

Market Highlights

Main Board

Highlights	3rd Quarter 2006	3rd Quarter 2005
No. of listed companies	954	907
No. of listed securities	2,812	2,305
Equities		
Ordinary shares	955	907
Preference shares	2	4
Warrants	1634	1219
Equity	28	27
Derivative	1606	1192
Equity linked instrument	0	0
Callable bull/bear contracts	35	0
Unit trusts/mutual funds	13	10
Debt securities	173	165
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	65	64
Total turnover in value (HK\$ mil.)	1,697,768.69	1,313,745.31
Total turnover in shares (Mil. shs)	2,389,491.37	1,510,449.09
Total number of trades (deals)	12,821,829	10,728,434
Total market capitalisation (HK\$ mil.)	10,525,904.43	7,543,892.66
Average P/E ratio (times)	13.88	14.72
Average dividend yield (%)	2.72	3.05
Number of exchange participants		
– Trading	425	426
– Non-trading	42	40
Turnover in value (HK\$ mil.)		
Highest	50,283.66 (22/09)	33,538.61 (18/08)
Lowest	17,926.10 (24/07)	13,704.84 (12/09)
Turnover in shares (Mil. shs)		
Highest	51,302.66 (20/09)	32,001.43 (18/08)
Lowest	25,250.41 (26/07)	15,475.16 (12/09)
Total number of trades (deals)		
Highest	321,219 (22/09)	273,042 (18/08)
Lowest	137,597 (24/07)	110,744 (12/09)

Market Highlights

Growth Enterprise Market (GEM)

Highlights	3rd Quarter 2006	3rd Quarter 2005
No. of listed companies	198	202
No. of listed securities	198	202
Equities	198	202
Warrants	0	0
No. of trading days	65	64
Total turnover in value (HK\$ mil.)	8,747.10	7,474.63
Total turnover in shares (Mil. shs)	11,928.68	12,180.25
Total number of trades (deals)	234,288	165,454
Total market capitalisation (HK\$ mil.)	77,829.34	72,282.93
Average P/E ratio (times)	19.32	22.63
Average dividend yield (%)	2.43	0.97
Turnover in value (HK\$ mil.)		
Highest	757.30 (25/09)	767.65 (11/08)
Lowest	69.15 (29/08)	37.15 (26/07)
Turnover in shares (Mil. shs)		
Highest	682.12 (06/09)	628.84 (11/08)
Lowest	85.70 (08/08)	69.65 (15/07)
Total number of trades (deals)		
Highest	24,070 (25/09)	5,877 (12/08)
Lowest	2,026 (14/07)	1,051 (18/07)

Market Highlights

Derivatives Market

Highlights	3rd Quarter 2006	3rd Quarter 2005
All futures and options products (excluding stock options)		
No. of trading days	65.0	64
Total contract volume	6,234,239	4,696,418
Equity index products	6,195,437	4,687,076
Equity products	35,930	4,520
Interest rates products	2,872	4,822
Average daily contract volume ¹	95,911	73,382
Total open interest as at the quarter end (contracts)	442,823	409,267
Equity index products	438,541	405,128
Equity products	3,163	1,730
Interest rates products	1,119	2,409
Stock options		
No. of newly introduced stock options classes	1	2
No. of stock options as at the quarter end		
Class	42	39
Series	3,208	2,986
Total premium (HK\$ mil.)	4,248.66	4,544.34
Call	2,300.43	2,867.20
Put	1,948.22	1,677.15
Average daily premium (HK\$ mil.)	65.36	71.01
Total contract volume	4,344,327	3,186,175
Call	1,850,338	1,548,434
Put	2,493,989	1,637,741
Average daily contract volume	66,836	49,784
Total open interest as at the quarter end (contracts)	1,675,179	1,181,211
Call	771,138	544,850
Put	904,041	636,361
Total number of trades	94,741	87,759
Average daily number of trades	1,458	1,371
Total contracts exercised	630,904	426,138
Average premium per contract (HK\$)	978	1,426
Average contract per trade (contracts)	46	36
Put volume/call volume	1.35	1.06
Average daily contract volume/open interest	4.0%	4.2%
Options volume (shares)/underlying stock volume	4.5%	4.7%
All futures and options products		
Total contract volume	10,578,566	7,882,593
Total open interest as at the quarter end (contracts)	2,118,002	1,590,478

¹ Average daily contract volume is calculated based on the number of trading days of the Hong Kong Market.

Market Highlights

Clearing and Settlement

CCASS Statistics	3rd Quarter 2006	3rd Quarter 2005
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	200,860	170,214
– Value of trades (HK\$bil.)	26.3	20.6
– Share quantity Involved (bil. shs)	36.9	23.8
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	31,422	26,301
– Value of SIs (HK\$bil.)	65.1	50.4
– Share quantity involved (bil. shs)	17.1	13.6
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	531	381
– Value of ISIs (HK\$mil.)	171.8	108.7
– Share quantity involved (mil. shs)	67.1	71.5
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.81	99.76
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.98	99.97
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	8	9
– Number of buy-ins	8	10
– Value of buy-ins (HK\$mil)	2.50	3.60
Shares Deposited in the CCASS Depository		
– Number of shares (bil. shs)	1,478.2	1,277.1
– Percentage of total issued shares of the admitted securities	57.00	60.79
– Value of shares (HK\$mil)	4,100.3	2,984.4
– Percentage of the total market capitalisation of the admitted securities	36.46	36.65
DCASS Statistics	3rd Quarter 2006	3rd Quarter 2005
Open Interest as at the quarter end (contracts)		
– Equity Index Futures	184,606	154,242
– Stock Futures	3,163	1,730
– Interest Rates Futures	1,119	2,409
– Equity Index Options	253,935	250,886
– Stock Options	1,675,179	1,181,211
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	542.4	388.7
– Value of shares (HK\$bil.)	17.7	13.9

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2005	Q3	284,688.60	1,022,082.34	6,567,887
	Q4	236,651.26	874,711.10	5,781,013
2006	Q1	435,321.92	1,514,286.88	10,443,937
	Q2	418,171.51	1,593,381.37	10,338,001
	Q3	287,112.52	1,232,024.38	8,440,107

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2005	Q3	64	15,970.04	155,618
	Q4	62	14,108.24	151,308
2006	Q1	62	24,423.98	144,992
	Q2	59	27,006.46	154,129
	Q3	65	18,954.22	145,973

Main Board Equities

20 Most Advanced Stocks

for 3rd quarter 2006

Rank	Code	Stock	Closing price			% up
			End of Sep 2006	End of Jun 2006		
1	00381	KIU HUNG INT'L	0.750	0.101	A	642.57
2	00340	INNOMAXX BIOTEC	1.520	0.415		266.27
3	00567	DAISHOMICROLINE	2.810	0.830		238.55
4	01129	SKY HAWK COMP	0.300	0.095		215.79
5	00139	139 HOLDINGS	0.330	0.105		214.29
6	00629	YUE DA HOLDINGS	4.180	1.400		198.57
7	00603	NIPPON ASIA INV	0.154	0.060		156.67
8	00136	MASCOTTE HOLD	0.500	0.210		138.10
9	00126	TAK SING ALLI	0.950	0.400		137.50
10	00989	CHINA MOTION	0.620	0.270		129.63
11	00918	TAKSON HOLDINGS	0.202	0.090		124.44
12	00850	WING SHING INTL	0.660	0.300		120.00
13	02336	SUNLINK INT'L	0.140	0.066		112.12
14	00366	LUKS IND(GROUP)	2.740	1.300		110.77
15	00508	CHEVALIER ITECH	3.000	1.490		101.34
16	00818	HI SUN TECH	1.480	0.750		97.33
17	00997	DECCA HOLDINGS	1.520	0.780		94.87
18	00690	UNI-BIO GROUP	3.790	1.990		90.45
19	01104	SHANGHAI MER H	0.290	0.153		89.54
20	01225	LERADO GROUP	0.970	0.520		86.54

A Adjusted

20 Most Declined Stocks

for 3rd quarter 2006

Rank	Code	Stock	Closing price			% down
			End of Sep 2006	End of Jun 2006		
1	00913	UNITY INV HOLD	0.067	0.385		-82.60
2	00718	BESTWAY INT'L	0.033	0.170		-80.59
3	00689	GREATWALL CYBER	0.127	0.396	A	-67.93
4	00959	A-MAX	0.071	0.197		-63.96
5	01218	EASYKNIT INT'L	0.044	0.120		-63.33
6	01064	ZHONG HUA INT'L	0.105	0.240		-56.25
7	02882	OCEAN G CHEM	0.620	1.250		-50.40
8	00079	CENTURY LEGEND	0.049	0.098		-50.00
9	00043	C.P. POKPHAND	0.202	0.375		-46.13
10	00307	TIDETIME SUN	0.069	0.127		-45.67
11	01198	CHITALY HOLD	0.800	1.430		-44.06
12	00193	CAPITAL ESTATE	0.115	0.205		-43.90
13	00471	GLOBAL FLEX	0.490	0.860		-43.02
14	01188	COMPASS PACIFIC	0.109	0.190		-42.63
15	01220	OCEAN GRAND	1.010	1.760		-42.61
16	01217	SINO TECHNOLOGY	0.039	0.067	A	-41.79
17	01142	RONTEX INT'L	0.021	0.036		-41.67
18	00607	WARDERLY	0.275	0.465		-40.86
19	02668	PAK TAK INT'L	0.210	0.345		-39.13
20	00365	SUN EAST TECH	0.230	0.370		-37.84

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 3rd quarter 2006

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00005	HSBC HOLDINGS	125,303.49	10.12
2	02628	CHINA LIFE	70,962.77	5.73
3	00941	CHINA MOBILE	63,445.66	5.13
4	00939	CCB	54,458.21	4.40
5	00857	PETROCHINA	51,156.70	4.13
6	03988	BANK OF CHINA	44,602.13	3.60
7	00013	HUTCHISON	35,225.64	2.85
8	02388	BOC HONG KONG	30,998.46	2.50
9	00883	CNOOC	30,962.52	2.50
10	00386	SINOPEC CORP	26,546.13	2.14
11	00016	SHK PPT	25,397.86	2.05
12	00001	CHEUNG KONG	22,274.99	1.80
13	00388	HKEX	21,104.99	1.71
14	03968	CM BANK	20,142.32	1.63
15	03328	BANKCOMM	19,769.11	1.60
16	00330	ESPRIT HOLDINGS	19,431.34	1.57
17	02600	CHALCO	15,365.00	1.24
18	00728	CHINA TELECOM	14,714.67	1.19
19	02318	PING AN	13,786.28	1.11
20	00019	SWIRE PACIFIC A	12,386.16	1.00
Total			718,034.42	58.01

20 Most Active Stocks by Shares

for 3rd quarter 2006

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	00939	CCB	15,984.74	5.29
2	03988	BANK OF CHINA	12,879.71	4.26
3	00412	HERITAGE INT'L	11,186.41	3.70
4	00959	A-MAX	6,182.47	2.05
5	00491	SEE CORPORATION	6,138.37	2.03
6	00857	PETROCHINA	5,968.28	1.98
7	00386	SINOPEC CORP	5,755.50	1.90
8	00728	CHINA TELECOM	5,532.14	1.83
9	02628	CHINA LIFE	5,167.40	1.71
10	00419	ASIAN UNION	5,044.01	1.67
11	00883	CNOOC	4,723.05	1.56
12	00340	INNOMAXX BIOTEC	4,039.89	1.34
13	00680	NAN HAI CORP	3,930.16	1.30
14	03328	BANKCOMM	3,878.61	1.28
15	00718	BESTWAY INT'L	3,710.13	1.23
16	00981	SMIC	3,008.05	1.00
17	00910	GOOD FELLOW GP	2,931.40	0.97
18	00555	REX FINANCIAL H	2,929.00	0.97
19	02600	CHALCO	2,857.23	0.95
20	00530	FORTUNA INT'L	2,496.15	0.83
Total			114,342.72	37.84

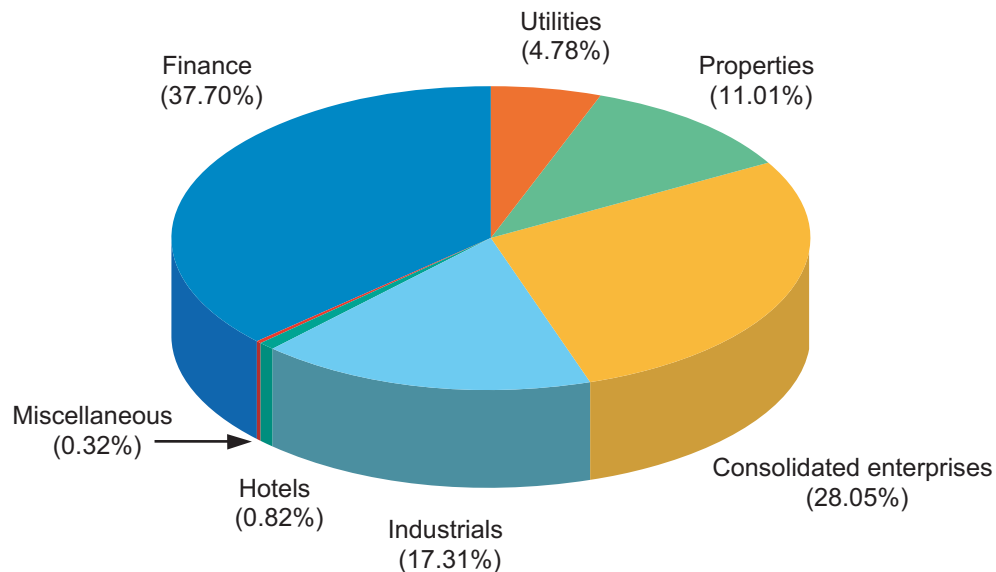
Main Board Equities

Market Capitalisation of Listed Companies for Main Board

		HK\$ mil.
2005	Q3	7,543,892.66
	Q4	8,113,333.48
2006	Q1	9,331,784.89
	Q2	9,758,372.27
	Q3	10,525,904.43

Market Capitalisation by Classifications

Quarter-end figures



Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 3rd quarter end 2006

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total
1	00005	HSBC Holdings plc	1,637,702.25	15.56
2	00941	China Mobile Ltd.	1,096,422.38	10.42
3	00939	China Construction Bank Corporation – H Shares	757,202.21	7.19
4	00013	Hutchison Whampoa Ltd.	293,319.91	2.79
5	00883	CNOOC Ltd.	280,769.02	2.67
6	02888	Standard Chartered PLC	269,510.77	2.56
7	03988	Bank of China Ltd. – H Shares	254,667.84	2.42
8	00016	Sun Hung Kai Properties Ltd.	211,930.43	2.01
9	00001	Cheung Kong (Holdings) Ltd.	193,747.15	1.84
10	00011	Hang Seng Bank Ltd.	188,220.92	1.79
11	02388	BOC Hong Kong (Holdings) Ltd.	185,023.65	1.76
12	00857	PetroChina Co. Ltd. – H Shares	176,808.78	1.68
13	02038	Foxconn International Holdings Ltd.	167,135.32	1.59
14	03328	Bank of Communications Co., Ltd. – H Shares	126,623.93	1.20
15	00019	Swire Pacific Ltd.	119,523.39	1.14
16	00002	CLP Holdings Ltd.	113,669.21	1.08
17	02628	China Life Insurance Co. Ltd. – H Shares	113,403.51	1.08
18	00066	MTR Corporation Ltd.	108,144.42	1.03
19	00003	Hong Kong and China Gas Co. Ltd., The	100,589.96	0.96
20	00762	China Unicom Ltd.	96,731.56	0.92
21	00906	China Netcom Group Corporation (Hong Kong) Ltd.	92,716.30	0.88
22	00330	Esprit Holdings Ltd.	86,708.74	0.82
23	00386	China Petroleum & Chemical Corporation – H Shares	81,217.56	0.77
24	00012	Henderson Land Development Co. Ltd.	79,478.60	0.76
25	00006	Hongkong Electric Holdings Ltd.	77,793.84	0.74
26	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	71,642.02	0.68
27	02332	Hutchison Telecommunications International Ltd.	65,490.09	0.62
28	00004	Wharf (Holdings) Ltd., The	65,358.31	0.62
29	00494	Li & Fung Ltd.	62,814.91	0.60
30	00293	Cathay Pacific Airways Ltd.	62,749.05	0.60
31	00101	Hang Lung Properties Ltd.	62,100.67	0.59
32	00388	Hong Kong Exchanges and Clearing Ltd.	60,541.38	0.58
33	00083	Sino Land Co. Ltd.	59,387.69	0.56
34	00023	Bank of East Asia, Ltd., The	54,715.01	0.52
35	01038	Cheung Kong Infrastructure Holdings Ltd.	53,762.91	0.51
36	00144	China Merchants Holdings (International) Co. Ltd.	52,994.05	0.50
37	00267	CITIC Pacific Ltd.	52,659.44	0.50
38	00017	New World Development Co. Ltd.	49,169.33	0.47
39	00069	Shangri-La Asia Ltd.	43,954.60	0.42
40	00097	Henderson Investment Ltd.	42,845.42	0.41
41	01088	China Shenhua Energy Co. Ltd. – H Shares	42,618.22	0.40
42	00688	China Overseas Land & Investment Ltd.	41,570.20	0.39
43	00291	China Resources Enterprise, Ltd.	39,435.78	0.37
44	00551	Yue Yuen Industrial (Holdings) Ltd.	39,197.93	0.37
45	00728	China Telecom Corporation Ltd. – H Shares	39,134.30	0.37
46	02689	Nine Dragons Paper (Holdings) Ltd.	36,561.50	0.35
47	00683	Kerry Properties Ltd.	34,948.33	0.33
48	01199	COSCO Pacific Ltd.	34,588.57	0.33
49	00053	Guoco Group Ltd.	32,724.16	0.31
50	00322	Tingyi (Cayman Islands) Holdings Corp.	32,526.27	0.31
Total			8,142,551.79	77.36
Market Total			10,525,904.43	100.00

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.)*	% of equity total
2005	Q3	46,081.50	1,029,556.93	4.48
	Q4	34,186.15	880,119.81	3.88
2006	Q1	70,396.37	1,526,959.89	4.61
	Q2	109,766.40	1,604,845.70	6.84
	Q3	86,732.08	1,240,771.48	6.99

* Equity turnover value for Main Board and GEM

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs)*	% of equity total
2005	Q3	5,042.44	296,868.85	1.70
	Q4	3,485.75	243,763.95	1.43
2006	Q1	8,217.68	446,859.71	1.84
	Q2	11,849.29	431,301.85	2.75
	Q3	8,812.81	299,041.19	2.95

* Equity turnover in share for Main Board and GEM

New Listing Companies Statistics - Equities

		No. of newly listed companies	Funds raised (HK\$ mil.)
2005	Q3	9	4,068.68
	Q4	29	95,791.29
2006	Q1	12	11,694.58
	Q2	10	93,595.65
	Q3	10	31,907.28

Main Board Equities

Newly Listed Companies

for 3rd quarter 2006

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
00352	Fortune Sun (China) Holdings Ltd.	2006/07/05	200,000,000	74.50
00813	Shimao Property Holdings Ltd.	2006/07/05	3,064,887,164	4,277.45
03900	Greentown China Holdings Ltd.	2006/07/13	1,347,402,500	3,069.15
03989	Hembly International Holdings Ltd.	2006/07/13	250,080,000	131.38
03899	Enric Energy Equipment Holdings Ltd.	2006/07/20	445,200,000	–
03322	Win Hanverky Holdings Ltd.	2006/09/06	1,245,000,000	786.60
03303	Jutal Offshore Oil Services Ltd.	2006/09/21	400,000,000	138.00
03968	China Merchants Bank Co., Ltd. – H Shares	2006/09/22	2,662,000,000	20,691.00
03983	China BlueChemical Ltd. – H Shares	2006/09/29	1,540,000,000	2,660.00
02700	Smart Union Group (Holdings) Ltd.	2006/09/29	240,000,000	79.20
Total				31,907.28

Withdrawal of Listed Companies

for 3rd quarter 2006

Code	Company name	Last trading date	Delisted date
00712	China Resources Cement Holdings Ltd.	2006/07/13	2006/07/26
00708	People's Food Holdings Ltd.	2006/08/03	2006/08/09
00396	Gold-Face Holdings Ltd.	2003/05/16	2006/09/20
00623	SNP Leefung Holdings Ltd.	2006/09/18	2006/09/27

Main Board Equities

Company Name Changes

for 3rd quarter 2006

Code	Old name	New name	Effective date	Adoption date
00199	Cheung Tai Hong Holdings Ltd.	Macau Prime Properties Holdings Ltd.	2006/05/23	2006/07/05
00279	Inner Mongolia Development (Holdings) Ltd.	Freeman Corporation Ltd.	2006/06/14	2006/07/07
00665	Tai Fook Securities Group Ltd. *	Taifook Securities Group Ltd.	2006/06/06	2006/07/07
02371	ZZNode Holdings Co. Ltd.	ZZNode Technologies Co. Ltd.	2006/05/17	2006/07/14
00979	China Nan Feng Group Ltd.	Green Energy Group Ltd.	2006/06/07	2006/07/19
00061	Technology Venture Holdings Ltd.	Venture International Investment Holdings Ltd.	2006/05/31	2006/07/21
00419	Universal Holdings Ltd.	Asian Union New Media (Group) Ltd.	2006/06/29	2006/08/15
00616	Asia Alliance Holdings Ltd.	Easyknit Enterprises Holdings Ltd.	2006/08/10	2006/08/25
00905	Haywood Investments Ltd.	Apex Capital Ltd.	2006/06/23	2006/08/25
00632	China Merchants DiChain (Asia) Ltd.	Pearl Oriental Innovation Ltd.	2006/08/02	2006/09/07
00979	Green Energy Group Ltd.#	Green Energy Group Ltd.	2006/08/22	2006/09/07
00091	New Smart Holdings Ltd.	New Smart Energy Group Ltd.	2006/09/22	2006/09/26

