12/21	25.00
63179	Goldman Sachs SP (Asia) - HSCEI R Bear CBBC Sep 2018 B	200,000,000	2018/05/21	2018/09/27	50.00
63165	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 H	200,000,000	2018/05/21	2019/12/30	50.00
63167	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 I	200,000,000	2018/05/21	2019/12/30	50.00
63158	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 K	200,000,000	2018/05/21	2019/12/30	50.00
63160	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 N	200,000,000	2018/05/21	2019/12/30	50.00
63129	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/05/21	2018/09/27	50.20
63115	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/05/21	2018/09/27	50.00
63116	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/05/21	2018/09/27	50.20
63131	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 Q	200,000,000	2018/05/21	2018/11/29	50.00
63135	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 R	200,000,000	2018/05/21	2018/11/29	50.20
63140	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 S	200,000,000	2018/05/21	2018/11/29	50.20
63733	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 X	100,000,000	2018/05/21	2018/12/31	25.00
63698	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 E	100,000,000	2018/05/21	2018/11/29	25.00
63718	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 F	100,000,000	2018/05/21	2018/11/29	25.00
63725	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 O	80,000,000	2018/05/21	2018/12/31	20.00
63079	HK Bank - AAC Tech R Bull CBBC Nov 2018 C	40,000,000	2018/05/21	2018/11/30	10.00
63085	HK Bank - AIA R Bull CBBC Nov 2018 B	80,000,000	2018/05/21	2018/11/12	20.00
63084	HK Bank - Geely Auto R Bull CBBC Oct 2018 F	40,000,000	2018/05/21	2018/10/22	10.00
63096	HK Bank - HKEX R Bull CBBC Nov 2018 L	50,000,000	2018/05/21	2018/11/19	12.50
63074	HK Bank - HSCEI R Bear CBBC Dec 2018 A	50,000,000	2018/05/21	2018/12/28	12.50
63098	HK Bank - HSI R Bull CBBC Apr 2019 A	150,000,000	2018/05/21	2019/04/29	37.50
63104	HK Bank - HSI R Bull CBBC Apr 2019 B	150,000,000	2018/05/21	2019/04/29	37.50
63087	HK Bank - Ping An R Bull CBBC Oct 2018 Z	100,000,000	2018/05/21	2018/10/31	25.00
63082	HK Bank - Sunny Optical R Bull CBBC Nov 2018 B	40,000,000	2018/05/21	2018/11/12	10.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63093	HK Bank - Tencent R Bull CBBC Oct 2018 C	60,000,000	2018/05/21	2018/10/22	15.00
63505	J P Morgan SP BV - AIA R Bull CBBC Sep 2018 B	100,000,000	2018/05/21	2018/09/21	25.00
63486	J P Morgan SP BV - CC Bank R Bull CBBC Sep 2018 I	100,000,000	2018/05/21	2018/09/21	25.00
63496	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2019 G	100,000,000	2018/05/21	2019/12/30	25.00
63485	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 G	200,000,000	2018/05/21	2019/10/30	50.00
63478	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 W	200,000,000	2018/05/21	2019/10/30	50.00
63477	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 E	200,000,000	2018/05/21	2019/11/28	50.00
63481	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/05/21	2019/11/28	50.00
63489	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/05/21	2019/12/30	50.00
63479	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 X	200,000,000	2018/05/21	2019/12/30	50.00
63469	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/05/21	2018/08/30	50.00
63472	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 O	200,000,000	2018/05/21	2018/08/30	50.00
63473	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/21	2018/08/30	50.00
63475	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/05/21	2018/09/27	50.00
63476	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 R	200,000,000	2018/05/21	2018/09/27	50.00
63487	J P Morgan SP BV - ICBC R Bull CBBC Sep 2018 F	100,000,000	2018/05/21	2018/09/21	25.00
63506	J P Morgan SP BV - Ping An R Bull CBBC Sep 2018 D	100,000,000	2018/05/21	2018/09/21	25.00
63519	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 F	50,000,000	2018/05/21	2018/09/14	12.50
63181	Macquarie Bank Ltd. - CPIC R Bull CBBC Nov 2018 D	11,000,000	2018/05/21	2018/11/29	11.08
63067	Macquarie Bank Ltd. - Geely Auto R Bull CBBC Jan 2019 A	13,500,000	2018/05/21	2019/01/30	10.19
63065	Macquarie Bank Ltd. - Ping An R Bull CBBC Jan 2019 A	39,000,000	2018/05/21	2019/01/30	10.06
63657	SG Issuer - AAC Tech R Bear CBBC Dec 2018 A	40,000,000	2018/05/21	2018/12/28	10.00
63565	SG Issuer - AIA R Bull CBBC Oct 2018 D	60,000,000	2018/05/21	2018/10/31	15.00
63658	SG Issuer - AIA R Bear CBBC Sep 2018 B	60,000,000	2018/05/21	2018/09/20	15.00
63667	SG Issuer - Country Garden R Bull CBBC Oct 2018 D	40,000,000	2018/05/21	2018/10/26	10.00
63665	SG Issuer - CC Bank R Bull CBBC Nov 2018 F	100,000,000	2018/05/21	2018/11/20	25.00
63678	SG Issuer - Galaxy Ent R Bear CBBC Oct 2018 F	80,000,000	2018/05/21	2018/10/31	20.00
63569	SG Issuer - HKEX R Bull CBBC Oct 2018 E	50,000,000	2018/05/21	2018/10/12	12.50
63590	SG Issuer - HSCEI R Bull CBBC Nov 2018 X	100,000,000	2018/05/21	2018/11/29	25.00
63611	SG Issuer - HSCEI R Bull CBBC Dec 2018 U	100,000,000	2018/05/21	2018/12/28	25.00
63612	SG Issuer - HSCEI R Bull CBBC Dec 2018 V	100,000,000	2018/05/21	2018/12/28	25.00
63610	SG Issuer - HSCEI R Bear CBBC Nov 2018 D	100,000,000	2018/05/21	2018/11/29	25.00
63649	SG Issuer - HSI R Bull CBBC Sep 2019 O	200,000,000	2018/05/21	2019/09/27	50.00
63639	SG Issuer - HSI R Bull CBBC Sep 2019 V	200,000,000	2018/05/21	2019/09/27	50.00
63646	SG Issuer - HSI R Bull CBBC Sep 2019 W	200,000,000	2018/05/21	2019/09/27	50.00
63551	SG Issuer - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/05/21	2019/10/30	50.00
63652	SG Issuer - HSI R Bull CBBC Oct 2019 R	200,000,000	2018/05/21	2019/10/30	50.00
63564	SG Issuer - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/05/21	2019/12/30	50.00
63558	SG Issuer - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/05/21	2019/12/30	50.00
63540	SG Issuer - HSI R Bear CBBC Oct 2018 I	200,000,000	2018/05/21	2018/10/30	50.00
63533	SG Issuer - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/05/21	2018/10/30	50.00
63546	SG Issuer - HSI R Bear CBBC Nov 2018 B	200,000,000	2018/05/21	2018/11/29	50.00
63541	SG Issuer - HSI R Bear CBBC Nov 2018 Q	200,000,000	2018/05/21	2018/11/29	50.00
63680	SG Issuer - ICBC R Bull CBBC Nov 2018 D	60,000,000	2018/05/21	2018/11/15	15.00
63686	SG Issuer - NCI R Bull CBBC Feb 2019 A	80,000,000	2018/05/21	2019/02/28	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63579	SG Issuer - Ping An R Bull CBBC Nov 2018 T	100,000,000	2018/05/21	2018/11/12	25.00
63582	SG Issuer - Ping An R Bull CBBC Nov 2018 U	100,000,000	2018/05/21	2018/11/30	25.00
63688	SG Issuer - Sunny Optical R Bull CBBC Oct 2018 C	40,000,000	2018/05/21	2018/10/29	10.00
63585	SG Issuer - Tencent R Bull CBBC Aug 2018 J	80,000,000	2018/05/21	2018/08/30	20.00
63587	SG Issuer - Tencent R Bull CBBC Aug 2018 K	80,000,000	2018/05/21	2018/08/31	20.00
63692	SG Issuer - Tencent R Bull CBBC Sep 2018 K	80,000,000	2018/05/21	2018/09/05	20.00
63695	SG Issuer - Tencent R Bull CBBC Sep 2018 L	80,000,000	2018/05/21	2018/09/12	20.00
63689	SG Issuer - Tencent R Bear CBBC Sep 2018 Q	80,000,000	2018/05/21	2018/09/03	20.00
63253	UBS AG - AAC Tech R Bull CBBC Dec 2018 D	40,000,000	2018/05/21	2018/12/03	10.00
63255	UBS AG - AAC Tech R Bear CBBC Nov 2018 A	40,000,000	2018/05/21	2018/11/05	10.00
63256	UBS AG - AAC Tech R Bear CBBC Nov 2018 B	40,000,000	2018/05/21	2018/11/26	10.00
63252	UBS AG - AIA R Bull CBBC Oct 2018 B	50,000,000	2018/05/21	2018/10/15	12.50
63262	UBS AG - Bank of China R Bull CBBC Dec 2018 B	60,000,000	2018/05/21	2018/12/10	15.00
63248	UBS AG - CC Bank R Bull CBBC Oct 2018 N	80,000,000	2018/05/21	2018/10/22	20.00
63237	UBS AG - HSBC R Bull CBBC Dec 2018 A	80,000,000	2018/05/21	2018/12/17	20.00
63200	UBS AG - HSI R Bull CBBC Oct 2018 T	200,000,000	2018/05/21	2018/10/30	50.00
63199	UBS AG - HSI R Bull CBBC Oct 2019 B	250,000,000	2018/05/21	2019/10/30	62.50
63193	UBS AG - HSI R Bull CBBC Oct 2019 I	250,000,000	2018/05/21	2019/10/30	62.50
63190	UBS AG - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/05/21	2019/11/28	50.00
63468	UBS AG - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/05/21	2019/12/30	50.00
63195	UBS AG - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/05/21	2019/12/30	50.00
63213	UBS AG - HSI R Bear CBBC Aug 2018 D	200,000,000	2018/05/21	2018/08/30	50.00
63234	UBS AG - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/05/21	2018/08/30	50.00
63182	UBS AG - HSI R Bear CBBC Aug 2018 O	250,000,000	2018/05/21	2018/08/30	62.50
63207	UBS AG - HSI R Bear CBBC Aug 2018 Z	250,000,000	2018/05/21	2018/08/30	62.50
63217	UBS AG - HSI R Bear CBBC Sep 2018 Y	250,000,000	2018/05/21	2018/09/27	62.50
63222	UBS AG - HSI R Bear CBBC Sep 2018 Z	250,000,000	2018/05/21	2018/09/27	62.50
63267	UBS AG - Ping An R Bull CBBC Oct 2018 Y	80,000,000	2018/05/21	2018/10/10	20.00
63259	UBS AG - Sunny Optical R Bull CBBC Nov 2018 B	40,000,000	2018/05/21	2018/11/12	10.00
63238	UBS AG - Tencent R Bull CBBC Sep 2018 H	30,000,000	2018/05/21	2018/09/17	10.20
63247	UBS AG - Tencent R Bear CBBC Oct 2018 H	30,000,000	2018/05/21	2018/10/22	10.35
63052	Bank Vontobel - HSBC R Bull CBBC Oct 2018 A	40,000,000	2018/05/21	2018/10/26	10.12
63064	Bank Vontobel - HSBC R Bull CBBC Oct 2018 B	40,000,000	2018/05/21	2018/10/26	10.16
63055	Bank Vontobel - HSBC R Bear CBBC Nov 2018 A	40,000,000	2018/05/21	2018/11/23	10.16
63058	Bank Vontobel - HSBC R Bear CBBC Nov 2018 B	40,000,000	2018/05/21	2018/11/23	10.16
63418	Bank Vontobel - HSI R Bull CBBC Dec 2018 W	100,000,000	2018/05/21	2018/12/28	25.20
63427	Bank Vontobel - HSI R Bull CBBC Dec 2018 X	100,000,000	2018/05/21	2018/12/28	25.40
63050	Bank Vontobel - HSI R Bear CBBC Nov 2018 E	100,000,000	2018/05/21	2018/11/29	25.50
63051	Bank Vontobel - HSI R Bear CBBC Nov 2018 F	100,000,000	2018/05/21	2018/11/29	25.30
63439	Bank Vontobel - Tencent R Bull CBBC Dec 2018 F	40,000,000	2018/05/21	2018/12/07	10.16
64089	Credit Suisse AG - HSI R Bull CBBC Oct 2019 A	200,000,000	2018/05/23	2019/10/30	50.00
64090	Credit Suisse AG - HSI R Bull CBBC Oct 2019 B	200,000,000	2018/05/23	2019/10/30	50.00
64091	Credit Suisse AG - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/05/23	2019/10/30	50.00
64101	Credit Suisse AG - HSI R Bull CBBC Oct 2019 D	200,000,000	2018/05/23	2019/10/30	50.00
64104	Credit Suisse AG - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/05/23	2019/10/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64123	Credit Suisse AG - HSI R Bear CBBC Aug 2018 O	200,000,000	2018/05/23	2018/08/30	50.00
64130	Credit Suisse AG - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/05/23	2018/09/27	50.00
64132	Credit Suisse AG - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/05/23	2018/09/27	50.00
64135	Credit Suisse AG - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/05/23	2018/10/30	50.00
64113	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 S	50,000,000	2018/05/23	2018/12/07	12.50
64114	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 H	50,000,000	2018/05/23	2018/12/14	12.50
64121	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 I	50,000,000	2018/05/23	2018/12/07	12.50
64042	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Nov 2019 E	200,000,000	2018/05/23	2019/11/28	50.00
63757	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 K	200,000,000	2018/05/23	2019/11/28	50.00
63772	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/05/23	2019/11/28	50.00
63773	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/05/23	2019/11/28	50.00
63771	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 T	200,000,000	2018/05/23	2019/11/28	50.00
64020	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 H	200,000,000	2018/05/23	2018/09/27	50.20
64023	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/05/23	2018/09/27	50.20
64036	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/05/23	2018/09/27	50.00
64047	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 E	100,000,000	2018/05/23	2018/12/31	25.00
63777	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 G	100,000,000	2018/05/23	2018/11/30	25.00
64045	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Nov 2018 A	80,000,000	2018/05/23	2018/11/30	20.00
63862	HK Bank - CC Bank R Bull CBBC Oct 2018 D	100,000,000	2018/05/23	2018/10/22	25.00
63847	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2019 B	50,000,000	2018/05/23	2019/01/14	12.50
63848	HK Bank - A50 China R Bull CBBC Jan 2019 C	50,000,000	2018/05/23	2019/01/14	12.50
63851	HK Bank - HKEX R Bull CBBC Dec 2018 B	50,000,000	2018/05/23	2018/12/24	20.00
63836	HK Bank - HSI R Bull CBBC Mar 2019 C	150,000,000	2018/05/23	2019/03/28	37.50
63844	HK Bank - HSI R Bear CBBC Sep 2018 N	150,000,000	2018/05/23	2018/09/27	37.50
63837	HK Bank - HSI R Bear CBBC Oct 2018 V	100,000,000	2018/05/23	2018/10/30	25.00
63841	HK Bank - HSI R Bear CBBC Oct 2018 W	100,000,000	2018/05/23	2018/10/30	25.00
63853	HK Bank - Sands China R Bear CBBC Nov 2018 A	40,000,000	2018/05/23	2018/11/05	10.00
63796	HK Bank - Tencent R Bear CBBC Oct 2018 S	50,000,000	2018/05/23	2018/10/22	12.50
63980	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/05/23	2019/11/28	50.00
63998	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 X	200,000,000	2018/05/23	2019/11/28	50.00
63979	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/05/23	2019/12/30	50.00
63994	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 K	200,000,000	2018/05/23	2019/12/30	50.00
63999	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/05/23	2018/08/30	50.00
64009	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/05/23	2018/08/30	50.00
64011	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 W	200,000,000	2018/05/23	2018/08/30	50.00
63990	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 C	50,000,000	2018/05/23	2018/09/14	12.50
63992	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 D	50,000,000	2018/05/23	2018/09/21	12.50
63873	Macquarie Bank Ltd. - CM Bank R Bull CBBC Mar 2019 A	10,200,000	2018/05/23	2019/03/14	10.01
63876	Macquarie Bank Ltd. - CM Bank R Bear CBBC Mar 2019 A	7,300,000	2018/05/23	2019/03/14	10.00
63794	Macquarie Bank Ltd. - Galaxy Ent R Bull CBBC Jan 2019 A	34,500,000	2018/05/23	2019/01/30	10.01
63792	Macquarie Bank Ltd. - Galaxy Ent R Bear CBBC Jan 2019 A	34,500,000	2018/05/23	2019/01/30	10.01
63881	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 D	3,200,000	2018/05/23	2018/12/14	10.24
64205	SG Issuer - AAC Tech R Bull CBBC Dec 2018 D	40,000,000	2018/05/23	2018/12/24	10.00
64206	SG Issuer - HKEX R Bull CBBC Oct 2018 F	50,000,000	2018/05/23	2018/10/29	12.50
64165	SG Issuer - HSBC R Bull CBBC Nov 2018 X	100,000,000	2018/05/23	2018/11/30	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64148	SG Issuer - HSI R Bull CBBC Sep 2019 P	200,000,000	2018/05/23	2019/09/27	50.00
64153	SG Issuer - HSI R Bull CBBC Sep 2019 Q	200,000,000	2018/05/23	2019/09/27	50.00
64160	SG Issuer - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/05/23	2019/12/30	50.00
64162	SG Issuer - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/05/23	2019/12/30	50.00
64195	SG Issuer - HSI R Bear CBBC Aug 2018 E	200,000,000	2018/05/23	2018/08/30	50.00
64202	SG Issuer - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/05/23	2018/09/27	50.00
64219	SG Issuer - NCI R Bull CBBC Apr 2019 A	80,000,000	2018/05/23	2019/04/16	20.00
64167	SG Issuer - Ping An R Bear CBBC Sep 2018 F	100,000,000	2018/05/23	2018/09/28	25.00
64174	SG Issuer - Sunny Optical R Bear CBBC Sep 2018 A	40,000,000	2018/05/23	2018/09/28	10.00
64178	SG Issuer - Tencent R Bull CBBC Aug 2018 P	80,000,000	2018/05/23	2018/08/30	20.00
64182	SG Issuer - Tencent R Bull CBBC Aug 2018 U	80,000,000	2018/05/23	2018/08/31	20.00
64221	SG Issuer - Tencent R Bull CBBC Aug 2018 V	80,000,000	2018/05/23	2018/08/31	20.00
64227	SG Issuer - Tencent R Bull CBBC Sep 2018 B	80,000,000	2018/05/23	2018/09/05	20.00
64190	SG Issuer - Tencent R Bull CBBC Sep 2018 M	80,000,000	2018/05/23	2018/09/06	20.00
64226	SG Issuer - Tencent R Bull CBBC Sep 2018 N	80,000,000	2018/05/23	2018/09/04	20.00
64225	SG Issuer - Tencent R Bear CBBC Sep 2018 R	80,000,000	2018/05/23	2018/09/03	20.00
63939	UBS AG - A50 China R Bull CBBC Oct 2018 E	50,000,000	2018/05/23	2018/10/22	12.50
63937	UBS AG - HSCEI R Bull CBBC Oct 2019 F	100,000,000	2018/05/23	2019/10/30	25.00
63897	UBS AG - HSI R Bull CBBC Oct 2019 J	250,000,000	2018/05/23	2019/10/30	62.50
63903	UBS AG - HSI R Bull CBBC Nov 2019 F	250,000,000	2018/05/23	2019/11/28	62.50
63884	UBS AG - HSI R Bull CBBC Nov 2019 N	250,000,000	2018/05/23	2019/11/28	62.50
63904	UBS AG - HSI R Bull CBBC Dec 2019 H	250,000,000	2018/05/23	2019/12/30	62.50
63915	UBS AG - HSI R Bull CBBC Dec 2019 I	200,000,000	2018/05/23	2019/12/30	50.00
63947	UBS AG - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/23	2018/08/30	50.00
63955	UBS AG - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/05/23	2018/08/30	50.00
63971	UBS AG - HSI R Bear CBBC Aug 2018 Y	200,000,000	2018/05/23	2018/08/30	50.00
63942	UBS AG - HSI R Bear CBBC Sep 2018 A	250,000,000	2018/05/23	2018/09/27	62.50
63959	UBS AG - Tencent R Bear CBBC Aug 2018 I	30,000,000	2018/05/23	2018/08/27	10.20
63865	Bank Vontobel - HKEX R Bull CBBC Oct 2018 C	40,000,000	2018/05/23	2018/10/12	10.20
63866	Bank Vontobel - Ping An R Bull CBBC Oct 2018 C	40,000,000	2018/05/23	2018/10/19	10.16
63863	Bank Vontobel - Tencent R Bull CBBC Dec 2018 G	40,000,000	2018/05/23	2018/12/07	10.24
64017	Bank Vontobel - Tencent R Bear CBBC Sep 2018 B	40,000,000	2018/05/23	2018/09/14	10.08
64362	Credit Suisse AG - CNOOC R Bull CBBC Dec 2018 D	50,000,000	2018/05/24	2018/12/21	12.50
64359	Credit Suisse AG - HKEX R Bear CBBC Dec 2018 C	50,000,000	2018/05/24	2018/12/28	12.50
64353	Credit Suisse AG - HSI R Bull CBBC Sep 2019 L	200,000,000	2018/05/24	2019/09/27	50.00
64352	Credit Suisse AG - HSI R Bull CBBC Sep 2019 M	200,000,000	2018/05/24	2019/09/27	50.00
64356	Credit Suisse AG - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/05/24	2019/12/30	50.00
64368	Credit Suisse AG - HSI R Bear CBBC Aug 2018 V	200,000,000	2018/05/24	2018/08/30	50.00
64370	Credit Suisse AG - HSI R Bear CBBC Aug 2018 W	200,000,000	2018/05/24	2018/08/30	50.00
64357	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 T	50,000,000	2018/05/24	2018/12/14	12.50
64400	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Feb 2019 A	100,000,000	2018/05/24	2019/02/25	25.00
64229	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/05/24	2019/11/28	50.00
64241	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/05/24	2019/11/28	50.00
64350	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/05/24	2018/09/27	50.00
64324	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 H	100,000,000	2018/05/24	2018/11/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64325	HK Bank - HSI R Bull CBBC Mar 2019 F	100,000,000	2018/05/24	2019/03/28	25.00
64245	HK Bank - HSI R Bull CBBC Mar 2019 H	100,000,000	2018/05/24	2019/03/28	25.00
64327	HK Bank - HSI R Bear CBBC Sep 2018 Q	150,000,000	2018/05/24	2018/09/27	37.50
64336	HK Bank - Ping An R Bull CBBC Dec 2018 B	100,000,000	2018/05/24	2018/12/24	25.00
64330	HK Bank - Sunny Optical R Bull CBBC Nov 2018 C	40,000,000	2018/05/24	2018/11/26	10.00
64246	HK Bank - Tencent R Bull CBBC Nov 2018 L	60,000,000	2018/05/24	2018/11/05	15.00
64392	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/05/24	2018/08/30	50.00
64391	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Z	200,000,000	2018/05/24	2018/08/30	50.00
64390	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 E	50,000,000	2018/05/24	2018/09/14	12.50
64340	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 E	13,500,000	2018/05/24	2018/12/14	10.02
64347	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 F	2,100,000	2018/05/24	2018/12/14	10.02
64341	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 E	13,100,000	2018/05/24	2018/12/14	10.03
64490	SG Issuer - Galaxy Ent R Bull CBBC Oct 2018 G	80,000,000	2018/05/24	2018/10/31	20.00
64484	SG Issuer - HKEX R Bear CBBC Sep 2018 J	50,000,000	2018/05/24	2018/09/21	12.50
64474	SG Issuer - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/05/24	2019/10/30	50.00
64489	SG Issuer - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/24	2018/08/30	50.00
64476	SG Issuer - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/05/24	2018/09/27	50.00
64480	SG Issuer - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/05/24	2018/10/30	50.00
64481	SG Issuer - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/05/24	2018/10/30	50.00
64487	SG Issuer - Ping An R Bull CBBC Oct 2018 U	100,000,000	2018/05/24	2018/10/24	25.00
64495	SG Issuer - Sunny Optical R Bear CBBC Dec 2018 A	40,000,000	2018/05/24	2018/12/28	10.00
64452	SG Issuer - Tencent R Bull CBBC Aug 2018 F	80,000,000	2018/05/24	2018/08/30	20.00
64455	SG Issuer - Tencent R Bull CBBC Aug 2018 L	80,000,000	2018/05/24	2018/08/31	20.00
64458	SG Issuer - Tencent R Bull CBBC Sep 2018 F	80,000,000	2018/05/24	2018/09/03	20.00
64470	SG Issuer - Tencent R Bull CBBC Sep 2018 T	80,000,000	2018/05/24	2018/09/04	20.00
64488	SG Issuer - Tencent R Bear CBBC Aug 2018 Z	80,000,000	2018/05/24	2018/08/31	20.00
64373	UBS AG - HSI R Bull CBBC Oct 2019 W	250,000,000	2018/05/24	2019/10/30	62.50
64380	UBS AG - HSI R Bear CBBC Aug 2018 W	200,000,000	2018/05/24	2018/08/30	50.00
64387	UBS AG - HSI R Bear CBBC Aug 2018 X	250,000,000	2018/05/24	2018/08/30	62.50
64377	UBS AG - HSI R Bear CBBC Sep 2018 B	250,000,000	2018/05/24	2018/09/27	62.50
64381	UBS AG - HSI R Bear CBBC Sep 2018 C	250,000,000	2018/05/24	2018/09/27	62.50
64386	UBS AG - NCI R Bull CBBC Jan 2019 A	50,000,000	2018/05/24	2019/01/28	12.50
64375	UBS AG - Tencent R Bull CBBC Aug 2018 F	30,000,000	2018/05/24	2018/08/27	10.20
64288	Bank Vontobel - CC Bank R Bull CBBC Oct 2018 A	40,000,000	2018/05/24	2018/10/19	10.12
64290	Bank Vontobel - CC Bank R Bull CBBC Oct 2018 B	40,000,000	2018/05/24	2018/10/19	10.16
64291	Bank Vontobel - CC Bank R Bear CBBC Nov 2018 A	40,000,000	2018/05/24	2018/11/16	10.08
64305	Bank Vontobel - CC Bank R Bear CBBC Nov 2018 B	40,000,000	2018/05/24	2018/11/16	10.20
64278	Bank Vontobel - HSI R Bull CBBC Dec 2018 Y	100,000,000	2018/05/24	2018/12/28	25.50
64283	Bank Vontobel - HSI R Bull CBBC Jan 2019 A	100,000,000	2018/05/24	2019/01/30	25.40
64284	Bank Vontobel - HSI R Bear CBBC Nov 2018 G	100,000,000	2018/05/24	2018/11/29	25.30
64272	Bank Vontobel - Tencent R Bull CBBC Dec 2018 H	40,000,000	2018/05/24	2018/12/07	10.12
64499	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 V	100,000,000	2018/05/25	2018/11/29	25.00
64497	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Nov 2018 G	200,000,000	2018/05/25	2018/11/29	50.00
64496	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 J	50,000,000	2018/05/25	2018/11/29	17.50
64632	Credit Suisse AG - ABC R Bull CBBC Nov 2018 B	70,000,000	2018/05/25	2018/11/29	17.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64628	Credit Suisse AG - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/05/25	2019/12/30	50.00
64629	Credit Suisse AG - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/05/25	2018/08/30	50.00
64635	Credit Suisse AG - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/05/25	2018/09/27	50.00
64640	Credit Suisse AG - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/05/25	2018/10/30	50.00
64630	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 U	50,000,000	2018/05/25	2018/12/21	12.50
64694	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 C	100,000,000	2018/05/25	2018/12/31	25.00
64699	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 S	100,000,000	2018/05/25	2018/12/21	25.00
64664	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 F	200,000,000	2018/05/25	2019/11/28	50.00
64655	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/05/25	2018/09/27	50.00
64697	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 I	100,000,000	2018/05/25	2018/11/30	25.00
64690	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Nov 2018 B	80,000,000	2018/05/25	2018/11/30	20.00
64546	HK Bank - AAC Tech R Bull CBBC Nov 2018 D	40,000,000	2018/05/25	2018/11/19	10.00
64545	HK Bank - Galaxy Ent R Bull CBBC Nov 2018 C	80,000,000	2018/05/25	2018/11/05	20.00
64538	HK Bank - HSI R Bull CBBC Mar 2019 G	100,000,000	2018/05/25	2019/03/28	25.00
64544	HK Bank - HSI R Bear CBBC Sep 2018 O	100,000,000	2018/05/25	2018/09/27	25.00
64535	HK Bank - Tencent R Bull CBBC Nov 2018 M	60,000,000	2018/05/25	2018/11/01	15.00
64547	HK Bank - Tencent R Bull CBBC Nov 2018 N	60,000,000	2018/05/25	2018/11/12	21.00
64537	HK Bank - Tencent R Bear CBBC Oct 2018 T	50,000,000	2018/05/25	2018/10/29	12.50
64523	Haitong Int'l Sec - China Mobile R Bull CBBC Nov 2018 B	110,000,000	2018/05/25	2018/11/12	27.50
64508	Haitong Int'l Sec - COVS R Bull CBBC Nov 2018 A	40,000,000	2018/05/25	2018/11/12	11.12
64521	Haitong Int'l Sec - CC Bank R Bull CBBC Nov 2018 A	100,000,000	2018/05/25	2018/11/12	25.00
64517	Haitong Int'l Sec - CNOOC R Bull CBBC Nov 2018 C	60,000,000	2018/05/25	2018/11/12	15.00
64504	Haitong Int'l Sec - HKEX R Bull CBBC Nov 2018 B	40,000,000	2018/05/25	2018/11/09	10.00
64507	Haitong Int'l Sec - HKEX R Bull CBBC Nov 2018 C	40,000,000	2018/05/25	2018/11/12	10.00
64524	Haitong Int'l Sec - Ping An R Bull CBBC Nov 2018 C	25,000,000	2018/05/25	2018/11/09	10.63
64527	Haitong Int'l Sec - Sunny Optical R Bull CBBC Nov 2018 C	60,000,000	2018/05/25	2018/11/13	15.00
64512	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 D	40,000,000	2018/05/25	2018/11/12	10.00
64513	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 E	40,000,000	2018/05/25	2018/11/13	10.00
64574	J P Morgan SP BV - HKEX R Bear CBBC Sep 2018 A	40,000,000	2018/05/25	2018/09/14	10.00
64585	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 L	200,000,000	2018/05/25	2018/08/30	50.00
64571	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 G	50,000,000	2018/05/25	2018/09/14	12.50
64549	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 G	13,000,000	2018/05/25	2018/12/14	10.08
64550	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 F	12,100,000	2018/05/25	2018/12/14	10.01
64591	SG Issuer - CNOOC R Bull CBBC Nov 2018 C	40,000,000	2018/05/25	2018/11/28	10.00
64595	SG Issuer - CNOOC R Bear CBBC Dec 2018 C	40,000,000	2018/05/25	2018/12/21	10.00
64588	SG Issuer - HSI R Bull CBBC Aug 2019 T	200,000,000	2018/05/25	2019/08/29	50.00
64613	SG Issuer - HSI R Bull CBBC Sep 2019 H	200,000,000	2018/05/25	2019/09/27	50.00
64627	SG Issuer - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/05/25	2019/10/30	50.00
64625	SG Issuer - HSI R Bear CBBC Nov 2018 F	200,000,000	2018/05/25	2018/11/29	50.00
64626	SG Issuer - HSI R Bear CBBC Nov 2018 G	200,000,000	2018/05/25	2018/11/29	50.00
64602	SG Issuer - Sands China R Bull CBBC Feb 2019 A	60,000,000	2018/05/25	2019/02/11	15.00
64615	SG Issuer - Tencent R Bull CBBC Sep 2018 Q	80,000,000	2018/05/25	2018/09/04	20.00
64617	SG Issuer - Tencent R Bull CBBC Sep 2018 S	80,000,000	2018/05/25	2018/09/06	20.00
64598	SG Issuer - Tencent R Bear CBBC Aug 2018 A	80,000,000	2018/05/25	2018/08/31	20.40
64603	SG Issuer - Tencent R Bear CBBC Aug 2018 B	80,000,000	2018/05/25	2018/08/31	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64600	SG Issuer - Tencent R Bear CBBC Sep 2018 S	80,000,000	2018/05/25	2018/09/28	25.20
64557	UBS AG - HSI R Bull CBBC Sep 2019 C	250,000,000	2018/05/25	2019/09/27	62.50
64563	UBS AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/25	2018/08/30	50.00
64553	UBS AG - Tencent R Bull CBBC Sep 2018 Q	30,000,000	2018/05/25	2018/09/21	10.20
64551	UBS AG - Tencent R Bear CBBC Aug 2018 J	30,000,000	2018/05/25	2018/08/29	10.20
64500	Bank Vontobel - Tencent R Bull CBBC Dec 2018 I	40,000,000	2018/05/25	2018/12/07	10.20
64767	BOCI Asia Ltd. - CC Bank R Bear CBBC Sep 2018 A	70,000,000	2018/05/28	2018/09/28	17.50
64761	BOCI Asia Ltd. - Tencent R Bear CBBC Sep 2018 A	40,000,000	2018/05/28	2018/09/28	10.08
64828	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/05/28	2019/12/30	62.50
64702	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 U	250,000,000	2018/05/28	2018/12/28	62.50
64824	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 V	250,000,000	2018/05/28	2018/12/28	62.50
64806	Credit Suisse AG - HSI R Bull CBBC Nov 2019 B	200,000,000	2018/05/28	2019/11/28	50.00
64797	Credit Suisse AG - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/05/28	2019/11/28	50.00
64801	Credit Suisse AG - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/05/28	2018/08/30	50.00
64798	Credit Suisse AG - HSI R Bear CBBC Aug 2018 Z	200,000,000	2018/05/28	2018/08/30	50.00
64805	Credit Suisse AG - PetroChina R Bull CBBC Dec 2018 D	50,000,000	2018/05/28	2018/12/20	12.50
64800	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 W	80,000,000	2018/05/28	2018/12/21	20.00
64803	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 V	50,000,000	2018/05/28	2018/12/28	12.50
64869	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Dec 2018E	100,000,000	2018/05/28	2018/12/03	25.00
64870	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Nov 2018 U	100,000,000	2018/05/28	2018/11/30	25.00
64859	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/05/28	2019/12/30	50.00
64703	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/05/28	2018/09/27	50.00
64760	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 J	100,000,000	2018/05/28	2018/11/30	25.00
64860	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 A	100,000,000	2018/05/28	2019/02/27	25.00
64746	HK Bank - Country Garden R Bull CBBC Nov 2018 G	40,000,000	2018/05/28	2018/11/19	10.00
64723	HK Bank - CNOOC R Bull CBBC Oct 2018 O	50,000,000	2018/05/28	2018/10/15	12.50
64745	HK Bank - CNOOC R Bear CBBC Nov 2018 C	40,000,000	2018/05/28	2018/11/26	10.00
64715	HK Bank - Sinopec Corp R Bull CBBC Nov 2018 C	80,000,000	2018/05/28	2018/11/19	20.00
64734	HK Bank - HSI R Bull CBBC Mar 2019 I	100,000,000	2018/05/28	2019/03/28	25.00
64735	HK Bank - HSI R Bear CBBC Sep 2018 R	100,000,000	2018/05/28	2018/09/27	25.00
64712	HK Bank - PetroChina R Bull CBBC Oct 2018 O	80,000,000	2018/05/28	2018/10/29	20.00
64710	HK Bank - Tencent R Bull CBBC Oct 2018 H	60,000,000	2018/05/28	2018/10/04	15.00
64855	J P Morgan SP BV - HKEX R Bull CBBC Sep 2018 C	40,000,000	2018/05/28	2018/09/14	10.00
64829	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2019 B	100,000,000	2018/05/28	2019/04/29	25.00
64830	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2019 C	100,000,000	2018/05/28	2019/04/29	25.00
64833	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2019 D	100,000,000	2018/05/28	2019/04/29	25.00
64848	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/28	2018/08/30	50.00
64834	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/05/28	2018/08/30	50.00
64835	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 X	200,000,000	2018/05/28	2018/08/30	50.00
64853	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 F	50,000,000	2018/05/28	2018/09/14	12.50
64753	Macquarie Bank Ltd. - Tencent R Bull CBBC Sep 2018 H	20,900,000	2018/05/28	2018/09/14	10.03
64768	Macquarie Bank Ltd. - Tencent R Bull CBBC Sep 2018 I	22,100,000	2018/05/28	2018/09/20	10.03
64788	SG Issuer - HKEX R Bull CBBC Sep 2018 S	50,000,000	2018/05/28	2018/09/27	12.50
64796	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/05/28	2019/11/28	50.00
64769	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/05/28	2018/09/27	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64776	SG Issuer - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/05/28	2018/09/27	50.00
64782	SG Issuer - PetroChina R Bear CBBC Dec 2018 B	40,000,000	2018/05/28	2018/12/28	10.00
64787	SG Issuer - Tencent R Bull CBBC Aug 2018 N	80,000,000	2018/05/28	2018/08/31	20.00
64791	SG Issuer - Tencent R Bull CBBC Sep 2018 C	80,000,000	2018/05/28	2018/09/14	20.00
64792	SG Issuer - Tencent R Bear CBBC Sep 2018 T	80,000,000	2018/05/28	2018/09/28	21.20
64815	UBS AG - CNOOC R Bull CBBC Nov 2018 D	40,000,000	2018/05/28	2018/11/19	10.00
64821	UBS AG - HSI R Bull CBBC Oct 2018 L	250,000,000	2018/05/28	2018/10/30	62.50
64807	UBS AG - HSI R Bear CBBC Aug 2018 U	250,000,000	2018/05/28	2018/08/30	62.50
64817	UBS AG - Petrochina R Bull CBBC Nov 2018 F	60,000,000	2018/05/28	2018/11/14	15.00
64819	UBS AG - Petrochina R Bull CBBC Nov 2018 G	60,000,000	2018/05/28	2018/11/21	15.00
64820	UBS AG - Petrochina R Bear CBBC Oct 2018 C	40,000,000	2018/05/28	2018/10/22	10.00
64809	UBS AG - Tencent R Bull CBBC Sep 2018 R	30,000,000	2018/05/28	2018/09/04	10.20
64812	UBS AG - Tencent R Bull CBBC Sep 2018 S	30,000,000	2018/05/28	2018/09/18	10.20
64913	BOCI Asia Ltd. - HKEX R Bull CBBC Sep 2018 C	40,000,000	2018/05/29	2018/09/21	10.00
64906	BOCI Asia Ltd. - HSBC R Bull CBBC Sep 2018 A	70,000,000	2018/05/29	2018/09/28	17.50
64909	BOCI Asia Ltd. - HSBC R Bear CBBC Sep 2018 A	70,000,000	2018/05/29	2018/09/27	17.64
64915	BOCI Asia Ltd. - Ping An R Bull CBBC Sep 2018 B	70,000,000	2018/05/29	2018/09/26	17.57
64910	BOCI Asia Ltd. - Tencent R Bull CBBC Sep 2018 A	40,000,000	2018/05/29	2018/09/28	16.92
64916	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 A	250,000,000	2018/05/29	2019/12/30	62.50
64921	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 B	250,000,000	2018/05/29	2019/12/30	62.50
64923	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 C	250,000,000	2018/05/29	2019/12/30	62.50
64925	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 T	250,000,000	2018/05/29	2019/12/30	62.50
64929	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 E	250,000,000	2018/05/29	2018/12/28	62.50
64934	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 F	250,000,000	2018/05/29	2018/12/28	62.50
64935	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 G	250,000,000	2018/05/29	2018/12/28	62.50
64943	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 H	250,000,000	2018/05/29	2018/12/28	62.50
64945	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 I	250,000,000	2018/05/29	2018/12/28	62.50
64946	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 J	250,000,000	2018/05/29	2018/12/28	62.50
64947	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 K	250,000,000	2018/05/29	2018/12/28	62.50
64948	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 L	250,000,000	2018/05/29	2018/12/28	62.50
64951	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 M	250,000,000	2018/05/29	2018/12/28	67.50
64953	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 N	250,000,000	2018/05/29	2018/12/28	80.00
64872	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 W	250,000,000	2018/05/29	2018/12/28	62.50
64873	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 X	250,000,000	2018/05/29	2018/12/28	62.50
64880	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 Y	250,000,000	2018/05/29	2018/12/28	62.50
64928	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 Z	250,000,000	2018/05/29	2018/12/28	62.50
65028	Credit Suisse AG - AIA R Bull CBBC Jan 2019 D	70,000,000	2018/05/29	2019/01/30	17.50
65022	Credit Suisse AG - Sinopec Corp R Bull CBBC Jan 2019 B	60,000,000	2018/05/29	2019/01/30	15.00
65024	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 I	80,000,000	2018/05/29	2018/12/14	20.00
65014	Credit Suisse AG - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/05/29	2019/11/28	50.00
65015	Credit Suisse AG - HSI R Bull CBBC Nov 2019 D	200,000,000	2018/05/29	2019/11/28	50.00
65016	Credit Suisse AG - HSI R Bull CBBC Nov 2019 E	200,000,000	2018/05/29	2019/11/28	50.00
65000	Credit Suisse AG - HSI R Bear CBBC Aug 2018 J	200,000,000	2018/05/29	2018/08/30	50.00
65006	Credit Suisse AG - HSI R Bear CBBC Aug 2018 K	200,000,000	2018/05/29	2018/08/30	50.00
64998	Credit Suisse AG - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/05/29	2018/09/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65007	Credit Suisse AG - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/05/29	2018/10/30	50.00
65021	Credit Suisse AG - PetroChina R Bull CBBC Nov 2018 E	50,000,000	2018/05/29	2018/11/29	12.50
65032	Credit Suisse AG - Sands China R Bull CBBC Jan 2019 B	50,000,000	2018/05/29	2019/01/18	12.50
65074	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 W	50,000,000	2018/05/29	2018/12/13	12.50
64995	Bank of East Asia - AAC Tech R Bull CBBC Feb 2019 A	40,000,000	2018/05/29	2019/02/19	10.00
64985	Bank of East Asia - AIA R Bull CBBC Feb 2019 A	40,000,000	2018/05/29	2019/02/19	10.00
64994	Bank of East Asia - HKEX R Bull CBBC Feb 2019 B	40,000,000	2018/05/29	2019/02/19	10.00
64977	Bank of East Asia - Ping An R Bull CBBC Feb 2019 A	120,000,000	2018/05/29	2019/02/19	30.00
64989	Bank of East Asia - Tencent R Bull CBBC Feb 2019 C	30,000,000	2018/05/29	2019/02/19	10.50
64991	Bank of East Asia - Tencent R Bull CBBC Feb 2019 D	30,000,000	2018/05/29	2019/02/19	10.50
65056	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Feb 2019 A	100,000,000	2018/05/29	2019/02/27	25.00
65055	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 D	100,000,000	2018/05/29	2018/12/31	25.00
65034	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 S	200,000,000	2018/05/29	2020/01/30	50.00
65040	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 T	200,000,000	2018/05/29	2020/01/30	50.00
65043	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 U	200,000,000	2018/05/29	2020/01/30	50.00
64894	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/05/29	2018/10/30	50.00
64895	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 I	200,000,000	2018/05/29	2018/10/30	50.00
64898	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/05/29	2018/10/30	50.00
64902	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/05/29	2018/10/30	50.00
65048	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jan 2019 A	100,000,000	2018/05/29	2019/01/31	25.00
65046	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 K	100,000,000	2018/05/29	2018/11/30	25.00
65057	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 L	100,000,000	2018/05/29	2018/11/30	25.00
65128	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 P	80,000,000	2018/05/29	2018/12/31	20.00
64961	HK Bank - AAC Tech R Bull CBBC Nov 2018 E	40,000,000	2018/05/29	2018/11/05	10.00
64954	HK Bank - Sinopec Corp R Bear CBBC Oct 2018 C	40,000,000	2018/05/29	2018/10/29	10.00
64966	HK Bank - Galaxy Ent R Bull CBBC Nov 2018 D	80,000,000	2018/05/29	2018/11/12	20.00
64967	HK Bank - HKEX R Bull CBBC Oct 2018 K	50,000,000	2018/05/29	2018/10/04	12.50
64963	HK Bank - HSI R Bull CBBC Mar 2019 J	150,000,000	2018/05/29	2019/03/28	37.50
64964	HK Bank - HSI R Bear CBBC Sep 2018 Z	100,000,000	2018/05/29	2018/09/27	25.00
64968	HK Bank - Tencent R Bull CBBC Nov 2018 O	60,000,000	2018/05/29	2018/11/08	15.00
65112	J P Morgan SP BV - AIA R Bull CBBC Sep 2018 C	100,000,000	2018/05/29	2018/09/14	25.00
65111	J P Morgan SP BV - HKEX R Bull CBBC Sep 2018 D	40,000,000	2018/05/29	2018/09/14	10.00
65108	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 Q	200,000,000	2018/05/29	2019/10/30	50.00
65110	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/05/29	2019/11/28	50.00
65104	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Y	200,000,000	2018/05/29	2019/11/28	50.00
65109	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/29	2019/12/30	50.00
65103	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/05/29	2019/12/30	50.00
65078	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 D	200,000,000	2018/05/29	2018/08/30	50.00
65091	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 K	200,000,000	2018/05/29	2018/08/30	60.00
65075	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/29	2018/08/30	50.00
65077	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 R	200,000,000	2018/05/29	2018/08/30	50.00
65080	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/29	2018/08/30	50.00
65084	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 U	200,000,000	2018/05/29	2018/08/30	60.00
65081	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/05/29	2018/09/27	60.00
64971	Macquarie Bank Ltd. - Tencent R Bull CBBC Sep 2018 J	23,700,000	2018/05/29	2018/09/14	10.03

