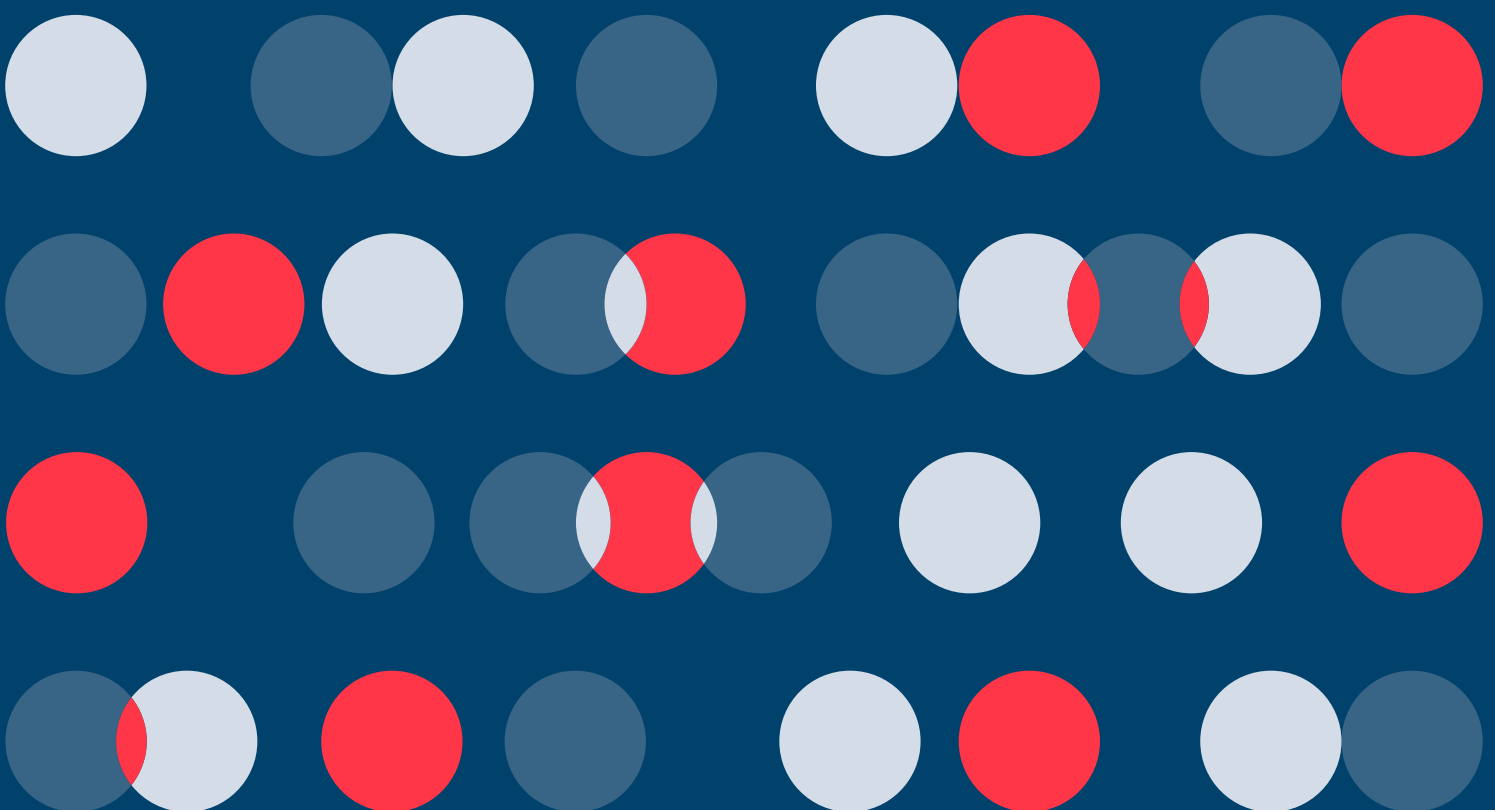


SECURITIES AND DERIVATIVES MARKETS QUARTERLY REPORT

Second Quarter 2018



MARKET HIGHLIGHTS

Market Highlights – Main Board

| Highlights | 2nd Quarter 2018 | 2nd Quarter 2017 |
|--|--------------------|--------------------|
| No. of listed companies | 1,848 | 1,746 |
| No. of listed securities | 12,969 | 9,930 |
| Equities | | |
| Ordinary shares | 1,849 | 1,747 |
| Preference shares | 16 | 10 |
| Warrants | 7,190 | 4,368 |
| Equity | 1 | 8 |
| Derivative | 7,189 | 4,360 |
| Equity linked instrument | 0 | 0 |
| Callable bull/bear contracts | 2,650 | 2,667 |
| Unit trusts/mutual funds | 147 | 168 |
| Debt securities | 1,117 | 970 |
| No. of trading only stocks | | |
| Nasdaq stocks | 6 | 6 |
| iShares | 0 | 2 |
| No. of trading days | 60 | 59 |
| Total turnover in value (HK\$mil.) * | 6,369,437.22 | 4,548,613.07 |
| Total turnover in shares (mil. shs) | 12,136,712.34 | 9,052,922.60 |
| Total number of trades (deals) | 97,354,946 | 66,569,027 |
| Total market capitalisation (HK\$mil.) | 32,855,932.37 | 28,412,843.17 |
| Average P/E ratio (times) | 11.76 | 14.04 |
| Average dividend yield (%) | 3.32 | 2.96 |
| Number of exchange participants | | |
| – Trading | 635 | 589 |
| – Non-trading | 30 | 34 |
| Turnover in value (HK\$mil.) | | |
| Highest | 203,754.12 (31/05) | 116,954.88 (09/06) |
| Lowest | 77,375.91 (11/06) | 60,803.62 (29/05) |
| Turnover in shares (mil. shs) | | |
| Highest | 269,204.75 (26/04) | 222,423.42 (07/04) |
| Lowest | 144,608.15 (13/06) | 104,586.93 (29/05) |
| Total number of trades (deals) | | |
| Highest | 2,302,822 (19/06) | 1,647,294 (05/04) |
| Lowest | 1,289,204 (11/06) | 877,364 (29/05) |

* Included turnover value traded in non-HKD currency securities.

MARKET HIGHLIGHTS

Market Highlights – GEM

| Highlights | 2nd Quarter 2018 | 2nd Quarter 2017 |
|--|------------------|------------------|
| No. of listed companies | 367 | 288 |
| No. of listed securities | 368 | 289 |
| Equities | 367 | 288 |
| Warrants | 1 | 1 |
| No. of trading days | 60 | 59 |
| Total turnover in value (HK\$mil.) * | 41,444.89 | 42,516.61 |
| Total turnover in shares (mil. shs) | 84,816.78 | 144,650.63 |
| Total number of trades (deals) | 1,252,478 | 1,348,491 |
| Total market capitalisation (HK\$mil.) | 248,066.40 | 267,662.50 |
| Average P/E ratio (times) | 42.35 | 36.51 |
| Average dividend yield (%) | 0.27 | 0.34 |
| Turnover in value (HK\$mil.) | | |
| Highest | 1,908.70 (31/05) | 1,043.16 (27/06) |
| Lowest | 388.95 (30/04) | 458.56 (24/04) |
| Turnover in shares (mil. shs) | | |
| Highest | 2,871.69 (31/05) | 9,113.39 (28/06) |
| Lowest | 918.61 (30/04) | 1,324.44 (02/05) |
| Total number of trades (deals) | | |
| Highest | 40,272 (16/04) | 51,033 (27/06) |
| Lowest | 11,626 (30/04) | 13,770 (03/04) |

* Included turnover value traded in non-HKD currency securities.

MARKET HIGHLIGHTS

Derivatives Market Highlights

| Highlights | 2nd Quarter 2018 | 2nd Quarter 2017 |
|---|---------------------|---------------------|
| All futures and options products (excluding stock options) | | |
| No. of trading days | 60 | 59 |
| Total contract volume | 38,470,936 | 25,254,553 |
| Equity index products | 37,909,593 | 25,072,981 |
| Equity products | 121,288 | 31,094 |
| Bond Futures * | - | 6,680 |
| Interest rates products | 441 | 0 |
| RMB Currency products | 389,712 | 142,975 |
| Gold Futures # | 48,347 | - |
| Iron Ore Futures ^ | 964 | - |
| London Metal Mini Futures | 591 | 823 |
| Average daily contract volume | 641,182 | 428,043 |
| Total open interest as at the quarter end (contracts) | 3,819,002 | 3,732,312 |
| Equity index products | 3,769,726 | 3,691,480 |
| Equity products | 16,417 | 6,563 |
| Bond Futures * | - | 666 |
| Interest rates products | 456 | 40 |
| RMB Currency products | 32,134 | 33,553 |
| Gold Futures # | 208 | - |
| Iron Ore Futures ^ | 54 | - |
| London Metal Mini Futures | 7 | 10 |

* Trading in Bond Futures commenced on 10 Apr 2017 and suspended with effect from 08 Dec 2017

Trading in Gold Futures commenced on 10 Jul 2017

^ Trading in Iron Ore Futures commenced on 13 Nov 2017

| Stock options | | |
|---|------------|------------|
| No. of newly introduced stock options classes | 0 | 3 |
| No. of stock options as at the quarter end | | |
| Class | 89 | 87 |
| Series | 34,978 | 31,958 |
| Total premium (HK\$mil.) | 20,957.48 | 9,477.49 |
| Call | 8,966.77 | 5,291.19 |
| Put | 11,990.71 | 4,186.30 |
| Average daily premium (HK\$mil.) | 349.29 | 160.64 |
| Total contract volume | 28,891,853 | 21,947,446 |
| Call | 14,168,985 | 11,605,403 |
| Put | 14,722,868 | 10,342,043 |
| Average daily contract volume | 481,531 | 371,991 |
| Total open interest as at the quarter end (contracts) | 9,255,436 | 7,582,918 |
| Call | 4,478,540 | 3,699,782 |
| Put | 4,776,896 | 3,883,136 |
| Total number of trades | 1,117,354 | 791,693 |
| Average daily number of trades | 18,623 | 13,419 |
| Total contracts exercised | 2,780,590 | 2,092,871 |
| Average premium per contract (HK\$) | 725.38 | 431.83 |
| Average contract per trade (contracts) | 26 | 28 |
| Put volume/call volume | 1.04 | 0.89 |
| Average daily contract volume/open interest | 5.2% | 4.9% |

| | | |
|---|------------|------------|
| All futures and options products | | |
| Total contract volume | 67,362,789 | 47,201,999 |
| Total open interest as at the quarter end (contracts) | 13,074,438 | 11,315,230 |

MARKET HIGHLIGHTS

Clearing and Settlement Statistics

| Securities Market | 2nd Quarter 2018 | 2nd Quarter 2017 |
|---|------------------|------------------|
| Average Daily Exchange Trades Handled by CCASS | | |
| - Number of trades | 1,633,677 | 1,150,564 |
| - Value of trades (HK\$bil.) | 106.6 | 77.4 |
| - Share quantity Involved (bil shares) | 203.7 | 155.9 |
| Average Daily Settlement Instructions ("SIs") Settled by CCASS | | |
| - Number of SIs | 104,188 | 89,205 |
| - Value of SIs (HK\$bil.) | 291.7 | 224.8 |
| - Share quantity involved (bil. Shares) | 50.8 | 48.9 |
| Average Daily Investor SIs ("ISIs") Settled by CCASS | | |
| - Number of ISIs | 303 | 321 |
| - Value of ISIs (HK\$mil.) | 190.5 | 147.6 |
| - Share quantity involved (mil. shares) | 352.6 | 212.6 |
| Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%) | 99.87 | 99.91 |
| Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%) | 99.99 | 99.99 |
| Average Daily Buy-ins Executed on T+3 | | |
| - Number of brokers involved | 4 | 2 |
| - Number of buy-ins | 5 | 2 |
| - Value of buy-ins (HK\$mil) | 4.7 | 1.2 |
| Shares Deposited in the CCASS Depository * | | |
| - Number of shares (bil shares) | 6,663.6 | 6,264.7 |
| - Percentage of total issued shares of the admitted securities | 76.69 | 75.18 |
| - Value of shares (HK\$bil) | 21,197.1 | 18,013.1 |
| - Percentage of the total market capitalisation of the admitted securities | 59.56 | 57.34 |

| Derivatives Market | 2nd Quarter 2018 | 2nd Quarter 2017 |
|--------------------------------------|------------------|------------------|
| Open Interest | | |
| - Equity Index Futures | 612,543 | 610,090 |
| - Stock Futures | 16,417 | 6,563 |
| - 5-Year MOF T-Bond Futures ^ | - | 666 |
| - Interest Rates Futures | 456 | 40 |
| - RMB Currency Futures | 28,161 | 32,516 |
| - Gold Futures + | 208 | - |
| - Iron Ore Futures ^^ | 54 | - |
| - London Metal Mini Futures | 7 | 10 |
| - Equity Index Options | 3,157,183 | 3,081,390 |
| - Stock Options | 9,255,436 | 7,582,918 |
| - RMB Currency Options | 3,973 | 1,037 |
| Cumulative Stock Options Exercised | | |
| - Share quantity involved (mil. shs) | 2,554 | 1,944 |
| - Value of shares (HK\$bil.) | 70 | 41 |

* Share quantity and market value of securities in non-HKD currency are included

^ Trading in 5-Year MOF T-Bond Futures commenced on 10 Apr 2017 and suspended with effect from 08 Dec 2017

+ Trading in Gold Futures commenced on 10 Jul 2017

^^ Trading in Iron Ore Futures commenced on 13 Nov 2017

MAIN BOARD EQUITIES

Equity Turnover

| | | Share (mil. shs) | Value (HK\$ mil.) | No. of deals |
|------|----|---------------------|----------------------|--------------|
| 2017 | Q2 | 888,545.35 | 3,419,708.45 | 55,686,986 |
| | Q3 | 961,543.54 | 4,503,339.92 | 65,867,472 |
| | Q4 | 905,785.89 | 4,868,645.15 | 66,454,083 |
| 2018 | Q1 | 922,529.87 | 6,442,430.45 | 82,448,315 |
| | Q2 | 764,395.06 | 4,893,924.22 | 74,184,981 |

Equity Trading Statistics

| | | No. of trading days | Average daily turnover (HK\$ mil.) | Average value per deal |
|------|----|------------------------|---------------------------------------|---------------------------|
| 2017 | Q2 | 59 | 57,961.16 | 61,409 |
| | Q3 | 64 | 70,364.69 | 68,370 |
| | Q4 | 61 | 79,813.85 | 73,263 |
| 2018 | Q1 | 61 | 105,613.61 | 78,139 |
| | Q2 | 60 | 81,565.40 | 65,969 |

MAIN BOARD EQUITIES

20 Most Advanced Stocks

for 2nd quarter 2018

| Rank | Code | Stock | Closing price | | % up |
|------|-------|-----------------|-----------------|-----------------|--------|
| | | | End of Jun 2018 | End of Mar 2018 | |
| 1 | 01647 | SHIS LTD | 1.870 | 0.700 | 167.14 |
| 2 | 01889 | SANAI HEALTH GP | 0.520 | 0.200 | 160.00 |
| 3 | 00758 | JUNEFIELD GROUP | 0.630 | 0.260 | 142.31 |
| 4 | 00708 | EVERG HEALTH | 7.300 | 3.090 | 136.25 |
| 5 | 01611 | PANTRONICS HLDG | 2.520 | 1.150 | 119.13 |
| 6 | 00082 | V1 GROUP | 0.480 | 0.220 | 118.18 |
| 7 | 01660 | ZHAOBANGJI PPT | 8.800 | 4.390 | 100.46 |
| 8 | 00241 | ALI HEALTH | 7.570 | 3.900 | 94.10 |
| 9 | 01106 | SINO HAIJING | 0.300 | 0.159 | 88.68 |
| 10 | 00456 | NEW CITY DEV | 0.590 | 0.330 | 78.79 |
| 11 | 01220 | ZHIDAO INT'L | 1.200 | 0.680 | 76.47 |
| 12 | 01347 | HUA HONG SEMI | 26.950 | 15.540 | 73.42 |
| 13 | 00556 | PAN ASIA ENVIRO | 1.500 | 0.870 | 72.41 |
| 14 | 01709 | SEASON PACIFIC | 0.600 | 0.350 | 71.43 |
| 15 | 01298 | TECHCOMP | 3.940 | 2.300 | 71.30 |
| 16 | 00653 | BONJOUR HOLD | 0.380 | 0.227 | 67.40 |
| 17 | 00264 | ASCENT INT'L | 1.480 | 0.900 | 64.44 |
| 18 | 00496 | KASEN | 2.950 | 1.830 | 61.20 |
| 19 | 01822 | HONGDA FIN | 0.295 | 0.183 | 61.20 |
| 20 | 02083 | NATURE HOME | 1.640 | 1.020 | 60.78 |

A Adjusted

20 Most Declined Stocks

for 2nd quarter 2018

| Rank | Code | Stock | Closing price | | % down |
|------|-------|-----------------|-----------------|-----------------|--------|
| | | | End of Jun 2018 | End of Mar 2018 | |
| 1 | 00279 | FREEMAN FINTECH | 0.088 | 1.400 | -93.71 |
| 2 | 01369 | WUZHOU INT'L | 0.063 | 0.770 | -91.82 |
| 3 | 02200 | HOSA INT'L | 0.290 | 2.780 | -89.57 |
| 4 | 01439 | MOBILE INTERNET | 0.385 | 2.190 | -82.42 |
| 5 | 03326 | PERFECT GROUP | 0.710 | 3.380 | -78.99 |
| 6 | 00378 | FDG KINETIC | 0.151 | 0.570 | -73.51 |
| 7 | 00932 | SHUNTEN INTL | 0.325 | 1.150 | -71.74 |
| 8 | 01536 | YUK WING GP | 0.530 | 1.840 | -71.20 |
| 9 | 00633 | CH ALL ACCESS | 0.800 | 2.500 | -68.00 |
| 10 | 02326 | NPE HOLDINGS | 0.055 | 0.161 | -65.84 |
| 11 | 01322 | CW GROUP HOLD | 0.440 | 1.220 | -63.93 |
| 12 | 01219 | TENWOW INT'L | 0.465 | 1.280 | -63.67 |
| 13 | 02277 | HUARONG INV | 0.445 | 1.190 | -62.61 |
| 14 | 00712 | COMTEC SOLAR | 0.087 | 0.227 | -61.67 |
| 15 | 00875 | CHINA FIN INV | 0.094 | 0.240 | -60.83 |
| 16 | 01103 | DASHENG AGR FIN | 0.086 | 0.215 | -60.00 |
| 17 | 01194 | MUNSUN CAPITAL | 0.320 | 0.800 | -60.00 |
| 18 | 01239 | TEAMWAY INTL GP | 0.022 | 0.054 | -59.26 |
| 19 | 00058 | SUNWAY INTL-NEW | 0.200 | 0.470 | -57.45 |
| 20 | 00486 | RUSAL | 2.050 | 4.750 | -56.84 |

A Adjusted

MAIN BOARD EQUITIES

20 Most Active Stocks by Value

for 2nd quarter 2018

| Rank | Code | Stock | Turnover (HK\$ mil.) | % of total |
|--------------|-------|----------------|----------------------|--------------|
| 1 | 00700 | TENCENT | 598,529.59 | 12.23 |
| 2 | 02318 | PING AN | 240,861.43 | 4.92 |
| 3 | 00939 | CCB | 155,680.40 | 3.18 |
| 4 | 01398 | ICBC | 103,304.43 | 2.11 |
| 5 | 01299 | AIA | 101,946.53 | 2.08 |
| 6 | 00388 | HKEX | 88,726.17 | 1.81 |
| 7 | 00005 | HSBC HOLDINGS | 81,744.58 | 1.67 |
| 8 | 03988 | BANK OF CHINA | 77,872.70 | 1.59 |
| 9 | 00941 | CHINA MOBILE | 69,707.30 | 1.42 |
| 10 | 00386 | SINOPEC CORP | 67,127.52 | 1.37 |
| 11 | 00883 | CNOOC | 66,756.14 | 1.36 |
| 12 | 00175 | GEELY AUTO | 64,563.04 | 1.32 |
| 13 | 02382 | SUNNY OPTICAL | 63,970.09 | 1.31 |
| 14 | 00857 | PETROCHINA | 59,629.33 | 1.22 |
| 15 | 02018 | AAC TECH | 55,906.21 | 1.14 |
| 16 | 01093 | CSPC PHARMA | 51,952.89 | 1.06 |
| 17 | 00027 | GALAXY ENT | 49,514.59 | 1.01 |
| 18 | 02628 | CHINA LIFE | 47,942.35 | 0.98 |
| 19 | 02007 | COUNTRY GARDEN | 47,404.39 | 0.97 |
| 20 | 03968 | CM BANK | 40,197.48 | 0.82 |
| Total | | | 2,133,337.17 | 43.59 |

20 Most Active Stock by Shares

for 2nd quarter 2018

| Rank | Code | Stock | Turnover (mil. shs) | % of total |
|--------------|-------|-----------------|---------------------|--------------|
| 1 | 00279 | FREEMAN FINTECH | 42,050.95 | 5.50 |
| 2 | 00939 | CCB | 19,691.54 | 2.58 |
| 3 | 03988 | BANK OF CHINA | 18,919.82 | 2.48 |
| 4 | 01398 | ICBC | 15,843.64 | 2.07 |
| 5 | 00582 | LANDING INTL | 13,089.95 | 1.71 |
| 6 | 00136 | HENG TEN NET | 12,464.55 | 1.63 |
| 7 | 01051 | G-RESOURCES | 10,549.67 | 1.38 |
| 8 | 00857 | PETROCHINA | 9,961.67 | 1.30 |
| 9 | 01288 | ABC | 9,445.11 | 1.24 |
| 10 | 00386 | SINOPEC CORP | 8,946.32 | 1.17 |
| 11 | 01369 | WUZHOU INT'L | 8,227.48 | 1.08 |
| 12 | 00729 | FDG EVEHICLES | 7,706.19 | 1.01 |
| 13 | 03800 | GCL-POLY ENERGY | 7,100.21 | 0.93 |
| 14 | 00486 | RUSAL | 5,525.31 | 0.72 |
| 15 | 00883 | CNOOC | 5,129.83 | 0.67 |
| 16 | 01194 | MUNSUN CAP-NEW | 5,083.43 | 0.67 |
| 17 | 01060 | ALI PICTURES | 4,970.01 | 0.65 |
| 18 | 01752 | TOP EDUCATION | 4,389.50 | 0.57 |
| 19 | 02799 | CHINA HUARONG | 4,312.89 | 0.56 |
| 20 | 00621 | TAUNG GOLD | 4,272.54 | 0.56 |
| Total | | | 217,680.62 | 28.48 |

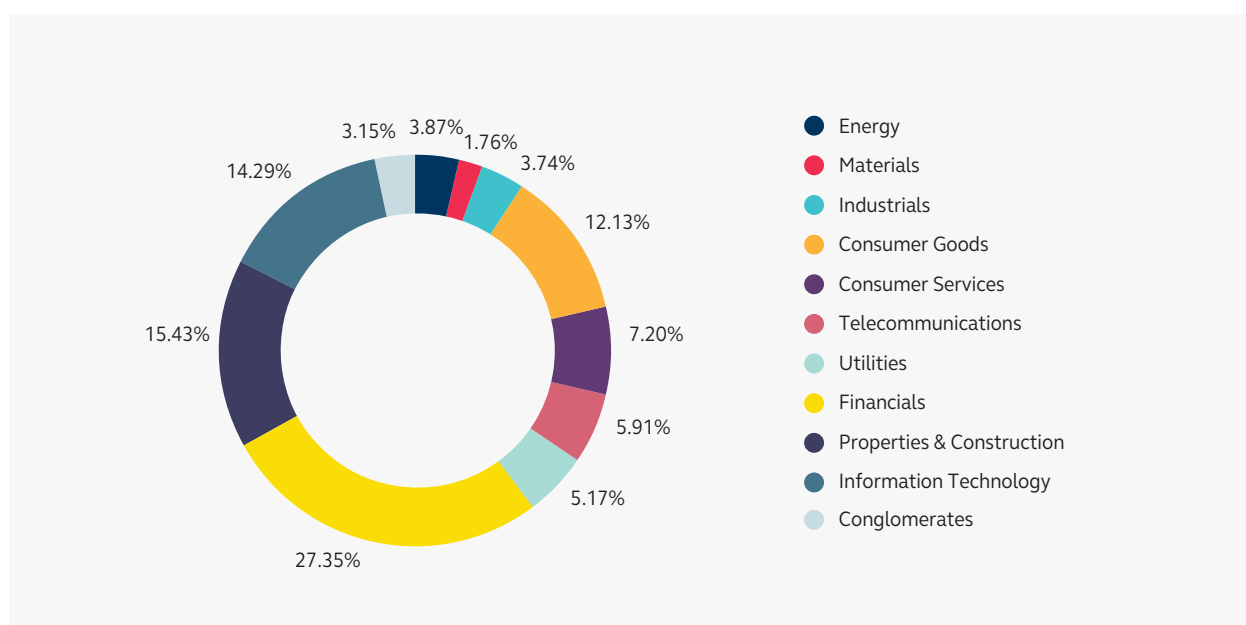
MAIN BOARD EQUITIES

Market Capitalisation of Listed Companies for Main Board

| | | HK\$ mil. |
|------|----|---------------|
| 2017 | Q2 | 28,412,843.17 |
| | Q3 | 31,140,799.89 |
| | Q4 | 33,717,995.17 |
| 2018 | Q1 | 34,138,548.54 |
| | Q2 | 32,855,932.37 |

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

MAIN BOARD EQUITIES

50 Leading Companies by Market Capitalisation

as at the 2nd quarter end 2018

| Rank | Code | Company | Market capitalisation | |
|---------------------|-------|---|-----------------------|-------------------|
| | | | (HK\$ mil.) | % of market total |
| 1 | 00700 | Tencent Holdings Ltd. | 3,742,712.19 | 11.39 |
| 2 | 00939 | China Construction Bank Corporation – H Shares | 1,743,025.57 | 5.31 |
| 3 | 00005 | HSBC Holdings plc | 1,496,381.61 | 4.55 |
| 4 | 00941 | China Mobile Ltd. | 1,427,141.16 | 4.34 |
| 5 | 01299 | AIA Group Ltd. | 828,427.92 | 2.52 |
| 6 | 00883 | CNOOC Ltd. | 604,526.55 | 1.84 |
| 7 | 02318 | Ping An Insurance (Group) Co. of China, Ltd. – H Shares | 537,715.05 | 1.64 |
| 8 | 01398 | Industrial and Commercial Bank of China Ltd. – H Shares | 509,481.04 | 1.55 |
| 9 | 02378 | Prudential plc * | – | 466,535.89 |
| 10 | 02388 | BOC Hong Kong (Holdings) Ltd. | 390,664.23 | 1.19 |
| 11 | 00011 | Hang Seng Bank Ltd. | 375,103.54 | 1.14 |
| 12 | 00016 | Sun Hung Kai Properties Ltd. | 343,005.07 | 1.04 |
| 13 | 01928 | Sands China Ltd. | 338,963.85 | 1.03 |
| 14 | 03988 | Bank of China Ltd. – H Shares | 325,290.66 | 0.99 |
| 15 | 00267 | CITIC Ltd. | 321,738.30 | 0.98 |
| 16 | 00001 | CK Hutchison Holdings Ltd. | 320,958.85 | 0.98 |
| 17 | 02007 | Country Garden Holdings Co. Ltd. | 300,024.88 | 0.91 |
| 18 | 00762 | China Unicom (Hong Kong) Ltd. | 299,861.62 | 0.91 |
| 19 | 00388 | Hong Kong Exchanges and Clearing Ltd. | 294,235.18 | 0.90 |
| 20 | 00688 | China Overseas Land & Investment Ltd. | 283,217.81 | 0.86 |
| 21 | 00945 | Manulife Financial Corporation * | – | 279,684.54 |
| 22 | 03333 | China Evergrande Group | 263,567.58 | 0.80 |
| 23 | 00027 | Galaxy Entertainment Group Ltd. | 262,346.37 | 0.80 |
| 24 | 00066 | MTR Corporation Ltd. | 260,810.58 | 0.79 |
| 25 | 02888 | Standard Chartered PLC | 240,851.70 | 0.73 |
| 26 | 00003 | Hong Kong and China Gas Co. Ltd., The | 231,103.90 | 0.70 |
| 27 | 01113 | CK Asset Holdings Ltd. | 230,354.16 | 0.70 |
| 28 | 00002 | CLP Holdings Ltd. | 213,485.07 | 0.65 |
| 29 | 03328 | Bank of Communications Co., Ltd. – H Shares | 210,421.29 | 0.64 |
| 30 | 01109 | China Resources Land Ltd. | 183,323.35 | 0.56 |
| 31 | 00175 | Geely Automobile Holdings Ltd. | 182,693.05 | 0.56 |
| 32 | 00012 | Henderson Land Development Co. Ltd. | 182,652.33 | 0.56 |
| 33 | 00386 | China Petroleum & Chemical Corporation – H Shares | 178,849.20 | 0.54 |
| 34 | 01972 | Swire Properties Ltd. | 169,650.00 | 0.52 |
| 35 | 01997 | Wharf Real Estate Investment Co. Ltd. | 169,573.30 | 0.52 |
| 36 | 02382 | Sunny Optical Technology (Group) Co. Ltd. | 160,162.00 | 0.49 |
| 37 | 00384 | China Gas Holdings Ltd. | 156,756.79 | 0.48 |
| 38 | 01038 | CK Infrastructure Holdings Ltd. | 154,136.81 | 0.47 |
| 39 | 01177 | Sino Biopharmaceutical Ltd. | 152,159.01 | 0.46 |
| 40 | 02628 | China Life Insurance Co. Ltd. – H Shares | 150,683.79 | 0.46 |
| 41 | 01093 | CSPC Pharmaceutical Group Ltd. | 147,959.54 | 0.45 |
| 42 | 02313 | Shenzhou International Group Holdings Ltd. | 145,587.09 | 0.44 |
| 43 | 02018 | AAC Technologies Holdings Inc. | 135,031.00 | 0.41 |
| 44 | 03968 | China Merchants Bank Co., Ltd. – H Shares | 132,906.59 | 0.40 |
| 45 | 01128 | Wynn Macau, Ltd. | 131,223.20 | 0.40 |
| 46 | 00656 | Fosun International Ltd. | 126,727.15 | 0.39 |
| 47 | 00857 | PetroChina Co. Ltd. – H Shares | 125,960.43 | 0.38 |
| 48 | 00960 | Longfor Properties Co. Ltd. | 125,425.15 | 0.38 |
| 49 | 00291 | China Resources Beer (Holdings) Co. Ltd. | 123,603.14 | 0.38 |
| 50 | 01918 | Sunac China Holdings Ltd. | 120,846.13 | 0.37 |
| Total | | | 19,551,324.79 | 59.51 |
| Market Total | | | 32,855,932.37 | 100.00 |

Notes:

* not included in Market Total

MAIN BOARD EQUITIES

Short Selling (value)

| | | Short selling turnover (HK\$ mil.) | Market turnover (HK\$ mil.) * | % of market total |
|------|----|------------------------------------|-------------------------------|-------------------|
| 2017 | Q2 | 504,352.77 | 4,591,129.68 | 10.99 |
| | Q3 | 589,099.69 | 5,964,717.45 | 9.88 |
| | Q4 | 648,919.41 | 6,544,565.64 | 9.92 |
| 2018 | Q1 | 988,343.62 | 8,909,838.11 | 11.09 |
| | Q2 | 772,146.39 | 6,410,882.11 | 12.04 |

* Included Market total for Main Board and GEM

Short Selling (share)

| | | Short selling turnover (mil. shs) | Market turnover (mil. shs) * | % of market total |
|------|----|-----------------------------------|------------------------------|-------------------|
| 2017 | Q2 | 49,419.09 | 9,197,573.22 | 0.54 |
| | Q3 | 55,768.04 | 10,542,397.64 | 0.53 |
| | Q4 | 52,727.59 | 11,978,771.34 | 0.44 |
| 2018 | Q1 | 73,962.52 | 15,407,195.88 | 0.48 |
| | Q2 | 62,536.85 | 12,221,529.12 | 0.51 |

* Included Market total for Main Board and GEM

New Listing Companies Statistics

| | | No. of newly listed companies | No. of companies transferred from GEM * | Funds raised (HK\$ mil.) # |
|------|----|-------------------------------|---|----------------------------|
| 2017 | Q2 | 13 | 3 | 40,164.53 |
| | Q3 | 18 | 4 | 30,967.64 |
| | Q4 | 30 | 5 | 39,356.51 |
| 2018 | Q1 | 31 | 5 | 22,173.45 |
| | Q2 | 20 | 2 | 24,826.14 |

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

MAIN BOARD EQUITIES

Newly Listed Companies

for 2nd quarter 2018

| Code | Company | Listing date | No. of issued shares | Funds raised (HK\$ mil.) |
|--------------|---|--------------|----------------------|--------------------------|
| 01726 | HKE Holdings Ltd. | 2018/04/18 | 800,000,000 | 110.00 |
| 01671 | Tianjin Tianbao Energy Co., Ltd. – H Shares | 2018/04/27 | 44,320,000 | 84.21 |
| 01833 | Ping An Healthcare and Technology Co. Ltd. | 2018/05/04 | 1,067,294,200 | 8,773.16 |
| 01742 | HPC Holdings Ltd. | 2018/05/11 | 1,600,000,000 | 180.00 |
| 01750 | REM Group (Holdings) Ltd. | 2018/05/11 | 1,800,000,000 | 135.00 |
| 01752 | Top Education Group Ltd. | 2018/05/11 | 2,588,548,000 | 232.16 |
| 02119 | Tsit Wing International Holdings Ltd. | 2018/05/11 | 761,093,112 | 544.66 |
| 03613 | Beijing Tong Ren Tang Chinese Medicine Co. Ltd. * | 2018/05/29 | 837,100,000 | – |
| 01598 | China 21st Century Education Group Ltd. | 2018/05/29 | 1,236,000,000 | 447.48 |
| 01978 | LH Group Ltd. | 2018/05/30 | 800,000,000 | 220.00 |
| 01451 | MS Group Holdings Ltd. | 2018/06/01 | 200,000,000 | 67.00 |
| 06119 | Tian Yuan Group Holdings Ltd. | 2018/06/01 | 600,000,000 | 127.50 |
| 01757 | Affluent Foundation Holdings Ltd. | 2018/06/07 | 1,200,000,000 | 102.00 |
| 01751 | Sing On Holdings Ltd. * | 2018/06/12 | 672,000,000 | – |
| 01806 | Huifu Payment Ltd. | 2018/06/15 | 1,251,462,961 | 1,689.48 |
| 06098 | Country Garden Services Holdings Co. Ltd. | 2018/06/19 | 2,500,000,000 | – |
| 02003 | VCREDIT Holdings Ltd. | 2018/06/21 | 497,303,869 | 1,371.44 |
| 01916 | Jiangxi Bank Co., Ltd. – H Shares | 2018/06/26 | 1,170,000,000 | 7,476.30 |
| 01749 | Shanshan Brand Management Co., Ltd. – H Shares | 2018/06/27 | 33,400,000 | 126.25 |
| 01587 | Shineroad International Holdings Ltd. | 2018/06/27 | 680,000,000 | 127.50 |
| 01620 | CTEH Inc. | 2018/06/28 | 1,200,000,000 | 108.00 |
| 06100 | Wise Talent Information Technology Co., Ltd. | 2018/06/29 | 495,559,464 | 2,904.00 |
| Total | | | | 24,826.14 |

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

MAIN BOARD EQUITIES

Withdrawal of Listed Companies

for 2nd quarter 2018

| Code | Company | Last trading date | Delisted date |
|-------|--|-------------------|---------------|
| 01893 | China National Materials Co. Ltd. – H Shares | 2018/04/16 | 2018/04/24 |

MAIN BOARD EQUITIES

Company Name Changes

for 2nd quarter 2018

| Code | Old name | New name | Effective date | Adoption date |
|-------|--|---|----------------|---------------|
| 00860 | O Luxe Holdings Ltd. | WE Solutions Ltd. | 2018/02/28 | 2018/04/10 |
| 06123 | On Time Logistics Holdings Ltd. | YTO Express (International) Holdings Ltd. | 2018/02/28 | 2018/04/10 |
| 01326 | Pegasus Entertainment Holdings Ltd. | Transmit Entertainment Ltd. | 2018/03/13 | 2018/04/13 |
| 00186 | Grande Holdings Ltd., The | Nimble Holdings Co. Ltd. | 2018/02/14 | 2018/04/18 |
| 01152 | Infinity Financial Group (Holdings) Ltd. | Momentum Financial Holdings Ltd. | 2018/03/21 | 2018/04/19 |
| 00707 | Co-Prosperity Holdings Ltd. | Asia Television Holdings Ltd. | 2018/03/16 | 2018/04/20 |
| 00888 | RoadShow Holdings Ltd. | Bison Finance Group Ltd. | 2018/03/16 | 2018/04/23 |
| 01140 | OP Financial Investments Ltd. | OP Financial Ltd. | 2018/03/26 | 2018/04/24 |
| 00767 | Pacific Plywood Holdings Ltd. | Asia Pacific Silk Road Investment Co. Ltd. | 2018/04/13 | 2018/04/30 |
| 00331 | FSE Engineering Holdings Ltd. | FSE Services Group Ltd. | 2018/04/11 | 2018/05/04 |
| 00952 | China Oceanwide International Financial Ltd. | China Tonghai International Financial Ltd. | 2018/04/11 | 2018/05/07 |
| 00397 | Jun Yang Financial Holdings Ltd. | Power Financial Group Ltd. | 2018/04/11 | 2018/05/10 |
| 00445 | China Fire Safety Enterprise Group Ltd. | CIMC-TianDa Holdings Co. Ltd. | 2018/04/24 | 2018/05/18 |
| 00007 | Hoifu Energy Group Ltd. | Hong Kong Finance Investment Holding Group Ltd. | 2018/04/19 | 2018/06/06 |
| 00524 | e-Kong Group Ltd. | Great Wall Belt & Road Holdings Ltd. | 2018/04/23 | 2018/06/08 |
| 00340 | China Mining Resources Group Ltd. | Tongguan Gold Group Ltd. | 2018/04/27 | 2018/06/11 |
| 01660 | Sanroc International Holdings Ltd. | Zhaobangji Properties Holdings Ltd. | 2018/05/16 | 2018/06/13 |
| 00577 | The 13 Holdings Ltd. | South Shore Holdings Ltd. | 2018/05/09 | 2018/06/27 |
| 01070 | TCL Multimedia Technology Holdings Ltd. | TCL Electronics Holdings Ltd. | 2018/05/23 | 2018/06/28 |
| 03393 | Wasion Group Holdings Ltd. | Wasion Holdings Ltd. | 2018/05/30 | 2018/06/29 |

MAIN BOARD EQUITIES

Bonus Issues/Bonus Warrants

for 2nd quarter 2018

| Code | Company | Particulars | Ex-date |
|-------|--|-------------|------------|
| 00771 | Automated Systems Holdings Ltd. | 2 for 10 | 2018/05/21 |
| 02238 | Guangzhou Automobile Group Co., Ltd. – H Shares | 4 for 10 | 2018/06/01 |
| 00094 | Greenheart Group Ltd. | 1 for 10 | 2018/06/04 |
| 01177 | Sino Biopharmaceutical Ltd. | 1 for 2 | 2018/06/04 |
| 00012 | Henderson Land Development Co. Ltd. | 1 for 10 | 2018/06/05 |
| 00003 | Hong Kong and China Gas Co. Ltd., The | 1 for 10 | 2018/06/08 |
| 01812 | Shandong Chenming Paper Holdings Ltd. – H Shares | 5 for 10 | 2018/06/15 |
| 01513 | Livzon Pharmaceutical Group Inc. – H Shares | 3 for 10 | 2018/06/22 |
| 01988 | China Minsheng Banking Corp., Ltd. – H Shares | 2 for 10 | 2018/06/25 |
| 00096 | Yusei Holdings Ltd. | 1 for 5 | 2018/06/26 |
| 02328 | PICC Property and Casualty Co. Ltd. – H Shares | 5 for 10 | 2018/06/27 |

Share Split/Consolidation

for 2nd quarter 2018

| Code | Company | Particulars | Effective date |
|-------|--|-------------------------|----------------|
| 01059 | Kantone Holdings Ltd. | Consolidation 5 into 1 | 2018/04/10 |
| 00340 | Tongguan Gold Group Ltd. | Consolidation 10 into 1 | 2018/04/30 |
| 01194 | Munshun Capital Group Ltd. | Consolidation 20 into 1 | 2018/05/28 |
| 01007 | Daqing Dairy Holdings Ltd. | Consolidation 2 into 1 | 2018/05/29 |
| 00058 | Sunway International Holdings Ltd. | Consolidation 10 into 1 | 2018/06/04 |
| 01181 | Tang Palace (China) Holdings Ltd. | Split 1 into 2 | 2018/06/05 |
| 00875 | China Finance Investment Holdings Ltd. | Consolidation 10 into 1 | 2018/06/25 |

MAIN BOARD EQUITIES

Rights Issues & Open Offers

for 2nd quarter 2018

| Month | Code | Company | Ratio | Funds raised (HK\$ mil.) |
|--------------|-------|-----------------------------|--|--------------------------|
| Jun | 00559 | DeTai New Energy Group Ltd. | Rts 2 for 1 @\$0.052 | 544.11 |
| | 01007 | Daqing Dairy Holdings Ltd. | Open offer 1 for 5 @\$0.1025 (after consolidation 2 into 1) | 10.36 |
| Total | | | | 554.47 |

MAIN BOARD EQUITIES

Placing*

| Month | Code | Company | No. of new shares placed | Placing price (HK\$) | Funds raised (HK\$ mil.) |
|-------|-------------|-----------------|--------------------------|----------------------|--------------------------|
| 2018 | | | | | |
| Apr | 00888 | BISON FINANCE | 187,500,000 | 1.4400 | 270.00 |
| | 00371 | BJ ENT WATER | 169,491,525 | 5.9000 | 1,000.00 |
| | 00159 | BROCKMAN MINING | 780,000,000 | 0.1000 | 78.00 |
| | 02312 | CH FIN LEASING | 220,000,000 | 0.1350 | 29.70 |
| | 00810 | CH INTERNET INV | 109,170,000 | 0.1350 | 14.74 |
| | 03818 | CHINA DONGXIANG | 9,000,000 | 1.2900 | 11.61 |
| | 03818 | CHINA DONGXIANG | 202,310,000 | 1.3500 | 273.12 |
| | 01130 | CHINA ENV RES | 339,400,000 | 0.2550 | 86.55 |
| | 00348 | CHINAHEALTHWISE | 1,420,000,000 | 0.1100 | 156.20 |
| | 00471 | CMMB VISION | 375,000,000 | 0.2400 | 90.00 |
| | 01543 | JOIN-SHARE | 261,030,000 | 1.4200 | 370.66 |
| | 02327 | MEILLEUREHEALTH | 124,800,000 | 0.3500 | 43.68 |
| | 01539 | SYNERGY GROUP | 50,000,000 | 1.0900 | 54.50 |
| | 00891 | TRINITY | 1,846,000,000 | 1.2000 | 2,215.20 |
| May | 01958 | BAIC MOTOR | 420,000,000 | 7.8900 | 3,313.80 |
| | 01191 | CHINA GEM HLDGS | 540,000,000 | 0.1200 | 64.80 |
| | 01371 | CHINA LOTSYN | 1,034,500,000 | 0.1160 | 120.00 |
| | 00445 | CIMC-TIANDA | 673,225,000 | 0.3660 | 246.40 |
| | 01589 | CNLP | 321,068,999 | 2.8000 | 898.99 |
| | 02298 | COSMO LADY | 121,443,213 | 4.2000 | 510.06 |
| | 01218 | EASYKNIT INT'L | 11,900,000 | 4.6000 | 54.74 |
| | 00223 | ELIFE HLDGS | 306,875,000 | 0.1280 | 39.28 |
| | 00500 | FRONTIER SER | 640,000,000 | 1.3000 | 832.00 |
| | 01822 | HONGDA FIN | 176,580,000 | 0.3000 | 52.97 |
| | 00428 | HUGE CHINA | 14,000,000 | 0.7900 | 11.06 |
| | 02014 | OZNER WATER | 90,000,000 | 2.0000 | 180.00 |
| | 01251 | SPT ENERGY | 306,958,000 | 0.7800 | 239.43 |
| | 01613 | SYNERTONE | 653,016,000 | 0.0800 | 52.24 |
| | 00515 | TC ORI LIGHT | 205,927,043 | 0.1000 | 20.59 |
| | 00826 | TIANGONG INT'L | 300,000,000 | 1.6000 | 480.00 |
| | 00570 | TRAD CHI MED | 604,296,222 | 4.4300 | 2,677.03 |
| Jun | 02623 | ADD NEW ENERGY | 322,348,000 | 0.1150 | 37.07 |
| | 02309 | BIRMINGHAM SPTS | 1,380,080,000 | 0.1050 | 144.91 |
| | 00832 | CENTRAL CHINA | 282,000,000 | 3.6500 | 1,029.30 |
| | 01259 | CH CHILD CARE | 231,687,000 | 0.1300 | 30.12 |
| | 00139 | CH SOFT POWER | 2,000,000,000 | 0.1000 | 200.00 |
| | 00370 | CHINA BEST | 1,452,000,000 | 0.0700 | 101.64 |
| | 01313 | CHINARES CEMENT | 450,000,000 | 9.3000 | 4,185.00 |
| | 00118 | COSMOS MACH | 145,000,000 | 0.5500 | 79.75 |
| | 06878 | DIFFER GROUP | 610,378,000 | 0.5300 | 323.50 |
| 00223 | ELIFE HLDGS | 76,500,000 | 0.1280 | 9.79 | |

MAIN BOARD EQUITIES

| Month | Code | Company | No. of new shares placed | Placing price (HK\$) | Funds raised (HK\$ mil.) |
|--------------|-------|-----------------|--------------------------|----------------------|--------------------------|
| | 01548 | GENSCRIPT BIO | 75,000,000 | 26.5000 | 1,987.50 |
| | 02327 | MEILLEUREHEALTH | 31,200,000 | 0.3500 | 10.92 |
| | 00360 | NEW FOCUS AUTO | 1,904,761,905 | 0.4200 | 800.00 |
| | 03301 | RONSHINECHINA | 103,500,000 | 10.6200 | 1,099.17 |
| | 01709 | SEASON PACIFIC | 123,800,000 | 0.4850 | 60.04 |
| | 00981 | SMIC | 61,526,473 | 10.6500 | 655.26 |
| | 00542 | TFG INTL GP | 164,712,000 | 0.2550 | 42.00 |
| | 00872 | TUS INTL | 295,000,000 | 0.5370 | 158.42 |
| | 01400 | WANG TAI HLDG | 310,000,000 | 0.1000 | 31.00 |
| | 00994 | WIN WIN WAY | 100,000,000 | 0.8400 | 84.00 |
| Total | | | | | 25,556.75 |

* Due to the reporting time-lag, placing figures for the quarter are provisional.

MAIN BOARD WARRANTS

Warrant Trading Statistics

| | | Equity wts (HK\$ mil.) | Derivative wts (HK\$ mil.) | Total (HK\$ mil.) |
|------|----|---------------------------|-------------------------------|----------------------|
| 2017 | Q2 | 77.29 | 534,338.11 | 534,415.40 |
| | Q3 | 18.64 | 795,264.76 | 795,283.40 |
| | Q4 | 19.27 | 952,919.10 | 952,938.38 |
| 2018 | Q1 | 14.79 | 1,420,399.00 | 1,420,413.79 |
| | Q2 | 0.26 | 798,436.24 | 798,436.50 |

Warrant Market Value

| | | Number | Equity wts (HK\$ mil.) | Number | Derivative wts (HK\$ mil.) | Total no. | Total (HK\$ mil.) |
|------|----|--------|---------------------------|--------|-------------------------------|-----------|----------------------|
| 2017 | Q2 | 8 | 507.43 | 4,360 | 96,450.95 | 4,368 | 96,958.38 |
| | Q3 | 7 | 389.34 | 5,373 | 136,754.02 | 5,380 | 137,143.36 |
| | Q4 | 7 | 236.46 | 6,094 | 165,919.23 | 6,101 | 166,155.69 |
| 2018 | Q1 | 4 | 61.57 | 6,963 | 118,398.35 | 6,967 | 118,459.92 |
| | Q2 | 1 | 48.51 | 7,189 | 70,085.05 | 7,190 | 70,133.56 |

New Listing Statistics – Warrants

| | | No. of newly listed equity wts | No. of newly listed derivative wts | Amount raised (HK\$ mil.) |
|------|----|-----------------------------------|---------------------------------------|------------------------------|
| 2017 | Q2 | 3 | 1,532 | 29,325.10 |
| | Q3 | 0 | 2,448 | 46,575.04 |
| | Q4 | 0 | 2,507 | 54,250.29 |
| 2018 | Q1 | 0 | 3,076 | 69,887.42 |
| | Q2 | 0 | 2,709 | 58,049.31 |

Newly Listed Equity Warrants

for 2nd quarter 2018

| Initial issued Code | Equity warrants | Amount raised amount (HK\$) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|------------------------|-----------------|--------------------------------|--------------|--------|------------------------------|
| Nil | | | | | |
| Total | | | | | - |

MAIN BOARD WARRANTS

Newly Listed Derivative Warrants

for 2nd quarter 2018

| Code | Derivative warrants | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|---------------------------------|
| 29226 | BOCI Asia Ltd. – China Life European Warrants Aug 2018 B | 100,000,000 | 2018/04/03 | 2018/08/29 | 15.00 |
| 29232 | BOCI Asia Ltd. – China Life European Warrants Sep 2018 C | 100,000,000 | 2018/04/03 | 2018/09/27 | 21.80 |
| 29237 | BOCI Asia Ltd. – China Mobile European Warrants Sep 2018 C | 100,000,000 | 2018/04/03 | 2018/09/20 | 44.60 |
| 29197 | BOCI Asia Ltd. – HSBC European Warrants Aug 2018 D | 200,000,000 | 2018/04/03 | 2018/08/29 | 70.40 |
| 29192 | BOCI Asia Ltd. – HSBC European Warrants Sep 2018 B | 200,000,000 | 2018/04/03 | 2018/09/24 | 55.20 |
| 29238 | BOCI Asia Ltd. – SUNAC European Warrants Aug 2018 A | 50,000,000 | 2018/04/03 | 2018/08/24 | 20.95 |
| 29189 | BOCI Asia Ltd. – Tencent European Wts Jul 2018 E | 200,000,000 | 2018/04/03 | 2018/07/26 | 73.20 |
| 29171 | BOCI Asia Ltd. – Tencent European Wts Sep 2018 C | 200,000,000 | 2018/04/03 | 2018/09/24 | 77.60 |
| 29191 | BOCI Asia Ltd. – Tencent European Wts Sep 2018 D | 200,000,000 | 2018/04/03 | 2018/09/17 | 106.60 |
| 29486 | Credit Suisse AG – Bank of China European Warrants Jul 2018 E | 50,000,000 | 2018/04/03 | 2018/07/19 | 10.00 |
| 29461 | Credit Suisse AG – HKEX European Warrants Jul 2018 I | 200,000,000 | 2018/04/03 | 2018/07/25 | 30.00 |
| 29423 | Credit Suisse AG – Ping An European Warrants Jul 2018 F | 150,000,000 | 2018/04/03 | 2018/07/09 | 42.00 |
| 29428 | Credit Suisse AG – Ping An European Warrants Jul 2018 G | 150,000,000 | 2018/04/03 | 2018/07/24 | 66.00 |
| 29484 | Credit Suisse AG – Sands China European Warrants Jul 2018 C | 50,000,000 | 2018/04/03 | 2018/07/27 | 10.00 |
| 29452 | Credit Suisse AG – Tencent European Wts Jul 2018 K | 250,000,000 | 2018/04/03 | 2018/07/03 | 37.50 |
| 29453 | Credit Suisse AG – Tencent European Wts Oct 2018 A | 250,000,000 | 2018/04/03 | 2018/10/02 | 75.00 |
| 29543 | Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2018 K | 150,000,000 | 2018/04/03 | 2018/09/20 | 22.50 |
| 29210 | Goldman Sachs SP (Asia) – NCI European Warrants Oct 2018 A | 40,000,000 | 2018/04/03 | 2018/10/03 | 10.48 |
| 29205 | Goldman Sachs SP (Asia) – Ping An European Wts Aug 2018 B | 100,000,000 | 2018/04/03 | 2018/08/13 | 30.60 |
| 29540 | Goldman Sachs SP (Asia) – Ping An European Wts Aug 2018 C | 100,000,000 | 2018/04/03 | 2018/08/24 | 34.70 |
| 29211 | Goldman Sachs SP (Asia) – Tencent European Wt Jul 2018 P | 150,000,000 | 2018/04/03 | 2018/07/26 | 31.50 |
| 29203 | Goldman Sachs SP (Asia) – Tencent European Wt Aug 2018 K | 150,000,000 | 2018/04/03 | 2018/08/21 | 52.50 |
| 29569 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 A | 150,000,000 | 2018/04/03 | 2018/09/24 | 38.85 |
| 29581 | Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Aug 2018 A | 70,000,000 | 2018/04/03 | 2018/08/24 | 10.50 |
| 29537 | Goldman Sachs SP (Asia) – ZA Online European Warrants Jun 19 A | 80,000,000 | 2018/04/03 | 2019/06/28 | 12.00 |
| 29167 | HK Bank – HSI European Warrants Sep 2018 E | 150,000,000 | 2018/04/03 | 2018/09/27 | 22.50 |
| 29414 | J P Morgan SP BV – CHALCO European Warrants Oct 2018 A | 30,000,000 | 2018/04/03 | 2018/10/02 | 13.32 |
| 29389 | J P Morgan SP BV – China Life European Put Wts Jun 2019 A | 100,000,000 | 2018/04/03 | 2019/06/03 | 25.10 |
| 29410 | J P Morgan SP BV – CNBM European Warrants Feb 2019 A | 100,000,000 | 2018/04/03 | 2019/02/28 | 15.10 |
| 29400 | J P Morgan SP BV – CSOP A50 ETF European Warrants Jul 2018 B | 100,000,000 | 2018/04/03 | 2018/07/31 | 51.80 |
| 29403 | J P Morgan SP BV – A50 China European Warrants Jul 2018 B | 100,000,000 | 2018/04/03 | 2018/07/31 | 43.00 |
| 29404 | J P Morgan SP BV – HSI European Warrants Oct 2018 E | 300,000,000 | 2018/04/03 | 2018/10/30 | 75.30 |
| 29405 | J P Morgan SP BV – Maanshan Iron European Warrants Oct 2018 A | 60,000,000 | 2018/04/03 | 2018/10/02 | 17.88 |
| 29387 | J P Morgan SP BV – Tencent European Put Warrants Oct 2018 A | 250,000,000 | 2018/04/03 | 2018/10/02 | 65.00 |
| 29331 | Macquarie Bank Ltd. – Greatwall Motor European Wts Dec 2018 B | 10,000,000 | 2018/04/03 | 2018/12/31 | 15.05 |
| 29239 | Macquarie Bank Ltd. – HKEX European Warrants Oct 2018 E | 8,000,000 | 2018/04/03 | 2018/10/03 | 13.92 |
| 29241 | Macquarie Bank Ltd. – HKEX European Warrants Nov 2018 B | 50,000,000 | 2018/04/03 | 2018/11/02 | 13.10 |
| 29333 | Macquarie Bank Ltd. – HKEX European Warrants Dec 2019 A | 60,000,000 | 2018/04/03 | 2019/12/31 | 19.14 |
| 29286 | Macquarie Bank Ltd. – HKEX European Put Warrants Nov 2018 C | 40,000,000 | 2018/04/03 | 2018/11/02 | 10.00 |
| 29490 | SG Issuer – China Coal European Warrants Oct 2018 A | 30,000,000 | 2018/04/03 | 2018/10/31 | 10.05 |
| 29498 | SG Issuer – CM Bank European Warrants Oct 2018 A | 40,000,000 | 2018/04/03 | 2018/10/31 | 10.00 |
| 29505 | SG Issuer – HSBC European Warrants Aug 2018 E | 80,000,000 | 2018/04/03 | 2018/08/31 | 22.40 |

MAIN BOARD WARRANTS

| Code | Derivative warrants | No. of issue | | | Amount raised (HK\$ mil.) |
|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 29508 | SG Issuer – Tencent European Warrants Jul 2018 G | 200,000,000 | 2018/04/03 | 2018/07/31 | 55.00 |
| 29513 | SG Issuer – Tencent European Put Warrants Sep 2018 D | 100,000,000 | 2018/04/03 | 2018/09/28 | 19.00 |
| 29516 | SG Issuer – ZA Online European Warrants Jul 2019 A | 100,000,000 | 2018/04/03 | 2019/07/02 | 15.00 |
| 29356 | UBS AG – AIA European Warrants Aug 2018 B | 100,000,000 | 2018/04/03 | 2018/08/28 | 32.70 |
| 29372 | UBS AG – China Coal European Warrants Oct 2018 A | 40,000,000 | 2018/04/03 | 2018/10/02 | 10.36 |
| 29377 | UBS AG – CGN Power European Warrants Oct 2018 A | 40,000,000 | 2018/04/03 | 2018/10/22 | 10.00 |
| 29373 | UBS AG – HSCEI European Warrants Aug 2018 A | 100,000,000 | 2018/04/03 | 2018/08/30 | 15.30 |
| 29110 | UBS AG – HSI European Warrants Sep 2018 C | 200,000,000 | 2018/04/03 | 2018/09/27 | 32.00 |
| 29139 | UBS AG – HSI European Warrants Oct 2018 A | 200,000,000 | 2018/04/03 | 2018/10/30 | 32.00 |
| 29368 | UBS AG – HSI European Put Warrants Aug 2018 G | 200,000,000 | 2018/04/03 | 2018/08/30 | 33.00 |
| 29366 | UBS AG – Sands China European Warrants Jul 2018 C | 50,000,000 | 2018/04/03 | 2018/07/27 | 10.35 |
| 29339 | UBS AG – Tencent European Warrants Jul 2018 E | 300,000,000 | 2018/04/03 | 2018/07/03 | 63.30 |
| 29345 | UBS AG – Tencent European Warrants Jul 2018 F | 300,000,000 | 2018/04/03 | 2018/07/03 | 78.00 |
| 29352 | UBS AG – Tencent European Warrants Jul 2018 G | 300,000,000 | 2018/04/03 | 2018/07/26 | 59.70 |
| 29354 | UBS AG – Tencent European Warrants Jul 2018 H | 300,000,000 | 2018/04/03 | 2018/07/03 | 94.20 |
| 29353 | UBS AG – Tencent European Put Warrants Aug 2018 A | 200,000,000 | 2018/04/03 | 2018/08/13 | 70.60 |
| 29376 | UBS AG – Tencent European Put Warrants Oct 2018 A | 200,000,000 | 2018/04/03 | 2018/10/03 | 51.00 |
| 29639 | BOCI Asia Ltd. – CHALCO European Warrants Oct 2018 A | 50,000,000 | 2018/04/04 | 2018/10/29 | 28.55 |
| 29651 | BOCI Asia Ltd. – Country Garden European Warrants Oct 2018 B | 70,000,000 | 2018/04/04 | 2018/10/29 | 10.78 |
| 29647 | BOCI Asia Ltd. – China Overseas European Warrants Sep 2018 A | 70,000,000 | 2018/04/04 | 2018/09/27 | 11.41 |
| 29623 | BOCI Asia Ltd. – China Shenhua European Warrants Dec 2018 A | 70,000,000 | 2018/04/04 | 2018/12/24 | 11.69 |
| 29657 | BOCI Asia Ltd. – Evergrande European Warrants Sep 2018 A | 50,000,000 | 2018/04/04 | 2018/09/24 | 26.40 |
| 29601 | BOCI Asia Ltd. – Jiangxi Copper European Warrants Jan 2019 A | 70,000,000 | 2018/04/04 | 2019/01/28 | 17.50 |
| 29644 | BOCI Asia Ltd. – Sands China European Warrants Oct 2018 A | 60,000,000 | 2018/04/04 | 2018/10/08 | 15.36 |
| 29655 | BOCI Asia Ltd. – China Vanke European Warrants Sep 2018 A | 50,000,000 | 2018/04/04 | 2018/09/24 | 15.65 |
| 29636 | BOCI Asia Ltd. – Yanzhou Coal European Warrants Dec 2018 B | 70,000,000 | 2018/04/04 | 2018/12/24 | 10.85 |
| 29801 | BNP Paribas Issuance B.V. – Ping An European Wt Aug 2018 D | 60,000,000 | 2018/04/04 | 2018/08/02 | 11.40 |
| 29798 | BNP Paribas Issuance B.V. – Tencent Euro Wts Dec 2018 C | 80,000,000 | 2018/04/04 | 2018/12/04 | 24.00 |
| 29768 | BNP Paribas Issuance B.V. – Tencent Euro Wts Mar 2019 A | 80,000,000 | 2018/04/04 | 2019/03/04 | 36.80 |
| 29848 | Credit Suisse AG – China Life European Warrants Aug 2018 A | 120,000,000 | 2018/04/04 | 2018/08/31 | 18.00 |
| 29859 | Credit Suisse AG – China Shenhua European Wts Jul 2018 A | 70,000,000 | 2018/04/04 | 2018/07/24 | 10.50 |
| 29864 | Credit Suisse AG – HTSC European Warrants Oct 2018 C | 80,000,000 | 2018/04/04 | 2018/10/03 | 20.00 |
| 29863 | Credit Suisse AG – Tencent European Wts Oct 2018 B | 250,000,000 | 2018/04/04 | 2018/10/03 | 80.00 |
| 29866 | Goldman Sachs SP (Asia) – CM Bank European Wts Jul 2018 D | 60,000,000 | 2018/04/04 | 2018/07/24 | 10.14 |
| 29914 | Goldman Sachs SP (Asia) – CM Bank European Wts Jul 2018 E | 60,000,000 | 2018/04/04 | 2018/07/26 | 10.32 |
| 29874 | Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2018 E | 80,000,000 | 2018/04/04 | 2018/08/31 | 20.16 |
| 29907 | Goldman Sachs SP (Asia) – Ping An European Wts Jul 2018 K | 100,000,000 | 2018/04/04 | 2018/07/04 | 45.50 |
| 29904 | Goldman Sachs SP (Asia) – Ping An European Wts Aug 2018 D | 100,000,000 | 2018/04/04 | 2018/08/24 | 54.90 |
| 29819 | Haitong Int'l Sec – CHALCO European Warrants Sep 2018 A | 30,000,000 | 2018/04/04 | 2018/09/18 | 15.90 |
| 29840 | Haitong Int'l Sec – CHALCO European Warrants Oct 2018 A | 30,000,000 | 2018/04/04 | 2018/10/04 | 14.10 |
| 29824 | Haitong Int'l Sec – CGN Power European Warrants Oct 2018 A | 40,000,000 | 2018/04/04 | 2018/10/19 | 10.00 |
| 29831 | Haitong Int'l Sec – CNBM European Warrants Sep 2018 A | 15,000,000 | 2018/04/04 | 2018/09/20 | 14.10 |
| 29830 | Haitong Int'l Sec – CNBM European Warrants Feb 2019 A | 100,000,000 | 2018/04/04 | 2019/02/21 | 16.70 |
| 29805 | J P Morgan SP BV – KECL European Warrants Oct 2018 A | 40,000,000 | 2018/04/04 | 2018/10/05 | 11.44 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 29593 | Macquarie Bank Ltd. – China Coal European Wts Jan 2019 A | 29,000,000 | 2018/04/04 | 2019/01/03 | 10.12 |
| 29594 | Macquarie Bank Ltd. – GAC European Wts Oct 2018 A | 40,000,000 | 2018/04/04 | 2018/10/04 | 10.76 |
| 29596 | Macquarie Bank Ltd. – GAC European Wts Dec 2018 A | 40,000,000 | 2018/04/04 | 2018/12/04 | 10.16 |
| 29707 | Macquarie Bank Ltd. – GAC European Put Warrants Dec 2018 B | 40,000,000 | 2018/04/04 | 2018/12/04 | 10.00 |
| 29592 | Macquarie Bank Ltd. – NCI European Warrants Nov 2018 B | 20,000,000 | 2018/04/04 | 2018/11/02 | 10.38 |
| 29813 | SG Issuer – Ping An European Warrants Dec 2019 B | 80,000,000 | 2018/04/04 | 2019/12/02 | 12.00 |
| 29815 | SG Issuer – Tencent European Warrants Sep 2018 E | 200,000,000 | 2018/04/04 | 2018/09/28 | 83.00 |
| 29711 | UBS AG – Ch LongYuan European Warrants Oct 2018 A | 13,000,000 | 2018/04/04 | 2018/10/29 | 11.05 |
| 29761 | UBS AG – GAC European Warrants Nov 2018 A | 70,000,000 | 2018/04/04 | 2018/11/26 | 17.64 |
| 29758 | UBS AG – Kingdee Intl European Warrants Oct 2018 A | 25,000,000 | 2018/04/04 | 2018/10/03 | 26.30 |
| 29760 | UBS AG – Samsonite European Warrants Oct 2018 A | 30,000,000 | 2018/04/04 | 2018/10/03 | 14.10 |
| 29672 | Bank Vontobel – CITIC Sec European Warrants Aug 2018 B | 70,000,000 | 2018/04/04 | 2018/08/09 | 11.20 |
| 29670 | Bank Vontobel – Sunny Optical European Warrants Aug 2018 A | 80,000,000 | 2018/04/04 | 2018/08/02 | 12.72 |
| 29704 | Bank Vontobel – Sunny Optical European Warrants Aug 2018 B | 80,000,000 | 2018/04/04 | 2018/08/28 | 22.40 |
| 29659 | Bank Vontobel – Yanzhou Coal European Warrants Aug 2018 A | 70,000,000 | 2018/04/04 | 2018/08/24 | 10.50 |
| 29658 | Bank Vontobel – Yanzhou Coal European Warrants Sep 2018 A | 70,000,000 | 2018/04/04 | 2018/09/10 | 10.57 |
| 29938 | BNP Paribas Issuance B.V. – Agricultural Bank Eu Wt Nov 2018 A | 40,000,000 | 2018/04/06 | 2018/11/02 | 10.40 |
| 11001 | BNP Paribas Issuance B.V. – Minsheng Bk Euro Wt Nov 2018 B | 40,000,000 | 2018/04/06 | 2018/11/02 | 12.40 |
| 29933 | BNP Paribas Issuance B.V. – CSOP A50 ETF Eu Wts Jan 2019 A | 40,000,000 | 2018/04/06 | 2019/01/03 | 24.40 |
| 29932 | BNP Paribas Issuance B.V. – HKEX European Wts Aug 2018 C | 80,000,000 | 2018/04/06 | 2018/08/02 | 12.00 |
| 29920 | BNP Paribas Issuance B.V. – PICC European Wts Nov 2018 A | 80,000,000 | 2018/04/06 | 2018/11/02 | 12.00 |
| 11066 | Credit Suisse AG – China Coal European Warrants Oct 2018 A | 40,000,000 | 2018/04/06 | 2018/10/05 | 10.80 |
| 11065 | Credit Suisse AG – Jiangxi Copper European Wts Dec 2018 A | 80,000,000 | 2018/04/06 | 2018/12/14 | 12.00 |
| 11069 | Credit Suisse AG – KB Laminates European Warrants Oct 2018 A | 40,000,000 | 2018/04/06 | 2018/10/05 | 10.00 |
| 11062 | Credit Suisse AG – Tencent European Wts Jul 2018 L | 250,000,000 | 2018/04/06 | 2018/07/05 | 37.50 |
| 11036 | HK Bank – Galaxy Ent European Put Warrants Aug 2018 A | 30,000,000 | 2018/04/06 | 2018/08/29 | 12.00 |
| 11034 | HK Bank – HSI European Warrants Sep 2018 F | 180,000,000 | 2018/04/06 | 2018/09/27 | 32.40 |
| 11035 | HK Bank – Kingboard Chem European Warrants Oct 2018 A | 50,000,000 | 2018/04/06 | 2018/10/31 | 12.50 |
| 11032 | Haitong Int'l Sec – AIA European Put Warrants Jul 2018 B | 100,000,000 | 2018/04/06 | 2018/07/16 | 31.30 |
| 11031 | Haitong Int'l Sec – AIA European Put Warrants Sep 2018 A | 100,000,000 | 2018/04/06 | 2018/09/20 | 25.00 |
| 11030 | Haitong Int'l Sec – BYD European Put Warrants Oct 2018 A | 20,000,000 | 2018/04/06 | 2018/10/08 | 10.12 |
| 11014 | Haitong Int'l Sec – CC Bank European Put Warrants Aug 2018 E | 100,000,000 | 2018/04/06 | 2018/08/24 | 46.00 |
| 11016 | Haitong Int'l Sec – CC Bank European Put Warrants Oct 2018 A | 100,000,000 | 2018/04/06 | 2018/10/08 | 35.00 |
| 11013 | Haitong Int'l Sec – China East Air European Wts Jun 2020 A | 100,000,000 | 2018/04/06 | 2020/06/30 | 25.00 |
| 11024 | Haitong Int'l Sec – CSPC Pharma European Warrants Oct 2018 A | 100,000,000 | 2018/04/06 | 2018/10/08 | 30.80 |
| 11033 | Haitong Int'l Sec – Galaxy Ent European Put Wts Jul 2018 B | 65,000,000 | 2018/04/06 | 2018/07/17 | 10.53 |
| 11010 | Haitong Int'l Sec – Galaxy Ent European Put Wts Sep 2018 B | 40,000,000 | 2018/04/06 | 2018/09/12 | 16.96 |
| 11011 | Haitong Int'l Sec – HKEX European Put Warrants Dec 2018 E | 100,000,000 | 2018/04/06 | 2018/12/31 | 25.00 |
| 11006 | Haitong Int'l Sec – HSBC European Put Warrants Sep 2018 B | 50,000,000 | 2018/04/06 | 2018/09/20 | 10.75 |
| 11009 | Haitong Int'l Sec – HSBC European Put Warrants Dec 2018 A | 50,000,000 | 2018/04/06 | 2018/12/31 | 31.80 |
| 11021 | Haitong Int'l Sec – SMIC European Warrants Dec 2018 B | 100,000,000 | 2018/04/06 | 2018/12/31 | 25.00 |
| 11023 | Haitong Int'l Sec – SMIC European Warrants Mar 2019 B | 100,000,000 | 2018/04/06 | 2019/03/29 | 25.00 |
| 11025 | Haitong Int'l Sec – Zoomlion European Warrants Oct 2018 A | 35,000,000 | 2018/04/06 | 2018/10/30 | 11.55 |
| 11052 | J P Morgan SP BV – Country Garden European Wts Nov 2018 C | 100,000,000 | 2018/04/06 | 2018/11/30 | 25.20 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 11055 | J P Morgan SP BV – CKH Holdings European Warrants Oct 2018 A | 100,000,000 | 2018/04/06 | 2018/10/31 | 38.00 |
| 11057 | J P Morgan SP BV – GAC European Warrants Sep 2018 A | 70,000,000 | 2018/04/06 | 2018/09/28 | 10.71 |
| 11060 | J P Morgan SP BV – Haitong Sec European Warrants Oct 2018 A | 40,000,000 | 2018/04/06 | 2018/10/08 | 27.48 |
| 11050 | J P Morgan SP BV – Ping An European Put Warrants Oct 2018 B | 100,000,000 | 2018/04/06 | 2018/10/08 | 37.80 |
| 11049 | J P Morgan SP BV – Tencent European Warrants Jul 2018 I | 250,000,000 | 2018/04/06 | 2018/07/06 | 37.75 |
| 11047 | Macquarie Bank Ltd. – Chinasoft Int'l European Wt Feb 2019 A | 8,000,000 | 2018/04/06 | 2019/02/15 | 10.69 |
| 11046 | Macquarie Bank Ltd. – GAC European Wts Jul 2019 A | 40,000,000 | 2018/04/06 | 2019/07/03 | 11.20 |
| 11038 | Macquarie Bank Ltd. – Greatwall Motor Euro Put Wt Apr 2019 A | 8,000,000 | 2018/04/06 | 2019/04/02 | 11.15 |
| 11037 | Macquarie Bank Ltd. – NCI European Put Warrants Apr 2019 A | 22,000,000 | 2018/04/06 | 2019/04/02 | 10.45 |
| 11043 | Macquarie Bank Ltd. – Sinopec Seg European Wts Jun 2019 A | 7,000,000 | 2018/04/06 | 2019/06/28 | 10.30 |
| 11072 | SG Issuer – HSI European Warrants Mar 2019 A | 150,000,000 | 2018/04/06 | 2019/03/28 | 27.75 |
| 11078 | SG Issuer – HSI European Put Warrants Aug 2018 E | 150,000,000 | 2018/04/06 | 2018/08/30 | 23.25 |
| 11084 | SG Issuer – HSI European Put Warrants Aug 2018 F | 150,000,000 | 2018/04/06 | 2018/08/30 | 27.75 |
| 11085 | SG Issuer – HSI European Put Warrants Sep 2018 C | 150,000,000 | 2018/04/06 | 2018/09/27 | 33.00 |
| 11074 | SG Issuer – Tencent European Warrants Sep 2018 F | 200,000,000 | 2018/04/06 | 2018/09/28 | 61.00 |
| 11121 | BOCI Asia Ltd. – HKEX European Put Warrants Nov 2018 B | 100,000,000 | 2018/04/09 | 2018/11/26 | 25.10 |
| 11113 | BOCI Asia Ltd. – HSBC European Put Warrants Sep 2018 C | 60,000,000 | 2018/04/09 | 2018/09/20 | 11.88 |
| 11117 | BOCI Asia Ltd. – Ping An European Warrants Aug 2018 C | 100,000,000 | 2018/04/09 | 2018/08/27 | 47.90 |
| 11118 | BOCI Asia Ltd. – Ping An European Warrants Oct 2018 A | 100,000,000 | 2018/04/09 | 2018/10/04 | 65.00 |
| 11110 | BOCI Asia Ltd. – Tencent European Put Wts Sep 2018 E | 100,000,000 | 2018/04/09 | 2018/09/24 | 18.10 |
| 11139 | Credit Suisse AG – BYD Company European Warrants Feb 2019 A | 100,000,000 | 2018/04/09 | 2019/02/11 | 16.00 |
| 11147 | Credit Suisse AG – HSBC European Wts Aug 2018 C | 100,000,000 | 2018/04/09 | 2018/08/24 | 29.00 |
| 11144 | Credit Suisse AG – PICC Group European Warrants Jul 2018 C | 50,000,000 | 2018/04/09 | 2018/07/24 | 10.00 |
| 11145 | Credit Suisse AG – Ping An European Warrants Jul 2018 H | 150,000,000 | 2018/04/09 | 2018/07/09 | 51.00 |
| 11158 | Goldman Sachs SP (Asia) – BOC HK European Wts Jul 2018 B | 60,000,000 | 2018/04/09 | 2018/07/26 | 10.08 |
| 11156 | Goldman Sachs SP (Asia) – BYD Company European Wts Feb 2019 A | 80,000,000 | 2018/04/09 | 2019/02/11 | 12.00 |
| 11103 | Goldman Sachs SP (Asia) – CKA European Warrants Sep 2018 A | 60,000,000 | 2018/04/09 | 2018/09/20 | 10.02 |
| 11153 | Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2018 C | 100,000,000 | 2018/04/09 | 2018/08/29 | 15.00 |
| 11152 | Goldman Sachs SP (Asia) – China Life Euro Wts Nov 2018 B | 100,000,000 | 2018/04/09 | 2018/11/27 | 15.00 |
| 11097 | Goldman Sachs SP (Asia) – CNBM European Warrants Jul 2018 B | 40,000,000 | 2018/04/09 | 2018/07/09 | 28.80 |
| 11107 | Goldman Sachs SP (Asia) – CNBM European Warrants Jul 2018 C | 40,000,000 | 2018/04/09 | 2018/07/09 | 41.88 |
| 11151 | Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Jul 2018 C | 60,000,000 | 2018/04/09 | 2018/07/09 | 13.50 |
| 11105 | Goldman Sachs SP (Asia) – Fosun Intl European Wts Jul 2018 B | 70,000,000 | 2018/04/09 | 2018/07/26 | 10.50 |
| 11106 | Goldman Sachs SP (Asia) – A50 China European Wts Jul 2018 C | 80,000,000 | 2018/04/09 | 2018/07/09 | 49.84 |
| 11148 | Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2018 Q | 100,000,000 | 2018/04/09 | 2018/07/09 | 15.00 |
| 11124 | UBS AG – AAC Tech European Warrants Sep 2018 A | 100,000,000 | 2018/04/09 | 2018/09/26 | 17.90 |
| 11127 | UBS AG – Brilliance Chi European Warrants Oct 2018 A | 70,000,000 | 2018/04/09 | 2018/10/29 | 17.92 |
| 11128 | UBS AG – BYD European Warrants Feb 2019 B | 100,000,000 | 2018/04/09 | 2019/02/21 | 17.00 |
| 11138 | UBS AG – BYD European Put Warrants Oct 2018 A | 30,000,000 | 2018/04/09 | 2018/10/22 | 18.69 |
| 11137 | UBS AG – BYD Electronic European Warrants Oct 2018 A | 40,000,000 | 2018/04/09 | 2018/10/08 | 12.88 |
| 11135 | UBS AG – CSPC Pharma European Warrants Oct 2018 C | 40,000,000 | 2018/04/09 | 2018/10/12 | 13.64 |
| 11133 | UBS AG – Kingsoft European Warrants Sep 2018 A | 100,000,000 | 2018/04/09 | 2018/09/20 | 28.10 |
| 11109 | Bank Vontobel – AAC Tech European Warrants Oct 2018 A | 60,000,000 | 2018/04/09 | 2018/10/25 | 10.14 |
| 11086 | Bank Vontobel – China Unicom European Warrants Oct 2018 C | 20,000,000 | 2018/04/09 | 2018/10/29 | 10.18 |

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|-------|---|--------------|--------------|------------|---------------------------|
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| 11093 | Bank Vontobel – Evergrande European Warrants Oct 2018 A | 40,000,000 | 2018/04/09 | 2018/10/25 | 17.88 |
| 11089 | Bank Vontobel – Tencent European Warrants Nov 2018 A | 100,000,000 | 2018/04/09 | 2018/11/02 | 43.20 |
| 11094 | Bank Vontobel – Tencent European Put Warrants Oct 2018 C | 100,000,000 | 2018/04/09 | 2018/10/08 | 30.10 |
| 11169 | BOCI Asia Ltd. – Ping An European Warrants Aug 2018 D | 100,000,000 | 2018/04/10 | 2018/08/31 | 74.20 |
| 11170 | BOCI Asia Ltd. – Ping An European Warrants Aug 2018 E | 100,000,000 | 2018/04/10 | 2018/08/24 | 71.90 |
| 11159 | BOCI Asia Ltd. – Tencent European Wts Jul 2018 F | 200,000,000 | 2018/04/10 | 2018/07/26 | 77.40 |
| 11166 | BOCI Asia Ltd. – Tencent European Wts Jul 2018 G | 200,000,000 | 2018/04/10 | 2018/07/30 | 98.80 |
| 11165 | BOCI Asia Ltd. – Tencent European Wts Oct 2018 A | 200,000,000 | 2018/04/10 | 2018/10/31 | 108.80 |
| 11224 | BNP Paribas Issuance B.V. – Tencent Eu Put Wt Nov 2018 D | 80,000,000 | 2018/04/10 | 2018/11/02 | 26.40 |
| 11240 | Credit Suisse AG – CGS European Warrants Sep 2018 A | 30,000,000 | 2018/04/10 | 2018/09/18 | 11.70 |
| 11239 | Credit Suisse AG – Ch LongYuan European Warrants Oct 2018 A | 20,000,000 | 2018/04/10 | 2018/10/09 | 16.00 |
| 11226 | Credit Suisse AG – China South Air European Wts Jul 2018 A | 20,000,000 | 2018/04/10 | 2018/07/24 | 11.60 |
| 11238 | Credit Suisse AG – A50 China European Warrants Jul 2018 B | 50,000,000 | 2018/04/10 | 2018/07/23 | 15.50 |
| 11231 | Credit Suisse AG – Greatwall Motor European Wts Oct 2018 C | 20,000,000 | 2018/04/10 | 2018/10/09 | 16.00 |
| 11237 | Credit Suisse AG – Haitong Sec European Warrants Oct 2018 A | 25,000,000 | 2018/04/10 | 2018/10/09 | 17.50 |
| 11233 | Credit Suisse AG – Nexteer European Warrants Nov 2018 A | 40,000,000 | 2018/04/10 | 2018/11/30 | 10.00 |
| 11243 | Credit Suisse AG – Tencent Euro Put Wts Sep 2018 A | 100,000,000 | 2018/04/10 | 2018/09/28 | 19.00 |
| 11250 | Goldman Sachs SP (Asia) – BYD Company European Wts Aug 2018 A | 40,000,000 | 2018/04/10 | 2018/08/01 | 20.68 |
| 11255 | Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Jul 2018 B | 40,000,000 | 2018/04/10 | 2018/07/26 | 10.00 |
| 11260 | Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2018 B | 80,000,000 | 2018/04/10 | 2018/10/26 | 12.00 |
| 11245 | Goldman Sachs SP (Asia) – Geely Auto European Wts Jul 2018 F | 80,000,000 | 2018/04/10 | 2018/07/24 | 12.00 |
| 11246 | Goldman Sachs SP (Asia) – Geely Auto European Wts Aug 2018 B | 80,000,000 | 2018/04/10 | 2018/08/28 | 12.00 |
| 11247 | Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2018 L | 150,000,000 | 2018/04/10 | 2018/09/20 | 22.50 |
| 11261 | Goldman Sachs SP (Asia) – Kingsoft European Wts Jul 2018 B | 60,000,000 | 2018/04/10 | 2018/07/23 | 10.02 |
| 11257 | Goldman Sachs SP (Asia) – Ping An European Wts Jul 2018 L | 100,000,000 | 2018/04/10 | 2018/07/26 | 32.50 |
| 11256 | Goldman Sachs SP (Asia) – SUNAC European Warrants Jul 2018 B | 40,000,000 | 2018/04/10 | 2018/07/24 | 10.16 |
| 11248 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 B | 150,000,000 | 2018/04/10 | 2018/09/17 | 53.70 |
| 11217 | HK Bank – BYD European Warrants Feb 2019 B | 100,000,000 | 2018/04/10 | 2019/02/21 | 15.00 |
| 11222 | HK Bank – China Life European Warrants Sep 2018 D | 100,000,000 | 2018/04/10 | 2018/09/28 | 16.00 |
| 11223 | HK Bank – HSI European Put Warrants Oct 2018 A | 180,000,000 | 2018/04/10 | 2018/10/30 | 27.00 |
| 11219 | HK Bank – Tencent European Warrants Jul 2018 I | 150,000,000 | 2018/04/10 | 2018/07/31 | 34.50 |
| 11221 | HK Bank – Tencent European Put Warrants Sep 2018 C | 100,000,000 | 2018/04/10 | 2018/09/24 | 18.00 |
| 11215 | Macquarie Bank Ltd. – BYD European Put Warrants Dec 2018 B | 10,000,000 | 2018/04/10 | 2018/12/04 | 10.02 |
| 11216 | Macquarie Bank Ltd. – Minsheng Bank Euro Put Wts Dec 2018 A | 18,000,000 | 2018/04/10 | 2018/12/04 | 10.04 |
| 11214 | Macquarie Bank Ltd. – FIH Mobile European Warrants Nov 2018 A | 40,000,000 | 2018/04/10 | 2018/11/02 | 10.00 |
| 11262 | SG Issuer – AIA European Warrants Aug 2018 A | 30,000,000 | 2018/04/10 | 2018/08/31 | 10.35 |
| 11264 | SG Issuer – China Life European Warrants Oct 2018 C | 70,000,000 | 2018/04/10 | 2018/10/31 | 10.50 |
| 11267 | SG Issuer – CM Bank European Warrants Nov 2018 A | 40,000,000 | 2018/04/10 | 2018/11/30 | 10.00 |
| 11272 | SG Issuer – CPIC Eur Wt Nov 2018 A | 35,000,000 | 2018/04/10 | 2018/11/30 | 10.15 |
| 11273 | SG Issuer – China Taiping European Warrants Nov 2018 A | 30,000,000 | 2018/04/10 | 2018/11/30 | 10.50 |
| 11277 | SG Issuer – A50 China European Warrants Oct 2018 B | 25,000,000 | 2018/04/10 | 2018/10/31 | 11.63 |
| 11274 | SG Issuer – HSBC European Warrants Nov 2018 A | 50,000,000 | 2018/04/10 | 2018/11/30 | 14.00 |
| 11276 | SG Issuer – HSCEI ETF European Warrants Oct 2018 B | 25,000,000 | 2018/04/10 | 2018/10/31 | 11.25 |
| 11275 | SG Issuer – PICC Group European Warrants Oct 2018 A | 30,000,000 | 2018/04/10 | 2018/10/31 | 11.70 |

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| | | (units) | Listing date | Expiry | |
| 11184 | UBS AG – BYD European Warrants Jan 2019 A | 100,000,000 | 2018/04/10 | 2019/01/08 | 15.00 |
| 11174 | UBS AG – China Life European Warrants Sep 2018 B | 200,000,000 | 2018/04/10 | 2018/09/27 | 34.80 |
| 11176 | UBS AG – GAC European Warrants Dec 2018 A | 70,000,000 | 2018/04/10 | 2018/12/03 | 13.30 |
| 11178 | UBS AG – Greatwall Motor European Warrants Jul 2018 A | 70,000,000 | 2018/04/10 | 2018/07/23 | 10.50 |
| 11177 | UBS AG – Greatwall Motor European Warrants Dec 2019 A | 70,000,000 | 2018/04/10 | 2019/12/20 | 10.57 |
| 11171 | UBS AG – HKEX European Warrants Jul 2018 J | 300,000,000 | 2018/04/10 | 2018/07/10 | 45.90 |
| 11172 | UBS AG – HKEX European Warrants Jul 2018 K | 300,000,000 | 2018/04/10 | 2018/07/13 | 46.50 |
| 11181 | UBS AG – HSBC European Warrants Jul 2018 D | 100,000,000 | 2018/04/10 | 2018/07/26 | 15.00 |
| 11183 | UBS AG – HSBC European Warrants Aug 2018 A | 100,000,000 | 2018/04/10 | 2018/08/28 | 27.40 |
| 11179 | UBS AG – IGG European Warrants Sep 2018 B | 60,000,000 | 2018/04/10 | 2018/09/21 | 11.64 |
| 11213 | UBS AG – China Mengniu European Warrants Sep 2018 A | 70,000,000 | 2018/04/10 | 2018/09/14 | 10.57 |
| 11180 | UBS AG – Nexteer European Warrants Oct 2018 B | 70,000,000 | 2018/04/10 | 2018/10/03 | 10.57 |
| 11205 | UBS AG – Ping An European Warrants Aug 2018 C | 100,000,000 | 2018/04/10 | 2018/08/24 | 36.40 |
| 11206 | UBS AG – Ping An European Warrants Aug 2018 D | 100,000,000 | 2018/04/10 | 2018/08/31 | 20.60 |
| 11209 | UBS AG – Ping An European Warrants Sep 2018 E | 100,000,000 | 2018/04/10 | 2018/09/18 | 45.20 |
| 11207 | UBS AG – Ping An European Warrants Feb 2020 A | 300,000,000 | 2018/04/10 | 2020/02/21 | 45.30 |
| 11208 | UBS AG – Ping An European Put Warrants Oct 2018 B | 100,000,000 | 2018/04/10 | 2018/10/10 | 36.50 |
| 11192 | UBS AG – Tencent European Warrants Jul 2018 I | 300,000,000 | 2018/04/10 | 2018/07/26 | 133.20 |
| 11203 | UBS AG – Tencent European Warrants Jul 2018 J | 300,000,000 | 2018/04/10 | 2018/07/31 | 148.80 |
| 11194 | UBS AG – Tencent European Warrants Aug 2018 B | 300,000,000 | 2018/04/10 | 2018/08/21 | 87.30 |
| 11200 | UBS AG – Tencent European Warrants Aug 2018 C | 300,000,000 | 2018/04/10 | 2018/08/21 | 45.00 |
| 11202 | UBS AG – Tencent European Warrants Sep 2018 B | 300,000,000 | 2018/04/10 | 2018/09/20 | 58.80 |
| 11189 | Bank Vontobel – BYD Company European Warrants Oct 2018 A | 30,000,000 | 2018/04/10 | 2018/10/09 | 24.33 |
| 11185 | Bank Vontobel – CNBM European Warrants Oct 2018 A | 15,000,000 | 2018/04/10 | 2018/10/10 | 19.08 |
| 11191 | Bank Vontobel – Greatwall Motor European Warrants Oct 2018 C | 15,000,000 | 2018/04/10 | 2018/10/10 | 13.53 |
| 11187 | Bank Vontobel – ICBC European Warrants Aug 2018 C | 40,000,000 | 2018/04/10 | 2018/08/08 | 12.40 |
| 11190 | Bank Vontobel – JIANC European Warrants Mar 2019 A | 60,000,000 | 2018/04/10 | 2019/03/04 | 15.06 |
| 11314 | BOCI Asia Ltd. – Bank of China European Warrants Sep 2018 A | 80,000,000 | 2018/04/11 | 2018/09/20 | 26.72 |
| 11316 | BOCI Asia Ltd. – BYD Company European Warrants Feb 2019 A | 100,000,000 | 2018/04/11 | 2019/02/11 | 15.00 |
| 11311 | BOCI Asia Ltd. – ICBC European Warrants Sep 2018 A | 80,000,000 | 2018/04/11 | 2018/09/24 | 43.36 |
| 11307 | BOCI Asia Ltd. – Tencent European Wts Sep 2018 F | 200,000,000 | 2018/04/11 | 2018/09/20 | 32.20 |
| 11301 | BOCI Asia Ltd. – Tencent European Wts Oct 2018 B | 200,000,000 | 2018/04/11 | 2018/10/29 | 126.20 |
| 11345 | Credit Suisse AG – CPIC European Warrants Oct 2018 A | 50,000,000 | 2018/04/11 | 2018/10/10 | 12.50 |
| 11344 | Credit Suisse AG – China Taiping European Warrants Oct 2018 A | 40,000,000 | 2018/04/11 | 2018/10/10 | 11.20 |
| 11338 | Credit Suisse AG – Ping An European Warrants Jul 2018 I | 150,000,000 | 2018/04/11 | 2018/07/26 | 57.00 |
| 11343 | Credit Suisse AG – Tencent European Wts Jul 2018 M | 250,000,000 | 2018/04/11 | 2018/07/26 | 87.50 |
| 11340 | Credit Suisse AG – Tencent European Wts Aug 2018 A | 250,000,000 | 2018/04/11 | 2018/08/29 | 67.50 |
| 11362 | Goldman Sachs SP (Asia) – BYD Company European Wts Feb 2019 B | 80,000,000 | 2018/04/11 | 2019/02/21 | 12.00 |
| 11359 | Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2018 G | 100,000,000 | 2018/04/11 | 2018/09/27 | 15.00 |
| 11367 | Goldman Sachs SP (Asia) – CPIC European Warrants Nov 2018 A | 50,000,000 | 2018/04/11 | 2018/11/27 | 10.00 |
| 11371 | Goldman Sachs SP (Asia) – Tencent European Wt Jul 2018 R | 150,000,000 | 2018/04/11 | 2018/07/27 | 54.60 |
| 11360 | Goldman Sachs SP (Asia) – Tencent European Put Wt Aug 2018 L | 100,000,000 | 2018/04/11 | 2018/08/13 | 25.80 |
| 11287 | HK Bank – CNOOC European Warrants Dec 2019 A | 200,000,000 | 2018/04/11 | 2019/12/31 | 50.00 |
| 11286 | Haitong Int'l Sec – China Mobile European Wts Sep 2018 B | 80,000,000 | 2018/04/11 | 2018/09/24 | 12.00 |

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| Code | Derivative warrants | No. of issue | | | Amount raised (HK\$ mil.) |
|---------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 11284 | Haitong Int'l Sec - HSBC European Warrants Jul 2018 D | 80,000,000 | 2018/04/11 | 2018/07/26 | 12.00 |
| 11285 | Haitong Int'l Sec - HSBC European Warrants Mar 2019 A | 80,000,000 | 2018/04/11 | 2019/03/29 | 28.00 |
| 11281 | Haitong Int'l Sec - Tencent European Warrants Aug 2018 H | 150,000,000 | 2018/04/11 | 2018/08/21 | 22.50 |
| 11278 | Haitong Int'l Sec - Tencent European Warrants Mar 2019 A | 150,000,000 | 2018/04/11 | 2019/03/01 | 42.00 |
| 11317 | Macquarie Bank Ltd. - AIA European Warrants Nov 2018 A | 4,500,000 | 2018/04/11 | 2018/11/02 | 10.14 |
| 11318 | Macquarie Bank Ltd. - CNOOC European Warrants Nov 2018 A | 19,000,000 | 2018/04/11 | 2018/11/02 | 10.53 |
| 11319 | Macquarie Bank Ltd. - Tencent European Warrants Nov 2018 A | 7,000,000 | 2018/04/11 | 2018/11/01 | 10.03 |
| 11351 | SG Issuer - CHALCO European Warrants Nov 2018 A | 15,000,000 | 2018/04/11 | 2018/11/30 | 10.95 |
| 11346 | SG Issuer - China Mobile European Warrants Nov 2018 A | 40,000,000 | 2018/04/11 | 2018/11/30 | 10.00 |
| 11355 | SG Issuer - CNOOC European Warrants Nov 2018 A | 25,000,000 | 2018/04/11 | 2018/11/30 | 10.38 |
| 11352 | SG Issuer - Sinopec Corp European Warrants Nov 2018 A | 40,000,000 | 2018/04/11 | 2018/11/30 | 10.80 |
| 11353 | SG Issuer - China Shenhua European Warrants Dec 2018 A | 50,000,000 | 2018/04/11 | 2018/12/31 | 10.00 |
| 11348 | SG Issuer - China Unicom European Warrants Nov 2018 A | 15,000,000 | 2018/04/11 | 2018/11/30 | 11.25 |
| 11357 | SG Issuer - PetroChina European Warrants Sep 2018 B | 50,000,000 | 2018/04/11 | 2018/09/28 | 11.00 |
| 11358 | SG Issuer - Zijin Mining European Warrants Nov 2018 A | 20,000,000 | 2018/04/11 | 2018/11/30 | 10.00 |
| 11295 | UBS AG - BYD Company European Warrants Aug 2018 A | 30,000,000 | 2018/04/11 | 2018/08/01 | 17.82 |
| 11296 | UBS AG - China Life European Warrants Sep 2018 C | 200,000,000 | 2018/04/11 | 2018/09/24 | 30.00 |
| 11327 | UBS AG - CPIC European Warrants Oct 2018 A | 40,000,000 | 2018/04/11 | 2018/10/11 | 12.40 |
| 11289 | UBS AG - China Taiping European Warrants Aug 2018 A | 50,000,000 | 2018/04/11 | 2018/08/24 | 10.55 |
| 11323 | UBS AG - Geely Auto European Warrants Aug 2018 A | 40,000,000 | 2018/04/11 | 2018/08/24 | 11.32 |
| 11321 | UBS AG - Greatwall Motor European Warrants Oct 2018 C | 12,000,000 | 2018/04/11 | 2018/10/11 | 10.24 |
| 11291 | UBS AG - HSI European Warrants Aug 2018 H | 200,000,000 | 2018/04/11 | 2018/08/30 | 35.80 |
| 11292 | UBS AG - HSI European Put Warrants Aug 2018 I | 200,000,000 | 2018/04/11 | 2018/08/30 | 35.40 |
| 11337 | UBS AG - Kingdee Intl European Warrants Jun 2019 A | 100,000,000 | 2018/04/11 | 2019/06/21 | 20.40 |
| 11300 | UBS AG - Kingsoft European Warrants Aug 2018 B | 60,000,000 | 2018/04/11 | 2018/08/16 | 18.30 |
| 11329 | UBS AG - NCI European Warrants Oct 2018 A | 30,000,000 | 2018/04/11 | 2018/10/15 | 10.89 |
| 11335 | UBS AG - ZTE European Warrants Jul 2018 A | 40,000,000 | 2018/04/11 | 2018/07/31 | 10.32 |
| 11334 | UBS AG - ZTE European Warrants Sep 2018 A | 40,000,000 | 2018/04/11 | 2018/09/20 | 11.16 |
| 23821 # | J P Morgan SP BV - HSI European Warrants Aug 2018 G | 200,000,000 | 2018/04/11 | 2018/08/30 | 12.20 |
| 11404 | BOCI Asia Ltd. - CC Bank European Warrants Jul 2018 G | 100,000,000 | 2018/04/12 | 2018/07/23 | 15.20 |
| 11416 | BOCI Asia Ltd. - CK Asset European Warrants Sep 2018 A | 60,000,000 | 2018/04/12 | 2018/09/26 | 13.92 |
| 11406 | BOCI Asia Ltd. - CNOOC European Warrants Sep 2018 A | 100,000,000 | 2018/04/12 | 2018/09/20 | 34.30 |
| 11412 | BOCI Asia Ltd. - China Tel European Warrants Sep 2018 A | 80,000,000 | 2018/04/12 | 2018/09/24 | 12.16 |
| 11411 | BOCI Asia Ltd. - Fosun Intl European Warrants Oct 2018 A | 80,000,000 | 2018/04/12 | 2018/10/24 | 18.72 |
| 11417 | BOCI Asia Ltd. - Geely Auto European Warrants Aug 2018 C | 100,000,000 | 2018/04/12 | 2018/08/27 | 27.40 |
| 11429 | BOCI Asia Ltd. - HKEX European Warrants Sep 2018 G | 200,000,000 | 2018/04/12 | 2018/09/26 | 48.00 |
| 11410 | BOCI Asia Ltd. - PetroChina European Warrants Oct 2018 A | 100,000,000 | 2018/04/12 | 2018/10/15 | 30.30 |
| 11436 | Credit Suisse AG - BJ Ent Water European Warrants Oct 2018 A | 20,000,000 | 2018/04/12 | 2018/10/11 | 10.40 |
| 11435 | Credit Suisse AG - China Life European Warrants Nov 2018 A | 120,000,000 | 2018/04/12 | 2018/11/30 | 18.00 |
| 11431 | Credit Suisse AG - China Mobile European Warrants Sep 2018 B | 70,000,000 | 2018/04/12 | 2018/09/28 | 12.60 |
| 11430 | Credit Suisse AG - HSBC European Wts Aug 2018 D | 100,000,000 | 2018/04/12 | 2018/08/06 | 18.50 |
| 11441 | Credit Suisse AG - Ping An European Warrants Jul 2018 J | 150,000,000 | 2018/04/12 | 2018/07/24 | 57.00 |
| 11445 | Credit Suisse AG - Ping An European Warrants Jul 2018 K | 150,000,000 | 2018/04/12 | 2018/07/31 | 82.50 |
| 11438 | Credit Suisse AG - Sinopharm European Warrants Oct 2018 A | 30,000,000 | 2018/04/12 | 2018/10/11 | 16.50 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 11452 | Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jul 2018 C | 40,000,000 | 2018/04/12 | 2018/07/12 | 10.00 |
| 11451 | Goldman Sachs SP (Asia) – CNOOC European Warrants Jul 2018 E | 80,000,000 | 2018/04/12 | 2018/07/24 | 12.00 |
| 11450 | Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Jul 2018 D | 60,000,000 | 2018/04/12 | 2018/07/23 | 10.08 |
| 11378 | Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jun 2019 A | 150,000,000 | 2018/04/12 | 2019/06/21 | 22.50 |
| 11458 | Goldman Sachs SP (Asia) – Ping An European Wts Jul 2018 M | 100,000,000 | 2018/04/12 | 2018/07/19 | 17.40 |
| 11449 | Goldman Sachs SP (Asia) – SHK Ppt European Wts Jul 2018 A | 40,000,000 | 2018/04/12 | 2018/07/24 | 10.00 |
| 11459 | Goldman Sachs SP (Asia) – Tencent European Wt Jul 2018 S | 150,000,000 | 2018/04/12 | 2018/07/26 | 36.30 |
| 11460 | Goldman Sachs SP (Asia) – Tencent European Wt Jul 2018 T | 150,000,000 | 2018/04/12 | 2018/07/26 | 49.65 |
| 11375 | Haitong Int'l Sec – Tencent European Warrants Dec 2018 C | 150,000,000 | 2018/04/12 | 2018/12/19 | 37.50 |
| 11374 | Haitong Int'l Sec – Tencent European Warrants Dec 2019 A | 150,000,000 | 2018/04/12 | 2019/12/23 | 31.50 |
| 11380 | SG Issuer – Bank of China European Warrants Oct 2018 B | 80,000,000 | 2018/04/12 | 2018/10/31 | 12.40 |
| 11381 | SG Issuer – Bank of China European Warrants Nov 2018 A | 80,000,000 | 2018/04/12 | 2018/11/30 | 26.40 |
| 11383 | SG Issuer – CC Bank European Warrants Oct 2018 A | 60,000,000 | 2018/04/12 | 2018/10/31 | 30.00 |
| 11384 | SG Issuer – CC Bank European Warrants Nov 2018 A | 60,000,000 | 2018/04/12 | 2018/11/30 | 24.60 |
| 11387 | SG Issuer – China Life European Warrants Sep 2018 C | 150,000,000 | 2018/04/12 | 2018/09/28 | 24.00 |
| 11388 | SG Issuer – Geely Auto European Warrants Nov 2018 A | 40,000,000 | 2018/04/12 | 2018/11/30 | 12.00 |
| 11418 | SG Issuer – HKEX European Put Warrants Jul 2019 A | 100,000,000 | 2018/04/12 | 2019/07/31 | 25.00 |
| 11389 | SG Issuer – HSBC European Warrants Sep 2018 A | 80,000,000 | 2018/04/12 | 2018/09/28 | 16.40 |
| 11419 | SG Issuer – Tencent European Put Warrants Sep 2018 G | 150,000,000 | 2018/04/12 | 2018/09/28 | 45.00 |
| 11424 | SG Issuer – Tencent European Put Warrants Jan 2019 B | 150,000,000 | 2018/04/12 | 2019/01/31 | 37.50 |
| 11398 | UBS AG – China Life European Warrants Sep 2018 D | 200,000,000 | 2018/04/12 | 2018/09/07 | 30.00 |
| 11397 | UBS AG – China South Air European Warrants Oct 2018 B | 11,000,000 | 2018/04/12 | 2018/10/15 | 12.91 |
| 11372 | UBS AG – HSI European Warrants Jul 2018 G | 200,000,000 | 2018/04/12 | 2018/07/30 | 30.00 |
| 11390 | UBS AG – Lenovo European Warrants Oct 2018 A | 34,000,000 | 2018/04/12 | 2018/10/26 | 11.05 |
| 11395 | UBS AG – Luye Pharma European Warrants Dec 2018 A | 40,000,000 | 2018/04/12 | 2018/12/17 | 10.04 |
| 11399 | UBS AG – Sino Biopharm European Warrants Oct 2018 A | 40,000,000 | 2018/04/12 | 2018/10/15 | 10.44 |
| 11393 | UBS AG – Sinopharm European Warrants Oct 2018 A | 20,000,000 | 2018/04/12 | 2018/10/15 | 14.12 |
| 11506 | Credit Suisse AG – China Life European Warrants Sep 2018 F | 120,000,000 | 2018/04/13 | 2018/09/28 | 20.40 |
| 11507 | Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2019 A | 150,000,000 | 2018/04/13 | 2019/06/21 | 22.50 |
| 11504 | Credit Suisse AG – Luye Pharma European Warrants Dec 2018 A | 60,000,000 | 2018/04/13 | 2018/12/28 | 15.00 |
| 11515 | Goldman Sachs SP (Asia) – Geely Auto European Wts Aug 2018 C | 80,000,000 | 2018/04/13 | 2018/08/24 | 15.52 |
| 11512 | Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2018 B | 80,000,000 | 2018/04/13 | 2018/09/24 | 12.00 |
| 11533 | Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2018 H | 150,000,000 | 2018/04/13 | 2018/08/30 | 24.15 |
| 11534 | Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2018 I | 150,000,000 | 2018/04/13 | 2018/08/30 | 22.50 |
| 11513 | Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2018 C | 100,000,000 | 2018/04/13 | 2018/09/24 | 15.00 |
| 11477 | HK Bank – CITIC Bank European Warrants Oct 2018 A | 40,000,000 | 2018/04/13 | 2018/10/31 | 12.00 |
| 11476 | HK Bank – Minsheng Bank European Warrants Oct 2018 A | 25,000,000 | 2018/04/13 | 2018/10/31 | 11.00 |
| 11463 | HK Bank – China Mobile European Warrants Oct 2018 A | 40,000,000 | 2018/04/13 | 2018/10/31 | 14.00 |
| 11474 | HK Bank – Sinopec Corp European Warrants Oct 2018 A | 40,000,000 | 2018/04/13 | 2018/10/31 | 10.00 |
| 11468 | HK Bank – CPIC European Warrants Oct 2018 A | 40,000,000 | 2018/04/13 | 2018/10/31 | 10.00 |
| 11471 | HK Bank – HSI European Warrants Oct 2018 B | 180,000,000 | 2018/04/13 | 2018/10/30 | 27.00 |
| 11469 | HK Bank – NCI European Warrants Oct 2018 A | 40,000,000 | 2018/04/13 | 2018/10/31 | 10.00 |
| 11555 | J P Morgan SP BV – 3SBIO European Warrants Oct 2018 A | 25,000,000 | 2018/04/13 | 2018/10/31 | 10.08 |
| 11546 | J P Morgan SP BV – China Everbright Ltd European Wts Dec 18 A | 60,000,000 | 2018/04/13 | 2018/12/31 | 15.00 |

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| | | (units) | Listing date | Expiry | |
| 11547 | J P Morgan SP BV – China Gas Hold European Wts Dec 2018 A | 60,000,000 | 2018/04/13 | 2018/12/31 | 22.68 |
| 11543 | J P Morgan SP BV – China Life European Warrants Dec 2018 A | 100,000,000 | 2018/04/13 | 2018/12/05 | 15.00 |
| 11550 | J P Morgan SP BV – China Mobile European Put Wts Nov 2018 A | 100,000,000 | 2018/04/13 | 2018/11/02 | 25.00 |
| 11549 | J P Morgan SP BV – HSBC European Put Warrants Nov 2018 A | 100,000,000 | 2018/04/13 | 2018/11/02 | 25.90 |
| 11553 | J P Morgan SP BV – Jiangxi Copper European Warrants Dec 18 A | 80,000,000 | 2018/04/13 | 2018/12/17 | 12.00 |
| 11540 | J P Morgan SP BV – Luye Pharma European Warrants Dec 2018 A | 40,000,000 | 2018/04/13 | 2018/12/31 | 10.32 |
| 11535 | J P Morgan SP BV – Sino Biopharm European Warrants Oct 2018 A | 40,000,000 | 2018/04/13 | 2018/10/31 | 10.16 |
| 11551 | J P Morgan SP BV – Sunny Optical European Warrants Nov 2018 B | 100,000,000 | 2018/04/13 | 2018/11/02 | 25.00 |
| 11538 | J P Morgan SP BV – WuXi Bio European Warrants Oct 2018 A | 40,000,000 | 2018/04/13 | 2018/10/31 | 10.12 |
| 11486 | Macquarie Bank Ltd. – CSOP A50 ETF European Wts Nov 2018 A | 11,000,000 | 2018/04/13 | 2018/11/02 | 10.21 |
| 11487 | Macquarie Bank Ltd. – A50 China European Warrants Nov 2018 A | 16,000,000 | 2018/04/13 | 2018/11/02 | 10.42 |
| 11489 | Macquarie Bank Ltd. – Tencent European Warrants Oct 2018 I | 40,000,000 | 2018/04/13 | 2018/10/26 | 10.08 |
| 11488 | Macquarie Bank Ltd. – Tencent European Warrants Nov 2018 B | 8,000,000 | 2018/04/13 | 2018/11/01 | 16.91 |
| 11516 | SG Issuer – CKH Holdings European Warrants Sep 2018 A | 50,000,000 | 2018/04/13 | 2018/09/28 | 10.00 |
| 11526 | SG Issuer – Henderson Land European Warrants Dec 2018 A | 30,000,000 | 2018/04/13 | 2018/12/31 | 10.50 |
| 11519 | SG Issuer – HSCEI European Warrants Jan 2019 A | 50,000,000 | 2018/04/13 | 2019/01/30 | 12.50 |
| 11518 | SG Issuer – HSCEI European Put Warrants Dec 2018 C | 50,000,000 | 2018/04/13 | 2018/12/28 | 11.00 |
| 11520 | SG Issuer – HSI European Warrants Sep 2018 D | 100,000,000 | 2018/04/13 | 2018/09/27 | 15.50 |
| 11525 | SG Issuer – HSI European Warrants Nov 2018 C | 100,000,000 | 2018/04/13 | 2018/11/29 | 15.00 |
| 11521 | SG Issuer – HSI European Put Warrants Sep 2018 E | 100,000,000 | 2018/04/13 | 2018/09/27 | 20.00 |
| 11530 | SG Issuer – New World Dev Eu Wt Dec 2018 A | 13,000,000 | 2018/04/13 | 2018/12/31 | 10.14 |
| 11500 | UBS AG – China Mobile European Warrants Sep 2018 B | 100,000,000 | 2018/04/13 | 2018/09/20 | 15.70 |
| 11502 | UBS AG – China Mobile European Put Warrants Oct 2018 A | 70,000,000 | 2018/04/13 | 2018/10/12 | 30.24 |
| 11498 | UBS AG – CRRC European Warrants Oct 2018 A | 11,000,000 | 2018/04/13 | 2018/10/29 | 10.45 |
| 11493 | UBS AG – Guotai Junan I European Warrants Nov 2018 A | 30,000,000 | 2018/04/13 | 2018/11/26 | 10.98 |
| 11491 | UBS AG – Minth Group European Warrants Nov 2018 A | 30,000,000 | 2018/04/13 | 2018/11/26 | 14.43 |
| 11496 | UBS AG – Nexteer European Warrants Nov 2018 A | 45,000,000 | 2018/04/13 | 2018/11/12 | 11.25 |
| 11490 | UBS AG – SHK Ppt European Warrants Oct 2018 A | 30,000,000 | 2018/04/13 | 2018/10/12 | 17.91 |
| 11494 | UBS AG – Techtronic Ind European Warrants Oct 2018 A | 22,000,000 | 2018/04/13 | 2018/10/12 | 11.59 |
| 11482 | Bank Vontobel – CNOOC European Warrants Oct 2018 B | 20,000,000 | 2018/04/13 | 2018/10/31 | 12.00 |
| 11483 | Bank Vontobel – Tencent European Warrants Jul 2018 D | 100,000,000 | 2018/04/13 | 2018/07/19 | 20.40 |
| 11484 | Bank Vontobel – Tencent European Warrants Jul 2018 E | 100,000,000 | 2018/04/13 | 2018/07/24 | 31.60 |
| 11610 | BNP Paribas Issuance B.V. – BOCL European Wts Apr 2019 B | 50,000,000 | 2018/04/16 | 2019/04/02 | 15.50 |
| 11608 | BNP Paribas Issuance B.V. – China Mobile Euro Wts Nov 2018 A | 40,000,000 | 2018/04/16 | 2018/11/02 | 12.00 |
| 11611 | BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Mar 2019 A | 40,000,000 | 2018/04/16 | 2019/03/04 | 24.00 |
| 11607 | BNP Paribas Issuance B.V. – Ping An European Wt Jan 2019 C | 60,000,000 | 2018/04/16 | 2019/01/03 | 36.00 |
| 11605 | BNP Paribas Issuance B.V. – Tencent Euro Wts Dec 2018 D | 80,000,000 | 2018/04/16 | 2018/12/04 | 36.00 |
| 11633 | Credit Suisse AG – Car Inc European Warrants Oct 2018 A | 15,000,000 | 2018/04/16 | 2018/10/15 | 11.55 |
| 11629 | Credit Suisse AG – China Mobile European Warrants Sep 2018 C | 70,000,000 | 2018/04/16 | 2018/09/28 | 10.50 |
| 11630 | Credit Suisse AG – Sh Pharma European Warrants Oct 2018 A | 40,000,000 | 2018/04/16 | 2018/10/16 | 10.00 |
| 11648 | Goldman Sachs SP (Asia) – CKH Holdings European Wts Sep 18 A | 60,000,000 | 2018/04/16 | 2018/09/20 | 10.14 |
| 11652 | Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2018 A | 80,000,000 | 2018/04/16 | 2018/10/16 | 31.36 |
| 11649 | Goldman Sachs SP (Asia) – China Overseas European Wts Sep 18 A | 80,000,000 | 2018/04/16 | 2018/09/28 | 12.00 |
| 11653 | Goldman Sachs SP (Asia) – Ping An Euro Put Wts Jul 2018 N | 60,000,000 | 2018/04/16 | 2018/07/26 | 21.00 |

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|---------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 11650 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 D | 150,000,000 | 2018/04/16 | 2018/09/20 | 22.50 |
| 11636 | Haitong Int'l Sec – CC Bank European Warrants Oct 2018 B | 150,000,000 | 2018/04/16 | 2018/10/31 | 67.50 |
| 11638 | Haitong Int'l Sec – CC Bank European Warrants Nov 2018 A | 150,000,000 | 2018/04/16 | 2018/11/30 | 67.50 |
| 11639 | Haitong Int'l Sec – CC Bank European Warrants Nov 2018 B | 150,000,000 | 2018/04/16 | 2018/11/30 | 57.00 |
| 11640 | Haitong Int'l Sec – CC Bank European Warrants Dec 2018 B | 150,000,000 | 2018/04/16 | 2018/12/31 | 55.50 |
| 11644 | Haitong Int'l Sec – China Mobile European Wts Dec 2018 A | 60,000,000 | 2018/04/16 | 2018/12/31 | 21.84 |
| 11645 | Haitong Int'l Sec – China Mobile European Wts Dec 2018 B | 60,000,000 | 2018/04/16 | 2018/12/31 | 16.44 |
| 11647 | Haitong Int'l Sec – China Mobile European Wts Jan 2019 A | 60,000,000 | 2018/04/16 | 2019/01/28 | 15.00 |
| 11588 | Haitong Int'l Sec – Ch Overseas European Warrants Oct 2018 A | 60,000,000 | 2018/04/16 | 2018/10/24 | 11.16 |
| 11589 | Haitong Int'l Sec – Ch Overseas European Warrants Feb 2019 A | 40,000,000 | 2018/04/16 | 2019/02/08 | 10.00 |
| 11600 | Haitong Int'l Sec – Geely Auto European Warrants Nov 2018 A | 50,000,000 | 2018/04/16 | 2018/11/20 | 18.25 |
| 11601 | Haitong Int'l Sec – Geely Auto European Warrants Nov 2018 B | 50,000,000 | 2018/04/16 | 2018/11/20 | 15.35 |
| 11602 | Haitong Int'l Sec – Geely Auto European Warrants Dec 2018 A | 50,000,000 | 2018/04/16 | 2018/12/31 | 14.50 |
| 11580 | Haitong Int'l Sec – HKEX European Warrants Nov 2018 A | 150,000,000 | 2018/04/16 | 2018/11/01 | 37.50 |
| 11583 | Haitong Int'l Sec – HKEX European Warrants Nov 2018 B | 150,000,000 | 2018/04/16 | 2018/11/30 | 24.00 |
| 11582 | Haitong Int'l Sec – HKEX European Warrants Dec 2018 F | 150,000,000 | 2018/04/16 | 2018/12/31 | 37.50 |
| 11587 | Haitong Int'l Sec – HKEX European Warrants Dec 2018 G | 150,000,000 | 2018/04/16 | 2018/12/24 | 22.50 |
| 11597 | Haitong Int'l Sec – HSBC European Warrants Oct 2018 A | 60,000,000 | 2018/04/16 | 2018/10/31 | 20.70 |
| 11599 | Haitong Int'l Sec – HSBC European Warrants Nov 2018 B | 60,000,000 | 2018/04/16 | 2018/11/20 | 15.72 |
| 11592 | Haitong Int'l Sec – Tencent European Warrants Oct 2018 B | 150,000,000 | 2018/04/16 | 2018/10/18 | 40.80 |
| 11591 | Haitong Int'l Sec – Tencent European Warrants Nov 2018 A | 150,000,000 | 2018/04/16 | 2018/11/16 | 53.55 |
| 11593 | Haitong Int'l Sec – Tencent European Warrants Nov 2018 B | 150,000,000 | 2018/04/16 | 2018/11/02 | 38.10 |
| 11594 | Haitong Int'l Sec – Tencent European Warrants Dec 2018 D | 150,000,000 | 2018/04/16 | 2018/12/04 | 37.50 |
| 11622 | J P Morgan SP BV – Anhui Conch European Warrants Nov 2018 A | 50,000,000 | 2018/04/16 | 2018/11/01 | 12.65 |
| 11628 | J P Morgan SP BV – Car Inc European Warrants Feb 2020 A | 50,000,000 | 2018/04/16 | 2020/02/03 | 12.50 |
| 11625 | J P Morgan SP BV – CPIC European Warrants Nov 2018 A | 50,000,000 | 2018/04/16 | 2018/11/02 | 13.85 |
| 11623 | J P Morgan SP BV – HSBC European Warrants Nov 2018 B | 50,000,000 | 2018/04/16 | 2018/11/02 | 13.90 |
| 11613 | J P Morgan SP BV – KB Laminates European Warrants Feb 2019 A | 50,000,000 | 2018/04/16 | 2019/02/01 | 12.50 |
| 11621 | J P Morgan SP BV – Kingdee Intl European Warrants May 2019 A | 50,000,000 | 2018/04/16 | 2019/05/02 | 13.05 |
| 11619 | J P Morgan SP BV – Sinopharm European Warrants Nov 2018 A | 40,000,000 | 2018/04/16 | 2018/11/01 | 17.84 |
| 11556 | Macquarie Bank Ltd. – CNBM European Warrants Nov 2018 A | 7,700,000 | 2018/04/16 | 2018/11/02 | 10.11 |
| 11558 | Macquarie Bank Ltd. – CNBM European Put Warrants Jan 2019 A | 5,000,000 | 2018/04/16 | 2019/01/03 | 10.27 |
| 11562 | Macquarie Bank Ltd. – Geely Auto European Wts Nov 2018 A | 4,000,000 | 2018/04/16 | 2018/11/02 | 12.18 |
| 11561 | Macquarie Bank Ltd. – Kingdee Int'l European Wts Dec 2018 B | 7,000,000 | 2018/04/16 | 2018/12/18 | 10.05 |
| 11567 | Macquarie Bank Ltd. – Ping An European Warrants Nov 2018 A | 17,000,000 | 2018/04/16 | 2018/11/02 | 10.05 |
| 11579 | UBS AG – GF Sec European Warrants Oct 2018 B | 70,000,000 | 2018/04/16 | 2018/10/11 | 10.50 |
| 11573 | UBS AG – HSI European Warrants Oct 2018 C | 200,000,000 | 2018/04/16 | 2018/10/30 | 37.60 |
| 11577 | UBS AG – HSI European Warrants Nov 2018 B | 200,000,000 | 2018/04/16 | 2018/11/29 | 34.60 |
| 11576 | UBS AG – HSI European Warrants Dec 2018 A | 200,000,000 | 2018/04/16 | 2018/12/28 | 33.60 |
| 11570 | UBS AG – HSI European Put Warrants Oct 2018 B | 200,000,000 | 2018/04/16 | 2018/10/30 | 30.80 |
| 11568 | UBS AG – HSI European Put Warrants Nov 2018 A | 200,000,000 | 2018/04/16 | 2018/11/29 | 38.20 |
| 11578 | UBS AG – WH Group European Warrants Jul 2019 A | 50,000,000 | 2018/04/16 | 2019/07/24 | 10.40 |
| 19255 # | Goldman Sachs SP (Asia) – Ping An European Wts May 2018 K | 40,000,000 | 2018/04/16 | 2018/05/28 | 23.20 |
| 11709 | BOCI Asia Ltd. – CNBM European Warrants Oct 2018 A | 20,000,000 | 2018/04/17 | 2018/10/22 | 18.88 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 11700 | BOCI Asia Ltd. – CSPC Pharma European Warrants Sep 2018 A | 50,000,000 | 2018/04/17 | 2018/09/24 | 13.30 |
| 11711 | BOCI Asia Ltd. – Kingdee Int'l European Warrants Sep 2018 A | 25,000,000 | 2018/04/17 | 2018/09/13 | 33.78 |
| 11712 | BOCI Asia Ltd. – Kingdee Int'l European Warrants Sep 2018 B | 25,000,000 | 2018/04/17 | 2018/09/24 | 32.00 |
| 11707 | BOCI Asia Ltd. – Sino Biopharm European Warrants Oct 2018 A | 50,000,000 | 2018/04/17 | 2018/10/22 | 13.80 |
| 11702 | BOCI Asia Ltd. – Sinopharm European Warrants Oct 2018 A | 50,000,000 | 2018/04/17 | 2018/10/22 | 28.05 |
| 11723 | BNP Paribas Issuance B.V. – Agricultural Bank Eu Wt Jan 19 A | 40,000,000 | 2018/04/17 | 2019/01/03 | 14.00 |
| 11726 | BNP Paribas Issuance B.V. – Minsheng Bk Euro Wt Jan 2019 A | 40,000,000 | 2018/04/17 | 2019/01/03 | 25.20 |
| 11725 | BNP Paribas Issuance B.V. – Chinasoft Int'l Euro Wts Nov18 A | 10,000,000 | 2018/04/17 | 2018/11/02 | 10.00 |
| 11724 | BNP Paribas Issuance B.V. – China Unicom Euro Wt Nov 2018 A | 40,000,000 | 2018/04/17 | 2018/11/02 | 28.40 |
| 11757 | Credit Suisse AG – Conch Cement European Warrants Oct 2018 A | 50,000,000 | 2018/04/17 | 2018/10/16 | 12.50 |
| 11752 | Credit Suisse AG – BYD Electronic European Wts Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/16 | 10.00 |
| 11755 | Credit Suisse AG – CC Bank European Warrants Aug 2018 F | 50,000,000 | 2018/04/17 | 2018/08/31 | 11.10 |
| 11756 | Credit Suisse AG – CNBM European Warrants Jul 2018 B | 20,000,000 | 2018/04/17 | 2018/07/31 | 10.80 |
| 11753 | Credit Suisse AG – Dongfeng Motor European Warrants Oct 18 A | 15,000,000 | 2018/04/17 | 2018/10/16 | 12.00 |
| 11750 | Credit Suisse AG – Geely Auto European Warrants Aug 2018 C | 80,000,000 | 2018/04/17 | 2018/08/31 | 14.56 |
| 11754 | Credit Suisse AG – Baiyunshan Ph European Warrants Oct 2018 A | 35,000,000 | 2018/04/17 | 2018/10/16 | 10.33 |
| 11748 | Credit Suisse AG – HTSC European Warrants Sep 2018 A | 70,000,000 | 2018/04/17 | 2018/09/28 | 10.50 |
| 11743 | Credit Suisse AG – Kingdee Int'l European Warrants Jan 2019 A | 70,000,000 | 2018/04/17 | 2019/01/17 | 17.50 |
| 11745 | Credit Suisse AG – Tencent European Wts Jul 2018 N | 250,000,000 | 2018/04/17 | 2018/07/23 | 37.50 |
| 11746 | Credit Suisse AG – Tencent European Wts Sep 2018 B | 250,000,000 | 2018/04/17 | 2018/09/20 | 45.00 |
| 11744 | Credit Suisse AG – WH Group European Warrants Oct 2018 A | 15,000,000 | 2018/04/17 | 2018/10/16 | 11.25 |
| 11740 | Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Sep 2018 C | 80,000,000 | 2018/04/17 | 2018/09/11 | 43.76 |
| 11735 | Goldman Sachs SP (Asia) – China Unicom Euro Wts Aug 2018 A | 50,000,000 | 2018/04/17 | 2018/08/30 | 12.45 |
| 11734 | Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2018 F | 80,000,000 | 2018/04/17 | 2018/08/31 | 21.92 |
| 11741 | Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/02 | 24.04 |
| 11738 | Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2018 A | 80,000,000 | 2018/04/17 | 2018/09/26 | 12.00 |
| 11698 | HK Bank – BYD Electronic EEuropean Warrants Oct 2018 A | 50,000,000 | 2018/04/17 | 2018/10/31 | 12.50 |
| 11697 | HK Bank – GAC Group European Warrants Nov 2018 A | 80,000,000 | 2018/04/17 | 2018/11/19 | 12.00 |
| 11695 | HK Bank – Geely Auto European Warrants Oct 2018 A | 80,000,000 | 2018/04/17 | 2018/10/31 | 24.00 |
| 11699 | HK Bank – HSI European Put Warrants Nov 2018 A | 180,000,000 | 2018/04/17 | 2018/11/29 | 28.80 |
| 11664 | Haitong Int'l Sec – 3SBIO European Warrants Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/30 | 13.60 |
| 11659 | Haitong Int'l Sec – Conch Cement European Warrants Nov 2018 A | 40,000,000 | 2018/04/17 | 2018/11/30 | 15.60 |
| 11665 | Haitong Int'l Sec – BBMG European Warrants Oct 2018 A | 28,000,000 | 2018/04/17 | 2018/10/18 | 12.04 |
| 11655 | Haitong Int'l Sec – China EB Ltd European Warrants Dec 2018 A | 40,000,000 | 2018/04/17 | 2018/12/24 | 10.00 |
| 11658 | Haitong Int'l Sec – CMS European Warrants Dec 2018 A | 40,000,000 | 2018/04/17 | 2018/12/18 | 16.40 |
| 11680 | Haitong Int'l Sec – CNBM European Warrants Sep 2019 A | 80,000,000 | 2018/04/17 | 2019/09/30 | 20.80 |
| 11657 | Haitong Int'l Sec – China Res Beer European Warrants Oct 18 A | 50,000,000 | 2018/04/17 | 2018/10/30 | 30.50 |
| 11660 | Haitong Int'l Sec – CSPC Pharma European Warrants Nov 2018 A | 40,000,000 | 2018/04/17 | 2018/11/30 | 17.60 |
| 11661 | Haitong Int'l Sec – CSPC Pharma European Warrants Nov 2018 B | 40,000,000 | 2018/04/17 | 2018/11/16 | 12.00 |
| 11654 | Haitong Int'l Sec – Galaxy Ent European Warrants Nov 2018 A | 40,000,000 | 2018/04/17 | 2018/11/30 | 16.40 |
| 11656 | Haitong Int'l Sec – Kingdee Int'l European Wts Mar 2019 A | 80,000,000 | 2018/04/17 | 2019/03/29 | 20.00 |
| 11667 | Haitong Int'l Sec – Luye Pharma European Warrants Nov 2018 A | 68,000,000 | 2018/04/17 | 2018/11/05 | 17.00 |
| 11668 | Haitong Int'l Sec – Luye Pharma European Warrants Dec 2018 A | 68,000,000 | 2018/04/17 | 2018/12/28 | 17.00 |
| 11662 | Haitong Int'l Sec – Sino Biopharm European Wts Nov 2018 A | 40,000,000 | 2018/04/17 | 2018/11/16 | 10.40 |

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| 11671 | Haitong Int'l Sec - WuXi Bio European Warrants Oct 2018 A | 68,000,000 | 2018/04/17 | 2018/10/30 | 17.00 |
| 11732 | J P Morgan SP BV - BYD Company European Warrants Aug 2018 A | 60,000,000 | 2018/04/17 | 2018/08/01 | 34.80 |
| 11733 | J P Morgan SP BV - CMS European Warrants Nov 2018 A | 60,000,000 | 2018/04/17 | 2018/11/30 | 15.24 |
| 11729 | J P Morgan SP BV - Chinares Cement Euro Warrants Nov 2018 A | 30,000,000 | 2018/04/17 | 2018/11/02 | 15.48 |
| 11727 | J P Morgan SP BV - SUNAC European Warrants Aug 2018 B | 60,000,000 | 2018/04/17 | 2018/08/31 | 23.94 |
| 11715 | Macquarie Bank Ltd. - HSBC European Warrants Apr 2019 A | 22,000,000 | 2018/04/17 | 2019/04/02 | 10.32 |
| 11716 | Macquarie Bank Ltd. - HSBC European Put Warrants Jan 2019 C | 14,000,000 | 2018/04/17 | 2019/01/03 | 10.09 |
| 11717 | Macquarie Bank Ltd. - Tencent European Warrants Oct 2018 J | 40,000,000 | 2018/04/17 | 2018/10/26 | 24.12 |
| 11719 | Macquarie Bank Ltd. - Tencent European Warrants Nov 2018 C | 8,000,000 | 2018/04/17 | 2018/11/01 | 26.94 |
| 11720 | Macquarie Bank Ltd. - Tencent European Warrants Nov 2018 D | 8,000,000 | 2018/04/17 | 2018/11/01 | 39.33 |
| 11689 | Macquarie Bank Ltd. - YOFC European Warrants Jul 2019 A | 7,000,000 | 2018/04/17 | 2019/07/19 | 10.86 |
| 11682 | Bank Vontobel - HSI European Warrants Dec 2018 B | 100,000,000 | 2018/04/17 | 2018/12/28 | 16.10 |
| 11684 | Bank Vontobel - HSI European Put Warrants Aug 2018 G | 100,000,000 | 2018/04/17 | 2018/08/30 | 15.10 |
| 11688 | Bank Vontobel - HSI European Put Warrants Sep 2018 E | 100,000,000 | 2018/04/17 | 2018/09/27 | 18.80 |
| 18614 # | J P Morgan SP BV - Ping An European Warrants Aug 2018 A | 100,000,000 | 2018/04/17 | 2018/08/31 | 130.00 |
| 11758 | BOCI Asia Ltd. - AIA European Warrants Sep 2018 B | 100,000,000 | 2018/04/18 | 2018/09/26 | 23.60 |
| 11772 | BOCI Asia Ltd. - CHALCO European Warrants Aug 2018 A | 50,000,000 | 2018/04/18 | 2018/08/20 | 19.20 |
| 11769 | BOCI Asia Ltd. - CC Bank European Warrants Sep 2018 A | 80,000,000 | 2018/04/18 | 2018/09/20 | 20.08 |
| 11770 | BOCI Asia Ltd. - CC Bank European Warrants Sep 2018 B | 80,000,000 | 2018/04/18 | 2018/09/27 | 15.92 |
| 11764 | BOCI Asia Ltd. - Sinopec Corp European Warrants Sep 2018 A | 50,000,000 | 2018/04/18 | 2018/09/26 | 11.75 |
| 11774 | BOCI Asia Ltd. - CPIC European Warrants Nov 2018 A | 50,000,000 | 2018/04/18 | 2018/11/26 | 15.45 |
| 11768 | BOCI Asia Ltd. - Geely Auto European Warrants Sep 2018 A | 100,000,000 | 2018/04/18 | 2018/09/04 | 20.40 |
| 11767 | BOCI Asia Ltd. - Geely Auto European Warrants Oct 2018 A | 100,000,000 | 2018/04/18 | 2018/10/29 | 29.40 |
| 11762 | BOCI Asia Ltd. - Galaxy Ent European Warrants Oct 2018 A | 60,000,000 | 2018/04/18 | 2018/10/29 | 24.24 |
| 11773 | BOCI Asia Ltd. - PICC Group European Warrants Aug 2018 A | 50,000,000 | 2018/04/18 | 2018/08/28 | 10.35 |
| 11843 | BNP Paribas Issuance B.V. - AIA European Wts Jan 2019 B | 40,000,000 | 2018/04/18 | 2019/01/03 | 21.60 |
| 11836 | BNP Paribas Issuance B.V. - China Life Euro Wts Dec 2018 B | 70,000,000 | 2018/04/18 | 2018/12/04 | 11.20 |
| 11837 | BNP Paribas Issuance B.V. - CPIC European Wts Nov 2018 A | 40,000,000 | 2018/04/18 | 2018/11/02 | 13.60 |
| 11838 | BNP Paribas Issuance B.V. - NCI European Wts Nov 2018 A | 40,000,000 | 2018/04/18 | 2018/11/02 | 18.80 |
| 11839 | BNP Paribas Issuance B.V. - PICC Group Euro Wts Nov 2018 A | 40,000,000 | 2018/04/18 | 2018/11/02 | 16.40 |
| 11840 | BNP Paribas Issuance B.V. - Ping An European Wt Sep 2018 A | 40,000,000 | 2018/04/18 | 2018/09/04 | 23.20 |
| 11866 | Credit Suisse AG - BAIC Motor European Warrants Oct 2018 A | 15,000,000 | 2018/04/18 | 2018/10/18 | 13.95 |
| 11872 | Credit Suisse AG - China Cinda European Warrants Aug 2018 A | 60,000,000 | 2018/04/18 | 2018/08/24 | 11.40 |
| 11862 | Credit Suisse AG - Minsheng Bank European Wts Jul 2018 B | 50,000,000 | 2018/04/18 | 2018/07/31 | 11.05 |
| 11868 | Credit Suisse AG - China Res Beer European Warrants Oct 18 A | 20,000,000 | 2018/04/18 | 2018/10/18 | 10.40 |
| 11869 | Credit Suisse AG - Chinares Cement European Warrants Oct 18 A | 20,000,000 | 2018/04/18 | 2018/10/18 | 10.40 |
| 11861 | Credit Suisse AG - CSOP A50 ETF European Warrants Aug 2018 A | 50,000,000 | 2018/04/18 | 2018/08/24 | 18.75 |
| 11871 | Credit Suisse AG - China Unicom European Warrants Oct 2018 A | 30,000,000 | 2018/04/18 | 2018/10/18 | 15.60 |
| 11867 | Credit Suisse AG - PICC Group European Warrants Oct 2018 A | 50,000,000 | 2018/04/18 | 2018/10/18 | 17.50 |
| 11858 | Credit Suisse AG - Ping An European Warrants Aug 2018 D | 150,000,000 | 2018/04/18 | 2018/08/31 | 192.00 |
| 11859 | Credit Suisse AG - Ping An European Warrants Aug 2018 E | 150,000,000 | 2018/04/18 | 2018/08/31 | 145.50 |
| 11860 | Credit Suisse AG - Ping An European Warrants Sep 2018 F | 150,000,000 | 2018/04/18 | 2018/09/28 | 72.00 |
| 11881 | Goldman Sachs SP (Asia) - AAC Tech Euro Wts Sep 2018 C | 60,000,000 | 2018/04/18 | 2018/09/26 | 10.08 |
| 11891 | Goldman Sachs SP (Asia) - AIA European Warrants Sep 2018 A | 80,000,000 | 2018/04/18 | 2018/09/20 | 29.44 |

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| 11873 | Goldman Sachs SP (Asia) – CRCC European Warrants Aug 2018 C | 40,000,000 | 2018/04/18 | 2018/08/27 | 15.88 |
| 11882 | Goldman Sachs SP (Asia) – Ping An European Wts Aug 2018 E | 100,000,000 | 2018/04/18 | 2018/08/24 | 77.60 |
| 11884 | Goldman Sachs SP (Asia) – Ping An European Wts Oct 2018 A | 100,000,000 | 2018/04/18 | 2018/10/02 | 65.00 |
| 11887 | Goldman Sachs SP (Asia) – Ping An European Wts Dec 2018 D | 60,000,000 | 2018/04/18 | 2018/12/28 | 73.98 |
| 11844 | Haitong Int'l Sec – CNOOC European Warrants Oct 2018 A | 30,000,000 | 2018/04/18 | 2018/10/18 | 19.50 |
| 11849 | Haitong Int'l Sec – PetroChina European Warrants Oct 2018 A | 60,000,000 | 2018/04/18 | 2018/10/19 | 19.80 |
| 11845 | Haitong Int'l Sec – Ping An European Warrants Aug 2018 G | 80,000,000 | 2018/04/18 | 2018/08/24 | 104.00 |
| 11850 | Haitong Int'l Sec – Ping An European Warrants Oct 2018 A | 80,000,000 | 2018/04/18 | 2018/10/24 | 96.00 |
| 11853 | J P Morgan SP BV – AIA European Warrants Nov 2018 A | 100,000,000 | 2018/04/18 | 2018/11/02 | 25.90 |
| 11855 | J P Morgan SP BV – AIA European Put Warrants Oct 2018 B | 100,000,000 | 2018/04/18 | 2018/10/31 | 33.40 |
| 11857 | J P Morgan SP BV – Anta Sports European Warrants Nov 2018 A | 40,000,000 | 2018/04/18 | 2018/11/02 | 20.60 |
| 11791 | Macquarie Bank Ltd. – China Mobile European Wts Nov 2018 C | 10,500,000 | 2018/04/18 | 2018/11/01 | 10.12 |
| 11777 | Macquarie Bank Ltd. – Sinopec Corp European Wts Nov 2018 A | 27,000,000 | 2018/04/18 | 2018/11/02 | 10.10 |
| 11781 | Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2018 A | 31,000,000 | 2018/04/18 | 2018/12/04 | 10.20 |
| 11789 | Macquarie Bank Ltd. – China Tel European Warrants Nov 2018 A | 36,000,000 | 2018/04/18 | 2018/11/02 | 10.12 |
| 11793 | Macquarie Bank Ltd. – Jiangxi Copper European Wts Mar 2019 A | 6,500,000 | 2018/04/18 | 2019/03/04 | 10.19 |
| 11792 | Macquarie Bank Ltd. – Stanchart European Warrants Oct 2018 B | 12,000,000 | 2018/04/18 | 2018/10/31 | 10.01 |
| 11784 | Macquarie Bank Ltd. – Tencent European Put Wts Oct 2018 K | 22,000,000 | 2018/04/18 | 2018/10/26 | 10.03 |
| 11790 | Macquarie Bank Ltd. – Tencent European Put Wts Nov 2018 E | 7,000,000 | 2018/04/18 | 2018/11/01 | 18.47 |
| 11903 | SG Issuer – AIA European Warrants Sep 2018 B | 60,000,000 | 2018/04/18 | 2018/09/28 | 17.10 |
| 11905 | SG Issuer – AIA European Warrants Oct 2018 A | 60,000,000 | 2018/04/18 | 2018/10/31 | 15.00 |
| 11906 | SG Issuer – AIA European Put Warrants Oct 2018 B | 60,000,000 | 2018/04/18 | 2018/10/31 | 15.00 |
| 11892 | SG Issuer – China Oilfield European Warrants Dec 2018 A | 10,000,000 | 2018/04/18 | 2018/12/31 | 10.50 |
| 11893 | SG Issuer – PICC Group European Warrants Nov 2018 A | 30,000,000 | 2018/04/18 | 2018/11/30 | 11.70 |
| 11894 | SG Issuer – Ping An European Warrants Sep 2018 B | 50,000,000 | 2018/04/18 | 2018/09/28 | 27.00 |
| 11895 | SG Issuer – Ping An European Warrants Sep 2018 C | 50,000,000 | 2018/04/18 | 2018/09/28 | 14.75 |
| 11896 | SG Issuer – PSBC European Warrants Dec 2018 A | 30,000,000 | 2018/04/18 | 2018/12/31 | 11.70 |
| 11897 | SG Issuer – Stanchart European Warrants Nov 2018 A | 25,000,000 | 2018/04/18 | 2018/11/30 | 10.00 |
| 11899 | SG Issuer – Wynn Macau European Warrants Nov 2018 A | 25,000,000 | 2018/04/18 | 2018/11/30 | 11.50 |
| 11828 | UBS AG – AIA European Warrants Sep 2018 A | 100,000,000 | 2018/04/18 | 2018/09/03 | 29.20 |
| 11823 | UBS AG – CNOOC European Warrants Aug 2018 A | 40,000,000 | 2018/04/18 | 2018/08/20 | 12.60 |
| 11824 | UBS AG – CNOOC European Put Warrants Oct 2018 A | 40,000,000 | 2018/04/18 | 2018/10/26 | 32.48 |
| 11816 | UBS AG – HKEX European Warrants Sep 2018 D | 300,000,000 | 2018/04/18 | 2018/09/20 | 60.60 |
| 11813 | UBS AG – HKEX European Warrants Oct 2018 B | 50,000,000 | 2018/04/18 | 2018/10/18 | 49.35 |
| 11814 | UBS AG – HKEX European Warrants Oct 2018 C | 300,000,000 | 2018/04/18 | 2018/10/10 | 46.50 |
| 11817 | UBS AG – HKEX European Put Warrants Oct 2018 D | 300,000,000 | 2018/04/18 | 2018/10/26 | 45.60 |
| 11810 | UBS AG – PetroChina European Warrants Sep 2018 A | 70,000,000 | 2018/04/18 | 2018/09/28 | 11.48 |
| 11812 | UBS AG – PetroChina European Put Warrants Oct 2018 A | 40,000,000 | 2018/04/18 | 2018/10/18 | 13.88 |
| 11797 | UBS AG – Ping An European Warrants Jul 2018 E | 100,000,000 | 2018/04/18 | 2018/07/23 | 76.90 |
| 11798 | UBS AG – Ping An European Warrants Jul 2018 F | 100,000,000 | 2018/04/18 | 2018/07/27 | 63.00 |
| 11800 | UBS AG – Ping An European Warrants Sep 2018 F | 100,000,000 | 2018/04/18 | 2018/09/20 | 48.80 |
| 11804 | UBS AG – Ping An European Warrants Sep 2018 G | 100,000,000 | 2018/04/18 | 2018/09/27 | 23.60 |
| 11801 | UBS AG – Ping An European Warrants Sep 2019 A | 300,000,000 | 2018/04/18 | 2019/09/23 | 52.50 |
| 11851 | Bank Vontobel – HSI European Warrants Aug 2018 H | 100,000,000 | 2018/04/18 | 2018/08/30 | 15.20 |

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| 11832 | Bank Vontobel – HSI European Warrants Sep 2018 F | 100,000,000 | 2018/04/18 | 2018/09/27 | 19.50 |
| 11831 | Bank Vontobel – HSI European Put Warrants Nov 2018 A | 100,000,000 | 2018/04/18 | 2018/11/29 | 17.00 |
| 11829 | Bank Vontobel – Yanzhou Coal European Warrants Dec 2018 A | 70,000,000 | 2018/04/18 | 2018/12/12 | 10.85 |
| 11969 | BOCI Asia Ltd. – BOC HK European Warrants Oct 2018 A | 70,000,000 | 2018/04/19 | 2018/10/24 | 10.50 |
| 11972 | BOCI Asia Ltd. – Ch Comm Cons European Warrants Sep 2018 A | 50,000,000 | 2018/04/19 | 2018/09/24 | 15.35 |
| 11968 | BOCI Asia Ltd. – CITIC Bank European Warrants Oct 2018 A | 50,000,000 | 2018/04/19 | 2018/10/29 | 12.75 |
| 11967 | BOCI Asia Ltd. – Minsheng Bank European Warrants Oct 2018 A | 50,000,000 | 2018/04/19 | 2018/10/29 | 12.80 |
| 11970 | BOCI Asia Ltd. – ICBC European Warrants Sep 2018 B | 80,000,000 | 2018/04/19 | 2018/09/28 | 14.72 |
| 11971 | BOCI Asia Ltd. – ICBC European Warrants Sep 2018 C | 80,000,000 | 2018/04/19 | 2018/09/20 | 23.44 |
| 11973 | BOCI Asia Ltd. – Ping An European Warrants Sep 2018 D | 100,000,000 | 2018/04/19 | 2018/09/24 | 26.50 |
| 11976 | BOCI Asia Ltd. – Ping An European Warrants Sep 2018 E | 100,000,000 | 2018/04/19 | 2018/09/20 | 44.30 |
| 11944 | BNP Paribas Issuance B.V. – Ping An European Wt Feb 2019 A | 60,000,000 | 2018/04/19 | 2019/02/04 | 39.60 |
| 11939 | BNP Paribas Issuance B.V. – Tencent Euro Wts Nov 2018 E | 80,000,000 | 2018/04/19 | 2018/11/02 | 25.60 |
| 11940 | BNP Paribas Issuance B.V. – Tencent Euro Wts Feb 2019 B | 80,000,000 | 2018/04/19 | 2019/02/04 | 40.00 |
| 11977 | Credit Suisse AG – AIA European Warrants Sep 2018 B | 70,000,000 | 2018/04/19 | 2018/09/28 | 19.95 |
| 11978 | Credit Suisse AG – AIA European Warrants Oct 2018 A | 70,000,000 | 2018/04/19 | 2018/10/31 | 17.50 |
| 11983 | Credit Suisse AG – BOC HK European Warrants Oct 2018 B | 70,000,000 | 2018/04/19 | 2018/10/24 | 10.50 |
| 12108 | Credit Suisse AG – Brilliance Chi European Wts Oct 2018 A | 55,000,000 | 2018/04/19 | 2018/10/22 | 10.73 |
| 11999 | Credit Suisse AG – CICC European Warrants Oct 2018 A | 50,000,000 | 2018/04/19 | 2018/10/18 | 12.50 |
| 11997 | Credit Suisse AG – CSDC European Warrants Oct 2018 A | 40,000,000 | 2018/04/19 | 2018/10/18 | 10.00 |
| 11996 | Credit Suisse AG – COSCO Sh Hold European Warrants Oct 2018 A | 20,000,000 | 2018/04/19 | 2018/10/18 | 10.00 |
| 11998 | Credit Suisse AG – Evergrande European Warrants Oct 2018 A | 40,000,000 | 2018/04/19 | 2018/10/18 | 17.20 |
| 12107 | Credit Suisse AG – HKEX European Warrants Oct 2018 C | 250,000,000 | 2018/04/19 | 2018/10/11 | 37.50 |
| 12111 | Credit Suisse AG – HKEX European Warrants Oct 2018 D | 250,000,000 | 2018/04/19 | 2018/10/10 | 37.50 |
| 11986 | Credit Suisse AG – HSCEI ETF European Warrants Jul 2018 B | 60,000,000 | 2018/04/19 | 2018/07/31 | 10.20 |
| 11984 | Credit Suisse AG – PICC European Warrants Mar 2019 A | 100,000,000 | 2018/04/19 | 2019/03/26 | 15.00 |
| 12106 | Credit Suisse AG – Ping An European Warrants Sep 2018 G | 150,000,000 | 2018/04/19 | 2018/09/27 | 42.75 |
| 11995 | Credit Suisse AG – ZA Online European Warrants Jun 2019 B | 100,000,000 | 2018/04/19 | 2019/06/28 | 15.00 |
| 12202 | Goldman Sachs SP (Asia) – CRRC European Warrants Oct 2018 A | 40,000,000 | 2018/04/19 | 2018/10/19 | 10.16 |
| 12175 | Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2018 C | 150,000,000 | 2018/04/19 | 2018/10/26 | 22.50 |
| 12188 | Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2018 D | 150,000,000 | 2018/04/19 | 2018/10/10 | 22.50 |
| 12211 | Goldman Sachs SP (Asia) – NCI European Warrants Oct 2018 B | 40,000,000 | 2018/04/19 | 2018/10/08 | 13.04 |
| 12197 | Goldman Sachs SP (Asia) – Ping An European Wts Aug 2018 F | 80,000,000 | 2018/04/19 | 2018/08/22 | 25.04 |
| 12191 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 E | 150,000,000 | 2018/04/19 | 2018/09/20 | 26.10 |
| 12196 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 F | 150,000,000 | 2018/04/19 | 2018/09/24 | 22.50 |
| 11919 | Haitong Int'l Sec – AIA European Warrants Aug 2018 A | 100,000,000 | 2018/04/19 | 2018/08/29 | 33.00 |
| 11921 | Haitong Int'l Sec – AIA European Warrants Oct 2018 B | 100,000,000 | 2018/04/19 | 2018/10/31 | 26.00 |
| 11920 | Haitong Int'l Sec – AIA European Warrants Nov 2018 A | 100,000,000 | 2018/04/19 | 2018/11/30 | 41.00 |
| 11915 | Haitong Int'l Sec – AIA European Put Warrants Oct 2018 A | 100,000,000 | 2018/04/19 | 2018/10/31 | 33.00 |
| 11927 | Haitong Int'l Sec – Anta Sports European Warrants Nov 2018 A | 30,000,000 | 2018/04/19 | 2018/11/02 | 15.00 |
| 11924 | Haitong Int'l Sec – PICC Group European Warrants Jul 2018 A | 50,000,000 | 2018/04/19 | 2018/07/24 | 10.50 |
| 11925 | Haitong Int'l Sec – PICC Group European Warrants Nov 2018 A | 35,000,000 | 2018/04/19 | 2018/11/28 | 11.90 |
| 11928 | Haitong Int'l Sec – Ping An European Warrants Jul 2018 C | 100,000,000 | 2018/04/19 | 2018/07/30 | 102.00 |
| 11932 | Haitong Int'l Sec – Ping An European Warrants Sep 2018 I | 100,000,000 | 2018/04/19 | 2018/09/26 | 41.00 |

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| | | (units) | Listing date | Expiry | |
| 11929 | Haitong Int'l Sec - Ping An European Warrants Nov 2018 A | 100,000,000 | 2018/04/19 | 2018/11/30 | 72.00 |
| 11933 | Haitong Int'l Sec - Ping An European Warrants Nov 2018 B | 100,000,000 | 2018/04/19 | 2018/11/30 | 56.00 |
| 11935 | Haitong Int'l Sec - Ping An European Warrants Nov 2018 C | 100,000,000 | 2018/04/19 | 2018/11/30 | 41.00 |
| 11936 | Haitong Int'l Sec - Ping An European Warrants Nov 2018 D | 100,000,000 | 2018/04/19 | 2018/11/30 | 30.00 |
| 12151 | J P Morgan SP BV - BYD Electronic European Wts Nov 2018 A | 60,000,000 | 2018/04/19 | 2018/11/01 | 15.42 |
| 12164 | J P Morgan SP BV - CNOOC European Warrants Nov 2018 B | 100,000,000 | 2018/04/19 | 2018/11/01 | 41.90 |
| 12174 | J P Morgan SP BV - Sinopec Corp European Warrants Oct 2018 A | 100,000,000 | 2018/04/19 | 2018/10/30 | 25.20 |
| 12152 | J P Morgan SP BV - CR Beer European Warrants Nov 2018 A | 30,000,000 | 2018/04/19 | 2018/11/01 | 13.98 |
| 12169 | J P Morgan SP BV - Li Ning European Warrants Mar 2019 A | 60,000,000 | 2018/04/19 | 2019/03/04 | 15.12 |
| 12167 | J P Morgan SP BV - MGM China European Warrants Dec 2018 A | 80,000,000 | 2018/04/19 | 2018/12/03 | 20.08 |
| 12155 | J P Morgan SP BV - PetroChina European Warrants Oct 2018 A | 100,000,000 | 2018/04/19 | 2018/10/02 | 23.10 |
| 12168 | J P Morgan SP BV - Ping An European Warrants Aug 2018 E | 200,000,000 | 2018/04/19 | 2018/08/01 | 64.80 |
| 11965 | Macquarie Bank Ltd. - BYD European Warrants Nov 2018 B | 11,000,000 | 2018/04/19 | 2018/11/02 | 10.01 |
| 11966 | Macquarie Bank Ltd. - Tencent European Warrants Nov 2018 F | 9,000,000 | 2018/04/19 | 2018/11/01 | 64.85 |
| 12133 | SG Issuer - CGS European Warrants Apr 2019 A | 15,000,000 | 2018/04/19 | 2019/04/30 | 13.35 |
| 12145 | SG Issuer - GAC European Warrants Nov 2018 A | 50,000,000 | 2018/04/19 | 2018/11/30 | 10.00 |
| 12116 | SG Issuer - Galaxy Ent European Warrants Oct 2018 A | 40,000,000 | 2018/04/19 | 2018/10/31 | 30.40 |
| 12118 | SG Issuer - Galaxy Ent European Warrants Oct 2018 B | 40,000,000 | 2018/04/19 | 2018/10/31 | 18.40 |
| 12130 | SG Issuer - Galaxy Ent European Put Warrants Oct 2018 C | 40,000,000 | 2018/04/19 | 2018/10/31 | 15.20 |
| 12140 | SG Issuer - Greatwall Motor European Warrants Nov 2018 A | 10,000,000 | 2018/04/19 | 2018/11/30 | 10.10 |
| 12144 | SG Issuer - Greatwall Motor European Warrants Dec 2019 A | 80,000,000 | 2018/04/19 | 2019/12/31 | 12.00 |
| 12146 | SG Issuer - HTSC European Warrants Apr 2019 A | 40,000,000 | 2018/04/19 | 2019/04/30 | 11.40 |
| 12149 | SG Issuer - Kingdee Intl European Warrants Apr 2019 A | 50,000,000 | 2018/04/19 | 2019/04/30 | 14.00 |
| 11955 | UBS AG - AIA European Warrants Oct 2018 A | 100,000,000 | 2018/04/19 | 2018/10/18 | 25.00 |
| 11963 | UBS AG - CNOOC European Warrants Dec 2019 A | 100,000,000 | 2018/04/19 | 2019/12/16 | 25.00 |
| 11956 | UBS AG - Geely Auto European Put Warrants Sep 2018 B | 70,000,000 | 2018/04/19 | 2018/09/21 | 25.76 |
| 11952 | UBS AG - HSBC European Warrants Aug 2018 B | 100,000,000 | 2018/04/19 | 2018/08/24 | 17.00 |
| 11953 | UBS AG - HSBC European Warrants Oct 2018 A | 100,000,000 | 2018/04/19 | 2018/10/31 | 16.30 |
| 11964 | UBS AG - Li Ning European Warrants Mar 2019 B | 50,000,000 | 2018/04/19 | 2019/03/08 | 12.55 |
| 11945 | UBS AG - Ping An European Warrants Aug 2018 E | 100,000,000 | 2018/04/19 | 2018/08/31 | 120.30 |
| 11949 | UBS AG - Ping An European Warrants Oct 2018 C | 100,000,000 | 2018/04/19 | 2018/10/18 | 104.80 |
| 12112 | UBS AG - Ping An European Warrants Dec 2018 A | 100,000,000 | 2018/04/19 | 2018/12/17 | 55.10 |
| 11946 | UBS AG - Ping An European Warrants Oct 2019 A | 100,000,000 | 2018/04/19 | 2019/10/21 | 128.20 |
| 11948 | UBS AG - Ping An European Warrants Mar 2020 A | 100,000,000 | 2018/04/19 | 2020/03/23 | 122.80 |
| 11909 | Bank Vontobel - AIA European Warrants Jul 2018 A | 60,000,000 | 2018/04/19 | 2018/07/19 | 10.80 |
| 11908 | Bank Vontobel - AIA European Warrants Nov 2018 A | 60,000,000 | 2018/04/19 | 2018/11/02 | 15.18 |
| 11914 | Bank Vontobel - BOC HK European Warrants Oct 2018 A | 70,000,000 | 2018/04/19 | 2018/10/29 | 10.78 |
| 11938 | Bank Vontobel - CNOOC European Warrants Jul 2018 A | 50,000,000 | 2018/04/19 | 2018/07/24 | 17.30 |
| 11911 | Bank Vontobel - HSBC European Warrants Nov 2018 B | 40,000,000 | 2018/04/19 | 2018/11/02 | 10.20 |
| 23911 # | J P Morgan SP BV - HSI European Warrants Aug 2018 H | 300,000,000 | 2018/04/19 | 2018/08/30 | 49.80 |
| 12305 | BOCI Asia Ltd. - Bank of China European Warrants Sep 2018 B | 80,000,000 | 2018/04/20 | 2018/09/24 | 12.32 |
| 12306 | BOCI Asia Ltd. - Bank of China European Warrants Dec 2018 B | 80,000,000 | 2018/04/20 | 2018/12/18 | 15.04 |
| 12300 | BOCI Asia Ltd. - Country Garden European Warrants Dec 2018 A | 50,000,000 | 2018/04/20 | 2018/12/31 | 12.60 |
| 12277 | BOCI Asia Ltd. - CKH Holdings European Warrants Oct 2018 A | 30,000,000 | 2018/04/20 | 2018/10/24 | 11.28 |