* Change of English name only

Change of Chinese name only

Main Board Equities

Bonus Issues / Bonus Warrants

for 3rd quarter 2006

Code	Company	Particulars	Ex-date
00059	Skyfame Realty (Holdings) Ltd.	10 2008 wts for 13 offer shs	2006/07/06
02324	Sino Katalytics Investment Corporation	1 for 1	2006/07/17
01222	Wang On Group Ltd.	1 for 10	2006/08/10
00952	Quam Ltd.	1 for 4	2006/09/07
00690	Uni-Bio Science Group Ltd.	2 2008 wts for 10	2006/09/18

Share Split / Consolidation

for 3rd quarter 2006

Code	Company	Particulars	Effective date
00767	Pacific Plywood Holdings Ltd.	Consolidation 10 into 1	2006/07/20
00689	Great Wall Cybertech Ltd.	Consolidation 100 into 1	2006/08/01
00585	Imagi International Holdings Ltd.	Split 1 into 5	2006/09/22
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	Split 1 into 4	2006/09/28

Main Board Equities

Rights Issues & Open Offers

for 3rd quarter 2006

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	02349	Wah Yuen Holdings Ltd.	Rts 3 for 2 @\$0.10	32.10
	01104	Shanghai Merchants Holdings Ltd.	Rts 2 for 1 @\$0.10	82.60
	00905	Apex Capital Ltd.	Open offer 1 for 2 @\$0.07	5.60
	00167	IDT International Ltd.	Rts 1 for 5 @\$0.30	125.11
	00059	Skyfame Realty (Holdings) Ltd.	Open offer 13 for 40 @\$0.90 with Bonus 10 2008 wts for 13 offer shs	240.59
Aug	00381	Kiu Hung International Holdings Ltd.	Open offer 7 for 20 @\$0.05	38.74
	00767	Pacific Plywood Holdings Ltd.	Open offer 1 for 1 @\$0.025	13.95
	00119	Poly (Hong Kong) Investments Ltd.	Rts 1 for 2 @\$1.35	605.43
	00305	Magnum International Holdings Ltd.	Rts 1 for 2 @\$0.03155	9.70
Sep	00729	Carico Holdings Ltd.	Open offer 1 for 1 @\$0.12	99.55
	00535	Frasers Property (China) Ltd.	Rts 1 for 2 @\$0.12	273.70
	00689	Great Wall Cybertech Ltd.	Open offer 9 for 5 @\$0.06	8.72
	01217	Sino Technology Investments Co. Ltd.	Rts 1 for 2 @\$0.04	4.00
Total				1,539.79

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2006					
Jun **	00544	DAIDO GROUP	480,000,000	0.116	55.68
	00467	ORIENT RES GP	1,699,998,000	0.100	170.00
	00868	XINYI GLASS	61,718,000	3.100	191.33
	00256	CHINA HAIDIAN	247,200,000	0.200	49.44
	00039	WEALTHMARK INTL	12,000,000	0.540	6.48
	00497	CAPITAL STRAT	313,500,000	1.590	498.47
	00200	MELCO INT'L DEV	63,600,000	19.100	1,214.76
	00199	MACAU PRIME PPT	833,332,000	0.600	500.00
	02028	JOLIMARK	60,000,000	1.470	88.20
	01125	LAI FUNG HOLD	1,610,000,000	0.400	644.00
	00208	POLYTEC ASSET	2,811,411,970	1.980	5,566.60
	00190	HK CONSTRUCTION	465,681,854	1.044	486.17
	00736	NORTHERN INT'L	58,000,000	0.230	13.34
	01166	SOLARTECH INT'L	93,000,000	1.000	93.00
	00850	WING SHING INTL	86,000,000	0.285	24.51
Jul	01139	VICTORY GROUP	25,800,000	0.140	3.61
	00326	CHINA STAR ENT	80,000,000	0.365	29.20
	00738	LE SAUNDA HOLD	100,000,000	1.100	110.00
	00193	CAPITAL ESTATE	264,000,000	0.201	53.06
	00555	REX FINANCIAL H	600,000,000	0.200	120.00
	00500	DVN (HOLDINGS)	58,500,000	1.200	70.20
	00091	NEW SMART	146,000,000	0.450	65.70
	03318	CHINA FLAVORS	36,563,000	3.150	115.17
	00500	DVN (HOLDINGS)	58,500,000	1.200	70.20
	00943	EFORCE HOLDINGS	360,000,000	0.050	18.00
	00476	CHINA ELEGANCE	150,000,000	0.055	8.25
	00439	CLIMAX INT'L	39,000,000	0.210	8.19
	02698	WEIQIAO TEXTILE	68,936,500	12.050	830.68

Main Board Equities

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
Aug	00086	SUN HUNG KAI CO	248,000,000	7.000	1,736.00
	00989	CHINA MOTION	25,000,000	0.189	4.73
	00131	CHEUK NANG HOLD	11,000,000	4.000	44.00
	00555	REX FINANCIAL H	730,000,000	0.350	255.50
	02028	JOLIMARK	23,000,000	1.340	30.82
	00690	UNI-BIO GROUP	108,000,000	2.500	270.00
	02324	SINO KATALYTICS	22,900,000	0.400	9.16
	01063	SUNCORP TECH	82,000,000	1.750	143.50
	01226	GARRON INT'L	4,000,000	0.350	1.40
	00217	CHINA CHENGTONG	332,000,000	0.300	99.60
	00901	RADFORD CAPITAL	125,000,000	0.093	11.63
	03389	XINYU HENGDELI	148,500,000	3.100	460.35
	00260	SINOGAS	125,000,000	0.530	66.25
	00739	ZHEJIANG GLASS	142,120,000	1.800	255.82
	00382	HUALING	640,000,000	0.130	83.20
	00260	SINOGAS	40,000,000	0.530	21.20
Sep	00753	AIR CHINA	1,179,151,364	3.450	4,068.07
Total					18,665.46

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2005	Q3	471.64	282,999.55	283,471.19
	Q4	167.88	244,145.28	244,313.16
2006	Q1	527.28	376,960.34	377,487.62
	Q2	369.42	374,903.79	375,273.21
	Q3	1,091.78	439,291.20	440,382.98

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2005	Q3	27	1,603.62	1,192	139,464.18	1,219	141,067.81
	Q4	27	1,156.84	1,304	98,490.16	1,331	99,646.99
2006	Q1	26	1,884.97	1,366	168,304.43	1,392	170,189.40
	Q2	29	1,862.10	1,481	158,960.38	1,510	160,822.48
	Q3	28	2,779.41	1,606	137,208.61	1,634	139,988.02

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2005	Q3	5	559	52,123.22
	Q4	1	444	33,880.97
2006	Q1	2	546	45,628.02
	Q2	6	566	47,806.22
	Q3	3	716	63,478.06

Newly Listed Equity Warrants

for 3rd quarter 2006

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
00473	Anex International Holdings Ltd. Warrants 2008	30,745,670	2006/07/05	2008/07/04	
00580	China Overseas Land & Investment Ltd. Warrants 2007	3,650,393,030	2006/07/18	2007/07/17	
00584	Skyfame Realty (Holdings) Ltd. Warrants 2008	226,197,642	2006/08/07	2008/08/02	
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 3rd quarter 2006

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
09363	Credit Suisse – BOC HK European Warrants May 2007	40,000,000	2006/07/03	2007/05/28	43.64
09364	Credit Suisse – HSBC European Put Warrants Jan 2007	50,000,000	2006/07/03	2007/01/29	16.50
09366	Credit Suisse – Hutchison European Warrants Jan 2007 B	50,000,000	2006/07/03	2007/01/29	30.80
09367	Credit Suisse – PetroChina European Warrants Jan 2007	80,000,000	2006/07/03	2007/01/29	40.64
04485 #	ABN AMRO Bank N.V. – HSBC European Warrants Sep 2006 A	100,000,000	2006/07/03	2006/09/11	38.00
03633 #	Macquarie Bank Ltd. – HKEx European Warrants Oct 2006 A	100,000,000	2006/07/03	2006/10/31	34.50
09370	Macquarie Bank Ltd. – Ch Life European Put Wts Jan 2007 A	100,000,000	2006/07/04	2007/01/30	60.60
09371	KBC Fin Products Int'l Ltd. – CCB European Warrants Jan 2007	368,000,000	2006/07/04	2007/01/08	95.68
09372	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt Jan 2007	208,000,000	2006/07/05	2007/01/15	99.84
09373	SGA Societe Generale Acceptance N.V. – CCB Euro Wts Jan 2007	400,000,000	2006/07/05	2007/01/15	100.00
09374	SGA Societe Generale Acceptance N.V. – HWL Eu Put Wt Jan 07	238,000,000	2006/07/05	2007/01/15	99.96
09375	SGA Societe Generale Acceptance N.V. – SHK P Eu Put Wt Jan07	196,000,000	2006/07/05	2007/01/15	99.96
09376	SGA Societe Generale Acceptance N.V. – CK(H) Eu Put Wt Jan07	179,000,000	2006/07/05	2007/01/15	100.24
03753 #	Fortis Bank S.A./N.V. – HKEx European Warrants Oct 2006	91,000,000	2006/07/05	2006/10/27	10.10
09394	ABN AMRO Bank N.V. – CC Bank European Warrants Jan 2007	250,000,000	2006/07/05	2007/01/22	68.75
09395	ABN AMRO Bank N.V. – Sinopec Corp European Wts Jan 2007 B	200,000,000	2006/07/05	2007/01/09	91.00
09396	ABN AMRO Bank N.V. – HSBC European Warrants Jan 2007	100,000,000	2006/07/05	2007/01/15	71.00
09377	Deutsche Bank AG – China Mobile European Warrants Jan 2007	100,000,000	2006/07/05	2007/01/29	46.00
09378	Deutsche Bank AG – China Mobile European Put Wts Feb 2007 C	100,000,000	2006/07/05	2007/02/05	31.00
09379	Deutsche Bank AG – CC Bank European Warrants Jan 2007	100,000,000	2006/07/05	2007/01/29	43.00
09380	Deutsche Bank AG – Hutchison European Warrants Feb 2007	100,000,000	2006/07/05	2007/02/28	45.00
09385	Fortis Bank S.A./N.V. – MTRC European Warrants Feb 2007	120,000,000	2006/07/05	2007/02/16	30.96
09384	Fortis Bank S.A./N.V. – Hutchison European Warrants Jun 2007	30,000,000	2006/07/05	2007/06/28	15.69
09381	Fortis Bank S.A./N.V. – Cheung Kong European Wts Feb 2007	28,000,000	2006/07/05	2007/02/05	24.78
09386	Fortis Bank S.A./N.V. – PetroChina European Wts Jan 2007	29,000,000	2006/07/05	2007/01/08	37.03
09383	Fortis Bank S.A./N.V. – HSBC European Warrants Mar 2007 B	18,000,000	2006/07/05	2007/03/30	13.88
09382	Fortis Bank S.A./N.V. – HSBC European Warrants Mar 2007 A	18,000,000	2006/07/05	2007/03/30	30.58
03642 #	Deutsche Bank AG – China Mobile European Put Wts Oct 2006 C	100,000,000	2006/07/05	2006/10/23	13.10
04679 #	KBC Fin Products Int'l Ltd. – CCB European Wts Sep 2006 A	400,000,000	2006/07/05	2006/09/05	69.20
09399	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2007	148,000,000	2006/07/06	2007/01/08	94.72
09397	SGA Societe Generale Acceptance N.V. – ALUCO Eur Wt Jan 2007	116,000,000	2006/07/06	2007/01/15	99.76
09398	SGA Societe Generale Acceptance N.V. – C Life Eu Wt Jan 07 B	85,000,000	2006/07/06	2007/01/29	99.45
09400	Goldman Sachs SP (Asia) – China Life European Wts Jan 2007 B	100,000,000	2006/07/07	2007/01/08	152.00
09401	Goldman Sachs SP (Asia) – China Life European Wts Mar 2007	100,000,000	2006/07/07	2007/03/26	25.00
09416	Goldman Sachs SP (Asia) – China Life Euro Put Wts Jan 2007	50,000,000	2006/07/07	2007/01/08	85.00
09402	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2007	200,000,000	2006/07/07	2007/01/08	114.00
09417	Goldman Sachs SP (Asia) – HSBC European Put Wts Jan 2007	200,000,000	2006/07/07	2007/01/08	120.00
09403	Goldman Sachs SP (Asia) – SHK Ppt European Wts Jan 2007 A	250,000,000	2006/07/07	2007/01/08	87.50
09418	KBC Fin Products Int'l Ltd. – HKEx European Wts Jan 2007	128,000,000	2006/07/07	2007/01/15	97.28
09419	KBC Fin Products Int'l Ltd. – HKEx European Wts Feb 2007	188,000,000	2006/07/07	2007/02/02	95.88
09421	KBC Fin Products Int'l Ltd. – HWL European Wts Feb 2007 A	248,000,000	2006/07/07	2007/02/01	96.72
09422	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jan 2007	368,000,000	2006/07/07	2007/01/09	99.36

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09456	ABN AMRO Bank N.V. – BOCL European Warrants Apr 2007 A	300,000,000	2006/07/10	2007/04/23	145.50
09455	ABN AMRO Bank N.V. – BOCL European Warrants Jan 2007 A	300,000,000	2006/07/10	2007/01/22	75.00
09457	ABN AMRO Bank N.V. – BOCL European Warrants Apr 2007 B	300,000,000	2006/07/10	2007/04/23	75.00
09454	ABN AMRO Bank N.V. – China Mobile European Wts Jan 2007 B	100,000,000	2006/07/10	2007/01/17	43.00
09423	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jan 2007	300,000,000	2006/07/10	2007/01/10	84.00
09424	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2007 A	300,000,000	2006/07/10	2007/03/05	81.00
09448	Citigroup Global Mkt H Inc. – BOCL European Wts Jan 2007 A	280,000,000	2006/07/10	2007/01/10	112.00
09449	Citigroup Global Mkt H Inc. – BOCL European Wts Jan 2007 B	280,000,000	2006/07/10	2007/01/10	81.20
09450	Citigroup Global Mkt H Inc. – BOCL European Put Wts Jan 2007	100,000,000	2006/07/10	2007/01/10	38.50
09472	Calyon Fin P (G) Ltd. – BOCL European Warrants Jan 2007 B	300,000,000	2006/07/10	2007/01/15	90.00
09471	Calyon Fin P (G) Ltd. – BOCL European Warrants Jan 2007 A	300,000,000	2006/07/10	2007/01/15	123.00
09473	Calyon Fin P (G) Ltd. – Sinopec Corp European Wts Feb 2007	300,000,000	2006/07/10	2007/02/05	216.00
09465	CC Rabobank B.A. – BOCL European Warrants Mar 2007	100,000,000	2006/07/10	2007/03/28	45.00
09466	CC Rabobank B.A. – BOCL European Warrants Jun 2007	100,000,000	2006/07/10	2007/06/08	45.00
09467	CC Rabobank B.A. – BOC HK European Warrants Jun 2008	150,000,000	2006/07/10	2008/06/18	37.50
09470	CC Rabobank B.A. – HKEx European Warrants Apr 2008	150,000,000	2006/07/10	2008/04/17	136.50
09425	Credit Suisse – BOCL European Warrants Jan 2007	100,000,000	2006/07/10	2007/01/29	37.50
09426	Credit Suisse – BOCL European Warrants Jun 2007	100,000,000	2006/07/10	2007/06/18	32.70
09427	Deutsche Bank AG – BOCL European Warrants Jan 2007	100,000,000	2006/07/10	2007/01/29	35.00
09428	Deutsche Bank AG – BOCL European Warrants Feb 2007	100,000,000	2006/07/10	2007/02/26	25.00
09430	Deutsche Bank AG – BOCL European Warrants Mar 2007	100,000,000	2006/07/10	2007/03/12	49.00
09444	HK Bank – BOCL European Warrants Jan 2007	188,000,000	2006/07/10	2007/01/31	58.28
09445	HK Bank – BOCL European Warrants Mar 2007	188,000,000	2006/07/10	2007/03/21	52.64
09431	J P Morgan Int'l Der. Ltd. – BOCL European Warrants Jan 2007	400,000,000	2006/07/10	2007/01/30	100.00
09437	J P Morgan Int'l Der. Ltd. – Ping An European Wts Jan 2007	400,000,000	2006/07/10	2007/01/30	100.00
09436	J P Morgan Int'l Der. Ltd. – Sinopec Corp Euro Wts Jan 2007	260,000,000	2006/07/10	2007/01/30	98.80
09460	KBC Fin Products Int'l Ltd. – BOCL European Wts Feb 2007	328,000,000	2006/07/10	2007/02/01	95.12
09458	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2007 A	208,000,000	2006/07/10	2007/01/10	93.60
09459	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2007 B	258,000,000	2006/07/10	2007/01/10	95.46
09451	Macquarie Bank Ltd. – BOCL European Warrants Jan 2007 A	200,000,000	2006/07/10	2007/01/30	66.20
09452	Macquarie Bank Ltd. – BOCL European Warrants Feb 2007	200,000,000	2006/07/10	2007/02/27	61.80
09453	Macquarie Bank Ltd. – HKEx European Warrants Jan 2007 A	150,000,000	2006/07/10	2007/01/30	111.00
09446	MSDW Asia Sec Products LLC – BOCL European Warrants Jan 2007	220,000,000	2006/07/10	2007/01/12	96.80
09447	MSDW Asia Sec Products LLC – BOCL European Warrants Feb 2007	340,000,000	2006/07/10	2007/02/28	98.60
09443	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2007 A	323,000,000	2006/07/10	2007/04/30	100.13
09440	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2007 A	385,000,000	2006/07/10	2007/01/15	100.10
09441	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2007 B	278,000,000	2006/07/10	2007/01/29	100.08
09476	UBS AG – BOCL European Put Warrants Feb 2007	100,000,000	2006/07/10	2007/02/28	27.00
09474	UBS AG – BOCL European Warrants Feb 2007 A	100,000,000	2006/07/10	2007/02/28	35.50
09475	UBS AG – BOCL European Warrants Feb 2007 B	100,000,000	2006/07/10	2007/02/28	25.50
09480	BNP Paribas Arbit Issu B.V. – HSI European Warrants Jan 2007	400,000,000	2006/07/11	2007/01/30	100.00
09479	BNP Paribas Arbit Issu B.V. – CC Bank European Wts Jan 2007	200,000,000	2006/07/11	2007/01/22	50.00
09511	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Jan 2007	110,000,000	2006/07/11	2007/01/22	96.80
09521	Goldman Sachs SP (Asia) – BOCL European Warrants Jan 2007	100,000,000	2006/07/11	2007/01/15	28.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09525	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2007	100,000,000	2006/07/11	2007/02/26	25.00
09526	Macquarie Bank Ltd. – BOCL European Warrants Jan 2007 B	180,000,000	2006/07/11	2007/01/11	71.82
09527	Macquarie Bank Ltd. – BOCL European Warrants Jan 2007 C	250,000,000	2006/07/11	2007/01/31	62.50
09514	SGA Societe Generale Acceptance N.V. – CHALCO Eur Wt Apr 07A	120,000,000	2006/07/11	2007/04/02	99.60
09515	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jan 2007	192,000,000	2006/07/11	2007/01/15	99.84
09519	SGA Societe Generale Acceptance N.V. – Hua P Eur Wt Jan 2007	217,000,000	2006/07/11	2007/01/15	99.82
09513	SGA Societe Generale Acceptance N.V. – PetCh Eur Wt Jan 2007	112,000,000	2006/07/11	2007/01/15	99.68
09520	SGA Societe Generale Acceptance N.V. – PetCh Eu Put Wt Jan07	98,000,000	2006/07/11	2007/01/15	99.96
04999 #	KBC Fin Products Int'l Ltd. – SHK P European Wts Dec 2006 A	200,000,000	2006/07/11	2006/12/15	97.00
09528	Citigroup Global Mkt H Inc. – Ping An European Wts Jan 2007	150,000,000	2006/07/12	2007/01/30	46.50
09536	Deutsche Bank AG – HSCEI European Warrants Jan 2007	200,000,000	2006/07/12	2007/01/30	58.00
09540	Deutsche Bank AG – HSCEI European Put Warrants Jan 2007	200,000,000	2006/07/12	2007/01/30	50.00
09529	Macquarie Bank Ltd. – Huaneng European Warrants Jan 2007	100,000,000	2006/07/12	2007/01/15	29.50
09537	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2007 A	400,000,000	2006/07/12	2007/03/01	100.00
09539	KBC Fin Products Int'l Ltd. – BOCL European Put Wts Jan 2007	228,000,000	2006/07/12	2007/01/12	94.85
09541	KBC Fin Products Int'l Ltd. – Huaneng European Wts Jan 2007	288,000,000	2006/07/12	2007/01/12	100.80
09542	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Feb 2007	168,000,000	2006/07/12	2007/02/05	94.08
09544	Goldman Sachs SP (Asia) – HSCEI European Put Wts Jan 2007 B	400,000,000	2006/07/13	2007/01/30	100.00
09545	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2007	200,000,000	2006/07/13	2007/02/05	84.00
09552	Goldman Sachs SP (Asia) – SHK Ppt European Wts Jan 2007 B	150,000,000	2006/07/13	2007/01/15	84.00
09569	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jan 2007	200,000,000	2006/07/13	2007/01/15	116.00
09570	Goldman Sachs SP (Asia) – PetroChina European Wts Jan 2007	100,000,000	2006/07/13	2007/01/15	102.00
09565	Goldman Sachs SP (Asia) – BankComm European Wts Jan 2007	200,000,000	2006/07/13	2007/01/15	96.00
09568	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jan 2007 B	300,000,000	2006/07/13	2007/01/29	108.00
09567	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jan 2007 A	250,000,000	2006/07/13	2007/01/15	112.50
09571	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Jan 2007	200,000,000	2006/07/13	2007/01/15	94.00
09566	Goldman Sachs SP (Asia) – CC Bank European Warrants Jan 2007	350,000,000	2006/07/13	2007/01/15	101.50
09553	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2007 C	392,000,000	2006/07/13	2007/01/29	99.96
09557	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2007 B	345,000,000	2006/07/13	2007/04/30	100.05
09558	SGA Societe Generale Acceptance N.V. – HSI Euro Wts Jan 2007	364,000,000	2006/07/13	2007/01/30	100.10
09562	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 07A	400,000,000	2006/07/13	2007/01/30	100.00
09563	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 07B	286,000,000	2006/07/13	2007/01/30	100.10
09572	Macquarie Bank Ltd. – BOCL European Put Warrants Jan 2007	100,000,000	2006/07/13	2007/01/30	25.20
03681 #	CC Rabobank B.A. – HKEx European Warrants Nov 2006	100,000,000	2006/07/13	2006/11/28	32.00
04567 #	Deutsche Bank AG – China Life European Put Warrants Nov 2006	100,000,000	2006/07/13	2006/11/27	53.00
09586	Macquarie Bank Ltd. – CNOOC European Warrants Jan 2007	100,000,000	2006/07/14	2007/01/31	44.70
09588	Macquarie Bank Ltd. – HSBC European Warrants Jan 2007 A	200,000,000	2006/07/14	2007/01/31	92.60
09589	Macquarie Bank Ltd. – PetroChina European Warrants Jan 2007	150,000,000	2006/07/14	2007/01/31	98.10
09601	ABN AMRO Bank N.V. – BOCL European Warrants Jan 2007 B	300,000,000	2006/07/14	2007/01/22	132.00
09602	ABN AMRO Bank N.V. – China Life European Warrants Jan 2007	60,000,000	2006/07/14	2007/01/18	57.60
09600	ABN AMRO Bank N.V. – China Mobile European Wts Jan 2007 C	200,000,000	2006/07/14	2007/01/16	50.00
09603	ABN AMRO Bank N.V. – PetroChina European Warrants Jan 2007	100,000,000	2006/07/14	2007/01/23	86.00
09604	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Jan 2007 D	200,000,000	2006/07/14	2007/01/16	86.00
09576	Deutsche Bank AG – Cheung Kong European Warrants Jan 2007	100,000,000	2006/07/14	2007/01/30	71.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09577	Deutsche Bank AG – Cheung Kong European Warrants Feb 2007	100,000,000	2006/07/14	2007/02/27	54.00
09578	Deutsche Bank AG – CC Bank European Warrants Feb 2007	100,000,000	2006/07/14	2007/02/12	39.00
09585	Deutsche Bank AG – CC Bank European Warrants Mar 2007 A	100,000,000	2006/07/14	2007/03/19	28.00
09626	Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2007 C	400,000,000	2006/07/17	2007/01/30	100.00
09627	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2007 C	400,000,000	2006/07/17	2007/02/27	100.00
09621	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2007 A	400,000,000	2006/07/17	2007/01/30	104.00
09622	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2007 B	400,000,000	2006/07/17	2007/01/30	100.00
09624	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2007 B	400,000,000	2006/07/17	2007/02/27	108.00
09623	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2007 A	350,000,000	2006/07/17	2007/02/27	101.50
09640	ABN AMRO Bank N.V. – BOCL European Warrants Jan 2007 C	200,000,000	2006/07/18	2007/01/19	73.00
09641	ABN AMRO Bank N.V. – BOCL European Warrants Feb 2007	200,000,000	2006/07/18	2007/02/13	50.00
09629	J P Morgan Int'l Der. Ltd. – Shinsei Bank Euro Wts Apr 2007	150,000,000	2006/07/18	2007/04/10	67.50
09628	J P Morgan Int'l Der. Ltd. – Seven & I European Wts Apr 2007	300,000,000	2006/07/18	2007/04/10	75.00
09630	J P Morgan Int'l Der. Ltd. – Toray Ind European Wts Apr 2007	120,000,000	2006/07/18	2007/04/10	84.00
09427 #	Deutsche Bank AG – BOCL European Warrants Jan 2007	200,000,000	2006/07/18	2007/01/29	77.00
09644	Deutsche Bank AG – BOCL European Put Warrants Feb 2007	100,000,000	2006/07/19	2007/02/27	27.00
09653	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2007 B	400,000,000	2006/07/19	2007/03/29	100.00
09652	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2007 A	350,000,000	2006/07/19	2007/03/29	105.00
09657	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2007 D	400,000,000	2006/07/19	2007/03/29	100.00
09656	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2007 C	400,000,000	2006/07/19	2007/03/29	100.00
09605	KBC Fin Products Int'l Ltd. – HSCEI European Wts Jan 2007	400,000,000	2006/07/17	2007/01/30	104.00
09614	KBC Fin Products Int'l Ltd. – HSI European Warrants Jan 2007	400,000,000	2006/07/17	2007/01/30	100.00
09615	KBC Fin Products Int'l Ltd. – CCB European Warrants Feb 2007	400,000,000	2006/07/17	2007/02/01	100.00
09618	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2007	400,000,000	2006/07/17	2007/01/30	100.00
09619	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2007 A	400,000,000	2006/07/17	2007/01/30	100.00
09631	Macquarie Bank Ltd. – HSBC European Warrants Jan 2007 B	200,000,000	2006/07/18	2007/01/31	78.40
09632	Macquarie Bank Ltd. – MTRC European Warrants Jun 2007 C	200,000,000	2006/07/18	2007/06/29	50.80
09633	MSDW Asia Sec Products LLC – CLP European Warrants Feb 2007	180,000,000	2006/07/18	2007/02/06	49.68
09634	MSDW Asia Sec Products LLC – HSBC European Warrants Mar 2007	150,000,000	2006/07/18	2007/03/06	97.95
09639	MSDW Asia Sec Products LLC – HSI European Warrants Jan 2007	400,000,000	2006/07/18	2007/01/30	100.00
09645	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2007 C	400,000,000	2006/07/19	2007/01/19	100.00
09648	KBC Fin Products Int'l Ltd. – BOCom European Wts Jan 2007 B	288,000,000	2006/07/19	2007/01/22	80.64
09649	KBC Fin Products Int'l Ltd. – Ch Life Euro Wts Jan 2007 B	108,000,000	2006/07/19	2007/01/19	89.64
09651	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2007 A	248,000,000	2006/07/19	2007/02/02	99.20
09683	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Jan 2007	75,000,000	2006/07/20	2007/01/22	66.75
09684	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2007 B	300,000,000	2006/07/20	2007/03/08	75.00
09685	BNP Paribas Arbit Issu B.V. – BOCL European Put Wts Jan 2007	100,000,000	2006/07/20	2007/01/22	57.00
09686	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2007	120,000,000	2006/07/20	2007/01/22	160.80
09658	Calyon Fin P (G) Ltd. – China Life European Wts Jul 2007	300,000,000	2006/07/20	2007/07/10	76.80
09666	Calyon Fin P (G) Ltd. – HSBC European Warrants Jun 2007	300,000,000	2006/07/20	2007/06/04	366.00
09667	Calyon Fin P (G) Ltd. – HSBC European Warrants Jan 2007	300,000,000	2006/07/20	2007/01/22	282.00
09681	Citigroup Global Mkt H Inc. – China Life Euro Wts Mar 2007 B	280,000,000	2006/07/20	2007/03/29	77.00
09682	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2007	280,000,000	2006/07/20	2007/05/30	72.80
09695	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 07 A	385,000,000	2006/07/20	2007/01/30	100.10