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65064	SG Issuer - AAC Tech R Bull CBBC Dec 2018 E	40,000,000	2018/05/29	2018/12/06	10.00
65060	SG Issuer - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/05/29	2019/10/30	50.00
65061	SG Issuer - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/05/29	2019/12/30	50.00
64882	SG Issuer - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/29	2018/08/30	50.00
64885	SG Issuer - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/29	2018/08/30	50.00
64891	SG Issuer - HSI R Bear CBBC Oct 2018 A	200,000,000	2018/05/29	2018/10/30	50.00
64892	SG Issuer - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/05/29	2018/10/30	50.00
64886	SG Issuer - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/05/29	2018/10/30	50.00
64887	SG Issuer - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/05/29	2018/10/30	50.00
64889	SG Issuer - HSI R Bear CBBC Oct 2018 R	200,000,000	2018/05/29	2018/10/30	50.00
65072	SG Issuer - PetroChina R Bull CBBC Nov 2018 H	40,000,000	2018/05/29	2018/11/08	10.00
65067	SG Issuer - Tencent R Bull CBBC Aug 2018 W	80,000,000	2018/05/29	2018/08/31	20.00
65066	SG Issuer - Tencent R Bull CBBC Sep 2018 O	80,000,000	2018/05/29	2018/09/10	20.00
65070	SG Issuer - Tencent R Bear CBBC Sep 2018 U	80,000,000	2018/05/29	2018/09/06	20.00
65125	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2018 J	50,000,000	2018/05/29	2018/10/25	12.50
65121	UBS AG - HSI R Bull CBBC Oct 2019 X	250,000,000	2018/05/29	2019/10/30	62.50
65122	UBS AG - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/05/29	2019/11/28	50.00
65124	UBS AG - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/05/29	2019/12/30	50.00
65118	UBS AG - HSI R Bear CBBC Aug 2018 D	200,000,000	2018/05/29	2018/08/30	50.00
65115	UBS AG - HSI R Bear CBBC Aug 2018 F	200,000,000	2018/05/29	2018/08/30	50.00
65117	UBS AG - HSI R Bear CBBC Aug 2018 G	250,000,000	2018/05/29	2018/08/30	62.50
65177	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 M	250,000,000	2018/05/30	2019/12/30	62.50
65155	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Y	250,000,000	2018/05/30	2019/12/30	62.50
65178	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 O	250,000,000	2018/05/30	2018/12/28	62.50
65180	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 P	250,000,000	2018/05/30	2018/12/28	62.50
65181	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 Q	250,000,000	2018/05/30	2018/12/28	62.50
65286	Credit Suisse AG - HSI R Bull CBBC Sep 2019 N	200,000,000	2018/05/30	2019/09/27	50.00
65266	Credit Suisse AG - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/05/30	2019/10/30	50.00
65267	Credit Suisse AG - HSI R Bull CBBC Oct 2019 G	200,000,000	2018/05/30	2019/10/30	50.00
65291	Credit Suisse AG - HSI R Bull CBBC Nov 2019 F	200,000,000	2018/05/30	2019/11/28	50.00
65272	Credit Suisse AG - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/05/30	2019/12/30	50.00
65295	Credit Suisse AG - HSI R Bear CBBC Aug 2018 G	200,000,000	2018/05/30	2018/08/30	50.00
65298	Credit Suisse AG - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/30	2018/08/30	50.00
65303	Credit Suisse AG - HSI R Bear CBBC Aug 2018 N	200,000,000	2018/05/30	2018/08/30	50.00
65301	Credit Suisse AG - HSI R Bear CBBC Aug 2018 S	200,000,000	2018/05/30	2018/08/30	50.00
65294	Credit Suisse AG - HSI R Bear CBBC Aug 2018 T	200,000,000	2018/05/30	2018/08/30	50.00
65278	Credit Suisse AG - Ping An R Bear CBBC Dec 2018 C	50,000,000	2018/05/30	2018/12/28	12.50
65356	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Mar 2019A	100,000,000	2018/05/30	2019/03/28	25.00
65208	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 E	100,000,000	2018/05/30	2018/12/31	25.00
65211	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 T	100,000,000	2018/05/30	2018/12/31	25.00
65190	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 V	200,000,000	2018/05/30	2020/01/30	50.00
65191	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 W	200,000,000	2018/05/30	2020/01/30	50.00
65231	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 X	200,000,000	2018/05/30	2020/01/30	50.00
65369	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 R	200,000,000	2018/05/30	2018/09/27	50.00
65380	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/05/30	2018/09/27	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65381	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/05/30	2018/09/27	50.00
65205	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 F	100,000,000	2018/05/30	2018/12/31	25.00
65209	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 M	100,000,000	2018/05/30	2018/11/30	25.00
65161	HK Bank - ABC R Bull CBBC Dec 2018 A	100,000,000	2018/05/30	2018/12/17	25.00
65165	HK Bank - AIA R Bear CBBC Oct 2018 E	40,000,000	2018/05/30	2018/10/22	10.00
65167	HK Bank - CSOP A50 ETF R Bear CBBC Oct 2018 A	40,000,000	2018/05/30	2018/10/02	10.00
65172	HK Bank - A50 China R Bear CBBC Oct 2018 A	40,000,000	2018/05/30	2018/10/02	10.00
65170	HK Bank - HSCEI R Bear CBBC Sep 2018 F	50,000,000	2018/05/30	2018/09/27	12.50
65168	HK Bank - HSI R Bull CBBC Mar 2019 D	100,000,000	2018/05/30	2019/03/28	25.00
65131	HK Bank - HSI R Bull CBBC Mar 2019 K	150,000,000	2018/05/30	2019/03/28	37.50
65173	HK Bank - HSI R Bear CBBC Sep 2018 G	150,000,000	2018/05/30	2018/09/27	37.50
65162	HK Bank - Tencent R Bull CBBC Nov 2018 P	60,000,000	2018/05/30	2018/11/15	15.00
65250	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 V	200,000,000	2018/05/30	2019/10/30	50.00
65247	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/05/30	2019/11/28	50.00
65238	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/05/30	2019/12/30	50.00
65263	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 B	200,000,000	2018/05/30	2018/08/30	50.00
65254	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Y	200,000,000	2018/05/30	2018/08/30	50.00
65259	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/05/30	2018/09/27	50.00
65264	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/05/30	2018/09/27	50.00
65153	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 H	18,800,000	2018/05/30	2018/10/16	10.04
65328	SG Issuer - ABC R Bull CBBC Feb 2019 A	60,000,000	2018/05/30	2019/02/28	15.00
65332	SG Issuer - CC Bank R Bull CBBC Oct 2018 L	100,000,000	2018/05/30	2018/10/26	25.00
65329	SG Issuer - CC Bank R Bear CBBC Sep 2018 G	80,000,000	2018/05/30	2018/09/27	20.00
65312	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 O	40,000,000	2018/05/30	2018/11/09	10.00
65313	SG Issuer - A50 China R Bull CBBC Nov 2018 I	50,000,000	2018/05/30	2018/11/23	12.50
65311	SG Issuer - HSI R Bull CBBC Sep 2019 I	200,000,000	2018/05/30	2019/09/27	50.00
65310	SG Issuer - HSI R Bull CBBC Oct 2019 D	200,000,000	2018/05/30	2019/10/30	50.00
65306	SG Issuer - HSI R Bull CBBC Oct 2019 L	200,000,000	2018/05/30	2019/10/30	50.00
65327	SG Issuer - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/05/30	2019/11/28	50.00
65308	SG Issuer - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/05/30	2019/12/30	50.00
65309	SG Issuer - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/05/30	2019/12/30	50.00
65320	SG Issuer - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/05/30	2018/10/30	50.00
65323	SG Issuer - HSI R Bear CBBC Dec 2018 F	200,000,000	2018/05/30	2018/12/28	50.00
65337	SG Issuer - ICBC R Bull CBBC Nov 2018 E	60,000,000	2018/05/30	2018/11/23	15.00
65335	SG Issuer - ICBC R Bear CBBC Oct 2018 D	60,000,000	2018/05/30	2018/10/22	15.00
65314	SG Issuer - Ping An R Bull CBBC Oct 2018 V	100,000,000	2018/05/30	2018/10/26	25.00
65338	SG Issuer - Tencent R Bull CBBC Aug 2018 O	80,000,000	2018/05/30	2018/08/31	20.00
65316	SG Issuer - Tencent R Bull CBBC Sep 2018 Y	80,000,000	2018/05/30	2018/09/12	20.00
65355	UBS AG - ABC R Bull CBBC Dec 2018 A	40,000,000	2018/05/30	2018/12/19	10.00
65343	UBS AG - HSI R Bull CBBC Oct 2019 N	250,000,000	2018/05/30	2019/10/30	62.50
65345	UBS AG - HSI R Bull CBBC Oct 2019 U	250,000,000	2018/05/30	2019/10/30	62.50
65344	UBS AG - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/05/30	2019/12/30	50.00
65340	UBS AG - HSI R Bull CBBC Dec 2019 M	250,000,000	2018/05/30	2019/12/30	62.50
65348	UBS AG - HSI R Bear CBBC Aug 2018 H	200,000,000	2018/05/30	2018/08/30	50.00
65351	UBS AG - HSI R Bear CBBC Aug 2018 Z	250,000,000	2018/05/30	2018/08/30	62.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65350	UBS AG - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/05/30	2018/09/27	50.00
65347	UBS AG - Tencent R Bull CBBC Sep 2018 U	30,000,000	2018/05/30	2018/09/26	10.20
65150	Bank Vontobel - HKEX R Bull CBBC Oct 2018 D	40,000,000	2018/05/30	2018/10/19	10.12
65132	Bank Vontobel - HSI R Bull CBBC Jan 2019 B	100,000,000	2018/05/30	2019/01/30	25.40
65138	Bank Vontobel - HSI R Bull CBBC Jan 2019 C	100,000,000	2018/05/30	2019/01/30	25.00
65139	Bank Vontobel - HSI R Bear CBBC Nov 2018 H	100,000,000	2018/05/30	2018/11/29	25.10
65141	Bank Vontobel - HSI R Bear CBBC Nov 2018 I	100,000,000	2018/05/30	2018/11/29	25.10
65187	Bank Vontobel - HSI R Bear CBBC Nov 2018 J	100,000,000	2018/05/30	2018/11/29	25.50
65144	Bank Vontobel - Tencent R Bull CBBC Dec 2018 J	40,000,000	2018/05/30	2018/12/07	10.08
65142	Bank Vontobel - Tencent R Bear CBBC Sep 2018 C	40,000,000	2018/05/30	2018/09/21	10.04
65430	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 D	50,000,000	2018/05/31	2019/03/28	12.50
65383	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/05/31	2019/12/30	62.50
65495	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Q	250,000,000	2018/05/31	2019/12/30	62.50
65419	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 I	50,000,000	2018/05/31	2018/09/27	12.50
65423	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 C	50,000,000	2018/05/31	2018/11/29	12.50
65461	Credit Suisse AG - AIA R Bear CBBC Jan 2019 D	50,000,000	2018/05/31	2019/01/24	12.50
65464	Credit Suisse AG - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/05/31	2019/11/28	50.00
65466	Credit Suisse AG - HSI R Bull CBBC Dec 2019 H	200,000,000	2018/05/31	2019/12/30	50.00
65443	Credit Suisse AG - HSI R Bear CBBC Aug 2018 C	200,000,000	2018/05/31	2018/08/30	50.00
65448	Credit Suisse AG - HSI R Bear CBBC Aug 2018 M	200,000,000	2018/05/31	2018/08/30	50.00
65450	Credit Suisse AG - HSI R Bear CBBC Aug 2018 P	200,000,000	2018/05/31	2018/08/30	50.00
65457	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 X	50,000,000	2018/05/31	2018/12/28	12.50
65418	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Feb 2019 B	100,000,000	2018/05/31	2019/02/25	25.00
65520	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/05/31	2019/11/28	50.00
65522	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/05/31	2019/11/28	50.00
65405	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 M	200,000,000	2018/05/31	2018/10/30	50.00
65408	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/05/31	2018/10/30	50.00
65416	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Nov 2018 N	100,000,000	2018/05/31	2018/11/30	25.00
65386	HK Bank - China Overseas R Bull CBBC Nov 2018 C	40,000,000	2018/05/31	2018/11/12	10.00
65391	HK Bank - CC Bank R Bear CBBC Oct 2018 D	50,000,000	2018/05/31	2018/10/29	12.50
65399	HK Bank - CM Bank R Bull CBBC Mar 2019 A	100,000,000	2018/05/31	2019/03/11	25.00
65388	HK Bank - Geely Auto R Bear CBBC Oct 2018 H	40,000,000	2018/05/31	2018/10/15	12.00
65398	HK Bank - HSI R Bear CBBC Sep 2018 H	150,000,000	2018/05/31	2018/09/27	37.50
65385	HK Bank - Tencent R Bull CBBC Nov 2018 Q	60,000,000	2018/05/31	2018/11/01	15.00
65488	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 D	200,000,000	2018/05/31	2019/11/28	50.00
65489	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 H	200,000,000	2018/05/31	2019/12/30	50.00
65467	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 I	200,000,000	2018/05/31	2018/08/30	50.00
65492	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 O	200,000,000	2018/05/31	2018/08/30	50.00
65493	J P Morgan SP BV - HSI R Bear CBBC Aug 2018 Q	200,000,000	2018/05/31	2018/08/30	50.00
65484	J P Morgan SP BV - PetroChina R Bull CBBC Oct 2018 C	100,000,000	2018/05/31	2018/10/19	25.00
65476	J P Morgan SP BV - Ping An R Bull CBBC Sep 2018 E	100,000,000	2018/05/31	2018/09/14	25.00
65478	J P Morgan SP BV - Ping An R Bull CBBC Oct 2018 A	100,000,000	2018/05/31	2018/10/12	25.00
65468	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 H	50,000,000	2018/05/31	2018/09/14	12.50
65475	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 G	50,000,000	2018/05/31	2018/09/14	12.50
65510	SG Issuer - HSBC R Bull CBBC Nov 2018 Y	100,000,000	2018/05/31	2018/11/28	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65516	SG Issuer - HSCEI R Bull CBBC Nov 2018 Y	100,000,000	2018/05/31	2018/11/29	25.00
65500	SG Issuer - HSI R Bull CBBC Sep 2019 J	200,000,000	2018/05/31	2019/09/27	50.00
65506	SG Issuer - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/05/31	2019/12/30	50.00
65499	SG Issuer - HSI R Bear CBBC Nov 2018 X	200,000,000	2018/05/31	2018/11/29	50.00
65505	SG Issuer - HSI R Bear CBBC Nov 2018 Y	200,000,000	2018/05/31	2018/11/29	50.00
65511	SG Issuer - Ping An R Bull CBBC Nov 2018 V	100,000,000	2018/05/31	2018/11/23	25.00
65513	SG Issuer - Sands R Bear CBBC Nov 2018 A	60,000,000	2018/05/31	2018/11/28	15.00
65501	SG Issuer - Tencent R Bull CBBC Aug 2018 B	80,000,000	2018/05/31	2018/08/31	20.00
65441	UBS AG - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/05/31	2019/11/28	50.00
65432	UBS AG - HSI R Bear CBBC Sep 2018 E	250,000,000	2018/05/31	2018/09/27	62.50
65433	UBS AG - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/05/31	2018/09/27	50.00
65439	UBS AG - Tencent R Bull CBBC Sep 2018 V	30,000,000	2018/05/31	2018/09/12	10.20
65579	BOCI Asia Ltd. - CC Bank R Bull CBBC Oct 2018 A	80,000,000	2018/06/01	2018/10/09	20.08
65575	BOCI Asia Ltd. - HKEX R Bear CBBC Oct 2018 A	40,000,000	2018/06/01	2018/10/10	10.04
65578	BOCI Asia Ltd. - HSBC R Bull CBBC Oct 2018 A	70,000,000	2018/06/01	2018/10/26	17.71
65559	BOCI Asia Ltd. - Tencent R Bull CBBC Oct 2018 A	40,000,000	2018/06/01	2018/10/11	10.40
65562	BOCI Asia Ltd. - Tencent R Bear CBBC Oct 2018 A	40,000,000	2018/06/01	2018/10/12	10.20
65582	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 R	250,000,000	2018/06/01	2018/12/28	62.50
65647	Credit Suisse AG - HSCEI R Bear CBBC Sep 2018 C	100,000,000	2018/06/01	2018/09/27	25.00
65629	Credit Suisse AG - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/06/01	2019/10/30	50.00
65627	Credit Suisse AG - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/06/01	2019/11/28	50.00
65637	Credit Suisse AG - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/06/01	2018/09/27	50.00
65639	Credit Suisse AG - HSI R Bear CBBC Sep 2018 K	200,000,000	2018/06/01	2018/09/27	50.00
65641	Credit Suisse AG - HSI R Bear CBBC Sep 2018 R	200,000,000	2018/06/01	2018/09/27	50.00
65645	Credit Suisse AG - HSI R Bear CBBC Oct 2019 A	200,000,000	2018/06/01	2019/10/30	50.00
65631	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 Y	50,000,000	2018/06/01	2018/12/21	12.50
65636	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 J	50,000,000	2018/06/01	2018/12/28	12.50
65657	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 U	100,000,000	2018/06/01	2018/12/31	25.00
65532	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/06/01	2019/11/28	50.00
65533	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/06/01	2019/11/28	50.00
65650	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 O	200,000,000	2018/06/01	2018/10/30	50.00
65655	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/06/01	2018/10/30	50.00
65535	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 B	100,000,000	2018/06/01	2019/02/27	25.00
65549	HK Bank - China Mobile R Bear CBBC Nov 2018 A	40,000,000	2018/06/01	2018/11/05	10.00
65546	HK Bank - Sinopec Corp R Bear CBBC Nov 2018 A	40,000,000	2018/06/01	2018/11/05	10.00
65536	HK Bank - HSI R Bull CBBC Mar 2019 L	150,000,000	2018/06/01	2019/03/28	37.50
65551	HK Bank - HSI R Bear CBBC Sep 2018 I	150,000,000	2018/06/01	2018/09/27	37.50
65538	HK Bank - PetroChina R Bear CBBC Nov 2018 A	40,000,000	2018/06/01	2018/11/12	10.00
65552	HK Bank - Tencent R Bear CBBC Oct 2018 U	50,000,000	2018/06/01	2018/10/22	12.50
65539	HK Bank - Tencent R Bear CBBC Nov 2018 D	50,000,000	2018/06/01	2018/11/05	15.00
65621	J P Morgan SP BV - Sinopec Corp R Bull CBBC Sep 2018 B	100,000,000	2018/06/01	2018/09/14	25.00
65616	J P Morgan SP BV - Sinopec Corp R Bull CBBC Oct 2018 A	100,000,000	2018/06/01	2018/10/12	25.00
65608	J P Morgan SP BV - HSI R Bull CBBC Dec 2018 E	200,000,000	2018/06/01	2018/12/28	50.00
65610	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/06/01	2019/12/30	50.00
65612	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/06/01	2018/09/27	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65615	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/06/01	2018/09/27	50.00
65553	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 H	14,000,000	2018/06/01	2018/12/14	10.04
65554	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 G	13,800,000	2018/06/01	2018/12/14	10.03
65663	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 N	40,000,000	2018/06/01	2018/10/19	10.00
65666	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 P	40,000,000	2018/06/01	2018/11/23	10.00
65680	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 Q	40,000,000	2018/06/01	2018/11/30	10.00
65662	SG Issuer - CSOP A50 ETF R Bear CBBC Sep 2018 E	40,000,000	2018/06/01	2018/09/28	10.00
65683	SG Issuer - A50 China R Bull CBBC Oct 2018 C	50,000,000	2018/06/01	2018/10/26	12.50
65684	SG Issuer - A50 China R Bull CBBC Nov 2018 J	50,000,000	2018/06/01	2018/11/30	12.50
65696	SG Issuer - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/06/01	2019/10/30	50.00
65659	SG Issuer - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/06/01	2019/12/30	50.00
65658	SG Issuer - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/06/01	2018/10/30	50.00
65699	SG Issuer - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/06/01	2018/10/30	50.00
65700	SG Issuer - HSI R Bear CBBC Nov 2018 E	200,000,000	2018/06/01	2018/11/29	50.00
65686	SG Issuer - Sunny Optical R Bull CBBC Oct 2018 D	40,000,000	2018/06/01	2018/10/11	10.00
65660	SG Issuer - Tencent R Bull CBBC Aug 2018 C	80,000,000	2018/06/01	2018/08/31	20.00
65693	SG Issuer - Tencent R Bull CBBC Aug 2018 J	80,000,000	2018/06/01	2018/08/31	20.00
65661	SG Issuer - Tencent R Bull CBBC Sep 2018 Z	80,000,000	2018/06/01	2018/09/14	20.00
65701	SG Issuer - Tencent R Bear CBBC Sep 2018 V	80,000,000	2018/06/01	2018/09/14	20.00
65583	UBS AG - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/06/01	2019/12/30	62.50
65598	UBS AG - HSI R Bear CBBC Sep 2018 G	250,000,000	2018/06/01	2018/09/27	62.50
65600	UBS AG - HSI R Bear CBBC Sep 2018 H	200,000,000	2018/06/01	2018/09/27	50.00
65607	UBS AG - HSI R Bear CBBC Sep 2018 I	250,000,000	2018/06/01	2018/09/27	62.50
65587	UBS AG - Tencent R Bull CBBC Sep 2018 W	30,000,000	2018/06/01	2018/09/07	10.20
65592	UBS AG - Tencent R Bull CBBC Oct 2018 D	15,000,000	2018/06/01	2018/10/15	10.05
65593	UBS AG - Tencent R Bull CBBC Oct 2018 E	30,000,000	2018/06/01	2018/10/22	11.85
65595	UBS AG - Tencent R Bear CBBC Sep 2018 B	30,000,000	2018/06/01	2018/09/17	10.20
65524	Bank Vontobel - Tencent R Bull CBBC Dec 2018 K	40,000,000	2018/06/01	2018/12/07	10.08
65530	Bank Vontobel - Tencent R Bear CBBC Sep 2018 D	40,000,000	2018/06/01	2018/09/21	10.08
65723	Credit Suisse AG - Sinopec Corp R Bull CBBC Jan 2019 C	60,000,000	2018/06/04	2019/01/18	15.00
65725	Credit Suisse AG - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/06/04	2019/10/30	50.00
65726	Credit Suisse AG - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/06/04	2019/10/30	50.00
65715	Credit Suisse AG - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/06/04	2018/09/27	50.00
65718	Credit Suisse AG - HSI R Bear CBBC Oct 2018 V	200,000,000	2018/06/04	2018/10/30	50.00
65724	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 X	80,000,000	2018/06/04	2018/12/21	20.00
65791	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 P	100,000,000	2018/06/04	2018/12/31	25.00
65793	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Feb 2019 B	100,000,000	2018/06/04	2019/02/25	25.00
65794	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 F	100,000,000	2018/06/04	2018/12/31	25.00
65813	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/06/04	2019/11/28	50.00
65804	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/06/04	2019/11/28	50.00
65704	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/06/04	2018/10/30	50.00
65702	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/06/04	2018/10/30	50.00
65789	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 L	100,000,000	2018/06/04	2018/12/31	25.00
65714	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/06/04	2019/12/30	50.00
65712	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 X	200,000,000	2018/06/04	2018/09/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65713	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/06/04	2018/09/27	50.00
65745	SG Issuer - AIA R Bull CBBC Dec 2018 K	60,000,000	2018/06/04	2018/12/21	15.00
65737	SG Issuer - CNOOC R Bull CBBC Nov 2018 D	40,000,000	2018/06/04	2018/11/09	10.00
65746	SG Issuer - Sinopec Corp R Bear CBBC Oct 2018 A	40,000,000	2018/06/04	2018/10/19	10.00
65748	SG Issuer - Galaxy Ent R Bull CBBC Nov 2018 J	80,000,000	2018/06/04	2018/11/23	20.00
65749	SG Issuer - HKEX R Bull CBBC Oct 2018 L	50,000,000	2018/06/04	2018/10/15	12.50
65742	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/06/04	2019/11/28	50.00
65732	SG Issuer - HSI R Bear CBBC Dec 2018 H	200,000,000	2018/06/04	2018/12/28	50.00
65728	SG Issuer - HSI R Bear CBBC Dec 2018 I	200,000,000	2018/06/04	2018/12/28	50.00
65751	SG Issuer - PetroChina R Bull CBBC Nov 2018 I	40,000,000	2018/06/04	2018/11/19	10.00
65754	SG Issuer - PetroChina R Bull CBBC Dec 2018 E	40,000,000	2018/06/04	2018/12/10	10.00
65757	SG Issuer - Tencent R Bull CBBC Sep 2018 V	80,000,000	2018/06/04	2018/09/10	20.00
65739	SG Issuer - Tencent R Bear CBBC Sep 2018 W	80,000,000	2018/06/04	2018/09/20	20.00
65709	UBS AG - A50 China R Bull CBBC Sep 2018 E	50,000,000	2018/06/04	2018/09/10	12.50
65705	UBS AG - HSI R Bull CBBC Oct 2019 T	200,000,000	2018/06/04	2019/10/30	50.00
65711	UBS AG - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/06/04	2019/11/28	50.00
65707	UBS AG - Petrochina R Bull CBBC Nov 2018 H	60,000,000	2018/06/04	2018/11/21	15.00
62861 [#]	Credit Suisse AG - Geely Auto R Bull CBBC Jun 2019 A	300,000,000	2018/06/04	2019/06/28	13.80
63336 [#]	Credit Suisse AG - Geely Auto R Bull CBBC Jun 2019 B	300,000,000	2018/06/04	2019/06/21	10.80
65830	BOCI Asia Ltd. - Geely Auto R Bull CBBC Oct 2018 A	40,000,000	2018/06/05	2018/10/15	10.08
65835	BOCI Asia Ltd. - Galaxy Ent R Bull CBBC Oct 2018 A	50,000,000	2018/06/05	2018/10/15	12.75
65834	BOCI Asia Ltd. - ICBC R Bull CBBC Oct 2018 A	80,000,000	2018/06/05	2018/10/15	20.24
65829	BOCI Asia Ltd. - Tencent R Bull CBBC Oct 2018 B	40,000,000	2018/06/05	2018/10/15	10.12
65847	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/06/05	2019/12/30	62.50
65850	BNP Paribas Issuance B.V. - HSI R Bear CBBC Dec 2018 S	250,000,000	2018/06/05	2018/12/28	62.50
65931	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 G	50,000,000	2018/06/05	2019/01/30	12.50
65920	Credit Suisse AG - Geely Auto R Bull CBBC Jul 2019 A	100,000,000	2018/06/05	2019/07/31	25.00
65914	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2018 B	50,000,000	2018/06/05	2018/12/28	12.50
65909	Credit Suisse AG - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/06/05	2019/11/28	50.00
65904	Credit Suisse AG - HSI R Bull CBBC Dec 2019 I	200,000,000	2018/06/05	2019/12/30	50.00
65933	Credit Suisse AG - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/06/05	2018/10/30	50.00
65919	Credit Suisse AG - Sunny Optical R Bull CBBC Jan 2019 B	40,000,000	2018/06/05	2019/01/18	10.00
65846	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 H	100,000,000	2018/06/05	2018/12/21	25.00
65872	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 K	200,000,000	2018/06/05	2019/11/28	50.00
65969	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 R	200,000,000	2018/06/05	2018/10/30	50.00
65844	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 B	100,000,000	2018/06/05	2018/12/31	25.00
65856	J P Morgan SP BV - HKEX R Bear CBBC Sep 2018 B	40,000,000	2018/06/05	2018/09/14	10.00
65852	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 U	200,000,000	2018/06/05	2019/12/30	50.00
65854	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/06/05	2018/09/27	50.00
65857	J P Morgan SP BV - Sunny Optical R Bull CBBC Sep 2018 A	60,000,000	2018/06/05	2018/09/21	18.00
65859	J P Morgan SP BV - Sunny Optical R Bull CBBC Sep 2018 B	60,000,000	2018/06/05	2018/09/14	15.00
65863	J P Morgan SP BV - Sunny Optical R Bear CBBC Sep 2018 A	40,000,000	2018/06/05	2018/09/21	10.00
65869	J P Morgan SP BV - Sunny Optical R Bear CBBC Sep 2018 B	40,000,000	2018/06/05	2018/09/14	10.00
65855	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 H	40,000,000	2018/06/05	2018/09/14	10.00
65956	SG Issuer - CNOOC R Bear CBBC Oct 2018 B	40,000,000	2018/06/05	2018/10/15	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65952	SG Issuer - CPIC R Bull CBBC Apr 2019 B	100,000,000	2018/06/05	2019/04/29	25.00
65943	SG Issuer - A50 China R Bull CBBC Dec 2018 A	50,000,000	2018/06/05	2018/12/04	12.50
65944	SG Issuer - HSI R Bull CBBC Sep 2019 K	200,000,000	2018/06/05	2019/09/27	50.00
65951	SG Issuer - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/06/05	2019/11/28	50.00
65934	SG Issuer - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/06/05	2019/12/30	50.00
65945	SG Issuer - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/06/05	2018/09/27	50.00
65963	SG Issuer - ICBC R Bull CBBC Nov 2018 F	60,000,000	2018/06/05	2018/11/26	15.00
65965	SG Issuer - PetroChina R Bear CBBC Oct 2018 C	40,000,000	2018/06/05	2018/10/12	10.00
65967	SG Issuer - Sunny Optical R Bear CBBC Nov 2018 A	40,000,000	2018/06/05	2018/11/29	11.20
65935	SG Issuer - Tencent R Bull CBBC Sep 2018 J	80,000,000	2018/06/05	2018/09/07	20.00
65896	UBS AG - AAC Tech R Bull CBBC Oct 2018 B	40,000,000	2018/06/05	2018/10/08	10.00
65894	UBS AG - CNOOC R Bull CBBC Oct 2018 J	40,000,000	2018/06/05	2018/10/22	10.00
65881	UBS AG - Geely Auto R Bull CBBC Nov 2018 C	40,000,000	2018/06/05	2018/11/05	10.40
65880	UBS AG - HSI R Bull CBBC Nov 2019 G	250,000,000	2018/06/05	2019/11/28	62.50
65897	UBS AG - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/06/05	2018/09/27	50.00
65893	UBS AG - Petrochina R Bull CBBC Oct 2018 I	60,000,000	2018/06/05	2018/10/22	15.00
65822	Bank Vontobel - HSI R Bull CBBC Jan 2019 D	100,000,000	2018/06/05	2019/01/30	25.10
65825	Bank Vontobel - HSI R Bear CBBC Nov 2018 K	100,000,000	2018/06/05	2018/11/29	25.10
65827	Bank Vontobel - HSI R Bear CBBC Nov 2018 L	100,000,000	2018/06/05	2018/11/29	25.00
66017	BOCI Asia Ltd. - HSBC R Bull CBBC Oct 2018 B	70,000,000	2018/06/06	2018/10/29	17.64
66029	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 K	250,000,000	2018/06/06	2019/12/30	62.50
66031	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 L	250,000,000	2018/06/06	2019/12/30	62.50
66036	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 O	250,000,000	2018/06/06	2019/12/30	62.50
66019	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 S	250,000,000	2018/06/06	2019/12/30	62.50
66020	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 W	250,000,000	2018/06/06	2019/12/30	62.50
66042	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 A	250,000,000	2018/06/06	2019/01/30	62.50
66044	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 B	250,000,000	2018/06/06	2019/01/30	62.50
66051	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 C	250,000,000	2018/06/06	2019/01/30	62.50
66053	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 D	250,000,000	2018/06/06	2019/01/30	62.50
66169	Credit Suisse AG - ABC R Bull CBBC Dec 2018 B	70,000,000	2018/06/06	2018/12/28	17.50
66156	Credit Suisse AG - HKEX R Bear CBBC Dec 2018 D	50,000,000	2018/06/06	2018/12/28	12.50
66186	Credit Suisse AG - HSCEI R Bear CBBC Sep 2018 D	100,000,000	2018/06/06	2018/09/27	25.00
66117	Credit Suisse AG - HSI R Bull CBBC Oct 2019 K	200,000,000	2018/06/06	2019/10/30	50.00
66123	Credit Suisse AG - HSI R Bull CBBC Nov 2019 K	200,000,000	2018/06/06	2019/11/28	50.00
66127	Credit Suisse AG - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/06/06	2019/11/28	50.00
66129	Credit Suisse AG - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/06/06	2019/11/28	50.00
66125	Credit Suisse AG - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/06/06	2019/12/30	50.00
66128	Credit Suisse AG - HSI R Bull CBBC Dec 2019 K	200,000,000	2018/06/06	2019/12/30	50.00
66130	Credit Suisse AG - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/06/06	2019/12/30	50.00
66176	Credit Suisse AG - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/06/06	2018/09/27	50.00
66180	Credit Suisse AG - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/06/06	2018/09/27	50.00
66178	Credit Suisse AG - HSI R Bear CBBC Oct 2018 X	200,000,000	2018/06/06	2018/10/30	50.00
66173	Credit Suisse AG - HSI R Bear CBBC Sep 2019 A	200,000,000	2018/06/06	2019/09/27	50.00
66174	Credit Suisse AG - HSI R Bear CBBC Sep 2019 B	200,000,000	2018/06/06	2019/09/27	50.00
66152	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 Y	80,000,000	2018/06/06	2018/12/28	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66147	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 Z	50,000,000	2018/06/06	2018/12/20	12.50
66161	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 K	50,000,000	2018/06/06	2018/12/14	12.50
65971	Bank of East Asia - Tencent R Bull CBBC Feb 2019 E	30,000,000	2018/06/06	2019/02/19	10.50
65979	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Feb 2019 C	100,000,000	2018/06/06	2019/02/27	25.00
66286	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2018 M	80,000,000	2018/06/06	2018/12/31	20.00
65975	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 J	100,000,000	2018/06/06	2018/12/31	25.00
65976	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 K	100,000,000	2018/06/06	2018/12/31	25.00
65978	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 V	100,000,000	2018/06/06	2018/12/31	25.00
66278	Goldman Sachs SP (Asia) - HSCEI R Bear CBBC Sep 2018 C	200,000,000	2018/06/06	2018/09/27	50.00
65984	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/06/06	2019/12/30	50.00
65987	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 T	200,000,000	2018/06/06	2019/12/30	50.00
65992	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 U	200,000,000	2018/06/06	2019/12/30	50.00
65993	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 V	200,000,000	2018/06/06	2019/12/30	50.00
65994	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/06/06	2019/12/30	50.00
66273	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/06/06	2018/09/27	50.00
66275	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/06/06	2018/10/30	50.00
66277	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/06/06	2018/10/30	50.00
65977	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 C	100,000,000	2018/06/06	2018/12/31	25.00
66291	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Dec 2018 D	80,000,000	2018/06/06	2018/12/31	20.00
65973	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 P	100,000,000	2018/06/06	2018/12/21	25.00
65974	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 Q	100,000,000	2018/06/06	2018/12/31	25.00
66283	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 Q	80,000,000	2018/06/06	2018/12/31	20.00
66219	J P Morgan SP BV - HKEX R Bull CBBC Sep 2018 E	40,000,000	2018/06/06	2018/09/21	10.00
66220	J P Morgan SP BV - HKEX R Bull CBBC Sep 2018 F	40,000,000	2018/06/06	2018/09/21	14.00
66195	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 X	200,000,000	2018/06/06	2019/10/30	50.00
66191	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/06/06	2019/11/28	50.00
66190	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/06/06	2019/12/30	50.00
66198	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 A	200,000,000	2018/06/06	2018/09/27	50.00
66200	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/06/06	2018/09/27	50.00
66212	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/06/06	2018/09/27	50.00
66213	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/06/06	2018/09/27	50.00
66222	J P Morgan SP BV - Sunny Optical R Bull CBBC Sep 2018 C	50,000,000	2018/06/06	2018/09/14	18.00
66217	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 I	50,000,000	2018/06/06	2018/09/21	12.50
66057	Macquarie Bank Ltd. - Galaxy Ent R Bull CBBC Feb 2019 A	32,000,000	2018/06/06	2019/02/27	10.43
66054	Macquarie Bank Ltd. - Ping An R Bear CBBC Feb 2019 A	33,000,000	2018/06/06	2019/02/27	10.59
66225	SG Issuer - CC Bank R Bull CBBC Oct 2018 M	100,000,000	2018/06/06	2018/10/25	25.00
66237	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 O	40,000,000	2018/06/06	2018/10/10	10.00
66259	SG Issuer - HKEX R Bull CBBC Oct 2018 K	50,000,000	2018/06/06	2018/10/11	12.50
66249	SG Issuer - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/06/06	2019/10/30	50.00
66245	SG Issuer - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/06/06	2019/10/30	50.00
66241	SG Issuer - HSI R Bull CBBC Oct 2019 O	200,000,000	2018/06/06	2019/10/30	50.00
66256	SG Issuer - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/06/06	2019/11/28	50.00
66258	SG Issuer - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/06/06	2019/12/30	50.00
66265	SG Issuer - HSI R Bear CBBC Oct 2018 O	200,000,000	2018/06/06	2018/10/30	50.00
66267	SG Issuer - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/06/06	2018/10/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66269	SG Issuer - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/06/06	2018/10/30	50.00
66270	SG Issuer - HSI R Bear CBBC Nov 2018 H	200,000,000	2018/06/06	2018/11/29	50.00
66262	SG Issuer - Ping An R Bull CBBC Oct 2018 W	100,000,000	2018/06/06	2018/10/30	25.00
66232	SG Issuer - Tencent R Bull CBBC Sep 2018 E	80,000,000	2018/06/06	2018/09/14	20.00
66229	SG Issuer - Tencent R Bull CBBC Sep 2018 I	80,000,000	2018/06/06	2018/09/07	20.00
66264	SG Issuer - Tencent R Bull CBBC Sep 2018 L	80,000,000	2018/06/06	2018/09/07	20.00
66271	SG Issuer - Tencent R Bear CBBC Sep 2018 X	80,000,000	2018/06/06	2018/09/20	20.00
66095	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2018 C	50,000,000	2018/06/06	2018/11/05	12.50
66096	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2018 D	50,000,000	2018/06/06	2018/11/12	12.50
66063	UBS AG - HSI R Bull CBBC Nov 2019 B	250,000,000	2018/06/06	2019/11/28	62.50
66058	UBS AG - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/06/06	2019/12/30	50.00
66061	UBS AG - HSI R Bull CBBC Dec 2019 R	200,000,000	2018/06/06	2019/12/30	50.00
66097	UBS AG - HSI R Bear CBBC Sep 2018 K	250,000,000	2018/06/06	2018/09/27	62.50
66113	UBS AG - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/06/06	2018/09/27	50.00
66115	UBS AG - HSI R Bear CBBC Sep 2018 M	250,000,000	2018/06/06	2018/09/27	62.50
66071	UBS AG - Tencent R Bull CBBC Sep 2018 Y	30,000,000	2018/06/06	2018/09/21	10.20
66075	UBS AG - Tencent R Bull CBBC Sep 2018 Z	30,000,000	2018/06/06	2018/09/27	10.20
66085	UBS AG - Tencent R Bull CBBC Oct 2018 F	30,000,000	2018/06/06	2018/10/03	10.20
65995	Bank Vontobel - HSI R Bull CBBC Jan 2019 E	100,000,000	2018/06/06	2019/01/30	25.40
66009	Bank Vontobel - HSI R Bull CBBC Jan 2019 F	100,000,000	2018/06/06	2019/01/30	25.30
66012	Bank Vontobel - HSI R Bear CBBC Nov 2018 M	100,000,000	2018/06/06	2018/11/29	25.40
66014	Bank Vontobel - HSI R Bear CBBC Nov 2018 N	100,000,000	2018/06/06	2018/11/29	25.40
66390	Credit Suisse AG - HSI R Bull CBBC Oct 2019 L	200,000,000	2018/06/07	2019/10/30	50.00
66389	Credit Suisse AG - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/06/07	2019/11/28	50.00
66394	Credit Suisse AG - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/06/07	2019/11/28	50.00
66395	Credit Suisse AG - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/06/07	2019/12/30	50.00
66384	Credit Suisse AG - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/06/07	2018/09/27	50.00
66381	Credit Suisse AG - HSI R Bear CBBC Sep 2018 O	200,000,000	2018/06/07	2018/09/27	50.00
66380	Credit Suisse AG - HSI R Bear CBBC Oct 2018 Y	200,000,000	2018/06/07	2018/10/30	50.00
66386	Credit Suisse AG - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/06/07	2018/10/30	50.00
66387	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 A	50,000,000	2018/06/07	2018/12/28	12.50
66469	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 Q	100,000,000	2018/06/07	2018/12/31	25.00
66474	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Mar 2019B	100,000,000	2018/06/07	2019/03/28	25.00
66478	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 W	100,000,000	2018/06/07	2018/12/31	25.00
66460	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/06/07	2019/12/30	50.00
66462	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 X	200,000,000	2018/06/07	2019/12/30	50.00
66463	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/06/07	2019/12/30	50.00
66299	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 T	200,000,000	2018/06/07	2018/11/29	50.00
66301	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 U	200,000,000	2018/06/07	2018/11/29	50.00
66305	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 V	200,000,000	2018/06/07	2018/11/29	50.00
66459	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 W	200,000,000	2018/06/07	2018/11/29	50.00
66471	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 H	100,000,000	2018/06/07	2019/02/27	25.00
66468	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 E	100,000,000	2018/06/07	2018/12/31	25.00
66444	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Sep 2018 A	100,000,000	2018/06/07	2018/09/21	25.00
66445	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Oct 2018 B	100,000,000	2018/06/07	2018/10/19	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66446	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Nov 2018 B	100,000,000	2018/06/07	2018/11/16	25.00
66447	J P Morgan SP BV - A50 China R Bull CBBC Sep 2018 B	100,000,000	2018/06/07	2018/09/21	25.00
66450	J P Morgan SP BV - A50 China R Bull CBBC Oct 2018 B	100,000,000	2018/06/07	2018/10/19	25.00
66452	J P Morgan SP BV - A50 China R Bull CBBC Nov 2018 C	100,000,000	2018/06/07	2018/11/16	25.00
66455	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/06/07	2019/10/30	50.00
66454	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/06/07	2019/11/28	50.00
66453	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/06/07	2019/12/30	50.00
66438	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 E	200,000,000	2018/06/07	2018/09/27	50.00
66439	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/06/07	2018/09/27	50.00
66440	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/06/07	2018/09/27	50.00
66443	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 I	50,000,000	2018/06/07	2018/09/14	12.50
66306	Macquarie Bank Ltd. - NCI R Bull CBBC Feb 2019 A	40,000,000	2018/06/07	2019/02/27	10.00
66308	Macquarie Bank Ltd. - NCI R Bull CBBC Feb 2019 B	40,000,000	2018/06/07	2019/02/27	10.04
66309	Macquarie Bank Ltd. - NCI R Bear CBBC Feb 2019 A	31,000,000	2018/06/07	2019/02/27	10.04
66310	Macquarie Bank Ltd. - NCI R Bear CBBC Feb 2019 B	25,000,000	2018/06/07	2019/02/27	10.15
66402	SG Issuer - ABC R Bull CBBC Feb 2019 B	60,000,000	2018/06/07	2019/02/20	15.00
66403	SG Issuer - CNOOC R Bull CBBC Oct 2018 L	40,000,000	2018/06/07	2018/10/15	10.00
66429	SG Issuer - Sinopec Corp R Bull CBBC Oct 2018 D	40,000,000	2018/06/07	2018/10/18	10.00
66419	SG Issuer - HSCEI R Bull CBBC Dec 2018 W	100,000,000	2018/06/07	2018/12/28	25.00
66416	SG Issuer - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/06/07	2019/10/30	50.00
66417	SG Issuer - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/06/07	2019/11/28	50.00
66418	SG Issuer - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/06/07	2019/12/30	50.00
66398	SG Issuer - HSI R Bear CBBC Oct 2018 X	200,000,000	2018/06/07	2018/10/30	50.00
66400	SG Issuer - HSI R Bear CBBC Nov 2018 I	200,000,000	2018/06/07	2018/11/29	50.00
66414	SG Issuer - HSI R Bear CBBC Dec 2018 G	200,000,000	2018/06/07	2018/12/28	50.00
66401	SG Issuer - HSI R Bear CBBC Dec 2018 J	200,000,000	2018/06/07	2018/12/28	50.00
66405	SG Issuer - NCI R Bull CBBC May 2019 A	80,000,000	2018/06/07	2019/05/22	20.00
66431	SG Issuer - Tencent R Bull CBBC Sep 2018 M	80,000,000	2018/06/07	2018/09/18	20.00
66408	SG Issuer - Tencent R Bear CBBC Sep 2018 Y	80,000,000	2018/06/07	2018/09/21	20.00
66377	UBS AG - Bank of China R Bull CBBC Jan 2019 A	60,000,000	2018/06/07	2019/01/21	15.00
66330	UBS AG - Sinopec Corp R Bull CBBC Oct 2018 D	50,000,000	2018/06/07	2018/10/22	12.50
66316	UBS AG - HSBC R Bull CBBC Oct 2018 C	50,000,000	2018/06/07	2018/10/08	12.50
66325	UBS AG - HSBC R Bear CBBC Sep 2018 A	50,000,000	2018/06/07	2018/09/24	12.50
66326	UBS AG - HSBC R Bear CBBC Oct 2018 A	50,000,000	2018/06/07	2018/10/15	12.50
66360	UBS AG - HSI R Bull CBBC Oct 2019 B	250,000,000	2018/06/07	2019/10/30	62.50
66347	UBS AG - HSI R Bull CBBC Oct 2019 I	250,000,000	2018/06/07	2019/10/30	62.50
66374	UBS AG - HSI R Bull CBBC Oct 2019 J	250,000,000	2018/06/07	2019/10/30	62.50
66311	UBS AG - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/06/07	2018/09/27	50.00
66314	UBS AG - HSI R Bear CBBC Sep 2018 O	250,000,000	2018/06/07	2018/09/27	62.50
66312	UBS AG - HSI R Bear CBBC Oct 2018 A	250,000,000	2018/06/07	2018/10/30	62.50
66340	UBS AG - Petrochina R Bull CBBC Oct 2018 J	60,000,000	2018/06/07	2018/10/24	15.00
66334	UBS AG - Petrochina R Bull CBBC Nov 2018 I	60,000,000	2018/06/07	2018/11/05	15.00
66345	UBS AG - Sands China R Bull CBBC Dec 2018 C	60,000,000	2018/06/07	2018/12/17	15.00
66332	UBS AG - Tencent R Bear CBBC Sep 2018 C	30,000,000	2018/06/07	2018/09/19	10.20
66297	Bank Vontobel - CC Bank R Bull CBBC Oct 2018 C	40,000,000	2018/06/07	2018/10/19	10.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66298	Bank Vontobel - HSBC R Bull CBBC Oct 2018 C	40,000,000	2018/06/07	2018/10/26	10.16
66293	Bank Vontobel - Tencent R Bull CBBC Dec 2018 L	40,000,000	2018/06/07	2018/12/07	10.36
66296	Bank Vontobel - Tencent R Bear CBBC Oct 2018 A	40,000,000	2018/06/07	2018/10/26	10.12
66560	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 E	50,000,000	2018/06/08	2019/03/28	12.50
66555	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Jan 2019 A	100,000,000	2018/06/08	2019/01/30	25.00
66556	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Jan 2019 B	100,000,000	2018/06/08	2019/01/30	25.00
66562	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Nov 2018 H	200,000,000	2018/06/08	2018/11/29	50.00
66549	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 J	50,000,000	2018/06/08	2018/09/27	12.50
66550	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 H	50,000,000	2018/06/08	2018/11/29	12.50
66523	Credit Suisse AG - CNOOC R Bull CBBC Dec 2018 E	50,000,000	2018/06/08	2018/12/28	12.50
66517	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2018 A	50,000,000	2018/06/08	2018/12/28	12.50
66513	Credit Suisse AG - HSI R Bull CBBC Oct 2019 M	200,000,000	2018/06/08	2019/10/30	50.00
66512	Credit Suisse AG - HSI R Bull CBBC Nov 2019 W	200,000,000	2018/06/08	2019/11/28	50.00
66519	Credit Suisse AG - HSI R Bull CBBC Dec 2019 N	200,000,000	2018/06/08	2019/12/30	50.00
66515	Credit Suisse AG - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/06/08	2018/09/27	50.00
66521	Credit Suisse AG - HSI R Bear CBBC Oct 2018 A	200,000,000	2018/06/08	2018/10/30	50.00
66518	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 Z	80,000,000	2018/06/08	2018/12/14	20.00
66524	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 C	50,000,000	2018/06/08	2019/01/30	12.50
66484	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/06/08	2019/11/28	50.00
66486	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/06/08	2019/11/28	50.00
66531	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2019 H	100,000,000	2018/06/08	2019/12/30	25.00
66540	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 S	200,000,000	2018/06/08	2019/10/30	50.00
66538	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/06/08	2019/11/28	50.00
66532	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/06/08	2019/12/30	50.00
66530	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 J	50,000,000	2018/06/08	2018/09/14	12.50
66570	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 P	60,000,000	2018/06/08	2018/10/31	15.00
66569	SG Issuer - HKEX R Bear CBBC Oct 2018 C	50,000,000	2018/06/08	2018/10/15	12.50
66579	SG Issuer - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/06/08	2019/11/28	50.00
66565	SG Issuer - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/06/08	2019/12/30	50.00
66581	SG Issuer - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/06/08	2019/12/30	50.00
66564	SG Issuer - HSI R Bear CBBC Nov 2018 J	200,000,000	2018/06/08	2018/11/29	50.00
66578	SG Issuer - HSI R Bear CBBC Nov 2018 K	200,000,000	2018/06/08	2018/11/29	50.00
66573	SG Issuer - Sands R Bear CBBC Mar 2019 A	60,000,000	2018/06/08	2019/03/28	15.00
66577	SG Issuer - Tencent R Bull CBBC Sep 2018 G	80,000,000	2018/06/08	2018/09/14	20.00
66592	SG Issuer - Tencent R Bull CBBC Sep 2018 Q	80,000,000	2018/06/08	2018/09/12	20.00
66576	SG Issuer - Tencent R Bear CBBC Sep 2018 Z	80,000,000	2018/06/08	2018/09/12	20.00
66507	UBS AG - CNOOC R Bear CBBC Oct 2018 C	40,000,000	2018/06/08	2018/10/22	10.00
66491	UBS AG - HSI R Bull CBBC Nov 2019 F	200,000,000	2018/06/08	2019/11/28	50.00
66493	UBS AG - HSI R Bull CBBC Dec 2019 P	200,000,000	2018/06/08	2019/12/30	50.00
66496	UBS AG - HSI R Bull CBBC Dec 2019 U	250,000,000	2018/06/08	2019/12/30	62.50
66500	UBS AG - HSI R Bear CBBC Sep 2018 Q	250,000,000	2018/06/08	2018/09/27	62.50
66497	UBS AG - HSI R Bear CBBC Oct 2018 B	250,000,000	2018/06/08	2018/10/30	62.50
66506	UBS AG - Petrochina R Bear CBBC Oct 2018 D	60,000,000	2018/06/08	2018/10/15	15.00
66508	UBS AG - Ping An R Bull CBBC Oct 2018 Z	80,000,000	2018/06/08	2018/10/22	20.00
66660	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 A	250,000,000	2018/06/11	2019/12/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66692	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 B	250,000,000	2018/06/11	2019/12/30	62.50
66642	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 J	250,000,000	2018/06/11	2019/12/30	62.50
66653	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/06/11	2019/12/30	62.50
66594	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 E	250,000,000	2018/06/11	2019/01/30	62.50
66595	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 F	250,000,000	2018/06/11	2019/01/30	62.50
66601	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 G	250,000,000	2018/06/11	2019/01/30	62.50
66603	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 H	250,000,000	2018/06/11	2019/01/30	62.50
66690	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 I	250,000,000	2018/06/11	2019/01/30	62.50
66763	Credit Suisse AG - CC Bank R Bull CBBC Dec 2018 G	80,000,000	2018/06/11	2018/12/28	20.00
66767	Credit Suisse AG - CM Bank R Bull CBBC Apr 2019 A	70,000,000	2018/06/11	2019/04/29	17.50
66761	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 J	80,000,000	2018/06/11	2018/12/28	20.00
66815	Credit Suisse AG - HSCEI R Bull CBBC Sep 2019 B	100,000,000	2018/06/11	2019/09/27	25.00
66791	Credit Suisse AG - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/06/11	2019/10/30	50.00
66792	Credit Suisse AG - HSI R Bull CBBC Oct 2019 O	200,000,000	2018/06/11	2019/10/30	50.00
66787	Credit Suisse AG - HSI R Bull CBBC Nov 2019 A	200,000,000	2018/06/11	2019/11/28	50.00
66784	Credit Suisse AG - HSI R Bull CBBC Nov 2019 X	200,000,000	2018/06/11	2019/11/28	50.00
66785	Credit Suisse AG - HSI R Bull CBBC Nov 2019 Y	200,000,000	2018/06/11	2019/11/28	50.00
66783	Credit Suisse AG - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/06/11	2018/09/27	50.00
66759	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/06/11	2018/09/27	50.00
66760	Credit Suisse AG - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/06/11	2018/09/27	50.00
66781	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/06/11	2018/09/27	50.00
66757	Credit Suisse AG - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/06/11	2018/10/30	50.00
66766	Credit Suisse AG - ICBC R Bull CBBC Dec 2018 D	80,000,000	2018/06/11	2018/12/28	20.00
66809	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 B	80,000,000	2018/06/11	2018/12/21	20.00
66769	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 D	50,000,000	2018/06/11	2019/01/25	12.50
66795	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 E	50,000,000	2018/06/11	2019/01/18	12.50
66798	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 F	50,000,000	2018/06/11	2019/01/31	12.50
67009	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Feb 2019 D	100,000,000	2018/06/11	2019/02/27	25.00
66991	Goldman Sachs SP (Asia) - China Life R Bull CBBC Dec 2018 N	100,000,000	2018/06/11	2018/12/31	25.00
66992	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Mar 2019C	100,000,000	2018/06/11	2019/03/28	25.00
67003	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 L	100,000,000	2018/06/11	2018/12/31	25.00
66956	Goldman Sachs SP (Asia) - HSCEI R Bull CBBC Dec 2019 K	200,000,000	2018/06/11	2019/12/30	50.00
66952	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/06/11	2019/12/30	50.00
66955	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/06/11	2019/12/30	50.00
66951	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/06/11	2019/12/30	50.00
66685	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 X	200,000,000	2018/06/11	2018/11/29	50.00
66686	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 Y	200,000,000	2018/06/11	2018/11/29	50.00
66687	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 Z	200,000,000	2018/06/11	2018/11/29	50.00
66981	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 I	100,000,000	2018/06/11	2019/02/27	25.00
66982	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2019 A	100,000,000	2018/06/11	2019/02/27	25.00
66966	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 I	100,000,000	2018/06/11	2018/12/31	25.00
66971	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 R	100,000,000	2018/06/11	2018/12/31	25.00
66973	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 C	100,000,000	2018/06/11	2019/02/27	25.00
66641	Haitong Int'l Sec - AAC Tech R Bull CBBC Nov 2018 A	70,000,000	2018/06/11	2018/11/26	17.50
66640	Haitong Int'l Sec - CNOOC R Bull CBBC Nov 2018 D	60,000,000	2018/06/11	2018/11/27	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66623	Haitong Int'l Sec - Sinopec Corp R Bull CBBC Nov 2018 D	110,000,000	2018/06/11	2018/11/28	27.50
66663	Haitong Int'l Sec - CPIC R Bull CBBC Nov 2018 B	40,000,000	2018/06/11	2018/11/28	14.80
66622	Haitong Int'l Sec - Geely Auto R Bull CBBC Nov 2018 B	40,000,000	2018/06/11	2018/11/27	10.00
66629	Haitong Int'l Sec - HKEX R Bull CBBC Nov 2018 D	40,000,000	2018/06/11	2018/11/26	10.00
66620	Haitong Int'l Sec - HSBC R Bull CBBC Dec 2018 A	110,000,000	2018/06/11	2018/12/11	27.50
66639	Haitong Int'l Sec - PetroChina R Bull CBBC Nov 2018 B	130,000,000	2018/06/11	2018/11/28	32.50
66646	Haitong Int'l Sec - Ping An R Bull CBBC Nov 2018 D	25,000,000	2018/06/11	2018/11/26	10.45
66656	Haitong Int'l Sec - Ping An R Bull CBBC Nov 2018 E	25,000,000	2018/06/11	2018/11/26	10.15
66662	Haitong Int'l Sec - SUNAC R Bull CBBC Nov 2018 A	40,000,000	2018/06/11	2018/11/27	18.44
66631	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 F	40,000,000	2018/06/11	2018/11/27	10.00
66636	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 G	40,000,000	2018/06/11	2018/11/28	10.00
66638	Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 H	40,000,000	2018/06/11	2018/11/26	14.52
66906	J P Morgan SP BV - CC Bank R Bull CBBC Oct 2018 A	100,000,000	2018/06/11	2018/10/12	25.00
66896	J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 A	40,000,000	2018/06/11	2018/10/12	10.00
66900	J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 B	40,000,000	2018/06/11	2018/10/19	10.00
66911	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/06/11	2019/11/28	50.00
66910	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 K	200,000,000	2018/06/11	2019/12/30	50.00
66912	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 P	200,000,000	2018/06/11	2019/12/30	50.00
66917	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/06/11	2019/12/30	50.00
66925	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 X	200,000,000	2018/06/11	2019/12/30	50.00
66876	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 H	200,000,000	2018/06/11	2018/09/27	50.00
66877	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/06/11	2018/09/27	50.00
66887	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 J	200,000,000	2018/06/11	2018/09/27	50.00
66890	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 K	200,000,000	2018/06/11	2018/09/27	50.00
66928	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/06/11	2018/09/27	50.00
66932	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/06/11	2018/09/27	54.00
66893	J P Morgan SP BV - Ping An R Bull CBBC Oct 2018 B	100,000,000	2018/06/11	2018/10/12	25.00
66891	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 A	40,000,000	2018/06/11	2018/10/12	10.00
66892	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 B	40,000,000	2018/06/11	2018/10/19	10.00
66755	SG Issuer - COVS R Bull CBBC Sep 2019 A	150,000,000	2018/06/11	2019/09/30	37.50
66752	SG Issuer - China Life R Bull CBBC Dec 2018 G	40,000,000	2018/06/11	2018/12/20	10.00
66693	SG Issuer - CNOOC R Bull CBBC Dec 2018 E	40,000,000	2018/06/11	2018/12/14	10.00
66716	SG Issuer - CSOP A50 ETF R Bull CBBC May 2019 B	60,000,000	2018/06/11	2019/05/30	15.00
66695	SG Issuer - Galaxy Ent R Bull CBBC Dec 2018 K	80,000,000	2018/06/11	2018/12/14	20.00
66736	SG Issuer - HKEX R Bull CBBC Oct 2018 N	50,000,000	2018/06/11	2018/10/05	12.50
66739	SG Issuer - HKEX R Bull CBBC Nov 2018 V	50,000,000	2018/06/11	2018/11/09	12.50
66728	SG Issuer - HSI R Bull CBBC Oct 2019 R	200,000,000	2018/06/11	2019/10/30	50.00
66731	SG Issuer - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/06/11	2019/11/28	50.00
66733	SG Issuer - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/06/11	2019/12/30	50.00
66734	SG Issuer - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/06/11	2019/12/30	50.00
66720	SG Issuer - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/06/11	2018/10/30	50.00
66745	SG Issuer - HSI R Bear CBBC Nov 2018 L	200,000,000	2018/06/11	2018/11/29	50.00
66746	SG Issuer - HSI R Bear CBBC Nov 2018 N	200,000,000	2018/06/11	2018/11/29	50.00
66747	SG Issuer - HSI R Bear CBBC Dec 2018 M	200,000,000	2018/06/11	2018/12/28	50.00
66748	SG Issuer - HSI R Bear CBBC Dec 2018 N	200,000,000	2018/06/11	2018/12/28	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66700	SG Issuer - Ping An R Bull CBBC Oct 2018 R	100,000,000	2018/06/11	2018/10/29	25.00
66699	SG Issuer - Ping An R Bull CBBC Oct 2018 S	100,000,000	2018/06/11	2018/10/19	25.00
66740	SG Issuer - Ping An R Bull CBBC Dec 2018 A	100,000,000	2018/06/11	2018/12/28	25.00
66704	SG Issuer - Sands China R Bull CBBC Mar 2019 A	60,000,000	2018/06/11	2019/03/28	15.00
66708	SG Issuer - SUNAC R Bull CBBC Jul 2019 A	80,000,000	2018/06/11	2019/07/22	20.00
66742	SG Issuer - Tencent R Bull CBBC Sep 2018 C	80,000,000	2018/06/11	2018/09/24	20.00
66934	SG Issuer - Tencent R Bull CBBC Sep 2018 O	80,000,000	2018/06/11	2018/09/27	20.00
66741	SG Issuer - Tencent R Bull CBBC Sep 2018 S	80,000,000	2018/06/11	2018/09/18	20.00
66717	SG Issuer - Tencent R Bear CBBC Sep 2018 A	80,000,000	2018/06/11	2018/09/18	20.00
66719	SG Issuer - Tencent R Bear CBBC Sep 2018 B	80,000,000	2018/06/11	2018/09/20	20.00
66749	SG Issuer - Tencent R Bear CBBC Sep 2018 C	80,000,000	2018/06/11	2018/09/21	20.00
66855	UBS AG - CC Bank R Bull CBBC Oct 2018 O	80,000,000	2018/06/11	2018/10/22	20.00
66867	UBS AG - China Life R Bull CBBC Oct 2018 B	40,000,000	2018/06/11	2018/10/15	10.00
66850	UBS AG - CNOOC R Bull CBBC Oct 2018 K	40,000,000	2018/06/11	2018/10/08	10.00
66870	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2018 K	50,000,000	2018/06/11	2018/10/15	12.50
66830	UBS AG - HSI R Bull CBBC Oct 2019 V	250,000,000	2018/06/11	2019/10/30	62.50
66819	UBS AG - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/06/11	2019/11/28	50.00
66828	UBS AG - HSI R Bull CBBC Nov 2019 T	250,000,000	2018/06/11	2019/11/28	62.50
66817	UBS AG - HSI R Bull CBBC Dec 2019 W	200,000,000	2018/06/11	2019/12/30	50.00
66606	UBS AG - HSI R Bear CBBC Sep 2018 R	250,000,000	2018/06/11	2018/09/27	62.50
66607	UBS AG - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/06/11	2018/09/27	50.00
66614	UBS AG - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/06/11	2018/09/27	50.00
66864	UBS AG - ICBC R Bull CBBC Nov 2018 E	100,000,000	2018/06/11	2018/11/05	25.00
66846	UBS AG - Tencent R Bull CBBC Sep 2018 B	30,000,000	2018/06/11	2018/09/20	10.20
66847	UBS AG - Tencent R Bull CBBC Sep 2018 C	30,000,000	2018/06/11	2018/09/13	10.20
66848	UBS AG - Tencent R Bull CBBC Oct 2018 G	30,000,000	2018/06/11	2018/10/08	10.20
66673	Bank Vontobel - HKEX R Bear CBBC Nov 2018 C	40,000,000	2018/06/11	2018/11/09	17.20
66674	Bank Vontobel - HSI R Bull CBBC Jan 2019 G	100,000,000	2018/06/11	2019/01/30	25.00
66680	Bank Vontobel - HSI R Bull CBBC Jan 2019 H	100,000,000	2018/06/11	2019/01/30	25.30
66683	Bank Vontobel - HSI R Bull CBBC Jan 2019 I	100,000,000	2018/06/11	2019/01/30	25.50
66681	Bank Vontobel - HSI R Bear CBBC Nov 2018 O	100,000,000	2018/06/11	2018/11/29	25.20
66671	Bank Vontobel - Ping An R Bear CBBC Nov 2018 C	40,000,000	2018/06/11	2018/11/16	10.12
66666	Bank Vontobel - Tencent R Bull CBBC Dec 2018 M	40,000,000	2018/06/11	2018/12/07	10.20
66669	Bank Vontobel - Tencent R Bull CBBC Dec 2018 N	40,000,000	2018/06/11	2018/12/07	10.32
67035	BOCI Asia Ltd. - Galaxy Ent R Bull CBBC Oct 2018 B	50,000,000	2018/06/12	2018/10/15	12.55
67029	BOCI Asia Ltd. - Ping An R Bull CBBC Oct 2018 B	70,000,000	2018/06/12	2018/10/22	21.84
67032	BOCI Asia Ltd. - Ping An R Bear CBBC Oct 2018 A	70,000,000	2018/06/12	2018/10/12	17.57
67017	BOCI Asia Ltd. - Tencent R Bull CBBC Oct 2018 C	40,000,000	2018/06/12	2018/10/26	10.04
67019	BOCI Asia Ltd. - Tencent R Bear CBBC Oct 2018 B	40,000,000	2018/06/12	2018/10/19	10.32
67014	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 C	250,000,000	2018/06/12	2019/12/30	62.50
67106	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 J	250,000,000	2018/06/12	2019/01/30	62.50
67206	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 E	50,000,000	2018/06/12	2018/09/27	12.50
67201	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 K	50,000,000	2018/06/12	2018/09/27	13.00
67205	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 N	50,000,000	2018/06/12	2018/09/27	12.50
67393	Credit Suisse AG - China Life R Bull CBBC Dec 2018 B	40,000,000	2018/06/12	2018/12/28	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67388	Credit Suisse AG - China Life R Bear CBBC Nov 2018 A	40,000,000	2018/06/12	2018/11/29	10.00
67402	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 H	50,000,000	2018/06/12	2019/01/25	12.50
67418	Credit Suisse AG - A50 China R Bull CBBC Feb 2019 A	50,000,000	2018/06/12	2019/02/21	12.50
67406	Credit Suisse AG - Geely Auto R Bull CBBC Jan 2019 D	40,000,000	2018/06/12	2019/01/30	10.00
67409	Credit Suisse AG - HKEX R Bull CBBC Feb 2019 A	50,000,000	2018/06/12	2019/02/12	12.50
67375	Credit Suisse AG - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/06/12	2019/10/30	50.00
67399	Credit Suisse AG - HSI R Bull CBBC Nov 2019 T	200,000,000	2018/06/12	2019/11/28	50.00
67398	Credit Suisse AG - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/06/12	2019/11/28	50.00
67387	Credit Suisse AG - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/06/12	2019/11/28	50.00
67386	Credit Suisse AG - HSI R Bear CBBC Oct 2018 C	200,000,000	2018/06/12	2018/10/30	50.00
67397	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 A	80,000,000	2018/06/12	2018/12/28	20.00
67384	Credit Suisse AG - Ping An R Bear CBBC Dec 2018 D	50,000,000	2018/06/12	2018/12/28	12.50
67382	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 G	50,000,000	2018/06/12	2019/01/30	12.50
67411	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 H	50,000,000	2018/06/12	2019/01/10	12.50
67098	Bank of East Asia - China Mobile R Bull CBBC Oct 2018 C	60,000,000	2018/06/12	2018/10/18	15.00
67099	Bank of East Asia - CM Bank R Bull CBBC Oct 2018 A	20,000,000	2018/06/12	2018/10/18	10.00
67096	Bank of East Asia - Geely Auto R Bull CBBC Oct 2018 C	40,000,000	2018/06/12	2018/10/18	10.00
67220	Bank of East Asia - Galaxy Ent R Bear CBBC Jan 2019 A	80,000,000	2018/06/12	2019/01/29	20.00
67089	Bank of East Asia - Ping An R Bull CBBC Oct 2018 I	120,000,000	2018/06/12	2018/10/18	30.00
67209	Bank of East Asia - Tencent R Bull CBBC Oct 2018 A	30,000,000	2018/06/12	2018/10/18	10.50
67101	Bank of East Asia - Tencent R Bull CBBC Oct 2018 Y	30,000,000	2018/06/12	2018/10/18	10.50
67103	Bank of East Asia - Tencent R Bull CBBC Oct 2018 Z	30,000,000	2018/06/12	2018/10/18	10.50
67331	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2019 A	100,000,000	2018/06/12	2019/02/27	25.00
67112	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 O	200,000,000	2018/06/12	2019/10/30	50.00
67119	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/06/12	2019/10/30	50.00
67139	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 Q	200,000,000	2018/06/12	2019/10/30	50.00
67122	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 A	200,000,000	2018/06/12	2018/11/29	50.00
67123	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 B	200,000,000	2018/06/12	2018/11/29	50.00
67146	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 C	200,000,000	2018/06/12	2018/11/29	50.00
67330	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2019 B	100,000,000	2018/06/12	2019/02/27	25.00
67326	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 D	100,000,000	2018/06/12	2019/02/27	25.00
67327	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 E	100,000,000	2018/06/12	2019/02/27	25.00
67328	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 F	100,000,000	2018/06/12	2019/02/27	25.00
67156	HK Bank - AAC Tech R Bull CBBC Oct 2018 J	40,000,000	2018/06/12	2018/10/29	10.00
67086	HK Bank - AIA R Bull CBBC Nov 2018 C	80,000,000	2018/06/12	2018/11/12	20.00
67160	HK Bank - Bank of China R Bull CBBC Jan 2019 A	100,000,000	2018/06/12	2019/01/14	25.00
67087	HK Bank - CC Bank R Bull CBBC Nov 2018 A	100,000,000	2018/06/12	2018/11/05	25.00
67082	HK Bank - CNOOC R Bull CBBC Nov 2018 D	50,000,000	2018/06/12	2018/11/19	12.50
67072	HK Bank - Sinopec Corp R Bull CBBC Nov 2018 D	80,000,000	2018/06/12	2018/11/12	20.00
67064	HK Bank - Geely Auto R Bull CBBC Nov 2018 B	40,000,000	2018/06/12	2018/11/12	10.00
67054	HK Bank - HKEX R Bull CBBC Oct 2018 D	50,000,000	2018/06/12	2018/10/29	12.50
67049	HK Bank - HSCEI R Bull CBBC Mar 2019 D	60,000,000	2018/06/12	2019/03/28	15.00
67042	HK Bank - HSI R Bull CBBC Mar 2019 M	150,000,000	2018/06/12	2019/03/28	37.50
67045	HK Bank - HSI R Bull CBBC Mar 2019 N	150,000,000	2018/06/12	2019/03/28	37.50
67036	HK Bank - HSI R Bull CBBC Jun 2019 A	150,000,000	2018/06/12	2019/06/27	37.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67080	HK Bank - ICBC R Bull CBBC Nov 2018 A	100,000,000	2018/06/12	2018/11/05	25.00
67074	HK Bank - PetroChina R Bull CBBC Nov 2018 D	80,000,000	2018/06/12	2018/11/19	20.00
67088	HK Bank - Ping An R Bull CBBC Oct 2018 K	100,000,000	2018/06/12	2018/10/15	25.00
67073	HK Bank - Ping An R Bull CBBC Nov 2018 M	100,000,000	2018/06/12	2018/11/05	25.00
67059	HK Bank - Sunny Optical R Bull CBBC Oct 2018 D	40,000,000	2018/06/12	2018/10/22	10.00
67152	HK Bank - Tencent R Bull CBBC Oct 2018 I	60,000,000	2018/06/12	2018/10/22	15.00
67050	HK Bank - Tencent R Bull CBBC Nov 2018 R	60,000,000	2018/06/12	2018/11/19	15.00
67052	HK Bank - Tencent R Bull CBBC Dec 2018 B	60,000,000	2018/06/12	2018/12/10	15.00
67276	J P Morgan SP BV - China Overseas R Bull CBBC Jul 2019 A	120,000,000	2018/06/12	2019/07/19	30.00
67271	J P Morgan SP BV - China Life R Bull CBBC Sep 2018 A	40,000,000	2018/06/12	2018/09/21	10.00
67272	J P Morgan SP BV - China Life R Bull CBBC Oct 2018 A	40,000,000	2018/06/12	2018/10/19	10.00
67259	J P Morgan SP BV - Geely Auto R Bull CBBC Sep 2018 A	40,000,000	2018/06/12	2018/09/21	10.00
67254	J P Morgan SP BV - Geely Auto R Bull CBBC Oct 2018 A	40,000,000	2018/06/12	2018/10/19	10.40
67289	J P Morgan SP BV - Galaxy Ent R Bull CBBC Oct 2018 A	100,000,000	2018/06/12	2018/10/19	25.00
67293	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2018 A	100,000,000	2018/06/12	2018/11/16	25.00
67277	J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 C	40,000,000	2018/06/12	2018/10/12	10.00
67279	J P Morgan SP BV - HKEX R Bull CBBC Nov 2018 A	40,000,000	2018/06/12	2018/11/16	10.00
67261	J P Morgan SP BV - HSBC R Bull CBBC Nov 2018 C	100,000,000	2018/06/12	2018/11/16	25.00
67270	J P Morgan SP BV - HSBC R Bear CBBC Sep 2018 A	100,000,000	2018/06/12	2018/09/21	25.00
67267	J P Morgan SP BV - HSBC R Bear CBBC Nov 2018 A	100,000,000	2018/06/12	2018/11/09	25.00
67265	J P Morgan SP BV - HSBC R Bear CBBC Dec 2018 A	100,000,000	2018/06/12	2018/12/14	25.00
67318	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/06/12	2019/10/30	50.00
67314	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/06/12	2019/11/28	50.00
67320	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/06/12	2019/12/30	50.00
67305	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/06/12	2019/12/30	50.00
67236	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/06/12	2018/09/27	50.00
67321	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 O	200,000,000	2018/06/12	2018/09/27	50.00
67325	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/06/12	2018/09/27	50.00
67237	J P Morgan SP BV - Ping An R Bull CBBC Oct 2018 C	100,000,000	2018/06/12	2018/10/19	25.00
67281	J P Morgan SP BV - Ping An R Bear CBBC Oct 2018 A	100,000,000	2018/06/12	2018/10/19	25.00
67283	J P Morgan SP BV - Ping An R Bear CBBC Nov 2018 A	100,000,000	2018/06/12	2018/11/16	25.00
67287	J P Morgan SP BV - Ping An R Bear CBBC Dec 2018 A	100,000,000	2018/06/12	2018/12/14	25.00
67303	J P Morgan SP BV - Sunny Optical R Bull CBBC Oct 2018 A	40,000,000	2018/06/12	2018/10/19	10.00
67296	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 K	50,000,000	2018/06/12	2018/09/21	12.50
67298	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 L	50,000,000	2018/06/12	2018/09/21	12.50
67252	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 J	50,000,000	2018/06/12	2018/09/21	12.50
67301	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 K	50,000,000	2018/06/12	2018/09/21	12.50
67111	Macquarie Bank Ltd. - Ping An R Bull CBBC Jan 2019 B	39,000,000	2018/06/12	2019/01/30	10.22
67107	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 I	12,000,000	2018/06/12	2018/12/14	10.16
67362	SG Issuer - CC Bank R Bull CBBC Oct 2018 N	100,000,000	2018/06/12	2018/10/10	25.00
67347	SG Issuer - Geely Auto R Bull CBBC Oct 2019 A	100,000,000	2018/06/12	2019/10/31	25.00
67349	SG Issuer - HSBC R Bull CBBC Nov 2018 Z	100,000,000	2018/06/12	2018/11/16	25.00
67355	SG Issuer - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/06/12	2019/10/30	50.00
67357	SG Issuer - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/06/12	2019/10/30	50.00
67360	SG Issuer - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/06/12	2019/12/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67341	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/06/12	2018/09/27	50.00
67332	SG Issuer - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/06/12	2018/10/30	50.00
67366	SG Issuer - NCI R Bull CBBC May 2019 B	80,000,000	2018/06/12	2019/05/27	20.00
67353	SG Issuer - Ping An R Bull CBBC Oct 2018 P	100,000,000	2018/06/12	2018/10/18	25.00
67352	SG Issuer - Ping An R Bull CBBC Oct 2018 X	100,000,000	2018/06/12	2018/10/12	25.00
67368	SG Issuer - Tencent R Bull CBBC Sep 2018 K	80,000,000	2018/06/12	2018/09/14	20.00
67369	SG Issuer - Tencent R Bull CBBC Sep 2018 N	80,000,000	2018/06/12	2018/09/18	20.00
67372	SG Issuer - Tencent R Bull CBBC Oct 2018 X	80,000,000	2018/06/12	2018/10/10	20.00
67371	SG Issuer - Tencent R Bear CBBC Sep 2018 F	80,000,000	2018/06/12	2018/09/28	20.00
67180	UBS AG - HSBC R Bull CBBC Nov 2018 D	80,000,000	2018/06/12	2018/11/12	20.00
67171	UBS AG - HSI R Bull CBBC Oct 2019 W	250,000,000	2018/06/12	2019/10/30	62.50
67174	UBS AG - HSI R Bull CBBC Oct 2019 Z	250,000,000	2018/06/12	2019/10/30	62.50
67170	UBS AG - HSI R Bull CBBC Nov 2019 M	200,000,000	2018/06/12	2019/11/28	50.00
67172	UBS AG - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/06/12	2019/12/30	62.50
67165	UBS AG - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/06/12	2018/09/27	50.00
67167	UBS AG - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/06/12	2018/09/27	50.00
67186	UBS AG - Ping An R Bull CBBC Nov 2018 W	80,000,000	2018/06/12	2018/11/12	20.00
67190	UBS AG - Tencent R Bull CBBC Sep 2018 A	30,000,000	2018/06/12	2018/09/12	10.20
67192	UBS AG - Tencent R Bull CBBC Sep 2018 E	30,000,000	2018/06/12	2018/09/24	10.20
67195	UBS AG - Tencent R Bull CBBC Oct 2018 H	30,000,000	2018/06/12	2018/10/16	10.20
67426	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Nov 2018 W	100,000,000	2018/06/13	2018/11/29	25.00
67428	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Nov 2018 X	100,000,000	2018/06/13	2018/11/29	25.00
67432	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 W	100,000,000	2018/06/13	2018/11/29	25.00
67434	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18H	60,000,000	2018/06/13	2018/11/29	15.00
67438	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Nov 18I	60,000,000	2018/06/13	2018/11/29	15.00
67446	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 I	250,000,000	2018/06/13	2019/12/30	62.50
67453	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 T	250,000,000	2018/06/13	2019/12/30	62.50
67419	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 K	250,000,000	2018/06/13	2019/01/30	62.50
67425	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 L	250,000,000	2018/06/13	2019/01/30	62.50
67439	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 N	200,000,000	2018/06/13	2018/11/29	50.00
67554	Credit Suisse AG - AIA R Bull CBBC Dec 2018 C	70,000,000	2018/06/13	2018/12/21	17.50
67540	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2018 G	50,000,000	2018/06/13	2018/11/29	12.50
67546	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2018 C	50,000,000	2018/06/13	2018/12/28	12.50
67551	Credit Suisse AG - HKEX R Bull CBBC Jan 2019 C	50,000,000	2018/06/13	2019/01/30	12.50
67618	Credit Suisse AG - HSI R Bull CBBC Sep 2019 O	200,000,000	2018/06/13	2019/09/27	50.00
67619	Credit Suisse AG - HSI R Bull CBBC Oct 2019 A	200,000,000	2018/06/13	2019/10/30	50.00
67615	Credit Suisse AG - HSI R Bull CBBC Oct 2019 Q	200,000,000	2018/06/13	2019/10/30	50.00
67612	Credit Suisse AG - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/06/13	2019/11/28	50.00
67508	Credit Suisse AG - HSI R Bear CBBC Sep 2018 K	200,000,000	2018/06/13	2018/09/27	50.00
67512	Credit Suisse AG - HSI R Bear CBBC Sep 2018 R	200,000,000	2018/06/13	2018/09/27	50.00
67536	Credit Suisse AG - HSI R Bear CBBC Oct 2018 D	200,000,000	2018/06/13	2018/10/30	50.00
67559	Credit Suisse AG - SUNAC R Bull CBBC Apr 2019 A	80,000,000	2018/06/13	2019/04/29	20.00
67560	Credit Suisse AG - Sunny Optical R Bull CBBC Feb 2019 A	40,000,000	2018/06/13	2019/02/27	10.00
67563	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 I	50,000,000	2018/06/13	2019/01/17	12.50
67620	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 J	50,000,000	2018/06/13	2019/01/31	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67525	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 L	50,000,000	2018/06/13	2018/12/14	12.50
67722	Goldman Sachs SP (Asia) - AAC Tech R Bull CBBC Dec 2018 N	40,000,000	2018/06/13	2018/12/31	10.00
67713	Goldman Sachs SP (Asia) - AIA R Bull CBBC Dec 2018 R	100,000,000	2018/06/13	2018/12/31	25.00
67724	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Jan 2019 A	100,000,000	2018/06/13	2019/01/31	25.00
67698	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 A	200,000,000	2018/06/13	2020/01/30	50.00
67704	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 B	200,000,000	2018/06/13	2020/01/30	50.20
67696	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 Y	200,000,000	2018/06/13	2020/01/30	50.00
67703	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 Z	200,000,000	2018/06/13	2020/01/30	50.00
67489	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/06/13	2018/10/30	50.00
67492	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/06/13	2018/10/30	50.00
67494	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 D	200,000,000	2018/06/13	2018/12/28	50.00
67495	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 E	200,000,000	2018/06/13	2018/12/28	50.20
67497	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 F	200,000,000	2018/06/13	2018/12/28	50.20
67498	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 G	200,000,000	2018/06/13	2018/12/28	50.00
67500	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 H	200,000,000	2018/06/13	2018/12/28	50.60
67502	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 I	200,000,000	2018/06/13	2018/12/28	52.60
67708	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 G	100,000,000	2018/06/13	2019/02/27	25.00
67709	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 H	100,000,000	2018/06/13	2019/02/27	25.00
67710	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 I	100,000,000	2018/06/13	2019/02/27	25.00
67460	HK Bank - AAC Tech R Bull CBBC Dec 2018 B	40,000,000	2018/06/13	2018/12/03	10.00
67465	HK Bank - Galaxy Ent R Bull CBBC Nov 2018 E	80,000,000	2018/06/13	2018/11/19	20.00
67467	HK Bank - HKEX R Bull CBBC Nov 2018 M	50,000,000	2018/06/13	2018/11/12	12.50
67454	HK Bank - HSI R Bull CBBC Mar 2019 O	100,000,000	2018/06/13	2019/03/28	25.00
67472	HK Bank - HSI R Bull CBBC Mar 2019 Q	150,000,000	2018/06/13	2019/03/28	37.50
67470	HK Bank - HSI R Bear CBBC Oct 2018 X	150,000,000	2018/06/13	2018/10/30	37.50
67459	HK Bank - Ping An R Bull CBBC Nov 2018 N	100,000,000	2018/06/13	2018/11/12	25.00
67461	HK Bank - Sunny Optical R Bull CBBC Dec 2018 A	40,000,000	2018/06/13	2018/12/03	10.00
67456	HK Bank - Tencent R Bull CBBC Oct 2018 J	60,000,000	2018/06/13	2018/10/18	15.00
67455	HK Bank - Tencent R Bull CBBC Dec 2018 C	60,000,000	2018/06/13	2018/12/03	15.00
67604	J P Morgan SP BV - AAC Tech R Bull CBBC Oct 2018 A	50,000,000	2018/06/13	2018/10/19	12.50
67606	J P Morgan SP BV - AAC Tech R Bull CBBC Nov 2018 A	50,000,000	2018/06/13	2018/11/16	12.50
67607	J P Morgan SP BV - AAC Tech R Bear CBBC Oct 2018 A	50,000,000	2018/06/13	2018/10/19	12.50
67580	J P Morgan SP BV - AIA R Bull CBBC Oct 2018 A	100,000,000	2018/06/13	2018/10/19	25.00
67611	J P Morgan SP BV - CNOOC R Bull CBBC Oct 2018 H	60,000,000	2018/06/13	2018/10/19	15.00
67623	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/06/13	2019/10/30	50.00
67622	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/06/13	2019/11/28	50.00
67621	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/06/13	2019/12/30	50.00
67577	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/06/13	2018/09/27	50.00
67629	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 A	200,000,000	2018/06/13	2018/10/30	50.00
67637	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/06/13	2018/10/30	50.00
67609	J P Morgan SP BV - PetroChina R Bull CBBC Nov 2018 A	120,000,000	2018/06/13	2018/11/16	30.00
67598	J P Morgan SP BV - Sunny Optical R Bull CBBC Oct 2018 B	40,000,000	2018/06/13	2018/10/19	10.00
67602	J P Morgan SP BV - Sunny Optical R Bear CBBC Nov 2018 A	40,000,000	2018/06/13	2018/11/16	10.60
67578	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 M	50,000,000	2018/06/13	2018/09/21	12.50
67579	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 N	50,000,000	2018/06/13	2018/09/21	12.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67739	SG Issuer - AAC Tech R Bull CBBC Nov 2018 E	40,000,000	2018/06/13	2018/11/30	10.00
67727	SG Issuer - AAC Tech R Bear CBBC Oct 2018 A	40,000,000	2018/06/13	2018/10/26	10.00
67731	SG Issuer - AAC Tech R Bear CBBC Nov 2018 A	40,000,000	2018/06/13	2018/11/23	13.60
67751	SG Issuer - HSI R Bull CBBC Sep 2019 L	200,000,000	2018/06/13	2019/09/27	50.00
67753	SG Issuer - HSI R Bull CBBC Sep 2019 N	200,000,000	2018/06/13	2019/09/27	50.00
67757	SG Issuer - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/06/13	2019/11/28	50.00
67760	SG Issuer - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/06/13	2019/12/30	50.00
67749	SG Issuer - HSI R Bear CBBC Oct 2018 Y	200,000,000	2018/06/13	2018/10/30	50.00
67740	SG Issuer - Sunny Optical R Bull CBBC Oct 2018 E	40,000,000	2018/06/13	2018/10/26	10.00
67733	SG Issuer - Sunny Optical R Bear CBBC Dec 2018 B	40,000,000	2018/06/13	2018/12/28	15.80
67744	SG Issuer - Tencent R Bull CBBC Sep 2018 T	80,000,000	2018/06/13	2018/09/20	20.00
67745	SG Issuer - Tencent R Bull CBBC Sep 2018 U	80,000,000	2018/06/13	2018/09/28	20.00
67747	SG Issuer - Tencent R Bull CBBC Sep 2018 Y	80,000,000	2018/06/13	2018/09/20	20.00
67748	SG Issuer - Tencent R Bull CBBC Sep 2018 Z	80,000,000	2018/06/13	2018/09/28	20.00
67746	SG Issuer - Tencent R Bull CBBC Oct 2018 Y	80,000,000	2018/06/13	2018/10/05	20.00
67736	SG Issuer - Tencent R Bear CBBC Sep 2018 G	80,000,000	2018/06/13	2018/09/28	20.00
67680	UBS AG - AAC Tech R Bull CBBC Nov 2018 B	40,000,000	2018/06/13	2018/11/26	10.00
67685	UBS AG - AAC Tech R Bear CBBC Oct 2018 B	40,000,000	2018/06/13	2018/10/16	10.00
67687	UBS AG - Bank of China R Bull CBBC Jan 2019 B	60,000,000	2018/06/13	2019/01/28	15.00
67686	UBS AG - China Life R Bull CBBC Sep 2018 O	40,000,000	2018/06/13	2018/09/24	10.00
67679	UBS AG - CNOOC R Bull CBBC Oct 2018 L	40,000,000	2018/06/13	2018/10/10	10.00
67664	UBS AG - HKEX R Bull CBBC Nov 2018 R	50,000,000	2018/06/13	2018/11/12	12.50
67667	UBS AG - HKEX R Bull CBBC Nov 2018 S	50,000,000	2018/06/13	2018/11/12	12.50
67648	UBS AG - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/06/13	2019/10/30	50.00
67639	UBS AG - HSI R Bull CBBC Oct 2019 X	250,000,000	2018/06/13	2019/10/30	62.50
67576	UBS AG - HSI R Bull CBBC Nov 2019 E	250,000,000	2018/06/13	2019/11/28	62.50
67643	UBS AG - HSI R Bull CBBC Dec 2019 E	250,000,000	2018/06/13	2019/12/30	62.50
67572	UBS AG - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/06/13	2018/09/27	50.00
67567	UBS AG - HSI R Bear CBBC Sep 2018 X	250,000,000	2018/06/13	2018/09/27	62.50
67651	UBS AG - HSI R Bear CBBC Oct 2018 C	200,000,000	2018/06/13	2018/10/30	50.00
67662	UBS AG - HSI R Bear CBBC Oct 2018 D	200,000,000	2018/06/13	2018/10/30	50.00
67672	UBS AG - Tencent R Bull CBBC Oct 2018 J	30,000,000	2018/06/13	2018/10/18	10.20
67674	UBS AG - Tencent R Bull CBBC Oct 2018 K	30,000,000	2018/06/13	2018/10/24	10.20
67475	Bank Vontobel - HSI R Bull CBBC Jan 2019 J	100,000,000	2018/06/13	2019/01/30	25.30
67478	Bank Vontobel - HSI R Bull CBBC Jan 2019 K	100,000,000	2018/06/13	2019/01/30	25.30
67484	Bank Vontobel - HSI R Bear CBBC Nov 2018 P	100,000,000	2018/06/13	2018/11/29	25.20
67482	Bank Vontobel - Tencent R Bull CBBC Dec 2018 O	40,000,000	2018/06/13	2018/12/07	10.00
67483	Bank Vontobel - Tencent R Bear CBBC Oct 2018 B	40,000,000	2018/06/13	2018/10/05	29.16
67858	BOCI Asia Ltd. - HKEX R Bull CBBC Oct 2018 A	40,000,000	2018/06/14	2018/10/10	10.00
67851	BOCI Asia Ltd. - Tencent R Bull CBBC Oct 2018 D	40,000,000	2018/06/14	2018/10/08	10.00
67855	BOCI Asia Ltd. - Tencent R Bear CBBC Oct 2018 C	40,000,000	2018/06/14	2018/10/09	10.08
67800	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 D	250,000,000	2018/06/14	2019/12/30	62.50
67804	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 E	250,000,000	2018/06/14	2019/12/30	62.50
67991	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 G	250,000,000	2018/06/14	2019/12/30	62.50
67780	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 M	250,000,000	2018/06/14	2019/01/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67784	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 N	250,000,000	2018/06/14	2019/01/30	62.50
68071	Credit Suisse AG - HKEX R Bull CBBC Dec 2018 F	50,000,000	2018/06/14	2018/12/28	12.50
68100	Credit Suisse AG - HSBC R Bull CBBC Jan 2019 B	80,000,000	2018/06/14	2019/01/30	20.00
68095	Credit Suisse AG - HSCEI R Bull CBBC Oct 2019 A	100,000,000	2018/06/14	2019/10/30	25.00
68096	Credit Suisse AG - HSCEI R Bear CBBC Oct 2018 A	100,000,000	2018/06/14	2018/10/30	25.00
68097	Credit Suisse AG - HSCEI R Bear CBBC Oct 2018 B	100,000,000	2018/06/14	2018/10/30	25.00
68094	Credit Suisse AG - HSI R Bull CBBC Oct 2019 B	200,000,000	2018/06/14	2019/10/30	50.00
68087	Credit Suisse AG - HSI R Bull CBBC Nov 2019 B	200,000,000	2018/06/14	2019/11/28	50.00
68089	Credit Suisse AG - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/06/14	2019/11/28	50.00
68090	Credit Suisse AG - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/06/14	2019/12/30	50.00
68059	Credit Suisse AG - HSI R Bear CBBC Oct 2018 E	200,000,000	2018/06/14	2018/10/30	50.00
68060	Credit Suisse AG - HSI R Bear CBBC Oct 2018 F	200,000,000	2018/06/14	2018/10/30	50.00
68062	Credit Suisse AG - HSI R Bear CBBC Nov 2018 A	200,000,000	2018/06/14	2018/11/29	50.00
68081	Credit Suisse AG - HSI R Bear CBBC Nov 2018 B	200,000,000	2018/06/14	2018/11/29	50.00
68080	Credit Suisse AG - PetroChina R Bull CBBC Dec 2018 E	50,000,000	2018/06/14	2018/12/28	12.50
68076	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 K	50,000,000	2018/06/14	2019/01/24	12.50
68101	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 L	50,000,000	2018/06/14	2019/01/11	12.50
68079	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 M	50,000,000	2018/06/14	2018/12/28	12.50
68162	Goldman Sachs SP (Asia) - China Life R Bull CBBC Feb 2019 B	100,000,000	2018/06/14	2019/02/27	25.00
68145	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2019 B	100,000,000	2018/06/14	2019/02/27	25.00
68148	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Jan 2019 B	100,000,000	2018/06/14	2019/01/31	25.00
68133	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 C	200,000,000	2018/06/14	2020/01/30	50.20
68139	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 D	200,000,000	2018/06/14	2020/01/30	50.00
68136	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 E	200,000,000	2018/06/14	2020/01/30	50.00
67900	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/06/14	2018/09/27	50.00
67906	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 V	200,000,000	2018/06/14	2018/10/30	50.00
68144	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 J	100,000,000	2018/06/14	2019/02/27	25.00
68141	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 J	100,000,000	2018/06/14	2019/02/27	25.00
68142	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 K	100,000,000	2018/06/14	2019/02/27	25.00
68143	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Feb 2019 A	100,000,000	2018/06/14	2019/02/27	25.00
67849	HK Bank - China Mobile R Bull CBBC Nov 2018 B	50,000,000	2018/06/14	2018/11/19	12.50
67837	HK Bank - China Life R Bull CBBC Nov 2018 A	40,000,000	2018/06/14	2018/11/12	10.00
67821	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2018 D	50,000,000	2018/06/14	2018/11/19	12.50
67822	HK Bank - A50 China R Bull CBBC Nov 2018 D	50,000,000	2018/06/14	2018/11/19	12.50
67836	HK Bank - Galaxy Ent R Bear CBBC Nov 2018 B	40,000,000	2018/06/14	2018/11/12	10.00
67826	HK Bank - HKEX R Bull CBBC Nov 2018 N	50,000,000	2018/06/14	2018/11/19	12.50
67811	HK Bank - HSI R Bull CBBC Mar 2019 P	150,000,000	2018/06/14	2019/03/28	37.50
67810	HK Bank - HSI R Bull CBBC Jun 2019 B	150,000,000	2018/06/14	2019/06/27	37.50
67799	HK Bank - HSI R Bear CBBC Oct 2018 Y	150,000,000	2018/06/14	2018/10/30	37.50
67830	HK Bank - Ping An R Bull CBBC Oct 2018 E	100,000,000	2018/06/14	2018/10/25	25.00
67850	HK Bank - SUNAC R Bull CBBC Mar 2019 B	100,000,000	2018/06/14	2019/03/11	25.00
67817	HK Bank - Tencent R Bull CBBC Oct 2018 L	60,000,000	2018/06/14	2018/10/25	15.00
67816	HK Bank - Tencent R Bull CBBC Nov 2018 S	60,000,000	2018/06/14	2018/11/15	15.00
67827	HK Bank - Tencent R Bear CBBC Nov 2018 E	50,000,000	2018/06/14	2018/11/19	15.00
68051	J P Morgan SP BV - AAC Tech R Bear CBBC Nov 2018 A	40,000,000	2018/06/14	2018/11/16	13.40