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| 12309 | BOCI Asia Ltd. – CNOOC European Warrants Oct 2018 A | 50,000,000 | 2018/04/20 | 2018/10/29 | 12.75 |
| 12302 | BOCI Asia Ltd. – China Res Land European Warrants Oct 2018 A | 70,000,000 | 2018/04/20 | 2018/10/29 | 10.71 |
| 12303 | BOCI Asia Ltd. – Evergrande European Warrants Dec 2018 A | 50,000,000 | 2018/04/20 | 2018/12/24 | 20.55 |
| 12293 | BOCI Asia Ltd. – GAC Group European Warrants Nov 2018 A | 50,000,000 | 2018/04/20 | 2018/11/27 | 10.85 |
| 12276 | BOCI Asia Ltd. – HSBC European Warrants Oct 2018 A | 100,000,000 | 2018/04/20 | 2018/10/26 | 18.00 |
| 12295 | BOCI Asia Ltd. – Haitong Sec European Warrants Oct 2018 A | 25,000,000 | 2018/04/20 | 2018/10/03 | 18.25 |
| 12288 | BOCI Asia Ltd. – New World Dev European Warrants Dec 2018 A | 30,000,000 | 2018/04/20 | 2018/12/31 | 12.48 |
| 12281 | BOCI Asia Ltd. – SHK Ppt European Warrants Sep 2018 A | 40,000,000 | 2018/04/20 | 2018/09/24 | 10.56 |
| 12301 | BOCI Asia Ltd. – SUNAC European Warrants Sep 2018 A | 50,000,000 | 2018/04/20 | 2018/09/24 | 25.35 |
| 12272 | BOCI Asia Ltd. – Tencent European Wts Sep 2018 G | 200,000,000 | 2018/04/20 | 2018/09/24 | 59.20 |
| 12274 | BOCI Asia Ltd. – Tencent European Wts Sep 2018 H | 200,000,000 | 2018/04/20 | 2018/09/28 | 91.00 |
| 12299 | BOCI Asia Ltd. – ZA Onine European Warrants Jun 2019 A | 100,000,000 | 2018/04/20 | 2019/06/28 | 15.50 |
| 12220 | BNP Paribas Issuance B.V. – CNOOC European Wts Jan 2019 A | 40,000,000 | 2018/04/20 | 2019/01/03 | 17.60 |
| 12219 | BNP Paribas Issuance B.V. – Evergrande Euro Wts Jan 2019 A | 50,000,000 | 2018/04/20 | 2019/01/03 | 25.00 |
| 12215 | BNP Paribas Issuance B.V. – Geely Auto Euro Wts Dec 2018 A | 60,000,000 | 2018/04/20 | 2018/12/04 | 17.40 |
| 12221 | BNP Paribas Issuance B.V. – SUNAC European Wts Apr 2019 B | 40,000,000 | 2018/04/20 | 2019/04/02 | 27.20 |
| 12327 | Credit Suisse AG – Anta Sports European Warrants Oct 2018 A | 25,000,000 | 2018/04/20 | 2018/10/19 | 11.00 |
| 12336 | Credit Suisse AG – Avichina European Warrants Oct 2018 A | 15,000,000 | 2018/04/20 | 2018/10/19 | 16.50 |
| 12334 | Credit Suisse AG – CSPC Pharma European Warrants Sep 2018 B | 40,000,000 | 2018/04/20 | 2018/09/28 | 10.00 |
| 12326 | Credit Suisse AG – Geely Auto European Put Wts Oct 2018 A | 40,000,000 | 2018/04/20 | 2018/10/31 | 10.00 |
| 12339 | Credit Suisse AG – ICBC European Warrants Aug 2018 D | 70,000,000 | 2018/04/20 | 2018/08/31 | 10.50 |
| 12333 | Credit Suisse AG – Ping An European Warrants Aug 2018 F | 150,000,000 | 2018/04/20 | 2018/08/22 | 57.00 |
| 12330 | Credit Suisse AG – Ping An European Warrants Oct 2018 D | 150,000,000 | 2018/04/20 | 2018/10/31 | 82.50 |
| 12332 | Credit Suisse AG – Ping An European Warrants Oct 2018 E | 150,000,000 | 2018/04/20 | 2018/10/19 | 151.50 |
| 12328 | Credit Suisse AG – Ping An European Warrants Nov 2018 A | 150,000,000 | 2018/04/20 | 2018/11/30 | 112.50 |
| 12329 | Credit Suisse AG – Ping An European Warrants Nov 2018 B | 150,000,000 | 2018/04/20 | 2018/11/30 | 174.00 |
| 12324 | Credit Suisse AG – WuXi Bio European Warrants Oct 2018 A | 40,000,000 | 2018/04/20 | 2018/10/19 | 10.00 |
| 12322 | Bank of East Asia – Ping An European Warrants Jul 2019 A | 30,000,000 | 2018/04/20 | 2019/07/31 | 30.00 |
| 12319 | Bank of East Asia – Yanzhou Coal European Wts May 2019 A | 40,000,000 | 2018/04/20 | 2019/05/02 | 10.00 |
| 12354 | Goldman Sachs SP (Asia) – AIA European Warrants Oct 2018 A | 80,000,000 | 2018/04/20 | 2018/10/22 | 20.00 |
| 12349 | Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2018 C | 80,000,000 | 2018/04/20 | 2018/09/26 | 12.00 |
| 12355 | Goldman Sachs SP (Asia) – ICBC European Warrants Sep 2018 A | 80,000,000 | 2018/04/20 | 2018/09/24 | 14.56 |
| 12350 | Goldman Sachs SP (Asia) – KECL European Warrants Oct 2018 A | 50,000,000 | 2018/04/20 | 2018/10/22 | 16.25 |
| 12365 | Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Aug 2018 D | 80,000,000 | 2018/04/20 | 2018/08/22 | 12.00 |
| 12353 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 G | 150,000,000 | 2018/04/20 | 2018/09/24 | 32.10 |
| 12338 | J P Morgan SP BV – Brilliance Chi European Wts Nov 2018 A | 60,000,000 | 2018/04/20 | 2018/11/05 | 15.06 |
| 12317 | Macquarie Bank Ltd. – Bank of China European Warrants Nov18 A | 40,000,000 | 2018/04/20 | 2018/11/02 | 10.04 |
| 12313 | Macquarie Bank Ltd. – Country Garden European Wts Jan 2019 B | 4,800,000 | 2018/04/20 | 2019/01/03 | 10.08 |
| 12315 | Macquarie Bank Ltd. – CMS European Warrants Jun 2019 A | 24,700,000 | 2018/04/20 | 2019/06/18 | 10.00 |
| 12312 | Macquarie Bank Ltd. – Li Ning European Warrants Jun 2019 A | 40,000,000 | 2018/04/20 | 2019/06/18 | 10.00 |
| 12341 | SG Issuer – Brilliance Chi European Warrants Nov 2018 A | 40,000,000 | 2018/04/20 | 2018/11/30 | 10.00 |
| 12342 | SG Issuer – China Res Land European Warrants Nov 2018 A | 40,000,000 | 2018/04/20 | 2018/11/30 | 12.40 |
| 12344 | SG Issuer – CRRC European Warrants Nov 2018 A | 15,000,000 | 2018/04/20 | 2018/11/30 | 10.80 |
| 12343 | SG Issuer – COSCO Ship Hold European Warrants Nov 2018 A | 20,000,000 | 2018/04/20 | 2018/11/30 | 10.60 |

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| 12345 | SG Issuer – NCI European Warrants Nov 2018 A | 25,000,000 | 2018/04/20 | 2018/11/30 | 10.13 |
| 12346 | SG Issuer – SJM Hold Eu Wt Nov 2018 A | 15,000,000 | 2018/04/20 | 2018/11/30 | 10.05 |
| 12348 | SG Issuer – SUNAC European Warrants Nov 2018 A | 15,000,000 | 2018/04/20 | 2018/11/30 | 10.05 |
| 12251 | UBS AG – Ch LongYuan European Warrants Oct 2019 A | 50,000,000 | 2018/04/20 | 2019/10/28 | 12.50 |
| 12255 | UBS AG – Fosun Pharma European Warrants Nov 2019 A | 11,000,000 | 2018/04/20 | 2019/11/19 | 15.98 |
| 12247 | UBS AG – Melco Int'l Dev European Warrants Oct 2018 A | 50,000,000 | 2018/04/20 | 2018/10/19 | 12.80 |
| 12248 | UBS AG – MGM China European Warrants Nov 2018 A | 50,000,000 | 2018/04/20 | 2018/11/19 | 14.25 |
| 12222 | UBS AG – Sands China Ltd European Warrants Aug 2018 C | 70,000,000 | 2018/04/20 | 2018/08/22 | 13.79 |
| 12223 | UBS AG – Sands China Ltd European Warrants Nov 2018 A | 70,000,000 | 2018/04/20 | 2018/11/19 | 18.20 |
| 12236 | UBS AG – Sands China Ltd European Put Warrants Sep 2018 A | 50,000,000 | 2018/04/20 | 2018/09/20 | 18.35 |
| 12233 | UBS AG – Wynn Macau European Warrants Oct 2018 A | 70,000,000 | 2018/04/20 | 2018/10/19 | 18.20 |
| 12269 | Bank Vontobel – China Life European Warrants Oct 2018 D | 100,000,000 | 2018/04/20 | 2018/10/31 | 26.10 |
| 12270 | Bank Vontobel – Sinopec Corp European Warrants Nov 2018 A | 40,000,000 | 2018/04/20 | 2018/11/02 | 11.60 |
| 12337 | Bank Vontobel – Galaxy Ent European Warrants Nov 2018 A | 40,000,000 | 2018/04/20 | 2018/11/01 | 15.44 |
| 12267 | Bank Vontobel – HK & China Gas European Warrants Nov 2018 A | 20,000,000 | 2018/04/20 | 2018/11/01 | 11.18 |
| 12260 | Bank Vontobel – Ping An European Warrants Aug 2018 D | 40,000,000 | 2018/04/20 | 2018/08/28 | 26.80 |
| 12424 | BOCI Asia Ltd. – CSOP A50 ETF European Warrants Sep 2018 C | 40,000,000 | 2018/04/23 | 2018/09/18 | 23.76 |
| 12426 | BOCI Asia Ltd. – CSOP A50 ETF European Warrants Sep 2018 D | 40,000,000 | 2018/04/23 | 2018/09/24 | 19.00 |
| 12427 | BOCI Asia Ltd. – A50 China European Warrants Sep 2018 A | 40,000,000 | 2018/04/23 | 2018/09/03 | 10.48 |
| 12431 | BOCI Asia Ltd. – HSCEI European Warrants Nov 2018 A | 100,000,000 | 2018/04/23 | 2018/11/29 | 22.10 |
| 12428 | BOCI Asia Ltd. – HSI European Warrants Oct 2018 A | 100,000,000 | 2018/04/23 | 2018/10/30 | 17.50 |
| 12430 | BOCI Asia Ltd. – HSI European Put Warrants Sep 2018 A | 100,000,000 | 2018/04/23 | 2018/09/27 | 18.60 |
| 12448 | Credit Suisse AG – Tencent European Wts Jul 2018 O | 250,000,000 | 2018/04/23 | 2018/07/26 | 37.50 |
| 12451 | Credit Suisse AG – Wynn Macau European Warrants Oct 2018 A | 40,000,000 | 2018/04/23 | 2018/10/22 | 12.40 |
| 12479 | Goldman Sachs SP (Asia) – GAC European Warrants Nov 2018 A | 50,000,000 | 2018/04/23 | 2018/11/27 | 12.50 |
| 12476 | Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Oct 2018 A | 30,000,000 | 2018/04/23 | 2018/10/03 | 21.39 |
| 12472 | Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2018 A | 80,000,000 | 2018/04/23 | 2018/10/24 | 12.00 |
| 12480 | Goldman Sachs SP (Asia) – HTSC European Warrants Dec 2018 B | 50,000,000 | 2018/04/23 | 2018/12/28 | 12.50 |
| 12478 | Goldman Sachs SP (Asia) – ICBC European Warrants Sep 2018 B | 80,000,000 | 2018/04/23 | 2018/09/20 | 18.40 |
| 12475 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 H | 150,000,000 | 2018/04/23 | 2018/09/24 | 36.90 |
| 12442 | HK Bank – PICC P&C European Warrants Mar 2019 A | 80,000,000 | 2018/04/23 | 2019/03/29 | 12.00 |
| 12441 | HK Bank – Sands China European Warrants Oct 2018 A | 50,000,000 | 2018/04/23 | 2018/10/31 | 12.50 |
| 12407 | Haitong Int'l Sec – AIA European Warrants Sep 2018 B | 40,000,000 | 2018/04/23 | 2018/09/24 | 20.00 |
| 12408 | Haitong Int'l Sec – AIA European Warrants Sep 2018 C | 60,000,000 | 2018/04/23 | 2018/09/20 | 24.00 |
| 12409 | Haitong Int'l Sec – AIA European Warrants Sep 2018 D | 60,000,000 | 2018/04/23 | 2018/09/24 | 13.80 |
| 12419 | Haitong Int'l Sec – Avichina European Warrants Oct 2018 A | 10,000,000 | 2018/04/23 | 2018/10/23 | 10.90 |
| 12400 | Haitong Int'l Sec – Brilliance Chi European Warrants Oct 18 A | 40,000,000 | 2018/04/23 | 2018/10/23 | 10.80 |
| 12403 | Haitong Int'l Sec – Brilliance Chi European Warrants Oct 18 B | 60,000,000 | 2018/04/23 | 2018/10/22 | 11.40 |
| 12382 | Haitong Int'l Sec – CPIC European Warrants Nov 2018 A | 40,000,000 | 2018/04/23 | 2018/11/19 | 13.20 |
| 12397 | Haitong Int'l Sec – Chi Res Land European Warrants Oct 2018 A | 68,000,000 | 2018/04/23 | 2018/10/26 | 11.56 |
| 12393 | Haitong Int'l Sec – Chi Res Land European Warrants Nov 2018 A | 40,000,000 | 2018/04/23 | 2018/11/20 | 13.20 |
| 12386 | Haitong Int'l Sec – Chinares Pharma European Warrants Apr 19 A | 80,000,000 | 2018/04/23 | 2019/04/29 | 20.80 |
| 12412 | Haitong Int'l Sec – CRRC European Warrants Oct 2018 A | 30,000,000 | 2018/04/23 | 2018/10/23 | 12.30 |
| 12410 | Haitong Int'l Sec – CRRC European Warrants Nov 2018 A | 20,000,000 | 2018/04/23 | 2018/11/20 | 12.20 |

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| 12413 | Haitong Int'l Sec - COSCO Sh Hold European Warrants Oct 18 A | 30,000,000 | 2018/04/23 | 2018/10/23 | 13.80 |
| 12375 | Haitong Int'l Sec - Trad Chi Med European Warrants Oct 2018 B | 20,000,000 | 2018/04/23 | 2018/10/23 | 11.60 |
| 12376 | Haitong Int'l Sec - Baiyunshan Ph European Warrants Oct 18 A | 35,000,000 | 2018/04/23 | 2018/10/23 | 11.55 |
| 12387 | Haitong Int'l Sec - HSBC European Warrants Aug 2018 A | 40,000,000 | 2018/04/23 | 2018/08/28 | 18.40 |
| 12388 | Haitong Int'l Sec - HSBC European Warrants Aug 2018 B | 100,000,000 | 2018/04/23 | 2018/08/24 | 17.00 |
| 12390 | Haitong Int'l Sec - HSBC European Warrants Sep 2018 C | 100,000,000 | 2018/04/23 | 2018/09/21 | 21.00 |
| 12391 | Haitong Int'l Sec - KECL European Warrants Sep 2018 A | 40,000,000 | 2018/04/23 | 2018/09/24 | 12.00 |
| 12381 | Haitong Int'l Sec - Li Ning European Warrants Mar 2019 B | 90,000,000 | 2018/04/23 | 2019/03/29 | 26.10 |
| 12416 | Haitong Int'l Sec - Ping An European Warrants Jul 2018 D | 80,000,000 | 2018/04/23 | 2018/07/25 | 24.80 |
| 12418 | Haitong Int'l Sec - Ping An European Warrants Jul 2018 E | 80,000,000 | 2018/04/23 | 2018/07/30 | 14.40 |
| 12417 | Haitong Int'l Sec - Ping An European Warrants Aug 2018 H | 80,000,000 | 2018/04/23 | 2018/08/22 | 26.40 |
| 12379 | Haitong Int'l Sec - Sinopharm European Warrants Nov 2018 A | 40,000,000 | 2018/04/23 | 2018/11/19 | 14.40 |
| 12371 | Haitong Int'l Sec - Sihuan Pharm European Warrants Oct 2018 A | 40,000,000 | 2018/04/23 | 2018/10/23 | 13.20 |
| 12422 | Haitong Int'l Sec - Sunny Optical European Wts Jul 2018 C | 100,000,000 | 2018/04/23 | 2018/07/27 | 20.00 |
| 12423 | Haitong Int'l Sec - Sunny Optical European Wts Sep 2018 A | 100,000,000 | 2018/04/23 | 2018/09/21 | 22.00 |
| 12420 | Haitong Int'l Sec - Sunny Optical European Wts Oct 2018 C | 100,000,000 | 2018/04/23 | 2018/10/23 | 34.00 |
| 12392 | Haitong Int'l Sec - Tencent European Warrants Jul 2018 I | 80,000,000 | 2018/04/23 | 2018/07/31 | 13.60 |
| 12369 | Haitong Int'l Sec - Want Want China European Warrants Dec 18 A | 30,000,000 | 2018/04/23 | 2018/12/20 | 17.10 |
| 12370 | Haitong Int'l Sec - WH Group European Warrants Oct 2018 A | 30,000,000 | 2018/04/23 | 2018/10/23 | 13.50 |
| 12404 | Haitong Int'l Sec - Yanzhou Coal European Warrants Aug 2018 C | 18,000,000 | 2018/04/23 | 2018/08/27 | 19.26 |
| 12405 | Haitong Int'l Sec - Yanzhou Coal European Warrants Dec 2018 C | 100,000,000 | 2018/04/23 | 2018/12/24 | 17.00 |
| 12463 | J P Morgan SP BV - A50 China European Warrants Aug 2018 A | 100,000,000 | 2018/04/23 | 2018/08/31 | 41.60 |
| 12458 | J P Morgan SP BV - Kingboard Chem European Warrants Dec 18 A | 60,000,000 | 2018/04/23 | 2018/12/03 | 15.90 |
| 12459 | J P Morgan SP BV - Melco Int'l Dev European Wts Nov 2018 A | 60,000,000 | 2018/04/23 | 2018/11/02 | 16.68 |
| 12460 | J P Morgan SP BV - Sands China European Warrants Oct 2018 A | 100,000,000 | 2018/04/23 | 2018/10/03 | 24.30 |
| 12455 | J P Morgan SP BV - Tencent European Warrants Jul 2018 J | 250,000,000 | 2018/04/23 | 2018/07/31 | 38.00 |
| 12456 | J P Morgan SP BV - Tencent European Warrants Jul 2018 K | 250,000,000 | 2018/04/23 | 2018/07/31 | 43.75 |
| 12453 | J P Morgan SP BV - Tencent European Warrants Aug 2018 I | 250,000,000 | 2018/04/23 | 2018/08/21 | 52.50 |
| 12452 | J P Morgan SP BV - Tencent European Warrants Sep 2018 C | 250,000,000 | 2018/04/23 | 2018/09/20 | 39.00 |
| 12457 | J P Morgan SP BV - Tencent European Put Warrants Oct 2018 B | 250,000,000 | 2018/04/23 | 2018/10/02 | 40.50 |
| 12464 | Macquarie Bank Ltd. - Fosun Pharma European Wts Feb 2019 A | 11,300,000 | 2018/04/23 | 2019/02/18 | 10.01 |
| 12470 | Macquarie Bank Ltd. - KB Laminates European Warrants Mar 19 A | 36,500,000 | 2018/04/23 | 2019/03/18 | 10.00 |
| 12437 | UBS AG - Bank of China European Warrants Oct 2018 A | 70,000,000 | 2018/04/23 | 2018/10/22 | 21.00 |
| 12435 | UBS AG - CC Bank European Warrants Sep 2018 A | 70,000,000 | 2018/04/23 | 2018/09/20 | 20.44 |
| 12439 | UBS AG - China Taiping European Warrants Oct 2018 A | 40,000,000 | 2018/04/23 | 2018/10/22 | 12.36 |
| 12440 | UBS AG - Fosun Intl European Warrants Aug 2018 C | 70,000,000 | 2018/04/23 | 2018/08/28 | 14.14 |
| 12433 | UBS AG - ICBC European Warrants Sep 2018 A | 70,000,000 | 2018/04/23 | 2018/09/14 | 23.38 |
| 12447 | Bank Vontobel - Bank of China European Warrants Sep 2018 B | 60,000,000 | 2018/04/23 | 2018/09/20 | 15.66 |
| 12444 | Bank Vontobel - CKH Holdings European Warrants Nov 2018 B | 30,000,000 | 2018/04/23 | 2018/11/01 | 21.00 |
| 12443 | Bank Vontobel - HSBC European Put Warrants Sep 2018 B | 40,000,000 | 2018/04/23 | 2018/09/03 | 11.64 |
| 12446 | Bank Vontobel - Tencent European Warrants Sep 2018 D | 100,000,000 | 2018/04/23 | 2018/09/17 | 25.00 |
| 12445 | Bank Vontobel - Tencent European Warrants Oct 2018 D | 100,000,000 | 2018/04/23 | 2018/10/22 | 50.00 |
| 12487 | BNP Paribas Issuance B.V. - Bank of China Euro Wts Feb 19 A | 50,000,000 | 2018/04/24 | 2019/02/04 | 13.50 |
| 12484 | BNP Paribas Issuance B.V. - HKEX European Wts Apr 2019 C | 80,000,000 | 2018/04/24 | 2019/04/02 | 20.00 |

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| 12482 | BNP Paribas Issuance B.V. – HSBC European Wts Dec 2018 A | 40,000,000 | 2018/04/24 | 2018/12/04 | 14.40 |
| 12483 | BNP Paribas Issuance B.V. – HSBC European Wts Feb 2019 A | 40,000,000 | 2018/04/24 | 2019/02/04 | 10.80 |
| 12481 | BNP Paribas Issuance B.V. – Tencent European Wts Apr 2019 D | 80,000,000 | 2018/04/24 | 2019/04/02 | 44.00 |
| 12521 | Credit Suisse AG – HSBC European Wts Aug 2018 E | 100,000,000 | 2018/04/24 | 2018/08/24 | 15.00 |
| 12526 | Credit Suisse AG – Kunlun Energy European Warrants Oct 2018 A | 25,000,000 | 2018/04/24 | 2018/10/23 | 10.00 |
| 12527 | Credit Suisse AG – Tencent European Wts Jul 2018 P | 250,000,000 | 2018/04/24 | 2018/07/23 | 40.00 |
| 12543 | Goldman Sachs SP (Asia) – AIA European Warrants Sep 2018 B | 80,000,000 | 2018/04/24 | 2018/09/24 | 12.00 |
| 12542 | Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2018 B | 80,000,000 | 2018/04/24 | 2018/09/20 | 22.64 |
| 12544 | Goldman Sachs SP (Asia) – ICBC European Warrants Sep 2018 C | 80,000,000 | 2018/04/24 | 2018/09/14 | 22.80 |
| 12548 | Goldman Sachs SP (Asia) – PICC Group European Wts Oct 2018 A | 50,000,000 | 2018/04/24 | 2018/10/24 | 12.50 |
| 12545 | Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Sep 2018 A | 80,000,000 | 2018/04/24 | 2018/09/28 | 17.44 |
| 12549 | Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Dec 2018 A | 70,000,000 | 2018/04/24 | 2018/12/20 | 10.50 |
| 12490 | HK Bank – AAC Tech European Warrants Nov 2018 A | 150,000,000 | 2018/04/24 | 2018/11/30 | 37.50 |
| 12530 | J P Morgan SP BV – Bank of China European Warrants Aug 2018 D | 100,000,000 | 2018/04/24 | 2018/08/31 | 16.10 |
| 12529 | J P Morgan SP BV – CC Bank European Wts Sep 2018 C | 100,000,000 | 2018/04/24 | 2018/09/28 | 33.10 |
| 12534 | J P Morgan SP BV – Ch Overseas European Warrants Oct 2018 A | 100,000,000 | 2018/04/24 | 2018/10/31 | 15.20 |
| 12535 | J P Morgan SP BV – Geely Auto European Warrants Oct 2018 A | 100,000,000 | 2018/04/24 | 2018/10/31 | 25.30 |
| 12540 | J P Morgan SP BV – HSI European Warrants Dec 2018 B | 300,000,000 | 2018/04/24 | 2018/12/28 | 45.90 |
| 12533 | J P Morgan SP BV – Lenovo European Warrants Sep 2018 A | 100,000,000 | 2018/04/24 | 2018/09/04 | 20.70 |
| 12539 | J P Morgan SP BV – NCI European Warrants Nov 2018 A | 100,000,000 | 2018/04/24 | 2018/11/02 | 25.30 |
| 12538 | J P Morgan SP BV – PICC Group European Warrants Nov 2018 A | 60,000,000 | 2018/04/24 | 2018/11/02 | 16.86 |
| 12497 | Macquarie Bank Ltd. – HKEX European Warrants Nov 2018 D | 8,000,000 | 2018/04/24 | 2018/11/02 | 12.49 |
| 12493 | Macquarie Bank Ltd. – PICC Group European Wts Jan 2019 A | 25,000,000 | 2018/04/24 | 2019/01/03 | 10.00 |
| 12495 | Macquarie Bank Ltd. – Sands China European Wts Dec 2018 A | 28,000,000 | 2018/04/24 | 2018/12/04 | 10.81 |
| 12496 | Macquarie Bank Ltd. – Sands China European Put Wts Jan 2019 A | 25,000,000 | 2018/04/24 | 2019/01/03 | 10.08 |
| 12491 | Macquarie Bank Ltd. – Goldwind European Warrants May 2019 A | 33,000,000 | 2018/04/24 | 2019/05/16 | 10.03 |
| 12503 | UBS AG – Bank of E Asia European Warrants Jul 2019 A | 25,000,000 | 2018/04/24 | 2019/07/22 | 10.28 |
| 12501 | UBS AG – BOC HK European Warrants Sep 2018 A | 60,000,000 | 2018/04/24 | 2018/09/24 | 10.08 |
| 12515 | UBS AG – Ping An European Warrants Mar 2019 A | 100,000,000 | 2018/04/24 | 2019/03/25 | 107.40 |
| 12514 | UBS AG – Ping An European Warrants Aug 2019 A | 100,000,000 | 2018/04/24 | 2019/08/19 | 79.20 |
| 12509 | UBS AG – Tencent European Warrants Aug 2018 D | 300,000,000 | 2018/04/24 | 2018/08/29 | 212.70 |
| 12504 | UBS AG – Tencent European Warrants Sep 2018 D | 300,000,000 | 2018/04/24 | 2018/09/17 | 104.10 |
| 12506 | UBS AG – Tencent European Warrants Sep 2018 E | 300,000,000 | 2018/04/24 | 2018/09/21 | 76.50 |
| 12499 | UBS AG – Tencent European Put Warrants Sep 2018 C | 200,000,000 | 2018/04/24 | 2018/09/21 | 49.80 |
| 12516 | Bank Vontobel – CHALCO European Warrants Nov 2018 A | 20,000,000 | 2018/04/24 | 2018/11/26 | 11.42 |
| 12519 | Bank Vontobel – CK Asset European Warrants Dec 2018 A | 30,000,000 | 2018/04/24 | 2018/12/03 | 16.50 |
| 12517 | Bank Vontobel – HKEX European Put Warrants Nov 2018 B | 100,000,000 | 2018/04/24 | 2018/11/28 | 19.50 |
| 12520 | Bank Vontobel – Kunlun Energy European Warrants Oct 2018 B | 25,000,000 | 2018/04/24 | 2018/10/31 | 22.53 |
| 12518 | Bank Vontobel – Kingsoft European Warrants Nov 2018 A | 30,000,000 | 2018/04/24 | 2018/11/26 | 15.30 |
| 11459 # | Goldman Sachs SP (Asia) – Tencent European Wt Jul 2018 S | 150,000,000 | 2018/04/24 | 2018/07/26 | 31.05 |
| 12573 | BOCI Asia Ltd. – CC Bank European Warrants Sep 2018 C | 80,000,000 | 2018/04/25 | 2018/09/26 | 50.64 |
| 12575 | BOCI Asia Ltd. – CC Bank European Warrants Sep 2018 D | 80,000,000 | 2018/04/25 | 2018/09/24 | 36.80 |
| 12591 | BOCI Asia Ltd. – China Life European Warrants Oct 2018 B | 100,000,000 | 2018/04/25 | 2018/10/29 | 25.10 |
| 12590 | BOCI Asia Ltd. – China Mobile European Warrants Dec 2018 A | 100,000,000 | 2018/04/25 | 2018/12/20 | 18.40 |

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| | | (units) | Listing date | Expiry | |
| 12583 | BOCI Asia Ltd. – Kingsoft European Warrants Sep 2018 A | 70,000,000 | 2018/04/25 | 2018/09/27 | 10.99 |
| 12588 | BOCI Asia Ltd. – Kingsoft European Warrants Sep 2018 B | 70,000,000 | 2018/04/25 | 2018/09/20 | 16.80 |
| 12595 | BOCI Asia Ltd. – NCI European Warrants Nov 2018 A | 50,000,000 | 2018/04/25 | 2018/11/26 | 12.85 |
| 12601 | BOCI Asia Ltd. – SMIC European Warrants Nov 2018 A | 70,000,000 | 2018/04/25 | 2018/11/29 | 10.57 |
| 12581 | BOCI Asia Ltd. – Tencent European Wts Oct 2018 C | 200,000,000 | 2018/04/25 | 2018/10/29 | 110.00 |
| 12579 | BOCI Asia Ltd. – Tencent European Put Wts Jan 2019 A | 100,000,000 | 2018/04/25 | 2019/01/24 | 21.20 |
| 12623 | Credit Suisse AG – BYD Electronic European Wts Oct 2018 B | 40,000,000 | 2018/04/25 | 2018/10/24 | 10.00 |
| 12622 | Credit Suisse AG – HKEX European Put Warrants Nov 2018 C | 100,000,000 | 2018/04/25 | 2018/11/19 | 18.00 |
| 12645 | Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Oct 2018 A | 80,000,000 | 2018/04/25 | 2018/10/30 | 65.84 |
| 12649 | Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Nov 2018 A | 80,000,000 | 2018/04/25 | 2018/11/29 | 90.96 |
| 12644 | Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jun 2019 B | 150,000,000 | 2018/04/25 | 2019/06/21 | 22.50 |
| 12634 | Goldman Sachs SP (Asia) – Geely Auto European Wts Oct 2018 A | 80,000,000 | 2018/04/25 | 2018/10/24 | 16.24 |
| 12638 | Goldman Sachs SP (Asia) – Lenovo European Wts Aug 2018 B | 60,000,000 | 2018/04/25 | 2018/08/28 | 14.88 |
| 12646 | Goldman Sachs SP (Asia) – PSBC European Warrants Sep 2018 A | 40,000,000 | 2018/04/25 | 2018/09/24 | 10.00 |
| 12642 | Goldman Sachs SP (Asia) – Tencent European Wt Jul 2018 U | 150,000,000 | 2018/04/25 | 2018/07/24 | 28.80 |
| 12639 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 I | 150,000,000 | 2018/04/25 | 2018/09/24 | 36.60 |
| 12628 | J P Morgan SP BV – Geely Auto European Put Warrants Nov 18 A | 100,000,000 | 2018/04/25 | 2018/11/02 | 25.50 |
| 12631 | J P Morgan SP BV – HKEX European Put Wts Nov 2018 C | 200,000,000 | 2018/04/25 | 2018/11/30 | 31.20 |
| 12632 | J P Morgan SP BV – Tencent European Warrants Sep 2018 D | 200,000,000 | 2018/04/25 | 2018/09/24 | 31.40 |
| 12630 | J P Morgan SP BV – Tencent European Put Warrants Dec 2018 A | 200,000,000 | 2018/04/25 | 2018/12/31 | 51.20 |
| 12625 | J P Morgan SP BV – China Vanke European Warrants Oct 2018 A | 50,000,000 | 2018/04/25 | 2018/10/02 | 13.30 |
| 12570 | Macquarie Bank Ltd. – Agile Ppt European Warrants Apr 2019 A | 38,000,000 | 2018/04/25 | 2019/04/15 | 10.60 |
| 12562 | Macquarie Bank Ltd. – China Cinda European Wts Mar 2019 A | 40,000,000 | 2018/04/25 | 2019/03/04 | 10.04 |
| 12563 | Macquarie Bank Ltd. – GAC European Put Warrants Feb 2019 A | 8,000,000 | 2018/04/25 | 2019/02/04 | 13.76 |
| 12566 | Macquarie Bank Ltd. – Nexteer European Warrants Jun 2019 A | 28,000,000 | 2018/04/25 | 2019/06/24 | 10.02 |
| 12555 | UBS AG – Brilliance Chi European Warrants Nov 2018 A | 40,000,000 | 2018/04/25 | 2018/11/12 | 12.44 |
| 12616 | UBS AG – BYD Company European Warrants Oct 2018 B | 20,000,000 | 2018/04/25 | 2018/10/02 | 11.86 |
| 12556 | UBS AG – BYD Electronic European Warrants Nov 2018 A | 40,000,000 | 2018/04/25 | 2018/11/12 | 10.12 |
| 12602 | UBS AG – CSOP A50 ETF European Warrants Jul 2018 C | 35,000,000 | 2018/04/25 | 2018/07/25 | 10.15 |
| 12607 | UBS AG – CSOP A50 ETF European Warrants Oct 2018 A | 11,000,000 | 2018/04/25 | 2018/10/25 | 10.99 |
| 12611 | UBS AG – A50 China European Warrants Aug 2018 C | 20,000,000 | 2018/04/25 | 2018/08/24 | 10.10 |
| 12553 | UBS AG – GAC European Warrants Oct 2018 A | 40,000,000 | 2018/04/25 | 2018/10/25 | 10.12 |
| 12557 | UBS AG – Geely Auto European Warrants Oct 2018 A | 50,000,000 | 2018/04/25 | 2018/10/25 | 18.65 |
| 12618 | UBS AG – Haitong Sec European Warrants Oct 2018 A | 20,000,000 | 2018/04/25 | 2018/10/02 | 10.10 |
| 12620 | UBS AG – Nine Dragons Paper European Warrants Nov 2018 A | 60,000,000 | 2018/04/25 | 2018/11/01 | 10.20 |
| 12621 | UBS AG – New World Dev European Warrants Dec 2019 A | 70,000,000 | 2018/04/25 | 2019/12/10 | 11.06 |
| 12614 | UBS AG – Ping An European Warrants Jun 2020 A | 300,000,000 | 2018/04/25 | 2020/06/23 | 45.30 |
| 12615 | UBS AG – Ping An European Put Warrants Jul 2018 G | 50,000,000 | 2018/04/25 | 2018/07/30 | 18.00 |
| 12612 | UBS AG – Tencent European Warrants Jul 2018 K | 300,000,000 | 2018/04/25 | 2018/07/25 | 48.30 |
| 12613 | UBS AG – Tencent European Put Warrants Jul 2018 L | 200,000,000 | 2018/04/25 | 2018/07/25 | 94.00 |
| 12617 | UBS AG – China Vanke European Warrants Aug 2018 B | 60,000,000 | 2018/04/25 | 2018/08/24 | 11.04 |
| 12558 | Bank Vontobel – HSCEI European Warrants Aug 2018 A | 150,000,000 | 2018/04/25 | 2018/08/30 | 23.10 |
| 12559 | Bank Vontobel – HSCEI European Warrants Dec 2018 A | 150,000,000 | 2018/04/25 | 2018/12/28 | 23.10 |
| 12560 | Bank Vontobel – HSCEI European Put Warrants Jul 2018 A | 150,000,000 | 2018/04/25 | 2018/07/30 | 26.70 |

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| 12561 | Bank Vontobel – HSCEI European Put Warrants Dec 2018 B | 150,000,000 | 2018/04/25 | 2018/12/28 | 30.00 |
| 12661 | Credit Suisse AG – AAC Tech European Warrants Sep 2018 A | 100,000,000 | 2018/04/26 | 2018/09/28 | 15.00 |
| 12665 | Credit Suisse AG – CHALCO European Wts Aug 2018 B | 30,000,000 | 2018/04/26 | 2018/08/20 | 10.50 |
| 12664 | Credit Suisse AG – Brilliance Chi European Wts Oct 2018 B | 40,000,000 | 2018/04/26 | 2018/10/31 | 10.00 |
| 12660 | Credit Suisse AG – Ping An European Put Warrants Jul 2018 L | 70,000,000 | 2018/04/26 | 2018/07/25 | 12.60 |
| 12663 | Credit Suisse AG – Tencent European Wts Sep 2018 C | 250,000,000 | 2018/04/26 | 2018/09/24 | 37.50 |
| 12706 | Goldman Sachs SP (Asia) – China Cinda Euro Wts Aug 2018 A | 70,000,000 | 2018/04/26 | 2018/08/24 | 10.50 |
| 12702 | Goldman Sachs SP (Asia) – HKEX European Warrants Dec 2018 C | 150,000,000 | 2018/04/26 | 2018/12/24 | 22.50 |
| 12705 | Goldman Sachs SP (Asia) – HKEX European Put Wts Oct 2018 E | 100,000,000 | 2018/04/26 | 2018/10/26 | 15.00 |
| 12651 | HK Bank – Country Garden European Warrants Nov 2018 B | 150,000,000 | 2018/04/26 | 2018/11/30 | 37.50 |
| 12653 | HK Bank – Tencent European Warrants Sep 2018 D | 150,000,000 | 2018/04/26 | 2018/09/26 | 22.50 |
| 12654 | HK Bank – Tencent European Warrants Sep 2018 E | 150,000,000 | 2018/04/26 | 2018/09/18 | 36.00 |
| 12652 | HK Bank – Tencent European Put Warrants Oct 2018 A | 150,000,000 | 2018/04/26 | 2018/10/31 | 37.50 |
| 12669 | Haitong Int'l Sec – Tencent European Warrants Jul 2018 J | 150,000,000 | 2018/04/26 | 2018/07/26 | 58.50 |
| 12688 | Haitong Int'l Sec – Tencent European Warrants Jul 2018 K | 150,000,000 | 2018/04/26 | 2018/07/26 | 39.00 |
| 12697 | Haitong Int'l Sec – Tencent European Warrants Jul 2018 L | 150,000,000 | 2018/04/26 | 2018/07/26 | 22.50 |
| 12672 | Haitong Int'l Sec – Tencent European Warrants Sep 2018 D | 150,000,000 | 2018/04/26 | 2018/09/24 | 67.50 |
| 12692 | Haitong Int'l Sec – Tencent European Warrants Sep 2018 E | 150,000,000 | 2018/04/26 | 2018/09/24 | 45.00 |
| 12693 | Haitong Int'l Sec – Tencent European Warrants Sep 2018 F | 150,000,000 | 2018/04/26 | 2018/09/18 | 37.50 |
| 12699 | Haitong Int'l Sec – Tencent European Warrants Sep 2018 G | 150,000,000 | 2018/04/26 | 2018/09/24 | 25.50 |
| 12700 | Haitong Int'l Sec – Tencent European Warrants Sep 2018 H | 150,000,000 | 2018/04/26 | 2018/09/20 | 22.50 |
| 12659 | J P Morgan SP BV – Ch LongYuan European Warrants May 2019 A | 70,000,000 | 2018/04/26 | 2019/05/31 | 10.57 |
| 12658 | J P Morgan SP BV – CNOOC European Put Warrants Aug 2018 B | 100,000,000 | 2018/04/26 | 2018/08/02 | 25.10 |
| 12656 | Macquarie Bank Ltd. – AIA European Warrants Oct 2018 A | 35,000,000 | 2018/04/26 | 2018/10/03 | 10.26 |
| 12707 | SG Issuer – China Taiping European Warrants Oct 2018 A | 30,000,000 | 2018/04/26 | 2018/10/31 | 10.20 |
| 12713 | SG Issuer – Ping An European Put Warrants Sep 2018 D | 50,000,000 | 2018/04/26 | 2018/09/28 | 18.00 |
| 12714 | SG Issuer – Sunny Optical European Warrants Sep 2018 A | 60,000,000 | 2018/04/26 | 2018/09/28 | 10.20 |
| 12712 | SG Issuer – China Vanke European Warrants Oct 2018 A | 25,000,000 | 2018/04/26 | 2018/10/31 | 11.00 |
| 12657 | UBS AG – CAM CSI300 European Warrants Sep 2018 A | 70,000,000 | 2018/04/26 | 2018/09/24 | 11.13 |
| 12718 | BOCI Asia Ltd. – AAC Tech European Warrants Oct 2018 A | 100,000,000 | 2018/04/27 | 2018/10/03 | 20.50 |
| 12723 | BOCI Asia Ltd. – CM Bank European Warrants Oct 2018 A | 50,000,000 | 2018/04/27 | 2018/10/24 | 11.55 |
| 12727 | BOCI Asia Ltd. – Sinopec Corp European Warrants Oct 2018 A | 60,000,000 | 2018/04/27 | 2018/10/30 | 15.06 |
| 12728 | BOCI Asia Ltd. – CRRC European Warrants Oct 2018 A | 50,000,000 | 2018/04/27 | 2018/10/30 | 18.85 |
| 12725 | BOCI Asia Ltd. – PetroChina European Warrants Sep 2018 A | 70,000,000 | 2018/04/27 | 2018/09/26 | 10.57 |
| 12719 | BOCI Asia Ltd. – Sunny Optical European Warrants Oct 2018 A | 100,000,000 | 2018/04/27 | 2018/10/26 | 26.00 |
| 12720 | BOCI Asia Ltd. – Sunny Optical European Warrants Nov 2018 A | 100,000,000 | 2018/04/27 | 2018/11/02 | 31.70 |
| 12715 | BOCI Asia Ltd. – Tencent European Wts Sep 2018 I | 200,000,000 | 2018/04/27 | 2018/09/28 | 31.00 |
| 12787 | BNP Paribas Issuance B.V. – Agricultural Bank Eu Wt Aug 19 A | 40,000,000 | 2018/04/27 | 2019/08/02 | 11.60 |
| 12784 | BNP Paribas Issuance B.V. – Sinopec Corp Eu Wts Jan 2019 A | 40,000,000 | 2018/04/27 | 2019/01/03 | 13.60 |
| 12783 | BNP Paribas Issuance B.V. – PetroChina Euro Wts Jan 2019 A | 40,000,000 | 2018/04/27 | 2019/01/03 | 10.80 |
| 12789 | BNP Paribas Issuance B.V. – Tracker Fund Euro Wts Dec 2018 A | 70,000,000 | 2018/04/27 | 2018/12/21 | 11.20 |
| 12806 | Credit Suisse AG – China Huarong European Warrants Oct 2018 A | 30,000,000 | 2018/04/27 | 2018/10/26 | 11.40 |
| 12803 | Credit Suisse AG – HKEX European Warrants Dec 2018 A | 200,000,000 | 2018/04/27 | 2018/12/24 | 30.00 |
| 12805 | Credit Suisse AG – Sunny Optical European Warrants Aug 2018 A | 100,000,000 | 2018/04/27 | 2018/08/28 | 21.00 |

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| 12808 | Credit Suisse AG – Tencent European Wts Jul 2018 Q | 250,000,000 | 2018/04/27 | 2018/07/26 | 82.50 |
| 12815 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Sep 2018 D | 70,000,000 | 2018/04/27 | 2018/09/26 | 10.50 |
| 12816 | Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2018 C | 150,000,000 | 2018/04/27 | 2018/09/27 | 22.50 |
| 12813 | Goldman Sachs SP (Asia) – Tencent European Wt Oct 2018 A | 150,000,000 | 2018/04/27 | 2018/10/19 | 52.80 |
| 12810 | Goldman Sachs SP (Asia) – Tencent European Wt Dec 2018 B | 150,000,000 | 2018/04/27 | 2018/12/24 | 53.85 |
| 12760 | Haitong Int'l Sec – CAM CSI300 European Warrants Sep 2018 A | 68,000,000 | 2018/04/27 | 2018/09/24 | 10.20 |
| 12753 | Haitong Int'l Sec – BOC HK European Warrants Sep 2018 A | 68,000,000 | 2018/04/27 | 2018/09/28 | 11.56 |
| 12758 | Haitong Int'l Sec – BOC HK European Warrants Sep 2018 B | 50,000,000 | 2018/04/27 | 2018/09/24 | 11.50 |
| 12759 | Haitong Int'l Sec – BOC HK European Warrants Oct 2018 A | 72,000,000 | 2018/04/27 | 2018/10/26 | 10.80 |
| 12744 | Haitong Int'l Sec – CC Bank European Warrants Jul 2018 F | 100,000,000 | 2018/04/27 | 2018/07/30 | 30.00 |
| 12745 | Haitong Int'l Sec – CC Bank European Warrants Jul 2018 G | 100,000,000 | 2018/04/27 | 2018/07/30 | 16.00 |
| 12746 | Haitong Int'l Sec – CC Bank European Warrants Aug 2018 F | 100,000,000 | 2018/04/27 | 2018/08/28 | 17.00 |
| 12756 | Haitong Int'l Sec – CITIC Sec European Warrants Nov 2018 A | 68,000,000 | 2018/04/27 | 2018/11/27 | 10.20 |
| 12749 | Haitong Int'l Sec – Ch Southern Air European Warrants Oct 18 A | 20,000,000 | 2018/04/27 | 2018/10/29 | 12.20 |
| 12754 | Haitong Int'l Sec – CSDC European Warrants Oct 2018 A | 60,000,000 | 2018/04/27 | 2018/10/08 | 11.40 |
| 12755 | Haitong Int'l Sec – CSDC European Warrants Feb 2019 A | 40,000,000 | 2018/04/27 | 2019/02/28 | 10.00 |
| 12734 | Haitong Int'l Sec – Geely Auto European Warrants Aug 2018 B | 50,000,000 | 2018/04/27 | 2018/08/24 | 11.50 |
| 12737 | Haitong Int'l Sec – Geely Auto European Warrants Oct 2018 B | 50,000,000 | 2018/04/27 | 2018/10/29 | 12.50 |
| 12738 | Haitong Int'l Sec – HKEX European Warrants Sep 2018 I | 150,000,000 | 2018/04/27 | 2018/09/20 | 24.00 |
| 12739 | Haitong Int'l Sec – HKEX European Warrants Oct 2018 F | 150,000,000 | 2018/04/27 | 2018/10/29 | 22.50 |
| 12750 | Haitong Int'l Sec – SUNAC European Warrants Sep 2018 A | 20,000,000 | 2018/04/27 | 2018/09/21 | 10.40 |
| 12752 | Haitong Int'l Sec – SUNAC European Warrants Sep 2018 B | 30,000,000 | 2018/04/27 | 2018/09/28 | 10.50 |
| 12751 | Haitong Int'l Sec – SUNAC European Warrants Nov 2018 B | 20,000,000 | 2018/04/27 | 2018/11/28 | 11.60 |
| 12757 | Haitong Int'l Sec – Tencent European Put Warrants Sep 2018 I | 150,000,000 | 2018/04/27 | 2018/09/21 | 27.00 |
| 12818 | J P Morgan SP BV – AAC Tech European Warrants Oct 2018 B | 100,000,000 | 2018/04/27 | 2018/10/02 | 15.30 |
| 12817 | J P Morgan SP BV – AAC Tech European Warrants Feb 2019 A | 100,000,000 | 2018/04/27 | 2019/02/11 | 15.60 |
| 12831 | J P Morgan SP BV – AAC Tech European Put Warrants Mar 2019 A | 60,000,000 | 2018/04/27 | 2019/03/29 | 15.06 |
| 12828 | J P Morgan SP BV – CAM CSI300 European Warrants Oct 2018 B | 100,000,000 | 2018/04/27 | 2018/10/31 | 25.10 |
| 12829 | J P Morgan SP BV – China Huarong European Warrants Nov 2018 A | 60,000,000 | 2018/04/27 | 2018/11/02 | 24.48 |
| 12819 | J P Morgan SP BV – Tencent European Warrants Sep 2018 E | 250,000,000 | 2018/04/27 | 2018/09/28 | 54.25 |
| 12821 | J P Morgan SP BV – Tencent European Warrants Oct 2018 C | 250,000,000 | 2018/04/27 | 2018/10/31 | 39.25 |
| 12825 | J P Morgan SP BV – Tencent European Warrants Oct 2018 D | 250,000,000 | 2018/04/27 | 2018/10/31 | 37.50 |
| 12826 | J P Morgan SP BV – Tencent European Warrants Dec 2018 B | 250,000,000 | 2018/04/27 | 2018/12/31 | 38.00 |
| 12729 | Macquarie Bank Ltd. – CSPC Pharma European Warrants Mar 19 A | 26,000,000 | 2018/04/27 | 2019/03/18 | 10.09 |
| 12733 | Macquarie Bank Ltd. – FIH Mobile European Warrants Mar 2019 A | 36,000,000 | 2018/04/27 | 2019/03/04 | 10.04 |
| 12780 | Macquarie Bank Ltd. – HTSC European Warrants Apr 2019 A | 33,500,000 | 2018/04/27 | 2019/04/16 | 10.05 |
| 12782 | Macquarie Bank Ltd. – Skyworth Digital European Wts Apr 19 A | 12,000,000 | 2018/04/27 | 2019/04/16 | 10.04 |
| 12795 | SG Issuer – CSOP A50 ETF European Warrants Oct 2018 B | 20,000,000 | 2018/04/27 | 2018/10/31 | 24.00 |
| 12798 | SG Issuer – CSOP A50 ETF European Warrants Oct 2018 C | 20,000,000 | 2018/04/27 | 2018/10/31 | 13.40 |
| 12800 | SG Issuer – A50 China European Warrants Oct 2018 C | 20,000,000 | 2018/04/27 | 2018/10/31 | 20.40 |
| 12792 | SG Issuer – Tencent European Warrants Jul 2018 H | 200,000,000 | 2018/04/27 | 2018/07/31 | 47.00 |
| 12793 | SG Issuer – Tencent European Warrants Sep 2018 H | 200,000,000 | 2018/04/27 | 2018/09/28 | 60.00 |
| 12801 | SG Issuer – Tencent European Warrants Sep 2018 I | 200,000,000 | 2018/04/27 | 2018/09/28 | 78.00 |
| 12777 | UBS AG – AAC Tech European Warrants Oct 2018 A | 70,000,000 | 2018/04/27 | 2018/10/03 | 11.20 |

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| Code | Derivative warrants | No. of issue | | | Amount raised (HK\$ mil.) |
|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 12779 | UBS AG – China Shenhua European Warrants Sep 2018 B | 70,000,000 | 2018/04/27 | 2018/09/18 | 11.13 |
| 12778 | UBS AG – Sunny Optical European Warrants Aug 2018 B | 50,000,000 | 2018/04/27 | 2018/08/28 | 11.25 |
| 12775 | Bank Vontobel – AAC Tech European Warrants Oct 2018 B | 60,000,000 | 2018/04/27 | 2018/10/02 | 10.26 |
| 12773 | Bank Vontobel – Agricultural Bank European Warrants Dec 18 A | 30,000,000 | 2018/04/27 | 2018/12/03 | 10.80 |
| 12768 | Bank Vontobel – China Overseas European Warrants Oct 2018 B | 60,000,000 | 2018/04/27 | 2018/10/26 | 15.18 |
| 12764 | Bank Vontobel – CSOP A50 ETF European Warrants Sep 2018 A | 40,000,000 | 2018/04/27 | 2018/09/11 | 16.04 |
| 12762 | Bank Vontobel – CSOP A50 ETF European Warrants Oct 2018 B | 40,000,000 | 2018/04/27 | 2018/10/31 | 34.00 |
| 12763 | Bank Vontobel – CSOP A50 ETF European Warrants Nov 2018 A | 40,000,000 | 2018/04/27 | 2018/11/06 | 50.04 |
| 12772 | Bank Vontobel – China Shenhua European Warrants Dec 2018 B | 60,000,000 | 2018/04/27 | 2018/12/27 | 10.14 |
| 12776 | Bank Vontobel – Ping An European Put Warrants Oct 2018 C | 40,000,000 | 2018/04/27 | 2018/10/29 | 32.80 |
| 12848 | BOCI Asia Ltd. – AAC Tech European Warrants Oct 2018 B | 100,000,000 | 2018/04/30 | 2018/10/04 | 21.30 |
| 12847 | BOCI Asia Ltd. – Bank of China European Warrants Oct 2018 B | 80,000,000 | 2018/04/30 | 2018/10/15 | 24.08 |
| 12865 | Credit Suisse AG – AAC Tech European Warrants Sep 2018 B | 100,000,000 | 2018/04/30 | 2018/09/28 | 15.00 |
| 12866 | Credit Suisse AG – Tencent European Wts Sep 2018 D | 250,000,000 | 2018/04/30 | 2018/09/24 | 45.00 |
| 12869 | Credit Suisse AG – Tencent European Wts Oct 2018 C | 250,000,000 | 2018/04/30 | 2018/10/29 | 75.00 |
| 12859 | HK Bank – AAC Tech European Warrants Sep 2018 C | 80,000,000 | 2018/04/30 | 2018/09/28 | 12.00 |
| 12851 | HK Bank – CNOOC European Warrants Oct 2018 A | 40,000,000 | 2018/04/30 | 2018/10/31 | 16.00 |
| 12857 | HK Bank – CSOP A50 ETF European Warrants Oct 2018 A | 20,000,000 | 2018/04/30 | 2018/10/31 | 14.00 |
| 12849 | HK Bank – HSI European Warrants Oct 2018 C | 150,000,000 | 2018/04/30 | 2018/10/30 | 22.50 |
| 12850 | HK Bank – HSI European Put Warrants Sep 2018 G | 150,000,000 | 2018/04/30 | 2018/09/27 | 22.50 |
| 12852 | HK Bank – Lenovo European Warrants Oct 2018 A | 40,000,000 | 2018/04/30 | 2018/10/31 | 10.00 |
| 12834 | Haitong Int'l Sec – BYD Electronic European Wts Oct 2018 A | 40,000,000 | 2018/04/30 | 2018/10/30 | 10.80 |
| 12835 | Haitong Int'l Sec – BYD Electronic European Wts Nov 2018 A | 50,000,000 | 2018/04/30 | 2018/11/30 | 12.50 |
| 12903 | Haitong Int'l Sec – China Life European Warrants Sep 2018 E | 80,000,000 | 2018/04/30 | 2018/09/28 | 12.00 |
| 12905 | Haitong Int'l Sec – China Life European Warrants Mar 2019 A | 80,000,000 | 2018/04/30 | 2019/03/27 | 12.00 |
| 12912 | Haitong Int'l Sec – China Life European Warrants Jul 2019 A | 80,000,000 | 2018/04/30 | 2019/07/02 | 25.60 |
| 12913 | Haitong Int'l Sec – China Life European Warrants Jul 2019 B | 80,000,000 | 2018/04/30 | 2019/07/31 | 20.80 |
| 12838 | Haitong Int'l Sec – CSOP A50 ETF European Warrants Nov 2018 A | 20,000,000 | 2018/04/30 | 2018/11/20 | 29.20 |
| 12841 | Haitong Int'l Sec – CSOP A50 ETF European Warrants Jun 2019 B | 200,000,000 | 2018/04/30 | 2019/06/21 | 32.00 |
| 12910 | Haitong Int'l Sec – GAC European Put Warrants Jan 2019 A | 50,000,000 | 2018/04/30 | 2019/01/18 | 12.50 |
| 12895 | Haitong Int'l Sec – Galaxy Ent European Put Wts Nov 2018 B | 38,000,000 | 2018/04/30 | 2018/11/08 | 12.16 |
| 12896 | Haitong Int'l Sec – HKEX European Put Warrants Oct 2018 G | 80,000,000 | 2018/04/30 | 2018/10/26 | 13.60 |
| 12893 | Haitong Int'l Sec – HSBC European Put Warrants Aug 2018 C | 100,000,000 | 2018/04/30 | 2018/08/31 | 24.00 |
| 12902 | Haitong Int'l Sec – Ping An European Put Warrants Oct 2018 B | 80,000,000 | 2018/04/30 | 2018/10/30 | 31.20 |
| 12901 | Haitong Int'l Sec – Ping An European Put Warrants Dec 2018 A | 80,000,000 | 2018/04/30 | 2018/12/28 | 24.80 |
| 12908 | Haitong Int'l Sec – Tencent European Put Warrants Jul 2018 M | 100,000,000 | 2018/04/30 | 2018/07/30 | 25.00 |
| 12906 | Haitong Int'l Sec – Tencent European Put Warrants Sep 2018 J | 200,000,000 | 2018/04/30 | 2018/09/21 | 52.00 |
| 12871 | SG Issuer – AAC Tech European Warrants Sep 2018 A | 70,000,000 | 2018/04/30 | 2018/09/28 | 10.50 |
| 12873 | SG Issuer – AAC Tech European Warrants Dec 2018 B | 60,000,000 | 2018/04/30 | 2018/12/31 | 11.10 |
| 12874 | SG Issuer – Minsheng Bank European Warrants Nov 2018 A | 15,000,000 | 2018/04/30 | 2018/11/30 | 10.20 |
| 12887 | SG Issuer – HKEX European Warrants Jun 2019 B | 150,000,000 | 2018/04/30 | 2019/06/28 | 37.50 |
| 12881 | SG Issuer – HKEX European Put Warrants Nov 2018 A | 100,000,000 | 2018/04/30 | 2018/11/30 | 15.00 |
| 12878 | SG Issuer – KECL European Warrants Dec 2018 A | 18,000,000 | 2018/04/30 | 2018/12/31 | 10.98 |
| 12877 | SG Issuer – Kingdee Intl European Warrants Jul 2019 A | 40,000,000 | 2018/04/30 | 2019/07/31 | 10.00 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 12891 | SG Issuer – Lenovo European Warrants Oct 2018 A | 36,000,000 | 2018/04/30 | 2018/10/31 | 10.08 |
| 12879 | SG Issuer – Meitu European Warrants Dec 2018 A | 40,000,000 | 2018/04/30 | 2018/12/31 | 10.00 |
| 12842 | Bank Vontobel – Geely Auto European Warrants Nov 2018 A | 40,000,000 | 2018/04/30 | 2018/11/02 | 12.80 |
| 12843 | Bank Vontobel – HKEX European Put Warrants Nov 2018 C | 100,000,000 | 2018/04/30 | 2018/11/01 | 21.10 |
| 12844 | Bank Vontobel – HKEX European Put Warrants Dec 2018 D | 100,000,000 | 2018/04/30 | 2018/12/28 | 17.90 |
| 12845 | Bank Vontobel – HSBC European Put Warrants Nov 2018 C | 40,000,000 | 2018/04/30 | 2018/11/05 | 23.56 |
| 12927 | BOCI Asia Ltd. – CC Bank European Warrants Aug 2018 D | 70,000,000 | 2018/05/02 | 2018/08/27 | 14.00 |
| 12925 | BOCI Asia Ltd. – CC Bank European Warrants Oct 2018 A | 70,000,000 | 2018/05/02 | 2018/10/24 | 29.96 |
| 12937 | BOCI Asia Ltd. – CSPC Pharma European Warrants Oct 2018 A | 60,000,000 | 2018/05/02 | 2018/10/22 | 10.32 |
| 12934 | BOCI Asia Ltd. – Henderson Land European Warrants Dec 2018 A | 40,000,000 | 2018/05/02 | 2018/12/24 | 11.16 |
| 12936 | BOCI Asia Ltd. – Link REIT European Warrants Oct 2018 A | 70,000,000 | 2018/05/02 | 2018/10/29 | 10.85 |
| 12940 | BOCI Asia Ltd. – Sino Biopharm European Warrants Sep 2018 A | 50,000,000 | 2018/05/02 | 2018/09/24 | 10.05 |
| 12939 | BOCI Asia Ltd. – Sinopharm European Warrants Nov 2018 A | 50,000,000 | 2018/05/02 | 2018/11/26 | 27.80 |
| 12930 | BOCI Asia Ltd. – Yanzhou Coal European Warrants Sep 2018 C | 70,000,000 | 2018/05/02 | 2018/09/24 | 10.50 |
| 12971 | Credit Suisse AG – Lenovo European Warrants Nov 2018 A | 50,000,000 | 2018/05/02 | 2018/11/01 | 14.00 |
| 12972 | Credit Suisse AG – Meitu European Warrants Nov 2018 A | 60,000,000 | 2018/05/02 | 2018/11/30 | 15.00 |
| 12922 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Aug 2018 B | 70,000,000 | 2018/05/02 | 2018/08/02 | 10.50 |
| 12921 | Goldman Sachs SP (Asia) – Anhui Conch European Wts Sep 2018 A | 50,000,000 | 2018/05/02 | 2018/09/13 | 10.00 |
| 12979 | Goldman Sachs SP (Asia) – BOC HK European Wts Sep 2018 A | 60,000,000 | 2018/05/02 | 2018/09/27 | 10.08 |
| 12976 | Goldman Sachs SP (Asia) – BOCL European Warrants Oct 2018 D | 80,000,000 | 2018/05/02 | 2018/10/12 | 19.20 |
| 12980 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2018 D | 80,000,000 | 2018/05/02 | 2018/09/26 | 12.00 |
| 12975 | Goldman Sachs SP (Asia) – HKEX European Warrants Dec 2018 D | 150,000,000 | 2018/05/02 | 2018/12/20 | 27.30 |
| 12924 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Aug 2018 B | 70,000,000 | 2018/05/02 | 2018/08/01 | 10.50 |
| 12917 | Goldman Sachs SP (Asia) – Tencent European Wt Sep 2018 J | 150,000,000 | 2018/05/02 | 2018/09/24 | 45.30 |
| 12919 | Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2018 K | 100,000,000 | 2018/05/02 | 2018/09/21 | 18.30 |
| 12973 | Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Sep 2018 C | 70,000,000 | 2018/05/02 | 2018/09/20 | 10.50 |
| 12948 | Macquarie Bank Ltd. – China Life European Wts Nov 2018 B | 13,000,000 | 2018/05/02 | 2018/11/01 | 10.11 |
| 12949 | Macquarie Bank Ltd. – HSBC European Warrants Nov 2018 B | 10,200,000 | 2018/05/02 | 2018/11/01 | 10.06 |
| 12943 | Macquarie Bank Ltd. – MTRC European Warrants Nov 2018 A | 29,000,000 | 2018/05/02 | 2018/11/02 | 10.18 |
| 12914 | Macquarie Bank Ltd. – Ping An European Warrants Nov 2018 B | 20,000,000 | 2018/05/02 | 2018/11/02 | 14.14 |
| 12915 | Macquarie Bank Ltd. – Ping An European Warrants Nov 2018 C | 34,000,000 | 2018/05/02 | 2018/11/02 | 10.20 |
| 12964 | UBS AG – Anta Sports European Warrants Oct 2018 A | 40,000,000 | 2018/05/02 | 2018/10/26 | 16.56 |
| 12958 | UBS AG – BankComm European Warrants Sep 2018 A | 45,000,000 | 2018/05/02 | 2018/09/28 | 10.94 |
| 12967 | UBS AG – Chinas Cement European Warrants Oct 2018 A | 30,000,000 | 2018/05/02 | 2018/10/26 | 19.80 |
| 12956 | UBS AG – HSCEI ETF European Warrants Nov 2018 A | 50,000,000 | 2018/05/02 | 2018/11/02 | 22.50 |
| 12959 | UBS AG – Huaneng Power European Warrants Nov 2018 A | 20,000,000 | 2018/05/02 | 2018/11/19 | 10.02 |
| 12957 | UBS AG – Lenovo European Warrants Nov 2018 A | 40,000,000 | 2018/05/02 | 2018/11/01 | 11.24 |
| 12950 | UBS AG – Tencent European Warrants Oct 2018 B | 300,000,000 | 2018/05/02 | 2018/10/19 | 109.80 |
| 12951 | UBS AG – Tencent European Warrants Oct 2018 C | 300,000,000 | 2018/05/02 | 2018/10/15 | 141.90 |
| 12955 | UBS AG – Tencent European Warrants Nov 2018 A | 300,000,000 | 2018/05/02 | 2018/11/01 | 76.50 |
| 13006 | Credit Suisse AG – CC Bank European Warrants Sep 2018 A | 50,000,000 | 2018/05/03 | 2018/09/28 | 13.00 |
| 13015 | Credit Suisse AG – Galaxy Ent European Warrants Aug 2018 A | 50,000,000 | 2018/05/03 | 2018/08/23 | 20.00 |
| 13008 | Credit Suisse AG – Sinopharm European Warrants Nov 2018 A | 25,000,000 | 2018/05/03 | 2018/11/16 | 13.00 |
| 12998 | Credit Suisse AG – Tencent European Wts Aug 2018 B | 250,000,000 | 2018/05/03 | 2018/08/02 | 47.50 |

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|---------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 13000 | Credit Suisse AG – Tencent European Wts Sep 2018 E | 250,000,000 | 2018/05/03 | 2018/09/17 | 37.50 |
| 13001 | Credit Suisse AG – Tencent European Wts Sep 2018 F | 250,000,000 | 2018/05/03 | 2018/09/24 | 37.50 |
| 13039 | Goldman Sachs SP (Asia) – Ping An European Wts Aug 2018 G | 100,000,000 | 2018/05/03 | 2018/08/24 | 35.00 |
| 13038 | Goldman Sachs SP (Asia) – Ping An European Wts Sep 2018 F | 100,000,000 | 2018/05/03 | 2018/09/20 | 45.50 |
| 13037 | Goldman Sachs SP (Asia) – Tencent European Wt Aug 2018 M | 150,000,000 | 2018/05/03 | 2018/08/03 | 32.40 |
| 13033 | Goldman Sachs SP (Asia) – Tencent European Wt Oct 2018 B | 150,000,000 | 2018/05/03 | 2018/10/12 | 48.60 |
| 12988 | Haitong Int'l Sec – AAC Tech European Warrants Sep 2018 B | 100,000,000 | 2018/05/03 | 2018/09/26 | 15.00 |
| 12991 | Haitong Int'l Sec – AAC Tech European Warrants Sep 2018 C | 100,000,000 | 2018/05/03 | 2018/09/21 | 18.00 |
| 12990 | Haitong Int'l Sec – AAC Tech European Warrants Nov 2018 A | 100,000,000 | 2018/05/03 | 2018/11/26 | 15.00 |
| 12985 | Haitong Int'l Sec – AAC Tech European Put Warrants Mar 2019 A | 100,000,000 | 2018/05/03 | 2019/03/25 | 25.00 |
| 12983 | Haitong Int'l Sec – Sinopec Corp European Wts Nov 2018 C | 40,000,000 | 2018/05/03 | 2018/11/05 | 13.60 |
| 12981 | Haitong Int'l Sec – Sinopec Corp European Put Wts Nov 18 B | 25,000,000 | 2018/05/03 | 2018/11/05 | 11.25 |
| 13032 | J P Morgan SP BV – CM Bank European Warrants Nov 2018 A | 60,000,000 | 2018/05/03 | 2018/11/30 | 15.18 |
| 13026 | J P Morgan SP BV – HKEX European Warrants Sep 2018 G | 200,000,000 | 2018/05/03 | 2018/09/20 | 30.40 |
| 13030 | J P Morgan SP BV – Henderson Land European Wt Nov 2018 A | 60,000,000 | 2018/05/03 | 2018/11/30 | 15.12 |
| 13031 | J P Morgan SP BV – Huaneng Power European Wts Nov 2018 A | 60,000,000 | 2018/05/03 | 2018/11/05 | 17.82 |
| 13018 | J P Morgan SP BV – Kingsoft European Warrants Aug 2018 A | 70,000,000 | 2018/05/03 | 2018/08/24 | 11.13 |
| 13024 | J P Morgan SP BV – Tencent European Warrants Aug 2018 J | 250,000,000 | 2018/05/03 | 2018/08/03 | 44.25 |
| 13019 | J P Morgan SP BV – Tencent European Warrants Oct 2018 E | 250,000,000 | 2018/05/03 | 2018/10/19 | 91.25 |
| 13017 | J P Morgan SP BV – Tracker Fund European Warrants Nov 2018 A | 100,000,000 | 2018/05/03 | 2018/11/12 | 15.30 |
| 12995 | Macquarie Bank Ltd. – BYD Electronic European Wts Mar 2019 A | 35,000,000 | 2018/05/03 | 2019/03/15 | 10.26 |
| 12992 | Macquarie Bank Ltd. – Chinares Cement European Wt Apr 2019 A | 9,000,000 | 2018/05/03 | 2019/04/15 | 11.13 |
| 18888 # | J P Morgan SP BV – Ping An European Warrants Jun 2018 B | 150,000,000 | 2018/05/03 | 2018/06/29 | 14.10 |
| 13062 | Credit Suisse AG – Geely Auto European Warrants Oct 2018 B | 80,000,000 | 2018/05/04 | 2018/10/18 | 20.00 |
| 13063 | Credit Suisse AG – HKEX European Warrants Nov 2018 D | 200,000,000 | 2018/05/04 | 2018/11/05 | 50.00 |
| 13065 | Credit Suisse AG – Ping An European Put Warrants Nov 2018 C | 70,000,000 | 2018/05/04 | 2018/11/05 | 17.50 |
| 13066 | Credit Suisse AG – Tencent Euro Put Wts Nov 2018 A | 100,000,000 | 2018/05/04 | 2018/11/05 | 25.00 |
| 13073 | Goldman Sachs SP (Asia) – CC Bank European Wts Oct 2018 A | 80,000,000 | 2018/05/04 | 2018/10/24 | 22.96 |
| 13074 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2018 E | 80,000,000 | 2018/05/04 | 2018/09/26 | 12.00 |
| 13075 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Aug 2018 B | 80,000,000 | 2018/05/04 | 2018/08/23 | 20.56 |
| 13079 | Goldman Sachs SP (Asia) – HSI European Warrants Oct 2018 B | 150,000,000 | 2018/05/04 | 2018/10/30 | 22.50 |
| 13076 | Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2018 A | 150,000,000 | 2018/05/04 | 2018/10/30 | 22.50 |
| 13072 | Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2018 L | 100,000,000 | 2018/05/04 | 2018/09/24 | 15.00 |
| 13049 | HK Bank – Ping An European Warrants Aug 2018 B | 80,000,000 | 2018/05/04 | 2018/08/27 | 40.00 |
| 13050 | HK Bank – Tencent European Warrants Aug 2018 E | 150,000,000 | 2018/05/04 | 2018/08/09 | 30.00 |
| 13048 | HK Bank – Tencent European Warrants Oct 2018 B | 150,000,000 | 2018/05/04 | 2018/10/12 | 52.50 |
| 13060 | J P Morgan SP BV – Geely Auto European Put Warrants Dec 18 B | 100,000,000 | 2018/05/04 | 2018/12/31 | 25.10 |
| 13059 | J P Morgan SP BV – HSI European Warrants Dec 2018 C | 300,000,000 | 2018/05/04 | 2018/12/28 | 75.60 |
| 13046 | Macquarie Bank Ltd. – CC Bank European Warrants Nov 2018 A | 26,000,000 | 2018/05/04 | 2018/11/05 | 10.48 |
| 13054 | Macquarie Bank Ltd. – China Coal European Put Wts Apr 19 A | 22,000,000 | 2018/05/04 | 2019/04/02 | 10.23 |
| 13055 | Macquarie Bank Ltd. – Ch Oilfield European Wts Jan 2019 A | 6,400,000 | 2018/05/04 | 2019/01/16 | 10.11 |
| 13045 | Macquarie Bank Ltd. – Geely Auto European Wts Dec 2018 A | 35,000,000 | 2018/05/04 | 2018/12/04 | 10.05 |
| 13056 | Macquarie Bank Ltd. – PICC Group European Put Wts Jan 2019 B | 26,000,000 | 2018/05/04 | 2019/01/03 | 10.01 |
| 13080 | SG Issuer – Brilliance Chi European Warrants Nov 2018 B | 40,000,000 | 2018/05/04 | 2018/11/05 | 10.00 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | | |
| 13083 | SG Issuer – China Cinda European Warrants Nov 2018 A | 40,000,000 | 2018/05/04 | 2018/11/30 | 10.00 |
| 13085 | SG Issuer – HKEX European Warrants Oct 2018 D | 150,000,000 | 2018/05/04 | 2018/10/31 | 38.25 |
| 13086 | SG Issuer – Sunny Optical European Warrants Nov 2018 A | 60,000,000 | 2018/05/04 | 2018/11/30 | 15.00 |
| 13087 | SG Issuer – Tencent European Warrants Aug 2018 F | 200,000,000 | 2018/05/04 | 2018/08/03 | 43.00 |
| 13090 | SG Issuer – Tencent European Warrants Oct 2018 A | 200,000,000 | 2018/05/04 | 2018/10/31 | 72.00 |
| 13091 | SG Issuer – Tencent European Warrants Oct 2018 B | 200,000,000 | 2018/05/04 | 2018/10/31 | 48.00 |
| 13068 | UBS AG – Air China European Warrants Feb 2019 A | 60,000,000 | 2018/05/04 | 2019/02/21 | 10.20 |
| 13069 | UBS AG – China Overseas European Warrants Sep 2018 A | 70,000,000 | 2018/05/04 | 2018/09/03 | 10.50 |
| 13071 | UBS AG – China Shenhua European Warrants Nov 2018 A | 70,000,000 | 2018/05/04 | 2018/11/23 | 10.50 |
| 13067 | UBS AG – HSI European Warrants Sep 2018 D | 200,000,000 | 2018/05/04 | 2018/09/27 | 31.00 |
| 13053 | Bank Vontobel – AAC Tech European Warrants Sep 2018 A | 60,000,000 | 2018/05/04 | 2018/09/28 | 10.14 |
| 13044 | Bank Vontobel – COSCO Sh Hold European Warrants Dec 2018 A | 15,000,000 | 2018/05/04 | 2018/12/27 | 10.35 |
| 13040 | Bank Vontobel – Hang Seng Bank European Warrants Dec 2018 A | 20,000,000 | 2018/05/04 | 2018/12/03 | 13.18 |
| 13043 | Bank Vontobel – Lenovo European Warrants Dec 2018 A | 40,000,000 | 2018/05/04 | 2018/12/27 | 15.96 |
| 13058 | Bank Vontobel – Sunny Optical European Warrants Aug 2018 C | 80,000,000 | 2018/05/04 | 2018/08/28 | 17.84 |
| 13119 | BNP Paribas Issuance B.V. – Ping An European Wt Apr 2019 B | 40,000,000 | 2018/05/07 | 2019/04/02 | 22.80 |
| 13118 | BNP Paribas Issuance B.V. – Ping An Eur Put Wts Oct 2018 G | 40,000,000 | 2018/05/07 | 2018/10/03 | 26.40 |
| 13120 | BNP Paribas Issuance B.V. – Tencent European Wts Apr 2019 E | 80,000,000 | 2018/05/07 | 2019/04/02 | 36.80 |
| 13121 | BNP Paribas Issuance B.V. – Tencent Eu Put Wt Apr 2019 F | 80,000,000 | 2018/05/07 | 2019/04/02 | 22.40 |
| 13134 | Credit Suisse AG – Air China European Warrants Feb 2019 A | 50,000,000 | 2018/05/07 | 2019/02/21 | 10.00 |
| 13135 | Credit Suisse AG – Geely Auto European Put Wts Nov 2018 B | 40,000,000 | 2018/05/07 | 2018/11/30 | 10.00 |
| 13133 | Credit Suisse AG – Ping An European Warrants Aug 2018 G | 150,000,000 | 2018/05/07 | 2018/08/06 | 60.00 |
| 13169 | Goldman Sachs SP (Asia) – Agricultural Bank Euro Wts Sep 18 A | 80,000,000 | 2018/05/07 | 2018/09/20 | 12.00 |
| 13166 | Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2018 F | 150,000,000 | 2018/05/07 | 2018/10/26 | 31.50 |
| 13167 | Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2018 G | 150,000,000 | 2018/05/07 | 2018/10/25 | 23.40 |
| 13165 | Goldman Sachs SP (Asia) – Ping An European Wts Aug 2018 H | 100,000,000 | 2018/05/07 | 2018/08/20 | 30.50 |
| 13168 | Goldman Sachs SP (Asia) – Ping An Euro Put Wts Sep 2018 G | 60,000,000 | 2018/05/07 | 2018/09/24 | 13.20 |
| 13107 | HK Bank – Geely Auto European Warrants Nov 2018 A | 80,000,000 | 2018/05/07 | 2018/11/06 | 20.00 |
| 13106 | HK Bank – HKEX European Put Warrants Oct 2018 B | 80,000,000 | 2018/05/07 | 2018/10/29 | 12.00 |
| 13126 | J P Morgan SP BV – CSOP A50 ETF European Warrants Oct 2018 B | 100,000,000 | 2018/05/07 | 2018/10/31 | 62.70 |
| 13129 | J P Morgan SP BV – A50 China European Warrants Nov 2018 A | 100,000,000 | 2018/05/07 | 2018/11/06 | 69.70 |
| 13123 | J P Morgan SP BV – Galaxy Ent European Warrants Aug 2018 A | 100,000,000 | 2018/05/07 | 2018/08/30 | 29.70 |
| 13131 | J P Morgan SP BV – Ping An European Warrants Sep 2018 E | 150,000,000 | 2018/05/07 | 2018/09/18 | 30.90 |
| 13130 | J P Morgan SP BV – Ping An European Warrants Oct 2018 C | 150,000,000 | 2018/05/07 | 2018/10/02 | 63.00 |
| 13132 | J P Morgan SP BV – Ping An European Put Warrants Oct 2018 D | 150,000,000 | 2018/05/07 | 2018/10/05 | 40.65 |
| 13122 | J P Morgan SP BV – Tencent European Warrants Aug 2018 K | 250,000,000 | 2018/05/07 | 2018/08/06 | 46.00 |
| 13109 | Macquarie Bank Ltd. – AIA European Put Warrants Dec 2018 A | 25,000,000 | 2018/05/07 | 2018/12/04 | 10.20 |
| 13099 | Macquarie Bank Ltd. – CHALCO European Warrants Dec 2018 A | 16,500,000 | 2018/05/07 | 2018/12/04 | 10.05 |
| 13098 | Macquarie Bank Ltd. – Country Garden European Put Wts Jan 19 C | 36,000,000 | 2018/05/07 | 2019/01/03 | 10.01 |
| 13113 | Macquarie Bank Ltd. – Henganintl European Wts Jun 2019 A | 12,000,000 | 2018/05/07 | 2019/06/04 | 10.93 |
| 13105 | Macquarie Bank Ltd. – HN Renewables European Wts Jun 2019 A | 16,800,000 | 2018/05/07 | 2019/06/18 | 10.01 |
| 13101 | Macquarie Bank Ltd. – Kingsoft European Put Warrants Jan 19 A | 36,000,000 | 2018/05/07 | 2019/01/03 | 10.12 |
| 13136 | SG Issuer – Ping An European Warrants Sep 2018 E | 80,000,000 | 2018/05/07 | 2018/09/28 | 54.40 |
| 13151 | SG Issuer – Ping An European Warrants Oct 2018 A | 80,000,000 | 2018/05/07 | 2018/10/31 | 52.80 |

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| | | (units) | Listing date | Expiry | |
| 13152 | SG Issuer – Ping An European Warrants Sep 2019 A | 500,000,000 | 2018/05/07 | 2019/09/30 | 75.00 |
| 13147 | SG Issuer – Ping An European Put Warrants Sep 2018 F | 50,000,000 | 2018/05/07 | 2018/09/28 | 11.50 |
| 13162 | UBS AG – Mengniu Dairy European Warrants Sep 2018 B | 40,000,000 | 2018/05/07 | 2018/09/21 | 10.40 |
| 13157 | UBS AG – Tencent European Warrants Sep 2018 F | 300,000,000 | 2018/05/07 | 2018/09/24 | 52.50 |
| 13158 | UBS AG – Tencent European Warrants Nov 2018 C | 300,000,000 | 2018/05/07 | 2018/11/27 | 75.30 |
| 13153 | UBS AG – Tencent European Put Warrants Nov 2018 B | 300,000,000 | 2018/05/07 | 2018/11/06 | 98.70 |
| 13095 | Bank Vontobel – Galaxy Ent European Warrants Oct 2018 A | 40,000,000 | 2018/05/07 | 2018/10/02 | 18.80 |
| 13096 | Bank Vontobel – Ping An European Warrants Nov 2018 D | 40,000,000 | 2018/05/07 | 2018/11/07 | 31.84 |
| 13092 | Bank Vontobel – Ping An European Put Warrants Nov 2018 C | 40,000,000 | 2018/05/07 | 2018/11/27 | 21.04 |
| 13093 | Bank Vontobel – Tencent European Warrants Aug 2018 H | 100,000,000 | 2018/05/07 | 2018/08/29 | 49.90 |
| 13094 | Bank Vontobel – Tencent European Put Warrants Dec 2018 B | 100,000,000 | 2018/05/07 | 2018/12/20 | 19.90 |
| 14302 # | J P Morgan SP BV – CAM CSI300 European Warrants Aug 2018 A | 150,000,000 | 2018/05/07 | 2018/08/31 | 10.80 |
| 13178 | BNP Paribas Issuance B.V. – Genscript Bio Euro Wts Dec 18 A | 40,000,000 | 2018/05/08 | 2018/12/04 | 24.40 |
| 13183 | Credit Suisse AG – BYD Company European Warrants Nov 2018 A | 25,000,000 | 2018/05/08 | 2018/11/09 | 18.75 |
| 13180 | Credit Suisse AG – China Lit European Warrants Oct 2019 A | 100,000,000 | 2018/05/08 | 2019/10/31 | 25.00 |
| 13181 | Credit Suisse AG – Hua Hong Semi European Warrants Nov 2018 A | 30,000,000 | 2018/05/08 | 2018/11/07 | 12.30 |
| 13207 | Goldman Sachs SP (Asia) – AIA European Put Wts Oct 2018 B | 60,000,000 | 2018/05/08 | 2018/10/24 | 11.76 |
| 13220 | Goldman Sachs SP (Asia) – Geely Auto European Wts Oct 2018 B | 80,000,000 | 2018/05/08 | 2018/10/18 | 16.08 |
| 13208 | Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2018 G | 70,000,000 | 2018/05/08 | 2018/08/31 | 10.50 |
| 13209 | Goldman Sachs SP (Asia) – Ping An European Wts Sep 2018 H | 120,000,000 | 2018/05/08 | 2018/09/26 | 51.12 |
| 13200 | J P Morgan SP BV – BYD Company European Warrants Nov 2018 A | 60,000,000 | 2018/05/08 | 2018/11/07 | 35.52 |
| 13203 | J P Morgan SP BV – China Lit European Warrants Nov 2018 A | 60,000,000 | 2018/05/08 | 2018/11/07 | 29.46 |
| 13201 | J P Morgan SP BV – CNBM European Warrants Sep 2019 A | 100,000,000 | 2018/05/08 | 2019/09/23 | 19.30 |
| 13197 | J P Morgan SP BV – Hang Seng Bank European Warrants Dec 18 A | 40,000,000 | 2018/05/08 | 2018/12/03 | 22.84 |
| 13199 | J P Morgan SP BV – SHK Ppt European Warrants Nov 2018 A | 60,000,000 | 2018/05/08 | 2018/11/07 | 24.00 |
| 13198 | J P Morgan SP BV – Stanchart European Warrants Aug 2018 B | 36,000,000 | 2018/05/08 | 2018/08/31 | 10.04 |
| 13205 | J P Morgan SP BV – Wharf Reic European Warrants Nov 2018 A | 60,000,000 | 2018/05/08 | 2018/11/07 | 23.58 |
| 13189 | Macquarie Bank Ltd. – China Lit European Warrants Mar 2019 A | 7,000,000 | 2018/05/08 | 2019/03/15 | 10.37 |
| 13190 | Macquarie Bank Ltd. – China Lit European Warrants Jul 2019 A | 7,000,000 | 2018/05/08 | 2019/07/15 | 11.11 |
| 13192 | Macquarie Bank Ltd. – Razer European Warrants Dec 2018 A | 16,000,000 | 2018/05/08 | 2018/12/14 | 10.18 |
| 13191 | Macquarie Bank Ltd. – Razer European Warrants Jul 2019 A | 12,500,000 | 2018/05/08 | 2019/07/15 | 10.31 |
| 13184 | Macquarie Bank Ltd. – Tencent European Put Wts Nov 2018 G | 25,000,000 | 2018/05/08 | 2018/11/27 | 10.00 |
| 13185 | Macquarie Bank Ltd. – Tencent European Put Wts Dec 2018 A | 7,000,000 | 2018/05/08 | 2018/12/03 | 14.80 |
| 13187 | Macquarie Bank Ltd. – Wharf Reic European Warrants Jul 2019 A | 9,000,000 | 2018/05/08 | 2019/07/19 | 10.21 |
| 13210 | SG Issuer – BOCOM European Warrants Dec 2018 A | 18,000,000 | 2018/05/08 | 2018/12/31 | 10.08 |
| 13213 | SG Issuer – BYD Company European Warrants Nov 2018 A | 13,000,000 | 2018/05/08 | 2018/11/30 | 10.79 |
| 13214 | SG Issuer – Ch Molybdenum European Warrants Nov 2018 A | 13,000,000 | 2018/05/08 | 2018/11/30 | 10.14 |
| 13215 | SG Issuer – HSBC European Warrants Nov 2018 B | 100,000,000 | 2018/05/08 | 2018/11/30 | 20.00 |
| 13216 | SG Issuer – ICBC European Warrants Sep 2018 B | 60,000,000 | 2018/05/08 | 2018/09/28 | 12.30 |
| 13218 | SG Issuer – Sands China European Warrants Nov 2018 A | 40,000,000 | 2018/05/08 | 2018/11/30 | 12.40 |
| 13219 | SG Issuer – Yanzhou Coal Eu Wt Dec 2018 C | 80,000,000 | 2018/05/08 | 2018/12/31 | 12.00 |
| 13175 | UBS AG – AIA European Warrants Sep 2018 B | 100,000,000 | 2018/05/08 | 2018/09/24 | 15.20 |
| 13176 | UBS AG – AIA European Put Warrants Oct 2018 B | 100,000,000 | 2018/05/08 | 2018/10/24 | 39.60 |
| 13172 | UBS AG – HSBC European Warrants Sep 2018 B | 100,000,000 | 2018/05/08 | 2018/09/20 | 24.20 |

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| 13173 | UBS AG – HSBC European Warrants Dec 2018 A | 100,000,000 | 2018/05/08 | 2018/12/27 | 15.40 |
| 13174 | UBS AG – HSBC European Put Warrants Nov 2018 A | 100,000,000 | 2018/05/08 | 2018/11/07 | 46.10 |
| 13177 | UBS AG – Yanzhou Coal European Wts Dec 2018 B | 100,000,000 | 2018/05/08 | 2018/12/20 | 15.00 |
| 13228 | Credit Suisse AG – China Lit European Warrants Nov 2018 A | 50,000,000 | 2018/05/09 | 2018/11/08 | 25.00 |
| 13232 | Credit Suisse AG – Crphoenixhealth European Warrants Feb 19 A | 40,000,000 | 2018/05/09 | 2019/02/28 | 10.00 |
| 13231 | Credit Suisse AG – Genscript Bio European Warrants Nov 2018 A | 30,000,000 | 2018/05/09 | 2018/11/08 | 16.50 |
| 13241 | Credit Suisse AG – HSBC European Wts Oct 2018 A | 100,000,000 | 2018/05/09 | 2018/10/26 | 15.00 |
| 13235 | Credit Suisse AG – Hang Seng Bank European Warrants Sep 19 A | 100,000,000 | 2018/05/09 | 2019/09/30 | 25.00 |
| 13242 | Credit Suisse AG – SHK Ppt European Warrants Aug 2018 A | 40,000,000 | 2018/05/09 | 2018/08/31 | 10.40 |
| 13257 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Aug 2018 C | 80,000,000 | 2018/05/09 | 2018/08/29 | 19.44 |
| 13254 | Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2018 B | 80,000,000 | 2018/05/09 | 2018/12/27 | 12.00 |
| 13262 | Goldman Sachs SP (Asia) – HSI European Warrants Sep 2018 D | 150,000,000 | 2018/05/09 | 2018/09/27 | 22.50 |
| 13258 | Goldman Sachs SP (Asia) – Tencent European Wt Oct 2018 C | 150,000,000 | 2018/05/09 | 2018/10/25 | 22.50 |
| 13261 | Goldman Sachs SP (Asia) – Tencent European Wt Nov 2018 A | 150,000,000 | 2018/05/09 | 2018/11/30 | 45.00 |
| 13247 | J P Morgan SP BV – Ch Oilfield Euro Wts Dec 2019 A | 60,000,000 | 2018/05/09 | 2019/12/31 | 15.00 |
| 13249 | J P Morgan SP BV – Evergrande European Warrants Oct 2018 A | 50,000,000 | 2018/05/09 | 2018/10/18 | 20.20 |
| 13248 | J P Morgan SP BV – HSBC European Warrants Sep 2018 D | 100,000,000 | 2018/05/09 | 2018/09/03 | 15.30 |
| 13227 | Macquarie Bank Ltd. – Henganintl European Put Wts Mar 19 A | 12,000,000 | 2018/05/09 | 2019/03/04 | 10.50 |
| 13226 | Macquarie Bank Ltd. – HKEX European Warrants Dec 2018 B | 5,000,000 | 2018/05/09 | 2018/12/04 | 10.21 |
| 13243 | Macquarie Bank Ltd. – Tencent European Warrants Nov 2018 H | 40,000,000 | 2018/05/09 | 2018/11/27 | 10.00 |
| 13244 | Macquarie Bank Ltd. – Tencent European Warrants Nov 2018 I | 40,000,000 | 2018/05/09 | 2018/11/27 | 23.68 |
| 13245 | Macquarie Bank Ltd. – Tencent European Warrants Dec 2018 B | 10,200,000 | 2018/05/09 | 2018/12/03 | 10.05 |
| 13225 | SG Issuer – CAM CSI300 European Warrants Oct 2018 A | 60,000,000 | 2018/05/09 | 2018/10/31 | 10.20 |
| 13221 | SG Issuer – China Lit European Warrants Oct 2019 A | 100,000,000 | 2018/05/09 | 2019/10/31 | 25.00 |
| 13222 | SG Issuer – Wharf Holdings European Warrants Nov 2018 A | 40,000,000 | 2018/05/09 | 2018/11/30 | 10.00 |
| 13224 | SG Issuer – Wharf Reic European Warrants Nov 2018 A | 18,000,000 | 2018/05/09 | 2018/11/30 | 10.08 |
| 13277 | UBS AG – CK Asset European Warrants Nov 2018 A | 40,000,000 | 2018/05/09 | 2018/11/12 | 10.84 |
| 13278 | UBS AG – China Lit European Warrants Dec 2018 A | 11,000,000 | 2018/05/09 | 2018/12/10 | 10.84 |
| 13274 | UBS AG – China Unicom European Warrants Nov 2018 A | 15,000,000 | 2018/05/09 | 2018/11/12 | 11.16 |
| 13266 | UBS AG – Baiyunshan Ph European Warrants Nov 2018 A | 25,000,000 | 2018/05/09 | 2018/11/12 | 10.70 |
| 13282 | UBS AG – Hua Hong Semi European Warrants Nov 2018 A | 30,000,000 | 2018/05/09 | 2018/11/12 | 11.97 |
| 13281 | UBS AG – Yongda Auto European Warrants Jul 2019 A | 50,000,000 | 2018/05/09 | 2019/07/11 | 10.30 |
| 13336 | Credit Suisse AG – CK Asset European Warrants Sep 2018 A | 40,000,000 | 2018/05/10 | 2018/09/26 | 10.00 |
| 13335 | Credit Suisse AG – Evergrande European Warrants Sep 2018 A | 40,000,000 | 2018/05/10 | 2018/09/20 | 16.00 |
| 13334 | Credit Suisse AG – Baiyunshan Ph European Warrants Nov 2018 A | 30,000,000 | 2018/05/10 | 2018/11/09 | 11.40 |
| 13299 | Bank of East Asia – CNOOC European Warrants Oct 2019 A | 40,000,000 | 2018/05/10 | 2019/10/02 | 10.00 |
| 13283 | Bank of East Asia – Sino-Ocean Gp European Warrants Nov 18 A | 20,000,000 | 2018/05/10 | 2018/11/09 | 11.78 |
| 13363 | Goldman Sachs SP (Asia) – Geely Auto European Wts Oct 2018 C | 80,000,000 | 2018/05/10 | 2018/10/22 | 12.00 |
| 13357 | Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2018 E | 150,000,000 | 2018/05/10 | 2018/09/27 | 22.50 |
| 13358 | Goldman Sachs SP (Asia) – Kingsoft European Wts Sep 2018 A | 60,000,000 | 2018/05/10 | 2018/09/20 | 10.02 |
| 13361 | Goldman Sachs SP (Asia) – Ping An European Wts Sep 2018 I | 100,000,000 | 2018/05/10 | 2018/09/27 | 44.30 |
| 13359 | Goldman Sachs SP (Asia) – SHK Ppt European Wts Aug 2018 A | 60,000,000 | 2018/05/10 | 2018/08/24 | 10.08 |
| 13286 | HK Bank – Sands China European Warrants Nov 2018 A | 50,000,000 | 2018/05/10 | 2018/11/30 | 12.50 |
| 13285 | HK Bank – Tencent European Warrants Sep 2018 F | 100,000,000 | 2018/05/10 | 2018/09/24 | 16.00 |

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| 13313 | Haitong Int'l Sec - China Lit European Warrants Nov 2018 A | 20,000,000 | 2018/05/10 | 2018/11/20 | 10.80 |
| 13315 | Haitong Int'l Sec - Genscript Bio European Warrants Nov 18 A | 25,000,000 | 2018/05/10 | 2018/11/12 | 11.75 |
| 13314 | Haitong Int'l Sec - Hua Hong Semi European Warrants Nov 18 A | 30,000,000 | 2018/05/10 | 2018/11/12 | 11.10 |
| 13316 | Haitong Int'l Sec - Wharf Reic European Warrants Nov 2018 A | 25,000,000 | 2018/05/10 | 2018/11/20 | 11.25 |
| 13339 | J P Morgan SP BV - Baiyunshan Ph European Wts Dec 2018 A | 40,000,000 | 2018/05/10 | 2018/12/03 | 21.52 |
| 13342 | J P Morgan SP BV - Tencent European Warrants Nov 2018 A | 250,000,000 | 2018/05/10 | 2018/11/30 | 62.75 |
| 13340 | J P Morgan SP BV - Tencent European Warrants Dec 2018 C | 250,000,000 | 2018/05/10 | 2018/12/31 | 63.75 |
| 13297 | Macquarie Bank Ltd. - BYD Company European Warrants Dec 18 C | 12,000,000 | 2018/05/10 | 2018/12/04 | 10.07 |
| 13298 | Macquarie Bank Ltd. - CKH Holdings European Warrants Jan 19 A | 18,000,000 | 2018/05/10 | 2019/01/03 | 10.04 |
| 13294 | Macquarie Bank Ltd. - Ch LongYuan European Wts Dec 2019 A | 40,000,000 | 2018/05/10 | 2019/12/17 | 10.04 |
| 13345 | SG Issuer - CK Asset European Warrants Nov 2018 A | 26,000,000 | 2018/05/10 | 2018/11/30 | 10.01 |
| 13353 | SG Issuer - CNBM European Warrants Nov 2018 A | 10,000,000 | 2018/05/10 | 2018/11/30 | 11.60 |
| 13350 | SG Issuer - Link REIT European Warrants Dec 2018 A | 26,000,000 | 2018/05/10 | 2018/12/31 | 10.01 |
| 13348 | SG Issuer - MGM China European Warrants Nov 2018 A | 40,000,000 | 2018/05/10 | 2018/11/30 | 13.40 |
| 13356 | SG Issuer - SJM Holdings Eu Wt Dec 2018 A | 11,000,000 | 2018/05/10 | 2018/12/31 | 10.01 |
| 13329 | UBS AG - HSBC European Warrants Aug 2018 C | 100,000,000 | 2018/05/10 | 2018/08/10 | 18.90 |
| 13331 | UBS AG - HSBC European Warrants Nov 2018 B | 100,000,000 | 2018/05/10 | 2018/11/09 | 25.30 |
| 13326 | UBS AG - Hang Seng Bank European Warrants Nov 2018 A | 70,000,000 | 2018/05/10 | 2018/11/09 | 37.31 |
| 13319 | UBS AG - HSI European Warrants Oct 2018 E | 200,000,000 | 2018/05/10 | 2018/10/30 | 31.00 |
| 13318 | UBS AG - HSI European Put Warrants Oct 2018 D | 200,000,000 | 2018/05/10 | 2018/10/30 | 51.80 |
| 13317 | UBS AG - Melco Int'l Dev European Warrants Nov 2018 A | 50,000,000 | 2018/05/10 | 2018/11/09 | 12.50 |
| 13288 | Bank Vontobel - Agricultural Bank European Warrants Sep 18 A | 40,000,000 | 2018/05/10 | 2018/09/24 | 10.00 |
| 13290 | Bank Vontobel - CNBM European Warrants Nov 2018 A | 15,000,000 | 2018/05/10 | 2018/11/12 | 16.34 |
| 13292 | Bank Vontobel - Geely Auto European Warrants Oct 2018 B | 50,000,000 | 2018/05/10 | 2018/10/30 | 10.05 |
| 13291 | Bank Vontobel - Galaxy Ent European Warrants Nov 2018 B | 40,000,000 | 2018/05/10 | 2018/11/01 | 13.96 |
| 13289 | Bank Vontobel - Lenovo European Warrants Oct 2018 A | 40,000,000 | 2018/05/10 | 2018/10/29 | 10.64 |
| 13385 | BOCI Asia Ltd. - BYD Company European Warrants Nov 2018 A | 50,000,000 | 2018/05/11 | 2018/11/26 | 28.95 |
| 13386 | BOCI Asia Ltd. - China Lit European Warrants Nov 2018 A | 30,000,000 | 2018/05/11 | 2018/11/12 | 11.73 |
| 13404 | Goldman Sachs SP (Asia) - AAC Tech Euro Wts Aug 2018 C | 70,000,000 | 2018/05/11 | 2018/08/24 | 10.50 |
| 13402 | Goldman Sachs SP (Asia) - BOC HK European Wts Oct 2018 B | 60,000,000 | 2018/05/11 | 2018/10/24 | 10.80 |
| 13401 | Goldman Sachs SP (Asia) - BYD Company European Wts Nov 2018 A | 40,000,000 | 2018/05/11 | 2018/11/16 | 11.72 |
| 13406 | Goldman Sachs SP (Asia) - China Lit European Warrants Nov 18 A | 50,000,000 | 2018/05/11 | 2018/11/12 | 12.50 |
| 13399 | Goldman Sachs SP (Asia) - China Lit European Warrants Oct 19 A | 80,000,000 | 2018/05/11 | 2019/10/31 | 20.00 |
| 13408 | Goldman Sachs SP (Asia) - HSI European Warrants Aug 2018 J | 150,000,000 | 2018/05/11 | 2018/08/30 | 22.50 |
| 13396 | J P Morgan SP BV - Henganintl European Warrants Dec 2018 A | 60,000,000 | 2018/05/11 | 2018/12/03 | 21.00 |
| 13395 | J P Morgan SP BV - HSBC European Warrants Sep 2018 E | 150,000,000 | 2018/05/11 | 2018/09/03 | 41.10 |
| 13394 | J P Morgan SP BV - HSBC European Warrants Oct 2018 B | 150,000,000 | 2018/05/11 | 2018/10/02 | 25.05 |
| 13390 | Macquarie Bank Ltd. - Tencent European Warrants Dec 2018 C | 9,000,000 | 2018/05/11 | 2018/12/03 | 36.91 |
| 13392 | Macquarie Bank Ltd. - Tencent European Warrants Dec 2018 D | 8,000,000 | 2018/05/11 | 2018/12/03 | 20.09 |
| 13393 | Macquarie Bank Ltd. - Tencent European Warrants Dec 2018 E | 7,000,000 | 2018/05/11 | 2018/12/03 | 10.46 |
| 13397 | SG Issuer - Jiangxi Copper European Warrants Dec 2018 A | 70,000,000 | 2018/05/11 | 2018/12/31 | 10.50 |
| 13380 | UBS AG - C Transmission European Warrants Dec 2018 A | 30,000,000 | 2018/05/11 | 2018/12/17 | 10.02 |
| 13366 | UBS AG - HSBC European Warrants Oct 2018 C | 100,000,000 | 2018/05/11 | 2018/10/24 | 43.50 |
| 13365 | UBS AG - HSBC European Put Warrants Oct 2018 B | 100,000,000 | 2018/05/11 | 2018/10/26 | 15.40 |

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| 13382 | UBS AG – NagaCorp European Warrants Nov 2018 A | 5,000,000 | 2018/05/11 | 2018/11/19 | 10.00 |
| 13364 | UBS AG – Tencent European Put Warrants Oct 2018 D | 300,000,000 | 2018/05/11 | 2018/10/29 | 54.30 |
| 13379 | UBS AG – Wharf Reic European Warrants Nov 2018 A | 50,000,000 | 2018/05/11 | 2018/11/12 | 16.75 |
| 13383 | UBS AG – Xiabuxiabu European Warrants Nov 2018 A | 30,000,000 | 2018/05/11 | 2018/11/26 | 10.02 |
| 13419 | BOCI Asia Ltd. – IGG European Warrants Sep 2018 B | 60,000,000 | 2018/05/14 | 2018/09/24 | 12.36 |
| 13415 | BOCI Asia Ltd. – Ping An European Warrants Oct 2018 B | 100,000,000 | 2018/05/14 | 2018/10/29 | 36.00 |
| 13413 | BOCI Asia Ltd. – Tencent European Put Wts Sep 2018 J | 100,000,000 | 2018/05/14 | 2018/09/27 | 23.20 |
| 13464 | BNP Paribas Issuance B.V. – Bank of China Euro Wts Dec 2018 A | 50,000,000 | 2018/05/14 | 2018/12/04 | 13.50 |
| 10002 | BNP Paribas Issuance B.V. – Gold European Wts Dec 2018 A | 50,000,000 | 2018/05/14 | 2018/12/21 | 15.00 |
| 10003 | BNP Paribas Issuance B.V. – Gold Euro Put Wts Dec 2018 B | 50,000,000 | 2018/05/14 | 2018/12/21 | 15.50 |
| 13463 | BNP Paribas Issuance B.V. – Ping An European Wt Jan 2019 D | 60,000,000 | 2018/05/14 | 2019/01/03 | 31.80 |
| 13459 | BNP Paribas Issuance B.V. – Ping An Eur Put Wts Apr 2019 C | 50,000,000 | 2018/05/14 | 2019/04/02 | 32.00 |
| 13451 | BNP Paribas Issuance B.V. – Tencent European Wts Dec 2018 E | 80,000,000 | 2018/05/14 | 2018/12/04 | 28.00 |
| 13454 | BNP Paribas Issuance B.V. – Tencent European Wts Jul 2019 A | 80,000,000 | 2018/05/14 | 2019/07/03 | 25.60 |
| 13452 | BNP Paribas Issuance B.V. – Tencent Eu Put Wt Dec 2018 F | 80,000,000 | 2018/05/14 | 2018/12/04 | 26.40 |
| 13475 | Credit Suisse AG – Ping An European Warrants Nov 2018 D | 150,000,000 | 2018/05/14 | 2018/11/13 | 120.00 |
| 13474 | Credit Suisse AG – Tencent European Wts Dec 2018 A | 250,000,000 | 2018/05/14 | 2018/12/31 | 75.00 |
| 13507 | Goldman Sachs SP (Asia) – CNOOC European Warrants Aug 2018 B | 80,000,000 | 2018/05/14 | 2018/08/24 | 32.00 |
| 13511 | Goldman Sachs SP (Asia) – HSI European Warrants Nov 2018 A | 150,000,000 | 2018/05/14 | 2018/11/29 | 22.50 |
| 13514 | Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2018 B | 150,000,000 | 2018/05/14 | 2018/11/29 | 22.50 |
| 13508 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Sep 2018 A | 70,000,000 | 2018/05/14 | 2018/09/14 | 10.50 |
| 13506 | Goldman Sachs SP (Asia) – Tencent European Wt Dec 2018 C | 150,000,000 | 2018/05/14 | 2018/12/27 | 43.20 |
| 13449 | HK Bank – PICC Group European Warrants Nov 2018 A | 40,000,000 | 2018/05/14 | 2018/11/30 | 12.00 |
| 13448 | HK Bank – SHK Ppt European Warrants Nov 2018 A | 40,000,000 | 2018/05/14 | 2018/11/30 | 14.00 |
| 13450 | HK Bank – Tencent European Warrants Oct 2018 C | 150,000,000 | 2018/05/14 | 2018/10/22 | 33.00 |
| 13477 | J P Morgan SP BV – Ch Molybdenum European Warrants Oct 2018 A | 40,000,000 | 2018/05/14 | 2018/10/02 | 20.32 |
| 13476 | J P Morgan SP BV – CNOOC European Put Warrants Dec 2019 A | 100,000,000 | 2018/05/14 | 2019/12/31 | 25.00 |
| 13487 | J P Morgan SP BV – Sinopec Corp European Warrants Jan 2019 A | 100,000,000 | 2018/05/14 | 2019/01/31 | 26.10 |
| 13479 | J P Morgan SP BV – Tencent European Warrants Sep 2018 F | 250,000,000 | 2018/05/14 | 2018/09/28 | 55.00 |
| 13465 | Macquarie Bank Ltd. – Sinopec Corp European Wts Jan 2019 B | 40,000,000 | 2018/05/14 | 2019/01/03 | 10.04 |
| 13468 | Macquarie Bank Ltd. – FIH Mobile European Warrants Jun 2019 A | 33,000,000 | 2018/05/14 | 2019/06/04 | 10.03 |
| 13472 | Macquarie Bank Ltd. – Tencent European Warrants Dec 2018 F | 10,000,000 | 2018/05/14 | 2018/12/03 | 60.52 |
| 13488 | SG Issuer – CNOOC European Warrants Nov 2018 B | 18,000,000 | 2018/05/14 | 2018/11/30 | 10.08 |
| 13489 | SG Issuer – Huaneng Power European Warrants Nov 2018 A | 20,000,000 | 2018/05/14 | 2018/11/30 | 10.20 |
| 13494 | SG Issuer – Tencent European Warrants Nov 2018 A | 200,000,000 | 2018/05/14 | 2018/11/30 | 57.00 |
| 13500 | SG Issuer – Tencent European Warrants Dec 2018 B | 200,000,000 | 2018/05/14 | 2018/12/31 | 32.00 |
| 13498 | SG Issuer – Tencent European Put Warrants Nov 2018 B | 20,000,000 | 2018/05/14 | 2018/11/30 | 13.40 |
| 13438 | UBS AG – CAM CSI300 European Warrants Oct 2018 A | 70,000,000 | 2018/05/14 | 2018/10/24 | 16.45 |
| 13447 | UBS AG – CM Bank European Warrants Nov 2018 A | 50,000,000 | 2018/05/14 | 2018/11/23 | 10.25 |
| 13431 | UBS AG – CSOP A50 ETF European Warrants Sep 2018 C | 30,000,000 | 2018/05/14 | 2018/09/24 | 11.40 |
| 13435 | UBS AG – CSOP A50 ETF European Warrants Nov 2018 A | 30,000,000 | 2018/05/14 | 2018/11/13 | 11.07 |
| 13428 | UBS AG – Geely Auto European Warrants Oct 2018 C | 50,000,000 | 2018/05/14 | 2018/10/24 | 10.25 |
| 13420 | UBS AG – Geely Auto European Put Warrants Oct 2018 B | 50,000,000 | 2018/05/14 | 2018/10/26 | 11.45 |
| 13439 | UBS AG – HSCEI European Warrants Dec 2018 A | 100,000,000 | 2018/05/14 | 2018/12/28 | 15.10 |

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| 13443 | UBS AG – HSCEI European Put Warrants Dec 2018 B | 100,000,000 | 2018/05/14 | 2018/12/28 | 17.30 |
| 23727 # | J P Morgan SP BV – CSPC Pharma European Warrants Sep 2018 A | 60,000,000 | 2018/05/14 | 2018/09/28 | 28.20 |
| 23920 # | J P Morgan SP BV – HSI European Put Warrants Oct 2018 B | 300,000,000 | 2018/05/14 | 2018/10/30 | 26.10 |
| 13520 | BOCI Asia Ltd. – CNOOC European Warrants Oct 2018 B | 50,000,000 | 2018/05/15 | 2018/10/31 | 28.55 |
| 13518 | BOCI Asia Ltd. – PetroChina European Warrants Nov 2018 A | 60,000,000 | 2018/05/15 | 2018/11/26 | 15.24 |
| 13553 | BNP Paribas Issuance B.V. – AAC Tech Euro Wts May 2019 A | 80,000,000 | 2018/05/15 | 2019/05/02 | 20.00 |
| 10006 | BNP Paribas Issuance B.V. – AUD/USD European Wts Dec 2018 A | 50,000,000 | 2018/05/15 | 2018/12/21 | 12.50 |
| 10007 | BNP Paribas Issuance B.V. – AUD/USD Euro Put Wts Dec 2018 B | 50,000,000 | 2018/05/15 | 2018/12/21 | 12.50 |
| 13551 | BNP Paribas Issuance B.V. – CKH European Wts Mar 2019 A | 40,000,000 | 2018/05/15 | 2019/03/04 | 10.00 |
| 13545 | BNP Paribas Issuance B.V. – China Life Euro Wts Jul 2019 A | 60,000,000 | 2018/05/15 | 2019/07/03 | 15.00 |
| 13627 | BNP Paribas Issuance B.V. – CPIC European Wts Dec 2018 A | 40,000,000 | 2018/05/15 | 2018/12/04 | 10.00 |
| 10009 | BNP Paribas Issuance B.V. – EUR/USD Euro Wts Dec 2018 A | 100,000,000 | 2018/05/15 | 2018/12/21 | 33.00 |
| 10010 | BNP Paribas Issuance B.V. – EUR/USD Euro Put Wt Dec 2018 B | 100,000,000 | 2018/05/15 | 2018/12/21 | 32.00 |
| 10004 | BNP Paribas Issuance B.V. – GBP/USD European Wts Dec 2018 A | 50,000,000 | 2018/05/15 | 2018/12/21 | 17.00 |
| 10005 | BNP Paribas Issuance B.V. – GBP/USD European Put Wt Dec 18 B | 50,000,000 | 2018/05/15 | 2018/12/21 | 16.00 |
| 13549 | BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Oct 2018 A | 40,000,000 | 2018/05/15 | 2018/10/03 | 15.60 |
| 13544 | BNP Paribas Issuance B.V. – HKEX European Wts Mar 2019 A | 80,000,000 | 2018/05/15 | 2019/03/04 | 20.00 |
| 13538 | BNP Paribas Issuance B.V. – HSBC European Wts Feb 2019 B | 40,000,000 | 2018/05/15 | 2019/02/04 | 18.80 |
| 13540 | BNP Paribas Issuance B.V. – HSBC Euro Put Wts Feb 2019 C | 40,000,000 | 2018/05/15 | 2019/02/04 | 18.40 |
| 13554 | BNP Paribas Issuance B.V. – Hang Seng Bank Eu Wt Jan 2019 A | 20,000,000 | 2018/05/15 | 2019/01/03 | 10.40 |
| 13614 | Credit Suisse AG – Ch Molybdenum European Wts Nov 2018 A | 30,000,000 | 2018/05/15 | 2018/11/14 | 19.50 |
| 13610 | Credit Suisse AG – Sinopec Corp European Warrants Oct 2018 A | 50,000,000 | 2018/05/15 | 2018/10/23 | 14.00 |
| 13607 | Credit Suisse AG – CSPC Pharma European Warrants Sep 2018 C | 40,000,000 | 2018/05/15 | 2018/09/20 | 18.00 |
| 13608 | Credit Suisse AG – CSPC Pharma European Warrants Oct 2018 A | 40,000,000 | 2018/05/15 | 2018/10/08 | 12.80 |
| 13620 | Goldman Sachs SP (Asia) – CAM CSI300 European Wts Oct 2018 A | 80,000,000 | 2018/05/15 | 2018/10/24 | 12.00 |
| 13616 | Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2018 C | 80,000,000 | 2018/05/15 | 2018/09/20 | 12.00 |
| 13619 | Goldman Sachs SP (Asia) – Ping An European Wts Oct 2018 B | 100,000,000 | 2018/05/15 | 2018/10/26 | 17.60 |
| 13618 | Goldman Sachs SP (Asia) – Tencent European Wt Oct 2018 E | 150,000,000 | 2018/05/15 | 2018/10/26 | 22.50 |
| 13617 | Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2018 D | 100,000,000 | 2018/05/15 | 2018/10/29 | 15.00 |
| 13521 | HK Bank – CNOOC European Warrants Dec 2019 B | 150,000,000 | 2018/05/15 | 2019/12/31 | 37.50 |
| 13572 | HK Bank – CSPC Pharma European Warrants Aug 2018 A | 50,000,000 | 2018/05/15 | 2018/08/31 | 24.00 |
| 13555 | HK Bank – CSPC Pharma European Warrants Oct 2018 A | 80,000,000 | 2018/05/15 | 2018/10/22 | 24.00 |
| 13562 | HK Bank – CSPC Pharma European Warrants Nov 2018 A | 80,000,000 | 2018/05/15 | 2018/11/14 | 20.00 |
| 13565 | HK Bank – Sino Biopharm European Warrants Nov 2018 A | 80,000,000 | 2018/05/15 | 2018/11/30 | 20.00 |
| 13536 | Haitong Int'l Sec – AAC Tech European Warrants Dec 2018 A | 100,000,000 | 2018/05/15 | 2018/12/28 | 25.00 |
| 13535 | Haitong Int'l Sec – BYD Company European Warrants Oct 2018 B | 25,000,000 | 2018/05/15 | 2018/10/31 | 12.50 |
| 13532 | Haitong Int'l Sec – China Lit European Warrants Aug 2019 A | 100,000,000 | 2018/05/15 | 2019/08/22 | 26.00 |
| 13534 | Haitong Int'l Sec – Baiyunshan Ph European Warrants Nov 18 A | 25,000,000 | 2018/05/15 | 2018/11/15 | 11.75 |
| 13526 | Haitong Int'l Sec – HSBC European Warrants Oct 2018 B | 50,000,000 | 2018/05/15 | 2018/10/02 | 15.00 |
| 13596 | J P Morgan SP BV – Sinopec Corp Eu Put Wt Nov 2018 A | 100,000,000 | 2018/05/15 | 2018/11/02 | 28.10 |
| 13595 | J P Morgan SP BV – CSPC Pharma European Warrants Jan 2019 A | 50,000,000 | 2018/05/15 | 2019/01/02 | 13.30 |
| 13594 | J P Morgan SP BV – HSCEI European Put Warrants Dec 2018 B | 200,000,000 | 2018/05/15 | 2018/12/28 | 39.80 |
| 13590 | J P Morgan SP BV – HSI European Put Warrants Nov 2018 D | 300,000,000 | 2018/05/15 | 2018/11/29 | 75.30 |
| 13597 | J P Morgan SP BV – Tencent European Warrants Dec 2018 D | 250,000,000 | 2018/05/15 | 2018/12/31 | 86.25 |

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| 13599 | J P Morgan SP BV – Tencent European Warrants Mar 2019 B | 250,000,000 | 2018/05/15 | 2019/03/29 | 72.75 |
| 13589 | Macquarie Bank Ltd. – AAC Tech European Wts Feb 2019 A | 5,000,000 | 2018/05/15 | 2019/02/04 | 10.01 |
| 13581 | Macquarie Bank Ltd. – CITIC European Warrants Jan 2019 A | 12,500,000 | 2018/05/15 | 2019/01/03 | 10.11 |
| 13588 | Macquarie Bank Ltd. – CPIC European Put Warrants Jan 2019 A | 38,000,000 | 2018/05/15 | 2019/01/03 | 10.18 |
| 13579 | Macquarie Bank Ltd. – Hang Seng Bank European Wts Dec 2018 A | 14,000,000 | 2018/05/15 | 2018/12/04 | 10.33 |
| 13585 | Macquarie Bank Ltd. – MGM China European Put Warrants Apr 19 A | 26,000,000 | 2018/05/15 | 2019/04/02 | 10.19 |
| 13582 | Macquarie Bank Ltd. – PICC P&C European Warrants Jul 2019 A | 40,000,000 | 2018/05/15 | 2019/07/03 | 10.00 |
| 13587 | Macquarie Bank Ltd. – Swire Pacific A Euro Put Wts Apr 19 B | 14,000,000 | 2018/05/15 | 2019/04/02 | 10.16 |
| 13600 | SG Issuer – CSPC Pharma European Warrants Sep 2018 B | 40,000,000 | 2018/05/15 | 2018/09/28 | 11.40 |
| 13601 | SG Issuer – CSPC Pharma European Warrants Nov 2018 A | 40,000,000 | 2018/05/15 | 2018/11/30 | 20.00 |
| 13573 | UBS AG – CSPC Pharma European Warrants Nov 2018 B | 40,000,000 | 2018/05/15 | 2018/11/14 | 21.68 |
| 13577 | UBS AG – CSPC Pharma European Warrants Nov 2018 C | 40,000,000 | 2018/05/15 | 2018/11/30 | 11.68 |
| 13574 | UBS AG – CSPC Pharma European Warrants Dec 2018 A | 40,000,000 | 2018/05/15 | 2018/12/17 | 19.56 |
| 13516 | Bank Vontobel – BYD Company European Warrants Nov 2018 A | 20,000,000 | 2018/05/15 | 2018/11/27 | 12.16 |
| 13630 | BOCI Asia Ltd. – CSPC Pharma European Warrants Sep 2018 B | 50,000,000 | 2018/05/16 | 2018/09/26 | 19.90 |
| 13631 | BOCI Asia Ltd. – CSPC Pharma European Warrants Sep 2018 C | 50,000,000 | 2018/05/16 | 2018/09/20 | 13.90 |
| 13628 | BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2018 A | 60,000,000 | 2018/05/16 | 2018/11/26 | 23.94 |
| 13634 | BOCI Asia Ltd. – HKEX European Warrants Dec 2018 C | 200,000,000 | 2018/05/16 | 2018/12/21 | 35.60 |
| 13629 | BOCI Asia Ltd. – HSBC European Warrants Nov 2018 A | 100,000,000 | 2018/05/16 | 2018/11/27 | 16.40 |
| 10011 | BNP Paribas Issuance B.V. – Oil Futures Euro Wt May 2019 A | 50,000,000 | 2018/05/16 | 2019/05/20 | 12.50 |
| 10014 | BNP Paribas Issuance B.V. – Oil Futures Eu Put Wt May 2019 B | 50,000,000 | 2018/05/16 | 2019/05/20 | 17.50 |
| 13673 | Credit Suisse AG – CITIC European Warrants Sep 2018 A | 30,000,000 | 2018/05/16 | 2018/09/28 | 23.40 |
| 13676 | Credit Suisse AG – CNOOC European Warrants Oct 2018 A | 40,000,000 | 2018/05/16 | 2018/10/26 | 14.40 |
| 13682 | Credit Suisse AG – CNOOC European Put Warrants Sep 2019 A | 100,000,000 | 2018/05/16 | 2019/09/30 | 25.00 |
| 13670 | Credit Suisse AG – CPIC European Warrants Nov 2018 A | 50,000,000 | 2018/05/16 | 2018/11/30 | 12.50 |
| 13671 | Credit Suisse AG – China Taiping European Warrants Sep 2018 A | 60,000,000 | 2018/05/16 | 2018/09/20 | 10.08 |
| 13680 | Credit Suisse AG – PetroChina European Warrants Nov 2018 A | 60,000,000 | 2018/05/16 | 2018/11/15 | 15.00 |
| 13663 | Credit Suisse AG – Ping An European Warrants Sep 2018 H | 150,000,000 | 2018/05/16 | 2018/09/20 | 28.50 |
| 13681 | Credit Suisse AG – Tsingtao Brew European Warrants Nov 2018 A | 30,000,000 | 2018/05/16 | 2018/11/15 | 22.20 |
| 13694 | Bank of East Asia – CSPC Pharma European Warrants Feb 2019 A | 40,000,000 | 2018/05/16 | 2019/02/15 | 14.40 |
| 13635 | Bank of East Asia – Sino-Ocean Gp European Put Wts Nov 18 B | 20,000,000 | 2018/05/16 | 2018/11/16 | 11.76 |
| 13696 | Goldman Sachs SP (Asia) – AIA European Warrants Sep 2018 C | 80,000,000 | 2018/05/16 | 2018/09/20 | 24.56 |
| 13697 | Goldman Sachs SP (Asia) – Geely Auto European Wts Sep 2018 A | 80,000,000 | 2018/05/16 | 2018/09/20 | 12.00 |
| 13703 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Sep 2018 B | 80,000,000 | 2018/05/16 | 2018/09/20 | 12.00 |
| 13707 | Goldman Sachs SP (Asia) – Link REIT European Wts Dec 2018 A | 50,000,000 | 2018/05/16 | 2018/12/28 | 12.50 |
| 13695 | Goldman Sachs SP (Asia) – Tencent European Wt Oct 2018 F | 150,000,000 | 2018/05/16 | 2018/10/22 | 40.80 |
| 13662 | J P Morgan SP BV – BAIC Motor European Warrants Nov 2018 A | 50,000,000 | 2018/05/16 | 2018/11/30 | 37.15 |
| 13661 | J P Morgan SP BV – CSPC Pharma European Warrants Sep 2018 B | 60,000,000 | 2018/05/16 | 2018/09/28 | 15.90 |
| 13651 | Macquarie Bank Ltd. – Sh Pharma European Warrants Apr 2019 A | 31,600,000 | 2018/05/16 | 2019/04/16 | 10.02 |
| 13654 | Macquarie Bank Ltd. – SJM Holdings European Wts Dec 2018 A | 10,000,000 | 2018/05/16 | 2018/12/31 | 10.69 |
| 13652 | Macquarie Bank Ltd. – SJM Holdings European Wts May 2019 A | 10,500,000 | 2018/05/16 | 2019/05/15 | 10.23 |
| 13659 | Macquarie Bank Ltd. – WuXi Bio European Warrants Dec 2018 A | 40,000,000 | 2018/05/16 | 2018/12/31 | 10.00 |
| 13686 | SG Issuer – CGN Power Eu Wts Nov 2018 A | 40,000,000 | 2018/05/16 | 2018/11/30 | 10.00 |
| 13688 | SG Issuer – CNOOC European Put Warrants Oct 2018 A | 30,000,000 | 2018/05/16 | 2018/10/31 | 10.05 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 13687 | SG Issuer – Sinopec Corp European Put Warrants Oct 2018 A | 35,000,000 | 2018/05/16 | 2018/10/31 | 10.15 |
| 13689 | SG Issuer – HSBC European Put Warrants Oct 2018 A | 60,000,000 | 2018/05/16 | 2018/10/31 | 24.90 |
| 13691 | SG Issuer – PetroChina European Warrants Nov 2018 A | 40,000,000 | 2018/05/16 | 2018/11/30 | 11.20 |
| 13692 | SG Issuer – PetroChina European Put Warrants Nov 2018 B | 35,000,000 | 2018/05/16 | 2018/11/30 | 10.15 |
| 13693 | SG Issuer – Tencent European Warrants Oct 2018 C | 200,000,000 | 2018/05/16 | 2018/10/31 | 33.00 |
| 13647 | UBS AG – Ch Oilfield European Warrants Dec 2019 A | 70,000,000 | 2018/05/16 | 2019/12/30 | 17.50 |
| 13646 | UBS AG – Kingdee Intl European Warrants Jan 2019 A | 100,000,000 | 2018/05/16 | 2019/01/10 | 18.10 |
| 13642 | UBS AG – PetroChina European Warrants Nov 2018 A | 70,000,000 | 2018/05/16 | 2018/11/15 | 17.64 |
| 13643 | UBS AG – Sino Biopharm European Warrants Mar 2019 A | 40,000,000 | 2018/05/16 | 2019/03/11 | 13.08 |
| 13640 | Bank Vontobel – CNOOC European Warrants Nov 2018 A | 30,000,000 | 2018/05/16 | 2018/11/30 | 12.78 |
| 13641 | Bank Vontobel – Tencent European Warrants Jul 2019 A | 100,000,000 | 2018/05/16 | 2019/07/03 | 38.00 |
| 13710 | BOCI Asia Ltd. – Tencent European Wts Aug 2018 G | 200,000,000 | 2018/05/17 | 2018/08/24 | 30.40 |
| 13711 | BOCI Asia Ltd. – Tencent European Wts Sep 2018 K | 200,000,000 | 2018/05/17 | 2018/09/27 | 30.40 |
| 10017 | BNP Paribas Issuance B.V. – USD/CNH European Wts Dec 2018 A | 50,000,000 | 2018/05/17 | 2018/12/21 | 15.00 |
| 10018 | BNP Paribas Issuance B.V. – USD/CNH European Put Wts Dec 18 B | 50,000,000 | 2018/05/17 | 2018/12/21 | 12.50 |
| 10015 | BNP Paribas Issuance B.V. – USD/YEN Euro Wts Dec 2018 A | 50,000,000 | 2018/05/17 | 2018/12/21 | 13.00 |
| 10016 | BNP Paribas Issuance B.V. – USD/YEN Eur Put Wts Dec 2018 B | 50,000,000 | 2018/05/17 | 2018/12/21 | 15.00 |
| 13734 | Credit Suisse AG – AAC Tech European Put Warrants Mar 2019 A | 80,000,000 | 2018/05/17 | 2019/03/18 | 16.80 |
| 13728 | Credit Suisse AG – CNBM European Warrants Sep 2018 A | 20,000,000 | 2018/05/17 | 2018/09/24 | 17.00 |
| 13727 | Credit Suisse AG – China Res Beer European Warrants Nov 18 A | 25,000,000 | 2018/05/17 | 2018/11/16 | 14.50 |
| 13752 | Credit Suisse AG – China State Con European Warrants Sep 19 A | 80,000,000 | 2018/05/17 | 2019/09/30 | 20.00 |
| 13726 | Credit Suisse AG – Geely Auto European Warrants Oct 2018 C | 80,000,000 | 2018/05/17 | 2018/10/22 | 15.20 |
| 13735 | Credit Suisse AG – R&F Ppt European Warrants Nov 2018 A | 60,000,000 | 2018/05/17 | 2018/11/16 | 15.00 |
| 13731 | Credit Suisse AG – PetroChina European Put Warrants Nov 18 B | 40,000,000 | 2018/05/17 | 2018/11/16 | 12.00 |
| 13725 | Credit Suisse AG – Tencent European Wts Oct 2018 D | 250,000,000 | 2018/05/17 | 2018/10/25 | 47.50 |
| 13757 | Goldman Sachs SP (Asia) – BOCL European Warrants Sep 2018 B | 80,000,000 | 2018/05/17 | 2018/09/20 | 14.64 |
| 13754 | Goldman Sachs SP (Asia) – China Shenhua Euro Wts Dec 2018 A | 70,000,000 | 2018/05/17 | 2018/12/24 | 10.50 |
| 13720 | Goldman Sachs SP (Asia) – CSPC Pharma European Wts Oct 18 A | 40,000,000 | 2018/05/17 | 2018/10/05 | 10.00 |
| 13721 | Goldman Sachs SP (Asia) – CSPC Pharma European Wts Nov 18 A | 40,000,000 | 2018/05/17 | 2018/11/29 | 11.48 |
| 13712 | HK Bank – Sinopec Corp European Warrants Nov 2018 A | 150,000,000 | 2018/05/17 | 2018/11/30 | 37.50 |
| 13713 | HK Bank – PetroChina European Warrants Nov 2018 A | 150,000,000 | 2018/05/17 | 2018/11/30 | 37.50 |
| 13714 | HK Bank – SUNAC European Warrants Nov 2018 B | 50,000,000 | 2018/05/17 | 2018/11/30 | 20.00 |
| 13718 | HK Bank – SUNAC European Warrants Nov 2018 C | 50,000,000 | 2018/05/17 | 2018/11/30 | 13.00 |
| 13722 | J P Morgan SP BV – Galaxy Ent European Warrants Dec 2018 A | 100,000,000 | 2018/05/17 | 2018/12/03 | 30.50 |
| 13741 | UBS AG – China Lit European Warrants Sep 2019 A | 100,000,000 | 2018/05/17 | 2019/09/30 | 25.70 |
| 13739 | UBS AG – Mapleleaf Edu European Warrants Dec 2018 A | 40,000,000 | 2018/05/17 | 2018/12/24 | 10.00 |
| 13750 | UBS AG – Henderson Land Dev European Warrants Nov 2018 A | 40,000,000 | 2018/05/17 | 2018/11/19 | 10.00 |
| 13749 | UBS AG – Hua Hong Semi European Warrants Dec 2018 A | 25,000,000 | 2018/05/17 | 2018/12/10 | 11.03 |
| 13751 | UBS AG – Tencent European Put Warrants Sep 2018 G | 200,000,000 | 2018/05/17 | 2018/09/24 | 30.60 |
| 13823 | BOCI Asia Ltd. – AIA European Warrants Oct 2018 A | 100,000,000 | 2018/05/18 | 2018/10/29 | 15.30 |
| 13821 | BOCI Asia Ltd. – Geely Auto European Warrants Oct 2018 B | 100,000,000 | 2018/05/18 | 2018/10/22 | 16.30 |
| 13813 | BNP Paribas Issuance B.V. – Sinopec Corp Eu Wts Apr 2019 A | 40,000,000 | 2018/05/18 | 2019/04/02 | 16.40 |
| 13872 | Credit Suisse AG – AIA European Put Warrants Oct 2018 B | 60,000,000 | 2018/05/18 | 2018/10/24 | 13.80 |
| 13870 | Credit Suisse AG – China Life European Put Wts Jan 2019 A | 50,000,000 | 2018/05/18 | 2019/01/31 | 12.50 |

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| 13873 | Credit Suisse AG – China Lit European Warrants Sep 2019 A | 100,000,000 | 2018/05/18 | 2019/09/30 | 25.00 |
| 13876 | Credit Suisse AG – HSBC European Put Warrants Oct 2018 B | 60,000,000 | 2018/05/18 | 2018/10/31 | 28.20 |
| 13880 | Credit Suisse AG – Kingdee Int'l European Warrants Feb 2019 A | 50,000,000 | 2018/05/18 | 2019/02/28 | 12.50 |
| 13903 | Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2018 D | 80,000,000 | 2018/05/18 | 2018/09/20 | 12.00 |
| 13900 | Goldman Sachs SP (Asia) – Ping An Euro Put Wts Sep 2018 J | 60,000,000 | 2018/05/18 | 2018/09/05 | 14.16 |
| 13902 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Oct 2018 A | 60,000,000 | 2018/05/18 | 2018/10/26 | 10.02 |
| 13894 | Goldman Sachs SP (Asia) – Tencent European Wt Nov 2018 B | 150,000,000 | 2018/05/18 | 2018/11/19 | 49.35 |
| 13896 | Goldman Sachs SP (Asia) – Tencent European Put Wt Aug 2018 N | 100,000,000 | 2018/05/18 | 2018/08/30 | 26.20 |
| 13832 | Haitong Int'l Sec – BAIC Motor European Warrants Dec 2018 A | 80,000,000 | 2018/05/18 | 2018/12/20 | 12.00 |
| 13811 | Haitong Int'l Sec – BAIC Motor European Warrants Dec 2019 A | 80,000,000 | 2018/05/18 | 2019/12/31 | 20.00 |
| 13787 | Haitong Int'l Sec – BYD Company European Warrants Nov 2018 A | 40,000,000 | 2018/05/18 | 2018/11/20 | 28.00 |
| 13790 | Haitong Int'l Sec – Country Garden European Warrants Nov 18 C | 60,000,000 | 2018/05/18 | 2018/11/30 | 19.80 |
| 13791 | Haitong Int'l Sec – Country Garden European Warrants Nov 18 D | 60,000,000 | 2018/05/18 | 2018/11/19 | 15.00 |
| 13763 | Haitong Int'l Sec – China Gas Hold European Warrants Dec 18 B | 50,000,000 | 2018/05/18 | 2018/12/28 | 19.00 |
| 13804 | Haitong Int'l Sec – Ch Oilfield European Warrants Dec 2019 A | 80,000,000 | 2018/05/18 | 2019/12/19 | 21.60 |
| 13767 | Haitong Int'l Sec – Sinopec Corp European Wts Oct 2018 A | 100,000,000 | 2018/05/18 | 2018/10/26 | 38.00 |
| 13838 | Haitong Int'l Sec – China State Con European Warrants Sep 19 A | 80,000,000 | 2018/05/18 | 2019/09/18 | 20.00 |
| 13810 | Haitong Int'l Sec – China Shenhua European Warrants Dec 18 A | 68,000,000 | 2018/05/18 | 2018/12/20 | 12.24 |
| 13780 | Haitong Int'l Sec – CSPC Pharma European Warrants Sep 2018 C | 50,000,000 | 2018/05/18 | 2018/09/28 | 22.50 |
| 13808 | Haitong Int'l Sec – CSPC Pharma European Warrants Oct 2018 B | 50,000,000 | 2018/05/18 | 2018/10/22 | 17.00 |
| 13778 | Haitong Int'l Sec – CSPC Pharma European Warrants Nov 2018 C | 50,000,000 | 2018/05/18 | 2018/11/19 | 27.00 |
| 13776 | Haitong Int'l Sec – China Taiping European Warrants Nov 18 A | 40,000,000 | 2018/05/18 | 2018/11/19 | 13.60 |
| 13759 | Haitong Int'l Sec – Geely Auto European Warrants Sep 2018 A | 80,000,000 | 2018/05/18 | 2018/09/26 | 12.80 |
| 13826 | Haitong Int'l Sec – Greentown China European Wts Dec 2018 C | 60,000,000 | 2018/05/18 | 2018/12/18 | 15.60 |
| 13772 | Haitong Int'l Sec – Baiyunshan Ph European Warrants Sep 18 A | 40,000,000 | 2018/05/18 | 2018/09/18 | 32.00 |
| 13768 | Haitong Int'l Sec – Link REIT European Warrants Dec 2018 A | 38,000,000 | 2018/05/18 | 2018/12/18 | 11.40 |
| 13788 | Haitong Int'l Sec – Sands China European Warrants Oct 2018 A | 40,000,000 | 2018/05/18 | 2018/10/25 | 12.40 |
| 13786 | Haitong Int'l Sec – Sino Biopharm European Wts Mar 2019 A | 40,000,000 | 2018/05/18 | 2019/03/01 | 13.60 |
| 13802 | Haitong Int'l Sec – Sh Pharma European Warrants Sep 2018 A | 40,000,000 | 2018/05/18 | 2018/09/21 | 12.40 |
| 13799 | Haitong Int'l Sec – Sunny Optical European Put Wts Nov 2018 B | 68,000,000 | 2018/05/18 | 2018/11/06 | 13.60 |
| 13758 | Haitong Int'l Sec – Tsingtao Brew European Warrants Nov 18 A | 30,000,000 | 2018/05/18 | 2018/11/19 | 17.10 |
| 13809 | Haitong Int'l Sec – Xinyi Glass European Warrants Jan 2019 A | 60,000,000 | 2018/05/18 | 2019/01/25 | 10.80 |
| 13866 | Haitong Int'l Sec – Yue Yuen Ind European Warrants Mar 2019 A | 20,000,000 | 2018/05/18 | 2019/03/29 | 10.60 |
| 13845 | Haitong Int'l Sec – YuHua Edu European Warrants Dec 2019 A | 80,000,000 | 2018/05/18 | 2019/12/31 | 20.00 |
| 13868 | J P Morgan SP BV – CNOOC European Warrants Oct 2018 A | 100,000,000 | 2018/05/18 | 2018/10/31 | 33.90 |
| 13883 | J P Morgan SP BV – Ch Overseas European Warrants Dec 2018 A | 100,000,000 | 2018/05/18 | 2018/12/03 | 36.10 |
| 13881 | J P Morgan SP BV – Sinopec Corp European Warrants Jan 2019 B | 100,000,000 | 2018/05/18 | 2019/01/03 | 30.40 |
| 13869 | J P Morgan SP BV – Kingdee Intl European Warrants Jan 2019 A | 60,000,000 | 2018/05/18 | 2019/01/10 | 10.14 |
| 13867 | J P Morgan SP BV – PetroChina European Put Warrants Oct 18 B | 100,000,000 | 2018/05/18 | 2018/10/16 | 16.00 |
| 13885 | J P Morgan SP BV – Zhongsheng Hold European Warrants Dec 18 A | 60,000,000 | 2018/05/18 | 2018/12/03 | 20.82 |
| 13862 | Macquarie Bank Ltd. – Anhui Conch European Wts Dec 2018 A | 25,000,000 | 2018/05/18 | 2018/12/04 | 10.00 |
| 13859 | Macquarie Bank Ltd. – AIA European Warrants Dec 2018 B | 33,000,000 | 2018/05/18 | 2018/12/04 | 10.03 |
| 13857 | Macquarie Bank Ltd. – BAIC Motor European Warrants Nov 2018 A | 10,700,000 | 2018/05/18 | 2018/11/19 | 10.43 |
| 13854 | Macquarie Bank Ltd. – BAIC Motor European Warrants Dec 2018 A | 65,000,000 | 2018/05/18 | 2018/12/24 | 10.34 |

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| | | (units) | Listing date | Expiry | |
| 13864 | Macquarie Bank Ltd. – CRRC European Warrants Dec 2018 A | 14,000,000 | 2018/05/18 | 2018/12/04 | 10.23 |
| 13865 | Macquarie Bank Ltd. – CRRC European Warrants Jun 2019 A | 12,000,000 | 2018/05/18 | 2019/06/28 | 10.80 |
| 13860 | Macquarie Bank Ltd. – CSDC European Warrants Feb 2019 A | 37,000,000 | 2018/05/18 | 2019/02/15 | 10.55 |
| 13858 | Macquarie Bank Ltd. – Baiyunshan Ph European Warrants May 19 A | 14,700,000 | 2018/05/18 | 2019/05/16 | 10.04 |
| 13905 | SG Issuer – HKEX European Warrants Sep 2018 D | 150,000,000 | 2018/05/18 | 2018/09/28 | 22.50 |
| 13906 | SG Issuer – Tencent European Warrants Oct 2018 D | 200,000,000 | 2018/05/18 | 2018/10/31 | 30.00 |
| 13910 | SG Issuer – Tencent European Warrants Nov 2018 C | 200,000,000 | 2018/05/18 | 2018/11/30 | 30.00 |
| 13846 | UBS AG – CSPC Pharma European Warrants Dec 2018 B | 40,000,000 | 2018/05/18 | 2018/12/07 | 14.76 |
| 13847 | UBS AG – CSPC Pharma European Warrants Dec 2018 C | 40,000,000 | 2018/05/18 | 2018/12/24 | 18.16 |
| 13852 | UBS AG – Geely Auto European Warrants Nov 2018 A | 50,000,000 | 2018/05/18 | 2018/11/13 | 10.55 |
| 13925 | BOCI Asia Ltd. – HSBC European Warrants Sep 2018 D | 100,000,000 | 2018/05/21 | 2018/09/28 | 17.30 |
| 13935 | Credit Suisse AG – ICBC European Warrants Sep 2018 A | 70,000,000 | 2018/05/21 | 2018/09/24 | 11.55 |
| 13992 | Credit Suisse AG – Sino Biopharm European Wts Nov 2018 A | 50,000,000 | 2018/05/21 | 2018/11/30 | 12.50 |
| 13933 | Credit Suisse AG – Sunny Optical European Put Wts Nov 2018 A | 100,000,000 | 2018/05/21 | 2018/11/09 | 21.00 |
| 14003 | Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2018 D | 80,000,000 | 2018/05/21 | 2018/09/20 | 12.00 |
| 14000 | Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2018 B | 80,000,000 | 2018/05/21 | 2018/10/26 | 30.80 |
| 13994 | Goldman Sachs SP (Asia) – PetroChina European Wts Aug 2018 B | 80,000,000 | 2018/05/21 | 2018/08/29 | 12.00 |
| 14004 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Sep 2018 B | 70,000,000 | 2018/05/21 | 2018/09/20 | 10.50 |
| 13958 | Haitong Int'l Sec – CNBM European Warrants Nov 2018 A | 10,000,000 | 2018/05/21 | 2018/11/22 | 10.70 |
| 13945 | Haitong Int'l Sec – China Overseas European Warrants Nov 18 A | 40,000,000 | 2018/05/21 | 2018/11/30 | 12.00 |
| 13948 | Haitong Int'l Sec – China Overseas European Put Wts Nov 18 B | 60,000,000 | 2018/05/21 | 2018/11/30 | 11.40 |
| 13949 | Haitong Int'l Sec – China Unicom European Warrants Aug 2018 A | 20,000,000 | 2018/05/21 | 2018/08/28 | 13.60 |
| 13952 | Haitong Int'l Sec – China Unicom European Warrants Nov 2018 A | 20,000,000 | 2018/05/21 | 2018/11/22 | 10.80 |
| 13943 | Haitong Int'l Sec – New World Dev European Warrants Dec 18 A | 20,000,000 | 2018/05/21 | 2018/12/18 | 12.00 |
| 13953 | Haitong Int'l Sec – Zall Group European Warrants Sep 2018 A | 40,000,000 | 2018/05/21 | 2018/09/26 | 10.80 |
| 13956 | Haitong Int'l Sec – Zall Group European Warrants Dec 2018 A | 40,000,000 | 2018/05/21 | 2018/12/18 | 10.80 |
| 13993 | J P Morgan SP BV – HSCEI ETF European Warrants Oct 2018 B | 100,000,000 | 2018/05/21 | 2018/10/31 | 36.80 |
| 13932 | Macquarie Bank Ltd. – China Overseas European Wts Dec 2018 B | 38,000,000 | 2018/05/21 | 2018/12/04 | 10.30 |
| 13919 | Macquarie Bank Ltd. – Chi Res Power European Wts Apr 2019 A | 4,700,000 | 2018/05/21 | 2019/04/18 | 10.11 |
| 13913 | Macquarie Bank Ltd. – Geely Auto European Wts Dec 2018 B | 4,000,000 | 2018/05/21 | 2018/12/04 | 10.24 |
| 13927 | Macquarie Bank Ltd. – Kingboard Chem European Wts Feb 2019 A | 30,000,000 | 2018/05/21 | 2019/02/15 | 10.92 |
| 13911 | Macquarie Bank Ltd. – Ping An European Warrants Sep 2018 C | 36,500,000 | 2018/05/21 | 2018/09/04 | 10.04 |
| 13914 | Macquarie Bank Ltd. – Ping An European Warrants Oct 2018 D | 36,000,000 | 2018/05/21 | 2018/10/03 | 10.01 |
| 13928 | Macquarie Bank Ltd. – ZA Online European Warrants Dec 2018 A | 13,000,000 | 2018/05/21 | 2018/12/14 | 10.74 |
| 14019 | SG Issuer – AAC Tech European Warrants Sep 2018 B | 60,000,000 | 2018/05/21 | 2018/09/28 | 13.20 |
| 14058 | SG Issuer – AAC Tech European Put Warrants Feb 2019 A | 80,000,000 | 2018/05/21 | 2019/02/28 | 20.00 |
| 14006 | SG Issuer – AIA European Put Warrants Nov 2018 A | 60,000,000 | 2018/05/21 | 2018/11/30 | 24.90 |
| 14060 | SG Issuer – Country Garden European Warrants Nov 2018 A | 60,000,000 | 2018/05/21 | 2018/11/30 | 15.30 |
| 14024 | SG Issuer – Ch Gas Hold Eu Wt Nov 2018 A | 30,000,000 | 2018/05/21 | 2018/11/20 | 15.30 |
| 14025 | SG Issuer – Ch Gas Hold Eu Wt Dec 2018 A | 30,000,000 | 2018/05/21 | 2018/12/05 | 10.80 |
| 14007 | SG Issuer – China Lit European Warrants Jun 2019 A | 100,000,000 | 2018/05/21 | 2019/06/28 | 25.00 |
| 14016 | SG Issuer – CSPC Pharma European Warrants Oct 2018 B | 40,000,000 | 2018/05/21 | 2018/10/31 | 13.40 |
| 14017 | SG Issuer – CSPC Pharma European Warrants Nov 2018 B | 40,000,000 | 2018/05/21 | 2018/11/30 | 10.00 |
| 14030 | SG Issuer – China Unicom European Warrants Nov 2018 B | 13,000,000 | 2018/05/21 | 2018/11/30 | 10.01 |

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| 14033 | SG Issuer – China Unicom European Put Warrants Nov 2018 C | 12,000,000 | 2018/05/21 | 2018/11/30 | 10.08 |
| 14038 | SG Issuer – Geely Auto European Warrants Sep 2018 C | 60,000,000 | 2018/05/21 | 2018/09/28 | 10.20 |
| 14039 | SG Issuer – Geely Auto European Put Warrants Oct 2018 A | 50,000,000 | 2018/05/21 | 2018/10/31 | 10.00 |
| 14056 | SG Issuer – HSCEI European Put Warrants Sep 2018 B | 70,000,000 | 2018/05/21 | 2018/09/27 | 12.60 |
| 14057 | SG Issuer – HSI European Put Warrants Aug 2018 G | 100,000,000 | 2018/05/21 | 2018/08/30 | 15.00 |
| 14043 | SG Issuer – Sands China European Put Warrants Nov 2018 B | 40,000,000 | 2018/05/21 | 2018/11/30 | 12.00 |
| 14048 | SG Issuer – SHK Ppt European Warrants Dec 2018 A | 13,000,000 | 2018/05/21 | 2018/12/31 | 10.01 |
| 14054 | SG Issuer – Sunny Optical European Warrants Dec 2018 A | 60,000,000 | 2018/05/21 | 2018/12/31 | 15.00 |
| 14049 | SG Issuer – Sunny Optical European Put Warrants Nov 2018 B | 60,000,000 | 2018/05/21 | 2018/11/30 | 12.90 |
| 14055 | SG Issuer – Wharf Reic European Warrants Dec 2018 A | 18,000,000 | 2018/05/21 | 2018/12/31 | 10.08 |
| 13959 | UBS AG – AAC Tech European Put Warrants Mar 2019 A | 100,000,000 | 2018/05/21 | 2019/03/18 | 20.60 |
| 13963 | UBS AG – AIA European Warrants Nov 2018 A | 100,000,000 | 2018/05/21 | 2018/11/20 | 29.50 |
| 13978 | UBS AG – Sinopec Corp European Warrants Oct 2018 A | 35,000,000 | 2018/05/21 | 2018/10/23 | 10.29 |
| 13989 | UBS AG – Nine Dragons Paper European Warrants Nov 2018 B | 70,000,000 | 2018/05/21 | 2018/11/26 | 17.50 |
| 13961 | UBS AG – PetroChina European Put Warrants Nov 2018 B | 40,000,000 | 2018/05/21 | 2018/11/20 | 18.00 |
| 13969 | UBS AG – Sunny Optical European Warrants Sep 2018 C | 100,000,000 | 2018/05/21 | 2018/09/20 | 16.30 |
| 13937 | Bank Vontobel – China Mobile European Warrants Dec 2018 A | 40,000,000 | 2018/05/21 | 2018/12/03 | 13.28 |
| 13939 | Bank Vontobel – Sinopec Corp European Warrants Dec 2018 A | 30,000,000 | 2018/05/21 | 2018/12/03 | 11.31 |
| 13938 | Bank Vontobel – HKEX European Put Warrants Dec 2018 E | 100,000,000 | 2018/05/21 | 2018/12/24 | 17.40 |
| 13936 | Bank Vontobel – MTRC European Warrants Dec 2018 A | 40,000,000 | 2018/05/21 | 2018/12/27 | 10.40 |
| 14061 | BNP Paribas Issuance B.V. – CSPC Pharma Euro Wts Dec 2018 A | 50,000,000 | 2018/05/23 | 2018/12/04 | 14.00 |
| 14065 | BNP Paribas Issuance B.V. – CSPC Pharma Euro Wts Jan 2019 A | 50,000,000 | 2018/05/23 | 2019/01/03 | 13.50 |
| 14144 | Credit Suisse AG – China EB Int'l European Wts Dec 2018 A | 40,000,000 | 2018/05/23 | 2018/12/31 | 10.00 |
| 14146 | Credit Suisse AG – CGN Power European Warrants Sep 2018 A | 70,000,000 | 2018/05/23 | 2018/09/28 | 10.50 |
| 14142 | Credit Suisse AG – CM Bank European Warrants Sep 2018 B | 70,000,000 | 2018/05/23 | 2018/09/20 | 10.50 |
| 14141 | Credit Suisse AG – HSCEI ETF European Warrants Oct 2018 A | 30,000,000 | 2018/05/23 | 2018/10/31 | 11.70 |
| 14143 | Credit Suisse AG – KB Laminates European Warrants Dec 2018 A | 60,000,000 | 2018/05/23 | 2018/12/31 | 15.00 |
| 14150 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Aug 2018 D | 70,000,000 | 2018/05/23 | 2018/08/29 | 10.50 |
| 14151 | Goldman Sachs SP (Asia) – AIA European Put Wts Oct 2018 C | 60,000,000 | 2018/05/23 | 2018/10/24 | 12.18 |
| 14147 | Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Oct 2018 A | 60,000,000 | 2018/05/23 | 2018/10/23 | 15.00 |
| 14148 | Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2018 E | 80,000,000 | 2018/05/23 | 2018/09/20 | 12.00 |
| 14172 | Goldman Sachs SP (Asia) – HSI European Warrants Sep 2018 F | 150,000,000 | 2018/05/23 | 2018/09/27 | 22.50 |
| 14178 | Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2018 K | 150,000,000 | 2018/05/23 | 2018/08/30 | 22.50 |
| 14069 | HK Bank – AIA European Warrants Nov 2018 A | 50,000,000 | 2018/05/23 | 2018/11/30 | 15.00 |
| 14083 | HK Bank – China Gas Hold European Warrants Nov 2018 A | 50,000,000 | 2018/05/23 | 2018/11/30 | 12.50 |
| 14074 | HK Bank – China Lit European Warrants Nov 2018 A | 25,000,000 | 2018/05/23 | 2018/11/30 | 10.00 |
| 14081 | HK Bank – CSOP A50 ETF European Warrants Jun 2019 A | 200,000,000 | 2018/05/23 | 2019/06/27 | 30.00 |
| 14082 | HK Bank – A50 China European Warrants Jul 2019 A | 200,000,000 | 2018/05/23 | 2019/07/02 | 30.00 |
| 14067 | HK Bank – HSI European Put Warrants Aug 2018 L | 150,000,000 | 2018/05/23 | 2018/08/30 | 22.50 |
| 14129 | Haitong Int'l Sec – CSPC Pharma European Warrants Sep 2018 D | 40,000,000 | 2018/05/23 | 2018/09/28 | 15.60 |
| 14133 | Haitong Int'l Sec – CSPC Pharma European Warrants Dec 2018 B | 40,000,000 | 2018/05/23 | 2018/12/31 | 18.80 |
| 14134 | Haitong Int'l Sec – CSPC Pharma European Warrants Dec 2018 C | 40,000,000 | 2018/05/23 | 2018/12/18 | 15.20 |
| 14137 | Haitong Int'l Sec – CSPC Pharma European Warrants Feb 2019 A | 40,000,000 | 2018/05/23 | 2019/02/18 | 14.80 |
| 14128 | Haitong Int'l Sec – CSPC Pharma European Put Warrants Dec 18 A | 28,000,000 | 2018/05/23 | 2018/12/31 | 10.92 |

