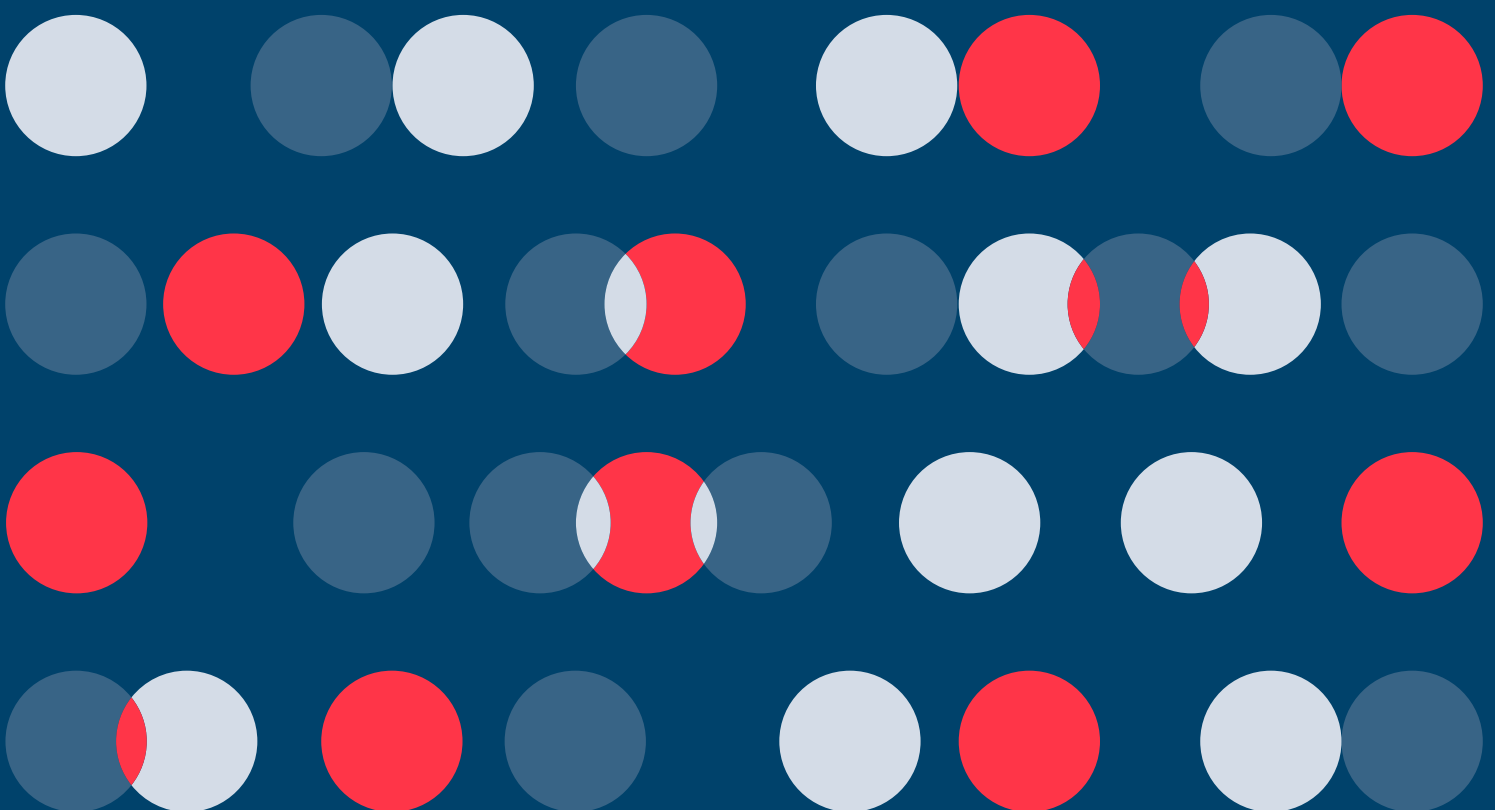


# SECURITIES AND DERIVATIVES MARKETS QUARTERLY REPORT

Second Quarter 2019



# MARKET HIGHLIGHTS

## Market Highlights – Main Board

Highlights	2nd Quarter 2019	2nd Quarter 2018
No. of listed companies	1,996	1,848
No. of listed securities	13,322	12,969
Equities		
Ordinary shares	1,997	1,849
Preference shares	18	16
Warrants	5,983	7,190
Equity	2	1
Derivative	5,981	7,189
Equity linked instrument	0	0
Callable bull/bear contracts	3,884	2,650
Unit trusts/mutual funds	149	147
Debt securities	1,291	1,117
No. of trading only stocks		
Nasdaq stocks	6	6
iShares	0	0
No. of trading days	59	60
Total turnover in value (HK\$mil.) *	5,575,967.86	6,369,437.22
Total turnover in shares (mil. shs)	14,752,676.97	12,136,712.34
Total number of trades (deals)	91,379,849	97,354,946
Total market capitalisation (HK\$mil.)	32,591,655.65	32,855,932.37
Average P/E ratio (times)	11.24	11.76
Average dividend yield (%)	3.07	3.32
Number of exchange participants		
– Trading	657	635
– Non-trading	34	30
Turnover in value (HK\$mil.)		
Highest	137,259.09 (06/05)	203,754.12 (31/05)
Lowest	64,834.67 (26/06)	77,375.91 (11/06)
Turnover in shares (mil. shs)		
Highest	349,280.62 (09/05)	269,204.75 (26/04)
Lowest	182,279.90 (28/06)	144,608.15 (13/06)
Total number of trades (deals)		
Highest	2,280,786 (14/05)	2,302,822 (19/06)
Lowest	1,172,027 (26/06)	1,289,204 (11/06)

\* Included turnover value traded in non-HKD currency securities.

# MARKET HIGHLIGHTS

## Market Highlights – GEM

Highlights	2nd Quarter 2019	2nd Quarter 2018
No. of listed companies	386	367
No. of listed securities	387	368
Equities	386	367
Warrants	1	1
No. of trading days	59	60
Total turnover in value (HK\$mil.) *	14,094.16	41,444.89
Total turnover in shares (mil. shs)	54,136.35	84,816.78
Total number of trades (deals)	665,940	1,252,478
Total market capitalisation (HK\$mil.)	134,992.16	248,066.40
Average P/E ratio (times)	21.77	42.35
Average dividend yield (%)	1.49	0.27
Turnover in value (HK\$mil.)		
Highest	634.98 (26/06)	1,908.70 (31/05)
Lowest	125.87 (20/06)	388.95 (30/04)
Turnover in shares (mil. shs)		
Highest	3,502.58 (13/06)	2,871.69 (31/05)
Lowest	495.11 (10/06)	918.61 (30/04)
Total number of trades (deals)		
Highest	39,588 (25/06)	40,272 (16/04)
Lowest	6,604 (17/06)	11,626 (30/04)

\* Included turnover value traded in non-HKD currency securities.

# MARKET HIGHLIGHTS

## Derivatives Market Highlights

Highlights	2nd Quarter 2019	2nd Quarter 2018
<b>All futures and options products (excluding stock options)</b>		
No. of trading days	59	60
Total contract volume	40,395,607	38,470,936
Equity index products	39,412,189	37,909,593
Equity products	269,826	121,288
Interest rates products	27	441
RMB Currency products	547,965	389,712
Gold Futures	158,021	48,347
Iron Ore Futures	7,497	964
London Metal Mini Futures	82	591
Average daily contract volume	684,671	641,182
Total open interest as at the quarter end (contracts)	3,560,440	3,819,002
Equity index products	3,506,111	3,769,726
Equity products	16,125	16,417
Interest rates products	25	456
RMB Currency products	36,580	32,134
Gold Futures	1,042	208
Iron Ore Futures	556	54
London Metal Mini Futures	1	7
<b>Stock options</b>		
No. of newly introduced stock options classes	0	0
No. of stock options as at the quarter end		
Class	96	89
Series	35,294	34,978
Total premium (HK\$mil.)	22,663.96	20,957.48
Call	11,055.49	8,966.77
Put	11,608.48	11,990.71
Average daily premium (HK\$mil.)	384.13	349.29
Total contract volume	28,792,732	28,891,853
Call	14,348,410	14,168,985
Put	14,444,322	14,722,868
Average daily contract volume	488,012	481,531
Total open interest as at the quarter end (contracts)	7,893,237	9,255,436
Call	3,828,012	4,478,540
Put	4,065,225	4,776,896
Total number of trades	1,155,936	1,117,354
Average daily number of trades	19,592	18,623
Total contracts exercised	2,951,336	2,780,590
Average premium per contract (HK\$)	787.14	725.38
Average contract per trade (contracts)	25	26
Put volume/call volume	1.01	1.04
Average daily contract volume/open interest	6.2%	5.2%
<b>All futures and options products</b>		
Total contract volume	69,188,339	67,362,789
Total open interest as at the quarter end (contracts)	11,453,677	13,074,438

# MARKET HIGHLIGHTS

## Clearing and Settlement Statistics

Securities Market	2nd Quarter 2019	2nd Quarter 2018
Average Daily Exchange Trades Handled by CCASS		
- Number of trades	1,559,363	1,633,677
- Value of trades (HK\$bil.)	94.4	106.6
- Share quantity Involved (bil shares)	251.0	203.7
Average Daily Settlement Instructions ("SIs") Settled by CCASS		
- Number of SIs	99,874	104,188
- Value of SIs (HK\$bil.)	272.4	291.7
- Share quantity involved (bil. Shares)	51.2	50.8
Average Daily Investor SIs ("ISIs") Settled by CCASS		
- Number of ISIs	247	303
- Value of ISIs (HK\$mil.)	167.3	190.5
- Share quantity involved (mil. shares)	344.2	352.6
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.92	99.87
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
- Number of brokers involved	4	4
- Number of buy-ins	3	5
- Value of buy-ins (HK\$mil)	1.5	4.7
Shares Deposited in the CCASS Depository *		
- Number of shares (bil shares)	6,634.1	6,663.6
- Percentage of total issued shares of the admitted securities	75.28	76.69
- Value of shares (HK\$bil)	21,146.8	21,197.1
- Percentage of the total market capitalisation of the admitted securities	60.29	59.56

Derivatives Market	2nd Quarter 2019	2nd Quarter 2018
Open Interest		
- Equity Index Futures	622,476	612,543
- Stock Futures	16,125	16,417
- Interest Rates Futures	25	456
- RMB Currency Futures	33,590	28,161
- Gold Futures	1,042	208
- Iron Ore Futures	556	54
- London Metal Mini Futures	1	7
- Equity Index Options	2,883,635	3,157,183
- Stock Options	7,893,237	9,255,436
- RMB Currency Options	2,990	3,973
Cumulative Stock Options Exercised		
- Share quantity involved (mil. shs)	3,458	2,554
- Value of shares (HK\$bil.)	70	70

\* Share quantity and market value of securities in non-HKD currency are included

# MAIN BOARD EQUITIES

## Equity Turnover

		Share (mil. shs)	Value (HK\$ mil.)	No. of deals
2018	Q2	764,395.06	4,893,924.22	74,184,981
	Q3	662,200.49	4,350,400.73	70,039,018
	Q4	586,848.27	3,646,713.37	64,855,996
2019	Q1	750,792.38	4,110,410.18	73,076,097
	Q2	650,222.76	4,073,009.59	70,896,400

## Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2018	Q2	60	81,565.40	65,969
	Q3	63	69,053.98	62,114
	Q4	62	58,817.96	56,228
2019	Q1	60	68,506.84	56,248
	Q2	59	69,034.06	57,450

# MAIN BOARD EQUITIES

## 20 Most Advanced Stocks

for 2nd quarter 2019

Rank	Code	Stock	Closing price		% up
			End of Jun 2019	End of Mar 2019	
1	01076	IMPERIAL PAC	0.208	0.058	258.62
2	01555	MIE HOLDINGS	0.197	0.065	203.08
3	00444	SINCEREWATCH HK	0.170	0.070	142.86
4	01712	DRAGON MINING	2.440	1.080	125.93
5	03313	ARTGO HOLDINGS	2.200	1.100	100.00
6	00982	HJ CAPITAL INTL	0.225	0.113	99.12
7	02011	KEE	1.350	0.690	95.65
8	00673	CHINA HEALTH	0.145	0.077	88.31
9	01192	TITAN PETROCHEM	0.075	0.040	87.50
10	01195	KINGWELL GROUP	0.109	0.060	81.67
11	03773	NNK	0.840	0.475	76.84
12	00282	NEXT DIGITAL	0.340	0.194	75.26
13	01723	HK ASIA HLDGS	6.500	3.720	74.73
14	00223	ELIFE HLDGS	0.140	0.085	64.71
15	00743	ASIA CEMENT CH	12.540	7.730	62.23
16	01962	EVERGREEN PG	2.670	1.650	61.82
17	01029	IRC	0.218	0.136	60.29
18	00769	CHINA RAREEARTH	0.560	0.350	60.00
19	01773	TIANLI EDU	3.440	2.170	58.53
20	00102	SUMMIT ASCENT	1.620	1.030	57.28

A Adjusted

## 20 Most Declined Stocks

for 2nd quarter 2019

Rank	Code	Stock	Closing price		% down
			End of Jun 2019	End of Mar 2019	
1	01034	FULLWEALTH	0.236	1.350	-82.52
2	01025	KNT HOLDINGS	0.640	3.140	-79.62
3	00456	NEW CITY DEV	0.199	0.900	-77.89
4	01365	RUNDONG AUTO	0.580	2.500	-76.80
5	00724	RUIXIN INT'L	0.106	0.380	-72.11
6	00574	PASHUN INT'L	0.123	0.435	-71.72
7	01462	GOLD-FIN HLDG	0.072	0.238	-69.75
8	02098	ZALL SMARTCOM	1.050	3.070	-65.80
9	01371	CHINALOTSYN-NEW	0.310	0.830	-62.65
10	01678	CREATIVE GLOBAL	0.073	0.188	-61.17
11	02299	BILLION IND	4.570	11.660	-60.81
12	01332	CHINA TOUYUN	0.068	0.164	-58.54
13	02203	BRAINHOLE TECH	0.385	0.920	-58.15
14	00091	INT'L STD RES	0.135	0.320	-57.81
15	06898	CHINA ALUMCAN	0.495	1.150	-56.96
16	00646	CHINA ENV TEC	0.028	0.062	-54.84
17	00164	CHINA BAOLI TEC	0.099	0.215	-53.95
18	00633	CH ALL ACCESS	0.235	0.510	-53.92
19	00607	FULLSHARE	0.485	1.050	-53.81
20	02113	CENTURY GP INTL	0.250	0.540	-53.70

A Adjusted

# MAIN BOARD EQUITIES

## 20 Most Active Stocks by Value

for 2nd quarter 2019

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00700	TENCENT	379,498.29	9.32
2	02318	PING AN	187,658.05	4.61
3	00939	CCB	150,032.27	3.68
4	01299	AIA	98,148.82	2.41
5	01398	ICBC	94,507.32	2.32
6	00788	CHINA TOWER	85,433.89	2.10
7	03690	MEITUAN-W	83,956.74	2.06
8	00388	HKEX	79,194.82	1.94
9	00941	CHINA MOBILE	77,608.53	1.91
10	03988	BANK OF CHINA	71,815.66	1.76
11	00175	GEELY AUTO	66,637.41	1.64
12	00005	HSBC HOLDINGS	66,120.37	1.62
13	02628	CHINA LIFE	51,569.50	1.27
14	03968	CM BANK	50,413.66	1.24
15	01918	SUNAC	45,741.09	1.12
16	02382	SUNNY OPTICAL	45,260.96	1.11
17	01810	XIAOMI-W	43,781.19	1.07
18	00027	GALAXY ENT	42,725.99	1.05
19	00883	CNOOC	39,717.82	0.98
20	00386	SINOPEC CORP	38,384.78	0.94
<b>Total</b>			<b>1,798,207.16</b>	<b>44.15</b>

## 20 Most Active Stock by Shares

for 2nd quarter 2019

Rank	Code	Stock	Turnover (mil. shs)	% of total
1	00788	CHINA TOWER	42,971.92	6.61
2	00996	CARNIVAL GROUP	24,563.70	3.78
3	00939	CCB	22,839.54	3.51
4	03988	BANK OF CHINA	20,589.96	3.17
5	01398	ICBC	16,516.82	2.54
6	01076	IMPERIAL PAC	14,534.98	2.24
7	01288	ABC	9,785.20	1.50
8	01889	SANAI HEALTH GP	7,299.28	1.12
9	00386	SINOPEC CORP	6,690.67	1.03
10	03800	GCL-POLY ENERGY	5,843.98	0.90
11	00885	RENTIAN TECH	5,510.96	0.85
12	01029	IRC	5,078.70	0.78
13	00857	PETROCHINA	4,945.68	0.76
14	00493	GOME RETAIL	4,768.60	0.73
15	00175	GEELY AUTO	4,432.38	0.68
16	00288	WH GROUP	4,151.33	0.64
17	00395	SMARTAC GP CH	4,147.69	0.64
18	01810	XIAOMI-W	4,144.62	0.64
19	00381	KIU HUNG INT'L	4,132.49	0.64
20	00136	HENG TEN NET	3,926.69	0.60
<b>Total</b>			<b>216,875.20</b>	<b>33.35</b>



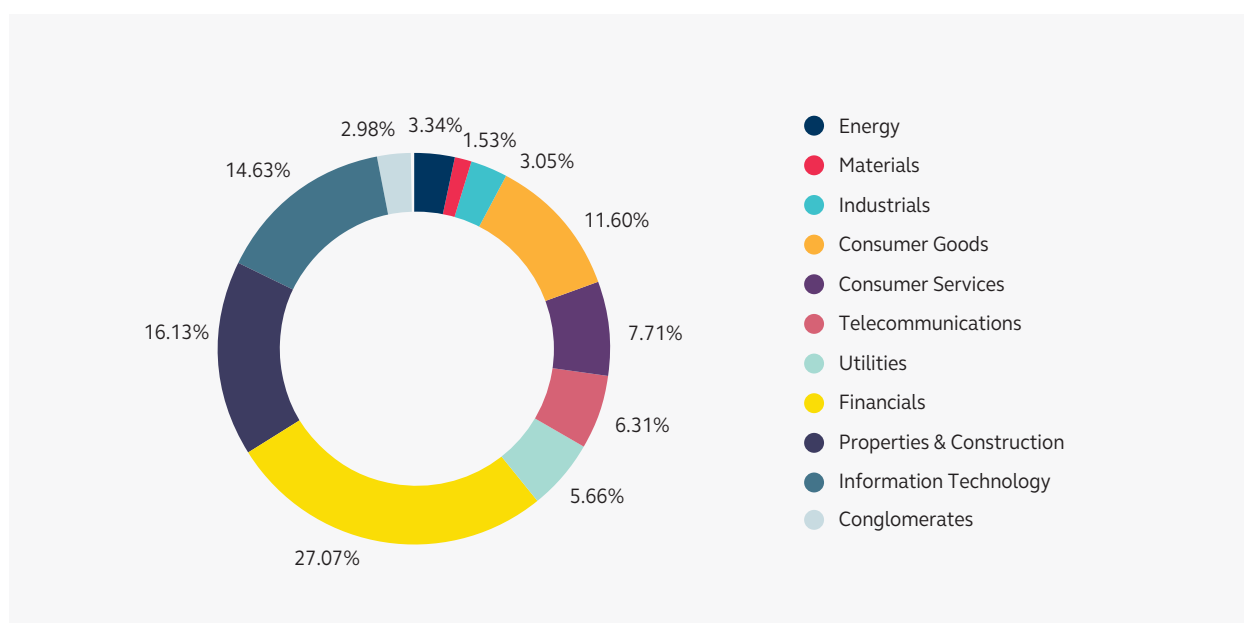
# MAIN BOARD EQUITIES

## Market Capitalisation of Listed Companies for Main Board

		HK\$ mil.
2018	Q2	32,855,932.37
	Q3	31,956,761.39
	Q4	29,723,238.27
2019	Q1	33,647,822.31
	Q2	32,591,655.65

## Market Capitalisation by Hang Seng Industry Classification System\* – Main Board

Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

# MAIN BOARD EQUITIES

## 50 Leading Companies by Market Capitalisation

as at the 2nd quarter end 2019

Rank	Code	Company	Market capitalisation	
			(HK\$ mil.)	% of market total
1	00700	Tencent Holdings Ltd.	3,357,082.88	10.30
2	00939	China Construction Bank Corporation – H Shares	1,618,008.56	4.96
3	00941	China Mobile Ltd.	1,456,830.61	4.47
4	00005	HSBC Holdings plc	1,332,439.39	4.09
5	01299	AIA Group Ltd.	1,018,407.52	3.12
6	02318	Ping An Insurance (Group) Co. of China, Ltd. – H Shares	698,582.71	2.14
7	00883	CNOOC Ltd.	596,490.01	1.83
8	01398	Industrial and Commercial Bank of China Ltd. – H Shares	494,726.05	1.52
9	02378	Prudential plc *	–	434,424.35
10	03690	Meituan Dianping – W	394,499.31	1.21
11	00016	Sun Hung Kai Properties Ltd.	383,930.91	1.18
12	00011	Hang Seng Bank Ltd.	371,853.41	1.14
13	00388	Hong Kong Exchanges and Clearing Ltd.	346,986.28	1.06
14	00267	CITIC Ltd.	327,556.36	1.01
15	02388	BOC Hong Kong (Holdings) Ltd.	325,112.99	1.00
16	00066	MTR Corporation Ltd.	323,051.90	0.99
17	00688	China Overseas Land & Investment Ltd.	315,538.60	0.97
18	01928	Sands China Ltd.	302,020.35	0.93
19	00001	CK Hutchison Holdings Ltd.	296,930.52	0.91
20	00003	Hong Kong and China Gas Co. Ltd., The	293,141.90	0.90
21	03333	China Evergrande Group	287,490.82	0.88
22	00945	Manulife Financial Corporation *	–	278,542.50
23	03988	Bank of China Ltd. – H Shares	275,953.51	0.85
24	00762	China Unicom (Hong Kong) Ltd.	262,225.93	0.80
25	02007	Country Garden Holdings Co. Ltd.	257,323.43	0.79
26	01810	Xiaomi Corporation – W	240,320.38	0.74
27	01109	China Resources Land Ltd.	238,424.32	0.73
28	00027	Galaxy Entertainment Group Ltd.	228,080.41	0.70
29	02888	Standard Chartered PLC	227,692.60	0.70
30	01113	CK Asset Holdings Ltd.	225,851.44	0.69
31	00002	CLP Holdings Ltd.	217,780.04	0.67
32	00012	Henderson Land Development Co. Ltd.	208,421.71	0.64
33	03328	Bank of Communications Co., Ltd. – H Shares	207,620.35	0.64
34	01972	Swire Properties Ltd.	184,567.50	0.57
35	03968	China Merchants Bank Co., Ltd. – H Shares	178,815.60	0.55
36	00960	Longfor Group Holdings Ltd.	175,470.27	0.54
37	06862	Haidilao International Holding Ltd.	173,045.00	0.53
38	01918	Sunac China Holdings Ltd.	170,398.56	0.52
39	01038	CK Infrastructure Holdings Ltd.	168,848.06	0.52
40	01997	Wharf Real Estate Investment Co. Ltd.	167,144.31	0.51
41	02313	Shenzhou International Group Holdings Ltd.	161,446.09	0.50
42	00384	China Gas Holdings Ltd.	151,599.25	0.47
43	02020	ANTA Sports Products Ltd.	144,069.78	0.44
44	02628	China Life Insurance Co. Ltd. – H Shares	143,168.21	0.44
45	00386	China Petroleum & Chemical Corporation – H Shares	135,476.36	0.42
46	00019	Swire Pacific Ltd.	131,031.45	0.40
47	00017	New World Development Co. Ltd.	124,955.51	0.38
48	00175	Geely Automobile Holdings Ltd.	121,729.95	0.37
49	00291	China Resources Beer (Holdings) Co. Ltd.	120,358.96	0.37
50	00006	Power Assets Holdings Ltd.	119,945.50	0.37
<b>Total</b>			<b>19,702,445.60</b>	<b>60.45</b>
<b>Market Total</b>			<b>32,591,655.65</b>	<b>100.00</b>

Notes:

\* not included in Market Total

# MAIN BOARD EQUITIES

## Short Selling (value)

		Short selling turnover (HK\$ mil.)	Market turnover (HK\$ mil.) *	% of market total
2018	Q2	772,146.39	6,410,882.11	12.04
	Q3	786,746.65	5,790,267.37	13.59
	Q4	777,054.26	5,311,774.48	14.63
2019	Q1	831,592.80	6,063,241.38	13.72
	Q2	809,812.75	5,590,062.03	14.49

\* Included Market total for Main Board and GEM

## Short Selling (share)

		Short selling turnover (mil. shs)	Market turnover (mil. shs) *	% of market total
2018	Q2	62,536.85	12,221,529.12	0.51
	Q3	63,962.52	12,829,760.90	0.50
	Q4	64,067.68	15,188,313.38	0.42
2019	Q1	70,290.01	20,448,501.82	0.34
	Q2	73,356.84	14,806,813.31	0.50

\* Included Market total for Main Board and GEM

## New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2018	Q2	20	2	26,033.23
	Q3	40	1	190,338.13
	Q4	42	2	44,400.75
2019	Q1	32	6	20,840.88
	Q2	38	2	49,993.37

\* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

# All funds raised are attributed to the initial public offers of newly listed companies

# MAIN BOARD EQUITIES

## Newly Listed Companies

for 2nd quarter 2019

Code	Company	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
02718	Shanghai Dongzheng Automotive Finance Co., Ltd. – H Shares	2019/04/03	539,651,400	1,651.33
02660	Zengame Technology Holding Ltd.	2019/04/16	1,021,672,000	248.06
03321	Wai Hung Group Holdings Ltd.	2019/04/23	500,000,000	175.00
01545	Design Capital Ltd.	2019/04/25	2,000,000,000	150.00
01906	Bonny International Holding Ltd.	2019/04/26	1,200,000,000	174.00
06806	Shenwan Hongyuan Group Co., Ltd. – H Shares	2019/04/26	2,504,000,000	9,089.52
01780	B & D Strategic Holdings Ltd.	2019/04/30	620,000,000	130.20
01753	Duiba Group Ltd.	2019/05/07	1,111,111,200	666.67
01857	China Everbright Water Ltd.	2019/05/08	2,812,530,678	310.87
01867	LKS Holding Group Ltd. *	2019/05/09	1,120,000,000	–
01873	Viva Biotech Holdings	2019/05/09	1,596,182,398	1,553.56
01903	JBB Builders International Ltd.	2019/05/10	500,000,000	147.50
01832	S.A.I. Leisure Group Co. Ltd.	2019/05/16	360,000,000	318.60
02346	Universal Star (Holdings) Ltd.	2019/05/16	500,000,000	125.00
02230	Medialink Group Ltd.	2019/05/21	1,992,000,000	224.10
01817	Mulsanne Group Holding Ltd.	2019/05/27	950,000,000	881.05
02060	Pujiang International Group Ltd.	2019/05/28	811,044,000	672.80
03868	Xinyi Energy Holdings Ltd.	2019/05/28	6,752,478,471	3,895.01
01521	Frontage Holdings Corporation	2019/05/30	2,007,640,910	1,606.11
02181	Mabpharm Ltd. – B	2019/05/31	4,124,080,000	1,175.37
01905	Haitong UniTrust International Leasing Co., Ltd. – H Shares	2019/06/03	5,794,453,176	2,322.36
00667	China East Education Holdings Ltd.	2019/06/12	2,183,894,000	4,957.81
06055	China Tobacco International (HK) Co. Ltd.	2019/06/12	691,680,000	935.35
02189	Kato (Hong Kong) Holdings Ltd.	2019/06/13	1,000,000,000	150.00
06811	Tai Hing Group Holdings Ltd.	2019/06/13	1,000,000,000	750.00
03692	Hansoh Pharmaceutical Group Co. Ltd.	2019/06/14	5,788,611,200	9,040.44
03877	CSSC (Hong Kong) Shipping Co. Ltd.	2019/06/17	6,136,066,234	2,055.59
01935	JH Educational Technology INC.	2019/06/18	1,600,830,000	601.25
06117	Rizhao Port Jurong Co., Ltd. – H Shares	2019/06/19	820,000,000	690.00
01861	Precious Dragon Technology Holdings Ltd.	2019/06/21	234,544,750	–
01769	Scholar Education Group	2019/06/21	555,700,000	459.63
01951	Jinxin Fertility Group Ltd.	2019/06/25	2,380,815,802	3,049.84
01849	AM Group Holdings Ltd.	2019/06/26	800,000,000	130.00
01909	Fire Rock Holdings Ltd. *	2019/06/27	320,000,000	–
01901	Feiyang International Holdings Group Ltd.	2019/06/28	500,000,000	131.25
01842	Grown Up Group Investment Holdings Ltd.	2019/06/28	1,000,000,000	125.00
01286	Impro Precision Industries Ltd.	2019/06/28	1,833,300,000	999.90
01930	Shinelong Automotive Lightweight Application Ltd.	2019/06/28	660,000,000	145.20
01943	Silver Tide Holdings Ltd.	2019/06/28	1,000,000,000	125.00
01701	Tu Yi Holding Co. Ltd.	2019/06/28	1,000,000,000	130.00
<b>Total</b>				<b>49,993.37</b>

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

# MAIN BOARD EQUITIES

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## Withdrawal of Listed Companies

for 2nd quarter 2019

Code	Company	Last trading date	Delisted date
00054	Hopewell Holdings Ltd.	2019/04/17	2019/05/03
00566	Hanergy Thin Film Power Group Ltd.	2015/05/20	2019/06/11
00835	Asia Coal Ltd.	2017/09/29	2019/06/18

# MAIN BOARD EQUITIES

## Company Name Changes

for 2nd quarter 2019

Code	Old name	New name	Effective date	Adoption date
02203	Top Dynamic International Holdings Ltd.	Brainhole Technology Ltd.	2019/02/21	2019/04/09
00830	Far East Global Group Ltd.	China State Construction Development Holdings Ltd.	2019/03/20	2019/04/23
00622	Enerchina Holdings Ltd. *	Oshidori International Holdings Ltd.	2019/03/27	2019/04/29
01682	Hua Long Jin Kong Co. Ltd.	Hang Pin Living Technology Co. Ltd.	2019/03/12	2019/05/08
00171	Silver Grant International Industries Ltd.	Silver Grant International Holdings Group Ltd.	2019/05/24	2019/05/29
01282	China Goldjoy Group Ltd.	Glory Sun Financial Group Ltd.	2019/05/02	2019/05/31
01360	Mega Expo Holdings Ltd.	NOVA Group Holdings Ltd.	2019/05/15	2019/06/13
00737/80737	Hopewell Highway Infrastructure Ltd.	Shenzhen Investment Holdings Bay Area Development Co. Ltd.	2019/04/30	2019/06/19
01387	Renhe Commercial Holdings Co. Ltd.	China Dili Group	2019/05/29	2019/06/19
02239	SMIT Holdings Ltd. #	SMIT Holdings Ltd.	2019/05/16	2019/06/19
00863	Branding China Group Ltd.	BC Technology Group Ltd.	2019/05/16	2019/06/21
01259	China Child Care Corporation Ltd.	Future Development Holdings Ltd.	2019/05/02	2019/06/26
01160	Grand Investment International Ltd.	Youth Champ Financial Group Holdings Ltd.	2019/05/21	2019/06/27

\* Change of English name only

# Change of Chinese name only

# MAIN BOARD EQUITIES

## Bonus Issues/Bonus Warrants

for 2nd quarter 2019

Code	Company	Particulars	Ex-date
00347	Angang Steel Co. Ltd. – H Shares	3 for 10	2019/05/30
00012	Henderson Land Development Co. Ltd.	1 for 10	2019/05/30
00003	Hong Kong and China Gas Co. Ltd., The	1 for 10	2019/05/30
00096	Yusei Holdings Ltd.	1 for 5	2019/06/04
02039	China Int'l Marine Containers (Group) Co., Ltd. – H Shares	2 for 10	2019/06/05
02359	WuXi AppTec Co., Ltd. – H Shares	4 for 10	2019/06/17
06122	Jilin Jiutai Rural Commercial Bank Corporation Ltd. – H Shs	5 for 100	2019/06/24
02488	Launch Tech Co. Ltd. – H Shares	2 for 10	2019/06/28

## Share Split/Consolidation

for 2nd quarter 2019

Code	Company	Particulars	Effective date
00787	Global Brands Group Holding Ltd.	Consolidation 10 into 1	2019/04/09
01831	ShiFang Holding Ltd.	Consolidation 4 into 1	2019/04/10
00275	Master Glory Group Ltd.	Consolidation 20 into 1	2019/04/24
00875	China Finance Investment Holdings Ltd.	Consolidation 20 into 1	2019/04/25
01178	Huiyin Holdings Group Ltd.	Consolidation 20 into 1	2019/04/29
00724	Ruixin International Holdings Ltd.	Consolidation 20 into 1	2019/05/23
01387	China Dili Group	Consolidation 10 into 1	2019/05/27
01371	China LotSynergy Holdings Ltd.	Consolidation 10 into 1	2019/06/14
01400	Moody Technology Holdings Ltd.	Consolidation 10 into 1	2019/06/19
00539	Victory City International Holdings Ltd.	Consolidation 10 into 1	2019/06/24

# MAIN BOARD EQUITIES

## Rights Issues & Open Offers

for 2nd quarter 2019

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Apr	01104	APAC Resources Ltd.	Rts 1 for 2 @\$1.10	446.93
	02309	Birmingham Sports Holdings Ltd.	Rts 1 for 2 @\$0.05	295.17
	00812	Southwest Securities International Securities Ltd.	Rts 1 for 2 @\$0.131	159.90
	00539	Victory City International Holdings Ltd.	Rts 1 for 2 @\$0.098	253.79
	02208	Xinjiang Goldwind Science & Technology Co., Ltd. - H Shares	Rts 1.9 for 10 @\$8.21	1,014.03
May	01097	i-CABLE Communications Ltd.	Rts 3 for 4 @\$0.10	92.86
	00803	Prosperity International Holdings (H.K.) Ltd.	Rts 2 for 5 @\$0.13	9.01
	01026	Universal Technologies Holdings Ltd.	Rts 2 for 1 @\$0.23	415.36
Jun	00990	Theme International Holdings Ltd.	Rts 1 for 2 @\$0.10	394.71
<b>Total</b>				<b>3,081.76</b>



# MAIN BOARD EQUITIES

## Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2019					
Apr	01908	C&D INTL GROUP	55,000,000	9.0000	495.00
	00039	CH BEIDAHUANG	624,867,599	0.1850	115.60
	02202	CHINA VANKE	262,991,000	29.6800	7,805.57
	00547	DIGITAL DOMAIN	5,323,600,000	0.1040	553.65
	00729	FDG EVEHICLES	833,330,000	0.0480	40.00
	02700	GREEN INTL HLDG	754,716,981	0.2120	160.00
	02611	GTJA	194,000,000	16.3400	3,169.96
	01421	KINGBO STRIKE	204,680,000	0.1830	37.46
	02327	MEILLEUREHEALTH	360,000,000	0.9100	327.60
	01227	NATIONAL INV	482,220,000	0.1800	86.80
	02001	NEW HIGHER EDU	110,000,000	3.5700	392.70
	01323	NEWTREE GROUP	84,500,000	0.5300	44.79
	01583	QINQIN FOODS	104,400,000	2.2000	229.68
	03301	RONSHINECHINA	108,000,000	10.9500	1,182.60
	00697	SHOUGANG INT'L	3,384,043,134	0.2500	846.01
	01233	TIMES CHINA	108,000,000	14.5400	1,570.32
	01483	U BANQUET GP	30,000,000	1.7000	51.00
	01368	XTEP INT'L	247,078,000	5.5600	1,373.75
	00123	YUEXIU PROPERTY	3,080,973,807	2.0000	6,161.95
May	00476	CH DYNAMICS	670,000,000	0.1100	73.70
	00810	CH INTERNET INV	130,800,000	0.1550	20.27
	01572	CHINA ART FIN	78,000,000	1.3000	101.40
	00512	CHINAGRANDPHARM	20,523,198	5.0000	102.62
	01690	LAP KEI ENGRG	50,000,000	0.1550	7.75
	01089	LEYOU TECH H	30,500,000	2.3500	71.68
	01555	MIE HOLDINGS	160,000,000	0.1000	16.00
	03395	PERSTA	23,600,000	1.5000	35.40
	00932	SHUNTEN INTL	23,391,813	0.4275	10.00
	00860	WE SOLUTIONS	400,000,000	0.5100	204.00
Jun	02020	ANTA SPORTS	15,842,000	49.1100	778.00
	00863	BC TECH GROUP	22,091,860	5.0000	110.46
	00633	CH ALL ACCESS	78,000,000	0.4800	37.44
	01580	DA SEN HLDGS	78,000,000	0.4100	31.98
	03800	GCL-POLY ENERGY	1,511,000,000	0.4500	679.95
	01707	GEOTECH HLDGS	280,000,000	0.3200	89.60
	04618	GRCB 19USDPRF	71,500,000	156.0000	11,154.00
	02882	HKRH	210,000,000	0.1200	25.20
	00299	NEW SPORTS	379,000,000	0.4500	170.55
	00265	ORIENT VICTORY	15,690,000	0.1290	2.02
	01096	SINO ENERGY INT	243,000,000	0.2000	48.60
	01026	UNIVERSAL TECH	1,586,400,000	0.2300	364.87
<b>Total</b>					<b>38,779.93</b>

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

# MAIN BOARD WARRANTS

## Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2018	Q2	0.26	798,436.24	798,436.50
	Q3	0.33	783,971.47	783,971.81
	Q4	6.44	863,336.45	863,342.90
2019	Q1	0.92	1,009,753.31	1,009,754.23
	Q2	2.21	614,814.59	614,816.80

## Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2018	Q2	1	48.51	7,189	70,085.05	7,190	70,133.56
	Q3	1	42.92	7,229	85,285.94	7,230	85,328.86
	Q4	2	71.12	6,763	70,515.61	6,765	70,586.73
2019	Q1	2	43.87	6,724	110,213.51	6,726	110,257.38
	Q2	2	46.47	5,981	72,589.26	5,983	72,635.73

## New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2018	Q2	0	2,709	58,049.31
	Q3	0	3,348	72,114.72
	Q4	1	2,661	52,904.05
2019	Q1	0	2,965	53,532.77
	Q2	0	2,083	39,993.21

## Newly Listed Equity Warrants

for 2nd quarter 2019

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
<b>Total</b>					-

# MAIN BOARD WARRANTS

## Newly Listed Derivative Warrants

for 2nd quarter 2019

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15213	BOCI Asia Ltd. – Tencent European Wts Sep 2019 B	200,000,000	2019/04/01	2019/09/23	30.00
15217	BOCI Asia Ltd. – Tencent European Wts Sep 2019 C	200,000,000	2019/04/01	2019/09/27	30.00
15226	BOCI Asia Ltd. – Tencent European Wts Sep 2019 D	200,000,000	2019/04/01	2019/09/02	38.00
15249	Credit Suisse AG – Agricultural Bank European Wts Dec 2019 B	70,000,000	2019/04/01	2019/12/20	10.50
15266	Credit Suisse AG – CPIC European Warrants Sep 2019 B	40,000,000	2019/04/01	2019/09/30	14.00
15270	Credit Suisse AG – Tencent European Wts Jul 2019 E	250,000,000	2019/04/01	2019/07/26	40.00
15285	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2019 E	80,000,000	2019/04/01	2019/07/24	14.32
15286	Goldman Sachs SP (Asia) – CRCC European Warrants Sep 2019 A	40,000,000	2019/04/01	2019/09/23	20.04
15271	Goldman Sachs SP (Asia) – ICBC European Warrants Jul 2019 B	80,000,000	2019/04/01	2019/07/18	12.00
15290	Goldman Sachs SP (Asia) – Stanchart European Wts Jul 2019 A	50,000,000	2019/04/01	2019/07/24	10.00
15294	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 G	150,000,000	2019/04/01	2019/09/25	37.05
15120	Haitong Int'l Sec – Geely Auto European Warrants Oct 2019 A	100,000,000	2019/04/01	2019/10/03	17.00
15117	Haitong Int'l Sec – Galaxy Ent European Warrants Jul 2019 E	50,000,000	2019/04/01	2019/07/26	13.50
15108	Haitong Int'l Sec – Ping An European Warrants Jul 2019 C	70,000,000	2019/04/01	2019/07/02	21.00
15115	Haitong Int'l Sec – Ping An European Warrants Jul 2019 D	70,000,000	2019/04/01	2019/07/26	37.10
15234	J P Morgan SP BV – HSI European Warrants Oct 2019 E	400,000,000	2019/04/01	2019/10/30	100.00
15243	J P Morgan SP BV – Ping An European Warrants Oct 2019 A	200,000,000	2019/04/01	2019/10/02	51.00
15236	J P Morgan SP BV – Tencent European Warrants Jul 2019 B	250,000,000	2019/04/01	2019/07/24	37.50
15244	J P Morgan SP BV – Tencent European Warrants Jul 2019 C	250,000,000	2019/04/01	2019/07/26	37.75
15133	Macquarie Bank Ltd. – CKH European Put Warrants Oct 2019 B	15,200,000	2019/04/01	2019/10/03	10.03
15177	Macquarie Bank Ltd. – CNBM European Put Warrants Oct 2019 A	13,650,000	2019/04/01	2019/10/03	10.03
15201	Macquarie Bank Ltd. – Chi Res Power European Wts Apr 2020 A	25,200,000	2019/04/01	2020/04/02	10.00
15131	Macquarie Bank Ltd. – Henganintl European Wts Nov 2019 A	24,700,000	2019/04/01	2019/11/04	10.00
15142	Macquarie Bank Ltd. – Ping An European Warrants Oct 2019 E	40,000,000	2019/04/01	2019/10/03	10.08
15175	Macquarie Bank Ltd. – Ping An European Warrants Nov 2019 C	27,500,000	2019/04/01	2019/11/04	10.04
15176	Macquarie Bank Ltd. – Xiaomi European Warrants Oct 2019 B	37,000,000	2019/04/01	2019/10/03	10.03
15326	SG Issuer – BYD Electronic European Warrants Dec 2019 A	60,000,000	2019/04/01	2019/12/20	12.60
15347	SG Issuer – CC Bank European Warrants Sep 2019 A	60,000,000	2019/04/01	2019/09/30	15.00
15336	SG Issuer – Sinopec Corp European Warrants Sep 2019 A	40,000,000	2019/04/01	2019/09/30	10.00
15341	SG Issuer – Chinares Cement European Warrants Nov 2019 A	40,000,000	2019/04/01	2019/11/29	10.00
15427	SG Issuer – Galaxy Ent European Warrants Aug 2019 A	60,000,000	2019/04/01	2019/08/30	15.90
15434	SG Issuer – Galaxy Ent European Put Warrants Sep 2019 A	40,000,000	2019/04/01	2019/09/30	13.80
15315	SG Issuer – HSI European Warrants Oct 2019 B	300,000,000	2019/04/01	2019/10/30	75.00
15358	SG Issuer – ICBC European Warrants Sep 2019 B	60,000,000	2019/04/01	2019/09/25	12.30
15359	SG Issuer – PSBC European Warrants Sep 2019 A	30,000,000	2019/04/01	2019/09/30	10.05
15362	SG Issuer – Tencent European Warrants Jul 2019 E	200,000,000	2019/04/01	2019/07/24	30.00
15373	SG Issuer – Tencent European Warrants Jul 2019 F	200,000,000	2019/04/01	2019/07/26	33.00
15128	UBS AG – CC Bank European Warrants Jul 2019 B	70,000,000	2019/04/01	2019/07/26	10.64
15448	BOCI Asia Ltd. – Tencent European Wts Jul 2019 E	200,000,000	2019/04/02	2019/07/24	30.00
15577	Credit Suisse AG – BOC HK European Warrants Oct 2019 A	40,000,000	2019/04/02	2019/10/02	10.00
15592	Credit Suisse AG – China Lit European Warrants Sep 2019 C	25,000,000	2019/04/02	2019/09/17	10.00
15584	Credit Suisse AG – Minsheng Bank European Wts Oct 2019 A	35,000,000	2019/04/02	2019/10/02	10.15

# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
15590	Credit Suisse AG – HSBC European Put Warrants Oct 2019 A	80,000,000	2019/04/02	2019/10/31	20.00
15591	Credit Suisse AG – PICC European Warrants Dec 2019 B	60,000,000	2019/04/02	2019/12/31	15.00
15629	Goldman Sachs SP (Asia) – CNBM European Warrants Nov 2019 A	60,000,000	2019/04/02	2019/11/26	10.02
15635	Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Jul 2019 B	60,000,000	2019/04/02	2019/07/26	10.02
15640	Goldman Sachs SP (Asia) – China Railway Euro Wts Aug 2019 A	30,000,000	2019/04/02	2019/08/23	10.02
15626	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Aug 2019 A	80,000,000	2019/04/02	2019/08/27	15.28
15627	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2019 H	150,000,000	2019/04/02	2019/09/23	22.50
15574	J P Morgan SP BV – CC Bank European Wts Oct 2019 A	100,000,000	2019/04/02	2019/10/02	25.20
15441	J P Morgan SP BV – Tencent European Warrants Jul 2019 D	250,000,000	2019/04/02	2019/07/02	37.75
15544	Macquarie Bank Ltd. – China Mobile European Wts Nov 2019 A	38,000,000	2019/04/02	2019/11/04	10.15
15564	Macquarie Bank Ltd. – HKEX European Warrants Nov 2019 B	40,000,000	2019/04/02	2019/11/04	10.12
15511	Macquarie Bank Ltd. – HSBC European Warrants Nov 2019 A	40,000,000	2019/04/02	2019/11/04	10.08
15541	Macquarie Bank Ltd. – HSBC European Warrants Dec 2019 B	23,000,000	2019/04/02	2019/12/03	10.14
15610	SG Issuer – Henganintl Eu Wt Oct 2019 A	15,000,000	2019/04/02	2019/10/31	10.05
15604	SG Issuer – Henderson Land European Warrants Sep 2019 A	50,000,000	2019/04/02	2019/09/30	10.75
15612	SG Issuer – HSBC European Put Warrants Aug 2019 B	60,000,000	2019/04/02	2019/08/27	11.40
15622	SG Issuer – Kunlun Energy European Warrants Oct 2019 A	18,000,000	2019/04/02	2019/10/31	11.16
15499	UBS AG – BYD Company European Warrants Aug 2019 A	30,000,000	2019/04/02	2019/08/28	12.27
15476	UBS AG – ZA Online European Warrants Sep 2019 B	30,000,000	2019/04/02	2019/09/10	10.08
15648	BNP Paribas Issuance B.V. – China Life Euro Wts Feb 2020 A	50,000,000	2019/04/03	2020/02/04	12.50
15641	BNP Paribas Issuance B.V. – Tencent Eu Put Wt Dec 2019 D	80,000,000	2019/04/03	2019/12/03	20.00
15755	Credit Suisse AG – CS Services European Warrants Oct 2019 A	50,000,000	2019/04/03	2019/10/02	12.50
15739	Credit Suisse AG – CNBM European Warrants Nov 2019 A	60,000,000	2019/04/03	2019/11/26	10.02
15785	Credit Suisse AG – China Shenhua European Wts Nov 2019 A	70,000,000	2019/04/03	2019/11/01	10.50
15745	Credit Suisse AG – Huaneng Power European Warrants Oct 2019 A	30,000,000	2019/04/03	2019/10/02	10.80
15749	Credit Suisse AG – Nexteer European Warrants Oct 2019 A	40,000,000	2019/04/03	2019/10/02	10.00
15737	Credit Suisse AG – PICC Group European Warrants Sep 2019 B	50,000,000	2019/04/03	2019/09/23	10.00
15794	Goldman Sachs SP (Asia) – China Life Euro Put Wts Sep 2019 G	100,000,000	2019/04/03	2019/09/23	16.70
15805	Goldman Sachs SP (Asia) – China Lit European Wts Sep 2019 B	40,000,000	2019/04/03	2019/09/16	17.32
15807	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2019 I	150,000,000	2019/04/03	2019/09/23	25.80
15809	Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2019 D	80,000,000	2019/04/03	2019/08/27	12.00
15823	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2019 H	150,000,000	2019/04/03	2019/08/29	22.50
15724	Haitong Int'l Sec – Kingsoft European Warrants Oct 2019 A	80,000,000	2019/04/03	2019/10/04	20.00
15715	J P Morgan SP BV – Haidilao European Warrants Oct 2019 A	40,000,000	2019/04/03	2019/10/31	10.00
15720	J P Morgan SP BV – HSCEI European Put Warrants Sep 2019 A	200,000,000	2019/04/03	2019/09/27	30.00
15714	J P Morgan SP BV – Kingsoft European Warrants Oct 2019 A	70,000,000	2019/04/03	2019/10/02	17.57
10044	J P Morgan SP BV – N225 Index Euro Wts Dec 2019 A	100,000,000	2019/04/03	2019/12/13	15.60
15713	SG Issuer – HSI European Warrants Oct 2019 C	300,000,000	2019/04/03	2019/10/30	45.00
15680	UBS AG – Fosun Intl European Warrants Sep 2019 A	70,000,000	2019/04/03	2019/09/02	10.57
15681	UBS AG – Kingsoft European Put Warrants Oct 2019 B	50,000,000	2019/04/03	2019/10/28	10.15
15700	Bank Vontobel – Conch Cement European Warrants Nov 2019 A	40,000,000	2019/04/03	2019/11/05	10.44
15706	Bank Vontobel – Bank of China European Warrants Apr 2020 A	40,000,000	2019/04/03	2020/04/03	10.44
15697	Bank Vontobel – BYD Company European Warrants Oct 2019 A	30,000,000	2019/04/03	2019/10/04	10.53
15709	Bank Vontobel – CNBM European Warrants Nov 2019 A	60,000,000	2019/04/03	2019/11/27	10.26

# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
15685	Bank Vontobel – Xiaomi European Warrants Nov 2019 A	40,000,000	2019/04/03	2019/11/04	10.00
23638#	J P Morgan SP BV – HKEX European Warrants May 2019 A	200,000,000	2019/04/03	2019/05/31	10.60
15840	BOCI Asia Ltd. – CC Bank European Warrants Sep 2019 A	70,000,000	2019/04/04	2019/09/26	13.30
15838	BOCI Asia Ltd. – HSBC European Put Warrants Aug 2019 D	60,000,000	2019/04/04	2019/08/27	13.14
15842	BOCI Asia Ltd. – PetroChina European Warrants Sep 2019 A	70,000,000	2019/04/04	2019/09/25	13.86
15849	BOCI Asia Ltd. – Tencent European Wts Jul 2019 F	200,000,000	2019/04/04	2019/07/26	30.00
15850	BOCI Asia Ltd. – Tencent European Wts Sep 2019 E	200,000,000	2019/04/04	2019/09/25	46.00
15978	Credit Suisse AG – BAIC Motor European Warrants May 2020 A	60,000,000	2019/04/04	2020/05/29	15.00
15970	Credit Suisse AG – Haidilao European Warrants Oct 2019 A	40,000,000	2019/04/04	2019/10/03	10.00
15962	Credit Suisse AG – Kingsoft European Warrants Oct 2019 A	70,000,000	2019/04/04	2019/10/03	17.50
15980	Credit Suisse AG – Kingsoft European Put Warrants Oct 2019 B	55,000,000	2019/04/04	2019/10/28	10.01
15990	Goldman Sachs SP (Asia) – BYD Company Euro Wts Jul 2019 A	40,000,000	2019/04/04	2019/07/24	10.04
15991	Goldman Sachs SP (Asia) – CITIC Bank European Wts Sep 2019 A	70,000,000	2019/04/04	2019/09/23	10.50
15989	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2019 D	80,000,000	2019/04/04	2019/07/04	13.52
15984	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2019 E	80,000,000	2019/04/04	2019/08/29	12.48
15995	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2019 A	80,000,000	2019/04/04	2019/09/25	12.00
15983	Haitong Int'l Sec – Kingsoft European Put Warrants Oct 2019B	40,000,000	2019/04/04	2019/10/31	10.00
15915	J P Morgan SP BV – CK Asset European Warrants Oct 2019 A	60,000,000	2019/04/04	2019/10/03	15.24
15893	J P Morgan SP BV – CKH European Put Warrants Oct 2019 A	60,000,000	2019/04/04	2019/10/03	15.30
15928	J P Morgan SP BV – CPIC European Warrants Jul 2019 A	80,000,000	2019/04/04	2019/07/04	20.80
15906	J P Morgan SP BV – Henderson Land European Wt Nov 2019 A	40,000,000	2019/04/04	2019/11/29	10.08
15907	J P Morgan SP BV – Henderson Land European Put Wts Oct 2019A	40,000,000	2019/04/04	2019/10/03	10.08
15926	J P Morgan SP BV – ICBC European Warrants Sep 2019 C	100,000,000	2019/04/04	2019/09/30	15.70
15912	J P Morgan SP BV – New World Dev European Put Wts Dec 2019 B	40,000,000	2019/04/04	2019/12/31	10.08
15898	J P Morgan SP BV – Wharf European Warrants Oct 2019 A	70,000,000	2019/04/04	2019/10/03	10.57
15999	SG Issuer – Henganintl Eu Wt Oct 2019 B	20,000,000	2019/04/04	2019/10/31	10.40
16006	SG Issuer – New World Dev Eu Wt Aug 2019 A	20,000,000	2019/04/04	2019/08/30	10.40
15883	UBS AG – Cathay Pacific European Warrants Mar 2020 A	40,000,000	2019/04/04	2020/03/09	10.00
15864	UBS AG – Link REIT European Put Warrants Aug 2020 A	55,000,000	2019/04/04	2020/08/24	10.73
15878	UBS AG – Ping An European Put Warrants Aug 2019 B	100,000,000	2019/04/04	2019/08/23	26.30
25335#	J P Morgan SP BV – HSI European Put Warrants Jun 2019 E	400,000,000	2019/04/04	2019/06/27	12.00
16416#	J P Morgan SP BV – Tencent European Warrants Jun 2019 C	250,000,000	2019/04/04	2019/06/25	24.75
16085	BOCI Asia Ltd. – ICBC European Warrants Sep 2019 A	70,000,000	2019/04/08	2019/09/25	11.34
16139	Credit Suisse AG – Agile Ppt European Warrants Oct 2019 A	50,000,000	2019/04/08	2019/10/14	10.00
16132	Credit Suisse AG – CHALCO European Wts Oct 2019 A	30,000,000	2019/04/08	2019/10/08	10.20
16140	Credit Suisse AG – CC Bank European Warrants Oct 2019 A	60,000,000	2019/04/08	2019/10/25	10.02
16129	Credit Suisse AG – Hua Hong Semi European Wts Oct 2019 A	30,000,000	2019/04/08	2019/10/08	10.20
16125	Credit Suisse AG – ICBC European Warrants Sep 2019 D	70,000,000	2019/04/08	2019/09/25	12.60
16134	Credit Suisse AG – Mengniu Dairy European Wts Oct 2019 A	40,000,000	2019/04/08	2019/10/08	10.00
16189	Goldman Sachs SP (Asia) – AIA European Put Wts Aug 2019 D	80,000,000	2019/04/08	2019/08/27	17.36
16187	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2019 C	80,000,000	2019/04/08	2019/09/25	12.00
16183	Goldman Sachs SP (Asia) – HKEX European Put Wts Sep 2019 J	100,000,000	2019/04/08	2019/09/09	15.90
16192	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2019 H	150,000,000	2019/04/08	2019/09/27	22.50
16174	Goldman Sachs SP (Asia) – Tencent European Put Wt Aug 2019 E	100,000,000	2019/04/08	2019/08/27	15.00

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
16077	Haitong Int'l Sec - Ping An European Warrants Jul 2019 E	70,000,000	2019/04/08	2019/07/24	10.50
16150	J P Morgan SP BV - Angang Steel European Warrants Feb 2020 A	60,000,000	2019/04/08	2020/02/04	15.12
16116	J P Morgan SP BV - BYD Company European Warrants Jul 2019 C	50,000,000	2019/04/08	2019/07/31	10.80
16088	J P Morgan SP BV - Ch Molybdenum European Wts Sep 2019 B	50,000,000	2019/04/08	2019/09/30	14.55
16119	J P Morgan SP BV - Chi Res Land European Warrants Dec 2019 A	50,000,000	2019/04/08	2019/12/31	12.55
16147	J P Morgan SP BV - Henganintl European Warrants Oct 2019 A	60,000,000	2019/04/08	2019/10/08	21.12
16154	J P Morgan SP BV - Hang Seng Bank Euro Put Wts Nov 2019 A	70,000,000	2019/04/08	2019/11/29	10.57
16089	J P Morgan SP BV - SHK Ppt European Warrants Oct 2019 A	60,000,000	2019/04/08	2019/10/08	26.52
16157	J P Morgan SP BV - Swire Pacific A European Wts Oct 2019 A	35,000,000	2019/04/08	2019/10/31	11.48
16071	Macquarie Bank Ltd. - CLP Holdings European Wts Jan 2020 A	40,000,000	2019/04/08	2020/01/03	10.00
16010	Macquarie Bank Ltd. - China South Air Euro Wts Jan 2020 A	40,000,000	2019/04/08	2020/01/03	10.00
16059	Macquarie Bank Ltd. - Hang Seng Bank European Wts Nov 2019 A	23,000,000	2019/04/08	2019/11/04	10.03
16028	Macquarie Bank Ltd. - ICBC European Put Warrants Nov 2019 A	30,000,000	2019/04/08	2019/11/04	10.08
16076	Macquarie Bank Ltd. - Shimaop Ppt European Wts Nov 2019 A	35,000,000	2019/04/08	2019/11/04	10.26
16042	Macquarie Bank Ltd. - Swire Pacific A Euro Wts Apr 2020 A	19,000,000	2019/04/08	2020/04/02	10.18
16043	Macquarie Bank Ltd. - Sunny Optical Euro Put Wts Nov 2019 A	38,000,000	2019/04/08	2019/11/04	10.07
16051	Macquarie Bank Ltd. - Shenzhou Intl European Wts May 2020 A	40,000,000	2019/04/08	2020/05/18	10.00
16070	Macquarie Bank Ltd. - Weichai Power European Wts Jun 2020 A	38,000,000	2019/04/08	2020/06/16	10.03
16050	Macquarie Bank Ltd. - Yuexiu Property Euro Wts Aug 2020 A	20,000,000	2019/04/08	2020/08/14	10.06
16173	SG Issuer - CSOP A50 ETF European Warrants Oct 2019 A	20,000,000	2019/04/08	2019/10/31	12.40
16141	Bank Vontobel - SHK Ppt European Warrants Oct 2019 A	20,000,000	2019/04/08	2019/10/28	10.42
16143	Bank Vontobel - SHK Ppt European Put Warrants Nov 2019 A	20,000,000	2019/04/08	2019/11/01	12.62
16211	BOCI Asia Ltd. - China Mobile European Warrants Oct 2019 A	60,000,000	2019/04/09	2019/10/14	17.16
16196	BOCI Asia Ltd. - HSI European Put Warrants Sep 2019 B	200,000,000	2019/04/09	2019/09/27	30.00
16200	BOCI Asia Ltd. - HSI European Put Warrants Sep 2019 C	200,000,000	2019/04/09	2019/09/27	30.00
16256	Credit Suisse AG - China Mobile European Warrants Sep 2019 B	60,000,000	2019/04/09	2019/09/20	10.02
16258	Credit Suisse AG - HSI European Put Warrants Sep 2019 B	200,000,000	2019/04/09	2019/09/27	30.00
16254	Credit Suisse AG - SHK Ppt European Put Warrants Aug 2019 A	35,000,000	2019/04/09	2019/08/23	10.15
16224	Credit Suisse AG - Tencent Euro Put Wts Sep 2019 E	250,000,000	2019/04/09	2019/09/25	37.50
16267	Goldman Sachs SP (Asia) - BYD Company Euro Wts Jul 2019 B	50,000,000	2019/04/09	2019/07/26	10.00
16216	Goldman Sachs SP (Asia) - HKEX European Warrants Oct 2019 A	150,000,000	2019/04/09	2019/10/09	37.50
16281	Goldman Sachs SP (Asia) - HSI European Put Wts Sep 2019 I	150,000,000	2019/04/09	2019/09/27	22.50
16266	Goldman Sachs SP (Asia) - ICBC European Warrants Sep 2019 B	80,000,000	2019/04/09	2019/09/25	12.00
16272	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Jan 2020 A	200,000,000	2019/04/09	2020/01/22	30.00
16265	Haitong Int'l Sec - CNOOC European Warrants Oct 2019 A	20,000,000	2019/04/09	2019/10/31	22.00
16263	Haitong Int'l Sec - Geely Auto European Warrants Oct 2019 B	50,000,000	2019/04/09	2019/10/02	13.50
16217	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Apr 2020 A	34,000,000	2019/04/09	2020/04/02	10.06
16304	SG Issuer - AIA European Put Warrants Aug 2019 B	70,000,000	2019/04/09	2019/08/12	11.55
16314	SG Issuer - Galaxy Ent European Put Warrants Aug 2019 B	50,000,000	2019/04/09	2019/08/13	12.00
16305	SG Issuer - HKEX European Put Warrants Sep 2019 H	150,000,000	2019/04/09	2019/09/23	30.00
16283	SG Issuer - HSI European Put Warrants Sep 2019 I	300,000,000	2019/04/09	2019/09/27	51.00
16287	SG Issuer - HSI European Put Warrants Oct 2019 D	300,000,000	2019/04/09	2019/10/30	75.00
16316	SG Issuer - Ping An European Warrants Aug 2019 B	80,000,000	2019/04/09	2019/08/28	27.20
16307	SG Issuer - Ping An European Put Warrants Sep 2019 E	60,000,000	2019/04/09	2019/09/23	26.40

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		(units)	Listing date	Expiry	
16325	SG Issuer – Sands China European Warrants Aug 2019 A	60,000,000	2019/04/09	2019/08/23	10.80
16332	SG Issuer – Sands China European Put Warrants Aug 2019 B	50,000,000	2019/04/09	2019/08/23	11.50
16360	SG Issuer – SHK Ppt European Warrants Aug 2019 B	25,000,000	2019/04/09	2019/08/23	11.50
16222	UBS AG – Galaxy Ent European Put Warrants Oct 2019 A	60,000,000	2019/04/09	2019/10/08	32.16
16223	UBS AG – Sands China Ltd European Put Warrants Aug 2019 A	50,000,000	2019/04/09	2019/08/23	14.25
16417	BNP Paribas Issuance B.V. – HSI European Wts Sep 2019 B	100,000,000	2019/04/10	2019/09/27	16.00
16425	BNP Paribas Issuance B.V. – HSI Euro Put Wts Sep 2019 C	100,000,000	2019/04/10	2019/09/27	15.00
16430	BNP Paribas Issuance B.V. – Sands China Euro Wt Nov 2019 B	50,000,000	2019/04/10	2019/11/04	12.50
16433	BNP Paribas Issuance B.V. – Sands China Euro Wt Feb 2020 A	50,000,000	2019/04/10	2020/02/04	12.50
16446	BNP Paribas Issuance B.V. – Wynn Macau Euro Wts Nov 2019 A	50,000,000	2019/04/10	2019/11/04	16.50
16465	Credit Suisse AG – Greatwall Motor European Wts Sep 2019 A	20,000,000	2019/04/10	2019/09/23	10.00
16498	Goldman Sachs SP (Asia) – AIA European Put Wts Aug 2019 E	80,000,000	2019/04/10	2019/08/19	12.00
16506	Goldman Sachs SP (Asia) – Geely Auto Euro Put Wts Aug 2019 A	70,000,000	2019/04/10	2019/08/28	10.50
16501	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Aug 2019 B	80,000,000	2019/04/10	2019/08/13	12.00
16512	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2019 J	150,000,000	2019/04/10	2019/09/27	22.50
16510	Goldman Sachs SP (Asia) – Xiaomi European Warrants Jan 2020A	100,000,000	2019/04/10	2020/01/13	16.70
16405	HK Bank – AIA European Warrants Jun 2020 A	150,000,000	2019/04/10	2020/06/30	37.50
16403	HK Bank – Bank of China European Warrants Nov 2019 A	80,000,000	2019/04/10	2019/11/25	12.00
16389	HK Bank – HSI European Warrants Oct 2019 A	150,000,000	2019/04/10	2019/10/30	22.50
16391	HK Bank – HSI European Put Warrants Sep 2019 J	150,000,000	2019/04/10	2019/09/27	24.00
16401	HK Bank – HSI European Put Warrants Oct 2019 B	150,000,000	2019/04/10	2019/10/30	37.50
16414	HK Bank – Tencent European Warrants Dec 2019 A	100,000,000	2019/04/10	2019/12/31	25.00
16412	HK Bank – Tencent European Put Warrants Sep 2019 D	100,000,000	2019/04/10	2019/09/30	20.00
16455	Haitong Int'l Sec – AIA European Warrants Oct 2019 A	40,000,000	2019/04/10	2019/10/14	10.40
16459	Haitong Int'l Sec – AIA European Warrants Dec 2019 A	100,000,000	2019/04/10	2019/12/27	25.00
16494	Haitong Int'l Sec – CHALCO European Warrants Sep 2019 A	60,000,000	2019/04/10	2019/09/05	12.00
16489	Haitong Int'l Sec – CKH Holdings European Put Wts Oct19B	30,000,000	2019/04/10	2019/10/31	11.70
16447	Haitong Int'l Sec – Galaxy Ent European Warrants Oct 2019 A	60,000,000	2019/04/10	2019/10/10	19.80
16452	Haitong Int'l Sec – Galaxy Ent European Put Wts Oct 2019 B	60,000,000	2019/04/10	2019/10/11	30.00
16483	Haitong Int'l Sec – SHK Ppt European Warrants Oct 2019 A	40,000,000	2019/04/10	2019/10/30	26.80
16484	Haitong Int'l Sec – SHK Ppt European Put Warrants Oct 2019 B	40,000,000	2019/04/10	2019/10/30	15.60
16373	Macquarie Bank Ltd. – Conch Cement European Wts Nov 2019 A	19,500,000	2019/04/10	2019/11/04	10.16
16364	Macquarie Bank Ltd. – Galaxy Ent European Wts Nov 2019 A	27,500,000	2019/04/10	2019/11/04	10.04
16374	Macquarie Bank Ltd. – Luye Pharma European Warrants Dec 20 A	40,000,000	2019/04/10	2020/12/16	10.00
16377	Macquarie Bank Ltd. – WH Group European Warrants May 2020 A	35,000,000	2019/04/10	2020/05/18	10.01
16527	SG Issuer – Conch Cement European Warrants Oct 2019 A	30,000,000	2019/04/10	2019/10/31	10.35
16542	SG Issuer – CAM CSI300 European Warrants Oct 2019 A	50,000,000	2019/04/10	2019/10/31	12.50
16532	SG Issuer – CC Bank European Warrants Jul 2019 E	70,000,000	2019/04/10	2019/07/26	10.50
16544	SG Issuer – China Life European Put Warrants Sep 2019 H	50,000,000	2019/04/10	2019/09/02	10.00
16551	SG Issuer – China Mobile European Warrants Oct 2019 A	60,000,000	2019/04/10	2019/10/31	16.50
16543	SG Issuer – Cathay Pac Air Euro European Warrants Nov 2019 A	70,000,000	2019/04/10	2019/11/01	11.55
16537	SG Issuer – HKEX European Warrants Sep 2019 I	100,000,000	2019/04/10	2019/09/09	19.50
16554	SG Issuer – Huaneng Power European Warrants Sep 2019 A	50,000,000	2019/04/10	2019/09/23	11.75
16556	SG Issuer – Shimao Ppt Eu Wt Oct 2019 A	40,000,000	2019/04/10	2019/10/09	11.00

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16540	SG Issuer – Tencent European Put Warrants Sep 2019 D	200,000,000	2019/04/10	2019/09/26	41.00
16534	SG Issuer – China Vanke European Warrants Oct 2019 A	30,000,000	2019/04/10	2019/10/31	10.05
16385	UBS AG – China Mobile European Warrants Oct 2019 A	100,000,000	2019/04/10	2019/10/09	27.70
16384	UBS AG – HKEX European Warrants Sep 2019 E	150,000,000	2019/04/10	2019/09/02	35.25
16388	UBS AG – Tencent European Put Warrants Jul 2019 E	300,000,000	2019/04/10	2019/07/30	45.00
16514	Bank Vontobel – Galaxy Ent European Put Warrants Oct 2019 A	25,000,000	2019/04/10	2019/10/25	11.48
16522	Bank Vontobel – HSI European Put Warrants Oct 2019 A	80,000,000	2019/04/10	2019/10/30	20.00
16515	Bank Vontobel – Ping An European Warrants Aug 2019 B	50,000,000	2019/04/10	2019/08/12	11.80
16526	Bank Vontobel – Sands China Ltd European Warrants Oct 2019 A	40,000,000	2019/04/10	2019/10/09	10.00
19134#	Credit Suisse AG – Xiaomi European Warrants Sep 2019 B	100,000,000	2019/04/10	2019/09/25	11.30
16583	BOCI Asia Ltd. – HSI European Warrants Sep 2019 D	200,000,000	2019/04/11	2019/09/27	31.20
16624	Credit Suisse AG – Conch Cement European Warrants Oct 2019 A	30,000,000	2019/04/11	2019/10/10	10.50
16626	Credit Suisse AG – HSBC European Put Warrants Aug 2019 D	80,000,000	2019/04/11	2019/08/29	24.00
16617	Credit Suisse AG – HSI European Put Warrants Sep 2019 C	200,000,000	2019/04/11	2019/09/27	30.00
16625	Credit Suisse AG – Tencent Euro Put Wts Sep 2019 F	200,000,000	2019/04/11	2019/09/27	39.00
16622	Credit Suisse AG – Xiaomi European Warrants Sep 2019 E	80,000,000	2019/04/11	2019/09/16	13.60
16687	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Aug 2019 C	80,000,000	2019/04/11	2019/08/19	22.80
16669	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Oct 2019 A	80,000,000	2019/04/11	2019/10/11	22.48
16681	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2019 K	100,000,000	2019/04/11	2019/09/05	21.20
16688	Goldman Sachs SP (Asia) – HSCFI European Warrants Dec 2019 A	150,000,000	2019/04/11	2019/12/30	22.50
16680	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2019 H	100,000,000	2019/04/11	2019/09/25	15.00
16594	HK Bank – Galaxy Ent European Warrants Oct 2019 A	40,000,000	2019/04/11	2019/10/31	14.00
16595	HK Bank – Galaxy Ent European Put Warrants Oct 2019 B	40,000,000	2019/04/11	2019/10/31	12.00
16585	HK Bank – Sands China European Warrants Oct 2019 A	40,000,000	2019/04/11	2019/10/31	10.00
16613	Haitong Int'l Sec – Country Garden European Put Wts Feb 20 B	40,000,000	2019/04/11	2020/02/28	10.00
16615	Haitong Int'l Sec – Minsheng Bank European Warrants Nov 19 A	40,000,000	2019/04/11	2019/11/29	19.20
16608	Haitong Int'l Sec – CPIC European Warrants Oct 2019 A	50,000,000	2019/04/11	2019/10/11	12.50
16607	Haitong Int'l Sec – Geely Auto European Put Wts Aug 2019 A	100,000,000	2019/04/11	2019/08/28	15.00
16611	Haitong Int'l Sec – SUNAC European Warrants Nov 2019 B	30,000,000	2019/04/11	2019/11/29	17.70
16612	Haitong Int'l Sec – SUNAC European Put Warrants Oct 2019 B	30,000,000	2019/04/11	2019/10/30	13.80
16561	Macquarie Bank Ltd. – BYD Electronic European Wts Dec 2019 A	32,000,000	2019/04/11	2019/12/03	10.11
16578	Macquarie Bank Ltd. – China Gas Hold Euro Wts Jan 2020 A	24,000,000	2019/04/11	2020/01/03	10.34
16572	Macquarie Bank Ltd. – CITIC Sec European Warrants Apr 2020 A	40,000,000	2019/04/11	2020/04/02	10.04
16564	Macquarie Bank Ltd. – Ch State Con European Wts Apr 2020 A	40,000,000	2019/04/11	2020/04/02	10.12
16562	Macquarie Bank Ltd. – Chow Tai Fook European Wts Apr 2020 A	25,000,000	2019/04/11	2020/04/02	10.03
16584	Macquarie Bank Ltd. – Geely Auto European Put Wts Nov 2019 A	27,500,000	2019/04/11	2019/11/04	10.07
16563	Macquarie Bank Ltd. – MGM China European Warrants Jan 2020 A	40,000,000	2019/04/11	2020/01/03	10.12
16575	Macquarie Bank Ltd. – Zijin Mining European Wts Jan 2020 A	20,500,000	2019/04/11	2020/01/03	10.02
16732	SG Issuer – AAC Tech European Warrants Oct 2019 A	20,000,000	2019/04/11	2019/10/31	11.20
16698	SG Issuer – AIA European Put Warrants Sep 2019 A	40,000,000	2019/04/11	2019/09/23	13.60
16735	SG Issuer – China East Air European Warrants Oct 2019 A	15,000,000	2019/04/11	2019/10/10	10.05
16736	SG Issuer – CRCC European Warrants Dec 2019 B	50,000,000	2019/04/11	2019/12/31	12.50
16740	SG Issuer – China South Air European Warrants Dec 2019 A	60,000,000	2019/04/11	2019/12/31	15.00
16744	SG Issuer – COSCO Ship Hold European Warrants Oct 2019 A	40,000,000	2019/04/11	2019/10/31	10.00



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16710	SG Issuer – Geely Auto European Put Warrants Aug 2019 A	70,000,000	2019/04/11	2019/08/28	10.50
16696	SG Issuer – HSI European Put Warrants Sep 2019 J	300,000,000	2019/04/11	2019/09/27	49.50
16748	SG Issuer – Link REIT European Warrants Aug 2019 A	35,000,000	2019/04/11	2019/08/23	10.15
16750	SG Issuer – PICC Group European Warrants Sep 2019 A	50,000,000	2019/04/11	2019/09/23	11.00
16713	SG Issuer – Tencent European Put Warrants Aug 2019 B	200,000,000	2019/04/11	2019/08/27	30.00
16722	SG Issuer – Tencent European Put Warrants Sep 2019 E	200,000,000	2019/04/11	2019/09/25	30.00
16598	UBS AG – HSI European Warrants Oct 2019 A	300,000,000	2019/04/11	2019/10/30	45.60
16558	UBS AG – HSI European Put Warrants Sep 2019 B	300,000,000	2019/04/11	2019/09/27	47.10
16559	UBS AG – HSI European Put Warrants Sep 2019 C	300,000,000	2019/04/11	2019/09/27	49.50
16596	UBS AG – PetroChina European Warrants Jul 2019 A	70,000,000	2019/04/11	2019/07/24	10.50
16602	UBS AG – Ping An European Warrants Oct 2019 B	100,000,000	2019/04/11	2019/10/24	20.10
16636	Bank Vontobel – China Life European Put Warrants Sep 2019 B	60,000,000	2019/04/11	2019/09/23	10.20
16635	Bank Vontobel – Minsheng Bank European Warrants Sep 2019 A	50,000,000	2019/04/11	2019/09/25	11.10
16634	Bank Vontobel – China Shenhua European Warrants Nov 2019 A	70,000,000	2019/04/11	2019/11/01	10.50
16640	Bank Vontobel – CSPC Pharma European Warrants Oct 2019 A	35,000,000	2019/04/11	2019/10/10	10.50
16656	Bank Vontobel – HKEX European Warrants Oct 2019 A	80,000,000	2019/04/11	2019/10/31	20.24
16662	Bank Vontobel – HKEX European Put Warrants Nov 2019 B	40,000,000	2019/04/11	2019/11/01	11.44
16647	Bank Vontobel – PetroChina European Warrants Oct 2019 B	40,000,000	2019/04/11	2019/10/31	10.00
16665	Bank Vontobel – Ping An European Put Warrants Oct 2019 C	20,000,000	2019/04/11	2019/10/11	12.44
16630	Bank Vontobel – Tencent European Warrants Jul 2019 E	100,000,000	2019/04/11	2019/07/24	18.00
16628	Bank Vontobel – Tencent European Put Warrants Nov 2019 B	100,000,000	2019/04/11	2019/11/05	28.00
29276#	J P Morgan SP BV – China Mobile European Wts Jun 2019 C	150,000,000	2019/04/11	2019/06/28	10.65
16760	BOCI Asia Ltd. – CAM CSI300 European Warrants Oct 2019 A	40,000,000	2019/04/12	2019/10/23	11.08
16755	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Nov 2019 A	70,000,000	2019/04/12	2019/11/22	10.50
16762	BOCI Asia Ltd. – China Tower European Warrants Sep 2019 C	80,000,000	2019/04/12	2019/09/23	12.64
16758	BOCI Asia Ltd. – A50 China European Warrants Nov 2019 A	70,000,000	2019/04/12	2019/11/22	11.13
16861	Credit Suisse AG – Air China European Warrants Oct 2019 A	40,000,000	2019/04/12	2019/10/11	10.80
16847	Credit Suisse AG – China EB Ltd European Warrants Dec 2019 B	40,000,000	2019/04/12	2019/12/31	10.00
16857	Credit Suisse AG – CKH Holdings European Warrants Oct 2019 A	40,000,000	2019/04/12	2019/10/11	10.80
16854	Credit Suisse AG – China Railway European Warrants Aug 2019A	40,000,000	2019/04/12	2019/08/23	10.80
16863	Credit Suisse AG – China South Air European Wts Oct 2019 A	40,000,000	2019/04/12	2019/10/31	10.00
16858	Credit Suisse AG – Galaxy Ent European Warrants Oct 2020 A	100,000,000	2019/04/12	2020/10/30	25.00
16862	Credit Suisse AG – Baiyunshan Ph European Warrants Oct 2019A	30,000,000	2019/04/12	2019/10/11	10.50
16864	Credit Suisse AG – Travelsky Tech European Wts Oct 2019 A	40,000,000	2019/04/12	2019/10/31	10.00
16828	Goldman Sachs SP (Asia) – AIA European Put Wts Sep 2019 A	80,000,000	2019/04/12	2019/09/23	23.28
16841	Goldman Sachs SP (Asia) – HSCFI European Put Wts Sep 2019 A	150,000,000	2019/04/12	2019/09/27	22.50
16827	Goldman Sachs SP (Asia) – PetroChina European Wts Jul 2019 B	80,000,000	2019/04/12	2019/07/24	12.00
16832	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2019 A	100,000,000	2019/04/12	2019/10/24	17.70
16831	Goldman Sachs SP (Asia) – Xiaomi European Warrants Sep 2019F	60,000,000	2019/04/12	2019/09/25	15.42
16821	HK Bank – CSOP A50 ETF European Warrants Jan 2020 A	80,000,000	2019/04/12	2020/01/06	20.00
16817	HK Bank – CSOP A50 ETF European Put Warrants Sep 2019 B	80,000,000	2019/04/12	2019/09/27	12.00
16815	HK Bank – A50 China European Warrants Jan 2020 A	80,000,000	2019/04/12	2020/01/06	20.00
16814	HK Bank – A50 China European Put Warrants Oct 2019 A	80,000,000	2019/04/12	2019/10/04	12.00
16826	Haitong Int'l Sec – CM Bank European Warrants Nov 2019 A	40,000,000	2019/04/12	2019/11/29	13.60

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16784	Macquarie Bank Ltd. – China East Air European Wts Nov 2020 A	29,500,000	2019/04/12	2020/11/13	10.00
16768	Macquarie Bank Ltd. – Ping An European Warrants Jan 2022 A	32,500,000	2019/04/12	2022/01/04	10.01
16765	Macquarie Bank Ltd. – Ping An European Put Wts Nov 2019 D	18,000,000	2019/04/12	2019/11/04	10.03
16785	Macquarie Bank Ltd. – Tencent European Warrants Oct 2019 F	40,000,000	2019/04/12	2019/10/28	10.00
16787	Macquarie Bank Ltd. – Tencent European Warrants Oct 2019 G	24,000,000	2019/04/12	2019/10/28	10.01
16795	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2019 H	25,300,000	2019/04/12	2019/10/28	10.09
16824	Macquarie Bank Ltd. – Xiaomi European Warrants Nov 2019 A	33,500,000	2019/04/12	2019/11/04	10.05
16890	SG Issuer – CAM CSI300 European Warrants Sep 2019 E	50,000,000	2019/04/12	2019/09/04	11.50
16893	SG Issuer – CAM CSI300 European Put Warrants Oct 2019 B	40,000,000	2019/04/12	2019/10/31	15.00
16872	SG Issuer – BOC HK European Warrants Feb 2020 A	60,000,000	2019/04/12	2020/02/03	10.20
16909	SG Issuer – China Comm Cons European Warrants Oct 2019 B	20,000,000	2019/04/12	2019/10/31	10.00
16876	SG Issuer – China Gas Hold Eu Wt Sep 2019 A	50,000,000	2019/04/12	2019/09/16	10.00
16920	SG Issuer – CPIC Eur Wt Jul 2019 B	50,000,000	2019/04/12	2019/07/26	11.25
16896	SG Issuer – CSOP A50 ETF European Warrants Sep 2019 E	30,000,000	2019/04/12	2019/09/02	10.80
16923	SG Issuer – China Telecom European Warrants Oct 2019 A	35,000,000	2019/04/12	2019/10/31	10.15
16904	SG Issuer – A50 China European Warrants Aug 2019 B	20,000,000	2019/04/12	2019/08/28	10.00
16880	SG Issuer – Geely Auto European Warrants Nov 2019 A	80,000,000	2019/04/12	2019/11/22	13.60
16878	SG Issuer – Geely Auto European Put Warrants Oct 2019 B	60,000,000	2019/04/12	2019/10/31	15.00
16877	SG Issuer – Galaxy Ent European Warrants Oct 2019 A	40,000,000	2019/04/12	2019/10/31	13.20
16886	SG Issuer – HKEX European Warrants Sep 2019 J	100,000,000	2019/04/12	2019/09/23	15.00
16928	SG Issuer – Henderson Land European Put Warrants Sep 2019 B	50,000,000	2019/04/12	2019/09/25	10.25
16933	SG Issuer – HSBC European Warrants Aug 2019 C	60,000,000	2019/04/12	2019/08/30	10.20
16865	SG Issuer – HSI European Warrants Oct 2019 E	300,000,000	2019/04/12	2019/10/30	75.00
16935	SG Issuer – Tencent European Warrants Aug 2019 C	20,000,000	2019/04/12	2019/08/26	10.00
16889	SG Issuer – ZA Online European Warrants Aug 2019 A	50,000,000	2019/04/12	2019/08/12	10.25
16803	UBS AG – AIA European Put Warrants Sep 2019 A	100,000,000	2019/04/12	2019/09/23	35.00
16807	UBS AG – China Taiping European Warrants Sep 2019 A	50,000,000	2019/04/12	2019/09/23	10.30
16754	UBS AG – HSI European Warrants Sep 2019 D	300,000,000	2019/04/12	2019/09/27	46.80
16800	UBS AG – Tencent European Warrants Sep 2019 C	300,000,000	2019/04/12	2019/09/23	45.60
16944	BOCI Asia Ltd. – Galaxy Ent European Warrants Oct 2019 A	80,000,000	2019/04/15	2019/10/03	31.60
16940	BOCI Asia Ltd. – Tencent European Wts Sep 2019 F	100,000,000	2019/04/15	2019/09/05	15.70
16943	BOCI Asia Ltd. – Tencent European Put Wts Sep 2019 G	200,000,000	2019/04/15	2019/09/26	30.60
16983	Credit Suisse AG – China East Air European Wts Feb 2020 A	40,000,000	2019/04/15	2020/02/28	10.00
16939	Credit Suisse AG – China Mobile European Warrants Dec 2019 A	150,000,000	2019/04/15	2019/12/20	22.50
16984	Credit Suisse AG – Geely Auto European Put Wts Oct 2019 A	60,000,000	2019/04/15	2019/10/31	15.00
17089	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Nov 2019 B	100,000,000	2019/04/15	2019/11/22	15.00
17090	Goldman Sachs SP (Asia) – CSPC Pharma Euro Wts Oct 2019 A	60,000,000	2019/04/15	2019/10/31	15.00
17088	Goldman Sachs SP (Asia) – Geely Auto European Wts Nov 2019 A	80,000,000	2019/04/15	2019/11/22	15.28
17086	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2019 L	100,000,000	2019/04/15	2019/09/23	15.00
17083	Goldman Sachs SP (Asia) – Tencent European Wt Apr 2020 A	150,000,000	2019/04/15	2020/04/23	23.25
16980	Haitong Int'l Sec – Ping An European Put Warrants Oct 2019 A	60,000,000	2019/04/15	2019/10/15	25.80
16976	Haitong Int'l Sec – WH Group European Warrants Oct 2019 A	60,000,000	2019/04/15	2019/10/30	15.00
17081	J P Morgan SP BV – AIA European Warrants Aug 2019 C	100,000,000	2019/04/15	2019/08/29	16.00
16994	J P Morgan SP BV – Ali Health European Warrants Oct 2019 A	80,000,000	2019/04/15	2019/10/31	20.08

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17051	J P Morgan SP BV – BOC HK European Warrants Mar 2020 A	100,000,000	2019/04/15	2020/03/31	25.60
17080	J P Morgan SP BV – CC Bank European Wts Jul 2019 C	100,000,000	2019/04/15	2019/07/31	15.80
17038	J P Morgan SP BV – Ch Comm Cons European Warrants Oct 2019 A	40,000,000	2019/04/15	2019/10/31	11.96
17078	J P Morgan SP BV – China Gas Hold European Wts Oct 2019 A	60,000,000	2019/04/15	2019/10/31	15.00
16992	J P Morgan SP BV – CM Bank European Warrants Oct 2019 A	80,000,000	2019/04/15	2019/10/31	20.32
17008	J P Morgan SP BV – China Mobile European Wts Oct 2019 A	150,000,000	2019/04/15	2019/10/14	37.95
17013	J P Morgan SP BV – China Mobile European Put Wts Jul 2019 C	100,000,000	2019/04/15	2019/07/31	15.20
17074	J P Morgan SP BV – Galaxy Ent European Warrants Oct 2019 A	100,000,000	2019/04/15	2019/10/14	27.80
17077	J P Morgan SP BV – Galaxy Ent European Put Wts Oct 2019 B	100,000,000	2019/04/15	2019/10/31	40.20
17032	J P Morgan SP BV – Genscript Bio European Warrants Oct 2019A	50,000,000	2019/04/15	2019/10/31	15.30
17049	J P Morgan SP BV – Greatwall Motor European Wts Oct 2019 A	40,000,000	2019/04/15	2019/10/31	12.72
17040	J P Morgan SP BV – HKEX European Warrants Sep 2019 B	100,000,000	2019/04/15	2019/09/23	16.20
16990	J P Morgan SP BV – HSCEI European Put Warrants Oct 2019 A	200,000,000	2019/04/15	2019/10/30	51.00
16999	J P Morgan SP BV – HSCEI ETF European Put Warrants Oct 2019A	60,000,000	2019/04/15	2019/10/31	19.68
16989	J P Morgan SP BV – HSI European Put Warrants Oct 2019 F	400,000,000	2019/04/15	2019/10/30	100.00
17063	J P Morgan SP BV – Melco Int'l Dev European Wts Sep 2019 A	60,000,000	2019/04/15	2019/09/30	10.86
17006	J P Morgan SP BV – Ping An European Warrants Sep 2019 C	100,000,000	2019/04/15	2019/09/23	31.90
17001	J P Morgan SP BV – Ping An European Put Warrants Oct 2019 B	100,000,000	2019/04/15	2019/10/14	55.60
17043	J P Morgan SP BV – Shimao Property European Wts Oct 2019 A	60,000,000	2019/04/15	2019/10/31	15.42
17003	J P Morgan SP BV – Sunny Optical European Warrants Sep 2019C	100,000,000	2019/04/15	2019/09/05	15.20
17082	J P Morgan SP BV – Weichai Power European Wts Oct 2019 A	80,000,000	2019/04/15	2019/10/31	20.08
17058	J P Morgan SP BV – Yanzhou Coal European Warrants Dec 2019 A	50,000,000	2019/04/15	2019/12/31	12.90
16945	Macquarie Bank Ltd. – CC Bank European Warrants Nov 2019 A	38,000,000	2019/04/15	2019/11/04	10.22
16952	Macquarie Bank Ltd. – CC Bank European Put Wts Jan 2020 A	23,000,000	2019/04/15	2020/01/03	10.01
16962	Macquarie Bank Ltd. – Geely Auto European Wts Nov 2019 B	29,500,000	2019/04/15	2019/11/04	10.09
16965	Macquarie Bank Ltd. – Haidilao European Warrants Mar 2020 A	25,000,000	2019/04/15	2020/03/17	10.03
16970	Macquarie Bank Ltd. – Weichai Power European Wts Dec 2019 A	28,600,000	2019/04/15	2019/12/17	10.01
16973	Macquarie Bank Ltd. – Xinyi Solar European Warrants Jun 21 A	40,000,000	2019/04/15	2021/06/16	10.12
17094	SG Issuer – AAC Tech European Put Warrants Nov 2019 A	80,000,000	2019/04/15	2019/11/29	20.00
17096	SG Issuer – Air China European Warrants Oct 2019 A	50,000,000	2019/04/15	2019/10/31	13.50
17132	SG Issuer – CHALCO European Warrants Aug 2019 B	50,000,000	2019/04/15	2019/08/29	11.00
17098	SG Issuer – BYD Company European Warrants Aug 2019 A	30,000,000	2019/04/15	2019/08/28	10.05
17099	SG Issuer – BYD European Put Warrants Oct 2019 A	20,000,000	2019/04/15	2019/10/31	10.40
17133	SG Issuer – CGN Power European Warrants Oct 2019 A	60,000,000	2019/04/15	2019/10/28	10.20
17135	SG Issuer – China Cinda European Warrants Nov 2019 A	50,000,000	2019/04/15	2019/11/22	10.25
17142	SG Issuer – CM Bank European Warrants Oct 2019 A	40,000,000	2019/04/15	2019/10/31	10.00
17103	SG Issuer – China Mobile European Warrants Sep 2019 C	60,000,000	2019/04/15	2019/09/20	10.20
17143	SG Issuer – CNBM European Warrants Oct 2019 A	16,000,000	2019/04/15	2019/10/31	10.08
17146	SG Issuer – CPIC Eu Put Wt Oct 2019 A	40,000,000	2019/04/15	2019/10/31	10.00
17153	SG Issuer – CSPC Pharma European Warrants Oct 2019 A	60,000,000	2019/04/15	2019/10/31	15.00
17107	SG Issuer – CSPC Pharma European Put Warrants Sep 2019 B	60,000,000	2019/04/15	2019/09/23	10.80
17150	SG Issuer – China Taiping European Warrants Jul 2019 C	70,000,000	2019/04/15	2019/07/15	10.50
17158	SG Issuer – Geely Auto European Warrants Oct 2019 C	40,000,000	2019/04/15	2019/10/31	12.60
17165	SG Issuer – Greatwall Motor European Warrants Oct 2019 A	20,000,000	2019/04/15	2019/10/31	10.00

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17171	SG Issuer – Jiangxi Copper European Warrants Sep 2019 B	70,000,000	2019/04/15	2019/09/23	10.50
17139	SG Issuer – Mengniu Dairy European Warrants Sep 2019 B	50,000,000	2019/04/15	2019/09/27	10.00
17108	SG Issuer – NCI European Warrants Aug 2019 A	40,000,000	2019/04/15	2019/08/27	11.00
17118	SG Issuer – NCI European Put Warrants Oct 2019 A	30,000,000	2019/04/15	2019/10/31	11.25
17128	SG Issuer – SUNAC European Put Warrants Oct 2019 A	25,000,000	2019/04/15	2019/10/31	11.88
17175	SG Issuer – Sunny Optical European Warrants Sep 2019 C	60,000,000	2019/04/15	2019/09/05	10.20
17176	SG Issuer – WH Group European Warrants Sep 2019 B	50,000,000	2019/04/15	2019/09/19	10.25
17130	SG Issuer – Xiaomi European Put Warrants Aug 2019 B	80,000,000	2019/04/15	2019/08/27	12.00
17183	BOCI Asia Ltd. – AIA European Warrants Aug 2019 B	70,000,000	2019/04/16	2019/08/30	10.50
17186	BOCI Asia Ltd. – AIA European Put Warrants Sep 2019 A	60,000,000	2019/04/16	2019/09/23	19.80
17178	BOCI Asia Ltd. – China Tower European Warrants Nov 2019 A	80,000,000	2019/04/16	2019/11/28	20.00
17190	BOCI Asia Ltd. – Geely Auto European Warrants Sep 2019 C	50,000,000	2019/04/16	2019/09/25	18.50
17180	BOCI Asia Ltd. – Ping An European Warrants Oct 2019 A	80,000,000	2019/04/16	2019/10/24	23.20
17355	Credit Suisse AG – Country Garden European Warrants Oct 19 A	60,000,000	2019/04/16	2019/10/15	15.00
17341	Credit Suisse AG – CSPC Pharma European Warrants Nov 2019 A	50,000,000	2019/04/16	2019/11/29	12.50
17337	Credit Suisse AG – China Tower European Put Warrants Oct19 B	70,000,000	2019/04/16	2019/10/15	17.50
17367	Credit Suisse AG – Geely Auto European Warrants Dec 2019 B	80,000,000	2019/04/16	2019/12/31	20.00
17338	Credit Suisse AG – Geely Auto European Put Wts Oct 2019 B	60,000,000	2019/04/16	2019/10/15	15.00
17347	Credit Suisse AG – Genscript Bio European Warrants Oct 2019A	30,000,000	2019/04/16	2019/10/15	10.20
17339	Credit Suisse AG – Greatwall Motor European Wts Oct 2019 A	20,000,000	2019/04/16	2019/10/15	10.00
17344	Credit Suisse AG – Sino Biopharm European Wts Oct 2019 A	40,000,000	2019/04/16	2019/10/15	10.00
17349	Credit Suisse AG – SUNAC European Warrants Oct 2019 A	40,000,000	2019/04/16	2019/10/15	14.80
17352	Credit Suisse AG – SUNAC European Put Warrants Oct 2019 B	30,000,000	2019/04/16	2019/10/15	10.80
17353	Credit Suisse AG – Sunny Optical European Put Wts Oct 2019 A	50,000,000	2019/04/16	2019/10/15	12.50
17413	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Sep 2019 C	60,000,000	2019/04/16	2019/09/02	17.76
17409	Goldman Sachs SP (Asia) – China Tower European Wts Oct 2019B	80,000,000	2019/04/16	2019/10/24	14.88
17406	Goldman Sachs SP (Asia) – Geely Auto Euro Put Wts Oct 2019 B	80,000,000	2019/04/16	2019/10/16	20.00
17410	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Oct 2019 B	80,000,000	2019/04/16	2019/10/16	34.00
17407	Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2019 A	100,000,000	2019/04/16	2019/10/16	25.00
17255	HK Bank – CSPC Pharma European Warrants Nov 2019 A	50,000,000	2019/04/16	2019/11/29	12.50
17260	HK Bank – Sino Biopharm European Warrants Jun 2020 A	80,000,000	2019/04/16	2020/06/30	20.00
17267	Haitong Int'l Sec – AIA European Put Warrants Oct 2019 B	60,000,000	2019/04/16	2019/10/16	31.20
17392	Haitong Int'l Sec – CM Bank European Warrants Dec 2019 A	40,000,000	2019/04/16	2019/12/30	10.00
17284	Haitong Int'l Sec – China Mobile European Wts Nov 2019 A	60,000,000	2019/04/16	2019/11/01	11.40
17404	Haitong Int'l Sec – China Tower European Warrants Nov 2019 A	80,000,000	2019/04/16	2019/11/29	20.00
17387	Haitong Int'l Sec – China Tower European Put Warrants Oct19B	80,000,000	2019/04/16	2019/10/03	12.00
17268	Haitong Int'l Sec – Geely Auto European Put Wts Dec 2019 B	80,000,000	2019/04/16	2019/12/19	20.00
17398	Haitong Int'l Sec – HKEX European Warrants Dec 2019 C	80,000,000	2019/04/16	2019/12/24	12.00
17389	Haitong Int'l Sec – HSBC European Warrants Nov 2019 A	60,000,000	2019/04/16	2019/11/29	15.60
17390	Haitong Int'l Sec – HSBC European Put Warrants Dec 2019 A	60,000,000	2019/04/16	2019/12/27	21.00
17261	Haitong Int'l Sec – Ping An European Warrants Oct 2019 B	80,000,000	2019/04/16	2019/10/16	22.40
17262	Haitong Int'l Sec – Ping An European Warrants Nov 2019 A	80,000,000	2019/04/16	2019/11/20	20.00
17266	Haitong Int'l Sec – Ping An European Put Warrants Mar 2020 A	200,000,000	2019/04/16	2020/03/30	50.00
17393	Haitong Int'l Sec – SHK Ppt European Warrants Jan 2020 A	40,000,000	2019/04/16	2020/01/31	28.00

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17399	Haitong Int'l Sec - Sunny Optical European Wts Oct 2019 B	40,000,000	2019/04/16	2019/10/16	10.00
17401	Haitong Int'l Sec - ZTE European Warrants Nov 2019 B	50,000,000	2019/04/16	2019/11/18	16.00
17315	J P Morgan SP BV - CAM CSI300 European Warrants Sep 2019 D	80,000,000	2019/04/16	2019/09/04	12.96
17327	J P Morgan SP BV - BYD Company European Put Wts Oct 2019 A	50,000,000	2019/04/16	2019/10/15	16.00
17334	J P Morgan SP BV - CSOP A50 ETF European Warrants Nov 2019 B	80,000,000	2019/04/16	2019/11/29	12.32
17318	J P Morgan SP BV - China Tower European Warrants Nov 2019 B	200,000,000	2019/04/16	2019/11/05	50.60
17296	J P Morgan SP BV - Geely Auto European Put Wts Oct 2019 A	100,000,000	2019/04/16	2019/10/03	15.00
17295	J P Morgan SP BV - Geely Auto European Put Wts Nov 2019 A	100,000,000	2019/04/16	2019/11/29	25.00
17325	J P Morgan SP BV - Haidilao European Warrants Nov 2019 A	40,000,000	2019/04/16	2019/11/29	12.40
17306	J P Morgan SP BV - Mengniu Dairy European Wts Sep 2019 B	60,000,000	2019/04/16	2019/09/27	14.82
17299	J P Morgan SP BV - NCI European Put Warrants Oct 2019 A	60,000,000	2019/04/16	2019/10/15	17.82
17328	J P Morgan SP BV - SUNAC European Warrants Oct 2019 C	70,000,000	2019/04/16	2019/10/15	17.92
17301	J P Morgan SP BV - SUNAC European Put Warrants Oct 2019 B	70,000,000	2019/04/16	2019/10/15	29.05
17311	J P Morgan SP BV - ZA Online European Warrants Sep 2019 A	40,000,000	2019/04/16	2019/09/05	10.36
17199	Macquarie Bank Ltd. - China Life European Wts Jan 2020 A	39,000,000	2019/04/16	2020/01/03	10.06
17203	Macquarie Bank Ltd. - CM Bank European Warrants Nov 2019 A	30,000,000	2019/04/16	2019/11/04	10.05
17198	Macquarie Bank Ltd. - China Mobile European Wts Dec 2019 A	20,000,000	2019/04/16	2019/12/03	10.08
17196	Macquarie Bank Ltd. - China Tower European Warrants Jul 20 A	36,000,000	2019/04/16	2020/07/03	10.01
17194	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Jan 2020 A	16,000,000	2019/04/16	2020/01/03	10.05
17195	Macquarie Bank Ltd. - Sunny Optical European Wts Nov 2019 B	10,000,000	2019/04/16	2019/11/04	10.19
17204	Macquarie Bank Ltd. - Tencent European Warrants Nov 2019 A	21,200,000	2019/04/16	2019/11/01	10.01
17213	Macquarie Bank Ltd. - Tencent European Warrants Nov 2019 B	11,200,000	2019/04/16	2019/11/01	10.01
17216	Macquarie Bank Ltd. - Tencent European Warrants Nov 2019 C	7,500,000	2019/04/16	2019/11/01	12.35
17220	Macquarie Bank Ltd. - Tencent European Warrants Nov 2019 D	30,000,000	2019/04/16	2019/11/01	17.61
17230	Macquarie Bank Ltd. - Tencent European Warrants Nov 2019 E	30,000,000	2019/04/16	2019/11/01	29.94
17378	SG Issuer - China Tower European Warrants Aug 2019 C	60,000,000	2019/04/16	2019/08/21	12.30
17386	SG Issuer - Ping An European Warrants Oct 2019 B	80,000,000	2019/04/16	2019/10/31	20.00
17379	SG Issuer - Wynn Macau European Warrants Oct 2019 A	40,000,000	2019/04/16	2019/10/31	10.00
17381	SG Issuer - ZTE European Warrants Aug 2019 A	40,000,000	2019/04/16	2019/08/26	11.20
17382	SG Issuer - ZTE European Warrants Sep 2019 A	40,000,000	2019/04/16	2019/09/23	10.80
17236	UBS AG - China Mobile European Warrants Sep 2019 C	100,000,000	2019/04/16	2019/09/20	15.00
17253	UBS AG - China Tower European Warrants Nov 2019 C	70,000,000	2019/04/16	2019/11/29	17.50
17252	UBS AG - China Tower European Put Warrants Sep 2019 D	70,000,000	2019/04/16	2019/09/23	10.50
17235	UBS AG - Greatwall Motor European Put Warrants Oct 2019 A	20,000,000	2019/04/16	2019/10/16	12.20
17244	UBS AG - Tencent European Warrants Sep 2019 D	300,000,000	2019/04/16	2019/09/23	61.20
17250	UBS AG - Tencent European Put Warrants Sep 2019 E	300,000,000	2019/04/16	2019/09/25	45.00
17374	Bank Vontobel - AAC Tech European Warrants Nov 2019 A	20,000,000	2019/04/16	2019/11/25	10.02
17368	Bank Vontobel - Country Garden European Warrants Nov 2019 A	40,000,000	2019/04/16	2019/11/07	10.44
17375	Bank Vontobel - China Tower European Warrants Oct 2019 A	50,000,000	2019/04/16	2019/10/21	11.05
17473	BNP Paribas Issuance B.V. - AAC Tech Euro Wts Sep 2019 A	40,000,000	2019/04/17	2019/09/03	12.00
17466	BNP Paribas Issuance B.V. - AIA European Wts Dec 2019 A	40,000,000	2019/04/17	2019/12/03	10.00
17463	BNP Paribas Issuance B.V. - China Tower European Wts Jan20 B	50,000,000	2019/04/17	2020/01/02	12.50
17464	BNP Paribas Issuance B.V. - China Tower European Wts Apr20 A	50,000,000	2019/04/17	2020/04/02	13.00
17423	BNP Paribas Issuance B.V. - Ping An European Wt Nov 2019 D	50,000,000	2019/04/17	2019/11/04	15.50

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17425	BNP Paribas Issuance B.V. – Ping An European Wt Feb 2020 A	50,000,000	2019/04/17	2020/02/04	12.50
17428	BNP Paribas Issuance B.V. – Ping An Eur Put Wts Nov 2019 E	50,000,000	2019/04/17	2019/11/04	13.50
17478	BNP Paribas Issuance B.V. – SJM Holdings Eu Wts Apr 2020 A	50,000,000	2019/04/17	2020/04/02	12.50
17477	BNP Paribas Issuance B.V. – SUNAC European Wts Nov 2019 A	40,000,000	2019/04/17	2019/11/04	14.80
17414	BNP Paribas Issuance B.V. – Tencent European Wts Dec 2019 E	100,000,000	2019/04/17	2019/12/03	25.00
17415	BNP Paribas Issuance B.V. – Tencent European Wts Feb 2020 B	100,000,000	2019/04/17	2020/02/04	25.00
17417	BNP Paribas Issuance B.V. – Tencent Eu Put Wt Nov 2019 C	100,000,000	2019/04/17	2019/11/04	25.00
17472	BNP Paribas Issuance B.V. – Zijin Mining Eu Wts Nov 2019 A	40,000,000	2019/04/17	2019/11/04	10.80
17522	Credit Suisse AG – CM Bank European Warrants Oct 2019 B	50,000,000	2019/04/17	2019/10/16	12.50
17525	Credit Suisse AG – HSBC European Wts Oct 2019 B	100,000,000	2019/04/17	2019/10/31	25.00
17527	Credit Suisse AG – NCI European Put Warrants Oct 2019 A	40,000,000	2019/04/17	2019/10/16	10.00
17523	Credit Suisse AG – Ping An European Warrants Oct 2019 B	150,000,000	2019/04/17	2019/10/16	37.50
17533	Credit Suisse AG – Ping An European Warrants Oct 2019 C	150,000,000	2019/04/17	2019/10/16	57.00
17528	Credit Suisse AG – Tencent European Wts Sep 2019 G	80,000,000	2019/04/17	2019/09/05	24.00
17526	Credit Suisse AG – Wynn Macau European Warrants Oct 2019 A	40,000,000	2019/04/17	2019/10/31	10.00
17518	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Sep 2019 C	60,000,000	2019/04/17	2019/09/04	10.02
17551	Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2019 A	80,000,000	2019/04/17	2019/10/04	15.12
17548	Goldman Sachs SP (Asia) – Geely Auto European Wts Oct 2019 C	80,000,000	2019/04/17	2019/10/31	20.00
17512	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2019 A	150,000,000	2019/04/17	2019/10/30	22.50
17549	Goldman Sachs SP (Asia) – Lenovo European Wts Aug 2019 B	60,000,000	2019/04/17	2019/08/26	18.24
17496	HK Bank – AAC Tech European Warrants Dec 2019 A	80,000,000	2019/04/17	2019/12/31	20.00
17431	HK Bank – SHK Ppt European Warrants Mar 2020 A	80,000,000	2019/04/17	2020/03/31	20.00
17429	HK Bank – SUNAC European Warrants Jun 2020 A	80,000,000	2019/04/17	2020/06/30	20.00
17434	HK Bank – Tencent European Warrants Sep 2019 E	100,000,000	2019/04/17	2019/09/27	16.00
17433	HK Bank – Xiaomi European Warrants Mar 2020 A	80,000,000	2019/04/17	2020/03/02	12.00
17498	Haitong Int'l Sec – Lenovo European Warrants Sep 2019 B	40,000,000	2019/04/17	2019/09/02	13.20
17497	Haitong Int'l Sec – SJM Holdings European Warrants Sep 2019 A	70,000,000	2019/04/17	2019/09/24	11.20
17491	J P Morgan SP BV – Geely Auto European Warrants Oct 2019 B	50,000,000	2019/04/17	2019/10/16	13.15
17484	J P Morgan SP BV – Geely Auto European Warrants Nov 2019 B	100,000,000	2019/04/17	2019/11/22	24.10
17492	J P Morgan SP BV – Genscript Bio European Warrants Oct 2019 B	70,000,000	2019/04/17	2019/10/16	22.05
17482	J P Morgan SP BV – Baiyunshan Ph European Wts Oct 2019 A	40,000,000	2019/04/17	2019/10/31	11.12
17479	J P Morgan SP BV – HSI European Warrants Nov 2019 A	400,000,000	2019/04/17	2019/11/28	100.40
17494	J P Morgan SP BV – Tencent European Warrants Sep 2019 E	150,000,000	2019/04/17	2019/09/05	22.80
17493	J P Morgan SP BV – Tencent European Warrants Nov 2019 B	300,000,000	2019/04/17	2019/11/29	75.60
17449	Macquarie Bank Ltd. – Conch Cement European Put Wts Jan 20 A	16,000,000	2019/04/17	2020/01/03	10.03
17442	Macquarie Bank Ltd. – AIA European Warrants Jan 2020 A	17,000,000	2019/04/17	2020/01/03	10.56
17448	Macquarie Bank Ltd. – Bank of China European Wts Apr 2020 A	38,500,000	2019/04/17	2020/04/02	10.05
17446	Macquarie Bank Ltd. – CNOOC European Warrants Jan 2020 A	40,000,000	2019/04/17	2020/01/03	10.04
17445	Macquarie Bank Ltd. – China Overseas European Wts Jan 2020 A	39,000,000	2019/04/17	2020/01/03	10.10
17435	Macquarie Bank Ltd. – Baiyunshan Ph European Warrants Jun21A	40,000,000	2019/04/17	2021/06/16	10.00
17436	Macquarie Bank Ltd. – Tencent European Put Wts Nov 2019 F	30,000,000	2019/04/17	2019/11/01	10.14
17437	Macquarie Bank Ltd. – Tencent European Put Wts Nov 2019 G	11,000,000	2019/04/17	2019/11/01	10.04
17536	SG Issuer – Conch Cement European Put Warrants Oct 2019 B	25,000,000	2019/04/17	2019/10/31	11.13
17538	SG Issuer – CC Bank European Warrants Sep 2019 B	70,000,000	2019/04/17	2019/09/25	10.50

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17540	SG Issuer – CK Asset European Warrants Sep 2019 B	50,000,000	2019/04/17	2019/09/23	11.25
17542	SG Issuer – China Overseas European Warrants Oct 2019 B	70,000,000	2019/04/17	2019/10/24	10.50
17547	SG Issuer – Lenovo European Put Warrants Oct 2019 A	13,000,000	2019/04/17	2019/10/31	10.14
17454	UBS AG – HSI European Warrants Sep 2019 E	300,000,000	2019/04/17	2019/09/27	45.00
17504	Bank Vontobel – China Life European Warrants Nov 2019 C	40,000,000	2019/04/17	2019/11/06	10.04
17507	Bank Vontobel – China Mobile European Warrants Sep 2019 B	70,000,000	2019/04/17	2019/09/23	10.57
17499	Bank Vontobel – CSOP A50 ETF European Warrants Nov 2019 A	50,000,000	2019/04/17	2019/11/22	10.05
17503	Bank Vontobel – CSOP A50 ETF European Put Warrants Nov 2019B	40,000,000	2019/04/17	2019/11/06	10.04
17505	Bank Vontobel – Tencent European Warrants Dec 2019 A	100,000,000	2019/04/17	2019/12/20	21.10
17583	BOCI Asia Ltd. – Tencent European Wts Sep 2019 H	200,000,000	2019/04/18	2019/09/25	40.00
17594	BOCI Asia Ltd. – Tencent European Put Wts Sep 2019 I	200,000,000	2019/04/18	2019/09/18	30.00
17637	Credit Suisse AG – China Tower European Warrants Sep 2019 C	80,000,000	2019/04/18	2019/09/16	15.20
17636	Credit Suisse AG – Haitong Sec European Warrants Oct 2019 C	80,000,000	2019/04/18	2019/10/17	20.00
17638	Credit Suisse AG – Tencent Euro Put Wts Oct 2019 A	200,000,000	2019/04/18	2019/10/17	50.00
17644	Goldman Sachs SP (Asia) – China Tower European Wts Nov 2019A	70,000,000	2019/04/18	2019/11/04	10.50
17642	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2019 M	100,000,000	2019/04/18	2019/09/04	15.00
17640	Goldman Sachs SP (Asia) – SUNAC European Warrants Sep 2019 B	40,000,000	2019/04/18	2019/09/25	15.88
17641	Goldman Sachs SP (Asia) – Tencent European Wt Aug 2019 F	30,000,000	2019/04/18	2019/08/26	16.95
17609	HK Bank – CC Bank European Warrants Oct 2019 A	50,000,000	2019/04/18	2019/10/31	12.50
17608	HK Bank – China Mobile European Warrants Oct 2019 A	50,000,000	2019/04/18	2019/10/31	12.50
17615	HK Bank – China Tower European Warrants Oct 2019 A	50,000,000	2019/04/18	2019/10/31	12.50
17604	HK Bank – HSCEI European Warrants Mar 2020 A	150,000,000	2019/04/18	2020/03/30	22.50
17611	HK Bank – ICBC European Warrants Sep 2019 B	70,000,000	2019/04/18	2019/09/27	11.20
17612	HK Bank – Lenovo European Warrants Mar 2020 A	80,000,000	2019/04/18	2020/03/31	20.00
17605	HK Bank – Ping An European Warrants Dec 2020 B	150,000,000	2019/04/18	2020/12/23	22.50
17606	HK Bank – Ping An European Warrants Mar 2021 A	150,000,000	2019/04/18	2021/03/24	22.50
17601	HK Bank – Sunny Optical European Warrants Mar 2020 A	100,000,000	2019/04/18	2020/03/31	25.00
17599	HK Bank – Tencent European Put Warrants Oct 2019 A	100,000,000	2019/04/18	2019/10/31	25.00
17554	Haitong Int'l Sec – GAC European Warrants Sep 2019 A	60,000,000	2019/04/18	2019/09/25	10.80
17552	Haitong Int'l Sec – Greatwall Motor European Warrants Mar20A	80,000,000	2019/04/18	2020/03/30	20.00
17629	J P Morgan SP BV – AAC Tech European Warrants Sep 2019 B	60,000,000	2019/04/18	2019/09/30	26.04
17633	J P Morgan SP BV – Conch Cement European Warrants Oct 2019 A	50,000,000	2019/04/18	2019/10/31	13.10
17635	J P Morgan SP BV – Conch Cement European Put Warrants Oct19B	50,000,000	2019/04/18	2019/10/18	13.00
17631	J P Morgan SP BV – China Mobile European Wts Oct 2019 B	150,000,000	2019/04/18	2019/10/31	46.50
17632	J P Morgan SP BV – Lenovo European Warrants Aug 2019 C	50,000,000	2019/04/18	2019/08/26	11.85
17628	J P Morgan SP BV – Ping An European Warrants Jul 2020 A	200,000,000	2019/04/18	2020/07/27	30.00
17627	J P Morgan SP BV – Tencent European Warrants Oct 2019 C	300,000,000	2019/04/18	2019/10/31	75.00
17617	J P Morgan SP BV – Tencent European Put Warrants Sep 2019 F	300,000,000	2019/04/18	2019/09/18	46.20
17616	J P Morgan SP BV – Tencent European Put Warrants Oct 2019 A	300,000,000	2019/04/18	2019/10/23	46.20
17624	J P Morgan SP BV – Tencent European Put Warrants Oct 2019 B	300,000,000	2019/04/18	2019/10/17	75.30
17626	J P Morgan SP BV – Wynn Macau European Warrants Nov 2019 A	70,000,000	2019/04/18	2019/11/04	17.50
17595	Macquarie Bank Ltd. – Genscript Bio European Wts Jul 2021 A	40,000,000	2019/04/18	2021/07/16	10.00
17578	SG Issuer – Country Garden European Put Warrants Feb 2020 A	50,000,000	2019/04/18	2020/02/21	10.00
17577	SG Issuer – HSI European Warrants Sep 2019 K	300,000,000	2019/04/18	2019/09/27	45.00

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17579	SG Issuer – Ping An European Put Warrants Oct 2019 C	60,000,000	2019/04/18	2019/10/03	33.00
17581	SG Issuer – Tencent European Warrants Sep 2019 F	100,000,000	2019/04/18	2019/09/05	17.00
17582	SG Issuer – Tencent European Put Warrants Oct 2019 A	200,000,000	2019/04/18	2019/10/31	50.00
17560	UBS AG – HKEX European Warrants Sep 2019 F	150,000,000	2019/04/18	2019/09/23	22.50
17562	UBS AG – HKEX European Warrants Dec 2019 C	300,000,000	2019/04/18	2019/12/24	45.00
17555	UBS AG – Tencent European Warrants Sep 2019 F	300,000,000	2019/04/18	2019/09/02	91.20
17558	UBS AG – Tencent European Put Warrants Sep 2019 G	300,000,000	2019/04/18	2019/09/26	45.00
17563	UBS AG – Tencent European Put Warrants Sep 2019 H	300,000,000	2019/04/18	2019/09/18	45.00
17576	Bank Vontobel – CNBM European Warrants Sep 2020 A	50,000,000	2019/04/18	2020/09/28	10.05
17564	Bank Vontobel – Evergrande European Warrants Nov 2019 A	40,000,000	2019/04/18	2019/11/04	10.04
17573	Bank Vontobel – Geely Auto European Put Warrants Nov 2019 A	40,000,000	2019/04/18	2019/11/07	10.04
17572	Bank Vontobel – ICBC European Warrants Nov 2019 A	40,000,000	2019/04/18	2019/11/06	14.04
17681	Credit Suisse AG – Country Garden Euro Put Wts Feb 2020 A	80,000,000	2019/04/23	2020/02/21	16.00
17678	Credit Suisse AG – CNBM European Warrants Dec 2019 A	40,000,000	2019/04/23	2019/12/31	10.00
17716	Goldman Sachs SP (Asia) – Conch Cement European Wts Oct 19 A	50,000,000	2019/04/23	2019/10/02	12.05
17721	Goldman Sachs SP (Asia) – Agricultural Bank Eur Wt Sep 2019A	70,000,000	2019/04/23	2019/09/23	10.50
17712	Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2019 B	80,000,000	2019/04/23	2019/10/23	20.00
17711	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2019 K	150,000,000	2019/04/23	2019/09/27	22.50
17719	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 I	100,000,000	2019/04/23	2019/09/05	15.00
17724	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2019 J	100,000,000	2019/04/23	2019/09/18	15.00
17669	HK Bank – Agricultural Bank European Warrants Oct 2019 A	50,000,000	2019/04/23	2019/10/31	12.50
17668	HK Bank – Sinopec Corp European Warrants Oct 2019 A	50,000,000	2019/04/23	2019/10/31	12.50
17657	HK Bank – Geely Auto European Warrants Oct 2019 A	50,000,000	2019/04/23	2019/10/31	12.50
17663	HK Bank – Tencent European Warrants Oct 2019 B	100,000,000	2019/04/23	2019/10/31	25.00
17665	HK Bank – Tencent European Warrants Nov 2019 B	100,000,000	2019/04/23	2019/11/29	25.00
17666	HK Bank – Tencent European Put Warrants Oct 2019 C	100,000,000	2019/04/23	2019/10/23	15.00
17675	J P Morgan SP BV – Agricultural Bank Euro Warrants Jan 2020A	100,000,000	2019/04/23	2020/01/21	15.00
17677	J P Morgan SP BV – PSBC European Warrants Oct 2019 A	50,000,000	2019/04/23	2019/10/31	12.80
17655	Macquarie Bank Ltd. – BAIC Motor European Warrants Jun 2020A	40,000,000	2019/04/23	2020/06/02	10.00
17648	Macquarie Bank Ltd. – CKH Holdings European Wts Jan 2020 A	34,600,000	2019/04/23	2020/01/03	10.03
17645	Macquarie Bank Ltd. – CNBM European Warrants Jul 2020 A	40,000,000	2019/04/23	2020/07/03	10.04
17650	Macquarie Bank Ltd. – CR Beer European Warrants Nov 2019 A	30,000,000	2019/04/23	2019/11/04	10.02
17670	Macquarie Bank Ltd. – Yanzhou Coal European Wts Apr 2020 A	30,750,000	2019/04/23	2020/04/02	10.06
17706	SG Issuer – Lenovo European Warrants Aug 2019 D	25,000,000	2019/04/23	2019/08/23	10.00
17708	SG Issuer – Tencent European Warrants Oct 2019 B	200,000,000	2019/04/23	2019/10/31	50.00
17710	SG Issuer – Tencent European Warrants Feb 2020 A	200,000,000	2019/04/23	2020/02/28	50.00
17671	UBS AG – CC Bank European Warrants Aug 2019 C	70,000,000	2019/04/23	2019/08/23	10.50
17690	Bank Vontobel – CNOOC European Put Warrants Dec 2019 B	40,000,000	2019/04/23	2019/12/02	10.16
17704	Bank Vontobel – Geely Auto European Warrants Nov 2019 B	40,000,000	2019/04/23	2019/11/07	11.20
17698	Bank Vontobel – Greatwall Motor European Warrants Nov 2019 A	20,000,000	2019/04/23	2019/11/08	10.00
17683	Bank Vontobel – HKEX European Put Warrants Oct 2019 B	80,000,000	2019/04/23	2019/10/30	20.40
17689	Bank Vontobel – HSBC European Put Warrants Dec 2019 B	30,000,000	2019/04/23	2019/12/02	11.94
17696	Bank Vontobel – Tencent European Warrants Sep 2019 E	70,000,000	2019/04/23	2019/09/05	12.60
17692	Bank Vontobel – Tencent European Warrants Apr 2020 A	100,000,000	2019/04/23	2020/04/03	25.00



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17693	Bank Vontobel – Tencent European Put Warrants Nov 2019 C	100,000,000	2019/04/23	2019/11/05	30.00
17688	Bank Vontobel – Xiaomi European Warrants Oct 2019 B	50,000,000	2019/04/23	2019/10/31	10.10
17750	Credit Suisse AG – Tencent European Wts Nov 2019 B	250,000,000	2019/04/24	2019/11/29	62.50
17752	Goldman Sachs SP (Asia) – BOC HK European Wts Feb 2020 A	60,000,000	2019/04/24	2020/02/03	10.02
17757	Goldman Sachs SP (Asia) – CNBM European Warrants Sep 2020 A	80,000,000	2019/04/24	2020/09/25	14.16
17759	Goldman Sachs SP (Asia) – NCI European Warrants Sep 2019 A	60,000,000	2019/04/24	2019/09/02	13.92
17756	Goldman Sachs SP (Asia) – Ping An European Wts Nov 2019 A	100,000,000	2019/04/24	2019/11/07	25.00
17753	Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2019 B	100,000,000	2019/04/24	2019/10/23	15.00
17744	HK Bank – HKEX European Warrants Sep 2019 C	100,000,000	2019/04/24	2019/09/27	19.00
17741	HK Bank – Ping An European Warrants Oct 2019 A	40,000,000	2019/04/24	2019/10/31	12.00
17745	J P Morgan SP BV – NCI European Warrants Oct 2019 B	60,000,000	2019/04/24	2019/10/31	15.06
17749	J P Morgan SP BV – Ping An European Warrants Dec 2019 C	150,000,000	2019/04/24	2019/12/03	37.65
17748	J P Morgan SP BV – SPDR Gold Trust European Wts Dec 2020 A	40,000,000	2019/04/24	2020/12/31	10.04
17739	Macquarie Bank Ltd. – Country Garden European Put Wts Dec19A	40,000,000	2019/04/24	2019/12/03	10.00
17733	Macquarie Bank Ltd. – CPIC European Warrants Apr 2020 A	40,000,000	2019/04/24	2020/04/02	10.00
17731	Macquarie Bank Ltd. – SUNAC European Warrants Dec 2019 A	22,500,000	2019/04/24	2019/12/03	10.01
17729	Macquarie Bank Ltd. – SUNAC European Put Warrants Nov 2019 B	16,500,000	2019/04/24	2019/11/04	10.05
17751	SG Issuer – HSI European Put Warrants Oct 2019 F	300,000,000	2019/04/24	2019/10/30	48.00
17727	UBS AG – Sands China Ltd European Warrants Aug 2019 B	70,000,000	2019/04/24	2019/08/23	13.44
17762	BOCI Asia Ltd. – CC Bank European Warrants Sep 2019 B	70,000,000	2019/04/25	2019/09/30	10.50
17768	BOCI Asia Ltd. – China Mobile European Warrants Oct 2019 B	60,000,000	2019/04/25	2019/10/28	15.30
17767	BOCI Asia Ltd. – HKEX European Warrants Sep 2019 C	100,000,000	2019/04/25	2019/09/27	15.00
17784	BOCI Asia Ltd. – ICBC European Warrants Oct 2019 A	70,000,000	2019/04/25	2019/10/25	17.50
17765	BOCI Asia Ltd. – Tencent European Wts Oct 2019 A	200,000,000	2019/04/25	2019/10/25	50.00
17766	BOCI Asia Ltd. – Tencent European Put Wts Sep 2019 J	200,000,000	2019/04/25	2019/09/30	30.00
17798	Credit Suisse AG – Bank of China European Wts Dec 2019 A	70,000,000	2019/04/25	2019/12/24	10.50
17796	Credit Suisse AG – CC Bank European Warrants Sep 2019 C	70,000,000	2019/04/25	2019/09/25	10.50
17818	Goldman Sachs SP (Asia) – Agricultural Bank Eur Wt Dec 2019A	80,000,000	2019/04/25	2019/12/20	12.00
17819	Goldman Sachs SP (Asia) – Bank of China Euro Wts Dec 2019 A	80,000,000	2019/04/25	2019/12/24	12.00
17816	Goldman Sachs SP (Asia) – CC Bank European Wts Oct 2019 A	80,000,000	2019/04/25	2019/10/04	12.00
17828	Goldman Sachs SP (Asia) – China Tower European Wts Oct 2019C	80,000,000	2019/04/25	2019/10/29	12.00
17820	Goldman Sachs SP (Asia) – A50 China European Wts Aug 2019 B	80,000,000	2019/04/25	2019/08/28	40.08
17821	Goldman Sachs SP (Asia) – Geely Auto European Wts Dec 2019 A	80,000,000	2019/04/25	2019/12/20	12.00
17829	Goldman Sachs SP (Asia) – SUNAC European Warrants Oct 2019 A	40,000,000	2019/04/25	2019/10/08	11.28
17790	J P Morgan SP BV – BYD Electronic European Wts Oct 2019 A	60,000,000	2019/04/25	2019/10/31	10.92
17787	J P Morgan SP BV – CM Bank European Put Warrants Nov 2019 A	80,000,000	2019/04/25	2019/11/01	20.72
17788	J P Morgan SP BV – Mapleleaf Edu European Warrants Dec 2019A	50,000,000	2019/04/25	2019/12/31	12.55
17794	J P Morgan SP BV – China Tower European Warrants Aug 2019 C	200,000,000	2019/04/25	2019/08/21	32.80
17786	J P Morgan SP BV – China Tower European Warrants Nov 2019 C	200,000,000	2019/04/25	2019/11/04	36.00
17799	J P Morgan SP BV – HSI European Put Warrants Nov 2019 B	400,000,000	2019/04/25	2019/11/28	100.40
17792	J P Morgan SP BV – Ping An European Warrants Mar 2021 A	1,000,000,000	2019/04/25	2021/03/24	151.00
17785	J P Morgan SP BV – ZTE European Warrants Oct 2019 A	50,000,000	2019/04/25	2019/10/31	12.60
17778	Macquarie Bank Ltd. – BYD Company European Put Wts Jan 2020A	14,000,000	2019/04/25	2020/01/03	10.01
17772	Macquarie Bank Ltd. – CGS European Warrants Apr 2021 A	40,000,000	2019/04/25	2021/04/07	10.00

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17771	Macquarie Bank Ltd. – Nexteer European Warrants Apr 2020 A	22,000,000	2019/04/25	2020/04/09	10.01
17800	SG Issuer – CSPC Pharma European Warrants Nov 2019 A	80,000,000	2019/04/25	2019/11/22	14.80
17815	SG Issuer – China Tower European Warrants Nov 2019 B	80,000,000	2019/04/25	2019/11/21	14.40
17810	SG Issuer – China Tower European Put Warrants Oct 2019 B	60,000,000	2019/04/25	2019/10/08	10.80
17809	SG Issuer – Tencent European Put Warrants Oct 2019 C	200,000,000	2019/04/25	2019/10/09	41.00
17780	UBS AG – Ping An European Warrants Sep 2019 B	100,000,000	2019/04/25	2019/09/23	35.20
17779	UBS AG – Tencent European Put Warrants Oct 2019 A	300,000,000	2019/04/25	2019/10/09	64.50
17839	BOCI Asia Ltd. – Bank of China European Warrants Nov 2019 A	80,000,000	2019/04/26	2019/11/22	12.00
17832	BOCI Asia Ltd. – Genscript Bio European Warrants Oct 2019 A	70,000,000	2019/04/26	2019/10/09	18.90
17831	BOCI Asia Ltd. – HSBC European Warrants Oct 2019 A	60,000,000	2019/04/26	2019/10/30	13.20
17840	BOCI Asia Ltd. – HSI European Warrants Oct 2019 A	200,000,000	2019/04/26	2019/10/30	30.80
17836	BOCI Asia Ltd. – Ping An European Warrants Oct 2019 B	80,000,000	2019/04/26	2019/10/09	20.80
17900	Credit Suisse AG – BAIC Motor European Warrants Apr 2020 A	40,000,000	2019/04/26	2020/04/29	10.00
17899	Credit Suisse AG – Brilliance Chi European Wts Oct 2019 A	40,000,000	2019/04/26	2019/10/25	10.00
17903	Credit Suisse AG – BYD Company European Warrants Jul 2020 A	60,000,000	2019/04/26	2020/07/31	15.00
17897	Credit Suisse AG – China Mobile European Warrants Oct 2019 A	60,000,000	2019/04/26	2019/10/04	10.02
17892	Credit Suisse AG – Ping An European Warrants Feb 2021 B	150,000,000	2019/04/26	2021/02/26	37.50
17896	Credit Suisse AG – Ping An European Warrants Mar 2021 A	300,000,000	2019/04/26	2021/03/31	45.00
17919	Goldman Sachs SP (Asia) – BYD Company Euro Wts Oct 2019 A	40,000,000	2019/04/26	2019/10/30	10.00
17918	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Aug 2019 D	80,000,000	2019/04/26	2019/08/27	17.68
17921	Goldman Sachs SP (Asia) – CM Bank European Wts Oct 2019 A	70,000,000	2019/04/26	2019/10/09	10.50
17916	Goldman Sachs SP (Asia) – China Tower European Wts Aug 2019B	80,000,000	2019/04/26	2019/08/21	12.24
17922	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2019 B	150,000,000	2019/04/26	2019/10/30	22.50
17871	HK Bank – Country Garden European Warrants Jun 2020 A	50,000,000	2019/04/26	2020/06/30	12.50
17877	HK Bank – CM Bank European Warrants Oct 2019 A	50,000,000	2019/04/26	2019/10/31	12.50
17880	HK Bank – China Shenhua European Warrants Nov 2019 A	80,000,000	2019/04/26	2019/11/01	12.00
17879	HK Bank – SUNAC European Warrants Oct 2019 A	30,000,000	2019/04/26	2019/10/31	10.20
17882	J P Morgan SP BV – Bank of China European Wts Nov 2019 A	100,000,000	2019/04/26	2019/11/22	15.10
17887	J P Morgan SP BV – ICBC European Warrants Aug 2019 A	100,000,000	2019/04/26	2019/08/30	15.20
17847	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Dec 2019 B	37,000,000	2019/04/26	2019/12/03	10.03
17842	Macquarie Bank Ltd. – BYD Company European Wts Dec 2019 A	28,000,000	2019/04/26	2019/12/03	10.02
17841	Macquarie Bank Ltd. – Cathay Pacific European Wts Jul 2020 A	34,500,000	2019/04/26	2020/07/03	10.07
17854	Macquarie Bank Ltd. – CPIC European Put Warrants Dec 2019 A	32,500,000	2019/04/26	2019/12/03	10.01
17861	Macquarie Bank Ltd. – Geely Auto European Wts Nov 2019 C	32,000,000	2019/04/26	2019/11/04	10.14
17860	Macquarie Bank Ltd. – Geely Auto European Wts Dec 2019 A	39,000,000	2019/04/26	2019/12/03	10.02
17863	Macquarie Bank Ltd. – Geely Auto European Put Wts Dec 2019 B	31,500,000	2019/04/26	2019/12/03	10.02
17849	Macquarie Bank Ltd. – NCI European Warrants Dec 2019 A	40,000,000	2019/04/26	2019/12/03	10.00
17857	Macquarie Bank Ltd. – Sh Pharma European Warrants May 2020 A	37,000,000	2019/04/26	2020/05/18	10.03
17914	SG Issuer – HSBC European Warrants Oct 2019 A	70,000,000	2019/04/26	2019/10/30	10.50
17910	SG Issuer – HSBC European Put Warrants Aug 2019 D	50,000,000	2019/04/26	2019/08/23	10.00
17904	SG Issuer – HSI European Warrants Nov 2019 A	300,000,000	2019/04/26	2019/11/28	45.00
17907	SG Issuer – Tencent European Put Warrants Oct 2019 D	200,000,000	2019/04/26	2019/10/28	34.00
17866	UBS AG – BYD Company European Warrants Jul 2019 C	50,000,000	2019/04/26	2019/07/31	10.30
17865	UBS AG – CC Bank European Warrants Aug 2019 D	70,000,000	2019/04/26	2019/08/22	10.50