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68043	J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 D	40,000,000	2018/06/14	2018/10/19	10.00
68044	J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 E	40,000,000	2018/06/14	2018/10/19	10.00
68046	J P Morgan SP BV - HKEX R Bear CBBC Oct 2018 A	40,000,000	2018/06/14	2018/10/12	15.80
68016	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/06/14	2019/10/30	50.00
68015	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/06/14	2019/11/28	50.00
68057	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/06/14	2019/12/30	50.00
68017	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/06/14	2019/12/30	50.00
67998	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/06/14	2018/09/27	50.00
68000	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/06/14	2018/09/27	50.00
68018	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 C	200,000,000	2018/06/14	2018/10/30	50.00
68030	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 D	200,000,000	2018/06/14	2018/10/30	50.00
68034	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 E	200,000,000	2018/06/14	2018/10/30	50.00
68056	J P Morgan SP BV - Sunny Optical R Bear CBBC Oct 2018 A	40,000,000	2018/06/14	2018/10/19	14.60
68035	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 C	50,000,000	2018/06/14	2018/10/12	12.50
68039	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 D	50,000,000	2018/06/14	2018/10/12	12.50
67866	Macquarie Bank Ltd. - HKEX R Bull CBBC Dec 2018 B	17,000,000	2018/06/14	2018/12/18	10.42
67870	Macquarie Bank Ltd. - HKEX R Bull CBBC Dec 2018 C	17,000,000	2018/06/14	2018/12/14	10.34
67873	Macquarie Bank Ltd. - HKEX R Bull CBBC Dec 2018 D	16,000,000	2018/06/14	2018/12/14	10.26
67887	Macquarie Bank Ltd. - HSBC R Bull CBBC Dec 2018 B	40,000,000	2018/06/14	2018/12/28	10.56
67890	Macquarie Bank Ltd. - HSBC R Bull CBBC Dec 2018 C	40,000,000	2018/06/14	2018/12/28	10.20
67880	Macquarie Bank Ltd. - HSBC R Bear CBBC Dec 2018 A	40,000,000	2018/06/14	2018/12/28	12.76
67874	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 J	10,500,000	2018/06/14	2018/12/14	10.37
67877	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 K	11,500,000	2018/06/14	2018/12/14	10.33
67879	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 L	11,000,000	2018/06/14	2018/12/14	10.37
68104	SG Issuer - HKEX R Bull CBBC Sep 2018 T	50,000,000	2018/06/14	2018/09/28	12.50
68116	SG Issuer - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/06/14	2019/10/30	50.00
68117	SG Issuer - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/06/14	2019/10/30	50.00
68124	SG Issuer - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/06/14	2019/10/30	50.00
68126	SG Issuer - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/06/14	2019/12/30	50.00
68115	SG Issuer - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/06/14	2018/09/27	50.00
68107	SG Issuer - Ping An R Bull CBBC Oct 2018 Q	100,000,000	2018/06/14	2018/10/26	25.00
68108	SG Issuer - Sunny Optical R Bull CBBC Sep 2018 F	40,000,000	2018/06/14	2018/09/28	10.00
68113	SG Issuer - Tencent R Bull CBBC Oct 2018 B	80,000,000	2018/06/14	2018/10/12	20.00
68112	SG Issuer - Tencent R Bull CBBC Oct 2018 D	80,000,000	2018/06/14	2018/10/05	20.00
68128	SG Issuer - Tencent R Bull CBBC Oct 2018 E	80,000,000	2018/06/14	2018/10/05	20.00
68110	SG Issuer - Tencent R Bull CBBC Oct 2018 Z	80,000,000	2018/06/14	2018/10/05	20.00
68114	SG Issuer - Tencent R Bear CBBC Oct 2018 L	80,000,000	2018/06/14	2018/10/12	20.00
67990	UBS AG - HKEX R Bull CBBC Nov 2018 T	50,000,000	2018/06/14	2018/11/07	12.50
67939	UBS AG - HSI R Bull CBBC Oct 2019 N	200,000,000	2018/06/14	2019/10/30	50.00
67933	UBS AG - HSI R Bull CBBC Nov 2019 L	250,000,000	2018/06/14	2019/11/28	62.50
67940	UBS AG - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/06/14	2019/11/28	50.00
67932	UBS AG - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/06/14	2019/12/30	50.00
67915	UBS AG - HSI R Bear CBBC Sep 2018 E	250,000,000	2018/06/14	2018/09/27	62.50
67916	UBS AG - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/06/14	2018/09/27	50.00
67945	UBS AG - HSI R Bear CBBC Sep 2018 G	250,000,000	2018/06/14	2018/09/27	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67947	UBS AG - HSI R Bear CBBC Oct 2018 E	250,000,000	2018/06/14	2018/10/30	62.50
67959	UBS AG - HSI R Bear CBBC Oct 2018 F	250,000,000	2018/06/14	2018/10/30	62.50
67970	UBS AG - HSI R Bear CBBC Oct 2018 G	250,000,000	2018/06/14	2018/10/30	62.50
67987	UBS AG - Tencent R Bull CBBC Oct 2018 I	30,000,000	2018/06/14	2018/10/09	10.20
67979	UBS AG - Tencent R Bull CBBC Oct 2018 L	30,000,000	2018/06/14	2018/10/03	10.20
67919	UBS AG - Tencent R Bear CBBC Oct 2018 I	30,000,000	2018/06/14	2018/10/26	10.20
67921	UBS AG - Tencent R Bear CBBC Oct 2018 J	30,000,000	2018/06/14	2018/10/08	13.05
67786	Bank Vontobel - HSI R Bull CBBC Jan 2019 L	100,000,000	2018/06/14	2019/01/30	25.10
67798	Bank Vontobel - HSI R Bull CBBC Jan 2019 M	100,000,000	2018/06/14	2019/01/30	25.50
67762	Bank Vontobel - HSI R Bear CBBC Nov 2018 Q	100,000,000	2018/06/14	2018/11/29	25.10
67765	Bank Vontobel - HSI R Bear CBBC Nov 2018 R	100,000,000	2018/06/14	2018/11/29	25.30
68197	BOCI Asia Ltd. - Geely Auto R Bull CBBC Oct 2018 B	40,000,000	2018/06/15	2018/10/29	10.16
68196	BOCI Asia Ltd. - Galaxy Ent R Bull CBBC Oct 2018 C	50,000,000	2018/06/15	2018/10/29	12.65
68198	BOCI Asia Ltd. - ICBC R Bull CBBC Oct 2018 B	80,000,000	2018/06/15	2018/10/29	20.32
68189	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 F	50,000,000	2018/06/15	2018/09/27	12.50
68192	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Sep 2018 H	50,000,000	2018/06/15	2018/09/27	12.50
68195	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 O	50,000,000	2018/06/15	2018/11/29	12.50
68194	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 P	50,000,000	2018/06/15	2018/11/29	12.50
68270	Credit Suisse AG - HSBC R Bull CBBC Jan 2019 C	80,000,000	2018/06/15	2019/01/25	20.00
68265	Credit Suisse AG - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/06/15	2019/10/30	50.00
68266	Credit Suisse AG - HSI R Bull CBBC Oct 2019 D	200,000,000	2018/06/15	2019/10/30	50.00
68268	Credit Suisse AG - HSI R Bull CBBC Nov 2019 C	200,000,000	2018/06/15	2019/11/28	50.00
68269	Credit Suisse AG - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/06/15	2019/12/30	62.50
68272	Credit Suisse AG - HSI R Bull CBBC Dec 2019 Q	250,000,000	2018/06/15	2019/12/30	62.50
68273	Credit Suisse AG - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/06/15	2018/09/27	50.00
68276	Credit Suisse AG - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/06/15	2018/09/27	50.00
68274	Credit Suisse AG - HSI R Bear CBBC Oct 2018 G	200,000,000	2018/06/15	2018/10/30	50.00
68277	Credit Suisse AG - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/06/15	2018/10/30	50.00
68280	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 M	50,000,000	2018/06/15	2019/01/24	12.50
68217	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2018 M	100,000,000	2018/06/15	2018/12/31	25.00
68202	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 F	200,000,000	2018/06/15	2020/01/30	50.00
68204	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 G	200,000,000	2018/06/15	2020/01/30	50.00
68207	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 H	200,000,000	2018/06/15	2020/01/30	50.00
68223	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 I	200,000,000	2018/06/15	2020/01/30	50.00
68291	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 X	200,000,000	2018/06/15	2018/09/27	50.00
68297	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/06/15	2018/09/27	50.20
68300	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/06/15	2018/09/27	50.00
68213	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 L	100,000,000	2018/06/15	2019/02/27	25.00
68332	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Feb 2019 B	100,000,000	2018/06/15	2019/02/27	25.00
68183	HK Bank - Sinopec Corp R Bull CBBC Nov 2018 E	80,000,000	2018/06/15	2018/11/19	20.00
68173	HK Bank - HKEX R Bull CBBC Nov 2018 O	50,000,000	2018/06/15	2018/11/26	12.50
68165	HK Bank - HSI R Bull CBBC Mar 2019 R	150,000,000	2018/06/15	2019/03/28	37.50
68175	HK Bank - HSI R Bull CBBC Jul 2019 A	150,000,000	2018/06/15	2019/07/30	37.50
68182	HK Bank - HSI R Bull CBBC Sep 2019 A	100,000,000	2018/06/15	2019/09/27	25.00
68174	HK Bank - HSI R Bear CBBC Oct 2018 Z	100,000,000	2018/06/15	2018/10/30	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68187	HK Bank - NCI R Bull CBBC Feb 2019 A	40,000,000	2018/06/15	2019/02/11	10.00
68171	HK Bank - Ping An R Bull CBBC Nov 2018 O	100,000,000	2018/06/15	2018/11/22	25.00
68164	HK Bank - Tencent R Bull CBBC Nov 2018 T	60,000,000	2018/06/15	2018/11/08	15.00
68169	HK Bank - Tencent R Bull CBBC Nov 2018 U	60,000,000	2018/06/15	2018/11/22	15.00
68166	HK Bank - Tencent R Bear CBBC Nov 2018 F	50,000,000	2018/06/15	2018/11/26	12.50
68230	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 K	200,000,000	2018/06/15	2019/10/30	50.00
68228	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 E	200,000,000	2018/06/15	2019/11/28	50.00
68227	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/06/15	2019/12/30	50.00
68252	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 A	200,000,000	2018/06/15	2018/09/27	50.00
68238	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 X	200,000,000	2018/06/15	2018/09/27	50.00
68239	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/06/15	2018/09/27	50.00
68245	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/06/15	2018/09/27	50.00
68233	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 E	50,000,000	2018/06/15	2018/10/12	12.50
68246	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 L	50,000,000	2018/06/15	2018/09/21	12.50
68248	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 A	50,000,000	2018/06/15	2018/10/12	12.50
68328	SG Issuer - HSI R Bull CBBC Oct 2019 D	200,000,000	2018/06/15	2019/10/30	50.00
68329	SG Issuer - HSI R Bull CBBC Dec 2019 T	200,000,000	2018/06/15	2019/12/30	50.00
68315	SG Issuer - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/06/15	2018/09/27	50.00
68316	SG Issuer - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/06/15	2018/10/30	50.00
68325	SG Issuer - HSI R Bear CBBC Dec 2018 O	200,000,000	2018/06/15	2018/12/28	50.00
68301	SG Issuer - Ping An R Bull CBBC Nov 2018 A	100,000,000	2018/06/15	2018/11/26	25.00
68306	SG Issuer - Tencent R Bull CBBC Oct 2018 C	80,000,000	2018/06/15	2018/10/05	20.00
68309	SG Issuer - Tencent R Bull CBBC Oct 2018 F	80,000,000	2018/06/15	2018/10/12	20.00
68313	SG Issuer - Tencent R Bull CBBC Oct 2018 I	80,000,000	2018/06/15	2018/10/12	20.00
68310	SG Issuer - Tencent R Bull CBBC Oct 2018 J	80,000,000	2018/06/15	2018/10/05	20.00
68312	SG Issuer - Tencent R Bull CBBC Oct 2018 K	80,000,000	2018/06/15	2018/10/05	20.00
68254	UBS AG - HSI R Bull CBBC Nov 2019 U	250,000,000	2018/06/15	2019/11/28	62.50
68257	UBS AG - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/06/15	2019/12/30	50.00
68260	UBS AG - HSI R Bull CBBC Dec 2019 G	250,000,000	2018/06/15	2019/12/30	62.50
68283	UBS AG - HSI R Bear CBBC Sep 2018 H	250,000,000	2018/06/15	2018/09/27	62.50
68285	UBS AG - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/06/15	2018/09/27	50.00
68290	UBS AG - HSI R Bear CBBC Sep 2018 J	250,000,000	2018/06/15	2018/09/27	62.50
68284	UBS AG - HSI R Bear CBBC Oct 2018 H	250,000,000	2018/06/15	2018/10/30	62.50
68261	UBS AG - Tencent R Bull CBBC Oct 2018 M	30,000,000	2018/06/15	2018/10/02	10.20
68282	UBS AG - Tencent R Bear CBBC Oct 2018 K	30,000,000	2018/06/15	2018/10/10	10.20
68199	Bank Vontobel - Tencent R Bull CBBC Nov 2018 E	40,000,000	2018/06/15	2018/11/16	10.16
68200	Bank Vontobel - Tencent R Bear CBBC Oct 2018 C	40,000,000	2018/06/15	2018/10/26	11.20
68201	Bank Vontobel - Tencent R Bear CBBC Oct 2018 D	40,000,000	2018/06/15	2018/10/26	10.04
68400	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 H	250,000,000	2018/06/19	2019/12/30	62.50
68422	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 M	250,000,000	2018/06/19	2019/12/30	62.50
68405	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 O	250,000,000	2018/06/19	2019/01/30	62.50
68410	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 P	250,000,000	2018/06/19	2019/01/30	62.50
68413	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 Q	250,000,000	2018/06/19	2019/01/30	62.50
68421	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 R	250,000,000	2018/06/19	2019/01/30	62.50
68561	Credit Suisse AG - Geely Auto R Bull CBBC Dec 2018 J	40,000,000	2018/06/19	2018/12/14	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68558	Credit Suisse AG - Galaxy Ent R Bull CBBC Dec 2018 D	50,000,000	2018/06/19	2018/12/28	12.50
68563	Credit Suisse AG - HSI R Bull CBBC Nov 2019 D	200,000,000	2018/06/19	2019/11/28	50.00
68539	Credit Suisse AG - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/06/19	2018/09/27	50.00
68546	Credit Suisse AG - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/06/19	2018/09/27	50.00
68547	Credit Suisse AG - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/06/19	2018/09/27	50.00
68562	Credit Suisse AG - Ping An R Bull CBBC Dec 2018 C	80,000,000	2018/06/19	2018/12/21	20.00
68568	Credit Suisse AG - Tencent R Bear CBBC Dec 2018 N	50,000,000	2018/06/19	2018/12/21	12.50
68511	Bank of East Asia - AAC Tech R Bull CBBC Oct 2018 A	40,000,000	2018/06/19	2018/10/11	10.00
68509	Bank of East Asia - Galaxy Ent R Bull CBBC Oct 2018 D	16,000,000	2018/06/19	2018/10/11	10.40
68518	Bank of East Asia - Ping An R Bull CBBC Oct 2018 J	120,000,000	2018/06/19	2018/10/11	30.00
68501	Bank of East Asia - Tencent R Bull CBBC Oct 2018 B	30,000,000	2018/06/19	2018/10/11	10.50
68527	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Feb 2019 E	100,000,000	2018/06/19	2019/02/27	25.00
68532	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Mar 2019D	100,000,000	2018/06/19	2019/03/27	25.00
68524	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Jan 2019 B	100,000,000	2018/06/19	2019/01/31	25.00
68467	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 R	200,000,000	2018/06/19	2019/10/30	50.00
68336	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 A	200,000,000	2018/06/19	2018/09/27	50.00
68465	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/06/19	2018/09/27	50.00
68520	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2019 C	100,000,000	2018/06/19	2019/02/28	25.00
68342	HK Bank - China Overseas R Bull CBBC Jul 2019 A	100,000,000	2018/06/19	2019/07/22	25.00
68398	HK Bank - CNOOC R Bear CBBC Dec 2018 A	40,000,000	2018/06/19	2018/12/03	10.00
68393	HK Bank - HSI R Bull CBBC Jul 2019 B	100,000,000	2018/06/19	2019/07/30	25.00
68394	HK Bank - HSI R Bull CBBC Sep 2019 B	100,000,000	2018/06/19	2019/09/27	25.00
68390	HK Bank - HSI R Bear CBBC Oct 2018 A	150,000,000	2018/06/19	2018/10/30	37.50
68391	HK Bank - PetroChina R Bear CBBC Dec 2018 A	40,000,000	2018/06/19	2018/12/17	10.00
68386	HK Bank - Tencent R Bull CBBC Nov 2018 V	60,000,000	2018/06/19	2018/11/01	15.00
68348	HK Bank - Tencent R Bull CBBC Dec 2018 D	60,000,000	2018/06/19	2018/12/24	18.00
68346	HK Bank - Tencent R Bear CBBC Nov 2018 G	50,000,000	2018/06/19	2018/11/12	12.50
68473	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/06/19	2019/11/28	50.00
68468	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/06/19	2018/09/27	50.00
68475	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 C	200,000,000	2018/06/19	2018/09/27	50.00
68486	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/06/19	2018/09/27	50.00
68491	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/06/19	2018/09/27	50.00
68493	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 F	50,000,000	2018/06/19	2018/10/19	12.50
68500	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 B	50,000,000	2018/06/19	2018/10/19	12.50
68449	Macquarie Bank Ltd. - Tencent R Bull CBBC Oct 2018 D	15,500,000	2018/06/19	2018/10/16	10.03
68456	Macquarie Bank Ltd. - Tencent R Bull CBBC Oct 2018 E	14,500,000	2018/06/19	2018/10/16	10.06
68460	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 I	20,600,000	2018/06/19	2018/10/16	10.03
68464	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2018 J	16,900,000	2018/06/19	2018/10/16	10.06
68578	SG Issuer - AIA R Bull CBBC Dec 2018 L	60,000,000	2018/06/19	2018/12/12	15.00
68574	SG Issuer - China Life R Bull CBBC Dec 2018 H	40,000,000	2018/06/19	2018/12/20	10.00
68589	SG Issuer - Geely Auto R Bull CBBC Sep 2018 L	40,000,000	2018/06/19	2018/09/27	10.00
68586	SG Issuer - Galaxy Ent R Bull CBBC Dec 2018 L	80,000,000	2018/06/19	2018/12/14	20.00
68587	SG Issuer - Galaxy Ent R Bull CBBC Dec 2018 M	80,000,000	2018/06/19	2018/12/19	20.00
68585	SG Issuer - Galaxy Ent R Bear CBBC Oct 2018 G	80,000,000	2018/06/19	2018/10/31	20.00
68592	SG Issuer - HSBC R Bull CBBC Nov 2018 C	100,000,000	2018/06/19	2018/11/08	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68613	SG Issuer - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/06/19	2019/12/30	50.00
68606	SG Issuer - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/06/19	2018/09/27	50.00
68607	SG Issuer - HSI R Bear CBBC Nov 2018 O	200,000,000	2018/06/19	2018/11/29	50.00
68604	SG Issuer - PetroChina R Bull CBBC Dec 2018 F	40,000,000	2018/06/19	2018/12/13	10.00
68594	SG Issuer - Ping An R Bull CBBC Nov 2018 Z	100,000,000	2018/06/19	2018/11/16	25.00
68602	SG Issuer - Sands China R Bull CBBC Mar 2019 B	60,000,000	2018/06/19	2019/03/25	15.00
68614	SG Issuer - Tencent R Bull CBBC Oct 2018 M	80,000,000	2018/06/19	2018/10/08	20.00
68576	SG Issuer - Tencent R Bear CBBC Oct 2018 M	80,000,000	2018/06/19	2018/10/04	20.00
68445	UBS AG - Geely Auto R Bull CBBC Nov 2018 D	40,000,000	2018/06/19	2018/11/12	10.00
68444	UBS AG - Galaxy Ent R Bull CBBC Nov 2018 B	40,000,000	2018/06/19	2018/11/05	10.00
68423	UBS AG - HSI R Bull CBBC Dec 2019 H	200,000,000	2018/06/19	2019/12/30	50.00
68424	UBS AG - HSI R Bear CBBC Sep 2018 B	250,000,000	2018/06/19	2018/09/27	62.50
68431	UBS AG - HSI R Bear CBBC Oct 2018 I	250,000,000	2018/06/19	2018/10/30	62.50
68443	UBS AG - HSI R Bear CBBC Oct 2018 J	250,000,000	2018/06/19	2018/10/30	62.50
68446	UBS AG - Tencent R Bear CBBC Oct 2018 L	30,000,000	2018/06/19	2018/10/30	10.20
68338	Bank Vontobel - HKEX R Bull CBBC Oct 2018 E	40,000,000	2018/06/19	2018/10/19	10.08
68339	Bank Vontobel - HSBC R Bull CBBC Nov 2018 A	40,000,000	2018/06/19	2018/11/09	10.12
68341	Bank Vontobel - HSBC R Bear CBBC Dec 2018 A	40,000,000	2018/06/19	2018/12/07	10.12
68340	Bank Vontobel - Ping An R Bull CBBC Oct 2018 D	40,000,000	2018/06/19	2018/10/26	10.24
68637	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 S	250,000,000	2018/06/20	2019/01/30	62.50
68720	Credit Suisse AG - CNOOC R Bull CBBC Dec 2018 F	50,000,000	2018/06/20	2018/12/28	12.50
68727	Credit Suisse AG - HKEX R Bull CBBC Dec 2018 G	50,000,000	2018/06/20	2018/12/28	12.50
68728	Credit Suisse AG - HKEX R Bull CBBC Jan 2019 D	50,000,000	2018/06/20	2019/01/30	14.00
68725	Credit Suisse AG - HSCEI R Bull CBBC Oct 2019 B	100,000,000	2018/06/20	2019/10/30	25.00
68723	Credit Suisse AG - HSI R Bull CBBC Sep 2019 P	200,000,000	2018/06/20	2019/09/27	50.00
68690	Credit Suisse AG - HSI R Bull CBBC Nov 2019 E	200,000,000	2018/06/20	2019/11/28	50.00
68701	Credit Suisse AG - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/06/20	2018/10/30	50.00
68707	Credit Suisse AG - HSI R Bear CBBC Oct 2018 I	200,000,000	2018/06/20	2018/10/30	50.00
68710	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 N	50,000,000	2018/06/20	2019/01/10	12.50
68636	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/06/20	2019/10/30	50.00
68634	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 D	200,000,000	2018/06/20	2018/09/27	50.00
68670	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 M	100,000,000	2018/06/20	2019/02/27	25.00
68644	HK Bank - ABC R Bull CBBC Jan 2019 A	100,000,000	2018/06/20	2019/01/21	25.00
68642	HK Bank - CPIC R Bull CBBC Feb 2019 A	100,000,000	2018/06/20	2019/02/18	25.00
68664	HK Bank - HSI R Bull CBBC Jul 2019 C	100,000,000	2018/06/20	2019/07/30	25.00
68663	HK Bank - HSI R Bear CBBC Oct 2018 B	100,000,000	2018/06/20	2018/10/30	25.00
68640	HK Bank - PetroChina R Bull CBBC Dec 2018 A	80,000,000	2018/06/20	2018/12/03	20.00
68655	HK Bank - Sunny Optical R Bull CBBC Nov 2018 D	40,000,000	2018/06/20	2018/11/19	10.00
68656	HK Bank - Tencent R Bear CBBC Dec 2018 B	50,000,000	2018/06/20	2018/12/03	12.50
68736	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 F	250,000,000	2018/06/20	2019/12/30	62.50
68734	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 E	200,000,000	2018/06/20	2018/09/27	50.00
68738	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 G	50,000,000	2018/06/20	2018/10/12	12.50
68742	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 C	50,000,000	2018/06/20	2018/10/12	12.50
68666	Macquarie Bank Ltd. - CC Bank R Bull CBBC Dec 2018 A	38,500,000	2018/06/20	2018/12/28	10.05
68668	Macquarie Bank Ltd. - CC Bank R Bull CBBC Dec 2018 B	37,500,000	2018/06/20	2018/12/28	10.13