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| | | (units) | Listing date | Expiry | |
| 14094 | Macquarie Bank Ltd. – Anta Sports European Wts Jun 2019 A | 12,500,000 | 2018/05/23 | 2019/06/14 | 10.08 |
| 14091 | Macquarie Bank Ltd. – CKH European Put Warrants Jan 2019 B | 21,000,000 | 2018/05/23 | 2019/01/03 | 10.02 |
| 14093 | Macquarie Bank Ltd. – CM Bank European Put Warrants Jan 19 A | 25,000,000 | 2018/05/23 | 2019/01/03 | 10.23 |
| 14089 | Macquarie Bank Ltd. – China Tel European Warrants Apr 2019 A | 40,000,000 | 2018/05/23 | 2019/04/02 | 10.00 |
| 14085 | Macquarie Bank Ltd. – MTRC European Warrants Apr 2019 A | 40,000,000 | 2018/05/23 | 2019/04/02 | 10.08 |
| 14088 | Macquarie Bank Ltd. – New World Dev European Wts Dec 2018 A | 20,000,000 | 2018/05/23 | 2018/12/04 | 10.18 |
| 14152 | SG Issuer – CSPC Pharma European Warrants Sep 2018 C | 50,000,000 | 2018/05/23 | 2018/09/21 | 21.00 |
| 14156 | SG Issuer – HSI European Put Warrants Nov 2018 D | 100,000,000 | 2018/05/23 | 2018/11/29 | 15.00 |
| 14153 | SG Issuer – Tencent European Warrants Aug 2018 G | 200,000,000 | 2018/05/23 | 2018/08/31 | 30.00 |
| 14155 | SG Issuer – ZA Online European Warrants Nov 2018 A | 13,000,000 | 2018/05/23 | 2018/11/22 | 10.01 |
| 14096 | UBS AG – CSPC Pharma European Warrants Sep 2018 C | 60,000,000 | 2018/05/23 | 2018/09/28 | 30.66 |
| 14103 | UBS AG – CSPC Pharma European Warrants Sep 2018 D | 60,000,000 | 2018/05/23 | 2018/09/24 | 15.18 |
| 14097 | UBS AG – CSPC Pharma European Warrants Oct 2018 D | 60,000,000 | 2018/05/23 | 2018/10/05 | 22.74 |
| 14105 | UBS AG – CSPC Pharma European Warrants Nov 2018 D | 60,000,000 | 2018/05/23 | 2018/11/07 | 21.30 |
| 14104 | UBS AG – Fosun Pharma European Warrants Nov 2018 A | 15,000,000 | 2018/05/23 | 2018/11/26 | 11.39 |
| 14213 | BNP Paribas Issuance B.V. – Anta Sports Euro Wt Dec 2018 A | 40,000,000 | 2018/05/24 | 2018/12/04 | 16.80 |
| 14212 | BNP Paribas Issuance B.V. – Genscript Bio Euro Wts Jan 2019 A | 40,000,000 | 2018/05/24 | 2019/01/03 | 28.80 |
| 14226 | Credit Suisse AG – Bank of China European Warrants Oct 2018 C | 60,000,000 | 2018/05/24 | 2018/10/31 | 10.20 |
| 14223 | Credit Suisse AG – CSPC Pharma European Warrants Sep 2018 D | 40,000,000 | 2018/05/24 | 2018/09/24 | 10.80 |
| 14225 | Credit Suisse AG – CSPC Pharma European Warrants Oct 2018 B | 40,000,000 | 2018/05/24 | 2018/10/22 | 18.00 |
| 14229 | Credit Suisse AG – A50 China European Warrants Jun 2019 A | 150,000,000 | 2018/05/24 | 2019/06/21 | 22.50 |
| 14227 | Credit Suisse AG – ZA Online European Warrants Nov 2018 A | 20,000,000 | 2018/05/24 | 2018/11/23 | 14.00 |
| 14245 | Goldman Sachs SP (Asia) – CKH Holdings European Wts Nov 18 A | 60,000,000 | 2018/05/24 | 2018/11/29 | 16.14 |
| 14246 | Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Oct 2018 A | 40,000,000 | 2018/05/24 | 2018/10/24 | 11.68 |
| 14249 | Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2018 A | 60,000,000 | 2018/05/24 | 2018/10/25 | 12.90 |
| 14253 | Goldman Sachs SP (Asia) – Ping An European Wts Oct 2018 C | 100,000,000 | 2018/05/24 | 2018/10/26 | 32.40 |
| 14247 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Sep 2018 C | 70,000,000 | 2018/05/24 | 2018/09/20 | 10.50 |
| 14211 | HK Bank – Agricultural Bank European Warrants Nov 2018 B | 50,000,000 | 2018/05/24 | 2018/11/30 | 12.50 |
| 14205 | HK Bank – Bank of China European Warrants Nov 2018 A | 50,000,000 | 2018/05/24 | 2018/11/30 | 12.50 |
| 14210 | HK Bank – CC Bank European Warrants Nov 2018 A | 50,000,000 | 2018/05/24 | 2018/11/30 | 17.50 |
| 14204 | HK Bank – China Lit European Warrants Jun 2019 A | 150,000,000 | 2018/05/24 | 2019/06/28 | 37.50 |
| 14198 | HK Bank – ICBC European Warrants Nov 2018 A | 50,000,000 | 2018/05/24 | 2018/11/30 | 12.50 |
| 14285 | Haitong Int'l Sec – HSI European Warrants Oct 2018 A | 200,000,000 | 2018/05/24 | 2018/10/30 | 30.60 |
| 14284 | Haitong Int'l Sec – HSI European Warrants Jan 2019 B | 200,000,000 | 2018/05/24 | 2019/01/30 | 39.00 |
| 14287 | Haitong Int'l Sec – HSI European Warrants Mar 2019 A | 200,000,000 | 2018/05/24 | 2019/03/28 | 40.00 |
| 14281 | Haitong Int'l Sec – HSI European Put Warrants Nov 2018 A | 200,000,000 | 2018/05/24 | 2018/11/29 | 37.20 |
| 14279 | Haitong Int'l Sec – HSI European Put Warrants Jan 2019 A | 150,000,000 | 2018/05/24 | 2019/01/30 | 27.00 |
| 14266 | Haitong Int'l Sec – IGG European Warrants Nov 2018 A | 50,000,000 | 2018/05/24 | 2018/11/26 | 12.50 |
| 14267 | Haitong Int'l Sec – IGG European Warrants Nov 2018 B | 50,000,000 | 2018/05/24 | 2018/11/26 | 13.50 |
| 14268 | Haitong Int'l Sec – IGG European Put Warrants Nov 2018 C | 50,000,000 | 2018/05/24 | 2018/11/26 | 12.50 |
| 14275 | Haitong Int'l Sec – Lee & Man Paper European Warrants Feb 19 A | 50,000,000 | 2018/05/24 | 2019/02/28 | 13.50 |
| 14277 | Haitong Int'l Sec – ND Paper European Warrants Feb 2019 A | 50,000,000 | 2018/05/24 | 2019/02/18 | 15.50 |
| 14233 | J P Morgan SP BV – CNBM European Warrants Oct 2018 A | 40,000,000 | 2018/05/24 | 2018/10/16 | 29.56 |
| 14244 | J P Morgan SP BV – CSPC Pharma European Warrants Oct 2018 A | 60,000,000 | 2018/05/24 | 2018/10/31 | 22.92 |

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| 14236 | J P Morgan SP BV – ND Paper European Warrants Mar 2019 A | 80,000,000 | 2018/05/24 | 2019/03/01 | 20.16 |
| 14183 | Macquarie Bank Ltd. – Genscript Bio European Warrants Jul 19 A | 15,000,000 | 2018/05/24 | 2019/07/12 | 12.32 |
| 14184 | Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2019 A | 19,000,000 | 2018/05/24 | 2019/02/04 | 10.22 |
| 14185 | Macquarie Bank Ltd. – SHK Ppt European Put Wts Feb 2019 B | 19,000,000 | 2018/05/24 | 2019/02/04 | 10.07 |
| 14258 | SG Issuer – Conch Cement European Warrants Nov 2018 A | 25,000,000 | 2018/05/24 | 2018/11/30 | 10.25 |
| 14263 | SG Issuer – CKH Holdings European Warrants Nov 2018 A | 30,000,000 | 2018/05/24 | 2018/11/30 | 13.95 |
| 14254 | SG Issuer – CNBM European Warrants Sep 2018 B | 10,000,000 | 2018/05/24 | 2018/09/28 | 10.80 |
| 14262 | SG Issuer – CRCC European Warrants Nov 2018 A | 16,000,000 | 2018/05/24 | 2018/11/30 | 10.08 |
| 14257 | SG Issuer – CSPC Pharma European Warrants Sep 2018 D | 50,000,000 | 2018/05/24 | 2018/09/21 | 10.25 |
| 14265 | SG Issuer – Kingdee Intl European Warrants Sep 2018 A | 10,000,000 | 2018/05/24 | 2018/09/28 | 10.50 |
| 14218 | UBS AG – CITIC Bank European Warrants Nov 2018 A | 70,000,000 | 2018/05/24 | 2018/11/23 | 17.50 |
| 14219 | UBS AG – China South Air European Warrants Sep 2018 A | 14,000,000 | 2018/05/24 | 2018/09/24 | 10.02 |
| 14215 | UBS AG – Kingdee Intl European Warrants Mar 2019 A | 100,000,000 | 2018/05/24 | 2019/03/22 | 18.80 |
| 14179 | Bank Vontobel – HKEX European Warrants May 2019 A | 100,000,000 | 2018/05/24 | 2019/05/31 | 25.30 |
| 14197 | Bank Vontobel – SUNAC European Warrants Sep 2018 A | 30,000,000 | 2018/05/24 | 2018/09/17 | 13.08 |
| 14294 | BNP Paribas Issuance B.V. – Tencent European Wts Oct 2018 I | 80,000,000 | 2018/05/25 | 2018/10/03 | 24.00 |
| 14289 | BNP Paribas Issuance B.V. – Tencent European Wts Jan 2019 J | 80,000,000 | 2018/05/25 | 2019/01/03 | 20.00 |
| 14290 | BNP Paribas Issuance B.V. – Tencent European Wts Apr 2019 G | 80,000,000 | 2018/05/25 | 2019/04/02 | 31.20 |
| 14295 | BNP Paribas Issuance B.V. – Tencent Eu Put Wt Jan 2019 K | 80,000,000 | 2018/05/25 | 2019/01/03 | 24.00 |
| 14372 | Goldman Sachs SP (Asia) – China Unicom Euro Wts Aug 2018 B | 60,000,000 | 2018/05/25 | 2018/08/27 | 47.22 |
| 14377 | Goldman Sachs SP (Asia) – Ping An European Wts Sep 2018 K | 100,000,000 | 2018/05/25 | 2018/09/20 | 17.60 |
| 14378 | Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Oct 2018 A | 80,000,000 | 2018/05/25 | 2018/10/24 | 18.96 |
| 14368 | Goldman Sachs SP (Asia) – Tencent European Wt Oct 2018 G | 150,000,000 | 2018/05/25 | 2018/10/26 | 22.50 |
| 14367 | Goldman Sachs SP (Asia) – Tencent European Wt Nov 2018 C | 150,000,000 | 2018/05/25 | 2018/11/27 | 42.90 |
| 14313 | HK Bank – Evergrande European Warrants Nov 2018 B | 40,000,000 | 2018/05/25 | 2018/11/30 | 11.20 |
| 14322 | HK Bank – HSI European Warrants Nov 2018 C | 150,000,000 | 2018/05/25 | 2018/11/29 | 37.50 |
| 14324 | HK Bank – HSI European Warrants Dec 2018 B | 150,000,000 | 2018/05/25 | 2018/12/28 | 22.50 |
| 14320 | HK Bank – HSI European Put Warrants Nov 2018 B | 150,000,000 | 2018/05/25 | 2018/11/29 | 37.50 |
| 14315 | HK Bank – SMIC European Warrants Jan 2019 A | 100,000,000 | 2018/05/25 | 2019/01/11 | 15.00 |
| 14330 | Haitong Int'l Sec – CSPC Pharma European Warrants Sep 2018 E | 50,000,000 | 2018/05/25 | 2018/09/21 | 11.50 |
| 14329 | Haitong Int'l Sec – CSPC Pharma European Warrants Nov 2018 D | 50,000,000 | 2018/05/25 | 2018/11/26 | 20.50 |
| 14328 | Haitong Int'l Sec – CSPC Pharma European Put Wts Dec 2018 D | 50,000,000 | 2018/05/25 | 2018/12/31 | 22.50 |
| 14347 | Haitong Int'l Sec – Li Ning European Warrants Mar 2019 C | 50,000,000 | 2018/05/25 | 2019/03/01 | 10.50 |
| 14344 | Haitong Int'l Sec – Meitu European Warrants Mar 2019 A | 80,000,000 | 2018/05/25 | 2019/03/28 | 20.80 |
| 14342 | Haitong Int'l Sec – NCI European Warrants Nov 2018 A | 40,000,000 | 2018/05/25 | 2018/11/26 | 12.00 |
| 14343 | Haitong Int'l Sec – PICC Group European Warrants Nov 2018 B | 25,000,000 | 2018/05/25 | 2018/11/26 | 10.25 |
| 14331 | Haitong Int'l Sec – Ping An European Warrants Oct 2018 C | 80,000,000 | 2018/05/25 | 2018/10/26 | 39.20 |
| 14333 | Haitong Int'l Sec – Ping An European Warrants Oct 2018 D | 80,000,000 | 2018/05/25 | 2018/10/26 | 28.00 |
| 14336 | Haitong Int'l Sec – Ping An European Warrants Oct 2018 E | 80,000,000 | 2018/05/25 | 2018/10/29 | 16.80 |
| 14352 | J P Morgan SP BV – Genscript Bio European Warrants Dec 2018 A | 40,000,000 | 2018/05/25 | 2018/12/03 | 21.60 |
| 14350 | J P Morgan SP BV – Link REIT European Warrants Dec 2018 A | 50,000,000 | 2018/05/25 | 2018/12/03 | 12.60 |
| 14326 | Macquarie Bank Ltd. – China Huarong European Wts Mar 2019 A | 20,000,000 | 2018/05/25 | 2019/03/18 | 10.00 |
| 14311 | Macquarie Bank Ltd. – CRPH European Warrants Jun 2019 A | 39,000,000 | 2018/05/25 | 2019/06/14 | 10.14 |
| 14327 | Macquarie Bank Ltd. – CSPC Pharma European Wts Dec 2018 B | 35,000,000 | 2018/05/25 | 2018/12/03 | 10.01 |

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| 14354 | SG Issuer – CITIC Sec European Warrants Dec 2018 B | 70,000,000 | 2018/05/25 | 2018/12/31 | 10.50 |
| 14355 | SG Issuer – HSI European Put Warrants Nov 2018 E | 100,000,000 | 2018/05/25 | 2018/11/29 | 15.00 |
| 14360 | UBS AG – 3SBIO European Warrants Oct 2018 A | 30,000,000 | 2018/05/25 | 2018/10/24 | 10.98 |
| 14363 | UBS AG – Agile Ppt European Warrants Dec 2018 A | 40,000,000 | 2018/05/25 | 2018/12/17 | 10.64 |
| 14358 | UBS AG – BAIC Motor European Warrants Sep 2019 A | 40,000,000 | 2018/05/25 | 2019/09/30 | 10.00 |
| 14364 | UBS AG – Country Garden European Warrants Jan 2019 A | 60,000,000 | 2018/05/25 | 2019/01/21 | 10.68 |
| 14365 | UBS AG – China Res Beer European Warrants Oct 2018 A | 30,000,000 | 2018/05/25 | 2018/10/25 | 10.62 |
| 14361 | UBS AG – Crphoenixhealth European Warrants Dec 2018 A | 40,000,000 | 2018/05/25 | 2018/12/24 | 10.00 |
| 14357 | UBS AG – China Travel HK European Warrants Dec 2018 A | 15,000,000 | 2018/05/25 | 2018/12/24 | 10.82 |
| 14301 | Bank Vontobel – CM Bank European Warrants Dec 2018 A | 40,000,000 | 2018/05/25 | 2018/12/04 | 10.04 |
| 14310 | Bank Vontobel – Power Assets European Warrants Nov 2018 A | 30,000,000 | 2018/05/25 | 2018/11/30 | 11.49 |
| 14307 | Bank Vontobel – Sands China European Warrants Dec 2018 A | 40,000,000 | 2018/05/25 | 2018/12/04 | 10.40 |
| 14306 | Bank Vontobel – SHK Ppt European Warrants Dec 2018 A | 30,000,000 | 2018/05/25 | 2018/12/03 | 17.10 |
| 14300 | Bank Vontobel – Wharf Holdings European Warrants Dec 2018 A | 40,000,000 | 2018/05/25 | 2018/12/04 | 14.04 |
| 12334 # | Credit Suisse AG – CSPC Pharma European Warrants Sep 2018 B | 40,000,000 | 2018/05/25 | 2018/09/28 | 15.80 |
| 14418 | BOCI Asia Ltd. – CNOOC European Warrants Nov 2018 A | 50,000,000 | 2018/05/28 | 2018/11/28 | 18.55 |
| 14419 | BOCI Asia Ltd. – Sinopec Corp European Warrants Nov 2018 A | 60,000,000 | 2018/05/28 | 2018/11/30 | 15.24 |
| 14421 | BOCI Asia Ltd. – China Shenhua European Warrants Nov 2018 A | 80,000,000 | 2018/05/28 | 2018/11/27 | 12.00 |
| 14414 | BOCI Asia Ltd. – PetroChina European Warrants Nov 2018 B | 60,000,000 | 2018/05/28 | 2018/11/29 | 15.06 |
| 14410 | BOCI Asia Ltd. – PSBC European Warrants Oct 2018 A | 50,000,000 | 2018/05/28 | 2018/10/24 | 16.05 |
| 14470 | BOCI Asia Ltd. – Yanzhou Coal European Warrants Dec 2018 C | 70,000,000 | 2018/05/28 | 2018/12/10 | 10.50 |
| 14448 | BNP Paribas Issuance B.V. – CNOOC European Wts Jan 2019 B | 40,000,000 | 2018/05/28 | 2019/01/03 | 22.00 |
| 14467 | BNP Paribas Issuance B.V. – PetroChina Euro Wts Jan 2019 B | 40,000,000 | 2018/05/28 | 2019/01/03 | 12.80 |
| 14462 | BNP Paribas Issuance B.V. – Sino Biopharm Euro Wts Jan 2019 A | 40,000,000 | 2018/05/28 | 2019/01/03 | 11.60 |
| 14424 | Credit Suisse AG – BAIC Motor European Warrants Jul 2019 A | 80,000,000 | 2018/05/28 | 2019/07/31 | 20.00 |
| 14527 | Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2018 E | 80,000,000 | 2018/05/28 | 2018/09/24 | 23.44 |
| 14525 | Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Dec 2019 A | 70,000,000 | 2018/05/28 | 2019/12/20 | 10.50 |
| 14533 | Goldman Sachs SP (Asia) – Ping An European Wts Oct 2018 D | 100,000,000 | 2018/05/28 | 2018/10/24 | 26.10 |
| 14534 | Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2018 H | 100,000,000 | 2018/05/28 | 2018/10/30 | 15.00 |
| 14479 | J P Morgan SP BV – CITIC Bank European Warrants Oct 2018 A | 100,000,000 | 2018/05/28 | 2018/10/31 | 36.70 |
| 14515 | J P Morgan SP BV – Mapleleaf Edu European Warrants Dec 2018 A | 50,000,000 | 2018/05/28 | 2018/12/03 | 12.80 |
| 14500 | J P Morgan SP BV – Chinares Pharma European Warrants Jan 19 A | 60,000,000 | 2018/05/28 | 2019/01/31 | 10.14 |
| 14489 | J P Morgan SP BV – Chi Res Power European Wts May 2019 A | 50,000,000 | 2018/05/28 | 2019/05/03 | 12.55 |
| 14486 | J P Morgan SP BV – Trad Chi Med European Warrants Oct 2018 A | 50,000,000 | 2018/05/28 | 2018/10/15 | 34.70 |
| 14503 | J P Morgan SP BV – R&F Ppt European Warrants Nov 2018 A | 60,000,000 | 2018/05/28 | 2018/11/09 | 11.64 |
| 14498 | J P Morgan SP BV – Hua Hong Semi European Warrants Nov 2018 A | 50,000,000 | 2018/05/28 | 2018/11/06 | 15.90 |
| 14506 | J P Morgan SP BV – Logan PPT European Warrants Mar 2019 A | 50,000,000 | 2018/05/28 | 2019/03/01 | 12.55 |
| 14482 | J P Morgan SP BV – Maanshan Iron European Warrants Dec 2018 A | 60,000,000 | 2018/05/28 | 2018/12/03 | 23.34 |
| 14487 | J P Morgan SP BV – Minth Group European Warrants Dec 2018 A | 50,000,000 | 2018/05/28 | 2018/12/03 | 19.15 |
| 14522 | J P Morgan SP BV – PetroChina European Warrants Dec 2018 B | 100,000,000 | 2018/05/28 | 2018/12/03 | 25.10 |
| 14514 | J P Morgan SP BV – PetroChina European Put Warrants Dec 18 A | 100,000,000 | 2018/05/28 | 2018/12/03 | 28.40 |
| 14504 | J P Morgan SP BV – Sino-Ocean Gp European Warrants Dec 2018 A | 30,000,000 | 2018/05/28 | 2018/12/03 | 12.39 |
| 14484 | J P Morgan SP BV – Sihuan Pharm European Warrants Dec 2018 A | 50,000,000 | 2018/05/28 | 2018/12/03 | 17.75 |
| 14474 | J P Morgan SP BV – Want Want Ch European Warrants Nov 2018 A | 50,000,000 | 2018/05/28 | 2018/11/30 | 39.45 |

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| 14509 | J P Morgan SP BV – YOFC European Warrants Dec 2018 A | 50,000,000 | 2018/05/28 | 2018/12/31 | 35.85 |
| 14492 | J P Morgan SP BV – YuHua Edu European Warrants Dec 2019 A | 50,000,000 | 2018/05/28 | 2019/12/02 | 12.65 |
| 14401 | Macquarie Bank Ltd. – Brilliance Chi Euro Wts Dec 2018 A | 40,000,000 | 2018/05/28 | 2018/12/31 | 10.32 |
| 14399 | Macquarie Bank Ltd. – Brilliance Chi Euro Wts Mar 2019 A | 40,000,000 | 2018/05/28 | 2019/03/15 | 10.20 |
| 14397 | Macquarie Bank Ltd. – CLP European Warrants Dec 2018 A | 32,000,000 | 2018/05/28 | 2018/12/14 | 10.50 |
| 14394 | Macquarie Bank Ltd. – PSBC European Warrants Mar 2019 A | 21,000,000 | 2018/05/28 | 2019/03/15 | 10.16 |
| 14444 | SG Issuer – CNOOC European Warrants Oct 2018 B | 20,000,000 | 2018/05/28 | 2018/10/31 | 11.00 |
| 14447 | SG Issuer – CNOOC European Put Warrants Nov 2018 C | 20,000,000 | 2018/05/28 | 2018/11/30 | 11.00 |
| 14458 | SG Issuer – PetroChina European Warrants Sep 2018 C | 50,000,000 | 2018/05/28 | 2018/09/28 | 10.50 |
| 14465 | SG Issuer – Tencent European Warrants Sep 2018 J | 200,000,000 | 2018/05/28 | 2018/09/28 | 30.00 |
| 14468 | SG Issuer – Yanzhou Coal Eu Wt Sep 2018 C | 80,000,000 | 2018/05/28 | 2018/09/28 | 12.00 |
| 14427 | UBS AG – CNOOC European Warrants Oct 2018 B | 40,000,000 | 2018/05/28 | 2018/10/26 | 17.08 |
| 14425 | UBS AG – CNOOC European Warrants Nov 2018 A | 40,000,000 | 2018/05/28 | 2018/11/27 | 12.28 |
| 14441 | UBS AG – CNOOC European Put Warrants Oct 2019 A | 100,000,000 | 2018/05/28 | 2019/10/14 | 25.00 |
| 14435 | UBS AG – Sinopec Corp European Warrants Nov 2018 A | 50,000,000 | 2018/05/28 | 2018/11/27 | 12.75 |
| 14440 | UBS AG – Kunlun Energy European Warrants Nov 2018 A | 25,000,000 | 2018/05/28 | 2018/11/27 | 10.70 |
| 14429 | UBS AG – PetroChina European Warrants Nov 2018 C | 70,000,000 | 2018/05/28 | 2018/11/27 | 17.50 |
| 14392 | Bank Vontobel – CHALCO European Warrants Dec 2018 A | 20,000,000 | 2018/05/28 | 2018/12/27 | 15.18 |
| 14387 | Bank Vontobel – New World Dev European Warrants Dec 2018 A | 15,000,000 | 2018/05/28 | 2018/12/31 | 10.05 |
| 14389 | Bank Vontobel – PetroChina European Warrants Nov 2018 B | 50,000,000 | 2018/05/28 | 2018/11/27 | 18.50 |
| 14423 | Bank Vontobel – Swire Pacific A European Warrants Oct 2018 B | 30,000,000 | 2018/05/28 | 2018/10/22 | 10.59 |
| 14391 | Bank Vontobel – Zijin Mining European Warrants Dec 2018 A | 30,000,000 | 2018/05/28 | 2018/12/03 | 11.73 |
| 14626 | Credit Suisse AG – BJ Ent Water European Warrants Nov 2018 A | 20,000,000 | 2018/05/29 | 2018/11/28 | 10.40 |
| 14601 | Credit Suisse AG – Sinopec Corp European Warrants Nov 2018 A | 50,000,000 | 2018/05/29 | 2018/11/28 | 13.50 |
| 14603 | Credit Suisse AG – Genscript Bio European Warrants Nov 2018 B | 30,000,000 | 2018/05/29 | 2018/11/28 | 16.50 |
| 14629 | Credit Suisse AG – Genscript Bio European Warrants Nov 2018 C | 30,000,000 | 2018/05/29 | 2018/11/28 | 21.60 |
| 14625 | Credit Suisse AG – Maanshan Iron European Warrants Nov 2018 A | 30,000,000 | 2018/05/29 | 2018/11/28 | 10.20 |
| 14656 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Dec 2018 A | 70,000,000 | 2018/05/29 | 2018/12/24 | 10.50 |
| 14650 | Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2018 B | 80,000,000 | 2018/05/29 | 2018/09/26 | 12.00 |
| 14654 | Goldman Sachs SP (Asia) – SHK Ppt European Wts Sep 2018 A | 40,000,000 | 2018/05/29 | 2018/09/14 | 10.48 |
| 14657 | Goldman Sachs SP (Asia) – Stanchart European Wts Sep 2018 A | 50,000,000 | 2018/05/29 | 2018/09/24 | 10.00 |
| 14662 | Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Sep 2018 D | 70,000,000 | 2018/05/29 | 2018/09/20 | 10.50 |
| 14593 | HK Bank – AAC Tech European Warrants Dec 2018 A | 100,000,000 | 2018/05/29 | 2018/12/03 | 25.00 |
| 14537 | Haitong Int'l Sec – China Travel HK European Warrants Dec 18 A | 32,000,000 | 2018/05/29 | 2018/12/18 | 10.88 |
| 14550 | Haitong Int'l Sec – Genscript Bio European Warrants Dec 18 A | 30,000,000 | 2018/05/29 | 2018/12/03 | 17.10 |
| 14539 | Haitong Int'l Sec – Sihuan Pharm European Warrants Dec 2018 A | 30,000,000 | 2018/05/29 | 2018/12/18 | 15.30 |
| 14548 | Haitong Int'l Sec – SinoTrans European Warrants Dec 2018 A | 30,000,000 | 2018/05/29 | 2018/12/18 | 15.90 |
| 14536 | Haitong Int'l Sec – Want Want China European Warrants Dec 18 B | 18,000,000 | 2018/05/29 | 2018/12/18 | 11.70 |
| 14581 | Macquarie Bank Ltd. – China Life European Wts Jan 2019 B | 10,500,000 | 2018/05/29 | 2019/01/03 | 10.05 |
| 14578 | Macquarie Bank Ltd. – China Life European Wts Feb 2019 A | 40,000,000 | 2018/05/29 | 2019/02/04 | 10.00 |
| 14595 | Macquarie Bank Ltd. – Sinopec Corp Euro Put Wts Dec 2018 B | 25,000,000 | 2018/05/29 | 2018/12/04 | 10.15 |
| 14575 | Macquarie Bank Ltd. – COSCO Ship Hold European Wts Apr 19 A | 13,000,000 | 2018/05/29 | 2019/04/02 | 10.11 |
| 14588 | Macquarie Bank Ltd. – PetroChina European Wts Jan 2019 B | 34,000,000 | 2018/05/29 | 2019/01/03 | 10.13 |
| 14596 | Macquarie Bank Ltd. – Tencent European Warrants Dec 2018 G | 40,000,000 | 2018/05/29 | 2018/12/24 | 10.00 |

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| 14597 | Macquarie Bank Ltd. – Tencent European Warrants Dec 2018 H | 30,000,000 | 2018/05/29 | 2018/12/24 | 17.31 |
| 14554 | SG Issuer – China Coal European Warrants Nov 2018 A | 30,000,000 | 2018/05/29 | 2018/11/30 | 10.20 |
| 14607 | SG Issuer – CITIC Bank European Warrants Nov 2018 A | 26,000,000 | 2018/05/29 | 2018/11/30 | 10.01 |
| 14608 | SG Issuer – COSCO Ship Hold European Warrants Dec 2018 A | 20,000,000 | 2018/05/29 | 2018/12/31 | 14.20 |
| 14609 | SG Issuer – ICBC European Warrants Oct 2018 A | 60,000,000 | 2018/05/29 | 2018/10/31 | 19.20 |
| 14615 | SG Issuer – Kingdee Intl European Warrants Nov 2018 A | 10,000,000 | 2018/05/29 | 2018/11/30 | 10.00 |
| 14616 | SG Issuer – Ping An European Warrants Sep 2018 G | 80,000,000 | 2018/05/29 | 2018/09/28 | 16.40 |
| 14620 | SG Issuer – Ping An European Warrants Oct 2018 B | 80,000,000 | 2018/05/29 | 2018/10/31 | 32.40 |
| 14621 | SG Issuer – Sands China European Warrants Nov 2018 C | 40,000,000 | 2018/05/29 | 2018/11/30 | 10.00 |
| 14565 | SG Issuer – Tencent European Warrants Aug 2018 H | 20,000,000 | 2018/05/29 | 2018/08/31 | 37.80 |
| 14567 | SG Issuer – Tencent European Warrants Aug 2018 I | 20,000,000 | 2018/05/29 | 2018/08/31 | 16.80 |
| 14572 | SG Issuer – Tencent European Warrants Dec 2018 C | 200,000,000 | 2018/05/29 | 2018/12/31 | 30.00 |
| 14574 | SG Issuer – Tencent European Warrants Sep 2019 A | 200,000,000 | 2018/05/29 | 2019/09/30 | 77.00 |
| 14571 | SG Issuer – Tencent European Put Warrants Sep 2018 K | 150,000,000 | 2018/05/29 | 2018/09/28 | 30.75 |
| 14643 | UBS AG – MTR Corporation European Warrants Jan 2019 A | 70,000,000 | 2018/05/29 | 2019/01/28 | 23.52 |
| 14637 | UBS AG – Sino Biopharm European Warrants Nov 2018 A | 40,000,000 | 2018/05/29 | 2018/11/23 | 12.68 |
| 14644 | UBS AG – Wynn Macau European Warrants Nov 2018 A | 70,000,000 | 2018/05/29 | 2018/11/28 | 22.47 |
| 14746 | Credit Suisse AG – Mapleleaf Edu European Warrants Nov 2018 A | 50,000,000 | 2018/05/30 | 2018/11/29 | 16.50 |
| 14753 | Credit Suisse AG – Huaneng Power European Warrants Nov 2018 A | 30,000,000 | 2018/05/30 | 2018/11/29 | 13.20 |
| 14823 | Credit Suisse AG – Kingdee Int'l European Warrants Feb 2019 B | 60,000,000 | 2018/05/30 | 2019/02/28 | 15.00 |
| 14742 | Credit Suisse AG – Tencent Euro Put Wts Sep 2018 G | 100,000,000 | 2018/05/30 | 2018/09/28 | 21.00 |
| 14745 | Credit Suisse AG – Want Want European Warrants Nov 2018 A | 20,000,000 | 2018/05/30 | 2018/11/29 | 15.60 |
| 14841 | Goldman Sachs SP (Asia) – China Shenhua Euro Wts Sep 2018 B | 70,000,000 | 2018/05/30 | 2018/09/18 | 10.50 |
| 14855 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Sep 2018 C | 80,000,000 | 2018/05/30 | 2018/09/24 | 25.36 |
| 14824 | Goldman Sachs SP (Asia) – KECL European Warrants Sep 2018 B | 50,000,000 | 2018/05/30 | 2018/09/20 | 14.30 |
| 14849 | Goldman Sachs SP (Asia) – Kingsoft European Wts Sep 2018 B | 60,000,000 | 2018/05/30 | 2018/09/21 | 10.08 |
| 14850 | Goldman Sachs SP (Asia) – ZA Online European Warrants Nov 18 A | 30,000,000 | 2018/05/30 | 2018/11/15 | 15.00 |
| 14720 | Haitong Int'l Sec – Mapleleaf Edu European Warrants Nov 18 A | 50,000,000 | 2018/05/30 | 2018/11/30 | 15.50 |
| 14755 | Haitong Int'l Sec – Goodbaby Intl European Warrants Dec 18 A | 12,000,000 | 2018/05/30 | 2018/12/31 | 11.64 |
| 14757 | Haitong Int'l Sec – H&H Intl Hldg European Warrants Mar 20 A | 80,000,000 | 2018/05/30 | 2020/03/30 | 20.00 |
| 14719 | Haitong Int'l Sec – Maanshan Iron European Wts Nov 2018 A | 30,000,000 | 2018/05/30 | 2018/11/30 | 12.00 |
| 14721 | Haitong Int'l Sec – Sands China European Warrants Nov 2018 A | 40,000,000 | 2018/05/30 | 2018/11/30 | 10.80 |
| 14727 | Haitong Int'l Sec – YuHua Edu European Warrants Dec 2019 B | 80,000,000 | 2018/05/30 | 2019/12/31 | 20.00 |
| 14740 | J P Morgan SP BV – Bank of China European Warrants Nov 2018 A | 100,000,000 | 2018/05/30 | 2018/11/30 | 15.00 |
| 14737 | J P Morgan SP BV – Fosun Pharma European Warrants Dec 2018 A | 25,000,000 | 2018/05/30 | 2018/12/03 | 12.00 |
| 14730 | J P Morgan SP BV – HSI European Put Warrants Dec 2018 D | 300,000,000 | 2018/05/30 | 2018/12/28 | 75.30 |
| 14731 | J P Morgan SP BV – HSI European Put Warrants Dec 2018 E | 300,000,000 | 2018/05/30 | 2018/12/28 | 75.30 |
| 14739 | J P Morgan SP BV – ICBC European Warrants Dec 2018 A | 100,000,000 | 2018/05/30 | 2018/12/03 | 25.10 |
| 14738 | J P Morgan SP BV – New World Dev European Wts Dec 2018 A | 30,000,000 | 2018/05/30 | 2018/12/04 | 13.62 |
| 14736 | J P Morgan SP BV – Sino Biopharm European Warrants Dec 2018 A | 40,000,000 | 2018/05/30 | 2018/12/31 | 10.08 |
| 14741 | J P Morgan SP BV – WH Group European Warrants Oct 2018 A | 60,000,000 | 2018/05/30 | 2018/10/12 | 26.70 |
| 14701 | Macquarie Bank Ltd. – CICC European Warrants Jan 2019 A | 40,000,000 | 2018/05/30 | 2019/01/15 | 10.28 |
| 14710 | Macquarie Bank Ltd. – CRCC European Put Warrants Jan 2019 B | 12,000,000 | 2018/05/30 | 2019/01/03 | 10.78 |
| 14664 | Macquarie Bank Ltd. – Haitong Sec European Wts May 2019 A | 8,000,000 | 2018/05/30 | 2019/05/02 | 10.38 |

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| 14709 | Macquarie Bank Ltd. – Sands China European Wts Jan 2019 B | 5,000,000 | 2018/05/30 | 2019/01/03 | 10.85 |
| 14666 | Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 C | 10,000,000 | 2018/05/30 | 2019/01/02 | 10.00 |
| 14672 | Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 D | 7,000,000 | 2018/05/30 | 2019/01/02 | 11.68 |
| 14696 | Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 E | 7,500,000 | 2018/05/30 | 2019/01/02 | 20.46 |
| 14669 | Macquarie Bank Ltd. – ZA Online European Warrants Mar 2019 A | 10,000,000 | 2018/05/30 | 2019/03/15 | 10.91 |
| 14767 | SG Issuer – CAM CSI300 European Warrants Aug 2018 A | 50,000,000 | 2018/05/30 | 2018/08/31 | 10.75 |
| 14774 | SG Issuer – CAM CSI300 European Warrants Dec 2018 A | 40,000,000 | 2018/05/30 | 2018/12/31 | 10.00 |
| 14789 | SG Issuer – CC Bank European Put Warrants Nov 2018 B | 40,000,000 | 2018/05/30 | 2018/11/30 | 12.20 |
| 14780 | SG Issuer – China Life European Warrants Jul 2019 A | 120,000,000 | 2018/05/30 | 2019/07/31 | 18.00 |
| 14781 | SG Issuer – Ch Molybdenum European Warrants Nov 2018 B | 15,000,000 | 2018/05/30 | 2018/11/08 | 10.50 |
| 14788 | SG Issuer – CSOP A50 ETF European Warrants Nov 2018 A | 25,000,000 | 2018/05/30 | 2018/11/30 | 10.75 |
| 14777 | SG Issuer – CSOP A50 ETF European Warrants Mar 2019 A | 20,000,000 | 2018/05/30 | 2019/03/29 | 21.80 |
| 14791 | SG Issuer – GF Sec European Warrants Sep 2019 A | 70,000,000 | 2018/05/30 | 2019/09/30 | 17.50 |
| 14760 | SG Issuer – HKEX European Warrants Dec 2018 D | 200,000,000 | 2018/05/30 | 2018/12/31 | 30.00 |
| 14761 | SG Issuer – HKEX European Warrants Mar 2019 A | 200,000,000 | 2018/05/30 | 2019/03/29 | 50.00 |
| 14792 | SG Issuer – Haitong Sec European Warrants Dec 2018 A | 11,000,000 | 2018/05/30 | 2018/12/31 | 10.34 |
| 14793 | SG Issuer – Huaneng Power European Warrants Dec 2018 A | 20,000,000 | 2018/05/30 | 2018/12/31 | 11.20 |
| 14794 | SG Issuer – ICBC European Put Wt Nov 2018 A | 40,000,000 | 2018/05/30 | 2018/11/30 | 11.80 |
| 14785 | SG Issuer – NCI European Warrants Dec 2018 A | 30,000,000 | 2018/05/30 | 2018/12/31 | 12.45 |
| 14787 | SG Issuer – Ping An European Warrants Feb 2020 A | 500,000,000 | 2018/05/30 | 2020/02/28 | 75.00 |
| 14763 | SG Issuer – Tencent European Put Warrants Oct 2018 E | 150,000,000 | 2018/05/30 | 2018/10/31 | 22.50 |
| 14821 | UBS AG – Ch LongYuan European Warrants May 2019 A | 65,000,000 | 2018/05/30 | 2019/05/24 | 10.14 |
| 14815 | UBS AG – CNOOC European Warrants Dec 2019 B | 70,000,000 | 2018/05/30 | 2019/12/20 | 10.50 |
| 14796 | UBS AG – HKEX European Warrants Oct 2018 E | 300,000,000 | 2018/05/30 | 2018/10/24 | 46.20 |
| 14798 | UBS AG – HKEX European Warrants Oct 2018 F | 300,000,000 | 2018/05/30 | 2018/10/30 | 61.50 |
| 14795 | UBS AG – HKEX European Warrants Dec 2018 A | 300,000,000 | 2018/05/30 | 2018/12/24 | 45.00 |
| 14808 | UBS AG – Kunlun Energy European Warrants Sep 2018 B | 45,000,000 | 2018/05/30 | 2018/09/21 | 10.53 |
| 14801 | UBS AG – Tencent European Warrants Aug 2018 E | 300,000,000 | 2018/05/30 | 2018/08/29 | 46.50 |
| 14802 | UBS AG – Tencent European Warrants Sep 2018 H | 300,000,000 | 2018/05/30 | 2018/09/24 | 45.00 |
| 14807 | UBS AG – Tencent European Put Warrants Sep 2018 I | 200,000,000 | 2018/05/30 | 2018/09/28 | 85.80 |
| 14860 | BNP Paribas Issuance B.V. – CC Bank Euro Wts Jan 2019 D | 40,000,000 | 2018/05/31 | 2019/01/03 | 11.20 |
| 14864 | BNP Paribas Issuance B.V. – CC Bank Euro Wts Apr 2019 A | 40,000,000 | 2018/05/31 | 2019/04/02 | 10.00 |
| 14857 | BNP Paribas Issuance B.V. – HSBC European Wts Apr 2019 A | 40,000,000 | 2018/05/31 | 2019/04/02 | 15.60 |
| 14858 | BNP Paribas Issuance B.V. – HSBC European Wts Jul 2019 B | 40,000,000 | 2018/05/31 | 2019/07/03 | 12.80 |
| 14866 | BNP Paribas Issuance B.V. – ICBC European Wts Jan 2019 D | 40,000,000 | 2018/05/31 | 2019/01/03 | 13.60 |
| 14865 | BNP Paribas Issuance B.V. – ICBC European Wts Apr 2019 A | 40,000,000 | 2018/05/31 | 2019/04/02 | 12.80 |
| 15022 | Credit Suisse AG – Bank of China European Warrants Oct 2018 D | 60,000,000 | 2018/05/31 | 2018/10/12 | 10.20 |
| 15139 | Credit Suisse AG – CKI Holdings European Warrants Nov 2018 A | 40,000,000 | 2018/05/31 | 2018/11/30 | 13.20 |
| 15069 | Credit Suisse AG – CNOOC European Warrants Sep 2019 B | 100,000,000 | 2018/05/31 | 2019/09/24 | 18.00 |
| 15066 | Credit Suisse AG – China Shenhua European Wts Nov 2018 C | 70,000,000 | 2018/05/31 | 2018/11/23 | 10.50 |
| 14986 | Credit Suisse AG – HKEX European Warrants Oct 2018 E | 200,000,000 | 2018/05/31 | 2018/10/24 | 30.00 |
| 14987 | Credit Suisse AG – HSBC European Wts Sep 2018 B | 100,000,000 | 2018/05/31 | 2018/09/24 | 23.00 |
| 15072 | Credit Suisse AG – Haitong Sec European Warrants Nov 2018 A | 25,000,000 | 2018/05/31 | 2018/11/30 | 17.00 |
| 14994 | Credit Suisse AG – ICBC European Warrants Sep 2018 B | 70,000,000 | 2018/05/31 | 2018/09/20 | 11.20 |

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| 15039 | Credit Suisse AG – NCI European Warrants Nov 2018 A | 40,000,000 | 2018/05/31 | 2018/11/30 | 15.20 |
| 15058 | Credit Suisse AG – Sands China European Warrants Sep 2018 A | 60,000,000 | 2018/05/31 | 2018/09/24 | 10.20 |
| 15173 | Goldman Sachs SP (Asia) – BOCL European Warrants Oct 2018 E | 80,000,000 | 2018/05/31 | 2018/10/26 | 12.00 |
| 15191 | Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2018 C | 80,000,000 | 2018/05/31 | 2018/10/24 | 48.40 |
| 15187 | Goldman Sachs SP (Asia) – A50 China European Wts Aug 2018 B | 80,000,000 | 2018/05/31 | 2018/08/31 | 16.48 |
| 15196 | Goldman Sachs SP (Asia) – HSI European Warrants Oct 2018 C | 150,000,000 | 2018/05/31 | 2018/10/30 | 22.50 |
| 15188 | Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2018 C | 80,000,000 | 2018/05/31 | 2018/09/26 | 24.56 |
| 14966 | HK Bank – China Overseas European Warrants Nov 2018 A | 80,000,000 | 2018/05/31 | 2018/11/27 | 12.80 |
| 14983 | HK Bank – HSI European Put Warrants Sep 2018 H | 150,000,000 | 2018/05/31 | 2018/09/27 | 22.50 |
| 14984 | HK Bank – HSI European Put Warrants Nov 2018 D | 150,000,000 | 2018/05/31 | 2018/11/29 | 22.50 |
| 15157 | J P Morgan SP BV – COSCO Sh Hold European Warrants Dec 2018 A | 50,000,000 | 2018/05/31 | 2018/12/03 | 19.70 |
| 15155 | J P Morgan SP BV – KECL European Warrants Dec 2018 A | 40,000,000 | 2018/05/31 | 2018/12/03 | 13.64 |
| 15156 | J P Morgan SP BV – Kingsoft European Warrants Dec 2018 A | 60,000,000 | 2018/05/31 | 2018/12/31 | 15.06 |
| 15146 | J P Morgan SP BV – NagaCorp European Warrants May 2019 A | 60,000,000 | 2018/05/31 | 2019/05/03 | 15.12 |
| 15144 | J P Morgan SP BV – SUNAC European Warrants Dec 2018 B | 60,000,000 | 2018/05/31 | 2018/12/03 | 22.80 |
| 14938 | Macquarie Bank Ltd. – Beijing Air European Wts Apr 2019 A | 40,000,000 | 2018/05/31 | 2019/04/18 | 10.08 |
| 14905 | Macquarie Bank Ltd. – China Mobile European Wts Dec 2018 A | 4,400,000 | 2018/05/31 | 2018/12/04 | 10.00 |
| 14894 | Macquarie Bank Ltd. – HKEX European Warrants Dec 2018 C | 10,000,000 | 2018/05/31 | 2018/12/04 | 10.41 |
| 14898 | Macquarie Bank Ltd. – HKEX European Warrants Dec 2018 D | 60,000,000 | 2018/05/31 | 2018/12/04 | 15.00 |
| 14935 | Macquarie Bank Ltd. – HKEX European Put Warrants Dec 2018 E | 12,000,000 | 2018/05/31 | 2018/12/04 | 10.22 |
| 14934 | Macquarie Bank Ltd. – HSBC European Warrants Dec 2018 C | 4,100,000 | 2018/05/31 | 2018/12/04 | 10.21 |
| 14878 | Macquarie Bank Ltd. – Kingsoft European Warrants Dec 2018 B | 40,000,000 | 2018/05/31 | 2018/12/04 | 10.00 |
| 14943 | Macquarie Bank Ltd. – Ping An European Warrants Dec 2018 A | 17,000,000 | 2018/05/31 | 2018/12/04 | 10.22 |
| 14950 | Macquarie Bank Ltd. – Ping An European Warrants Dec 2018 B | 30,000,000 | 2018/05/31 | 2018/12/04 | 10.14 |
| 14958 | Macquarie Bank Ltd. – Ping An European Warrants Dec 2018 C | 37,000,000 | 2018/05/31 | 2018/12/04 | 10.06 |
| 14900 | Macquarie Bank Ltd. – Sinopharm European Warrants May 2019 A | 13,000,000 | 2018/05/31 | 2019/05/16 | 10.02 |
| 14869 | Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 F | 8,500,000 | 2018/05/31 | 2019/01/02 | 34.35 |
| 14870 | Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 G | 9,000,000 | 2018/05/31 | 2019/01/02 | 56.92 |
| 15262 | SG Issuer – AIA European Warrants Aug 2018 B | 60,000,000 | 2018/05/31 | 2018/08/31 | 12.30 |
| 15263 | SG Issuer – Galaxy Ent European Warrants Nov 2018 A | 40,000,000 | 2018/05/31 | 2018/11/30 | 21.60 |
| 15265 | SG Issuer – KECL European Warrants Oct 2018 A | 20,000,000 | 2018/05/31 | 2018/10/31 | 10.20 |
| 15232 | SG Issuer – Maanshan Iron European Warrants Sep 2018 A | 33,000,000 | 2018/05/31 | 2018/09/28 | 10.07 |
| 15246 | SG Issuer – ND Paper European Warrants Nov 2018 A | 70,000,000 | 2018/05/31 | 2018/11/19 | 12.60 |
| 15269 | SG Issuer – ND Paper European Warrants Nov 2018 B | 70,000,000 | 2018/05/31 | 2018/11/01 | 15.40 |
| 15275 | SG Issuer – Ping An European Warrants Nov 2018 A | 80,000,000 | 2018/05/31 | 2018/11/30 | 35.60 |
| 15289 | SG Issuer – Want Want China European Warrants Nov 2018 A | 14,000,000 | 2018/05/31 | 2018/11/30 | 10.50 |
| 15529 | BOCI Asia Ltd. – CC Bank European Warrants Sep 2018 E | 70,000,000 | 2018/06/01 | 2018/09/20 | 18.27 |
| 15498 | BOCI Asia Ltd. – CSPC Pharma European Warrants Nov 2018 A | 50,000,000 | 2018/06/01 | 2018/11/12 | 14.80 |
| 15625 | Credit Suisse AG – Brilliance Chi European Wts Dec 2018 A | 40,000,000 | 2018/06/01 | 2018/12/03 | 10.00 |
| 15589 | Credit Suisse AG – Ch Molybdenum European Wts Nov 2018 B | 30,000,000 | 2018/06/01 | 2018/11/08 | 18.60 |
| 15599 | Credit Suisse AG – Lenovo European Warrants Oct 2018 A | 50,000,000 | 2018/06/01 | 2018/10/26 | 10.00 |
| 15597 | Credit Suisse AG – SUNAC European Warrants Dec 2018 A | 40,000,000 | 2018/06/01 | 2018/12/03 | 24.00 |
| 15795 | Goldman Sachs SP (Asia) – CNOOC European Warrants Sep 2018 A | 80,000,000 | 2018/06/01 | 2018/09/03 | 40.08 |
| 15754 | Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Sep 2018 A | 60,000,000 | 2018/06/01 | 2018/09/28 | 10.02 |

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| 15757 | Goldman Sachs SP (Asia) – CPIC European Warrants Oct 2018 A | 70,000,000 | 2018/06/01 | 2018/10/03 | 10.50 |
| 15756 | Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2018 B | 60,000,000 | 2018/06/01 | 2018/10/26 | 10.08 |
| 15773 | Goldman Sachs SP (Asia) – Ping An European Wts Oct 2018 E | 100,000,000 | 2018/06/01 | 2018/10/26 | 15.00 |
| 15366 | HK Bank – Meitu European Warrants Feb 2019 A | 60,000,000 | 2018/06/01 | 2019/02/28 | 15.00 |
| 15356 | HK Bank – ZA Online European Warrants Nov 2018 A | 35,000,000 | 2018/06/01 | 2018/11/30 | 10.50 |
| 15295 | Haitong Int'l Sec – Ali Pictures European Warrants Dec 2019 A | 40,000,000 | 2018/06/01 | 2019/12/18 | 19.60 |
| 15346 | Haitong Int'l Sec – CAM CSI300 European Warrants Oct 2018 A | 60,000,000 | 2018/06/01 | 2018/10/24 | 11.40 |
| 15348 | Haitong Int'l Sec – CAM CSI300 European Warrants Jun 2019 A | 40,000,000 | 2018/06/01 | 2019/06/28 | 11.60 |
| 15303 | Haitong Int'l Sec – CSOP A50 ETF European Warrants Oct 2018 B | 30,000,000 | 2018/06/01 | 2018/10/25 | 16.20 |
| 15331 | Haitong Int'l Sec – A50 China European Warrants Dec 2018 A | 30,000,000 | 2018/06/01 | 2018/12/03 | 18.00 |
| 15565 | J P Morgan SP BV – AIA European Warrants Sep 2018 B | 100,000,000 | 2018/06/01 | 2018/09/28 | 25.30 |
| 15563 | J P Morgan SP BV – China Life European Warrants Oct 2018 B | 100,000,000 | 2018/06/01 | 2018/10/02 | 15.10 |
| 15566 | J P Morgan SP BV – CSPC Pharma European Warrants Dec 2018 A | 60,000,000 | 2018/06/01 | 2018/12/03 | 16.68 |
| 15583 | J P Morgan SP BV – Meitu European Warrants Nov 2018 A | 100,000,000 | 2018/06/01 | 2018/11/30 | 16.60 |
| 15533 | J P Morgan SP BV – Ping An European Warrants Dec 2018 B | 150,000,000 | 2018/06/01 | 2018/12/03 | 37.50 |
| 15415 | Macquarie Bank Ltd. – BYD Company European Put Wts Jan 19 A | 16,000,000 | 2018/06/01 | 2019/01/03 | 10.00 |
| 15430 | Macquarie Bank Ltd. – Lenovo European Warrants Feb 2019 A | 40,000,000 | 2018/06/01 | 2019/02/04 | 10.56 |
| 15402 | Macquarie Bank Ltd. – Tencent European Put Wts Dec 2018 I | 22,000,000 | 2018/06/01 | 2018/12/24 | 10.01 |
| 15410 | Macquarie Bank Ltd. – Tencent European Put Wts Jan 2019 H | 6,000,000 | 2018/06/01 | 2019/01/02 | 16.43 |
| 15655 | SG Issuer – CC Bank European Warrants Sep 2018 A | 60,000,000 | 2018/06/01 | 2018/09/28 | 18.30 |
| 15649 | SG Issuer – CITIC Bank European Warrants Oct 2018 A | 23,000,000 | 2018/06/01 | 2018/10/31 | 10.12 |
| 15666 | SG Issuer – China Life European Warrants Jun 2019 A | 120,000,000 | 2018/06/01 | 2019/06/28 | 22.20 |
| 15696 | SG Issuer – CNOOC European Warrants Sep 2019 A | 200,000,000 | 2018/06/01 | 2019/09/30 | 34.00 |
| 15704 | SG Issuer – ICBC European Warrants Sep 2018 C | 60,000,000 | 2018/06/01 | 2018/09/28 | 16.20 |
| 15746 | SG Issuer – Maanshan Iron European Warrants Nov 2018 A | 35,000,000 | 2018/06/01 | 2018/11/30 | 10.15 |
| 15750 | SG Issuer – Shimao Ppt Eu Wt Nov 2018 A | 40,000,000 | 2018/06/01 | 2018/11/30 | 10.00 |
| 15387 | UBS AG – Brilliance Chi European Warrants Oct 2018 B | 70,000,000 | 2018/06/01 | 2018/10/30 | 12.18 |
| 15370 | UBS AG – Ch LongYuan European Warrants Jun 2019 A | 50,000,000 | 2018/06/01 | 2019/06/24 | 12.50 |
| 15384 | UBS AG – China Res Beer European Warrants Nov 2018 A | 25,000,000 | 2018/06/01 | 2018/11/09 | 11.40 |
| 15397 | UBS AG – Chinares Cement European Warrants Sep 2019 A | 70,000,000 | 2018/06/01 | 2019/09/23 | 17.50 |
| 15389 | UBS AG – CSPC Pharma European Warrants Dec 2018 D | 60,000,000 | 2018/06/01 | 2018/12/13 | 16.20 |
| 15388 | UBS AG – PetroChina European Warrants Nov 2018 D | 70,000,000 | 2018/06/01 | 2018/11/22 | 22.54 |
| 15375 | UBS AG – Tencent European Warrants Sep 2018 J | 300,000,000 | 2018/06/01 | 2018/09/24 | 97.80 |
| 15383 | UBS AG – Tencent European Put Warrants Oct 2018 E | 200,000,000 | 2018/06/01 | 2018/10/26 | 51.80 |
| 15455 | Bank Vontobel – CC Bank European Warrants Oct 2018 B | 50,000,000 | 2018/06/01 | 2018/10/24 | 16.00 |
| 15447 | Bank Vontobel – CNOOC European Warrants Oct 2018 C | 50,000,000 | 2018/06/01 | 2018/10/29 | 25.05 |
| 15491 | Bank Vontobel – HKEX European Warrants Sep 2018 C | 100,000,000 | 2018/06/01 | 2018/09/28 | 16.70 |
| 15453 | Bank Vontobel – New World Dev European Warrants Dec 2019 A | 80,000,000 | 2018/06/01 | 2019/12/10 | 15.68 |
| 15474 | Bank Vontobel – Tencent European Warrants Nov 2018 B | 100,000,000 | 2018/06/01 | 2018/11/27 | 26.20 |
| 15957 | Credit Suisse AG – Sinopec Corp European Warrants Oct 2018 B | 50,000,000 | 2018/06/04 | 2018/10/26 | 16.00 |
| 15949 | Credit Suisse AG – Chinares Cement European Warrants Jul 19 A | 60,000,000 | 2018/06/04 | 2019/07/31 | 15.00 |
| 15950 | Credit Suisse AG – CSPC Pharma European Warrants Nov 2018 A | 40,000,000 | 2018/06/04 | 2018/11/26 | 14.40 |
| 15958 | Credit Suisse AG – Sunny Optical European Warrants Sep 2018 D | 100,000,000 | 2018/06/04 | 2018/09/20 | 17.00 |
| 16105 | Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Sep 2018 A | 40,000,000 | 2018/06/04 | 2018/09/20 | 13.56 |

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| 16131 | Goldman Sachs SP (Asia) – CNOOC European Warrants Sep 2019 A | 100,000,000 | 2018/06/04 | 2019/09/25 | 15.00 |
| 16091 | Goldman Sachs SP (Asia) – China Overseas European Wts Sep 18 B | 80,000,000 | 2018/06/04 | 2018/09/07 | 12.00 |
| 16106 | Goldman Sachs SP (Asia) – Ping An European Wts Jun 2020 A | 200,000,000 | 2018/06/04 | 2020/06/22 | 30.00 |
| 16164 | Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2018 M | 100,000,000 | 2018/06/04 | 2018/09/28 | 18.00 |
| 15852 | J P Morgan SP BV – Brilliance Chi European Wts Oct 2018 A | 70,000,000 | 2018/06/04 | 2018/10/30 | 11.62 |
| 15817 | J P Morgan SP BV – Chinares Cement Euro Warrants Dec 2018 A | 30,000,000 | 2018/06/04 | 2018/12/31 | 29.88 |
| 15808 | J P Morgan SP BV – Li & Fung European Warrants Dec 2018 A | 60,000,000 | 2018/06/04 | 2018/12/31 | 15.06 |
| 15863 | J P Morgan SP BV – Lenovo European Warrants Nov 2018 A | 100,000,000 | 2018/06/04 | 2018/11/01 | 15.90 |
| 15796 | J P Morgan SP BV – PetroChina European Warrants Nov 2018 A | 100,000,000 | 2018/06/04 | 2018/11/08 | 25.60 |
| 15833 | J P Morgan SP BV – Shenzhen Int'l European Warrants Apr 19 A | 60,000,000 | 2018/06/04 | 2019/04/30 | 15.06 |
| 15802 | J P Morgan SP BV – WuXi Bio European Warrants Dec 2018 A | 40,000,000 | 2018/06/04 | 2018/12/31 | 10.12 |
| 15977 | SG Issuer – Brilliance Chi European Warrants Oct 2018 A | 50,000,000 | 2018/06/04 | 2018/10/24 | 10.00 |
| 15979 | SG Issuer – Sinopec Corp European Warrants Sep 2018 A | 35,000,000 | 2018/06/04 | 2018/09/27 | 10.68 |
| 15982 | SG Issuer – CSPC Pharma European Warrants Nov 2018 C | 50,000,000 | 2018/06/04 | 2018/11/26 | 17.75 |
| 16082 | SG Issuer – A50 China European Warrants Dec 2018 A | 25,000,000 | 2018/06/04 | 2018/12/03 | 11.25 |
| 16023 | SG Issuer – Lenovo European Warrants Dec 2018 A | 35,000,000 | 2018/06/04 | 2018/12/31 | 10.15 |
| 16037 | SG Issuer – Tencent European Warrants Feb 2019 A | 200,000,000 | 2018/06/04 | 2019/02/28 | 76.00 |
| 16045 | SG Issuer – Tencent European Warrants May 2019 A | 200,000,000 | 2018/06/04 | 2019/05/31 | 76.00 |
| 15897 | UBS AG – China Gas Hold European Warrants Dec 2018 A | 35,000,000 | 2018/06/04 | 2018/12/04 | 10.26 |
| 15940 | UBS AG – CLP Holdings European Warrants Dec 2018 A | 50,000,000 | 2018/06/04 | 2018/12/14 | 10.75 |
| 15939 | UBS AG – Mapleleaf Edu European Warrants Jan 2019 A | 30,000,000 | 2018/06/04 | 2019/01/28 | 10.11 |
| 15943 | UBS AG – CSOP A50 ETF European Warrants Sep 2018 D | 40,000,000 | 2018/06/04 | 2018/09/11 | 10.04 |
| 15894 | UBS AG – Baiyunshan Ph European Warrants Dec 2018 A | 17,000,000 | 2018/06/04 | 2018/12/04 | 10.12 |
| 15869 | UBS AG – ZA Onine European Warrants Dec 2018 A | 12,000,000 | 2018/06/04 | 2018/12/04 | 10.25 |
| 16289 | BOCI Asia Ltd. – Kingdee Int'l European Warrants Mar 2019 A | 70,000,000 | 2018/06/05 | 2019/03/22 | 17.50 |
| 16422 | BNP Paribas Issuance B.V. – AAC Tech Euro Wts Jan 2019 B | 80,000,000 | 2018/06/05 | 2019/01/03 | 12.00 |
| 16346 | BNP Paribas Issuance B.V. – CNOOC European Wts Oct 2019 A | 100,000,000 | 2018/06/05 | 2019/10/03 | 15.00 |
| 16366 | BNP Paribas Issuance B.V. – PetroChina Euro Wts Mar 2019 A | 40,000,000 | 2018/06/05 | 2019/03/04 | 15.60 |
| 16333 | BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Jan 2019 B | 80,000,000 | 2018/06/05 | 2019/01/03 | 20.00 |
| 16941 | Credit Suisse AG – Ch Comm Cons European Warrants Sep 2018 A | 25,000,000 | 2018/06/05 | 2018/09/20 | 10.50 |
| 16947 | Credit Suisse AG – Conch Venture European Warrants Dec 2018 A | 30,000,000 | 2018/06/05 | 2018/12/04 | 15.00 |
| 16922 | Credit Suisse AG – HSBC European Wts Nov 2018 A | 100,000,000 | 2018/06/05 | 2018/11/02 | 20.00 |
| 16911 | Credit Suisse AG – Ping An European Warrants Sep 2018 I | 150,000,000 | 2018/06/05 | 2018/09/20 | 33.00 |
| 16926 | Credit Suisse AG – Shenzhen Int'l European Wts Dec 2018 A | 40,000,000 | 2018/06/05 | 2018/12/31 | 10.00 |
| 16825 | Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2018 F | 80,000,000 | 2018/06/05 | 2018/09/20 | 30.56 |
| 16793 | Goldman Sachs SP (Asia) – CM Bank European Wts Oct 2018 B | 60,000,000 | 2018/06/05 | 2018/10/24 | 10.08 |
| 16908 | Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2018 C | 150,000,000 | 2018/06/05 | 2018/11/29 | 24.30 |
| 16837 | Goldman Sachs SP (Asia) – ICBC European Warrants Sep 2018 D | 80,000,000 | 2018/06/05 | 2018/09/20 | 21.12 |
| 16906 | Goldman Sachs SP (Asia) – SUNAC European Warrants Sep 2018 A | 40,000,000 | 2018/06/05 | 2018/09/20 | 10.00 |
| 16508 | Haitong Int'l Sec – Chinares Cement European Warrants Oct 18 B | 30,000,000 | 2018/06/05 | 2018/10/16 | 45.60 |
| 16536 | Haitong Int'l Sec – Chinares Cement European Warrants Sep 19 A | 100,000,000 | 2018/06/05 | 2019/09/30 | 26.00 |
| 16548 | Haitong Int'l Sec – Ch South City European Warrants Dec 18 A | 50,000,000 | 2018/06/05 | 2018/12/18 | 15.50 |
| 16569 | Haitong Int'l Sec – Foxconn European Warrants Feb 2019 A | 50,000,000 | 2018/06/05 | 2019/02/28 | 13.00 |
| 16442 | Haitong Int'l Sec – Hang Lung Ppt European Warrants Feb 19 A | 50,000,000 | 2018/06/05 | 2019/02/18 | 12.50 |

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| 16500 | Haitong Int'l Sec - PetroChina European Warrants Sep 2018 A | 80,000,000 | 2018/06/05 | 2018/09/26 | 13.60 |
| 16627 | Haitong Int'l Sec - PetroChina European Warrants Nov 2018 A | 80,000,000 | 2018/06/05 | 2018/11/28 | 20.00 |
| 16445 | Haitong Int'l Sec - Poly Property European Wts Dec 2018 A | 30,000,000 | 2018/06/05 | 2018/12/18 | 13.20 |
| 16482 | Haitong Int'l Sec - Sihuan Pharm European Warrants Dec 2018 B | 30,000,000 | 2018/06/05 | 2018/12/18 | 12.30 |
| 16641 | J P Morgan SP BV - CK Asset European Warrants Dec 2018 A | 100,000,000 | 2018/06/05 | 2018/12/31 | 25.10 |
| 16658 | J P Morgan SP BV - Baiyunshan Ph European Wts Dec 2018 B | 40,000,000 | 2018/06/05 | 2018/12/31 | 18.68 |
| 16653 | J P Morgan SP BV - HSBC European Put Warrants Dec 2018 B | 100,000,000 | 2018/06/05 | 2018/12/31 | 25.40 |
| 16184 | Macquarie Bank Ltd. - CNOOC European Warrants Jan 2019 A | 13,500,000 | 2018/06/05 | 2019/01/03 | 10.07 |
| 16298 | Macquarie Bank Ltd. - Cathay Pacific European Wts May 2019 A | 8,000,000 | 2018/06/05 | 2019/05/02 | 10.00 |
| 16191 | Macquarie Bank Ltd. - SUNAC European Warrants Jan 2019 A | 23,000,000 | 2018/06/05 | 2019/01/03 | 10.01 |
| 17000 | SG Issuer - Conch Cement European Warrants Sep 2018 B | 30,000,000 | 2018/06/05 | 2018/09/28 | 12.75 |
| 17012 | SG Issuer - BYD Company European Warrants Dec 2018 B | 13,000,000 | 2018/06/05 | 2018/12/31 | 11.70 |
| 17057 | SG Issuer - CNOOC European Warrants Oct 2018 C | 21,000,000 | 2018/06/05 | 2018/10/31 | 10.08 |
| 17036 | SG Issuer - Chinares Cement European Warrants Oct 2018 A | 10,000,000 | 2018/06/05 | 2018/10/10 | 16.30 |
| 17048 | SG Issuer - Chinares Cement European Warrants Dec 2018 A | 10,000,000 | 2018/06/05 | 2018/12/04 | 12.30 |
| 16978 | SG Issuer - HSI European Warrants Oct 2018 E | 100,000,000 | 2018/06/05 | 2018/10/30 | 15.00 |
| 16981 | SG Issuer - HSI European Warrants Oct 2018 F | 100,000,000 | 2018/06/05 | 2018/10/30 | 15.00 |
| 16987 | SG Issuer - HSI European Warrants Dec 2018 B | 100,000,000 | 2018/06/05 | 2018/12/28 | 15.00 |
| 16951 | SG Issuer - HSI European Put Warrants Sep 2018 F | 100,000,000 | 2018/06/05 | 2018/09/27 | 15.00 |
| 16991 | SG Issuer - HSI European Put Warrants Dec 2018 C | 100,000,000 | 2018/06/05 | 2018/12/28 | 25.00 |
| 17066 | SG Issuer - Li & Fung European Warrants Dec 2018 A | 40,000,000 | 2018/06/05 | 2018/12/31 | 10.00 |
| 17070 | SG Issuer - SJM Holdings Eu Wt Dec 2018 B | 11,000,000 | 2018/06/05 | 2018/12/04 | 10.01 |
| 17054 | SG Issuer - China Vanke European Warrants Dec 2018 A | 25,000,000 | 2018/06/05 | 2018/12/31 | 10.00 |
| 17076 | SG Issuer - Zijin Mining European Warrants Dec 2018 A | 20,000,000 | 2018/06/05 | 2018/12/31 | 10.20 |
| 16676 | UBS AG - 3SBIO European Warrants Dec 2018 A | 30,000,000 | 2018/06/05 | 2018/12/04 | 14.22 |
| 16667 | UBS AG - Baiyunshan Ph European Warrants Dec 2018 B | 25,000,000 | 2018/06/05 | 2018/12/04 | 14.58 |
| 16679 | UBS AG - Huaneng Power European Warrants Dec 2018 A | 20,000,000 | 2018/06/05 | 2018/12/04 | 10.22 |
| 16706 | UBS AG - SJM Holdings European Warrants Dec 2018 A | 20,000,000 | 2018/06/05 | 2018/12/04 | 13.84 |
| 16746 | UBS AG - Tingyi European Warrants Jan 2019 A | 40,000,000 | 2018/06/05 | 2019/01/28 | 10.00 |
| 16270 | Bank Vontobel - AIA European Warrants Sep 2018 B | 50,000,000 | 2018/06/05 | 2018/09/24 | 11.70 |
| 16248 | Bank Vontobel - China Unicom European Warrants Oct 2018 D | 15,000,000 | 2018/06/05 | 2018/10/26 | 16.65 |
| 16241 | Bank Vontobel - HKEX European Warrants Oct 2018 A | 100,000,000 | 2018/06/05 | 2018/10/30 | 36.00 |
| 16213 | Bank Vontobel - PetroChina European Warrants Nov 2018 C | 50,000,000 | 2018/06/05 | 2018/11/26 | 12.40 |
| 17101 | BOCI Asia Ltd. - ICBC European Warrants Sep 2018 D | 80,000,000 | 2018/06/06 | 2018/09/14 | 12.16 |
| 17116 | BOCI Asia Ltd. - PetroChina European Warrants Dec 2018 A | 60,000,000 | 2018/06/06 | 2018/12/24 | 25.20 |
| 17100 | BOCI Asia Ltd. - Tencent European Wts Sep 2018 L | 200,000,000 | 2018/06/06 | 2018/09/28 | 40.40 |
| 17092 | BOCI Asia Ltd. - Tencent European Wts Oct 2018 D | 200,000,000 | 2018/06/06 | 2018/10/22 | 58.20 |
| 17247 | Credit Suisse AG - Conch Cement European Warrants Sep 2018 A | 40,000,000 | 2018/06/06 | 2018/09/20 | 14.40 |
| 17240 | Credit Suisse AG - Agricultural Bank European Wts Sep 2018 C | 70,000,000 | 2018/06/06 | 2018/09/20 | 10.50 |
| 17238 | Credit Suisse AG - Bank of China European Warrants Sep 2018 A | 60,000,000 | 2018/06/06 | 2018/09/20 | 10.80 |
| 17227 | Credit Suisse AG - CC Bank European Warrants Sep 2018 B | 50,000,000 | 2018/06/06 | 2018/09/20 | 21.00 |
| 17243 | Credit Suisse AG - CITIC Bank European Warrants Oct 2018 A | 30,000,000 | 2018/06/06 | 2018/10/24 | 10.20 |
| 17241 | Credit Suisse AG - CM Bank European Warrants Oct 2018 A | 60,000,000 | 2018/06/06 | 2018/10/24 | 10.20 |
| 17223 | Credit Suisse AG - Chinares Cement European Warrants Oct 18 B | 20,000,000 | 2018/06/06 | 2018/10/22 | 40.00 |

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| | | (units) | Listing date | Expiry | |
| 17237 | Credit Suisse AG – ICBC European Warrants Sep 2018 C | 50,000,000 | 2018/06/06 | 2018/09/20 | 14.00 |
| 17226 | Credit Suisse AG – Tencent Euro Put Wts Oct 2018 E | 100,000,000 | 2018/06/06 | 2018/10/30 | 17.00 |
| 17246 | Credit Suisse AG – Zijin Mining European Warrants Oct 2018 A | 30,000,000 | 2018/06/06 | 2018/10/08 | 10.20 |
| 17309 | Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2018 A | 80,000,000 | 2018/06/06 | 2018/12/06 | 20.00 |
| 17319 | Goldman Sachs SP (Asia) – BOCL European Warrants Nov 2018 B | 80,000,000 | 2018/06/06 | 2018/11/23 | 12.00 |
| 17308 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2018 A | 80,000,000 | 2018/06/06 | 2018/10/26 | 25.92 |
| 17321 | Goldman Sachs SP (Asia) – HSI European Warrants Sep 2018 G | 150,000,000 | 2018/06/06 | 2018/09/27 | 22.50 |
| 17320 | Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Dec 2018 A | 40,000,000 | 2018/06/06 | 2018/12/06 | 10.00 |
| 17249 | J P Morgan SP BV – China Life European Warrants Jan 2019 A | 100,000,000 | 2018/06/06 | 2019/01/31 | 15.30 |
| 17257 | J P Morgan SP BV – CSOP A50 ETF European Warrants Nov 2018 A | 100,000,000 | 2018/06/06 | 2018/11/16 | 53.70 |
| 17251 | J P Morgan SP BV – Fosun Intl European Warrants Oct 2018 A | 70,000,000 | 2018/06/06 | 2018/10/31 | 10.50 |
| 17167 | Macquarie Bank Ltd. – CSPC Pharma European Wts Oct 2018 A | 23,500,000 | 2018/06/06 | 2018/10/12 | 10.06 |
| 17210 | Macquarie Bank Ltd. – CSPC Pharma European Wts Jan 2019 A | 20,000,000 | 2018/06/06 | 2019/01/15 | 10.38 |
| 17222 | Macquarie Bank Ltd. – Li & Fung European Warrants May 2019 A | 15,000,000 | 2018/06/06 | 2019/05/15 | 10.44 |
| 17211 | Macquarie Bank Ltd. – Li & Fung European Put Warrants Mar 19 A | 24,000,000 | 2018/06/06 | 2019/03/15 | 10.32 |
| 17154 | Macquarie Bank Ltd. – Yuexiu Property Euro Wts Feb 2019 A | 34,000,000 | 2018/06/06 | 2019/02/15 | 10.30 |
| 17264 | SG Issuer – Bank of China European Warrants Dec 2018 A | 60,000,000 | 2018/06/06 | 2018/12/31 | 15.00 |
| 17269 | SG Issuer – Fosun Intl European Warrants Dec 2018 B | 50,000,000 | 2018/06/06 | 2018/12/31 | 12.50 |
| 17277 | SG Issuer – HSBC European Warrants Oct 2018 B | 80,000,000 | 2018/06/06 | 2018/10/31 | 20.40 |
| 17290 | SG Issuer – Ping An European Warrants Oct 2018 C | 80,000,000 | 2018/06/06 | 2018/10/31 | 50.40 |
| 17304 | SG Issuer – Stanchart European Warrants Oct 2018 A | 23,000,000 | 2018/06/06 | 2018/10/31 | 10.01 |
| 17123 | UBS AG – AIA European Warrants Oct 2018 C | 100,000,000 | 2018/06/06 | 2018/10/02 | 38.60 |
| 17140 | UBS AG – Bank of China European Warrants Nov 2018 A | 70,000,000 | 2018/06/06 | 2018/11/23 | 10.50 |
| 17129 | UBS AG – ICBC European Warrants Sep 2018 B | 70,000,000 | 2018/06/06 | 2018/09/24 | 14.98 |
| 17134 | UBS AG – ICBC European Warrants Nov 2018 A | 70,000,000 | 2018/06/06 | 2018/11/23 | 11.48 |
| 17361 | BOCI Asia Ltd. – Anhui Conch European Warrants Dec 2018 A | 40,000,000 | 2018/06/07 | 2018/12/24 | 15.04 |
| 17360 | BOCI Asia Ltd. – Sinopec Corp European Warrants Nov 2018 B | 50,000,000 | 2018/06/07 | 2018/11/22 | 22.90 |
| 17365 | BOCI Asia Ltd. – Chinas Cement European Warrants Oct 2018 A | 20,000,000 | 2018/06/07 | 2018/10/10 | 34.84 |
| 17369 | BOCI Asia Ltd. – Chinas Cement European Warrants Jun 2019 A | 100,000,000 | 2018/06/07 | 2019/06/28 | 25.00 |
| 17324 | BOCI Asia Ltd. – HSBC European Warrants Nov 2018 B | 80,000,000 | 2018/06/07 | 2018/11/23 | 16.80 |
| 17370 | BOCI Asia Ltd. – Sands China Ltd European Warrants Nov 2018 A | 80,000,000 | 2018/06/07 | 2018/11/27 | 27.12 |
| 17476 | Credit Suisse AG – AIA European Warrants Sep 2018 C | 70,000,000 | 2018/06/07 | 2018/09/20 | 21.00 |
| 17481 | Credit Suisse AG – Fosun Intl European Warrants Oct 2018 A | 70,000,000 | 2018/06/07 | 2018/10/24 | 10.50 |
| 17500 | Credit Suisse AG – Baiyunshan Ph European Warrants Dec 2018 A | 30,000,000 | 2018/06/07 | 2018/12/06 | 16.50 |
| 17519 | Credit Suisse AG – Travelsky Tech European Wts Dec 2018 A | 40,000,000 | 2018/06/07 | 2018/12/06 | 10.40 |
| 17488 | Credit Suisse AG – Xinyi Solar European Warrants Dec 2018 A | 30,000,000 | 2018/06/07 | 2018/12/06 | 13.50 |
| 17490 | Credit Suisse AG – Zhaojin Mining European Warrants Dec 18 A | 20,000,000 | 2018/06/07 | 2018/12/06 | 15.40 |
| 17755 | Goldman Sachs SP (Asia) – Anhui Conch European Wts Sep 2018 B | 40,000,000 | 2018/06/07 | 2018/09/20 | 12.96 |
| 17774 | Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Oct 2018 B | 50,000,000 | 2018/06/07 | 2018/10/12 | 10.00 |
| 17740 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2018 F | 80,000,000 | 2018/06/07 | 2018/09/20 | 14.64 |
| 17761 | Goldman Sachs SP (Asia) – CNBM European Warrants Sep 2018 A | 40,000,000 | 2018/06/07 | 2018/09/24 | 23.44 |
| 17770 | Goldman Sachs SP (Asia) – CSPC Pharma European Wts Sep 2018 A | 40,000,000 | 2018/06/07 | 2018/09/20 | 10.00 |
| 17614 | J P Morgan SP BV – BBMG European Warrants Sep 2018 A | 40,000,000 | 2018/06/07 | 2018/09/28 | 10.24 |
| 17530 | J P Morgan SP BV – Minsheng Bank European Wts Dec 2018 B | 100,000,000 | 2018/06/07 | 2018/12/06 | 38.70 |

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| 17630 | J P Morgan SP BV – Ch Merchants European Warrants Feb 2019 A | 70,000,000 | 2018/06/07 | 2019/02/04 | 17.64 |
| 17524 | J P Morgan SP BV – GCL-Poly European Warrants Dec 2018 A | 70,000,000 | 2018/06/07 | 2018/12/03 | 10.64 |
| 17600 | J P Morgan SP BV – GJ Int'l European Warrants Nov 2018 B | 60,000,000 | 2018/06/07 | 2018/11/23 | 11.22 |
| 17556 | J P Morgan SP BV – Haitong Sec European Warrants Dec 2018 A | 25,000,000 | 2018/06/07 | 2018/12/06 | 15.50 |
| 17652 | J P Morgan SP BV – Huaneng Power European Wts Dec 2018 A | 60,000,000 | 2018/06/07 | 2018/12/31 | 16.14 |
| 17531 | J P Morgan SP BV – SJM Holdings European Warrants Dec 2018 A | 40,000,000 | 2018/06/07 | 2018/12/06 | 23.80 |
| 17623 | J P Morgan SP BV – Yuexiu Property European Wts Feb 2019 A | 60,000,000 | 2018/06/07 | 2019/02/04 | 15.30 |
| 17400 | Macquarie Bank Ltd. – CK Asset European Warrants Jan 2019 A | 23,000,000 | 2018/06/07 | 2019/01/03 | 10.01 |
| 17385 | Macquarie Bank Ltd. – Chinares Cement European Wt Jul 2019 A | 6,000,000 | 2018/06/07 | 2019/07/15 | 11.14 |
| 17396 | Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jan 2019 A | 20,000,000 | 2018/06/07 | 2019/01/03 | 11.26 |
| 17397 | Macquarie Bank Ltd. – A50 China European Warrants Jan 2019 A | 19,000,000 | 2018/06/07 | 2019/01/03 | 11.36 |
| 17394 | Macquarie Bank Ltd. – China Vanke European Wts Mar 2019 A | 22,000,000 | 2018/06/07 | 2019/03/15 | 12.17 |
| 17395 | Macquarie Bank Ltd. – Wynn Macau European Wts Jan 2019 A | 24,000,000 | 2018/06/07 | 2019/01/15 | 10.39 |
| 17672 | SG Issuer – CPIC Eur Wt Dec 2018 A | 35,000,000 | 2018/06/07 | 2018/12/31 | 10.15 |
| 17702 | SG Issuer – HSI European Warrants Apr 2019 A | 150,000,000 | 2018/06/07 | 2019/04/29 | 37.50 |
| 17676 | SG Issuer – Want Want China European Warrants Dec 2018 A | 12,000,000 | 2018/06/07 | 2018/12/31 | 10.08 |
| 17405 | UBS AG – Agricultural Bank European Warrants Nov 2018 A | 70,000,000 | 2018/06/07 | 2018/11/23 | 20.93 |
| 17432 | UBS AG – CITIC Bank European Warrants Oct 2018 A | 70,000,000 | 2018/06/07 | 2018/10/26 | 23.80 |
| 17418 | UBS AG – Minsheng Bank European Warrants Nov 2018 A | 50,000,000 | 2018/06/07 | 2018/11/23 | 26.55 |
| 17461 | UBS AG – Sinopec Corp European Warrants Oct 2018 B | 50,000,000 | 2018/06/07 | 2018/10/30 | 23.60 |
| 17456 | UBS AG – PSBC European Warrants Dec 2018 A | 40,000,000 | 2018/06/07 | 2018/12/06 | 14.36 |
| 17403 | UBS AG – Sands China Ltd European Warrants Oct 2018 A | 70,000,000 | 2018/06/07 | 2018/10/24 | 22.61 |
| 17881 | Credit Suisse AG – China Railway European Warrants Dec 2018 A | 30,000,000 | 2018/06/08 | 2018/12/07 | 10.80 |
| 17888 | Credit Suisse AG – Haier Elec European Warrants Dec 2018 A | 30,000,000 | 2018/06/08 | 2018/12/07 | 11.10 |
| 18094 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Sep 2018 E | 70,000,000 | 2018/06/08 | 2018/09/24 | 10.50 |
| 18105 | Goldman Sachs SP (Asia) – BOCL European Warrants Nov 2018 C | 80,000,000 | 2018/06/08 | 2018/11/23 | 12.00 |
| 18070 | Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2018 A | 80,000,000 | 2018/06/08 | 2018/11/13 | 12.00 |
| 18110 | Goldman Sachs SP (Asia) – PSBC European Warrants Sep 2018 B | 40,000,000 | 2018/06/08 | 2018/09/20 | 12.56 |
| 18109 | Goldman Sachs SP (Asia) – PSBC European Warrants Dec 2018 A | 40,000,000 | 2018/06/08 | 2018/12/07 | 10.00 |
| 17822 | Haitong Int'l Sec – AAC Tech European Warrants Dec 2018 B | 100,000,000 | 2018/06/08 | 2018/12/24 | 15.00 |
| 17870 | Haitong Int'l Sec – AIA European Warrants Dec 2018 A | 80,000,000 | 2018/06/08 | 2018/12/31 | 51.20 |
| 17869 | Haitong Int'l Sec – China Life European Warrants Oct 2018 D | 100,000,000 | 2018/06/08 | 2018/10/02 | 16.00 |
| 17805 | Haitong Int'l Sec – China Mobile European Wts Oct 2018 A | 100,000,000 | 2018/06/08 | 2018/10/31 | 21.00 |
| 17793 | Haitong Int'l Sec – CNOOC European Warrants Oct 2018 B | 50,000,000 | 2018/06/08 | 2018/10/31 | 25.00 |
| 17797 | Haitong Int'l Sec – CNOOC European Warrants Dec 2018 A | 50,000,000 | 2018/06/08 | 2018/12/10 | 17.00 |
| 17777 | Haitong Int'l Sec – Galaxy Ent European Warrants Dec 2018 A | 50,000,000 | 2018/06/08 | 2018/12/03 | 16.50 |
| 17776 | Haitong Int'l Sec – HSBC European Warrants Nov 2018 C | 80,000,000 | 2018/06/08 | 2018/11/30 | 17.60 |
| 17878 | Haitong Int'l Sec – ICBC European Warrants Nov 2018 A | 100,000,000 | 2018/06/08 | 2018/11/28 | 21.00 |
| 17817 | Haitong Int'l Sec – Lenovo European Warrants Dec 2018 A | 40,000,000 | 2018/06/08 | 2018/12/10 | 12.00 |
| 17791 | Haitong Int'l Sec – PetroChina European Warrants Nov 2018 B | 100,000,000 | 2018/06/08 | 2018/11/23 | 42.00 |
| 17837 | Haitong Int'l Sec – Ping An European Warrants Nov 2018 E | 80,000,000 | 2018/06/08 | 2018/11/30 | 28.00 |
| 18004 | J P Morgan SP BV – Chinares Cement Euro Warrants Oct 2018 A | 30,000,000 | 2018/06/08 | 2018/10/30 | 56.46 |
| 17983 | J P Morgan SP BV – Galaxy Ent European Warrants Dec 2018 B | 100,000,000 | 2018/06/08 | 2018/12/10 | 38.90 |
| 17984 | J P Morgan SP BV – Galaxy Ent European Put Wts Dec 2018 C | 100,000,000 | 2018/06/08 | 2018/12/31 | 25.30 |

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| 17998 | J P Morgan SP BV – ICBC European Warrants Dec 2018 B | 100,000,000 | 2018/06/08 | 2018/12/10 | 25.00 |
| 17980 | J P Morgan SP BV – Sands China European Warrants Dec 2018 B | 100,000,000 | 2018/06/08 | 2018/12/10 | 25.20 |
| 18021 | SG Issuer – China Mobile European Warrants Sep 2018 A | 70,000,000 | 2018/06/08 | 2018/09/28 | 10.50 |
| 18056 | SG Issuer – Ch Molybdenum European Warrants Dec 2018 A | 15,000,000 | 2018/06/08 | 2018/12/07 | 10.35 |
| 18010 | SG Issuer – Chinares Cement European Warrants Oct 2018 B | 12,000,000 | 2018/06/08 | 2018/10/29 | 24.60 |
| 18057 | SG Issuer – GAC European Warrants Dec 2019 A | 80,000,000 | 2018/06/08 | 2019/12/31 | 20.00 |
| 18066 | SG Issuer – SUNAC European Warrants Oct 2018 A | 30,000,000 | 2018/06/08 | 2018/10/31 | 10.50 |
| 17947 | UBS AG – China National Building European Wts Sep 2018 A | 20,000,000 | 2018/06/08 | 2018/09/24 | 12.26 |
| 17964 | UBS AG – China National Building European Wts Oct 2018 A | 20,000,000 | 2018/06/08 | 2018/10/26 | 16.70 |
| 17927 | UBS AG – CNOOC European Warrants Nov 2018 B | 40,000,000 | 2018/06/08 | 2018/11/01 | 22.00 |
| 17930 | UBS AG – COSCO Ship Hold European Warrants Dec 2018 A | 15,000,000 | 2018/06/08 | 2018/12/07 | 10.17 |
| 17895 | UBS AG – Galaxy Ent European Warrants Oct 2018 A | 70,000,000 | 2018/06/08 | 2018/10/26 | 29.05 |
| 17906 | UBS AG – Wynn Macau European Put Warrants Dec 2018 A | 40,000,000 | 2018/06/08 | 2018/12/07 | 13.92 |
| 18292 | Credit Suisse AG – Chinares Cement European Warrants Oct 18 C | 20,000,000 | 2018/06/11 | 2018/10/29 | 42.00 |
| 18295 | Credit Suisse AG – Chinares Cement European Warrants Apr 19 A | 60,000,000 | 2018/06/11 | 2019/04/30 | 15.00 |
| 18289 | Credit Suisse AG – GAC European Warrants Oct 2018 A | 70,000,000 | 2018/06/11 | 2018/10/18 | 10.50 |
| 18142 | Credit Suisse AG – Tencent Euro Put Wts Sep 2018 H | 150,000,000 | 2018/06/11 | 2018/09/21 | 22.50 |
| 18290 | Credit Suisse AG – WuXi Bio European Warrants Dec 2018 A | 40,000,000 | 2018/06/11 | 2018/12/10 | 10.00 |
| 18354 | Goldman Sachs SP (Asia) – Agricultural Bank Euro Wts Sep 18 B | 80,000,000 | 2018/06/11 | 2018/09/20 | 12.00 |
| 18333 | Goldman Sachs SP (Asia) – China Life Euro Wts Jan 2019 A | 100,000,000 | 2018/06/11 | 2019/01/28 | 16.50 |
| 18352 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2018 B | 80,000,000 | 2018/06/11 | 2018/10/26 | 12.00 |
| 18355 | Goldman Sachs SP (Asia) – Fosun Intl European Wts Oct 2018 B | 70,000,000 | 2018/06/11 | 2018/10/24 | 10.50 |
| 18368 | Goldman Sachs SP (Asia) – Stanchart European Wts Oct 2018 A | 40,000,000 | 2018/06/11 | 2018/10/24 | 16.16 |
| 18187 | Haitong Int'l Sec – Chinares Cement European Warrants Jan 19 A | 40,000,000 | 2018/06/11 | 2019/01/28 | 10.40 |
| 18226 | Haitong Int'l Sec – R&F Ppt European Warrants Dec 2018 A | 40,000,000 | 2018/06/11 | 2018/12/18 | 11.60 |
| 18239 | Haitong Int'l Sec – HSCEI European Put Warrants Dec 2018 C | 100,000,000 | 2018/06/11 | 2018/12/28 | 15.70 |
| 18248 | Haitong Int'l Sec – HSI European Warrants Nov 2018 B | 150,000,000 | 2018/06/11 | 2018/11/29 | 22.50 |
| 18235 | Haitong Int'l Sec – HSI European Put Warrants Oct 2018 B | 150,000,000 | 2018/06/11 | 2018/10/30 | 24.00 |
| 18191 | Haitong Int'l Sec – SUNAC European Warrants Jan 2019 A | 40,000,000 | 2018/06/11 | 2019/01/28 | 24.80 |
| 18192 | Haitong Int'l Sec – China Vanke European Warrants Dec 2018 A | 40,000,000 | 2018/06/11 | 2018/12/18 | 14.40 |
| 18225 | Haitong Int'l Sec – WuXi Bio European Warrants Dec 2018 A | 80,000,000 | 2018/06/11 | 2018/12/18 | 22.40 |
| 18302 | J P Morgan SP BV – CAM CSI300 European Warrants Dec 2018 A | 100,000,000 | 2018/06/11 | 2018/12/31 | 25.10 |
| 18296 | J P Morgan SP BV – China Gas Hold European Wts Feb 2019 A | 60,000,000 | 2018/06/11 | 2019/02/01 | 17.82 |
| 18322 | J P Morgan SP BV – CGS European Warrants Dec 2018 B | 50,000,000 | 2018/06/11 | 2018/12/31 | 16.00 |
| 18329 | J P Morgan SP BV – Chinares Cement Euro Warrants Aug 2019 A | 100,000,000 | 2018/06/11 | 2019/08/02 | 25.10 |
| 18304 | J P Morgan SP BV – HSCEI ETF European Warrants Dec 2018 A | 100,000,000 | 2018/06/11 | 2018/12/31 | 39.30 |
| 18309 | J P Morgan SP BV – HSI European Put Warrants Jan 2019 B | 300,000,000 | 2018/06/11 | 2019/01/30 | 75.00 |
| 18153 | Macquarie Bank Ltd. – Towngas China European Wts Jul 2019 A | 8,000,000 | 2018/06/11 | 2019/07/02 | 10.46 |
| 18283 | SG Issuer – China Railway European Warrants Dec 2018 A | 20,000,000 | 2018/06/11 | 2018/12/31 | 10.00 |
| 18250 | SG Issuer – HSI European Put Warrants Nov 2018 F | 150,000,000 | 2018/06/11 | 2018/11/29 | 30.75 |
| 18270 | SG Issuer – HSI European Put Warrants Dec 2018 D | 150,000,000 | 2018/06/11 | 2018/12/28 | 24.00 |
| 18271 | SG Issuer – HSI European Put Warrants Dec 2018 E | 150,000,000 | 2018/06/11 | 2018/12/28 | 37.50 |
| 18140 | UBS AG – Chinares Cement European Warrants Dec 2018 A | 10,000,000 | 2018/06/11 | 2018/12/10 | 12.63 |
| 18138 | UBS AG – China Taiping European Warrants Dec 2018 A | 50,000,000 | 2018/06/11 | 2018/12/10 | 16.10 |

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| 18124 | UBS AG – Link REIT European Warrants Oct 2018 A | 70,000,000 | 2018/06/11 | 2018/10/24 | 14.35 |
| 18134 | UBS AG – Yanzhou Coal European Wts Dec 2018 C | 60,000,000 | 2018/06/11 | 2018/12/11 | 11.40 |
| 18132 # | J P Morgan SP BV – Tencent European Warrants Aug 2018 C | 300,000,000 | 2018/06/11 | 2018/08/31 | 48.30 |
| 23317 # | UBS AG – China Mengniu European Warrants Aug 2018 A | 60,000,000 | 2018/06/11 | 2018/08/07 | 10.20 |
| 18464 | BOCI Asia Ltd. – China Lit European Warrants Dec 2018 A | 30,000,000 | 2018/06/12 | 2018/12/24 | 32.19 |
| 18444 | BOCI Asia Ltd. – China Mobile European Warrants Nov 2018 A | 100,000,000 | 2018/06/12 | 2018/11/26 | 32.70 |
| 18434 | BOCI Asia Ltd. – CSPC Pharma European Warrants Nov 2018 B | 50,000,000 | 2018/06/12 | 2018/11/26 | 15.15 |
| 18428 | BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2018 B | 60,000,000 | 2018/06/12 | 2018/11/29 | 21.06 |
| 18442 | BOCI Asia Ltd. – Sino Biopharm European Warrants Dec 2018 A | 50,000,000 | 2018/06/12 | 2018/12/27 | 13.15 |
| 18449 | BOCI Asia Ltd. – Tencent European Wts Oct 2018 E | 200,000,000 | 2018/06/12 | 2018/10/26 | 31.60 |
| 18447 | BOCI Asia Ltd. – Tencent European Put Wts Sep 2018 M | 100,000,000 | 2018/06/12 | 2018/09/27 | 30.60 |
| 18682 | Credit Suisse AG – CGS European Warrants Dec 2018 A | 30,000,000 | 2018/06/12 | 2018/12/11 | 16.50 |
| 18652 | Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Sep 2018 B | 60,000,000 | 2018/06/12 | 2018/09/20 | 10.08 |
| 18659 | Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2018 M | 150,000,000 | 2018/06/12 | 2018/09/26 | 27.00 |
| 18657 | Goldman Sachs SP (Asia) – PICC Group European Wts Oct 2018 B | 40,000,000 | 2018/06/12 | 2018/10/26 | 10.32 |
| 18656 | Goldman Sachs SP (Asia) – SUNAC European Warrants Sep 2018 B | 40,000,000 | 2018/06/12 | 2018/09/20 | 16.80 |
| 18663 | Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2018 I | 100,000,000 | 2018/06/12 | 2018/10/24 | 15.00 |
| 18546 | HK Bank – China Life European Warrants Jan 2019 A | 80,000,000 | 2018/06/12 | 2019/01/28 | 14.40 |
| 18519 | HK Bank – Chinares Cement European Warrants Dec 2018 A | 80,000,000 | 2018/06/12 | 2018/12/31 | 20.00 |
| 18513 | HK Bank – CSPC Pharma European Warrants Dec 2018 A | 80,000,000 | 2018/06/12 | 2018/12/11 | 20.00 |
| 18514 | HK Bank – A50 China European Warrants Jan 2019 A | 25,000,000 | 2018/06/12 | 2019/01/03 | 11.25 |
| 18540 | HK Bank – Galaxy Ent European Warrants Oct 2018 A | 50,000,000 | 2018/06/12 | 2018/10/26 | 18.00 |
| 18545 | HK Bank – Lenovo European Warrants Dec 2018 A | 40,000,000 | 2018/06/12 | 2018/12/31 | 10.00 |
| 18498 | HK Bank – Ping An European Warrants Oct 2018 A | 80,000,000 | 2018/06/12 | 2018/10/31 | 14.40 |
| 18521 | HK Bank – Ping An European Warrants Oct 2018 B | 80,000,000 | 2018/06/12 | 2018/10/24 | 32.00 |
| 18520 | HK Bank – Sunny Optical European Warrants Dec 2018 A | 80,000,000 | 2018/06/12 | 2018/12/31 | 20.00 |
| 18465 | HK Bank – Tencent European Warrants Oct 2018 D | 100,000,000 | 2018/06/12 | 2018/10/26 | 20.00 |
| 18615 | Haitong Int'l Sec – China Gas Hold European Warrants Jan 19 A | 30,000,000 | 2018/06/12 | 2019/01/18 | 15.90 |
| 18617 | Haitong Int'l Sec – CGS European Warrants Dec 2018 A | 50,000,000 | 2018/06/12 | 2018/12/31 | 23.50 |
| 18626 | Haitong Int'l Sec – Stanchart European Warrants Nov 2018 A | 48,000,000 | 2018/06/12 | 2018/11/26 | 11.04 |
| 18649 | J P Morgan SP BV – CKI Holdings European Warrants Nov 2018 A | 60,000,000 | 2018/06/12 | 2018/11/30 | 10.92 |
| 18633 | J P Morgan SP BV – Chinares Cement Euro Warrants Dec 2018 B | 40,000,000 | 2018/06/12 | 2018/12/11 | 10.04 |
| 18650 | J P Morgan SP BV – Ch State Con European Warrants Sep 2019 A | 80,000,000 | 2018/06/12 | 2019/09/25 | 12.16 |
| 18651 | J P Morgan SP BV – China Taiping European Wts Dec 2018 A | 60,000,000 | 2018/06/12 | 2018/12/31 | 15.18 |
| 18645 | J P Morgan SP BV – Genscript Bio European Warrants Dec 2018 B | 40,000,000 | 2018/06/12 | 2018/12/31 | 19.08 |
| 18632 | J P Morgan SP BV – Ping An European Put Warrants Dec 2018 C | 100,000,000 | 2018/06/12 | 2018/12/31 | 25.10 |
| 18638 | J P Morgan SP BV – Goldwind European Warrants May 2019 A | 60,000,000 | 2018/06/12 | 2019/05/06 | 15.06 |
| 18528 | Macquarie Bank Ltd. – China Gas Hold Euro Wts Mar 2019 A | 24,000,000 | 2018/06/12 | 2019/03/15 | 10.34 |
| 18523 | Macquarie Bank Ltd. – GAC European Wts Mar 2019 A | 6,000,000 | 2018/06/12 | 2019/03/04 | 10.76 |
| 18547 | Macquarie Bank Ltd. – NCI European Warrants Feb 2019 A | 26,600,000 | 2018/06/12 | 2019/02/04 | 10.03 |
| 18522 | Macquarie Bank Ltd. – Ping An European Put Warrants Jan 19 B | 24,000,000 | 2018/06/12 | 2019/01/03 | 10.27 |
| 18665 | SG Issuer – China Gas Hold Eu Wt Dec 2018 B | 20,000,000 | 2018/06/12 | 2018/12/31 | 10.00 |
| 18670 | SG Issuer – Chinares Cement European Warrants Nov 2018 A | 10,000,000 | 2018/06/12 | 2018/11/09 | 19.00 |
| 18673 | SG Issuer – Chinares Cement European Warrants Dec 2018 B | 10,000,000 | 2018/06/12 | 2018/12/31 | 12.50 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 18680 | SG Issuer – Tencent European Warrants Sep 2018 L | 200,000,000 | 2018/06/12 | 2018/09/28 | 54.00 |
| 18599 | UBS AG – BBMG European Warrants Dec 2018 A | 30,000,000 | 2018/06/12 | 2018/12/11 | 14.43 |
| 18593 | UBS AG – BOCL European Put Warrants Dec 2018 B | 50,000,000 | 2018/06/12 | 2018/12/11 | 18.85 |
| 18577 | UBS AG – CC Bank European Put Warrants Dec 2018 A | 50,000,000 | 2018/06/12 | 2018/12/11 | 27.70 |
| 18597 | UBS AG – China Huarong European Warrants Oct 2018 A | 30,000,000 | 2018/06/12 | 2018/10/26 | 10.02 |
| 18572 | UBS AG – China Cinda European Warrants Feb 2019 A | 40,000,000 | 2018/06/12 | 2019/02/11 | 10.48 |
| 18576 | UBS AG – China Life European Put Warrants Jan 2019 A | 70,000,000 | 2018/06/12 | 2019/01/24 | 11.06 |
| 18551 | UBS AG – Galaxy Ent European Put Warrants Dec 2018 A | 50,000,000 | 2018/06/12 | 2018/12/11 | 17.85 |
| 18578 | UBS AG – ICBC European Put Warrants Dec 2018 A | 50,000,000 | 2018/06/12 | 2018/12/11 | 21.20 |
| 18595 | UBS AG – Kingboard Chem European Warrants Dec 2018 A | 30,000,000 | 2018/06/12 | 2018/12/17 | 10.17 |
| 18575 | UBS AG – PICC Group European Warrants Nov 2018 A | 40,000,000 | 2018/06/12 | 2018/11/21 | 14.92 |
| 18566 | UBS AG – Sands China Ltd European Put Warrants Dec 2018 A | 50,000,000 | 2018/06/12 | 2018/12/11 | 12.70 |
| 18378 | Bank Vontobel – AIA European Warrants Dec 2018 A | 50,000,000 | 2018/06/12 | 2018/12/11 | 35.00 |
| 18381 | Bank Vontobel – HSBC European Warrants Jan 2019 A | 40,000,000 | 2018/06/12 | 2019/01/04 | 10.48 |
| 18422 | Bank Vontobel – HSBC European Put Warrants Dec 2018 A | 40,000,000 | 2018/06/12 | 2018/12/21 | 10.84 |
| 18386 | Bank Vontobel – ICBC European Warrants Dec 2018 A | 40,000,000 | 2018/06/12 | 2018/12/21 | 12.08 |
| 18423 | Bank Vontobel – Ping An European Warrants Dec 2018 D | 30,000,000 | 2018/06/12 | 2018/12/21 | 14.46 |
| 18426 | Bank Vontobel – Tencent European Warrants Oct 2018 E | 100,000,000 | 2018/06/12 | 2018/10/26 | 16.00 |
| 18425 | Bank Vontobel – Tencent European Put Warrants Dec 2018 C | 100,000,000 | 2018/06/12 | 2018/12/11 | 36.10 |
| 18427 | Bank Vontobel – Yanzhou Coal European Warrants Nov 2018 A | 85,000,000 | 2018/06/12 | 2018/11/29 | 12.92 |
| 18767 | Credit Suisse AG – BBMG European Warrants Dec 2018 A | 25,000,000 | 2018/06/13 | 2018/12/12 | 12.25 |
| 18749 | Credit Suisse AG – CC Bank European Put Warrants Dec 2018 A | 50,000,000 | 2018/06/13 | 2018/12/12 | 16.00 |
| 18766 | Credit Suisse AG – ICBC European Put Warrants Nov 2018 A | 50,000,000 | 2018/06/13 | 2018/11/23 | 12.50 |
| 18775 | Credit Suisse AG – Tencent Euro Put Wts Sep 2018 I | 150,000,000 | 2018/06/13 | 2018/09/12 | 24.00 |
| 18772 | Credit Suisse AG – Wynn Macau European Warrants Nov 2018 A | 40,000,000 | 2018/06/13 | 2018/11/30 | 11.20 |
| 18876 | Goldman Sachs SP (Asia) – Angang Steel Euro Wts Dec 2018 A | 40,000,000 | 2018/06/13 | 2018/12/13 | 20.20 |
| 18868 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Oct 2018 A | 80,000,000 | 2018/06/13 | 2018/10/26 | 23.52 |
| 18885 | Goldman Sachs SP (Asia) – HSCFI ETF European Wts Dec 2018 A | 50,000,000 | 2018/06/13 | 2018/12/13 | 17.25 |
| 18867 | Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2018 D | 150,000,000 | 2018/06/13 | 2018/10/30 | 22.50 |
| 18891 | Goldman Sachs SP (Asia) – ICBC European Warrants Nov 2018 A | 80,000,000 | 2018/06/13 | 2018/11/23 | 12.00 |
| 18745 | Haitong Int'l Sec – Kingsoft European Warrants Dec 2018 A | 40,000,000 | 2018/06/13 | 2018/12/18 | 10.40 |
| 18730 | Haitong Int'l Sec – Sunny Optical European Wts Dec 2018 A | 100,000,000 | 2018/06/13 | 2018/12/18 | 25.00 |
| 18866 | J P Morgan SP BV – HSI European Put Warrants Jan 2019 C | 300,000,000 | 2018/06/13 | 2019/01/30 | 75.00 |
| 18864 | J P Morgan SP BV – SJM Holdings European Warrants Dec 2019 A | 40,000,000 | 2018/06/13 | 2019/12/31 | 10.04 |
| 18856 | J P Morgan SP BV – Tencent European Warrants Dec 2018 E | 250,000,000 | 2018/06/13 | 2018/12/12 | 62.50 |
| 18706 | Macquarie Bank Ltd. – BAIC Motor European Warrants Apr 2019 A | 6,700,000 | 2018/06/13 | 2019/04/02 | 10.06 |
| 18729 | Macquarie Bank Ltd. – Chow Tai Fook European Wts Jul 2019 B | 40,000,000 | 2018/06/13 | 2019/07/15 | 10.20 |
| 18727 | Macquarie Bank Ltd. – Shenzhen Int'l European Wts Feb 2019 A | 40,000,000 | 2018/06/13 | 2019/02/08 | 10.08 |
| 18826 | UBS AG – AAC Tech European Warrants Feb 2019 A | 60,000,000 | 2018/06/13 | 2019/02/11 | 10.56 |
| 18787 | UBS AG – China Overseas European Warrants Sep 2018 B | 70,000,000 | 2018/06/13 | 2018/09/28 | 10.50 |
| 18813 | UBS AG – Mengniu Dairy European Warrants Dec 2018 A | 50,000,000 | 2018/06/13 | 2018/12/24 | 15.65 |
| 18830 | UBS AG – PetroChina European Warrants Oct 2018 B | 50,000,000 | 2018/06/13 | 2018/10/09 | 10.65 |
| 18832 | UBS AG – Want Want Ch European Warrants Jan 2019 A | 12,000,000 | 2018/06/13 | 2019/01/14 | 10.62 |
| 18702 | Bank Vontobel – China Life European Warrants Jun 2019 A | 100,000,000 | 2018/06/13 | 2019/06/05 | 25.40 |

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| 18687 | Bank Vontobel – Geely Auto European Warrants Nov 2018 B | 50,000,000 | 2018/06/13 | 2018/11/13 | 10.05 |
| 18686 | Bank Vontobel – Galaxy Ent European Warrants Dec 2018 A | 40,000,000 | 2018/06/13 | 2018/12/13 | 24.04 |
| 18694 | Bank Vontobel – Sunny Optical European Warrants Sep 2018 A | 80,000,000 | 2018/06/13 | 2018/09/20 | 12.32 |
| 18705 | Bank Vontobel – Tencent European Warrants Oct 2018 F | 100,000,000 | 2018/06/13 | 2018/10/26 | 15.10 |
| 18975 | BOCI Asia Ltd. – Galaxy Ent European Warrants Oct 2018 B | 50,000,000 | 2018/06/14 | 2018/10/30 | 16.50 |
| 19143 | Credit Suisse AG – 3SBIO European Warrants Oct 2018 A | 25,000,000 | 2018/06/14 | 2018/10/23 | 10.00 |
| 19130 | Credit Suisse AG – GF Sec European Warrants Apr 2019 A | 40,000,000 | 2018/06/14 | 2019/04/30 | 10.00 |
| 19172 | Credit Suisse AG – PetroChina European Warrants Dec 2018 A | 60,000,000 | 2018/06/14 | 2018/12/13 | 19.80 |
| 19181 | Credit Suisse AG – Tencent European Wts Dec 2018 B | 250,000,000 | 2018/06/14 | 2018/12/13 | 70.00 |
| 19221 | Goldman Sachs SP (Asia) – Geely Auto European Wts Nov 2018 A | 80,000,000 | 2018/06/14 | 2018/11/13 | 12.96 |
| 19226 | Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2018 B | 80,000,000 | 2018/06/14 | 2018/10/24 | 21.52 |
| 19266 | Goldman Sachs SP (Asia) – Kingsoft European Wts Oct 2018 A | 60,000,000 | 2018/06/14 | 2018/10/05 | 10.02 |
| 19263 | Goldman Sachs SP (Asia) – Ping An Euro Put Wts Sep 2018 L | 60,000,000 | 2018/06/14 | 2018/09/19 | 11.82 |
| 19267 | Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2018 N | 100,000,000 | 2018/06/14 | 2018/09/20 | 21.20 |
| 18942 | HK Bank – Chinares Cement European Warrants Dec 2018 B | 80,000,000 | 2018/06/14 | 2018/12/13 | 20.00 |
| 18943 | HK Bank – CSOP A50 ETF European Warrants Jan 2019 A | 30,000,000 | 2018/06/14 | 2019/01/03 | 10.50 |
| 18926 | HK Bank – Galaxy Ent European Warrants Dec 2018 A | 40,000,000 | 2018/06/14 | 2018/12/31 | 11.20 |
| 18966 | HK Bank – Genscript Bio European Warrants Dec 2018 A | 50,000,000 | 2018/06/14 | 2018/12/31 | 15.00 |
| 18970 | HK Bank – PICC Group European Warrants Dec 2018 A | 40,000,000 | 2018/06/14 | 2018/12/31 | 10.00 |
| 18974 | HK Bank – Sands China European Warrants Dec 2018 A | 40,000,000 | 2018/06/14 | 2018/12/31 | 14.40 |
| 18920 | HK Bank – Sino Biopharm European Warrants Dec 2018 A | 100,000,000 | 2018/06/14 | 2018/12/31 | 25.00 |
| 18969 | HK Bank – WuXi Bio European Warrants Dec 2018 A | 80,000,000 | 2018/06/14 | 2018/12/31 | 20.00 |
| 19047 | Haitong Int'l Sec – Geely Auto European Warrants Dec 2018 B | 50,000,000 | 2018/06/14 | 2018/12/31 | 14.00 |
| 19072 | Haitong Int'l Sec – Geely Auto European Warrants Dec 2018 C | 50,000,000 | 2018/06/14 | 2018/12/31 | 18.00 |
| 19046 | Haitong Int'l Sec – Kingboard Chem European Warrants Feb 19 A | 50,000,000 | 2018/06/14 | 2019/02/08 | 17.50 |
| 19085 | Haitong Int'l Sec – Kingsoft European Warrants Oct 2018 A | 68,000,000 | 2018/06/14 | 2018/10/30 | 11.56 |
| 19082 | Haitong Int'l Sec – Kingsoft European Warrants Dec 2018 B | 68,000,000 | 2018/06/14 | 2018/12/24 | 19.72 |
| 19080 | Haitong Int'l Sec – SJM Holdings European Warrants Nov 2018 A | 20,000,000 | 2018/06/14 | 2018/11/28 | 18.20 |
| 19213 | Haitong Int'l Sec – Tianneng Power European Warrants Mar 19 A | 50,000,000 | 2018/06/14 | 2019/03/18 | 14.00 |
| 19094 | Haitong Int'l Sec – Wynn Macau European Warrants Nov 2018 A | 40,000,000 | 2018/06/14 | 2018/11/23 | 10.80 |
| 19188 | J P Morgan SP BV – CC Bank European Put Wts Jan 2019 A | 100,000,000 | 2018/06/14 | 2019/01/02 | 25.60 |
| 19214 | J P Morgan SP BV – China Lit European Warrants Aug 2019 A | 60,000,000 | 2018/06/14 | 2019/08/05 | 15.00 |
| 19211 | J P Morgan SP BV – China Overseas European Put Wts Jan 2019 B | 100,000,000 | 2018/06/14 | 2019/01/02 | 25.20 |
| 19190 | J P Morgan SP BV – Geely Auto European Warrants Dec 2018 C | 100,000,000 | 2018/06/14 | 2018/12/31 | 25.20 |
| 19182 | J P Morgan SP BV – China Mengniu European Wts Dec 2018 A | 60,000,000 | 2018/06/14 | 2018/12/31 | 15.36 |
| 18985 | Macquarie Bank Ltd. – Ch Molybdenum European Wts May 2019 A | 10,000,000 | 2018/06/14 | 2019/05/15 | 12.41 |
| 18979 | Macquarie Bank Ltd. – China Overseas European Wts Feb 2019 A | 40,000,000 | 2018/06/14 | 2019/02/04 | 10.00 |
| 18976 | Macquarie Bank Ltd. – CPIC European Warrants Feb 2019 A | 40,000,000 | 2018/06/14 | 2019/02/04 | 10.08 |
| 18983 | Macquarie Bank Ltd. – Meitu European Warrants Mar 2019 A | 40,000,000 | 2018/06/14 | 2019/03/15 | 10.60 |
| 18984 | Macquarie Bank Ltd. – WuXi Bio European Warrants Jun 2019 A | 26,000,000 | 2018/06/14 | 2019/06/14 | 10.32 |
| 19028 | UBS AG – CC Bank European Warrants Oct 2018 A | 70,000,000 | 2018/06/14 | 2018/10/24 | 19.81 |
| 19032 | UBS AG – CC Bank European Warrants Nov 2018 A | 70,000,000 | 2018/06/14 | 2018/11/27 | 11.41 |
| 19025 | UBS AG – China Life European Warrants Jan 2019 B | 100,000,000 | 2018/06/14 | 2019/01/28 | 21.10 |
| 19026 | UBS AG – China Life European Warrants Mar 2019 A | 100,000,000 | 2018/06/14 | 2019/03/26 | 15.20 |

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| | | (units) | Listing date | Expiry | |
| 19045 | UBS AG – CSPC Pharma European Put Warrants Dec 2018 E | 30,000,000 | 2018/06/14 | 2018/12/13 | 10.89 |
| 19006 | UBS AG – Geely Auto European Warrants Dec 2018 A | 50,000,000 | 2018/06/14 | 2018/12/20 | 10.85 |
| 19043 | UBS AG – Tencent European Put Warrants Oct 2018 F | 200,000,000 | 2018/06/14 | 2018/10/19 | 51.80 |
| 18899 | Bank Vontobel – CNBM European Warrants Sep 2019 A | 60,000,000 | 2018/06/14 | 2019/09/25 | 13.44 |
| 18892 | Bank Vontobel – HKEX European Warrants Oct 2018 B | 100,000,000 | 2018/06/14 | 2018/10/26 | 16.80 |
| 18893 | Bank Vontobel – Kingsoft European Warrants Jan 2019 A | 40,000,000 | 2018/06/14 | 2019/01/04 | 12.48 |
| 18900 | Bank Vontobel – Lenovo European Warrants Jan 2019 A | 40,000,000 | 2018/06/14 | 2019/01/04 | 10.08 |
| 21428 # | J P Morgan SP BV – HSI European Put Warrants Sep 2018 C | 200,000,000 | 2018/06/14 | 2018/09/27 | 20.00 |
| 19292 | BOCI Asia Ltd. – AIA European Warrants Nov 2018 A | 80,000,000 | 2018/06/15 | 2018/11/23 | 20.08 |
| 19279 | BOCI Asia Ltd. – Sands China Ltd European Warrants Nov 2018 B | 60,000,000 | 2018/06/15 | 2018/11/23 | 15.24 |
| 19316 | BNP Paribas Issuance B.V. – Ali Health European Wts Jan 19 A | 80,000,000 | 2018/06/15 | 2019/01/03 | 20.00 |
| 19324 | Credit Suisse AG – China Life European Warrants Jan 2019 B | 120,000,000 | 2018/06/15 | 2019/01/28 | 22.80 |
| 19326 | Credit Suisse AG – HSBC European Wts Nov 2018 B | 100,000,000 | 2018/06/15 | 2018/11/13 | 17.00 |
| 19325 | Credit Suisse AG – ICBC European Warrants Nov 2018 B | 70,000,000 | 2018/06/15 | 2018/11/23 | 11.90 |
| 19317 | Credit Suisse AG – Ping An European Put Warrants Oct 2018 F | 70,000,000 | 2018/06/15 | 2018/10/23 | 21.00 |
| 19405 | Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2018 D | 80,000,000 | 2018/06/15 | 2018/10/25 | 35.12 |
| 19403 | Goldman Sachs SP (Asia) – China Unicom Euro Wts Oct 2018 A | 60,000,000 | 2018/06/15 | 2018/10/10 | 17.22 |
| 19393 | Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2018 B | 80,000,000 | 2018/06/15 | 2018/11/05 | 14.96 |
| 19428 | Goldman Sachs SP (Asia) – ICBC European Warrants Oct 2018 A | 80,000,000 | 2018/06/15 | 2018/10/24 | 12.00 |
| 19441 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Sep 2018 D | 70,000,000 | 2018/06/15 | 2018/09/20 | 10.50 |
| 19305 | HK Bank – Fosun Intl European Warrants Dec 2018 A | 50,000,000 | 2018/06/15 | 2018/12/31 | 12.50 |
| 19315 | HK Bank – HKEX European Put Warrants Dec 2018 A | 80,000,000 | 2018/06/15 | 2018/12/20 | 12.00 |
| 19268 | HK Bank – HSI European Warrants Nov 2018 E | 180,000,000 | 2018/06/15 | 2018/11/29 | 27.00 |
| 19314 | HK Bank – Mengniu European Warrants Dec 2018 A | 40,000,000 | 2018/06/15 | 2018/12/31 | 10.00 |
| 19309 | HK Bank – Nine Dragons European Warrants Feb 2019 A | 80,000,000 | 2018/06/15 | 2019/02/11 | 12.80 |
| 19382 | J P Morgan SP BV – China Unicom European Warrants Oct 2018 A | 100,000,000 | 2018/06/15 | 2018/10/16 | 24.90 |
| 19338 | J P Morgan SP BV – PICC Group European Warrants Dec 2018 A | 60,000,000 | 2018/06/15 | 2018/12/31 | 15.00 |
| 19350 | J P Morgan SP BV – Tencent European Warrants Sep 2018 G | 250,000,000 | 2018/06/15 | 2018/09/17 | 56.25 |
| 19364 | J P Morgan SP BV – Tencent European Warrants Oct 2018 F | 250,000,000 | 2018/06/15 | 2018/10/31 | 37.75 |
| 19381 | J P Morgan SP BV – Tencent European Put Warrants Oct 2018 G | 250,000,000 | 2018/06/15 | 2018/10/31 | 38.50 |
| 19392 | J P Morgan SP BV – ZA Online European Warrants Nov 2018 A | 30,000,000 | 2018/06/15 | 2018/11/21 | 14.85 |
| 19585 | Credit Suisse AG – CNBM European Warrants Sep 2019 A | 80,000,000 | 2018/06/19 | 2019/09/30 | 20.00 |
| 19569 | Credit Suisse AG – HKEX European Put Warrants Dec 2018 B | 100,000,000 | 2018/06/19 | 2018/12/20 | 15.00 |
| 19596 | Credit Suisse AG – WH Group European Warrants Nov 2019 A | 60,000,000 | 2018/06/19 | 2019/11/11 | 10.80 |
| 19610 | Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Sep 2018 B | 30,000,000 | 2018/06/19 | 2018/09/27 | 10.14 |
| 19605 | Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Oct 2018 B | 70,000,000 | 2018/06/19 | 2018/10/26 | 10.50 |
| 19614 | Goldman Sachs SP (Asia) – A50 China European Wts Oct 2018 A | 80,000,000 | 2018/06/19 | 2018/10/30 | 37.52 |
| 19615 | Goldman Sachs SP (Asia) – GAC European Warrants Oct 2018 A | 70,000,000 | 2018/06/19 | 2018/10/18 | 10.50 |
| 19613 | Goldman Sachs SP (Asia) – Ping An European Wts Oct 2018 F | 100,000,000 | 2018/06/19 | 2018/10/11 | 44.60 |
| 19478 | HK Bank – HSCEI European Warrants Jan 2019 A | 100,000,000 | 2018/06/19 | 2019/01/30 | 16.00 |
| 19465 | HK Bank – HSI European Warrants Dec 2018 D | 180,000,000 | 2018/06/19 | 2018/12/28 | 36.00 |
| 19448 | HK Bank – HSI European Put Warrants Oct 2018 D | 180,000,000 | 2018/06/19 | 2018/10/30 | 27.00 |
| 19444 | HK Bank – HSI European Put Warrants Dec 2018 C | 180,000,000 | 2018/06/19 | 2018/12/28 | 27.00 |
| 19533 | J P Morgan SP BV – CC Bank European Wts Dec 2018 A | 100,000,000 | 2018/06/19 | 2018/12/31 | 26.30 |

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| 19540 | J P Morgan SP BV – Ch Molybdenum European Warrants Jan 2019 A | 30,000,000 | 2018/06/19 | 2019/01/02 | 15.99 |
| 19538 | J P Morgan SP BV – Haier Elec European Warrants Jan 2019 A | 40,000,000 | 2018/06/19 | 2019/01/02 | 14.60 |
| 19531 | J P Morgan SP BV – ICBC European Warrants Dec 2018 C | 100,000,000 | 2018/06/19 | 2018/12/31 | 64.90 |
| 19550 | J P Morgan SP BV – ND Paper European Warrants Feb 2019 A | 70,000,000 | 2018/06/19 | 2019/02/11 | 13.51 |
| 19522 | J P Morgan SP BV – Sh Petrochem European Warrants Dec 2018 A | 20,000,000 | 2018/06/19 | 2018/12/31 | 11.10 |
| 19521 | Macquarie Bank Ltd. – Ch Comm Cons European Wts Aug 2019 A | 12,000,000 | 2018/06/19 | 2019/08/02 | 10.24 |
| 19509 | Macquarie Bank Ltd. – CNBM European Warrants Jan 2019 B | 9,000,000 | 2018/06/19 | 2019/01/03 | 10.03 |
| 19511 | Macquarie Bank Ltd. – Xinyi Solar European Warrants Jun 19 A | 17,000,000 | 2018/06/19 | 2019/06/18 | 10.01 |
| 19618 | SG Issuer – Chinares Cement European Warrants Dec 2018 C | 100,000,000 | 2018/06/19 | 2018/12/18 | 25.00 |
| 19623 | SG Issuer – Chinares Cement European Warrants Sep 2019 A | 100,000,000 | 2018/06/19 | 2019/09/30 | 25.00 |
| 19479 | Bank Vontobel – HSI European Warrants Feb 2019 A | 100,000,000 | 2018/06/19 | 2019/02/27 | 25.20 |
| 19484 | Bank Vontobel – HSI European Warrants Jun 2019 A | 100,000,000 | 2018/06/19 | 2019/06/27 | 25.10 |
| 19501 | Bank Vontobel – HSI European Put Warrants Mar 2019 B | 100,000,000 | 2018/06/19 | 2019/03/28 | 25.10 |
| 19500 | Bank Vontobel – HSI European Put Warrants Jun 2019 B | 100,000,000 | 2018/06/19 | 2019/06/27 | 25.10 |
| 19758 | Credit Suisse AG – Mengniu Dairy European Wts Dec 2018 A | 40,000,000 | 2018/06/20 | 2018/12/31 | 10.00 |
| 19753 | Credit Suisse AG – Xinyi Solar European Warrants Dec 2018 B | 25,000,000 | 2018/06/20 | 2018/12/19 | 10.00 |
| 19830 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Oct 2018 B | 80,000,000 | 2018/06/20 | 2018/10/24 | 15.76 |
| 19844 | Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2018 H | 150,000,000 | 2018/06/20 | 2018/10/26 | 22.50 |
| 19808 | Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2018 D | 150,000,000 | 2018/06/20 | 2018/11/29 | 22.50 |
| 19819 | Goldman Sachs SP (Asia) – Tracker Fund European Wts Dec 18 A | 70,000,000 | 2018/06/20 | 2018/12/20 | 10.50 |
| 19822 | Goldman Sachs SP (Asia) – Tracker Fund European Put Wts Dec 18 B | 70,000,000 | 2018/06/20 | 2018/12/20 | 10.50 |
| 19636 | HK Bank – Chinares Cement European Warrants Dec 2018 C | 100,000,000 | 2018/06/20 | 2018/12/31 | 25.00 |
| 19695 | HK Bank – Chinares Cement European Warrants Dec 2018 D | 100,000,000 | 2018/06/20 | 2018/12/04 | 18.00 |
| 19681 | Haitong Int'l Sec – China Unicom European Warrants Nov 2018 B | 20,000,000 | 2018/06/20 | 2018/11/26 | 17.00 |
| 19646 | Haitong Int'l Sec – China Unicom European Warrants Jun 2019 A | 80,000,000 | 2018/06/20 | 2019/06/28 | 13.60 |
| 19689 | Haitong Int'l Sec – KB Laminates European Warrants Dec 2018 A | 80,000,000 | 2018/06/20 | 2018/12/20 | 21.60 |
| 19692 | Haitong Int'l Sec – KB Laminates European Warrants Dec 2018 B | 80,000,000 | 2018/06/20 | 2018/12/28 | 15.20 |
| 19690 | Haitong Int'l Sec – KB Laminates European Warrants Feb 2019 A | 80,000,000 | 2018/06/20 | 2019/02/28 | 20.00 |
| 19750 | J P Morgan SP BV – HSBC European Warrants Dec 2018 C | 100,000,000 | 2018/06/20 | 2018/12/31 | 25.10 |
| 19720 | J P Morgan SP BV – Shenzhen Invest European Warrants Dec 18 A | 30,000,000 | 2018/06/20 | 2018/12/31 | 10.26 |
| 19703 | Macquarie Bank Ltd. – CM Bank European Put Warrants Apr 19 A | 40,000,000 | 2018/06/20 | 2019/04/02 | 10.00 |
| 19698 | Macquarie Bank Ltd. – Kingsoft European Put Warrants Apr 19 A | 34,000,000 | 2018/06/20 | 2019/04/02 | 10.06 |
| 19701 | Macquarie Bank Ltd. – Lenovo European Put Warrants Mar 2019 A | 20,000,000 | 2018/06/20 | 2019/03/04 | 10.00 |
| 19798 | SG Issuer – Mengniu Dairy European Warrants Sep 2018 A | 55,000,000 | 2018/06/20 | 2018/09/28 | 10.18 |
| 19803 | SG Issuer – Mengniu Dairy European Warrants Dec 2018 A | 40,000,000 | 2018/06/20 | 2018/12/31 | 10.00 |
| 19777 | SG Issuer – Sunny Optical European Warrants Sep 2018 B | 50,000,000 | 2018/06/20 | 2018/09/28 | 10.00 |
| 19781 | SG Issuer – Sunny Optical European Warrants Dec 2018 B | 50,000,000 | 2018/06/20 | 2018/12/31 | 12.50 |
| 19789 | SG Issuer – Tencent European Put Warrants Oct 2018 F | 150,000,000 | 2018/06/20 | 2018/10/31 | 33.75 |
| 19705 | UBS AG – BOC HK European Warrants Jan 2019 A | 60,000,000 | 2018/06/20 | 2019/01/28 | 15.00 |
| 19707 | UBS AG – CKH Holdings European Warrants Nov 2018 A | 40,000,000 | 2018/06/20 | 2018/11/23 | 20.88 |
| 19709 | UBS AG – Li Ning European Warrants Jun 2019 B | 50,000,000 | 2018/06/20 | 2019/06/11 | 10.00 |
| 19708 | UBS AG – Mengniu Dairy European Warrants Dec 2018 B | 70,000,000 | 2018/06/20 | 2018/12/19 | 17.71 |
| 19706 | UBS AG – Sunart Retail European Warrants Jan 2019 A | 40,000,000 | 2018/06/20 | 2019/01/31 | 11.16 |
| 19715 | UBS AG – Tsingtao Brew European Warrants Nov 2018 A | 30,000,000 | 2018/06/20 | 2018/11/08 | 17.85 |

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| 19711 | UBS AG – WH Group European Warrants Nov 2019 A | 50,000,000 | 2018/06/20 | 2019/11/11 | 11.40 |
| 19712 | UBS AG – WuXi Bio European Warrants Dec 2018 A | 40,000,000 | 2018/06/20 | 2018/12/11 | 10.00 |
| 19899 | BOCI Asia Ltd. – Agricultural Bank European Wts Nov 2018 A | 60,000,000 | 2018/06/21 | 2018/11/23 | 14.82 |
| 19901 | BOCI Asia Ltd. – Agricultural Bank European Wts Feb 2019 A | 60,000,000 | 2018/06/21 | 2019/02/18 | 13.62 |
| 19889 | BOCI Asia Ltd. – Bank of China European Warrants Dec 2018 C | 80,000,000 | 2018/06/21 | 2018/12/28 | 13.12 |
| 19896 | BOCI Asia Ltd. – Bank of China European Warrants Dec 2018 D | 80,000,000 | 2018/06/21 | 2018/12/20 | 18.96 |
| 19885 | BOCI Asia Ltd. – Mengniu Dairy European Warrants Nov 2018 A | 50,000,000 | 2018/06/21 | 2018/11/27 | 17.15 |
| 19882 | BOCI Asia Ltd. – SMIC European Warrants Mar 2019 A | 80,000,000 | 2018/06/21 | 2019/03/29 | 20.24 |
| 19963 | Credit Suisse AG – Ch Molybdenum European Wts Dec 2018 A | 30,000,000 | 2018/06/21 | 2018/12/20 | 19.50 |
| 19931 | Credit Suisse AG – Genscript Bio European Warrants Dec 2018 A | 30,000,000 | 2018/06/21 | 2018/12/20 | 18.00 |
| 19940 | Credit Suisse AG – Hua Hong Semi European Warrants Dec 2018 A | 30,000,000 | 2018/06/21 | 2018/12/20 | 15.00 |
| 19968 | Credit Suisse AG – KB Laminates European Warrants Dec 2018 B | 60,000,000 | 2018/06/21 | 2018/12/20 | 15.00 |
| 20022 | Goldman Sachs SP (Asia) – CKA European Warrants Nov 2018 A | 50,000,000 | 2018/06/21 | 2018/11/23 | 10.40 |
| 20020 | Goldman Sachs SP (Asia) – China Lit European Warrants May 19 A | 80,000,000 | 2018/06/21 | 2019/05/30 | 20.00 |
| 19997 | Goldman Sachs SP (Asia) – ICBC European Warrants Sep 2018 E | 80,000,000 | 2018/06/21 | 2018/09/24 | 12.00 |
| 20012 | Goldman Sachs SP (Asia) – Mengniu Dairy Euro Wt Sep 2018 A | 70,000,000 | 2018/06/21 | 2018/09/21 | 10.50 |
| 20026 | Goldman Sachs SP (Asia) – Ping An European Wts Nov 2018 A | 100,000,000 | 2018/06/21 | 2018/11/23 | 17.90 |
| 19855 | HK Bank – China Lit European Warrants Jun 2019 B | 100,000,000 | 2018/06/21 | 2019/06/28 | 25.00 |
| 19857 | HK Bank – Chinares Cement European Warrants Jan 2019 A | 100,000,000 | 2018/06/21 | 2019/01/31 | 25.00 |
| 19978 | J P Morgan SP BV – ZTE European Warrants Dec 2018 A | 50,000,000 | 2018/06/21 | 2018/12/20 | 13.45 |
| 19908 | Macquarie Bank Ltd. – Minsheng Bank European Wts Jan 2019 A | 20,000,000 | 2018/06/21 | 2019/01/03 | 10.00 |
| 19905 | Macquarie Bank Ltd. – China CRSC European Warrants Jun 2019 A | 7,000,000 | 2018/06/21 | 2019/06/14 | 10.82 |
| 19925 | Macquarie Bank Ltd. – Virscend Edu European Warrants Apr 20 A | 40,000,000 | 2018/06/21 | 2020/04/16 | 10.20 |
| 19902 | Macquarie Bank Ltd. – YuHua Edu European Warrants Nov 2019 A | 40,000,000 | 2018/06/21 | 2019/11/18 | 10.08 |
| 19919 | Macquarie Bank Ltd. – ZTE European Warrants Apr 2019 A | 32,000,000 | 2018/06/21 | 2019/04/15 | 10.11 |
| 19914 | Macquarie Bank Ltd. – ZTE European Warrants Jun 2019 A | 24,000,000 | 2018/06/21 | 2019/06/14 | 10.10 |
| 19980 | SG Issuer – BBMG European Warrants Dec 2018 A | 20,000,000 | 2018/06/21 | 2018/12/20 | 10.00 |
| 19988 | SG Issuer – China Overseas European Warrants Dec 2018 A | 40,000,000 | 2018/06/21 | 2018/12/31 | 10.00 |
| 19990 | SG Issuer – SMIC European Warrants Dec 2018 C | 70,000,000 | 2018/06/21 | 2018/12/14 | 10.50 |
| 19904 | UBS AG – Hua Hong Semi European Warrants Dec 2018 B | 25,000,000 | 2018/06/21 | 2018/12/20 | 12.83 |
| 19903 | UBS AG – ZTE European Warrants Dec 2018 A | 40,000,000 | 2018/06/21 | 2018/12/20 | 13.16 |
| 19995 | UBS AG – ZTE European Warrants Jan 2019 A | 40,000,000 | 2018/06/21 | 2019/01/14 | 15.44 |
| 19991 | UBS AG – ZTE European Warrants Feb 2019 A | 40,000,000 | 2018/06/21 | 2019/02/18 | 11.72 |
| 19880 | Bank Vontobel – Bank of China European Warrants Jan 2019 A | 60,000,000 | 2018/06/21 | 2019/01/04 | 21.00 |
| 19864 | Bank Vontobel – CC Bank European Warrants Sep 2018 C | 50,000,000 | 2018/06/21 | 2018/09/24 | 17.45 |
| 19866 | Bank Vontobel – CITIC Sec European Warrants Jan 2019 B | 50,000,000 | 2018/06/21 | 2019/01/04 | 12.55 |
| 19929 | Bank Vontobel – China Overseas European Warrants Jan 2019 A | 50,000,000 | 2018/06/21 | 2019/01/04 | 12.60 |
| 19874 | Bank Vontobel – Tencent European Warrants Oct 2018 G | 100,000,000 | 2018/06/21 | 2018/10/22 | 39.00 |
| 20098 | Credit Suisse AG – ZTE European Warrants Dec 2018 A | 40,000,000 | 2018/06/22 | 2018/12/21 | 15.20 |
| 20099 | Credit Suisse AG – ZTE European Warrants Dec 2018 B | 40,000,000 | 2018/06/22 | 2018/12/21 | 12.00 |
| 20292 | Goldman Sachs SP (Asia) – Anhui Conch European Wts Oct 2018 A | 40,000,000 | 2018/06/22 | 2018/10/25 | 10.00 |
| 20285 | Goldman Sachs SP (Asia) – Bank of China European Wts Dec 18 B | 80,000,000 | 2018/06/22 | 2018/12/24 | 20.00 |
| 20279 | Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2018 G | 80,000,000 | 2018/06/22 | 2018/09/26 | 28.40 |
| 20297 | Goldman Sachs SP (Asia) – CNBM European Warrants Oct 2018 A | 40,000,000 | 2018/06/22 | 2018/10/26 | 35.28 |

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| 20283 | Goldman Sachs SP (Asia) – ICBC European Warrants Dec 2018 A | 80,000,000 | 2018/06/22 | 2018/12/24 | 22.88 |
| 20073 | HK Bank – Agricultural Bank European Warrants Dec 2018 A | 80,000,000 | 2018/06/22 | 2018/12/31 | 12.00 |
| 20061 | HK Bank – BOC HK European Warrants Dec 2018 A | 80,000,000 | 2018/06/22 | 2018/12/31 | 20.00 |
| 20038 | HK Bank – Bank of China European Warrants Dec 2018 A | 80,000,000 | 2018/06/22 | 2018/12/31 | 20.00 |
| 20055 | HK Bank – CC Bank European Warrants Dec 2018 A | 80,000,000 | 2018/06/22 | 2018/12/31 | 28.00 |
| 20035 | HK Bank – ICBC European Warrants Dec 2018 A | 80,000,000 | 2018/06/22 | 2018/12/31 | 20.00 |
| 20033 | HK Bank – Kingdee Intl European Warrants Feb 2019 A | 100,000,000 | 2018/06/22 | 2019/02/21 | 15.00 |
| 20088 | HK Bank – SMIC European Warrants Dec 2018 B | 100,000,000 | 2018/06/22 | 2018/12/31 | 15.00 |
| 20172 | Haitong Int'l Sec – Angang Steel European Warrants Dec 2018 B | 20,000,000 | 2018/06/22 | 2018/12/24 | 16.40 |
| 20215 | Haitong Int'l Sec – Bank of China European Warrants Jan 19 A | 100,000,000 | 2018/06/22 | 2019/01/31 | 15.00 |
| 20205 | Haitong Int'l Sec – Ch Comm Cons European Warrants Dec 2018 A | 20,000,000 | 2018/06/22 | 2018/12/24 | 10.20 |
| 20209 | Haitong Int'l Sec – Ch Comm Cons European Warrants Dec 2018 B | 40,000,000 | 2018/06/22 | 2018/12/24 | 13.20 |
| 20108 | Haitong Int'l Sec – CKH Holdings European Warrants Nov 2018 A | 38,000,000 | 2018/06/22 | 2018/11/02 | 12.54 |
| 20210 | Haitong Int'l Sec – Minsheng Bank European Warrants Mar 19 A | 20,000,000 | 2018/06/22 | 2019/03/28 | 21.20 |
| 20214 | Haitong Int'l Sec – Minsheng Bank European Warrants May 19 B | 20,000,000 | 2018/06/22 | 2019/05/20 | 16.60 |
| 20165 | Haitong Int'l Sec – Galaxy Ent European Warrants Oct 2018 A | 50,000,000 | 2018/06/22 | 2018/10/30 | 22.00 |
| 20171 | Haitong Int'l Sec – Galaxy Ent European Warrants Oct 2018 B | 40,000,000 | 2018/06/22 | 2018/10/24 | 10.00 |
| 20201 | Haitong Int'l Sec – Meitu European Warrants Feb 2019 A | 80,000,000 | 2018/06/22 | 2019/02/21 | 14.40 |
| 20135 | Haitong Int'l Sec – SHK Ppt European Warrants Dec 2018 A | 25,000,000 | 2018/06/22 | 2018/12/21 | 10.25 |
| 20176 | Haitong Int'l Sec – Tencent European Put Warrants Dec 2018 E | 100,000,000 | 2018/06/22 | 2018/12/24 | 28.00 |
| 20184 | Haitong Int'l Sec – ZTE European Warrants Dec 2018 A | 80,000,000 | 2018/06/22 | 2018/12/31 | 24.80 |
| 20189 | Haitong Int'l Sec – ZTE European Warrants Dec 2018 B | 80,000,000 | 2018/06/22 | 2018/12/31 | 20.00 |
| 20231 | J P Morgan SP BV – Bank of China European Put Wts Feb 2019 A | 100,000,000 | 2018/06/22 | 2019/02/01 | 25.30 |
| 20270 | J P Morgan SP BV – CKH Holdings European Warrants Jan 2019 A | 100,000,000 | 2018/06/22 | 2019/01/03 | 41.20 |
| 20232 | J P Morgan SP BV – CM Bank European Warrants Jan 2019 A | 100,000,000 | 2018/06/22 | 2019/01/03 | 25.30 |
| 20218 | J P Morgan SP BV – Genscript Bio European Warrants Jan 2019 A | 40,000,000 | 2018/06/22 | 2019/01/03 | 14.72 |
| 20273 | J P Morgan SP BV – HKEX European Warrants Dec 2018 C | 200,000,000 | 2018/06/22 | 2018/12/20 | 30.00 |
| 20221 | J P Morgan SP BV – ICBC European Put Wts Dec 2018 D | 100,000,000 | 2018/06/22 | 2018/12/31 | 25.70 |
| 20095 | UBS AG – Ch Molybdenum European Warrants Dec 2018 A | 30,000,000 | 2018/06/22 | 2018/12/21 | 17.67 |
| 20097 | UBS AG – HSCEI European Put Warrants Sep 2018 B | 100,000,000 | 2018/06/22 | 2018/09/27 | 25.00 |
| 20377 | Credit Suisse AG – CKH Holdings European Warrants Nov 2018 A | 50,000,000 | 2018/06/25 | 2018/11/22 | 17.50 |
| 20372 | Credit Suisse AG – HKEX European Warrants Dec 2018 C | 200,000,000 | 2018/06/25 | 2018/12/20 | 30.00 |
| 20384 | Credit Suisse AG – SMIC European Warrants Dec 2018 A | 60,000,000 | 2018/06/25 | 2018/12/14 | 10.02 |
| 20483 | Goldman Sachs SP (Asia) – CM Bank European Wts Nov 2018 A | 70,000,000 | 2018/06/25 | 2018/11/23 | 10.50 |
| 20501 | Goldman Sachs SP (Asia) – China Tel European Wts Oct 2018 B | 60,000,000 | 2018/06/25 | 2018/10/26 | 11.64 |
| 20486 | Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2018 C | 60,000,000 | 2018/06/25 | 2018/10/25 | 15.96 |
| 20513 | Goldman Sachs SP (Asia) – Ping An European Wts Oct 2018 G | 100,000,000 | 2018/06/25 | 2018/10/29 | 15.00 |
| 20519 | Goldman Sachs SP (Asia) – Ping An European Wts Nov 2018 B | 100,000,000 | 2018/06/25 | 2018/11/23 | 42.10 |
| 20393 | J P Morgan SP BV – China Mobile European Wts Dec 2018 B | 100,000,000 | 2018/06/25 | 2018/12/31 | 25.20 |
| 20408 | J P Morgan SP BV – CNOOC European Warrants Sep 2019 A | 200,000,000 | 2018/06/25 | 2019/09/30 | 30.20 |
| 20404 | J P Morgan SP BV – Sinopec Corp European Warrants Dec 2018 A | 100,000,000 | 2018/06/25 | 2018/12/31 | 38.60 |
| 20398 | J P Morgan SP BV – GAC European Warrants Dec 2019 A | 60,000,000 | 2018/06/25 | 2019/12/20 | 12.00 |
| 20386 | J P Morgan SP BV – HSI European Warrants Jan 2019 D | 300,000,000 | 2018/06/25 | 2019/01/30 | 75.60 |
| 20345 | Macquarie Bank Ltd. – BBMG European Warrants Jan 2019 A | 20,000,000 | 2018/06/25 | 2019/01/16 | 10.00 |

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| 20352 | Macquarie Bank Ltd. – CM Bank European Warrants Jul 2019 A | 40,000,000 | 2018/06/25 | 2019/07/03 | 10.00 |
| 20348 | Macquarie Bank Ltd. – Huadian Fuxin European Warrants May 19 A | 30,000,000 | 2018/06/25 | 2019/05/16 | 10.02 |
| 20411 | SG Issuer – CC Bank European Warrants Dec 2018 A | 60,000,000 | 2018/06/25 | 2018/12/31 | 22.80 |
| 20418 | SG Issuer – China EB Ltd European Warrants Dec 2018 A | 60,000,000 | 2018/06/25 | 2018/12/20 | 10.50 |
| 20420 | SG Issuer – China Mobile European Warrants Oct 2018 A | 50,000,000 | 2018/06/25 | 2018/10/31 | 10.50 |
| 20427 | SG Issuer – Ch Molybdenum European Warrants Dec 2018 B | 15,000,000 | 2018/06/25 | 2018/12/31 | 10.95 |
| 20431 | SG Issuer – HKEX European Warrants Oct 2018 E | 150,000,000 | 2018/06/25 | 2018/10/31 | 22.50 |
| 20436 | SG Issuer – ICBC European Warrants Dec 2018 A | 60,000,000 | 2018/06/25 | 2018/12/31 | 18.30 |
| 20480 | SG Issuer – Meitu European Warrants Mar 2019 A | 80,000,000 | 2018/06/25 | 2019/03/08 | 13.60 |
| 20354 | UBS AG – China Lit European Warrants May 2019 A | 100,000,000 | 2018/06/25 | 2019/05/30 | 25.00 |
| 20355 | UBS AG – GAC European Warrants Dec 2018 B | 11,000,000 | 2018/06/25 | 2018/12/28 | 13.73 |
| 20353 | UBS AG – HK & China Gas European Warrants Dec 2018 A | 16,000,000 | 2018/06/25 | 2018/12/28 | 10.54 |
| 20362 | UBS AG – HSI European Warrants Dec 2018 B | 200,000,000 | 2018/06/25 | 2018/12/28 | 50.00 |
| 20365 | UBS AG – HSI European Put Warrants Sep 2018 E | 200,000,000 | 2018/06/25 | 2018/09/27 | 31.20 |
| 20366 | UBS AG – HSI European Put Warrants Nov 2018 C | 200,000,000 | 2018/06/25 | 2018/11/29 | 41.20 |
| 20361 | UBS AG – MTR Corporation European Warrants Oct 2018 A | 60,000,000 | 2018/06/25 | 2018/10/24 | 10.26 |
| 20312 | Bank Vontobel – BOC HK European Warrants Mar 2019 A | 50,000,000 | 2018/06/25 | 2019/03/25 | 12.60 |
| 20327 | Bank Vontobel – Evergrande European Warrants Jan 2019 A | 40,000,000 | 2018/06/25 | 2019/01/04 | 12.32 |
| 20341 | Bank Vontobel – Greatwall Motor European Warrants Dec 2018 A | 15,000,000 | 2018/06/25 | 2018/12/24 | 13.49 |
| 20340 | Bank Vontobel – HKEX European Warrants Mar 2019 A | 100,000,000 | 2018/06/25 | 2019/03/01 | 18.00 |
| 20310 | Bank Vontobel – Ping An European Put Warrants Jan 2019 A | 40,000,000 | 2018/06/25 | 2019/01/03 | 20.00 |
| 20806 | BOCI Asia Ltd. – AIA European Warrants Dec 2018 A | 80,000,000 | 2018/06/26 | 2018/12/28 | 29.92 |
| 20561 | BOCI Asia Ltd. – CAM CSI300 European Warrants Nov 2018 A | 65,000,000 | 2018/06/26 | 2018/11/29 | 10.21 |
| 20812 | BOCI Asia Ltd. – CSPC Pharma European Warrants Nov 2018 C | 60,000,000 | 2018/06/26 | 2018/11/23 | 18.30 |
| 20558 | BOCI Asia Ltd. – A50 China European Warrants Nov 2018 A | 40,000,000 | 2018/06/26 | 2018/11/26 | 10.60 |
| 20811 | BOCI Asia Ltd. – Galaxy Ent European Warrants Dec 2018 A | 50,000,000 | 2018/06/26 | 2018/12/06 | 16.95 |
| 20802 | BOCI Asia Ltd. – HKEX European Warrants Nov 2018 C | 150,000,000 | 2018/06/26 | 2018/11/27 | 23.25 |
| 20803 | BOCI Asia Ltd. – HSBC European Warrants Nov 2018 C | 100,000,000 | 2018/06/26 | 2018/11/27 | 19.80 |
| 20562 | BOCI Asia Ltd. – HSCEI ETF European Warrants Dec 2018 A | 40,000,000 | 2018/06/26 | 2018/12/06 | 13.08 |
| 20782 | Credit Suisse AG – CITIC Sec European Warrants Nov 2018 C | 60,000,000 | 2018/06/26 | 2018/11/23 | 10.02 |
| 20775 | Credit Suisse AG – China Life European Warrants Oct 2018 C | 120,000,000 | 2018/06/26 | 2018/10/22 | 20.40 |
| 20771 | Credit Suisse AG – China Mobile European Warrants Oct 2018 A | 50,000,000 | 2018/06/26 | 2018/10/31 | 10.00 |
| 20785 | Credit Suisse AG – Greatwall Motor European Wts Dec 2018 A | 20,000,000 | 2018/06/26 | 2018/12/27 | 15.00 |
| 20774 | Credit Suisse AG – HKEX European Warrants Sep 2018 G | 200,000,000 | 2018/06/26 | 2018/09/26 | 33.00 |
| 20783 | Credit Suisse AG – Haitong Sec European Warrants Dec 2018 A | 25,000,000 | 2018/06/26 | 2018/12/27 | 20.00 |
| 20801 | Credit Suisse AG – Tencent European Wts Sep 2018 J | 250,000,000 | 2018/06/26 | 2018/09/26 | 75.00 |
| 20765 | Credit Suisse AG – Tencent European Wts Oct 2018 F | 250,000,000 | 2018/06/26 | 2018/10/19 | 65.00 |
| 21003 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Nov 2018 A | 60,000,000 | 2018/06/26 | 2018/11/26 | 10.02 |
| 20998 | Goldman Sachs SP (Asia) – CKH Holdings European Wts Oct 18 A | 40,000,000 | 2018/06/26 | 2018/10/25 | 12.08 |
| 20983 | Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2018 E | 60,000,000 | 2018/06/26 | 2018/10/10 | 35.34 |
| 20990 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Dec 2018 A | 60,000,000 | 2018/06/26 | 2018/12/06 | 16.74 |
| 20980 | Goldman Sachs SP (Asia) – HSI European Warrants Dec 2018 A | 150,000,000 | 2018/06/26 | 2018/12/28 | 22.50 |
| 10019 | Haitong Int'l Sec – Baba European Warrants Jan 2019 A | 8,000,000 | 2018/06/26 | 2019/01/18 | 20.23 |
| 10020 | Haitong Int'l Sec – Baba European Warrants Jan 2019 B | 8,000,000 | 2018/06/26 | 2019/01/18 | 15.89 |

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| 10021 | Haitong Int'l Sec - Baba European Put Warrants Jan 2019 C | 8,000,000 | 2018/06/26 | 2019/01/18 | 15.25 |
| 20884 | J P Morgan SP BV - Agricultural Bank Euro Warrants Jan 2019 A | 100,000,000 | 2018/06/26 | 2019/01/02 | 25.00 |
| 20902 | J P Morgan SP BV - AIA European Warrants Dec 2018 A | 100,000,000 | 2018/06/26 | 2018/12/31 | 32.50 |
| 20840 | J P Morgan SP BV - CAM CSI300 European Warrants Feb 2019 A | 100,000,000 | 2018/06/26 | 2019/02/01 | 25.10 |
| 20940 | J P Morgan SP BV - BBMG European Warrants Jan 2019 A | 40,000,000 | 2018/06/26 | 2019/01/02 | 14.64 |
| 20877 | J P Morgan SP BV - Bank of China European Warrants Jan 2019 A | 100,000,000 | 2018/06/26 | 2019/01/02 | 25.10 |
| 20847 | J P Morgan SP BV - CC Bank European Wts Oct 2018 A | 100,000,000 | 2018/06/26 | 2018/10/02 | 22.40 |
| 20852 | J P Morgan SP BV - CC Bank European Wts Dec 2018 B | 100,000,000 | 2018/06/26 | 2018/12/31 | 26.50 |
| 20947 | J P Morgan SP BV - CGN Power European Warrants Jan 2019 A | 60,000,000 | 2018/06/26 | 2019/01/02 | 15.06 |
| 20930 | J P Morgan SP BV - CICC European Warrants Jan 2019 A | 50,000,000 | 2018/06/26 | 2019/01/02 | 12.55 |
| 20972 | J P Morgan SP BV - COSCO Ship Dev European Warrants Mar 19 A | 40,000,000 | 2018/06/26 | 2019/03/04 | 10.04 |
| 20921 | J P Morgan SP BV - GF Sec European Warrants Apr 2019 A | 70,000,000 | 2018/06/26 | 2019/04/30 | 10.64 |
| 20649 | J P Morgan SP BV - HSCEI European Wts Mar 2019 A | 200,000,000 | 2018/06/26 | 2019/03/28 | 50.00 |
| 20892 | J P Morgan SP BV - HSI European Warrants Nov 2018 E | 300,000,000 | 2018/06/26 | 2018/11/29 | 45.90 |
| 20853 | J P Morgan SP BV - ICBC European Warrants Jan 2019 A | 100,000,000 | 2018/06/26 | 2019/01/02 | 25.50 |
| 20909 | J P Morgan SP BV - Meitu European Warrants Mar 2019 A | 70,000,000 | 2018/06/26 | 2019/03/08 | 10.50 |
| 20905 | J P Morgan SP BV - Sunny Optical European Warrants Jan 2019 A | 100,000,000 | 2018/06/26 | 2019/01/02 | 25.10 |
| 20634 | J P Morgan SP BV - ZTE European Warrants Dec 2018 B | 40,000,000 | 2018/06/26 | 2018/12/31 | 12.96 |
| 20615 | Macquarie Bank Ltd. - CC Bank European Warrants Jan 2019 A | 34,000,000 | 2018/06/26 | 2019/01/03 | 10.03 |
| 20598 | Macquarie Bank Ltd. - CITIC Sec European Warrants Mar 2019 A | 9,000,000 | 2018/06/26 | 2019/03/04 | 10.84 |
| 20571 | Macquarie Bank Ltd. - HKEX European Warrants Jan 2019 A | 7,000,000 | 2018/06/26 | 2019/01/03 | 11.49 |
| 20579 | Macquarie Bank Ltd. - HKEX European Warrants Jan 2019 B | 11,000,000 | 2018/06/26 | 2019/01/03 | 10.48 |
| 20581 | Macquarie Bank Ltd. - HKEX European Warrants Jan 2019 C | 40,000,000 | 2018/06/26 | 2019/01/03 | 10.00 |
| 20588 | Macquarie Bank Ltd. - HKEX European Warrants Jan 2019 D | 20,000,000 | 2018/06/26 | 2019/01/03 | 10.18 |
| 20618 | Macquarie Bank Ltd. - Ping An European Warrants Jan 2019 C | 20,000,000 | 2018/06/26 | 2019/01/03 | 11.28 |
| 20620 | Macquarie Bank Ltd. - Ping An European Warrants Jan 2019 D | 30,000,000 | 2018/06/26 | 2019/01/03 | 10.08 |
| 21038 | SG Issuer - Conch Cement European Warrants Dec 2018 A | 25,000,000 | 2018/06/26 | 2018/12/31 | 15.50 |
| 21097 | SG Issuer - Agricultural Bank European Warrants Nov 2018 A | 70,000,000 | 2018/06/26 | 2018/11/30 | 11.20 |
| 21009 | SG Issuer - AIA European Warrants Sep 2018 C | 60,000,000 | 2018/06/26 | 2018/09/28 | 18.30 |
| 21034 | SG Issuer - CAM CSI300 European Warrants Dec 2018 B | 60,000,000 | 2018/06/26 | 2018/12/31 | 15.00 |
| 21011 | SG Issuer - BOC HK European Warrants Dec 2018 A | 40,000,000 | 2018/06/26 | 2018/12/31 | 10.00 |
| 21042 | SG Issuer - CC Bank European Warrants Sep 2018 B | 40,000,000 | 2018/06/26 | 2018/09/28 | 10.80 |
| 21050 | SG Issuer - China Life European Warrants Oct 2018 D | 120,000,000 | 2018/06/26 | 2018/10/31 | 23.40 |
| 21101 | SG Issuer - China Life European Warrants Jan 2019 A | 120,000,000 | 2018/06/26 | 2019/01/31 | 18.00 |
| 21104 | SG Issuer - Sinopec Corp European Warrants Dec 2018 A | 40,000,000 | 2018/06/26 | 2018/12/31 | 16.20 |
| 21059 | SG Issuer - China Unicom European Warrants Sep 2018 A | 18,000,000 | 2018/06/26 | 2018/09/28 | 10.08 |
| 21063 | SG Issuer - Geely Auto European Warrants Oct 2018 B | 50,000,000 | 2018/06/26 | 2018/10/31 | 14.00 |
| 21062 | SG Issuer - Galaxy Ent European Warrants Oct 2018 D | 40,000,000 | 2018/06/26 | 2018/10/31 | 10.20 |
| 21064 | SG Issuer - HKEX European Warrants Sep 2018 E | 150,000,000 | 2018/06/26 | 2018/09/28 | 24.00 |
| 21028 | SG Issuer - HSBC European Warrants Oct 2018 C | 80,000,000 | 2018/06/26 | 2018/10/31 | 16.80 |
| 21126 | SG Issuer - HSI European Warrants Dec 2018 F | 150,000,000 | 2018/06/26 | 2018/12/28 | 37.50 |
| 21128 | SG Issuer - HSI European Warrants Dec 2018 G | 150,000,000 | 2018/06/26 | 2018/12/28 | 37.50 |
| 21083 | SG Issuer - Jiangxi Copper European Warrants Feb 2019 A | 70,000,000 | 2018/06/26 | 2019/02/28 | 13.65 |
| 21086 | SG Issuer - PetroChina European Warrants Dec 2018 A | 40,000,000 | 2018/06/26 | 2018/12/31 | 20.00 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 21105 | SG Issuer – Ping An European Warrants Sep 2018 H | 80,000,000 | 2018/06/26 | 2018/09/28 | 30.80 |
| 21125 | SG Issuer – Ping An European Warrants Oct 2018 D | 80,000,000 | 2018/06/26 | 2018/10/31 | 14.00 |
| 20546 | UBS AG – CC Bank European Warrants Sep 2018 B | 70,000,000 | 2018/06/26 | 2018/09/28 | 16.38 |
| 20548 | UBS AG – CC Bank European Warrants Dec 2018 B | 70,000,000 | 2018/06/26 | 2018/12/28 | 23.59 |
| 20724 | UBS AG – China Life European Warrants Oct 2018 B | 200,000,000 | 2018/06/26 | 2018/10/22 | 34.20 |
| 20687 | UBS AG – Sinopec Corp European Warrants Sep 2018 A | 60,000,000 | 2018/06/26 | 2018/09/26 | 10.86 |
| 20695 | UBS AG – Sinopec Corp European Warrants Oct 2018 C | 50,000,000 | 2018/06/26 | 2018/10/08 | 26.90 |
| 20733 | UBS AG – Galaxy Ent European Warrants Nov 2018 A | 70,000,000 | 2018/06/26 | 2018/11/02 | 10.57 |
| 20734 | UBS AG – Galaxy Ent European Warrants Dec 2018 B | 50,000,000 | 2018/06/26 | 2018/12/03 | 14.30 |
| 20705 | UBS AG – HKEX European Warrants Nov 2018 B | 300,000,000 | 2018/06/26 | 2018/11/05 | 45.30 |
| 20536 | UBS AG – HSI European Warrants Nov 2018 D | 200,000,000 | 2018/06/26 | 2018/11/29 | 38.40 |
| 20525 | UBS AG – HSI European Warrants Dec 2018 C | 200,000,000 | 2018/06/26 | 2018/12/28 | 50.00 |
| 20537 | UBS AG – HSI European Warrants Dec 2018 D | 200,000,000 | 2018/06/26 | 2018/12/28 | 32.40 |
| 20663 | UBS AG – HSI European Put Warrants Nov 2018 E | 200,000,000 | 2018/06/26 | 2018/11/29 | 34.40 |
| 20669 | UBS AG – HSI European Put Warrants Dec 2018 E | 200,000,000 | 2018/06/26 | 2018/12/28 | 50.00 |
| 20670 | UBS AG – ICBC European Warrants Dec 2018 B | 70,000,000 | 2018/06/26 | 2018/12/28 | 19.67 |
| 20549 | UBS AG – PetroChina European Warrants Oct 2018 C | 50,000,000 | 2018/06/26 | 2018/10/12 | 21.85 |
| 20764 | UBS AG – Sands China Ltd European Warrants Sep 2018 B | 40,000,000 | 2018/06/26 | 2018/09/26 | 12.80 |
| 20758 | UBS AG – Sands China Ltd European Warrants Oct 2018 B | 50,000,000 | 2018/06/26 | 2018/10/03 | 10.35 |
| 20731 | UBS AG – Wynn Macau European Warrants Dec 2018 B | 40,000,000 | 2018/06/26 | 2018/12/28 | 12.52 |
| 20550 | UBS AG – ZTE European Warrants Dec 2018 B | 30,000,000 | 2018/06/26 | 2018/12/28 | 13.89 |
| 20629 | Bank Vontobel – CC Bank European Warrants Sep 2018 D | 50,000,000 | 2018/06/26 | 2018/09/26 | 17.50 |
| 20624 | Bank Vontobel – CM Bank European Warrants Dec 2018 B | 40,000,000 | 2018/06/26 | 2018/12/03 | 10.80 |
| 20627 | Bank Vontobel – Tencent European Warrants Sep 2018 E | 100,000,000 | 2018/06/26 | 2018/09/26 | 28.00 |
| 21294 | BOCI Asia Ltd. – Genscript Bio European Warrants Nov 2018 A | 40,000,000 | 2018/06/27 | 2018/11/27 | 14.08 |
| 21290 | BOCI Asia Ltd. – Kunlun Energy European Warrants Nov 2018 A | 40,000,000 | 2018/06/27 | 2018/11/20 | 12.88 |
| 21273 | BOCI Asia Ltd. – Meitu European Warrants Mar 2019 A | 100,000,000 | 2018/06/27 | 2019/03/08 | 16.60 |
| 21323 | BOCI Asia Ltd. – Nine Dragons European Warrants Nov 2018 A | 70,000,000 | 2018/06/27 | 2018/11/23 | 12.53 |
| 21303 | Credit Suisse AG – Agricultural Bank European Wts Nov 2018 A | 60,000,000 | 2018/06/27 | 2018/11/23 | 10.02 |
| 21302 | Credit Suisse AG – AIA European Warrants Sep 2018 D | 70,000,000 | 2018/06/27 | 2018/09/26 | 17.50 |
| 21317 | Credit Suisse AG – Galaxy Ent European Warrants Dec 2018 A | 50,000,000 | 2018/06/27 | 2018/12/06 | 17.50 |
| 21318 | Credit Suisse AG – Jiangxi Copper European Wts Jan 2019 A | 80,000,000 | 2018/06/27 | 2019/01/21 | 12.00 |
| 21306 | Credit Suisse AG – PetroChina European Warrants Sep 2018 A | 50,000,000 | 2018/06/27 | 2018/09/26 | 10.00 |
| 21144 | HK Bank – CAM CSI300 European Warrants Nov 2018 A | 100,000,000 | 2018/06/27 | 2018/11/30 | 15.00 |
| 21157 | HK Bank – HKEX European Warrants Nov 2018 B | 100,000,000 | 2018/06/27 | 2018/11/30 | 15.00 |
| 21140 | HK Bank – HSI European Warrants Nov 2018 F | 100,000,000 | 2018/06/27 | 2018/11/29 | 15.00 |
| 21132 | HK Bank – HSI European Put Warrants Dec 2018 E | 100,000,000 | 2018/06/27 | 2018/12/28 | 25.00 |
| 21301 | J P Morgan SP BV – BYD Company European Warrants Jan 2019 A | 60,000,000 | 2018/06/27 | 2019/01/03 | 18.72 |
| 21187 | Macquarie Bank Ltd. – BOCL European Put Warrants Mar 2019 A | 40,000,000 | 2018/06/27 | 2019/03/04 | 10.00 |
| 21186 | Macquarie Bank Ltd. – CC Bank European Put Wts Jan 2019 B | 28,000,000 | 2018/06/27 | 2019/01/03 | 10.30 |
| 21191 | Macquarie Bank Ltd. – CM Bank European Warrants Mar 2019 A | 40,000,000 | 2018/06/27 | 2019/03/04 | 10.00 |
| 21185 | Macquarie Bank Ltd. – China Mobile European Wts Jan 2019 B | 24,500,000 | 2018/06/27 | 2019/01/03 | 10.02 |
| 21182 | Macquarie Bank Ltd. – HSBC European Warrants Feb 2019 A | 18,000,000 | 2018/06/27 | 2019/02/04 | 10.55 |
| 21184 | Macquarie Bank Ltd. – HSBC European Warrants Dec 2019 A | 24,500,000 | 2018/06/27 | 2019/12/31 | 10.12 |