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17868	UBS AG – Geely Auto European Warrants Oct 2019 A	30,000,000	2019/04/26	2019/10/25	10.02
17890	Bank Vontobel – BYD Company European Warrants Oct 2019 B	30,000,000	2019/04/26	2019/10/31	12.03
17934	BOCI Asia Ltd. – BYD Company European Warrants Oct 2019 A	40,000,000	2019/04/29	2019/10/31	11.60
17949	BOCI Asia Ltd. – China Tower European Warrants Dec 2019 A	80,000,000	2019/04/29	2019/12/23	20.00
17937	BOCI Asia Ltd. – Geely Auto European Warrants Oct 2019 A	50,000,000	2019/04/29	2019/10/09	12.50
18017	Credit Suisse AG – CPIC European Warrants Dec 2019 A	40,000,000	2019/04/29	2019/12/31	10.00
18008	Credit Suisse AG – China Tower European Warrants Oct 2019 C	80,000,000	2019/04/29	2019/10/28	20.00
18011	Credit Suisse AG – HSBC European Wts Oct 2019 C	100,000,000	2019/04/29	2019/10/28	15.00
18009	Credit Suisse AG – NCI European Warrants Oct 2019 B	40,000,000	2019/04/29	2019/10/28	10.00
18012	Credit Suisse AG – Ping An European Put Warrants Oct 2019 D	100,000,000	2019/04/29	2019/10/08	25.00
17941	Bank of East Asia – BYD European Warrants Dec 2019 A	60,000,000	2019/04/29	2019/12/30	15.24
17942	Bank of East Asia – Country Garden European Wts Dec 2019 A	40,000,000	2019/04/29	2019/12/16	10.56
17944	Bank of East Asia – CPIC European Warrants Mar 2020 A	40,000,000	2019/04/29	2020/03/23	10.40
18006	Goldman Sachs SP (Asia) – AAC Tech Euro Wts Aug 2019 A	50,000,000	2019/04/29	2019/08/12	10.00
18007	Goldman Sachs SP (Asia) – Country Garden Euro Wts Oct 2019 C	60,000,000	2019/04/29	2019/10/02	10.14
18003	Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2019 C	80,000,000	2019/04/29	2019/10/24	15.20
17989	Goldman Sachs SP (Asia) – Geely Auto Euro Put Wts Oct 2019 D	80,000,000	2019/04/29	2019/10/08	14.40
17990	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2019 A	80,000,000	2019/04/29	2019/11/06	12.00
17994	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2019 C	150,000,000	2019/04/29	2019/10/24	22.50
17959	HK Bank – BYD Company European Warrants Dec 2019 A	80,000,000	2019/04/29	2019/12/31	20.00
17960	HK Bank – CKH Holdings European Warrants Jun 2020 A	80,000,000	2019/04/29	2020/06/30	20.00
17958	HK Bank – CRRC European Warrants Jul 2020 A	80,000,000	2019/04/29	2020/07/31	20.00
17955	HK Bank – China Telecom European Warrants Oct 2019 A	40,000,000	2019/04/29	2019/10/31	10.00
17954	HK Bank – PICC P&C European Warrants Dec 2019 A	80,000,000	2019/04/29	2019/12/20	12.00
17987	J P Morgan SP BV – Air China European Warrants Oct 2019 A	50,000,000	2019/04/29	2019/10/24	10.15
17968	J P Morgan SP BV – BYD Company European Warrants Oct 2019 B	50,000,000	2019/04/29	2019/10/31	16.30
17961	J P Morgan SP BV – CC Bank European Wts Oct 2019 B	100,000,000	2019/04/29	2019/10/04	15.40
17962	J P Morgan SP BV – CITIC Bank European Warrants Oct 2019 A	70,000,000	2019/04/29	2019/10/31	10.92
17982	J P Morgan SP BV – CKH Holdings European Warrants Oct 2019 B	70,000,000	2019/04/29	2019/10/03	10.85
17963	J P Morgan SP BV – Minsheng Bank European Wts Oct 2019 A	40,000,000	2019/04/29	2019/10/31	10.08
17978	J P Morgan SP BV – China Railway European Wts Aug 2019 A	60,000,000	2019/04/29	2019/08/23	10.98
17975	J P Morgan SP BV – China Telecom European Warrants Oct 2019 A	80,000,000	2019/04/29	2019/10/28	20.08
17973	J P Morgan SP BV – China Tower European Put Wts Oct 2019 B	200,000,000	2019/04/29	2019/10/28	50.40
17974	J P Morgan SP BV – China Tower European Put Wts Oct 2019 C	200,000,000	2019/04/29	2019/10/08	30.00
17967	J P Morgan SP BV – Kunlun Energy European Warrants Mar 2020 A	70,000,000	2019/04/29	2020/03/20	10.57
17988	J P Morgan SP BV – PICC Group European Warrants Sep 2019 B	70,000,000	2019/04/29	2019/09/23	10.71
17981	J P Morgan SP BV – Xiaomi European Warrants Sep 2019 D	70,000,000	2019/04/29	2019/09/16	10.64
17969	J P Morgan SP BV – Xinyi Solar European Warrants Oct 2019 A	40,000,000	2019/04/29	2019/10/31	10.16
17923	Macquarie Bank Ltd. – AIA European Warrants Dec 2019 A	14,000,000	2019/04/29	2019/12/03	10.09
17933	Macquarie Bank Ltd. – AIA European Warrants Feb 2020 A	22,000,000	2019/04/29	2020/02/04	10.38
17971	SG Issuer – BankComm European Warrants Oct 2019 A	40,000,000	2019/04/29	2019/10/31	10.00
17972	SG Issuer – Stanchart European Warrants Oct 2019 A	30,000,000	2019/04/29	2019/10/31	12.45
17952	UBS AG – Geely Auto European Put Warrants Oct 2019 B	60,000,000	2019/04/29	2019/10/24	10.62
17951	UBS AG – HSBC European Put Warrants Aug 2019 B	100,000,000	2019/04/29	2019/08/23	21.00

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15745#	Credit Suisse AG – Huaneng Power European Warrants Oct 2019A	25,000,000	2019/04/29	2019/10/02	10.00
17372#	Credit Suisse AG – Xiaomi European Warrants Jul 2019 B	210,000,000	2019/04/29	2019/07/02	10.08
19064#	J P Morgan SP BV – Xiaomi European Warrants Sep 2019 B	150,000,000	2019/04/29	2019/09/30	19.20
18031	Credit Suisse AG – Sinopec Corp European Warrants Oct 2019 A	50,000,000	2019/04/30	2019/10/29	12.50
18040	Credit Suisse AG – CRCC European Warrants Dec 2019 A	60,000,000	2019/04/30	2019/12/20	10.02
18032	Credit Suisse AG – China Tower European Put Wts Oct 2019 D	70,000,000	2019/04/30	2019/10/29	17.50
18042	Credit Suisse AG – Huaneng Power European Wts Oct 2019 B	30,000,000	2019/04/30	2019/10/29	10.50
18033	Credit Suisse AG – Tencent European Wts Oct 2019 B	250,000,000	2019/04/30	2019/10/24	37.50
18034	Credit Suisse AG – Xinyi Solar European Warrants Oct 2019 A	20,000,000	2019/04/30	2019/10/29	10.00
18058	Goldman Sachs SP (Asia) – BYD Company Euro Wts Aug 2019 A	60,000,000	2019/04/30	2019/08/28	23.22
18051	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2019 A	80,000,000	2019/04/30	2019/09/25	12.00
18059	Goldman Sachs SP (Asia) – China Tower Euro Wts Oct 2019 D	70,000,000	2019/04/30	2019/10/31	17.50
18063	Goldman Sachs SP (Asia) – Fosun Intl European Wts Sep 2019 A	70,000,000	2019/04/30	2019/09/02	10.50
18061	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2019 C	100,000,000	2019/04/30	2019/10/09	15.00
18060	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2019 D	150,000,000	2019/04/30	2019/10/24	23.10
18025	HK Bank – Ch Comm Cons European Warrants Jan 2020 A	40,000,000	2019/04/30	2020/01/03	10.00
18020	HK Bank – Evergrande European Warrants Oct 2019 A	40,000,000	2019/04/30	2019/10/31	10.00
18024	HK Bank – Wynn Macau European Warrants Oct 2019 A	40,000,000	2019/04/30	2019/10/31	10.00
18046	J P Morgan SP BV – ND Paper European Warrants Oct 2019 A	50,000,000	2019/04/30	2019/10/29	12.65
18050	J P Morgan SP BV – SUNAC European Warrants Oct 2019 D	70,000,000	2019/04/30	2019/10/29	18.13
18043	J P Morgan SP BV – WH Group European Warrants Oct 2019 A	40,000,000	2019/04/30	2019/10/29	10.04
18044	J P Morgan SP BV – WH Group European Put Warrants Oct 2019 B	40,000,000	2019/04/30	2019/10/29	10.00
18026	Macquarie Bank Ltd. – Brilliance Chi Euro Wts Jul 2020 A	25,500,000	2019/04/30	2020/07/10	10.02
18027	Macquarie Bank Ltd. – Greatwall Motor Euro Wts Apr 2020 A	10,500,000	2019/04/30	2020/04/02	10.06
18028	UBS AG – Xiaomi European Warrants Sep 2019 B	60,000,000	2019/04/30	2019/09/03	10.44
18068	Bank Vontobel – CKH Holdings European Warrants Nov 2019 A	20,000,000	2019/04/30	2019/11/07	10.00
18065	Bank Vontobel – Sinopec Corp European Warrants Oct 2019 A	25,000,000	2019/04/30	2019/10/30	10.00
18071	Bank Vontobel – Hang Seng Bank European Warrants Nov 2019 B	20,000,000	2019/04/30	2019/11/04	10.00
18087	BOCI Asia Ltd. – China Mobile European Warrants Oct 2019 C	60,000,000	2019/05/02	2019/10/24	10.86
18093	BOCI Asia Ltd. – China Mobile European Put Wts Aug 2019 A	60,000,000	2019/05/02	2019/08/02	10.02
18095	BOCI Asia Ltd. – Ping An European Put Warrants Sep 2019 A	80,000,000	2019/05/02	2019/09/23	17.12
18101	Credit Suisse AG – China Mobile European Warrants Nov 2019 A	60,000,000	2019/05/02	2019/11/01	18.00
18097	Credit Suisse AG – Chi Res Power European Wts Nov 2019 A	40,000,000	2019/05/02	2019/11/01	10.00
18099	Credit Suisse AG – Kunlun Energy European Wts Mar 2020 A	70,000,000	2019/05/02	2020/03/20	10.50
18103	Credit Suisse AG – SHK Ppt European Warrants Dec 2019 A	80,000,000	2019/05/02	2019/12/31	20.00
18096	Credit Suisse AG – Zhaojin Mining European Wts Nov 2019 A	50,000,000	2019/05/02	2019/11/29	12.50
18116	Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Oct 2019 A	50,000,000	2019/05/02	2019/10/24	10.00
18121	Goldman Sachs SP (Asia) – CNOOC European Warrants Dec 2019 C	60,000,000	2019/05/02	2019/12/24	10.02
18123	Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2019 F	80,000,000	2019/05/02	2019/08/23	12.72
18106	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2019 A	150,000,000	2019/05/02	2019/11/28	22.50
18125	Goldman Sachs SP (Asia) – Huaneng European Wts Sep 2019 A	40,000,000	2019/05/02	2019/09/24	11.48
18083	HK Bank – HSI European Warrants Nov 2019 A	150,000,000	2019/05/02	2019/11/28	22.50
18086	J P Morgan SP BV – Xiaomi European Warrants Sep 2019 E	200,000,000	2019/05/02	2019/09/09	30.00
18075	Macquarie Bank Ltd. – China Life European Wts Dec 2019 A	40,000,000	2019/05/02	2019/12/03	10.04

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18076	Macquarie Bank Ltd. – China Life European Wts Jul 2020 A	40,000,000	2019/05/02	2020/07/03	10.04
18077	Macquarie Bank Ltd. – HSBC European Warrants Nov 2019 B	20,000,000	2019/05/02	2019/11/04	10.06
18074	Macquarie Bank Ltd. – Huaneng Power European Wts Nov 2019 A	21,500,000	2019/05/02	2019/11/04	10.02
18085	Macquarie Bank Ltd. – Xiaomi European Warrants Dec 2019 B	40,000,000	2019/05/02	2019/12/03	10.00
18079	UBS AG – Kingdee Intl European Warrants Sep 2019 A	50,000,000	2019/05/02	2019/09/23	10.35
18104	Bank Vontobel – HKEX European Warrants Nov 2019 C	40,000,000	2019/05/02	2019/11/04	10.04
10047	BNP Paribas Issuance B.V. – GBP/USD European Wts Dec 2019 A	50,000,000	2019/05/03	2019/12/20	14.00
10048	BNP Paribas Issuance B.V. – GBP/USD Euro Put Wt Dec 2019 B	50,000,000	2019/05/03	2019/12/20	13.00
10049	BNP Paribas Issuance B.V. – Gold European Wts Dec 2019 A	50,000,000	2019/05/03	2019/12/20	15.50
10051	BNP Paribas Issuance B.V. – Gold Euro Put Wts Dec 2019 B	50,000,000	2019/05/03	2019/12/20	15.50
18148	Credit Suisse AG – China Tower European Warrants Dec 2019 B	80,000,000	2019/05/03	2019/12/31	20.00
18150	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2019 B	80,000,000	2019/05/03	2019/09/23	12.00
18154	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Oct 2019 A	40,000,000	2019/05/03	2019/10/08	11.32
18152	Goldman Sachs SP (Asia) – Kingsoft European Wts Sep 2019 A	60,000,000	2019/05/03	2019/09/11	10.02
18149	Goldman Sachs SP (Asia) – Ping An European Wts Mar 2021 A	200,000,000	2019/05/03	2021/03/24	30.00
18143	J P Morgan SP BV – China Mobile European Wts Nov 2019 A	100,000,000	2019/05/03	2019/11/29	27.00
18139	J P Morgan SP BV – Huaneng Power European Wts Sep 2019 B	50,000,000	2019/05/03	2019/09/25	17.35
18141	J P Morgan SP BV – Huaneng Power European Wts Nov 2019 A	60,000,000	2019/05/03	2019/11/04	15.36
18147	J P Morgan SP BV – PetroChina European Warrants Sep 2019 B	100,000,000	2019/05/03	2019/09/30	15.10
18131	Macquarie Bank Ltd. – Haitong Sec European Wts Nov 2019 A	40,000,000	2019/05/03	2019/11/04	10.12
18135	Macquarie Bank Ltd. – Ping An European Warrants Aug 2019 E	35,500,000	2019/05/03	2019/08/02	10.30
18129	Macquarie Bank Ltd. – Shenzhen Int'l European Wts Nov 2019 A	40,000,000	2019/05/03	2019/11/04	10.12
18161	SG Issuer – China Mobile European Warrants Oct 2019 B	60,000,000	2019/05/03	2019/10/24	12.00
18157	SG Issuer – Huaneng Power European Warrants Sep 2019 B	25,000,000	2019/05/03	2019/09/30	11.50
18159	SG Issuer – Ping An European Warrants Dec 2020 B	300,000,000	2019/05/03	2020/12/23	45.00
18137	UBS AG – Galaxy Ent European Warrants Oct 2019 B	100,000,000	2019/05/03	2019/10/04	26.90
18176	Credit Suisse AG – Angang Steel European Warrants Feb 2020 A	60,000,000	2019/05/06	2020/02/28	15.00
18171	Credit Suisse AG – Huaneng Power European Warrants Nov 2019 A	30,000,000	2019/05/06	2019/11/05	18.00
18173	Credit Suisse AG – PetroChina European Warrants Sep 2019 A	60,000,000	2019/05/06	2019/09/25	10.02
18166	Goldman Sachs SP (Asia) – CKA European Warrants Sep 2019 A	70,000,000	2019/05/06	2019/09/25	10.50
18170	Goldman Sachs SP (Asia) – HTSC European Warrants Oct 2019 A	70,000,000	2019/05/06	2019/10/23	10.50
18165	Goldman Sachs SP (Asia) – Link REIT European Wts Aug 2019 A	60,000,000	2019/05/06	2019/08/23	10.02
18167	Goldman Sachs SP (Asia) – PICC Group European Wts Sep 2019 A	70,000,000	2019/05/06	2019/09/23	10.50
18168	Goldman Sachs SP (Asia) – Ping An European Wts Dec 2020 B	200,000,000	2019/05/06	2020/12/23	30.00
18164	SG Issuer – Ping An European Warrants Feb 2021 B	200,000,000	2019/05/06	2021/02/19	30.00
18163	UBS AG – CAM CSI300 European Warrants Sep 2019 B	80,000,000	2019/05/06	2019/09/23	12.00
18162	UBS AG – WH Group European Put Warrants Nov 2019 B	40,000,000	2019/05/06	2019/11/11	10.00
18237	Credit Suisse AG – BBMG European Warrants Nov 2019 A	35,000,000	2019/05/07	2019/11/06	10.15
18224	Credit Suisse AG – BJ Ent Water European Warrants Oct 2020 A	60,000,000	2019/05/07	2020/10/30	15.00
18233	Credit Suisse AG – BYD Company European Warrants Dec 2019 A	70,000,000	2019/05/07	2019/12/20	10.50
18221	Credit Suisse AG – China Res Beer European Warrants Nov 19 A	40,000,000	2019/05/07	2019/11/06	10.00
18227	Credit Suisse AG – Nexteer European Warrants Nov 2019 A	40,000,000	2019/05/07	2019/11/06	10.00
18223	Credit Suisse AG – Sh Petrochem European Warrants Nov 2019 A	25,000,000	2019/05/07	2019/11/06	10.00
18214	Goldman Sachs SP (Asia) – Agricultural Bank Eur Wt Dec 2019B	70,000,000	2019/05/07	2019/12/20	10.50

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18212	Goldman Sachs SP (Asia) – CRCC European Warrants Aug 2020 A	70,000,000	2019/05/07	2020/08/24	10.50
18219	Goldman Sachs SP (Asia) – Mengniu Dairy Euro Wt Sep 2019 A	70,000,000	2019/05/07	2019/09/27	10.50
18215	Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Sep 2019A	80,000,000	2019/05/07	2019/09/30	12.24
18210	Goldman Sachs SP (Asia) – Wynn Macau European Wts Oct 2019 A	80,000,000	2019/05/07	2019/10/24	15.36
18196	Haitong Int'l Sec – China Mobile European Wts Oct 2019 B	80,000,000	2019/05/07	2019/10/15	16.80
18188	J P Morgan SP BV – China Railway European Wts Nov 2019 A	60,000,000	2019/05/07	2019/11/06	16.20
18195	J P Morgan SP BV – China Tower European Warrants Dec 2019 C	200,000,000	2019/05/07	2019/12/16	30.80
18194	J P Morgan SP BV – A50 China European Warrants Aug 2019 C	80,000,000	2019/05/07	2019/08/30	25.68
18181	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2019 A	30,000,000	2019/05/07	2019/12/03	10.02
18183	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2019 B	40,000,000	2019/05/07	2019/12/03	10.04
18204	SG Issuer – China Railway European Warrants Nov 2019 B	25,000,000	2019/05/07	2019/11/29	10.00
18205	SG Issuer – Galaxy Ent European Warrants Oct 2019 B	40,000,000	2019/05/07	2019/10/04	13.80
18209	SG Issuer – Galaxy Ent European Put Warrants Oct 2019 C	40,000,000	2019/05/07	2019/10/03	15.80
18208	SG Issuer – Sands China Ltd European Warrants Nov 2019 A	40,000,000	2019/05/07	2019/11/29	10.00
18178	UBS AG – SUNAC European Warrants Oct 2019 A	40,000,000	2019/05/07	2019/10/28	10.00
18197	Bank Vontobel – China Mobile European Warrants Oct 2019 B	40,000,000	2019/05/07	2019/10/25	11.24
18198	Bank Vontobel – China Mobile European Put Warrants Nov 2019B	30,000,000	2019/05/07	2019/11/08	12.63
18200	Bank Vontobel – GAC European Warrants Dec 2019 A	40,000,000	2019/05/07	2019/12/05	10.04
18199	Bank Vontobel – Sands China Ltd European Warrants Jan 2020 A	40,000,000	2019/05/07	2020/01/03	10.04
18241	BNP Paribas Issuance B.V. – CC Bank Euro Wts Jan 2020 A	50,000,000	2019/05/08	2020/01/02	13.50
18253	BNP Paribas Issuance B.V. – China Tower European Wts Nov19 A	80,000,000	2019/05/08	2019/11/04	12.00
18252	BNP Paribas Issuance B.V. – China Tower European Wts Dec19 B	50,000,000	2019/05/08	2019/12/03	13.00
18242	BNP Paribas Issuance B.V. – Ping An European Wt Nov 2019 F	50,000,000	2019/05/08	2019/11/04	13.00
18243	BNP Paribas Issuance B.V. – Ping An Eur Put Wts Oct 2019 G	50,000,000	2019/05/08	2019/10/03	12.50
18245	BNP Paribas Issuance B.V. – Xiaomi European Warrants Sep19 B	70,000,000	2019/05/08	2019/09/30	11.90
18265	Credit Suisse AG – China Unicom European Warrants Dec 2019 A	70,000,000	2019/05/08	2019/12/20	10.50
18272	Credit Suisse AG – Shenzhou Intl European Warrants Feb 2020A	60,000,000	2019/05/08	2020/02/28	15.00
18269	Credit Suisse AG – Tencent Euro Put Wts Oct 2019 C	200,000,000	2019/05/08	2019/10/23	31.20
18276	Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Oct 2019 A	60,000,000	2019/05/08	2019/10/22	10.02
18280	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Oct 2019 B	80,000,000	2019/05/08	2019/10/10	15.12
18279	Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2019 A	80,000,000	2019/05/08	2019/09/25	12.00
18286	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2020 A	200,000,000	2019/05/08	2020/07/27	34.60
18281	Goldman Sachs SP (Asia) – Stanchart European Wts Sep 2019 A	50,000,000	2019/05/08	2019/09/25	16.65
18275	Haitong Int'l Sec – HSI European Warrants Dec 2019 A	150,000,000	2019/05/08	2019/12/30	37.50
18274	Haitong Int'l Sec – HSI European Put Warrants Sep 2019 G	150,000,000	2019/05/08	2019/09/27	24.00
18263	J P Morgan SP BV – BYD Company European Warrants Aug 2019 B	50,000,000	2019/05/08	2019/08/30	14.20
18261	J P Morgan SP BV – China Taiping European Wts Nov 2019 A	50,000,000	2019/05/08	2019/11/07	12.60
18258	J P Morgan SP BV – China Tower European Warrants Oct 2019 D	200,000,000	2019/05/08	2019/10/24	30.00
18256	J P Morgan SP BV – Sands China Ltd European Warrants Nov19 A	80,000,000	2019/05/08	2019/11/29	20.00
18260	J P Morgan SP BV – Stanchart European Warrants Nov 2019 A	40,000,000	2019/05/08	2019/11/29	10.40
18262	J P Morgan SP BV – Wynn Macau European Put Warrants Nov 19 B	70,000,000	2019/05/08	2019/11/07	17.50
18255	UBS AG – ICBC European Warrants Sep 2019 A	70,000,000	2019/05/08	2019/09/25	10.50
18273	Bank Vontobel – HSBC European Warrants Oct 2019 A	50,000,000	2019/05/08	2019/10/28	11.55
18320	BOCI Asia Ltd. – AIA European Warrants Oct 2019 A	70,000,000	2019/05/09	2019/10/04	10.50

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18318	BOCI Asia Ltd. - Sinopec Corp European Warrants Oct 2019 A	70,000,000	2019/05/09	2019/10/22	11.20
18321	BOCI Asia Ltd. - CRRC European Warrants Jul 2020 A	70,000,000	2019/05/09	2020/07/24	10.50
18326	BOCI Asia Ltd. - Meituan European Warrants Apr 2020 A	100,000,000	2019/05/09	2020/04/27	25.00
18324	BOCI Asia Ltd. - NCI European Warrants Sep 2019 A	70,000,000	2019/05/09	2019/09/25	11.62
18317	BOCI Asia Ltd. - PetroChina European Warrants Nov 2019 A	70,000,000	2019/05/09	2019/11/25	17.50
18323	BOCI Asia Ltd. - PICC Group European Warrants Sep 2019 A	70,000,000	2019/05/09	2019/09/23	10.57
18319	BOCI Asia Ltd. - Ping An European Warrants Sep 2019 B	80,000,000	2019/05/09	2019/09/23	26.40
18336	Credit Suisse AG - China Taiping European Warrants Nov 2019A	40,000,000	2019/05/09	2019/11/08	10.00
18334	Credit Suisse AG - HSBC European Wts Mar 2020 A	100,000,000	2019/05/09	2020/03/26	15.00
18339	Goldman Sachs SP (Asia) - AIA European Warrants Oct 2019 A	80,000,000	2019/05/09	2019/10/04	12.00
18338	Goldman Sachs SP (Asia) - CRRC European Warrants Jul 2020 A	80,000,000	2019/05/09	2020/07/24	12.00
18337	Goldman Sachs SP (Asia) - China Unicom Euro Wts Dec 2019 A	80,000,000	2019/05/09	2019/12/20	12.00
18343	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2019 C	150,000,000	2019/05/09	2019/10/30	22.50
18341	Goldman Sachs SP (Asia) - Xiaomi European Warrants Mar 2020A	100,000,000	2019/05/09	2020/03/02	15.00
18288	HK Bank - AIA European Put Warrants May 2020 A	100,000,000	2019/05/09	2020/05/04	25.00
18294	HK Bank - HKEX European Put Warrants Nov 2019 A	100,000,000	2019/05/09	2019/11/26	15.00
18291	HK Bank - PetroChina European Warrants Nov 2019 A	50,000,000	2019/05/09	2019/11/29	12.50
18293	HK Bank - Ping An European Put Warrants Mar 2020 A	100,000,000	2019/05/09	2020/03/23	16.00
18316	J P Morgan SP BV - AAC Tech European Put Warrants Nov 2019 A	60,000,000	2019/05/09	2019/11/22	11.88
18306	J P Morgan SP BV - AIA European Put Warrants Oct 2019 A	100,000,000	2019/05/09	2019/10/09	24.40
18313	J P Morgan SP BV - Brilliance Chi European Wts Nov 2019 B	100,000,000	2019/05/09	2019/11/29	25.10
18301	J P Morgan SP BV - BYD Electronic European Wts Dec 2019 A	60,000,000	2019/05/09	2019/12/31	15.12
18312	J P Morgan SP BV - Ch Molybdenum European Wts Nov 2019 A	50,000,000	2019/05/09	2019/11/11	12.65
18308	J P Morgan SP BV - Dongfeng Motor European Wts Nov 2019 A	25,000,000	2019/05/09	2019/11/11	10.35
18305	J P Morgan SP BV - HSBC European Warrants Oct 2019 A	100,000,000	2019/05/09	2019/10/24	17.60
18307	J P Morgan SP BV - HSI European Put Warrants Oct 2019 G	400,000,000	2019/05/09	2019/10/30	60.40
18300	J P Morgan SP BV - Link REIT European Put Warrants Aug 2020A	80,000,000	2019/05/09	2020/08/24	12.08
18303	J P Morgan SP BV - CRRC Times European Warrants Nov 2019 A	40,000,000	2019/05/09	2019/11/29	10.08
18360	SG Issuer - AIA European Warrants Oct 2019 A	70,000,000	2019/05/09	2019/10/04	10.50
18358	SG Issuer - CRCC European Warrants Sep 2020 A	120,000,000	2019/05/09	2020/09/23	21.00
18365	SG Issuer - China Tower European Warrants Oct 2019 D	80,000,000	2019/05/09	2019/10/24	12.00
18364	SG Issuer - China Tower European Put Warrants Oct 2019 C	60,000,000	2019/05/09	2019/10/22	11.40
18359	SG Issuer - PetroChina European Warrants Nov 2019 A	40,000,000	2019/05/09	2019/11/29	10.00
18362	SG Issuer - Ping An European Put Warrants Mar 2020 A	300,000,000	2019/05/09	2020/03/23	45.00
18299	UBS AG - AIA European Warrants Nov 2019 A	100,000,000	2019/05/09	2019/11/08	25.00
18298	UBS AG - Ping An European Warrants Oct 2019 C	100,000,000	2019/05/09	2019/10/09	15.00
18344	Bank Vontobel - AIA European Put Warrants Nov 2019 A	20,000,000	2019/05/09	2019/11/11	10.00
18345	Bank Vontobel - Sinopec Corp European Put Warrants Dec 2019A	40,000,000	2019/05/09	2019/12/05	10.00
18356	Bank Vontobel - Geely Auto European Warrants Sep 2019 C	60,000,000	2019/05/09	2019/09/23	10.20
18351	Bank Vontobel - Geely Auto European Warrants Nov 2019 C	40,000,000	2019/05/09	2019/11/11	10.00
18349	Bank Vontobel - PetroChina European Warrants Dec 2019 A	40,000,000	2019/05/09	2019/12/03	10.00
18350	Bank Vontobel - PetroChina European Put Warrants Jan 2020 A	40,000,000	2019/05/09	2020/01/06	10.00
18357	Bank Vontobel - Ping An European Warrants Nov 2019 B	40,000,000	2019/05/09	2019/11/29	12.00
18346	Bank Vontobel - Zijin Mining European Warrants Dec 2019 B	40,000,000	2019/05/09	2019/12/03	10.00

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18367	BOCI Asia Ltd. – AAC Tech European Warrants Sep 2020 A	100,000,000	2019/05/10	2020/09/28	25.00
18366	BOCI Asia Ltd. – HSBC European Put Warrants Dec 2019 A	60,000,000	2019/05/10	2019/12/18	10.14
18418	Credit Suisse AG – Ch Molybdenum European Wts Nov 2019 A	30,000,000	2019/05/10	2019/11/11	10.20
18419	Credit Suisse AG – HSBC European Put Warrants Nov 2019 A	80,000,000	2019/05/10	2019/11/11	20.00
18429	Credit Suisse AG – Hang Seng Bank European Warrants Feb 20 A	40,000,000	2019/05/10	2020/02/04	10.00
18410	Credit Suisse AG – Ping An European Warrants Sep 2019 E	150,000,000	2019/05/10	2019/09/23	52.50
18412	Credit Suisse AG – Xiaomi European Warrants Mar 2020 A	200,000,000	2019/05/10	2020/03/02	30.00
18399	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2019 B	80,000,000	2019/05/10	2019/09/02	12.00
18401	Goldman Sachs SP (Asia) – AIA European Put Wts Oct 2019 B	80,000,000	2019/05/10	2019/10/09	18.64
18405	Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2020 A	100,000,000	2019/05/10	2020/03/26	15.00
18402	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Mar 2020 A	200,000,000	2019/05/10	2020/03/23	30.00
18408	Goldman Sachs SP (Asia) – Xiaomi European Warrants Mar 2020B	100,000,000	2019/05/10	2020/03/26	15.00
18387	J P Morgan SP BV – HSBC European Warrants Dec 2019 A	100,000,000	2019/05/10	2019/12/31	25.20
18380	J P Morgan SP BV – HSBC European Put Warrants Nov 2019 B	100,000,000	2019/05/10	2019/11/29	25.90
18382	J P Morgan SP BV – HSBC European Put Warrants Nov 2019 C	100,000,000	2019/05/10	2019/11/11	29.10
18376	J P Morgan SP BV – HSI European Warrants Aug 2019 F	400,000,000	2019/05/10	2019/08/29	60.40
18388	J P Morgan SP BV – Link REIT European Warrants Sep 2019 A	70,000,000	2019/05/10	2019/09/30	11.41
18369	Macquarie Bank Ltd. – AIA European Put Warrants Jan 2020 B	25,000,000	2019/05/10	2020/01/03	10.28
18370	Macquarie Bank Ltd. – Galaxy Ent European Wts Dec 2019 A	12,000,000	2019/05/10	2019/12/03	10.08
18372	UBS AG – WH Group European Warrants Oct 2019 A	60,000,000	2019/05/10	2019/10/23	10.02
18374	UBS AG – Xiaomi European Warrants Sep 2019 C	70,000,000	2019/05/10	2019/09/04	10.50
18389	Bank Vontobel – AIA European Warrants Dec 2019 A	40,000,000	2019/05/10	2019/12/03	10.00
18398	Bank Vontobel – Minsheng Bank European Warrants Dec 2019 A	25,000,000	2019/05/10	2019/12/05	10.10
18396	Bank Vontobel – Jiangxi Copper European Warrants Dec 2019 A	40,000,000	2019/05/10	2019/12/05	10.00
18390	Bank Vontobel – SJM Holdings European Warrants Nov 2019 A	40,000,000	2019/05/10	2019/11/25	10.00
18490	Credit Suisse AG – China Life European Warrants Oct 2019 B	120,000,000	2019/05/14	2019/10/30	18.00
18499	Credit Suisse AG – China Tower European Warrants Oct 2019 E	80,000,000	2019/05/14	2019/10/24	12.00
18430	Credit Suisse AG – HSI European Warrants Oct 2019 B	150,000,000	2019/05/14	2019/10/30	22.50
18497	Credit Suisse AG – Xiaomi European Warrants Jan 2020 A	200,000,000	2019/05/14	2020/01/13	30.00
18506	Goldman Sachs SP (Asia) – AAC Tech Euro Wts Oct 2019 B	40,000,000	2019/05/14	2019/10/24	15.20
18501	Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2019 A	80,000,000	2019/05/14	2019/10/24	12.00
18509	Goldman Sachs SP (Asia) – Ping An European Wts Sep 2019 D	100,000,000	2019/05/14	2019/09/25	28.60
18502	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 K	150,000,000	2019/05/14	2019/09/23	22.50
18446	J P Morgan SP BV – AAC Tech European Warrants Dec 2019 D	60,000,000	2019/05/14	2019/12/24	10.68
18445	J P Morgan SP BV – AIA European Warrants Oct 2019 B	100,000,000	2019/05/14	2019/10/04	16.20
18469	J P Morgan SP BV – AIA European Warrants Nov 2019 A	100,000,000	2019/05/14	2019/11/29	33.30
18488	J P Morgan SP BV – CAM CSI300 European Warrants Dec 2019 B	100,000,000	2019/05/14	2019/12/31	25.40
18485	J P Morgan SP BV – CC Bank European Wts Sep 2019 B	100,000,000	2019/05/14	2019/09/23	15.00
18478	J P Morgan SP BV – CICC European Warrants Dec 2019 A	50,000,000	2019/05/14	2019/12/02	12.65
18468	J P Morgan SP BV – China Life European Warrants Oct 2019 A	100,000,000	2019/05/14	2019/10/31	15.10
18443	J P Morgan SP BV – China Tower European Warrants Dec 2019 D	200,000,000	2019/05/14	2019/12/24	32.40
18482	J P Morgan SP BV – Fosun Pharma European Warrants Nov 2019 A	40,000,000	2019/05/14	2019/11/29	10.32
18487	J P Morgan SP BV – A50 China European Warrants Dec 2019 B	100,000,000	2019/05/14	2019/12/31	15.10
18451	J P Morgan SP BV – Geely Auto European Warrants Nov 2019 C	100,000,000	2019/05/14	2019/11/26	25.80



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18477	J P Morgan SP BV – HSCEI ETF European Warrants Aug 2019 A	80,000,000	2019/05/14	2019/08/30	12.80
18460	J P Morgan SP BV – HSI European Warrants Nov 2019 C	400,000,000	2019/05/14	2019/11/28	103.20
18461	J P Morgan SP BV – HSI European Warrants Dec 2019 B	400,000,000	2019/05/14	2019/12/30	101.20
18462	J P Morgan SP BV – HSI European Warrants Dec 2019 C	400,000,000	2019/05/14	2019/12/30	100.80
18467	J P Morgan SP BV – Meitu European Warrants Nov 2019 A	40,000,000	2019/05/14	2019/11/29	14.36
18452	J P Morgan SP BV – PICC P&C European Warrants Dec 2019 A	70,000,000	2019/05/14	2019/12/20	10.78
18470	J P Morgan SP BV – Ping An European Warrants Oct 2019 C	200,000,000	2019/05/14	2019/10/31	51.80
18484	J P Morgan SP BV – Sunny Optical European Warrants Dec 2019C	100,000,000	2019/05/14	2019/12/20	15.20
18463	J P Morgan SP BV – WH Group European Warrants Dec 2019 C	40,000,000	2019/05/14	2019/12/31	10.16
18466	J P Morgan SP BV – Xiaomi European Warrants Jan 2020 A	200,000,000	2019/05/14	2020/01/13	30.60
18431	Macquarie Bank Ltd. – Tencent European Warrants Nov 2019 H	37,000,000	2019/05/14	2019/11/26	10.03
18432	Macquarie Bank Ltd. – Tencent European Warrants Nov 2019 I	35,000,000	2019/05/14	2019/11/26	18.80
18441	Macquarie Bank Ltd. – Tencent European Put Wts Nov 2019 J	40,000,000	2019/05/14	2019/11/26	10.00
18518	SG Issuer – AIA European Warrants Aug 2019 C	60,000,000	2019/05/14	2019/08/27	14.10
18517	SG Issuer – HSI European Warrants Dec 2019 C	300,000,000	2019/05/14	2019/12/30	75.00
18524	SG Issuer – Ping An European Warrants Aug 2019 C	80,000,000	2019/05/14	2019/08/27	48.00
18511	SG Issuer – Tencent European Warrants Aug 2019 D	200,000,000	2019/05/14	2019/08/20	48.00
18512	SG Issuer – Tencent European Warrants Sep 2019 G	200,000,000	2019/05/14	2019/09/02	60.00
18516	SG Issuer – Tencent European Warrants Jan 2020 A	200,000,000	2019/05/14	2020/01/31	50.00
18455	UBS AG – China Mobile European Warrants Oct 2019 B	100,000,000	2019/05/14	2019/10/16	15.00
18454	UBS AG – HKEX European Warrants Dec 2019 D	300,000,000	2019/05/14	2019/12/20	45.00
18533	BOCI Asia Ltd. – HKEX European Warrants Sep 2019 D	200,000,000	2019/05/15	2019/09/24	30.00
18531	BOCI Asia Ltd. – Sunny Optical European Warrants Dec 2019 A	150,000,000	2019/05/15	2019/12/20	22.50
18532	BOCI Asia Ltd. – Xiaomi European Warrants Jan 2020 A	150,000,000	2019/05/15	2020/01/13	24.00
18574	Credit Suisse AG – AAC Tech European Warrants Dec 2019 A	70,000,000	2019/05/15	2019/12/20	10.50
18567	Credit Suisse AG – Meitu European Warrants Nov 2019 A	25,000,000	2019/05/15	2019/11/14	10.00
18570	Credit Suisse AG – Sunny Optical European Warrants Dec 2019C	100,000,000	2019/05/15	2019/12/20	15.00
18573	Credit Suisse AG – Xiaomi European Warrants Oct 2019 A	200,000,000	2019/05/15	2019/10/04	30.00
18590	Goldman Sachs SP (Asia) – CC Bank European Wts Oct 2019 B	80,000,000	2019/05/15	2019/10/24	14.32
18591	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2019 B	100,000,000	2019/05/15	2019/10/30	15.00
18584	Goldman Sachs SP (Asia) – Geely Auto European Wts Nov 2019 B	80,000,000	2019/05/15	2019/11/26	12.00
18586	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2019 D	100,000,000	2019/05/15	2019/10/28	24.80
18583	Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Nov 2019A	80,000,000	2019/05/15	2019/11/22	12.00
18592	Goldman Sachs SP (Asia) – SHK Ppt European Wts Aug 2019 A	60,000,000	2019/05/15	2019/08/23	10.02
18562	J P Morgan SP BV – Meituan European Put Warrants Aug 2019 C	30,000,000	2019/05/15	2019/08/23	10.14
18565	J P Morgan SP BV – Tingyi European Warrants Oct 2019 A	50,000,000	2019/05/15	2019/10/09	12.10
18544	Macquarie Bank Ltd. – Haitong Sec European Wts Jan 2020 A	40,000,000	2019/05/15	2020/01/03	10.00
18541	Macquarie Bank Ltd. – ICBC European Warrants Dec 2019 B	40,000,000	2019/05/15	2019/12/03	10.04
18535	Macquarie Bank Ltd. – Kingsoft European Warrants Apr 2020 A	38,000,000	2019/05/15	2020/04/02	10.03
18559	Macquarie Bank Ltd. – Meituan European Put Warrants Jan 20 A	14,000,000	2019/05/15	2020/01/03	10.02
18539	Macquarie Bank Ltd. – PICC Group European Put Wts Dec 2019 A	40,000,000	2019/05/15	2019/12/03	10.24
18549	Macquarie Bank Ltd. – Ping An European Warrants Dec 2019 B	11,000,000	2019/05/15	2019/12/03	10.12
18537	Macquarie Bank Ltd. – Sinopharm European Warrants Dec 2019 A	24,400,000	2019/05/15	2019/12/03	10.10
18561	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2019 A	18,000,000	2019/05/15	2019/12/03	10.24

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18557	Macquarie Bank Ltd. – Xiaomi European Warrants Dec 2019 C	39,000,000	2019/05/15	2019/12/03	10.02
18579	SG Issuer – AAC Tech European Warrants Sep 2020 A	100,000,000	2019/05/15	2020/09/25	22.50
18580	SG Issuer – China Life European Warrants Oct 2019 A	120,000,000	2019/05/15	2019/10/30	18.00
18581	SG Issuer – Xiaomi European Warrants Oct 2019 A	100,000,000	2019/05/15	2019/10/04	15.00
18534	UBS AG – China Life European Put Warrants Sep 2019 E	60,000,000	2019/05/15	2019/09/23	11.22
18618	BOCI Asia Ltd. – AIA European Warrants Nov 2019 A	70,000,000	2019/05/16	2019/11/25	24.50
18612	BOCI Asia Ltd. – Bank of China European Warrants Jan 2020 A	80,000,000	2019/05/16	2020/01/21	12.00
18613	BOCI Asia Ltd. – CC Bank European Warrants Oct 2019 A	70,000,000	2019/05/16	2019/10/24	10.50
18616	BOCI Asia Ltd. – CC Bank European Warrants Oct 2019 B	70,000,000	2019/05/16	2019/10/28	10.50
18620	BOCI Asia Ltd. – China Life European Warrants Dec 2019 A	100,000,000	2019/05/16	2019/12/24	15.00
18608	BOCI Asia Ltd. – CNOOC European Warrants Dec 2019 C	100,000,000	2019/05/16	2019/12/30	15.00
18619	BOCI Asia Ltd. – Ping An European Warrants Sep 2019 C	80,000,000	2019/05/16	2019/09/26	27.20
18679	Credit Suisse AG – Agricultural Bank European Wts Oct 2019 A	60,000,000	2019/05/16	2019/10/24	10.02
18678	Credit Suisse AG – Bank of China European Wts Jan 2020 A	60,000,000	2019/05/16	2020/01/21	10.02
18677	Credit Suisse AG – CC Bank European Warrants Sep 2019 D	60,000,000	2019/05/16	2019/09/23	10.02
18681	Credit Suisse AG – CITIC Sec European Warrants Oct 2019 A	70,000,000	2019/05/16	2019/10/28	10.50
18676	Credit Suisse AG – Geely Auto European Warrants Nov 2019 B	100,000,000	2019/05/16	2019/11/26	15.00
18688	Goldman Sachs SP (Asia) – AAC Tech Euro Wts Dec 2019 B	70,000,000	2019/05/16	2019/12/20	10.50
18691	Goldman Sachs SP (Asia) – CC Bank European Wts Nov 2019 A	80,000,000	2019/05/16	2019/11/18	20.00
18683	Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2019 E	100,000,000	2019/05/16	2019/10/29	29.20
18685	Goldman Sachs SP (Asia) – Xiaomi European Warrants Oct 2019A	100,000,000	2019/05/16	2019/10/04	15.00
18624	HK Bank – China Life European Warrants Nov 2019 B	80,000,000	2019/05/16	2019/11/01	12.00
18627	HK Bank – China Mobile European Warrants Nov 2019 A	40,000,000	2019/05/16	2019/11/29	10.00
18623	HK Bank – HSCEI European Warrants Mar 2020 B	150,000,000	2019/05/16	2020/03/30	22.50
18625	HK Bank – HSI European Warrants Oct 2019 C	150,000,000	2019/05/16	2019/10/30	22.50
18658	Haitong Int'l Sec – CC Bank European Warrants Dec 2019 A	60,000,000	2019/05/16	2019/12/27	17.40
18654	Haitong Int'l Sec – HSBC European Warrants Mar 2020 A	60,000,000	2019/05/16	2020/03/26	15.00
18646	J P Morgan SP BV – Agricultural Bank Euro Warrants Oct 2019B	100,000,000	2019/05/16	2019/10/24	15.10
18594	J P Morgan SP BV – Bank of China European Wts Oct 2019 A	100,000,000	2019/05/16	2019/10/24	15.00
18642	J P Morgan SP BV – CC Bank European Wts Oct 2019 C	100,000,000	2019/05/16	2019/10/24	15.00
18648	J P Morgan SP BV – China Mobile European Put Wts Nov 2019 B	100,000,000	2019/05/16	2019/11/29	25.00
18639	J P Morgan SP BV – HKEX European Warrants Sep 2019 C	200,000,000	2019/05/16	2019/09/23	30.40
18644	J P Morgan SP BV – ICBC European Put Wts Nov 2019 A	100,000,000	2019/05/16	2019/11/29	25.00
18647	J P Morgan SP BV – NCI European Warrants Oct 2019 C	60,000,000	2019/05/16	2019/10/31	17.28
18640	J P Morgan SP BV – Tencent European Warrants Sep 2019 H	300,000,000	2019/05/16	2019/09/23	58.20
18636	J P Morgan SP BV – Tencent European Put Warrants Sep 2019 G	300,000,000	2019/05/16	2019/09/30	45.30
18641	J P Morgan SP BV – Tencent European Put Warrants Oct 2019 D	300,000,000	2019/05/16	2019/10/31	46.50
18602	Macquarie Bank Ltd. – Beijing Air European Wts Apr 2020 A	35,500,000	2019/05/16	2020/04/09	10.01
18596	Macquarie Bank Ltd. – CITIC Bank European Wts Feb 2020 A	30,000,000	2019/05/16	2020/02/04	10.26
18601	Macquarie Bank Ltd. – China Railway European Wts Apr 2020 A	13,500,000	2019/05/16	2020/04/02	10.14
18607	Macquarie Bank Ltd. – CRRC European Warrants Jun 2021 A	40,000,000	2019/05/16	2021/06/16	10.00
18605	Macquarie Bank Ltd. – Dongfeng Motor European Wts Dec 2019 A	14,500,000	2019/05/16	2019/12/03	10.06
18606	Macquarie Bank Ltd. – CRRC Times European Warrants Jan 2020A	19,000,000	2019/05/16	2020/01/16	10.01
18628	UBS AG – CC Bank European Warrants Sep 2019 A	70,000,000	2019/05/16	2019/09/23	10.50

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
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18634	UBS AG – CSOP A50 ETF European Warrants Dec 2019 A	70,000,000	2019/05/16	2019/12/20	10.50
18660	Bank Vontobel – China Comm Cons European Warrants Dec 2019 A	70,000,000	2019/05/16	2019/12/30	10.57
18666	Bank Vontobel – COSCO Ship Hold European Warrants Dec 2019 B	20,000,000	2019/05/16	2019/12/05	10.02
18664	Bank Vontobel – HSI European Warrants Sep 2019 E	80,000,000	2019/05/16	2019/09/27	13.68
18662	Bank Vontobel – HSI European Warrants Dec 2019 A	80,000,000	2019/05/16	2019/12/30	16.08
18672	Bank Vontobel – HSI European Put Warrants Oct 2019 B	80,000,000	2019/05/16	2019/10/30	14.48
18661	Bank Vontobel – HSI European Put Warrants Nov 2019 B	80,000,000	2019/05/16	2019/11/28	12.08
18675	Bank Vontobel – ICBC European Put Warrants Dec 2019 A	40,000,000	2019/05/16	2019/12/06	10.04
18671	Bank Vontobel – Tencent European Warrants Oct 2019 B	100,000,000	2019/05/16	2019/10/24	15.10
18763	BOCI Asia Ltd. – AIA European Warrants Nov 2019 B	70,000,000	2019/05/17	2019/11/18	22.75
18764	BOCI Asia Ltd. – China Life European Warrants Nov 2019 B	50,000,000	2019/05/17	2019/11/28	13.35
18765	BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2019 A	80,000,000	2019/05/17	2019/11/25	20.48
18756	BOCI Asia Ltd. – HKEX European Warrants Sep 2019 E	200,000,000	2019/05/17	2019/09/27	30.00
18757	BOCI Asia Ltd. – HKEX European Warrants Apr 2020 A	200,000,000	2019/05/17	2020/04/22	40.00
18761	BOCI Asia Ltd. – Ping An European Warrants Dec 2019 A	80,000,000	2019/05/17	2019/12/24	42.88
18758	BOCI Asia Ltd. – Tencent European Wts Aug 2019 C	200,000,000	2019/05/17	2019/08/27	34.60
18760	BOCI Asia Ltd. – Tencent European Put Wts Oct 2019 B	200,000,000	2019/05/17	2019/10/23	32.00
18699	BNP Paribas Issuance B.V. – CC Bank Euro Wts Jan 2020 B	40,000,000	2019/05/17	2020/01/03	30.00
18708	BNP Paribas Issuance B.V. – HKEX European Wts Jan 2020 B	80,000,000	2019/05/17	2020/01/03	20.00
18707	BNP Paribas Issuance B.V. – Ping An European Wt Dec 2019 B	40,000,000	2019/05/17	2019/12/03	11.60
18698	BNP Paribas Issuance B.V. – Tencent European Wts Jan 2020 G	100,000,000	2019/05/17	2020/01/03	30.00
18692	Credit Suisse AG – HKEX European Warrants Sep 2019 F	200,000,000	2019/05/17	2019/09/23	30.00
18769	Credit Suisse AG – HSI European Warrants Sep 2019 D	150,000,000	2019/05/17	2019/09/27	22.50
18771	Credit Suisse AG – ICBC European Warrants Oct 2019 A	50,000,000	2019/05/17	2019/10/30	13.00
18770	Credit Suisse AG – Ping An European Warrants Sep 2019 F	150,000,000	2019/05/17	2019/09/30	45.00
18768	Credit Suisse AG – WH Group European Warrants Nov 2019 B	40,000,000	2019/05/17	2019/11/18	10.80
18750	Goldman Sachs SP (Asia) – Bank of China Euro Wts Jan 2020 A	80,000,000	2019/05/17	2020/01/21	12.00
18746	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2019 B	100,000,000	2019/05/17	2019/12/19	16.60
18743	Goldman Sachs SP (Asia) – Geely Auto European Wts Nov 2019 C	80,000,000	2019/05/17	2019/11/11	12.00
18744	Goldman Sachs SP (Asia) – HKEX European Warrants Sep 2019 N	150,000,000	2019/05/17	2019/09/30	22.50
18751	Goldman Sachs SP (Asia) – ICBC European Warrants Oct 2019 A	80,000,000	2019/05/17	2019/10/30	12.00
18782	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2019 F	150,000,000	2019/05/17	2019/10/23	30.45
18737	HK Bank – Galaxy Ent European Warrants Nov 2019 A	40,000,000	2019/05/17	2019/11/29	12.00
18700	HK Bank – Galaxy Ent European Warrants Oct 2020 A	100,000,000	2019/05/17	2020/10/23	15.00
18739	HK Bank – HKEX European Warrants Oct 2019 A	150,000,000	2019/05/17	2019/10/15	22.50
18703	HK Bank – HKEX European Warrants Dec 2019 D	150,000,000	2019/05/17	2019/12/24	22.50
18701	HK Bank – HSI European Warrants Oct 2019 D	150,000,000	2019/05/17	2019/10/30	22.50
18721	J P Morgan SP BV – CC Bank European Wts Nov 2019 A	100,000,000	2019/05/17	2019/11/29	25.00
18733	J P Morgan SP BV – China Everbright Ltd Euro Wts Dec 2019 A	40,000,000	2019/05/17	2019/12/02	10.20
18711	J P Morgan SP BV – Country Garden European Wts Oct 2019 B	70,000,000	2019/05/17	2019/10/24	11.27
18724	J P Morgan SP BV – CITIC Bank European Warrants Nov 2019 A	50,000,000	2019/05/17	2019/11/29	12.60
18696	J P Morgan SP BV – China Life European Warrants Aug 2019 B	100,000,000	2019/05/17	2019/08/30	17.10
18715	J P Morgan SP BV – Sinopec Corp European Warrants Oct 2019 A	100,000,000	2019/05/17	2019/10/31	15.00
18736	J P Morgan SP BV – China Unicom European Warrants Nov 2019 A	50,000,000	2019/05/17	2019/11/20	17.10

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18728	J P Morgan SP BV – Galaxy Ent European Warrants Aug 2019 A	100,000,000	2019/05/17	2019/08/30	18.40
18725	J P Morgan SP BV – HKEX European Warrants Sep 2019 D	200,000,000	2019/05/17	2019/09/30	30.20
18742	J P Morgan SP BV – HSBC European Warrants Aug 2019 D	100,000,000	2019/05/17	2019/08/30	15.10
18709	J P Morgan SP BV – HSI European Warrants Nov 2019 D	400,000,000	2019/05/17	2019/11/28	105.20
18722	J P Morgan SP BV – ICBC European Warrants Oct 2019 A	100,000,000	2019/05/17	2019/10/31	16.30
18712	J P Morgan SP BV – PetroChina European Warrants Nov 2019 A	100,000,000	2019/05/17	2019/11/22	15.10
18717	J P Morgan SP BV – Ping An European Warrants Sep 2020 A	1,000,000,000	2019/05/17	2020/09/23	151.00
18731	J P Morgan SP BV – Sands China Ltd European Warrants Sep19 A	80,000,000	2019/05/17	2019/09/30	12.88
18734	J P Morgan SP BV – Tencent European Warrants Sep 2019 I	300,000,000	2019/05/17	2019/09/18	51.60
18695	J P Morgan SP BV – Tencent European Warrants Feb 2020 A	300,000,000	2019/05/17	2020/02/21	45.90
18693	J P Morgan SP BV – Tencent European Warrants Apr 2021 A	300,000,000	2019/05/17	2021/04/23	45.00
18716	J P Morgan SP BV – Tracker Fund European Warrants Nov 2019 A	100,000,000	2019/05/17	2019/11/29	26.20
18710	J P Morgan SP BV – WH Group European Warrants Sep 2019 A	50,000,000	2019/05/17	2019/09/23	10.25
18735	J P Morgan SP BV – Zijin Mining European Warrants Nov 2019 A	40,000,000	2019/05/17	2019/11/18	10.20
18718	Macquarie Bank Ltd. – Minsheng Bank European Wts Dec 2019 A	25,000,000	2019/05/17	2019/12/03	10.08
18720	Macquarie Bank Ltd. – Kingdee Int'l European Wts May 2020 A	30,000,000	2019/05/17	2020/05/18	10.08
18719	Macquarie Bank Ltd. – PICC Group European Wts Feb 2020 A	37,500,000	2019/05/17	2020/02/04	10.01
18773	SG Issuer – CC Bank European Warrants Oct 2019 A	70,000,000	2019/05/17	2019/10/24	10.50
18776	SG Issuer – Sinopec Corp European Warrants Oct 2019 A	70,000,000	2019/05/17	2019/10/29	10.50
18781	SG Issuer – HSI European Warrants Aug 2019 F	300,000,000	2019/05/17	2019/08/29	45.00
18778	SG Issuer – ICBC European Warrants Oct 2019 A	60,000,000	2019/05/17	2019/10/30	12.60
18779	SG Issuer – Ping An European Warrants Dec 2019 C	200,000,000	2019/05/17	2019/12/20	30.00
18697	UBS AG – AAC Tech European Warrants Dec 2019 B	100,000,000	2019/05/17	2019/12/20	15.00
18741	UBS AG – HSCFI ETF European Warrants Dec 2019 A	60,000,000	2019/05/17	2019/12/16	15.06
18740	UBS AG – PetroChina European Warrants Nov 2019 A	70,000,000	2019/05/17	2019/11/18	10.50
18755	Bank Vontobel – AIA European Warrants Dec 2019 B	60,000,000	2019/05/17	2019/12/18	10.26
18754	Bank Vontobel – Country Garden European Warrants Feb 2020 A	50,000,000	2019/05/17	2020/02/21	10.05
18753	Bank Vontobel – CITIC Sec European Warrants Dec 2019 C	40,000,000	2019/05/17	2019/12/05	10.04
18752	Bank Vontobel – SJM Holdings European Warrants Dec 2019 A	40,000,000	2019/05/17	2019/12/06	10.44
18786	BOCI Asia Ltd. – China Tower European Warrants Oct 2019 B	80,000,000	2019/05/20	2019/10/23	12.80
18784	BOCI Asia Ltd. – China Tower European Warrants Nov 2019 B	80,000,000	2019/05/20	2019/11/22	12.00
18788	BOCI Asia Ltd. – China Tower European Put Warrants Sep 2019 D	80,000,000	2019/05/20	2019/09/23	12.00
18799	Credit Suisse AG – CC Bank European Warrants Nov 2019 A	50,000,000	2019/05/20	2019/11/19	14.00
18796	Credit Suisse AG – Ping An European Warrants Oct 2019 E	150,000,000	2019/05/20	2019/10/28	37.50
18798	Credit Suisse AG – Zijin Mining European Warrants Nov 2019 B	40,000,000	2019/05/20	2019/11/19	13.20
18791	J P Morgan SP BV – AIA European Warrants Nov 2019 B	100,000,000	2019/05/20	2019/11/19	34.60
18793	J P Morgan SP BV – HKEX European Put Wts Sep 2019 E	200,000,000	2019/05/20	2019/09/30	30.60
18795	J P Morgan SP BV – Ping An European Put Warrants Jan 2020 A	500,000,000	2019/05/20	2020/01/24	75.00
18800	Macquarie Bank Ltd. – BYD Company European Wts May 2021 A	40,000,000	2019/05/20	2021/05/04	10.08
18789	Macquarie Bank Ltd. – Ping An European Warrants Dec 2019 C	17,500,000	2019/05/20	2019/12/03	10.10
18790	UBS AG – AIA European Warrants Aug 2019 D	100,000,000	2019/05/20	2019/08/21	22.20
18801	Bank Vontobel – Agricultural Bank European Warrants Mar 20 A	40,000,000	2019/05/20	2020/03/30	10.04
18812	Bank Vontobel – China Mobile European Warrants Jan 2020 A	30,000,000	2019/05/20	2020/01/03	11.43
18814	Bank Vontobel – China Mobile European Put Warrants Jan 2020 B	30,000,000	2019/05/20	2020/01/06	10.23

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18807	Bank Vontobel – Galaxy Ent European Warrants Dec 2019 A	25,000,000	2019/05/20	2019/12/06	11.78
18817	Bank Vontobel – HKEX European Put Warrants Dec 2019 B	40,000,000	2019/05/20	2019/12/02	10.44
18846	BOCI Asia Ltd. – AIA European Warrants Oct 2019 B	70,000,000	2019/05/21	2019/10/28	30.80
18838	BOCI Asia Ltd. – CC Bank European Warrants Nov 2019 A	70,000,000	2019/05/21	2019/11/21	21.70
18844	BOCI Asia Ltd. – HKEX European Warrants Sep 2019 F	100,000,000	2019/05/21	2019/09/23	18.00
18847	BOCI Asia Ltd. – HSI European Warrants Aug 2019 D	200,000,000	2019/05/21	2019/08/29	30.00
18848	BOCI Asia Ltd. – HSI European Warrants Dec 2019 A	200,000,000	2019/05/21	2019/12/30	30.00
18849	BOCI Asia Ltd. – HSI European Put Warrants Sep 2019 E	200,000,000	2019/05/21	2019/09/27	30.00
18840	BOCI Asia Ltd. – ICBC European Warrants Oct 2019 B	70,000,000	2019/05/21	2019/10/30	10.50
18845	BOCI Asia Ltd. – Tencent European Wts Aug 2019 D	20,000,000	2019/05/21	2019/08/29	55.20
18873	BOCI Asia Ltd. – Xiaomi European Warrants Oct 2019 A	150,000,000	2019/05/21	2019/10/18	22.50
18843	BOCI Asia Ltd. – Xiaomi European Warrants Dec 2019 A	150,000,000	2019/05/21	2019/12/24	28.50
18879	Credit Suisse AG – AIA European Warrants Nov 2019 A	70,000,000	2019/05/21	2019/11/01	10.50
18880	Credit Suisse AG – AIA European Warrants Nov 2019 B	70,000,000	2019/05/21	2019/11/20	22.05
18877	Credit Suisse AG – Ch Comm Cons European Warrants Dec 2019 A	70,000,000	2019/05/21	2019/12/27	10.50
18881	Credit Suisse AG – HSI European Warrants Dec 2019 A	150,000,000	2019/05/21	2019/12/30	22.50
18882	Credit Suisse AG – Tencent European Wts Aug 2019 D	250,000,000	2019/05/21	2019/08/27	42.50
18912	Goldman Sachs SP (Asia) – AIA European Warrants Aug 2019 F	80,000,000	2019/05/21	2019/08/21	12.72
18910	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2019 A	80,000,000	2019/05/21	2019/11/22	13.44
18909	Goldman Sachs SP (Asia) – Geely Auto European Wts Nov 2019 D	80,000,000	2019/05/21	2019/11/26	13.36
18908	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Oct 2019 C	80,000,000	2019/05/21	2019/10/28	12.56
18913	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2019 L	150,000,000	2019/05/21	2019/09/27	22.50
18915	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2019 B	150,000,000	2019/05/21	2019/12/30	22.50
18911	Goldman Sachs SP (Asia) – Lenovo European Wts Sep 2019 A	60,000,000	2019/05/21	2019/09/25	13.26
18904	Goldman Sachs SP (Asia) – PetroChina European Wts Nov 2019 A	80,000,000	2019/05/21	2019/11/22	12.00
18906	Goldman Sachs SP (Asia) – Ping An European Wts Sep 2019 E	100,000,000	2019/05/21	2019/09/24	23.50
18903	Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2019 G	100,000,000	2019/05/21	2019/10/28	20.50
18863	J P Morgan SP BV – China Life European Warrants Nov 2019 D	100,000,000	2019/05/21	2019/11/29	25.40
18850	J P Morgan SP BV – Sinopec Corp European Warrants Nov 2019 A	100,000,000	2019/05/21	2019/11/29	25.00
18853	J P Morgan SP BV – Galaxy Ent European Warrants Nov 2019 A	100,000,000	2019/05/21	2019/11/20	32.70
18861	J P Morgan SP BV – Lenovo European Warrants Sep 2019 A	50,000,000	2019/05/21	2019/09/30	11.80
18852	J P Morgan SP BV – MGM China European Warrants Jan 2020 A	60,000,000	2019/05/21	2020/01/31	15.00
18851	J P Morgan SP BV – PetroChina European Warrants Dec 2019 A	100,000,000	2019/05/21	2019/12/31	25.10
18859	J P Morgan SP BV – Sands China Ltd European Warrants Nov 19 B	80,000,000	2019/05/21	2019/11/29	20.24
18869	J P Morgan SP BV – Xiaomi European Warrants Nov 2019 A	200,000,000	2019/05/21	2019/11/20	50.00
18829	Macquarie Bank Ltd. – Geely Auto European Wts Dec 2019 C	30,500,000	2019/05/21	2019/12/03	10.03
18818	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 A	22,200,000	2019/05/21	2019/12/02	10.01
18819	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 B	11,000,000	2019/05/21	2019/12/02	10.04
18820	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 C	8,000,000	2019/05/21	2019/12/02	14.24
18821	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 D	40,000,000	2019/05/21	2019/12/02	26.40
18822	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 E	40,000,000	2019/05/21	2019/12/02	45.84
18825	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2019 F	30,000,000	2019/05/21	2019/12/02	24.90
18827	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2019 G	7,000,000	2019/05/21	2019/12/02	10.54
18828	Macquarie Bank Ltd. – Xiaomi European Warrants Dec 2019 D	38,000,000	2019/05/21	2019/12/03	10.15

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18884	SG Issuer – Agricultural Bank European Warrants Dec 2019 A	60,000,000	2019/05/21	2019/12/31	15.00
18897	SG Issuer – AIA European Warrants Dec 2019 A	200,000,000	2019/05/21	2019/12/18	30.00
18886	SG Issuer – China Mobile European Warrants Nov 2019 A	50,000,000	2019/05/21	2019/11/22	11.00
18887	SG Issuer – China Telecom European Warrants Oct 2019 B	70,000,000	2019/05/21	2019/10/24	10.50
18888	SG Issuer – China Unicom European Warrants Nov 2019 A	15,000,000	2019/05/21	2019/11/29	10.05
18889	SG Issuer – MGM China European Warrants Sep 2019 B	70,000,000	2019/05/21	2019/09/25	10.50
18890	SG Issuer – Sunny Optical European Warrants Dec 2019 B	100,000,000	2019/05/21	2019/12/20	15.00
18898	SG Issuer – Tencent European Warrants Sep 2019 H	200,000,000	2019/05/21	2019/09/23	48.00
18894	SG Issuer – Xiaomi European Warrants Dec 2019 D	120,000,000	2019/05/21	2019/12/24	25.80
18896	SG Issuer – ZTE European Warrants Sep 2019 B	40,000,000	2019/05/21	2019/09/23	13.00
18836	UBS AG – Galaxy Ent European Warrants Sep 2019 A	60,000,000	2019/05/21	2019/09/02	10.08
18834	UBS AG – HKEX European Warrants Sep 2019 G	300,000,000	2019/05/21	2019/09/27	45.00
18835	UBS AG – HSI European Warrants Sep 2019 F	300,000,000	2019/05/21	2019/09/27	45.00
18837	UBS AG – ICBC European Warrants Oct 2019 A	60,000,000	2019/05/21	2019/10/31	10.08
18916	BOCI Asia Ltd. – China Mobile European Warrants Nov 2019 A	60,000,000	2019/05/22	2019/11/22	13.20
18940	Credit Suisse AG – PetroChina European Warrants Nov 2019 A	40,000,000	2019/05/22	2019/11/29	10.00
18957	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2019 C	80,000,000	2019/05/22	2019/09/25	31.20
18958	Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Sep 2019B	80,000,000	2019/05/22	2019/09/25	12.00
18954	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2019 B	150,000,000	2019/05/22	2019/11/22	22.50
18955	Goldman Sachs SP (Asia) – Xiaomi European Warrants Dec 2019B	100,000,000	2019/05/22	2019/12/24	17.60
18936	J P Morgan SP BV – Ch Comm Cons European Warrants Sep 2019 B	50,000,000	2019/05/22	2019/09/30	10.25
18935	J P Morgan SP BV – China Gas Hold European Wts Nov 2019 A	60,000,000	2019/05/22	2019/11/29	15.00
18932	J P Morgan SP BV – CKH Holdings European Warrants Nov 2019 A	60,000,000	2019/05/22	2019/11/29	15.06
18937	J P Morgan SP BV – Evergrande European Warrants Nov 2019 A	50,000,000	2019/05/22	2019/11/21	12.55
18924	Macquarie Bank Ltd. – Agricultural Bank Euro Wts May 2020 A	35,000,000	2019/05/22	2020/05/05	10.05
18931	Macquarie Bank Ltd. – China Tower European Warrants Dec 19 A	40,000,000	2019/05/22	2019/12/03	10.04
18930	Macquarie Bank Ltd. – China Tower European Put Wts Apr 2020B	34,500,000	2019/05/22	2020/04/02	10.14
18917	Macquarie Bank Ltd. – Haier Elec European Wts May 2020 A	36,000,000	2019/05/22	2020/05/18	10.01
18929	Macquarie Bank Ltd. – PSBC European Warrants Mar 2020 A	28,000,000	2019/05/22	2020/03/03	10.02
18950	SG Issuer – CK Asset European Warrants Nov 2019 A	40,000,000	2019/05/22	2019/11/29	10.00
18949	SG Issuer – Sinopec Corp European Warrants Nov 2019 A	40,000,000	2019/05/22	2019/11/29	10.00
18953	SG Issuer – Haitong Sec European Warrants Dec 2019 A	60,000,000	2019/05/22	2019/12/24	10.20
18939	UBS AG – HSBC European Warrants Oct 2019 A	100,000,000	2019/05/22	2019/10/24	15.00
18938	UBS AG – Tencent European Warrants Sep 2019 I	300,000,000	2019/05/22	2019/09/23	72.00
18945	Bank Vontobel – AAC Tech European Warrants Apr 2020 A	70,000,000	2019/05/22	2020/04/20	10.57
18944	Bank Vontobel – AIA European Warrants Dec 2020 A	60,000,000	2019/05/22	2020/12/23	10.26
18948	Bank Vontobel – CC Bank European Warrants Jan 2020 A	40,000,000	2019/05/22	2020/01/03	10.04
18941	Bank Vontobel – PetroChina European Warrants Feb 2020 A	40,000,000	2019/05/22	2020/02/04	11.64
18947	Bank Vontobel – Sunny Optical European Warrants Dec 2019 B	70,000,000	2019/05/22	2019/12/20	10.57
19007	BNP Paribas Issuance B.V. – AIA European Wts Jan 2020 A	50,000,000	2019/05/23	2020/01/03	15.50
19008	BNP Paribas Issuance B.V. – China Life Euro Wts Dec 2019 E	60,000,000	2019/05/23	2019/12/03	15.00
19004	BNP Paribas Issuance B.V. – China Mobile Euro Wts Jan 2020 B	50,000,000	2019/05/23	2020/01/03	13.50
19005	BNP Paribas Issuance B.V. – China Mobile Eu Put Wt Dec 2019B	50,000,000	2019/05/23	2019/12/03	16.00
19020	Credit Suisse AG – Haitong Sec European Warrants Dec 2019 A	60,000,000	2019/05/23	2019/12/27	10.20

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19036	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2019 B	80,000,000	2019/05/23	2019/11/22	12.00
19042	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2019 D	150,000,000	2019/05/23	2019/10/30	22.50
19034	Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Feb 2020 A	70,000,000	2019/05/23	2020/02/21	10.50
19039	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2019 H	150,000,000	2019/05/23	2019/10/21	22.50
19014	J P Morgan SP BV – CK Asset European Warrants Nov 2019 A	60,000,000	2019/05/23	2019/11/29	15.30
19018	J P Morgan SP BV – Haitong Sec European Warrants Dec 2019 A	100,000,000	2019/05/23	2019/12/24	16.50
19019	J P Morgan SP BV – Link REIT European Warrants Nov 2019 A	40,000,000	2019/05/23	2019/11/29	10.12
19015	J P Morgan SP BV – Sino Biopharm European Warrants Nov 2019 A	70,000,000	2019/05/23	2019/11/29	17.57
19012	J P Morgan SP BV – ZA Online European Warrants Nov 2019 A	40,000,000	2019/05/23	2019/11/29	10.16
18977	Macquarie Bank Ltd. – China Agri European Wts Feb 2020 A	33,100,000	2019/05/23	2020/02/04	10.03
19002	Macquarie Bank Ltd. – CITIC Sec European Warrants Apr 2020 B	40,000,000	2019/05/23	2020/04/02	10.04
18992	Macquarie Bank Ltd. – CNOOC European Warrants Mar 2020 A	40,000,000	2019/05/23	2020/03/03	10.40
18972	Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2019 A	40,000,000	2019/05/23	2019/12/03	10.00
18981	Macquarie Bank Ltd. – CSOP A50 ETF European Put Wts Dec 19 A	20,800,000	2019/05/23	2019/12/03	10.00
18993	Macquarie Bank Ltd. – China Shenhua European Wts Mar 2020 A	26,000,000	2019/05/23	2020/03/03	10.17
18973	Macquarie Bank Ltd. – China Tel European Warrants Dec 2019 A	38,500,000	2019/05/23	2019/12/03	10.01
19003	Macquarie Bank Ltd. – Dongfeng Group European Put Wts Jun20A	40,000,000	2019/05/23	2020/06/02	10.00
18978	Macquarie Bank Ltd. – A50 China European Warrants Dec 2019 A	12,000,000	2019/05/23	2019/12/03	10.25
18987	Macquarie Bank Ltd. – HN Renewables European Wts May 2020 A	24,300,000	2019/05/23	2020/05/18	10.01
18997	Macquarie Bank Ltd. – ICBC European Warrants May 2020 A	22,000,000	2019/05/23	2020/05/05	10.03
18967	Macquarie Bank Ltd. – PetroChina European Wts Dec 2019 A	40,000,000	2019/05/23	2019/12/03	10.04
18998	Macquarie Bank Ltd. – Samsonite European Warrants Mar 2020 A	30,000,000	2019/05/23	2020/03/03	10.59
18999	Macquarie Bank Ltd. – Sands China Ltd European Wts Feb 2020A	24,000,000	2019/05/23	2020/02/04	10.63
18996	Macquarie Bank Ltd. – Wynn Macau European Wts Mar 2020 A	36,000,000	2019/05/23	2020/03/03	10.12
19023	SG Issuer – Air China European Warrants Dec 2019 A	100,000,000	2019/05/23	2019/12/20	15.00
19024	SG Issuer – Bank of China European Warrants Dec 2019 A	80,000,000	2019/05/23	2019/12/31	20.00
19021	SG Issuer – BYD Company European Warrants Nov 2019 B	20,000,000	2019/05/23	2019/11/29	10.00
19029	SG Issuer – CC Bank European Warrants Nov 2019 A	60,000,000	2019/05/23	2019/11/29	15.00
19030	SG Issuer – Ch Molybdenum European Warrants Nov 2019 A	30,000,000	2019/05/23	2019/11/22	10.05
19031	SG Issuer – China South Air European Warrants May 2020 A	60,000,000	2019/05/23	2020/05/29	15.00
19022	SG Issuer – HSI European Warrants Dec 2019 D	300,000,000	2019/05/23	2019/12/30	45.00
19009	UBS AG – PetroChina European Warrants Nov 2019 B	70,000,000	2019/05/23	2019/11/25	10.50
19010	UBS AG – Ping An European Warrants Sep 2019 C	100,000,000	2019/05/23	2019/09/23	21.90
18962	Bank Vontobel – CSOP A50 ETF European Warrants Jan 2020 A	70,000,000	2019/05/23	2020/01/08	10.57
18961	Bank Vontobel – CSOP A50 ETF European Warrants Feb 2020 A	40,000,000	2019/05/23	2020/02/04	10.04
19974#	J P Morgan SP BV – Tencent European Warrants Aug 2019 A	200,000,000	2019/05/23	2019/08/30	10.00
19044	BOCI Asia Ltd. – HKEX European Put Warrants Nov 2019 B	100,000,000	2019/05/24	2019/11/29	19.00
19050	BOCI Asia Ltd. – HSBC European Warrants Sep 2019 A	60,000,000	2019/05/24	2019/09/25	10.62
19052	BOCI Asia Ltd. – Ping An European Warrants Sep 2019 D	80,000,000	2019/05/24	2019/09/30	34.16
19048	BOCI Asia Ltd. – Tencent European Wts Aug 2019 E	200,000,000	2019/05/24	2019/08/30	50.00
19081	Credit Suisse AG – Bank of China European Wts Nov 2019 B	40,000,000	2019/05/24	2019/11/29	10.00
19086	Credit Suisse AG – CM Bank European Warrants Nov 2019 A	38,000,000	2019/05/24	2019/11/25	10.26
19077	Credit Suisse AG – Ch Molybdenum European Wts Nov 2019 B	40,000,000	2019/05/24	2019/11/25	10.00
19076	Credit Suisse AG – Meituan European Put Warrants Jan 2020 A	50,000,000	2019/05/24	2020/01/30	12.50

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19083	Credit Suisse AG – Tencent European Wts Sep 2019 H	250,000,000	2019/05/24	2019/09/02	37.50
19079	Credit Suisse AG – ZA Online European Warrants Nov 2019 A	40,000,000	2019/05/24	2019/11/25	10.00
19087	Goldman Sachs SP (Asia) – BankComm European Wts Oct 2019 A	70,000,000	2019/05/24	2019/10/24	10.50
19089	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2020 A	150,000,000	2019/05/24	2020/04/22	22.50
19096	Goldman Sachs SP (Asia) – Evergrande European Wts Aug 2019 B	70,000,000	2019/05/24	2019/08/26	10.50
19088	Goldman Sachs SP (Asia) – Meituan European Warrants Nov 19 A	100,000,000	2019/05/24	2019/11/22	15.00
19095	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2019 I	150,000,000	2019/05/24	2019/10/21	25.35
19091	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2019 B	150,000,000	2019/05/24	2019/12/24	36.30
19057	HK Bank – Bank of China European Warrants Dec 2019 A	50,000,000	2019/05/24	2019/12/31	12.50
19054	HK Bank – CC Bank European Warrants Nov 2019 A	50,000,000	2019/05/24	2019/11/29	12.50
19056	HK Bank – ICBC European Warrants Nov 2019 A	50,000,000	2019/05/24	2019/11/29	13.00
19053	HK Bank – Sunny Optical European Warrants Dec 2019 C	80,000,000	2019/05/24	2019/12/23	12.00
19073	J P Morgan SP BV – AAC Tech European Warrants Nov 2019 B	60,000,000	2019/05/24	2019/11/29	22.44
19074	J P Morgan SP BV – HKEX European Put Wts Nov 2019 B	100,000,000	2019/05/24	2019/11/29	25.10
19070	J P Morgan SP BV – ICBC European Warrants Dec 2019 A	100,000,000	2019/05/24	2019/12/31	25.50
19071	J P Morgan SP BV – Sunny Optical European Put Wts Jan 2020 A	150,000,000	2019/05/24	2020/01/31	37.50
19069	J P Morgan SP BV – Tencent European Warrants Sep 2019 J	300,000,000	2019/05/24	2019/09/02	45.60
19067	J P Morgan SP BV – Tencent European Warrants Jan 2020 A	300,000,000	2019/05/24	2020/01/24	45.30
19066	J P Morgan SP BV – Tencent European Warrants Apr 2020 A	300,000,000	2019/05/24	2020/04/23	45.30
19105	SG Issuer – AAC Tech European Warrants Nov 2019 B	20,000,000	2019/05/24	2019/11/29	12.00
19106	SG Issuer – BYD Electronic European Warrants Nov 2019 A	60,000,000	2019/05/24	2019/11/25	15.00
19108	SG Issuer – HKEX European Put Warrants Aug 2019 B	20,000,000	2019/05/24	2019/08/30	11.00
19113	SG Issuer – HSI European Warrants Aug 2019 G	300,000,000	2019/05/24	2019/08/29	45.00
19114	SG Issuer – HSI European Warrants Nov 2019 B	300,000,000	2019/05/24	2019/11/28	45.00
19109	SG Issuer – Ping An European Warrants Jun 2020 C	500,000,000	2019/05/24	2020/06/23	75.00
19107	SG Issuer – Sunny Optical European Warrants Dec 2019 C	100,000,000	2019/05/24	2019/12/20	18.00
19110	SG Issuer – Tencent European Warrants Aug 2019 E	200,000,000	2019/05/24	2019/08/23	76.00
19111	SG Issuer – Tencent European Warrants Sep 2019 I	200,000,000	2019/05/24	2019/09/25	51.00
19112	SG Issuer – Tencent European Put Warrants Sep 2019 J	80,000,000	2019/05/24	2019/09/04	12.00
19061	UBS AG – CSOP A50 ETF European Warrants Oct 2019 A	70,000,000	2019/05/24	2019/10/28	10.50
19065	UBS AG – China Unicom European Warrants Mar 2020 A	50,000,000	2019/05/24	2020/03/31	12.50
19062	UBS AG – HSI European Warrants Dec 2019 A	300,000,000	2019/05/24	2019/12/30	45.00
19060	UBS AG – WH Group European Warrants Nov 2019 C	60,000,000	2019/05/24	2019/11/20	12.18
19102	Bank Vontobel – CITIC Bank European Warrants Jan 2020 A	50,000,000	2019/05/24	2020/01/31	11.05
19101	Bank Vontobel – China Unicom European Warrants Mar 2020 A	40,000,000	2019/05/24	2020/03/03	10.04
19104	Bank Vontobel – Sunny Optical European Warrants Dec 2019 C	70,000,000	2019/05/24	2019/12/20	10.57
19103	Bank Vontobel – Wharf Holdings European Warrants Mar 2020 A	40,000,000	2019/05/24	2020/03/30	10.04
19138	BOCI Asia Ltd. – CC Bank European Warrants Nov 2019 B	70,000,000	2019/05/27	2019/11/12	10.50
19136	BOCI Asia Ltd. – China Tower European Warrants Sep 2019 E	80,000,000	2019/05/27	2019/09/30	17.60
19137	BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2019 B	80,000,000	2019/05/27	2019/11/27	25.60
19139	BOCI Asia Ltd. – Haitong Sec European Warrants Dec 2019 A	70,000,000	2019/05/27	2019/12/24	10.50
19133	BOCI Asia Ltd. – Tencent European Wts Aug 2019 F	200,000,000	2019/05/27	2019/08/27	58.00
19132	BOCI Asia Ltd. – Tencent European Wts Oct 2019 C	200,000,000	2019/05/27	2019/10/21	32.00
19173	Credit Suisse AG – BankComm European Warrants Nov 2019 A	40,000,000	2019/05/27	2019/11/29	10.00



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19170	Credit Suisse AG – Huaneng Power European Warrants Nov 2019B	30,000,000	2019/05/27	2019/11/26	12.60
19171	Credit Suisse AG – Xiaomi European Warrants Dec 2019 B	200,000,000	2019/05/27	2019/12/24	30.00
19167	Goldman Sachs SP (Asia) – China Tower Euro Wts Nov 2019 B	80,000,000	2019/05/27	2019/11/22	12.00
19169	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2019 I	150,000,000	2019/05/27	2019/08/29	22.50
19168	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2019 D	100,000,000	2019/05/27	2019/08/30	30.40
19165	Goldman Sachs SP (Asia) – Tencent European Wt Aug 2019 G	150,000,000	2019/05/27	2019/08/27	34.65
19164	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 L	150,000,000	2019/05/27	2019/09/23	22.50
19155	HK Bank – AAC Tech European Warrants Sep 2020 A	80,000,000	2019/05/27	2020/09/30	12.00
19152	HK Bank – HSI European Warrants Nov 2019 B	150,000,000	2019/05/27	2019/11/28	22.50
19153	HK Bank – HSI European Warrants Nov 2019 C	100,000,000	2019/05/27	2019/11/28	25.00
19154	HK Bank – HSI European Put Warrants Nov 2019 D	100,000,000	2019/05/27	2019/11/28	25.00
19118	HK Bank – Tencent European Warrants Sep 2019 F	80,000,000	2019/05/27	2019/09/27	12.00
19119	HK Bank – Tencent European Warrants Nov 2019 C	80,000,000	2019/05/27	2019/11/29	20.00
19156	HK Bank – Tencent European Put Warrants Nov 2019 D	80,000,000	2019/05/27	2019/11/26	12.80
19161	J P Morgan SP BV – AAC Tech European Put Warrants Nov 2019 C	100,000,000	2019/05/27	2019/11/29	25.50
19126	J P Morgan SP BV – Ali Health European Warrants Dec 2019 A	80,000,000	2019/05/27	2019/12/02	20.00
19160	J P Morgan SP BV – BankComm European Warrants Nov 2019 A	50,000,000	2019/05/27	2019/11/29	12.70
19123	J P Morgan SP BV – China Life European Warrants Dec 2019 E	100,000,000	2019/05/27	2019/12/19	15.40
19162	J P Morgan SP BV – China Shenhua European Wts Dec 2019 A	50,000,000	2019/05/27	2019/12/31	12.50
19163	J P Morgan SP BV – Galaxy Ent European Warrants Dec 2019 A	100,000,000	2019/05/27	2019/12/03	31.70
19124	J P Morgan SP BV – HSI European Warrants Nov 2019 E	400,000,000	2019/05/27	2019/11/28	100.00
19158	J P Morgan SP BV – Link REIT European Put Warrants Dec 2019A	40,000,000	2019/05/27	2019/12/03	15.28
19144	J P Morgan SP BV – PICC Group European Warrants Sep 2019 C	70,000,000	2019/05/27	2019/09/02	10.64
19157	J P Morgan SP BV – Sunny Optical European Warrants Nov 2019A	100,000,000	2019/05/27	2019/11/29	25.20
19148	J P Morgan SP BV – Tencent European Warrants Aug 2019 B	300,000,000	2019/05/27	2019/08/30	48.90
19121	J P Morgan SP BV – Tencent European Warrants Sep 2019 K	300,000,000	2019/05/27	2019/09/30	45.90
19147	J P Morgan SP BV – Tencent European Warrants Sep 2019 L	300,000,000	2019/05/27	2019/09/25	50.10
19120	J P Morgan SP BV – Tencent European Warrants Oct 2019 E	300,000,000	2019/05/27	2019/10/23	45.90
19150	J P Morgan SP BV – Tencent European Put Warrants Sep 2019 M	300,000,000	2019/05/27	2019/09/25	45.30
19151	J P Morgan SP BV – Tencent European Put Warrants Nov 2019 C	300,000,000	2019/05/27	2019/11/26	45.60
19159	J P Morgan SP BV – Want Want Ch European Warrants Nov 2020 A	100,000,000	2019/05/27	2020/11/30	25.10
19127	J P Morgan SP BV – Wynn Macau European Warrants Dec 2019 A	70,000,000	2019/05/27	2019/12/31	17.64
19145	J P Morgan SP BV – Xiaomi European Warrants Dec 2019 B	150,000,000	2019/05/27	2019/12/24	22.95
19141	Macquarie Bank Ltd. – Country Garden European Wts Jun 2020 A	33,000,000	2019/05/27	2020/06/02	10.03
19142	Macquarie Bank Ltd. – Travelsky Tech European Wts Jul 2020 A	26,000,000	2019/05/27	2020/07/17	10.01
19140	Macquarie Bank Ltd. – Want Want China European Wts Dec 2020A	40,000,000	2019/05/27	2020/12/02	10.00
19179	SG Issuer – HSI European Warrants Aug 2019 H	300,000,000	2019/05/27	2019/08/29	45.00
19184	SG Issuer – Tencent European Warrants Aug 2019 F	200,000,000	2019/05/27	2019/08/27	31.00
19186	SG Issuer – Tencent European Put Warrants Sep 2019 K	20,000,000	2019/05/27	2019/09/24	10.80
19189	SG Issuer – Tencent European Put Warrants Nov 2019 B	200,000,000	2019/05/27	2019/11/26	33.00
19115	UBS AG – CC Bank European Warrants Nov 2019 A	70,000,000	2019/05/27	2019/11/12	10.57
19129	UBS AG – Geely Auto European Warrants Nov 2019 C	70,000,000	2019/05/27	2019/11/26	10.50
19116	UBS AG – HSI European Warrants Dec 2019 B	300,000,000	2019/05/27	2019/12/30	45.00
19131	UBS AG – Tencent European Warrants Aug 2019 C	300,000,000	2019/05/27	2019/08/27	48.00

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19178	Bank Vontobel – Evergrande European Warrants Feb 2020 A	40,000,000	2019/05/27	2020/02/28	10.32
19174	Bank Vontobel – Geely Auto European Warrants Nov 2019 D	70,000,000	2019/05/27	2019/11/26	10.57
19177	Bank Vontobel – Ping An European Warrants Dec 2019 B	30,000,000	2019/05/27	2019/12/06	10.56
19176	Bank Vontobel – Tencent European Warrants Nov 2019 E	80,000,000	2019/05/27	2019/11/19	24.88
19175	Bank Vontobel – Tencent European Put Warrants Nov 2019 D	100,000,000	2019/05/27	2019/11/26	17.10
19197	BOCI Asia Ltd. – Tencent European Put Wts Sep 2019 K	100,000,000	2019/05/28	2019/09/09	15.30
19195	BOCI Asia Ltd. – Tencent European Put Wts Nov 2019 B	200,000,000	2019/05/28	2019/11/28	31.20
19206	BOCI Asia Ltd. – Xiaomi European Warrants Nov 2019 A	100,000,000	2019/05/28	2019/11/28	22.00
19200	BNP Paribas Issuance B.V. – HSI European Wts Dec 2019 A	100,000,000	2019/05/28	2019/12/30	16.00
19199	BNP Paribas Issuance B.V. – HSI Euro Put Wts Oct 2019 A	100,000,000	2019/05/28	2019/10/30	15.00
19201	BNP Paribas Issuance B.V. – Tencent European Wts Dec 2019 F	100,000,000	2019/05/28	2019/12/03	29.00
19202	BNP Paribas Issuance B.V. – Tencent European Wts Apr 2020 B	100,000,000	2019/05/28	2020/04/02	28.00
19231	Credit Suisse AG – AIA European Warrants Dec 2019 A	150,000,000	2019/05/28	2019/12/18	22.50
19228	Credit Suisse AG – Geely Auto European Warrants Nov 2019 C	100,000,000	2019/05/28	2019/11/26	15.00
19233	Credit Suisse AG – PICC Group European Warrants Nov 2019 A	40,000,000	2019/05/28	2019/11/27	10.00
19227	Credit Suisse AG – Tencent Euro Put Wts Nov 2019 C	200,000,000	2019/05/28	2019/11/26	30.00
19243	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2019 B	150,000,000	2019/05/28	2019/11/28	22.50
19238	Goldman Sachs SP (Asia) – Link REIT European Wts Sep 2019 A	60,000,000	2019/05/28	2019/09/23	10.08
19241	Goldman Sachs SP (Asia) – PICC Group European Wts Aug 2019 A	70,000,000	2019/05/28	2019/08/28	10.50
19240	Goldman Sachs SP (Asia) – Ping An European Wts Sep 2019 F	100,000,000	2019/05/28	2019/09/24	23.70
19236	Goldman Sachs SP (Asia) – Tencent European Put Wt Nov 2019 C	100,000,000	2019/05/28	2019/11/26	15.00
19209	HK Bank – HSCEI European Put Warrants Mar 2020 C	150,000,000	2019/05/28	2020/03/30	37.50
19210	HK Bank – HSI European Put Warrants Nov 2019 E	150,000,000	2019/05/28	2019/11/28	37.50
19219	J P Morgan SP BV – Ch Molybdenum European Wts Dec 2019 A	50,000,000	2019/05/28	2019/12/31	13.05
19217	J P Morgan SP BV – Geely Auto European Warrants Nov 2019 D	100,000,000	2019/05/28	2019/11/29	15.00
19222	J P Morgan SP BV – Sunny Optical European Put Wts Sep 2020 A	100,000,000	2019/05/28	2020/09/02	25.10
19225	J P Morgan SP BV – Tencent European Warrants Aug 2019 C	300,000,000	2019/05/28	2019/08/30	45.60
19224	J P Morgan SP BV – Tencent European Warrants Nov 2019 D	300,000,000	2019/05/28	2019/11/29	45.60
19223	J P Morgan SP BV – Xiaomi European Warrants Nov 2019 B	200,000,000	2019/05/28	2019/11/26	30.40
19205	Macquarie Bank Ltd. – Galaxy Ent European Wts Jan 2020 B	21,000,000	2019/05/28	2020/01/03	10.12
19204	Macquarie Bank Ltd. – Sunny Optical European Wts Dec 2019 A	10,500,000	2019/05/28	2019/12/03	10.13
19203	Macquarie Bank Ltd. – Sunny Optical Euro Put Wts Jan 2020 A	14,000,000	2019/05/28	2020/01/03	10.32
19244	SG Issuer – AIA European Warrants Oct 2019 B	40,000,000	2019/05/28	2019/10/28	16.60
19245	SG Issuer – China Tower European Warrants Sep 2019 E	80,000,000	2019/05/28	2019/09/27	14.40
19247	SG Issuer – Geely Auto European Warrants Sep 2019 D	80,000,000	2019/05/28	2019/09/03	14.00
19246	SG Issuer – Galaxy Ent European Warrants Nov 2019 A	60,000,000	2019/05/28	2019/11/13	14.40
19249	SG Issuer – HKEX European Warrants Oct 2019 A	200,000,000	2019/05/28	2019/10/28	30.00
19251	SG Issuer – Lenovo European Warrants Sep 2019 B	35,000,000	2019/05/28	2019/09/25	10.15
19253	SG Issuer – Ping An European Warrants Aug 2019 D	80,000,000	2019/05/28	2019/08/27	26.80
19254	SG Issuer – Sands China Ltd European Warrants Nov 2019 B	60,000,000	2019/05/28	2019/11/22	12.00
19192	SG Issuer – Tencent European Warrants Sep 2019 L	200,000,000	2019/05/28	2019/09/25	30.00
19194	SG Issuer – Tencent European Warrants Dec 2019 C	200,000,000	2019/05/28	2019/12/27	30.00
19215	UBS AG – BYD Company European Warrants Nov 2019 A	30,000,000	2019/05/28	2019/11/27	11.31
19216	UBS AG – Galaxy Ent European Warrants Nov 2019 A	60,000,000	2019/05/28	2019/11/27	22.56

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
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19212	UBS AG – Ping An European Warrants Aug 2019 C	100,000,000	2019/05/28	2019/08/27	23.70
19235	Bank Vontobel – BYD Company European Warrants Dec 2019 A	30,000,000	2019/05/28	2019/12/02	13.23
19259	BOCI Asia Ltd. – SMIC European Warrants Dec 2019 A	70,000,000	2019/05/29	2019/12/16	10.50
19289	Credit Suisse AG – China Cinda European Warrants Sep 2019 B	70,000,000	2019/05/29	2019/09/25	10.50
19287	Credit Suisse AG – CK Asset European Warrants Nov 2019 A	40,000,000	2019/05/29	2019/11/28	10.00
19288	Credit Suisse AG – CKH Holdings European Warrants Nov 2019 A	40,000,000	2019/05/29	2019/11/22	10.00
19290	Credit Suisse AG – China Taiping European Warrants Nov 2019B	40,000,000	2019/05/29	2019/11/28	10.00
19286	Credit Suisse AG – Tencent European Wts Sep 2019 I	250,000,000	2019/05/29	2019/09/25	37.50
19270	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Nov 2019 A	80,000,000	2019/05/29	2019/11/29	21.68
19272	Goldman Sachs SP (Asia) – HKEX European Put Wts Nov 2019 C	100,000,000	2019/05/29	2019/11/26	15.00
19293	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2019 M	150,000,000	2019/05/29	2019/09/27	22.50
19274	Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Aug 2019B	80,000,000	2019/05/29	2019/08/29	12.24
19273	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2019 M	100,000,000	2019/05/29	2019/09/04	15.00
19262	J P Morgan SP BV – China Tower European Warrants Nov 2019 D	200,000,000	2019/05/29	2019/11/22	32.40
19269	J P Morgan SP BV – HSBC European Warrants Nov 2019 D	100,000,000	2019/05/29	2019/11/29	25.00
19265	J P Morgan SP BV – Lenovo European Put Warrants Nov 2019 A	50,000,000	2019/05/29	2019/11/29	16.15
19261	Macquarie Bank Ltd. – CKH Holdings European Wts Mar 2020 A	20,000,000	2019/05/29	2020/03/03	10.02
19282	SG Issuer – CITIC Bank European Warrants Nov 2019 A	40,000,000	2019/05/29	2019/11/29	11.00
19283	SG Issuer – HSBC European Warrants Oct 2019 B	60,000,000	2019/05/29	2019/10/28	19.20
19280	SG Issuer – Sunny Optical European Put Warrants Nov 2019 A	13,000,000	2019/05/29	2019/11/29	10.01
19284	SG Issuer – Yanzhou Coal Eu Wt Feb 2020 A	120,000,000	2019/05/29	2020/02/13	18.00
19285	SG Issuer – ZA Online European Warrants Nov 2019 A	30,000,000	2019/05/29	2019/11/29	10.05
19256	UBS AG – Sinopec Corp European Warrants Nov 2019 A	70,000,000	2019/05/29	2019/11/25	10.50
19255	UBS AG – HSI European Put Warrants Sep 2019 G	300,000,000	2019/05/29	2019/09/27	45.00
19258	UBS AG – Lenovo European Warrants Sep 2019 C	50,000,000	2019/05/29	2019/09/25	10.35
19276	Bank Vontobel – AIA European Warrants Oct 2019 B	25,000,000	2019/05/29	2019/10/28	10.78
19277	Bank Vontobel – China Shenhua European Warrants Jan 2020 A	40,000,000	2019/05/29	2020/01/06	10.04
19278	Bank Vontobel – Greatwall Motor European Warrants Dec 2019 A	20,000,000	2019/05/29	2019/12/05	11.02
19275	Bank Vontobel – Xiaomi European Warrants Dec 2019 A	40,000,000	2019/05/29	2019/12/04	10.04
19327	BOCI Asia Ltd. – AIA European Warrants Dec 2019 A	200,000,000	2019/05/30	2019/12/20	30.00
19323	BOCI Asia Ltd. – PetroChina European Warrants Nov 2019 B	70,000,000	2019/05/30	2019/11/22	12.39
19328	BOCI Asia Ltd. – Ping An European Warrants Dec 2019 B	200,000,000	2019/05/30	2019/12/20	30.00
19329	BOCI Asia Ltd. – Ping An European Put Warrants Sep 2019 E	80,000,000	2019/05/30	2019/09/25	12.16
19319	BOCI Asia Ltd. – Tencent European Wts Sep 2019 L	200,000,000	2019/05/30	2019/09/25	42.80
19321	BOCI Asia Ltd. – Tencent European Wts Nov 2019 C	200,000,000	2019/05/30	2019/11/25	40.60
19322	BOCI Asia Ltd. – Tencent European Put Wts Feb 2020 A	200,000,000	2019/05/30	2020/02/25	30.20
19352	Credit Suisse AG – AIA European Warrants Oct 2019 A	70,000,000	2019/05/30	2019/10/28	21.00
19353	Credit Suisse AG – CSPC Pharma European Warrants Nov 2019 B	60,000,000	2019/05/30	2019/11/26	10.02
19349	Credit Suisse AG – Tencent European Wts Aug 2019 E	250,000,000	2019/05/30	2019/08/29	37.50
19351	Credit Suisse AG – Tencent Euro Put Wts Sep 2019 J	100,000,000	2019/05/30	2019/09/04	15.00
19360	Goldman Sachs SP (Asia) – AAC Tech Euro Wts Dec 2019 C	50,000,000	2019/05/30	2019/12/02	12.50
19359	Goldman Sachs SP (Asia) – AIA European Warrants Aug 2019 G	80,000,000	2019/05/30	2019/08/30	32.32
19357	Goldman Sachs SP (Asia) – Tencent European Wt Aug 2019 H	150,000,000	2019/05/30	2019/08/30	25.80
19354	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 N	150,000,000	2019/05/30	2019/09/25	24.75

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19358	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2020 A	100,000,000	2019/05/30	2020/02/25	15.00
19333	J P Morgan SP BV – AAC Tech European Warrants Dec 2019 E	60,000,000	2019/05/30	2019/12/31	22.92
19331	J P Morgan SP BV – Bank of China European Wts Dec 2019 A	100,000,000	2019/05/30	2019/12/20	15.20
19318	J P Morgan SP BV – BYD Company European Warrants Dec 2019 A	50,000,000	2019/05/30	2019/12/02	12.60
19332	J P Morgan SP BV – CC Bank European Wts Nov 2019 B	100,000,000	2019/05/30	2019/11/22	15.70
19307	J P Morgan SP BV – Cathay Pacific European Wts Dec 2019 A	35,000,000	2019/05/30	2019/12/31	10.19
19344	J P Morgan SP BV – Chinares Cement Euro Warrants Feb 2020 A	50,000,000	2019/05/30	2020/02/28	12.55
19334	J P Morgan SP BV – Chinasoft Int'l European Warrants Dec19 A	40,000,000	2019/05/30	2019/12/02	10.00
19306	J P Morgan SP BV – HSI European Warrants Jan 2020 A	400,000,000	2019/05/30	2020/01/30	100.80
19346	J P Morgan SP BV – HSI European Put Warrants Dec 2019 D	400,000,000	2019/05/30	2019/12/30	102.00
19313	J P Morgan SP BV – Ping An European Warrants Sep 2019 D	200,000,000	2019/05/30	2019/09/02	32.40
19330	J P Morgan SP BV – Ping An European Warrants Dec 2019 D	1,000,000,000	2019/05/30	2019/12/31	151.00
19339	J P Morgan SP BV – SMIC European Wts Jan 2020 A	100,000,000	2019/05/30	2020/01/31	25.20
19312	J P Morgan SP BV – Tencent European Warrants Aug 2019 D	300,000,000	2019/05/30	2019/08/30	69.60
19311	J P Morgan SP BV – Tencent European Warrants Sep 2019 N	300,000,000	2019/05/30	2019/09/25	56.10
19308	J P Morgan SP BV – Tencent European Warrants Oct 2019 F	150,000,000	2019/05/30	2019/10/25	35.85
19310	J P Morgan SP BV – Tencent European Warrants Nov 2019 E	300,000,000	2019/05/30	2019/11/19	69.60
19342	J P Morgan SP BV – Tencent European Put Warrants Sep 2019 O	300,000,000	2019/05/30	2019/09/02	45.90
19340	J P Morgan SP BV – Tencent European Put Warrants Feb 2020 B	300,000,000	2019/05/30	2020/02/28	45.90
19347	J P Morgan SP BV – ZTE European Warrants Dec 2019 A	50,000,000	2019/05/30	2019/12/02	12.70
19296	Macquarie Bank Ltd. – Mapleleaf Edu European Wts Jun 2021 A	36,000,000	2019/05/30	2021/06/16	10.04
19300	Macquarie Bank Ltd. – China Tower European Warrants Jun 21 A	18,000,000	2019/05/30	2021/06/02	10.04
19295	Macquarie Bank Ltd. – Meituan European Warrants May 2021 A	60,000,000	2019/05/30	2021/05/04	27.42
19298	Macquarie Bank Ltd. – Sh Petrochem European Wts May 2020 A	19,000,000	2019/05/30	2020/05/18	10.01
19299	Macquarie Bank Ltd. – Xiaomi European Warrants Jun 2021 A	31,000,000	2019/05/30	2021/06/02	10.01
19368	SG Issuer – AAC Tech European Put Warrants Nov 2019 C	30,000,000	2019/05/30	2019/11/29	10.05
19370	SG Issuer – Geely Auto European Warrants Sep 2019 E	80,000,000	2019/05/30	2019/09/03	12.00
19369	SG Issuer – Galaxy Ent European Warrants Nov 2019 B	40,000,000	2019/05/30	2019/11/29	13.40
19372	SG Issuer – Tencent European Warrants Aug 2019 G	200,000,000	2019/05/30	2019/08/29	43.00
19366	SG Issuer – Tencent European Warrants Sep 2019 M	200,000,000	2019/05/30	2019/09/18	32.00
19367	SG Issuer – Tencent European Warrants Nov 2019 C	200,000,000	2019/05/30	2019/11/19	52.00
19373	SG Issuer – Tencent European Put Warrants Aug 2019 H	200,000,000	2019/05/30	2019/08/29	30.00
19375	SG Issuer – Xiaomi European Warrants Nov 2019 A	60,000,000	2019/05/30	2019/11/29	15.00
19378	SG Issuer – Xiaomi European Put Warrants Nov 2019 B	25,000,000	2019/05/30	2019/11/29	10.00
19302	UBS AG – Galaxy Ent European Put Warrants Aug 2019 A	70,000,000	2019/05/30	2019/08/29	10.50
19294	UBS AG – HSI European Warrants Dec 2019 C	300,000,000	2019/05/30	2019/12/30	45.00
19303	UBS AG – Tencent European Warrants Sep 2019 J	300,000,000	2019/05/30	2019/09/25	72.90
19304	UBS AG – Tencent European Put Warrants Aug 2019 D	300,000,000	2019/05/30	2019/08/29	45.00
19362	Bank Vontobel – HSI European Put Warrants Sep 2019 F	100,000,000	2019/05/30	2019/09/27	16.60
19365	Bank Vontobel – HSI European Put Warrants Sep 2019 G	100,000,000	2019/05/30	2019/09/27	15.20
19423	Credit Suisse AG – AAC Tech European Warrants Nov 2019 A	30,000,000	2019/05/31	2019/11/22	10.20
19425	Credit Suisse AG – AAC Tech European Put Warrants Dec 2019 B	40,000,000	2019/05/31	2019/12/02	10.00
19430	Credit Suisse AG – Ping An European Put Warrants Sep 2019 G	100,000,000	2019/05/31	2019/09/25	15.00
19426	Credit Suisse AG – Sunny Optical European Put Wts Dec 2019 D	30,000,000	2019/05/31	2019/12/02	10.20

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19421	Credit Suisse AG – Tencent European Wts Dec 2019 B	250,000,000	2019/05/31	2019/12/24	37.50
19420	Credit Suisse AG – Tencent Euro Put Wts Feb 2020 A	200,000,000	2019/05/31	2020/02/25	30.00
19410	Goldman Sachs SP (Asia) – AAC Tech Euro Wts Nov 2019 A	50,000,000	2019/05/31	2019/11/22	12.35
19416	Goldman Sachs SP (Asia) – Meituan European Warrants Sep 19 B	40,000,000	2019/05/31	2019/09/25	10.00
19411	Goldman Sachs SP (Asia) – Ping An European Wts Sep 2019 G	100,000,000	2019/05/31	2019/09/23	15.00
19412	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Sep 2019 H	80,000,000	2019/05/31	2019/09/25	12.00
19414	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2019 D	150,000,000	2019/05/31	2019/11/19	25.05
19419	Haitong Int'l Sec – Tencent European Warrants Aug 2019 A	80,000,000	2019/05/31	2019/08/30	17.60
19417	Haitong Int'l Sec – Tencent European Put Warrants Mar 2020 A	80,000,000	2019/05/31	2020/03/02	12.00
19399	J P Morgan SP BV – CHALCO European Warrants Sep 2019 B	70,000,000	2019/05/31	2019/09/30	10.57
19404	J P Morgan SP BV – BYD Company European Put Wts Dec 2019 B	50,000,000	2019/05/31	2019/12/02	13.05
19402	J P Morgan SP BV – CITIC Sec European Warrants Dec 2019 C	50,000,000	2019/05/31	2019/12/31	12.55
19401	J P Morgan SP BV – CLP Holdings European Warrants Sep 2019 A	70,000,000	2019/05/31	2019/09/20	11.34
19400	J P Morgan SP BV – Ch Overseas European Warrants Jan 2020 A	80,000,000	2019/05/31	2020/01/31	20.08
19389	J P Morgan SP BV – China Tower European Warrants Dec 2019 E	200,000,000	2019/05/31	2019/12/31	50.20
19409	J P Morgan SP BV – China Tower European Put Wts Dec 2019 F	200,000,000	2019/05/31	2019/12/24	30.00
19390	J P Morgan SP BV – Galaxy Ent European Put Wts Dec 2019 B	100,000,000	2019/05/31	2019/12/02	25.30
19398	J P Morgan SP BV – GF Sec European Warrants Dec 2019 A	80,000,000	2019/05/31	2019/12/31	20.00
19388	J P Morgan SP BV – HSCEI European Put Warrants Mar 2020 A	200,000,000	2019/05/31	2020/03/30	50.40
19408	J P Morgan SP BV – Meituan European Put Warrants Dec 2019 C	40,000,000	2019/05/31	2019/12/02	14.88
19407	J P Morgan SP BV – Sands China Ltd European Warrants Dec 19 A	80,000,000	2019/05/31	2019/12/31	20.32
19397	J P Morgan SP BV – WH Group European Put Warrants Dec 2019 D	60,000,000	2019/05/31	2019/12/31	15.00
19379	Macquarie Bank Ltd. – Geely Auto European Wts Jan 2020 B	30,000,000	2019/05/31	2020/01/03	10.08
19383	Macquarie Bank Ltd. – Geely Auto European Put Wts Dec 2019 D	39,000,000	2019/05/31	2019/12/03	10.06
19386	UBS AG – AAC Tech European Warrants Nov 2019 B	40,000,000	2019/05/31	2019/11/25	11.24
19384	UBS AG – AIA European Warrants Dec 2019 A	200,000,000	2019/05/31	2019/12/18	30.00
19387	UBS AG – Sands China Ltd European Warrants Nov 2019 A	70,000,000	2019/05/31	2019/11/25	10.50
19385	UBS AG – Tencent European Warrants Aug 2019 E	300,000,000	2019/05/31	2019/08/30	60.00
19436	BOCI Asia Ltd. – Sands China Ltd European Warrants Nov 2019 A	100,000,000	2019/06/03	2019/11/22	15.40
19460	Credit Suisse AG – China Tower European Put Wts Dec 2019 C	70,000,000	2019/06/03	2019/12/24	10.50
19468	Credit Suisse AG – HSI European Put Warrants Sep 2019 E	200,000,000	2019/06/03	2019/09/27	30.00
19462	Credit Suisse AG – Tencent European Wts Sep 2019 K	250,000,000	2019/06/03	2019/09/02	37.50
19464	Credit Suisse AG – Tencent European Wts Sep 2019 L	250,000,000	2019/06/03	2019/09/02	75.00
19461	Credit Suisse AG – Wynn Macau European Warrants Dec 2019 A	60,000,000	2019/06/03	2019/12/20	10.02
19515	Goldman Sachs SP (Asia) – BYD Company Euro Wts Nov 2019 A	50,000,000	2019/06/03	2019/11/22	11.30
19508	Goldman Sachs SP (Asia) – CC Bank European Wts Nov 2019 B	80,000,000	2019/06/03	2019/11/14	12.00
19517	Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2019 B	150,000,000	2019/06/03	2019/10/28	22.50
19512	Goldman Sachs SP (Asia) – PetroChina European Wts Dec 2019 A	80,000,000	2019/06/03	2019/12/20	12.00
19503	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 O	150,000,000	2019/06/03	2019/09/18	22.50
19480	Haitong Int'l Sec – Xiaomi European Warrants Nov 2019 A	70,000,000	2019/06/03	2019/11/20	10.50
19475	J P Morgan SP BV – CKH Holdings European Warrants Dec 2019 A	60,000,000	2019/06/03	2019/12/31	18.78
19473	J P Morgan SP BV – China Lit European Warrants Dec 2019 A	40,000,000	2019/06/03	2019/12/03	10.24
19476	J P Morgan SP BV – A50 China European Warrants Mar 2020 B	100,000,000	2019/06/03	2020/03/31	25.90
19469	J P Morgan SP BV – HSCEI European Wts Mar 2020 B	200,000,000	2019/06/03	2020/03/30	50.20

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19477	J P Morgan SP BV – HSI European Warrants Sep 2019 H	400,000,000	2019/06/03	2019/09/27	63.20
19472	J P Morgan SP BV – Lenovo European Warrants Dec 2019 A	40,000,000	2019/06/03	2019/12/03	13.52
19474	J P Morgan SP BV – Maanshan Iron European Warrants Dec 2019A	40,000,000	2019/06/03	2019/12/31	10.36
10052	J P Morgan SP BV – N225 Index Euro Put Wts Dec 2019 B	100,000,000	2019/06/03	2019/12/13	25.50
19471	J P Morgan SP BV – Ping An European Warrants Oct 2019 D	100,000,000	2019/06/03	2019/10/31	35.70
19432	J P Morgan SP BV – Tencent European Warrants Oct 2019 G	300,000,000	2019/06/03	2019/10/28	45.60
19433	J P Morgan SP BV – Tencent European Warrants Nov 2019 F	300,000,000	2019/06/03	2019/11/22	45.60
19434	J P Morgan SP BV – Tencent European Warrants Dec 2019 B	300,000,000	2019/06/03	2019/12/24	45.90
19445	Macquarie Bank Ltd. – Ch Molybdenum European Wts Jan 2020 A	40,000,000	2019/06/03	2020/01/03	10.60
19439	Macquarie Bank Ltd. – CPIC European Warrants Feb 2020 A	38,000,000	2019/06/03	2020/02/04	10.03
19451	Macquarie Bank Ltd. – China CRSC European Warrants Jun 2020A	35,500,000	2019/06/03	2020/06/12	10.08
19452	Macquarie Bank Ltd. – China Unicom European Wts Jan 2020 A	17,000,000	2019/06/03	2020/01/03	10.17
19450	Macquarie Bank Ltd. – Xiaomi European Warrants Jan 2020 A	7,500,000	2019/06/03	2020/01/03	10.09
19446	Macquarie Bank Ltd. – ZA Online European Warrants May 2020 A	23,000,000	2019/06/03	2020/05/18	10.07
19486	SG Issuer – China Life European Warrants Dec 2019 B	120,000,000	2019/06/03	2019/12/19	18.00
19488	SG Issuer – CSPC Pharma European Warrants Nov 2019 B	70,000,000	2019/06/03	2019/11/26	10.50
19499	SG Issuer – HSI European Warrants Nov 2019 C	300,000,000	2019/06/03	2019/11/28	45.00
19489	SG Issuer – Lenovo European Warrants Dec 2019 B	25,000,000	2019/06/03	2019/12/03	11.25
10054	SG Issuer – Nikkei 225 European Warrants Dec 2019 B	100,000,000	2019/06/03	2019/12/13	25.00
10066	SG Issuer – Nikkei 225 European Warrants Mar 2020 A	100,000,000	2019/06/03	2020/03/13	25.00
10059	SG Issuer – Nikkei 225 European Put Warrants Dec 2019 C	100,000,000	2019/06/03	2019/12/13	25.00
10073	SG Issuer – Nikkei 225 European Put Warrants Mar 2020 B	100,000,000	2019/06/03	2020/03/13	25.00
19490	SG Issuer – PetroChina European Warrants Dec 2019 A	40,000,000	2019/06/03	2019/12/02	10.00
19502	SG Issuer – SMIC European Warrants Dec 2019 B	100,000,000	2019/06/03	2019/12/17	15.00
19491	SG Issuer – Tencent European Warrants Sep 2019 N	200,000,000	2019/06/03	2019/09/02	65.00
19493	SG Issuer – Tencent European Warrants Sep 2019 O	200,000,000	2019/06/03	2019/09/25	33.00
19494	SG Issuer – Tencent European Warrants Dec 2019 D	200,000,000	2019/06/03	2019/12/24	30.00
19496	SG Issuer – Tencent European Put Warrants Feb 2020 B	200,000,000	2019/06/03	2020/02/25	30.00
19497	SG Issuer – WH Group European Warrants Dec 2019 A	100,000,000	2019/06/03	2019/12/19	15.00
19456	UBS AG – HKEX European Put Warrants Nov 2019 B	70,000,000	2019/06/03	2019/11/26	10.50
19453	UBS AG – HSI European Warrants Sep 2019 H	300,000,000	2019/06/03	2019/09/27	48.00
19457	UBS AG – Tencent European Put Warrants Sep 2019 K	150,000,000	2019/06/03	2019/09/04	35.55
19481	Bank Vontobel – Tencent European Warrants Sep 2019 F	100,000,000	2019/06/03	2019/09/25	15.10
19485	Bank Vontobel – Tencent European Put Warrants Sep 2019 G	100,000,000	2019/06/03	2019/09/04	22.10
19482	Bank Vontobel – Tencent European Put Warrants Feb 2020 A	100,000,000	2019/06/03	2020/02/25	15.10
10074	BNP Paribas Issuance B.V. – AUD/USD European Wts Dec 2019 A	50,000,000	2019/06/04	2019/12/20	12.50
10075	BNP Paribas Issuance B.V. – AUD/USD Euro Put Wts Dec 2019 B	50,000,000	2019/06/04	2019/12/20	12.50
19519	BNP Paribas Issuance B.V. – CSOP A50 ETF Eu Wts Apr 2020 A	100,000,000	2019/06/04	2020/04/02	25.00
19518	BNP Paribas Issuance B.V. – HKEX European Wts Nov 2019 C	80,000,000	2019/06/04	2019/11/04	12.00
19576	Credit Suisse AG – HKEX European Put Warrants Nov 2019 B	70,000,000	2019/06/04	2019/11/26	10.50
19577	Credit Suisse AG – Maanshan Iron European Warrants Dec2019 A	30,000,000	2019/06/04	2019/12/03	10.20
19548	Goldman Sachs SP (Asia) – Bank of China Euro Wts Nov 2019 B	80,000,000	2019/06/04	2019/11/22	12.00
19549	Goldman Sachs SP (Asia) – CPIC European Warrants Sep 2019 B	70,000,000	2019/06/04	2019/09/23	10.50
19555	Goldman Sachs SP (Asia) – China Tower Euro Wts Dec 2019 A	80,000,000	2019/06/04	2019/12/20	12.00

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19551	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts Sep 2019 A	80,000,000	2019/06/04	2019/09/04	12.00
19556	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 P	150,000,000	2019/06/04	2019/09/04	52.80
19544	J P Morgan SP BV – AIA European Put Warrants Dec 2019 A	100,000,000	2019/06/04	2019/12/03	26.10
19532	J P Morgan SP BV – Minsheng Bank European Wts Nov 2019 A	50,000,000	2019/06/04	2019/11/26	15.55
19542	J P Morgan SP BV – Evergrande European Put Warrants Dec 19 A	50,000,000	2019/06/04	2019/12/03	12.50
19543	J P Morgan SP BV – Kingsoft European Put Warrants Oct 2019 B	70,000,000	2019/06/04	2019/10/31	10.64
19536	J P Morgan SP BV – Tencent European Put Warrants Sep 2019 P	30,000,000	2019/06/04	2019/09/24	16.47
19541	J P Morgan SP BV – China Vanke European Warrants Dec 2019 A	50,000,000	2019/06/04	2019/12/03	12.85
19545	J P Morgan SP BV – Yanzhou Coal European Warrants Jan 2020 A	50,000,000	2019/06/04	2020/01/10	12.55
19524	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 H	40,000,000	2019/06/04	2019/12/24	10.00
19525	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 I	40,000,000	2019/06/04	2019/12/24	14.44
19526	Macquarie Bank Ltd. – Tencent European Warrants Dec 2019 J	40,000,000	2019/06/04	2019/12/24	20.44
19567	SG Issuer – CM Bank European Warrants Oct 2019 B	70,000,000	2019/06/04	2019/10/24	10.50
19570	SG Issuer – Minsheng Bank European Warrants Nov 2019 A	40,000,000	2019/06/04	2019/11/28	10.80
19562	SG Issuer – CPIC European Warrants Sep 2019 B	60,000,000	2019/06/04	2019/09/23	10.20
19520	SG Issuer – Geely Auto European Put Warrants Dec 2019 B	80,000,000	2019/06/04	2019/12/19	12.00
19563	SG Issuer – Greatwall Motor European Warrants Apr 2020 A	80,000,000	2019/06/04	2020/04/29	20.00
19571	SG Issuer – HKEX European Warrants Sep 2019 K	200,000,000	2019/06/04	2019/09/27	30.00
19575	SG Issuer – HSI European Put Warrants Sep 2019 L	300,000,000	2019/06/04	2019/09/27	45.00
19523	SG Issuer – Kingsoft European Warrants Nov 2019 A	60,000,000	2019/06/04	2019/11/26	10.50
19565	SG Issuer – Ping An European Warrants Sep 2019 F	80,000,000	2019/06/04	2019/09/24	16.00
19573	SG Issuer – Tencent European Warrants Sep 2019 P	200,000,000	2019/06/04	2019/09/25	49.00
19527	UBS AG – China Mobile European Warrants Nov 2019 A	100,000,000	2019/06/04	2019/11/22	23.70
19528	UBS AG – PetroChina European Warrants Dec 2019 A	70,000,000	2019/06/04	2019/12/20	12.04
19582	BOCI Asia Ltd. – Tencent European Wts Sep 2019 M	200,000,000	2019/06/05	2019/09/05	74.00
19584	BOCI Asia Ltd. – Tencent European Put Wts Sep 2019 N	20,000,000	2019/06/05	2019/09/24	16.40
19606	Credit Suisse AG – Country Garden European Warrants Feb 20 B	60,000,000	2019/06/05	2020/02/21	10.02
19604	Credit Suisse AG – Evergrande European Warrants Dec 2019 A	40,000,000	2019/06/05	2019/12/04	10.00
19626	Goldman Sachs SP (Asia) – HKEX European Warrants Dec 2019 D	150,000,000	2019/06/05	2019/12/20	22.50
19628	Goldman Sachs SP (Asia) – ICBC European Warrants Dec 2019 A	80,000,000	2019/06/05	2019/12/20	12.00
19627	Goldman Sachs SP (Asia) – Lenovo European Wts Dec 2019 A	60,000,000	2019/06/05	2019/12/05	15.00
19629	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2021 A	300,000,000	2019/06/05	2021/01/22	45.00
19630	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Sep 2019 I	80,000,000	2019/06/05	2019/09/23	12.00
19590	J P Morgan SP BV – China Mobile European Wts Dec 2019 A	100,000,000	2019/06/05	2019/12/31	25.20
19600	J P Morgan SP BV – Sinopec Corp Eu Put Wt Dec 2019 A	100,000,000	2019/06/05	2019/12/04	25.30
19593	J P Morgan SP BV – Kunlun Energy European Warrants Dec 2019A	40,000,000	2019/06/05	2019/12/04	10.64
19595	J P Morgan SP BV – Sands China Ltd European Put Wts Dec 19 B	80,000,000	2019/06/05	2019/12/04	20.00
19601	J P Morgan SP BV – SHK Ppt European Warrants Dec 2019 A	60,000,000	2019/06/05	2019/12/04	16.50
19602	J P Morgan SP BV – SHK Ppt European Put Warrants Oct 2019 B	60,000,000	2019/06/05	2019/10/30	13.08
19581	Macquarie Bank Ltd. – BankComm European Warrants Mar 2020 A	22,000,000	2019/06/05	2020/03/03	10.01
19578	Macquarie Bank Ltd. – China Lit European Warrants Apr 2020 A	17,500,000	2019/06/05	2020/04/17	10.13
19580	Macquarie Bank Ltd. – IGG European Warrants May 2020 A	27,000,000	2019/06/05	2020/05/15	10.02
19619	SG Issuer – BOC HK European Warrants Dec 2019 B	40,000,000	2019/06/05	2019/12/31	10.00
19620	SG Issuer – CITIC Sec European Warrants Nov 2019 A	50,000,000	2019/06/05	2019/11/28	12.00

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Code	Derivative warrants	No. of issue		Expiry	Amount raised (HK\$ mil.)
		(units)	Listing date		
19624	SG Issuer – CKH Holdings European Warrants Dec 2019 A	30,000,000	2019/06/05	2019/12/04	10.05
19616	SG Issuer – HKEX European Put Warrants Nov 2019 B	70,000,000	2019/06/05	2019/11/26	10.50
19617	SG Issuer – Sands China Ltd European Warrants Oct 2019 A	60,000,000	2019/06/05	2019/10/28	15.90
19625	SG Issuer – SHK Ppt European Warrants Dec 2019 A	100,000,000	2019/06/05	2019/12/20	16.00
19586	UBS AG – Ping An European Warrants Sep 2019 D	100,000,000	2019/06/05	2019/09/24	16.20
19609	Bank Vontobel – CKH Holdings European Warrants Dec 2019 A	25,000,000	2019/06/05	2019/12/05	10.03
19608	Bank Vontobel – Minsheng Bank European Warrants Jan 2020 A	20,000,000	2019/06/05	2020/01/07	10.02
19607	Bank Vontobel – GAC European Warrants Apr 2020 A	70,000,000	2019/06/05	2020/04/20	10.57
19612	Bank Vontobel – Kingsoft European Warrants Oct 2019 A	50,000,000	2019/06/05	2019/10/02	10.00
19611	Bank Vontobel – Sands China Ltd European Warrants Jan 2020 B	40,000,000	2019/06/05	2020/01/07	10.20
19684	BOCI Asia Ltd. – AAC Tech European Warrants Nov 2019 A	30,000,000	2019/06/06	2019/11/22	12.81
19683	BOCI Asia Ltd. – Bank of China European Warrants Nov 2019 B	80,000,000	2019/06/06	2019/11/22	12.00
19686	BOCI Asia Ltd. – HSCEI European Put Warrants Mar 2020 A	100,000,000	2019/06/06	2020/03/30	16.00
19673	BOCI Asia Ltd. – HSI European Warrants Sep 2019 F	200,000,000	2019/06/06	2019/09/27	34.00
19671	BOCI Asia Ltd. – HSI European Warrants Nov 2019 A	200,000,000	2019/06/06	2019/11/28	30.00
19672	BOCI Asia Ltd. – HSI European Warrants Nov 2019 B	200,000,000	2019/06/06	2019/11/28	30.00
19674	BOCI Asia Ltd. – HSI European Put Warrants Sep 2019 G	200,000,000	2019/06/06	2019/09/27	32.00
19677	BOCI Asia Ltd. – HSI European Put Warrants Sep 2019 H	200,000,000	2019/06/06	2019/09/27	30.00
19680	BOCI Asia Ltd. – HSI European Put Warrants Dec 2019 B	200,000,000	2019/06/06	2019/12/30	38.00
19685	BOCI Asia Ltd. – Sunny Optical European Warrants Nov 2019 A	20,000,000	2019/06/06	2019/11/26	11.20
19655	BNP Paribas Issuance B.V. – China Mobile Euro Wts Dec 2019 C	70,000,000	2019/06/06	2019/12/03	11.20
19656	BNP Paribas Issuance B.V. – China Mobile Euro Wts Feb 2020 A	40,000,000	2019/06/06	2020/02/04	12.00
19637	BNP Paribas Issuance B.V. – CNOOC European Wts Mar 2020 A	50,000,000	2019/06/06	2020/03/03	10.00
19657	BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Jan 2020 A	50,000,000	2019/06/06	2020/01/03	12.50
19635	BNP Paribas Issuance B.V. – SUNAC European Wts Jan 2020 A	40,000,000	2019/06/06	2020/01/03	14.80
19649	BNP Paribas Issuance B.V. – Tencent European Wts Dec 2019 G	80,000,000	2019/06/06	2019/12/03	16.00
19650	BNP Paribas Issuance B.V. – Tencent European Wts Jan 2020 H	80,000,000	2019/06/06	2020/01/03	20.00
19651	BNP Paribas Issuance B.V. – Tencent European Wts Apr 2020 C	80,000,000	2019/06/06	2020/04/02	20.00
19693	Credit Suisse AG – CRRC European Warrants Nov 2020 A	50,000,000	2019/06/06	2020/11/30	12.50
19691	Credit Suisse AG – HSI European Warrants Dec 2019 B	200,000,000	2019/06/06	2019/12/30	30.00
19697	Goldman Sachs SP (Asia) – AIA European Warrants Oct 2019 C	80,000,000	2019/06/06	2019/10/28	22.24
19710	Goldman Sachs SP (Asia) – CC Bank European Wts Nov 2019 C	80,000,000	2019/06/06	2019/11/12	12.00
19714	Goldman Sachs SP (Asia) – China Unicom Euro Wts Dec 2020 A	100,000,000	2019/06/06	2020/12/17	19.40
19700	Goldman Sachs SP (Asia) – HKEX European Put Wts Sep 2019 O	100,000,000	2019/06/06	2019/09/25	15.00
19713	Goldman Sachs SP (Asia) – Sands China Ltd Euro Wts Oct 2019A	80,000,000	2019/06/06	2019/10/28	13.12
19663	HK Bank – Sinopec Corp European Warrants Dec 2019 A	40,000,000	2019/06/06	2019/12/31	10.00
19665	HK Bank – Galaxy Ent European Warrants Dec 2019 A	40,000,000	2019/06/06	2019/12/31	10.40
19662	HK Bank – HSI European Warrants Dec 2019 C	150,000,000	2019/06/06	2019/12/30	22.50
19660	HK Bank – Tencent European Warrants Oct 2019 D	80,000,000	2019/06/06	2019/10/02	12.00
19658	HK Bank – Tencent European Warrants Dec 2019 B	80,000,000	2019/06/06	2019/12/31	12.00
19659	HK Bank – Tencent European Put Warrants Dec 2019 C	80,000,000	2019/06/06	2019/12/31	20.00
19661	HK Bank – Tencent European Put Warrants Feb 2020 A	80,000,000	2019/06/06	2020/02/28	12.00
19666	J P Morgan SP BV – CC Bank European Put Wts Dec 2019 A	100,000,000	2019/06/06	2019/12/05	25.20
19667	J P Morgan SP BV – Evergrande European Warrants Dec 2019 B	50,000,000	2019/06/06	2019/12/31	12.75