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68778	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 R	60,000,000	2018/06/20	2018/11/28	15.00
68794	SG Issuer - HSI R Bull CBBC Sep 2019 X	200,000,000	2018/06/20	2019/09/27	50.00
68780	SG Issuer - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/06/20	2019/11/28	50.00
68797	SG Issuer - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/06/20	2019/12/30	50.00
68756	SG Issuer - HSI R Bear CBBC Sep 2018 B	200,000,000	2018/06/20	2018/09/27	50.00
68746	SG Issuer - HSI R Bear CBBC Sep 2018 Z	200,000,000	2018/06/20	2018/09/27	50.00
68757	SG Issuer - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/06/20	2018/10/30	50.00
68760	SG Issuer - PetroChina R Bull CBBC Dec 2018 G	40,000,000	2018/06/20	2018/12/18	10.00
68762	SG Issuer - Ping An R Bull CBBC Dec 2018 C	100,000,000	2018/06/20	2018/12/18	25.00
68800	SG Issuer - Sunny Optical R Bear CBBC Oct 2018 A	40,000,000	2018/06/20	2018/10/26	13.80
68801	SG Issuer - Tencent R Bull CBBC Oct 2018 N	80,000,000	2018/06/20	2018/10/05	20.00
68680	UBS AG - HSI R Bull CBBC Oct 2019 S	250,000,000	2018/06/20	2019/10/30	62.50
68677	UBS AG - HSI R Bull CBBC Nov 2019 Z	200,000,000	2018/06/20	2019/11/28	50.00
68671	UBS AG - HSI R Bear CBBC Sep 2018 K	200,000,000	2018/06/20	2018/09/27	50.00
68673	UBS AG - HSI R Bear CBBC Oct 2018 K	250,000,000	2018/06/20	2018/10/30	62.50
68687	UBS AG - Tencent R Bull CBBC Sep 2018 D	30,000,000	2018/06/20	2018/09/24	10.20
68616	Bank Vontobel - HSI N Bull CBBC Jan 2019 N	100,000,000	2018/06/20	2019/01/30	25.20
68617	Bank Vontobel - HSI N Bull CBBC Jan 2019 O	100,000,000	2018/06/20	2019/01/30	25.30
68619	Bank Vontobel - HSI N Bear CBBC Nov 2018 S	100,000,000	2018/06/20	2018/11/29	25.10
68633	Bank Vontobel - HSI N Bear CBBC Nov 2018 T	100,000,000	2018/06/20	2018/11/29	25.00
68873	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/06/21	2019/12/30	62.50
68867	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Y	250,000,000	2018/06/21	2019/12/30	62.50
68868	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Z	250,000,000	2018/06/21	2019/12/30	62.50
68896	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 T	250,000,000	2018/06/21	2019/01/30	62.50
68897	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 U	250,000,000	2018/06/21	2019/01/30	62.50
68921	Credit Suisse AG - CPIC R Bull CBBC Feb 2019 A	50,000,000	2018/06/21	2019/02/27	12.50
68912	Credit Suisse AG - HKEX R Bull CBBC Jan 2019 E	50,000,000	2018/06/21	2019/01/18	14.50
68903	Credit Suisse AG - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/06/21	2019/10/30	50.00
68905	Credit Suisse AG - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/06/21	2019/10/30	50.00
68910	Credit Suisse AG - HSI R Bull CBBC Nov 2019 F	200,000,000	2018/06/21	2019/11/28	50.00
68926	Credit Suisse AG - HSI R Bear CBBC Sep 2018 L	200,000,000	2018/06/21	2018/09/27	50.00
68931	Credit Suisse AG - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/06/21	2018/09/27	50.00
68933	Credit Suisse AG - HSI R Bear CBBC Nov 2018 C	250,000,000	2018/06/21	2018/11/29	62.50
68864	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2019 C	100,000,000	2018/06/21	2019/02/27	25.00
68804	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 A	100,000,000	2018/06/21	2019/12/30	25.00
68805	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 P	100,000,000	2018/06/21	2019/12/30	25.00
68862	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 L	100,000,000	2018/06/21	2020/01/30	25.00
69037	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 X	100,000,000	2018/06/21	2018/10/30	25.00
69039	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 Y	100,000,000	2018/06/21	2018/10/30	25.00
68807	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 N	100,000,000	2018/06/21	2019/02/27	25.00
68859	HK Bank - HKEX R Bear CBBC Nov 2018 D	40,000,000	2018/06/21	2018/11/26	10.00
68815	HK Bank - HSI R Bull CBBC Jul 2019 D	150,000,000	2018/06/21	2019/07/30	37.50
68816	HK Bank - HSI R Bull CBBC Jul 2019 E	100,000,000	2018/06/21	2019/07/30	25.00
68855	HK Bank - HSI R Bull CBBC Sep 2019 C	150,000,000	2018/06/21	2019/09/27	37.50
68857	HK Bank - HSI R Bull CBBC Dec 2019 A	150,000,000	2018/06/21	2019/12/30	37.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68850	HK Bank - HSI R Bear CBBC Oct 2018 C	100,000,000	2018/06/21	2018/10/30	25.00
68861	HK Bank - Ping An R Bear CBBC Dec 2018 A	80,000,000	2018/06/21	2018/12/31	20.00
69023	J P Morgan SP BV - AAC Tech R Bull CBBC Oct 2018 B	50,000,000	2018/06/21	2018/10/19	12.50
69026	J P Morgan SP BV - AAC Tech R Bear CBBC Nov 2018 B	40,000,000	2018/06/21	2018/11/16	10.00
69016	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/06/21	2019/10/30	50.00
69014	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/06/21	2019/11/28	50.00
69015	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 J	250,000,000	2018/06/21	2019/12/30	62.50
69029	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 F	200,000,000	2018/06/21	2018/09/27	50.00
69030	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 G	200,000,000	2018/06/21	2018/09/27	50.00
69034	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 H	250,000,000	2018/06/21	2018/09/27	62.50
69021	J P Morgan SP BV - Tencent R Bull CBBC Sep 2018 O	50,000,000	2018/06/21	2018/09/21	12.50
69018	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 H	50,000,000	2018/06/21	2018/10/12	12.50
69019	J P Morgan SP BV - Tencent R Bear CBBC Sep 2018 M	50,000,000	2018/06/21	2018/09/21	12.50
68972	SG Issuer - COVS R Bull CBBC Oct 2019 A	100,000,000	2018/06/21	2019/10/30	25.00
68997	SG Issuer - Galaxy Ent R Bull CBBC Dec 2018 N	80,000,000	2018/06/21	2018/12/21	20.00
69011	SG Issuer - HKEX R Bull CBBC Oct 2018 O	50,000,000	2018/06/21	2018/10/12	12.50
68962	SG Issuer - HSI R Bull CBBC Sep 2019 T	200,000,000	2018/06/21	2019/09/27	50.00
68965	SG Issuer - HSI R Bull CBBC Oct 2019 L	200,000,000	2018/06/21	2019/10/30	50.00
68967	SG Issuer - HSI R Bull CBBC Oct 2019 Q	200,000,000	2018/06/21	2019/10/30	50.00
68963	SG Issuer - HSI R Bull CBBC Nov 2019 O	200,000,000	2018/06/21	2019/11/28	50.00
68976	SG Issuer - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/06/21	2018/10/30	50.00
68979	SG Issuer - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/06/21	2018/10/30	50.00
68989	SG Issuer - HSI R Bear CBBC Dec 2018 K	200,000,000	2018/06/21	2018/12/28	50.00
68993	SG Issuer - HSI R Bear CBBC Dec 2018 L	200,000,000	2018/06/21	2018/12/28	50.00
68956	SG Issuer - SUNAC R Bull CBBC Jul 2019 B	80,000,000	2018/06/21	2019/07/30	20.00
68960	SG Issuer - Tencent R Bull CBBC Oct 2018 O	80,000,000	2018/06/21	2018/10/12	20.00
68973	SG Issuer - Tencent R Bull CBBC Oct 2018 P	80,000,000	2018/06/21	2018/10/04	20.00
69012	SG Issuer - Tencent R Bear CBBC Oct 2018 N	80,000,000	2018/06/21	2018/10/05	20.00
68935	UBS AG - HSI R Bull CBBC Oct 2019 U	250,000,000	2018/06/21	2019/10/30	62.50
68947	UBS AG - HSI R Bull CBBC Oct 2019 Y	200,000,000	2018/06/21	2019/10/30	50.00
68939	UBS AG - HSI R Bull CBBC Dec 2019 I	250,000,000	2018/06/21	2019/12/30	62.50
68955	UBS AG - HSI R Bear CBBC Sep 2018 A	200,000,000	2018/06/21	2018/09/27	50.00
68948	UBS AG - HSI R Bear CBBC Sep 2018 L	250,000,000	2018/06/21	2018/09/27	62.50
68950	UBS AG - HSI R Bear CBBC Sep 2018 M	200,000,000	2018/06/21	2018/09/27	50.00
68945	UBS AG - Tencent R Bull CBBC Oct 2018 N	30,000,000	2018/06/21	2018/10/18	10.20
68946	UBS AG - Tencent R Bull CBBC Nov 2018 I	30,000,000	2018/06/21	2018/11/12	10.20
68877	Bank Vontobel - HSI R Bull CBBC Jan 2019 P	100,000,000	2018/06/21	2019/01/30	25.20
68898	Bank Vontobel - HSI R Bear CBBC Nov 2018 U	100,000,000	2018/06/21	2018/11/29	25.10
68901	Bank Vontobel - HSI R Bear CBBC Nov 2018 V	100,000,000	2018/06/21	2018/11/29	25.20
68895	Bank Vontobel - Tencent R Bull CBBC Dec 2018 P	40,000,000	2018/06/21	2018/12/07	10.28
69120	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 B	250,000,000	2018/06/22	2019/12/30	62.50
69121	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 C	250,000,000	2018/06/22	2019/12/30	62.50
69117	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 Q	250,000,000	2018/06/22	2019/12/30	62.50
69125	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 V	250,000,000	2018/06/22	2019/01/30	62.50
69126	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 W	250,000,000	2018/06/22	2019/01/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69133	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 X	250,000,000	2018/06/22	2019/01/30	62.50
69124	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 S	50,000,000	2018/06/22	2018/11/29	12.50
69189	Credit Suisse AG - China Life R Bull CBBC Dec 2018 C	40,000,000	2018/06/22	2018/12/28	10.00
69166	Credit Suisse AG - HKEX R Bull CBBC Jan 2019 F	50,000,000	2018/06/22	2019/01/25	14.50
69170	Credit Suisse AG - HKEX R Bear CBBC Jan 2019 A	50,000,000	2018/06/22	2019/01/18	12.50
69188	Credit Suisse AG - HSCEI R Bear CBBC Oct 2018 C	100,000,000	2018/06/22	2018/10/30	25.00
69159	Credit Suisse AG - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/06/22	2019/11/28	50.00
69160	Credit Suisse AG - HSI R Bull CBBC Nov 2019 S	200,000,000	2018/06/22	2019/11/28	50.00
69165	Credit Suisse AG - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/06/22	2019/11/28	50.00
69171	Credit Suisse AG - HSI R Bull CBBC Dec 2019 R	250,000,000	2018/06/22	2019/12/30	62.50
69174	Credit Suisse AG - HSI R Bear CBBC Sep 2018 O	200,000,000	2018/06/22	2018/09/27	50.00
69175	Credit Suisse AG - HSI R Bear CBBC Sep 2018 S	200,000,000	2018/06/22	2018/09/27	50.00
69185	Credit Suisse AG - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/06/22	2018/10/30	50.00
69187	Credit Suisse AG - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/06/22	2018/10/30	50.00
69163	Credit Suisse AG - Ping An R Bear CBBC Dec 2018 E	50,000,000	2018/06/22	2018/12/28	12.50
69161	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 O	50,000,000	2018/06/22	2019/01/30	12.50
69298	Goldman Sachs SP (Asia) - AIA R Bull CBBC Jan 2019 A	100,000,000	2018/06/22	2019/01/31	25.00
69200	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Feb 2019 F	100,000,000	2018/06/22	2019/02/28	25.00
69090	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Jan 2019 A	100,000,000	2018/06/22	2019/01/31	25.00
69084	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 P	100,000,000	2018/06/22	2019/11/28	25.00
69191	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 S	100,000,000	2018/06/22	2019/11/28	25.00
69079	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 T	100,000,000	2018/06/22	2019/11/28	25.00
69296	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 E	100,000,000	2018/06/22	2018/09/27	25.00
69297	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 F	100,000,000	2018/06/22	2018/09/27	25.00
69293	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 K	100,000,000	2018/06/22	2018/09/27	25.00
69294	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2018 V	100,000,000	2018/06/22	2018/09/27	25.00
69085	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 O	100,000,000	2018/06/22	2019/02/28	25.00
69192	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 P	100,000,000	2018/06/22	2019/02/28	25.00
69097	HK Bank - HSCEI R Bull CBBC Sep 2019 A	60,000,000	2018/06/22	2019/09/27	15.00
69098	HK Bank - HSCEI R Bear CBBC Oct 2018 G	50,000,000	2018/06/22	2018/10/30	12.50
69074	HK Bank - HSI R Bull CBBC Jul 2019 F	150,000,000	2018/06/22	2019/07/30	37.50
69099	HK Bank - HSI R Bear CBBC Oct 2018 D	150,000,000	2018/06/22	2018/10/30	37.50
69116	HK Bank - PetroChina R Bear CBBC Nov 2018 B	50,000,000	2018/06/22	2018/11/26	12.50
69069	HK Bank - Tencent R Bull CBBC Nov 2018 W	60,000,000	2018/06/22	2018/11/15	15.00
69111	HK Bank - Tencent R Bear CBBC Nov 2018 H	50,000,000	2018/06/22	2018/11/05	12.50
69271	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 M	250,000,000	2018/06/22	2019/10/30	62.50
69247	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 X	200,000,000	2018/06/22	2019/11/28	50.00
69246	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 N	250,000,000	2018/06/22	2019/12/30	62.50
69285	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 I	200,000,000	2018/06/22	2018/09/27	50.00
69286	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 J	250,000,000	2018/06/22	2018/09/27	62.50
69288	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 K	250,000,000	2018/06/22	2018/09/27	62.50
69292	J P Morgan SP BV - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/06/22	2018/09/27	50.00
69273	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 I	50,000,000	2018/06/22	2018/10/12	12.50
69276	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 J	50,000,000	2018/06/22	2018/10/12	12.50
69277	J P Morgan SP BV - Tencent R Bull CBBC Nov 2018 A	50,000,000	2018/06/22	2018/11/09	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69280	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 D	50,000,000	2018/06/22	2018/10/12	12.50
69243	SG Issuer - HSI R Bull CBBC Sep 2019 C	200,000,000	2018/06/22	2019/09/27	50.00
69230	SG Issuer - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/06/22	2019/10/30	50.00
69205	SG Issuer - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/06/22	2019/10/30	50.00
69206	SG Issuer - HSI R Bull CBBC Nov 2019 U	200,000,000	2018/06/22	2019/11/28	50.00
69235	SG Issuer - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/06/22	2018/10/30	50.00
69236	SG Issuer - HSI R Bear CBBC Nov 2018 A	200,000,000	2018/06/22	2018/11/29	50.00
69239	SG Issuer - HSI R Bear CBBC Nov 2018 P	200,000,000	2018/06/22	2018/11/29	50.00
69242	SG Issuer - HSI R Bear CBBC Dec 2018 P	200,000,000	2018/06/22	2018/12/28	50.00
69202	SG Issuer - Tencent R Bull CBBC Oct 2018 L	80,000,000	2018/06/22	2018/10/19	20.00
69201	SG Issuer - Tencent R Bull CBBC Oct 2018 Q	80,000,000	2018/06/22	2018/10/04	20.00
69207	SG Issuer - Tencent R Bull CBBC Oct 2018 R	80,000,000	2018/06/22	2018/10/08	20.00
69211	SG Issuer - Tencent R Bear CBBC Oct 2018 O	80,000,000	2018/06/22	2018/10/08	20.00
69152	UBS AG - HSI R Bull CBBC Nov 2019 J	250,000,000	2018/06/22	2019/11/28	62.50
69138	UBS AG - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/06/22	2019/11/28	50.00
69137	UBS AG - HSI R Bull CBBC Dec 2019 V	250,000,000	2018/06/22	2019/12/30	62.50
69153	UBS AG - HSI R Bear CBBC Sep 2018 N	200,000,000	2018/06/22	2018/09/27	50.00
69156	UBS AG - HSI R Bear CBBC Sep 2018 O	250,000,000	2018/06/22	2018/09/27	62.50
69157	UBS AG - HSI R Bear CBBC Sep 2018 Q	250,000,000	2018/06/22	2018/09/27	62.50
69144	UBS AG - Tencent R Bull CBBC Oct 2018 O	30,000,000	2018/06/22	2018/10/23	10.20
69147	UBS AG - Tencent R Bull CBBC Nov 2018 J	30,000,000	2018/06/22	2018/11/09	10.20
69066	Bank Vontobel - HSI R Bull CBBC Jan 2019 Q	100,000,000	2018/06/22	2019/01/30	25.20
69092	Bank Vontobel - HSI R Bull CBBC Jan 2019 R	100,000,000	2018/06/22	2019/01/30	25.00
69095	Bank Vontobel - Tencent R Bull CBBC Dec 2018 Q	40,000,000	2018/06/22	2018/12/07	10.00
69327	BOCI Asia Ltd. - CC Bank R Bull CBBC Oct 2018 B	80,000,000	2018/06/25	2018/10/29	20.08
69387	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 I	250,000,000	2018/06/25	2019/12/30	62.50
69381	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 Y	250,000,000	2018/06/25	2019/01/30	62.50
69393	Credit Suisse AG - AIA R Bear CBBC Jan 2019 E	50,000,000	2018/06/25	2019/01/11	12.50
69390	Credit Suisse AG - HSI R Bull CBBC Oct 2019 Z	200,000,000	2018/06/25	2019/10/30	50.00
69395	Credit Suisse AG - HSI R Bear CBBC Sep 2018 P	200,000,000	2018/06/25	2018/09/27	50.00
69402	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Q	200,000,000	2018/06/25	2018/09/27	50.00
69400	Credit Suisse AG - HSI R Bear CBBC Oct 2018 M	200,000,000	2018/06/25	2018/10/30	50.00
69401	Credit Suisse AG - HSI R Bear CBBC Oct 2018 V	200,000,000	2018/06/25	2018/10/30	50.00
69392	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 P	50,000,000	2018/06/25	2019/01/11	12.50
69358	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Jan 2019 B	100,000,000	2018/06/25	2019/01/29	25.00
69349	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 J	100,000,000	2018/06/25	2020/01/30	25.00
69322	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 A	100,000,000	2018/06/25	2018/10/30	25.00
69324	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 B	100,000,000	2018/06/25	2018/10/30	25.00
69316	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 Z	100,000,000	2018/06/25	2018/10/30	25.00
69466	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 D	100,000,000	2018/06/25	2018/11/29	25.00
69359	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Dec 2018 D	100,000,000	2018/06/25	2018/12/31	25.00
69357	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 Q	100,000,000	2018/06/25	2019/02/27	25.00
69302	HK Bank - Bank of China R Bear CBBC Dec 2018 A	50,000,000	2018/06/25	2018/12/17	12.50
69308	HK Bank - HSCEI R Bull CBBC Sep 2019 B	60,000,000	2018/06/25	2019/09/27	15.00
69313	HK Bank - HSCEI R Bull CBBC Sep 2019 C	60,000,000	2018/06/25	2019/09/27	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69304	HK Bank - HSI R Bull CBBC Jul 2019 G	100,000,000	2018/06/25	2019/07/30	25.00
69306	HK Bank - HSI R Bear CBBC Oct 2018 E	150,000,000	2018/06/25	2018/10/30	37.50
69305	HK Bank - HSI R Bear CBBC Oct 2018 F	150,000,000	2018/06/25	2018/10/30	37.50
69315	HK Bank - ICBC R Bull CBBC Dec 2018 A	100,000,000	2018/06/25	2018/12/24	25.00
69301	HK Bank - ICBC R Bear CBBC Nov 2018 A	50,000,000	2018/06/25	2018/11/26	12.50
69307	HK Bank - Ping An R Bull CBBC Nov 2018 P	100,000,000	2018/06/25	2018/11/26	25.00
69303	HK Bank - Sunny Optical R Bear CBBC Oct 2018 A	40,000,000	2018/06/25	2018/10/15	10.00
69411	J P Morgan SP BV - AIA R Bull CBBC Oct 2018 B	100,000,000	2018/06/25	2018/10/12	25.00
69403	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Y	200,000,000	2018/06/25	2019/12/30	50.00
69404	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 F	300,000,000	2018/06/25	2018/10/30	75.00
69412	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 G	200,000,000	2018/06/25	2018/10/30	50.00
69413	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/06/25	2018/10/30	50.00
69424	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 I	300,000,000	2018/06/25	2018/10/30	75.00
69428	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 J	300,000,000	2018/06/25	2018/10/30	75.00
69407	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 K	50,000,000	2018/06/25	2018/10/19	12.50
69405	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 E	50,000,000	2018/06/25	2018/10/19	12.50
69360	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 I	17,000,000	2018/06/25	2018/11/15	10.08
69370	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2018 J	18,000,000	2018/06/25	2018/11/15	10.06
69439	SG Issuer - AIA R Bull CBBC Nov 2018 F	60,000,000	2018/06/25	2018/11/16	15.00
69459	SG Issuer - AIA R Bull CBBC Nov 2018 G	60,000,000	2018/06/25	2018/11/23	15.00
69463	SG Issuer - China Mobile R Bull CBBC Dec 2018 T	100,000,000	2018/06/25	2018/12/28	25.00
69458	SG Issuer - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/06/25	2019/10/30	50.00
69435	SG Issuer - HSI R Bull CBBC Dec 2019 D	200,000,000	2018/06/25	2019/12/30	50.00
69429	SG Issuer - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/06/25	2019/12/30	50.00
69455	SG Issuer - HSI R Bear CBBC Oct 2018 J	200,000,000	2018/06/25	2018/10/30	50.00
69433	SG Issuer - HSI R Bear CBBC Oct 2018 O	200,000,000	2018/06/25	2018/10/30	50.00
69434	SG Issuer - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/06/25	2018/10/30	50.00
69454	SG Issuer - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/06/25	2018/10/30	50.00
69465	SG Issuer - HSI R Bear CBBC Nov 2018 R	200,000,000	2018/06/25	2018/11/29	50.00
69445	SG Issuer - Tencent R Bull CBBC Oct 2018 S	80,000,000	2018/06/25	2018/10/08	20.00
69451	SG Issuer - Tencent R Bull CBBC Oct 2018 U	80,000,000	2018/06/25	2018/10/11	20.00
69342	UBS AG - HSI R Bull CBBC Oct 2019 H	200,000,000	2018/06/25	2019/10/30	50.00
69330	UBS AG - HSI R Bull CBBC Dec 2019 L	200,000,000	2018/06/25	2019/12/30	50.00
69331	UBS AG - HSI R Bear CBBC Sep 2018 R	200,000,000	2018/06/25	2018/09/27	50.00
69339	UBS AG - HSI R Bear CBBC Sep 2018 T	200,000,000	2018/06/25	2018/09/27	50.00
69341	UBS AG - HSI R Bear CBBC Sep 2018 U	250,000,000	2018/06/25	2018/09/27	62.50
69338	UBS AG - HSI R Bear CBBC Oct 2018 L	250,000,000	2018/06/25	2018/10/30	62.50
69329	UBS AG - Tencent R Bull CBBC Oct 2018 R	30,000,000	2018/06/25	2018/10/24	10.20
69379	Bank Vontobel - HSI R Bear CBBC Nov 2018 W	100,000,000	2018/06/25	2018/11/29	25.40
69380	Bank Vontobel - HSI R Bear CBBC Nov 2018 X	100,000,000	2018/06/25	2018/11/29	25.30
69673	BOCI Asia Ltd. - CC Bank R Bull CBBC Oct 2018 C	80,000,000	2018/06/26	2018/10/08	20.00
69676	BOCI Asia Ltd. - Geely Auto R Bull CBBC Oct 2018 C	40,000,000	2018/06/26	2018/10/22	10.08
69690	BOCI Asia Ltd. - Galaxy Ent R Bull CBBC Oct 2018 D	50,000,000	2018/06/26	2018/10/22	12.50
69680	BOCI Asia Ltd. - HSBC R Bull CBBC Nov 2018 A	70,000,000	2018/06/26	2018/11/05	17.71
69674	BOCI Asia Ltd. - ICBC R Bull CBBC Oct 2018 C	80,000,000	2018/06/26	2018/10/15	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69672	BOCI Asia Ltd. - Tencent R Bull CBBC Oct 2018 E	40,000,000	2018/06/26	2018/10/22	10.60
69626	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 X	100,000,000	2018/06/26	2018/11/29	25.00
69627	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 Y	100,000,000	2018/06/26	2018/11/29	25.00
69629	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 F	50,000,000	2018/06/26	2019/03/28	12.50
69631	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Jan 2019 C	100,000,000	2018/06/26	2019/01/30	25.00
69632	BNP Paribas Issuance B.V. - HSCEI R Bear CBBC Jan 2019 D	100,000,000	2018/06/26	2019/01/30	25.00
69550	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 A	250,000,000	2018/06/26	2019/12/30	62.50
69551	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 D	250,000,000	2018/06/26	2019/12/30	62.50
69554	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 E	250,000,000	2018/06/26	2019/12/30	62.50
69621	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 G	250,000,000	2018/06/26	2019/12/30	62.50
69544	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 J	250,000,000	2018/06/26	2019/12/30	62.50
69638	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 O	250,000,000	2018/06/26	2019/12/30	62.50
69546	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 T	250,000,000	2018/06/26	2019/12/30	62.50
69548	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 X	250,000,000	2018/06/26	2019/12/30	62.50
69563	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 A	250,000,000	2018/06/26	2019/01/30	62.50
69564	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 B	250,000,000	2018/06/26	2019/01/30	62.50
69565	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 C	250,000,000	2018/06/26	2019/01/30	62.50
69567	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 D	250,000,000	2018/06/26	2019/01/30	62.50
69570	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 E	250,000,000	2018/06/26	2019/01/30	62.50
69574	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 F	250,000,000	2018/06/26	2019/01/30	62.50
69805	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 G	250,000,000	2018/06/26	2019/01/30	62.50
69811	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 H	250,000,000	2018/06/26	2019/01/30	62.50
69556	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 Z	250,000,000	2018/06/26	2019/01/30	62.50
69628	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Nov 2018 I	200,000,000	2018/06/26	2018/11/29	50.00
69633	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 Q	50,000,000	2018/06/26	2018/11/29	12.50
69983	Credit Suisse AG - AIA R Bear CBBC Jan 2019 F	50,000,000	2018/06/26	2019/01/30	12.50
69965	Credit Suisse AG - China Mobile R Bull CBBC Dec 2018 A	70,000,000	2018/06/26	2018/12/28	17.50
69954	Credit Suisse AG - Galaxy Ent R Bull CBBC Nov 2018 H	50,000,000	2018/06/26	2018/11/29	12.50
69956	Credit Suisse AG - Galaxy Ent R Bear CBBC Dec 2018 B	50,000,000	2018/06/26	2018/12/21	12.50
69957	Credit Suisse AG - HKEX R Bull CBBC Feb 2019 B	50,000,000	2018/06/26	2019/02/27	14.00
69960	Credit Suisse AG - HKEX R Bear CBBC Jan 2019 B	50,000,000	2018/06/26	2019/01/30	12.50
69947	Credit Suisse AG - HSBC R Bull CBBC Dec 2018 K	80,000,000	2018/06/26	2018/12/28	20.00
69953	Credit Suisse AG - HSBC R Bear CBBC Dec 2018 A	50,000,000	2018/06/26	2018/12/28	12.50
60069	Credit Suisse AG - HSCEI R Bull CBBC Sep 2019 C	100,000,000	2018/06/26	2019/09/27	25.00
60080	Credit Suisse AG - HSCEI R Bear CBBC Sep 2018 E	100,000,000	2018/06/26	2018/09/27	25.00
60072	Credit Suisse AG - HSCEI R Bear CBBC Oct 2018 D	100,000,000	2018/06/26	2018/10/30	25.00
69940	Credit Suisse AG - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/06/26	2019/10/30	50.00
69937	Credit Suisse AG - HSI R Bull CBBC Oct 2019 X	200,000,000	2018/06/26	2019/10/30	50.00
69927	Credit Suisse AG - HSI R Bull CBBC Nov 2019 A	200,000,000	2018/06/26	2019/11/28	50.00
69916	Credit Suisse AG - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/06/26	2019/11/28	50.00
69920	Credit Suisse AG - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/06/26	2019/11/28	50.00
69935	Credit Suisse AG - HSI R Bull CBBC Nov 2019 P	200,000,000	2018/06/26	2019/11/28	50.00
69936	Credit Suisse AG - HSI R Bull CBBC Nov 2019 T	200,000,000	2018/06/26	2019/11/28	50.00
69924	Credit Suisse AG - HSI R Bull CBBC Nov 2019 V	200,000,000	2018/06/26	2019/11/28	50.00
69923	Credit Suisse AG - HSI R Bull CBBC Nov 2019 X	200,000,000	2018/06/26	2019/11/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60003	Credit Suisse AG - HSI R Bear CBBC Sep 2018 U	200,000,000	2018/06/26	2018/09/27	50.00
60042	Credit Suisse AG - HSI R Bear CBBC Sep 2018 Y	200,000,000	2018/06/26	2018/09/27	50.00
60068	Credit Suisse AG - HSI R Bear CBBC Oct 2018 A	200,000,000	2018/06/26	2018/10/30	50.00
60040	Credit Suisse AG - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/06/26	2018/10/30	50.00
69997	Credit Suisse AG - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/06/26	2018/10/30	50.00
69999	Credit Suisse AG - HSI R Bear CBBC Oct 2018 X	200,000,000	2018/06/26	2018/10/30	50.00
60044	Credit Suisse AG - HSI R Bear CBBC Oct 2018 Y	200,000,000	2018/06/26	2018/10/30	50.00
60049	Credit Suisse AG - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/06/26	2018/10/30	50.00
69933	Credit Suisse AG - Ping An R Bull CBBC Jan 2019 A	80,000,000	2018/06/26	2019/01/30	20.00
69995	Credit Suisse AG - Ping An R Bear CBBC Jan 2019 A	50,000,000	2018/06/26	2019/01/30	12.50
69986	Credit Suisse AG - Sands China R Bull CBBC Jan 2019 C	50,000,000	2018/06/26	2019/01/24	12.50
69928	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 Q	50,000,000	2018/06/26	2019/01/30	12.50
69963	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 R	50,000,000	2018/06/26	2019/01/24	12.50
69964	Credit Suisse AG - Tencent R Bear CBBC Jan 2019 B	50,000,000	2018/06/26	2019/01/30	12.50
60081	Credit Suisse AG - Tencent R Bear CBBC Jan 2019 C	50,000,000	2018/06/26	2019/01/24	12.50
69619	Bank of East Asia - Tencent R Bull CBBC Nov 2018 C	30,000,000	2018/06/26	2018/11/02	10.50
60159	Goldman Sachs SP (Asia) - Bank of China R Bull CBBC Feb 19 J	100,000,000	2018/06/26	2019/02/27	25.00
60148	Goldman Sachs SP (Asia) - China Life R Bull CBBC Feb 2019 C	100,000,000	2018/06/26	2019/02/27	25.00
69507	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Jan 2019 C	100,000,000	2018/06/26	2019/01/30	25.00
69723	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Jan 2019 D	100,000,000	2018/06/26	2019/01/30	25.00
69513	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Dec 2018 X	100,000,000	2018/06/26	2018/12/31	25.00
60184	Goldman Sachs SP (Asia) - HSCEI R Bear CBBC Oct 2018 A	100,000,000	2018/06/26	2018/10/30	25.30
69482	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 Q	100,000,000	2018/06/26	2019/12/30	25.00
69475	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 O	100,000,000	2018/06/26	2020/01/30	25.00
69477	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 P	100,000,000	2018/06/26	2020/01/30	25.00
69480	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 Q	100,000,000	2018/06/26	2020/01/30	25.00
69721	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 R	100,000,000	2018/06/26	2020/01/30	25.00
69481	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 S	100,000,000	2018/06/26	2020/01/30	25.00
69717	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 T	100,000,000	2018/06/26	2020/01/30	25.00
69720	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2020 U	100,000,000	2018/06/26	2020/01/30	25.00
60165	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 C	100,000,000	2018/06/26	2018/10/30	25.10
60172	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 D	100,000,000	2018/06/26	2018/10/30	25.20
60175	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2018 E	100,000,000	2018/06/26	2018/10/30	25.20
60177	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 E	100,000,000	2018/06/26	2018/11/29	25.20
60178	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 G	100,000,000	2018/06/26	2018/11/29	25.20
60143	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 K	100,000,000	2018/06/26	2019/02/27	25.00
69508	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2019 D	100,000,000	2018/06/26	2019/02/27	25.00
69511	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2019 E	100,000,000	2018/06/26	2019/02/27	25.00
69492	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 R	100,000,000	2018/06/26	2019/02/27	25.00
69497	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 S	100,000,000	2018/06/26	2019/02/27	25.00
69498	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 T	100,000,000	2018/06/26	2019/02/27	25.00
69722	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 U	100,000,000	2018/06/26	2019/02/27	25.00
60130	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 R	80,000,000	2018/06/26	2018/12/31	20.00
60141	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2018 S	80,000,000	2018/06/26	2018/12/31	20.00
69599	HK Bank - ABC R Bull CBBC Jan 2019 B	100,000,000	2018/06/26	2019/01/14	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69543	HK Bank - Geely Auto R Bull CBBC Nov 2018 C	40,000,000	2018/06/26	2018/11/26	10.00
69616	HK Bank - Geely Auto R Bear CBBC Nov 2018 B	40,000,000	2018/06/26	2018/11/19	14.00
69532	HK Bank - Galaxy Ent R Bull CBBC Nov 2018 F	80,000,000	2018/06/26	2018/11/26	20.00
69604	HK Bank - Galaxy Ent R Bull CBBC Nov 2018 G	80,000,000	2018/06/26	2018/11/26	20.00
69606	HK Bank - Galaxy Ent R Bull CBBC Dec 2018 A	80,000,000	2018/06/26	2018/12/03	20.00
69612	HK Bank - Galaxy Ent R Bear CBBC Nov 2018 C	40,000,000	2018/06/26	2018/11/19	10.00
69533	HK Bank - HKEX R Bull CBBC Nov 2018 P	50,000,000	2018/06/26	2018/11/26	12.50
69617	HK Bank - HKEX R Bear CBBC Dec 2018 B	40,000,000	2018/06/26	2018/12/03	10.00
69590	HK Bank - HSCEI R Bull CBBC Dec 2019 A	60,000,000	2018/06/26	2019/12/30	15.00
69589	HK Bank - HSCEI R Bear CBBC Oct 2018 H	50,000,000	2018/06/26	2018/10/30	12.50
69521	HK Bank - HSI R Bull CBBC Jul 2019 H	150,000,000	2018/06/26	2019/07/30	37.50
69531	HK Bank - HSI R Bull CBBC Jul 2019 I	150,000,000	2018/06/26	2019/07/30	37.50
69587	HK Bank - HSI R Bull CBBC Jul 2019 J	100,000,000	2018/06/26	2019/07/30	25.00
69575	HK Bank - HSI R Bull CBBC Sep 2019 D	150,000,000	2018/06/26	2019/09/27	37.50
69578	HK Bank - HSI R Bull CBBC Dec 2019 B	150,000,000	2018/06/26	2019/12/30	37.50
69586	HK Bank - HSI R Bull CBBC Dec 2019 C	150,000,000	2018/06/26	2019/12/30	37.50
69577	HK Bank - HSI R Bear CBBC Oct 2018 G	150,000,000	2018/06/26	2018/10/30	37.50
69585	HK Bank - HSI R Bear CBBC Oct 2018 H	150,000,000	2018/06/26	2018/10/30	37.50
69535	HK Bank - Tencent R Bull CBBC Nov 2018 X	60,000,000	2018/06/26	2018/11/19	15.00
69537	HK Bank - Tencent R Bull CBBC Dec 2018 E	60,000,000	2018/06/26	2018/12/03	15.00
69591	HK Bank - Tencent R Bear CBBC Dec 2018 C	50,000,000	2018/06/26	2018/12/10	12.50
69841	J P Morgan SP BV - HKEX R Bear CBBC Oct 2018 B	40,000,000	2018/06/26	2018/10/19	10.00
69905	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2019 I	100,000,000	2018/06/26	2019/12/30	25.00
69910	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2019 E	100,000,000	2018/06/26	2019/04/29	25.00
69912	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2019 F	100,000,000	2018/06/26	2019/04/29	25.00
69788	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 L	240,000,000	2018/06/26	2019/10/30	60.00
69787	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 B	200,000,000	2018/06/26	2019/11/28	50.00
69801	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 G	200,000,000	2018/06/26	2019/12/30	50.00
69780	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 H	240,000,000	2018/06/26	2019/12/30	60.00
69842	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/06/26	2018/10/30	50.00
69848	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 L	300,000,000	2018/06/26	2018/10/30	75.00
69854	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 M	240,000,000	2018/06/26	2018/10/30	60.00
69862	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/06/26	2018/10/30	50.00
69873	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 O	300,000,000	2018/06/26	2018/10/30	75.00
69881	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 P	200,000,000	2018/06/26	2018/10/30	50.00
69882	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/06/26	2018/10/30	50.00
69900	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 R	300,000,000	2018/06/26	2018/10/30	75.00
69903	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/06/26	2018/10/30	50.00
69825	J P Morgan SP BV - Ping An R Bear CBBC Oct 2018 B	100,000,000	2018/06/26	2018/10/19	25.00
69803	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 L	50,000,000	2018/06/26	2018/10/19	12.50
69804	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 M	50,000,000	2018/06/26	2018/10/12	12.50
69820	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 N	50,000,000	2018/06/26	2018/10/12	12.50
69821	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 O	50,000,000	2018/06/26	2018/10/19	12.50
69814	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 F	50,000,000	2018/06/26	2018/10/19	12.50
69818	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 G	50,000,000	2018/06/26	2018/10/12	12.50

