

Market Highlights

Main Board Stock Market Highlights

Highlights	3rd Quarter 2002	3rd Quarter 2001
No. of listed companies	799	748
No. of listed securities	1,446	1,207
Equities		
Ordinary shares	800	749
Preference shares	4	5
Warrants	446	224
Equity	71	82
Derivative	375	142
Equity linked instrument	13	n.a.
Unit trusts/mutual funds	11	21
Debt securities	172	208
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	65	62
Total turnover in value (HK\$ mil.)	373,963.16	411,809.38
Total turnover in shares (Mil. shs)	386,316.42	313,863.39
Total market capitalisation (HK\$ mil.)	3,327,120.51	3,373,477.56
Average P/E ratio (times)	13.07	10.96
Average dividend yield (%)	3.67	3.41
Number of exchange participants		
– Trading	476	498
– Non-trading	32	13
Turnover in value (HK\$ mil.)		
Highest	10,631.19 (25/07)	12,169.79 (12/09)
Lowest	3,337.04 (26/08)	4,237.04 (13/08)
Turnover in shares (Mil. shs)		
Highest	14,171.22 (10/07)	8,340.60 (05/07)
Lowest	3,646.64 (12/08)	3,380.28 (13/08)

Market Highlights

Market Highlights – Growth Enterprise Market (GEM)

Highlights	3rd Quarter 2002	3rd Quarter 2001
No. of listed companies	153	89
No. of listed securities	157	91
Equities	153	89
Warrants	4	2
No. of trading days	65	62
Total turnover in value (HK\$ mil.)	10,030.77	4,638.29
Total turnover in shares (Mil. shs)	13,380.01	5,635.35
Total market capitalisation (HK\$ mil.)	53,398.08	46,350.22
Average P/E ratio (times)	21.79	26.05
Average dividend yield (%)	0.99	0.90
Turnover in value (HK\$ mil.)		
Highest	1,563.26 (16/07)	285.40 (13/07)
Lowest	47.65 (30/09)	21.89 (27/09)
Turnover in shares (Mil. shs)		
Highest	873.45 (16/07)	227.68 (17/07)
Lowest	85.51 (30/09)	32.61 (27/09)

Market Highlights

Derivatives Market Highlights

Highlights	3rd Quarter 2002	3rd Quarter 2001
All futures and options products (excluding stock options)		
No. of trading days	64.5	62.0
Total contract volume	2,010,192	1,753,567
Equity index products	1,979,292	1,559,280
Equity products	4,828	1,708
Interest rates products	26,072	191,787
Currency products	–	792
Average daily contract volume ¹	31,166	28,283
Total open interest as at the quarter end (contracts)	116,845	137,556
Equity index products	102,374	69,682
Equity products	497	307
Interest rates products	13,974	67,180
Currency products	–	387
Stock options		
No. of newly introduced stock options classes	3	11
No. of stock options as at the quarter end		
Class	33	31
Series	2,504	2,932
Total premium (HK\$ mil.)	1,689.88	1,261.75
Call	567.29	411.31
Put	1,122.58	850.44
Average daily premium (HK\$ mil.)	26.20	20.35
Total contract volume	1,111,055	874,879
Call	522,661	388,497
Put	588,394	486,382
Average daily contract volume	17,226	14,111
Total open interest as at the quarter end (contracts)	430,494	374,842
Call	251,355	208,470
Put	179,139	166,372
Total number of trades	27,820	22,585
Average daily number of trades	431	364
Total contracts exercised	151,103	140,532
Average premium per contract (HK\$)	1,521	1,442
Average contract per trade (contracts)	40	39
Put volume/call volume	1.13	1.25
Average daily contract volume/open interest	4.0%	3.8%
Options volume (shares)/underlying stock volume	4.4%	3.6%
All futures and options products		
Total contract volume	3,121,247	2,628,446
Total open interest as at the quarter end (contracts)	547,339	512,398

¹ Average daily contract volume is calculated based on the number of trading days of the Hong Kong Market.

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2001	Q3	222,326.99	379,177.03	3,832,468
	Q4	290,363.15	404,930.07	4,630,916
2002	Q1	268,156.70	382,384.11	4,211,895
	Q2	429,385.73	438,842.15	4,739,902
	Q3	223,310.48	336,667.98	3,469,647

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2001	Q3	62	6,115.76	98,938
	Q4	61	6,638.20	87,441
2002	Q1	59	6,481.09	90,787
	Q2	61	7,194.13	92,585
	Q3	65	5,179.51	97,032

Main Board Equities

20 Most Advanced Stocks

for 3rd quarter 2002

Rank	Code	Stock	Closing price		% Up
			End of Sep 2002	End of Jun 2002	
1	00970	GLOBAL FOOD	1.380	0.420	228.57
2	00076	SEN HONG RES	0.630	0.260	142.31
3	00412	B-TECH (HOLD)	0.204	0.090	126.67
4	00204	EVEREST INT'L	0.200	0.090	122.22
5	00196	GRAND HOTEL 'B'	0.179	0.089	101.12
6	00195	GRAND HOTEL 'A'	1.800	0.930	93.55
7	00234	NEW CENTURY GP	0.550	0.320	71.88
8	00641	FONG'S IND	1.900	1.230	54.47
9	00211	STYLAND HOLD	0.062	0.041	51.22
10	00745	RYODEN DEV	0.770	0.520	48.08
11	00241	21 CN CYBERNET	0.231	0.160	44.38
12	00127	CHINESE EST H	0.860	0.630	36.51
13	00043	C.P. POKPHAND	0.295	0.220	34.09
14	00611	TACK HSIN HOLD	2.025	1.520	33.22
15	00260	MILLENNIUM GP	0.107	0.081	32.10
16	00111	HANTEC INV HOLD	0.660	0.510	29.41
17	00289	WING ON CO	4.000	3.100	29.03
18	00698	TONGDA GROUP	0.050	0.039	28.21
19	00943	EFORCE HOLDINGS	0.180	0.144	25.00
20	00746	L & M HANDBAG	0.600	0.480	25.00

20 Most Declined Stocks

for 3rd quarter 2002

Rank	Code	Stock	Closing price		% Down
			End of Sep 2002	End of Jun 2002	
1	00959	A-MAX	0.024	0.320	A -92.50
2	00491	TERABIT ACCESS	0.016	0.186	-91.40
3	00159	WAH NAM INT'L	0.104	1.200	A -91.33
4	00585	BOTO INT'L HOLD	0.036	0.320	-88.75
5	00254	ECYBERCHINA	0.018	0.153	-88.24
6	00327	SHANXI PHARMA	0.037	0.295	-87.46
7	00932	EURO-ASIA AGRIC	0.380	2.350	-83.83
8	01189	ANANDA WING ON	0.011	0.058	-81.03
9	00501	RNA HOLDINGS	0.010	0.051	-80.39
10	00109	DIGITAL WORLD	0.165	0.800	A -79.38
11	00059	RENREN HOLDINGS	0.052	0.250	A -79.20
12	00969	HUA LIEN INT'L	0.440	2.100	-79.05
13	01166	SOLARTECH INT'L	0.241	1.000	A -75.90
14	01051	DNA SECURITY	0.030	0.119	-74.79
15	00286	G-PROP (HOLD)	0.010	0.039	-74.36
16	00965	YUE FUNG INT'L	1.600	6.000	A -73.33
17	01049	CELESTIAL ASIA	0.500	1.800	-72.22
18	00329	GOLDEN DRAGON	0.130	0.460	-71.74
19	00417	TSE SUI LUEN	0.070	0.240	-70.83
20	00679	ASIA TELE-NET	0.010	0.034	-70.59
	00432	COMPANION BUILD	0.010	0.034	-70.59

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 3rd quarter 2002

Rank	Code	Stock	Turnover (HK\$ mil.)	% of Total
1	00005	HSBC HOLDINGS	58,118.53	17.26
2	00941	CHINA MOBILE	28,200.34	8.38
3	00013	HUTCHISON	21,858.94	6.49
4	00001	CHEUNG KONG	18,835.25	5.59
5	00016	SHK PPT	17,969.92	5.34
6	02388	BOC HONG KONG	9,666.21	2.87
7	00011	HANG SENG BANK	9,557.93	2.84
8	00002	CLP HOLDINGS	7,891.76	2.34
9	00012	HENDERSON LAND	6,657.36	1.98
10	00883	CNOOC	6,465.53	1.92
11	00019	SWIRE PACIFIC 'A'	5,947.55	1.77
12	00006	HK ELECTRIC	5,693.39	1.69
13	00003	HK & CHINA GAS	4,866.99	1.45
14	00762	CHINA UNICOM	4,666.02	1.39
15	00857	PETROCHINA - H SHARES	4,352.66	1.29
16	00293	CATHAY PAC AIR	3,807.12	1.13
17	00494	LI & FUNG	3,755.32	1.12
18	00179	JOHNSON ELEC H	3,490.08	1.04
19	00008	PCCW	3,434.43	1.02
20	00902	HUANENG POWER - H SHARES	3,259.90	0.97
Total			228,495.23	67.87

20 Most Active Stock by Shares

for 3rd quarter 2002

Rank	Code	Stock	Turnover (Mil. shs)	% of Total
1	00501	RNA HOLDINGS	19,985.52	8.95
2	00491	TERABIT ACCESS	11,063.50	4.95
3	00603	CHINA CITY GAS	9,661.47	4.33
4	00897	WAI YUEN TONG	8,026.60	3.59
5	01189	ANANDA WING ON	7,861.31	3.52
6	01215	GUO XIN GROUP	7,076.78	3.17
7	00327	SHANXI PHARMA	5,596.07	2.51
8	00476	CHINA ELEGANCE	5,000.62	2.24
9	00231	DYNAMIC GLOBAL	4,143.57	1.86
10	00673	TECHCAP HOLDING	3,565.24	1.60
11	00164	PREMIUM LAND	2,804.91	1.26
12	00857	PETROCHINA - H SHARES	2,697.30	1.21
13	00307	SUN MEDIA GROUP	2,441.87	1.09
14	00875	FIRST DRAGONCOM	2,374.36	1.06
15	00008	PCCW	2,359.77	1.06
16	01222	WANG ON GROUP	2,322.23	1.04
17	00932	EURO-ASIA AGRIC	2,285.77	1.02
18	00735	QUALITY FOOD	2,107.90	0.94
19	00070	MASSIVE RES	2,087.97	0.94
20	00689	GREATWALL CYBER	2,086.92	0.93
Total			105,549.68	47.27