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19644	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2019 K	40,000,000	2019/06/06	2019/12/24	10.12
19645	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2019 L	40,000,000	2019/06/06	2019/12/24	16.36
19687	SG Issuer – AIA European Warrants Nov 2019 A	40,000,000	2019/06/06	2019/11/13	10.00
19688	SG Issuer – ZTE European Warrants Nov 2019 A	50,000,000	2019/06/06	2019/11/25	11.00
19648	UBS AG – CKH Holdings European Warrants Dec 2019 A	70,000,000	2019/06/06	2019/12/16	17.50
19647	UBS AG – China Mobile European Put Warrants Nov 2019 B	100,000,000	2019/06/06	2019/11/26	22.50
19643	Bank Vontobel – AAC Tech European Warrants Sep 2020 A	70,000,000	2019/06/06	2020/09/28	10.50
19640	Bank Vontobel – Galaxy Ent European Warrants Jan 2020 A	30,000,000	2019/06/06	2020/01/02	13.38
19642	Bank Vontobel – Galaxy Ent European Put Warrants Dec 2019 B	30,000,000	2019/06/06	2019/12/09	11.58
19638	Bank Vontobel – HK & China Gas European Warrants Jul 2020 A	40,000,000	2019/06/06	2020/07/06	10.00
19728	BOCI Asia Ltd. – China Mobile European Warrants Dec 2019 A	60,000,000	2019/06/10	2019/12/10	16.08
19730	BOCI Asia Ltd. – China Mobile European Put Wts Nov 2019 B	60,000,000	2019/06/10	2019/11/22	13.74
19727	BOCI Asia Ltd. – Sinopec Corp European Warrants Nov 2019 A	70,000,000	2019/06/10	2019/11/22	10.99
19751	Credit Suisse AG – China Mobile European Warrants Nov 2019 B	60,000,000	2019/06/10	2019/11/22	10.02
19752	Credit Suisse AG – Tencent European Wts Nov 2019 D	250,000,000	2019/06/10	2019/11/26	45.00
19771	Goldman Sachs SP (Asia) – Agricultural Bank Eur Wt Dec 2019C	70,000,000	2019/06/10	2019/12/20	10.50
19767	Goldman Sachs SP (Asia) – AIA European Warrants Dec 2019 A	150,000,000	2019/06/10	2019/12/18	22.50
19769	Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2019 B	80,000,000	2019/06/10	2019/10/28	12.40
19766	Goldman Sachs SP (Asia) – Tencent European Wt Sep 2019 Q	150,000,000	2019/06/10	2019/09/25	33.45
19745	J P Morgan SP BV – AIA European Warrants Sep 2019 B	100,000,000	2019/06/10	2019/09/30	28.20
19738	J P Morgan SP BV – AIA European Warrants Jun 2020 A	300,000,000	2019/06/10	2020/06/23	45.90
19746	J P Morgan SP BV – CK Asset European Put Warrants Dec 2019 A	60,000,000	2019/06/10	2019/12/09	15.12
19742	J P Morgan SP BV – HSI European Warrants Nov 2019 F	400,000,000	2019/06/10	2019/11/28	62.00
19736	J P Morgan SP BV – Ping An European Put Warrants Oct 2019 E	200,000,000	2019/06/10	2019/10/31	32.00
19739	J P Morgan SP BV – Wharf Reic European Warrants Dec 2019 A	40,000,000	2019/06/10	2019/12/09	10.20
19733	Macquarie Bank Ltd. – CITIC Sec European Put Warrants Apr20C	40,000,000	2019/06/10	2020/04/02	10.12
19731	Macquarie Bank Ltd. – HK Gas European Warrants Apr 2020 A	40,000,000	2019/06/10	2020/04/02	10.44
19734	Macquarie Bank Ltd. – Wharf Reic European Warrants Feb 2020A	20,000,000	2019/06/10	2020/02/04	10.56
19763	SG Issuer – Country Garden European Warrants Feb 2020 B	80,000,000	2019/06/10	2020/02/21	12.00
19756	SG Issuer – China Overseas European Warrants Jan 2020 A	70,000,000	2019/06/10	2020/01/22	11.20
19764	SG Issuer – Galaxy Ent European Put Warrants Nov 2019 C	50,000,000	2019/06/10	2019/11/25	12.00
19755	SG Issuer – HSI European Warrants Sep 2019 M	300,000,000	2019/06/10	2019/09/27	48.00
19765	SG Issuer – SHK Ppt European Put Warrants Sep 2019 A	50,000,000	2019/06/10	2019/09/25	10.00
19722	UBS AG – HSBC European Warrants Nov 2019 A	100,000,000	2019/06/10	2019/11/22	20.90
19719	UBS AG – Kingsoft European Warrants Sep 2019 B	50,000,000	2019/06/10	2019/09/25	10.90
19725	UBS AG – Lenovo European Warrants Dec 2019 A	50,000,000	2019/06/10	2019/12/09	13.50
19726	UBS AG – Sands China Ltd European Put Warrants Dec 2019 A	50,000,000	2019/06/10	2019/12/16	12.50
19723	UBS AG – Wynn Macau European Warrants Dec 2019 A	70,000,000	2019/06/10	2019/12/20	13.65
19748	Bank Vontobel – CK Asset European Warrants Jan 2020 A	40,000,000	2019/06/10	2020/01/03	10.20
19716	Bank Vontobel – China Mobile European Warrants Dec 2019 A	30,000,000	2019/06/10	2019/12/10	10.59
19717	Bank Vontobel – Evergrande European Warrants Dec 2019 A	40,000,000	2019/06/10	2019/12/10	10.16
19749	Bank Vontobel – HKEX European Warrants Jan 2020 B	40,000,000	2019/06/10	2020/01/03	10.80
19718	Bank Vontobel – Lenovo European Warrants Jan 2020 A	25,000,000	2019/06/10	2020/01/31	10.75
19780	BOCI Asia Ltd. – HSCEI European Warrants Mar 2020 B	100,000,000	2019/06/11	2020/03/30	25.00

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19778	BOCI Asia Ltd. – Xiaomi European Warrants Nov 2019 B	100,000,000	2019/06/11	2019/11/22	15.00
19820	BNP Paribas Issuance B.V. – HKEX European Wts Feb 2020 A	40,000,000	2019/06/11	2020/02/04	10.40
19824	BNP Paribas Issuance B.V. – HKEX European Wts Apr 2020 A	40,000,000	2019/06/11	2020/04/02	10.00
19812	Credit Suisse AG – AIA European Warrants Jun 2020 A	150,000,000	2019/06/11	2020/06/22	22.50
19816	Credit Suisse AG – GF Sec European Warrants Dec 2019 A	50,000,000	2019/06/11	2019/12/20	10.00
19813	Credit Suisse AG – HSBC European Wts Nov 2019 B	100,000,000	2019/06/11	2019/11/22	16.00
19817	Credit Suisse AG – Lenovo European Warrants Nov 2019 A	30,000,000	2019/06/11	2019/11/26	10.20
19814	Credit Suisse AG – Ping An European Put Warrants Oct 2019 F	100,000,000	2019/06/11	2019/10/28	15.00
19810	Credit Suisse AG – Xiaomi European Warrants Nov 2019 A	100,000,000	2019/06/11	2019/11/26	15.00
19811	Credit Suisse AG – Xiaomi European Warrants Jul 2020 A	200,000,000	2019/06/11	2020/07/31	50.00
19804	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2019 A	80,000,000	2019/06/11	2019/12/11	20.00
19807	Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Oct 2019 B	70,000,000	2019/06/11	2019/10/23	10.50
19801	Goldman Sachs SP (Asia) – China Tel European Wts Oct 2019 A	70,000,000	2019/06/11	2019/10/24	10.50
19800	Goldman Sachs SP (Asia) – China Tower Euro Wts Sep 2019 H	80,000,000	2019/06/11	2019/09/11	12.40
19805	Goldman Sachs SP (Asia) – China Unicom Euro Wts Mar 2020 A	80,000,000	2019/06/11	2020/03/24	12.00
19802	Goldman Sachs SP (Asia) – Xiaomi European Warrants Nov 2019A	70,000,000	2019/06/11	2019/11/27	10.50
19797	Haitong Int'l Sec – AIA European Warrants Oct 2019 C	40,000,000	2019/06/11	2019/10/28	11.20
19799	Haitong Int'l Sec – AIA European Put Warrants Dec 2019 B	40,000,000	2019/06/11	2019/12/19	14.40
19809	Haitong Int'l Sec – Meituan European Warrants Apr 2020 A	100,000,000	2019/06/11	2020/04/15	25.00
19796	Haitong Int'l Sec – Meituan European Put Warrants Dec 2019 A	40,000,000	2019/06/11	2019/12/27	19.20
19785	J P Morgan SP BV – Galaxy Ent European Warrants Dec 2019 C	100,000,000	2019/06/11	2019/12/31	37.80
19791	J P Morgan SP BV – Henderson Land European Put Wts Dec 2019A	60,000,000	2019/06/11	2019/12/31	15.18
19788	J P Morgan SP BV – Link REIT European Warrants Sep 2020 A	80,000,000	2019/06/11	2020/09/23	14.56
19782	J P Morgan SP BV – MGM China European Warrants Mar 2020 A	50,000,000	2019/06/11	2020/03/05	12.55
19784	J P Morgan SP BV – Sands China Ltd European Warrants Dec19 C	80,000,000	2019/06/11	2019/12/10	20.32
19786	J P Morgan SP BV – SJM Holdings European Warrants Nov 2019 A	50,000,000	2019/06/11	2019/11/29	10.05
19783	J P Morgan SP BV – Xiaomi European Warrants Dec 2019 C	200,000,000	2019/06/11	2019/12/10	50.20
19775	Macquarie Bank Ltd. – Kingboard Hldg European Wts May 2020 A	26,000,000	2019/06/11	2020/05/18	10.01
19772	Macquarie Bank Ltd. – Kingsoft European Warrants Jan 2020 A	40,000,000	2019/06/11	2020/01/03	10.00
19776	Macquarie Bank Ltd. – Lenovo European Warrants Jan 2020 A	20,000,000	2019/06/11	2020/01/03	10.02
19795	Macquarie Bank Ltd. – Xiaomi European Warrants Jan 2020 B	40,000,000	2019/06/11	2020/01/03	10.04
19826	SG Issuer – China Mobile European Put Warrants Nov 2019 B	40,000,000	2019/06/11	2019/11/22	10.00
19828	SG Issuer – MGM China European Warrants Mar 2020 A	80,000,000	2019/06/11	2020/03/31	20.00
19829	SG Issuer – Shimao Ppt Eu Wt Dec 2019 A	40,000,000	2019/06/11	2019/12/10	10.00
19831	SG Issuer – SUNAC European Warrants Dec 2019 A	30,000,000	2019/06/11	2019/12/31	11.40
19832	SG Issuer – Wynn Macau European Warrants Dec 2019 A	60,000,000	2019/06/11	2019/12/20	10.50
19833	SG Issuer – Xiaomi European Warrants Dec 2019 E	60,000,000	2019/06/11	2019/12/31	20.10
19835	SG Issuer – Xiaomi European Warrants May 2020 A	120,000,000	2019/06/11	2020/05/29	30.00
19774	UBS AG – HSI European Warrants Nov 2019 A	300,000,000	2019/06/11	2019/11/28	45.00
19773	UBS AG – Sands China Ltd European Warrants Oct 2019 A	60,000,000	2019/06/11	2019/10/28	11.40
19870	Credit Suisse AG – BBMG European Warrants Dec 2019 A	30,000,000	2019/06/12	2019/12/11	10.20
19869	Credit Suisse AG – CKI Holdings European Warrants Dec 2019 A	40,000,000	2019/06/12	2019/12/11	10.00
19871	Credit Suisse AG – China Mobile European Put Wts Dec 2019 B	40,000,000	2019/06/12	2019/12/11	10.00
19867	Credit Suisse AG – China Railway European Warrants Dec 2019A	25,000,000	2019/06/12	2019/12/11	10.00

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19862	Credit Suisse AG – China Tower European Put Wts Sep 2019 D	70,000,000	2019/06/12	2019/09/23	10.50
19868	Credit Suisse AG – IGG European Warrants Dec 2019 A	40,000,000	2019/06/12	2019/12/11	10.00
19872	Goldman Sachs SP (Asia) – China Railway Euro Wts Dec 2019 A	40,000,000	2019/06/12	2019/12/12	10.00
19878	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2019 E	150,000,000	2019/06/12	2019/11/26	25.80
19873	Goldman Sachs SP (Asia) – Wynn Macau European Wts Mar 2020 A	60,000,000	2019/06/12	2020/03/31	15.00
19875	Goldman Sachs SP (Asia) – ZA Online European Wts Dec 2019 A	50,000,000	2019/06/12	2019/12/12	12.50
19861	J P Morgan SP BV – Hua Hong Semi European Warrants Dec 2019A	60,000,000	2019/06/12	2019/12/31	15.18
19860	J P Morgan SP BV – Xiaomi European Put Warrants Nov 2019 C	30,000,000	2019/06/12	2019/11/22	10.17
19846	Macquarie Bank Ltd. – China Mobile European Wts Jan 2020 B	19,000,000	2019/06/12	2020/01/03	10.09
19845	Macquarie Bank Ltd. – China Mobile Euro Put Wts Jan 2020 A	40,000,000	2019/06/12	2020/01/03	10.00
19852	Macquarie Bank Ltd. – Sinopec Corp European Wts Jan 2020 A	38,000,000	2019/06/12	2020/01/03	10.07
19853	Macquarie Bank Ltd. – Fosun Pharma European Wts May 2020 A	27,000,000	2019/06/12	2020/05/18	10.02
19843	Macquarie Bank Ltd. – HSBC European Warrants Jan 2020 B	35,000,000	2019/06/12	2020/01/03	10.12
19847	Macquarie Bank Ltd. – MTRC European Warrants Jan 2020 A	40,000,000	2019/06/12	2020/01/03	10.08
19854	Macquarie Bank Ltd. – New World Dev European Wts Aug 2020 A	40,000,000	2019/06/12	2020/08/04	10.16
19849	Macquarie Bank Ltd. – PetroChina European Wts Jan 2020 A	40,000,000	2019/06/12	2020/01/03	10.00
19884	SG Issuer – AIA European Warrants Sep 2019 B	40,000,000	2019/06/12	2019/09/25	10.00
19886	SG Issuer – AIA European Put Warrants Nov 2019 B	40,000,000	2019/06/12	2019/11/26	12.60
19879	SG Issuer – HSCEI European Warrants Mar 2020 B	150,000,000	2019/06/12	2020/03/30	24.75
19887	SG Issuer – Link REIT European Warrants Nov 2019 A	30,000,000	2019/06/12	2019/11/22	10.50
19888	SG Issuer – Sands China European Put Warrants Sep 2019 A	60,000,000	2019/06/12	2019/09/25	10.50
19856	UBS AG – Tencent European Warrants Sep 2019 L	300,000,000	2019/06/12	2019/09/26	114.90
19858	UBS AG – ZTE European Warrants Dec 2019 A	40,000,000	2019/06/12	2019/12/16	11.16
19836	Bank Vontobel – HSI European Warrants Nov 2019 C	80,000,000	2019/06/12	2019/11/28	12.08
19837	Bank Vontobel – HSI European Warrants Jan 2020 A	80,000,000	2019/06/12	2020/01/30	12.08
19841	Bank Vontobel – HSI European Put Warrants Oct 2019 C	80,000,000	2019/06/12	2019/10/30	14.48
19842	Bank Vontobel – HSI European Put Warrants Dec 2019 B	80,000,000	2019/06/12	2019/12/30	14.48
19913	BOCI Asia Ltd. – AIA European Put Warrants Nov 2019 C	70,000,000	2019/06/13	2019/11/26	20.30
19906	BOCI Asia Ltd. – CSPC Pharma European Warrants Oct 2019 A	100,000,000	2019/06/13	2019/10/28	19.00
19912	BOCI Asia Ltd. – Geely Auto European Warrants Nov 2019 A	70,000,000	2019/06/13	2019/11/26	10.50
19911	BOCI Asia Ltd. – Geely Auto European Warrants Dec 2019 A	100,000,000	2019/06/13	2019/12/03	15.00
19909	BOCI Asia Ltd. – Genscript Bio European Warrants Dec 2019 A	70,000,000	2019/06/13	2019/12/16	18.20
19910	BOCI Asia Ltd. – Ping An European Warrants Nov 2019 A	80,000,000	2019/06/13	2019/11/26	16.00
19907	BOCI Asia Ltd. – Sino Biopharm European Warrants Sep 2020 A	80,000,000	2019/06/13	2020/09/22	16.00
19938	Credit Suisse AG – CICC European Warrants Jan 2020 A	50,000,000	2019/06/13	2020/01/31	12.50
19937	Credit Suisse AG – Hua Hong Semi European Wts Dec 2019 A	40,000,000	2019/06/13	2019/12/12	10.00
19939	Credit Suisse AG – Nine Dragons European Warrants Apr 2020 A	40,000,000	2019/06/13	2020/04/29	10.00
19936	Credit Suisse AG – ZTE European Warrants Dec 2019 A	40,000,000	2019/06/13	2019/12/12	10.00
19951	Goldman Sachs SP (Asia) – Angang Steel Euro Wts Dec 2019 B	50,000,000	2019/06/13	2019/12/31	12.50
19954	Goldman Sachs SP (Asia) – CITIC Sec European Wts Mar 2020 A	80,000,000	2019/06/13	2020/03/26	12.00
19960	Goldman Sachs SP (Asia) – CKH Holdings European Wts Nov 19 A	60,000,000	2019/06/13	2019/11/22	10.02
19959	Goldman Sachs SP (Asia) – China Overseas European Wts Jan20A	80,000,000	2019/06/13	2020/01/22	12.00
19957	Goldman Sachs SP (Asia) – China Tower Euro Wts Sep 2019 I	80,000,000	2019/06/13	2019/09/23	12.00
19961	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Mar 2020 A	60,000,000	2019/06/13	2020/03/31	15.00

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19933	HK Bank – Conch Cement European Warrants Dec 2019 A	40,000,000	2019/06/13	2019/12/31	10.40
19916	HK Bank – Agricultural Bank European Warrants Dec 2019 A	50,000,000	2019/06/13	2019/12/31	12.50
19934	HK Bank – BOC HK European Warrants Dec 2019 A	80,000,000	2019/06/13	2019/12/23	12.00
19932	HK Bank – China Unicom European Warrants Mar 2020 A	80,000,000	2019/06/13	2020/03/25	12.00
19917	HK Bank – PICC Group European Warrants Dec 2019 A	40,000,000	2019/06/13	2019/12/31	10.00
19915	HK Bank – Sands China European Warrants Dec 2019 A	50,000,000	2019/06/13	2019/12/31	12.50
19935	HK Bank – Wynn Macau European Warrants Feb 2020 A	80,000,000	2019/06/13	2020/02/28	12.00
19921	HK Bank – Yanzhou Coal European Warrants Feb 2020 A	80,000,000	2019/06/13	2020/02/13	12.00
19918	HK Bank – Zijin Mining European Warrants Dec 2019 A	40,000,000	2019/06/13	2019/12/31	10.00
19942	J P Morgan SP BV – China Tower European Put Wts Dec 2019 G	200,000,000	2019/06/13	2019/12/31	50.20
19941	J P Morgan SP BV – HKEX European Put Wts Jun 2020 A	200,000,000	2019/06/13	2020/06/24	30.20
19943	J P Morgan SP BV – ZTE European Warrants Dec 2019 B	50,000,000	2019/06/13	2019/12/31	12.65
19897	Macquarie Bank Ltd. – BYD Company European Put Wts Jan 2022A	40,000,000	2019/06/13	2022/01/04	10.00
19898	Macquarie Bank Ltd. – China South Air Euro Wts May 2020 A	40,000,000	2019/06/13	2020/05/20	10.00
19944	SG Issuer – BankComm European Warrants Dec 2019 A	30,000,000	2019/06/13	2019/12/31	10.05
19945	SG Issuer – China Shenhua European Warrants Dec 2019 B	70,000,000	2019/06/13	2019/12/20	11.20
19949	SG Issuer – CSPC Pharma European Warrants Oct 2019 B	80,000,000	2019/06/13	2019/10/28	14.40
19948	SG Issuer – China Tower European Warrants Oct 2019 E	70,000,000	2019/06/13	2019/10/23	10.50
19950	SG Issuer – Link REIT European Put Warrants Aug 2020 A	80,000,000	2019/06/13	2020/08/24	12.00
19947	SG Issuer – Zijin Mining European Warrants Nov 2019 B	50,000,000	2019/06/13	2019/11/12	10.00
19900	UBS AG – Link REIT European Warrants Dec 2019 A	50,000,000	2019/06/13	2019/12/16	12.60
19892	Bank Vontobel – AIA European Warrants Nov 2019 B	50,000,000	2019/06/13	2019/11/22	10.05
19891	Bank Vontobel – AIA European Put Warrants Dec 2019 C	40,000,000	2019/06/13	2019/12/16	10.04
19890	Bank Vontobel – CSPC Pharma European Warrants Jan 2020 B	40,000,000	2019/06/13	2020/01/03	12.04
19994	BOCI Asia Ltd. – BYD Company European Warrants Nov 2019 A	40,000,000	2019/06/14	2019/11/22	12.40
19984	BOCI Asia Ltd. – China Overseas European Warrants Jan 2020 A	60,000,000	2019/06/14	2020/01/22	11.40
20002	BOCI Asia Ltd. – CPIC European Warrants Dec 2019 A	70,000,000	2019/06/14	2019/12/30	17.50
20000	BOCI Asia Ltd. – Chinas Cement European Warrants Dec2019 A	50,000,000	2019/06/14	2019/12/30	12.50
20003	BOCI Asia Ltd. – Evergrande European Warrants Nov 2019 A	100,000,000	2019/06/14	2019/11/27	15.00
19982	BOCI Asia Ltd. – Henderson Land European Warrants Nov 2019 A	70,000,000	2019/06/14	2019/11/22	11.90
19987	BOCI Asia Ltd. – Link REIT European Warrants Nov 2019 A	70,000,000	2019/06/14	2019/11/29	24.50
20001	BOCI Asia Ltd. – NCI European Warrants Oct 2019 A	70,000,000	2019/06/14	2019/10/28	10.50
19983	BOCI Asia Ltd. – SHK Ppt European Warrants Nov 2019 A	50,000,000	2019/06/14	2019/11/28	14.00
19992	BOCI Asia Ltd. – Yanzhou Coal European Warrants Feb 2020 A	60,000,000	2019/06/14	2020/02/13	10.20
20006	Credit Suisse AG – AIA European Put Warrants Dec 2019 B	50,000,000	2019/06/14	2019/12/13	12.50
20007	Credit Suisse AG – Sinopec Corp European Warrants Oct 2019 B	60,000,000	2019/06/14	2019/10/23	10.02
20005	Credit Suisse AG – Tencent Euro Put Wts Dec 2019 C	200,000,000	2019/06/14	2019/12/17	30.00
20018	Goldman Sachs SP (Asia) – AIA European Put Wts Nov 2019 A	80,000,000	2019/06/14	2019/11/26	16.08
20017	Goldman Sachs SP (Asia) – CKA European Warrants Nov 2019 A	60,000,000	2019/06/14	2019/11/21	10.02
20023	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Nov 2019 A	50,000,000	2019/06/14	2019/11/28	10.00
20019	Goldman Sachs SP (Asia) – NCI European Warrants Sep 2019 B	60,000,000	2019/06/14	2019/09/23	10.02
19964	Goldman Sachs SP (Asia) – Xiaomi European Warrants Dec2020 A	60,000,000	2019/06/14	2020/12/30	15.00
19967	HK Bank – CITIC Sec European Warrants Mar 2020 A	80,000,000	2019/06/14	2020/03/31	12.00
19969	HK Bank – China Life European Warrants Apr 2020 A	80,000,000	2019/06/14	2020/04/22	12.00

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19976	HK Bank – Geely Auto European Warrants Apr 2020 A	80,000,000	2019/06/14	2020/04/23	12.00
19975	HK Bank – HSCEI European Warrants Mar 2020 D	100,000,000	2019/06/14	2020/03/30	15.00
19973	HK Bank – HSI European Warrants Dec 2019 E	100,000,000	2019/06/14	2019/12/30	25.00
19972	HK Bank – HSI European Put Warrants Dec 2019 D	100,000,000	2019/06/14	2019/12/30	25.00
19971	HK Bank – PetroChina European Warrants Dec 2019 A	40,000,000	2019/06/14	2019/12/31	10.00
20004	J P Morgan SP BV – China Unicom European Warrants Mar 2020 A	200,000,000	2019/06/14	2020/03/24	34.00
19962	J P Morgan SP BV – Ping An European Put Warrants Dec 2019 E	500,000,000	2019/06/14	2019/12/31	75.00
19979	Macquarie Bank Ltd. – CSPC Pharma European Put Wts Mar2020 A	40,000,000	2019/06/14	2020/03/03	10.04
19977	Macquarie Bank Ltd. – Ping An European Warrants Jan 2020 B	9,000,000	2019/06/14	2020/01/03	10.14
19981	Macquarie Bank Ltd. – Sinopharm European Put Warrants Apr20A	28,000,000	2019/06/14	2020/04/02	10.02
20011	SG Issuer – CC Bank European Put Warrants Nov 2019 B	50,000,000	2019/06/14	2019/11/28	10.50
20008	SG Issuer – CNOOC European Warrants Dec 2019 B	200,000,000	2019/06/14	2019/12/09	30.00
20016	SG Issuer – CNOOC European Put Warrants Oct 2019 B	200,000,000	2019/06/14	2019/10/30	30.00
20009	SG Issuer – CSPC Pharma European Put Warrants Dec 2019 B	60,000,000	2019/06/14	2019/12/24	10.20
20010	SG Issuer – PetroChina European Put Warrants Dec 2019 B	40,000,000	2019/06/14	2019/12/31	10.40
19965	Bank Vontobel – Bank of China European Warrants Feb 2020 A	40,000,000	2019/06/14	2020/02/03	10.04
19966	Bank Vontobel – CM Bank European Warrants Jan 2020 A	40,000,000	2019/06/14	2020/01/06	10.44
20049	Credit Suisse AG – China Life European Warrants Apr 2020 A	80,000,000	2019/06/17	2020/04/22	12.00
20052	Credit Suisse AG – Cathay Pacific European Wts Dec 2019 A	60,000,000	2019/06/17	2019/12/20	10.02
20051	Credit Suisse AG – CPIC European Warrants Dec 2019 B	40,000,000	2019/06/17	2019/12/31	10.00
20053	Credit Suisse AG – HSBC European Wts Jan 2020 A	100,000,000	2019/06/17	2020/01/24	15.00
20043	Goldman Sachs SP (Asia) – Evergrande European Wts Dec 2019 A	50,000,000	2019/06/17	2019/12/03	10.00
20044	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Nov 2019 B	60,000,000	2019/06/17	2019/11/13	13.98
20045	Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2019 C	150,000,000	2019/06/17	2019/11/28	24.90
20042	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Sep 2019 J	80,000,000	2019/06/17	2019/09/23	15.84
20041	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2019 F	150,000,000	2019/06/17	2019/11/22	22.50
20046	Haitong Int'l Sec – Sunny Optical European Put Wts Nov 2019A	40,000,000	2019/06/17	2019/11/26	23.60
20039	J P Morgan SP BV – HSI European Put Warrants Nov 2019 G	400,000,000	2019/06/17	2019/11/28	61.60
20040	J P Morgan SP BV – Tencent European Put Warrants Dec 2019 C	300,000,000	2019/06/17	2019/12/17	45.90
20031	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2020 B	35,000,000	2019/06/17	2020/01/03	10.08
20030	Macquarie Bank Ltd. – Ping An European Put Wts Jan 2020 C	11,500,000	2019/06/17	2020/01/03	10.14
20024	Macquarie Bank Ltd. – Tencent European Warrants Jan 2020 B	24,000,000	2019/06/17	2020/01/02	10.03
20025	Macquarie Bank Ltd. – Tencent European Warrants Jan 2020 C	12,000,000	2019/06/17	2020/01/02	10.02
20029	Macquarie Bank Ltd. – Tencent European Warrants Jan 2020 D	7,000,000	2019/06/17	2020/01/02	11.33
20048	SG Issuer – HSBC European Warrants Nov 2019 A	70,000,000	2019/06/17	2019/11/22	10.50
20036	UBS AG – HSBC European Put Warrants Dec 2019 A	100,000,000	2019/06/17	2019/12/23	25.00
20037	UBS AG – HSCEI European Warrants Apr 2020 A	200,000,000	2019/06/17	2020/04/28	50.00
20032	UBS AG – Tencent European Warrants Sep 2019 M	300,000,000	2019/06/17	2019/09/25	45.00
20063	BOCI Asia Ltd. – CGS European Warrants Dec 2019 A	40,000,000	2019/06/18	2019/12/30	10.40
20059	BOCI Asia Ltd. – CITIC Sec European Warrants Dec 2019 C	50,000,000	2019/06/18	2019/12/30	11.50
20057	BOCI Asia Ltd. – Tencent European Wts Nov 2019 D	200,000,000	2019/06/18	2019/11/29	30.00
20058	BOCI Asia Ltd. – Tencent European Put Wts Dec 2019 B	200,000,000	2019/06/18	2019/12/17	30.00
20082	Credit Suisse AG – BYD Electronic European Wts Nov 2019 A	70,000,000	2019/06/18	2019/11/18	10.50
20056	Credit Suisse AG – CKH Holdings European Warrants Jun 2020 A	100,000,000	2019/06/18	2020/06/22	15.00

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20086	Credit Suisse AG – China Mobile European Warrants Nov 2019 C	60,000,000	2019/06/18	2019/11/26	10.02
20083	Credit Suisse AG – Haier Elec European Warrants Dec 2019 A	40,000,000	2019/06/18	2019/12/17	10.00
20090	Credit Suisse AG – HSI European Put Warrants Dec 2019 C	200,000,000	2019/06/18	2019/12/30	30.00
20092	Credit Suisse AG – SUNAC European Warrants Jul 2020 A	100,000,000	2019/06/18	2020/07/08	15.00
20054	Credit Suisse AG – Tencent European Wts Oct 2019 D	250,000,000	2019/06/18	2019/10/28	37.50
20081	Credit Suisse AG – Tencent European Wts Dec 2019 D	250,000,000	2019/06/18	2019/12/27	37.50
20085	Credit Suisse AG – Vitasoy Intl European Warrants Dec 2019 A	20,000,000	2019/06/18	2019/12/17	10.00
20074	Goldman Sachs SP (Asia) – AIA European Warrants Nov 2019 B	80,000,000	2019/06/18	2019/11/13	19.28
20079	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2020 A	80,000,000	2019/06/18	2020/01/24	12.00
20080	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2019 E	150,000,000	2019/06/18	2019/10/30	22.50
20075	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Dec 2019 A	40,000,000	2019/06/18	2019/12/18	11.92
20076	Goldman Sachs SP (Asia) – SHK Ppt European Wts Sep 2019 A	50,000,000	2019/06/18	2019/09/25	11.10
20101	Haitong Int'l Sec – CC Bank European Warrants Nov 2019 A	70,000,000	2019/06/18	2019/11/18	16.10
20103	Haitong Int'l Sec – Link REIT European Put Wts Dec 2019 A	40,000,000	2019/06/18	2019/12/30	16.80
20072	J P Morgan SP BV – HKEX European Warrants May 2020 A	200,000,000	2019/06/18	2020/05/26	30.40
20071	J P Morgan SP BV – HKEX European Put Wts Oct 2019 A	200,000,000	2019/06/18	2019/10/04	30.20
20064	Macquarie Bank Ltd. – Tencent European Warrants Jan 2020 E	30,000,000	2019/06/18	2020/01/02	15.75
20065	Macquarie Bank Ltd. – Tencent European Warrants Jan 2020 F	30,000,000	2019/06/18	2020/01/02	27.54
20096	SG Issuer – HSI European Warrants Jan 2020 A	300,000,000	2019/06/18	2020/01/30	45.00
20093	SG Issuer – HSI European Put Warrants Oct 2019 G	300,000,000	2019/06/18	2019/10/30	45.00
20094	SG Issuer – HSI European Put Warrants Nov 2019 D	300,000,000	2019/06/18	2019/11/28	45.00
20100	SG Issuer – Sinopharm Eu Wts Nov19A	50,000,000	2019/06/18	2019/11/26	10.75
20066	UBS AG – Bank of China European Warrants Dec 2019 A	70,000,000	2019/06/18	2019/12/20	10.50
20068	UBS AG – China Telecom European Warrants Dec 2019 A	50,000,000	2019/06/18	2019/12/23	12.55
20067	UBS AG – Xiaomi European Warrants Dec 2019 A	100,000,000	2019/06/18	2019/12/24	15.00
20105	BOCI Asia Ltd. – HKEX European Warrants Dec 2019 D	200,000,000	2019/06/19	2019/12/27	30.00
20118	BNP Paribas Issuance B.V. – HKEX European Wts Mar 2020 A	80,000,000	2019/06/19	2020/03/03	20.00
20115	BNP Paribas Issuance B.V. – Tencent European Wts Feb 2020 C	80,000,000	2019/06/19	2020/02/04	20.00
20116	BNP Paribas Issuance B.V. – Tencent European Wts Mar 2020 B	80,000,000	2019/06/19	2020/03/03	20.00
20117	BNP Paribas Issuance B.V. – Tencent Eu Put Wt Mar 2020 C	80,000,000	2019/06/19	2020/03/03	20.00
20133	Credit Suisse AG – Agile Ppt European Warrants Dec 2019 A	40,000,000	2019/06/19	2019/12/18	10.00
20127	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Oct 2019 A	60,000,000	2019/06/19	2019/10/24	10.02
20124	Goldman Sachs SP (Asia) – CSPC Pharma Euro Wts Oct 2019 B	60,000,000	2019/06/19	2019/10/28	10.14
20122	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2019 D	150,000,000	2019/06/19	2019/11/28	22.50
20123	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2019 N	150,000,000	2019/06/19	2019/09/27	22.50
20125	Goldman Sachs SP (Asia) – Tencent European Put Wt Dec 2019 C	100,000,000	2019/06/19	2019/12/17	15.00
10076	Haitong Int'l Sec – Baba European Warrants Jan 2020 A	80,000,000	2019/06/19	2020/01/17	24.00
10078	Haitong Int'l Sec – Baba European Put Warrants Jan 2020 B	80,000,000	2019/06/19	2020/01/17	20.00
20119	J P Morgan SP BV – HSI European Warrants Jan 2020 B	400,000,000	2019/06/19	2020/01/30	101.60
20111	Macquarie Bank Ltd. – CKH European Put Warrants Mar 2020 B	40,000,000	2019/06/19	2020/03/03	10.04
20112	Macquarie Bank Ltd. – SHK Ppt European Put Wts Jan 2020 A	20,000,000	2019/06/19	2020/01/03	10.36
20110	Macquarie Bank Ltd. – Sunny Optical European Wts Jan 2020 B	10,500,000	2019/06/19	2020/01/03	10.21
20109	Macquarie Bank Ltd. – CRRC Times European Warrants May 2020A	20,700,000	2019/06/19	2020/05/18	10.02
20129	SG Issuer – China Mobile European Warrants Dec 2019 A	60,000,000	2019/06/19	2019/12/03	15.00

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20130	SG Issuer – A50 China European Warrants Feb 2020 A	100,000,000	2019/06/19	2020/02/28	25.00
20106	UBS AG – SHK Ppt European Warrants Nov 2019 A	40,000,000	2019/06/19	2019/11/27	11.68
20107	UBS AG – Xiaomi European Put Warrants Dec 2019 B	70,000,000	2019/06/19	2019/12/23	10.50
20134	BOCI Asia Ltd. – PetroChina European Warrants Dec 2019 A	70,000,000	2019/06/20	2019/12/30	17.50
20164	Credit Suisse AG – CGS European Warrants Dec 2019 A	30,000,000	2019/06/20	2019/12/19	10.20
20163	Credit Suisse AG – China South Air European Wts May 2020 A	50,000,000	2019/06/20	2020/05/13	10.00
20162	Credit Suisse AG – SHK Ppt European Put Warrants Sep 2019 A	50,000,000	2019/06/20	2019/09/25	10.00
20159	Goldman Sachs SP (Asia) – Country Garden Euro Wts Feb 2020 A	60,000,000	2019/06/20	2020/02/21	10.02
20161	Goldman Sachs SP (Asia) – CITIC Bank European Wts Nov 2019 A	60,000,000	2019/06/20	2019/11/22	10.62
20153	Goldman Sachs SP (Asia) – HSCEI European Warrants Mar 2020 A	150,000,000	2019/06/20	2020/03/30	22.50
20160	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2019 E	80,000,000	2019/06/20	2019/10/28	40.16
20157	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2019 D	150,000,000	2019/06/20	2019/12/17	27.00
20143	J P Morgan SP BV – China Life European Warrants Apr 2020 A	100,000,000	2019/06/20	2020/04/22	15.30
20145	J P Morgan SP BV – Sinopec Corp European Warrants Dec 2019 B	100,000,000	2019/06/20	2019/12/31	25.20
20144	J P Morgan SP BV – HSBC European Warrants Sep 2019 A	100,000,000	2019/06/20	2019/09/30	16.30
20146	J P Morgan SP BV – PetroChina European Warrants Jan 2020 A	100,000,000	2019/06/20	2020/01/31	25.10
20147	J P Morgan SP BV – SHK Ppt European Warrants Dec 2019 B	40,000,000	2019/06/20	2019/12/31	23.16
20149	SG Issuer – Hang Seng Bank European Put Warrants Nov 2019 A	80,000,000	2019/06/20	2019/11/26	12.00
20151	SG Issuer – Hua Hong Semi European Warrants Dec 2019 A	50,000,000	2019/06/20	2019/12/05	10.50
20152	SG Issuer – Kunlun Energy European Warrants Dec 2019 A	25,000,000	2019/06/20	2019/12/31	10.00
20139	Bank Vontobel – Sinopec Corp European Warrants Jan 2020 A	40,000,000	2019/06/20	2020/01/03	12.00
20138	Bank Vontobel – ICBC European Warrants Nov 2019 B	60,000,000	2019/06/20	2019/11/26	11.40
20140	Bank Vontobel – SHK Ppt European Warrants Jan 2020 A	20,000,000	2019/06/20	2020/01/06	12.08
20142	Bank Vontobel – SHK Ppt European Put Warrants Jan 2020 B	20,000,000	2019/06/20	2020/01/03	12.18
20186	Credit Suisse AG – Agricultural Bank European Wts Dec 2019 C	60,000,000	2019/06/21	2019/12/20	10.02
20191	Credit Suisse AG – Fosun Intl European Warrants Dec 2019 A	40,000,000	2019/06/21	2019/12/20	10.00
20187	Credit Suisse AG – NCI European Warrants Dec 2019 A	40,000,000	2019/06/21	2019/12/20	10.00
20188	Credit Suisse AG – SJM Holdings European Warrants Nov 2019 A	60,000,000	2019/06/21	2019/11/29	10.02
20196	Goldman Sachs SP (Asia) – Bank of China Euro Wts Jan 2020 B	80,000,000	2019/06/21	2020/01/23	14.40
20192	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2019 A	80,000,000	2019/06/21	2019/12/18	12.00
20195	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2019 C	80,000,000	2019/06/21	2019/11/22	12.00
20194	Goldman Sachs SP (Asia) – Link REIT European Wts Sep 2019 B	50,000,000	2019/06/21	2019/09/25	12.50
20193	Goldman Sachs SP (Asia) – Ping An European Wts Nov 2019 B	100,000,000	2019/06/21	2019/11/26	22.00
20177	J P Morgan SP BV – Bank of E Asia European Warrants Mar 20 A	40,000,000	2019/06/21	2020/03/30	10.04
20178	J P Morgan SP BV – Bank of China European Put Wts May 2020 A	100,000,000	2019/06/21	2020/05/05	25.00
20179	J P Morgan SP BV – CITIC Bank European Warrants Dec 2019 A	60,000,000	2019/06/21	2019/12/31	15.36
20181	J P Morgan SP BV – Vitasoy Intl European Warrants Dec 2019 A	40,000,000	2019/06/21	2019/12/31	20.68
20170	Macquarie Bank Ltd. – Fosun Intl European Warrants Apr 2020A	36,000,000	2019/06/21	2020/04/17	10.12
20169	Macquarie Bank Ltd. – Ping An European Warrants Apr 2022 A	38,000,000	2019/06/21	2022/04/04	10.07
20167	Macquarie Bank Ltd. – Tencent European Put Wts Jan 2020 G	20,000,000	2019/06/21	2020/01/02	10.08
20168	Macquarie Bank Ltd. – Tencent European Put Wts Jan 2020 H	12,100,000	2019/06/21	2020/01/02	10.07
20183	SG Issuer – CGS European Warrants Dec 2019 A	20,000,000	2019/06/21	2019/12/20	10.60
20182	SG Issuer – HSI European Put Warrants Dec 2019 E	300,000,000	2019/06/21	2019/12/30	45.00
20185	SG Issuer – SJM Holdings Eu Wt Nov 2019 A	70,000,000	2019/06/21	2019/11/29	11.55

# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
20174	UBS AG – Haitong Sec European Warrants Dec 2019 A	70,000,000	2019/06/21	2019/12/24	10.50
20175	UBS AG – NCI European Warrants Dec 2019 A	70,000,000	2019/06/21	2019/12/23	17.50
25344#	J P Morgan SP BV – HSI European Put Warrants Aug 2019 C	400,000,000	2019/06/21	2019/08/29	21.20
20199	BOCI Asia Ltd. – Ping An European Put Warrants Sep 2019 F	80,000,000	2019/06/24	2019/09/30	14.40
20202	BOCI Asia Ltd. – Ping An European Put Warrants Oct 2019 C	80,000,000	2019/06/24	2019/10/28	12.00
20207	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2020 A	100,000,000	2019/06/24	2020/03/26	19.20
20216	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2019 B	80,000,000	2019/06/24	2019/12/24	20.08
20206	Goldman Sachs SP (Asia) – GAC European Warrants Apr 2020 A	70,000,000	2019/06/24	2020/04/20	10.50
20208	Goldman Sachs SP (Asia) – Geely Auto European Wts Dec 2019 B	80,000,000	2019/06/24	2019/12/20	12.00
20204	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2019 E	150,000,000	2019/06/24	2019/12/24	34.50
20203	J P Morgan SP BV – China Mobile European Wts Dec 2019 B	100,000,000	2019/06/24	2019/12/06	25.40
20197	Macquarie Bank Ltd. – Weigao Group European Wts Jun 2020 A	34,000,000	2019/06/24	2020/06/16	10.03
20198	UBS AG – Tencent European Warrants Nov 2019 B	300,000,000	2019/06/24	2019/11/26	53.40
20228	Credit Suisse AG – GAC European Warrants Apr 2020 A	70,000,000	2019/06/25	2020/04/20	10.50
20230	Credit Suisse AG – HKEX European Put Warrants Nov 2019 C	70,000,000	2019/06/25	2019/11/26	10.50
20237	Credit Suisse AG – HSI European Put Warrants Nov 2019 B	150,000,000	2019/06/25	2019/11/28	22.50
20239	Credit Suisse AG – Sino Biopharm European Wts Feb 2020 A	70,000,000	2019/06/25	2020/02/28	10.50
20248	Goldman Sachs SP (Asia) – Country Garden Euro Wts Apr 2020 A	70,000,000	2019/06/25	2020/04/22	10.50
20249	Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2019 C	150,000,000	2019/06/25	2019/10/24	22.50
20246	Goldman Sachs SP (Asia) – ICBC European Warrants Nov 2019 A	80,000,000	2019/06/25	2019/11/26	12.00
20251	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Oct 2019 F	80,000,000	2019/06/25	2019/10/28	12.00
20245	J P Morgan SP BV – 3SBIO European Warrants Dec 2019 A	50,000,000	2019/06/25	2019/12/31	12.65
20241	J P Morgan SP BV – CGS European Warrants Dec 2019 A	50,000,000	2019/06/25	2019/12/31	17.35
20244	J P Morgan SP BV – Dongfeng Motor European Wts Dec 2019 A	30,000,000	2019/06/25	2019/12/31	11.10
20225	Macquarie Bank Ltd. – BOC HK European Warrants Jan 2020 A	35,000,000	2019/06/25	2020/01/03	10.22
20220	Macquarie Bank Ltd. – Evergrande European Wts Apr 2020 A	16,000,000	2019/06/25	2020/04/02	10.11
20224	Macquarie Bank Ltd. – GAC European Wts Mar 2020 A	40,000,000	2019/06/25	2020/03/03	10.00
20223	Macquarie Bank Ltd. – HKEX European Put Warrants Mar 2020 A	40,000,000	2019/06/25	2020/03/03	11.68
20255	BOCI Asia Ltd. – AIA European Warrants Nov 2019 D	70,000,000	2019/06/26	2019/11/01	10.50
20258	BOCI Asia Ltd. – HKEX European Put Warrants Sep 2019 G	200,000,000	2019/06/26	2019/09/30	30.00
20257	BOCI Asia Ltd. – HKEX European Put Warrants Nov 2019 C	100,000,000	2019/06/26	2019/11/26	15.00
20272	Credit Suisse AG – HKEX European Put Warrants Sep 2019 G	100,000,000	2019/06/26	2019/09/25	15.00
20274	Credit Suisse AG – WH Group European Put Warrants Dec 2019 B	60,000,000	2019/06/26	2019/12/20	10.02
20286	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Nov 2019 B	80,000,000	2019/06/26	2019/11/22	12.48
20282	Goldman Sachs SP (Asia) – HKEX European Put Wts Nov 2019 D	100,000,000	2019/06/26	2019/11/26	15.00
20284	Goldman Sachs SP (Asia) – HSBC European Put Wts Nov 2019 D	80,000,000	2019/06/26	2019/11/22	14.88
20299	Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2019 E	150,000,000	2019/06/26	2019/11/28	22.50
20288	Goldman Sachs SP (Asia) – HTSC European Warrants Nov 2019 A	60,000,000	2019/06/26	2019/11/11	10.02
20287	Goldman Sachs SP (Asia) – Tencent European Put Wt Dec 2019 F	100,000,000	2019/06/26	2019/12/17	19.80
20264	J P Morgan SP BV – HKEX European Put Wts Sep 2019 F	200,000,000	2019/06/26	2019/09/30	33.80
20265	J P Morgan SP BV – HKEX European Put Wts Apr 2020 A	200,000,000	2019/06/26	2020/04/06	50.00
20267	J P Morgan SP BV – HSI European Put Warrants Dec 2019 E	400,000,000	2019/06/26	2019/12/30	100.00
20269	J P Morgan SP BV – MTRC European Put Warrants Dec 2019 A	40,000,000	2019/06/26	2019/12/31	10.00
20271	J P Morgan SP BV – PSBC European Warrants Dec 2019 A	40,000,000	2019/06/26	2019/12/31	10.00



# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
20266	J P Morgan SP BV – Tencent European Put Warrants Dec 2019 D	300,000,000	2019/06/26	2019/12/31	75.00
20268	J P Morgan SP BV – Zhaojin Mining European Wts Nov 2020 A	60,000,000	2019/06/26	2020/11/16	10.98
20254	Macquarie Bank Ltd. – Li Ning European Warrants Oct 2020 A	40,000,000	2019/06/26	2020/10/16	10.00
20277	SG Issuer – HKEX European Put Warrants Oct 2019 B	60,000,000	2019/06/26	2019/10/25	12.60
20278	SG Issuer – Sands China European Put Warrants Nov 2019 C	60,000,000	2019/06/26	2019/11/27	12.00
20281	SG Issuer – Tencent European Warrants Oct 2019 E	200,000,000	2019/06/26	2019/10/28	30.00
20280	SG Issuer – Tencent European Put Warrants Dec 2019 E	200,000,000	2019/06/26	2019/12/17	30.00
20262	UBS AG – HSCEI European Put Warrants Mar 2020 B	200,000,000	2019/06/26	2020/03/30	30.00
20326	BNP Paribas Issuance B.V. – HKEX European Wts Oct 2019 D	50,000,000	2019/06/27	2019/10/03	10.50
20331	BNP Paribas Issuance B.V. – HKEX European Wts Nov 2019 D	70,000,000	2019/06/27	2019/11/04	10.50
20332	BNP Paribas Issuance B.V. – Ping An European Wt Apr 2020 A	40,000,000	2019/06/27	2020/04/02	10.00
20317	BNP Paribas Issuance B.V. – Tencent European Wts Nov 2019 D	80,000,000	2019/06/27	2019/11/04	12.00
20318	BNP Paribas Issuance B.V. – Tencent European Wts Jan 2020 I	80,000,000	2019/06/27	2020/01/03	20.80
20325	BNP Paribas Issuance B.V. – Tencent Eu Put Wt Jan 2020 J	80,000,000	2019/06/27	2020/01/03	22.40
20374	Credit Suisse AG – AIA European Put Warrants Apr 2020 A	100,000,000	2019/06/27	2020/04/23	15.00
20378	Credit Suisse AG – HSI European Put Warrants Oct 2019 C	150,000,000	2019/06/27	2019/10/30	22.50
20305	Credit Suisse AG – HSI European Put Warrants Nov 2019 C	150,000,000	2019/06/27	2019/11/28	22.50
20373	Credit Suisse AG – Ping An European Put Warrants Mar 2020 B	200,000,000	2019/06/27	2020/03/23	30.00
20376	Credit Suisse AG – Vitasoy Intl European Warrants Jul 2020 A	100,000,000	2019/06/27	2020/07/31	25.00
20369	Goldman Sachs SP (Asia) – AIA European Warrants Nov 2019 C	80,000,000	2019/06/27	2019/11/01	12.00
20367	Goldman Sachs SP (Asia) – CSPC Pharma Euro Wts Nov 2019 A	70,000,000	2019/06/27	2019/11/26	10.50
20359	Goldman Sachs SP (Asia) – HKEX European Put Wts Sep 2019 P	100,000,000	2019/06/27	2019/09/27	15.00
20370	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Oct 2019 G	80,000,000	2019/06/27	2019/10/08	12.00
20371	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2019 J	150,000,000	2019/06/27	2019/10/28	22.50
20347	J P Morgan SP BV – CS Services European Warrants Dec 2019 A	60,000,000	2019/06/27	2019/12/31	15.12
20339	J P Morgan SP BV – CM Bank European Warrants Dec 2019 A	80,000,000	2019/06/27	2019/12/31	12.08
20343	J P Morgan SP BV – HKEX European Warrants Oct 2019 B	200,000,000	2019/06/27	2019/10/31	30.20
20338	J P Morgan SP BV – HSI European Put Warrants Jan 2020 C	400,000,000	2019/06/27	2020/01/30	100.00
20349	J P Morgan SP BV – MTRC European Warrants Jan 2020 A	50,000,000	2019/06/27	2020/01/31	12.55
20342	J P Morgan SP BV – Ping An European Warrants Oct 2019 F	200,000,000	2019/06/27	2019/10/11	42.40
20350	J P Morgan SP BV – Zijin Mining European Warrants Dec 2019 B	40,000,000	2019/06/27	2019/12/31	10.00
20316	Macquarie Bank Ltd. – SJM Holdings European Wts Oct 2020 A	40,000,000	2019/06/27	2020/10/16	10.04
20315	Macquarie Bank Ltd. – Sunny Optical European Wts Jan 2020 C	12,000,000	2019/06/27	2020/01/03	10.16
20306	Macquarie Bank Ltd. – Tencent European Warrants Dec 2020 A	36,000,000	2019/06/27	2020/12/01	10.01
20380	SG Issuer – China Taiping European Warrants Nov 2019 A	50,000,000	2019/06/27	2019/11/21	11.50
20381	SG Issuer – GF Sec European Warrants Dec 2019 A	50,000,000	2019/06/27	2019/12/20	10.00
20379	SG Issuer – HSCEI European Put Warrants Mar 2020 C	150,000,000	2019/06/27	2020/03/30	22.50
20383	SG Issuer – HSI European Put Warrants Oct 2019 H	300,000,000	2019/06/27	2019/10/30	45.00
20391	SG Issuer – HSI European Put Warrants Nov 2019 F	300,000,000	2019/06/27	2019/11/28	45.00
20389	SG Issuer – Sunny Optical European Warrants Dec 2019 D	100,000,000	2019/06/27	2019/12/31	15.00
20382	SG Issuer – Tencent European Put Warrants Oct 2019 F	200,000,000	2019/06/27	2019/10/23	32.00
20301	UBS AG – HSI European Put Warrants Dec 2019 D	300,000,000	2019/06/27	2019/12/30	75.00
20333	UBS AG – Ping An European Warrants Oct 2019 D	100,000,000	2019/06/27	2019/10/09	21.60
20334	UBS AG – Ping An European Warrants Nov 2019 A	100,000,000	2019/06/27	2019/11/26	15.70

## MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
20335	UBS AG – Ping An European Put Warrants Oct 2019 E	100,000,000	2019/06/27	2019/10/04	33.50
20356	Bank Vontobel – HKEX European Warrants Mar 2020 A	100,000,000	2019/06/27	2020/03/02	25.10
20358	Bank Vontobel – ICBC European Put Warrants Jan 2020 A	30,000,000	2019/06/27	2020/01/06	10.53
20357	Bank Vontobel – New World Dev European Warrants Jul 2020 A	50,000,000	2019/06/27	2020/07/28	11.55
20409	Credit Suisse AG – AIA European Warrants Oct 2019 B	70,000,000	2019/06/28	2019/10/04	10.50
20403	Credit Suisse AG – BOC HK European Warrants Dec 2019 A	40,000,000	2019/06/28	2019/12/27	10.00
20412	Credit Suisse AG – CS Services European Warrants Dec 2019 A	40,000,000	2019/06/28	2019/12/27	10.00
20406	Credit Suisse AG – Galaxy Ent European Warrants Dec 2020 A	100,000,000	2019/06/28	2020/12/31	25.00
20402	Credit Suisse AG – Hang Seng Bank European Warrants Dec 19 A	40,000,000	2019/06/28	2019/12/27	10.00
20428	Goldman Sachs SP (Asia) – China Tower Euro Wts Oct 2019 E	80,000,000	2019/06/28	2019/10/30	12.00
20426	Goldman Sachs SP (Asia) – Hengan Intl European Wts Dec2019 A	40,000,000	2019/06/28	2019/12/30	10.00
20424	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2019 H	100,000,000	2019/06/28	2019/10/09	15.00
20422	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2019 G	150,000,000	2019/06/28	2019/12/27	22.50
20423	Goldman Sachs SP (Asia) – Xiaomi European Warrants Nov2019 B	70,000,000	2019/06/28	2019/11/13	10.50
20395	J P Morgan SP BV – CLP Holdings European Warrants Dec 2019 A	40,000,000	2019/06/28	2019/12/31	10.84
20400	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jan 2020 A	19,000,000	2019/06/28	2020/01/03	10.55
20413	SG Issuer – AIA European Put Warrants Apr 2020 A	200,000,000	2019/06/28	2020/04/23	30.00
20419	SG Issuer – CAM CSI300 European Warrants Sep 2019 F	80,000,000	2019/06/28	2019/09/27	12.00
20414	SG Issuer – BOCL European Put Warrants Apr 2020 A	80,000,000	2019/06/28	2020/04/24	12.00
20415	SG Issuer – CM Bank European Put Warrants Oct 2019 C	60,000,000	2019/06/28	2019/10/25	10.80
20416	SG Issuer – MTR Corporation European Warrants Dec 2019 A	40,000,000	2019/06/28	2019/12/31	10.00
20417	SG Issuer – Ping An European Warrants Oct 2019 D	80,000,000	2019/06/28	2019/10/28	30.80
20394	UBS AG – SPDR Gold Trust European Warrants Aug 2020 A	40,000,000	2019/06/28	2020/08/24	10.00
20392	UBS AG – Vitasoy Intl European Warrants Dec 2019 A	20,000,000	2019/06/28	2019/12/30	10.00
20434	Bank Vontobel – HSBC European Warrants Jan 2020 B	50,000,000	2019/06/28	2020/01/06	11.55
20429	Bank Vontobel – Meituan European Warrants Feb 2020 A	40,000,000	2019/06/28	2020/02/04	10.04
20432	Bank Vontobel – Ping An European Warrants Feb 2020 A	70,000,000	2019/06/28	2020/02/03	11.27
<b>Total</b>					<b>39,993.21</b>

# Further issue

## MAIN BOARD DEBT SECURITIES

### Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2018	Q2	8.66	11,216.39
	Q3	469.21	12,699.23
	Q4	59.73	13,055.16
2019	Q1	180.86	17,574.55
	Q2	112.77	16,566.96

### Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2018	Q2	1,117	4,313,245.30
	Q3	1,155	4,476,037.29
	Q4	1,195	4,588,705.55
2019	Q1	1,243	4,806,055.20
	Q2	1,291	4,908,086.43

### New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2018	Q2	74	268,843.96
	Q3	64	270,436.71
	Q4	86	254,127.38
2019	Q1	94	330,173.31
	Q2	107	353,472.05

# MAIN BOARD DEBT SECURITIES

## Newly Listed Debt Securities

for 2nd quarter 2019

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
05152#	Granda Century Ltd. 7.50% Guaranteed Bonds 2021	USD 235,000,000	2019/04/02	2021/09/11	1,795.94
05937	China Bright (Hong Kong) Ltd. 6.50% Guaranteed Bonds 2022	USD 138,000,000	2019/04/03	2022/04/02	1,076.40
05928	Zhenro Properties Group Ltd. 8.65% Senior Notes 2023	USD 420,000,000	2019/04/04	2023/01/21	3,273.28
05931	Vertex Capital Investment Ltd. 4.75% Guaranteed Bonds 2024	USD 500,000,000	2019/04/04	2024/04/03	3,900.00
05932	Mei Nian Investment Ltd. 7.75% Guaranteed Senior Notes 2021	USD 200,000,000	2019/04/04	2021/04/03	1,538.89
05936	Link 2019 CB Ltd. 1.60% Guaranteed Green Conv. Bonds 2024	HKD 4,000,000,000	2019/04/04	2024/04/03	4,000.00
05938	Hong Kong Mortgage Corporation Ltd. 1.76% Notes 2020, The	HKD 500,000,000	2019/04/08	2020/10/04	500.00
05940	Power Best Global Investments Ltd. 13.00% Senior Notes 2021	USD 100,000,000	2019/04/08	2021/04/04	780.00
05943	Jingrui Holdings Ltd. 10.875% Senior Notes 2021	USD 200,000,000	2019/04/08	2021/10/04	1,549.20
05947	Caiyun International Investment Ltd. 5.50% Guar. Bonds 2022	USD 800,000,000	2019/04/09	2022/04/08	6,222.97
05944	AIA Group Ltd. 3.60% Notes 2029	USD 1,000,000,000	2019/04/10	2029/04/09	7,760.45
05948	China SCE Group Holdings Ltd. 7.375% Senior Notes 2024	USD 350,000,000	2019/04/10	2024/04/09	2,730.00
05953	Jisco SR Pearl Ltd. 7.00% Guaranteed Bonds 2022	USD 300,000,000	2019/04/11	2022/04/10	2,321.40
05952	Xinjiang Transportation Construction Investment Holding Co. Ltd. 7.80% Bonds 2022	USD 160,000,000	2019/04/12	2022/04/11	1,248.00
05957	Tencent Holdings Ltd. 3.28% Senior Notes 2024	USD 1,250,000,000	2019/04/12	2024/04/11	9,749.13
05960	Tencent Holdings Ltd. Floating Rate Notes 2024B	USD 750,000,000	2019/04/12	2024/04/11	5,850.00
05961	Tencent Holdings Ltd. 3.575% Senior Notes 2026	USD 500,000,000	2019/04/12	2026/04/11	3,899.77
05962	Tencent Holdings Ltd. 3.975% Senior Notes 2029	USD 3,000,000,000	2019/04/12	2029/04/11	23,392.29
05963	Tencent Holdings Ltd. 4.525% Senior Notes 2049	USD 500,000,000	2019/04/12	2049/04/11	3,898.72
05964	Redsun Properties Group Ltd. 9.95% Senior Notes 2022	USD 300,000,000	2019/04/12	2022/04/11	2,271.46
04234#	The Govt of the HKSAR of the PRC 1.16% Bonds 2022	HKD 4,000,000,000	2019/04/12	2022/05/18	3,921.20
05991	Hong Kong Mortgage Corporation Ltd., The 1.89% Notes 2021	HKD 1,000,000,000	2019/04/17	2021/04/16	1,000.00
05996	Nuoxi Capital Ltd. 7.45% Guaranteed Bonds 2022	USD 300,000,000	2019/04/17	2022/04/16	2,340.00
05983	Southwest Securities Int'l Securities Ltd. 6.90% Bonds 2021	USD 200,000,000	2019/04/18	2021/04/17	1,560.00
05965	Bank of China Ltd., Luxembourg Branch Floating Rate Notes 2022 C	USD 500,000,000	2019/04/18	2022/04/17	3,900.00
05967	Bank of China Ltd., Frankfurt Branch 0.25% Notes 2022 D	EUR 500,000,000	2019/04/18	2022/04/17	4,416.93
05968	Bank of China Ltd., acting through its Sydney branch Floating Rate Notes 2022 B	AUD 600,000,000	2019/04/18	2022/10/17	3,372.18
05969	Bank of China Ltd., Hong Kong Branch 2.45% Notes 2021D	HKD 6,000,000,000	2019/04/18	2021/04/17	6,000.00
05974	Bank of China Ltd., Hong Kong Branch 3.125% Notes 2024	USD 550,000,000	2019/04/18	2024/04/17	4,283.31
05982	Bank of China Ltd., Hong Kong Branch 3.625% Notes 2029	USD 300,000,000	2019/04/18	2029/04/17	2,330.08
05990	Xihui Haiwai I Investment Holdings Co., Ltd. 4.50% Guar. Bonds 2022	USD 300,000,000	2019/04/18	2022/04/17	2,333.52
06000	IFC Development (Corporate Treasury) Ltd. 3.625% Guar. Notes 2029	USD 500,000,000	2019/04/18	2029/04/17	3,858.39
85742	Bank of China Ltd., Macau Branch 3.10% CNY Notes 2020 C	RMB 2,500,000,000	2019/04/18	2020/04/17	2,920.75
85743	Bank of China Ltd., Macau Branch 3.30% CNY Notes 2022 E	RMB 2,000,000,000	2019/04/18	2022/04/17	2,336.60
06007	Hong Kong Mortgage Corporation Ltd., The 1.82% Notes 2020	HKD 550,000,000	2019/04/23	2020/04/18	550.00
05998	Yuyao Econ Dev Zone Construction Invest & Dev 6.00% Guar. Bonds 2022	USD 100,000,000	2019/04/24	2022/04/23	780.00
05946	Huaibei City Construction Investment Holding Group Co. Ltd. 5.00% Credit Enhanced Bonds 2022	USD 150,000,000	2019/04/24	2022/04/23	1,170.00
06009	Huai'an Water Conservancy Holding Group Co., Ltd. 6.20% Notes 2022	USD 300,000,000	2019/04/25	2022/04/24	2,340.00

# MAIN BOARD DEBT SECURITIES

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$mil.)	
05493#	Chengdu Communications Investment Group Corporation Ltd. 5.125% Bonds 2021	USD	100,000,000	2019/04/25	2021/12/20	787.44
06003	Intellect Aim Management Ltd. 1.875% Guar Exchangeable B2020	HKD	1,100,000,000	2019/05/02	2020/04/27	1,100.00
06014	CICC Hong Kong Finance 2016 MTN Ltd. Floating Rate Notes 2022	USD	700,000,000	2019/05/06	2022/05/03	5,460.00
06016	CICC Hong Kong Finance 2016 MTN Ltd. 3.375% Notes 2022 B	USD	300,000,000	2019/05/06	2022/05/03	2,335.84
05495#	Yichang High-Tech Investment Development Co., Ltd. 7.50% Notes 2021	USD	150,000,000	2019/05/07	2021/12/20	1,178.24
06011	Chengdu Tianfu New Area Invest Group Co., Ltd. 4.65% Bonds 2024	USD	300,000,000	2019/05/08	2024/05/07	2,340.00
06017	CAR Inc. 8.875% Senior Notes 2022	USD	372,333,000	2019/05/14	2022/05/10	2,904.20
04497#	AVIC Int'l Finance & Investment Ltd. 4.375% Guar. Bonds 2021	USD	100,000,000	2019/05/14	2021/05/23	778.31
05152#	Granda Century Ltd. 7.50% Guaranteed Bonds 2021	USD	65,000,000	2019/05/14	2021/09/11	497.19
04302	Hong Kong Mortgage Corporation Ltd., The 1.96% Notes 2020	HKD	520,000,000	2019/05/16	2020/05/15	520.00
04303	CCBL (Cayman) 1 Corporation Ltd. 3.50% Guaranteed Notes 2024	USD	500,000,000	2019/05/17	2024/05/16	3,891.15
04319	CCBL (Cayman) 1 Corporation Ltd. 3.875% Guaranteed Notes 2029	USD	200,000,000	2019/05/17	2029/05/16	1,544.57
06026	MGM China Holdings Ltd. 5.375% Senior Notes 2024	USD	750,000,000	2019/05/17	2024/05/15	5,850.00
06028	MGM China Holdings Ltd. 5.875% Senior Notes 2026	USD	750,000,000	2019/05/17	2026/05/15	5,850.00
04221#	The Govt of the HKSAR of the PRC 2.22% Bonds 2024	HKD	2,500,000,000	2019/05/17	2024/08/07	2,548.75
05818#	E-House (China) Enterprise Holdings Ltd. 7.75% Senior Notes 2021	USD	100,000,000	2019/05/17	2021/02/28	770.35
04325	Hong Kong Mortgage Corporation Ltd., The 1.98% Notes 2021	HKD	750,000,000	2019/05/21	2021/05/17	750.00
04526	NWD (MTN) Ltd. 3.80% Notes 2029	HKD	450,000,000	2019/05/21	2029/05/21	450.00
04527	Rongshi International Finance Ltd. 3.25% Notes 2024	USD	500,000,000	2019/05/22	2024/05/21	3,892.67
04528	Rongshi International Finance Ltd. 3.75% Notes 2029	USD	500,000,000	2019/05/22	2029/05/21	3,880.03
04076	Hong Kong Monetary Authority, The 1.70% Exchange Fund Notes 2021	HKD	1,200,000,000	2019/05/23	2021/05/24	1,198.44
04329	Liuzhou Dongtong Investment & Development Co., Ltd. 7.00% Bonds 2022	USD	140,000,000	2019/05/23	2022/05/22	1,092.00
04530	Pioneer Reward Ltd. 3.375% Guaranteed Bonds 2022	USD	500,000,000	2019/05/24	2022/05/23	3,893.72
04532	Oceanwide Holdings International Development III Co., Ltd. 14.50% Guar Senior Notes 2021	USD	280,000,000	2019/05/24	2021/05/23	2,184.00
04531	Zhuzhou City Construction Dev Group Co., Ltd. 5.70% Bonds 2022	USD	400,000,000	2019/05/27	2022/05/24	3,120.00
04238	The Govt of the HKSAR of the PRC 2.50% Green Bond 2024	USD	1,000,000,000	2019/05/29	2024/05/28	7,779.95
04533	China Huadian Overseas Development Management Co. Ltd. Senior Guar Perp Sec	USD	500,000,000	2019/05/30	-	3,900.00
04535	Pingxiang Urban Construction Investment Developing Co. 4.95% Bonds 2022	USD	300,000,000	2019/05/30	2022/04/29	2,340.00
04539	SDG Finance I Ltd. 5.30% Perpetual Capital Securities	USD	100,000,000	2019/05/30	-	780.00
04541	Lee & Man Paper Manufacturing Ltd. 5.50% Senior Perp Capital Securities	USD	300,000,000	2019/05/30	-	2,340.00
04543	Huarong Finance 2019 Co., Ltd. 3.375% Guaranteed Notes 2022	USD	300,000,000	2019/05/30	2022/05/29	2,334.64
04549	Huarong Finance 2019 Co., Ltd. 3.75% Guaranteed Notes 2024	USD	900,000,000	2019/05/30	2024/05/29	7,011.78
04551	Huarong Finance 2019 Co., Ltd. 4.50% Guaranteed Notes 2029	USD	700,000,000	2019/05/30	2029/05/29	5,445.64
85744	Agricultural Development Bank of China 3.08% Fixed Rate CNY B2020	RMB	2,000,000,000	2019/05/30	2020/05/29	2,271.80
85901	Agricultural Development Bank of China 3.23% CNY Bonds 2022	RMB	1,000,000,000	2019/05/30	2022/05/29	1,135.90
04542	Chouzhou International Investment Ltd. 4.50% Guar Bonds 2022	USD	600,000,000	2019/05/31	2022/05/30	4,667.03
04559	Horse Gallop Finance Ltd. 3.25% Guaranteed Notes 2022	USD	700,000,000	2019/05/31	2022/05/30	5,452.41
04536	Soar Wise Ltd. 3.50% Guaranteed Notes 2022	USD	450,000,000	2019/06/03	2022/05/31	3,509.61
04553	China Development Bank Floating Rate Notes 2021	GBP	300,000,000	2019/06/03	2021/05/31	2,970.00
04562	Chongqing Nan'an Urban Construction & Dev (Group) Co., Ltd. 4.66% Bonds 2024	USD	500,000,000	2019/06/05	2024/06/04	3,875.98
05489#	Shaoxing City Investment Group Ltd. 5.875% Bonds 2021	USD	150,000,000	2019/06/05	2021/12/18	1,167.60

# MAIN BOARD DEBT SECURITIES

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$mil.)
04560	Henderson Land MTN Ltd. Fixed Rate Notes 2021	HKD 316,000,000	2019/06/06	2021/06/05	316.00
04565	Xi Yang Overseas Ltd. 4.30% Guaranteed Bonds 2024	USD 500,000,000	2019/06/06	2024/06/05	3,877.50
05457	*Yunnan Energy Investment Overseas Finance Co. Ltd. 6.25% Guar. Bonds 2021	USD 400,000,000	2019/06/06	2021/11/29	3,177.22
04566	Perfect Point Ventures Ltd. 6.35% Guaranteed Notes 2022	USD 250,000,000	2019/06/10	2022/06/06	1,950.00
04567	Xinjiang Zhongtai (Group) Co., Ltd. 7.00% Bonds 2022	USD 380,000,000	2019/06/10	2022/06/06	2,944.35
04573	Hong Kong Mortgage Corporation Ltd., The 2.00% Notes 2020	HKD 500,000,000	2019/06/12	2020/06/11	500.00
04569	Xinhu (BVI) 2018 Holding Co. Ltd. 11.00% Guar. Senior N2022	USD 110,000,000	2019/06/13	2022/06/12	858.00
04572	UHI Capital Ltd. 3.00% Guaranteed Bonds 2024	USD 500,000,000	2019/06/13	2024/06/12	3,867.59
04578	Gemdale Ever Prosperity Investment Ltd. 5.60% Guaranteed Bonds 2022	USD 250,000,000	2019/06/17	2022/06/14	1,950.00
04595	Hong Kong Mortgage Corporation Ltd., The 1.88% Notes 2020	HKD 900,000,000	2019/06/17	2020/06/15	900.00
04585	Haimen Zhongnan Investment Development (Int'l) Co., Ltd. 10.875% Guar Senior Notes 2022	USD 350,000,000	2019/06/19	2022/06/18	2,725.99
04587	Shanghai Port Group (BVI) Development Co., Ltd. 2.875% Guar Bonds 2024	USD 400,000,000	2019/06/19	2024/06/18	3,111.80
04591	Shanghai Port Group (BVI) Development Co., Ltd. 3.375% Guar Bonds 2029	USD 300,000,000	2019/06/19	2029/06/18	2,323.50
04599	Tuspark Forward Ltd. 6.95% Guaranteed Bonds 2022	USD 550,000,000	2019/06/19	2022/06/18	4,244.53
05456	*Guangsha Holding Group Co., Ltd. 8.50% Guaranteed Senior Notes 2020	USD 20,000,000	2019/06/19	2020/11/26	156.00
04596	Zhenro Properties Group Ltd. Senior Perpetual Capital Sec	USD 200,000,000	2019/06/20	-	1,560.00
05079	China Merchants Bank Co., Ltd. London Branch Floating Rate Notes 2022	USD 600,000,000	2019/06/20	2022/06/19	4,680.00
05292	Jinke Property Group Co., Ltd. 8.375% Notes 2021	USD 300,000,000	2019/06/21	2021/06/20	2,313.77
05007	DianJian Haiyu Ltd. 3.00% Guaranteed Notes 2022	USD 300,000,000	2019/06/21	2022/06/20	2,334.80
05016	DianJian Haiyu Ltd. 4.30% Senior Guaranteed Perpetual Securities	USD 500,000,000	2019/06/21	-	3,900.00
05064	VCREDIT Holdings Ltd. 11.00% Senior Notes 2021	USD 100,000,000	2019/06/21	2021/06/20	764.82
05289	Franshion Brilliant Ltd. 4.00% Guaranteed Senior Notes 2024	USD 250,000,000	2019/06/24	2024/06/21	1,980.50
05297	Mudanjiang City Investment Group Co., Ltd. 8.00% Notes 2022	USD 100,000,000	2019/06/24	2022/06/21	780.00
05298	China Everbright Bank Co., Ltd., HK Branch Floating Rate Notes 2022	USD 500,000,000	2019/06/25	2022/06/24	3,900.00
05365	Nuoxi Capital Ltd. 7.875% Guaranteed Bonds 2021	USD 250,000,000	2019/06/25	2021/06/24	1,950.00
86655	Ministry of Finance of the PRC 2.95% CNY Bonds 2021	RMB 3,950,000,000	2019/06/25	2021/06/24	4,490.36
86656	Ministry of Finance of the PRC 3.03% CNY Bonds 2024	RMB 1,050,000,000	2019/06/25	2024/06/24	1,193.64
05309	Greenland Global Investment Ltd. 6.75% Notes 2022	USD 500,000,000	2019/06/26	2022/06/25	3,894.78
05572	Xiangyu Investment (BVI) Co., Ltd. 6.90% Guar. Bonds 2022	USD 300,000,000	2019/06/26	2022/06/25	2,327.55
05534	Kunming Dianchi Investment Co., Ltd. 6.60% Notes 2022	USD 300,000,000	2019/06/27	2022/06/26	2,333.73
05578	China Logistics Property Holdings Co., Ltd. 6.95% Conv Bonds 2024	HKD 1,109,000,000	2019/06/27	2024/06/26	1,109.00
05584	Zhangzhou Transportation Development Group Co., Ltd. 6.50% Bonds 2022	USD 500,000,000	2019/06/27	2022/06/26	3,900.00
05940	*Power Best Global Investments Ltd. 13.00% Senior Notes 2021	USD 111,000,000	2019/06/27	2021/04/04	878.86
05513	Yangzhou Economic and Technological Development Zone Development Corporation 5.50% Bonds 2022	USD 300,000,000	2019/06/28	2022/06/27	2,340.00
05569	Kunming Traffic Investment Co., Ltd. 6.20% Notes 2022	USD 300,000,000	2019/06/28	2022/06/27	2,340.00
05570	Xinjiang Transportation Construction Invest Holding Co. Ltd. 7.50% Bonds 2022	USD 140,000,000	2019/06/28	2022/06/27	1,092.00
05575	Sichuan Railway Investment Group Co., Ltd. 3.80% Bonds 2022	USD 300,000,000	2019/06/28	2022/06/27	2,340.00
05581	CRCC Chengan Ltd. Guaranteed Perpetual Securities	USD 1,000,000,000	2019/06/28	-	7,800.00
05585	Liang Shan International Development Ltd. 7.00% Guar. Bonds 2022	USD 300,000,000	2019/06/28	2022/06/27	2,340.00
05594	CELESTIAL DYNASTY LTD. 4.25% Guaranteed Senior Notes 2029	USD 650,000,000	2019/06/28	2029/06/27	5,055.70
<b>Total</b>					<b>353,472.05</b>

# Further issue

# MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

## Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Leveraged and Inverse Products (L&I Products) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2018	Q2	251,843.88	23,169.94	24,750.59	51.70	299,816.11	4.71
	Q3	192,388.16	23,002.28	28,585.72	62.14	244,038.30	4.23
	Q4	190,252.89	33,546.71	29,121.88	41.46	252,962.94	4.78
2019	Q1	260,981.84	17,819.92	33,967.70	18.91	312,788.36	5.17
	Q2	320,187.84	27,260.07	31,098.07	23.36	378,569.33	6.79

Note: Turnover value traded in non-HKD currency securities have been included

## Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Leveraged and Inverse Products (L&I Products) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2018	Q2	108	593,609.09	27	5,188.57	11	268,855.16	1	902.36	145	868,555.18
	Q3	112	540,139.20	22	4,625.00	11	277,844.26	1	599.51	146	823,207.97
	Q4	115	551,375.79	22	5,252.56	11	280,106.35	1	556.89	149	837,291.59
2019	Q1	115	596,384.72	22	6,808.44	11	323,936.88	1	675.83	149	927,805.86
	Q2	114	582,193.93	23	7,296.53	11	331,090.25	1	639.39	149	921,220.10

## New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
22018	Q2	4	-
	Q3	4	-
	Q4	6	-
2019	Q1	1	-
	Q2	3	-

# MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

## Newly Listed Unit Trust and Mutual Funds

for 2nd quarter 2019

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	No. of unit offer	Amount raised (HK\$ mil)	Subscription rate (times)	Listing date
07500	CSOP HSI Daily (-2x) Inverse Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2019/05/28
03011/09011	ICBC CICC USD Money Market ETF	China International Capital Corporation Hong Kong Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2019/06/18
07588	CSOP HSCEI Daily (-2x) Inverse Product	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2019/06/25



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

## Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2018	Q2	2,650	82,658.60	366,044.00
	Q3	2,911	72,149.22	373,055.40
	Q4	2,847	66,272.76	516,217.92
2019	Q1	3,899	107,176.42	597,463.35
	Q2	3,884	109,546.95	493,005.18

## New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2018	Q2	5,371	173,579.03
	Q3	6,709	213,476.75
	Q4	7,158	234,769.20
2019	Q1	6,467	211,608.09
	Q2	6,036	216,341.07

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

## Newly Listed Callable Bull/Bear Contracts

for 2nd quarter 2019

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66781	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 C	150,000,000	2019/04/01	2019/07/30	38.40
66782	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 D	150,000,000	2019/04/01	2019/07/30	38.10
66776	BOCI Asia Ltd. – Tencent R Bull CBBC Sep 2019 B	200,000,000	2019/04/01	2019/09/27	51.60
66780	BOCI Asia Ltd. – Tencent R Bear CBBC Sep 2019 B	200,000,000	2019/04/01	2019/09/27	50.40
66798	BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Sep 2019 E	100,000,000	2019/04/01	2019/09/27	25.00
66656	BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2019 L	100,000,000	2019/04/01	2019/11/28	25.00
66801	BNP Paribas Issuance B.V. – CSOP A50 R Bull CBBC Dec 2019 B	60,000,000	2019/04/01	2019/12/30	15.00
66642	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct19 B	60,000,000	2019/04/01	2019/10/30	15.00
66610	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 N	50,000,000	2019/04/01	2019/11/28	12.50
66623	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 C	50,000,000	2019/04/01	2020/07/30	12.50
66829	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 D	50,000,000	2019/04/01	2020/07/30	12.50
66640	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Oct 2019 B	150,000,000	2019/04/01	2019/10/30	37.50
66641	BNP Paribas Issuance B.V. – HSBC R Bear CBBC Sep 2019 B	150,000,000	2019/04/01	2019/09/27	37.50
66674	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Dec 2019 S	100,000,000	2019/04/01	2019/12/30	25.00
66675	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 I	250,000,000	2019/04/01	2021/09/29	62.50
66678	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 J	250,000,000	2019/04/01	2021/09/29	62.50
66680	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 K	250,000,000	2019/04/01	2021/09/29	62.50
66936	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 L	240,000,000	2019/04/01	2021/09/29	60.00
66786	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 A	250,000,000	2019/04/01	2019/08/29	62.50
66788	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 B	250,000,000	2019/04/01	2019/08/29	62.50
66794	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 C	250,000,000	2019/04/01	2019/08/29	62.50
66796	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 D	250,000,000	2019/04/01	2019/08/29	62.50
66942	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 E	240,000,000	2019/04/01	2019/08/29	60.00
66638	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Aug 2019 M	200,000,000	2019/04/01	2019/08/29	50.00
66806	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Aug 2019 N	200,000,000	2019/04/01	2019/08/29	50.00
66604	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 B	50,000,000	2019/04/01	2020/01/30	12.50
66861	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 Z	50,000,000	2019/04/01	2019/10/30	12.50
66863	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 A	50,000,000	2019/04/01	2019/11/28	12.50
66859	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 Z	50,000,000	2019/04/01	2019/11/28	12.50
67062	Credit Suisse AG – AIA R Bear CBBC Dec 2019 K	70,000,000	2019/04/01	2019/12/30	17.50
67061	Credit Suisse AG – Geely Auto R Bear CBBC Dec 2019 D	100,000,000	2019/04/01	2019/12/30	25.00
67055	Credit Suisse AG – Galaxy Ent R Bear CBBC Jan 2020 A	50,000,000	2019/04/01	2020/01/30	12.50
67027	Credit Suisse AG – HSI R Bull CBBC Jul 2021 D	200,000,000	2019/04/01	2021/07/29	50.00
67028	Credit Suisse AG – HSI R Bull CBBC Jul 2021 E	200,000,000	2019/04/01	2021/07/29	50.00
67041	Credit Suisse AG – HSI R Bull CBBC Jul 2021 F	200,000,000	2019/04/01	2021/07/29	50.00
67079	Credit Suisse AG – HSI R Bull CBBC Jul 2021 G	200,000,000	2019/04/01	2021/07/29	50.00
67011	Credit Suisse AG – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/04/01	2021/09/29	50.00
67026	Credit Suisse AG – HSI R Bull CBBC Sep 2021 D	200,000,000	2019/04/01	2021/09/29	50.00
67082	Credit Suisse AG – HSI R Bear CBBC Jul 2019 H	200,000,000	2019/04/01	2019/07/30	50.00
67087	Credit Suisse AG – HSI R Bear CBBC Jul 2019 U	200,000,000	2019/04/01	2019/07/30	50.00
67081	Credit Suisse AG – HSI R Bear CBBC Aug 2019 X	200,000,000	2019/04/01	2019/08/29	50.00
67085	Credit Suisse AG – HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/04/01	2019/08/29	50.00
67067	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 T	80,000,000	2019/04/01	2019/12/19	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67077	Credit Suisse AG – Ping An R Bear CBBC Dec 2019 I	60,000,000	2019/04/01	2019/12/12	15.00
67043	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 G	200,000,000	2019/04/01	2019/12/30	50.00
67078	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 S	200,000,000	2019/04/01	2019/12/20	50.00
66935	Bank of East Asia – AIA R Bull CBBC Aug 2019 F	40,000,000	2019/04/01	2019/08/22	10.00
66920	Bank of East Asia – AIA R Bear CBBC Jul 2019 B	40,000,000	2019/04/01	2019/07/08	10.00
66924	Bank of East Asia – CC Bank R Bull CBBC Dec 2019 A	60,000,000	2019/04/01	2019/12/06	15.00
66923	Bank of East Asia – ICBC R Bull CBBC Dec 2019 A	60,000,000	2019/04/01	2019/12/06	15.00
67195	Goldman Sachs SP (Asia) – AIA R Bull CBBC Nov 2019 R	100,000,000	2019/04/01	2019/11/15	25.00
67206	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 G	100,000,000	2019/04/01	2019/07/03	25.00
66759	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 A	200,000,000	2019/04/01	2021/09/29	50.00
66760	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 B	200,000,000	2019/04/01	2021/09/29	50.00
66741	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/04/01	2021/10/28	50.00
66746	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/04/01	2021/10/28	50.00
67167	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 L	200,000,000	2019/04/01	2019/07/30	50.00
67174	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 M	200,000,000	2019/04/01	2019/07/30	50.00
67179	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 N	200,000,000	2019/04/01	2019/07/30	50.00
66740	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2019 D	100,000,000	2019/04/01	2019/12/23	25.00
67205	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2019 H	100,000,000	2019/04/01	2019/12/23	25.00
66739	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 B	100,000,000	2019/04/01	2019/07/02	25.00
66745	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 C	100,000,000	2019/04/01	2019/07/02	25.00
66900	HK Bank – China Mobile R Bear CBBC Sep 2019 B	40,000,000	2019/04/01	2019/09/23	10.00
66895	HK Bank – Galaxy Ent R Bear CBBC Oct 2019 B	40,000,000	2019/04/01	2019/10/28	10.00
66884	HK Bank – HSCEI R Bear CBBC Sep 2019 C	50,000,000	2019/04/01	2019/09/27	12.50
66901	HK Bank – HSI R Bull CBBC Feb 2020 M	80,000,000	2019/04/01	2020/02/27	20.00
66891	HK Bank – HSI R Bear CBBC Sep 2019 F	80,000,000	2019/04/01	2019/09/27	20.00
66892	HK Bank – HSI R Bear CBBC Sep 2019 G	120,000,000	2019/04/01	2019/09/27	30.00
66893	HK Bank – Tencent R Bull CBBC Aug 2019 I	50,000,000	2019/04/01	2019/08/05	12.50
66894	HK Bank – Tencent R Bear CBBC Aug 2019 Q	40,000,000	2019/04/01	2019/08/26	10.00
67009	Haitong Int'l Sec – CC Bank R Bear CBBC Oct 2019 A	60,000,000	2019/04/01	2019/10/28	15.00
67004	Haitong Int'l Sec – HSBC R Bull CBBC Sep 2019 A	70,000,000	2019/04/01	2019/09/09	17.50
66710	Haitong Int'l Sec – HSI R Bull CBBC May 2021 D	120,000,000	2019/04/01	2021/05/28	30.00
66722	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 D	120,000,000	2019/04/01	2021/10/28	30.00
66904	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 E	120,000,000	2019/04/01	2021/10/28	30.00
66906	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 D	120,000,000	2019/04/01	2019/07/30	30.00
66910	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 E	120,000,000	2019/04/01	2019/07/30	30.00
66916	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 F	120,000,000	2019/04/01	2019/07/30	30.00
66733	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 A	40,000,000	2019/04/01	2019/11/27	10.00
66735	Haitong Int'l Sec – Tencent R Bear CBBC Oct 2019 I	40,000,000	2019/04/01	2019/10/31	10.00
66736	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 C	40,000,000	2019/04/01	2019/12/02	10.00
66967	J P Morgan SP BV – HKEX R Bull CBBC Sep 2019 A	200,000,000	2019/04/01	2019/09/13	50.00
66978	J P Morgan SP BV – HSBC R Bull CBBC Sep 2019 A	100,000,000	2019/04/01	2019/09/13	25.00
66943	J P Morgan SP BV – HSI R Bull CBBC Jul 2021 I	200,000,000	2019/04/01	2021/07/29	50.00
66950	J P Morgan SP BV – HSI R Bull CBBC Jul 2021 J	200,000,000	2019/04/01	2021/07/29	50.00
66946	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/04/01	2021/09/29	50.00
66948	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/04/01	2021/09/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66952	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/04/01	2021/09/29	50.00
66977	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 C	200,000,000	2019/04/01	2019/07/30	50.00
66970	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 W	200,000,000	2019/04/01	2019/07/30	50.00
66973	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 X	200,000,000	2019/04/01	2019/07/30	50.00
66976	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/04/01	2019/07/30	50.00
66979	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/04/01	2019/07/30	50.00
66954	J P Morgan SP BV – Tencent R Bull CBBC Jul 2019 G	50,000,000	2019/04/01	2019/07/12	12.50
66959	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 E	250,000,000	2019/04/01	2019/08/09	62.50
66772	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 B	18,200,000	2019/04/01	2019/08/13	10.01
66769	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 M	9,900,000	2019/04/01	2019/11/28	10.05
67155	SG Issuer – AIA R Bull CBBC Oct 2019 J	60,000,000	2019/04/01	2019/10/29	15.00
67156	SG Issuer – China Life R Bull CBBC Oct 2019 A	40,000,000	2019/04/01	2019/10/29	10.00
67153	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 L	100,000,000	2019/04/01	2020/02/27	25.00
67158	SG Issuer – Geely Auto R Bull CBBC Sep 2019 C	40,000,000	2019/04/01	2019/09/30	10.00
67092	SG Issuer – HKEX R Bull CBBC Oct 2019 E	50,000,000	2019/04/01	2019/10/10	12.50
67088	SG Issuer – HSI R Bull CBBC Aug 2021 A	200,000,000	2019/04/01	2021/08/30	50.00
67089	SG Issuer – HSI R Bull CBBC Aug 2021 B	200,000,000	2019/04/01	2021/08/30	50.00
67091	SG Issuer – HSI R Bull CBBC Aug 2021 C	200,000,000	2019/04/01	2021/08/30	50.00
67152	SG Issuer – HSI R Bull CBBC Aug 2021 D	200,000,000	2019/04/01	2021/08/30	50.00
67180	SG Issuer – HSI R Bull CBBC Aug 2021 E	200,000,000	2019/04/01	2021/08/30	50.00
67186	SG Issuer – HSI R Bull CBBC Aug 2021 F	200,000,000	2019/04/01	2021/08/30	50.00
67190	SG Issuer – HSI R Bull CBBC Aug 2021 G	200,000,000	2019/04/01	2021/08/30	50.00
67146	SG Issuer – HSI R Bear CBBC Jul 2019 D	200,000,000	2019/04/01	2019/07/30	50.00
67119	SG Issuer – HSI R Bear CBBC Jul 2019 H	200,000,000	2019/04/01	2019/07/30	50.00
67143	SG Issuer – HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/04/01	2019/07/30	50.00
67149	SG Issuer – HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/04/01	2019/09/27	50.00
67118	SG Issuer – ICBC R Bull CBBC Oct 2019 B	100,000,000	2019/04/01	2019/10/14	25.00
67094	SG Issuer – Ping An R Bull CBBC Oct 2019 Y	100,000,000	2019/04/01	2019/10/10	25.00
67162	SG Issuer – Ping An R Bear CBBC Oct 2019 D	100,000,000	2019/04/01	2019/10/18	25.00
67106	SG Issuer – Tencent R Bull CBBC Aug 2019 M	80,000,000	2019/04/01	2019/08/01	20.00
67107	SG Issuer – Tencent R Bull CBBC Aug 2019 N	80,000,000	2019/04/01	2019/08/06	20.00
67112	SG Issuer – Tencent R Bull CBBC Aug 2019 O	80,000,000	2019/04/01	2019/08/12	20.00
67163	SG Issuer – Tencent R Bear CBBC Oct 2019 M	80,000,000	2019/04/01	2019/10/29	20.00
66764	UBS AG – HSI R Bull CBBC Oct 2020 J	200,000,000	2019/04/01	2020/10/29	50.00
66761	UBS AG – HSI R Bull CBBC Oct 2020 L	200,000,000	2019/04/01	2020/10/29	50.00
66765	UBS AG – HSI R Bull CBBC Oct 2020 M	200,000,000	2019/04/01	2020/10/29	50.00
66981	UBS AG – HSI R Bull CBBC Oct 2020 N	200,000,000	2019/04/01	2020/10/29	50.00
66982	UBS AG – HSI R Bull CBBC Oct 2020 T	200,000,000	2019/04/01	2020/10/29	50.00
66993	UBS AG – HSI R Bear CBBC Jul 2019 O	200,000,000	2019/04/01	2019/07/30	50.00
66995	UBS AG – HSI R Bear CBBC Jul 2019 P	200,000,000	2019/04/01	2019/07/30	50.00
66999	UBS AG – HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/04/01	2019/07/30	50.00
67003	UBS AG – Tencent R Bear CBBC Jul 2019 F	40,000,000	2019/04/01	2019/07/18	10.00
67364	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 M	240,000,000	2019/04/02	2021/09/29	60.00
67363	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 F	240,000,000	2019/04/02	2019/08/29	60.00
67431	Credit Suisse AG – HSI R Bull CBBC Sep 2021 E	200,000,000	2019/04/02	2021/09/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67411	Credit Suisse AG – HSI R Bear CBBC Jul 2019 I	200,000,000	2019/04/02	2019/07/30	50.00
67403	Credit Suisse AG – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/04/02	2019/08/29	50.00
67401	Credit Suisse AG – HSI R Bear CBBC Sep 2019 U	200,000,000	2019/04/02	2019/09/27	50.00
67415	Credit Suisse AG – HSI R Bear CBBC Oct 2019 I	300,000,000	2019/04/02	2019/10/30	75.00
67423	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 I	200,000,000	2019/04/02	2019/12/20	50.00
67418	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 T	200,000,000	2019/04/02	2019/12/17	50.00
67560	Goldman Sachs SP (Asia) – China Life R Bull CBBC Jul 2019 B	100,000,000	2019/04/02	2019/07/02	25.00
67241	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/04/02	2021/10/28	50.00
67217	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 O	200,000,000	2019/04/02	2019/07/30	50.00
67230	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 P	200,000,000	2019/04/02	2019/07/30	50.00
67231	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/04/02	2019/07/30	50.00
67240	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 D	100,000,000	2019/04/02	2019/07/02	25.00
67559	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 B	100,000,000	2019/04/02	2019/07/02	25.00
67324	HK Bank – Conch Cement R Bull CBBC Oct 2019 B	40,000,000	2019/04/02	2019/10/21	10.00
67315	HK Bank – AIA R Bear CBBC Oct 2019 E	40,000,000	2019/04/02	2019/10/14	10.00
67320	HK Bank – China Mobile R Bull CBBC Oct 2019 F	60,000,000	2019/04/02	2019/10/21	15.00
67304	HK Bank – HKEX R Bear CBBC Sep 2019 F	40,000,000	2019/04/02	2019/09/26	10.00
67268	HK Bank – HSI R Bull CBBC Jul 2020 O	120,000,000	2019/04/02	2020/07/30	30.00
67283	HK Bank – HSI R Bear CBBC Sep 2019 H	120,000,000	2019/04/02	2019/09/27	30.00
67323	HK Bank – PetroChina R Bear CBBC Oct 2019 A	40,000,000	2019/04/02	2019/10/14	10.00
67305	HK Bank – Ping An R Bear CBBC Aug 2019 E	60,000,000	2019/04/02	2019/08/12	15.00
67327	HK Bank – SHK Ppt R Bull CBBC Jan 2020 B	40,000,000	2019/04/02	2020/01/20	10.00
67312	HK Bank – Sunny Optical R Bear CBBC Sep 2019 C	40,000,000	2019/04/02	2019/09/02	10.00
67300	HK Bank – Tencent R Bull CBBC Oct 2019 G	50,000,000	2019/04/02	2019/10/02	12.50
67303	HK Bank – Tencent R Bear CBBC Sep 2019 L	40,000,000	2019/04/02	2019/09/23	10.00
67244	Haitong Int'l Sec – HKEX R Bull CBBC Nov 2019 A	80,000,000	2019/04/02	2019/11/22	20.00
67255	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 C	120,000,000	2019/04/02	2021/09/29	30.00
67384	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 G	120,000,000	2019/04/02	2019/07/30	30.00
67388	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 H	120,000,000	2019/04/02	2019/07/30	30.00
67397	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 I	120,000,000	2019/04/02	2019/07/30	30.00
67246	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 B	80,000,000	2019/04/02	2019/11/04	20.00
67399	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 E	40,000,000	2019/04/02	2019/11/04	10.00
67383	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/04/02	2021/09/29	50.00
67367	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 A	200,000,000	2019/04/02	2019/08/29	50.00
67368	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 B	200,000,000	2019/04/02	2019/08/29	50.00
67369	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 C	200,000,000	2019/04/02	2019/08/29	50.00
67372	J P Morgan SP BV – Tencent R Bull CBBC Jul 2019 H	50,000,000	2019/04/02	2019/07/12	12.50
67377	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 F	250,000,000	2019/04/02	2019/08/09	62.50
67380	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 G	250,000,000	2019/04/02	2019/08/09	62.50
67258	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 C	18,700,000	2019/04/02	2019/08/12	10.04
67267	Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2019 G	11,300,000	2019/04/02	2019/10/29	10.00
67556	SG Issuer – AIA R Bull CBBC Dec 2019 D	60,000,000	2019/04/02	2019/12/23	15.00
67558	SG Issuer – CC Bank R Bull CBBC Oct 2019 B	100,000,000	2019/04/02	2019/10/10	25.00
67460	SG Issuer – CNOOC R Bear CBBC Sep 2019 B	40,000,000	2019/04/02	2019/09/27	10.00
67468	SG Issuer – Galaxy Ent R Bear CBBC Oct 2019 B	100,000,000	2019/04/02	2019/10/28	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67484	SG Issuer – HKEX R Bear CBBC Nov 2019 A	50,000,000	2019/04/02	2019/11/28	12.50
67524	SG Issuer – HSI R Bull CBBC Jul 2021 F	200,000,000	2019/04/02	2021/07/29	50.00
67544	SG Issuer – HSI R Bull CBBC Jul 2021 G	200,000,000	2019/04/02	2021/07/29	50.00
67546	SG Issuer – HSI R Bull CBBC Aug 2021 H	200,000,000	2019/04/02	2021/08/30	50.00
67507	SG Issuer – HSI R Bear CBBC Jul 2019 F	200,000,000	2019/04/02	2019/07/30	50.00
67514	SG Issuer – HSI R Bear CBBC Aug 2019 G	200,000,000	2019/04/02	2019/08/29	50.00
67522	SG Issuer – HSI R Bear CBBC Sep 2019 R	200,000,000	2019/04/02	2019/09/27	50.00
67527	SG Issuer – Ping An R Bull CBBC Oct 2019 Z	100,000,000	2019/04/02	2019/10/29	25.00
67532	SG Issuer – Sands China R Bull CBBC Jan 2020 A	80,000,000	2019/04/02	2020/01/30	20.00
67490	SG Issuer – Tencent R Bull CBBC Aug 2019 P	80,000,000	2019/04/02	2019/08/19	20.00
67533	SG Issuer – Tencent R Bull CBBC Aug 2019 Q	80,000,000	2019/04/02	2019/08/14	20.00
67543	SG Issuer – Tencent R Bear CBBC Oct 2019 N	80,000,000	2019/04/02	2019/10/29	20.00
67340	UBS AG – HSI R Bull CBBC Oct 2020 V	200,000,000	2019/04/02	2020/10/29	50.00
67341	UBS AG – HSI R Bull CBBC Feb 2021 J	200,000,000	2019/04/02	2021/02/25	50.00
67343	UBS AG – HSI R Bear CBBC Jul 2019 R	200,000,000	2019/04/02	2019/07/30	50.00
67344	UBS AG – HSI R Bear CBBC Jul 2019 S	200,000,000	2019/04/02	2019/07/30	50.00
67335	UBS AG – Tencent R Bull CBBC Jul 2019 N	200,000,000	2019/04/02	2019/07/08	50.00
67330	UBS AG – Tencent R Bull CBBC Sep 2019 E	40,000,000	2019/04/02	2019/09/30	10.00
67336	UBS AG – Tencent R Bear CBBC Sep 2019 B	200,000,000	2019/04/02	2019/09/30	50.00
67440	Bank Vontobel – HSI R Bull CBBC Mar 2021 L	80,000,000	2019/04/02	2021/03/30	20.00
67432	Bank Vontobel – HSI R Bear CBBC Dec 2019 P	80,000,000	2019/04/02	2019/12/30	20.00
67437	Bank Vontobel – HSI R Bear CBBC Dec 2019 Q	80,000,000	2019/04/02	2019/12/30	20.00
67459	Bank Vontobel – Tencent R Bull CBBC Dec 2019 B	40,000,000	2019/04/02	2019/12/20	10.00
67456	Bank Vontobel – Tencent R Bear CBBC Nov 2019 D	40,000,000	2019/04/02	2019/11/22	10.00
67675	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 N	240,000,000	2019/04/03	2021/09/29	60.00
67571	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 G	250,000,000	2019/04/03	2019/08/29	62.50
67575	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 H	250,000,000	2019/04/03	2019/08/29	62.50
67670	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 I	240,000,000	2019/04/03	2019/08/29	60.00
67819	Credit Suisse AG – AIA R Bull CBBC Dec 2019 M	70,000,000	2019/04/03	2019/12/30	17.50
67821	Credit Suisse AG – CM Bank R Bull CBBC Jan 2020 C	70,000,000	2019/04/03	2020/01/30	17.50
67827	Credit Suisse AG – HSI R Bull CBBC Jul 2021 H	200,000,000	2019/04/03	2021/07/29	50.00
67826	Credit Suisse AG – HSI R Bull CBBC Sep 2021 F	200,000,000	2019/04/03	2021/09/29	50.00
67795	Credit Suisse AG – HSI R Bear CBBC Jul 2019 V	200,000,000	2019/04/03	2019/07/30	50.00
67790	Credit Suisse AG – HSI R Bear CBBC Aug 2019 L	200,000,000	2019/04/03	2019/08/29	50.00
67801	Credit Suisse AG – HSI R Bear CBBC Sep 2019 N	200,000,000	2019/04/03	2019/09/27	50.00
67812	Credit Suisse AG – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/04/03	2019/09/27	50.00
67822	Credit Suisse AG – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/04/03	2019/09/27	50.00
67817	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 C	200,000,000	2019/04/03	2020/01/30	50.00
67763	Bank of East Asia – HKEX R Bull CBBC Oct 2019 C	25,000,000	2019/04/03	2019/10/25	10.00
67770	Bank of East Asia – HKEX R Bull CBBC Dec 2019 B	100,000,000	2019/04/03	2019/12/06	25.00
67774	Bank of East Asia – Tencent R Bull CBBC Oct 2019 D	30,000,000	2019/04/03	2019/10/25	10.50
67787	Bank of East Asia – Tencent R Bear CBBC Dec 2019 C	150,000,000	2019/04/03	2019/12/06	37.50
67861	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 I	100,000,000	2019/04/03	2019/07/03	25.00
67836	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/04/03	2021/10/28	50.00
67844	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/04/03	2021/10/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67563	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 R	200,000,000	2019/04/03	2019/07/30	50.00
67570	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 S	200,000,000	2019/04/03	2019/07/30	50.00
67848	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 E	100,000,000	2019/04/03	2019/07/03	25.00
67594	HK Bank – AIA R Bull CBBC Nov 2019 B	60,000,000	2019/04/03	2019/11/11	15.00
67602	HK Bank – CNOOC R Bull CBBC Oct 2019 C	50,000,000	2019/04/03	2019/10/14	12.50
67592	HK Bank – HKEX R Bull CBBC Oct 2019 G	50,000,000	2019/04/03	2019/10/21	12.50
67604	HK Bank – HSI R Bull CBBC Feb 2020 N	80,000,000	2019/04/03	2020/02/27	20.00
67606	HK Bank – HSI R Bear CBBC Sep 2019 I	80,000,000	2019/04/03	2019/09/27	20.00
67601	HK Bank – Meituan Dianping R Bull CBBC Sep 2019 D	60,000,000	2019/04/03	2019/09/09	15.00
67590	HK Bank – Ping An R Bull CBBC Nov 2019 C	80,000,000	2019/04/03	2019/11/11	20.00
67743	Haitong Int'l Sec – HKEX R Bear CBBC Aug 2019 C	40,000,000	2019/04/03	2019/08/14	10.00
67750	Haitong Int'l Sec – HKEX R Bear CBBC Sep 2019 A	40,000,000	2019/04/03	2019/09/12	10.00
67656	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 B	120,000,000	2019/04/03	2021/07/29	30.00
67658	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 D	120,000,000	2019/04/03	2021/09/29	30.00
67610	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 J	120,000,000	2019/04/03	2019/07/30	30.00
67666	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 K	120,000,000	2019/04/03	2019/07/30	30.00
67665	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 A	120,000,000	2019/04/03	2019/08/29	30.00
67739	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 B	200,000,000	2019/04/03	2021/09/29	50.00
67753	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/04/03	2021/09/29	50.00
67737	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 T	200,000,000	2019/04/03	2021/09/29	50.00
67733	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 D	200,000,000	2019/04/03	2019/08/29	50.00
67734	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/04/03	2019/08/29	50.00
67735	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 E	250,000,000	2019/04/03	2019/08/09	62.50
67693	SG Issuer – Bank of China R Bull CBBC Aug 2020 A	100,000,000	2019/04/03	2020/08/05	25.00
67713	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 M	100,000,000	2019/04/03	2020/02/26	25.00
67703	SG Issuer – HKEX R Bull CBBC Jul 2019 V	50,000,000	2019/04/03	2019/07/18	12.50
67725	SG Issuer – HSI R Bull CBBC May 2021 V	200,000,000	2019/04/03	2021/05/28	50.00
67731	SG Issuer – HSI R Bull CBBC Jul 2021 H	200,000,000	2019/04/03	2021/07/29	50.00
67732	SG Issuer – HSI R Bull CBBC Jul 2021 I	200,000,000	2019/04/03	2021/07/29	50.00
67676	SG Issuer – HSI R Bear CBBC Jul 2019 I	200,000,000	2019/04/03	2019/07/30	50.00
67681	SG Issuer – HSI R Bear CBBC Sep 2019 T	200,000,000	2019/04/03	2019/09/27	50.00
67683	SG Issuer – HSI R Bear CBBC Sep 2019 U	200,000,000	2019/04/03	2019/09/27	50.00
67720	SG Issuer – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/04/03	2019/09/27	50.00
67699	SG Issuer – Sunny Optical R Bear CBBC Oct 2019 C	40,000,000	2019/04/03	2019/10/30	10.00
67704	SG Issuer – Tencent R Bull CBBC Jul 2019 Y	80,000,000	2019/04/03	2019/07/19	20.00
67706	SG Issuer – Tencent R Bull CBBC Aug 2019 R	80,000,000	2019/04/03	2019/08/08	20.00
67700	SG Issuer – Tencent R Bear CBBC Dec 2019 W	80,000,000	2019/04/03	2019/12/19	20.00
67583	UBS AG – HSI R Bull CBBC Oct 2020 Z	200,000,000	2019/04/03	2020/10/29	50.00
67586	UBS AG – HSI R Bull CBBC Feb 2021 E	200,000,000	2019/04/03	2021/02/25	50.00
67578	UBS AG – HSI R Bear CBBC Jul 2019 T	200,000,000	2019/04/03	2019/07/30	50.00
67588	UBS AG – HSI R Bear CBBC Jul 2019 U	200,000,000	2019/04/03	2019/07/30	50.00
67618	Bank Vontobel – HSI N Bear CBBC Dec 2019 R	80,000,000	2019/04/03	2019/12/30	20.00
67654	Bank Vontobel – HSI R Bull CBBC Mar 2021 M	80,000,000	2019/04/03	2021/03/30	20.00
67655	Bank Vontobel – HSI R Bear CBBC Dec 2019 S	80,000,000	2019/04/03	2019/12/30	20.00
67897	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 E	150,000,000	2019/04/04	2019/07/30	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67902	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 F	150,000,000	2019/04/04	2019/07/30	37.95
67996	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 O	240,000,000	2019/04/04	2021/09/29	60.00
68003	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 J	240,000,000	2019/04/04	2019/08/29	60.00
67887	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 B	50,000,000	2019/04/04	2019/12/30	12.50
67989	Credit Suisse AG – HSI R Bull CBBC Sep 2021 G	200,000,000	2019/04/04	2021/09/29	50.00
67994	Credit Suisse AG – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/04/04	2021/10/28	50.00
67982	Credit Suisse AG – HSI R Bear CBBC Sep 2019 S	200,000,000	2019/04/04	2019/09/27	50.00
67988	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 G	80,000,000	2019/04/04	2020/01/24	20.00
68210	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Jul 2019 B	80,000,000	2019/04/04	2019/07/04	20.00
68196	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/04/04	2021/10/28	50.00
68205	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/04/04	2021/10/28	50.00
68220	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2019 N	100,000,000	2019/04/04	2019/12/30	25.00
68195	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 C	80,000,000	2019/04/04	2019/07/04	20.00
67966	HK Bank – ABC R Bear CBBC Oct 2019 B	40,000,000	2019/04/04	2019/10/21	10.00
67942	HK Bank – China Mobile R Bull CBBC Nov 2019 B	60,000,000	2019/04/04	2019/11/25	15.00
67967	HK Bank – Galaxy Ent R Bull CBBC Nov 2019 A	60,000,000	2019/04/04	2019/11/04	15.00
67944	HK Bank – ICBC R Bull CBBC Oct 2019 A	60,000,000	2019/04/04	2019/10/21	15.00
67953	HK Bank – ICBC R Bear CBBC Sep 2019 A	40,000,000	2019/04/04	2019/09/16	10.00
68004	Haitong Int'l Sec – China Mobile R Bear CBBC Aug 2019 B	50,000,000	2019/04/04	2019/08/26	12.50
68005	Haitong Int'l Sec – HSI R Bull CBBC May 2021 E	150,000,000	2019/04/04	2021/05/28	37.50
68014	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 C	150,000,000	2019/04/04	2021/07/29	37.50
68025	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 B	150,000,000	2019/04/04	2019/08/29	37.50
68031	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 C	150,000,000	2019/04/04	2019/08/29	37.50
67969	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 F	200,000,000	2019/04/04	2021/09/29	50.00
67973	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 H	200,000,000	2019/04/04	2021/09/29	50.00
67978	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/04/04	2019/08/29	50.00
67976	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 F	250,000,000	2019/04/04	2019/08/09	62.50
67893	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 D	19,400,000	2019/04/04	2019/08/08	10.01
67892	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 F	9,300,000	2019/04/04	2019/12/19	10.03
68111	SG Issuer – AIA R Bull CBBC Oct 2019 K	60,000,000	2019/04/04	2019/10/09	15.00
68072	SG Issuer – CM Bank R Bear CBBC Jan 2020 A	100,000,000	2019/04/04	2020/01/20	25.00
68121	SG Issuer – Sinopec Corp R Bull CBBC Sep 2019 B	40,000,000	2019/04/04	2019/09/30	10.00
68073	SG Issuer – Galaxy Ent R Bull CBBC Oct 2019 K	100,000,000	2019/04/04	2019/10/30	25.00
68125	SG Issuer – HKEX R Bull CBBC Jul 2019 X	50,000,000	2019/04/04	2019/07/25	12.50
68190	SG Issuer – HSI R Bull CBBC May 2021 N	200,000,000	2019/04/04	2021/05/28	50.00
68180	SG Issuer – HSI R Bull CBBC May 2021 X	200,000,000	2019/04/04	2021/05/28	50.00
68194	SG Issuer – HSI R Bull CBBC Jul 2021 J	200,000,000	2019/04/04	2021/07/29	50.00
68160	SG Issuer – HSI R Bear CBBC Jul 2019 K	200,000,000	2019/04/04	2019/07/30	50.00
68081	SG Issuer – HSI R Bear CBBC Aug 2019 O	200,000,000	2019/04/04	2019/08/29	50.00
68161	SG Issuer – HSI R Bear CBBC Aug 2019 T	200,000,000	2019/04/04	2019/08/29	50.00
68166	SG Issuer – HSI R Bear CBBC Sep 2019 S	200,000,000	2019/04/04	2019/09/27	50.00
68150	SG Issuer – PetroChina R Bull CBBC Oct 2019 E	40,000,000	2019/04/04	2019/10/30	10.00
68153	SG Issuer – Ping An R Bull CBBC Sep 2019 G	100,000,000	2019/04/04	2019/09/30	25.00
68084	SG Issuer – SHK Ppt R Bull CBBC Dec 2019 D	100,000,000	2019/04/04	2019/12/30	25.00
68086	SG Issuer – Tencent R Bull CBBC Aug 2019 S	80,000,000	2019/04/04	2019/08/19	20.00



## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67923	UBS AG – HSI R Bull CBBC May 2021 O	200,000,000	2019/04/04	2021/05/28	50.00
67913	UBS AG – HSI R Bear CBBC Jul 2019 V	200,000,000	2019/04/04	2019/07/30	50.00
67934	UBS AG – HSI R Bear CBBC Jul 2019 W	200,000,000	2019/04/04	2019/07/30	50.00
67936	UBS AG – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/04/04	2019/08/29	50.00
67939	UBS AG – Tencent R Bear CBBC Sep 2019 C	200,000,000	2019/04/04	2019/09/23	50.00
68068	Bank Vontobel – CC Bank R Bear CBBC Dec 2019 B	40,000,000	2019/04/04	2019/12/20	10.00
68047	Bank Vontobel – ICBC R Bull CBBC Jan 2020 A	40,000,000	2019/04/04	2020/01/17	10.00
68051	Bank Vontobel – Meituan Dianping R Bull CBBC Dec 2019 C	40,000,000	2019/04/04	2019/12/13	10.00
68042	Bank Vontobel – Ping An R Bull CBBC Dec 2019 B	40,000,000	2019/04/04	2019/12/20	10.00
68040	Bank Vontobel – Ping An R Bear CBBC Dec 2019 B	40,000,000	2019/04/04	2019/12/06	10.00
68067	Bank Vontobel – SHK Ppt R Bull CBBC Feb 2020 A	40,000,000	2019/04/04	2020/02/07	10.00
68069	Bank Vontobel – SHK Ppt R Bear CBBC Jan 2020 A	40,000,000	2019/04/04	2020/01/17	10.00
68071	Bank Vontobel – Tencent R Bull CBBC Dec 2019 C	40,000,000	2019/04/04	2019/12/20	10.00
68062	Bank Vontobel – Tencent R Bull CBBC Feb 2020 A	40,000,000	2019/04/04	2020/02/07	10.00
68543	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 R	150,000,000	2019/04/08	2020/09/29	37.80
68544	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 G	150,000,000	2019/04/08	2019/07/30	37.50
68347	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 P	250,000,000	2019/04/08	2021/09/29	62.50
68540	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 Q	250,000,000	2019/04/08	2021/09/29	62.50
68542	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 R	240,000,000	2019/04/08	2021/09/29	60.00
68231	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 K	250,000,000	2019/04/08	2019/08/29	62.50
68349	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 L	250,000,000	2019/04/08	2019/08/29	62.50
68684	Credit Suisse AG – AIA R Bull CBBC Dec 2019 N	70,000,000	2019/04/08	2019/12/20	17.50
68658	Credit Suisse AG – CC Bank R Bull CBBC Dec 2019 E	70,000,000	2019/04/08	2019/12/20	17.50
68665	Credit Suisse AG – CM Bank R Bull CBBC Dec 2019 L	70,000,000	2019/04/08	2019/12/30	17.50
68679	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Feb 2020 D	120,000,000	2019/04/08	2020/02/27	30.00
68695	Credit Suisse AG – HSI R Bull CBBC Jul 2021 I	200,000,000	2019/04/08	2021/07/29	50.00
68702	Credit Suisse AG – HSI R Bull CBBC Jul 2021 J	200,000,000	2019/04/08	2021/07/29	50.00
68706	Credit Suisse AG – HSI R Bull CBBC Sep 2021 H	200,000,000	2019/04/08	2021/09/29	50.00
68705	Credit Suisse AG – HSI R Bull CBBC Oct 2021 B	300,000,000	2019/04/08	2021/10/28	75.00
68650	Credit Suisse AG – HSI R Bear CBBC Jul 2019 W	200,000,000	2019/04/08	2019/07/30	50.00
68653	Credit Suisse AG – HSI R Bear CBBC Aug 2019 K	200,000,000	2019/04/08	2019/08/29	50.00
68651	Credit Suisse AG – HSI R Bear CBBC Aug 2019 O	200,000,000	2019/04/08	2019/08/29	50.00
68656	Credit Suisse AG – HSI R Bear CBBC Oct 2019 J	300,000,000	2019/04/08	2019/10/30	75.00
68672	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 U	80,000,000	2019/04/08	2019/12/20	20.00
68668	Credit Suisse AG – SHK Ppt R Bull CBBC Dec 2019 D	50,000,000	2019/04/08	2019/12/30	12.50
68689	Credit Suisse AG – SUNAC R Bull CBBC Dec 2019 C	80,000,000	2019/04/08	2019/12/20	20.00
68688	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 B	200,000,000	2019/04/08	2020/01/30	50.00
69040	Goldman Sachs SP (Asia) – AIA R Bull CBBC Nov 2019 S	100,000,000	2019/04/08	2019/11/15	25.00
69035	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Oct 2019A	100,000,000	2019/04/08	2019/10/30	25.00
69041	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2019O	100,000,000	2019/04/08	2019/11/25	25.00
69033	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Feb 2020 I	100,000,000	2019/04/08	2020/02/10	25.00
69026	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 J	100,000,000	2019/04/08	2019/07/08	25.00
68983	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/04/08	2021/10/28	50.00
69020	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/04/08	2021/10/28	50.00
68232	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 T	200,000,000	2019/04/08	2019/07/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68236	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 U	200,000,000	2019/04/08	2019/07/30	50.00
68996	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2019 Q	100,000,000	2019/04/08	2019/12/23	25.00
68953	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 F	100,000,000	2019/04/08	2019/07/08	25.00
68399	HK Bank – CM Bank R Bull CBBC Dec 2019 B	60,000,000	2019/04/08	2019/12/16	15.00
68367	HK Bank – CNOOC R Bull CBBC Nov 2019 A	50,000,000	2019/04/08	2019/11/04	12.50
68389	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2020 A	40,000,000	2019/04/08	2020/01/13	10.00
68392	HK Bank – A50 China R Bull CBBC Jan 2020 B	40,000,000	2019/04/08	2020/01/13	10.00
68366	HK Bank – HKEX R Bull CBBC Nov 2019 C	50,000,000	2019/04/08	2019/11/04	12.50
68372	HK Bank – HSCEI R Bull CBBC Jul 2020 D	100,000,000	2019/04/08	2020/07/30	25.00
68361	HK Bank – HSI R Bull CBBC Jul 2020 P	150,000,000	2019/04/08	2020/07/30	37.50
68404	HK Bank – Ping An R Bull CBBC Nov 2019 D	80,000,000	2019/04/08	2019/11/18	20.00
68383	HK Bank – Tencent R Bear CBBC Sep 2019 M	40,000,000	2019/04/08	2019/09/09	10.00
68249	Haitong Int'l Sec – AIA R Bull CBBC Oct 2019 A	60,000,000	2019/04/08	2019/10/17	15.00
68530	Haitong Int'l Sec – AIA R Bull CBBC Oct 2019 B	60,000,000	2019/04/08	2019/10/17	15.00
68638	Haitong Int'l Sec – China Mobile R Bull CBBC Nov 2019 A	50,000,000	2019/04/08	2019/11/13	12.50
68643	Haitong Int'l Sec – CSOP A50 ETF R Bull CBBC Sep 2019 C	40,000,000	2019/04/08	2019/09/25	10.00
68645	Haitong Int'l Sec – A50 R Bull CBBC Sep 2019 C	40,000,000	2019/04/08	2019/09/25	10.00
68325	Haitong Int'l Sec – Geely Auto R Bear CBBC Dec 2019 A	140,000,000	2019/04/08	2019/12/20	35.00
68648	Haitong Int'l Sec – HKEX R Bull CBBC Nov 2019 B	80,000,000	2019/04/08	2019/11/12	20.00
68533	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 F	150,000,000	2019/04/08	2021/10/28	37.50
68534	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 G	150,000,000	2019/04/08	2021/10/28	37.50
68352	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 E	150,000,000	2019/04/08	2019/08/29	37.50
68538	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 C	120,000,000	2019/04/08	2019/09/27	30.00
68279	Haitong Int'l Sec – Ping An R Bull CBBC Oct 2019 D	50,000,000	2019/04/08	2019/10/30	12.50
68295	Haitong Int'l Sec – Ping An R Bull CBBC Oct 2019 E	50,000,000	2019/04/08	2019/10/31	12.50
68615	J P Morgan SP BV – HKEX R Bull CBBC Aug 2019 A	40,000,000	2019/04/08	2019/08/09	10.00
68852	J P Morgan SP BV – HSCEI R Bull CBBC Nov 2021 C	100,000,000	2019/04/08	2021/11/29	25.00
68857	J P Morgan SP BV – HSCEI R Bear CBBC Nov 2019 A	100,000,000	2019/04/08	2019/11/28	25.00
68859	J P Morgan SP BV – HSCEI R Bear CBBC Nov 2019 B	100,000,000	2019/04/08	2019/11/28	25.00
68866	J P Morgan SP BV – HSCEI R Bear CBBC Nov 2019 C	100,000,000	2019/04/08	2019/11/28	25.00
68870	J P Morgan SP BV – HSI R Bull CBBC Jul 2021 K	200,000,000	2019/04/08	2021/07/29	50.00
68881	J P Morgan SP BV – HSI R Bull CBBC Jul 2021 L	200,000,000	2019/04/08	2021/07/29	50.00
68611	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 I	200,000,000	2019/04/08	2021/09/29	50.00
68612	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/04/08	2021/09/29	50.00
68901	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 O	200,000,000	2019/04/08	2021/09/29	50.00
68925	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/04/08	2021/09/29	50.00
68933	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/04/08	2021/09/29	50.00
68938	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 U	200,000,000	2019/04/08	2021/09/29	50.00
68560	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 U	200,000,000	2019/04/08	2019/07/30	50.00
68568	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 G	200,000,000	2019/04/08	2019/08/29	50.00
68581	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 H	200,000,000	2019/04/08	2019/08/29	50.00
68607	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 I	200,000,000	2019/04/08	2019/08/29	50.00
68608	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 J	200,000,000	2019/04/08	2019/08/29	50.00
68872	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 K	200,000,000	2019/04/08	2019/08/29	50.00
68878	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 L	200,000,000	2019/04/08	2019/08/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68891	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 M	200,000,000	2019/04/08	2019/08/29	50.00
68899	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 N	200,000,000	2019/04/08	2019/08/29	50.00
68900	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 O	200,000,000	2019/04/08	2019/08/29	56.00
68914	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 P	200,000,000	2019/04/08	2019/08/29	50.00
68916	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 Q	200,000,000	2019/04/08	2019/08/29	50.00
68922	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 R	200,000,000	2019/04/08	2019/08/29	50.00
68930	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 S	200,000,000	2019/04/08	2019/08/29	60.00
68932	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 T	200,000,000	2019/04/08	2019/08/29	68.00
68622	J P Morgan SP BV – Ping An R Bull CBBC Aug 2019 A	100,000,000	2019/04/08	2019/08/09	25.00
68628	J P Morgan SP BV – Ping An R Bull CBBC Aug 2019 B	100,000,000	2019/04/08	2019/08/09	25.00
68345	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 E	19,200,000	2019/04/08	2019/08/08	10.02
68346	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 G	9,200,000	2019/04/08	2019/12/19	10.07
68798	SG Issuer – AIA R Bull CBBC Sep 2019 A	60,000,000	2019/04/08	2019/09/27	15.00
68763	SG Issuer – China Life R Bull CBBC Aug 2019 F	40,000,000	2019/04/08	2019/08/12	10.00
68812	SG Issuer – CM Bank R Bull CBBC Feb 2020 E	100,000,000	2019/04/08	2020/02/27	25.00
68826	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 N	100,000,000	2019/04/08	2020/02/27	25.00
68834	SG Issuer – A50 China R Bull CBBC Mar 2020 B	80,000,000	2019/04/08	2020/03/30	20.00
68833	SG Issuer – A50 R Bear CBBC Sep 2019 A	80,000,000	2019/04/08	2019/09/27	20.00
68815	SG Issuer – HKEX R Bull CBBC Sep 2019 I	50,000,000	2019/04/08	2019/09/23	12.50
68765	SG Issuer – HSI R Bull CBBC May 2021 R	200,000,000	2019/04/08	2021/05/28	50.00
68768	SG Issuer – HSI R Bull CBBC Jul 2021 R	200,000,000	2019/04/08	2021/07/29	50.00
68790	SG Issuer – HSI R Bull CBBC Jul 2021 V	200,000,000	2019/04/08	2021/07/29	50.00
68839	SG Issuer – HSI R Bear CBBC Jul 2019 E	200,000,000	2019/04/08	2019/07/30	50.00
68753	SG Issuer – HSI R Bear CBBC Jul 2019 L	200,000,000	2019/04/08	2019/07/30	50.00
68849	SG Issuer – HSI R Bear CBBC Jul 2019 M	200,000,000	2019/04/08	2019/07/30	50.00
68743	SG Issuer – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/04/08	2019/09/27	50.00
68761	SG Issuer – HSI R Bear CBBC Oct 2019 P	200,000,000	2019/04/08	2019/10/30	50.00
68817	SG Issuer – Ping An R Bull CBBC Sep 2019 H	100,000,000	2019/04/08	2019/09/30	25.00
68747	SG Issuer – Ping An R Bear CBBC Aug 2019 N	100,000,000	2019/04/08	2019/08/09	25.00
68821	SG Issuer – Tencent R Bull CBBC Jul 2019 Z	80,000,000	2019/04/08	2019/07/22	20.00
68822	SG Issuer – Tencent R Bull CBBC Aug 2019 T	80,000,000	2019/04/08	2019/08/22	20.00
68748	SG Issuer – Tencent R Bear CBBC Nov 2019 C	80,000,000	2019/04/08	2019/11/28	20.00
68749	SG Issuer – Tencent R Bear CBBC Dec 2019 X	80,000,000	2019/04/08	2019/12/19	20.00
68522	UBS AG – CSOP A50 ETF R Bull CBBC May 2020 A	200,000,000	2019/04/08	2020/05/29	50.00
68529	UBS AG – A50 China R Bull CBBC May 2020 A	200,000,000	2019/04/08	2020/05/29	50.00
68521	UBS AG – HKEX R Bull CBBC Nov 2019 E	200,000,000	2019/04/08	2019/11/20	50.00
68518	UBS AG – HKEX R Bear CBBC Nov 2019 A	200,000,000	2019/04/08	2019/11/20	50.00
68452	UBS AG – HSI R Bull CBBC Oct 2020 Y	200,000,000	2019/04/08	2020/10/29	50.00
68417	UBS AG – HSI R Bear CBBC Jul 2019 X	200,000,000	2019/04/08	2019/07/30	50.00
68440	UBS AG – HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/04/08	2019/07/30	50.00
68447	UBS AG – HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/04/08	2019/07/30	50.00
68451	UBS AG – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/04/08	2019/08/29	50.00
68502	UBS AG – Tencent R Bull CBBC Jul 2019 O	40,000,000	2019/04/08	2019/07/19	10.00
68517	UBS AG – Tencent R Bull CBBC Nov 2019 C	200,000,000	2019/04/08	2019/11/18	50.00
68718	Bank Vontobel – HSI R Bull CBBC Dec 2020 A	80,000,000	2019/04/08	2020/12/30	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68722	Bank Vontobel – HSI R Bull CBBC Dec 2020 B	80,000,000	2019/04/08	2020/12/30	20.00
69080	BNP Paribas Issuance B.V. – CSOP A50 R Bull CBBC Dec 2019 C	60,000,000	2019/04/09	2019/12/30	15.00
69086	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct19 C	60,000,000	2019/04/09	2019/10/30	15.00
69091	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct19 D	60,000,000	2019/04/09	2019/10/30	15.00
69198	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 S	250,000,000	2019/04/09	2021/09/29	62.50
69214	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 T	250,000,000	2019/04/09	2021/09/29	62.50
69216	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 U	250,000,000	2019/04/09	2021/09/29	62.50
69217	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 V	250,000,000	2019/04/09	2021/09/29	62.50
69219	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 W	250,000,000	2019/04/09	2021/09/29	62.50
69220	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 X	240,000,000	2019/04/09	2021/09/29	60.00
69042	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 M	250,000,000	2019/04/09	2019/08/29	62.50
69053	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 N	250,000,000	2019/04/09	2019/08/29	62.50
69060	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 O	250,000,000	2019/04/09	2019/08/29	62.50
69061	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 P	250,000,000	2019/04/09	2019/08/29	62.50
69063	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 Q	250,000,000	2019/04/09	2019/08/29	62.50
69075	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 C	200,000,000	2019/04/09	2019/11/28	50.00
69076	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 D	200,000,000	2019/04/09	2019/11/28	50.00
69073	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 C	50,000,000	2019/04/09	2019/12/30	12.50
69070	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 B	50,000,000	2019/04/09	2019/11/28	12.50
69406	Credit Suisse AG – AIA R Bull CBBC Dec 2019 O	70,000,000	2019/04/09	2019/12/30	17.50
69391	Credit Suisse AG – Bank of China R Bull CBBC Dec 2019 C	70,000,000	2019/04/09	2019/12/30	17.50
69388	Credit Suisse AG – China Life R Bull CBBC Jan 2020 A	120,000,000	2019/04/09	2020/01/30	30.00
69389	Credit Suisse AG – CM Bank R Bull CBBC Jan 2020 D	70,000,000	2019/04/09	2020/01/30	17.50
69425	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2020 I	120,000,000	2019/04/09	2020/01/30	30.00
69394	Credit Suisse AG – Geely Auto R Bull CBBC Feb 2020 A	150,000,000	2019/04/09	2020/02/27	37.50
69398	Credit Suisse AG – Geely Auto R Bear CBBC Jan 2020 B	120,000,000	2019/04/09	2020/01/30	30.00
69416	Credit Suisse AG – Galaxy Ent R Bull CBBC Dec 2019 D	50,000,000	2019/04/09	2019/12/30	12.50
69461	Credit Suisse AG – HSI R Bull CBBC Jul 2021 K	200,000,000	2019/04/09	2021/07/29	50.00
69465	Credit Suisse AG – HSI R Bull CBBC Jul 2021 L	200,000,000	2019/04/09	2021/07/29	50.00
69427	Credit Suisse AG – HSI R Bull CBBC Sep 2021 I	200,000,000	2019/04/09	2021/09/29	50.00
69431	Credit Suisse AG – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/04/09	2021/09/29	50.00
69466	Credit Suisse AG – HSI R Bull CBBC Oct 2021 C	300,000,000	2019/04/09	2021/10/28	75.00
69272	Credit Suisse AG – HSI R Bear CBBC Jul 2019 X	200,000,000	2019/04/09	2019/07/30	50.00
69287	Credit Suisse AG – HSI R Bear CBBC Aug 2019 Z	200,000,000	2019/04/09	2019/08/29	50.00
69295	Credit Suisse AG – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/04/09	2019/09/27	50.00
69298	Credit Suisse AG – HSI R Bear CBBC Sep 2019 X	200,000,000	2019/04/09	2019/09/27	50.00
69301	Credit Suisse AG – HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/04/09	2019/09/27	50.00
69323	Credit Suisse AG – HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/04/09	2019/09/27	50.00
69326	Credit Suisse AG – HSI R Bear CBBC Oct 2019 K	200,000,000	2019/04/09	2019/10/30	50.00
69363	Credit Suisse AG – HSI R Bear CBBC Nov 2019 A	200,000,000	2019/04/09	2019/11/28	50.00
69408	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 H	80,000,000	2019/04/09	2020/01/24	20.00
69410	Credit Suisse AG – Ping An R Bear CBBC Dec 2019 J	60,000,000	2019/04/09	2019/12/30	15.00
69422	Credit Suisse AG – SHK Ppt R Bull CBBC Jan 2020 A	50,000,000	2019/04/09	2020/01/30	12.50
69372	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 C	200,000,000	2019/04/09	2020/01/24	50.00
57287	Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Sep 2019 B	100,000,000	2019/04/09	2019/09/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57276	Goldman Sachs SP (Asia) – China Life R Bull CBBC Jul 2019 C	100,000,000	2019/04/09	2019/07/09	25.00
57326	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2019P	100,000,000	2019/04/09	2019/11/25	25.00
57283	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Feb 2020 J	100,000,000	2019/04/09	2020/02/10	25.00
57269	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 D	100,000,000	2019/04/09	2019/07/09	25.00
57282	Goldman Sachs SP (Asia) – HSBC R Bull CBBC Sep 2019 M	100,000,000	2019/04/09	2019/09/27	25.00
57176	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/04/09	2021/10/28	50.00
57151	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/04/09	2021/10/28	50.00
57159	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/04/09	2021/10/28	50.00
57166	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/04/09	2021/10/28	50.00
69100	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 V	200,000,000	2019/04/09	2019/07/30	50.00
69106	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 W	200,000,000	2019/04/09	2019/07/30	50.00
69111	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 X	200,000,000	2019/04/09	2019/07/30	50.00
69124	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/04/09	2019/07/30	50.00
57228	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 D	200,000,000	2019/04/09	2020/04/28	50.00
57233	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 E	200,000,000	2019/04/09	2020/04/28	50.00
57294	Goldman Sachs SP (Asia) – ICBC R Bull CBBC Dec 2019 A	100,000,000	2019/04/09	2019/12/30	25.00
57246	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2019 O	100,000,000	2019/04/09	2019/12/23	25.00
57130	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 G	100,000,000	2019/04/09	2019/07/09	25.00
57212	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 D	80,000,000	2019/04/09	2019/07/09	20.00
69193	HK Bank – AIA R Bull CBBC Nov 2019 C	60,000,000	2019/04/09	2019/11/25	15.00
69183	HK Bank – China Life R Bull CBBC Oct 2019 D	100,000,000	2019/04/09	2019/10/28	25.00
69168	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2019 F	40,000,000	2019/04/09	2019/10/28	10.00
69140	HK Bank – A50 China R Bull CBBC Oct 2019 F	40,000,000	2019/04/09	2019/10/28	10.00
69186	HK Bank – Galaxy Ent R Bull CBBC Nov 2019 B	60,000,000	2019/04/09	2019/11/11	15.00
69173	HK Bank – HKEX R Bull CBBC Nov 2019 D	50,000,000	2019/04/09	2019/11/11	12.50
69164	HK Bank – HSI R Bull CBBC Feb 2020 O	80,000,000	2019/04/09	2020/02/27	20.00
69148	HK Bank – HSI R Bull CBBC Jul 2020 Q	150,000,000	2019/04/09	2020/07/30	37.50
69158	HK Bank – HSI R Bull CBBC Jul 2020 R	150,000,000	2019/04/09	2020/07/30	37.50
69132	HK Bank – HSI R Bear CBBC Sep 2019 J	150,000,000	2019/04/09	2019/09/27	37.50
69134	HK Bank – HSI R Bear CBBC Sep 2019 K	150,000,000	2019/04/09	2019/09/27	37.50
69180	HK Bank – Ping An R Bull CBBC Nov 2019 E	80,000,000	2019/04/09	2019/11/25	20.00
69190	HK Bank – SHK Ppt R Bull CBBC Dec 2019 B	40,000,000	2019/04/09	2019/12/30	10.00
69182	HK Bank – SUNAC R Bull CBBC Nov 2019 E	60,000,000	2019/04/09	2019/11/04	15.00
69167	HK Bank – Tencent R Bull CBBC Sep 2019 J	50,000,000	2019/04/09	2019/09/16	12.50
69166	HK Bank – Tencent R Bull CBBC Nov 2019 D	50,000,000	2019/04/09	2019/11/18	12.50
69136	HK Bank – Tencent R Bear CBBC Oct 2019 H	40,000,000	2019/04/09	2019/10/02	10.00
69584	Haitong Int'l Sec – AIA R Bull CBBC Nov 2019 A	60,000,000	2019/04/09	2019/11/05	15.00
69626	Haitong Int'l Sec – CM Bank R Bull CBBC Dec 2019 B	110,000,000	2019/04/09	2019/12/19	27.50
69627	Haitong Int'l Sec – CM Bank R Bear CBBC Nov 2019 B	110,000,000	2019/04/09	2019/11/08	27.50
69633	Haitong Int'l Sec – CNOOC R Bear CBBC Oct 2019 A	40,000,000	2019/04/09	2019/10/21	10.00
69623	Haitong Int'l Sec – CSOP A50 ETF R Bull CBBC Sep 2019 D	40,000,000	2019/04/09	2019/09/25	10.00
69624	Haitong Int'l Sec – A50 China R Bull CBBC Oct 2019 A	40,000,000	2019/04/09	2019/10/14	10.00
69551	Haitong Int'l Sec – Geely Auto R Bull CBBC Feb 2020 A	120,000,000	2019/04/09	2020/02/25	30.00
69520	Haitong Int'l Sec – Galaxy Ent R Bull CBBC Sep 2019 A	80,000,000	2019/04/09	2019/09/27	20.00
69539	Haitong Int'l Sec – Galaxy Ent R Bull CBBC Sep 2019 B	80,000,000	2019/04/09	2019/09/26	20.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69548	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Sep 2019 C	80,000,000	2019/04/09	2019/09/25	20.00
69499	Haitong Int'l Sec - HSI R Bull CBBC Sep 2021 E	150,000,000	2019/04/09	2021/09/29	37.50
69612	Haitong Int'l Sec - HSI R Bull CBBC Sep 2021 F	150,000,000	2019/04/09	2021/09/29	37.50
69485	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 H	150,000,000	2019/04/09	2021/10/28	37.50
69501	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 I	150,000,000	2019/04/09	2021/10/28	37.50
69267	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 F	150,000,000	2019/04/09	2019/08/29	37.50
69268	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 G	150,000,000	2019/04/09	2019/08/29	37.50
69270	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 H	150,000,000	2019/04/09	2019/08/29	37.50
69615	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 I	150,000,000	2019/04/09	2019/08/29	37.50
69479	Haitong Int'l Sec - HSI R Bear CBBC Sep 2019 D	150,000,000	2019/04/09	2019/09/27	37.50
69594	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2019 F	50,000,000	2019/04/09	2019/10/14	12.50
69605	Haitong Int'l Sec - Ping An R Bear CBBC Aug 2019 G	50,000,000	2019/04/09	2019/08/12	12.50
69617	Haitong Int'l Sec - Sands China R Bull CBBC Dec 2019 B	100,000,000	2019/04/09	2019/12/12	25.00
69261	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2019 E	40,000,000	2019/04/09	2019/10/11	10.00
69616	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2019 F	40,000,000	2019/04/09	2019/10/02	10.00
69265	Haitong Int'l Sec - Tencent R Bear CBBC Nov 2019 F	40,000,000	2019/04/09	2019/11/14	10.00
69843	SG Issuer - AIA R Bull CBBC Oct 2019 L	60,000,000	2019/04/09	2019/10/28	15.00
69847	SG Issuer - China Mobile R Bull CBBC Oct 2019 F	100,000,000	2019/04/09	2019/10/23	25.00
69850	SG Issuer - China Mobile R Bear CBBC Nov 2019 A	100,000,000	2019/04/09	2019/11/05	25.00
69978	SG Issuer - CC Bank R Bull CBBC Oct 2019 C	100,000,000	2019/04/09	2019/10/08	25.00
69844	SG Issuer - China Life R Bull CBBC Sep 2019 D	40,000,000	2019/04/09	2019/09/20	10.00
69845	SG Issuer - CM Bank R Bull CBBC Feb 2020 F	100,000,000	2019/04/09	2020/02/10	25.00
57129	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 O	100,000,000	2019/04/09	2020/02/27	25.00
69759	SG Issuer - CSOP A50 ETF R Bear CBBC Sep 2019 B	100,000,000	2019/04/09	2019/09/16	25.00
69874	SG Issuer - A50 China R Bull CBBC Mar 2020 C	80,000,000	2019/04/09	2020/03/30	20.00
69982	SG Issuer - Galaxy Ent R Bull CBBC Dec 2019 F	100,000,000	2019/04/09	2019/12/20	25.00
69739	SG Issuer - Galaxy Ent R Bear CBBC Nov 2019 C	100,000,000	2019/04/09	2019/11/15	25.00
69990	SG Issuer - HKEX R Bull CBBC Aug 2019 B	50,000,000	2019/04/09	2019/08/08	12.50
69741	SG Issuer - HKEX R Bear CBBC Sep 2019 H	50,000,000	2019/04/09	2019/09/16	12.50
69938	SG Issuer - HSI R Bull CBBC May 2021 U	200,000,000	2019/04/09	2021/05/28	50.00
69947	SG Issuer - HSI R Bull CBBC May 2021 W	200,000,000	2019/04/09	2021/05/28	50.00
69956	SG Issuer - HSI R Bull CBBC Jul 2021 O	200,000,000	2019/04/09	2021/07/29	50.00
69957	SG Issuer - HSI R Bull CBBC Jul 2021 P	200,000,000	2019/04/09	2021/07/29	50.00
69976	SG Issuer - HSI R Bull CBBC Aug 2021 I	200,000,000	2019/04/09	2021/08/30	50.00
69815	SG Issuer - HSI R Bear CBBC Jul 2019 A	200,000,000	2019/04/09	2019/07/30	50.00
69778	SG Issuer - HSI R Bear CBBC Jul 2019 C	200,000,000	2019/04/09	2019/07/30	50.00
69875	SG Issuer - HSI R Bear CBBC Jul 2019 D	200,000,000	2019/04/09	2019/07/30	50.00
69876	SG Issuer - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/04/09	2019/07/30	50.00
69879	SG Issuer - HSI R Bear CBBC Jul 2019 N	200,000,000	2019/04/09	2019/07/30	50.00
69819	SG Issuer - HSI R Bear CBBC Aug 2019 B	200,000,000	2019/04/09	2019/08/29	50.00
69892	SG Issuer - HSI R Bear CBBC Aug 2019 C	200,000,000	2019/04/09	2019/08/29	50.00
69837	SG Issuer - HSI R Bear CBBC Aug 2019 F	200,000,000	2019/04/09	2019/08/29	50.00
69887	SG Issuer - HSI R Bear CBBC Aug 2019 J	200,000,000	2019/04/09	2019/08/29	50.00
69891	SG Issuer - HSI R Bear CBBC Aug 2019 U	200,000,000	2019/04/09	2019/08/29	50.00
69895	SG Issuer - HSI R Bear CBBC Sep 2019 D	200,000,000	2019/04/09	2019/09/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69838	SG Issuer – HSI R Bear CBBC Sep 2019 X	200,000,000	2019/04/09	2019/09/27	50.00
69893	SG Issuer – HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/04/09	2019/09/27	50.00
69896	SG Issuer – HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/04/09	2019/09/27	50.00
69901	SG Issuer – HSI R Bear CBBC Oct 2019 Q	200,000,000	2019/04/09	2019/10/30	50.00
69914	SG Issuer – HSI R Bear CBBC Nov 2019 E	400,000,000	2019/04/09	2019/11/28	100.00
69851	SG Issuer – ICBC R Bull CBBC Oct 2019 C	100,000,000	2019/04/09	2019/10/25	25.00
69858	SG Issuer – Ping An R Bull CBBC Oct 2019 J	100,000,000	2019/04/09	2019/10/10	25.00
69863	SG Issuer – Ping An R Bull CBBC Oct 2019 M	100,000,000	2019/04/09	2019/10/23	25.00
57001	SG Issuer – Ping An R Bull CBBC Nov 2019 R	100,000,000	2019/04/09	2019/11/08	25.00
69745	SG Issuer – Ping An R Bear CBBC Aug 2019 O	100,000,000	2019/04/09	2019/08/02	25.00
57116	SG Issuer – Sands China R Bull CBBC Dec 2019 E	80,000,000	2019/04/09	2019/12/31	20.00
69751	SG Issuer – Sands China R Bear CBBC Nov 2019 C	80,000,000	2019/04/09	2019/11/15	20.00
69866	SG Issuer – SHK Ppt R Bull CBBC Dec 2019 E	100,000,000	2019/04/09	2019/12/05	25.00
69865	SG Issuer – SHK Ppt R Bear CBBC Oct 2019 A	60,000,000	2019/04/09	2019/10/09	15.00
69869	SG Issuer – SUNAC R Bull CBBC Dec 2019 D	80,000,000	2019/04/09	2019/12/23	20.00
69871	SG Issuer – Tencent R Bull CBBC Jul 2019 A	80,000,000	2019/04/09	2019/07/25	20.00
57128	SG Issuer – Tencent R Bull CBBC Aug 2019 U	80,000,000	2019/04/09	2019/08/08	20.00
69758	SG Issuer – Tencent R Bear CBBC Dec 2019 Y	80,000,000	2019/04/09	2019/12/30	20.00
69259	UBS AG – HSI R Bull CBBC Sep 2020 L	200,000,000	2019/04/09	2020/09/29	50.00
69242	UBS AG – HSI R Bull CBBC Oct 2020 E	200,000,000	2019/04/09	2020/10/29	50.00
69258	UBS AG – HSI R Bull CBBC Oct 2020 O	200,000,000	2019/04/09	2020/10/29	50.00
69244	UBS AG – HSI R Bull CBBC Feb 2021 D	200,000,000	2019/04/09	2021/02/25	50.00
69222	UBS AG – HSI R Bear CBBC Jul 2019 A	200,000,000	2019/04/09	2019/07/30	50.00
69223	UBS AG – HSI R Bear CBBC Jul 2019 C	200,000,000	2019/04/09	2019/07/30	50.00
69232	UBS AG – HSI R Bear CBBC Jul 2019 D	200,000,000	2019/04/09	2019/07/30	50.00
69236	UBS AG – HSI R Bear CBBC Jul 2019 H	200,000,000	2019/04/09	2019/07/30	50.00
69712	Bank Vontobel – AIA R Bull CBBC Dec 2019 B	40,000,000	2019/04/09	2019/12/20	10.04
69715	Bank Vontobel – AIA R Bear CBBC Jan 2020 A	40,000,000	2019/04/09	2020/01/10	10.04
69707	Bank Vontobel – China Mobile R Bear CBBC Dec 2019 B	40,000,000	2019/04/09	2019/12/20	10.04
69701	Bank Vontobel – CSOP A50 ETF R Bull CBBC Jan 2020 A	40,000,000	2019/04/09	2020/01/17	10.00
69703	Bank Vontobel – CSOP A50 ETF R Bear CBBC Jan 2020 A	40,000,000	2019/04/09	2020/01/17	10.84
69718	Bank Vontobel – HKEX R Bull CBBC Dec 2019 A	40,000,000	2019/04/09	2019/12/20	10.04
69719	Bank Vontobel – HKEX R Bear CBBC Jan 2020 A	40,000,000	2019/04/09	2020/01/10	22.04
69651	Bank Vontobel – HSI N Bull CBBC Dec 2020 D	80,000,000	2019/04/09	2020/12/30	20.00
69673	Bank Vontobel – HSI N Bull CBBC Dec 2020 E	80,000,000	2019/04/09	2020/12/30	20.00
69649	Bank Vontobel – HSI R Bull CBBC Dec 2020 C	80,000,000	2019/04/09	2020/12/30	20.00
69697	Bank Vontobel – HSI R Bear CBBC Jan 2020 A	80,000,000	2019/04/09	2020/01/30	20.00
69725	Bank Vontobel – Ping An R Bull CBBC Dec 2019 C	40,000,000	2019/04/09	2019/12/20	10.04
69731	Bank Vontobel – Ping An R Bear CBBC Feb 2020 A	40,000,000	2019/04/09	2020/02/07	10.04
69700	Bank Vontobel – SHK Ppt R Bull CBBC Dec 2019 B	40,000,000	2019/04/09	2019/12/20	10.00
57496	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 S	150,000,000	2019/04/10	2020/09/29	37.50
57509	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 T	150,000,000	2019/04/10	2020/09/29	37.65
57528	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 H	150,000,000	2019/04/10	2019/07/30	37.50
57458	BNP Paribas Issuance B.V. – Galaxy Ent R Bull CBBC Nov 2019C	150,000,000	2019/04/10	2019/11/28	37.50
57452	BNP Paribas Issuance B.V. – Galaxy Ent R Bull CBBC Nov 2019D	150,000,000	2019/04/10	2019/11/28	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57406	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 O	50,000,000	2019/04/10	2019/11/28	12.50
57825	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 Y	240,000,000	2019/04/10	2021/09/29	60.00
57364	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 R	250,000,000	2019/04/10	2019/08/29	62.50
57832	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 S	240,000,000	2019/04/10	2019/08/29	60.00
57429	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Aug 2019 H	200,000,000	2019/04/10	2019/08/29	50.00
57395	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 C	50,000,000	2019/04/10	2020/01/30	12.50
57399	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 A	50,000,000	2019/04/10	2019/10/30	12.50
57960	Credit Suisse AG – China Mobile R Bull CBBC Dec 2019 F	70,000,000	2019/04/10	2019/12/20	17.50
57963	Credit Suisse AG – CM Bank R Bull CBBC Jan 2020 E	70,000,000	2019/04/10	2020/01/24	17.50
57942	Credit Suisse AG – Galaxy Ent R Bull CBBC Jan 2020 A	50,000,000	2019/04/10	2020/01/30	12.50
57957	Credit Suisse AG – HKEX R Bull CBBC Jan 2020 C	200,000,000	2019/04/10	2020/01/30	50.00
57895	Credit Suisse AG – HSI R Bull CBBC Jul 2021 M	200,000,000	2019/04/10	2021/07/29	50.00
57908	Credit Suisse AG – HSI R Bull CBBC Jul 2021 N	200,000,000	2019/04/10	2021/07/29	50.00
57932	Credit Suisse AG – HSI R Bull CBBC Sep 2021 K	200,000,000	2019/04/10	2021/09/29	50.00
57939	Credit Suisse AG – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/04/10	2021/09/29	50.00
57860	Credit Suisse AG – HSI R Bear CBBC Aug 2019 G	200,000,000	2019/04/10	2019/08/29	50.00
57862	Credit Suisse AG – HSI R Bear CBBC Aug 2019 R	200,000,000	2019/04/10	2019/08/29	50.00
57871	Credit Suisse AG – HSI R Bear CBBC Sep 2019 A	200,000,000	2019/04/10	2019/09/27	50.00
57872	Credit Suisse AG – HSI R Bear CBBC Oct 2019 L	200,000,000	2019/04/10	2019/10/30	50.00
57885	Credit Suisse AG – HSI R Bear CBBC Oct 2019 M	200,000,000	2019/04/10	2019/10/30	50.00
57961	Credit Suisse AG – ICBC R Bull CBBC Dec 2019 H	70,000,000	2019/04/10	2019/12/30	17.50
57868	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 I	80,000,000	2019/04/10	2020/01/30	20.00
57952	Credit Suisse AG – Sands China R Bull CBBC Jan 2020 A	50,000,000	2019/04/10	2020/01/30	12.50
58228	Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Jul 2019 A	100,000,000	2019/04/10	2019/07/30	25.00
58215	Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Aug 2019 B	100,000,000	2019/04/10	2019/08/05	25.40
58211	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Jul 2019A	100,000,000	2019/04/10	2019/07/10	25.00
58214	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Oct 2019 A	100,000,000	2019/04/10	2019/10/30	25.00
58213	Goldman Sachs SP (Asia) – Galaxy Ent R Bear CBBC Feb 2020 B	80,000,000	2019/04/10	2020/02/10	20.00
58208	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 H	100,000,000	2019/04/10	2019/07/10	25.00
58015	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/04/10	2021/10/28	50.00
58016	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/04/10	2021/10/28	50.00
58026	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/04/10	2021/10/28	50.00
57990	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/04/10	2021/10/28	50.00
57992	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/04/10	2021/10/28	50.00
58193	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 F	200,000,000	2019/04/10	2020/04/28	50.00
58202	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 G	200,000,000	2019/04/10	2020/04/28	50.00
58235	Goldman Sachs SP (Asia) – ICBC R Bull CBBC Aug 2019 A	100,000,000	2019/04/10	2019/08/30	25.00
58220	Goldman Sachs SP (Asia) – ICBC R Bull CBBC Sep 2019 B	100,000,000	2019/04/10	2019/09/19	25.00
58204	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 A	100,000,000	2019/04/10	2019/10/30	25.00
58192	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 H	100,000,000	2019/04/10	2019/07/10	25.00
58230	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 I	100,000,000	2019/04/10	2019/07/10	25.00
57781	HK Bank – AIA R Bear CBBC Nov 2019 B	40,000,000	2019/04/10	2019/11/18	10.00
57774	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2019 C	40,000,000	2019/04/10	2019/11/18	10.00
57780	HK Bank – A50 China R Bull CBBC Nov 2019 C	40,000,000	2019/04/10	2019/11/18	10.00
57801	HK Bank – Galaxy Ent R Bull CBBC Nov 2019 C	60,000,000	2019/04/10	2019/11/04	15.00



## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57815	HK Bank – Galaxy Ent R Bear CBBC Nov 2019 A	40,000,000	2019/04/10	2019/11/11	10.00
57726	HK Bank – HKEX R Bull CBBC Oct 2019 H	50,000,000	2019/04/10	2019/10/08	12.50
57791	HK Bank – HSI R Bull CBBC Feb 2020 P	80,000,000	2019/04/10	2020/02/27	20.00
57790	HK Bank – HSI R Bull CBBC Jul 2020 S	150,000,000	2019/04/10	2020/07/30	37.50
57713	HK Bank – HSI R Bear CBBC Sep 2019 L	80,000,000	2019/04/10	2019/09/27	20.00
57749	HK Bank – Ping An R Bull CBBC Dec 2019 A	80,000,000	2019/04/10	2019/12/02	20.00
57819	HK Bank – Sands China R Bull CBBC Nov 2019 D	60,000,000	2019/04/10	2019/11/25	15.00
57800	HK Bank – Sands China R Bear CBBC Nov 2019 A	40,000,000	2019/04/10	2019/11/04	10.00
57818	HK Bank – SHK Ppt R Bear CBBC Sep 2019 A	40,000,000	2019/04/10	2019/09/16	10.00
57725	HK Bank – Tencent R Bull CBBC Oct 2019 H	50,000,000	2019/04/10	2019/10/14	12.50
57714	HK Bank – Tencent R Bear CBBC Sep 2019 N	40,000,000	2019/04/10	2019/09/02	10.00
57552	Haitong Int'l Sec – CKH R Bull CBBC Oct 2019 A	50,000,000	2019/04/10	2019/10/21	12.50
57563	Haitong Int'l Sec – CKH R Bear CBBC Aug 2019 B	50,000,000	2019/04/10	2019/08/30	12.50
57847	Haitong Int'l Sec – China Life R Bear CBBC Oct 2019 A	100,000,000	2019/04/10	2019/10/30	25.00
57594	Haitong Int'l Sec – Evergrande R Bull CBBC Apr 2020 A	160,000,000	2019/04/10	2020/04/24	40.00
57605	Haitong Int'l Sec – Evergrande R Bear CBBC Jan 2020 A	160,000,000	2019/04/10	2020/01/29	40.00
57846	Haitong Int'l Sec – Geely Auto R Bear CBBC Nov 2019 A	130,000,000	2019/04/10	2019/11/19	32.50
57844	Haitong Int'l Sec – Galaxy Ent R Bear CBBC Feb 2020 A	70,000,000	2019/04/10	2020/02/14	17.50
57661	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 D	150,000,000	2019/04/10	2021/07/29	37.50
57659	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 J	150,000,000	2019/04/10	2021/10/28	37.50
57701	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 K	150,000,000	2019/04/10	2021/10/28	37.50
57608	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 J	150,000,000	2019/04/10	2019/08/29	37.50
57619	Haitong Int'l Sec – HSI R Bear CBBC Nov 2019 A	150,000,000	2019/04/10	2019/11/28	37.50
57977	Haitong Int'l Sec – SHK Ppt R Bull CBBC Sep 2019 A	40,000,000	2019/04/10	2019/09/27	10.00
57989	Haitong Int'l Sec – SHK Ppt R Bear CBBC Oct 2019 B	40,000,000	2019/04/10	2019/10/29	10.00
57577	Haitong Int'l Sec – Tencent R Bear CBBC Oct 2019 J	40,000,000	2019/04/10	2019/10/30	10.00
57476	Macquarie Bank Ltd. – Tencent R Bull CBBC Jul 2019 L	21,700,000	2019/04/10	2019/07/25	10.03
57459	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 H	21,700,000	2019/04/10	2019/12/30	24.91
58147	SG Issuer – AIA R Bear CBBC Oct 2019 C	60,000,000	2019/04/10	2019/10/28	15.00
58149	SG Issuer – CM Bank R Bear CBBC Oct 2019 B	100,000,000	2019/04/10	2019/10/30	25.00
58114	SG Issuer – Geely Auto R Bull CBBC Oct 2019 D	40,000,000	2019/04/10	2019/10/09	10.00
58151	SG Issuer – Galaxy Ent R Bull CBBC Sep 2019 D	100,000,000	2019/04/10	2019/09/26	25.00
58156	SG Issuer – Galaxy Ent R Bull CBBC Oct 2019 L	100,000,000	2019/04/10	2019/10/16	25.00
58073	SG Issuer – Galaxy Ent R Bear CBBC Nov 2019 D	100,000,000	2019/04/10	2019/11/28	25.00
58135	SG Issuer – HKEX R Bull CBBC Sep 2019 J	50,000,000	2019/04/10	2019/09/27	12.50
58185	SG Issuer – HSI R Bull CBBC May 2021 Y	200,000,000	2019/04/10	2021/05/28	50.00
58062	SG Issuer – HSI R Bull CBBC Jul 2021 Q	200,000,000	2019/04/10	2021/07/29	50.00
58187	SG Issuer – HSI R Bull CBBC Jul 2021 T	200,000,000	2019/04/10	2021/07/29	50.00
58188	SG Issuer – HSI R Bull CBBC Aug 2021 J	200,000,000	2019/04/10	2021/08/30	50.00
58189	SG Issuer – HSI R Bull CBBC Aug 2021 K	200,000,000	2019/04/10	2021/08/30	50.00
58176	SG Issuer – HSI R Bear CBBC Jul 2019 O	200,000,000	2019/04/10	2019/07/30	50.00
58178	SG Issuer – HSI R Bear CBBC Aug 2019 A	200,000,000	2019/04/10	2019/08/29	50.00
58051	SG Issuer – HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/04/10	2019/08/29	50.00
58146	SG Issuer – HSI R Bear CBBC Sep 2019 G	200,000,000	2019/04/10	2019/09/27	50.00
58101	SG Issuer – Ping An R Bull CBBC Sep 2019 I	100,000,000	2019/04/10	2019/09/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58166	SG Issuer – Ping An R Bull CBBC Sep 2019 J	100,000,000	2019/04/10	2019/09/26	25.00
58136	SG Issuer – Sands China R Bear CBBC Sep 2019 C	80,000,000	2019/04/10	2019/09/27	20.00
58171	SG Issuer – Sunny Optical R Bull CBBC Sep 2019 A	40,000,000	2019/04/10	2019/09/30	10.00
58139	SG Issuer – Tencent R Bull CBBC Jul 2019 B	80,000,000	2019/04/10	2019/07/26	20.00
58113	SG Issuer – Tencent R Bear CBBC Dec 2019 Z	80,000,000	2019/04/10	2019/12/30	20.00
57531	UBS AG – HSI R Bull CBBC Sep 2020 O	200,000,000	2019/04/10	2020/09/29	50.00
57549	UBS AG – HSI R Bear CBBC Jul 2019 B	200,000,000	2019/04/10	2019/07/30	50.00
57546	UBS AG – HSI R Bear CBBC Jul 2019 E	200,000,000	2019/04/10	2019/07/30	50.00
57545	UBS AG – HSI R Bear CBBC Jul 2019 I	200,000,000	2019/04/10	2019/07/30	50.00
58033	Bank Vontobel – Galaxy Ent R Bull CBBC Dec 2019 A	40,000,000	2019/04/10	2019/12/20	10.00
58049	Bank Vontobel – Sands China R Bull CBBC Dec 2019 B	40,000,000	2019/04/10	2019/12/20	10.00
58032	Bank Vontobel – Tencent R Bull CBBC Dec 2019 D	40,000,000	2019/04/10	2019/12/13	10.00
58391	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 U	150,000,000	2019/04/11	2020/09/29	40.05
58394	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 V	150,000,000	2019/04/11	2020/09/29	38.10
58395	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 I	150,000,000	2019/04/11	2019/07/30	37.80
58397	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 J	150,000,000	2019/04/11	2019/07/30	38.70
58254	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2019 D	100,000,000	2019/04/11	2019/09/27	25.00
58253	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2019 E	100,000,000	2019/04/11	2019/09/27	25.00
58260	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 A	250,000,000	2019/04/11	2021/09/29	62.50
58385	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 B	250,000,000	2019/04/11	2021/09/29	62.50
58389	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 C	240,000,000	2019/04/11	2021/09/29	60.00
58258	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 Z	250,000,000	2019/04/11	2021/09/29	62.50
58243	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 T	250,000,000	2019/04/11	2019/08/29	62.50
58244	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 U	250,000,000	2019/04/11	2019/08/29	62.50
58246	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 V	240,000,000	2019/04/11	2019/08/29	60.00
58247	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 W	250,000,000	2019/04/11	2019/08/29	62.50
58251	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 X	250,000,000	2019/04/11	2019/08/29	62.50
58252	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 Y	250,000,000	2019/04/11	2019/08/29	75.00
58390	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 Z	240,000,000	2019/04/11	2019/08/29	60.00
58256	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Aug 2019 O	200,000,000	2019/04/11	2019/08/29	50.00
58262	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 D	50,000,000	2019/04/11	2019/12/30	12.50
58257	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 C	50,000,000	2019/04/11	2019/11/28	12.50
58505	Credit Suisse AG – AIA R Bull CBBC Nov 2019 I	70,000,000	2019/04/11	2019/11/25	17.50
58508	Credit Suisse AG – HSBC R Bull CBBC Dec 2019 D	70,000,000	2019/04/11	2019/12/13	17.50
58523	Credit Suisse AG – HSI R Bull CBBC Jun 2021 A	300,000,000	2019/04/11	2021/06/29	75.00
58521	Credit Suisse AG – HSI R Bull CBBC Jul 2021 O	200,000,000	2019/04/11	2021/07/29	50.00
58525	Credit Suisse AG – HSI R Bull CBBC Aug 2021 A	300,000,000	2019/04/11	2021/08/30	75.00
58514	Credit Suisse AG – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/04/11	2021/09/29	50.00
58524	Credit Suisse AG – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/04/11	2021/09/29	50.00
58526	Credit Suisse AG – HSI R Bull CBBC Oct 2021 D	300,000,000	2019/04/11	2021/10/28	75.00
58540	Credit Suisse AG – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/04/11	2021/10/28	50.00
58491	Credit Suisse AG – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/04/11	2019/08/29	50.00
58490	Credit Suisse AG – HSI R Bear CBBC Aug 2019 S	200,000,000	2019/04/11	2019/08/29	50.00
58494	Credit Suisse AG – HSI R Bear CBBC Sep 2019 B	300,000,000	2019/04/11	2019/09/27	75.00
58498	Credit Suisse AG – HSI R Bear CBBC Sep 2019 K	200,000,000	2019/04/11	2019/09/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58500	Credit Suisse AG – HSI R Bear CBBC Sep 2019 L	200,000,000	2019/04/11	2019/09/27	50.00
58495	Credit Suisse AG – HSI R Bear CBBC Oct 2019 N	300,000,000	2019/04/11	2019/10/30	75.00
58497	Credit Suisse AG – HSI R Bear CBBC Oct 2019 O	300,000,000	2019/04/11	2019/10/30	75.00
58499	Credit Suisse AG – HSI R Bear CBBC Oct 2019 P	300,000,000	2019/04/11	2019/10/30	75.00
58542	Credit Suisse AG – HSI R Bear CBBC Oct 2019 Q	300,000,000	2019/04/11	2019/10/30	75.00
58513	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 V	80,000,000	2019/04/11	2019/12/13	20.00
58504	Credit Suisse AG – Tencent R Bull CBBC Nov 2019 T	200,000,000	2019/04/11	2019/11/22	50.00
58511	Credit Suisse AG – Tencent R Bull CBBC Nov 2019 U	200,000,000	2019/04/11	2019/11/29	50.00
58530	Credit Suisse AG – Tencent R Bear CBBC Nov 2019 R	200,000,000	2019/04/11	2019/11/20	50.00
58529	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 U	200,000,000	2019/04/11	2019/12/17	50.00
58289	Bank of East Asia – AIA R Bull CBBC Aug 2019 G	60,000,000	2019/04/11	2019/08/28	15.00
58291	Bank of East Asia – AIA R Bear CBBC Oct 2019 A	60,000,000	2019/04/11	2019/10/10	15.00
58292	Bank of East Asia – Geely Auto R Bull CBBC Aug 2019 J	40,000,000	2019/04/11	2019/08/28	10.00
58294	Bank of East Asia – Geely Auto R Bear CBBC Oct 2019 A	40,000,000	2019/04/11	2019/10/10	10.00
58326	Bank of East Asia – Galaxy Ent R Bull CBBC Dec 2019 C	100,000,000	2019/04/11	2019/12/06	25.00
58325	Bank of East Asia – Galaxy Ent R Bear CBBC Dec 2019 C	100,000,000	2019/04/11	2019/12/06	25.00
58323	Bank of East Asia – HKEX R Bull CBBC Oct 2019 D	25,000,000	2019/04/11	2019/10/25	10.00
58277	Bank of East Asia – Ping An R Bull CBBC Aug 2019 R	100,000,000	2019/04/11	2019/08/28	25.00
58281	Bank of East Asia – Ping An R Bull CBBC Aug 2019 S	100,000,000	2019/04/11	2019/08/28	25.00
58284	Bank of East Asia – Ping An R Bull CBBC Aug 2019 T	100,000,000	2019/04/11	2019/08/28	25.00
58285	Bank of East Asia – Ping An R Bear CBBC Oct 2019 A	100,000,000	2019/04/11	2019/10/10	25.00
58300	Bank of East Asia – Tencent R Bull CBBC Oct 2019 E	30,000,000	2019/04/11	2019/10/25	12.00
58299	Bank of East Asia – Tencent R Bull CBBC Dec 2019 F	150,000,000	2019/04/11	2019/12/06	37.50
58308	Bank of East Asia – Tencent R Bear CBBC Dec 2019 D	150,000,000	2019/04/11	2019/12/06	37.50
58548	Goldman Sachs SP (Asia) – AIA R Bull CBBC Oct 2019 A	100,000,000	2019/04/11	2019/10/15	25.00
58550	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Oct 2019 B	100,000,000	2019/04/11	2019/10/30	25.00
58549	Goldman Sachs SP (Asia) – Galaxy Ent R Bear CBBC Feb 2020 C	80,000,000	2019/04/11	2020/02/10	20.00
58547	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 A	100,000,000	2019/04/11	2019/07/11	25.00
58556	Goldman Sachs SP (Asia) – HSBC R Bull CBBC Sep 2019 B	100,000,000	2019/04/11	2019/09/27	25.00
58543	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/04/11	2021/09/29	50.00
58544	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 D	200,000,000	2019/04/11	2021/09/29	50.00
58545	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 E	200,000,000	2019/04/11	2021/09/29	50.00
58562	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 F	200,000,000	2019/04/11	2021/09/29	50.00
58266	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/04/11	2019/07/30	50.00
58263	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 H	200,000,000	2019/04/11	2020/04/28	50.00
58267	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 I	200,000,000	2019/04/11	2020/04/28	50.00
58564	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 J	200,000,000	2019/04/11	2020/04/28	50.00
58565	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 K	200,000,000	2019/04/11	2020/04/28	50.00
58570	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 L	200,000,000	2019/04/11	2020/04/28	50.00
58546	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 B	100,000,000	2019/04/11	2019/10/30	25.00
58558	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 J	100,000,000	2019/04/11	2019/07/11	25.00
58560	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 K	100,000,000	2019/04/11	2019/07/11	25.00
58268	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 E	80,000,000	2019/04/11	2019/07/11	20.00
58360	HK Bank – Conch Cement R Bull CBBC Oct 2019 C	40,000,000	2019/04/11	2019/10/02	10.00
58367	HK Bank – AIA R Bull CBBC Oct 2019 E	60,000,000	2019/04/11	2019/10/21	15.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58379	HK Bank – AIA R Bear CBBC Dec 2019 A	40,000,000	2019/04/11	2019/12/02	10.00
58365	HK Bank – China Mobile R Bull CBBC Oct 2019 G	60,000,000	2019/04/11	2019/10/08	15.00
58363	HK Bank – Geely Auto R Bull CBBC Jan 2020 A	60,000,000	2019/04/11	2020/01/13	15.00
58366	HK Bank – Galaxy Ent R Bull CBBC Nov 2019 D	60,000,000	2019/04/11	2019/11/18	15.00
58338	HK Bank – HSCEI R Bull CBBC Jul 2020 E	100,000,000	2019/04/11	2020/07/30	25.00
58339	HK Bank – HSI R Bull CBBC Jul 2020 T	80,000,000	2019/04/11	2020/07/30	20.00
58344	HK Bank – HSI R Bull CBBC Jul 2020 U	150,000,000	2019/04/11	2020/07/30	37.50
58345	HK Bank – HSI R Bull CBBC Jul 2020 V	150,000,000	2019/04/11	2020/07/30	37.50
58335	HK Bank – HSI R Bear CBBC Sep 2019 M	150,000,000	2019/04/11	2019/09/27	37.50
58358	HK Bank – Ping An R Bear CBBC Dec 2019 A	60,000,000	2019/04/11	2019/12/02	15.00
58351	HK Bank – Tencent R Bull CBBC Oct 2019 I	50,000,000	2019/04/11	2019/10/08	12.50
58329	HK Bank – Tencent R Bear CBBC Aug 2019 R	40,000,000	2019/04/11	2019/08/26	10.00
58348	HK Bank – Tencent R Bear CBBC Dec 2019 D	100,000,000	2019/04/11	2019/12/30	25.00
58446	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 G	150,000,000	2019/04/11	2021/09/29	37.50
58443	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 L	150,000,000	2019/04/11	2021/10/28	37.50
58447	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 M	150,000,000	2019/04/11	2021/10/28	37.50
58431	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 K	150,000,000	2019/04/11	2019/08/29	37.50
58434	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 L	150,000,000	2019/04/11	2019/08/29	37.50
58449	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 M	150,000,000	2019/04/11	2019/08/29	37.50
58451	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 N	150,000,000	2019/04/11	2019/08/29	37.50
58464	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 C	150,000,000	2019/04/11	2019/10/30	37.50
58474	Haitong Int'l Sec – Sands China R Bear CBBC Nov 2019 A	100,000,000	2019/04/11	2019/11/06	25.00
58441	Haitong Int'l Sec – Tencent R Bull CBBC Sep 2019 D	40,000,000	2019/04/11	2019/09/30	10.04
58470	Haitong Int'l Sec – Tencent R Bull CBBC Sep 2019 E	40,000,000	2019/04/11	2019/09/30	10.00
58488	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 G	40,000,000	2019/04/11	2019/10/31	10.00
58435	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 G	40,000,000	2019/04/11	2019/11/05	10.00
58587	SG Issuer – AIA R Bull CBBC Oct 2019 M	60,000,000	2019/04/11	2019/10/23	15.00
58626	SG Issuer – China Mobile R Bull CBBC Oct 2019 G	100,000,000	2019/04/11	2019/10/30	25.00
58590	SG Issuer – CM Bank R Bear CBBC Dec 2019 C	100,000,000	2019/04/11	2019/12/30	25.00
58660	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 P	100,000,000	2019/04/11	2020/02/27	25.00
58663	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 H	100,000,000	2019/04/11	2020/03/30	25.00
58659	SG Issuer – CSOP A50 ETF R Bear CBBC Oct 2019 A	100,000,000	2019/04/11	2019/10/30	25.00
58664	SG Issuer – A50 China R Bull CBBC Feb 2020 I	80,000,000	2019/04/11	2020/02/27	20.00
58632	SG Issuer – Geely Auto R Bull CBBC Oct 2019 E	40,000,000	2019/04/11	2019/10/30	10.00
58628	SG Issuer – Galaxy Ent R Bull CBBC Nov 2019 G	100,000,000	2019/04/11	2019/11/28	25.00
58627	SG Issuer – Galaxy Ent R Bear CBBC Oct 2019 C	100,000,000	2019/04/11	2019/10/30	25.00
58578	SG Issuer – Galaxy Ent R Bear CBBC Dec 2019 A	100,000,000	2019/04/11	2019/12/30	25.00
58591	SG Issuer – HKEX R Bull CBBC Oct 2019 F	50,000,000	2019/04/11	2019/10/15	12.50
58579	SG Issuer – HKEX R Bear CBBC Oct 2019 C	50,000,000	2019/04/11	2019/10/10	12.50
58633	SG Issuer – HSBC R Bull CBBC Nov 2019 H	100,000,000	2019/04/11	2019/11/28	25.00
58655	SG Issuer – HSCEI R Bull CBBC Oct 2020 L	100,000,000	2019/04/11	2020/10/29	25.00
58616	SG Issuer – HSI R Bull CBBC May 2021 H	200,000,000	2019/04/11	2021/05/28	50.00
58617	SG Issuer – HSI R Bull CBBC May 2021 Z	200,000,000	2019/04/11	2021/05/28	50.00
58586	SG Issuer – HSI R Bull CBBC Jul 2021 U	200,000,000	2019/04/11	2021/07/29	50.00
58618	SG Issuer – HSI R Bull CBBC Jul 2021 W	200,000,000	2019/04/11	2021/07/29	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58619	SG Issuer – HSI R Bull CBBC Aug 2021 L	200,000,000	2019/04/11	2021/08/30	50.00
58599	SG Issuer – HSI R Bear CBBC Jul 2019 B	200,000,000	2019/04/11	2019/07/30	50.00
58572	SG Issuer – HSI R Bear CBBC Jul 2019 P	200,000,000	2019/04/11	2019/07/30	50.00
58595	SG Issuer – HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/04/11	2019/07/30	50.00
58596	SG Issuer – HSI R Bear CBBC Jul 2019 R	200,000,000	2019/04/11	2019/07/30	50.00
58597	SG Issuer – HSI R Bear CBBC Jul 2019 T	200,000,000	2019/04/11	2019/07/30	50.00
58598	SG Issuer – HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/04/11	2019/07/30	50.00
58573	SG Issuer – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/04/11	2019/08/29	50.00
58574	SG Issuer – HSI R Bear CBBC Aug 2019 I	200,000,000	2019/04/11	2019/08/29	50.00
58575	SG Issuer – HSI R Bear CBBC Aug 2019 K	200,000,000	2019/04/11	2019/08/29	50.00
58583	SG Issuer – HSI R Bear CBBC Aug 2019 M	200,000,000	2019/04/11	2019/08/29	50.00
58600	SG Issuer – HSI R Bear CBBC Aug 2019 N	200,000,000	2019/04/11	2019/08/29	50.00
58614	SG Issuer – HSI R Bear CBBC Sep 2019 C	200,000,000	2019/04/11	2019/09/27	50.00
58585	SG Issuer – HSI R Bear CBBC Sep 2019 L	200,000,000	2019/04/11	2019/09/27	50.00
58635	SG Issuer – ICBC R Bull CBBC Oct 2019 D	100,000,000	2019/04/11	2019/10/18	25.00
58642	SG Issuer – Ping An R Bull CBBC Oct 2019 O	100,000,000	2019/04/11	2019/10/30	25.00
58580	SG Issuer – Ping An R Bear CBBC Aug 2019 P	100,000,000	2019/04/11	2019/08/05	25.00
58640	SG Issuer – Ping An R Bear CBBC Aug 2019 Q	100,000,000	2019/04/11	2019/08/07	25.00
58643	SG Issuer – Ping An R Bear CBBC Oct 2019 E	100,000,000	2019/04/11	2019/10/30	25.00
58648	SG Issuer – Tencent R Bull CBBC Jul 2019 C	80,000,000	2019/04/11	2019/07/26	20.00
58650	SG Issuer – Tencent R Bull CBBC Jul 2019 D	80,000,000	2019/04/11	2019/07/30	20.00
58651	SG Issuer – Tencent R Bull CBBC Aug 2019 V	80,000,000	2019/04/11	2019/08/12	20.00
58581	SG Issuer – Tencent R Bear CBBC Oct 2019 O	80,000,000	2019/04/11	2019/10/30	20.00
58652	SG Issuer – Tencent R Bear CBBC Oct 2019 P	80,000,000	2019/04/11	2019/10/30	23.60
58592	SG Issuer – Tencent R Bear CBBC Dec 2019 A	80,000,000	2019/04/11	2019/12/30	20.00
58653	SG Issuer – Tencent R Bear CBBC Dec 2019 C	80,000,000	2019/04/11	2019/12/30	20.00
58582	SG Issuer – Tencent R Bear CBBC Dec 2019 F	80,000,000	2019/04/11	2019/12/30	20.00
58424	UBS AG – HSI R Bull CBBC May 2021 C	200,000,000	2019/04/11	2021/05/28	50.00
58423	UBS AG – HSI R Bull CBBC May 2021 P	200,000,000	2019/04/11	2021/05/28	50.00
58399	UBS AG – HSI R Bear CBBC Jul 2019 G	200,000,000	2019/04/11	2019/07/30	50.00
58400	UBS AG – HSI R Bear CBBC Jul 2019 J	200,000,000	2019/04/11	2019/07/30	50.00
58406	UBS AG – HSI R Bear CBBC Jul 2019 K	200,000,000	2019/04/11	2019/07/30	50.00
58407	UBS AG – HSI R Bear CBBC Jul 2019 L	200,000,000	2019/04/11	2019/07/30	50.00
58409	UBS AG – HSI R Bear CBBC Jul 2019 M	200,000,000	2019/04/11	2019/07/30	50.00
58410	UBS AG – HSI R Bear CBBC Jul 2019 N	200,000,000	2019/04/11	2019/07/30	50.00
58425	UBS AG – Ping An R Bull CBBC Nov 2019 B	100,000,000	2019/04/11	2019/11/18	25.00
58418	UBS AG – Tencent R Bull CBBC Oct 2019 E	200,000,000	2019/04/11	2019/10/15	50.00
58422	UBS AG – Tencent R Bull CBBC Oct 2019 F	200,000,000	2019/04/11	2019/10/22	50.00
58411	UBS AG – Tencent R Bear CBBC Jul 2019 G	200,000,000	2019/04/11	2019/07/22	50.00
59197	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 D	240,000,000	2019/04/12	2021/09/29	60.00
58718	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 A	250,000,000	2019/04/12	2019/08/29	62.50
59205	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 B	240,000,000	2019/04/12	2019/08/29	60.00
59700	Credit Suisse AG – AIA R Bull CBBC Dec 2019 P	70,000,000	2019/04/12	2019/12/09	17.50
60159	Credit Suisse AG – CM Bank R Bull CBBC Dec 2019 M	70,000,000	2019/04/12	2019/12/31	17.50
60094	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Nov 2019 H	50,000,000	2019/04/12	2019/11/29	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60011	Credit Suisse AG – Geely Auto R Bull CBBC Mar 2020 A	150,000,000	2019/04/12	2020/03/04	37.50
60135	Credit Suisse AG – HKEX R Bull CBBC Jan 2020 D	200,000,000	2019/04/12	2020/01/20	50.00
59695	Credit Suisse AG – HSI R Bull CBBC Apr 2021 X	300,000,000	2019/04/12	2021/04/29	75.00
59597	Credit Suisse AG – HSI R Bull CBBC Jul 2021 P	200,000,000	2019/04/12	2021/07/29	50.00
59684	Credit Suisse AG – HSI R Bull CBBC Jul 2021 Q	200,000,000	2019/04/12	2021/07/29	50.00
59641	Credit Suisse AG – HSI R Bull CBBC Sep 2021 O	300,000,000	2019/04/12	2021/09/29	75.00
59894	Credit Suisse AG – HSI R Bull CBBC Oct 2021 F	300,000,000	2019/04/12	2021/10/28	75.00
59951	Credit Suisse AG – HSI R Bear CBBC Aug 2019 W	200,000,000	2019/04/12	2019/08/29	50.00
60001	Credit Suisse AG – HSI R Bear CBBC Sep 2019 M	200,000,000	2019/04/12	2019/09/27	50.00
60004	Credit Suisse AG – SHK Ppt R Bear CBBC Nov 2019 A	50,000,000	2019/04/12	2019/11/27	12.50
59762	Credit Suisse AG – Tencent R Bull CBBC Nov 2019 V	200,000,000	2019/04/12	2019/11/27	50.00
59249	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2019Q	100,000,000	2019/04/12	2019/11/25	25.00
59256	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2019 D	100,000,000	2019/04/12	2019/12/30	25.00
58680	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 G	200,000,000	2019/04/12	2021/09/29	50.00
58681	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 H	200,000,000	2019/04/12	2021/09/29	50.00
59239	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 I	200,000,000	2019/04/12	2021/09/29	50.00
59210	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 M	200,000,000	2019/04/12	2020/04/28	50.00
59216	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 N	200,000,000	2019/04/12	2020/04/28	50.00
59240	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 O	200,000,000	2019/04/12	2020/04/28	50.00
59271	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 P	200,000,000	2019/04/12	2020/04/28	50.00
59331	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 Q	200,000,000	2019/04/12	2020/04/28	50.00
59369	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 R	200,000,000	2019/04/12	2020/04/28	50.00
59562	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 S	200,000,000	2019/04/12	2020/04/28	50.00
59245	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 A	100,000,000	2019/04/12	2019/11/12	25.00
58671	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 L	100,000,000	2019/04/12	2019/07/12	25.00
58888	HK Bank – Bank of China R Bear CBBC Oct 2019 B	40,000,000	2019/04/12	2019/10/21	10.00
58915	HK Bank – CKH R Bull CBBC Dec 2019 A	40,000,000	2019/04/12	2019/12/02	10.00
58852	HK Bank – CM Bank R Bull CBBC Nov 2019 D	60,000,000	2019/04/12	2019/11/25	15.00
58876	HK Bank – CM Bank R Bear CBBC Oct 2019 B	40,000,000	2019/04/12	2019/10/08	10.00
58882	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2019 D	40,000,000	2019/04/12	2019/11/04	10.00
58886	HK Bank – CSOP A50 ETF R Bear CBBC Nov 2019 A	100,000,000	2019/04/12	2019/11/04	25.00
58830	HK Bank – CSPC Pharma R Bull CBBC Oct 2019 B	40,000,000	2019/04/12	2019/10/28	10.00
58884	HK Bank – A50 China R Bull CBBC Nov 2019 D	40,000,000	2019/04/12	2019/11/04	10.00
58887	HK Bank – A50 China R Bear CBBC Nov 2019 A	100,000,000	2019/04/12	2019/11/04	25.00
58807	HK Bank – Galaxy Ent R Bull CBBC Dec 2019 A	60,000,000	2019/04/12	2019/12/02	15.00
58811	HK Bank – Galaxy Ent R Bear CBBC Nov 2019 B	40,000,000	2019/04/12	2019/11/25	10.00
58813	HK Bank – HSI R Bull CBBC Jul 2020 W	150,000,000	2019/04/12	2020/07/30	37.50
58849	HK Bank – HSI R Bear CBBC Sep 2019 N	80,000,000	2019/04/12	2019/09/27	20.00
58837	HK Bank – HSI R Bear CBBC Oct 2019 T	150,000,000	2019/04/12	2019/10/30	37.50
58844	HK Bank – HSI R Bear CBBC Nov 2019 P	150,000,000	2019/04/12	2019/11/28	37.50
58838	HK Bank – HSI R Bear CBBC Dec 2019 M	150,000,000	2019/04/12	2019/12/30	37.50
58919	HK Bank – SUNAC R Bear CBBC Oct 2019 A	40,000,000	2019/04/12	2019/10/08	10.00
58850	HK Bank – Sunny Optical R Bull CBBC Oct 2019 C	40,000,000	2019/04/12	2019/10/28	10.00
58803	HK Bank – Tencent R Bull CBBC Nov 2019 E	50,000,000	2019/04/12	2019/11/04	12.50
58703	Haitong Int'l Sec – AIA R Bull CBBC Oct 2019 C	50,000,000	2019/04/12	2019/10/31	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58702	Haitong Int'l Sec - China Life R Bull CBBC Jan 2020 A	100,000,000	2019/04/12	2020/01/03	25.00
58980	Haitong Int'l Sec - CSOP A50 ETF R Bear CBBC Nov 2019 E	40,000,000	2019/04/12	2019/11/08	10.00
59011	Haitong Int'l Sec - A50 China R Bear CBBC Nov 2019 E	40,000,000	2019/04/12	2019/11/26	10.00
58697	Haitong Int'l Sec - Geely Auto R Bull CBBC Feb 2020 B	130,000,000	2019/04/12	2020/02/20	32.50
58694	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Oct 2019 D	70,000,000	2019/04/12	2019/10/03	17.50
58696	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Oct 2019 E	70,000,000	2019/04/12	2019/10/08	17.50
58693	Haitong Int'l Sec - HKEX R Bull CBBC Nov 2019 C	40,000,000	2019/04/12	2019/11/01	10.00
58704	Haitong Int'l Sec - HSI R Bull CBBC Dec 2020 P	150,000,000	2019/04/12	2020/12/30	37.50
58943	Haitong Int'l Sec - HSI R Bull CBBC Jul 2021 E	150,000,000	2019/04/12	2021/07/29	37.50
58936	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 N	150,000,000	2019/04/12	2021/10/28	37.50
58682	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 O	150,000,000	2019/04/12	2019/08/29	37.50
58683	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 P	150,000,000	2019/04/12	2019/08/29	37.50
58686	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 Q	150,000,000	2019/04/12	2019/08/29	37.50
58944	Haitong Int'l Sec - HSI R Bear CBBC Aug 2019 R	150,000,000	2019/04/12	2019/08/29	37.50
58692	Haitong Int'l Sec - HSI R Bear CBBC Sep 2019 E	150,000,000	2019/04/12	2019/09/27	37.50
58701	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2019 G	50,000,000	2019/04/12	2019/10/09	12.50
58948	Haitong Int'l Sec - Tencent R Bear CBBC Nov 2019 H	80,000,000	2019/04/12	2019/11/05	20.00
58719	Macquarie Bank Ltd. - Ping An R Bull CBBC Dec 2019 C	37,500,000	2019/04/12	2019/12/31	10.05
58720	Macquarie Bank Ltd. - Tencent R Bull CBBC Aug 2019 F	18,700,000	2019/04/12	2019/08/12	10.02
58738	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 H	18,700,000	2019/04/12	2019/10/30	16.96
59131	SG Issuer - CKH R Bull CBBC Nov 2019 A	100,000,000	2019/04/12	2019/11/11	25.00
59124	SG Issuer - China Life R Bear CBBC Oct 2019 B	40,000,000	2019/04/12	2019/10/22	10.00
59125	SG Issuer - CM Bank R Bull CBBC Feb 2020 G	100,000,000	2019/04/12	2020/02/05	25.00
59132	SG Issuer - Geely Auto R Bear CBBC Sep 2019 E	40,000,000	2019/04/12	2019/09/30	24.00
59134	SG Issuer - Geely Auto R Bear CBBC Oct 2019 C	40,000,000	2019/04/12	2019/10/30	15.80
59061	SG Issuer - HKEX R Bull CBBC Sep 2019 K	50,000,000	2019/04/12	2019/09/20	12.50
59140	SG Issuer - HSBC R Bull CBBC Nov 2019 I	100,000,000	2019/04/12	2019/11/12	25.00
59055	SG Issuer - HSI R Bull CBBC May 2021 E	200,000,000	2019/04/12	2021/05/28	50.00
59057	SG Issuer - HSI R Bull CBBC Aug 2021 M	200,000,000	2019/04/12	2021/08/30	50.00
59059	SG Issuer - HSI R Bull CBBC Aug 2021 N	200,000,000	2019/04/12	2021/08/30	50.00
59113	SG Issuer - HSI R Bull CBBC Aug 2021 O	200,000,000	2019/04/12	2021/08/30	50.00
59119	SG Issuer - HSI R Bull CBBC Aug 2021 P	200,000,000	2019/04/12	2021/08/30	50.00
59079	SG Issuer - HSI R Bear CBBC Jul 2019 F	200,000,000	2019/04/12	2019/07/30	50.00
59082	SG Issuer - HSI R Bear CBBC Aug 2019 P	200,000,000	2019/04/12	2019/08/29	50.00
59085	SG Issuer - HSI R Bear CBBC Aug 2019 S	200,000,000	2019/04/12	2019/08/29	50.00
59092	SG Issuer - HSI R Bear CBBC Aug 2019 X	200,000,000	2019/04/12	2019/08/29	50.00
59108	SG Issuer - HSI R Bear CBBC Sep 2019 H	200,000,000	2019/04/12	2019/09/27	50.00
59111	SG Issuer - HSI R Bear CBBC Oct 2019 R	400,000,000	2019/04/12	2019/10/30	100.00
59141	SG Issuer - Ping An R Bull CBBC Nov 2019 S	100,000,000	2019/04/12	2019/11/11	25.00
59063	SG Issuer - Tencent R Bull CBBC Aug 2019 W	80,000,000	2019/04/12	2019/08/30	20.00
59173	SG Issuer - Tencent R Bull CBBC Aug 2019 X	80,000,000	2019/04/12	2019/08/13	20.00
59185	SG Issuer - Tencent R Bear CBBC Dec 2019 E	80,000,000	2019/04/12	2019/12/11	20.00
58753	UBS AG - HSI R Bull CBBC May 2021 F	200,000,000	2019/04/12	2021/05/28	50.00
58759	UBS AG - HSI R Bull CBBC May 2021 L	200,000,000	2019/04/12	2021/05/28	50.00
58763	UBS AG - HSI R Bull CBBC May 2021 N	200,000,000	2019/04/12	2021/05/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58764	UBS AG – HSI R Bear CBBC Jul 2019 O	200,000,000	2019/04/12	2019/07/30	50.00
58765	UBS AG – HSI R Bear CBBC Jul 2019 P	200,000,000	2019/04/12	2019/07/30	50.00
58782	UBS AG – HSI R Bear CBBC Jul 2019 Q	200,000,000	2019/04/12	2019/07/30	50.00
58769	UBS AG – HSI R Bear CBBC Dec 2019 C	400,000,000	2019/04/12	2019/12/30	100.00
59018	Bank Vontobel – CKH R Bull CBBC Dec 2019 C	40,000,000	2019/04/12	2019/12/20	10.00
59014	Bank Vontobel – China Life R Bull CBBC Dec 2019 B	40,000,000	2019/04/12	2019/12/13	10.00
59017	Bank Vontobel – Sinopec Corp R Bull CBBC Dec 2019 A	40,000,000	2019/04/12	2019/12/06	10.00
59013	Bank Vontobel – Geely Auto R Bull CBBC Dec 2019 C	40,000,000	2019/04/12	2019/12/20	10.00
59046	Bank Vontobel – HSI N Bull CBBC Dec 2020 F	80,000,000	2019/04/12	2020/12/30	20.00
59023	Bank Vontobel – Tencent R Bull CBBC Jan 2020 A	40,000,000	2019/04/12	2020/01/24	10.00
60221	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 W	150,000,000	2019/04/15	2020/09/29	37.65
60293	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 X	150,000,000	2019/04/15	2020/09/29	38.55
60318	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 K	150,000,000	2019/04/15	2019/07/30	38.40
60383	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2019 L	150,000,000	2019/04/15	2019/07/30	37.95
60810	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 E	250,000,000	2019/04/15	2021/09/29	62.50
61235	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 F	250,000,000	2019/04/15	2021/09/29	62.50
61236	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 G	250,000,000	2019/04/15	2021/09/29	62.50
61246	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2021 H	250,000,000	2019/04/15	2021/09/29	62.50
61259	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/04/15	2021/10/28	62.50
61266	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 B	240,000,000	2019/04/15	2021/10/28	60.00
60166	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 C	250,000,000	2019/04/15	2019/08/29	62.50
60191	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 D	250,000,000	2019/04/15	2019/08/29	62.50
60814	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 E	250,000,000	2019/04/15	2019/08/29	62.50
60863	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 F	250,000,000	2019/04/15	2019/08/29	62.50
60872	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 G	250,000,000	2019/04/15	2019/08/29	62.50
60892	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 H	250,000,000	2019/04/15	2019/08/29	65.00
61535	Credit Suisse AG – CC Bank R Bull CBBC Jan 2020 A	70,000,000	2019/04/15	2020/01/30	17.50
61536	Credit Suisse AG – CM Bank R Bear CBBC Jan 2020 A	60,000,000	2019/04/15	2020/01/30	15.00
61649	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2020 J	120,000,000	2019/04/15	2020/01/30	30.00
61544	Credit Suisse AG – CSPC Pharma R Bull CBBC Jan 2020 B	150,000,000	2019/04/15	2020/01/30	37.50
61533	Credit Suisse AG – Geely Auto R Bear CBBC Jan 2020 C	120,000,000	2019/04/15	2020/01/24	30.00
61523	Credit Suisse AG – Galaxy Ent R Bear CBBC Jan 2020 B	50,000,000	2019/04/15	2020/01/30	12.50
61498	Credit Suisse AG – HSI R Bull CBBC Jul 2021 R	200,000,000	2019/04/15	2021/07/29	50.00
61469	Credit Suisse AG – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/04/15	2021/09/29	50.00
61468	Credit Suisse AG – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/04/15	2021/10/28	50.00
61492	Credit Suisse AG – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/04/15	2021/10/28	50.00
61338	Credit Suisse AG – HSI R Bear CBBC Aug 2019 D	200,000,000	2019/04/15	2019/08/29	50.00
61341	Credit Suisse AG – HSI R Bear CBBC Aug 2019 T	200,000,000	2019/04/15	2019/08/29	50.00
61352	Credit Suisse AG – HSI R Bear CBBC Sep 2019 P	200,000,000	2019/04/15	2019/09/27	50.00
61353	Credit Suisse AG – HSI R Bear CBBC Oct 2019 R	200,000,000	2019/04/15	2019/10/30	50.00
61426	Credit Suisse AG – HSI R Bear CBBC Oct 2019 S	200,000,000	2019/04/15	2019/10/30	50.00
61550	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 J	80,000,000	2019/04/15	2020/01/30	20.00
61592	Credit Suisse AG – Ping An R Bear CBBC Jan 2020 A	60,000,000	2019/04/15	2020/01/30	15.00
61603	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 D	200,000,000	2019/04/15	2020/01/24	50.00
60678	Bank of East Asia – Tencent R Bull CBBC Aug 2019 Q	30,000,000	2019/04/15	2019/08/15	10.50



## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60691	Bank of East Asia – Tencent R Bull CBBC Aug 2019 R	30,000,000	2019/04/15	2019/08/15	10.50
60699	Bank of East Asia – Tencent R Bull CBBC Aug 2019 S	30,000,000	2019/04/15	2019/08/15	10.50
60702	Bank of East Asia – Tencent R Bear CBBC Aug 2019 J	30,000,000	2019/04/15	2019/08/15	10.50
63166	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 K	100,000,000	2019/04/15	2019/07/15	25.00
63086	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/04/15	2021/09/29	50.00
63102	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 K	200,000,000	2019/04/15	2021/09/29	50.00
63119	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/04/15	2021/09/29	50.00
60204	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 T	200,000,000	2019/04/15	2020/04/28	50.00
60207	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 U	200,000,000	2019/04/15	2020/04/28	50.00
63120	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 V	200,000,000	2019/04/15	2020/04/28	50.00
63124	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 W	200,000,000	2019/04/15	2020/04/28	50.00
63142	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 X	200,000,000	2019/04/15	2020/04/28	50.00
63103	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 B	100,000,000	2019/04/15	2019/11/12	25.00
63156	Goldman Sachs SP (Asia) – Ping An R Bear CBBC Aug 2019 B	80,000,000	2019/04/15	2019/08/01	20.00
63107	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 M	100,000,000	2019/04/15	2019/07/15	25.00
63111	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 N	100,000,000	2019/04/15	2019/07/15	25.00
63161	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 F	80,000,000	2019/04/15	2019/07/15	21.44
60548	HK Bank – Conch Cement R Bull CBBC Nov 2019 A	40,000,000	2019/04/15	2019/11/04	10.00
60616	HK Bank – CKH R Bear CBBC Oct 2019 B	40,000,000	2019/04/15	2019/10/21	10.00
60546	HK Bank – Geely Auto R Bull CBBC Dec 2019 B	80,000,000	2019/04/15	2019/12/30	20.00
60604	HK Bank – Geely Auto R Bear CBBC Nov 2019 B	50,000,000	2019/04/15	2019/11/18	12.50
60420	HK Bank – HKEX R Bull CBBC Nov 2019 E	50,000,000	2019/04/15	2019/11/18	12.50
60544	HK Bank – HKEX R Bear CBBC Dec 2019 B	100,000,000	2019/04/15	2019/12/09	25.00
60442	HK Bank – HSI R Bull CBBC Jul 2020 X	80,000,000	2019/04/15	2020/07/30	20.00
60452	HK Bank – HSI R Bull CBBC Jul 2020 Y	150,000,000	2019/04/15	2020/07/30	37.50
60391	HK Bank – HSI R Bear CBBC Sep 2019 O	150,000,000	2019/04/15	2019/09/27	37.50
60520	HK Bank – HSI R Bear CBBC Nov 2019 Q	150,000,000	2019/04/15	2019/11/28	37.50
60523	HK Bank – HSI R Bear CBBC Dec 2019 N	150,000,000	2019/04/15	2019/12/30	37.50
60434	HK Bank – Ping An R Bull CBBC Dec 2019 B	80,000,000	2019/04/15	2019/12/09	20.00
60615	HK Bank – SUNAC R Bull CBBC Dec 2019 G	60,000,000	2019/04/15	2019/12/02	15.00
60535	HK Bank – Tencent R Bull CBBC Nov 2019 F	100,000,000	2019/04/15	2019/11/11	25.00
60407	HK Bank – Tencent R Bear CBBC Sep 2019 O	40,000,000	2019/04/15	2019/09/30	10.00
63066	Haitong Int'l Sec – CSOP A50 ETF R Bear CBBC Nov 2019 F	40,000,000	2019/04/15	2019/11/27	10.00
63053	Haitong Int'l Sec – CSPC Pharma R Bull CBBC Feb 2020 A	80,000,000	2019/04/15	2020/02/20	20.00
63057	Haitong Int'l Sec – CSPC Pharma R Bull CBBC Feb 2020 B	80,000,000	2019/04/15	2020/02/28	20.00
63081	Haitong Int'l Sec – A50 China R Bear CBBC Nov 2019 F	40,000,000	2019/04/15	2019/11/07	10.00
61075	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 O	150,000,000	2019/04/15	2021/10/28	37.50
61134	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 P	150,000,000	2019/04/15	2021/10/28	37.50
61181	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 Q	150,000,000	2019/04/15	2021/10/28	37.50
61206	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 S	150,000,000	2019/04/15	2019/08/29	37.50
61212	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 T	150,000,000	2019/04/15	2019/08/29	37.50
61219	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 D	150,000,000	2019/04/15	2019/10/30	37.50
61228	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 E	150,000,000	2019/04/15	2019/10/30	40.65
63064	Haitong Int'l Sec – Sunny Optical R Bull CBBC Dec 2019 A	40,000,000	2019/04/15	2019/12/03	10.00
63069	Haitong Int'l Sec – Sunny Optical R Bear CBBC Oct 2019 A	40,000,000	2019/04/15	2019/10/09	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61071	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 I	40,000,000	2019/04/15	2019/11/22	10.00
61897	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Feb 2020 A	250,000,000	2019/04/15	2020/02/14	62.50
61963	J P Morgan SP BV – A50 China R Bull CBBC Feb 2020 A	250,000,000	2019/04/15	2020/02/14	62.50
61802	J P Morgan SP BV – HKEX R Bull CBBC Sep 2019 B	200,000,000	2019/04/15	2019/09/13	50.00
61872	J P Morgan SP BV – HKEX R Bull CBBC Oct 2019 B	200,000,000	2019/04/15	2019/10/11	50.00
61791	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 A	200,000,000	2019/04/15	2021/09/29	50.00
61730	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/04/15	2021/09/29	50.00
61700	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/04/15	2021/09/29	50.00
61704	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/04/15	2021/09/29	50.00
61707	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 X	200,000,000	2019/04/15	2021/09/29	50.00
61708	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/04/15	2021/09/29	50.00
61779	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/04/15	2021/09/29	50.00
61668	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 A	200,000,000	2019/04/15	2019/07/30	50.00
61658	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 V	200,000,000	2019/04/15	2019/07/30	50.00
61688	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 U	200,000,000	2019/04/15	2019/08/29	50.00
61986	J P Morgan SP BV – Ping An R Bull CBBC Aug 2019 C	100,000,000	2019/04/15	2019/08/16	25.00
62006	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 H	250,000,000	2019/04/15	2019/08/09	62.50
62051	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 I	250,000,000	2019/04/15	2019/08/09	62.50
62086	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 J	50,000,000	2019/04/15	2019/08/16	12.50
60794	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 G	18,900,000	2019/04/15	2019/08/13	10.04
60791	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 I	9,400,000	2019/04/15	2019/12/11	10.10
62854	SG Issuer – Conch Cement R Bull CBBC Dec 2019 C	80,000,000	2019/04/15	2019/12/10	20.00
62754	SG Issuer – AIA R Bull CBBC Oct 2019 N	60,000,000	2019/04/15	2019/10/30	15.00
62857	SG Issuer – Bank of China R Bull CBBC Feb 2020 B	100,000,000	2019/04/15	2020/02/10	25.00
62870	SG Issuer – CC Bank R Bull CBBC Sep 2019 J	100,000,000	2019/04/15	2019/09/30	25.00
62881	SG Issuer – CM Bank R Bull CBBC Feb 2020 H	100,000,000	2019/04/15	2020/02/10	25.00
62889	SG Issuer – CNOOC R Bull CBBC Oct 2019 C	40,000,000	2019/04/15	2019/10/18	10.00
62830	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 I	100,000,000	2019/04/15	2020/03/30	25.00
62900	SG Issuer – CSPC Pharma R Bull CBBC Sep 2019 B	40,000,000	2019/04/15	2019/09/18	10.00
62910	SG Issuer – CSPC Pharma R Bull CBBC Sep 2019 C	40,000,000	2019/04/15	2019/09/30	10.00
62935	SG Issuer – Geely Auto R Bull CBBC Oct 2019 F	40,000,000	2019/04/15	2019/10/17	10.00
62913	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 G	100,000,000	2019/04/15	2019/12/10	25.00
62962	SG Issuer – HKEX R Bull CBBC Oct 2019 G	50,000,000	2019/04/15	2019/10/17	12.50
62977	SG Issuer – HKEX R Bull CBBC Oct 2019 H	50,000,000	2019/04/15	2019/10/30	12.50
62758	SG Issuer – HKEX R Bear CBBC Oct 2019 D	50,000,000	2019/04/15	2019/10/30	12.50
62733	SG Issuer – HSI R Bull CBBC May 2021 I	200,000,000	2019/04/15	2021/05/28	50.00
62802	SG Issuer – HSI R Bull CBBC Aug 2021 Q	200,000,000	2019/04/15	2021/08/30	50.00
62809	SG Issuer – HSI R Bull CBBC Aug 2021 R	200,000,000	2019/04/15	2021/08/30	50.00
62527	SG Issuer – HSI R Bear CBBC Jul 2019 I	200,000,000	2019/04/15	2019/07/30	50.00
62644	SG Issuer – HSI R Bear CBBC Jul 2019 S	200,000,000	2019/04/15	2019/07/30	50.00
62517	SG Issuer – HSI R Bear CBBC Jul 2019 V	200,000,000	2019/04/15	2019/07/30	50.00
62759	SG Issuer – HSI R Bear CBBC Jul 2019 X	200,000,000	2019/04/15	2019/07/30	50.00
62538	SG Issuer – HSI R Bear CBBC Aug 2019 G	200,000,000	2019/04/15	2019/08/29	50.00
62547	SG Issuer – HSI R Bear CBBC Aug 2019 Q	200,000,000	2019/04/15	2019/08/29	50.00
62766	SG Issuer – HSI R Bear CBBC Aug 2019 V	200,000,000	2019/04/15	2019/08/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62777	SG Issuer – HSI R Bear CBBC Sep 2019 A	200,000,000	2019/04/15	2019/09/27	50.00
62649	SG Issuer – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/04/15	2019/09/27	50.00
62653	SG Issuer – HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/04/15	2019/09/27	50.00
62773	SG Issuer – HSI R Bear CBBC Sep 2019 R	200,000,000	2019/04/15	2019/09/27	50.00
62730	SG Issuer – HSI R Bear CBBC Dec 2019 B	400,000,000	2019/04/15	2019/12/30	100.00
62990	SG Issuer – Ping An R Bull CBBC Sep 2019 K	100,000,000	2019/04/15	2019/09/30	25.00
62997	SG Issuer – Ping An R Bull CBBC Oct 2019 N	100,000,000	2019/04/15	2019/10/30	25.00
62564	SG Issuer – Ping An R Bear CBBC Aug 2019 R	100,000,000	2019/04/15	2019/08/13	25.00
63012	SG Issuer – Ping An R Bear CBBC Nov 2019 A	100,000,000	2019/04/15	2019/11/28	25.00
63016	SG Issuer – Sunny Optical R Bear CBBC Oct 2019 D	40,000,000	2019/04/15	2019/10/30	12.20
63018	SG Issuer – Tencent R Bull CBBC Jul 2019 E	80,000,000	2019/04/15	2019/07/16	20.00
63041	SG Issuer – Tencent R Bull CBBC Jul 2019 F	80,000,000	2019/04/15	2019/07/25	20.00
62642	SG Issuer – Tencent R Bear CBBC Dec 2019 G	80,000,000	2019/04/15	2019/12/30	20.00
60930	UBS AG – HKEX R Bull CBBC Dec 2019 D	200,000,000	2019/04/15	2019/12/16	50.00
60907	UBS AG – HSI R Bull CBBC May 2021 Q	200,000,000	2019/04/15	2021/05/28	50.00
60910	UBS AG – HSI R Bull CBBC May 2021 R	200,000,000	2019/04/15	2021/05/28	50.00
60899	UBS AG – HSI R Bear CBBC Jul 2019 R	200,000,000	2019/04/15	2019/07/30	50.00
60974	UBS AG – HSI R Bear CBBC Jul 2019 S	200,000,000	2019/04/15	2019/07/30	50.00
60988	UBS AG – HSI R Bear CBBC Jul 2019 T	200,000,000	2019/04/15	2019/07/30	50.00
61021	UBS AG – HSI R Bear CBBC Jul 2019 U	200,000,000	2019/04/15	2019/07/30	50.00
61061	UBS AG – HSI R Bear CBBC Jul 2019 V	200,000,000	2019/04/15	2019/07/30	50.00
61062	UBS AG – HSI R Bear CBBC Oct 2019 E	400,000,000	2019/04/15	2019/10/30	100.00
60968	UBS AG – Tencent R Bull CBBC Jul 2019 P	40,000,000	2019/04/15	2019/07/18	10.00
62508	Bank Vontobel – CSOP A50 ETF R Bull CBBC Jan 2020 B	40,000,000	2019/04/15	2020/01/17	10.00
62499	Bank Vontobel – CSPC Pharma R Bull CBBC Nov 2019 I	40,000,000	2019/04/15	2019/11/22	10.04
62120	Bank Vontobel – HSI N Bear CBBC Mar 2020 B	80,000,000	2019/04/15	2020/03/30	20.00
62154	Bank Vontobel – HSI N Bear CBBC Mar 2020 C	80,000,000	2019/04/15	2020/03/30	20.00
62370	Bank Vontobel – HSI R Bull CBBC Mar 2021 N	80,000,000	2019/04/15	2021/03/30	20.00
62376	Bank Vontobel – HSI R Bull CBBC Mar 2021 O	80,000,000	2019/04/15	2021/03/30	20.00
62359	Bank Vontobel – HSI R Bear CBBC Dec 2019 T	80,000,000	2019/04/15	2019/12/30	20.00
62229	Bank Vontobel – HSI R Bear CBBC Mar 2020 D	80,000,000	2019/04/15	2020/03/30	20.00
62490	Bank Vontobel – Sunny Optical R Bull CBBC Nov 2019 A	40,000,000	2019/04/15	2019/11/22	10.04
62454	Bank Vontobel – Tencent R Bull CBBC Nov 2019 E	40,000,000	2019/04/15	2019/11/22	10.04
62393	Bank Vontobel – Tencent R Bear CBBC Dec 2019 D	100,000,000	2019/04/15	2019/12/20	25.10
62400	Bank Vontobel – Tencent R Bear CBBC Dec 2019 E	100,000,000	2019/04/15	2019/12/20	25.10
63414	BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Sep 2019 D	100,000,000	2019/04/16	2019/09/27	25.00
63398	BNP Paribas Issuance B.V. – CSOP A50 R Bull CBBC Dec 2019 D	60,000,000	2019/04/16	2019/12/30	15.00
63401	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct 19 E	60,000,000	2019/04/16	2019/10/30	15.00
63371	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 E	50,000,000	2019/04/16	2020/07/30	12.50
63384	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 F	50,000,000	2019/04/16	2020/07/30	15.00
63594	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/04/16	2021/10/28	62.50
63970	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 D	240,000,000	2019/04/16	2021/10/28	60.00
63189	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 I	250,000,000	2019/04/16	2019/08/29	62.50
63967	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 J	240,000,000	2019/04/16	2019/08/29	60.00
63387	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 E	200,000,000	2019/04/16	2019/11/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63393	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Aug 2019 Q	200,000,000	2019/04/16	2019/08/29	50.00
63395	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Aug 2019 R	200,000,000	2019/04/16	2019/08/29	50.00
63198	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 E	50,000,000	2019/04/16	2019/12/30	12.50
63325	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 D	50,000,000	2019/04/16	2020/01/30	12.50
63355	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 B	50,000,000	2019/04/16	2019/10/30	25.00
63358	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 D	50,000,000	2019/04/16	2019/11/28	22.50
64395	Credit Suisse AG – CNOOC R Bull CBBC Feb 2020 B	100,000,000	2019/04/16	2020/02/27	25.00
64451	Credit Suisse AG – CSPC Pharma R Bull CBBC Jan 2020 C	150,000,000	2019/04/16	2020/01/30	37.50
64453	Credit Suisse AG – CSPC Pharma R Bear CBBC Jan 2020 A	100,000,000	2019/04/16	2020/01/30	25.00
64461	Credit Suisse AG – A50 China R Bull CBBC Jan 2020 G	120,000,000	2019/04/16	2020/01/30	30.00
64364	Credit Suisse AG – Geely Auto R Bull CBBC Dec 2019 H	150,000,000	2019/04/16	2019/12/30	37.50
64369	Credit Suisse AG – Geely Auto R Bear CBBC Dec 2019 E	120,000,000	2019/04/16	2019/12/30	30.00
64472	Credit Suisse AG – HKEX R Bull CBBC Nov 2019 C	50,000,000	2019/04/16	2019/11/28	12.50
64346	Credit Suisse AG – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/04/16	2021/09/29	50.00
64349	Credit Suisse AG – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/04/16	2021/09/29	50.00
64363	Credit Suisse AG – HSI R Bull CBBC Oct 2021 I	300,000,000	2019/04/16	2021/10/28	75.00
64304	Credit Suisse AG – HSI R Bear CBBC Sep 2019 U	200,000,000	2019/04/16	2019/09/27	50.00
64292	Credit Suisse AG – HSI R Bear CBBC Oct 2019 T	300,000,000	2019/04/16	2019/10/30	75.00
64307	Credit Suisse AG – HSI R Bear CBBC Nov 2019 B	300,000,000	2019/04/16	2019/11/28	75.00
64312	Credit Suisse AG – HSI R Bear CBBC Nov 2019 C	200,000,000	2019/04/16	2019/11/28	50.00
64316	Credit Suisse AG – HSI R Bear CBBC Nov 2019 D	200,000,000	2019/04/16	2019/11/28	50.00
64325	Credit Suisse AG – HSI R Bear CBBC Dec 2019 A	300,000,000	2019/04/16	2019/12/30	75.00
64342	Credit Suisse AG – HSI R Bear CBBC Dec 2019 B	300,000,000	2019/04/16	2019/12/30	75.00
64454	Credit Suisse AG – SUNAC R Bull CBBC Dec 2019 D	80,000,000	2019/04/16	2019/12/30	20.00
64456	Credit Suisse AG – Sunny Optical R Bull CBBC Jan 2020 A	40,000,000	2019/04/16	2020/01/30	10.00
64466	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 J	200,000,000	2019/04/16	2019/12/30	50.00
63553	Bank of East Asia – Galaxy Ent R Bear CBBC Dec 2019 D	100,000,000	2019/04/16	2019/12/06	25.00
63461	Bank of East Asia – HKEX R Bull CBBC Oct 2019 E	100,000,000	2019/04/16	2019/10/25	25.00
63498	Bank of East Asia – HKEX R Bull CBBC Oct 2019 F	30,000,000	2019/04/16	2019/10/25	10.50
63537	Bank of East Asia – HKEX R Bull CBBC Oct 2019 G	30,000,000	2019/04/16	2019/10/25	10.50
63429	Bank of East Asia – Tencent R Bull CBBC Aug 2019 T	30,000,000	2019/04/16	2019/08/15	10.50
64544	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Sep 2019A	100,000,000	2019/04/16	2019/09/30	25.00
64583	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Sep 2019B	100,000,000	2019/04/16	2019/09/30	25.00
64584	Goldman Sachs SP (Asia) – Galaxy Ent R Bear CBBC Feb 2020 D	80,000,000	2019/04/16	2020/02/10	20.00
64564	Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2019 E	100,000,000	2019/04/16	2019/12/30	25.00
63589	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/04/16	2021/09/29	50.00
64534	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/04/16	2021/09/29	50.00
64582	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 A	200,000,000	2019/04/16	2020/04/28	50.00
63172	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/04/16	2020/04/28	50.00
64566	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 Z	200,000,000	2019/04/16	2020/04/28	50.00
64546	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 C	100,000,000	2019/04/16	2019/11/12	25.00
64548	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 O	100,000,000	2019/04/16	2019/07/16	25.00
64563	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 P	100,000,000	2019/04/16	2019/07/16	25.00
63173	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2020 A	80,000,000	2019/04/16	2020/04/28	20.00
63919	HK Bank – China Mobile R Bear CBBC Oct 2019 B	40,000,000	2019/04/16	2019/10/08	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63959	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2019 E	40,000,000	2019/04/16	2019/09/23	10.00
63928	HK Bank – CSPC Pharma R Bull CBBC Nov 2019 A	40,000,000	2019/04/16	2019/11/04	10.00
63966	HK Bank – A50 China R Bull CBBC Sep 2019 E	40,000,000	2019/04/16	2019/09/23	10.00
63912	HK Bank – HKEX R Bear CBBC Dec 2019 C	100,000,000	2019/04/16	2019/12/16	25.00
63834	HK Bank – HSCEI R Bull CBBC Jul 2020 F	100,000,000	2019/04/16	2020/07/30	25.00
63826	HK Bank – HSI R Bull CBBC Jul 2020 Z	150,000,000	2019/04/16	2020/07/30	37.50
63807	HK Bank – HSI R Bear CBBC Sep 2019 P	150,000,000	2019/04/16	2019/09/27	37.50
63827	HK Bank – HSI R Bear CBBC Nov 2019 R	150,000,000	2019/04/16	2019/11/28	37.50
63833	HK Bank – HSI R Bear CBBC Dec 2019 O	150,000,000	2019/04/16	2019/12/30	37.50
63913	HK Bank – Ping An R Bull CBBC Dec 2019 C	80,000,000	2019/04/16	2019/12/16	20.00
63820	HK Bank – Ping An R Bear CBBC Dec 2019 B	60,000,000	2019/04/16	2019/12/09	15.00
63917	HK Bank – Sands China R Bull CBBC Dec 2019 A	80,000,000	2019/04/16	2019/12/02	20.00
63921	HK Bank – SHK Ppt R Bear CBBC Oct 2019 A	40,000,000	2019/04/16	2019/10/02	10.00
63927	HK Bank – SUNAC R Bull CBBC Oct 2019 A	60,000,000	2019/04/16	2019/10/28	15.00
63914	HK Bank – Sunny Optical R Bull CBBC Sep 2019 C	40,000,000	2019/04/16	2019/09/30	10.00
63911	HK Bank – Tencent R Bull CBBC Sep 2019 K	50,000,000	2019/04/16	2019/09/09	12.50
63890	HK Bank – Tencent R Bear CBBC Dec 2019 E	100,000,000	2019/04/16	2019/12/16	25.00
64493	Haitong Int'l Sec – AIA R Bear CBBC Aug 2019 D	60,000,000	2019/04/16	2019/08/02	15.00
64514	Haitong Int'l Sec – CM Bank R Bear CBBC Nov 2019 C	100,000,000	2019/04/16	2019/11/12	25.00
64465	Haitong Int'l Sec – Geely Auto R Bull CBBC Mar 2020 A	120,000,000	2019/04/16	2020/03/25	30.00
63738	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 R	150,000,000	2019/04/16	2021/10/28	37.50
63801	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 S	150,000,000	2019/04/16	2021/10/28	37.50
63759	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 U	120,000,000	2019/04/16	2019/08/29	30.00
63765	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 V	120,000,000	2019/04/16	2019/08/29	30.00
63800	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 F	120,000,000	2019/04/16	2019/09/27	30.00
64471	Haitong Int'l Sec – Ping An R Bull CBBC Oct 2019 H	50,000,000	2019/04/16	2019/10/31	12.50
64496	Haitong Int'l Sec – Ping An R Bear CBBC Nov 2019 A	50,000,000	2019/04/16	2019/11/12	12.50
64506	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 H	40,000,000	2019/04/16	2019/10/04	10.00
64067	J P Morgan SP BV – HKEX R Bull CBBC Oct 2019 C	200,000,000	2019/04/16	2019/10/11	50.00
63987	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/04/16	2021/10/28	50.00
63989	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/04/16	2021/10/28	50.00
63993	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/04/16	2021/10/28	50.00
64006	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/04/16	2021/10/28	50.00
64007	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/04/16	2021/10/28	50.00
64012	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/04/16	2021/10/28	50.00
64016	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/04/16	2021/10/28	50.00
63976	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 C	200,000,000	2019/04/16	2019/07/30	50.00
64079	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 D	200,000,000	2019/04/16	2019/07/30	50.00
64046	J P Morgan SP BV – Ping An R Bear CBBC Aug 2019 A	100,000,000	2019/04/16	2019/08/09	25.00
64026	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 K	250,000,000	2019/04/16	2019/08/09	62.50
64029	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 L	250,000,000	2019/04/16	2019/08/16	62.50
64076	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 M	50,000,000	2019/04/16	2019/08/09	12.50
64040	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 G	250,000,000	2019/04/16	2019/08/09	62.50
63566	Macquarie Bank Ltd. – Tencent R Bull CBBC Jul 2019 M	22,200,000	2019/04/16	2019/07/25	10.01
63572	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 J	8,700,000	2019/04/16	2019/12/30	10.12