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| | | (units) | Listing date | Expiry | |
| 21195 | Macquarie Bank Ltd. – Kingsoft European Warrants Jan 2019 B | 29,600,000 | 2018/06/27 | 2019/01/03 | 10.00 |
| 21329 | SG Issuer – CHALCO European Warrants Dec 2018 A | 22,000,000 | 2018/06/27 | 2018/12/31 | 10.01 |
| 21332 | SG Issuer – CGS European Warrants Dec 2018 A | 25,000,000 | 2018/06/27 | 2018/12/31 | 10.00 |
| 21345 | SG Issuer – CITIC European Warrants Dec 2018 A | 13,000,000 | 2018/06/27 | 2018/12/31 | 10.01 |
| 21350 | SG Issuer – CKI Holdings European Warrants Dec 2018 A | 30,000,000 | 2018/06/27 | 2018/12/31 | 10.05 |
| 21333 | SG Issuer – China Lit European Warrants Jul 2019 A | 100,000,000 | 2018/06/27 | 2019/07/31 | 20.00 |
| 21334 | SG Issuer – China Mobile European Put Warrants Oct 2018 B | 70,000,000 | 2018/06/27 | 2018/10/31 | 10.50 |
| 21330 | SG Issuer – Cathay Pac Air Euro European Warrants Sep 2019 A | 80,000,000 | 2018/06/27 | 2019/09/30 | 14.40 |
| 21353 | SG Issuer – Galaxy Ent European Put Warrants Dec 2018 A | 40,000,000 | 2018/06/27 | 2018/12/31 | 10.00 |
| 21355 | SG Issuer – GF Sec European Warrants Dec 2018 B | 9,000,000 | 2018/06/27 | 2018/12/31 | 10.08 |
| 21356 | SG Issuer – Greatwall Motor European Warrants Dec 2018 A | 13,000,000 | 2018/06/27 | 2018/12/31 | 10.01 |
| 21324 | SG Issuer – HKEX European Warrants Dec 2018 E | 150,000,000 | 2018/06/27 | 2018/12/31 | 22.50 |
| 21357 | SG Issuer – Haitong Sec European Warrants Dec 2018 B | 15,000,000 | 2018/06/27 | 2018/12/31 | 10.05 |
| 21358 | SG Issuer – MGM China European Warrants Dec 2018 A | 40,000,000 | 2018/06/27 | 2018/12/31 | 10.00 |
| 21325 | SG Issuer – SMIC European Warrants Jan 2019 A | 80,000,000 | 2018/06/27 | 2019/01/11 | 12.40 |
| 21359 | SG Issuer – WH Group European Warrants Dec 2018 A | 14,000,000 | 2018/06/27 | 2018/12/31 | 10.08 |
| 21223 | UBS AG – Conch Cement European Warrants Sep 2018 B | 35,000,000 | 2018/06/27 | 2018/09/28 | 10.96 |
| 21228 | UBS AG – CHALCO European Warrants Dec 2018 A | 20,000,000 | 2018/06/27 | 2018/12/28 | 10.08 |
| 21226 | UBS AG – Bank of China European Warrants Dec 2018 C | 70,000,000 | 2018/06/27 | 2018/12/20 | 10.64 |
| 21245 | UBS AG – China East Air European Warrants Nov 2018 A | 20,000,000 | 2018/06/27 | 2018/11/16 | 15.78 |
| 21232 | UBS AG – CGS European Warrants Dec 2018 A | 30,000,000 | 2018/06/27 | 2018/12/28 | 10.47 |
| 21222 | UBS AG – GF Sec European Warrants Apr 2019 A | 70,000,000 | 2018/06/27 | 2019/04/23 | 10.64 |
| 21231 | UBS AG – R&F Ppt European Warrants Nov 2018 A | 70,000,000 | 2018/06/27 | 2018/11/09 | 10.57 |
| 21196 | UBS AG – Haitong Int'l European Warrants Dec 2018 A | 20,000,000 | 2018/06/27 | 2018/12/28 | 10.06 |
| 21197 | UBS AG – Meitu European Warrants Mar 2019 A | 60,000,000 | 2018/06/27 | 2019/03/08 | 10.26 |
| 21204 | UBS AG – Melco Int'l Dev European Warrants Dec 2018 A | 40,000,000 | 2018/06/27 | 2018/12/27 | 10.08 |
| 21258 | UBS AG – Stanchart European Warrants Dec 2018 A | 20,000,000 | 2018/06/27 | 2018/12/28 | 10.88 |
| 21246 | UBS AG – WH Group European Warrants Dec 2019 A | 100,000,000 | 2018/06/27 | 2019/12/30 | 25.00 |
| 21262 | UBS AG – Xinyi Glass European Warrants Mar 2019 A | 60,000,000 | 2018/06/27 | 2019/03/22 | 10.86 |
| 21402 | BNP Paribas Issuance B.V. – Conch Cement Euro Wt Jan 2019 A | 40,000,000 | 2018/06/28 | 2019/01/03 | 14.00 |
| 21393 | BNP Paribas Issuance B.V. – China Life Euro Wts Jul 2019 B | 60,000,000 | 2018/06/28 | 2019/07/03 | 16.20 |
| 21391 | BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Jan 2019 B | 40,000,000 | 2018/06/28 | 2019/01/03 | 20.40 |
| 21387 | BNP Paribas Issuance B.V. – PSBC European Warrants Jan 2019 A | 30,000,000 | 2018/06/28 | 2019/01/03 | 10.50 |
| 21386 | BNP Paribas Issuance B.V. – Sinopharm Euro Wts Jan 2019 A | 40,000,000 | 2018/06/28 | 2019/01/03 | 18.00 |
| 21392 | BNP Paribas Issuance B.V. – SJM Holdings Eu Wts Jan 2019 A | 30,000,000 | 2018/06/28 | 2019/01/03 | 27.90 |
| 21528 | Credit Suisse AG – CHALCO European Wts Dec 2018 A | 30,000,000 | 2018/06/28 | 2018/12/27 | 16.50 |
| 21525 | Credit Suisse AG – BYD Company European Warrants Dec 2018 B | 25,000,000 | 2018/06/28 | 2018/12/20 | 14.50 |
| 21532 | Credit Suisse AG – Meitu European Warrants May 2019 A | 60,000,000 | 2018/06/28 | 2019/05/24 | 15.00 |
| 21377 | Goldman Sachs SP (Asia) – Agricultural Bank Euro Wts Nov 18 A | 80,000,000 | 2018/06/28 | 2018/11/26 | 12.00 |
| 21548 | Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2018 B | 80,000,000 | 2018/06/28 | 2018/12/28 | 20.00 |
| 21366 | Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2018 H | 80,000,000 | 2018/06/28 | 2018/09/28 | 28.56 |
| 21376 | Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2018 C | 80,000,000 | 2018/06/28 | 2018/10/22 | 12.00 |
| 21553 | Goldman Sachs SP (Asia) – China Unicom Euro Wts Sep 2018 A | 40,000,000 | 2018/06/28 | 2018/09/28 | 14.52 |
| 21378 | Goldman Sachs SP (Asia) – Fosun Intl European Wts Dec 2018 A | 70,000,000 | 2018/06/28 | 2018/12/20 | 10.50 |

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| 21552 | Goldman Sachs SP (Asia) – HKEX European Warrants Nov 2018 C | 150,000,000 | 2018/06/28 | 2018/11/27 | 22.50 |
| 21557 | Goldman Sachs SP (Asia) – ICBC European Warrants Sep 2018 F | 80,000,000 | 2018/06/28 | 2018/09/28 | 20.96 |
| 21367 | Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Sep 2018 B | 80,000,000 | 2018/06/28 | 2018/09/28 | 20.96 |
| 21551 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Oct 2018 B | 70,000,000 | 2018/06/28 | 2018/10/15 | 10.50 |
| 21405 | HK Bank – AIA European Warrants Dec 2018 A | 80,000,000 | 2018/06/28 | 2018/12/31 | 20.00 |
| 21413 | HK Bank – AIA European Put Warrants Dec 2018 B | 80,000,000 | 2018/06/28 | 2018/12/31 | 20.00 |
| 21403 | HK Bank – HSI European Warrants Dec 2018 F | 180,000,000 | 2018/06/28 | 2018/12/28 | 45.00 |
| 21496 | J P Morgan SP BV – China Life European Warrants Oct 2018 C | 100,000,000 | 2018/06/28 | 2018/10/31 | 16.20 |
| 21499 | J P Morgan SP BV – China Life European Warrants Jun 2019 B | 100,000,000 | 2018/06/28 | 2019/06/28 | 15.10 |
| 21518 | J P Morgan SP BV – HKEX European Warrants Nov 2018 D | 200,000,000 | 2018/06/28 | 2018/11/30 | 30.20 |
| 21509 | J P Morgan SP BV – Hua Hong Semi European Warrants Jan 2019 A | 100,000,000 | 2018/06/28 | 2019/01/02 | 49.70 |
| 21502 | J P Morgan SP BV – Sunny Optical European Put Wts Mar 2019 A | 100,000,000 | 2018/06/28 | 2019/03/01 | 25.20 |
| 21515 | J P Morgan SP BV – Wynn Macau European Warrants Jan 2019 A | 60,000,000 | 2018/06/28 | 2019/01/03 | 15.30 |
| 21447 | Macquarie Bank Ltd. – Geely Auto European Wts Jan 2019 A | 35,000,000 | 2018/06/28 | 2019/01/04 | 10.19 |
| 21453 | Macquarie Bank Ltd. – Sunny Optical European Wts Feb 2019 A | 40,000,000 | 2018/06/28 | 2019/02/04 | 10.08 |
| 21448 | Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 I | 40,000,000 | 2018/06/28 | 2019/01/28 | 10.00 |
| 21449 | Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 J | 17,000,000 | 2018/06/28 | 2019/01/28 | 10.01 |
| 21533 | SG Issuer – China Oilfield European Warrants Oct 2019 A | 100,000,000 | 2018/06/28 | 2019/10/09 | 15.00 |
| 21534 | SG Issuer – CRCC European Warrants Dec 2018 A | 18,000,000 | 2018/06/28 | 2018/12/31 | 10.08 |
| 21535 | SG Issuer – CRCC European Warrants Dec 2019 A | 120,000,000 | 2018/06/28 | 2019/12/31 | 18.00 |
| 21538 | SG Issuer – China Telecom European Warrants Dec 2018 A | 36,000,000 | 2018/06/28 | 2018/12/31 | 10.26 |
| 21539 | SG Issuer – Henderson Land European Warrants Dec 2018 B | 40,000,000 | 2018/06/28 | 2018/12/31 | 10.00 |
| 21545 | SG Issuer – HSI European Warrants Dec 2018 H | 150,000,000 | 2018/06/28 | 2018/12/28 | 37.50 |
| 21540 | SG Issuer – Kingdee Intl European Warrants Jan 2019 A | 70,000,000 | 2018/06/28 | 2019/01/10 | 10.50 |
| 21541 | SG Issuer – New World Dev Eu Wt Dec 2018 B | 10,000,000 | 2018/06/28 | 2018/12/31 | 10.00 |
| 21542 | SG Issuer – SHK Ppt European Warrants Dec 2018 B | 20,000,000 | 2018/06/28 | 2018/12/31 | 13.60 |
| 21543 | SG Issuer – Sunny Optical European Warrants Dec 2018 C | 60,000,000 | 2018/06/28 | 2018/12/31 | 15.00 |
| 21472 | UBS AG – Guotai Junan I European Warrants Dec 2018 A | 40,000,000 | 2018/06/28 | 2018/12/28 | 10.04 |
| 21485 | UBS AG – HTSC European Warrants Apr 2019 A | 70,000,000 | 2018/06/28 | 2019/04/09 | 10.50 |
| 21489 | UBS AG – MTRC European Put Warrants Dec 2018 A | 40,000,000 | 2018/06/28 | 2018/12/28 | 10.24 |
| 21471 | UBS AG – Samsonite European Warrants Jan 2019 A | 30,000,000 | 2018/06/28 | 2019/01/21 | 15.60 |
| 21454 | UBS AG – Yongda Auto European Warrants Mar 2019 A | 40,000,000 | 2018/06/28 | 2019/03/29 | 10.00 |
| 21414 | Bank Vontobel – Agricultural Bank European Warrants Jan 19 A | 40,000,000 | 2018/06/28 | 2019/01/04 | 11.60 |
| 21421 | Bank Vontobel – CITIC Sec European Warrants Feb 2019 A | 60,000,000 | 2018/06/28 | 2019/02/11 | 15.00 |
| 21424 | Bank Vontobel – CKH Holdings European Warrants Jan 2019 A | 30,000,000 | 2018/06/28 | 2019/01/07 | 27.03 |
| 21381 | Bank Vontobel – CSPC Pharma European Warrants Sep 2018 A | 40,000,000 | 2018/06/28 | 2018/09/28 | 13.80 |
| 21380 | Bank Vontobel – CSPC Pharma European Warrants Nov 2018 A | 40,000,000 | 2018/06/28 | 2018/11/09 | 12.80 |
| 21419 | Bank Vontobel – Galaxy Ent European Warrants Nov 2018 C | 50,000,000 | 2018/06/28 | 2018/11/26 | 10.05 |
| 21426 | Bank Vontobel – HKEX European Warrants Dec 2018 F | 100,000,000 | 2018/06/28 | 2018/12/03 | 15.00 |
| 21415 | Bank Vontobel – ICBC European Warrants Jan 2019 A | 40,000,000 | 2018/06/28 | 2019/01/07 | 12.76 |
| 21427 | Bank Vontobel – Ping An European Warrants Jan 2019 B | 40,000,000 | 2018/06/28 | 2019/01/28 | 23.20 |
| 21417 | Bank Vontobel – SUNAC European Warrants Nov 2018 A | 30,000,000 | 2018/06/28 | 2018/11/30 | 11.43 |
| 21444 | Bank Vontobel – Sunny Optical European Warrants Oct 2018 A | 80,000,000 | 2018/06/28 | 2018/10/26 | 12.08 |
| 21420 | Bank Vontobel – Sunny Optical European Warrants Nov 2018 A | 80,000,000 | 2018/06/28 | 2018/11/23 | 22.40 |

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| 21438 | Bank Vontobel – Zijin Mining European Warrants Mar 2019 A | 30,000,000 | 2018/06/28 | 2019/03/01 | 12.90 |
| 21574 | BOCI Asia Ltd. – Bank of China European Warrants Dec 2018 E | 80,000,000 | 2018/06/29 | 2018/12/27 | 19.12 |
| 21563 | BOCI Asia Ltd. – CC Bank European Warrants Oct 2018 B | 50,000,000 | 2018/06/29 | 2018/10/03 | 15.30 |
| 21565 | BOCI Asia Ltd. – ICBC European Warrants Dec 2018 A | 60,000,000 | 2018/06/29 | 2018/12/17 | 13.74 |
| 21566 | BOCI Asia Ltd. – ICBC European Warrants Dec 2018 B | 60,000,000 | 2018/06/29 | 2018/12/20 | 23.46 |
| 21627 | Credit Suisse AG – BBMG European Warrants Dec 2018 B | 25,000,000 | 2018/06/29 | 2018/12/28 | 12.00 |
| 21618 | Credit Suisse AG – CC Bank European Warrants Sep 2018 C | 50,000,000 | 2018/06/29 | 2018/09/28 | 10.00 |
| 21621 | Credit Suisse AG – Hua Hong Semi European Warrants Dec 2018 B | 30,000,000 | 2018/06/29 | 2018/12/28 | 15.60 |
| 21616 | Credit Suisse AG – ICBC European Warrants Dec 2018 A | 50,000,000 | 2018/06/29 | 2018/12/17 | 11.00 |
| 21688 | Haitong Int'l Sec – BBMG European Warrants Dec 2018 B | 30,000,000 | 2018/06/29 | 2018/12/31 | 11.40 |
| 21675 | Haitong Int'l Sec – BYD Company European Warrants Jan 2019 A | 30,000,000 | 2018/06/29 | 2019/01/03 | 13.50 |
| 21670 | Haitong Int'l Sec – China Gas Hold European Warrants Mar 19 A | 30,000,000 | 2018/06/29 | 2019/03/08 | 14.40 |
| 21696 | Haitong Int'l Sec – CGS European Warrants Dec 2018 B | 40,000,000 | 2018/06/29 | 2018/12/31 | 13.20 |
| 21695 | Haitong Int'l Sec – Ch Molybdenum European Warrants Dec 18 A | 30,000,000 | 2018/06/29 | 2018/12/18 | 11.70 |
| 21691 | Haitong Int'l Sec – CSDC European Warrants Feb 2019 B | 50,000,000 | 2018/06/29 | 2019/02/28 | 12.50 |
| 21682 | Haitong Int'l Sec – ICBC European Warrants Dec 2018 A | 50,000,000 | 2018/06/29 | 2018/12/31 | 13.50 |
| 21674 | Haitong Int'l Sec – Lenovo European Warrants Mar 2019 A | 40,000,000 | 2018/06/29 | 2019/03/29 | 11.20 |
| 21680 | Haitong Int'l Sec – Meitu European Warrants Mar 2019 B | 100,000,000 | 2018/06/29 | 2019/03/08 | 16.00 |
| 21681 | Haitong Int'l Sec – Meitu European Warrants Dec 2019 A | 80,000,000 | 2018/06/29 | 2019/12/31 | 20.80 |
| 21679 | Haitong Int'l Sec – NCI European Warrants Dec 2018 A | 40,000,000 | 2018/06/29 | 2018/12/31 | 12.40 |
| 21694 | Haitong Int'l Sec – Stanchart European Warrants Dec 2018 A | 25,000,000 | 2018/06/29 | 2018/12/31 | 11.50 |
| 21672 | Haitong Int'l Sec – Tencent European Put Warrants Dec 2018 F | 80,000,000 | 2018/06/29 | 2018/12/20 | 12.80 |
| 21668 | Haitong Int'l Sec – WH Group European Warrants Dec 2018 A | 20,000,000 | 2018/06/29 | 2018/12/31 | 16.40 |
| 21610 | J P Morgan SP BV – HSI European Warrants Feb 2019 A | 300,000,000 | 2018/06/29 | 2019/02/27 | 75.00 |
| 21612 | J P Morgan SP BV – Haitong Sec European Warrants Jan 2019 A | 30,000,000 | 2018/06/29 | 2019/01/03 | 12.15 |
| 21608 | Macquarie Bank Ltd. – Beijing Air European Wts Jul 2019 A | 5,000,000 | 2018/06/29 | 2019/07/12 | 10.01 |
| 21591 | Macquarie Bank Ltd. – China Life European Put Warrants Feb 19 B | 16,000,000 | 2018/06/29 | 2019/02/04 | 10.03 |
| 21601 | Macquarie Bank Ltd. – Geely Auto European Put Wts Feb 2019 B | 40,000,000 | 2018/06/29 | 2019/02/04 | 10.12 |
| 21585 | Macquarie Bank Ltd. – Galaxy Ent European Wts Feb 2019 A | 24,000,000 | 2018/06/29 | 2019/02/04 | 10.10 |
| 21586 | Macquarie Bank Ltd. – Galaxy Ent European Put Wts Feb 2019 B | 20,000,000 | 2018/06/29 | 2019/02/04 | 10.46 |
| 21600 | Macquarie Bank Ltd. – Kingdee Int'l European Wts Nov 2019 A | 40,000,000 | 2018/06/29 | 2019/11/18 | 10.00 |
| 21589 | Macquarie Bank Ltd. – Wynn Macau European Put Wts Jan 19 B | 27,000,000 | 2018/06/29 | 2019/01/14 | 10.21 |
| 21593 | Macquarie Bank Ltd. – Zoomlion European Warrants Jul 2019 A | 17,000,000 | 2018/06/29 | 2019/07/16 | 10.01 |
| 21639 | SG Issuer – CC Bank European Warrants Sep 2018 C | 40,000,000 | 2018/06/29 | 2018/09/28 | 11.80 |
| 21651 | SG Issuer – HKEX European Warrants Sep 2018 F | 150,000,000 | 2018/06/29 | 2018/09/28 | 23.25 |
| 21635 | SG Issuer – HSCEI European Warrants Dec 2018 D | 150,000,000 | 2018/06/29 | 2018/12/28 | 37.50 |
| 21659 | SG Issuer – HTSC European Warrants Dec 2018 A | 9,000,000 | 2018/06/29 | 2018/12/31 | 10.08 |
| 21660 | SG Issuer – ICBC European Warrants Sep 2018 D | 40,000,000 | 2018/06/29 | 2018/09/28 | 11.00 |
| 21662 | SG Issuer – Ping An European Warrants Dec 2018 C | 80,000,000 | 2018/06/29 | 2018/12/31 | 43.20 |
| 21667 | SG Issuer – Tencent European Warrants Nov 2018 D | 200,000,000 | 2018/06/29 | 2018/11/30 | 38.00 |
| 11520 # | SG Issuer – HSI European Warrants Sep 2018 D | 200,000,000 | 2018/06/29 | 2018/09/27 | 10.00 |
| Total | | | | | 58,049.31 |

Further issue

MAIN BOARD DEBT SECURITIES

Debt Securities Trading Statistics

| | | Turnover value | |
|------|----|--------------------------------|-------------------------|
| | | Exchange fund notes (HK\$ mil) | Market total (HK\$ mil) |
| 2017 | Q2 | 43.84 | 23,757.24 |
| | Q3 | 73.43 | 17,878.71 |
| | Q4 | 43.07 | 9,866.80 |
| 2018 | Q1 | 73.73 | 11,423.14 |
| | Q2 | 8.66 | 11,216.39 |

Debt Securities Nominal Value

| | | No. of issues | Nominal value (HK\$ mil.) |
|------|----|---------------|---------------------------|
| 2017 | Q2 | 970 | 3,676,742.43 |
| | Q3 | 996 | 3,801,628.13 |
| | Q4 | 1,047 | 4,033,192.43 |
| 2018 | Q1 | 1,095 | 4,228,190.05 |
| | Q2 | 1,117 | 4,313,245.30 |

New Listing Statistics – Debt Securities

| | | No. of newly listed debt securities | Amount raised (HK\$ mil.) |
|------|----|-------------------------------------|---------------------------|
| 2017 | Q2 | 79 | 332,799.81 |
| | Q3 | 59 | 214,236.64 |
| | Q4 | 100 | 334,930.82 |
| 2018 | Q1 | 79 | 260,424.86 |
| | Q2 | 74 | 268,843.96 |

MAIN BOARD DEBT SECURITIES

Newly Listed Debt Securities

for 2nd quarter 2018

| Code | Debt securities | Principal | Listing date | Maturity | Amount raised (HK\$ mil.) | |
|---------|--|-----------|---------------|------------|------------------------------|----------|
| 04453 | Shandong Iron and Steel Xinheng International Co. Ltd. 6.90% Guar. Bonds 2020 | USD | 150,000,000 | 2018/04/03 | 2020/03/29 | 1,167.85 |
| 04454 | Tongfang Aqua 2017 Ltd. 5.375% Guaranteed Bonds 2021 | USD | 300,000,000 | 2018/04/03 | 2021/03/29 | 2,300.39 |
| 04455 | Lenovo Group Ltd. 4.75% Notes 2023 | USD | 750,000,000 | 2018/04/03 | 2023/03/29 | 5,845.38 |
| 85735 | CAR Inc. 6.50% Senior CNY Notes 2021 | RMB | 400,000,000 | 2018/04/06 | 2021/04/04 | 493.17 |
| 04426 # | Greenland Global Investment Ltd. 5.25% Notes 2021 | USD | 60,000,000 | 2018/04/06 | 2021/02/12 | 454.11 |
| 04457 | AIA Group Ltd. 3.90% Notes 2028 | USD | 500,000,000 | 2018/04/09 | 2028/04/06 | 3,887.83 |
| 04456 | Lvqi Holdings Co., Ltd. 8.50% Senior Bonds 2019 | USD | 100,000,000 | 2018/04/10 | 2019/04/08 | 780.00 |
| 04458 | Ease Trade Global Ltd. 5.20% Guaranteed Notes 2021 | USD | 350,000,000 | 2018/04/11 | 2021/04/10 | 2,730.00 |
| 04459 | Bank of China Ltd., Singapore Branch FR Notes 2021 | USD | 800,000,000 | 2018/04/18 | 2021/04/17 | 6,240.00 |
| 04460 | Bank of China Ltd., Singapore Branch FR Notes 2023 | USD | 700,000,000 | 2018/04/18 | 2023/04/17 | 5,460.00 |
| 04461 | Bank of China Ltd., Luxembourg Branch FR Notes 2021B | EUR | 700,000,000 | 2018/04/18 | 2021/04/17 | 6,804.91 |
| 04462 | Bank of China Ltd., acting through its Sydney branch FR Notes 2021C | AUD | 800,000,000 | 2018/04/18 | 2021/04/17 | 4,881.04 |
| 04463 | China SCE Property Holdings Ltd. 7.45% Senior Notes 2021 | USD | 600,000,000 | 2018/04/18 | 2021/04/17 | 4,680.00 |
| 04464 | Kunzhi Ltd. 6.25% Guaranteed Bonds 2020 | USD | 425,000,000 | 2018/04/18 | 2020/10/17 | 3,315.00 |
| 04465 | Vanke Real Estate (Hong Kong) Co. Ltd. 4.15% Notes 2023 | USD | 971,000,000 | 2018/04/19 | 2023/04/18 | 7,561.60 |
| 04466 | CITIC Ltd. 5.07% Notes 2048 | USD | 200,000,000 | 2018/04/19 | 2048/04/18 | 1,552.86 |
| 04467 | Westwood Group Holdings Ltd. 4.875% Guaranteed Notes 2021 | USD | 800,000,000 | 2018/04/20 | 2021/04/19 | 6,230.02 |
| 04468 | Westwood Group Holdings Ltd. 5.375% Guaranteed Notes 2023 | USD | 400,000,000 | 2018/04/20 | 2023/10/19 | 3,092.39 |
| 04209 # | The Government of the HKSAR of the PRC 2.46% Bonds 2021 | HKD | 4,000,000,000 | 2018/04/20 | 2021/08/04 | 4,046.00 |
| 04469 | Jingrui Holdings Ltd. 9.45% Senior Notes 2021 | USD | 350,000,000 | 2018/04/24 | 2021/04/23 | 2,730.00 |
| 04471 | Vertex Capital Investment Ltd. 4.15% Guaranteed Bonds 2019 | USD | 500,000,000 | 2018/04/24 | 2019/04/22 | 3,900.00 |
| 04473 | CIFI Holdings (Group) Co. Ltd. 6.875% Senior Notes 2021 | USD | 500,000,000 | 2018/04/24 | 2021/04/23 | 3,900.00 |
| 04472 | Xinjiang Transportation Construction Invest Holding Co. Ltd. 6.25% Bonds 2019 | USD | 150,000,000 | 2018/04/25 | 2019/04/22 | 1,170.00 |
| 04470 | Yango (Cayman) Investment Ltd. 9.875% Guar. Senior Notes 2021 | USD | 110,000,000 | 2018/04/26 | 2021/04/19 | 841.08 |
| 04474 | CICC Hong Kong Finance 2016 MTN Ltd. FR Notes 2021 | USD | 600,000,000 | 2018/04/26 | 2021/04/25 | 4,680.00 |
| 04475 | China Overseas Fin (Cayman) VII Ltd. 4.25% Guar. Notes 2023 | USD | 750,000,000 | 2018/04/27 | 2023/04/26 | 5,840.87 |
| 04476 | China Overseas Fin (Cayman) VII Ltd. 4.75% Guar. Notes 2028 | USD | 750,000,000 | 2018/04/27 | 2028/04/26 | 5,829.29 |
| 05088 # | Jiayuan International Group Ltd. 8.125% Senior Secured N2019 | USD | 100,000,000 | 2018/04/27 | 2019/01/17 | 784.88 |
| 04477 | Yuexiu REIT MTN Co. Ltd. 4.75% Guaranteed Notes 2021 | USD | 400,000,000 | 2018/04/30 | 2021/04/27 | 3,097.85 |
| 05044 # | Yankuang Group (Cayman) Ltd. 4.75% Bonds 2020 | USD | 300,000,000 | 2018/05/02 | 2020/11/30 | 2,318.17 |
| 04478 | CNOOC Finance (2015) U.S.A. LLC 4.375% Guaranteed Notes 2028 | USD | 1,000,000,000 | 2018/05/03 | 2028/05/02 | 7,768.10 |
| 04479 | CNOOC Finance (2015) U.S.A. LLC 3.75% Guaranteed Notes 2028 | USD | 450,000,000 | 2018/05/03 | 2023/05/02 | 3,488.66 |
| 04480 | Beijing Enterprises Water Capital Management Holdings Ltd. 4.95% Guar Bonds 2023 | USD | 500,000,000 | 2018/05/03 | 2023/05/02 | 3,891.46 |
| 04481 | State Grid Overseas Investment (2016) Ltd. 3.75% Senior Guar Notes 2023 | USD | 950,000,000 | 2018/05/03 | 2023/05/02 | 7,394.59 |
| 04482 | State Grid Overseas Investment (2016) Ltd. 4.25% Senior Guar Notes 2028 | USD | 800,000,000 | 2018/05/03 | 2028/05/02 | 6,217.85 |
| 04483 | MCC Holding (Hong Kong) Corporation Ltd. 4.95% Senior Guar Perpetual Securities | USD | 500,000,000 | 2018/05/03 | - | 3,900.00 |
| 05078 # | Luso International Banking Ltd. 5.375% Subordinated Capital Notes 2027 | USD | 93,200,000 | 2018/05/03 | 2027/12/28 | 726.07 |
| 85735 # | CAR Inc. 6.50% Senior CNY Notes 2021 | RMB | 350,000,000 | 2018/05/03 | 2021/04/04 | 428.52 |
| 04484 | Hong Seng Ltd. 8.50% Guaranteed Senior Notes 2019 | USD | 250,000,000 | 2018/05/04 | 2019/05/01 | 1,950.00 |
| 04447 # | Huaxin Pharmaceutical (HK) Co., Ltd. 6.80% Guar. Notes 2021 | USD | 56,000,000 | 2018/05/04 | 2021/03/15 | 436.80 |
| 04458 # | Ease Trade Global Ltd. 5.20% Guaranteed Notes 2021 | USD | 150,000,000 | 2018/05/08 | 2021/04/10 | 1,169.95 |
| 04485 | Yuzhou Properties Co. Ltd. 7.90% Senior Notes 2021 | USD | 200,000,000 | 2018/05/14 | 2021/05/11 | 1,560.00 |
| 04486 | Hong Kong Mortgage Corporation Ltd., The Floating Rate Notes 2020 | HKD | 300,000,000 | 2018/05/15 | 2020/05/14 | 299.95 |

MAIN BOARD DEBT SECURITIES

| Code | Debt securities | Principal | | Listing date | Maturity | Amount raised (HK\$ mil.) |
|--------------|--|-----------|---------------|--------------|------------|------------------------------|
| 04487 | Southwest Securities Int'l Securities Ltd. 6.75% Bonds 2019 | USD | 150,000,000 | 2018/05/16 | 2019/05/13 | 1,170.00 |
| 04488 | ICBCIL Finance Co. Ltd. Floating Rate Notes 2021B | USD | 900,000,000 | 2018/05/16 | 2021/05/15 | 7,020.00 |
| 04489 | ICBCIL Finance Co. Ltd. Floating Rate Notes 2023 | USD | 600,000,000 | 2018/05/16 | 2023/05/15 | 4,680.00 |
| 04490 | Hong Kong Mortgage Corporation Ltd., The Floating Rate Notes 2020B | HKD | 1,000,000,000 | 2018/05/16 | 2020/05/15 | 1,000.00 |
| 04492 | Guang Ying Investment Ltd. 4.25% Guaranteed Bonds 2021 | USD | 250,000,000 | 2018/05/17 | 2021/05/16 | 1,935.12 |
| 04491 | China Huadian Overseas Development 2018 Ltd. 3.875% Guaranteed Bonds 2023 | USD | 600,000,000 | 2018/05/18 | 2023/05/17 | 4,637.41 |
| 04493 | Bank of Communications Co., Ltd. Hong Kong Branch Floating Rate Notes 2021 | USD | 600,000,000 | 2018/05/18 | 2021/05/17 | 4,680.00 |
| 04494 | Bank of Communications Co., Ltd. Hong Kong Branch Floating Rate Notes 2023 | USD | 700,000,000 | 2018/05/18 | 2023/05/17 | 5,460.00 |
| 04496 | Excel Capital Global Ltd. Senior Perpetual Capital Securities | USD | 200,000,000 | 2018/05/18 | - | 1,560.00 |
| 04215 # | The Government of the HKSAR of the PRC 1.10% Bonds 2023 | HKD | 2,500,000,000 | 2018/05/18 | 2023/01/17 | 2,347.50 |
| 04495 | Bank of Communications Co., Ltd. Hong Kong Branch 2.95% Notes 2020B | HKD | 3,000,000,000 | 2018/05/21 | 2020/05/18 | 3,000.00 |
| 04499 | Southwest Securities Int'l Securities Ltd. 6.00% Bonds 2019 | HKD | 780,000,000 | 2018/05/21 | 2019/05/10 | 780.00 |
| 04072 | Hong Kong Monetary Authority, The 1.79% Exchange Fund Notes 2020 | HKD | 1,200,000,000 | 2018/05/23 | 2020/05/21 | 1,197.00 |
| 04464 # | Kunzhi Ltd. 6.25% Guaranteed Bonds 2020 | USD | 65,000,000 | 2018/05/23 | 2020/10/17 | 507.00 |
| 05100 | Kunzhi Ltd. Floating Rate Guaranteed Bonds 2021 | USD | 310,000,000 | 2018/05/23 | 2021/05/21 | 2,418.00 |
| 04498 | Zhongsheng Group Holdings Ltd. Zero Coupon Conv. Bonds 2023 | HKD | 4,700,000,000 | 2018/05/24 | 2023/05/23 | 4,700.00 |
| 04497 | AVIC Int'l Finance & Investment Ltd. 4.375% Guar. Bonds 2021 | USD | 400,000,000 | 2018/05/24 | 2021/05/23 | 3,112.45 |
| 05102 | Top Yield Ventures Ltd. 9.75 Senior Notes 2019 | USD | 120,000,000 | 2018/05/25 | 2019/05/23 | 925.24 |
| 05103 | Greenland Global Investment Ltd. 6.75% Notes 2019 | USD | 500,000,000 | 2018/05/25 | 2019/05/22 | 3,900.00 |
| 05101 | Vanke Real Estate (Hong Kong) Co. Ltd. Floating Rate Notes 2023 | USD | 650,000,000 | 2018/05/28 | 2023/05/25 | 5,070.00 |
| 05104 | China Great Wall Int'l Hldgs III Ltd. 4.375% Guar. Notes 2023 | USD | 600,000,000 | 2018/05/28 | 2023/05/25 | 4,659.04 |
| 05105 | Angang Steel Co. Ltd. Zero Coupon Convertible Bonds 2023 | HKD | 1,850,000,000 | 2018/05/28 | 2023/05/25 | 1,850.00 |
| 05107 | FPC Capital Ltd. 5.75% Guaranteed Bonds 2025 | USD | 175,000,000 | 2018/05/31 | 2025/05/30 | 1,365.00 |
| 05106 | China Overseas Grand Oceans Finance IV (Cayman) Ltd. 4.875% Guar. Notes 2021 | USD | 500,000,000 | 2018/06/04 | 2021/06/01 | 3,896.77 |
| 05108 | China Merchants Bank Co., Ltd., HK Branch Floating Rate Notes 2021 | USD | 500,000,000 | 2018/06/04 | 2021/06/01 | 3,900.00 |
| 05109 | Times China Holdings Ltd. 7.85% Senior Notes 2021 | USD | 450,000,000 | 2018/06/05 | 2021/06/04 | 3,510.00 |
| 05110 | Guorui Properties Ltd. 10.00% Senior Notes 2020 | USD | 100,000,000 | 2018/06/08 | 2020/06/07 | 780.00 |
| 05113 | Bank of China Ltd., Hong Kong Branch 2.85% Notes 2020B | HKD | 3,000,000,000 | 2018/06/08 | 2020/06/07 | 3,000.00 |
| 05114 | Bank of China Ltd., London Branch Floating Rate Notes 2021 | USD | 500,000,000 | 2018/06/08 | 2021/06/07 | 3,900.00 |
| 05115 | Bank of China Ltd., London Branch Floating Rate Notes 2023 | USD | 500,000,000 | 2018/06/08 | 2023/06/07 | 3,900.00 |
| 05111 | China Construction Bank Corporation, Hong Kong Branch Floating Rate Notes 2021 | USD | 900,000,000 | 2018/06/11 | 2021/06/08 | 7,020.00 |
| 05112 | China Construction Bank Corporation, Hong Kong Branch Floating Rate Notes 2023 | USD | 600,000,000 | 2018/06/11 | 2023/06/08 | 4,680.00 |
| 05116 | China Everbright Bank Co. Ltd., HK Branch Floating Rate Notes 2021 | USD | 300,000,000 | 2018/06/14 | 2021/06/13 | 2,340.00 |
| 05117 | Qingdao Jiaozhou Bay Development Co., Ltd. 6.50% Bonds 2021 | USD | 120,000,000 | 2018/06/15 | 2021/06/14 | 925.99 |
| 05073 # | Urumqi Gaoxin Investment and Development Group Co. Ltd. 5.20% Bonds 2020 | USD | 73,000,000 | 2018/06/15 | 2020/12/20 | 564.79 |
| 05118 | Industrial and Commercial Bank of China (Asia) Ltd. Floating Rate Green Bonds 2021 | USD | 200,000,000 | 2018/06/22 | 2021/06/21 | 1,560.00 |
| 05119 | Industrial and Commercial Bank of China (Asia) Ltd. Floating Rate Green Bonds 2023 | USD | 200,000,000 | 2018/06/22 | 2023/06/21 | 1,560.00 |
| 05120 | Industrial and Commercial Bank of China (Asia) Ltd. 3.00% Green Bonds 2020 | HKD | 2,600,000,000 | 2018/06/22 | 2020/06/21 | 2,600.00 |
| 05121 | Greenland Hong Kong Holdings Ltd. 7.875% Bonds 2019 | USD | 200,000,000 | 2018/06/27 | 2019/06/25 | 1,560.00 |
| 05122 | Greenland Global Investment Ltd. Floating Rate Notes 2021 | USD | 250,000,000 | 2018/06/27 | 2021/09/26 | 1,950.00 |
| 05123 | Horse Gallop Finance Ltd. Floating Rate Guar. Notes 2021 | USD | 700,000,000 | 2018/06/29 | 2021/06/28 | 5,460.00 |
| 05124 | Zhenro Properties Group Ltd. 10.50% Senior Notes 2020 | USD | 250,000,000 | 2018/06/29 | 2020/06/28 | 1,916.01 |
| Total | | | | | | 268,843.96 |

Further issue

MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

Unit Trusts and Mutual Funds Trading Statistics

| | | Exchange Traded Fund (ETF) (HK\$ mil.) | Leveraged and Inverse Products (L&I Products) (HK\$ mil.) | Real Estate Investment Trusts (REITs) (HK\$ mil.) | Others (HK\$ mil.) | Total (HK\$ mil.) | % of market total |
|------|----|---|---|--|-----------------------|----------------------|----------------------|
| 2017 | Q2 | 287,085.90 | 40,415.94 | 22,355.40 | 35.41 | 349,892.65 | 7.69 |
| | Q3 | 256,464.87 | 31,956.70 | 23,257.20 | 99.96 | 311,778.73 | 5.26 |
| | Q4 | 249,162.50 | 13,254.17 | 22,643.22 | 96.64 | 285,156.54 | 4.39 |
| 2018 | Q1 | 352,766.39 | 30,133.06 | 30,117.82 | 98.32 | 413,115.59 | 4.66 |
| | Q2 | 251,843.88 | 23,169.94 | 24,750.59 | 51.70 | 299,816.11 | 4.71 |

Note: Turnover value traded in non-HKD currency securities have been included

Unit Trusts and Mutual Funds Market Value

| | | No. of issues | Exchange Traded Funds (ETF) (HK\$ mil.) | No. of issues | Leveraged and Inverse Products (L&I Products) (HK\$ mil.) | No. of issues | Real Estate Investment Trusts (REITs) (HK\$ mil.) | No. of issues | Others (HK\$ mil.) | No. of issues | Total (HK\$ mil.) |
|------|----|------------------|--|------------------|---|------------------|---|------------------|-----------------------|------------------|----------------------|
| 2017 | Q2 | 126 | 596,939.76 | 30 | 4,650.20 | 11 | 241,870.58 | 1 | 1,045.24 | 168 | 844,505.77 |
| | Q3 | 107 | 601,666.46 | 27 | 4,213.20 | 11 | 251,920.21 | 1 | 1,110.76 | 146 | 858,910.64 |
| | Q4 | 106 | 632,535.18 | 27 | 3,442.75 | 11 | 276,508.56 | 1 | 1,162.33 | 145 | 913,648.81 |
| 2018 | Q1 | 106 | 612,842.81 | 27 | 4,509.75 | 11 | 263,218.35 | 1 | 941.89 | 145 | 881,512.80 |
| | Q2 | 108 | 593,609.09 | 27 | 5,188.57 | 11 | 268,855.16 | 1 | 902.36 | 145 | 868,555.18 |

New Listing Unit Trust and Mutual Funds Statistics

| | | No. of newly listed unit trust and mutual funds | Funds raised (HK\$ mil.) |
|------|----|--|-----------------------------|
| 2017 | Q2 | - | - |
| | Q3 | 1 | - |
| | Q4 | 3 | - |
| 2018 | Q1 | 1 | - |
| | Q2 | 4 | - |

MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

Newly Listed Unit Trust and Mutual Funds

for 2nd quarter 2018

| Code | Unit trust and Mutual funds | Fund manager | Issue price (HK\$) | No. of unit offer | Amount raised (HK\$ mil) | Subscription rate (times) | Listing date |
|-----------------------|---|--|--------------------|-------------------|--------------------------|---------------------------|--------------|
| 03186/83186/ 09186 | CICC KraneShares CSI China Internet Index ETF | China International Capital Corporation Hong Kong Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2018/04/10 |
| 03169/83169/ 09169 | Vanguard Total China Index ETF | Vanguard Investments Hong Kong Limited | n.a. | n.a. | n.a. | n.a. | 2018/05/10 |
| 02813/82813 | ChinaAMC Bloomberg Barclays China Treasury + Policy Bank Bond Index ETF | China Asset Management (Hong Kong) Limited | n.a. | n.a. | n.a. | n.a. | 2018/06/06 |
| 02812 | Samsung CSI China Dragon Internet ETF | Samsung Asset Management (Hong Kong) Limited | n.a. | n.a. | n.a. | n.a. | 2018/06/20 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Callable Bull/Bear Contracts Trading Statistics

| | | Number | Market value (HK\$ mil.) | Turnover value (HK\$ mil.) |
|------|----|--------|-----------------------------|-------------------------------|
| 2017 | Q2 | 2,667 | 83,611.38 | 220,839.34 |
| | Q3 | 3,257 | 119,305.67 | 302,173.15 |
| | Q4 | 3,374 | 114,271.35 | 383,700.91 |
| 2018 | Q1 | 3,476 | 116,066.49 | 581,996.64 |
| | Q2 | 2,650 | 82,658.60 | 366,044.00 |

New Listings Callable Bull/Bear Contracts Statistics

| | | No. of newly listed CBBC | Amount raised (HK\$ mil.) |
|------|----|--------------------------|---------------------------|
| 2017 | Q2 | 2,224 | 75,481.22 |
| | Q3 | 3,799 | 122,666.17 |
| | Q4 | 4,704 | 144,771.12 |
| 2018 | Q1 | 7,440 | 234,572.95 |
| | Q2 | 5,371 | 173,579.03 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Newly Listed Callable Bull/Bear Contracts