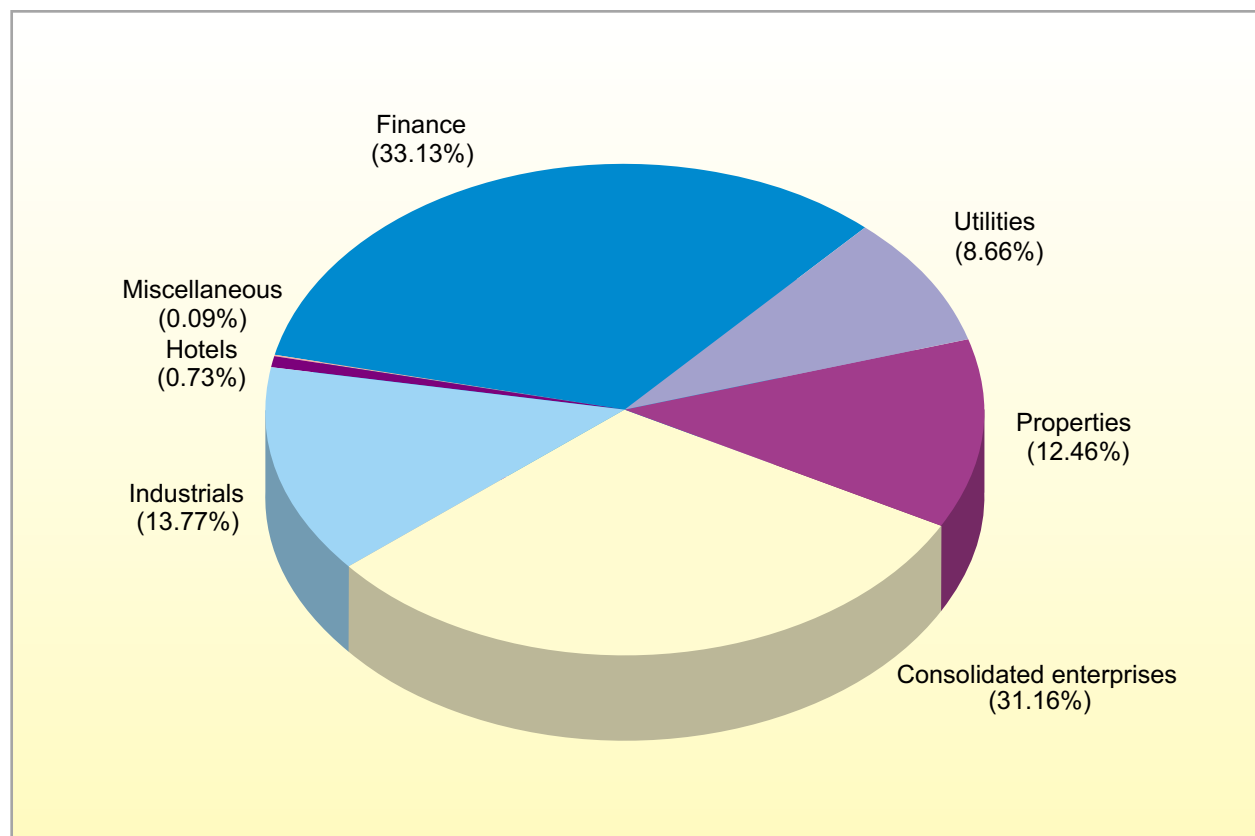
Main Board Equities

Market Capitalisation of Domestic Companies

		HK\$ mil.
2001	Q3	3,373,477.56
	Q4	3,885,342.08
2002	Q1	3,855,291.10
	Q2	3,791,697.47
	Q3	3,327,120.51

Market Capitalisation by Classifications

Quarter-end figures



Main Board Equities

50 Leading Companies in Market Capitalisation

as at the end of 3rd quarter 2002

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	HSBC Holdings plc	759,502.08	22.83
2	China Mobile (Hong Kong) Ltd.	354,089.77	10.64
3	Hutchison Whampoa Ltd.	192,704.36	5.79
4	Hang Seng Bank Ltd.	156,293.14	4.70
5	Cheung Kong (Holdings) Ltd.	113,955.29	3.43
6	Sun Hung Kai Properties Ltd.	109,961.56	3.31
7	CNOOC Ltd.	89,123.70	2.68
8	BOC Hong Kong (Holdings) Ltd.	82,467.69	2.48
9	CLP Holdings Ltd.	78,027.17	2.35
10	Hongkong Electric Holdings Ltd.	70,430.63	2.12
11	Hong Kong and China Gas Co. Ltd., The	59,469.45	1.79
12	China Unicom Ltd.	58,999.08	1.77
13	MTR Corporation Ltd.	45,580.75	1.37
14	Swire Pacific Ltd.	43,799.87	1.32
15	Henderson Land Development Co. Ltd.	39,609.22	1.19
16	CITIC Pacific Ltd.	36,459.84	1.10
17	Wharf (Holdings) Ltd., The	36,345.03	1.09
18	Cathay Pacific Airways Ltd.	35,185.78	1.06
19	Cheung Kong Infrastructure Holdings Ltd.	29,980.99	0.90
20	Johnson Electric Holdings Ltd.	28,655.55	0.86
21	PetroChina Co. Ltd. – H Shares	27,780.22	0.83
22	PCCW Ltd.	25,605.87	0.77
23	Li & Fung Ltd.	21,386.09	0.64
24	China Petroleum & Chemical Corporation – H Shares	20,975.61	0.63
25	Legend Group Ltd.	20,643.41	0.62
26	Hang Lung Properties Ltd.	20,080.87	0.60
27	Bank of East Asia, Ltd., The	19,724.61	0.59
28	Henderson Investment Ltd.	16,903.96	0.51
29	Yue Yuen Industrial (Holdings) Ltd.	16,130.90	0.48
30	China Resources Enterprise, Ltd.	15,043.18	0.45
31	Kowloon Motor Bus Holdings Ltd., The	14,531.02	0.44
32	Guoco Group Ltd.	14,291.99	0.43
33	Esprit Holdings Ltd.	14,142.75	0.43
34	Tingyi (Cayman Islands) Holdings Corp.	11,876.00	0.36
35	COSCO Pacific Ltd.	11,701.22	0.35
36	China Merchants Holdings (International) Co. Ltd	11,309.02	0.34
37	Shanghai Industrial Holdings Ltd.	11,028.64	0.33
38	Tsim Sha Tsui Properties Ltd.	10,669.67	0.32
39	Television Broadcasts Ltd.	10,512.00	0.32
40	Wheelock and Co. Ltd.	9,854.47	0.30
41	Hong Kong Exchanges and Clearing Ltd.	9,808.72	0.29
42	Shangri-La Asia Ltd.	9,794.09	0.29
43	Huaneng Power International, Inc. – H Shares	9,150.00	0.28
44	Sino Land Co. Ltd.	8,900.34	0.27
45	New World Development Co. Ltd.	8,665.63	0.26
46	Hang Lung Group Ltd.	8,604.78	0.26
47	Dah Sing Financial Holdings Ltd.	8,309.71	0.25
48	Denway Motors Ltd.	8,231.48	0.25
49	Wing Hang Bank, Ltd.	7,483.19	0.22
50	Texwinca Holdings Ltd.	6,949.15	0.21
Total		2,830,729.50	85.08
Market total		3,327,120.51	100.00

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.)	% of equity total
2001	Q3	15,247.90	379,177.03	4.02
	Q4	17,211.80	404,930.07	4.25
2002	Q1	15,978.00	382,384.11	4.18
	Q2	16,369.87	438,842.15	3.73
	Q3	21,936.90	336,667.98	6.52

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs)	% of equity total
2001	Q3	1,379.22	222,326.99	0.62
	Q4	1,740.80	290,363.15	0.60
2002	Q1	1,504.47	268,156.70	0.56
	Q2	1,552.77	429,385.73	0.36
	Q3	1,377.98	223,310.48	0.62

New Listing Companies Statistics

		No. of newly listed companies	Funds raised (HK\$ mil.)
2001	Q3	5	1,024.00
	Q4	11	5,794.86
2002	Q1	18	1,447.59
	Q2	16	2,087.84
	Q3	12	22,617.96

Main Board Equities

Newly Listed Companies

for 3rd quarter 2002

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
01094	Sunny Global Holdings Ltd	2002/07/03	400,000,000	50.00
00628	Teem Foundation Group Ltd	2002/07/24	672,000,000	50.40
02388	BOC Hong Kong (Holdings) Ltd	2002/07/25	10,572,780,266	20,516.42
01211	BYD Co Ltd – H Shares	2002/07/31	149,500,000	1,637.03
00703	Surge Recreation Holdings Ltd	2002/08/15	600,000,000	51.00
01217	Sino Technology Investments Co Ltd	2002/08/28	200,000,000	16.50
00364	Huafeng Environmental Protection Textile Int'l Group Ltd	2002/08/30	640,000,000	80.00
00860	Ming Fung Jewellery Group Ltd	2002/09/03	650,000,000	65.52
02898	Long Far Pharmaceutical Holdings Ltd	2002/09/05	600,000,000	51.00
00912	Suga International Holdings Ltd	2002/09/18	200,000,000	50.00
01226	Friedmann Pacific Greater China Investments Ltd	2002/09/19	80,200,000	28.10
01227	First Asia Capital Investment Ltd	2002/09/27	50,000,000	22.00
Total				22,617.96

Main Board Equities

Company Name Changes

for 3rd quarter 2002

Code	Old name	New name	Effective date	Adoption date
00554	Lee & Man Holdings Ltd	SC Industrial Development Co Ltd	2002/06/14	2002/07/05
00702	GeoMaxima (Hong Kong) Holdings Ltd	GeoMaxima Energy Holdings Ltd	2002/06/25	2002/07/11
00322	Tingyi (Cayman Islands) Holdings Corp #	Tingyi (Cayman Islands) Holdings Corp	2002/07/05	2002/07/12
00635	Playmates Interactive Entertainment Ltd	Playmates Holdings Ltd	2002/06/28	2002/07/15
00384	Hai Xia Holdings Ltd	China Gas Holdings Ltd	2002/07/05	2002/07/18
00231	Fairyoung Holdings Ltd	Dynamic Global Holdings Ltd	2002/07/01	2002/07/19
00370	E-LIFE International Ltd #	E-LIFE International Ltd	2002/07/22	2002/07/22
00493	Capital Automation Holdings Ltd	China Eagle Group Co Ltd	2002/06/20	2002/07/23
00456	New Rank City Development Ltd	New City (Beijing) Development Ltd	2002/06/14	2002/07/26
00175	South China Information and Technology Ltd	Guorun Holdings Ltd	2002/07/02	2002/07/26
00755	Fourseas.com Ltd	Shanghai Century Holdings Ltd	2002/07/22	2002/08/02
00008	Pacific Century CyberWorks Ltd *	PCCW Ltd	2002/08/09	2002/08/14
00397	Ecopro Hi-Tech Holdings Ltd	Starbow Holdings Ltd	2002/08/20	2002/08/29
00067	imGO Ltd	Shanghai Land Holdings Ltd	2002/08/26	2002/08/30
00897	Dailywin Group Ltd	Wai Yuen Tong Medicine Holdings Ltd	2002/08/23	2002/09/03
01202	Chengdu PTIC Telecommunications Cable Co Ltd – H Shares *	Chengdu PUTIAN Telecommunications Cable Co Ltd – H Shares	2002/08/09	2002/09/10
00959	Kessel International Holdings Ltd	A-Max Holdings Ltd	2002/09/04	2002/09/11
00233	Sing Tao Holdings Ltd	Shanghai Ming Yuan Holdings Ltd	2002/09/04	2002/09/17
01105	Global China Technology Group Ltd	Global China Group Holdings Ltd	2002/08/27	2002/09/18
00899	Asia Resources Transportation Holdings Ltd	Asia Resources Holdings Ltd	2002/09/05	2002/09/25