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09696	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 07 B	400,000,000	2006/07/20	2007/01/30	100.00
09705	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 07 C	400,000,000	2006/07/20	2007/01/30	100.00
09706	SGA Societe Generale Acceptance N.V.-HSCEI Eu Put Wt Jan 07D	400,000,000	2006/07/20	2007/01/30	100.00
09707	SGA Societe Generale Acceptance N.V.-HSCEI Eu Put Wt Jan 07E	400,000,000	2006/07/20	2007/01/30	100.00
02253 #	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2006 B	480,000,000	2006/07/20	2006/09/07	10.08
03574 #	Deutsche Bank AG – Hutchison European Warrants Oct 2006 A	110,000,000	2006/07/20	2006/10/06	10.12
04909 #	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2006 C	400,000,000	2006/07/20	2006/11/29	71.20
09708	Macquarie Bank Ltd. – HSI European Warrants Jan 2007 A	388,000,000	2006/07/21	2007/01/30	97.78
09709	Macquarie Bank Ltd. – HSCEI European Warrants Jan 2007	188,000,000	2006/07/21	2007/01/30	47.56
03762 #	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2006 A	400,000,000	2006/07/21	2006/10/27	38.80
09721	BOCI Asia Ltd. – Tracker Fund European Warrants Jan 2007	80,000,000	2006/07/24	2007/01/31	96.80
09719	BOCI Asia Ltd. – BOCL European Warrants Jun 2007	100,000,000	2006/07/24	2007/06/04	25.00
09717	Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2007 D	400,000,000	2006/07/24	2007/01/30	100.00
09718	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2007 D	400,000,000	2006/07/24	2007/02/27	100.00
02125 #	Deutsche Bank AG – Nikkei 225 Index European Wts Dec 2006	400,000,000	2006/07/24	2006/12/07	36.00
09934 #	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2006 A	200,000,000	2006/07/24	2006/09/07	20.60
03692 #	Macquarie Bank Ltd. – HSI European Warrants Oct 2006 C	500,000,000	2006/07/24	2006/10/27	54.00
09724	BOCI Asia Ltd. – Hutchison European Warrants May 2007	300,000,000	2006/07/25	2007/05/18	225.00
09728	BOCI Asia Ltd. – Hutchison European Put Warrants May 2007	300,000,000	2006/07/25	2007/05/18	225.00
09729	BOCI Asia Ltd. – Foxconn European Warrants Mar 2007	700,000,000	2006/07/25	2007/03/30	245.00
09722	Deutsche Bank AG – HSI European Warrants Jan 2007 A	200,000,000	2006/07/25	2007/01/30	52.00
09723	Deutsche Bank AG – HSI European Warrants Jan 2007 B	200,000,000	2006/07/25	2007/01/30	50.00
09726	Deutsche Bank AG – HSI European Put Warrants Jan 2007 C	200,000,000	2006/07/25	2007/01/30	56.00
09727	Deutsche Bank AG – HSI European Put Warrants Jan 2007 D	200,000,000	2006/07/25	2007/01/30	56.00
09731	Goldman Sachs SP (Asia) – Hutchison European Wts Jan 2007 B	350,000,000	2006/07/25	2007/01/29	105.00
09730	Goldman Sachs SP (Asia) – Hutchison European Wts Jan 2007 A	250,000,000	2006/07/25	2007/01/29	112.50
09732	Goldman Sachs SP (Asia) – Hutchison European Wts Mar 2007	250,000,000	2006/07/25	2007/03/26	105.00
09733	Deutsche Bank AG – China Life European Warrants Feb 2007	100,000,000	2006/07/26	2007/02/05	125.00
09734	Deutsche Bank AG – China Mobile European Warrants Feb 2007 A	100,000,000	2006/07/26	2007/02/05	41.00
09735	UBS AG – BOCOM European Warrants Mar 2007 B	100,000,000	2006/07/26	2007/03/28	52.00
09736	UBS AG – Sinopec European Warrants Apr 2007	100,000,000	2006/07/26	2007/04/23	45.00
09737	UBS AG – HSBC European Warrants Feb 2007 B	100,000,000	2006/07/26	2007/02/28	57.00
09738	UBS AG – PetroChina European Warrants Mar 2007	100,000,000	2006/07/26	2007/03/28	76.00
09739	UBS AG – SHK P European Warrants Mar 2007	100,000,000	2006/07/26	2007/03/28	31.50
09742	KBC Fin Products Int'l Ltd. – HWL European Wts Feb 2007 B	208,000,000	2006/07/26	2007/02/15	99.84
09740	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Feb 2007 A	200,000,000	2006/07/26	2007/02/08	96.00
09741	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2007 B	400,000,000	2006/07/26	2007/01/30	100.00
09744	KBC Fin Products Int'l Ltd. – Ch Mob Euro Put Wts Feb 2007 D	288,000,000	2006/07/26	2007/02/01	95.04
09743	Calyon Fin P (G) Ltd. – China Life European Wts Mar 2007	300,000,000	2006/07/27	2007/03/26	84.90
09745	Calyon Fin P (G) Ltd. – SHK Ppt European Warrants Feb 2007	300,000,000	2006/07/27	2007/02/21	168.00
09747	Macquarie Bank Ltd. – BOCOM European Warrants Jan 2007 B	100,000,000	2006/07/27	2007/01/31	41.30
09748	Macquarie Bank Ltd. – CCB European Warrants Jan 2007	250,000,000	2006/07/27	2007/01/31	83.25
09749	Macquarie Bank Ltd. – Ch Mobile European Warrants Jan 2007	200,000,000	2006/07/27	2007/01/31	68.80
09755	Macquarie Bank Ltd. – HSBC European Warrants Jan 2007 C	200,000,000	2006/07/27	2007/01/31	108.80

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09756	Macquarie Bank Ltd. – Ping An European Warrants Jan 2007	100,000,000	2006/07/27	2007/01/31	35.00
09774	BOCI Asia Ltd. – Cheung Kong European Warrants Feb 2007	800,000,000	2006/07/28	2007/02/23	600.00
09775	BOCI Asia Ltd. – HSBC European Warrants Feb 2007	800,000,000	2006/07/28	2007/02/28	880.00
09779	Citigroup Global Mkt H Inc. – BOCL European Wts Apr 2007 A	280,000,000	2006/07/28	2007/04/23	89.60
09781	Citigroup Global Mkt H Inc. – BOCL European Wts Apr 2007 B	280,000,000	2006/07/28	2007/04/23	70.00
09782	Citigroup Global Mkt H Inc. – BOCL Euro Put Wts Apr 2007	100,000,000	2006/07/28	2007/04/23	36.50
09784	CC Rabobank B.A. – BOCL European Warrants Apr 2007	100,000,000	2006/07/28	2007/04/27	42.00
09790	CC Rabobank B.A. – HSBC European Warrants Jul 2007	100,000,000	2006/07/28	2007/07/27	63.00
09791	CC Rabobank B.A. – SHK Ppt European Warrants Apr 2007	100,000,000	2006/07/28	2007/04/18	68.00
09776	Goldman Sachs SP (Asia) – Cheung Kong European Wts Jan 2007	200,000,000	2006/07/28	2007/01/29	88.00
09778	Goldman Sachs SP (Asia) – Cheung Kong European Wts Apr 2007	150,000,000	2006/07/28	2007/04/30	84.00
09762	Macquarie Bank Ltd. – BOCHK European Warrants Jan 2007	200,000,000	2006/07/28	2007/01/30	121.00
09763	Macquarie Bank Ltd. – HKEx European Warrants Jan 2007 B	100,000,000	2006/07/28	2007/01/30	72.90
09766	Macquarie Bank Ltd. – HKEx European Warrants Jan 2007 C	100,000,000	2006/07/28	2007/01/30	31.70
09767	Macquarie Bank Ltd. – HWL European Warrants Jan 2007	200,000,000	2006/07/28	2007/01/30	51.00
09757	Macquarie Bank Ltd. – HSI European Warrants Jan 2007 B	388,000,000	2006/07/28	2007/01/30	97.00
09761	Macquarie Bank Ltd. – HSI European Put Warrants Jan 2007	388,000,000	2006/07/28	2007/01/30	136.58
09785	Macquarie Bank Ltd. – Ch Life European Warrants Jan 2007	100,000,000	2006/07/28	2007/01/30	86.50
09789	Macquarie Bank Ltd. – Ch Life European Put Wts Jan 2007 B	80,000,000	2006/07/28	2007/01/30	66.88
03960 #	Credit Suisse – HSBC European Warrants Aug 2006	300,000,000	2006/07/28	2006/08/28	52.80
09794	ABN AMRO Bank N.V. – China Mobile European Wts Feb 2007 A	200,000,000	2006/07/31	2007/02/05	50.00
09795	ABN AMRO Bank N.V. – Hutchison European Warrants Feb 2007	200,000,000	2006/07/31	2007/02/21	97.00
09798	ABN AMRO Bank N.V. – China Mobile European Put Wts Feb 2007B	200,000,000	2006/07/31	2007/02/05	82.00
09797	UBS AG – BOCHK European Warrants May 2007	100,000,000	2006/08/01	2007/05/28	41.00
09822	UBS AG – CK(H) European Warrants Mar 2007	100,000,000	2006/08/01	2007/03/26	48.50
09823	UBS AG – HSCEI European Warrants Feb 2007	100,000,000	2006/08/01	2007/02/27	25.00
09824	UBS AG – HWL European Warrants Apr 2007	100,000,000	2006/08/01	2007/04/16	33.50
09827	UBS AG – PICC European Warrants Jul 2007	100,000,000	2006/08/01	2007/07/23	39.00
09828	J P Morgan Int'l Der. Ltd. – N225 Index Euro Wts Mar 2007 C	300,000,000	2006/08/02	2007/03/08	84.00
09832	J P Morgan Int'l Der. Ltd. – N225 Idx Euro Put Wts Mar 2007D	300,000,000	2006/08/02	2007/03/08	84.00
03727 #	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Oct 2006 D	140,000,000	2006/08/02	2006/10/23	10.08
03710 #	Goldman Sachs SP (Asia) – HSI European Put Warrants Oct 2006	400,000,000	2006/08/02	2006/10/27	47.60
04922 #	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 E	600,000,000	2006/08/02	2006/11/29	75.00
03666 #	Macquarie Bank Ltd. – HSI European Put Warrants Oct 2006 B	1,000,000,000	2006/08/02	2006/10/27	910.00
09829	BNP Paribas Arbit Issu B.V. – BankComm European Wts Feb 2007	120,000,000	2006/08/03	2007/02/05	45.60
09831	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Feb 2007	100,000,000	2006/08/03	2007/02/05	56.00
09837	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Feb 2007	100,000,000	2006/08/03	2007/02/05	62.00
09838	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Mar 2007	140,000,000	2006/08/03	2007/03/19	35.00
04833 #	UBS AG – China Mobile European Put Warrants Dec 2006	200,000,000	2006/08/03	2006/12/11	31.60
09869	ABN AMRO Bank N.V. – BankComm European Warrants Mar 2007 A	100,000,000	2006/08/04	2007/03/05	44.00
09906	ABN AMRO Bank N.V. – CC Bank European Warrants Mar 2007	300,000,000	2006/08/04	2007/03/26	75.00
09910	ABN AMRO Bank N.V. – PetroChina European Warrants Feb 2007 B	100,000,000	2006/08/04	2007/02/26	62.00
09895	ABN AMRO Bank N.V. – HSBC European Warrants Feb 2007 C	110,000,000	2006/08/04	2007/02/26	43.45
09896	ABN AMRO Bank N.V. – HSBC European Warrants Feb 2007 D	110,000,000	2006/08/04	2007/02/26	27.50

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09907	ABN AMRO Bank N.V. – China Mobile European Wts Feb 2007 C	150,000,000	2006/08/04	2007/02/12	37.50
09911	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Feb 2007 D	150,000,000	2006/08/04	2007/02/12	40.50
09864	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2007	100,000,000	2006/08/04	2007/02/08	44.00
09865	BNP Paribas Arbit Issu B.V. – MTRC European Wts May 2007	80,000,000	2006/08/04	2007/05/14	20.00
09868	BNP Paribas Arbit Issu B.V. – SHK Ppt European Wts Feb 2007	100,000,000	2006/08/04	2007/02/08	48.00
09932	Citigroup Global Mkt H Inc. – CC Bank European Wts Feb 2007	320,000,000	2006/08/04	2007/02/12	80.00
09930	Deutsche Bank AG – China Mobile European Warrants Feb 2007 B	100,000,000	2006/08/04	2007/02/05	47.00
04593	Deutsche Bank AG – China Mobile European Put Wts Feb 2007 D	100,000,000	2006/08/04	2007/02/05	33.00
09870	Macquarie Bank Ltd. – Ch Mobile European Warrants Feb 2007 A	200,000,000	2006/08/04	2007/02/27	62.20
09889	Macquarie Bank Ltd. – Ch Mobile European Put Wts Feb 2007	150,000,000	2006/08/04	2007/02/27	44.70
09890	Macquarie Bank Ltd. – Esprit European Warrants Mar 2007	100,000,000	2006/08/04	2007/03/29	68.20
09931	Macquarie Bank Ltd. – Ch Merchants European Wts Feb 2007	200,000,000	2006/08/04	2007/02/28	87.00
04839 #	KBC Fin Products Int'l Ltd. – Ch Mob Euro Put Wts Nov 2006 D	384,000,000	2006/08/04	2006/11/20	77.95
04578 #	KBC Fin Products Int'l Ltd. – CCB European Wts Nov 2006 A	612,000,000	2006/08/04	2006/11/01	134.03
03766 #	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 06B	430,000,000	2006/08/04	2006/10/27	67.94
04903	BNP Paribas Arbit Issu B.V. – China Mobile Euro Wts Feb 2007	180,000,000	2006/08/07	2007/02/12	77.40
04905	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2007	200,000,000	2006/08/07	2007/06/29	52.00
04907	BNP Paribas Arbit Issu B.V. – Ch Mobile Eur Put Wts Feb 2007	180,000,000	2006/08/07	2007/02/12	97.20
04904	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2007 A	400,000,000	2006/08/07	2007/02/27	104.00
04963	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Feb 2007	400,000,000	2006/08/07	2007/02/27	100.00
03400	CC Rabobank B.A. – Ping An European Warrants Jun 2007	100,000,000	2006/08/07	2007/06/28	45.00
04906	CC Rabobank B.A. – BankComm European Warrants Aug 2007	100,000,000	2006/08/07	2007/08/28	30.00
04964	CC Rabobank B.A. – China Life European Warrants Jun 2007 B	100,000,000	2006/08/07	2007/06/28	25.00
04965	CC Rabobank B.A. – China Life European Warrants Aug 2007	100,000,000	2006/08/07	2007/08/28	25.00
04594	Deutsche Bank AG – BankComm European Warrants Feb 2007 A	100,000,000	2006/08/07	2007/02/07	48.00
04595	Deutsche Bank AG – BankComm European Warrants Feb 2007 B	100,000,000	2006/08/07	2007/02/07	31.00
04596	Deutsche Bank AG – China Life European Warrants Mar 2007 A	100,000,000	2006/08/07	2007/03/05	111.00
04902	Deutsche Bank AG – China Life European Put Wts Mar 2007 B	100,000,000	2006/08/07	2007/03/05	112.00
03401	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Feb 2007 B	248,000,000	2006/08/07	2007/02/12	96.72
03402	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Feb 2007 C	368,000,000	2006/08/07	2007/02/12	95.68
03403	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2007 B	268,000,000	2006/08/07	2007/02/07	96.48
03404	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2007 C	368,000,000	2006/08/07	2007/02/14	99.36
03405	KBC Fin Products Int'l Ltd. – Ch Mob Euro Put Wts Feb 2007 E	288,000,000	2006/08/07	2007/02/07	95.04
03408	Deutsche Bank AG – Hutchison European Warrants Mar 2007	100,000,000	2006/08/08	2007/03/29	35.00
03409	Deutsche Bank AG – PetroChina European Warrants Feb 2007	100,000,000	2006/08/08	2007/02/27	93.00
03410	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2007 A	250,000,000	2006/08/08	2007/02/12	107.50
03414	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2007 B	250,000,000	2006/08/08	2007/02/26	87.50
03415	Goldman Sachs SP (Asia) – Ch Mobile Euro Put Wts Feb 2007 D	250,000,000	2006/08/08	2007/02/12	107.50
03417	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2007 E	400,000,000	2006/08/08	2007/02/27	100.00
03418	SGA Societe Generale Acceptance N.V. -CLife Eu Put Wt Apr07A	70,000,000	2006/08/08	2007/04/02	100.10
03419	SGA Societe Generale Acceptance N.V. – C Mob Eur Wt Feb 2007	227,000,000	2006/08/08	2007/02/12	99.88
03420	SGA Societe Generale Acceptance N.V. – HKEx Eur Wts Feb 2007	152,000,000	2006/08/08	2007/02/12	100.32
03425	SGA Societe Generale Acceptance N.V. – PetCh Eu Put Wt Feb07	81,000,000	2006/08/08	2007/02/12	100.44
03426	SGA Societe Generale Acceptance N.V. – C Mob Eu Put Wt Feb07	189,000,000	2006/08/08	2007/02/12	100.17