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69819	J P Morgan SP BV - Tencent R Bear CBBC Nov 2018 A	50,000,000	2018/06/26	2018/11/09	12.50
69620	Macquarie Bank Ltd. - Galaxy Ent R Bull CBBC Jan 2019 B	39,000,000	2018/06/26	2019/01/30	10.06
60338	SG Issuer - China Life R Bull CBBC Dec 2018 I	40,000,000	2018/06/26	2018/12/17	10.00
60341	SG Issuer - China Life R Bull CBBC Dec 2018 J	40,000,000	2018/06/26	2018/12/19	10.00
60208	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 Q	60,000,000	2018/06/26	2018/10/22	15.00
60213	SG Issuer - CSOP A50 ETF R Bull CBBC Oct 2018 R	60,000,000	2018/06/26	2018/10/26	15.00
60350	SG Issuer - HKEX R Bull CBBC Oct 2018 P	50,000,000	2018/06/26	2018/10/22	12.50
60256	SG Issuer - HSBC R Bull CBBC Nov 2018 D	100,000,000	2018/06/26	2018/11/29	25.00
60251	SG Issuer - HSI R Bull CBBC Sep 2019 Y	200,000,000	2018/06/26	2019/09/27	50.00
60282	SG Issuer - HSI R Bull CBBC Oct 2019 C	200,000,000	2018/06/26	2019/10/30	50.00
60243	SG Issuer - HSI R Bull CBBC Oct 2019 I	200,000,000	2018/06/26	2019/10/30	50.00
60331	SG Issuer - HSI R Bull CBBC Nov 2019 G	200,000,000	2018/06/26	2019/11/28	50.00
60283	SG Issuer - HSI R Bull CBBC Nov 2019 N	200,000,000	2018/06/26	2019/11/28	50.00
60289	SG Issuer - HSI R Bull CBBC Nov 2019 Q	200,000,000	2018/06/26	2019/11/28	50.00
60291	SG Issuer - HSI R Bull CBBC Dec 2019 A	200,000,000	2018/06/26	2019/12/30	50.00
60332	SG Issuer - HSI R Bull CBBC Dec 2019 C	200,000,000	2018/06/26	2019/12/30	50.00
60337	SG Issuer - HSI R Bull CBBC Dec 2019 E	200,000,000	2018/06/26	2019/12/30	50.00
60309	SG Issuer - HSI R Bull CBBC Dec 2019 F	200,000,000	2018/06/26	2019/12/30	50.00
60214	SG Issuer - HSI R Bear CBBC Oct 2018 L	200,000,000	2018/06/26	2018/10/30	50.00
60215	SG Issuer - HSI R Bear CBBC Oct 2018 Q	200,000,000	2018/06/26	2018/10/30	50.00
60219	SG Issuer - HSI R Bear CBBC Nov 2018 S	200,000,000	2018/06/26	2018/11/29	50.00
60227	SG Issuer - HSI R Bear CBBC Nov 2018 T	200,000,000	2018/06/26	2018/11/29	50.00
60217	SG Issuer - HSI R Bear CBBC Nov 2018 U	200,000,000	2018/06/26	2018/11/29	50.00
60232	SG Issuer - HSI R Bear CBBC Nov 2018 V	200,000,000	2018/06/26	2018/11/29	50.00
60235	SG Issuer - HSI R Bear CBBC Nov 2018 W	200,000,000	2018/06/26	2018/11/29	50.00
60236	SG Issuer - HSI R Bear CBBC Dec 2018 Q	200,000,000	2018/06/26	2018/12/28	50.00
60238	SG Issuer - HSI R Bear CBBC Dec 2018 R	200,000,000	2018/06/26	2018/12/28	50.00
60250	SG Issuer - HSI R Bear CBBC Dec 2018 S	200,000,000	2018/06/26	2018/12/28	50.00
60352	SG Issuer - ICBC R Bull CBBC Dec 2018 E	60,000,000	2018/06/26	2018/12/19	15.00
60190	SG Issuer - Ping An R Bull CBBC Nov 2018 B	100,000,000	2018/06/26	2018/11/15	25.00
60312	SG Issuer - Ping An R Bull CBBC Nov 2018 F	100,000,000	2018/06/26	2018/11/29	25.00
60323	SG Issuer - Tencent R Bull CBBC Oct 2018 G	80,000,000	2018/06/26	2018/10/15	20.00
60325	SG Issuer - Tencent R Bull CBBC Oct 2018 H	80,000,000	2018/06/26	2018/10/19	20.00
60315	SG Issuer - Tencent R Bull CBBC Oct 2018 T	80,000,000	2018/06/26	2018/10/11	20.00
60197	SG Issuer - Tencent R Bull CBBC Oct 2018 V	80,000,000	2018/06/26	2018/10/22	20.00
60257	SG Issuer - Tencent R Bear CBBC Sep 2018 D	80,000,000	2018/06/26	2018/09/27	20.00
60192	SG Issuer - Tencent R Bear CBBC Sep 2018 E	80,000,000	2018/06/26	2018/09/28	20.00
60259	SG Issuer - Tencent R Bear CBBC Sep 2018 H	80,000,000	2018/06/26	2018/09/28	20.00
69779	UBS AG - CC Bank R Bull CBBC Nov 2018 B	80,000,000	2018/06/26	2018/11/15	20.00
69777	UBS AG - Galaxy Ent R Bull CBBC Oct 2018 E	40,000,000	2018/06/26	2018/10/29	10.00
69735	UBS AG - HSI R Bull CBBC Nov 2019 E	200,000,000	2018/06/26	2019/11/28	50.00
69763	UBS AG - HSI R Bull CBBC Nov 2019 F	250,000,000	2018/06/26	2019/11/28	62.50
69734	UBS AG - HSI R Bull CBBC Nov 2019 M	250,000,000	2018/06/26	2019/11/28	62.50
69742	UBS AG - HSI R Bull CBBC Nov 2019 N	250,000,000	2018/06/26	2019/11/28	62.50
69753	UBS AG - HSI R Bull CBBC Dec 2019 B	200,000,000	2018/06/26	2019/12/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69774	UBS AG - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/06/26	2019/12/30	50.00
69732	UBS AG - HSI R Bull CBBC Dec 2019 Q	200,000,000	2018/06/26	2019/12/30	50.00
60087	UBS AG - HSI R Bear CBBC Sep 2018 V	200,000,000	2018/06/26	2018/09/27	50.00
60105	UBS AG - HSI R Bear CBBC Sep 2018 W	200,000,000	2018/06/26	2018/09/27	50.00
60116	UBS AG - HSI R Bear CBBC Nov 2018 A	250,000,000	2018/06/26	2018/11/29	62.50
60128	UBS AG - HSI R Bear CBBC Nov 2018 B	200,000,000	2018/06/26	2018/11/29	50.00
60088	UBS AG - HSI R Bear CBBC Oct 2019 A	250,000,000	2018/06/26	2019/10/30	62.50
60104	UBS AG - HSI R Bear CBBC Oct 2019 B	200,000,000	2018/06/26	2019/10/30	50.00
60107	UBS AG - HSI R Bear CBBC Oct 2019 C	200,000,000	2018/06/26	2019/10/30	50.00
60118	UBS AG - HSI R Bear CBBC Oct 2019 D	250,000,000	2018/06/26	2019/10/30	62.50
69760	UBS AG - Tencent R Bull CBBC Oct 2018 S	30,000,000	2018/06/26	2018/10/03	10.20
69761	UBS AG - Tencent R Bull CBBC Oct 2018 T	30,000,000	2018/06/26	2018/10/16	10.20
69775	UBS AG - Tencent R Bull CBBC Oct 2018 U	30,000,000	2018/06/26	2018/10/25	10.20
60124	UBS AG - Tencent R Bear CBBC Oct 2018 M	30,000,000	2018/06/26	2018/10/24	10.20
60125	UBS AG - Tencent R Bear CBBC Oct 2018 N	30,000,000	2018/06/26	2018/10/31	10.20
69715	Bank Vontobel - CC Bank R Bull CBBC Oct 2018 D	40,000,000	2018/06/26	2018/10/26	10.00
69708	Bank Vontobel - CC Bank R Bear CBBC Nov 2018 C	40,000,000	2018/06/26	2018/11/16	10.04
69694	Bank Vontobel - HKEX R Bear CBBC Oct 2018 A	40,000,000	2018/06/26	2018/10/19	10.12
69640	Bank Vontobel - HSI R Bull CBBC Jan 2019 S	100,000,000	2018/06/26	2019/01/30	25.20
69641	Bank Vontobel - HSI R Bull CBBC Jan 2019 T	100,000,000	2018/06/26	2019/01/30	25.30
69644	Bank Vontobel - HSI R Bull CBBC Jan 2019 U	100,000,000	2018/06/26	2019/01/30	25.10
69647	Bank Vontobel - HSI R Bull CBBC Jan 2019 V	100,000,000	2018/06/26	2019/01/30	25.40
69652	Bank Vontobel - HSI R Bull CBBC Jan 2019 W	100,000,000	2018/06/26	2019/01/30	25.30
69654	Bank Vontobel - HSI R Bull CBBC Jan 2019 X	100,000,000	2018/06/26	2019/01/30	25.20
69668	Bank Vontobel - HSI R Bull CBBC Jan 2019 Y	100,000,000	2018/06/26	2019/01/30	25.20
69658	Bank Vontobel - HSI R Bear CBBC Dec 2018 A	100,000,000	2018/06/26	2018/12/28	25.00
69662	Bank Vontobel - HSI R Bear CBBC Dec 2018 B	100,000,000	2018/06/26	2018/12/28	25.10
69728	Bank Vontobel - HSI R Bear CBBC Dec 2018 C	100,000,000	2018/06/26	2018/12/28	25.70
69646	Bank Vontobel - Tencent R Bull CBBC Dec 2018 R	40,000,000	2018/06/26	2018/12/14	10.04
69655	Bank Vontobel - Tencent R Bear CBBC Oct 2018 E	40,000,000	2018/06/26	2018/10/26	10.08
60561	BOCI Asia Ltd. - Tencent R Bear CBBC Oct 2018 D	40,000,000	2018/06/27	2018/10/29	10.48
60468	BNP Paribas Issuance B.V. - HSCEI R Bull CBBC Sep 2019 M	100,000,000	2018/06/27	2019/09/27	25.00
60454	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2018 A	50,000,000	2018/06/27	2018/12/28	12.50
60710	Credit Suisse AG - Country Garden R Bull CBBC Dec 2018 B	40,000,000	2018/06/27	2018/12/28	10.00
60703	Credit Suisse AG - HKEX R Bear CBBC Jan 2019 C	50,000,000	2018/06/27	2019/01/25	12.50
60711	Credit Suisse AG - HSI R Bull CBBC Oct 2019 G	200,000,000	2018/06/27	2019/10/30	50.00
60713	Credit Suisse AG - HSI R Bull CBBC Oct 2019 R	200,000,000	2018/06/27	2019/10/30	50.00
60712	Credit Suisse AG - HSI R Bull CBBC Dec 2019 S	200,000,000	2018/06/27	2019/12/30	50.00
60668	Credit Suisse AG - HSI R Bear CBBC Oct 2018 B	200,000,000	2018/06/27	2018/10/30	50.00
60672	Credit Suisse AG - HSI R Bear CBBC Oct 2018 C	200,000,000	2018/06/27	2018/10/30	50.00
60670	Credit Suisse AG - HSI R Bear CBBC Nov 2018 D	200,000,000	2018/06/27	2018/11/29	50.00
60674	Credit Suisse AG - HSI R Bear CBBC Nov 2018 E	200,000,000	2018/06/27	2018/11/29	50.00
60684	Credit Suisse AG - HSI R Bear CBBC Nov 2018 F	200,000,000	2018/06/27	2018/11/29	50.00
60698	Credit Suisse AG - HSI R Bear CBBC Nov 2018 G	200,000,000	2018/06/27	2018/11/29	50.00
60702	Credit Suisse AG - HSI R Bear CBBC Dec 2018 A	250,000,000	2018/06/27	2018/12/28	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60669	Credit Suisse AG - Ping An R Bull CBBC Jan 2019 B	80,000,000	2018/06/27	2019/01/25	20.00
60704	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 S	50,000,000	2018/06/27	2019/01/15	12.50
60705	Credit Suisse AG - Tencent R Bear CBBC Jan 2019 D	50,000,000	2018/06/27	2019/01/18	12.50
60706	Credit Suisse AG - Tencent R Bear CBBC Jan 2019 E	50,000,000	2018/06/27	2019/01/25	12.50
60743	Goldman Sachs SP (Asia) - AIA R Bull CBBC Jan 2019 B	100,000,000	2018/06/27	2019/01/31	25.00
60751	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Mar 2019E	100,000,000	2018/06/27	2019/03/27	25.00
60744	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Jan 2019 C	100,000,000	2018/06/27	2019/01/31	25.00
60368	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2019 D	100,000,000	2018/06/27	2019/02/18	25.00
60714	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 H	100,000,000	2018/06/27	2019/10/30	25.10
60715	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 S	100,000,000	2018/06/27	2019/10/30	25.00
60724	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 T	100,000,000	2018/06/27	2019/10/30	25.10
60720	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2019 V	100,000,000	2018/06/27	2019/10/30	25.10
60378	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 F	100,000,000	2018/06/27	2018/11/29	25.00
60374	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 H	100,000,000	2018/06/27	2018/11/29	25.00
60377	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 I	100,000,000	2018/06/27	2018/11/29	25.10
60381	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 M	100,000,000	2018/06/27	2018/11/29	25.10
60385	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 N	100,000,000	2018/06/27	2018/11/29	25.00
60373	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2019 F	100,000,000	2018/06/27	2019/02/27	25.00
60731	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Dec 2018 W	100,000,000	2018/06/27	2018/12/31	25.00
60445	HK Bank - AAC Tech R Bear CBBC Oct 2018 A	40,000,000	2018/06/27	2018/10/22	10.00
60416	HK Bank - CC Bank R Bull CBBC Feb 2019 A	100,000,000	2018/06/27	2019/02/04	25.00
60442	HK Bank - China Life R Bull CBBC Jan 2019 A	40,000,000	2018/06/27	2019/01/07	14.00
60431	HK Bank - CSOP A50 ETF R Bull CBBC Feb 2019 A	50,000,000	2018/06/27	2019/02/11	12.50
60433	HK Bank - CSOP A50 ETF R Bear CBBC Nov 2018 A	40,000,000	2018/06/27	2018/11/12	10.00
60357	HK Bank - HKEX R Bull CBBC Dec 2018 C	50,000,000	2018/06/27	2018/12/03	12.50
60359	HK Bank - HSI R Bull CBBC Jul 2019 K	100,000,000	2018/06/27	2019/07/30	25.00
60430	HK Bank - HSI R Bull CBBC Sep 2019 E	150,000,000	2018/06/27	2019/09/27	37.50
60409	HK Bank - HSI R Bull CBBC Dec 2019 D	150,000,000	2018/06/27	2019/12/30	37.50
60411	HK Bank - HSI R Bear CBBC Nov 2018 C	100,000,000	2018/06/27	2018/11/29	25.00
60415	HK Bank - HSI R Bear CBBC Nov 2018 D	150,000,000	2018/06/27	2018/11/29	37.50
60448	HK Bank - ICBC R Bull CBBC Mar 2019 A	100,000,000	2018/06/27	2019/03/04	25.00
60423	HK Bank - Tencent R Bull CBBC Nov 2018 Y	60,000,000	2018/06/27	2018/11/22	15.00
60420	HK Bank - Tencent R Bear CBBC Dec 2018 D	50,000,000	2018/06/27	2018/12/17	12.50
60546	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2018 B	100,000,000	2018/06/27	2018/11/09	25.00
60550	J P Morgan SP BV - HSBC R Bull CBBC Nov 2018 D	100,000,000	2018/06/27	2018/11/09	25.00
60493	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/06/27	2019/11/28	50.00
60754	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 R	200,000,000	2018/06/27	2019/11/28	50.00
60485	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 K	200,000,000	2018/06/27	2019/12/30	50.00
60495	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 T	300,000,000	2018/06/27	2018/10/30	75.00
60502	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/06/27	2018/10/30	50.00
60503	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 V	200,000,000	2018/06/27	2018/10/30	50.00
60515	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 W	300,000,000	2018/06/27	2018/10/30	75.00
60519	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 X	200,000,000	2018/06/27	2018/10/30	50.00
60522	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 Y	300,000,000	2018/06/27	2018/10/30	75.00
60551	J P Morgan SP BV - ICBC R Bull CBBC Dec 2018 A	100,000,000	2018/06/27	2018/12/14	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60526	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 P	50,000,000	2018/06/27	2018/10/19	12.50
60530	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 H	50,000,000	2018/06/27	2018/10/12	12.50
60451	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 M	16,000,000	2018/06/27	2018/12/14	10.00
60452	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 H	13,500,000	2018/06/27	2018/12/14	10.03
60820	SG Issuer - AIA R Bear CBBC Nov 2018 C	60,000,000	2018/06/27	2018/11/16	15.00
60764	SG Issuer - Bank of China R Bull CBBC May 2019 A	100,000,000	2018/06/27	2019/05/30	25.00
60824	SG Issuer - Country Garden R Bull CBBC Dec 2018 C	40,000,000	2018/06/27	2018/12/07	10.00
60832	SG Issuer - Country Garden R Bull CBBC Dec 2018 D	40,000,000	2018/06/27	2018/12/21	10.00
60823	SG Issuer - CNOOC R Bull CBBC Nov 2018 E	40,000,000	2018/06/27	2018/11/23	10.00
60821	SG Issuer - CPIC R Bull CBBC Jul 2019 A	100,000,000	2018/06/27	2019/07/30	25.00
60785	SG Issuer - HKEX R Bull CBBC Oct 2018 Q	50,000,000	2018/06/27	2018/10/26	12.50
60846	SG Issuer - HSI R Bull CBBC Oct 2019 E	200,000,000	2018/06/27	2019/10/30	50.00
60849	SG Issuer - HSI R Bull CBBC Nov 2019 H	200,000,000	2018/06/27	2019/11/28	50.00
60850	SG Issuer - HSI R Bull CBBC Nov 2019 I	200,000,000	2018/06/27	2019/11/28	50.00
60853	SG Issuer - HSI R Bear CBBC Oct 2018 X	200,000,000	2018/06/27	2018/10/30	50.00
60774	SG Issuer - HSI R Bear CBBC Nov 2018 D	200,000,000	2018/06/27	2018/11/29	50.00
60775	SG Issuer - HSI R Bear CBBC Nov 2018 M	200,000,000	2018/06/27	2018/11/29	50.00
60762	SG Issuer - HSI R Bear CBBC Dec 2018 T	200,000,000	2018/06/27	2018/12/28	50.00
60777	SG Issuer - HSI R Bear CBBC Dec 2018 U	200,000,000	2018/06/27	2018/12/28	50.00
60783	SG Issuer - HSI R Bear CBBC Dec 2018 W	200,000,000	2018/06/27	2018/12/28	50.00
60834	SG Issuer - ICBC R Bull CBBC Dec 2018 F	60,000,000	2018/06/27	2018/12/14	15.00
60765	SG Issuer - Ping An R Bull CBBC Nov 2018 X	100,000,000	2018/06/27	2018/11/22	25.00
60838	SG Issuer - Tencent R Bull CBBC Oct 2018 B	80,000,000	2018/06/27	2018/10/22	20.00
60804	SG Issuer - Tencent R Bull CBBC Oct 2018 W	80,000,000	2018/06/27	2018/10/18	20.00
60815	SG Issuer - Tencent R Bear CBBC Oct 2018 P	80,000,000	2018/06/27	2018/10/22	20.00
60632	UBS AG - CSOP A50 ETF R Bull CBBC Oct 2018 L	50,000,000	2018/06/27	2018/10/08	12.50
60635	UBS AG - A50 China R Bull CBBC Oct 2018 F	50,000,000	2018/06/27	2018/10/22	12.50
60630	UBS AG - HSCEI R Bear CBBC Oct 2018 A	100,000,000	2018/06/27	2018/10/30	25.00
60665	UBS AG - HSI R Bull CBBC Oct 2019 F	200,000,000	2018/06/27	2019/10/30	50.00
60660	UBS AG - HSI R Bull CBBC Oct 2019 W	250,000,000	2018/06/27	2019/10/30	62.50
60575	UBS AG - HSI R Bull CBBC Nov 2019 G	250,000,000	2018/06/27	2019/11/28	62.50
60578	UBS AG - HSI R Bear CBBC Oct 2018 M	250,000,000	2018/06/27	2018/10/30	62.50
60584	UBS AG - HSI R Bear CBBC Oct 2018 N	200,000,000	2018/06/27	2018/10/30	50.00
60591	UBS AG - HSI R Bear CBBC Oct 2018 O	250,000,000	2018/06/27	2018/10/30	62.50
60609	UBS AG - HSI R Bear CBBC Oct 2018 P	250,000,000	2018/06/27	2018/10/30	62.50
60622	UBS AG - HSI R Bear CBBC Oct 2018 Q	250,000,000	2018/06/27	2018/10/30	62.50
60646	UBS AG - Tencent R Bear CBBC Oct 2018 O	30,000,000	2018/06/27	2018/10/18	10.20
60390	Bank Vontobel - HSI N Bull CBBC Feb 2019 A	100,000,000	2018/06/27	2019/02/27	25.00
60395	Bank Vontobel - HSI N Bull CBBC Feb 2019 B	100,000,000	2018/06/27	2019/02/27	25.20
60407	Bank Vontobel - HSI N Bear CBBC Dec 2018 D	100,000,000	2018/06/27	2018/12/28	25.10
60408	Bank Vontobel - HSI N Bear CBBC Dec 2018 E	100,000,000	2018/06/27	2018/12/28	25.40
61174	Credit Suisse AG - CC Bank R Bear CBBC Nov 2018 B	50,000,000	2018/06/28	2018/11/29	12.50
61184	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2019 I	50,000,000	2018/06/28	2019/01/30	12.50
61191	Credit Suisse AG - A50 China R Bull CBBC Jan 2019 B	50,000,000	2018/06/28	2019/01/30	12.50
61144	Credit Suisse AG - HKEX R Bull CBBC Feb 2019 C	50,000,000	2018/06/28	2019/02/27	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61149	Credit Suisse AG - HKEX R Bear CBBC Jan 2019 D	50,000,000	2018/06/28	2019/01/17	12.50
61132	Credit Suisse AG - HSI R Bull CBBC Oct 2019 T	200,000,000	2018/06/28	2019/10/30	50.00
61134	Credit Suisse AG - HSI R Bull CBBC Oct 2019 U	200,000,000	2018/06/28	2019/10/30	50.00
61214	Credit Suisse AG - HSI R Bull CBBC Oct 2019 W	200,000,000	2018/06/28	2019/10/30	50.00
61128	Credit Suisse AG - HSI R Bull CBBC Nov 2019 Y	200,000,000	2018/06/28	2019/11/28	50.00
61208	Credit Suisse AG - HSI R Bull CBBC Dec 2019 T	250,000,000	2018/06/28	2019/12/30	62.50
61136	Credit Suisse AG - HSI R Bear CBBC Nov 2018 H	200,000,000	2018/06/28	2018/11/29	50.00
61198	Credit Suisse AG - HSI R Bear CBBC Nov 2018 I	200,000,000	2018/06/28	2018/11/29	50.00
61200	Credit Suisse AG - HSI R Bear CBBC Nov 2018 J	200,000,000	2018/06/28	2018/11/29	50.00
61207	Credit Suisse AG - HSI R Bear CBBC Nov 2018 K	200,000,000	2018/06/28	2018/11/29	50.00
61203	Credit Suisse AG - HSI R Bear CBBC Dec 2018 B	250,000,000	2018/06/28	2018/12/28	62.50
61206	Credit Suisse AG - HSI R Bear CBBC Dec 2018 C	200,000,000	2018/06/28	2018/12/28	50.00
61213	Credit Suisse AG - HSI R Bear CBBC Dec 2018 D	200,000,000	2018/06/28	2018/12/28	50.00
61183	Credit Suisse AG - ICBC R Bear CBBC Nov 2018 B	50,000,000	2018/06/28	2018/11/29	12.50
61158	Credit Suisse AG - Tencent R Bull CBBC Feb 2019 A	50,000,000	2018/06/28	2019/02/27	24.50
61163	Credit Suisse AG - Tencent R Bull CBBC Feb 2019 B	50,000,000	2018/06/28	2019/02/27	29.00
60996	Bank of East Asia - Ping An R Bull CBBC Feb 2019 B	120,000,000	2018/06/28	2019/02/26	30.00
60987	Bank of East Asia - Tencent R Bull CBBC Feb 2019 F	30,000,000	2018/06/28	2019/02/26	10.50
60992	Bank of East Asia - Tencent R Bull CBBC Feb 2019 G	30,000,000	2018/06/28	2019/02/26	10.50
60986	Bank of East Asia - Tencent R Bear CBBC Feb 2019 A	30,000,000	2018/06/28	2019/02/26	18.00
60981	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2019 E	100,000,000	2018/06/28	2019/02/18	25.00
60890	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2019 F	100,000,000	2018/06/28	2019/11/28	25.10
61363	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 B	100,000,000	2018/06/28	2019/12/30	25.00
60985	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 R	100,000,000	2018/06/28	2019/12/30	25.10
60864	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 T	100,000,000	2018/06/28	2018/11/29	25.10
60876	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2018 U	100,000,000	2018/06/28	2018/11/29	25.00
60880	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 J	100,000,000	2018/06/28	2018/12/28	25.00
60884	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 K	100,000,000	2018/06/28	2018/12/28	25.20
61362	Goldman Sachs SP (Asia) - ICBC R Bull CBBC Feb 2019 L	100,000,000	2018/06/28	2019/02/28	25.00
60893	HK Bank - AAC Tech R Bull CBBC Jan 2019 A	40,000,000	2018/06/28	2019/01/07	10.00
60979	HK Bank - Bank of China R Bull CBBC Feb 2019 A	100,000,000	2018/06/28	2019/02/04	25.00
60969	HK Bank - CM Bank R Bull CBBC Apr 2019 A	100,000,000	2018/06/28	2019/04/15	25.00
60966	HK Bank - CM Bank R Bull CBBC May 2019 A	100,000,000	2018/06/28	2019/05/06	25.00
60898	HK Bank - Galaxy Ent R Bull CBBC Dec 2018 B	80,000,000	2018/06/28	2018/12/17	20.00
60964	HK Bank - HKEX R Bull CBBC Nov 2018 Q	50,000,000	2018/06/28	2018/11/19	12.50
60901	HK Bank - HKEX R Bull CBBC Jan 2019 A	50,000,000	2018/06/28	2019/01/07	15.00
60899	HK Bank - HKEX R Bull CBBC Feb 2019 A	50,000,000	2018/06/28	2019/02/04	15.00
60954	HK Bank - HSI R Bull CBBC Jul 2019 L	150,000,000	2018/06/28	2019/07/30	37.50
60916	HK Bank - HSI R Bull CBBC Dec 2019 E	100,000,000	2018/06/28	2019/12/30	25.00
60948	HK Bank - HSI R Bull CBBC Dec 2019 F	100,000,000	2018/06/28	2019/12/30	25.00
60908	HK Bank - HSI R Bear CBBC Nov 2018 E	150,000,000	2018/06/28	2018/11/29	37.50
60938	HK Bank - HSI R Bear CBBC Nov 2018 F	100,000,000	2018/06/28	2018/11/29	25.00
60902	HK Bank - ICBC R Bull CBBC Jan 2019 A	100,000,000	2018/06/28	2019/01/07	25.00
60971	HK Bank - Ping An R Bull CBBC Jan 2019 A	100,000,000	2018/06/28	2019/01/07	25.00
61062	J P Morgan SP BV - AAC Tech R Bull CBBC Oct 2018 C	40,000,000	2018/06/28	2018/10/19	10.20