* Change of English name only

Change of Chinese name only

Main Board Equities

Bonus Issues / Bonus Warrants

for 3rd quarter 2002

Code	Company	Particulars	Ex-date
00855	Cedar Base Electronic (Group) Ltd.	Bonus 3 for 1 subscribed offer share	2002/07/15
00265	South China Holdings Ltd	Bonus 1 2003 wt for 5	2002/07/18
00235	China Strategic Holdings Ltd	Bonus 3 2003 wts for 5 subscribed rights shares	2002/08/02
00262	Deson Development International Holdings Ltd	Bonus 1 2004 wt for 5	2002/08/06
00328	Alco Holdings Ltd	Bonus 1 2005 wt for 10	2002/08/15
00335	Upbest Group Ltd.	Bonus 3 for 1	2002/08/15
00592	Bossini International Holdings Ltd	Bonus 1 for 4	2002/08/22
00326	China Star Entertainment Ltd.	Bonus 1 for 1 subscribed rights share	2002/09/03
01174	Pacific Andes International Holdings Ltd	Bonus 1 2004 wt for 5	2002/09/06
00252	Southeast Asia Properties & Finance Ltd	Bonus 1 for 2	2002/09/11
00720	Wo Kee Hong (Holdings) Ltd	Bonus 10 for 1 consolidated share	2002/09/20

Share Split / Consolidation

for 3rd quarter 2002

Code	Company	Particulars	Effective date
00273	China United Holdings Ltd.	Consolidation 50 into 1	2002/07/11
00155	REXCAPITAL International Holdings Ltd.	Consolidation 20 into 1	2002/07/30
00604	Shenzhen Investment Ltd.	Split 1 into 2	2002/07/31
00015	Vantage International (Holdings) Ltd.	Split 1 into 4	2002/08/06
00397	Starbow Holdings Ltd.	Consolidation 20 into 1	2002/08/15
00959	A-Max Holdings Ltd.	Consolidation 8 into 1	2002/08/23
		then split 1 into 7	
01218	Easyknit International Holdings Ltd.	Consolidation 10 into 1	2002/08/23
00296	Emperor (China Concept) Investments Ltd.	Consolidation 25 into 1	2002/09/03
00090	Gold Wo International Holdings Ltd.	Consolidation 20 into 1	2002/09/03
00926	Egana Jewellery & Pearls Ltd.	Consolidation 10 into 1	2002/09/05
00048	EganaGoldpfeil (Holdings) Ltd.	Consolidation 10 into 1	2002/09/05
00389	Moulin International Holdings Ltd.	Consolidation 5 into 1	2002/09/09
00326	China Star Entertainment Ltd.	Consolidation 50 into 1	2002/09/10
00109	Digital World Holdings Ltd.	Consolidation 80 into 1	2002/09/10
01166	Solartech International Holdings Ltd.	Consolidation 100 into 1	2002/09/17
00052	Fairwood Holdings Ltd.	Consolidation 10 into 1	2002/09/19
00720	Wo Kee Hong (Holdings) Ltd.	Consolidation 10 into 1	2002/09/19
00965	Yue Fung International Group Holdings Ltd.	Consolidation 200 into 1	2002/09/30

Main Board Equities

Rights Issues & Open Offers

for 3rd quarter 2002

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	00085	Winsan (China) Investment Group Co. Ltd.	Open offer 2 for 5 @\$0.115	51.21
Aug	00718	Bestway International Holdings Ltd.	Open offer 1 for 1 @\$0.022	31.36
	00855	Cedar Base Electronic (Group) Ltd.	Open Offer 1 for 3 @\$0.10 (with bonus 3 for 1 offer share)	43.75
	00235	China Strategic Holdings Ltd.	Rts 1 for 2 @\$0.15 (with bonus 3 2003 wts for 5 rts shs)	41.47
	00273	China United Holdings Ltd.	Rts 10 for 1 consolidated shares @\$0.02	111.70
	00304	Peace Mark (Holdings) Ltd.	Rts 2 for 1 @\$0.18	66.21
	00952	Quam Ltd.	Rts 3 for 5 @\$0.015	29.01
Sep	00326	China Star Entertainment Ltd.	Rts 1 for 1 consolidated share @\$1.25	95.22
	01218	Easyknit International Holdings Ltd.	Rts 3 for 1 consolidated share @\$0.12	79.42
	00268	Top Glory International Holdings Ltd.	Rts 1 for 4 @\$0.35	234.07
Total				783.42

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2002					
May **	01180	LIFETEC GROUP	280,000,000	0.290	81.20
Jun **	00232	CATIC INT'L	600,000,000	0.160	96.00
	00261	CCT TECH	1,780,000,000	0.010	17.80
	00199	CHEUNG TAI HONG	390,000,000	0.100	39.00
	00384	CHINA GAS HOLD	33,333,333	0.900	30.00
	00941	CHINA MOBILE	236,634,212	24.722	5,850.00
	00326	CHINA STAR ENT	634,776,107	0.063	39.99
	00235	CHINA STRATEGIC	92,000,000	0.200	18.40
	00313	DICKSON GROUP	79,200,000	0.120	9.50
	00858	EXTRAWELL PHAR	120,000,000	0.450	54.00
	00758	HUDSON HOLDINGS	10,000,000	1.100	11.00
	00616	I100	100,000,000	0.300	30.00
	00735	QUALITY FOOD	240,000,000	0.100	24.00
	00076	SEN HONG RES	14,500,000	0.232	3.36
	00755	SHANGHAI CENTURY	350,000,000	0.320	112.00
	01207	SHANGHAI ESTATE	226,000,000	1.180	266.68
	00678	STAR CRUISES	189,091,000	3.300	624.00
	00198	STAR EAST HOLD	350,000,000	0.080	28.00
	00903	TPV TECHNOLOGY	105,000,000	2.920	306.60
	00627	U-RIGHT INT'L	45,000,000	0.810	36.45
	01139	VICTORY GROUP	44,000,000	0.115	5.06
	00024	WELLNET HOLD	100,000,000	0.900	90.00
	00082	YANION INT'L	50,900,000	1.400	71.26
	00290	YEW SANG HONG	23,800,000	5.130	122.09
Jul	01189	ANANDA WING ON	500,000,000	0.080	40.00
	00231	DYNAMIC GLOBAL	100,000,000	0.100	10.00
	00274	GLOBAL GREEN	54,000,000	2.100	113.40
	00090	GOLD WO INT'L	480,000,000	0.011	5.28
	00286	G-PROP (HOLD)	143,300,000	0.038	5.45
	00175	GUORUN HOLD	3,000,000,000	0.022	66.00
	00818	HI SUN GROUP	20,000,000	0.820	16.40
	00433	SUN MAN TAI	228,971,960	0.300	68.69
	00988	THE SUN'S GROUP	2,571,428,571	0.035	90.00
	01192	TITAN HOLDINGS	515,000,000	0.270	139.05

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)	
Aug	00959	A-MAX	10,000,000,000	0.004	40.00	
	00639	FUSHAN HOLDINGS	120,000,000	0.260	31.20	
	00185	HENG FUNG HOLD	80,000,000	0.250	20.00	
	00376	MANSION HOUSE	197,500,000	0.200	39.50	
	00200	MELCO INT'L DEV	24,200,000	1.450	35.09	
	00260	MILLENNIUM GP	270,000,000	0.053	14.31	
	00673	TECHCAP HOLDING	1,750,000,000	0.010	17.50	
	00159	WAH NAM INT'L	74,500,000	0.425	31.66	
	00159	WAH NAM INT'L	325,500,000	0.100	32.55	
	Sep	00432	COMPANION BUILD	45,000,000,000	0.010	450.00
		00491	TERABIT ACCESS	1,150,000,000	0.015	17.48
Total					9,249.96	

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2001	Q3	381.01	27,619.83	28,000.83
	Q4	682.29	12,679.05	13,361.33
2002	Q1	728.23	18,370.19	19,098.42
	Q2	652.11	33,197.32	33,849.43
	Q3	233.19	33,493.81	33,727.00

Warrant Market Value

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
	Number		Number	Total no.
2001	Q3	1,373.20	6,667.65	8,040.86
	Q4	2,357.12	543.75	2,900.86
2002	Q1	2,723.24	21,532.65	24,255.89
	Q2	2,694.67	23,346.15	26,040.82
	Q3	1,492.10	23,812.14	25,304.24

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2001	Q3	9	–	26.25
	Q4	8	–	85.91
2002	Q1	6	281	26,179.39
	Q2	12	104	10,564.33
	Q3	8	142	14,646.31

Main Board Warrants

Newly Listed Equity Warrants

for 3rd quarter 2002

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
00837	Premium Land Ltd Warrants 2003	118,764,800	2002/07/09	2003/07/08	26.99
01048	South China Holdings Ltd Warrants 2003	153,165,716	2002/07/25	2003/07/23	
00924	Deson Development International Holdings Ltd Warrants 2004	31,624,644	2002/08/19	2002/08/14	
01216	China Strategic Holdings Ltd Warrants 2003	26,542,989	2002/08/29	2003/12/31	
02303	Huafeng Environmental Protection Textile International Group Ltd Warrants 2005	83,200,000	2002/08/30	2005/08/21	
01181	Alco Holdings Ltd Warrants 2005	50,115,421	2002/09/02	2005/09/01	
00944	Good Fellow Group Ltd Warrants 2004	133,200,000	2002/09/16	2004/03/15	25.20
00584	Pacific Andes International Holdings Ltd Warrants 2004	120,190,895	2002/09/26	2004/03/25	
Total					52.19