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
03433	ABN AMRO Bank N.V. – HSBC European Warrants May 2007	100,000,000	2006/08/09	2007/05/10	50.00
03432	ABN AMRO Bank N.V. – Oil Future European Warrants Feb 2007	40,000,000	2006/08/09	2007/02/21	13.80
03436	ABN AMRO Bank N.V. – Oil Future European Put Wts Feb 2007	40,000,000	2006/08/09	2007/07/21	10.00
03427	Credit Suisse – Cheung Kong European Warrants Apr 2007	100,000,000	2006/08/09	2007/04/03	76.40
03428	Credit Suisse – China Mobile European Warrants May 2007 B	100,000,000	2006/08/09	2007/05/03	63.00
03429	Credit Suisse – China Tel European Warrants Apr 2007	100,000,000	2006/08/09	2007/04/03	30.60
03431	Credit Suisse – Huaneng Power European Warrants Apr 2007	100,000,000	2006/08/09	2007/04/03	32.30
03406	Deutsche Bank AG – HSBC European Warrants Feb 2007	100,000,000	2006/08/09	2007/02/27	89.00
03407	Deutsche Bank AG – HSBC European Warrants Apr 2007	100,000,000	2006/08/09	2007/04/10	64.00
03439	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Mar 2007	400,000,000	2006/08/09	2007/03/29	100.00
03438	KBC Fin Products Int'l Ltd. – SHK P European Put Wt Feb 2007	208,000,000	2006/08/09	2007/02/14	91.52
03437	KBC Fin Products Int'l Ltd. – C Mob European Wts Feb 2007 F	368,000,000	2006/08/09	2007/02/12	99.36
03435	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2007 A	400,000,000	2006/08/09	2007/02/27	100.00
03434	KBC Fin Products Int'l Ltd. – HSCEI European Wts Mar 2007	400,000,000	2006/08/09	2007/03/29	100.00
04556 #	Deutsche Bank AG – CC Bank European Warrants Oct 2006 A	200,000,000	2006/08/09	2006/10/03	52.00
04649 #	Deutsche Bank AG – CC Bank European Warrants Nov 2006 A	200,000,000	2006/08/09	2006/11/21	36.00
03440	Calyon Fin P (G) Ltd. – CC Bank European Warrants Mar 2007 A	300,000,000	2006/08/10	2007/03/19	82.80
03441	Calyon Fin P (G) Ltd. – HSBC European Warrants Apr 2007	300,000,000	2006/08/10	2007/04/17	198.00
03442	Calyon Fin P (G) Ltd. – Hutchison European Warrants Feb 2007	300,000,000	2006/08/10	2007/02/28	135.00
03443	SGA Societe Generale Acceptance N.V. – HSI Eur Wts Feb 2007D	333,000,000	2006/08/10	2007/02/27	99.90
03447	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 07A	400,000,000	2006/08/10	2007/02/27	100.00
03452	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 07B	357,000,000	2006/08/10	2007/02/27	101.75
03444	KBC Fin Products Int'l Ltd. – C Life European Wts Feb 2007 B	148,000,000	2006/08/10	2007/02/12	196.84
03445	KBC Fin Products Int'l Ltd. – C Mob European Wts Feb 2007 G	168,000,000	2006/08/10	2007/02/15	94.08
09427 #	Deutsche Bank AG – BOCL European Warrants Jan 2007	200,000,000	2006/08/10	2007/01/29	36.80
04722 #	ABN AMRO Bank N.V. – Hutchison European Warrants Oct 2006 A	100,000,000	2006/08/11	2006/10/04	23.90
09446 #	MSDW Asia Sec Products LLC – BOCL European Warrants Jan 2007	500,000,000	2006/08/11	2007/01/12	92.00
03446	BOCI Asia Ltd. – CC Bank European Warrants May 2007	100,000,000	2006/08/14	2007/05/18	40.00
03453	BOCI Asia Ltd. – HSBC European Put Warrants Mar 2007	300,000,000	2006/08/14	2007/03/02	225.00
03454	BOCI Asia Ltd. – PetroChina European Warrants Mar 2007	100,000,000	2006/08/14	2007/03/09	100.00
03455	Citigroup Global Mkt H Inc. – Huaneng Power Euro Wt Feb 2007	130,000,000	2006/08/14	2007/02/14	51.35
03515 #	Citigroup Global Mkt H Inc. – CC Bank European Wts Sep 2006	250,000,000	2006/08/14	2006/09/29	10.25
09678 #	Credit Suisse – SMIC European Warrants Mar 2007	980,000,000	2006/08/14	2007/03/08	19.60
03457	Citigroup Global Mkt H Inc. – HK Gas European Wts Mar 2007	80,000,000	2006/08/15	2007/03/19	76.00
03464	J P Morgan Int'l Der. Ltd. – CHALCO European Wts Feb 2007	200,000,000	2006/08/15	2007/02/16	100.00
03465	J P Morgan Int'l Der. Ltd. – Ch Ship Cont Euro Wts Feb 2007	400,000,000	2006/08/15	2007/02/16	100.00
03467	J P Morgan Int'l Der. Ltd. – HKEx European Warrants Feb 2007	333,000,000	2006/08/15	2007/02/16	99.90
03466	J P Morgan Int'l Der. Ltd. – HK Gas European Wts Feb 2007	200,000,000	2006/08/15	2007/02/16	100.00
03468	J P Morgan Int'l Der. Ltd. – MTRC European Warrants Feb 2007	125,000,000	2006/08/15	2007/02/16	100.00
03458	SGA Societe Generale Acceptance N.V. – BOCCom Eu Wts Feb 2007	256,000,000	2006/08/15	2007/02/15	99.84
03459	SGA Societe Generale Acceptance N.V. – CCB Euro Wts Feb 2007	333,000,000	2006/08/15	2007/02/15	99.90
03462	SGA Societe Generale Acceptance N.V. – SHK P Euro Wts Feb 07	170,000,000	2006/08/15	2007/02/15	100.30
03463	SGA Societe Generale Acceptance N.V. – CCB Eu Put Wts Feb 07	385,000,000	2006/08/15	2007/02/15	100.10
03460	SGA Societe Generale Acceptance N.V. – CLife Eu Put Wt Feb07	76,000,000	2006/08/15	2007/02/15	100.32

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
03461	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wts Feb07C	303,000,000	2006/08/15	2007/02/27	99.99
03851 #	Deutsche Bank AG – HSI European Put Warrants Nov 2006	400,000,000	2006/08/15	2006/11/29	49.60
03489	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2007	170,000,000	2006/08/16	2007/03/29	82.45
03469	Deutsche Bank AG – HSI European Warrants Feb 2007 A	200,000,000	2006/08/16	2007/02/27	50.00
03470	Deutsche Bank AG – HSI European Warrants Feb 2007 B	200,000,000	2006/08/16	2007/02/27	50.00
03473	Deutsche Bank AG – HSI European Put Warrants Feb 2007	200,000,000	2006/08/16	2007/02/27	50.00
03490	Deutsche Bank AG – China Mobile European Warrants Feb 2007 E	100,000,000	2006/08/16	2007/02/27	36.00
03471	Macquarie Bank Ltd. – Cheung Kong European Warrants Feb 2007	150,000,000	2006/08/16	2007/02/27	75.90
03472	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2007	200,000,000	2006/08/16	2007/02/27	77.60
03477	Macquarie Bank Ltd. – Swire Pac A European Warrants Feb 2007	100,000,000	2006/08/16	2007/02/27	47.40
03480	SGA Societe Generale Acceptance N.V. – CLife Eu Wts Mar 07 A	78,000,000	2006/08/16	2007/03/26	99.84
03479	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Feb 2007	286,000,000	2006/08/16	2007/02/16	100.10
03478	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Feb 2007	175,000,000	2006/08/16	2007/02/16	99.75
03481	SGA Societe Generale Acceptance N.V. – SHKP Eu Put Wt Feb 07	179,000,000	2006/08/16	2007/02/16	100.24
03487	SGA Societe Generale Acceptance N.V. – HWL Eu Put Wt Feb 07	256,000,000	2006/08/16	2007/02/16	99.84
03486	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Feb 07	175,000,000	2006/08/16	2007/02/16	99.75
04657 #	Deutsche Bank AG – BankComm European Warrants Oct 2006	100,000,000	2006/08/16	2006/10/10	39.50
04686 #	Fortis Bank S.A./N.V. – Hutchison European Warrants Nov 2006	113,800,000	2006/08/16	2006/11/13	10.01
03645	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Feb 2007	120,000,000	2006/08/17	2007/02/27	70.80
03491	Fortis Bank S.A./N.V. – CHALCO European Warrants Feb 2007	33,000,000	2006/08/17	2007/02/27	16.83
03492	Fortis Bank S.A./N.V. – China Life European Wts Jun 2007	300,000,000	2006/08/17	2007/06/28	78.00
03493	Fortis Bank S.A./N.V. – SHK Ppt European Warrants Feb 2007	39,000,000	2006/08/17	2007/02/27	17.55
02403	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Feb 2007	168,000,000	2006/08/17	2007/02/21	92.40
03646	KBC Fin Products Int'l Ltd. – Cheung Kong Euro Wts Feb 2007	128,000,000	2006/08/17	2007/02/21	94.72
02402	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2007 C	288,000,000	2006/08/17	2007/02/21	97.92
02400	KBC Fin Products Int'l Ltd. – CC Bank European Wts Mar 2007A	180,000,000	2006/08/17	2007/03/01	97.20
02401	KBC Fin Products Int'l Ltd. – CC Bank European Wts Mar 2007B	288,000,000	2006/08/17	2007/03/15	97.92
03496	Macquarie Bank Ltd. – China Mobile European Wts Feb 2007 B	200,000,000	2006/08/17	2007/02/27	51.40
03498	Macquarie Bank Ltd. – Hang Seng Bank European Wts Mar 2007	100,000,000	2006/08/17	2007/03/29	26.10
03500	Macquarie Bank Ltd. – PetroChina European Warrants Feb 2007	150,000,000	2006/08/17	2007/02/27	85.35
03644	Macquarie Bank Ltd. – Ping An European Warrants Feb 2007	150,000,000	2006/08/17	2007/02/27	47.85
03494	SGA Societe Generale Acceptance N.V. – CTel Eur Wts Mar 2007	385,000,000	2006/08/17	2007/03/05	100.10
03499	SGA Societe Generale Acceptance N.V. – CTel Eu Put Wt Mar 07	370,000,000	2006/08/17	2007/03/05	99.90
03495	SGA Societe Generale Acceptance N.V. – HSI Eur Wts Feb 2007E	370,000,000	2006/08/17	2007/02/27	99.90
03890 #	KBC Fin Products Int'l Ltd. – Ch Life Euro Wts Nov 2006 B	110,000,000	2006/08/17	2006/11/16	96.80
03862 #	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2006 C	455,000,000	2006/08/17	2006/11/20	78.26
02412	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Mar 2007 A	110,000,000	2006/08/18	2007/03/29	84.15
02413	Deutsche Bank AG – CHALCO European Warrants Feb 2007	40,000,000	2006/08/18	2007/02/27	21.60
02414	Deutsche Bank AG – China Life European Warrants Aug 2007	200,000,000	2006/08/18	2007/08/07	50.00
02416	Deutsche Bank AG – MTR Corp European Warrants Aug 2007	200,000,000	2006/08/18	2007/08/07	50.00
02415	Deutsche Bank AG – HKEx European Warrants Feb 2007	200,000,000	2006/08/18	2007/02/27	100.00
02405	Macquarie Bank Ltd. – China Unicom European Wts Feb 2007	100,000,000	2006/08/18	2007/02/27	40.90
02404	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2007	100,000,000	2006/08/18	2007/02/27	40.20
02408	Macquarie Bank Ltd. – CNOOC European Put Warrants Feb 2007	100,000,000	2006/08/18	2007/02/27	31.40

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
02406	Macquarie Bank Ltd. – Sh Petrochem European Wts Feb 2007	100,000,000	2006/08/18	2007/02/27	41.20
02407	Macquarie Bank Ltd. – HSI European Warrants Feb 2007 A	388,000,000	2006/08/18	2007/02/27	103.60
02409	Macquarie Bank Ltd. – HSI European Warrants Feb 2007 B	388,000,000	2006/08/18	2007/02/27	97.78
02410	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2007 C	388,000,000	2006/08/18	2007/02/27	134.64
02411	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2007 D	388,000,000	2006/08/18	2007/02/27	154.81
02417	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2007 B	400,000,000	2006/08/21	2007/02/27	100.00
02418	Citigroup Global Mkt H Inc. – HKEx European Wts Mar 2007	120,000,000	2006/08/21	2007/03/19	60.00
02419	UBS AG – HKEx European Warrants Feb 2007	100,000,000	2006/08/21	2007/02/27	32.00
09427 #	Deutsche Bank AG – BOCL European Warrants Jan 2007	300,000,000	2006/08/21	2007/01/29	50.10
02421	Calyon Fin P (G) Ltd. – China Life European Wts Jun 2007 B	300,000,000	2006/08/22	2007/06/11	75.00
02424	Calyon Fin P (G) Ltd. – China Mobile Euro Put Wts Feb 2007	300,000,000	2006/08/22	2007/02/23	126.00
02420	Calyon Fin P (G) Ltd. – China Mobile European Wts Feb 2007	300,000,000	2006/08/22	2007/02/23	147.00
02422	Calyon Fin P (G) Ltd. – PetroChina European Wts Feb 2007	300,000,000	2006/08/22	2007/02/23	225.00
02434	Citigroup Global Mkt H Inc. – Foxconn European Wts Mar 2007	230,000,000	2006/08/22	2007/03/29	67.85
02432	CC Rabobank B.A. – CC Bank European Warrants Jun 2007	100,000,000	2006/08/22	2007/06/08	28.00
02433	CC Rabobank B.A. – PetroChina European Warrants Apr 2007	100,000,000	2006/08/22	2007/04/18	77.00
02435	DBS Bank Ltd. – Foxconn European Warrants Mar 2007	500,000,000	2006/08/22	2007/03/23	195.00
02429	Goldman Sachs SP (Asia) – China Life European Wts Apr 2007 A	400,000,000	2006/08/22	2007/04/30	100.00
02430	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2007 E	400,000,000	2006/08/22	2007/03/29	100.00
02431	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2007 F	400,000,000	2006/08/22	2007/02/27	100.00
02423	J P Morgan Int'l Der. Ltd. – BOCL European Wts Feb 2007	400,000,000	2006/08/22	2007/02/27	100.00
02425	J P Morgan Int'l Der. Ltd. – BOC HK European Wts Feb 2007	333,000,000	2006/08/22	2007/02/27	99.90
02428	J P Morgan Int'l Der. Ltd. – PCCW European Warrants Feb 2007	400,000,000	2006/08/22	2007/02/27	100.00
02427	J P Morgan Int'l Der. Ltd. – China Unicom Euro Wts Feb 2007	285,000,000	2006/08/22	2007/02/27	99.75
02426	J P Morgan Int'l Der. Ltd. – Cathay Pac Euro Wts Feb 2007	200,000,000	2006/08/22	2007/02/27	100.00
02436	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2007 B	368,000,000	2006/08/22	2007/02/27	99.36
02437	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2007 C	368,000,000	2006/08/22	2007/02/27	99.36
02438	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2007 D	400,000,000	2006/08/22	2007/02/27	100.00
02439	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Mar 2007	168,000,000	2006/08/22	2007/03/01	191.52
02440	KBC Fin Products Int'l Ltd. – PetroCh Euro Put Wts Feb 2007	218,000,000	2006/08/22	2007/02/22	67.58
03720 #	Macquarie Bank Ltd. – HSI European Put Warrants Oct 2006 F	1,000,000,000	2006/08/22	2006/10/27	74.00
02445	ABN AMRO Bank N.V. – BankComm European Warrants Mar 2007 B	100,000,000	2006/08/23	2007/03/05	25.00
02446	ABN AMRO Bank N.V. – Huaneng Power European Wts Mar 2007	100,000,000	2006/08/23	2007/03/12	25.00
02447	ABN AMRO Bank N.V. – Ping An European Warrants Mar 2007	100,000,000	2006/08/23	2007/03/26	25.00
02448	Calyon Fin P (G) Ltd. – China Life European Wts Jun 2007 C	300,000,000	2006/08/23	2007/06/01	75.00
02442	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2007 E	400,000,000	2006/08/23	2007/02/27	100.00
02443	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2007 F	400,000,000	2006/08/23	2007/02/27	100.00
02444	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2007 G	400,000,000	2006/08/23	2007/02/27	100.00
02441	KBC Fin Products Int'l Ltd. – BOC HK European Wts Dec 2007	400,000,000	2006/08/23	2007/12/03	100.00
03888 #	KBC Fin Products Int'l Ltd. – CCB European Warrants Dec 2006	632,000,000	2006/08/23	2006/12/01	58.78
02458	BOCI Asia Ltd. – Foxconn European Warrants Apr 2007	300,000,000	2006/08/24	2007/04/20	129.00
02457	BOCI Asia Ltd. – China Telecom European Warrants Apr 2007	80,000,000	2006/08/24	2007/04/27	20.80
02460	Calyon Fin P (G) Ltd. – China Life Euro Put Wts Aug 2007	300,000,000	2006/08/24	2007/08/06	75.00
02450	Deutsche Bank AG – HSI European Warrants Feb 2007 C	200,000,000	2006/08/24	2007/02/27	50.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
02461	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2007 C	300,000,000	2006/08/24	2007/02/26	90.00
02465	Goldman Sachs SP (Asia) – Ch Mobile Euro Put Wts Feb 2007 E	200,000,000	2006/08/24	2007/02/26	102.00
02459	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2007 F	400,000,000	2006/08/24	2007/03/29	100.00
02449	Macquarie Bank Ltd. – Foxconn European Warrants Feb 2007	88,000,000	2006/08/24	2007/02/27	23.14
02455	SGA Societe Generale Acceptance N.V. – BOCL Eu Put Wt Mar 07	385,000,000	2006/08/24	2007/03/05	100.10
02456	SGA Societe Generale Acceptance N.V. – BOCL Eu Put Wt Apr 07	303,000,000	2006/08/24	2007/04/30	99.99
02452	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2007 B	400,000,000	2006/08/24	2007/03/05	100.00
02451	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2007 A	303,000,000	2006/08/24	2007/03/05	99.99
02453	SGA Societe Generale Acceptance N.V. – CLife Eu Wts Mar 07 B	80,000,000	2006/08/24	2007/03/05	100.80
02454	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wts Mar 07A	208,000,000	2006/08/24	2007/03/09	99.84
03847 #	Deutsche Bank AG – HSI European Warrants Nov 2006	200,000,000	2006/08/24	2006/11/29	30.40
02129 #	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Dec 2006	300,000,000	2006/08/24	2006/12/07	26.40
02462	Calyon Fin P (G) Ltd. – Foxconn European Warrants Feb 2007	300,000,000	2006/08/25	2007/02/26	76.50
04655 #	Deutsche Bank AG – DJIA European Put Warrants Dec 2006	150,000,000	2006/08/25	2006/12/15	11.10
03844 #	KBC Fin Products Int'l Ltd. – HSI European Wts Nov 2006 F	600,000,000	2006/08/25	2006/11/29	73.20
02468	Goldman Sachs SP (Asia) – N225 Index Euro Wts Mar 2007	240,000,000	2006/08/28	2007/03/09	60.00
02472	Goldman Sachs SP (Asia) – N225 Index Euro Put Wts Mar 2007	210,000,000	2006/08/28	2007/03/09	60.90
02467	KBC Fin Products Int'l Ltd. – Foxconn European Wts Mar 2007A	368,000,000	2006/08/28	2007/03/02	125.12
02466	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2007 B	368,000,000	2006/08/28	2007/03/01	99.36
02463	Macquarie Bank Ltd. – China Life European Wts Feb 2007 B	88,000,000	2006/08/28	2007/02/28	104.90
02464	Macquarie Bank Ltd. – China Life European Wts Feb 2007 C	168,000,000	2006/08/28	2007/02/28	116.59
02477	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2007	50,000,000	2006/08/29	2007/04/27	85.00
02469	MSDW Asia Sec Products LLC – BOCL European Wts Apr 2007	240,000,000	2006/08/29	2007/04/10	98.40
02471	UBS AG – China Mobile European Warrants Mar 2007	100,000,000	2006/08/29	2007/03/12	27.00
02473	UBS AG – China Tel European Warrants May 2007	100,000,000	2006/08/29	2007/05/14	25.00
02474	UBS AG – Foxconn European Warrants Apr 2007	100,000,000	2006/08/29	2007/04/10	26.00
02475	UBS AG – Ping An European Warrants Mar 2007	100,000,000	2006/08/29	2007/03/12	26.00
02476	UBS AG – China Mobile European Put Warrants Mar 2007	100,000,000	2006/08/29	2007/03/12	32.00
02470	UBS AG – China Life European Put Warrants Mar 2007	100,000,000	2006/08/29	2007/03/12	56.00
02480	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2007 A	120,000,000	2006/08/30	2007/04/27	83.04
02478	Credit Suisse – SMIC European Warrants Nov 2007	500,000,000	2006/08/30	2007/11/28	128.00
02481	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Mar 2007	368,000,000	2006/08/30	2007/03/01	99.36
02479	Macquarie Bank Ltd. – PetroChina European Warrants Apr 2007	150,000,000	2006/08/30	2007/04/27	73.35
03875 #	ABN AMRO Bank N.V. – PetroChina European Warrants Nov 2006 C	150,000,000	2006/08/30	2006/11/20	12.45
02482	Deutsche Bank AG – DJIA Index European Warrants Jun 2007	50,000,000	2006/08/31	2007/06/18	15.50
02486	Deutsche Bank AG – DJIA Index European Put Warrants Jun 2007	50,000,000	2006/08/31	2007/06/18	13.00
02484	ABN AMRO Bank N.V. – China Life European Put Wts Mar 2007	100,000,000	2006/09/01	2007/03/19	33.50
02485	ABN AMRO Bank N.V. – SHK Ppt European Put Warrants Mar 2007	100,000,000	2006/09/01	2007/03/19	35.50
02483	J P Morgan Int'l Der. Ltd. – N225 Index Euro Wts Mar 2007 E	330,000,000	2006/09/01	2007/03/08	105.60
02487	J P Morgan Int'l Der. Ltd. – N225 Idx Eur Put Wts Mar 2007 F	300,000,000	2006/09/01	2007/03/08	102.00
02488	Macquarie Bank Ltd. – BOCL European Warrants Mar 2007 A	180,000,000	2006/09/01	2007/03/29	72.18
02489	Macquarie Bank Ltd. – BOCL European Warrants Mar 2007 B	200,000,000	2006/09/01	2007/03/29	60.20
02492	Macquarie Bank Ltd. – BOCL European Put Warrants Jul 2007	200,000,000	2006/09/01	2007/07/30	51.00
02490	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2007 A	388,000,000	2006/09/01	2007/03/29	98.94