for 2nd quarter 2018

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59218 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 F | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59219 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 G | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59220 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 H | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59238 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 I | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59239 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 J | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59240 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 K | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59241 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 L | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59242 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 M | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59243 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59244 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 O | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59245 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59237 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 A | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59233 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 F | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59234 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 Q | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59235 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 R | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59236 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 S | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59229 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 W | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59230 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 X | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59231 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 Y | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59232 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 Z | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59222 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 E | 50,000,000 | 2018/04/03 | 2018/09/27 | 12.50 |
| 59224 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 F | 50,000,000 | 2018/04/03 | 2018/09/27 | 12.50 |
| 59221 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 O | 50,000,000 | 2018/04/03 | 2018/09/27 | 12.50 |
| 59223 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 W | 50,000,000 | 2018/04/03 | 2018/09/27 | 12.50 |
| 59227 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 A | 50,000,000 | 2018/04/03 | 2018/11/29 | 12.50 |
| 59228 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 C | 50,000,000 | 2018/04/03 | 2018/11/29 | 12.50 |
| 59225 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 Y | 50,000,000 | 2018/04/03 | 2018/11/29 | 17.50 |
| 59226 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 Z | 50,000,000 | 2018/04/03 | 2018/11/29 | 12.50 |
| 59376 | Credit Suisse AG – CC Bank R Bull CBBC Nov 2018 J | 80,000,000 | 2018/04/03 | 2018/11/29 | 20.00 |
| 59355 | Credit Suisse AG – HKEX R Bull CBBC Sep 2018 S | 50,000,000 | 2018/04/03 | 2018/09/27 | 12.50 |
| 59354 | Credit Suisse AG – HSBC R Bull CBBC Sep 2018 T | 100,000,000 | 2018/04/03 | 2018/09/27 | 25.00 |
| 59362 | Credit Suisse AG – HSBC R Bear CBBC Sep 2018 C | 50,000,000 | 2018/04/03 | 2018/09/27 | 12.50 |
| 59374 | Credit Suisse AG – HSCEI R Bear CBBC Jul 2018 C | 100,000,000 | 2018/04/03 | 2018/07/30 | 25.00 |
| 59348 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 S | 200,000,000 | 2018/04/03 | 2019/03/28 | 50.00 |
| 59352 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 T | 200,000,000 | 2018/04/03 | 2019/03/28 | 50.00 |
| 59349 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 J | 200,000,000 | 2018/04/03 | 2019/07/30 | 50.00 |
| 59351 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 K | 200,000,000 | 2018/04/03 | 2019/07/30 | 50.00 |
| 59353 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 Q | 200,000,000 | 2018/04/03 | 2019/09/27 | 50.00 |
| 59346 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 V | 200,000,000 | 2018/04/03 | 2019/09/27 | 50.00 |
| 59347 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 W | 200,000,000 | 2018/04/03 | 2019/09/27 | 50.00 |
| 59350 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 X | 200,000,000 | 2018/04/03 | 2019/09/27 | 50.00 |
| 59361 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59367 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59369 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59371 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59373 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59368 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/04/03 | 2018/09/27 | 50.00 |
| 59370 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/04/03 | 2018/09/27 | 50.00 |
| 59372 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 K | 200,000,000 | 2018/04/03 | 2018/09/27 | 50.00 |
| 59358 | Credit Suisse AG – ICBC R Bull CBBC Sep 2018 J | 80,000,000 | 2018/04/03 | 2018/09/27 | 20.00 |
| 59375 | Credit Suisse AG – Ping An R Bull CBBC Sep 2018 L | 80,000,000 | 2018/04/03 | 2018/09/27 | 20.00 |
| 59359 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 D | 80,000,000 | 2018/04/03 | 2018/12/28 | 20.00 |
| 59366 | Credit Suisse AG – Ping An R Bear CBBC Nov 2018 B | 50,000,000 | 2018/04/03 | 2018/11/29 | 12.50 |
| 59357 | Credit Suisse AG – Tencent R Bull CBBC Sep 2018 F | 50,000,000 | 2018/04/03 | 2018/09/27 | 12.50 |
| 59356 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 P | 50,000,000 | 2018/04/03 | 2018/10/30 | 12.50 |
| 59360 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 Q | 50,000,000 | 2018/04/03 | 2018/10/30 | 17.50 |
| 59363 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 B | 50,000,000 | 2018/04/03 | 2018/12/28 | 18.50 |
| 59364 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 C | 50,000,000 | 2018/04/03 | 2018/12/28 | 22.00 |
| 59365 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 A | 50,000,000 | 2018/04/03 | 2018/11/29 | 12.50 |
| 59248 | Bank of East Asia – Ping An R Bull CBBC Oct 2018 G | 120,000,000 | 2018/04/03 | 2018/10/30 | 30.00 |
| 59249 | Bank of East Asia – Ping An R Bull CBBC Oct 2018 H | 120,000,000 | 2018/04/03 | 2018/10/30 | 30.00 |
| 59246 | Bank of East Asia – Tencent R Bull CBBC Oct 2018 W | 40,000,000 | 2018/04/03 | 2018/10/30 | 10.00 |
| 59247 | Bank of East Asia – Tencent R Bull CBBC Oct 2018 X | 40,000,000 | 2018/04/03 | 2018/10/30 | 10.00 |
| 59430 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 G | 100,000,000 | 2018/04/03 | 2018/12/31 | 25.00 |
| 59437 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 O | 100,000,000 | 2018/04/03 | 2018/12/31 | 25.00 |
| 59432 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/03 | 2018/12/31 | 25.00 |
| 59435 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Oct 2018R | 100,000,000 | 2018/04/03 | 2018/10/30 | 25.00 |
| 59436 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Oct 2018S | 100,000,000 | 2018/04/03 | 2018/10/25 | 25.00 |
| 59433 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Nov 2018 I | 100,000,000 | 2018/04/03 | 2018/11/28 | 25.00 |
| 59434 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Nov 2018 J | 100,000,000 | 2018/04/03 | 2018/11/27 | 25.00 |
| 59275 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2018 B | 100,000,000 | 2018/04/03 | 2018/10/29 | 25.00 |
| 59273 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2018 O | 100,000,000 | 2018/04/03 | 2018/10/19 | 25.00 |
| 59274 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2018 R | 100,000,000 | 2018/04/03 | 2018/10/29 | 25.00 |
| 59276 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 C | 100,000,000 | 2018/04/03 | 2018/12/27 | 25.00 |
| 59288 | Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59256 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59255 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59258 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 F | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59259 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59261 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.20 |
| 59257 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59260 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59289 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 S | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.20 |
| 59420 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 V | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.00 |
| 59421 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 X | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.00 |
| 59422 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 Y | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.20 |
| 59423 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 Z | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.00 |
| 59431 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2018 D | 100,000,000 | 2018/04/03 | 2018/10/25 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59270 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 S | 100,000,000 | 2018/04/03 | 2018/12/31 | 25.00 |
| 59271 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 T | 100,000,000 | 2018/04/03 | 2018/12/31 | 25.00 |
| 59272 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 U | 100,000,000 | 2018/04/03 | 2018/12/31 | 25.00 |
| 59263 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 H | 100,000,000 | 2018/04/03 | 2018/08/28 | 25.00 |
| 59264 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 K | 100,000,000 | 2018/04/03 | 2018/08/29 | 25.00 |
| 59265 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 L | 100,000,000 | 2018/04/03 | 2018/08/30 | 25.00 |
| 59266 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 M | 100,000,000 | 2018/04/03 | 2018/08/31 | 25.00 |
| 59267 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 N | 100,000,000 | 2018/04/03 | 2018/08/20 | 28.20 |
| 59268 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 O | 100,000,000 | 2018/04/03 | 2018/08/21 | 30.00 |
| 59269 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 P | 100,000,000 | 2018/04/03 | 2018/08/22 | 33.60 |
| 59262 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2018 W | 100,000,000 | 2018/04/03 | 2018/08/27 | 25.00 |
| 59424 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2018 D | 100,000,000 | 2018/04/03 | 2018/09/24 | 25.00 |
| 59425 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2018 E | 100,000,000 | 2018/04/03 | 2018/09/27 | 25.00 |
| 59426 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2018 F | 100,000,000 | 2018/04/03 | 2018/09/28 | 30.30 |
| 59427 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2018 G | 100,000,000 | 2018/04/03 | 2018/09/27 | 34.00 |
| 59428 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 C | 100,000,000 | 2018/04/03 | 2018/12/31 | 25.00 |
| 59429 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 D | 100,000,000 | 2018/04/03 | 2018/12/31 | 32.30 |
| 59277 | HK Bank – AAC Tech R Bull CBBC Oct 2018 F | 40,000,000 | 2018/04/03 | 2018/10/29 | 10.00 |
| 59284 | HK Bank – CC Bank R Bear CBBC Sep 2018 F | 50,000,000 | 2018/04/03 | 2018/09/10 | 12.50 |
| 59282 | HK Bank – China Life R Bull CBBC Oct 2018 B | 40,000,000 | 2018/04/03 | 2018/10/08 | 22.00 |
| 59217 | HK Bank – Galaxy Ent R Bull CBBC Aug 2018 K | 80,000,000 | 2018/04/03 | 2018/08/06 | 20.00 |
| 59215 | HK Bank – HKEX R Bull CBBC Jul 2018 Q | 50,000,000 | 2018/04/03 | 2018/07/23 | 12.50 |
| 59286 | HK Bank – HKEX R Bear CBBC Sep 2018 E | 40,000,000 | 2018/04/03 | 2018/09/27 | 10.00 |
| 59214 | HK Bank – HSCEI R Bull CBBC Dec 2018 Q | 60,000,000 | 2018/04/03 | 2018/12/28 | 15.00 |
| 59278 | HK Bank – HSCEI R Bear CBBC Aug 2018 E | 50,000,000 | 2018/04/03 | 2018/08/30 | 12.50 |
| 59212 | HK Bank – HSI R Bull CBBC Dec 2018 V | 150,000,000 | 2018/04/03 | 2018/12/28 | 37.50 |
| 59213 | HK Bank – HSI R Bull CBBC Mar 2019 L | 100,000,000 | 2018/04/03 | 2019/03/28 | 25.00 |
| 59279 | HK Bank – HSI R Bear CBBC Aug 2018 M | 150,000,000 | 2018/04/03 | 2018/08/30 | 37.50 |
| 59280 | HK Bank – HSI R Bear CBBC Aug 2018 N | 150,000,000 | 2018/04/03 | 2018/08/30 | 37.50 |
| 59281 | HK Bank – HSI R Bear CBBC Sep 2018 Z | 150,000,000 | 2018/04/03 | 2018/09/27 | 37.50 |
| 59283 | HK Bank – ICBC R Bear CBBC Sep 2018 E | 50,000,000 | 2018/04/03 | 2018/09/10 | 12.50 |
| 59216 | HK Bank – Ping An R Bull CBBC Oct 2018 N | 100,000,000 | 2018/04/03 | 2018/10/04 | 25.00 |
| 59287 | HK Bank – Ping An R Bear CBBC Jul 2018 B | 80,000,000 | 2018/04/03 | 2018/07/23 | 20.00 |
| 59209 | HK Bank – Tencent R Bull CBBC Aug 2018 X | 60,000,000 | 2018/04/03 | 2018/08/02 | 15.00 |
| 59210 | HK Bank – Tencent R Bull CBBC Aug 2018 Y | 60,000,000 | 2018/04/03 | 2018/08/23 | 15.00 |
| 59211 | HK Bank – Tencent R Bull CBBC Sep 2018 F | 60,000,000 | 2018/04/03 | 2018/09/20 | 21.00 |
| 59285 | HK Bank – Tencent R Bear CBBC Aug 2018 Y | 50,000,000 | 2018/04/03 | 2018/08/20 | 15.00 |
| 59290 | Haitong Int'l Sec – Tencent R Bull CBBC Sep 2018 L | 40,000,000 | 2018/04/03 | 2018/09/18 | 10.00 |
| 59291 | Haitong Int'l Sec – Tencent R Bull CBBC Sep 2018 M | 40,000,000 | 2018/04/03 | 2018/09/20 | 10.00 |
| 59336 | J P Morgan SP BV – CC Bank R Bull CBBC Jul 2018 J | 100,000,000 | 2018/04/03 | 2018/07/13 | 25.00 |
| 59333 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2018 H | 40,000,000 | 2018/04/03 | 2018/07/13 | 10.00 |
| 59334 | J P Morgan SP BV – HKEX R Bear CBBC Jul 2018 F | 40,000,000 | 2018/04/03 | 2018/07/13 | 10.00 |
| 59337 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2019 A | 100,000,000 | 2018/04/03 | 2019/04/29 | 25.00 |
| 59320 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 V | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59323 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 X | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59319 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59322 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59321 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59318 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 P | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59324 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 X | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59325 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 L | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.00 |
| 59339 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 T | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.00 |
| 59341 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59340 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59345 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59338 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 L | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59342 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59343 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 O | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59344 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59335 | J P Morgan SP BV – Ping An R Bull CBBC Jul 2018 D | 100,000,000 | 2018/04/03 | 2018/07/13 | 25.00 |
| 59328 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 B | 50,000,000 | 2018/04/03 | 2018/07/13 | 15.00 |
| 59329 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 D | 50,000,000 | 2018/04/03 | 2018/07/13 | 21.00 |
| 59314 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 U | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59315 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 V | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59316 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 W | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59317 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 X | 50,000,000 | 2018/04/03 | 2018/07/13 | 13.00 |
| 59326 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 Y | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59327 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 Z | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59330 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 P | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59331 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 Q | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59332 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 R | 50,000,000 | 2018/04/03 | 2018/07/13 | 12.50 |
| 59417 | SG Issuer – CC Bank R Bull CBBC Sep 2018 S | 100,000,000 | 2018/04/03 | 2018/09/18 | 25.00 |
| 59413 | SG Issuer – China Life R Bull CBBC Jan 2019 A | 40,000,000 | 2018/04/03 | 2019/01/30 | 10.40 |
| 59414 | SG Issuer – Geely Auto R Bear CBBC Nov 2018 B | 40,000,000 | 2018/04/03 | 2018/11/05 | 13.00 |
| 59418 | SG Issuer – HKEX R Bull CBBC Nov 2018 S | 50,000,000 | 2018/04/03 | 2018/11/14 | 12.50 |
| 59415 | SG Issuer – HKEX R Bear CBBC Jul 2018 E | 50,000,000 | 2018/04/03 | 2018/07/19 | 12.50 |
| 59394 | SG Issuer – HSBC R Bull CBBC Sep 2018 M | 100,000,000 | 2018/04/03 | 2018/09/10 | 25.00 |
| 59390 | SG Issuer – HSI R Bull CBBC Sep 2019 X | 200,000,000 | 2018/04/03 | 2019/09/27 | 50.00 |
| 59392 | SG Issuer – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59391 | SG Issuer – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59407 | SG Issuer – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59408 | SG Issuer – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59409 | SG Issuer – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59410 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/04/03 | 2019/11/28 | 50.00 |
| 59393 | SG Issuer – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59411 | SG Issuer – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59412 | SG Issuer – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59379 | SG Issuer – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59380 | SG Issuer – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/04/03 | 2018/08/30 | 50.00 |
| 59381 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/04/03 | 2018/09/27 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59383 | SG Issuer – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/04/03 | 2018/10/30 | 50.00 |
| 59385 | SG Issuer – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/04/03 | 2018/10/30 | 50.00 |
| 59386 | SG Issuer – HSI R Bear CBBC Oct 2018 O | 200,000,000 | 2018/04/03 | 2018/10/30 | 50.00 |
| 59382 | SG Issuer – HSI R Bear CBBC Oct 2018 Y | 200,000,000 | 2018/04/03 | 2018/10/30 | 50.00 |
| 59384 | SG Issuer – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/04/03 | 2018/10/30 | 50.00 |
| 59387 | SG Issuer – HSI R Bear CBBC Nov 2018 X | 200,000,000 | 2018/04/03 | 2018/11/29 | 50.00 |
| 59388 | SG Issuer – HSI R Bear CBBC Nov 2018 Y | 200,000,000 | 2018/04/03 | 2018/11/29 | 50.00 |
| 59389 | SG Issuer – HSI R Bear CBBC Nov 2018 Z | 200,000,000 | 2018/04/03 | 2018/11/29 | 50.00 |
| 59419 | SG Issuer – ICBC R Bull CBBC Sep 2018 T | 60,000,000 | 2018/04/03 | 2018/09/21 | 15.00 |
| 59395 | SG Issuer – Ping An R Bull CBBC Nov 2018 J | 100,000,000 | 2018/04/03 | 2018/11/20 | 25.00 |
| 59397 | SG Issuer – Ping An R Bull CBBC Nov 2018 M | 100,000,000 | 2018/04/03 | 2018/11/13 | 25.00 |
| 59398 | SG Issuer – Ping An R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/04/03 | 2018/12/12 | 25.00 |
| 59416 | SG Issuer – Ping An R Bear CBBC Aug 2018 A | 100,000,000 | 2018/04/03 | 2018/08/08 | 25.00 |
| 59399 | SG Issuer – Tencent R Bull CBBC Aug 2018 O | 80,000,000 | 2018/04/03 | 2018/08/02 | 21.60 |
| 59400 | SG Issuer – Tencent R Bull CBBC Aug 2018 S | 80,000,000 | 2018/04/03 | 2018/08/06 | 25.60 |
| 59403 | SG Issuer – Tencent R Bull CBBC Sep 2018 C | 80,000,000 | 2018/04/03 | 2018/09/24 | 29.60 |
| 59402 | SG Issuer – Tencent R Bull CBBC Sep 2018 V | 80,000,000 | 2018/04/03 | 2018/09/19 | 20.00 |
| 59401 | SG Issuer – Tencent R Bull CBBC Sep 2018 X | 80,000,000 | 2018/04/03 | 2018/09/10 | 34.40 |
| 59404 | SG Issuer – Tencent R Bull CBBC Oct 2018 R | 80,000,000 | 2018/04/03 | 2018/10/03 | 20.00 |
| 59405 | SG Issuer – Tencent R Bull CBBC Oct 2018 S | 80,000,000 | 2018/04/03 | 2018/10/11 | 20.00 |
| 59406 | SG Issuer – Tencent R Bull CBBC Oct 2018 T | 80,000,000 | 2018/04/03 | 2018/10/22 | 39.20 |
| 59396 | SG Issuer – Tencent R Bull CBBC Nov 2018 C | 80,000,000 | 2018/04/03 | 2018/11/08 | 20.00 |
| 59377 | SG Issuer – Tencent R Bear CBBC Jul 2018 P | 80,000,000 | 2018/04/03 | 2018/07/18 | 20.00 |
| 59378 | SG Issuer – Tencent R Bear CBBC Jul 2018 Q | 80,000,000 | 2018/04/03 | 2018/07/20 | 20.00 |
| 59303 | UBS AG – HKEX R Bull CBBC Sep 2018 V | 50,000,000 | 2018/04/03 | 2018/09/24 | 12.50 |
| 59293 | UBS AG – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59292 | UBS AG – HSI R Bull CBBC Oct 2019 T | 250,000,000 | 2018/04/03 | 2019/10/30 | 62.50 |
| 59295 | UBS AG – HSI R Bull CBBC Oct 2019 V | 200,000,000 | 2018/04/03 | 2019/10/30 | 50.00 |
| 59294 | UBS AG – HSI R Bull CBBC Nov 2019 B | 250,000,000 | 2018/04/03 | 2019/11/28 | 62.50 |
| 59296 | UBS AG – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59313 | UBS AG – HSI R Bull CBBC Dec 2019 U | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59297 | UBS AG – HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/04/03 | 2019/12/30 | 50.00 |
| 59312 | UBS AG – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/04/03 | 2019/12/30 | 62.50 |
| 59306 | UBS AG – HSI R Bear CBBC Jul 2018 Q | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59307 | UBS AG – HSI R Bear CBBC Jul 2018 V | 200,000,000 | 2018/04/03 | 2018/07/30 | 50.00 |
| 59308 | UBS AG – HSI R Bear CBBC Jul 2018 W | 250,000,000 | 2018/04/03 | 2018/07/30 | 62.50 |
| 59309 | UBS AG – HSI R Bear CBBC Aug 2018 Z | 250,000,000 | 2018/04/03 | 2018/08/30 | 62.50 |
| 59304 | UBS AG – Ping An R Bull CBBC Jul 2018 A | 80,000,000 | 2018/04/03 | 2018/07/09 | 20.00 |
| 59305 | UBS AG – Ping An R Bull CBBC Jul 2018 B | 80,000,000 | 2018/04/03 | 2018/07/16 | 20.00 |
| 59300 | UBS AG – Tencent R Bull CBBC Jul 2018 B | 30,000,000 | 2018/04/03 | 2018/07/23 | 10.20 |
| 59301 | UBS AG – Tencent R Bull CBBC Aug 2018 R | 30,000,000 | 2018/04/03 | 2018/08/07 | 10.80 |
| 59302 | UBS AG – Tencent R Bull CBBC Aug 2018 S | 30,000,000 | 2018/04/03 | 2018/08/21 | 16.80 |
| 59299 | UBS AG – Tencent R Bull CBBC Sep 2018 V | 30,000,000 | 2018/04/03 | 2018/09/06 | 10.20 |
| 59298 | UBS AG – Tencent R Bull CBBC Oct 2018 N | 30,000,000 | 2018/04/03 | 2018/10/24 | 10.20 |
| 59310 | UBS AG – Tencent R Bear CBBC Aug 2018 A | 30,000,000 | 2018/04/03 | 2018/08/07 | 10.20 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59311 | UBS AG – Tencent R Bear CBBC Aug 2018 B | 30,000,000 | 2018/04/03 | 2018/08/13 | 10.50 |
| 59201 | Bank Vontobel – HSI R Bull CBBC Nov 2018 F | 100,000,000 | 2018/04/03 | 2018/11/29 | 25.70 |
| 59202 | Bank Vontobel – HSI R Bull CBBC Nov 2018 G | 100,000,000 | 2018/04/03 | 2018/11/29 | 25.70 |
| 59203 | Bank Vontobel – HSI R Bull CBBC Nov 2018 H | 100,000,000 | 2018/04/03 | 2018/11/29 | 25.60 |
| 59204 | Bank Vontobel – HSI R Bull CBBC Nov 2018 I | 100,000,000 | 2018/04/03 | 2018/11/29 | 25.70 |
| 59253 | Bank Vontobel – HSI R Bull CBBC Nov 2018 J | 100,000,000 | 2018/04/03 | 2018/11/29 | 25.70 |
| 59250 | Bank Vontobel – HSI R Bear CBBC Sep 2018 R | 100,000,000 | 2018/04/03 | 2018/09/27 | 25.80 |
| 59251 | Bank Vontobel – HSI R Bear CBBC Sep 2018 S | 100,000,000 | 2018/04/03 | 2018/09/27 | 25.80 |
| 59252 | Bank Vontobel – HSI R Bear CBBC Sep 2018 T | 100,000,000 | 2018/04/03 | 2018/09/27 | 25.70 |
| 59205 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 K | 40,000,000 | 2018/04/03 | 2018/09/17 | 10.24 |
| 59206 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 L | 40,000,000 | 2018/04/03 | 2018/09/20 | 18.56 |
| 59207 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 M | 40,000,000 | 2018/04/03 | 2018/09/19 | 13.92 |
| 59208 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 N | 40,000,000 | 2018/04/03 | 2018/09/18 | 10.20 |
| 59254 | Bank Vontobel – Tencent R Bear CBBC Jul 2018 N | 40,000,000 | 2018/04/03 | 2018/07/24 | 10.28 |
| 59453 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 P | 100,000,000 | 2018/04/04 | 2018/11/29 | 25.00 |
| 59454 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 Q | 100,000,000 | 2018/04/04 | 2018/11/29 | 25.00 |
| 59456 | BNP Paribas Issuance B.V. – Galaxy Ent R Bull CBBC Nov 2018 A | 150,000,000 | 2018/04/04 | 2018/11/29 | 37.50 |
| 59457 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2018 R | 50,000,000 | 2018/04/04 | 2018/07/30 | 12.50 |
| 59458 | BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Jul 2018 F | 100,000,000 | 2018/04/04 | 2018/07/30 | 25.00 |
| 59459 | BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Jul 2018 G | 100,000,000 | 2018/04/04 | 2018/07/30 | 25.00 |
| 59455 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Nov 2018 D | 200,000,000 | 2018/04/04 | 2018/11/29 | 50.00 |
| 59542 | Credit Suisse AG – AIA R Bull CBBC Nov 2018 A | 70,000,000 | 2018/04/04 | 2018/11/29 | 17.50 |
| 59525 | Credit Suisse AG – Bank of China R Bull CBBC Nov 2018 F | 80,000,000 | 2018/04/04 | 2018/11/29 | 20.00 |
| 59543 | Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2018 B | 50,000,000 | 2018/04/04 | 2018/11/29 | 12.50 |
| 59523 | Credit Suisse AG – HKEX R Bull CBBC Oct 2018 U | 50,000,000 | 2018/04/04 | 2018/10/30 | 12.50 |
| 59528 | Credit Suisse AG – HSBC R Bull CBBC Nov 2018 C | 70,000,000 | 2018/04/04 | 2018/11/29 | 17.50 |
| 59537 | Credit Suisse AG – HSCEI R Bear CBBC Jul 2018 D | 100,000,000 | 2018/04/04 | 2018/07/30 | 25.00 |
| 59536 | Credit Suisse AG – HSI R Bull CBBC Apr 2019 H | 200,000,000 | 2018/04/04 | 2019/04/29 | 50.00 |
| 59521 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 L | 200,000,000 | 2018/04/04 | 2019/07/30 | 50.00 |
| 59520 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 R | 200,000,000 | 2018/04/04 | 2019/09/27 | 50.00 |
| 59522 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 Y | 200,000,000 | 2018/04/04 | 2019/09/27 | 50.00 |
| 59535 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 Z | 200,000,000 | 2018/04/04 | 2019/09/27 | 50.00 |
| 59529 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59526 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59531 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59533 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 W | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59527 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/04/04 | 2018/09/27 | 50.00 |
| 59530 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/04/04 | 2018/09/27 | 50.00 |
| 59532 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/04/04 | 2018/09/27 | 50.00 |
| 59534 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 O | 200,000,000 | 2018/04/04 | 2018/09/27 | 50.00 |
| 59524 | Credit Suisse AG – Ping An R Bull CBBC Nov 2018 P | 80,000,000 | 2018/04/04 | 2018/11/29 | 20.00 |
| 59538 | Credit Suisse AG – Tencent R Bull CBBC Sep 2018 G | 50,000,000 | 2018/04/04 | 2018/09/27 | 12.50 |
| 59540 | Credit Suisse AG – Tencent R Bull CBBC Sep 2018 H | 50,000,000 | 2018/04/04 | 2018/09/27 | 12.50 |
| 59539 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 J | 50,000,000 | 2018/04/04 | 2018/11/29 | 12.50 |
| 59541 | Credit Suisse AG – Tencent R Bear CBBC Oct 2018 D | 50,000,000 | 2018/04/04 | 2018/10/30 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59477 | Bank of East Asia – CC Bank R Bull CBBC Nov 2018 C | 60,000,000 | 2018/04/04 | 2018/11/02 | 15.00 |
| 59472 | Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2018 D | 16,000,000 | 2018/04/04 | 2018/11/02 | 10.40 |
| 59473 | Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2018 E | 16,000,000 | 2018/04/04 | 2018/11/02 | 10.40 |
| 59475 | Bank of East Asia – HKEX R Bull CBBC Nov 2018 N | 40,000,000 | 2018/04/04 | 2018/11/02 | 10.00 |
| 59474 | Bank of East Asia – HSBC R Bull CBBC Nov 2018 B | 60,000,000 | 2018/04/04 | 2018/11/02 | 15.00 |
| 59476 | Bank of East Asia – Ping An R Bull CBBC Nov 2018 I | 120,000,000 | 2018/04/04 | 2018/11/02 | 30.00 |
| 59440 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 R | 40,000,000 | 2018/04/04 | 2018/11/02 | 10.00 |
| 59470 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 S | 40,000,000 | 2018/04/04 | 2018/11/02 | 10.00 |
| 59471 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 T | 40,000,000 | 2018/04/04 | 2018/11/02 | 10.00 |
| 59550 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/04 | 2018/12/28 | 25.00 |
| 59548 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 H | 100,000,000 | 2018/04/04 | 2018/12/31 | 25.00 |
| 59464 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2018 C | 100,000,000 | 2018/04/04 | 2018/10/19 | 25.00 |
| 59551 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 F | 100,000,000 | 2018/04/04 | 2018/12/27 | 25.00 |
| 59552 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 D | 100,000,000 | 2018/04/04 | 2018/12/28 | 25.00 |
| 59490 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/04/04 | 2019/12/30 | 50.00 |
| 59491 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 P | 200,000,000 | 2018/04/04 | 2019/12/30 | 50.00 |
| 59460 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 H | 200,000,000 | 2018/04/04 | 2020/01/30 | 50.00 |
| 59461 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 I | 200,000,000 | 2018/04/04 | 2020/01/30 | 50.20 |
| 59467 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59468 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59469 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 K | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59549 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Dec 2018 T | 100,000,000 | 2018/04/04 | 2018/12/28 | 25.00 |
| 59462 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 V | 100,000,000 | 2018/04/04 | 2018/12/28 | 25.00 |
| 59463 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 W | 100,000,000 | 2018/04/04 | 2018/12/28 | 25.00 |
| 59465 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 K | 100,000,000 | 2018/04/04 | 2018/10/29 | 25.00 |
| 59466 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 L | 100,000,000 | 2018/04/04 | 2018/10/29 | 25.00 |
| 59544 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 M | 100,000,000 | 2018/04/04 | 2018/10/02 | 25.00 |
| 59545 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 N | 100,000,000 | 2018/04/04 | 2018/10/03 | 25.00 |
| 59546 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 O | 100,000,000 | 2018/04/04 | 2018/10/04 | 28.90 |
| 59547 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 B | 80,000,000 | 2018/04/04 | 2018/12/31 | 34.32 |
| 59448 | HK Bank – AIA R Bull CBBC Oct 2018 M | 80,000,000 | 2018/04/04 | 2018/10/02 | 20.00 |
| 59449 | HK Bank – CC Bank R Bull CBBC Sep 2018 M | 100,000,000 | 2018/04/04 | 2018/09/13 | 25.00 |
| 59446 | HK Bank – Geely Auto R Bear CBBC Oct 2018 E | 40,000,000 | 2018/04/04 | 2018/10/02 | 14.00 |
| 59447 | HK Bank – Galaxy Ent R Bull CBBC Oct 2018 E | 80,000,000 | 2018/04/04 | 2018/10/22 | 20.00 |
| 59444 | HK Bank – HKEX R Bull CBBC Oct 2018 Y | 50,000,000 | 2018/04/04 | 2018/10/04 | 12.50 |
| 59445 | HK Bank – HKEX R Bear CBBC Oct 2018 H | 40,000,000 | 2018/04/04 | 2018/10/04 | 10.00 |
| 59452 | HK Bank – HSCEI R Bear CBBC Aug 2018 F | 50,000,000 | 2018/04/04 | 2018/08/30 | 12.50 |
| 59439 | HK Bank – HSI R Bull CBBC Dec 2018 H | 150,000,000 | 2018/04/04 | 2018/12/28 | 37.50 |
| 59450 | HK Bank – HSI R Bear CBBC Aug 2018 O | 150,000,000 | 2018/04/04 | 2018/08/30 | 37.50 |
| 59451 | HK Bank – HSI R Bear CBBC Sep 2018 A | 150,000,000 | 2018/04/04 | 2018/09/27 | 37.50 |
| 59438 | HK Bank – Ping An R Bull CBBC Oct 2018 J | 100,000,000 | 2018/04/04 | 2018/10/11 | 25.00 |
| 59441 | HK Bank – Tencent R Bull CBBC Sep 2018 G | 60,000,000 | 2018/04/04 | 2018/09/28 | 15.00 |
| 59442 | HK Bank – Tencent R Bull CBBC Sep 2018 H | 60,000,000 | 2018/04/04 | 2018/09/03 | 15.00 |
| 59443 | HK Bank – Tencent R Bear CBBC Sep 2018 M | 50,000,000 | 2018/04/04 | 2018/09/13 | 20.00 |
| 59494 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/04/04 | 2019/11/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59502 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/04/04 | 2019/11/28 | 50.00 |
| 59493 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/04/04 | 2019/12/30 | 50.00 |
| 59501 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/04/04 | 2019/12/30 | 50.00 |
| 59495 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 M | 200,000,000 | 2018/04/04 | 2018/07/30 | 50.00 |
| 59496 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59497 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59503 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59504 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/04/04 | 2018/08/30 | 50.00 |
| 59500 | J P Morgan SP BV – Ping An R Bull CBBC Jul 2018 E | 100,000,000 | 2018/04/04 | 2018/07/13 | 25.00 |
| 59498 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 G | 50,000,000 | 2018/04/04 | 2018/07/13 | 12.50 |
| 59499 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 I | 50,000,000 | 2018/04/04 | 2018/07/13 | 12.50 |
| 59492 | Macquarie Bank Ltd. – Ping An R Bull CBBC Dec 2018 C | 5,000,000 | 2018/04/04 | 2018/12/28 | 15.74 |
| 59505 | SG Issuer – Galaxy Ent R Bull CBBC Oct 2018 E | 80,000,000 | 2018/04/04 | 2018/10/26 | 20.00 |
| 59509 | SG Issuer – HKEX R Bull CBBC Dec 2018 M | 50,000,000 | 2018/04/04 | 2018/12/14 | 12.50 |
| 59517 | SG Issuer – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/04/04 | 2019/10/30 | 50.00 |
| 59516 | SG Issuer – HSI R Bull CBBC Oct 2019 L | 200,000,000 | 2018/04/04 | 2019/10/30 | 50.00 |
| 59518 | SG Issuer – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/04/04 | 2019/11/28 | 50.00 |
| 59519 | SG Issuer – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/04/04 | 2019/12/30 | 50.00 |
| 59507 | SG Issuer – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/04/04 | 2018/09/27 | 50.00 |
| 59512 | SG Issuer – HSI R Bear CBBC Oct 2018 A | 200,000,000 | 2018/04/04 | 2018/10/30 | 50.00 |
| 59508 | SG Issuer – HSI R Bear CBBC Nov 2018 B | 200,000,000 | 2018/04/04 | 2018/11/29 | 50.00 |
| 59513 | SG Issuer – HSI R Bear CBBC Nov 2018 C | 200,000,000 | 2018/04/04 | 2018/11/29 | 50.00 |
| 59514 | SG Issuer – HSI R Bear CBBC Dec 2018 K | 200,000,000 | 2018/04/04 | 2018/12/28 | 50.00 |
| 59515 | SG Issuer – HSI R Bear CBBC Dec 2018 L | 200,000,000 | 2018/04/04 | 2018/12/28 | 50.00 |
| 59510 | SG Issuer – Tencent R Bull CBBC Nov 2018 D | 80,000,000 | 2018/04/04 | 2018/11/30 | 20.00 |
| 59506 | SG Issuer – Tencent R Bull CBBC Dec 2018 R | 80,000,000 | 2018/04/04 | 2018/12/21 | 20.00 |
| 59511 | SG Issuer – Tencent R Bull CBBC Dec 2018 S | 80,000,000 | 2018/04/04 | 2018/12/21 | 20.00 |
| 59481 | UBS AG – China Life R Bull CBBC Aug 2019 A | 40,000,000 | 2018/04/04 | 2019/08/19 | 11.20 |
| 59479 | UBS AG – HSI R Bull CBBC Nov 2019 C | 250,000,000 | 2018/04/04 | 2019/11/28 | 62.50 |
| 59478 | UBS AG – HSI R Bull CBBC Nov 2019 U | 250,000,000 | 2018/04/04 | 2019/11/28 | 62.50 |
| 59480 | UBS AG – HSI R Bull CBBC Dec 2019 Y | 250,000,000 | 2018/04/04 | 2019/12/30 | 62.50 |
| 59484 | UBS AG – HSI R Bear CBBC Jul 2018 H | 250,000,000 | 2018/04/04 | 2018/07/30 | 62.50 |
| 59486 | UBS AG – HSI R Bear CBBC Jul 2018 K | 250,000,000 | 2018/04/04 | 2018/07/30 | 62.50 |
| 59483 | UBS AG – HSI R Bear CBBC Jul 2018 X | 200,000,000 | 2018/04/04 | 2018/07/30 | 50.00 |
| 59482 | UBS AG – HSI R Bear CBBC Aug 2018 A | 250,000,000 | 2018/04/04 | 2018/08/30 | 62.50 |
| 59485 | UBS AG – HSI R Bear CBBC Aug 2018 C | 250,000,000 | 2018/04/04 | 2018/08/30 | 62.50 |
| 59487 | UBS AG – HSI R Bear CBBC Aug 2018 D | 250,000,000 | 2018/04/04 | 2018/08/30 | 62.50 |
| 59488 | UBS AG – Ping An R Bull CBBC Oct 2018 P | 80,000,000 | 2018/04/04 | 2018/10/30 | 20.00 |
| 59489 | UBS AG – Tencent R Bull CBBC Aug 2018 T | 30,000,000 | 2018/04/04 | 2018/08/28 | 10.20 |
| 59646 | Credit Suisse AG – CC Bank R Bull CBBC Dec 2018 D | 80,000,000 | 2018/04/06 | 2018/12/28 | 20.00 |
| 59639 | Credit Suisse AG – Sinopec Corp R Bull CBBC Nov 2018 C | 60,000,000 | 2018/04/06 | 2018/11/29 | 15.00 |
| 59644 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Oct 2018 D | 50,000,000 | 2018/04/06 | 2018/10/30 | 12.50 |
| 59645 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Oct 2018 E | 50,000,000 | 2018/04/06 | 2018/10/30 | 12.50 |
| 59637 | Credit Suisse AG – Galaxy Ent R Bull CBBC Oct 2018 C | 50,000,000 | 2018/04/06 | 2018/10/30 | 12.50 |
| 59638 | Credit Suisse AG – Galaxy Ent R Bear CBBC Oct 2018 B | 50,000,000 | 2018/04/06 | 2018/10/30 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59640 | Credit Suisse AG – HKEX R Bull CBBC Nov 2018 E | 50,000,000 | 2018/04/06 | 2018/11/29 | 12.50 |
| 59649 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 U | 200,000,000 | 2018/04/06 | 2019/03/28 | 50.00 |
| 59651 | Credit Suisse AG – HSI R Bull CBBC Apr 2019 C | 200,000,000 | 2018/04/06 | 2019/04/29 | 50.00 |
| 59647 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 M | 200,000,000 | 2018/04/06 | 2019/07/30 | 50.00 |
| 59648 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 N | 200,000,000 | 2018/04/06 | 2019/07/30 | 50.00 |
| 59650 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 O | 200,000,000 | 2018/04/06 | 2019/07/30 | 50.00 |
| 59636 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 E | 200,000,000 | 2018/04/06 | 2019/09/27 | 50.00 |
| 59633 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59631 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59635 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Y | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59632 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/04/06 | 2018/09/27 | 50.00 |
| 59634 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 R | 200,000,000 | 2018/04/06 | 2018/09/27 | 50.00 |
| 59643 | Credit Suisse AG – SUNAC R Bull CBBC Jan 2019 B | 100,000,000 | 2018/04/06 | 2019/01/30 | 25.00 |
| 59642 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 R | 50,000,000 | 2018/04/06 | 2018/10/30 | 12.50 |
| 59641 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 K | 50,000,000 | 2018/04/06 | 2018/11/29 | 12.50 |
| 59652 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 L | 50,000,000 | 2018/04/06 | 2018/11/29 | 22.50 |
| 59669 | Goldman Sachs SP (Asia) – Bank of China R Bull CBBC Feb 2019 I | 100,000,000 | 2018/04/06 | 2019/02/26 | 25.00 |
| 59666 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/04/06 | 2019/12/30 | 50.20 |
| 59664 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/04/06 | 2019/12/30 | 50.20 |
| 59665 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 R | 200,000,000 | 2018/04/06 | 2019/12/30 | 50.00 |
| 59569 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 A | 200,000,000 | 2018/04/06 | 2018/09/27 | 50.00 |
| 59570 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/04/06 | 2018/09/27 | 50.00 |
| 59571 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/04/06 | 2018/09/27 | 50.00 |
| 59663 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/04/06 | 2018/09/27 | 50.20 |
| 59667 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 P | 100,000,000 | 2018/04/06 | 2018/10/08 | 25.00 |
| 59668 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 Q | 100,000,000 | 2018/04/06 | 2018/10/09 | 25.00 |
| 59566 | HK Bank – AAC Tech R Bull CBBC Oct 2018 G | 40,000,000 | 2018/04/06 | 2018/10/22 | 10.00 |
| 59568 | HK Bank – Country Garden R Bull CBBC Nov 2018 F | 40,000,000 | 2018/04/06 | 2018/11/05 | 10.00 |
| 59565 | HK Bank – Geely Auto R Bull CBBC Sep 2018 Q | 40,000,000 | 2018/04/06 | 2018/09/17 | 10.00 |
| 59564 | HK Bank – Galaxy Ent R Bear CBBC Oct 2018 C | 40,000,000 | 2018/04/06 | 2018/10/22 | 10.00 |
| 59559 | HK Bank – HKEX R Bull CBBC Oct 2018 Z | 50,000,000 | 2018/04/06 | 2018/10/18 | 12.50 |
| 59563 | HK Bank – HSCEI R Bull CBBC Dec 2018 R | 60,000,000 | 2018/04/06 | 2018/12/28 | 15.00 |
| 59560 | HK Bank – HSI R Bull CBBC Dec 2018 I | 150,000,000 | 2018/04/06 | 2018/12/28 | 37.50 |
| 59561 | HK Bank – HSI R Bull CBBC Mar 2019 M | 150,000,000 | 2018/04/06 | 2019/03/28 | 37.50 |
| 59562 | HK Bank – HSI R Bear CBBC Aug 2018 P | 150,000,000 | 2018/04/06 | 2018/08/30 | 37.50 |
| 59567 | HK Bank – ICBC R Bull CBBC Sep 2018 N | 100,000,000 | 2018/04/06 | 2018/09/17 | 25.00 |
| 59558 | HK Bank – Ping An R Bull CBBC Oct 2018 O | 100,000,000 | 2018/04/06 | 2018/10/02 | 25.00 |
| 59556 | HK Bank – Tencent R Bull CBBC Aug 2018 W | 60,000,000 | 2018/04/06 | 2018/08/30 | 15.00 |
| 59557 | HK Bank – Tencent R Bull CBBC Oct 2018 U | 60,000,000 | 2018/04/06 | 2018/10/02 | 21.00 |
| 59553 | Haitong Int'l Sec – HKEX R Bull CBBC Oct 2018 B | 40,000,000 | 2018/04/06 | 2018/10/24 | 10.00 |
| 59555 | Haitong Int'l Sec – Ping An R Bull CBBC Oct 2018 F | 30,000,000 | 2018/04/06 | 2018/10/10 | 10.47 |
| 59554 | Haitong Int'l Sec – Tencent R Bull CBBC Sep 2018 N | 40,000,000 | 2018/04/06 | 2018/09/24 | 10.00 |
| 59621 | J P Morgan SP BV – Bank of China R Bull CBBC Nov 2018 A | 150,000,000 | 2018/04/06 | 2018/11/16 | 37.50 |
| 59619 | J P Morgan SP BV – China Mobile R Bull CBBC Aug 2018 B | 100,000,000 | 2018/04/06 | 2018/08/17 | 25.00 |
| 59618 | J P Morgan SP BV – Galaxy Ent R Bull CBBC Aug 2018 C | 80,000,000 | 2018/04/06 | 2018/08/17 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59616 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2018 I | 40,000,000 | 2018/04/06 | 2018/07/13 | 10.00 |
| 59617 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2018 J | 50,000,000 | 2018/04/06 | 2018/07/13 | 12.50 |
| 59624 | J P Morgan SP BV – HSI R Bull CBBC Oct 2018 X | 200,000,000 | 2018/04/06 | 2018/10/30 | 50.00 |
| 59626 | J P Morgan SP BV – HSI R Bull CBBC Oct 2018 Y | 200,000,000 | 2018/04/06 | 2018/10/30 | 50.00 |
| 59625 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 A | 200,000,000 | 2018/04/06 | 2019/11/28 | 50.00 |
| 59610 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/04/06 | 2019/11/28 | 50.00 |
| 59623 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 W | 200,000,000 | 2018/04/06 | 2019/11/28 | 50.00 |
| 59609 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/04/06 | 2019/12/30 | 50.00 |
| 59622 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/04/06 | 2019/12/30 | 50.00 |
| 59629 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 B | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59630 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59606 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59607 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 W | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59608 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59627 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Y | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59628 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Z | 200,000,000 | 2018/04/06 | 2018/08/30 | 50.00 |
| 59620 | J P Morgan SP BV – Sunny Optical R Bull CBBC Jul 2018 E | 40,000,000 | 2018/04/06 | 2018/07/13 | 10.00 |
| 59611 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 E | 50,000,000 | 2018/04/06 | 2018/07/13 | 12.50 |
| 59612 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 F | 50,000,000 | 2018/04/06 | 2018/07/13 | 12.50 |
| 59613 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 S | 50,000,000 | 2018/04/06 | 2018/07/13 | 12.50 |
| 59614 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 T | 50,000,000 | 2018/04/06 | 2018/07/13 | 12.50 |
| 59615 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 U | 50,000,000 | 2018/04/06 | 2018/07/13 | 12.50 |
| 59576 | Macquarie Bank Ltd. – HKEX R Bull CBBC Oct 2018 K | 16,000,000 | 2018/04/06 | 2018/10/26 | 11.09 |
| 59577 | Macquarie Bank Ltd. – HKEX R Bull CBBC Oct 2018 L | 16,000,000 | 2018/04/06 | 2018/10/26 | 10.64 |
| 59578 | Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2018 B | 12,000,000 | 2018/04/06 | 2018/10/31 | 12.37 |
| 59579 | Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2018 C | 12,000,000 | 2018/04/06 | 2018/10/31 | 11.34 |
| 59653 | SG Issuer – AAC Tech R Bull CBBC Dec 2018 B | 40,000,000 | 2018/04/06 | 2018/12/07 | 10.00 |
| 59659 | SG Issuer – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/04/06 | 2019/10/30 | 50.00 |
| 59660 | SG Issuer – HSI R Bull CBBC Oct 2019 O | 200,000,000 | 2018/04/06 | 2019/10/30 | 50.00 |
| 59661 | SG Issuer – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/04/06 | 2019/11/28 | 50.00 |
| 59662 | SG Issuer – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/04/06 | 2019/12/30 | 50.00 |
| 59657 | SG Issuer – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/04/06 | 2018/09/27 | 50.00 |
| 59658 | SG Issuer – HSI R Bear CBBC Nov 2018 E | 200,000,000 | 2018/04/06 | 2018/11/29 | 50.00 |
| 59654 | SG Issuer – Tencent R Bull CBBC Nov 2018 A | 80,000,000 | 2018/04/06 | 2018/11/23 | 20.00 |
| 59655 | SG Issuer – Tencent R Bull CBBC Dec 2018 T | 80,000,000 | 2018/04/06 | 2018/12/14 | 20.00 |
| 59656 | SG Issuer – Tencent R Bull CBBC Dec 2018 U | 80,000,000 | 2018/04/06 | 2018/12/21 | 20.00 |
| 59599 | UBS AG – CC Bank R Bull CBBC Oct 2018 L | 80,000,000 | 2018/04/06 | 2018/10/08 | 20.00 |
| 59602 | UBS AG – China Life R Bull CBBC Sep 2018 L | 40,000,000 | 2018/04/06 | 2018/09/17 | 10.00 |
| 59593 | UBS AG – HKEX R Bull CBBC Oct 2018 Y | 50,000,000 | 2018/04/06 | 2018/10/31 | 12.50 |
| 59594 | UBS AG – HKEX R Bull CBBC Nov 2018 H | 50,000,000 | 2018/04/06 | 2018/11/12 | 12.50 |
| 59603 | UBS AG – HSCEI R Bull CBBC Nov 2019 D | 100,000,000 | 2018/04/06 | 2019/11/28 | 25.00 |
| 59604 | UBS AG – HSCEI R Bear CBBC Aug 2018 B | 100,000,000 | 2018/04/06 | 2018/08/30 | 25.00 |
| 59585 | UBS AG – HSI R Bull CBBC Oct 2019 B | 250,000,000 | 2018/04/06 | 2019/10/30 | 62.50 |
| 59586 | UBS AG – HSI R Bull CBBC Oct 2019 J | 250,000,000 | 2018/04/06 | 2019/10/30 | 62.50 |
| 59583 | UBS AG – HSI R Bull CBBC Oct 2019 X | 250,000,000 | 2018/04/06 | 2019/10/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59588 | UBS AG – HSI R Bull CBBC Nov 2019 A | 250,000,000 | 2018/04/06 | 2019/11/28 | 62.50 |
| 59587 | UBS AG – HSI R Bull CBBC Nov 2019 D | 250,000,000 | 2018/04/06 | 2019/11/28 | 62.50 |
| 59584 | UBS AG – HSI R Bull CBBC Nov 2019 E | 250,000,000 | 2018/04/06 | 2019/11/28 | 62.50 |
| 59605 | UBS AG – HSI R Bull CBBC Dec 2019 Z | 250,000,000 | 2018/04/06 | 2019/12/30 | 62.50 |
| 59582 | UBS AG – HSI R Bear CBBC Jul 2018 J | 250,000,000 | 2018/04/06 | 2018/07/30 | 62.50 |
| 59591 | UBS AG – HSI R Bear CBBC Jul 2018 L | 250,000,000 | 2018/04/06 | 2018/07/30 | 62.50 |
| 59580 | UBS AG – HSI R Bear CBBC Jul 2018 R | 250,000,000 | 2018/04/06 | 2018/07/30 | 62.50 |
| 59592 | UBS AG – HSI R Bear CBBC Aug 2018 E | 250,000,000 | 2018/04/06 | 2018/08/30 | 62.50 |
| 59581 | UBS AG – HSI R Bear CBBC Aug 2018 F | 250,000,000 | 2018/04/06 | 2018/08/30 | 62.50 |
| 59589 | UBS AG – HSI R Bear CBBC Aug 2018 O | 250,000,000 | 2018/04/06 | 2018/08/30 | 62.50 |
| 59590 | UBS AG – HSI R Bear CBBC Aug 2018 Y | 250,000,000 | 2018/04/06 | 2018/08/30 | 62.50 |
| 59601 | UBS AG – ICBC R Bull CBBC Sep 2018 G | 100,000,000 | 2018/04/06 | 2018/09/17 | 25.00 |
| 59600 | UBS AG – ICBC R Bull CBBC Nov 2018 D | 100,000,000 | 2018/04/06 | 2018/11/08 | 25.00 |
| 59595 | UBS AG – Tencent R Bull CBBC Oct 2018 O | 30,000,000 | 2018/04/06 | 2018/10/29 | 10.20 |
| 59596 | UBS AG – Tencent R Bull CBBC Nov 2018 F | 30,000,000 | 2018/04/06 | 2018/11/05 | 10.20 |
| 59597 | UBS AG – Tencent R Bear CBBC Jul 2018 E | 30,000,000 | 2018/04/06 | 2018/07/16 | 11.85 |
| 59598 | UBS AG – Tencent R Bear CBBC Aug 2018 C | 30,000,000 | 2018/04/06 | 2018/08/08 | 10.20 |
| 59572 | Bank Vontobel – HSI R Bull CBBC Nov 2018 K | 100,000,000 | 2018/04/06 | 2018/11/29 | 25.60 |
| 59573 | Bank Vontobel – HSI R Bull CBBC Nov 2018 L | 100,000,000 | 2018/04/06 | 2018/11/29 | 25.60 |
| 59574 | Bank Vontobel – HSI R Bear CBBC Sep 2018 U | 100,000,000 | 2018/04/06 | 2018/09/27 | 25.90 |
| 59575 | Bank Vontobel – HSI R Bear CBBC Sep 2018 V | 100,000,000 | 2018/04/06 | 2018/09/27 | 25.80 |
| 59704 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 B | 250,000,000 | 2018/04/09 | 2018/07/30 | 62.50 |
| 59711 | Credit Suisse AG – AIA R Bear CBBC Nov 2018 A | 50,000,000 | 2018/04/09 | 2018/11/29 | 12.50 |
| 59712 | Credit Suisse AG – BYD Company R Bull CBBC Nov 2018 D | 50,000,000 | 2018/04/09 | 2018/11/29 | 12.50 |
| 59705 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 V | 200,000,000 | 2018/04/09 | 2019/03/28 | 50.00 |
| 59708 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 P | 200,000,000 | 2018/04/09 | 2019/07/30 | 50.00 |
| 59713 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Z | 200,000,000 | 2018/04/09 | 2018/08/30 | 50.00 |
| 59714 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/04/09 | 2018/09/27 | 50.00 |
| 59715 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/04/09 | 2018/09/27 | 50.00 |
| 59716 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/04/09 | 2018/09/27 | 50.00 |
| 59707 | Credit Suisse AG – Ping An R Bull CBBC Nov 2018 Q | 80,000,000 | 2018/04/09 | 2018/11/29 | 20.00 |
| 59710 | Credit Suisse AG – Ping An R Bear CBBC Nov 2018 C | 50,000,000 | 2018/04/09 | 2018/11/29 | 12.50 |
| 59706 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 S | 50,000,000 | 2018/04/09 | 2018/10/30 | 17.00 |
| 59709 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 D | 50,000,000 | 2018/04/09 | 2018/12/28 | 26.00 |
| 59747 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2018 I | 100,000,000 | 2018/04/09 | 2018/11/19 | 25.00 |
| 59748 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2018 J | 100,000,000 | 2018/04/09 | 2018/11/20 | 25.00 |
| 59749 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2018 K | 100,000,000 | 2018/04/09 | 2018/11/21 | 25.00 |
| 59687 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/04/09 | 2019/12/30 | 50.00 |
| 59717 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/04/09 | 2019/12/30 | 50.00 |
| 59688 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/04/09 | 2019/12/30 | 50.00 |
| 59718 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 Q | 200,000,000 | 2018/04/09 | 2018/07/30 | 50.00 |
| 59719 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 T | 200,000,000 | 2018/04/09 | 2018/07/30 | 50.00 |
| 59720 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 L | 200,000,000 | 2018/04/09 | 2018/08/30 | 50.20 |
| 59694 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 X | 100,000,000 | 2018/04/09 | 2018/12/27 | 25.00 |
| 59743 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 R | 100,000,000 | 2018/04/09 | 2018/10/22 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59744 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 S | 100,000,000 | 2018/04/09 | 2018/10/23 | 25.00 |
| 59745 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 C | 80,000,000 | 2018/04/09 | 2018/12/31 | 28.40 |
| 59746 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 D | 80,000,000 | 2018/04/09 | 2018/12/31 | 32.88 |
| 59676 | HK Bank – CM Bank R Bull CBBC Jan 2019 A | 100,000,000 | 2018/04/09 | 2019/01/14 | 25.00 |
| 59675 | HK Bank – CPIC R Bull CBBC Nov 2018 F | 100,000,000 | 2018/04/09 | 2018/11/12 | 25.00 |
| 59674 | HK Bank – HKEX R Bear CBBC Oct 2018 I | 40,000,000 | 2018/04/09 | 2018/10/11 | 10.00 |
| 59671 | HK Bank – HSI R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/09 | 2018/12/28 | 25.00 |
| 59678 | HK Bank – HSI R Bull CBBC Dec 2018 K | 100,000,000 | 2018/04/09 | 2018/12/28 | 25.00 |
| 59672 | HK Bank – HSI R Bear CBBC Aug 2018 Q | 100,000,000 | 2018/04/09 | 2018/08/30 | 25.00 |
| 59677 | HK Bank – Tencent R Bull CBBC Aug 2018 O | 60,000,000 | 2018/04/09 | 2018/08/16 | 15.00 |
| 59670 | HK Bank – Tencent R Bull CBBC Aug 2018 Z | 60,000,000 | 2018/04/09 | 2018/08/13 | 15.00 |
| 59673 | HK Bank – Tencent R Bear CBBC Oct 2018 L | 50,000,000 | 2018/04/09 | 2018/10/04 | 12.50 |
| 59689 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 B | 12,300,000 | 2018/04/09 | 2018/10/31 | 10.01 |
| 59690 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 C | 10,800,000 | 2018/04/09 | 2018/10/31 | 10.05 |
| 59691 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 D | 9,600,000 | 2018/04/09 | 2018/10/31 | 10.06 |
| 59692 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 E | 8,600,000 | 2018/04/09 | 2018/10/31 | 10.02 |
| 59693 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 F | 7,800,000 | 2018/04/09 | 2018/10/31 | 10.01 |
| 59741 | SG Issuer – AAC Tech R Bull CBBC Nov 2018 C | 40,000,000 | 2018/04/09 | 2018/11/22 | 10.00 |
| 59726 | SG Issuer – AIA R Bull CBBC Dec 2018 I | 60,000,000 | 2018/04/09 | 2018/12/07 | 15.00 |
| 59727 | SG Issuer – BYD Company R Bull CBBC Nov 2018 C | 50,000,000 | 2018/04/09 | 2018/11/05 | 12.50 |
| 59742 | SG Issuer – BYD Company R Bull CBBC Dec 2018 D | 50,000,000 | 2018/04/09 | 2018/12/07 | 12.50 |
| 59734 | SG Issuer – A50 China R Bull CBBC Nov 2018 E | 50,000,000 | 2018/04/09 | 2018/11/23 | 12.50 |
| 59721 | SG Issuer – Geely Auto R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/09 | 2018/11/09 | 10.00 |
| 59728 | SG Issuer – HKEX R Bull CBBC Dec 2018 N | 50,000,000 | 2018/04/09 | 2018/12/03 | 12.50 |
| 59740 | SG Issuer – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/04/09 | 2019/12/30 | 50.00 |
| 59735 | SG Issuer – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/04/09 | 2018/10/30 | 50.00 |
| 59736 | SG Issuer – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/04/09 | 2018/10/30 | 50.00 |
| 59737 | SG Issuer – HSI R Bear CBBC Nov 2018 F | 200,000,000 | 2018/04/09 | 2018/11/29 | 50.00 |
| 59738 | SG Issuer – HSI R Bear CBBC Dec 2018 M | 200,000,000 | 2018/04/09 | 2018/12/28 | 50.00 |
| 59739 | SG Issuer – HSI R Bear CBBC Dec 2018 N | 200,000,000 | 2018/04/09 | 2018/12/28 | 50.00 |
| 59722 | SG Issuer – Ping An R Bull CBBC Dec 2018 R | 100,000,000 | 2018/04/09 | 2018/12/12 | 25.00 |
| 59729 | SG Issuer – Ping An R Bull CBBC Dec 2018 S | 100,000,000 | 2018/04/09 | 2018/12/07 | 25.00 |
| 59723 | SG Issuer – Sunny Optical R Bull CBBC Dec 2018 F | 40,000,000 | 2018/04/09 | 2018/12/07 | 10.00 |
| 59724 | SG Issuer – Sunny Optical R Bull CBBC Dec 2018 G | 40,000,000 | 2018/04/09 | 2018/12/10 | 10.00 |
| 59730 | SG Issuer – Tencent R Bull CBBC Oct 2018 U | 80,000,000 | 2018/04/09 | 2018/10/26 | 20.00 |
| 59731 | SG Issuer – Tencent R Bull CBBC Nov 2018 E | 80,000,000 | 2018/04/09 | 2018/11/23 | 20.00 |
| 59725 | SG Issuer – Tencent R Bull CBBC Dec 2018 V | 80,000,000 | 2018/04/09 | 2018/12/07 | 20.00 |
| 59732 | SG Issuer – ZTE R Bull CBBC Nov 2018 A | 50,000,000 | 2018/04/09 | 2018/11/29 | 22.50 |
| 59733 | SG Issuer – ZTE R Bull CBBC Dec 2018 A | 50,000,000 | 2018/04/09 | 2018/12/21 | 12.50 |
| 59698 | UBS AG – HSI R Bull CBBC Oct 2019 U | 250,000,000 | 2018/04/09 | 2019/10/30 | 62.50 |
| 59697 | UBS AG – HSI R Bull CBBC Dec 2019 D | 250,000,000 | 2018/04/09 | 2019/12/30 | 62.50 |
| 59695 | UBS AG – HSI R Bull CBBC Dec 2019 Q | 250,000,000 | 2018/04/09 | 2019/12/30 | 62.50 |
| 59696 | UBS AG – HSI R Bull CBBC Dec 2019 V | 200,000,000 | 2018/04/09 | 2019/12/30 | 50.00 |
| 59701 | UBS AG – HSI R Bear CBBC Jul 2018 S | 250,000,000 | 2018/04/09 | 2018/07/30 | 62.50 |
| 59702 | UBS AG – HSI R Bear CBBC Aug 2018 I | 250,000,000 | 2018/04/09 | 2018/08/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59703 | UBS AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/04/09 | 2018/08/30 | 50.00 |
| 59699 | UBS AG – Ping An R Bull CBBC Oct 2018 Q | 80,000,000 | 2018/04/09 | 2018/10/23 | 20.00 |
| 59700 | UBS AG – Ping An R Bull CBBC Oct 2018 R | 80,000,000 | 2018/04/09 | 2018/10/16 | 20.00 |
| 59679 | Bank Vontobel – HSI R Bull CBBC Nov 2018 M | 100,000,000 | 2018/04/09 | 2018/11/29 | 25.40 |
| 59680 | Bank Vontobel – HSI R Bull CBBC Nov 2018 N | 100,000,000 | 2018/04/09 | 2018/11/29 | 25.40 |
| 59681 | Bank Vontobel – HSI R Bear CBBC Sep 2018 W | 100,000,000 | 2018/04/09 | 2018/09/27 | 25.40 |
| 59686 | Bank Vontobel – HSI R Bear CBBC Sep 2018 X | 100,000,000 | 2018/04/09 | 2018/09/27 | 25.40 |
| 59684 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 O | 40,000,000 | 2018/04/09 | 2018/09/24 | 45.40 |
| 59685 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 P | 40,000,000 | 2018/04/09 | 2018/09/21 | 27.40 |
| 59682 | Bank Vontobel – Tencent R Bear CBBC Jul 2018 O | 40,000,000 | 2018/04/09 | 2018/07/26 | 10.24 |
| 59683 | Bank Vontobel – Tencent R Bear CBBC Jul 2018 P | 40,000,000 | 2018/04/09 | 2018/07/25 | 10.20 |
| 59753 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Q | 250,000,000 | 2018/04/10 | 2019/12/30 | 62.50 |
| 59818 | Credit Suisse AG – BYD Company R Bull CBBC Dec 2018 A | 50,000,000 | 2018/04/10 | 2018/12/28 | 12.50 |
| 59819 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Nov 2018 A | 50,000,000 | 2018/04/10 | 2018/11/29 | 12.50 |
| 59811 | Credit Suisse AG – Geely Auto R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/10 | 2018/11/29 | 15.20 |
| 59810 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 Q | 200,000,000 | 2018/04/10 | 2019/07/30 | 50.00 |
| 59814 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 F | 200,000,000 | 2018/04/10 | 2018/08/30 | 50.00 |
| 59815 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/04/10 | 2018/09/27 | 50.00 |
| 59816 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 D | 200,000,000 | 2018/04/10 | 2018/10/30 | 50.00 |
| 59817 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 E | 200,000,000 | 2018/04/10 | 2018/10/30 | 50.00 |
| 59813 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 E | 80,000,000 | 2018/04/10 | 2018/12/28 | 20.00 |
| 59812 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 M | 50,000,000 | 2018/04/10 | 2018/11/29 | 16.50 |
| 59832 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/10 | 2018/12/27 | 25.00 |
| 59769 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 G | 100,000,000 | 2018/04/10 | 2018/12/27 | 25.00 |
| 59828 | Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Jul 2018 B | 200,000,000 | 2018/04/10 | 2018/07/30 | 50.00 |
| 59764 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 J | 200,000,000 | 2018/04/10 | 2020/01/30 | 50.00 |
| 59765 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 K | 200,000,000 | 2018/04/10 | 2020/01/30 | 50.00 |
| 59820 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 L | 200,000,000 | 2018/04/10 | 2020/01/30 | 50.00 |
| 59770 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 E | 200,000,000 | 2018/04/10 | 2018/09/27 | 50.00 |
| 59771 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/04/10 | 2018/09/27 | 50.00 |
| 59772 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/04/10 | 2018/09/27 | 50.00 |
| 59768 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 D | 100,000,000 | 2018/04/10 | 2018/12/27 | 25.00 |
| 59766 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 Y | 100,000,000 | 2018/04/10 | 2018/12/27 | 25.00 |
| 59767 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 Z | 100,000,000 | 2018/04/10 | 2018/12/31 | 25.00 |
| 59829 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 E | 100,000,000 | 2018/04/10 | 2018/12/27 | 25.00 |
| 59830 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 E | 80,000,000 | 2018/04/10 | 2018/12/31 | 20.00 |
| 59831 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 F | 80,000,000 | 2018/04/10 | 2018/12/27 | 42.40 |
| 59760 | HK Bank – BYD Company R Bull CBBC Sep 2018 E | 50,000,000 | 2018/04/10 | 2018/09/24 | 12.50 |
| 59762 | HK Bank – China Mobile R Bull CBBC Oct 2018 K | 50,000,000 | 2018/04/10 | 2018/10/18 | 12.50 |
| 59763 | HK Bank – CNOOC R Bull CBBC Oct 2018 J | 50,000,000 | 2018/04/10 | 2018/10/22 | 12.50 |
| 59755 | HK Bank – Sinopec Corp R Bull CBBC Nov 2018 B | 80,000,000 | 2018/04/10 | 2018/11/12 | 20.00 |
| 59761 | HK Bank – Geely Auto R Bull CBBC Sep 2018 R | 40,000,000 | 2018/04/10 | 2018/09/03 | 10.00 |
| 59752 | HK Bank – HSI R Bull CBBC Dec 2018 L | 150,000,000 | 2018/04/10 | 2018/12/28 | 37.50 |
| 59757 | HK Bank – HSI R Bear CBBC Aug 2018 R | 100,000,000 | 2018/04/10 | 2018/08/30 | 25.00 |
| 59759 | HK Bank – HSI R Bear CBBC Aug 2018 S | 150,000,000 | 2018/04/10 | 2018/08/30 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59754 | HK Bank – NCI R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/10 | 2018/11/19 | 10.00 |
| 59751 | HK Bank – Ping An R Bull CBBC Oct 2018 H | 100,000,000 | 2018/04/10 | 2018/10/25 | 25.00 |
| 59758 | HK Bank – Ping An R Bear CBBC Oct 2018 I | 80,000,000 | 2018/04/10 | 2018/10/11 | 20.00 |
| 59750 | HK Bank – Tencent R Bull CBBC Aug 2018 D | 60,000,000 | 2018/04/10 | 2018/08/09 | 15.00 |
| 59756 | HK Bank – Tencent R Bear CBBC Oct 2018 M | 50,000,000 | 2018/04/10 | 2018/10/11 | 12.50 |
| 59826 | SG Issuer – HSI R Bull CBBC Oct 2019 D | 200,000,000 | 2018/04/10 | 2019/10/30 | 50.00 |
| 59827 | SG Issuer – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/04/10 | 2019/12/30 | 50.00 |
| 59824 | SG Issuer – HSI R Bear CBBC Nov 2018 G | 200,000,000 | 2018/04/10 | 2018/11/29 | 50.00 |
| 59825 | SG Issuer – HSI R Bear CBBC Dec 2018 O | 200,000,000 | 2018/04/10 | 2018/12/28 | 50.00 |
| 59821 | SG Issuer – Ping An R Bull CBBC Nov 2018 N | 100,000,000 | 2018/04/10 | 2018/11/23 | 25.00 |
| 59822 | SG Issuer – Ping An R Bull CBBC Dec 2018 T | 100,000,000 | 2018/04/10 | 2018/12/14 | 25.00 |
| 59823 | SG Issuer – Tencent R Bull CBBC Dec 2018 W | 80,000,000 | 2018/04/10 | 2018/12/07 | 20.00 |
| 59802 | UBS AG – BOCL R Bear CBBC Sep 2018 A | 50,000,000 | 2018/04/10 | 2018/09/17 | 12.50 |
| 59783 | UBS AG – China Mobile R Bull CBBC Sep 2018 B | 80,000,000 | 2018/04/10 | 2018/09/24 | 20.00 |
| 59781 | UBS AG – CC Bank R Bull CBBC Aug 2018 K | 80,000,000 | 2018/04/10 | 2018/08/16 | 20.00 |
| 59782 | UBS AG – CC Bank R Bull CBBC Oct 2018 M | 80,000,000 | 2018/04/10 | 2018/10/22 | 20.00 |
| 59800 | UBS AG – CC Bank R Bear CBBC Sep 2018 A | 50,000,000 | 2018/04/10 | 2018/09/24 | 12.50 |
| 59787 | UBS AG – CSOP A50 ETF R Bull CBBC Sep 2018 F | 50,000,000 | 2018/04/10 | 2018/09/17 | 12.50 |
| 59786 | UBS AG – CSOP A50 ETF R Bull CBBC Oct 2018 E | 50,000,000 | 2018/04/10 | 2018/10/22 | 12.50 |
| 59788 | UBS AG – A50 China R Bull CBBC Sep 2018 C | 50,000,000 | 2018/04/10 | 2018/09/24 | 12.50 |
| 59789 | UBS AG – A50 China R Bull CBBC Oct 2018 A | 50,000,000 | 2018/04/10 | 2018/10/08 | 12.50 |
| 59793 | UBS AG – Galaxy Ent R Bull CBBC Aug 2018 D | 40,000,000 | 2018/04/10 | 2018/08/20 | 10.00 |
| 59803 | UBS AG – Galaxy Ent R Bear CBBC Aug 2018 A | 40,000,000 | 2018/04/10 | 2018/08/20 | 10.00 |
| 59777 | UBS AG – HKEX R Bull CBBC Oct 2018 B | 50,000,000 | 2018/04/10 | 2018/10/08 | 12.50 |
| 59776 | UBS AG – HKEX R Bull CBBC Oct 2018 Z | 50,000,000 | 2018/04/10 | 2018/10/22 | 12.50 |
| 59778 | UBS AG – HKEX R Bull CBBC Nov 2018 I | 50,000,000 | 2018/04/10 | 2018/11/19 | 12.50 |
| 59794 | UBS AG – HKEX R Bear CBBC Jul 2018 B | 50,000,000 | 2018/04/10 | 2018/07/16 | 12.50 |
| 59795 | UBS AG – HKEX R Bear CBBC Oct 2018 A | 50,000,000 | 2018/04/10 | 2018/10/15 | 14.00 |
| 59775 | UBS AG – HSBC R Bull CBBC Sep 2018 L | 80,000,000 | 2018/04/10 | 2018/09/10 | 20.00 |
| 59792 | UBS AG – HSBC R Bear CBBC Aug 2018 B | 50,000,000 | 2018/04/10 | 2018/08/20 | 12.50 |
| 59773 | UBS AG – HSI R Bull CBBC Sep 2019 B | 250,000,000 | 2018/04/10 | 2019/09/27 | 62.50 |
| 59809 | UBS AG – HSI R Bull CBBC Dec 2019 E | 250,000,000 | 2018/04/10 | 2019/12/30 | 62.50 |
| 59807 | UBS AG – HSI R Bear CBBC Aug 2018 J | 250,000,000 | 2018/04/10 | 2018/08/30 | 62.50 |
| 59804 | UBS AG – HSI R Bear CBBC Aug 2018 S | 250,000,000 | 2018/04/10 | 2018/08/30 | 62.50 |
| 59805 | UBS AG – HSI R Bear CBBC Aug 2018 T | 250,000,000 | 2018/04/10 | 2018/08/30 | 62.50 |
| 59806 | UBS AG – HSI R Bear CBBC Sep 2018 J | 250,000,000 | 2018/04/10 | 2018/09/27 | 62.50 |
| 59808 | UBS AG – HSI R Bear CBBC Sep 2018 K | 250,000,000 | 2018/04/10 | 2018/09/27 | 62.50 |
| 59784 | UBS AG – ICBC R Bull CBBC Sep 2018 H | 100,000,000 | 2018/04/10 | 2018/09/03 | 25.00 |
| 59785 | UBS AG – ICBC R Bull CBBC Oct 2018 I | 100,000,000 | 2018/04/10 | 2018/10/15 | 25.00 |
| 59801 | UBS AG – ICBC R Bear CBBC Sep 2018 A | 50,000,000 | 2018/04/10 | 2018/09/17 | 12.50 |
| 59779 | UBS AG – Ping An R Bull CBBC Oct 2018 S | 80,000,000 | 2018/04/10 | 2018/10/24 | 20.00 |
| 59780 | UBS AG – Ping An R Bull CBBC Nov 2018 P | 80,000,000 | 2018/04/10 | 2018/11/05 | 20.00 |
| 59798 | UBS AG – Ping An R Bear CBBC Oct 2018 A | 80,000,000 | 2018/04/10 | 2018/10/15 | 20.00 |
| 59799 | UBS AG – Ping An R Bear CBBC Oct 2018 B | 80,000,000 | 2018/04/10 | 2018/10/29 | 20.00 |
| 59774 | UBS AG – Tencent R Bull CBBC Sep 2018 W | 30,000,000 | 2018/04/10 | 2018/09/24 | 10.20 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59791 | UBS AG – Tencent R Bull CBBC Sep 2018 X | 30,000,000 | 2018/04/10 | 2018/09/10 | 12.75 |
| 59790 | UBS AG – Tencent R Bull CBBC Oct 2018 P | 30,000,000 | 2018/04/10 | 2018/10/08 | 11.55 |
| 59796 | UBS AG – Tencent R Bear CBBC Jul 2018 F | 30,000,000 | 2018/04/10 | 2018/07/30 | 15.90 |
| 59797 | UBS AG – Tencent R Bear CBBC Aug 2018 D | 30,000,000 | 2018/04/10 | 2018/08/20 | 10.20 |
| 59833 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 R | 250,000,000 | 2018/04/11 | 2019/12/30 | 62.50 |
| 59856 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 S | 250,000,000 | 2018/04/11 | 2019/12/30 | 62.50 |
| 59860 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 T | 250,000,000 | 2018/04/11 | 2019/12/30 | 62.50 |
| 59854 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 C | 250,000,000 | 2018/04/11 | 2018/07/30 | 62.50 |
| 59859 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 H | 250,000,000 | 2018/04/11 | 2018/07/30 | 62.50 |
| 59855 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 X | 50,000,000 | 2018/04/11 | 2018/09/27 | 12.50 |
| 59857 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 H | 50,000,000 | 2018/04/11 | 2018/11/29 | 12.50 |
| 59858 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 P | 50,000,000 | 2018/04/11 | 2018/11/29 | 12.50 |
| 59910 | Credit Suisse AG – CC Bank R Bull CBBC Sep 2018 L | 80,000,000 | 2018/04/11 | 2018/09/27 | 20.00 |
| 59919 | Credit Suisse AG – CPIC R Bull CBBC Dec 2018 C | 50,000,000 | 2018/04/11 | 2018/12/28 | 12.50 |
| 59920 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Nov 2018 B | 50,000,000 | 2018/04/11 | 2018/11/29 | 12.50 |
| 59916 | Credit Suisse AG – HKEX R Bull CBBC Sep 2018 T | 50,000,000 | 2018/04/11 | 2018/09/27 | 12.50 |
| 59915 | Credit Suisse AG – HSBC R Bull CBBC Nov 2018 D | 70,000,000 | 2018/04/11 | 2018/11/29 | 17.50 |
| 59914 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 A | 70,000,000 | 2018/04/11 | 2018/12/28 | 17.50 |
| 59921 | Credit Suisse AG – HSI R Bull CBBC Apr 2019 I | 200,000,000 | 2018/04/11 | 2019/04/29 | 50.00 |
| 59907 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 R | 200,000,000 | 2018/04/11 | 2019/07/30 | 50.00 |
| 59908 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 F | 200,000,000 | 2018/04/11 | 2019/09/27 | 50.00 |
| 59912 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 B | 200,000,000 | 2018/04/11 | 2018/08/30 | 50.00 |
| 59913 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 F | 200,000,000 | 2018/04/11 | 2018/10/30 | 50.00 |
| 59911 | Credit Suisse AG – Ping An R Bull CBBC Nov 2018 R | 80,000,000 | 2018/04/11 | 2018/11/29 | 20.00 |
| 59909 | Credit Suisse AG – Tencent R Bull CBBC Sep 2018 I | 50,000,000 | 2018/04/11 | 2018/09/27 | 12.50 |
| 59917 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 N | 50,000,000 | 2018/04/11 | 2018/11/29 | 19.00 |
| 59918 | Credit Suisse AG – Tencent R Bear CBBC Oct 2018 E | 50,000,000 | 2018/04/11 | 2018/10/30 | 12.50 |
| 59853 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 P | 100,000,000 | 2018/04/11 | 2018/12/27 | 25.00 |
| 59923 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2018 P | 100,000,000 | 2018/04/11 | 2018/12/31 | 25.00 |
| 59852 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 H | 100,000,000 | 2018/04/11 | 2018/12/27 | 25.00 |
| 59924 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/11 | 2018/12/31 | 25.00 |
| 59869 | Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Dec 2019 I | 200,000,000 | 2018/04/11 | 2019/12/30 | 50.00 |
| 59848 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/04/11 | 2019/11/28 | 50.00 |
| 59847 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/04/11 | 2019/12/30 | 50.00 |
| 59849 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/04/11 | 2019/12/30 | 50.00 |
| 59866 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 H | 200,000,000 | 2018/04/11 | 2018/09/27 | 50.00 |
| 59867 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/04/11 | 2018/09/27 | 50.20 |
| 59868 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/04/11 | 2018/09/27 | 50.00 |
| 59850 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 T | 100,000,000 | 2018/04/11 | 2018/10/22 | 25.00 |
| 59851 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 U | 100,000,000 | 2018/04/11 | 2018/10/23 | 25.00 |
| 59843 | HK Bank – BYD Company R Bull CBBC Dec 2018 A | 50,000,000 | 2018/04/11 | 2018/12/24 | 12.50 |
| 59844 | HK Bank – Galaxy Ent R Bull CBBC Oct 2018 F | 80,000,000 | 2018/04/11 | 2018/10/25 | 20.00 |
| 59835 | HK Bank – HKEX R Bull CBBC Sep 2018 X | 50,000,000 | 2018/04/11 | 2018/09/27 | 12.50 |
| 59842 | HK Bank – HKEX R Bear CBBC Oct 2018 J | 40,000,000 | 2018/04/11 | 2018/10/15 | 10.00 |
| 59840 | HK Bank – HSCEI R Bear CBBC Aug 2018 G | 50,000,000 | 2018/04/11 | 2018/08/30 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59837 | HK Bank – HSI R Bull CBBC Dec 2018 B | 100,000,000 | 2018/04/11 | 2018/12/28 | 25.00 |
| 59845 | HK Bank – HSI R Bear CBBC Aug 2018 T | 100,000,000 | 2018/04/11 | 2018/08/30 | 25.00 |
| 59839 | HK Bank – HSI R Bear CBBC Sep 2018 B | 150,000,000 | 2018/04/11 | 2018/09/27 | 37.50 |
| 59838 | HK Bank – Ping An R Bull CBBC Oct 2018 P | 100,000,000 | 2018/04/11 | 2018/10/08 | 25.00 |
| 59836 | HK Bank – Tencent R Bull CBBC Sep 2018 J | 60,000,000 | 2018/04/11 | 2018/09/27 | 15.00 |
| 59841 | HK Bank – Tencent R Bear CBBC Oct 2018 N | 50,000,000 | 2018/04/11 | 2018/10/29 | 12.50 |
| 59846 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 G | 13,700,000 | 2018/04/11 | 2018/10/15 | 10.00 |
| 59899 | SG Issuer – CSOP A50 ETF R Bull CBBC Dec 2018 A | 40,000,000 | 2018/04/11 | 2018/12/10 | 10.00 |
| 59893 | SG Issuer – HKEX R Bull CBBC Dec 2018 O | 50,000,000 | 2018/04/11 | 2018/12/07 | 12.50 |
| 59894 | SG Issuer – HSBC R Bull CBBC Nov 2018 Q | 100,000,000 | 2018/04/11 | 2018/11/30 | 25.00 |
| 59895 | SG Issuer – HSBC R Bull CBBC Dec 2018 K | 100,000,000 | 2018/04/11 | 2018/12/14 | 25.00 |
| 59903 | SG Issuer – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/04/11 | 2019/10/30 | 50.00 |
| 59904 | SG Issuer – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/04/11 | 2019/11/28 | 50.00 |
| 59905 | SG Issuer – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/04/11 | 2019/11/28 | 50.00 |
| 59906 | SG Issuer – HSI R Bull CBBC Dec 2019 T | 200,000,000 | 2018/04/11 | 2019/12/30 | 50.00 |
| 59902 | SG Issuer – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/11 | 2018/08/30 | 50.00 |
| 59896 | SG Issuer – Tencent R Bull CBBC Oct 2018 V | 80,000,000 | 2018/04/11 | 2018/10/26 | 20.00 |
| 59897 | SG Issuer – Tencent R Bull CBBC Nov 2018 F | 80,000,000 | 2018/04/11 | 2018/11/30 | 20.00 |
| 59898 | SG Issuer – Tencent R Bull CBBC Dec 2018 X | 80,000,000 | 2018/04/11 | 2018/12/07 | 20.00 |
| 59901 | SG Issuer – Tencent R Bull CBBC Dec 2018 Y | 80,000,000 | 2018/04/11 | 2018/12/07 | 20.00 |
| 59900 | SG Issuer – Tencent R Bear CBBC Oct 2018 K | 80,000,000 | 2018/04/11 | 2018/10/30 | 20.00 |
| 59887 | UBS AG – BOCL R Bear CBBC Sep 2018 B | 50,000,000 | 2018/04/11 | 2018/09/03 | 12.50 |
| 59886 | UBS AG – China Mobile R Bear CBBC Aug 2018 A | 80,000,000 | 2018/04/11 | 2018/08/06 | 20.00 |
| 59892 | UBS AG – CM Bank R Bull CBBC Jan 2019 A | 40,000,000 | 2018/04/11 | 2019/01/14 | 10.00 |
| 59885 | UBS AG – CNOOC R Bear CBBC Oct 2018 A | 40,000,000 | 2018/04/11 | 2018/10/29 | 10.00 |
| 59881 | UBS AG – Sinopec Corp R Bull CBBC Oct 2018 A | 50,000,000 | 2018/04/11 | 2018/10/15 | 12.50 |
| 59882 | UBS AG – Sinopec Corp R Bull CBBC Oct 2018 B | 50,000,000 | 2018/04/11 | 2018/10/29 | 12.50 |
| 59880 | UBS AG – Geely Auto R Bear CBBC Sep 2018 A | 40,000,000 | 2018/04/11 | 2018/09/10 | 10.00 |
| 59879 | UBS AG – HSBC R Bull CBBC Sep 2018 M | 50,000,000 | 2018/04/11 | 2018/09/24 | 17.00 |
| 59888 | UBS AG – HSCFI R Bull CBBC Oct 2019 D | 100,000,000 | 2018/04/11 | 2019/10/30 | 25.00 |
| 59874 | UBS AG – HSI R Bull CBBC Oct 2019 A | 250,000,000 | 2018/04/11 | 2019/10/30 | 62.50 |
| 59875 | UBS AG – HSI R Bull CBBC Oct 2019 C | 250,000,000 | 2018/04/11 | 2019/10/30 | 62.50 |
| 59873 | UBS AG – HSI R Bull CBBC Oct 2019 L | 250,000,000 | 2018/04/11 | 2019/10/30 | 62.50 |
| 59872 | UBS AG – HSI R Bull CBBC Nov 2019 G | 250,000,000 | 2018/04/11 | 2019/11/28 | 62.50 |
| 59871 | UBS AG – HSI R Bull CBBC Dec 2019 F | 250,000,000 | 2018/04/11 | 2019/12/30 | 62.50 |
| 59922 | UBS AG – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/04/11 | 2019/12/30 | 50.00 |
| 59889 | UBS AG – HSI R Bear CBBC Jul 2018 Y | 250,000,000 | 2018/04/11 | 2018/07/30 | 62.50 |
| 59890 | UBS AG – HSI R Bear CBBC Aug 2018 U | 250,000,000 | 2018/04/11 | 2018/08/30 | 62.50 |
| 59891 | UBS AG – HSI R Bear CBBC Aug 2018 V | 250,000,000 | 2018/04/11 | 2018/08/30 | 62.50 |
| 59884 | UBS AG – Petrochina R Bear CBBC Oct 2018 A | 40,000,000 | 2018/04/11 | 2018/10/15 | 10.00 |
| 59876 | UBS AG – Tencent R Bull CBBC Sep 2018 Y | 30,000,000 | 2018/04/11 | 2018/09/17 | 10.20 |
| 59877 | UBS AG – Tencent R Bull CBBC Sep 2018 Z | 30,000,000 | 2018/04/11 | 2018/09/19 | 10.20 |
| 59878 | UBS AG – Tencent R Bull CBBC Oct 2018 Q | 30,000,000 | 2018/04/11 | 2018/10/10 | 10.20 |
| 59883 | UBS AG – Tencent R Bear CBBC Aug 2018 E | 30,000,000 | 2018/04/11 | 2018/08/22 | 10.20 |
| 59861 | Bank Vontobel – HSI R Bull CBBC Nov 2018 P | 40,000,000 | 2018/04/11 | 2018/11/29 | 10.24 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59862 | Bank Vontobel – HSI R Bull CBBC Nov 2018 Q | 40,000,000 | 2018/04/11 | 2018/11/29 | 10.24 |
| 59863 | Bank Vontobel – HSI R Bear CBBC Oct 2018 H | 40,000,000 | 2018/04/11 | 2018/10/30 | 10.32 |
| 59864 | Bank Vontobel – HSI R Bear CBBC Oct 2018 I | 40,000,000 | 2018/04/11 | 2018/10/30 | 10.28 |
| 59865 | Bank Vontobel – HSI R Bear CBBC Oct 2018 J | 40,000,000 | 2018/04/11 | 2018/10/30 | 10.24 |
| 59977 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 U | 250,000,000 | 2018/04/12 | 2019/12/30 | 62.50 |
| 59983 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 V | 250,000,000 | 2018/04/12 | 2019/12/30 | 62.50 |
| 59982 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 A | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |
| 59981 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 D | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |
| 59934 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 I | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |
| 59979 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 T | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |
| 59980 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 U | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |
| 59978 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 O | 50,000,000 | 2018/04/12 | 2018/11/29 | 12.50 |
| 60018 | Credit Suisse AG – HKEX R Bull CBBC Oct 2018 V | 50,000,000 | 2018/04/12 | 2018/10/30 | 12.50 |
| 60036 | Credit Suisse AG – HSI R Bull CBBC Aug 2018 F | 200,000,000 | 2018/04/12 | 2018/08/30 | 50.00 |
| 60037 | Credit Suisse AG – HSI R Bull CBBC Aug 2018 G | 200,000,000 | 2018/04/12 | 2018/08/30 | 50.00 |
| 60006 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 W | 200,000,000 | 2018/04/12 | 2019/03/28 | 50.00 |
| 60007 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 S | 200,000,000 | 2018/04/12 | 2019/07/30 | 50.00 |
| 60008 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/04/12 | 2018/08/30 | 50.00 |
| 60041 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 G | 200,000,000 | 2018/04/12 | 2018/08/30 | 50.00 |
| 60043 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/04/12 | 2018/08/30 | 50.00 |
| 60050 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/04/12 | 2018/09/27 | 50.00 |
| 60052 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/04/12 | 2018/09/27 | 50.00 |
| 60011 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 T | 50,000,000 | 2018/04/12 | 2018/10/30 | 12.50 |
| 60016 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 U | 50,000,000 | 2018/04/12 | 2018/10/30 | 20.00 |
| 60009 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 O | 50,000,000 | 2018/04/12 | 2018/11/29 | 12.50 |
| 60055 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 P | 50,000,000 | 2018/04/12 | 2018/11/29 | 18.50 |
| 60096 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Feb 2019 A | 100,000,000 | 2018/04/12 | 2019/02/27 | 25.00 |
| 60098 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 E | 100,000,000 | 2018/04/12 | 2018/12/31 | 25.00 |
| 60102 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 F | 100,000,000 | 2018/04/12 | 2018/12/31 | 25.00 |
| 59928 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 S | 200,000,000 | 2018/04/12 | 2019/10/30 | 50.00 |
| 59945 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 T | 200,000,000 | 2018/04/12 | 2019/10/30 | 50.00 |
| 59946 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 V | 200,000,000 | 2018/04/12 | 2019/10/30 | 50.00 |
| 60061 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 E | 200,000,000 | 2018/04/12 | 2018/10/30 | 50.00 |
| 60070 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 F | 200,000,000 | 2018/04/12 | 2018/10/30 | 50.20 |
| 60079 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 G | 200,000,000 | 2018/04/12 | 2018/10/30 | 50.00 |
| 59948 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Dec 2018 U | 100,000,000 | 2018/04/12 | 2018/12/31 | 25.00 |
| 59927 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 V | 100,000,000 | 2018/04/12 | 2018/10/22 | 25.00 |
| 59947 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 W | 100,000,000 | 2018/04/12 | 2018/10/22 | 25.00 |
| 59949 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 X | 100,000,000 | 2018/04/12 | 2018/10/22 | 25.00 |
| 60089 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 F | 100,000,000 | 2018/04/12 | 2018/12/31 | 25.00 |
| 60091 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 G | 80,000,000 | 2018/04/12 | 2018/12/31 | 24.08 |
| 60092 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 H | 80,000,000 | 2018/04/12 | 2018/12/31 | 33.52 |
| 60094 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 G | 80,000,000 | 2018/04/12 | 2018/12/31 | 20.00 |
| 59933 | HK Bank – Sinopec Corp R Bull CBBC Oct 2018 G | 80,000,000 | 2018/04/12 | 2018/10/29 | 20.00 |
| 59932 | HK Bank – HKEX R Bull CBBC Oct 2018 V | 50,000,000 | 2018/04/12 | 2018/10/04 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59939 | HK Bank – HSI R Bull CBBC Dec 2018 D | 150,000,000 | 2018/04/12 | 2018/12/28 | 37.50 |
| 59930 | HK Bank – HSI R Bear CBBC Aug 2018 U | 150,000,000 | 2018/04/12 | 2018/08/30 | 37.50 |
| 59931 | HK Bank – HSI R Bear CBBC Sep 2018 D | 100,000,000 | 2018/04/12 | 2018/09/27 | 25.00 |
| 59929 | HK Bank – Tencent R Bull CBBC Sep 2018 K | 60,000,000 | 2018/04/12 | 2018/09/13 | 15.00 |
| 59944 | Macquarie Bank Ltd. – AAC Tech R Bull CBBC Apr 2019 A | 19,000,000 | 2018/04/12 | 2019/04/15 | 10.07 |
| 59943 | Macquarie Bank Ltd. – BYD Company R Bull CBBC Apr 2019 A | 40,000,000 | 2018/04/12 | 2019/04/15 | 10.00 |
| 59941 | Macquarie Bank Ltd. – China Mobile R Bull CBBC Oct 2018 A | 40,000,000 | 2018/04/12 | 2018/10/30 | 10.00 |
| 59940 | Macquarie Bank Ltd. – China Mobile R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/12 | 2018/11/29 | 10.16 |
| 59925 | Macquarie Bank Ltd. – Geely Auto R Bull CBBC Nov 2018 B | 15,000,000 | 2018/04/12 | 2018/11/29 | 10.17 |
| 59926 | Macquarie Bank Ltd. – Geely Auto R Bull CBBC Nov 2018 C | 16,000,000 | 2018/04/12 | 2018/11/29 | 10.19 |
| 59942 | Macquarie Bank Ltd. – PetroChina R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/12 | 2018/11/29 | 10.00 |
| 59990 | SG Issuer – HKEX R Bull CBBC Nov 2018 T | 50,000,000 | 2018/04/12 | 2018/11/19 | 12.50 |
| 59998 | SG Issuer – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/04/12 | 2019/11/28 | 50.00 |
| 59999 | SG Issuer – HSI R Bull CBBC Dec 2019 U | 200,000,000 | 2018/04/12 | 2019/12/30 | 50.00 |
| 59992 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/04/12 | 2018/09/27 | 50.00 |
| 59993 | SG Issuer – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/04/12 | 2018/09/27 | 50.00 |
| 59994 | SG Issuer – HSI R Bear CBBC Oct 2018 I | 200,000,000 | 2018/04/12 | 2018/10/30 | 50.00 |
| 59995 | SG Issuer – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/04/12 | 2018/10/30 | 50.00 |
| 59996 | SG Issuer – HSI R Bear CBBC Nov 2018 H | 200,000,000 | 2018/04/12 | 2018/11/29 | 50.00 |
| 59997 | SG Issuer – HSI R Bear CBBC Dec 2018 P | 200,000,000 | 2018/04/12 | 2018/12/28 | 50.00 |
| 59989 | SG Issuer – Tencent R Bull CBBC Nov 2018 H | 80,000,000 | 2018/04/12 | 2018/11/30 | 20.00 |
| 59991 | SG Issuer – Tencent R Bull CBBC Dec 2018 Z | 80,000,000 | 2018/04/12 | 2018/12/03 | 20.00 |
| 59967 | UBS AG – Bank of China R Bull CBBC Dec 2018 A | 60,000,000 | 2018/04/12 | 2018/12/17 | 15.00 |
| 59963 | UBS AG – BYD Company R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/12 | 2018/11/19 | 10.00 |
| 59964 | UBS AG – BYD Company R Bull CBBC Dec 2018 A | 40,000,000 | 2018/04/12 | 2018/12/03 | 10.00 |
| 59968 | UBS AG – China Life R Bear CBBC Oct 2018 A | 50,000,000 | 2018/04/12 | 2018/10/22 | 14.25 |
| 59965 | UBS AG – CSOP A50 ETF R Bull CBBC Oct 2018 F | 50,000,000 | 2018/04/12 | 2018/10/08 | 12.50 |
| 59966 | UBS AG – CSOP A50 ETF R Bull CBBC Oct 2018 G | 50,000,000 | 2018/04/12 | 2018/10/29 | 12.50 |
| 59961 | UBS AG – Geely Auto R Bull CBBC Oct 2018 A | 40,000,000 | 2018/04/12 | 2018/10/29 | 10.00 |
| 59962 | UBS AG – Geely Auto R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/12 | 2018/11/19 | 10.60 |
| 59952 | UBS AG – HKEX R Bull CBBC Oct 2018 A | 50,000,000 | 2018/04/12 | 2018/10/29 | 12.50 |
| 59953 | UBS AG – HKEX R Bull CBBC Nov 2018 J | 50,000,000 | 2018/04/12 | 2018/11/05 | 12.50 |
| 59954 | UBS AG – HKEX R Bull CBBC Nov 2018 K | 50,000,000 | 2018/04/12 | 2018/11/26 | 14.00 |
| 59969 | UBS AG – HSBC R Bull CBBC Aug 2018 F | 50,000,000 | 2018/04/12 | 2018/08/27 | 12.50 |
| 59986 | UBS AG – HSI R Bull CBBC Oct 2019 E | 250,000,000 | 2018/04/12 | 2019/10/30 | 62.50 |
| 59988 | UBS AG – HSI R Bull CBBC Oct 2019 O | 250,000,000 | 2018/04/12 | 2019/10/30 | 62.50 |
| 59950 | UBS AG – HSI R Bull CBBC Nov 2019 N | 250,000,000 | 2018/04/12 | 2019/11/28 | 62.50 |
| 59971 | UBS AG – HSI R Bull CBBC Nov 2019 O | 250,000,000 | 2018/04/12 | 2019/11/28 | 62.50 |
| 59972 | UBS AG – HSI R Bull CBBC Nov 2019 P | 250,000,000 | 2018/04/12 | 2019/11/28 | 62.50 |
| 59985 | UBS AG – HSI R Bull CBBC Nov 2019 R | 250,000,000 | 2018/04/12 | 2019/11/28 | 62.50 |
| 59987 | UBS AG – HSI R Bull CBBC Nov 2019 S | 250,000,000 | 2018/04/12 | 2019/11/28 | 62.50 |
| 59970 | UBS AG – HSI R Bull CBBC Dec 2019 J | 250,000,000 | 2018/04/12 | 2019/12/30 | 62.50 |
| 59973 | UBS AG – HSI R Bear CBBC Jul 2018 Q | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |
| 59975 | UBS AG – HSI R Bear CBBC Jul 2018 X | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |
| 59951 | UBS AG – HSI R Bear CBBC Jul 2018 Z | 250,000,000 | 2018/04/12 | 2018/07/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59974 | UBS AG – HSI R Bear CBBC Aug 2018 W | 250,000,000 | 2018/04/12 | 2018/08/30 | 62.50 |
| 59976 | UBS AG – HSI R Bear CBBC Aug 2018 X | 250,000,000 | 2018/04/12 | 2018/08/30 | 62.50 |
| 59958 | UBS AG – Ping An R Bull CBBC Oct 2018 T | 80,000,000 | 2018/04/12 | 2018/10/10 | 20.00 |
| 59959 | UBS AG – Ping An R Bull CBBC Nov 2018 Q | 80,000,000 | 2018/04/12 | 2018/11/12 | 20.00 |
| 59960 | UBS AG – Ping An R Bull CBBC Dec 2018 E | 80,000,000 | 2018/04/12 | 2018/12/03 | 20.00 |
| 59955 | UBS AG – Tencent R Bull CBBC Aug 2018 U | 30,000,000 | 2018/04/12 | 2018/08/08 | 10.20 |
| 59956 | UBS AG – Tencent R Bull CBBC Aug 2018 V | 30,000,000 | 2018/04/12 | 2018/08/15 | 10.20 |
| 59957 | UBS AG – Tencent R Bull CBBC Aug 2018 W | 30,000,000 | 2018/04/12 | 2018/08/29 | 13.80 |
| 59984 | UBS AG – Tencent R Bull CBBC Aug 2018 X | 30,000,000 | 2018/04/12 | 2018/08/20 | 10.20 |
| 59935 | Bank Vontobel – HSI R Bull CBBC Nov 2018 R | 100,000,000 | 2018/04/12 | 2018/11/29 | 25.20 |
| 59936 | Bank Vontobel – HSI R Bull CBBC Nov 2018 S | 100,000,000 | 2018/04/12 | 2018/11/29 | 25.80 |
| 59937 | Bank Vontobel – HSI R Bear CBBC Oct 2018 K | 100,000,000 | 2018/04/12 | 2018/10/30 | 26.10 |
| 59938 | Bank Vontobel – HSI R Bear CBBC Oct 2018 L | 100,000,000 | 2018/04/12 | 2018/10/30 | 25.30 |
| 60483 | Credit Suisse AG – Sinopec Corp R Bull CBBC Dec 2018 B | 60,000,000 | 2018/04/13 | 2018/12/11 | 15.00 |
| 60482 | Credit Suisse AG – HKEX R Bear CBBC Dec 2018 A | 50,000,000 | 2018/04/13 | 2018/12/11 | 12.50 |
| 60496 | Credit Suisse AG – HSBC R Bull CBBC Nov 2018 E | 80,000,000 | 2018/04/13 | 2018/11/28 | 20.00 |
| 60491 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 B | 80,000,000 | 2018/04/13 | 2018/12/12 | 20.00 |
| 60480 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 X | 200,000,000 | 2018/04/13 | 2019/03/28 | 50.00 |
| 60481 | Credit Suisse AG – HSI R Bull CBBC Aug 2019 A | 200,000,000 | 2018/04/13 | 2019/08/29 | 50.00 |
| 60479 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 G | 200,000,000 | 2018/04/13 | 2019/09/27 | 50.00 |
| 60465 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/04/13 | 2018/08/30 | 50.00 |
| 60475 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/04/13 | 2018/08/30 | 50.00 |
| 60457 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 X | 200,000,000 | 2018/04/13 | 2018/09/27 | 50.00 |
| 60473 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/04/13 | 2018/09/27 | 50.00 |
| 60489 | Credit Suisse AG – Tencent R Bull CBBC Sep 2018 D | 50,000,000 | 2018/04/13 | 2018/09/28 | 12.50 |
| 60486 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 B | 50,000,000 | 2018/04/13 | 2018/11/29 | 12.50 |
| 60302 | Bank of East Asia – HSBC R Bull CBBC Nov 2018 C | 60,000,000 | 2018/04/13 | 2018/11/06 | 15.00 |
| 60310 | Bank of East Asia – Ping An R Bull CBBC Nov 2018 J | 120,000,000 | 2018/04/13 | 2018/11/06 | 30.00 |
| 60317 | Bank of East Asia – Ping An R Bear CBBC Nov 2018 B | 40,000,000 | 2018/04/13 | 2018/11/06 | 10.00 |
| 60305 | Bank of East Asia – Sunny Optical R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/13 | 2018/11/06 | 10.00 |
| 60293 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 U | 30,000,000 | 2018/04/13 | 2018/11/06 | 10.50 |
| 60299 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 V | 30,000,000 | 2018/04/13 | 2018/11/06 | 10.50 |
| 60301 | Bank of East Asia – Tencent R Bear CBBC Nov 2018 C | 30,000,000 | 2018/04/13 | 2018/11/06 | 18.00 |
| 60194 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2018 W | 100,000,000 | 2018/04/13 | 2018/10/30 | 25.00 |
| 60176 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 M | 200,000,000 | 2018/04/13 | 2020/01/30 | 50.00 |
| 60181 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 N | 200,000,000 | 2018/04/13 | 2020/01/30 | 50.00 |
| 60137 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 S | 200,000,000 | 2018/04/13 | 2018/07/30 | 50.00 |
| 60174 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/04/13 | 2018/09/27 | 50.00 |
| 60685 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/04/13 | 2018/09/27 | 50.00 |
| 60112 | HK Bank – CSOP A50 ETF R Bear CBBC Sep 2018 A | 40,000,000 | 2018/04/13 | 2018/09/03 | 10.00 |
| 60113 | HK Bank – A50 China R Bull CBBC Oct 2018 I | 50,000,000 | 2018/04/13 | 2018/10/15 | 12.50 |
| 60111 | HK Bank – Geely Auto R Bear CBBC Oct 2018 F | 40,000,000 | 2018/04/13 | 2018/10/08 | 10.00 |
| 60115 | HK Bank – HSI R Bull CBBC Dec 2018 X | 100,000,000 | 2018/04/13 | 2018/12/28 | 25.00 |
| 60117 | HK Bank – HSI R Bear CBBC Aug 2018 V | 100,000,000 | 2018/04/13 | 2018/08/30 | 25.00 |
| 60114 | HK Bank – Tencent R Bull CBBC Oct 2018 V | 60,000,000 | 2018/04/13 | 2018/10/04 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60554 | J P Morgan SP BV – AAC Tech R Bear CBBC Jul 2018 A | 40,000,000 | 2018/04/13 | 2018/07/20 | 10.00 |
| 60439 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 T | 200,000,000 | 2018/04/13 | 2019/10/30 | 50.00 |
| 60428 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 T | 200,000,000 | 2018/04/13 | 2019/11/28 | 50.00 |
| 60424 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/04/13 | 2019/12/30 | 50.00 |
| 60340 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 L | 200,000,000 | 2018/04/13 | 2018/07/30 | 50.00 |
| 60343 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 M | 200,000,000 | 2018/04/13 | 2018/07/30 | 50.00 |
| 60348 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 D | 200,000,000 | 2018/04/13 | 2018/08/30 | 50.00 |
| 60345 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 K | 200,000,000 | 2018/04/13 | 2018/08/30 | 50.00 |
| 60369 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 A | 200,000,000 | 2018/04/13 | 2018/09/27 | 50.00 |
| 60392 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/04/13 | 2018/09/27 | 50.00 |
| 60410 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/04/13 | 2018/09/27 | 50.00 |
| 60540 | J P Morgan SP BV – Ping An R Bull CBBC Jul 2018 G | 100,000,000 | 2018/04/13 | 2018/07/20 | 25.00 |
| 60707 | J P Morgan SP BV – Ping An R Bull CBBC Aug 2018 G | 100,000,000 | 2018/04/13 | 2018/08/17 | 25.00 |
| 60717 | J P Morgan SP BV – Ping An R Bull CBBC Aug 2018 H | 100,000,000 | 2018/04/13 | 2018/08/10 | 25.00 |
| 60558 | J P Morgan SP BV – Sunny Optical R Bear CBBC Jul 2018 C | 40,000,000 | 2018/04/13 | 2018/07/20 | 10.00 |
| 60504 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 H | 50,000,000 | 2018/04/13 | 2018/07/20 | 12.50 |
| 60505 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 J | 50,000,000 | 2018/04/13 | 2018/07/20 | 12.50 |
| 60506 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 K | 50,000,000 | 2018/04/13 | 2018/07/20 | 12.50 |
| 60507 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 L | 50,000,000 | 2018/04/13 | 2018/07/20 | 16.50 |
| 60514 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 M | 50,000,000 | 2018/04/13 | 2018/07/20 | 19.50 |
| 60520 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 V | 50,000,000 | 2018/04/13 | 2018/07/20 | 12.50 |
| 60523 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 W | 50,000,000 | 2018/04/13 | 2018/07/20 | 12.50 |
| 60285 | Macquarie Bank Ltd. – China Life R Bull CBBC Oct 2018 B | 20,000,000 | 2018/04/13 | 2018/10/30 | 11.70 |
| 60286 | Macquarie Bank Ltd. – China Life R Bull CBBC Oct 2018 C | 20,000,000 | 2018/04/13 | 2018/10/30 | 11.10 |
| 60278 | Macquarie Bank Ltd. – China Life R Bear CBBC Oct 2018 A | 15,000,000 | 2018/04/13 | 2018/10/30 | 10.41 |
| 60261 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 A | 11,300,000 | 2018/04/13 | 2018/11/30 | 10.01 |
| 60593 | SG Issuer – AAC Tech R Bull CBBC Jan 2019 A | 40,000,000 | 2018/04/13 | 2019/01/30 | 10.00 |
| 60564 | SG Issuer – China Mobile R Bull CBBC Feb 2019 A | 100,000,000 | 2018/04/13 | 2019/02/27 | 25.00 |
| 60569 | SG Issuer – China Mobile R Bull CBBC Mar 2019 A | 100,000,000 | 2018/04/13 | 2019/03/28 | 25.00 |
| 60562 | SG Issuer – China Mobile R Bear CBBC Oct 2018 A | 100,000,000 | 2018/04/13 | 2018/10/30 | 25.00 |
| 60613 | SG Issuer – CNOOC R Bull CBBC Mar 2019 A | 40,000,000 | 2018/04/13 | 2019/03/28 | 10.00 |
| 60594 | SG Issuer – Sinopec Corp R Bull CBBC Jan 2019 A | 40,000,000 | 2018/04/13 | 2019/01/30 | 10.00 |
| 60604 | SG Issuer – Sinopec Corp R Bull CBBC Feb 2019 A | 40,000,000 | 2018/04/13 | 2019/02/27 | 10.00 |
| 60626 | SG Issuer – HKEX R Bull CBBC Aug 2018 F | 50,000,000 | 2018/04/13 | 2018/08/29 | 12.50 |
| 60627 | SG Issuer – HKEX R Bull CBBC Sep 2018 L | 50,000,000 | 2018/04/13 | 2018/09/26 | 12.50 |
| 60573 | SG Issuer – HKEX R Bear CBBC Sep 2018 H | 50,000,000 | 2018/04/13 | 2018/09/27 | 12.50 |
| 60588 | SG Issuer – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/04/13 | 2019/11/28 | 50.00 |
| 60585 | SG Issuer – HSI R Bull CBBC Nov 2019 T | 200,000,000 | 2018/04/13 | 2019/11/28 | 50.00 |
| 60590 | SG Issuer – HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/04/13 | 2019/12/30 | 50.00 |
| 60582 | SG Issuer – HSI R Bear CBBC Nov 2018 I | 200,000,000 | 2018/04/13 | 2018/11/29 | 50.00 |
| 60583 | SG Issuer – HSI R Bear CBBC Dec 2018 Q | 200,000,000 | 2018/04/13 | 2018/12/28 | 50.00 |
| 60629 | SG Issuer – Ping An R Bull CBBC Feb 2019 A | 100,000,000 | 2018/04/13 | 2019/02/27 | 25.00 |
| 60639 | SG Issuer – Ping An R Bull CBBC Mar 2019 A | 100,000,000 | 2018/04/13 | 2019/03/28 | 25.00 |
| 60577 | SG Issuer – Ping An R Bear CBBC Nov 2018 E | 100,000,000 | 2018/04/13 | 2018/11/29 | 25.00 |
| 60645 | SG Issuer – Sunny Optical R Bull CBBC Nov 2018 E | 40,000,000 | 2018/04/13 | 2018/11/29 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60649 | SG Issuer – Tencent R Bull CBBC Aug 2018 T | 80,000,000 | 2018/04/13 | 2018/08/30 | 20.00 |
| 60652 | SG Issuer – Tencent R Bull CBBC Sep 2018 A | 80,000,000 | 2018/04/13 | 2018/09/27 | 20.00 |
| 60580 | SG Issuer – Tencent R Bear CBBC Aug 2018 M | 80,000,000 | 2018/04/13 | 2018/08/28 | 20.00 |
| 60339 | UBS AG – HSI R Bull CBBC Dec 2019 A | 250,000,000 | 2018/04/13 | 2019/12/30 | 62.50 |
| 60335 | UBS AG – HSI R Bull CBBC Dec 2019 K | 250,000,000 | 2018/04/13 | 2019/12/30 | 62.50 |
| 60318 | UBS AG – HSI R Bear CBBC Aug 2018 N | 250,000,000 | 2018/04/13 | 2018/08/30 | 62.50 |
| 60321 | UBS AG – HSI R Bear CBBC Sep 2018 L | 250,000,000 | 2018/04/13 | 2018/09/27 | 62.50 |
| 60334 | UBS AG – HSI R Bear CBBC Sep 2018 M | 250,000,000 | 2018/04/13 | 2018/09/27 | 62.50 |
| 60211 | Bank Vontobel – HSI R Bull CBBC Nov 2018 T | 100,000,000 | 2018/04/13 | 2018/11/29 | 25.30 |
| 60103 | Bank Vontobel – HSI R Bear CBBC Oct 2018 M | 100,000,000 | 2018/04/13 | 2018/10/30 | 25.20 |
| 60206 | Bank Vontobel – HSI R Bear CBBC Oct 2018 N | 100,000,000 | 2018/04/13 | 2018/10/30 | 25.50 |
| 60204 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 Q | 40,000,000 | 2018/04/13 | 2018/09/26 | 24.28 |
| 60234 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 R | 40,000,000 | 2018/04/13 | 2018/09/28 | 10.92 |
| 60260 | Bank Vontobel – Tencent R Bull CBBC Sep 2018 S | 40,000,000 | 2018/04/13 | 2018/09/27 | 10.36 |
| 60220 | Bank Vontobel – Tencent R Bear CBBC Jul 2018 Q | 40,000,000 | 2018/04/13 | 2018/07/27 | 10.32 |
| 60719 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 R | 100,000,000 | 2018/04/16 | 2018/11/29 | 25.00 |
| 60738 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18 A | 60,000,000 | 2018/04/16 | 2018/11/29 | 15.00 |
| 60739 | BNP Paribas Issuance B.V. – Galaxy Ent R Bull CBBC Nov 2018 B | 150,000,000 | 2018/04/16 | 2018/11/29 | 37.50 |
| 60747 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 G | 50,000,000 | 2018/04/16 | 2018/08/30 | 12.50 |
| 60767 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2018 S | 50,000,000 | 2018/04/16 | 2018/07/30 | 12.50 |
| 60740 | BNP Paribas Issuance B.V. – HSBC R Bull CBBC Nov 2018 B | 150,000,000 | 2018/04/16 | 2018/11/29 | 37.50 |
| 60746 | BNP Paribas Issuance B.V. – HSBC R Bear CBBC Sep 2018 E | 150,000,000 | 2018/04/16 | 2018/09/27 | 37.50 |
| 60973 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 W | 250,000,000 | 2018/04/16 | 2019/12/30 | 62.50 |
| 60976 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/04/16 | 2019/12/30 | 62.50 |
| 60982 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Y | 250,000,000 | 2018/04/16 | 2019/12/30 | 62.50 |
| 60967 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 B | 250,000,000 | 2018/04/16 | 2018/07/30 | 62.50 |
| 60968 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 Q | 250,000,000 | 2018/04/16 | 2018/07/30 | 62.50 |
| 60768 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 S | 250,000,000 | 2018/04/16 | 2018/07/30 | 62.50 |
| 61255 | Credit Suisse AG – BYD Company R Bull CBBC Nov 2018 E | 50,000,000 | 2018/04/16 | 2018/11/28 | 12.50 |
| 61251 | Credit Suisse AG – Geely Auto R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/16 | 2018/10/29 | 10.00 |
| 61250 | Credit Suisse AG – HSBC R Bull CBBC Nov 2018 F | 80,000,000 | 2018/04/16 | 2018/11/30 | 20.00 |
| 61244 | Credit Suisse AG – HSI R Bull CBBC Feb 2019 F | 200,000,000 | 2018/04/16 | 2019/02/27 | 50.00 |
| 61205 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 T | 200,000,000 | 2018/04/16 | 2019/07/30 | 50.00 |
| 61236 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 U | 200,000,000 | 2018/04/16 | 2019/07/30 | 50.00 |
| 61228 | Credit Suisse AG – HSI R Bull CBBC Aug 2019 B | 200,000,000 | 2018/04/16 | 2019/08/29 | 50.00 |
| 61177 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 A | 200,000,000 | 2018/04/16 | 2019/09/27 | 50.00 |
| 61217 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/04/16 | 2018/08/30 | 50.00 |
| 61222 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/16 | 2018/08/30 | 50.00 |
| 61169 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/04/16 | 2018/08/30 | 50.00 |
| 61216 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/04/16 | 2018/09/27 | 50.00 |
| 61165 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/04/16 | 2018/09/27 | 50.00 |
| 61260 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 F | 80,000,000 | 2018/04/16 | 2018/12/05 | 20.00 |
| 61254 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 E | 50,000,000 | 2018/04/16 | 2018/12/05 | 12.50 |
| 61380 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/04/16 | 2018/12/31 | 25.00 |
| 61357 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2018 L | 100,000,000 | 2018/04/16 | 2018/11/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60943 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2018 X | 100,000,000 | 2018/04/16 | 2018/10/30 | 25.00 |
| 61359 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 G | 100,000,000 | 2018/04/16 | 2018/12/31 | 25.00 |
| 61364 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 H | 100,000,000 | 2018/04/16 | 2018/12/31 | 25.00 |
| 60941 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |
| 61354 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |
| 60940 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 Y | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |
| 60778 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/04/16 | 2018/08/30 | 50.00 |
| 60801 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/04/16 | 2018/08/30 | 50.00 |
| 60950 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 Y | 100,000,000 | 2018/04/16 | 2018/10/30 | 25.00 |
| 60953 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 Z | 100,000,000 | 2018/04/16 | 2018/10/30 | 25.00 |
| 60827 | HK Bank – China Mobile R Bull CBBC Oct 2018 L | 50,000,000 | 2018/04/16 | 2018/10/08 | 12.50 |
| 60896 | HK Bank – China Life R Bull CBBC Sep 2018 D | 40,000,000 | 2018/04/16 | 2018/09/24 | 10.00 |
| 60917 | HK Bank – Sinopec Corp R Bull CBBC Oct 2018 H | 80,000,000 | 2018/04/16 | 2018/10/11 | 20.00 |
| 60881 | HK Bank – Geely Auto R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/16 | 2018/10/02 | 10.00 |
| 60866 | HK Bank – HKEX R Bull CBBC Oct 2018 X | 50,000,000 | 2018/04/16 | 2018/10/11 | 12.50 |
| 60871 | HK Bank – HSI R Bull CBBC Dec 2018 E | 150,000,000 | 2018/04/16 | 2018/12/28 | 37.50 |
| 60877 | HK Bank – HSI R Bear CBBC Aug 2018 W | 150,000,000 | 2018/04/16 | 2018/08/30 | 37.50 |
| 60845 | HK Bank – NCI R Bull CBBC Dec 2018 A | 40,000,000 | 2018/04/16 | 2018/12/17 | 10.00 |
| 60919 | HK Bank – Sunny Optical R Bull CBBC Sep 2018 H | 40,000,000 | 2018/04/16 | 2018/09/17 | 10.00 |
| 61155 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2018 K | 40,000,000 | 2018/04/16 | 2018/07/20 | 10.00 |
| 61156 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2018 L | 40,000,000 | 2018/04/16 | 2018/07/20 | 10.00 |
| 61160 | J P Morgan SP BV – HSBC R Bull CBBC Aug 2018 E | 100,000,000 | 2018/04/16 | 2018/08/17 | 25.00 |
| 61130 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/04/16 | 2019/10/30 | 50.00 |
| 61137 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 F | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |
| 61126 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |
| 61125 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/04/16 | 2019/12/30 | 50.00 |
| 61133 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 H | 200,000,000 | 2018/04/16 | 2019/12/30 | 50.00 |
| 61124 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/16 | 2018/08/30 | 50.00 |
| 61119 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/04/16 | 2018/08/30 | 50.00 |
| 61120 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/04/16 | 2018/09/27 | 50.00 |
| 61121 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 E | 200,000,000 | 2018/04/16 | 2018/09/27 | 50.00 |
| 61122 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/04/16 | 2018/09/27 | 50.00 |
| 61157 | J P Morgan SP BV – Ping An R Bull CBBC Aug 2018 I | 100,000,000 | 2018/04/16 | 2018/08/17 | 25.00 |
| 61140 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 N | 50,000,000 | 2018/04/16 | 2018/07/20 | 12.50 |
| 61146 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 O | 50,000,000 | 2018/04/16 | 2018/07/20 | 12.50 |
| 61147 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 P | 50,000,000 | 2018/04/16 | 2018/07/20 | 12.50 |
| 61152 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 Q | 50,000,000 | 2018/04/16 | 2018/07/20 | 14.25 |
| 60921 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 B | 11,700,000 | 2018/04/16 | 2018/11/30 | 10.11 |
| 61312 | SG Issuer – Geely Auto R Bull CBBC Oct 2018 F | 40,000,000 | 2018/04/16 | 2018/10/22 | 10.00 |
| 61319 | SG Issuer – HKEX R Bull CBBC Jul 2018 A | 50,000,000 | 2018/04/16 | 2018/07/23 | 12.50 |
| 61326 | SG Issuer – HSBC R Bull CBBC Nov 2018 R | 100,000,000 | 2018/04/16 | 2018/11/19 | 25.00 |
| 61304 | SG Issuer – HSI R Bull CBBC Oct 2019 Q | 200,000,000 | 2018/04/16 | 2019/10/30 | 50.00 |
| 61346 | SG Issuer – HSI R Bull CBBC Oct 2019 T | 200,000,000 | 2018/04/16 | 2019/10/30 | 50.00 |
| 61309 | SG Issuer – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |
| 61353 | SG Issuer – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61311 | SG Issuer – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/04/16 | 2019/12/30 | 50.00 |
| 61276 | SG Issuer – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/04/16 | 2018/10/30 | 50.00 |
| 61280 | SG Issuer – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/04/16 | 2018/10/30 | 50.00 |
| 61281 | SG Issuer – HSI R Bear CBBC Nov 2018 J | 200,000,000 | 2018/04/16 | 2018/11/29 | 50.00 |
| 61342 | SG Issuer – HSI R Bear CBBC Dec 2018 R | 200,000,000 | 2018/04/16 | 2018/12/28 | 50.00 |
| 61331 | SG Issuer – Ping An R Bull CBBC Nov 2018 O | 100,000,000 | 2018/04/16 | 2018/11/12 | 25.00 |
| 61339 | SG Issuer – Tencent R Bull CBBC Aug 2018 X | 80,000,000 | 2018/04/16 | 2018/08/08 | 20.00 |
| 61341 | SG Issuer – Tencent R Bull CBBC Sep 2018 E | 80,000,000 | 2018/04/16 | 2018/09/24 | 20.00 |
| 61289 | SG Issuer – Tencent R Bear CBBC Sep 2018 K | 80,000,000 | 2018/04/16 | 2018/09/19 | 20.00 |
| 61086 | UBS AG – HKEX R Bull CBBC Nov 2018 L | 50,000,000 | 2018/04/16 | 2018/11/27 | 12.50 |
| 61082 | UBS AG – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/04/16 | 2019/10/30 | 50.00 |
| 61079 | UBS AG – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/04/16 | 2019/11/28 | 50.00 |
| 61070 | UBS AG – HSI R Bull CBBC Nov 2019 T | 250,000,000 | 2018/04/16 | 2019/11/28 | 62.50 |
| 61069 | UBS AG – HSI R Bull CBBC Dec 2019 B | 250,000,000 | 2018/04/16 | 2019/12/30 | 62.50 |
| 61010 | UBS AG – HSI R Bear CBBC Jul 2018 H | 200,000,000 | 2018/04/16 | 2018/07/30 | 50.00 |
| 61035 | UBS AG – HSI R Bear CBBC Jul 2018 S | 250,000,000 | 2018/04/16 | 2018/07/30 | 62.50 |
| 61022 | UBS AG – HSI R Bear CBBC Aug 2018 G | 250,000,000 | 2018/04/16 | 2018/08/30 | 62.50 |
| 61105 | UBS AG – Ping An R Bull CBBC Oct 2018 U | 80,000,000 | 2018/04/16 | 2018/10/16 | 20.00 |
| 61108 | UBS AG – Tencent R Bull CBBC Oct 2018 R | 30,000,000 | 2018/04/16 | 2018/10/08 | 10.20 |
| 60983 | Bank Vontobel – HSI R Bull CBBC Nov 2018 U | 100,000,000 | 2018/04/16 | 2018/11/29 | 25.40 |
| 61003 | Bank Vontobel – HSI R Bull CBBC Nov 2018 V | 100,000,000 | 2018/04/16 | 2018/11/29 | 25.40 |
| 60814 | Bank Vontobel – HSI R Bear CBBC Oct 2018 O | 100,000,000 | 2018/04/16 | 2018/10/30 | 25.30 |
| 60819 | Bank Vontobel – HSI R Bear CBBC Oct 2018 P | 100,000,000 | 2018/04/16 | 2018/10/30 | 25.20 |
| 61005 | Bank Vontobel – Tencent R Bull CBBC Oct 2018 A | 40,000,000 | 2018/04/16 | 2018/10/02 | 10.08 |
| 61588 | BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Nov 2018 T | 100,000,000 | 2018/04/17 | 2018/11/29 | 25.00 |
| 61597 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 C | 50,000,000 | 2018/04/17 | 2018/08/30 | 12.50 |
| 61565 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 A | 250,000,000 | 2018/04/17 | 2019/12/30 | 62.50 |
| 61633 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 B | 250,000,000 | 2018/04/17 | 2019/12/30 | 62.50 |
| 61644 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 C | 250,000,000 | 2018/04/17 | 2019/12/30 | 62.50 |
| 61558 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Z | 250,000,000 | 2018/04/17 | 2019/12/30 | 62.50 |
| 61569 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 F | 250,000,000 | 2018/04/17 | 2018/07/30 | 62.50 |
| 61568 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 R | 250,000,000 | 2018/04/17 | 2018/07/30 | 62.50 |
| 61612 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 Y | 250,000,000 | 2018/04/17 | 2018/07/30 | 62.50 |
| 61595 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 G | 200,000,000 | 2018/04/17 | 2018/11/29 | 50.00 |
| 61570 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 M | 50,000,000 | 2018/04/17 | 2018/09/27 | 12.50 |
| 62348 | Credit Suisse AG – Country Garden R Bull CBBC Jan 2019 A | 40,000,000 | 2018/04/17 | 2019/01/25 | 10.00 |
| 62331 | Credit Suisse AG – China Mobile R Bull CBBC Nov 2018 B | 70,000,000 | 2018/04/17 | 2018/11/28 | 17.50 |
| 62249 | Credit Suisse AG – CC Bank R Bull CBBC Dec 2018 E | 80,000,000 | 2018/04/17 | 2018/12/20 | 20.00 |
| 62293 | Credit Suisse AG – CC Bank R Bull CBBC Dec 2018 F | 80,000,000 | 2018/04/17 | 2018/12/07 | 20.00 |
| 62354 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 A | 50,000,000 | 2018/04/17 | 2019/01/15 | 12.50 |
| 62357 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 B | 50,000,000 | 2018/04/17 | 2019/01/18 | 12.50 |
| 62211 | Credit Suisse AG – Geely Auto R Bear CBBC Oct 2018 B | 40,000,000 | 2018/04/17 | 2018/10/31 | 12.00 |
| 62247 | Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2018 C | 50,000,000 | 2018/04/17 | 2018/11/28 | 12.50 |
| 62248 | Credit Suisse AG – Galaxy Ent R Bull CBBC Dec 2018 A | 50,000,000 | 2018/04/17 | 2018/12/04 | 12.50 |
| 62229 | Credit Suisse AG – HKEX R Bull CBBC Dec 2018 A | 50,000,000 | 2018/04/17 | 2018/12/06 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62180 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 V | 200,000,000 | 2018/04/17 | 2019/07/30 | 50.00 |
| 62178 | Credit Suisse AG – HSI R Bull CBBC Aug 2019 C | 200,000,000 | 2018/04/17 | 2019/08/29 | 50.00 |
| 62172 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 B | 200,000,000 | 2018/04/17 | 2019/09/27 | 50.00 |
| 62118 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 F | 200,000,000 | 2018/04/17 | 2018/08/30 | 50.00 |
| 62104 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Z | 200,000,000 | 2018/04/17 | 2018/08/30 | 50.00 |
| 62110 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/04/17 | 2018/09/27 | 50.00 |
| 62102 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 G | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.00 |
| 62332 | Credit Suisse AG – ICBC R Bull CBBC Dec 2018 C | 80,000,000 | 2018/04/17 | 2018/12/19 | 20.00 |
| 62200 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 G | 80,000,000 | 2018/04/17 | 2018/12/03 | 20.00 |
| 62208 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 H | 80,000,000 | 2018/04/17 | 2018/12/10 | 20.00 |
| 62342 | Credit Suisse AG – SUNAC R Bull CBBC Jan 2019 C | 100,000,000 | 2018/04/17 | 2019/01/15 | 25.00 |
| 62350 | Credit Suisse AG – Sunny Optical R Bull CBBC Nov 2018 C | 40,000,000 | 2018/04/17 | 2018/11/21 | 10.00 |
| 62405 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 V | 50,000,000 | 2018/04/17 | 2018/10/31 | 12.50 |
| 62184 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 Q | 50,000,000 | 2018/04/17 | 2018/11/13 | 12.50 |
| 61755 | Bank of East Asia – AIA R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/17 | 2018/11/08 | 10.00 |
| 61749 | Bank of East Asia – Geely Auto R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/17 | 2018/11/08 | 10.00 |
| 61744 | Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2018 F | 16,000,000 | 2018/04/17 | 2018/11/08 | 10.40 |
| 61723 | Bank of East Asia – HKEX R Bull CBBC Nov 2018 O | 40,000,000 | 2018/04/17 | 2018/11/08 | 10.00 |
| 61727 | Bank of East Asia – HKEX R Bull CBBC Nov 2018 P | 40,000,000 | 2018/04/17 | 2018/11/08 | 10.00 |
| 61741 | Bank of East Asia – HSBC R Bull CBBC Nov 2018 D | 60,000,000 | 2018/04/17 | 2018/11/08 | 15.00 |
| 61747 | Bank of East Asia – Ping An R Bull CBBC Nov 2018 K | 120,000,000 | 2018/04/17 | 2018/11/08 | 30.00 |
| 61748 | Bank of East Asia – Ping An R Bull CBBC Nov 2018 L | 120,000,000 | 2018/04/17 | 2018/11/08 | 30.00 |
| 61708 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 W | 30,000,000 | 2018/04/17 | 2018/11/08 | 10.50 |
| 61716 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 X | 30,000,000 | 2018/04/17 | 2018/11/08 | 10.50 |
| 62071 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2018 Y | 100,000,000 | 2018/04/17 | 2018/10/30 | 25.00 |
| 61864 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 B | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.20 |
| 61866 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 D | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.20 |
| 61858 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.00 |
| 61862 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.00 |
| 61502 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.00 |
| 61505 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 I | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.00 |
| 61510 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.00 |
| 61512 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.20 |
| 61514 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.20 |
| 62065 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 E | 100,000,000 | 2018/04/17 | 2018/12/31 | 25.00 |
| 62066 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 F | 100,000,000 | 2018/04/17 | 2018/12/31 | 25.00 |
| 62064 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 A | 100,000,000 | 2018/04/17 | 2018/10/30 | 25.00 |
| 61528 | HK Bank – AAC Tech R Bull CBBC Oct 2018 H | 40,000,000 | 2018/04/17 | 2018/10/22 | 10.00 |
| 61519 | HK Bank – CNOOC R Bull CBBC Oct 2018 K | 50,000,000 | 2018/04/17 | 2018/10/15 | 12.50 |
| 61448 | HK Bank – CSOP A50 ETF R Bull CBBC Oct 2018 N | 50,000,000 | 2018/04/17 | 2018/10/15 | 12.50 |
| 61522 | HK Bank – Evergrande R Bull CBBC Apr 2019 A | 100,000,000 | 2018/04/17 | 2019/04/01 | 25.00 |
| 61495 | HK Bank – A50 China R Bull CBBC Oct 2018 J | 50,000,000 | 2018/04/17 | 2018/10/08 | 12.50 |
| 61552 | HK Bank – HKEX R Bull CBBC Oct 2018 A | 50,000,000 | 2018/04/17 | 2018/10/18 | 12.50 |
| 61493 | HK Bank – HSCEI R Bull CBBC Dec 2018 S | 60,000,000 | 2018/04/17 | 2018/12/28 | 15.00 |
| 61454 | HK Bank – HSI R Bull CBBC Dec 2018 A | 150,000,000 | 2018/04/17 | 2018/12/28 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61492 | HK Bank – HSI R Bull CBBC Dec 2018 C | 150,000,000 | 2018/04/17 | 2018/12/28 | 37.50 |
| 61485 | HK Bank – HSI R Bear CBBC Aug 2018 B | 100,000,000 | 2018/04/17 | 2018/08/30 | 25.00 |
| 61524 | HK Bank – Ping An R Bull CBBC Oct 2018 F | 100,000,000 | 2018/04/17 | 2018/10/11 | 25.00 |
| 61531 | HK Bank – SUNAC R Bull CBBC Jan 2019 G | 100,000,000 | 2018/04/17 | 2019/01/21 | 25.00 |
| 61543 | HK Bank – Tencent R Bull CBBC Aug 2018 F | 60,000,000 | 2018/04/17 | 2018/08/20 | 15.00 |
| 61397 | Haitong Int'l Sec – BYD Company R Bull CBBC Oct 2018 A | 130,000,000 | 2018/04/17 | 2018/10/05 | 32.50 |
| 61406 | Haitong Int'l Sec – China Life R Bull CBBC Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/04 | 10.00 |
| 61401 | Haitong Int'l Sec – CPIC R Bull CBBC Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/04 | 11.88 |
| 61410 | Haitong Int'l Sec – Evergrande R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/17 | 2018/10/04 | 11.40 |
| 61445 | Haitong Int'l Sec – HKEX R Bear CBBC Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/05 | 10.00 |
| 61381 | Haitong Int'l Sec – Tencent R Bull CBBC Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/08 | 10.00 |
| 61384 | Haitong Int'l Sec – Tencent R Bull CBBC Oct 2018 B | 40,000,000 | 2018/04/17 | 2018/10/04 | 10.00 |
| 61416 | Haitong Int'l Sec – Tencent R Bear CBBC Oct 2018 A | 40,000,000 | 2018/04/17 | 2018/10/05 | 12.04 |
| 62002 | J P Morgan SP BV – CC Bank R Bull CBBC Aug 2018 N | 100,000,000 | 2018/04/17 | 2018/08/10 | 25.00 |
| 61961 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2018 M | 40,000,000 | 2018/04/17 | 2018/07/20 | 10.00 |
| 62048 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 M | 200,000,000 | 2018/04/17 | 2019/10/30 | 50.00 |
| 62023 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/04/17 | 2019/10/30 | 50.00 |
| 62021 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 B | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.00 |
| 62042 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.00 |
| 62058 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.00 |
| 62013 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/04/17 | 2019/12/30 | 50.00 |
| 62025 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 U | 200,000,000 | 2018/04/17 | 2019/12/30 | 50.00 |
| 62054 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 V | 200,000,000 | 2018/04/17 | 2019/12/30 | 50.00 |
| 61779 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 T | 200,000,000 | 2018/04/17 | 2018/07/30 | 50.00 |
| 61773 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 L | 200,000,000 | 2018/04/17 | 2018/08/30 | 50.00 |
| 61777 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/04/17 | 2018/09/27 | 50.00 |
| 61970 | J P Morgan SP BV – Ping An R Bull CBBC Aug 2018 J | 100,000,000 | 2018/04/17 | 2018/08/10 | 25.00 |
| 61974 | J P Morgan SP BV – Ping An R Bull CBBC Aug 2018 K | 100,000,000 | 2018/04/17 | 2018/08/17 | 25.00 |
| 61932 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 R | 50,000,000 | 2018/04/17 | 2018/07/20 | 12.50 |
| 61955 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 S | 50,000,000 | 2018/04/17 | 2018/07/20 | 12.50 |
| 61957 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2018 T | 50,000,000 | 2018/04/17 | 2018/07/20 | 12.50 |
| 61878 | SG Issuer – CC Bank R Bull CBBC Sep 2018 T | 100,000,000 | 2018/04/17 | 2018/09/21 | 25.00 |
| 61879 | SG Issuer – CC Bank R Bull CBBC Oct 2018 J | 100,000,000 | 2018/04/17 | 2018/10/19 | 25.00 |
| 61805 | SG Issuer – China Life R Bull CBBC Dec 2018 F | 40,000,000 | 2018/04/17 | 2018/12/05 | 10.00 |
| 61880 | SG Issuer – CM Bank R Bull CBBC Apr 2019 A | 60,000,000 | 2018/04/17 | 2019/04/17 | 15.00 |
| 61927 | SG Issuer – CSOP A50 ETF R Bull CBBC Sep 2018 K | 40,000,000 | 2018/04/17 | 2018/09/18 | 10.00 |
| 61929 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 J | 40,000,000 | 2018/04/17 | 2018/10/22 | 10.00 |
| 61892 | SG Issuer – HKEX R Bull CBBC Sep 2018 M | 50,000,000 | 2018/04/17 | 2018/09/20 | 12.50 |
| 61808 | SG Issuer – HSBC R Bull CBBC Nov 2018 S | 100,000,000 | 2018/04/17 | 2018/11/21 | 25.00 |
| 61833 | SG Issuer – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/04/17 | 2019/10/30 | 50.00 |
| 61837 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/04/17 | 2019/11/28 | 50.00 |
| 61841 | SG Issuer – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/04/17 | 2019/12/30 | 50.00 |
| 61839 | SG Issuer – HSI R Bull CBBC Dec 2019 R | 200,000,000 | 2018/04/17 | 2019/12/30 | 50.00 |
| 61856 | SG Issuer – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/04/17 | 2019/12/30 | 50.00 |
| 61828 | SG Issuer – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/04/17 | 2018/08/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61796 | SG Issuer – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.00 |
| 61789 | SG Issuer – HSI R Bear CBBC Oct 2018 R | 200,000,000 | 2018/04/17 | 2018/10/30 | 50.00 |
| 61824 | SG Issuer – HSI R Bear CBBC Nov 2018 K | 200,000,000 | 2018/04/17 | 2018/11/29 | 50.00 |
| 61804 | SG Issuer – HSI R Bear CBBC Dec 2018 S | 200,000,000 | 2018/04/17 | 2018/12/28 | 50.00 |
| 61893 | SG Issuer – Ping An R Bull CBBC Oct 2018 V | 100,000,000 | 2018/04/17 | 2018/10/08 | 25.00 |
| 61904 | SG Issuer – Ping An R Bull CBBC Oct 2018 W | 100,000,000 | 2018/04/17 | 2018/10/22 | 25.00 |
| 61909 | SG Issuer – Ping An R Bull CBBC Dec 2018 U | 100,000,000 | 2018/04/17 | 2018/12/10 | 25.00 |
| 61810 | SG Issuer – Ping An R Bear CBBC Oct 2018 K | 100,000,000 | 2018/04/17 | 2018/10/25 | 25.00 |
| 61920 | SG Issuer – Tencent R Bull CBBC Jul 2018 Y | 80,000,000 | 2018/04/17 | 2018/07/26 | 20.00 |
| 61922 | SG Issuer – Tencent R Bull CBBC Aug 2018 A | 80,000,000 | 2018/04/17 | 2018/08/06 | 20.00 |
| 61923 | SG Issuer – Tencent R Bull CBBC Sep 2018 G | 80,000,000 | 2018/04/17 | 2018/09/10 | 20.00 |
| 61815 | SG Issuer – Tencent R Bear CBBC Sep 2018 L | 80,000,000 | 2018/04/17 | 2018/09/10 | 20.00 |
| 61688 | UBS AG – HKEX R Bull CBBC Jul 2018 B | 50,000,000 | 2018/04/17 | 2018/07/23 | 12.50 |
| 61690 | UBS AG – HKEX R Bull CBBC Jul 2018 C | 50,000,000 | 2018/04/17 | 2018/07/30 | 12.50 |
| 61701 | UBS AG – HSCEI R Bull CBBC Nov 2019 E | 100,000,000 | 2018/04/17 | 2019/11/28 | 25.00 |
| 61658 | UBS AG – HSI R Bull CBBC Nov 2019 J | 250,000,000 | 2018/04/17 | 2019/11/28 | 62.50 |
| 61679 | UBS AG – HSI R Bull CBBC Nov 2019 Q | 250,000,000 | 2018/04/17 | 2019/11/28 | 62.50 |
| 61661 | UBS AG – HSI R Bull CBBC Dec 2019 C | 250,000,000 | 2018/04/17 | 2019/12/30 | 62.50 |
| 61657 | UBS AG – HSI R Bear CBBC Jul 2018 E | 250,000,000 | 2018/04/17 | 2018/07/30 | 62.50 |
| 61707 | UBS AG – HSI R Bear CBBC Jul 2018 G | 250,000,000 | 2018/04/17 | 2018/07/30 | 62.50 |
| 61655 | UBS AG – HSI R Bear CBBC Jul 2018 V | 200,000,000 | 2018/04/17 | 2018/07/30 | 50.00 |
| 61691 | UBS AG – Ping An R Bull CBBC Aug 2018 E | 80,000,000 | 2018/04/17 | 2018/08/06 | 20.00 |
| 61697 | UBS AG – Ping An R Bull CBBC Aug 2018 F | 80,000,000 | 2018/04/17 | 2018/08/15 | 20.00 |
| 61686 | UBS AG – Tencent R Bull CBBC Sep 2018 B | 30,000,000 | 2018/04/17 | 2018/09/12 | 10.20 |
| 61627 | Bank Vontobel – HSI R Bull CBBC Nov 2018 W | 100,000,000 | 2018/04/17 | 2018/11/29 | 25.50 |
| 61629 | Bank Vontobel – HSI R Bull CBBC Nov 2018 X | 100,000,000 | 2018/04/17 | 2018/11/29 | 25.30 |
| 61496 | Bank Vontobel – HSI R Bear CBBC Oct 2018 Q | 100,000,000 | 2018/04/17 | 2018/10/30 | 25.40 |
| 62749 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18B | 60,000,000 | 2018/04/18 | 2018/11/29 | 15.00 |
| 62769 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 H | 50,000,000 | 2018/04/18 | 2018/08/30 | 12.50 |
| 62772 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2018 T | 50,000,000 | 2018/04/18 | 2018/07/30 | 12.50 |
| 62821 | BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2019 J | 100,000,000 | 2018/04/18 | 2019/09/27 | 25.00 |
| 62742 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 D | 250,000,000 | 2018/04/18 | 2019/12/30 | 62.50 |
| 62743 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 E | 250,000,000 | 2018/04/18 | 2019/12/30 | 62.50 |
| 62738 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 C | 250,000,000 | 2018/04/18 | 2018/07/30 | 62.50 |
| 62737 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 Z | 250,000,000 | 2018/04/18 | 2018/07/30 | 62.50 |
| 62765 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 H | 200,000,000 | 2018/04/18 | 2018/11/29 | 50.00 |
| 62766 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 I | 200,000,000 | 2018/04/18 | 2018/11/29 | 50.00 |
| 62820 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 M | 50,000,000 | 2018/04/18 | 2018/11/29 | 12.50 |
| 63183 | Credit Suisse AG – AIA R Bull CBBC Jan 2019 A | 70,000,000 | 2018/04/18 | 2019/01/04 | 17.50 |
| 63184 | Credit Suisse AG – AIA R Bull CBBC Jan 2019 B | 70,000,000 | 2018/04/18 | 2019/01/24 | 17.50 |
| 62708 | Credit Suisse AG – CM Bank R Bull CBBC Jan 2019 A | 70,000,000 | 2018/04/18 | 2019/01/16 | 17.50 |
| 62702 | Credit Suisse AG – CNOOC R Bull CBBC Jan 2019 A | 50,000,000 | 2018/04/18 | 2019/01/04 | 12.50 |
| 62706 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 C | 50,000,000 | 2018/04/18 | 2019/01/24 | 12.50 |
| 62673 | Credit Suisse AG – HKEX R Bull CBBC Jan 2019 A | 50,000,000 | 2018/04/18 | 2019/01/23 | 12.50 |
| 62677 | Credit Suisse AG – HKEX R Bull CBBC Jan 2019 B | 50,000,000 | 2018/04/18 | 2019/01/17 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 63175 | Credit Suisse AG – HSBC R Bull CBBC Jan 2019 A | 80,000,000 | 2018/04/18 | 2019/01/10 | 20.00 |
| 63173 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 C | 200,000,000 | 2018/04/18 | 2019/03/28 | 50.00 |
| 63174 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 C | 200,000,000 | 2018/04/18 | 2019/09/27 | 50.00 |
| 63171 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 B | 200,000,000 | 2018/04/18 | 2019/10/30 | 50.00 |
| 63189 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/04/18 | 2019/10/30 | 50.00 |
| 63196 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 K | 200,000,000 | 2018/04/18 | 2019/11/28 | 50.00 |
| 63166 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/04/18 | 2018/08/30 | 50.00 |
| 63168 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/04/18 | 2018/08/30 | 50.00 |
| 63169 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/04/18 | 2018/09/27 | 50.00 |
| 62732 | Credit Suisse AG – ICBC R Bull CBBC Jan 2019 A | 80,000,000 | 2018/04/18 | 2019/01/23 | 20.00 |
| 62695 | Credit Suisse AG – PetroChina R Bull CBBC Jan 2019 A | 50,000,000 | 2018/04/18 | 2019/01/29 | 12.50 |
| 62663 | Credit Suisse AG – Ping An R Bull CBBC Nov 2018 S | 80,000,000 | 2018/04/18 | 2018/11/15 | 20.00 |
| 62710 | Credit Suisse AG – SUNAC R Bull CBBC Jan 2019 D | 100,000,000 | 2018/04/18 | 2019/01/24 | 25.00 |
| 62681 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 R | 50,000,000 | 2018/04/18 | 2018/11/09 | 12.50 |
| 63227 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 63239 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 63244 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2018 M | 100,000,000 | 2018/04/18 | 2018/11/30 | 25.00 |
| 62860 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 62873 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 K | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 63224 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 L | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 62880 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 62850 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 62843 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 62844 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 N | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 62587 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 Q | 200,000,000 | 2018/04/18 | 2018/07/30 | 50.00 |
| 62594 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 T | 200,000,000 | 2018/04/18 | 2018/07/30 | 50.00 |
| 62857 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 B | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 63211 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 C | 100,000,000 | 2018/04/18 | 2018/12/31 | 25.00 |
| 62853 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 B | 100,000,000 | 2018/04/18 | 2018/10/30 | 25.00 |
| 63208 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 C | 100,000,000 | 2018/04/18 | 2018/10/30 | 25.00 |
| 62632 | HK Bank – AIA R Bull CBBC Sep 2018 F | 80,000,000 | 2018/04/18 | 2018/09/27 | 20.00 |
| 62645 | HK Bank – AIA R Bear CBBC Oct 2018 C | 40,000,000 | 2018/04/18 | 2018/10/08 | 10.00 |
| 62492 | HK Bank – CC Bank R Bull CBBC Sep 2018 N | 100,000,000 | 2018/04/18 | 2018/09/13 | 25.00 |
| 62484 | HK Bank – CNOOC R Bull CBBC Oct 2018 L | 50,000,000 | 2018/04/18 | 2018/10/08 | 12.50 |
| 62488 | HK Bank – Sinopec Corp R Bear CBBC Aug 2018 A | 40,000,000 | 2018/04/18 | 2018/08/13 | 10.00 |
| 62629 | HK Bank – Galaxy Ent R Bear CBBC Nov 2018 A | 40,000,000 | 2018/04/18 | 2018/11/05 | 10.00 |
| 62599 | HK Bank – HKEX R Bull CBBC Oct 2018 B | 50,000,000 | 2018/04/18 | 2018/10/25 | 12.50 |
| 62517 | HK Bank – HSCEI R Bull CBBC Dec 2018 T | 60,000,000 | 2018/04/18 | 2018/12/28 | 15.00 |
| 62494 | HK Bank – HSI R Bull CBBC Dec 2018 M | 150,000,000 | 2018/04/18 | 2018/12/28 | 37.50 |
| 62502 | HK Bank – HSI R Bear CBBC Sep 2018 F | 150,000,000 | 2018/04/18 | 2018/09/27 | 37.50 |
| 62607 | HK Bank – ICBC R Bull CBBC Sep 2018 O | 100,000,000 | 2018/04/18 | 2018/09/17 | 25.00 |
| 62482 | HK Bank – PetroChina R Bull CBBC Oct 2018 L | 80,000,000 | 2018/04/18 | 2018/10/22 | 20.00 |
| 62595 | HK Bank – Ping An R Bull CBBC Oct 2018 Q | 100,000,000 | 2018/04/18 | 2018/10/18 | 25.00 |
| 62656 | HK Bank – SUNAC R Bull CBBC Mar 2019 A | 100,000,000 | 2018/04/18 | 2019/03/11 | 25.00 |
| 62490 | HK Bank – Tencent R Bull CBBC Aug 2018 G | 60,000,000 | 2018/04/18 | 2018/08/13 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63164 | J P Morgan SP BV – AIA R Bull CBBC Sep 2018 A | 100,000,000 | 2018/04/18 | 2018/09/14 | 25.00 |
| 63156 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2018 N | 40,000,000 | 2018/04/18 | 2018/07/20 | 10.00 |
| 63121 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 S | 200,000,000 | 2018/04/18 | 2019/10/30 | 50.00 |
| 63137 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/04/18 | 2019/10/30 | 50.00 |
| 63119 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/04/18 | 2019/11/28 | 50.00 |
| 63126 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/04/18 | 2019/11/28 | 50.00 |
| 63145 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 63102 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 63124 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 63100 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/04/18 | 2018/08/30 | 50.00 |
| 63097 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/04/18 | 2018/08/30 | 50.00 |
| 62836 | Macquarie Bank Ltd. – CM Bank R Bull CBBC Nov 2018 A | 12,500,000 | 2018/04/18 | 2018/11/15 | 10.06 |
| 62833 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 C | 12,500,000 | 2018/04/18 | 2018/11/15 | 10.01 |
| 63369 | SG Issuer – AIA R Bull CBBC Sep 2018 E | 60,000,000 | 2018/04/18 | 2018/09/18 | 15.00 |
| 63373 | SG Issuer – AIA R Bull CBBC Dec 2018 J | 60,000,000 | 2018/04/18 | 2018/12/14 | 15.00 |
| 63258 | SG Issuer – China Mobile R Bull CBBC Dec 2018 S | 100,000,000 | 2018/04/18 | 2018/12/12 | 25.00 |
| 63398 | SG Issuer – CNOOC R Bull CBBC Oct 2018 H | 40,000,000 | 2018/04/18 | 2018/10/11 | 10.00 |
| 63390 | SG Issuer – Sinopec Corp R Bear CBBC Dec 2018 A | 40,000,000 | 2018/04/18 | 2018/12/06 | 10.00 |
| 63268 | SG Issuer – Galaxy Ent R Bear CBBC Dec 2018 C | 80,000,000 | 2018/04/18 | 2018/12/21 | 20.00 |
| 63276 | SG Issuer – HKEX R Bull CBBC Sep 2018 N | 50,000,000 | 2018/04/18 | 2018/09/10 | 12.50 |
| 63284 | SG Issuer – HKEX R Bull CBBC Dec 2018 P | 50,000,000 | 2018/04/18 | 2018/12/13 | 12.50 |
| 63288 | SG Issuer – HSBC R Bull CBBC Sep 2018 P | 100,000,000 | 2018/04/18 | 2018/09/10 | 25.00 |
| 63314 | SG Issuer – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/04/18 | 2019/10/30 | 50.00 |
| 63349 | SG Issuer – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/04/18 | 2019/11/28 | 50.00 |
| 63318 | SG Issuer – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/04/18 | 2019/11/28 | 50.00 |
| 63352 | SG Issuer – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 63307 | SG Issuer – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 63407 | SG Issuer – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/18 | 2018/08/30 | 50.00 |
| 63249 | SG Issuer – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/04/18 | 2018/09/27 | 50.00 |
| 63404 | SG Issuer – PetroChina R Bull CBBC Dec 2018 C | 40,000,000 | 2018/04/18 | 2018/12/20 | 10.00 |
| 63289 | SG Issuer – Ping An R Bull CBBC Oct 2018 S | 100,000,000 | 2018/04/18 | 2018/10/05 | 25.00 |
| 63292 | SG Issuer – SUNAC R Bull CBBC Feb 2019 B | 80,000,000 | 2018/04/18 | 2019/02/21 | 20.00 |
| 63293 | SG Issuer – Tencent R Bull CBBC Aug 2018 Q | 80,000,000 | 2018/04/18 | 2018/08/03 | 20.00 |
| 63405 | SG Issuer – Tencent R Bear CBBC Sep 2018 M | 80,000,000 | 2018/04/18 | 2018/09/17 | 20.00 |
| 62997 | UBS AG – CC Bank R Bull CBBC Sep 2018 I | 80,000,000 | 2018/04/18 | 2018/09/24 | 20.00 |
| 63061 | UBS AG – China Life R Bull CBBC Sep 2018 M | 40,000,000 | 2018/04/18 | 2018/09/17 | 10.00 |
| 63059 | UBS AG – China Life R Bull CBBC Oct 2018 A | 40,000,000 | 2018/04/18 | 2018/10/15 | 10.00 |
| 62994 | UBS AG – CNOOC R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/18 | 2018/11/05 | 10.00 |
| 63069 | UBS AG – A50 China R Bull CBBC Oct 2018 B | 40,000,000 | 2018/04/18 | 2018/10/15 | 10.00 |
| 62950 | UBS AG – Galaxy Ent R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/18 | 2018/10/15 | 10.00 |
| 62960 | UBS AG – HKEX R Bull CBBC Oct 2018 C | 50,000,000 | 2018/04/18 | 2018/10/24 | 12.50 |
| 62959 | UBS AG – HKEX R Bull CBBC Nov 2018 M | 50,000,000 | 2018/04/18 | 2018/11/19 | 12.50 |
| 62957 | UBS AG – HKEX R Bull CBBC Dec 2018 A | 50,000,000 | 2018/04/18 | 2018/12/03 | 12.50 |
| 62955 | UBS AG – HSBC R Bull CBBC Nov 2018 A | 50,000,000 | 2018/04/18 | 2018/11/05 | 12.50 |
| 62923 | UBS AG – HSI R Bull CBBC Oct 2019 N | 250,000,000 | 2018/04/18 | 2019/10/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 62918 | UBS AG – HSI R Bull CBBC Oct 2019 Y | 250,000,000 | 2018/04/18 | 2019/10/30 | 62.50 |
| 62919 | UBS AG – HSI R Bull CBBC Oct 2019 Z | 250,000,000 | 2018/04/18 | 2019/10/30 | 62.50 |
| 62905 | UBS AG – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/04/18 | 2019/11/28 | 50.00 |
| 62906 | UBS AG – HSI R Bull CBBC Dec 2019 H | 250,000,000 | 2018/04/18 | 2019/12/30 | 62.50 |
| 62907 | UBS AG – HSI R Bull CBBC Dec 2019 I | 200,000,000 | 2018/04/18 | 2019/12/30 | 50.00 |
| 62888 | UBS AG – HSI R Bear CBBC Jul 2018 O | 250,000,000 | 2018/04/18 | 2018/07/30 | 62.50 |
| 62904 | UBS AG – HSI R Bear CBBC Jul 2018 P | 250,000,000 | 2018/04/18 | 2018/07/30 | 62.50 |
| 63013 | UBS AG – ICBC R Bull CBBC Oct 2018 J | 100,000,000 | 2018/04/18 | 2018/10/08 | 25.00 |
| 62982 | UBS AG – Petrochina R Bull CBBC Nov 2018 E | 60,000,000 | 2018/04/18 | 2018/11/19 | 15.00 |
| 63024 | UBS AG – Ping An R Bull CBBC Nov 2018 R | 80,000,000 | 2018/04/18 | 2018/11/05 | 20.00 |
| 63048 | UBS AG – Ping An R Bull CBBC Nov 2018 S | 80,000,000 | 2018/04/18 | 2018/11/19 | 20.00 |
| 62961 | UBS AG – Tencent R Bull CBBC Sep 2018 C | 30,000,000 | 2018/04/18 | 2018/09/05 | 10.20 |
| 62964 | UBS AG – Tencent R Bull CBBC Oct 2018 S | 30,000,000 | 2018/04/18 | 2018/10/15 | 10.20 |
| 62976 | UBS AG – Tencent R Bull CBBC Oct 2018 T | 30,000,000 | 2018/04/18 | 2018/10/29 | 10.20 |
| 62839 | Bank Vontobel – HSI R Bull CBBC Dec 2018 A | 100,000,000 | 2018/04/18 | 2018/12/28 | 25.20 |
| 62885 | Bank Vontobel – HSI R Bull CBBC Dec 2018 B | 100,000,000 | 2018/04/18 | 2018/12/28 | 25.50 |
| 62428 | Bank Vontobel – HSI R Bear CBBC Oct 2018 R | 100,000,000 | 2018/04/18 | 2018/10/30 | 25.50 |
| 62837 | Bank Vontobel – Tencent R Bull CBBC Oct 2018 B | 40,000,000 | 2018/04/18 | 2018/10/03 | 10.48 |
| 62423 | Bank Vontobel – Tencent R Bear CBBC Jul 2018 R | 40,000,000 | 2018/04/18 | 2018/07/30 | 10.08 |
| 63776 | Credit Suisse AG – CNOOC R Bull CBBC Jan 2019 B | 50,000,000 | 2018/04/19 | 2019/01/30 | 12.50 |
| 63734 | Credit Suisse AG – Sinopec Corp R Bull CBBC Jan 2019 A | 60,000,000 | 2018/04/19 | 2019/01/22 | 15.00 |
| 63788 | Credit Suisse AG – HKEX R Bull CBBC Dec 2018 B | 50,000,000 | 2018/04/19 | 2018/12/05 | 12.50 |
| 63735 | Credit Suisse AG – HSI R Bull CBBC Mar 2019 D | 200,000,000 | 2018/04/19 | 2019/03/28 | 50.00 |
| 63748 | Credit Suisse AG – HSI R Bull CBBC Aug 2019 D | 200,000,000 | 2018/04/19 | 2019/08/29 | 50.00 |
| 63742 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 D | 200,000,000 | 2018/04/19 | 2019/10/30 | 50.00 |
| 63749 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/04/19 | 2019/11/28 | 50.00 |
| 63762 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 B | 200,000,000 | 2018/04/19 | 2018/08/30 | 50.00 |
| 63781 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/04/19 | 2018/08/30 | 50.00 |
| 63751 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/04/19 | 2018/08/30 | 50.00 |
| 63784 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 A | 50,000,000 | 2018/04/19 | 2019/01/11 | 12.50 |
| 63931 | Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Dec 2018 E | 100,000,000 | 2018/04/19 | 2018/12/31 | 25.00 |
| 63938 | Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Dec 2018 F | 100,000,000 | 2018/04/19 | 2018/12/31 | 25.00 |
| 63921 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 R | 100,000,000 | 2018/04/19 | 2018/12/31 | 25.00 |
| 63925 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 M | 100,000,000 | 2018/04/19 | 2018/12/31 | 25.00 |
| 63542 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 H | 200,000,000 | 2018/04/19 | 2019/12/30 | 50.00 |
| 63547 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 I | 200,000,000 | 2018/04/19 | 2019/12/30 | 50.00 |
| 63894 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 V | 200,000,000 | 2018/04/19 | 2018/07/30 | 50.00 |
| 63895 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 O | 200,000,000 | 2018/04/19 | 2018/08/30 | 50.00 |
| 63923 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 A | 100,000,000 | 2018/04/19 | 2018/12/31 | 25.00 |
| 63504 | HK Bank – AIA R Bull CBBC Oct 2018 N | 80,000,000 | 2018/04/19 | 2018/10/08 | 20.00 |
| 63423 | HK Bank – HKEX R Bull CBBC Oct 2018 T | 50,000,000 | 2018/04/19 | 2018/10/29 | 12.50 |
| 63501 | HK Bank – HSCEI R Bear CBBC Aug 2018 H | 50,000,000 | 2018/04/19 | 2018/08/30 | 12.50 |
| 63494 | HK Bank – HSI R Bull CBBC Dec 2018 N | 150,000,000 | 2018/04/19 | 2018/12/28 | 37.50 |
| 63525 | HK Bank – HSI R Bear CBBC Aug 2018 Z | 150,000,000 | 2018/04/19 | 2018/08/30 | 37.50 |
| 63470 | HK Bank – SUNAC R Bull CBBC Dec 2018 E | 100,000,000 | 2018/04/19 | 2018/12/10 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63482 | HK Bank – Tencent R Bull CBBC Aug 2018 T | 60,000,000 | 2018/04/19 | 2018/08/30 | 15.00 |
| 63869 | J P Morgan SP BV – CNOOC R Bull CBBC Sep 2018 B | 80,000,000 | 2018/04/19 | 2018/09/21 | 20.00 |
| 63859 | J P Morgan SP BV – CNOOC R Bull CBBC Oct 2018 F | 80,000,000 | 2018/04/19 | 2018/10/12 | 20.00 |
| 63831 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/04/19 | 2019/10/30 | 50.00 |
| 63825 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 X | 200,000,000 | 2018/04/19 | 2019/11/28 | 50.00 |
| 63824 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 N | 200,000,000 | 2018/04/19 | 2019/12/30 | 50.00 |
| 63835 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/04/19 | 2019/12/30 | 50.00 |
| 63823 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 Z | 200,000,000 | 2018/04/19 | 2018/07/30 | 50.00 |
| 63874 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/04/19 | 2018/08/30 | 50.00 |
| 63877 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/04/19 | 2018/08/30 | 50.00 |
| 63858 | J P Morgan SP BV – PetroChina R Bull CBBC Sep 2018 A | 100,000,000 | 2018/04/19 | 2018/09/14 | 25.00 |
| 63856 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 B | 50,000,000 | 2018/04/19 | 2018/08/17 | 19.00 |
| 63850 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 C | 50,000,000 | 2018/04/19 | 2018/08/17 | 12.50 |
| 63549 | Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2018 A | 11,800,000 | 2018/04/19 | 2018/11/15 | 10.05 |
| 63619 | SG Issuer – AIA R Bull CBBC Oct 2018 B | 60,000,000 | 2018/04/19 | 2018/10/24 | 15.00 |
| 63626 | SG Issuer – CC Bank R Bull CBBC Nov 2018 E | 100,000,000 | 2018/04/19 | 2018/11/27 | 25.00 |
| 63704 | SG Issuer – CNOOC R Bull CBBC Oct 2018 I | 40,000,000 | 2018/04/19 | 2018/10/22 | 10.00 |
| 63694 | SG Issuer – Sinopec Corp R Bull CBBC Nov 2018 D | 40,000,000 | 2018/04/19 | 2018/11/12 | 10.00 |
| 63691 | SG Issuer – CPIC R Bull CBBC Mar 2019 A | 100,000,000 | 2018/04/19 | 2019/03/12 | 25.00 |
| 63708 | SG Issuer – A50 China R Bull CBBC Nov 2018 F | 50,000,000 | 2018/04/19 | 2018/11/08 | 12.50 |
| 63705 | SG Issuer – Galaxy Ent R Bull CBBC Sep 2018 G | 80,000,000 | 2018/04/19 | 2018/09/18 | 20.00 |
| 63724 | SG Issuer – HKEX R Bull CBBC Oct 2018 X | 50,000,000 | 2018/04/19 | 2018/10/18 | 12.50 |
| 63720 | SG Issuer – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/04/19 | 2019/10/30 | 50.00 |
| 63560 | SG Issuer – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/04/19 | 2019/10/30 | 50.00 |
| 63709 | SG Issuer – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/04/19 | 2019/11/28 | 50.00 |
| 63710 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/04/19 | 2018/09/27 | 50.00 |
| 63712 | SG Issuer – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/04/19 | 2018/09/27 | 50.00 |
| 63706 | SG Issuer – PetroChina R Bull CBBC Dec 2018 D | 40,000,000 | 2018/04/19 | 2018/12/13 | 10.00 |
| 63571 | SG Issuer – Ping An R Bull CBBC Sep 2018 D | 100,000,000 | 2018/04/19 | 2018/09/27 | 25.00 |
| 63572 | SG Issuer – Ping An R Bull CBBC Oct 2018 R | 100,000,000 | 2018/04/19 | 2018/10/24 | 25.00 |
| 63580 | SG Issuer – Tencent R Bull CBBC Aug 2018 R | 80,000,000 | 2018/04/19 | 2018/08/09 | 20.00 |
| 63597 | SG Issuer – Tencent R Bull CBBC Sep 2018 I | 80,000,000 | 2018/04/19 | 2018/09/18 | 20.00 |
| 63604 | SG Issuer – Tencent R Bull CBBC Sep 2018 J | 80,000,000 | 2018/04/19 | 2018/09/27 | 20.00 |
| 63816 | UBS AG – AIA R Bull CBBC Sep 2018 D | 50,000,000 | 2018/04/19 | 2018/09/24 | 12.50 |
| 63811 | UBS AG – CNOOC R Bear CBBC Oct 2018 B | 40,000,000 | 2018/04/19 | 2018/10/29 | 10.00 |
| 63819 | UBS AG – HKEX R Bull CBBC Nov 2018 N | 50,000,000 | 2018/04/19 | 2018/11/08 | 12.50 |
| 63554 | UBS AG – HSI R Bull CBBC Oct 2019 Q | 250,000,000 | 2018/04/19 | 2019/10/30 | 62.50 |
| 63553 | UBS AG – HSI R Bull CBBC Nov 2019 F | 250,000,000 | 2018/04/19 | 2019/11/28 | 62.50 |
| 63559 | UBS AG – HSI R Bull CBBC Dec 2019 L | 250,000,000 | 2018/04/19 | 2019/12/30 | 62.50 |
| 63550 | UBS AG – HSI R Bear CBBC Jul 2018 R | 250,000,000 | 2018/04/19 | 2018/07/30 | 62.50 |
| 63799 | UBS AG – HSI R Bear CBBC Jul 2018 U | 250,000,000 | 2018/04/19 | 2018/07/30 | 62.50 |
| 63798 | UBS AG – HSI R Bear CBBC Aug 2018 K | 250,000,000 | 2018/04/19 | 2018/08/30 | 62.50 |
| 63420 | Bank Vontobel – HSI R Bull CBBC Dec 2018 C | 100,000,000 | 2018/04/19 | 2018/12/28 | 25.40 |
| 63421 | Bank Vontobel – HSI R Bull CBBC Dec 2018 D | 100,000,000 | 2018/04/19 | 2018/12/28 | 25.40 |
| 64317 | Credit Suisse AG – AIA R Bull CBBC Jan 2019 C | 70,000,000 | 2018/04/20 | 2019/01/10 | 17.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64297 | Credit Suisse AG – AIA R Bear CBBC Jan 2019 A | 50,000,000 | 2018/04/20 | 2019/01/18 | 12.50 |
| 64282 | Credit Suisse AG – AIA R Bear CBBC Feb 2019 A | 50,000,000 | 2018/04/20 | 2019/02/11 | 12.50 |
| 64331 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 C | 100,000,000 | 2018/04/20 | 2018/12/12 | 25.00 |
| 64247 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 D | 200,000,000 | 2018/04/20 | 2019/09/27 | 50.00 |
| 64265 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/04/20 | 2019/11/28 | 50.00 |
| 64266 | Credit Suisse AG – HSI R Bear CBBC Jul 2018 I | 200,000,000 | 2018/04/20 | 2018/07/30 | 50.00 |
| 64277 | Credit Suisse AG – PetroChina R Bull CBBC Feb 2019 A | 60,000,000 | 2018/04/20 | 2019/02/15 | 15.00 |
| 64276 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 B | 50,000,000 | 2018/04/20 | 2019/01/22 | 12.50 |
| 64267 | Credit Suisse AG – Tencent R Bear CBBC Jan 2019 A | 50,000,000 | 2018/04/20 | 2019/01/03 | 12.50 |
| 64122 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/04/20 | 2019/12/30 | 50.00 |
| 64147 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/04/20 | 2019/12/30 | 50.00 |
| 64339 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 X | 200,000,000 | 2018/04/20 | 2018/07/30 | 50.00 |
| 64343 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2018 Y | 200,000,000 | 2018/04/20 | 2018/07/30 | 50.20 |
| 64355 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 G | 100,000,000 | 2018/04/20 | 2018/12/24 | 25.00 |
| 64157 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2018 D | 100,000,000 | 2018/04/20 | 2018/10/30 | 25.00 |
| 64095 | HK Bank – CNOOC R Bull CBBC Oct 2018 M | 50,000,000 | 2018/04/20 | 2018/10/29 | 12.50 |
| 64105 | HK Bank – CNOOC R Bear CBBC Nov 2018 A | 40,000,000 | 2018/04/20 | 2018/11/05 | 10.00 |
| 64076 | HK Bank – HKEX R Bull CBBC Nov 2018 I | 50,000,000 | 2018/04/20 | 2018/11/01 | 12.50 |
| 64086 | HK Bank – HSI R Bull CBBC Mar 2019 N | 100,000,000 | 2018/04/20 | 2019/03/28 | 25.00 |
| 64087 | HK Bank – HSI R Bear CBBC Aug 2018 C | 100,000,000 | 2018/04/20 | 2018/08/30 | 25.00 |
| 64077 | HK Bank – Ping An R Bull CBBC Oct 2018 R | 100,000,000 | 2018/04/20 | 2018/10/04 | 25.00 |
| 64116 | HK Bank – Tencent R Bull CBBC Sep 2018 P | 60,000,000 | 2018/04/20 | 2018/09/13 | 15.00 |
| 64027 | Haitong Int'l Sec – AIA R Bull CBBC Oct 2018 C | 120,000,000 | 2018/04/20 | 2018/10/10 | 30.00 |
| 64025 | Haitong Int'l Sec – China Mobile R Bull CBBC Nov 2018 A | 110,000,000 | 2018/04/20 | 2018/11/05 | 27.50 |
| 64018 | Haitong Int'l Sec – CNOOC R Bull CBBC Nov 2018 A | 70,000,000 | 2018/04/20 | 2018/11/06 | 17.50 |
| 63961 | Haitong Int'l Sec – HKEX R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/20 | 2018/10/09 | 10.00 |
| 63988 | Haitong Int'l Sec – HKEX R Bull CBBC Oct 2018 D | 40,000,000 | 2018/04/20 | 2018/10/09 | 10.00 |
| 63940 | Haitong Int'l Sec – HSBC R Bull CBBC Sep 2018 E | 110,000,000 | 2018/04/20 | 2018/09/04 | 27.50 |
| 63944 | Haitong Int'l Sec – HSBC R Bull CBBC Sep 2018 F | 110,000,000 | 2018/04/20 | 2018/09/04 | 27.50 |
| 64031 | Haitong Int'l Sec – ICBC R Bull CBBC Oct 2018 A | 120,000,000 | 2018/04/20 | 2018/10/10 | 30.00 |
| 64071 | Haitong Int'l Sec – Ping An R Bull CBBC Oct 2018 G | 25,000,000 | 2018/04/20 | 2018/10/08 | 10.20 |
| 64072 | Haitong Int'l Sec – Ping An R Bull CBBC Oct 2018 H | 25,000,000 | 2018/04/20 | 2018/10/10 | 11.13 |
| 64075 | Haitong Int'l Sec – Ping An R Bull CBBC Oct 2018 I | 25,000,000 | 2018/04/20 | 2018/10/08 | 18.48 |
| 64043 | Haitong Int'l Sec – SUNAC R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/20 | 2018/10/08 | 18.40 |
| 64005 | Haitong Int'l Sec – Tencent R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/20 | 2018/10/09 | 10.00 |
| 64367 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 W | 200,000,000 | 2018/04/20 | 2018/08/30 | 50.00 |
| 64374 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 D | 50,000,000 | 2018/04/20 | 2018/08/17 | 12.50 |
| 64396 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 K | 40,000,000 | 2018/04/20 | 2018/11/27 | 10.00 |
| 64454 | SG Issuer – HKEX R Bull CBBC Oct 2018 Y | 50,000,000 | 2018/04/20 | 2018/10/23 | 12.50 |
| 64460 | SG Issuer – HSBC R Bull CBBC Sep 2018 R | 100,000,000 | 2018/04/20 | 2018/09/21 | 25.00 |
| 64456 | SG Issuer – HSBC R Bear CBBC Aug 2018 A | 100,000,000 | 2018/04/20 | 2018/08/24 | 25.00 |
| 64389 | SG Issuer – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/04/20 | 2019/12/30 | 50.00 |
| 64384 | SG Issuer – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/04/20 | 2018/10/30 | 50.00 |
| 64393 | SG Issuer – Tencent R Bull CBBC Sep 2018 K | 80,000,000 | 2018/04/20 | 2018/09/05 | 20.00 |
| 64395 | SG Issuer – Tencent R Bull CBBC Sep 2018 L | 80,000,000 | 2018/04/20 | 2018/09/10 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64457 | SG Issuer – Tencent R Bull CBBC Nov 2018 N | 80,000,000 | 2018/04/20 | 2018/11/21 | 20.00 |
| 64464 | SG Issuer – Tencent R Bear CBBC Aug 2018 N | 80,000,000 | 2018/04/20 | 2018/08/31 | 20.00 |
| 64233 | UBS AG – China Life R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/20 | 2018/11/05 | 10.00 |
| 64222 | UBS AG – CNOOC R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/20 | 2018/11/12 | 10.00 |
| 64214 | UBS AG – Sinopec Corp R Bull CBBC Nov 2018 A | 50,000,000 | 2018/04/20 | 2018/11/05 | 12.50 |
| 64208 | UBS AG – HSBC R Bull CBBC Nov 2018 B | 50,000,000 | 2018/04/20 | 2018/11/12 | 12.50 |
| 64198 | UBS AG – HSI R Bull CBBC Oct 2019 F | 250,000,000 | 2018/04/20 | 2019/10/30 | 62.50 |
| 64180 | UBS AG – HSI R Bull CBBC Oct 2019 S | 200,000,000 | 2018/04/20 | 2019/10/30 | 50.00 |
| 64204 | UBS AG – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/04/20 | 2019/11/28 | 50.00 |
| 64243 | UBS AG – HSI R Bear CBBC Jul 2018 J | 200,000,000 | 2018/04/20 | 2018/07/30 | 50.00 |
| 64240 | UBS AG – HSI R Bear CBBC Jul 2018 W | 250,000,000 | 2018/04/20 | 2018/07/30 | 62.50 |
| 64215 | UBS AG – Petrochina R Bull CBBC Dec 2018 A | 60,000,000 | 2018/04/20 | 2018/12/10 | 15.00 |
| 64161 | Bank Vontobel – HSI R Bull CBBC Dec 2018 E | 100,000,000 | 2018/04/20 | 2018/12/28 | 25.50 |
| 64168 | Bank Vontobel – HSI R Bull CBBC Dec 2018 F | 100,000,000 | 2018/04/20 | 2018/12/28 | 25.00 |
| 64172 | Bank Vontobel – HSI R Bear CBBC Oct 2018 S | 100,000,000 | 2018/04/20 | 2018/10/30 | 25.30 |
| 64466 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 S | 100,000,000 | 2018/04/23 | 2018/11/29 | 25.00 |
| 64468 | BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Jul 2018 H | 100,000,000 | 2018/04/23 | 2018/07/30 | 25.00 |
| 64562 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 F | 250,000,000 | 2018/04/23 | 2019/12/30 | 62.50 |
| 64570 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 G | 250,000,000 | 2018/04/23 | 2019/12/30 | 62.50 |
| 64566 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 H | 250,000,000 | 2018/04/23 | 2018/07/30 | 62.50 |
| 64567 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 W | 250,000,000 | 2018/04/23 | 2018/07/30 | 62.50 |
| 64467 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Nov 2018 E | 200,000,000 | 2018/04/23 | 2018/11/29 | 50.00 |
| 64618 | Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2018 D | 50,000,000 | 2018/04/23 | 2018/11/29 | 12.50 |
| 64601 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 I | 200,000,000 | 2018/04/23 | 2019/09/27 | 50.00 |
| 64608 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 J | 200,000,000 | 2018/04/23 | 2019/09/27 | 50.00 |
| 64612 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 K | 200,000,000 | 2018/04/23 | 2019/09/27 | 50.00 |
| 64633 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/04/23 | 2018/08/30 | 50.00 |
| 64623 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/04/23 | 2018/08/30 | 50.00 |
| 64634 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/04/23 | 2018/09/27 | 50.00 |
| 64624 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/04/23 | 2018/09/27 | 50.00 |
| 64620 | Credit Suisse AG – Ping An R Bear CBBC Nov 2018 D | 50,000,000 | 2018/04/23 | 2018/11/16 | 12.50 |
| 64616 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 S | 50,000,000 | 2018/04/23 | 2018/11/29 | 12.50 |
| 64721 | Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Nov 2019 D | 120,000,000 | 2018/04/23 | 2019/11/28 | 30.00 |
| 64560 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 K | 200,000,000 | 2018/04/23 | 2019/11/28 | 50.00 |
| 64556 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 T | 200,000,000 | 2018/04/23 | 2019/11/28 | 50.00 |
| 64722 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/04/23 | 2018/09/27 | 50.00 |
| 64724 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 R | 200,000,000 | 2018/04/23 | 2018/09/27 | 50.00 |
| 64727 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/04/23 | 2018/09/27 | 50.00 |
| 64720 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 D | 100,000,000 | 2018/04/23 | 2019/02/28 | 25.00 |
| 64548 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/23 | 2018/12/31 | 25.00 |
| 64554 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/23 | 2018/12/31 | 25.00 |
| 64511 | HK Bank – Geely Auto R Bull CBBC Oct 2018 D | 40,000,000 | 2018/04/23 | 2018/10/02 | 10.00 |
| 64514 | HK Bank – Galaxy Ent R Bull CBBC Aug 2018 L | 80,000,000 | 2018/04/23 | 2018/08/27 | 20.00 |
| 64534 | HK Bank – Galaxy Ent R Bear CBBC Aug 2018 D | 40,000,000 | 2018/04/23 | 2018/08/20 | 10.00 |
| 64518 | HK Bank – HKEX R Bear CBBC Oct 2018 K | 40,000,000 | 2018/04/23 | 2018/10/15 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64510 | HK Bank – HSI R Bull CBBC Dec 2018 P | 100,000,000 | 2018/04/23 | 2018/12/28 | 25.00 |
| 64525 | HK Bank – HSI R Bear CBBC Aug 2018 A | 150,000,000 | 2018/04/23 | 2018/08/30 | 37.50 |
| 64519 | HK Bank – HSI R Bear CBBC Aug 2018 E | 150,000,000 | 2018/04/23 | 2018/08/30 | 37.50 |
| 64529 | HK Bank – HSI R Bear CBBC Sep 2018 C | 150,000,000 | 2018/04/23 | 2018/09/27 | 37.50 |
| 64530 | HK Bank – HSI R Bear CBBC Oct 2018 S | 150,000,000 | 2018/04/23 | 2018/10/30 | 37.50 |
| 64486 | HK Bank – Tencent R Bull CBBC Aug 2018 I | 60,000,000 | 2018/04/23 | 2018/08/23 | 15.00 |
| 64515 | HK Bank – Tencent R Bear CBBC Oct 2018 O | 50,000,000 | 2018/04/23 | 2018/10/08 | 12.50 |
| 64636 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/04/23 | 2019/12/30 | 50.00 |
| 64639 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/04/23 | 2019/12/30 | 50.00 |
| 64665 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 L | 200,000,000 | 2018/04/23 | 2018/07/30 | 50.00 |
| 64651 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/04/23 | 2018/08/30 | 50.00 |
| 64659 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/04/23 | 2018/08/30 | 50.00 |
| 64648 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/04/23 | 2018/08/30 | 50.00 |
| 64666 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 C | 50,000,000 | 2018/04/23 | 2018/08/10 | 12.50 |
| 64675 | SG Issuer – Galaxy Ent R Bull CBBC Oct 2018 F | 80,000,000 | 2018/04/23 | 2018/10/12 | 20.00 |
| 64678 | SG Issuer – Galaxy Ent R Bull CBBC Nov 2018 I | 80,000,000 | 2018/04/23 | 2018/11/15 | 20.00 |
| 64679 | SG Issuer – HKEX R Bull CBBC Oct 2018 Z | 50,000,000 | 2018/04/23 | 2018/10/09 | 12.50 |
| 64691 | SG Issuer – HSI R Bull CBBC Sep 2019 Y | 200,000,000 | 2018/04/23 | 2019/09/27 | 50.00 |
| 64719 | SG Issuer – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/04/23 | 2019/10/30 | 50.00 |
| 64668 | SG Issuer – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/04/23 | 2019/10/30 | 50.00 |
| 64672 | SG Issuer – HSI R Bull CBBC Oct 2019 R | 200,000,000 | 2018/04/23 | 2019/10/30 | 50.00 |
| 64692 | SG Issuer – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/04/23 | 2019/11/28 | 50.00 |
| 64673 | SG Issuer – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/04/23 | 2019/12/30 | 50.00 |
| 64689 | SG Issuer – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/04/23 | 2018/09/27 | 50.00 |
| 64714 | SG Issuer – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/04/23 | 2018/10/30 | 50.00 |
| 64693 | SG Issuer – HSI R Bear CBBC Nov 2018 L | 200,000,000 | 2018/04/23 | 2018/11/29 | 50.00 |
| 64717 | SG Issuer – HSI R Bear CBBC Nov 2018 M | 200,000,000 | 2018/04/23 | 2018/11/29 | 50.00 |
| 64718 | SG Issuer – HSI R Bear CBBC Dec 2018 T | 200,000,000 | 2018/04/23 | 2018/12/28 | 50.00 |
| 64680 | SG Issuer – Ping An R Bull CBBC Oct 2018 X | 100,000,000 | 2018/04/23 | 2018/10/29 | 25.00 |
| 64681 | SG Issuer – Ping An R Bull CBBC Nov 2018 P | 100,000,000 | 2018/04/23 | 2018/11/23 | 25.00 |
| 64683 | SG Issuer – Tencent R Bull CBBC Jul 2018 U | 80,000,000 | 2018/04/23 | 2018/07/25 | 20.00 |
| 64684 | SG Issuer – Tencent R Bull CBBC Aug 2018 Y | 80,000,000 | 2018/04/23 | 2018/08/06 | 20.00 |
| 64685 | SG Issuer – Tencent R Bull CBBC Aug 2018 Z | 80,000,000 | 2018/04/23 | 2018/08/07 | 20.00 |
| 64705 | SG Issuer – Tencent R Bull CBBC Sep 2018 B | 80,000,000 | 2018/04/23 | 2018/09/07 | 20.00 |
| 64707 | SG Issuer – Tencent R Bull CBBC Sep 2018 M | 80,000,000 | 2018/04/23 | 2018/09/11 | 20.00 |
| 64695 | SG Issuer – Tencent R Bear CBBC Sep 2018 N | 80,000,000 | 2018/04/23 | 2018/09/05 | 20.00 |
| 64582 | UBS AG – HSI R Bull CBBC Nov 2019 B | 250,000,000 | 2018/04/23 | 2019/11/28 | 62.50 |
| 64589 | UBS AG – HSI R Bull CBBC Nov 2019 L | 250,000,000 | 2018/04/23 | 2019/11/28 | 62.50 |
| 64590 | UBS AG – HSI R Bear CBBC Jul 2018 K | 250,000,000 | 2018/04/23 | 2018/07/30 | 62.50 |
| 64592 | UBS AG – HSI R Bear CBBC Jul 2018 Y | 250,000,000 | 2018/04/23 | 2018/07/30 | 62.50 |
| 64583 | UBS AG – Tencent R Bull CBBC Aug 2018 Y | 30,000,000 | 2018/04/23 | 2018/08/20 | 10.20 |
| 64587 | UBS AG – Tencent R Bear CBBC Oct 2018 B | 30,000,000 | 2018/04/23 | 2018/10/15 | 10.20 |
| 64479 | Bank Vontobel – HSI R Bull CBBC Dec 2018 G | 100,000,000 | 2018/04/23 | 2018/12/28 | 25.40 |
| 64599 | Bank Vontobel – HSI R Bear CBBC Oct 2018 T | 100,000,000 | 2018/04/23 | 2018/10/30 | 25.50 |
| 64471 | Bank Vontobel – Tencent R Bull CBBC Oct 2018 C | 40,000,000 | 2018/04/23 | 2018/10/04 | 10.40 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64478 | Bank Vontobel – Tencent R Bull CBBC Oct 2018 D | 40,000,000 | 2018/04/23 | 2018/10/05 | 10.24 |
| 64729 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 H | 250,000,000 | 2018/04/24 | 2019/12/30 | 62.50 |
| 64862 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 A | 250,000,000 | 2018/04/24 | 2018/07/30 | 62.50 |
| 64866 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 D | 250,000,000 | 2018/04/24 | 2018/07/30 | 62.50 |
| 64857 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 X | 250,000,000 | 2018/04/24 | 2018/07/30 | 62.50 |
| 64899 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 D | 50,000,000 | 2018/04/24 | 2019/01/30 | 12.50 |
| 64904 | Credit Suisse AG – A50 China R Bull CBBC Nov 2018 A | 50,000,000 | 2018/04/24 | 2018/11/29 | 12.50 |
| 64867 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 L | 200,000,000 | 2018/04/24 | 2019/09/27 | 50.00 |
| 64879 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 M | 200,000,000 | 2018/04/24 | 2019/09/27 | 50.00 |
| 64914 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/04/24 | 2019/10/30 | 50.00 |
| 64876 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 G | 200,000,000 | 2018/04/24 | 2018/08/30 | 50.00 |
| 64917 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/04/24 | 2018/08/30 | 50.00 |
| 64920 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/04/24 | 2018/08/30 | 50.00 |
| 64875 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/04/24 | 2018/09/27 | 50.00 |
| 64927 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/04/24 | 2018/09/27 | 50.00 |
| 64878 | Credit Suisse AG – Ping An R Bull CBBC Nov 2018 T | 80,000,000 | 2018/04/24 | 2018/11/29 | 20.00 |
| 64881 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 W | 50,000,000 | 2018/04/24 | 2018/10/30 | 20.50 |
| 64912 | Credit Suisse AG – Tencent R Bull CBBC Oct 2018 X | 50,000,000 | 2018/04/24 | 2018/10/30 | 12.50 |
| 64877 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 F | 50,000,000 | 2018/04/24 | 2018/12/28 | 12.50 |
| 64896 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 A | 50,000,000 | 2018/04/24 | 2018/12/28 | 12.50 |
| 65049 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 S | 100,000,000 | 2018/04/24 | 2018/12/31 | 25.00 |
| 64851 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 N | 100,000,000 | 2018/04/24 | 2018/12/31 | 25.00 |
| 64757 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/04/24 | 2019/11/28 | 50.00 |
| 64756 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 T | 200,000,000 | 2018/04/24 | 2019/12/30 | 50.00 |
| 65052 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 M | 200,000,000 | 2018/04/24 | 2018/10/30 | 50.00 |
| 65054 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/04/24 | 2018/10/30 | 50.00 |
| 65058 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 O | 200,000,000 | 2018/04/24 | 2018/10/30 | 50.00 |
| 64856 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 H | 200,000,000 | 2018/04/24 | 2018/12/27 | 50.00 |
| 64850 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 K | 100,000,000 | 2018/04/24 | 2018/12/31 | 25.00 |
| 65042 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 L | 100,000,000 | 2018/04/24 | 2018/12/31 | 25.00 |
| 64846 | HK Bank – HKEX R Bull CBBC Oct 2018 U | 50,000,000 | 2018/04/24 | 2018/10/18 | 12.50 |
| 64747 | HK Bank – HSCEI R Bear CBBC Aug 2018 I | 50,000,000 | 2018/04/24 | 2018/08/30 | 12.50 |
| 64750 | HK Bank – HSI R Bull CBBC Dec 2018 Y | 150,000,000 | 2018/04/24 | 2018/12/28 | 37.50 |
| 64730 | HK Bank – HSI R Bear CBBC Aug 2018 H | 150,000,000 | 2018/04/24 | 2018/08/30 | 37.50 |
| 64741 | HK Bank – Tencent R Bull CBBC Sep 2018 Q | 60,000,000 | 2018/04/24 | 2018/09/06 | 15.00 |
| 64731 | HK Bank – Tencent R Bear CBBC Oct 2018 P | 50,000,000 | 2018/04/24 | 2018/10/15 | 12.50 |
| 64825 | Haitong Int'l Sec – BYD Company R Bear CBBC Mar 2019 A | 140,000,000 | 2018/04/24 | 2019/03/05 | 35.00 |
| 64836 | Haitong Int'l Sec – Country Garden R Bear CBBC Aug 2018 B | 40,000,000 | 2018/04/24 | 2018/08/15 | 10.00 |
| 64822 | Haitong Int'l Sec – China Mobile R Bear CBBC Aug 2018 A | 110,000,000 | 2018/04/24 | 2018/08/08 | 27.50 |
| 64818 | Haitong Int'l Sec – CC Bank R Bear CBBC Aug 2018 A | 110,000,000 | 2018/04/24 | 2018/08/20 | 27.50 |
| 64816 | Haitong Int'l Sec – CNOOC R Bear CBBC Aug 2018 A | 70,000,000 | 2018/04/24 | 2018/08/03 | 17.50 |
| 64794 | Haitong Int'l Sec – HKEX R Bear CBBC Jul 2018 A | 40,000,000 | 2018/04/24 | 2018/07/31 | 10.00 |
| 64785 | Haitong Int'l Sec – HKEX R Bear CBBC Aug 2018 A | 40,000,000 | 2018/04/24 | 2018/08/03 | 10.00 |
| 64777 | Haitong Int'l Sec – HSBC R Bear CBBC Aug 2018 A | 110,000,000 | 2018/04/24 | 2018/08/13 | 27.50 |
| 64784 | Haitong Int'l Sec – HSBC R Bear CBBC Aug 2018 B | 110,000,000 | 2018/04/24 | 2018/08/09 | 27.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64826 | Haitong Int'l Sec – ICBC R Bear CBBC Oct 2018 A | 130,000,000 | 2018/04/24 | 2018/10/15 | 32.50 |
| 64811 | Haitong Int'l Sec – PetroChina R Bear CBBC Aug 2018 A | 150,000,000 | 2018/04/24 | 2018/08/08 | 37.50 |
| 64837 | Haitong Int'l Sec – Ping An R Bear CBBC Aug 2018 A | 20,000,000 | 2018/04/24 | 2018/08/15 | 12.34 |
| 64840 | Haitong Int'l Sec – Ping An R Bear CBBC Aug 2018 B | 20,000,000 | 2018/04/24 | 2018/08/15 | 16.38 |
| 64802 | Haitong Int'l Sec – Tencent R Bear CBBC Aug 2018 B | 40,000,000 | 2018/04/24 | 2018/08/02 | 10.00 |
| 64810 | Haitong Int'l Sec – Tencent R Bear CBBC Aug 2018 C | 40,000,000 | 2018/04/24 | 2018/08/03 | 16.12 |
| 64939 | J P Morgan SP BV – HKEX R Bear CBBC Aug 2018 A | 40,000,000 | 2018/04/24 | 2018/08/10 | 10.00 |
| 64932 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/04/24 | 2019/10/30 | 50.00 |
| 64931 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 K | 200,000,000 | 2018/04/24 | 2019/10/30 | 50.00 |
| 64944 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/04/24 | 2018/08/30 | 50.00 |
| 64949 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 H | 200,000,000 | 2018/04/24 | 2018/09/27 | 50.00 |
| 64952 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/04/24 | 2018/09/27 | 50.00 |
| 64930 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 D | 40,000,000 | 2018/04/24 | 2018/08/10 | 10.00 |
| 64936 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 E | 50,000,000 | 2018/04/24 | 2018/08/10 | 12.50 |
| 64937 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 F | 50,000,000 | 2018/04/24 | 2018/08/10 | 12.50 |
| 64940 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 G | 50,000,000 | 2018/04/24 | 2018/08/10 | 12.50 |
| 64758 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 D | 13,300,000 | 2018/04/24 | 2018/11/15 | 10.09 |
| 64774 | Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2018 B | 12,000,000 | 2018/04/24 | 2018/11/15 | 10.04 |
| 65137 | SG Issuer – CC Bank R Bear CBBC Aug 2018 B | 80,000,000 | 2018/04/24 | 2018/08/10 | 20.00 |
| 65059 | SG Issuer – HKEX R Bull CBBC Oct 2018 A | 50,000,000 | 2018/04/24 | 2018/10/19 | 12.50 |
| 65146 | SG Issuer – HKEX R Bear CBBC Nov 2018 D | 50,000,000 | 2018/04/24 | 2018/11/19 | 12.50 |
| 65114 | SG Issuer – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/04/24 | 2019/10/30 | 50.00 |
| 65086 | SG Issuer – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/04/24 | 2019/11/28 | 50.00 |
| 65099 | SG Issuer – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/04/24 | 2019/12/30 | 50.00 |
| 65135 | SG Issuer – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/04/24 | 2019/12/30 | 50.00 |
| 65090 | SG Issuer – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/04/24 | 2019/12/30 | 50.00 |
| 65101 | SG Issuer – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/04/24 | 2018/10/30 | 50.00 |
| 65079 | SG Issuer – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/04/24 | 2018/10/30 | 50.00 |
| 65100 | SG Issuer – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/04/24 | 2018/10/30 | 50.00 |
| 65102 | SG Issuer – HSI R Bear CBBC Nov 2018 N | 200,000,000 | 2018/04/24 | 2018/11/29 | 50.00 |
| 65106 | SG Issuer – HSI R Bear CBBC Dec 2018 U | 200,000,000 | 2018/04/24 | 2018/12/28 | 50.00 |
| 65062 | SG Issuer – Ping An R Bull CBBC Nov 2018 Q | 100,000,000 | 2018/04/24 | 2018/11/21 | 25.00 |
| 65147 | SG Issuer – Ping An R Bear CBBC Nov 2018 F | 100,000,000 | 2018/04/24 | 2018/11/06 | 25.00 |
| 65087 | SG Issuer – Tencent R Bull CBBC Jul 2018 V | 80,000,000 | 2018/04/24 | 2018/07/26 | 20.00 |
| 65088 | SG Issuer – Tencent R Bull CBBC Aug 2018 B | 80,000,000 | 2018/04/24 | 2018/08/06 | 20.00 |
| 65065 | SG Issuer – Tencent R Bull CBBC Sep 2018 N | 80,000,000 | 2018/04/24 | 2018/09/14 | 20.00 |
| 65089 | SG Issuer – Tencent R Bull CBBC Oct 2018 W | 80,000,000 | 2018/04/24 | 2018/10/22 | 20.00 |
| 65149 | SG Issuer – Tencent R Bear CBBC Aug 2018 O | 80,000,000 | 2018/04/24 | 2018/08/07 | 20.00 |
| 65003 | UBS AG – CC Bank R Bull CBBC Nov 2018 A | 80,000,000 | 2018/04/24 | 2018/11/12 | 20.00 |
| 64969 | UBS AG – HKEX R Bull CBBC Nov 2018 O | 50,000,000 | 2018/04/24 | 2018/11/13 | 12.50 |
| 64974 | UBS AG – HKEX R Bull CBBC Nov 2018 P | 50,000,000 | 2018/04/24 | 2018/11/21 | 12.50 |
| 65012 | UBS AG – HSCEI R Bull CBBC Oct 2019 E | 100,000,000 | 2018/04/24 | 2019/10/30 | 25.00 |
| 65013 | UBS AG – HSCEI R Bear CBBC Aug 2018 C | 100,000,000 | 2018/04/24 | 2018/08/30 | 25.00 |
| 64959 | UBS AG – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/04/24 | 2019/11/28 | 50.00 |
| 64956 | UBS AG – HSI R Bull CBBC Dec 2019 M | 250,000,000 | 2018/04/24 | 2019/12/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 65026 | UBS AG – HSI R Bear CBBC Jul 2018 Q | 250,000,000 | 2018/04/24 | 2018/07/30 | 62.50 |
| 65031 | UBS AG – HSI R Bear CBBC Jul 2018 X | 200,000,000 | 2018/04/24 | 2018/07/30 | 50.00 |
| 65033 | UBS AG – HSI R Bear CBBC Jul 2018 Z | 250,000,000 | 2018/04/24 | 2018/07/30 | 62.50 |
| 65027 | UBS AG – HSI R Bear CBBC Aug 2018 A | 250,000,000 | 2018/04/24 | 2018/08/30 | 62.50 |
| 65004 | UBS AG – Ping An R Bull CBBC Oct 2018 V | 80,000,000 | 2018/04/24 | 2018/10/08 | 20.00 |
| 65018 | UBS AG – Tencent R Bull CBBC Aug 2018 Z | 30,000,000 | 2018/04/24 | 2018/08/28 | 10.20 |
| 64987 | UBS AG – Tencent R Bull CBBC Sep 2018 A | 30,000,000 | 2018/04/24 | 2018/09/12 | 10.20 |
| 65002 | UBS AG – Tencent R Bull CBBC Sep 2018 D | 30,000,000 | 2018/04/24 | 2018/09/03 | 10.20 |
| 65017 | UBS AG – Tencent R Bull CBBC Sep 2018 E | 30,000,000 | 2018/04/24 | 2018/09/19 | 10.20 |
| 64965 | UBS AG – Tencent R Bull CBBC Oct 2018 U | 30,000,000 | 2018/04/24 | 2018/10/24 | 10.20 |
| 64988 | UBS AG – Tencent R Bull CBBC Nov 2018 G | 30,000,000 | 2018/04/24 | 2018/11/12 | 10.20 |
| 64982 | UBS AG – Tencent R Bear CBBC Aug 2018 F | 30,000,000 | 2018/04/24 | 2018/08/20 | 10.20 |
| 64984 | UBS AG – Tencent R Bear CBBC Aug 2018 G | 30,000,000 | 2018/04/24 | 2018/08/15 | 10.20 |
| 64847 | Bank Vontobel – HSI R Bull CBBC Dec 2018 H | 100,000,000 | 2018/04/24 | 2018/12/28 | 25.50 |
| 65210 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2018 U | 50,000,000 | 2018/04/25 | 2018/07/30 | 12.50 |
| 65198 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 I | 250,000,000 | 2018/04/25 | 2019/12/30 | 62.50 |
| 65200 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 G | 50,000,000 | 2018/04/25 | 2018/09/27 | 12.50 |
| 65204 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 L | 50,000,000 | 2018/04/25 | 2018/11/29 | 12.50 |
| 65203 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 N | 50,000,000 | 2018/04/25 | 2018/11/29 | 12.50 |
| 65207 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 Q | 50,000,000 | 2018/04/25 | 2018/11/29 | 12.50 |
| 65304 | Credit Suisse AG – AIA R Bull CBBC Oct 2018 D | 70,000,000 | 2018/04/25 | 2018/10/30 | 17.50 |
| 65307 | Credit Suisse AG – BYD Company R Bull CBBC Nov 2018 F | 50,000,000 | 2018/04/25 | 2018/11/29 | 12.50 |
| 65299 | Credit Suisse AG – CC Bank R Bull CBBC Sep 2018 T | 80,000,000 | 2018/04/25 | 2018/09/27 | 20.00 |
| 65288 | Credit Suisse AG – Geely Auto R Bull CBBC Dec 2018 G | 40,000,000 | 2018/04/25 | 2018/12/28 | 15.60 |
| 65324 | Credit Suisse AG – HSI R Bull CBBC Aug 2019 E | 200,000,000 | 2018/04/25 | 2019/08/29 | 50.00 |
| 65322 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/04/25 | 2019/10/30 | 50.00 |
| 65252 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/04/25 | 2018/08/30 | 50.00 |
| 65248 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/04/25 | 2018/09/27 | 50.00 |
| 65251 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/04/25 | 2018/09/27 | 50.00 |
| 65287 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/04/25 | 2018/09/27 | 50.00 |
| 65285 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 I | 80,000,000 | 2018/04/25 | 2018/12/14 | 20.00 |
| 65321 | Credit Suisse AG – SUNAC R Bull CBBC Jan 2019 E | 80,000,000 | 2018/04/25 | 2019/01/30 | 20.00 |
| 65262 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 T | 50,000,000 | 2018/04/25 | 2018/11/29 | 12.50 |
| 65361 | Bank of East Asia – CNOOC R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/25 | 2018/11/16 | 10.00 |
| 65352 | Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2018 G | 16,000,000 | 2018/04/25 | 2018/11/16 | 10.40 |
| 65349 | Bank of East Asia – HKEX R Bull CBBC Nov 2018 Q | 40,000,000 | 2018/04/25 | 2018/11/16 | 10.00 |
| 65364 | Bank of East Asia – HKEX R Bull CBBC Nov 2018 R | 40,000,000 | 2018/04/25 | 2018/11/16 | 10.00 |
| 65363 | Bank of East Asia – Ping An R Bear CBBC Nov 2018 C | 40,000,000 | 2018/04/25 | 2018/11/16 | 10.00 |
| 65342 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 Y | 30,000,000 | 2018/04/25 | 2018/11/16 | 10.50 |
| 65346 | Bank of East Asia – Tencent R Bear CBBC Nov 2018 D | 30,000,000 | 2018/04/25 | 2018/11/16 | 18.00 |
| 65421 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 K | 100,000,000 | 2018/04/25 | 2018/12/31 | 25.00 |
| 65417 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2018 N | 100,000,000 | 2018/04/25 | 2018/11/30 | 25.00 |
| 65400 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 O | 100,000,000 | 2018/04/25 | 2018/12/31 | 25.00 |
| 65424 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/25 | 2018/12/31 | 25.00 |
| 65407 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/04/25 | 2019/11/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65413 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/04/25 | 2019/11/28 | 50.00 |
| 65183 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/04/25 | 2018/10/30 | 50.00 |
| 65184 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/04/25 | 2018/10/30 | 50.00 |
| 65414 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/25 | 2018/12/31 | 25.00 |
| 65176 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 M | 100,000,000 | 2018/04/25 | 2018/12/31 | 25.00 |
| 65393 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 N | 100,000,000 | 2018/04/25 | 2018/12/31 | 25.00 |
| 65163 | HK Bank – CNOOC R Bull CBBC Oct 2018 N | 50,000,000 | 2018/04/25 | 2018/10/22 | 12.50 |
| 65171 | HK Bank – CSOP A50 ETF R Bull CBBC Oct 2018 O | 50,000,000 | 2018/04/25 | 2018/10/22 | 12.50 |
| 65158 | HK Bank – HKEX R Bull CBBC Oct 2018 W | 50,000,000 | 2018/04/25 | 2018/10/04 | 12.50 |
| 65164 | HK Bank – HKEX R Bear CBBC Oct 2018 L | 40,000,000 | 2018/04/25 | 2018/10/22 | 10.00 |
| 65175 | HK Bank – HSCEI ETF R Bull CBBC Jan 2019 A | 50,000,000 | 2018/04/25 | 2019/01/14 | 12.50 |
| 65159 | HK Bank – HSI R Bull CBBC Dec 2018 Z | 150,000,000 | 2018/04/25 | 2018/12/28 | 37.50 |
| 65160 | HK Bank – HSI R Bear CBBC Aug 2018 D | 150,000,000 | 2018/04/25 | 2018/08/30 | 37.50 |
| 65156 | HK Bank – Ping An R Bull CBBC Oct 2018 S | 100,000,000 | 2018/04/25 | 2018/10/02 | 25.00 |
| 65154 | HK Bank – Tencent R Bull CBBC Sep 2018 N | 60,000,000 | 2018/04/25 | 2018/09/20 | 15.00 |
| 65339 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Y | 200,000,000 | 2018/04/25 | 2019/11/28 | 50.00 |
| 65341 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/04/25 | 2019/11/28 | 50.00 |
| 65326 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 D | 200,000,000 | 2018/04/25 | 2018/08/30 | 50.00 |
| 65325 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Y | 200,000,000 | 2018/04/25 | 2018/08/30 | 50.00 |
| 65333 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/04/25 | 2018/09/27 | 50.00 |
| 65218 | Macquarie Bank Ltd. – AAC Tech R Bull CBBC Apr 2019 B | 22,000,000 | 2018/04/25 | 2019/04/15 | 10.08 |
| 65219 | Macquarie Bank Ltd. – CM Bank R Bull CBBC Nov 2018 B | 15,600,000 | 2018/04/25 | 2018/11/15 | 10.05 |
| 65212 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 E | 13,400,000 | 2018/04/25 | 2018/11/15 | 10.08 |
| 65216 | Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2018 C | 13,200,000 | 2018/04/25 | 2018/11/15 | 10.07 |
| 65373 | SG Issuer – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/04/25 | 2019/10/30 | 50.00 |
| 65374 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/04/25 | 2019/11/28 | 50.00 |
| 65365 | SG Issuer – HSI R Bear CBBC Nov 2018 O | 200,000,000 | 2018/04/25 | 2018/11/29 | 50.00 |
| 65376 | SG Issuer – Ping An R Bull CBBC Oct 2018 P | 100,000,000 | 2018/04/25 | 2018/10/30 | 25.00 |
| 65390 | SG Issuer – Tencent R Bull CBBC Aug 2018 C | 80,000,000 | 2018/04/25 | 2018/08/29 | 20.00 |
| 65392 | SG Issuer – Tencent R Bull CBBC Sep 2018 F | 80,000,000 | 2018/04/25 | 2018/09/27 | 20.00 |
| 65223 | UBS AG – HKEX R Bull CBBC Oct 2018 G | 50,000,000 | 2018/04/25 | 2018/10/11 | 12.50 |
| 65224 | UBS AG – HKEX R Bear CBBC Aug 2018 A | 50,000,000 | 2018/04/25 | 2018/08/20 | 12.50 |
| 65244 | UBS AG – HSI R Bull CBBC Oct 2019 T | 250,000,000 | 2018/04/25 | 2019/10/30 | 62.50 |
| 65246 | UBS AG – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/04/25 | 2019/12/30 | 62.50 |
| 65221 | UBS AG – HSI R Bear CBBC Jul 2018 D | 200,000,000 | 2018/04/25 | 2018/07/30 | 50.00 |
| 65222 | UBS AG – HSI R Bear CBBC Jul 2018 H | 250,000,000 | 2018/04/25 | 2018/07/30 | 62.50 |
| 65242 | UBS AG – HSI R Bear CBBC Jul 2018 S | 250,000,000 | 2018/04/25 | 2018/07/30 | 62.50 |
| 65241 | UBS AG – HSI R Bear CBBC Aug 2018 C | 250,000,000 | 2018/04/25 | 2018/08/30 | 62.50 |
| 65220 | UBS AG – HSI R Bear CBBC Aug 2018 I | 250,000,000 | 2018/04/25 | 2018/08/30 | 62.50 |
| 65240 | UBS AG – Ping An R Bull CBBC Nov 2018 T | 80,000,000 | 2018/04/25 | 2018/11/19 | 20.00 |
| 65227 | UBS AG – Tencent R Bull CBBC Oct 2018 V | 30,000,000 | 2018/04/25 | 2018/10/30 | 10.20 |
| 65230 | UBS AG – Tencent R Bull CBBC Oct 2018 W | 30,000,000 | 2018/04/25 | 2018/10/03 | 10.20 |
| 65232 | UBS AG – Tencent R Bull CBBC Oct 2018 X | 30,000,000 | 2018/04/25 | 2018/10/24 | 10.20 |
| 65233 | UBS AG – Tencent R Bull CBBC Oct 2018 Y | 30,000,000 | 2018/04/25 | 2018/10/22 | 10.20 |
| 65225 | UBS AG – Tencent R Bear CBBC Oct 2018 C | 30,000,000 | 2018/04/25 | 2018/10/08 | 10.20 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 65226 | UBS AG – Tencent R Bear CBBC Oct 2018 D | 30,000,000 | 2018/04/25 | 2018/10/15 | 12.45 |
| 65194 | Bank Vontobel – HSI R Bull CBBC Dec 2018 I | 100,000,000 | 2018/04/25 | 2018/12/28 | 25.30 |
| 65196 | Bank Vontobel – HSI R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/25 | 2018/12/28 | 39.00 |
| 65152 | Bank Vontobel – HSI R Bear CBBC Oct 2018 U | 100,000,000 | 2018/04/25 | 2018/10/30 | 25.30 |
| 65185 | Bank Vontobel – Tencent R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/25 | 2018/11/27 | 41.48 |
| 65151 | Bank Vontobel – Tencent R Bear CBBC Jul 2018 S | 40,000,000 | 2018/04/25 | 2018/07/31 | 10.20 |
| 65563 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 J | 250,000,000 | 2018/04/26 | 2019/12/30 | 62.50 |
| 65564 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 K | 250,000,000 | 2018/04/26 | 2019/12/30 | 62.50 |
| 65566 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 L | 250,000,000 | 2018/04/26 | 2019/12/30 | 62.50 |
| 65442 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 I | 250,000,000 | 2018/04/26 | 2018/07/30 | 62.50 |
| 65470 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 T | 250,000,000 | 2018/04/26 | 2018/07/30 | 62.50 |
| 65727 | Credit Suisse AG – Country Garden R Bull CBBC Dec 2018 A | 40,000,000 | 2018/04/26 | 2018/12/28 | 10.00 |
| 65703 | Credit Suisse AG – CM Bank R Bull CBBC Jan 2019 B | 70,000,000 | 2018/04/26 | 2019/01/30 | 17.50 |
| 65695 | Credit Suisse AG – CNOOC R Bull CBBC Dec 2018 B | 50,000,000 | 2018/04/26 | 2018/12/28 | 12.50 |
| 65753 | Credit Suisse AG – Sinopec Corp R Bull CBBC Dec 2018 C | 60,000,000 | 2018/04/26 | 2018/12/28 | 15.00 |
| 65731 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Oct 2018 F | 50,000,000 | 2018/04/26 | 2018/10/30 | 12.50 |
| 65733 | Credit Suisse AG – A50 China R Bull CBBC Oct 2018 E | 50,000,000 | 2018/04/26 | 2018/10/30 | 12.50 |
| 65692 | Credit Suisse AG – HKEX R Bull CBBC Nov 2018 F | 50,000,000 | 2018/04/26 | 2018/11/29 | 12.50 |
| 65787 | Credit Suisse AG – HSCEI R Bull CBBC Apr 2019 A | 100,000,000 | 2018/04/26 | 2019/04/29 | 25.00 |
| 65783 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 W | 200,000,000 | 2018/04/26 | 2019/07/30 | 50.00 |
| 65784 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 N | 200,000,000 | 2018/04/26 | 2019/09/27 | 50.00 |
| 65785 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 O | 200,000,000 | 2018/04/26 | 2019/09/27 | 50.00 |
| 65779 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 G | 200,000,000 | 2018/04/26 | 2019/10/30 | 50.00 |
| 65780 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/04/26 | 2019/10/30 | 50.00 |
| 65778 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/04/26 | 2019/11/28 | 50.00 |
| 65688 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/04/26 | 2018/08/30 | 50.00 |
| 65691 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/26 | 2018/08/30 | 50.00 |
| 65689 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/04/26 | 2018/09/27 | 50.00 |
| 65765 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/04/26 | 2018/10/30 | 50.00 |
| 65774 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 I | 200,000,000 | 2018/04/26 | 2018/10/30 | 50.00 |
| 65786 | Credit Suisse AG – PetroChina R Bull CBBC Dec 2018 A | 50,000,000 | 2018/04/26 | 2018/12/28 | 12.50 |
| 65730 | Credit Suisse AG – Sunny Optical R Bull CBBC Oct 2018 B | 40,000,000 | 2018/04/26 | 2018/10/30 | 10.00 |
| 65570 | Bank of East Asia – HKEX R Bull CBBC Nov 2018 S | 40,000,000 | 2018/04/26 | 2018/11/22 | 10.00 |
| 65596 | Bank of East Asia – HSBC R Bull CBBC Nov 2018 E | 60,000,000 | 2018/04/26 | 2018/11/22 | 15.00 |
| 65601 | Bank of East Asia – PetroChina R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/26 | 2018/11/22 | 10.00 |
| 65599 | Bank of East Asia – Ping An R Bull CBBC Nov 2018 M | 120,000,000 | 2018/04/26 | 2018/11/22 | 30.00 |
| 65569 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 Z | 30,000,000 | 2018/04/26 | 2018/11/22 | 10.50 |
| 65888 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 T | 100,000,000 | 2018/04/26 | 2018/12/31 | 25.00 |
| 65877 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/04/26 | 2018/12/31 | 25.00 |
| 65878 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 P | 100,000,000 | 2018/04/26 | 2018/12/27 | 25.00 |
| 65875 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 K | 100,000,000 | 2018/04/26 | 2018/12/27 | 25.00 |
| 65858 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 U | 200,000,000 | 2018/04/26 | 2019/12/30 | 50.00 |
| 65864 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 V | 200,000,000 | 2018/04/26 | 2019/12/30 | 50.00 |
| 65873 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/04/26 | 2019/12/30 | 50.00 |
| 65555 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 A | 200,000,000 | 2018/04/26 | 2018/11/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65560 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 B | 200,000,000 | 2018/04/26 | 2018/11/29 | 50.00 |
| 65891 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Dec 2018 V | 100,000,000 | 2018/04/26 | 2018/12/27 | 25.00 |
| 65605 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 J | 100,000,000 | 2018/04/26 | 2018/12/27 | 25.00 |
| 65604 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 O | 100,000,000 | 2018/04/26 | 2018/12/31 | 25.00 |
| 65548 | HK Bank – CC Bank R Bull CBBC Sep 2018 O | 100,000,000 | 2018/04/26 | 2018/09/27 | 25.00 |
| 65508 | HK Bank – Sinopec Corp R Bull CBBC Oct 2018 I | 80,000,000 | 2018/04/26 | 2018/10/22 | 20.00 |
| 65545 | HK Bank – HSCEI R Bull CBBC Dec 2018 U | 60,000,000 | 2018/04/26 | 2018/12/28 | 15.00 |
| 65528 | HK Bank – HSI R Bull CBBC Dec 2018 F | 150,000,000 | 2018/04/26 | 2018/12/28 | 37.50 |
| 65542 | HK Bank – HSI R Bear CBBC Aug 2018 F | 100,000,000 | 2018/04/26 | 2018/08/30 | 25.00 |
| 65543 | HK Bank – HSI R Bear CBBC Sep 2018 E | 100,000,000 | 2018/04/26 | 2018/09/27 | 25.00 |
| 65491 | HK Bank – PetroChina R Bull CBBC Oct 2018 M | 80,000,000 | 2018/04/26 | 2018/10/08 | 20.00 |
| 65481 | HK Bank – SMIC R Bull CBBC Oct 2018 D | 40,000,000 | 2018/04/26 | 2018/10/22 | 10.00 |
| 65547 | HK Bank – Sunny Optical R Bull CBBC Sep 2018 I | 40,000,000 | 2018/04/26 | 2018/09/17 | 10.00 |
| 65518 | HK Bank – Tencent R Bull CBBC Sep 2018 U | 60,000,000 | 2018/04/26 | 2018/09/27 | 15.00 |
| 65687 | J P Morgan SP BV – HKEX R Bull CBBC Aug 2018 A | 40,000,000 | 2018/04/26 | 2018/08/10 | 10.00 |
| 65685 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/04/26 | 2019/11/28 | 50.00 |
| 65674 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/04/26 | 2019/12/30 | 50.00 |
| 65676 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 P | 200,000,000 | 2018/04/26 | 2019/12/30 | 50.00 |
| 65681 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/04/26 | 2019/12/30 | 50.00 |
| 65672 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 M | 200,000,000 | 2018/04/26 | 2018/07/30 | 50.00 |
| 65671 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 K | 200,000,000 | 2018/04/26 | 2018/08/30 | 50.00 |
| 65682 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 E | 50,000,000 | 2018/04/26 | 2018/08/10 | 12.50 |
| 65814 | SG Issuer – CSOP A50 ETF R Bull CBBC Aug 2018 H | 40,000,000 | 2018/04/26 | 2018/08/30 | 10.00 |
| 65819 | SG Issuer – CSOP A50 ETF R Bull CBBC Sep 2018 L | 40,000,000 | 2018/04/26 | 2018/09/20 | 10.00 |
| 65820 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 K | 40,000,000 | 2018/04/26 | 2018/10/24 | 10.00 |
| 65845 | SG Issuer – HSI R Bull CBBC Sep 2019 C | 200,000,000 | 2018/04/26 | 2019/09/27 | 50.00 |
| 65842 | SG Issuer – HSI R Bull CBBC Sep 2019 Z | 200,000,000 | 2018/04/26 | 2019/09/27 | 50.00 |
| 65821 | SG Issuer – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/04/26 | 2019/12/30 | 50.00 |
| 65792 | SG Issuer – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/04/26 | 2018/09/27 | 50.00 |
| 65795 | SG Issuer – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/04/26 | 2018/10/30 | 50.00 |
| 65796 | SG Issuer – HSI R Bear CBBC Nov 2018 A | 200,000,000 | 2018/04/26 | 2018/11/29 | 50.00 |
| 65833 | SG Issuer – HSI R Bear CBBC Nov 2018 P | 200,000,000 | 2018/04/26 | 2018/11/29 | 50.00 |
| 65838 | SG Issuer – HSI R Bear CBBC Dec 2018 V | 200,000,000 | 2018/04/26 | 2018/12/28 | 50.00 |
| 65798 | SG Issuer – Ping An R Bull CBBC Oct 2018 Q | 100,000,000 | 2018/04/26 | 2018/10/22 | 25.00 |
| 65799 | SG Issuer – SUNAC R Bull CBBC Apr 2019 C | 80,000,000 | 2018/04/26 | 2019/04/23 | 20.00 |
| 65800 | SG Issuer – Sunny Optical R Bull CBBC Sep 2018 C | 40,000,000 | 2018/04/26 | 2018/09/24 | 10.00 |
| 65811 | SG Issuer – Tencent R Bull CBBC Jul 2018 C | 80,000,000 | 2018/04/26 | 2018/07/31 | 20.00 |
| 65849 | SG Issuer – Tencent R Bull CBBC Jul 2018 D | 80,000,000 | 2018/04/26 | 2018/07/30 | 20.00 |
| 65801 | SG Issuer – Tencent R Bull CBBC Jul 2018 P | 80,000,000 | 2018/04/26 | 2018/07/26 | 20.00 |
| 65802 | SG Issuer – Tencent R Bear CBBC Jul 2018 R | 80,000,000 | 2018/04/26 | 2018/07/26 | 20.00 |
| 65646 | UBS AG – HSI R Bull CBBC Oct 2019 H | 250,000,000 | 2018/04/26 | 2019/10/30 | 62.50 |
| 65638 | UBS AG – HSI R Bull CBBC Oct 2019 V | 250,000,000 | 2018/04/26 | 2019/10/30 | 62.50 |
| 65640 | UBS AG – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/04/26 | 2019/11/28 | 50.00 |
| 65665 | UBS AG – HSI R Bull CBBC Dec 2019 O | 250,000,000 | 2018/04/26 | 2019/12/30 | 62.50 |
| 65625 | UBS AG – HSI R Bear CBBC Jul 2018 E | 200,000,000 | 2018/04/26 | 2018/07/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65635 | UBS AG – HSI R Bear CBBC Jul 2018 G | 200,000,000 | 2018/04/26 | 2018/07/30 | 50.00 |
| 65664 | UBS AG – HSI R Bear CBBC Jul 2018 O | 200,000,000 | 2018/04/26 | 2018/07/30 | 50.00 |
| 65609 | UBS AG – HSI R Bear CBBC Jul 2018 V | 250,000,000 | 2018/04/26 | 2018/07/30 | 62.50 |
| 65656 | UBS AG – Tencent R Bull CBBC Sep 2018 F | 30,000,000 | 2018/04/26 | 2018/09/17 | 10.20 |
| 65438 | Bank Vontobel – HSI R Bear CBBC Oct 2018 V | 100,000,000 | 2018/04/26 | 2018/10/30 | 25.20 |
| 65940 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 I | 50,000,000 | 2018/04/27 | 2018/08/30 | 12.50 |
| 65937 | BNP Paribas Issuance B.V. – HSBC R Bull CBBC Nov 2018 C | 150,000,000 | 2018/04/27 | 2018/11/29 | 37.50 |
| 65892 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jul 2018 U | 250,000,000 | 2018/04/27 | 2018/07/30 | 62.50 |
| 65939 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 J | 200,000,000 | 2018/04/27 | 2018/11/29 | 50.00 |
| 66088 | Credit Suisse AG – China Mobile R Bull CBBC Oct 2018 M | 50,000,000 | 2018/04/27 | 2018/10/30 | 12.50 |
| 66084 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 D | 100,000,000 | 2018/04/27 | 2018/12/28 | 25.00 |
| 66080 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 X | 200,000,000 | 2018/04/27 | 2019/07/30 | 50.00 |
| 66098 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 Y | 200,000,000 | 2018/04/27 | 2019/07/30 | 50.00 |
| 66104 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 Z | 200,000,000 | 2018/04/27 | 2019/07/30 | 50.00 |
| 66081 | Credit Suisse AG – HSI R Bull CBBC Aug 2019 F | 200,000,000 | 2018/04/27 | 2019/08/29 | 50.00 |
| 66108 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 F | 200,000,000 | 2018/04/27 | 2018/08/30 | 50.00 |
| 66082 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/04/27 | 2018/08/30 | 50.00 |
| 66083 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Z | 200,000,000 | 2018/04/27 | 2018/08/30 | 50.00 |
| 66087 | Credit Suisse AG – PetroChina R Bull CBBC Nov 2018 D | 50,000,000 | 2018/04/27 | 2018/11/29 | 12.50 |
| 66121 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 C | 50,000,000 | 2018/04/27 | 2018/11/29 | 12.50 |
| 66162 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Dec 2018 J | 40,000,000 | 2018/04/27 | 2018/12/31 | 10.00 |
| 66163 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Dec 2018 K | 40,000,000 | 2018/04/27 | 2018/12/31 | 10.00 |
| 65958 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/04/27 | 2018/12/31 | 25.00 |
| 65946 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 L | 100,000,000 | 2018/04/27 | 2018/12/31 | 25.00 |
| 65901 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 F | 200,000,000 | 2018/04/27 | 2019/11/28 | 50.00 |
| 65906 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/04/27 | 2019/11/28 | 50.00 |
| 65959 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 R | 200,000,000 | 2018/04/27 | 2018/10/30 | 50.00 |
| 65970 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/04/27 | 2018/10/30 | 50.00 |
| 66164 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/04/27 | 2018/10/30 | 50.00 |
| 66168 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 H | 100,000,000 | 2018/04/27 | 2018/12/31 | 25.00 |
| 66170 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 I | 100,000,000 | 2018/04/27 | 2018/12/31 | 25.00 |
| 65915 | HK Bank – HKEX R Bull CBBC Oct 2018 C | 50,000,000 | 2018/04/27 | 2018/10/25 | 12.50 |
| 65929 | HK Bank – HSI R Bull CBBC Mar 2019 O | 100,000,000 | 2018/04/27 | 2019/03/28 | 25.00 |
| 65918 | HK Bank – HSI R Bear CBBC Aug 2018 G | 100,000,000 | 2018/04/27 | 2018/08/30 | 25.00 |
| 65917 | HK Bank – ICBC R Bull CBBC Oct 2018 C | 100,000,000 | 2018/04/27 | 2018/10/02 | 25.00 |
| 65911 | HK Bank – SMIC R Bull CBBC Nov 2018 A | 40,000,000 | 2018/04/27 | 2018/11/05 | 10.00 |
| 65930 | HK Bank – Tencent R Bull CBBC Oct 2018 W | 60,000,000 | 2018/04/27 | 2018/10/02 | 15.00 |
| 66139 | J P Morgan SP BV – HKEX R Bull CBBC Sep 2018 A | 40,000,000 | 2018/04/27 | 2018/09/14 | 10.00 |
| 66138 | J P Morgan SP BV – HSBC R Bull CBBC Sep 2018 B | 100,000,000 | 2018/04/27 | 2018/09/14 | 25.00 |
| 66151 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 L | 200,000,000 | 2018/04/27 | 2019/10/30 | 50.00 |
| 66122 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 D | 200,000,000 | 2018/04/27 | 2019/11/28 | 50.00 |
| 66126 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 X | 200,000,000 | 2018/04/27 | 2019/12/30 | 50.00 |