Main Board Warrants

Newly Listed Derivative Warrants

for 3rd quarter 2002

Code	Derivative warrants	No. of issue		Amount raised	
		(units)	Listing date	Expiry	(HK\$ mil.)
09394	Morgan Stanley – PetroChemical Basket European Warrants Jun 2004	280,000,000	2002/07/02	2004/06/24	87.08
09388	KBC Fin Products Int'l Ltd – Cheung Kong European (Locked-in Return) Wts Jan 2004	112,000,000	2002/07/03	2004/01/02	99.23
09389	KBC Fin Products Int'l Ltd – China Mobile European (Locked-in Return) Wts Jan 2004	238,000,000	2002/07/03	2004/01/02	99.72
09390	KBC Fin Products Int'l Ltd – HSI European (Locked-in Return) Wts Dec 2003	400,000,000	2002/07/03	2003/12/30	100.00
09391	KBC Fin Products Int'l Ltd – HSBC European (Locked-in Return) Wts Jan 2004	113,000,000	2002/07/03	2004/01/02	99.21
09392	KBC Fin Products Int'l Ltd – Hutchison European (Locked-in Return) Wts Jan 2004	130,000,000	2002/07/03	2004/01/02	98.54
09393	KBC Fin Products Int'l Ltd – SHK Ppt European (Locked-in Return) Wts Jan 2004	116,000,000	2002/07/03	2004/01/02	97.67
09395	Credit Lyonnais F P (G) Ltd – HSBC European Warrants Jan 2003	149,000,000	2002/07/04	2003/01/06	99.83
09396	ABN AMRO Bank N.V. – Cheung Kong European Warrants May 2003	113,000,000	2002/07/05	2003/05/30	79.10
09397	ABN AMRO Bank N.V. – Hutchison European Warrants May 2003	126,000,000	2002/07/05	2003/05/30	78.12
09398	Credit Suisse First Boston – HSI European Put Warrants Mar 2003	380,000,000	2002/07/05	2003/03/27	95.00
09399	KBC Fin Products Int'l Ltd – USD/JPY European Warrants Apr 2003	300,000,000	2002/07/08	2003/04/02	99.90
09401	Credit Suisse First Boston – Huaneng Power European Warrants Sep 2003	380,000,000	2002/07/09	2003/09/18	95.00
09400	Credit Suisse First Boston – China Mobile European Put Warrants Apr 2003	380,000,000	2002/07/09	2003/04/17	97.66
09402	Macquarie Bank Ltd – Hang Seng European Warrants Jan 2003	250,000,000	2002/07/09	2003/01/10	100.00
09403	Macquarie Bank Ltd – Swire Pac A European Warrants Apr 2003	330,000,000	2002/07/09	2003/04/23	99.00
09404	KBC Fin Products Int'l Ltd – HSBC European Warrants Jan 2003	144,000,000	2002/07/10	2003/01/10	99.36
09405	SGA Societe Generale Acceptance N.V. – China Mobile European Warrants Jan 2003	357,000,000	2002/07/10	2003/01/10	99.96
09406	SGA Societe Generale Acceptance N.V. – HSI European Warrants Jan 2003	384,000,000	2002/07/10	2003/01/30	99.84
09407	SGA Societe Generale Acceptance N.V. – HWL European Warrants Jan 2003	169,000,000	2002/07/10	2003/01/10	99.71
09408	KBC Fin Products Int'l Ltd – HSI European Warrants Jan 2003	400,000,000	2002/07/11	2003/01/30	100.00
09409	SGA Societe Generale Acceptance N.V. – Cheung Kong European Warrants Jan 2003	143,000,000	2002/07/12	2003/01/13	98.67
09411	Macquarie Bank Ltd – SHK Ppt European Warrants Feb 2003	225,000,000	2002/07/15	2003/02/05	99.68
09410	Credit Suisse First Boston – Nasdaq-100 Index European Warrants Aug 2003	360,000,000	2002/07/15	2003/08/28	95.04
09201 #	SGA Societe Generale Acceptance N.V. – HSI European Warrants Sep 2002	300,000,000	2002/07/15	2002/09/27	29.40
09412	Goldman Sachs Int'l – Cheung Kong European Warrants Jan 2003	180,000,000	2002/07/16	2003/01/29	99.00
09413	Lehman Brothers Holdings Inc. – Cheung Kong European Warrants Feb 2003	175,000,000	2002/07/16	2003/02/10	99.75
09416	BNP Paribas Arbit Issu B.V. – Hutchison European Put Warrants Feb 2003	170,000,000	2002/07/17	2003/02/14	98.60
09415	BNP Paribas Arbit Issu B.V. – HSBC European Warrants Jan 2003	220,000,000	2002/07/17	2003/01/17	95.70
09419	BNP Paribas Arbit Issu B.V. – HSBC European Put Warrants Jan 2003	293,000,000	2002/07/17	2003/01/20	99.62
09414	BNP Paribas Arbit Issu B.V. – China Mobile European Warrants Jan 2003	395,000,000	2002/07/17	2003/01/29	99.15
09418	BNP Paribas Arbit Issu B.V. – China Mobile European Put Warrants Jan 2003	400,000,000	2002/07/17	2003/01/30	100.00
09417	Credit Suisse First Boston – PCCW European Warrants May 2003	400,000,000	2002/07/18	2003/05/28	104.00
09421	Credit Suisse First Boston – PCCW European Put Warrants Jun 2003	400,000,000	2002/07/18	2003/06/18	102.00
09420	SGA Societe Generale Acceptance N.V. – USD/JPY European Warrants Apr 2003	400,000,000	2002/07/18	2003/04/03	100.00
09422	KBC Fin Products Int'l Ltd – China Mobile European Put Warrants Feb 2003	648,000,000	2002/07/18	2003/02/04	196.99
09423	SGA Societe Generale Acceptance N.V. – Nasdaq-100 Index European Warrants Mar 2003	380,000,000	2002/07/19	2003/03/21	98.80
09427	SGA Societe Generale Acceptance N.V. – Nasdaq-100 Index European Put Warrants Mar 2003	400,000,000	2002/07/19	2003/03/21	100.00
09424	ABN AMRO Bank N.V. – HSBC European Warrants Mar 2003	92,000,000	2002/07/22	2003/03/03	91.08
09425	ABN AMRO Bank N.V. – Hutchison European Warrants Mar 2003	133,000,000	2002/07/22	2003/03/03	117.04
09426	KBC Fin Products Int'l Ltd – Cheung Kong European Warrants Feb 2003	168,000,000	2002/07/22	2003/02/04	152.21
09428	KBC Fin Products Int'l Ltd – Hutchison European Warrants Feb 2003	168,000,000	2002/07/22	2003/02/04	135.41

Main Board Warrants

Code	Derivative warrants	No. of issue		Amount raised	
		(units)	Listing date	Expiry	(HK\$ mil.)
09429	Credit Lyonnais F P (G) Ltd – Cheung Kong European Warrants 23 Jan 2003	190,000,000	2002/07/23	2003/01/23	150.10
09430	Credit Lyonnais F P (G) Ltd – Hutchison European Warrants Jan 2003	210,000,000	2002/07/23	2003/01/23	149.10
09431	KBC Fin Products Int'l Ltd – HSBC European Put Warrants Feb 2003	288,000,000	2002/07/23	2003/02/05	144.58
09432	KBC Fin Products Int'l Ltd – SHK Ppt European Put Warrants Feb 2003	188,000,000	2002/07/23	2003/02/06	122.95
09433	Macquarie Bank Ltd – Cheung Kong European Put Warrants Feb 2003	120,000,000	2002/07/23	2003/02/20	115.56
09434	Macquarie Bank Ltd – HSBC European Warrants May 2003	140,000,000	2002/07/23	2003/05/07	100.80
09435	Macquarie Bank Ltd – Johnson Electric European Warrants Mar 2003	100,000,000	2002/07/23	2003/03/27	93.70
09436	Lehman Brothers Holdings Inc. – China Mobile European Warrants Feb 2003	360,000,000	2002/07/25	2003/02/28	98.64
09437	Macquarie Bank Ltd – China Mobile European Warrants Feb 2003	330,000,000	2002/07/25	2003/02/25	99.33
09438	Macquarie Bank Ltd – Li & Fung European Warrants Oct 2003	400,000,000	2002/07/25	2003/10/23	101.20
09439	SGA Societe Generale Acceptance N.V. – Cheung Kong European Put Warrants Jan 2003	200,000,000	2002/07/25	2003/01/27	96.00
09440	SGA Societe Generale Acceptance N.V. – China Mobile European Put Warrants Jan 2003	400,000,000	2002/07/25	2003/01/27	100.00
09441	SGA Societe Generale Acceptance N.V. – HSBC European Warrants Jan 2003	140,000,000	2002/07/25	2003/01/27	98.00
09442	SGA Societe Generale Acceptance N.V. – Hutchison European Put Warrants Jan 2003	180,000,000	2002/07/25	2003/01/27	95.40
09443	SGA Societe Generale Acceptance N.V. – SHK Ppt European Put Warrants Jan 2003	160,000,000	2002/07/25	2003/01/27	97.60
09445	SGA Societe Generale Acceptance N.V. – HSBC European Put Warrants Jan 2003	170,000,000	2002/07/25	2003/01/28	95.20
09444	KBC Fin Products Int'l Ltd – HSI European Put Warrants Jan 2003	488,000,000	2002/07/26	2003/01/29	134.69
09446	KBC Fin Products Int'l Ltd – HSBC European Warrants Feb 2003	168,000,000	2002/07/29	2003/02/06	140.95
09447	Credit Lyonnais F P (G) Ltd – Cheung Kong European Warrants 30 Jan 2003	312,000,000	2002/07/30	2003/01/30	149.76
09448	SGA Societe Generale Acceptance N.V. – HSI European Put Warrants Jan 2003 A	390,000,000	2002/07/30	2003/01/29	99.45
09452	SGA Societe Generale Acceptance N.V. – HSI European Put Warrants Jan 2003 B	390,000,000	2002/07/30	2003/01/29	99.45
09451	Credit Lyonnais F P (G) Ltd – HSBC European Warrants Feb 2003	148,000,000	2002/07/31	2003/02/10	149.48
09449	Credit Suisse First Boston – DJIA Index European Warrants Aug 2003	380,000,000	2002/07/31	2003/08/08	98.80
09453	Credit Suisse First Boston – DJIA Index European Put Warrants Aug 2003	380,000,000	2002/07/31	2003/08/18	105.26
09450	Credit Suisse First Boston – S&P Index European Warrants Aug 2003	380,000,000	2002/07/31	2003/08/28	108.68
09454	Credit Suisse First Boston – S&P Index European Put Warrants Sep 2003	350,000,000	2002/07/31	2003/09/18	103.60
09456	BNP Paribas Arbit Issu B.V. – BOC HK European Warrants Feb 2003	60,000,000	2002/08/01	2003/02/04	34.62
09457	Credit Suisse First Boston – BOC HK European Warrants Aug 2003	40,000,000	2002/08/01	2003/08/28	100.00
09455	Macquarie Bank Ltd – BOC HK European (Div) Warrants Oct 2003	40,000,000	2002/08/01	2003/10/24	106.96
09458	KBC Fin Products Int'l Ltd – BOC HK European Warrants Feb 2003	188,000,000	2002/08/01	2003/02/04	132.92
09459	SGA Societe Generale Acceptance N.V. – BOC HK European Warrants Feb 2003	119,000,000	2002/08/01	2003/02/17	99.96
09460	J P Morgan Int'l Der. Ltd – HSBC European Put Warrants Feb 2003	89,000,000	2002/08/02	2003/02/07	99.50
09461	SGA Societe Generale Acceptance N.V. – DJIA European Warrants Mar 2003	357,000,000	2002/08/02	2003/03/21	99.96
09465	SGA Societe Generale Acceptance N.V. – DJIA European Put Warrants Mar 2003	400,000,000	2002/08/02	2003/03/21	100.00
09462	Macquarie Bank Ltd – BOC HK European Warrants Jul 2003	400,000,000	2002/08/07	2003/07/25	100.40
09463	Credit Suisse First Boston – Cheung Kong European Warrants Feb 2003	170,000,000	2002/08/09	2003/02/18	83.64
09464	Credit Suisse First Boston – Hutchison European Warrants Feb 2003	190,000,000	2002/08/09	2003/02/18	84.93
09469	Credit Suisse First Boston – Wheelock European Warrants Apr 2003	220,000,000	2002/08/13	2003/04/08	117.70
09467	Credit Suisse First Boston – China Merchants European Warrants Feb 2003	220,000,000	2002/08/13	2003/02/28	108.68
09468	Credit Suisse First Boston – China Mobile European Warrants Mar 2003	350,000,000	2002/08/13	2003/03/28	106.05
09466	Macquarie Bank Ltd – Cheung Kong European Warrants Mar 2003	200,000,000	2002/08/13	2003/03/11	107.20
09470	Macquarie Bank Ltd – Hutchison European Warrants Mar 2003	200,000,000	2002/08/15	2003/03/17	103.00
09471	SGA Societe Generale Acceptance N.V. – Cheung Kong European Warrants Mar 2003	147,000,000	2002/08/19	2003/03/05	99.96
09472	SGA Societe Generale Acceptance N.V. – Hutchison European Warrants Mar 2003	153,000,000	2002/08/19	2003/03/05	99.45