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
04567 #	Deutsche Bank AG – China Life European Put Warrants Nov 2006	200,000,000	2006/09/01	2006/11/27	24.00
02164 #	UBS AG – CHALCO European Warrants Dec 2006	200,000,000	2006/09/01	2006/12/11	66.00
02491	Deutsche Bank AG – CC Bank European Warrants Mar 2007 B	100,000,000	2006/09/04	2007/03/29	29.00
02493	SGA Societe Generale Acceptance N.V. – C MOB Eur Wt Mar 07 B	230,000,000	2006/09/04	2007/03/09	100.05
02495	SGA Societe Generale Acceptance N.V. – Petch Eur Wt Mar 07 A	152,000,000	2006/09/04	2007/03/09	100.32
02497	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Mar 07	256,000,000	2006/09/04	2007/03/09	99.84
02494	SGA Societe Generale Acceptance N.V. – HSI Euro Wts Mar 07 A	333,000,000	2006/09/04	2007/03/29	99.90
01232	BOCI Asia Ltd. – China Mobile European Warrants Jun 2007	300,000,000	2006/09/05	2007/06/01	141.00
01233	BOCI Asia Ltd. – Sinopec Corp European Warrants Apr 2007	100,000,000	2006/09/05	2007/04/20	40.00
02498	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2007 C	200,000,000	2006/09/05	2007/03/08	52.00
02499	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2007	100,000,000	2006/09/05	2007/03/12	95.00
02496	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Mar 2007	200,000,000	2006/09/05	2007/03/29	50.00
01230	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Mar 2007	200,000,000	2006/09/05	2007/03/29	52.00
01234	Calyon Fin P (G) Ltd. – China Life European Wts Apr 2007 B	300,000,000	2006/09/05	2007/04/23	75.00
01236	Calyon Fin P (G) Ltd. – PetroChina European Wts Mar 2007 A	200,000,000	2006/09/05	2007/03/19	166.00
01231	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2007	100,000,000	2006/09/05	2007/06/08	34.00
01235	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Jun 2007	100,000,000	2006/09/05	2007/06/08	27.00
03891 #	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2006 A	415,000,000	2006/09/05	2006/11/29	35.69
01239	BNP Paribas Arbit Issu B.V. – Foxconn European Wts Mar 2007	80,000,000	2006/09/06	2007/03/12	24.00
01240	BNP Paribas Arbit Issu B.V. – HSI Euro Put Warrants Mar 2007	400,000,000	2006/09/06	2007/03/29	104.00
01248	Calyon Fin P (G) Ltd. – CC Bank European Warrants Mar 2007 B	300,000,000	2006/09/06	2007/03/12	87.00
01252	Goldman Sachs SP (Asia) – Foxconn European Wts Mar 2007 A	300,000,000	2006/09/06	2007/03/12	114.00
01253	Goldman Sachs SP (Asia) – Foxconn European Wts Mar 2007 B	300,000,000	2006/09/06	2007/03/12	90.00
01250	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2007	300,000,000	2006/09/06	2007/03/12	75.00
01251	Goldman Sachs SP (Asia) – CC Bank European Wts May 2007	300,000,000	2006/09/06	2007/05/28	132.00
01254	Goldman Sachs SP (Asia) – CC Bank European Put Wts Mar 2007	300,000,000	2006/09/06	2007/03/12	105.00
01249	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2007	288,000,000	2006/09/06	2007/03/12	83.52
01242	KBC Fin Products Int'l Ltd. – N225 Idx Euro Put Wts Mar 07 D	400,000,000	2006/09/06	2007/03/09	100.00
01241	KBC Fin Products Int'l Ltd. – N225 Idx Euro Put Wts Mar 07 C	400,000,000	2006/09/06	2007/03/09	100.00
01237	KBC Fin Products Int'l Ltd. – N225 Index Euro Wts Mar 2007 A	338,000,000	2006/09/06	2007/03/09	98.02
01238	KBC Fin Products Int'l Ltd. – N225 Index Euro Wts Mar 2007 B	400,000,000	2006/09/06	2007/03/09	100.00
01243	UBS AG – CHALCO European Warrants Sep 2007	100,000,000	2006/09/06	2007/09/10	83.00
01244	UBS AG – CC Bank European Warrants May 2007	100,000,000	2006/09/06	2007/05/22	25.00
01245	UBS AG – Esprit European Warrants Sep 2007	100,000,000	2006/09/06	2007/09/10	71.00
01246	UBS AG – HSBC European Put Warrants Apr 2007	100,000,000	2006/09/06	2007/04/16	55.00
01247	UBS AG – Jiangxi Copper European Warrants Dec 2007	100,000,000	2006/09/06	2007/12/10	25.00
03866 #	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 C	682,000,000	2006/09/06	2006/11/29	94.12
01255	J P Morgan Int'l Der. Ltd. – BankComm European Wts Mar 2007	400,000,000	2006/09/07	2007/03/13	100.00
01256	J P Morgan Int'l Der. Ltd. – BEA European Warrants May 2007	400,000,000	2006/09/07	2007/05/30	100.00
01257	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2007	400,000,000	2006/09/07	2007/03/29	100.00
01259	J P Morgan Int'l Der. Ltd. – Shenhua European Wts Mar 2007	87,000,000	2006/09/07	2007/03/13	100.05
01260	J P Morgan Int'l Der. Ltd. – Foxconn European Wts Mar 2007	400,000,000	2006/09/07	2007/03/13	100.00
01258	J P Morgan Int'l Der. Ltd. – China Life Euro Wts Mar 2007 A	113,000,000	2006/09/07	2007/03/13	99.44
01262	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2007 C	278,000,000	2006/09/07	2007/03/26	98.69

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
01263	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wts Mar 07 B	111,000,000	2006/09/07	2007/03/19	99.90
01261	SGA Societe Generale Acceptance N.V. – CCB Euro Wts Mar 07	345,000,000	2006/09/07	2007/03/26	100.05
01265	SGA Societe Generale Acceptance N.V. – CCB Eur Put Wt Mar 07	400,000,000	2006/09/07	2007/03/26	100.00
01264	SGA Societe Generale Acceptance N.V. – CLife Eu Put Wt Mar07	73,000,000	2006/09/07	2007/03/12	100.01
09619 #	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2007 A	600,000,000	2006/09/07	2007/01/30	62.40
01275	BNP Paribas Arbit Issu B.V. – BOCHK European Wts Mar 2007	50,000,000	2006/09/08	2007/03/12	19.00
01276	BNP Paribas Arbit Issu B.V. – HKEx European Wts Apr 2007	100,000,000	2006/09/08	2007/04/30	53.00
01277	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2007	100,000,000	2006/09/08	2007/04/13	42.00
01279	Calyon Fin P (G) Ltd. – CHALCO European Warrants Jul 2007	100,000,000	2006/09/08	2007/07/23	39.00
01278	Calyon Fin P (G) Ltd. – CC Bank European Put Wts Mar 2007	200,000,000	2006/09/08	2007/03/26	50.00
01269	Macquarie Bank Ltd. – BOCHK European Warrants May 2007	88,000,000	2006/09/08	2007/05/30	55.18
01272	Macquarie Bank Ltd. – CHALCO European Warrants May 2007	88,000,000	2006/09/08	2007/05/30	32.91
01273	Macquarie Bank Ltd. – Jiangxi Copper European Wts May 2007	88,000,000	2006/09/08	2007/05/30	92.49
01274	Macquarie Bank Ltd. – Wharf European Warrants Jun 2007	88,000,000	2006/09/08	2007/06/28	22.79
01268	SGA Societe Generale Acceptance N.V. – N225 Euro Wts Mar 07C	400,000,000	2006/09/08	2007/03/08	100.00
01267	SGA Societe Generale Acceptance N.V. – N225 Euro Wts Mar 07B	333,000,000	2006/09/08	2007/03/08	99.90
01266	SGA Societe Generale Acceptance N.V. – N225 Euro Wts Mar 07A	278,000,000	2006/09/08	2007/03/08	100.08
01271	SGA Societe Generale Acceptance N.V. – N225 Eu Put Wt Mar07E	256,000,000	2006/09/08	2007/03/08	99.84
01270	SGA Societe Generale Acceptance N.V. – N225 Eu Put Wt Mar07D	323,000,000	2006/09/08	2007/03/08	100.13
09430 #	Deutsche Bank AG – BOCL European Warrants Mar 2007	200,000,000	2006/09/08	2007/03/12	49.40
01286	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2007	250,000,000	2006/09/11	2007/03/12	85.00
01287	Goldman Sachs SP (Asia) – BOCL European Warrants Apr 2007	250,000,000	2006/09/11	2007/04/30	62.50
01290	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Apr 2007	150,000,000	2006/09/11	2007/04/30	37.50
01293	Goldman Sachs SP (Asia) – PetroChina European Wts Mar 2007 A	150,000,000	2006/09/11	2007/03/12	124.50
01294	Goldman Sachs SP (Asia) – PetroChina European Wts Mar 2007 B	150,000,000	2006/09/11	2007/03/12	76.50
01288	Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Mar 2007 A	150,000,000	2006/09/11	2007/03/12	72.00
01289	Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Mar 2007 B	150,000,000	2006/09/11	2007/03/12	48.00
01291	Goldman Sachs SP (Asia) – HSCEI European Warrants Mar 2007	400,000,000	2006/09/11	2007/03/29	100.00
01292	Goldman Sachs SP (Asia) – HSCEI European Put Wts Apr 2007	400,000,000	2006/09/11	2007/04/27	104.00
01284	HK Bank – China Life European Warrants Aug 2007	100,000,000	2006/09/11	2007/08/31	25.00
01285	HK Bank – China Life European Put Warrants Mar 2007	200,000,000	2006/09/11	2007/03/30	100.00
01281	SGA Societe Generale Acceptance N.V. – CK(H) Euro Wts Mar 07	238,000,000	2006/09/11	2007/03/12	98.77
01283	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Mar 2007	294,000,000	2006/09/11	2007/03/19	101.43
01280	SGA Societe Generale Acceptance N.V. – CHALCO Eur Wt Apr 07B	141,000,000	2006/09/11	2007/04/02	100.11
01282	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 07C	303,000,000	2006/09/11	2007/03/29	99.99
01301	HK Bank – HKEx European Warrants Mar 2007	88,000,000	2006/09/12	2007/03/30	33.44
01295	Macquarie Bank Ltd. – BankComm European Put Wts Mar 2007	100,000,000	2006/09/12	2007/03/29	26.30
01304	Macquarie Bank Ltd. – China Mobile European Put Wts Apr 2007	168,000,000	2006/09/12	2007/04/30	56.11
01302	Macquarie Bank Ltd. – Foxconn European Warrants Mar 2007	88,000,000	2006/09/12	2007/03/30	30.01
01306	Macquarie Bank Ltd. – Foxconn European Put Warrants Mar 2007	68,000,000	2006/09/12	2007/03/30	17.00
01307	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Apr 2007	88,000,000	2006/09/12	2007/04/30	30.71
01303	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2007	150,000,000	2006/09/12	2007/04/30	53.70
01300	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Apr 2008	111,000,000	2006/09/12	2008/04/07	99.90
01297	SGA Societe Generale Acceptance N.V. – CP&CC Euro Wts Mar 07	250,000,000	2006/09/12	2007/03/12	100.00

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
01298	SGA Societe Generale Acceptance N.V. – CNOOC Euro Wts Mar 07	190,000,000	2006/09/12	2007/03/12	100.70
01296	SGA Societe Generale Acceptance N.V. – CLife Euro Wts Apr 08	400,000,000	2006/09/12	2008/04/07	100.00
01299	SGA Societe Generale Acceptance N.V. – HSI Euro Wts Mar 07 B	400,000,000	2006/09/12	2007/03/29	100.00
01310	BNP Paribas Arbit Issu B.V. – HSI European Put Wts Apr 2007	400,000,000	2006/09/13	2007/04/27	100.00
01311	CC Rabobank B.A. – Foxconn European Warrants Jun 2007	100,000,000	2006/09/13	2007/06/08	54.00
01313	CC Rabobank B.A. – Foxconn European Warrants Aug 2007	100,000,000	2006/09/13	2007/08/28	58.00
01305	Deutsche Bank AG – China Life European Warrants Mar 2007 C	100,000,000	2006/09/13	2007/03/29	106.00
01308	Deutsche Bank AG – China Mobile European Warrants Mar 2007	100,000,000	2006/09/13	2007/03/29	29.00
01309	Deutsche Bank AG – China Life European Put Wts Mar 2007 D	100,000,000	2006/09/13	2007/03/29	86.00
01312	Deutsche Bank AG – China Mobile European Put Wts Mar 2007	100,000,000	2006/09/13	2007/03/29	32.00
01314	KBC Fin Products Int'l Ltd. – Sinopec Euro Put Wts Mar 2007	308,000,000	2006/09/13	2007/03/13	89.32
01323	Calyon Fin P (G) Ltd. – China Life European Wts Jun 2007 D	300,000,000	2006/09/14	2007/06/27	76.50
01325	Calyon Fin P (G) Ltd. – Foxconn European Warrants Mar 2007	150,000,000	2006/09/14	2007/03/15	48.00
01324	Calyon Fin P (G) Ltd. – Esprit European Warrants May 2007	100,000,000	2006/09/14	2007/05/09	58.00
01315	Deutsche Bank AG – BOCL European Put Warrants Jun 2007	100,000,000	2006/09/14	2007/06/28	25.00
01316	Deutsche Bank AG – BankComm European Put Warrants Mar 2007	100,000,000	2006/09/14	2007/03/19	25.00
01320	J P Morgan Int'l Der. Ltd. – Link REIT Euro Wts Mar 2007	166,000,000	2006/09/14	2007/03/20	99.60
01319	J P Morgan Int'l Der. Ltd. – JX Copper European Wts Mar 2007	166,000,000	2006/07/14	2007/03/14	99.60
01317	J P Morgan Int'l Der. Ltd. – China Life Euro Wts Mar 2007 B	160,000,000	2006/09/14	2007/03/14	99.20
01321	J P Morgan Int'l Der. Ltd. – PICC P&C European Wts Mar 2007	400,000,000	2006/09/14	2007/03/16	100.00
01322	J P Morgan Int'l Der. Ltd. – Sh Petrochem Euro Wts Mar 2007	333,000,000	2006/09/14	2007/03/16	99.90
01318	J P Morgan Int'l Der. Ltd. – HS Bank European Wts Mar 2007	400,000,000	2006/09/14	2007/03/29	100.00
01326	KBC Fin Products Int'l Ltd. – Foxconn European Wts Mar 2007B	300,000,000	2006/09/14	2007/03/14	96.00
03588 #	ABN AMRO Bank N.V. – Sinopec Corp European Wts Nov 2006 A	100,000,000	2006/09/14	2006/11/02	14.60
03850 #	Deutsche Bank AG – HSBC European Warrants Nov 2006 B	100,000,000	2006/09/14	2006/11/13	19.20
01332	BOCI Asia Ltd. – China Mobile European Put Warrants Apr 2007	300,000,000	2006/09/15	2007/04/25	150.00
01333	BOCI Asia Ltd. – CNOOC European Warrants Jun 2007	100,000,000	2006/09/15	2007/06/13	48.00
01334	BOCI Asia Ltd. – Foxconn European Warrants May 2007	300,000,000	2006/09/15	2007/05/07	105.00
01335	BOCI Asia Ltd. – Kingboard European Warrants Jun 2007	300,000,000	2006/09/15	2007/06/04	99.00
01336	BOCI Asia Ltd. – Techtronic European Warrants Jun 2007	500,000,000	2006/09/15	2007/06/15	130.00
01337	Deutsche Bank AG – Sinopec Corp European Warrants Mar 2007	100,000,000	2006/09/15	2007/03/26	37.00
01341	Deutsche Bank AG – Sinopec Corp European Put Wts Mar 2007	100,000,000	2006/09/15	2007/03/26	29.00
01327	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2007 C	385,000,000	2006/09/15	2007/04/30	100.10
01328	SGA Societe Generale Acceptance N.V. – CCB Euro Wts May 2007	370,000,000	2006/09/15	2007/05/21	99.90
01329	SGA Societe Generale Acceptance N.V. – CLife Eur Wt May 2007	52,000,000	2006/09/15	2007/05/07	100.88
01330	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Apr 07 A	167,000,000	2006/09/15	2007/04/02	100.20
01331	SGA Societe Generale Acceptance N.V. – Petch Eur Wt Mar 07 B	147,000,000	2006/09/15	2007/03/19	99.96
03847 #	Deutsche Bank AG – HSI European Warrants Nov 2006	200,000,000	2006/09/15	2006/11/29	16.00
01345	Citigroup Global Mkt H Inc. – CC Bank Euro Wts Apr 2007 A	320,000,000	2006/09/18	2007/04/23	134.40
01346	Citigroup Global Mkt H Inc. – CC Bank Euro Wts Apr 2007 B	320,000,000	2006/09/18	2007/04/23	81.60
01339	Fortis Bank S.A./N.V. – Bank of EA European Wts Jul 2007	60,000,000	2006/09/18	2007/07/03	15.00
01340	Fortis Bank S.A./N.V. – China Mobile European Wts Sep 2007	68,000,000	2006/09/18	2007/09/28	35.36
01342	Fortis Bank S.A./N.V. – HKEx European Warrants Apr 2007	60,000,000	2006/09/18	2007/04/18	25.62
01343	Fortis Bank S.A./N.V. – HKEx European Warrants Jul 2007	48,000,000	2006/09/18	2007/07/03	13.92