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61066	J P Morgan SP BV - CC Bank R Bull CBBC Oct 2018 B	100,000,000	2018/06/28	2018/10/19	25.00
61058	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 F	300,000,000	2018/06/28	2019/10/30	75.00
61056	J P Morgan SP BV - HSI R Bull CBBC Oct 2019 H	300,000,000	2018/06/28	2019/10/30	75.00
61078	J P Morgan SP BV - HSI R Bear CBBC Oct 2018 Z	200,000,000	2018/06/28	2018/10/30	50.00
61080	J P Morgan SP BV - HSI R Bear CBBC Nov 2018 A	200,000,000	2018/06/28	2018/11/29	50.00
61088	J P Morgan SP BV - HSI R Bear CBBC Nov 2018 B	240,000,000	2018/06/28	2018/11/29	60.00
61096	J P Morgan SP BV - HSI R Bear CBBC Nov 2018 C	200,000,000	2018/06/28	2018/11/29	50.00
61110	J P Morgan SP BV - HSI R Bear CBBC Nov 2018 D	300,000,000	2018/06/28	2018/11/29	75.00
61113	J P Morgan SP BV - HSI R Bear CBBC Nov 2018 E	300,000,000	2018/06/28	2018/11/29	75.00
61065	J P Morgan SP BV - Sunny Optical R Bear CBBC Oct 2018 B	40,000,000	2018/06/28	2018/10/19	10.00
61073	J P Morgan SP BV - Tencent R Bull CBBC Nov 2018 B	50,000,000	2018/06/28	2018/11/09	12.50
61072	J P Morgan SP BV - Tencent R Bear CBBC Oct 2018 I	50,000,000	2018/06/28	2018/10/12	12.50
61300	SG Issuer - AAC Tech R Bear CBBC Nov 2018 B	40,000,000	2018/06/28	2018/11/20	10.00
61246	SG Issuer - Geely Auto R Bull CBBC Dec 2019 A	100,000,000	2018/06/28	2019/12/30	25.00
61347	SG Issuer - HKEX R Bull CBBC Oct 2018 R	50,000,000	2018/06/28	2018/10/19	12.50
61247	SG Issuer - HKEX R Bull CBBC Dec 2018 Q	50,000,000	2018/06/28	2018/12/07	17.50
61249	SG Issuer - HKEX R Bull CBBC Dec 2018 R	50,000,000	2018/06/28	2018/12/13	20.00
61252	SG Issuer - HKEX R Bull CBBC Dec 2018 S	50,000,000	2018/06/28	2018/12/17	22.50
61348	SG Issuer - HSBC R Bull CBBC Dec 2018 M	100,000,000	2018/06/28	2018/12/12	25.00
61349	SG Issuer - HSBC R Bull CBBC Dec 2018 N	100,000,000	2018/06/28	2018/12/19	25.00
61298	SG Issuer - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/06/28	2019/10/30	50.00
61322	SG Issuer - HSI R Bull CBBC Oct 2019 P	200,000,000	2018/06/28	2019/10/30	50.00
61329	SG Issuer - HSI R Bull CBBC Nov 2019 J	200,000,000	2018/06/28	2019/11/28	50.00
61330	SG Issuer - HSI R Bull CBBC Dec 2019 J	200,000,000	2018/06/28	2019/12/30	50.00
61263	SG Issuer - HSI R Bear CBBC Oct 2018 H	200,000,000	2018/06/28	2018/10/30	50.00
61264	SG Issuer - HSI R Bear CBBC Oct 2018 K	200,000,000	2018/06/28	2018/10/30	50.00
61269	SG Issuer - HSI R Bear CBBC Nov 2018 C	200,000,000	2018/06/28	2018/11/29	50.00
61267	SG Issuer - HSI R Bear CBBC Nov 2018 Z	200,000,000	2018/06/28	2018/11/29	50.00
61284	SG Issuer - HSI R Bear CBBC Dec 2018 V	200,000,000	2018/06/28	2018/12/28	50.00
61270	SG Issuer - HSI R Bear CBBC Dec 2018 Y	200,000,000	2018/06/28	2018/12/28	50.00
61294	SG Issuer - HSI R Bear CBBC Dec 2018 Z	200,000,000	2018/06/28	2018/12/28	50.00
61221	SG Issuer - Ping An R Bull CBBC Oct 2018 K	100,000,000	2018/06/28	2018/10/29	25.00
61230	SG Issuer - Ping An R Bull CBBC Dec 2018 H	100,000,000	2018/06/28	2018/12/19	25.00
61223	SG Issuer - Ping An R Bear CBBC Nov 2018 G	100,000,000	2018/06/28	2018/11/20	25.00
61235	SG Issuer - Sands China R Bull CBBC Apr 2019 A	60,000,000	2018/06/28	2019/04/15	15.00
61241	SG Issuer - Sands China R Bull CBBC Apr 2019 B	60,000,000	2018/06/28	2019/04/26	15.00
61302	SG Issuer - Sunny Optical R Bear CBBC Nov 2018 B	40,000,000	2018/06/28	2018/11/08	10.00
61307	SG Issuer - Tencent R Bull CBBC Sep 2018 K	80,000,000	2018/06/28	2018/09/28	20.00
61245	SG Issuer - Tencent R Bull CBBC Sep 2018 N	80,000,000	2018/06/28	2018/09/28	20.00
61259	SG Issuer - Tencent R Bull CBBC Dec 2018 A	80,000,000	2018/06/28	2018/12/21	51.20
61262	SG Issuer - Tencent R Bull CBBC Dec 2018 G	80,000,000	2018/06/28	2018/12/28	71.20
61310	SG Issuer - Tencent R Bear CBBC Sep 2018 O	80,000,000	2018/06/28	2018/09/28	20.00
61117	UBS AG - HSI R Bull CBBC Nov 2019 L	200,000,000	2018/06/28	2019/11/28	50.00
61001	UBS AG - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/06/28	2019/12/30	50.00
61004	UBS AG - HSI R Bear CBBC Oct 2018 R	250,000,000	2018/06/28	2018/10/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61008	UBS AG - HSI R Bear CBBC Oct 2018 S	200,000,000	2018/06/28	2018/10/30	50.00
61009	UBS AG - HSI R Bear CBBC Oct 2018 T	200,000,000	2018/06/28	2018/10/30	50.00
61038	UBS AG - HSI R Bear CBBC Oct 2018 U	200,000,000	2018/06/28	2018/10/30	50.00
61054	UBS AG - HSI R Bear CBBC Oct 2018 V	200,000,000	2018/06/28	2018/10/30	50.00
61055	UBS AG - HSI R Bear CBBC Oct 2018 W	200,000,000	2018/06/28	2018/10/30	50.00
61040	UBS AG - Tencent R Bull CBBC Nov 2018 K	30,000,000	2018/06/28	2018/11/12	10.20
61582	BOCI Asia Ltd. - Geely Auto R Bull CBBC Oct 2018 D	40,000,000	2018/06/29	2018/10/29	12.12
61577	BOCI Asia Ltd. - HKEX R Bull CBBC Oct 2018 B	40,000,000	2018/06/29	2018/10/08	10.40
61546	BOCI Asia Ltd. - Tencent R Bull CBBC Oct 2018 F	40,000,000	2018/06/29	2018/10/29	10.04
61564	BOCI Asia Ltd. - Tencent R Bear CBBC Oct 2018 E	40,000,000	2018/06/29	2018/10/29	10.32
61461	BNP Paribas Issuance B.V. - CC Bank R Bull CBBC Nov 2018 Y	100,000,000	2018/06/29	2018/11/29	25.00
61452	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2018 Z	100,000,000	2018/06/29	2018/11/29	25.00
61428	BNP Paribas Issuance B.V. - HKEX R Bull CBBC May 2019 A	50,000,000	2018/06/29	2019/05/30	12.50
61437	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 G	50,000,000	2018/06/29	2019/03/28	12.50
61439	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Mar 2019 H	50,000,000	2018/06/29	2019/03/28	12.50
61450	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Jan 2019 A	150,000,000	2018/06/29	2019/01/30	37.50
61735	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 F	250,000,000	2018/06/29	2019/12/30	62.50
61411	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 L	250,000,000	2018/06/29	2019/12/30	62.50
61402	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2019 P	250,000,000	2018/06/29	2019/12/30	62.50
61412	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 I	250,000,000	2018/06/29	2019/01/30	62.50
61420	BNP Paribas Issuance B.V. - HSI R Bear CBBC Jan 2019 J	250,000,000	2018/06/29	2019/01/30	62.50
61441	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Nov 2018 O	200,000,000	2018/06/29	2018/11/29	50.00
61425	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2018 L	50,000,000	2018/06/29	2018/11/29	12.50
61724	Credit Suisse AG - Country Garden R Bull CBBC Jan 2019 B	40,000,000	2018/06/29	2019/01/30	10.00
61733	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2019 A	50,000,000	2018/06/29	2019/02/27	12.50
61732	Credit Suisse AG - HSI R Bull CBBC Nov 2019 B	250,000,000	2018/06/29	2019/11/28	62.50
61731	Credit Suisse AG - HSI R Bull CBBC Dec 2019 U	250,000,000	2018/06/29	2019/12/30	62.50
61728	Credit Suisse AG - HSI R Bear CBBC Nov 2018 L	200,000,000	2018/06/29	2018/11/29	50.00
61729	Credit Suisse AG - HSI R Bear CBBC Nov 2018 M	200,000,000	2018/06/29	2018/11/29	50.00
61730	Credit Suisse AG - HSI R Bear CBBC Dec 2018 E	200,000,000	2018/06/29	2018/12/28	50.00
61718	Credit Suisse AG - Tencent R Bull CBBC Dec 2018 E	50,000,000	2018/06/29	2018/12/28	12.50
61720	Credit Suisse AG - Tencent R Bull CBBC Jan 2019 T	50,000,000	2018/06/29	2019/01/30	13.50
61375	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2019 F	100,000,000	2018/06/29	2019/02/18	25.00
61738	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Jan 2019 C	100,000,000	2018/06/29	2019/01/31	25.00
61366	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 E	100,000,000	2018/06/29	2019/12/30	25.00
61736	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 H	200,000,000	2018/06/29	2019/12/30	50.00
61652	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2019 M	200,000,000	2018/06/29	2019/12/30	50.20
61593	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 L	200,000,000	2018/06/29	2018/12/28	50.00
61594	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 M	200,000,000	2018/06/29	2018/12/28	50.00
61600	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 N	200,000,000	2018/06/29	2018/12/28	50.40
61601	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 O	200,000,000	2018/06/29	2018/12/28	50.00
61611	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 P	200,000,000	2018/06/29	2018/12/28	50.00
61621	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 Q	200,000,000	2018/06/29	2018/12/28	50.20
61636	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 R	200,000,000	2018/06/29	2018/12/28	50.20
61651	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2018 S	200,000,000	2018/06/29	2018/12/28	50.40