Main Board Warrants

Code	Derivative warrants	No. of issue		Amount raised	
		(units)	Listing date	Expiry	(HK\$ mil.)
09473	Credit Suisse First Boston – Henderson Land European Warrants Mar 2003	350,000,000	2002/08/19	2003/03/10	114.10
09474	Credit Suisse First Boston – New World Dev European Warrants May 2003	220,000,000	2002/08/19	2003/05/19	141.90
09475	Credit Suisse First Boston – Swire Pac A European Warrants May 2003	200,000,000	2002/08/19	2003/05/28	117.40
09476	Credit Suisse First Boston – Wharf European Warrants Jun 2003	350,000,000	2002/08/19	2003/06/18	94.50
09477	Lehman Brothers Holdings Inc. – Hutchison European Warrants Mar 2003	205,000,000	2002/08/19	2003/03/04	98.61
09479	Credit Lyonnais F P (G) Ltd – Cheung Kong European Warrants Feb 2003	270,000,000	2002/08/21	2003/02/21	148.50
09478	Credit Suisse First Boston – Nasdaq-100 Index European Put Warrants Aug 2003	400,000,000	2002/08/21	2003/08/08	103.60
09513	BNP Paribas Arbit Issu B.V. – Cheung Kong European Warrants Feb 2003	190,000,000	2002/08/22	2003/02/27	97.28
09514	BNP Paribas Arbit Issu B.V. – Hutchison European Warrants Feb 2003	195,000,000	2002/08/22	2003/02/27	97.89
09480	SGA Societe Generale Acceptance N.V. – CITIC Pac European Warrants Apr 2003	400,000,000	2002/08/22	2003/04/02	100.00
09511	SGA Societe Generale Acceptance N.V. – CNOOC European Warrants Apr 2003	93,000,000	2002/08/22	2003/04/02	99.51
09512	SGA Societe Generale Acceptance N.V. – China Unicom European Warrants Apr 2003	128,000,000	2002/08/22	2003/04/02	99.84
09515	KBC Fin Products Int'l Ltd – Cheung Kong European Warrants Mar 2003	168,000,000	2002/08/22	2003/03/06	136.08
09516	KBC Fin Products Int'l Ltd – Hutchison European Warrants Mar 2003	168,000,000	2002/08/22	2003/03/06	132.55
09517	Credit Lyonnais F P (G) Ltd – Cheung Kong European Warrants Feb 2003	180,000,000	2002/08/23	2003/02/24	147.60
09518	Credit Lyonnais F P (G) Ltd – Hutchison European Warrants Feb 2003	180,000,000	2002/08/23	2003/02/24	147.60
09519	Goldman Sachs Int'l – SHK Ppt European Warrants Mar 2003	150,000,000	2002/08/28	2003/03/21	84.00
09520	Lehman Brothers Holdings Inc. – HSBC European Warrants Mar 2003	135,000,000	2002/08/28	2003/03/31	98.28
09521	Lehman Brothers Holdings Inc. – BOC HK European Warrants Mar 2003	120,000,000	2002/09/02	2003/03/03	59.16
09522	KBC Fin Products Int'l Ltd – BOC HK European (Locked-in Return) Wts Jan 2004	168,000,000	2002/09/02	2004/01/02	164.64
09523	KBC Fin Products Int'l Ltd – Cheung Kong European (Locked-in Return) Wts Jan 2004	128,000,000	2002/09/02	2004/01/02	114.05
09524	KBC Fin Products Int'l Ltd – SHK Ppt European Warrants Mar 2003	188,000,000	2002/09/03	2003/03/04	102.65
09525	Credit Lyonnais F P (G) Ltd – SHK Ppt European Warrants Mar 2003	200,000,000	2002/09/05	2003/03/05	100.00
09406 #	SGA Societe Generale Acceptance N.V. – HSI European Warrants Jan 2003	300,000,000	2002/09/09	2003/01/30	46.80
09526	SGA Societe Generale Acceptance N.V. – China Mobile European Warrants Mar 2003	400,000,000	2002/09/10	2003/03/10	100.00
09527	SGA Societe Generale Acceptance N.V. – SHK Ppt European Warrants Mar 2003	243,000,000	2002/09/10	2003/03/10	99.63
09528	KBC Fin Products Int'l Ltd – China Mobile European Warrants Mar 2003	488,000,000	2002/09/10	2003/03/10	123.46
09531	SGA Societe Generale Acceptance N.V. – SHK Ppt European Put Warrants Mar 2003	240,000,000	2002/09/10	2003/03/11	99.60
09529	KBC Fin Products Int'l Ltd – SHK Ppt European Put Warrants Mar 2003	188,000,000	2002/09/11	2003/03/11	124.46
09530	SGA Societe Generale Acceptance N.V. – HSBC European Put Warrants Mar 2003	400,000,000	2002/09/11	2003/03/11	100.00
09533	KBC Fin Products Int'l Ltd – HSI European (Locked-in Return) Wts Mar 2004	400,000,000	2002/09/11	2004/03/30	114.00
09534	Lehman Brothers Holdings Inc. – Cheung Kong European Warrants Apr 2003	185,000,000	2002/09/11	2003/04/04	99.35
09532	Credit Lyonnais F P (G) Ltd – China Mobile European Warrants Mar 2003	380,000,000	2002/09/11	2003/03/11	106.40
09536	Macquarie Bank Ltd – China Unicom European Warrants Jun 2003	120,000,000	2002/09/12	2003/06/09	67.56
09538	Macquarie Bank Ltd – Huaneng European Warrants Mar 2003	60,000,000	2002/09/12	2003/03/17	49.68
09537	Macquarie Bank Ltd – HKEx European Warrants Jul 2003	200,000,000	2002/09/12	2003/07/17	51.20
09535	Macquarie Bank Ltd – CNOOC European Warrants Dec 2003	200,000,000	2002/09/12	2003/12/29	52.20
09540	BNP Paribas Arbit Issu B.V. – SHK Ppt European Warrants Mar 2003	68,000,000	2002/09/13	2003/03/13	24.00
09539	Macquarie Bank Ltd – SHK Ppt European Warrants May 2003	200,000,000	2002/09/13	2003/05/12	136.60
09541	Macquarie Bank Ltd – CLP European Warrants Jul 2003	50,000,000	2002/09/16	2003/07/28	12.80
09542	SGA Societe Generale Acceptance N.V. – Cheung Kong European Put Warrants Mar 2003	270,000,000	2002/09/16	2003/03/17	99.90
09543	SGA Societe Generale Acceptance N.V. – China Mobile European Put Warrants Mar 2003	384,000,000	2002/09/16	2003/03/17	99.84
09544	SGA Societe Generale Acceptance N.V. – Hutchison European Put Warrants Mar 2003	232,000,000	2002/09/16	2003/03/18	99.76
09547	KBC Fin Products Int'l Ltd – Hutchison European Warrants Apr 2004	122,000,000	2002/09/19	2004/04/01	98.21

Main Board Warrants

Code	Derivative warrants	No. of issue		Amount raised	
		(units)	Listing date	Expiry	(HK\$ mil.)
09548	KBC Fin Products Int'l Ltd – SHK Ppt European Warrants Apr 2004	138,000,000	2002/09/19	2004/04/01	98.26
09545	SGA Societe Generale Acceptance N.V. – HSBC European Warrants Apr 2003	131,000,000	2002/09/19	2003/04/01	99.56
09546	SGA Societe Generale Acceptance N.V. – Huaneng Power European Warrants Mar 2003	133,000,000	2002/09/19	2003/03/19	99.75
09549	Lehman Brothers Holdings Inc. – China Mobile European Put Warrants Mar 2003	280,000,000	2002/09/23	2003/03/31	97.44
09550	KBC Fin Products Int'l Ltd – BOC HK European Warrants Mar 2003	100,000,000	2002/09/26	2003/03/26	85.20
09551	Lehman Brothers Holdings Inc. – China Mobile European Warrants Mar 2003	400,000,000	2002/09/26	2003/05/29	100.00
09552	SGA Societe Generale Acceptance N.V. – HSI European Warrants Mar 2003	400,000,000	2002/09/27	2003/03/28	100.00
09553	SGA Societe Generale Acceptance N.V. – HSI European Warrants Mar 2003	370,000,000	2002/09/27	2003/03/28	99.90
09554	BNP Paribas Arbit Issu B.V. – BOC HK European Warrants Mar 2003	40,000,000	2002/09/27	2003/03/27	28.00
09555	BNP Paribas Arbit Issu B.V. – HSBC European Warrants Apr 2003	60,000,000	2002/09/27	2003/04/01	33.90
09556	SGA Societe Generale Acceptance N.V. – HSI European Put Warrants Mar 2003	400,000,000	2002/09/27	2003/03/28	100.00
09557	SGA Societe Generale Acceptance N.V. – HSI European Put Warrants Mar 2003	360,000,000	2002/09/27	2003/03/28	100.80
09559	KBC Fin Products Int'l Ltd – HSI European Put Warrants Mar 2003	310,000,000	2002/09/27	2003/03/28	77.50
09558	Credit Lyonnais F P (G) Ltd – HSBC European Warrants Mar 2003	138,000,000	2002/09/30	2003/03/31	99.36
Total					14,594.12

Further issue

Main Board Equity Linked Instrument

Equity Linked Instrument Trading Statistics

		Number	Market Value in dollars	Turnover (HK\$ mil.)
2001	Q3	–	–	–
	Q4	–	–	–
2002	Q1	–	–	–
	Q2	–	–	–
	Q3 *	13	1,509.22	140.17

* Trading for equity linked instrument commenced on 5 August 2002

New Listing Statistics – Equity Linked Instrument (ELI)

		No. of newly listed ELI	Amount raised (HK\$ mil.)
2001	Q3	–	–
	Q4	–	–
2002	Q1	–	–
	Q2	–	–
	Q3	13	1,642.42

Newly Listed Equity Linked Instrument (ELI)

for 3rd quarter 2002

Code	Equity Linked Instrument (ELI)	Initial issued (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
01801	Credit Suisse First Boston – China Mob Bull ELI Jan 2003 A	3,000,000	2002/08/05	2003/01/28	55.50
01802	KBC Fin Products Int'l Ltd. – CK(H) Bull ELI Oct 2002 A	3,500,000	2002/08/05	2002/10/15	195.09
01803	KBC Fin Products Int'l Ltd. – HSBC Bull ELI Oct 2002 A	2,400,000	2002/08/05	2002/10/15	193.20
01804	KBC Fin Products Int'l Ltd. – HWL Bull ELI Oct 2002 A	3,800,000	2002/08/05	2002/10/15	192.89
01805	KBC Fin Products Int'l Ltd. – SHK Ppt Bull ELI Oct 2002 A	3,800,000	2002/08/05	2002/10/15	195.42
01806	SGA Societe Generale Acceptance N.V. – CK(H) Bull ELI Nov 02 A	2,600,000	2002/08/05	2002/11/05	145.99
01807	KBC Fin Products Int'l Ltd. – China Mob Bull ELI Nov 2002 A	5,200,000	2002/08/08	2002/11/05	98.18
01808	KBC Fin Products Int'l Ltd. – HWL Bull ELI Nov 2002 A	2,000,000	2002/08/08	2002/11/05	96.49
01809	SGA Societe Generale Acceptance N.V. – HWL Bull ELI Nov 02 A	2,500,000	2002/08/08	2002/11/08	122.72
01810	SGA Societe Generale Acceptance N.V. – SHK P Bull ELI Nov 02 A	2,500,000	2002/08/08	2002/11/08	126.76
01811	SGA Societe Generale Acceptance N.V. – HSBC Bull ELI Nov 02 A	1,800,000	2002/08/09	2002/11/15	139.62
01812	SGA Societe Generale Acceptance N.V. – CK(H) Bull ELI Nov 02 B	600,000	2002/09/09	2002/11/08	30.58
01813	KBC Fin Products Int'l Ltd. – CK(H) Bull ELI Dec 2002 A	1,000,000	2002/09/09	2002/12/10	49.98
Total					1,642.42