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64143	SG Issuer – CNOOC R Bear CBBC Sep 2019 C	40,000,000	2019/04/16	2019/09/25	10.00
64207	SG Issuer – CSOP A50 ETF R Bear CBBC Oct 2019 B	100,000,000	2019/04/16	2019/10/25	25.00
64210	SG Issuer – A50 China R Bear CBBC Oct 2019 A	80,000,000	2019/04/16	2019/10/30	20.00
64145	SG Issuer – Geely Auto R Bear CBBC Sep 2019 F	40,000,000	2019/04/16	2019/09/25	10.00
64144	SG Issuer – Galaxy Ent R Bear CBBC Oct 2019 D	100,000,000	2019/04/16	2019/10/30	25.00
64199	SG Issuer – HKEX R Bull CBBC Oct 2019 I	50,000,000	2019/04/16	2019/10/25	12.50
64237	SG Issuer – HSCEI R Bull CBBC Sep 2020 W	100,000,000	2019/04/16	2020/09/29	25.00
64271	SG Issuer – HSI R Bull CBBC Apr 2021 W	200,000,000	2019/04/16	2021/04/29	50.00
64214	SG Issuer – HSI R Bull CBBC Jul 2021 X	200,000,000	2019/04/16	2021/07/29	50.00
64289	SG Issuer – HSI R Bull CBBC Aug 2021 S	200,000,000	2019/04/16	2021/08/30	50.00
64238	SG Issuer – HSI R Bear CBBC Jul 2019 J	200,000,000	2019/04/16	2019/07/30	50.00
64247	SG Issuer – HSI R Bear CBBC Jul 2019 K	200,000,000	2019/04/16	2019/07/30	50.00
64192	SG Issuer – HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/04/16	2019/07/30	50.00
64253	SG Issuer – HSI R Bear CBBC Sep 2019 I	200,000,000	2019/04/16	2019/09/27	50.00
64204	SG Issuer – Ping An R Bull CBBC Oct 2019 P	100,000,000	2019/04/16	2019/10/25	25.00
64147	SG Issuer – Sands China R Bull CBBC Dec 2019 F	80,000,000	2019/04/16	2019/12/30	20.00
64150	SG Issuer – SUNAC R Bull CBBC Dec 2019 E	80,000,000	2019/04/16	2019/12/30	20.00
64149	SG Issuer – SUNAC R Bear CBBC Nov 2019 B	80,000,000	2019/04/16	2019/11/28	20.00
64205	SG Issuer – Sunny Optical R Bull CBBC Oct 2019 A	40,000,000	2019/04/16	2019/10/25	10.00
64217	SG Issuer – Tencent R Bull CBBC Aug 2019 Y	80,000,000	2019/04/16	2019/08/16	20.00
64189	SG Issuer – Tencent R Bear CBBC Nov 2019 F	80,000,000	2019/04/16	2019/11/20	20.00
63710	UBS AG – HSI R Bull CBBC May 2021 S	200,000,000	2019/04/16	2021/05/28	50.00
63690	UBS AG – HSI R Bull CBBC May 2021 T	200,000,000	2019/04/16	2021/05/28	50.00
63720	UBS AG – HSI R Bull CBBC May 2021 U	200,000,000	2019/04/16	2021/05/28	50.00
63596	UBS AG – HSI R Bear CBBC Jul 2019 W	200,000,000	2019/04/16	2019/07/30	50.00
63638	UBS AG – HSI R Bear CBBC Jul 2019 X	200,000,000	2019/04/16	2019/07/30	50.00
63653	UBS AG – HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/04/16	2019/07/30	50.00
63688	UBS AG – Tencent R Bear CBBC Nov 2019 A	200,000,000	2019/04/16	2019/11/11	50.00
63724	UBS AG – Tencent R Bear CBBC Dec 2019 A	200,000,000	2019/04/16	2019/12/09	50.00
64142	Bank Vontobel – CSPC Pharma R Bull CBBC Dec 2019 C	40,000,000	2019/04/16	2019/12/13	10.00
64134	Bank Vontobel – Geely Auto R Bear CBBC Dec 2019 B	40,000,000	2019/04/16	2019/12/13	10.00
64102	Bank Vontobel – HSI R Bull CBBC Mar 2021 P	80,000,000	2019/04/16	2021/03/30	20.00
64116	Bank Vontobel – HSI R Bear CBBC Dec 2019 U	80,000,000	2019/04/16	2019/12/30	20.00
64122	Bank Vontobel – HSI R Bear CBBC Mar 2020 E	80,000,000	2019/04/16	2020/03/30	20.00
64124	Bank Vontobel – Ping An R Bull CBBC Dec 2019 D	40,000,000	2019/04/16	2019/12/20	10.00
64841	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 E	250,000,000	2019/04/17	2021/10/28	62.50
64842	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 F	250,000,000	2019/04/17	2021/10/28	62.50
64844	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 G	240,000,000	2019/04/17	2021/10/28	60.00
64857	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 K	240,000,000	2019/04/17	2019/08/29	60.00
65573	Credit Suisse AG – HSI R Bull CBBC Jul 2021 S	200,000,000	2019/04/17	2021/07/29	50.00
65496	Credit Suisse AG – HSI R Bull CBBC Oct 2021 J	300,000,000	2019/04/17	2021/10/28	75.00
65507	Credit Suisse AG – HSI R Bear CBBC Sep 2019 C	200,000,000	2019/04/17	2019/09/27	50.00
65510	Credit Suisse AG – HSI R Bear CBBC Sep 2019 N	200,000,000	2019/04/17	2019/09/27	50.00
65505	Credit Suisse AG – HSI R Bear CBBC Oct 2019 U	200,000,000	2019/04/17	2019/10/30	50.00
65550	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 L	200,000,000	2019/04/17	2019/12/13	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65559	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 V	200,000,000	2019/04/17	2019/12/30	50.00
65220	Goldman Sachs SP (Asia) – AIA R Bear CBBC Jul 2019 B	80,000,000	2019/04/17	2019/07/17	20.00
65212	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Sep 2019C	100,000,000	2019/04/17	2019/09/30	25.00
65229	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2019A	100,000,000	2019/04/17	2019/12/30	25.00
65181	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 O	200,000,000	2019/04/17	2021/09/29	50.00
65183	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 B	200,000,000	2019/04/17	2020/04/28	50.00
65193	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 C	200,000,000	2019/04/17	2020/04/28	50.00
65201	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 Q	100,000,000	2019/04/17	2019/07/17	25.00
65196	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 G	80,000,000	2019/04/17	2019/07/17	20.00
65227	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 H	50,000,000	2019/04/17	2019/07/17	21.60
65228	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 I	60,000,000	2019/04/17	2019/07/17	17.04
64679	HK Bank – CNOOC R Bear CBBC Nov 2019 A	40,000,000	2019/04/17	2019/11/04	10.40
64624	HK Bank – Geely Auto R Bull CBBC Dec 2019 C	60,000,000	2019/04/17	2019/12/23	15.00
64665	HK Bank – HKEX R Bear CBBC Sep 2019 G	40,000,000	2019/04/17	2019/09/16	10.00
64603	HK Bank – HSI R Bull CBBC Jul 2020 A	150,000,000	2019/04/17	2020/07/30	37.50
64610	HK Bank – Tencent R Bull CBBC Aug 2019 J	50,000,000	2019/04/17	2019/08/19	12.50
64599	HK Bank – Tencent R Bear CBBC Sep 2019 P	40,000,000	2019/04/17	2019/09/16	10.00
64837	Haitong Int'l Sec – Galaxy Ent R Bear CBBC Feb 2020 B	70,000,000	2019/04/17	2020/02/21	17.50
64840	Haitong Int'l Sec – Galaxy Ent R Bear CBBC Feb 2020 C	70,000,000	2019/04/17	2020/02/19	17.50
65234	Haitong Int'l Sec – HKEX R Bull CBBC Oct 2019 A	40,000,000	2019/04/17	2019/10/23	10.00
65105	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 H	150,000,000	2019/04/17	2021/09/29	37.50
65102	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 T	150,000,000	2019/04/17	2021/10/28	37.50
65137	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 N	150,000,000	2019/04/17	2019/07/30	37.50
65174	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 Y	150,000,000	2019/04/17	2019/07/30	37.50
65180	Haitong Int'l Sec – Sunny Optical R Bear CBBC Oct 2019 B	40,000,000	2019/04/17	2019/10/18	10.00
64808	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 C	40,000,000	2019/04/17	2019/11/18	10.00
65058	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Jan 2020 A	200,000,000	2019/04/17	2020/01/10	50.00
65070	J P Morgan SP BV – HKEX R Bull CBBC Oct 2019 D	200,000,000	2019/04/17	2019/10/11	50.00
64860	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/04/17	2021/10/28	50.00
64862	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/04/17	2021/10/28	50.00
64865	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/04/17	2021/10/28	50.00
64866	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/04/17	2021/10/28	50.00
64875	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/04/17	2021/10/28	50.00
64879	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/04/17	2021/10/28	50.00
64885	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/04/17	2021/10/28	50.00
64931	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 W	200,000,000	2019/04/17	2019/07/30	50.00
64938	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 X	200,000,000	2019/04/17	2019/07/30	50.00
64939	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 V	200,000,000	2019/04/17	2019/08/29	50.00
64944	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 W	200,000,000	2019/04/17	2019/08/29	50.00
64957	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 X	200,000,000	2019/04/17	2019/08/29	50.00
64976	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/04/17	2019/08/29	50.00
65026	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 Z	200,000,000	2019/04/17	2019/08/29	60.00
65031	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 B	200,000,000	2019/04/17	2019/10/30	74.00
65033	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 C	200,000,000	2019/04/17	2019/10/30	84.00
65075	J P Morgan SP BV – Ping An R Bull CBBC Aug 2019 D	100,000,000	2019/04/17	2019/08/09	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65035	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 N	250,000,000	2019/04/17	2019/08/09	62.50
65036	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 O	250,000,000	2019/04/17	2019/08/09	62.50
65037	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 P	50,000,000	2019/04/17	2019/08/09	12.50
65038	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 H	250,000,000	2019/04/17	2019/08/09	62.50
64684	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 H	18,600,000	2019/04/17	2019/08/16	10.14
64693	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 N	10,000,000	2019/04/17	2019/11/20	10.01
65297	SG Issuer – China Life R Bull CBBC Oct 2019 B	40,000,000	2019/04/17	2019/10/17	10.00
65426	SG Issuer – CM Bank R Bear CBBC Nov 2019 B	100,000,000	2019/04/17	2019/11/28	25.00
65472	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 J	100,000,000	2019/04/17	2020/03/30	25.00
65461	SG Issuer – CSOP A50 ETF R Bear CBBC Sep 2019 C	100,000,000	2019/04/17	2019/09/12	27.50
65493	SG Issuer – CSPC Pharma R Bull CBBC Mar 2020 A	150,000,000	2019/04/17	2020/03/20	37.50
65475	SG Issuer – A50 China R Bull CBBC Feb 2020 J	80,000,000	2019/04/17	2020/02/27	20.00
65440	SG Issuer – Geely Auto R Bull CBBC Nov 2019 B	40,000,000	2019/04/17	2019/11/28	10.00
65369	SG Issuer – Galaxy Ent R Bull CBBC Nov 2019 H	100,000,000	2019/04/17	2019/11/25	25.00
65300	SG Issuer – HKEX R Bull CBBC Oct 2019 J	50,000,000	2019/04/17	2019/10/17	12.50
65283	SG Issuer – HSI R Bull CBBC Jul 2021 Y	200,000,000	2019/04/17	2021/07/29	50.00
65410	SG Issuer – HSI R Bull CBBC Jul 2021 Z	200,000,000	2019/04/17	2021/07/29	50.00
65293	SG Issuer – HSI R Bull CBBC Aug 2021 T	200,000,000	2019/04/17	2021/08/30	50.00
65393	SG Issuer – HSI R Bear CBBC Jul 2019 E	200,000,000	2019/04/17	2019/07/30	50.00
65397	SG Issuer – HSI R Bear CBBC Jul 2019 G	200,000,000	2019/04/17	2019/07/30	50.00
65398	SG Issuer – HSI R Bear CBBC Jul 2019 L	200,000,000	2019/04/17	2019/07/30	50.00
65405	SG Issuer – HSI R Bear CBBC Jul 2019 M	200,000,000	2019/04/17	2019/07/30	50.00
65408	SG Issuer – HSI R Bear CBBC Oct 2019 S	200,000,000	2019/04/17	2019/10/30	50.00
65305	SG Issuer – Ping An R Bull CBBC Oct 2019 U	100,000,000	2019/04/17	2019/10/17	25.00
65447	SG Issuer – Ping An R Bull CBBC Nov 2019 T	100,000,000	2019/04/17	2019/11/28	25.00
65388	SG Issuer – Sands China R Bear CBBC Nov 2019 D	80,000,000	2019/04/17	2019/11/25	20.00
65448	SG Issuer – Tencent R Bull CBBC Jul 2019 G	80,000,000	2019/04/17	2019/07/19	20.00
65450	SG Issuer – Tencent R Bull CBBC Jul 2019 H	80,000,000	2019/04/17	2019/07/31	20.00
65390	SG Issuer – Tencent R Bear CBBC Nov 2019 G	80,000,000	2019/04/17	2019/11/25	20.00
65456	SG Issuer – Tencent R Bear CBBC Nov 2019 H	80,000,000	2019/04/17	2019/11/28	20.00
65326	SG Issuer – Tencent R Bear CBBC Dec 2019 H	80,000,000	2019/04/17	2019/12/17	20.00
64707	UBS AG – HSI R Bull CBBC May 2021 V	200,000,000	2019/04/17	2021/05/28	50.00
64727	UBS AG – HSI R Bull CBBC May 2021 W	200,000,000	2019/04/17	2021/05/28	50.00
64741	UBS AG – HSI R Bear CBBC Jul 2019 A	200,000,000	2019/04/17	2019/07/30	50.00
64728	UBS AG – HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/04/17	2019/07/30	50.00
64762	UBS AG – Tencent R Bull CBBC Jul 2019 Q	40,000,000	2019/04/17	2019/07/22	10.00
64711	UBS AG – Tencent R Bear CBBC Sep 2019 D	40,000,000	2019/04/17	2019/09/30	10.00
65254	Bank Vontobel – HSI R Bull CBBC Mar 2021 Q	80,000,000	2019/04/17	2021/03/30	20.00
65264	Bank Vontobel – HSI R Bear CBBC Dec 2019 V	80,000,000	2019/04/17	2019/12/30	20.00
65269	Bank Vontobel – HSI R Bear CBBC Dec 2019 W	80,000,000	2019/04/17	2019/12/30	20.00
65921	BOCI Asia Ltd. – Tencent R Bull CBBC Nov 2019 A	200,000,000	2019/04/18	2019/11/18	50.00
65942	BOCI Asia Ltd. – Tencent R Bear CBBC Nov 2019 A	200,000,000	2019/04/18	2019/11/18	50.00
65955	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 H	240,000,000	2019/04/18	2021/10/28	60.00
65968	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 I	250,000,000	2019/04/18	2021/10/28	62.50
65969	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 J	250,000,000	2019/04/18	2021/10/28	62.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65971	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 K	250,000,000	2019/04/18	2021/10/28	62.50
65980	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 L	250,000,000	2019/04/18	2019/08/29	62.50
65982	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 M	250,000,000	2019/04/18	2019/08/29	62.50
66152	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 N	240,000,000	2019/04/18	2019/08/29	60.00
66496	Credit Suisse AG – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/04/18	2021/09/29	50.00
66428	Credit Suisse AG – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/04/18	2021/10/28	50.00
66431	Credit Suisse AG – HSI R Bull CBBC Dec 2021 A	200,000,000	2019/04/18	2021/12/30	50.00
66476	Credit Suisse AG – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/04/18	2019/09/27	50.00
66526	Credit Suisse AG – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/04/18	2019/09/27	50.00
66494	Credit Suisse AG – HSI R Bear CBBC Oct 2019 V	300,000,000	2019/04/18	2019/10/30	75.00
66432	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 W	80,000,000	2019/04/18	2019/12/20	20.00
66467	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 E	100,000,000	2019/04/18	2020/01/15	25.00
66450	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 W	100,000,000	2019/04/18	2019/12/27	25.00
65624	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 B	100,000,000	2019/04/18	2019/09/30	25.00
65594	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/04/18	2021/09/29	50.00
65607	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/04/18	2021/09/29	50.00
67451	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 D	200,000,000	2019/04/18	2020/04/28	50.00
67466	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 F	200,000,000	2019/04/18	2020/04/28	50.00
67477	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 H	200,000,000	2019/04/18	2020/04/28	50.00
67308	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 R	100,000,000	2019/04/18	2019/07/18	25.00
65658	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 J	80,000,000	2019/04/18	2019/07/18	20.00
67433	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 K	50,000,000	2019/04/18	2019/07/18	25.25
67435	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 L	80,000,000	2019/04/18	2019/07/18	20.00
67449	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 M	60,000,000	2019/04/18	2019/07/18	21.36
66053	HK Bank – Geely Auto R Bear CBBC Dec 2019 A	40,000,000	2019/04/18	2019/12/02	10.00
66067	HK Bank – HSCEI R Bear CBBC Sep 2019 D	50,000,000	2019/04/18	2019/09/27	12.50
66056	HK Bank – HSI R Bear CBBC Sep 2019 Q	80,000,000	2019/04/18	2019/09/27	20.00
66044	HK Bank – Tencent R Bull CBBC Oct 2019 J	50,000,000	2019/04/18	2019/10/21	12.50
66031	HK Bank – Tencent R Bear CBBC Sep 2019 Q	40,000,000	2019/04/18	2019/09/23	10.00
66045	HK Bank – Tencent R Bear CBBC Nov 2019 E	40,000,000	2019/04/18	2019/11/21	19.60
66051	HK Bank – Tencent R Bear CBBC Jan 2020 A	100,000,000	2019/04/18	2020/01/06	25.00
66153	Haitong Int'l Sec – CSOP A50 ETF R Bull CBBC Oct 2019 A	40,000,000	2019/04/18	2019/10/08	10.00
66156	Haitong Int'l Sec – A50 China R Bull CBBC Oct 2019 B	40,000,000	2019/04/18	2019/10/10	10.00
65881	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 F	150,000,000	2019/04/18	2021/07/29	37.50
66137	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 V	150,000,000	2019/04/18	2021/10/28	37.50
66093	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 D	150,000,000	2019/04/18	2019/07/30	37.50
66106	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 E	150,000,000	2019/04/18	2019/07/30	37.50
66116	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 F	150,000,000	2019/04/18	2019/07/30	37.50
65914	Haitong Int'l Sec – Sunny Optical R Bear CBBC Sep 2019 E	40,000,000	2019/04/18	2019/09/04	10.00
65919	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 I	40,000,000	2019/04/18	2019/10/29	10.00
65796	Haitong Int'l Sec – Tencent R Bear CBBC Oct 2019 K	40,000,000	2019/04/18	2019/10/31	11.36
65846	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 J	40,000,000	2019/04/18	2019/11/06	10.00
65912	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 K	40,000,000	2019/04/18	2019/11/22	10.00
66303	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Feb 2020 B	200,000,000	2019/04/18	2020/02/21	50.00
66426	J P Morgan SP BV – HKEX R Bull CBBC Aug 2019 B	40,000,000	2019/04/18	2019/08/09	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66220	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/04/18	2021/10/28	50.00
66222	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/04/18	2021/10/28	50.00
66234	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/04/18	2021/10/28	50.00
66254	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/04/18	2021/10/28	50.00
66260	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/04/18	2021/10/28	50.00
66281	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 A	200,000,000	2019/04/18	2019/08/29	50.00
66283	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 B	200,000,000	2019/04/18	2019/08/29	50.00
66292	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 C	200,000,000	2019/04/18	2019/08/29	50.00
66302	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 A	200,000,000	2019/04/18	2019/09/27	50.00
66397	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 Q	250,000,000	2019/04/18	2019/08/09	62.50
66407	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 R	50,000,000	2019/04/18	2019/08/09	12.50
66398	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 B	250,000,000	2019/04/18	2019/09/13	62.50
66263	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 I	50,000,000	2019/04/18	2019/08/09	12.50
66316	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 J	250,000,000	2019/04/18	2019/08/09	62.50
66328	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 K	250,000,000	2019/04/18	2019/08/09	62.50
66268	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 A	250,000,000	2019/04/18	2019/09/13	62.50
66017	Macquarie Bank Ltd. – Tencent R Bull CBBC Jul 2019 N	20,900,000	2019/04/18	2019/07/31	10.01
66018	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 K	7,400,000	2019/04/18	2019/12/17	10.14
66023	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 L	8,900,000	2019/04/18	2019/12/17	10.00
66586	SG Issuer – CC Bank R Bull CBBC Sep 2019 K	100,000,000	2019/04/18	2019/09/30	25.00
67084	SG Issuer – China Life R Bull CBBC Sep 2019 E	40,000,000	2019/04/18	2019/09/26	10.00
67025	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 Q	100,000,000	2019/04/18	2020/02/27	25.00
66603	SG Issuer – HKEX R Bull CBBC Sep 2019 L	50,000,000	2019/04/18	2019/09/30	12.50
67265	SG Issuer – HKEX R Bear CBBC Oct 2019 E	50,000,000	2019/04/18	2019/10/30	12.50
66543	SG Issuer – HSI R Bull CBBC Apr 2021 M	250,000,000	2019/04/18	2021/04/29	62.50
66560	SG Issuer – HSI R Bull CBBC Jul 2021 A	250,000,000	2019/04/18	2021/07/29	62.50
67024	SG Issuer – HSI R Bull CBBC Jul 2021 C	250,000,000	2019/04/18	2021/07/29	62.50
66562	SG Issuer – HSI R Bull CBBC Aug 2021 U	250,000,000	2019/04/18	2021/08/30	62.50
67264	SG Issuer – HSI R Bull CBBC Aug 2021 V	250,000,000	2019/04/18	2021/08/30	62.50
67245	SG Issuer – HSI R Bear CBBC Aug 2019 O	250,000,000	2019/04/18	2019/08/29	62.50
66842	SG Issuer – HSI R Bear CBBC Aug 2019 Z	250,000,000	2019/04/18	2019/08/29	62.50
66867	SG Issuer – HSI R Bear CBBC Sep 2019 T	250,000,000	2019/04/18	2019/09/27	62.50
66871	SG Issuer – HSI R Bear CBBC Nov 2019 F	500,000,000	2019/04/18	2019/11/28	125.00
67105	SG Issuer – ICBC R Bull CBBC Oct 2019 E	100,000,000	2019/04/18	2019/10/22	25.00
66612	SG Issuer – Ping An R Bull CBBC Sep 2019 L	100,000,000	2019/04/18	2019/09/30	25.00
67157	SG Issuer – Ping An R Bear CBBC Oct 2019 F	100,000,000	2019/04/18	2019/10/22	25.00
67287	SG Issuer – Tencent R Bull CBBC Aug 2019 A	80,000,000	2019/04/18	2019/08/19	20.00
67233	SG Issuer – Tencent R Bull CBBC Aug 2019 Z	80,000,000	2019/04/18	2019/08/06	20.00
66729	SG Issuer – Tencent R Bear CBBC Oct 2019 Q	80,000,000	2019/04/18	2019/10/30	20.00
66753	SG Issuer – Tencent R Bear CBBC Dec 2019 I	80,000,000	2019/04/18	2019/12/23	20.00
66176	UBS AG – HSI R Bull CBBC May 2021 B	200,000,000	2019/04/18	2021/05/28	50.00
66195	UBS AG – HSI R Bull CBBC May 2021 E	200,000,000	2019/04/18	2021/05/28	50.00
66175	UBS AG – HSI R Bull CBBC May 2021 X	200,000,000	2019/04/18	2021/05/28	50.00
66196	UBS AG – HSI R Bull CBBC May 2021 Y	200,000,000	2019/04/18	2021/05/28	50.00
66202	UBS AG – HSI R Bear CBBC Jul 2019 C	200,000,000	2019/04/18	2019/07/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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66212	UBS AG – HSI R Bear CBBC Jul 2019 D	200,000,000	2019/04/18	2019/07/30	50.00
65743	Bank Vontobel – BOCL R Bull CBBC Dec 2019 A	40,000,000	2019/04/18	2019/12/13	10.00
65785	Bank Vontobel – Geely Auto R Bull CBBC Dec 2019 D	40,000,000	2019/04/18	2019/12/13	10.00
65679	Bank Vontobel – HSI R Bull CBBC Mar 2021 R	80,000,000	2019/04/18	2021/03/30	20.00
65690	Bank Vontobel – HSI R Bull CBBC Mar 2021 S	80,000,000	2019/04/18	2021/03/30	20.00
65700	Bank Vontobel – HSI R Bear CBBC Dec 2019 X	80,000,000	2019/04/18	2019/12/30	20.00
65716	Bank Vontobel – Tencent R Bull CBBC Dec 2019 E	40,000,000	2019/04/18	2019/12/20	10.00
65786	Bank Vontobel – Tencent R Bear CBBC Nov 2019 E	100,000,000	2019/04/18	2019/11/22	25.00
67486	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 L	250,000,000	2019/04/23	2021/10/28	62.50
67640	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 M	240,000,000	2019/04/23	2021/10/28	60.00
67520	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 O	250,000,000	2019/04/23	2019/08/29	62.50
67636	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 P	240,000,000	2019/04/23	2019/08/29	60.00
68006	Credit Suisse AG – HSCEI R Bear CBBC Dec 2019 A	100,000,000	2019/04/23	2019/12/30	25.00
67956	Credit Suisse AG – HSI R Bull CBBC Jul 2021 T	200,000,000	2019/04/23	2021/07/29	50.00
67997	Credit Suisse AG – HSI R Bull CBBC Sep 2021 T	200,000,000	2019/04/23	2021/09/29	50.00
67927	Credit Suisse AG – HSI R Bear CBBC Sep 2019 S	200,000,000	2019/04/23	2019/09/27	50.00
67930	Credit Suisse AG – HSI R Bear CBBC Oct 2019 W	300,000,000	2019/04/23	2019/10/30	75.00
67886	Credit Suisse AG – Tencent R Bull CBBC Nov 2019 W	200,000,000	2019/04/23	2019/11/28	50.00
67922	Credit Suisse AG – Tencent R Bear CBBC Nov 2019 S	200,000,000	2019/04/23	2019/11/28	50.00
68294	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2019B	100,000,000	2019/04/23	2019/12/30	25.00
68341	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Dec 2019 A	100,000,000	2019/04/23	2019/12/30	25.00
68320	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Mar 2020 A	100,000,000	2019/04/23	2020/03/09	25.00
68079	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2019 E	100,000,000	2019/04/23	2019/12/30	25.00
68292	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2019 L	100,000,000	2019/04/23	2019/07/23	25.00
68293	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Jul 2019 C	80,000,000	2019/04/23	2019/07/23	20.00
67519	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/04/23	2021/09/29	50.00
68156	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/04/23	2021/10/28	50.00
67526	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 J	200,000,000	2019/04/23	2020/04/28	50.00
68010	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 S	100,000,000	2019/04/23	2019/07/23	25.00
68250	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 T	100,000,000	2019/04/23	2019/07/23	25.00
68267	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 U	100,000,000	2019/04/23	2019/07/23	25.00
68011	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 N	80,000,000	2019/04/23	2019/07/23	20.00
68020	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 O	80,000,000	2019/04/23	2019/07/23	20.00
68038	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2019 P	50,000,000	2019/04/23	2019/07/23	21.55
67603	HK Bank – China Mobile R Bull CBBC Nov 2019 C	60,000,000	2019/04/23	2019/11/04	15.00
67595	HK Bank – Sinopec Corp R Bear CBBC Oct 2019 B	40,000,000	2019/04/23	2019/10/14	10.00
67613	HK Bank – Geely Auto R Bear CBBC Nov 2019 C	40,000,000	2019/04/23	2019/11/11	10.00
67553	HK Bank – HKEX R Bear CBBC Sep 2019 H	40,000,000	2019/04/23	2019/09/23	10.00
67530	HK Bank – HSI R Bull CBBC Jul 2020 B	150,000,000	2019/04/23	2020/07/30	37.50
67620	HK Bank – HSI R Bear CBBC Sep 2019 R	150,000,000	2019/04/23	2019/09/27	37.50
67591	HK Bank – Tencent R Bear CBBC Sep 2019 R	40,000,000	2019/04/23	2019/09/09	10.00
67878	Haitong Int'l Sec – China Mobile R Bull CBBC Nov 2019 B	60,000,000	2019/04/23	2019/11/06	15.00
67885	Haitong Int'l Sec – China Mobile R Bear CBBC Nov 2019 A	60,000,000	2019/04/23	2019/11/07	15.00
67865	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 G	150,000,000	2019/04/23	2021/07/29	37.50
67867	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 I	150,000,000	2019/04/23	2021/09/29	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67868	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 W	150,000,000	2019/04/23	2021/10/28	37.50
67869	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 L	150,000,000	2019/04/23	2019/07/30	37.50
67871	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 O	150,000,000	2019/04/23	2019/07/30	37.50
67875	Haitong Int'l Sec - HSI R Bear CBBC Jul 2019 Q	150,000,000	2019/04/23	2019/07/30	37.50
67863	J P Morgan SP BV - HKEX R Bear CBBC Oct 2019 B	200,000,000	2019/04/23	2019/10/11	50.00
67764	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 T	200,000,000	2019/04/23	2021/10/28	50.00
67768	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 U	200,000,000	2019/04/23	2021/10/28	50.00
67771	J P Morgan SP BV - HSI R Bear CBBC Aug 2019 D	200,000,000	2019/04/23	2019/08/29	50.00
67824	J P Morgan SP BV - HSI R Bear CBBC Aug 2019 E	200,000,000	2019/04/23	2019/08/29	50.00
67828	J P Morgan SP BV - HSI R Bear CBBC Aug 2019 F	200,000,000	2019/04/23	2019/08/29	50.00
67845	J P Morgan SP BV - Tencent R Bull CBBC Aug 2019 S	50,000,000	2019/04/23	2019/08/09	12.50
67847	J P Morgan SP BV - Tencent R Bear CBBC Aug 2019 L	250,000,000	2019/04/23	2019/08/16	62.50
67857	J P Morgan SP BV - Tencent R Bear CBBC Aug 2019 M	250,000,000	2019/04/23	2019/08/09	62.50
67523	Macquarie Bank Ltd. - Tencent R Bull CBBC Aug 2019 I	18,200,000	2019/04/23	2019/08/19	10.01
67525	Macquarie Bank Ltd. - Tencent R Bear CBBC Oct 2019 I	11,100,000	2019/04/23	2019/10/30	10.01
68357	SG Issuer - AIA R Bull CBBC Nov 2019 F	60,000,000	2019/04/23	2019/11/18	15.00
68481	SG Issuer - China Mobile R Bull CBBC Nov 2019 D	100,000,000	2019/04/23	2019/11/28	25.00
68716	SG Issuer - A50 China R Bull CBBC Feb 2020 K	80,000,000	2019/04/23	2020/02/27	20.00
68365	SG Issuer - Geely Auto R Bull CBBC Feb 2020 A	150,000,000	2019/04/23	2020/02/28	37.50
68553	SG Issuer - Geely Auto R Bear CBBC Dec 2019 A	150,000,000	2019/04/23	2019/12/30	37.50
68523	SG Issuer - Galaxy Ent R Bear CBBC Nov 2019 E	100,000,000	2019/04/23	2019/11/28	25.00
68343	SG Issuer - HSI R Bull CBBC Jul 2021 D	250,000,000	2019/04/23	2021/07/29	62.50
68355	SG Issuer - HSI R Bull CBBC Jul 2021 E	250,000,000	2019/04/23	2021/07/29	62.50
68726	SG Issuer - HSI R Bull CBBC Aug 2021 W	250,000,000	2019/04/23	2021/08/30	62.50
68391	SG Issuer - HSI R Bear CBBC Jul 2019 A	250,000,000	2019/04/23	2019/07/30	62.50
68406	SG Issuer - HSI R Bear CBBC Aug 2019 T	250,000,000	2019/04/23	2019/08/29	62.50
68478	SG Issuer - HSI R Bear CBBC Sep 2019 U	250,000,000	2019/04/23	2019/09/27	62.50
68652	SG Issuer - PetroChina R Bull CBBC Nov 2019 A	40,000,000	2019/04/23	2019/11/28	10.00
68694	SG Issuer - Sunny Optical R Bull CBBC Nov 2019 A	40,000,000	2019/04/23	2019/11/28	10.00
68700	SG Issuer - Tencent R Bull CBBC Aug 2019 G	80,000,000	2019/04/23	2019/08/20	20.00
68709	SG Issuer - Tencent R Bull CBBC Aug 2019 H	80,000,000	2019/04/23	2019/08/28	20.00
68559	SG Issuer - Tencent R Bear CBBC Nov 2019 I	80,000,000	2019/04/23	2019/11/12	20.00
68593	SG Issuer - Tencent R Bear CBBC Nov 2019 J	80,000,000	2019/04/23	2019/11/28	20.00
68713	SG Issuer - Tencent R Bear CBBC Nov 2019 K	80,000,000	2019/04/23	2019/11/28	20.00
67756	UBS AG - CSOP A50 ETF R Bull CBBC Jun 2020 A	200,000,000	2019/04/23	2020/06/08	50.00
67717	UBS AG - HSI R Bull CBBC May 2021 C	200,000,000	2019/04/23	2021/05/28	50.00
67653	UBS AG - HSI R Bull CBBC May 2021 P	200,000,000	2019/04/23	2021/05/28	50.00
67644	UBS AG - HSI R Bull CBBC May 2021 Z	200,000,000	2019/04/23	2021/05/28	50.00
67677	UBS AG - HSI R Bear CBBC Jul 2019 B	200,000,000	2019/04/23	2019/07/30	50.00
67661	UBS AG - HSI R Bear CBBC Jul 2019 H	200,000,000	2019/04/23	2019/07/30	50.00
67755	UBS AG - Tencent R Bull CBBC Sep 2019 F	40,000,000	2019/04/23	2019/09/23	10.00
69852	BOCI Asia Ltd. - HSI R Bull CBBC Sep 2020 Y	150,000,000	2019/04/24	2020/09/29	38.25
69861	BOCI Asia Ltd. - HSI R Bear CBBC Jul 2019 M	150,000,000	2019/04/24	2019/07/30	37.95
68779	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 N	250,000,000	2019/04/24	2021/10/28	62.50
69234	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 O	240,000,000	2019/04/24	2021/10/28	60.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68767	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 Q	250,000,000	2019/04/24	2019/08/29	62.50
68771	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 R	250,000,000	2019/04/24	2019/08/29	62.50
69231	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 S	240,000,000	2019/04/24	2019/08/29	60.00
69746	Credit Suisse AG – CM Bank R Bull CBBC Dec 2019 N	70,000,000	2019/04/24	2019/12/30	17.50
69670	Credit Suisse AG – HSI R Bull CBBC Aug 2021 B	200,000,000	2019/04/24	2021/08/30	50.00
69668	Credit Suisse AG – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/04/24	2021/10/28	50.00
69686	Credit Suisse AG – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/04/24	2021/10/28	50.00
69662	Credit Suisse AG – HSI R Bear CBBC Aug 2019 V	200,000,000	2019/04/24	2019/08/29	50.00
69663	Credit Suisse AG – HSI R Bear CBBC Aug 2019 X	200,000,000	2019/04/24	2019/08/29	50.00
69658	Credit Suisse AG – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/04/24	2019/09/27	50.00
69783	Credit Suisse AG – HSI R Bear CBBC Oct 2019 X	200,000,000	2019/04/24	2019/10/30	50.00
69777	Credit Suisse AG – Ping An R Bull CBBC Nov 2019 S	80,000,000	2019/04/24	2019/11/28	20.00
69691	Credit Suisse AG – Ping An R Bear CBBC Jan 2020 B	60,000,000	2019/04/24	2020/01/24	15.00
57149	Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2019 K	100,000,000	2019/04/24	2019/12/30	25.00
57147	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2019 R	100,000,000	2019/04/24	2019/12/30	25.00
57140	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/04/24	2021/09/29	50.00
68733	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 A	200,000,000	2019/04/24	2020/01/30	50.00
68737	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 B	200,000,000	2019/04/24	2020/01/30	50.00
57142	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 C	200,000,000	2019/04/24	2020/01/30	50.00
69127	HK Bank – HSI R Bear CBBC Sep 2019 S	80,000,000	2019/04/24	2019/09/27	20.00
69177	HK Bank – Ping An R Bear CBBC Dec 2019 C	50,000,000	2019/04/24	2019/12/16	12.50
69228	HK Bank – SUNAC R Bear CBBC Oct 2019 B	40,000,000	2019/04/24	2019/10/14	10.00
69130	HK Bank – Tencent R Bull CBBC Nov 2019 G	50,000,000	2019/04/24	2019/11/25	12.50
69816	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 H	150,000,000	2019/04/24	2021/07/29	37.50
69809	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 X	150,000,000	2019/04/24	2021/10/28	37.50
69792	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 U	150,000,000	2019/04/24	2019/07/30	37.50
69836	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 W	150,000,000	2019/04/24	2019/07/30	37.50
69802	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 L	40,000,000	2019/04/24	2019/11/27	10.00
69375	J P Morgan SP BV – HKEX R Bull CBBC Aug 2019 C	40,000,000	2019/04/24	2019/08/09	10.00
69264	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/04/24	2021/10/28	50.00
69302	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/04/24	2021/10/28	50.00
69518	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/04/24	2021/10/28	50.00
69514	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 B	200,000,000	2019/04/24	2019/07/30	50.00
69493	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 T	200,000,000	2019/04/24	2019/07/30	50.00
69252	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 Y	200,000,000	2019/04/24	2019/07/30	50.00
69418	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/04/24	2019/07/30	50.00
69591	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 G	200,000,000	2019/04/24	2019/08/29	50.00
69592	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 H	200,000,000	2019/04/24	2019/08/29	50.00
69604	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 I	200,000,000	2019/04/24	2019/08/29	50.00
69365	J P Morgan SP BV – Ping An R Bull CBBC Aug 2019 E	100,000,000	2019/04/24	2019/08/09	25.00
69077	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 J	17,200,000	2019/04/24	2019/08/28	10.04
69107	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 O	10,500,000	2019/04/24	2019/11/12	10.06
69122	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 P	7,600,000	2019/04/24	2019/11/12	10.02
69973	SG Issuer – China Mobile R Bull CBBC Dec 2019 C	100,000,000	2019/04/24	2019/12/31	25.00
69970	SG Issuer – CC Bank R Bull CBBC Sep 2019 L	100,000,000	2019/04/24	2019/09/25	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69971	SG Issuer – CM Bank R Bear CBBC Dec 2019 D	100,000,000	2019/04/24	2019/12/31	25.00
57045	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 R	100,000,000	2019/04/24	2020/02/27	25.00
69974	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 H	100,000,000	2019/04/24	2019/12/31	25.00
69980	SG Issuer – HKEX R Bull CBBC Sep 2019 M	50,000,000	2019/04/24	2019/09/25	12.50
69996	SG Issuer – HKEX R Bull CBBC Oct 2019 K	50,000,000	2019/04/24	2019/10/28	12.50
69958	SG Issuer – HSBC R Bull CBBC Nov 2019 J	100,000,000	2019/04/24	2019/11/25	25.00
57043	SG Issuer – HSCEI R Bull CBBC Sep 2020 X	100,000,000	2019/04/24	2020/09/29	25.00
57095	SG Issuer – HSI R Bull CBBC Apr 2021 K	250,000,000	2019/04/24	2021/04/29	62.50
57103	SG Issuer – HSI R Bull CBBC Apr 2021 P	250,000,000	2019/04/24	2021/04/29	62.50
57115	SG Issuer – HSI R Bull CBBC Apr 2021 Q	250,000,000	2019/04/24	2021/04/29	62.50
57056	SG Issuer – HSI R Bull CBBC May 2021 E	250,000,000	2019/04/24	2021/05/28	62.50
57066	SG Issuer – HSI R Bull CBBC May 2021 Z	250,000,000	2019/04/24	2021/05/28	62.50
57071	SG Issuer – HSI R Bull CBBC Jul 2021 B	250,000,000	2019/04/24	2021/07/29	62.50
69908	SG Issuer – HSI R Bear CBBC Jul 2019 C	250,000,000	2019/04/24	2019/07/30	62.50
69909	SG Issuer – HSI R Bear CBBC Jul 2019 D	250,000,000	2019/04/24	2019/07/30	62.50
57094	SG Issuer – HSI R Bear CBBC Jul 2019 H	250,000,000	2019/04/24	2019/07/30	62.50
69930	SG Issuer – HSI R Bear CBBC Aug 2019 B	250,000,000	2019/04/24	2019/08/29	62.50
69950	SG Issuer – HSI R Bear CBBC Aug 2019 D	250,000,000	2019/04/24	2019/08/29	62.50
69955	SG Issuer – HSI R Bear CBBC Nov 2019 G	500,000,000	2019/04/24	2019/11/28	125.00
57011	SG Issuer – ICBC R Bull CBBC Oct 2019 F	100,000,000	2019/04/24	2019/10/25	25.00
57018	SG Issuer – Ping An R Bull CBBC Sep 2019 M	100,000,000	2019/04/24	2019/09/27	25.00
57020	SG Issuer – Ping An R Bull CBBC Sep 2019 N	100,000,000	2019/04/24	2019/09/30	25.00
69966	SG Issuer – Ping An R Bear CBBC Oct 2019 G	100,000,000	2019/04/24	2019/10/30	25.00
57022	SG Issuer – Sands China R Bull CBBC Dec 2019 G	80,000,000	2019/04/24	2019/12/31	20.00
57024	SG Issuer – SUNAC R Bull CBBC Dec 2019 F	80,000,000	2019/04/24	2019/12/23	20.00
69969	SG Issuer – Tencent R Bull CBBC Jul 2019 I	80,000,000	2019/04/24	2019/07/26	20.00
57037	SG Issuer – Tencent R Bull CBBC Jul 2019 O	80,000,000	2019/04/24	2019/07/30	20.00
57117	SG Issuer – Tencent R Bull CBBC Jul 2019 P	80,000,000	2019/04/24	2019/07/29	20.00
57119	SG Issuer – Tencent R Bear CBBC Dec 2019 J	80,000,000	2019/04/24	2019/12/30	20.00
69001	UBS AG – CC Bank R Bull CBBC Nov 2019 B	100,000,000	2019/04/24	2019/11/18	25.00
69056	UBS AG – CC Bank R Bull CBBC Dec 2019 A	100,000,000	2019/04/24	2019/12/23	25.00
68806	UBS AG – HSI R Bull CBBC May 2021 F	200,000,000	2019/04/24	2021/05/28	50.00
69237	UBS AG – HSI R Bull CBBC May 2021 L	200,000,000	2019/04/24	2021/05/28	50.00
68808	UBS AG – HSI R Bear CBBC Jul 2019 E	200,000,000	2019/04/24	2019/07/30	50.00
68999	UBS AG – HSI R Bear CBBC Jul 2019 G	200,000,000	2019/04/24	2019/07/30	50.00
68919	UBS AG – HSI R Bear CBBC Jul 2019 I	200,000,000	2019/04/24	2019/07/30	50.00
69249	UBS AG – HSI R Bear CBBC Jul 2019 J	200,000,000	2019/04/24	2019/07/30	50.00
69885	Bank Vontobel – HSI R Bull CBBC Dec 2020 G	80,000,000	2019/04/24	2020/12/30	20.00
69906	Bank Vontobel – HSI R Bull CBBC Mar 2021 T	80,000,000	2019/04/24	2021/03/30	20.00
69864	Bank Vontobel – HSI R Bear CBBC Feb 2020 A	80,000,000	2019/04/24	2020/02/27	20.00
69867	Bank Vontobel – HSI R Bear CBBC Feb 2020 B	80,000,000	2019/04/24	2020/02/27	20.00
57214	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct 19F	60,000,000	2019/04/25	2019/10/30	15.00
57210	BNP Paribas Issuance B.V. – Galaxy Ent R Bear CBBC Feb 2020A	150,000,000	2019/04/25	2020/02/27	37.50
57200	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 G	50,000,000	2019/04/25	2020/07/30	12.50
57192	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Dec 2019 T	100,000,000	2019/04/25	2019/12/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57270	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 P	240,000,000	2019/04/25	2021/10/28	60.00
57275	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Q	250,000,000	2019/04/25	2021/10/28	62.50
57278	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 R	250,000,000	2019/04/25	2021/10/28	62.50
57274	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 T	240,000,000	2019/04/25	2019/08/29	60.00
57205	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Aug 2019 V	200,000,000	2019/04/25	2019/08/29	50.00
57160	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 E	50,000,000	2019/04/25	2020/01/30	12.50
57178	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 C	50,000,000	2019/04/25	2019/10/30	12.50
57185	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 D	50,000,000	2019/04/25	2019/10/30	12.50
57182	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 F	50,000,000	2019/04/25	2019/11/28	12.50
57189	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 G	50,000,000	2019/04/25	2019/11/28	20.00
57418	Credit Suisse AG – ABC R Bull CBBC Jan 2020 A	70,000,000	2019/04/25	2020/01/30	17.50
57416	Credit Suisse AG – Bank of China R Bull CBBC Jan 2020 B	70,000,000	2019/04/25	2020/01/30	17.50
57431	Credit Suisse AG – China Mobile R Bear CBBC Dec 2019 B	70,000,000	2019/04/25	2019/12/20	17.50
57387	Credit Suisse AG – CC Bank R Bull CBBC Jan 2020 B	70,000,000	2019/04/25	2020/01/30	17.50
57423	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Mar 2020 A	100,000,000	2019/04/25	2020/03/30	25.00
57421	Credit Suisse AG – HSBC R Bull CBBC Dec 2019 E	70,000,000	2019/04/25	2019/12/30	17.50
57386	Credit Suisse AG – HSI R Bull CBBC Sep 2021 U	200,000,000	2019/04/25	2021/09/29	50.00
57380	Credit Suisse AG – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/04/25	2021/10/28	50.00
57381	Credit Suisse AG – HSI R Bull CBBC Oct 2021 O	300,000,000	2019/04/25	2021/10/28	75.00
57377	Credit Suisse AG – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/04/25	2019/08/29	50.00
57372	Credit Suisse AG – HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/04/25	2019/08/29	50.00
57366	Credit Suisse AG – HSI R Bear CBBC Oct 2019 Y	200,000,000	2019/04/25	2019/10/30	50.00
57378	Credit Suisse AG – HSI R Bear CBBC Nov 2019 E	300,000,000	2019/04/25	2019/11/28	75.00
57391	Credit Suisse AG – ICBC R Bull CBBC Jan 2020 B	70,000,000	2019/04/25	2020/01/30	17.50
57514	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Sep 2019D	100,000,000	2019/04/25	2019/09/30	25.00
57505	Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Sep 2019 C	100,000,000	2019/04/25	2019/09/30	25.00
57475	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 T	200,000,000	2019/04/25	2021/09/29	50.00
57481	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 U	200,000,000	2019/04/25	2021/09/29	50.00
57487	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/04/25	2021/09/29	50.00
57488	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 D	200,000,000	2019/04/25	2020/01/30	50.00
57215	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 M	200,000,000	2019/04/25	2020/04/28	50.00
57217	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 N	200,000,000	2019/04/25	2020/04/28	50.00
57510	Goldman Sachs SP (Asia) – ICBC R Bull CBBC Dec 2019 B	100,000,000	2019/04/25	2019/12/30	25.00
57497	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 D	100,000,000	2019/04/25	2019/11/12	25.00
57504	Goldman Sachs SP (Asia) – Ping An R Bear CBBC Jul 2019 D	100,000,000	2019/04/25	2019/07/25	25.00
57298	HK Bank – CC Bank R Bull CBBC Nov 2019 A	60,000,000	2019/04/25	2019/11/11	15.00
57297	HK Bank – HSI R Bull CBBC Jul 2020 C	80,000,000	2019/04/25	2020/07/30	20.00
57286	HK Bank – HSI R Bear CBBC Sep 2019 T	150,000,000	2019/04/25	2019/09/27	37.50
57292	HK Bank – ICBC R Bull CBBC Nov 2019 A	60,000,000	2019/04/25	2019/11/04	15.00
57301	HK Bank – Ping An R Bull CBBC Dec 2019 D	60,000,000	2019/04/25	2019/12/23	15.00
57288	HK Bank – Tencent R Bear CBBC Oct 2019 I	40,000,000	2019/04/25	2019/10/28	10.00
57439	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 I	150,000,000	2019/04/25	2021/07/29	37.50
57471	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 J	150,000,000	2019/04/25	2021/07/29	37.50
57469	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 Y	150,000,000	2019/04/25	2021/10/28	37.50
57440	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 X	150,000,000	2019/04/25	2019/07/30	37.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57442	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 Z	150,000,000	2019/04/25	2019/07/30	37.50
57334	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Aug 2019 A	60,000,000	2019/04/25	2019/08/09	15.00
57335	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Sep 2019 A	60,000,000	2019/04/25	2019/09/13	15.00
57338	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/04/25	2021/09/29	50.00
57315	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/04/25	2021/10/28	50.00
57352	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/04/25	2021/10/28	50.00
57320	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 A	200,000,000	2019/04/25	2019/07/30	50.00
57321	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 J	200,000,000	2019/04/25	2019/07/30	50.00
57316	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 K	200,000,000	2019/04/25	2019/07/30	50.00
57319	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 U	200,000,000	2019/04/25	2019/07/30	50.00
57356	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 V	200,000,000	2019/04/25	2019/07/30	50.00
57359	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 J	200,000,000	2019/04/25	2019/08/29	50.00
57327	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 N	50,000,000	2019/04/25	2019/08/09	12.50
57218	Macquarie Bank Ltd. – Tencent R Bull CBBC Jul 2019 O	22,900,000	2019/04/25	2019/07/26	10.01
57219	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 M	8,700,000	2019/04/25	2019/12/30	10.10
57625	SG Issuer – ABC R Bull CBBC Feb 2020 A	100,000,000	2019/04/25	2020/02/20	25.00
57629	SG Issuer – AIA R Bull CBBC Oct 2019 O	60,000,000	2019/04/25	2019/10/28	15.00
57641	SG Issuer – AIA R Bear CBBC Nov 2019 A	60,000,000	2019/04/25	2019/11/28	15.00
57558	SG Issuer – Bank of China R Bull CBBC Feb 2020 C	100,000,000	2019/04/25	2020/02/27	25.00
57647	SG Issuer – Bank of China R Bull CBBC Feb 2020 D	100,000,000	2019/04/25	2020/02/20	25.00
57517	SG Issuer – China Mobile R Bull CBBC Nov 2019 E	100,000,000	2019/04/25	2019/11/22	25.00
57652	SG Issuer – CC Bank R Bull CBBC Oct 2019 D	100,000,000	2019/04/25	2019/10/28	25.00
57667	SG Issuer – China Life R Bull CBBC Oct 2019 C	40,000,000	2019/04/25	2019/10/31	10.00
57671	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 S	100,000,000	2019/04/25	2020/02/27	25.00
57522	SG Issuer – HSBC R Bull CBBC Nov 2019 K	100,000,000	2019/04/25	2019/11/22	25.00
57615	SG Issuer – HSI R Bull CBBC Nov 2021 A	250,000,000	2019/04/25	2021/11/29	62.50
57616	SG Issuer – HSI R Bull CBBC Nov 2021 B	250,000,000	2019/04/25	2021/11/29	62.50
57617	SG Issuer – HSI R Bull CBBC Nov 2021 C	250,000,000	2019/04/25	2021/11/29	62.50
57618	SG Issuer – HSI R Bull CBBC Nov 2021 D	250,000,000	2019/04/25	2021/11/29	62.50
57543	SG Issuer – HSI R Bear CBBC Aug 2019 F	250,000,000	2019/04/25	2019/08/29	62.50
57551	SG Issuer – HSI R Bear CBBC Sep 2019 F	250,000,000	2019/04/25	2019/09/27	62.50
57614	SG Issuer – HSI R Bear CBBC Sep 2019 S	250,000,000	2019/04/25	2019/09/27	62.50
57547	SG Issuer – HSI R Bear CBBC Sep 2019 V	250,000,000	2019/04/25	2019/09/27	62.50
57669	SG Issuer – ICBC R Bull CBBC Oct 2019 G	100,000,000	2019/04/25	2019/10/31	25.00
57670	SG Issuer – Ping An R Bull CBBC Oct 2019 V	100,000,000	2019/04/25	2019/10/28	25.00
57565	SG Issuer – Ping An R Bear CBBC Oct 2019 H	100,000,000	2019/04/25	2019/10/30	25.00
57570	SG Issuer – Tencent R Bull CBBC Jul 2019 R	80,000,000	2019/04/25	2019/07/26	20.00
57573	SG Issuer – Tencent R Bull CBBC Jul 2019 U	80,000,000	2019/04/25	2019/07/31	20.00
57580	SG Issuer – Tencent R Bear CBBC Oct 2019 R	80,000,000	2019/04/25	2019/10/30	24.00
57586	SG Issuer – Tencent R Bear CBBC Oct 2019 S	80,000,000	2019/04/25	2019/10/30	49.60
57587	SG Issuer – Tencent R Bear CBBC Nov 2019 D	80,000,000	2019/04/25	2019/11/22	57.60
57529	SG Issuer – Tencent R Bear CBBC Dec 2019 M	80,000,000	2019/04/25	2019/12/30	20.00
57264	UBS AG – HSI R Bull CBBC May 2021 Q	200,000,000	2019/04/25	2021/05/28	50.00
57227	UBS AG – HSI R Bear CBBC Jul 2019 M	200,000,000	2019/04/25	2019/07/30	50.00
57238	UBS AG – HSI R Bear CBBC Jul 2019 O	200,000,000	2019/04/25	2019/07/30	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57241	UBS AG – HSI R Bear CBBC Jul 2019 P	200,000,000	2019/04/25	2019/07/30	50.00
57265	UBS AG – Ping An R Bull CBBC Dec 2019 B	100,000,000	2019/04/25	2019/12/09	25.00
57266	UBS AG – Ping An R Bear CBBC Dec 2019 A	100,000,000	2019/04/25	2019/12/09	25.00
57454	Bank Vontobel – CC Bank R Bull CBBC Nov 2019 A	40,000,000	2019/04/25	2019/11/15	10.00
57453	Bank Vontobel – China Life R Bull CBBC Nov 2019 C	80,000,000	2019/04/25	2019/11/15	20.00
57462	Bank Vontobel – HSBC R Bull CBBC Nov 2019 C	40,000,000	2019/04/25	2019/11/22	10.00
57451	Bank Vontobel – Tencent R Bear CBBC Feb 2020 A	100,000,000	2019/04/25	2020/02/21	25.00
57695	BNP Paribas Issuance B.V. – CSOP A50 R Bull CBBC Dec 2019 E	60,000,000	2019/04/26	2019/12/30	15.00
57706	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct 19 G	60,000,000	2019/04/26	2019/10/30	15.00
57727	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 S	240,000,000	2019/04/26	2021/10/28	60.00
57722	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 U	240,000,000	2019/04/26	2019/08/29	60.00
57684	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 F	50,000,000	2019/04/26	2019/12/30	12.50
57685	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 F	50,000,000	2019/04/26	2020/01/30	12.50
57694	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 E	50,000,000	2019/04/26	2019/10/30	17.50
57686	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 F	50,000,000	2019/04/26	2019/10/30	12.50
57688	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 H	50,000,000	2019/04/26	2019/11/28	12.50
58043	Credit Suisse AG – China Mobile R Bull CBBC Jan 2020 A	70,000,000	2019/04/26	2020/01/30	17.50
58022	Credit Suisse AG – CC Bank R Bull CBBC Jan 2020 C	70,000,000	2019/04/26	2020/01/24	17.50
58046	Credit Suisse AG – Geely Auto R Bull CBBC Jan 2020 F	150,000,000	2019/04/26	2020/01/30	37.50
58054	Credit Suisse AG – Geely Auto R Bear CBBC Jan 2020 D	100,000,000	2019/04/26	2020/01/30	25.00
58058	Credit Suisse AG – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/04/26	2021/09/29	50.00
58059	Credit Suisse AG – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/04/26	2021/10/28	50.00
58038	Credit Suisse AG – HSI R Bear CBBC Sep 2019 X	200,000,000	2019/04/26	2019/09/27	50.00
58035	Credit Suisse AG – ICBC R Bull CBBC Jan 2020 C	70,000,000	2019/04/26	2020/01/24	17.50
58037	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 X	80,000,000	2019/04/26	2019/12/30	20.00
58042	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 F	100,000,000	2019/04/26	2020/01/24	25.00
57672	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/04/26	2021/09/29	50.00
58060	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 X	200,000,000	2019/04/26	2021/09/29	50.00
57683	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 T	200,000,000	2019/04/26	2020/04/28	50.00
58072	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Dec 2019 R	100,000,000	2019/04/26	2019/12/31	25.00
58061	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Apr 2020 A	100,000,000	2019/04/26	2020/04/28	25.00
57770	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2019 G	40,000,000	2019/04/26	2019/10/08	10.00
57744	HK Bank – A50 China R Bull CBBC Oct 2019 G	40,000,000	2019/04/26	2019/10/08	10.00
57751	HK Bank – Geely Auto R Bull CBBC Dec 2019 D	40,000,000	2019/04/26	2019/12/23	10.00
57736	HK Bank – HSI R Bull CBBC Jul 2020 D	80,000,000	2019/04/26	2020/07/30	20.00
57881	Haitong Int'l Sec – Geely Auto R Bull CBBC Jan 2020 A	110,000,000	2019/04/26	2020/01/09	27.50
57896	Haitong Int'l Sec – Geely Auto R Bear CBBC Nov 2019 B	110,000,000	2019/04/26	2019/11/28	27.50
57866	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 K	150,000,000	2019/04/26	2021/07/29	37.50
57863	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 Z	150,000,000	2019/04/26	2021/10/28	37.50
57874	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 C	150,000,000	2019/04/26	2019/07/30	37.50
57878	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 G	150,000,000	2019/04/26	2019/07/30	37.50
57864	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 D	40,000,000	2019/04/26	2019/11/21	10.00
57831	J P Morgan SP BV – HKEX R Bear CBBC Oct 2019 C	200,000,000	2019/04/26	2019/10/11	50.00
57771	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/04/26	2021/10/28	50.00
57777	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 C	200,000,000	2019/04/26	2019/07/30	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57794	J P Morgan SP BV – HSI R Bear CBBC Jul 2019 W	200,000,000	2019/04/26	2019/07/30	50.00
57798	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 T	50,000,000	2019/04/26	2019/08/09	12.50
57803	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 C	250,000,000	2019/04/26	2019/09/13	62.50
57807	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 O	250,000,000	2019/04/26	2019/08/09	62.50
57826	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 B	250,000,000	2019/04/26	2019/09/13	62.50
57902	SG Issuer – CC Bank R Bear CBBC Oct 2019 A	100,000,000	2019/04/26	2019/10/10	25.00
57973	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 K	100,000,000	2019/04/26	2020/03/30	25.00
57970	SG Issuer – CSOP A50 ETF R Bear CBBC Oct 2019 C	100,000,000	2019/04/26	2019/10/11	25.00
58017	SG Issuer – Geely Auto R Bull CBBC Oct 2019 G	40,000,000	2019/04/26	2019/10/15	10.00
58021	SG Issuer – Geely Auto R Bull CBBC Feb 2020 B	40,000,000	2019/04/26	2020/02/21	10.00
58018	SG Issuer – Geely Auto R Bear CBBC Dec 2019 B	40,000,000	2019/04/26	2019/12/20	10.00
58004	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 I	100,000,000	2019/04/26	2019/12/11	25.00
57905	SG Issuer – HKEX R Bear CBBC Oct 2019 F	50,000,000	2019/04/26	2019/10/10	12.50
57934	SG Issuer – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/04/26	2021/10/28	62.50
57943	SG Issuer – HSI R Bull CBBC Oct 2021 B	250,000,000	2019/04/26	2021/10/28	62.50
57944	SG Issuer – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/04/26	2021/10/28	62.50
57980	SG Issuer – HSI R Bear CBBC Jul 2019 N	250,000,000	2019/04/26	2019/07/30	62.50
58003	SG Issuer – HSI R Bear CBBC Jul 2019 O	250,000,000	2019/04/26	2019/07/30	62.50
57999	SG Issuer – HSI R Bear CBBC Aug 2019 A	250,000,000	2019/04/26	2019/08/29	62.50
57906	SG Issuer – ICBC R Bull CBBC Oct 2019 H	100,000,000	2019/04/26	2019/10/10	25.00
57913	SG Issuer – Ping An R Bull CBBC Nov 2019 U	100,000,000	2019/04/26	2019/11/13	25.00
57948	SG Issuer – Tencent R Bull CBBC Jul 2019 Q	80,000,000	2019/04/26	2019/07/30	20.00
57949	SG Issuer – Tencent R Bull CBBC Jul 2019 S	80,000,000	2019/04/26	2019/07/31	20.00
57921	SG Issuer – Tencent R Bear CBBC Oct 2019 T	80,000,000	2019/04/26	2019/10/31	20.00
57924	SG Issuer – Tencent R Bear CBBC Nov 2019 L	80,000,000	2019/04/26	2019/11/13	20.00
57929	SG Issuer – Tencent R Bear CBBC Nov 2019 M	80,000,000	2019/04/26	2019/11/28	20.00
57956	SG Issuer – Tencent R Bear CBBC Apr 2020 A	80,000,000	2019/04/26	2020/04/08	71.20
57728	UBS AG – HSI R Bull CBBC May 2021 R	200,000,000	2019/04/26	2021/05/28	50.00
57732	UBS AG – HSI R Bull CBBC May 2021 S	200,000,000	2019/04/26	2021/05/28	50.00
57734	UBS AG – HSI R Bull CBBC May 2021 T	200,000,000	2019/04/26	2021/05/28	50.00
57735	UBS AG – HSI R Bear CBBC Jul 2019 R	200,000,000	2019/04/26	2019/07/30	50.00
57861	Bank Vontobel – Geely Auto R Bull CBBC Dec 2019 E	100,000,000	2019/04/26	2019/12/20	25.00
57859	Bank Vontobel – Geely Auto R Bear CBBC Dec 2019 C	100,000,000	2019/04/26	2019/12/20	25.00
57858	Bank Vontobel – HSI N Bull CBBC Dec 2020 H	80,000,000	2019/04/26	2020/12/30	20.00
57857	Bank Vontobel – Meituan Dianping R Bull CBBC Dec 2019 D	40,000,000	2019/04/26	2019/12/20	10.00
57837	Bank Vontobel – Tencent R Bull CBBC Feb 2020 B	100,000,000	2019/04/26	2020/02/27	25.00
58111	BOCI Asia Ltd. – CC Bank R Bull CBBC Nov 2019 A	80,000,000	2019/04/29	2019/11/20	20.24
58108	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 B	200,000,000	2019/04/29	2019/12/18	50.40
58110	BOCI Asia Ltd. – HKEX R Bear CBBC Dec 2019 B	200,000,000	2019/04/29	2019/12/18	50.00
58127	BOCI Asia Ltd. – Ping An R Bull CBBC Nov 2019 A	70,000,000	2019/04/29	2019/11/20	17.64
58129	BOCI Asia Ltd. – Ping An R Bear CBBC Nov 2019 A	70,000,000	2019/04/29	2019/11/20	17.64
58102	BOCI Asia Ltd. – Tencent R Bull CBBC Nov 2019 B	200,000,000	2019/04/29	2019/11/20	50.00
58107	BOCI Asia Ltd. – Tencent R Bear CBBC Nov 2019 B	200,000,000	2019/04/29	2019/11/20	50.00
58077	BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Sep 2019 F	100,000,000	2019/04/29	2019/09/27	25.00
58080	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 T	250,000,000	2019/04/29	2021/10/28	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58082	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 U	250,000,000	2019/04/29	2021/10/28	62.50
58086	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 V	250,000,000	2019/04/29	2021/10/28	62.50
58131	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 W	240,000,000	2019/04/29	2021/10/28	60.00
58364	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 X	250,000,000	2019/04/29	2021/10/28	62.50
58095	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 V	250,000,000	2019/04/29	2019/08/29	62.50
58100	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 Z	250,000,000	2019/04/29	2019/08/29	62.50
58075	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 I	50,000,000	2019/04/29	2019/11/28	12.50
58376	Credit Suisse AG – Geely Auto R Bull CBBC Jan 2020 G	150,000,000	2019/04/29	2020/01/24	37.50
58368	Credit Suisse AG – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/04/29	2021/09/29	50.00
58371	Credit Suisse AG – HSI R Bull CBBC Oct 2021 Q	300,000,000	2019/04/29	2021/10/28	75.00
58380	Credit Suisse AG – HSI R Bull CBBC Oct 2021 R	300,000,000	2019/04/29	2021/10/28	75.00
58388	Credit Suisse AG – HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/04/29	2019/09/27	50.00
58393	Credit Suisse AG – HSI R Bear CBBC Oct 2019 Z	300,000,000	2019/04/29	2019/10/30	75.00
58377	Credit Suisse AG – Tencent R Bear CBBC Feb 2020 A	100,000,000	2019/04/29	2020/02/27	25.00
58346	Goldman Sachs SP (Asia) – AIA R Bull CBBC Nov 2019 T	100,000,000	2019/04/29	2019/11/15	25.00
58353	Goldman Sachs SP (Asia) – CC Bank R Bear CBBC Nov 2019 A	100,000,000	2019/04/29	2019/11/28	25.00
58331	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Mar 2020 B	100,000,000	2019/04/29	2020/03/09	25.00
58328	Goldman Sachs SP (Asia) – HSBC R Bull CBBC Dec 2019 F	100,000,000	2019/04/29	2019/12/30	25.00
58089	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/04/29	2021/09/29	50.00
58320	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 U	200,000,000	2019/04/29	2020/04/28	50.00
58362	Goldman Sachs SP (Asia) – ICBC R Bear CBBC Sep 2019 A	100,000,000	2019/04/29	2019/09/30	25.00
58307	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2019 K	100,000,000	2019/04/29	2019/12/31	25.00
58182	HK Bank – China Mobile R Bear CBBC Oct 2019 C	40,000,000	2019/04/29	2019/10/28	10.00
58142	HK Bank – HSI R Bull CBBC Jul 2020 E	80,000,000	2019/04/29	2020/07/30	20.00
58154	HK Bank – HSI R Bear CBBC Sep 2019 U	80,000,000	2019/04/29	2019/09/27	20.00
58162	HK Bank – HSI R Bear CBBC Sep 2019 V	80,000,000	2019/04/29	2019/09/27	20.00
58163	HK Bank – Tencent R Bear CBBC Oct 2019 J	40,000,000	2019/04/29	2019/10/02	10.00
58265	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 L	150,000,000	2019/04/29	2021/07/29	37.50
58296	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 M	150,000,000	2019/04/29	2021/07/29	37.50
58271	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 J	150,000,000	2019/04/29	2021/09/29	37.50
58301	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 H	150,000,000	2019/04/29	2019/07/30	37.50
58306	Haitong Int'l Sec – HSI R Bear CBBC Jul 2019 I	150,000,000	2019/04/29	2019/07/30	37.50
58227	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/04/29	2021/10/28	50.00
58234	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/04/29	2021/10/28	50.00
58245	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/04/29	2021/10/28	50.00
58261	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 K	200,000,000	2019/04/29	2019/08/29	50.00
58264	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 L	200,000,000	2019/04/29	2019/08/29	50.00
58226	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 U	50,000,000	2019/04/29	2019/08/09	12.50
58191	SG Issuer – HKEX R Bull CBBC Sep 2019 N	50,000,000	2019/04/29	2019/09/27	12.50
58190	SG Issuer – HSI R Bull CBBC Oct 2021 D	250,000,000	2019/04/29	2021/10/28	62.50
58210	SG Issuer – HSI R Bull CBBC Oct 2021 E	250,000,000	2019/04/29	2021/10/28	62.50
58225	SG Issuer – HSI R Bear CBBC Jul 2019 P	250,000,000	2019/04/29	2019/07/30	62.50
58212	SG Issuer – Sunny Optical R Bull CBBC Nov 2019 B	40,000,000	2019/04/29	2019/11/27	10.00
58209	SG Issuer – Tencent R Bull CBBC Jul 2019 T	80,000,000	2019/04/29	2019/07/30	20.00
58194	SG Issuer – Tencent R Bull CBBC Aug 2019 B	80,000,000	2019/04/29	2019/08/05	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58224	SG Issuer – Tencent R Bear CBBC Oct 2019 U	80,000,000	2019/04/29	2019/10/29	20.00
58137	UBS AG – HSI R Bull CBBC Sep 2021 E	200,000,000	2019/04/29	2021/09/29	50.00
58140	UBS AG – HSI R Bear CBBC Jul 2019 S	200,000,000	2019/04/29	2019/07/30	50.00
58141	UBS AG – Tencent R Bear CBBC Nov 2019 B	200,000,000	2019/04/29	2019/11/18	50.00
58502	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Y	240,000,000	2019/04/30	2021/10/28	60.00
58520	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 A	240,000,000	2019/04/30	2019/08/29	60.00
58604	Credit Suisse AG – China Life R Bull CBBC Dec 2019 J	100,000,000	2019/04/30	2019/12/30	25.00
58609	Credit Suisse AG – Geely Auto R Bear CBBC Dec 2019 F	100,000,000	2019/04/30	2019/12/20	25.00
58561	Credit Suisse AG – HSI R Bull CBBC Sep 2021 X	200,000,000	2019/04/30	2021/09/29	50.00
58621	Credit Suisse AG – HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/04/30	2021/09/29	50.00
58588	Credit Suisse AG – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/04/30	2019/10/30	50.00
58615	Credit Suisse AG – Ping An R Bear CBBC Feb 2020 A	60,000,000	2019/04/30	2020/02/27	15.00
58602	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 H	100,000,000	2019/04/30	2019/12/20	25.00
58496	Bank of East Asia – CSOP A50 ETF R Bull CBBC Nov 2019 A	60,000,000	2019/04/30	2019/11/25	15.00
58461	Bank of East Asia – Geely Auto R Bull CBBC Aug 2019 K	40,000,000	2019/04/30	2019/08/28	10.00
58462	Bank of East Asia – Geely Auto R Bear CBBC Oct 2019 B	40,000,000	2019/04/30	2019/10/25	14.00
58475	Bank of East Asia – Ping An R Bull CBBC Aug 2019 U	100,000,000	2019/04/30	2019/08/28	25.00
58442	Bank of East Asia – Tencent R Bull CBBC Aug 2019 U	30,000,000	2019/04/30	2019/08/28	10.50
58457	Bank of East Asia – Tencent R Bull CBBC Aug 2019 V	30,000,000	2019/04/30	2019/08/28	10.50
58458	Bank of East Asia – Tencent R Bear CBBC Oct 2019 A	30,000,000	2019/04/30	2019/10/25	10.50
58662	Goldman Sachs SP (Asia) – China Life R Bull CBBC Dec 2019 L	100,000,000	2019/04/30	2019/12/30	25.00
58674	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Jul 2019B	100,000,000	2019/04/30	2019/07/31	25.00
58676	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Feb 2020 K	100,000,000	2019/04/30	2020/02/10	25.00
58661	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 C	100,000,000	2019/04/30	2019/09/30	25.00
58438	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/04/30	2021/09/29	50.00
58432	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/04/30	2021/09/29	50.00
58675	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 E	100,000,000	2019/04/30	2019/11/28	25.00
58657	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2019 V	100,000,000	2019/04/30	2019/07/31	25.00
58439	HK Bank – HSI R Bull CBBC Jul 2020 F	80,000,000	2019/04/30	2020/07/30	20.00
58440	HK Bank – Tencent R Bull CBBC Sep 2019 L	50,000,000	2019/04/30	2019/09/26	12.50
58723	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 J	40,000,000	2019/04/30	2019/10/17	10.00
58725	Haitong Int'l Sec – Tencent R Bear CBBC Oct 2019 L	40,000,000	2019/04/30	2019/10/30	15.64
58726	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 M	40,000,000	2019/04/30	2019/11/15	17.80
58727	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 N	40,000,000	2019/04/30	2019/11/18	19.84
58629	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/04/30	2021/10/28	50.00
58630	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/04/30	2021/10/28	50.00
58631	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/04/30	2021/10/28	50.00
58639	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 A	200,000,000	2019/04/30	2019/08/29	50.00
58637	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 P	200,000,000	2019/04/30	2019/08/29	50.00
58638	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 Q	200,000,000	2019/04/30	2019/08/29	50.00
58700	SG Issuer – China Mobile R Bull CBBC Nov 2019 F	100,000,000	2019/04/30	2019/11/28	25.00
58711	SG Issuer – China Mobile R Bear CBBC Oct 2019 D	100,000,000	2019/04/30	2019/10/30	25.00
58698	SG Issuer – China Life R Bear CBBC Oct 2019 C	40,000,000	2019/04/30	2019/10/22	10.00
58691	SG Issuer – A50 China R Bull CBBC Mar 2020 D	80,000,000	2019/04/30	2020/03/30	20.00
58706	SG Issuer – HKEX R Bull CBBC Sep 2019 O	50,000,000	2019/04/30	2019/09/23	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58712	SG Issuer – HKEX R Bull CBBC Sep 2019 P	50,000,000	2019/04/30	2019/09/23	12.50
58684	SG Issuer – HSI R Bull CBBC Nov 2021 E	250,000,000	2019/04/30	2021/11/29	62.50
58689	SG Issuer – HSI R Bull CBBC Nov 2021 F	250,000,000	2019/04/30	2021/11/29	62.50
58721	SG Issuer – HSI R Bear CBBC Aug 2019 Y	250,000,000	2019/04/30	2019/08/29	62.50
58713	SG Issuer – PetroChina R Bull CBBC Nov 2019 B	40,000,000	2019/04/30	2019/11/28	10.00
58709	SG Issuer – Ping An R Bear CBBC Oct 2019 I	100,000,000	2019/04/30	2019/10/30	25.00
58722	SG Issuer – Tencent R Bull CBBC Aug 2019 C	80,000,000	2019/04/30	2019/08/05	20.00
58715	SG Issuer – Tencent R Bull CBBC Aug 2019 D	80,000,000	2019/04/30	2019/08/28	20.00
58717	SG Issuer – Tencent R Bear CBBC Oct 2019 V	80,000,000	2019/04/30	2019/10/30	20.00
58527	UBS AG – HSI R Bull CBBC Sep 2021 F	200,000,000	2019/04/30	2021/09/29	50.00
58552	UBS AG – HSI R Bull CBBC Sep 2021 G	200,000,000	2019/04/30	2021/09/29	50.00
58554	UBS AG – HSI R Bull CBBC Sep 2021 H	200,000,000	2019/04/30	2021/09/29	50.00
58539	UBS AG – HSI R Bear CBBC Jul 2019 C	200,000,000	2019/04/30	2019/07/30	50.00
58532	UBS AG – HSI R Bear CBBC Jul 2019 Z	200,000,000	2019/04/30	2019/07/30	50.00
58644	Bank Vontobel – Sinopec Corp R Bear CBBC Dec 2019 B	40,000,000	2019/04/30	2019/12/20	10.00
58654	Bank Vontobel – Galaxy Ent R Bear CBBC Dec 2019 A	40,000,000	2019/04/30	2019/12/20	10.00
58641	Bank Vontobel – PetroChina R Bear CBBC Dec 2019 B	40,000,000	2019/04/30	2019/12/20	10.00
58795	BOCI Asia Ltd. – China Mobile R Bull CBBC Oct 2019 A	70,000,000	2019/05/02	2019/10/28	17.92
58792	BOCI Asia Ltd. – HSBC R Bull CBBC Oct 2019 B	70,000,000	2019/05/02	2019/10/28	17.78
58799	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 D	150,000,000	2019/05/02	2020/09/29	37.80
58797	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 Z	150,000,000	2019/05/02	2020/09/29	39.60
58800	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 A	150,000,000	2019/05/02	2019/08/29	37.50
58801	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 B	150,000,000	2019/05/02	2019/08/29	37.50
58796	BOCI Asia Ltd. – Ping An R Bull CBBC Oct 2019 A	70,000,000	2019/05/02	2019/10/28	17.50
58793	BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2019 A	200,000,000	2019/05/02	2019/10/28	50.80
58794	BOCI Asia Ltd. – Tencent R Bear CBBC Oct 2019 A	200,000,000	2019/05/02	2019/10/28	50.20
58747	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 B	240,000,000	2019/05/02	2021/10/28	60.00
58729	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/05/02	2021/10/28	62.50
58728	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 B	250,000,000	2019/05/02	2019/08/29	62.50
58733	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 C	250,000,000	2019/05/02	2019/08/29	62.50
58734	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 D	250,000,000	2019/05/02	2019/08/29	62.50
58749	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 K	240,000,000	2019/05/02	2019/08/29	60.00
58827	Credit Suisse AG – Geely Auto R Bear CBBC Dec 2019 G	100,000,000	2019/05/02	2019/12/13	25.00
58804	Credit Suisse AG – HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/05/02	2021/09/29	50.00
58802	Credit Suisse AG – HSI R Bull CBBC Nov 2021 A	200,000,000	2019/05/02	2021/11/29	50.00
58808	Credit Suisse AG – HSI R Bear CBBC Sep 2019 B	200,000,000	2019/05/02	2019/09/27	50.00
58815	Credit Suisse AG – HSI R Bear CBBC Sep 2019 D	200,000,000	2019/05/02	2019/09/27	50.00
58817	Credit Suisse AG – HSI R Bear CBBC Sep 2019 F	200,000,000	2019/05/02	2019/09/27	50.00
58889	Goldman Sachs SP (Asia) – China Mobile R Bear CBBC Sep 2019A	80,000,000	2019/05/02	2019/09/30	20.00
58885	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Aug 2019 A	80,000,000	2019/05/02	2019/08/02	20.00
58732	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 D	200,000,000	2019/05/02	2021/09/29	50.00
58865	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 D	200,000,000	2019/05/02	2020/04/28	50.00
58866	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 F	200,000,000	2019/05/02	2020/04/28	50.00
58864	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/05/02	2020/04/28	50.00
58881	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 A	100,000,000	2019/05/02	2019/08/02	25.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58872	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 A	80,000,000	2019/05/02	2019/08/02	20.00
58883	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 B	80,000,000	2019/05/02	2019/08/02	20.00
58737	HK Bank – China Mobile R Bull CBBC Dec 2019 A	60,000,000	2019/05/02	2019/12/09	15.00
58743	HK Bank – HSCEI R Bear CBBC Sep 2019 E	50,000,000	2019/05/02	2019/09/27	12.50
58735	HK Bank – HSI R Bull CBBC Jul 2020 G	150,000,000	2019/05/02	2020/07/30	37.50
58741	HK Bank – HSI R Bear CBBC Sep 2019 W	80,000,000	2019/05/02	2019/09/27	20.00
58739	HK Bank – ICBC R Bull CBBC Nov 2019 B	60,000,000	2019/05/02	2019/11/25	15.00
58736	HK Bank – Tencent R Bear CBBC Sep 2019 S	40,000,000	2019/05/02	2019/09/09	10.00
58920	Haitong Int'l Sec – China Mobile R Bear CBBC Nov 2019 B	60,000,000	2019/05/02	2019/11/18	15.00
58891	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 N	150,000,000	2019/05/02	2021/07/29	37.50
58893	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 O	150,000,000	2019/05/02	2021/07/29	37.50
58914	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 K	150,000,000	2019/05/02	2021/09/29	37.50
58907	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 W	150,000,000	2019/05/02	2019/08/29	37.50
58917	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 X	150,000,000	2019/05/02	2019/08/29	37.50
58918	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 Y	150,000,000	2019/05/02	2019/08/29	37.50
58898	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 O	40,000,000	2019/05/02	2019/11/27	10.00
58791	J P Morgan SP BV – HKEX R Bear CBBC Oct 2019 D	200,000,000	2019/05/02	2019/10/18	50.00
58772	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/05/02	2021/10/28	50.00
58786	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/05/02	2021/10/28	50.00
58761	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/05/02	2021/10/28	50.00
58780	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/05/02	2021/10/28	50.00
58781	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 B	200,000,000	2019/05/02	2019/08/29	50.00
58788	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 D	200,000,000	2019/05/02	2019/08/29	50.00
58789	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/05/02	2019/08/29	50.00
58790	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 D	250,000,000	2019/05/02	2019/09/13	62.50
58856	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 T	100,000,000	2019/05/02	2020/02/27	25.00
58857	SG Issuer – A50 China R Bull CBBC Feb 2020 L	80,000,000	2019/05/02	2020/02/27	20.00
58845	SG Issuer – HKEX R Bull CBBC Oct 2019 L	50,000,000	2019/05/02	2019/10/28	12.50
58843	SG Issuer – HSI R Bull CBBC Oct 2021 F	250,000,000	2019/05/02	2021/10/28	62.50
58862	SG Issuer – HSI R Bull CBBC Oct 2021 G	250,000,000	2019/05/02	2021/10/28	62.50
58863	SG Issuer – HSI R Bull CBBC Nov 2021 G	250,000,000	2019/05/02	2021/11/29	62.50
58858	SG Issuer – HSI R Bear CBBC Aug 2019 E	250,000,000	2019/05/02	2019/08/29	62.50
58859	SG Issuer – HSI R Bear CBBC Aug 2019 I	250,000,000	2019/05/02	2019/08/29	62.50
58860	SG Issuer – HSI R Bear CBBC Oct 2019 T	250,000,000	2019/05/02	2019/10/30	62.50
58851	SG Issuer – Sands China R Bull CBBC Jan 2020 B	80,000,000	2019/05/02	2020/01/30	20.00
58861	SG Issuer – Tencent R Bear CBBC Dec 2019 N	80,000,000	2019/05/02	2019/12/30	20.00
58751	UBS AG – HSI R Bull CBBC Sep 2021 I	200,000,000	2019/05/02	2021/09/29	50.00
58754	UBS AG – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/05/02	2021/09/29	50.00
58756	UBS AG – HSI R Bear CBBC Aug 2019 G	200,000,000	2019/05/02	2019/08/29	50.00
58757	UBS AG – HSI R Bear CBBC Aug 2019 H	200,000,000	2019/05/02	2019/08/29	50.00
58760	UBS AG – HSI R Bear CBBC Aug 2019 I	200,000,000	2019/05/02	2019/08/29	50.00
58835	Bank Vontobel – AIA R Bull CBBC Dec 2019 C	40,000,000	2019/05/02	2019/12/20	10.00
58841	Bank Vontobel – China Mobile R Bull CBBC Dec 2019 A	40,000,000	2019/05/02	2019/12/20	10.00
58839	Bank Vontobel – China Mobile R Bear CBBC Dec 2019 C	40,000,000	2019/05/02	2019/12/20	10.00
58842	Bank Vontobel – Geely Auto R Bear CBBC Dec 2019 D	100,000,000	2019/05/02	2019/12/20	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58832	Bank Vontobel – HSI R Bull CBBC Mar 2021 U	80,000,000	2019/05/02	2021/03/30	20.00
58833	Bank Vontobel – Ping An R Bear CBBC Dec 2019 C	40,000,000	2019/05/02	2019/12/20	10.00
59021	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/05/03	2021/10/28	62.50
59028	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 D	240,000,000	2019/05/03	2021/10/28	60.00
59024	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 L	250,000,000	2019/05/03	2019/08/29	62.50
59025	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 M	250,000,000	2019/05/03	2019/08/29	62.50
59026	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 N	250,000,000	2019/05/03	2019/08/29	62.50
59027	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 O	240,000,000	2019/05/03	2019/08/29	60.00
59030	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 G	50,000,000	2019/05/03	2020/01/30	12.50
59031	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 G	50,000,000	2019/05/03	2019/10/30	12.50
59033	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 H	50,000,000	2019/05/03	2019/10/30	12.50
59032	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 E	50,000,000	2019/05/03	2019/11/28	12.50
58989	Credit Suisse AG – Geely Auto R Bear CBBC Jan 2020 E	80,000,000	2019/05/03	2020/01/30	20.00
58985	Credit Suisse AG – HSI R Bull CBBC Jul 2021 U	200,000,000	2019/05/03	2021/07/29	50.00
58987	Credit Suisse AG – HSI R Bull CBBC Jul 2021 V	200,000,000	2019/05/03	2021/07/29	50.00
59002	Credit Suisse AG – HSI R Bull CBBC Sep 2021 A	200,000,000	2019/05/03	2021/09/29	50.00
59005	Credit Suisse AG – HSI R Bear CBBC Sep 2019 M	200,000,000	2019/05/03	2019/09/27	50.00
59006	Credit Suisse AG – HSI R Bear CBBC Oct 2019 E	200,000,000	2019/05/03	2019/10/30	50.00
59012	Credit Suisse AG – HSI R Bear CBBC Oct 2019 F	200,000,000	2019/05/03	2019/10/30	50.00
58986	Credit Suisse AG – Ping An R Bull CBBC Nov 2019 T	80,000,000	2019/05/03	2019/11/28	20.00
59020	Credit Suisse AG – Ping An R Bear CBBC Dec 2019 K	60,000,000	2019/05/03	2019/12/06	15.00
58988	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 K	100,000,000	2019/05/03	2019/12/13	25.00
59019	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 X	100,000,000	2019/05/03	2019/12/06	25.00
59095	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Sep 2019E	80,000,000	2019/05/03	2019/09/30	20.00
59094	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Mar 2020 C	100,000,000	2019/05/03	2020/03/09	25.00
58921	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 G	200,000,000	2019/05/03	2021/09/29	50.00
59086	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 H	200,000,000	2019/05/03	2021/09/29	50.00
59090	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 E	200,000,000	2019/05/03	2020/01/30	50.00
59087	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 J	200,000,000	2019/05/03	2020/04/28	50.00
58924	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 C	100,000,000	2019/05/03	2019/10/28	25.00
58922	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 B	100,000,000	2019/05/03	2019/08/05	25.00
59091	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 C	80,000,000	2019/05/03	2019/08/05	20.00
59093	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 D	80,000,000	2019/05/03	2019/08/05	20.00
58928	HK Bank – Geely Auto R Bear CBBC Oct 2019 B	40,000,000	2019/05/03	2019/10/21	10.00
58926	HK Bank – HSI R Bear CBBC Sep 2019 X	150,000,000	2019/05/03	2019/09/27	37.50
58927	HK Bank – SUNAC R Bear CBBC Sep 2019 C	40,000,000	2019/05/03	2019/09/30	10.00
58925	HK Bank – Tencent R Bull CBBC Nov 2019 H	50,000,000	2019/05/03	2019/11/14	12.50
59036	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 A	150,000,000	2019/05/03	2021/10/28	37.50
59039	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 B	150,000,000	2019/05/03	2021/10/28	37.50
59054	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 C	150,000,000	2019/05/03	2021/10/28	37.50
59056	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 L	150,000,000	2019/05/03	2021/10/28	37.50
59068	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 A	150,000,000	2019/05/03	2019/08/29	37.50
59064	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 D	150,000,000	2019/05/03	2019/08/29	37.50
59062	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 Z	150,000,000	2019/05/03	2019/08/29	37.50
59050	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 K	40,000,000	2019/05/03	2019/10/22	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59053	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 L	40,000,000	2019/05/03	2019/10/18	10.00
59069	Haitong Int'l Sec – Tencent R Bear CBBC Nov 2019 P	40,000,000	2019/05/03	2019/11/29	10.00
59073	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 D	40,000,000	2019/05/03	2019/12/05	10.00
58970	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/05/03	2021/10/28	50.00
58972	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/05/03	2021/10/28	50.00
58976	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/05/03	2021/10/28	50.00
58981	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/05/03	2019/08/29	50.00
58982	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 B	200,000,000	2019/05/03	2019/09/27	50.00
58983	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 C	200,000,000	2019/05/03	2019/09/27	50.00
58984	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 D	200,000,000	2019/05/03	2019/09/27	50.00
58975	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 E	250,000,000	2019/05/03	2019/09/13	62.50
59147	SG Issuer – China Mobile R Bull CBBC Oct 2019 H	100,000,000	2019/05/03	2019/10/25	25.00
59146	SG Issuer – CC Bank R Bull CBBC Oct 2019 E	100,000,000	2019/05/03	2019/10/25	25.00
59102	SG Issuer – China Life R Bull CBBC Oct 2019 D	40,000,000	2019/05/03	2019/10/18	10.00
59138	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 U	100,000,000	2019/05/03	2020/02/27	25.00
59107	SG Issuer – Geely Auto R Bull CBBC Jun 2020 A	150,000,000	2019/05/03	2020/06/29	37.50
59127	SG Issuer – HKEX R Bull CBBC Sep 2019 Q	50,000,000	2019/05/03	2019/09/30	12.50
59117	SG Issuer – HSI R Bull CBBC Nov 2021 H	250,000,000	2019/05/03	2021/11/29	62.50
59118	SG Issuer – HSI R Bull CBBC Nov 2021 I	250,000,000	2019/05/03	2021/11/29	62.50
59126	SG Issuer – HSI R Bull CBBC Nov 2021 J	250,000,000	2019/05/03	2021/11/29	62.50
59098	SG Issuer – HSI R Bull CBBC Dec 2021 A	250,000,000	2019/05/03	2021/12/30	62.50
59101	SG Issuer – HSI R Bull CBBC Dec 2021 B	250,000,000	2019/05/03	2021/12/30	62.50
59142	SG Issuer – HSI R Bear CBBC Aug 2019 K	250,000,000	2019/05/03	2019/08/29	62.50
59096	SG Issuer – HSI R Bear CBBC Sep 2019 M	250,000,000	2019/05/03	2019/09/27	62.50
59143	SG Issuer – HSI R Bear CBBC Sep 2019 W	250,000,000	2019/05/03	2019/09/27	62.50
59145	SG Issuer – HSI R Bear CBBC Sep 2019 X	250,000,000	2019/05/03	2019/09/27	62.50
59128	SG Issuer – Ping An R Bull CBBC Sep 2019 O	100,000,000	2019/05/03	2019/09/30	25.00
59115	SG Issuer – Ping An R Bull CBBC Oct 2019 X	100,000,000	2019/05/03	2019/10/18	25.00
59148	SG Issuer – Ping An R Bear CBBC Aug 2019 S	100,000,000	2019/05/03	2019/08/30	25.00
59116	SG Issuer – Tencent R Bull CBBC Aug 2019 E	80,000,000	2019/05/03	2019/08/28	20.00
59133	SG Issuer – Tencent R Bull CBBC Sep 2019 B	80,000,000	2019/05/03	2019/09/06	20.00
59137	SG Issuer – Tencent R Bull CBBC Sep 2019 C	80,000,000	2019/05/03	2019/09/23	20.00
59149	SG Issuer – Tencent R Bear CBBC Dec 2019 P	80,000,000	2019/05/03	2019/12/30	20.00
58938	UBS AG – HSI R Bull CBBC Sep 2021 K	200,000,000	2019/05/03	2021/09/29	50.00
58945	UBS AG – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/05/03	2021/09/29	50.00
58960	UBS AG – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/05/03	2021/09/29	50.00
58968	UBS AG – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/05/03	2021/09/29	50.00
58965	UBS AG – HSI R Bear CBBC Aug 2019 J	200,000,000	2019/05/03	2019/08/29	50.00
58966	UBS AG – HSI R Bear CBBC Aug 2019 K	200,000,000	2019/05/03	2019/08/29	50.00
58949	UBS AG – Tencent R Bull CBBC Oct 2019 G	200,000,000	2019/05/03	2019/10/21	50.00
58959	UBS AG – Tencent R Bear CBBC Oct 2019 D	200,000,000	2019/05/03	2019/10/21	50.00
59074	Bank Vontobel – CSPC Pharma R Bull CBBC Feb 2020 A	40,000,000	2019/05/03	2020/02/21	10.00
59077	Bank Vontobel – Geely Auto R Bull CBBC Dec 2019 F	40,000,000	2019/05/03	2019/12/13	10.00
59080	Bank Vontobel – Ping An R Bear CBBC Feb 2020 B	40,000,000	2019/05/03	2020/02/21	10.00
59083	Bank Vontobel – Tencent R Bull CBBC Dec 2019 F	40,000,000	2019/05/03	2019/12/20	10.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59183	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 G	240,000,000	2019/05/06	2021/10/28	60.00
59180	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 P	240,000,000	2019/05/06	2019/08/29	60.00
59242	Credit Suisse AG – HSI R Bull CBBC Jul 2021 W	200,000,000	2019/05/06	2021/07/29	50.00
59243	Credit Suisse AG – HSI R Bull CBBC Oct 2021 S	300,000,000	2019/05/06	2021/10/28	75.00
59244	Credit Suisse AG – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/05/06	2021/10/28	50.00
59230	Credit Suisse AG – HSI R Bear CBBC Aug 2019 L	200,000,000	2019/05/06	2019/08/29	50.00
59231	Credit Suisse AG – HSI R Bear CBBC Aug 2019 U	200,000,000	2019/05/06	2019/08/29	50.00
59234	Credit Suisse AG – HSI R Bear CBBC Nov 2019 F	300,000,000	2019/05/06	2019/11/28	75.00
59238	Credit Suisse AG – ICBC R Bull CBBC Jan 2020 D	70,000,000	2019/05/06	2020/01/30	17.50
59241	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 M	100,000,000	2019/05/06	2019/12/12	25.00
59237	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 Y	100,000,000	2019/05/06	2019/12/12	25.00
59222	Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Nov 2019 A	100,000,000	2019/05/06	2019/11/29	25.00
59229	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 D	100,000,000	2019/05/06	2019/09/30	25.00
59153	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/05/06	2021/09/29	50.00
59151	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 F	200,000,000	2019/05/06	2020/01/30	50.00
59152	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 G	200,000,000	2019/05/06	2020/01/30	50.00
59154	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 C	100,000,000	2019/05/06	2019/08/06	25.00
59228	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 A	80,000,000	2019/05/06	2019/12/30	20.00
59150	HK Bank – HSI R Bear CBBC Sep 2019 Y	80,000,000	2019/05/06	2019/09/27	20.00
59156	HK Bank – HSI R Bear CBBC Sep 2019 Z	80,000,000	2019/05/06	2019/09/27	20.00
59163	HK Bank – Ping An R Bear CBBC Oct 2019 C	50,000,000	2019/05/06	2019/10/14	12.50
59162	HK Bank – Tencent R Bull CBBC Sep 2019 M	50,000,000	2019/05/06	2019/09/09	12.50
59157	HK Bank – Tencent R Bear CBBC Sep 2019 T	40,000,000	2019/05/06	2019/09/30	10.00
59247	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 P	150,000,000	2019/05/06	2021/07/29	37.50
59248	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 L	150,000,000	2019/05/06	2021/09/29	37.50
59246	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 B	150,000,000	2019/05/06	2019/08/29	37.50
59251	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 C	150,000,000	2019/05/06	2019/08/29	37.50
59252	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 E	150,000,000	2019/05/06	2019/08/29	37.50
59167	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/05/06	2021/10/28	50.00
59169	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/05/06	2021/10/28	50.00
59171	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 E	200,000,000	2019/05/06	2019/09/27	50.00
59172	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 F	200,000,000	2019/05/06	2019/09/27	50.00
59174	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 G	200,000,000	2019/05/06	2019/09/27	50.00
59207	SG Issuer – China Mobile R Bull CBBC Nov 2019 G	100,000,000	2019/05/06	2019/11/28	25.00
59214	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 V	100,000,000	2019/05/06	2020/02/27	25.00
59212	SG Issuer – Geely Auto R Bear CBBC Feb 2020 A	150,000,000	2019/05/06	2020/02/28	37.50
59213	SG Issuer – HKEX R Bear CBBC Nov 2019 B	50,000,000	2019/05/06	2019/11/28	12.50
59218	SG Issuer – HSI R Bull CBBC Oct 2021 H	250,000,000	2019/05/06	2021/10/28	62.50
59219	SG Issuer – HSI R Bull CBBC Oct 2021 I	250,000,000	2019/05/06	2021/10/28	62.50
59202	SG Issuer – HSI R Bull CBBC Nov 2021 K	250,000,000	2019/05/06	2021/11/29	62.50
59217	SG Issuer – HSI R Bear CBBC Sep 2019 G	250,000,000	2019/05/06	2019/09/27	62.50
59198	SG Issuer – HSI R Bear CBBC Sep 2019 Y	250,000,000	2019/05/06	2019/09/27	62.50
59215	SG Issuer – HSI R Bear CBBC Oct 2019 U	250,000,000	2019/05/06	2019/10/30	62.50
59196	SG Issuer – Ping An R Bull CBBC Oct 2019 P	100,000,000	2019/05/06	2019/10/25	25.00
59220	SG Issuer – Tencent R Bull CBBC Aug 2019 I	80,000,000	2019/05/06	2019/08/29	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59221	SG Issuer – Tencent R Bull CBBC Aug 2019 K	80,000,000	2019/05/06	2019/08/30	20.00
59175	UBS AG – HSI R Bull CBBC Sep 2021 O	200,000,000	2019/05/06	2021/09/29	50.00
59177	UBS AG – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/05/06	2021/09/29	50.00
59176	UBS AG – HSI R Bear CBBC Aug 2019 L	200,000,000	2019/05/06	2019/08/29	50.00
59178	UBS AG – Tencent R Bull CBBC Oct 2019 H	200,000,000	2019/05/06	2019/10/30	50.00
59195	Bank Vontobel – HSI R Bull CBBC Dec 2020 I	80,000,000	2019/05/06	2020/12/30	20.00
59188	Bank Vontobel – HSI R Bear CBBC Feb 2020 C	80,000,000	2019/05/06	2020/02/27	20.00
59190	Bank Vontobel – HSI R Bear CBBC Feb 2020 D	80,000,000	2019/05/06	2020/02/27	20.00
59264	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 H	250,000,000	2019/05/07	2021/10/28	62.50
59320	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 I	250,000,000	2019/05/07	2021/10/28	62.50
59322	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 K	240,000,000	2019/05/07	2021/10/28	60.00
59321	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 Q	250,000,000	2019/05/07	2019/08/29	62.50
59323	BNP Paribas Issuance B.V. – HSI R Bear CBBC Aug 2019 R	240,000,000	2019/05/07	2019/08/29	60.00
59258	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 G	50,000,000	2019/05/07	2019/12/30	12.50
59259	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 H	50,000,000	2019/05/07	2020/01/30	12.50
59377	Credit Suisse AG – CM Bank R Bull CBBC Dec 2019 O	70,000,000	2019/05/07	2019/12/20	17.50
59375	Credit Suisse AG – Galaxy Ent R Bull CBBC Dec 2019 E	50,000,000	2019/05/07	2019/12/20	12.50
59390	Credit Suisse AG – HSI R Bull CBBC Jul 2021 X	200,000,000	2019/05/07	2021/07/29	50.00
59386	Credit Suisse AG – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/05/07	2021/10/28	50.00
59393	Credit Suisse AG – HSI R Bull CBBC Oct 2021 V	300,000,000	2019/05/07	2021/10/28	75.00
59372	Credit Suisse AG – HSI R Bear CBBC Sep 2019 C	200,000,000	2019/05/07	2019/09/27	50.00
59373	Credit Suisse AG – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/05/07	2019/09/27	50.00
59379	Credit Suisse AG – HSI R Bear CBBC Nov 2019 G	300,000,000	2019/05/07	2019/11/28	75.00
59376	Credit Suisse AG – Ping An R Bull CBBC Nov 2019 U	80,000,000	2019/05/07	2019/11/29	20.00
59374	Credit Suisse AG – Tencent R Bear CBBC Nov 2019 T	100,000,000	2019/05/07	2019/11/29	25.00
59371	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2019C	100,000,000	2019/05/07	2019/12/30	25.00
59368	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 E	200,000,000	2019/05/07	2021/09/29	50.00
59364	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 K	200,000,000	2019/05/07	2021/09/29	50.00
59370	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/05/07	2021/09/29	50.00
59257	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 H	200,000,000	2019/05/07	2020/01/30	50.00
59363	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 I	200,000,000	2019/05/07	2020/01/30	50.00
59362	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 D	100,000,000	2019/05/07	2019/10/28	25.00
59360	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 D	100,000,000	2019/05/07	2019/08/07	25.00
59361	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 E	100,000,000	2019/05/07	2019/08/07	25.00
59275	HK Bank – Galaxy Ent R Bull CBBC Nov 2019 E	60,000,000	2019/05/07	2019/11/21	15.00
59269	HK Bank – HSI R Bull CBBC Jul 2020 J	80,000,000	2019/05/07	2020/07/30	20.00
59268	HK Bank – HSI R Bear CBBC Sep 2019 B	150,000,000	2019/05/07	2019/09/27	37.50
59274	HK Bank – Ping An R Bull CBBC Oct 2019 H	60,000,000	2019/05/07	2019/10/31	15.00
59273	HK Bank – Sands China R Bear CBBC Nov 2019 B	40,000,000	2019/05/07	2019/11/18	10.00
59272	HK Bank – Tencent R Bull CBBC Oct 2019 K	50,000,000	2019/05/07	2019/10/21	12.50
59335	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 M	150,000,000	2019/05/07	2021/09/29	37.50
59336	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 N	150,000,000	2019/05/07	2021/09/29	37.50
59298	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 F	150,000,000	2019/05/07	2019/08/29	37.50
59334	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 G	150,000,000	2019/05/07	2019/08/29	37.50
59337	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 H	150,000,000	2019/05/07	2019/08/29	37.95

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59301	Haitong Int'l Sec – Meituan Dianping R Bull CBBC Sep 2019 G	80,000,000	2019/05/07	2019/09/20	20.00
59302	Haitong Int'l Sec – Meituan Dianping R Bear CBBC Oct 2019 B	30,000,000	2019/05/07	2019/10/09	10.05
59304	Haitong Int'l Sec – Meituan Dianping R Bear CBBC Oct 2019 C	30,000,000	2019/05/07	2019/10/09	10.05
59299	Haitong Int'l Sec – Ping An R Bull CBBC Oct 2019 I	50,000,000	2019/05/07	2019/10/22	12.50
59314	J P Morgan SP BV – HKEX R Bull CBBC Aug 2019 D	40,000,000	2019/05/07	2019/08/09	10.00
59315	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/05/07	2021/10/28	50.00
59316	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/05/07	2021/10/28	50.00
59317	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/05/07	2021/10/28	50.00
59305	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 H	200,000,000	2019/05/07	2019/09/27	50.00
59306	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 I	200,000,000	2019/05/07	2019/09/27	50.00
59318	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 J	200,000,000	2019/05/07	2019/09/27	50.00
59313	J P Morgan SP BV – Ping An R Bull CBBC Sep 2019 A	100,000,000	2019/05/07	2019/09/13	25.00
59309	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 F	250,000,000	2019/05/07	2019/09/20	62.50
59310	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 G	250,000,000	2019/05/07	2019/09/13	62.50
59307	J P Morgan SP BV – Tencent R Bear CBBC Aug 2019 P	50,000,000	2019/05/07	2019/08/09	12.50
59276	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 K	40,000,000	2019/05/07	2019/08/30	10.08
59278	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 L	40,000,000	2019/05/07	2019/08/30	10.16
59350	SG Issuer – Conch Cement R Bull CBBC Dec 2019 D	80,000,000	2019/05/07	2019/12/18	20.00
59341	SG Issuer – Geely Auto R Bull CBBC Jul 2020 A	150,000,000	2019/05/07	2020/07/10	37.50
59345	SG Issuer – Galaxy Ent R Bull CBBC Nov 2019 I	100,000,000	2019/05/07	2019/11/28	25.00
59344	SG Issuer – Galaxy Ent R Bear CBBC Oct 2019 E	100,000,000	2019/05/07	2019/10/30	25.00
59355	SG Issuer – HSI R Bull CBBC Oct 2021 J	250,000,000	2019/05/07	2021/10/28	62.50
59357	SG Issuer – HSI R Bull CBBC Nov 2021 L	250,000,000	2019/05/07	2021/11/29	62.50
59359	SG Issuer – HSI R Bull CBBC Dec 2021 C	250,000,000	2019/05/07	2021/12/30	62.50
59339	SG Issuer – HSI R Bear CBBC Aug 2019 G	250,000,000	2019/05/07	2019/08/29	62.50
59338	SG Issuer – HSI R Bear CBBC Aug 2019 M	250,000,000	2019/05/07	2019/08/29	62.50
59340	SG Issuer – HSI R Bear CBBC Oct 2019 V	250,000,000	2019/05/07	2019/10/30	62.50
59354	SG Issuer – HSI R Bear CBBC Oct 2019 W	250,000,000	2019/05/07	2019/10/30	62.50
59351	SG Issuer – Ping An R Bull CBBC Nov 2019 V	100,000,000	2019/05/07	2019/11/06	25.00
59342	SG Issuer – Sunny Optical R Bear CBBC Nov 2019 A	40,000,000	2019/05/07	2019/11/28	10.00
59352	SG Issuer – Tencent R Bull CBBC Aug 2019 L	80,000,000	2019/05/07	2019/08/13	20.00
59353	SG Issuer – Tencent R Bull CBBC Sep 2019 D	80,000,000	2019/05/07	2019/09/18	20.00
59347	SG Issuer – Tencent R Bear CBBC Nov 2019 N	80,000,000	2019/05/07	2019/11/21	20.00
59349	SG Issuer – Tencent R Bear CBBC Nov 2019 Q	80,000,000	2019/05/07	2019/11/28	30.40
59343	SG Issuer – Tencent R Bear CBBC Dec 2019 S	80,000,000	2019/05/07	2019/12/30	20.00
59290	UBS AG – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/05/07	2021/09/29	50.00
59295	UBS AG – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/05/07	2021/09/29	50.00
59279	UBS AG – HSI R Bear CBBC Aug 2019 M	200,000,000	2019/05/07	2019/08/29	50.00
59280	UBS AG – HSI R Bear CBBC Aug 2019 N	200,000,000	2019/05/07	2019/08/29	50.00
59282	UBS AG – HSI R Bear CBBC Aug 2019 O	200,000,000	2019/05/07	2019/08/29	50.00
59297	UBS AG – Tencent R Bull CBBC Nov 2019 D	200,000,000	2019/05/07	2019/11/11	50.00
59333	Bank Vontobel – CKH R Bull CBBC Dec 2019 D	40,000,000	2019/05/07	2019/12/13	10.00
59326	Bank Vontobel – PetroChina R Bull CBBC Dec 2019 B	40,000,000	2019/05/07	2019/12/13	10.00
59325	Bank Vontobel – Ping An R Bull CBBC Dec 2019 E	40,000,000	2019/05/07	2019/12/13	10.00
59328	Bank Vontobel – Sands China R Bull CBBC Dec 2019 C	40,000,000	2019/05/07	2019/12/13	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59324	Bank Vontobel – Tencent R Bear CBBC Dec 2019 F	40,000,000	2019/05/07	2019/12/13	10.00
59398	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Dec19 F	60,000,000	2019/05/08	2019/12/30	15.00
59399	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct19 H	60,000,000	2019/05/08	2019/10/30	15.00
59395	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 P	50,000,000	2019/05/08	2019/11/28	12.50
59419	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 E	240,000,000	2019/05/08	2021/10/28	60.00
59415	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 A	250,000,000	2019/05/08	2019/09/27	62.50
59416	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 B	250,000,000	2019/05/08	2019/09/27	62.50
59417	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 C	250,000,000	2019/05/08	2019/09/27	62.50
59418	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 D	240,000,000	2019/05/08	2019/09/27	60.00
59394	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Apr 2020 A	200,000,000	2019/05/08	2020/04/29	50.00
59426	Credit Suisse AG – HKEX R Bear CBBC Dec 2019 I	100,000,000	2019/05/08	2019/12/30	25.00
59420	Credit Suisse AG – HSI R Bull CBBC Sep 2021 B	200,000,000	2019/05/08	2021/09/29	50.00
59422	Credit Suisse AG – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/05/08	2021/09/29	50.00
59424	Credit Suisse AG – HSI R Bull CBBC Sep 2021 O	200,000,000	2019/05/08	2021/09/29	50.00
59427	Credit Suisse AG – HSI R Bear CBBC Oct 2019 I	200,000,000	2019/05/08	2019/10/30	50.00
59466	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/05/08	2021/09/29	50.00
59464	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/05/08	2021/10/28	50.00
59468	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 J	200,000,000	2019/05/08	2020/01/30	50.00
59470	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 E	100,000,000	2019/05/08	2019/10/30	25.00
59463	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 O	150,000,000	2019/05/08	2021/09/29	37.50
59462	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 Q	150,000,000	2019/05/08	2021/10/28	37.50
59459	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 U	150,000,000	2019/05/08	2021/10/28	37.50
59460	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 I	150,000,000	2019/05/08	2019/08/29	37.50
59461	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 J	150,000,000	2019/05/08	2019/08/29	37.50
59408	J P Morgan SP BV – HKEX R Bear CBBC Sep 2019 B	40,000,000	2019/05/08	2019/09/13	10.00
59410	J P Morgan SP BV – HKEX R Bear CBBC Nov 2019 A	200,000,000	2019/05/08	2019/11/08	50.00
59405	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/05/08	2021/10/28	50.00
59406	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/05/08	2021/10/28	50.00
59411	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 K	200,000,000	2019/05/08	2019/09/27	50.00
59412	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 L	200,000,000	2019/05/08	2019/09/27	50.00
59407	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 C	250,000,000	2019/05/08	2019/09/13	62.50
59440	SG Issuer – ABC R Bull CBBC Jul 2020 A	100,000,000	2019/05/08	2020/07/20	25.00
59442	SG Issuer – CKH R Bull CBBC Jun 2020 A	100,000,000	2019/05/08	2020/06/29	25.00
59435	SG Issuer – CSPC Pharma R Bull CBBC Jun 2020 A	150,000,000	2019/05/08	2020/06/29	37.50
59436	SG Issuer – HKEX R Bull CBBC Sep 2019 R	50,000,000	2019/05/08	2019/09/30	12.50
59439	SG Issuer – HSI R Bull CBBC Nov 2021 M	250,000,000	2019/05/08	2021/11/29	62.50
59448	SG Issuer – HSI R Bull CBBC Dec 2021 D	250,000,000	2019/05/08	2021/12/30	62.50
59449	SG Issuer – HSI R Bull CBBC Dec 2021 E	250,000,000	2019/05/08	2021/12/30	62.50
59451	SG Issuer – HSI R Bear CBBC Aug 2019 P	250,000,000	2019/05/08	2019/08/29	62.50
59452	SG Issuer – HSI R Bear CBBC Oct 2019 X	250,000,000	2019/05/08	2019/10/30	62.50
59454	SG Issuer – Meituan Dianping R Bull CBBC Dec 2019 C	80,000,000	2019/05/08	2019/12/10	20.00
59453	SG Issuer – Meituan Dianping R Bear CBBC Dec 2019 A	40,000,000	2019/05/08	2019/12/06	10.00
59455	SG Issuer – Meituan Dianping R Bear CBBC Dec 2019 B	40,000,000	2019/05/08	2019/12/23	10.00
59443	SG Issuer – Ping An R Bull CBBC Oct 2019 U	100,000,000	2019/05/08	2019/10/31	25.00
59437	SG Issuer – Ping An R Bear CBBC Aug 2019 T	100,000,000	2019/05/08	2019/08/28	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59444	SG Issuer – Sands China R Bear CBBC Dec 2019 A	80,000,000	2019/05/08	2019/12/30	20.00
59438	SG Issuer – Tencent R Bull CBBC Aug 2019 Z	80,000,000	2019/05/08	2019/08/28	20.00
59457	SG Issuer – Tencent R Bull CBBC Sep 2019 E	80,000,000	2019/05/08	2019/09/30	20.00
59447	SG Issuer – Tencent R Bear CBBC Oct 2019 W	80,000,000	2019/05/08	2019/10/31	20.00
59400	UBS AG – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/05/08	2021/09/29	50.00
59401	UBS AG – HSI R Bull CBBC Sep 2021 T	200,000,000	2019/05/08	2021/09/29	50.00
59402	UBS AG – HSI R Bear CBBC Aug 2019 P	200,000,000	2019/05/08	2019/08/29	50.00
59403	UBS AG – HSI R Bear CBBC Aug 2019 Q	200,000,000	2019/05/08	2019/08/29	50.00
59404	UBS AG – HSI R Bear CBBC Aug 2019 R	200,000,000	2019/05/08	2019/08/29	50.00
59428	Bank Vontobel – HKEX R Bull CBBC Dec 2019 B	40,000,000	2019/05/08	2019/12/13	10.00
59433	Bank Vontobel – HSI N Bull CBBC Dec 2020 J	80,000,000	2019/05/08	2020/12/30	20.00
59429	Bank Vontobel – Meituan Dianping R Bull CBBC Dec 2019 E	40,000,000	2019/05/08	2019/12/13	10.00
59550	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 H	50,000,000	2019/05/09	2020/07/30	12.50
59554	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 J	250,000,000	2019/05/09	2021/10/28	62.50
59555	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 M	250,000,000	2019/05/09	2021/10/28	62.50
59472	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 E	250,000,000	2019/05/09	2019/09/27	62.50
59473	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 F	250,000,000	2019/05/09	2019/09/27	62.50
59543	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 G	240,000,000	2019/05/09	2019/09/27	60.00
59548	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 F	200,000,000	2019/05/09	2019/11/28	50.00
59545	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 H	50,000,000	2019/05/09	2019/12/30	12.50
59535	Credit Suisse AG – AIA R Bull CBBC Jan 2020 B	70,000,000	2019/05/09	2020/01/30	17.50
59536	Credit Suisse AG – AIA R Bull CBBC Jan 2020 C	70,000,000	2019/05/09	2020/01/24	17.50
59538	Credit Suisse AG – AIA R Bear CBBC Jan 2020 A	70,000,000	2019/05/09	2020/01/30	17.50
59534	Credit Suisse AG – Geely Auto R Bear CBBC Jan 2020 F	80,000,000	2019/05/09	2020/01/24	20.00
59532	Credit Suisse AG – HSBC R Bull CBBC Dec 2019 F	70,000,000	2019/05/09	2019/12/30	17.50
59539	Credit Suisse AG – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/05/09	2021/09/29	50.00
59540	Credit Suisse AG – HSI R Bull CBBC Oct 2021 W	300,000,000	2019/05/09	2021/10/28	75.00
59531	Credit Suisse AG – HSI R Bear CBBC Sep 2019 S	200,000,000	2019/05/09	2019/09/27	50.00
59530	Credit Suisse AG – HSI R Bear CBBC Nov 2019 H	300,000,000	2019/05/09	2019/11/28	75.00
59533	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 Y	70,000,000	2019/05/09	2019/12/13	17.50
59482	Bank of East Asia – China Life R Bull CBBC Dec 2019 B	100,000,000	2019/05/09	2019/12/06	25.00
59475	Bank of East Asia – Geely Auto R Bear CBBC Oct 2019 C	30,000,000	2019/05/09	2019/10/25	10.50
59480	Bank of East Asia – Galaxy Ent R Bear CBBC Dec 2019 E	100,000,000	2019/05/09	2019/12/06	25.00
59479	Bank of East Asia – HKEX R Bull CBBC Dec 2019 C	100,000,000	2019/05/09	2019/12/06	25.00
59476	Bank of East Asia – Tencent R Bull CBBC Aug 2019 W	30,000,000	2019/05/09	2019/08/28	10.50
59478	Bank of East Asia – Tencent R Bull CBBC Dec 2019 G	150,000,000	2019/05/09	2019/12/06	37.50
59477	Bank of East Asia – Tencent R Bear CBBC Oct 2019 B	30,000,000	2019/05/09	2019/10/25	10.50
59561	Goldman Sachs SP (Asia) – AIA R Bull CBBC Oct 2019 B	100,000,000	2019/05/09	2019/10/10	25.00
59563	Goldman Sachs SP (Asia) – AIA R Bear CBBC Aug 2019 A	80,000,000	2019/05/09	2019/08/09	20.00
59568	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 E	100,000,000	2019/05/09	2019/09/27	25.00
59566	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/05/09	2021/09/29	50.00
59567	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 O	200,000,000	2019/05/09	2021/09/29	50.00
59484	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 K	200,000,000	2019/05/09	2020/01/30	50.00
59551	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 L	200,000,000	2019/05/09	2020/01/30	50.00
59560	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 F	100,000,000	2019/05/09	2019/10/25	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59558	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 F	100,000,000	2019/05/09	2019/08/09	25.00
59552	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 E	80,000,000	2019/05/09	2019/08/09	20.00
59486	HK Bank – ABC R Bull CBBC Feb 2020 A	60,000,000	2019/05/09	2020/02/03	15.00
59488	HK Bank – CKH R Bull CBBC Feb 2020 A	40,000,000	2019/05/09	2020/02/03	10.00
59489	HK Bank – HKEX R Bull CBBC Nov 2019 F	50,000,000	2019/05/09	2019/11/18	12.50
59487	HK Bank – HSCEI ETF R Bull CBBC Feb 2020 A	40,000,000	2019/05/09	2020/02/03	10.00
59491	HK Bank – HSI R Bull CBBC Jul 2020 K	80,000,000	2019/05/09	2020/07/30	20.00
59485	HK Bank – HSI R Bear CBBC Sep 2019 C	80,000,000	2019/05/09	2019/09/27	20.00
59490	HK Bank – Tencent R Bull CBBC Nov 2019 I	50,000,000	2019/05/09	2019/11/25	12.50
59527	Haitong Int'l Sec – CC Bank R Bull CBBC Oct 2019 A	60,000,000	2019/05/09	2019/10/25	15.00
59528	Haitong Int'l Sec – CC Bank R Bear CBBC Dec 2019 A	60,000,000	2019/05/09	2019/12/10	15.00
59503	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 P	150,000,000	2019/05/09	2021/09/29	37.50
59507	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 Q	150,000,000	2019/05/09	2021/09/29	37.50
59505	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 O	150,000,000	2019/05/09	2021/10/28	37.50
59498	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 K	150,000,000	2019/05/09	2019/08/29	37.50
59499	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 L	150,000,000	2019/05/09	2019/08/29	37.50
59501	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 M	150,000,000	2019/05/09	2019/08/29	37.50
59526	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 E	40,000,000	2019/05/09	2019/11/11	10.00
59522	J P Morgan SP BV – HKEX R Bull CBBC Sep 2019 C	40,000,000	2019/05/09	2019/09/13	10.00
59509	J P Morgan SP BV – HKEX R Bear CBBC Oct 2019 E	200,000,000	2019/05/09	2019/10/18	50.00
59512	J P Morgan SP BV – HSCEI R Bear CBBC Nov 2019 D	100,000,000	2019/05/09	2019/11/28	25.00
59523	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/05/09	2021/10/28	50.00
59524	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/05/09	2021/10/28	50.00
59525	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/05/09	2021/10/28	50.00
59514	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 D	200,000,000	2019/05/09	2019/08/29	50.00
59510	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 M	200,000,000	2019/05/09	2019/09/27	50.00
59511	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 N	200,000,000	2019/05/09	2019/09/27	50.00
59516	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 H	50,000,000	2019/05/09	2019/09/13	12.50
59517	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 I	250,000,000	2019/05/09	2019/09/20	62.50
59518	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 D	250,000,000	2019/05/09	2019/09/13	62.50
59589	SG Issuer – AIA R Bull CBBC Oct 2019 P	60,000,000	2019/05/09	2019/10/18	15.00
59575	SG Issuer – AIA R Bear CBBC Nov 2019 B	60,000,000	2019/05/09	2019/11/28	15.00
59577	SG Issuer – China Life R Bull CBBC Feb 2020 A	150,000,000	2019/05/09	2020/02/18	37.50
59601	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 W	100,000,000	2019/05/09	2020/02/27	25.00
59604	SG Issuer – A50 China R Bull CBBC Mar 2020 E	80,000,000	2019/05/09	2020/03/30	20.00
59574	SG Issuer – Geely Auto R Bull CBBC Jul 2020 B	150,000,000	2019/05/09	2020/07/30	37.50
59592	SG Issuer – Galaxy Ent R Bull CBBC Nov 2019 J	100,000,000	2019/05/09	2019/11/11	25.00
59578	SG Issuer – HKEX R Bull CBBC Oct 2019 M	50,000,000	2019/05/09	2019/10/22	12.50
59594	SG Issuer – HSBC R Bull CBBC Nov 2019 L	100,000,000	2019/05/09	2019/11/29	25.00
59602	SG Issuer – HSCEI ETF R Bull CBBC Jul 2020 A	100,000,000	2019/05/09	2020/07/30	25.00
59605	SG Issuer – HSI R Bull CBBC Oct 2021 K	250,000,000	2019/05/09	2021/10/28	62.50
59607	SG Issuer – HSI R Bull CBBC Nov 2021 N	250,000,000	2019/05/09	2021/11/29	62.50
59609	SG Issuer – HSI R Bull CBBC Nov 2021 O	250,000,000	2019/05/09	2021/11/29	62.50
59569	SG Issuer – HSI R Bear CBBC Aug 2019 S	250,000,000	2019/05/09	2019/08/29	62.50
59586	SG Issuer – HSI R Bear CBBC Aug 2019 Z	250,000,000	2019/05/09	2019/08/29	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59571	SG Issuer – HSI R Bear CBBC Sep 2019 L	250,000,000	2019/05/09	2019/09/27	62.50
59612	SG Issuer – HSI R Bear CBBC Oct 2019 Y	250,000,000	2019/05/09	2019/10/30	62.50
59572	SG Issuer – HSI R Bear CBBC Nov 2019 H	500,000,000	2019/05/09	2019/11/28	125.00
59579	SG Issuer – Ping An R Bull CBBC Nov 2019 W	100,000,000	2019/05/09	2019/11/28	25.00
59596	SG Issuer – Tencent R Bull CBBC Aug 2019 H	80,000,000	2019/05/09	2019/08/19	20.00
59580	SG Issuer – Tencent R Bear CBBC Nov 2019 O	80,000,000	2019/05/09	2019/11/28	40.00
59585	SG Issuer – Tencent R Bear CBBC Dec 2019 Q	80,000,000	2019/05/09	2019/12/30	20.00
59496	UBS AG – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/05/09	2021/10/28	50.00
59497	UBS AG – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/05/09	2021/10/28	50.00
59493	UBS AG – HSI R Bear CBBC Aug 2019 S	200,000,000	2019/05/09	2019/08/29	50.00
59494	UBS AG – HSI R Bear CBBC Aug 2019 T	200,000,000	2019/05/09	2019/08/29	50.00
59495	UBS AG – HSI R Bear CBBC Aug 2019 U	200,000,000	2019/05/09	2019/08/29	50.00
59557	Bank Vontobel – Geely Auto R Bear CBBC Dec 2019 E	100,000,000	2019/05/09	2019/12/20	25.00
59621	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 C	200,000,000	2019/05/10	2019/12/20	50.80
59617	BOCI Asia Ltd. – HSBC R Bull CBBC Nov 2019 A	70,000,000	2019/05/10	2019/11/11	17.50
59618	BOCI Asia Ltd. – HSBC R Bear CBBC Nov 2019 A	70,000,000	2019/05/10	2019/11/11	17.85
59623	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 A	200,000,000	2019/05/10	2019/12/20	50.00
59664	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Mar 2020 A	150,000,000	2019/05/10	2020/03/30	37.50
59616	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 F	250,000,000	2019/05/10	2021/10/28	62.50
59701	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 L	250,000,000	2019/05/10	2021/10/28	62.50
59702	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 N	240,000,000	2019/05/10	2021/10/28	60.00
59614	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 H	250,000,000	2019/05/10	2019/09/27	62.50
59703	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 I	240,000,000	2019/05/10	2019/09/27	60.00
59665	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 G	200,000,000	2019/05/10	2019/11/28	50.00
59666	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 H	200,000,000	2019/05/10	2019/11/28	50.00
59667	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 I	50,000,000	2019/05/10	2020/01/30	12.50
59769	Credit Suisse AG – Conch Cement R Bull CBBC Jan 2020 A	50,000,000	2019/05/10	2020/01/30	12.50
59770	Credit Suisse AG – ABC R Bull CBBC Jan 2020 B	70,000,000	2019/05/10	2020/01/30	17.50
59766	Credit Suisse AG – AIA R Bull CBBC Dec 2019 Q	70,000,000	2019/05/10	2019/12/30	17.50
59767	Credit Suisse AG – CKH R Bull CBBC Jan 2020 A	50,000,000	2019/05/10	2020/01/30	12.50
59773	Credit Suisse AG – HSBC R Bull CBBC Jan 2020 A	70,000,000	2019/05/10	2020/01/30	17.50
59774	Credit Suisse AG – HSBC R Bear CBBC Dec 2019 D	70,000,000	2019/05/10	2019/12/30	17.50
59779	Credit Suisse AG – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/05/10	2021/09/29	50.00
59782	Credit Suisse AG – HSI R Bull CBBC Oct 2021 X	300,000,000	2019/05/10	2021/10/28	75.00
59760	Credit Suisse AG – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/05/10	2019/09/27	50.00
59776	Credit Suisse AG – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/05/10	2019/09/27	50.00
59761	Credit Suisse AG – HSI R Bear CBBC Nov 2019 I	300,000,000	2019/05/10	2019/11/28	75.00
59772	Credit Suisse AG – Meituan Dianping R Bull CBBC Jan 2020 A	40,000,000	2019/05/10	2020/01/30	10.00
59771	Credit Suisse AG – Sands China R Bull CBBC Jan 2020 B	50,000,000	2019/05/10	2020/01/30	12.50
59764	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 N	100,000,000	2019/05/10	2019/12/19	25.00
59652	Bank of East Asia – CKH R Bull CBBC May 2020 A	40,000,000	2019/05/10	2020/05/06	10.00
59654	Bank of East Asia – CKH R Bull CBBC May 2020 B	40,000,000	2019/05/10	2020/05/06	10.00
59636	Bank of East Asia – HSBC R Bull CBBC Dec 2019 A	40,000,000	2019/05/10	2019/12/06	10.00
59647	Bank of East Asia – HSBC R Bull CBBC Dec 2019 B	40,000,000	2019/05/10	2019/12/06	10.00
59660	Bank of East Asia – Tencent R Bull CBBC Dec 2019 H	150,000,000	2019/05/10	2019/12/06	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59635	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 F	100,000,000	2019/05/10	2019/09/30	25.00
59628	Goldman Sachs SP (Asia) – HSBC R Bull CBBC Nov 2019 A	100,000,000	2019/05/10	2019/11/28	25.00
59630	Goldman Sachs SP (Asia) – HSBC R Bear CBBC Sep 2019 B	80,000,000	2019/05/10	2019/09/30	20.00
59624	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/05/10	2021/09/29	50.00
59757	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/05/10	2021/09/29	50.00
59626	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 M	200,000,000	2019/05/10	2020/01/30	50.00
59625	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 G	100,000,000	2019/05/10	2019/08/12	25.00
59674	HK Bank – Conch Cement R Bull CBBC Jan 2020 A	40,000,000	2019/05/10	2020/01/06	10.00
59678	HK Bank – ABC R Bull CBBC Jan 2020 A	60,000,000	2019/05/10	2020/01/13	15.00
59675	HK Bank – AIA R Bull CBBC Nov 2019 D	60,000,000	2019/05/10	2019/11/18	15.00
59668	HK Bank – CKH R Bear CBBC Jan 2020 A	40,000,000	2019/05/10	2020/01/06	10.00
59671	HK Bank – HSI R Bull CBBC Jul 2020 L	150,000,000	2019/05/10	2020/07/30	37.50
59670	HK Bank – HSI R Bear CBBC Sep 2019 D	80,000,000	2019/05/10	2019/09/27	20.00
59677	HK Bank – Ping An R Bull CBBC Nov 2019 F	60,000,000	2019/05/10	2019/11/14	15.00
59748	Haitong Int'l Sec – HSBC R Bull CBBC Oct 2019 A	60,000,000	2019/05/10	2019/10/25	15.00
59752	Haitong Int'l Sec – HSBC R Bear CBBC Sep 2019 A	60,000,000	2019/05/10	2019/09/04	15.00
59753	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 R	150,000,000	2019/05/10	2021/09/29	37.50
59742	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 R	150,000,000	2019/05/10	2021/10/28	37.50
59756	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 S	150,000,000	2019/05/10	2021/10/28	37.50
59745	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 R	150,000,000	2019/05/10	2019/08/29	37.50
59747	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 S	150,000,000	2019/05/10	2019/08/29	37.50
59750	Haitong Int'l Sec – Ping An R Bull CBBC Oct 2019 J	40,000,000	2019/05/10	2019/10/28	10.00
59704	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/05/10	2021/10/28	50.00
59711	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/05/10	2021/10/28	50.00
59707	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 B	200,000,000	2019/05/10	2019/08/29	50.00
59705	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/05/10	2019/08/29	50.00
59710	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 J	50,000,000	2019/05/10	2019/09/13	12.50
59728	SG Issuer – Conch Cement R Bear CBBC Nov 2019 A	80,000,000	2019/05/10	2019/11/28	20.00
59727	SG Issuer – ABC R Bull CBBC Mar 2020 A	100,000,000	2019/05/10	2020/03/30	25.00
59729	SG Issuer – CKH R Bull CBBC Jan 2020 A	100,000,000	2019/05/10	2020/01/30	25.00
59731	SG Issuer – CKH R Bull CBBC Feb 2020 A	100,000,000	2019/05/10	2020/02/27	25.00
59735	SG Issuer – CKH R Bull CBBC Mar 2020 A	100,000,000	2019/05/10	2020/03/30	25.00
59730	SG Issuer – CKH R Bear CBBC Jan 2020 A	60,000,000	2019/05/10	2020/01/30	15.00
59738	SG Issuer – HSCEI ETF R Bull CBBC Jan 2020 A	100,000,000	2019/05/10	2020/01/30	25.00
59740	SG Issuer – HSCEI ETF R Bull CBBC Mar 2020 A	100,000,000	2019/05/10	2020/03/30	25.00
59736	SG Issuer – HSCEI ETF R Bear CBBC Dec 2019 A	100,000,000	2019/05/10	2019/12/30	25.00
59739	SG Issuer – HSCEI ETF R Bear CBBC Jan 2020 A	100,000,000	2019/05/10	2020/01/30	25.00
59717	SG Issuer – HSI R Bull CBBC Oct 2021 L	250,000,000	2019/05/10	2021/10/28	62.50
59722	SG Issuer – HSI R Bull CBBC Oct 2021 M	250,000,000	2019/05/10	2021/10/28	62.50
59719	SG Issuer – HSI R Bull CBBC Nov 2021 P	250,000,000	2019/05/10	2021/11/29	62.50
59720	SG Issuer – HSI R Bull CBBC Dec 2021 F	250,000,000	2019/05/10	2021/12/30	62.50
59721	SG Issuer – HSI R Bear CBBC Aug 2019 T	250,000,000	2019/05/10	2019/08/29	62.50
59715	SG Issuer – Tencent R Bull CBBC Aug 2019 A	80,000,000	2019/05/10	2019/08/22	20.00
59716	SG Issuer – Tencent R Bull CBBC Sep 2019 F	80,000,000	2019/05/10	2019/09/19	20.00
59689	UBS AG – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/05/10	2021/10/28	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59686	UBS AG – HSI R Bear CBBC Aug 2019 V	200,000,000	2019/05/10	2019/08/29	50.00
59688	UBS AG – HSI R Bear CBBC Sep 2019 B	400,000,000	2019/05/10	2019/09/27	100.00
59699	UBS AG – Meituan Dianping R Bull CBBC Dec 2019 A	50,000,000	2019/05/10	2019/12/23	12.50
59697	UBS AG – Sands China R Bull CBBC Mar 2020 A	100,000,000	2019/05/10	2020/03/16	25.00
59696	UBS AG – Sands China R Bull CBBC Apr 2020 A	100,000,000	2019/05/10	2020/04/20	25.00
59698	UBS AG – Sands China R Bear CBBC Dec 2019 A	100,000,000	2019/05/10	2019/12/23	25.00
59713	Bank Vontobel – AIA R Bull CBBC Dec 2019 D	40,000,000	2019/05/10	2019/12/13	10.00
59714	Bank Vontobel – AIA R Bear CBBC Jan 2020 B	40,000,000	2019/05/10	2020/01/17	10.00
59712	Bank Vontobel – HSBC R Bear CBBC Dec 2019 A	40,000,000	2019/05/10	2019/12/20	10.00
59923	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 D	200,000,000	2019/05/14	2019/12/23	50.60
59912	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 E	150,000,000	2019/05/14	2020/09/29	38.70
59914	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 C	150,000,000	2019/05/14	2019/08/29	37.50
59921	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 D	150,000,000	2019/05/14	2019/08/29	37.65
59928	BOCI Asia Ltd. – Ping An R Bull CBBC Nov 2019 B	70,000,000	2019/05/14	2019/11/25	17.57
59925	BOCI Asia Ltd. – Tencent R Bull CBBC Oct 2019 B	200,000,000	2019/05/14	2019/10/29	50.00
59821	BNP Paribas Issuance B.V. – CSOP A50 R Bull CBBC Dec 2019 G	60,000,000	2019/05/14	2019/12/30	15.00
59897	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct20 A	60,000,000	2019/05/14	2020/10/29	15.00
59896	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 I	50,000,000	2019/05/14	2020/07/30	12.50
59818	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2020 A	100,000,000	2019/05/14	2020/09/29	25.00
59819	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Sep 2020 B	100,000,000	2019/05/14	2020/09/29	25.00
59905	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Dec 2019 U	100,000,000	2019/05/14	2019/12/30	25.00
59910	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Dec 2019 V	100,000,000	2019/05/14	2019/12/30	25.00
59911	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Dec 2019 W	100,000,000	2019/05/14	2019/12/30	25.00
59790	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 O	250,000,000	2019/05/14	2021/10/28	62.50
59792	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 P	250,000,000	2019/05/14	2021/10/28	62.50
59793	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Q	250,000,000	2019/05/14	2021/10/28	62.50
59808	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 R	250,000,000	2019/05/14	2021/10/28	62.50
59809	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 S	250,000,000	2019/05/14	2021/10/28	62.50
59810	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 T	250,000,000	2019/05/14	2021/10/28	62.50
59812	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 U	250,000,000	2019/05/14	2021/10/28	62.50
59813	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 V	240,000,000	2019/05/14	2021/10/28	60.00
59814	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 W	240,000,000	2019/05/14	2021/10/28	60.00
59815	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 X	240,000,000	2019/05/14	2021/10/28	60.00
59841	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 J	250,000,000	2019/05/14	2019/09/27	62.50
59842	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 K	250,000,000	2019/05/14	2019/09/27	62.50
59844	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 L	250,000,000	2019/05/14	2019/09/27	62.50
59851	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 M	250,000,000	2019/05/14	2019/09/27	62.50
59854	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 N	250,000,000	2019/05/14	2019/09/27	62.50
59855	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 O	250,000,000	2019/05/14	2019/09/27	62.50
59857	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 P	250,000,000	2019/05/14	2019/09/27	62.50
60319	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 Q	240,000,000	2019/05/14	2019/09/27	60.00
59817	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 I	200,000,000	2019/05/14	2019/11/28	50.00
59816	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 I	50,000,000	2019/05/14	2019/12/30	12.50
59889	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 I	50,000,000	2019/05/14	2019/10/30	12.50
59891	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Oct 2019 J	50,000,000	2019/05/14	2019/10/30	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59890	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 J	50,000,000	2019/05/14	2019/11/28	12.50
59893	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 K	50,000,000	2019/05/14	2019/11/28	12.50
60532	Credit Suisse AG – Country Garden R Bull CBBC Apr 2020 A	80,000,000	2019/05/14	2020/04/28	20.00
60528	Credit Suisse AG – China Tower R Bull CBBC Feb 2020 A	50,000,000	2019/05/14	2020/02/27	12.50
60527	Credit Suisse AG – China Tower R Bull CBBC Jul 2020 A	100,000,000	2019/05/14	2020/07/30	25.00
60550	Credit Suisse AG – Geely Auto R Bear CBBC Feb 2020 A	80,000,000	2019/05/14	2020/02/27	20.00
60547	Credit Suisse AG – Galaxy Ent R Bear CBBC Dec 2019 F	50,000,000	2019/05/14	2019/12/20	12.50
60487	Credit Suisse AG – HSI R Bull CBBC Jul 2021 Y	200,000,000	2019/05/14	2021/07/29	50.00
60505	Credit Suisse AG – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/05/14	2021/09/29	50.00
60507	Credit Suisse AG – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/05/14	2021/09/29	50.00
60510	Credit Suisse AG – HSI R Bull CBBC Sep 2021 T	200,000,000	2019/05/14	2021/09/29	50.00
60498	Credit Suisse AG – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/05/14	2021/10/28	50.00
60538	Credit Suisse AG – HSI R Bull CBBC Oct 2021 G	300,000,000	2019/05/14	2021/10/28	75.00
60484	Credit Suisse AG – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/05/14	2021/10/28	50.00
60494	Credit Suisse AG – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/05/14	2021/10/28	50.00
60543	Credit Suisse AG – HSI R Bear CBBC Sep 2019 B	200,000,000	2019/05/14	2019/09/27	50.00
60639	Credit Suisse AG – HSI R Bear CBBC Sep 2019 D	200,000,000	2019/05/14	2019/09/27	50.00
60680	Credit Suisse AG – HSI R Bear CBBC Sep 2019 F	200,000,000	2019/05/14	2019/09/27	50.00
60636	Credit Suisse AG – HSI R Bear CBBC Oct 2019 J	200,000,000	2019/05/14	2019/10/30	50.00
60545	Credit Suisse AG – ICBC R Bull CBBC Feb 2020 A	70,000,000	2019/05/14	2020/02/27	17.50
60513	Credit Suisse AG – Ping An R Bull CBBC Nov 2019 V	80,000,000	2019/05/14	2019/11/28	20.00
60608	Credit Suisse AG – Ping An R Bear CBBC Dec 2019 L	60,000,000	2019/05/14	2019/12/12	15.00
60631	Credit Suisse AG – Tencent R Bull CBBC Nov 2019 X	100,000,000	2019/05/14	2019/11/28	25.00
60599	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 D	100,000,000	2019/05/14	2020/01/17	25.00
60531	Credit Suisse AG – Xiaomi R Bull CBBC Dec 2019 A	50,000,000	2019/05/14	2019/12/30	12.50
60529	Credit Suisse AG – Xiaomi R Bull CBBC Jun 2020 A	100,000,000	2019/05/14	2020/06/29	25.00
60185	Bank of East Asia – China Tower R Bull CBBC Dec 2019 A	40,000,000	2019/05/14	2019/12/31	10.00
60220	Bank of East Asia – Tencent R Bear CBBC Oct 2019 C	30,000,000	2019/05/14	2019/10/25	10.50
60200	Bank of East Asia – Xiaomi R Bull CBBC Dec 2019 A	40,000,000	2019/05/14	2019/12/31	10.00
60209	Bank of East Asia – Xiaomi R Bull CBBC Dec 2019 B	40,000,000	2019/05/14	2019/12/31	10.00
60211	Bank of East Asia – Xiaomi R Bear CBBC Dec 2019 A	40,000,000	2019/05/14	2019/12/31	10.00
61324	Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Dec 2019 D	40,000,000	2019/05/14	2019/12/30	10.00
61274	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Mar 2020 D	100,000,000	2019/05/14	2020/03/09	25.00
59837	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 G	100,000,000	2019/05/14	2019/09/30	25.00
61292	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Aug 2019 B	80,000,000	2019/05/14	2019/08/14	20.00
59825	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/05/14	2021/09/29	50.00
59838	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/05/14	2021/09/29	50.00
59840	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 T	200,000,000	2019/05/14	2021/09/29	50.00
59829	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/05/14	2021/10/28	50.00
59832	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/05/14	2021/10/28	50.00
59836	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/05/14	2021/10/28	50.00
61213	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 N	200,000,000	2019/05/14	2020/01/30	50.00
61241	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 O	200,000,000	2019/05/14	2020/01/30	50.00
61247	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 P	200,000,000	2019/05/14	2020/01/30	50.00
61261	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 Q	200,000,000	2019/05/14	2020/01/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61262	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 R	200,000,000	2019/05/14	2020/01/30	50.00
61270	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 S	200,000,000	2019/05/14	2020/01/30	50.00
59835	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 G	100,000,000	2019/05/14	2019/10/29	25.00
61285	Goldman Sachs SP (Asia) – Ping An R Bear CBBC Dec 2019 F	80,000,000	2019/05/14	2019/12/30	20.00
59826	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 H	100,000,000	2019/05/14	2019/08/14	25.00
59831	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 I	100,000,000	2019/05/14	2019/08/14	25.00
61294	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 B	80,000,000	2019/05/14	2019/12/30	20.00
61296	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 C	80,000,000	2019/05/14	2019/12/30	20.00
60033	HK Bank – Country Garden R Bull CBBC Nov 2019 B	40,000,000	2019/05/14	2019/11/11	10.00
60340	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2019 E	40,000,000	2019/05/14	2019/11/18	10.00
60347	HK Bank – A50 China R Bull CBBC Nov 2019 E	40,000,000	2019/05/14	2019/11/18	10.00
60036	HK Bank – Geely Auto R Bear CBBC Dec 2019 B	40,000,000	2019/05/14	2019/12/09	10.00
60028	HK Bank – HKEX R Bear CBBC Oct 2019 G	40,000,000	2019/05/14	2019/10/21	10.00
60021	HK Bank – HSCEI R Bear CBBC Sep 2019 F	50,000,000	2019/05/14	2019/09/27	12.50
59978	HK Bank – HSI R Bull CBBC Jul 2020 M	150,000,000	2019/05/14	2020/07/30	37.50
59992	HK Bank – HSI R Bull CBBC Jul 2020 N	80,000,000	2019/05/14	2020/07/30	20.00
59997	HK Bank – HSI R Bull CBBC Jul 2020 T	150,000,000	2019/05/14	2020/07/30	37.50
60013	HK Bank – HSI R Bear CBBC Sep 2019 A	80,000,000	2019/05/14	2019/09/27	20.00
60018	HK Bank – HSI R Bear CBBC Sep 2019 E	150,000,000	2019/05/14	2019/09/27	37.50
60014	HK Bank – HSI R Bear CBBC Oct 2019 U	150,000,000	2019/05/14	2019/10/30	37.50
60043	HK Bank – Sunny Optical R Bear CBBC Sep 2019 D	40,000,000	2019/05/14	2019/09/30	10.00
59981	HK Bank – Tencent R Bull CBBC Oct 2019 L	50,000,000	2019/05/14	2019/10/08	12.50
59982	HK Bank – Tencent R Bull CBBC Nov 2019 J	50,000,000	2019/05/14	2019/11/04	12.50
60010	HK Bank – Tencent R Bear CBBC Sep 2019 U	40,000,000	2019/05/14	2019/09/23	10.00
60007	HK Bank – Tencent R Bear CBBC Oct 2019 K	40,000,000	2019/05/14	2019/10/28	10.00
60393	Haitong Int'l Sec – AAC Tech R Bull CBBC Oct 2019 A	90,000,000	2019/05/14	2019/10/29	22.50
60394	Haitong Int'l Sec – Country Garden R Bull CBBC Oct 2019 A	40,000,000	2019/05/14	2019/10/28	10.00
60408	Haitong Int'l Sec – Country Garden R Bear CBBC Dec 2019 A	40,000,000	2019/05/14	2019/12/17	10.00
59885	Haitong Int'l Sec – CSOP A50 ETF R Bull CBBC Oct 2019 B	40,000,000	2019/05/14	2019/10/22	10.00
60351	Haitong Int'l Sec – China Tower R Bull CBBC Dec 2019 A	100,000,000	2019/05/14	2019/12/20	25.00
60362	Haitong Int'l Sec – China Tower R Bull CBBC Dec 2019 B	100,000,000	2019/05/14	2019/12/10	25.00
60398	Haitong Int'l Sec – China Tower R Bear CBBC Mar 2020 A	100,000,000	2019/05/14	2020/03/27	25.00
59887	Haitong Int'l Sec – A50 China R Bull CBBC Oct 2019 C	40,000,000	2019/05/14	2019/10/28	10.00
59860	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 Q	150,000,000	2019/05/14	2021/07/29	37.50
59880	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 R	150,000,000	2019/05/14	2021/07/29	37.50
59867	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 S	150,000,000	2019/05/14	2021/09/29	37.50
59876	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 T	150,000,000	2019/05/14	2021/09/29	37.50
59861	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 T	150,000,000	2019/05/14	2021/10/28	37.50
60304	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 A	150,000,000	2019/05/14	2019/08/29	37.50
60180	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 U	150,000,000	2019/05/14	2019/08/29	37.50
60294	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 W	150,000,000	2019/05/14	2019/08/29	37.50
60302	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 X	150,000,000	2019/05/14	2019/08/29	37.50
59883	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 M	40,000,000	2019/05/14	2019/10/28	10.00
60413	Haitong Int'l Sec – Tencent R Bull CBBC Dec 2019 A	40,000,000	2019/05/14	2019/12/11	10.00
60416	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 E	40,000,000	2019/05/14	2019/12/12	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60419	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 F	40,000,000	2019/05/14	2019/12/13	10.00
60424	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 G	40,000,000	2019/05/14	2019/12/13	10.00
60366	Haitong Int'l Sec – Xiaomi R Bull CBBC Oct 2019 A	40,000,000	2019/05/14	2019/10/30	10.00
60372	Haitong Int'l Sec – Xiaomi R Bull CBBC Oct 2019 B	40,000,000	2019/05/14	2019/10/30	10.00
60404	Haitong Int'l Sec – Xiaomi R Bear CBBC Apr 2020 A	40,000,000	2019/05/14	2020/04/06	10.00
60483	J P Morgan SP BV – China Tower R Bull CBBC Dec 2019 A	100,000,000	2019/05/14	2019/12/13	25.00
60093	J P Morgan SP BV – HKEX R Bull CBBC Oct 2019 E	200,000,000	2019/05/14	2019/10/18	50.00
60097	J P Morgan SP BV – HKEX R Bull CBBC Oct 2019 F	200,000,000	2019/05/14	2019/10/18	50.00
60066	J P Morgan SP BV – HKEX R Bull CBBC Nov 2019 A	200,000,000	2019/05/14	2019/11/08	50.00
60471	J P Morgan SP BV – HKEX R Bear CBBC Sep 2019 C	40,000,000	2019/05/14	2019/09/13	10.00
60467	J P Morgan SP BV – HSCEI R Bear CBBC Aug 2019 A	200,000,000	2019/05/14	2019/08/29	50.00
60477	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 H	200,000,000	2019/05/14	2019/08/29	50.00
60481	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 I	200,000,000	2019/05/14	2019/08/29	50.00
60478	J P Morgan SP BV – HSI R Bull CBBC Sep 2019 N	200,000,000	2019/05/14	2019/09/27	50.00
60138	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/05/14	2021/09/29	50.00
60102	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/05/14	2021/09/29	50.00
60130	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 X	200,000,000	2019/05/14	2021/09/29	50.00
60145	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/05/14	2021/09/29	50.00
60113	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/05/14	2021/10/28	50.00
60131	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/05/14	2021/10/28	50.00
60141	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/05/14	2021/10/28	50.00
60233	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/05/14	2019/08/29	50.00
60238	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 K	200,000,000	2019/05/14	2019/08/29	50.00
60236	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/05/14	2019/09/27	50.00
60249	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 P	200,000,000	2019/05/14	2019/09/27	50.00
60250	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/05/14	2019/09/27	50.00
60258	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 R	200,000,000	2019/05/14	2019/09/27	50.00
60287	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 S	200,000,000	2019/05/14	2019/09/27	50.00
60099	J P Morgan SP BV – Ping An R Bull CBBC Sep 2019 B	100,000,000	2019/05/14	2019/09/13	25.00
60046	J P Morgan SP BV – Tencent R Bull CBBC Aug 2019 V	50,000,000	2019/05/14	2019/08/16	12.50
60047	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 K	250,000,000	2019/05/14	2019/09/20	62.50
60059	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 L	250,000,000	2019/05/14	2019/09/13	62.50
60051	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 A	250,000,000	2019/05/14	2019/10/11	62.50
60168	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 E	50,000,000	2019/05/14	2019/09/13	12.50
60469	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 F	250,000,000	2019/05/14	2019/09/13	62.50
60470	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 G	250,000,000	2019/05/14	2019/09/20	62.50
60473	J P Morgan SP BV – Xiaomi R Bull CBBC Sep 2019 A	60,000,000	2019/05/14	2019/09/13	15.00
59975	Macquarie Bank Ltd. – Tencent R Bull CBBC Aug 2019 M	23,200,000	2019/05/14	2019/08/19	10.02
59974	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 Q	11,300,000	2019/05/14	2019/11/28	10.06
61127	SG Issuer – AAC Tech R Bull CBBC Dec 2019 B	40,000,000	2019/05/14	2019/12/30	10.00
61070	SG Issuer – AAC Tech R Bear CBBC Nov 2019 A	40,000,000	2019/05/14	2019/11/28	10.00
60860	SG Issuer – AIA R Bull CBBC Nov 2019 G	60,000,000	2019/05/14	2019/11/28	15.00
60880	SG Issuer – Bank of China R Bull CBBC Feb 2020 E	100,000,000	2019/05/14	2020/02/27	25.00
61159	SG Issuer – China Mobile R Bull CBBC Nov 2019 H	100,000,000	2019/05/14	2019/11/25	25.00
60882	SG Issuer – CC Bank R Bull CBBC Nov 2019 A	100,000,000	2019/05/14	2019/11/28	25.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60776	SG Issuer – China Life R Bull CBBC Feb 2020 B	40,000,000	2019/05/14	2020/02/17	10.00
61156	SG Issuer – CM Bank R Bull CBBC Feb 2020 I	100,000,000	2019/05/14	2020/02/27	25.00
60844	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 X	100,000,000	2019/05/14	2020/02/27	25.00
60848	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 L	100,000,000	2019/05/14	2020/03/30	25.00
61142	SG Issuer – China Tower R Bull CBBC Oct 2019 A	40,000,000	2019/05/14	2019/10/09	10.60
61144	SG Issuer – China Tower R Bull CBBC Oct 2019 B	40,000,000	2019/05/14	2019/10/28	10.00
60976	SG Issuer – A50 China R Bull CBBC Mar 2020 F	80,000,000	2019/05/14	2020/03/30	20.00
60898	SG Issuer – Geely Auto R Bull CBBC Aug 2020 A	150,000,000	2019/05/14	2020/08/05	37.50
60889	SG Issuer – Geely Auto R Bear CBBC Feb 2020 B	150,000,000	2019/05/14	2020/02/17	37.50
60884	SG Issuer – Galaxy Ent R Bear CBBC Nov 2019 F	100,000,000	2019/05/14	2019/11/28	25.00
60777	SG Issuer – HKEX R Bull CBBC Oct 2019 N	50,000,000	2019/05/14	2019/10/23	12.50
60911	SG Issuer – HSBC R Bear CBBC Sep 2019 B	100,000,000	2019/05/14	2019/09/27	25.00
60996	SG Issuer – HSCEI R Bull CBBC Oct 2020 M	100,000,000	2019/05/14	2020/10/29	25.00
60696	SG Issuer – HSI R Bull CBBC Oct 2021 N	250,000,000	2019/05/14	2021/10/28	62.50
60717	SG Issuer – HSI R Bull CBBC Oct 2021 O	250,000,000	2019/05/14	2021/10/28	62.50
60721	SG Issuer – HSI R Bull CBBC Oct 2021 P	250,000,000	2019/05/14	2021/10/28	62.50
60736	SG Issuer – HSI R Bull CBBC Nov 2021 Q	250,000,000	2019/05/14	2021/11/29	62.50
60758	SG Issuer – HSI R Bull CBBC Nov 2021 R	250,000,000	2019/05/14	2021/11/29	62.50
61024	SG Issuer – HSI R Bull CBBC Nov 2021 S	250,000,000	2019/05/14	2021/11/29	62.50
61032	SG Issuer – HSI R Bull CBBC Nov 2021 T	250,000,000	2019/05/14	2021/11/29	62.50
61041	SG Issuer – HSI R Bull CBBC Nov 2021 U	250,000,000	2019/05/14	2021/11/29	62.50
60761	SG Issuer – HSI R Bull CBBC Dec 2021 G	250,000,000	2019/05/14	2021/12/30	62.50
60763	SG Issuer – HSI R Bull CBBC Dec 2021 H	250,000,000	2019/05/14	2021/12/30	62.50
61003	SG Issuer – HSI R Bear CBBC Aug 2019 B	250,000,000	2019/05/14	2019/08/29	62.50
61175	SG Issuer – HSI R Bear CBBC Aug 2019 O	250,000,000	2019/05/14	2019/08/29	62.50
61011	SG Issuer – HSI R Bear CBBC Sep 2019 H	250,000,000	2019/05/14	2019/09/27	62.50
61189	SG Issuer – HSI R Bear CBBC Sep 2019 O	250,000,000	2019/05/14	2019/09/27	62.50
61203	SG Issuer – HSI R Bear CBBC Oct 2019 A	250,000,000	2019/05/14	2019/10/30	62.50
61193	SG Issuer – HSI R Bear CBBC Oct 2019 Z	250,000,000	2019/05/14	2019/10/30	62.50
61172	SG Issuer – ICBC R Bull CBBC Nov 2019 A	100,000,000	2019/05/14	2019/11/25	25.00
60782	SG Issuer – Ping An R Bull CBBC Nov 2019 X	100,000,000	2019/05/14	2019/11/29	25.00
60928	SG Issuer – Ping An R Bull CBBC Nov 2019 Y	100,000,000	2019/05/14	2019/11/28	25.00
60783	SG Issuer – Ping An R Bull CBBC Dec 2019 E	100,000,000	2019/05/14	2019/12/13	25.00
60800	SG Issuer – Ping An R Bull CBBC Dec 2019 F	100,000,000	2019/05/14	2019/12/16	25.00
60807	SG Issuer – Ping An R Bull CBBC Dec 2019 G	100,000,000	2019/05/14	2019/12/20	25.00
60824	SG Issuer – Ping An R Bull CBBC Dec 2019 H	100,000,000	2019/05/14	2019/12/23	25.00
60925	SG Issuer – Ping An R Bear CBBC Oct 2019 J	100,000,000	2019/05/14	2019/10/28	25.00
60944	SG Issuer – Sunny Optical R Bull CBBC Nov 2019 C	40,000,000	2019/05/14	2019/11/28	10.00
60837	SG Issuer – Tencent R Bull CBBC Aug 2019 G	80,000,000	2019/05/14	2019/08/29	20.00
60946	SG Issuer – Tencent R Bull CBBC Aug 2019 Y	80,000,000	2019/05/14	2019/08/26	20.00
60840	SG Issuer – Tencent R Bull CBBC Sep 2019 G	80,000,000	2019/05/14	2019/09/16	20.00
60841	SG Issuer – Tencent R Bull CBBC Sep 2019 H	80,000,000	2019/05/14	2019/09/27	20.00
60952	SG Issuer – Tencent R Bull CBBC Mar 2020 A	80,000,000	2019/05/14	2020/03/30	20.00
60947	SG Issuer – Tencent R Bear CBBC Nov 2019 R	80,000,000	2019/05/14	2019/11/28	20.00
60949	SG Issuer – Tencent R Bear CBBC Jan 2020 A	80,000,000	2019/05/14	2020/01/30	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61150	SG Issuer – Xiaomi R Bull CBBC Oct 2019 A	40,000,000	2019/05/14	2019/10/11	10.00
61153	SG Issuer – Xiaomi R Bull CBBC Oct 2019 B	40,000,000	2019/05/14	2019/10/28	10.00
59946	UBS AG – HSI R Bull CBBC Sep 2021 A	200,000,000	2019/05/14	2021/09/29	50.00
59929	UBS AG – HSI R Bull CBBC Sep 2021 U	200,000,000	2019/05/14	2021/09/29	50.00
59931	UBS AG – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/05/14	2021/09/29	50.00
59933	UBS AG – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/05/14	2021/09/29	50.00
59934	UBS AG – HSI R Bull CBBC Sep 2021 X	200,000,000	2019/05/14	2021/09/29	50.00
59944	UBS AG – HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/05/14	2021/09/29	50.00
59945	UBS AG – HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/05/14	2021/09/29	50.00
59964	UBS AG – HSI R Bear CBBC Aug 2019 W	200,000,000	2019/05/14	2019/08/29	50.00
59970	UBS AG – HSI R Bear CBBC Aug 2019 X	200,000,000	2019/05/14	2019/08/29	50.00
59973	UBS AG – HSI R Bear CBBC Aug 2019 Y	200,000,000	2019/05/14	2019/08/29	50.00
59949	UBS AG – Tencent R Bull CBBC Oct 2019 I	200,000,000	2019/05/14	2019/10/30	50.00
59963	UBS AG – Tencent R Bear CBBC Oct 2019 E	200,000,000	2019/05/14	2019/10/30	50.00
60461	Bank Vontobel – China Life R Bear CBBC Dec 2019 B	40,000,000	2019/05/14	2019/12/20	10.00
60443	Bank Vontobel – CSOP A50 ETF R Bull CBBC Jan 2020 C	40,000,000	2019/05/14	2020/01/17	10.00
60436	Bank Vontobel – HSI R Bull CBBC Dec 2020 K	80,000,000	2019/05/14	2020/12/30	20.00
60437	Bank Vontobel – HSI R Bull CBBC Dec 2020 L	80,000,000	2019/05/14	2020/12/30	20.00
60447	Bank Vontobel – HSI R Bear CBBC Feb 2020 E	80,000,000	2019/05/14	2020/02/27	20.00
60455	Bank Vontobel – HSI R Bear CBBC Feb 2020 F	80,000,000	2019/05/14	2020/02/27	20.00
60464	Bank Vontobel – Ping An R Bear CBBC Dec 2019 D	40,000,000	2019/05/14	2019/12/20	10.00
60438	Bank Vontobel – Tencent R Bull CBBC Jan 2020 B	40,000,000	2019/05/14	2020/01/24	10.00
61620	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Y	240,000,000	2019/05/15	2021/10/28	60.00
61433	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 R	250,000,000	2019/05/15	2019/09/27	62.50
61440	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 S	250,000,000	2019/05/15	2019/09/27	62.50
61621	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 T	240,000,000	2019/05/15	2019/09/27	60.00
61759	Credit Suisse AG – China Tower R Bull CBBC Jan 2020 A	50,000,000	2019/05/15	2020/01/30	12.50
61775	Credit Suisse AG – HSI R Bull CBBC Jul 2021 Z	200,000,000	2019/05/15	2021/07/29	50.00
61769	Credit Suisse AG – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/05/15	2021/10/28	50.00
61780	Credit Suisse AG – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/05/15	2021/10/28	50.00
61785	Credit Suisse AG – HSI R Bull CBBC Oct 2021 J	300,000,000	2019/05/15	2021/10/28	75.00
61667	Credit Suisse AG – HSI R Bear CBBC Sep 2019 M	200,000,000	2019/05/15	2019/09/27	50.00
61715	Credit Suisse AG – HSI R Bear CBBC Oct 2019 T	200,000,000	2019/05/15	2019/10/30	50.00
61717	Credit Suisse AG – HSI R Bear CBBC Oct 2019 U	200,000,000	2019/05/15	2019/10/30	50.00
61757	Credit Suisse AG – HSI R Bear CBBC Oct 2019 V	200,000,000	2019/05/15	2019/10/30	50.00
61742	Credit Suisse AG – HSI R Bear CBBC Nov 2019 J	200,000,000	2019/05/15	2019/11/28	50.00
61801	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 O	100,000,000	2019/05/15	2019/12/13	25.00
61806	Credit Suisse AG – Tencent R Bear CBBC Nov 2019 U	100,000,000	2019/05/15	2019/11/28	25.00
62228	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2019 R	100,000,000	2019/05/15	2019/11/25	25.00
61990	Goldman Sachs SP (Asia) – China Tower R Bull CBBC Nov 2019 A	100,000,000	2019/05/15	2019/11/28	25.00
62620	Goldman Sachs SP (Asia) – China Tower R Bull CBBC Dec 2019 A	100,000,000	2019/05/15	2019/12/30	25.00
61957	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 U	200,000,000	2019/05/15	2021/09/29	50.00
61973	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/05/15	2021/09/29	50.00
61978	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/05/15	2021/09/29	50.00
61333	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 T	200,000,000	2019/05/15	2020/01/30	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61334	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 U	200,000,000	2019/05/15	2020/01/30	50.00
62216	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 J	100,000,000	2019/05/15	2019/08/15	25.00
62259	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 F	80,000,000	2019/05/15	2019/08/15	20.00
62140	Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Sep 2019 A	100,000,000	2019/05/15	2019/09/13	25.00
62048	Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Oct 2019 A	100,000,000	2019/05/15	2019/10/15	25.00
61618	HK Bank – China Life R Bear CBBC Nov 2019 A	80,000,000	2019/05/15	2019/11/11	20.00
61565	HK Bank – China Tower R Bull CBBC Dec 2019 A	40,000,000	2019/05/15	2019/12/23	10.00
61611	HK Bank – HKEX R Bear CBBC Nov 2019 E	40,000,000	2019/05/15	2019/11/07	10.00
61600	HK Bank – HSCEI R Bear CBBC Sep 2019 G	50,000,000	2019/05/15	2019/09/27	12.50
61594	HK Bank – HSI R Bull CBBC Jul 2020 W	80,000,000	2019/05/15	2020/07/30	20.00
61556	HK Bank – HSI R Bear CBBC Sep 2019 F	150,000,000	2019/05/15	2019/09/27	37.50
61601	HK Bank – Tencent R Bear CBBC Nov 2019 F	40,000,000	2019/05/15	2019/11/07	10.00
61593	HK Bank – Xiaomi R Bull CBBC Dec 2019 A	40,000,000	2019/05/15	2019/12/23	10.00
61852	Haitong Int'l Sec – CSOP A50 ETF R Bear CBBC Nov 2019 G	40,000,000	2019/05/15	2019/11/11	10.00
61882	Haitong Int'l Sec – A50 China R Bear CBBC Nov 2019 G	40,000,000	2019/05/15	2019/11/21	10.00
61909	Haitong Int'l Sec – HKEX R Bear CBBC Nov 2019 A	40,000,000	2019/05/15	2019/11/20	10.00
61822	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 U	150,000,000	2019/05/15	2021/09/29	37.50
61812	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 J	150,000,000	2019/05/15	2021/10/28	37.50
61340	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 D	150,000,000	2019/05/15	2019/08/29	37.50
61834	Haitong Int'l Sec – HSI R Bear CBBC Aug 2019 Z	150,000,000	2019/05/15	2019/08/29	37.50
61823	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 G	150,000,000	2019/05/15	2019/09/27	37.50
61836	Haitong Int'l Sec – Tencent R Bull CBBC Oct 2019 N	40,000,000	2019/05/15	2019/10/29	10.00
61382	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 H	40,000,000	2019/05/15	2019/12/03	10.00
61639	J P Morgan SP BV – HKEX R Bear CBBC Nov 2019 B	200,000,000	2019/05/15	2019/11/08	50.00
61648	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 A	200,000,000	2019/05/15	2021/09/29	50.00
61651	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 I	200,000,000	2019/05/15	2021/09/29	50.00
61657	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/05/15	2021/10/28	50.00
61650	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/05/15	2021/10/28	50.00
61632	J P Morgan SP BV – HSI R Bear CBBC Aug 2019 P	200,000,000	2019/05/15	2019/08/29	50.00
61636	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 T	200,000,000	2019/05/15	2019/09/27	50.00
61637	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 U	200,000,000	2019/05/15	2019/09/27	50.00
61640	J P Morgan SP BV – Ping An R Bull CBBC Sep 2019 C	100,000,000	2019/05/15	2019/09/13	25.00
61647	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 M	50,000,000	2019/05/15	2019/09/13	12.50
61638	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 H	50,000,000	2019/05/15	2019/09/13	12.50
61646	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 I	250,000,000	2019/05/15	2019/09/20	62.50
62574	SG Issuer – CAM CSI300 R Bull CBBC Feb 2020 A	100,000,000	2019/05/15	2020/02/27	25.00
62577	SG Issuer – CAM CSI300 R Bull CBBC Mar 2020 A	100,000,000	2019/05/15	2020/03/10	25.00
62557	SG Issuer – CAM CSI300 R Bear CBBC Nov 2019 A	100,000,000	2019/05/15	2019/11/28	25.00
62306	SG Issuer – Country Garden R Bull CBBC Nov 2019 C	40,000,000	2019/05/15	2019/11/12	10.00
62315	SG Issuer – Country Garden R Bull CBBC Oct 2020 A	100,000,000	2019/05/15	2020/10/29	25.00
62338	SG Issuer – China Tower R Bull CBBC Dec 2019 A	120,000,000	2019/05/15	2019/12/23	30.00
62345	SG Issuer – China Tower R Bull CBBC Feb 2020 A	120,000,000	2019/05/15	2020/02/27	30.00
62273	SG Issuer – China Tower R Bear CBBC Dec 2019 A	120,000,000	2019/05/15	2019/12/30	30.00
62368	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 J	100,000,000	2019/05/15	2019/12/23	25.00
62329	SG Issuer – HKEX R Bull CBBC Nov 2019 C	50,000,000	2019/05/15	2019/11/20	12.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62438	SG Issuer – HSI R Bull CBBC Nov 2021 V	250,000,000	2019/05/15	2021/11/29	62.50
62446	SG Issuer – HSI R Bull CBBC Dec 2021 I	250,000,000	2019/05/15	2021/12/30	62.50
62451	SG Issuer – HSI R Bull CBBC Dec 2021 J	250,000,000	2019/05/15	2021/12/30	62.50
62466	SG Issuer – HSI R Bull CBBC Dec 2021 K	250,000,000	2019/05/15	2021/12/30	62.50
62406	SG Issuer – HSI R Bear CBBC Sep 2019 T	250,000,000	2019/05/15	2019/09/27	62.50
62434	SG Issuer – HSI R Bear CBBC Sep 2019 U	250,000,000	2019/05/15	2019/09/27	62.50
62334	SG Issuer – HSI R Bear CBBC Oct 2019 B	250,000,000	2019/05/15	2019/10/30	62.50
62377	SG Issuer – HSI R Bear CBBC Oct 2019 C	250,000,000	2019/05/15	2019/10/30	62.50
62332	SG Issuer – Ping An R Bull CBBC Nov 2019 Z	100,000,000	2019/05/15	2019/11/12	25.00
62488	SG Issuer – Tencent R Bull CBBC Sep 2019 I	80,000,000	2019/05/15	2019/09/23	20.00
62518	SG Issuer – Tencent R Bull CBBC Sep 2019 J	80,000,000	2019/05/15	2019/09/30	20.00
62371	SG Issuer – Tencent R Bear CBBC Dec 2019 T	80,000,000	2019/05/15	2019/12/23	20.00
62550	SG Issuer – Xiaomi R Bull CBBC Sep 2019 A	40,000,000	2019/05/15	2019/09/30	10.00
62552	SG Issuer – Xiaomi R Bear CBBC Oct 2019 A	40,000,000	2019/05/15	2019/10/30	10.00
62556	SG Issuer – Xiaomi R Bear CBBC Nov 2019 A	40,000,000	2019/05/15	2019/11/28	10.00
61447	UBS AG – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/05/15	2021/10/28	50.00
61555	UBS AG – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/05/15	2021/10/28	50.00
61474	UBS AG – HSI R Bear CBBC Aug 2019 B	200,000,000	2019/05/15	2019/08/29	50.00
61478	UBS AG – HSI R Bear CBBC Aug 2019 C	200,000,000	2019/05/15	2019/08/29	50.00
61549	UBS AG – HSI R Bear CBBC Aug 2019 D	200,000,000	2019/05/15	2019/08/29	50.00
61473	UBS AG – HSI R Bear CBBC Aug 2019 Z	200,000,000	2019/05/15	2019/08/29	50.00
62795	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 E	200,000,000	2019/05/16	2019/12/31	50.00
62796	BOCI Asia Ltd. – HKEX R Bear CBBC Dec 2019 C	200,000,000	2019/05/16	2019/12/30	50.00
62894	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 C	150,000,000	2019/05/16	2020/09/29	38.10
62940	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 E	150,000,000	2019/05/16	2019/08/29	37.80
62819	BOCI Asia Ltd. – ICBC R Bull CBBC Oct 2019 A	80,000,000	2019/05/16	2019/10/28	20.24
62798	BOCI Asia Ltd. – Tencent R Bull CBBC Nov 2019 C	200,000,000	2019/05/16	2019/11/18	50.00
62808	BOCI Asia Ltd. – Tencent R Bear CBBC Nov 2019 C	200,000,000	2019/05/16	2019/11/18	52.00
62721	BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2019 M	100,000,000	2019/05/16	2019/11/28	25.00
62726	BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2019 N	100,000,000	2019/05/16	2019/11/28	25.00
62734	BNP Paribas Issuance B.V. – CC Bank R Bear CBBC Nov 2019 O	100,000,000	2019/05/16	2019/11/28	25.00
62711	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 Q	50,000,000	2019/05/16	2019/11/28	12.50
63297	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 B	240,000,000	2019/05/16	2021/10/28	60.00
62735	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 U	250,000,000	2019/05/16	2019/09/27	62.50
63299	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 V	240,000,000	2019/05/16	2019/09/27	60.00
63313	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 W	250,000,000	2019/05/16	2019/09/27	62.50
63324	BNP Paribas Issuance B.V. – HSI R Bear CBBC Sep 2019 X	250,000,000	2019/05/16	2019/09/27	62.50
62702	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 A	50,000,000	2019/05/16	2019/12/30	12.50
64468	Credit Suisse AG – CC Bank R Bull CBBC Dec 2019 F	70,000,000	2019/05/16	2019/12/20	17.50
64491	Credit Suisse AG – CC Bank R Bull CBBC Dec 2019 G	70,000,000	2019/05/16	2019/12/30	17.50
64510	Credit Suisse AG – China Life R Bull CBBC Dec 2019 K	100,000,000	2019/05/16	2019/12/30	25.00
64612	Credit Suisse AG – HKEX R Bear CBBC Jan 2020 A	100,000,000	2019/05/16	2020/01/30	25.00
64365	Credit Suisse AG – HSI R Bull CBBC Sep 2021 I	200,000,000	2019/05/16	2021/09/29	50.00
64359	Credit Suisse AG – HSI R Bull CBBC Nov 2021 B	300,000,000	2019/05/16	2021/11/29	75.00
64370	Credit Suisse AG – HSI R Bull CBBC Nov 2021 C	300,000,000	2019/05/16	2021/11/29	75.00



## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64536	Credit Suisse AG – HSI R Bear CBBC Oct 2019 W	200,000,000	2019/05/16	2019/10/30	50.00
64577	Credit Suisse AG – HSI R Bear CBBC Oct 2019 X	200,000,000	2019/05/16	2019/10/30	50.00
64595	Credit Suisse AG – HSI R Bear CBBC Nov 2019 K	200,000,000	2019/05/16	2019/11/28	50.00
64608	Credit Suisse AG – HSI R Bear CBBC Nov 2019 L	200,000,000	2019/05/16	2019/11/28	50.00
64524	Credit Suisse AG – Ping An R Bull CBBC Nov 2019 W	80,000,000	2019/05/16	2019/11/28	20.00
64378	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 Q	100,000,000	2019/05/16	2019/12/19	25.00
64392	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 G	100,000,000	2019/05/16	2020/01/30	25.00
64494	Credit Suisse AG – Xiaomi R Bull CBBC Dec 2019 B	50,000,000	2019/05/16	2019/12/20	12.50
64996	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2019D	100,000,000	2019/05/16	2019/12/30	25.00
64995	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Mar 2020 E	100,000,000	2019/05/16	2020/03/30	25.00
65054	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2019 F	80,000,000	2019/05/16	2019/12/30	20.00
62622	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 X	200,000,000	2019/05/16	2021/09/29	50.00
62677	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/05/16	2021/09/29	50.00
64953	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 D	200,000,000	2019/05/16	2020/04/28	50.00
64951	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 M	200,000,000	2019/05/16	2020/04/28	50.00
64983	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/05/16	2020/04/28	50.00
62747	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 H	100,000,000	2019/05/16	2019/10/30	25.00
62748	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 K	100,000,000	2019/05/16	2019/08/16	25.00
65003	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 L	100,000,000	2019/05/16	2019/08/16	25.00
62688	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 G	80,000,000	2019/05/16	2019/08/16	20.00
63019	HK Bank – CC Bank R Bear CBBC Oct 2019 B	40,000,000	2019/05/16	2019/10/14	10.00
63029	HK Bank – China Tower R Bull CBBC Jan 2020 A	40,000,000	2019/05/16	2020/01/06	10.00
62964	HK Bank – HSI R Bull CBBC Jul 2020 X	150,000,000	2019/05/16	2020/07/30	37.50
63011	HK Bank – HSI R Bear CBBC Sep 2019 G	80,000,000	2019/05/16	2019/09/27	20.00
63068	HK Bank – Ping An R Bear CBBC Oct 2019 D	50,000,000	2019/05/16	2019/10/08	12.50
63003	HK Bank – Tencent R Bull CBBC Oct 2019 M	50,000,000	2019/05/16	2019/10/21	12.50
63037	HK Bank – Xiaomi R Bull CBBC Jan 2020 A	40,000,000	2019/05/16	2020/01/06	10.00
63947	Haitong Int'l Sec – CC Bank R Bull CBBC Oct 2019 B	70,000,000	2019/05/16	2019/10/30	17.50
64004	Haitong Int'l Sec – China Life R Bear CBBC Dec 2019 A	100,000,000	2019/05/16	2019/12/03	25.00
63954	Haitong Int'l Sec – CM Bank R Bull CBBC Jan 2020 B	110,000,000	2019/05/16	2020/01/31	27.50
63941	Haitong Int'l Sec – HSBC R Bull CBBC Nov 2019 A	60,000,000	2019/05/16	2019/11/26	15.00
63795	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 S	150,000,000	2019/05/16	2021/07/29	37.50
63808	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 V	150,000,000	2019/05/16	2021/09/29	37.50
63934	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 W	100,000,000	2019/05/16	2021/09/29	25.10
63819	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 X	150,000,000	2019/05/16	2021/10/28	37.50
63849	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 Y	150,000,000	2019/05/16	2021/10/28	37.50
63867	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 H	150,000,000	2019/05/16	2019/09/27	37.50
63893	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 I	150,000,000	2019/05/16	2019/09/27	37.50
63952	Haitong Int'l Sec – ICBC R Bull CBBC Oct 2019 A	80,000,000	2019/05/16	2019/10/31	20.00
64001	Haitong Int'l Sec – Sunny Optical R Bear CBBC Nov 2019 A	50,000,000	2019/05/16	2019/11/29	12.50
63796	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 F	40,000,000	2019/05/16	2019/11/13	10.00
63522	J P Morgan SP BV – HKEX R Bear CBBC Sep 2019 D	40,000,000	2019/05/16	2019/09/13	10.00
63453	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/05/16	2021/10/28	50.00
63509	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/05/16	2021/10/28	50.00
63511	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/05/16	2021/10/28	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63556	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/05/16	2021/10/28	50.00
63593	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/05/16	2019/09/27	50.00
63598	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/05/16	2019/09/27	50.00
63655	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 X	200,000,000	2019/05/16	2019/09/27	50.00
63774	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/05/16	2019/09/27	50.00
63403	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 J	50,000,000	2019/05/16	2019/09/13	12.50
63412	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 K	250,000,000	2019/05/16	2019/09/13	62.50
62764	Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2019 A	16,100,000	2019/05/16	2019/09/30	10.03
62782	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 N	9,700,000	2019/05/16	2019/12/23	10.01
64675	SG Issuer – AIA R Bull CBBC Dec 2019 E	60,000,000	2019/05/16	2019/12/20	15.00
64692	SG Issuer – China Mobile R Bull CBBC Dec 2019 D	100,000,000	2019/05/16	2019/12/20	25.00
64850	SG Issuer – CC Bank R Bull CBBC Oct 2019 F	100,000,000	2019/05/16	2019/10/30	25.00
64680	SG Issuer – China Life R Bull CBBC Dec 2019 A	150,000,000	2019/05/16	2019/12/20	37.50
64856	SG Issuer – Sinopec Corp R Bull CBBC Dec 2019 A	40,000,000	2019/05/16	2019/12/30	10.00
64835	SG Issuer – CSOP A50 ETF R Bull CBBC Apr 2020 D	100,000,000	2019/05/16	2020/04/28	25.00
64877	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 K	100,000,000	2019/05/16	2019/12/30	25.00
64738	SG Issuer – HKEX R Bull CBBC Oct 2019 O	50,000,000	2019/05/16	2019/10/17	12.50
64888	SG Issuer – HKEX R Bear CBBC Nov 2019 C	50,000,000	2019/05/16	2019/11/28	12.50
64836	SG Issuer – HSI R Bull CBBC Oct 2021 Q	250,000,000	2019/05/16	2021/10/28	62.50
64848	SG Issuer – HSI R Bull CBBC Oct 2021 R	250,000,000	2019/05/16	2021/10/28	62.50
64948	SG Issuer – HSI R Bull CBBC Nov 2021 A	250,000,000	2019/05/16	2021/11/29	62.50
64849	SG Issuer – HSI R Bull CBBC Nov 2021 W	250,000,000	2019/05/16	2021/11/29	62.50
64945	SG Issuer – HSI R Bull CBBC Nov 2021 X	250,000,000	2019/05/16	2021/11/29	62.50
64946	SG Issuer – HSI R Bull CBBC Nov 2021 Y	250,000,000	2019/05/16	2021/11/29	62.50
64947	SG Issuer – HSI R Bull CBBC Nov 2021 Z	250,000,000	2019/05/16	2021/11/29	62.50
64928	SG Issuer – HSI R Bull CBBC Dec 2021 L	250,000,000	2019/05/16	2021/12/30	62.50
64929	SG Issuer – HSI R Bear CBBC Aug 2019 E	250,000,000	2019/05/16	2019/08/29	62.50
64934	SG Issuer – HSI R Bear CBBC Aug 2019 F	250,000,000	2019/05/16	2019/08/29	62.50
64927	SG Issuer – HSI R Bear CBBC Sep 2019 V	250,000,000	2019/05/16	2019/09/27	62.50
64935	SG Issuer – HSI R Bear CBBC Oct 2019 H	250,000,000	2019/05/16	2019/10/30	62.50
64943	SG Issuer – HSI R Bear CBBC Nov 2019 I	250,000,000	2019/05/16	2019/11/28	62.50
64770	SG Issuer – Ping An R Bull CBBC Dec 2019 I	100,000,000	2019/05/16	2019/12/20	25.00
64905	SG Issuer – Tencent R Bull CBBC Sep 2019 K	80,000,000	2019/05/16	2019/09/05	20.00
64911	SG Issuer – Tencent R Bull CBBC Sep 2019 L	80,000,000	2019/05/16	2019/09/19	20.00
64919	SG Issuer – Tencent R Bull CBBC Oct 2019 B	80,000,000	2019/05/16	2019/10/15	20.00
64782	SG Issuer – Tencent R Bear CBBC Nov 2019 P	80,000,000	2019/05/16	2019/11/28	20.00
64815	SG Issuer – Tencent R Bear CBBC Dec 2019 W	80,000,000	2019/05/16	2019/12/11	20.00
64827	SG Issuer – Tencent R Bear CBBC Dec 2019 X	80,000,000	2019/05/16	2019/12/19	20.00
63074	UBS AG – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/05/16	2021/10/28	50.00
63126	UBS AG – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/05/16	2021/10/28	50.00
63158	UBS AG – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/05/16	2021/10/28	50.00
63268	UBS AG – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/05/16	2021/10/28	50.00
63277	UBS AG – HSI R Bear CBBC Aug 2019 A	200,000,000	2019/05/16	2019/08/29	50.00
63290	UBS AG – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/05/16	2019/08/29	50.00
63281	UBS AG – HSI R Bear CBBC Sep 2019 C	200,000,000	2019/05/16	2019/09/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63151	UBS AG – Tencent R Bull CBBC Nov 2019 E	40,000,000	2019/05/16	2019/11/20	10.00
63269	UBS AG – Tencent R Bear CBBC Nov 2019 C	200,000,000	2019/05/16	2019/11/20	50.00
64030	Bank Vontobel – AAC Tech R Bull CBBC Dec 2019 A	40,000,000	2019/05/16	2019/12/20	10.00
64052	Bank Vontobel – Country Garden R Bull CBBC Dec 2019 A	40,000,000	2019/05/16	2019/12/06	10.00
64151	Bank Vontobel – China Tower R Bull CBBC Dec 2019 A	40,000,000	2019/05/16	2019/12/13	10.00
64169	Bank Vontobel – China Tower R Bull CBBC Feb 2020 A	40,000,000	2019/05/16	2020/02/07	10.00
64021	Bank Vontobel – HKEX R Bear CBBC Dec 2019 C	40,000,000	2019/05/16	2019/12/20	10.00
64223	Bank Vontobel – HSI N Bear CBBC Feb 2020 G	80,000,000	2019/05/16	2020/02/27	20.00
64231	Bank Vontobel – HSI N Bear CBBC Feb 2020 H	80,000,000	2019/05/16	2020/02/27	20.00
64335	Bank Vontobel – HSI R Bull CBBC Dec 2020 M	80,000,000	2019/05/16	2020/12/30	20.00
64336	Bank Vontobel – Tencent R Bull CBBC Dec 2019 G	40,000,000	2019/05/16	2019/12/20	10.00
64074	Bank Vontobel – Xiaomi R Bull CBBC Dec 2019 A	40,000,000	2019/05/16	2019/12/13	10.00
64112	Bank Vontobel – Xiaomi R Bull CBBC Feb 2020 A	40,000,000	2019/05/16	2020/02/07	10.00
67911	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 F	200,000,000	2019/05/17	2019/12/31	50.00
67914	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 G	200,000,000	2019/05/17	2019/12/31	50.00
67920	BOCI Asia Ltd. – HKEX R Bear CBBC Dec 2019 D	200,000,000	2019/05/17	2019/12/30	50.00
67864	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 F	150,000,000	2019/05/17	2020/09/29	39.75
67880	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 F	150,000,000	2019/05/17	2019/08/29	40.65
67901	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 G	150,000,000	2019/05/17	2019/08/29	39.00
67938	BOCI Asia Ltd. – Tencent R Bull CBBC Nov 2019 D	200,000,000	2019/05/17	2019/11/18	50.00
67949	BOCI Asia Ltd. – Tencent R Bear CBBC Nov 2019 D	200,000,000	2019/05/17	2019/11/18	56.00
65389	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Dec19 H	60,000,000	2019/05/17	2019/12/30	15.00
65425	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct20 B	60,000,000	2019/05/17	2020/10/29	15.00
65217	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/05/17	2021/10/28	62.50
65245	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/05/17	2021/10/28	62.50
65258	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 D	250,000,000	2019/05/17	2021/10/28	62.50
65366	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 G	250,000,000	2019/05/17	2021/10/28	62.50
65747	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 H	250,000,000	2019/05/17	2021/10/28	62.50
67037	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 I	250,000,000	2019/05/17	2021/10/28	62.50
65091	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/05/17	2021/10/28	62.50
65444	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 A	250,000,000	2019/05/17	2019/10/30	62.50
65453	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 B	250,000,000	2019/05/17	2019/10/30	62.50
65465	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 C	250,000,000	2019/05/17	2019/10/30	62.50
65483	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 D	250,000,000	2019/05/17	2019/10/30	62.50
65497	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 E	250,000,000	2019/05/17	2019/10/30	62.50
65498	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 F	250,000,000	2019/05/17	2019/10/30	62.50
65549	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 G	250,000,000	2019/05/17	2019/10/30	62.50
65698	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 H	250,000,000	2019/05/17	2019/10/30	62.50
65738	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 I	250,000,000	2019/05/17	2019/10/30	62.50
65740	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 J	250,000,000	2019/05/17	2019/10/30	62.50
65741	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 K	250,000,000	2019/05/17	2019/10/30	62.50
65746	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 L	250,000,000	2019/05/17	2019/10/30	62.50
65755	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 M	250,000,000	2019/05/17	2019/10/30	62.50
65787	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 N	250,000,000	2019/05/17	2019/10/30	62.50
65831	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 O	250,000,000	2019/05/17	2019/10/30	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65899	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 P	250,000,000	2019/05/17	2019/10/30	62.50
65956	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 Q	250,000,000	2019/05/17	2019/10/30	62.50
67090	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 R	250,000,000	2019/05/17	2019/10/30	62.50
67093	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 S	240,000,000	2019/05/17	2019/10/30	60.00
65080	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 J	50,000,000	2019/05/17	2019/12/30	12.50
65084	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 J	50,000,000	2019/05/17	2020/01/30	12.50
68835	Credit Suisse AG – AIA R Bull CBBC Dec 2019 R	70,000,000	2019/05/17	2019/12/19	17.50
68889	Credit Suisse AG – AIA R Bear CBBC Dec 2019 L	70,000,000	2019/05/17	2019/12/13	17.50
68909	Credit Suisse AG – Galaxy Ent R Bear CBBC Dec 2019 G	50,000,000	2019/05/17	2019/12/17	12.50
68861	Credit Suisse AG – HKEX R Bear CBBC Jan 2020 B	100,000,000	2019/05/17	2020/01/16	25.00
68937	Credit Suisse AG – HKEX R Bear CBBC Jan 2020 C	100,000,000	2019/05/17	2020/01/17	25.00
68802	Credit Suisse AG – HSI R Bull CBBC Jul 2021 A	200,000,000	2019/05/17	2021/07/29	50.00
68795	Credit Suisse AG – HSI R Bull CBBC Sep 2021 U	200,000,000	2019/05/17	2021/09/29	50.00
68793	Credit Suisse AG – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/05/17	2021/10/28	50.00
68796	Credit Suisse AG – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/05/17	2021/10/28	50.00
68912	Credit Suisse AG – HSI R Bull CBBC Nov 2021 D	200,000,000	2019/05/17	2021/11/29	50.00
68929	Credit Suisse AG – HSI R Bull CBBC Dec 2021 B	300,000,000	2019/05/17	2021/12/30	75.00
68993	Credit Suisse AG – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/05/17	2019/10/30	50.00
68971	Credit Suisse AG – HSI R Bear CBBC Oct 2019 Y	200,000,000	2019/05/17	2019/10/30	50.00
68961	Credit Suisse AG – HSI R Bear CBBC Nov 2019 M	200,000,000	2019/05/17	2019/11/28	50.00
68989	Credit Suisse AG – HSI R Bear CBBC Nov 2019 N	200,000,000	2019/05/17	2019/11/28	50.00
69023	Credit Suisse AG – HSI R Bear CBBC Nov 2019 O	200,000,000	2019/05/17	2019/11/28	50.00
69038	Credit Suisse AG – HSI R Bear CBBC Nov 2019 P	200,000,000	2019/05/17	2019/11/28	50.00
68994	Credit Suisse AG – HSI R Bear CBBC Dec 2019 C	300,000,000	2019/05/17	2019/12/30	75.00
68848	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 Z	80,000,000	2019/05/17	2019/12/19	20.00
68892	Credit Suisse AG – Ping An R Bear CBBC Nov 2019 F	70,000,000	2019/05/17	2019/11/29	17.50
68943	Credit Suisse AG – Ping An R Bear CBBC Dec 2019 M	70,000,000	2019/05/17	2019/12/17	17.50
68887	Credit Suisse AG – Tencent R Bear CBBC Nov 2019 V	150,000,000	2019/05/17	2019/11/15	37.50
68942	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 E	150,000,000	2019/05/17	2020/01/22	37.50
69119	Goldman Sachs SP (Asia) – China Life R Bull CBBC Aug 2019 A	100,000,000	2019/05/17	2019/08/19	25.00
69128	Goldman Sachs SP (Asia) – Galaxy Ent R Bear CBBC Feb 2020 E	80,000,000	2019/05/17	2020/02/10	20.00
66555	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Oct 2021 A	100,000,000	2019/05/17	2021/10/28	25.00
65525	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/05/17	2021/09/29	50.00
66552	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/05/17	2021/09/29	50.00
65527	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/05/17	2021/10/28	50.00
65542	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/05/17	2021/10/28	50.00
69138	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 V	200,000,000	2019/05/17	2020/01/30	50.00
69141	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 W	200,000,000	2019/05/17	2020/01/30	50.00
69044	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 J	200,000,000	2019/05/17	2020/04/28	50.00
69050	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 N	200,000,000	2019/05/17	2020/04/28	50.00
69078	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 U	200,000,000	2019/05/17	2020/04/28	50.00
69108	Goldman Sachs SP (Asia) – ICBC R Bull CBBC Sep 2019 C	100,000,000	2019/05/17	2019/09/30	25.00
65548	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 I	100,000,000	2019/05/17	2019/10/29	25.00
69137	Goldman Sachs SP (Asia) – Ping An R Bear CBBC Dec 2019 G	80,000,000	2019/05/17	2019/12/30	20.00
66553	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2021 A	100,000,000	2019/05/17	2021/10/28	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66337	HK Bank – AIA R Bear CBBC Oct 2019 F	40,000,000	2019/05/17	2019/10/14	10.00
66434	HK Bank – CC Bank R Bull CBBC Nov 2019 B	60,000,000	2019/05/17	2019/11/25	15.00
66136	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2019 H	40,000,000	2019/05/17	2019/10/21	10.00
66253	HK Bank – CSOP A50 ETF R Bear CBBC Oct 2019 B	40,000,000	2019/05/17	2019/10/02	10.00
66167	HK Bank – A50 China R Bull CBBC Oct 2019 H	40,000,000	2019/05/17	2019/10/21	10.00
66300	HK Bank – A50 China R Bear CBBC Oct 2019 B	40,000,000	2019/05/17	2019/10/02	10.00
66385	HK Bank – Galaxy Ent R Bear CBBC Oct 2019 C	40,000,000	2019/05/17	2019/10/28	10.00
66099	HK Bank – HKEX R Bull CBBC Nov 2019 G	50,000,000	2019/05/17	2019/11/21	12.50
66517	HK Bank – HKEX R Bull CBBC Dec 2019 A	40,000,000	2019/05/17	2019/12/09	14.80
66344	HK Bank – HKEX R Bear CBBC Oct 2019 H	40,000,000	2019/05/17	2019/10/17	10.00
66351	HK Bank – HKEX R Bear CBBC Nov 2019 F	40,000,000	2019/05/17	2019/11/11	10.00
66247	HK Bank – HSCEI R Bear CBBC Oct 2019 B	50,000,000	2019/05/17	2019/10/30	12.50
66025	HK Bank – HSI R Bull CBBC Jul 2020 A	150,000,000	2019/05/17	2020/07/30	37.50
66022	HK Bank – HSI R Bull CBBC Jul 2020 Z	80,000,000	2019/05/17	2020/07/30	20.00
66216	HK Bank – HSI R Bear CBBC Sep 2019 H	80,000,000	2019/05/17	2019/09/27	20.00
66246	HK Bank – HSI R Bear CBBC Oct 2019 V	150,000,000	2019/05/17	2019/10/30	37.50
66308	HK Bank – ICBC R Bull CBBC Dec 2019 A	60,000,000	2019/05/17	2019/12/09	15.00
66074	HK Bank – Tencent R Bull CBBC Nov 2019 K	50,000,000	2019/05/17	2019/11/25	12.50
66441	HK Bank – Tencent R Bear CBBC Oct 2019 L	40,000,000	2019/05/17	2019/10/17	10.00
67672	Haitong Int'l Sec – AIA R Bear CBBC Dec 2019 A	60,000,000	2019/05/17	2019/12/16	15.00
66592	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 X	150,000,000	2019/05/17	2021/09/29	37.50
67534	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 Y	150,000,000	2019/05/17	2021/09/29	37.50
67537	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 Z	150,000,000	2019/05/17	2021/09/29	37.50
67581	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 A	150,000,000	2019/05/17	2021/10/28	37.50
66583	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 Z	150,000,000	2019/05/17	2021/10/28	37.50
67584	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 J	150,000,000	2019/05/17	2019/09/27	37.50
67616	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 K	150,000,000	2019/05/17	2019/09/27	37.50
67617	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 L	150,000,000	2019/05/17	2019/09/27	37.50
67635	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 M	150,000,000	2019/05/17	2019/09/27	37.50
67642	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 N	150,000,000	2019/05/17	2019/09/27	37.50
67673	Haitong Int'l Sec – Ping An R Bear CBBC Mar 2020 A	40,000,000	2019/05/17	2020/03/24	10.00
67752	Haitong Int'l Sec – Sunny Optical R Bear CBBC Dec 2019 B	50,000,000	2019/05/17	2019/12/12	12.50
67647	Haitong Int'l Sec – Xiaomi R Bull CBBC Nov 2019 A	40,000,000	2019/05/17	2019/11/04	10.00
67498	J P Morgan SP BV – HKEX R Bull CBBC Dec 2019 A	200,000,000	2019/05/17	2019/12/13	50.00
67529	J P Morgan SP BV – HKEX R Bear CBBC Sep 2019 E	40,000,000	2019/05/17	2019/09/13	10.00
67500	J P Morgan SP BV – HKEX R Bear CBBC Oct 2019 F	200,000,000	2019/05/17	2019/10/18	50.00
67502	J P Morgan SP BV – HKEX R Bear CBBC Nov 2019 C	200,000,000	2019/05/17	2019/11/08	50.00
67391	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/05/17	2021/09/29	50.00
67462	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/05/17	2021/09/29	50.00
67494	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 O	200,000,000	2019/05/17	2021/09/29	50.00
65556	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/05/17	2021/10/28	50.00
65584	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/05/17	2021/10/28	50.00
65592	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/05/17	2021/10/28	50.00
67493	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/05/17	2021/10/28	50.00
65611	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/05/17	2021/10/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65614	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/05/17	2021/10/28	50.00
65622	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/05/17	2021/10/28	50.00
67394	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/05/17	2021/10/28	50.00
67495	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/05/17	2021/10/28	50.00
67166	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 B	200,000,000	2019/05/17	2019/09/27	50.00
67239	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 C	200,000,000	2019/05/17	2019/09/27	50.00
67497	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 D	200,000,000	2019/05/17	2019/09/27	50.00
67100	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/05/17	2019/09/27	50.00
67306	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 D	200,000,000	2019/05/17	2019/10/30	50.00
67347	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 E	200,000,000	2019/05/17	2019/10/30	50.00
68050	SG Issuer – AIA R Bull CBBC Oct 2019 Q	60,000,000	2019/05/17	2019/10/29	15.00
68786	SG Issuer – CAM CSI300 R Bull CBBC Feb 2020 B	100,000,000	2019/05/17	2020/02/27	25.00
68528	SG Issuer – China Mobile R Bear CBBC Dec 2019 A	100,000,000	2019/05/17	2019/12/30	25.00
68052	SG Issuer – China Life R Bull CBBC Feb 2020 C	150,000,000	2019/05/17	2020/02/17	37.50
68307	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 M	100,000,000	2019/05/17	2020/03/30	25.00
68310	SG Issuer – China Tower R Bull CBBC Nov 2019 A	120,000,000	2019/05/17	2019/11/28	30.00
68323	SG Issuer – A50 China R Bull CBBC Mar 2020 G	100,000,000	2019/05/17	2020/03/30	25.00
68531	SG Issuer – Geely Auto R Bull CBBC Jul 2020 C	150,000,000	2019/05/17	2020/07/28	37.50
68313	SG Issuer – Galaxy Ent R Bull CBBC Nov 2019 K	100,000,000	2019/05/17	2019/11/28	25.00
68061	SG Issuer – HKEX R Bull CBBC Sep 2019 S	50,000,000	2019/05/17	2019/09/30	12.50
68078	SG Issuer – HKEX R Bull CBBC Sep 2019 T	50,000,000	2019/05/17	2019/09/30	12.50
68184	SG Issuer – HKEX R Bull CBBC Oct 2019 P	50,000,000	2019/05/17	2019/10/29	12.50
68541	SG Issuer – HKEX R Bull CBBC Oct 2019 Q	50,000,000	2019/05/17	2019/10/31	12.50
68555	SG Issuer – HKEX R Bear CBBC Oct 2019 G	50,000,000	2019/05/17	2019/10/31	12.50
68557	SG Issuer – HSBC R Bull CBBC Nov 2019 M	100,000,000	2019/05/17	2019/11/25	25.00
67965	SG Issuer – HSI R Bull CBBC Oct 2021 S	250,000,000	2019/05/17	2021/10/28	62.50
67968	SG Issuer – HSI R Bull CBBC Oct 2021 T	250,000,000	2019/05/17	2021/10/28	62.50
67972	SG Issuer – HSI R Bull CBBC Oct 2021 U	250,000,000	2019/05/17	2021/10/28	62.50
67984	SG Issuer – HSI R Bull CBBC Oct 2021 V	250,000,000	2019/05/17	2021/10/28	62.50
68448	SG Issuer – HSI R Bull CBBC Oct 2021 W	250,000,000	2019/05/17	2021/10/28	62.50
68453	SG Issuer – HSI R Bull CBBC Oct 2021 X	250,000,000	2019/05/17	2021/10/28	62.50
68458	SG Issuer – HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/05/17	2021/10/28	62.50
68002	SG Issuer – HSI R Bull CBBC Nov 2021 B	250,000,000	2019/05/17	2021/11/29	62.50
68017	SG Issuer – HSI R Bull CBBC Nov 2021 C	250,000,000	2019/05/17	2021/11/29	62.50
68033	SG Issuer – HSI R Bull CBBC Nov 2021 D	250,000,000	2019/05/17	2021/11/29	62.50
68324	SG Issuer – HSI R Bull CBBC Nov 2021 E	250,000,000	2019/05/17	2021/11/29	62.50
68350	SG Issuer – HSI R Bear CBBC Sep 2019 M	250,000,000	2019/05/17	2019/09/27	62.50
68354	SG Issuer – HSI R Bear CBBC Sep 2019 W	250,000,000	2019/05/17	2019/09/27	62.50
68368	SG Issuer – HSI R Bear CBBC Sep 2019 X	250,000,000	2019/05/17	2019/09/27	62.50
68407	SG Issuer – HSI R Bear CBBC Oct 2019 I	250,000,000	2019/05/17	2019/10/30	62.50
68408	SG Issuer – HSI R Bear CBBC Oct 2019 J	250,000,000	2019/05/17	2019/10/30	62.50
68441	SG Issuer – HSI R Bear CBBC Nov 2019 J	250,000,000	2019/05/17	2019/11/28	62.50
68203	SG Issuer – Ping An R Bull CBBC Sep 2019 P	100,000,000	2019/05/17	2019/09/30	25.00
68255	SG Issuer – Ping An R Bull CBBC Oct 2019 N	100,000,000	2019/05/17	2019/10/31	25.00
68226	SG Issuer – Ping An R Bull CBBC Oct 2019 V	100,000,000	2019/05/17	2019/10/29	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68314	SG Issuer – Tencent R Bull CBBC Aug 2019 B	80,000,000	2019/05/17	2019/08/26	20.00
68263	SG Issuer – Tencent R Bull CBBC Sep 2019 M	80,000,000	2019/05/17	2019/09/18	20.00
68275	SG Issuer – Tencent R Bull CBBC Sep 2019 N	80,000,000	2019/05/17	2019/09/27	20.00
68583	SG Issuer – Tencent R Bull CBBC Sep 2019 O	80,000,000	2019/05/17	2019/09/26	20.00
68669	SG Issuer – Tencent R Bear CBBC Oct 2019 X	80,000,000	2019/05/17	2019/10/31	20.00
68686	SG Issuer – Tencent R Bear CBBC Dec 2019 Y	80,000,000	2019/05/17	2019/12/30	20.00
68769	SG Issuer – Xiaomi R Bull CBBC Oct 2019 C	60,000,000	2019/05/17	2019/10/31	15.00
68776	SG Issuer – Xiaomi R Bull CBBC Dec 2019 A	60,000,000	2019/05/17	2019/12/30	17.40
66907	UBS AG – CSOP A50 ETF R Bear CBBC Sep 2019 B	50,000,000	2019/05/17	2019/09/23	12.50
66922	UBS AG – HKEX R Bear CBBC Dec 2019 A	200,000,000	2019/05/17	2019/12/23	50.00
66793	UBS AG – HSBC R Bear CBBC Nov 2019 A	50,000,000	2019/05/17	2019/11/18	12.50
66616	UBS AG – HSI R Bull CBBC Sep 2021 B	200,000,000	2019/05/17	2021/09/29	50.00
66599	UBS AG – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/05/17	2021/09/29	50.00
66619	UBS AG – HSI R Bull CBBC Sep 2021 D	200,000,000	2019/05/17	2021/09/29	50.00
66634	UBS AG – HSI R Bull CBBC Sep 2021 E	200,000,000	2019/05/17	2021/09/29	50.00
66665	UBS AG – HSI R Bull CBBC Sep 2021 F	200,000,000	2019/05/17	2021/09/29	50.00
66698	UBS AG – HSI R Bull CBBC Sep 2021 G	200,000,000	2019/05/17	2021/09/29	50.00
66723	UBS AG – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/05/17	2019/08/29	50.00
66728	UBS AG – HSI R Bear CBBC Aug 2019 G	200,000,000	2019/05/17	2019/08/29	50.00
66732	UBS AG – HSI R Bear CBBC Aug 2019 H	200,000,000	2019/05/17	2019/08/29	50.00
66773	UBS AG – Ping An R Bear CBBC Nov 2019 B	100,000,000	2019/05/17	2019/11/25	25.00
66636	UBS AG – Tencent R Bull CBBC Oct 2019 J	40,000,000	2019/05/17	2019/10/14	10.00
67773	Bank Vontobel – CC Bank R Bear CBBC Dec 2019 C	40,000,000	2019/05/17	2019/12/20	10.00
67780	Bank Vontobel – China Life R Bear CBBC Dec 2019 C	40,000,000	2019/05/17	2019/12/20	10.00
67766	Bank Vontobel – Geely Auto R Bear CBBC Dec 2019 F	40,000,000	2019/05/17	2019/12/13	10.00
67859	Bank Vontobel – HSI N Bear CBBC Feb 2020 K	100,000,000	2019/05/17	2020/02/27	25.00
67789	Bank Vontobel – HSI R Bull CBBC Dec 2020 N	80,000,000	2019/05/17	2020/12/30	20.00
67806	Bank Vontobel – HSI R Bull CBBC Dec 2020 O	80,000,000	2019/05/17	2020/12/30	20.00
67814	Bank Vontobel – HSI R Bull CBBC Dec 2020 P	80,000,000	2019/05/17	2020/12/30	20.00
67823	Bank Vontobel – HSI R Bear CBBC Feb 2020 I	80,000,000	2019/05/17	2020/02/27	20.00
67841	Bank Vontobel – HSI R Bear CBBC Feb 2020 J	100,000,000	2019/05/17	2020/02/27	25.00
67781	Bank Vontobel – Ping An R Bear CBBC Feb 2020 C	40,000,000	2019/05/17	2020/02/07	10.00
69312	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 R	50,000,000	2019/05/20	2019/11/28	12.50
69311	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 J	50,000,000	2019/05/20	2020/07/30	12.50
69345	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 K	50,000,000	2019/05/20	2020/07/30	12.50
69290	BNP Paribas Issuance B.V. – HSBC R Bear CBBC Apr 2020 A	150,000,000	2019/05/20	2020/04/29	37.50
69634	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 K	240,000,000	2019/05/20	2021/10/28	60.00
69656	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 T	240,000,000	2019/05/20	2019/10/30	60.00
69307	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Apr 2020 B	200,000,000	2019/05/20	2020/04/29	50.00
57174	Credit Suisse AG – AIA R Bear CBBC Jan 2020 B	70,000,000	2019/05/20	2020/01/15	17.50
57173	Credit Suisse AG – China Mobile R Bull CBBC Feb 2020 A	70,000,000	2019/05/20	2020/02/07	17.50
57175	Credit Suisse AG – CNOOC R Bull CBBC Oct 2020 A	80,000,000	2019/05/20	2020/10/30	20.00
57222	Credit Suisse AG – HKEX R Bear CBBC Jan 2020 D	100,000,000	2019/05/20	2020/01/20	25.00
57206	Credit Suisse AG – HSI R Bull CBBC Sep 2020 I	200,000,000	2019/05/20	2020/09/29	50.00
57195	Credit Suisse AG – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/05/20	2021/10/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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57208	Credit Suisse AG – HSI R Bull CBBC Nov 2021 E	300,000,000	2019/05/20	2021/11/29	75.00
57197	Credit Suisse AG – HSI R Bull CBBC Dec 2021 C	200,000,000	2019/05/20	2021/12/30	50.00
57058	Credit Suisse AG – HSI R Bear CBBC Oct 2019 E	200,000,000	2019/05/20	2019/10/30	50.00
57104	Credit Suisse AG – HSI R Bear CBBC Oct 2019 F	200,000,000	2019/05/20	2019/10/30	50.00
57088	Credit Suisse AG – HSI R Bear CBBC Nov 2019 Q	200,000,000	2019/05/20	2019/11/28	50.00
57105	Credit Suisse AG – HSI R Bear CBBC Nov 2019 R	200,000,000	2019/05/20	2019/11/28	50.00
57121	Credit Suisse AG – HSI R Bear CBBC Dec 2019 D	300,000,000	2019/05/20	2019/12/30	75.00
69221	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 A	200,000,000	2019/05/20	2020/01/30	50.00
69281	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 B	200,000,000	2019/05/20	2020/01/30	50.00
69142	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 X	200,000,000	2019/05/20	2020/01/30	50.00
69178	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 Y	200,000,000	2019/05/20	2020/01/30	50.00
69213	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/05/20	2020/01/30	50.00
69510	HK Bank – Bank of China R Bull CBBC Jan 2020 A	60,000,000	2019/05/20	2020/01/06	15.00
69382	HK Bank – Bank of China R Bear CBBC Nov 2019 A	40,000,000	2019/05/20	2019/11/18	10.00
69450	HK Bank – China Life R Bull CBBC Dec 2019 A	100,000,000	2019/05/20	2019/12/16	25.00
69471	HK Bank – HKEX R Bull CBBC Nov 2019 H	50,000,000	2019/05/20	2019/11/11	12.50
69463	HK Bank – HKEX R Bull CBBC Dec 2019 B	50,000,000	2019/05/20	2019/12/02	16.00
69369	HK Bank – HSI R Bull CBBC Jul 2020 B	80,000,000	2019/05/20	2020/07/30	20.00
69368	HK Bank – HSI R Bear CBBC Sep 2019 I	150,000,000	2019/05/20	2019/09/27	37.50
69377	HK Bank – HSI R Bear CBBC Oct 2019 W	150,000,000	2019/05/20	2019/10/30	37.50
69371	HK Bank – Ping An R Bull CBBC Nov 2019 G	60,000,000	2019/05/20	2019/11/25	15.00
69444	HK Bank – Sunny Optical R Bull CBBC Nov 2019 B	40,000,000	2019/05/20	2019/11/11	10.00
69476	HK Bank – Tencent R Bear CBBC Nov 2019 G	40,000,000	2019/05/20	2019/11/14	10.00
57231	Haitong Int'l Sec – CC Bank R Bull CBBC Nov 2019 A	70,000,000	2019/05/20	2019/11/01	17.50
57225	Haitong Int'l Sec – Sinopec Corp R Bull CBBC Nov 2019 A	70,000,000	2019/05/20	2019/11/07	17.50
57239	Haitong Int'l Sec – CSOP A50 ETF R Bull CBBC Oct 2019 C	40,000,000	2019/05/20	2019/10/31	10.00
57263	Haitong Int'l Sec – Evergrande R Bull CBBC Feb 2020 A	180,000,000	2019/05/20	2020/02/05	45.00
57253	Haitong Int'l Sec – A50 China R Bull CBBC Nov 2019 A	40,000,000	2019/05/20	2019/11/04	10.00
69603	Haitong Int'l Sec – Geely Auto R Bear CBBC Dec 2019 B	150,000,000	2019/05/20	2019/12/12	37.50
69600	Haitong Int'l Sec – Galaxy Ent R Bear CBBC Mar 2020 A	80,000,000	2019/05/20	2020/03/02	20.00
69588	Haitong Int'l Sec – HKEX R Bull CBBC Nov 2019 D	40,000,000	2019/05/20	2019/11/04	10.00
69622	Haitong Int'l Sec – HKEX R Bear CBBC Mar 2020 A	40,000,000	2019/05/20	2020/03/10	10.00
69562	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 B	150,000,000	2019/05/20	2021/10/28	37.50
69579	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 C	150,000,000	2019/05/20	2021/10/28	37.50
69547	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 O	150,000,000	2019/05/20	2019/09/27	37.50
69558	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 P	150,000,000	2019/05/20	2019/09/27	37.50
69581	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 Q	150,000,000	2019/05/20	2019/09/27	37.50
69598	Haitong Int'l Sec – Ping An R Bull CBBC Nov 2019 B	40,000,000	2019/05/20	2019/11/01	10.00
57232	Haitong Int'l Sec – SHK Ppt R Bull CBBC Oct 2019 A	40,000,000	2019/05/20	2019/10/16	10.00
57249	Haitong Int'l Sec – SUNAC R Bull CBBC Mar 2020 A	110,000,000	2019/05/20	2020/03/23	27.50
57244	Haitong Int'l Sec – Sunny Optical R Bull CBBC Nov 2019 A	50,000,000	2019/05/20	2019/11/22	12.50
69888	J P Morgan SP BV – HKEX R Bear CBBC Nov 2019 D	200,000,000	2019/05/20	2019/11/08	50.00
69953	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 B	200,000,000	2019/05/20	2021/09/29	50.00
69965	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/05/20	2021/09/29	50.00
69951	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/05/20	2021/09/29	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69979	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/05/20	2021/10/28	50.00
69972	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/05/20	2021/10/28	50.00
69748	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 F	200,000,000	2019/05/20	2019/10/30	50.00
69754	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 G	200,000,000	2019/05/20	2019/10/30	50.00
69756	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 H	200,000,000	2019/05/20	2019/10/30	50.00
69798	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 I	200,000,000	2019/05/20	2019/10/30	50.00
69799	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 J	200,000,000	2019/05/20	2019/10/30	50.00
69855	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 K	200,000,000	2019/05/20	2019/10/30	50.00
69919	J P Morgan SP BV – Ping An R Bull CBBC Dec 2019 A	100,000,000	2019/05/20	2019/12/13	25.00
69907	J P Morgan SP BV – Ping An R Bear CBBC Oct 2019 A	100,000,000	2019/05/20	2019/10/18	25.00
69877	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 N	250,000,000	2019/05/20	2019/09/13	62.50
69289	Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2019 B	17,200,000	2019/05/20	2019/09/26	10.03
69284	Macquarie Bank Ltd. – Tencent R Bear CBBC Oct 2019 J	13,000,000	2019/05/20	2019/10/31	10.08
57405	SG Issuer – AIA R Bull CBBC Feb 2020 A	60,000,000	2019/05/20	2020/02/27	15.00
57455	SG Issuer – CC Bank R Bull CBBC Dec 2019 A	100,000,000	2019/05/20	2019/12/30	25.00
57759	SG Issuer – China Life R Bull CBBC Dec 2019 B	150,000,000	2019/05/20	2019/12/17	37.50
57511	SG Issuer – China Life R Bear CBBC Dec 2019 A	150,000,000	2019/05/20	2019/12/30	37.50
57962	SG Issuer – CSOP A50 ETF R Bull CBBC Apr 2020 E	100,000,000	2019/05/20	2020/04/28	25.00
57940	SG Issuer – CSOP A50 ETF R Bear CBBC Sep 2019 D	100,000,000	2019/05/20	2019/09/27	25.00
57766	SG Issuer – Geely Auto R Bull CBBC Apr 2020 A	150,000,000	2019/05/20	2020/04/28	37.50
57620	SG Issuer – Galaxy Ent R Bear CBBC Nov 2019 G	100,000,000	2019/05/20	2019/11/20	25.00
57756	SG Issuer – HKEX R Bull CBBC Sep 2019 U	50,000,000	2019/05/20	2019/09/30	12.50
57624	SG Issuer – HKEX R Bear CBBC Nov 2019 D	50,000,000	2019/05/20	2019/11/28	12.50
57782	SG Issuer – HSBC R Bull CBBC Nov 2019 N	100,000,000	2019/05/20	2019/11/08	25.00
57853	SG Issuer – HSCEI R Bear CBBC Sep 2019 B	100,000,000	2019/05/20	2019/09/27	25.00
57965	SG Issuer – HSI R Bull CBBC Dec 2021 M	250,000,000	2019/05/20	2021/12/30	62.50
57966	SG Issuer – HSI R Bull CBBC Dec 2021 N	250,000,000	2019/05/20	2021/12/30	62.50
57968	SG Issuer – HSI R Bull CBBC Dec 2021 O	250,000,000	2019/05/20	2021/12/30	62.50
57293	SG Issuer – HSI R Bear CBBC Aug 2019 I	250,000,000	2019/05/20	2019/08/29	62.50
57303	SG Issuer – HSI R Bear CBBC Aug 2019 K	250,000,000	2019/05/20	2019/08/29	62.50
57309	SG Issuer – HSI R Bear CBBC Aug 2019 Y	250,000,000	2019/05/20	2019/08/29	62.50
57336	SG Issuer – HSI R Bear CBBC Oct 2019 D	250,000,000	2019/05/20	2019/10/30	62.50
57350	SG Issuer – HSI R Bear CBBC Oct 2019 F	250,000,000	2019/05/20	2019/10/30	62.50
57374	SG Issuer – HSI R Bear CBBC Oct 2019 P	250,000,000	2019/05/20	2019/10/30	62.50
57754	SG Issuer – HSI R Bear CBBC Dec 2019 C	500,000,000	2019/05/20	2019/12/30	125.00
57834	SG Issuer – Ping An R Bull CBBC Sep 2019 Q	100,000,000	2019/05/20	2019/09/30	25.00
57835	SG Issuer – Tencent R Bull CBBC Aug 2019 C	80,000,000	2019/05/20	2019/08/27	20.00
57838	SG Issuer – Tencent R Bull CBBC Sep 2019 P	80,000,000	2019/05/20	2019/09/30	20.00
57750	SG Issuer – Tencent R Bear CBBC Dec 2019 B	80,000,000	2019/05/20	2019/12/30	20.00
69729	UBS AG – HSI R Bull CBBC Sep 2021 I	200,000,000	2019/05/20	2021/09/29	50.00
69740	UBS AG – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/05/20	2021/09/29	50.00
69747	UBS AG – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/05/20	2021/09/29	50.00
69657	UBS AG – HSI R Bear CBBC Aug 2019 J	200,000,000	2019/05/20	2019/08/29	50.00
69659	UBS AG – HSI R Bear CBBC Sep 2019 D	200,000,000	2019/05/20	2019/09/27	50.00
69682	UBS AG – HSI R Bear CBBC Sep 2019 E	200,000,000	2019/05/20	2019/09/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69726	UBS AG – HSI R Bear CBBC Sep 2019 F	200,000,000	2019/05/20	2019/09/27	50.00
69728	UBS AG – Tencent R Bear CBBC Nov 2019 D	200,000,000	2019/05/20	2019/11/11	50.00
69992	Bank Vontobel – HSI R Bull CBBC Mar 2021 V	80,000,000	2019/05/20	2021/03/30	20.00
69986	Bank Vontobel – HSI R Bear CBBC Dec 2019 Y	80,000,000	2019/05/20	2019/12/30	20.00
69993	Bank Vontobel – HSI R Bear CBBC Dec 2019 Z	80,000,000	2019/05/20	2019/12/30	20.00
58534	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 H	200,000,000	2019/05/21	2019/12/31	50.00
58517	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 G	150,000,000	2019/05/21	2020/09/29	40.05
58518	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 H	150,000,000	2019/05/21	2019/08/29	37.65
58522	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 I	150,000,000	2019/05/21	2019/08/29	38.40
58531	BOCI Asia Ltd. – Tencent R Bull CBBC Nov 2019 E	200,000,000	2019/05/21	2019/11/20	50.60
58533	BOCI Asia Ltd. – Tencent R Bear CBBC Nov 2019 E	200,000,000	2019/05/21	2019/11/18	50.00
57975	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 E	250,000,000	2019/05/21	2021/10/28	62.50
57985	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 F	250,000,000	2019/05/21	2021/10/28	62.50
57979	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 J	250,000,000	2019/05/21	2021/10/28	62.50
57998	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 L	250,000,000	2019/05/21	2021/10/28	62.50
57983	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 M	250,000,000	2019/05/21	2021/10/28	62.50
58006	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 N	250,000,000	2019/05/21	2021/10/28	62.50
58249	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 U	250,000,000	2019/05/21	2019/10/30	62.50
58250	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 V	250,000,000	2019/05/21	2019/10/30	62.50
58272	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 W	250,000,000	2019/05/21	2019/10/30	62.50
58274	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 X	250,000,000	2019/05/21	2019/10/30	62.50
58278	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 Y	250,000,000	2019/05/21	2019/10/30	62.50
58472	BNP Paribas Issuance B.V. – HSI R Bear CBBC Oct 2019 Z	240,000,000	2019/05/21	2019/10/30	60.00
58019	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 K	50,000,000	2019/05/21	2019/12/30	12.50
58036	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 L	50,000,000	2019/05/21	2019/12/30	12.50
58028	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 K	50,000,000	2019/05/21	2020/01/30	12.50
58068	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 L	50,000,000	2019/05/21	2020/01/30	12.50
58923	Credit Suisse AG – CC Bank R Bull CBBC Feb 2020 A	80,000,000	2019/05/21	2020/02/03	20.00
59008	Credit Suisse AG – China Life R Bull CBBC Feb 2020 A	100,000,000	2019/05/21	2020/02/03	25.00
59010	Credit Suisse AG – HKEX R Bull CBBC Feb 2020 A	150,000,000	2019/05/21	2020/02/20	37.50
59075	Credit Suisse AG – HSI R Bull CBBC Sep 2021 V	300,000,000	2019/05/21	2021/09/29	75.00
58855	Credit Suisse AG – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/05/21	2021/10/28	50.00
58868	Credit Suisse AG – HSI R Bull CBBC Nov 2021 F	200,000,000	2019/05/21	2021/11/29	50.00
58867	Credit Suisse AG – HSI R Bull CBBC Dec 2021 D	200,000,000	2019/05/21	2021/12/30	50.00
58910	Credit Suisse AG – HSI R Bear CBBC Oct 2019 Z	200,000,000	2019/05/21	2019/10/30	50.00
59038	Credit Suisse AG – HSI R Bear CBBC Nov 2019 S	300,000,000	2019/05/21	2019/11/28	75.00
59058	Credit Suisse AG – HSI R Bear CBBC Nov 2019 T	200,000,000	2019/05/21	2019/11/28	50.00
59072	Credit Suisse AG – HSI R Bear CBBC Nov 2019 U	200,000,000	2019/05/21	2019/11/28	50.00
59066	Credit Suisse AG – HSI R Bear CBBC Dec 2019 E	300,000,000	2019/05/21	2019/12/30	75.00
58950	Credit Suisse AG – ICBC R Bull CBBC Jan 2020 E	70,000,000	2019/05/21	2020/01/09	17.50
58878	Credit Suisse AG – PetroChina R Bull CBBC Feb 2020 A	60,000,000	2019/05/21	2020/02/17	15.00
58873	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 H	150,000,000	2019/05/21	2020/01/30	37.50
58954	Credit Suisse AG – Xiaomi R Bull CBBC Dec 2019 C	50,000,000	2019/05/21	2019/12/20	12.50
58622	Goldman Sachs SP (Asia) – Bank of China R Bull CBBC Jan 20 A	100,000,000	2019/05/21	2020/01/30	25.00
58649	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2019S	100,000,000	2019/05/21	2019/11/25	25.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58594	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Jun 2020 A	100,000,000	2019/05/21	2020/06/30	25.00
58605	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 H	100,000,000	2019/05/21	2019/09/30	25.00
58607	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2019 G	80,000,000	2019/05/21	2019/12/30	20.00
58152	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/05/21	2021/10/28	50.00
58177	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/05/21	2021/10/28	50.00
58223	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/05/21	2021/10/28	50.00
58119	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/05/21	2021/10/28	50.00
58589	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2019 A	200,000,000	2019/05/21	2019/08/29	50.00
58593	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2019 B	200,000,000	2019/05/21	2019/08/29	50.00
58646	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2019 C	200,000,000	2019/05/21	2019/08/29	50.00
58647	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2019 D	200,000,000	2019/05/21	2019/08/29	50.00
58571	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2020 E	200,000,000	2019/05/21	2020/01/30	50.00
58198	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 F	100,000,000	2019/05/21	2019/11/29	25.00
58206	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 M	100,000,000	2019/05/21	2019/08/21	25.00
58231	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 N	100,000,000	2019/05/21	2019/08/21	25.00
58634	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 D	80,000,000	2019/05/21	2019/12/30	20.00
58645	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 E	80,000,000	2019/05/21	2019/12/30	20.00
58603	Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Oct 2019 B	100,000,000	2019/05/21	2019/10/15	25.00
58459	HK Bank – AIA R Bear CBBC Nov 2019 C	40,000,000	2019/05/21	2019/11/25	10.00
58465	HK Bank – CC Bank R Bull CBBC Nov 2019 C	60,000,000	2019/05/21	2019/11/18	15.00
58455	HK Bank – China Life R Bear CBBC Nov 2019 B	80,000,000	2019/05/21	2019/11/04	20.00
58288	HK Bank – Geely Auto R Bear CBBC Nov 2019 D	40,000,000	2019/05/21	2019/11/25	10.00
58069	HK Bank – Galaxy Ent R Bull CBBC Nov 2019 F	60,000,000	2019/05/21	2019/11/18	15.00
58322	HK Bank – HKEX R Bull CBBC Dec 2019 C	100,000,000	2019/05/21	2019/12/16	25.00
58286	HK Bank – HKEX R Bear CBBC Nov 2019 G	40,000,000	2019/05/21	2019/11/04	10.00
58352	HK Bank – HSCEI R Bear CBBC Oct 2019 C	100,000,000	2019/05/21	2019/10/30	25.00
58091	HK Bank – HSI R Bull CBBC Jul 2020 C	80,000,000	2019/05/21	2020/07/30	20.00
58118	HK Bank – HSI R Bull CBBC Jul 2020 D	80,000,000	2019/05/21	2020/07/30	20.00
58355	HK Bank – HSI R Bear CBBC Oct 2019 X	80,000,000	2019/05/21	2019/10/30	20.00
58396	HK Bank – HSI R Bear CBBC Oct 2019 Y	150,000,000	2019/05/21	2019/10/30	37.50
58469	HK Bank – ICBC R Bear CBBC Nov 2019 A	40,000,000	2019/05/21	2019/11/11	10.00
58437	HK Bank – Ping An R Bull CBBC Dec 2019 E	60,000,000	2019/05/21	2019/12/09	15.00
58085	HK Bank – Tencent R Bull CBBC Nov 2019 L	50,000,000	2019/05/21	2019/11/25	12.50
59801	Haitong Int'l Sec – HKEX R Bear CBBC Dec 2019 A	40,000,000	2019/05/21	2019/12/05	10.00
58559	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 T	150,000,000	2019/05/21	2021/07/29	37.50
58535	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 A	150,000,000	2019/05/21	2021/09/29	37.50
58536	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 F	150,000,000	2019/05/21	2021/10/28	37.50
58537	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 H	150,000,000	2019/05/21	2021/10/28	37.50
58541	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 K	150,000,000	2019/05/21	2021/10/28	37.50
58566	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 R	150,000,000	2019/05/21	2019/09/27	37.50
58567	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 S	150,000,000	2019/05/21	2019/09/27	37.50
58569	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 T	150,000,000	2019/05/21	2019/09/27	37.50
58538	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 G	40,000,000	2019/05/21	2019/11/04	10.00
58787	J P Morgan SP BV – China Tower R Bull CBBC Jan 2020 A	100,000,000	2019/05/21	2020/01/10	25.00
58669	J P Morgan SP BV – HKEX R Bull CBBC Sep 2019 D	40,000,000	2019/05/21	2019/09/13	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58677	J P Morgan SP BV – HKEX R Bull CBBC Nov 2019 B	200,000,000	2019/05/21	2019/11/15	50.00
58678	J P Morgan SP BV – HKEX R Bull CBBC Nov 2019 C	200,000,000	2019/05/21	2019/11/15	50.00
58679	J P Morgan SP BV – HKEX R Bull CBBC Nov 2019 D	200,000,000	2019/05/21	2019/11/15	50.00
58748	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 D	200,000,000	2019/05/21	2021/09/29	50.00
58762	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 F	200,000,000	2019/05/21	2021/09/29	50.00
58705	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/05/21	2021/10/28	50.00
58687	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/05/21	2021/10/28	50.00
58771	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 A	200,000,000	2019/05/21	2021/11/29	50.00
58814	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 L	200,000,000	2019/05/21	2019/10/30	50.00
58823	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 M	200,000,000	2019/05/21	2019/10/30	50.00
58847	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 N	200,000,000	2019/05/21	2019/10/30	50.00
58848	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 O	200,000,000	2019/05/21	2019/10/30	50.00
58854	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 P	200,000,000	2019/05/21	2019/10/30	50.00
58666	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 O	250,000,000	2019/05/21	2019/09/13	62.50
58773	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 P	50,000,000	2019/05/21	2019/09/13	12.50
58785	J P Morgan SP BV – Xiaomi R Bull CBBC Sep 2019 B	60,000,000	2019/05/21	2019/09/13	15.00
59634	SG Issuer – CAM CSI300 R Bull CBBC Dec 2019 A	100,000,000	2019/05/21	2019/12/30	25.00
59724	SG Issuer – China Mobile R Bull CBBC Jun 2020 A	100,000,000	2019/05/21	2020/06/30	25.00
59469	SG Issuer – CC Bank R Bull CBBC Dec 2019 B	100,000,000	2019/05/21	2019/12/30	25.00
59529	SG Issuer – CITIC Sec R Bull CBBC Mar 2020 A	100,000,000	2019/05/21	2020/03/04	25.00
59637	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 Y	100,000,000	2019/05/21	2020/02/27	25.00
59504	SG Issuer – China Tower R Bull CBBC Dec 2019 B	120,000,000	2019/05/21	2019/12/30	30.00
59431	SG Issuer – Galaxy Ent R Bull CBBC Nov 2019 L	100,000,000	2019/05/21	2019/11/25	25.00
59441	SG Issuer – HKEX R Bull CBBC Oct 2019 R	50,000,000	2019/05/21	2019/10/25	12.50
59565	SG Issuer – HKEX R Bull CBBC Nov 2019 D	50,000,000	2019/05/21	2019/11/20	12.50
59312	SG Issuer – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/05/21	2021/10/28	62.50
59348	SG Issuer – HSI R Bull CBBC Oct 2021 B	250,000,000	2019/05/21	2021/10/28	62.50
59388	SG Issuer – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/05/21	2021/10/28	62.50
59289	SG Issuer – HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/05/21	2021/10/28	62.50
59396	SG Issuer – HSI R Bull CBBC Nov 2021 F	250,000,000	2019/05/21	2021/11/29	62.50
59646	SG Issuer – HSI R Bull CBBC Nov 2021 G	250,000,000	2019/05/21	2021/11/29	62.50
59723	SG Issuer – HSI R Bull CBBC Nov 2021 H	250,000,000	2019/05/21	2021/11/29	62.50
59644	SG Issuer – HSI R Bear CBBC Oct 2019 M	250,000,000	2019/05/21	2019/10/30	62.50
59645	SG Issuer – HSI R Bear CBBC Nov 2019 K	250,000,000	2019/05/21	2019/11/28	62.50
59651	SG Issuer – HSI R Bear CBBC Nov 2019 L	250,000,000	2019/05/21	2019/11/28	62.50
59653	SG Issuer – HSI R Bear CBBC Nov 2019 M	250,000,000	2019/05/21	2019/11/28	62.50
59672	SG Issuer – HSI R Bear CBBC Dec 2019 D	250,000,000	2019/05/21	2019/12/30	62.50
59706	SG Issuer – HSI R Bear CBBC Dec 2019 E	250,000,000	2019/05/21	2019/12/30	62.50
59576	SG Issuer – PetroChina R Bull CBBC Dec 2019 A	40,000,000	2019/05/21	2019/12/30	10.00
59450	SG Issuer – Ping An R Bull CBBC Nov 2019 A	100,000,000	2019/05/21	2019/11/25	25.00
59590	SG Issuer – Ping An R Bear CBBC Nov 2019 B	100,000,000	2019/05/21	2019/11/22	25.00
59593	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 A	40,000,000	2019/05/21	2019/12/30	11.40
59465	SG Issuer – Tencent R Bull CBBC Aug 2019 D	80,000,000	2019/05/21	2019/08/28	20.00
59595	SG Issuer – Tencent R Bull CBBC Aug 2019 E	80,000,000	2019/05/21	2019/08/29	41.60
59599	SG Issuer – Tencent R Bull CBBC Aug 2019 I	80,000,000	2019/05/21	2019/08/30	37.60