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Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
01351	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2007 G	360,000,000	2006/09/18	2007/03/29	100.80
01347	Goldman Sachs SP (Asia) – BankComm European Wts Mar 2007	350,000,000	2006/09/18	2007/03/19	101.50
01348	Goldman Sachs SP (Asia) – China Life European Wts Jun 2007 B	400,000,000	2006/09/18	2007/06/25	100.00
01349	Goldman Sachs SP (Asia) – China Life European Wts Aug 2007	400,000,000	2006/09/18	2007/08/27	100.00
01350	Goldman Sachs SP (Asia) – Foxconn European Put Wts Mar 2007	270,000,000	2006/09/18	2007/03/19	99.90
01352	KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2007	208,000,000	2006/09/18	2007/03/19	193.44
01353	KBC Fin Products Int'l Ltd. – Sinopec Corp Euro Wts Apr 2007	248,000,000	2006/09/18	2007/04/02	94.24
01354	Macquarie Bank Ltd. – China Overseas European Wts Apr 2007	88,000,000	2006/09/18	2007/04/30	56.06
01355	Macquarie Bank Ltd. – Zijin Mining European Wts Apr 2007	88,000,000	2006/09/18	2007/04/30	33.79
01338	Macquarie Bank Ltd. – HSBC European Put Warrants Mar 2007	150,000,000	2006/09/18	2007/03/30	61.80
01344	UBS AG – Agile Ppt European Warrants May 2007	100,000,000	2006/09/18	2007/05/29	140.00
03639 #	Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2007 A	200,000,000	2006/09/18	2007/03/08	22.00
01357	Citigroup Global Mkt H Inc. – HSBC European Wts Mar 2007	85,000,000	2006/09/19	2007/03/29	69.70
01358	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2007 B	200,000,000	2006/09/19	2007/04/27	57.00
01356	UBS AG – Bank of EA European Warrants Jun 2007	100,000,000	2006/09/19	2007/06/25	28.00
09604 #	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Jan 2007 D	100,000,000	2006/09/19	2007/01/16	11.00
01362	ABN AMRO Bank N.V. – China Mobile European Put Wts Mar 2007	100,000,000	2006/09/20	2007/03/26	30.00
01363	ABN AMRO Bank N.V. – Foxconn European Warrants Apr 2007	100,000,000	2006/09/20	2007/04/20	25.00
01364	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Mar 2007 B	100,000,000	2006/09/20	2007/03/29	104.50
01365	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Mar 2007 C	100,000,000	2006/09/20	2007/03/29	73.50
01366	Macquarie Bank Ltd. – Agile Ppt European Warrants Jun 2007	88,000,000	2006/09/20	2007/06/04	69.08
01367	Macquarie Bank Ltd. – Zijin Mining European Put Wts Apr 2007	88,000,000	2006/09/20	2007/04/30	27.72
01359	SGA Societe Generale Acceptance N.V. – BOCL Eur Wts Apr 2008	263,000,000	2006/09/20	2008/04/07	101.26
01360	SGA Societe Generale Acceptance N.V. – CCB Euro Wts Apr 2008	227,000,000	2006/09/20	2008/04/07	99.88
01361	SGA Societe Generale Acceptance N.V. – PetCh Eur Wts Apr 08	75,000,000	2006/09/20	2008/04/07	99.75
01371	Calyon Fin P (G) Ltd. – Hutchison European Warrants May 2007	300,000,000	2006/09/21	2007/05/09	129.00
01370	Calyon Fin P (G) Ltd. – Agile Ppt European Warrants Mar 2007	100,000,000	2006/09/21	2007/03/21	85.00
01373	Calyon Fin P (G) Ltd. – Zijin Mining European Wts Mar 2007	100,000,000	2006/09/21	2007/03/21	37.00
01368	Citigroup Global Mkt H Inc. – China Mobile Euro Wts Apr 2007	150,000,000	2006/09/21	2007/04/27	93.00
01369	Citigroup Global Mkt H Inc. – BankComm European Wts Apr 2007	280,000,000	2006/09/21	2007/04/27	74.20
01372	Citigroup Global Mkt H Inc. – Ch Mobile Eur Put Wts Apr 2007	150,000,000	2006/09/21	2007/04/27	80.25
09394 #	ABN AMRO Bank N.V. – CC Bank European Warrants Jan 2007	250,000,000	2006/09/21	2007/01/22	10.50
02105	ABN AMRO Bank N.V. – China Life European Warrants Sep 2007 B	100,000,000	2006/09/22	2007/09/27	25.00
02107	ABN AMRO Bank N.V. – HSBC European Put Warrants Apr 2007	100,000,000	2006/09/22	2007/04/18	34.00
01375	Deutsche Bank AG – PetroChina European Warrants Apr 2007	100,000,000	2006/09/22	2007/04/02	78.00
01374	Deutsche Bank AG – HSBC European Put Warrants Apr 2007	100,000,000	2006/09/22	2007/04/02	34.00
01376	Macquarie Bank Ltd. – Esprit European Warrants Apr 2007	88,000,000	2006/09/22	2007/04/26	42.68
01378	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Apr 07 B	217,000,000	2006/09/22	2007/04/02	99.82
01379	SGA Societe Generale Acceptance N.V. – Huaneng Eu Wts Apr 07	244,000,000	2006/09/22	2007/04/16	100.04
01380	SGA Societe Generale Acceptance N.V. – SHK Ppt Eu Wts Apr 07	185,000,000	2006/09/22	2007/04/02	99.90
01377	SGA Societe Generale Acceptance N.V. – BOCom Eu Wts Mar 2007	238,000,000	2006/09/22	2007/03/26	99.96
02100	UBS AG – Nine Dragon European Warrants May 2007	100,000,000	2006/09/22	2007/05/28	103.00
02101	UBS AG – Swire Pac A European Warrants May 2007	100,000,000	2006/09/22	2007/05/28	47.00
02104	UBS AG – Zijin Mining European Warrants May 2007	100,000,000	2006/09/22	2007/05/28	38.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
02110	Macquarie Bank Ltd. – China Life European Put Wts Mar 2007	88,000,000	2006/09/25	2007/03/30	67.50
02121	Citigroup Global Mkt H Inc. – HSI European Warrants Mar 2007	300,000,000	2006/09/26	2007/03/29	108.00
02127	Citigroup Global Mkt H Inc. – HSI European Put Wts Mar 2007	300,000,000	2006/09/26	2007/03/29	90.00
02113	Deutsche Bank AG – HSI European Warrants Mar 2007 A	200,000,000	2006/09/26	2007/03/29	72.00
02117	Deutsche Bank AG – HSI European Put Warrants Mar 2007 B	200,000,000	2006/09/26	2007/03/29	50.00
02115	SGA Societe Generale Acceptance N.V. – N225 Eur Wts Jun 2007	244,000,000	2006/09/26	2007/06/07	100.04
02119	SGA Societe Generale Acceptance N.V. – N225 Eu Put Wt Jun07A	385,000,000	2006/09/26	2007/06/07	100.10
02120	SGA Societe Generale Acceptance N.V. – N225 Eu Put Wt Jun07B	303,000,000	2006/09/26	2007/06/07	99.99
02156	ABN AMRO Bank N.V. – BankComm European Warrants Mar 2007 C	100,000,000	2006/09/27	2007/03/27	26.00
02157	ABN AMRO Bank N.V. – BOC HK European Warrants Apr 2007	50,000,000	2006/09/27	2007/04/16	12.50
02159	ABN AMRO Bank N.V. – CC Bank European Warrants Apr 2007 A	200,000,000	2006/09/27	2007/04/10	50.00
02161	ABN AMRO Bank N.V. – Sinopec Corp European Wts Apr 2007 A	150,000,000	2006/09/27	2007/04/02	37.50
02130	BNP Paribas Arbit Issu B.V. – China Mobile Euro Wts Mar 2007	150,000,000	2006/09/27	2007/03/28	49.50
02132	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2007	300,000,000	2006/09/27	2007/07/16	78.00
02141	BNP Paribas Arbit Issu B.V. – Ch Mob Euro Put Wts Mar 2007	150,000,000	2006/09/27	2007/03/28	82.50
02133	BNP Paribas Arbit Issu B.V. – HSI European Warrants Mar 2007	400,000,000	2006/09/27	2007/03/29	100.00
02155	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Mar 2007	200,000,000	2006/09/27	2007/03/29	64.00
02134	Deutsche Bank AG – China Life European Warrants Apr 2007 A	100,000,000	2006/09/27	2007/04/10	96.00
02145	Deutsche Bank AG – China Life European Put Warrants Apr 2007	100,000,000	2006/09/27	2007/04/10	90.00
02181	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2007	168,000,000	2006/09/27	2007/04/10	189.84
02183	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2007	108,000,000	2006/09/27	2007/04/02	96.12
02182	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2007	80,000,000	2006/09/27	2007/03/29	99.20
02179	KBC Fin Products Int'l Ltd. – BankComm European Wts Mar 2007	248,000,000	2006/09/27	2007/03/27	94.24
02180	KBC Fin Products Int'l Ltd. – BankComm European Wts Apr 2007	158,000,000	2006/09/27	2007/04/02	94.80
02124	Macquarie Bank Ltd. – China Resources European Wts Aug 2007	88,000,000	2006/09/27	2007/08/28	22.44
02123	Macquarie Bank Ltd. – Cathay Pacific European Wts Jun 2008 B	200,000,000	2006/09/27	2008/06/27	51.60
02177	Macquarie Bank Ltd. – China Life European Wts Mar 2007 A	128,000,000	2006/09/27	2007/03/30	95.62
02178	Macquarie Bank Ltd. – China Life European Wts Mar 2007 B	168,000,000	2006/09/27	2007/03/30	84.50
02173	Macquarie Bank Ltd. – CC Bank European Put Warrants Mar 2007	200,000,000	2006/09/27	2007/03/30	51.20
02162	Macquarie Bank Ltd. – CC Bank European Warrants Mar 2007	300,000,000	2006/09/27	2007/03/30	80.10
02147	SGA Societe Generale Acceptance N.V. – CK(H) Eu Put Wt Apr07	185,000,000	2006/09/27	2007/04/20	99.90
02149	SGA Societe Generale Acceptance N.V. -CLife Eu Put Wt Apr07B	75,000,000	2006/09/27	2007/04/02	100.50
02150	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Apr07A	213,000,000	2006/09/27	2007/04/20	100.11
02152	SGA Societe Generale Acceptance N.V. – CP&CC Eu Put Wt Apr07	213,000,000	2006/09/27	2007/04/20	100.11
02154	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Apr 07B	286,000,000	2006/09/27	2007/04/17	100.10
09379 #	Deutsche Bank AG – CC Bank European Warrants Jan 2007	200,000,000	2006/09/27	2007/01/29	49.40
02401 #	KBC Fin Products Int'l Ltd. – CC Bank European Wts Mar 2007B	400,000,000	2006/09/27	2007/03/15	93.20
04907 #	BNP Paribas Arbit Issu B.V. – Ch Mobile Eur Put Wts Feb 2007	120,000,000	2006/09/27	2007/02/12	18.12
03851 #	Deutsche Bank AG – HSI European Put Warrants Nov 2006	250,000,000	2006/09/27	2006/11/29	11.25
02184	Deutsche Bank AG – HSI European Warrants Mar 2007 C	200,000,000	2006/09/28	2007/03/29	50.00
02189	Deutsche Bank AG – HSI European Put Warrants Mar 2007 D	200,000,000	2006/09/28	2007/03/29	66.00
02213	KBC Fin Products Int'l Ltd. – Ch Life Euro Put Wts Apr 2007	168,000,000	2006/09/28	2007/04/02	92.40
02211	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2007 C	400,000,000	2006/09/28	2007/03/29	100.00
02215	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2007 A	400,000,000	2006/09/28	2007/03/29	100.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
02218	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2007 B	400,000,000	2006/09/28	2007/03/29	100.00
02201	Macquarie Bank Ltd. – BankComm European Warrants Mar 2007	150,000,000	2006/09/28	2007/03/29	48.00
02204	Macquarie Bank Ltd. – BankComm European Put Wts Apr 2007	100,000,000	2006/09/28	2007/04/27	27.90
02206	Macquarie Bank Ltd. – HSBC European Warrants Mar 2007 A	250,000,000	2006/09/28	2007/03/30	85.75
02208	Macquarie Bank Ltd. – HSBC European Warrants Mar 2007 B	250,000,000	2006/09/28	2007/03/30	67.00
02210	Macquarie Bank Ltd. – HSBC European Put Warrants Apr 2007	150,000,000	2006/09/28	2007/04/30	70.20
02205	Macquarie Bank Ltd. – Sinopec Corp European Wts Mar 2007	200,000,000	2006/09/28	2007/03/29	53.00
02209	Macquarie Bank Ltd. – Sinopec Corp European Put Wts Mar 2007	100,000,000	2006/09/28	2007/03/29	29.60
02185	Macquarie Bank Ltd. – HSI European Warrants Mar 2007 B	388,000,000	2006/09/28	2007/03/29	123.38
02186	Macquarie Bank Ltd. – HSI European Warrants Mar 2007 C	388,000,000	2006/09/28	2007/03/29	107.48
02187	Macquarie Bank Ltd. – HSI European Warrants Mar 2007 D	388,000,000	2006/09/28	2007/03/29	99.72
02191	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2007 E	388,000,000	2006/09/28	2007/03/29	98.94
02192	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2007 F	388,000,000	2006/09/28	2007/03/29	99.33
09024	ABN AMRO Bank N.V. – CC Bank European Warrants Apr 2007 B	300,000,000	2006/09/29	2007/04/04	75.00
09026	ABN AMRO Bank N.V. – CM Bank European Warrants Mar 2007 A	70,000,000	2006/09/29	2007/03/30	39.90
09027	ABN AMRO Bank N.V. – CM Bank European Warrants Mar 2007 B	70,000,000	2006/09/29	2007/03/30	82.60
09028	ABN AMRO Bank N.V. – CM Bank European Warrants Sep 2007	250,000,000	2006/09/29	2007/09/17	62.50
02238	BOCI Asia Ltd. – BOCL European Warrants Aug 2007	200,000,000	2006/09/29	2007/08/10	66.00
02239	BOCI Asia Ltd. – BankComm European Warrants Aug 2007	100,000,000	2006/09/29	2007/08/07	35.00
02245	BOCI Asia Ltd. – China Life European Warrants Aug 2007	500,000,000	2006/09/29	2007/08/03	135.00
02249	BOCI Asia Ltd. – CM Bank European Warrants Aug 2007	20,000,000	2006/09/29	2007/08/15	10.00
02221	BNP Paribas Arbit Issu B.V. – CM Bank European Wts Mar 2007	100,000,000	2006/09/29	2007/03/29	27.00
02224	BNP Paribas Arbit Issu B.V. – CM Bank European Wts May 2007	100,000,000	2006/09/29	2007/05/31	26.00
09061	BNP Paribas Arbit Issu B.V. – CM Bank European Wts Sep 2007	200,000,000	2006/09/29	2007/09/28	52.00
02219	Calyon Fin P (G) Ltd. – China Life European Wts May 2007	300,000,000	2006/09/29	2007/05/21	76.50
02220	Calyon Fin P (G) Ltd. – PetroChina European Wts Mar 2007 B	150,000,000	2006/09/29	2007/03/29	145.50
09062	Calyon Fin P (G) Ltd. – CM Bank European Warrants Mar 2007 A	200,000,000	2006/09/29	2007/03/29	122.00
09064	Calyon Fin P (G) Ltd. – CM Bank European Warrants Mar 2007 B	200,000,000	2006/09/29	2007/03/29	56.00
09032	Citigroup Global Mkt H Inc. – CM Bank Euro Wts Mar 2007 A	100,000,000	2006/09/29	2007/03/29	165.50
09033	Citigroup Global Mkt H Inc. – CM Bank Euro Wts Mar 2007 B	100,000,000	2006/09/29	2007/03/29	118.00
09034	Citigroup Global Mkt H Inc. – CM Bank Euro Wts Mar 2007 C	110,000,000	2006/09/29	2007/03/29	80.74
09029	Deutsche Bank AG – CM Bank European Warrants Apr 2007 A	200,000,000	2006/09/29	2007/04/02	198.00
09030	Deutsche Bank AG – CM Bank European Warrants Apr 2007 B	200,000,000	2006/09/29	2007/04/16	148.00
09031	Deutsche Bank AG – CM Bank European Warrants Apr 2007 C	200,000,000	2006/09/29	2007/04/16	224.00
09048	DBS Bank Ltd. – CM Bank European Warrants Apr 2007	100,000,000	2006/09/29	2007/04/10	70.00
02274	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2007 B	300,000,000	2006/09/29	2007/04/02	102.00
02263	Goldman Sachs SP (Asia) – China Life European Wts Apr 2007 B	100,000,000	2006/09/29	2007/04/02	120.00
09005	Goldman Sachs SP (Asia) – HKEx European Warrants Apr 2007	150,000,000	2006/09/29	2007/04/02	99.00
09008	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Apr 2007	300,000,000	2006/09/29	2007/04/02	132.00
09006	Goldman Sachs SP (Asia) – China Life Euro Put Wts Apr 2007	100,000,000	2006/09/29	2007/04/02	148.00
09070	Goldman Sachs SP (Asia) – CM Bank European Wts Apr 2007 A	100,000,000	2006/09/29	2007/04/02	119.00
09073	Goldman Sachs SP (Asia) – CM Bank European Wts Apr 2007 B	100,000,000	2006/09/29	2007/04/02	72.00
09035	HK Bank – CM Bank European Warrants Mar 2007	88,000,000	2006/09/29	2007/03/30	61.60
09036	HK Bank – CM Bank European Warrants May 2007	88,000,000	2006/09/29	2007/05/18	107.36

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
09047	HK Bank – CM Bank European Warrants Dec 2007	68,000,000	2006/09/29	2007/12/14	136.00
02231	J P Morgan Int'l Der. Ltd. – China Mobile Euro Wts Nov 2007	182,000,000	2006/09/29	2007/11/01	100.10
02233	J P Morgan Int'l Der. Ltd. – China Unicom Euro Wts Nov 2007	148,000,000	2006/09/29	2007/11/01	100.64
02234	J P Morgan Int'l Der. Ltd. – Foxconn European Wts May 2007	400,000,000	2006/09/29	2007/05/30	100.00
02237	J P Morgan Int'l Der. Ltd. – Ping An European Wts May 2007	400,000,000	2006/09/29	2007/05/30	100.00
02225	J P Morgan Int'l Der. Ltd. – CM Bank European Wts Jun 2007 A	400,000,000	2006/09/29	2007/06/01	100.00
02228	J P Morgan Int'l Der. Ltd. – CM Bank European Wts Jun 2007 C	400,000,000	2006/09/29	2007/06/01	100.00
02227	J P Morgan Int'l Der. Ltd. – CM Bank European Wts Jun 2007 B	400,000,000	2006/09/29	2007/06/01	100.00
09056	KBC Fin Products Int'l Ltd. – BankComm Euro Put Wts Mar 2007	388,000,000	2006/09/29	2007/03/29	97.00
09057	KBC Fin Products Int'l Ltd. – CM Bank European Wts Mar 2007	208,000,000	2006/09/29	2007/03/29	95.68
09058	KBC Fin Products Int'l Ltd. – CM Bank Euro Wts Apr 2007 A	118,000,000	2006/09/29	2007/04/02	99.12
09059	KBC Fin Products Int'l Ltd. – CM Bank Euro Wts Apr 2007 B	400,000,000	2006/09/29	2007/04/02	100.00
09060	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2007 D	400,000,000	2006/09/29	2007/03/29	100.00
09076	Macquarie Bank Ltd. – CM Bank European Warrants Aug 2007	388,000,000	2006/09/29	2007/08/31	97.78
09074	Macquarie Bank Ltd. – CM Bank European Warrants Mar 2007 A	200,000,000	2006/09/29	2007/03/30	175.80
09075	Macquarie Bank Ltd. – CM Bank European Warrants Mar 2007 B	200,000,000	2006/09/29	2007/03/30	104.60
09081	Macquarie Bank Ltd. – CM Bank European Put Warrants Mar 2007	100,000,000	2006/09/29	2007/03/30	50.40
09065	CC Rabobank B.A. – CM Bank European Warrants Mar 2007	160,000,000	2006/09/29	2007/03/30	152.00
09068	CC Rabobank B.A. – CM Bank European Warrants Jun 2007	160,000,000	2006/09/29	2007/06/08	200.00
09069	CC Rabobank B.A. – CM Bank European Warrants Jul 2007	160,000,000	2006/09/29	2007/07/18	201.60
02250	SGA Societe Generale Acceptance N.V. – CLife Eur Wt Apr 2007	76,000,000	2006/09/29	2007/04/02	100.32
02262	SGA Societe Generale Acceptance N.V. – Ping An Eur Wt May 07	286,000,000	2006/09/29	2007/05/09	100.10
02254	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Apr07B	192,000,000	2006/09/29	2007/04/30	99.84
02256	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Apr 07	154,000,000	2006/09/29	2007/04/23	100.10
02255	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 07D	313,000,000	2006/09/29	2007/03/29	100.16
09023	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Mar 07 C	182,000,000	2006/09/29	2007/03/29	100.10
09009	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Mar 07 A	81,000,000	2006/09/29	2007/03/29	100.44
09021	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Mar 07 B	137,000,000	2006/09/29	2007/03/29	100.01
09054	UBS AG – CM Bank European Warrants Apr 2007	100,000,000	2006/09/29	2007/04/16	52.00
09055	UBS AG – HK Gas European Warrants May 2007	100,000,000	2006/09/29	2007/05/28	72.00
09082	UBS AG – China Life European Warrants May 2007	100,000,000	2006/09/29	2007/05/28	25.00
04593 #	Deutsche Bank AG – China Mobile European Put Wts Feb 2007 D	150,000,000	2006/09/29	2007/02/05	14.40
03864 #	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2006 A	100,000,000	2006/09/29	2006/12/11	29.50
Total					63,478.06

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2005	Q3	–	1.77
	Q4	–	1.02
2006	Q1	–	0.68
	Q2	–	1.37
	Q3	–	2.55

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2005	Q3	165	439,309.57
	Q4	166	445,999.99
2006	Q1	165	429,539.14
	Q2	174	423,962.36
	Q3	173	425,875.43

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2005	Q3	8	23,207.35
	Q4	7	17,366.80
2006	Q1	10	17,683.07
	Q2	15	13,752.98
	Q3	9	13,323.62

Main Board Debt Securities

Newly Listed Debt Securities

for 3rd quarter 2006

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
02551	Hang Seng Bank Ltd. Floating Rate Subordinated Notes due 2016	USD450,000,000	2006/07/06	2006/07/06	3,505.40
01501	The Link Finance (Cayman) 2006 Ltd. 5.12% Guaranteed Notes due 2009	HKD1,400,000,000	2006/08/07	2009/08/04	1,400.00
01502	The Link Finance (Cayman) 2006 Ltd. 5.00% Guaranteed Notes due 2008	HKD1,400,000,000	2006/08/07	2008/08/04	1,400.00
01503	The Link Finance (Cayman) 2006 Ltd. Floating Rate Guaranteed Notes due 2008	HKD800,000,000	2006/08/07	2008/08/04	800.00
02552	Sinochem Hong Kong Holdings Ltd. Zero Coupon Convertible Bonds due 2011	HKD1,300,000,000	2006/08/08	2011/08/07	1,300.00
02553	Shui On Construction and Materials Ltd. Zero Coupon Convertible Bonds due 2009	HKD930,000,000	2006/08/09	2009/07/31	930.00
04154	Hong Kong Monetary Authority 4.35% Exchange Fund Notes 2008	HKD1,200,000,000	2006/08/15	2008/08/14	1,206.24
04155	Hong Kong Monetary Authority 4.40% Exchange Fund Notes due 2013	HKD600,000,000	2006/08/23	2013/08/22	606.42
04156	Hong Kong Monetary Authority 3.97% Exchange Fund Notes due 2011	HKD1,000,000,000	2006/09/19	2011/09/19	999.20
04128 #	Hong Kong Monetary Authority 3.18% Exchange Fund Notes due 2009	HKD1,200,000,000	2006/09/19	2009/09/28	1,176.36
					13,323.62

Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Real Estate				
		Exchange Traded	Investment			
		Fund (ETF)	Trusts (REITs)	Others	Total	% of market total
		(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)
2005	Q3	8,189.94	–	0.02	8,189.96	0.62
	Q4	4,777.92	30,907.66	–	35,685.58	3.09
2006	Q1	13,579.85	14,892.52	0.96	28,473.33	1.48
	Q2	16,144.95	14,665.27	–	30,810.22	1.54
	Q3	11,032.74	8,629.10	–	19,661.83	1.16

- Note: 1. The first REITs – The Link Real Estate Investment Trusts – was listed on the 2005/11/25.
2. Transaction in foreign currencies are excluded.