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil.)	Market total (HK\$ mil.)
2001	Q3	0.99	21.09
	Q4	2.49	11.34
2002	Q1	0.60	9.80
	Q2	-	8.25
	Q3	0.47	5.56

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2001	Q3	208	626,553.51
	Q4	195	607,961.98
2002	Q1	190	577,932.94
	Q2	179	544,960.94
	Q3	172	524,127.71

New Listing Statistics - Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2001	Q3	4	3,515.09
	Q4	7	4,699.34
2002	Q1	6	6,284.59
	Q2	5	5,838.15
	Q3	3	2,999.88

Main Board Debt Securities

Newly Listed Debt Securities

for 3rd quarter 2002

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
4098	Hong Kong Monetary Authority 3.20% Exchange Fund Notes 2005	HKD 1,200,000,000	2002/07/24	2005/07/25	1,203.48
4099	Hong Kong Monetary Authority 2.20% Exchange Fund Notes 2004	HKD 1,200,000,000	2002/08/13	2004/08/12	1,203.84
4100	Hong Kong Monetary Authority 3.07% Exchange Fund Notes 2007	HKD 600,000,000	2002/09/19	2007/09/17	592.56
Total					2,999.88

Main Board Unit Trusts and Mutual Funds

Unit Trusts Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2001	Q3	21	4,586.32
	Q4	22	2,919.14
2002	Q1	22	3,128.43
	Q2	11	3,593.79
	Q3	11	3,418.40

New Listing Unit Trusts and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2001	Q3	–	–
	Q4	1	257.64
2002	Q1	–	–
	Q2	1	351.58
	Q3	–	–

Newly Listed Unit Trusts and Mutual Funds

for 3rd quarter 2002

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Listing date
	Nil			

Trading Only Stocks

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2001	Q3	7	12.08
	Q4	7	10.99
2002	Q1	7	7.14
	Q2	7	17.85
	Q3	7	9.43

iShares

		No. of issues	Turnover value (HK\$ mil.)
2001	Q3	2	24.10
	Q4	2	25.56
2002	Q1	2	45.03
	Q2	2	19.07
	Q3	2	4.04

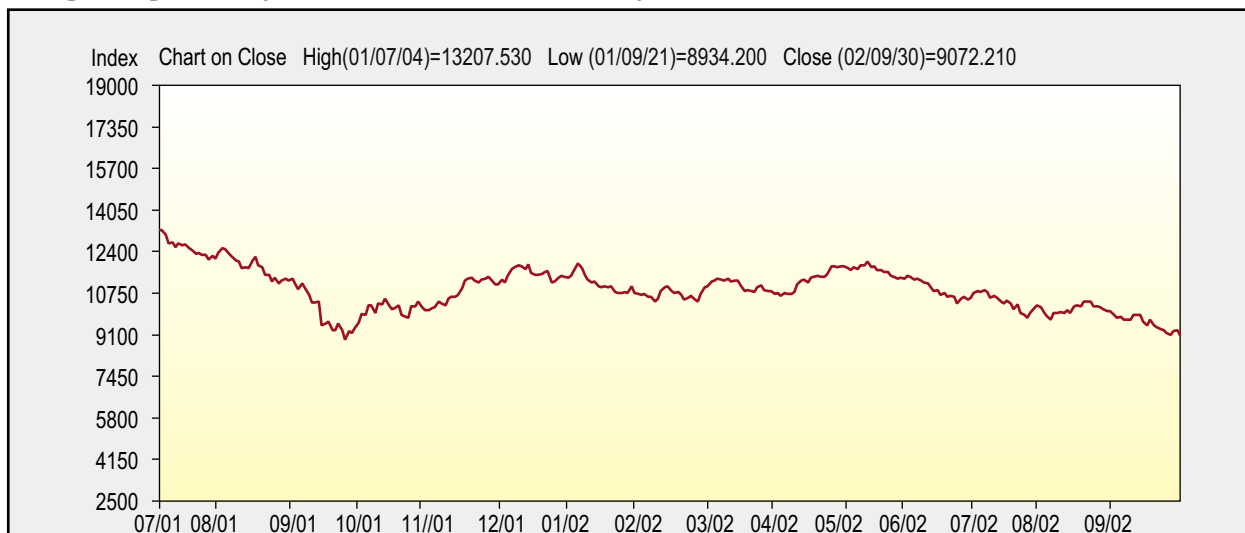
Stock Market Indices

Hong Kong Stock Market Indices

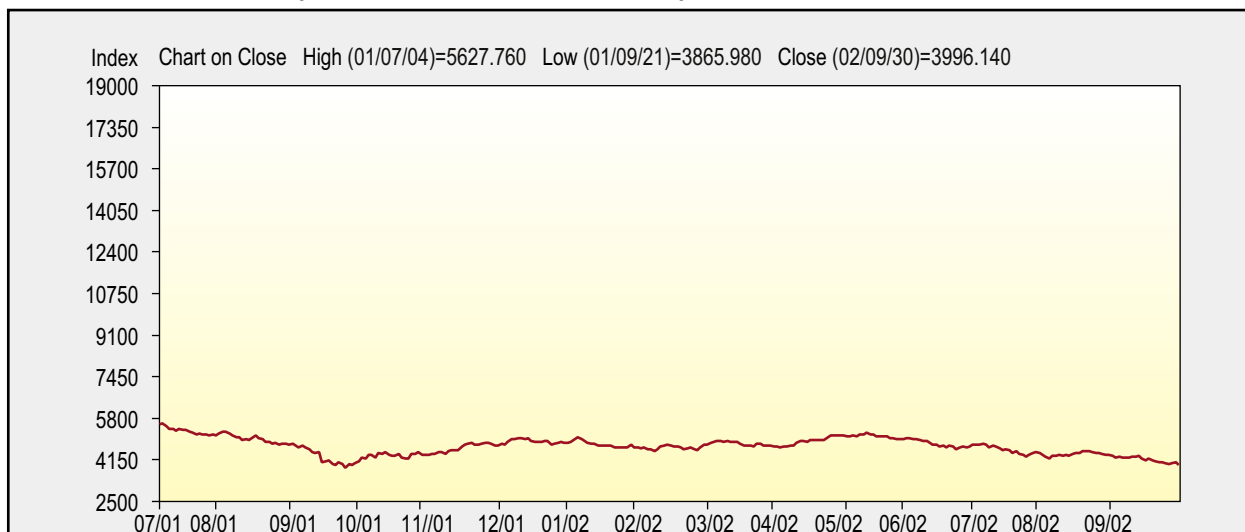
Index	End of Sep 2002	End of Jun 2002	Change %
	Close	Close	
ALL ORDINARIES INDEX	3996.14	4716.35	- 15.27%
SECTORIAL INDICES			
Finance	10210.06	11313.26	- 9.75%
Utilities	10686.80	10668.89	+ 0.17%
Properties	2804.43	3607.66	- 22.26%
Consolidated enterprises	2189.79	2805.08	- 21.93%
Industrials	1737.89	1980.34	- 12.24%
Hotels	1953.47	2395.72	- 18.46%
Miscellaneous	2149.21	2280.39	- 5.75%
GROWTH ENTERPRISE INDEX			
	118.16	177.70	- 33.51%
HANG SENG INDEX			
	9072.21	10598.55	- 14.40%
SECTORIAL INDICES			
Finance	17342.58	19080.83	- 9.11%
Utilities	23300.70	21938.30	+ 6.21%
Properties	10765.42	14006.68	- 23.14%
Commerce & Industry	3963.25	4882.33	- 18.82%
HANG SENG COMPOSITE INDEX			
	1095.45	1291.34	- 15.17%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX			
	983.09	1192.15	- 17.54%
HANG SENG CHINA ENTERPRISES INDEX			
	1890.20	2167.39	- 12.79%

Stock Market Indices

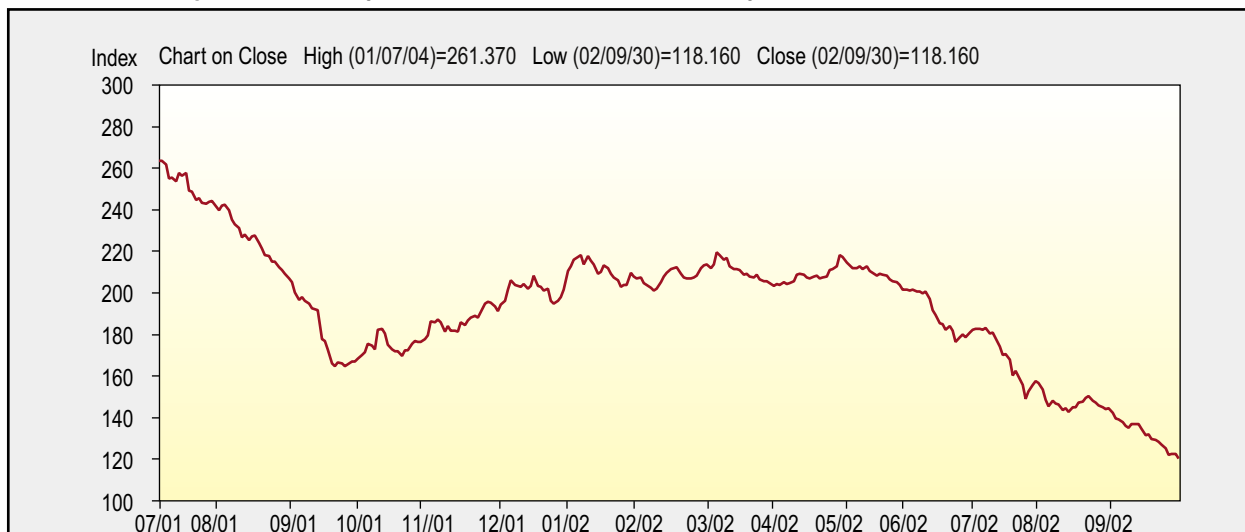
Hang Seng Index (2001/07/03 – 2002/09/30)



All Ordinaries Index (2001/07/03 – 2002/09/30)



Growth Enterprise Index (2001/07/03 – 2002/09/30)