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61376	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2019 G	100,000,000	2018/06/29	2019/02/27	25.00
61388	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2019 V	100,000,000	2018/06/29	2019/02/18	25.00
61490	HK Bank - AIA R Bull CBBC Nov 2018 D	80,000,000	2018/06/29	2018/11/19	20.00
61491	HK Bank - Geely Auto R Bull CBBC Dec 2018 A	40,000,000	2018/06/29	2018/12/03	12.00
61511	HK Bank - HKEX R Bear CBBC Nov 2018 E	40,000,000	2018/06/29	2018/11/19	10.00
61476	HK Bank - HSCEI R Bear CBBC Nov 2018 C	50,000,000	2018/06/29	2018/11/29	12.50
61464	HK Bank - HSI R Bull CBBC Jul 2019 M	100,000,000	2018/06/29	2019/07/30	25.00
61472	HK Bank - HSI R Bull CBBC Sep 2019 F	150,000,000	2018/06/29	2019/09/27	37.50
61466	HK Bank - HSI R Bull CBBC Dec 2019 G	150,000,000	2018/06/29	2019/12/30	37.50
61468	HK Bank - HSI R Bull CBBC Dec 2019 H	150,000,000	2018/06/29	2019/12/30	37.50
61469	HK Bank - HSI R Bull CBBC Dec 2019 I	150,000,000	2018/06/29	2019/12/30	37.50
61475	HK Bank - HSI R Bull CBBC Dec 2019 J	100,000,000	2018/06/29	2019/12/30	25.00
61487	HK Bank - HSI R Bull CBBC Dec 2019 K	100,000,000	2018/06/29	2019/12/30	25.00
61488	HK Bank - HSI R Bear CBBC Nov 2018 G	150,000,000	2018/06/29	2018/11/29	37.50
61489	HK Bank - HSI R Bear CBBC Nov 2018 H	100,000,000	2018/06/29	2018/11/29	25.00
61462	HK Bank - Tencent R Bull CBBC Dec 2018 F	60,000,000	2018/06/29	2018/12/10	15.00
61501	HK Bank - Tencent R Bear CBBC Nov 2018 I	50,000,000	2018/06/29	2018/11/22	12.50
61705	J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 F	40,000,000	2018/06/29	2018/10/12	10.00
61710	J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 G	40,000,000	2018/06/29	2018/10/19	10.00
61692	J P Morgan SP BV - HSI R Bull CBBC Nov 2019 Y	300,000,000	2018/06/29	2019/11/28	75.00
61689	J P Morgan SP BV - HSI R Bull CBBC Dec 2019 Z	200,000,000	2018/06/29	2019/12/30	50.00
61696	J P Morgan SP BV - HSI R Bear CBBC Nov 2018 F	200,000,000	2018/06/29	2018/11/29	50.00
61698	J P Morgan SP BV - HSI R Bear CBBC Nov 2018 G	200,000,000	2018/06/29	2018/11/29	50.00
61699	J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 Q	50,000,000	2018/06/29	2018/10/19	12.50
61585	Macquarie Bank Ltd. - Tencent R Bull CBBC Dec 2018 N	16,300,000	2018/06/29	2018/12/14	10.01
61592	Macquarie Bank Ltd. - Tencent R Bear CBBC Dec 2018 I	14,800,000	2018/06/29	2018/12/14	10.02
61831	SG Issuer - AIA R Bull CBBC Dec 2018 M	60,000,000	2018/06/29	2018/12/05	15.00
61802	SG Issuer - CSOP A50 ETF R Bull CBBC Nov 2018 S	60,000,000	2018/06/29	2018/11/30	15.00
61803	SG Issuer - A50 China R Bull CBBC Nov 2018 K	50,000,000	2018/06/29	2018/11/30	12.50
61763	SG Issuer - HKEX R Bull CBBC Nov 2018 W	50,000,000	2018/06/29	2018/11/20	12.50
61761	SG Issuer - HKEX R Bear CBBC Nov 2018 E	50,000,000	2018/06/29	2018/11/05	12.50
61758	SG Issuer - HSCEI R Bull CBBC Dec 2018 X	100,000,000	2018/06/29	2018/12/28	25.00
61759	SG Issuer - HSCEI R Bear CBBC Dec 2018 F	100,000,000	2018/06/29	2018/12/28	25.00
61793	SG Issuer - HSI R Bull CBBC Oct 2019 R	200,000,000	2018/06/29	2019/10/30	50.00
61798	SG Issuer - HSI R Bull CBBC Dec 2019 O	200,000,000	2018/06/29	2019/12/30	50.00
61807	SG Issuer - HSI R Bear CBBC Nov 2018 B	200,000,000	2018/06/29	2018/11/29	50.00
61814	SG Issuer - HSI R Bear CBBC Nov 2018 Q	200,000,000	2018/06/29	2018/11/29	50.00
61829	SG Issuer - HSI R Bear CBBC Jan 2019 A	200,000,000	2018/06/29	2019/01/30	50.00
61849	SG Issuer - HSI R Bear CBBC Jan 2019 B	200,000,000	2018/06/29	2019/01/30	50.00
61776	SG Issuer - PetroChina R Bull CBBC Dec 2018 H	40,000,000	2018/06/29	2018/12/28	10.00
61781	SG Issuer - Ping An R Bull CBBC Nov 2018 Y	100,000,000	2018/06/29	2018/11/23	25.00
61792	SG Issuer - Sunny Optical R Bear CBBC Sep 2018 B	40,000,000	2018/06/29	2018/09/28	10.00
61838	SG Issuer - Tencent R Bull CBBC Sep 2018 T	80,000,000	2018/06/29	2018/09/28	20.00
61848	SG Issuer - Tencent R Bull CBBC Oct 2018 D	80,000,000	2018/06/29	2018/10/08	20.00
61799	SG Issuer - Tencent R Bear CBBC Oct 2018 Q	80,000,000	2018/06/29	2018/10/08	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61800	SG Issuer - Tencent R Bear CBBC Oct 2018 R	80,000,000	2018/06/29	2018/10/15	20.00
61682	UBS AG - China Life R Bull CBBC Nov 2018 B	40,000,000	2018/06/29	2018/11/05	10.00
61685	UBS AG - HSI R Bull CBBC Oct 2019 J	200,000,000	2018/06/29	2019/10/30	50.00
61653	UBS AG - HSI R Bull CBBC Oct 2019 X	250,000,000	2018/06/29	2019/10/30	62.50
61683	UBS AG - HSI R Bear CBBC Oct 2018 X	200,000,000	2018/06/29	2018/10/30	50.00
61684	UBS AG - HSI R Bear CBBC Oct 2018 Y	250,000,000	2018/06/29	2018/10/30	62.50
61662	UBS AG - Tencent R Bull CBBC Oct 2018 Q	30,000,000	2018/06/29	2018/10/23	10.20
61665	UBS AG - Tencent R Bear CBBC Oct 2018 P	30,000,000	2018/06/29	2018/10/29	10.20
61523	Bank Vontobel - HKEX R Bull CBBC Jan 2019 A	40,000,000	2018/06/29	2019/01/18	11.08
61530	Bank Vontobel - HKEX R Bear CBBC Nov 2018 D	40,000,000	2018/06/29	2018/11/16	10.08
61527	Bank Vontobel - HSBC R Bull CBBC Feb 2019 A	40,000,000	2018/06/29	2019/02/22	10.08
61539	Bank Vontobel - HSI N Bull CBBC Feb 2019 F	100,000,000	2018/06/29	2019/02/27	25.40
61540	Bank Vontobel - HSI N Bull CBBC Feb 2019 G	100,000,000	2018/06/29	2019/02/27	25.30
61544	Bank Vontobel - HSI N Bear CBBC Dec 2018 H	100,000,000	2018/06/29	2018/12/28	25.20
61513	Bank Vontobel - HSI R Bull CBBC Feb 2019 C	100,000,000	2018/06/29	2019/02/27	25.10
61515	Bank Vontobel - HSI R Bull CBBC Feb 2019 D	100,000,000	2018/06/29	2019/02/27	25.60
61518	Bank Vontobel - HSI R Bull CBBC Feb 2019 E	100,000,000	2018/06/29	2019/02/27	29.80
61533	Bank Vontobel - HSI R Bear CBBC Dec 2018 F	100,000,000	2018/06/29	2018/12/28	25.10
61535	Bank Vontobel - HSI R Bear CBBC Dec 2018 G	100,000,000	2018/06/29	2018/12/28	25.40
61521	Bank Vontobel - Tencent R Bull CBBC Dec 2018 S	40,000,000	2018/06/29	2018/12/14	10.20
總額					173,579.03

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2017	第2季	2	11.27
	第3季	2	20.30
	第4季	2	69.47
2018	第1季	1	47.76
	第2季	1	15.87

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2017	第2季	-	-
	第3季	-	-
	第4季	-	-
2018	第1季	-	-
	第2季	-	-

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2017	第2季	6	0.05
	第3季	6	0.05
	第4季	6	0.11
2018	第1季	6	4.30
	第2季	6	0.15

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2017	第2季	2	-
	第3季	2	0.18
	第4季	-	0.18
2018	第1季	-	-
	第2季	-	-

GEM 股份

股份成交－GEM

		股數(百萬股)	金額(百萬港元)	成交宗數
2017	第2季	144,624.15	42,516.37	1,348,433
	第3季	115,376.01	34,263.33	1,107,761
	第4季	120,061.70	44,257.64	1,499,290
2018	第1季	108,008.14	40,458.45	1,559,834
	第2季	84,808.26	41,444.85	1,252,432

GEM 股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2017	第2季	59	720.62	31,530
	第3季	64	535.36	30,930
	第4季	61	725.54	29,519
2018	第1季	61	663.25	25,938
	第2季	60	690.75	33,092

20種升幅最大之GEM股份

2018年第2季

順次	股份代號	股份	收市價		升幅
			2018年6月底	2018年3月底	
1	08419	A V 策劃推廣	3.040	0.530	473.58
2	08283	正力控股	5.770	1.270	354.33
3	08487	ISP GLOBAL	2.680	0.600	346.67
4	08373	靛藍星	2.000	0.900	122.22
5	08066	品創控股	0.280	0.145	93.10
6	08083	中國有贊	0.940	0.500	88.00
7	08423	CHI HO DEV	2.630	1.440	82.64
8	08103	萬泰企業股份	2.030	1.130	79.65
9	08021	匯隆控股	0.085	0.048	77.08
10	08026	朗華國際集團	0.540	0.310	74.19
11	08491	COOL LINK	0.800	0.475	68.42
12	08231	節能元件	0.335	0.207	61.84
13	08425	興銘控股	2.020	1.260	60.32
14	08442	樺欣控股	13.500	8.940	51.01
15	08246	北方新能源	0.390	0.265	47.17
16	08358	卓珈控股	1.900	1.310	45.04
17	08445	怡康泰工程集團	1.000	0.700	42.86
18	08375	弘浩國際控股	0.246	0.173	42.20
19	08345	火岩控股	4.140	2.930	A 41.30
20	08256	金利通	0.038	0.027	40.74

A 經調整

20種跌幅最大之GEM股份

2018年第2季

順次	股份代號	股份	收市價		跌幅
			2018年6月底	2018年3月底	
1	08275	永勤集團控股	0.250	2.230	-88.79
2	08446	ITP HOLDINGS	0.650	3.120	-79.17
3	08417	大地教育	0.135	0.610	-77.87
4	08479	金泰豐國際控股	0.830	3.100	-73.23
5	08349	美固科技控股	0.510	1.630	-68.71
6	08046	恒芯中國	0.012	0.036	-66.67
7	08470	瑩嵐集團	0.960	2.180	-55.96
8	08048	御德國際控股	0.052	0.114	-54.39
9	08226	樹熊金融集團	0.065	0.141	-53.90
10	08420	NEXION TECH	0.240	0.510	-52.94
11	08050	量子思維	0.900	1.880	-52.13
12	08372	君百延集團	0.193	0.400	-51.75
13	08340	域高金融	0.235	0.470	-50.00
14	08455	禮建德集團	0.860	1.540	-44.16
15	08116	中國幸福投資	0.045	0.078	-42.31
16	08366	浙江聯合投資	0.330	0.570	-42.11
17	08239	首都金融控股	0.060	0.100	-40.00
18	08473	彌明生活百貨	0.315	0.520	-39.42
19	08416	HM INTL HLDGS	0.365	0.600	-39.17
20	08309	萬成環球控股	0.230	0.370	-37.84

A 經調整

GEM 股份

20種成交最活躍GEM股份(金額)

2018年第2季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08083	中國有贊	5,283.63	12.75
2	08207	中新控股	4,855.30	11.72
3	08469	盛業資本	1,829.04	4.41
4	08057	麥迪森控股	1,800.42	4.34
5	08283	正力控股	1,259.46	3.04
6	08392	舍圖控股	1,087.46	2.62
7	08228	國藝娛樂	797.03	1.92
8	08105	皇崑	650.58	1.57
9	08275	永勤集團控股	637.45	1.54
10	08483	名仕快相	590.22	1.42
11	08138	同仁堂國藥	552.91	1.33
12	08470	瑩嵐集團	546.08	1.32
13	08156	眾彩股份	529.97	1.28
14	08227	西安海天天	499.81	1.21
15	08287	ZIONCOM	491.67	1.19
16	08157	象興國際	438.70	1.06
17	08545	佰悅集團	412.50	1.00
18	08022	永耀集團控股	392.35	0.95
19	08385	萬里印刷	377.34	0.91
20	08279	亞博科技控股	375.17	0.91
總額			23,407.10	56.48

GEM 股份

20種成交最活躍GEM股份(股數)

2018年第2季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08083	中國有贊	5,997.41	7.07
2	08207	中新控股	4,828.12	5.69
3	08171	中國趨勢	2,774.21	3.27
4	08046	恒芯中國	2,507.23	2.96
5	08228	國藝娛樂	2,180.48	2.57
6	08315	冠輝集團控股	1,951.46	2.30
7	08081	互娛中國	1,907.91	2.25
8	08250	絲路能源	1,419.69	1.67
9	08148	奧栢中國	1,382.43	1.63
10	08105	皇崑	1,375.58	1.62
11	08021	匯隆控股	1,321.60	1.56
12	08300	皇璽餐飲集團	1,209.34	1.43
13	08392	舍圖控股	1,195.32	1.41
14	08385	萬里印刷	1,142.44	1.35
15	08107	威誠國際控股	1,131.57	1.33
16	08299	大唐滄金	1,123.16	1.32
17	08157	象興國際	1,052.18	1.24
18	08057	麥迪森控股	1,046.38	1.23
19	08483	名仕快相	1,031.69	1.22
20	08166	中國農業生態	991.12	1.17
總數			37,569.31	44.30