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59608	SG Issuer – Tencent R Bull CBBC Aug 2019 K	80,000,000	2019/05/21	2019/08/30	20.00
59467	SG Issuer – Tencent R Bull CBBC Sep 2019 Q	80,000,000	2019/05/21	2019/09/25	20.00
59627	SG Issuer – Tencent R Bull CBBC Sep 2019 R	80,000,000	2019/05/21	2019/09/24	20.00
59632	SG Issuer – Tencent R Bull CBBC Sep 2019 S	80,000,000	2019/05/21	2019/09/26	20.00
59734	SG Issuer – Tencent R Bull CBBC Sep 2019 T	80,000,000	2019/05/21	2019/09/18	44.00
59751	SG Issuer – Tencent R Bull CBBC Oct 2019 C	80,000,000	2019/05/21	2019/10/25	48.00
59800	SG Issuer – Tencent R Bear CBBC Jan 2020 B	80,000,000	2019/05/21	2020/01/30	20.00
58516	UBS AG – China Tower R Bull CBBC Mar 2020 A	200,000,000	2019/05/21	2020/03/27	50.00
58501	UBS AG – HKEX R Bull CBBC Feb 2020 A	200,000,000	2019/05/21	2020/02/24	50.00
58482	UBS AG – HSI R Bull CBBC Sep 2021 H	200,000,000	2019/05/21	2021/09/29	50.00
58483	UBS AG – HSI R Bull CBBC Sep 2021 K	200,000,000	2019/05/21	2021/09/29	50.00
58487	UBS AG – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/05/21	2021/09/29	50.00
58507	UBS AG – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/05/21	2021/09/29	50.00
58503	UBS AG – HSI R Bear CBBC Aug 2019 K	200,000,000	2019/05/21	2019/08/29	50.00
58506	UBS AG – HSI R Bear CBBC Sep 2019 G	200,000,000	2019/05/21	2019/09/27	50.00
58489	UBS AG – Tencent R Bull CBBC Nov 2019 F	200,000,000	2019/05/21	2019/11/11	50.00
58512	UBS AG – Xiaomi R Bull CBBC Oct 2019 A	50,000,000	2019/05/21	2019/10/31	12.50
58515	UBS AG – Xiaomi R Bull CBBC Jun 2020 A	50,000,000	2019/05/21	2020/06/29	12.50
59260	Bank Vontobel – AIA R Bear CBBC Dec 2019 C	40,000,000	2019/05/21	2019/12/20	10.00
59263	Bank Vontobel – China Mobile R Bull CBBC Dec 2019 B	40,000,000	2019/05/21	2019/12/20	10.00
59270	Bank Vontobel – CSOP A50 ETF R Bear CBBC Dec 2019 B	40,000,000	2019/05/21	2019/12/13	10.00
59262	Bank Vontobel – Galaxy Ent R Bear CBBC Dec 2019 B	40,000,000	2019/05/21	2019/12/20	10.00
59224	Bank Vontobel – HKEX R Bear CBBC Dec 2019 D	40,000,000	2019/05/21	2019/12/20	10.00
59255	Bank Vontobel – HSBC R Bear CBBC Dec 2019 B	40,000,000	2019/05/21	2019/12/20	10.00
59110	Bank Vontobel – HSI R Bull CBBC Dec 2020 Q	80,000,000	2019/05/21	2020/12/30	20.00
59144	Bank Vontobel – HSI R Bull CBBC Dec 2020 R	80,000,000	2019/05/21	2020/12/30	20.00
59189	Bank Vontobel – HSI R Bear CBBC Jan 2020 B	80,000,000	2019/05/21	2020/01/30	20.00
59223	Bank Vontobel – HSI R Bear CBBC Jan 2020 C	80,000,000	2019/05/21	2020/01/30	20.00
59265	Bank Vontobel – PetroChina R Bear CBBC Dec 2019 C	40,000,000	2019/05/21	2019/12/20	10.00
59159	Bank Vontobel – Tencent R Bear CBBC Dec 2019 G	40,000,000	2019/05/21	2019/12/20	10.00
59181	Bank Vontobel – Tencent R Bear CBBC Dec 2019 H	40,000,000	2019/05/21	2019/12/20	10.00
59283	Bank Vontobel – Xiaomi R Bull CBBC Dec 2019 B	40,000,000	2019/05/21	2019/12/20	10.00
59958	BOCI Asia Ltd. – China Tower R Bull CBBC Dec 2019 A	100,000,000	2019/05/22	2019/12/16	25.10
59959	BOCI Asia Ltd. – China Tower R Bull CBBC Dec 2019 B	100,000,000	2019/05/22	2019/12/18	25.70
59804	BOCI Asia Ltd. – Galaxy Ent R Bull CBBC Nov 2019 A	40,000,000	2019/05/22	2019/11/18	10.00
59961	BOCI Asia Ltd. – HSBC R Bull CBBC Dec 2019 A	70,000,000	2019/05/22	2019/12/16	17.85
59950	BOCI Asia Ltd. – Sunny Optical R Bull CBBC Dec 2019 A	40,000,000	2019/05/22	2019/12/16	10.16
60062	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 O	240,000,000	2019/05/22	2021/10/28	60.00
59902	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 A	250,000,000	2019/05/22	2019/11/28	62.50
59922	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 B	250,000,000	2019/05/22	2019/11/28	62.50
59926	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 C	250,000,000	2019/05/22	2019/11/28	62.50
59927	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 D	250,000,000	2019/05/22	2019/11/28	62.50
59943	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 E	250,000,000	2019/05/22	2019/11/28	62.50
60063	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 F	240,000,000	2019/05/22	2019/11/28	60.00
60073	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 G	250,000,000	2019/05/22	2019/11/28	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60081	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 H	250,000,000	2019/05/22	2019/11/28	62.50
60197	Credit Suisse AG – China Life R Bear CBBC Feb 2020 A	60,000,000	2019/05/22	2020/02/14	15.00
60212	Credit Suisse AG – HSI R Bull CBBC May 2021 C	300,000,000	2019/05/22	2021/05/28	75.00
60195	Credit Suisse AG – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/05/22	2021/10/28	50.00
60210	Credit Suisse AG – HSI R Bull CBBC Dec 2021 E	200,000,000	2019/05/22	2021/12/30	50.00
60148	Credit Suisse AG – HSI R Bear CBBC Nov 2019 V	200,000,000	2019/05/22	2019/11/28	50.00
60171	Credit Suisse AG – HSI R Bear CBBC Nov 2019 W	200,000,000	2019/05/22	2019/11/28	50.00
60218	Credit Suisse AG – HSI R Bear CBBC Nov 2019 X	200,000,000	2019/05/22	2019/11/28	50.00
60169	Credit Suisse AG – HSI R Bear CBBC Dec 2019 F	300,000,000	2019/05/22	2019/12/30	75.00
60206	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 I	150,000,000	2019/05/22	2020/01/29	37.50
60176	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 F	100,000,000	2019/05/22	2020/01/30	25.00
60367	Goldman Sachs SP (Asia) – AIA R Bull CBBC Oct 2019 C	100,000,000	2019/05/22	2019/10/30	25.00
60354	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Sep 2019F	100,000,000	2019/05/22	2019/09/30	25.00
60349	Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Nov 2019 B	100,000,000	2019/05/22	2019/11/29	25.00
60353	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 I	100,000,000	2019/05/22	2019/09/30	25.00
59823	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/05/22	2021/10/28	50.00
59824	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/05/22	2021/10/28	50.00
59845	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/05/22	2021/10/28	50.00
59807	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2019 E	200,000,000	2019/05/22	2019/08/29	50.00
59864	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/05/22	2019/08/29	50.00
60338	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Oct 2019 J	100,000,000	2019/05/22	2019/10/30	25.00
60339	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 O	100,000,000	2019/05/22	2019/08/22	25.00
59822	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 H	80,000,000	2019/05/22	2019/08/22	20.00
60384	Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Oct 2019 C	100,000,000	2019/05/22	2019/10/15	25.00
59877	HK Bank – CAM CSI300 R Bull CBBC Dec 2019 A	50,000,000	2019/05/22	2019/12/02	12.50
59878	HK Bank – CAM CSI300 R Bull CBBC Jan 2020 A	50,000,000	2019/05/22	2020/01/06	12.50
59884	HK Bank – China Mobile R Bull CBBC Dec 2019 B	60,000,000	2019/05/22	2019/12/23	15.00
59900	HK Bank – CC Bank R Bull CBBC Dec 2019 A	60,000,000	2019/05/22	2019/12/16	15.00
59868	HK Bank – Galaxy Ent R Bull CBBC Dec 2019 B	60,000,000	2019/05/22	2019/12/02	15.00
59869	HK Bank – HKEX R Bull CBBC Nov 2019 I	50,000,000	2019/05/22	2019/11/04	12.50
59901	HK Bank – HSI R Bull CBBC Jul 2020 E	150,000,000	2019/05/22	2020/07/30	37.50
59866	HK Bank – HSI R Bear CBBC Oct 2019 E	80,000,000	2019/05/22	2019/10/30	20.00
59865	HK Bank – HSI R Bear CBBC Oct 2019 Z	150,000,000	2019/05/22	2019/10/30	37.50
59899	HK Bank – ICBC R Bull CBBC Dec 2019 B	60,000,000	2019/05/22	2019/12/23	15.00
59979	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 G	100,000,000	2019/05/22	2021/09/29	25.00
59976	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 M	150,000,000	2019/05/22	2021/10/28	37.50
59962	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 U	150,000,000	2019/05/22	2019/09/27	37.50
59980	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 V	150,000,000	2019/05/22	2019/09/27	37.50
59984	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 W	150,000,000	2019/05/22	2019/09/27	37.50
60039	J P Morgan SP BV – China Tower R Bear CBBC Nov 2019 A	100,000,000	2019/05/22	2019/11/08	25.00
60037	J P Morgan SP BV – HKEX R Bull CBBC Sep 2019 E	40,000,000	2019/05/22	2019/09/13	10.00
60030	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/05/22	2021/10/28	50.00
60025	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 B	200,000,000	2019/05/22	2021/11/29	50.00
60032	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 C	200,000,000	2019/05/22	2021/11/29	50.00
59995	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 Q	200,000,000	2019/05/22	2019/10/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59996	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 R	200,000,000	2019/05/22	2019/10/30	50.00
60003	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 S	200,000,000	2019/05/22	2019/10/30	50.00
60035	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 Q	50,000,000	2019/05/22	2019/09/20	12.50
59993	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 L	250,000,000	2019/05/22	2019/09/20	62.50
59994	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 M	250,000,000	2019/05/22	2019/09/13	62.50
59806	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 A	15,100,000	2019/05/22	2019/10/25	10.13
59805	Macquarie Bank Ltd. – Tencent R Bear CBBC Jan 2020 A	8,900,000	2019/05/22	2020/01/30	10.00
60331	SG Issuer – China Mobile R Bull CBBC Nov 2019 I	100,000,000	2019/05/22	2019/11/29	25.00
60332	SG Issuer – Geely Auto R Bear CBBC Jan 2020 A	150,000,000	2019/05/22	2020/01/24	37.50
60259	SG Issuer – HKEX R Bull CBBC Nov 2019 E	50,000,000	2019/05/22	2019/11/18	12.50
60283	SG Issuer – HSI R Bull CBBC Oct 2021 D	250,000,000	2019/05/22	2021/10/28	62.50
60286	SG Issuer – HSI R Bull CBBC Dec 2021 P	250,000,000	2019/05/22	2021/12/30	62.50
60323	SG Issuer – HSI R Bull CBBC Dec 2021 Q	250,000,000	2019/05/22	2021/12/30	62.50
60245	SG Issuer – HSI R Bear CBBC Oct 2019 K	250,000,000	2019/05/22	2019/10/30	62.50
60251	SG Issuer – HSI R Bear CBBC Nov 2019 N	250,000,000	2019/05/22	2019/11/28	62.50
60256	SG Issuer – HSI R Bear CBBC Dec 2019 F	250,000,000	2019/05/22	2019/12/30	62.50
60315	SG Issuer – HSI R Bear CBBC Dec 2019 G	250,000,000	2019/05/22	2019/12/30	62.50
60335	SG Issuer – Sunny Optical R Bear CBBC Dec 2019 A	40,000,000	2019/05/22	2019/12/30	10.00
60288	SG Issuer – Tencent R Bull CBBC Oct 2019 D	80,000,000	2019/05/22	2019/10/21	20.00
60291	SG Issuer – Tencent R Bull CBBC Oct 2019 E	80,000,000	2019/05/22	2019/10/31	20.00
60262	SG Issuer – Tencent R Bear CBBC Jan 2020 C	80,000,000	2019/05/22	2020/01/30	20.00
60337	SG Issuer – Tencent R Bear CBBC Jan 2020 D	80,000,000	2019/05/22	2020/01/15	20.00
60140	UBS AG – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/05/22	2021/10/28	50.00
60087	UBS AG – HSI R Bear CBBC Sep 2019 H	200,000,000	2019/05/22	2019/09/27	50.00
60121	UBS AG – HSI R Bear CBBC Sep 2019 I	200,000,000	2019/05/22	2019/09/27	50.00
60139	UBS AG – HSI R Bear CBBC Sep 2019 J	200,000,000	2019/05/22	2019/09/27	50.00
60232	UBS AG – Tencent R Bear CBBC Nov 2019 E	200,000,000	2019/05/22	2019/11/18	50.00
60914	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 P	240,000,000	2019/05/23	2021/10/28	60.00
60915	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 I	240,000,000	2019/05/23	2019/11/28	60.00
60977	Credit Suisse AG – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/05/23	2021/10/28	50.00
60962	Credit Suisse AG – HSI R Bull CBBC Dec 2021 F	200,000,000	2019/05/23	2021/12/30	50.00
60956	Credit Suisse AG – HSI R Bear CBBC Dec 2019 G	300,000,000	2019/05/23	2019/12/30	75.00
60959	Credit Suisse AG – HSI R Bear CBBC Dec 2019 H	300,000,000	2019/05/23	2019/12/30	75.00
60965	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 G	100,000,000	2019/05/23	2020/01/30	25.00
60642	Bank of East Asia – Galaxy Ent R Bear CBBC Dec 2019 F	100,000,000	2019/05/23	2019/12/06	25.00
60643	Bank of East Asia – Galaxy Ent R Bear CBBC Dec 2019 G	100,000,000	2019/05/23	2019/12/06	25.00
60638	Bank of East Asia – HKEX R Bull CBBC Dec 2019 D	100,000,000	2019/05/23	2019/12/06	25.00
60600	Bank of East Asia – Tencent R Bull CBBC Oct 2019 F	150,000,000	2019/05/23	2019/10/25	37.50
60648	Bank of East Asia – Tencent R Bull CBBC Oct 2019 G	30,000,000	2019/05/23	2019/10/25	10.50
60629	Bank of East Asia – Tencent R Bull CBBC Dec 2019 I	150,000,000	2019/05/23	2019/12/06	37.50
60388	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/05/23	2021/10/28	50.00
60403	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/05/23	2021/10/28	50.00
60405	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 P	100,000,000	2019/05/23	2019/08/23	25.00
60588	HK Bank – CITIC Sec R Bull CBBC Nov 2019 A	40,000,000	2019/05/23	2019/11/21	10.00
60590	HK Bank – HKEX R Bull CBBC Dec 2019 D	100,000,000	2019/05/23	2019/12/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60594	HK Bank – HSCEI R Bull CBBC Jul 2020 G	100,000,000	2019/05/23	2020/07/30	25.00
60587	HK Bank – HSI R Bull CBBC Jul 2020 F	150,000,000	2019/05/23	2020/07/30	37.50
60572	HK Bank – HSI R Bear CBBC Oct 2019 F	150,000,000	2019/05/23	2019/10/30	37.50
60592	HK Bank – Sunny Optical R Bear CBBC Nov 2019 A	40,000,000	2019/05/23	2019/11/04	10.00
60562	HK Bank – Tencent R Bull CBBC Nov 2019 M	50,000,000	2019/05/23	2019/11/11	12.50
60585	HK Bank – Tencent R Bear CBBC Oct 2019 M	40,000,000	2019/05/23	2019/10/08	10.00
60923	Haitong Int'l Sec – China Mobile R Bull CBBC Nov 2019 C	60,000,000	2019/05/23	2019/11/08	15.00
60664	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 N	150,000,000	2019/05/23	2021/10/28	37.50
60671	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 P	150,000,000	2019/05/23	2021/10/28	37.50
60672	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 X	150,000,000	2019/05/23	2019/09/27	37.50
60685	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 Y	150,000,000	2019/05/23	2019/09/27	37.50
60656	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 H	40,000,000	2019/05/23	2019/11/08	10.00
60924	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 I	40,000,000	2019/05/23	2019/12/20	10.00
60927	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 J	40,000,000	2019/05/23	2019/12/24	10.00
60945	Haitong Int'l Sec – Tencent R Bear CBBC Dec 2019 K	40,000,000	2019/05/23	2019/12/10	10.00
60706	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 G	200,000,000	2019/05/23	2021/09/29	50.00
60722	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 H	200,000,000	2019/05/23	2021/09/29	50.00
60723	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 K	200,000,000	2019/05/23	2021/09/29	50.00
60705	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 T	200,000,000	2019/05/23	2019/10/30	50.00
60726	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 U	200,000,000	2019/05/23	2019/10/30	50.00
60439	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 B	15,700,000	2019/05/23	2019/10/21	10.05
60441	Macquarie Bank Ltd. – Tencent R Bear CBBC Jan 2020 B	9,000,000	2019/05/23	2020/01/30	10.02
60802	SG Issuer – AIA R Bull CBBC Dec 2019 F	60,000,000	2019/05/23	2019/12/12	15.00
60803	SG Issuer – China Life R Bull CBBC Feb 2020 D	150,000,000	2019/05/23	2020/02/27	37.50
60747	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 L	100,000,000	2019/05/23	2019/12/18	25.00
60748	SG Issuer – HKEX R Bull CBBC Nov 2019 F	50,000,000	2019/05/23	2019/11/22	12.50
60875	SG Issuer – HSI R Bull CBBC Nov 2021 I	250,000,000	2019/05/23	2021/11/29	62.50
60843	SG Issuer – HSI R Bull CBBC Jan 2022 A	250,000,000	2019/05/23	2022/01/28	62.50
60854	SG Issuer – HSI R Bull CBBC Jan 2022 B	250,000,000	2019/05/23	2022/01/28	62.50
60866	SG Issuer – HSI R Bear CBBC Oct 2019 R	250,000,000	2019/05/23	2019/10/30	62.50
60779	SG Issuer – HSI R Bear CBBC Nov 2019 O	250,000,000	2019/05/23	2019/11/28	62.50
60787	SG Issuer – HSI R Bear CBBC Dec 2019 H	250,000,000	2019/05/23	2019/12/30	62.50
60796	SG Issuer – HSI R Bear CBBC Jan 2020 A	500,000,000	2019/05/23	2020/01/30	125.00
60829	SG Issuer – ICBC R Bull CBBC Dec 2019 A	100,000,000	2019/05/23	2019/12/12	25.00
60749	SG Issuer – PetroChina R Bull CBBC Dec 2019 B	40,000,000	2019/05/23	2019/12/18	10.00
60879	SG Issuer – Ping An R Bull CBBC Oct 2019 O	100,000,000	2019/05/23	2019/10/29	25.00
60836	SG Issuer – Tencent R Bull CBBC Aug 2019 V	80,000,000	2019/05/23	2019/08/30	20.00
60896	SG Issuer – Tencent R Bull CBBC Aug 2019 W	80,000,000	2019/05/23	2019/08/30	28.80
60897	SG Issuer – Tencent R Bull CBBC Aug 2019 X	80,000,000	2019/05/23	2019/08/30	20.00
60902	SG Issuer – Tencent R Bull CBBC Sep 2019 U	80,000,000	2019/05/23	2019/09/30	21.20
60773	SG Issuer – Tencent R Bull CBBC Oct 2019 F	80,000,000	2019/05/23	2019/10/18	20.00
60842	SG Issuer – Tencent R Bull CBBC Oct 2019 G	80,000,000	2019/05/23	2019/10/21	20.00
60903	SG Issuer – Tencent R Bull CBBC Oct 2019 H	80,000,000	2019/05/23	2019/10/29	48.00
60909	SG Issuer – Tencent R Bear CBBC Jan 2020 E	80,000,000	2019/05/23	2020/01/30	20.00
60506	UBS AG – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/05/23	2021/10/28	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60542	UBS AG – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/05/23	2021/10/28	50.00
60703	UBS AG – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/05/23	2021/10/28	50.00
60453	UBS AG – HSI R Bear CBBC Sep 2019 K	200,000,000	2019/05/23	2019/09/27	50.00
60462	UBS AG – HSI R Bear CBBC Sep 2019 L	200,000,000	2019/05/23	2019/09/27	50.00
60463	UBS AG – HSI R Bear CBBC Sep 2019 M	200,000,000	2019/05/23	2019/09/27	50.00
60426	Bank Vontobel – CSOP A50 ETF R Bull CBBC Dec 2019 A	40,000,000	2019/05/23	2019/12/20	10.00
60422	Bank Vontobel – HSI R Bull CBBC Mar 2021 W	80,000,000	2019/05/23	2021/03/30	20.00
60435	Bank Vontobel – HSI R Bear CBBC Feb 2020 L	80,000,000	2019/05/23	2020/02/27	20.00
61072	BOCI Asia Ltd. – PetroChina R Bull CBBC Dec 2019 A	50,000,000	2019/05/24	2019/12/16	12.80
61053	BNP Paribas Issuance B.V. – Galaxy Ent R Bear CBBC Feb 2020B	150,000,000	2019/05/24	2020/02/27	37.50
61268	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Q	240,000,000	2019/05/24	2021/10/28	60.00
60995	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 J	250,000,000	2019/05/24	2019/11/28	62.50
61232	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 K	250,000,000	2019/05/24	2019/11/28	62.50
61250	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 L	250,000,000	2019/05/24	2019/11/28	62.50
61253	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 M	250,000,000	2019/05/24	2019/11/28	62.50
61271	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 N	240,000,000	2019/05/24	2019/11/28	60.00
61287	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 O	250,000,000	2019/05/24	2019/11/28	62.50
61023	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 M	50,000,000	2019/05/24	2019/12/30	12.50
61288	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 N	50,000,000	2019/05/24	2019/12/30	12.50
61025	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 M	50,000,000	2019/05/24	2020/01/30	12.50
61028	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 L	50,000,000	2019/05/24	2019/11/28	12.50
61039	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 M	50,000,000	2019/05/24	2019/11/28	12.50
61036	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 B	50,000,000	2019/05/24	2019/12/30	12.50
61049	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 C	50,000,000	2019/05/24	2019/12/30	12.50
61295	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 D	50,000,000	2019/05/24	2019/12/30	12.50
61458	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2020 A	50,000,000	2019/05/24	2020/04/09	12.50
61445	Credit Suisse AG – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/05/24	2021/10/28	50.00
61444	Credit Suisse AG – HSI R Bull CBBC Dec 2021 G	200,000,000	2019/05/24	2021/12/30	50.00
61504	Credit Suisse AG – HSI R Bull CBBC Dec 2021 H	200,000,000	2019/05/24	2021/12/30	50.00
61467	Credit Suisse AG – HSI R Bear CBBC Dec 2019 I	300,000,000	2019/05/24	2019/12/30	75.00
61470	Credit Suisse AG – HSI R Bear CBBC Dec 2019 J	200,000,000	2019/05/24	2019/12/30	50.00
61500	Credit Suisse AG – HSI R Bear CBBC Dec 2019 K	200,000,000	2019/05/24	2019/12/30	50.00
61442	Credit Suisse AG – SUNAC R Bull CBBC Jan 2020 A	60,000,000	2019/05/24	2020/01/31	15.00
61450	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 A	100,000,000	2019/05/24	2020/02/27	25.00
61453	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 B	100,000,000	2019/05/24	2020/02/12	25.00
61455	Credit Suisse AG – Tencent R Bull CBBC Mar 2020 A	100,000,000	2019/05/24	2020/03/05	25.00
61460	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 H	100,000,000	2019/05/24	2020/01/24	25.00
61656	Goldman Sachs SP (Asia) – China Tower R Bull CBBC Dec 2019 B	100,000,000	2019/05/24	2019/12/30	25.00
61642	Goldman Sachs SP (Asia) – China Tower R Bull CBBC Mar 2020 A	100,000,000	2019/05/24	2020/03/30	25.00
61630	Goldman Sachs SP (Asia) – Galaxy Ent R Bear CBBC Feb 2020 F	80,000,000	2019/05/24	2020/02/10	20.00
61180	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/05/24	2021/10/28	50.00
61016	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/05/24	2021/10/28	50.00
61655	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 A	200,000,000	2019/05/24	2020/03/30	50.00
61626	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2020 J	200,000,000	2019/05/24	2020/04/28	50.00
61227	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 G	100,000,000	2019/05/24	2019/11/29	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61205	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 Q	100,000,000	2019/05/24	2019/08/26	25.00
61223	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 R	100,000,000	2019/05/24	2019/08/26	25.00
61628	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 I	80,000,000	2019/05/24	2019/08/26	20.00
61093	HK Bank – AAC Tech R Bull CBBC Dec 2019 A	40,000,000	2019/05/24	2019/12/23	10.00
61089	HK Bank – AAC Tech R Bear CBBC Oct 2019 B	40,000,000	2019/05/24	2019/10/14	10.00
61077	HK Bank – HKEX R Bull CBBC Nov 2019 J	50,000,000	2019/05/24	2019/11/18	12.50
61098	HK Bank – HSI R Bear CBBC Oct 2019 G	80,000,000	2019/05/24	2019/10/30	20.00
61084	HK Bank – Sunny Optical R Bull CBBC Dec 2019 A	40,000,000	2019/05/24	2019/12/02	10.00
61168	HK Bank – Tencent R Bull CBBC Oct 2019 N	50,000,000	2019/05/24	2019/10/14	12.50
61135	HK Bank – Tencent R Bull CBBC Dec 2019 A	50,000,000	2019/05/24	2019/12/02	22.50
61141	HK Bank – Tencent R Bull CBBC Dec 2019 B	150,000,000	2019/05/24	2019/12/16	37.50
61149	HK Bank – Tencent R Bull CBBC Jan 2020 A	150,000,000	2019/05/24	2020/01/06	37.50
61106	HK Bank – Tencent R Bear CBBC Nov 2019 H	40,000,000	2019/05/24	2019/11/07	10.00
61082	HK Bank – Xiaomi R Bull CBBC Jan 2020 B	40,000,000	2019/05/24	2020/01/20	10.00
61877	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 F	150,000,000	2019/05/24	2021/09/29	37.50
61894	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 H	150,000,000	2019/05/24	2021/09/29	37.50
61875	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 I	150,000,000	2019/05/24	2021/09/29	37.50
61900	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 B	150,000,000	2019/05/24	2019/09/27	37.50
61895	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 Z	150,000,000	2019/05/24	2019/09/27	37.50
61906	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 I	40,000,000	2019/05/24	2019/11/11	10.00
61891	Haitong Int'l Sec – Tencent R Bear CBBC Mar 2020 A	40,000,000	2019/05/24	2020/03/26	10.00
61908	Haitong Int'l Sec – Tencent R Bear CBBC Mar 2020 B	40,000,000	2019/05/24	2020/03/25	10.00
61418	J P Morgan SP BV – China Tower R Bull CBBC Jan 2020 B	100,000,000	2019/05/24	2020/01/17	25.00
61420	J P Morgan SP BV – China Tower R Bear CBBC Dec 2019 A	100,000,000	2019/05/24	2019/12/20	25.00
61416	J P Morgan SP BV – HKEX R Bear CBBC Sep 2019 F	40,000,000	2019/05/24	2019/09/13	10.00
61355	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/05/24	2021/10/28	50.00
61358	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/05/24	2021/10/28	50.00
61427	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/05/24	2021/10/28	50.00
61431	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 V	200,000,000	2019/05/24	2019/10/30	50.00
61436	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 W	200,000,000	2019/05/24	2019/10/30	50.00
61438	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 X	200,000,000	2019/05/24	2019/10/30	50.00
61439	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 Y	200,000,000	2019/05/24	2019/10/30	50.00
61385	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 R	50,000,000	2019/05/24	2019/09/13	12.50
61386	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 B	250,000,000	2019/05/24	2019/10/11	62.50
61400	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 N	50,000,000	2019/05/24	2019/09/13	12.50
61401	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 O	250,000,000	2019/05/24	2019/09/20	62.50
61405	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 P	250,000,000	2019/05/24	2019/09/20	62.50
61412	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 A	250,000,000	2019/05/24	2019/10/11	62.50
61774	SG Issuer – AAC Tech R Bear CBBC Dec 2019 C	100,000,000	2019/05/24	2019/12/30	25.00
61673	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 Z	100,000,000	2019/05/24	2020/02/27	25.00
61788	SG Issuer – China Tower R Bear CBBC Dec 2019 B	120,000,000	2019/05/24	2019/12/05	30.00
61664	SG Issuer – Geely Auto R Bull CBBC Apr 2020 B	150,000,000	2019/05/24	2020/04/20	37.50
61659	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 M	100,000,000	2019/05/24	2019/12/09	25.00
61790	SG Issuer – Galaxy Ent R Bear CBBC Dec 2019 B	100,000,000	2019/05/24	2019/12/30	25.00
61813	SG Issuer – HKEX R Bear CBBC Nov 2019 E	50,000,000	2019/05/24	2019/11/28	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61672	SG Issuer – HSCEI R Bull CBBC Oct 2020 N	100,000,000	2019/05/24	2020/10/29	25.00
61687	SG Issuer – HSI R Bull CBBC Nov 2021 J	250,000,000	2019/05/24	2021/11/29	62.50
61871	SG Issuer – HSI R Bull CBBC Nov 2021 K	250,000,000	2019/05/24	2021/11/29	62.50
61874	SG Issuer – HSI R Bull CBBC Nov 2021 L	250,000,000	2019/05/24	2021/11/29	62.50
61711	SG Issuer – HSI R Bull CBBC Dec 2021 R	250,000,000	2019/05/24	2021/12/30	62.50
61719	SG Issuer – HSI R Bull CBBC Dec 2021 S	250,000,000	2019/05/24	2021/12/30	62.50
61741	SG Issuer – HSI R Bull CBBC Dec 2021 T	250,000,000	2019/05/24	2021/12/30	62.50
61861	SG Issuer – HSI R Bear CBBC Sep 2019 M	250,000,000	2019/05/24	2019/09/27	62.50
61867	SG Issuer – HSI R Bear CBBC Oct 2019 I	250,000,000	2019/05/24	2019/10/30	62.50
61868	SG Issuer – HSI R Bear CBBC Nov 2019 P	250,000,000	2019/05/24	2019/11/28	62.50
61869	SG Issuer – HSI R Bear CBBC Dec 2019 I	250,000,000	2019/05/24	2019/12/30	62.50
61666	SG Issuer – Ping An R Bull CBBC Dec 2019 J	100,000,000	2019/05/24	2019/12/09	25.00
61721	SG Issuer – Sunny Optical R Bull CBBC Nov 2019 D	40,000,000	2019/05/24	2019/11/28	10.00
61818	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 B	40,000,000	2019/05/24	2019/12/05	11.40
61829	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 C	40,000,000	2019/05/24	2019/12/30	10.00
61816	SG Issuer – Sunny Optical R Bear CBBC Oct 2019 E	40,000,000	2019/05/24	2019/10/31	10.00
61722	SG Issuer – Tencent R Bull CBBC Aug 2019 L	80,000,000	2019/05/24	2019/08/30	20.00
61725	SG Issuer – Tencent R Bull CBBC Sep 2019 V	80,000,000	2019/05/24	2019/09/19	20.00
61726	SG Issuer – Tencent R Bull CBBC Sep 2019 W	80,000,000	2019/05/24	2019/09/27	20.00
61734	SG Issuer – Tencent R Bull CBBC Sep 2019 X	80,000,000	2019/05/24	2019/09/30	20.00
61839	SG Issuer – Tencent R Bear CBBC Nov 2019 S	80,000,000	2019/05/24	2019/11/28	20.00
61849	SG Issuer – Tencent R Bear CBBC Jan 2020 F	80,000,000	2019/05/24	2020/01/30	20.00
61299	UBS AG – HSI R Bull CBBC Sep 2021 O	200,000,000	2019/05/24	2021/09/29	50.00
61301	UBS AG – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/05/24	2021/09/29	50.00
61313	UBS AG – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/05/24	2021/09/29	50.00
61315	UBS AG – HSI R Bear CBBC Aug 2019 F	200,000,000	2019/05/24	2019/08/29	50.00
61316	UBS AG – HSI R Bear CBBC Sep 2019 N	200,000,000	2019/05/24	2019/09/27	50.00
61317	UBS AG – HSI R Bear CBBC Oct 2019 F	200,000,000	2019/05/24	2019/10/30	50.00
61306	UBS AG – Tencent R Bull CBBC Oct 2019 K	200,000,000	2019/05/24	2019/10/14	50.00
61343	UBS AG – Tencent R Bull CBBC Oct 2019 L	40,000,000	2019/05/24	2019/10/22	10.00
61320	UBS AG – Tencent R Bear CBBC Oct 2019 F	200,000,000	2019/05/24	2019/10/21	50.00
61326	UBS AG – Tencent R Bear CBBC Oct 2019 G	200,000,000	2019/05/24	2019/10/15	50.00
61507	Bank Vontobel – AIA R Bull CBBC Dec 2019 E	40,000,000	2019/05/24	2019/12/20	10.00
61547	Bank Vontobel – China Mobile R Bull CBBC Jan 2020 A	40,000,000	2019/05/24	2020/01/17	10.00
61506	Bank Vontobel – CC Bank R Bull CBBC Jan 2020 A	40,000,000	2019/05/24	2020/01/17	10.00
61591	Bank Vontobel – CITIC Sec R Bull CBBC Dec 2019 A	40,000,000	2019/05/24	2019/12/13	10.00
61617	Bank Vontobel – CITIC Sec R Bull CBBC Mar 2020 A	40,000,000	2019/05/24	2020/03/06	12.44
61518	Bank Vontobel – Sinopec Corp R Bull CBBC Dec 2019 B	40,000,000	2019/05/24	2019/12/13	10.00
61559	Bank Vontobel – Geely Auto R Bull CBBC Dec 2019 G	40,000,000	2019/05/24	2019/12/20	10.00
61516	Bank Vontobel – Galaxy Ent R Bull CBBC Jan 2020 A	40,000,000	2019/05/24	2020/01/17	10.00
61519	Bank Vontobel – Sunny Optical R Bear CBBC Dec 2019 A	40,000,000	2019/05/24	2019/12/20	10.00
61540	Bank Vontobel – Tencent R Bull CBBC Dec 2019 H	40,000,000	2019/05/24	2019/12/20	10.00
61991	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 H	150,000,000	2019/05/27	2020/09/29	39.75
61976	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 I	150,000,000	2019/05/27	2020/09/29	39.45
61992	BOCI Asia Ltd. – HSI R Bear CBBC Aug 2019 J	150,000,000	2019/05/27	2019/08/29	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61997	BOCI Asia Ltd. – Tencent R Bull CBBC Nov 2019 F	200,000,000	2019/05/27	2019/11/20	51.20
62003	BOCI Asia Ltd. – Tencent R Bull CBBC Nov 2019 G	200,000,000	2019/05/27	2019/11/20	53.20
62231	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 S	50,000,000	2019/05/27	2019/11/28	12.50
62232	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 L	50,000,000	2019/05/27	2020/07/30	12.50
61915	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 R	250,000,000	2019/05/27	2021/10/28	62.50
61916	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 S	250,000,000	2019/05/27	2021/10/28	62.50
62213	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 T	240,000,000	2019/05/27	2021/10/28	60.00
62205	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 P	250,000,000	2019/05/27	2019/11/28	62.50
62217	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 Q	250,000,000	2019/05/27	2019/11/28	62.50
62212	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 O	50,000,000	2019/05/27	2019/12/30	12.50
62221	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 N	50,000,000	2019/05/27	2019/11/28	12.50
62230	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 R	50,000,000	2019/05/27	2019/11/28	12.50
62225	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 E	50,000,000	2019/05/27	2019/12/30	12.50
62227	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 F	50,000,000	2019/05/27	2019/12/30	12.50
62311	Credit Suisse AG – China Tower R Bull CBBC Jan 2020 B	40,000,000	2019/05/27	2020/01/30	10.00
62309	Credit Suisse AG – Geely Auto R Bull CBBC May 2020 A	100,000,000	2019/05/27	2020/05/28	25.00
62319	Credit Suisse AG – Galaxy Ent R Bear CBBC Jan 2020 C	50,000,000	2019/05/27	2020/01/30	12.50
62289	Credit Suisse AG – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/05/27	2021/10/28	50.00
62290	Credit Suisse AG – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/05/27	2021/10/28	50.00
62320	Credit Suisse AG – HSI R Bear CBBC Dec 2019 L	200,000,000	2019/05/27	2019/12/30	50.00
62325	Credit Suisse AG – HSI R Bear CBBC Jan 2020 A	300,000,000	2019/05/27	2020/01/30	75.00
62328	Credit Suisse AG – HSI R Bear CBBC Jan 2020 B	200,000,000	2019/05/27	2020/01/30	50.00
62294	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 C	100,000,000	2019/05/27	2020/02/27	25.00
62296	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 D	100,000,000	2019/05/27	2020/02/27	25.00
62317	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 I	100,000,000	2019/05/27	2020/01/30	25.00
62285	Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Dec 2019 D	100,000,000	2019/05/27	2019/12/30	25.00
62263	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2019T	100,000,000	2019/05/27	2019/11/25	25.00
62279	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Dec 2019 U	80,000,000	2019/05/27	2019/12/30	27.36
61936	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/05/27	2021/10/28	50.00
61938	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/05/27	2021/10/28	50.00
62239	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 B	200,000,000	2019/05/27	2020/03/30	50.00
62257	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 C	200,000,000	2019/05/27	2020/03/30	50.00
62268	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 D	200,000,000	2019/05/27	2020/03/30	50.00
61949	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 H	100,000,000	2019/05/27	2019/11/29	25.00
61947	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 S	100,000,000	2019/05/27	2019/08/27	25.00
62235	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 T	100,000,000	2019/05/27	2019/08/27	25.00
62238	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 U	100,000,000	2019/05/27	2019/08/27	25.00
62240	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 V	80,000,000	2019/05/27	2019/08/27	26.96
62255	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 W	100,000,000	2019/05/27	2019/08/27	25.00
62256	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 X	100,000,000	2019/05/27	2019/08/27	25.00
62178	HK Bank – CC Bank R Bear CBBC Oct 2019 C	40,000,000	2019/05/27	2019/10/28	10.00
62136	HK Bank – China Tower R Bull CBBC Jan 2020 B	40,000,000	2019/05/27	2020/01/20	10.00
62188	HK Bank – Evergrande R Bull CBBC Feb 2020 A	60,000,000	2019/05/27	2020/02/10	15.00
62155	HK Bank – Galaxy Ent R Bull CBBC Dec 2019 C	60,000,000	2019/05/27	2019/12/30	15.00
62174	HK Bank – Galaxy Ent R Bear CBBC Nov 2019 C	40,000,000	2019/05/27	2019/11/11	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62146	HK Bank – HKEX R Bull CBBC Jan 2020 A	100,000,000	2019/05/27	2020/01/06	25.00
62142	HK Bank – HKEX R Bear CBBC Nov 2019 H	40,000,000	2019/05/27	2019/11/18	10.00
62111	HK Bank – HSCEI R Bear CBBC Oct 2019 D	50,000,000	2019/05/27	2019/10/30	12.50
62105	HK Bank – HSI R Bull CBBC Jul 2020 G	80,000,000	2019/05/27	2020/07/30	20.00
62108	HK Bank – HSI R Bear CBBC Oct 2019 D	150,000,000	2019/05/27	2019/10/30	37.50
62147	HK Bank – Ping An R Bear CBBC Nov 2019 D	50,000,000	2019/05/27	2019/11/11	12.50
62195	HK Bank – Sunny Optical R Bull CBBC Dec 2019 B	40,000,000	2019/05/27	2019/12/30	10.00
62197	HK Bank – Sunny Optical R Bear CBBC Oct 2019 D	40,000,000	2019/05/27	2019/10/21	10.00
62103	HK Bank – Tencent R Bull CBBC Oct 2019 O	50,000,000	2019/05/27	2019/10/21	12.50
62131	HK Bank – Tencent R Bull CBBC Jan 2020 B	150,000,000	2019/05/27	2020/01/20	37.50
62117	HK Bank – Tencent R Bear CBBC Oct 2019 N	40,000,000	2019/05/27	2019/10/24	10.00
62115	HK Bank – Tencent R Bear CBBC Nov 2019 I	40,000,000	2019/05/27	2019/11/28	10.00
62484	Haitong Int'l Sec – AIA R Bear CBBC Dec 2019 B	40,000,000	2019/05/27	2019/12/27	10.00
62461	Haitong Int'l Sec – China Tower R Bull CBBC Mar 2020 A	110,000,000	2019/05/27	2020/03/03	27.50
62483	Haitong Int'l Sec – China Tower R Bull CBBC Mar 2020 B	110,000,000	2019/05/27	2020/03/04	27.50
62621	Haitong Int'l Sec – Evergrande R Bull CBBC Apr 2020 B	190,000,000	2019/05/27	2020/04/03	47.50
62485	Haitong Int'l Sec – HSI R Bull CBBC Jul 2021 U	150,000,000	2019/05/27	2021/07/29	37.50
62506	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 V	150,000,000	2019/05/27	2021/10/28	37.50
62507	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 W	150,000,000	2019/05/27	2021/10/28	37.50
62531	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 C	150,000,000	2019/05/27	2019/09/27	37.50
62545	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 D	150,000,000	2019/05/27	2019/09/27	37.50
62505	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 J	40,000,000	2019/05/27	2019/11/11	10.00
62605	Haitong Int'l Sec – Tencent R Bear CBBC Feb 2020 A	40,000,000	2019/05/27	2020/02/21	10.00
62614	Haitong Int'l Sec – Tencent R Bear CBBC Mar 2020 C	40,000,000	2019/05/27	2020/03/31	10.00
62439	J P Morgan SP BV – China Tower R Bull CBBC Feb 2020 A	100,000,000	2019/05/27	2020/02/14	25.00
62440	J P Morgan SP BV – China Tower R Bear CBBC Jan 2020 A	100,000,000	2019/05/27	2020/01/17	25.00
62441	J P Morgan SP BV – HSCEI R Bear CBBC Nov 2019 E	100,000,000	2019/05/27	2019/11/28	25.00
62336	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/05/27	2021/10/28	50.00
62337	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/05/27	2021/10/28	50.00
62351	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/05/27	2021/10/28	50.00
62443	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 D	200,000,000	2019/05/27	2019/09/27	50.00
62447	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 Z	200,000,000	2019/05/27	2019/10/30	50.00
62445	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 B	200,000,000	2019/05/27	2019/11/28	50.00
62468	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 C	200,000,000	2019/05/27	2019/11/28	50.00
62357	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 S	50,000,000	2019/05/27	2019/09/13	12.50
62362	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 C	250,000,000	2019/05/27	2019/10/18	62.50
62380	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 D	250,000,000	2019/05/27	2019/10/11	62.50
62382	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 E	250,000,000	2019/05/27	2019/10/18	62.50
62396	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 F	250,000,000	2019/05/27	2019/10/11	62.50
62398	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 G	250,000,000	2019/05/27	2019/10/18	62.50
62369	J P Morgan SP BV – Tencent R Bull CBBC Nov 2019 A	250,000,000	2019/05/27	2019/11/08	62.50
62390	J P Morgan SP BV – Tencent R Bull CBBC Nov 2019 B	250,000,000	2019/05/27	2019/11/08	62.50
62407	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 Q	50,000,000	2019/05/27	2019/09/13	12.50
62433	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 R	250,000,000	2019/05/27	2019/09/20	62.50
62412	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 B	250,000,000	2019/05/27	2019/10/11	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62422	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 C	250,000,000	2019/05/27	2019/10/18	62.50
62435	J P Morgan SP BV – Tencent R Bear CBBC Nov 2019 A	250,000,000	2019/05/27	2019/11/08	62.50
62004	Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2019 C	19,400,000	2019/05/27	2019/09/27	10.01
62016	Macquarie Bank Ltd. – Tencent R Bear CBBC Jan 2020 C	9,500,000	2019/05/27	2020/01/30	10.04
62705	SG Issuer – CSOP A50 ETF R Bull CBBC Apr 2020 F	100,000,000	2019/05/27	2020/04/28	25.00
62666	SG Issuer – China Tower R Bull CBBC Feb 2020 B	120,000,000	2019/05/27	2020/02/28	30.00
62776	SG Issuer – China Tower R Bull CBBC Feb 2020 C	120,000,000	2019/05/27	2020/02/27	30.00
62706	SG Issuer – A50 China R Bull CBBC Feb 2020 M	100,000,000	2019/05/27	2020/02/27	25.00
62672	SG Issuer – Geely Auto R Bull CBBC Jul 2020 D	150,000,000	2019/05/27	2020/07/31	37.50
62671	SG Issuer – Galaxy Ent R Bull CBBC Nov 2019 M	100,000,000	2019/05/27	2019/11/28	25.00
62780	SG Issuer – Galaxy Ent R Bear CBBC Dec 2019 C	100,000,000	2019/05/27	2019/12/30	25.00
62674	SG Issuer – HKEX R Bull CBBC Nov 2019 G	50,000,000	2019/05/27	2019/11/28	13.75
62781	SG Issuer – HKEX R Bull CBBC Dec 2019 A	50,000,000	2019/05/27	2019/12/30	12.50
62736	SG Issuer – HKEX R Bear CBBC Nov 2019 F	50,000,000	2019/05/27	2019/11/28	12.50
62654	SG Issuer – HSI R Bull CBBC Oct 2021 E	250,000,000	2019/05/27	2021/10/28	62.50
62775	SG Issuer – HSI R Bull CBBC Oct 2021 F	250,000,000	2019/05/27	2021/10/28	62.50
62655	SG Issuer – HSI R Bull CBBC Nov 2021 M	250,000,000	2019/05/27	2021/11/29	62.50
62715	SG Issuer – HSI R Bull CBBC Nov 2021 N	250,000,000	2019/05/27	2021/11/29	62.50
62761	SG Issuer – HSI R Bear CBBC Nov 2019 Q	250,000,000	2019/05/27	2019/11/28	62.50
62762	SG Issuer – HSI R Bear CBBC Dec 2019 J	250,000,000	2019/05/27	2019/12/30	62.50
62770	SG Issuer – HSI R Bear CBBC Dec 2019 K	250,000,000	2019/05/27	2019/12/30	62.50
62771	SG Issuer – HSI R Bear CBBC Dec 2019 L	250,000,000	2019/05/27	2019/12/30	62.50
62680	SG Issuer – Ping An R Bull CBBC Sep 2019 R	100,000,000	2019/05/27	2019/09/30	25.00
62749	SG Issuer – Ping An R Bear CBBC Dec 2019 A	100,000,000	2019/05/27	2019/12/30	25.00
62686	SG Issuer – Tencent R Bull CBBC Aug 2019 A	80,000,000	2019/05/27	2019/08/30	20.00
62691	SG Issuer – Tencent R Bull CBBC Aug 2019 G	80,000,000	2019/05/27	2019/08/30	20.00
62685	SG Issuer – Tencent R Bull CBBC Aug 2019 H	80,000,000	2019/05/27	2019/08/30	22.00
62684	SG Issuer – Tencent R Bull CBBC Aug 2019 Z	80,000,000	2019/05/27	2019/08/28	20.00
62657	SG Issuer – Tencent R Bull CBBC Oct 2019 I	80,000,000	2019/05/27	2019/10/18	20.00
62662	SG Issuer – Tencent R Bull CBBC Oct 2019 J	80,000,000	2019/05/27	2019/10/18	20.00
62692	SG Issuer – Tencent R Bull CBBC Oct 2019 K	80,000,000	2019/05/27	2019/10/11	26.00
62697	SG Issuer – Tencent R Bull CBBC Oct 2019 L	80,000,000	2019/05/27	2019/10/31	36.80
62700	SG Issuer – Tencent R Bull CBBC Nov 2019 A	80,000,000	2019/05/27	2019/11/28	44.80
62785	SG Issuer – Tencent R Bear CBBC Nov 2019 U	80,000,000	2019/05/27	2019/11/28	20.00
62786	SG Issuer – Tencent R Bear CBBC Jan 2020 G	80,000,000	2019/05/27	2020/01/30	20.00
62022	UBS AG – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/05/27	2021/10/28	50.00
62027	UBS AG – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/05/27	2021/10/28	50.00
62054	UBS AG – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/05/27	2021/10/28	50.00
62058	UBS AG – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/05/27	2019/09/27	50.00
62072	UBS AG – HSI R Bear CBBC Sep 2019 P	200,000,000	2019/05/27	2019/09/27	50.00
62078	UBS AG – HSI R Bear CBBC Oct 2019 G	200,000,000	2019/05/27	2019/10/30	50.00
62030	UBS AG – Tencent R Bull CBBC Sep 2019 G	40,000,000	2019/05/27	2019/09/30	10.00
62035	UBS AG – Tencent R Bull CBBC Oct 2019 M	200,000,000	2019/05/27	2019/10/28	50.00
62033	UBS AG – Tencent R Bull CBBC Nov 2019 G	40,000,000	2019/05/27	2019/11/18	10.00
62039	UBS AG – Tencent R Bull CBBC Dec 2019 B	200,000,000	2019/05/27	2019/12/23	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62040	UBS AG – Tencent R Bull CBBC Dec 2019 C	200,000,000	2019/05/27	2019/12/16	50.00
62084	UBS AG – Tencent R Bear CBBC Sep 2019 E	40,000,000	2019/05/27	2019/09/30	10.00
62099	UBS AG – Tencent R Bear CBBC Nov 2019 F	200,000,000	2019/05/27	2019/11/18	50.00
62652	Bank Vontobel – China Tower R Bull CBBC Feb 2020 B	40,000,000	2019/05/27	2020/02/21	10.00
62651	Bank Vontobel – Galaxy Ent R Bear CBBC Jan 2020 A	40,000,000	2019/05/27	2020/01/17	10.00
62637	Bank Vontobel – HSI R Bull CBBC Dec 2020 S	80,000,000	2019/05/27	2020/12/30	20.00
62625	Bank Vontobel – HSI R Bear CBBC Jan 2020 D	80,000,000	2019/05/27	2020/01/30	20.00
62648	Bank Vontobel – Ping An R Bear CBBC Feb 2020 D	40,000,000	2019/05/27	2020/02/07	10.00
62645	Bank Vontobel – Tencent R Bear CBBC Feb 2020 B	40,000,000	2019/05/27	2020/02/07	10.00
63182	BOCI Asia Ltd. – China Tower R Bull CBBC Feb 2020 A	100,000,000	2019/05/28	2020/02/17	26.50
62887	BOCI Asia Ltd. – HSBC R Bull CBBC Dec 2019 B	70,000,000	2019/05/28	2019/12/17	21.00
62895	BOCI Asia Ltd. – HSBC R Bear CBBC Dec 2019 A	70,000,000	2019/05/28	2019/12/17	17.50
63190	BOCI Asia Ltd. – Ping An R Bull CBBC Dec 2019 A	70,000,000	2019/05/28	2019/12/17	17.50
63195	BOCI Asia Ltd. – Ping An R Bull CBBC Dec 2019 B	70,000,000	2019/05/28	2019/12/17	17.85
62897	BOCI Asia Ltd. – Sunny Optical R Bull CBBC Dec 2019 B	40,000,000	2019/05/28	2019/12/17	10.04
62898	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 A	200,000,000	2019/05/28	2019/12/17	54.00
62912	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 B	200,000,000	2019/05/28	2019/12/17	52.00
63199	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 U	240,000,000	2019/05/28	2021/10/28	60.00
63204	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 V	250,000,000	2019/05/28	2021/10/28	62.50
63200	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 R	250,000,000	2019/05/28	2019/11/28	62.50
62810	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 N	50,000,000	2019/05/28	2020/01/30	12.50
63021	Credit Suisse AG – CM Bank R Bull CBBC Jan 2020 F	70,000,000	2019/05/28	2020/01/30	17.50
62999	Credit Suisse AG – HSI R Bull CBBC Nov 2021 G	200,000,000	2019/05/28	2021/11/29	50.00
63001	Credit Suisse AG – HSI R Bull CBBC Nov 2021 H	200,000,000	2019/05/28	2021/11/29	50.00
63036	Credit Suisse AG – HSI R Bear CBBC Dec 2019 M	200,000,000	2019/05/28	2019/12/30	50.00
63026	Credit Suisse AG – HSI R Bear CBBC Jan 2020 C	200,000,000	2019/05/28	2020/01/30	50.00
63008	Credit Suisse AG – ICBC R Bull CBBC Jan 2020 F	70,000,000	2019/05/28	2020/01/24	17.50
63025	Credit Suisse AG – Ping An R Bear CBBC Dec 2019 N	70,000,000	2019/05/28	2019/12/30	17.50
63002	Credit Suisse AG – Tencent R Bull CBBC Nov 2019 Y	100,000,000	2019/05/28	2019/11/28	25.00
63005	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 E	100,000,000	2019/05/28	2020/02/27	25.00
63007	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 Z	100,000,000	2019/05/28	2019/12/30	25.00
62863	Bank of East Asia – HKEX R Bull CBBC Dec 2019 E	30,000,000	2019/05/28	2019/12/30	10.50
62871	Bank of East Asia – Tencent R Bear CBBC Dec 2019 E	30,000,000	2019/05/28	2019/12/30	10.50
63361	Goldman Sachs SP (Asia) – AIA R Bear CBBC Sep 2019 A	100,000,000	2019/05/28	2019/09/06	25.00
63335	Goldman Sachs SP (Asia) – Galaxy Ent R Bear CBBC Feb 2020 G	100,000,000	2019/05/28	2020/02/10	25.00
63340	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 J	100,000,000	2019/05/28	2019/09/30	25.00
62843	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/05/28	2021/10/28	50.00
62801	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 E	200,000,000	2019/05/28	2020/03/30	50.00
62804	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 F	200,000,000	2019/05/28	2020/03/30	50.00
63333	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 A	100,000,000	2019/05/28	2019/08/28	25.00
62852	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 Y	100,000,000	2019/05/28	2019/08/28	25.00
63331	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 Z	100,000,000	2019/05/28	2019/08/28	25.00
62806	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 J	80,000,000	2019/05/28	2019/08/28	20.00
63329	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 F	100,000,000	2019/05/28	2019/12/30	25.00
62948	HK Bank – China Tower R Bull CBBC Jan 2020 C	40,000,000	2019/05/28	2020/01/13	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62947	HK Bank – Galaxy Ent R Bull CBBC Nov 2019 G	60,000,000	2019/05/28	2019/11/21	15.00
62920	HK Bank – HSI R Bull CBBC Jul 2020 R	150,000,000	2019/05/28	2020/07/30	37.50
62924	HK Bank – HSI R Bear CBBC Oct 2019 H	150,000,000	2019/05/28	2019/10/30	37.50
62917	HK Bank – Tencent R Bull CBBC Nov 2019 N	50,000,000	2019/05/28	2019/11/07	12.50
62926	HK Bank – Tencent R Bear CBBC Nov 2019 J	40,000,000	2019/05/28	2019/11/21	10.00
62944	HK Bank – Tencent R Bear CBBC Dec 2019 F	150,000,000	2019/05/28	2019/12/02	37.50
63154	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 Q	150,000,000	2019/05/28	2021/10/28	37.50
63162	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 U	150,000,000	2019/05/28	2021/10/28	37.50
63130	Haitong Int'l Sec – HSI R Bear CBBC Sep 2019 J	150,000,000	2019/05/28	2019/09/27	37.50
63164	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 F	150,000,000	2019/05/28	2019/10/30	37.50
63179	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 G	150,000,000	2019/05/28	2019/10/30	37.50
63134	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 K	40,000,000	2019/05/28	2019/11/14	10.00
63136	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 L	40,000,000	2019/05/28	2019/11/29	10.00
63123	J P Morgan SP BV – China Tower R Bull CBBC Feb 2020 B	100,000,000	2019/05/28	2020/02/14	25.00
63038	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/05/28	2021/10/28	50.00
63049	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/05/28	2021/10/28	50.00
63050	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/05/28	2021/10/28	50.00
63062	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/05/28	2021/10/28	50.00
63065	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/05/28	2021/10/28	50.00
63047	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/05/28	2021/10/28	50.00
63046	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 D	200,000,000	2019/05/28	2019/11/28	50.00
63052	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 E	240,000,000	2019/05/28	2019/11/28	60.00
63061	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 F	240,000,000	2019/05/28	2019/11/28	60.00
63129	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 G	200,000,000	2019/05/28	2019/11/28	50.00
63043	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 T	50,000,000	2019/05/28	2019/09/13	12.50
63099	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 U	250,000,000	2019/05/28	2019/09/20	62.50
63092	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 H	250,000,000	2019/05/28	2019/10/18	62.50
63094	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 I	250,000,000	2019/05/28	2019/10/11	62.50
63100	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 J	250,000,000	2019/05/28	2019/10/11	62.50
63101	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 K	250,000,000	2019/05/28	2019/10/11	62.50
63083	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 S	50,000,000	2019/05/28	2019/09/13	12.50
63076	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 D	250,000,000	2019/05/28	2019/10/11	62.50
63114	J P Morgan SP BV – Xiaomi R Bull CBBC Sep 2019 C	60,000,000	2019/05/28	2019/09/20	15.00
63122	J P Morgan SP BV – Xiaomi R Bull CBBC Oct 2019 A	60,000,000	2019/05/28	2019/10/11	15.00
63258	SG Issuer – AIA R Bull CBBC Oct 2019 R	60,000,000	2019/05/28	2019/10/23	15.00
63302	SG Issuer – AIA R Bear CBBC Dec 2019 A	60,000,000	2019/05/28	2019/12/18	15.00
63308	SG Issuer – China Tower R Bull CBBC Dec 2019 C	120,000,000	2019/05/28	2019/12/30	30.00
63304	SG Issuer – China Tower R Bear CBBC Dec 2019 C	120,000,000	2019/05/28	2019/12/20	30.00
63278	SG Issuer – HSI R Bull CBBC Oct 2021 G	250,000,000	2019/05/28	2021/10/28	62.50
63280	SG Issuer – HSI R Bull CBBC Oct 2021 H	250,000,000	2019/05/28	2021/10/28	62.50
63285	SG Issuer – HSI R Bull CBBC Oct 2021 I	250,000,000	2019/05/28	2021/10/28	62.50
63295	SG Issuer – HSI R Bull CBBC Nov 2021 O	250,000,000	2019/05/28	2021/11/29	62.50
63298	SG Issuer – HSI R Bull CBBC Nov 2021 P	250,000,000	2019/05/28	2021/11/29	62.50
63286	SG Issuer – HSI R Bear CBBC Nov 2019 R	250,000,000	2019/05/28	2019/11/28	62.50
63252	SG Issuer – HSI R Bear CBBC Dec 2019 M	250,000,000	2019/05/28	2019/12/30	62.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63287	SG Issuer – HSI R Bear CBBC Dec 2019 N	250,000,000	2019/05/28	2019/12/30	62.50
63238	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 D	40,000,000	2019/05/28	2019/12/23	10.00
63312	SG Issuer – Tencent R Bull CBBC Aug 2019 B	80,000,000	2019/05/28	2019/08/30	20.00
63317	SG Issuer – Tencent R Bull CBBC Aug 2019 M	80,000,000	2019/05/28	2019/08/30	20.00
63309	SG Issuer – Tencent R Bull CBBC Aug 2019 Y	80,000,000	2019/05/28	2019/08/30	26.00
63322	SG Issuer – Tencent R Bull CBBC Sep 2019 A	80,000,000	2019/05/28	2019/09/27	36.00
63259	SG Issuer – Tencent R Bull CBBC Sep 2019 Y	80,000,000	2019/05/28	2019/09/26	20.00
63262	SG Issuer – Tencent R Bull CBBC Sep 2019 Z	80,000,000	2019/05/28	2019/09/30	20.00
63248	SG Issuer – Tencent R Bear CBBC Jan 2020 H	80,000,000	2019/05/28	2020/01/30	20.00
62987	UBS AG – HSI R Bull CBBC Sep 2021 A	200,000,000	2019/05/28	2021/09/29	50.00
62979	UBS AG – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/05/28	2021/09/29	50.00
62983	UBS AG – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/05/28	2021/09/29	50.00
62984	UBS AG – HSI R Bull CBBC Sep 2021 T	200,000,000	2019/05/28	2021/09/29	50.00
62970	UBS AG – HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/05/28	2019/09/27	50.00
62993	UBS AG – HSI R Bear CBBC Sep 2019 R	200,000,000	2019/05/28	2019/09/27	50.00
62975	UBS AG – HSI R Bear CBBC Oct 2019 H	200,000,000	2019/05/28	2019/10/30	50.00
62986	UBS AG – Tencent R Bull CBBC Oct 2019 N	200,000,000	2019/05/28	2019/10/31	50.00
62998	UBS AG – Tencent R Bear CBBC Oct 2019 H	200,000,000	2019/05/28	2019/10/31	50.00
63234	Bank Vontobel – AAC Tech R Bull CBBC Jan 2020 A	40,000,000	2019/05/28	2020/01/17	10.00
63237	Bank Vontobel – Country Garden R Bull CBBC Jan 2020 A	40,000,000	2019/05/28	2020/01/10	10.00
63213	Bank Vontobel – HSI R Bull CBBC Dec 2020 T	80,000,000	2019/05/28	2020/12/30	20.00
63207	Bank Vontobel – HSI R Bear CBBC Jan 2020 E	80,000,000	2019/05/28	2020/01/30	20.00
63222	Bank Vontobel – ICBC R Bull CBBC Jan 2020 B	40,000,000	2019/05/28	2020/01/17	10.00
63220	Bank Vontobel – Tencent R Bull CBBC Jan 2020 C	40,000,000	2019/05/28	2020/01/10	10.00
63217	Bank Vontobel – Tencent R Bear CBBC Jan 2020 A	40,000,000	2019/05/28	2020/01/17	10.00
63362	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 B	40,000,000	2019/05/29	2019/12/18	10.92
63375	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 C	40,000,000	2019/05/29	2019/12/18	10.44
63561	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 W	250,000,000	2019/05/29	2021/10/28	62.50
63432	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 S	250,000,000	2019/05/29	2019/11/28	62.50
63444	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 T	250,000,000	2019/05/29	2019/11/28	62.50
63564	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 U	250,000,000	2019/05/29	2019/11/28	62.50
63763	Credit Suisse AG – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/05/29	2021/09/29	50.00
63760	Credit Suisse AG – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/05/29	2019/10/30	50.00
63757	Credit Suisse AG – HSI R Bear CBBC Nov 2019 Y	200,000,000	2019/05/29	2019/11/28	50.00
63767	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 J	100,000,000	2019/05/29	2020/01/30	25.00
63771	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 J	100,000,000	2019/05/29	2020/01/24	25.00
63790	Goldman Sachs SP (Asia) – China Tower R Bull CBBC Mar 2020 B	100,000,000	2019/05/29	2020/03/30	25.00
63792	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 K	100,000,000	2019/05/29	2019/09/30	25.00
63794	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2019 H	80,000,000	2019/05/29	2019/12/30	20.00
63791	Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Mar 2020 A	150,000,000	2019/05/29	2020/03/30	37.50
63404	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/05/29	2021/10/28	50.00
63394	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 G	200,000,000	2019/05/29	2020/03/30	50.00
63400	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 H	200,000,000	2019/05/29	2020/03/30	50.00
63773	Goldman Sachs SP (Asia) – Ping An R Bear CBBC Dec 2019 H	80,000,000	2019/05/29	2019/12/30	20.00
63397	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 B	100,000,000	2019/05/29	2019/08/29	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63777	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 C	80,000,000	2019/05/29	2019/08/29	21.36
63778	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 D	80,000,000	2019/05/29	2019/08/29	27.76
63402	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 K	80,000,000	2019/05/29	2019/08/29	20.00
63420	HK Bank – HKEX R Bull CBBC Nov 2019 K	50,000,000	2019/05/29	2019/11/11	12.50
63405	HK Bank – HSI R Bear CBBC Oct 2019 I	80,000,000	2019/05/29	2019/10/30	20.00
63422	HK Bank – PetroChina R Bear CBBC Nov 2019 A	40,000,000	2019/05/29	2019/11/04	10.00
63408	HK Bank – Tencent R Bull CBBC Nov 2019 O	50,000,000	2019/05/29	2019/11/18	12.50
63417	HK Bank – Tencent R Bear CBBC Oct 2019 O	40,000,000	2019/05/29	2019/10/02	10.00
63418	HK Bank – Tencent R Bear CBBC Nov 2019 K	150,000,000	2019/05/29	2019/11/25	37.50
63772	Haitong Int'l Sec – China Tower R Bull CBBC May 2020 A	40,000,000	2019/05/29	2020/05/29	10.00
63569	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 D	100,000,000	2019/05/29	2021/10/28	25.00
63579	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 H	150,000,000	2019/05/29	2019/10/30	37.50
63582	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 I	150,000,000	2019/05/29	2019/10/30	37.50
63565	Haitong Int'l Sec – Tencent R Bear CBBC Feb 2020 B	40,000,000	2019/05/29	2020/02/06	10.00
63526	J P Morgan SP BV – HKEX R Bull CBBC Dec 2019 B	200,000,000	2019/05/29	2019/12/13	50.00
63546	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/05/29	2021/10/28	50.00
63549	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/05/29	2021/10/28	50.00
63454	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 H	240,000,000	2019/05/29	2019/11/28	60.00
63458	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 I	240,000,000	2019/05/29	2019/11/28	60.00
63467	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 J	240,000,000	2019/05/29	2019/11/28	60.00
63469	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 K	240,000,000	2019/05/29	2019/11/28	60.00
63519	J P Morgan SP BV – Ping An R Bear CBBC Sep 2019 A	100,000,000	2019/05/29	2019/09/20	25.00
63480	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 V	50,000,000	2019/05/29	2019/09/13	12.50
63481	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 L	250,000,000	2019/05/29	2019/10/11	62.50
63489	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 M	250,000,000	2019/05/29	2019/10/18	62.50
63492	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 T	50,000,000	2019/05/29	2019/09/13	12.50
63386	Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2019 D	21,100,000	2019/05/29	2019/09/26	10.00
63390	Macquarie Bank Ltd. – Tencent R Bear CBBC Jan 2020 D	9,600,000	2019/05/29	2020/01/30	10.07
63698	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 N	100,000,000	2019/05/29	2020/03/30	25.00
63718	SG Issuer – HSI R Bull CBBC Nov 2021 Q	250,000,000	2019/05/29	2021/11/29	62.50
63729	SG Issuer – HSI R Bull CBBC Nov 2021 R	250,000,000	2019/05/29	2021/11/29	62.50
63692	SG Issuer – HSI R Bull CBBC Dec 2021 U	250,000,000	2019/05/29	2021/12/30	62.50
63657	SG Issuer – HSI R Bear CBBC Nov 2019 S	250,000,000	2019/05/29	2019/11/28	62.50
63700	SG Issuer – HSI R Bear CBBC Nov 2019 T	250,000,000	2019/05/29	2019/11/28	62.50
63717	SG Issuer – HSI R Bear CBBC Dec 2019 O	250,000,000	2019/05/29	2019/12/30	62.50
63611	SG Issuer – Ping An R Bull CBBC Nov 2019 E	100,000,000	2019/05/29	2019/11/19	25.00
63665	SG Issuer – Sunny Optical R Bear CBBC Nov 2019 B	40,000,000	2019/05/29	2019/11/28	10.00
63612	SG Issuer – Tencent R Bull CBBC Aug 2019 N	80,000,000	2019/05/29	2019/08/28	20.00
63737	SG Issuer – Tencent R Bull CBBC Aug 2019 O	80,000,000	2019/05/29	2019/08/30	27.60
63613	SG Issuer – Tencent R Bull CBBC Oct 2019 M	80,000,000	2019/05/29	2019/10/14	20.00
63743	SG Issuer – Tencent R Bull CBBC Oct 2019 N	80,000,000	2019/05/29	2019/10/18	46.40
63745	SG Issuer – Tencent R Bull CBBC Oct 2019 O	80,000,000	2019/05/29	2019/10/22	20.00
63747	SG Issuer – Tencent R Bull CBBC Oct 2019 P	80,000,000	2019/05/29	2019/10/31	50.40
63751	SG Issuer – Tencent R Bull CBBC Nov 2019 B	80,000,000	2019/05/29	2019/11/13	54.40
63752	SG Issuer – Tencent R Bear CBBC Nov 2019 V	80,000,000	2019/05/29	2019/11/28	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63686	SG Issuer – Tencent R Bear CBBC Jan 2020 I	80,000,000	2019/05/29	2020/01/30	20.00
63551	UBS AG – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/05/29	2021/10/28	50.00
63557	UBS AG – HSI R Bear CBBC Sep 2019 S	200,000,000	2019/05/29	2019/09/27	50.00
63550	UBS AG – HSI R Bear CBBC Oct 2019 I	200,000,000	2019/05/29	2019/10/30	50.00
63552	UBS AG – HSI R Bear CBBC Oct 2019 J	200,000,000	2019/05/29	2019/10/30	50.00
63558	UBS AG – Tencent R Bull CBBC Nov 2019 H	200,000,000	2019/05/29	2019/11/25	50.00
63590	Bank Vontobel – HSI N Bear CBBC Jan 2020 F	80,000,000	2019/05/29	2020/01/30	20.00
63603	Bank Vontobel – HSI R Bull CBBC Dec 2020 U	80,000,000	2019/05/29	2020/12/30	20.00
63595	Bank Vontobel – HSI R Bear CBBC Jan 2020 G	80,000,000	2019/05/29	2020/01/30	20.00
63812	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct20 C	60,000,000	2019/05/30	2020/10/29	15.00
63844	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Dec 2019 X	100,000,000	2019/05/30	2019/12/30	25.00
63846	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Dec 2019 Y	100,000,000	2019/05/30	2019/12/30	25.00
63863	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/05/30	2021/10/28	62.50
63854	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 B	250,000,000	2019/05/30	2021/10/28	62.50
63864	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/05/30	2021/10/28	62.50
64357	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 D	250,000,000	2019/05/30	2021/10/28	62.50
64362	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 G	240,000,000	2019/05/30	2021/10/28	60.00
63847	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 X	240,000,000	2019/05/30	2021/10/28	60.00
63850	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Y	240,000,000	2019/05/30	2021/10/28	60.00
64313	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 V	250,000,000	2019/05/30	2019/11/28	62.50
64327	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 W	250,000,000	2019/05/30	2019/11/28	62.50
64343	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 X	250,000,000	2019/05/30	2019/11/28	62.50
64353	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 Y	250,000,000	2019/05/30	2019/11/28	62.50
64354	BNP Paribas Issuance B.V. – HSI R Bear CBBC Nov 2019 Z	250,000,000	2019/05/30	2019/11/28	62.50
64367	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 A	250,000,000	2019/05/30	2019/12/30	62.50
63907	BNP Paribas Issuance B.V. – Ping An R Bear CBBC Apr 2020 C	200,000,000	2019/05/30	2020/04/29	50.00
63830	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 P	50,000,000	2019/05/30	2019/12/30	12.50
63836	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 Q	50,000,000	2019/05/30	2019/12/30	12.50
63835	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 O	50,000,000	2019/05/30	2020/01/30	12.50
63872	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 Q	50,000,000	2019/05/30	2019/11/28	12.50
63865	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 G	50,000,000	2019/05/30	2019/12/30	12.50
63873	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 H	50,000,000	2019/05/30	2019/12/30	12.50
64399	Credit Suisse AG – Bank of China R Bull CBBC Feb 2020 A	70,000,000	2019/05/30	2020/02/27	17.50
64400	Credit Suisse AG – CSPC Pharma R Bull CBBC Jul 2020 A	100,000,000	2019/05/30	2020/07/30	25.00
64383	Credit Suisse AG – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/05/30	2021/10/28	50.00
64382	Credit Suisse AG – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/05/30	2021/10/28	50.00
64387	Credit Suisse AG – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/05/30	2021/10/28	50.00
64377	Credit Suisse AG – HSI R Bull CBBC Dec 2021 I	300,000,000	2019/05/30	2021/12/30	75.00
64455	Credit Suisse AG – HSI R Bear CBBC Dec 2019 N	200,000,000	2019/05/30	2019/12/30	50.00
64476	Credit Suisse AG – HSI R Bear CBBC Jan 2020 D	200,000,000	2019/05/30	2020/01/30	50.00
64464	Credit Suisse AG – HSI R Bear CBBC Feb 2020 A	300,000,000	2019/05/30	2020/02/27	75.00
64398	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 A	80,000,000	2019/05/30	2019/12/30	20.00
64391	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 F	100,000,000	2019/05/30	2020/02/27	25.00
64394	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 G	100,000,000	2019/05/30	2020/02/27	25.00
64397	Credit Suisse AG – Tencent R Bear CBBC Feb 2020 B	100,000,000	2019/05/30	2020/02/27	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64452	Credit Suisse AG – Xiaomi R Bull CBBC Jan 2020 A	50,000,000	2019/05/30	2020/01/30	12.50
64529	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Nov 2019U	100,000,000	2019/05/30	2019/11/25	25.00
64528	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2019 I	80,000,000	2019/05/30	2019/12/30	20.00
63916	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/05/30	2021/10/28	50.00
63930	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/05/30	2021/10/28	50.00
63939	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/05/30	2021/10/28	50.00
64521	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/05/30	2021/10/28	50.00
64533	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 I	200,000,000	2019/05/30	2020/03/30	50.00
64539	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 J	200,000,000	2019/05/30	2020/03/30	50.00
64541	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 K	200,000,000	2019/05/30	2020/03/30	50.00
64571	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 L	200,000,000	2019/05/30	2020/03/30	50.00
63940	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 E	100,000,000	2019/05/30	2019/08/30	25.00
64518	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 F	100,000,000	2019/05/30	2019/08/30	25.00
64519	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 G	80,000,000	2019/05/30	2019/08/30	20.64
64549	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2019 H	80,000,000	2019/05/30	2019/08/30	26.16
64508	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 L	80,000,000	2019/05/30	2019/08/30	20.00
64520	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2019 M	80,000,000	2019/05/30	2019/08/30	20.00
64221	HK Bank – CITIC Sec R Bull CBBC Dec 2019 A	40,000,000	2019/05/30	2019/12/23	12.40
64229	HK Bank – CNOOC R Bear CBBC Oct 2019 B	40,000,000	2019/05/30	2019/10/21	10.00
64165	HK Bank – Geely Auto R Bull CBBC Nov 2019 A	40,000,000	2019/05/30	2019/11/18	10.80
64227	HK Bank – HKEX R Bear CBBC Dec 2019 D	100,000,000	2019/05/30	2019/12/02	25.00
64170	HK Bank – HSCEI R Bear CBBC Oct 2019 E	50,000,000	2019/05/30	2019/10/30	12.50
64127	HK Bank – HSI R Bull CBBC Jul 2020 S	80,000,000	2019/05/30	2020/07/30	20.00
64130	HK Bank – HSI R Bull CBBC Jul 2020 U	80,000,000	2019/05/30	2020/07/30	20.00
64168	HK Bank – HSI R Bear CBBC Oct 2019 B	150,000,000	2019/05/30	2019/10/30	37.50
64167	HK Bank – HSI R Bear CBBC Oct 2019 J	80,000,000	2019/05/30	2019/10/30	20.00
64196	HK Bank – Ping An R Bear CBBC Nov 2019 E	50,000,000	2019/05/30	2019/11/18	12.50
64197	HK Bank – Sunny Optical R Bear CBBC Oct 2019 E	40,000,000	2019/05/30	2019/10/14	10.00
64132	HK Bank – Tencent R Bull CBBC Nov 2019 P	50,000,000	2019/05/30	2019/11/25	12.50
64164	HK Bank – Tencent R Bull CBBC Jan 2020 C	150,000,000	2019/05/30	2020/01/13	37.50
64133	HK Bank – Tencent R Bear CBBC Nov 2019 L	40,000,000	2019/05/30	2019/11/04	10.00
64135	HK Bank – Tencent R Bear CBBC Dec 2019 G	150,000,000	2019/05/30	2019/12/09	37.50
64371	Haitong Int'l Sec – CSOP A50 ETF R Bear CBBC Nov 2019 H	40,000,000	2019/05/30	2019/11/20	10.00
64374	Haitong Int'l Sec – A50 China R Bear CBBC Nov 2019 H	40,000,000	2019/05/30	2019/11/20	10.00
64234	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 J	150,000,000	2019/05/30	2021/09/29	37.50
64233	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 E	150,000,000	2019/05/30	2021/10/28	37.50
64248	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 G	150,000,000	2019/05/30	2021/10/28	37.50
64296	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 J	150,000,000	2019/05/30	2019/10/30	37.50
64297	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 K	150,000,000	2019/05/30	2019/10/30	37.50
64300	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 L	150,000,000	2019/05/30	2019/10/30	37.50
64287	Haitong Int'l Sec – Sunny Optical R Bull CBBC Nov 2019 B	60,000,000	2019/05/30	2019/11/18	15.00
64288	Haitong Int'l Sec – Sunny Optical R Bull CBBC Nov 2019 C	60,000,000	2019/05/30	2019/11/19	15.00
64249	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 M	40,000,000	2019/05/30	2019/11/15	10.00
64236	Haitong Int'l Sec – Tencent R Bear CBBC Feb 2020 C	40,000,000	2019/05/30	2020/02/14	10.00
64244	Haitong Int'l Sec – Tencent R Bear CBBC Mar 2020 D	40,000,000	2019/05/30	2020/03/24	10.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64311	Haitong Int'l Sec – Tencent R Bear CBBC Mar 2020 E	40,000,000	2019/05/30	2020/03/17	10.00
64282	Haitong Int'l Sec – Xiaomi R Bull CBBC Nov 2019 B	50,000,000	2019/05/30	2019/11/14	12.50
64039	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/05/30	2021/10/28	50.00
63998	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/05/30	2021/10/28	50.00
64058	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/05/30	2021/10/28	50.00
64017	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/05/30	2021/10/28	50.00
64023	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/05/30	2021/10/28	50.00
64032	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/05/30	2021/10/28	50.00
64036	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/05/30	2021/10/28	50.00
64481	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/05/30	2019/10/30	50.00
64480	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 L	240,000,000	2019/05/30	2019/11/28	60.00
64482	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 M	200,000,000	2019/05/30	2019/11/28	50.00
64485	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 N	240,000,000	2019/05/30	2019/11/28	60.00
64088	J P Morgan SP BV – Tencent R Bull CBBC Sep 2019 W	50,000,000	2019/05/30	2019/09/13	12.50
64025	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 N	250,000,000	2019/05/30	2019/10/18	62.50
64031	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 O	250,000,000	2019/05/30	2019/10/11	62.50
64091	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 P	250,000,000	2019/05/30	2019/10/11	62.50
64098	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 Q	250,000,000	2019/05/30	2019/10/18	62.50
64100	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 R	250,000,000	2019/05/30	2019/10/11	62.50
64104	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 S	250,000,000	2019/05/30	2019/10/18	62.50
64106	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 T	250,000,000	2019/05/30	2019/10/18	62.50
64105	J P Morgan SP BV – Tencent R Bull CBBC Nov 2019 C	250,000,000	2019/05/30	2019/11/08	62.50
64110	J P Morgan SP BV – Tencent R Bear CBBC Sep 2019 U	50,000,000	2019/05/30	2019/09/13	12.50
64121	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 E	250,000,000	2019/05/30	2019/10/11	62.50
64119	J P Morgan SP BV – Tencent R Bear CBBC Nov 2019 B	250,000,000	2019/05/30	2019/11/08	62.50
63955	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 C	19,200,000	2019/05/30	2019/10/14	10.04
63946	Macquarie Bank Ltd. – Tencent R Bear CBBC Jan 2020 E	9,900,000	2019/05/30	2020/01/30	10.12
64632	SG Issuer – CC Bank R Bull CBBC Dec 2019 C	100,000,000	2019/05/30	2019/12/23	25.00
64775	SG Issuer – CITIC Sec R Bull CBBC May 2020 A	100,000,000	2019/05/30	2020/05/22	25.00
64635	SG Issuer – China Tower R Bull CBBC Feb 2020 D	120,000,000	2019/05/30	2020/02/27	30.00
64664	SG Issuer – China Tower R Bull CBBC Mar 2020 A	120,000,000	2019/05/30	2020/03/06	30.00
64774	SG Issuer – China Tower R Bear CBBC Dec 2019 D	120,000,000	2019/05/30	2019/12/30	30.00
64779	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 N	100,000,000	2019/05/30	2019/12/20	25.00
64780	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 O	100,000,000	2019/05/30	2019/12/31	25.00
64781	SG Issuer – Galaxy Ent R Bull CBBC Feb 2020 A	100,000,000	2019/05/30	2020/02/17	25.00
64672	SG Issuer – HKEX R Bull CBBC Nov 2019 H	50,000,000	2019/05/30	2019/11/08	12.50
64784	SG Issuer – HKEX R Bull CBBC Dec 2019 B	50,000,000	2019/05/30	2019/12/20	21.75
64685	SG Issuer – HSBC R Bull CBBC Nov 2019 O	100,000,000	2019/05/30	2019/11/08	25.00
64697	SG Issuer – HSBC R Bull CBBC Nov 2019 P	100,000,000	2019/05/30	2019/11/22	25.00
64553	SG Issuer – HSI R Bull CBBC Oct 2021 J	250,000,000	2019/05/30	2021/10/28	62.50
64572	SG Issuer – HSI R Bull CBBC Oct 2021 K	250,000,000	2019/05/30	2021/10/28	62.50
64753	SG Issuer – HSI R Bull CBBC Nov 2021 A	250,000,000	2019/05/30	2021/11/29	62.50
64573	SG Issuer – HSI R Bull CBBC Nov 2021 S	250,000,000	2019/05/30	2021/11/29	62.50
64579	SG Issuer – HSI R Bull CBBC Nov 2021 T	250,000,000	2019/05/30	2021/11/29	62.50
64735	SG Issuer – HSI R Bull CBBC Nov 2021 U	250,000,000	2019/05/30	2021/11/29	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64737	SG Issuer – HSI R Bull CBBC Nov 2021 V	250,000,000	2019/05/30	2021/11/29	62.50
64756	SG Issuer – HSI R Bull CBBC Nov 2021 W	250,000,000	2019/05/30	2021/11/29	62.50
64757	SG Issuer – HSI R Bull CBBC Nov 2021 X	250,000,000	2019/05/30	2021/11/29	62.50
64772	SG Issuer – HSI R Bull CBBC Nov 2021 Y	250,000,000	2019/05/30	2021/11/29	62.50
64743	SG Issuer – HSI R Bear CBBC Nov 2019 U	250,000,000	2019/05/30	2019/11/28	62.50
64744	SG Issuer – HSI R Bear CBBC Nov 2019 V	250,000,000	2019/05/30	2019/11/28	62.50
64746	SG Issuer – HSI R Bear CBBC Dec 2019 P	250,000,000	2019/05/30	2019/12/30	62.50
64747	SG Issuer – HSI R Bear CBBC Dec 2019 Q	250,000,000	2019/05/30	2019/12/30	62.50
64751	SG Issuer – HSI R Bear CBBC Jan 2020 B	250,000,000	2019/05/30	2020/01/30	62.50
64699	SG Issuer – Ping An R Bull CBBC Nov 2019 F	100,000,000	2019/05/30	2019/11/22	25.00
64715	SG Issuer – Ping An R Bull CBBC Dec 2019 K	100,000,000	2019/05/30	2019/12/13	25.00
64788	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 E	40,000,000	2019/05/30	2019/12/31	10.60
64785	SG Issuer – Sunny Optical R Bear CBBC Oct 2019 F	40,000,000	2019/05/30	2019/10/16	10.00
64594	SG Issuer – Tencent R Bull CBBC Aug 2019 C	80,000,000	2019/05/30	2019/08/30	20.00
64585	SG Issuer – Tencent R Bull CBBC Aug 2019 U	80,000,000	2019/05/30	2019/08/30	20.00
64537	SG Issuer – Tencent R Bull CBBC Sep 2019 B	80,000,000	2019/05/30	2019/09/20	20.00
64600	SG Issuer – Tencent R Bull CBBC Sep 2019 C	80,000,000	2019/05/30	2019/09/24	20.00
64598	SG Issuer – Tencent R Bull CBBC Sep 2019 D	80,000,000	2019/05/30	2019/09/25	34.40
64601	SG Issuer – Tencent R Bull CBBC Sep 2019 E	80,000,000	2019/05/30	2019/09/30	21.60
64617	SG Issuer – Tencent R Bull CBBC Sep 2019 F	80,000,000	2019/05/30	2019/09/30	20.00
64540	SG Issuer – Tencent R Bull CBBC Oct 2019 Q	80,000,000	2019/05/30	2019/10/23	20.00
64547	SG Issuer – Tencent R Bull CBBC Oct 2019 R	80,000,000	2019/05/30	2019/10/28	20.00
64618	SG Issuer – Tencent R Bull CBBC Oct 2019 S	80,000,000	2019/05/30	2019/10/16	50.40
64621	SG Issuer – Tencent R Bull CBBC Oct 2019 T	80,000,000	2019/05/30	2019/10/25	28.40
64625	SG Issuer – Tencent R Bull CBBC Nov 2019 C	80,000,000	2019/05/30	2019/11/25	67.20
64629	SG Issuer – Tencent R Bull CBBC Nov 2019 D	80,000,000	2019/05/30	2019/11/29	59.20
64791	SG Issuer – Tencent R Bear CBBC Nov 2019 B	80,000,000	2019/05/30	2019/11/28	20.00
64803	SG Issuer – Tencent R Bear CBBC Jan 2020 J	80,000,000	2019/05/30	2020/01/30	20.00
64805	SG Issuer – Xiaomi R Bull CBBC Dec 2019 B	60,000,000	2019/05/30	2019/12/20	18.30
64810	SG Issuer – Xiaomi R Bear CBBC Dec 2019 A	60,000,000	2019/05/30	2019/12/20	15.00
63956	UBS AG – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/05/30	2021/10/28	50.00
63964	UBS AG – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/05/30	2021/10/28	50.00
63971	UBS AG – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/05/30	2021/10/28	50.00
63978	UBS AG – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/05/30	2021/10/28	50.00
63979	UBS AG – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/05/30	2021/10/28	50.00
63992	UBS AG – HSI R Bear CBBC Sep 2019 T	200,000,000	2019/05/30	2019/09/27	50.00
63980	UBS AG – HSI R Bear CBBC Oct 2019 K	200,000,000	2019/05/30	2019/10/30	50.00
63975	UBS AG – Tencent R Bull CBBC Oct 2019 O	200,000,000	2019/05/30	2019/10/23	50.00
63973	UBS AG – Tencent R Bull CBBC Nov 2019 I	200,000,000	2019/05/30	2019/11/21	50.00
63994	UBS AG – Tencent R Bear CBBC Oct 2019 I	40,000,000	2019/05/30	2019/10/23	10.00
63997	UBS AG – Tencent R Bear CBBC Nov 2019 G	200,000,000	2019/05/30	2019/11/21	50.00
64495	Bank Vontobel – HKEX R Bull CBBC Jan 2020 A	40,000,000	2019/05/30	2020/01/17	10.00
64507	Bank Vontobel – HSI R Bear CBBC Jan 2020 H	80,000,000	2019/05/30	2020/01/30	20.00
64824	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 L	150,000,000	2019/05/31	2020/09/29	37.50
64828	BOCI Asia Ltd. – HSI R Bear CBBC Sep 2020 A	150,000,000	2019/05/31	2020/09/29	37.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64821	BOCI Asia Ltd. – Ping An R Bull CBBC Dec 2019 C	70,000,000	2019/05/31	2019/12/17	17.64
64822	BOCI Asia Ltd. – Ping An R Bear CBBC Dec 2019 A	70,000,000	2019/05/31	2019/12/17	17.50
64816	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 D	40,000,000	2019/05/31	2019/12/18	10.68
64819	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 C	40,000,000	2019/05/31	2019/12/18	10.00
65006	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 H	250,000,000	2019/05/31	2021/10/28	62.50
64867	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 B	250,000,000	2019/05/31	2019/12/30	62.50
65007	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 C	250,000,000	2019/05/31	2019/12/30	62.50
65117	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 P	50,000,000	2019/05/31	2020/01/30	12.50
65118	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 S	50,000,000	2019/05/31	2019/11/28	12.50
65173	Credit Suisse AG – AAC Tech R Bear CBBC Jan 2020 A	40,000,000	2019/05/31	2020/01/30	10.00
65172	Credit Suisse AG – CSPC Pharma R Bear CBBC Feb 2020 A	100,000,000	2019/05/31	2020/02/27	25.00
65187	Credit Suisse AG – HSI R Bull CBBC Nov 2021 I	200,000,000	2019/05/31	2021/11/29	50.00
65169	Credit Suisse AG – HSI R Bear CBBC Dec 2019 O	200,000,000	2019/05/31	2019/12/30	50.00
65154	Credit Suisse AG – HSI R Bear CBBC Jan 2020 E	200,000,000	2019/05/31	2020/01/30	50.00
65170	Credit Suisse AG – HSI R Bear CBBC Feb 2020 B	300,000,000	2019/05/31	2020/02/27	75.00
65185	Credit Suisse AG – Sunny Optical R Bear CBBC Jan 2020 A	40,000,000	2019/05/31	2020/01/30	10.00
65171	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 K	100,000,000	2019/05/31	2020/01/30	25.00
65136	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Jun 2020 B	100,000,000	2019/05/31	2020/06/30	25.00
65133	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/05/31	2021/10/28	50.00
64812	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 M	200,000,000	2019/05/31	2020/03/30	50.00
64813	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 N	200,000,000	2019/05/31	2020/03/30	50.00
65146	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 O	200,000,000	2019/05/31	2020/03/30	50.00
65134	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 I	100,000,000	2019/05/31	2019/11/29	25.00
65126	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 D	100,000,000	2019/05/31	2019/09/02	25.00
65125	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 G	80,000,000	2019/05/31	2019/12/30	20.00
65132	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 H	80,000,000	2019/05/31	2019/12/30	20.00
65147	Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Oct 2019 D	80,000,000	2019/05/31	2019/10/15	20.00
64910	HK Bank – AIA R Bull CBBC Dec 2019 A	60,000,000	2019/05/31	2019/12/16	15.00
64906	HK Bank – China Life R Bull CBBC Feb 2020 A	100,000,000	2019/05/31	2020/02/03	25.00
64915	HK Bank – Sinopec Corp R Bear CBBC Nov 2019 A	40,000,000	2019/05/31	2019/11/11	10.00
64912	HK Bank – Galaxy Ent R Bear CBBC Oct 2019 D	60,000,000	2019/05/31	2019/10/21	15.00
64891	HK Bank – HKEX R Bear CBBC Nov 2019 I	40,000,000	2019/05/31	2019/11/25	10.00
64872	HK Bank – HSI R Bull CBBC Jul 2020 V	150,000,000	2019/05/31	2020/07/30	37.50
64894	HK Bank – HSI R Bear CBBC Oct 2019 K	150,000,000	2019/05/31	2019/10/30	37.50
64898	HK Bank – HSI R Bear CBBC Oct 2019 L	150,000,000	2019/05/31	2019/10/30	37.50
64902	HK Bank – ICBC R Bull CBBC Jan 2020 A	60,000,000	2019/05/31	2020/01/13	15.00
64908	HK Bank – PetroChina R Bull CBBC Dec 2019 A	60,000,000	2019/05/31	2019/12/16	15.00
64917	HK Bank – Ping An R Bull CBBC Nov 2019 H	60,000,000	2019/05/31	2019/11/18	15.00
64909	HK Bank – Sunny Optical R Bull CBBC Jan 2020 A	40,000,000	2019/05/31	2020/01/20	10.00
64873	HK Bank – Tencent R Bull CBBC Oct 2019 P	50,000,000	2019/05/31	2019/10/08	12.50
64895	HK Bank – Tencent R Bull CBBC Dec 2019 C	50,000,000	2019/05/31	2019/12/23	17.00
64887	HK Bank – Tencent R Bull CBBC Mar 2020 A	150,000,000	2019/05/31	2020/03/23	37.50
64899	HK Bank – Tencent R Bull CBBC Mar 2020 B	150,000,000	2019/05/31	2020/03/02	37.50
64883	HK Bank – Tencent R Bear CBBC Oct 2019 P	40,000,000	2019/05/31	2019/10/24	10.00
64882	HK Bank – Tencent R Bear CBBC Nov 2019 M	40,000,000	2019/05/31	2019/11/18	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65067	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 I	150,000,000	2019/05/31	2021/10/28	37.50
65072	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 L	150,000,000	2019/05/31	2021/10/28	37.50
65073	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 M	150,000,000	2019/05/31	2019/10/30	37.50
65077	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 A	150,000,000	2019/05/31	2020/04/28	37.50
65062	Haitong Int'l Sec - Ping An R Bear CBBC Feb 2020 A	40,000,000	2019/05/31	2020/02/14	10.00
65113	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 B	200,000,000	2019/05/31	2021/10/28	50.00
65115	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 X	200,000,000	2019/05/31	2021/10/28	50.00
65112	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/05/31	2021/10/28	50.00
65078	J P Morgan SP BV - HSI R Bear CBBC Nov 2019 O	200,000,000	2019/05/31	2019/11/28	50.00
65090	J P Morgan SP BV - HSI R Bear CBBC Nov 2019 P	200,000,000	2019/05/31	2019/11/28	50.00
65106	J P Morgan SP BV - HSI R Bear CBBC Nov 2019 Q	200,000,000	2019/05/31	2019/11/28	50.00
65110	J P Morgan SP BV - Tencent R Bear CBBC Sep 2019 V	50,000,000	2019/05/31	2019/09/13	12.50
64834	Macquarie Bank Ltd. - Tencent R Bull CBBC Oct 2019 D	18,300,000	2019/05/31	2019/10/23	10.01
64851	Macquarie Bank Ltd. - Tencent R Bull CBBC Oct 2019 E	15,600,000	2019/05/31	2019/10/23	10.02
64863	Macquarie Bank Ltd. - Tencent R Bear CBBC Jan 2020 F	10,000,000	2019/05/31	2020/01/30	10.11
64998	SG Issuer - HSI R Bull CBBC Oct 2021 L	250,000,000	2019/05/31	2021/10/28	62.50
65000	SG Issuer - HSI R Bull CBBC Oct 2021 M	250,000,000	2019/05/31	2021/10/28	62.50
64952	SG Issuer - HSI R Bear CBBC Dec 2019 R	250,000,000	2019/05/31	2019/12/30	62.50
64963	SG Issuer - HSI R Bear CBBC Dec 2019 S	250,000,000	2019/05/31	2019/12/30	62.50
64972	SG Issuer - HSI R Bear CBBC Jan 2020 C	250,000,000	2019/05/31	2020/01/30	62.50
64977	SG Issuer - Ping An R Bull CBBC Nov 2019 J	100,000,000	2019/05/31	2019/11/22	25.00
64984	SG Issuer - Ping An R Bear CBBC Nov 2019 C	100,000,000	2019/05/31	2019/11/22	25.00
64974	SG Issuer - Tencent R Bull CBBC Sep 2019 G	80,000,000	2019/05/31	2019/09/30	20.00
64987	SG Issuer - Tencent R Bear CBBC Nov 2019 W	80,000,000	2019/05/31	2019/11/28	20.00
64922	UBS AG - HSI R Bull CBBC Oct 2021 W	200,000,000	2019/05/31	2021/10/28	50.00
64921	UBS AG - HSI R Bear CBBC Oct 2019 L	200,000,000	2019/05/31	2019/10/30	50.00
64926	UBS AG - HSI R Bear CBBC Oct 2021 A	200,000,000	2019/05/31	2021/10/28	50.00
64949	UBS AG - Tencent R Bull CBBC Nov 2019 J	200,000,000	2019/05/31	2019/11/27	50.00
64932	UBS AG - Tencent R Bear CBBC Nov 2019 H	200,000,000	2019/05/31	2019/11/27	50.00
65028	Bank Vontobel - China Tower R Bull CBBC Feb 2020 C	40,000,000	2019/05/31	2020/02/24	10.00
65024	Bank Vontobel - Geely Auto R Bull CBBC Jan 2020 A	40,000,000	2019/05/31	2020/01/17	10.00
65013	Bank Vontobel - HSI N Bear CBBC Jan 2020 J	80,000,000	2019/05/31	2020/01/30	20.00
65014	Bank Vontobel - HSI N Bear CBBC Jan 2020 K	80,000,000	2019/05/31	2020/01/30	20.00
65049	Bank Vontobel - HSI R Bull CBBC Dec 2020 V	80,000,000	2019/05/31	2020/12/30	20.00
65010	Bank Vontobel - HSI R Bear CBBC Jan 2020 I	80,000,000	2019/05/31	2020/01/30	20.00
65022	Bank Vontobel - Tencent R Bull CBBC Jan 2020 D	40,000,000	2019/05/31	2020/01/17	10.00
65016	Bank Vontobel - Tencent R Bear CBBC Jan 2020 B	40,000,000	2019/05/31	2020/01/10	10.00
65259	BOCI Asia Ltd. - HSI R Bear CBBC Sep 2020 B	150,000,000	2019/06/03	2020/09/29	43.05
65243	BOCI Asia Ltd. - Tencent R Bull CBBC Dec 2019 E	200,000,000	2019/06/03	2019/12/19	50.00
65253	BOCI Asia Ltd. - Tencent R Bear CBBC Dec 2019 D	40,000,000	2019/06/03	2019/12/19	13.20
65241	BNP Paribas Issuance B.V. - CC Bank R Bear CBBC Nov 2019 P	100,000,000	2019/06/03	2019/11/28	25.00
65199	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec19 I	60,000,000	2019/06/03	2019/12/30	15.00
65202	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec19 J	60,000,000	2019/06/03	2019/12/30	15.00
65209	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec19 K	60,000,000	2019/06/03	2019/12/30	15.00
65216	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Mar 2020 B	150,000,000	2019/06/03	2020/03/30	37.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65411	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 I	240,000,000	2019/06/03	2021/10/28	60.00
65231	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 D	250,000,000	2019/06/03	2019/12/30	62.50
65415	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 E	240,000,000	2019/06/03	2019/12/30	60.00
65219	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Nov 2019 J	200,000,000	2019/06/03	2019/11/28	50.00
65211	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 R	50,000,000	2019/06/03	2019/12/30	12.50
65222	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 S	50,000,000	2019/06/03	2019/12/30	12.50
65223	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 Q	50,000,000	2019/06/03	2020/01/30	12.50
65224	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 R	50,000,000	2019/06/03	2020/01/30	15.00
65240	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 I	50,000,000	2019/06/03	2019/12/30	12.50
65464	Credit Suisse AG – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/06/03	2021/10/28	50.00
65466	Credit Suisse AG – HSI R Bull CBBC Nov 2021 J	200,000,000	2019/06/03	2021/11/29	50.00
65467	Credit Suisse AG – HSI R Bear CBBC Dec 2019 P	200,000,000	2019/06/03	2019/12/30	50.00
65469	Credit Suisse AG – HSI R Bear CBBC Dec 2019 Q	200,000,000	2019/06/03	2019/12/30	50.00
65473	Credit Suisse AG – HSI R Bear CBBC Jan 2020 F	200,000,000	2019/06/03	2020/01/30	50.00
65484	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 K	100,000,000	2019/06/03	2020/01/30	25.00
65489	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 L	100,000,000	2019/06/03	2020/01/30	25.00
65492	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 H	100,000,000	2019/06/03	2020/02/27	25.00
65501	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 L	100,000,000	2019/06/03	2020/01/30	25.00
65529	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Apr 2020 A	100,000,000	2019/06/03	2020/04/29	25.00
65530	Goldman Sachs SP (Asia) – Galaxy Ent R Bear CBBC Apr 2020 A	80,000,000	2019/06/03	2020/04/29	20.00
65190	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/06/03	2021/10/28	50.00
65192	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/06/03	2021/10/28	50.00
65454	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 P	200,000,000	2019/06/03	2020/03/30	50.00
65455	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/06/03	2020/03/30	50.00
65528	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 R	200,000,000	2019/06/03	2020/03/30	50.00
65195	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 E	100,000,000	2019/06/03	2019/09/03	25.00
65457	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Sep 2019 C	80,000,000	2019/06/03	2019/09/03	20.00
65520	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 I	80,000,000	2019/06/03	2019/12/30	20.00
65317	HK Bank – CNOOC R Bull CBBC Nov 2019 B	50,000,000	2019/06/03	2019/11/11	12.50
65302	HK Bank – HSI R Bear CBBC Nov 2019 S	80,000,000	2019/06/03	2019/11/28	20.00
65320	HK Bank – Tencent R Bull CBBC Dec 2019 D	40,000,000	2019/06/03	2019/12/16	10.00
65306	HK Bank – Tencent R Bear CBBC Dec 2019 H	40,000,000	2019/06/03	2019/12/16	10.00
65309	HK Bank – Tencent R Bear CBBC Dec 2019 I	100,000,000	2019/06/03	2019/12/23	25.00
65420	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 E	150,000,000	2019/06/03	2021/09/29	37.50
65433	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 K	100,000,000	2019/06/03	2021/09/29	25.10
65451	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 N	150,000,000	2019/06/03	2019/10/30	37.50
65452	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 O	120,000,000	2019/06/03	2019/10/30	30.00
65421	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 N	40,000,000	2019/06/03	2019/11/19	10.00
65430	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 O	40,000,000	2019/06/03	2019/11/18	10.00
65432	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2020 A	40,000,000	2019/06/03	2020/04/08	10.00
65336	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/06/03	2021/09/29	50.00
65344	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/06/03	2021/09/29	50.00
65402	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 P	200,000,000	2019/06/03	2021/09/29	50.00
65352	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 R	200,000,000	2019/06/03	2019/11/28	50.00
65375	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 S	240,000,000	2019/06/03	2019/11/28	60.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65381	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 T	200,000,000	2019/06/03	2019/11/28	50.00
65383	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 U	240,000,000	2019/06/03	2019/11/28	60.00
65348	J P Morgan SP BV – Tencent R Bull CBBC Nov 2019 D	250,000,000	2019/06/03	2019/11/08	62.50
65387	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 F	50,000,000	2019/06/03	2019/10/11	12.50
65392	J P Morgan SP BV – Tencent R Bear CBBC Nov 2019 C	250,000,000	2019/06/03	2019/11/08	62.50
65321	Macquarie Bank Ltd. – Tencent R Bull CBBC Sep 2019 E	22,500,000	2019/06/03	2019/09/30	10.01
65323	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 R	13,300,000	2019/06/03	2019/11/28	10.03
65632	SG Issuer – Country Garden R Bull CBBC Nov 2019 D	40,000,000	2019/06/03	2019/11/28	10.00
65626	SG Issuer – China Mobile R Bull CBBC Jul 2020 A	100,000,000	2019/06/03	2020/07/10	25.00
65534	SG Issuer – China Life R Bull CBBC Feb 2020 E	150,000,000	2019/06/03	2020/02/17	37.50
65561	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 O	100,000,000	2019/06/03	2020/03/30	25.00
65538	SG Issuer – CSPC Pharma R Bull CBBC Jul 2020 A	150,000,000	2019/06/03	2020/07/10	37.50
65540	SG Issuer – Geely Auto R Bull CBBC Jul 2020 E	150,000,000	2019/06/03	2020/07/20	37.50
65541	SG Issuer – HKEX R Bull CBBC Dec 2019 C	50,000,000	2019/06/03	2019/12/18	15.50
65615	SG Issuer – HSI R Bull CBBC Nov 2021 B	250,000,000	2019/06/03	2021/11/29	62.50
65601	SG Issuer – HSI R Bull CBBC Nov 2021 Z	250,000,000	2019/06/03	2021/11/29	62.50
65562	SG Issuer – HSI R Bull CBBC Feb 2022 A	250,000,000	2019/06/03	2022/02/25	62.50
65565	SG Issuer – HSI R Bull CBBC Feb 2022 B	250,000,000	2019/06/03	2022/02/25	62.50
65569	SG Issuer – HSI R Bear CBBC Nov 2019 W	250,000,000	2019/06/03	2019/11/28	62.50
65587	SG Issuer – HSI R Bear CBBC Nov 2019 X	250,000,000	2019/06/03	2019/11/28	62.50
65576	SG Issuer – HSI R Bear CBBC Dec 2019 T	250,000,000	2019/06/03	2019/12/30	62.50
65597	SG Issuer – HSI R Bear CBBC Jan 2020 D	250,000,000	2019/06/03	2020/01/30	62.50
65543	SG Issuer – Tencent R Bull CBBC Sep 2019 H	80,000,000	2019/06/03	2019/09/23	20.00
65554	SG Issuer – Tencent R Bull CBBC Sep 2019 I	80,000,000	2019/06/03	2019/09/23	20.00
65555	SG Issuer – Tencent R Bull CBBC Oct 2019 U	80,000,000	2019/06/03	2019/10/16	20.00
65557	SG Issuer – Tencent R Bull CBBC Oct 2019 V	80,000,000	2019/06/03	2019/10/21	20.00
65560	SG Issuer – Tencent R Bull CBBC Oct 2019 W	80,000,000	2019/06/03	2019/10/30	20.00
65633	SG Issuer – Tencent R Bull CBBC Nov 2019 E	80,000,000	2019/06/03	2019/11/22	31.60
65635	SG Issuer – Tencent R Bear CBBC Nov 2019 Z	80,000,000	2019/06/03	2019/11/28	20.00
65578	SG Issuer – Tencent R Bear CBBC Feb 2020 A	80,000,000	2019/06/03	2020/02/21	20.00
65301	UBS AG – CC Bank R Bull CBBC Feb 2020 A	80,000,000	2019/06/03	2020/02/17	20.00
65291	UBS AG – CSOP A50 ETF R Bull CBBC Oct 2019 C	50,000,000	2019/06/03	2019/10/28	12.50
65294	UBS AG – HKEX R Bull CBBC Oct 2019 D	50,000,000	2019/06/03	2019/10/28	12.50
65295	UBS AG – HKEX R Bull CBBC Dec 2019 E	200,000,000	2019/06/03	2019/12/23	50.00
65265	UBS AG – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/06/03	2021/10/28	50.00
65277	UBS AG – HSI R Bear CBBC Sep 2019 U	200,000,000	2019/06/03	2019/09/27	50.00
65278	UBS AG – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/06/03	2019/09/27	50.00
65287	UBS AG – HSI R Bear CBBC Nov 2019 A	200,000,000	2019/06/03	2019/11/28	50.00
65266	UBS AG – Tencent R Bull CBBC Oct 2019 P	40,000,000	2019/06/03	2019/10/28	10.00
65290	UBS AG – Tencent R Bear CBBC Sep 2019 F	40,000,000	2019/06/03	2019/09/23	10.00
65531	Bank Vontobel – Ping An R Bull CBBC Jan 2020 A	40,000,000	2019/06/03	2020/01/17	10.00
65533	Bank Vontobel – Tencent R Bear CBBC Jan 2020 C	40,000,000	2019/06/03	2020/01/17	10.00
65646	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 K	250,000,000	2019/06/04	2021/10/28	62.50
65640	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/06/04	2021/10/28	62.50
65648	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 F	250,000,000	2019/06/04	2019/12/30	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65650	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 G	250,000,000	2019/06/04	2019/12/30	62.50
65774	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 H	240,000,000	2019/06/04	2019/12/30	60.00
66050	Credit Suisse AG – HKEX R Bull CBBC Jan 2020 E	100,000,000	2019/06/04	2020/01/30	25.00
66066	Credit Suisse AG – HKEX R Bull CBBC Jan 2020 F	100,000,000	2019/06/04	2020/01/30	25.00
66036	Credit Suisse AG – HSI R Bull CBBC Nov 2021 K	200,000,000	2019/06/04	2021/11/29	50.00
66038	Credit Suisse AG – HSI R Bull CBBC Dec 2021 J	300,000,000	2019/06/04	2021/12/30	75.00
66012	Credit Suisse AG – HSI R Bear CBBC Nov 2019 Z	200,000,000	2019/06/04	2019/11/28	50.00
66015	Credit Suisse AG – HSI R Bear CBBC Jan 2020 G	200,000,000	2019/06/04	2020/01/30	50.00
66026	Credit Suisse AG – HSI R Bear CBBC Jan 2020 H	200,000,000	2019/06/04	2020/01/30	50.00
66068	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 K	80,000,000	2019/06/04	2020/01/30	20.00
66071	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 M	100,000,000	2019/06/04	2020/01/30	25.00
66073	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 N	100,000,000	2019/06/04	2020/01/30	25.00
65997	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 L	100,000,000	2019/06/04	2019/09/30	25.00
65986	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/06/04	2021/10/28	50.00
65995	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/06/04	2021/10/28	50.00
65998	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 J	100,000,000	2019/06/04	2019/11/29	25.00
65996	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 F	100,000,000	2019/06/04	2019/09/04	25.00
66002	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 G	100,000,000	2019/06/04	2019/09/04	25.00
66009	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 J	80,000,000	2019/06/04	2019/12/30	22.32
65749	HK Bank – Bank of China R Bull CBBC Jul 2020 A	60,000,000	2019/06/04	2020/07/31	15.00
65748	HK Bank – China Mobile R Bear CBBC Dec 2019 A	40,000,000	2019/06/04	2019/12/23	10.00
65744	HK Bank – HKEX R Bull CBBC Nov 2019 L	40,000,000	2019/06/04	2019/11/25	10.00
65675	HK Bank – HSI R Bull CBBC Nov 2019 F	50,000,000	2019/06/04	2019/11/28	12.50
65696	HK Bank – HSI R Bear CBBC Nov 2019 T	100,000,000	2019/06/04	2019/11/28	25.00
65739	HK Bank – Tencent R Bull CBBC Oct 2019 Q	100,000,000	2019/06/04	2019/10/25	25.00
65703	HK Bank – Tencent R Bull CBBC Nov 2019 Q	40,000,000	2019/06/04	2019/11/08	10.00
65717	HK Bank – Tencent R Bull CBBC Nov 2019 R	100,000,000	2019/06/04	2019/11/22	25.00
65707	HK Bank – Tencent R Bull CBBC Dec 2019 E	100,000,000	2019/06/04	2019/12/20	25.00
65782	Haitong Int'l Sec – AAC Tech R Bull CBBC Jan 2020 A	100,000,000	2019/06/04	2020/01/06	25.00
65791	Haitong Int'l Sec – CAM CSI300 R Bull CBBC Feb 2020 A	100,000,000	2019/06/04	2020/02/06	25.00
65802	Haitong Int'l Sec – China Tower R Bear CBBC Mar 2020 B	120,000,000	2019/06/04	2020/03/24	30.00
65801	Haitong Int'l Sec – HKEX R Bull CBBC Nov 2019 E	40,000,000	2019/06/04	2019/11/19	10.00
65797	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 L	150,000,000	2019/06/04	2021/09/29	37.50
65799	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 O	150,000,000	2019/06/04	2021/10/28	37.50
65794	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 P	150,000,000	2019/06/04	2019/10/30	37.50
65800	Haitong Int'l Sec – HSI R Bear CBBC Apr 2020 B	150,000,000	2019/06/04	2020/04/28	37.50
65847	J P Morgan SP BV – HKEX R Bull CBBC Dec 2019 C	40,000,000	2019/06/04	2019/12/13	10.00
65855	J P Morgan SP BV – HKEX R Bull CBBC Jan 2020 A	200,000,000	2019/06/04	2020/01/10	50.00
65804	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/06/04	2021/09/29	50.00
65805	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 R	200,000,000	2019/06/04	2021/09/29	50.00
65813	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 V	200,000,000	2019/06/04	2019/11/28	50.00
65839	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 W	240,000,000	2019/06/04	2019/11/28	60.00
65842	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 U	50,000,000	2019/06/04	2019/10/11	12.50
65931	SG Issuer – Sinopec Corp R Bear CBBC Dec 2019 A	40,000,000	2019/06/04	2019/12/31	10.00
65938	SG Issuer – Geely Auto R Bull CBBC Jul 2020 F	150,000,000	2019/06/04	2020/07/30	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65906	SG Issuer – Geely Auto R Bear CBBC Apr 2020 A	150,000,000	2019/06/04	2020/04/28	37.50
65934	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 P	100,000,000	2019/06/04	2019/12/31	25.00
65975	SG Issuer – HKEX R Bull CBBC Dec 2019 D	50,000,000	2019/06/04	2019/12/18	12.50
65974	SG Issuer – HSI R Bull CBBC Oct 2021 N	250,000,000	2019/06/04	2021/10/28	62.50
65965	SG Issuer – HSI R Bull CBBC Feb 2022 C	250,000,000	2019/06/04	2022/02/25	62.50
65970	SG Issuer – HSI R Bull CBBC Mar 2022 A	250,000,000	2019/06/04	2022/03/30	62.50
65897	SG Issuer – HSI R Bear CBBC Nov 2019 Y	250,000,000	2019/06/04	2019/11/28	62.50
65973	SG Issuer – HSI R Bear CBBC Nov 2019 Z	250,000,000	2019/06/04	2019/11/28	62.50
65903	SG Issuer – HSI R Bear CBBC Jan 2020 E	250,000,000	2019/06/04	2020/01/30	62.50
65939	SG Issuer – PetroChina R Bear CBBC Dec 2019 A	40,000,000	2019/06/04	2019/12/31	10.00
65940	SG Issuer – Tencent R Bull CBBC Oct 2019 X	80,000,000	2019/06/04	2019/10/18	20.00
65957	SG Issuer – Tencent R Bull CBBC Oct 2019 Y	80,000,000	2019/06/04	2019/10/28	20.00
65976	SG Issuer – Tencent R Bull CBBC Nov 2019 F	80,000,000	2019/06/04	2019/11/18	20.00
65917	SG Issuer – Tencent R Bear CBBC Nov 2019 T	80,000,000	2019/06/04	2019/11/28	20.00
65959	SG Issuer – Xiaomi R Bull CBBC Nov 2019 A	60,000,000	2019/06/04	2019/11/28	15.00
65656	UBS AG – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/06/04	2021/10/28	50.00
65661	UBS AG – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/06/04	2021/10/28	50.00
65670	UBS AG – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/06/04	2019/09/27	50.00
65674	UBS AG – HSI R Bear CBBC Sep 2019 X	200,000,000	2019/06/04	2019/09/27	50.00
65662	UBS AG – Tencent R Bull CBBC Oct 2019 Q	40,000,000	2019/06/04	2019/10/31	10.00
65665	UBS AG – Tencent R Bull CBBC Dec 2019 D	200,000,000	2019/06/04	2019/12/02	50.00
65668	UBS AG – Tencent R Bear CBBC Dec 2019 B	200,000,000	2019/06/04	2019/12/02	50.00
65877	Bank Vontobel – China Mobile R Bear CBBC Jan 2020 A	40,000,000	2019/06/04	2020/01/17	10.00
65861	Bank Vontobel – CNOOC R Bear CBBC Jan 2020 A	40,000,000	2019/06/04	2020/01/17	10.00
66098	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 F	40,000,000	2019/06/05	2019/12/19	17.44
66095	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 E	200,000,000	2019/06/05	2019/12/19	50.40
66155	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 E	240,000,000	2019/06/05	2021/10/28	60.00
66157	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 I	240,000,000	2019/06/05	2019/12/30	60.00
66158	Credit Suisse AG – HSI R Bull CBBC Sep 2021 X	200,000,000	2019/06/05	2021/09/29	50.00
66159	Credit Suisse AG – HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/06/05	2021/09/29	50.00
66163	Credit Suisse AG – HSI R Bear CBBC Jan 2020 I	200,000,000	2019/06/05	2020/01/30	50.00
66162	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 O	100,000,000	2019/06/05	2020/01/24	25.00
66238	Goldman Sachs SP (Asia) – Geely Auto R Bull CBBC Sep 2019 A	40,000,000	2019/06/05	2019/09/30	10.00
66231	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 S	200,000,000	2019/06/05	2020/03/30	50.00
66236	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Apr 2020 A	100,000,000	2019/06/05	2020/04/29	25.00
66230	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 K	80,000,000	2019/06/05	2019/12/30	20.00
66083	HK Bank – CNOOC R Bull CBBC Dec 2019 A	40,000,000	2019/06/05	2019/12/09	10.00
66084	HK Bank – PetroChina R Bull CBBC Jul 2020 A	60,000,000	2019/06/05	2020/07/31	15.00
66081	HK Bank – Tencent R Bull CBBC Oct 2019 R	40,000,000	2019/06/05	2019/10/31	10.00
66082	HK Bank – Tencent R Bull CBBC Dec 2019 F	100,000,000	2019/06/05	2019/12/09	25.00
66166	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 R	100,000,000	2019/06/05	2021/10/28	25.00
66168	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 Q	150,000,000	2019/06/05	2019/10/30	37.50
66170	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 R	150,000,000	2019/06/05	2019/10/30	37.50
66143	J P Morgan SP BV – HKEX R Bull CBBC Dec 2019 D	200,000,000	2019/06/05	2019/12/20	50.00
66121	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/06/05	2021/10/28	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66144	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/06/05	2021/10/28	50.00
66151	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 X	200,000,000	2019/06/05	2019/11/28	50.00
66126	J P Morgan SP BV – Ping An R Bull CBBC Nov 2019 A	100,000,000	2019/06/05	2019/11/08	25.00
66129	J P Morgan SP BV – Ping An R Bull CBBC Jan 2020 A	100,000,000	2019/06/05	2020/01/10	25.00
66124	J P Morgan SP BV – Tencent R Bull CBBC Jan 2020 A	250,000,000	2019/06/05	2020/01/10	62.50
66122	J P Morgan SP BV – Tencent R Bear CBBC Nov 2019 D	50,000,000	2019/06/05	2019/11/08	12.50
66078	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 F	18,500,000	2019/06/05	2019/10/28	10.03
66079	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 G	13,800,000	2019/06/05	2019/10/28	10.02
66080	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 S	14,900,000	2019/06/05	2019/11/28	10.06
66206	SG Issuer – China Mobile R Bull CBBC Jul 2020 B	100,000,000	2019/06/05	2020/07/27	25.00
66198	SG Issuer – CSOP A50 ETF R Bear CBBC Jan 2020 A	100,000,000	2019/06/05	2020/01/30	25.00
66205	SG Issuer – A50 China R Bear CBBC Feb 2020 A	100,000,000	2019/06/05	2020/02/27	25.00
66184	SG Issuer – HSI R Bull CBBC Oct 2021 O	250,000,000	2019/06/05	2021/10/28	62.50
66186	SG Issuer – HSI R Bull CBBC Oct 2021 P	250,000,000	2019/06/05	2021/10/28	62.50
66226	SG Issuer – HSI R Bear CBBC Dec 2019 U	250,000,000	2019/06/05	2019/12/30	62.50
66229	SG Issuer – HSI R Bear CBBC Jan 2020 F	250,000,000	2019/06/05	2020/01/30	62.50
66225	SG Issuer – HSI R Bear CBBC Feb 2020 A	500,000,000	2019/06/05	2020/02/27	125.00
66209	SG Issuer – Tencent R Bull CBBC Oct 2019 Z	80,000,000	2019/06/05	2019/10/21	20.00
66189	SG Issuer – Tencent R Bull CBBC Nov 2019 G	80,000,000	2019/06/05	2019/11/20	20.00
66213	SG Issuer – Tencent R Bear CBBC Jan 2020 K	80,000,000	2019/06/05	2020/01/30	20.00
66101	UBS AG – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/06/05	2021/10/28	50.00
66103	UBS AG – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/06/05	2021/10/28	50.00
66104	UBS AG – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/06/05	2021/10/28	50.00
66107	UBS AG – HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/06/05	2019/09/27	50.00
66118	UBS AG – HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/06/05	2019/09/27	50.00
66113	UBS AG – HSI R Bear CBBC Oct 2019 M	200,000,000	2019/06/05	2019/10/30	50.00
66180	Bank Vontobel – HSI R Bull CBBC Jan 2021 A	80,000,000	2019/06/05	2021/01/28	20.00
66182	Bank Vontobel – HSI R Bull CBBC Jan 2021 B	80,000,000	2019/06/05	2021/01/28	20.00
66177	Bank Vontobel – HSI R Bear CBBC Jan 2020 L	80,000,000	2019/06/05	2020/01/30	20.00
66454	BOCI Asia Ltd. – Sunny Optical R Bull CBBC Dec 2019 C	40,000,000	2019/06/06	2019/12/20	10.00
66249	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 F	250,000,000	2019/06/06	2021/10/28	62.50
66250	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 J	250,000,000	2019/06/06	2021/10/28	62.50
66252	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 J	250,000,000	2019/06/06	2019/12/30	62.50
66442	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 K	250,000,000	2019/06/06	2019/12/30	62.50
66451	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 L	250,000,000	2019/06/06	2019/12/30	62.50
66453	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 M	240,000,000	2019/06/06	2019/12/30	60.00
66488	Credit Suisse AG – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/06/06	2021/10/28	50.00
66489	Credit Suisse AG – HSI R Bull CBBC Nov 2021 L	200,000,000	2019/06/06	2021/11/29	50.00
66495	Credit Suisse AG – HSI R Bear CBBC Dec 2019 R	200,000,000	2019/06/06	2019/12/30	50.00
66500	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 L	80,000,000	2019/06/06	2020/01/24	20.00
66514	Goldman Sachs SP (Asia) – China Tower R Bull CBBC Feb 2020 A	100,000,000	2019/06/06	2020/02/03	25.00
66501	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/06/06	2021/10/28	50.00
66502	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 T	200,000,000	2019/06/06	2020/03/30	50.00
66523	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 U	200,000,000	2019/06/06	2020/03/30	50.00
66504	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Apr 2020 B	100,000,000	2019/06/06	2020/04/29	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66340	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 M	150,000,000	2019/06/06	2021/09/29	37.50
66355	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 N	150,000,000	2019/06/06	2021/09/29	37.50
66359	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 S	150,000,000	2019/06/06	2019/10/30	37.50
66361	Haitong Int'l Sec – HSI R Bear CBBC Apr 2020 C	150,000,000	2019/06/06	2020/04/28	37.50
66311	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/06/06	2021/10/28	50.00
66321	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/06/06	2021/10/28	50.00
66332	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 Y	200,000,000	2019/06/06	2019/11/28	50.00
66334	J P Morgan SP BV – HSI R Bear CBBC Jan 2020 A	200,000,000	2019/06/06	2020/01/30	50.00
66333	J P Morgan SP BV – Ping An R Bull CBBC Nov 2019 B	100,000,000	2019/06/06	2019/11/15	25.00
66408	SG Issuer – CNOOC R Bear CBBC Mar 2020 A	150,000,000	2019/06/06	2020/03/30	37.50
66471	SG Issuer – China Tower R Bear CBBC Feb 2020 A	120,000,000	2019/06/06	2020/02/27	30.00
66409	SG Issuer – Galaxy Ent R Bear CBBC Nov 2019 H	100,000,000	2019/06/06	2019/11/28	25.00
66378	SG Issuer – HKEX R Bull CBBC Nov 2019 I	50,000,000	2019/06/06	2019/11/28	12.50
66421	SG Issuer – HKEX R Bear CBBC Dec 2019 A	50,000,000	2019/06/06	2019/12/30	12.50
66403	SG Issuer – HSI R Bull CBBC Oct 2021 Q	250,000,000	2019/06/06	2021/10/28	62.50
66406	SG Issuer – HSI R Bull CBBC Nov 2021 C	250,000,000	2019/06/06	2021/11/29	62.50
66458	SG Issuer – HSI R Bull CBBC Nov 2021 D	250,000,000	2019/06/06	2021/11/29	62.50
66456	SG Issuer – HSI R Bear CBBC Nov 2019 F	250,000,000	2019/06/06	2019/11/28	62.50
66438	SG Issuer – HSI R Bear CBBC Jan 2020 G	250,000,000	2019/06/06	2020/01/30	62.50
66472	SG Issuer – Ping An R Bull CBBC Dec 2019 L	100,000,000	2019/06/06	2019/12/19	25.00
66380	SG Issuer – Ping An R Bear CBBC Nov 2019 D	100,000,000	2019/06/06	2019/11/28	25.00
66424	SG Issuer – SHK Ppt R Bear CBBC Dec 2019 A	100,000,000	2019/06/06	2019/12/20	25.00
66427	SG Issuer – SUNAC R Bull CBBC Feb 2020 F	80,000,000	2019/06/06	2020/02/28	20.00
66480	SG Issuer – Tencent R Bull CBBC Oct 2019 A	80,000,000	2019/06/06	2019/10/28	20.00
66402	SG Issuer – Tencent R Bear CBBC Feb 2020 B	80,000,000	2019/06/06	2020/02/21	20.00
66285	UBS AG – HSI R Bull CBBC Sep 2021 U	200,000,000	2019/06/06	2021/09/29	50.00
66307	UBS AG – HSI R Bull CBBC Sep 2021 V	200,000,000	2019/06/06	2021/09/29	50.00
66286	UBS AG – HSI R Bear CBBC Sep 2019 A	200,000,000	2019/06/06	2019/09/27	50.00
66288	UBS AG – HSI R Bear CBBC Nov 2019 B	200,000,000	2019/06/06	2019/11/28	50.00
66304	UBS AG – HSI R Bear CBBC Nov 2019 C	200,000,000	2019/06/06	2019/11/28	50.00
66270	Bank Vontobel – China Life R Bear CBBC Jan 2020 A	40,000,000	2019/06/06	2020/01/17	10.00
66259	Bank Vontobel – HSI R Bear CBBC Jan 2020 M	80,000,000	2019/06/06	2020/01/30	20.00
66271	Bank Vontobel – ICBC R Bear CBBC Jan 2020 A	40,000,000	2019/06/06	2020/01/17	10.00
66587	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 N	150,000,000	2019/06/10	2020/09/29	39.15
66591	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 O	150,000,000	2019/06/10	2020/09/29	42.00
66595	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 A	150,000,000	2019/06/10	2019/12/30	40.35
66597	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 B	150,000,000	2019/06/10	2019/12/30	40.65
66541	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 L	250,000,000	2019/06/10	2021/10/28	62.50
66688	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 M	250,000,000	2019/06/10	2021/10/28	62.50
66544	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 N	250,000,000	2019/06/10	2019/12/30	62.50
66691	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 O	250,000,000	2019/06/10	2019/12/30	62.50
66697	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 P	250,000,000	2019/06/10	2019/12/30	62.50
66676	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 S	50,000,000	2019/06/10	2020/01/30	12.50
66689	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 O	50,000,000	2019/06/10	2019/11/28	12.50
66684	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 J	50,000,000	2019/06/10	2019/12/30	12.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66809	Credit Suisse AG – AIA R Bear CBBC Jan 2020 C	70,000,000	2019/06/10	2020/01/30	17.50
66803	Credit Suisse AG – China Mobile R Bull CBBC Feb 2020 B	70,000,000	2019/06/10	2020/02/27	17.50
66799	Credit Suisse AG – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/06/10	2021/10/28	50.00
66813	Credit Suisse AG – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/06/10	2021/10/28	50.00
66816	Credit Suisse AG – HSI R Bear CBBC Jan 2020 J	200,000,000	2019/06/10	2020/01/30	50.00
66831	Credit Suisse AG – HSI R Bear CBBC Jan 2020 K	200,000,000	2019/06/10	2020/01/30	50.00
66817	Credit Suisse AG – HSI R Bear CBBC Feb 2020 C	200,000,000	2019/06/10	2020/02/27	50.00
66814	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 M	100,000,000	2019/06/10	2020/01/24	25.00
66558	Bank of East Asia – Tencent R Bull CBBC Nov 2019 B	30,000,000	2019/06/10	2019/11/22	10.50
66556	Bank of East Asia – Tencent R Bear CBBC Nov 2019 A	30,000,000	2019/06/10	2019/11/22	10.50
66557	Bank of East Asia – Tencent R Bear CBBC Nov 2019 B	30,000,000	2019/06/10	2019/11/22	10.50
66865	Goldman Sachs SP (Asia) – AIA R Bull CBBC Nov 2019 U	100,000,000	2019/06/10	2019/11/29	25.00
66853	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2019E	100,000,000	2019/06/10	2019/12/30	25.00
66845	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2020 A	200,000,000	2019/06/10	2020/03/30	50.00
66551	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2021 A	200,000,000	2019/06/10	2021/11/29	50.00
66848	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 V	200,000,000	2019/06/10	2020/03/30	50.00
66851	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 W	200,000,000	2019/06/10	2020/03/30	50.00
66844	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 H	100,000,000	2019/06/10	2019/09/10	25.00
66545	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 L	80,000,000	2019/06/10	2019/12/30	20.00
66564	HK Bank – China Mobile R Bull CBBC Nov 2019 D	60,000,000	2019/06/10	2019/11/29	15.00
66570	HK Bank – China Mobile R Bull CBBC Dec 2019 C	60,000,000	2019/06/10	2019/12/16	15.00
66563	HK Bank – HSI R Bear CBBC Nov 2019 U	50,000,000	2019/06/10	2019/11/28	12.50
66580	HK Bank – Ping An R Bull CBBC Dec 2019 F	60,000,000	2019/06/10	2019/12/20	15.00
66584	HK Bank – Tencent R Bull CBBC Dec 2019 G	40,000,000	2019/06/10	2019/12/20	10.00
66633	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 O	150,000,000	2019/06/10	2021/09/29	37.50
66643	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 A	150,000,000	2019/06/10	2021/11/29	37.50
66647	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 B	150,000,000	2019/06/10	2021/11/29	37.50
66653	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 C	100,000,000	2019/06/10	2021/11/29	25.00
66657	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 T	150,000,000	2019/06/10	2019/10/30	37.50
66660	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 U	150,000,000	2019/06/10	2019/10/30	37.50
66637	Haitong Int'l Sec – Tencent R Bull CBBC Jan 2020 A	40,000,000	2019/06/10	2020/01/13	10.00
66730	J P Morgan SP BV – HSI R Bull CBBC Sep 2021 S	200,000,000	2019/06/10	2021/09/29	50.00
66699	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/06/10	2021/10/28	50.00
66717	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/06/10	2021/10/28	50.00
66721	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/06/10	2021/10/28	50.00
66742	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/06/10	2019/10/30	50.00
66754	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 A	200,000,000	2019/06/10	2019/11/28	50.00
66748	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 Z	200,000,000	2019/06/10	2019/11/28	50.00
66763	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 B	200,000,000	2019/06/10	2019/12/30	50.00
66726	J P Morgan SP BV – Tencent R Bull CBBC Dec 2019 A	250,000,000	2019/06/10	2019/12/13	62.50
66706	J P Morgan SP BV – Tencent R Bear CBBC Nov 2019 E	250,000,000	2019/06/10	2019/11/08	62.50
66866	SG Issuer – AIA R Bull CBBC Dec 2019 G	60,000,000	2019/06/10	2019/12/23	15.00
66913	SG Issuer – AIA R Bear CBBC Dec 2019 B	60,000,000	2019/06/10	2019/12/30	15.00
66915	SG Issuer – China Mobile R Bull CBBC Jul 2020 C	100,000,000	2019/06/10	2020/07/13	25.00
66914	SG Issuer – CC Bank R Bear CBBC Dec 2019 A	100,000,000	2019/06/10	2019/12/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66919	SG Issuer – CNOOC R Bull CBBC Jul 2020 A	150,000,000	2019/06/10	2020/07/13	37.50
66918	SG Issuer – Sinopec Corp R Bull CBBC Dec 2019 B	40,000,000	2019/06/10	2019/12/30	10.00
66921	SG Issuer – HSBC R Bull CBBC Dec 2019 B	100,000,000	2019/06/10	2019/12/30	25.00
66988	SG Issuer – HSI R Bull CBBC Oct 2021 R	250,000,000	2019/06/10	2021/10/28	62.50
66905	SG Issuer – HSI R Bull CBBC Nov 2021 E	250,000,000	2019/06/10	2021/11/29	62.50
66909	SG Issuer – HSI R Bull CBBC Nov 2021 F	250,000,000	2019/06/10	2021/11/29	62.50
66989	SG Issuer – HSI R Bull CBBC Nov 2021 G	250,000,000	2019/06/10	2021/11/29	62.50
66990	SG Issuer – HSI R Bull CBBC Nov 2021 H	250,000,000	2019/06/10	2021/11/29	62.50
66997	SG Issuer – HSI R Bull CBBC Nov 2021 I	250,000,000	2019/06/10	2021/11/29	62.50
66908	SG Issuer – HSI R Bull CBBC Dec 2021 V	250,000,000	2019/06/10	2021/12/30	62.50
66972	SG Issuer – HSI R Bear CBBC Dec 2019 V	250,000,000	2019/06/10	2019/12/30	62.50
66985	SG Issuer – HSI R Bear CBBC Dec 2019 W	250,000,000	2019/06/10	2019/12/30	62.50
66987	SG Issuer – HSI R Bear CBBC Jan 2020 H	250,000,000	2019/06/10	2020/01/30	62.50
66925	SG Issuer – PetroChina R Bull CBBC Dec 2019 C	40,000,000	2019/06/10	2019/12/30	10.00
66928	SG Issuer – Ping An R Bull CBBC Dec 2019 M	100,000,000	2019/06/10	2019/12/30	25.00
66937	SG Issuer – SHK Ppt R Bull CBBC Dec 2019 F	100,000,000	2019/06/10	2019/12/09	25.00
66945	SG Issuer – SHK Ppt R Bull CBBC Dec 2019 G	100,000,000	2019/06/10	2019/12/30	25.00
66951	SG Issuer – SHK Ppt R Bear CBBC Dec 2019 B	100,000,000	2019/06/10	2019/12/30	25.00
66961	SG Issuer – Tencent R Bull CBBC Sep 2019 K	80,000,000	2019/06/10	2019/09/26	20.00
66971	SG Issuer – Tencent R Bull CBBC Oct 2019 B	80,000,000	2019/06/10	2019/10/17	20.00
66873	SG Issuer – Tencent R Bear CBBC Feb 2020 C	80,000,000	2019/06/10	2020/02/26	20.00
66611	UBS AG – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/06/10	2021/10/28	50.00
66613	UBS AG – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/06/10	2021/10/28	50.00
66614	UBS AG – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/06/10	2021/10/28	50.00
66617	UBS AG – HSI R Bear CBBC Oct 2019 N	200,000,000	2019/06/10	2019/10/30	50.00
66621	UBS AG – HSI R Bear CBBC Oct 2019 O	200,000,000	2019/06/10	2019/10/30	50.00
66622	UBS AG – HSI R Bear CBBC Oct 2019 P	200,000,000	2019/06/10	2019/10/30	50.00
66630	UBS AG – Tencent R Bull CBBC Nov 2019 K	200,000,000	2019/06/10	2019/11/18	50.00
66628	UBS AG – Tencent R Bull CBBC Dec 2019 E	200,000,000	2019/06/10	2019/12/09	50.00
66627	UBS AG – Tencent R Bear CBBC Nov 2019 I	200,000,000	2019/06/10	2019/11/27	50.00
66528	Bank Vontobel – BOCL R Bear CBBC Jan 2020 A	40,000,000	2019/06/10	2020/01/10	10.00
66600	Bank Vontobel – Sinopec Corp R Bull CBBC Jan 2020 A	40,000,000	2019/06/10	2020/01/17	10.00
66605	Bank Vontobel – Sinopec Corp R Bear CBBC Jan 2020 A	40,000,000	2019/06/10	2020/01/17	10.00
66598	Bank Vontobel – HSI N Bear CBBC Jan 2020 O	80,000,000	2019/06/10	2020/01/30	20.00
66537	Bank Vontobel – HSI R Bear CBBC Jan 2020 N	80,000,000	2019/06/10	2020/01/30	20.00
66607	Bank Vontobel – Sunny Optical R Bear CBBC Jan 2020 A	40,000,000	2019/06/10	2020/01/10	10.00
66534	Bank Vontobel – Tencent R Bull CBBC Jan 2020 E	40,000,000	2019/06/10	2020/01/17	10.00
67000	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 N	250,000,000	2019/06/11	2021/10/28	62.50
67329	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 O	240,000,000	2019/06/11	2021/10/28	60.00
67370	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 Q	240,000,000	2019/06/11	2019/12/30	60.00
67319	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 T	50,000,000	2019/06/11	2019/12/30	12.50
67316	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 T	50,000,000	2019/06/11	2020/01/30	12.50
67605	Credit Suisse AG – AIA R Bull CBBC Jan 2020 D	70,000,000	2019/06/11	2020/01/30	17.50
67628	Credit Suisse AG – HSBC R Bull CBBC Jan 2020 B	70,000,000	2019/06/11	2020/01/30	17.50
67634	Credit Suisse AG – HSBC R Bull CBBC Jan 2020 C	70,000,000	2019/06/11	2020/01/30	17.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67557	Credit Suisse AG – HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/06/11	2021/09/29	50.00
67561	Credit Suisse AG – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/06/11	2021/10/28	50.00
67564	Credit Suisse AG – HSI R Bull CBBC Dec 2021 K	300,000,000	2019/06/11	2021/12/30	75.00
67678	Credit Suisse AG – HSI R Bear CBBC Dec 2019 S	200,000,000	2019/06/11	2019/12/30	50.00
67684	Credit Suisse AG – HSI R Bear CBBC Dec 2019 T	200,000,000	2019/06/11	2019/12/30	50.00
67694	Credit Suisse AG – HSI R Bear CBBC Feb 2020 D	300,000,000	2019/06/11	2020/02/27	75.00
67566	Credit Suisse AG – SHK Ppt R Bull CBBC Dec 2019 E	40,000,000	2019/06/11	2019/12/30	10.00
67697	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 P	100,000,000	2019/06/11	2020/01/23	25.00
67599	Credit Suisse AG – Xiaomi R Bull CBBC Feb 2020 A	50,000,000	2019/06/11	2020/02/27	12.50
67479	Goldman Sachs SP (Asia) – AIA R Bear CBBC Dec 2019 B	100,000,000	2019/06/11	2019/12/30	25.00
67450	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Oct 2019B	100,000,000	2019/06/11	2019/10/30	25.00
67483	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 M	100,000,000	2019/06/11	2019/09/27	25.00
67002	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/06/11	2021/10/28	50.00
67006	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 X	200,000,000	2019/06/11	2020/03/30	50.00
67007	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/06/11	2020/03/30	50.00
67422	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 I	100,000,000	2019/06/11	2019/09/11	25.00
67001	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 M	80,000,000	2019/06/11	2019/12/30	20.00
67425	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 N	60,000,000	2019/06/11	2019/12/30	21.54
67036	HK Bank – China Mobile R Bull CBBC Jan 2020 A	60,000,000	2019/06/11	2020/01/06	15.00
67068	HK Bank – Galaxy Ent R Bear CBBC Nov 2019 D	40,000,000	2019/06/11	2019/11/25	10.00
67073	HK Bank – HKEX R Bear CBBC Jan 2020 A	40,000,000	2019/06/11	2020/01/06	10.00
67023	HK Bank – HSI R Bull CBBC Jul 2020 Y	50,000,000	2019/06/11	2020/07/30	12.50
67060	HK Bank – HSI R Bear CBBC Nov 2019 V	100,000,000	2019/06/11	2019/11/28	25.00
67056	HK Bank – Tencent R Bull CBBC Jan 2020 D	40,000,000	2019/06/11	2020/01/06	10.00
67057	HK Bank – Xiaomi R Bull CBBC Feb 2020 A	40,000,000	2019/06/11	2020/02/10	10.00
67517	Haitong Int'l Sec – CC Bank R Bull CBBC Nov 2019 B	70,000,000	2019/06/11	2019/11/22	17.50
67491	Haitong Int'l Sec – HSBC R Bull CBBC Nov 2019 B	70,000,000	2019/06/11	2019/11/27	17.50
67404	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 S	150,000,000	2019/06/11	2021/10/28	37.50
67371	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 D	150,000,000	2019/06/11	2021/11/29	37.50
67407	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 V	150,000,000	2019/06/11	2019/10/30	37.50
67419	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 W	150,000,000	2019/06/11	2019/10/30	37.50
67385	Haitong Int'l Sec – HSI R Bear CBBC Apr 2020 D	150,000,000	2019/06/11	2020/04/28	37.50
67535	Haitong Int'l Sec – SUNAC R Bear CBBC Jan 2020 A	130,000,000	2019/06/11	2020/01/16	32.50
67504	Haitong Int'l Sec – Tencent R Bull CBBC Jan 2020 B	40,000,000	2019/06/11	2020/01/22	10.00
67378	Haitong Int'l Sec – Tencent R Bear CBBC Mar 2020 F	40,000,000	2019/06/11	2020/03/04	10.00
67135	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/06/11	2021/10/28	50.00
67133	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/06/11	2021/10/28	50.00
67212	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 D	200,000,000	2019/06/11	2021/11/29	50.00
67137	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 C	200,000,000	2019/06/11	2019/12/30	50.00
67138	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 D	240,000,000	2019/06/11	2019/12/30	60.00
67189	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 E	200,000,000	2019/06/11	2019/12/30	50.00
67203	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 F	240,000,000	2019/06/11	2019/12/30	60.00
67302	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 V	50,000,000	2019/06/11	2019/10/11	12.50
67291	J P Morgan SP BV – Tencent R Bull CBBC Jan 2020 B	250,000,000	2019/06/11	2020/01/10	62.50
67220	J P Morgan SP BV – Tencent R Bear CBBC Dec 2019 A	50,000,000	2019/06/11	2019/12/13	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67017	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 H	20,300,000	2019/06/11	2019/10/17	10.03
67020	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2020 A	10,000,000	2019/06/11	2020/02/26	10.09
67777	SG Issuer – AIA R Bear CBBC Dec 2019 C	60,000,000	2019/06/11	2019/12/30	15.00
67986	SG Issuer – HKEX R Bull CBBC Nov 2019 J	50,000,000	2019/06/11	2019/11/08	12.50
67995	SG Issuer – HKEX R Bear CBBC Nov 2019 G	50,000,000	2019/06/11	2019/11/27	12.50
67772	SG Issuer – HSI R Bull CBBC Oct 2021 S	250,000,000	2019/06/11	2021/10/28	62.50
67775	SG Issuer – HSI R Bull CBBC Oct 2021 T	250,000,000	2019/06/11	2021/10/28	62.50
67776	SG Issuer – HSI R Bull CBBC Nov 2021 J	250,000,000	2019/06/11	2021/11/29	62.50
67784	SG Issuer – HSI R Bear CBBC Nov 2019 B	250,000,000	2019/06/11	2019/11/28	62.50
67797	SG Issuer – HSI R Bear CBBC Dec 2019 X	250,000,000	2019/06/11	2019/12/30	62.50
67798	SG Issuer – HSI R Bear CBBC Jan 2020 I	250,000,000	2019/06/11	2020/01/30	62.50
67843	SG Issuer – HSI R Bear CBBC Jan 2020 J	250,000,000	2019/06/11	2020/01/30	62.50
67955	SG Issuer – HSI R Bear CBBC Jan 2020 K	250,000,000	2019/06/11	2020/01/30	62.50
67714	SG Issuer – HSI R Bear CBBC Feb 2020 B	500,000,000	2019/06/11	2020/02/27	125.00
68001	SG Issuer – Ping An R Bull CBBC Dec 2019 N	100,000,000	2019/06/11	2019/12/18	25.00
68007	SG Issuer – Tencent R Bull CBBC Sep 2019 L	80,000,000	2019/06/11	2019/09/26	20.00
68009	SG Issuer – Tencent R Bull CBBC Oct 2019 D	80,000,000	2019/06/11	2019/10/18	20.00
68115	SG Issuer – Tencent R Bull CBBC Oct 2019 E	80,000,000	2019/06/11	2019/10/30	20.00
68021	SG Issuer – Tencent R Bull CBBC Nov 2019 H	80,000,000	2019/06/11	2019/11/20	20.00
67779	SG Issuer – Tencent R Bear CBBC Feb 2020 D	80,000,000	2019/06/11	2020/02/27	20.00
68024	SG Issuer – Tencent R Bear CBBC Feb 2020 E	80,000,000	2019/06/11	2020/02/20	20.00
67102	UBS AG – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/06/11	2021/10/28	50.00
67124	UBS AG – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/06/11	2021/10/28	50.00
67104	UBS AG – HSI R Bear CBBC Oct 2019 Q	200,000,000	2019/06/11	2019/10/30	50.00
67109	UBS AG – HSI R Bear CBBC Nov 2019 D	200,000,000	2019/06/11	2019/11/28	50.00
67115	UBS AG – HSI R Bear CBBC Nov 2019 E	200,000,000	2019/06/11	2019/11/28	50.00
67129	UBS AG – Tencent R Bull CBBC Nov 2019 L	200,000,000	2019/06/11	2019/11/22	50.00
67128	UBS AG – Tencent R Bear CBBC Nov 2019 J	200,000,000	2019/06/11	2019/11/22	50.00
67242	Bank Vontobel – HSI R Bull CBBC Jan 2021 C	80,000,000	2019/06/11	2021/01/28	20.00
67224	Bank Vontobel – HSI R Bear CBBC Jan 2020 P	80,000,000	2019/06/11	2020/01/30	20.00
67263	Bank Vontobel – Ping An R Bear CBBC Jan 2020 A	40,000,000	2019/06/11	2020/01/17	10.00
67250	Bank Vontobel – Xiaomi R Bull CBBC Jan 2020 A	40,000,000	2019/06/11	2020/01/17	10.00
68152	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 P	150,000,000	2019/06/12	2020/09/29	37.50
68154	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 Q	150,000,000	2019/06/12	2020/09/29	45.00
68159	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 C	150,000,000	2019/06/12	2019/12/30	43.50
68421	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 D	150,000,000	2019/06/12	2019/12/30	40.50
68129	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 P	250,000,000	2019/06/12	2021/10/28	62.50
68571	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Q	240,000,000	2019/06/12	2021/10/28	60.00
68569	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 R	250,000,000	2019/06/12	2019/12/30	62.50
68572	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 S	250,000,000	2019/06/12	2019/12/30	62.50
68856	Credit Suisse AG – HSI R Bull CBBC Sep 2021 A	200,000,000	2019/06/12	2021/09/29	50.00
68851	Credit Suisse AG – HSI R Bull CBBC Nov 2021 M	200,000,000	2019/06/12	2021/11/29	50.00
68882	Credit Suisse AG – HSI R Bear CBBC Jan 2020 L	200,000,000	2019/06/12	2020/01/30	50.00
68884	Credit Suisse AG – HSI R Bear CBBC Feb 2020 E	300,000,000	2019/06/12	2020/02/27	75.00
68847	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2019 J	80,000,000	2019/06/12	2019/12/30	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68131	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/06/12	2021/10/28	50.00
68809	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 A	200,000,000	2019/06/12	2020/02/27	50.00
68840	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 B	200,000,000	2019/06/12	2020/02/27	50.00
68756	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/06/12	2020/03/30	50.00
68130	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 J	100,000,000	2019/06/12	2019/09/12	25.00
68811	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Sep 2019 D	80,000,000	2019/06/12	2019/09/12	20.00
68287	HK Bank – AIA R Bear CBBC Dec 2019 B	40,000,000	2019/06/12	2019/12/16	10.00
68276	HK Bank – Bank of China R Bear CBBC Dec 2019 A	40,000,000	2019/06/12	2019/12/23	10.00
68259	HK Bank – China Life R Bear CBBC Dec 2019 A	40,000,000	2019/06/12	2019/12/02	10.00
68281	HK Bank – Sinopec Corp R Bear CBBC Dec 2019 A	40,000,000	2019/06/12	2019/12/02	10.00
68363	HK Bank – HSI R Bull CBBC Jul 2020 J	50,000,000	2019/06/12	2020/07/30	12.50
68402	HK Bank – HSI R Bear CBBC Nov 2019 W	100,000,000	2019/06/12	2019/11/28	25.00
68191	HK Bank – Tencent R Bear CBBC Nov 2019 N	40,000,000	2019/06/12	2019/11/11	10.00
68435	Haitong Int'l Sec – China Tower R Bull CBBC Mar 2020 C	110,000,000	2019/06/12	2020/03/27	27.50
68433	Haitong Int'l Sec – Galaxy Ent R Bull CBBC Feb 2020 A	90,000,000	2019/06/12	2020/02/26	22.50
68462	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 T	150,000,000	2019/06/12	2021/10/28	37.50
68437	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 E	150,000,000	2019/06/12	2021/11/29	37.50
68499	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 X	150,000,000	2019/06/12	2019/10/30	37.50
68513	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 Y	150,000,000	2019/06/12	2019/10/30	37.50
68460	Haitong Int'l Sec – Xiaomi R Bull CBBC Nov 2019 C	50,000,000	2019/06/12	2019/11/26	12.50
68719	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/06/12	2021/10/28	50.00
68601	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 E	200,000,000	2019/06/12	2021/11/29	50.00
68627	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 F	200,000,000	2019/06/12	2021/11/29	50.00
68641	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 G	200,000,000	2019/06/12	2019/12/30	50.00
68647	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 H	240,000,000	2019/06/12	2019/12/30	60.00
68696	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 I	200,000,000	2019/06/12	2019/12/30	50.00
68746	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 J	200,000,000	2019/06/12	2019/12/30	50.00
68637	J P Morgan SP BV – Tencent R Bull CBBC Dec 2019 B	250,000,000	2019/06/12	2019/12/13	62.50
69197	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2020 A	40,000,000	2019/06/12	2020/03/30	10.04
68413	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2020 B	40,000,000	2019/06/12	2020/02/27	10.00
69096	SG Issuer – AIA R Bull CBBC Dec 2019 H	60,000,000	2019/06/12	2019/12/06	15.00
69125	SG Issuer – China Mobile R Bull CBBC Sep 2020 A	100,000,000	2019/06/12	2020/09/28	25.00
68944	SG Issuer – CSOP A50 ETF R Bull CBBC Apr 2020 G	100,000,000	2019/06/12	2020/04/28	25.00
69126	SG Issuer – China Tower R Bear CBBC Dec 2019 E	120,000,000	2019/06/12	2019/12/23	30.00
69133	SG Issuer – HSBC R Bull CBBC Dec 2019 C	100,000,000	2019/06/12	2019/12/23	25.00
69139	SG Issuer – HSBC R Bear CBBC Dec 2019 A	100,000,000	2019/06/12	2019/12/23	25.00
68978	SG Issuer – HSI R Bull CBBC Nov 2021 K	250,000,000	2019/06/12	2021/11/29	62.50
69008	SG Issuer – HSI R Bull CBBC Nov 2021 L	250,000,000	2019/06/12	2021/11/29	62.50
69024	SG Issuer – HSI R Bull CBBC Nov 2021 M	250,000,000	2019/06/12	2021/11/29	62.50
69025	SG Issuer – HSI R Bull CBBC Nov 2021 N	250,000,000	2019/06/12	2021/11/29	62.50
68977	SG Issuer – HSI R Bull CBBC Dec 2021 W	250,000,000	2019/06/12	2021/12/30	62.50
69169	SG Issuer – HSI R Bear CBBC Dec 2019 Y	250,000,000	2019/06/12	2019/12/30	62.50
69170	SG Issuer – HSI R Bear CBBC Jan 2020 L	250,000,000	2019/06/12	2020/01/30	62.50
69196	SG Issuer – HSI R Bear CBBC Jan 2020 M	250,000,000	2019/06/12	2020/01/30	62.50
68896	SG Issuer – Ping An R Bull CBBC Dec 2019 O	100,000,000	2019/06/12	2019/12/31	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69147	SG Issuer – SHK Ppt R Bull CBBC Mar 2020 A	100,000,000	2019/06/12	2020/03/26	25.00
68897	SG Issuer – Tencent R Bull CBBC Nov 2019 I	80,000,000	2019/06/12	2019/11/20	20.00
69151	SG Issuer – Tencent R Bull CBBC Nov 2019 J	80,000,000	2019/06/12	2019/11/27	20.00
68940	SG Issuer – Xiaomi R Bull CBBC Dec 2019 C	60,000,000	2019/06/12	2019/12/31	17.70
68908	SG Issuer – Xiaomi R Bear CBBC Nov 2019 B	60,000,000	2019/06/12	2019/11/20	15.00
68546	UBS AG – HKEX R Bear CBBC Dec 2019 B	200,000,000	2019/06/12	2019/12/30	50.00
68535	UBS AG – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/06/12	2021/10/28	50.00
68552	UBS AG – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/06/12	2021/10/28	50.00
68536	UBS AG – HSI R Bear CBBC Oct 2019 R	200,000,000	2019/06/12	2019/10/30	50.00
68537	UBS AG – HSI R Bear CBBC Nov 2019 F	200,000,000	2019/06/12	2019/11/28	50.00
68547	UBS AG – Ping An R Bear CBBC Dec 2019 B	100,000,000	2019/06/12	2019/12/16	25.00
68405	Bank Vontobel – HSI R Bull CBBC Jan 2021 D	80,000,000	2019/06/12	2021/01/28	20.00
68410	Bank Vontobel – HSI R Bull CBBC Jan 2021 E	80,000,000	2019/06/12	2021/01/28	20.00
68412	Bank Vontobel – HSI R Bear CBBC Jan 2020 Q	80,000,000	2019/06/12	2020/01/30	20.00
69279	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 I	40,000,000	2019/06/13	2019/12/16	14.00
69283	BOCI Asia Ltd. – HKEX R Bear CBBC Dec 2019 E	40,000,000	2019/06/13	2019/12/16	13.20
69351	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 E	150,000,000	2019/06/13	2019/12/30	39.00
69340	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 G	40,000,000	2019/06/13	2019/12/20	11.60
69343	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 H	40,000,000	2019/06/13	2019/12/20	12.00
69348	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 F	40,000,000	2019/06/13	2019/12/20	10.00
69730	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 T	50,000,000	2019/06/13	2019/11/28	12.50
69749	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 M	50,000,000	2019/06/13	2020/07/30	12.50
69402	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 R	240,000,000	2019/06/13	2021/10/28	60.00
69411	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 T	250,000,000	2019/06/13	2019/12/30	62.50
69414	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 U	250,000,000	2019/06/13	2019/12/30	62.50
69688	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 P	50,000,000	2019/06/13	2019/11/28	12.50
69580	Credit Suisse AG – CSPC Pharma R Bull CBBC Jul 2020 B	100,000,000	2019/06/13	2020/07/30	25.00
69599	Credit Suisse AG – China Tower R Bull CBBC Jan 2020 C	40,000,000	2019/06/13	2020/01/24	10.00
69586	Credit Suisse AG – HKEX R Bear CBBC Jan 2020 E	100,000,000	2019/06/13	2020/01/30	25.00
69602	Credit Suisse AG – HSI R Bull CBBC Sep 2021 C	200,000,000	2019/06/13	2021/09/29	50.00
69620	Credit Suisse AG – HSI R Bull CBBC Sep 2021 J	200,000,000	2019/06/13	2021/09/29	50.00
69570	Credit Suisse AG – HSI R Bear CBBC Jan 2020 M	200,000,000	2019/06/13	2020/01/30	50.00
69574	Credit Suisse AG – HSI R Bear CBBC Jan 2020 N	200,000,000	2019/06/13	2020/01/30	50.00
69576	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 N	100,000,000	2019/06/13	2020/01/30	25.00
57053	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 C	200,000,000	2019/06/13	2021/10/28	50.00
69245	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 C	200,000,000	2019/06/13	2020/02/27	50.00
57039	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 K	100,000,000	2019/06/13	2019/09/13	25.00
69565	HK Bank – CSPC Pharma R Bull CBBC Dec 2019 A	40,000,000	2019/06/13	2019/12/30	10.80
69556	HK Bank – HSI R Bull CBBC Jul 2020 H	100,000,000	2019/06/13	2020/07/30	25.00
69545	HK Bank – HSI R Bear CBBC Nov 2019 X	50,000,000	2019/06/13	2019/11/28	12.50
69564	HK Bank – SHK Ppt R Bull CBBC Feb 2020 A	40,000,000	2019/06/13	2020/02/10	10.00
69567	HK Bank – SHK Ppt R Bear CBBC Oct 2019 B	40,000,000	2019/06/13	2019/10/14	10.00
69563	HK Bank – Tencent R Bear CBBC Jan 2020 B	40,000,000	2019/06/13	2020/01/06	10.00
69393	Haitong Int'l Sec – CSPC Pharma R Bull CBBC Nov 2019 A	40,000,000	2019/06/13	2019/11/26	10.00
69381	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 F	150,000,000	2019/06/13	2021/11/29	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69383	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 G	150,000,000	2019/06/13	2021/11/29	37.50
69386	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 A	150,000,000	2019/06/13	2019/10/30	37.50
69376	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 Z	150,000,000	2019/06/13	2019/10/30	37.50
69672	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/06/13	2021/10/28	50.00
69684	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/06/13	2021/10/28	50.00
69631	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 K	200,000,000	2019/06/13	2019/12/30	50.00
69632	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 L	200,000,000	2019/06/13	2019/12/30	50.00
69666	J P Morgan SP BV – Tencent R Bear CBBC Nov 2019 F	250,000,000	2019/06/13	2019/11/08	62.50
69251	Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2019 A	40,000,000	2019/06/13	2019/11/27	10.04
69254	Macquarie Bank Ltd. – Tencent R Bear CBBC Nov 2019 T	40,000,000	2019/06/13	2019/11/28	10.00
57015	SG Issuer – China Life R Bear CBBC Dec 2019 B	150,000,000	2019/06/13	2019/12/30	37.50
69929	SG Issuer – CSPC Pharma R Bull CBBC Jul 2020 B	150,000,000	2019/06/13	2020/07/30	37.50
69899	SG Issuer – CSPC Pharma R Bear CBBC Mar 2020 A	150,000,000	2019/06/13	2020/03/30	37.50
69811	SG Issuer – China Tower R Bull CBBC Mar 2020 B	120,000,000	2019/06/13	2020/03/06	30.00
69939	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 Q	100,000,000	2019/06/13	2019/12/31	25.00
69964	SG Issuer – HSI R Bull CBBC Oct 2021 U	250,000,000	2019/06/13	2021/10/28	62.50
69983	SG Issuer – HSI R Bull CBBC Nov 2021 P	250,000,000	2019/06/13	2021/11/29	62.50
69995	SG Issuer – HSI R Bear CBBC Nov 2019 U	250,000,000	2019/06/13	2019/11/28	62.50
69768	SG Issuer – HSI R Bear CBBC Jan 2020 N	250,000,000	2019/06/13	2020/01/30	62.50
69785	SG Issuer – HSI R Bear CBBC Jan 2020 O	250,000,000	2019/06/13	2020/01/30	62.50
69805	SG Issuer – HSI R Bear CBBC Jan 2020 P	250,000,000	2019/06/13	2020/01/30	62.50
57002	SG Issuer – HSI R Bear CBBC Feb 2020 C	250,000,000	2019/06/13	2020/02/27	62.50
69755	SG Issuer – Ping An R Bear CBBC Dec 2019 B	100,000,000	2019/06/13	2019/12/30	25.00
69762	SG Issuer – SUNAC R Bear CBBC Dec 2019 E	80,000,000	2019/06/13	2019/12/30	20.00
69960	SG Issuer – Tencent R Bull CBBC Nov 2019 K	80,000,000	2019/06/13	2019/11/13	20.00
69766	SG Issuer – Tencent R Bear CBBC Feb 2020 F	80,000,000	2019/06/13	2020/02/27	20.00
57038	SG Issuer – Tencent R Bear CBBC Feb 2020 G	80,000,000	2019/06/13	2020/02/27	20.00
69473	UBS AG – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/06/13	2021/10/28	50.00
69430	UBS AG – HSI R Bear CBBC Oct 2019 S	200,000,000	2019/06/13	2019/10/30	50.00
69459	UBS AG – HSI R Bear CBBC Oct 2019 T	200,000,000	2019/06/13	2019/10/30	50.00
69415	UBS AG – HSI R Bear CBBC Dec 2019 D	200,000,000	2019/06/13	2019/12/30	50.00
69512	UBS AG – Ping An R Bull CBBC Nov 2019 C	100,000,000	2019/06/13	2019/11/18	25.00
69489	UBS AG – Tencent R Bear CBBC Nov 2019 K	200,000,000	2019/06/13	2019/11/21	50.00
69227	Bank Vontobel – China Tower R Bull CBBC Jan 2020 A	40,000,000	2019/06/13	2020/01/17	10.00
69229	Bank Vontobel – HSBC R Bear CBBC Jan 2020 A	40,000,000	2019/06/13	2020/01/17	10.00
57267	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 S	250,000,000	2019/06/14	2021/10/28	62.50
57342	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 T	240,000,000	2019/06/14	2021/10/28	60.00
57343	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 V	240,000,000	2019/06/14	2019/12/30	60.00
57464	Credit Suisse AG – HSI R Bull CBBC Dec 2021 L	300,000,000	2019/06/14	2021/12/30	75.00
57465	Credit Suisse AG – HSI R Bear CBBC Jan 2020 O	200,000,000	2019/06/14	2020/01/30	50.00
57477	Credit Suisse AG – HSI R Bear CBBC Jan 2020 P	200,000,000	2019/06/14	2020/01/30	50.00
57428	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 M	80,000,000	2019/06/14	2020/01/30	20.00
57408	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 N	100,000,000	2019/06/14	2019/09/16	25.00
57106	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2020 Q	200,000,000	2019/06/14	2020/11/27	50.00
57373	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2020 R	200,000,000	2019/06/14	2020/11/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57400	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 L	100,000,000	2019/06/14	2019/09/16	25.00
57120	HK Bank – AAC Tech R Bull CBBC Jan 2020 A	40,000,000	2019/06/14	2020/01/13	10.00
57108	HK Bank – China Life R Bull CBBC Mar 2020 A	100,000,000	2019/06/14	2020/03/02	25.00
57123	HK Bank – CNOOC R Bull CBBC Jan 2020 A	40,000,000	2019/06/14	2020/01/13	10.00
57138	HK Bank – CNOOC R Bear CBBC Nov 2019 B	40,000,000	2019/06/14	2019/11/11	10.00
57093	HK Bank – HSI R Bear CBBC Nov 2019 Y	100,000,000	2019/06/14	2019/11/28	25.00
57109	HK Bank – Xiaomi R Bull CBBC Dec 2019 B	40,000,000	2019/06/14	2019/12/30	10.00
57344	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 H	150,000,000	2019/06/14	2021/11/29	37.50
57345	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 B	150,000,000	2019/06/14	2019/10/30	37.50
57202	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/06/14	2021/10/28	50.00
57221	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 G	200,000,000	2019/06/14	2021/11/29	50.00
57199	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 M	200,000,000	2019/06/14	2019/12/30	50.00
57236	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 N	200,000,000	2019/06/14	2019/12/30	50.00
57143	Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2019 B	40,000,000	2019/06/14	2019/11/13	10.00
57150	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2020 C	40,000,000	2019/06/14	2020/02/27	10.00
57479	SG Issuer – CNOOC R Bull CBBC Jul 2020 B	150,000,000	2019/06/14	2020/07/24	37.50
57521	SG Issuer – HSI R Bull CBBC Oct 2021 V	250,000,000	2019/06/14	2021/10/28	62.50
57589	SG Issuer – HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/06/14	2021/10/28	62.50
57626	SG Issuer – HSI R Bull CBBC Dec 2021 X	250,000,000	2019/06/14	2021/12/30	62.50
57520	SG Issuer – HSI R Bear CBBC Dec 2019 Z	250,000,000	2019/06/14	2019/12/30	62.50
57516	SG Issuer – HSI R Bear CBBC Feb 2020 D	250,000,000	2019/06/14	2020/02/27	62.50
57583	SG Issuer – HSI R Bear CBBC Feb 2020 E	250,000,000	2019/06/14	2020/02/27	62.50
57483	SG Issuer – PetroChina R Bull CBBC Feb 2020 A	40,000,000	2019/06/14	2020/02/26	10.00
57486	SG Issuer – Tencent R Bull CBBC Nov 2019 L	80,000,000	2019/06/14	2019/11/21	20.00
57557	SG Issuer – Tencent R Bear CBBC Feb 2020 H	80,000,000	2019/06/14	2020/02/10	20.00
57164	UBS AG – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/06/14	2021/10/28	50.00
57165	UBS AG – HSI R Bear CBBC Oct 2019 U	200,000,000	2019/06/14	2019/10/30	50.00
57190	UBS AG – HSI R Bear CBBC Nov 2019 G	200,000,000	2019/06/14	2019/11/28	50.00
57922	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 W	150,000,000	2019/06/17	2020/09/29	39.00
57910	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 X	150,000,000	2019/06/17	2020/09/29	37.50
57954	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 F	150,000,000	2019/06/17	2019/12/30	37.50
57991	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 G	150,000,000	2019/06/17	2019/12/30	42.00
57994	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 I	40,000,000	2019/06/17	2019/12/23	11.60
57995	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 G	40,000,000	2019/06/17	2019/12/23	10.00
57742	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/06/17	2021/10/28	62.50
57768	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 B	250,000,000	2019/06/17	2021/10/28	62.50
58707	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 C	240,000,000	2019/06/17	2021/10/28	60.00
57739	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 U	250,000,000	2019/06/17	2021/10/28	62.50
57740	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 V	250,000,000	2019/06/17	2021/10/28	62.50
57741	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 W	250,000,000	2019/06/17	2021/10/28	62.50
57700	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 A	250,000,000	2019/06/17	2019/12/30	62.50
57719	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 C	250,000,000	2019/06/17	2019/12/30	62.50
58688	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 D	250,000,000	2019/06/17	2019/12/30	62.50
57690	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 W	250,000,000	2019/06/17	2019/12/30	62.50
57691	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 X	250,000,000	2019/06/17	2019/12/30	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57696	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 Y	250,000,000	2019/06/17	2019/12/30	62.50
57697	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 Z	250,000,000	2019/06/17	2019/12/30	62.50
58181	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 U	50,000,000	2019/06/17	2019/12/30	12.50
58690	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 U	50,000,000	2019/06/17	2020/01/30	12.50
58668	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 T	50,000,000	2019/06/17	2019/11/28	12.50
58971	Credit Suisse AG – AIA R Bull CBBC Jan 2020 E	70,000,000	2019/06/17	2020/01/24	17.50
58979	Credit Suisse AG – CM Bank R Bull CBBC Feb 2020 A	70,000,000	2019/06/17	2020/02/27	17.50
58969	Credit Suisse AG – Geely Auto R Bull CBBC Jul 2020 A	100,000,000	2019/06/17	2020/07/30	25.00
59037	Credit Suisse AG – Galaxy Ent R Bull CBBC Jan 2020 B	50,000,000	2019/06/17	2020/01/30	12.50
58967	Credit Suisse AG – HSBC R Bull CBBC Jan 2020 D	70,000,000	2019/06/17	2020/01/30	17.50
59049	Credit Suisse AG – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/06/17	2021/10/28	50.00
59089	Credit Suisse AG – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/06/17	2021/10/28	50.00
59067	Credit Suisse AG – HSI R Bull CBBC Nov 2021 N	200,000,000	2019/06/17	2021/11/29	50.00
59060	Credit Suisse AG – HSI R Bull CBBC Dec 2021 M	300,000,000	2019/06/17	2021/12/30	75.00
59103	Credit Suisse AG – HSI R Bull CBBC Dec 2021 N	300,000,000	2019/06/17	2021/12/30	75.00
59158	Credit Suisse AG – HSI R Bear CBBC Nov 2019 Z	200,000,000	2019/06/17	2019/11/28	50.00
59135	Credit Suisse AG – HSI R Bear CBBC Dec 2019 U	200,000,000	2019/06/17	2019/12/30	50.00
59109	Credit Suisse AG – HSI R Bear CBBC Jan 2020 Q	200,000,000	2019/06/17	2020/01/30	50.00
59129	Credit Suisse AG – HSI R Bear CBBC Jan 2020 R	200,000,000	2019/06/17	2020/01/30	50.00
59034	Credit Suisse AG – HSI R Bear CBBC Feb 2020 F	300,000,000	2019/06/17	2020/02/27	75.00
59035	Credit Suisse AG – HSI R Bear CBBC Feb 2020 G	300,000,000	2019/06/17	2020/02/27	75.00
58978	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 N	80,000,000	2019/06/17	2020/01/24	20.00
59042	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 Q	100,000,000	2019/06/17	2020/01/23	25.00
59043	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 R	100,000,000	2019/06/17	2020/01/24	25.00
59045	Credit Suisse AG – Tencent R Bear CBBC Feb 2020 C	100,000,000	2019/06/17	2020/02/27	25.00
59235	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Dec 2019 F	80,000,000	2019/06/17	2019/12/30	20.00
59267	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 O	100,000,000	2019/06/17	2019/09/30	25.00
59266	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2020 F	200,000,000	2019/06/17	2020/11/27	50.00
59206	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2020 M	200,000,000	2019/06/17	2020/11/27	50.00
59292	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2020 S	200,000,000	2019/06/17	2020/11/27	50.00
59186	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2020 Y	200,000,000	2019/06/17	2020/11/27	50.00
57806	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 D	200,000,000	2019/06/17	2020/02/27	50.00
57809	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 E	200,000,000	2019/06/17	2020/02/27	50.00
57848	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 F	200,000,000	2019/06/17	2020/02/27	50.00
57875	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 G	200,000,000	2019/06/17	2020/02/27	50.40
57899	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 H	200,000,000	2019/06/17	2020/02/27	50.20
59303	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 I	200,000,000	2019/06/17	2020/02/27	50.00
59200	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 K	100,000,000	2019/06/17	2019/11/29	25.00
59300	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 M	100,000,000	2019/06/17	2019/09/18	25.00
57805	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 O	80,000,000	2019/06/17	2019/12/27	20.00
59166	Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Nov 2019 A	50,000,000	2019/06/17	2019/11/29	12.50
57666	HK Bank – AIA R Bull CBBC Nov 2019 E	60,000,000	2019/06/17	2019/11/25	15.00
57668	HK Bank – Galaxy Ent R Bull CBBC Dec 2019 D	60,000,000	2019/06/17	2019/12/09	15.00
57663	HK Bank – HKEX R Bull CBBC Nov 2019 M	40,000,000	2019/06/17	2019/11/25	10.00
57650	HK Bank – HSCEI R Bull CBBC Jul 2020 H	50,000,000	2019/06/17	2020/07/30	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
57649	HK Bank – HSI R Bull CBBC Jul 2020 I	50,000,000	2019/06/17	2020/07/30	12.50
57689	HK Bank – HSI R Bull CBBC Jul 2020 K	100,000,000	2019/06/17	2020/07/30	25.00
57643	HK Bank – HSI R Bear CBBC Nov 2019 A	100,000,000	2019/06/17	2019/11/28	25.00
57638	HK Bank – HSI R Bear CBBC Nov 2019 Z	50,000,000	2019/06/17	2019/11/28	12.50
57651	HK Bank – Tencent R Bull CBBC Nov 2019 S	40,000,000	2019/06/17	2019/11/25	10.00
57644	HK Bank – Tencent R Bear CBBC Dec 2019 J	40,000,000	2019/06/17	2019/12/23	10.00
58601	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 I	150,000,000	2019/06/17	2021/11/29	37.50
58608	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 J	150,000,000	2019/06/17	2021/11/29	37.50
58624	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 K	150,000,000	2019/06/17	2021/11/29	37.50
58553	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 J	150,000,000	2019/06/17	2019/10/30	37.50
58568	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 K	150,000,000	2019/06/17	2019/10/30	37.50
58577	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 L	150,000,000	2019/06/17	2019/10/30	37.50
58625	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 M	150,000,000	2019/06/17	2019/10/30	37.50
58636	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 N	150,000,000	2019/06/17	2019/10/30	37.50
58667	Haitong Int'l Sec – Tencent R Bull CBBC Nov 2019 P	40,000,000	2019/06/17	2019/11/29	10.00
58665	Haitong Int'l Sec – Tencent R Bull CBBC Dec 2019 B	40,000,000	2019/06/17	2019/12/02	10.00
58584	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2020 B	40,000,000	2019/06/17	2020/04/01	10.00
58402	J P Morgan SP BV – HKEX R Bull CBBC Dec 2019 E	40,000,000	2019/06/17	2019/12/20	10.00
58408	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 H	200,000,000	2019/06/17	2021/11/29	50.00
58466	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 I	200,000,000	2019/06/17	2021/11/29	50.00
58467	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 J	200,000,000	2019/06/17	2021/11/29	50.00
58471	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 K	200,000,000	2019/06/17	2021/11/29	50.00
58476	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 L	200,000,000	2019/06/17	2021/11/29	50.00
58479	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 M	200,000,000	2019/06/17	2021/11/29	50.00
58287	J P Morgan SP BV – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/06/17	2019/10/30	50.00
58295	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 A	200,000,000	2019/06/17	2019/11/28	50.00
58302	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 M	200,000,000	2019/06/17	2019/11/28	50.00
58310	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 N	200,000,000	2019/06/17	2019/11/28	50.00
58317	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 O	200,000,000	2019/06/17	2019/11/28	50.00
58350	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 P	200,000,000	2019/06/17	2019/11/28	50.00
58480	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 O	200,000,000	2019/06/17	2019/12/30	50.00
58519	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 P	200,000,000	2019/06/17	2019/12/30	50.00
58398	J P Morgan SP BV – Ping An R Bull CBBC Dec 2019 B	100,000,000	2019/06/17	2019/12/13	25.00
58378	J P Morgan SP BV – Tencent R Bull CBBC Oct 2019 W	50,000,000	2019/06/17	2019/10/11	12.50
58381	J P Morgan SP BV – Tencent R Bull CBBC Dec 2019 C	250,000,000	2019/06/17	2019/12/13	62.50
58382	J P Morgan SP BV – Tencent R Bull CBBC Jan 2020 C	250,000,000	2019/06/17	2020/01/10	62.50
58242	J P Morgan SP BV – Tencent R Bear CBBC Dec 2019 B	250,000,000	2019/06/17	2019/12/13	62.50
58318	J P Morgan SP BV – Tencent R Bear CBBC Jan 2020 A	250,000,000	2019/06/17	2020/01/10	62.50
58007	Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2019 C	40,000,000	2019/06/17	2019/11/21	10.00
58008	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2020 D	40,000,000	2019/06/17	2020/02/10	10.04
58916	SG Issuer – AIA R Bull CBBC Nov 2019 H	60,000,000	2019/06/17	2019/11/28	15.00
58826	SG Issuer – CNOOC R Bull CBBC Dec 2019 A	40,000,000	2019/06/17	2019/12/20	10.00
58824	SG Issuer – China Tower R Bull CBBC Feb 2020 E	120,000,000	2019/06/17	2020/02/07	30.00
58846	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 R	100,000,000	2019/06/17	2019/12/20	25.00
58853	SG Issuer – HKEX R Bull CBBC Dec 2019 E	50,000,000	2019/06/17	2019/12/20	12.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
58798	SG Issuer – HKEX R Bear CBBC Dec 2019 B	50,000,000	2019/06/17	2019/12/30	12.50
58901	SG Issuer – HSI R Bull CBBC Oct 2021 X	250,000,000	2019/06/17	2021/10/28	62.50
58902	SG Issuer – HSI R Bull CBBC Nov 2021 O	250,000,000	2019/06/17	2021/11/29	62.50
58904	SG Issuer – HSI R Bull CBBC Nov 2021 Q	250,000,000	2019/06/17	2021/11/29	62.50
58908	SG Issuer – HSI R Bull CBBC Jan 2022 C	250,000,000	2019/06/17	2022/01/28	62.50
58912	SG Issuer – HSI R Bull CBBC Jan 2022 D	250,000,000	2019/06/17	2022/01/28	62.50
58708	SG Issuer – HSI R Bear CBBC Nov 2019 F	250,000,000	2019/06/17	2019/11/28	62.50
58710	SG Issuer – HSI R Bear CBBC Dec 2019 P	250,000,000	2019/06/17	2019/12/30	62.50
58714	SG Issuer – HSI R Bear CBBC Dec 2019 R	250,000,000	2019/06/17	2019/12/30	62.50
58740	SG Issuer – HSI R Bear CBBC Dec 2019 S	250,000,000	2019/06/17	2019/12/30	62.50
58746	SG Issuer – HSI R Bear CBBC Jan 2020 Q	250,000,000	2019/06/17	2020/01/30	62.50
58755	SG Issuer – HSI R Bear CBBC Jan 2020 R	250,000,000	2019/06/17	2020/01/30	62.50
58894	SG Issuer – HSI R Bear CBBC Jan 2020 S	250,000,000	2019/06/17	2020/01/30	62.50
58895	SG Issuer – HSI R Bear CBBC Jan 2020 T	250,000,000	2019/06/17	2020/01/30	62.50
58869	SG Issuer – Ping An R Bull CBBC Nov 2019 K	100,000,000	2019/06/17	2019/11/08	25.00
58874	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 F	40,000,000	2019/06/17	2019/12/20	10.00
58941	SG Issuer – Tencent R Bull CBBC Sep 2019 M	80,000,000	2019/06/17	2019/09/27	20.00
58958	SG Issuer – Tencent R Bull CBBC Nov 2019 M	80,000,000	2019/06/17	2019/11/28	20.00
58805	SG Issuer – Tencent R Bear CBBC Dec 2019 D	80,000,000	2019/06/17	2019/12/30	20.00
58877	SG Issuer – Tencent R Bear CBBC Jan 2020 L	80,000,000	2019/06/17	2020/01/30	20.00
58821	SG Issuer – Tencent R Bear CBBC Feb 2020 I	80,000,000	2019/06/17	2020/02/21	20.00
58890	SG Issuer – Tencent R Bear CBBC Feb 2020 J	80,000,000	2019/06/17	2020/02/10	20.00
58071	UBS AG – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/06/17	2021/10/28	50.00
58094	UBS AG – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/06/17	2021/10/28	50.00
58203	UBS AG – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/06/17	2021/10/28	50.00
58041	UBS AG – HSI R Bear CBBC Sep 2019 A	200,000,000	2019/06/17	2019/09/27	50.00
58027	UBS AG – HSI R Bear CBBC Sep 2019 T	200,000,000	2019/06/17	2019/09/27	50.00
58065	UBS AG – HSI R Bear CBBC Sep 2019 U	200,000,000	2019/06/17	2019/09/27	50.00
58112	UBS AG – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/06/17	2019/09/27	50.00
58165	UBS AG – HSI R Bear CBBC Oct 2019 V	200,000,000	2019/06/17	2019/10/30	50.00
58180	UBS AG – Tencent R Bull CBBC Nov 2019 M	200,000,000	2019/06/17	2019/11/26	50.00
58238	UBS AG – Tencent R Bull CBBC Nov 2019 N	200,000,000	2019/06/17	2019/11/29	50.00
58179	UBS AG – Tencent R Bear CBBC Nov 2019 L	200,000,000	2019/06/17	2019/11/27	50.00
58024	Bank Vontobel – AIA R Bull CBBC Jan 2020 A	40,000,000	2019/06/17	2020/01/17	10.00
57635	Bank Vontobel – HSI N Bull CBBC Jan 2021 F	80,000,000	2019/06/17	2021/01/28	20.00
57636	Bank Vontobel – HSI N Bull CBBC Jan 2021 G	80,000,000	2019/06/17	2021/01/28	20.00
57637	Bank Vontobel – HSI R Bull CBBC Jan 2021 H	80,000,000	2019/06/17	2021/01/28	20.00
58020	Bank Vontobel – Ping An R Bull CBBC Jan 2020 B	40,000,000	2019/06/17	2020/01/17	10.00
58014	Bank Vontobel – Tencent R Bull CBBC Jan 2020 F	40,000,000	2019/06/17	2020/01/10	10.00
59856	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 J	40,000,000	2019/06/18	2019/12/17	14.00
59881	BOCI Asia Ltd. – HKEX R Bear CBBC Dec 2019 F	40,000,000	2019/06/18	2019/12/17	10.40
59827	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 D	150,000,000	2019/06/18	2020/09/29	42.00
59839	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 S	150,000,000	2019/06/18	2020/09/29	42.00
59798	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 V	150,000,000	2019/06/18	2020/09/29	43.50
59848	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 H	150,000,000	2019/06/18	2019/12/30	42.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59853	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 I	150,000,000	2019/06/18	2019/12/30	37.50
59882	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 J	40,000,000	2019/06/18	2019/12/23	10.00
59907	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 H	40,000,000	2019/06/18	2019/12/23	11.60
60248	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 D	250,000,000	2019/06/18	2021/10/28	62.50
60255	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 G	250,000,000	2019/06/18	2021/10/28	62.50
60260	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 X	240,000,000	2019/06/18	2021/10/28	60.00
59508	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 E	250,000,000	2019/06/18	2019/12/30	62.50
59546	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 F	250,000,000	2019/06/18	2019/12/30	62.50
60475	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Apr 2020 A	100,000,000	2019/06/18	2020/04/28	25.00
60431	Credit Suisse AG – HKEX R Bull CBBC Jan 2020 G	100,000,000	2019/06/18	2020/01/24	25.00
60448	Credit Suisse AG – HKEX R Bull CBBC Jan 2020 H	100,000,000	2019/06/18	2020/01/30	25.00
60482	Credit Suisse AG – HSI R Bull CBBC Sep 2021 K	200,000,000	2019/06/18	2021/09/29	50.00
60489	Credit Suisse AG – HSI R Bull CBBC Sep 2021 L	200,000,000	2019/06/18	2021/09/29	50.00
60423	Credit Suisse AG – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/06/18	2021/10/28	50.00
60480	Credit Suisse AG – HSI R Bull CBBC Dec 2021 O	300,000,000	2019/06/18	2021/12/30	75.00
60490	Credit Suisse AG – HSI R Bull CBBC Dec 2021 P	300,000,000	2019/06/18	2021/12/30	75.00
60414	Credit Suisse AG – HSI R Bear CBBC Nov 2019 Y	200,000,000	2019/06/18	2019/11/28	50.00
60410	Credit Suisse AG – HSI R Bear CBBC Dec 2019 V	200,000,000	2019/06/18	2019/12/30	50.00
60412	Credit Suisse AG – HSI R Bear CBBC Dec 2019 W	200,000,000	2019/06/18	2019/12/30	50.00
60417	Credit Suisse AG – HSI R Bear CBBC Feb 2020 H	300,000,000	2019/06/18	2020/02/27	75.00
60466	Credit Suisse AG – Ping An R Bull CBBC Feb 2020 A	80,000,000	2019/06/18	2020/02/04	20.00
60451	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 I	100,000,000	2019/06/18	2020/02/21	25.00
60454	Credit Suisse AG – Xiaomi R Bull CBBC Feb 2020 B	50,000,000	2019/06/18	2020/02/27	12.50
60402	Goldman Sachs SP (Asia) – AIA R Bull CBBC Nov 2019 V	100,000,000	2019/06/18	2019/11/29	25.00
60409	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2019F	100,000,000	2019/06/18	2019/12/30	25.00
60361	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 P	100,000,000	2019/06/18	2019/09/30	25.00
60179	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2020 B	200,000,000	2019/06/18	2020/09/29	50.00
60321	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2020 C	200,000,000	2019/06/18	2020/09/29	50.00
60328	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2020 D	200,000,000	2019/06/18	2020/09/29	50.00
60317	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2020 E	200,000,000	2019/06/18	2020/09/29	50.00
60341	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2020 S	200,000,000	2019/06/18	2020/09/29	50.00
60160	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 J	200,000,000	2019/06/18	2020/02/27	50.00
60158	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 J	200,000,000	2019/06/18	2020/03/30	50.00
60375	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 L	100,000,000	2019/06/18	2019/11/29	25.00
60395	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 M	100,000,000	2019/06/18	2019/11/29	25.00
60352	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 N	100,000,000	2019/06/18	2019/09/18	25.00
60152	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 P	80,000,000	2019/06/18	2019/12/27	20.00
59682	HK Bank – CAM CSI300 R Bull CBBC Jan 2020 B	50,000,000	2019/06/18	2020/01/20	12.50
59718	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2020 B	40,000,000	2019/06/18	2020/01/13	10.00
59741	HK Bank – Evergrande R Bull CBBC Mar 2020 A	60,000,000	2019/06/18	2020/03/02	15.00
59725	HK Bank – A50 China R Bull CBBC Jan 2020 C	40,000,000	2019/06/18	2020/01/13	10.00
59655	HK Bank – Geely Auto R Bull CBBC Dec 2019 E	40,000,000	2019/06/18	2019/12/02	10.00
59611	HK Bank – HKEX R Bull CBBC Dec 2019 E	40,000,000	2019/06/18	2019/12/23	10.00
59663	HK Bank – HSI R Bull CBBC Jul 2020 O	50,000,000	2019/06/18	2020/07/30	12.50
59681	HK Bank – HSI R Bull CBBC Jul 2020 P	100,000,000	2019/06/18	2020/07/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
59564	HK Bank – HSI R Bear CBBC Nov 2019 C	50,000,000	2019/06/18	2019/11/28	12.50
59638	HK Bank – Sunny Optical R Bull CBBC Nov 2019 C	40,000,000	2019/06/18	2019/11/18	10.00
59631	HK Bank – Tencent R Bull CBBC Dec 2019 H	40,000,000	2019/06/18	2019/12/12	10.00
60808	Haitong Int'l Sec – HKEX R Bull CBBC Dec 2019 A	40,000,000	2019/06/18	2019/12/03	10.00
60290	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 B	150,000,000	2019/06/18	2021/09/29	37.50
60305	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 J	150,000,000	2019/06/18	2021/10/28	37.50
60285	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 L	150,000,000	2019/06/18	2021/11/29	37.50
60282	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 O	150,000,000	2019/06/18	2019/10/30	37.50
60309	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 P	150,000,000	2019/06/18	2019/10/30	37.50
60312	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 Q	150,000,000	2019/06/18	2019/10/30	37.50
60183	J P Morgan SP BV – HKEX R Bull CBBC Oct 2019 G	40,000,000	2019/06/18	2019/10/11	10.00
60184	J P Morgan SP BV – HKEX R Bull CBBC Dec 2019 F	200,000,000	2019/06/18	2019/12/13	50.00
60106	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/06/18	2021/10/28	50.00
60096	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/06/18	2021/10/28	50.00
60115	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/06/18	2021/10/28	50.00
60132	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/06/18	2021/10/28	50.00
60142	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/06/18	2021/10/28	50.00
60143	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/06/18	2021/10/28	50.00
60144	J P Morgan SP BV – HSI R Bear CBBC Sep 2019 D	200,000,000	2019/06/18	2019/09/27	50.00
60056	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 Q	200,000,000	2019/06/18	2019/11/28	50.00
60079	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 R	200,000,000	2019/06/18	2019/11/28	50.00
60182	J P Morgan SP BV – Tencent R Bull CBBC Nov 2019 E	50,000,000	2019/06/18	2019/11/08	12.50
60052	J P Morgan SP BV – Tencent R Bull CBBC Jan 2020 D	250,000,000	2019/06/18	2020/01/10	62.50
60804	SG Issuer – CAM CSI300 R Bull CBBC Mar 2020 B	100,000,000	2019/06/18	2020/03/30	25.00
60564	SG Issuer – Country Garden R Bull CBBC Dec 2019 B	40,000,000	2019/06/18	2019/12/30	10.00
60711	SG Issuer – CC Bank R Bull CBBC Nov 2019 B	100,000,000	2019/06/18	2019/11/21	25.00
60551	SG Issuer – CC Bank R Bull CBBC Dec 2019 D	100,000,000	2019/06/18	2019/12/30	25.00
60553	SG Issuer – China Life R Bull CBBC Feb 2020 F	150,000,000	2019/06/18	2020/02/25	37.50
60558	SG Issuer – CM Bank R Bull CBBC Feb 2020 J	100,000,000	2019/06/18	2020/02/25	25.00
60805	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 P	100,000,000	2019/06/18	2020/03/18	25.00
60806	SG Issuer – A50 China R Bull CBBC Mar 2020 H	100,000,000	2019/06/18	2020/03/30	25.00
60491	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 S	100,000,000	2019/06/18	2019/12/30	25.00
60565	SG Issuer – HKEX R Bull CBBC Dec 2019 F	50,000,000	2019/06/18	2019/12/18	12.50
60500	SG Issuer – HKEX R Bear CBBC Dec 2019 C	50,000,000	2019/06/18	2019/12/30	12.50
60692	SG Issuer – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/06/18	2021/10/28	62.50
60708	SG Issuer – HSI R Bull CBBC Oct 2021 B	250,000,000	2019/06/18	2021/10/28	62.50
60709	SG Issuer – HSI R Bull CBBC Nov 2021 R	250,000,000	2019/06/18	2021/11/29	62.50
60710	SG Issuer – HSI R Bull CBBC Dec 2021 Y	250,000,000	2019/06/18	2021/12/30	62.50
60630	SG Issuer – HSI R Bull CBBC Jan 2022 E	250,000,000	2019/06/18	2022/01/28	62.50
60515	SG Issuer – HSI R Bear CBBC Nov 2019 V	250,000,000	2019/06/18	2019/11/28	62.50
60524	SG Issuer – HSI R Bear CBBC Dec 2019 T	250,000,000	2019/06/18	2019/12/30	62.50
60539	SG Issuer – HSI R Bear CBBC Jan 2020 U	250,000,000	2019/06/18	2020/01/30	62.50
60624	SG Issuer – HSI R Bear CBBC Jan 2020 V	250,000,000	2019/06/18	2020/01/30	62.50
60641	SG Issuer – HSI R Bear CBBC Jan 2020 W	250,000,000	2019/06/18	2020/01/30	62.50
60573	SG Issuer – ICBC R Bull CBBC Dec 2019 B	100,000,000	2019/06/18	2019/12/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60578	SG Issuer – Ping An R Bull CBBC Dec 2019 P	100,000,000	2019/06/18	2019/12/30	25.00
60712	SG Issuer – Tencent R Bull CBBC Sep 2019 N	80,000,000	2019/06/18	2019/09/27	20.00
60738	SG Issuer – Tencent R Bull CBBC Oct 2019 F	80,000,000	2019/06/18	2019/10/28	20.00
60744	SG Issuer – Tencent R Bull CBBC Oct 2019 G	80,000,000	2019/06/18	2019/10/31	20.00
60579	SG Issuer – Tencent R Bear CBBC Dec 2019 Z	80,000,000	2019/06/18	2019/12/30	20.00
60772	SG Issuer – Tencent R Bear CBBC Feb 2020 K	80,000,000	2019/06/18	2020/02/27	20.00
60795	SG Issuer – Xiaomi R Bull CBBC Nov 2019 B	60,000,000	2019/06/18	2019/11/21	15.00
60019	UBS AG – CSOP A50 ETF R Bull CBBC May 2020 B	50,000,000	2019/06/18	2020/05/04	12.50
60024	UBS AG – CSOP A50 ETF R Bear CBBC May 2020 A	200,000,000	2019/06/18	2020/05/04	50.00
60040	UBS AG – HKEX R Bull CBBC Feb 2020 B	200,000,000	2019/06/18	2020/02/10	50.00
60041	UBS AG – HKEX R Bull CBBC Feb 2020 C	200,000,000	2019/06/18	2020/02/17	50.00
60050	UBS AG – HKEX R Bear CBBC Feb 2020 A	200,000,000	2019/06/18	2020/02/10	50.00
59917	UBS AG – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/06/18	2021/10/28	50.00
59932	UBS AG – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/06/18	2021/10/28	50.00
59938	UBS AG – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/06/18	2021/10/28	50.00
60002	UBS AG – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/06/18	2021/10/28	50.00
59908	UBS AG – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/06/18	2019/09/27	50.00
59909	UBS AG – HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/06/18	2019/09/27	50.00
59965	UBS AG – HSI R Bear CBBC Oct 2019 W	200,000,000	2019/06/18	2019/10/30	50.00
59967	UBS AG – HSI R Bear CBBC Oct 2019 X	200,000,000	2019/06/18	2019/10/30	50.00
60017	UBS AG – Ping An R Bull CBBC Nov 2019 D	100,000,000	2019/06/18	2019/11/22	25.00
60005	UBS AG – Tencent R Bear CBBC Nov 2019 M	200,000,000	2019/06/18	2019/11/22	50.00
59446	Bank Vontobel – CNOOC R Bull CBBC Jan 2020 A	40,000,000	2019/06/18	2020/01/10	10.00
59471	Bank Vontobel – CNOOC R Bear CBBC Jan 2020 B	40,000,000	2019/06/18	2020/01/17	10.00
59432	Bank Vontobel – Galaxy Ent R Bull CBBC Jan 2020 B	40,000,000	2019/06/18	2020/01/10	10.00
59425	Bank Vontobel – HKEX R Bull CBBC Jan 2020 B	40,000,000	2019/06/18	2020/01/17	10.00
59387	Bank Vontobel – HSI R Bull CBBC Jan 2021 I	80,000,000	2019/06/18	2021/01/28	20.00
59423	Bank Vontobel – HSI R Bull CBBC Jan 2021 J	80,000,000	2019/06/18	2021/01/28	20.00
61055	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/06/19	2021/10/28	62.50
61065	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 G	250,000,000	2019/06/19	2019/12/30	62.50
61534	Credit Suisse AG – AIA R Bear CBBC Jan 2020 D	70,000,000	2019/06/19	2020/01/30	17.50
61514	Credit Suisse AG – CSPC Pharma R Bull CBBC Jul 2020 C	100,000,000	2019/06/19	2020/07/30	25.00
61510	Credit Suisse AG – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/06/19	2021/10/28	50.00
61471	Credit Suisse AG – HSI R Bull CBBC Nov 2021 O	200,000,000	2019/06/19	2021/11/29	50.00
61485	Credit Suisse AG – HSI R Bull CBBC Nov 2021 P	200,000,000	2019/06/19	2021/11/29	50.00
61497	Credit Suisse AG – HSI R Bull CBBC Nov 2021 Q	200,000,000	2019/06/19	2021/11/29	50.00
61512	Credit Suisse AG – HSI R Bull CBBC Nov 2021 R	200,000,000	2019/06/19	2021/11/29	50.00
61539	Credit Suisse AG – HSI R Bear CBBC Jan 2020 S	200,000,000	2019/06/19	2020/01/30	50.00
61551	Credit Suisse AG – HSI R Bear CBBC Jan 2020 T	300,000,000	2019/06/19	2020/01/30	75.00
61570	Credit Suisse AG – HSI R Bear CBBC Jan 2020 U	200,000,000	2019/06/19	2020/01/30	50.00
61571	Credit Suisse AG – HSI R Bear CBBC Jan 2020 V	200,000,000	2019/06/19	2020/01/30	50.00
61524	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 O	100,000,000	2019/06/19	2020/01/24	25.00
61191	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Oct 2019C	100,000,000	2019/06/19	2019/10/30	25.00
60845	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/06/19	2021/10/28	50.00
60855	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/06/19	2021/10/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61121	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/06/19	2021/10/28	50.00
61122	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/06/19	2021/10/28	50.00
60849	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/06/19	2021/10/28	50.00
61128	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 K	200,000,000	2019/06/19	2020/03/30	50.00
61157	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 L	200,000,000	2019/06/19	2020/03/30	50.00
61170	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 M	200,000,000	2019/06/19	2020/03/30	50.00
61173	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 N	200,000,000	2019/06/19	2020/03/30	50.00
61192	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 N	100,000,000	2019/06/19	2019/11/29	25.00
60817	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 O	100,000,000	2019/06/19	2019/09/19	25.00
61177	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 Q	100,000,000	2019/06/19	2019/12/27	25.00
60883	HK Bank – China Tower R Bull CBBC Feb 2020 A	40,000,000	2019/06/19	2020/02/03	10.00
60877	HK Bank – HKEX R Bull CBBC Dec 2019 F	40,000,000	2019/06/19	2019/12/12	10.00
60815	HK Bank – HSI R Bull CBBC Jul 2020 Q	100,000,000	2019/06/19	2020/07/30	25.00
60859	HK Bank – HSI R Bear CBBC Nov 2019 E	50,000,000	2019/06/19	2019/11/28	12.50
60890	HK Bank – SUNAC R Bull CBBC Mar 2020 A	60,000,000	2019/06/19	2020/03/02	15.00
60868	HK Bank – Tencent R Bull CBBC Dec 2019 I	40,000,000	2019/06/19	2019/12/30	10.00
60869	HK Bank – Tencent R Bear CBBC Nov 2019 O	40,000,000	2019/06/19	2019/11/18	10.00
61094	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 X	150,000,000	2019/06/19	2021/10/28	37.50
61068	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 M	100,000,000	2019/06/19	2021/11/29	25.00
61069	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 N	150,000,000	2019/06/19	2021/11/29	37.50
61092	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 O	150,000,000	2019/06/19	2021/11/29	37.50
61099	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 S	150,000,000	2019/06/19	2019/10/30	37.50
61103	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 T	150,000,000	2019/06/19	2019/10/30	37.50
61115	Haitong Int'l Sec – SHK Ppt R Bear CBBC Oct 2019 C	40,000,000	2019/06/19	2019/10/30	10.00
61076	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2020 C	40,000,000	2019/06/19	2020/04/02	10.00
61008	J P Morgan SP BV – HKEX R Bull CBBC Feb 2020 A	200,000,000	2019/06/19	2020/02/14	50.00
61009	J P Morgan SP BV – HKEX R Bear CBBC Dec 2019 A	40,000,000	2019/06/19	2019/12/13	10.00
60893	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 N	200,000,000	2019/06/19	2021/11/29	50.00
60894	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 O	200,000,000	2019/06/19	2021/11/29	50.00
60904	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 P	200,000,000	2019/06/19	2021/11/29	50.00
60918	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 Q	200,000,000	2019/06/19	2021/11/29	50.00
60922	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 R	200,000,000	2019/06/19	2021/11/29	50.00
60943	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 S	200,000,000	2019/06/19	2021/11/29	50.00
61017	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 S	200,000,000	2019/06/19	2019/11/28	50.00
61020	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 T	200,000,000	2019/06/19	2019/11/28	50.00
61040	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 V	200,000,000	2019/06/19	2019/11/28	50.00
61046	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 X	200,000,000	2019/06/19	2019/11/28	50.00
61005	J P Morgan SP BV – Tencent R Bear CBBC Feb 2020 A	250,000,000	2019/06/19	2020/02/14	62.50
61312	SG Issuer – AIA R Bear CBBC Nov 2019 C	60,000,000	2019/06/19	2019/11/18	15.00
61331	SG Issuer – China Mobile R Bear CBBC Dec 2019 B	100,000,000	2019/06/19	2019/12/30	25.00
61378	SG Issuer – CSOP A50 ETF R Bear CBBC Oct 2019 D	100,000,000	2019/06/19	2019/10/21	25.00
61273	SG Issuer – HKEX R Bull CBBC Nov 2019 K	50,000,000	2019/06/19	2019/11/26	12.50
61388	SG Issuer – HSBC R Bear CBBC Dec 2019 B	100,000,000	2019/06/19	2019/12/16	25.00
61379	SG Issuer – HSCEI R Bear CBBC Nov 2019 A	100,000,000	2019/06/19	2019/11/28	25.00
61375	SG Issuer – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/06/19	2021/10/28	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61459	SG Issuer – HSI R Bull CBBC Oct 2021 W	250,000,000	2019/06/19	2021/10/28	62.50
61237	SG Issuer – HSI R Bull CBBC Nov 2021 S	250,000,000	2019/06/19	2021/11/29	62.50
61242	SG Issuer – HSI R Bull CBBC Nov 2021 T	250,000,000	2019/06/19	2021/11/29	62.50
61264	SG Issuer – HSI R Bull CBBC Dec 2021 A	250,000,000	2019/06/19	2021/12/30	62.50
61256	SG Issuer – HSI R Bull CBBC Dec 2021 Z	250,000,000	2019/06/19	2021/12/30	62.50
61397	SG Issuer – HSI R Bear CBBC Nov 2019 W	250,000,000	2019/06/19	2019/11/28	62.50
61428	SG Issuer – HSI R Bear CBBC Nov 2019 Y	250,000,000	2019/06/19	2019/11/28	62.50
61434	SG Issuer – HSI R Bear CBBC Dec 2019 U	250,000,000	2019/06/19	2019/12/30	62.50
61437	SG Issuer – HSI R Bear CBBC Jan 2020 X	250,000,000	2019/06/19	2020/01/30	62.50
61462	SG Issuer – HSI R Bear CBBC Feb 2020 F	500,000,000	2019/06/19	2020/02/27	125.00
61289	SG Issuer – Ping An R Bull CBBC Nov 2019 L	100,000,000	2019/06/19	2019/11/29	25.00
61394	SG Issuer – SHK Ppt R Bear CBBC Oct 2019 B	100,000,000	2019/06/19	2019/10/21	25.00
61290	SG Issuer – Tencent R Bull CBBC Oct 2019 I	80,000,000	2019/06/19	2019/10/25	20.00
61309	SG Issuer – Tencent R Bull CBBC Nov 2019 N	80,000,000	2019/06/19	2019/11/26	20.00
61366	SG Issuer – Tencent R Bear CBBC Jan 2020 M	80,000,000	2019/06/19	2020/01/20	20.00
60948	UBS AG – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/06/19	2021/10/28	50.00
60960	UBS AG – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/06/19	2021/10/28	50.00
60987	UBS AG – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/06/19	2019/09/27	50.00
60992	UBS AG – HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/06/19	2019/09/27	50.00
60986	UBS AG – HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/06/19	2019/09/27	50.00
61001	UBS AG – Tencent R Bear CBBC Dec 2019 C	200,000,000	2019/06/19	2019/12/16	50.00
60813	Bank Vontobel – AIA R Bear CBBC Jan 2020 C	50,000,000	2019/06/19	2020/01/17	12.50
61763	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 T	150,000,000	2019/06/20	2020/09/29	39.00
61771	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 J	150,000,000	2019/06/20	2019/12/30	37.50
61778	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 K	150,000,000	2019/06/20	2019/12/30	39.00
61781	BOCI Asia Ltd. – PetroChina R Bull CBBC Dec 2019 B	50,000,000	2019/06/20	2019/12/30	12.50
62437	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 E	240,000,000	2019/06/20	2021/10/28	60.00
61584	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 H	250,000,000	2019/06/20	2021/10/28	62.50
61607	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 I	250,000,000	2019/06/20	2021/10/28	62.50
61608	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 K	250,000,000	2019/06/20	2021/10/28	62.50
61614	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/06/20	2021/10/28	62.50
62449	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 H	240,000,000	2019/06/20	2019/12/30	60.00
62450	Credit Suisse AG – HSI R Bull CBBC Sep 2021 N	200,000,000	2019/06/20	2021/09/29	50.00
62481	Credit Suisse AG – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/06/20	2021/10/28	50.00
62462	Credit Suisse AG – HSI R Bull CBBC Dec 2021 Q	300,000,000	2019/06/20	2021/12/30	75.00
62486	Credit Suisse AG – HSI R Bear CBBC Dec 2019 X	200,000,000	2019/06/20	2019/12/30	50.00
62501	Credit Suisse AG – HSI R Bear CBBC Dec 2019 Y	200,000,000	2019/06/20	2019/12/30	50.00
62529	Credit Suisse AG – HSI R Bear CBBC Jan 2020 W	200,000,000	2019/06/20	2020/01/30	50.00
62536	Credit Suisse AG – HSI R Bear CBBC Jan 2020 X	200,000,000	2019/06/20	2020/01/30	50.00
62487	Credit Suisse AG – HSI R Bear CBBC Feb 2020 I	300,000,000	2019/06/20	2020/02/27	75.00
62544	Credit Suisse AG – SHK Ppt R Bear CBBC Jan 2020 A	40,000,000	2019/06/20	2020/01/30	10.00
62472	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 S	100,000,000	2019/06/20	2020/01/30	25.00
62530	Credit Suisse AG – Tencent R Bear CBBC Jan 2020 P	100,000,000	2019/06/20	2020/01/23	25.00
62431	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 Q	100,000,000	2019/06/20	2019/09/20	25.00
61732	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/06/20	2021/10/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61733	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/06/20	2021/10/28	50.00
61896	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 P	200,000,000	2019/06/20	2020/03/30	50.00
61928	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/06/20	2020/03/30	50.00
61939	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 R	200,000,000	2019/06/20	2020/03/30	50.00
61977	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 S	200,000,000	2019/06/20	2020/03/30	50.00
62000	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 T	200,000,000	2019/06/20	2020/03/30	50.00
61718	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 P	100,000,000	2019/06/20	2019/09/20	25.00
62041	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 R	100,000,000	2019/06/20	2019/12/27	25.00
61797	HK Bank – AIA R Bull CBBC Jan 2020 A	60,000,000	2019/06/20	2020/01/06	15.00
61798	HK Bank – AIA R Bear CBBC Dec 2019 C	40,000,000	2019/06/20	2019/12/09	10.00
61800	HK Bank – Geely Auto R Bull CBBC Jan 2020 B	40,000,000	2019/06/20	2020/01/06	10.00
61799	HK Bank – HKEX R Bear CBBC Nov 2019 J	40,000,000	2019/06/20	2019/11/25	10.00
61793	HK Bank – HSCEI R Bear CBBC Nov 2019 C	50,000,000	2019/06/20	2019/11/28	12.50
61747	HK Bank – HSI R Bull CBBC Jul 2020 L	50,000,000	2019/06/20	2020/07/30	12.50
61789	HK Bank – HSI R Bear CBBC Nov 2019 B	100,000,000	2019/06/20	2019/11/28	25.00
61758	HK Bank – Tencent R Bull CBBC Jan 2020 E	40,000,000	2019/06/20	2020/01/06	10.00
61796	HK Bank – Tencent R Bear CBBC Dec 2019 K	40,000,000	2019/06/20	2019/12/05	10.00
62352	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 C	100,000,000	2019/06/20	2021/09/29	25.00
62342	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 P	150,000,000	2019/06/20	2021/11/29	37.50
62343	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 Q	150,000,000	2019/06/20	2021/11/29	37.50
62365	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 R	150,000,000	2019/06/20	2021/11/29	37.50
62347	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 F	150,000,000	2019/06/20	2019/10/30	37.50
62394	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 R	150,000,000	2019/06/20	2019/10/30	37.50
62344	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 U	150,000,000	2019/06/20	2019/10/30	37.50
62414	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 V	150,000,000	2019/06/20	2019/10/30	37.50
62415	Haitong Int'l Sec – Tencent R Bull CBBC Dec 2019 C	40,000,000	2019/06/20	2019/12/04	10.00
62421	Haitong Int'l Sec – Tencent R Bull CBBC Dec 2019 D	40,000,000	2019/06/20	2019/12/04	10.00
62427	J P Morgan SP BV – HKEX R Bear CBBC Oct 2019 G	40,000,000	2019/06/20	2019/10/11	10.00
61821	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/06/20	2021/10/28	50.00
61815	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/06/20	2021/10/28	50.00
61817	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 T	200,000,000	2019/06/20	2021/11/29	50.00
61825	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 U	200,000,000	2019/06/20	2021/11/29	50.00
61880	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 D	200,000,000	2019/06/20	2019/11/28	50.00
61881	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 G	200,000,000	2019/06/20	2019/11/28	50.00
61890	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 H	200,000,000	2019/06/20	2019/11/28	50.00
61846	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 Y	200,000,000	2019/06/20	2019/11/28	50.00
61873	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 Z	200,000,000	2019/06/20	2019/11/28	50.00
61810	J P Morgan SP BV – Tencent R Bull CBBC Jan 2020 E	250,000,000	2019/06/20	2020/01/10	62.50
62424	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 G	50,000,000	2019/06/20	2019/10/18	12.50
62164	SG Issuer – AIA R Bull CBBC Dec 2019 I	60,000,000	2019/06/20	2019/12/20	15.00
62274	SG Issuer – Country Garden R Bear CBBC Dec 2019 A	40,000,000	2019/06/20	2019/12/13	10.00
62271	SG Issuer – CNOOC R Bear CBBC Dec 2019 A	40,000,000	2019/06/20	2019/12/30	10.00
62270	SG Issuer – Sinopec Corp R Bull CBBC Feb 2020 A	40,000,000	2019/06/20	2020/02/19	10.00
62250	SG Issuer – Sinopec Corp R Bear CBBC Dec 2019 B	40,000,000	2019/06/20	2019/12/30	10.00
62166	SG Issuer – HKEX R Bull CBBC Dec 2019 G	50,000,000	2019/06/20	2019/12/20	12.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62218	SG Issuer – HSI R Bull CBBC Nov 2021 U	250,000,000	2019/06/20	2021/11/29	62.50
62226	SG Issuer – HSI R Bull CBBC Dec 2021 B	250,000,000	2019/06/20	2021/12/30	62.50
62244	SG Issuer – HSI R Bull CBBC Dec 2021 C	250,000,000	2019/06/20	2021/12/30	62.50
62326	SG Issuer – HSI R Bull CBBC Dec 2021 D	250,000,000	2019/06/20	2021/12/30	62.50
62304	SG Issuer – HSI R Bear CBBC Nov 2019 B	250,000,000	2019/06/20	2019/11/28	62.50
62316	SG Issuer – HSI R Bear CBBC Nov 2019 Q	250,000,000	2019/06/20	2019/11/28	62.50
62314	SG Issuer – HSI R Bear CBBC Dec 2019 V	250,000,000	2019/06/20	2019/12/30	62.50
62318	SG Issuer – HSI R Bear CBBC Jan 2020 Y	250,000,000	2019/06/20	2020/01/30	62.50
62275	SG Issuer – PetroChina R Bear CBBC Nov 2019 A	40,000,000	2019/06/20	2019/11/28	10.00
62173	SG Issuer – Ping An R Bull CBBC Dec 2019 Q	100,000,000	2019/06/20	2019/12/20	25.00
62196	SG Issuer – Tencent R Bull CBBC Sep 2019 O	80,000,000	2019/06/20	2019/09/30	20.00
62201	SG Issuer – Tencent R Bull CBBC Oct 2019 J	80,000,000	2019/06/20	2019/10/23	20.00
62283	SG Issuer – Tencent R Bear CBBC Dec 2019 A	80,000,000	2019/06/20	2019/12/30	20.00
62045	UBS AG – HSI R Bull CBBC Oct 2021 V	200,000,000	2019/06/20	2021/10/28	50.00
62052	UBS AG – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/06/20	2021/10/28	50.00
62095	UBS AG – HSI R Bull CBBC Oct 2021 X	200,000,000	2019/06/20	2021/10/28	50.00
62112	UBS AG – HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/06/20	2021/10/28	50.00
62128	UBS AG – HSI R Bear CBBC Sep 2019 R	200,000,000	2019/06/20	2019/09/27	50.00
62153	UBS AG – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/06/20	2019/10/30	50.00
62134	UBS AG – HSI R Bear CBBC Oct 2019 Y	200,000,000	2019/06/20	2019/10/30	50.00
62137	UBS AG – HSI R Bear CBBC Oct 2019 Z	200,000,000	2019/06/20	2019/10/30	50.00
62149	UBS AG – Petrochina R Bull CBBC Feb 2020 A	100,000,000	2019/06/20	2020/02/17	25.00
62145	UBS AG – Tencent R Bull CBBC Jan 2020 A	200,000,000	2019/06/20	2020/01/20	50.00
61691	Bank Vontobel – China Mobile R Bull CBBC Jan 2020 B	50,000,000	2019/06/20	2020/01/10	12.50
61627	Bank Vontobel – HSI N Bull CBBC Jan 2021 K	80,000,000	2019/06/20	2021/01/28	20.00
61654	Bank Vontobel – HSI N Bull CBBC Jan 2021 L	80,000,000	2019/06/20	2021/01/28	20.00
61625	Bank Vontobel – HSI N Bear CBBC Jan 2020 R	80,000,000	2019/06/20	2020/01/30	20.00
61683	Bank Vontobel – HSI R Bull CBBC Jan 2021 M	80,000,000	2019/06/20	2021/01/28	20.00
61689	Bank Vontobel – HSI R Bull CBBC Jan 2021 N	80,000,000	2019/06/20	2021/01/28	20.00
61682	Bank Vontobel – HSI R Bear CBBC Jan 2020 S	80,000,000	2019/06/20	2020/01/30	20.00
61710	Bank Vontobel – PetroChina R Bull CBBC Jan 2020 A	50,000,000	2019/06/20	2020/01/10	12.50
61709	Bank Vontobel – PetroChina R Bear CBBC Jan 2020 A	50,000,000	2019/06/20	2020/01/17	12.50
61713	Bank Vontobel – Tencent R Bear CBBC Jan 2020 D	40,000,000	2019/06/20	2020/01/10	10.00
62732	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 U	150,000,000	2019/06/21	2020/09/29	37.50
62739	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2020 Y	150,000,000	2019/06/21	2020/09/29	39.00
62741	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 L	150,000,000	2019/06/21	2019/12/30	37.50
62744	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 M	150,000,000	2019/06/21	2019/12/30	40.50
62751	BOCI Asia Ltd. – Ping An R Bull CBBC Dec 2019 D	70,000,000	2019/06/21	2019/12/20	18.90
62792	BOCI Asia Ltd. – Ping An R Bear CBBC Dec 2019 B	70,000,000	2019/06/21	2019/12/20	18.20
62746	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 I	40,000,000	2019/06/21	2019/12/23	10.40
62571	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Dec 19 L	60,000,000	2019/06/21	2019/12/30	15.00
62626	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 U	50,000,000	2019/06/21	2019/11/28	12.50
62613	BNP Paribas Issuance B.V. – HSBC R Bear CBBC Jan 2020 A	150,000,000	2019/06/21	2020/01/30	37.50
63585	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 F	240,000,000	2019/06/21	2021/10/28	60.00
63540	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 I	250,000,000	2019/06/21	2019/12/30	62.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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63545	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 J	250,000,000	2019/06/21	2019/12/30	62.50
63650	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 K	240,000,000	2019/06/21	2019/12/30	60.00
62616	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Sep 2020 A	200,000,000	2019/06/21	2020/09/29	50.00
62634	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 V	50,000,000	2019/06/21	2019/12/30	12.50
62640	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 V	50,000,000	2019/06/21	2020/01/30	12.50
62650	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2019 U	50,000,000	2019/06/21	2019/11/28	12.50
62660	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 K	50,000,000	2019/06/21	2019/12/30	12.50
63666	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 L	50,000,000	2019/06/21	2019/12/30	12.50
62807	Credit Suisse AG – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/06/21	2021/10/28	50.00
62793	Credit Suisse AG – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/06/21	2021/10/28	50.00
62836	Credit Suisse AG – HSI R Bull CBBC Nov 2021 S	200,000,000	2019/06/21	2021/11/29	50.00
62960	Credit Suisse AG – HSI R Bear CBBC Jan 2020 D	200,000,000	2019/06/21	2020/01/30	50.00
62890	Credit Suisse AG – HSI R Bear CBBC Jan 2020 Y	200,000,000	2019/06/21	2020/01/30	50.00
62958	Credit Suisse AG – HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/06/21	2020/01/30	50.00
62971	Credit Suisse AG – Tencent R Bear CBBC Dec 2019 A	100,000,000	2019/06/21	2019/12/30	25.00
63524	Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Oct 2019D	100,000,000	2019/06/21	2019/10/30	25.00
63516	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2019 K	100,000,000	2019/06/21	2019/12/30	25.00
63314	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/06/21	2021/10/28	50.00
63463	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/06/21	2021/10/28	50.00
63484	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 U	200,000,000	2019/06/21	2020/03/30	50.00
63510	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 V	200,000,000	2019/06/21	2020/03/30	50.00
63305	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 Q	100,000,000	2019/06/21	2019/09/23	25.00
62707	HK Bank – Country Garden R Bull CBBC Nov 2019 C	40,000,000	2019/06/21	2019/11/25	10.00
62689	HK Bank – Sinopec Corp R Bull CBBC Nov 2019 A	40,000,000	2019/06/21	2019/11/25	10.00
62687	HK Bank – Geely Auto R Bear CBBC Nov 2019 E	40,000,000	2019/06/21	2019/11/11	10.00
62681	HK Bank – HKEX R Bull CBBC Jan 2020 B	40,000,000	2019/06/21	2020/01/13	10.00
62712	HK Bank – HSI R Bull CBBC Jul 2020 M	100,000,000	2019/06/21	2020/07/30	25.00
62716	HK Bank – HSI R Bear CBBC Nov 2019 D	100,000,000	2019/06/21	2019/11/28	25.00
62731	HK Bank – HSI R Bear CBBC Nov 2019 F	100,000,000	2019/06/21	2019/11/28	25.00
62661	HK Bank – Tencent R Bear CBBC Nov 2019 P	40,000,000	2019/06/21	2019/11/11	10.00
63440	Haitong Int'l Sec – CITIC Sec R Bull CBBC Dec 2019 A	40,000,000	2019/06/21	2019/12/04	10.00
63434	Haitong Int'l Sec – Sinopec Corp R Bull CBBC Dec 2019 A	80,000,000	2019/06/21	2019/12/05	20.00
63273	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 S	100,000,000	2019/06/21	2021/11/29	25.00
63294	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 T	150,000,000	2019/06/21	2021/11/29	37.50
63296	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 U	150,000,000	2019/06/21	2021/11/29	37.50
63300	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 W	150,000,000	2019/06/21	2019/10/30	37.50
63301	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 X	150,000,000	2019/06/21	2019/10/30	37.50
63436	Haitong Int'l Sec – PetroChina R Bull CBBC Jan 2020 A	100,000,000	2019/06/21	2020/01/22	25.00
63455	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2020 D	40,000,000	2019/06/21	2020/04/01	10.00
63326	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/06/21	2021/10/28	50.00
63337	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 V	200,000,000	2019/06/21	2021/11/29	50.00
63388	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 W	200,000,000	2019/06/21	2021/11/29	50.00
63407	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 Q	200,000,000	2019/06/21	2019/12/30	50.00
63415	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 R	200,000,000	2019/06/21	2019/12/30	50.00
63424	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 S	200,000,000	2019/06/21	2019/12/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63433	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 T	200,000,000	2019/06/21	2019/12/30	50.00
63342	J P Morgan SP BV – Tencent R Bull CBBC Nov 2019 F	50,000,000	2019/06/21	2019/11/08	12.50
63366	J P Morgan SP BV – Tencent R Bear CBBC Nov 2019 G	40,000,000	2019/06/21	2019/11/08	10.00
63027	SG Issuer – AIA R Bear CBBC Oct 2019 D	60,000,000	2019/06/21	2019/10/28	15.00
63216	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 Q	100,000,000	2019/06/21	2020/03/30	25.00
63035	SG Issuer – HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/06/21	2021/10/28	62.50
63039	SG Issuer – HSI R Bull CBBC Nov 2021 V	250,000,000	2019/06/21	2021/11/29	62.50
63231	SG Issuer – HSI R Bull CBBC Nov 2021 Y	250,000,000	2019/06/21	2021/11/29	62.50
63040	SG Issuer – HSI R Bull CBBC Dec 2021 E	250,000,000	2019/06/21	2021/12/30	62.50
63267	SG Issuer – HSI R Bull CBBC Dec 2021 F	250,000,000	2019/06/21	2021/12/30	62.50
63250	SG Issuer – HSI R Bear CBBC Nov 2019 S	250,000,000	2019/06/21	2019/11/28	62.50
63253	SG Issuer – HSI R Bear CBBC Nov 2019 T	250,000,000	2019/06/21	2019/11/28	62.50
63254	SG Issuer – HSI R Bear CBBC Dec 2019 W	250,000,000	2019/06/21	2019/12/30	62.50
63264	SG Issuer – HSI R Bear CBBC Jan 2020 Z	250,000,000	2019/06/21	2020/01/30	62.50
63054	SG Issuer – Ping An R Bear CBBC Oct 2019 K	100,000,000	2019/06/21	2019/10/31	25.00
63108	SG Issuer – Tencent R Bull CBBC Sep 2019 P	80,000,000	2019/06/21	2019/09/23	20.00
63186	SG Issuer – Tencent R Bull CBBC Sep 2019 Q	80,000,000	2019/06/21	2019/09/30	34.00
63028	SG Issuer – Tencent R Bull CBBC Oct 2019 K	80,000,000	2019/06/21	2019/10/28	20.00
63192	SG Issuer – Tencent R Bear CBBC Jan 2020 N	80,000,000	2019/06/21	2020/01/20	20.00
62981	UBS AG – HSI R Bull CBBC Oct 2021 A	200,000,000	2019/06/21	2021/10/28	50.00
63015	UBS AG – HSI R Bull CBBC Oct 2021 B	200,000,000	2019/06/21	2021/10/28	50.00
62972	UBS AG – HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/06/21	2021/10/28	50.00
62989	UBS AG – HSI R Bear CBBC Oct 2019 B	200,000,000	2019/06/21	2019/10/30	50.00
63014	UBS AG – HSI R Bear CBBC Oct 2019 C	200,000,000	2019/06/21	2019/10/30	50.00
63023	UBS AG – Tencent R Bear CBBC Dec 2019 D	200,000,000	2019/06/21	2019/12/23	50.00
64178	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 A	150,000,000	2019/06/24	2021/09/29	39.00
64182	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 N	150,000,000	2019/06/24	2019/12/30	37.50
64190	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 O	150,000,000	2019/06/24	2019/12/30	37.50
64194	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 J	240,000,000	2019/06/24	2021/10/28	60.00
63679	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 L	250,000,000	2019/06/24	2019/12/30	62.50
63680	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 M	250,000,000	2019/06/24	2019/12/30	62.50
63694	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 N	250,000,000	2019/06/24	2019/12/30	62.50
63703	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 O	250,000,000	2019/06/24	2019/12/30	62.50
64291	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 P	240,000,000	2019/06/24	2019/12/30	60.00
64162	Credit Suisse AG – HSI R Bull CBBC Nov 2021 T	200,000,000	2019/06/24	2021/11/29	50.00
64172	Credit Suisse AG – HSI R Bull CBBC Nov 2021 U	200,000,000	2019/06/24	2021/11/29	50.00
64153	Credit Suisse AG – HSI R Bear CBBC Dec 2019 N	200,000,000	2019/06/24	2019/12/30	50.00
64158	Credit Suisse AG – HSI R Bear CBBC Dec 2019 O	200,000,000	2019/06/24	2019/12/30	50.00
64141	Credit Suisse AG – HSI R Bear CBBC Dec 2019 Z	200,000,000	2019/06/24	2019/12/30	50.00
64047	Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2019 B	10,000,000	2019/06/24	2019/11/28	10.00
63985	Bank of East Asia – HKEX R Bull CBBC Nov 2019 A	100,000,000	2019/06/24	2019/11/28	25.00
64042	Bank of East Asia – HKEX R Bull CBBC Nov 2019 B	30,000,000	2019/06/24	2019/11/28	10.50
64043	Bank of East Asia – Ping An R Bear CBBC Nov 2019 A	100,000,000	2019/06/24	2019/11/28	25.00
64045	Bank of East Asia – Ping An R Bear CBBC Nov 2019 B	100,000,000	2019/06/24	2019/11/28	25.00
63981	Bank of East Asia – Tencent R Bull CBBC Nov 2019 C	30,000,000	2019/06/24	2019/11/28	10.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63984	Bank of East Asia – Tencent R Bull CBBC Nov 2019 D	150,000,000	2019/06/24	2019/11/28	37.50
63677	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 B	200,000,000	2019/06/24	2020/03/30	50.00
63675	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 W	200,000,000	2019/06/24	2020/03/30	50.00
63741	HK Bank – HSI R Bull CBBC Jul 2020 N	50,000,000	2019/06/24	2020/07/30	12.50
63754	HK Bank – HSI R Bear CBBC Nov 2019 S	100,000,000	2019/06/24	2019/11/28	25.00
63903	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 D	150,000,000	2019/06/24	2021/09/29	37.50
63904	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 V	150,000,000	2019/06/24	2021/11/29	37.50
63924	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 W	150,000,000	2019/06/24	2021/11/29	37.50
63925	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 A	150,000,000	2019/06/24	2019/10/30	37.50
63896	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 Y	150,000,000	2019/06/24	2019/10/30	37.50
63960	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 Z	150,000,000	2019/06/24	2019/10/30	37.50
64174	Haitong Int'l Sec – Tencent R Bear CBBC Mar 2020 G	40,000,000	2019/06/24	2020/03/27	10.00
64057	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/06/24	2021/10/28	50.00
64084	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 X	200,000,000	2019/06/24	2021/11/29	50.00
64101	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 Y	200,000,000	2019/06/24	2021/11/29	50.00
64137	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/06/24	2021/11/29	50.00
64049	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 U	200,000,000	2019/06/24	2019/12/30	50.00
64053	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 V	200,000,000	2019/06/24	2019/12/30	50.00
64054	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 W	200,000,000	2019/06/24	2019/12/30	50.00
64056	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 X	200,000,000	2019/06/24	2019/12/30	50.00
64066	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 Y	200,000,000	2019/06/24	2019/12/30	50.00
63712	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 I	21,000,000	2019/06/24	2019/10/28	10.23
63704	Macquarie Bank Ltd. – Tencent R Bear CBBC Jan 2020 G	11,200,000	2019/06/24	2020/01/20	10.05
64574	SG Issuer – Sinopec Corp R Bull CBBC Dec 2019 C	40,000,000	2019/06/24	2019/12/23	10.00
64345	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 T	100,000,000	2019/06/24	2019/12/31	25.00
64470	SG Issuer – HKEX R Bull CBBC Oct 2019 S	50,000,000	2019/06/24	2019/10/28	12.50
64368	SG Issuer – HKEX R Bear CBBC Nov 2019 H	50,000,000	2019/06/24	2019/11/15	12.50
64530	SG Issuer – HSI R Bull CBBC Oct 2021 D	250,000,000	2019/06/24	2021/10/28	62.50
64550	SG Issuer – HSI R Bull CBBC Nov 2021 B	250,000,000	2019/06/24	2021/11/29	62.50
64531	SG Issuer – HSI R Bull CBBC Nov 2021 X	250,000,000	2019/06/24	2021/11/29	62.50
64557	SG Issuer – HSI R Bull CBBC Dec 2021 G	250,000,000	2019/06/24	2021/12/30	62.50
64488	SG Issuer – HSI R Bear CBBC Nov 2019 X	250,000,000	2019/06/24	2019/11/28	62.50
64298	SG Issuer – HSI R Bear CBBC Dec 2019 M	250,000,000	2019/06/24	2019/12/30	62.50
64525	SG Issuer – HSI R Bear CBBC Dec 2019 N	250,000,000	2019/06/24	2019/12/30	62.50
64318	SG Issuer – HSI R Bear CBBC Jan 2020 C	250,000,000	2019/06/24	2020/01/30	62.50
64323	SG Issuer – HSI R Bear CBBC Jan 2020 D	250,000,000	2019/06/24	2020/01/30	62.50
64527	SG Issuer – HSI R Bear CBBC Jan 2020 E	250,000,000	2019/06/24	2020/01/30	62.50
64381	SG Issuer – Ping An R Bull CBBC Dec 2019 R	100,000,000	2019/06/24	2019/12/13	25.00
64474	SG Issuer – Tencent R Bull CBBC Sep 2019 R	80,000,000	2019/06/24	2019/09/27	20.00
64479	SG Issuer – Tencent R Bull CBBC Sep 2019 S	80,000,000	2019/06/24	2019/09/30	20.00
64591	SG Issuer – Tencent R Bear CBBC Feb 2020 L	80,000,000	2019/06/24	2020/02/27	20.00
63859	UBS AG – HKEX R Bull CBBC Jan 2020 A	200,000,000	2019/06/24	2020/01/20	50.00
63875	UBS AG – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/06/24	2021/10/28	50.00
63878	UBS AG – HSI R Bull CBBC Oct 2021 E	400,000,000	2019/06/24	2021/10/28	100.00
63789	UBS AG – HSI R Bear CBBC Sep 2019 A	200,000,000	2019/06/24	2019/09/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63758	UBS AG – HSI R Bear CBBC Sep 2019 X	200,000,000	2019/06/24	2019/09/27	50.00
63755	UBS AG – HSI R Bear CBBC Oct 2019 K	200,000,000	2019/06/24	2019/10/30	50.00
63805	UBS AG – Tencent R Bull CBBC Nov 2019 O	40,000,000	2019/06/24	2019/11/26	10.00
64786	BOCI Asia Ltd. – AIA R Bull CBBC Dec 2019 A	60,000,000	2019/06/25	2019/12/20	15.00
64807	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 K	40,000,000	2019/06/25	2019/12/18	10.00
64820	BOCI Asia Ltd. – HKEX R Bear CBBC Dec 2019 G	40,000,000	2019/06/25	2019/12/18	12.00
64870	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 B	150,000,000	2019/06/25	2021/09/29	37.50
64874	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 P	150,000,000	2019/06/25	2019/12/30	37.50
64893	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 Q	150,000,000	2019/06/25	2019/12/30	39.00
64897	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 K	40,000,000	2019/06/25	2019/12/23	10.00
64826	BOCI Asia Ltd. – Xiaomi R Bull CBBC Dec 2019 A	40,000,000	2019/06/25	2019/12/17	10.00
65074	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Nov 2019 V	50,000,000	2019/06/25	2019/11/28	12.50
65156	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 A	250,000,000	2019/06/25	2021/10/28	62.50
64688	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 L	250,000,000	2019/06/25	2021/10/28	62.50
65099	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 M	250,000,000	2019/06/25	2021/10/28	62.50
65145	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 O	250,000,000	2019/06/25	2021/10/28	62.50
65155	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 R	250,000,000	2019/06/25	2021/10/28	62.50
65157	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 B	250,000,000	2019/06/25	2019/12/30	62.50
65727	Credit Suisse AG – AIA R Bear CBBC Dec 2019 M	70,000,000	2019/06/25	2019/12/30	17.50
65726	Credit Suisse AG – CM Bank R Bull CBBC Feb 2020 B	70,000,000	2019/06/25	2020/02/21	17.50
65837	Credit Suisse AG – HSI R Bull CBBC Sep 2021 B	200,000,000	2019/06/25	2021/09/29	50.00
65816	Credit Suisse AG – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/06/25	2021/10/28	50.00
65836	Credit Suisse AG – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/06/25	2021/10/28	50.00
65790	Credit Suisse AG – HSI R Bear CBBC Nov 2019 Z	200,000,000	2019/06/25	2019/11/28	50.00
65708	Credit Suisse AG – HSI R Bear CBBC Dec 2019 P	200,000,000	2019/06/25	2019/12/30	50.00
65723	Credit Suisse AG – HSI R Bear CBBC Feb 2020 J	200,000,000	2019/06/25	2020/02/27	50.00
65850	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 T	100,000,000	2019/06/25	2020/01/23	25.00
65908	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 R	100,000,000	2019/06/25	2019/09/30	25.00
65936	Goldman Sachs SP (Asia) – HKEX R Bear CBBC Dec 2019 L	80,000,000	2019/06/25	2019/12/30	20.00
65873	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2020 U	200,000,000	2019/06/25	2020/09/29	50.00
65905	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2020 W	200,000,000	2019/06/25	2020/09/29	50.00
64611	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 E	200,000,000	2019/06/25	2020/03/30	50.00
65945	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 G	200,000,000	2019/06/25	2020/03/30	50.00
64640	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 R	100,000,000	2019/06/25	2019/09/25	25.00
64655	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 S	80,000,000	2019/06/25	2019/12/30	20.00
64776	HK Bank – CSPC Pharma R Bull CBBC Dec 2019 B	40,000,000	2019/06/25	2019/12/02	10.00
64769	HK Bank – HKEX R Bull CBBC Jan 2020 C	40,000,000	2019/06/25	2020/01/06	10.00
64740	HK Bank – HSI R Bull CBBC Jul 2020 T	50,000,000	2019/06/25	2020/07/30	12.50
64730	HK Bank – HSI R Bear CBBC Nov 2019 T	100,000,000	2019/06/25	2019/11/28	25.00
65165	Haitong Int'l Sec – HSI R Bull CBBC Sep 2021 P	150,000,000	2019/06/25	2021/09/29	37.50
65188	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 X	150,000,000	2019/06/25	2021/11/29	37.50
65198	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 Y	150,000,000	2019/06/25	2021/11/29	37.50
65163	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 B	150,000,000	2019/06/25	2019/10/30	37.50
65208	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 J	150,000,000	2019/06/25	2019/10/30	37.50
65242	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 K	150,000,000	2019/06/25	2019/10/30	37.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65275	J P Morgan SP BV – HKEX R Bull CBBC Nov 2019 E	40,000,000	2019/06/25	2019/11/15	10.00
65279	J P Morgan SP BV – HKEX R Bull CBBC Jan 2020 B	200,000,000	2019/06/25	2020/01/10	50.00
65313	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 A	200,000,000	2019/06/25	2021/11/29	50.00
65324	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 B	200,000,000	2019/06/25	2021/11/29	50.00
65350	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 C	200,000,000	2019/06/25	2021/11/29	50.00
65376	J P Morgan SP BV – HSI R Bull CBBC Nov 2021 E	200,000,000	2019/06/25	2021/11/29	50.00
65246	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 A	200,000,000	2019/06/25	2019/12/30	50.00
65257	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 B	200,000,000	2019/06/25	2019/12/30	50.00
65268	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 C	200,000,000	2019/06/25	2019/12/30	50.00
65244	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 Z	200,000,000	2019/06/25	2019/12/30	50.00
64695	Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2019 D	40,000,000	2019/06/25	2019/11/21	10.04
64702	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2020 E	40,000,000	2019/06/25	2020/02/27	10.00
65566	SG Issuer – AIA R Bull CBBC Nov 2019 I	60,000,000	2019/06/25	2019/11/20	15.00
65701	SG Issuer – HKEX R Bull CBBC Dec 2019 H	50,000,000	2019/06/25	2019/12/30	12.50
65567	SG Issuer – HKEX R Bear CBBC Dec 2019 D	50,000,000	2019/06/25	2019/12/30	12.50
65688	SG Issuer – HSI R Bull CBBC Nov 2021 W	250,000,000	2019/06/25	2021/11/29	62.50
65689	SG Issuer – HSI R Bull CBBC Nov 2021 Z	250,000,000	2019/06/25	2021/11/29	62.50
65694	SG Issuer – HSI R Bull CBBC Dec 2021 H	250,000,000	2019/06/25	2021/12/30	62.50
65645	SG Issuer – HSI R Bear CBBC Nov 2019 Z	250,000,000	2019/06/25	2019/11/28	62.50
65485	SG Issuer – HSI R Bear CBBC Dec 2019 Q	250,000,000	2019/06/25	2019/12/30	62.50
65511	SG Issuer – HSI R Bear CBBC Dec 2019 X	250,000,000	2019/06/25	2019/12/30	62.50
65684	SG Issuer – HSI R Bear CBBC Dec 2019 Y	250,000,000	2019/06/25	2019/12/30	62.50
65553	SG Issuer – HSI R Bear CBBC Jan 2020 F	250,000,000	2019/06/25	2020/01/30	62.50
65687	SG Issuer – HSI R Bear CBBC Feb 2020 G	500,000,000	2019/06/25	2020/02/27	125.00
65580	SG Issuer – Ping An R Bull CBBC Nov 2019 M	100,000,000	2019/06/25	2019/11/20	25.00
65588	SG Issuer – SHK Ppt R Bull CBBC Dec 2019 H	100,000,000	2019/06/25	2019/12/24	25.00
65600	SG Issuer – Tencent R Bull CBBC Nov 2019 O	80,000,000	2019/06/25	2019/11/20	20.00
65637	SG Issuer – Tencent R Bear CBBC Jan 2020 O	80,000,000	2019/06/25	2020/01/30	20.00
65705	SG Issuer – Xiaomi R Bull CBBC Dec 2019 D	60,000,000	2019/06/25	2019/12/30	15.00
65061	UBS AG – HKEX R Bull CBBC Feb 2020 D	200,000,000	2019/06/25	2020/02/24	50.00
65068	UBS AG – HSI R Bull CBBC Sep 2021 W	200,000,000	2019/06/25	2021/09/29	50.00
64937	UBS AG – HSI R Bear CBBC Sep 2019 T	200,000,000	2019/06/25	2019/09/27	50.00
65040	UBS AG – HSI R Bear CBBC Oct 2019 L	200,000,000	2019/06/25	2019/10/30	50.00
65060	UBS AG – HSI R Bear CBBC Oct 2019 M	200,000,000	2019/06/25	2019/10/30	50.00
65470	Bank Vontobel – China Mobile R Bear CBBC Jan 2020 B	40,000,000	2019/06/25	2020/01/10	10.00
65481	Bank Vontobel – Sinopec Corp R Bear CBBC Jan 2020 B	50,000,000	2019/06/25	2020/01/10	12.50
65422	Bank Vontobel – HSI R Bull CBBC Jan 2021 O	80,000,000	2019/06/25	2021/01/28	20.00
65414	Bank Vontobel – HSI R Bear CBBC Jan 2020 T	80,000,000	2019/06/25	2020/01/30	20.00
66864	BOCI Asia Ltd. – AIA R Bull CBBC Dec 2019 B	60,000,000	2019/06/26	2019/12/30	15.00
66849	BOCI Asia Ltd. – HKEX R Bull CBBC Dec 2019 L	40,000,000	2019/06/26	2019/12/30	10.00
66783	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 C	150,000,000	2019/06/26	2021/09/29	37.50
66792	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 D	150,000,000	2019/06/26	2021/09/29	37.50
66795	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 E	150,000,000	2019/06/26	2021/09/29	37.50
66815	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 R	150,000,000	2019/06/26	2019/12/30	37.50
66841	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 S	150,000,000	2019/06/26	2019/12/30	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66862	BOCI Asia Ltd. – Ping An R Bull CBBC Dec 2019 E	70,000,000	2019/06/26	2019/12/30	18.20
66854	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 L	40,000,000	2019/06/26	2019/12/30	10.40
66381	BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Sep 2020 A	100,000,000	2019/06/26	2020/09/29	25.00
66401	BNP Paribas Issuance B.V. – CC Bank R Bull CBBC Sep 2020 B	100,000,000	2019/06/26	2020/09/29	25.00
66435	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2020 A	50,000,000	2019/06/26	2020/09/29	12.50
66439	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2020 B	50,000,000	2019/06/26	2020/09/29	12.50
66443	BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2020 N	50,000,000	2019/06/26	2020/07/30	12.50
66379	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Mar 2020 C	150,000,000	2019/06/26	2020/03/30	37.50
66227	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 B	250,000,000	2019/06/26	2021/10/28	62.50
66256	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 C	250,000,000	2019/06/26	2021/10/28	62.50
66290	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 D	250,000,000	2019/06/26	2021/10/28	62.50
66310	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 G	250,000,000	2019/06/26	2021/10/28	62.50
66267	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 W	250,000,000	2019/06/26	2021/10/28	62.50
66326	BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2021 X	250,000,000	2019/06/26	2021/10/28	62.50
66374	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 A	250,000,000	2019/06/26	2021/11/29	62.50
66876	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 B	250,000,000	2019/06/26	2021/11/29	62.50
66223	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 A	250,000,000	2019/06/26	2019/12/30	62.50
66932	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 C	240,000,000	2019/06/26	2019/12/30	60.00
66125	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 Q	250,000,000	2019/06/26	2019/12/30	62.50
66127	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 R	250,000,000	2019/06/26	2019/12/30	62.50
66160	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 S	250,000,000	2019/06/26	2019/12/30	62.50
66215	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 T	250,000,000	2019/06/26	2019/12/30	62.50
66217	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 U	250,000,000	2019/06/26	2019/12/30	62.50
66221	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 V	250,000,000	2019/06/26	2019/12/30	62.50
66417	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Sep 2020 B	200,000,000	2019/06/26	2020/09/29	50.00
66463	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 W	50,000,000	2019/06/26	2019/12/30	12.50
66477	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2019 X	50,000,000	2019/06/26	2019/12/30	12.50
66474	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Jan 2020 W	50,000,000	2019/06/26	2020/01/30	12.50
66462	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 M	50,000,000	2019/06/26	2019/12/30	12.50
67937	Credit Suisse AG – AIA R Bull CBBC Jan 2020 F	70,000,000	2019/06/26	2020/01/30	17.50
67943	Credit Suisse AG – AIA R Bull CBBC Jan 2020 G	70,000,000	2019/06/26	2020/01/31	17.50
67945	Credit Suisse AG – CC Bank R Bull CBBC Jan 2020 D	70,000,000	2019/06/26	2020/01/30	17.50
67909	Credit Suisse AG – CM Bank R Bull CBBC Mar 2020 A	70,000,000	2019/06/26	2020/03/06	17.50
68032	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jan 2020 K	50,000,000	2019/06/26	2020/01/30	12.50
67879	Credit Suisse AG – HKEX R Bull CBBC Dec 2019 O	100,000,000	2019/06/26	2019/12/30	25.00
67983	Credit Suisse AG – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/06/26	2021/10/28	50.00
67993	Credit Suisse AG – HSI R Bull CBBC Oct 2021 L	200,000,000	2019/06/26	2021/10/28	50.00
68013	Credit Suisse AG – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/06/26	2021/10/28	50.00
67998	Credit Suisse AG – HSI R Bull CBBC Nov 2021 V	200,000,000	2019/06/26	2021/11/29	50.00
67992	Credit Suisse AG – HSI R Bull CBBC Dec 2021 R	300,000,000	2019/06/26	2021/12/30	75.00
68027	Credit Suisse AG – HSI R Bull CBBC Dec 2021 S	300,000,000	2019/06/26	2021/12/30	75.00
67855	Credit Suisse AG – HSI R Bear CBBC Nov 2019 S	200,000,000	2019/06/26	2019/11/28	50.00
67808	Credit Suisse AG – HSI R Bear CBBC Dec 2019 Q	200,000,000	2019/06/26	2019/12/30	50.00
67818	Credit Suisse AG – HSI R Bear CBBC Dec 2019 R	200,000,000	2019/06/26	2019/12/30	50.00
67834	Credit Suisse AG – HSI R Bear CBBC Jan 2020 E	200,000,000	2019/06/26	2020/01/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67854	Credit Suisse AG – HSI R Bear CBBC Jan 2020 F	200,000,000	2019/06/26	2020/01/30	50.00
67895	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 B	80,000,000	2019/06/26	2019/12/30	20.00
67891	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 O	80,000,000	2019/06/26	2020/01/30	20.00
67912	Credit Suisse AG – SHK Ppt R Bull CBBC Jun 2020 A	80,000,000	2019/06/26	2020/06/29	20.00
67915	Credit Suisse AG – SHK Ppt R Bear CBBC Jan 2020 B	40,000,000	2019/06/26	2020/01/30	10.00
67904	Credit Suisse AG – Sunny Optical R Bull CBBC Jan 2020 B	40,000,000	2019/06/26	2020/01/30	10.00
67916	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 J	100,000,000	2019/06/26	2020/02/27	25.00
67921	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 K	100,000,000	2019/06/26	2020/02/28	25.00
68387	Goldman Sachs SP (Asia) – AIA R Bull CBBC Nov 2019 W	100,000,000	2019/06/26	2019/11/29	25.00
68425	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec 2019G	100,000,000	2019/06/26	2019/12/30	25.00
68424	Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Feb 2020 L	100,000,000	2019/06/26	2020/02/10	25.00
68428	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 S	100,000,000	2019/06/26	2019/09/30	25.00
68375	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 E	200,000,000	2019/06/26	2021/10/28	50.00
68378	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 F	200,000,000	2019/06/26	2021/10/28	50.00
68415	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/06/26	2021/10/28	50.00
68416	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/06/26	2021/10/28	50.00
68418	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/06/26	2021/10/28	50.00
65987	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 I	200,000,000	2019/06/26	2020/03/30	50.00
65988	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 O	200,000,000	2019/06/26	2020/03/30	50.00
66029	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 X	200,000,000	2019/06/26	2020/03/30	50.00
66037	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/06/26	2020/03/30	50.00
68409	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 O	100,000,000	2019/06/26	2019/11/29	25.00
68426	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 S	100,000,000	2019/06/26	2019/09/30	25.00
65979	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 T	80,000,000	2019/06/26	2019/12/27	20.00
66578	HK Bank – AIA R Bull CBBC Dec 2019 B	60,000,000	2019/06/26	2019/12/02	15.00
66662	HK Bank – CC Bank R Bull CBBC Jan 2020 A	60,000,000	2019/06/26	2020/01/06	15.00
66685	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2020 A	40,000,000	2019/06/26	2020/02/03	10.00
66731	HK Bank – A50 China R Bull CBBC Feb 2020 A	40,000,000	2019/06/26	2020/02/03	10.00
66734	HK Bank – Galaxy Ent R Bull CBBC Jan 2020 A	60,000,000	2019/06/26	2020/01/06	15.00
66576	HK Bank – HKEX R Bull CBBC Dec 2019 G	40,000,000	2019/06/26	2019/12/16	10.00
66532	HK Bank – HSCEI R Bull CBBC Jul 2020 I	50,000,000	2019/06/26	2020/07/30	12.50
66639	HK Bank – HSI R Bull CBBC Jul 2020 W	50,000,000	2019/06/26	2020/07/30	12.50
66658	HK Bank – HSI R Bull CBBC Jul 2020 X	50,000,000	2019/06/26	2020/07/30	12.50
66507	HK Bank – HSI R Bear CBBC Nov 2019 U	50,000,000	2019/06/26	2019/11/28	12.50
66513	HK Bank – HSI R Bear CBBC Nov 2019 V	50,000,000	2019/06/26	2019/11/28	12.50
66577	HK Bank – Ping An R Bull CBBC Dec 2019 G	60,000,000	2019/06/26	2019/12/02	15.00
66671	HK Bank – SHK Ppt R Bull CBBC Dec 2019 C	40,000,000	2019/06/26	2019/12/23	10.00
66669	HK Bank – SUNAC R Bull CBBC Feb 2020 B	60,000,000	2019/06/26	2020/02/10	15.00
66631	HK Bank – Sunny Optical R Bull CBBC Dec 2019 C	40,000,000	2019/06/26	2019/12/16	10.00
66571	HK Bank – Tencent R Bull CBBC Dec 2019 J	40,000,000	2019/06/26	2019/12/02	10.00
66530	HK Bank – Tencent R Bear CBBC Nov 2019 Q	40,000,000	2019/06/26	2019/11/18	10.00
68065	Haitong Int'l Sec – AIA R Bull CBBC Dec 2019 A	40,000,000	2019/06/26	2019/12/09	10.00
68063	Haitong Int'l Sec – China Mobile R Bull CBBC Jan 2020 A	60,000,000	2019/06/26	2020/01/06	15.00
68103	Haitong Int'l Sec – CSOP A50 ETF R Bull CBBC Oct 2019 D	40,000,000	2019/06/26	2019/10/22	10.00
68048	Haitong Int'l Sec – HKEX R Bull CBBC Dec 2019 B	40,000,000	2019/06/26	2019/12/11	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67702	Haitong Int'l Sec - HSI R Bull CBBC Sep 2021 Q	150,000,000	2019/06/26	2021/09/29	37.50
67719	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 Y	150,000,000	2019/06/26	2021/10/28	37.50
67741	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 Z	150,000,000	2019/06/26	2021/10/28	37.50
67705	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 A	150,000,000	2019/06/26	2021/11/29	37.50
67759	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 D	150,000,000	2019/06/26	2021/11/29	37.50
67701	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 Z	150,000,000	2019/06/26	2021/11/29	37.50
67555	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 G	150,000,000	2019/06/26	2019/10/30	37.50
67582	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 H	150,000,000	2019/06/26	2019/10/30	37.50
67552	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 L	150,000,000	2019/06/26	2019/10/30	37.50
67585	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 M	150,000,000	2019/06/26	2019/10/30	37.50
67597	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 N	100,000,000	2019/06/26	2019/10/30	25.00
67769	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 O	150,000,000	2019/06/26	2019/10/30	37.50
67791	Haitong Int'l Sec - HSI R Bear CBBC Oct 2019 P	150,000,000	2019/06/26	2019/10/30	37.50
68074	Haitong Int'l Sec - Ping An R Bull CBBC Dec 2019 A	40,000,000	2019/06/26	2019/12/09	10.00
67690	Haitong Int'l Sec - Tencent R Bull CBBC Dec 2019 E	40,000,000	2019/06/26	2019/12/11	10.00
67698	Haitong Int'l Sec - Tencent R Bull CBBC Dec 2019 F	40,000,000	2019/06/26	2019/12/11	10.00
68045	Haitong Int'l Sec - Tencent R Bull CBBC Dec 2019 G	40,000,000	2019/06/26	2019/12/11	10.00
67659	Haitong Int'l Sec - Tencent R Bear CBBC Mar 2020 H	40,000,000	2019/06/26	2020/03/27	10.00
67685	Haitong Int'l Sec - Tencent R Bear CBBC Mar 2020 I	40,000,000	2019/06/26	2020/03/30	10.00
67389	J P Morgan SP BV - HKEX R Bull CBBC Oct 2019 H	50,000,000	2019/06/26	2019/10/18	12.50
67362	J P Morgan SP BV - HKEX R Bull CBBC Jan 2020 C	250,000,000	2019/06/26	2020/01/10	62.50
67396	J P Morgan SP BV - HKEX R Bear CBBC Dec 2019 B	250,000,000	2019/06/26	2019/12/13	62.50
67476	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2020 G	100,000,000	2019/06/26	2020/11/27	25.00
67521	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/06/26	2021/10/28	50.00
67496	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 X	200,000,000	2019/06/26	2021/10/28	50.00
67480	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/06/26	2021/10/28	50.00
67485	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 H	200,000,000	2019/06/26	2021/11/29	50.00
67499	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 I	200,000,000	2019/06/26	2021/11/29	50.00
67540	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 J	200,000,000	2019/06/26	2021/11/29	50.00
67443	J P Morgan SP BV - HSI R Bear CBBC Nov 2019 I	200,000,000	2019/06/26	2019/11/28	50.00
67471	J P Morgan SP BV - HSI R Bear CBBC Nov 2019 L	200,000,000	2019/06/26	2019/11/28	50.00
67193	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 D	200,000,000	2019/06/26	2019/12/30	50.00
67196	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 E	200,000,000	2019/06/26	2019/12/30	50.00
67209	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 F	200,000,000	2019/06/26	2019/12/30	50.00
67222	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 G	200,000,000	2019/06/26	2019/12/30	50.00
67223	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 H	200,000,000	2019/06/26	2019/12/30	50.00
67237	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 I	200,000,000	2019/06/26	2019/12/30	50.00
67469	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 J	200,000,000	2019/06/26	2019/12/30	50.00
67473	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 K	200,000,000	2019/06/26	2019/12/30	50.00
67474	J P Morgan SP BV - HSI R Bear CBBC Dec 2019 L	200,000,000	2019/06/26	2019/12/30	50.00
67417	J P Morgan SP BV - Ping An R Bull CBBC Nov 2019 C	100,000,000	2019/06/26	2019/11/08	25.00
67310	J P Morgan SP BV - Tencent R Bull CBBC Oct 2019 X	50,000,000	2019/06/26	2019/10/18	12.50
67326	J P Morgan SP BV - Tencent R Bull CBBC Dec 2019 D	250,000,000	2019/06/26	2019/12/13	62.50
67350	J P Morgan SP BV - Tencent R Bull CBBC Jan 2020 F	250,000,000	2019/06/26	2020/01/17	62.50
67266	J P Morgan SP BV - Tencent R Bear CBBC Dec 2019 C	50,000,000	2019/06/26	2019/12/13	12.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67271	J P Morgan SP BV – Tencent R Bear CBBC Jan 2020 B	250,000,000	2019/06/26	2020/01/10	62.50
66054	Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2019 E	40,000,000	2019/06/26	2019/11/20	10.04
66058	Macquarie Bank Ltd. – Tencent R Bull CBBC Nov 2019 F	40,000,000	2019/06/26	2019/11/20	10.08
66123	Macquarie Bank Ltd. – Tencent R Bear CBBC Jan 2020 H	40,000,000	2019/06/26	2020/01/30	10.12
68222	SG Issuer – AIA R Bull CBBC Dec 2019 J	60,000,000	2019/06/26	2019/12/30	15.00
68107	SG Issuer – AIA R Bear CBBC Dec 2019 D	60,000,000	2019/06/26	2019/12/20	15.00
68224	SG Issuer – CITIC Sec R Bull CBBC Apr 2020 A	100,000,000	2019/06/26	2020/04/28	25.00
68290	SG Issuer – CSOP A50 ETF R Bull CBBC Feb 2020 C	100,000,000	2019/06/26	2020/02/10	25.00
68299	SG Issuer – CSOP A50 ETF R Bear CBBC Oct 2019 E	100,000,000	2019/06/26	2019/10/28	25.00
68238	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 U	100,000,000	2019/06/26	2019/12/23	25.00
68253	SG Issuer – HKEX R Bull CBBC Oct 2019 T	50,000,000	2019/06/26	2019/10/04	12.50
68264	SG Issuer – HKEX R Bull CBBC Dec 2019 I	50,000,000	2019/06/26	2019/12/09	12.50
68119	SG Issuer – HKEX R Bear CBBC Nov 2019 I	50,000,000	2019/06/26	2019/11/27	12.50
68311	SG Issuer – HSCEI R Bull CBBC Oct 2020 O	100,000,000	2019/06/26	2020/10/29	25.00
68303	SG Issuer – HSCEI R Bear CBBC Nov 2019 B	100,000,000	2019/06/26	2019/11/28	25.00
68317	SG Issuer – HSI R Bull CBBC Oct 2021 E	250,000,000	2019/06/26	2021/10/28	62.50
68321	SG Issuer – HSI R Bull CBBC Oct 2021 F	250,000,000	2019/06/26	2021/10/28	62.50
68322	SG Issuer – HSI R Bull CBBC Oct 2021 G	250,000,000	2019/06/26	2021/10/28	62.50
68332	SG Issuer – HSI R Bull CBBC Nov 2021 C	250,000,000	2019/06/26	2021/11/29	62.50
68335	SG Issuer – HSI R Bull CBBC Dec 2021 I	250,000,000	2019/06/26	2021/12/30	62.50
68344	SG Issuer – HSI R Bull CBBC Dec 2021 J	250,000,000	2019/06/26	2021/12/30	62.50
68127	SG Issuer – HSI R Bear CBBC Sep 2019 M	250,000,000	2019/06/26	2019/09/27	62.50
68291	SG Issuer – HSI R Bear CBBC Oct 2019 I	250,000,000	2019/06/26	2019/10/30	62.50
68135	SG Issuer – HSI R Bear CBBC Nov 2019 F	250,000,000	2019/06/26	2019/11/28	62.50
68296	SG Issuer – HSI R Bear CBBC Nov 2019 K	250,000,000	2019/06/26	2019/11/28	62.50
68132	SG Issuer – HSI R Bear CBBC Nov 2019 U	250,000,000	2019/06/26	2019/11/28	62.50
68157	SG Issuer – HSI R Bear CBBC Dec 2019 P	250,000,000	2019/06/26	2019/12/30	62.50
68316	SG Issuer – HSI R Bear CBBC Dec 2019 R	250,000,000	2019/06/26	2019/12/30	62.50
68143	SG Issuer – HSI R Bear CBBC Dec 2019 Z	250,000,000	2019/06/26	2019/12/30	62.50
68158	SG Issuer – HSI R Bear CBBC Jan 2020 G	250,000,000	2019/06/26	2020/01/30	62.50
68297	SG Issuer – HSI R Bear CBBC Jan 2020 H	250,000,000	2019/06/26	2020/01/30	62.50
68219	SG Issuer – HSI R Bear CBBC Mar 2020 A	500,000,000	2019/06/26	2020/03/30	125.00
68273	SG Issuer – PetroChina R Bull CBBC Feb 2020 B	40,000,000	2019/06/26	2020/02/10	10.00
68278	SG Issuer – Ping An R Bull CBBC Dec 2019 S	100,000,000	2019/06/26	2019/12/30	25.00
68120	SG Issuer – Ping An R Bear CBBC Dec 2019 C	100,000,000	2019/06/26	2019/12/30	25.00
68282	SG Issuer – SUNAC R Bull CBBC Feb 2020 G	80,000,000	2019/06/26	2020/02/10	20.00
68286	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 G	40,000,000	2019/06/26	2019/12/30	10.00
68288	SG Issuer – Tencent R Bull CBBC Sep 2019 U	80,000,000	2019/06/26	2019/09/30	20.00
68300	SG Issuer – Tencent R Bull CBBC Oct 2019 M	80,000,000	2019/06/26	2019/10/28	20.00
68122	SG Issuer – Tencent R Bear CBBC Dec 2019 C	80,000,000	2019/06/26	2019/12/30	20.00
68123	SG Issuer – Tencent R Bear CBBC Feb 2020 M	80,000,000	2019/06/26	2020/02/10	20.00
67136	UBS AG – HKEX R Bull CBBC Jan 2020 B	200,000,000	2019/06/26	2020/01/13	50.00
67059	UBS AG – HKEX R Bear CBBC Feb 2020 B	200,000,000	2019/06/26	2020/02/10	50.00
67148	UBS AG – HSBC R Bull CBBC Jan 2020 A	100,000,000	2019/06/26	2020/01/13	25.00
67065	UBS AG – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/06/26	2021/10/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67071	UBS AG – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/06/26	2021/10/28	50.00
67072	UBS AG – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/06/26	2021/10/28	50.00
67161	UBS AG – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/06/26	2021/10/28	50.00
67033	UBS AG – HSI R Bear CBBC Sep 2019 H	200,000,000	2019/06/26	2019/09/27	50.00
67054	UBS AG – HSI R Bear CBBC Sep 2019 N	200,000,000	2019/06/26	2019/09/27	50.00
67173	UBS AG – HSI R Bear CBBC Sep 2019 O	200,000,000	2019/06/26	2019/09/27	50.00
66994	UBS AG – HSI R Bear CBBC Sep 2019 U	400,000,000	2019/06/26	2019/09/27	100.00
67014	UBS AG – HSI R Bear CBBC Sep 2019 W	200,000,000	2019/06/26	2019/09/27	50.00
67123	UBS AG – Ping An R Bull CBBC Jan 2020 A	100,000,000	2019/06/26	2020/01/20	25.00
67075	UBS AG – Tencent R Bull CBBC Jan 2020 B	40,000,000	2019/06/26	2020/01/13	10.00
67076	UBS AG – Tencent R Bull CBBC Feb 2020 A	200,000,000	2019/06/26	2020/02/17	50.00
67064	UBS AG – Tencent R Bear CBBC Dec 2019 E	200,000,000	2019/06/26	2019/12/16	50.00
68370	Bank Vontobel – AIA R Bear CBBC Jan 2020 D	50,000,000	2019/06/26	2020/01/10	12.50
68364	Bank Vontobel – HKEX R Bull CBBC Jan 2020 C	40,000,000	2019/06/26	2020/01/10	10.00
68371	Bank Vontobel – HSI N Bull CBBC Jan 2021 Q	100,000,000	2019/06/26	2021/01/28	25.00
68360	Bank Vontobel – HSI N Bear CBBC Jan 2020 U	80,000,000	2019/06/26	2020/01/30	20.00
68362	Bank Vontobel – HSI R Bull CBBC Jan 2021 P	80,000,000	2019/06/26	2021/01/28	20.00
68373	Bank Vontobel – HSI R Bull CBBC Jan 2021 R	100,000,000	2019/06/26	2021/01/28	25.00
68374	Bank Vontobel – Tencent R Bull CBBC Jan 2020 G	40,000,000	2019/06/26	2020/01/10	10.00
68609	BOCI Asia Ltd. – CC Bank R Bull CBBC Dec 2019 A	80,000,000	2019/06/27	2019/12/30	20.80
68632	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 F	150,000,000	2019/06/27	2021/09/29	37.50
68634	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 T	150,000,000	2019/06/27	2019/12/30	39.00
68605	BOCI Asia Ltd. – Tencent R Bull CBBC Dec 2019 M	40,000,000	2019/06/27	2019/12/30	10.40
68606	BOCI Asia Ltd. – Tencent R Bear CBBC Dec 2019 J	40,000,000	2019/06/27	2019/12/30	10.40
68710	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bear CBBC Oct20 D	60,000,000	2019/06/27	2020/10/29	15.00
69002	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 C	250,000,000	2019/06/27	2021/11/29	62.50
69010	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 D	250,000,000	2019/06/27	2021/11/29	62.50
69013	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 E	250,000,000	2019/06/27	2021/11/29	62.50
69034	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 F	240,000,000	2019/06/27	2021/11/29	60.00
68639	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 W	250,000,000	2019/06/27	2019/12/30	62.50
68659	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 X	250,000,000	2019/06/27	2019/12/30	62.50
68714	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 Y	250,000,000	2019/06/27	2019/12/30	62.50
68992	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 Z	240,000,000	2019/06/27	2019/12/30	60.00
68707	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2019 N	50,000,000	2019/06/27	2019/12/30	12.50
69913	Credit Suisse AG – AIA R Bull CBBC Jan 2020 H	70,000,000	2019/06/27	2020/01/24	17.50
69917	Credit Suisse AG – AIA R Bull CBBC Jan 2020 I	70,000,000	2019/06/27	2020/01/23	17.50
69849	Credit Suisse AG – China Life R Bull CBBC Feb 2020 B	80,000,000	2019/06/27	2020/02/27	20.00
69872	Credit Suisse AG – CM Bank R Bull CBBC Feb 2020 C	70,000,000	2019/06/27	2020/02/27	17.50
69897	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Apr 2020 B	100,000,000	2019/06/27	2020/04/28	25.00
69898	Credit Suisse AG – A50 China R Bull CBBC Apr 2020 A	80,000,000	2019/06/27	2020/04/28	20.00
69828	Credit Suisse AG – Geely Auto R Bull CBBC May 2020 B	100,000,000	2019/06/27	2020/05/28	25.00
69796	Credit Suisse AG – Galaxy Ent R Bull CBBC Jan 2020 C	50,000,000	2019/06/27	2020/01/31	12.50
50007	Credit Suisse AG – HSI R Bull CBBC Sep 2021 M	200,000,000	2019/06/27	2021/09/29	50.00
50001	Credit Suisse AG – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/06/27	2021/10/28	50.00
50003	Credit Suisse AG – HSI R Bull CBBC Nov 2021 W	200,000,000	2019/06/27	2021/11/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
50004	Credit Suisse AG – HSI R Bull CBBC Nov 2021 X	200,000,000	2019/06/27	2021/11/29	50.00
50005	Credit Suisse AG – HSI R Bull CBBC Nov 2021 Y	200,000,000	2019/06/27	2021/11/29	50.00
50002	Credit Suisse AG – HSI R Bull CBBC Dec 2021 T	300,000,000	2019/06/27	2021/12/30	75.00
50006	Credit Suisse AG – HSI R Bull CBBC Dec 2021 U	300,000,000	2019/06/27	2021/12/30	75.00
69791	Credit Suisse AG – HSI R Bear CBBC Oct 2019 A	200,000,000	2019/06/27	2019/10/30	50.00
69793	Credit Suisse AG – HSI R Bear CBBC Nov 2019 X	200,000,000	2019/06/27	2019/11/28	50.00
50000	Credit Suisse AG – HSI R Bear CBBC Nov 2019 Y	200,000,000	2019/06/27	2019/11/28	50.00
69790	Credit Suisse AG – HSI R Bear CBBC Dec 2019 L	200,000,000	2019/06/27	2019/12/30	50.00
69926	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 P	80,000,000	2019/06/27	2020/01/24	20.00
69985	Credit Suisse AG – Ping An R Bull CBBC Jan 2020 Q	80,000,000	2019/06/27	2020/01/24	20.00
69987	Credit Suisse AG – Ping An R Bear CBBC Jan 2020 C	60,000,000	2019/06/27	2020/01/24	15.00
69912	Credit Suisse AG – Tencent R Bull CBBC Jan 2020 U	100,000,000	2019/06/27	2020/01/30	25.00
69911	Credit Suisse AG – Tencent R Bull CBBC Feb 2020 L	100,000,000	2019/06/27	2020/02/27	25.00
68969	Bank of East Asia – HKEX R Bull CBBC Dec 2019 F	100,000,000	2019/06/27	2019/12/20	25.00
68986	Bank of East Asia – Ping An R Bull CBBC Dec 2019 A	100,000,000	2019/06/27	2019/12/20	25.00
68991	Bank of East Asia – Ping An R Bull CBBC Dec 2019 B	100,000,000	2019/06/27	2019/12/20	25.00
68981	Bank of East Asia – Ping An R Bear CBBC Dec 2019 A	100,000,000	2019/06/27	2019/12/20	25.00
68951	Bank of East Asia – Tencent R Bull CBBC Dec 2019 J	150,000,000	2019/06/27	2019/12/20	37.50
68957	Bank of East Asia – Tencent R Bull CBBC Dec 2019 K	150,000,000	2019/06/27	2019/12/20	37.50
68966	Bank of East Asia – Tencent R Bear CBBC Dec 2019 F	30,000,000	2019/06/27	2019/12/20	10.50
69378	Goldman Sachs SP (Asia) – China Life R Bull CBBC Feb 2020 A	80,000,000	2019/06/27	2020/02/27	20.00
69385	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Dec2019 H	100,000,000	2019/06/27	2019/12/30	25.00
69397	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 T	100,000,000	2019/06/27	2019/09/30	25.00
69334	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 G	200,000,000	2019/06/27	2021/10/28	50.00
69395	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 J	200,000,000	2019/06/27	2021/10/28	50.00
69296	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 S	200,000,000	2019/06/27	2021/10/28	50.00
69300	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/06/27	2021/10/28	50.00
69321	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 U	200,000,000	2019/06/27	2021/10/28	50.00
69328	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 W	200,000,000	2019/06/27	2021/10/28	50.00
68439	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 K	200,000,000	2019/06/27	2020/02/27	50.00
69396	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 L	200,000,000	2019/06/27	2020/02/27	50.00
68436	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 J	200,000,000	2019/06/27	2020/03/30	50.00
68432	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/06/27	2020/03/30	50.00
69339	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 P	100,000,000	2019/06/27	2019/11/29	25.00
69341	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 Q	100,000,000	2019/06/27	2019/11/29	25.00
69317	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 T	100,000,000	2019/06/27	2019/09/27	25.00
69318	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Sep 2019 U	100,000,000	2019/06/27	2019/09/27	25.00
68430	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 U	80,000,000	2019/06/27	2019/12/27	20.00
69179	HK Bank – AIA R Bull CBBC Dec 2019 C	60,000,000	2019/06/27	2019/12/23	15.00
69209	HK Bank – China Life R Bull CBBC Feb 2020 B	100,000,000	2019/06/27	2020/02/10	25.00
69181	HK Bank – Evergrande R Bull CBBC Dec 2019 C	60,000,000	2019/06/27	2019/12/30	15.00
69208	HK Bank – Geely Auto R Bull CBBC Dec 2019 F	40,000,000	2019/06/27	2019/12/16	10.00
69195	HK Bank – HKEX R Bull CBBC Nov 2019 N	40,000,000	2019/06/27	2019/11/28	10.00
69153	HK Bank – HSCEI R Bull CBBC Jul 2020 J	50,000,000	2019/06/27	2020/07/30	12.50
69149	HK Bank – HSI R Bull CBBC Jul 2020 A	100,000,000	2019/06/27	2020/07/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69174	HK Bank – HSI R Bull CBBC Jul 2020 Z	50,000,000	2019/06/27	2020/07/30	12.50
69150	HK Bank – HSI R Bear CBBC Nov 2019 W	50,000,000	2019/06/27	2019/11/28	12.50
69185	HK Bank – Ping An R Bull CBBC Nov 2019 I	60,000,000	2019/06/27	2019/11/25	15.00
69156	HK Bank – Tencent R Bull CBBC Nov 2019 T	40,000,000	2019/06/27	2019/11/25	10.00
69163	HK Bank – Tencent R Bull CBBC Jan 2020 F	100,000,000	2019/06/27	2020/01/09	25.00
69172	HK Bank – Tencent R Bear CBBC Nov 2019 R	40,000,000	2019/06/27	2019/11/21	10.00
69175	HK Bank – Xiaomi R Bull CBBC Dec 2019 C	40,000,000	2019/06/27	2019/12/09	10.00
69129	Haitong Int'l Sec – China Life R Bull CBBC Dec 2019 A	110,000,000	2019/06/27	2019/12/10	27.50
69071	Haitong Int'l Sec – HSI R Bull CBBC Dec 2021 A	150,000,000	2019/06/27	2021/12/30	37.50
69083	Haitong Int'l Sec – HSI R Bull CBBC Dec 2021 B	150,000,000	2019/06/27	2021/12/30	37.50
69093	Haitong Int'l Sec – HSI R Bull CBBC Dec 2021 C	150,000,000	2019/06/27	2021/12/30	37.50
69094	Haitong Int'l Sec – HSI R Bull CBBC Dec 2021 D	150,000,000	2019/06/27	2021/12/30	37.50
69118	Haitong Int'l Sec – HSI R Bull CBBC Dec 2021 E	100,000,000	2019/06/27	2021/12/30	25.00
69123	Haitong Int'l Sec – HSI R Bull CBBC Dec 2021 F	150,000,000	2019/06/27	2021/12/30	37.50
69059	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 F	150,000,000	2019/06/27	2019/10/30	37.50
69064	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 I	150,000,000	2019/06/27	2019/10/30	37.50
69051	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 Q	150,000,000	2019/06/27	2019/10/30	37.50
69116	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 R	150,000,000	2019/06/27	2019/10/30	37.50
69054	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 S	150,000,000	2019/06/27	2019/10/30	37.50
69055	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 T	150,000,000	2019/06/27	2019/10/30	37.50
68789	J P Morgan SP BV – HKEX R Bull CBBC Feb 2020 B	200,000,000	2019/06/27	2020/02/14	50.00
68926	J P Morgan SP BV – HKEX R Bull CBBC Feb 2020 C	200,000,000	2019/06/27	2020/02/14	50.00
68841	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 D	200,000,000	2019/06/27	2021/10/28	50.00
68844	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/06/27	2021/10/28	50.00
68874	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 I	200,000,000	2019/06/27	2021/10/28	50.00
68880	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/06/27	2021/10/28	50.00
68830	J P Morgan SP BV – HSI R Bull CBBC Dec 2021 A	200,000,000	2019/06/27	2021/12/30	50.00
68843	J P Morgan SP BV – HSI R Bull CBBC Dec 2021 B	200,000,000	2019/06/27	2021/12/30	50.00
68853	J P Morgan SP BV – HSI R Bull CBBC Dec 2021 C	200,000,000	2019/06/27	2021/12/30	50.00
68735	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 A	200,000,000	2019/06/27	2019/11/28	50.00
68757	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 B	200,000,000	2019/06/27	2019/11/28	50.00
68742	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 M	200,000,000	2019/06/27	2019/11/28	50.00
68745	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 N	200,000,000	2019/06/27	2019/11/28	50.00
68750	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 O	200,000,000	2019/06/27	2019/11/28	50.00
68732	J P Morgan SP BV – HSI R Bear CBBC Nov 2019 U	200,000,000	2019/06/27	2019/11/28	50.00
68751	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 M	200,000,000	2019/06/27	2019/12/30	50.00
68788	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 N	200,000,000	2019/06/27	2019/12/30	50.00
68907	J P Morgan SP BV – Ping An R Bull CBBC Feb 2020 A	100,000,000	2019/06/27	2020/02/14	25.00
68890	J P Morgan SP BV – Tencent R Bull CBBC Nov 2019 G	50,000,000	2019/06/27	2019/11/15	12.50
68791	J P Morgan SP BV – Tencent R Bull CBBC Feb 2020 A	250,000,000	2019/06/27	2020/02/14	62.50
68739	J P Morgan SP BV – Tencent R Bear CBBC Dec 2019 D	50,000,000	2019/06/27	2019/12/13	12.50
68595	Macquarie Bank Ltd. – Tencent R Bull CBBC Oct 2019 J	40,000,000	2019/06/27	2019/10/28	10.00
68582	Macquarie Bank Ltd. – Tencent R Bear CBBC Dec 2019 O	40,000,000	2019/06/27	2019/12/30	10.12
69639	SG Issuer – AIA R Bull CBBC Dec 2019 K	60,000,000	2019/06/27	2019/12/30	15.00
69400	SG Issuer – AIA R Bear CBBC Dec 2019 E	60,000,000	2019/06/27	2019/12/31	15.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69696	SG Issuer – CAM CSI300 R Bull CBBC Mar 2020 C	100,000,000	2019/06/27	2020/03/30	25.00
69439	SG Issuer – CC Bank R Bull CBBC Dec 2019 E	100,000,000	2019/06/27	2019/12/16	25.00
69645	SG Issuer – China Life R Bull CBBC Feb 2020 G	150,000,000	2019/06/27	2020/02/28	37.50
69660	SG Issuer – CM Bank R Bull CBBC Feb 2020 K	100,000,000	2019/06/27	2020/02/28	25.00
69743	SG Issuer – CSOP A50 ETF R Bull CBBC Mar 2020 R	100,000,000	2019/06/27	2020/03/20	25.00
69781	SG Issuer – CSOP A50 ETF R Bull CBBC Apr 2020 H	100,000,000	2019/06/27	2020/04/28	25.00
69446	SG Issuer – Geely Auto R Bull CBBC Jul 2020 G	150,000,000	2019/06/27	2020/07/22	37.50
69669	SG Issuer – HKEX R Bull CBBC Dec 2019 J	50,000,000	2019/06/27	2019/12/20	12.50
69401	SG Issuer – HKEX R Bear CBBC Nov 2019 J	50,000,000	2019/06/27	2019/11/20	12.50
69419	SG Issuer – HKEX R Bear CBBC Dec 2019 E	50,000,000	2019/06/27	2019/12/31	12.75
69671	SG Issuer – HKEX R Bear CBBC Dec 2019 F	50,000,000	2019/06/27	2019/12/30	20.00
69537	SG Issuer – HSI R Bull CBBC Sep 2021 A	250,000,000	2019/06/27	2021/09/29	62.50
69560	SG Issuer – HSI R Bull CBBC Sep 2021 B	250,000,000	2019/06/27	2021/09/29	62.50
69593	SG Issuer – HSI R Bull CBBC Nov 2021 D	250,000,000	2019/06/27	2021/11/29	62.50
69608	SG Issuer – HSI R Bull CBBC Nov 2021 E	250,000,000	2019/06/27	2021/11/29	62.50
69610	SG Issuer – HSI R Bull CBBC Dec 2021 K	250,000,000	2019/06/27	2021/12/30	62.50
69629	SG Issuer – HSI R Bull CBBC Dec 2021 L	250,000,000	2019/06/27	2021/12/30	62.50
69436	SG Issuer – HSI R Bear CBBC Oct 2019 D	250,000,000	2019/06/27	2019/10/30	62.50
69435	SG Issuer – HSI R Bear CBBC Oct 2019 M	250,000,000	2019/06/27	2019/10/30	62.50
69460	SG Issuer – HSI R Bear CBBC Nov 2019 N	250,000,000	2019/06/27	2019/11/28	62.50
69462	SG Issuer – HSI R Bear CBBC Nov 2019 P	250,000,000	2019/06/27	2019/11/28	62.50
69475	SG Issuer – HSI R Bear CBBC Nov 2019 R	250,000,000	2019/06/27	2019/11/28	62.50
69437	SG Issuer – HSI R Bear CBBC Dec 2019 S	250,000,000	2019/06/27	2019/12/30	62.50
69477	SG Issuer – HSI R Bear CBBC Jan 2020 B	250,000,000	2019/06/27	2020/01/30	62.50
69679	SG Issuer – Ping An R Bull CBBC Nov 2019 N	100,000,000	2019/06/27	2019/11/28	25.00
69685	SG Issuer – Ping An R Bull CBBC Dec 2019 T	100,000,000	2019/06/27	2019/12/20	25.00
69423	SG Issuer – Ping An R Bear CBBC Nov 2019 E	100,000,000	2019/06/27	2019/11/29	25.00
69449	SG Issuer – Ping An R Bear CBBC Dec 2019 D	100,000,000	2019/06/27	2019/12/30	25.00
69687	SG Issuer – Sunny Optical R Bull CBBC Dec 2019 H	40,000,000	2019/06/27	2019/12/30	10.00
69693	SG Issuer – Tencent R Bull CBBC Sep 2019 V	80,000,000	2019/06/27	2019/09/27	20.00
69694	SG Issuer – Tencent R Bull CBBC Sep 2019 W	80,000,000	2019/06/27	2019/09/30	20.00
69695	SG Issuer – Tencent R Bull CBBC Nov 2019 P	80,000,000	2019/06/27	2019/11/15	20.00
69432	SG Issuer – Tencent R Bear CBBC Dec 2019 F	80,000,000	2019/06/27	2019/12/30	20.00
69433	SG Issuer – Tencent R Bear CBBC Jan 2020 P	80,000,000	2019/06/27	2020/01/24	20.00
68483	UBS AG – HSI R Bull CBBC Oct 2021 P	200,000,000	2019/06/27	2021/10/28	50.00
68484	UBS AG – HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/06/27	2021/10/28	50.00
68731	UBS AG – HSI R Bull CBBC Oct 2021 R	200,000,000	2019/06/27	2021/10/28	50.00
68466	UBS AG – HSI R Bear CBBC Sep 2019 P	200,000,000	2019/06/27	2019/09/27	50.00
68470	UBS AG – HSI R Bear CBBC Sep 2019 Q	200,000,000	2019/06/27	2019/09/27	50.00
68503	UBS AG – HSI R Bear CBBC Sep 2019 S	200,000,000	2019/06/27	2019/09/27	50.00
68507	UBS AG – HSI R Bear CBBC Sep 2019 V	200,000,000	2019/06/27	2019/09/27	50.00
68508	UBS AG – HSI R Bear CBBC Sep 2019 Y	200,000,000	2019/06/27	2019/09/27	50.00
68516	UBS AG – HSI R Bear CBBC Sep 2019 Z	200,000,000	2019/06/27	2019/09/27	50.00
68570	UBS AG – Tencent R Bull CBBC Feb 2020 B	200,000,000	2019/06/27	2020/02/10	50.00
69282	Bank Vontobel – AIA R Bull CBBC Jan 2020 B	50,000,000	2019/06/27	2020/01/10	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69210	Bank Vontobel – HSI N Bull CBBC Jan 2021 S	80,000,000	2019/06/27	2021/01/28	20.00
69235	Bank Vontobel – HSI R Bull CBBC Jan 2021 T	80,000,000	2019/06/27	2021/01/28	20.00
69286	Bank Vontobel – HSI R Bull CBBC Jan 2021 U	80,000,000	2019/06/27	2021/01/28	20.00
69241	Bank Vontobel – HSI R Bear CBBC Jan 2020 V	80,000,000	2019/06/27	2020/01/30	20.00
69278	Bank Vontobel – Ping An R Bull CBBC Jan 2020 C	50,000,000	2019/06/27	2020/01/10	12.50
69285	Bank Vontobel – Sunny Optical R Bull CBBC Jan 2020 A	40,000,000	2019/06/27	2020/01/10	10.00
69274	Bank Vontobel – Tencent R Bull CBBC Jan 2020 H	40,000,000	2019/06/27	2020/01/17	10.00
50035	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 G	150,000,000	2019/06/28	2021/09/29	39.00
50036	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2021 H	150,000,000	2019/06/28	2021/09/29	39.00
50037	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2019 U	150,000,000	2019/06/28	2019/12/30	37.50
50067	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 G	250,000,000	2019/06/28	2021/11/29	62.50
50068	BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2021 H	250,000,000	2019/06/28	2021/11/29	62.50
50069	BNP Paribas Issuance B.V. – HSI R Bear CBBC Dec 2019 D	250,000,000	2019/06/28	2019/12/30	62.50
50065	Credit Suisse AG – Bank of China R Bull CBBC Apr 2020 A	70,000,000	2019/06/28	2020/04/28	17.50
50064	Credit Suisse AG – China Tower R Bull CBBC Feb 2020 B	40,000,000	2019/06/28	2020/02/27	10.00
50056	Credit Suisse AG – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/06/28	2021/10/28	50.00
50058	Credit Suisse AG – HSI R Bull CBBC Oct 2021 O	200,000,000	2019/06/28	2021/10/28	50.00
50059	Credit Suisse AG – HSI R Bull CBBC Nov 2021 A	200,000,000	2019/06/28	2021/11/29	50.00
50055	Credit Suisse AG – HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/06/28	2021/11/29	50.00
50057	Credit Suisse AG – HSI R Bull CBBC Dec 2021 V	300,000,000	2019/06/28	2021/12/30	75.00
50060	Credit Suisse AG – HSI R Bull CBBC Dec 2021 W	300,000,000	2019/06/28	2021/12/30	75.00
50066	Credit Suisse AG – HSI R Bear CBBC Dec 2019 M	200,000,000	2019/06/28	2019/12/30	50.00
50062	Credit Suisse AG – Ping An R Bull CBBC Dec 2019 C	80,000,000	2019/06/28	2019/12/20	20.00
50063	Credit Suisse AG – Ping An R Bear CBBC Feb 2020 B	60,000,000	2019/06/28	2020/02/27	15.00
50061	Credit Suisse AG – Tencent R Bull CBBC Dec 2019 W	100,000,000	2019/06/28	2019/12/20	25.00
50096	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Sep 2019 U	100,000,000	2019/06/28	2019/09/30	25.00
50090	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 H	200,000,000	2019/06/28	2021/10/28	50.00
50091	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/06/28	2021/10/28	50.00
50008	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 M	200,000,000	2019/06/28	2021/10/28	50.00
50052	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2021 N	200,000,000	2019/06/28	2021/10/28	50.00
50094	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2021 B	200,000,000	2019/06/28	2021/11/29	50.00
50095	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2021 C	200,000,000	2019/06/28	2021/11/29	50.00
50098	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2020 M	200,000,000	2019/06/28	2020/02/27	50.00
50093	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Sep 2019 A	100,000,000	2019/06/28	2019/09/30	25.00
50054	Goldman Sachs SP (Asia) – Ping An R Bull CBBC Nov 2019 R	100,000,000	2019/06/28	2019/11/29	25.00
50053	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Dec 2019 M	100,000,000	2019/06/28	2019/12/30	25.00
50097	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Dec 2019 V	80,000,000	2019/06/28	2019/12/30	20.00
50092	Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Nov 2019 B	40,000,000	2019/06/28	2019/11/29	10.00
50024	HK Bank – CAM CSI300 R Bull CBBC Feb 2020 A	40,000,000	2019/06/28	2020/02/03	10.00
50027	HK Bank – CM Bank R Bull CBBC Jan 2020 A	60,000,000	2019/06/28	2020/01/06	15.00
50026	HK Bank – CNOOC R Bull CBBC Dec 2019 B	40,000,000	2019/06/28	2019/12/30	10.00
50022	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2020 B	40,000,000	2019/06/28	2020/02/10	10.00
50023	HK Bank – A50 China R Bull CBBC Feb 2020 B	40,000,000	2019/06/28	2020/02/10	10.00
50009	HK Bank – HSI R Bull CBBC Jul 2020 B	50,000,000	2019/06/28	2020/07/30	12.50
50019	HK Bank – HSI R Bull CBBC Jul 2020 C	100,000,000	2019/06/28	2020/07/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
50020	HK Bank – HSI R Bear CBBC Dec 2019 P	100,000,000	2019/06/28	2019/12/30	25.00
50025	HK Bank – Ping An R Bull CBBC Dec 2019 H	60,000,000	2019/06/28	2019/12/30	15.00
50010	HK Bank – Tencent R Bull CBBC Jan 2020 G	40,000,000	2019/06/28	2020/01/16	10.00
50021	HK Bank – Tencent R Bear CBBC Nov 2019 S	40,000,000	2019/06/28	2019/11/28	10.00
50038	Haitong Int'l Sec – Country Garden R Bull CBBC Dec 2019 A	40,000,000	2019/06/28	2019/12/13	10.00
50012	Haitong Int'l Sec – A50 China R Bull CBBC Oct 2019 D	40,000,000	2019/06/28	2019/10/16	10.00
50013	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 A	150,000,000	2019/06/28	2021/10/28	37.50
50016	Haitong Int'l Sec – HSI R Bull CBBC Oct 2021 B	150,000,000	2019/06/28	2021/10/28	37.50
50014	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 F	100,000,000	2019/06/28	2021/11/29	25.00
50015	Haitong Int'l Sec – HSI R Bull CBBC Nov 2021 I	150,000,000	2019/06/28	2021/11/29	37.50
50017	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 U	150,000,000	2019/06/28	2019/10/30	37.50
50018	Haitong Int'l Sec – HSI R Bear CBBC Oct 2019 V	150,000,000	2019/06/28	2019/10/30	37.50
50011	Haitong Int'l Sec – Tencent R Bull CBBC Dec 2019 H	40,000,000	2019/06/28	2019/12/12	10.00
50039	Haitong Int'l Sec – Tencent R Bull CBBC Dec 2019 I	40,000,000	2019/06/28	2019/12/12	10.00
50040	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2020 E	40,000,000	2019/06/28	2020/04/08	10.00
50031	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 K	200,000,000	2019/06/28	2021/10/28	50.00
50029	J P Morgan SP BV – HSI R Bull CBBC Oct 2021 T	200,000,000	2019/06/28	2021/10/28	50.00
50028	J P Morgan SP BV – HSI R Bull CBBC Dec 2021 D	200,000,000	2019/06/28	2021/12/30	50.00
50030	J P Morgan SP BV – HSI R Bull CBBC Dec 2021 E	200,000,000	2019/06/28	2021/12/30	50.00
50034	J P Morgan SP BV – HSI R Bear CBBC Dec 2019 O	200,000,000	2019/06/28	2019/12/30	50.00
50032	J P Morgan SP BV – Tencent R Bull CBBC Dec 2019 E	250,000,000	2019/06/28	2019/12/13	62.50
50033	J P Morgan SP BV – Tencent R Bear CBBC Oct 2019 H	50,000,000	2019/06/28	2019/10/18	12.50
50077	SG Issuer – CNOOC R Bull CBBC Dec 2019 B	40,000,000	2019/06/28	2019/12/31	10.00
50074	SG Issuer – China Tower R Bear CBBC Dec 2019 F	120,000,000	2019/06/28	2019/12/31	30.00
50078	SG Issuer – Galaxy Ent R Bull CBBC Dec 2019 V	100,000,000	2019/06/28	2019/12/23	25.00
50079	SG Issuer – HSBC R Bull CBBC Nov 2019 Q	100,000,000	2019/06/28	2019/11/28	25.00
50080	SG Issuer – HSBC R Bull CBBC Dec 2019 D	100,000,000	2019/06/28	2019/12/23	25.00
50076	SG Issuer – HSI R Bull CBBC Oct 2021 H	250,000,000	2019/06/28	2021/10/28	62.50
50087	SG Issuer – HSI R Bull CBBC Oct 2021 I	250,000,000	2019/06/28	2021/10/28	62.50
50088	SG Issuer – HSI R Bull CBBC Oct 2021 J	250,000,000	2019/06/28	2021/10/28	62.50
50089	SG Issuer – HSI R Bull CBBC Nov 2021 F	250,000,000	2019/06/28	2021/11/29	62.50
50073	SG Issuer – HSI R Bull CBBC Dec 2021 M	250,000,000	2019/06/28	2021/12/30	62.50
50083	SG Issuer – HSI R Bear CBBC Nov 2019 V	250,000,000	2019/06/28	2019/11/28	62.50
50085	SG Issuer – HSI R Bear CBBC Dec 2019 J	250,000,000	2019/06/28	2019/12/30	62.50
50084	SG Issuer – HSI R Bear CBBC Dec 2019 T	250,000,000	2019/06/28	2019/12/30	62.50
50086	SG Issuer – HSI R Bear CBBC Jan 2020 I	250,000,000	2019/06/28	2020/01/30	62.50
50070	SG Issuer – ICBC R Bear CBBC Dec 2019 A	100,000,000	2019/06/28	2019/12/30	25.00
50071	SG Issuer – Ping An R Bull CBBC Dec 2019 U	100,000,000	2019/06/28	2019/12/30	25.00
50075	SG Issuer – Ping An R Bull CBBC Dec 2019 V	100,000,000	2019/06/28	2019/12/31	25.00
50081	SG Issuer – Tencent R Bull CBBC Sep 2019 X	80,000,000	2019/06/28	2019/09/30	20.00
50072	SG Issuer – Tencent R Bull CBBC Nov 2019 Q	80,000,000	2019/06/28	2019/11/28	20.00
50082	SG Issuer – Tencent R Bear CBBC Dec 2019 K	80,000,000	2019/06/28	2019/12/30	20.00
50048	UBS AG – CSOP A50 ETF R Bull CBBC May 2020 C	50,000,000	2019/06/28	2020/05/11	12.50
50047	UBS AG – HKEX R Bull CBBC Feb 2020 E	200,000,000	2019/06/28	2020/02/19	50.00
50041	UBS AG – HSI R Bull CBBC Nov 2021 A	200,000,000	2019/06/28	2021/11/29	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
50042	UBS AG – HSI R Bull CBBC Nov 2021 B	200,000,000	2019/06/28	2021/11/29	50.00
50043	UBS AG – HSI R Bear CBBC Sep 2019 G	200,000,000	2019/06/28	2019/09/27	50.00
50044	UBS AG – HSI R Bear CBBC Sep 2019 I	200,000,000	2019/06/28	2019/09/27	50.00
50045	UBS AG – HSI R Bear CBBC Sep 2019 K	200,000,000	2019/06/28	2019/09/27	50.00
50046	UBS AG – HSI R Bear CBBC Sep 2019 L	200,000,000	2019/06/28	2019/09/27	50.00
50049	UBS AG – Ping An R Bear CBBC Dec 2019 C	100,000,000	2019/06/28	2019/12/23	25.00
50050	UBS AG – Tencent R Bull CBBC Dec 2019 F	40,000,000	2019/06/28	2019/12/11	10.00
50051	UBS AG – Tencent R Bear CBBC Dec 2019 F	40,000,000	2019/06/28	2019/12/23	10.00
<b>Total</b>					<b>216,341.07</b>