Stock Market Indices

World Indices

Index	End of Sep 2002 Close	End of Jun 2002 Close	Change %
HONG KONG			
All Ordinaries Index	3996.14	4716.35	- 15.27%
Hang Seng Index	9072.21	10598.55	- 14.40%
AUSTRALIA			
All Ordinaries Index	2928.30	3163.20	- 7.43%
JAKARTA			
Composite Index	419.31	505.01	- 16.97%
JAPAN			
Nikkei (225)	9383.29	10621.84	- 11.66%
KOREA			
Composite Index	646.42	742.72	- 12.97%
KUALA LUMPUR			
Composite Index	638.01	725.44	- 12.05%
MANILA			
Composite Index	1129.34	1156.35	- 2.34%
NEW ZEALAND			
NZSE (40)	1992.11	2068.24	- 3.68%
SHANGHAI			
A Shares Index	1650.48	1809.96	- 8.81%
B Shares Index	144.58	150.79	- 4.12%
SHENZHEN			
A Shares Index	487.30	534.97	- 8.91%
B Shares Index	231.61	238.46	- 2.87%
SINGAPORE			
Straits Times Index	1352.30	1552.98	- 12.92%
TAIWAN			
Taipei Weighted Stock Index	4191.81	5153.71	- 18.66%
THAILAND			
SET Index	331.79	389.10	- 14.73%
NEW YORK			
DJIA	7591.93	9243.26	- 17.87%
Nasdaq Composite Index	1172.06	1463.21	- 19.90%
TORONTO			
S&P/TSX Composite Index	6180.42	7145.61	- 13.51%
GERMANY			
DAX Capital Value Index	2050.26	3245.86	- 36.83%
LONDON			
FTSE (100)	3721.80	4656.40	- 20.07%

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2001	Q3	5,632.91	4,638.20	115,658
	Q4	11,927.91	9,413.78	214,656
2002	Q1	18,649.52	14,952.45	342,475
	Q2	21,593.52	13,196.07	291,251
	Q3	13,361.75	10,030.55	240,364

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2001	Q3	62	74.81	40,103
	Q4	61	154.32	43,855
2002	Q1	59	253.43	43,660
	Q2	61	216.33	45,308
	Q3	65	154.32	41,731

20 Most Advanced GEM Stocks

for 3rd quarter 2002

Rank	Code	Stock	Closing price		% Up
			End of Sep 2002	End of Jun 2002	
1	08179	AKUP INT'L	0.265	0.147	80.27
2	08047	IA INT'L	0.850	0.580	46.55
3	08063	TRASY GOLD EX	0.034	0.024	41.67
4	08132	PANVA GAS HOLD	3.825	2.750	39.09
5	08075	ROJAM	0.130	0.104	25.00
6	08040	FORTUNE TELECOM	0.770	0.680	13.24
7	08079	RAINBOW INT'L	0.770	0.710	8.45
8	08161	WORLDMETAL	0.270	0.250	8.00
9	08069	TONG REN TANG – H SHARES	8.700	8.100	7.41
10	08153	M21 TECHNOLOGY	0.400	0.385	3.90
11	08139	PROSPERITY INTL	0.098	0.096	2.08
12	08085	NCM HOLDINGS	1.170	1.150	1.74
13	08117	BILLYBALA	0.405	0.400	1.25

Note: At the end of the quarter, only 13 advanced stocks were recorded.

GEM Equities

20 Most Declined GEM Stocks

for 3rd quarter 2002

Rank	Code	Stock	Closing price		% Down
			End of Sep 2002	End of Jun 2002	
1	08077	INFOSERVE TECH	0.160	1.800	-91.11
2	08160	MEDIANATION	0.145	1.000	-85.50
3	08163	TRADEEASY	0.055	0.245	-77.55
4	08061	ACROSSASIA	0.035	0.154	-77.27
5	08068	NU INT'L	0.050	0.180 A	-72.22
6	08119	THIZ TECHNOLOGY	0.115	0.410	-71.95
7	08107	ILINK HOLDINGS	0.027	0.093	-70.97
8	08109	CREATIVE ENERGY	0.340	1.070	-68.22
9	08173	PANORAMA INT'L	0.058	0.181	-67.96
10	08048	EXCEL TECH	0.117	0.350	-66.57
11	08072	MEDIA PARTNERS	0.280	0.820	-65.85
12	08066	CARDLINK TECH	0.150	0.410	-63.41
13	08056	GREENCOOL TECH	0.500	1.300	-61.54
14	08090	EVI EDUCATION	0.030	0.076	-60.53
15	08036	M CHANNEL	0.060	0.150	-60.00
16	08128	IIN INT'L	0.131	0.325	-59.69
17	08129	Q9 TECHNOLOGY	0.050	0.124	-59.68
18	08021	WLS HOLDINGS	0.152	0.360	-57.78
19	08082	INFO COMM HOLD	0.031	0.073	-57.53
20	08143	GRANDY ENVIRO	0.079	0.178	-55.62

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 3rd quarter 2002

Rank	Code	Stock	Turnover (HK\$ mil.)	% of Total
1	08222	CKLIFE SCIENCES	3,780.60	37.69
2	08085	NCM HOLDINGS	751.32	7.49
3	08001	TOM.COM	595.30	5.93
4	08067	CHANGCHUNDAXING - H SHARES	494.08	4.93
5	08035	WAH SANG GAS	484.02	4.83
6	08132	PANVA GAS HOLD	360.51	3.59
7	08180	GOLDEN MEDITECH	350.67	3.50
8	08069	TONG REN TANG - H SHARES	269.99	2.69
9	08186	MEDICAL CHI	253.68	2.53
10	08011	KANSTAR ENVTECH	227.47	2.27
11	08178	XTEAM SOFTWARE	137.37	1.37
12	08165	JIAN EPAYMENT	114.71	1.14
13	08119	THIZ TECHNOLOGY	113.69	1.13
14	08202	INNO-TECH HOLD	108.18	1.08
15	08130	MILKYWAY IMAGE	101.03	1.01
16	08160	MEDIANATION	92.34	0.92
17	08138	TOWN HEALTH	87.62	0.87
18	08208	CHANGMAOBIOCHEM - H SHARES	85.68	0.85
19	08171	QUASAR COMM TEC	82.39	0.82
20	08221	LEE'S PHARM	78.21	0.78
Total			8,568.86	85.43

GEM Equities

20 Most Active GEM Stock by Shares

for 3rd quarter 2002

Rank	Code	Stock	Turnover (Mil. shs)	% of Total
1	08222	CKLIFE SCIENCES	1,887.18	14.12
2	08138	TOWN HEALTH	766.16	5.73
3	08067	CHANGCHUNDAXING - H SHARES	753.25	5.64
4	08085	NCM HOLDINGS	652.93	4.89
5	08082	INFO COMM HOLD	616.51	4.61
6	08063	TRASY GOLD EX	529.78	3.96
7	08186	MEDICAL CHI	511.19	3.83
8	08119	THIZ TECHNOLOGY	448.56	3.36
9	08035	WAH SANG GAS	418.64	3.13
10	08011	KANSTAR ENVTECH	416.21	3.11
11	08130	MILKYWAY IMAGE	400.34	3.00
12	08178	XTEAM SOFTWARE	360.17	2.70
13	08202	INNO-TECH HOLD	312.81	2.34
14	08108	GRANDMASS	240.35	1.80
15	08151	ESSEX BIO-TECH	218.38	1.63
16	08001	TOM.COM	214.62	1.61
17	08160	MEDIATION	208.36	1.56
18	08021	WLS HOLDINGS	203.79	1.53
19	08041	INTCERA	188.15	1.41
20	08180	GOLDEN MEDITECH	179.34	1.34
Total			9,526.70	71.30

Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2001	Q3	46,350.22
	Q4	60,964.09
2002	Q1	69,082.23
	Q2	60,520.52
	Q3	53,398.08

GEM Equities

50 Leading Companies in Market Capitalisation – GEM

as at the 3rd quarter end 2002

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	CK Life Sciences Int'l (H) Inc	9,097.94	17.04
2	tom.com limited	5,908.49	11.06
3	Phoenix Satellite TV Hldgs Ltd	2,515.18	4.71
4	Panva Gas Holdings Ltd	2,314.42	4.33
5	Wah Sang Gas Holdings Ltd	2,242.97	4.20
6	Sunevision Holdings Ltd	1,904.84	3.57
7	Henderson Cyber Ltd	1,850.00	3.46
8	Convenience Retail Asia Ltd	1,482.09	2.78
9	SIIC Med Sci&Tech (Group) Ltd	874.20	1.64
10	Far Eastern Polychem Ind Ltd	861.62	1.61
11	First Mobile Group Hldgs Ltd	826.92	1.55
12	Golden Meditech Co Ltd	814.80	1.53
13	Jian ePayment Systems Ltd	784.00	1.47
14	Wanyou Fire Safety Tech H Ltd	780.00	1.46
15	Tong Ren Tang Tech Co Ltd - H Sh	633.36	1.19
16	hongkong.com Corporation	619.73	1.16
17	China Data Broadcasting H Ltd	610.56	1.14
18	Kingdee Int'l Software Group	568.74	1.07
19	Sino Biopharmaceutical Ltd	555.00	1.04
20	New Chinese Medicine Hldgs Ltd	548.73	1.03
21	Greencool Technology Hldgs Ltd	500.00	0.94
22	Kanstar Environ Tech Hldgs Ltd	480.00	0.90
23	Vital BioTech Holdings Ltd	408.00	0.76
24	Sinotronics Holdings Ltd	386.52	0.72
25	Sing Lee Software (Group) Ltd	355.77	0.67
26	Sino Stride Tech (Hldgs) Ltd	352.33	0.66
27	QUASAR Comm Tech Holdings Ltd	337.19	0.63
28	Arcontech Corporation	328.00	0.61
29	Datasys Technology Hldgs Ltd	320.00	0.60
30	Aptus Holdings Ltd	306.04	0.57
31	Goldigit Atom-tech Hldgs Ltd	305.97	0.57
32	ThinSoft (Holdings) Inc	295.00	0.55
33	Vodatel Networks Holdings Ltd	273.59	0.51
34	WorldMetal Holdings Ltd	270.00	0.51
35	Rainbow Int'l Holdings Ltd	269.50	0.50
36	Beijing Beida Jade Bird - H Sh	258.72	0.48
37	IA International Holdings Ltd	255.00	0.48
38	Yuxing InfoTech Holdings Ltd	240.00	0.45
39	Media Partners Int'l Hldgs Inc	239.06	0.45
40	Fortune Telecom Holdings Ltd	232.62	0.44
41	B&S Entertainment Holdings Ltd	228.00	0.43
42	Techwayson Holdings Ltd	227.50	0.43
43	Emperor Entertainment Group	223.60	0.42
44	Angels Technology Co Ltd	222.72	0.42
45	Medical China Ltd	207.92	0.39
46	Golding Soft Ltd	205.00	0.38
47	Shanghai Fudan - H Shares	199.48	0.37
48	Eco-Tek Holdings Ltd	193.48	0.36
49	Thiz Technology Group Ltd	190.27	0.36
50	Capinfo Co Ltd - H Shares	189.75	0.36
Total		44,294.63	82.95
Market total		53,398.08	100.00

GEM Equities

New Listing Companies Statistics for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2001	Q3	10	705.12
	Q4	22	1,455.65
2002	Q1	17	1,594.49
	Q2	11	714.00
	Q3	16	3,995.29