Unit Trusts and Mutual Funds Market Value

		Real Estate							
		Exchange		Investment					
		Traded Funds		Trusts					
		No. of	(ETF)	No. of	(REITs)	No. of	Others	No. of	Total
		issues	(HK\$ mil.)	issues	(HK\$ mil.)	issues	(HK\$ mil.)	issues	(HK\$ mil.)
2005	Q3	8	54,183.57	–	–	2	11.91	10	54,195.48
	Q4	8	54,134.38	3	37,935.43	2	11.70	13	92,081.51
2006	Q1	8	60,248.19	3	41,968.29	2	16.50	13	102,232.97
	Q2	8	63,150.09	4	48,986.55	2	19.19	14	112,155.84
	Q3	8	69,999.80	4	50,956.33	1	–	13	120,956.12

New Listing Statistics – Unit Trust and Mutual Funds

		No. of newly listed	Funds raised
		unit trust and mutual funds	(HK\$ mil.)
2005	Q3	1	7,800.00
	Q4	3	25,811.35
2006	Q1	–	–
	Q2	1	6,294.52
	Q3	–	–

Newly Listed Unit Trust and Mutual Funds

for 3rd quarter 2006

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised (HK\$ mil.)	Listing date
Nil					

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2005	Q3	n.a.	n.a.	n.a.
	Q4	n.a.	n.a.	n.a.
2006	Q1	n.a.	n.a.	n.a.
	Q2	11	928.23	204.22
	Q3	35	2,001.51	5,696.94

Note: Callable Bull / Bear Contracts commenced trading on 12 June 2006

New Listings Statistics - Callable Bull/Bear Contracts

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2005	Q3	n.a.	n.a.
	Q4	n.a.	n.a.
2006	Q1	n.a.	n.a.
	Q2	11	896.80
	Q3	29	1,218.55

Note: Callable Bull / Bear Contracts commenced trading on 12 June 2006

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 3rd quarter 2006

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
06208	Deutsche Bank AG – HSBC R Bull CBBC Jan 2007 A	50,000,000	2006/07/04	2007/01/08	93.00
06209	Deutsche Bank AG – HSI R Bear CBBC Jan 2007 A	50,000,000	2006/07/10	2007/01/30	14.50
06210	UBS AG – HSI R Bull CBBC Dec 2006 A	100,000,000	2006/07/12	2006/12/28	36.00
06212	UBS AG – HSCEI R Bull CBBC Dec 2006 A	100,000,000	2006/07/12	2006/12/28	28.00
06214	UBS AG – HSI R Bear CBBC Dec 2006 B	100,000,000	2006/07/12	2006/12/28	30.50
06213	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Dec 2006 A	300,000,000	2006/07/18	2006/12/28	75.00
06217	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Dec 2006 B	300,000,000	2006/07/18	2006/12/28	81.30
06215	Deutsche Bank AG – HSCEI R Bull CBBC Jan 2007 A	50,000,000	2006/07/25	2007/01/30	14.00
06216	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2006 C	50,000,000	2006/08/03	2006/12/28	14.00
06219	ABN AMRO Bank N.V. – HSI R Bull CBBC Jan 2007 A	300,000,000	2006/08/08	2007/01/30	127.50
06223	ABN AMRO Bank N.V. – HSI R Bear CBBC Jan 2007 B	300,000,000	2006/08/08	2007/01/30	79.50
06218	Deutsche Bank AG – HSI R Bull CBBC Feb 2007 A	50,000,000	2006/08/08	2007/02/27	17.00
06222	Deutsche Bank AG – HSI R Bear CBBC Feb 2007 B	50,000,000	2006/08/08	2007/02/27	13.50
06220	UBS AG – HSI R Bull CBBC Feb 2007 A	100,000,000	2006/08/21	2007/02/27	25.00
06224	UBS AG – HSI R Bear CBBC Feb 2007 B	100,000,000	2006/08/21	2007/02/27	25.00
06221	UBS AG – HSCEI R Bear CBBC Feb 2007 A	100,000,000	2006/08/21	2007/02/27	25.00
06222 #	Deutsche Bank AG – HSI R Bear CBBC Feb 2007 B	100,000,000	2006/08/22	2007/02/27	16.90
06225	Deutsche Bank AG – HSI R Bull CBBC Feb 2007 C	100,000,000	2006/08/24	2007/02/27	27.00
06229	Deutsche Bank AG – HSI R Bear CBBC Feb 2007 D	100,000,000	2006/08/24	2007/02/27	25.00
06227	ABN AMRO Bank N.V. – HSI R Bear CBBC Jan 2007 C	300,000,000	2006/08/25	2007/01/30	75.00
06226	ABN AMRO Bank N.V. – HSI R Bear CBBC Mar 2007 A	300,000,000	2006/08/25	2007/03/29	75.00
06228	Deutsche Bank AG – HSCEI R Bear CBBC Mar 2007 A	50,000,000	2006/08/31	2007/03/29	12.50
06231	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jan 2007 A	150,000,000	2006/09/01	2007/01/30	39.00
06230	ABN AMRO Bank N.V. – HSI R Bull CBBC Feb 2007 A	150,000,000	2006/09/01	2007/02/27	37.50
06234	ABN AMRO Bank N.V. – HSI R Bear CBBC Feb 2007 B	150,000,000	2006/09/01	2007/02/27	39.45
06232	UBS AG – HSCEI R Bull CBBC Mar 2007 A	100,000,000	2006/09/04	2007/03/29	25.00
06233	UBS AG – HSI R Bear CBBC Mar 2007 A	100,000,000	2006/09/04	2007/03/29	25.00
06222 #	Deutsche Bank AG – HSI R Bear CBBC Feb 2007 B	200,000,000	2006/09/04	2007/02/27	47.40
06235	Deutsche Bank AG – HSI R Bull CBBC Mar 2007 A	100,000,000	2006/09/19	2007/03/29	25.00
06236	UBS AG - HSI R Bull CBBC Mar 2007 B	100,000,000	2006/09/21	2007/03/29	25.00
06237	Deutsche Bank AG – HSI R Bull CBBC Mar 2007 B	100,000,000	2006/09/28	2007/03/29	25.00
Total					1,218.55

Further issue

Main Board ELI & Trading Only Stocks

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2005	Q3	–	–
	Q4	–	–
2006	Q1	–	–
	Q2	–	–
	Q3	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2005	Q3	7	3.97
	Q4	7	1.73
2006	Q1	7	3.08
	Q2	7	1.48
	Q3	7	6.00

iShares

		No. of issues	Turnover value (HK\$ mil.)
2005	Q3	2	0.04
	Q4	2	0.05
2006	Q1	2	0.20
	Q2	2	0.01
	Q3	2	0.00

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2005	Q3	12,180.25	7,474.59	165,454
	Q4	7,112.69	5,408.71	109,137
2006	Q1	11,537.79	12,673.01	221,904
	Q2	13,130.34	11,464.33	230,657
	Q3	11,928.67	8,747.10	234,288

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2005	Q3	64	116.79	45,176
	Q4	62	87.24	49,559
2006	Q1	62	204.40	57,110
	Q2	59	194.31	49,703
	Q3	65	134.57	37,335

20 Most Advanced GEM Stocks

for 3rd quarter 2006

Rank	Code	Stock	Closing price		% up
			End of Sep 2006	End of Jun 2006	
1	08279	MEGAINFO	1.430	0.100	1,330.00
2	08029	GALILEO	0.630	0.093	577.42
3	08025	AIR HOLDINGS	0.118	0.022	436.36
4	08085	NCM HOLDINGS	0.360	0.075	380.00
5	08119	THIZ TECHNOLOGY	0.075	0.028	167.86
6	08287	SAMPLE TECH	17.580	6.750	160.44
7	08083	SYSCAN TECH	0.094	0.044	113.64
8	08301	MINGWAH HI TECH	0.380	0.180	111.11
9	08158	BM INTELLIGENCE	0.073	0.036	102.78
10	08055	PROSTICKS INT'L	0.200	0.101	98.02
11	08225	VENTUREPHARM	0.500	0.290	72.41
12	08021	WLS HOLDINGS	0.205	0.120	70.83
13	08206	CHINAINFO	2.980	1.760	69.32
14	08117	CHINA ADVANCE	0.360	0.215 A	67.44
15	08208	CHANGMAOBIOCHEM	2.350	1.430	64.34
16	08149	EMER	0.800	0.520	53.85
17	08290	TIANJINTIANLIAN	1.090	0.720	51.39
18	08008	SUNEVISION	1.860	1.300	43.08
19	08186	MEDICAL CHI	0.050	0.035	42.86
20	08129	Q9 TECHNOLOGY	0.050	0.035	42.86

A Adjusted

GEM Equities

20 Most Declined GEM Stocks

for 3rd quarter 2006

Rank	Code	Stock	Closing price		% up
			End of Sep 2006	End of Jun 2006	
1	08153	CCCTV GROUP	0.275	1.000	-72.50
2	08155	CAPITAL	0.044	0.120	-63.33
3	08172	SATELLITEDEVICE	0.072	0.172	-58.14
4	08118	INT'L ENT	1.550	3.500	-55.71
5	08088	TECHPACIFIC CAP	0.480	1.000	-52.00
6	08037	LONGLIFE GROUP	0.172	0.305	-43.61
7	08003	T S TELECOM	0.180	0.310	-41.94
8	08331	ZHEJIANG SHIBAO	0.750	1.290	-41.86
9	08047	UB HOLDINGS	0.175	0.300	-41.67
10	08092	ITE HOLDINGS	0.040	0.068	-41.18
11	08089	PROACTIVE TECH	0.045	0.076	-40.79
12	08110	FIRST MOBILE	0.092	0.154	-40.26
13	08156	CHINA VANGUARD	1.190	1.980	-39.90
14	08282	TOM ONLINE	1.220	1.910	-36.13
15	08141	INSPUR INT'L	0.580	0.870	-33.33
16	08151	ESSEX BIO-TECH	0.120	0.175	-31.43
17	08197	NORTHEAST TIGER	0.048	0.069	-30.43
18	08227	HAITIAN ANTENNA	0.350	0.500	-30.00
19	08086	EPRO	0.070	0.100	-30.00
20	08075	ROJAM	0.213	0.300	-29.00

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 3rd quarter 2006

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08245	JINGKELONG	959.84	10.97
2	08277	WUMART	781.83	8.94
3	08088	TECHPACIFIC CAP	688.46	7.87
4	08222	CKLIFE SCIENCES	449.80	5.14
5	08282	TOM ONLINE	425.87	4.87
6	08011	KANSTAR ENPAPER	347.99	3.98
7	08180	GOLDEN MEDITECH	309.35	3.54
8	08276	SUNSHINE	248.52	2.84
9	08029	GALILEO	232.09	2.65
10	08008	SUNEVISION	219.44	2.51
11	08280	TIANYE WATER	213.70	2.44
12	08208	CHANGMAOBIOCHEM	206.74	2.36
13	08201	CHINA FIRE	202.27	2.31
14	08279	MEGAINFO	193.58	2.21
15	08138	TOWN HEALTH	191.20	2.19
16	08099	ZHENGZHOU GAS	167.83	1.92
17	08287	SAMPLE TECH	162.54	1.86
18	08161	CHINALOTSYNERGY	153.61	1.76
19	08206	CHINAINFO	147.73	1.69
20	08212	APTUS HOLDINGS	134.10	1.53
Total			6,436.48	73.58

GEM Equities

20 Most Active GEM Stock by Shares

for 3rd quarter 2006

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08088	TECHPACIFIC CAP	955.13	8.01
2	08029	GALILEO	776.42	6.51
3	08138	TOWN HEALTH	743.28	6.23
4	08011	KANSTAR ENPAPER	706.87	5.93
5	08250	PLASMAGENE	687.19	5.76
6	08222	CK LIFE SCIENCES	583.33	4.89
7	08129	Q9 TECHNOLOGY	580.68	4.87
8	08279	MEGAINFO	354.64	2.97
9	08282	TOM ONLINE	333.16	2.79
10	08172	SATELLITE DEVICE	318.89	2.67
11	08201	CHINA FIRE	263.05	2.21
12	08178	XTEAM SOFTWARE	258.24	2.16
13	08046	TIGER TECH	201.95	1.69
14	08042	KO YO GROUP	189.39	1.59
15	08122	CASH FIN SER GP	182.79	1.53
16	08003	T S TELECOM	180.48	1.51
17	08099	ZHENGZHOU GAS	178.41	1.50
18	08245	JINGKELONG	171.67	1.44
19	08021	WLS HOLDINGS	158.35	1.33
20	08161	CHINA LOT SYNERGY	153.46	1.29
Total			7,977.38	66.88

Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2005	Q3	72,282.93
	Q4	66,603.74
2006	Q1	84,214.72
	Q2	82,030.68
	Q3	77,829.34

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 3rd quarter end 2006

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total
1	8222	CK Life Sciences Int'l., (Holdings) Inc.	7,208.30	9.26
2	8002	Phoenix Satellite Television Holdings Ltd.	5,780.71	7.43
3	8282	TOM Online Inc.	5,196.75	6.68
4	8008	Sunvision Holdings Ltd.	3,769.72	4.84
5	8212	Aptus Holdings Ltd.	3,737.31	4.80
6	8277	Wumart Stores, Inc. - H Shares	3,419.33	4.39
7	8180	Golden Meditech Co. Ltd.	3,012.20	3.87
8	8117	China Advance Holdings Ltd.	2,452.49	3.15
9	8052	Convenience Retail Asia Ltd.	2,061.48	2.65
10	8006	China.com Inc.	2,023.65	2.60
11	8201	China Fire Safety Enterprise Group Holdings Ltd.	2,023.50	2.60
12	8206	Chinainfo Holdings Ltd.	1,891.37	2.43
13	8276	Century Sunshine Ecological Technology Holdings Ltd.	1,822.11	2.34
14	8199	Shandong Weigao Group Medical Polymer Co. Ltd. - H Shares	1,587.00	2.04
15	8161	China LotSynergy Holdings Ltd.	1,577.60	2.03
16	8088	Techpacific Capital Ltd.	1,474.44	1.89
17	8011	Kanstar Environmental Paper Products Holdings Ltd.	1,420.00	1.82
18	8138	Town Health International Holdings Co. Ltd.	1,273.71	1.64
19	8061	AcrossAsia Ltd.	1,012.92	1.30
20	8069	Tong Ren Tang Technologies Co. Ltd. - H Shares	981.34	1.26
21	8292	HC International, Inc.	861.42	1.11
22	8216	Chinasoft International Ltd.	838.74	1.08
23	8245	Beijing Jingkelong Co. Ltd. - H Shares	818.20	1.05
24	8279	MegaInfo Holdings Ltd.	795.65	1.02
25	8156	China Vanguard Group Ltd.	742.62	0.95
26	8261	Shandong Molong Petroleum Machinery Co. Ltd. - H Shares	541.81	0.70
27	8070	Zhongyu Gas Holdings Ltd.	530.07	0.68
28	8099	Zhengzhou Gas Co. Ltd. - H Shares	512.11	0.66
29	8029	Galileo Capital Group Ltd.	504.00	0.65
30	8090	EVI Education Asia Ltd.	498.00	0.64
31	8196	Launch Tech Co. Ltd. - H Shares	451.44	0.58
32	8122	CASH Financial Services Group Ltd.	435.03	0.56
33	8208	Changmao Biochemical Engineering Co. Ltd. - H Shares	431.70	0.55
34	8259	Yantai North Andre Juice Co. Ltd. - H Shares	415.45	0.53
35	8075	Rojam Entertainment Holdings Ltd.	410.26	0.53
36	8101	Value Convergence Holdings Ltd.	384.25	0.49
37	8118	International Entertainment Corporation	365.54	0.47
38	8290	Tianjin Tianlian Public Utilities Co., Ltd. - H Shares	359.70	0.46
39	8287	Nanjing Sample Technology Co. Ltd. - H Shares	358.63	0.46
40	8280	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	356.22	0.46
41	8293	Jinheng Automotive Safety Technology Holdings Ltd.	347.00	0.45
42	8230	Shenzhen Dongjiang Environmental Co. Ltd. - H Shares	341.57	0.44
43	8317	Finet Group Ltd.	339.97	0.44
44	8177	Sino Stride Technology (Holdings) Ltd.	325.89	0.42
45	8228	Vertex Group Ltd.	325.58	0.42
46	8262	A - S China Plumbing Products Ltd.	320.19	0.41
47	8073	Recruit Holdings Ltd.	310.09	0.40
48	8042	Ko Yo Ecological Agrotech (Group) Ltd.	298.43	0.38
49	8141	Inspur International Ltd.	298.29	0.38
50	8251	Shanghai Donghua Petrochemical Co. Ltd. - H Shares	288.40	0.37
Total			67,532.21	86.77
Market Total			77,829.34	100.00

GEM Equities

New Listing Companies Statistics – GEM Equities

		No. of newly listed companies	Funds raised (HK\$ mil.)
2005	Q3	3	204.69
	Q4	5	378.75
2006	Q1	3	453.33
	Q2	1	130.07
	Q3	1	683.10

Newly Listed Companies for GEM

for 3rd quarter 2006

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08245	Beijing Jingkelong Co. Ltd. - H Shares	2006/09/25	151,800,000	683.10
Total				683.10

Withdrawal of Listed Companies – GEM

for 3rd quarter 2006

Code	Company name	Last trading date	Delisted date
08289	Enric Energy Equipment Holdings Ltd. #	2006/07/19	2006/07/20
08036	M Channel Corporation Ltd.	2005/04/28	2006/08/11
08031	Kinetana International Biotech Pharma Ltd.	2005/04/18	2006/09/01

Transfer listing on Main Board

Company Name Changes for GEM

for 3rd quarter 2006

Code	Old name	New name	Effective date	Adoption date
08228	Vertex Communications & Technology Group Ltd.	Vertex Group Ltd.	2006/07/20	2006/07/20
08156	B & B Group Holdings Ltd.	China Vanguard Group Ltd.	2006/07/19	2006/07/26
08141	Lang Chao International Ltd. *	Inspur International Ltd.	2006/06/27	2006/08/07
08270	Shine Software (Holdings) Ltd.	China Leason Investment Group Co. Ltd.	2006/07/25	2006/08/11
08143	Grandy Corporation	Hua Xia Healthcare Holdings Ltd.	2006/08/03	2006/08/28
08112	Angels Technology Co. Ltd.	DeTeam Co. Ltd.	2006/09/11	2006/09/25
08047	IA International Holdings Ltd.	Union Bridge Holdings Ltd.	2006/08/10	2006/09/27

* Change of English name only

Change of Chinese name only

GEM Equities

Share Split/Consolidation for GEM

for 3rd quarter 2006

Code	Company	Particulars	Effective date
08009	iMerchants Ltd.	Consolidation 10 into 1	2006/07/28
08117	China Advance Holdings Ltd.	Split 1 into 20	2006/08/01

Rights Issues & Open Offers for GEM

for 3rd quarter 2006

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	08136	FX Creations International Holdings Ltd.	Open offer 1 for 2 @\$0.06	14.96
	08083	SYSCAN Technology Holdings Ltd.	Open offer 3 for 1 @\$0.03	9.21
	08172	Satellite Devices Corporation	Open offer 3 for 1 @\$0.065	25.68
Sep	08032	GreaterChina Technology Group Ltd.	Open offer 3 for 2 @\$0.015	18.31
Total				68.16

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2006					
Jun **	08112	DETEAM CO LTD	48,960,000	0.223	10.92
	08190	GOLDING SOFT	200,000,000	0.014	2.76
	08117	CHINA ADVANCE	13,624,934	1.500	20.44
	08003	T S TELECOM	56,400,000	0.105	5.92
	08047	UB HOLDINGS	60,000,000	0.300	18.00
Jul	08317	FINET GROUP	24,200,000	0.850	20.57
Sep	08202	INNO-TECH HOLD	28,000,000	0.160	4.48
Total					83.08

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2005	Q3	0	–	–
	Q4	0	–	–
2006	Q1	0	–	–
	Q2	0	–	–
	Q3	0	–	–