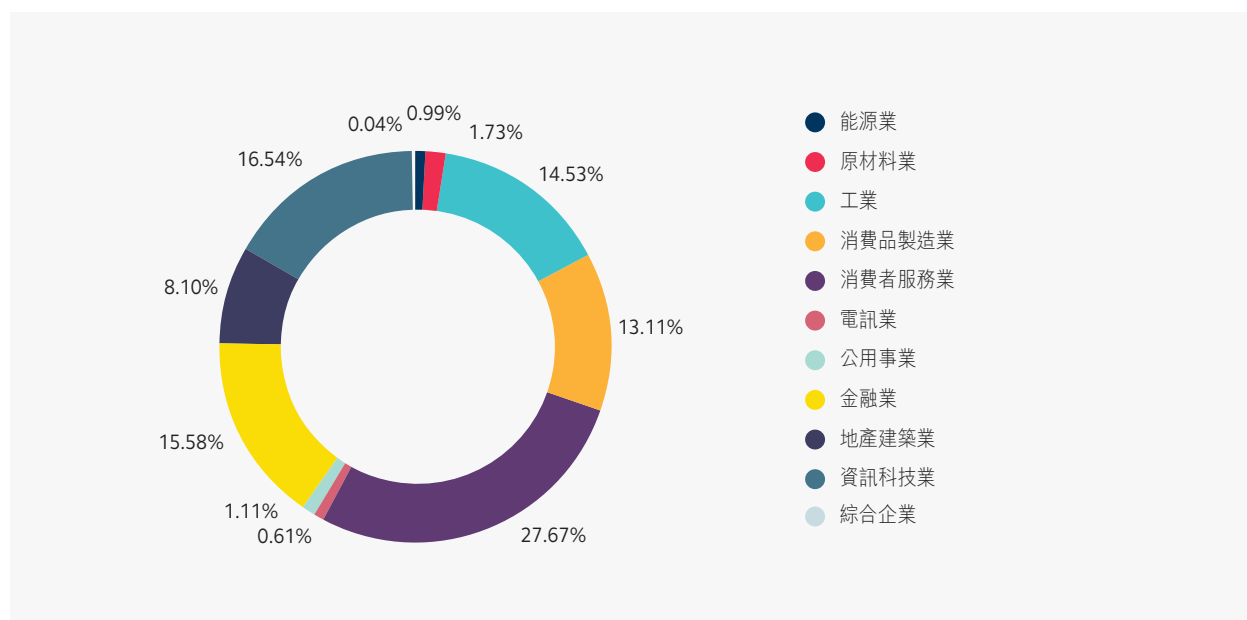
GEM 公司市價總值

截至季末

		百 萬 港 元
2017	第 2 季	267,662.50
	第 3 季	271,598.42
	第 4 季	280,841.44
2018	第 1 季	263,735.88
	第 2 季	248,066.40

恒生行業分類系統之證券市價總值*－GEM

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

50間市價總值最大之上市公司－GEM

截至2018年第2季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	8207	中新控股科技集團有限公司	20,514.79	8.27
2	8137	洪橋集團有限公司	13,106.53	5.28
3	8083	中國有贊有限公司	12,117.55	4.88
4	8279	亞博科技控股有限公司	9,011.31	3.63
5	8032	非凡中國控股有限公司	7,780.45	3.14
6	8293	星亞控股有限公司	7,725.00	3.11
7	8265	中國之信集團有限公司	7,491.19	3.02
8	8057	麥迪森控股集團有限公司	7,000.50	2.82
9	8469	盛業資本有限公司	5,320.60	2.14
10	8407	興證國際金融集團有限公司	5,320.00	2.14
11	8176	超人智能有限公司	3,881.19	1.56
12	8078	HMV數碼中國集團有限公司	3,090.38	1.25
13	8283	正力控股有限公司	2,885.00	1.16
14	8227	西安海天天實業股份有限公司－H股	2,738.04	1.10
15	8158	中國再生醫學國際有限公司	2,268.57	0.91
16	8167	中國新電信集團有限公司	2,180.58	0.88
17	8487	ISP Global Ltd.	2,144.00	0.86
18	8156	眾彩羽翔股份有限公司	2,106.15	0.85
19	8423	溜 發展控股有限公司	2,104.00	0.85
20	8053	比優集團控股有限公司	1,921.71	0.77
21	8047	中國海洋捕撈控股有限公司	1,891.04	0.76
22	8022	永耀集團控股有限公司	1,874.78	0.76
23	8170	KSL Holdings Ltd.	1,809.28	0.73
24	8527	聚利寶控股有限公司	1,735.00	0.70
25	8462	橋英控股有限公司	1,710.00	0.69
26	8228	國藝娛樂文化集團有限公司	1,603.59	0.65
27	8308	古兜控股有限公司	1,558.20	0.63
28	8325	中國支付通集團控股有限公司	1,496.21	0.60
29	8196	建禹集團控股有限公司	1,437.00	0.58
30	8037	中國生物科技服務控股有限公司	1,387.31	0.56
31	8030	匯聯金融服務控股有限公司	1,367.83	0.55
32	8246	北方新能源控股有限公司	1,364.81	0.55
33	8345	火岩控股有限公司	1,324.80	0.53
34	8237	華星控股有限公司	1,308.75	0.53
35	8039	中國卓銀國際控股有限公司	1,254.00	0.51
36	8328	信義汽車玻璃香港企業有限公司	1,250.90	0.50
37	8090	中國融保金融集團有限公司	1,248.05	0.50
38	8021	匯隆控股有限公司	1,221.20	0.49
39	8050	量子思維有限公司	1,220.63	0.49
40	8359	Hypebeast Ltd.	1,220.00	0.49
41	8419	AV策劃推廣(控股)有限公司	1,216.00	0.49
42	8147	匯思太平洋集團控股有限公司	1,198.52	0.48
43	8172	拉近網娛集團有限公司	1,178.56	0.48
44	8410	安領國際控股有限公司	1,170.00	0.47
45	8029	太陽國際集團有限公司	1,127.03	0.45
46	8016	長虹佳華控股有限公司	1,120.08	0.45
47	8005	裕興科技投資控股有限公司	1,063.82	0.43
48	8055	中國網絡信息科技集團有限公司	1,012.85	0.41
49	8316	柏榮集團(控股)有限公司	1,000.00	0.40
50	8175	中國數碼文化(集團)有限公司	970.09	0.39
總值			161,047.88	64.92
市場總值			248,066.40	100.00

新上市統計－GEM 公司

		新上市公司數目	集資金額(百萬港元)
2017	第2季	16	1,235.49
	第3季	20	1,772.73
	第4季	25	1,605.50
2018	第1季	33	2,237.28
	第2季	17	1,171.84

GEM新上市公司

2018年第2季

股份代號	公司	上市日期	已發行股數	集資金額 (百萬港元)
08507	愛世紀集團控股有限公司	2018/04/16	400,000,000	69.60
08447	MS Concept Ltd.	2018/04/16	1,000,000,000	67.50
08451	日光(1977)控股有限公司	2018/04/16	800,000,000	55.00
08241	英記茶莊集團有限公司	2018/04/16	360,000,000	48.60
08511	Zhicheng Technology Group Ltd.	2018/04/20	400,000,000	65.00
08151	寶申控股有限公司	2018/04/23	420,000,000	50.40
08107	威誠國際控股有限公司	2018/05/04	1,000,000,000	56.25
08527	聚利寶控股有限公司	2018/05/09	500,000,000	62.50
08391	精雅商業財經印刷集團有限公司	2018/05/11	440,000,000	66.00
08105	皇立國際教育企業集團有限公司	2018/05/16	800,000,000	80.00
08521	智紡國際控股有限公司	2018/05/16	480,000,000	69.60
08536	TL Natural Gas Holdings Ltd.	2018/05/18	500,000,000	60.00
08490	駿碼科技集團有限公司	2018/05/30	705,500,000	113.39
08545	佰悅集團控股有限公司	2018/05/31	1,000,000,000	87.50
08403	天平道合控股有限公司	2018/06/12	2,000,000,000	72.50
08357	Republic Healthcare Ltd.	2018/06/15	520,000,000	78.00
08146	怡園酒業控股有限公司	2018/06/27	800,000,000	70.00
總額				1,171.84

除牌上市公司－GEM

2018年第2季

股份代號	公司	最後買賣日期	除牌日期
08138	北京同仁堂國藥有限公司*	2018/05/28	2018/05/29 §
08352	成安控股有限公司*	2018/06/11	2018/06/12 §

* 原為GEM上市公司,後引用於2008年7月1日生效的《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由GEM轉至主板的轉板生效日期。

GEM公司名稱更改

2018年第2季

股份代號	原有名稱	新名稱	生效日期	採用日期
08181	港深聯合物業管理(控股)有限公司	恒生控股有限公司	2018/05/08	2018/06/08
08083	中國創新支付集團有限公司	中國有贊有限公司	2018/05/21	2018/06/15
08088	海滙國際科技控股有限公司	滙友生命科學控股有限公司	2018/05/09	2018/06/27
08242	宏創高科集團有限公司	新威斯頓集團有限公司	2018/05/01	2018/06/29

GEM紅股／紅利認股權證

2018年第2季

股份代號	公司	詳情	除淨日
08345	火岩控股有限公司	1送1	2018/06/05

GEM股份拆細及合併

2018年第2季

股份代號	公司	詳情	生效日期
無			

GEM供股／公開售股

2018年第2季

月份	股份代號	公司	比率	集資金額 (百萬港元)
5月	08328	信義汽車玻璃香港企業有限公司	股權5供1, 每股供1.85港元	199.84
6月	08201	寶聯控股有限公司	股權1供1, 每股供0.18港元	48.60
總額				248.44

股份配售*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2018					
4月	08148	奧栢中國	184,000,000	0.1800	33.12
	08083	中國有贊	386,000,000	0.5000	193.00
	08057	麥迪森控股	70,056,000	1.7000	119.10
	08066	品創控股	75,000,000	0.2000	15.00
	08026	長達健康	240,000,000	0.2700	64.80
5月	08120	國農金融投資	184,500,000	0.1000	18.45
	08059	朝威控股	80,898,000	0.5000	40.45
	08119	即時科研	20,000,000	1.0000	20.00
	08260	銀合控股	80,000,000	0.4100	32.80
6月	08009	華夏能源控股	220,000,000	0.0380	8.36
	08250	絲路能源	638,000,000	0.0500	31.90
	08342	飛思達科技	21,255,000	1.0800	22.96
總額					599.93

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

GEM 認股權證

認股權證交易及市價總值統計—GEM

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2017	第2季	1	0.24	62.84
	第3季	1	0.02	62.83
	第4季	1	0.04	62.83
2018	第1季	1	0.05	62.83
	第2季	1	0.03	62.83

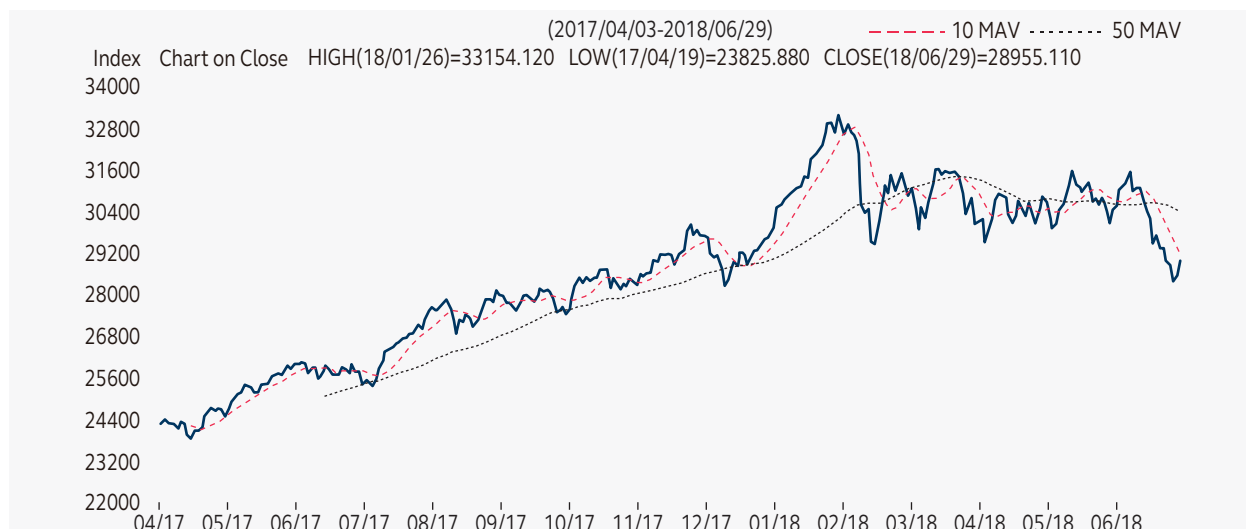
GEM新上市股份認股權證

2018年第2季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
無					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所GEM指數



股票市場指數

香港股市指數

指數	2018年6月底 收市	2018年3月底 收市	升跌
標準普爾／香港交易所大型股指數	37535.52	39090.13	- 3.98%
標準普爾／香港交易所GEM指數	236.08	251.66	- 6.19%
恒生指數	28955.11	30093.38	- 3.78%
分類指數			
金融	39361.86	41272.54	- 4.63%
公用事業	55143.74	56872.75	- 3.04%
地產	38780.80	40012.55	- 3.08%
工商業	17606.82	18141.63	- 2.95%
恒生香港中資企業指數	4376.51	4408.61	- 0.73%
恒生中國企業指數	11073.00	11998.34	- 7.71%

股票市場指數

世界指數

指數	2018年6月底 收市	2018年3月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	37535.52	39090.13	- 3.98%
恒生指數	28955.11	30093.38	- 3.78%
澳洲			
普通股指數	6289.70	5868.90	+ 7.17%
雅加達			
綜合指數	5799.24	6188.99	- 6.30%
日本			
日經指數(225)	22304.51	21454.30	+ 3.96%
韓國			
綜合指數	2326.13	2445.85	- 4.89%
吉隆坡			
綜合指數	1691.50	1863.46	- 9.23%
馬尼拉			
綜合指數	7193.68	7979.83	- 9.85%
紐西蘭			
證交所指數(50)	8943.13	8319.07	+ 7.50%
上海			
A股指數	2982.00	3318.71	- 10.15%
B股指數	295.00	326.87	- 9.75%
深圳			
A股指數	1680.91	1938.59	- 13.29%
B股指數	1058.90	1154.26	- 8.26%
新加坡			
海峽時報指數	3268.70	3427.97	- 4.65%
台灣			
台北加權股份指數	10836.91	10919.49	- 0.76%
泰國			
SET指數	1595.58	1776.26	- 10.17%
紐約			
杜瓊斯工業平均指數	24271.41	24103.11	+ 0.70%
Nasdaq綜合指數	7510.30	7063.45	+ 6.33%
多倫多			
S&P/TSX綜合指數	16277.73	15367.29	+ 5.92%
德國			
DAX Kursindex	5668.60	5715.45	- 0.82%
倫敦			
金融時報100指數	7636.93	7056.61	+ 8.22%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2018年第2季	2017年第2季
恒生指數期貨	14,140,082	7,210,306
恒生指數期權	2,775,119	2,157,317
小型恒生指數期貨	5,419,705	2,477,810
小型恒生指數期權	502,343	414,159
恒生中國企業指數期貨	8,407,737	7,255,529
恒生中國企業指數期權	5,274,459	4,569,776
小型恒生中國企業指數期貨	1,173,527	837,929
小型恒生中國企業指數期權	137,459	81,389
自訂條款恒生指數期權	0	0
自訂條款恒生中國企業指數期權	1,250	5,000
恒指股息點指數期貨	3,199	5,000
恒生國企股息點指數期貨	51,301	58,001
恒指波幅指數期貨	0	8
MSCI亞洲除日本淨總回報指數期貨 ¹	14	-
中華交易服務中國120指數期貨	50	197
中華交易服務博彩業指數期貨	12	0
恒生中國內地石油及天然氣指數期貨	9,604	10
恒生中國內地銀行指數期貨	6,688	548
恒生中國內地醫療保健指數期貨	22	0
恒生中國內地地產指數期貨	6,960	2
恒生資訊科技器材指數期貨	51	0
恒生軟件服務指數期貨	11	0
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	121,288	31,094
股票期權	28,891,853	21,947,446
五年期中國財政部國債期貨 ²	-	6,680
三個月港元利率期貨	360	0
一個月港元利率期貨	81	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	384,483	137,833
人民幣貨幣期權—美元兌人民幣(香港)期權	2,396	2,601
人民幣貨幣期貨—歐元兌人民幣(香港)期貨	663	279
人民幣貨幣期貨—日圓兌人民幣(香港)期貨	400	14
人民幣貨幣期貨—澳元兌人民幣(香港)期貨	208	37
人民幣貨幣期貨—人民幣(香港)兌美元期貨	1,562	2,211
美元黃金期貨 ³	43,312	-
人民幣(香港)黃金期貨 ⁴	5,035	-
鐵礦石期貨—月度合約 ⁵	964	-
鐵礦石期貨—季度合約 ⁶	0	-
倫敦鋁期貨小型合約	467	312
倫敦鋅期貨小型合約	48	125
倫敦銅期貨小型合約	27	317
倫敦鉛期貨小型合約	0	2
倫敦鎳期貨小型合約	49	67
倫敦錫期貨小型合約	0	0
總數	67,362,789	47,201,999

¹ MSCI亞洲除日本淨總回報指數期貨由2018年6月11日開始買賣

² 五年期中國財政部國債期貨由2017年4月10日開始買賣及由2017年12月08日停止買賣

³ 美元黃金期貨由2017年7月10日開始買賣

⁴ 人民幣(香港)黃金期貨由2017年7月10日開始買賣

⁵ 鐵礦石期貨—月度合約由2017年11月13日開始買賣

⁶ 鐵礦石期貨—季度合約由2017年11月13日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2017年	第2季	7,210,306	154,581
	第3季	8,254,157	161,569
	第4季	9,365,175	144,659
2018年	第1季	12,511,293	129,784
	第2季	14,140,082	123,361

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2017年	第2季	2,477,810	10,210
	第3季	2,978,009	9,313
	第4季	3,576,657	9,863
2018年	第1季	6,139,659	8,861
	第2季	5,419,705	11,557

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2017年	第2季	2,157,317	352,037
	第3季	2,815,590	408,078
	第4季	2,844,216	344,084
2018年	第1季	3,591,231	381,856
	第2季	2,775,119	343,600

恒生中國企業指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2017年	第2季	7,255,529	310,182
	第3季	7,245,295	289,770
	第4季	7,052,461	233,591
2018年	第1季	9,265,269	275,905
	第2季	8,407,737	355,581

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2017年	第2季	9,477.49	21,947,446	7,582,918
	第3季	17,345.28	30,796,045	7,937,540
	第4季	26,473.83	32,091,870	8,304,697
2018年	第1季	36,925.03	40,592,232	9,585,088
	第2季	20,957.48	28,891,853	9,255,436

衍生產品市場 期貨及期權

各期權類別之期權金總額

2018年第2季

期權類別		期權金額 (百萬港元)	佔市場百分比
安碩A50	A50	161.75	0.77
瑞聲科技	AAC	368.38	1.76
海螺水泥	ACC	156.30	0.75
友邦保險	AIA	609.34	2.91
中國鋁業	ALC	20.55	0.10
華夏滬深三百	AMC	117.17	0.56
交通銀行	BCM	13.68	0.07
東亞銀行	BEA	3.33	0.02
中銀香港	BOC	68.64	0.33
比亞迪股份	BYD	107.02	0.51
中國交通建設	CCC	26.31	0.13
中煤能源	CCE	6.20	0.03
中遠海控	CCS	0.83	0.00
中國信達	CDA	9.16	0.04
中廣核電力	CGN	4.27	0.02
中國移動	CHT	724.90	3.46
中國聯通	CHU	61.93	0.30
中信股份	CIT	13.34	0.06
長和	CKH	104.24	0.50
長實集團	CKP	48.41	0.23
中國人壽	CLI	420.32	2.01
中電控股	CLP	15.43	0.07
招商銀行	CMB	322.73	1.54
中國海洋石油	CNC	232.61	1.11
碧桂園	COG	151.51	0.72
中國海外發展	COL	144.42	0.69
國泰航空	CPA	3.15	0.02
中國石油化工股份	CPC	249.54	1.19
中國太保	CPI	405.73	1.94
中國鐵建	CRC	4.35	0.02
中國中鐵	CRG	8.60	0.04
華潤置地	CRL	89.39	0.43
南方A50	CSA	145.65	0.69
中國神華	CSE	135.53	0.65
中信銀行	CTB	24.26	0.12
中國電信	CTC	15.74	0.08
中信証券	CTS	128.42	0.61
東風集團股份	DFM	6.69	0.03
中國恒大	EVG	60.66	0.29
富智康集團	FIH	0.00	0.00
廣汽集團	GAC	22.32	0.11
吉利汽車	GAH	355.62	1.70
銀河娛樂	GLX	415.03	1.98
長城汽車	GWM	45.84	0.22
海通證券	HAI	52.24	0.25
恒生中國企業	HCF	71.25	0.34
電能實業	HEH	29.57	0.14
香港交易所	HEX	991.09	4.73
恒安國際	HGN	53.82	0.26
匯豐控股	HKB	538.30	2.57
香港中華煤氣	HKG	7.29	0.03
恒基地產	HLD	42.00	0.20
華能國際電力股份	HNP	18.97	0.09
恒生銀行	HSB	35.67	0.17
江西銅業股份	JXC	29.23	0.14
昆侖能源	KLE	8.87	0.04
金山軟件	KSO	81.28	0.39

衍生產品市場 期貨及期權

期權類別		期權金額 (百萬港元)	佔市場百份比
聯想集團	LEN	32.95	0.16
利豐	LIF	11.14	0.05
領展房產基金	LNK	33.72	0.16
蒙牛乳業	MEN	60.50	0.29
美高梅中國	MGM	17.18	0.08
民生銀行	MSB	47.43	0.23
港鐵公司	MTR	13.22	0.06
中國建材	NBM	67.30	0.32
新華保險	NCL	94.88	0.45
新世界發展	NWD	24.10	0.12
中國平安	PAI	3,651.95	17.43
中國石油股份	PEC	167.73	0.80
中國財險	PIC	36.26	0.17
中國人民保險集團	PIN	25.28	0.12
保利協鑫能源	PLE	2.27	0.01
富力地產	RFP	4.57	0.02
金沙中國有限公司	SAN	153.92	0.73
新鴻基地產	SHK	235.21	1.12
舜宇光學科技	SNO	261.80	1.25
渣打集團	STC	3.15	0.02
融創中國	SUN	171.10	0.82
太古股份公司A	SWA	16.45	0.08
騰訊控股	TCH	6,607.73	31.53
盈富基金	TRF	2.98	0.01
九龍倉集團	WHL	12.34	0.06
中國旺旺	WWC	8.10	0.04
農業銀行	XAB	107.77	0.51
中國銀行	XBC	149.26	0.71
建設銀行	XCC	628.24	3.00
工商銀行	XIC	229.98	1.10
兗州煤業股份	YZC	81.37	0.39
紫金礦業	ZJM	8.68	0.04
總額		20,957.48	100.00

衍生產品市場 期貨及期權

各期權類別之合約成交量

2018年第2季

期權類別		合約成交量	佔市場百分比
安碩A50	A50	71,526	0.25
瑞聲科技	AAC	92,352	0.32
海螺水泥	ACC	262,012	0.91
友邦保險	AIA	471,509	1.63
中國鋁業	ALC	54,121	0.19
華夏滬深三百	AMC	97,229	0.34
交通銀行	BCM	98,964	0.34
東亞銀行	BEA	27,276	0.09
中銀香港	BOC	231,385	0.80
比亞迪股份	BYD	133,483	0.46
中國交通建設	CCC	101,661	0.35
中煤能源	CCE	69,056	0.24
中遠海控	CCS	12,649	0.04
中國信達	CDA	21,648	0.07
中廣核電力	CGN	8,541	0.03
中國移動	CHT	508,366	1.76
中國聯通	CHU	100,711	0.35
中信股份	CIT	46,560	0.16
長和	CKH	133,980	0.46
長實集團	CKP	52,198	0.18
中國人壽	CLI	739,750	2.56
中電控股	CLP	34,421	0.12
招商銀行	CMB	582,685	2.02
中國海洋石油	CNC	622,148	2.15
碧桂園	COG	41,931	0.15
中國海外發展	COL	116,960	0.40
國泰航空	CPA	9,425	0.03
中國石油化工股份	CPC	449,306	1.56
中國太保	CPI	396,637	1.37
中國鐵建	CRC	37,094	0.13
中國中鐵	CRG	36,371	0.13
華潤置地	CRL	61,199	0.21
南方A50	CSA	86,827	0.30
中國神華	CSE	514,088	1.78
中信銀行	CTB	142,831	0.49
中國電信	CTC	68,158	0.24
中信証券	CTS	213,821	0.74
東風集團股份	DFM	11,943	0.04
中國恒大	EVG	32,005	0.11
富智康集團	FIH	0	0.00
廣汽集團	GAC	11,459	0.04
吉利汽車	GAH	113,969	0.39
銀河娛樂	GLX	281,390	0.97
長城汽車	GWM	337,801	1.17
海通證券	HAI	61,670	0.21
恒生中國企業	HCF	47,450	0.16
電能實業	HEH	62,387	0.22
香港交易所	HEX	1,724,404	5.97
恒安國際	HGN	71,678	0.25
匯豐控股	HKB	976,786	3.38
香港中華煤氣	HKG	30,566	0.11
恒基地產	HLD	45,259	0.16
華能國際電力股份	HNP	51,015	0.18
恒生銀行	HSB	140,894	0.49
江西銅業股份	JXC	79,376	0.27
昆侖能源	KLE	27,705	0.10
金山軟件	KSO	111,747	0.39

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百份比
聯想集團	LEN	123,418	0.43
利豐	LIF	35,691	0.12
領展房產基金	LNK	31,022	0.11
蒙牛乳業	MEN	84,661	0.29
美高梅中國	MGM	65,911	0.23
民生銀行	MSB	87,489	0.30
港鐵公司	MTR	50,561	0.18
中國建材	NBM	109,013	0.38
新華保險	NCL	81,396	0.28
新世界發展	NWD	69,095	0.24
中國平安	PAI	3,345,788	11.58
中國石油股份	PEC	484,491	1.68
中國財險	PIC	60,161	0.21
中國人民保險集團	PIN	52,418	0.18
保利協鑫能源	PLE	8,211	0.03
富力地產	RFP	31,422	0.11
金沙中國有限公司	SAN	360,951	1.25
新鴻基地產	SHK	106,737	0.37
舜宇光學科技	SNO	49,633	0.17
渣打集團	STC	48,058	0.17
融創中國	SUN	44,528	0.15
太古股份公司A	SWA	29,704	0.10
騰訊控股	TCH	6,402,142	22.16
盈富基金	TRF	13,871	0.05
九龍倉集團	WHL	19,311	0.07
中國旺旺	WWC	34,820	0.12
農業銀行	XAB	115,842	0.40
中國銀行	XBC	1,480,629	5.12
建設銀行	XCC	2,798,359	9.69
工商銀行	XIC	1,563,622	5.41
兗州煤業股份	YZC	95,891	0.33
紫金礦業	ZJM	38,624	0.13
總數		28,891,853	100.00

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年\月		交收指示的數量	股數 (10億股)	數值 (10億港元)
2017	第2季	89,205	48.85	224.77
	第3季	92,762	47.05	223.72
	第4季	100,956	51.97	248.47
2018	第1季	113,959	55.26	299.46
	第2季	104,188	50.80	291.72

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年\月		投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2017	第2季	321	212.60	147.64
	第3季	373	155.84	468.68
	第4季	352	80.73	241.17
2018	第1季	442	87.64	283.87
	第2季	303	352.59	190.54

經中央結算系統存管的股份

(按季度結存統計)

年\月		股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2017	第2季	6,264.71	75.18	18,013.11	57.34
	第3季	6,338.49	75.53	19,870.01	57.86
	第4季	6,404.15	75.30	21,768.65	58.70
2018	第1季	6,628.95	76.47	22,128.66	60.04
	第2季	6,663.56	76.69	21,197.14	59.56

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣，「已劃分的買賣」和兩邊客買賣)

年\月		買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2017	第2季	1,150,564	100	155,884.97	100	77,373.95	100
	第3季	1,276,525	100	164,722.02	100	92,901.78	100
	第4季	1,402,286	100	196,368.46	100	107,078.39	100
2018	第1季	1,813,140	100	252,571.39	100	145,832.37	100
	第2季	1,633,677	100	203,686.61	100	106,620.31	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年\月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2017	第2季	76,992	96.46	29,251.62	90.51
	第3季	87,811	96.38	30,609.78	90.56
	第4季	94,849	96.43	33,382.69	91.27
2018	第1季	109,085	96.81	37,586.85	92.44
	第2季	90,439	97.07	29,533.08	92.53

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年\月		交收效率(%)	
		到期交收日	到期交收日翌日
2017	第2季	99.91	99.99
	第3季	99.91	99.99
	第4季	99.89	99.99
2018	第1季	99.89	99.99
	第2季	99.87	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2018年第2季	2017年第2季
恒生指數期貨	123,361	154,581
恒生指數期權	343,600	352,037
小型恒生指數期貨	11,557	10,210
小型恒生指數期權	12,700	13,928
恒生中國企業指數期貨	355,581	310,182
恒生中國企業指數期權	2,790,831	2,695,657
小型恒生中國企業指數期貨	6,953	5,474
小型恒生中國企業指數期權	10,052	3,102
自訂條款恒生指數期權	0	0
自訂條款恒生中國企業指數期權	0	16,666
恒指股息點指數期貨	12,025	9,621
恒生國企股息點指數期貨	102,890	120,002
恒指波幅指數期貨	0	5
MSCI亞洲除日本淨總回報指數期貨 ¹	0	-
中華交易服務中國120指數期貨	11	11
中華交易服務博彩業指數期貨	0	0
恒生中國內地石油及天然氣指數期貨	47	0
恒生中國內地銀行指數期貨	84	4
恒生中國內地醫療保健指數期貨	5	0
恒生中國內地地產指數期貨	29	0
恒生資訊科技器材指數期貨	0	0
恒生軟件服務指數期貨	0	0
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	16,417	6,563
股票期權	9,255,436	7,582,918
五年期中國財政部國債期貨 ²	-	666
三個月港元利率期貨	400	40
一個月港元利率期貨	56	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	26,850	31,243
人民幣貨幣期權—美元兌人民幣(香港)期權	3,973	1,037
人民幣貨幣期貨—歐元兌人民幣(香港)期貨	300	63
人民幣貨幣期貨—日圓兌人民幣(香港)期貨	270	4
人民幣貨幣期貨—澳元兌人民幣(香港)期貨	25	10
人民幣貨幣期貨—人民幣(香港)兌美元期貨	716	1,196
美元黃金期貨 ³	146	-
人民幣(香港)黃金期貨 ⁴	62	-
鐵礦石期貨—月度合約 ⁵	39	-
鐵礦石期貨—季度合約 ⁶	15	-
倫敦鋁期貨小型合約	1	0
倫敦鋅期貨小型合約	6	1
倫敦銅期貨小型合約	0	5
倫敦鉛期貨小型合約	0	0
倫敦鎳期貨小型合約	0	4
倫敦錫期貨小型合約	0	0
總數	13,074,438	11,315,230

¹ MSCI亞洲除日本淨總回報指數期貨由2018年6月11日開始買賣

² 五年期中國財政部國債期貨由2017年4月10日開始買賣及由2017年12月08日停止買賣

³ 美元黃金期貨由2017年7月10日開始買賣

⁴ 人民幣(香港)黃金期貨由2017年7月10日開始買賣

⁵ 鐵礦石期貨—月度合約由2017年11月13日開始買賣

⁶ 鐵礦石期貨—季度合約由2017年11月13日開始買賣

結算及交收

各期權類別之未平倉合約

2018年第2季末

期權類別		未平倉合約	佔市場百份比
安碩 A50	A50	29,135	0.31
瑞聲科技	AAC	18,887	0.20
海螺水泥	ACC	31,804	0.34
友邦保險	AIA	80,708	0.87
中國鋁業	ALC	6,963	0.08
華夏滬深三百	AMC	15,757	0.17
交通銀行	BCM	58,578	0.63
東亞銀行	BEA	10,339	0.11
中銀香港	BOC	55,745	0.60
比亞迪股份	BYD	26,004	0.28
中國交通建設	CCC	31,861	0.34
中煤能源	CCE	16,921	0.18
中遠海控	CCS	1,612	0.02
中國信達	CDA	13,868	0.15
中廣核電力	CGN	4,333	0.05
中國移動	CHT	166,470	1.80
中國聯通	CHU	36,601	0.40
中信股份	CIT	27,001	0.29
長和	CKH	33,541	0.36
長實集團	CKP	12,300	0.13
中國人壽	CLI	318,265	3.44
中電控股	CLP	8,162	0.09
招商銀行	CMB	263,961	2.85
中國海洋石油	CNC	168,542	1.82
碧桂園	COG	26,513	0.29
中國海外發展	COL	26,580	0.29
國泰航空	CPA	3,747	0.04
中國石油化工股份	CPC	157,611	1.70
中國太保	CPI	129,449	1.40
中國鐵建	CRC	8,337	0.09
中國中鐵	CRG	14,714	0.16
華潤置地	CRL	13,560	0.15
南方 A50	CSA	33,163	0.36
中國神華	CSE	99,750	1.08
中信銀行	CTB	76,999	0.83
中國電信	CTC	47,768	0.52
中信証券	CTS	98,076	1.06
東風集團股份	DFM	2,381	0.03
中國恒大	EVG	15,195	0.16
富智康集團	FIH	0	0.00
廣汽集團	GAC	3,105	0.03
吉利汽車	GAH	20,523	0.22
銀河娛樂	GLX	54,707	0.59

結算及交收

期權類別		未平倉合約	佔市場百份比
長城汽車	GWM	209,555	2.26
海通證券	HAI	19,496	0.21
恒生中國企業	HCF	9,382	0.10
電能實業	HEH	12,359	0.13
香港交易所	HEX	428,714	4.63
恒安國際	HGN	7,804	0.08
匯豐控股	HKB	251,045	2.71
香港中華煤氣	HKG	10,359	0.11
恒基地產	HLD	9,936	0.11
華能國際電力股份	HNP	23,409	0.25
恒生銀行	HSB	28,013	0.30
江西銅業股份	JXC	30,430	0.33
昆侖能源	KLE	3,556	0.04
金山軟件	KSO	18,728	0.20
聯想集團	LEN	81,001	0.88
利豐	LIF	6,310	0.07
領展房產基金	LNK	6,054	0.07
蒙牛乳業	MEN	13,543	0.15
美高梅中國	MGM	10,987	0.12
民生銀行	MSB	57,083	0.62
港鐵公司	MTR	11,666	0.13
中國建材	NBM	17,238	0.19
新華保險	NCL	11,120	0.12
新世界發展	NWD	24,106	0.26
中國平安	PAI	1,143,861	12.36
中國石油股份	PEC	191,530	2.07
中國財險	PIC	19,776	0.21
中國人民保險集團	PIN	9,086	0.10
保利協鑫能源	PLE	8,303	0.09
富力地產	RFP	6,216	0.07
金沙中國有限公司	SAN	62,614	0.68
新鴻基地產	SHK	17,845	0.19
舜宇光學科技	SNO	9,623	0.10
渣打集團	STC	10,199	0.11
融創中國	SUN	15,116	0.16
太古股份公司A	SWA	5,975	0.06
騰訊控股	TCH	1,275,006	13.78
盈富基金	TRF	2,610	0.03
九龍倉集團	WHL	5,455	0.06
中國旺旺	WWC	8,218	0.09
農業銀行	XAB	49,001	0.53
中國銀行	XBC	877,398	9.48
建設銀行	XCC	1,257,743	13.59
工商銀行	XIC	683,578	7.39
兗州煤業股份	YZC	15,720	0.17
紫金礦業	ZJM	9,133	0.10
總數		9,255,436	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2017	第2季	639 (1035)	589 (977)	34 (42)	16 (16)
	第3季	657 (1053)	608 (996)	33 (41)	16 (16)
	第4季	669 (1066)	622 (1013)	32 (38)	15 (15)
2018	第1季	673 (1070)	626 (1017)	32 (38)	15 (15)
	第2季	680 (1077)	635 (1026)	30 (36)	15 (15)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2017	第2季	236 (259)	184 (205)	–	52 (54)
	第3季	236 (259)	185 (206)	–	51 (53)
	第4季	238 (261)	186 (207)	–	52 (54)
2018	第1季	241 (263)	189 (209)	–	52 (54)
	第2季	246 (268)	192 (212)	–	54 (56)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年\月	結算參與者	全面結算參與者
2017 第2季	153	9
第3季	154	9
第4季	155	9
2018 第1季	157	9
第2季	160	9

聯交所期權結算所參與者

年\月	直接結算參與者	全面結算參與者
2017 第2季	63	9
第3季	63	9
第4季	62	9
2018 第1季	62	9
第2季	63	9

中央結算所參與者

年\月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2017 第2季	566	9	45
第3季	582	9	46
第4季	596	9	44
2018 第1季	600	9	43
第2季	608	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
L&I Products	槓桿及反向產品
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券