Newly Listed Companies for GEM

for 3rd quarter 2002

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08011	Kanstar Environmental Technology Holdings Ltd	2002/07/12	800,000,000	40.00
08221	Lee's Pharmaceutical Holdings Ltd	2002/07/15	289,225,000	36.00
08222	CK Life Sciences Int'l, (Holdings) Inc	2002/07/16	6,407,000,000	2,932.50
08155	Capital Publications Ltd	2002/07/18	506,498,344	15.19
08226	Sonavox International Holdings Ltd	2002/07/19	320,000,000	40.00
08229	Tungda Innovative Lighting Holdings Ltd	2002/07/26	888,000,000	63.75
08177	Sino Stride Technology (Holdings) Ltd	2002/07/29	1,084,090,000	112.27
08171	QUASAR Communication Technology Holdings Ltd	2002/07/31	406,251,500	67.34
08205	Shanghai Jiaoda Withub Information Industrial Co Ltd	2002/07/31	132,000,000	87.12
	– H Shares			
08167	B&S Entertainment Holdings Ltd	2002/08/06	400,000,000	50.00
08202	Inno-Tech Holdings Ltd	2002/08/12	480,000,000	33.60
08039	Loulan Holdings Ltd	2002/08/12	400,000,000	50.00
08231	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co Ltd – H Shares	2002/08/13	198,000,000	158.40
08057	Datsys Technology Holdings Ltd	2002/08/16	800,000,000	65.36
08130	Milkyway Image Holdings Ltd	2002/08/26	560,000,000	43.76
08201	Wanyou Fire Safety Technology Holdings Ltd	2002/09/30	2,000,000,000	200.00
Total				3,995.29

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2001	Q3	2	0.09	5.63
	Q4	3	0.29	42.03
2002	Q1	4	1.13	35.44
	Q2	5	0.41	61.84
	Q3	4	0.22	60.64

Newly Listed Equity GEM Warrants

for 3rd quarter 2002

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				–
Total					–

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2002	3rd Quarter 2001
Hang Seng Index futures	1,357,935	1,163,020
Hang Seng Index options	300,060	185,487
Mini-Hang Seng Index futures	318,198	209,789
MSCI China Free Index futures	328	928
Dow Jones Industrial Average futures ⁵	2,771	–
Hang Seng 100 futures ³	–	0
Hang Seng 100 options ³	–	2
Red-chip futures ⁴	–	54
Red-chip options ³	–	0
Hang Seng Properties Sub-index futures ³	–	0
Hang Seng Properties Sub-index options ³	–	0
Stock futures	4,828	1,708
Stock options	1,111,055	874,879
International Stock futures ¹	0	–
International Stock options ¹	0	–
Three-month HIBOR futures ⁷	25,712	189,472
One-month HIBOR futures ⁷	85	2,315
Three-year Exchange Fund Note futures ²	275	–
Rolling Forex ⁶	–	792
Total	3,121,247	2,628,446

1 Trading in International Stock futures and International Stock options commenced on 4 October 2001.

2 Trading in Three-year Exchange Fund Note futures commenced on 19 November 2001.

3 Trading in Hang Seng 100 futures, Hang Seng 100 options, Red-chips options, Hang Seng Properties Sub-Index futures and Hang Seng Properties Sub-Index options suspended with effect from 31 July 2001.

4 Trading in Red-chips futures suspended with effect from 31 August 2001.

5 Trading in Dow Jones Industrial Average futures commenced on 6 May 2002.

6 Trading in Rolling Forex suspended with effect from 21 May 2002.

7 The size of HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002.

Derivatives Market – Futures and Options

Open Interest by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2002	3rd Quarter 2001
Hang Seng Index futures	48,167	38,050
Hang Seng Index options	51,463	29,026
Mini-Hang Seng Index futures	2,623	2,551
MSCI China Free Index futures	30	55
Dow Jones Industrial Average futures ⁵	91	–
Hang Seng 100 futures ³	–	–
Hang Seng 100 options ³	–	–
Red-chip futures ⁴	–	–
Red-chip options ³	–	–
Hang Seng Properties Sub-index futures ³	–	–
Hang Seng Properties Sub-index options ³	–	–
Stock futures	497	307
Stock options	430,494	374,842
International Stock futures ¹	0	–
International Stock options ¹	0	–
Three-month HIBOR futures ⁷	13,919	65,930
One-month HIBOR futures ⁷	55	1,250
Three-year Exchange Fund Note futures ²	0	–
Rolling Forex ⁶	–	387
Total	547,339	512,398

1 Trading in International Stock futures and International Stock options commenced on 4 October 2001.

2 Trading in Three-year Exchange Fund Note futures commenced on 19 November 2001.

3 Trading in Hang Seng 100 futures, Hang Seng 100 options, Red-chips options, Hang Seng Properties Sub-Index futures and Hang Seng Properties Sub-Index options suspended with effect from 31 July 2001.

4 Trading in Red-chips futures suspended with effect from 31 August 2001.

5 Trading in Dow Jones Industrial Average futures commenced on 6 May 2002.

6 Trading in Rolling Forex suspended with effect from 21 May 2002.

7 The size of HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002.

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2001	Q3	1,163,020	38,050
	Q4	1,152,353	33,138
2002	Q1	1,050,449	38,552
	Q2	1,143,065	48,691
	Q3	1,357,935	48,167

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2001	Q3	185,487	29,026
	Q4	217,129	29,741
2002	Q1	195,265	31,765
	Q2	194,315	39,637
	Q3	300,060	51,463

Three-month HIBOR Futures* – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2001	Q3	189,472	65,930
	Q4	126,686	58,830
2002	Q1	124,068	46,013
	Q2	112,058	8,929
	Q3	25,712	13,919

* The size of Three-month HIBOR futures contracts was increased to 5 times the original size starting 27 May 2002.

Stock Options Market Turnover and Open Interest (contracts)

		Total	Total	Quarter-end
		Premium (HK\$ mil.)	contract volume	open interest
2001	Q3	1,261.75	874,879	374,842
	Q4	1,045.09	778,042	231,657
2002	Q1	958.46	794,898	338,142
	Q2	1,097.46	951,927	572,118
	Q3	1,689.88	1,111,055	430,494

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 3rd Quarter 2002

Options class		Premium (HK\$ mil.)	% of total
Bank of East Asia	BEA	4.68	0.28
China Mobile	CHT	133.78	7.92
China Unicom	CHU	105.98	6.27
CITIC Pacific	CIP	64.27	3.80
Cheung Kong	CKH	282.13	16.70
Cheung Kong Infrastructure	CKI	1.59	0.09
CLP Holdings	CLP	7.20	0.43
China Merchants	CMH	2.28	0.13
CNOOC	CNC	5.90	0.35
COSCO Pacific	COS	0.96	0.06
Cathay Pacific	CPA	8.07	0.48
Sinopec Corp	CPC	0.02	0.00
China Resources	CRL	5.67	0.34
Hang Seng Bank	HAB	19.31	1.14
Hong Kong Exchanges	HEX	0.89	0.05
HSBC Holdings	HKB	454.81	26.91
HK Electric	HKE	6.49	0.38
Hong Kong Gas	HKG	3.31	0.20
Henderson Land	HLD	19.22	1.14
Huaneng Power	HNP	0.03	0.00
Hutchison Whampoa	HWL	226.87	13.43
Johnson Electric	JSE	6.37	0.38
Legend Holdings	LEH	0.53	0.03
Li & Fung	LIF	9.58	0.57
MTR Corporation	MTR	2.05	0.12
New World Development	NWD	2.22	0.13
Pacific Century CyberWorks	PCC	2.23	0.13
PetroChina	PEC	2.03	0.12
SHK Properties	SHK	264.44	15.65
Shanghai Industrial	SIH	9.53	0.56
Swire Pacific 'A'	SPA	11.00	0.65
Tracker Fund of HK	TRF	15.43	0.91
Wharf (Holdings)	WHL	11.00	0.65
Total		1,689.88	100.00

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 3rd Quarter 2002

Options class		Contract volume	% of total
Bank of East Asia	BEA	26,751	2.41
China Mobile	CHT	175,438	15.79
China Unicom	CHU	58,568	5.27
CITIC Pacific	CIP	32,152	2.89
Cheung Kong	CKH	50,950	4.59
Cheung Kong Infrastructure	CKI	2,778	0.25
CLP Holdings	CLP	19,311	1.74
China Merchants	CMH	5,353	0.48
CNOOC	CNC	12,601	1.13
COSCO Pacific	COS	1,191	0.11
Cathay Pacific	CPA	8,508	0.77
Sinopec Corp	CPC	280	0.03
China Resources	CRL	5,173	0.47
Hang Seng Bank	HAB	60,905	5.48
Hong Kong Exchanges	HEX	617	0.06
HSBC Holdings	HKB	376,523	33.89
HK Electric	HKE	14,117	1.27
Hong Kong Gas	HKG	6,286	0.57
Henderson Land	HLD	15,873	1.43
Huaneng Power	HNP	36	0.00
Hutchison Whampoa	HWL	41,852	3.77
Johnson Electric	JSE	21,884	1.97
Legend Holdings	LEH	853	0.08
Li & Fung	LIF	8,074	0.73
MTR Corporation	MTR	11,634	1.05
New World Development	NWD	5,640	0.51
Pacific Century CyberWorks	PCC	17,776	1.60
PetroChina	PEC	6,851	0.62
SHK Properties	SHK	53,980	4.86
Shanghai Industrial	SIH	10,970	0.99
Swire Pacific 'A'	SPA	14,202	1.28
Tracker Fund of HK	TRF	32,806	2.95
Wharf (Holdings)	WHL	11,122	1.00
Total		1,111,055	100.00

Derivatives Market – Futures and Options

Stock Options Open Interest by Class

as at the end of 3rd Quarter 2002

Options class		Open interest (contracts)	% of total
Bank of East Asia	BEA	31,985	7.43
China Mobile	CHT	51,596	11.99
China Unicom	CHU	27,091	6.29
CITIC Pacific	CIP	20,608	4.79
Cheung Kong	CKH	9,599	2.23
Cheung Kong Infrastructure	CKI	2,965	0.69
CLP Holdings	CLP	7,787	1.81
China Merchants	CMH	8,263	1.92
CNOOC	CNC	9,963	2.31
COSCO Pacific	COS	481	0.11
Cathay Pacific	CPA	5,165	1.20
Sinopec Corp	CPC	260	0.06
China Resources	CRL	3,473	0.81
Hang Seng Bank	HAB	28,935	6.72
Hong Kong Exchanges	HEX	48	0.01
HSBC Holdings	HKB	116,325	27.02
HK Electric	HKE	7,296	1.69
Hong Kong Gas	HKG	6,385	1.48
Henderson Land	HLD	5,943	1.38
Huaneng Power	HNP	26	0.01
Hutchison Whampoa	HWL	10,742	2.50
Johnson Electric	JSE	6,414	1.49
Legend Holdings	LEH	701	0.16
Li & Fung	LIF	3,214	0.75
MTR Corporation	MTR	3,826	0.89
New World Development	NWD	4,853	1.13
Pacific Century CyberWorks	PCC	16,098	3.74
PetroChina	PEC	6,361	1.48
SHK Properties	SHK	6,335	1.47
Shanghai Industrial	SIH	3,246	0.75
Swire Pacific 'A'	SPA	3,665	0.85
Tracker Fund of HK	TRF	12,651	2.94
Wharf (Holdings)	WHL	8,194	1.90
Total		430,494	100.00