# Further issue



# DR/ELI/TRADING ONLY STOCKS TRADING STATISTICS

## Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2018	Q2	1	15.87
	Q3	1	16.09
	Q4	1	28.59
2019	Q1	1	23.02
	Q2	1	29.27

## Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2018	Q2	-	-
	Q3	-	-
	Q4	-	-
2019	Q1	-	-
	Q2	-	-

## NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2018	Q2	6	0.15
	Q3	6	0.07
	Q4	6	0.29
2019	Q1	6	2.87
	Q2	6	0.07

## iShares

		No. of issues	Turnover value (HK\$ mil.)
2018	Q2	-	-
	Q3	-	-
	Q4	-	-
2019	Q1	-	-
	Q2	-	-

# GEM EQUITIES

## Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2018	Q2	84,808.26	41,444.85	1,252,432
	Q3	68,627.46	26,101.90	952,799
	Q4	73,427.70	19,482.19	864,331
2019	Q1	50,803.56	15,250.68	706,122
	Q2	54,134.73	14,094.16	665,926

## Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2018	Q2	60	690.75	33,092
	Q3	63	414.32	27,395
	Q4	62	314.23	22,540
2019	Q1	60	254.18	21,598
	Q2	59	238.88	21,165

## 20 Most Advanced GEM Stocks

for 2nd quarter 2019

Rank	Code	Stock	Closing price		% up
			End of Jun 2019	End of Mar 2019	
1	08295	ZZ CAP INTL	0.360	0.113	218.58
2	08413	ASIA GROCERY	0.425	0.138	207.97
3	08109	KIRIN GROUP	0.310	0.119	160.50
4	08366	ZJ UNITED INV	0.480	0.230	108.70
5	08475	K GROUP HLDGS	0.405	0.206	96.60
6	08606	KINETIX SYSTEMS	0.500	0.255	96.08
7	08391	ELEGANCE PRINT	0.275	0.160	71.88
8	08082	SAGE INT'L GP	0.710	0.430	65.12
9	08239	CAPITAL FIN	0.085	0.052	63.46
10	08278	CMON	0.162	0.100	62.00
11	08195	L&A INTL HOLD	0.083	0.053	56.60
12	08237	LINK HOLDINGS	0.158	0.103	53.40
13	08118	BORTEX GLOBAL	0.340	0.222	53.15
14	08375	VERTICAL INT'L	0.200	0.131	52.67
15	08491	COOL LINK	2.200	1.490	47.65
16	08198	LOTO INTERACT	0.150	0.102	47.06
17	08376	FINELANDSERVICE	0.440	0.300	46.67
18	08073	SINGYES NM	0.760	0.520	46.15
19	08188	GME GROUP	0.192	0.133	44.36
20	08495	1957 & CO.	0.330	0.230	43.48

A Adjusted

# GEM EQUITIES

## 20 Most Declined GEM Stocks

for 2nd quarter 2019

Rank	Code	Stock	Closing price		% down
			End of Jun 2019	End of Mar 2019	
1	08293	SINGASIA HLDG	0.143	6.000	-97.62
2	08436	TAKBO GROUP	0.420	3.830	-89.03
3	08207	CHONGSING HLDGS	0.011	0.065	-83.08
4	08212	CELEBRATE INT	0.021	0.099	-78.79
5	08078	HMV DIGIT CHINA	0.126	0.500 A	-74.80
6	08537	DOMINATE GP	0.241	0.780	-69.10
7	08042	KOS INTL	0.102	0.310	-67.10
8	08202	INNO-TECH HOLD	0.028	0.076	-63.16
9	08170	ALL NATION INTL	3.600	9.400	-61.70
10	08158	CRMI	0.200	0.500 A	-60.00
11	08125	ROYAL CEN RES	0.082	0.200	-59.00
12	08218	ECHO INT HOLD	0.058	0.137	-57.66
13	08462	OMNIBRIDGE HLDG	0.470	1.100	-57.27
14	08132	CHINAOILGANGRAN	0.156	0.345	-54.78
15	08001	ORIENTSEC INT	0.310	0.680	-54.41
16	08452	FY FINANCIAL	0.600	1.300	-53.85
17	08445	NOBLE ENG GP	0.245	0.530	-53.77
18	08400	ASIA PIONEER	0.089	0.186	-52.15
19	08425	HING MING HLDGS	1.400	2.860	-51.05
20	08417	DADI EDU	0.049	0.100	-51.00

A Adjusted

# GEM EQUITIES

## 20 Most Active GEM Stocks by Value

for 2nd quarter 2019

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08083	CHINA YOUZAN	1,367.49	9.70
2	08293	SINGASIA HLDG	794.05	5.63
3	08436	TAKBO GROUP	646.23	4.59
4	08635	NOVACON TECH	550.78	3.91
5	08227	HAITIAN TIAN	529.00	3.75
6	08537	DOMINATE GP	444.14	3.15
7	08469	SHENGYE CAPITAL	411.00	2.92
8	08621	METROPOLIS CAP	405.67	2.88
9	08042	KOS INTL	298.32	2.12
10	08057	MADISON HLDG	297.99	2.11
11	08022	EVERSHINE GP	282.24	2.00
12	08048	YU TAK INT'L	238.98	1.70
13	08480	FURNIWEB	225.11	1.60
14	08153	CODE AGRI	224.01	1.59
15	08516	GRAND TALENTS	191.43	1.36
16	08283	ZHENG LI HLDG	190.21	1.35
17	08228	NATIONAL ARTS	175.26	1.24
18	08156	CHINA VANGUARD	173.08	1.23
19	08125	ROYAL CEN RES	153.27	1.09
20	08391	ELEGANCE PRINT	153.02	1.09
<b>Total</b>			<b>7,751.27</b>	<b>55.00</b>

# GEM EQUITIES

## 20 Most Active GEM Stock by Shares

for 2nd quarter 2019

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08153	CODE AGRI	6,115.75	11.30
2	08207	CHONGSING HLDGS	4,175.28	7.71
3	08293	SINGASIA HLDG	3,217.20	5.94
4	08083	CHINA YOUZAN	2,326.70	4.30
5	08048	YU TAK INT'L	2,315.65	4.28
6	08202	INNO-TECH HOLD	1,553.63	2.87
7	08147	MILLENNIUM-NEW	1,375.03	2.54
8	08417	DADI EDU	974.65	1.80
9	08086	SINO VISION WW	955.13	1.76
10	08042	KOS INTL	892.60	1.65
11	08148	AURUM PACIFIC	788.84	1.46
12	08125	ROYAL CEN RES	788.09	1.46
13	08156	CHINA VANGUARD	774.40	1.43
14	08057	MADISON HLDG	739.81	1.37
15	08158	CRMI	720.91	1.33
16	08537	DOMINATE GP	702.34	1.30
17	08272	C FOOD&BEV GP	681.45	1.26
18	08635	NOVACON TECH	650.79	1.20
19	08228	NATIONAL ARTS	644.76	1.19
20	08200	SAUSANTONG-NEW	627.17	1.16
<b>Total</b>			<b>31,020.17</b>	<b>57.30</b>

# GEM EQUITIES

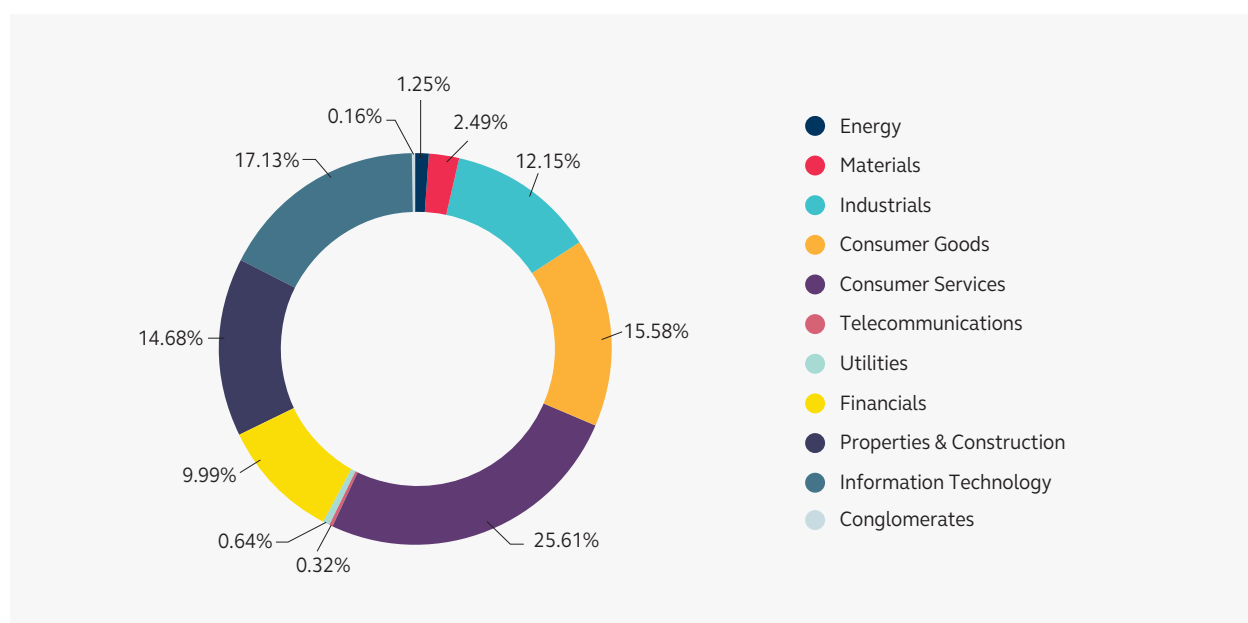
## Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2018	Q2	248,066.40
	Q3	209,809.82
	Q4	186,183.32
2019	Q1	166,890.33
	Q2	134,992.16

## Market Capitalisation by Hang Seng Industry Classification System \* – GEM

Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

# GEM EQUITIES

## 50 Leading Companies by Market Capitalisation – GEM

as at the 2nd quarter end 2019

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08083 China Youzan Ltd.	7,423.59	5.50
2	08137 Honbridge Holdings Ltd.	7,193.81	5.33
3	08469 Sheng Ye Capital Ltd.	6,512.35	4.82
4	08279 AGTech Holdings Ltd.	5,410.72	4.01
5	08032 Viva China Holdings Ltd.	4,387.84	3.25
6	08057 Madison Holdings Group Ltd.	2,700.22	2.00
7	08227 Xi'an Haitiantian Holdings Co., Ltd. – H Shares	2,512.02	1.86
8	08619 WAC Holdings Ltd.	2,380.80	1.76
9	08039 KNK Holdings Ltd.	2,273.92	1.68
10	08283 Zheng Li Holdings Ltd.	2,200.00	1.63
11	08176 SuperRobotics Ltd.	1,771.77	1.31
12	08047 China Ocean Fishing Holdings Ltd.	1,669.25	1.24
13	08265 China Trustful Group Ltd.	1,641.72	1.22
14	08022 Evershine Group Holdings Ltd.	1,569.08	1.16
15	08053 Pizu Group Holdings Ltd.	1,565.84	1.16
16	08170 China All Nation International Holdings Group Ltd.	1,480.32	1.10
17	08516 Grand Talents Group Holdings Ltd.	1,377.60	1.02
18	08480 Furniweb Holdings Ltd.	1,360.80	1.01
19	08491 Cool Link (Holdings) Ltd.	1,320.00	0.98
20	08295 ZZ Capital International Ltd.	1,278.18	0.95
21	08037 China Biotech Services Holdings Ltd.	1,191.45	0.88
22	08228 National Arts Entertainment and Culture Group Ltd.	1,088.60	0.81
23	08423 Chi Ho Development Holdings Ltd.	1,064.00	0.79
24	08246 Zhonghua Gas Holdings Ltd.	1,059.16	0.78
25	08156 China Vanguard You Champion Holdings Ltd.	1,047.76	0.78
26	08140 BOSA Technology Holdings Ltd.	992.00	0.73
27	08167 Neo Telemedia Ltd.	990.31	0.73
28	08308 Gudou Holdings Ltd.	940.80	0.70
29	08328 Xinyi Automobile Glass Hong Kong Enterprises Ltd.	926.83	0.69
30	08082 Sage International Group Ltd.	864.64	0.64
31	08030 Flying Financial Service Holdings Ltd.	848.40	0.63
32	08260 Yin He Holdings Ltd.	800.44	0.59
33	08005 Yuxing InfoTech Investment Holdings Ltd.	766.31	0.57
34	08527 JLogo Holdings Ltd.	765.00	0.57
35	08366 Zhejiang United Investment Holdings Group Ltd.	757.06	0.56
36	08016 Changhong Jiahua Holdings Ltd.	727.33	0.54
37	08325 China Smartpay Group Holdings Ltd.	723.44	0.54
38	08206 Shentong Robot Education Group Co. Ltd.	710.89	0.53
39	08095 Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	699.08	0.52
40	08313 ZACD Group Ltd.	680.00	0.50
41	08130 Dadi International Group Ltd.	641.69	0.48
42	08021 WLS Holdings Ltd.	603.42	0.45
43	08055 China E-Information Technology Group Ltd.	562.69	0.42
44	08425 Hing Ming Holdings Ltd.	560.00	0.41
45	08237 Link Holdings Ltd.	551.42	0.41
46	08178 China Information Technology Development Ltd.	536.94	0.40
47	08101 EJE (Hong Kong) Holdings Ltd.	535.02	0.40
48	08050 Quantum Thinking Ltd.	528.94	0.39
49	08172 Lajin Entertainment Network Group Ltd.	526.14	0.39
50	08522 Gain Plus Holdings Ltd.	505.92	0.37
<b>Total</b>		<b>81,225.52</b>	<b>60.17</b>
<b>Market Total</b>		<b>134,992.16</b>	<b>100.00</b>

# GEM EQUITIES

## New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2018	Q2	17	1,171.84
	Q3	17	1,171.93
	Q4	8	479.54
2019	Q1	5	348.15
	Q2	1	53.00

## Newly Listed Companies for GEM

for 2nd quarter 2019

Code	Company	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08635	Novacon Technology Group Ltd.	2019/05/02	400,000,000	53.00
<b>Total</b>				<b>53.00</b>

## Withdrawal of Listed Companies – GEM

for 2nd quarter 2019

Code	Company	Last trading date	Delisted date
08415	LKS Holding Group Ltd. *	2019/05/08	2019/05/09 §
08345	Fire Rock Holdings Ltd. *	2019/06/26	2019/06/27 §

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.



# GEM EQUITIES

## Company Name Changes for GEM

for 2nd quarter 2019

Code	Old name	New name	Effective date	Adoption date
08213	New Wisdom Holding Co. Ltd.	StarGlory Holdings Co. Ltd.	2019/03/15	2019/04/26
08130	Zhi Cheng Holdings Ltd.	Dadi International Group Ltd.	2019/05/14	2019/05/17

## Bonus Issues/Bonus Warrants for GEM

for 2nd quarter 2019

Code	Company	Particulars	Ex-date
Nil			

## Share Split/Consolidation for GEM

for 2nd quarter 2019

Code	Company	Particulars	Effective date
08283	Zheng Li Holdings Ltd.	Split 1 into 4	2019/04/04
08220	Bingo Group Holdings Ltd.	Consolidation 4 into 1	2019/05/02
08158	China Regenerative Medicine International Ltd.	Consolidation 20 into 1	2019/05/16
08186	IR Resources Ltd.	Consolidation 8 into 1	2019/05/17
08078	China Creative Digital Entertainment Ltd.	Consolidation 50 into 1	2019/05/23
08120	China Demeter Financial Investments Ltd.	Consolidation 8 into 1	2019/05/28
08147	Millennium Pacific Group Holdings Ltd.	Consolidation 8 into 1	2019/05/29
08200	Sau San Tong Holdings Ltd.	Consolidation 8 into 1	2019/06/11

## Rights Issues & Open Offers for GEM

for 2nd quarter 2019

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
May	08479	JTF International Holdings Ltd.	Rts 1 for 2 @\$0.31	65.10
	08076	Sing Lee Software (Group) Ltd.	Rts 1 for 2 @\$0.06	26.34
<b>Total</b>				<b>91.44</b>

# GEM EQUITIES

## Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2019					
Apr	08083	CHINA YOUZAN	1,719,030,188	0.5300	911.09
	08186	IR RESOURCES	166,200,000	0.0500	8.31
May	08495	1957 & CO.	64,000,000	0.2350	15.04
	08048	YU TAK INT'L	165,000,000	0.1050	17.33
	08366	ZJ UNITED INV	137,200,000	0.3650	50.08
Jun	08265	CHINA TRUSTFUL	60,776,000	0.5300	32.21
	08147	MILLENNIUM PG	74,784,000	0.1240	9.27
	08029	SUN INT'L	780,332,000	0.3450	269.21
	08370	ZHI SHENG GP	134,000,000	0.2350	31.49
<b>Total</b>					<b>1,344.03</b>

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

# GEM WARRANTS

## Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2018	Q2	1	0.03	62.83
	Q3	1	0.00	62.83
	Q4	1	0.01	62.83
2019	Q1	1	0.02	62.83
	Q2	1	0.00	62.83

## Newly Listed Equity GEM Warrants

for 2nd quarter 2019

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
<b>Total</b>					-

# STOCK MARKET INDICES

## Hang Seng Index



## S&P/HKEX LargeCap Index



## S&P/HKEX GEM Index



# STOCK MARKET INDICES

## Hong Kong Stock Market Indices

Index	End of Jun 2019 Close	End of Mar 2019 Close	Change %
S&P/HKEX LargeCap Index	36851.92	37102.74	- 0.68%
S&P/HKEX GEM Index	101.52	130.47	- 22.19%
HANG SENG INDEX	28542.62	29051.36	- 1.75%
SECTORIAL INDICES			
Finance	40155.9	39510.15	+ 1.63%
Utilities	61149.26	61797.19	- 1.05%
Properties	42845.43	44605.36	- 3.95%
Commerce & Industry	15720.52	16662.01	- 5.65%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	4446.05	4679.15	- 4.98%
HANG SENG CHINA ENTERPRISES INDEX	10881.85	11379.62	- 4.37%

# STOCK MARKET INDICES

## World Indices

Index	End of Jun 2019 Close	End of Mar 2019 Close	Change %
<b>HONG KONG</b>			
S&P/HKEX LargeCap Index	36851.92	37102.74	- 0.68%
Hang Seng Index	28542.62	29051.36	- 1.75%
<b>AUSTRALIA</b>			
All Ordinaries Index	6699.20	6261.70	+ 6.99%
<b>JAKARTA</b>			
Composite Index	6358.63	6468.76	- 1.70%
<b>JAPAN</b>			
Nikkei (225)	21275.92	21205.81	+ 0.33%
<b>KOREA</b>			
KOSPI Index	2130.62	2140.67	- 0.47%
<b>KUALA LUMPUR</b>			
Composite Index	1672.13	1643.63	+ 1.73%
<b>MANILA</b>			
Composite Index	7999.71	7920.93	+ 0.99%
<b>NEW ZEALAND</b>			
NZSE (50)	10501.10	9844.95	+ 6.66%
<b>SHANGHAI</b>			
A Shares Index	3119.99	3237.20	- 3.62%
B Shares Index	296.90	306.78	- 3.22%
<b>SHENZHEN</b>			
A Shares Index	1633.99	1772.83	- 7.83%
B Shares Index	963.25	1035.87	- 7.01%
<b>SINGAPORE</b>			
Straits Times Index	3321.61	3212.88	+ 3.38%
<b>TAIWAN</b>			
Taipei Weighted Stock Index	10730.83	10641.04	+ 0.84%
<b>THAILAND</b>			
SET Index	1730.34	1638.65	+ 5.60%
<b>NEW YORK</b>			
DJIA	26599.96	25928.68	+ 2.59%
Nasdaq Composite Index	8006.24	7729.32	+ 3.58%
<b>TORONTO</b>			
S&P/TSX Composite Index	16382.20	16102.09	+ 1.74%
<b>GERMANY</b>			
DAX Kursindex	5535.26	5274.00	+ 4.95%
<b>LONDON</b>			
FTSE 100 Index	7425.63	7279.19	+ 2.01%

# DERIVATIVES MARKET – FUTURES AND OPTIONS

## Contract Volume by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2019	2nd Quarter 2018
Hang Seng Index Futures	13,860,691	14,140,082
Hang Seng Index Options	3,115,323	2,775,119
Mini-Hang Seng Index Futures	5,779,500	5,419,705
Mini-Hang Seng Index Options	789,156	502,343
HSI (Gross Total Return Index) Futures <sup>1</sup>	72	-
HSI (Net Total Return Index) Futures <sup>2</sup>	46	-
Hang Seng China Enterprises Index Futures	8,895,438	8,407,737
Hang Seng China Enterprises Index Options	5,610,217	5,274,459
Mini-Hang Seng China Enterprises Index Futures	984,154	1,173,527
Mini-Hang Seng China Enterprises Index Options	156,496	137,459
HSCEI (Gross Total Return Index) Futures <sup>3</sup>	10	-
HSCEI (Net Total Return Index) Futures <sup>4</sup>	10	-
Flexible Hang Seng Index Options	0	0
Flexible Hang Seng China Enterprises Index Options	0	1,250
HSI Dividend Point Index Futures	3,310	3,199
HSCEI Dividend Point Index Futures	133,285	51,301
HSI Volatility Index Futures	16	0
MSCI AC Asia ex Japan NTR Index Futures <sup>5</sup>	62,795	14
CES China 120 Index Futures	10	50
CES Gaming Top 10 Index Futures	6	12
Hang Seng Mainland Oil & Gas Index Futures	10,754	9,604
Hang Seng Mainland Banks Index Futures	5,870	6,688
Hang Seng Mainland Healthcare Index Futures	0	22
Hang Seng Mainland Properties Index Futures	5,024	6,960
Hang Seng IT Hardware Index Futures	0	51
Hang Seng Software & Services Index Futures	6	11
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures <sup>6</sup>	-	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	269,826	121,288
Stock Options	28,792,732	28,891,853
Three-month HIBOR Futures	5	360
One-month HIBOR Futures	22	81
RMB Currency Futures – USD/CNH Futures	528,249	384,483
RMB Currency Options – USD/CNH Options	4,727	2,396
RMB Currency Futures – EUR/CNH Futures	5,327	663
RMB Currency Futures – JPY/CNH Futures	2,539	400
RMB Currency Futures – AUD/CNH Futures	4,306	208
RMB Currency Futures – CNH/USD Futures	2,817	1,562
USD Gold Futures	128,869	43,312
CNH Gold Futures	29,152	5,035
Iron Ore Futures – Monthly Contracts	7,497	964
Iron Ore Futures – Quarterly Contracts	0	0
London Aluminium Mini Futures	4	467
London Zinc Mini Futures	70	48
London Copper Mini Futures	0	27
London Lead Mini Futures	0	0
London Nickel Mini Futures	8	49
London Tin Mini Futures	0	0
<b>Total</b>	<b>69,188,339</b>	<b>67,362,789</b>

<sup>1</sup> Trading in HSI (Gross Total Return Index) Futures commenced on 05 Nov 2018

<sup>2</sup> Trading in HSI (Net Total Return Index) Futures commenced on 05 Nov 2018

<sup>3</sup> Trading in HSCEI (Gross Total Return Index) Futures commenced on 05 Nov 2018

<sup>4</sup> Trading in HSCEI (Net Total Return Index) Futures commenced on 05 Nov 2018

<sup>5</sup> Trading in MSCI AC Asia ex Japan NTR Index Futures commenced on 11 Jun 2018

<sup>6</sup> Trading in S&P BSE Sensex Index Futures suspended with effect from 27 Jul 2018

## DERIVATIVES MARKET – FUTURES AND OPTIONS

### Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2018	Q2	14,140,082	123,361
	Q3	14,812,594	118,778
	Q4	16,204,377	133,924
2019	Q1	13,320,506	143,478
	Q2	13,860,691	132,110

### Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2018	Q2	5,419,705	11,557
	Q3	5,956,980	14,116
	Q4	7,148,037	12,587
2019	Q1	5,641,143	15,429
	Q2	5,779,500	12,239

### Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2018	Q2	2,775,119	343,600
	Q3	2,957,776	334,605
	Q4	3,392,369	279,856
2019	Q1	2,978,149	259,679
	Q2	3,115,323	260,915

### Hang Seng China Enterprises Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2018	Q2	8,407,737	355,581
	Q3	9,481,964	382,593
	Q4	10,296,311	428,692
2019	Q1	8,891,576	383,144
	Q2	8,895,438	330,487

### Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2018	Q2	20,957.48	28,891,853	9,255,436
	Q3	21,588.94	28,766,745	8,465,878
	Q4	26,126.32	29,028,271	7,213,486
2019	Q1	24,070.13	31,683,747	8,579,783
	Q2	22,663.96	28,792,732	7,893,237



# DERIVATIVES MARKET – FUTURES AND OPTIONS

## Stock Options Premium by Class

for 2nd Quarter 2019

Options class		Premium (HK\$ mil.)	% of total
ISHARES A50	A50	313.22	1.38
AAC TECH	AAC	242.15	1.07
CONCH CEMENT	ACC	93.21	0.41
AIA	AIA	890.89	3.93
AIR CHINA	AIR	9.87	0.04
CHALCO	ALC	9.11	0.04
CAM CSI300	AMC	539.62	2.38
BANKCOMM	BCM	12.59	0.06
BANK OF E ASIA	BEA	2.39	0.01
BOC HONG KONG	BOC	101.38	0.45
BYD COMPANY	BYD	84.51	0.37
CHINA COMM CONS	CCC	19.35	0.09
CHINA COAL	CCE	1.77	0.01
COSCO SHIP HOLD	CCS	0.00	0.00
CHINA CINDA	CDA	5.83	0.03
CGN POWER	CGN	0.90	0.00
CHINA MOBILE	CHT	460.19	2.03
CHINA UNICOM	CHU	52.30	0.23
CITIC	CIT	11.97	0.05
CKH HOLDINGS	CKH	110.77	0.49
CK ASSET	CKP	84.66	0.37
CHINA LIFE	CLI	379.23	1.67
CLP HOLDINGS	CLP	35.57	0.16
CM BANK	CMB	163.80	0.72
CNOOC	CNC	192.97	0.85
COUNTRY GARDEN	COG	140.52	0.62
CHINA OVERSEAS	COL	91.10	0.40
CATHAY PAC AIR	CPA	1.14	0.01
SINOPEC CORP	CPC	182.92	0.81
CPIC	CPI	189.72	0.84
CHINA RAIL CONS	CRC	9.49	0.04
CHINA RAILWAY	CRG	16.77	0.07
CHINA RES LAND	CRL	110.26	0.49
CSOP A50 ETF	CSA	484.75	2.14
CHINA SHENHUA	CSE	71.44	0.32
CSPC PHARMA	CSP	78.26	0.35
CITIC BANK	CTB	8.20	0.04
CHINA TELECOM	CTC	9.47	0.04
CITIC SEC	CTS	124.31	0.55
DONGFENG GROUP	DFM	6.40	0.03
EVERGRANDE	EVG	97.24	0.43
GAC GROUP	GAC	20.84	0.09
GEELY AUTO	GAH	458.00	2.02
GALAXY ENT	GLX	297.72	1.31
GREATWALL MOTOR	GWM	35.93	0.16
HAITONG SEC	HAI	41.63	0.18
HSCEI ETF	HCF	41.62	0.18
POWER ASSETS	HEH	16.01	0.07
HKEX	HEX	1,091.81	4.82
HENGAN INT'L	HGN	44.65	0.20
HSBC HOLDINGS	HKB	505.52	2.23
HK & CHINA GAS	HKG	33.43	0.15
HENDERSON LAND	HLD	59.51	0.26
HUANENG POWER	HNP	3.97	0.02
HANG SENG BANK	HSB	43.14	0.19
JIANGXI COPPER	JXC	14.72	0.06
KUNLUN ENERGY	KLE	9.80	0.04
KINGSOFT	KSO	127.54	0.56
LENOVO GROUP	LEN	60.50	0.27

## DERIVATIVES MARKET – FUTURES AND OPTIONS

Options class		Premium (HK\$ mil.)	% of total
LI & FUNG	LIF	1.18	0.01
LINK REIT	LNK	144.66	0.64
MENGNU DAIRY	MEN	26.85	0.12
MEITUAN-W	MET	117.49	0.52
MGM CHINA	MGM	3.54	0.02
XIAOMI-W	MIU	186.76	0.82
MINSHENG BANK	MSB	31.34	0.14
MTR CORPORATION	MTR	20.63	0.09
CNBM	NBM	31.85	0.14
NCI	NCL	139.18	0.61
NEW WORLD DEV	NWD	24.74	0.11
PING AN	PAI	4,320.29	19.06
PETROCHINA	PEC	88.15	0.39
PICC P&C	PIC	47.56	0.21
PICC GROUP	PIN	12.37	0.05
GCL-POLY ENERGY	PLE	0.00	0.00
R&F PROPERTIES	RFP	1.84	0.01
SANDS CHINA LTD	SAN	127.36	0.56
SHK PPT	SHK	564.32	2.49
SUNNY OPTICAL	SNO	222.06	0.98
SINOPHARM	SNP	8.59	0.04
STANCHART	STC	2.08	0.01
SUNAC	SUN	199.36	0.88
SWIRE PACIFIC A	SWA	21.33	0.09
TENCENT	TCH	6,495.63	28.66
TRACKER FUND	TRF	3.98	0.02
CHINA VANKE	VNK	17.52	0.08
WHARF HOLDINGS	WHL	2.69	0.01
WANT WANT CHINA	WWC	4.11	0.02
ABC	XAB	89.52	0.39
BANK OF CHINA	XBC	187.67	0.83
CCB	XCC	566.10	2.50
ICBC	XIC	271.49	1.20
PSBC	XPB	6.96	0.03
CHINA TOWER	XTW	305.13	1.35
YANZHOU COAL	YZC	20.05	0.09
ZIJIN MINING	ZJM	3.05	0.01
<b>Total</b>		<b>22,663.96</b>	<b>100.00</b>

# DERIVATIVES MARKET – FUTURES AND OPTIONS

## Stock Options Contract Volume by Class

for 2nd Quarter 2019

Options class		Contract volume	% of total
ISHARES A50	A50	196,474	0.68
AAC TECH	AAC	151,287	0.53
CONCH CEMENT	ACC	175,547	0.61
AIA	AIA	592,706	2.06
AIR CHINA	AIR	12,821	0.04
CHALCO	ALC	27,639	0.10
CAM CSI300	AMC	283,298	0.98
BANKCOMM	BCM	96,504	0.34
BANK OF E ASIA	BEA	28,263	0.10
BOC HONG KONG	BOC	296,948	1.03
BYD COMPANY	BYD	140,833	0.49
CHINA COMM CONS	CCC	106,798	0.37
CHINA COAL	CCE	26,181	0.09
COSCO SHIP HOLD	CCS	13	0.00
CHINA CINDA	CDA	11,334	0.04
CGN POWER	CGN	1,596	0.01
CHINA MOBILE	CHT	675,508	2.35
CHINA UNICOM	CHU	106,335	0.37
CITIC	CIT	40,294	0.14
CKH HOLDINGS	CKH	163,003	0.57
CK ASSET	CKP	78,408	0.27
CHINA LIFE	CLI	622,998	2.16
CLP HOLDINGS	CLP	77,136	0.27
CM BANK	CMB	373,213	1.30
CNOOC	CNC	475,916	1.65
COUNTRY GARDEN	COG	78,777	0.27
CHINA OVERSEAS	COL	99,071	0.34
CATHAY PAC AIR	CPA	4,095	0.01
SINOPEC CORP	CPC	416,834	1.45
CPIC	CPI	285,542	0.99
CHINA RAIL CONS	CRC	76,868	0.27
CHINA RAILWAY	CRG	86,032	0.30
CHINA RES LAND	CRL	73,401	0.25
CSOP A50 ETF	CSA	298,671	1.04
CHINA SHENHUA	CSE	330,458	1.15
CSPC PHARMA	CSP	89,936	0.31
CITIC BANK	CTB	68,030	0.24
CHINA TELECOM	CTC	47,991	0.17
CITIC SEC	CTS	269,914	0.94
DONGFENG GROUP	DFM	14,397	0.05
EVERGRANDE	EVG	67,128	0.23
GAC GROUP	GAC	18,881	0.07
GEELY AUTO	GAH	184,089	0.64
GALAXY ENT	GLX	217,579	0.76
GREATWALL MOTOR	GWM	313,186	1.09
HAITONG SEC	HAI	75,261	0.26
HSCEI ETF	HCF	21,917	0.08
POWER ASSETS	HEH	49,666	0.17
HKEX	HEX	1,460,460	5.07
HENGAN INT'L	HGN	49,641	0.17
HSBC HOLDINGS	HKB	918,079	3.19
HK & CHINA GAS	HKG	92,954	0.32
HENDERSON LAND	HLD	73,921	0.26
HUANENG POWER	HNP	14,451	0.05
HANG SENG BANK	HSB	178,556	0.62
JIANGXI COPPER	JXC	60,173	0.21
KUNLUN ENERGY	KLE	27,482	0.10
KINGSOFT	KSO	177,076	0.62
LENOVO GROUP	LEN	146,421	0.51

## DERIVATIVES MARKET – FUTURES AND OPTIONS

Options class		Contract volume	% of total
LI & FUNG	LIF	6,917	0.02
LINK REIT	LNK	99,971	0.35
MENGNIU DAIRY	MEN	53,947	0.19
MEITUAN-W	MET	176,850	0.61
MGM CHINA	MGM	32,979	0.11
XIAOMI-W	MIU	407,894	1.42
MINSHENG BANK	MSB	69,538	0.24
MTR CORPORATION	MTR	66,352	0.23
CNBM	NBM	66,727	0.23
NCI	NCL	139,753	0.49
NEW WORLD DEV	NWD	71,684	0.25
PING AN	PAI	2,507,028	8.71
PETROCHINA	PEC	297,707	1.03
PICC P&C	PIC	133,934	0.47
PICC GROUP	PIN	26,369	0.09
GCL-POLY ENERGY	PLE	0	0.00
R&F PROPERTIES	RFP	18,765	0.07
SANDS CHINA LTD	SAN	327,679	1.14
SHK PPT	SHK	271,017	0.94
SUNNY OPTICAL	SNO	96,430	0.33
SINOPHARM	SNP	21,006	0.07
STANCHART	STC	37,168	0.13
SUNAC	SUN	89,559	0.31
SWIRE PACIFIC A	SWA	23,660	0.08
TENCENT	TCH	4,619,730	16.04
TRACKER FUND	TRF	15,218	0.05
CHINA VANKE	VNK	28,632	0.10
WHARF HOLDINGS	WHL	6,517	0.02
WANT WANT CHINA	WWC	17,750	0.06
ABC	XAB	118,903	0.41
BANK OF CHINA	XBC	1,753,630	6.09
CCB	XCC	3,596,961	12.49
ICBC	XIC	1,815,336	6.30
PSBC	XPB	14,379	0.05
CHINA TOWER	XTW	559,621	1.94
YANZHOU COAL	YZC	36,266	0.13
ZIJIN MINING	ZJM	18,864	0.07
<b>Total</b>		<b>28,792,732</b>	<b>100.00</b>

## CLEARING & SETTLEMENT

### Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2018	Q2	104,188	50.80	291.72
	Q3	95,037	47.84	246.88
	Q4	98,625	47.19	225.63
2019	Q1	101,072	47.72	234.62
	Q2	99,874	51.17	272.38

### Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2018	Q2	303	352.59	190.54
	Q3	261	42.51	179.44
	Q4	214	53.95	187.92
2019	Q1	251	87.13	109.56
	Q2	247	344.24	167.32

### Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2018	Q2	6,663.56	76.69	21,197.14	59.56
	Q3	6,587.30	75.87	20,139.79	58.33
	Q4	6,644.42	76.15	18,815.11	58.78
2019	Q1	6,712.97	75.97	21,734.35	60.09
	Q2	6,634.14	75.28	21,146.81	60.29

Share quantity and market value of securities in non-HKD currency are included

## CLEARING & SETTLEMENT

### Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2018	Q2	1,633,677	100	203,686.61	100	106,620.31	100
	Q3	1,491,219	100	203,640.60	100	91,633.38	100
	Q4	1,444,003	100	244,966.47	100	85,400.12	100
2019	Q1	1,644,627	100	340,802.33	100	100,674.63	100
	Q2	1,559,363	100	250,956.59	100	94,382.51	100

### Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2018	Q2	90,439	97.07	29,533.08	92.53
	Q3	80,694	97.14	26,012.54	93.56
	Q4	80,124	97.02	27,640.56	94.02
2019	Q1	89,630	97.13	30,306.66	95.46
	Q2	82,910	97.18	27,311.43	94.52

### Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2018	Q2	99.87	99.99
	Q3	99.89	99.99
	Q4	99.92	99.99
2019	Q1	99.92	99.99
	Q2	99.92	99.99

# CLEARING & SETTLEMENT

## Open Interest by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2019	2nd Quarter 2018
Hang Seng Index Futures	132,110	123,361
Hang Seng Index Options	260,915	343,600
Mini-Hang Seng Index Futures	12,239	11,557
Mini-Hang Seng Index Options	19,892	12,700
HSI (Gross Total Return Index) Futures <sup>1</sup>	9	-
HSI (Net Total Return Index) Futures <sup>2</sup>	2	-
Hang Seng China Enterprises Index Futures	330,487	355,581
Hang Seng China Enterprises Index Options	2,592,652	2,790,831
Mini-Hang Seng China Enterprises Index Futures	5,037	6,953
Mini-Hang Seng China Enterprises Index Options	10,176	10,052
HSCEI (Gross Total Return Index) Futures <sup>3</sup>	0	-
HSCEI (Net Total Return Index) Futures <sup>4</sup>	0	-
Flexible Hang Seng Index Options	0	0
Flexible Hang Seng China Enterprises Index Options	0	0
HSI Dividend Point Index Futures	5,783	12,025
HSCEI Dividend Point Index Futures	121,605	102,890
HSI Volatility Index Futures	1	0
MSCI AC Asia ex Japan NTR Index Futures <sup>5</sup>	14,871	0
CES China 120 Index Futures	0	11
CES Gaming Top 10 Index Futures	0	0
Hang Seng Mainland Oil & Gas Index Futures	133	47
Hang Seng Mainland Banks Index Futures	143	84
Hang Seng Mainland Healthcare Index Futures	0	5
Hang Seng Mainland Properties Index Futures	56	29
Hang Seng IT Hardware Index Futures	0	0
Hang Seng Software & Services Index Futures	0	0
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures <sup>6</sup>	-	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	16,125	16,417
Stock Options	7,893,237	9,255,436
Three-month HIBOR Futures	25	400
One-month HIBOR Futures	0	56
RMB Currency Futures – USD/CNH Futures	31,732	26,850
RMB Currency Options – USD/CNH Options	2,990	3,973
RMB Currency Futures – EUR/CNH Futures	477	300
RMB Currency Futures – JPY/CNH Futures	120	270
RMB Currency Futures – AUD/CNH Futures	165	25
RMB Currency Futures – CNH/USD Futures	1,096	716
USD Gold Futures	1,028	146
CNH Gold Futures	14	62
Iron Ore Futures – Monthly Contracts	556	39
Iron Ore Futures – Quarterly Contracts	0	15
London Aluminium Mini Futures	0	1
London Zinc Mini Futures	1	6
London Copper Mini Futures	0	0
London Lead Mini Futures	0	0
London Nickel Mini Futures	0	0
London Tin Mini Futures	0	0
<b>Total</b>	<b>11,453,677</b>	<b>13,074,438</b>

<sup>1</sup> Trading in HSI (Gross Total Return Index) Futures commenced on 05 Nov 2018

<sup>2</sup> Trading in HSI (Net Total Return Index) Futures commenced on 05 Nov 2018

<sup>3</sup> Trading in HSCEI (Gross Total Return Index) Futures commenced on 05 Nov 2018

<sup>4</sup> Trading in HSCEI (Net Total Return Index) Futures commenced on 05 Nov 2018

<sup>5</sup> Trading in MSCI AC Asia ex Japan NTR Index Futures commenced on 11 Jun 2018

<sup>6</sup> Trading in S&P BSE Sensex Index Futures suspended with effect from 27 Jul 2018

# CLEARING & SETTLEMENT

## Stock Options Open Interest by Class

as at the end of 2nd Quarter 2019

Options class		Open interest (contracts)	% of total
ISHARES A50	A50	35,836	0.45
AAC TECH	AAC	19,289	0.24
CONCH CEMENT	ACC	24,561	0.31
AIA	AIA	105,944	1.34
AIR CHINA	AIR	2,384	0.03
CHALCO	ALC	26,225	0.33
CAM CSI300	AMC	54,285	0.69
BANKCOMM	BCM	27,402	0.35
BANK OF E ASIA	BEA	9,155	0.12
BOC HONG KONG	BOC	53,901	0.68
BYD COMPANY	BYD	24,090	0.31
CHINA COMM CONS	CCC	23,596	0.30
CHINA COAL	CCE	6,253	0.08
COSCO SHIP HOLD	CCS	3	0.00
CHINA CINDA	CDA	4,427	0.06
CGN POWER	CGN	873	0.01
CHINA MOBILE	CHT	155,161	1.97
CHINA UNICOM	CHU	40,064	0.51
CITIC	CIT	14,201	0.18
CKH HOLDINGS	CKH	35,062	0.44
CK ASSET	CKP	15,336	0.19
CHINA LIFE	CLI	186,183	2.36
CLP HOLDINGS	CLP	17,068	0.22
CM BANK	CMB	90,025	1.14
CNOOC	CNC	135,731	1.72
COUNTRY GARDEN	COG	17,070	0.22
CHINA OVERSEAS	COL	15,341	0.19
CATHAY PAC AIR	CPA	506	0.01
SINOPEC CORP	CPC	261,610	3.31
CPIC	CPI	62,124	0.79
CHINA RAIL CONS	CRC	7,869	0.10
CHINA RAILWAY	CRG	24,681	0.31
CHINA RES LAND	CRL	13,571	0.17
CSOP A50 ETF	CSA	65,528	0.83
CHINA SHENHUA	CSE	58,759	0.74
CSPC PHARMA	CSP	14,038	0.18
CITIC BANK	CTB	25,432	0.32
CHINA TELECOM	CTC	17,629	0.22
CITIC SEC	CTS	47,129	0.60
DONGFENG GROUP	DFM	4,001	0.05
EVERGRANDE	EVG	22,976	0.29
GAC GROUP	GAC	3,470	0.04
GEELY AUTO	GAH	28,272	0.36



## CLEARING & SETTLEMENT

Options class		Open interest (contracts)	% of total
GALAXY ENT	GLX	29,332	0.37
GREATWALL MOTOR	GWM	71,955	0.91
HAITONG SEC	HAI	24,268	0.31
HSCEI ETF	HCF	8,114	0.10
POWER ASSETS	HEH	9,861	0.12
HKEX	HEX	256,457	3.25
HENGAN INT'L	HGN	9,078	0.12
HSBC HOLDINGS	HKB	366,706	4.65
HK & CHINA GAS	HKG	28,572	0.36
HENDERSON LAND	HLD	12,934	0.16
HUANENG POWER	HNP	4,489	0.06
HANG SENG BANK	HSB	33,565	0.43
JIANGXI COPPER	JXC	16,506	0.21
KUNLUN ENERGY	KLE	4,519	0.06
KINGSOFT	KSO	28,109	0.36
LENOVO GROUP	LEN	45,605	0.58
LI & FUNG	LIF	2,045	0.03
LINK REIT	LNK	18,101	0.23
MENGNIU DAIRY	MEN	6,961	0.09
MEITUAN-W	MET	46,306	0.59
MGM CHINA	MGM	6,278	0.08
XIAOMI-W	MIU	116,111	1.47
MINSHENG BANK	MSB	24,413	0.31
MTR CORPORATION	MTR	12,750	0.16
CNBM	NBM	11,946	0.15
NCI	NCL	16,528	0.21
NEW WORLD DEV	NWD	12,423	0.16
PING AN	PAI	809,797	10.26
PETROCHINA	PEC	150,054	1.90
PICC P&C	PIC	18,478	0.23
PICC GROUP	PIN	4,164	0.05
GCL-POLY ENERGY	PLE	0	0.00
R&F PROPERTIES	RFP	2,627	0.03
SANDS CHINA LTD	SAN	61,662	0.78
SHK PPT	SHK	25,437	0.32
SUNNY OPTICAL	SNO	15,109	0.19
SINOPHARM	SNP	4,949	0.06
STANCHART	STC	3,494	0.04
SUNAC	SUN	18,237	0.23
SWIRE PACIFIC A	SWA	3,419	0.04
TENCENT	TCH	1,015,782	12.87
TRACKER FUND	TRF	2,211	0.03
CHINA VANKE	VNK	7,469	0.09
WHARF HOLDINGS	WHL	1,681	0.02

## CLEARING & SETTLEMENT

Options class		Open interest (contracts)	% of total
WANT WANT CHINA	WWC	6,289	0.08
ABC	XAB	37,609	0.48
BANK OF CHINA	XBC	927,475	11.75
CCB	XCC	1,032,132	13.08
ICBC	XIC	618,697	7.84
PSBC	XPB	4,922	0.06
CHINA TOWER	XTW	90,093	1.14
YANZHOU COAL	YZC	5,285	0.07
ZIJIN MINING	ZJM	5,172	0.07
<b>Total</b>		<b>7,893,237</b>	<b>100.00</b>

# EXCHANGE PARTICIPANTS STATISTICS

## Stock Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2018	Q2	680 (1077)	635 (1026)	30 (36)	15 (15)
	Q3	685 (1082)	639 (1029)	31 (38)	15 (15)
	Q4	688 (1084)	640 (1028)	33 (41)	15 (15)
2019	Q1	695 (1090)	646 (1034)	34 (41)	15 (15)
	Q2	706 (1101)	657 (1045)	34 (41)	15 (15)

( ) No. of trading rights

## Futures Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2018	Q2	246 (268)	192 (212)	-	54 (56)
	Q3	249 (271)	194 (214)	-	55 (57)
	Q4	248 (270)	194 (214)	-	54 (56)
2019	Q1	250 (272)	195 (215)	-	55 (57)
	Q2	250 (272)	194 (214)	-	56 (58)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

# CLEARING PARTICIPANTS STATISTICS

## HKCC Participants

Year/month		Clearing Participants	General Clearing Participants
2018	Q2	160	9
	Q3	162	9
	Q4	162	9
2019	Q1	163	9
	Q2	160	9

## SEOCH Participants

Year/month		Direct Clearing Participants	General Clearing Participants
2018	Q2	63	9
	Q3	63	9
	Q4	63	9
2019	Q1	64	9
	Q2	62	9

## HKSCC Participants

Year/month		Direct Clearing Participants	General Clearing Participants	Non-broker Participants *
2018	Q2	608	9	43
	Q3	614	9	44
	Q4	616	9	44
2019	Q1	624	9	44
	Q2	633	9	44

\* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

# ACRONYMS

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CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEX	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor Settlement Instruction
L&I Products	Leveraged and Inverse Products
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/Earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement Instruction
SS	Stapled Securities