| 66155 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 T | 200,000,000 | 2018/04/27 | 2018/07/30 | 50.00 |
| 66157 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/04/27 | 2018/08/30 | 50.00 |
| 66134 | J P Morgan SP BV – Ping An R Bull CBBC Aug 2018 L | 100,000,000 | 2018/04/27 | 2018/08/10 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66067 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 L | 40,000,000 | 2018/04/27 | 2018/11/15 | 10.00 |
| 66068 | SG Issuer – A50 China R Bull CBBC Nov 2018 G | 50,000,000 | 2018/04/27 | 2018/11/22 | 12.50 |
| 66000 | SG Issuer – HKEX R Bull CBBC Nov 2018 U | 50,000,000 | 2018/04/27 | 2018/11/21 | 12.50 |
| 66034 | SG Issuer – HSBC R Bull CBBC Nov 2018 T | 100,000,000 | 2018/04/27 | 2018/11/19 | 25.00 |
| 66016 | SG Issuer – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/04/27 | 2019/10/30 | 50.00 |
| 66010 | SG Issuer – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/04/27 | 2019/11/28 | 50.00 |
| 66027 | SG Issuer – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/04/27 | 2019/12/30 | 50.00 |
| 66015 | SG Issuer – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/04/27 | 2019/12/30 | 50.00 |
| 66078 | SG Issuer – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/04/27 | 2018/10/30 | 50.00 |
| 66073 | SG Issuer – HSI R Bear CBBC Oct 2018 Y | 200,000,000 | 2018/04/27 | 2018/10/30 | 50.00 |
| 66002 | SG Issuer – Tencent R Bull CBBC Jul 2018 E | 80,000,000 | 2018/04/27 | 2018/07/31 | 20.00 |
| 66050 | SG Issuer – Tencent R Bull CBBC Jul 2018 G | 80,000,000 | 2018/04/27 | 2018/07/27 | 20.00 |
| 66066 | SG Issuer – Tencent R Bull CBBC Jul 2018 H | 80,000,000 | 2018/04/27 | 2018/07/30 | 20.00 |
| 65989 | UBS AG – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/04/27 | 2019/10/30 | 50.00 |
| 65996 | UBS AG – HSI R Bull CBBC Oct 2019 W | 200,000,000 | 2018/04/27 | 2019/10/30 | 50.00 |
| 65988 | UBS AG – HSI R Bull CBBC Nov 2019 E | 250,000,000 | 2018/04/27 | 2019/11/28 | 62.50 |
| 65983 | UBS AG – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/04/27 | 2019/11/28 | 50.00 |
| 65999 | UBS AG – HSI R Bear CBBC Jul 2018 W | 200,000,000 | 2018/04/27 | 2018/07/30 | 50.00 |
| 65998 | UBS AG – HSI R Bear CBBC Aug 2018 F | 250,000,000 | 2018/04/27 | 2018/08/30 | 62.50 |
| 66184 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 M | 250,000,000 | 2018/04/30 | 2019/12/30 | 62.50 |
| 66206 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/04/30 | 2019/12/30 | 62.50 |
| 66388 | Credit Suisse AG – Galaxy Ent R Bear CBBC Nov 2018 A | 50,000,000 | 2018/04/30 | 2018/11/29 | 12.50 |
| 66359 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 B | 200,000,000 | 2018/04/30 | 2019/07/30 | 50.00 |
| 66355 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/04/30 | 2019/10/30 | 50.00 |
| 66406 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/04/30 | 2018/08/30 | 50.00 |
| 66361 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/04/30 | 2018/09/27 | 50.00 |
| 66409 | Credit Suisse AG – Tencent R Bear CBBC Oct 2018 F | 50,000,000 | 2018/04/30 | 2018/10/30 | 12.50 |
| 66257 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 X | 200,000,000 | 2018/04/30 | 2019/12/30 | 50.00 |
| 66261 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/04/30 | 2019/12/30 | 50.00 |
| 66411 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/04/30 | 2018/10/30 | 50.00 |
| 66228 | HK Bank – Galaxy Ent R Bull CBBC Nov 2018 A | 80,000,000 | 2018/04/30 | 2018/11/05 | 20.00 |
| 66238 | HK Bank – HSI R Bull CBBC Mar 2019 P | 100,000,000 | 2018/04/30 | 2019/03/28 | 25.00 |
| 66239 | HK Bank – HSI R Bear CBBC Sep 2018 G | 100,000,000 | 2018/04/30 | 2018/09/27 | 25.00 |
| 66253 | HK Bank – NCI R Bull CBBC Dec 2018 B | 40,000,000 | 2018/04/30 | 2018/12/24 | 10.00 |
| 66215 | HK Bank – Tencent R Bear CBBC Oct 2018 Q | 50,000,000 | 2018/04/30 | 2018/10/22 | 12.50 |
| 66487 | J P Morgan SP BV – HSI R Bear CBBC Jul 2018 L | 200,000,000 | 2018/04/30 | 2018/07/30 | 50.00 |
| 66488 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/04/30 | 2018/08/30 | 50.00 |
| 66479 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 F | 50,000,000 | 2018/04/30 | 2018/08/10 | 12.50 |
| 66480 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 H | 50,000,000 | 2018/04/30 | 2018/08/10 | 12.50 |
| 66423 | SG Issuer – AAC Tech R Bull CBBC Dec 2018 C | 40,000,000 | 2018/04/30 | 2018/12/28 | 10.60 |
| 66424 | SG Issuer – BYD Company R Bull CBBC Apr 2019 A | 50,000,000 | 2018/04/30 | 2019/04/16 | 12.50 |
| 66425 | SG Issuer – BYD Company R Bull CBBC Apr 2019 B | 50,000,000 | 2018/04/30 | 2019/04/30 | 12.50 |
| 66430 | SG Issuer – Evergrande R Bull CBBC Dec 2018 D | 100,000,000 | 2018/04/30 | 2018/12/20 | 68.00 |
| 66433 | SG Issuer – Evergrande R Bull CBBC Oct 2019 A | 100,000,000 | 2018/04/30 | 2019/10/30 | 25.00 |
| 66420 | SG Issuer – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/04/30 | 2019/12/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66466 | SG Issuer – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/04/30 | 2019/12/30 | 50.00 |
| 66442 | SG Issuer – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/04/30 | 2018/08/30 | 50.00 |
| 66456 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/04/30 | 2018/09/27 | 50.00 |
| 66473 | SG Issuer – Ping An R Bull CBBC Oct 2018 A | 100,000,000 | 2018/04/30 | 2018/10/26 | 25.00 |
| 66413 | SG Issuer – Tencent R Bull CBBC Jul 2018 I | 80,000,000 | 2018/04/30 | 2018/07/30 | 20.00 |
| 66435 | SG Issuer – Tencent R Bull CBBC Jul 2018 L | 80,000,000 | 2018/04/30 | 2018/07/31 | 20.00 |
| 66477 | SG Issuer – Tencent R Bear CBBC Jul 2018 S | 80,000,000 | 2018/04/30 | 2018/07/31 | 20.00 |
| 66290 | UBS AG – HSI R Bull CBBC Nov 2019 T | 250,000,000 | 2018/04/30 | 2019/11/28 | 62.50 |
| 66287 | UBS AG – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/04/30 | 2019/12/30 | 62.50 |
| 66333 | UBS AG – HSI R Bear CBBC Jul 2018 K | 250,000,000 | 2018/04/30 | 2018/07/30 | 62.50 |
| 66177 | Bank Vontobel – Tencent R Bull CBBC Nov 2018 B | 40,000,000 | 2018/04/30 | 2018/11/28 | 10.16 |
| 66516 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 A | 250,000,000 | 2018/05/02 | 2018/08/30 | 62.50 |
| 66529 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 B | 250,000,000 | 2018/05/02 | 2018/08/30 | 62.50 |
| 66813 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Nov 2018 C | 50,000,000 | 2018/05/02 | 2018/11/29 | 12.50 |
| 66797 | Credit Suisse AG – HKEX R Bull CBBC Dec 2018 C | 50,000,000 | 2018/05/02 | 2018/12/28 | 12.50 |
| 66816 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 P | 200,000,000 | 2018/05/02 | 2019/09/27 | 50.00 |
| 66831 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 U | 200,000,000 | 2018/05/02 | 2019/09/27 | 50.00 |
| 66841 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/05/02 | 2019/10/30 | 50.00 |
| 66727 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/05/02 | 2018/08/30 | 50.00 |
| 66726 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/05/02 | 2018/09/27 | 50.00 |
| 66770 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/05/02 | 2018/09/27 | 50.00 |
| 66814 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/05/02 | 2018/09/27 | 50.00 |
| 66803 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 J | 80,000,000 | 2018/05/02 | 2018/12/28 | 20.00 |
| 66799 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 G | 50,000,000 | 2018/05/02 | 2018/12/28 | 12.50 |
| 66853 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2018 K | 100,000,000 | 2018/05/02 | 2018/12/27 | 25.00 |
| 66854 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2018 O | 100,000,000 | 2018/05/02 | 2018/11/30 | 25.00 |
| 66873 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 J | 100,000,000 | 2018/05/02 | 2019/12/30 | 25.00 |
| 66534 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 C | 200,000,000 | 2018/05/02 | 2018/11/29 | 50.00 |
| 66535 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 D | 200,000,000 | 2018/05/02 | 2018/11/29 | 50.00 |
| 66537 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 E | 200,000,000 | 2018/05/02 | 2018/11/29 | 50.00 |
| 66860 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 F | 100,000,000 | 2018/05/02 | 2018/11/29 | 25.00 |
| 66852 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 K | 100,000,000 | 2018/05/02 | 2018/12/31 | 25.00 |
| 66628 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 P | 100,000,000 | 2018/05/02 | 2018/12/27 | 25.00 |
| 66844 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 J | 100,000,000 | 2018/05/02 | 2018/12/27 | 25.00 |
| 66554 | HK Bank – BYD Company R Bull CBBC Apr 2019 A | 50,000,000 | 2018/05/02 | 2019/04/29 | 12.50 |
| 66561 | HK Bank – Evergrande R Bull CBBC Apr 2019 B | 100,000,000 | 2018/05/02 | 2019/04/29 | 25.00 |
| 66541 | HK Bank – HSI R Bull CBBC Mar 2019 Q | 100,000,000 | 2018/05/02 | 2019/03/28 | 25.00 |
| 66546 | HK Bank – Ping An R Bull CBBC Oct 2018 V | 100,000,000 | 2018/05/02 | 2018/10/11 | 25.00 |
| 66543 | HK Bank – SMIC R Bull CBBC Apr 2019 A | 40,000,000 | 2018/05/02 | 2019/04/29 | 12.00 |
| 66545 | HK Bank – SMIC R Bull CBBC Jun 2019 A | 40,000,000 | 2018/05/02 | 2019/06/24 | 16.00 |
| 66705 | J P Morgan SP BV – CC Bank R Bull CBBC Sep 2018 H | 100,000,000 | 2018/05/02 | 2018/09/21 | 25.00 |
| 66715 | J P Morgan SP BV – Galaxy Ent R Bull CBBC Sep 2018 A | 100,000,000 | 2018/05/02 | 2018/09/21 | 25.00 |
| 66718 | J P Morgan SP BV – HKEX R Bull CBBC Aug 2018 B | 40,000,000 | 2018/05/02 | 2018/08/10 | 10.00 |
| 66661 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/05/02 | 2019/11/28 | 50.00 |
| 66658 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/05/02 | 2019/12/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66633 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 L | 200,000,000 | 2018/05/02 | 2018/08/30 | 50.00 |
| 66657 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/05/02 | 2018/08/30 | 50.00 |
| 66672 | J P Morgan SP BV – Ping An R Bull CBBC Sep 2018 A | 100,000,000 | 2018/05/02 | 2018/09/21 | 25.00 |
| 66574 | SG Issuer – HKEX R Bull CBBC Sep 2018 O | 50,000,000 | 2018/05/02 | 2018/09/24 | 12.50 |
| 66596 | SG Issuer – HSBC R Bull CBBC Dec 2018 L | 100,000,000 | 2018/05/02 | 2018/12/14 | 25.00 |
| 66572 | SG Issuer – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/05/02 | 2019/12/30 | 50.00 |
| 66563 | SG Issuer – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/05/02 | 2018/09/27 | 50.00 |
| 66567 | SG Issuer – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/05/02 | 2018/10/30 | 50.00 |
| 66571 | SG Issuer – HSI R Bear CBBC Nov 2018 R | 200,000,000 | 2018/05/02 | 2018/11/29 | 50.00 |
| 66587 | SG Issuer – Ping An R Bull CBBC Oct 2018 B | 100,000,000 | 2018/05/02 | 2018/10/11 | 25.00 |
| 66584 | SG Issuer – Ping An R Bull CBBC Oct 2018 Y | 100,000,000 | 2018/05/02 | 2018/10/04 | 25.00 |
| 66588 | SG Issuer – SUNAC R Bull CBBC May 2019 A | 80,000,000 | 2018/05/02 | 2019/05/30 | 20.00 |
| 66589 | SG Issuer – Tencent R Bull CBBC Aug 2018 D | 80,000,000 | 2018/05/02 | 2018/08/03 | 20.00 |
| 66590 | SG Issuer – Tencent R Bear CBBC Aug 2018 P | 80,000,000 | 2018/05/02 | 2018/08/06 | 20.00 |
| 66615 | UBS AG – CSOP A50 ETF R Bull CBBC Oct 2018 H | 50,000,000 | 2018/05/02 | 2018/10/10 | 12.50 |
| 66605 | UBS AG – CSOP A50 ETF R Bull CBBC Nov 2018 A | 50,000,000 | 2018/05/02 | 2018/11/02 | 12.50 |
| 66624 | UBS AG – A50 China R Bull CBBC Oct 2018 C | 50,000,000 | 2018/05/02 | 2018/10/22 | 12.50 |
| 66626 | UBS AG – A50 China R Bull CBBC Oct 2018 D | 50,000,000 | 2018/05/02 | 2018/10/29 | 12.50 |
| 66627 | UBS AG – HSI R Bull CBBC Dec 2019 R | 250,000,000 | 2018/05/02 | 2019/12/30 | 62.50 |
| 66597 | UBS AG – HSI R Bear CBBC Aug 2018 H | 250,000,000 | 2018/05/02 | 2018/08/30 | 62.50 |
| 66598 | UBS AG – HSI R Bear CBBC Aug 2018 J | 250,000,000 | 2018/05/02 | 2018/08/30 | 62.50 |
| 66617 | UBS AG – Tencent R Bull CBBC Sep 2018 G | 30,000,000 | 2018/05/02 | 2018/09/19 | 10.20 |
| 66533 | Bank Vontobel – HSI R Bull CBBC Dec 2018 K | 100,000,000 | 2018/05/02 | 2018/12/28 | 25.10 |
| 66511 | Bank Vontobel – HSI R Bear CBBC Oct 2018 W | 100,000,000 | 2018/05/02 | 2018/10/30 | 25.60 |
| 67040 | BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Nov 2018 U | 100,000,000 | 2018/05/03 | 2018/11/29 | 25.00 |
| 67044 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 T | 100,000,000 | 2018/05/03 | 2018/11/29 | 25.00 |
| 67046 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18C | 60,000,000 | 2018/05/03 | 2018/11/29 | 15.00 |
| 67047 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 A | 50,000,000 | 2018/05/03 | 2019/03/28 | 12.50 |
| 66945 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 C | 250,000,000 | 2018/05/03 | 2018/08/30 | 62.50 |
| 66949 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 D | 250,000,000 | 2018/05/03 | 2018/08/30 | 62.50 |
| 67034 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 S | 50,000,000 | 2018/05/03 | 2018/11/29 | 12.50 |
| 67070 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 D | 200,000,000 | 2018/05/03 | 2019/07/30 | 50.00 |
| 67076 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 Q | 200,000,000 | 2018/05/03 | 2019/09/27 | 50.00 |
| 67066 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 K | 200,000,000 | 2018/05/03 | 2019/10/30 | 50.00 |
| 67145 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/05/03 | 2018/08/30 | 50.00 |
| 67138 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/05/03 | 2018/08/30 | 50.00 |
| 67120 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 X | 200,000,000 | 2018/05/03 | 2018/09/27 | 50.00 |
| 67117 | Credit Suisse AG – Ping An R Bull CBBC Nov 2018 U | 80,000,000 | 2018/05/03 | 2018/11/23 | 20.00 |
| 67137 | Credit Suisse AG – SUNAC R Bull CBBC Jan 2019 F | 80,000,000 | 2018/05/03 | 2019/01/18 | 20.00 |
| 67108 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 H | 50,000,000 | 2018/05/03 | 2018/12/21 | 12.50 |
| 67115 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 I | 50,000,000 | 2018/05/03 | 2018/12/07 | 12.50 |
| 67124 | Credit Suisse AG – Tencent R Bear CBBC Oct 2018 G | 50,000,000 | 2018/05/03 | 2018/10/30 | 12.50 |
| 67148 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 D | 50,000,000 | 2018/05/03 | 2018/11/28 | 12.50 |
| 67260 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 L | 100,000,000 | 2018/05/03 | 2018/12/20 | 25.00 |
| 67007 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 R | 100,000,000 | 2018/05/03 | 2018/12/27 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67168 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/05/03 | 2019/11/28 | 50.00 |
| 67015 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/05/03 | 2019/12/30 | 50.00 |
| 67169 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 V | 200,000,000 | 2018/05/03 | 2018/10/30 | 50.00 |
| 67173 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/05/03 | 2018/10/30 | 50.00 |
| 67002 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 L | 100,000,000 | 2018/05/03 | 2018/12/18 | 25.00 |
| 67000 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/05/03 | 2018/12/18 | 25.00 |
| 67001 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 R | 100,000,000 | 2018/05/03 | 2018/12/18 | 25.00 |
| 67154 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 S | 100,000,000 | 2018/05/03 | 2018/12/20 | 25.00 |
| 67155 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 T | 100,000,000 | 2018/05/03 | 2018/12/20 | 28.40 |
| 67158 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 U | 100,000,000 | 2018/05/03 | 2018/12/20 | 35.60 |
| 67161 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 K | 100,000,000 | 2018/05/03 | 2018/12/20 | 25.00 |
| 66908 | HK Bank – HSI R Bull CBBC Dec 2018 G | 150,000,000 | 2018/05/03 | 2018/12/28 | 37.50 |
| 66899 | HK Bank – Tencent R Bull CBBC Sep 2018 T | 60,000,000 | 2018/05/03 | 2018/09/20 | 15.00 |
| 67235 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/05/03 | 2019/11/28 | 50.00 |
| 67234 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/05/03 | 2019/12/30 | 50.00 |
| 67238 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/03 | 2018/08/30 | 50.00 |
| 67239 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 G | 50,000,000 | 2018/05/03 | 2018/08/10 | 12.50 |
| 67241 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 H | 50,000,000 | 2018/05/03 | 2018/08/10 | 16.50 |
| 67242 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 I | 50,000,000 | 2018/05/03 | 2018/08/17 | 19.00 |
| 67243 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 A | 50,000,000 | 2018/05/03 | 2018/09/14 | 21.50 |
| 67245 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 B | 50,000,000 | 2018/05/03 | 2018/09/14 | 24.00 |
| 67250 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 I | 50,000,000 | 2018/05/03 | 2018/08/17 | 12.50 |
| 66988 | Macquarie Bank Ltd. – HKEX R Bull CBBC Nov 2018 D | 16,000,000 | 2018/05/03 | 2018/11/29 | 10.14 |
| 66999 | Macquarie Bank Ltd. – HKEX R Bull CBBC Nov 2018 E | 16,000,000 | 2018/05/03 | 2018/11/29 | 10.18 |
| 67202 | SG Issuer – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/05/03 | 2019/11/28 | 50.00 |
| 67211 | SG Issuer – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/05/03 | 2019/11/28 | 50.00 |
| 67203 | SG Issuer – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/05/03 | 2019/11/28 | 50.00 |
| 67223 | SG Issuer – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/05/03 | 2019/12/30 | 50.00 |
| 67224 | SG Issuer – HSI R Bear CBBC Oct 2018 I | 200,000,000 | 2018/05/03 | 2018/10/30 | 50.00 |
| 67225 | SG Issuer – HSI R Bear CBBC Dec 2018 W | 200,000,000 | 2018/05/03 | 2018/12/28 | 50.00 |
| 67229 | SG Issuer – Ping An R Bear CBBC Oct 2018 L | 100,000,000 | 2018/05/03 | 2018/10/30 | 25.00 |
| 67181 | SG Issuer – Tencent R Bull CBBC Aug 2018 J | 80,000,000 | 2018/05/03 | 2018/08/03 | 20.00 |
| 67187 | SG Issuer – Tencent R Bull CBBC Aug 2018 K | 80,000,000 | 2018/05/03 | 2018/08/06 | 20.00 |
| 67188 | SG Issuer – Tencent R Bull CBBC Aug 2018 N | 80,000,000 | 2018/05/03 | 2018/08/07 | 20.00 |
| 67189 | SG Issuer – Tencent R Bull CBBC Aug 2018 P | 80,000,000 | 2018/05/03 | 2018/08/07 | 20.00 |
| 67196 | SG Issuer – Tencent R Bull CBBC Sep 2018 H | 80,000,000 | 2018/05/03 | 2018/09/04 | 31.60 |
| 67232 | SG Issuer – Tencent R Bear CBBC Aug 2018 Q | 80,000,000 | 2018/05/03 | 2018/08/08 | 20.00 |
| 66914 | UBS AG – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/05/03 | 2019/11/28 | 50.00 |
| 66918 | UBS AG – HSI R Bull CBBC Dec 2019 U | 250,000,000 | 2018/05/03 | 2019/12/30 | 62.50 |
| 67057 | UBS AG – HSI R Bear CBBC Aug 2018 S | 250,000,000 | 2018/05/03 | 2018/08/30 | 62.50 |
| 67048 | UBS AG – HSI R Bear CBBC Sep 2018 N | 250,000,000 | 2018/05/03 | 2018/09/27 | 62.50 |
| 67058 | UBS AG – Tencent R Bull CBBC Oct 2018 A | 30,000,000 | 2018/05/03 | 2018/10/29 | 10.20 |
| 66921 | UBS AG – Tencent R Bull CBBC Oct 2018 Z | 30,000,000 | 2018/05/03 | 2018/10/15 | 10.20 |
| 66929 | UBS AG – Tencent R Bull CBBC Nov 2018 H | 30,000,000 | 2018/05/03 | 2018/11/26 | 10.20 |
| 66930 | UBS AG – Tencent R Bear CBBC Oct 2018 E | 30,000,000 | 2018/05/03 | 2018/10/22 | 10.20 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67020 | Bank Vontobel – HSI R Bull CBBC Dec 2018 L | 100,000,000 | 2018/05/03 | 2018/12/28 | 25.30 |
| 67033 | Bank Vontobel – HSI R Bear CBBC Oct 2018 X | 100,000,000 | 2018/05/03 | 2018/10/30 | 25.40 |
| 67291 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 O | 250,000,000 | 2018/05/04 | 2019/12/30 | 62.50 |
| 67385 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/05/04 | 2019/12/30 | 62.50 |
| 67392 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 W | 250,000,000 | 2018/05/04 | 2019/12/30 | 62.50 |
| 67370 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 E | 250,000,000 | 2018/05/04 | 2018/08/30 | 62.50 |
| 67376 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 F | 250,000,000 | 2018/05/04 | 2018/08/30 | 62.50 |
| 67504 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 G | 250,000,000 | 2018/05/04 | 2018/08/30 | 62.50 |
| 67378 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 L | 50,000,000 | 2018/05/04 | 2018/09/27 | 12.50 |
| 67694 | Credit Suisse AG – BYD Company R Bull CBBC Nov 2018 G | 50,000,000 | 2018/05/04 | 2018/11/29 | 12.50 |
| 67691 | Credit Suisse AG – Geely Auto R Bear CBBC Nov 2018 E | 40,000,000 | 2018/05/04 | 2018/11/29 | 10.00 |
| 67659 | Credit Suisse AG – HKEX R Bull CBBC Nov 2018 G | 50,000,000 | 2018/05/04 | 2018/11/29 | 12.50 |
| 67707 | Credit Suisse AG – HKEX R Bear CBBC Nov 2018 A | 50,000,000 | 2018/05/04 | 2018/11/29 | 12.50 |
| 67634 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 V | 200,000,000 | 2018/05/04 | 2019/09/27 | 50.00 |
| 67684 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 W | 200,000,000 | 2018/05/04 | 2019/09/27 | 50.00 |
| 67695 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 X | 200,000,000 | 2018/05/04 | 2019/09/27 | 50.00 |
| 67646 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 L | 200,000,000 | 2018/05/04 | 2019/10/30 | 50.00 |
| 67678 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 M | 200,000,000 | 2018/05/04 | 2019/10/30 | 50.00 |
| 67697 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 G | 200,000,000 | 2018/05/04 | 2018/08/30 | 50.00 |
| 67701 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/04 | 2018/08/30 | 50.00 |
| 67702 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/05/04 | 2018/09/27 | 50.00 |
| 67705 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/05/04 | 2018/10/30 | 50.00 |
| 67663 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 K | 80,000,000 | 2018/05/04 | 2018/12/28 | 20.00 |
| 67692 | Credit Suisse AG – Ping An R Bear CBBC Nov 2018 E | 50,000,000 | 2018/05/04 | 2018/11/23 | 12.50 |
| 67660 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 U | 50,000,000 | 2018/05/04 | 2018/11/30 | 12.50 |
| 67690 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 V | 50,000,000 | 2018/05/04 | 2018/11/08 | 12.50 |
| 67711 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 E | 50,000,000 | 2018/05/04 | 2018/11/29 | 12.50 |
| 67714 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 B | 50,000,000 | 2018/05/04 | 2018/12/07 | 12.50 |
| 67342 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 S | 100,000,000 | 2018/05/04 | 2018/12/27 | 25.00 |
| 67350 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/05/04 | 2019/11/28 | 50.00 |
| 67496 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/05/04 | 2019/11/28 | 50.00 |
| 67346 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/05/04 | 2019/12/30 | 50.00 |
| 67924 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 G | 200,000,000 | 2018/05/04 | 2018/11/29 | 50.00 |
| 67926 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 H | 200,000,000 | 2018/05/04 | 2018/11/29 | 50.00 |
| 67928 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 I | 200,000,000 | 2018/05/04 | 2018/11/29 | 50.00 |
| 67338 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 O | 100,000,000 | 2018/05/04 | 2018/12/27 | 25.00 |
| 67339 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 P | 100,000,000 | 2018/05/04 | 2018/12/27 | 25.00 |
| 67911 | Goldman Sachs SP (Asia) – Ping An R Bear CBBC Dec 2018 A | 80,000,000 | 2018/05/04 | 2018/12/31 | 20.00 |
| 67914 | Goldman Sachs SP (Asia) – Ping An R Bear CBBC Dec 2018 B | 80,000,000 | 2018/05/04 | 2018/12/31 | 20.00 |
| 67886 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 A | 80,000,000 | 2018/05/04 | 2018/12/31 | 40.72 |
| 67337 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/04 | 2018/12/27 | 25.00 |
| 67488 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 W | 100,000,000 | 2018/05/04 | 2018/12/27 | 25.00 |
| 67845 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 X | 80,000,000 | 2018/05/04 | 2018/12/31 | 27.52 |
| 67847 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 Y | 80,000,000 | 2018/05/04 | 2018/12/31 | 30.80 |
| 67878 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 Z | 80,000,000 | 2018/05/04 | 2018/12/31 | 34.16 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67891 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 L | 80,000,000 | 2018/05/04 | 2018/12/27 | 20.00 |
| 67278 | HK Bank – HKEX R Bull CBBC Oct 2018 E | 50,000,000 | 2018/05/04 | 2018/10/04 | 12.50 |
| 67309 | HK Bank – HSCEI R Bull CBBC Mar 2019 A | 60,000,000 | 2018/05/04 | 2019/03/28 | 15.00 |
| 67288 | HK Bank – HSI R Bull CBBC Dec 2018 R | 150,000,000 | 2018/05/04 | 2018/12/28 | 37.50 |
| 67307 | HK Bank – HSI R Bear CBBC Sep 2018 H | 150,000,000 | 2018/05/04 | 2018/09/27 | 37.50 |
| 67275 | HK Bank – Tencent R Bull CBBC Sep 2018 V | 60,000,000 | 2018/05/04 | 2018/09/13 | 15.00 |
| 67295 | HK Bank – Tencent R Bear CBBC Oct 2018 R | 50,000,000 | 2018/05/04 | 2018/10/02 | 12.50 |
| 67628 | J P Morgan SP BV – HKEX R Bear CBBC Aug 2018 B | 40,000,000 | 2018/05/04 | 2018/08/10 | 10.00 |
| 67608 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/05/04 | 2019/11/28 | 50.00 |
| 67605 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/05/04 | 2019/12/30 | 50.00 |
| 67616 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/05/04 | 2019/12/30 | 50.00 |
| 67631 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/04 | 2018/08/30 | 50.00 |
| 67632 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 K | 200,000,000 | 2018/05/04 | 2018/09/27 | 50.00 |
| 67633 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/05/04 | 2018/09/27 | 50.00 |
| 67452 | Macquarie Bank Ltd. – AAC Tech R Bull CBBC Apr 2019 C | 22,600,000 | 2018/05/04 | 2019/04/15 | 10.03 |
| 67404 | Macquarie Bank Ltd. – CPIC R Bull CBBC Nov 2018 A | 8,000,000 | 2018/05/04 | 2018/11/29 | 10.36 |
| 67405 | Macquarie Bank Ltd. – CPIC R Bull CBBC Nov 2018 B | 9,000,000 | 2018/05/04 | 2018/11/29 | 10.32 |
| 67410 | Macquarie Bank Ltd. – CPIC R Bull CBBC Nov 2018 C | 10,000,000 | 2018/05/04 | 2018/11/29 | 10.11 |
| 67401 | Macquarie Bank Ltd. – CPIC R Bear CBBC Nov 2018 A | 7,000,000 | 2018/05/04 | 2018/11/30 | 10.87 |
| 67403 | Macquarie Bank Ltd. – CPIC R Bear CBBC Nov 2018 B | 8,000,000 | 2018/05/04 | 2018/11/30 | 10.37 |
| 67420 | Macquarie Bank Ltd. – Geely Auto R Bull CBBC Nov 2018 D | 16,500,000 | 2018/05/04 | 2018/11/29 | 10.16 |
| 67415 | Macquarie Bank Ltd. – Geely Auto R Bear CBBC Nov 2018 A | 10,000,000 | 2018/05/04 | 2018/11/29 | 10.85 |
| 67485 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 F | 13,700,000 | 2018/05/04 | 2018/11/15 | 10.01 |
| 67463 | Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2018 D | 14,200,000 | 2018/05/04 | 2018/11/15 | 10.05 |
| 67473 | Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2018 E | 13,100,000 | 2018/05/04 | 2018/11/15 | 10.03 |
| 67828 | SG Issuer – Geely Auto R Bull CBBC Dec 2018 G | 40,000,000 | 2018/05/04 | 2018/12/10 | 15.60 |
| 67808 | SG Issuer – Galaxy Ent R Bear CBBC Oct 2018 D | 80,000,000 | 2018/05/04 | 2018/10/15 | 20.00 |
| 67802 | SG Issuer – HSI R Bull CBBC Sep 2019 E | 200,000,000 | 2018/05/04 | 2019/09/27 | 50.00 |
| 67719 | SG Issuer – HSI R Bull CBBC Oct 2019 L | 200,000,000 | 2018/05/04 | 2019/10/30 | 50.00 |
| 67738 | SG Issuer – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/05/04 | 2019/11/28 | 50.00 |
| 67778 | SG Issuer – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/05/04 | 2019/12/30 | 50.00 |
| 67769 | SG Issuer – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/05/04 | 2018/09/27 | 50.00 |
| 67807 | SG Issuer – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/05/04 | 2018/10/30 | 50.00 |
| 67788 | SG Issuer – Ping An R Bull CBBC Oct 2018 H | 100,000,000 | 2018/05/04 | 2018/10/23 | 25.00 |
| 67742 | SG Issuer – Ping An R Bull CBBC Nov 2018 K | 100,000,000 | 2018/05/04 | 2018/11/20 | 25.00 |
| 67754 | SG Issuer – Ping An R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/04 | 2018/12/13 | 25.00 |
| 67759 | SG Issuer – Tencent R Bull CBBC Aug 2018 U | 80,000,000 | 2018/05/04 | 2018/08/06 | 20.00 |
| 67767 | SG Issuer – Tencent R Bull CBBC Aug 2018 V | 80,000,000 | 2018/05/04 | 2018/08/07 | 20.00 |
| 67791 | SG Issuer – Tencent R Bull CBBC Sep 2018 O | 80,000,000 | 2018/05/04 | 2018/09/07 | 20.00 |
| 67801 | SG Issuer – Tencent R Bull CBBC Sep 2018 P | 80,000,000 | 2018/05/04 | 2018/09/10 | 20.00 |
| 67841 | SG Issuer – Tencent R Bull CBBC Sep 2018 W | 80,000,000 | 2018/05/04 | 2018/09/05 | 36.00 |
| 67843 | SG Issuer – Tencent R Bull CBBC Nov 2018 L | 80,000,000 | 2018/05/04 | 2018/11/12 | 51.20 |
| 67834 | SG Issuer – Tencent R Bear CBBC Aug 2018 R | 80,000,000 | 2018/05/04 | 2018/08/07 | 20.00 |
| 67538 | UBS AG – AIA R Bull CBBC Oct 2018 A | 50,000,000 | 2018/05/04 | 2018/10/08 | 12.50 |
| 67522 | UBS AG – CNOOC R Bull CBBC Oct 2018 G | 40,000,000 | 2018/05/04 | 2018/10/29 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 67535 | UBS AG – CNOOC R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/04 | 2018/11/26 | 10.00 |
| 67521 | UBS AG – Galaxy Ent R Bull CBBC Oct 2018 D | 40,000,000 | 2018/05/04 | 2018/10/22 | 10.00 |
| 67510 | UBS AG – HSI R Bull CBBC Oct 2019 B | 250,000,000 | 2018/05/04 | 2019/10/30 | 62.50 |
| 67566 | UBS AG – HSI R Bull CBBC Dec 2019 W | 250,000,000 | 2018/05/04 | 2019/12/30 | 62.50 |
| 67582 | UBS AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/05/04 | 2018/08/30 | 50.00 |
| 67568 | UBS AG – HSI R Bear CBBC Aug 2018 T | 250,000,000 | 2018/05/04 | 2018/08/30 | 62.50 |
| 67589 | UBS AG – HSI R Bear CBBC Aug 2018 U | 250,000,000 | 2018/05/04 | 2018/08/30 | 62.50 |
| 67539 | UBS AG – ICBC R Bull CBBC Dec 2018 B | 100,000,000 | 2018/05/04 | 2018/12/03 | 25.00 |
| 67564 | UBS AG – Ping An R Bull CBBC Oct 2018 W | 80,000,000 | 2018/05/04 | 2018/10/24 | 20.00 |
| 67513 | UBS AG – Tencent R Bull CBBC Oct 2018 B | 30,000,000 | 2018/05/04 | 2018/10/10 | 10.20 |
| 67555 | UBS AG – Tencent R Bull CBBC Oct 2018 C | 30,000,000 | 2018/05/04 | 2018/10/22 | 10.20 |
| 67597 | UBS AG – Tencent R Bear CBBC Aug 2018 H | 30,000,000 | 2018/05/04 | 2018/08/20 | 10.20 |
| 67319 | Bank Vontobel – HSI R Bull CBBC Dec 2018 M | 100,000,000 | 2018/05/04 | 2018/12/28 | 25.30 |
| 67329 | Bank Vontobel – HSI R Bull CBBC Dec 2018 N | 100,000,000 | 2018/05/04 | 2018/12/28 | 25.40 |
| 67263 | Bank Vontobel – Tencent R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/04 | 2018/11/29 | 33.52 |
| 67264 | Bank Vontobel – Tencent R Bull CBBC Nov 2018 D | 40,000,000 | 2018/05/04 | 2018/11/30 | 25.16 |
| 67365 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 A | 40,000,000 | 2018/05/04 | 2018/12/03 | 10.00 |
| 67310 | Bank Vontobel – Tencent R Bear CBBC Aug 2018 C | 40,000,000 | 2018/05/04 | 2018/08/06 | 10.20 |
| 67316 | Bank Vontobel – Tencent R Bear CBBC Aug 2018 D | 40,000,000 | 2018/05/04 | 2018/08/08 | 10.48 |
| 68085 | BNP Paribas Issuance B.V. – Galaxy Ent R Bull CBBC Nov 2018 C | 150,000,000 | 2018/05/07 | 2018/11/29 | 37.50 |
| 68119 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 B | 50,000,000 | 2018/05/07 | 2019/03/28 | 12.50 |
| 68120 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/05/07 | 2019/12/30 | 62.50 |
| 68088 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 K | 200,000,000 | 2018/05/07 | 2018/11/29 | 50.00 |
| 68091 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Nov 2018 F | 200,000,000 | 2018/05/07 | 2018/11/29 | 50.00 |
| 68378 | Credit Suisse AG – BYD Company R Bull CBBC Apr 2019 A | 50,000,000 | 2018/05/07 | 2019/04/25 | 12.50 |
| 68379 | Credit Suisse AG – BYD Company R Bull CBBC Apr 2019 B | 50,000,000 | 2018/05/07 | 2019/04/26 | 12.50 |
| 68381 | Credit Suisse AG – BYD Company R Bull CBBC Apr 2019 C | 50,000,000 | 2018/05/07 | 2019/04/29 | 12.50 |
| 68397 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 E | 80,000,000 | 2018/05/07 | 2018/12/28 | 20.00 |
| 68387 | Credit Suisse AG – HSI R Bull CBBC Aug 2019 G | 200,000,000 | 2018/05/07 | 2019/08/29 | 50.00 |
| 68388 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 R | 200,000,000 | 2018/05/07 | 2019/09/27 | 50.00 |
| 68395 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 S | 200,000,000 | 2018/05/07 | 2019/09/27 | 50.00 |
| 68364 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/05/07 | 2018/09/27 | 50.00 |
| 68370 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/05/07 | 2018/09/27 | 50.00 |
| 68374 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 L | 80,000,000 | 2018/05/07 | 2018/12/14 | 20.00 |
| 68375 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 M | 80,000,000 | 2018/05/07 | 2018/12/28 | 20.00 |
| 68385 | Credit Suisse AG – Ping An R Bear CBBC Nov 2018 F | 50,000,000 | 2018/05/07 | 2018/11/29 | 12.50 |
| 68371 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 W | 50,000,000 | 2018/05/07 | 2018/11/28 | 12.50 |
| 68401 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 X | 50,000,000 | 2018/05/07 | 2018/11/23 | 12.50 |
| 68234 | Bank of East Asia – BYD Company R Bull CBBC Mar 2019 A | 40,000,000 | 2018/05/07 | 2019/03/06 | 10.00 |
| 68251 | Bank of East Asia – BYD Company R Bull CBBC Mar 2019 B | 40,000,000 | 2018/05/07 | 2019/03/06 | 10.00 |
| 68264 | Bank of East Asia – China Mobile R Bull CBBC Mar 2019 A | 60,000,000 | 2018/05/07 | 2019/03/06 | 15.00 |
| 68278 | Bank of East Asia – China Mobile R Bull CBBC Mar 2019 B | 60,000,000 | 2018/05/07 | 2019/03/06 | 15.00 |
| 68229 | Bank of East Asia – Evergrande R Bull CBBC Mar 2019 A | 15,000,000 | 2018/05/07 | 2019/03/06 | 10.05 |
| 68292 | Bank of East Asia – Ping An R Bull CBBC Mar 2019 A | 120,000,000 | 2018/05/07 | 2019/03/06 | 30.00 |
| 68293 | Bank of East Asia – SMIC R Bull CBBC Mar 2019 A | 40,000,000 | 2018/05/07 | 2019/03/06 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68253 | Bank of East Asia – Tencent R Bull CBBC Mar 2019 A | 30,000,000 | 2018/05/07 | 2019/03/06 | 10.50 |
| 68550 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Dec 2018 L | 40,000,000 | 2018/05/07 | 2018/12/31 | 10.00 |
| 68552 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 T | 100,000,000 | 2018/05/07 | 2018/12/31 | 25.00 |
| 68570 | Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2018 A | 50,000,000 | 2018/05/07 | 2018/12/31 | 12.50 |
| 68583 | Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2018 B | 50,000,000 | 2018/05/07 | 2018/12/18 | 12.50 |
| 68590 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 M | 100,000,000 | 2018/05/07 | 2018/12/31 | 25.00 |
| 68599 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/05/07 | 2019/12/30 | 50.00 |
| 68065 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 O | 200,000,000 | 2018/05/07 | 2020/01/30 | 50.00 |
| 68064 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 M | 100,000,000 | 2018/05/07 | 2018/12/31 | 25.00 |
| 68054 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 N | 100,000,000 | 2018/05/07 | 2018/12/31 | 25.00 |
| 68055 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/05/07 | 2018/12/31 | 25.00 |
| 68053 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 E | 100,000,000 | 2018/05/07 | 2018/12/18 | 25.00 |
| 68549 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 I | 100,000,000 | 2018/05/07 | 2018/12/18 | 25.00 |
| 67977 | HK Bank – Evergrande R Bull CBBC May 2019 A | 100,000,000 | 2018/05/07 | 2019/05/20 | 25.00 |
| 67975 | HK Bank – Geely Auto R Bear CBBC Oct 2018 G | 40,000,000 | 2018/05/07 | 2018/10/15 | 10.00 |
| 67961 | HK Bank – HKEX R Bear CBBC Nov 2018 C | 40,000,000 | 2018/05/07 | 2018/11/05 | 10.00 |
| 67985 | HK Bank – HSI R Bull CBBC Mar 2019 R | 150,000,000 | 2018/05/07 | 2019/03/28 | 37.50 |
| 67983 | HK Bank – HSI R Bear CBBC Sep 2018 I | 150,000,000 | 2018/05/07 | 2018/09/27 | 37.50 |
| 67931 | HK Bank – Ping An R Bull CBBC Oct 2018 T | 100,000,000 | 2018/05/07 | 2018/10/02 | 25.00 |
| 67929 | HK Bank – Ping An R Bull CBBC Oct 2018 W | 100,000,000 | 2018/05/07 | 2018/10/15 | 25.00 |
| 68008 | HK Bank – Ping An R Bear CBBC Oct 2018 J | 80,000,000 | 2018/05/07 | 2018/10/22 | 20.00 |
| 68012 | HK Bank – SMIC R Bull CBBC Jul 2019 A | 40,000,000 | 2018/05/07 | 2019/07/08 | 18.00 |
| 68011 | HK Bank – Sunny Optical R Bull CBBC Sep 2018 J | 40,000,000 | 2018/05/07 | 2018/09/17 | 10.00 |
| 67992 | HK Bank – Tencent R Bull CBBC Sep 2018 W | 60,000,000 | 2018/05/07 | 2018/09/20 | 15.00 |
| 68360 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/05/07 | 2019/11/28 | 50.00 |
| 68304 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/07 | 2019/12/30 | 50.00 |
| 68358 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/05/07 | 2019/12/30 | 50.00 |
| 68311 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/07 | 2018/08/30 | 50.00 |
| 68363 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/05/07 | 2018/08/30 | 50.00 |
| 68322 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/05/07 | 2018/09/27 | 50.00 |
| 68335 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 J | 50,000,000 | 2018/05/07 | 2018/08/17 | 12.50 |
| 68344 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 K | 50,000,000 | 2018/05/07 | 2018/08/10 | 12.50 |
| 68353 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 C | 50,000,000 | 2018/05/07 | 2018/09/14 | 12.50 |
| 68014 | Macquarie Bank Ltd. – HKEX R Bear CBBC Nov 2018 A | 16,000,000 | 2018/05/07 | 2018/11/29 | 11.26 |
| 68040 | Macquarie Bank Ltd. – HKEX R Bear CBBC Nov 2018 B | 14,000,000 | 2018/05/07 | 2018/11/29 | 11.52 |
| 68042 | Macquarie Bank Ltd. – HKEX R Bear CBBC Nov 2018 C | 12,000,000 | 2018/05/07 | 2018/11/30 | 11.34 |
| 68066 | Macquarie Bank Ltd. – Ping An R Bull CBBC Dec 2018 D | 40,000,000 | 2018/05/07 | 2018/12/28 | 10.20 |
| 68411 | SG Issuer – CC Bank R Bear CBBC Sep 2018 F | 80,000,000 | 2018/05/07 | 2018/09/20 | 20.00 |
| 68412 | SG Issuer – China Life R Bear CBBC Oct 2018 A | 40,000,000 | 2018/05/07 | 2018/10/24 | 10.00 |
| 68450 | SG Issuer – CSOP A50 ETF R Bull CBBC Sep 2018 M | 40,000,000 | 2018/05/07 | 2018/09/20 | 10.00 |
| 68452 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 M | 40,000,000 | 2018/05/07 | 2018/11/29 | 10.00 |
| 68453 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 N | 40,000,000 | 2018/05/07 | 2018/11/30 | 10.00 |
| 68461 | SG Issuer – A50 China R Bull CBBC Nov 2018 H | 50,000,000 | 2018/05/07 | 2018/11/29 | 12.50 |
| 68414 | SG Issuer – Geely Auto R Bear CBBC Nov 2018 C | 40,000,000 | 2018/05/07 | 2018/11/30 | 19.20 |
| 68489 | SG Issuer – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/05/07 | 2019/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68496 | SG Issuer – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/05/07 | 2019/11/28 | 50.00 |
| 68503 | SG Issuer – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/07 | 2019/12/30 | 50.00 |
| 68478 | SG Issuer – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/05/07 | 2018/10/30 | 50.00 |
| 68484 | SG Issuer – HSI R Bear CBBC Nov 2018 S | 200,000,000 | 2018/05/07 | 2018/11/29 | 50.00 |
| 68487 | SG Issuer – HSI R Bear CBBC Nov 2018 T | 200,000,000 | 2018/05/07 | 2018/11/29 | 50.00 |
| 68498 | SG Issuer – HSI R Bear CBBC Nov 2018 U | 200,000,000 | 2018/05/07 | 2018/11/29 | 50.00 |
| 68415 | SG Issuer – ICBC R Bear CBBC Sep 2018 D | 60,000,000 | 2018/05/07 | 2018/09/27 | 15.00 |
| 68505 | SG Issuer – Ping An R Bull CBBC Sep 2018 E | 100,000,000 | 2018/05/07 | 2018/09/28 | 25.00 |
| 68508 | SG Issuer – Ping An R Bull CBBC Oct 2018 F | 100,000,000 | 2018/05/07 | 2018/10/23 | 25.00 |
| 68521 | SG Issuer – Ping An R Bull CBBC Oct 2018 J | 100,000,000 | 2018/05/07 | 2018/10/26 | 25.00 |
| 68512 | SG Issuer – Ping An R Bull CBBC Nov 2018 L | 100,000,000 | 2018/05/07 | 2018/11/21 | 25.00 |
| 68514 | SG Issuer – Ping An R Bull CBBC Nov 2018 R | 100,000,000 | 2018/05/07 | 2018/11/26 | 25.00 |
| 68530 | SG Issuer – Ping An R Bull CBBC Nov 2018 S | 100,000,000 | 2018/05/07 | 2018/11/30 | 25.00 |
| 68406 | SG Issuer – Ping An R Bull CBBC Dec 2018 W | 100,000,000 | 2018/05/07 | 2018/12/11 | 25.00 |
| 68407 | SG Issuer – Ping An R Bull CBBC Dec 2018 X | 100,000,000 | 2018/05/07 | 2018/12/19 | 25.00 |
| 68408 | SG Issuer – Ping An R Bull CBBC Dec 2018 Y | 100,000,000 | 2018/05/07 | 2018/12/28 | 25.00 |
| 68519 | SG Issuer – Ping An R Bear CBBC Sep 2018 D | 100,000,000 | 2018/05/07 | 2018/09/20 | 25.00 |
| 68488 | SG Issuer – Tencent R Bull CBBC Aug 2018 F | 80,000,000 | 2018/05/07 | 2018/08/07 | 20.00 |
| 68515 | SG Issuer – Tencent R Bull CBBC Aug 2018 L | 80,000,000 | 2018/05/07 | 2018/08/07 | 20.00 |
| 68439 | SG Issuer – Tencent R Bull CBBC Sep 2018 Y | 80,000,000 | 2018/05/07 | 2018/09/06 | 20.00 |
| 68416 | SG Issuer – Tencent R Bear CBBC Aug 2018 S | 80,000,000 | 2018/05/07 | 2018/08/31 | 20.00 |
| 68418 | SG Issuer – Tencent R Bear CBBC Sep 2018 O | 80,000,000 | 2018/05/07 | 2018/09/05 | 20.00 |
| 68163 | UBS AG – BYD Company R Bull CBBC Nov 2018 B | 40,000,000 | 2018/05/07 | 2018/11/26 | 10.00 |
| 68193 | UBS AG – BYD Company R Bull CBBC Dec 2018 B | 40,000,000 | 2018/05/07 | 2018/12/03 | 10.00 |
| 68209 | UBS AG – BYD Company R Bull CBBC Dec 2018 C | 40,000,000 | 2018/05/07 | 2018/12/17 | 10.00 |
| 68222 | UBS AG – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/05/07 | 2019/10/30 | 50.00 |
| 68224 | UBS AG – HSI R Bull CBBC Oct 2019 X | 200,000,000 | 2018/05/07 | 2019/10/30 | 50.00 |
| 68147 | UBS AG – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/05/07 | 2019/11/28 | 50.00 |
| 68127 | UBS AG – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/05/07 | 2018/08/30 | 50.00 |
| 68135 | UBS AG – HSI R Bear CBBC Aug 2018 W | 250,000,000 | 2018/05/07 | 2018/08/30 | 62.50 |
| 68157 | UBS AG – Ping An R Bull CBBC Nov 2018 U | 80,000,000 | 2018/05/07 | 2018/11/12 | 20.00 |
| 68160 | UBS AG – Ping An R Bear CBBC Nov 2018 A | 80,000,000 | 2018/05/07 | 2018/11/05 | 20.00 |
| 68211 | UBS AG – Tencent R Bear CBBC Oct 2018 F | 30,000,000 | 2018/05/07 | 2018/10/08 | 10.20 |
| 68609 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 A | 250,000,000 | 2018/05/08 | 2019/12/30 | 62.50 |
| 68632 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 B | 250,000,000 | 2018/05/08 | 2019/12/30 | 62.50 |
| 68641 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 C | 250,000,000 | 2018/05/08 | 2019/12/30 | 62.50 |
| 68659 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 T | 250,000,000 | 2018/05/08 | 2019/12/30 | 62.50 |
| 68937 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Y | 250,000,000 | 2018/05/08 | 2019/12/30 | 62.50 |
| 68600 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 H | 250,000,000 | 2018/05/08 | 2018/08/30 | 62.50 |
| 68605 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 I | 250,000,000 | 2018/05/08 | 2018/08/30 | 62.50 |
| 68928 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2018 J | 250,000,000 | 2018/05/08 | 2018/08/30 | 62.50 |
| 68929 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 U | 50,000,000 | 2018/05/08 | 2018/09/27 | 12.50 |
| 68991 | Credit Suisse AG – AIA R Bull CBBC Nov 2018 B | 70,000,000 | 2018/05/08 | 2018/11/23 | 17.50 |
| 69045 | Credit Suisse AG – CC Bank R Bull CBBC Nov 2018 K | 80,000,000 | 2018/05/08 | 2018/11/29 | 20.00 |
| 69017 | Credit Suisse AG – CM Bank R Bull CBBC Jan 2019 C | 70,000,000 | 2018/05/08 | 2019/01/30 | 17.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68971 | Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2018 E | 50,000,000 | 2018/05/08 | 2018/11/29 | 12.50 |
| 68977 | Credit Suisse AG – HKEX R Bull CBBC Oct 2018 W | 50,000,000 | 2018/05/08 | 2018/10/30 | 12.50 |
| 68982 | Credit Suisse AG – HKEX R Bear CBBC Dec 2018 B | 50,000,000 | 2018/05/08 | 2018/12/07 | 12.50 |
| 69046 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 F | 80,000,000 | 2018/05/08 | 2018/12/21 | 20.00 |
| 69059 | Credit Suisse AG – HSCEI R Bull CBBC Apr 2019 B | 100,000,000 | 2018/05/08 | 2019/04/29 | 25.00 |
| 69055 | Credit Suisse AG – HSI R Bull CBBC Jul 2019 F | 200,000,000 | 2018/05/08 | 2019/07/30 | 50.00 |
| 69048 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/05/08 | 2019/10/30 | 50.00 |
| 69049 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 O | 200,000,000 | 2018/05/08 | 2019/10/30 | 50.00 |
| 69051 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/05/08 | 2019/10/30 | 50.00 |
| 69054 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 Q | 200,000,000 | 2018/05/08 | 2019/10/30 | 50.00 |
| 68961 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 F | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68957 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68943 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68944 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68969 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 69004 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 N | 80,000,000 | 2018/05/08 | 2018/12/21 | 20.00 |
| 69008 | Credit Suisse AG – Sunny Optical R Bull CBBC Nov 2018 D | 40,000,000 | 2018/05/08 | 2018/11/29 | 10.00 |
| 69010 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 J | 50,000,000 | 2018/05/08 | 2018/12/14 | 12.50 |
| 69443 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 U | 100,000,000 | 2018/05/08 | 2018/12/31 | 25.00 |
| 69431 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2018 R | 100,000,000 | 2018/05/08 | 2018/12/18 | 25.00 |
| 69437 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 U | 100,000,000 | 2018/05/08 | 2018/12/31 | 25.00 |
| 69438 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 N | 100,000,000 | 2018/05/08 | 2018/12/31 | 25.00 |
| 69386 | Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69334 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69333 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 P | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69346 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69351 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 R | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 68793 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68802 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68810 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/05/08 | 2018/09/27 | 50.00 |
| 69354 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/05/08 | 2018/09/27 | 50.00 |
| 69355 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/05/08 | 2018/09/27 | 50.00 |
| 69414 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 E | 100,000,000 | 2018/05/08 | 2019/02/28 | 25.00 |
| 69430 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 R | 100,000,000 | 2018/05/08 | 2018/12/31 | 25.00 |
| 69389 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 J | 100,000,000 | 2018/05/08 | 2018/12/18 | 25.00 |
| 69394 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 K | 100,000,000 | 2018/05/08 | 2018/12/31 | 25.00 |
| 68667 | HK Bank – ABC R Bull CBBC Nov 2018 A | 100,000,000 | 2018/05/08 | 2018/11/12 | 25.00 |
| 68759 | HK Bank – ABC R Bull CBBC Nov 2018 B | 100,000,000 | 2018/05/08 | 2018/11/19 | 25.00 |
| 68735 | HK Bank – China Mobile R Bull CBBC Oct 2018 M | 50,000,000 | 2018/05/08 | 2018/10/02 | 12.50 |
| 68732 | HK Bank – CC Bank R Bull CBBC Oct 2018 C | 100,000,000 | 2018/05/08 | 2018/10/08 | 25.00 |
| 68789 | HK Bank – Galaxy Ent R Bull CBBC Nov 2018 B | 80,000,000 | 2018/05/08 | 2018/11/12 | 20.00 |
| 68715 | HK Bank – HKEX R Bull CBBC Oct 2018 I | 50,000,000 | 2018/05/08 | 2018/10/18 | 12.50 |
| 68784 | HK Bank – HSCEI R Bull CBBC Dec 2018 V | 60,000,000 | 2018/05/08 | 2018/12/28 | 15.00 |
| 68776 | HK Bank – HSI R Bull CBBC Dec 2018 Q | 150,000,000 | 2018/05/08 | 2018/12/28 | 37.50 |
| 68770 | HK Bank – HSI R Bull CBBC Mar 2019 S | 100,000,000 | 2018/05/08 | 2019/03/28 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68711 | HK Bank – PetroChina R Bull CBBC Oct 2018 N | 80,000,000 | 2018/05/08 | 2018/10/08 | 20.00 |
| 68714 | HK Bank – Ping An R Bull CBBC Oct 2018 U | 100,000,000 | 2018/05/08 | 2018/10/08 | 25.00 |
| 68686 | HK Bank – Sands China R Bull CBBC Nov 2018 A | 80,000,000 | 2018/05/08 | 2018/11/19 | 20.00 |
| 68703 | HK Bank – Sands China R Bull CBBC Nov 2018 B | 80,000,000 | 2018/05/08 | 2018/11/12 | 20.00 |
| 68788 | HK Bank – SUNAC R Bull CBBC Jan 2019 H | 100,000,000 | 2018/05/08 | 2019/01/14 | 25.00 |
| 68731 | HK Bank – Tencent R Bull CBBC Sep 2018 Y | 60,000,000 | 2018/05/08 | 2018/09/27 | 15.00 |
| 69143 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/05/08 | 2019/10/30 | 50.00 |
| 69129 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/05/08 | 2019/11/28 | 50.00 |
| 69194 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/05/08 | 2019/11/28 | 50.00 |
| 69123 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69172 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69064 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 D | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 69093 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Y | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 69094 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/05/08 | 2018/09/27 | 50.00 |
| 69096 | J P Morgan SP BV – Ping An R Bull CBBC Sep 2018 B | 100,000,000 | 2018/05/08 | 2018/09/21 | 25.00 |
| 68925 | Macquarie Bank Ltd. – AAC Tech R Bull CBBC Apr 2019 D | 24,000,000 | 2018/05/08 | 2019/04/15 | 10.01 |
| 68914 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 G | 12,900,000 | 2018/05/08 | 2018/11/15 | 10.06 |
| 68915 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 H | 14,400,000 | 2018/05/08 | 2018/11/15 | 10.01 |
| 69321 | SG Issuer – ABC R Bull CBBC Dec 2018 C | 60,000,000 | 2018/05/08 | 2018/12/28 | 15.00 |
| 69257 | SG Issuer – Bank of China R Bull CBBC Feb 2019 A | 100,000,000 | 2018/05/08 | 2019/02/18 | 25.00 |
| 69262 | SG Issuer – CC Bank R Bull CBBC Sep 2018 U | 100,000,000 | 2018/05/08 | 2018/09/19 | 25.00 |
| 69328 | SG Issuer – CC Bank R Bull CBBC Sep 2018 V | 100,000,000 | 2018/05/08 | 2018/09/28 | 25.00 |
| 69195 | SG Issuer – Galaxy Ent R Bull CBBC Dec 2018 J | 80,000,000 | 2018/05/08 | 2018/12/20 | 20.00 |
| 69212 | SG Issuer – HKEX R Bull CBBC Oct 2018 B | 50,000,000 | 2018/05/08 | 2018/10/29 | 12.50 |
| 69274 | SG Issuer – HSBC R Bull CBBC Nov 2018 U | 100,000,000 | 2018/05/08 | 2018/11/20 | 25.00 |
| 69278 | SG Issuer – HSBC R Bull CBBC Nov 2018 V | 100,000,000 | 2018/05/08 | 2018/11/26 | 25.00 |
| 69215 | SG Issuer – HSI R Bull CBBC Oct 2019 O | 200,000,000 | 2018/05/08 | 2019/10/30 | 50.00 |
| 69226 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/05/08 | 2019/11/28 | 50.00 |
| 69240 | SG Issuer – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69253 | SG Issuer – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69256 | SG Issuer – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 69309 | SG Issuer – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 69210 | SG Issuer – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/05/08 | 2018/10/30 | 50.00 |
| 69317 | SG Issuer – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/05/08 | 2018/10/30 | 50.00 |
| 69318 | SG Issuer – HSI R Bear CBBC Nov 2018 V | 200,000,000 | 2018/05/08 | 2018/11/29 | 50.00 |
| 69213 | SG Issuer – HSI R Bear CBBC Dec 2018 X | 200,000,000 | 2018/05/08 | 2018/12/28 | 50.00 |
| 69203 | SG Issuer – ICBC R Bull CBBC Sep 2018 U | 60,000,000 | 2018/05/08 | 2018/09/28 | 15.00 |
| 69279 | SG Issuer – ICBC R Bull CBBC Oct 2018 G | 60,000,000 | 2018/05/08 | 2018/10/22 | 15.00 |
| 69283 | SG Issuer – Ping An R Bull CBBC Oct 2018 L | 100,000,000 | 2018/05/08 | 2018/10/12 | 25.00 |
| 69291 | SG Issuer – Sands China R Bull CBBC Dec 2018 B | 60,000,000 | 2018/05/08 | 2018/12/12 | 15.00 |
| 69299 | SG Issuer – Sands China R Bull CBBC Dec 2018 C | 60,000,000 | 2018/05/08 | 2018/12/18 | 15.00 |
| 69208 | SG Issuer – Tencent R Bull CBBC Aug 2018 W | 80,000,000 | 2018/05/08 | 2018/08/31 | 20.00 |
| 69300 | SG Issuer – Tencent R Bull CBBC Sep 2018 Z | 80,000,000 | 2018/05/08 | 2018/09/04 | 20.00 |
| 68907 | UBS AG – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/05/08 | 2019/10/30 | 50.00 |
| 68836 | UBS AG – HSI R Bull CBBC Oct 2019 U | 250,000,000 | 2018/05/08 | 2019/10/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68838 | UBS AG – HSI R Bull CBBC Nov 2019 Q | 250,000,000 | 2018/05/08 | 2019/11/28 | 62.50 |
| 68842 | UBS AG – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/05/08 | 2019/12/30 | 50.00 |
| 68883 | UBS AG – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/05/08 | 2019/12/30 | 62.50 |
| 68844 | UBS AG – HSI R Bear CBBC Aug 2018 A | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68871 | UBS AG – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68829 | UBS AG – HSI R Bear CBBC Aug 2018 G | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68851 | UBS AG – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68827 | UBS AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68819 | UBS AG – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/05/08 | 2018/08/30 | 50.00 |
| 68830 | UBS AG – HSI R Bear CBBC Sep 2018 O | 250,000,000 | 2018/05/08 | 2018/09/27 | 62.50 |
| 68853 | UBS AG – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/05/08 | 2018/09/27 | 50.00 |
| 69634 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 A | 250,000,000 | 2018/05/09 | 2018/12/28 | 62.50 |
| 69656 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 B | 250,000,000 | 2018/05/09 | 2018/12/28 | 62.50 |
| 69657 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 C | 250,000,000 | 2018/05/09 | 2018/12/28 | 62.50 |
| 69659 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 D | 250,000,000 | 2018/05/09 | 2018/12/28 | 62.50 |
| 60000 | Credit Suisse AG – ABC R Bull CBBC Nov 2018 A | 70,000,000 | 2018/05/09 | 2018/11/29 | 17.50 |
| 69991 | Credit Suisse AG – Bank of China R Bull CBBC Nov 2018 G | 80,000,000 | 2018/05/09 | 2018/11/29 | 20.00 |
| 69889 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 T | 200,000,000 | 2018/05/09 | 2019/09/27 | 50.00 |
| 69897 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 Y | 200,000,000 | 2018/05/09 | 2019/09/27 | 50.00 |
| 69917 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 Z | 200,000,000 | 2018/05/09 | 2019/09/27 | 50.00 |
| 69926 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/05/09 | 2018/09/27 | 50.00 |
| 69984 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/05/09 | 2018/09/27 | 50.00 |
| 60002 | Credit Suisse AG – Sands China R Bull CBBC Nov 2018 B | 50,000,000 | 2018/05/09 | 2018/11/29 | 12.50 |
| 60012 | Credit Suisse AG – Sands China R Bull CBBC Dec 2018 B | 50,000,000 | 2018/05/09 | 2018/12/28 | 12.50 |
| 69542 | Bank of East Asia – AIA R Bull CBBC Jan 2019 A | 40,000,000 | 2018/05/09 | 2019/01/08 | 10.00 |
| 69572 | Bank of East Asia – Geely Auto R Bull CBBC Jan 2019 A | 40,000,000 | 2018/05/09 | 2019/01/08 | 10.00 |
| 69615 | Bank of East Asia – Geely Auto R Bull CBBC Jan 2019 B | 40,000,000 | 2018/05/09 | 2019/01/08 | 10.00 |
| 69530 | Bank of East Asia – Galaxy Ent R Bull CBBC Jan 2019 A | 16,000,000 | 2018/05/09 | 2019/01/08 | 10.40 |
| 69541 | Bank of East Asia – HKEX R Bull CBBC Jan 2019 A | 40,000,000 | 2018/05/09 | 2019/01/08 | 10.00 |
| 69534 | Bank of East Asia – Sands China R Bull CBBC Jan 2019 A | 12,000,000 | 2018/05/09 | 2019/01/08 | 10.08 |
| 69540 | Bank of East Asia – Tencent R Bull CBBC Jan 2019 A | 30,000,000 | 2018/05/09 | 2019/01/08 | 10.50 |
| 60063 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2018 S | 100,000,000 | 2018/05/09 | 2018/12/31 | 25.00 |
| 60065 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/09 | 2018/12/27 | 25.00 |
| 69660 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/05/09 | 2019/11/28 | 50.00 |
| 69661 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/05/09 | 2019/12/30 | 50.00 |
| 69671 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/05/09 | 2018/09/27 | 50.00 |
| 69681 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 X | 200,000,000 | 2018/05/09 | 2018/09/27 | 50.20 |
| 60058 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 L | 100,000,000 | 2018/05/09 | 2018/12/27 | 25.00 |
| 69527 | HK Bank – AAC Tech R Bull CBBC Oct 2018 I | 40,000,000 | 2018/05/09 | 2018/10/22 | 10.00 |
| 69472 | HK Bank – CNOOC R Bull CBBC Nov 2018 B | 50,000,000 | 2018/05/09 | 2018/11/12 | 12.50 |
| 69474 | HK Bank – CNOOC R Bear CBBC Nov 2018 B | 40,000,000 | 2018/05/09 | 2018/11/19 | 10.00 |
| 69518 | HK Bank – HKEX R Bull CBBC Oct 2018 G | 50,000,000 | 2018/05/09 | 2018/10/25 | 12.50 |
| 69457 | HK Bank – HSI R Bull CBBC Mar 2019 T | 150,000,000 | 2018/05/09 | 2019/03/28 | 37.50 |
| 69464 | HK Bank – HSI R Bear CBBC Sep 2018 J | 150,000,000 | 2018/05/09 | 2018/09/27 | 37.50 |
| 69515 | HK Bank – Sands China R Bull CBBC Oct 2018 A | 80,000,000 | 2018/05/09 | 2018/10/29 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69496 | HK Bank – Sands China R Bear CBBC Oct 2018 B | 40,000,000 | 2018/05/09 | 2018/10/22 | 10.00 |
| 69528 | HK Bank – Sunny Optical R Bull CBBC Oct 2018 B | 40,000,000 | 2018/05/09 | 2018/10/02 | 10.00 |
| 69514 | HK Bank – Tencent R Bull CBBC Oct 2018 X | 60,000,000 | 2018/05/09 | 2018/10/02 | 15.00 |
| 60083 | J P Morgan SP BV – HSBC R Bull CBBC Sep 2018 C | 100,000,000 | 2018/05/09 | 2018/09/14 | 25.00 |
| 60067 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/05/09 | 2019/11/28 | 50.00 |
| 60066 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 U | 200,000,000 | 2018/05/09 | 2019/12/30 | 50.00 |
| 60093 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 K | 200,000,000 | 2018/05/09 | 2018/08/30 | 50.00 |
| 60073 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 L | 50,000,000 | 2018/05/09 | 2018/08/17 | 12.50 |
| 60076 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 J | 50,000,000 | 2018/05/09 | 2018/08/17 | 12.50 |
| 69885 | Macquarie Bank Ltd. – Sands China R Bull CBBC Nov 2018 A | 6,000,000 | 2018/05/09 | 2018/11/29 | 10.47 |
| 69886 | Macquarie Bank Ltd. – Sands China R Bull CBBC Nov 2018 B | 8,000,000 | 2018/05/09 | 2018/11/29 | 11.54 |
| 69844 | Macquarie Bank Ltd. – Sands China R Bear CBBC Nov 2018 A | 5,000,000 | 2018/05/09 | 2018/11/29 | 10.85 |
| 69845 | Macquarie Bank Ltd. – Sands China R Bear CBBC Nov 2018 B | 6,500,000 | 2018/05/09 | 2018/11/29 | 10.69 |
| 69752 | SG Issuer – Galaxy Ent R Bear CBBC Oct 2018 E | 80,000,000 | 2018/05/09 | 2018/10/30 | 20.00 |
| 69685 | SG Issuer – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/05/09 | 2019/10/30 | 50.00 |
| 69695 | SG Issuer – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/05/09 | 2019/12/30 | 50.00 |
| 69828 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/05/09 | 2018/09/27 | 50.00 |
| 69765 | SG Issuer – Ping An R Bull CBBC Dec 2018 Z | 100,000,000 | 2018/05/09 | 2018/12/12 | 25.00 |
| 69790 | SG Issuer – Ping An R Bear CBBC Sep 2018 E | 100,000,000 | 2018/05/09 | 2018/09/28 | 25.00 |
| 69815 | SG Issuer – Tencent R Bull CBBC Aug 2018 M | 80,000,000 | 2018/05/09 | 2018/08/08 | 20.00 |
| 69822 | SG Issuer – Tencent R Bull CBBC Aug 2018 O | 80,000,000 | 2018/05/09 | 2018/08/09 | 20.00 |
| 69771 | SG Issuer – Tencent R Bear CBBC Aug 2018 T | 80,000,000 | 2018/05/09 | 2018/08/31 | 20.00 |
| 60038 | UBS AG – AAC Tech R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/09 | 2018/11/19 | 10.00 |
| 60039 | UBS AG – AAC Tech R Bear CBBC Oct 2018 A | 40,000,000 | 2018/05/09 | 2018/10/22 | 10.00 |
| 60021 | UBS AG – ABC R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/09 | 2018/11/19 | 10.00 |
| 60030 | UBS AG – China Life R Bull CBBC Sep 2018 N | 40,000,000 | 2018/05/09 | 2018/09/17 | 10.00 |
| 60028 | UBS AG – HKEX R Bull CBBC Oct 2018 I | 40,000,000 | 2018/05/09 | 2018/10/22 | 10.00 |
| 60019 | UBS AG – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/05/09 | 2019/10/30 | 50.00 |
| 60014 | UBS AG – HSI R Bull CBBC Nov 2019 F | 250,000,000 | 2018/05/09 | 2019/11/28 | 62.50 |
| 60015 | UBS AG – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/05/09 | 2019/11/28 | 50.00 |
| 60013 | UBS AG – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/05/09 | 2019/11/28 | 50.00 |
| 60031 | UBS AG – HSI R Bear CBBC Sep 2018 Q | 250,000,000 | 2018/05/09 | 2018/09/27 | 62.50 |
| 60032 | UBS AG – HSI R Bear CBBC Sep 2018 R | 250,000,000 | 2018/05/09 | 2018/09/27 | 62.50 |
| 60034 | UBS AG – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/05/09 | 2018/09/27 | 50.00 |
| 60029 | UBS AG – Petrochina R Bull CBBC Dec 2018 B | 60,000,000 | 2018/05/09 | 2018/12/03 | 15.00 |
| 60025 | UBS AG – Sands China R Bull CBBC Nov 2018 A | 60,000,000 | 2018/05/09 | 2018/11/26 | 15.00 |
| 60026 | UBS AG – Sands China R Bull CBBC Dec 2018 A | 60,000,000 | 2018/05/09 | 2018/12/10 | 15.00 |
| 69453 | Bank Vontobel – HSI R Bull CBBC Dec 2018 O | 100,000,000 | 2018/05/09 | 2018/12/28 | 25.50 |
| 69456 | Bank Vontobel – HSI R Bull CBBC Dec 2018 P | 100,000,000 | 2018/05/09 | 2018/12/28 | 25.00 |
| 69529 | Bank Vontobel – HSI R Bear CBBC Nov 2018 B | 100,000,000 | 2018/05/09 | 2018/11/29 | 25.30 |
| 60145 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 D | 250,000,000 | 2018/05/10 | 2019/12/30 | 62.50 |
| 60139 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Z | 250,000,000 | 2018/05/10 | 2019/12/30 | 62.50 |
| 60436 | Credit Suisse AG – ABC R Bull CBBC Dec 2018 A | 70,000,000 | 2018/05/10 | 2018/12/28 | 17.50 |
| 60419 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 R | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60426 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 S | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60414 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/05/10 | 2019/11/28 | 50.00 |
| 60417 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/05/10 | 2019/11/28 | 50.00 |
| 60437 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/05/10 | 2018/08/30 | 50.00 |
| 60443 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/05/10 | 2018/08/30 | 50.00 |
| 60438 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/05/10 | 2018/09/27 | 50.00 |
| 60447 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/05/10 | 2018/09/27 | 50.00 |
| 60435 | Credit Suisse AG – Ping An R Bull CBBC Nov 2018 V | 80,000,000 | 2018/05/10 | 2018/11/29 | 20.00 |
| 60432 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 K | 50,000,000 | 2018/05/10 | 2018/12/07 | 12.50 |
| 60516 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 M | 100,000,000 | 2018/05/10 | 2018/12/31 | 25.00 |
| 60511 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2018 A | 100,000,000 | 2018/05/10 | 2018/12/20 | 25.00 |
| 60149 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 W | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60156 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 X | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60160 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60294 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/05/10 | 2018/09/27 | 50.20 |
| 60313 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/05/10 | 2018/09/27 | 50.20 |
| 60518 | Goldman Sachs SP (Asia) – Ping An R Bear CBBC Dec 2018 C | 80,000,000 | 2018/05/10 | 2018/12/20 | 20.00 |
| 60169 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 B | 100,000,000 | 2018/05/10 | 2018/12/20 | 25.00 |
| 60171 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 M | 100,000,000 | 2018/05/10 | 2018/12/31 | 25.00 |
| 60258 | HK Bank – CSOP A50 ETF R Bull CBBC Oct 2018 P | 50,000,000 | 2018/05/10 | 2018/10/15 | 12.50 |
| 60223 | HK Bank – CSOP A50 ETF R Bull CBBC Nov 2018 B | 50,000,000 | 2018/05/10 | 2018/11/05 | 12.50 |
| 60195 | HK Bank – Geely Auto R Bull CBBC Oct 2018 E | 40,000,000 | 2018/05/10 | 2018/10/08 | 10.00 |
| 60131 | HK Bank – HSI R Bull CBBC Mar 2019 U | 150,000,000 | 2018/05/10 | 2019/03/28 | 37.50 |
| 60179 | HK Bank – HSI R Bear CBBC Sep 2018 K | 100,000,000 | 2018/05/10 | 2018/09/27 | 25.00 |
| 60132 | HK Bank – Ping An R Bull CBBC Oct 2018 X | 100,000,000 | 2018/05/10 | 2018/10/22 | 25.00 |
| 60277 | HK Bank – Sands China R Bull CBBC Nov 2018 C | 80,000,000 | 2018/05/10 | 2018/11/26 | 20.00 |
| 60189 | HK Bank – Sunny Optical R Bull CBBC Oct 2018 C | 40,000,000 | 2018/05/10 | 2018/10/15 | 10.00 |
| 60129 | HK Bank – Tencent R Bull CBBC Oct 2018 Y | 60,000,000 | 2018/05/10 | 2018/10/04 | 15.00 |
| 60183 | HK Bank – Tencent R Bull CBBC Oct 2018 Z | 60,000,000 | 2018/05/10 | 2018/10/08 | 15.00 |
| 60469 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60467 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/05/10 | 2019/11/28 | 50.00 |
| 60455 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/05/10 | 2019/12/30 | 50.00 |
| 60470 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/05/10 | 2019/12/30 | 50.00 |
| 60488 | J P Morgan SP BV – HSI R Bear CBBC Oct 2019 A | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60484 | J P Morgan SP BV – HSI R Bear CBBC Nov 2019 A | 200,000,000 | 2018/05/10 | 2019/11/28 | 50.00 |
| 60471 | J P Morgan SP BV – HSI R Bear CBBC Dec 2019 A | 200,000,000 | 2018/05/10 | 2019/12/30 | 50.00 |
| 60510 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 K | 50,000,000 | 2018/05/10 | 2018/08/17 | 12.50 |
| 60361 | SG Issuer – CSOP A50 ETF R Bull CBBC Sep 2018 N | 40,000,000 | 2018/05/10 | 2018/09/27 | 10.00 |
| 60362 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 L | 40,000,000 | 2018/05/10 | 2018/10/19 | 10.00 |
| 60347 | SG Issuer – HKEX R Bull CBBC Sep 2018 P | 50,000,000 | 2018/05/10 | 2018/09/20 | 12.50 |
| 60320 | SG Issuer – HSI R Bull CBBC Oct 2019 Q | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60324 | SG Issuer – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60333 | SG Issuer – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/05/10 | 2019/11/28 | 50.00 |
| 60327 | SG Issuer – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/05/10 | 2019/11/28 | 50.00 |
| 60364 | SG Issuer – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/05/10 | 2018/09/27 | 50.00 |
| 60367 | SG Issuer – HSI R Bear CBBC Oct 2018 R | 200,000,000 | 2018/05/10 | 2018/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60372 | SG Issuer – HSI R Bear CBBC Nov 2018 W | 200,000,000 | 2018/05/10 | 2018/11/29 | 50.00 |
| 60384 | SG Issuer – HSI R Bear CBBC Dec 2018 Y | 200,000,000 | 2018/05/10 | 2018/12/28 | 50.00 |
| 60349 | SG Issuer – Ping An R Bull CBBC Oct 2018 M | 100,000,000 | 2018/05/10 | 2018/10/26 | 25.00 |
| 60351 | SG Issuer – Ping An R Bull CBBC Oct 2018 N | 100,000,000 | 2018/05/10 | 2018/10/29 | 25.00 |
| 60353 | SG Issuer – Tencent R Bull CBBC Aug 2018 S | 80,000,000 | 2018/05/10 | 2018/08/09 | 20.00 |
| 60354 | SG Issuer – Tencent R Bull CBBC Aug 2018 X | 80,000,000 | 2018/05/10 | 2018/08/09 | 20.00 |
| 60355 | SG Issuer – Tencent R Bull CBBC Sep 2018 V | 80,000,000 | 2018/05/10 | 2018/09/19 | 20.00 |
| 60363 | SG Issuer – Tencent R Bear CBBC Aug 2018 U | 80,000,000 | 2018/05/10 | 2018/08/29 | 20.00 |
| 60400 | UBS AG – CSOP A50 ETF R Bull CBBC Nov 2018 B | 50,000,000 | 2018/05/10 | 2018/11/12 | 12.50 |
| 60412 | UBS AG – Geely Auto R Bull CBBC Aug 2018 C | 40,000,000 | 2018/05/10 | 2018/08/27 | 12.40 |
| 60399 | UBS AG – HSI R Bull CBBC Oct 2019 Q | 250,000,000 | 2018/05/10 | 2019/10/30 | 62.50 |
| 60388 | UBS AG – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/05/10 | 2019/10/30 | 50.00 |
| 60386 | UBS AG – HSI R Bull CBBC Nov 2019 B | 250,000,000 | 2018/05/10 | 2019/11/28 | 62.50 |
| 60397 | UBS AG – HSI R Bull CBBC Nov 2019 L | 250,000,000 | 2018/05/10 | 2019/11/28 | 62.50 |
| 60394 | UBS AG – HSI R Bull CBBC Dec 2019 Y | 250,000,000 | 2018/05/10 | 2019/12/30 | 62.50 |
| 60404 | UBS AG – HSI R Bear CBBC Aug 2018 F | 250,000,000 | 2018/05/10 | 2018/08/30 | 62.50 |
| 60406 | UBS AG – HSI R Bear CBBC Aug 2018 H | 250,000,000 | 2018/05/10 | 2018/08/30 | 62.50 |
| 60405 | UBS AG – HSI R Bear CBBC Aug 2018 K | 250,000,000 | 2018/05/10 | 2018/08/30 | 62.50 |
| 60401 | UBS AG – Tencent R Bull CBBC Sep 2018 K | 30,000,000 | 2018/05/10 | 2018/09/03 | 10.20 |
| 60403 | UBS AG – Tencent R Bull CBBC Sep 2018 L | 30,000,000 | 2018/05/10 | 2018/09/05 | 10.20 |
| 60097 | Bank Vontobel – HSI R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/05/10 | 2018/12/28 | 25.40 |
| 60120 | Bank Vontobel – HSI R Bull CBBC Dec 2018 R | 100,000,000 | 2018/05/10 | 2018/12/28 | 25.20 |
| 60101 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 B | 40,000,000 | 2018/05/10 | 2018/12/04 | 10.24 |
| 60548 | BOCI Asia Ltd. – Tencent R Bull CBBC Aug 2018 A | 40,000,000 | 2018/05/11 | 2018/08/31 | 17.52 |
| 60552 | BOCI Asia Ltd. – Tencent R Bear CBBC Aug 2018 A | 40,000,000 | 2018/05/11 | 2018/08/24 | 15.20 |
| 60556 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18D | 60,000,000 | 2018/05/11 | 2018/11/29 | 15.00 |
| 60563 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18E | 60,000,000 | 2018/05/11 | 2018/11/29 | 15.00 |
| 60527 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 E | 250,000,000 | 2018/05/11 | 2019/12/30 | 62.50 |
| 60565 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 R | 250,000,000 | 2018/05/11 | 2019/12/30 | 62.50 |
| 60528 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 E | 250,000,000 | 2018/05/11 | 2018/12/28 | 62.50 |
| 60529 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 F | 250,000,000 | 2018/05/11 | 2018/12/28 | 62.50 |
| 60716 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Nov 2018 D | 50,000,000 | 2018/05/11 | 2018/11/29 | 12.50 |
| 60666 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 G | 80,000,000 | 2018/05/11 | 2018/12/19 | 20.00 |
| 60648 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 E | 200,000,000 | 2018/05/11 | 2019/09/27 | 50.00 |
| 60651 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 T | 200,000,000 | 2018/05/11 | 2019/10/30 | 50.00 |
| 60653 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/05/11 | 2019/10/30 | 50.00 |
| 60671 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/05/11 | 2019/11/28 | 50.00 |
| 60673 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/05/11 | 2018/08/30 | 50.00 |
| 60675 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Z | 200,000,000 | 2018/05/11 | 2018/08/30 | 50.00 |
| 60680 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/05/11 | 2018/09/27 | 50.00 |
| 60656 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 O | 80,000,000 | 2018/05/11 | 2018/12/21 | 20.00 |
| 60663 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 P | 80,000,000 | 2018/05/11 | 2018/12/20 | 20.00 |
| 60664 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 Y | 50,000,000 | 2018/05/11 | 2018/11/29 | 12.50 |
| 60683 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 F | 50,000,000 | 2018/05/11 | 2018/11/29 | 12.50 |
| 60848 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 O | 100,000,000 | 2018/05/11 | 2018/12/20 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60726 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/05/11 | 2019/10/30 | 50.00 |
| 60547 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/05/11 | 2019/10/30 | 50.00 |
| 60545 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/05/11 | 2019/11/28 | 50.00 |
| 60723 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 A | 200,000,000 | 2018/05/11 | 2018/09/27 | 50.00 |
| 60725 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/05/11 | 2018/09/27 | 50.00 |
| 60722 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 K | 200,000,000 | 2018/05/11 | 2018/09/27 | 50.00 |
| 60847 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 F | 100,000,000 | 2018/05/11 | 2019/02/28 | 25.00 |
| 60572 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 N | 100,000,000 | 2018/05/11 | 2018/12/20 | 25.00 |
| 60842 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 M | 80,000,000 | 2018/05/11 | 2018/12/20 | 20.00 |
| 60538 | HK Bank – HKEX R Bull CBBC Oct 2018 H | 50,000,000 | 2018/05/11 | 2018/10/29 | 12.50 |
| 60532 | HK Bank – HSI R Bull CBBC Mar 2019 V | 150,000,000 | 2018/05/11 | 2019/03/28 | 37.50 |
| 60543 | HK Bank – HSI R Bear CBBC Sep 2018 L | 150,000,000 | 2018/05/11 | 2018/09/27 | 37.50 |
| 60544 | HK Bank – Sands China R Bull CBBC Dec 2018 A | 80,000,000 | 2018/05/11 | 2018/12/31 | 20.00 |
| 60542 | HK Bank – Tencent R Bull CBBC Oct 2018 A | 60,000,000 | 2018/05/11 | 2018/10/11 | 15.00 |
| 60729 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 A | 200,000,000 | 2018/05/11 | 2019/11/28 | 50.00 |
| 60728 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/05/11 | 2019/12/30 | 50.00 |
| 60727 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 N | 200,000,000 | 2018/05/11 | 2019/12/30 | 50.00 |
| 60742 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/11 | 2018/08/30 | 50.00 |
| 60756 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/05/11 | 2018/08/30 | 50.00 |
| 60758 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 O | 200,000,000 | 2018/05/11 | 2018/09/27 | 50.00 |
| 60769 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/05/11 | 2018/09/27 | 50.00 |
| 60735 | J P Morgan SP BV – Ping An R Bull CBBC Sep 2018 C | 100,000,000 | 2018/05/11 | 2018/09/14 | 25.00 |
| 60730 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 B | 50,000,000 | 2018/05/11 | 2018/09/14 | 12.50 |
| 60784 | SG Issuer – CC Bank R Bull CBBC Sep 2018 W | 100,000,000 | 2018/05/11 | 2018/09/12 | 25.00 |
| 60789 | SG Issuer – HKEX R Bear CBBC Sep 2018 I | 50,000,000 | 2018/05/11 | 2018/09/28 | 12.50 |
| 60828 | SG Issuer – HSI R Bull CBBC Sep 2019 R | 200,000,000 | 2018/05/11 | 2019/09/27 | 50.00 |
| 60836 | SG Issuer – HSI R Bull CBBC Sep 2019 S | 200,000,000 | 2018/05/11 | 2019/09/27 | 50.00 |
| 60796 | SG Issuer – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/05/11 | 2019/10/30 | 50.00 |
| 60773 | SG Issuer – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/05/11 | 2019/11/28 | 50.00 |
| 60776 | SG Issuer – HSI R Bull CBBC Dec 2019 T | 200,000,000 | 2018/05/11 | 2019/12/30 | 50.00 |
| 60779 | SG Issuer – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/05/11 | 2019/12/30 | 50.00 |
| 60800 | SG Issuer – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/05/11 | 2018/09/27 | 50.00 |
| 60803 | SG Issuer – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/05/11 | 2018/10/30 | 50.00 |
| 60802 | SG Issuer – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/05/11 | 2018/10/30 | 50.00 |
| 60809 | SG Issuer – HSI R Bear CBBC Nov 2018 D | 200,000,000 | 2018/05/11 | 2018/11/29 | 50.00 |
| 60786 | SG Issuer – Tencent R Bull CBBC Aug 2018 A | 80,000,000 | 2018/05/11 | 2018/08/30 | 20.00 |
| 60790 | SG Issuer – Tencent R Bull CBBC Aug 2018 T | 80,000,000 | 2018/05/11 | 2018/08/31 | 20.00 |
| 60616 | UBS AG – HSBC R Bull CBBC Sep 2018 N | 50,000,000 | 2018/05/11 | 2018/09/03 | 12.50 |
| 60636 | UBS AG – HSBC R Bull CBBC Sep 2018 O | 50,000,000 | 2018/05/11 | 2018/09/17 | 12.50 |
| 60579 | UBS AG – HSI R Bull CBBC Oct 2019 F | 250,000,000 | 2018/05/11 | 2019/10/30 | 62.50 |
| 60586 | UBS AG – HSI R Bull CBBC Dec 2019 Z | 250,000,000 | 2018/05/11 | 2019/12/30 | 62.50 |
| 60587 | UBS AG – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/05/11 | 2018/08/30 | 50.00 |
| 60640 | UBS AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/05/11 | 2018/08/30 | 50.00 |
| 60589 | UBS AG – HSI R Bear CBBC Sep 2018 T | 250,000,000 | 2018/05/11 | 2018/09/27 | 62.50 |
| 60610 | UBS AG – HSI R Bear CBBC Sep 2018 U | 250,000,000 | 2018/05/11 | 2018/09/27 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60642 | UBS AG – HSI R Bear CBBC Sep 2018 V | 250,000,000 | 2018/05/11 | 2018/09/27 | 62.50 |
| 60611 | UBS AG – Sands China R Bull CBBC Nov 2018 B | 60,000,000 | 2018/05/11 | 2018/11/19 | 15.00 |
| 60612 | UBS AG – Tencent R Bear CBBC Sep 2018 A | 30,000,000 | 2018/05/11 | 2018/09/03 | 10.20 |
| 60912 | BOCI Asia Ltd. – HKEX R Bull CBBC Sep 2018 A | 50,000,000 | 2018/05/14 | 2018/09/28 | 14.05 |
| 60914 | BOCI Asia Ltd. – HKEX R Bear CBBC Sep 2018 A | 50,000,000 | 2018/05/14 | 2018/09/21 | 13.95 |
| 60918 | BOCI Asia Ltd. – Ping An R Bull CBBC Sep 2018 A | 40,000,000 | 2018/05/14 | 2018/09/28 | 29.36 |
| 60924 | BOCI Asia Ltd. – Ping An R Bear CBBC Sep 2018 A | 40,000,000 | 2018/05/14 | 2018/09/21 | 33.56 |
| 60927 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 F | 250,000,000 | 2018/05/14 | 2019/12/30 | 62.50 |
| 60937 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 G | 250,000,000 | 2018/05/14 | 2018/12/28 | 62.50 |
| 60946 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 H | 250,000,000 | 2018/05/14 | 2018/12/28 | 62.50 |
| 60947 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 I | 250,000,000 | 2018/05/14 | 2018/12/28 | 62.50 |
| 61059 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 J | 250,000,000 | 2018/05/14 | 2018/12/28 | 62.50 |
| 61053 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 R | 50,000,000 | 2018/05/14 | 2018/11/29 | 12.50 |
| 61027 | Credit Suisse AG – Geely Auto R Bull CBBC Dec 2018 H | 40,000,000 | 2018/05/14 | 2018/12/28 | 10.00 |
| 61028 | Credit Suisse AG – Geely Auto R Bear CBBC Dec 2018 B | 40,000,000 | 2018/05/14 | 2018/12/28 | 11.20 |
| 61024 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 B | 200,000,000 | 2018/05/14 | 2019/09/27 | 50.00 |
| 61039 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 V | 200,000,000 | 2018/05/14 | 2019/10/30 | 50.00 |
| 61017 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/05/14 | 2018/08/30 | 50.00 |
| 61016 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/05/14 | 2018/09/27 | 50.00 |
| 61015 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/05/14 | 2018/09/27 | 50.00 |
| 61019 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 Q | 80,000,000 | 2018/05/14 | 2018/12/27 | 20.00 |
| 61023 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 R | 80,000,000 | 2018/05/14 | 2018/12/28 | 20.00 |
| 61029 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 A | 50,000,000 | 2018/05/14 | 2018/11/29 | 12.50 |
| 61018 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 Z | 50,000,000 | 2018/05/14 | 2018/11/23 | 12.50 |
| 61032 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 C | 50,000,000 | 2018/05/14 | 2018/12/14 | 12.50 |
| 61041 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 D | 50,000,000 | 2018/05/14 | 2018/12/21 | 12.50 |
| 61077 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2018 B | 100,000,000 | 2018/05/14 | 2018/12/03 | 25.00 |
| 60977 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 W | 100,000,000 | 2018/05/14 | 2018/12/21 | 25.00 |
| 60955 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/05/14 | 2019/11/28 | 50.00 |
| 60956 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/05/14 | 2019/11/28 | 50.00 |
| 60926 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/05/14 | 2018/08/30 | 50.00 |
| 60951 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 X | 200,000,000 | 2018/05/14 | 2018/10/30 | 50.20 |
| 60965 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 S | 100,000,000 | 2018/05/14 | 2018/12/21 | 25.00 |
| 60970 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 T | 100,000,000 | 2018/05/14 | 2018/12/21 | 25.00 |
| 60960 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 C | 100,000,000 | 2018/05/14 | 2018/12/21 | 25.00 |
| 60963 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 F | 100,000,000 | 2018/05/14 | 2018/12/21 | 25.00 |
| 61060 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 N | 80,000,000 | 2018/05/14 | 2018/12/21 | 20.00 |
| 60886 | HK Bank – AAC Tech R Bull CBBC Nov 2018 B | 40,000,000 | 2018/05/14 | 2018/11/12 | 10.00 |
| 60892 | HK Bank – AAC Tech R Bull CBBC Dec 2018 A | 40,000,000 | 2018/05/14 | 2018/12/10 | 10.00 |
| 60875 | HK Bank – AIA R Bear CBBC Oct 2018 D | 40,000,000 | 2018/05/14 | 2018/10/15 | 10.00 |
| 60867 | HK Bank – A50 China R Bull CBBC Nov 2018 B | 50,000,000 | 2018/05/14 | 2018/11/26 | 12.50 |
| 60873 | HK Bank – A50 China R Bull CBBC Nov 2018 C | 50,000,000 | 2018/05/14 | 2018/11/12 | 12.50 |
| 60870 | HK Bank – A50 China R Bull CBBC Jan 2019 B | 50,000,000 | 2018/05/14 | 2019/01/21 | 12.50 |
| 60897 | HK Bank – HSI R Bull CBBC Mar 2019 W | 150,000,000 | 2018/05/14 | 2019/03/28 | 37.50 |
| 60903 | HK Bank – HSI R Bear CBBC Sep 2018 M | 150,000,000 | 2018/05/14 | 2018/09/27 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60907 | HK Bank – Ping An R Bull CBBC Dec 2018 A | 100,000,000 | 2018/05/14 | 2018/12/10 | 25.00 |
| 60909 | HK Bank – Tencent R Bull CBBC Oct 2018 B | 60,000,000 | 2018/05/14 | 2018/10/15 | 15.00 |
| 61051 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/05/14 | 2019/11/28 | 50.00 |
| 61044 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/05/14 | 2019/12/30 | 50.00 |
| 61043 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 L | 200,000,000 | 2018/05/14 | 2018/08/30 | 50.00 |
| 61042 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/05/14 | 2018/08/30 | 50.00 |
| 61099 | SG Issuer – Geely Auto R Bull CBBC Sep 2018 K | 40,000,000 | 2018/05/14 | 2018/09/28 | 10.00 |
| 61138 | SG Issuer – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/05/14 | 2019/11/28 | 50.00 |
| 61127 | SG Issuer – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/05/14 | 2019/11/28 | 50.00 |
| 61141 | SG Issuer – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/05/14 | 2019/12/30 | 50.00 |
| 61092 | SG Issuer – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/05/14 | 2018/10/30 | 50.00 |
| 61093 | SG Issuer – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/05/14 | 2018/10/30 | 50.00 |
| 61098 | SG Issuer – HSI R Bear CBBC Dec 2018 Z | 200,000,000 | 2018/05/14 | 2018/12/28 | 50.00 |
| 61109 | SG Issuer – Ping An R Bull CBBC Oct 2018 I | 100,000,000 | 2018/05/14 | 2018/10/24 | 25.00 |
| 61106 | SG Issuer – Tencent R Bull CBBC Aug 2018 Q | 80,000,000 | 2018/05/14 | 2018/08/31 | 20.00 |
| 61111 | SG Issuer – Tencent R Bull CBBC Aug 2018 R | 80,000,000 | 2018/05/14 | 2018/08/30 | 20.00 |
| 61114 | SG Issuer – Tencent R Bull CBBC Sep 2018 X | 80,000,000 | 2018/05/14 | 2018/09/17 | 20.00 |
| 61150 | SG Issuer – Tencent R Bear CBBC Aug 2018 V | 80,000,000 | 2018/05/14 | 2018/08/30 | 20.00 |
| 60998 | UBS AG – A50 China R Bull CBBC Nov 2018 A | 50,000,000 | 2018/05/14 | 2018/11/05 | 12.50 |
| 60993 | UBS AG – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/05/14 | 2019/11/28 | 50.00 |
| 60995 | UBS AG – HSI R Bull CBBC Dec 2019 D | 250,000,000 | 2018/05/14 | 2019/12/30 | 62.50 |
| 60854 | UBS AG – HSI R Bear CBBC Aug 2018 T | 250,000,000 | 2018/05/14 | 2018/08/30 | 62.50 |
| 60855 | UBS AG – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/05/14 | 2018/08/30 | 50.00 |
| 60997 | UBS AG – Ping An R Bull CBBC Nov 2018 V | 80,000,000 | 2018/05/14 | 2018/11/19 | 20.00 |
| 60999 | UBS AG – Tencent R Bull CBBC Sep 2018 M | 30,000,000 | 2018/05/14 | 2018/09/10 | 10.20 |
| 61000 | UBS AG – Tencent R Bull CBBC Sep 2018 N | 30,000,000 | 2018/05/14 | 2018/09/19 | 10.20 |
| 61002 | UBS AG – Tencent R Bull CBBC Sep 2018 O | 30,000,000 | 2018/05/14 | 2018/09/17 | 10.20 |
| 61007 | Bank Vontobel – HSI R Bull CBBC Dec 2018 S | 100,000,000 | 2018/05/14 | 2018/12/28 | 25.50 |
| 61011 | Bank Vontobel – HSI R Bear CBBC Nov 2018 C | 100,000,000 | 2018/05/14 | 2018/11/29 | 25.40 |
| 61013 | Bank Vontobel – HSI R Bear CBBC Nov 2018 D | 100,000,000 | 2018/05/14 | 2018/11/29 | 25.10 |
| 61237 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 G | 250,000,000 | 2018/05/15 | 2019/12/30 | 62.50 |
| 61299 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 H | 250,000,000 | 2018/05/15 | 2019/12/30 | 62.50 |
| 61238 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 R | 50,000,000 | 2018/05/15 | 2018/09/27 | 12.50 |
| 61242 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 S | 50,000,000 | 2018/05/15 | 2018/09/27 | 12.50 |
| 61240 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 T | 50,000,000 | 2018/05/15 | 2018/11/29 | 12.50 |
| 61498 | Credit Suisse AG – CM Bank R Bull CBBC Jan 2019 D | 70,000,000 | 2018/05/15 | 2019/01/30 | 17.50 |
| 61482 | Credit Suisse AG – Sinopec Corp R Bull CBBC Dec 2018 D | 60,000,000 | 2018/05/15 | 2018/12/28 | 15.00 |
| 61486 | Credit Suisse AG – CPIC R Bull CBBC Jan 2019 A | 50,000,000 | 2018/05/15 | 2019/01/30 | 12.50 |
| 61500 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 E | 50,000,000 | 2018/05/15 | 2019/01/30 | 12.50 |
| 61520 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 C | 200,000,000 | 2018/05/15 | 2019/09/27 | 50.00 |
| 61525 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 D | 200,000,000 | 2018/05/15 | 2019/09/27 | 50.00 |
| 61516 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 W | 200,000,000 | 2018/05/15 | 2019/10/30 | 50.00 |
| 61473 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 G | 200,000,000 | 2018/05/15 | 2018/08/30 | 50.00 |
| 61481 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/15 | 2018/08/30 | 50.00 |
| 61467 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/05/15 | 2018/08/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61474 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/05/15 | 2018/09/27 | 50.00 |
| 61478 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/05/15 | 2018/09/27 | 50.00 |
| 61507 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 S | 80,000,000 | 2018/05/15 | 2018/12/28 | 20.00 |
| 61483 | Credit Suisse AG – SUNAC R Bull CBBC Jan 2019 G | 100,000,000 | 2018/05/15 | 2019/01/30 | 25.00 |
| 61494 | Credit Suisse AG – Sunny Optical R Bull CBBC Dec 2018 B | 40,000,000 | 2018/05/15 | 2018/12/28 | 10.00 |
| 61504 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 L | 50,000,000 | 2018/05/15 | 2018/12/06 | 12.50 |
| 61296 | Bank of East Asia – CM Bank R Bull CBBC Jan 2019 A | 20,000,000 | 2018/05/15 | 2019/01/22 | 10.00 |
| 61292 | Bank of East Asia – Ping An R Bull CBBC Jan 2019 A | 120,000,000 | 2018/05/15 | 2019/01/22 | 30.00 |
| 61295 | Bank of East Asia – Ping An R Bull CBBC Jan 2019 B | 120,000,000 | 2018/05/15 | 2019/01/22 | 30.00 |
| 61288 | Bank of East Asia – Tencent R Bull CBBC Jan 2019 B | 30,000,000 | 2018/05/15 | 2019/01/22 | 10.50 |
| 61459 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/15 | 2018/12/31 | 25.00 |
| 61457 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 X | 100,000,000 | 2018/05/15 | 2018/12/21 | 25.00 |
| 61458 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 P | 100,000,000 | 2018/05/15 | 2018/12/21 | 25.00 |
| 61460 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 B | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61444 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61449 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 Y | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61185 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 Y | 200,000,000 | 2018/05/15 | 2018/10/30 | 50.00 |
| 61186 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/05/15 | 2018/10/30 | 50.00 |
| 61455 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 U | 100,000,000 | 2018/05/15 | 2018/12/21 | 25.00 |
| 61456 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/15 | 2018/12/21 | 25.00 |
| 61453 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 O | 100,000,000 | 2018/05/15 | 2018/12/21 | 25.00 |
| 61279 | HK Bank – AIA R Bull CBBC Nov 2018 A | 80,000,000 | 2018/05/15 | 2018/11/05 | 20.00 |
| 61243 | HK Bank – China Mobile R Bear CBBC Oct 2018 C | 40,000,000 | 2018/05/15 | 2018/10/22 | 10.00 |
| 61271 | HK Bank – China Life R Bull CBBC Oct 2018 C | 40,000,000 | 2018/05/15 | 2018/10/15 | 10.00 |
| 61227 | HK Bank – CSOP A50 ETF R Bull CBBC Nov 2018 C | 50,000,000 | 2018/05/15 | 2018/11/12 | 12.50 |
| 61219 | HK Bank – CSOP A50 ETF R Bull CBBC Jan 2019 A | 50,000,000 | 2018/05/15 | 2019/01/28 | 15.00 |
| 61256 | HK Bank – Geely Auto R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/15 | 2018/11/05 | 10.00 |
| 61272 | HK Bank – HKEX R Bull CBBC Dec 2018 A | 50,000,000 | 2018/05/15 | 2018/12/17 | 15.00 |
| 61218 | HK Bank – HSCEI R Bear CBBC Oct 2018 F | 50,000,000 | 2018/05/15 | 2018/10/30 | 12.50 |
| 61193 | HK Bank – HSI R Bull CBBC Mar 2019 X | 100,000,000 | 2018/05/15 | 2019/03/28 | 25.00 |
| 61220 | HK Bank – HSI R Bear CBBC Sep 2018 P | 100,000,000 | 2018/05/15 | 2018/09/27 | 25.00 |
| 61202 | HK Bank – HSI R Bear CBBC Oct 2018 T | 100,000,000 | 2018/05/15 | 2018/10/30 | 25.00 |
| 61282 | HK Bank – NCI R Bull CBBC Dec 2018 C | 40,000,000 | 2018/05/15 | 2018/12/24 | 10.00 |
| 61253 | HK Bank – Ping An R Bull CBBC Nov 2018 K | 100,000,000 | 2018/05/15 | 2018/11/05 | 25.00 |
| 61275 | HK Bank – Sunny Optical R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/15 | 2018/11/05 | 10.00 |
| 61232 | HK Bank – Tencent R Bull CBBC Oct 2018 E | 60,000,000 | 2018/05/15 | 2018/10/18 | 15.00 |
| 61229 | HK Bank – Tencent R Bull CBBC Feb 2019 A | 60,000,000 | 2018/05/15 | 2019/02/18 | 21.00 |
| 61231 | HK Bank – Tencent R Bull CBBC Mar 2019 A | 60,000,000 | 2018/05/15 | 2019/03/11 | 27.00 |
| 61172 | Haitong Int'l Sec – CNOOC R Bull CBBC Nov 2018 B | 60,000,000 | 2018/05/15 | 2018/11/01 | 15.00 |
| 61159 | Haitong Int'l Sec – Sinopec Corp R Bull CBBC Nov 2018 B | 110,000,000 | 2018/05/15 | 2018/11/05 | 27.50 |
| 61161 | Haitong Int'l Sec – HKEX R Bull CBBC Oct 2018 E | 40,000,000 | 2018/05/15 | 2018/10/31 | 10.00 |
| 61153 | Haitong Int'l Sec – HSBC R Bull CBBC Nov 2018 A | 110,000,000 | 2018/05/15 | 2018/11/15 | 27.50 |
| 61173 | Haitong Int'l Sec – Ping An R Bull CBBC Oct 2018 J | 25,000,000 | 2018/05/15 | 2018/10/31 | 10.28 |
| 61178 | Haitong Int'l Sec – Ping An R Bull CBBC Oct 2018 K | 25,000,000 | 2018/05/15 | 2018/10/31 | 17.25 |
| 61181 | Haitong Int'l Sec – Sunny Optical R Bull CBBC Nov 2018 A | 60,000,000 | 2018/05/15 | 2018/11/01 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61162 | Haitong Int'l Sec – Tencent R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/15 | 2018/11/02 | 10.00 |
| 61419 | J P Morgan SP BV – HKEX R Bull CBBC Aug 2018 C | 40,000,000 | 2018/05/15 | 2018/08/17 | 10.00 |
| 61422 | J P Morgan SP BV – HKEX R Bull CBBC Aug 2018 D | 40,000,000 | 2018/05/15 | 2018/08/17 | 10.00 |
| 61423 | J P Morgan SP BV – HSBC R Bull CBBC Sep 2018 D | 100,000,000 | 2018/05/15 | 2018/09/21 | 25.00 |
| 61433 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 D | 200,000,000 | 2018/05/15 | 2019/10/30 | 50.00 |
| 61431 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 W | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61430 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/05/15 | 2019/12/30 | 50.00 |
| 61436 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/05/15 | 2019/12/30 | 50.00 |
| 61440 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/15 | 2018/08/30 | 50.00 |
| 61395 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/15 | 2018/08/30 | 50.00 |
| 61387 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/05/15 | 2018/08/30 | 50.00 |
| 61400 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 M | 50,000,000 | 2018/05/15 | 2018/08/17 | 12.50 |
| 61405 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 N | 50,000,000 | 2018/05/15 | 2018/08/17 | 12.50 |
| 61287 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 A | 13,300,000 | 2018/05/15 | 2018/12/14 | 10.04 |
| 61285 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 A | 13,100,000 | 2018/05/15 | 2018/12/14 | 10.02 |
| 61318 | SG Issuer – CPIC R Bull CBBC Apr 2019 A | 100,000,000 | 2018/05/15 | 2019/04/26 | 25.00 |
| 61355 | SG Issuer – CSOP A50 ETF R Bull CBBC Sep 2018 O | 40,000,000 | 2018/05/15 | 2018/09/10 | 10.00 |
| 61356 | SG Issuer – CSOP A50 ETF R Bull CBBC Sep 2018 P | 40,000,000 | 2018/05/15 | 2018/09/28 | 10.00 |
| 61358 | SG Issuer – A50 China R Bull CBBC Sep 2018 B | 50,000,000 | 2018/05/15 | 2018/09/17 | 12.50 |
| 61320 | SG Issuer – Geely Auto R Bull CBBC Oct 2018 G | 40,000,000 | 2018/05/15 | 2018/10/31 | 10.00 |
| 61324 | SG Issuer – HKEX R Bull CBBC Sep 2018 Q | 50,000,000 | 2018/05/15 | 2018/09/28 | 12.50 |
| 61373 | SG Issuer – HSBC R Bull CBBC Nov 2018 W | 100,000,000 | 2018/05/15 | 2018/11/29 | 25.00 |
| 61360 | SG Issuer – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/05/15 | 2019/10/30 | 50.00 |
| 61361 | SG Issuer – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61365 | SG Issuer – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61313 | SG Issuer – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/05/15 | 2018/10/30 | 50.00 |
| 61315 | SG Issuer – HSI R Bear CBBC Dec 2018 B | 200,000,000 | 2018/05/15 | 2018/12/28 | 50.00 |
| 61316 | SG Issuer – HSI R Bear CBBC Dec 2018 C | 200,000,000 | 2018/05/15 | 2018/12/28 | 50.00 |
| 61332 | SG Issuer – Ping An R Bull CBBC Sep 2018 F | 100,000,000 | 2018/05/15 | 2018/09/28 | 25.00 |
| 61333 | SG Issuer – Ping An R Bull CBBC Oct 2018 O | 100,000,000 | 2018/05/15 | 2018/10/26 | 25.00 |
| 61352 | SG Issuer – Sunny Optical R Bull CBBC Sep 2018 D | 40,000,000 | 2018/05/15 | 2018/09/21 | 10.20 |
| 61377 | SG Issuer – Sunny Optical R Bull CBBC Sep 2018 E | 40,000,000 | 2018/05/15 | 2018/09/11 | 10.00 |
| 61385 | SG Issuer – Tencent R Bull CBBC Aug 2018 Y | 80,000,000 | 2018/05/15 | 2018/08/30 | 20.00 |
| 61386 | SG Issuer – Tencent R Bull CBBC Aug 2018 Z | 80,000,000 | 2018/05/15 | 2018/08/31 | 20.00 |
| 61301 | SG Issuer – Tencent R Bear CBBC Aug 2018 W | 80,000,000 | 2018/05/15 | 2018/08/30 | 20.00 |
| 61548 | UBS AG – HSI R Bull CBBC Oct 2019 S | 250,000,000 | 2018/05/15 | 2019/10/30 | 62.50 |
| 61566 | UBS AG – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61567 | UBS AG – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/05/15 | 2019/11/28 | 50.00 |
| 61538 | UBS AG – HSI R Bear CBBC Aug 2018 W | 200,000,000 | 2018/05/15 | 2018/08/30 | 50.00 |
| 61547 | UBS AG – HSI R Bear CBBC Sep 2018 W | 250,000,000 | 2018/05/15 | 2018/09/27 | 62.50 |
| 61233 | Bank Vontobel – HSI R Bull CBBC Dec 2018 T | 100,000,000 | 2018/05/15 | 2018/12/28 | 25.40 |
| 61234 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 C | 40,000,000 | 2018/05/15 | 2018/12/03 | 10.00 |
| 61626 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 I | 250,000,000 | 2018/05/16 | 2019/12/30 | 62.50 |
| 61790 | Credit Suisse AG – CNOOC R Bull CBBC Dec 2018 C | 50,000,000 | 2018/05/16 | 2018/12/28 | 12.50 |
| 61813 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 X | 200,000,000 | 2018/05/16 | 2019/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 61771 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/05/16 | 2019/11/28 | 50.00 |
| 61797 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/05/16 | 2019/11/28 | 50.00 |
| 61812 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/05/16 | 2019/12/30 | 50.00 |
| 61769 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/16 | 2018/08/30 | 50.00 |
| 61770 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/05/16 | 2018/09/27 | 50.00 |
| 61801 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/05/16 | 2018/10/30 | 50.00 |
| 61816 | Credit Suisse AG – PetroChina R Bull CBBC Dec 2018 B | 50,000,000 | 2018/05/16 | 2018/12/28 | 12.50 |
| 61774 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 T | 80,000,000 | 2018/05/16 | 2018/12/14 | 20.00 |
| 61788 | Credit Suisse AG – Sands China R Bull CBBC Jan 2019 A | 50,000,000 | 2018/05/16 | 2019/01/30 | 12.50 |
| 61785 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 M | 50,000,000 | 2018/05/16 | 2018/12/14 | 12.50 |
| 61822 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2018 L | 80,000,000 | 2018/05/16 | 2018/12/21 | 20.00 |
| 61834 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2018 C | 100,000,000 | 2018/05/16 | 2018/12/03 | 25.00 |
| 61823 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2018 T | 100,000,000 | 2018/05/16 | 2018/12/21 | 25.00 |
| 61628 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 D | 200,000,000 | 2018/05/16 | 2019/11/28 | 50.00 |
| 61630 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/05/16 | 2019/11/28 | 50.00 |
| 61631 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/05/16 | 2019/11/28 | 50.00 |
| 61617 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 A | 200,000,000 | 2018/05/16 | 2018/10/30 | 50.00 |
| 61622 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/05/16 | 2018/10/30 | 50.00 |
| 61818 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 P | 100,000,000 | 2018/05/16 | 2018/12/21 | 25.00 |
| 61821 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/05/16 | 2018/12/21 | 25.00 |
| 61591 | HK Bank – ABC R Bull CBBC Nov 2018 C | 100,000,000 | 2018/05/16 | 2018/11/26 | 25.00 |
| 61578 | HK Bank – Sinopec Corp R Bull CBBC Oct 2018 J | 80,000,000 | 2018/05/16 | 2018/10/22 | 20.00 |
| 61599 | HK Bank – CPIC R Bull CBBC Dec 2018 B | 100,000,000 | 2018/05/16 | 2018/12/24 | 25.00 |
| 61584 | HK Bank – HSI R Bear CBBC Oct 2018 U | 150,000,000 | 2018/05/16 | 2018/10/30 | 37.50 |
| 61587 | HK Bank – Ping An R Bull CBBC Oct 2018 Y | 100,000,000 | 2018/05/16 | 2018/10/15 | 25.00 |
| 61575 | HK Bank – Tencent R Bull CBBC Oct 2018 F | 60,000,000 | 2018/05/16 | 2018/10/22 | 15.00 |
| 61573 | HK Bank – Tencent R Bull CBBC Nov 2018 K | 60,000,000 | 2018/05/16 | 2018/11/26 | 24.00 |
| 61681 | J P Morgan SP BV – CNOOC R Bull CBBC Sep 2018 C | 50,000,000 | 2018/05/16 | 2018/09/21 | 12.50 |
| 61687 | J P Morgan SP BV – CNOOC R Bull CBBC Oct 2018 G | 50,000,000 | 2018/05/16 | 2018/10/19 | 12.50 |
| 61695 | J P Morgan SP BV – Sinopec Corp R Bull CBBC Sep 2018 A | 50,000,000 | 2018/05/16 | 2018/09/21 | 12.50 |
| 61703 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/05/16 | 2019/12/30 | 50.00 |
| 61667 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 D | 200,000,000 | 2018/05/16 | 2018/08/30 | 50.00 |
| 61673 | J P Morgan SP BV – PetroChina R Bull CBBC Oct 2018 B | 50,000,000 | 2018/05/16 | 2018/10/19 | 12.50 |
| 61672 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 O | 50,000,000 | 2018/05/16 | 2018/08/17 | 12.50 |
| 61664 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 B | 13,200,000 | 2018/05/16 | 2018/12/14 | 10.03 |
| 61666 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 B | 13,000,000 | 2018/05/16 | 2018/12/14 | 10.08 |
| 61762 | SG Issuer – China Life R Bull CBBC Oct 2018 B | 40,000,000 | 2018/05/16 | 2018/10/26 | 10.00 |
| 61714 | SG Issuer – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/05/16 | 2019/10/30 | 50.00 |
| 61760 | SG Issuer – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/05/16 | 2019/12/30 | 50.00 |
| 61715 | SG Issuer – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/05/16 | 2019/12/30 | 50.00 |
| 61709 | SG Issuer – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/16 | 2018/08/30 | 50.00 |
| 61711 | SG Issuer – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/05/16 | 2018/10/30 | 50.00 |
| 61757 | SG Issuer – HSI R Bear CBBC Dec 2018 D | 200,000,000 | 2018/05/16 | 2018/12/28 | 50.00 |
| 61719 | SG Issuer – PetroChina R Bull CBBC Oct 2018 F | 40,000,000 | 2018/05/16 | 2018/10/30 | 10.00 |
| 61721 | SG Issuer – Ping An R Bull CBBC Oct 2018 G | 100,000,000 | 2018/05/16 | 2018/10/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61722 | SG Issuer – Tencent R Bull CBBC Aug 2018 B | 80,000,000 | 2018/05/16 | 2018/08/30 | 20.00 |
| 61726 | SG Issuer – Tencent R Bull CBBC Aug 2018 C | 80,000,000 | 2018/05/16 | 2018/08/31 | 20.00 |
| 61766 | SG Issuer – Tencent R Bull CBBC Sep 2018 A | 80,000,000 | 2018/05/16 | 2018/09/06 | 20.00 |
| 61713 | SG Issuer – Tencent R Bear CBBC Aug 2018 X | 80,000,000 | 2018/05/16 | 2018/08/30 | 20.00 |
| 61646 | UBS AG – HSCEI R Bull CBBC Sep 2019 E | 100,000,000 | 2018/05/16 | 2019/09/27 | 25.00 |
| 61650 | UBS AG – HSI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/05/16 | 2019/11/28 | 50.00 |
| 61659 | UBS AG – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/05/16 | 2019/11/28 | 50.00 |
| 61648 | UBS AG – HSI R Bull CBBC Dec 2019 Q | 250,000,000 | 2018/05/16 | 2019/12/30 | 62.50 |
| 61632 | UBS AG – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/05/16 | 2018/08/30 | 50.00 |
| 61642 | UBS AG – Ping An R Bull CBBC Oct 2018 X | 80,000,000 | 2018/05/16 | 2018/10/08 | 20.00 |
| 61637 | UBS AG – Tencent R Bull CBBC Aug 2018 A | 30,000,000 | 2018/05/16 | 2018/08/27 | 10.20 |
| 61602 | Bank Vontobel – HKEX R Bull CBBC Oct 2018 A | 40,000,000 | 2018/05/16 | 2018/10/12 | 10.36 |
| 61604 | Bank Vontobel – HKEX R Bull CBBC Oct 2018 B | 40,000,000 | 2018/05/16 | 2018/10/12 | 17.08 |
| 61607 | Bank Vontobel – HKEX R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/16 | 2018/11/09 | 10.68 |
| 61608 | Bank Vontobel – HKEX R Bear CBBC Nov 2018 B | 40,000,000 | 2018/05/16 | 2018/11/09 | 14.40 |
| 61610 | Bank Vontobel – Ping An R Bull CBBC Oct 2018 A | 40,000,000 | 2018/05/16 | 2018/10/19 | 10.12 |
| 61616 | Bank Vontobel – Ping An R Bull CBBC Oct 2018 B | 40,000,000 | 2018/05/16 | 2018/10/19 | 10.12 |
| 61613 | Bank Vontobel – Ping An R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/16 | 2018/11/16 | 10.28 |
| 61614 | Bank Vontobel – Ping An R Bear CBBC Nov 2018 B | 40,000,000 | 2018/05/16 | 2018/11/16 | 10.24 |
| 61915 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18F | 60,000,000 | 2018/05/17 | 2018/11/29 | 15.00 |
| 61910 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 B | 50,000,000 | 2018/05/17 | 2018/08/30 | 12.50 |
| 61914 | BNP Paribas Issuance B.V. – HSBC R Bull CBBC Nov 2018 D | 150,000,000 | 2018/05/17 | 2018/11/29 | 37.50 |
| 61890 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 J | 250,000,000 | 2018/05/17 | 2019/12/30 | 62.50 |
| 61903 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 K | 250,000,000 | 2018/05/17 | 2019/12/30 | 62.50 |
| 61907 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 L | 250,000,000 | 2018/05/17 | 2019/12/30 | 62.50 |
| 61836 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 K | 250,000,000 | 2018/05/17 | 2018/12/28 | 62.50 |
| 61852 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 L | 250,000,000 | 2018/05/17 | 2018/12/28 | 62.50 |
| 61861 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 M | 250,000,000 | 2018/05/17 | 2018/12/28 | 62.50 |
| 61908 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 T | 50,000,000 | 2018/05/17 | 2018/09/27 | 12.50 |
| 62181 | Credit Suisse AG – AIA R Bull CBBC Dec 2018 A | 70,000,000 | 2018/05/17 | 2018/12/28 | 17.50 |
| 62189 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 T | 200,000,000 | 2018/05/17 | 2019/11/28 | 50.00 |
| 62195 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/05/17 | 2019/11/28 | 50.00 |
| 62197 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/05/17 | 2019/11/28 | 50.00 |
| 62191 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/05/17 | 2019/12/30 | 50.00 |
| 62157 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/05/17 | 2018/08/30 | 50.00 |
| 62155 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/05/17 | 2018/08/30 | 50.00 |
| 62147 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/05/17 | 2018/08/30 | 50.00 |
| 62187 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/05/17 | 2018/10/30 | 50.00 |
| 62177 | Credit Suisse AG – PetroChina R Bull CBBC Dec 2018 C | 50,000,000 | 2018/05/17 | 2018/12/21 | 12.50 |
| 62198 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 N | 50,000,000 | 2018/05/17 | 2018/12/21 | 12.50 |
| 62209 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 O | 50,000,000 | 2018/05/17 | 2018/12/20 | 12.50 |
| 62212 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 P | 50,000,000 | 2018/05/17 | 2018/12/28 | 12.50 |
| 62167 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 G | 50,000,000 | 2018/05/17 | 2018/11/29 | 12.50 |
| 62174 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 E | 50,000,000 | 2018/05/17 | 2018/12/21 | 12.50 |
| 61937 | Bank of East Asia – AIA R Bull CBBC Jan 2019 B | 40,000,000 | 2018/05/17 | 2019/01/29 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61938 | Bank of East Asia – AIA R Bull CBBC Jan 2019 C | 40,000,000 | 2018/05/17 | 2019/01/29 | 10.00 |
| 61943 | Bank of East Asia – CNOOC R Bull CBBC Jan 2019 A | 40,000,000 | 2018/05/17 | 2019/01/29 | 10.00 |
| 61944 | Bank of East Asia – ICBC R Bull CBBC Jan 2019 A | 60,000,000 | 2018/05/17 | 2019/01/29 | 15.00 |
| 61939 | Bank of East Asia – PetroChina R Bull CBBC Jan 2019 A | 40,000,000 | 2018/05/17 | 2019/01/29 | 10.00 |
| 61933 | Bank of East Asia – Tencent R Bull CBBC Jan 2019 C | 30,000,000 | 2018/05/17 | 2019/01/29 | 10.50 |
| 61934 | Bank of East Asia – Tencent R Bull CBBC Jan 2019 D | 30,000,000 | 2018/05/17 | 2019/01/29 | 10.50 |
| 62238 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 N | 100,000,000 | 2018/05/17 | 2018/12/21 | 25.00 |
| 61971 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 Y | 100,000,000 | 2018/05/17 | 2018/12/21 | 25.00 |
| 62236 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/05/17 | 2018/12/21 | 25.00 |
| 61945 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 P | 200,000,000 | 2018/05/17 | 2020/01/30 | 50.00 |
| 61949 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 Q | 200,000,000 | 2018/05/17 | 2020/01/30 | 50.00 |
| 61962 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 R | 200,000,000 | 2018/05/17 | 2020/01/30 | 50.00 |
| 61916 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 E | 200,000,000 | 2018/05/17 | 2018/09/27 | 50.00 |
| 61917 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 C | 200,000,000 | 2018/05/17 | 2018/10/30 | 50.00 |
| 61918 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 D | 200,000,000 | 2018/05/17 | 2018/10/30 | 50.00 |
| 62219 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 J | 200,000,000 | 2018/05/17 | 2018/11/29 | 50.20 |
| 62220 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 K | 200,000,000 | 2018/05/17 | 2018/11/29 | 50.20 |
| 62222 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 L | 200,000,000 | 2018/05/17 | 2018/11/29 | 50.20 |
| 62235 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 W | 100,000,000 | 2018/05/17 | 2018/12/31 | 25.00 |
| 62225 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 R | 100,000,000 | 2018/05/17 | 2018/12/31 | 25.00 |
| 62227 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 S | 100,000,000 | 2018/05/17 | 2018/12/31 | 25.00 |
| 62230 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/17 | 2018/12/31 | 25.00 |
| 61877 | HK Bank – CNOOC R Bull CBBC Nov 2018 C | 50,000,000 | 2018/05/17 | 2018/11/05 | 12.50 |
| 61871 | HK Bank – Sinopec Corp R Bear CBBC Oct 2018 B | 40,000,000 | 2018/05/17 | 2018/10/15 | 10.00 |
| 61863 | HK Bank – HKEX R Bull CBBC Nov 2018 J | 50,000,000 | 2018/05/17 | 2018/11/05 | 12.50 |
| 62045 | HK Bank – HSCEI R Bull CBBC Mar 2019 B | 60,000,000 | 2018/05/17 | 2019/03/28 | 15.00 |
| 61882 | HK Bank – HSI R Bull CBBC Mar 2019 Y | 150,000,000 | 2018/05/17 | 2019/03/28 | 37.50 |
| 62063 | HK Bank – HSI R Bull CBBC Mar 2019 Z | 100,000,000 | 2018/05/17 | 2019/03/28 | 25.00 |
| 61875 | HK Bank – PetroChina R Bull CBBC Nov 2018 C | 80,000,000 | 2018/05/17 | 2018/11/05 | 20.00 |
| 62057 | HK Bank – Tencent R Bull CBBC Sep 2018 Z | 60,000,000 | 2018/05/17 | 2018/09/20 | 15.00 |
| 62062 | HK Bank – Tencent R Bull CBBC Oct 2018 G | 60,000,000 | 2018/05/17 | 2018/10/25 | 15.00 |
| 62060 | HK Bank – Tencent R Bull CBBC Dec 2018 A | 60,000,000 | 2018/05/17 | 2018/12/17 | 33.00 |
| 62073 | J P Morgan SP BV – HSI R Bull CBBC Nov 2018 S | 200,000,000 | 2018/05/17 | 2018/11/29 | 50.00 |
| 62077 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/05/17 | 2019/10/30 | 50.00 |
| 62103 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 P | 200,000,000 | 2018/05/17 | 2019/12/30 | 50.00 |
| 62072 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/17 | 2018/08/30 | 50.00 |
| 62070 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/05/17 | 2018/08/30 | 50.00 |
| 62078 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 P | 50,000,000 | 2018/05/17 | 2018/08/17 | 12.50 |
| 62082 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 Q | 50,000,000 | 2018/05/17 | 2018/08/17 | 12.50 |
| 62100 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 D | 50,000,000 | 2018/05/17 | 2018/09/14 | 16.50 |
| 61931 | Macquarie Bank Ltd. – AAC Tech R Bull CBBC Feb 2019 A | 30,500,000 | 2018/05/17 | 2019/02/13 | 10.00 |
| 61919 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 C | 12,800,000 | 2018/05/17 | 2018/12/14 | 10.06 |
| 61928 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 C | 11,000,000 | 2018/05/17 | 2018/12/14 | 10.01 |
| 62131 | SG Issuer – AIA R Bull CBBC Feb 2019 A | 60,000,000 | 2018/05/17 | 2019/02/27 | 15.00 |
| 62135 | SG Issuer – AIA R Bull CBBC Mar 2019 A | 60,000,000 | 2018/05/17 | 2019/03/28 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62117 | SG Issuer – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/05/17 | 2019/11/28 | 50.00 |
| 62123 | SG Issuer – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/05/17 | 2019/12/30 | 50.00 |
| 62128 | SG Issuer – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/05/17 | 2019/12/30 | 50.00 |
| 62129 | SG Issuer – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/05/17 | 2019/12/30 | 50.00 |
| 62111 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/05/17 | 2018/09/27 | 50.00 |
| 62115 | SG Issuer – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/05/17 | 2018/09/27 | 50.00 |
| 62136 | SG Issuer – Tencent R Bull CBBC Sep 2018 E | 80,000,000 | 2018/05/17 | 2018/09/19 | 20.00 |
| 62138 | SG Issuer – Tencent R Bull CBBC Sep 2018 G | 80,000,000 | 2018/05/17 | 2018/09/21 | 20.00 |
| 62139 | SG Issuer – Tencent R Bull CBBC Sep 2018 U | 80,000,000 | 2018/05/17 | 2018/09/26 | 20.00 |
| 62105 | SG Issuer – Tencent R Bear CBBC Sep 2018 P | 80,000,000 | 2018/05/17 | 2018/09/07 | 20.00 |
| 62143 | SG Issuer – Tencent R Bear CBBC Dec 2018 L | 80,000,000 | 2018/05/17 | 2018/12/28 | 20.00 |
| 62024 | UBS AG – CNOOC R Bull CBBC Oct 2018 H | 40,000,000 | 2018/05/17 | 2018/10/08 | 10.00 |
| 62026 | UBS AG – CNOOC R Bull CBBC Oct 2018 I | 40,000,000 | 2018/05/17 | 2018/10/22 | 10.00 |
| 62028 | UBS AG – CNOOC R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/17 | 2018/11/12 | 10.00 |
| 62034 | UBS AG – Sinopec Corp R Bull CBBC Oct 2018 C | 50,000,000 | 2018/05/17 | 2018/10/08 | 12.50 |
| 62004 | UBS AG – CSOP A50 ETF R Bull CBBC Sep 2018 G | 50,000,000 | 2018/05/17 | 2018/09/24 | 12.50 |
| 62008 | UBS AG – A50 China R Bull CBBC Sep 2018 D | 50,000,000 | 2018/05/17 | 2018/09/17 | 12.50 |
| 62035 | UBS AG – HKEX R Bull CBBC Nov 2018 Q | 50,000,000 | 2018/05/17 | 2018/11/07 | 12.50 |
| 62040 | UBS AG – HSBC R Bull CBBC Nov 2018 C | 80,000,000 | 2018/05/17 | 2018/11/19 | 20.00 |
| 61998 | UBS AG – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/05/17 | 2019/10/30 | 50.00 |
| 62003 | UBS AG – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/05/17 | 2019/12/30 | 50.00 |
| 61990 | UBS AG – HSI R Bull CBBC Dec 2019 V | 200,000,000 | 2018/05/17 | 2019/12/30 | 50.00 |
| 61972 | UBS AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/17 | 2018/08/30 | 50.00 |
| 61975 | UBS AG – HSI R Bear CBBC Aug 2018 U | 250,000,000 | 2018/05/17 | 2018/08/30 | 62.50 |
| 61976 | UBS AG – HSI R Bear CBBC Sep 2018 X | 250,000,000 | 2018/05/17 | 2018/09/27 | 62.50 |
| 62014 | UBS AG – Petrochina R Bull CBBC Oct 2018 F | 60,000,000 | 2018/05/17 | 2018/10/29 | 15.00 |
| 62016 | UBS AG – Petrochina R Bull CBBC Oct 2018 G | 60,000,000 | 2018/05/17 | 2018/10/22 | 15.00 |
| 62022 | UBS AG – Petrochina R Bear CBBC Oct 2018 B | 40,000,000 | 2018/05/17 | 2018/10/15 | 10.00 |
| 62033 | UBS AG – Tencent R Bull CBBC Aug 2018 B | 30,000,000 | 2018/05/17 | 2018/08/22 | 10.20 |
| 62030 | UBS AG – Tencent R Bull CBBC Aug 2018 C | 30,000,000 | 2018/05/17 | 2018/08/29 | 10.20 |
| 62036 | UBS AG – Tencent R Bull CBBC Aug 2018 D | 30,000,000 | 2018/05/17 | 2018/08/20 | 10.20 |
| 62379 | BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Nov 2018 V | 100,000,000 | 2018/05/18 | 2018/11/29 | 25.00 |
| 62303 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 U | 100,000,000 | 2018/05/18 | 2018/11/29 | 25.00 |
| 62385 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18G | 60,000,000 | 2018/05/18 | 2018/11/29 | 15.00 |
| 62302 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 C | 50,000,000 | 2018/05/18 | 2019/03/28 | 12.50 |
| 62387 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 M | 250,000,000 | 2018/05/18 | 2019/12/30 | 62.50 |
| 62390 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/05/18 | 2019/12/30 | 62.50 |
| 62394 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 O | 250,000,000 | 2018/05/18 | 2019/12/30 | 62.50 |
| 62386 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Q | 250,000,000 | 2018/05/18 | 2019/12/30 | 62.50 |
| 62289 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 N | 250,000,000 | 2018/05/18 | 2018/12/28 | 62.50 |
| 62290 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 O | 250,000,000 | 2018/05/18 | 2018/12/28 | 62.50 |
| 62292 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 P | 250,000,000 | 2018/05/18 | 2018/12/28 | 62.50 |
| 62294 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 Q | 250,000,000 | 2018/05/18 | 2018/12/28 | 62.50 |
| 62380 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 L | 200,000,000 | 2018/05/18 | 2018/11/29 | 50.00 |
| 62384 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 V | 50,000,000 | 2018/05/18 | 2018/09/27 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 62296 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 K | 50,000,000 | 2018/05/18 | 2018/11/29 | 12.50 |
| 62295 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 U | 50,000,000 | 2018/05/18 | 2018/11/29 | 12.50 |
| 62895 | Credit Suisse AG – AIA R Bull CBBC Dec 2018 B | 70,000,000 | 2018/05/18 | 2018/12/21 | 17.50 |
| 62897 | Credit Suisse AG – AIA R Bear CBBC Jan 2019 B | 50,000,000 | 2018/05/18 | 2019/01/30 | 12.50 |
| 62898 | Credit Suisse AG – AIA R Bear CBBC Jan 2019 C | 50,000,000 | 2018/05/18 | 2019/01/25 | 12.50 |
| 62859 | Credit Suisse AG – Geely Auto R Bull CBBC Dec 2018 I | 40,000,000 | 2018/05/18 | 2018/12/28 | 10.00 |
| 62861 | Credit Suisse AG – Geely Auto R Bull CBBC Jun 2019 A | 100,000,000 | 2018/05/18 | 2019/06/28 | 25.00 |
| 62858 | Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2018 F | 50,000,000 | 2018/05/18 | 2018/11/29 | 12.50 |
| 62865 | Credit Suisse AG – HKEX R Bull CBBC Dec 2018 D | 50,000,000 | 2018/05/18 | 2018/12/28 | 12.50 |
| 62855 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 H | 80,000,000 | 2018/05/18 | 2018/12/28 | 20.00 |
| 62845 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 I | 200,000,000 | 2018/05/18 | 2019/09/27 | 50.00 |
| 62840 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/05/18 | 2019/10/30 | 50.00 |
| 62842 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/05/18 | 2019/10/30 | 50.00 |
| 62810 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 W | 200,000,000 | 2018/05/18 | 2019/11/28 | 50.00 |
| 62819 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 X | 200,000,000 | 2018/05/18 | 2019/11/28 | 50.00 |
| 62835 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 Y | 200,000,000 | 2018/05/18 | 2019/11/28 | 50.00 |
| 62763 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 F | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62764 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62770 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62803 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/05/18 | 2018/09/27 | 50.00 |
| 62771 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/05/18 | 2018/09/27 | 50.00 |
| 62784 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/05/18 | 2018/09/27 | 50.00 |
| 62778 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 M | 200,000,000 | 2018/05/18 | 2018/10/30 | 50.00 |
| 62804 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/05/18 | 2018/10/30 | 50.00 |
| 62901 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 U | 80,000,000 | 2018/05/18 | 2018/12/21 | 20.00 |
| 62867 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 Q | 50,000,000 | 2018/05/18 | 2018/12/13 | 12.50 |
| 62868 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 R | 50,000,000 | 2018/05/18 | 2018/12/28 | 12.50 |
| 62877 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 F | 50,000,000 | 2018/05/18 | 2018/12/20 | 12.50 |
| 62891 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 G | 50,000,000 | 2018/05/18 | 2018/12/07 | 18.00 |
| 62532 | Bank of East Asia – Geely Auto R Bull CBBC Feb 2019 A | 40,000,000 | 2018/05/18 | 2019/02/12 | 10.00 |
| 62531 | Bank of East Asia – HKEX R Bull CBBC Feb 2019 A | 40,000,000 | 2018/05/18 | 2019/02/12 | 10.00 |
| 62523 | Bank of East Asia – Tencent R Bull CBBC Feb 2019 A | 30,000,000 | 2018/05/18 | 2019/02/12 | 10.50 |
| 62524 | Bank of East Asia – Tencent R Bull CBBC Feb 2019 B | 30,000,000 | 2018/05/18 | 2019/02/12 | 10.50 |
| 63044 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Dec 2018 M | 40,000,000 | 2018/05/18 | 2018/12/31 | 10.00 |
| 63043 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 O | 100,000,000 | 2018/05/18 | 2018/12/21 | 25.00 |
| 62447 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2018 D | 100,000,000 | 2018/05/18 | 2018/12/21 | 25.00 |
| 63046 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2018 U | 100,000,000 | 2018/05/18 | 2018/12/31 | 25.00 |
| 63047 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 Z | 100,000,000 | 2018/05/18 | 2018/12/31 | 25.00 |
| 62503 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62460 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62505 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62469 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62243 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 E | 200,000,000 | 2018/05/18 | 2018/10/30 | 50.00 |
| 62246 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 F | 200,000,000 | 2018/05/18 | 2018/10/30 | 50.00 |
| 62251 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 G | 200,000,000 | 2018/05/18 | 2018/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62252 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 M | 200,000,000 | 2018/05/18 | 2018/11/29 | 50.00 |
| 62254 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 N | 200,000,000 | 2018/05/18 | 2018/11/29 | 50.00 |
| 62399 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 O | 200,000,000 | 2018/05/18 | 2018/11/29 | 50.00 |
| 62402 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 P | 200,000,000 | 2018/05/18 | 2018/11/29 | 50.00 |
| 62406 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 A | 200,000,000 | 2018/05/18 | 2018/12/28 | 50.00 |
| 62434 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 B | 200,000,000 | 2018/05/18 | 2018/12/28 | 50.00 |
| 62438 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 C | 200,000,000 | 2018/05/18 | 2018/12/28 | 50.00 |
| 62445 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 G | 100,000,000 | 2018/05/18 | 2019/02/27 | 25.00 |
| 62440 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 E | 100,000,000 | 2018/05/18 | 2018/12/21 | 25.00 |
| 62441 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 I | 100,000,000 | 2018/05/18 | 2018/12/21 | 25.00 |
| 63042 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 W | 100,000,000 | 2018/05/18 | 2018/12/31 | 25.00 |
| 62307 | HK Bank – AIA R Bull CBBC Oct 2018 O | 80,000,000 | 2018/05/18 | 2018/10/29 | 20.00 |
| 62310 | HK Bank – AIA R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/18 | 2018/11/30 | 10.00 |
| 62346 | HK Bank – HKEX R Bull CBBC Nov 2018 K | 50,000,000 | 2018/05/18 | 2018/11/12 | 12.50 |
| 62328 | HK Bank – HSCEI R Bull CBBC Mar 2019 C | 60,000,000 | 2018/05/18 | 2019/03/28 | 15.00 |
| 62335 | HK Bank – HSCEI R Bear CBBC Nov 2018 B | 50,000,000 | 2018/05/18 | 2018/11/29 | 12.50 |
| 62320 | HK Bank – HSI R Bull CBBC Mar 2019 B | 150,000,000 | 2018/05/18 | 2019/03/28 | 37.50 |
| 62321 | HK Bank – HSI R Bear CBBC Nov 2018 B | 150,000,000 | 2018/05/18 | 2018/11/29 | 37.50 |
| 62337 | HK Bank – Ping An R Bull CBBC Nov 2018 L | 100,000,000 | 2018/05/18 | 2018/11/12 | 25.00 |
| 62336 | HK Bank – Tencent R Bull CBBC Oct 2018 D | 60,000,000 | 2018/05/18 | 2018/10/15 | 15.00 |
| 62257 | Haitong Int'l Sec – Sinopec Corp R Bull CBBC Nov 2018 C | 100,000,000 | 2018/05/18 | 2018/11/02 | 25.00 |
| 62288 | Haitong Int'l Sec – CPIC R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/18 | 2018/11/05 | 14.96 |
| 62256 | Haitong Int'l Sec – Geely Auto R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/18 | 2018/11/05 | 10.00 |
| 62255 | Haitong Int'l Sec – HSBC R Bull CBBC Nov 2018 B | 110,000,000 | 2018/05/18 | 2018/11/29 | 27.50 |
| 62266 | Haitong Int'l Sec – PetroChina R Bull CBBC Nov 2018 A | 140,000,000 | 2018/05/18 | 2018/11/06 | 35.00 |
| 62279 | Haitong Int'l Sec – Ping An R Bull CBBC Nov 2018 B | 25,000,000 | 2018/05/18 | 2018/11/05 | 10.80 |
| 62287 | Haitong Int'l Sec – Sunny Optical R Bull CBBC Nov 2018 B | 60,000,000 | 2018/05/18 | 2018/11/05 | 15.00 |
| 62259 | Haitong Int'l Sec – Tencent R Bull CBBC Nov 2018 B | 40,000,000 | 2018/05/18 | 2018/11/05 | 10.00 |
| 62263 | Haitong Int'l Sec – Tencent R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/18 | 2018/11/05 | 10.00 |
| 62762 | J P Morgan SP BV – HKEX R Bull CBBC Sep 2018 B | 40,000,000 | 2018/05/18 | 2018/09/14 | 10.00 |
| 62701 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/05/18 | 2019/10/30 | 50.00 |
| 62717 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 B | 200,000,000 | 2018/05/18 | 2019/11/28 | 50.00 |
| 62697 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/05/18 | 2019/11/28 | 50.00 |
| 62696 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62707 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62693 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 B | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62692 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 K | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62690 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Y | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62746 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 R | 50,000,000 | 2018/05/18 | 2018/08/17 | 14.00 |
| 62753 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2018 S | 50,000,000 | 2018/05/18 | 2018/08/17 | 12.50 |
| 62760 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 E | 50,000,000 | 2018/05/18 | 2018/09/14 | 12.50 |
| 62511 | Macquarie Bank Ltd. – COVS R Bull CBBC Dec 2018 A | 14,000,000 | 2018/05/18 | 2018/12/28 | 11.14 |
| 62513 | Macquarie Bank Ltd. – COVS R Bull CBBC Dec 2018 B | 12,000,000 | 2018/05/18 | 2018/12/28 | 11.29 |
| 62518 | Macquarie Bank Ltd. – COVS R Bear CBBC Dec 2018 A | 10,000,000 | 2018/05/18 | 2018/12/28 | 10.67 |
| 62507 | Macquarie Bank Ltd. – HKEX R Bull CBBC Dec 2018 A | 16,000,000 | 2018/05/18 | 2018/12/28 | 11.22 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62506 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 D | 12,500,000 | 2018/05/18 | 2018/12/14 | 10.01 |
| 63023 | SG Issuer – AAC Tech R Bull CBBC Nov 2018 D | 40,000,000 | 2018/05/18 | 2018/11/07 | 10.00 |
| 62969 | SG Issuer – AIA R Bull CBBC Oct 2018 C | 60,000,000 | 2018/05/18 | 2018/10/26 | 15.00 |
| 62970 | SG Issuer – CC Bank R Bull CBBC Oct 2018 K | 100,000,000 | 2018/05/18 | 2018/10/23 | 25.00 |
| 63034 | SG Issuer – CNOOC R Bull CBBC Oct 2018 J | 40,000,000 | 2018/05/18 | 2018/10/05 | 10.00 |
| 63038 | SG Issuer – CNOOC R Bull CBBC Oct 2018 K | 40,000,000 | 2018/05/18 | 2018/10/25 | 10.00 |
| 63028 | SG Issuer – CNOOC R Bear CBBC Aug 2018 A | 40,000,000 | 2018/05/18 | 2018/08/31 | 10.00 |
| 63027 | SG Issuer – Sinopec Corp R Bull CBBC Oct 2018 C | 40,000,000 | 2018/05/18 | 2018/10/05 | 10.00 |
| 63025 | SG Issuer – Sinopec Corp R Bear CBBC Sep 2018 A | 40,000,000 | 2018/05/18 | 2018/09/28 | 10.00 |
| 62984 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 M | 40,000,000 | 2018/05/18 | 2018/10/19 | 10.00 |
| 62986 | SG Issuer – HKEX R Bull CBBC Sep 2018 R | 50,000,000 | 2018/05/18 | 2018/09/21 | 12.50 |
| 62987 | SG Issuer – HKEX R Bull CBBC Oct 2018 C | 50,000,000 | 2018/05/18 | 2018/10/05 | 12.50 |
| 62998 | SG Issuer – HSBC R Bull CBBC Sep 2018 U | 100,000,000 | 2018/05/18 | 2018/09/27 | 25.00 |
| 63015 | SG Issuer – HSI R Bull CBBC Sep 2019 U | 200,000,000 | 2018/05/18 | 2019/09/27 | 50.00 |
| 62939 | SG Issuer – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/05/18 | 2019/10/30 | 50.00 |
| 62941 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/05/18 | 2019/11/28 | 50.00 |
| 62968 | SG Issuer – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62956 | SG Issuer – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62920 | SG Issuer – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62926 | SG Issuer – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62931 | SG Issuer – HSI R Bear CBBC Oct 2018 Y | 200,000,000 | 2018/05/18 | 2018/10/30 | 50.00 |
| 63011 | SG Issuer – HSI R Bear CBBC Dec 2018 E | 200,000,000 | 2018/05/18 | 2018/12/28 | 50.00 |
| 63039 | SG Issuer – ICBC R Bull CBBC Oct 2018 H | 60,000,000 | 2018/05/18 | 2018/10/29 | 15.00 |
| 63040 | SG Issuer – PetroChina R Bull CBBC Nov 2018 G | 40,000,000 | 2018/05/18 | 2018/11/23 | 10.00 |
| 63000 | SG Issuer – Ping An R Bull CBBC Oct 2018 T | 100,000,000 | 2018/05/18 | 2018/10/05 | 25.00 |
| 63001 | SG Issuer – Tencent R Bull CBBC Aug 2018 D | 80,000,000 | 2018/05/18 | 2018/08/30 | 20.00 |
| 63007 | SG Issuer – Tencent R Bull CBBC Sep 2018 I | 80,000,000 | 2018/05/18 | 2018/09/14 | 20.00 |
| 63009 | SG Issuer – Tencent R Bull CBBC Sep 2018 J | 80,000,000 | 2018/05/18 | 2018/09/20 | 20.00 |
| 62903 | SG Issuer – Tencent R Bear CBBC Aug 2018 Y | 80,000,000 | 2018/05/18 | 2018/08/31 | 20.00 |
| 62672 | UBS AG – CC Bank R Bull CBBC Sep 2018 J | 80,000,000 | 2018/05/18 | 2018/09/17 | 20.00 |
| 62667 | UBS AG – HKEX R Bull CBBC Sep 2018 W | 50,000,000 | 2018/05/18 | 2018/09/17 | 12.50 |
| 62668 | UBS AG – HKEX R Bull CBBC Sep 2018 X | 50,000,000 | 2018/05/18 | 2018/09/24 | 12.50 |
| 62637 | UBS AG – HSI R Bull CBBC Oct 2019 T | 250,000,000 | 2018/05/18 | 2019/10/30 | 62.50 |
| 62652 | UBS AG – HSI R Bull CBBC Oct 2019 V | 250,000,000 | 2018/05/18 | 2019/10/30 | 62.50 |
| 62633 | UBS AG – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/05/18 | 2019/11/28 | 50.00 |
| 62654 | UBS AG – HSI R Bull CBBC Nov 2019 T | 250,000,000 | 2018/05/18 | 2019/11/28 | 62.50 |
| 62631 | UBS AG – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/18 | 2019/12/30 | 50.00 |
| 62574 | UBS AG – HSI R Bear CBBC Aug 2018 A | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62577 | UBS AG – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62533 | UBS AG – HSI R Bear CBBC Aug 2018 F | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62534 | UBS AG – HSI R Bear CBBC Aug 2018 G | 250,000,000 | 2018/05/18 | 2018/08/30 | 62.50 |
| 62535 | UBS AG – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62614 | UBS AG – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |
| 62537 | UBS AG – HSI R Bear CBBC Aug 2018 K | 250,000,000 | 2018/05/18 | 2018/08/30 | 62.50 |
| 62622 | UBS AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/05/18 | 2018/08/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62562 | UBS AG – HSI R Bear CBBC Aug 2018 S | 250,000,000 | 2018/05/18 | 2018/08/30 | 62.50 |
| 62679 | UBS AG – ICBC R Bull CBBC Oct 2018 K | 100,000,000 | 2018/05/18 | 2018/10/08 | 25.00 |
| 62669 | UBS AG – Petrochina R Bull CBBC Oct 2018 H | 60,000,000 | 2018/05/18 | 2018/10/16 | 15.00 |
| 62684 | UBS AG – Ping An R Bull CBBC Dec 2018 F | 80,000,000 | 2018/05/18 | 2018/12/03 | 20.00 |
| 62680 | UBS AG – Sands China R Bull CBBC Dec 2018 B | 60,000,000 | 2018/05/18 | 2018/12/17 | 15.00 |
| 62666 | UBS AG – Tencent R Bull CBBC Aug 2018 E | 30,000,000 | 2018/05/18 | 2018/08/30 | 10.20 |
| 62662 | UBS AG – Tencent R Bull CBBC Sep 2018 P | 30,000,000 | 2018/05/18 | 2018/09/12 | 10.20 |
| 62664 | UBS AG – Tencent R Bull CBBC Sep 2018 T | 30,000,000 | 2018/05/18 | 2018/09/26 | 10.20 |
| 62625 | UBS AG – Tencent R Bear CBBC Oct 2018 G | 30,000,000 | 2018/05/18 | 2018/10/10 | 10.20 |
| 62686 | UBS AG – Tracker Fund R Bull CBBC Dec 2018 A | 40,000,000 | 2018/05/18 | 2018/12/17 | 10.00 |
| 62351 | Bank Vontobel – HSI R Bull CBBC Dec 2018 U | 100,000,000 | 2018/05/18 | 2018/12/28 | 25.10 |
| 62353 | Bank Vontobel – HSI R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/18 | 2018/12/28 | 25.30 |
| 62362 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 D | 40,000,000 | 2018/05/18 | 2018/12/05 | 10.16 |
| 62369 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 E | 40,000,000 | 2018/05/18 | 2018/12/06 | 10.32 |
| 62239 | Bank Vontobel – Tencent R Bear CBBC Sep 2018 A | 40,000,000 | 2018/05/18 | 2018/09/14 | 10.00 |
| 63114 | BOCI Asia Ltd. – CC Bank R Bull CBBC Sep 2018 A | 70,000,000 | 2018/05/21 | 2018/09/28 | 17.78 |
| 63108 | BOCI Asia Ltd. – HKEX R Bull CBBC Sep 2018 B | 40,000,000 | 2018/05/21 | 2018/09/27 | 11.88 |
| 63106 | BOCI Asia Ltd. – Ping An R Bull CBBC Oct 2018 A | 100,000,000 | 2018/05/21 | 2018/10/05 | 25.40 |
| 63105 | BOCI Asia Ltd. – Tencent R Bull CBBC Aug 2018 B | 40,000,000 | 2018/05/21 | 2018/08/30 | 18.08 |
| 63447 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 F | 50,000,000 | 2018/05/21 | 2018/08/30 | 12.50 |
| 63450 | BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2019 K | 100,000,000 | 2018/05/21 | 2019/09/27 | 25.00 |
| 63451 | BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2019 L | 100,000,000 | 2018/05/21 | 2019/09/27 | 25.00 |
| 63526 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/05/21 | 2019/12/30 | 62.50 |
| 63532 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 S | 250,000,000 | 2018/05/21 | 2019/12/30 | 62.50 |
| 63531 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 W | 250,000,000 | 2018/05/21 | 2019/12/30 | 62.50 |
| 63070 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 R | 250,000,000 | 2018/05/21 | 2018/12/28 | 62.50 |
| 63072 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 S | 250,000,000 | 2018/05/21 | 2018/12/28 | 62.50 |
| 63073 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 T | 250,000,000 | 2018/05/21 | 2018/12/28 | 62.50 |
| 63444 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 M | 200,000,000 | 2018/05/21 | 2018/11/29 | 50.00 |
| 63389 | Credit Suisse AG – CC Bank R Bull CBBC Nov 2018 L | 80,000,000 | 2018/05/21 | 2018/11/29 | 20.00 |
| 63400 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 F | 50,000,000 | 2018/05/21 | 2019/01/25 | 12.50 |
| 63417 | Credit Suisse AG – A50 China R Bull CBBC Jan 2019 A | 50,000,000 | 2018/05/21 | 2019/01/30 | 12.50 |
| 63336 | Credit Suisse AG – Geely Auto R Bull CBBC Jun 2019 B | 100,000,000 | 2018/05/21 | 2019/06/21 | 25.00 |
| 63339 | Credit Suisse AG – HKEX R Bull CBBC Nov 2018 H | 50,000,000 | 2018/05/21 | 2018/11/29 | 12.50 |
| 63344 | Credit Suisse AG – HKEX R Bull CBBC Dec 2018 E | 50,000,000 | 2018/05/21 | 2018/12/14 | 12.50 |
| 63345 | Credit Suisse AG – HKEX R Bear CBBC Nov 2018 B | 50,000,000 | 2018/05/21 | 2018/11/29 | 12.50 |
| 63457 | Credit Suisse AG – HSCEI R Bull CBBC Sep 2019 A | 100,000,000 | 2018/05/21 | 2019/09/27 | 25.00 |
| 63458 | Credit Suisse AG – HSCEI R Bear CBBC Sep 2018 A | 100,000,000 | 2018/05/21 | 2018/09/27 | 25.00 |
| 63467 | Credit Suisse AG – HSCEI R Bear CBBC Sep 2018 B | 100,000,000 | 2018/05/21 | 2018/09/27 | 25.00 |
| 63333 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 J | 200,000,000 | 2018/05/21 | 2019/09/27 | 50.00 |
| 63335 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 K | 200,000,000 | 2018/05/21 | 2019/09/27 | 50.00 |
| 63455 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 A | 200,000,000 | 2018/05/21 | 2019/11/28 | 50.00 |
| 63454 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/05/21 | 2019/11/28 | 50.00 |
| 63330 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63334 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63280 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 B | 200,000,000 | 2018/05/21 | 2018/08/30 | 50.00 |
| 63278 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/05/21 | 2018/08/30 | 50.00 |
| 63295 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63287 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 X | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63273 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63286 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63308 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 O | 200,000,000 | 2018/05/21 | 2018/10/30 | 50.00 |
| 63312 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/05/21 | 2018/10/30 | 50.00 |
| 63317 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/05/21 | 2018/10/30 | 50.00 |
| 63322 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 R | 200,000,000 | 2018/05/21 | 2018/10/30 | 50.00 |
| 63368 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 V | 80,000,000 | 2018/05/21 | 2018/12/13 | 20.00 |
| 63381 | Credit Suisse AG – Ping An R Bear CBBC Nov 2018 G | 50,000,000 | 2018/05/21 | 2018/11/30 | 12.50 |
| 63384 | Credit Suisse AG – Ping An R Bear CBBC Dec 2018 A | 50,000,000 | 2018/05/21 | 2018/12/07 | 12.50 |
| 63387 | Credit Suisse AG – Ping An R Bear CBBC Dec 2018 B | 50,000,000 | 2018/05/21 | 2018/12/14 | 12.50 |
| 63391 | Credit Suisse AG – Sunny Optical R Bull CBBC Jan 2019 A | 40,000,000 | 2018/05/21 | 2019/01/30 | 10.00 |
| 63354 | Credit Suisse AG – Tencent R Bull CBBC Nov 2018 C | 50,000,000 | 2018/05/21 | 2018/11/29 | 12.50 |
| 63365 | Credit Suisse AG – Tencent R Bear CBBC Nov 2018 H | 50,000,000 | 2018/05/21 | 2018/11/23 | 13.50 |
| 63752 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2018 W | 100,000,000 | 2018/05/21 | 2018/12/31 | 25.00 |
| 63737 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 A | 100,000,000 | 2018/05/21 | 2018/12/31 | 25.00 |
| 63745 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 B | 100,000,000 | 2018/05/21 | 2018/12/31 | 25.00 |
| 63747 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 R | 100,000,000 | 2018/05/21 | 2018/12/21 | 25.00 |
| 63179 | Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63165 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 H | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63167 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 I | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63158 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63160 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 N | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63129 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.20 |
| 63115 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63116 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.20 |
| 63131 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 Q | 200,000,000 | 2018/05/21 | 2018/11/29 | 50.00 |
| 63135 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 R | 200,000,000 | 2018/05/21 | 2018/11/29 | 50.20 |
| 63140 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 S | 200,000,000 | 2018/05/21 | 2018/11/29 | 50.20 |
| 63733 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 X | 100,000,000 | 2018/05/21 | 2018/12/31 | 25.00 |
| 63698 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 E | 100,000,000 | 2018/05/21 | 2018/11/29 | 25.00 |
| 63718 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 F | 100,000,000 | 2018/05/21 | 2018/11/29 | 25.00 |
| 63725 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 O | 80,000,000 | 2018/05/21 | 2018/12/31 | 20.00 |
| 63079 | HK Bank – AAC Tech R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/21 | 2018/11/30 | 10.00 |
| 63085 | HK Bank – AIA R Bull CBBC Nov 2018 B | 80,000,000 | 2018/05/21 | 2018/11/12 | 20.00 |
| 63084 | HK Bank – Geely Auto R Bull CBBC Oct 2018 F | 40,000,000 | 2018/05/21 | 2018/10/22 | 10.00 |
| 63096 | HK Bank – HKEX R Bull CBBC Nov 2018 L | 50,000,000 | 2018/05/21 | 2018/11/19 | 12.50 |
| 63074 | HK Bank – HSCEI R Bear CBBC Dec 2018 A | 50,000,000 | 2018/05/21 | 2018/12/28 | 12.50 |
| 63098 | HK Bank – HSI R Bull CBBC Apr 2019 A | 150,000,000 | 2018/05/21 | 2019/04/29 | 37.50 |
| 63104 | HK Bank – HSI R Bull CBBC Apr 2019 B | 150,000,000 | 2018/05/21 | 2019/04/29 | 37.50 |
| 63087 | HK Bank – Ping An R Bull CBBC Oct 2018 Z | 100,000,000 | 2018/05/21 | 2018/10/31 | 25.00 |
| 63082 | HK Bank – Sunny Optical R Bull CBBC Nov 2018 B | 40,000,000 | 2018/05/21 | 2018/11/12 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 63093 | HK Bank – Tencent R Bull CBBC Oct 2018 C | 60,000,000 | 2018/05/21 | 2018/10/22 | 15.00 |
| 63505 | J P Morgan SP BV – AIA R Bull CBBC Sep 2018 B | 100,000,000 | 2018/05/21 | 2018/09/21 | 25.00 |
| 63486 | J P Morgan SP BV – CC Bank R Bull CBBC Sep 2018 I | 100,000,000 | 2018/05/21 | 2018/09/21 | 25.00 |
| 63496 | J P Morgan SP BV – HSCEI R Bull CBBC Dec 2019 G | 100,000,000 | 2018/05/21 | 2019/12/30 | 25.00 |
| 63485 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 G | 200,000,000 | 2018/05/21 | 2019/10/30 | 50.00 |
| 63478 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 W | 200,000,000 | 2018/05/21 | 2019/10/30 | 50.00 |
| 63477 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/05/21 | 2019/11/28 | 50.00 |
| 63481 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/05/21 | 2019/11/28 | 50.00 |
| 63489 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63479 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 X | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63469 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/05/21 | 2018/08/30 | 50.00 |
| 63472 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 O | 200,000,000 | 2018/05/21 | 2018/08/30 | 50.00 |
| 63473 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/21 | 2018/08/30 | 50.00 |
| 63475 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63476 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 R | 200,000,000 | 2018/05/21 | 2018/09/27 | 50.00 |
| 63487 | J P Morgan SP BV – ICBC R Bull CBBC Sep 2018 F | 100,000,000 | 2018/05/21 | 2018/09/21 | 25.00 |
| 63506 | J P Morgan SP BV – Ping An R Bull CBBC Sep 2018 D | 100,000,000 | 2018/05/21 | 2018/09/21 | 25.00 |
| 63519 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 F | 50,000,000 | 2018/05/21 | 2018/09/14 | 12.50 |
| 63181 | Macquarie Bank Ltd. – CPIC R Bull CBBC Nov 2018 D | 11,000,000 | 2018/05/21 | 2018/11/29 | 11.08 |
| 63067 | Macquarie Bank Ltd. – Geely Auto R Bull CBBC Jan 2019 A | 13,500,000 | 2018/05/21 | 2019/01/30 | 10.19 |
| 63065 | Macquarie Bank Ltd. – Ping An R Bull CBBC Jan 2019 A | 39,000,000 | 2018/05/21 | 2019/01/30 | 10.06 |
| 63657 | SG Issuer – AAC Tech R Bear CBBC Dec 2018 A | 40,000,000 | 2018/05/21 | 2018/12/28 | 10.00 |
| 63565 | SG Issuer – AIA R Bull CBBC Oct 2018 D | 60,000,000 | 2018/05/21 | 2018/10/31 | 15.00 |
| 63658 | SG Issuer – AIA R Bear CBBC Sep 2018 B | 60,000,000 | 2018/05/21 | 2018/09/20 | 15.00 |
| 63667 | SG Issuer – Country Garden R Bull CBBC Oct 2018 D | 40,000,000 | 2018/05/21 | 2018/10/26 | 10.00 |
| 63665 | SG Issuer – CC Bank R Bull CBBC Nov 2018 F | 100,000,000 | 2018/05/21 | 2018/11/20 | 25.00 |
| 63678 | SG Issuer – Galaxy Ent R Bear CBBC Oct 2018 F | 80,000,000 | 2018/05/21 | 2018/10/31 | 20.00 |
| 63569 | SG Issuer – HKEX R Bull CBBC Oct 2018 E | 50,000,000 | 2018/05/21 | 2018/10/12 | 12.50 |
| 63590 | SG Issuer – HSCEI R Bull CBBC Nov 2018 X | 100,000,000 | 2018/05/21 | 2018/11/29 | 25.00 |
| 63611 | SG Issuer – HSCEI R Bull CBBC Dec 2018 U | 100,000,000 | 2018/05/21 | 2018/12/28 | 25.00 |
| 63612 | SG Issuer – HSCEI R Bull CBBC Dec 2018 V | 100,000,000 | 2018/05/21 | 2018/12/28 | 25.00 |
| 63610 | SG Issuer – HSCEI R Bear CBBC Nov 2018 D | 100,000,000 | 2018/05/21 | 2018/11/29 | 25.00 |
| 63649 | SG Issuer – HSI R Bull CBBC Sep 2019 O | 200,000,000 | 2018/05/21 | 2019/09/27 | 50.00 |
| 63639 | SG Issuer – HSI R Bull CBBC Sep 2019 V | 200,000,000 | 2018/05/21 | 2019/09/27 | 50.00 |
| 63646 | SG Issuer – HSI R Bull CBBC Sep 2019 W | 200,000,000 | 2018/05/21 | 2019/09/27 | 50.00 |
| 63551 | SG Issuer – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/05/21 | 2019/10/30 | 50.00 |
| 63652 | SG Issuer – HSI R Bull CBBC Oct 2019 R | 200,000,000 | 2018/05/21 | 2019/10/30 | 50.00 |
| 63564 | SG Issuer – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63558 | SG Issuer – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63540 | SG Issuer – HSI R Bear CBBC Oct 2018 I | 200,000,000 | 2018/05/21 | 2018/10/30 | 50.00 |
| 63533 | SG Issuer – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/05/21 | 2018/10/30 | 50.00 |
| 63546 | SG Issuer – HSI R Bear CBBC Nov 2018 B | 200,000,000 | 2018/05/21 | 2018/11/29 | 50.00 |
| 63541 | SG Issuer – HSI R Bear CBBC Nov 2018 Q | 200,000,000 | 2018/05/21 | 2018/11/29 | 50.00 |
| 63680 | SG Issuer – ICBC R Bull CBBC Nov 2018 D | 60,000,000 | 2018/05/21 | 2018/11/15 | 15.00 |
| 63686 | SG Issuer – NCI R Bull CBBC Feb 2019 A | 80,000,000 | 2018/05/21 | 2019/02/28 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63579 | SG Issuer – Ping An R Bull CBBC Nov 2018 T | 100,000,000 | 2018/05/21 | 2018/11/12 | 25.00 |
| 63582 | SG Issuer – Ping An R Bull CBBC Nov 2018 U | 100,000,000 | 2018/05/21 | 2018/11/30 | 25.00 |
| 63688 | SG Issuer – Sunny Optical R Bull CBBC Oct 2018 C | 40,000,000 | 2018/05/21 | 2018/10/29 | 10.00 |
| 63585 | SG Issuer – Tencent R Bull CBBC Aug 2018 J | 80,000,000 | 2018/05/21 | 2018/08/30 | 20.00 |
| 63587 | SG Issuer – Tencent R Bull CBBC Aug 2018 K | 80,000,000 | 2018/05/21 | 2018/08/31 | 20.00 |
| 63692 | SG Issuer – Tencent R Bull CBBC Sep 2018 K | 80,000,000 | 2018/05/21 | 2018/09/05 | 20.00 |
| 63695 | SG Issuer – Tencent R Bull CBBC Sep 2018 L | 80,000,000 | 2018/05/21 | 2018/09/12 | 20.00 |
| 63689 | SG Issuer – Tencent R Bear CBBC Sep 2018 Q | 80,000,000 | 2018/05/21 | 2018/09/03 | 20.00 |
| 63253 | UBS AG – AAC Tech R Bull CBBC Dec 2018 D | 40,000,000 | 2018/05/21 | 2018/12/03 | 10.00 |
| 63255 | UBS AG – AAC Tech R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/21 | 2018/11/05 | 10.00 |
| 63256 | UBS AG – AAC Tech R Bear CBBC Nov 2018 B | 40,000,000 | 2018/05/21 | 2018/11/26 | 10.00 |
| 63252 | UBS AG – AIA R Bull CBBC Oct 2018 B | 50,000,000 | 2018/05/21 | 2018/10/15 | 12.50 |
| 63262 | UBS AG – Bank of China R Bull CBBC Dec 2018 B | 60,000,000 | 2018/05/21 | 2018/12/10 | 15.00 |
| 63248 | UBS AG – CC Bank R Bull CBBC Oct 2018 N | 80,000,000 | 2018/05/21 | 2018/10/22 | 20.00 |
| 63237 | UBS AG – HSBC R Bull CBBC Dec 2018 A | 80,000,000 | 2018/05/21 | 2018/12/17 | 20.00 |
| 63200 | UBS AG – HSI R Bull CBBC Oct 2018 T | 200,000,000 | 2018/05/21 | 2018/10/30 | 50.00 |
| 63199 | UBS AG – HSI R Bull CBBC Oct 2019 B | 250,000,000 | 2018/05/21 | 2019/10/30 | 62.50 |
| 63193 | UBS AG – HSI R Bull CBBC Oct 2019 I | 250,000,000 | 2018/05/21 | 2019/10/30 | 62.50 |
| 63190 | UBS AG – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/05/21 | 2019/11/28 | 50.00 |
| 63468 | UBS AG – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63195 | UBS AG – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/05/21 | 2019/12/30 | 50.00 |
| 63213 | UBS AG – HSI R Bear CBBC Aug 2018 D | 200,000,000 | 2018/05/21 | 2018/08/30 | 50.00 |
| 63234 | UBS AG – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/05/21 | 2018/08/30 | 50.00 |
| 63182 | UBS AG – HSI R Bear CBBC Aug 2018 O | 250,000,000 | 2018/05/21 | 2018/08/30 | 62.50 |
| 63207 | UBS AG – HSI R Bear CBBC Aug 2018 Z | 250,000,000 | 2018/05/21 | 2018/08/30 | 62.50 |
| 63217 | UBS AG – HSI R Bear CBBC Sep 2018 Y | 250,000,000 | 2018/05/21 | 2018/09/27 | 62.50 |
| 63222 | UBS AG – HSI R Bear CBBC Sep 2018 Z | 250,000,000 | 2018/05/21 | 2018/09/27 | 62.50 |
| 63267 | UBS AG – Ping An R Bull CBBC Oct 2018 Y | 80,000,000 | 2018/05/21 | 2018/10/10 | 20.00 |
| 63259 | UBS AG – Sunny Optical R Bull CBBC Nov 2018 B | 40,000,000 | 2018/05/21 | 2018/11/12 | 10.00 |
| 63238 | UBS AG – Tencent R Bull CBBC Sep 2018 H | 30,000,000 | 2018/05/21 | 2018/09/17 | 10.20 |
| 63247 | UBS AG – Tencent R Bear CBBC Oct 2018 H | 30,000,000 | 2018/05/21 | 2018/10/22 | 10.35 |
| 63052 | Bank Vontobel – HSBC R Bull CBBC Oct 2018 A | 40,000,000 | 2018/05/21 | 2018/10/26 | 10.12 |
| 63064 | Bank Vontobel – HSBC R Bull CBBC Oct 2018 B | 40,000,000 | 2018/05/21 | 2018/10/26 | 10.16 |
| 63055 | Bank Vontobel – HSBC R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/21 | 2018/11/23 | 10.16 |
| 63058 | Bank Vontobel – HSBC R Bear CBBC Nov 2018 B | 40,000,000 | 2018/05/21 | 2018/11/23 | 10.16 |
| 63418 | Bank Vontobel – HSI R Bull CBBC Dec 2018 W | 100,000,000 | 2018/05/21 | 2018/12/28 | 25.20 |
| 63427 | Bank Vontobel – HSI R Bull CBBC Dec 2018 X | 100,000,000 | 2018/05/21 | 2018/12/28 | 25.40 |
| 63050 | Bank Vontobel – HSI R Bear CBBC Nov 2018 E | 100,000,000 | 2018/05/21 | 2018/11/29 | 25.50 |
| 63051 | Bank Vontobel – HSI R Bear CBBC Nov 2018 F | 100,000,000 | 2018/05/21 | 2018/11/29 | 25.30 |
| 63439 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 F | 40,000,000 | 2018/05/21 | 2018/12/07 | 10.16 |
| 64089 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 A | 200,000,000 | 2018/05/23 | 2019/10/30 | 50.00 |
| 64090 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 B | 200,000,000 | 2018/05/23 | 2019/10/30 | 50.00 |
| 64091 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/05/23 | 2019/10/30 | 50.00 |
| 64101 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 D | 200,000,000 | 2018/05/23 | 2019/10/30 | 50.00 |
| 64104 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/05/23 | 2019/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64123 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 O | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 64130 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/05/23 | 2018/09/27 | 50.00 |
| 64132 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/05/23 | 2018/09/27 | 50.00 |
| 64135 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/05/23 | 2018/10/30 | 50.00 |
| 64113 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 S | 50,000,000 | 2018/05/23 | 2018/12/07 | 12.50 |
| 64114 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 H | 50,000,000 | 2018/05/23 | 2018/12/14 | 12.50 |
| 64121 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 I | 50,000,000 | 2018/05/23 | 2018/12/07 | 12.50 |
| 64042 | Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/05/23 | 2019/11/28 | 50.00 |
| 63757 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 K | 200,000,000 | 2018/05/23 | 2019/11/28 | 50.00 |
| 63772 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/05/23 | 2019/11/28 | 50.00 |
| 63773 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/05/23 | 2019/11/28 | 50.00 |
| 63771 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 T | 200,000,000 | 2018/05/23 | 2019/11/28 | 50.00 |
| 64020 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 H | 200,000,000 | 2018/05/23 | 2018/09/27 | 50.20 |
| 64023 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/05/23 | 2018/09/27 | 50.20 |
| 64036 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/05/23 | 2018/09/27 | 50.00 |
| 64047 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 E | 100,000,000 | 2018/05/23 | 2018/12/31 | 25.00 |
| 63777 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 G | 100,000,000 | 2018/05/23 | 2018/11/30 | 25.00 |
| 64045 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Nov 2018 A | 80,000,000 | 2018/05/23 | 2018/11/30 | 20.00 |
| 63862 | HK Bank – CC Bank R Bull CBBC Oct 2018 D | 100,000,000 | 2018/05/23 | 2018/10/22 | 25.00 |
| 63847 | HK Bank – CSOP A50 ETF R Bull CBBC Jan 2019 B | 50,000,000 | 2018/05/23 | 2019/01/14 | 12.50 |
| 63848 | HK Bank – A50 China R Bull CBBC Jan 2019 C | 50,000,000 | 2018/05/23 | 2019/01/14 | 12.50 |
| 63851 | HK Bank – HKEX R Bull CBBC Dec 2018 B | 50,000,000 | 2018/05/23 | 2018/12/24 | 20.00 |
| 63836 | HK Bank – HSI R Bull CBBC Mar 2019 C | 150,000,000 | 2018/05/23 | 2019/03/28 | 37.50 |
| 63844 | HK Bank – HSI R Bear CBBC Sep 2018 N | 150,000,000 | 2018/05/23 | 2018/09/27 | 37.50 |
| 63837 | HK Bank – HSI R Bear CBBC Oct 2018 V | 100,000,000 | 2018/05/23 | 2018/10/30 | 25.00 |
| 63841 | HK Bank – HSI R Bear CBBC Oct 2018 W | 100,000,000 | 2018/05/23 | 2018/10/30 | 25.00 |
| 63853 | HK Bank – Sands China R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/23 | 2018/11/05 | 10.00 |
| 63796 | HK Bank – Tencent R Bear CBBC Oct 2018 S | 50,000,000 | 2018/05/23 | 2018/10/22 | 12.50 |
| 63980 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/05/23 | 2019/11/28 | 50.00 |
| 63998 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 X | 200,000,000 | 2018/05/23 | 2019/11/28 | 50.00 |
| 63979 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/05/23 | 2019/12/30 | 50.00 |
| 63994 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/05/23 | 2019/12/30 | 50.00 |
| 63999 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 64009 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 64011 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 W | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 63990 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 C | 50,000,000 | 2018/05/23 | 2018/09/14 | 12.50 |
| 63992 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 D | 50,000,000 | 2018/05/23 | 2018/09/21 | 12.50 |
| 63873 | Macquarie Bank Ltd. – CM Bank R Bull CBBC Mar 2019 A | 10,200,000 | 2018/05/23 | 2019/03/14 | 10.01 |
| 63876 | Macquarie Bank Ltd. – CM Bank R Bear CBBC Mar 2019 A | 7,300,000 | 2018/05/23 | 2019/03/14 | 10.00 |
| 63794 | Macquarie Bank Ltd. – Galaxy Ent R Bull CBBC Jan 2019 A | 34,500,000 | 2018/05/23 | 2019/01/30 | 10.01 |
| 63792 | Macquarie Bank Ltd. – Galaxy Ent R Bear CBBC Jan 2019 A | 34,500,000 | 2018/05/23 | 2019/01/30 | 10.01 |
| 63881 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 D | 3,200,000 | 2018/05/23 | 2018/12/14 | 10.24 |
| 64205 | SG Issuer – AAC Tech R Bull CBBC Dec 2018 D | 40,000,000 | 2018/05/23 | 2018/12/24 | 10.00 |
| 64206 | SG Issuer – HKEX R Bull CBBC Oct 2018 F | 50,000,000 | 2018/05/23 | 2018/10/29 | 12.50 |
| 64165 | SG Issuer – HSBC R Bull CBBC Nov 2018 X | 100,000,000 | 2018/05/23 | 2018/11/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64148 | SG Issuer – HSI R Bull CBBC Sep 2019 P | 200,000,000 | 2018/05/23 | 2019/09/27 | 50.00 |
| 64153 | SG Issuer – HSI R Bull CBBC Sep 2019 Q | 200,000,000 | 2018/05/23 | 2019/09/27 | 50.00 |
| 64160 | SG Issuer – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/05/23 | 2019/12/30 | 50.00 |
| 64162 | SG Issuer – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/05/23 | 2019/12/30 | 50.00 |
| 64195 | SG Issuer – HSI R Bear CBBC Aug 2018 E | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 64202 | SG Issuer – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/05/23 | 2018/09/27 | 50.00 |
| 64219 | SG Issuer – NCI R Bull CBBC Apr 2019 A | 80,000,000 | 2018/05/23 | 2019/04/16 | 20.00 |
| 64167 | SG Issuer – Ping An R Bear CBBC Sep 2018 F | 100,000,000 | 2018/05/23 | 2018/09/28 | 25.00 |
| 64174 | SG Issuer – Sunny Optical R Bear CBBC Sep 2018 A | 40,000,000 | 2018/05/23 | 2018/09/28 | 10.00 |
| 64178 | SG Issuer – Tencent R Bull CBBC Aug 2018 P | 80,000,000 | 2018/05/23 | 2018/08/30 | 20.00 |
| 64182 | SG Issuer – Tencent R Bull CBBC Aug 2018 U | 80,000,000 | 2018/05/23 | 2018/08/31 | 20.00 |
| 64221 | SG Issuer – Tencent R Bull CBBC Aug 2018 V | 80,000,000 | 2018/05/23 | 2018/08/31 | 20.00 |
| 64227 | SG Issuer – Tencent R Bull CBBC Sep 2018 B | 80,000,000 | 2018/05/23 | 2018/09/05 | 20.00 |
| 64190 | SG Issuer – Tencent R Bull CBBC Sep 2018 M | 80,000,000 | 2018/05/23 | 2018/09/06 | 20.00 |
| 64226 | SG Issuer – Tencent R Bull CBBC Sep 2018 N | 80,000,000 | 2018/05/23 | 2018/09/04 | 20.00 |
| 64225 | SG Issuer – Tencent R Bear CBBC Sep 2018 R | 80,000,000 | 2018/05/23 | 2018/09/03 | 20.00 |
| 63939 | UBS AG – A50 China R Bull CBBC Oct 2018 E | 50,000,000 | 2018/05/23 | 2018/10/22 | 12.50 |
| 63937 | UBS AG – HSCEI R Bull CBBC Oct 2019 F | 100,000,000 | 2018/05/23 | 2019/10/30 | 25.00 |
| 63897 | UBS AG – HSI R Bull CBBC Oct 2019 J | 250,000,000 | 2018/05/23 | 2019/10/30 | 62.50 |
| 63903 | UBS AG – HSI R Bull CBBC Nov 2019 F | 250,000,000 | 2018/05/23 | 2019/11/28 | 62.50 |
| 63884 | UBS AG – HSI R Bull CBBC Nov 2019 N | 250,000,000 | 2018/05/23 | 2019/11/28 | 62.50 |
| 63904 | UBS AG – HSI R Bull CBBC Dec 2019 H | 250,000,000 | 2018/05/23 | 2019/12/30 | 62.50 |
| 63915 | UBS AG – HSI R Bull CBBC Dec 2019 I | 200,000,000 | 2018/05/23 | 2019/12/30 | 50.00 |
| 63947 | UBS AG – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 63955 | UBS AG – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 63971 | UBS AG – HSI R Bear CBBC Aug 2018 Y | 200,000,000 | 2018/05/23 | 2018/08/30 | 50.00 |
| 63942 | UBS AG – HSI R Bear CBBC Sep 2018 A | 250,000,000 | 2018/05/23 | 2018/09/27 | 62.50 |
| 63959 | UBS AG – Tencent R Bear CBBC Aug 2018 I | 30,000,000 | 2018/05/23 | 2018/08/27 | 10.20 |
| 63865 | Bank Vontobel – HKEX R Bull CBBC Oct 2018 C | 40,000,000 | 2018/05/23 | 2018/10/12 | 10.20 |
| 63866 | Bank Vontobel – Ping An R Bull CBBC Oct 2018 C | 40,000,000 | 2018/05/23 | 2018/10/19 | 10.16 |
| 63863 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 G | 40,000,000 | 2018/05/23 | 2018/12/07 | 10.24 |
| 64017 | Bank Vontobel – Tencent R Bear CBBC Sep 2018 B | 40,000,000 | 2018/05/23 | 2018/09/14 | 10.08 |
| 64362 | Credit Suisse AG – CNOOC R Bull CBBC Dec 2018 D | 50,000,000 | 2018/05/24 | 2018/12/21 | 12.50 |
| 64359 | Credit Suisse AG – HKEX R Bear CBBC Dec 2018 C | 50,000,000 | 2018/05/24 | 2018/12/28 | 12.50 |
| 64353 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 L | 200,000,000 | 2018/05/24 | 2019/09/27 | 50.00 |
| 64352 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 M | 200,000,000 | 2018/05/24 | 2019/09/27 | 50.00 |
| 64356 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/05/24 | 2019/12/30 | 50.00 |
| 64368 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 V | 200,000,000 | 2018/05/24 | 2018/08/30 | 50.00 |
| 64370 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 W | 200,000,000 | 2018/05/24 | 2018/08/30 | 50.00 |
| 64357 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 T | 50,000,000 | 2018/05/24 | 2018/12/14 | 12.50 |
| 64400 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Feb 2019 A | 100,000,000 | 2018/05/24 | 2019/02/25 | 25.00 |
| 64229 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/05/24 | 2019/11/28 | 50.00 |
| 64241 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/05/24 | 2019/11/28 | 50.00 |
| 64350 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/05/24 | 2018/09/27 | 50.00 |
| 64324 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 H | 100,000,000 | 2018/05/24 | 2018/11/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64325 | HK Bank – HSI R Bull CBBC Mar 2019 F | 100,000,000 | 2018/05/24 | 2019/03/28 | 25.00 |
| 64245 | HK Bank – HSI R Bull CBBC Mar 2019 H | 100,000,000 | 2018/05/24 | 2019/03/28 | 25.00 |
| 64327 | HK Bank – HSI R Bear CBBC Sep 2018 Q | 150,000,000 | 2018/05/24 | 2018/09/27 | 37.50 |
| 64336 | HK Bank – Ping An R Bull CBBC Dec 2018 B | 100,000,000 | 2018/05/24 | 2018/12/24 | 25.00 |
| 64330 | HK Bank – Sunny Optical R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/24 | 2018/11/26 | 10.00 |
| 64246 | HK Bank – Tencent R Bull CBBC Nov 2018 L | 60,000,000 | 2018/05/24 | 2018/11/05 | 15.00 |
| 64392 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/05/24 | 2018/08/30 | 50.00 |
| 64391 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Z | 200,000,000 | 2018/05/24 | 2018/08/30 | 50.00 |
| 64390 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 E | 50,000,000 | 2018/05/24 | 2018/09/14 | 12.50 |
| 64340 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 E | 13,500,000 | 2018/05/24 | 2018/12/14 | 10.02 |
| 64347 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 F | 2,100,000 | 2018/05/24 | 2018/12/14 | 10.02 |
| 64341 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 E | 13,100,000 | 2018/05/24 | 2018/12/14 | 10.03 |
| 64490 | SG Issuer – Galaxy Ent R Bull CBBC Oct 2018 G | 80,000,000 | 2018/05/24 | 2018/10/31 | 20.00 |
| 64484 | SG Issuer – HKEX R Bear CBBC Sep 2018 J | 50,000,000 | 2018/05/24 | 2018/09/21 | 12.50 |
| 64474 | SG Issuer – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/05/24 | 2019/10/30 | 50.00 |
| 64489 | SG Issuer – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/24 | 2018/08/30 | 50.00 |
| 64476 | SG Issuer – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/05/24 | 2018/09/27 | 50.00 |
| 64480 | SG Issuer – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/05/24 | 2018/10/30 | 50.00 |
| 64481 | SG Issuer – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/05/24 | 2018/10/30 | 50.00 |
| 64487 | SG Issuer – Ping An R Bull CBBC Oct 2018 U | 100,000,000 | 2018/05/24 | 2018/10/24 | 25.00 |
| 64495 | SG Issuer – Sunny Optical R Bear CBBC Dec 2018 A | 40,000,000 | 2018/05/24 | 2018/12/28 | 10.00 |
| 64452 | SG Issuer – Tencent R Bull CBBC Aug 2018 F | 80,000,000 | 2018/05/24 | 2018/08/30 | 20.00 |
| 64455 | SG Issuer – Tencent R Bull CBBC Aug 2018 L | 80,000,000 | 2018/05/24 | 2018/08/31 | 20.00 |
| 64458 | SG Issuer – Tencent R Bull CBBC Sep 2018 F | 80,000,000 | 2018/05/24 | 2018/09/03 | 20.00 |
| 64470 | SG Issuer – Tencent R Bull CBBC Sep 2018 T | 80,000,000 | 2018/05/24 | 2018/09/04 | 20.00 |
| 64488 | SG Issuer – Tencent R Bear CBBC Aug 2018 Z | 80,000,000 | 2018/05/24 | 2018/08/31 | 20.00 |
| 64373 | UBS AG – HSI R Bull CBBC Oct 2019 W | 250,000,000 | 2018/05/24 | 2019/10/30 | 62.50 |
| 64380 | UBS AG – HSI R Bear CBBC Aug 2018 W | 200,000,000 | 2018/05/24 | 2018/08/30 | 50.00 |
| 64387 | UBS AG – HSI R Bear CBBC Aug 2018 X | 250,000,000 | 2018/05/24 | 2018/08/30 | 62.50 |
| 64377 | UBS AG – HSI R Bear CBBC Sep 2018 B | 250,000,000 | 2018/05/24 | 2018/09/27 | 62.50 |
| 64381 | UBS AG – HSI R Bear CBBC Sep 2018 C | 250,000,000 | 2018/05/24 | 2018/09/27 | 62.50 |
| 64386 | UBS AG – NCI R Bull CBBC Jan 2019 A | 50,000,000 | 2018/05/24 | 2019/01/28 | 12.50 |
| 64375 | UBS AG – Tencent R Bull CBBC Aug 2018 F | 30,000,000 | 2018/05/24 | 2018/08/27 | 10.20 |
| 64288 | Bank Vontobel – CC Bank R Bull CBBC Oct 2018 A | 40,000,000 | 2018/05/24 | 2018/10/19 | 10.12 |
| 64290 | Bank Vontobel – CC Bank R Bull CBBC Oct 2018 B | 40,000,000 | 2018/05/24 | 2018/10/19 | 10.16 |
| 64291 | Bank Vontobel – CC Bank R Bear CBBC Nov 2018 A | 40,000,000 | 2018/05/24 | 2018/11/16 | 10.08 |
| 64305 | Bank Vontobel – CC Bank R Bear CBBC Nov 2018 B | 40,000,000 | 2018/05/24 | 2018/11/16 | 10.20 |
| 64278 | Bank Vontobel – HSI R Bull CBBC Dec 2018 Y | 100,000,000 | 2018/05/24 | 2018/12/28 | 25.50 |
| 64283 | Bank Vontobel – HSI R Bull CBBC Jan 2019 A | 100,000,000 | 2018/05/24 | 2019/01/30 | 25.40 |
| 64284 | Bank Vontobel – HSI R Bear CBBC Nov 2018 G | 100,000,000 | 2018/05/24 | 2018/11/29 | 25.30 |
| 64272 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 H | 40,000,000 | 2018/05/24 | 2018/12/07 | 10.12 |
| 64499 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 V | 100,000,000 | 2018/05/25 | 2018/11/29 | 25.00 |
| 64497 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Nov 2018 G | 200,000,000 | 2018/05/25 | 2018/11/29 | 50.00 |
| 64496 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 J | 50,000,000 | 2018/05/25 | 2018/11/29 | 17.50 |
| 64632 | Credit Suisse AG – ABC R Bull CBBC Nov 2018 B | 70,000,000 | 2018/05/25 | 2018/11/29 | 17.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64628 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/05/25 | 2019/12/30 | 50.00 |
| 64629 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/05/25 | 2018/08/30 | 50.00 |
| 64635 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/05/25 | 2018/09/27 | 50.00 |
| 64640 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/05/25 | 2018/10/30 | 50.00 |
| 64630 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 U | 50,000,000 | 2018/05/25 | 2018/12/21 | 12.50 |
| 64694 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 C | 100,000,000 | 2018/05/25 | 2018/12/31 | 25.00 |
| 64699 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 S | 100,000,000 | 2018/05/25 | 2018/12/21 | 25.00 |
| 64664 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 F | 200,000,000 | 2018/05/25 | 2019/11/28 | 50.00 |
| 64655 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/05/25 | 2018/09/27 | 50.00 |
| 64697 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 I | 100,000,000 | 2018/05/25 | 2018/11/30 | 25.00 |
| 64690 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Nov 2018 B | 80,000,000 | 2018/05/25 | 2018/11/30 | 20.00 |
| 64546 | HK Bank – AAC Tech R Bull CBBC Nov 2018 D | 40,000,000 | 2018/05/25 | 2018/11/19 | 10.00 |
| 64545 | HK Bank – Galaxy Ent R Bull CBBC Nov 2018 C | 80,000,000 | 2018/05/25 | 2018/11/05 | 20.00 |
| 64538 | HK Bank – HSI R Bull CBBC Mar 2019 G | 100,000,000 | 2018/05/25 | 2019/03/28 | 25.00 |
| 64544 | HK Bank – HSI R Bear CBBC Sep 2018 O | 100,000,000 | 2018/05/25 | 2018/09/27 | 25.00 |
| 64535 | HK Bank – Tencent R Bull CBBC Nov 2018 M | 60,000,000 | 2018/05/25 | 2018/11/01 | 15.00 |
| 64547 | HK Bank – Tencent R Bull CBBC Nov 2018 N | 60,000,000 | 2018/05/25 | 2018/11/12 | 21.00 |
| 64537 | HK Bank – Tencent R Bear CBBC Oct 2018 T | 50,000,000 | 2018/05/25 | 2018/10/29 | 12.50 |
| 64523 | Haitong Int'l Sec – China Mobile R Bull CBBC Nov 2018 B | 110,000,000 | 2018/05/25 | 2018/11/12 | 27.50 |
| 64508 | Haitong Int'l Sec – COVS R Bull CBBC Nov 2018 A | 40,000,000 | 2018/05/25 | 2018/11/12 | 11.12 |
| 64521 | Haitong Int'l Sec – CC Bank R Bull CBBC Nov 2018 A | 100,000,000 | 2018/05/25 | 2018/11/12 | 25.00 |
| 64517 | Haitong Int'l Sec – CNOOC R Bull CBBC Nov 2018 C | 60,000,000 | 2018/05/25 | 2018/11/12 | 15.00 |
| 64504 | Haitong Int'l Sec – HKEX R Bull CBBC Nov 2018 B | 40,000,000 | 2018/05/25 | 2018/11/09 | 10.00 |
| 64507 | Haitong Int'l Sec – HKEX R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/25 | 2018/11/12 | 10.00 |
| 64524 | Haitong Int'l Sec – Ping An R Bull CBBC Nov 2018 C | 25,000,000 | 2018/05/25 | 2018/11/09 | 10.63 |
| 64527 | Haitong Int'l Sec – Sunny Optical R Bull CBBC Nov 2018 C | 60,000,000 | 2018/05/25 | 2018/11/13 | 15.00 |
| 64512 | Haitong Int'l Sec – Tencent R Bull CBBC Nov 2018 D | 40,000,000 | 2018/05/25 | 2018/11/12 | 10.00 |
| 64513 | Haitong Int'l Sec – Tencent R Bull CBBC Nov 2018 E | 40,000,000 | 2018/05/25 | 2018/11/13 | 10.00 |
| 64574 | J P Morgan SP BV – HKEX R Bear CBBC Sep 2018 A | 40,000,000 | 2018/05/25 | 2018/09/14 | 10.00 |
| 64585 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 L | 200,000,000 | 2018/05/25 | 2018/08/30 | 50.00 |
| 64571 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 G | 50,000,000 | 2018/05/25 | 2018/09/14 | 12.50 |
| 64549 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 G | 13,000,000 | 2018/05/25 | 2018/12/14 | 10.08 |
| 64550 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 F | 12,100,000 | 2018/05/25 | 2018/12/14 | 10.01 |
| 64591 | SG Issuer – CNOOC R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/25 | 2018/11/28 | 10.00 |
| 64595 | SG Issuer – CNOOC R Bear CBBC Dec 2018 C | 40,000,000 | 2018/05/25 | 2018/12/21 | 10.00 |
| 64588 | SG Issuer – HSI R Bull CBBC Aug 2019 T | 200,000,000 | 2018/05/25 | 2019/08/29 | 50.00 |
| 64613 | SG Issuer – HSI R Bull CBBC Sep 2019 H | 200,000,000 | 2018/05/25 | 2019/09/27 | 50.00 |
| 64627 | SG Issuer – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/05/25 | 2019/10/30 | 50.00 |
| 64625 | SG Issuer – HSI R Bear CBBC Nov 2018 F | 200,000,000 | 2018/05/25 | 2018/11/29 | 50.00 |
| 64626 | SG Issuer – HSI R Bear CBBC Nov 2018 G | 200,000,000 | 2018/05/25 | 2018/11/29 | 50.00 |
| 64602 | SG Issuer – Sands China R Bull CBBC Feb 2019 A | 60,000,000 | 2018/05/25 | 2019/02/11 | 15.00 |
| 64615 | SG Issuer – Tencent R Bull CBBC Sep 2018 Q | 80,000,000 | 2018/05/25 | 2018/09/04 | 20.00 |
| 64617 | SG Issuer – Tencent R Bull CBBC Sep 2018 S | 80,000,000 | 2018/05/25 | 2018/09/06 | 20.00 |
| 64598 | SG Issuer – Tencent R Bear CBBC Aug 2018 A | 80,000,000 | 2018/05/25 | 2018/08/31 | 20.40 |
| 64603 | SG Issuer – Tencent R Bear CBBC Aug 2018 B | 80,000,000 | 2018/05/25 | 2018/08/31 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64600 | SG Issuer – Tencent R Bear CBBC Sep 2018 S | 80,000,000 | 2018/05/25 | 2018/09/28 | 25.20 |
| 64557 | UBS AG – HSI R Bull CBBC Sep 2019 C | 250,000,000 | 2018/05/25 | 2019/09/27 | 62.50 |
| 64563 | UBS AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/25 | 2018/08/30 | 50.00 |
| 64553 | UBS AG – Tencent R Bull CBBC Sep 2018 Q | 30,000,000 | 2018/05/25 | 2018/09/21 | 10.20 |
| 64551 | UBS AG – Tencent R Bear CBBC Aug 2018 J | 30,000,000 | 2018/05/25 | 2018/08/29 | 10.20 |
| 64500 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 I | 40,000,000 | 2018/05/25 | 2018/12/07 | 10.20 |
| 64767 | BOCI Asia Ltd. – CC Bank R Bear CBBC Sep 2018 A | 70,000,000 | 2018/05/28 | 2018/09/28 | 17.50 |
| 64761 | BOCI Asia Ltd. – Tencent R Bear CBBC Sep 2018 A | 40,000,000 | 2018/05/28 | 2018/09/28 | 10.08 |
| 64828 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/05/28 | 2019/12/30 | 62.50 |
| 64702 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 U | 250,000,000 | 2018/05/28 | 2018/12/28 | 62.50 |
| 64824 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 V | 250,000,000 | 2018/05/28 | 2018/12/28 | 62.50 |
| 64806 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 B | 200,000,000 | 2018/05/28 | 2019/11/28 | 50.00 |
| 64797 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/05/28 | 2019/11/28 | 50.00 |
| 64801 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/05/28 | 2018/08/30 | 50.00 |
| 64798 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 Z | 200,000,000 | 2018/05/28 | 2018/08/30 | 50.00 |
| 64805 | Credit Suisse AG – PetroChina R Bull CBBC Dec 2018 D | 50,000,000 | 2018/05/28 | 2018/12/20 | 12.50 |
| 64800 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 W | 80,000,000 | 2018/05/28 | 2018/12/21 | 20.00 |
| 64803 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 V | 50,000,000 | 2018/05/28 | 2018/12/28 | 12.50 |
| 64869 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2018 E | 100,000,000 | 2018/05/28 | 2018/12/03 | 25.00 |
| 64870 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Nov 2018 U | 100,000,000 | 2018/05/28 | 2018/11/30 | 25.00 |
| 64859 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/05/28 | 2019/12/30 | 50.00 |
| 64703 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/05/28 | 2018/09/27 | 50.00 |
| 64760 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 J | 100,000,000 | 2018/05/28 | 2018/11/30 | 25.00 |
| 64860 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 A | 100,000,000 | 2018/05/28 | 2019/02/27 | 25.00 |
| 64746 | HK Bank – Country Garden R Bull CBBC Nov 2018 G | 40,000,000 | 2018/05/28 | 2018/11/19 | 10.00 |
| 64723 | HK Bank – CNOOC R Bull CBBC Oct 2018 O | 50,000,000 | 2018/05/28 | 2018/10/15 | 12.50 |
| 64745 | HK Bank – CNOOC R Bear CBBC Nov 2018 C | 40,000,000 | 2018/05/28 | 2018/11/26 | 10.00 |
| 64715 | HK Bank – Sinopec Corp R Bull CBBC Nov 2018 C | 80,000,000 | 2018/05/28 | 2018/11/19 | 20.00 |
| 64734 | HK Bank – HSI R Bull CBBC Mar 2019 I | 100,000,000 | 2018/05/28 | 2019/03/28 | 25.00 |
| 64735 | HK Bank – HSI R Bear CBBC Sep 2018 R | 100,000,000 | 2018/05/28 | 2018/09/27 | 25.00 |
| 64712 | HK Bank – PetroChina R Bull CBBC Oct 2018 O | 80,000,000 | 2018/05/28 | 2018/10/29 | 20.00 |
| 64710 | HK Bank – Tencent R Bull CBBC Oct 2018 H | 60,000,000 | 2018/05/28 | 2018/10/04 | 15.00 |
| 64855 | J P Morgan SP BV – HKEX R Bull CBBC Sep 2018 C | 40,000,000 | 2018/05/28 | 2018/09/14 | 10.00 |
| 64829 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2019 B | 100,000,000 | 2018/05/28 | 2019/04/29 | 25.00 |
| 64830 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2019 C | 100,000,000 | 2018/05/28 | 2019/04/29 | 25.00 |
| 64833 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2019 D | 100,000,000 | 2018/05/28 | 2019/04/29 | 25.00 |
| 64848 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/28 | 2018/08/30 | 50.00 |
| 64834 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/05/28 | 2018/08/30 | 50.00 |
| 64835 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 X | 200,000,000 | 2018/05/28 | 2018/08/30 | 50.00 |
| 64853 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 F | 50,000,000 | 2018/05/28 | 2018/09/14 | 12.50 |
| 64753 | Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2018 H | 20,900,000 | 2018/05/28 | 2018/09/14 | 10.03 |
| 64768 | Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2018 I | 22,100,000 | 2018/05/28 | 2018/09/20 | 10.03 |
| 64788 | SG Issuer – HKEX R Bull CBBC Sep 2018 S | 50,000,000 | 2018/05/28 | 2018/09/27 | 12.50 |
| 64796 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/05/28 | 2019/11/28 | 50.00 |
| 64769 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/05/28 | 2018/09/27 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64776 | SG Issuer – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/05/28 | 2018/09/27 | 50.00 |
| 64782 | SG Issuer – PetroChina R Bear CBBC Dec 2018 B | 40,000,000 | 2018/05/28 | 2018/12/28 | 10.00 |
| 64787 | SG Issuer – Tencent R Bull CBBC Aug 2018 N | 80,000,000 | 2018/05/28 | 2018/08/31 | 20.00 |
| 64791 | SG Issuer – Tencent R Bull CBBC Sep 2018 C | 80,000,000 | 2018/05/28 | 2018/09/14 | 20.00 |
| 64792 | SG Issuer – Tencent R Bear CBBC Sep 2018 T | 80,000,000 | 2018/05/28 | 2018/09/28 | 21.20 |
| 64815 | UBS AG – CNOOC R Bull CBBC Nov 2018 D | 40,000,000 | 2018/05/28 | 2018/11/19 | 10.00 |
| 64821 | UBS AG – HSI R Bull CBBC Oct 2018 L | 250,000,000 | 2018/05/28 | 2018/10/30 | 62.50 |
| 64807 | UBS AG – HSI R Bear CBBC Aug 2018 U | 250,000,000 | 2018/05/28 | 2018/08/30 | 62.50 |
| 64817 | UBS AG – Petrochina R Bull CBBC Nov 2018 F | 60,000,000 | 2018/05/28 | 2018/11/14 | 15.00 |
| 64819 | UBS AG – Petrochina R Bull CBBC Nov 2018 G | 60,000,000 | 2018/05/28 | 2018/11/21 | 15.00 |
| 64820 | UBS AG – Petrochina R Bear CBBC Oct 2018 C | 40,000,000 | 2018/05/28 | 2018/10/22 | 10.00 |
| 64809 | UBS AG – Tencent R Bull CBBC Sep 2018 R | 30,000,000 | 2018/05/28 | 2018/09/04 | 10.20 |
| 64812 | UBS AG – Tencent R Bull CBBC Sep 2018 S | 30,000,000 | 2018/05/28 | 2018/09/18 | 10.20 |
| 64913 | BOCI Asia Ltd. – HKEX R Bull CBBC Sep 2018 C | 40,000,000 | 2018/05/29 | 2018/09/21 | 10.00 |
| 64906 | BOCI Asia Ltd. – HSBC R Bull CBBC Sep 2018 A | 70,000,000 | 2018/05/29 | 2018/09/28 | 17.50 |
| 64909 | BOCI Asia Ltd. – HSBC R Bear CBBC Sep 2018 A | 70,000,000 | 2018/05/29 | 2018/09/27 | 17.64 |
| 64915 | BOCI Asia Ltd. – Ping An R Bull CBBC Sep 2018 B | 70,000,000 | 2018/05/29 | 2018/09/26 | 17.57 |
| 64910 | BOCI Asia Ltd. – Tencent R Bull CBBC Sep 2018 A | 40,000,000 | 2018/05/29 | 2018/09/28 | 16.92 |
| 64916 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 A | 250,000,000 | 2018/05/29 | 2019/12/30 | 62.50 |
| 64921 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 B | 250,000,000 | 2018/05/29 | 2019/12/30 | 62.50 |
| 64923 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 C | 250,000,000 | 2018/05/29 | 2019/12/30 | 62.50 |
| 64925 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 T | 250,000,000 | 2018/05/29 | 2019/12/30 | 62.50 |
| 64929 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 E | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64934 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 F | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64935 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 G | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64943 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 H | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64945 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 I | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64946 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 J | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64947 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 K | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64948 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 L | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64951 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 M | 250,000,000 | 2018/05/29 | 2018/12/28 | 67.50 |
| 64953 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 N | 250,000,000 | 2018/05/29 | 2018/12/28 | 80.00 |
| 64872 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 W | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64873 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 X | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64880 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 Y | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 64928 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 Z | 250,000,000 | 2018/05/29 | 2018/12/28 | 62.50 |
| 65028 | Credit Suisse AG – AIA R Bull CBBC Jan 2019 D | 70,000,000 | 2018/05/29 | 2019/01/30 | 17.50 |
| 65022 | Credit Suisse AG – Sinopec Corp R Bull CBBC Jan 2019 B | 60,000,000 | 2018/05/29 | 2019/01/30 | 15.00 |
| 65024 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 I | 80,000,000 | 2018/05/29 | 2018/12/14 | 20.00 |
| 65014 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/05/29 | 2019/11/28 | 50.00 |
| 65015 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 D | 200,000,000 | 2018/05/29 | 2019/11/28 | 50.00 |
| 65016 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/05/29 | 2019/11/28 | 50.00 |
| 65000 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 J | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 65006 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 K | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 64998 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/05/29 | 2018/09/27 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65007 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 65021 | Credit Suisse AG – PetroChina R Bull CBBC Nov 2018 E | 50,000,000 | 2018/05/29 | 2018/11/29 | 12.50 |
| 65032 | Credit Suisse AG – Sands China R Bull CBBC Jan 2019 B | 50,000,000 | 2018/05/29 | 2019/01/18 | 12.50 |
| 65074 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 W | 50,000,000 | 2018/05/29 | 2018/12/13 | 12.50 |
| 64995 | Bank of East Asia – AAC Tech R Bull CBBC Feb 2019 A | 40,000,000 | 2018/05/29 | 2019/02/19 | 10.00 |
| 64985 | Bank of East Asia – AIA R Bull CBBC Feb 2019 A | 40,000,000 | 2018/05/29 | 2019/02/19 | 10.00 |
| 64994 | Bank of East Asia – HKEX R Bull CBBC Feb 2019 B | 40,000,000 | 2018/05/29 | 2019/02/19 | 10.00 |
| 64977 | Bank of East Asia – Ping An R Bull CBBC Feb 2019 A | 120,000,000 | 2018/05/29 | 2019/02/19 | 30.00 |
| 64989 | Bank of East Asia – Tencent R Bull CBBC Feb 2019 C | 30,000,000 | 2018/05/29 | 2019/02/19 | 10.50 |
| 64991 | Bank of East Asia – Tencent R Bull CBBC Feb 2019 D | 30,000,000 | 2018/05/29 | 2019/02/19 | 10.50 |
| 65056 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Feb 2019 A | 100,000,000 | 2018/05/29 | 2019/02/27 | 25.00 |
| 65055 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 D | 100,000,000 | 2018/05/29 | 2018/12/31 | 25.00 |
| 65034 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 S | 200,000,000 | 2018/05/29 | 2020/01/30 | 50.00 |
| 65040 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 T | 200,000,000 | 2018/05/29 | 2020/01/30 | 50.00 |
| 65043 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 U | 200,000,000 | 2018/05/29 | 2020/01/30 | 50.00 |
| 64894 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 64895 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 I | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 64898 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 64902 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 65048 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jan 2019 A | 100,000,000 | 2018/05/29 | 2019/01/31 | 25.00 |
| 65046 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 K | 100,000,000 | 2018/05/29 | 2018/11/30 | 25.00 |
| 65057 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 L | 100,000,000 | 2018/05/29 | 2018/11/30 | 25.00 |
| 65128 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 P | 80,000,000 | 2018/05/29 | 2018/12/31 | 20.00 |
| 64961 | HK Bank – AAC Tech R Bull CBBC Nov 2018 E | 40,000,000 | 2018/05/29 | 2018/11/05 | 10.00 |
| 64954 | HK Bank – Sinopec Corp R Bear CBBC Oct 2018 C | 40,000,000 | 2018/05/29 | 2018/10/29 | 10.00 |
| 64966 | HK Bank – Galaxy Ent R Bull CBBC Nov 2018 D | 80,000,000 | 2018/05/29 | 2018/11/12 | 20.00 |
| 64967 | HK Bank – HKEX R Bull CBBC Oct 2018 K | 50,000,000 | 2018/05/29 | 2018/10/04 | 12.50 |
| 64963 | HK Bank – HSI R Bull CBBC Mar 2019 J | 150,000,000 | 2018/05/29 | 2019/03/28 | 37.50 |
| 64964 | HK Bank – HSI R Bear CBBC Sep 2018 Z | 100,000,000 | 2018/05/29 | 2018/09/27 | 25.00 |
| 64968 | HK Bank – Tencent R Bull CBBC Nov 2018 O | 60,000,000 | 2018/05/29 | 2018/11/08 | 15.00 |
| 65112 | J P Morgan SP BV – AIA R Bull CBBC Sep 2018 C | 100,000,000 | 2018/05/29 | 2018/09/14 | 25.00 |
| 65111 | J P Morgan SP BV – HKEX R Bull CBBC Sep 2018 D | 40,000,000 | 2018/05/29 | 2018/09/14 | 10.00 |
| 65108 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 Q | 200,000,000 | 2018/05/29 | 2019/10/30 | 50.00 |
| 65110 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/05/29 | 2019/11/28 | 50.00 |
| 65104 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Y | 200,000,000 | 2018/05/29 | 2019/11/28 | 50.00 |
| 65109 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/29 | 2019/12/30 | 50.00 |
| 65103 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/05/29 | 2019/12/30 | 50.00 |
| 65078 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 D | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 65091 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 K | 200,000,000 | 2018/05/29 | 2018/08/30 | 60.00 |
| 65075 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 65077 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 R | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 65080 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 65084 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 U | 200,000,000 | 2018/05/29 | 2018/08/30 | 60.00 |
| 65081 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/05/29 | 2018/09/27 | 60.00 |
| 64971 | Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2018 J | 23,700,000 | 2018/05/29 | 2018/09/14 | 10.03 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 65064 | SG Issuer – AAC Tech R Bull CBBC Dec 2018 E | 40,000,000 | 2018/05/29 | 2018/12/06 | 10.00 |
| 65060 | SG Issuer – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/05/29 | 2019/10/30 | 50.00 |
| 65061 | SG Issuer – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/05/29 | 2019/12/30 | 50.00 |
| 64882 | SG Issuer – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 64885 | SG Issuer – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 64891 | SG Issuer – HSI R Bear CBBC Oct 2018 A | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 64892 | SG Issuer – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 64886 | SG Issuer – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 64887 | SG Issuer – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 64889 | SG Issuer – HSI R Bear CBBC Oct 2018 R | 200,000,000 | 2018/05/29 | 2018/10/30 | 50.00 |
| 65072 | SG Issuer – PetroChina R Bull CBBC Nov 2018 H | 40,000,000 | 2018/05/29 | 2018/11/08 | 10.00 |
| 65067 | SG Issuer – Tencent R Bull CBBC Aug 2018 W | 80,000,000 | 2018/05/29 | 2018/08/31 | 20.00 |
| 65066 | SG Issuer – Tencent R Bull CBBC Sep 2018 O | 80,000,000 | 2018/05/29 | 2018/09/10 | 20.00 |
| 65070 | SG Issuer – Tencent R Bear CBBC Sep 2018 U | 80,000,000 | 2018/05/29 | 2018/09/06 | 20.00 |
| 65125 | UBS AG – CSOP A50 ETF R Bull CBBC Oct 2018 J | 50,000,000 | 2018/05/29 | 2018/10/25 | 12.50 |
| 65121 | UBS AG – HSI R Bull CBBC Oct 2019 X | 250,000,000 | 2018/05/29 | 2019/10/30 | 62.50 |
| 65122 | UBS AG – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/05/29 | 2019/11/28 | 50.00 |
| 65124 | UBS AG – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/05/29 | 2019/12/30 | 50.00 |
| 65118 | UBS AG – HSI R Bear CBBC Aug 2018 D | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 65115 | UBS AG – HSI R Bear CBBC Aug 2018 F | 200,000,000 | 2018/05/29 | 2018/08/30 | 50.00 |
| 65117 | UBS AG – HSI R Bear CBBC Aug 2018 G | 250,000,000 | 2018/05/29 | 2018/08/30 | 62.50 |
| 65177 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 M | 250,000,000 | 2018/05/30 | 2019/12/30 | 62.50 |
| 65155 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Y | 250,000,000 | 2018/05/30 | 2019/12/30 | 62.50 |
| 65178 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 O | 250,000,000 | 2018/05/30 | 2018/12/28 | 62.50 |
| 65180 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 P | 250,000,000 | 2018/05/30 | 2018/12/28 | 62.50 |
| 65181 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 Q | 250,000,000 | 2018/05/30 | 2018/12/28 | 62.50 |
| 65286 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 N | 200,000,000 | 2018/05/30 | 2019/09/27 | 50.00 |
| 65266 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/05/30 | 2019/10/30 | 50.00 |
| 65267 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 G | 200,000,000 | 2018/05/30 | 2019/10/30 | 50.00 |
| 65291 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 F | 200,000,000 | 2018/05/30 | 2019/11/28 | 50.00 |
| 65272 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/05/30 | 2019/12/30 | 50.00 |
| 65295 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 G | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65298 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65303 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 N | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65301 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 S | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65294 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 T | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65278 | Credit Suisse AG – Ping An R Bear CBBC Dec 2018 C | 50,000,000 | 2018/05/30 | 2018/12/28 | 12.50 |
| 65356 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Mar 2019 A | 100,000,000 | 2018/05/30 | 2019/03/28 | 25.00 |
| 65208 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 E | 100,000,000 | 2018/05/30 | 2018/12/31 | 25.00 |
| 65211 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 T | 100,000,000 | 2018/05/30 | 2018/12/31 | 25.00 |
| 65190 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 V | 200,000,000 | 2018/05/30 | 2020/01/30 | 50.00 |
| 65191 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 W | 200,000,000 | 2018/05/30 | 2020/01/30 | 50.00 |
| 65231 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 X | 200,000,000 | 2018/05/30 | 2020/01/30 | 50.00 |
| 65369 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 R | 200,000,000 | 2018/05/30 | 2018/09/27 | 50.00 |
| 65380 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/05/30 | 2018/09/27 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65381 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/05/30 | 2018/09/27 | 50.00 |
| 65205 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 F | 100,000,000 | 2018/05/30 | 2018/12/31 | 25.00 |
| 65209 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 M | 100,000,000 | 2018/05/30 | 2018/11/30 | 25.00 |
| 65161 | HK Bank – ABC R Bull CBBC Dec 2018 A | 100,000,000 | 2018/05/30 | 2018/12/17 | 25.00 |
| 65165 | HK Bank – AIA R Bear CBBC Oct 2018 E | 40,000,000 | 2018/05/30 | 2018/10/22 | 10.00 |
| 65167 | HK Bank – CSOP A50 ETF R Bear CBBC Oct 2018 A | 40,000,000 | 2018/05/30 | 2018/10/02 | 10.00 |
| 65172 | HK Bank – A50 China R Bear CBBC Oct 2018 A | 40,000,000 | 2018/05/30 | 2018/10/02 | 10.00 |
| 65170 | HK Bank – HSCEI R Bear CBBC Sep 2018 F | 50,000,000 | 2018/05/30 | 2018/09/27 | 12.50 |
| 65168 | HK Bank – HSI R Bull CBBC Mar 2019 D | 100,000,000 | 2018/05/30 | 2019/03/28 | 25.00 |
| 65131 | HK Bank – HSI R Bull CBBC Mar 2019 K | 150,000,000 | 2018/05/30 | 2019/03/28 | 37.50 |
| 65173 | HK Bank – HSI R Bear CBBC Sep 2018 G | 150,000,000 | 2018/05/30 | 2018/09/27 | 37.50 |
| 65162 | HK Bank – Tencent R Bull CBBC Nov 2018 P | 60,000,000 | 2018/05/30 | 2018/11/15 | 15.00 |
| 65250 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 V | 200,000,000 | 2018/05/30 | 2019/10/30 | 50.00 |
| 65247 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/05/30 | 2019/11/28 | 50.00 |
| 65238 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/05/30 | 2019/12/30 | 50.00 |
| 65263 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 B | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65254 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Y | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65259 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/05/30 | 2018/09/27 | 50.00 |
| 65264 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/05/30 | 2018/09/27 | 50.00 |
| 65153 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 H | 18,800,000 | 2018/05/30 | 2018/10/16 | 10.04 |
| 65328 | SG Issuer – ABC R Bull CBBC Feb 2019 A | 60,000,000 | 2018/05/30 | 2019/02/28 | 15.00 |
| 65332 | SG Issuer – CC Bank R Bull CBBC Oct 2018 L | 100,000,000 | 2018/05/30 | 2018/10/26 | 25.00 |
| 65329 | SG Issuer – CC Bank R Bear CBBC Sep 2018 G | 80,000,000 | 2018/05/30 | 2018/09/27 | 20.00 |
| 65312 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 O | 40,000,000 | 2018/05/30 | 2018/11/09 | 10.00 |
| 65313 | SG Issuer – A50 China R Bull CBBC Nov 2018 I | 50,000,000 | 2018/05/30 | 2018/11/23 | 12.50 |
| 65311 | SG Issuer – HSI R Bull CBBC Sep 2019 I | 200,000,000 | 2018/05/30 | 2019/09/27 | 50.00 |
| 65310 | SG Issuer – HSI R Bull CBBC Oct 2019 D | 200,000,000 | 2018/05/30 | 2019/10/30 | 50.00 |
| 65306 | SG Issuer – HSI R Bull CBBC Oct 2019 L | 200,000,000 | 2018/05/30 | 2019/10/30 | 50.00 |
| 65327 | SG Issuer – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/05/30 | 2019/11/28 | 50.00 |
| 65308 | SG Issuer – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/05/30 | 2019/12/30 | 50.00 |
| 65309 | SG Issuer – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/05/30 | 2019/12/30 | 50.00 |
| 65320 | SG Issuer – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/05/30 | 2018/10/30 | 50.00 |
| 65323 | SG Issuer – HSI R Bear CBBC Dec 2018 F | 200,000,000 | 2018/05/30 | 2018/12/28 | 50.00 |
| 65337 | SG Issuer – ICBC R Bull CBBC Nov 2018 E | 60,000,000 | 2018/05/30 | 2018/11/23 | 15.00 |
| 65335 | SG Issuer – ICBC R Bear CBBC Oct 2018 D | 60,000,000 | 2018/05/30 | 2018/10/22 | 15.00 |
| 65314 | SG Issuer – Ping An R Bull CBBC Oct 2018 V | 100,000,000 | 2018/05/30 | 2018/10/26 | 25.00 |
| 65338 | SG Issuer – Tencent R Bull CBBC Aug 2018 O | 80,000,000 | 2018/05/30 | 2018/08/31 | 20.00 |
| 65316 | SG Issuer – Tencent R Bull CBBC Sep 2018 Y | 80,000,000 | 2018/05/30 | 2018/09/12 | 20.00 |
| 65355 | UBS AG – ABC R Bull CBBC Dec 2018 A | 40,000,000 | 2018/05/30 | 2018/12/19 | 10.00 |
| 65343 | UBS AG – HSI R Bull CBBC Oct 2019 N | 250,000,000 | 2018/05/30 | 2019/10/30 | 62.50 |
| 65345 | UBS AG – HSI R Bull CBBC Oct 2019 U | 250,000,000 | 2018/05/30 | 2019/10/30 | 62.50 |
| 65344 | UBS AG – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/05/30 | 2019/12/30 | 50.00 |
| 65340 | UBS AG – HSI R Bull CBBC Dec 2019 M | 250,000,000 | 2018/05/30 | 2019/12/30 | 62.50 |
| 65348 | UBS AG – HSI R Bear CBBC Aug 2018 H | 200,000,000 | 2018/05/30 | 2018/08/30 | 50.00 |
| 65351 | UBS AG – HSI R Bear CBBC Aug 2018 Z | 250,000,000 | 2018/05/30 | 2018/08/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65350 | UBS AG – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/05/30 | 2018/09/27 | 50.00 |
| 65347 | UBS AG – Tencent R Bull CBBC Sep 2018 U | 30,000,000 | 2018/05/30 | 2018/09/26 | 10.20 |
| 65150 | Bank Vontobel – HKEX R Bull CBBC Oct 2018 D | 40,000,000 | 2018/05/30 | 2018/10/19 | 10.12 |
| 65132 | Bank Vontobel – HSI R Bull CBBC Jan 2019 B | 100,000,000 | 2018/05/30 | 2019/01/30 | 25.40 |
| 65138 | Bank Vontobel – HSI R Bull CBBC Jan 2019 C | 100,000,000 | 2018/05/30 | 2019/01/30 | 25.00 |
| 65139 | Bank Vontobel – HSI R Bear CBBC Nov 2018 H | 100,000,000 | 2018/05/30 | 2018/11/29 | 25.10 |
| 65141 | Bank Vontobel – HSI R Bear CBBC Nov 2018 I | 100,000,000 | 2018/05/30 | 2018/11/29 | 25.10 |
| 65187 | Bank Vontobel – HSI R Bear CBBC Nov 2018 J | 100,000,000 | 2018/05/30 | 2018/11/29 | 25.50 |
| 65144 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 J | 40,000,000 | 2018/05/30 | 2018/12/07 | 10.08 |
| 65142 | Bank Vontobel – Tencent R Bear CBBC Sep 2018 C | 40,000,000 | 2018/05/30 | 2018/09/21 | 10.04 |
| 65430 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 D | 50,000,000 | 2018/05/31 | 2019/03/28 | 12.50 |
| 65383 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/05/31 | 2019/12/30 | 62.50 |
| 65495 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Q | 250,000,000 | 2018/05/31 | 2019/12/30 | 62.50 |
| 65419 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 I | 50,000,000 | 2018/05/31 | 2018/09/27 | 12.50 |
| 65423 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 C | 50,000,000 | 2018/05/31 | 2018/11/29 | 12.50 |
| 65461 | Credit Suisse AG – AIA R Bear CBBC Jan 2019 D | 50,000,000 | 2018/05/31 | 2019/01/24 | 12.50 |
| 65464 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/05/31 | 2019/11/28 | 50.00 |
| 65466 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 H | 200,000,000 | 2018/05/31 | 2019/12/30 | 50.00 |
| 65443 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 C | 200,000,000 | 2018/05/31 | 2018/08/30 | 50.00 |
| 65448 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 M | 200,000,000 | 2018/05/31 | 2018/08/30 | 50.00 |
| 65450 | Credit Suisse AG – HSI R Bear CBBC Aug 2018 P | 200,000,000 | 2018/05/31 | 2018/08/30 | 50.00 |
| 65457 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 X | 50,000,000 | 2018/05/31 | 2018/12/28 | 12.50 |
| 65418 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Feb 2019 B | 100,000,000 | 2018/05/31 | 2019/02/25 | 25.00 |
| 65520 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/05/31 | 2019/11/28 | 50.00 |
| 65522 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/05/31 | 2019/11/28 | 50.00 |
| 65405 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 M | 200,000,000 | 2018/05/31 | 2018/10/30 | 50.00 |
| 65408 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/05/31 | 2018/10/30 | 50.00 |
| 65416 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Nov 2018 N | 100,000,000 | 2018/05/31 | 2018/11/30 | 25.00 |
| 65386 | HK Bank – China Overseas R Bull CBBC Nov 2018 C | 40,000,000 | 2018/05/31 | 2018/11/12 | 10.00 |
| 65391 | HK Bank – CC Bank R Bear CBBC Oct 2018 D | 50,000,000 | 2018/05/31 | 2018/10/29 | 12.50 |
| 65399 | HK Bank – CM Bank R Bull CBBC Mar 2019 A | 100,000,000 | 2018/05/31 | 2019/03/11 | 25.00 |
| 65388 | HK Bank – Geely Auto R Bear CBBC Oct 2018 H | 40,000,000 | 2018/05/31 | 2018/10/15 | 12.00 |
| 65398 | HK Bank – HSI R Bear CBBC Sep 2018 H | 150,000,000 | 2018/05/31 | 2018/09/27 | 37.50 |
| 65385 | HK Bank – Tencent R Bull CBBC Nov 2018 Q | 60,000,000 | 2018/05/31 | 2018/11/01 | 15.00 |
| 65488 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 D | 200,000,000 | 2018/05/31 | 2019/11/28 | 50.00 |
| 65489 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 H | 200,000,000 | 2018/05/31 | 2019/12/30 | 50.00 |
| 65467 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 I | 200,000,000 | 2018/05/31 | 2018/08/30 | 50.00 |
| 65492 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 O | 200,000,000 | 2018/05/31 | 2018/08/30 | 50.00 |
| 65493 | J P Morgan SP BV – HSI R Bear CBBC Aug 2018 Q | 200,000,000 | 2018/05/31 | 2018/08/30 | 50.00 |
| 65484 | J P Morgan SP BV – PetroChina R Bull CBBC Oct 2018 C | 100,000,000 | 2018/05/31 | 2018/10/19 | 25.00 |
| 65476 | J P Morgan SP BV – Ping An R Bull CBBC Sep 2018 E | 100,000,000 | 2018/05/31 | 2018/09/14 | 25.00 |
| 65478 | J P Morgan SP BV – Ping An R Bull CBBC Oct 2018 A | 100,000,000 | 2018/05/31 | 2018/10/12 | 25.00 |
| 65468 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 H | 50,000,000 | 2018/05/31 | 2018/09/14 | 12.50 |
| 65475 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 G | 50,000,000 | 2018/05/31 | 2018/09/14 | 12.50 |
| 65510 | SG Issuer – HSBC R Bull CBBC Nov 2018 Y | 100,000,000 | 2018/05/31 | 2018/11/28 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65516 | SG Issuer – HSCEI R Bull CBBC Nov 2018 Y | 100,000,000 | 2018/05/31 | 2018/11/29 | 25.00 |
| 65500 | SG Issuer – HSI R Bull CBBC Sep 2019 J | 200,000,000 | 2018/05/31 | 2019/09/27 | 50.00 |
| 65506 | SG Issuer – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/05/31 | 2019/12/30 | 50.00 |
| 65499 | SG Issuer – HSI R Bear CBBC Nov 2018 X | 200,000,000 | 2018/05/31 | 2018/11/29 | 50.00 |
| 65505 | SG Issuer – HSI R Bear CBBC Nov 2018 Y | 200,000,000 | 2018/05/31 | 2018/11/29 | 50.00 |
| 65511 | SG Issuer – Ping An R Bull CBBC Nov 2018 V | 100,000,000 | 2018/05/31 | 2018/11/23 | 25.00 |
| 65513 | SG Issuer – Sands R Bear CBBC Nov 2018 A | 60,000,000 | 2018/05/31 | 2018/11/28 | 15.00 |
| 65501 | SG Issuer – Tencent R Bull CBBC Aug 2018 B | 80,000,000 | 2018/05/31 | 2018/08/31 | 20.00 |
| 65441 | UBS AG – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/05/31 | 2019/11/28 | 50.00 |
| 65432 | UBS AG – HSI R Bear CBBC Sep 2018 E | 250,000,000 | 2018/05/31 | 2018/09/27 | 62.50 |
| 65433 | UBS AG – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/05/31 | 2018/09/27 | 50.00 |
| 65439 | UBS AG – Tencent R Bull CBBC Sep 2018 V | 30,000,000 | 2018/05/31 | 2018/09/12 | 10.20 |
| 65579 | BOCI Asia Ltd. – CC Bank R Bull CBBC Oct 2018 A | 80,000,000 | 2018/06/01 | 2018/10/09 | 20.08 |
| 65575 | BOCI Asia Ltd. – HKEX R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/01 | 2018/10/10 | 10.04 |
| 65578 | BOCI Asia Ltd. – HSBC R Bull CBBC Oct 2018 A | 70,000,000 | 2018/06/01 | 2018/10/26 | 17.71 |
| 65559 | BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/01 | 2018/10/11 | 10.40 |
| 65562 | BOCI Asia Ltd. – Tencent R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/01 | 2018/10/12 | 10.20 |
| 65582 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 R | 250,000,000 | 2018/06/01 | 2018/12/28 | 62.50 |
| 65647 | Credit Suisse AG – HSCEI R Bear CBBC Sep 2018 C | 100,000,000 | 2018/06/01 | 2018/09/27 | 25.00 |
| 65629 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/06/01 | 2019/10/30 | 50.00 |
| 65627 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/06/01 | 2019/11/28 | 50.00 |
| 65637 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/06/01 | 2018/09/27 | 50.00 |
| 65639 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 K | 200,000,000 | 2018/06/01 | 2018/09/27 | 50.00 |
| 65641 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 R | 200,000,000 | 2018/06/01 | 2018/09/27 | 50.00 |
| 65645 | Credit Suisse AG – HSI R Bear CBBC Oct 2019 A | 200,000,000 | 2018/06/01 | 2019/10/30 | 50.00 |
| 65631 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 Y | 50,000,000 | 2018/06/01 | 2018/12/21 | 12.50 |
| 65636 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 J | 50,000,000 | 2018/06/01 | 2018/12/28 | 12.50 |
| 65657 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 U | 100,000,000 | 2018/06/01 | 2018/12/31 | 25.00 |
| 65532 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/06/01 | 2019/11/28 | 50.00 |
| 65533 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/06/01 | 2019/11/28 | 50.00 |
| 65650 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 O | 200,000,000 | 2018/06/01 | 2018/10/30 | 50.00 |
| 65655 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/06/01 | 2018/10/30 | 50.00 |
| 65535 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 B | 100,000,000 | 2018/06/01 | 2019/02/27 | 25.00 |
| 65549 | HK Bank – China Mobile R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/01 | 2018/11/05 | 10.00 |
| 65546 | HK Bank – Sinopec Corp R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/01 | 2018/11/05 | 10.00 |
| 65536 | HK Bank – HSI R Bull CBBC Mar 2019 L | 150,000,000 | 2018/06/01 | 2019/03/28 | 37.50 |
| 65551 | HK Bank – HSI R Bear CBBC Sep 2018 I | 150,000,000 | 2018/06/01 | 2018/09/27 | 37.50 |
| 65538 | HK Bank – PetroChina R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/01 | 2018/11/12 | 10.00 |
| 65552 | HK Bank – Tencent R Bear CBBC Oct 2018 U | 50,000,000 | 2018/06/01 | 2018/10/22 | 12.50 |
| 65539 | HK Bank – Tencent R Bear CBBC Nov 2018 D | 50,000,000 | 2018/06/01 | 2018/11/05 | 15.00 |
| 65621 | J P Morgan SP BV – Sinopec Corp R Bull CBBC Sep 2018 B | 100,000,000 | 2018/06/01 | 2018/09/14 | 25.00 |
| 65616 | J P Morgan SP BV – Sinopec Corp R Bull CBBC Oct 2018 A | 100,000,000 | 2018/06/01 | 2018/10/12 | 25.00 |
| 65608 | J P Morgan SP BV – HSI R Bull CBBC Dec 2018 E | 200,000,000 | 2018/06/01 | 2018/12/28 | 50.00 |
| 65610 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/06/01 | 2019/12/30 | 50.00 |
| 65612 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/06/01 | 2018/09/27 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 65615 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/06/01 | 2018/09/27 | 50.00 |
| 65553 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 H | 14,000,000 | 2018/06/01 | 2018/12/14 | 10.04 |
| 65554 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 G | 13,800,000 | 2018/06/01 | 2018/12/14 | 10.03 |
| 65663 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 N | 40,000,000 | 2018/06/01 | 2018/10/19 | 10.00 |
| 65666 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 P | 40,000,000 | 2018/06/01 | 2018/11/23 | 10.00 |
| 65680 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 Q | 40,000,000 | 2018/06/01 | 2018/11/30 | 10.00 |
| 65662 | SG Issuer – CSOP A50 ETF R Bear CBBC Sep 2018 E | 40,000,000 | 2018/06/01 | 2018/09/28 | 10.00 |
| 65683 | SG Issuer – A50 China R Bull CBBC Oct 2018 C | 50,000,000 | 2018/06/01 | 2018/10/26 | 12.50 |
| 65684 | SG Issuer – A50 China R Bull CBBC Nov 2018 J | 50,000,000 | 2018/06/01 | 2018/11/30 | 12.50 |
| 65696 | SG Issuer – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/06/01 | 2019/10/30 | 50.00 |
| 65659 | SG Issuer – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/06/01 | 2019/12/30 | 50.00 |
| 65658 | SG Issuer – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/06/01 | 2018/10/30 | 50.00 |
| 65699 | SG Issuer – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/06/01 | 2018/10/30 | 50.00 |
| 65700 | SG Issuer – HSI R Bear CBBC Nov 2018 E | 200,000,000 | 2018/06/01 | 2018/11/29 | 50.00 |
| 65686 | SG Issuer – Sunny Optical R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/01 | 2018/10/11 | 10.00 |
| 65660 | SG Issuer – Tencent R Bull CBBC Aug 2018 C | 80,000,000 | 2018/06/01 | 2018/08/31 | 20.00 |
| 65693 | SG Issuer – Tencent R Bull CBBC Aug 2018 J | 80,000,000 | 2018/06/01 | 2018/08/31 | 20.00 |
| 65661 | SG Issuer – Tencent R Bull CBBC Sep 2018 Z | 80,000,000 | 2018/06/01 | 2018/09/14 | 20.00 |
| 65701 | SG Issuer – Tencent R Bear CBBC Sep 2018 V | 80,000,000 | 2018/06/01 | 2018/09/14 | 20.00 |
| 65583 | UBS AG – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/06/01 | 2019/12/30 | 62.50 |
| 65598 | UBS AG – HSI R Bear CBBC Sep 2018 G | 250,000,000 | 2018/06/01 | 2018/09/27 | 62.50 |
| 65600 | UBS AG – HSI R Bear CBBC Sep 2018 H | 200,000,000 | 2018/06/01 | 2018/09/27 | 50.00 |
| 65607 | UBS AG – HSI R Bear CBBC Sep 2018 I | 250,000,000 | 2018/06/01 | 2018/09/27 | 62.50 |
| 65587 | UBS AG – Tencent R Bull CBBC Sep 2018 W | 30,000,000 | 2018/06/01 | 2018/09/07 | 10.20 |
| 65592 | UBS AG – Tencent R Bull CBBC Oct 2018 D | 15,000,000 | 2018/06/01 | 2018/10/15 | 10.05 |
| 65593 | UBS AG – Tencent R Bull CBBC Oct 2018 E | 30,000,000 | 2018/06/01 | 2018/10/22 | 11.85 |
| 65595 | UBS AG – Tencent R Bear CBBC Sep 2018 B | 30,000,000 | 2018/06/01 | 2018/09/17 | 10.20 |
| 65524 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 K | 40,000,000 | 2018/06/01 | 2018/12/07 | 10.08 |
| 65530 | Bank Vontobel – Tencent R Bear CBBC Sep 2018 D | 40,000,000 | 2018/06/01 | 2018/09/21 | 10.08 |
| 65723 | Credit Suisse AG – Sinopec Corp R Bull CBBC Jan 2019 C | 60,000,000 | 2018/06/04 | 2019/01/18 | 15.00 |
| 65725 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/06/04 | 2019/10/30 | 50.00 |
| 65726 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/06/04 | 2019/10/30 | 50.00 |
| 65715 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/06/04 | 2018/09/27 | 50.00 |
| 65718 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 V | 200,000,000 | 2018/06/04 | 2018/10/30 | 50.00 |
| 65724 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 X | 80,000,000 | 2018/06/04 | 2018/12/21 | 20.00 |
| 65791 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 P | 100,000,000 | 2018/06/04 | 2018/12/31 | 25.00 |
| 65793 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Feb 2019 B | 100,000,000 | 2018/06/04 | 2019/02/25 | 25.00 |
| 65794 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 F | 100,000,000 | 2018/06/04 | 2018/12/31 | 25.00 |
| 65813 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/06/04 | 2019/11/28 | 50.00 |
| 65804 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/06/04 | 2019/11/28 | 50.00 |
| 65704 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/06/04 | 2018/10/30 | 50.00 |
| 65702 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/06/04 | 2018/10/30 | 50.00 |
| 65789 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 L | 100,000,000 | 2018/06/04 | 2018/12/31 | 25.00 |
| 65714 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/06/04 | 2019/12/30 | 50.00 |
| 65712 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 X | 200,000,000 | 2018/06/04 | 2018/09/27 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|--------|--|-------------------------|--------------|------------|------------------------------|
| 65713 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/06/04 | 2018/09/27 | 50.00 |
| 65745 | SG Issuer – AIA R Bull CBBC Dec 2018 K | 60,000,000 | 2018/06/04 | 2018/12/21 | 15.00 |
| 65737 | SG Issuer – CNOOC R Bull CBBC Nov 2018 D | 40,000,000 | 2018/06/04 | 2018/11/09 | 10.00 |
| 65746 | SG Issuer – Sinopec Corp R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/04 | 2018/10/19 | 10.00 |
| 65748 | SG Issuer – Galaxy Ent R Bull CBBC Nov 2018 J | 80,000,000 | 2018/06/04 | 2018/11/23 | 20.00 |
| 65749 | SG Issuer – HKEX R Bull CBBC Oct 2018 L | 50,000,000 | 2018/06/04 | 2018/10/15 | 12.50 |
| 65742 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/06/04 | 2019/11/28 | 50.00 |
| 65732 | SG Issuer – HSI R Bear CBBC Dec 2018 H | 200,000,000 | 2018/06/04 | 2018/12/28 | 50.00 |
| 65728 | SG Issuer – HSI R Bear CBBC Dec 2018 I | 200,000,000 | 2018/06/04 | 2018/12/28 | 50.00 |
| 65751 | SG Issuer – PetroChina R Bull CBBC Nov 2018 I | 40,000,000 | 2018/06/04 | 2018/11/19 | 10.00 |
| 65754 | SG Issuer – PetroChina R Bull CBBC Dec 2018 E | 40,000,000 | 2018/06/04 | 2018/12/10 | 10.00 |
| 65757 | SG Issuer – Tencent R Bull CBBC Sep 2018 V | 80,000,000 | 2018/06/04 | 2018/09/10 | 20.00 |
| 65739 | SG Issuer – Tencent R Bear CBBC Sep 2018 W | 80,000,000 | 2018/06/04 | 2018/09/20 | 20.00 |
| 65709 | UBS AG – A50 China R Bull CBBC Sep 2018 E | 50,000,000 | 2018/06/04 | 2018/09/10 | 12.50 |
| 65705 | UBS AG – HSI R Bull CBBC Oct 2019 T | 200,000,000 | 2018/06/04 | 2019/10/30 | 50.00 |
| 65711 | UBS AG – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/06/04 | 2019/11/28 | 50.00 |
| 65707 | UBS AG – Petrochina R Bull CBBC Nov 2018 H | 60,000,000 | 2018/06/04 | 2018/11/21 | 15.00 |
| 62861# | Credit Suisse AG – Geely Auto R Bull CBBC Jun 2019 A | 300,000,000 | 2018/06/04 | 2019/06/28 | 13.80 |
| 63336# | Credit Suisse AG – Geely Auto R Bull CBBC Jun 2019 B | 300,000,000 | 2018/06/04 | 2019/06/21 | 10.80 |
| 65830 | BOCI Asia Ltd. – Geely Auto R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/05 | 2018/10/15 | 10.08 |
| 65835 | BOCI Asia Ltd. – Galaxy Ent R Bull CBBC Oct 2018 A | 50,000,000 | 2018/06/05 | 2018/10/15 | 12.75 |
| 65834 | BOCI Asia Ltd. – ICBC R Bull CBBC Oct 2018 A | 80,000,000 | 2018/06/05 | 2018/10/15 | 20.24 |
| 65829 | BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/05 | 2018/10/15 | 10.12 |
| 65847 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/06/05 | 2019/12/30 | 62.50 |
| 65850 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2018 S | 250,000,000 | 2018/06/05 | 2018/12/28 | 62.50 |
| 65931 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 G | 50,000,000 | 2018/06/05 | 2019/01/30 | 12.50 |
| 65920 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2019 A | 100,000,000 | 2018/06/05 | 2019/07/31 | 25.00 |
| 65914 | Credit Suisse AG – Galaxy Ent R Bull CBBC Dec 2018 B | 50,000,000 | 2018/06/05 | 2018/12/28 | 12.50 |
| 65909 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/06/05 | 2019/11/28 | 50.00 |
| 65904 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 I | 200,000,000 | 2018/06/05 | 2019/12/30 | 50.00 |
| 65933 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/06/05 | 2018/10/30 | 50.00 |
| 65919 | Credit Suisse AG – Sunny Optical R Bull CBBC Jan 2019 B | 40,000,000 | 2018/06/05 | 2019/01/18 | 10.00 |
| 65846 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 H | 100,000,000 | 2018/06/05 | 2018/12/21 | 25.00 |
| 65872 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 K | 200,000,000 | 2018/06/05 | 2019/11/28 | 50.00 |
| 65969 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 R | 200,000,000 | 2018/06/05 | 2018/10/30 | 50.00 |
| 65844 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 B | 100,000,000 | 2018/06/05 | 2018/12/31 | 25.00 |
| 65856 | J P Morgan SP BV – HKEX R Bear CBBC Sep 2018 B | 40,000,000 | 2018/06/05 | 2018/09/14 | 10.00 |
| 65852 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 U | 200,000,000 | 2018/06/05 | 2019/12/30 | 50.00 |
| 65854 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/06/05 | 2018/09/27 | 50.00 |
| 65857 | J P Morgan SP BV – Sunny Optical R Bull CBBC Sep 2018 A | 60,000,000 | 2018/06/05 | 2018/09/21 | 18.00 |
| 65859 | J P Morgan SP BV – Sunny Optical R Bull CBBC Sep 2018 B | 60,000,000 | 2018/06/05 | 2018/09/14 | 15.00 |
| 65863 | J P Morgan SP BV – Sunny Optical R Bear CBBC Sep 2018 A | 40,000,000 | 2018/06/05 | 2018/09/21 | 10.00 |
| 65869 | J P Morgan SP BV – Sunny Optical R Bear CBBC Sep 2018 B | 40,000,000 | 2018/06/05 | 2018/09/14 | 10.00 |
| 65855 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 H | 40,000,000 | 2018/06/05 | 2018/09/14 | 10.00 |
| 65956 | SG Issuer – CNOOC R Bear CBBC Oct 2018 B | 40,000,000 | 2018/06/05 | 2018/10/15 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65952 | SG Issuer – CPIC R Bull CBBC Apr 2019 B | 100,000,000 | 2018/06/05 | 2019/04/29 | 25.00 |
| 65943 | SG Issuer – A50 China R Bull CBBC Dec 2018 A | 50,000,000 | 2018/06/05 | 2018/12/04 | 12.50 |
| 65944 | SG Issuer – HSI R Bull CBBC Sep 2019 K | 200,000,000 | 2018/06/05 | 2019/09/27 | 50.00 |
| 65951 | SG Issuer – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/06/05 | 2019/11/28 | 50.00 |
| 65934 | SG Issuer – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/06/05 | 2019/12/30 | 50.00 |
| 65945 | SG Issuer – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/06/05 | 2018/09/27 | 50.00 |
| 65963 | SG Issuer – ICBC R Bull CBBC Nov 2018 F | 60,000,000 | 2018/06/05 | 2018/11/26 | 15.00 |
| 65965 | SG Issuer – PetroChina R Bear CBBC Oct 2018 C | 40,000,000 | 2018/06/05 | 2018/10/12 | 10.00 |
| 65967 | SG Issuer – Sunny Optical R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/05 | 2018/11/29 | 11.20 |
| 65935 | SG Issuer – Tencent R Bull CBBC Sep 2018 J | 80,000,000 | 2018/06/05 | 2018/09/07 | 20.00 |
| 65896 | UBS AG – AAC Tech R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/05 | 2018/10/08 | 10.00 |
| 65894 | UBS AG – CNOOC R Bull CBBC Oct 2018 J | 40,000,000 | 2018/06/05 | 2018/10/22 | 10.00 |
| 65881 | UBS AG – Geely Auto R Bull CBBC Nov 2018 C | 40,000,000 | 2018/06/05 | 2018/11/05 | 10.40 |
| 65880 | UBS AG – HSI R Bull CBBC Nov 2019 G | 250,000,000 | 2018/06/05 | 2019/11/28 | 62.50 |
| 65897 | UBS AG – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/06/05 | 2018/09/27 | 50.00 |
| 65893 | UBS AG – Petrochina R Bull CBBC Oct 2018 I | 60,000,000 | 2018/06/05 | 2018/10/22 | 15.00 |
| 65822 | Bank Vontobel – HSI R Bull CBBC Jan 2019 D | 100,000,000 | 2018/06/05 | 2019/01/30 | 25.10 |
| 65825 | Bank Vontobel – HSI R Bear CBBC Nov 2018 K | 100,000,000 | 2018/06/05 | 2018/11/29 | 25.10 |
| 65827 | Bank Vontobel – HSI R Bear CBBC Nov 2018 L | 100,000,000 | 2018/06/05 | 2018/11/29 | 25.00 |
| 66017 | BOCI Asia Ltd. – HSBC R Bull CBBC Oct 2018 B | 70,000,000 | 2018/06/06 | 2018/10/29 | 17.64 |
| 66029 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 K | 250,000,000 | 2018/06/06 | 2019/12/30 | 62.50 |
| 66031 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 L | 250,000,000 | 2018/06/06 | 2019/12/30 | 62.50 |
| 66036 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 O | 250,000,000 | 2018/06/06 | 2019/12/30 | 62.50 |
| 66019 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 S | 250,000,000 | 2018/06/06 | 2019/12/30 | 62.50 |
| 66020 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 W | 250,000,000 | 2018/06/06 | 2019/12/30 | 62.50 |
| 66042 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 A | 250,000,000 | 2018/06/06 | 2019/01/30 | 62.50 |
| 66044 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 B | 250,000,000 | 2018/06/06 | 2019/01/30 | 62.50 |
| 66051 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 C | 250,000,000 | 2018/06/06 | 2019/01/30 | 62.50 |
| 66053 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 D | 250,000,000 | 2018/06/06 | 2019/01/30 | 62.50 |
| 66169 | Credit Suisse AG – ABC R Bull CBBC Dec 2018 B | 70,000,000 | 2018/06/06 | 2018/12/28 | 17.50 |
| 66156 | Credit Suisse AG – HKEX R Bear CBBC Dec 2018 D | 50,000,000 | 2018/06/06 | 2018/12/28 | 12.50 |
| 66186 | Credit Suisse AG – HSCEI R Bear CBBC Sep 2018 D | 100,000,000 | 2018/06/06 | 2018/09/27 | 25.00 |
| 66117 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 K | 200,000,000 | 2018/06/06 | 2019/10/30 | 50.00 |
| 66123 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 K | 200,000,000 | 2018/06/06 | 2019/11/28 | 50.00 |
| 66127 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/06/06 | 2019/11/28 | 50.00 |
| 66129 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/06/06 | 2019/11/28 | 50.00 |
| 66125 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66128 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66130 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66176 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66180 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66178 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 X | 200,000,000 | 2018/06/06 | 2018/10/30 | 50.00 |
| 66173 | Credit Suisse AG – HSI R Bear CBBC Sep 2019 A | 200,000,000 | 2018/06/06 | 2019/09/27 | 50.00 |
| 66174 | Credit Suisse AG – HSI R Bear CBBC Sep 2019 B | 200,000,000 | 2018/06/06 | 2019/09/27 | 50.00 |
| 66152 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 Y | 80,000,000 | 2018/06/06 | 2018/12/28 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66147 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 Z | 50,000,000 | 2018/06/06 | 2018/12/20 | 12.50 |
| 66161 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 K | 50,000,000 | 2018/06/06 | 2018/12/14 | 12.50 |
| 65971 | Bank of East Asia – Tencent R Bull CBBC Feb 2019 E | 30,000,000 | 2018/06/06 | 2019/02/19 | 10.50 |
| 65979 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Feb 2019 C | 100,000,000 | 2018/06/06 | 2019/02/27 | 25.00 |
| 66286 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2018 M | 80,000,000 | 2018/06/06 | 2018/12/31 | 20.00 |
| 65975 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 J | 100,000,000 | 2018/06/06 | 2018/12/31 | 25.00 |
| 65976 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 K | 100,000,000 | 2018/06/06 | 2018/12/31 | 25.00 |
| 65978 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 V | 100,000,000 | 2018/06/06 | 2018/12/31 | 25.00 |
| 66278 | Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 65984 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 65987 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 T | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 65992 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 U | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 65993 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 V | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 65994 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66273 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66275 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/06/06 | 2018/10/30 | 50.00 |
| 66277 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/06/06 | 2018/10/30 | 50.00 |
| 65977 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 C | 100,000,000 | 2018/06/06 | 2018/12/31 | 25.00 |
| 66291 | Goldman Sachs SP (Asia) – Ping An R Bear CBBC Dec 2018 D | 80,000,000 | 2018/06/06 | 2018/12/31 | 20.00 |
| 65973 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 P | 100,000,000 | 2018/06/06 | 2018/12/21 | 25.00 |
| 65974 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/06/06 | 2018/12/31 | 25.00 |
| 66283 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 Q | 80,000,000 | 2018/06/06 | 2018/12/31 | 20.00 |
| 66219 | J P Morgan SP BV – HKEX R Bull CBBC Sep 2018 E | 40,000,000 | 2018/06/06 | 2018/09/21 | 10.00 |
| 66220 | J P Morgan SP BV – HKEX R Bull CBBC Sep 2018 F | 40,000,000 | 2018/06/06 | 2018/09/21 | 14.00 |
| 66195 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 X | 200,000,000 | 2018/06/06 | 2019/10/30 | 50.00 |
| 66191 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/06/06 | 2019/11/28 | 50.00 |
| 66190 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66198 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 A | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66200 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66212 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66213 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66222 | J P Morgan SP BV – Sunny Optical R Bull CBBC Sep 2018 C | 50,000,000 | 2018/06/06 | 2018/09/14 | 18.00 |
| 66217 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 I | 50,000,000 | 2018/06/06 | 2018/09/21 | 12.50 |
| 66057 | Macquarie Bank Ltd. – Galaxy Ent R Bull CBBC Feb 2019 A | 32,000,000 | 2018/06/06 | 2019/02/27 | 10.43 |
| 66054 | Macquarie Bank Ltd. – Ping An R Bear CBBC Feb 2019 A | 33,000,000 | 2018/06/06 | 2019/02/27 | 10.59 |
| 66225 | SG Issuer – CC Bank R Bull CBBC Oct 2018 M | 100,000,000 | 2018/06/06 | 2018/10/25 | 25.00 |
| 66237 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 O | 40,000,000 | 2018/06/06 | 2018/10/10 | 10.00 |
| 66259 | SG Issuer – HKEX R Bull CBBC Oct 2018 K | 50,000,000 | 2018/06/06 | 2018/10/11 | 12.50 |
| 66249 | SG Issuer – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/06/06 | 2019/10/30 | 50.00 |
| 66245 | SG Issuer – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/06/06 | 2019/10/30 | 50.00 |
| 66241 | SG Issuer – HSI R Bull CBBC Oct 2019 O | 200,000,000 | 2018/06/06 | 2019/10/30 | 50.00 |
| 66256 | SG Issuer – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/06/06 | 2019/11/28 | 50.00 |
| 66258 | SG Issuer – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66265 | SG Issuer – HSI R Bear CBBC Oct 2018 O | 200,000,000 | 2018/06/06 | 2018/10/30 | 50.00 |
| 66267 | SG Issuer – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/06/06 | 2018/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66269 | SG Issuer – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/06/06 | 2018/10/30 | 50.00 |
| 66270 | SG Issuer – HSI R Bear CBBC Nov 2018 H | 200,000,000 | 2018/06/06 | 2018/11/29 | 50.00 |
| 66262 | SG Issuer – Ping An R Bull CBBC Oct 2018 W | 100,000,000 | 2018/06/06 | 2018/10/30 | 25.00 |
| 66232 | SG Issuer – Tencent R Bull CBBC Sep 2018 E | 80,000,000 | 2018/06/06 | 2018/09/14 | 20.00 |
| 66229 | SG Issuer – Tencent R Bull CBBC Sep 2018 I | 80,000,000 | 2018/06/06 | 2018/09/07 | 20.00 |
| 66264 | SG Issuer – Tencent R Bull CBBC Sep 2018 L | 80,000,000 | 2018/06/06 | 2018/09/07 | 20.00 |
| 66271 | SG Issuer – Tencent R Bear CBBC Sep 2018 X | 80,000,000 | 2018/06/06 | 2018/09/20 | 20.00 |
| 66095 | UBS AG – CSOP A50 ETF R Bull CBBC Nov 2018 C | 50,000,000 | 2018/06/06 | 2018/11/05 | 12.50 |
| 66096 | UBS AG – CSOP A50 ETF R Bull CBBC Nov 2018 D | 50,000,000 | 2018/06/06 | 2018/11/12 | 12.50 |
| 66063 | UBS AG – HSI R Bull CBBC Nov 2019 B | 250,000,000 | 2018/06/06 | 2019/11/28 | 62.50 |
| 66058 | UBS AG – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66061 | UBS AG – HSI R Bull CBBC Dec 2019 R | 200,000,000 | 2018/06/06 | 2019/12/30 | 50.00 |
| 66097 | UBS AG – HSI R Bear CBBC Sep 2018 K | 250,000,000 | 2018/06/06 | 2018/09/27 | 62.50 |
| 66113 | UBS AG – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/06/06 | 2018/09/27 | 50.00 |
| 66115 | UBS AG – HSI R Bear CBBC Sep 2018 M | 250,000,000 | 2018/06/06 | 2018/09/27 | 62.50 |
| 66071 | UBS AG – Tencent R Bull CBBC Sep 2018 Y | 30,000,000 | 2018/06/06 | 2018/09/21 | 10.20 |
| 66075 | UBS AG – Tencent R Bull CBBC Sep 2018 Z | 30,000,000 | 2018/06/06 | 2018/09/27 | 10.20 |
| 66085 | UBS AG – Tencent R Bull CBBC Oct 2018 F | 30,000,000 | 2018/06/06 | 2018/10/03 | 10.20 |
| 65995 | Bank Vontobel – HSI R Bull CBBC Jan 2019 E | 100,000,000 | 2018/06/06 | 2019/01/30 | 25.40 |
| 66009 | Bank Vontobel – HSI R Bull CBBC Jan 2019 F | 100,000,000 | 2018/06/06 | 2019/01/30 | 25.30 |
| 66012 | Bank Vontobel – HSI R Bear CBBC Nov 2018 M | 100,000,000 | 2018/06/06 | 2018/11/29 | 25.40 |
| 66014 | Bank Vontobel – HSI R Bear CBBC Nov 2018 N | 100,000,000 | 2018/06/06 | 2018/11/29 | 25.40 |
| 66390 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 L | 200,000,000 | 2018/06/07 | 2019/10/30 | 50.00 |
| 66389 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/06/07 | 2019/11/28 | 50.00 |
| 66394 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/06/07 | 2019/11/28 | 50.00 |
| 66395 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/06/07 | 2019/12/30 | 50.00 |
| 66384 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/06/07 | 2018/09/27 | 50.00 |
| 66381 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 O | 200,000,000 | 2018/06/07 | 2018/09/27 | 50.00 |
| 66380 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 Y | 200,000,000 | 2018/06/07 | 2018/10/30 | 50.00 |
| 66386 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/06/07 | 2018/10/30 | 50.00 |
| 66387 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 A | 50,000,000 | 2018/06/07 | 2018/12/28 | 12.50 |
| 66469 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 Q | 100,000,000 | 2018/06/07 | 2018/12/31 | 25.00 |
| 66474 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Mar 2019 B | 100,000,000 | 2018/06/07 | 2019/03/28 | 25.00 |
| 66478 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 W | 100,000,000 | 2018/06/07 | 2018/12/31 | 25.00 |
| 66460 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/06/07 | 2019/12/30 | 50.00 |
| 66462 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 X | 200,000,000 | 2018/06/07 | 2019/12/30 | 50.00 |
| 66463 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/06/07 | 2019/12/30 | 50.00 |
| 66299 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 T | 200,000,000 | 2018/06/07 | 2018/11/29 | 50.00 |
| 66301 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 U | 200,000,000 | 2018/06/07 | 2018/11/29 | 50.00 |
| 66305 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 V | 200,000,000 | 2018/06/07 | 2018/11/29 | 50.00 |
| 66459 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 W | 200,000,000 | 2018/06/07 | 2018/11/29 | 50.00 |
| 66471 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 H | 100,000,000 | 2018/06/07 | 2019/02/27 | 25.00 |
| 66468 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 E | 100,000,000 | 2018/06/07 | 2018/12/31 | 25.00 |
| 66444 | J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Sep 2018 A | 100,000,000 | 2018/06/07 | 2018/09/21 | 25.00 |
| 66445 | J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Oct 2018 B | 100,000,000 | 2018/06/07 | 2018/10/19 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66446 | J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Nov 2018 B | 100,000,000 | 2018/06/07 | 2018/11/16 | 25.00 |
| 66447 | J P Morgan SP BV – A50 China R Bull CBBC Sep 2018 B | 100,000,000 | 2018/06/07 | 2018/09/21 | 25.00 |
| 66450 | J P Morgan SP BV – A50 China R Bull CBBC Oct 2018 B | 100,000,000 | 2018/06/07 | 2018/10/19 | 25.00 |
| 66452 | J P Morgan SP BV – A50 China R Bull CBBC Nov 2018 C | 100,000,000 | 2018/06/07 | 2018/11/16 | 25.00 |
| 66455 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/06/07 | 2019/10/30 | 50.00 |
| 66454 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/06/07 | 2019/11/28 | 50.00 |
| 66453 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/06/07 | 2019/12/30 | 50.00 |
| 66438 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 E | 200,000,000 | 2018/06/07 | 2018/09/27 | 50.00 |
| 66439 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/06/07 | 2018/09/27 | 50.00 |
| 66440 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/06/07 | 2018/09/27 | 50.00 |
| 66443 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 I | 50,000,000 | 2018/06/07 | 2018/09/14 | 12.50 |
| 66306 | Macquarie Bank Ltd. – NCI R Bull CBBC Feb 2019 A | 40,000,000 | 2018/06/07 | 2019/02/27 | 10.00 |
| 66308 | Macquarie Bank Ltd. – NCI R Bull CBBC Feb 2019 B | 40,000,000 | 2018/06/07 | 2019/02/27 | 10.04 |
| 66309 | Macquarie Bank Ltd. – NCI R Bear CBBC Feb 2019 A | 31,000,000 | 2018/06/07 | 2019/02/27 | 10.04 |
| 66310 | Macquarie Bank Ltd. – NCI R Bear CBBC Feb 2019 B | 25,000,000 | 2018/06/07 | 2019/02/27 | 10.15 |
| 66402 | SG Issuer – ABC R Bull CBBC Feb 2019 B | 60,000,000 | 2018/06/07 | 2019/02/20 | 15.00 |
| 66403 | SG Issuer – CNOOC R Bull CBBC Oct 2018 L | 40,000,000 | 2018/06/07 | 2018/10/15 | 10.00 |
| 66429 | SG Issuer – Sinopec Corp R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/07 | 2018/10/18 | 10.00 |
| 66419 | SG Issuer – HSCEI R Bull CBBC Dec 2018 W | 100,000,000 | 2018/06/07 | 2018/12/28 | 25.00 |
| 66416 | SG Issuer – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/06/07 | 2019/10/30 | 50.00 |
| 66417 | SG Issuer – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/06/07 | 2019/11/28 | 50.00 |
| 66418 | SG Issuer – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/06/07 | 2019/12/30 | 50.00 |
| 66398 | SG Issuer – HSI R Bear CBBC Oct 2018 X | 200,000,000 | 2018/06/07 | 2018/10/30 | 50.00 |
| 66400 | SG Issuer – HSI R Bear CBBC Nov 2018 I | 200,000,000 | 2018/06/07 | 2018/11/29 | 50.00 |
| 66414 | SG Issuer – HSI R Bear CBBC Dec 2018 G | 200,000,000 | 2018/06/07 | 2018/12/28 | 50.00 |
| 66401 | SG Issuer – HSI R Bear CBBC Dec 2018 J | 200,000,000 | 2018/06/07 | 2018/12/28 | 50.00 |
| 66405 | SG Issuer – NCI R Bull CBBC May 2019 A | 80,000,000 | 2018/06/07 | 2019/05/22 | 20.00 |
| 66431 | SG Issuer – Tencent R Bull CBBC Sep 2018 M | 80,000,000 | 2018/06/07 | 2018/09/18 | 20.00 |
| 66408 | SG Issuer – Tencent R Bear CBBC Sep 2018 Y | 80,000,000 | 2018/06/07 | 2018/09/21 | 20.00 |
| 66377 | UBS AG – Bank of China R Bull CBBC Jan 2019 A | 60,000,000 | 2018/06/07 | 2019/01/21 | 15.00 |
| 66330 | UBS AG – Sinopec Corp R Bull CBBC Oct 2018 D | 50,000,000 | 2018/06/07 | 2018/10/22 | 12.50 |
| 66316 | UBS AG – HSBC R Bull CBBC Oct 2018 C | 50,000,000 | 2018/06/07 | 2018/10/08 | 12.50 |
| 66325 | UBS AG – HSBC R Bear CBBC Sep 2018 A | 50,000,000 | 2018/06/07 | 2018/09/24 | 12.50 |
| 66326 | UBS AG – HSBC R Bear CBBC Oct 2018 A | 50,000,000 | 2018/06/07 | 2018/10/15 | 12.50 |
| 66360 | UBS AG – HSI R Bull CBBC Oct 2019 B | 250,000,000 | 2018/06/07 | 2019/10/30 | 62.50 |
| 66347 | UBS AG – HSI R Bull CBBC Oct 2019 I | 250,000,000 | 2018/06/07 | 2019/10/30 | 62.50 |
| 66374 | UBS AG – HSI R Bull CBBC Oct 2019 J | 250,000,000 | 2018/06/07 | 2019/10/30 | 62.50 |
| 66311 | UBS AG – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/06/07 | 2018/09/27 | 50.00 |
| 66314 | UBS AG – HSI R Bear CBBC Sep 2018 O | 250,000,000 | 2018/06/07 | 2018/09/27 | 62.50 |
| 66312 | UBS AG – HSI R Bear CBBC Oct 2018 A | 250,000,000 | 2018/06/07 | 2018/10/30 | 62.50 |
| 66340 | UBS AG – Petrochina R Bull CBBC Oct 2018 J | 60,000,000 | 2018/06/07 | 2018/10/24 | 15.00 |
| 66334 | UBS AG – Petrochina R Bull CBBC Nov 2018 I | 60,000,000 | 2018/06/07 | 2018/11/05 | 15.00 |
| 66345 | UBS AG – Sands China R Bull CBBC Dec 2018 C | 60,000,000 | 2018/06/07 | 2018/12/17 | 15.00 |
| 66332 | UBS AG – Tencent R Bear CBBC Sep 2018 C | 30,000,000 | 2018/06/07 | 2018/09/19 | 10.20 |
| 66297 | Bank Vontobel – CC Bank R Bull CBBC Oct 2018 C | 40,000,000 | 2018/06/07 | 2018/10/19 | 10.20 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66298 | Bank Vontobel – HSBC R Bull CBBC Oct 2018 C | 40,000,000 | 2018/06/07 | 2018/10/26 | 10.16 |
| 66293 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 L | 40,000,000 | 2018/06/07 | 2018/12/07 | 10.36 |
| 66296 | Bank Vontobel – Tencent R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/07 | 2018/10/26 | 10.12 |
| 66560 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 E | 50,000,000 | 2018/06/08 | 2019/03/28 | 12.50 |
| 66555 | BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Jan 2019 A | 100,000,000 | 2018/06/08 | 2019/01/30 | 25.00 |
| 66556 | BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Jan 2019 B | 100,000,000 | 2018/06/08 | 2019/01/30 | 25.00 |
| 66562 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Nov 2018 H | 200,000,000 | 2018/06/08 | 2018/11/29 | 50.00 |
| 66549 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 J | 50,000,000 | 2018/06/08 | 2018/09/27 | 12.50 |
| 66550 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 H | 50,000,000 | 2018/06/08 | 2018/11/29 | 12.50 |
| 66523 | Credit Suisse AG – CNOOC R Bull CBBC Dec 2018 E | 50,000,000 | 2018/06/08 | 2018/12/28 | 12.50 |
| 66517 | Credit Suisse AG – Galaxy Ent R Bear CBBC Dec 2018 A | 50,000,000 | 2018/06/08 | 2018/12/28 | 12.50 |
| 66513 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 M | 200,000,000 | 2018/06/08 | 2019/10/30 | 50.00 |
| 66512 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 W | 200,000,000 | 2018/06/08 | 2019/11/28 | 50.00 |
| 66519 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 N | 200,000,000 | 2018/06/08 | 2019/12/30 | 50.00 |
| 66515 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/06/08 | 2018/09/27 | 50.00 |
| 66521 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 A | 200,000,000 | 2018/06/08 | 2018/10/30 | 50.00 |
| 66518 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 Z | 80,000,000 | 2018/06/08 | 2018/12/14 | 20.00 |
| 66524 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 C | 50,000,000 | 2018/06/08 | 2019/01/30 | 12.50 |
| 66484 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/06/08 | 2019/11/28 | 50.00 |
| 66486 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/06/08 | 2019/11/28 | 50.00 |
| 66531 | J P Morgan SP BV – HSCEI R Bull CBBC Dec 2019 H | 100,000,000 | 2018/06/08 | 2019/12/30 | 25.00 |
| 66540 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 S | 200,000,000 | 2018/06/08 | 2019/10/30 | 50.00 |
| 66538 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/06/08 | 2019/11/28 | 50.00 |
| 66532 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/06/08 | 2019/12/30 | 50.00 |
| 66530 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 J | 50,000,000 | 2018/06/08 | 2018/09/14 | 12.50 |
| 66570 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 P | 60,000,000 | 2018/06/08 | 2018/10/31 | 15.00 |
| 66569 | SG Issuer – HKEX R Bear CBBC Oct 2018 C | 50,000,000 | 2018/06/08 | 2018/10/15 | 12.50 |
| 66579 | SG Issuer – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/06/08 | 2019/11/28 | 50.00 |
| 66565 | SG Issuer – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/06/08 | 2019/12/30 | 50.00 |
| 66581 | SG Issuer – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/06/08 | 2019/12/30 | 50.00 |
| 66564 | SG Issuer – HSI R Bear CBBC Nov 2018 J | 200,000,000 | 2018/06/08 | 2018/11/29 | 50.00 |
| 66578 | SG Issuer – HSI R Bear CBBC Nov 2018 K | 200,000,000 | 2018/06/08 | 2018/11/29 | 50.00 |
| 66573 | SG Issuer – Sands R Bear CBBC Mar 2019 A | 60,000,000 | 2018/06/08 | 2019/03/28 | 15.00 |
| 66577 | SG Issuer – Tencent R Bull CBBC Sep 2018 G | 80,000,000 | 2018/06/08 | 2018/09/14 | 20.00 |
| 66592 | SG Issuer – Tencent R Bull CBBC Sep 2018 Q | 80,000,000 | 2018/06/08 | 2018/09/12 | 20.00 |
| 66576 | SG Issuer – Tencent R Bear CBBC Sep 2018 Z | 80,000,000 | 2018/06/08 | 2018/09/12 | 20.00 |
| 66507 | UBS AG – CNOOC R Bear CBBC Oct 2018 C | 40,000,000 | 2018/06/08 | 2018/10/22 | 10.00 |
| 66491 | UBS AG – HSI R Bull CBBC Nov 2019 F | 200,000,000 | 2018/06/08 | 2019/11/28 | 50.00 |
| 66493 | UBS AG – HSI R Bull CBBC Dec 2019 P | 200,000,000 | 2018/06/08 | 2019/12/30 | 50.00 |
| 66496 | UBS AG – HSI R Bull CBBC Dec 2019 U | 250,000,000 | 2018/06/08 | 2019/12/30 | 62.50 |
| 66500 | UBS AG – HSI R Bear CBBC Sep 2018 Q | 250,000,000 | 2018/06/08 | 2018/09/27 | 62.50 |
| 66497 | UBS AG – HSI R Bear CBBC Oct 2018 B | 250,000,000 | 2018/06/08 | 2018/10/30 | 62.50 |
| 66506 | UBS AG – Petrochina R Bear CBBC Oct 2018 D | 60,000,000 | 2018/06/08 | 2018/10/15 | 15.00 |
| 66508 | UBS AG – Ping An R Bull CBBC Oct 2018 Z | 80,000,000 | 2018/06/08 | 2018/10/22 | 20.00 |
| 66660 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 A | 250,000,000 | 2018/06/11 | 2019/12/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66692 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 B | 250,000,000 | 2018/06/11 | 2019/12/30 | 62.50 |
| 66642 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 J | 250,000,000 | 2018/06/11 | 2019/12/30 | 62.50 |
| 66653 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/06/11 | 2019/12/30 | 62.50 |
| 66594 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 E | 250,000,000 | 2018/06/11 | 2019/01/30 | 62.50 |
| 66595 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 F | 250,000,000 | 2018/06/11 | 2019/01/30 | 62.50 |
| 66601 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 G | 250,000,000 | 2018/06/11 | 2019/01/30 | 62.50 |
| 66603 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 H | 250,000,000 | 2018/06/11 | 2019/01/30 | 62.50 |
| 66690 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 I | 250,000,000 | 2018/06/11 | 2019/01/30 | 62.50 |
| 66763 | Credit Suisse AG – CC Bank R Bull CBBC Dec 2018 G | 80,000,000 | 2018/06/11 | 2018/12/28 | 20.00 |
| 66767 | Credit Suisse AG – CM Bank R Bull CBBC Apr 2019 A | 70,000,000 | 2018/06/11 | 2019/04/29 | 17.50 |
| 66761 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 J | 80,000,000 | 2018/06/11 | 2018/12/28 | 20.00 |
| 66815 | Credit Suisse AG – HSCEI R Bull CBBC Sep 2019 B | 100,000,000 | 2018/06/11 | 2019/09/27 | 25.00 |
| 66791 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/06/11 | 2019/10/30 | 50.00 |
| 66792 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 O | 200,000,000 | 2018/06/11 | 2019/10/30 | 50.00 |
| 66787 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 A | 200,000,000 | 2018/06/11 | 2019/11/28 | 50.00 |
| 66784 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 X | 200,000,000 | 2018/06/11 | 2019/11/28 | 50.00 |
| 66785 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 Y | 200,000,000 | 2018/06/11 | 2019/11/28 | 50.00 |
| 66783 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66759 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66760 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66781 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66757 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/06/11 | 2018/10/30 | 50.00 |
| 66766 | Credit Suisse AG – ICBC R Bull CBBC Dec 2018 D | 80,000,000 | 2018/06/11 | 2018/12/28 | 20.00 |
| 66809 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 B | 80,000,000 | 2018/06/11 | 2018/12/21 | 20.00 |
| 66769 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 D | 50,000,000 | 2018/06/11 | 2019/01/25 | 12.50 |
| 66795 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 E | 50,000,000 | 2018/06/11 | 2019/01/18 | 12.50 |
| 66798 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 F | 50,000,000 | 2018/06/11 | 2019/01/31 | 12.50 |
| 67009 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Feb 2019 D | 100,000,000 | 2018/06/11 | 2019/02/27 | 25.00 |
| 66991 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2018 N | 100,000,000 | 2018/06/11 | 2018/12/31 | 25.00 |
| 66992 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Mar 2019 C | 100,000,000 | 2018/06/11 | 2019/03/28 | 25.00 |
| 67003 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 L | 100,000,000 | 2018/06/11 | 2018/12/31 | 25.00 |
| 66956 | Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66952 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66955 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66951 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66685 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 X | 200,000,000 | 2018/06/11 | 2018/11/29 | 50.00 |
| 66686 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 Y | 200,000,000 | 2018/06/11 | 2018/11/29 | 50.00 |
| 66687 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 Z | 200,000,000 | 2018/06/11 | 2018/11/29 | 50.00 |
| 66981 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 I | 100,000,000 | 2018/06/11 | 2019/02/27 | 25.00 |
| 66982 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Feb 2019 A | 100,000,000 | 2018/06/11 | 2019/02/27 | 25.00 |
| 66966 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 I | 100,000,000 | 2018/06/11 | 2018/12/31 | 25.00 |
| 66971 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 R | 100,000,000 | 2018/06/11 | 2018/12/31 | 25.00 |
| 66973 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 C | 100,000,000 | 2018/06/11 | 2019/02/27 | 25.00 |
| 66641 | Haitong Int'l Sec – AAC Tech R Bull CBBC Nov 2018 A | 70,000,000 | 2018/06/11 | 2018/11/26 | 17.50 |
| 66640 | Haitong Int'l Sec – CNOOC R Bull CBBC Nov 2018 D | 60,000,000 | 2018/06/11 | 2018/11/27 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66623 | Haitong Int'l Sec - Sinopec Corp R Bull CBBC Nov 2018 D | 110,000,000 | 2018/06/11 | 2018/11/28 | 27.50 |
| 66663 | Haitong Int'l Sec - CPIC R Bull CBBC Nov 2018 B | 40,000,000 | 2018/06/11 | 2018/11/28 | 14.80 |
| 66622 | Haitong Int'l Sec - Geely Auto R Bull CBBC Nov 2018 B | 40,000,000 | 2018/06/11 | 2018/11/27 | 10.00 |
| 66629 | Haitong Int'l Sec - HKEX R Bull CBBC Nov 2018 D | 40,000,000 | 2018/06/11 | 2018/11/26 | 10.00 |
| 66620 | Haitong Int'l Sec - HSBC R Bull CBBC Dec 2018 A | 110,000,000 | 2018/06/11 | 2018/12/11 | 27.50 |
| 66639 | Haitong Int'l Sec - PetroChina R Bull CBBC Nov 2018 B | 130,000,000 | 2018/06/11 | 2018/11/28 | 32.50 |
| 66646 | Haitong Int'l Sec - Ping An R Bull CBBC Nov 2018 D | 25,000,000 | 2018/06/11 | 2018/11/26 | 10.45 |
| 66656 | Haitong Int'l Sec - Ping An R Bull CBBC Nov 2018 E | 25,000,000 | 2018/06/11 | 2018/11/26 | 10.15 |
| 66662 | Haitong Int'l Sec - SUNAC R Bull CBBC Nov 2018 A | 40,000,000 | 2018/06/11 | 2018/11/27 | 18.44 |
| 66631 | Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 F | 40,000,000 | 2018/06/11 | 2018/11/27 | 10.00 |
| 66636 | Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 G | 40,000,000 | 2018/06/11 | 2018/11/28 | 10.00 |
| 66638 | Haitong Int'l Sec - Tencent R Bull CBBC Nov 2018 H | 40,000,000 | 2018/06/11 | 2018/11/26 | 14.52 |
| 66906 | J P Morgan SP BV - CC Bank R Bull CBBC Oct 2018 A | 100,000,000 | 2018/06/11 | 2018/10/12 | 25.00 |
| 66896 | J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/11 | 2018/10/12 | 10.00 |
| 66900 | J P Morgan SP BV - HKEX R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/11 | 2018/10/19 | 10.00 |
| 66911 | J P Morgan SP BV - HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/06/11 | 2019/11/28 | 50.00 |
| 66910 | J P Morgan SP BV - HSI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66912 | J P Morgan SP BV - HSI R Bull CBBC Dec 2019 P | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66917 | J P Morgan SP BV - HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66925 | J P Morgan SP BV - HSI R Bull CBBC Dec 2019 X | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66876 | J P Morgan SP BV - HSI R Bear CBBC Sep 2018 H | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66877 | J P Morgan SP BV - HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66887 | J P Morgan SP BV - HSI R Bear CBBC Sep 2018 J | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66890 | J P Morgan SP BV - HSI R Bear CBBC Sep 2018 K | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66928 | J P Morgan SP BV - HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66932 | J P Morgan SP BV - HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/06/11 | 2018/09/27 | 54.00 |
| 66893 | J P Morgan SP BV - Ping An R Bull CBBC Oct 2018 B | 100,000,000 | 2018/06/11 | 2018/10/12 | 25.00 |
| 66891 | J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/11 | 2018/10/12 | 10.00 |
| 66892 | J P Morgan SP BV - Tencent R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/11 | 2018/10/19 | 10.00 |
| 66755 | SG Issuer - COVS R Bull CBBC Sep 2019 A | 150,000,000 | 2018/06/11 | 2019/09/30 | 37.50 |
| 66752 | SG Issuer - China Life R Bull CBBC Dec 2018 G | 40,000,000 | 2018/06/11 | 2018/12/20 | 10.00 |
| 66693 | SG Issuer - CNOOC R Bull CBBC Dec 2018 E | 40,000,000 | 2018/06/11 | 2018/12/14 | 10.00 |
| 66716 | SG Issuer - CSOP A50 ETF R Bull CBBC May 2019 B | 60,000,000 | 2018/06/11 | 2019/05/30 | 15.00 |
| 66695 | SG Issuer - Galaxy Ent R Bull CBBC Dec 2018 K | 80,000,000 | 2018/06/11 | 2018/12/14 | 20.00 |
| 66736 | SG Issuer - HKEX R Bull CBBC Oct 2018 N | 50,000,000 | 2018/06/11 | 2018/10/05 | 12.50 |
| 66739 | SG Issuer - HKEX R Bull CBBC Nov 2018 V | 50,000,000 | 2018/06/11 | 2018/11/09 | 12.50 |
| 66728 | SG Issuer - HSI R Bull CBBC Oct 2019 R | 200,000,000 | 2018/06/11 | 2019/10/30 | 50.00 |
| 66731 | SG Issuer - HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/06/11 | 2019/11/28 | 50.00 |
| 66733 | SG Issuer - HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66734 | SG Issuer - HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66720 | SG Issuer - HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/06/11 | 2018/10/30 | 50.00 |
| 66745 | SG Issuer - HSI R Bear CBBC Nov 2018 L | 200,000,000 | 2018/06/11 | 2018/11/29 | 50.00 |
| 66746 | SG Issuer - HSI R Bear CBBC Nov 2018 N | 200,000,000 | 2018/06/11 | 2018/11/29 | 50.00 |
| 66747 | SG Issuer - HSI R Bear CBBC Dec 2018 M | 200,000,000 | 2018/06/11 | 2018/12/28 | 50.00 |
| 66748 | SG Issuer - HSI R Bear CBBC Dec 2018 N | 200,000,000 | 2018/06/11 | 2018/12/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66700 | SG Issuer – Ping An R Bull CBBC Oct 2018 R | 100,000,000 | 2018/06/11 | 2018/10/29 | 25.00 |
| 66699 | SG Issuer – Ping An R Bull CBBC Oct 2018 S | 100,000,000 | 2018/06/11 | 2018/10/19 | 25.00 |
| 66740 | SG Issuer – Ping An R Bull CBBC Dec 2018 A | 100,000,000 | 2018/06/11 | 2018/12/28 | 25.00 |
| 66704 | SG Issuer – Sands China R Bull CBBC Mar 2019 A | 60,000,000 | 2018/06/11 | 2019/03/28 | 15.00 |
| 66708 | SG Issuer – SUNAC R Bull CBBC Jul 2019 A | 80,000,000 | 2018/06/11 | 2019/07/22 | 20.00 |
| 66742 | SG Issuer – Tencent R Bull CBBC Sep 2018 C | 80,000,000 | 2018/06/11 | 2018/09/24 | 20.00 |
| 66934 | SG Issuer – Tencent R Bull CBBC Sep 2018 O | 80,000,000 | 2018/06/11 | 2018/09/27 | 20.00 |
| 66741 | SG Issuer – Tencent R Bull CBBC Sep 2018 S | 80,000,000 | 2018/06/11 | 2018/09/18 | 20.00 |
| 66717 | SG Issuer – Tencent R Bear CBBC Sep 2018 A | 80,000,000 | 2018/06/11 | 2018/09/18 | 20.00 |
| 66719 | SG Issuer – Tencent R Bear CBBC Sep 2018 B | 80,000,000 | 2018/06/11 | 2018/09/20 | 20.00 |
| 66749 | SG Issuer – Tencent R Bear CBBC Sep 2018 C | 80,000,000 | 2018/06/11 | 2018/09/21 | 20.00 |
| 66855 | UBS AG – CC Bank R Bull CBBC Oct 2018 O | 80,000,000 | 2018/06/11 | 2018/10/22 | 20.00 |
| 66867 | UBS AG – China Life R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/11 | 2018/10/15 | 10.00 |
| 66850 | UBS AG – CNOOC R Bull CBBC Oct 2018 K | 40,000,000 | 2018/06/11 | 2018/10/08 | 10.00 |
| 66870 | UBS AG – CSOP A50 ETF R Bull CBBC Oct 2018 K | 50,000,000 | 2018/06/11 | 2018/10/15 | 12.50 |
| 66830 | UBS AG – HSI R Bull CBBC Oct 2019 V | 250,000,000 | 2018/06/11 | 2019/10/30 | 62.50 |
| 66819 | UBS AG – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/06/11 | 2019/11/28 | 50.00 |
| 66828 | UBS AG – HSI R Bull CBBC Nov 2019 T | 250,000,000 | 2018/06/11 | 2019/11/28 | 62.50 |
| 66817 | UBS AG – HSI R Bull CBBC Dec 2019 W | 200,000,000 | 2018/06/11 | 2019/12/30 | 50.00 |
| 66606 | UBS AG – HSI R Bear CBBC Sep 2018 R | 250,000,000 | 2018/06/11 | 2018/09/27 | 62.50 |
| 66607 | UBS AG – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66614 | UBS AG – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/06/11 | 2018/09/27 | 50.00 |
| 66864 | UBS AG – ICBC R Bull CBBC Nov 2018 E | 100,000,000 | 2018/06/11 | 2018/11/05 | 25.00 |
| 66846 | UBS AG – Tencent R Bull CBBC Sep 2018 B | 30,000,000 | 2018/06/11 | 2018/09/20 | 10.20 |
| 66847 | UBS AG – Tencent R Bull CBBC Sep 2018 C | 30,000,000 | 2018/06/11 | 2018/09/13 | 10.20 |
| 66848 | UBS AG – Tencent R Bull CBBC Oct 2018 G | 30,000,000 | 2018/06/11 | 2018/10/08 | 10.20 |
| 66673 | Bank Vontobel – HKEX R Bear CBBC Nov 2018 C | 40,000,000 | 2018/06/11 | 2018/11/09 | 17.20 |
| 66674 | Bank Vontobel – HSI R Bull CBBC Jan 2019 G | 100,000,000 | 2018/06/11 | 2019/01/30 | 25.00 |
| 66680 | Bank Vontobel – HSI R Bull CBBC Jan 2019 H | 100,000,000 | 2018/06/11 | 2019/01/30 | 25.30 |
| 66683 | Bank Vontobel – HSI R Bull CBBC Jan 2019 I | 100,000,000 | 2018/06/11 | 2019/01/30 | 25.50 |
| 66681 | Bank Vontobel – HSI R Bear CBBC Nov 2018 O | 100,000,000 | 2018/06/11 | 2018/11/29 | 25.20 |
| 66671 | Bank Vontobel – Ping An R Bear CBBC Nov 2018 C | 40,000,000 | 2018/06/11 | 2018/11/16 | 10.12 |
| 66666 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 M | 40,000,000 | 2018/06/11 | 2018/12/07 | 10.20 |
| 66669 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 N | 40,000,000 | 2018/06/11 | 2018/12/07 | 10.32 |
| 67035 | BOCI Asia Ltd. – Galaxy Ent R Bull CBBC Oct 2018 B | 50,000,000 | 2018/06/12 | 2018/10/15 | 12.55 |
| 67029 | BOCI Asia Ltd. – Ping An R Bull CBBC Oct 2018 B | 70,000,000 | 2018/06/12 | 2018/10/22 | 21.84 |
| 67032 | BOCI Asia Ltd. – Ping An R Bear CBBC Oct 2018 A | 70,000,000 | 2018/06/12 | 2018/10/12 | 17.57 |
| 67017 | BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2018 C | 40,000,000 | 2018/06/12 | 2018/10/26 | 10.04 |
| 67019 | BOCI Asia Ltd. – Tencent R Bear CBBC Oct 2018 B | 40,000,000 | 2018/06/12 | 2018/10/19 | 10.32 |
| 67014 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 C | 250,000,000 | 2018/06/12 | 2019/12/30 | 62.50 |
| 67106 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 J | 250,000,000 | 2018/06/12 | 2019/01/30 | 62.50 |
| 67206 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 E | 50,000,000 | 2018/06/12 | 2018/09/27 | 12.50 |
| 67201 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 K | 50,000,000 | 2018/06/12 | 2018/09/27 | 13.00 |
| 67205 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 N | 50,000,000 | 2018/06/12 | 2018/09/27 | 12.50 |
| 67393 | Credit Suisse AG – China Life R Bull CBBC Dec 2018 B | 40,000,000 | 2018/06/12 | 2018/12/28 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67388 | Credit Suisse AG – China Life R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/12 | 2018/11/29 | 10.00 |
| 67402 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 H | 50,000,000 | 2018/06/12 | 2019/01/25 | 12.50 |
| 67418 | Credit Suisse AG – A50 China R Bull CBBC Feb 2019 A | 50,000,000 | 2018/06/12 | 2019/02/21 | 12.50 |
| 67406 | Credit Suisse AG – Geely Auto R Bull CBBC Jan 2019 D | 40,000,000 | 2018/06/12 | 2019/01/30 | 10.00 |
| 67409 | Credit Suisse AG – HKEX R Bull CBBC Feb 2019 A | 50,000,000 | 2018/06/12 | 2019/02/12 | 12.50 |
| 67375 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/06/12 | 2019/10/30 | 50.00 |
| 67399 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 T | 200,000,000 | 2018/06/12 | 2019/11/28 | 50.00 |
| 67398 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/06/12 | 2019/11/28 | 50.00 |
| 67387 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/06/12 | 2019/11/28 | 50.00 |
| 67386 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 C | 200,000,000 | 2018/06/12 | 2018/10/30 | 50.00 |
| 67397 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 A | 80,000,000 | 2018/06/12 | 2018/12/28 | 20.00 |
| 67384 | Credit Suisse AG – Ping An R Bear CBBC Dec 2018 D | 50,000,000 | 2018/06/12 | 2018/12/28 | 12.50 |
| 67382 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 G | 50,000,000 | 2018/06/12 | 2019/01/30 | 12.50 |
| 67411 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 H | 50,000,000 | 2018/06/12 | 2019/01/10 | 12.50 |
| 67098 | Bank of East Asia – China Mobile R Bull CBBC Oct 2018 C | 60,000,000 | 2018/06/12 | 2018/10/18 | 15.00 |
| 67099 | Bank of East Asia – CM Bank R Bull CBBC Oct 2018 A | 20,000,000 | 2018/06/12 | 2018/10/18 | 10.00 |
| 67096 | Bank of East Asia – Geely Auto R Bull CBBC Oct 2018 C | 40,000,000 | 2018/06/12 | 2018/10/18 | 10.00 |
| 67220 | Bank of East Asia – Galaxy Ent R Bear CBBC Jan 2019 A | 80,000,000 | 2018/06/12 | 2019/01/29 | 20.00 |
| 67089 | Bank of East Asia – Ping An R Bull CBBC Oct 2018 I | 120,000,000 | 2018/06/12 | 2018/10/18 | 30.00 |
| 67209 | Bank of East Asia – Tencent R Bull CBBC Oct 2018 A | 30,000,000 | 2018/06/12 | 2018/10/18 | 10.50 |
| 67101 | Bank of East Asia – Tencent R Bull CBBC Oct 2018 Y | 30,000,000 | 2018/06/12 | 2018/10/18 | 10.50 |
| 67103 | Bank of East Asia – Tencent R Bull CBBC Oct 2018 Z | 30,000,000 | 2018/06/12 | 2018/10/18 | 10.50 |
| 67331 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Feb 2019 A | 100,000,000 | 2018/06/12 | 2019/02/27 | 25.00 |
| 67112 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 O | 200,000,000 | 2018/06/12 | 2019/10/30 | 50.00 |
| 67119 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/06/12 | 2019/10/30 | 50.00 |
| 67139 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 Q | 200,000,000 | 2018/06/12 | 2019/10/30 | 50.00 |
| 67122 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 A | 200,000,000 | 2018/06/12 | 2018/11/29 | 50.00 |
| 67123 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 B | 200,000,000 | 2018/06/12 | 2018/11/29 | 50.00 |
| 67146 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 C | 200,000,000 | 2018/06/12 | 2018/11/29 | 50.00 |
| 67330 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Feb 2019 B | 100,000,000 | 2018/06/12 | 2019/02/27 | 25.00 |
| 67326 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 D | 100,000,000 | 2018/06/12 | 2019/02/27 | 25.00 |
| 67327 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 E | 100,000,000 | 2018/06/12 | 2019/02/27 | 25.00 |
| 67328 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 F | 100,000,000 | 2018/06/12 | 2019/02/27 | 25.00 |
| 67156 | HK Bank – AAC Tech R Bull CBBC Oct 2018 J | 40,000,000 | 2018/06/12 | 2018/10/29 | 10.00 |
| 67086 | HK Bank – AIA R Bull CBBC Nov 2018 C | 80,000,000 | 2018/06/12 | 2018/11/12 | 20.00 |
| 67160 | HK Bank – Bank of China R Bull CBBC Jan 2019 A | 100,000,000 | 2018/06/12 | 2019/01/14 | 25.00 |
| 67087 | HK Bank – CC Bank R Bull CBBC Nov 2018 A | 100,000,000 | 2018/06/12 | 2018/11/05 | 25.00 |
| 67082 | HK Bank – CNOOC R Bull CBBC Nov 2018 D | 50,000,000 | 2018/06/12 | 2018/11/19 | 12.50 |
| 67072 | HK Bank – Sinopec Corp R Bull CBBC Nov 2018 D | 80,000,000 | 2018/06/12 | 2018/11/12 | 20.00 |
| 67064 | HK Bank – Geely Auto R Bull CBBC Nov 2018 B | 40,000,000 | 2018/06/12 | 2018/11/12 | 10.00 |
| 67054 | HK Bank – HKEX R Bull CBBC Oct 2018 D | 50,000,000 | 2018/06/12 | 2018/10/29 | 12.50 |
| 67049 | HK Bank – HSCEI R Bull CBBC Mar 2019 D | 60,000,000 | 2018/06/12 | 2019/03/28 | 15.00 |
| 67042 | HK Bank – HSI R Bull CBBC Mar 2019 M | 150,000,000 | 2018/06/12 | 2019/03/28 | 37.50 |
| 67045 | HK Bank – HSI R Bull CBBC Mar 2019 N | 150,000,000 | 2018/06/12 | 2019/03/28 | 37.50 |
| 67036 | HK Bank – HSI R Bull CBBC Jun 2019 A | 150,000,000 | 2018/06/12 | 2019/06/27 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67080 | HK Bank – ICBC R Bull CBBC Nov 2018 A | 100,000,000 | 2018/06/12 | 2018/11/05 | 25.00 |
| 67074 | HK Bank – PetroChina R Bull CBBC Nov 2018 D | 80,000,000 | 2018/06/12 | 2018/11/19 | 20.00 |
| 67088 | HK Bank – Ping An R Bull CBBC Oct 2018 K | 100,000,000 | 2018/06/12 | 2018/10/15 | 25.00 |
| 67073 | HK Bank – Ping An R Bull CBBC Nov 2018 M | 100,000,000 | 2018/06/12 | 2018/11/05 | 25.00 |
| 67059 | HK Bank – Sunny Optical R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/12 | 2018/10/22 | 10.00 |
| 67152 | HK Bank – Tencent R Bull CBBC Oct 2018 I | 60,000,000 | 2018/06/12 | 2018/10/22 | 15.00 |
| 67050 | HK Bank – Tencent R Bull CBBC Nov 2018 R | 60,000,000 | 2018/06/12 | 2018/11/19 | 15.00 |
| 67052 | HK Bank – Tencent R Bull CBBC Dec 2018 B | 60,000,000 | 2018/06/12 | 2018/12/10 | 15.00 |
| 67276 | J P Morgan SP BV – China Overseas R Bull CBBC Jul 2019 A | 120,000,000 | 2018/06/12 | 2019/07/19 | 30.00 |
| 67271 | J P Morgan SP BV – China Life R Bull CBBC Sep 2018 A | 40,000,000 | 2018/06/12 | 2018/09/21 | 10.00 |
| 67272 | J P Morgan SP BV – China Life R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/12 | 2018/10/19 | 10.00 |
| 67259 | J P Morgan SP BV – Geely Auto R Bull CBBC Sep 2018 A | 40,000,000 | 2018/06/12 | 2018/09/21 | 10.00 |
| 67254 | J P Morgan SP BV – Geely Auto R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/12 | 2018/10/19 | 10.40 |
| 67289 | J P Morgan SP BV – Galaxy Ent R Bull CBBC Oct 2018 A | 100,000,000 | 2018/06/12 | 2018/10/19 | 25.00 |
| 67293 | J P Morgan SP BV – Galaxy Ent R Bull CBBC Nov 2018 A | 100,000,000 | 2018/06/12 | 2018/11/16 | 25.00 |
| 67277 | J P Morgan SP BV – HKEX R Bull CBBC Oct 2018 C | 40,000,000 | 2018/06/12 | 2018/10/12 | 10.00 |
| 67279 | J P Morgan SP BV – HKEX R Bull CBBC Nov 2018 A | 40,000,000 | 2018/06/12 | 2018/11/16 | 10.00 |
| 67261 | J P Morgan SP BV – HSBC R Bull CBBC Nov 2018 C | 100,000,000 | 2018/06/12 | 2018/11/16 | 25.00 |
| 67270 | J P Morgan SP BV – HSBC R Bear CBBC Sep 2018 A | 100,000,000 | 2018/06/12 | 2018/09/21 | 25.00 |
| 67267 | J P Morgan SP BV – HSBC R Bear CBBC Nov 2018 A | 100,000,000 | 2018/06/12 | 2018/11/09 | 25.00 |
| 67265 | J P Morgan SP BV – HSBC R Bear CBBC Dec 2018 A | 100,000,000 | 2018/06/12 | 2018/12/14 | 25.00 |
| 67318 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/06/12 | 2019/10/30 | 50.00 |
| 67314 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/06/12 | 2019/11/28 | 50.00 |
| 67320 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/06/12 | 2019/12/30 | 50.00 |
| 67305 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/06/12 | 2019/12/30 | 50.00 |
| 67236 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/06/12 | 2018/09/27 | 50.00 |
| 67321 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 O | 200,000,000 | 2018/06/12 | 2018/09/27 | 50.00 |
| 67325 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/06/12 | 2018/09/27 | 50.00 |
| 67237 | J P Morgan SP BV – Ping An R Bull CBBC Oct 2018 C | 100,000,000 | 2018/06/12 | 2018/10/19 | 25.00 |
| 67281 | J P Morgan SP BV – Ping An R Bear CBBC Oct 2018 A | 100,000,000 | 2018/06/12 | 2018/10/19 | 25.00 |
| 67283 | J P Morgan SP BV – Ping An R Bear CBBC Nov 2018 A | 100,000,000 | 2018/06/12 | 2018/11/16 | 25.00 |
| 67287 | J P Morgan SP BV – Ping An R Bear CBBC Dec 2018 A | 100,000,000 | 2018/06/12 | 2018/12/14 | 25.00 |
| 67303 | J P Morgan SP BV – Sunny Optical R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/12 | 2018/10/19 | 10.00 |
| 67296 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 K | 50,000,000 | 2018/06/12 | 2018/09/21 | 12.50 |
| 67298 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 L | 50,000,000 | 2018/06/12 | 2018/09/21 | 12.50 |
| 67252 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 J | 50,000,000 | 2018/06/12 | 2018/09/21 | 12.50 |
| 67301 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 K | 50,000,000 | 2018/06/12 | 2018/09/21 | 12.50 |
| 67111 | Macquarie Bank Ltd. – Ping An R Bull CBBC Jan 2019 B | 39,000,000 | 2018/06/12 | 2019/01/30 | 10.22 |
| 67107 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 I | 12,000,000 | 2018/06/12 | 2018/12/14 | 10.16 |
| 67362 | SG Issuer – CC Bank R Bull CBBC Oct 2018 N | 100,000,000 | 2018/06/12 | 2018/10/10 | 25.00 |
| 67347 | SG Issuer – Geely Auto R Bull CBBC Oct 2019 A | 100,000,000 | 2018/06/12 | 2019/10/31 | 25.00 |
| 67349 | SG Issuer – HSBC R Bull CBBC Nov 2018 Z | 100,000,000 | 2018/06/12 | 2018/11/16 | 25.00 |
| 67355 | SG Issuer – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/06/12 | 2019/10/30 | 50.00 |
| 67357 | SG Issuer – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/06/12 | 2019/10/30 | 50.00 |
| 67360 | SG Issuer – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/06/12 | 2019/12/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 67341 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/06/12 | 2018/09/27 | 50.00 |
| 67332 | SG Issuer – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/06/12 | 2018/10/30 | 50.00 |
| 67366 | SG Issuer – NCI R Bull CBBC May 2019 B | 80,000,000 | 2018/06/12 | 2019/05/27 | 20.00 |
| 67353 | SG Issuer – Ping An R Bull CBBC Oct 2018 P | 100,000,000 | 2018/06/12 | 2018/10/18 | 25.00 |
| 67352 | SG Issuer – Ping An R Bull CBBC Oct 2018 X | 100,000,000 | 2018/06/12 | 2018/10/12 | 25.00 |
| 67368 | SG Issuer – Tencent R Bull CBBC Sep 2018 K | 80,000,000 | 2018/06/12 | 2018/09/14 | 20.00 |
| 67369 | SG Issuer – Tencent R Bull CBBC Sep 2018 N | 80,000,000 | 2018/06/12 | 2018/09/18 | 20.00 |
| 67372 | SG Issuer – Tencent R Bull CBBC Oct 2018 X | 80,000,000 | 2018/06/12 | 2018/10/10 | 20.00 |
| 67371 | SG Issuer – Tencent R Bear CBBC Sep 2018 F | 80,000,000 | 2018/06/12 | 2018/09/28 | 20.00 |
| 67180 | UBS AG – HSBC R Bull CBBC Nov 2018 D | 80,000,000 | 2018/06/12 | 2018/11/12 | 20.00 |
| 67171 | UBS AG – HSI R Bull CBBC Oct 2019 W | 250,000,000 | 2018/06/12 | 2019/10/30 | 62.50 |
| 67174 | UBS AG – HSI R Bull CBBC Oct 2019 Z | 250,000,000 | 2018/06/12 | 2019/10/30 | 62.50 |
| 67170 | UBS AG – HSI R Bull CBBC Nov 2019 M | 200,000,000 | 2018/06/12 | 2019/11/28 | 50.00 |
| 67172 | UBS AG – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/06/12 | 2019/12/30 | 62.50 |
| 67165 | UBS AG – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/06/12 | 2018/09/27 | 50.00 |
| 67167 | UBS AG – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/06/12 | 2018/09/27 | 50.00 |
| 67186 | UBS AG – Ping An R Bull CBBC Nov 2018 W | 80,000,000 | 2018/06/12 | 2018/11/12 | 20.00 |
| 67190 | UBS AG – Tencent R Bull CBBC Sep 2018 A | 30,000,000 | 2018/06/12 | 2018/09/12 | 10.20 |
| 67192 | UBS AG – Tencent R Bull CBBC Sep 2018 E | 30,000,000 | 2018/06/12 | 2018/09/24 | 10.20 |
| 67195 | UBS AG – Tencent R Bull CBBC Oct 2018 H | 30,000,000 | 2018/06/12 | 2018/10/16 | 10.20 |
| 67426 | BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Nov 2018 W | 100,000,000 | 2018/06/13 | 2018/11/29 | 25.00 |
| 67428 | BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Nov 2018 X | 100,000,000 | 2018/06/13 | 2018/11/29 | 25.00 |
| 67432 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 W | 100,000,000 | 2018/06/13 | 2018/11/29 | 25.00 |
| 67434 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18 H | 60,000,000 | 2018/06/13 | 2018/11/29 | 15.00 |
| 67438 | BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Nov 18 I | 60,000,000 | 2018/06/13 | 2018/11/29 | 15.00 |
| 67446 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 I | 250,000,000 | 2018/06/13 | 2019/12/30 | 62.50 |
| 67453 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 T | 250,000,000 | 2018/06/13 | 2019/12/30 | 62.50 |
| 67419 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 K | 250,000,000 | 2018/06/13 | 2019/01/30 | 62.50 |
| 67425 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 L | 250,000,000 | 2018/06/13 | 2019/01/30 | 62.50 |
| 67439 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 N | 200,000,000 | 2018/06/13 | 2018/11/29 | 50.00 |
| 67554 | Credit Suisse AG – AIA R Bull CBBC Dec 2018 C | 70,000,000 | 2018/06/13 | 2018/12/21 | 17.50 |
| 67540 | Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2018 G | 50,000,000 | 2018/06/13 | 2018/11/29 | 12.50 |
| 67546 | Credit Suisse AG – Galaxy Ent R Bull CBBC Dec 2018 C | 50,000,000 | 2018/06/13 | 2018/12/28 | 12.50 |
| 67551 | Credit Suisse AG – HKEX R Bull CBBC Jan 2019 C | 50,000,000 | 2018/06/13 | 2019/01/30 | 12.50 |
| 67618 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 O | 200,000,000 | 2018/06/13 | 2019/09/27 | 50.00 |
| 67619 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 A | 200,000,000 | 2018/06/13 | 2019/10/30 | 50.00 |
| 67615 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 Q | 200,000,000 | 2018/06/13 | 2019/10/30 | 50.00 |
| 67612 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/06/13 | 2019/11/28 | 50.00 |
| 67508 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 K | 200,000,000 | 2018/06/13 | 2018/09/27 | 50.00 |
| 67512 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 R | 200,000,000 | 2018/06/13 | 2018/09/27 | 50.00 |
| 67536 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 D | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67559 | Credit Suisse AG – SUNAC R Bull CBBC Apr 2019 A | 80,000,000 | 2018/06/13 | 2019/04/29 | 20.00 |
| 67560 | Credit Suisse AG – Sunny Optical R Bull CBBC Feb 2019 A | 40,000,000 | 2018/06/13 | 2019/02/27 | 10.00 |
| 67563 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 I | 50,000,000 | 2018/06/13 | 2019/01/17 | 12.50 |
| 67620 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 J | 50,000,000 | 2018/06/13 | 2019/01/31 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 67525 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 L | 50,000,000 | 2018/06/13 | 2018/12/14 | 12.50 |
| 67722 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Dec 2018 N | 40,000,000 | 2018/06/13 | 2018/12/31 | 10.00 |
| 67713 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Dec 2018 R | 100,000,000 | 2018/06/13 | 2018/12/31 | 25.00 |
| 67724 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Jan 2019 A | 100,000,000 | 2018/06/13 | 2019/01/31 | 25.00 |
| 67698 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 A | 200,000,000 | 2018/06/13 | 2020/01/30 | 50.00 |
| 67704 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 B | 200,000,000 | 2018/06/13 | 2020/01/30 | 50.20 |
| 67696 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 Y | 200,000,000 | 2018/06/13 | 2020/01/30 | 50.00 |
| 67703 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 Z | 200,000,000 | 2018/06/13 | 2020/01/30 | 50.00 |
| 67489 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67492 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67494 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 D | 200,000,000 | 2018/06/13 | 2018/12/28 | 50.00 |
| 67495 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 E | 200,000,000 | 2018/06/13 | 2018/12/28 | 50.20 |
| 67497 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 F | 200,000,000 | 2018/06/13 | 2018/12/28 | 50.20 |
| 67498 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 G | 200,000,000 | 2018/06/13 | 2018/12/28 | 50.00 |
| 67500 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 H | 200,000,000 | 2018/06/13 | 2018/12/28 | 50.60 |
| 67502 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 I | 200,000,000 | 2018/06/13 | 2018/12/28 | 52.60 |
| 67708 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 G | 100,000,000 | 2018/06/13 | 2019/02/27 | 25.00 |
| 67709 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 H | 100,000,000 | 2018/06/13 | 2019/02/27 | 25.00 |
| 67710 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 I | 100,000,000 | 2018/06/13 | 2019/02/27 | 25.00 |
| 67460 | HK Bank – AAC Tech R Bull CBBC Dec 2018 B | 40,000,000 | 2018/06/13 | 2018/12/03 | 10.00 |
| 67465 | HK Bank – Galaxy Ent R Bull CBBC Nov 2018 E | 80,000,000 | 2018/06/13 | 2018/11/19 | 20.00 |
| 67467 | HK Bank – HKEX R Bull CBBC Nov 2018 M | 50,000,000 | 2018/06/13 | 2018/11/12 | 12.50 |
| 67454 | HK Bank – HSI R Bull CBBC Mar 2019 O | 100,000,000 | 2018/06/13 | 2019/03/28 | 25.00 |
| 67472 | HK Bank – HSI R Bull CBBC Mar 2019 Q | 150,000,000 | 2018/06/13 | 2019/03/28 | 37.50 |
| 67470 | HK Bank – HSI R Bear CBBC Oct 2018 X | 150,000,000 | 2018/06/13 | 2018/10/30 | 37.50 |
| 67459 | HK Bank – Ping An R Bull CBBC Nov 2018 N | 100,000,000 | 2018/06/13 | 2018/11/12 | 25.00 |
| 67461 | HK Bank – Sunny Optical R Bull CBBC Dec 2018 A | 40,000,000 | 2018/06/13 | 2018/12/03 | 10.00 |
| 67456 | HK Bank – Tencent R Bull CBBC Oct 2018 J | 60,000,000 | 2018/06/13 | 2018/10/18 | 15.00 |
| 67455 | HK Bank – Tencent R Bull CBBC Dec 2018 C | 60,000,000 | 2018/06/13 | 2018/12/03 | 15.00 |
| 67604 | J P Morgan SP BV – AAC Tech R Bull CBBC Oct 2018 A | 50,000,000 | 2018/06/13 | 2018/10/19 | 12.50 |
| 67606 | J P Morgan SP BV – AAC Tech R Bull CBBC Nov 2018 A | 50,000,000 | 2018/06/13 | 2018/11/16 | 12.50 |
| 67607 | J P Morgan SP BV – AAC Tech R Bear CBBC Oct 2018 A | 50,000,000 | 2018/06/13 | 2018/10/19 | 12.50 |
| 67580 | J P Morgan SP BV – AIA R Bull CBBC Oct 2018 A | 100,000,000 | 2018/06/13 | 2018/10/19 | 25.00 |
| 67611 | J P Morgan SP BV – CNOOC R Bull CBBC Oct 2018 H | 60,000,000 | 2018/06/13 | 2018/10/19 | 15.00 |
| 67623 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/06/13 | 2019/10/30 | 50.00 |
| 67622 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/06/13 | 2019/11/28 | 50.00 |
| 67621 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/06/13 | 2019/12/30 | 50.00 |
| 67577 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/06/13 | 2018/09/27 | 50.00 |
| 67629 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 A | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67637 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67609 | J P Morgan SP BV – PetroChina R Bull CBBC Nov 2018 A | 120,000,000 | 2018/06/13 | 2018/11/16 | 30.00 |
| 67598 | J P Morgan SP BV – Sunny Optical R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/13 | 2018/10/19 | 10.00 |
| 67602 | J P Morgan SP BV – Sunny Optical R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/13 | 2018/11/16 | 10.60 |
| 67578 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 M | 50,000,000 | 2018/06/13 | 2018/09/21 | 12.50 |
| 67579 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 N | 50,000,000 | 2018/06/13 | 2018/09/21 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67739 | SG Issuer – AAC Tech R Bull CBBC Nov 2018 E | 40,000,000 | 2018/06/13 | 2018/11/30 | 10.00 |
| 67727 | SG Issuer – AAC Tech R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/13 | 2018/10/26 | 10.00 |
| 67731 | SG Issuer – AAC Tech R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/13 | 2018/11/23 | 13.60 |
| 67751 | SG Issuer – HSI R Bull CBBC Sep 2019 L | 200,000,000 | 2018/06/13 | 2019/09/27 | 50.00 |
| 67753 | SG Issuer – HSI R Bull CBBC Sep 2019 N | 200,000,000 | 2018/06/13 | 2019/09/27 | 50.00 |
| 67757 | SG Issuer – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/06/13 | 2019/11/28 | 50.00 |
| 67760 | SG Issuer – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/06/13 | 2019/12/30 | 50.00 |
| 67749 | SG Issuer – HSI R Bear CBBC Oct 2018 Y | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67740 | SG Issuer – Sunny Optical R Bull CBBC Oct 2018 E | 40,000,000 | 2018/06/13 | 2018/10/26 | 10.00 |
| 67733 | SG Issuer – Sunny Optical R Bear CBBC Dec 2018 B | 40,000,000 | 2018/06/13 | 2018/12/28 | 15.80 |
| 67744 | SG Issuer – Tencent R Bull CBBC Sep 2018 T | 80,000,000 | 2018/06/13 | 2018/09/20 | 20.00 |
| 67745 | SG Issuer – Tencent R Bull CBBC Sep 2018 U | 80,000,000 | 2018/06/13 | 2018/09/28 | 20.00 |
| 67747 | SG Issuer – Tencent R Bull CBBC Sep 2018 Y | 80,000,000 | 2018/06/13 | 2018/09/20 | 20.00 |
| 67748 | SG Issuer – Tencent R Bull CBBC Sep 2018 Z | 80,000,000 | 2018/06/13 | 2018/09/28 | 20.00 |
| 67746 | SG Issuer – Tencent R Bull CBBC Oct 2018 Y | 80,000,000 | 2018/06/13 | 2018/10/05 | 20.00 |
| 67736 | SG Issuer – Tencent R Bear CBBC Sep 2018 G | 80,000,000 | 2018/06/13 | 2018/09/28 | 20.00 |
| 67680 | UBS AG – AAC Tech R Bull CBBC Nov 2018 B | 40,000,000 | 2018/06/13 | 2018/11/26 | 10.00 |
| 67685 | UBS AG – AAC Tech R Bear CBBC Oct 2018 B | 40,000,000 | 2018/06/13 | 2018/10/16 | 10.00 |
| 67687 | UBS AG – Bank of China R Bull CBBC Jan 2019 B | 60,000,000 | 2018/06/13 | 2019/01/28 | 15.00 |
| 67686 | UBS AG – China Life R Bull CBBC Sep 2018 O | 40,000,000 | 2018/06/13 | 2018/09/24 | 10.00 |
| 67679 | UBS AG – CNOOC R Bull CBBC Oct 2018 L | 40,000,000 | 2018/06/13 | 2018/10/10 | 10.00 |
| 67664 | UBS AG – HKEX R Bull CBBC Nov 2018 R | 50,000,000 | 2018/06/13 | 2018/11/12 | 12.50 |
| 67667 | UBS AG – HKEX R Bull CBBC Nov 2018 S | 50,000,000 | 2018/06/13 | 2018/11/12 | 12.50 |
| 67648 | UBS AG – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/06/13 | 2019/10/30 | 50.00 |
| 67639 | UBS AG – HSI R Bull CBBC Oct 2019 X | 250,000,000 | 2018/06/13 | 2019/10/30 | 62.50 |
| 67576 | UBS AG – HSI R Bull CBBC Nov 2019 E | 250,000,000 | 2018/06/13 | 2019/11/28 | 62.50 |
| 67643 | UBS AG – HSI R Bull CBBC Dec 2019 E | 250,000,000 | 2018/06/13 | 2019/12/30 | 62.50 |
| 67572 | UBS AG – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/06/13 | 2018/09/27 | 50.00 |
| 67567 | UBS AG – HSI R Bear CBBC Sep 2018 X | 250,000,000 | 2018/06/13 | 2018/09/27 | 62.50 |
| 67651 | UBS AG – HSI R Bear CBBC Oct 2018 C | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67662 | UBS AG – HSI R Bear CBBC Oct 2018 D | 200,000,000 | 2018/06/13 | 2018/10/30 | 50.00 |
| 67672 | UBS AG – Tencent R Bull CBBC Oct 2018 J | 30,000,000 | 2018/06/13 | 2018/10/18 | 10.20 |
| 67674 | UBS AG – Tencent R Bull CBBC Oct 2018 K | 30,000,000 | 2018/06/13 | 2018/10/24 | 10.20 |
| 67475 | Bank Vontobel – HSI R Bull CBBC Jan 2019 J | 100,000,000 | 2018/06/13 | 2019/01/30 | 25.30 |
| 67478 | Bank Vontobel – HSI R Bull CBBC Jan 2019 K | 100,000,000 | 2018/06/13 | 2019/01/30 | 25.30 |
| 67484 | Bank Vontobel – HSI R Bear CBBC Nov 2018 P | 100,000,000 | 2018/06/13 | 2018/11/29 | 25.20 |
| 67482 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 O | 40,000,000 | 2018/06/13 | 2018/12/07 | 10.00 |
| 67483 | Bank Vontobel – Tencent R Bear CBBC Oct 2018 B | 40,000,000 | 2018/06/13 | 2018/10/05 | 29.16 |
| 67858 | BOCI Asia Ltd. – HKEX R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/14 | 2018/10/10 | 10.00 |
| 67851 | BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/14 | 2018/10/08 | 10.00 |
| 67855 | BOCI Asia Ltd. – Tencent R Bear CBBC Oct 2018 C | 40,000,000 | 2018/06/14 | 2018/10/09 | 10.08 |
| 67800 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 D | 250,000,000 | 2018/06/14 | 2019/12/30 | 62.50 |
| 67804 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 E | 250,000,000 | 2018/06/14 | 2019/12/30 | 62.50 |
| 67991 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 G | 250,000,000 | 2018/06/14 | 2019/12/30 | 62.50 |
| 67780 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 M | 250,000,000 | 2018/06/14 | 2019/01/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 67784 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 N | 250,000,000 | 2018/06/14 | 2019/01/30 | 62.50 |
| 68071 | Credit Suisse AG – HKEX R Bull CBBC Dec 2018 F | 50,000,000 | 2018/06/14 | 2018/12/28 | 12.50 |
| 68100 | Credit Suisse AG – HSBC R Bull CBBC Jan 2019 B | 80,000,000 | 2018/06/14 | 2019/01/30 | 20.00 |
| 68095 | Credit Suisse AG – HSCEI R Bull CBBC Oct 2019 A | 100,000,000 | 2018/06/14 | 2019/10/30 | 25.00 |
| 68096 | Credit Suisse AG – HSCEI R Bear CBBC Oct 2018 A | 100,000,000 | 2018/06/14 | 2018/10/30 | 25.00 |
| 68097 | Credit Suisse AG – HSCEI R Bear CBBC Oct 2018 B | 100,000,000 | 2018/06/14 | 2018/10/30 | 25.00 |
| 68094 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 B | 200,000,000 | 2018/06/14 | 2019/10/30 | 50.00 |
| 68087 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 B | 200,000,000 | 2018/06/14 | 2019/11/28 | 50.00 |
| 68089 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/06/14 | 2019/11/28 | 50.00 |
| 68090 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/06/14 | 2019/12/30 | 50.00 |
| 68059 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 E | 200,000,000 | 2018/06/14 | 2018/10/30 | 50.00 |
| 68060 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 F | 200,000,000 | 2018/06/14 | 2018/10/30 | 50.00 |
| 68062 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 A | 200,000,000 | 2018/06/14 | 2018/11/29 | 50.00 |
| 68081 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 B | 200,000,000 | 2018/06/14 | 2018/11/29 | 50.00 |
| 68080 | Credit Suisse AG – PetroChina R Bull CBBC Dec 2018 E | 50,000,000 | 2018/06/14 | 2018/12/28 | 12.50 |
| 68076 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 K | 50,000,000 | 2018/06/14 | 2019/01/24 | 12.50 |
| 68101 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 L | 50,000,000 | 2018/06/14 | 2019/01/11 | 12.50 |
| 68079 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 M | 50,000,000 | 2018/06/14 | 2018/12/28 | 12.50 |
| 68162 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Feb 2019 B | 100,000,000 | 2018/06/14 | 2019/02/27 | 25.00 |
| 68145 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Feb 2019 B | 100,000,000 | 2018/06/14 | 2019/02/27 | 25.00 |
| 68148 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jan 2019 B | 100,000,000 | 2018/06/14 | 2019/01/31 | 25.00 |
| 68133 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 C | 200,000,000 | 2018/06/14 | 2020/01/30 | 50.20 |
| 68139 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 D | 200,000,000 | 2018/06/14 | 2020/01/30 | 50.00 |
| 68136 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 E | 200,000,000 | 2018/06/14 | 2020/01/30 | 50.00 |
| 67900 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/06/14 | 2018/09/27 | 50.00 |
| 67906 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 V | 200,000,000 | 2018/06/14 | 2018/10/30 | 50.00 |
| 68144 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 J | 100,000,000 | 2018/06/14 | 2019/02/27 | 25.00 |
| 68141 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 J | 100,000,000 | 2018/06/14 | 2019/02/27 | 25.00 |
| 68142 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 K | 100,000,000 | 2018/06/14 | 2019/02/27 | 25.00 |
| 68143 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Feb 2019 A | 100,000,000 | 2018/06/14 | 2019/02/27 | 25.00 |
| 67849 | HK Bank – China Mobile R Bull CBBC Nov 2018 B | 50,000,000 | 2018/06/14 | 2018/11/19 | 12.50 |
| 67837 | HK Bank – China Life R Bull CBBC Nov 2018 A | 40,000,000 | 2018/06/14 | 2018/11/12 | 10.00 |
| 67821 | HK Bank – CSOP A50 ETF R Bull CBBC Nov 2018 D | 50,000,000 | 2018/06/14 | 2018/11/19 | 12.50 |
| 67822 | HK Bank – A50 China R Bull CBBC Nov 2018 D | 50,000,000 | 2018/06/14 | 2018/11/19 | 12.50 |
| 67836 | HK Bank – Galaxy Ent R Bear CBBC Nov 2018 B | 40,000,000 | 2018/06/14 | 2018/11/12 | 10.00 |
| 67826 | HK Bank – HKEX R Bull CBBC Nov 2018 N | 50,000,000 | 2018/06/14 | 2018/11/19 | 12.50 |
| 67811 | HK Bank – HSI R Bull CBBC Mar 2019 P | 150,000,000 | 2018/06/14 | 2019/03/28 | 37.50 |
| 67810 | HK Bank – HSI R Bull CBBC Jun 2019 B | 150,000,000 | 2018/06/14 | 2019/06/27 | 37.50 |
| 67799 | HK Bank – HSI R Bear CBBC Oct 2018 Y | 150,000,000 | 2018/06/14 | 2018/10/30 | 37.50 |
| 67830 | HK Bank – Ping An R Bull CBBC Oct 2018 E | 100,000,000 | 2018/06/14 | 2018/10/25 | 25.00 |
| 67850 | HK Bank – SUNAC R Bull CBBC Mar 2019 B | 100,000,000 | 2018/06/14 | 2019/03/11 | 25.00 |
| 67817 | HK Bank – Tencent R Bull CBBC Oct 2018 L | 60,000,000 | 2018/06/14 | 2018/10/25 | 15.00 |
| 67816 | HK Bank – Tencent R Bull CBBC Nov 2018 S | 60,000,000 | 2018/06/14 | 2018/11/15 | 15.00 |
| 67827 | HK Bank – Tencent R Bear CBBC Nov 2018 E | 50,000,000 | 2018/06/14 | 2018/11/19 | 15.00 |
| 68051 | J P Morgan SP BV – AAC Tech R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/14 | 2018/11/16 | 13.40 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68043 | J P Morgan SP BV – HKEX R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/14 | 2018/10/19 | 10.00 |
| 68044 | J P Morgan SP BV – HKEX R Bull CBBC Oct 2018 E | 40,000,000 | 2018/06/14 | 2018/10/19 | 10.00 |
| 68046 | J P Morgan SP BV – HKEX R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/14 | 2018/10/12 | 15.80 |
| 68016 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/06/14 | 2019/10/30 | 50.00 |
| 68015 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/06/14 | 2019/11/28 | 50.00 |
| 68057 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/06/14 | 2019/12/30 | 50.00 |
| 68017 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/06/14 | 2019/12/30 | 50.00 |
| 67998 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/06/14 | 2018/09/27 | 50.00 |
| 68000 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/06/14 | 2018/09/27 | 50.00 |
| 68018 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 C | 200,000,000 | 2018/06/14 | 2018/10/30 | 50.00 |
| 68030 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 D | 200,000,000 | 2018/06/14 | 2018/10/30 | 50.00 |
| 68034 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 E | 200,000,000 | 2018/06/14 | 2018/10/30 | 50.00 |
| 68056 | J P Morgan SP BV – Sunny Optical R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/14 | 2018/10/19 | 14.60 |
| 68035 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 C | 50,000,000 | 2018/06/14 | 2018/10/12 | 12.50 |
| 68039 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 D | 50,000,000 | 2018/06/14 | 2018/10/12 | 12.50 |
| 67866 | Macquarie Bank Ltd. – HKEX R Bull CBBC Dec 2018 B | 17,000,000 | 2018/06/14 | 2018/12/18 | 10.42 |
| 67870 | Macquarie Bank Ltd. – HKEX R Bull CBBC Dec 2018 C | 17,000,000 | 2018/06/14 | 2018/12/14 | 10.34 |
| 67873 | Macquarie Bank Ltd. – HKEX R Bull CBBC Dec 2018 D | 16,000,000 | 2018/06/14 | 2018/12/14 | 10.26 |
| 67887 | Macquarie Bank Ltd. – HSBC R Bull CBBC Dec 2018 B | 40,000,000 | 2018/06/14 | 2018/12/28 | 10.56 |
| 67890 | Macquarie Bank Ltd. – HSBC R Bull CBBC Dec 2018 C | 40,000,000 | 2018/06/14 | 2018/12/28 | 10.20 |
| 67880 | Macquarie Bank Ltd. – HSBC R Bear CBBC Dec 2018 A | 40,000,000 | 2018/06/14 | 2018/12/28 | 12.76 |
| 67874 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 J | 10,500,000 | 2018/06/14 | 2018/12/14 | 10.37 |
| 67877 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 K | 11,500,000 | 2018/06/14 | 2018/12/14 | 10.33 |
| 67879 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 L | 11,000,000 | 2018/06/14 | 2018/12/14 | 10.37 |
| 68104 | SG Issuer – HKEX R Bull CBBC Sep 2018 T | 50,000,000 | 2018/06/14 | 2018/09/28 | 12.50 |
| 68116 | SG Issuer – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/06/14 | 2019/10/30 | 50.00 |
| 68117 | SG Issuer – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/06/14 | 2019/10/30 | 50.00 |
| 68124 | SG Issuer – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/06/14 | 2019/10/30 | 50.00 |
| 68126 | SG Issuer – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/06/14 | 2019/12/30 | 50.00 |
| 68115 | SG Issuer – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/06/14 | 2018/09/27 | 50.00 |
| 68107 | SG Issuer – Ping An R Bull CBBC Oct 2018 Q | 100,000,000 | 2018/06/14 | 2018/10/26 | 25.00 |
| 68108 | SG Issuer – Sunny Optical R Bull CBBC Sep 2018 F | 40,000,000 | 2018/06/14 | 2018/09/28 | 10.00 |
| 68113 | SG Issuer – Tencent R Bull CBBC Oct 2018 B | 80,000,000 | 2018/06/14 | 2018/10/12 | 20.00 |
| 68112 | SG Issuer – Tencent R Bull CBBC Oct 2018 D | 80,000,000 | 2018/06/14 | 2018/10/05 | 20.00 |
| 68128 | SG Issuer – Tencent R Bull CBBC Oct 2018 E | 80,000,000 | 2018/06/14 | 2018/10/05 | 20.00 |
| 68110 | SG Issuer – Tencent R Bull CBBC Oct 2018 Z | 80,000,000 | 2018/06/14 | 2018/10/05 | 20.00 |
| 68114 | SG Issuer – Tencent R Bear CBBC Oct 2018 L | 80,000,000 | 2018/06/14 | 2018/10/12 | 20.00 |
| 67990 | UBS AG – HKEX R Bull CBBC Nov 2018 T | 50,000,000 | 2018/06/14 | 2018/11/07 | 12.50 |
| 67939 | UBS AG – HSI R Bull CBBC Oct 2019 N | 200,000,000 | 2018/06/14 | 2019/10/30 | 50.00 |
| 67933 | UBS AG – HSI R Bull CBBC Nov 2019 L | 250,000,000 | 2018/06/14 | 2019/11/28 | 62.50 |
| 67940 | UBS AG – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/06/14 | 2019/11/28 | 50.00 |
| 67932 | UBS AG – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/06/14 | 2019/12/30 | 50.00 |
| 67915 | UBS AG – HSI R Bear CBBC Sep 2018 E | 250,000,000 | 2018/06/14 | 2018/09/27 | 62.50 |
| 67916 | UBS AG – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/06/14 | 2018/09/27 | 50.00 |
| 67945 | UBS AG – HSI R Bear CBBC Sep 2018 G | 250,000,000 | 2018/06/14 | 2018/09/27 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67947 | UBS AG – HSI R Bear CBBC Oct 2018 E | 250,000,000 | 2018/06/14 | 2018/10/30 | 62.50 |
| 67959 | UBS AG – HSI R Bear CBBC Oct 2018 F | 250,000,000 | 2018/06/14 | 2018/10/30 | 62.50 |
| 67970 | UBS AG – HSI R Bear CBBC Oct 2018 G | 250,000,000 | 2018/06/14 | 2018/10/30 | 62.50 |
| 67987 | UBS AG – Tencent R Bull CBBC Oct 2018 I | 30,000,000 | 2018/06/14 | 2018/10/09 | 10.20 |
| 67979 | UBS AG – Tencent R Bull CBBC Oct 2018 L | 30,000,000 | 2018/06/14 | 2018/10/03 | 10.20 |
| 67919 | UBS AG – Tencent R Bear CBBC Oct 2018 I | 30,000,000 | 2018/06/14 | 2018/10/26 | 10.20 |
| 67921 | UBS AG – Tencent R Bear CBBC Oct 2018 J | 30,000,000 | 2018/06/14 | 2018/10/08 | 13.05 |
| 67786 | Bank Vontobel – HSI R Bull CBBC Jan 2019 L | 100,000,000 | 2018/06/14 | 2019/01/30 | 25.10 |
| 67798 | Bank Vontobel – HSI R Bull CBBC Jan 2019 M | 100,000,000 | 2018/06/14 | 2019/01/30 | 25.50 |
| 67762 | Bank Vontobel – HSI R Bear CBBC Nov 2018 Q | 100,000,000 | 2018/06/14 | 2018/11/29 | 25.10 |
| 67765 | Bank Vontobel – HSI R Bear CBBC Nov 2018 R | 100,000,000 | 2018/06/14 | 2018/11/29 | 25.30 |
| 68197 | BOCI Asia Ltd. – Geely Auto R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/15 | 2018/10/29 | 10.16 |
| 68196 | BOCI Asia Ltd. – Galaxy Ent R Bull CBBC Oct 2018 C | 50,000,000 | 2018/06/15 | 2018/10/29 | 12.65 |
| 68198 | BOCI Asia Ltd. – ICBC R Bull CBBC Oct 2018 B | 80,000,000 | 2018/06/15 | 2018/10/29 | 20.32 |
| 68189 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 F | 50,000,000 | 2018/06/15 | 2018/09/27 | 12.50 |
| 68192 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Sep 2018 H | 50,000,000 | 2018/06/15 | 2018/09/27 | 12.50 |
| 68195 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 O | 50,000,000 | 2018/06/15 | 2018/11/29 | 12.50 |
| 68194 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 P | 50,000,000 | 2018/06/15 | 2018/11/29 | 12.50 |
| 68270 | Credit Suisse AG – HSBC R Bull CBBC Jan 2019 C | 80,000,000 | 2018/06/15 | 2019/01/25 | 20.00 |
| 68265 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/06/15 | 2019/10/30 | 50.00 |
| 68266 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 D | 200,000,000 | 2018/06/15 | 2019/10/30 | 50.00 |
| 68268 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 C | 200,000,000 | 2018/06/15 | 2019/11/28 | 50.00 |
| 68269 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/06/15 | 2019/12/30 | 62.50 |
| 68272 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 Q | 250,000,000 | 2018/06/15 | 2019/12/30 | 62.50 |
| 68273 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68276 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68274 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 G | 200,000,000 | 2018/06/15 | 2018/10/30 | 50.00 |
| 68277 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/06/15 | 2018/10/30 | 50.00 |
| 68280 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 M | 50,000,000 | 2018/06/15 | 2019/01/24 | 12.50 |
| 68217 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2018 M | 100,000,000 | 2018/06/15 | 2018/12/31 | 25.00 |
| 68202 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 F | 200,000,000 | 2018/06/15 | 2020/01/30 | 50.00 |
| 68204 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 G | 200,000,000 | 2018/06/15 | 2020/01/30 | 50.00 |
| 68207 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 H | 200,000,000 | 2018/06/15 | 2020/01/30 | 50.00 |
| 68223 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 I | 200,000,000 | 2018/06/15 | 2020/01/30 | 50.00 |
| 68291 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 X | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68297 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.20 |
| 68300 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68213 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 L | 100,000,000 | 2018/06/15 | 2019/02/27 | 25.00 |
| 68332 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Feb 2019 B | 100,000,000 | 2018/06/15 | 2019/02/27 | 25.00 |
| 68183 | HK Bank – Sinopec Corp R Bull CBBC Nov 2018 E | 80,000,000 | 2018/06/15 | 2018/11/19 | 20.00 |
| 68173 | HK Bank – HKEX R Bull CBBC Nov 2018 O | 50,000,000 | 2018/06/15 | 2018/11/26 | 12.50 |
| 68165 | HK Bank – HSI R Bull CBBC Mar 2019 R | 150,000,000 | 2018/06/15 | 2019/03/28 | 37.50 |
| 68175 | HK Bank – HSI R Bull CBBC Jul 2019 A | 150,000,000 | 2018/06/15 | 2019/07/30 | 37.50 |
| 68182 | HK Bank – HSI R Bull CBBC Sep 2019 A | 100,000,000 | 2018/06/15 | 2019/09/27 | 25.00 |
| 68174 | HK Bank – HSI R Bear CBBC Oct 2018 Z | 100,000,000 | 2018/06/15 | 2018/10/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68187 | HK Bank – NCI R Bull CBBC Feb 2019 A | 40,000,000 | 2018/06/15 | 2019/02/11 | 10.00 |
| 68171 | HK Bank – Ping An R Bull CBBC Nov 2018 O | 100,000,000 | 2018/06/15 | 2018/11/22 | 25.00 |
| 68164 | HK Bank – Tencent R Bull CBBC Nov 2018 T | 60,000,000 | 2018/06/15 | 2018/11/08 | 15.00 |
| 68169 | HK Bank – Tencent R Bull CBBC Nov 2018 U | 60,000,000 | 2018/06/15 | 2018/11/22 | 15.00 |
| 68166 | HK Bank – Tencent R Bear CBBC Nov 2018 F | 50,000,000 | 2018/06/15 | 2018/11/26 | 12.50 |
| 68230 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 K | 200,000,000 | 2018/06/15 | 2019/10/30 | 50.00 |
| 68228 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/06/15 | 2019/11/28 | 50.00 |
| 68227 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/06/15 | 2019/12/30 | 50.00 |
| 68252 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 A | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68238 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 X | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68239 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68245 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68233 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 E | 50,000,000 | 2018/06/15 | 2018/10/12 | 12.50 |
| 68246 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 L | 50,000,000 | 2018/06/15 | 2018/09/21 | 12.50 |
| 68248 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 A | 50,000,000 | 2018/06/15 | 2018/10/12 | 12.50 |
| 68328 | SG Issuer – HSI R Bull CBBC Oct 2019 D | 200,000,000 | 2018/06/15 | 2019/10/30 | 50.00 |
| 68329 | SG Issuer – HSI R Bull CBBC Dec 2019 T | 200,000,000 | 2018/06/15 | 2019/12/30 | 50.00 |
| 68315 | SG Issuer – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68316 | SG Issuer – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/06/15 | 2018/10/30 | 50.00 |
| 68325 | SG Issuer – HSI R Bear CBBC Dec 2018 O | 200,000,000 | 2018/06/15 | 2018/12/28 | 50.00 |
| 68301 | SG Issuer – Ping An R Bull CBBC Nov 2018 A | 100,000,000 | 2018/06/15 | 2018/11/26 | 25.00 |
| 68306 | SG Issuer – Tencent R Bull CBBC Oct 2018 C | 80,000,000 | 2018/06/15 | 2018/10/05 | 20.00 |
| 68309 | SG Issuer – Tencent R Bull CBBC Oct 2018 F | 80,000,000 | 2018/06/15 | 2018/10/12 | 20.00 |
| 68313 | SG Issuer – Tencent R Bull CBBC Oct 2018 I | 80,000,000 | 2018/06/15 | 2018/10/12 | 20.00 |
| 68310 | SG Issuer – Tencent R Bull CBBC Oct 2018 J | 80,000,000 | 2018/06/15 | 2018/10/05 | 20.00 |
| 68312 | SG Issuer – Tencent R Bull CBBC Oct 2018 K | 80,000,000 | 2018/06/15 | 2018/10/05 | 20.00 |
| 68254 | UBS AG – HSI R Bull CBBC Nov 2019 U | 250,000,000 | 2018/06/15 | 2019/11/28 | 62.50 |
| 68257 | UBS AG – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/06/15 | 2019/12/30 | 50.00 |
| 68260 | UBS AG – HSI R Bull CBBC Dec 2019 G | 250,000,000 | 2018/06/15 | 2019/12/30 | 62.50 |
| 68283 | UBS AG – HSI R Bear CBBC Sep 2018 H | 250,000,000 | 2018/06/15 | 2018/09/27 | 62.50 |
| 68285 | UBS AG – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/06/15 | 2018/09/27 | 50.00 |
| 68290 | UBS AG – HSI R Bear CBBC Sep 2018 J | 250,000,000 | 2018/06/15 | 2018/09/27 | 62.50 |
| 68284 | UBS AG – HSI R Bear CBBC Oct 2018 H | 250,000,000 | 2018/06/15 | 2018/10/30 | 62.50 |
| 68261 | UBS AG – Tencent R Bull CBBC Oct 2018 M | 30,000,000 | 2018/06/15 | 2018/10/02 | 10.20 |
| 68282 | UBS AG – Tencent R Bear CBBC Oct 2018 K | 30,000,000 | 2018/06/15 | 2018/10/10 | 10.20 |
| 68199 | Bank Vontobel – Tencent R Bull CBBC Nov 2018 E | 40,000,000 | 2018/06/15 | 2018/11/16 | 10.16 |
| 68200 | Bank Vontobel – Tencent R Bear CBBC Oct 2018 C | 40,000,000 | 2018/06/15 | 2018/10/26 | 11.20 |
| 68201 | Bank Vontobel – Tencent R Bear CBBC Oct 2018 D | 40,000,000 | 2018/06/15 | 2018/10/26 | 10.04 |
| 68400 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 H | 250,000,000 | 2018/06/19 | 2019/12/30 | 62.50 |
| 68422 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 M | 250,000,000 | 2018/06/19 | 2019/12/30 | 62.50 |
| 68405 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 O | 250,000,000 | 2018/06/19 | 2019/01/30 | 62.50 |
| 68410 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 P | 250,000,000 | 2018/06/19 | 2019/01/30 | 62.50 |
| 68413 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 Q | 250,000,000 | 2018/06/19 | 2019/01/30 | 62.50 |
| 68421 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 R | 250,000,000 | 2018/06/19 | 2019/01/30 | 62.50 |
| 68561 | Credit Suisse AG – Geely Auto R Bull CBBC Dec 2018 J | 40,000,000 | 2018/06/19 | 2018/12/14 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68558 | Credit Suisse AG – Galaxy Ent R Bull CBBC Dec 2018 D | 50,000,000 | 2018/06/19 | 2018/12/28 | 12.50 |
| 68563 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 D | 200,000,000 | 2018/06/19 | 2019/11/28 | 50.00 |
| 68539 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68546 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68547 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68562 | Credit Suisse AG – Ping An R Bull CBBC Dec 2018 C | 80,000,000 | 2018/06/19 | 2018/12/21 | 20.00 |
| 68568 | Credit Suisse AG – Tencent R Bear CBBC Dec 2018 N | 50,000,000 | 2018/06/19 | 2018/12/21 | 12.50 |
| 68511 | Bank of East Asia – AAC Tech R Bull CBBC Oct 2018 A | 40,000,000 | 2018/06/19 | 2018/10/11 | 10.00 |
| 68509 | Bank of East Asia – Galaxy Ent R Bull CBBC Oct 2018 D | 16,000,000 | 2018/06/19 | 2018/10/11 | 10.40 |
| 68518 | Bank of East Asia – Ping An R Bull CBBC Oct 2018 J | 120,000,000 | 2018/06/19 | 2018/10/11 | 30.00 |
| 68501 | Bank of East Asia – Tencent R Bull CBBC Oct 2018 B | 30,000,000 | 2018/06/19 | 2018/10/11 | 10.50 |
| 68527 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Feb 2019 E | 100,000,000 | 2018/06/19 | 2019/02/27 | 25.00 |
| 68532 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Mar 2019 D | 100,000,000 | 2018/06/19 | 2019/03/27 | 25.00 |
| 68524 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Jan 2019 B | 100,000,000 | 2018/06/19 | 2019/01/31 | 25.00 |
| 68467 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 R | 200,000,000 | 2018/06/19 | 2019/10/30 | 50.00 |
| 68336 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 A | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68465 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68520 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Feb 2019 C | 100,000,000 | 2018/06/19 | 2019/02/28 | 25.00 |
| 68342 | HK Bank – China Overseas R Bull CBBC Jul 2019 A | 100,000,000 | 2018/06/19 | 2019/07/22 | 25.00 |
| 68398 | HK Bank – CNOOC R Bear CBBC Dec 2018 A | 40,000,000 | 2018/06/19 | 2018/12/03 | 10.00 |
| 68393 | HK Bank – HSI R Bull CBBC Jul 2019 B | 100,000,000 | 2018/06/19 | 2019/07/30 | 25.00 |
| 68394 | HK Bank – HSI R Bull CBBC Sep 2019 B | 100,000,000 | 2018/06/19 | 2019/09/27 | 25.00 |
| 68390 | HK Bank – HSI R Bear CBBC Oct 2018 A | 150,000,000 | 2018/06/19 | 2018/10/30 | 37.50 |
| 68391 | HK Bank – PetroChina R Bear CBBC Dec 2018 A | 40,000,000 | 2018/06/19 | 2018/12/17 | 10.00 |
| 68386 | HK Bank – Tencent R Bull CBBC Nov 2018 V | 60,000,000 | 2018/06/19 | 2018/11/01 | 15.00 |
| 68348 | HK Bank – Tencent R Bull CBBC Dec 2018 D | 60,000,000 | 2018/06/19 | 2018/12/24 | 18.00 |
| 68346 | HK Bank – Tencent R Bear CBBC Nov 2018 G | 50,000,000 | 2018/06/19 | 2018/11/12 | 12.50 |
| 68473 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/06/19 | 2019/11/28 | 50.00 |
| 68468 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68475 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 C | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68486 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68491 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68493 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 F | 50,000,000 | 2018/06/19 | 2018/10/19 | 12.50 |
| 68500 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 B | 50,000,000 | 2018/06/19 | 2018/10/19 | 12.50 |
| 68449 | Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2018 D | 15,500,000 | 2018/06/19 | 2018/10/16 | 10.03 |
| 68456 | Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2018 E | 14,500,000 | 2018/06/19 | 2018/10/16 | 10.06 |
| 68460 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 I | 20,600,000 | 2018/06/19 | 2018/10/16 | 10.03 |
| 68464 | Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2018 J | 16,900,000 | 2018/06/19 | 2018/10/16 | 10.06 |
| 68578 | SG Issuer – AIA R Bull CBBC Dec 2018 L | 60,000,000 | 2018/06/19 | 2018/12/12 | 15.00 |
| 68574 | SG Issuer – China Life R Bull CBBC Dec 2018 H | 40,000,000 | 2018/06/19 | 2018/12/20 | 10.00 |
| 68589 | SG Issuer – Geely Auto R Bull CBBC Sep 2018 L | 40,000,000 | 2018/06/19 | 2018/09/27 | 10.00 |
| 68586 | SG Issuer – Galaxy Ent R Bull CBBC Dec 2018 L | 80,000,000 | 2018/06/19 | 2018/12/14 | 20.00 |
| 68587 | SG Issuer – Galaxy Ent R Bull CBBC Dec 2018 M | 80,000,000 | 2018/06/19 | 2018/12/19 | 20.00 |
| 68585 | SG Issuer – Galaxy Ent R Bear CBBC Oct 2018 G | 80,000,000 | 2018/06/19 | 2018/10/31 | 20.00 |
| 68592 | SG Issuer – HSBC R Bull CBBC Nov 2018 C | 100,000,000 | 2018/06/19 | 2018/11/08 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68613 | SG Issuer – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/06/19 | 2019/12/30 | 50.00 |
| 68606 | SG Issuer – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/06/19 | 2018/09/27 | 50.00 |
| 68607 | SG Issuer – HSI R Bear CBBC Nov 2018 O | 200,000,000 | 2018/06/19 | 2018/11/29 | 50.00 |
| 68604 | SG Issuer – PetroChina R Bull CBBC Dec 2018 F | 40,000,000 | 2018/06/19 | 2018/12/13 | 10.00 |
| 68594 | SG Issuer – Ping An R Bull CBBC Nov 2018 Z | 100,000,000 | 2018/06/19 | 2018/11/16 | 25.00 |
| 68602 | SG Issuer – Sands China R Bull CBBC Mar 2019 B | 60,000,000 | 2018/06/19 | 2019/03/25 | 15.00 |
| 68614 | SG Issuer – Tencent R Bull CBBC Oct 2018 M | 80,000,000 | 2018/06/19 | 2018/10/08 | 20.00 |
| 68576 | SG Issuer – Tencent R Bear CBBC Oct 2018 M | 80,000,000 | 2018/06/19 | 2018/10/04 | 20.00 |
| 68445 | UBS AG – Geely Auto R Bull CBBC Nov 2018 D | 40,000,000 | 2018/06/19 | 2018/11/12 | 10.00 |
| 68444 | UBS AG – Galaxy Ent R Bull CBBC Nov 2018 B | 40,000,000 | 2018/06/19 | 2018/11/05 | 10.00 |
| 68423 | UBS AG – HSI R Bull CBBC Dec 2019 H | 200,000,000 | 2018/06/19 | 2019/12/30 | 50.00 |
| 68424 | UBS AG – HSI R Bear CBBC Sep 2018 B | 250,000,000 | 2018/06/19 | 2018/09/27 | 62.50 |
| 68431 | UBS AG – HSI R Bear CBBC Oct 2018 I | 250,000,000 | 2018/06/19 | 2018/10/30 | 62.50 |
| 68443 | UBS AG – HSI R Bear CBBC Oct 2018 J | 250,000,000 | 2018/06/19 | 2018/10/30 | 62.50 |
| 68446 | UBS AG – Tencent R Bear CBBC Oct 2018 L | 30,000,000 | 2018/06/19 | 2018/10/30 | 10.20 |
| 68338 | Bank Vontobel – HKEX R Bull CBBC Oct 2018 E | 40,000,000 | 2018/06/19 | 2018/10/19 | 10.08 |
| 68339 | Bank Vontobel – HSBC R Bull CBBC Nov 2018 A | 40,000,000 | 2018/06/19 | 2018/11/09 | 10.12 |
| 68341 | Bank Vontobel – HSBC R Bear CBBC Dec 2018 A | 40,000,000 | 2018/06/19 | 2018/12/07 | 10.12 |
| 68340 | Bank Vontobel – Ping An R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/19 | 2018/10/26 | 10.24 |
| 68637 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 S | 250,000,000 | 2018/06/20 | 2019/01/30 | 62.50 |
| 68720 | Credit Suisse AG – CNOOC R Bull CBBC Dec 2018 F | 50,000,000 | 2018/06/20 | 2018/12/28 | 12.50 |
| 68727 | Credit Suisse AG – HKEX R Bull CBBC Dec 2018 G | 50,000,000 | 2018/06/20 | 2018/12/28 | 12.50 |
| 68728 | Credit Suisse AG – HKEX R Bull CBBC Jan 2019 D | 50,000,000 | 2018/06/20 | 2019/01/30 | 14.00 |
| 68725 | Credit Suisse AG – HSCEI R Bull CBBC Oct 2019 B | 100,000,000 | 2018/06/20 | 2019/10/30 | 25.00 |
| 68723 | Credit Suisse AG – HSI R Bull CBBC Sep 2019 P | 200,000,000 | 2018/06/20 | 2019/09/27 | 50.00 |
| 68690 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/06/20 | 2019/11/28 | 50.00 |
| 68701 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/06/20 | 2018/10/30 | 50.00 |
| 68707 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 I | 200,000,000 | 2018/06/20 | 2018/10/30 | 50.00 |
| 68710 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 N | 50,000,000 | 2018/06/20 | 2019/01/10 | 12.50 |
| 68636 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/06/20 | 2019/10/30 | 50.00 |
| 68634 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 D | 200,000,000 | 2018/06/20 | 2018/09/27 | 50.00 |
| 68670 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 M | 100,000,000 | 2018/06/20 | 2019/02/27 | 25.00 |
| 68644 | HK Bank – ABC R Bull CBBC Jan 2019 A | 100,000,000 | 2018/06/20 | 2019/01/21 | 25.00 |
| 68642 | HK Bank – CPIC R Bull CBBC Feb 2019 A | 100,000,000 | 2018/06/20 | 2019/02/18 | 25.00 |
| 68664 | HK Bank – HSI R Bull CBBC Jul 2019 C | 100,000,000 | 2018/06/20 | 2019/07/30 | 25.00 |
| 68663 | HK Bank – HSI R Bear CBBC Oct 2018 B | 100,000,000 | 2018/06/20 | 2018/10/30 | 25.00 |
| 68640 | HK Bank – PetroChina R Bull CBBC Dec 2018 A | 80,000,000 | 2018/06/20 | 2018/12/03 | 20.00 |
| 68655 | HK Bank – Sunny Optical R Bull CBBC Nov 2018 D | 40,000,000 | 2018/06/20 | 2018/11/19 | 10.00 |
| 68656 | HK Bank – Tencent R Bear CBBC Dec 2018 B | 50,000,000 | 2018/06/20 | 2018/12/03 | 12.50 |
| 68736 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 F | 250,000,000 | 2018/06/20 | 2019/12/30 | 62.50 |
| 68734 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 E | 200,000,000 | 2018/06/20 | 2018/09/27 | 50.00 |
| 68738 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 G | 50,000,000 | 2018/06/20 | 2018/10/12 | 12.50 |
| 68742 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 C | 50,000,000 | 2018/06/20 | 2018/10/12 | 12.50 |
| 68666 | Macquarie Bank Ltd. – CC Bank R Bull CBBC Dec 2018 A | 38,500,000 | 2018/06/20 | 2018/12/28 | 10.05 |
| 68668 | Macquarie Bank Ltd. – CC Bank R Bull CBBC Dec 2018 B | 37,500,000 | 2018/06/20 | 2018/12/28 | 10.13 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68778 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 R | 60,000,000 | 2018/06/20 | 2018/11/28 | 15.00 |
| 68794 | SG Issuer – HSI R Bull CBBC Sep 2019 X | 200,000,000 | 2018/06/20 | 2019/09/27 | 50.00 |
| 68780 | SG Issuer – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/06/20 | 2019/11/28 | 50.00 |
| 68797 | SG Issuer – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/06/20 | 2019/12/30 | 50.00 |
| 68756 | SG Issuer – HSI R Bear CBBC Sep 2018 B | 200,000,000 | 2018/06/20 | 2018/09/27 | 50.00 |
| 68746 | SG Issuer – HSI R Bear CBBC Sep 2018 Z | 200,000,000 | 2018/06/20 | 2018/09/27 | 50.00 |
| 68757 | SG Issuer – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/06/20 | 2018/10/30 | 50.00 |
| 68760 | SG Issuer – PetroChina R Bull CBBC Dec 2018 G | 40,000,000 | 2018/06/20 | 2018/12/18 | 10.00 |
| 68762 | SG Issuer – Ping An R Bull CBBC Dec 2018 C | 100,000,000 | 2018/06/20 | 2018/12/18 | 25.00 |
| 68800 | SG Issuer – Sunny Optical R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/20 | 2018/10/26 | 13.80 |
| 68801 | SG Issuer – Tencent R Bull CBBC Oct 2018 N | 80,000,000 | 2018/06/20 | 2018/10/05 | 20.00 |
| 68680 | UBS AG – HSI R Bull CBBC Oct 2019 S | 250,000,000 | 2018/06/20 | 2019/10/30 | 62.50 |
| 68677 | UBS AG – HSI R Bull CBBC Nov 2019 Z | 200,000,000 | 2018/06/20 | 2019/11/28 | 50.00 |
| 68671 | UBS AG – HSI R Bear CBBC Sep 2018 K | 200,000,000 | 2018/06/20 | 2018/09/27 | 50.00 |
| 68673 | UBS AG – HSI R Bear CBBC Oct 2018 K | 250,000,000 | 2018/06/20 | 2018/10/30 | 62.50 |
| 68687 | UBS AG – Tencent R Bull CBBC Sep 2018 D | 30,000,000 | 2018/06/20 | 2018/09/24 | 10.20 |
| 68616 | Bank Vontobel – HSI N Bull CBBC Jan 2019 N | 100,000,000 | 2018/06/20 | 2019/01/30 | 25.20 |
| 68617 | Bank Vontobel – HSI N Bull CBBC Jan 2019 O | 100,000,000 | 2018/06/20 | 2019/01/30 | 25.30 |
| 68619 | Bank Vontobel – HSI N Bear CBBC Nov 2018 S | 100,000,000 | 2018/06/20 | 2018/11/29 | 25.10 |
| 68633 | Bank Vontobel – HSI N Bear CBBC Nov 2018 T | 100,000,000 | 2018/06/20 | 2018/11/29 | 25.00 |
| 68873 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/06/21 | 2019/12/30 | 62.50 |
| 68867 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Y | 250,000,000 | 2018/06/21 | 2019/12/30 | 62.50 |
| 68868 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Z | 250,000,000 | 2018/06/21 | 2019/12/30 | 62.50 |
| 68896 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 T | 250,000,000 | 2018/06/21 | 2019/01/30 | 62.50 |
| 68897 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 U | 250,000,000 | 2018/06/21 | 2019/01/30 | 62.50 |
| 68921 | Credit Suisse AG – CPIC R Bull CBBC Feb 2019 A | 50,000,000 | 2018/06/21 | 2019/02/27 | 12.50 |
| 68912 | Credit Suisse AG – HKEX R Bull CBBC Jan 2019 E | 50,000,000 | 2018/06/21 | 2019/01/18 | 14.50 |
| 68903 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/06/21 | 2019/10/30 | 50.00 |
| 68905 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/06/21 | 2019/10/30 | 50.00 |
| 68910 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 F | 200,000,000 | 2018/06/21 | 2019/11/28 | 50.00 |
| 68926 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 L | 200,000,000 | 2018/06/21 | 2018/09/27 | 50.00 |
| 68931 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/06/21 | 2018/09/27 | 50.00 |
| 68933 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 C | 250,000,000 | 2018/06/21 | 2018/11/29 | 62.50 |
| 68864 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Feb 2019 C | 100,000,000 | 2018/06/21 | 2019/02/27 | 25.00 |
| 68804 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 A | 100,000,000 | 2018/06/21 | 2019/12/30 | 25.00 |
| 68805 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 P | 100,000,000 | 2018/06/21 | 2019/12/30 | 25.00 |
| 68862 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 L | 100,000,000 | 2018/06/21 | 2020/01/30 | 25.00 |
| 69037 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 X | 100,000,000 | 2018/06/21 | 2018/10/30 | 25.00 |
| 69039 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 Y | 100,000,000 | 2018/06/21 | 2018/10/30 | 25.00 |
| 68807 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 N | 100,000,000 | 2018/06/21 | 2019/02/27 | 25.00 |
| 68859 | HK Bank – HKEX R Bear CBBC Nov 2018 D | 40,000,000 | 2018/06/21 | 2018/11/26 | 10.00 |
| 68815 | HK Bank – HSI R Bull CBBC Jul 2019 D | 150,000,000 | 2018/06/21 | 2019/07/30 | 37.50 |
| 68816 | HK Bank – HSI R Bull CBBC Jul 2019 E | 100,000,000 | 2018/06/21 | 2019/07/30 | 25.00 |
| 68855 | HK Bank – HSI R Bull CBBC Sep 2019 C | 150,000,000 | 2018/06/21 | 2019/09/27 | 37.50 |
| 68857 | HK Bank – HSI R Bull CBBC Dec 2019 A | 150,000,000 | 2018/06/21 | 2019/12/30 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68850 | HK Bank – HSI R Bear CBBC Oct 2018 C | 100,000,000 | 2018/06/21 | 2018/10/30 | 25.00 |
| 68861 | HK Bank – Ping An R Bear CBBC Dec 2018 A | 80,000,000 | 2018/06/21 | 2018/12/31 | 20.00 |
| 69023 | J P Morgan SP BV – AAC Tech R Bull CBBC Oct 2018 B | 50,000,000 | 2018/06/21 | 2018/10/19 | 12.50 |
| 69026 | J P Morgan SP BV – AAC Tech R Bear CBBC Nov 2018 B | 40,000,000 | 2018/06/21 | 2018/11/16 | 10.00 |
| 69016 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/06/21 | 2019/10/30 | 50.00 |
| 69014 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/06/21 | 2019/11/28 | 50.00 |
| 69015 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 J | 250,000,000 | 2018/06/21 | 2019/12/30 | 62.50 |
| 69029 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 F | 200,000,000 | 2018/06/21 | 2018/09/27 | 50.00 |
| 69030 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 G | 200,000,000 | 2018/06/21 | 2018/09/27 | 50.00 |
| 69034 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 H | 250,000,000 | 2018/06/21 | 2018/09/27 | 62.50 |
| 69021 | J P Morgan SP BV – Tencent R Bull CBBC Sep 2018 O | 50,000,000 | 2018/06/21 | 2018/09/21 | 12.50 |
| 69018 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 H | 50,000,000 | 2018/06/21 | 2018/10/12 | 12.50 |
| 69019 | J P Morgan SP BV – Tencent R Bear CBBC Sep 2018 M | 50,000,000 | 2018/06/21 | 2018/09/21 | 12.50 |
| 68972 | SG Issuer – COVS R Bull CBBC Oct 2019 A | 100,000,000 | 2018/06/21 | 2019/10/30 | 25.00 |
| 68997 | SG Issuer – Galaxy Ent R Bull CBBC Dec 2018 N | 80,000,000 | 2018/06/21 | 2018/12/21 | 20.00 |
| 69011 | SG Issuer – HKEX R Bull CBBC Oct 2018 O | 50,000,000 | 2018/06/21 | 2018/10/12 | 12.50 |
| 68962 | SG Issuer – HSI R Bull CBBC Sep 2019 T | 200,000,000 | 2018/06/21 | 2019/09/27 | 50.00 |
| 68965 | SG Issuer – HSI R Bull CBBC Oct 2019 L | 200,000,000 | 2018/06/21 | 2019/10/30 | 50.00 |
| 68967 | SG Issuer – HSI R Bull CBBC Oct 2019 Q | 200,000,000 | 2018/06/21 | 2019/10/30 | 50.00 |
| 68963 | SG Issuer – HSI R Bull CBBC Nov 2019 O | 200,000,000 | 2018/06/21 | 2019/11/28 | 50.00 |
| 68976 | SG Issuer – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/06/21 | 2018/10/30 | 50.00 |
| 68979 | SG Issuer – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/06/21 | 2018/10/30 | 50.00 |
| 68989 | SG Issuer – HSI R Bear CBBC Dec 2018 K | 200,000,000 | 2018/06/21 | 2018/12/28 | 50.00 |
| 68993 | SG Issuer – HSI R Bear CBBC Dec 2018 L | 200,000,000 | 2018/06/21 | 2018/12/28 | 50.00 |
| 68956 | SG Issuer – SUNAC R Bull CBBC Jul 2019 B | 80,000,000 | 2018/06/21 | 2019/07/30 | 20.00 |
| 68960 | SG Issuer – Tencent R Bull CBBC Oct 2018 O | 80,000,000 | 2018/06/21 | 2018/10/12 | 20.00 |
| 68973 | SG Issuer – Tencent R Bull CBBC Oct 2018 P | 80,000,000 | 2018/06/21 | 2018/10/04 | 20.00 |
| 69012 | SG Issuer – Tencent R Bear CBBC Oct 2018 N | 80,000,000 | 2018/06/21 | 2018/10/05 | 20.00 |
| 68935 | UBS AG – HSI R Bull CBBC Oct 2019 U | 250,000,000 | 2018/06/21 | 2019/10/30 | 62.50 |
| 68947 | UBS AG – HSI R Bull CBBC Oct 2019 Y | 200,000,000 | 2018/06/21 | 2019/10/30 | 50.00 |
| 68939 | UBS AG – HSI R Bull CBBC Dec 2019 I | 250,000,000 | 2018/06/21 | 2019/12/30 | 62.50 |
| 68955 | UBS AG – HSI R Bear CBBC Sep 2018 A | 200,000,000 | 2018/06/21 | 2018/09/27 | 50.00 |
| 68948 | UBS AG – HSI R Bear CBBC Sep 2018 L | 250,000,000 | 2018/06/21 | 2018/09/27 | 62.50 |
| 68950 | UBS AG – HSI R Bear CBBC Sep 2018 M | 200,000,000 | 2018/06/21 | 2018/09/27 | 50.00 |
| 68945 | UBS AG – Tencent R Bull CBBC Oct 2018 N | 30,000,000 | 2018/06/21 | 2018/10/18 | 10.20 |
| 68946 | UBS AG – Tencent R Bull CBBC Nov 2018 I | 30,000,000 | 2018/06/21 | 2018/11/12 | 10.20 |
| 68877 | Bank Vontobel – HSI R Bull CBBC Jan 2019 P | 100,000,000 | 2018/06/21 | 2019/01/30 | 25.20 |
| 68898 | Bank Vontobel – HSI R Bear CBBC Nov 2018 U | 100,000,000 | 2018/06/21 | 2018/11/29 | 25.10 |
| 68901 | Bank Vontobel – HSI R Bear CBBC Nov 2018 V | 100,000,000 | 2018/06/21 | 2018/11/29 | 25.20 |
| 68895 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 P | 40,000,000 | 2018/06/21 | 2018/12/07 | 10.28 |
| 69120 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 B | 250,000,000 | 2018/06/22 | 2019/12/30 | 62.50 |
| 69121 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 C | 250,000,000 | 2018/06/22 | 2019/12/30 | 62.50 |
| 69117 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 Q | 250,000,000 | 2018/06/22 | 2019/12/30 | 62.50 |
| 69125 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 V | 250,000,000 | 2018/06/22 | 2019/01/30 | 62.50 |
| 69126 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 W | 250,000,000 | 2018/06/22 | 2019/01/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69133 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 X | 250,000,000 | 2018/06/22 | 2019/01/30 | 62.50 |
| 69124 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 S | 50,000,000 | 2018/06/22 | 2018/11/29 | 12.50 |
| 69189 | Credit Suisse AG – China Life R Bull CBBC Dec 2018 C | 40,000,000 | 2018/06/22 | 2018/12/28 | 10.00 |
| 69166 | Credit Suisse AG – HKEX R Bull CBBC Jan 2019 F | 50,000,000 | 2018/06/22 | 2019/01/25 | 14.50 |
| 69170 | Credit Suisse AG – HKEX R Bear CBBC Jan 2019 A | 50,000,000 | 2018/06/22 | 2019/01/18 | 12.50 |
| 69188 | Credit Suisse AG – HSCEI R Bear CBBC Oct 2018 C | 100,000,000 | 2018/06/22 | 2018/10/30 | 25.00 |
| 69159 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/06/22 | 2019/11/28 | 50.00 |
| 69160 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 S | 200,000,000 | 2018/06/22 | 2019/11/28 | 50.00 |
| 69165 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/06/22 | 2019/11/28 | 50.00 |
| 69171 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 R | 250,000,000 | 2018/06/22 | 2019/12/30 | 62.50 |
| 69174 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 O | 200,000,000 | 2018/06/22 | 2018/09/27 | 50.00 |
| 69175 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 S | 200,000,000 | 2018/06/22 | 2018/09/27 | 50.00 |
| 69185 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/06/22 | 2018/10/30 | 50.00 |
| 69187 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/06/22 | 2018/10/30 | 50.00 |
| 69163 | Credit Suisse AG – Ping An R Bear CBBC Dec 2018 E | 50,000,000 | 2018/06/22 | 2018/12/28 | 12.50 |
| 69161 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 O | 50,000,000 | 2018/06/22 | 2019/01/30 | 12.50 |
| 69298 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Jan 2019 A | 100,000,000 | 2018/06/22 | 2019/01/31 | 25.00 |
| 69200 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Feb 2019 F | 100,000,000 | 2018/06/22 | 2019/02/28 | 25.00 |
| 69090 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jan 2019 A | 100,000,000 | 2018/06/22 | 2019/01/31 | 25.00 |
| 69084 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 P | 100,000,000 | 2018/06/22 | 2019/11/28 | 25.00 |
| 69191 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 S | 100,000,000 | 2018/06/22 | 2019/11/28 | 25.00 |
| 69079 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 T | 100,000,000 | 2018/06/22 | 2019/11/28 | 25.00 |
| 69296 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 E | 100,000,000 | 2018/06/22 | 2018/09/27 | 25.00 |
| 69297 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 F | 100,000,000 | 2018/06/22 | 2018/09/27 | 25.00 |
| 69293 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 K | 100,000,000 | 2018/06/22 | 2018/09/27 | 25.00 |
| 69294 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2018 V | 100,000,000 | 2018/06/22 | 2018/09/27 | 25.00 |
| 69085 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 O | 100,000,000 | 2018/06/22 | 2019/02/28 | 25.00 |
| 69192 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 P | 100,000,000 | 2018/06/22 | 2019/02/28 | 25.00 |
| 69097 | HK Bank – HSCEI R Bull CBBC Sep 2019 A | 60,000,000 | 2018/06/22 | 2019/09/27 | 15.00 |
| 69098 | HK Bank – HSCEI R Bear CBBC Oct 2018 G | 50,000,000 | 2018/06/22 | 2018/10/30 | 12.50 |
| 69074 | HK Bank – HSI R Bull CBBC Jul 2019 F | 150,000,000 | 2018/06/22 | 2019/07/30 | 37.50 |
| 69099 | HK Bank – HSI R Bear CBBC Oct 2018 D | 150,000,000 | 2018/06/22 | 2018/10/30 | 37.50 |
| 69116 | HK Bank – PetroChina R Bear CBBC Nov 2018 B | 50,000,000 | 2018/06/22 | 2018/11/26 | 12.50 |
| 69069 | HK Bank – Tencent R Bull CBBC Nov 2018 W | 60,000,000 | 2018/06/22 | 2018/11/15 | 15.00 |
| 69111 | HK Bank – Tencent R Bear CBBC Nov 2018 H | 50,000,000 | 2018/06/22 | 2018/11/05 | 12.50 |
| 69271 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 M | 250,000,000 | 2018/06/22 | 2019/10/30 | 62.50 |
| 69247 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 X | 200,000,000 | 2018/06/22 | 2019/11/28 | 50.00 |
| 69246 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 N | 250,000,000 | 2018/06/22 | 2019/12/30 | 62.50 |
| 69285 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 I | 200,000,000 | 2018/06/22 | 2018/09/27 | 50.00 |
| 69286 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 J | 250,000,000 | 2018/06/22 | 2018/09/27 | 62.50 |
| 69288 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 K | 250,000,000 | 2018/06/22 | 2018/09/27 | 62.50 |
| 69292 | J P Morgan SP BV – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/06/22 | 2018/09/27 | 50.00 |
| 69273 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 I | 50,000,000 | 2018/06/22 | 2018/10/12 | 12.50 |
| 69276 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 J | 50,000,000 | 2018/06/22 | 2018/10/12 | 12.50 |
| 69277 | J P Morgan SP BV – Tencent R Bull CBBC Nov 2018 A | 50,000,000 | 2018/06/22 | 2018/11/09 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69280 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 D | 50,000,000 | 2018/06/22 | 2018/10/12 | 12.50 |
| 69243 | SG Issuer – HSI R Bull CBBC Sep 2019 C | 200,000,000 | 2018/06/22 | 2019/09/27 | 50.00 |
| 69230 | SG Issuer – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/06/22 | 2019/10/30 | 50.00 |
| 69205 | SG Issuer – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/06/22 | 2019/10/30 | 50.00 |
| 69206 | SG Issuer – HSI R Bull CBBC Nov 2019 U | 200,000,000 | 2018/06/22 | 2019/11/28 | 50.00 |
| 69235 | SG Issuer – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/06/22 | 2018/10/30 | 50.00 |
| 69236 | SG Issuer – HSI R Bear CBBC Nov 2018 A | 200,000,000 | 2018/06/22 | 2018/11/29 | 50.00 |
| 69239 | SG Issuer – HSI R Bear CBBC Nov 2018 P | 200,000,000 | 2018/06/22 | 2018/11/29 | 50.00 |
| 69242 | SG Issuer – HSI R Bear CBBC Dec 2018 P | 200,000,000 | 2018/06/22 | 2018/12/28 | 50.00 |
| 69202 | SG Issuer – Tencent R Bull CBBC Oct 2018 L | 80,000,000 | 2018/06/22 | 2018/10/19 | 20.00 |
| 69201 | SG Issuer – Tencent R Bull CBBC Oct 2018 Q | 80,000,000 | 2018/06/22 | 2018/10/04 | 20.00 |
| 69207 | SG Issuer – Tencent R Bull CBBC Oct 2018 R | 80,000,000 | 2018/06/22 | 2018/10/08 | 20.00 |
| 69211 | SG Issuer – Tencent R Bear CBBC Oct 2018 O | 80,000,000 | 2018/06/22 | 2018/10/08 | 20.00 |
| 69152 | UBS AG – HSI R Bull CBBC Nov 2019 J | 250,000,000 | 2018/06/22 | 2019/11/28 | 62.50 |
| 69138 | UBS AG – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/06/22 | 2019/11/28 | 50.00 |
| 69137 | UBS AG – HSI R Bull CBBC Dec 2019 V | 250,000,000 | 2018/06/22 | 2019/12/30 | 62.50 |
| 69153 | UBS AG – HSI R Bear CBBC Sep 2018 N | 200,000,000 | 2018/06/22 | 2018/09/27 | 50.00 |
| 69156 | UBS AG – HSI R Bear CBBC Sep 2018 O | 250,000,000 | 2018/06/22 | 2018/09/27 | 62.50 |
| 69157 | UBS AG – HSI R Bear CBBC Sep 2018 Q | 250,000,000 | 2018/06/22 | 2018/09/27 | 62.50 |
| 69144 | UBS AG – Tencent R Bull CBBC Oct 2018 O | 30,000,000 | 2018/06/22 | 2018/10/23 | 10.20 |
| 69147 | UBS AG – Tencent R Bull CBBC Nov 2018 J | 30,000,000 | 2018/06/22 | 2018/11/09 | 10.20 |
| 69066 | Bank Vontobel – HSI R Bull CBBC Jan 2019 Q | 100,000,000 | 2018/06/22 | 2019/01/30 | 25.20 |
| 69092 | Bank Vontobel – HSI R Bull CBBC Jan 2019 R | 100,000,000 | 2018/06/22 | 2019/01/30 | 25.00 |
| 69095 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 Q | 40,000,000 | 2018/06/22 | 2018/12/07 | 10.00 |
| 69327 | BOCI Asia Ltd. – CC Bank R Bull CBBC Oct 2018 B | 80,000,000 | 2018/06/25 | 2018/10/29 | 20.08 |
| 69387 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 I | 250,000,000 | 2018/06/25 | 2019/12/30 | 62.50 |
| 69381 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 Y | 250,000,000 | 2018/06/25 | 2019/01/30 | 62.50 |
| 69393 | Credit Suisse AG – AIA R Bear CBBC Jan 2019 E | 50,000,000 | 2018/06/25 | 2019/01/11 | 12.50 |
| 69390 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 Z | 200,000,000 | 2018/06/25 | 2019/10/30 | 50.00 |
| 69395 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 P | 200,000,000 | 2018/06/25 | 2018/09/27 | 50.00 |
| 69402 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Q | 200,000,000 | 2018/06/25 | 2018/09/27 | 50.00 |
| 69400 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 M | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69401 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 V | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69392 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 P | 50,000,000 | 2018/06/25 | 2019/01/11 | 12.50 |
| 69358 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jan 2019 B | 100,000,000 | 2018/06/25 | 2019/01/29 | 25.00 |
| 69349 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 J | 100,000,000 | 2018/06/25 | 2020/01/30 | 25.00 |
| 69322 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 A | 100,000,000 | 2018/06/25 | 2018/10/30 | 25.00 |
| 69324 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 B | 100,000,000 | 2018/06/25 | 2018/10/30 | 25.00 |
| 69316 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 Z | 100,000,000 | 2018/06/25 | 2018/10/30 | 25.00 |
| 69466 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 D | 100,000,000 | 2018/06/25 | 2018/11/29 | 25.00 |
| 69359 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2018 D | 100,000,000 | 2018/06/25 | 2018/12/31 | 25.00 |
| 69357 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 Q | 100,000,000 | 2018/06/25 | 2019/02/27 | 25.00 |
| 69302 | HK Bank – Bank of China R Bear CBBC Dec 2018 A | 50,000,000 | 2018/06/25 | 2018/12/17 | 12.50 |
| 69308 | HK Bank – HSCEI R Bull CBBC Sep 2019 B | 60,000,000 | 2018/06/25 | 2019/09/27 | 15.00 |
| 69313 | HK Bank – HSCEI R Bull CBBC Sep 2019 C | 60,000,000 | 2018/06/25 | 2019/09/27 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69304 | HK Bank – HSI R Bull CBBC Jul 2019 G | 100,000,000 | 2018/06/25 | 2019/07/30 | 25.00 |
| 69306 | HK Bank – HSI R Bear CBBC Oct 2018 E | 150,000,000 | 2018/06/25 | 2018/10/30 | 37.50 |
| 69305 | HK Bank – HSI R Bear CBBC Oct 2018 F | 150,000,000 | 2018/06/25 | 2018/10/30 | 37.50 |
| 69315 | HK Bank – ICBC R Bull CBBC Dec 2018 A | 100,000,000 | 2018/06/25 | 2018/12/24 | 25.00 |
| 69301 | HK Bank – ICBC R Bear CBBC Nov 2018 A | 50,000,000 | 2018/06/25 | 2018/11/26 | 12.50 |
| 69307 | HK Bank – Ping An R Bull CBBC Nov 2018 P | 100,000,000 | 2018/06/25 | 2018/11/26 | 25.00 |
| 69303 | HK Bank – Sunny Optical R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/25 | 2018/10/15 | 10.00 |
| 69411 | J P Morgan SP BV – AIA R Bull CBBC Oct 2018 B | 100,000,000 | 2018/06/25 | 2018/10/12 | 25.00 |
| 69403 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Y | 200,000,000 | 2018/06/25 | 2019/12/30 | 50.00 |
| 69404 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 F | 300,000,000 | 2018/06/25 | 2018/10/30 | 75.00 |
| 69412 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 G | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69413 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69424 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 I | 300,000,000 | 2018/06/25 | 2018/10/30 | 75.00 |
| 69428 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 J | 300,000,000 | 2018/06/25 | 2018/10/30 | 75.00 |
| 69407 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 K | 50,000,000 | 2018/06/25 | 2018/10/19 | 12.50 |
| 69405 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 E | 50,000,000 | 2018/06/25 | 2018/10/19 | 12.50 |
| 69360 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 I | 17,000,000 | 2018/06/25 | 2018/11/15 | 10.08 |
| 69370 | Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2018 J | 18,000,000 | 2018/06/25 | 2018/11/15 | 10.06 |
| 69439 | SG Issuer – AIA R Bull CBBC Nov 2018 F | 60,000,000 | 2018/06/25 | 2018/11/16 | 15.00 |
| 69459 | SG Issuer – AIA R Bull CBBC Nov 2018 G | 60,000,000 | 2018/06/25 | 2018/11/23 | 15.00 |
| 69463 | SG Issuer – China Mobile R Bull CBBC Dec 2018 T | 100,000,000 | 2018/06/25 | 2018/12/28 | 25.00 |
| 69458 | SG Issuer – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/06/25 | 2019/10/30 | 50.00 |
| 69435 | SG Issuer – HSI R Bull CBBC Dec 2019 D | 200,000,000 | 2018/06/25 | 2019/12/30 | 50.00 |
| 69429 | SG Issuer – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/06/25 | 2019/12/30 | 50.00 |
| 69455 | SG Issuer – HSI R Bear CBBC Oct 2018 J | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69433 | SG Issuer – HSI R Bear CBBC Oct 2018 O | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69434 | SG Issuer – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69454 | SG Issuer – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/06/25 | 2018/10/30 | 50.00 |
| 69465 | SG Issuer – HSI R Bear CBBC Nov 2018 R | 200,000,000 | 2018/06/25 | 2018/11/29 | 50.00 |
| 69445 | SG Issuer – Tencent R Bull CBBC Oct 2018 S | 80,000,000 | 2018/06/25 | 2018/10/08 | 20.00 |
| 69451 | SG Issuer – Tencent R Bull CBBC Oct 2018 U | 80,000,000 | 2018/06/25 | 2018/10/11 | 20.00 |
| 69342 | UBS AG – HSI R Bull CBBC Oct 2019 H | 200,000,000 | 2018/06/25 | 2019/10/30 | 50.00 |
| 69330 | UBS AG – HSI R Bull CBBC Dec 2019 L | 200,000,000 | 2018/06/25 | 2019/12/30 | 50.00 |
| 69331 | UBS AG – HSI R Bear CBBC Sep 2018 R | 200,000,000 | 2018/06/25 | 2018/09/27 | 50.00 |
| 69339 | UBS AG – HSI R Bear CBBC Sep 2018 T | 200,000,000 | 2018/06/25 | 2018/09/27 | 50.00 |
| 69341 | UBS AG – HSI R Bear CBBC Sep 2018 U | 250,000,000 | 2018/06/25 | 2018/09/27 | 62.50 |
| 69338 | UBS AG – HSI R Bear CBBC Oct 2018 L | 250,000,000 | 2018/06/25 | 2018/10/30 | 62.50 |
| 69329 | UBS AG – Tencent R Bull CBBC Oct 2018 R | 30,000,000 | 2018/06/25 | 2018/10/24 | 10.20 |
| 69379 | Bank Vontobel – HSI R Bear CBBC Nov 2018 W | 100,000,000 | 2018/06/25 | 2018/11/29 | 25.40 |
| 69380 | Bank Vontobel – HSI R Bear CBBC Nov 2018 X | 100,000,000 | 2018/06/25 | 2018/11/29 | 25.30 |
| 69673 | BOCI Asia Ltd. – CC Bank R Bull CBBC Oct 2018 C | 80,000,000 | 2018/06/26 | 2018/10/08 | 20.00 |
| 69676 | BOCI Asia Ltd. – Geely Auto R Bull CBBC Oct 2018 C | 40,000,000 | 2018/06/26 | 2018/10/22 | 10.08 |
| 69690 | BOCI Asia Ltd. – Galaxy Ent R Bull CBBC Oct 2018 D | 50,000,000 | 2018/06/26 | 2018/10/22 | 12.50 |
| 69680 | BOCI Asia Ltd. – HSBC R Bull CBBC Nov 2018 A | 70,000,000 | 2018/06/26 | 2018/11/05 | 17.71 |
| 69674 | BOCI Asia Ltd. – ICBC R Bull CBBC Oct 2018 C | 80,000,000 | 2018/06/26 | 2018/10/15 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69672 | BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2018 E | 40,000,000 | 2018/06/26 | 2018/10/22 | 10.60 |
| 69626 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 X | 100,000,000 | 2018/06/26 | 2018/11/29 | 25.00 |
| 69627 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 Y | 100,000,000 | 2018/06/26 | 2018/11/29 | 25.00 |
| 69629 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 F | 50,000,000 | 2018/06/26 | 2019/03/28 | 12.50 |
| 69631 | BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Jan 2019 C | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.00 |
| 69632 | BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Jan 2019 D | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.00 |
| 69550 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 A | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69551 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 D | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69554 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 E | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69621 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 G | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69544 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 J | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69638 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 O | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69546 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 T | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69548 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 X | 250,000,000 | 2018/06/26 | 2019/12/30 | 62.50 |
| 69563 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 A | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69564 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 B | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69565 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 C | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69567 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 D | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69570 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 E | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69574 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 F | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69805 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 G | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69811 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 H | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69556 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 Z | 250,000,000 | 2018/06/26 | 2019/01/30 | 62.50 |
| 69628 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Nov 2018 I | 200,000,000 | 2018/06/26 | 2018/11/29 | 50.00 |
| 69633 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 Q | 50,000,000 | 2018/06/26 | 2018/11/29 | 12.50 |
| 69983 | Credit Suisse AG – AIA R Bear CBBC Jan 2019 F | 50,000,000 | 2018/06/26 | 2019/01/30 | 12.50 |
| 69965 | Credit Suisse AG – China Mobile R Bull CBBC Dec 2018 A | 70,000,000 | 2018/06/26 | 2018/12/28 | 17.50 |
| 69954 | Credit Suisse AG – Galaxy Ent R Bull CBBC Nov 2018 H | 50,000,000 | 2018/06/26 | 2018/11/29 | 12.50 |
| 69956 | Credit Suisse AG – Galaxy Ent R Bear CBBC Dec 2018 B | 50,000,000 | 2018/06/26 | 2018/12/21 | 12.50 |
| 69957 | Credit Suisse AG – HKEX R Bull CBBC Feb 2019 B | 50,000,000 | 2018/06/26 | 2019/02/27 | 14.00 |
| 69960 | Credit Suisse AG – HKEX R Bear CBBC Jan 2019 B | 50,000,000 | 2018/06/26 | 2019/01/30 | 12.50 |
| 69947 | Credit Suisse AG – HSBC R Bull CBBC Dec 2018 K | 80,000,000 | 2018/06/26 | 2018/12/28 | 20.00 |
| 69953 | Credit Suisse AG – HSBC R Bear CBBC Dec 2018 A | 50,000,000 | 2018/06/26 | 2018/12/28 | 12.50 |
| 60069 | Credit Suisse AG – HSCEI R Bull CBBC Sep 2019 C | 100,000,000 | 2018/06/26 | 2019/09/27 | 25.00 |
| 60080 | Credit Suisse AG – HSCEI R Bear CBBC Sep 2018 E | 100,000,000 | 2018/06/26 | 2018/09/27 | 25.00 |
| 60072 | Credit Suisse AG – HSCEI R Bear CBBC Oct 2018 D | 100,000,000 | 2018/06/26 | 2018/10/30 | 25.00 |
| 69940 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/06/26 | 2019/10/30 | 50.00 |
| 69937 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 X | 200,000,000 | 2018/06/26 | 2019/10/30 | 50.00 |
| 69927 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 A | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69916 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69920 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69935 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 P | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69936 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 T | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69924 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 V | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69923 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 X | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60003 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 U | 200,000,000 | 2018/06/26 | 2018/09/27 | 50.00 |
| 60042 | Credit Suisse AG – HSI R Bear CBBC Sep 2018 Y | 200,000,000 | 2018/06/26 | 2018/09/27 | 50.00 |
| 60068 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 A | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 60040 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69997 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69999 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 X | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 60044 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 Y | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 60049 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69933 | Credit Suisse AG – Ping An R Bull CBBC Jan 2019 A | 80,000,000 | 2018/06/26 | 2019/01/30 | 20.00 |
| 69995 | Credit Suisse AG – Ping An R Bear CBBC Jan 2019 A | 50,000,000 | 2018/06/26 | 2019/01/30 | 12.50 |
| 69986 | Credit Suisse AG – Sands China R Bull CBBC Jan 2019 C | 50,000,000 | 2018/06/26 | 2019/01/24 | 12.50 |
| 69928 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 Q | 50,000,000 | 2018/06/26 | 2019/01/30 | 12.50 |
| 69963 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 R | 50,000,000 | 2018/06/26 | 2019/01/24 | 12.50 |
| 69964 | Credit Suisse AG – Tencent R Bear CBBC Jan 2019 B | 50,000,000 | 2018/06/26 | 2019/01/30 | 12.50 |
| 60081 | Credit Suisse AG – Tencent R Bear CBBC Jan 2019 C | 50,000,000 | 2018/06/26 | 2019/01/24 | 12.50 |
| 69619 | Bank of East Asia – Tencent R Bull CBBC Nov 2018 C | 30,000,000 | 2018/06/26 | 2018/11/02 | 10.50 |
| 60159 | Goldman Sachs SP (Asia) – Bank of China R Bull CBBC Feb 19 J | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 60148 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Feb 2019 C | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 69507 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jan 2019 C | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.00 |
| 69723 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jan 2019 D | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.00 |
| 69513 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2018 X | 100,000,000 | 2018/06/26 | 2018/12/31 | 25.00 |
| 60184 | Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Oct 2018 A | 100,000,000 | 2018/06/26 | 2018/10/30 | 25.30 |
| 69482 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 Q | 100,000,000 | 2018/06/26 | 2019/12/30 | 25.00 |
| 69475 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 O | 100,000,000 | 2018/06/26 | 2020/01/30 | 25.00 |
| 69477 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 P | 100,000,000 | 2018/06/26 | 2020/01/30 | 25.00 |
| 69480 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 Q | 100,000,000 | 2018/06/26 | 2020/01/30 | 25.00 |
| 69721 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 R | 100,000,000 | 2018/06/26 | 2020/01/30 | 25.00 |
| 69481 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 S | 100,000,000 | 2018/06/26 | 2020/01/30 | 25.00 |
| 69717 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 T | 100,000,000 | 2018/06/26 | 2020/01/30 | 25.00 |
| 69720 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2020 U | 100,000,000 | 2018/06/26 | 2020/01/30 | 25.00 |
| 60165 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 C | 100,000,000 | 2018/06/26 | 2018/10/30 | 25.10 |
| 60172 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 D | 100,000,000 | 2018/06/26 | 2018/10/30 | 25.20 |
| 60175 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2018 E | 100,000,000 | 2018/06/26 | 2018/10/30 | 25.20 |
| 60177 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 E | 100,000,000 | 2018/06/26 | 2018/11/29 | 25.20 |
| 60178 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 G | 100,000,000 | 2018/06/26 | 2018/11/29 | 25.20 |
| 60143 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 K | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 69508 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Feb 2019 D | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 69511 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Feb 2019 E | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 69492 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 R | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 69497 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 S | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 69498 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 T | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 69722 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 U | 100,000,000 | 2018/06/26 | 2019/02/27 | 25.00 |
| 60130 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 R | 80,000,000 | 2018/06/26 | 2018/12/31 | 20.00 |
| 60141 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2018 S | 80,000,000 | 2018/06/26 | 2018/12/31 | 20.00 |
| 69599 | HK Bank – ABC R Bull CBBC Jan 2019 B | 100,000,000 | 2018/06/26 | 2019/01/14 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 69543 | HK Bank – Geely Auto R Bull CBBC Nov 2018 C | 40,000,000 | 2018/06/26 | 2018/11/26 | 10.00 |
| 69616 | HK Bank – Geely Auto R Bear CBBC Nov 2018 B | 40,000,000 | 2018/06/26 | 2018/11/19 | 14.00 |
| 69532 | HK Bank – Galaxy Ent R Bull CBBC Nov 2018 F | 80,000,000 | 2018/06/26 | 2018/11/26 | 20.00 |
| 69604 | HK Bank – Galaxy Ent R Bull CBBC Nov 2018 G | 80,000,000 | 2018/06/26 | 2018/11/26 | 20.00 |
| 69606 | HK Bank – Galaxy Ent R Bull CBBC Dec 2018 A | 80,000,000 | 2018/06/26 | 2018/12/03 | 20.00 |
| 69612 | HK Bank – Galaxy Ent R Bear CBBC Nov 2018 C | 40,000,000 | 2018/06/26 | 2018/11/19 | 10.00 |
| 69533 | HK Bank – HKEX R Bull CBBC Nov 2018 P | 50,000,000 | 2018/06/26 | 2018/11/26 | 12.50 |
| 69617 | HK Bank – HKEX R Bear CBBC Dec 2018 B | 40,000,000 | 2018/06/26 | 2018/12/03 | 10.00 |
| 69590 | HK Bank – HSCEI R Bull CBBC Dec 2019 A | 60,000,000 | 2018/06/26 | 2019/12/30 | 15.00 |
| 69589 | HK Bank – HSCEI R Bear CBBC Oct 2018 H | 50,000,000 | 2018/06/26 | 2018/10/30 | 12.50 |
| 69521 | HK Bank – HSI R Bull CBBC Jul 2019 H | 150,000,000 | 2018/06/26 | 2019/07/30 | 37.50 |
| 69531 | HK Bank – HSI R Bull CBBC Jul 2019 I | 150,000,000 | 2018/06/26 | 2019/07/30 | 37.50 |
| 69587 | HK Bank – HSI R Bull CBBC Jul 2019 J | 100,000,000 | 2018/06/26 | 2019/07/30 | 25.00 |
| 69575 | HK Bank – HSI R Bull CBBC Sep 2019 D | 150,000,000 | 2018/06/26 | 2019/09/27 | 37.50 |
| 69578 | HK Bank – HSI R Bull CBBC Dec 2019 B | 150,000,000 | 2018/06/26 | 2019/12/30 | 37.50 |
| 69586 | HK Bank – HSI R Bull CBBC Dec 2019 C | 150,000,000 | 2018/06/26 | 2019/12/30 | 37.50 |
| 69577 | HK Bank – HSI R Bear CBBC Oct 2018 G | 150,000,000 | 2018/06/26 | 2018/10/30 | 37.50 |
| 69585 | HK Bank – HSI R Bear CBBC Oct 2018 H | 150,000,000 | 2018/06/26 | 2018/10/30 | 37.50 |
| 69535 | HK Bank – Tencent R Bull CBBC Nov 2018 X | 60,000,000 | 2018/06/26 | 2018/11/19 | 15.00 |
| 69537 | HK Bank – Tencent R Bull CBBC Dec 2018 E | 60,000,000 | 2018/06/26 | 2018/12/03 | 15.00 |
| 69591 | HK Bank – Tencent R Bear CBBC Dec 2018 C | 50,000,000 | 2018/06/26 | 2018/12/10 | 12.50 |
| 69841 | J P Morgan SP BV – HKEX R Bear CBBC Oct 2018 B | 40,000,000 | 2018/06/26 | 2018/10/19 | 10.00 |
| 69905 | J P Morgan SP BV – HSCEI R Bull CBBC Dec 2019 I | 100,000,000 | 2018/06/26 | 2019/12/30 | 25.00 |
| 69910 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2019 E | 100,000,000 | 2018/06/26 | 2019/04/29 | 25.00 |
| 69912 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2019 F | 100,000,000 | 2018/06/26 | 2019/04/29 | 25.00 |
| 69788 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 L | 240,000,000 | 2018/06/26 | 2019/10/30 | 60.00 |
| 69787 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 B | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69801 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 G | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |
| 69780 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 H | 240,000,000 | 2018/06/26 | 2019/12/30 | 60.00 |
| 69842 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69848 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 L | 300,000,000 | 2018/06/26 | 2018/10/30 | 75.00 |
| 69854 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 M | 240,000,000 | 2018/06/26 | 2018/10/30 | 60.00 |
| 69862 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69873 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 O | 300,000,000 | 2018/06/26 | 2018/10/30 | 75.00 |
| 69881 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 P | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69882 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69900 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 R | 300,000,000 | 2018/06/26 | 2018/10/30 | 75.00 |
| 69903 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 69825 | J P Morgan SP BV – Ping An R Bear CBBC Oct 2018 B | 100,000,000 | 2018/06/26 | 2018/10/19 | 25.00 |
| 69803 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 L | 50,000,000 | 2018/06/26 | 2018/10/19 | 12.50 |
| 69804 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 M | 50,000,000 | 2018/06/26 | 2018/10/12 | 12.50 |
| 69820 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 N | 50,000,000 | 2018/06/26 | 2018/10/12 | 12.50 |
| 69821 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 O | 50,000,000 | 2018/06/26 | 2018/10/19 | 12.50 |
| 69814 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 F | 50,000,000 | 2018/06/26 | 2018/10/19 | 12.50 |
| 69818 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 G | 50,000,000 | 2018/06/26 | 2018/10/12 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 69819 | J P Morgan SP BV – Tencent R Bear CBBC Nov 2018 A | 50,000,000 | 2018/06/26 | 2018/11/09 | 12.50 |
| 69620 | Macquarie Bank Ltd. – Galaxy Ent R Bull CBBC Jan 2019 B | 39,000,000 | 2018/06/26 | 2019/01/30 | 10.06 |
| 60338 | SG Issuer – China Life R Bull CBBC Dec 2018 I | 40,000,000 | 2018/06/26 | 2018/12/17 | 10.00 |
| 60341 | SG Issuer – China Life R Bull CBBC Dec 2018 J | 40,000,000 | 2018/06/26 | 2018/12/19 | 10.00 |
| 60208 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 Q | 60,000,000 | 2018/06/26 | 2018/10/22 | 15.00 |
| 60213 | SG Issuer – CSOP A50 ETF R Bull CBBC Oct 2018 R | 60,000,000 | 2018/06/26 | 2018/10/26 | 15.00 |
| 60350 | SG Issuer – HKEX R Bull CBBC Oct 2018 P | 50,000,000 | 2018/06/26 | 2018/10/22 | 12.50 |
| 60256 | SG Issuer – HSBC R Bull CBBC Nov 2018 D | 100,000,000 | 2018/06/26 | 2018/11/29 | 25.00 |
| 60251 | SG Issuer – HSI R Bull CBBC Sep 2019 Y | 200,000,000 | 2018/06/26 | 2019/09/27 | 50.00 |
| 60282 | SG Issuer – HSI R Bull CBBC Oct 2019 C | 200,000,000 | 2018/06/26 | 2019/10/30 | 50.00 |
| 60243 | SG Issuer – HSI R Bull CBBC Oct 2019 I | 200,000,000 | 2018/06/26 | 2019/10/30 | 50.00 |
| 60331 | SG Issuer – HSI R Bull CBBC Nov 2019 G | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 60283 | SG Issuer – HSI R Bull CBBC Nov 2019 N | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 60289 | SG Issuer – HSI R Bull CBBC Nov 2019 Q | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 60291 | SG Issuer – HSI R Bull CBBC Dec 2019 A | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |
| 60332 | SG Issuer – HSI R Bull CBBC Dec 2019 C | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |
| 60337 | SG Issuer – HSI R Bull CBBC Dec 2019 E | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |
| 60309 | SG Issuer – HSI R Bull CBBC Dec 2019 F | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |
| 60214 | SG Issuer – HSI R Bear CBBC Oct 2018 L | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 60215 | SG Issuer – HSI R Bear CBBC Oct 2018 Q | 200,000,000 | 2018/06/26 | 2018/10/30 | 50.00 |
| 60219 | SG Issuer – HSI R Bear CBBC Nov 2018 S | 200,000,000 | 2018/06/26 | 2018/11/29 | 50.00 |
| 60227 | SG Issuer – HSI R Bear CBBC Nov 2018 T | 200,000,000 | 2018/06/26 | 2018/11/29 | 50.00 |
| 60217 | SG Issuer – HSI R Bear CBBC Nov 2018 U | 200,000,000 | 2018/06/26 | 2018/11/29 | 50.00 |
| 60232 | SG Issuer – HSI R Bear CBBC Nov 2018 V | 200,000,000 | 2018/06/26 | 2018/11/29 | 50.00 |
| 60235 | SG Issuer – HSI R Bear CBBC Nov 2018 W | 200,000,000 | 2018/06/26 | 2018/11/29 | 50.00 |
| 60236 | SG Issuer – HSI R Bear CBBC Dec 2018 Q | 200,000,000 | 2018/06/26 | 2018/12/28 | 50.00 |
| 60238 | SG Issuer – HSI R Bear CBBC Dec 2018 R | 200,000,000 | 2018/06/26 | 2018/12/28 | 50.00 |
| 60250 | SG Issuer – HSI R Bear CBBC Dec 2018 S | 200,000,000 | 2018/06/26 | 2018/12/28 | 50.00 |
| 60352 | SG Issuer – ICBC R Bull CBBC Dec 2018 E | 60,000,000 | 2018/06/26 | 2018/12/19 | 15.00 |
| 60190 | SG Issuer – Ping An R Bull CBBC Nov 2018 B | 100,000,000 | 2018/06/26 | 2018/11/15 | 25.00 |
| 60312 | SG Issuer – Ping An R Bull CBBC Nov 2018 F | 100,000,000 | 2018/06/26 | 2018/11/29 | 25.00 |
| 60323 | SG Issuer – Tencent R Bull CBBC Oct 2018 G | 80,000,000 | 2018/06/26 | 2018/10/15 | 20.00 |
| 60325 | SG Issuer – Tencent R Bull CBBC Oct 2018 H | 80,000,000 | 2018/06/26 | 2018/10/19 | 20.00 |
| 60315 | SG Issuer – Tencent R Bull CBBC Oct 2018 T | 80,000,000 | 2018/06/26 | 2018/10/11 | 20.00 |
| 60197 | SG Issuer – Tencent R Bull CBBC Oct 2018 V | 80,000,000 | 2018/06/26 | 2018/10/22 | 20.00 |
| 60257 | SG Issuer – Tencent R Bear CBBC Sep 2018 D | 80,000,000 | 2018/06/26 | 2018/09/27 | 20.00 |
| 60192 | SG Issuer – Tencent R Bear CBBC Sep 2018 E | 80,000,000 | 2018/06/26 | 2018/09/28 | 20.00 |
| 60259 | SG Issuer – Tencent R Bear CBBC Sep 2018 H | 80,000,000 | 2018/06/26 | 2018/09/28 | 20.00 |
| 69779 | UBS AG – CC Bank R Bull CBBC Nov 2018 B | 80,000,000 | 2018/06/26 | 2018/11/15 | 20.00 |
| 69777 | UBS AG – Galaxy Ent R Bull CBBC Oct 2018 E | 40,000,000 | 2018/06/26 | 2018/10/29 | 10.00 |
| 69735 | UBS AG – HSI R Bull CBBC Nov 2019 E | 200,000,000 | 2018/06/26 | 2019/11/28 | 50.00 |
| 69763 | UBS AG – HSI R Bull CBBC Nov 2019 F | 250,000,000 | 2018/06/26 | 2019/11/28 | 62.50 |
| 69734 | UBS AG – HSI R Bull CBBC Nov 2019 M | 250,000,000 | 2018/06/26 | 2019/11/28 | 62.50 |
| 69742 | UBS AG – HSI R Bull CBBC Nov 2019 N | 250,000,000 | 2018/06/26 | 2019/11/28 | 62.50 |
| 69753 | UBS AG – HSI R Bull CBBC Dec 2019 B | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69774 | UBS AG – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |
| 69732 | UBS AG – HSI R Bull CBBC Dec 2019 Q | 200,000,000 | 2018/06/26 | 2019/12/30 | 50.00 |
| 60087 | UBS AG – HSI R Bear CBBC Sep 2018 V | 200,000,000 | 2018/06/26 | 2018/09/27 | 50.00 |
| 60105 | UBS AG – HSI R Bear CBBC Sep 2018 W | 200,000,000 | 2018/06/26 | 2018/09/27 | 50.00 |
| 60116 | UBS AG – HSI R Bear CBBC Nov 2018 A | 250,000,000 | 2018/06/26 | 2018/11/29 | 62.50 |
| 60128 | UBS AG – HSI R Bear CBBC Nov 2018 B | 200,000,000 | 2018/06/26 | 2018/11/29 | 50.00 |
| 60088 | UBS AG – HSI R Bear CBBC Oct 2019 A | 250,000,000 | 2018/06/26 | 2019/10/30 | 62.50 |
| 60104 | UBS AG – HSI R Bear CBBC Oct 2019 B | 200,000,000 | 2018/06/26 | 2019/10/30 | 50.00 |
| 60107 | UBS AG – HSI R Bear CBBC Oct 2019 C | 200,000,000 | 2018/06/26 | 2019/10/30 | 50.00 |
| 60118 | UBS AG – HSI R Bear CBBC Oct 2019 D | 250,000,000 | 2018/06/26 | 2019/10/30 | 62.50 |
| 69760 | UBS AG – Tencent R Bull CBBC Oct 2018 S | 30,000,000 | 2018/06/26 | 2018/10/03 | 10.20 |
| 69761 | UBS AG – Tencent R Bull CBBC Oct 2018 T | 30,000,000 | 2018/06/26 | 2018/10/16 | 10.20 |
| 69775 | UBS AG – Tencent R Bull CBBC Oct 2018 U | 30,000,000 | 2018/06/26 | 2018/10/25 | 10.20 |
| 60124 | UBS AG – Tencent R Bear CBBC Oct 2018 M | 30,000,000 | 2018/06/26 | 2018/10/24 | 10.20 |
| 60125 | UBS AG – Tencent R Bear CBBC Oct 2018 N | 30,000,000 | 2018/06/26 | 2018/10/31 | 10.20 |
| 69715 | Bank Vontobel – CC Bank R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/26 | 2018/10/26 | 10.00 |
| 69708 | Bank Vontobel – CC Bank R Bear CBBC Nov 2018 C | 40,000,000 | 2018/06/26 | 2018/11/16 | 10.04 |
| 69694 | Bank Vontobel – HKEX R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/26 | 2018/10/19 | 10.12 |
| 69640 | Bank Vontobel – HSI R Bull CBBC Jan 2019 S | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.20 |
| 69641 | Bank Vontobel – HSI R Bull CBBC Jan 2019 T | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.30 |
| 69644 | Bank Vontobel – HSI R Bull CBBC Jan 2019 U | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.10 |
| 69647 | Bank Vontobel – HSI R Bull CBBC Jan 2019 V | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.40 |
| 69652 | Bank Vontobel – HSI R Bull CBBC Jan 2019 W | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.30 |
| 69654 | Bank Vontobel – HSI R Bull CBBC Jan 2019 X | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.20 |
| 69668 | Bank Vontobel – HSI R Bull CBBC Jan 2019 Y | 100,000,000 | 2018/06/26 | 2019/01/30 | 25.20 |
| 69658 | Bank Vontobel – HSI R Bear CBBC Dec 2018 A | 100,000,000 | 2018/06/26 | 2018/12/28 | 25.00 |
| 69662 | Bank Vontobel – HSI R Bear CBBC Dec 2018 B | 100,000,000 | 2018/06/26 | 2018/12/28 | 25.10 |
| 69728 | Bank Vontobel – HSI R Bear CBBC Dec 2018 C | 100,000,000 | 2018/06/26 | 2018/12/28 | 25.70 |
| 69646 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 R | 40,000,000 | 2018/06/26 | 2018/12/14 | 10.04 |
| 69655 | Bank Vontobel – Tencent R Bear CBBC Oct 2018 E | 40,000,000 | 2018/06/26 | 2018/10/26 | 10.08 |
| 60561 | BOCI Asia Ltd. – Tencent R Bear CBBC Oct 2018 D | 40,000,000 | 2018/06/27 | 2018/10/29 | 10.48 |
| 60468 | BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2019 M | 100,000,000 | 2018/06/27 | 2019/09/27 | 25.00 |
| 60454 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2018 A | 50,000,000 | 2018/06/27 | 2018/12/28 | 12.50 |
| 60710 | Credit Suisse AG – Country Garden R Bull CBBC Dec 2018 B | 40,000,000 | 2018/06/27 | 2018/12/28 | 10.00 |
| 60703 | Credit Suisse AG – HKEX R Bear CBBC Jan 2019 C | 50,000,000 | 2018/06/27 | 2019/01/25 | 12.50 |
| 60711 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 G | 200,000,000 | 2018/06/27 | 2019/10/30 | 50.00 |
| 60713 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 R | 200,000,000 | 2018/06/27 | 2019/10/30 | 50.00 |
| 60712 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 S | 200,000,000 | 2018/06/27 | 2019/12/30 | 50.00 |
| 60668 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 B | 200,000,000 | 2018/06/27 | 2018/10/30 | 50.00 |
| 60672 | Credit Suisse AG – HSI R Bear CBBC Oct 2018 C | 200,000,000 | 2018/06/27 | 2018/10/30 | 50.00 |
| 60670 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 D | 200,000,000 | 2018/06/27 | 2018/11/29 | 50.00 |
| 60674 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 E | 200,000,000 | 2018/06/27 | 2018/11/29 | 50.00 |
| 60684 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 F | 200,000,000 | 2018/06/27 | 2018/11/29 | 50.00 |
| 60698 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 G | 200,000,000 | 2018/06/27 | 2018/11/29 | 50.00 |
| 60702 | Credit Suisse AG – HSI R Bear CBBC Dec 2018 A | 250,000,000 | 2018/06/27 | 2018/12/28 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60669 | Credit Suisse AG – Ping An R Bull CBBC Jan 2019 B | 80,000,000 | 2018/06/27 | 2019/01/25 | 20.00 |
| 60704 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 S | 50,000,000 | 2018/06/27 | 2019/01/15 | 12.50 |
| 60705 | Credit Suisse AG – Tencent R Bear CBBC Jan 2019 D | 50,000,000 | 2018/06/27 | 2019/01/18 | 12.50 |
| 60706 | Credit Suisse AG – Tencent R Bear CBBC Jan 2019 E | 50,000,000 | 2018/06/27 | 2019/01/25 | 12.50 |
| 60743 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Jan 2019 B | 100,000,000 | 2018/06/27 | 2019/01/31 | 25.00 |
| 60751 | Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Mar 2019 E | 100,000,000 | 2018/06/27 | 2019/03/27 | 25.00 |
| 60744 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Jan 2019 C | 100,000,000 | 2018/06/27 | 2019/01/31 | 25.00 |
| 60368 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Feb 2019 D | 100,000,000 | 2018/06/27 | 2019/02/18 | 25.00 |
| 60714 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 H | 100,000,000 | 2018/06/27 | 2019/10/30 | 25.10 |
| 60715 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 S | 100,000,000 | 2018/06/27 | 2019/10/30 | 25.00 |
| 60724 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 T | 100,000,000 | 2018/06/27 | 2019/10/30 | 25.10 |
| 60720 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2019 V | 100,000,000 | 2018/06/27 | 2019/10/30 | 25.10 |
| 60378 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 F | 100,000,000 | 2018/06/27 | 2018/11/29 | 25.00 |
| 60374 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 H | 100,000,000 | 2018/06/27 | 2018/11/29 | 25.00 |
| 60377 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 I | 100,000,000 | 2018/06/27 | 2018/11/29 | 25.10 |
| 60381 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 M | 100,000,000 | 2018/06/27 | 2018/11/29 | 25.10 |
| 60385 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 N | 100,000,000 | 2018/06/27 | 2018/11/29 | 25.00 |
| 60373 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Feb 2019 F | 100,000,000 | 2018/06/27 | 2019/02/27 | 25.00 |
| 60731 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2018 W | 100,000,000 | 2018/06/27 | 2018/12/31 | 25.00 |
| 60445 | HK Bank – AAC Tech R Bear CBBC Oct 2018 A | 40,000,000 | 2018/06/27 | 2018/10/22 | 10.00 |
| 60416 | HK Bank – CC Bank R Bull CBBC Feb 2019 A | 100,000,000 | 2018/06/27 | 2019/02/04 | 25.00 |
| 60442 | HK Bank – China Life R Bull CBBC Jan 2019 A | 40,000,000 | 2018/06/27 | 2019/01/07 | 14.00 |
| 60431 | HK Bank – CSOP A50 ETF R Bull CBBC Feb 2019 A | 50,000,000 | 2018/06/27 | 2019/02/11 | 12.50 |
| 60433 | HK Bank – CSOP A50 ETF R Bear CBBC Nov 2018 A | 40,000,000 | 2018/06/27 | 2018/11/12 | 10.00 |
| 60357 | HK Bank – HKEX R Bull CBBC Dec 2018 C | 50,000,000 | 2018/06/27 | 2018/12/03 | 12.50 |
| 60359 | HK Bank – HSI R Bull CBBC Jul 2019 K | 100,000,000 | 2018/06/27 | 2019/07/30 | 25.00 |
| 60430 | HK Bank – HSI R Bull CBBC Sep 2019 E | 150,000,000 | 2018/06/27 | 2019/09/27 | 37.50 |
| 60409 | HK Bank – HSI R Bull CBBC Dec 2019 D | 150,000,000 | 2018/06/27 | 2019/12/30 | 37.50 |
| 60411 | HK Bank – HSI R Bear CBBC Nov 2018 C | 100,000,000 | 2018/06/27 | 2018/11/29 | 25.00 |
| 60415 | HK Bank – HSI R Bear CBBC Nov 2018 D | 150,000,000 | 2018/06/27 | 2018/11/29 | 37.50 |
| 60448 | HK Bank – ICBC R Bull CBBC Mar 2019 A | 100,000,000 | 2018/06/27 | 2019/03/04 | 25.00 |
| 60423 | HK Bank – Tencent R Bull CBBC Nov 2018 Y | 60,000,000 | 2018/06/27 | 2018/11/22 | 15.00 |
| 60420 | HK Bank – Tencent R Bear CBBC Dec 2018 D | 50,000,000 | 2018/06/27 | 2018/12/17 | 12.50 |
| 60546 | J P Morgan SP BV – Galaxy Ent R Bull CBBC Nov 2018 B | 100,000,000 | 2018/06/27 | 2018/11/09 | 25.00 |
| 60550 | J P Morgan SP BV – HSBC R Bull CBBC Nov 2018 D | 100,000,000 | 2018/06/27 | 2018/11/09 | 25.00 |
| 60493 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/06/27 | 2019/11/28 | 50.00 |
| 60754 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 R | 200,000,000 | 2018/06/27 | 2019/11/28 | 50.00 |
| 60485 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 K | 200,000,000 | 2018/06/27 | 2019/12/30 | 50.00 |
| 60495 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 T | 300,000,000 | 2018/06/27 | 2018/10/30 | 75.00 |
| 60502 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/06/27 | 2018/10/30 | 50.00 |
| 60503 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 V | 200,000,000 | 2018/06/27 | 2018/10/30 | 50.00 |
| 60515 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 W | 300,000,000 | 2018/06/27 | 2018/10/30 | 75.00 |
| 60519 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 X | 200,000,000 | 2018/06/27 | 2018/10/30 | 50.00 |
| 60522 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 Y | 300,000,000 | 2018/06/27 | 2018/10/30 | 75.00 |
| 60551 | J P Morgan SP BV – ICBC R Bull CBBC Dec 2018 A | 100,000,000 | 2018/06/27 | 2018/12/14 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60526 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 P | 50,000,000 | 2018/06/27 | 2018/10/19 | 12.50 |
| 60530 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 H | 50,000,000 | 2018/06/27 | 2018/10/12 | 12.50 |
| 60451 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 M | 16,000,000 | 2018/06/27 | 2018/12/14 | 10.00 |
| 60452 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 H | 13,500,000 | 2018/06/27 | 2018/12/14 | 10.03 |
| 60820 | SG Issuer – AIA R Bear CBBC Nov 2018 C | 60,000,000 | 2018/06/27 | 2018/11/16 | 15.00 |
| 60764 | SG Issuer – Bank of China R Bull CBBC May 2019 A | 100,000,000 | 2018/06/27 | 2019/05/30 | 25.00 |
| 60824 | SG Issuer – Country Garden R Bull CBBC Dec 2018 C | 40,000,000 | 2018/06/27 | 2018/12/07 | 10.00 |
| 60832 | SG Issuer – Country Garden R Bull CBBC Dec 2018 D | 40,000,000 | 2018/06/27 | 2018/12/21 | 10.00 |
| 60823 | SG Issuer – CNOOC R Bull CBBC Nov 2018 E | 40,000,000 | 2018/06/27 | 2018/11/23 | 10.00 |
| 60821 | SG Issuer – CPIC R Bull CBBC Jul 2019 A | 100,000,000 | 2018/06/27 | 2019/07/30 | 25.00 |
| 60785 | SG Issuer – HKEX R Bull CBBC Oct 2018 Q | 50,000,000 | 2018/06/27 | 2018/10/26 | 12.50 |
| 60846 | SG Issuer – HSI R Bull CBBC Oct 2019 E | 200,000,000 | 2018/06/27 | 2019/10/30 | 50.00 |
| 60849 | SG Issuer – HSI R Bull CBBC Nov 2019 H | 200,000,000 | 2018/06/27 | 2019/11/28 | 50.00 |
| 60850 | SG Issuer – HSI R Bull CBBC Nov 2019 I | 200,000,000 | 2018/06/27 | 2019/11/28 | 50.00 |
| 60853 | SG Issuer – HSI R Bear CBBC Oct 2018 X | 200,000,000 | 2018/06/27 | 2018/10/30 | 50.00 |
| 60774 | SG Issuer – HSI R Bear CBBC Nov 2018 D | 200,000,000 | 2018/06/27 | 2018/11/29 | 50.00 |
| 60775 | SG Issuer – HSI R Bear CBBC Nov 2018 M | 200,000,000 | 2018/06/27 | 2018/11/29 | 50.00 |
| 60762 | SG Issuer – HSI R Bear CBBC Dec 2018 T | 200,000,000 | 2018/06/27 | 2018/12/28 | 50.00 |
| 60777 | SG Issuer – HSI R Bear CBBC Dec 2018 U | 200,000,000 | 2018/06/27 | 2018/12/28 | 50.00 |
| 60783 | SG Issuer – HSI R Bear CBBC Dec 2018 W | 200,000,000 | 2018/06/27 | 2018/12/28 | 50.00 |
| 60834 | SG Issuer – ICBC R Bull CBBC Dec 2018 F | 60,000,000 | 2018/06/27 | 2018/12/14 | 15.00 |
| 60765 | SG Issuer – Ping An R Bull CBBC Nov 2018 X | 100,000,000 | 2018/06/27 | 2018/11/22 | 25.00 |
| 60838 | SG Issuer – Tencent R Bull CBBC Oct 2018 B | 80,000,000 | 2018/06/27 | 2018/10/22 | 20.00 |
| 60804 | SG Issuer – Tencent R Bull CBBC Oct 2018 W | 80,000,000 | 2018/06/27 | 2018/10/18 | 20.00 |
| 60815 | SG Issuer – Tencent R Bear CBBC Oct 2018 P | 80,000,000 | 2018/06/27 | 2018/10/22 | 20.00 |
| 60632 | UBS AG – CSOP A50 ETF R Bull CBBC Oct 2018 L | 50,000,000 | 2018/06/27 | 2018/10/08 | 12.50 |
| 60635 | UBS AG – A50 China R Bull CBBC Oct 2018 F | 50,000,000 | 2018/06/27 | 2018/10/22 | 12.50 |
| 60630 | UBS AG – HSCEI R Bear CBBC Oct 2018 A | 100,000,000 | 2018/06/27 | 2018/10/30 | 25.00 |
| 60665 | UBS AG – HSI R Bull CBBC Oct 2019 F | 200,000,000 | 2018/06/27 | 2019/10/30 | 50.00 |
| 60660 | UBS AG – HSI R Bull CBBC Oct 2019 W | 250,000,000 | 2018/06/27 | 2019/10/30 | 62.50 |
| 60575 | UBS AG – HSI R Bull CBBC Nov 2019 G | 250,000,000 | 2018/06/27 | 2019/11/28 | 62.50 |
| 60578 | UBS AG – HSI R Bear CBBC Oct 2018 M | 250,000,000 | 2018/06/27 | 2018/10/30 | 62.50 |
| 60584 | UBS AG – HSI R Bear CBBC Oct 2018 N | 200,000,000 | 2018/06/27 | 2018/10/30 | 50.00 |
| 60591 | UBS AG – HSI R Bear CBBC Oct 2018 O | 250,000,000 | 2018/06/27 | 2018/10/30 | 62.50 |
| 60609 | UBS AG – HSI R Bear CBBC Oct 2018 P | 250,000,000 | 2018/06/27 | 2018/10/30 | 62.50 |
| 60622 | UBS AG – HSI R Bear CBBC Oct 2018 Q | 250,000,000 | 2018/06/27 | 2018/10/30 | 62.50 |
| 60646 | UBS AG – Tencent R Bear CBBC Oct 2018 O | 30,000,000 | 2018/06/27 | 2018/10/18 | 10.20 |
| 60390 | Bank Vontobel – HSI N Bull CBBC Feb 2019 A | 100,000,000 | 2018/06/27 | 2019/02/27 | 25.00 |
| 60395 | Bank Vontobel – HSI N Bull CBBC Feb 2019 B | 100,000,000 | 2018/06/27 | 2019/02/27 | 25.20 |
| 60407 | Bank Vontobel – HSI N Bear CBBC Dec 2018 D | 100,000,000 | 2018/06/27 | 2018/12/28 | 25.10 |
| 60408 | Bank Vontobel – HSI N Bear CBBC Dec 2018 E | 100,000,000 | 2018/06/27 | 2018/12/28 | 25.40 |
| 61174 | Credit Suisse AG – CC Bank R Bear CBBC Nov 2018 B | 50,000,000 | 2018/06/28 | 2018/11/29 | 12.50 |
| 61184 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2019 I | 50,000,000 | 2018/06/28 | 2019/01/30 | 12.50 |
| 61191 | Credit Suisse AG – A50 China R Bull CBBC Jan 2019 B | 50,000,000 | 2018/06/28 | 2019/01/30 | 12.50 |
| 61144 | Credit Suisse AG – HKEX R Bull CBBC Feb 2019 C | 50,000,000 | 2018/06/28 | 2019/02/27 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 61149 | Credit Suisse AG – HKEX R Bear CBBC Jan 2019 D | 50,000,000 | 2018/06/28 | 2019/01/17 | 12.50 |
| 61132 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 T | 200,000,000 | 2018/06/28 | 2019/10/30 | 50.00 |
| 61134 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 U | 200,000,000 | 2018/06/28 | 2019/10/30 | 50.00 |
| 61214 | Credit Suisse AG – HSI R Bull CBBC Oct 2019 W | 200,000,000 | 2018/06/28 | 2019/10/30 | 50.00 |
| 61128 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 Y | 200,000,000 | 2018/06/28 | 2019/11/28 | 50.00 |
| 61208 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 T | 250,000,000 | 2018/06/28 | 2019/12/30 | 62.50 |
| 61136 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 H | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61198 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 I | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61200 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 J | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61207 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 K | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61203 | Credit Suisse AG – HSI R Bear CBBC Dec 2018 B | 250,000,000 | 2018/06/28 | 2018/12/28 | 62.50 |
| 61206 | Credit Suisse AG – HSI R Bear CBBC Dec 2018 C | 200,000,000 | 2018/06/28 | 2018/12/28 | 50.00 |
| 61213 | Credit Suisse AG – HSI R Bear CBBC Dec 2018 D | 200,000,000 | 2018/06/28 | 2018/12/28 | 50.00 |
| 61183 | Credit Suisse AG – ICBC R Bear CBBC Nov 2018 B | 50,000,000 | 2018/06/28 | 2018/11/29 | 12.50 |
| 61158 | Credit Suisse AG – Tencent R Bull CBBC Feb 2019 A | 50,000,000 | 2018/06/28 | 2019/02/27 | 24.50 |
| 61163 | Credit Suisse AG – Tencent R Bull CBBC Feb 2019 B | 50,000,000 | 2018/06/28 | 2019/02/27 | 29.00 |
| 60996 | Bank of East Asia – Ping An R Bull CBBC Feb 2019 B | 120,000,000 | 2018/06/28 | 2019/02/26 | 30.00 |
| 60987 | Bank of East Asia – Tencent R Bull CBBC Feb 2019 F | 30,000,000 | 2018/06/28 | 2019/02/26 | 10.50 |
| 60992 | Bank of East Asia – Tencent R Bull CBBC Feb 2019 G | 30,000,000 | 2018/06/28 | 2019/02/26 | 10.50 |
| 60986 | Bank of East Asia – Tencent R Bear CBBC Feb 2019 A | 30,000,000 | 2018/06/28 | 2019/02/26 | 18.00 |
| 60981 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Feb 2019 E | 100,000,000 | 2018/06/28 | 2019/02/18 | 25.00 |
| 60890 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2019 F | 100,000,000 | 2018/06/28 | 2019/11/28 | 25.10 |
| 61363 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 B | 100,000,000 | 2018/06/28 | 2019/12/30 | 25.00 |
| 60985 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 R | 100,000,000 | 2018/06/28 | 2019/12/30 | 25.10 |
| 60864 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 T | 100,000,000 | 2018/06/28 | 2018/11/29 | 25.10 |
| 60876 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2018 U | 100,000,000 | 2018/06/28 | 2018/11/29 | 25.00 |
| 60880 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 J | 100,000,000 | 2018/06/28 | 2018/12/28 | 25.00 |
| 60884 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 K | 100,000,000 | 2018/06/28 | 2018/12/28 | 25.20 |
| 61362 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Feb 2019 L | 100,000,000 | 2018/06/28 | 2019/02/28 | 25.00 |
| 60893 | HK Bank – AAC Tech R Bull CBBC Jan 2019 A | 40,000,000 | 2018/06/28 | 2019/01/07 | 10.00 |
| 60979 | HK Bank – Bank of China R Bull CBBC Feb 2019 A | 100,000,000 | 2018/06/28 | 2019/02/04 | 25.00 |
| 60969 | HK Bank – CM Bank R Bull CBBC Apr 2019 A | 100,000,000 | 2018/06/28 | 2019/04/15 | 25.00 |
| 60966 | HK Bank – CM Bank R Bull CBBC May 2019 A | 100,000,000 | 2018/06/28 | 2019/05/06 | 25.00 |
| 60898 | HK Bank – Galaxy Ent R Bull CBBC Dec 2018 B | 80,000,000 | 2018/06/28 | 2018/12/17 | 20.00 |
| 60964 | HK Bank – HKEX R Bull CBBC Nov 2018 Q | 50,000,000 | 2018/06/28 | 2018/11/19 | 12.50 |
| 60901 | HK Bank – HKEX R Bull CBBC Jan 2019 A | 50,000,000 | 2018/06/28 | 2019/01/07 | 15.00 |
| 60899 | HK Bank – HKEX R Bull CBBC Feb 2019 A | 50,000,000 | 2018/06/28 | 2019/02/04 | 15.00 |
| 60954 | HK Bank – HSI R Bull CBBC Jul 2019 L | 150,000,000 | 2018/06/28 | 2019/07/30 | 37.50 |
| 60916 | HK Bank – HSI R Bull CBBC Dec 2019 E | 100,000,000 | 2018/06/28 | 2019/12/30 | 25.00 |
| 60948 | HK Bank – HSI R Bull CBBC Dec 2019 F | 100,000,000 | 2018/06/28 | 2019/12/30 | 25.00 |
| 60908 | HK Bank – HSI R Bear CBBC Nov 2018 E | 150,000,000 | 2018/06/28 | 2018/11/29 | 37.50 |
| 60938 | HK Bank – HSI R Bear CBBC Nov 2018 F | 100,000,000 | 2018/06/28 | 2018/11/29 | 25.00 |
| 60902 | HK Bank – ICBC R Bull CBBC Jan 2019 A | 100,000,000 | 2018/06/28 | 2019/01/07 | 25.00 |
| 60971 | HK Bank – Ping An R Bull CBBC Jan 2019 A | 100,000,000 | 2018/06/28 | 2019/01/07 | 25.00 |
| 61062 | J P Morgan SP BV – AAC Tech R Bull CBBC Oct 2018 C | 40,000,000 | 2018/06/28 | 2018/10/19 | 10.20 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 61066 | J P Morgan SP BV – CC Bank R Bull CBBC Oct 2018 B | 100,000,000 | 2018/06/28 | 2018/10/19 | 25.00 |
| 61058 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 F | 300,000,000 | 2018/06/28 | 2019/10/30 | 75.00 |
| 61056 | J P Morgan SP BV – HSI R Bull CBBC Oct 2019 H | 300,000,000 | 2018/06/28 | 2019/10/30 | 75.00 |
| 61078 | J P Morgan SP BV – HSI R Bear CBBC Oct 2018 Z | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61080 | J P Morgan SP BV – HSI R Bear CBBC Nov 2018 A | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61088 | J P Morgan SP BV – HSI R Bear CBBC Nov 2018 B | 240,000,000 | 2018/06/28 | 2018/11/29 | 60.00 |
| 61096 | J P Morgan SP BV – HSI R Bear CBBC Nov 2018 C | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61110 | J P Morgan SP BV – HSI R Bear CBBC Nov 2018 D | 300,000,000 | 2018/06/28 | 2018/11/29 | 75.00 |
| 61113 | J P Morgan SP BV – HSI R Bear CBBC Nov 2018 E | 300,000,000 | 2018/06/28 | 2018/11/29 | 75.00 |
| 61065 | J P Morgan SP BV – Sunny Optical R Bear CBBC Oct 2018 B | 40,000,000 | 2018/06/28 | 2018/10/19 | 10.00 |
| 61073 | J P Morgan SP BV – Tencent R Bull CBBC Nov 2018 B | 50,000,000 | 2018/06/28 | 2018/11/09 | 12.50 |
| 61072 | J P Morgan SP BV – Tencent R Bear CBBC Oct 2018 I | 50,000,000 | 2018/06/28 | 2018/10/12 | 12.50 |
| 61300 | SG Issuer – AAC Tech R Bear CBBC Nov 2018 B | 40,000,000 | 2018/06/28 | 2018/11/20 | 10.00 |
| 61246 | SG Issuer – Geely Auto R Bull CBBC Dec 2019 A | 100,000,000 | 2018/06/28 | 2019/12/30 | 25.00 |
| 61347 | SG Issuer – HKEX R Bull CBBC Oct 2018 R | 50,000,000 | 2018/06/28 | 2018/10/19 | 12.50 |
| 61247 | SG Issuer – HKEX R Bull CBBC Dec 2018 Q | 50,000,000 | 2018/06/28 | 2018/12/07 | 17.50 |
| 61249 | SG Issuer – HKEX R Bull CBBC Dec 2018 R | 50,000,000 | 2018/06/28 | 2018/12/13 | 20.00 |
| 61252 | SG Issuer – HKEX R Bull CBBC Dec 2018 S | 50,000,000 | 2018/06/28 | 2018/12/17 | 22.50 |
| 61348 | SG Issuer – HSBC R Bull CBBC Dec 2018 M | 100,000,000 | 2018/06/28 | 2018/12/12 | 25.00 |
| 61349 | SG Issuer – HSBC R Bull CBBC Dec 2018 N | 100,000,000 | 2018/06/28 | 2018/12/19 | 25.00 |
| 61298 | SG Issuer – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/06/28 | 2019/10/30 | 50.00 |
| 61322 | SG Issuer – HSI R Bull CBBC Oct 2019 P | 200,000,000 | 2018/06/28 | 2019/10/30 | 50.00 |
| 61329 | SG Issuer – HSI R Bull CBBC Nov 2019 J | 200,000,000 | 2018/06/28 | 2019/11/28 | 50.00 |
| 61330 | SG Issuer – HSI R Bull CBBC Dec 2019 J | 200,000,000 | 2018/06/28 | 2019/12/30 | 50.00 |
| 61263 | SG Issuer – HSI R Bear CBBC Oct 2018 H | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61264 | SG Issuer – HSI R Bear CBBC Oct 2018 K | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61269 | SG Issuer – HSI R Bear CBBC Nov 2018 C | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61267 | SG Issuer – HSI R Bear CBBC Nov 2018 Z | 200,000,000 | 2018/06/28 | 2018/11/29 | 50.00 |
| 61284 | SG Issuer – HSI R Bear CBBC Dec 2018 V | 200,000,000 | 2018/06/28 | 2018/12/28 | 50.00 |
| 61270 | SG Issuer – HSI R Bear CBBC Dec 2018 Y | 200,000,000 | 2018/06/28 | 2018/12/28 | 50.00 |
| 61294 | SG Issuer – HSI R Bear CBBC Dec 2018 Z | 200,000,000 | 2018/06/28 | 2018/12/28 | 50.00 |
| 61221 | SG Issuer – Ping An R Bull CBBC Oct 2018 K | 100,000,000 | 2018/06/28 | 2018/10/29 | 25.00 |
| 61230 | SG Issuer – Ping An R Bull CBBC Dec 2018 H | 100,000,000 | 2018/06/28 | 2018/12/19 | 25.00 |
| 61223 | SG Issuer – Ping An R Bear CBBC Nov 2018 G | 100,000,000 | 2018/06/28 | 2018/11/20 | 25.00 |
| 61235 | SG Issuer – Sands China R Bull CBBC Apr 2019 A | 60,000,000 | 2018/06/28 | 2019/04/15 | 15.00 |
| 61241 | SG Issuer – Sands China R Bull CBBC Apr 2019 B | 60,000,000 | 2018/06/28 | 2019/04/26 | 15.00 |
| 61302 | SG Issuer – Sunny Optical R Bear CBBC Nov 2018 B | 40,000,000 | 2018/06/28 | 2018/11/08 | 10.00 |
| 61307 | SG Issuer – Tencent R Bull CBBC Sep 2018 K | 80,000,000 | 2018/06/28 | 2018/09/28 | 20.00 |
| 61245 | SG Issuer – Tencent R Bull CBBC Sep 2018 N | 80,000,000 | 2018/06/28 | 2018/09/28 | 20.00 |
| 61259 | SG Issuer – Tencent R Bull CBBC Dec 2018 A | 80,000,000 | 2018/06/28 | 2018/12/21 | 51.20 |
| 61262 | SG Issuer – Tencent R Bull CBBC Dec 2018 G | 80,000,000 | 2018/06/28 | 2018/12/28 | 71.20 |
| 61310 | SG Issuer – Tencent R Bear CBBC Sep 2018 O | 80,000,000 | 2018/06/28 | 2018/09/28 | 20.00 |
| 61117 | UBS AG – HSI R Bull CBBC Nov 2019 L | 200,000,000 | 2018/06/28 | 2019/11/28 | 50.00 |
| 61001 | UBS AG – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/06/28 | 2019/12/30 | 50.00 |
| 61004 | UBS AG – HSI R Bear CBBC Oct 2018 R | 250,000,000 | 2018/06/28 | 2018/10/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61008 | UBS AG – HSI R Bear CBBC Oct 2018 S | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61009 | UBS AG – HSI R Bear CBBC Oct 2018 T | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61038 | UBS AG – HSI R Bear CBBC Oct 2018 U | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61054 | UBS AG – HSI R Bear CBBC Oct 2018 V | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61055 | UBS AG – HSI R Bear CBBC Oct 2018 W | 200,000,000 | 2018/06/28 | 2018/10/30 | 50.00 |
| 61040 | UBS AG – Tencent R Bull CBBC Nov 2018 K | 30,000,000 | 2018/06/28 | 2018/11/12 | 10.20 |
| 61582 | BOCI Asia Ltd. – Geely Auto R Bull CBBC Oct 2018 D | 40,000,000 | 2018/06/29 | 2018/10/29 | 12.12 |
| 61577 | BOCI Asia Ltd. – HKEX R Bull CBBC Oct 2018 B | 40,000,000 | 2018/06/29 | 2018/10/08 | 10.40 |
| 61546 | BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2018 F | 40,000,000 | 2018/06/29 | 2018/10/29 | 10.04 |
| 61564 | BOCI Asia Ltd. – Tencent R Bear CBBC Oct 2018 E | 40,000,000 | 2018/06/29 | 2018/10/29 | 10.32 |
| 61461 | BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Nov 2018 Y | 100,000,000 | 2018/06/29 | 2018/11/29 | 25.00 |
| 61452 | BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2018 Z | 100,000,000 | 2018/06/29 | 2018/11/29 | 25.00 |
| 61428 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC May 2019 A | 50,000,000 | 2018/06/29 | 2019/05/30 | 12.50 |
| 61437 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 G | 50,000,000 | 2018/06/29 | 2019/03/28 | 12.50 |
| 61439 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Mar 2019 H | 50,000,000 | 2018/06/29 | 2019/03/28 | 12.50 |
| 61450 | BNP Paribas Issuance B.V. – HSBC R Bear CBBC Jan 2019 A | 150,000,000 | 2018/06/29 | 2019/01/30 | 37.50 |
| 61735 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 F | 250,000,000 | 2018/06/29 | 2019/12/30 | 62.50 |
| 61411 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 L | 250,000,000 | 2018/06/29 | 2019/12/30 | 62.50 |
| 61402 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Dec 2019 P | 250,000,000 | 2018/06/29 | 2019/12/30 | 62.50 |
| 61412 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 I | 250,000,000 | 2018/06/29 | 2019/01/30 | 62.50 |
| 61420 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Jan 2019 J | 250,000,000 | 2018/06/29 | 2019/01/30 | 62.50 |
| 61441 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2018 O | 200,000,000 | 2018/06/29 | 2018/11/29 | 50.00 |
| 61425 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2018 L | 50,000,000 | 2018/06/29 | 2018/11/29 | 12.50 |
| 61724 | Credit Suisse AG – Country Garden R Bull CBBC Jan 2019 B | 40,000,000 | 2018/06/29 | 2019/01/30 | 10.00 |
| 61733 | Credit Suisse AG – CSOP A50 ETF R Bull CBBC Feb 2019 A | 50,000,000 | 2018/06/29 | 2019/02/27 | 12.50 |
| 61732 | Credit Suisse AG – HSI R Bull CBBC Nov 2019 B | 250,000,000 | 2018/06/29 | 2019/11/28 | 62.50 |
| 61731 | Credit Suisse AG – HSI R Bull CBBC Dec 2019 U | 250,000,000 | 2018/06/29 | 2019/12/30 | 62.50 |
| 61728 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 L | 200,000,000 | 2018/06/29 | 2018/11/29 | 50.00 |
| 61729 | Credit Suisse AG – HSI R Bear CBBC Nov 2018 M | 200,000,000 | 2018/06/29 | 2018/11/29 | 50.00 |
| 61730 | Credit Suisse AG – HSI R Bear CBBC Dec 2018 E | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.00 |
| 61718 | Credit Suisse AG – Tencent R Bull CBBC Dec 2018 E | 50,000,000 | 2018/06/29 | 2018/12/28 | 12.50 |
| 61720 | Credit Suisse AG – Tencent R Bull CBBC Jan 2019 T | 50,000,000 | 2018/06/29 | 2019/01/30 | 13.50 |
| 61375 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Feb 2019 F | 100,000,000 | 2018/06/29 | 2019/02/18 | 25.00 |
| 61738 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jan 2019 C | 100,000,000 | 2018/06/29 | 2019/01/31 | 25.00 |
| 61366 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 E | 100,000,000 | 2018/06/29 | 2019/12/30 | 25.00 |
| 61736 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 H | 200,000,000 | 2018/06/29 | 2019/12/30 | 50.00 |
| 61652 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2019 M | 200,000,000 | 2018/06/29 | 2019/12/30 | 50.20 |
| 61593 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 L | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.00 |
| 61594 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 M | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.00 |
| 61600 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 N | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.40 |
| 61601 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 O | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.00 |
| 61611 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 P | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.00 |
| 61621 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 Q | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.20 |
| 61636 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 R | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.20 |
| 61651 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2018 S | 200,000,000 | 2018/06/29 | 2018/12/28 | 50.40 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61376 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Feb 2019 G | 100,000,000 | 2018/06/29 | 2019/02/27 | 25.00 |
| 61388 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Feb 2019 V | 100,000,000 | 2018/06/29 | 2019/02/18 | 25.00 |
| 61490 | HK Bank – AIA R Bull CBBC Nov 2018 D | 80,000,000 | 2018/06/29 | 2018/11/19 | 20.00 |
| 61491 | HK Bank – Geely Auto R Bull CBBC Dec 2018 A | 40,000,000 | 2018/06/29 | 2018/12/03 | 12.00 |
| 61511 | HK Bank – HKEX R Bear CBBC Nov 2018 E | 40,000,000 | 2018/06/29 | 2018/11/19 | 10.00 |
| 61476 | HK Bank – HSCEI R Bear CBBC Nov 2018 C | 50,000,000 | 2018/06/29 | 2018/11/29 | 12.50 |
| 61464 | HK Bank – HSI R Bull CBBC Jul 2019 M | 100,000,000 | 2018/06/29 | 2019/07/30 | 25.00 |
| 61472 | HK Bank – HSI R Bull CBBC Sep 2019 F | 150,000,000 | 2018/06/29 | 2019/09/27 | 37.50 |
| 61466 | HK Bank – HSI R Bull CBBC Dec 2019 G | 150,000,000 | 2018/06/29 | 2019/12/30 | 37.50 |
| 61468 | HK Bank – HSI R Bull CBBC Dec 2019 H | 150,000,000 | 2018/06/29 | 2019/12/30 | 37.50 |
| 61469 | HK Bank – HSI R Bull CBBC Dec 2019 I | 150,000,000 | 2018/06/29 | 2019/12/30 | 37.50 |
| 61475 | HK Bank – HSI R Bull CBBC Dec 2019 J | 100,000,000 | 2018/06/29 | 2019/12/30 | 25.00 |
| 61487 | HK Bank – HSI R Bull CBBC Dec 2019 K | 100,000,000 | 2018/06/29 | 2019/12/30 | 25.00 |
| 61488 | HK Bank – HSI R Bear CBBC Nov 2018 G | 150,000,000 | 2018/06/29 | 2018/11/29 | 37.50 |
| 61489 | HK Bank – HSI R Bear CBBC Nov 2018 H | 100,000,000 | 2018/06/29 | 2018/11/29 | 25.00 |
| 61462 | HK Bank – Tencent R Bull CBBC Dec 2018 F | 60,000,000 | 2018/06/29 | 2018/12/10 | 15.00 |
| 61501 | HK Bank – Tencent R Bear CBBC Nov 2018 I | 50,000,000 | 2018/06/29 | 2018/11/22 | 12.50 |
| 61705 | J P Morgan SP BV – HKEX R Bull CBBC Oct 2018 F | 40,000,000 | 2018/06/29 | 2018/10/12 | 10.00 |
| 61710 | J P Morgan SP BV – HKEX R Bull CBBC Oct 2018 G | 40,000,000 | 2018/06/29 | 2018/10/19 | 10.00 |
| 61692 | J P Morgan SP BV – HSI R Bull CBBC Nov 2019 Y | 300,000,000 | 2018/06/29 | 2019/11/28 | 75.00 |
| 61689 | J P Morgan SP BV – HSI R Bull CBBC Dec 2019 Z | 200,000,000 | 2018/06/29 | 2019/12/30 | 50.00 |
| 61696 | J P Morgan SP BV – HSI R Bear CBBC Nov 2018 F | 200,000,000 | 2018/06/29 | 2018/11/29 | 50.00 |
| 61698 | J P Morgan SP BV – HSI R Bear CBBC Nov 2018 G | 200,000,000 | 2018/06/29 | 2018/11/29 | 50.00 |
| 61699 | J P Morgan SP BV – Tencent R Bull CBBC Oct 2018 Q | 50,000,000 | 2018/06/29 | 2018/10/19 | 12.50 |
| 61585 | Macquarie Bank Ltd. – Tencent R Bull CBBC Dec 2018 N | 16,300,000 | 2018/06/29 | 2018/12/14 | 10.01 |
| 61592 | Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2018 I | 14,800,000 | 2018/06/29 | 2018/12/14 | 10.02 |
| 61831 | SG Issuer – AIA R Bull CBBC Dec 2018 M | 60,000,000 | 2018/06/29 | 2018/12/05 | 15.00 |
| 61802 | SG Issuer – CSOP A50 ETF R Bull CBBC Nov 2018 S | 60,000,000 | 2018/06/29 | 2018/11/30 | 15.00 |
| 61803 | SG Issuer – A50 China R Bull CBBC Nov 2018 K | 50,000,000 | 2018/06/29 | 2018/11/30 | 12.50 |
| 61763 | SG Issuer – HKEX R Bull CBBC Nov 2018 W | 50,000,000 | 2018/06/29 | 2018/11/20 | 12.50 |
| 61761 | SG Issuer – HKEX R Bear CBBC Nov 2018 E | 50,000,000 | 2018/06/29 | 2018/11/05 | 12.50 |
| 61758 | SG Issuer – HSCEI R Bull CBBC Dec 2018 X | 100,000,000 | 2018/06/29 | 2018/12/28 | 25.00 |
| 61759 | SG Issuer – HSCEI R Bear CBBC Dec 2018 F | 100,000,000 | 2018/06/29 | 2018/12/28 | 25.00 |
| 61793 | SG Issuer – HSI R Bull CBBC Oct 2019 R | 200,000,000 | 2018/06/29 | 2019/10/30 | 50.00 |
| 61798 | SG Issuer – HSI R Bull CBBC Dec 2019 O | 200,000,000 | 2018/06/29 | 2019/12/30 | 50.00 |
| 61807 | SG Issuer – HSI R Bear CBBC Nov 2018 B | 200,000,000 | 2018/06/29 | 2018/11/29 | 50.00 |
| 61814 | SG Issuer – HSI R Bear CBBC Nov 2018 Q | 200,000,000 | 2018/06/29 | 2018/11/29 | 50.00 |
| 61829 | SG Issuer – HSI R Bear CBBC Jan 2019 A | 200,000,000 | 2018/06/29 | 2019/01/30 | 50.00 |
| 61849 | SG Issuer – HSI R Bear CBBC Jan 2019 B | 200,000,000 | 2018/06/29 | 2019/01/30 | 50.00 |
| 61776 | SG Issuer – PetroChina R Bull CBBC Dec 2018 H | 40,000,000 | 2018/06/29 | 2018/12/28 | 10.00 |
| 61781 | SG Issuer – Ping An R Bull CBBC Nov 2018 Y | 100,000,000 | 2018/06/29 | 2018/11/23 | 25.00 |
| 61792 | SG Issuer – Sunny Optical R Bear CBBC Sep 2018 B | 40,000,000 | 2018/06/29 | 2018/09/28 | 10.00 |
| 61838 | SG Issuer – Tencent R Bull CBBC Sep 2018 T | 80,000,000 | 2018/06/29 | 2018/09/28 | 20.00 |
| 61848 | SG Issuer – Tencent R Bull CBBC Oct 2018 D | 80,000,000 | 2018/06/29 | 2018/10/08 | 20.00 |
| 61799 | SG Issuer – Tencent R Bear CBBC Oct 2018 Q | 80,000,000 | 2018/06/29 | 2018/10/08 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|--------------|--|-------------------------|--------------|------------|------------------------------|
| 61800 | SG Issuer – Tencent R Bear CBBC Oct 2018 R | 80,000,000 | 2018/06/29 | 2018/10/15 | 20.00 |
| 61682 | UBS AG – China Life R Bull CBBC Nov 2018 B | 40,000,000 | 2018/06/29 | 2018/11/05 | 10.00 |
| 61685 | UBS AG – HSI R Bull CBBC Oct 2019 J | 200,000,000 | 2018/06/29 | 2019/10/30 | 50.00 |
| 61653 | UBS AG – HSI R Bull CBBC Oct 2019 X | 250,000,000 | 2018/06/29 | 2019/10/30 | 62.50 |
| 61683 | UBS AG – HSI R Bear CBBC Oct 2018 X | 200,000,000 | 2018/06/29 | 2018/10/30 | 50.00 |
| 61684 | UBS AG – HSI R Bear CBBC Oct 2018 Y | 250,000,000 | 2018/06/29 | 2018/10/30 | 62.50 |
| 61662 | UBS AG – Tencent R Bull CBBC Oct 2018 Q | 30,000,000 | 2018/06/29 | 2018/10/23 | 10.20 |
| 61665 | UBS AG – Tencent R Bear CBBC Oct 2018 P | 30,000,000 | 2018/06/29 | 2018/10/29 | 10.20 |
| 61523 | Bank Vontobel – HKEX R Bull CBBC Jan 2019 A | 40,000,000 | 2018/06/29 | 2019/01/18 | 11.08 |
| 61530 | Bank Vontobel – HKEX R Bear CBBC Nov 2018 D | 40,000,000 | 2018/06/29 | 2018/11/16 | 10.08 |
| 61527 | Bank Vontobel – HSBC R Bull CBBC Feb 2019 A | 40,000,000 | 2018/06/29 | 2019/02/22 | 10.08 |
| 61539 | Bank Vontobel – HSI N Bull CBBC Feb 2019 F | 100,000,000 | 2018/06/29 | 2019/02/27 | 25.40 |
| 61540 | Bank Vontobel – HSI N Bull CBBC Feb 2019 G | 100,000,000 | 2018/06/29 | 2019/02/27 | 25.30 |
| 61544 | Bank Vontobel – HSI N Bear CBBC Dec 2018 H | 100,000,000 | 2018/06/29 | 2018/12/28 | 25.20 |
| 61513 | Bank Vontobel – HSI R Bull CBBC Feb 2019 C | 100,000,000 | 2018/06/29 | 2019/02/27 | 25.10 |
| 61515 | Bank Vontobel – HSI R Bull CBBC Feb 2019 D | 100,000,000 | 2018/06/29 | 2019/02/27 | 25.60 |
| 61518 | Bank Vontobel – HSI R Bull CBBC Feb 2019 E | 100,000,000 | 2018/06/29 | 2019/02/27 | 29.80 |
| 61533 | Bank Vontobel – HSI R Bear CBBC Dec 2018 F | 100,000,000 | 2018/06/29 | 2018/12/28 | 25.10 |
| 61535 | Bank Vontobel – HSI R Bear CBBC Dec 2018 G | 100,000,000 | 2018/06/29 | 2018/12/28 | 25.40 |
| 61521 | Bank Vontobel – Tencent R Bull CBBC Dec 2018 S | 40,000,000 | 2018/06/29 | 2018/12/14 | 10.20 |
| Total | | | | | 173,579.03 |

Further issue

DR/ELI/TRADING ONLY STOCKS TRADING STATISTICS

Depository Receipt Trading Statistics

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2017 | Q2 | 2 | 11.27 |
| | Q3 | 2 | 20.30 |
| | Q4 | 2 | 69.47 |
| 2018 | Q1 | 1 | 47.76 |
| | Q2 | 1 | 15.87 |

Equity Linked Instrument Trading Statistics

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2017 | Q2 | - | - |
| | Q3 | - | - |
| | Q4 | - | - |
| 2018 | Q1 | - | - |
| | Q2 | - | - |

NASDAQ Stocks

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2017 | Q2 | 6 | 0.05 |
| | Q3 | 6 | 0.05 |
| | Q4 | 6 | 0.11 |
| 2018 | Q1 | 6 | 4.30 |
| | Q2 | 6 | 0.15 |

iShares

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2017 | Q2 | 2 | - |
| | Q3 | 2 | 0.18 |
| | Q4 | - | 0.18 |
| 2018 | Q1 | - | - |
| | Q2 | - | - |

GEM EQUITIES

Equity Turnover – GEM

| | | Share (Mil. shs) | Value (HK\$ mil.) | No. of deals |
|------|----|------------------|-------------------|--------------|
| 2017 | Q2 | 144,624.15 | 42,516.37 | 1,348,433 |
| | Q3 | 115,376.01 | 34,263.33 | 1,107,761 |
| | Q4 | 120,061.70 | 44,257.64 | 1,499,290 |
| 2018 | Q1 | 108,008.14 | 40,458.45 | 1,559,834 |
| | Q2 | 84,808.26 | 41,444.85 | 1,252,432 |

Equity Trading Statistics – GEM

| | | No. of trading days | Average daily turnover (HK\$ mil.) | Average value per deal |
|------|----|---------------------|------------------------------------|------------------------|
| 2017 | Q2 | 59 | 720.62 | 31,530 |
| | Q3 | 64 | 535.36 | 30,930 |
| | Q4 | 61 | 725.54 | 29,519 |
| 2018 | Q1 | 61 | 663.25 | 25,938 |
| | Q2 | 60 | 690.75 | 33,092 |

20 Most Advanced GEM Stocks

for 2nd quarter 2018

| Rank | Code | Stock | Closing price | | % up |
|------|-------|-----------------|-----------------|-----------------|---------|
| | | | End of Jun 2018 | End of Mar 2018 | |
| 1 | 08419 | AV PROMOTIONS | 3.040 | 0.530 | 473.58 |
| 2 | 08283 | ZHENG LI HLDG | 5.770 | 1.270 | 354.33 |
| 3 | 08487 | ISP GLOBAL | 2.680 | 0.600 | 346.67 |
| 4 | 08373 | INDIGO STAR | 2.000 | 0.900 | 122.22 |
| 5 | 08066 | PHOENITRON HOLD | 0.280 | 0.145 | 93.10 |
| 6 | 08083 | CHINA YOUZAN | 0.940 | 0.500 | 88.00 |
| 7 | 08423 | CHI HO DEV | 2.630 | 1.440 | 82.64 |
| 8 | 08103 | TRILLION GRAND | 2.030 | 1.130 | 79.65 |
| 9 | 08021 | WLS HOLDINGS | 0.085 | 0.048 | 77.08 |
| 10 | 08026 | CB GLOBAL | 0.540 | 0.310 | 74.19 |
| 11 | 08491 | COOL LINK | 0.800 | 0.475 | 68.42 |
| 12 | 08231 | PFC DEVICE | 0.335 | 0.207 | 61.84 |
| 13 | 08425 | HING MING HLDGS | 2.020 | 1.260 | 60.32 |
| 14 | 08442 | SG GROUP HLDGS | 13.500 | 8.940 | 51.01 |
| 15 | 08246 | NORTH NEWENERGY | 0.390 | 0.265 | 47.17 |
| 16 | 08358 | MIRICOR | 1.900 | 1.310 | 45.04 |
| 17 | 08445 | NOBLE ENG GP | 1.000 | 0.700 | 42.86 |
| 18 | 08375 | VERTICAL INT'L | 0.246 | 0.173 | 42.20 |
| 19 | 08345 | FIRE ROCK | 4.140 | 2.930 | A 41.30 |
| 20 | 08256 | NETEL | 0.038 | 0.027 | 40.74 |

A Adjusted

GEM EQUITIES

20 Most Declined GEM Stocks

for 2nd quarter 2018

| Rank | Code | Stock | Closing price | | % down |
|------|-------|-----------------|-----------------|-----------------|--------|
| | | | End of Jun 2018 | End of Mar 2018 | |
| 1 | 08275 | BEAVER GROUP | 0.250 | 2.230 | -88.79 |
| 2 | 08446 | ITP HOLDINGS | 0.650 | 3.120 | -79.17 |
| 3 | 08417 | DADI EDU | 0.135 | 0.610 | -77.87 |
| 4 | 08479 | JTF INTL | 0.830 | 3.100 | -73.23 |
| 5 | 08349 | MEIGU TECH | 0.510 | 1.630 | -68.71 |
| 6 | 08046 | HENG XIN CHINA | 0.012 | 0.036 | -66.67 |
| 7 | 08470 | LUMINA GROUP | 0.960 | 2.180 | -55.96 |
| 8 | 08048 | YU TAK INT'L | 0.052 | 0.114 | -54.39 |
| 9 | 08226 | KOALA FIN GP | 0.065 | 0.141 | -53.90 |
| 10 | 08420 | NEXION TECH | 0.240 | 0.510 | -52.94 |
| 11 | 08050 | QUANTUM THINK | 0.900 | 1.880 | -52.13 |
| 12 | 08372 | GBG HOLDINGS | 0.193 | 0.400 | -51.75 |
| 13 | 08340 | VINCO FINANCIAL | 0.235 | 0.470 | -50.00 |
| 14 | 08455 | LAI GROUP | 0.860 | 1.540 | -44.16 |
| 15 | 08116 | C FORTUNE INV | 0.045 | 0.078 | -42.31 |
| 16 | 08366 | ZJ UNITED INV | 0.330 | 0.570 | -42.11 |
| 17 | 08239 | CAPITAL FIN | 0.060 | 0.100 | -40.00 |
| 18 | 08473 | MI MING MART | 0.315 | 0.520 | -39.42 |
| 19 | 08416 | HM INTL HLDGS | 0.365 | 0.600 | -39.17 |
| 20 | 08309 | MANSHING GLOBAL | 0.230 | 0.370 | -37.84 |

A Adjusted

GEM EQUITIES

20 Most Active GEM Stocks by Value

for 2nd quarter 2018

| Rank | Code | Stock | Turnover (HK\$ mil.) | % of total |
|--------------|-------|-----------------|----------------------|--------------|
| 1 | 08083 | CHINA YOUZAN | 5,283.63 | 12.75 |
| 2 | 08207 | CHONGSING HLDGS | 4,855.30 | 11.72 |
| 3 | 08469 | SHENGYE CAPITAL | 1,829.04 | 4.41 |
| 4 | 08057 | MADISON HLDG | 1,800.42 | 4.34 |
| 5 | 08283 | ZHENG LI HLDG | 1,259.46 | 3.04 |
| 6 | 08392 | SATU HOLDINGS | 1,087.46 | 2.62 |
| 7 | 08228 | NATIONAL ARTS | 797.03 | 1.92 |
| 8 | 08105 | KINGSLEY EDU | 650.58 | 1.57 |
| 9 | 08275 | BEAVER GROUP | 637.45 | 1.54 |
| 10 | 08483 | MAX SIGHT GROUP | 590.22 | 1.42 |
| 11 | 08138 | TONGRENTANGCM | 552.91 | 1.33 |
| 12 | 08470 | LUMINA GROUP | 546.08 | 1.32 |
| 13 | 08156 | CHINA VANGUARD | 529.97 | 1.28 |
| 14 | 08227 | HAITIAN TIAN | 499.81 | 1.21 |
| 15 | 08287 | ZIONCOM | 491.67 | 1.19 |
| 16 | 08157 | XIANGXING INT | 438.70 | 1.06 |
| 17 | 08545 | AMUSE GROUP | 412.50 | 1.00 |
| 18 | 08022 | EVERSHINE GP | 392.35 | 0.95 |
| 19 | 08385 | PROSPEROUSPRINT | 377.34 | 0.91 |
| 20 | 08279 | AGTECH HOLDINGS | 375.17 | 0.91 |
| Total | | | 23,407.10 | 56.48 |

GEM EQUITIES

20 Most Active GEM Stock by Shares

for 2nd quarter 2018

| Rank | Code | Stock | Turnover (Mil. shs) | % of total |
|--------------|-------|-----------------|---------------------|--------------|
| 1 | 08083 | CHINA YOUZAN | 5,997.41 | 7.07 |
| 2 | 08207 | CHONGSING HLDGS | 4,828.12 | 5.69 |
| 3 | 08171 | CHINA TRENDS | 2,774.21 | 3.27 |
| 4 | 08046 | HENG XIN CHINA | 2,507.23 | 2.96 |
| 5 | 08228 | NATIONAL ARTS | 2,180.48 | 2.57 |
| 6 | 08315 | KING FORCE GP | 1,951.46 | 2.30 |
| 7 | 08081 | IE CHINA | 1,907.91 | 2.25 |
| 8 | 08250 | SILK RD ENERGY | 1,419.69 | 1.67 |
| 9 | 08148 | AURUM PACIFIC | 1,382.43 | 1.63 |
| 10 | 08105 | KINGSLEY EDU | 1,375.58 | 1.62 |
| 11 | 08021 | WLS HOLDINGS | 1,321.60 | 1.56 |
| 12 | 08300 | ROYALCATERING | 1,209.34 | 1.43 |
| 13 | 08392 | SATU HOLDINGS | 1,195.32 | 1.41 |
| 14 | 08385 | PROSPEROUSPRINT | 1,142.44 | 1.35 |
| 15 | 08107 | VISION INT HLDG | 1,131.57 | 1.33 |
| 16 | 08299 | GRAND T G GOLD | 1,123.16 | 1.32 |
| 17 | 08157 | XIANGXING INT | 1,052.18 | 1.24 |
| 18 | 08057 | MADISON HLDG | 1,046.38 | 1.23 |
| 19 | 08483 | MAX SIGHT GROUP | 1,031.69 | 1.22 |
| 20 | 08166 | CHINA ECO-FARM | 991.12 | 1.17 |
| Total | | | 37,569.31 | 44.30 |

GEM EQUITIES

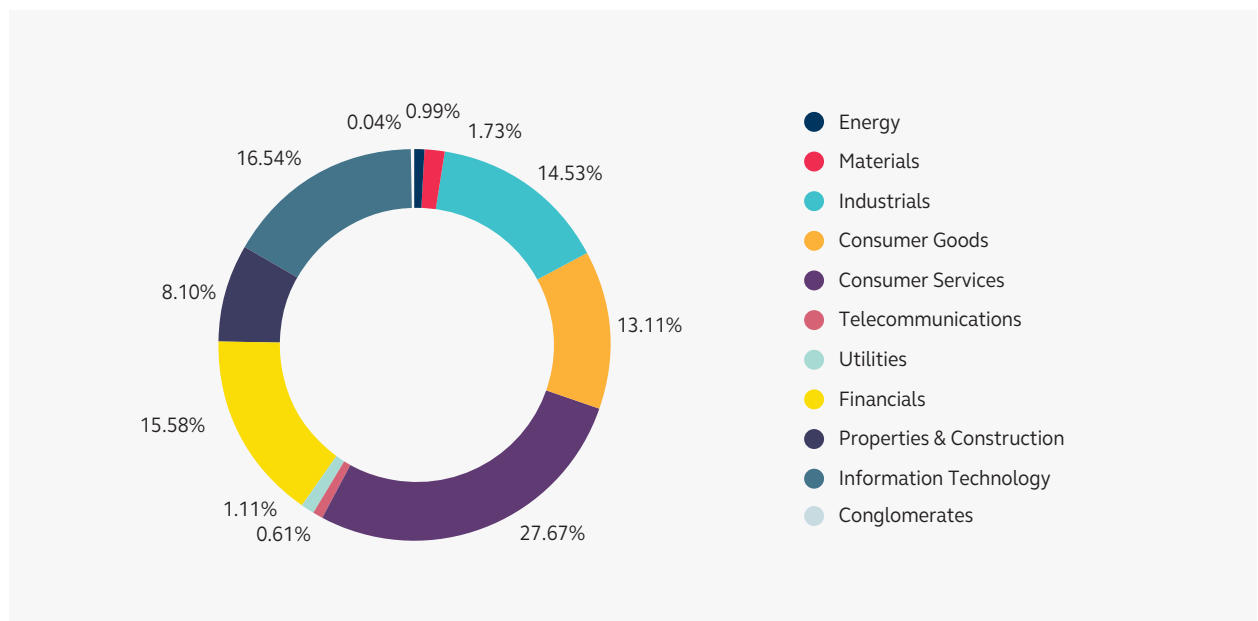
Market Capitalisation of Listed Companies for GEM

as at the quarter end

| | | HK\$ mil. |
|------|----|------------|
| 2017 | Q2 | 267,662.50 |
| | Q3 | 271,598.42 |
| | Q4 | 280,841.44 |
| 2018 | Q1 | 263,735.88 |
| | Q2 | 248,066.40 |

Market Capitalisation by Hang Seng Industry Classification System * – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

GEM EQUITIES

50 Leading Companies by Market Capitalisation – GEM

as at the 2nd quarter end 2018

| Rank | Company | Market capitalisation | |
|---------------------|--|-----------------------|-------------------|
| | | (HK\$ mil.) | % of market total |
| 1 | 08207 Chong Sing Holdings FinTech Group Ltd. | 20,514.79 | 8.27 |
| 2 | 08137 Honbridge Holdings Ltd. | 13,106.53 | 5.28 |
| 3 | 08083 China Youzan Ltd. | 12,117.55 | 4.88 |
| 4 | 08279 AGTech Holdings Ltd. | 9,011.31 | 3.63 |
| 5 | 08032 Viva China Holdings Ltd. | 7,780.45 | 3.14 |
| 6 | 08293 SingAsia Holdings Ltd. | 7,725.00 | 3.11 |
| 7 | 08265 China Trustful Group Ltd. | 7,491.19 | 3.02 |
| 8 | 08057 Madison Holdings Group Ltd. | 7,000.50 | 2.82 |
| 9 | 08469 Sheng Ye Capital Ltd. | 5,320.60 | 2.14 |
| 10 | 08407 China Industrial Securities Int'l Financial Group Ltd. | 5,320.00 | 2.14 |
| 11 | 08176 SuperRobotics Ltd. | 3,881.19 | 1.56 |
| 12 | 08078 HMV Digital China Group Ltd. | 3,090.38 | 1.25 |
| 13 | 08283 Zheng Li Holdings Ltd. | 2,885.00 | 1.16 |
| 14 | 08227 Xi'an Haitiantian Holdings Co., Ltd. – H Shares | 2,738.04 | 1.10 |
| 15 | 08158 China Regenerative Medicine International Ltd. | 2,268.57 | 0.91 |
| 16 | 08167 Neo Telemedia Ltd. | 2,180.58 | 0.88 |
| 17 | 08487 ISP Global Ltd. | 2,144.00 | 0.86 |
| 18 | 08156 China Vanguard You Champion Holdings Ltd. | 2,106.15 | 0.85 |
| 19 | 08423 Chi Ho Development Holdings Ltd. | 2,104.00 | 0.85 |
| 20 | 08053 Pizu Group Holdings Ltd. | 1,921.71 | 0.77 |
| 21 | 08047 China Ocean Fishing Holdings Ltd. | 1,891.04 | 0.76 |
| 22 | 08022 Evershine Group Holdings Ltd. | 1,874.78 | 0.76 |
| 23 | 08170 KSL Holdings Ltd. | 1,809.28 | 0.73 |
| 24 | 08527 JLogo Holdings Ltd. | 1,735.00 | 0.70 |
| 25 | 08462 Omnibridge Holdings Ltd. | 1,710.00 | 0.69 |
| 26 | 08228 National Arts Entertainment and Culture Group Ltd. | 1,603.59 | 0.65 |
| 27 | 08308 Gudou Holdings Ltd. | 1,558.20 | 0.63 |
| 28 | 08325 China Smartpay Group Holdings Ltd. | 1,496.21 | 0.60 |
| 29 | 08196 Great Water Holdings Ltd. | 1,437.00 | 0.58 |
| 30 | 08037 China Biotech Services Holdings Ltd. | 1,387.31 | 0.56 |
| 31 | 08030 Flying Financial Service Holdings Ltd. | 1,367.83 | 0.55 |
| 32 | 08246 Northern New Energy Holdings Ltd. | 1,364.81 | 0.55 |
| 33 | 08345 Fire Rock Holdings Ltd. | 1,324.80 | 0.53 |
| 34 | 08237 Link Holdings Ltd. | 1,308.75 | 0.53 |
| 35 | 08039 KNK Holdings Ltd. | 1,254.00 | 0.51 |
| 36 | 08328 Xinyi Automobile Glass Hong Kong Enterprises Ltd. | 1,250.90 | 0.50 |
| 37 | 08090 China Assurance Finance Group Ltd. | 1,248.05 | 0.50 |
| 38 | 08021 WLS Holdings Ltd. | 1,221.20 | 0.49 |
| 39 | 08050 Quantum Thinking Ltd. | 1,220.63 | 0.49 |
| 40 | 08359 Hypebeast Ltd. | 1,220.00 | 0.49 |
| 41 | 08419 AV Promotions Holdings Ltd. | 1,216.00 | 0.49 |
| 42 | 08147 Millennium Pacific Group Holdings Ltd. | 1,198.52 | 0.48 |
| 43 | 08172 Lajin Entertainment Network Group Ltd. | 1,178.56 | 0.48 |
| 44 | 08410 Edvance International Holdings Ltd. | 1,170.00 | 0.47 |
| 45 | 08029 Sun International Group Ltd. | 1,127.03 | 0.45 |
| 46 | 08016 Changhong Jiahua Holdings Ltd. | 1,120.08 | 0.45 |
| 47 | 08005 Yuxing InfoTech Investment Holdings Ltd. | 1,063.82 | 0.43 |
| 48 | 08055 China E-Information Technology Group Ltd. | 1,012.85 | 0.41 |
| 49 | 08316 Pak Wing Group (Holdings) Ltd. | 1,000.00 | 0.40 |
| 50 | 08175 China Digital Culture (Group) Ltd. | 970.09 | 0.39 |
| Total | | 161,047.88 | 64.92 |
| Market Total | | 248,066.40 | 100.00 |

GEM EQUITIES

New Listing Statistics – equities for GEM

| | | No. of newly listed companies | Funds raised (HK\$ mil.) |
|------|----|-------------------------------|--------------------------|
| 2017 | Q2 | 16 | 1,235.49 |
| | Q3 | 20 | 1,772.73 |
| | Q4 | 25 | 1,605.50 |
| 2018 | Q1 | 33 | 2,237.28 |
| | Q2 | 17 | 1,171.84 |

Newly Listed Companies for GEM

for 2nd quarter 2018

| Code | Company | Listing date | No. of issued shares | Funds raised (HK\$ mil.) |
|--------------|---|--------------|----------------------|--------------------------|
| 08507 | i.century Holding Ltd. | 2018/04/16 | 400,000,000 | 69.60 |
| 08447 | MS Concept Ltd. | 2018/04/16 | 1,000,000,000 | 67.50 |
| 08451 | Sunlight (1977) Holdings Ltd. | 2018/04/16 | 800,000,000 | 55.00 |
| 08241 | Ying Kee Tea House Group Ltd. | 2018/04/16 | 360,000,000 | 48.60 |
| 08511 | Zhicheng Technology Group Ltd. | 2018/04/20 | 400,000,000 | 65.00 |
| 08151 | Bao Shen Holdings Ltd. | 2018/04/23 | 420,000,000 | 50.40 |
| 08107 | Vision International Holdings Ltd. | 2018/05/04 | 1,000,000,000 | 56.25 |
| 08527 | JLogo Holdings Ltd. | 2018/05/09 | 500,000,000 | 62.50 |
| 08391 | Elegance Commercial and Financial Printing Group Ltd. | 2018/05/11 | 440,000,000 | 66.00 |
| 08105 | Kingsley Edugroup Ltd. | 2018/05/16 | 800,000,000 | 80.00 |
| 08521 | ST International Holdings Co. Ltd. | 2018/05/16 | 480,000,000 | 69.60 |
| 08536 | TL Natural Gas Holdings Ltd. | 2018/05/18 | 500,000,000 | 60.00 |
| 08490 | Niche-Tech Group Ltd. | 2018/05/30 | 705,500,000 | 113.39 |
| 08545 | Amuse Group Holding Ltd. | 2018/05/31 | 1,000,000,000 | 87.50 |
| 08403 | Dowway Holdings Ltd. | 2018/06/12 | 2,000,000,000 | 72.50 |
| 08357 | Republic Healthcare Ltd. | 2018/06/15 | 520,000,000 | 78.00 |
| 08146 | Grace Wine Holdings Ltd. | 2018/06/27 | 800,000,000 | 70.00 |
| Total | | | | 1,171.84 |

GEM EQUITIES

Withdrawal of Listed Companies – GEM

for 2nd quarter 2018

| Code | Company | Last trading date | Delisted date | |
|-------|---|-------------------|---------------|---|
| 08138 | Beijing Tong Ren Tang Chinese Medicine Co. Ltd. * | 2018/05/28 | 2018/05/29 | § |
| 08352 | Sing On Holdings Ltd. * | 2018/06/11 | 2018/06/12 | § |

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

Company Name Changes for GEM

for 2nd quarter 2018

| Code | Old name | New name | Effective date | Adoption date |
|-------|--|--------------------------------|----------------|---------------|
| 08181 | Kong Shum Union Property Management (Holding) Ltd. | Heng Sheng Holdings Ltd. | 2018/05/08 | 2018/06/08 |
| 08083 | China Innovationpay Group Ltd. | China Youzan Ltd. | 2018/05/21 | 2018/06/15 |
| 08088 | Healthoo International Technology Holdings Ltd. | AID Life Science Holdings Ltd. | 2018/05/09 | 2018/06/27 |
| 08242 | Megalagic Technology Holdings Ltd. | New Western Group Ltd. | 2018/05/01 | 2018/06/29 |

Bonus Issues/Bonus Warrants for GEM

for 2nd quarter 2018

| Code | Company | Particulars | Ex-date |
|-------|-------------------------|-------------|------------|
| 08345 | Fire Rock Holdings Ltd. | 1 for 1 | 2018/06/05 |

Share Split/Consolidation for GEM

for 2nd quarter 2018

| Code | Company | Particulars | Effective date |
|------|---------|-------------|----------------|
| Nil | | | |

Rights Issues & Open Offers for GEM

for 2nd quarter 2018

| Month | Code | Company | Ratio | Funds raised (HK\$ mil.) |
|--------------|-------|---|---------------------|--------------------------|
| May | 08328 | Xinyi Automobile Glass Hong Kong Enterprises Ltd. | Rts 1 for 5 @\$1.85 | 199.84 |
| Jun | 08201 | PPS International (Holdings) Ltd. | Rts 1 for 1 @\$0.18 | 48.60 |
| Total | | | | 248.44 |

GEM EQUITIES

Placing*

| Month | Code | Company | No. of new shares placed | Placing price (HK\$) | Funds raised (HK\$ mil.) |
|--------------|-------|-----------------|--------------------------------|-------------------------|-----------------------------|
| 2018 | | | | | |
| Apr | 08148 | AURUM PACIFIC | 184,000,000 | 0.1800 | 33.12 |
| | 08083 | CHINA YOUZAN | 386,000,000 | 0.5000 | 193.00 |
| | 08057 | MADISON HLDG | 70,056,000 | 1.7000 | 119.10 |
| | 08066 | PHOENITRON HOLD | 75,000,000 | 0.2000 | 15.00 |
| | 08026 | PROSTEN HEALTH | 240,000,000 | 0.2700 | 64.80 |
| May | 08120 | CH DEMETER FIN | 184,500,000 | 0.1000 | 18.45 |
| | 08059 | GLORY FLAME | 80,898,000 | 0.5000 | 40.45 |
| | 08119 | THIZ TECHNOLOGY | 20,000,000 | 1.0000 | 20.00 |
| | 08260 | YIN HE HLDGS | 80,000,000 | 0.4100 | 32.80 |
| Jun | 08009 | CHI ENGY HOLD | 220,000,000 | 0.0380 | 8.36 |
| | 08250 | SILK RD ENERGY | 638,000,000 | 0.0500 | 31.90 |
| | 08342 | VIXTEL TECH | 21,255,000 | 1.0800 | 22.96 |
| Total | | | | | 599.93 |

* Due to the reporting time-lag, placing figures for the quarter are provisional.

GEM WARRANTS

Warrant Trading and Market Value Statistics – GEM

| | | Number | Turnover value (HK\$ mil.) | Market value (HK\$ mil.) |
|------|----|--------|----------------------------|--------------------------|
| 2017 | Q2 | 1 | 0.24 | 62.84 |
| | Q3 | 1 | 0.02 | 62.83 |
| | Q4 | 1 | 0.04 | 62.83 |
| 2018 | Q1 | 1 | 0.05 | 62.83 |
| | Q2 | 1 | 0.03 | 62.83 |

Newly Listed Equity GEM Warrants

for 2nd quarter 2018

| Code | Equity warrants | Initial issued amount (HK) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|--------------|-----------------|----------------------------|--------------|--------|---------------------------|
| | Nil | | | | |
| Total | | | | | - |

STOCK MARKET INDICES

Hang Seng Index



S&P/HKEX LargeCap Index



S&P/HKEX GEM Index



STOCK MARKET INDICES

Hong Kong Stock Market Indices

| Index | End of Jun 2018 Close | End of Mar 2018 Close | Change % |
|---|--------------------------|--------------------------|----------|
| S&P/HKEX LargeCap Index | 37535.52 | 39090.13 | - 3.98% |
| S&P/HKEX GEM Index | 236.08 | 251.66 | - 6.19% |
| HANG SENG INDEX | 28955.11 | 30093.38 | - 3.78% |
| SECTORIAL INDICES | | | |
| Finance | 39361.86 | 41272.54 | - 4.63% |
| Utilities | 55143.74 | 56872.75 | - 3.04% |
| Properties | 38780.80 | 40012.55 | - 3.08% |
| Commerce & Industry | 17606.82 | 18141.63 | - 2.95% |
| HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX | 4376.51 | 4408.61 | - 0.73% |
| HANG SENG CHINA ENTERPRISES INDEX | 11073.00 | 11998.34 | - 7.71% |

STOCK MARKET INDICES

World Indices

| Index | End of Jun 2018 Close | End of Mar 2018 Close | Change % |
|-----------------------------|--------------------------|--------------------------|----------|
| HONG KONG | | | |
| S&P/HKEX LargeCap Index | 37535.52 | 39090.13 | - 3.98% |
| Hang Seng Index | 28955.11 | 30093.38 | - 3.78% |
| AUSTRALIA | | | |
| All Ordinaries Index | 6289.70 | 5868.90 | + 7.17% |
| JAKARTA | | | |
| Composite Index | 5799.24 | 6188.99 | - 6.30% |
| JAPAN | | | |
| Nikkei (225) | 22304.51 | 21454.30 | + 3.96% |
| KOREA | | | |
| KOSPI Index | 2326.13 | 2445.85 | - 4.89% |
| KUALA LUMPUR | | | |
| Composite Index | 1691.50 | 1863.46 | - 9.23% |
| MANILA | | | |
| Composite Index | 7193.68 | 7979.83 | - 9.85% |
| NEW ZEALAND | | | |
| NZSE (50) | 8943.13 | 8319.07 | + 7.50% |
| SHANGHAI | | | |
| A Shares Index | 2982.00 | 3318.71 | - 10.15% |
| B Shares Index | 295.00 | 326.87 | - 9.75% |
| SHENZHEN | | | |
| A Shares Index | 1680.91 | 1938.59 | - 13.29% |
| B Shares Index | 1058.90 | 1154.26 | - 8.26% |
| SINGAPORE | | | |
| Straits Times Index | 3268.70 | 3427.97 | - 4.65% |
| TAIWAN | | | |
| Taipei Weighted Stock Index | 10836.91 | 10919.49 | - 0.76% |
| THAILAND | | | |
| SET Index | 1595.58 | 1776.26 | - 10.17% |
| NEW YORK | | | |
| DJIA | 24271.41 | 24103.11 | + 0.70% |
| Nasdaq Composite Index | 7510.30 | 7063.45 | + 6.33% |
| TORONTO | | | |
| S&P/TSX Composite Index | 16277.73 | 15367.29 | + 5.92% |
| GERMANY | | | |
| DAX Kursindex | 5668.60 | 5715.45 | - 0.82% |
| LONDON | | | |
| FTSE 100 Index | 7636.93 | 7056.61 | + 8.22% |

DERIVATIVES MARKET – FUTURES AND OPTIONS

Contract Volume by Derivatives Products

| Derivatives product | Contracts | |
|--|-------------------|-------------------|
| | 2nd Quarter 2018 | 2nd Quarter 2017 |
| Hang Seng Index Futures | 14,140,082 | 7,210,306 |
| Hang Seng Index Options | 2,775,119 | 2,157,317 |
| Mini-Hang Seng Index Futures | 5,419,705 | 2,477,810 |
| Mini-Hang Seng Index Options | 502,343 | 414,159 |
| Hang Seng China Enterprises Index Futures | 8,407,737 | 7,255,529 |
| Hang Seng China Enterprises Index Options | 5,274,459 | 4,569,776 |
| Mini-Hang Seng China Enterprises Index Futures | 1,173,527 | 837,929 |
| Mini-Hang Seng China Enterprises Index Options | 137,459 | 81,389 |
| Flexible Hang Seng Index Options | 0 | 0 |
| Flexible Hang Seng China Enterprises Index Options | 1,250 | 5,000 |
| HSI Dividend Point Index Futures | 3,199 | 5,000 |
| HSCEI Dividend Point Index Futures | 51,301 | 58,001 |
| HSI Volatility Index Futures | 0 | 8 |
| MSCI AC Asia ex Japan NTR Index Futures ¹ | 14 | - |
| CES China 120 Index Futures | 50 | 197 |
| CES Gaming Top 10 Index Futures | 12 | 0 |
| Hang Seng Mainland Oil & Gas Index Futures | 9,604 | 10 |
| Hang Seng Mainland Banks Index Futures | 6,688 | 548 |
| Hang Seng Mainland Healthcare Index Futures | 22 | 0 |
| Hang Seng Mainland Properties Index Futures | 6,960 | 2 |
| Hang Seng IT Hardware Index Futures | 51 | 0 |
| Hang Seng Software & Services Index Futures | 11 | 0 |
| IBOVESPA Futures | 0 | 0 |
| MICEX Index Futures | 0 | 0 |
| S&P BSE Sensex Index Futures | 0 | 0 |
| FTSE/JSE Top40 Futures | 0 | 0 |
| Stock Futures | 121,288 | 31,094 |
| Stock Options | 28,891,853 | 21,947,446 |
| 5-Year MOF T-Bond Futures ² | - | 6,680 |
| Three-month HIBOR Futures | 360 | 0 |
| One-month HIBOR Futures | 81 | 0 |
| RMB Currency Futures – USD/CNH Futures | 384,483 | 137,833 |
| RMB Currency Options – USD/CNH Options | 2,396 | 2,601 |
| RMB Currency Futures – EUR/CNH Futures | 663 | 279 |
| RMB Currency Futures – JPY/CNH Futures | 400 | 14 |
| RMB Currency Futures – AUD/CNH Futures | 208 | 37 |
| RMB Currency Futures – CNH/USD Futures | 1,562 | 2,211 |
| USD Gold Futures ³ | 43,312 | - |
| CNH Gold Futures ⁴ | 5,035 | - |
| Iron Ore Futures – Monthly Contracts ⁵ | 964 | - |
| Iron Ore Futures – Quarterly Contracts ⁶ | 0 | - |
| London Aluminium Mini Futures | 467 | 312 |
| London Zinc Mini Futures | 48 | 125 |
| London Copper Mini Futures | 27 | 317 |
| London Lead Mini Futures | 0 | 2 |
| London Nickel Mini Futures | 49 | 67 |
| London Tin Mini Futures | 0 | 0 |
| Total | 67,362,789 | 47,201,999 |

¹ Trading in MSCI AC Asia ex Japan NTR Index Futures commenced on 11 Jun 2018

² Trading in 5-Year MOF T-Bond Futures commenced on 10 Apr 2017 and suspended with effect from 08 Dec 2017

³ Trading in USD Gold Futures commenced on 10 Jul 2017

⁴ Trading in CNH Gold Futures commenced on 10 Jul 2017

⁵ Trading in Iron Ore Futures – Monthly Contracts commenced on 13 Nov 2017

⁶ Trading in Iron Ore Futures – Quarterly Contracts commenced on 13 Nov 2017

DERIVATIVES MARKET – FUTURES AND OPTIONS

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2017 | Q2 | 7,210,306 | 154,581 |
| | Q3 | 8,254,157 | 161,569 |
| | Q4 | 9,365,175 | 144,659 |
| 2018 | Q1 | 12,511,293 | 129,784 |
| | Q2 | 14,140,082 | 123,361 |

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2017 | Q2 | 2,477,810 | 10,210 |
| | Q3 | 2,978,009 | 9,313 |
| | Q4 | 3,576,657 | 9,863 |
| 2018 | Q1 | 6,139,659 | 8,861 |
| | Q2 | 5,419,705 | 11,557 |

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2017 | Q2 | 2,157,317 | 352,037 |
| | Q3 | 2,815,590 | 408,078 |
| | Q4 | 2,844,216 | 344,084 |
| 2018 | Q1 | 3,591,231 | 381,856 |
| | Q2 | 2,775,119 | 343,600 |

Hang Seng China Enterprises Index Futures – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2017 | Q2 | 7,255,529 | 310,182 |
| | Q3 | 7,245,295 | 289,770 |
| | Q4 | 7,052,461 | 233,591 |
| 2018 | Q1 | 9,265,269 | 275,905 |
| | Q2 | 8,407,737 | 355,581 |

Stock Options Market Turnover and Open Interest (contracts)

| | | Total Premium (HK\$ mil.) | Total contract volume | Quarter-end open interest |
|------|----|------------------------------|--------------------------|------------------------------|
| 2017 | Q2 | 9,477.49 | 21,947,446 | 7,582,918 |
| | Q3 | 17,345.28 | 30,796,045 | 7,937,540 |
| | Q4 | 26,473.83 | 32,091,870 | 8,304,697 |
| 2018 | Q1 | 36,925.03 | 40,592,232 | 9,585,088 |
| | Q2 | 20,957.48 | 28,891,853 | 9,255,436 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

Stock Options Premium by Class

for 2nd Quarter 2018

| Options class | | Premium (HK\$ mil.) | % of total |
|-----------------|-----|------------------------|------------|
| ISHARES A50 | A50 | 161.75 | 0.77 |
| AAC TECH | AAC | 368.38 | 1.76 |
| CONCH CEMENT | ACC | 156.30 | 0.75 |
| AIA | AIA | 609.34 | 2.91 |
| CHALCO | ALC | 20.55 | 0.10 |
| CAM CSI300 | AMC | 117.17 | 0.56 |
| BANKCOMM | BCM | 13.68 | 0.07 |
| BANK OF E ASIA | BEA | 3.33 | 0.02 |
| BOC HONG KONG | BOC | 68.64 | 0.33 |
| BYD COMPANY | BYD | 107.02 | 0.51 |
| CHINA COMM CONS | CCC | 26.31 | 0.13 |
| CHINA COAL | CCE | 6.20 | 0.03 |
| COSCO SHIP HOLD | CCS | 0.83 | 0.00 |
| CHINA CINDA | CDA | 9.16 | 0.04 |
| CGN POWER | CGN | 4.27 | 0.02 |
| CHINA MOBILE | CHT | 724.90 | 3.46 |
| CHINA UNICOM | CHU | 61.93 | 0.30 |
| CITIC | CIT | 13.34 | 0.06 |
| CKH HOLDINGS | CKH | 104.24 | 0.50 |
| CK ASSET | CKP | 48.41 | 0.23 |
| CHINA LIFE | CLI | 420.32 | 2.01 |
| CLP HOLDINGS | CLP | 15.43 | 0.07 |
| CM BANK | CMB | 322.73 | 1.54 |
| CNOOC | CNC | 232.61 | 1.11 |
| COUNTRY GARDEN | COG | 151.51 | 0.72 |
| CHINA OVERSEAS | COL | 144.42 | 0.69 |
| CATHAY PAC AIR | CPA | 3.15 | 0.02 |
| SINOPEC CORP | CPC | 249.54 | 1.19 |
| CPIC | CPI | 405.73 | 1.94 |
| CHINA RAIL CONS | CRC | 4.35 | 0.02 |
| CHINA RAILWAY | CRG | 8.60 | 0.04 |
| CHINA RES LAND | CRL | 89.39 | 0.43 |
| CSOP A50 ETF | CSA | 145.65 | 0.69 |
| CHINA SHENHUA | CSE | 135.53 | 0.65 |
| CITIC BANK | CTB | 24.26 | 0.12 |
| CHINA TELECOM | CTC | 15.74 | 0.08 |
| CITIC SEC | CTS | 128.42 | 0.61 |
| DONGFENG GROUP | DFM | 6.69 | 0.03 |
| EVERGRANDE | EVG | 60.66 | 0.29 |
| FIH | FIH | 0.00 | 0.00 |
| GAC GROUP | GAC | 22.32 | 0.11 |
| GEELY AUTO | GAH | 355.62 | 1.70 |
| GALAXY ENT | GLX | 415.03 | 1.98 |
| GREATWALL MOTOR | GWM | 45.84 | 0.22 |
| HAITONG SEC | HAI | 52.24 | 0.25 |
| HSCEI ETF | HCF | 71.25 | 0.34 |
| POWER ASSETS | HEH | 29.57 | 0.14 |
| HKEX | HEX | 991.09 | 4.73 |
| HENGAN INT'L | HGN | 53.82 | 0.26 |
| HSBC HOLDINGS | HKB | 538.30 | 2.57 |
| HK & CHINA GAS | HKG | 7.29 | 0.03 |
| HENDERSON LAND | HLD | 42.00 | 0.20 |
| HUANENG POWER | HNP | 18.97 | 0.09 |
| HANG SENG BANK | HSB | 35.67 | 0.17 |
| JIANGXI COPPER | JXC | 29.23 | 0.14 |
| KUNLUN ENERGY | KLE | 8.87 | 0.04 |
| KINGSOFT | KSO | 81.28 | 0.39 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

| Options class | | Premium (HK\$ mil.) | % of total |
|-----------------|-----|------------------------|---------------|
| LENOVO GROUP | LEN | 32.95 | 0.16 |
| LI & FUNG | LIF | 11.14 | 0.05 |
| LINK REIT | LNK | 33.72 | 0.16 |
| MENGNU DAIRY | MEN | 60.50 | 0.29 |
| MGM CHINA | MGM | 17.18 | 0.08 |
| MINSHENG BANK | MSB | 47.43 | 0.23 |
| MTR CORPORATION | MTR | 13.22 | 0.06 |
| CNBM | NBM | 67.30 | 0.32 |
| NCI | NCL | 94.88 | 0.45 |
| NEW WORLD DEV | NWD | 24.10 | 0.12 |
| PING AN | PAI | 3,651.95 | 17.43 |
| PETROCHINA | PEC | 167.73 | 0.80 |
| PICC P&C | PIC | 36.26 | 0.17 |
| PICC GROUP | PIN | 25.28 | 0.12 |
| GCL-POLY ENERGY | PLE | 2.27 | 0.01 |
| R&F PROPERTIES | RFP | 4.57 | 0.02 |
| SANDS CHINA LTD | SAN | 153.92 | 0.73 |
| SHK PPT | SHK | 235.21 | 1.12 |
| SUNNY OPTICAL | SNO | 261.80 | 1.25 |
| STANCHART | STC | 3.15 | 0.02 |
| SUNAC | SUN | 171.10 | 0.82 |
| SWIRE PACIFIC A | SWA | 16.45 | 0.08 |
| TENCENT | TCH | 6,607.73 | 31.53 |
| TRACKER FUND | TRF | 2.98 | 0.01 |
| WHARF HOLDINGS | WHL | 12.34 | 0.06 |
| WANT WANT CHINA | WWC | 8.10 | 0.04 |
| ABC | XAB | 107.77 | 0.51 |
| BANK OF CHINA | XBC | 149.26 | 0.71 |
| CCB | XCC | 628.24 | 3.00 |
| ICBC | XIC | 229.98 | 1.10 |
| YANZHOU COAL | YZC | 81.37 | 0.39 |
| ZIJIN MINING | ZJM | 8.68 | 0.04 |
| Total | | 20,957.48 | 100.00 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

Stock Options Contract Volume by Class

for 2nd Quarter 2018

| Options class | | Contract volume | % of total |
|-----------------|-----|-----------------|------------|
| ISHARES A50 | A50 | 71,526 | 0.25 |
| AAC TECH | AAC | 92,352 | 0.32 |
| CONCH CEMENT | ACC | 262,012 | 0.91 |
| AIA | AIA | 471,509 | 1.63 |
| CHALCO | ALC | 54,121 | 0.19 |
| CAM CSI300 | AMC | 97,229 | 0.34 |
| BANKCOMM | BCM | 98,964 | 0.34 |
| BANK OF E ASIA | BEA | 27,276 | 0.09 |
| BOC HONG KONG | BOC | 231,385 | 0.80 |
| BYD COMPANY | BYD | 133,483 | 0.46 |
| CHINA COMM CONS | CCC | 101,661 | 0.35 |
| CHINA COAL | CCE | 69,056 | 0.24 |
| COSCO SHIP HOLD | CCS | 12,649 | 0.04 |
| CHINA CINDA | CDA | 21,648 | 0.07 |
| CGN POWER | CGN | 8,541 | 0.03 |
| CHINA MOBILE | CHT | 508,366 | 1.76 |
| CHINA UNICOM | CHU | 100,711 | 0.35 |
| CITIC | CIT | 46,560 | 0.16 |
| CKH HOLDINGS | CKH | 133,980 | 0.46 |
| CK ASSET | CKP | 52,198 | 0.18 |
| CHINA LIFE | CLI | 739,750 | 2.56 |
| CLP HOLDINGS | CLP | 34,421 | 0.12 |
| CM BANK | CMB | 582,685 | 2.02 |
| CNOOC | CNC | 622,148 | 2.15 |
| COUNTRY GARDEN | COG | 41,931 | 0.15 |
| CHINA OVERSEAS | COL | 116,960 | 0.40 |
| CATHAY PAC AIR | CPA | 9,425 | 0.03 |
| SINOPEC CORP | CPC | 449,306 | 1.56 |
| CPIC | CPI | 396,637 | 1.37 |
| CHINA RAIL CONS | CRC | 37,094 | 0.13 |
| CHINA RAILWAY | CRG | 36,371 | 0.13 |
| CHINA RES LAND | CRL | 61,199 | 0.21 |
| CSOP A50 ETF | CSA | 86,827 | 0.30 |
| CHINA SHENHUA | CSE | 514,088 | 1.78 |
| CITIC BANK | CTB | 142,831 | 0.49 |
| CHINA TELECOM | CTC | 68,158 | 0.24 |
| CITIC SEC | CTS | 213,821 | 0.74 |
| DONGFENG GROUP | DFM | 11,943 | 0.04 |
| EVERGRANDE | EVG | 32,005 | 0.11 |
| FIH | FIH | 0 | 0.00 |
| GAC GROUP | GAC | 11,459 | 0.04 |
| GEELY AUTO | GAH | 113,969 | 0.39 |
| GALAXY ENT | GLX | 281,390 | 0.97 |
| GREATWALL MOTOR | GWM | 337,801 | 1.17 |
| HAITONG SEC | HAI | 61,670 | 0.21 |
| HSCEI ETF | HCF | 47,450 | 0.16 |
| POWER ASSETS | HEH | 62,387 | 0.22 |
| HKEX | HEX | 1,724,404 | 5.97 |
| HENGAN INT'L | HGN | 71,678 | 0.25 |
| HSBC HOLDINGS | HKB | 976,786 | 3.38 |
| HK & CHINA GAS | HKG | 30,566 | 0.11 |
| HENDERSON LAND | HLD | 45,259 | 0.16 |
| HUANENG POWER | HNP | 51,015 | 0.18 |
| HANG SENG BANK | HSB | 140,894 | 0.49 |
| JIANGXI COPPER | JXC | 79,376 | 0.27 |
| KUNLUN ENERGY | KLE | 27,705 | 0.10 |
| KINGSOFT | KSO | 111,747 | 0.39 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

| Options class | | Contract volume | % of total |
|-----------------|-----|-------------------|---------------|
| LENOVO GROUP | LEN | 123,418 | 0.43 |
| LI & FUNG | LIF | 35,691 | 0.12 |
| LINK REIT | LNK | 31,022 | 0.11 |
| MENGNU DAIRY | MEN | 84,661 | 0.29 |
| MGM CHINA | MGM | 65,911 | 0.23 |
| MINSHENG BANK | MSB | 87,489 | 0.30 |
| MTR CORPORATION | MTR | 50,561 | 0.18 |
| CNBM | NBM | 109,013 | 0.38 |
| NCI | NCL | 81,396 | 0.28 |
| NEW WORLD DEV | NWD | 69,095 | 0.24 |
| PING AN | PAI | 3,345,788 | 11.58 |
| PETROCHINA | PEC | 484,491 | 1.68 |
| PICC P&C | PIC | 60,161 | 0.21 |
| PICC GROUP | PIN | 52,418 | 0.18 |
| GCL-POLY ENERGY | PLE | 8,211 | 0.03 |
| R&F PROPERTIES | RFP | 31,422 | 0.11 |
| SANDS CHINA LTD | SAN | 360,951 | 1.25 |
| SHK PPT | SHK | 106,737 | 0.37 |
| SUNNY OPTICAL | SNO | 49,633 | 0.17 |
| STANCHART | STC | 48,058 | 0.17 |
| SUNAC | SUN | 44,528 | 0.15 |
| SWIRE PACIFIC A | SWA | 29,704 | 0.10 |
| TENCENT | TCH | 6,402,142 | 22.16 |
| TRACKER FUND | TRF | 13,871 | 0.05 |
| WHARF HOLDINGS | WHL | 19,311 | 0.07 |
| WANT WANT CHINA | WWC | 34,820 | 0.12 |
| ABC | XAB | 115,842 | 0.40 |
| BANK OF CHINA | XBC | 1,480,629 | 5.12 |
| CCB | XCC | 2,798,359 | 9.69 |
| ICBC | XIC | 1,563,622 | 5.41 |
| YANZHOU COAL | YZC | 95,891 | 0.33 |
| ZIJIN MINING | ZJM | 38,624 | 0.13 |
| Total | | 28,891,853 | 100.00 |

CLEARING & SETTLEMENT

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

| Year \ Month | | Number of SIs | SIs by shares (bil. shs) | SIs by value (HK\$bil.) |
|--------------|----|---------------|-----------------------------|----------------------------|
| 2017 | Q2 | 89,205 | 48.85 | 224.77 |
| | Q3 | 92,762 | 47.05 | 223.72 |
| | Q4 | 100,956 | 51.97 | 248.47 |
| 2018 | Q1 | 113,959 | 55.26 | 299.46 |
| | Q2 | 104,188 | 50.80 | 291.72 |

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

| Year \ month | | Number of ISIs | ISIs by shares (Mil shs) | ISIs by value (HK\$ mil.) |
|--------------|----|----------------|-----------------------------|------------------------------|
| 2017 | Q2 | 321 | 212.60 | 147.64 |
| | Q3 | 373 | 155.84 | 468.68 |
| | Q4 | 352 | 80.73 | 241.17 |
| 2018 | Q1 | 442 | 87.64 | 283.87 |
| | Q2 | 303 | 352.59 | 190.54 |

Securities under CCASS Custody

(balances at quarter end)

| Year \ Month | | Number of shares (bil. shs) | % of issued shares of admitted securities | Market value (HK\$ bil.) | % of market capitalisation of admitted securities |
|--------------|----|-----------------------------------|--|-----------------------------|--|
| 2017 | Q2 | 6,264.71 | 75.18 | 18,013.11 | 57.34 |
| | Q3 | 6,338.49 | 75.53 | 19,870.01 | 57.86 |
| | Q4 | 6,404.15 | 75.30 | 21,768.65 | 58.70 |
| 2018 | Q1 | 6,628.95 | 76.47 | 22,128.66 | 60.04 |
| | Q2 | 6,663.56 | 76.69 | 21,197.14 | 59.56 |

Share quantity and market value of securities in non-HKD currency are included

CLEARING & SETTLEMENT

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

| Year \ Month | | No. of CCASS trades | % of Exchange trades | No. of shares (Mil. shs) | % of market turnover | Value of trades (HK\$ mil.) | % of market turnover |
|--------------|----|---------------------|----------------------|--------------------------|----------------------|-----------------------------|----------------------|
| 2017 | Q2 | 1,150,564 | 100 | 155,884.97 | 100 | 77,373.95 | 100 |
| | Q3 | 1,276,525 | 100 | 164,722.02 | 100 | 92,901.78 | 100 |
| | Q4 | 1,402,286 | 100 | 196,368.46 | 100 | 107,078.39 | 100 |
| 2018 | Q1 | 1,813,140 | 100 | 252,571.39 | 100 | 145,832.37 | 100 |
| | Q2 | 1,633,677 | 100 | 203,686.61 | 100 | 106,620.31 | 100 |

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

| Year \ Month | | No. of CNS stock positions | Netting efficiency (%) | No. of shares due for settlement (Mil. shs) | Netting efficiency (%) |
|--------------|----|----------------------------|------------------------|---|------------------------|
| 2017 | Q2 | 76,992 | 96.46 | 29,251.62 | 90.51 |
| | Q3 | 87,811 | 96.38 | 30,609.78 | 90.56 |
| | Q4 | 94,849 | 96.43 | 33,382.69 | 91.27 |
| 2018 | Q1 | 109,085 | 96.81 | 37,586.85 | 92.44 |
| | Q2 | 90,439 | 97.07 | 29,533.08 | 92.53 |

Average Daily Settlement Efficiency of CNS Stock Positions

| Year \ Month | | Settlement efficiency (%) | |
|--------------|----|---------------------------|-----------|
| | | S day | S + 1 day |
| 2017 | Q2 | 99.91 | 99.99 |
| | Q3 | 99.91 | 99.99 |
| | Q4 | 99.89 | 99.99 |
| 2018 | Q1 | 99.89 | 99.99 |
| | Q2 | 99.87 | 99.99 |

CLEARING & SETTLEMENT

Open Interest by Derivatives Products

| Derivatives product | Contracts | |
|--|-------------------|-------------------|
| | 2nd Quarter 2018 | 2nd Quarter 2017 |
| Hang Seng Index Futures | 123,361 | 154,581 |
| Hang Seng Index Options | 343,600 | 352,037 |
| Mini-Hang Seng Index Futures | 11,557 | 10,210 |
| Mini-Hang Seng Index Options | 12,700 | 13,928 |
| Hang Seng China Enterprises Index Futures | 355,581 | 310,182 |
| Hang Seng China Enterprises Index Options | 2,790,831 | 2,695,657 |
| Mini-Hang Seng China Enterprises Index Futures | 6,953 | 5,474 |
| Mini-Hang Seng China Enterprises Index Options | 10,052 | 3,102 |
| Flexible Hang Seng Index Options | 0 | 0 |
| Flexible Hang Seng China Enterprises Index Options | 0 | 16,666 |
| HSI Dividend Point Index Futures | 12,025 | 9,621 |
| HSCEI Dividend Point Index Futures | 102,890 | 120,002 |
| HSI Volatility Index Futures | 0 | 5 |
| MSCI AC Asia ex Japan NTR Index Futures ¹ | 0 | - |
| CES China 120 Index Futures | 11 | 11 |
| CES Gaming Top 10 Index Futures | 0 | 0 |
| Hang Seng Mainland Oil & Gas Index Futures | 47 | 0 |
| Hang Seng Mainland Banks Index Futures | 84 | 4 |
| Hang Seng Mainland Healthcare Index Futures | 5 | 0 |
| Hang Seng Mainland Properties Index Futures | 29 | 0 |
| Hang Seng IT Hardware Index Futures | 0 | 0 |
| Hang Seng Software & Services Index Futures | 0 | 0 |
| IBOVESPA Futures | 0 | 0 |
| MICEX Index Futures | 0 | 0 |
| S&P BSE Sensex Index Futures | 0 | 0 |
| FTSE/JSE Top 40 Futures | 0 | 0 |
| Stock Futures | 16,417 | 6,563 |
| Stock Options | 9,255,436 | 7,582,918 |
| 5-Year MOF T-Bond Futures ² | - | 666 |
| Three-month HIBOR Futures | 400 | 40 |
| One-month HIBOR Futures | 56 | 0 |
| RMB Currency Futures – USD/CNH Futures | 26,850 | 31,243 |
| RMB Currency Options – USD/CNH Options | 3,973 | 1,037 |
| RMB Currency Futures – EUR/CNH Futures | 300 | 63 |
| RMB Currency Futures – JPY/CNH Futures | 270 | 4 |
| RMB Currency Futures – AUD/CNH Futures | 25 | 10 |
| RMB Currency Futures – CNH/USD Futures | 716 | 1,196 |
| USD Gold Futures ³ | 146 | - |
| CNH Gold Futures ⁴ | 62 | - |
| Iron Ore Futures – Monthly Contracts ⁵ | 39 | - |
| Iron Ore Futures – Quarterly Contracts ⁶ | 15 | - |
| London Aluminium Mini Futures | 1 | 0 |
| London Zinc Mini Futures | 6 | 1 |
| London Copper Mini Futures | 0 | 5 |
| London Lead Mini Futures | 0 | 0 |
| London Nickel Mini Futures | 0 | 4 |
| London Tin Mini Futures | 0 | 0 |
| Total | 13,074,438 | 11,315,230 |

¹ Trading in MSCI AC Asia ex Japan NTR Index Futures commenced on 11 Jun 2018

² Trading in 5-Year MOF T-Bond Futures commenced on 10 Apr 2017 and suspended with effect from 08 Dec 2017

³ Trading in USD Gold Futures commenced on 10 Jul 2017

⁴ Trading in CNH Gold Futures commenced on 10 Jul 2017

⁵ Trading in Iron Ore Futures – Monthly Contracts commenced on 13 Nov 2017

⁶ Trading in Iron Ore Futures – Quarterly Contracts commenced on 13 Nov 2017

CLEARING & SETTLEMENT

Stock Options Open Interest by Class

as at the end of 2nd Quarter 2018

| Options class | | Open interest (contracts) | % of total |
|-----------------|-----|------------------------------|------------|
| ISHARES A50 | A50 | 29,135 | 0.31 |
| AAC TECH | AAC | 18,887 | 0.20 |
| CONCH CEMENT | ACC | 31,804 | 0.34 |
| AIA | AIA | 80,708 | 0.87 |
| CHALCO | ALC | 6,963 | 0.08 |
| CAM CSI300 | AMC | 15,757 | 0.17 |
| BANKCOMM | BCM | 58,578 | 0.63 |
| BANK OF E ASIA | BEA | 10,339 | 0.11 |
| BOC HONG KONG | BOC | 55,745 | 0.60 |
| BYD COMPANY | BYD | 26,004 | 0.28 |
| CHINA COMM CONS | CCC | 31,861 | 0.34 |
| CHINA COAL | CCE | 16,921 | 0.18 |
| COSCO SHIP HOLD | CCS | 1,612 | 0.02 |
| CHINA CINDA | CDA | 13,868 | 0.15 |
| CGN POWER | CGN | 4,333 | 0.05 |
| CHINA MOBILE | CHT | 166,470 | 1.80 |
| CHINA UNICOM | CHU | 36,601 | 0.40 |
| CITIC | CIT | 27,001 | 0.29 |
| CKH HOLDINGS | CKH | 33,541 | 0.36 |
| CK ASSET | CKP | 12,300 | 0.13 |
| CHINA LIFE | CLI | 318,265 | 3.44 |
| CLP HOLDINGS | CLP | 8,162 | 0.09 |
| CM BANK | CMB | 263,961 | 2.85 |
| CNOOC | CNC | 168,542 | 1.82 |
| COUNTRY GARDEN | COG | 26,513 | 0.29 |
| CHINA OVERSEAS | COL | 26,580 | 0.29 |
| CATHAY PAC AIR | CPA | 3,747 | 0.04 |
| SINOPEC CORP | CPC | 157,611 | 1.70 |
| CPIC | CPI | 129,449 | 1.40 |
| CHINA RAIL CONS | CRC | 8,337 | 0.09 |
| CHINA RAILWAY | CRG | 14,714 | 0.16 |
| CHINA RES LAND | CRL | 13,560 | 0.15 |
| CSOP A50 ETF | CSA | 33,163 | 0.36 |
| CHINA SHENHUA | CSE | 99,750 | 1.08 |
| CITIC BANK | CTB | 76,999 | 0.83 |
| CHINA TELECOM | CTC | 47,768 | 0.52 |
| CITIC SEC | CTS | 98,076 | 1.06 |
| DONGFENG GROUP | DFM | 2,381 | 0.03 |
| EVERGRANDE | EVG | 15,195 | 0.16 |
| FIH | FIH | 0 | 0.00 |
| GAC GROUP | GAC | 3,105 | 0.03 |
| GEELY AUTO | GAH | 20,523 | 0.22 |
| GALAXY ENT | GLX | 54,707 | 0.59 |

CLEARING & SETTLEMENT

| Options class | | Open interest (contracts) | % of total |
|-----------------|-----|------------------------------|---------------|
| GREATWALL MOTOR | GWM | 209,555 | 2.26 |
| HAITONG SEC | HAI | 19,496 | 0.21 |
| HSCEI ETF | HCF | 9,382 | 0.10 |
| POWER ASSETS | HEH | 12,359 | 0.13 |
| HKEX | HEX | 428,714 | 4.63 |
| HENGAN INT'L | HGN | 7,804 | 0.08 |
| HSBC HOLDINGS | HKB | 251,045 | 2.71 |
| HK & CHINA GAS | HKG | 10,359 | 0.11 |
| HENDERSON LAND | HLD | 9,936 | 0.11 |
| HUANENG POWER | HNP | 23,409 | 0.25 |
| HANG SENG BANK | HSB | 28,013 | 0.30 |
| JIANGXI COPPER | JXC | 30,430 | 0.33 |
| KUNLUN ENERGY | KLE | 3,556 | 0.04 |
| KINGSOFT | KSO | 18,728 | 0.20 |
| LENOVO GROUP | LEN | 81,001 | 0.88 |
| LI & FUNG | LIF | 6,310 | 0.07 |
| LINK REIT | LNK | 6,054 | 0.07 |
| MENGNU DAIRY | MEN | 13,543 | 0.15 |
| MGM CHINA | MGM | 10,987 | 0.12 |
| MINSHENG BANK | MSB | 57,083 | 0.62 |
| MTR CORPORATION | MTR | 11,666 | 0.13 |
| CNBM | NBM | 17,238 | 0.19 |
| NCI | NCL | 11,120 | 0.12 |
| NEW WORLD DEV | NWD | 24,106 | 0.26 |
| PING AN | PAI | 1,143,861 | 12.36 |
| PETROCHINA | PEC | 191,530 | 2.07 |
| PICC P&C | PIC | 19,776 | 0.21 |
| PICC GROUP | PIN | 9,086 | 0.10 |
| GCL-POLY ENERGY | PLE | 8,303 | 0.09 |
| R&F PROPERTIES | RFP | 6,216 | 0.07 |
| SANDS CHINA LTD | SAN | 62,614 | 0.68 |
| SHK PPT | SHK | 17,845 | 0.19 |
| SUNNY OPTICAL | SNO | 9,623 | 0.10 |
| STANCHART | STC | 10,199 | 0.11 |
| SUNAC | SUN | 15,116 | 0.16 |
| SWIRE PACIFIC A | SWA | 5,975 | 0.06 |
| TENCENT | TCH | 1,275,006 | 13.78 |
| TRACKER FUND | TRF | 2,610 | 0.03 |
| WHARF HOLDINGS | WHL | 5,455 | 0.06 |
| WANT WANT CHINA | WWC | 8,218 | 0.09 |
| ABC | XAB | 49,001 | 0.53 |
| BANK OF CHINA | XBC | 877,398 | 9.48 |
| CCB | XCC | 1,257,743 | 13.59 |
| ICBC | XIC | 683,578 | 7.39 |
| YANZHOU COAL | YZC | 15,720 | 0.17 |
| ZIJIN MINING | ZJM | 9,133 | 0.10 |
| Total | | 9,255,436 | 100.00 |

EXCHANGE PARTICIPANTS STATISTICS

Stock Exchange

| | | No. of Trading Right Holders | Exchange Participants - Trading | Exchange Participants - Non-Trading | Non-Exchange Participants |
|------|----|---------------------------------|---------------------------------------|---|------------------------------|
| 2017 | Q2 | 639 (1035) | 589 (977) | 34 (42) | 16 (16) |
| | Q3 | 657 (1053) | 608 (996) | 33 (41) | 16 (16) |
| | Q4 | 669 (1066) | 622 (1013) | 32 (38) | 15 (15) |
| 2018 | Q1 | 673 (1070) | 626 (1017) | 32 (38) | 15 (15) |
| | Q2 | 680 (1077) | 635 (1026) | 30 (36) | 15 (15) |

() No. of trading rights

Futures Exchange

| | | No. of Trading Right Holders | Exchange Participants - Trading | Exchange Participants - Non-Trading | Non-Exchange Participants |
|------|----|---------------------------------|---------------------------------------|---|------------------------------|
| 2017 | Q2 | 236 (259) | 184 (205) | - | 52 (54) |
| | Q3 | 236 (259) | 185 (206) | - | 51 (53) |
| | Q4 | 238 (261) | 186 (207) | - | 52 (54) |
| 2018 | Q1 | 241 (263) | 189 (209) | - | 52 (54) |
| | Q2 | 246 (268) | 192 (212) | - | 54 (56) |

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

CLEARING PARTICIPANTS STATISTICS

HKCC Participants

| Year \ month | | Clearing Participants | General Clearing Participants |
|--------------|----|-----------------------|-------------------------------|
| 2017 | Q2 | 153 | 9 |
| | Q3 | 154 | 9 |
| | Q4 | 155 | 9 |
| 2018 | Q1 | 157 | 9 |
| | Q2 | 160 | 9 |

SEOCH Participants

| Year \ month | | Direct Clearing Participants | General Clearing Participants |
|--------------|----|------------------------------|-------------------------------|
| 2017 | Q2 | 63 | 9 |
| | Q3 | 63 | 9 |
| | Q4 | 62 | 9 |
| 2018 | Q1 | 62 | 9 |
| | Q2 | 63 | 9 |

HKSCC Participants

| Year \ month | | Direct Clearing Participant | General Clearing Participant | Non-broker Participants * |
|--------------|----|-----------------------------|------------------------------|---------------------------|
| 2017 | Q2 | 566 | 9 | 45 |
| | Q3 | 582 | 9 | 46 |
| | Q4 | 596 | 9 | 44 |
| 2018 | Q1 | 600 | 9 | 43 |
| | Q2 | 608 | 9 | 43 |

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

ACRONYMS

| | |
|--------------|--|
| CBBC | Callable Bull/Bear Contract |
| CCASS | Central Clearing and Settlement System |
| CNS | Continuous Net Settlement |
| DCASS | Derivatives Clearing and Settlement System |
| DR | Depository Receipt |
| DW | Derivative Warrant |
| ELI | Equity Linked Instrument |
| ETF | Exchange Traded Fund |
| HIBOR | Hong Kong Interbank Offered Rate |
| HKCC | HKFE Clearing Corporation Ltd |
| HKEX | Hong Kong Exchanges and Clearing Ltd |
| HKSCC | Hong Kong Securities Clearing Co Ltd |
| HSICS | Hang Seng Industry Classification System |
| ISI | Investor settlement instruction |
| L&I Products | Leveraged and Inverse Products |
| NASDAQ | National Association of Securities Dealer Automated Quotations |
| P/E ratio | Price/earnings ratio |
| REITs | Real Estate Investment Trusts |
| RQFII | RMB Qualified Foreign Institutional Investors |
| S&P | Standard and Poors |
| SEOCH | SEHK Options Clearing House Ltd, The |
| SI | Settlement instruction |
| SS | Stapled securities |