Newly Listed Equity GEM Warrants

for 3rd quarter 2006

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	n.a.				–
Total					–

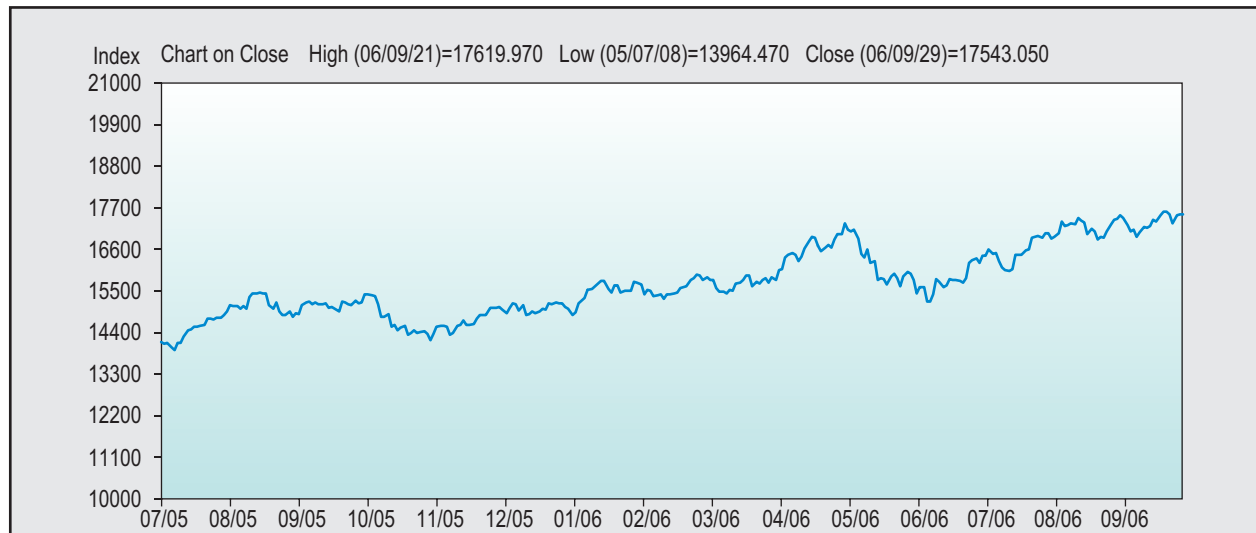
Stock Market Indices

Hong Kong Stock Market Indices

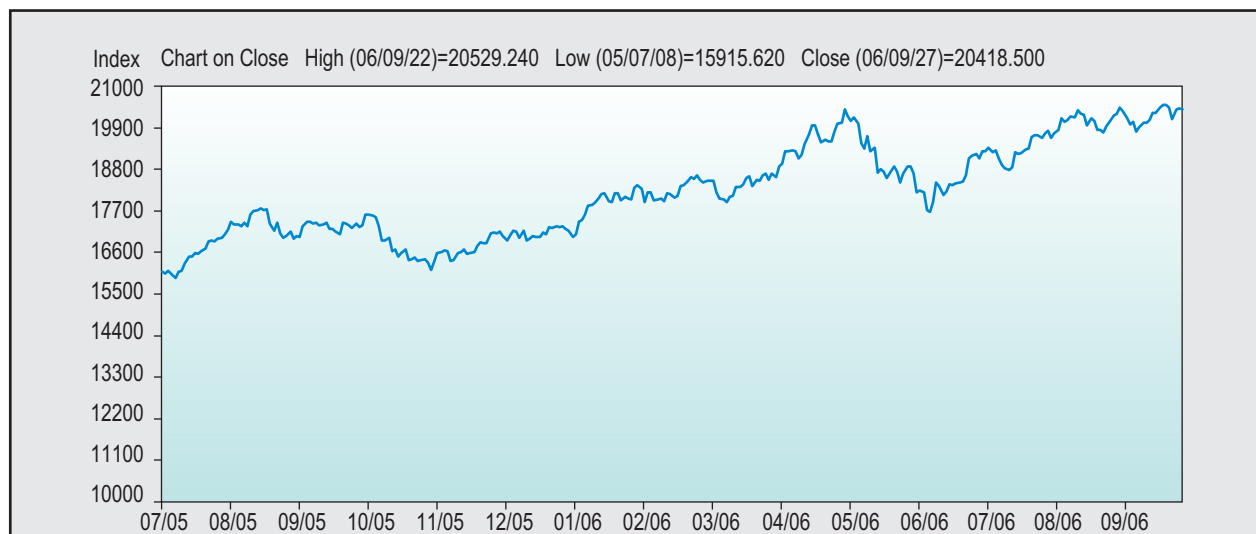
Index	End of Sep 2006 Close	End of Jun 2006 Close	Change %
S&P/HKEx LargeCap Index	20418.50	19114.74	+ 6.82%
S&P/HKEx GEM Index	1102.56	1178.32	- 6.43%
HANG SENG INDEX	17543.05	16267.62	+ 7.84%
SECTORIAL INDICES			
Finance	30107.37	28721.55	+ 4.83%
Utilities	33061.03	31515.30	+ 4.90%
Properties	20808.79	19576.66	+ 6.29%
Commerce & Industry	8874.77	7998.64	+ 10.95%
HANG SENG COMPOSITE INDEX	2335.32	2182.73	+ 6.99%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	2649.52	2303.55	+ 15.02%
HANG SENG CHINA ENTERPRISES INDEX	7097.25	6784.60	+ 4.61%

Stock Market Indices

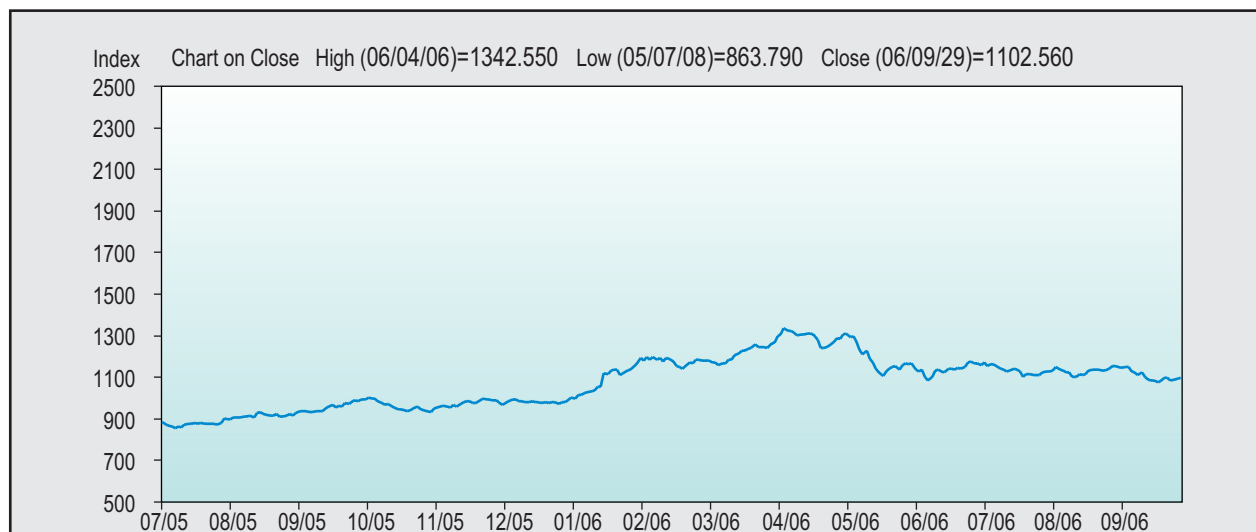
Hang Seng Index (2005/07/01 – 2006/09/30)



S&P/HKEx LargeCap Index (2005/07/01 – 2006/09/30)



S&P/HKEx GEM Index (2005/07/01 – 2006/09/30)



Stock Market Indices

WORLD INDICES

Index	End of Sep 2006 Close	End of Jun 2006 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	20418.50	19114.74	+ 6.82%
Hang Seng Index	17543.05	16267.62	+ 7.84%
AUSTRALIA			
All Ordinaries Index	5113.00	5034.00	+ 1.57%
JAKARTA			
Composite Index	1534.62	1310.26	+ 17.12%
JAPAN			
Nikkei (225)	16127.58	15505.18	+ 4.01%
KOREA			
Composite Index	1371.41	1295.15	+ 5.89%
KUALA LUMPUR			
Composite Index	967.55	914.69	+ 5.78%
MANILA			
Composite Index	2556.71	2178.79	+ 17.35%
NEW ZEALAND			
NZSE (50)	3589.34	3585.87	+ 0.10%
SHANGHAI			
A Shares Index	1840.31	1758.06	+ 4.68%
B Shares Index	107.80	92.39	+ 16.68%
SHENZHEN			
A Shares Index	455.45	451.21	+ 0.94%
B Shares Index	323.36	295.10	+ 9.58%
SINGAPORE			
Straits Times Index	2568.86	2435.39	+ 5.48%
TAIWAN			
Taipei Weighted Stock Index	6883.05	6704.41	+ 2.66%
THAILAND			
SET Index	686.10	678.13	+ 1.18%
NEW YORK			
DJIA	11679.07	11150.22	+ 4.74%
Nasdaq Composite Index	2258.43	2172.09	+ 3.97%
TORONTO			
S&P/TSX Composite Index	11761.27	11612.87	+ 1.28%
GERMANY			
DAX Capital Value Index	4031.16	3815.63	+ 5.65%
LONDON			
FTSE (100)	5960.80	5833.42	+ 2.18%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2006	3rd Quarter 2005
Hang Seng Index Futures	3,268,285	2,695,815
Hang Seng Index Options	1,083,631	930,746
Mini-Hang Seng Index Futures	567,298	399,188
Mini-Hang Seng Index Options	16,185	8,645
H-shares Index Futures	1,083,581	563,800
H-shares Index Options	172,505	84,709
FTSE/Xinhua China 25 Index Futures	2,763	795
FTSE/Xinhua China 25 Index Options	1,189	3,378
Stock Futures	35,930	4,520
Stock Options	4,344,327	3,186,175
Three-month HIBOR Futures	2,807	4,742
One-month HIBOR Futures	65	30
Three-year Exchange Fund Note Futures	0	50
Total	10,578,566	7,882,593

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 3rd Quarter 2006

Options class	HKATS Code	Premium (HK\$ mil.)	% of total
CHALCO	ALC	33.87	0.80
BANKCOMM	BCM	23.80	0.56
BANK OF CHINA	BCL	23.91	0.56
BANK OF E ASIA	BEA	5.64	0.13
BOC HONG KONG	BOC	33.82	0.80
CCB	CCB	42.66	1.00
CHINA MOBILE	CHT	783.08	18.43
CHINA UNICOM	CHU	18.09	0.43
CITIC PACIFIC	CIT	22.93	0.54
CHEUNG KONG	CKH	519.58	12.23
CKI HOLDINGS	CKI	5.73	0.13
CHINA LIFE	CLI	282.76	6.66
CLP HOLDINGS	CLP	14.22	0.33
CNOOC	CNC	56.11	1.32
CHINA NETCOM	CNM	3.62	0.09
COSCO PACIFIC	COS	7.15	0.17
CATHAY PAC AIR	CPA	16.62	0.39
SINOPEC CORP	CPC	19.14	0.45
CHINA RESOURCES	CRE	15.87	0.37
CHINA TELECOM	CTC	7.15	0.17
DENWAY MOTORS	DWM	1.28	0.03
ESPRIT HOLDINGS	ESP	27.68	0.65
HK ELECTRIC	HEH	7.61	0.18
HKEX	HEX	76.04	1.79
HSBC HOLDINGS	HKB	881.43	20.75
HK & CHINA GAS	HKG	9.67	0.23
HENDERSON LAND	HLD	54.51	1.28
HUANENG POWER	HNP	6.14	0.14
HANG SENG BANK	HSB	12.68	0.30
HUTCHISON	HWL	519.09	12.22
JOHNSON ELEC H	JSE	1.17	0.03
LENOVO GROUP	LEH	1.92	0.05
LI & FUNG	LIF	17.94	0.42
MTR CORPORATION	MTR	11.95	0.28
NEW WORLD DEV	NWD	11.88	0.28
PING AN	PAI	27.19	0.64
PCCW	PCC	5.81	0.14
PETROCHINA	PEC	174.07	4.10
SHK PPT	SHK	415.79	9.79
SWIRE PACIFIC A	SWA	25.17	0.59
TRACKER FUND	TRF	4.53	0.11
WHARF HOLDINGS	WHL	19.36	0.46
Total		4,248.66	100.00

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 3rd Quarter 2006

Options class	HKATS Code	Contract volume	% of total
CHALCO	ALC	54,242	1.25
BANKCOMM	BCM	103,473	2.38
BANK OF CHINA	BCL	199,155	4.58
BANK OF E ASIA	BEA	24,174	0.56
BOC HONG KONG	BOC	174,696	4.02
CCB	CCB	257,110	5.92
CHINA MOBILE	CHT	659,656	15.18
CHINA UNICOM	CHU	20,619	0.47
CITIC PACIFIC	CIT	13,947	0.32
CHEUNG KONG	CKH	233,626	5.38
CKI HOLDINGS	CKI	7,573	0.17
CHINA LIFE	CLI	324,641	7.47
CLP HOLDINGS	CLP	42,575	0.98
CNOOC	CNC	155,196	3.57
CHINA NETCOM	CNM	13,636	0.31
COSCO PACIFIC	COS	4,730	0.11
CATHAY PAC AIR	CPA	24,687	0.57
SINOPEC CORP	CPC	41,758	0.96
CHINA RESOURCES	CRE	10,517	0.24
CHINA TELECOM	CTC	25,388	0.58
DENWAY MOTORS	DWM	5,310	0.12
ESPRIT HOLDINGS	ESP	23,840	0.55
HK ELECTRIC	HEH	15,665	0.36
HKEX	HEX	56,064	1.29
HSBC HOLDINGS	HKB	753,159	17.34
HK & CHINA GAS	HKG	36,517	0.84
HENDERSON LAND	HLD	28,390	0.65
HUANENG POWER	HNP	15,626	0.36
HANG SENG BANK	HSB	131,168	3.02
HUTCHISON	HWL	288,312	6.64
JOHNSON ELEC H	JSE	6,858	0.16
LENOVO GROUP	LEH	8,685	0.20
LI & FUNG	LIF	11,846	0.27
MTR CORPORATION	MTR	27,171	0.63
NEW WORLD DEV	NWD	14,362	0.33
PING AN	PAI	41,410	0.95
PCCW	PCC	28,323	0.65
PETROCHINA	PEC	223,262	5.14
SHK PPT	SHK	184,181	4.24
SWIRE PACIFIC A	SWA	20,223	0.47
TRACKER FUND	TRF	16,048	0.37
WHARF HOLDINGS	WHL	16,508	0.38
Total		4,344,327	100.00

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2005	Q3	2,695,815	117,854
	Q4	2,684,211	98,211
2006	Q1	2,891,570	116,606
	Q2	3,217,583	109,952
	Q3	3,268,285	122,697

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2005	Q3	930,746	214,039
	Q4	843,396	192,069
2006	Q1	885,771	194,359
	Q2	1,011,339	213,432
	Q3	1,083,631	217,698

Three-month HIBOR Futures – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2005	Q3	4,742	2,409
	Q4	3,978	1,472
2006	Q1	5,979	1,951
	Q2	2,689	1,476
	Q3	2,807	1,104

Stock Options Market Turnover and Open Interest (contracts)

		Total	Total	Quarter-end
		Premium (HK\$ mil.)	contract volume	open interest
2005	Q3	4,544.34	3,186,175	1,181,211
	Q4	2,924.64	2,498,877	1,021,913
2006	Q1	3,664.95	3,835,478	1,265,171
	Q2	4,124.56	3,837,244	1,226,767
	Q3	4,248.66	4,344,327	1,675,179

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2006	3rd Quarter 2005
Hang Seng Index Futures	122,697	117,854
Hang Seng Index Options	217,698	214,039
Mini-Hang Seng Index Futures	5,117	2,681
Mini-Hang Seng Index Options	1,083	488
H-shares Index Futures	56,752	33,702
H-shares Index Options	34,717	35,649
FTSE/Xinhua China 25 Index Futures	40	5
FTSE/Xinhua China 25 Index Options	437	710
Stock Futures	3,163	1,730
Stock Options	1,675,179	1,181,211
Three-month HIBOR Futures	1,104	2,409
One-month HIBOR Futures	15	0
Three-year Exchange Fund Note Futures	0	0
Total	2,118,002	1,590,478

Clearing & Settlement

Open Interest by Stock Options Class

as at the end of 3rd Quarter 2006

Options class	HKATS Code	Open interest (contracts)	% of total
CHALCO	ALC	24,084	1.44
BANKCOMM	BCM	70,363	4.20
BANK OF CHINA	BCL	119,218	7.12
BANK OF E ASIA	BEA	13,567	0.81
BOC HONG KONG	BOC	45,154	2.70
CCB	CCB	156,009	9.31
CHINA MOBILE	CHT	162,626	9.71
CHINA UNICOM	CHU	8,059	0.48
CITIC PACIFIC	CIT	7,302	0.44
CHEUNG KONG	CKH	44,790	2.67
CKI HOLDINGS	CKI	5,774	0.34
CHINA LIFE	CLI	185,813	11.09
CLP HOLDINGS	CLP	12,655	0.76
CNOOC	CNC	33,356	1.99
CHINA NETCOM	CNM	6,315	0.38
COSCO PACIFIC	COS	2,216	0.13
CATHAY PAC AIR	CPA	15,107	0.90
SINOPEC CORP	CPC	35,054	2.09
CHINA RESOURCES	CRE	2,435	0.15
CHINA TELECOM	CTC	42,958	2.56
DENWAY MOTORS	DWM	3,197	0.19
ESPRIT HOLDINGS	ESP	11,305	0.67
HK ELECTRIC	HEH	10,922	0.65
HKEX	HEX	22,509	1.34
HSBC HOLDINGS	HKB	220,560	13.17
HK & CHINA GAS	HKG	10,768	0.64
HENDERSON LAND	HLD	17,180	1.03
HUANENG POWER	HNP	11,187	0.67
HANG SENG BANK	HSB	48,550	2.90
HUTCHISON	HWL	81,495	4.86
JOHNSON ELEC H	JSE	4,714	0.28
LENOVO GROUP	LEH	5,012	0.30
LI & FUNG	LIF	4,101	0.24
MTR CORPORATION	MTR	13,240	0.79
NEW WORLD DEV	NWD	7,029	0.42
PING AN	PAI	22,457	1.34
PCCW	PCC	19,072	1.14
PETROCHINA	PEC	90,868	5.42
SHK PPT	SHK	53,075	3.17
SWIRE PACIFIC A	SWA	6,385	0.38
TRACKER FUND	TRF	10,206	0.61
WHARF HOLDINGS	WHL	8,492	0.51
Total		1,675,179	100.00

Clearing & Settlement

Securities under CCASS Custody

(balances at quarter end)

		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2005	Q3	1,277.11	60.79	2,984.36	36.65
	Q4	1,309.86	55.55	3,026.86	34.60
2006	Q1	1,313.45	55.34	3,607.06	35.79
	Q2	1,430.48	57.36	3,798.96	36.09
	Q3	1,478.19	57.00	4,100.29	36.46

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2005	Q3	170,214	100	23,791.08	100	20,644.06	100
	Q4	147,380	100	24,533.07	100	18,711.59	100
2006	Q1	245,029	100	34,427.90	100	31,176.14	100
	Q2	249,783	100	35,095.31	100	34,087.08	100
	Q3	200,860	100	36,944.92	100	26,254.09	100

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2005	Q3	26,301	13.59	50.42
	Q4	26,602	14.83	52.21
2006	Q1	34,261	19.31	74.07
	Q2	37,182	21.62	91.22
	Q3	31,422	17.11	65.09

Clearing & Settlement

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

		Number of ISIs	ISIs by shares	ISIs by value
			(Mil shs)	(HK\$ mil.)
2005	Q3	381	71.52	108.73
	Q4	329	61.30	103.46
2006	Q1	496	55.27	134.47
	Q2	579	78.92	216.76
	Q3	531	67.14	171.78

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2005	Q3	35,202	89.25	11,317.87	75.69
	Q4	30,507	89.28	9,589.31	79.79
2006	Q1	45,262	90.47	14,886.07	77.79
	Q2	43,574	90.91	14,431.15	78.87
	Q3	37,025	91.39	11,342.38	84.18

Average Daily Settlement Efficiency of CNS Stock Positions

		Settlement efficiency (%)	
		S day	S + 1 day
2005	Q3	99.76	99.97
	Q4	99.75	99.97
2006	Q1	99.74	99.98
	Q2	99.67	99.97
	Q3	99.81	99.98

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2005	Q3	519 (922)	426 (822)	40 (47)	53 (53)
	Q4	519 (922)	429 (825)	39 (46)	51 (51)
2006	Q1	520 (922)	427 (821)	42 (50)	51 (51)
	Q2	517 (922)	426 (823)	41 (49)	50 (50)
	Q3	517 (922)	425 (821)	42 (51)	50 (50)

Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2005	Q3	192 (225)	135 (166)	–	57 (59)
	Q4	193 (225)	137 (167)	–	56 (58)
2006	Q1	194 (225)	138 (167)	–	56 (58)
	Q2	194 (225)	137 (166)	–	57 (59)
	Q3	194 (225)	136 (165)	–	58 (60)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

		Clearing Participants	General Clearing Participants
2005	Q3	125	5
	Q4	128	5
2006	Q1	130	5
	Q2	129	5
	Q3	128	5

SEOCH Participants

		Direct Clearing Participants	General Clearing Participants
2005	Q3	47	3
	Q4	48	3
2006	Q1	48	3
	Q2	47	4
	Q3	46	4

HKSCC Participants

		Broker Participants	Non-broker Participants ^(Note 1)
2005	Q3	433	46
	Q4	435	45
2006	Q1	434	44
	Q2	433	44
	Q3	431	44

Note 1: Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

Abbreviations

CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction