

市場概況

主板

概況	2008 年 第 3 季	2007 年 第 3 季
上市公司數目	1,078	1,018
上市證券數目	6,025	4,656
股份		
普通股	1,079	1,019
優先股	2	3
認股權證	3,978	3,371
股份	37	27
衍生	3,941	3,344
股票掛鈎票據	0	0
牛熊證	759	62
單位信託及互惠基金	33	26
債券	174	175
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	62	63
總成交金額(百萬港元)	3,931,271.40	6,102,056.58
總成交股數(百萬股)	6,243,454.04	5,341,641.36
總成交宗數(宗數)	30,959,031	42,673,061
上市公司市價總值(百萬港元)	12,481,118.88	19,904,015.04
平均市盈率(倍)	8.81	22.30
平均週息率(百分率)	4.15	2.24
交易所參與者數目		
開業	447	433
非開業	36	39
成交金額(百萬港元)		
最高	124,573.22 (19/09)	148,575.52 (28/09)
最低	41,104.56 (01/09)	53,269.11 (14/08)
成交股數(百萬股)		
最高	136,375.86 (07/08)	105,806.66 (01/08)
最低	66,997.47 (16/09)	62,111.25 (10/08)
總成交宗數(宗數)		
最高	984,651 (19/09)	896,207 (17/08)
最低	314,446 (28/07)	398,246 (14/08)

市場概況

創業板

概況	2008 年 第 3 季	2007 年 第 3 季
上市公司數目	181	192
上市證券數目	185	195
股份	181	192
認股權證	4	3
交易日數	62	63
總成交金額(百萬港元)	9,188.79	54,559.24
總成交股數(百萬股)	27,670.69	82,203.85
總成交宗數(宗數)	240,823	1,108,134
上市公司市價總值(百萬港元)	67,153.80	150,850.17
平均市盈率(倍)	10.43	42.69
平均週息率(百分率)	2.05	0.73
成交金額(百萬港元)		
最高	533.49 (22/07)	1,770.81 (12/07)
最低	45.03 (29/09)	376.99 (06/09)
成交股數(百萬股)		
最高	1,787.76 (22/09)	2,119.10 (11/07)
最低	128.04 (12/09)	619.27 (06/09)
總成交宗數(宗數)		
最高	8,087 (22/07)	29,594 (10/07)
最低	1,999 (29/09)	9,628 (06/09)

市場概況

衍生產品市場

概況	2008年第3季	2007年第3季
所有期貨及期權產品(股票期權除外)		
交易日數	62.0	63.0
總合約成交量	13,355,856	12,466,076
股市指數產品	13,271,240	12,375,973
股票產品	79,655	84,580
利率產品	4,961	5,523
平均每日合約成交量 ¹	215,417	197,874
季末未平倉合約	374,133	682,665
股市指數產品	363,563	668,092
股票產品	8,269	8,596
利率產品	2,301	5,977
股票期權		
新開辦期權類別	—	3
股票期權數目(於季末數目)		
類別	50	47
系列	7,214	5,276
期權金總額(百萬港元)	26,991.10	29,463.30
認購	7,357.02	16,771.87
認沽	19,634.07	12,691.44
平均每日期權金總額(百萬港元)	435.34	467.67
總合約成交量	13,646,790	15,128,231
認購	6,075,598	7,408,764
認沽	7,571,192	7,719,467
平均每日合約成交量	220,110	240,131
季末未平倉合約	4,675,934	5,435,153
認購	1,860,995	2,337,035
認沽	2,814,939	3,098,118
總成交宗數	440,564	339,530
平均每日成交宗數	7,106	5,389
已行使合約總數	1,797,894	1,852,965
每張合約平均期權金(港元)	1,978	1,948
每宗交易平均合約數目	31	45
認沽數量／認購數量	1.25	1.04
平均每日合約成交量／未平倉合約	4.7%	4.4%
所有期貨及期權產品		
總合約成交量	27,002,646	27,594,307
季末未平倉合約	5,050,067	6,117,818

¹ 平均每日合約成交量按香港市場的交易日數計算

市場概況

結算及交收

中央結算系統營運資料	2008年第3季	2007年第3季
經中央結算系統處理之聯交所交易 (每日平均數)		
– 交易宗數	503,213	694,934
– 交易金額 (十億港元)	63.6	97.7
– 涉及股數 (十億股)	101.1	86.1
經中央結算系統處理的交收指示 (每日平均數)		
– 交收指示數目	58,573	72,843
– 交收指示涉及金額 (十億港元)	179.6	212.4
– 涉及股數 (十億股)	46.4	51.6
經中央結算系統處理的投資者交收指示 (每日平均數)		
– 交收指示數目	477	1,033
– 交收指示涉及金額 (百萬港元)	231.8	452.3
– 涉及股數 (百萬股)	394.1	129.2
以「持續淨額交收」的股份數額於到期交收日 (T+2) 的交收效率 (每日平均數)	99.74	99.61
以「持續淨額交收」的股份數額於到期交收翌日 (T+3) 的交收效率 (每日平均數)	99.98	99.97
在 T+3 日進行補購 (每日平均數)		
– 涉及經紀數目	7	22
– 補購宗數	13	26
– 補購涉及金額 (百萬港元)	18.7	21.6
存放於中央結算系統證券存管處的股份		
– 股數 (十億股)	3,722.7	2,564.0
– 獲納入系統的證券佔已發行股本總數的百分比	74.53	69.00
– 股份價值 (十億港元)	5,905.6	10,006.5
– 獲納入系統的證券佔市價總值的百分比	44.18	45.96
衍生產品結算及交收系統營運資料	2008年第3季	2007年第3季
季末未平倉合約		
– 股市指數期貨	189,365	218,652
– 股票期貨	8,269	8,596
– 利率期貨	2,301	5,977
– 股市指數期權	174,198	449,440
– 股票期權	4,675,934	5,435,153
累積行使股票期權		
– 涉及股數 (百萬股)	1,568	1,622
– 涉及金額 (十億港元)	48	54

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2007	第 3 季	1,058,315.84	4,831,375.67	33,825,488
	第 4 季	878,390.04	6,044,981.78	35,765,560
2008	第 1 季	564,762.03	4,141,772.67	28,938,227
	第 2 季	497,409.06	3,329,344.37	25,180,928
	第 3 季	413,439.81	2,838,074.56	24,351,805

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2007	第 3 季	63	76,688.50	142,832
	第 4 季	62	97,499.71	169,017
2008	第 1 季	60	69,029.54	143,125
	第 2 季	61	54,579.42	132,217
	第 3 季	62	45,775.40	116,545

主 板 股 份

20種升幅最大之股份

2008年第3季

順次	股份代號	股份	收市價		升幅
			2008年9月底	2008年6月底	
1	01886	匯源果汁	9.500	4.900	93.88
2	01013	普納	0.089	0.060	48.33
3	00421	中國資源優先股	66.050	49.950	32.23
4	01211	比亞迪股份	12.840	10.000	28.40
5	00075	渝太地產	1.540	1.230	25.20
6	00392	北京控股	29.000	25.500	13.73
7	00551	裕元集團	21.000	18.520	13.39
8	00309	勞氏環保	0.230	0.203	13.30
9	01229	ARTFIELD GROUP	1.400	1.270	10.24
10	00474	永保時國際	0.650	0.590	10.17
11	00663	南嶺化工	0.143	0.130	10.00
12	01094	新怡環球	0.830	0.760	9.21
13	00770	SHANGHAI GROWTH	2.180	2.000	9.00
14	01038	長江基建集團	35.900	33.000	8.79
15	00157	自然美	1.670	1.550	7.74
16	00669	創科實業	7.070	6.570	7.61
17	01194	中盛糧油	0.355	0.330	7.58
18	00525	廣深鐵路股份	3.780	3.550	6.48
19	00980	聯華超市	10.620	9.980	6.41
20	00313	DICKSON GROUP	0.110	0.105	4.76

A 經調整

20種跌幅最大之股份

2008年第3季

順次	股份代號	股份	收市價		跌幅
			2008年9月底	2008年6月底	
1	00627	佑威國際	0.014	0.159	-91.19
2	00833	華訊	0.325	2.700	-87.96
3	00439	英發國際	0.025	0.190	-86.84
4	00279	民豐控股	0.031	0.184	-83.15
5	00616	永義實業	0.480	2.500	-80.80
6	00009	東方娛樂控股	0.045	0.216	-79.17
7	02362	澳門投資控股	0.195	0.920	-78.80
8	00353	森源鈦礦控股	0.040	0.185	-78.38
9	00275	錦興集團	0.026	0.115	-77.39
10	00499	華脈無線通信	0.040	0.175	-77.14
11	00498	保華集團	0.395	1.550	-74.52
12	00629	悅達礦業	1.100	4.270	-74.24
13	00559	華藝礦業	0.139	0.530	-73.77
14	00276	蒙古能源	4.090	15.100	-72.91
15	01393	恒鼎實業	3.690	13.600	-72.87
16	00304	宜進利(集團)	1.500	5.420	-72.32
17	01136	台泥國際集團	1.570	5.670	-72.31
18	00383	中國網絡資本	1.220	4.350	-71.95
19	01124	沿海家園	0.260	0.920	-71.74
20	01010	弘茂科技	0.125	0.440	-71.59

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2008年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00941	中國移動	206,173.30	7.26
2	00005	匯豐控股	192,189.57	6.77
3	02628	中國人壽	175,177.64	6.17
4	01398	工商銀行	145,775.86	5.14
5	00939	建設銀行	136,175.52	4.80
6	00857	中國石油股份	100,903.60	3.56
7	00883	中國海洋石油	95,750.25	3.37
8	00386	中國石油化工股份	78,519.18	2.77
9	00388	香港交易所	73,513.17	2.59
10	03988	中國銀行	67,909.20	2.39
11	02318	中國平安	66,562.35	2.35
12	03968	招商銀行	65,541.70	2.31
13	00016	新鴻基地產	52,257.18	1.84
14	01088	中國神華	49,340.19	1.74
15	00001	長江實業	46,065.22	1.62
16	00013	和記黃埔	45,203.57	1.59
17	03328	交通銀行	44,383.97	1.56
18	01800	中國交通建設	37,253.33	1.31
19	00688	中國海外發展	34,580.23	1.22
20	01919	中國遠洋	33,498.22	1.18
總額			1,746,773.27	61.55

20種成交最活躍股份(股數)

2008年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	01398	工商銀行	28,636.80	6.93
2	00939	建設銀行	22,911.41	5.54
3	03988	中國銀行	20,573.44	4.98
4	00857	中國石油股份	10,752.67	2.60
5	00386	中國石油化工股份	10,724.55	2.59
6	00883	中國海洋石油	8,763.83	2.12
7	00728	中國電信	6,912.43	1.67
8	03886	康健國際	6,330.74	1.53
9	02628	中國人壽	6,247.02	1.51
10	03328	交通銀行	5,197.54	1.26
11	02866	中海集運	3,961.47	0.96
12	01189	永安旅遊	3,646.72	0.88
13	00202	國中控股	3,636.81	0.88
14	01222	WANG ON GROUP	3,550.71	0.86
15	00985	中國科技	3,431.59	0.83
16	01800	中國交通建設	3,323.77	0.80
17	00397	香港體檢	3,159.85	0.76
18	02600	中國鋁業	3,130.21	0.76
19	00688	中國海外發展	3,003.28	0.73
20	00910	中國林大	2,977.00	0.72
總數			160,871.84	38.91

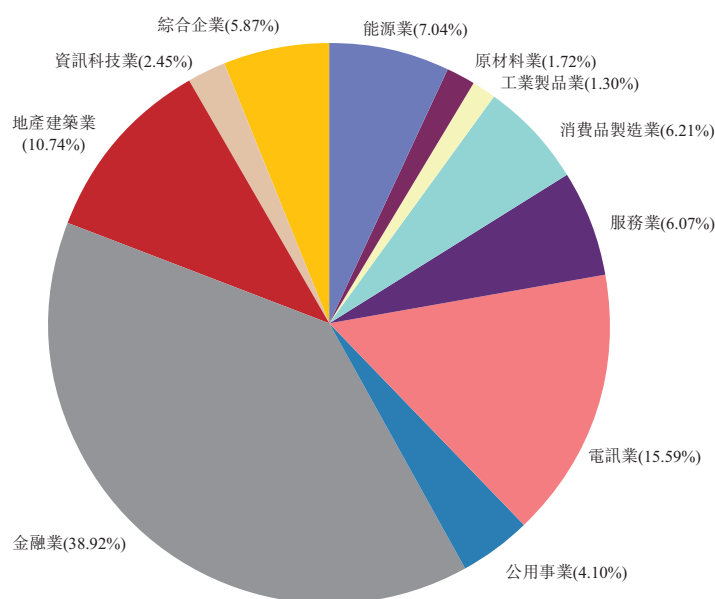
主 板 股 份

上市公司市價總值－主板

百 萬 港 元		
2007	第3季	19,904,015.04
	第4季	20,536,462.82
2008	第1季	16,825,275.91
	第2季	16,246,996.96
	第3季	12,481,118.88

恆生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恆生指數有限公司提供，請查看免責聲明及重要提示。

主 板 股 份

50間市價總值最大之上市公司

截至2008年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,541,681.18	12.35
2	00005	匯豐控股有限公司	1,467,939.94	11.76
3	00939	中國建設銀行股份有限公司－H股	1,132,432.98	9.07
4	00883	中國海洋石油有限公司	395,322.42	3.17
5	01398	中國工商銀行股份有限公司－H股	380,398.78	3.05
6	00011	恒生銀行有限公司	275,878.91	2.21
7	02888	渣打集團有限公司	260,398.67	2.09
8	00013	和記黃埔有限公司	250,686.20	2.01
9	03988	中國銀行股份有限公司－H股	224,259.74	1.80
10	02628	中國人壽保險股份有限公司－H股	212,445.55	1.70
11	00016	新鴻基地產發展有限公司	201,043.74	1.61
12	00001	長江實業(集團)有限公司	199,769.17	1.60
13	00857	中國石油天然氣股份有限公司－H股	168,791.20	1.35
14	03328	交通銀行股份有限公司－H股	159,836.76	1.28
15	00762	中國聯通股份有限公司	158,240.14	1.27
16	00002	中電控股有限公司	150,154.13	1.20
17	02388	中銀香港(控股)有限公司	143,789.81	1.15
18	00066	香港鐵路有限公司	127,860.72	1.02
19	00003	香港中華煤氣有限公司	117,047.93	0.94
20	00906	中國網通集團(香港)有限公司	115,092.21	0.92
21	02318	中國平安保險(集團)股份有限公司－H股	112,452.39	0.90
22	00006	香港電燈集團有限公司	103,511.69	0.83
23	00019	太古股份有限公司	101,575.42	0.81
24	00386	中國石油化工股份有限公司－H股	101,186.34	0.81
25	00388	香港交易及結算所有限公司	100,070.76	0.80
26	00700	騰訊控股有限公司	99,795.27	0.80
27	01038	長江基建集團有限公司	80,926.14	0.65
28	00101	恒隆地產有限公司	74,114.61	0.59
29	00012	恒基兆業地產有限公司	72,989.24	0.58
30	00688	中國海外發展有限公司	72,212.60	0.58
31	00836	華潤電力控股有限公司	69,375.33	0.56
32	00494	利豐有限公司	64,390.91	0.52
33	01088	中國神華能源股份有限公司－H股	62,873.78	0.50
34	00004	九龍倉集團有限公司	59,896.48	0.48
35	00330	思捷環球控股有限公司	58,859.12	0.47
36	00144	招商局國際有限公司	58,851.47	0.47
37	00293	國泰航空有限公司	51,512.99	0.41
38	00322	康師傅控股有限公司	50,242.46	0.40
39	00267	中信泰富有限公司	48,929.53	0.39
40	03968	招商銀行股份有限公司－H股	48,501.64	0.39
41	01880	百麗國際控股有限公司	46,342.92	0.37
42	00291	華潤創業有限公司	44,377.53	0.36
43	00728	中國電信股份有限公司－H股	43,436.29	0.35
44	00998	中信銀行股份有限公司－H股	42,538.18	0.34
45	02332	和記電訊國際有限公司	42,403.73	0.34
46	00083	信和置業有限公司	41,451.26	0.33
47	00023	東亞銀行有限公司	40,100.87	0.32
48	02007	碧桂園控股有限公司	39,591.20	0.32
49	00247	尖沙咀置業集團有限公司	38,449.85	0.31
50	01109	華潤置地有限公司	37,996.68	0.30
總額			9,592,026.90	76.85
市場總額			12,481,118.88	100.00

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2007	第3季	385,530.24	4,885,927.33	7.89
	第4季	569,658.22	6,078,123.87	9.37
2008	第1季	439,273.23	4,163,154.65	10.55
	第2季	345,265.36	3,346,787.65	10.32
	第3季	327,618.01	2,847,263.13	11.51

* 包括主板及創業板數據

賣空交易(股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2007	第3季	27,629.12	1,140,498.01	2.42
	第4季	34,333.59	935,266.06	3.67
2008	第1季	30,556.34	624,769.65	4.89
	第2季	25,402.17	551,233.73	4.61
	第3季	27,563.50	441,101.48	6.25

* 包括主板及創業板數據

新上市統計－公司

		新上市 公司數目*	創業板 公司轉板數目	集資金額 (百萬港元)**
2007	第3季	20	1	60,917.74
	第4季	30	1	131,456.50
2008	第1季	10	3	32,990.02
	第2季	11	1	17,153.41
	第3季	15	***8	11,970.91

* 包括由創業板轉至主板的上市公司

** 集資金額為新上市公司首次公開招股的集資總額

*** 引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效

主 板 股 份

新上市公司

2008年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
00691	中國山水水泥集團有限公司	2008/07/04	2,700,986,000	2,095.70
00623	中視金橋國際傳媒控股有限公司	2008/07/08	571,369,400	407.20
00756	天溢果業控股有限公司	2008/07/10	1,000,000,000	157.50
00982	卓智控股有限公司	2008/07/14	230,000,000	96.00
00880	澳門博彩控股有限公司	2008/07/16	5,000,000,000	3,850.00
00887	英皇鐘錶珠寶有限公司	2008/07/21	4,500,000,000	580.50
00509	世紀陽光生態科技控股有限公司*	2008/08/01	n.a.	n.a.
03886	康健國際控股有限公司*	2008/08/12	n.a.	n.a.
00821	匯盈控股有限公司*	2008/08/15	n.a.	n.a.
00459	美聯工商舖有限公司*	2008/08/18	n.a.	n.a.
01766	中國南車股份有限公司—H股	2008/08/21	2,024,000,000	4,784.00
00827	玖源生態農業科技(集團)有限公司*	2008/08/25	n.a.	n.a.
00596	浪潮國際有限公司*	2008/08/29	n.a.	n.a.
00775	長江生命科技集團有限公司*	2008/09/08	n.a.	n.a.
00776	捷豐家居用品有限公司*	2008/09/10	n.a.	n.a.
總額				11,970.91

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

除牌上市公司

2008年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
00586	科維控股有限公司	2005/12/30	2008/09/08
01179	美麗寶國際控股有限公司	2008/09/19	2008/09/22

主 板 股 份

公司名稱更改

2008 年 第 3 季

股份代號	原有名稱	新名稱	生效日期	採用日期
00764	豐采多媒體集團有限公司	中國星投資有限公司	2008/06/25	2008/07/09
00039	和寶國際控股有限公司	生物動力集團有限公司	2008/05/08	2008/07/09
03393	威勝儀表集團有限公司	威勝集團有限公司	2008/05/23	2008/07/11
00254	光訊控股集團有限公司	中國戶外媒體集團有限公司	2008/07/04	2008/07/15
00703	澤新遊樂控股有限公司	佳景集團有限公司	2008/06/20	2008/07/22
00169	正輝中國集團有限公司	恆力房地產發展(集團)有限公司	2008/06/09	2008/07/24
00378	金滙投資(集團)有限公司	事安集團有限公司	2008/06/27	2008/07/24
00959	奧瑪仕控股有限公司	澳瑪娛樂控股有限公司	2008/06/25	2008/08/07
00512	曼盛生物科技集團有限公司	遠大醫藥健康控股有限公司	2008/07/16	2008/08/18
00210	永恩國際集團有限公司	達芙妮國際控股有限公司	2008/06/18	2008/08/21
00704	嘉利美商國際有限公司	和嘉資源控股有限公司	2008/08/07	2008/09/10
00381	僑雄國際控股有限公司	僑雄能源控股有限公司	2008/08/07	2008/09/10
03339	中國龍工控股有限公司*	中國龍工控股有限公司	2008/07/18	2008/09/12
00223	建發國際(控股)有限公司	神州資源集團有限公司	2008/06/18	2008/09/22
00970	玉皇朝集團有限公司	耀萊集團有限公司	2008/08/27	2008/09/24

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2008年第3季

股份代號	公司	詳情	除淨日
00199	德祥地產集團有限公司	每供15股送4份2010年認股權證	2008/07/03
00176	聯太工業有限公司	5送1	2008/08/14
00214	匯漢控股有限公司	5股送1份2009年認股權證	2008/08/20
00129	泛海國際集團有限公司	5股送1份2009年認股權證	2008/08/20
00234	新世紀集團香港有限公司	20送1	2008/08/27
00599	怡邦行控股有限公司	10送1	2008/08/29
00952	華富國際控股有限公司	10送1	2008/09/03
01055	中國南方航空股份有限公司—H股	10送5	2008/09/04
00498	保華集團有限公司	6股送1份2009年認股權證	2008/09/11
00850	中油資源控股有限公司	12股送1份2010年認股權證	2008/09/18

股份拆細及合併

2008年第3季

股份代號	公司	詳情	生效日期
03368	百盛商業集團有限公司	1股分拆為5股	2008/07/07
01003	真樂發控股有限公司	5股合併為1股	2008/07/15
00660	偉俊礦業集團有限公司	1股分拆為4股	2008/07/21
00273	威利國際控股有限公司	5股合併為1股	2008/08/05
02324	德泰中華投資有限公司	2股合為1股	2008/08/18
00499	華脈無線通信有限公司	5股合併為1股	2008/08/28
00231	環球動力控股有限公司	2股合併為1股	2008/09/10
00913	合一投資控股有限公司	5股合為1股	2008/09/17
00616	永義實業集團有限公司	100股合併為1股	2008/09/23

主 板 股 份

供股／公開售股

2008年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
7月	00279	民豐控股有限公司	股權1供5，每股供0.12港元	488.66
	01205	中信資源控股有限公司	股權20供3，每股供3.20港元	2,523.78
	01189	永安旅遊(控股)有限公司	股權1供4，每股供0.06港元	437.75
			另每供4股送1份2010年認權證	
	00136	馬斯葛集團有限公司	股權2供1，每股供0.15港元	142.96
	00199	德祥地產集團有限公司	股權1供3，每股供0.07港元	650.06
			另每供15股送4份2010年認股權證	
8月	00061	綠色環球資源有限公司	股權1供1，每股供0.108港元	136.88
	02324	德泰中華投資有限公司	股權2供1，每股供0.05港元	30.81
	00273	威利國際控股有限公司	股權2供5，每股供0.15港元	262.46
			(5股合併為1股後)	
	00009	東方娛樂控股有限公司	公開售股10供19，每股供0.10港元	142.69
9月	00024	寶威控股有限公司	公開售股10供18，每股供0.15港元	300.11
	00499	華脈無線通信有限公司	公開售股2供1，每股供0.10港元	41.61
			(5股合併為1股後)	
	00231	環球動力控股有限公司	公開售股1供1，每股供0.12港元	216.75
			(2股合併為1股後)	
	00385	建聯集團有限公司	公開售股2供1，每股供0.25港元	49.57
總額				5,424.09

主 板 股 份

股份配售*

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
2008					
6 月 **	00694	北京首都機場股份	313,214,000	7.450	2,333.44
	00745	中國鐵聯傳媒	93,000,000	0.740	68.82
	00440	大新金融	8,461,376	55.750	471.72
	00399	遠東生物制藥科技	4,133,910,560	0.015	59.94
	00399	遠東生物制藥科技	1,730,769,231	0.052	90.00
	00885	福方集團	624,238,000	0.142	88.64
	00091	駿新能源	250,000,000	0.250	62.50
	01862	澳華黃金	2,586,378	37.233	96.30
	00903	冠捷科技	150,500,000	5.390	811.20
	02300	澳科控股	78,300,000	8.940	700.00
	00370	國華	1,240,000,000	0.082	101.68
7 月	00313	DICKSONGROUP	7,750,000,000	0.050	387.50
	00639	福山能源	450,000,000	4.600	2,070.00
	00639	福山能源	410,000,000	4.800	1,968.00
	00471	佳邦環球	90,000,000	0.120	10.80
	00450	鴻興印刷集團	323,500,445	2.700	873.45
	00835	匯寶集團	400,000,000	0.280	112.00
	01064	中華國際	100,000,000	0.230	23.00
	01194	中盛糧油	40,000,000	0.320	12.80
	01013	普納	4,000,000,000	0.010	40.00
	00310	嘉進投資國際	20,000,000	1.100	22.00
8 月	01070	TCL多媒體	4,386,432,755	0.275	1,206.27
	00621	永興國際	10,860,000	1.430	15.53
	00686	GAYGIANOINT'L	30,000,000	0.800	24.00
總 額					11,649.59

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬元)	衍生權證 (百萬元)	總額 (百萬元)
2007	第3季	1,593.61	1,185,059.06	1,186,652.67
	第4季	1,618.97	2,148,846.16	2,150,465.13
2008	第1季	441.42	1,611,079.67	1,611,521.09
	第2季	516.79	958,619.84	959,136.63
	第3季	166.30	555,791.97	555,958.28

認股權證市價總值

		數目	股份認股權證 (百萬元)	數目	衍生權證 (百萬元)	總數	總額 (百萬元)
2007	第3季	27	9,402.57	3,344	914,592.42	3,371	923,994.99
	第4季	30	6,873.37	4,483	696,995.85	4,513	703,869.22
2008	第1季	36	3,489.26	5,000	336,368.73	5,036	339,857.99
	第2季	35	2,089.63	4,599	212,788.32	4,634	214,877.95
	第3季	37	776.08	3,941	156,186.99	3,978	156,963.07

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬元)
2007	第3季	3	1,743	210,451.83
	第4季	6	2,264	369,803.02
2008	第1季	7	1,719	257,483.82
	第2季	2	1,058	110,344.97
	第3季	6	1,174	107,224.10

新上市股份認股權證

2008年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬元)
00744	力寶有限公司2011年認股權證	254,819,317	2008/07/04	2011/07/04	—
00561	香港華人有限公司2011年認股權證	252,530,453	2008/07/04	2011/07/04	—
00192	中國網絡資本有限公司2009年認股權證	193,328,481	2008/07/28	2009/07/27	—
00774	永安旅遊(控股)有限公司2010年認股權證	165,981,156	2008/08/01	2010/01/29	—
00490	德祥地產集團有限公司2010年認股權證	260,023,514	2008/08/07	2010/02/04	—
00849	保華集團有限公司2009年認股權證	251,398,919	2008/09/29	2009/09/25	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2008年第3季

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
14009	ABN AMRO Bank N.V. – BOCL European Warrants Oct 2008 B	250,000,000	2008/07/02	2008/10/29	66.00
13998	ABN AMRO Bank N.V. – China Tel European Warrants Jan 2009 B	200,000,000	2008/07/02	2009/01/02	94.00
14010	ABN AMRO Bank N.V. – HKEx European Warrants Jan 2009	700,000,000	2008/07/02	2009/01/19	185.50
14011	ABN AMRO Bank N.V. – HKEx European Put Warrants Feb 2009	700,000,000	2008/07/02	2009/02/23	175.00
13997	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Mar 2009 B	200,000,000	2008/07/02	2009/03/30	54.00
13994	Deutsche Bank AG – HKEx European Warrants Mar 2009	400,000,000	2008/07/02	2009/03/23	68.00
13999	HK Bank – China Tel European Warrants Dec 2011	280,000,000	2008/07/02	2011/12/19	70.00
14000	HK Bank – PetroChina European Warrants Apr 2009	280,000,000	2008/07/02	2009/04/06	70.00
14001	KBC Fin Products Int'l Ltd. – Ch Comm Cons Euro Wts Feb 2009	400,000,000	2008/07/02	2009/02/10	100.00
14002	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Jan 2009	400,000,000	2008/07/02	2009/01/15	100.00
14003	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2009 D	400,000,000	2008/07/02	2009/01/29	100.00
13995	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Jan 09	100,000,000	2008/07/02	2009/01/12	120.00
14007	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Mar 2009 A	100,000,000	2008/07/02	2009/03/30	62.00
14008	SGA Societe Generale Acceptance N.V. – CTel Eur Wts Jun 2009	100,000,000	2008/07/02	2009/06/22	59.00
14004	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 08 E	800,000,000	2008/07/02	2008/11/18	120.80
14005	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Feb 2009A	50,000,000	2008/07/02	2009/02/16	35.00
14006	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Feb 2009 B	100,000,000	2008/07/02	2009/02/16	70.00
13996	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2009	1,000,000,000	2008/07/02	2009/02/16	150.00
04647#	Macquarie Bank Ltd. – China Mobile European Wts Sep 2008 A	300,000,000	2008/07/02	2008/09/29	15.60
14029	ABN AMRO Bank N.V. – CNOOC European Put Warrants Jan 2009	700,000,000	2008/07/03	2009/01/19	175.00
14014	BOCI Asia Ltd. – BOC HK European Warrants Mar 2009	300,000,000	2008/07/03	2009/03/11	75.00
14015	BOCI Asia Ltd. – Foxconn European Warrants Jul 2009	200,000,000	2008/07/03	2009/07/22	50.00
14016	BOCI Asia Ltd. – Foxconn European Warrants Sep 2009	200,000,000	2008/07/03	2009/09/23	50.00
14027	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jan 2009	200,000,000	2008/07/03	2009/01/12	50.00
14012	Deutsche Bank AG – China Telecom European Wts Mar 2009 B	400,000,000	2008/07/03	2009/03/17	332.00
14013	Deutsche Bank AG – HKEx European Warrants Dec 2008 C	400,000,000	2008/07/03	2008/12/29	96.00
14028	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Apr 2009	180,000,000	2008/07/03	2009/04/23	52.20
14017	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2009B	400,000,000	2008/07/03	2009/01/19	190.00
14018	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2009C	400,000,000	2008/07/03	2009/01/12	136.00
14019	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2009B	400,000,000	2008/07/03	2009/03/02	126.00
14020	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 09 C	800,000,000	2008/07/03	2009/03/09	200.00
14021	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 09 D	800,000,000	2008/07/03	2009/03/02	152.00
14022	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2009B	400,000,000	2008/07/03	2009/02/02	100.00
14023	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2009 B	100,000,000	2008/07/03	2009/01/16	78.00
14024	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2009 A	100,000,000	2008/07/03	2009/02/16	59.00
14025	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jan 2009C	400,000,000	2008/07/03	2009/01/12	61.20
14026	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Mar 2009A	400,000,000	2008/07/03	2009/03/16	85.20
14071	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2009	200,000,000	2008/07/04	2009/01/12	36.00
14074	Credit Suisse – A50 China European Warrants Feb 2009 C	228,000,000	2008/07/04	2009/02/09	57.00
14078	Credit Suisse – A50 China European Put Warrants Feb 2009 A	228,000,000	2008/07/04	2009/02/09	57.00
14046	Citigroup Global Mkt H Inc. – CHALCO Euro Put Wts Jun 2009	240,000,000	2008/07/04	2009/06/02	108.00
14062	Citigroup Global Mkt H Inc. – Ch Telecom Eu Put Wt Jun 2009	440,000,000	2008/07/04	2009/06/24	110.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14047	Citigroup Global Mkt H Inc. – Ch Molybdenum Euro Wt Feb 2009	400,000,000	2008/07/04	2009/02/09	102.00
14048	Citigroup Global Mkt H Inc. – Ch Ship Dev Euro Wts Mar 2009	240,000,000	2008/07/04	2009/03/30	108.00
14049	Citigroup Global Mkt H Inc. – Datang Power Euro Wts Jul 2009	400,000,000	2008/07/04	2009/07/20	102.00
14050	Citigroup Global Mkt H Inc. – R&F Ppt Euro Wts Jun 2009	400,000,000	2008/07/04	2009/06/22	104.00
14051	Citigroup Global Mkt H Inc. – HKEx European Put Wts Aug 2009	330,000,000	2008/07/04	2009/08/24	108.90
14052	Citigroup Global Mkt H Inc. – Ping An Euro Put Wts Jun 2009	440,000,000	2008/07/04	2009/06/29	110.00
14061	Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wts Feb 2009	380,000,000	2008/07/04	2009/02/27	95.00
14053	Citigroup Global Mkt H Inc. – Yanzhou Coal Euro Wts Apr 2009	330,000,000	2008/07/04	2009/04/28	105.60
14054	Deutsche Bank AG – HKEx European Put Warrants Mar 2009 A	300,000,000	2008/07/04	2009/03/02	75.00
14063	HK Bank – CHALCO European Warrants Apr 2009 B	280,000,000	2008/07/04	2009/04/27	70.00
14065	HK Bank – China COSCO European Warrants Mar 2009	280,000,000	2008/07/04	2009/03/20	92.40
14066	HK Bank – China Shenhua European Warrants Jan 2009 C	280,000,000	2008/07/04	2009/01/20	70.00
14067	HK Bank – R&F Ppt European Warrants Feb 2009	280,000,000	2008/07/04	2009/02/19	72.80
14064	HK Bank – Mengniu European Warrants Mar 2009	280,000,000	2008/07/04	2009/03/20	106.40
14075	J P Morgan SP BV – China Tel European Warrants Jan 2009	100,000,000	2008/07/04	2009/01/16	49.00
14076	J P Morgan SP BV – CITIC Pacific Euro Wts Jan 2009	88,000,000	2008/07/04	2009/01/16	22.00
14077	J P Morgan SP BV – JX Copper European Warrants Jan 2009	200,000,000	2008/07/04	2009/01/16	51.40
14040	Lehman Brothers Holdings Inc. – Ch Mobile Eu Put Wt Apr 2009	300,000,000	2008/07/04	2009/04/29	75.30
14031	Lehman Brothers Holdings Inc. – China COSCO Eu Wt Feb 2009	125,000,000	2008/07/04	2009/02/18	48.75
14033	Lehman Brothers Holdings Inc. – CITIC Pac Eur Wts Mar 2009 A	100,000,000	2008/07/04	2009/03/30	34.10
14034	Lehman Brothers Holdings Inc. – CITIC Pac Eur Wts Mar 2009 B	100,000,000	2008/07/04	2009/03/30	25.40
14030	Lehman Brothers Holdings Inc. – Cheung Kong Eu Wt Feb 2009	75,000,000	2008/07/04	2009/02/18	55.65
14032	Lehman Brothers Holdings Inc. – China Life Eur Wt Feb 2009	225,000,000	2008/07/04	2009/02/18	76.05
14035	Lehman Brothers Holdings Inc. – China Tel Euro Wt Mar 2009 B	100,000,000	2008/07/04	2009/03/30	57.10
14036	Lehman Brothers Holdings Inc. – Lenovo European Wts Feb 2009	50,000,000	2008/07/04	2009/02/18	47.60
14041	Lehman Brothers Holdings Inc. – PetroChina Eu Put Wt Apr2009	300,000,000	2008/07/04	2009/04/29	75.30
14037	Lehman Brothers Holdings Inc. – PICC European Wts Feb 2009	50,000,000	2008/07/04	2009/02/18	46.50
14038	Lehman Brothers Holdings Inc. – Wharf Euro Wts Feb 2009 A	100,000,000	2008/07/04	2009/02/18	34.00
14039	Lehman Brothers Holdings Inc. – Wharf Euro Wts Feb 2009 B	100,000,000	2008/07/04	2009/02/18	25.40
14044	Macquarie Bank Ltd. – CITIC Bank European Warrants Jan 2009	88,000,000	2008/07/04	2009/01/29	41.62
14042	Macquarie Bank Ltd. – HKEx European Warrants Jan 2009	300,000,000	2008/07/04	2009/01/29	78.90
14043	Macquarie Bank Ltd. – HKEx European Warrants Apr 2009	300,000,000	2008/07/04	2009/04/29	77.10
14045	Macquarie Bank Ltd. – HKEx European Put Warrants Jan 2009	300,000,000	2008/07/04	2009/01/29	75.30
14057	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2009	100,000,000	2008/07/04	2009/02/19	47.00
14058	SGA Societe Generale Acceptance N.V. – CCB Euro Wts Mar 2009	100,000,000	2008/07/04	2009/03/30	100.00
14059	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Mar 09 B	500,000,000	2008/07/04	2009/03/30	75.00
14056	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 09 D	400,000,000	2008/07/04	2009/01/09	80.00
14055	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb 09 A	400,000,000	2008/07/04	2009/02/23	110.00
14060	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2009	200,000,000	2008/07/04	2009/04/06	50.00
14072	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2009 B	100,000,000	2008/07/04	2009/02/23	88.00
14073	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2009 B	100,000,000	2008/07/04	2009/03/09	55.00
14068	UBS AG – HKEx European Put Warrants Feb 2009 B	500,000,000	2008/07/04	2009/02/16	125.00
14069	UBS AG – HKEx European Put Warrants Jun 2009 B	500,000,000	2008/07/04	2009/06/22	125.00
14070	UBS AG – HKEx European Put Warrants Jun 2009 C	500,000,000	2008/07/04	2009/06/01	125.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14087	ABN AMRO Bank N.V. – PetroChina European Put Wts Dec 2008 D	700,000,000	2008/07/07	2008/12/15	105.00
14080	BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2009	200,000,000	2008/07/07	2009/01/29	52.00
14084	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jan 2009	200,000,000	2008/07/07	2009/01/29	52.00
14081	Credit Suisse – Shanghai Electric European Warrants Jan 2009	100,000,000	2008/07/07	2009/01/12	49.00
14088	Deutsche Bank AG – China Mobile European Warrants Mar 2009	400,000,000	2008/07/07	2009/03/30	72.00
14083	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Aug 2009	400,000,000	2008/07/07	2009/08/03	100.00
14086	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Feb 2009 A	218,000,000	2008/07/07	2009/02/02	98.10
14082	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Feb 2009	148,000,000	2008/07/07	2009/02/10	97.68
14085	KBC Fin Products Int'l Ltd. – Ch Railway Euro Wts Mar 2009 B	168,000,000	2008/07/07	2009/03/02	99.12
14079	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2009 C	400,000,000	2008/07/07	2009/01/29	100.00
14097	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Jan 2009 B	200,000,000	2008/07/08	2009/01/09	102.00
14089	HK Bank – Air China European Warrants Mar 2009	280,000,000	2008/07/08	2009/03/23	182.00
14090	HK Bank – Angang Steel European Warrants Mar 2009	280,000,000	2008/07/08	2009/03/23	78.40
14091	HK Bank – China Overseas European Warrants Apr 2009	280,000,000	2008/07/08	2009/04/20	70.00
14092	HK Bank – Jiangxi Copper European Warrants Mar 2009	280,000,000	2008/07/08	2009/03/23	75.60
14098	J P Morgan SP BV – Angang Steel European Warrants Jan 2009	180,000,000	2008/07/08	2009/01/16	45.54
14099	J P Morgan SP BV – CNBM European Warrants Jan 2009	100,000,000	2008/07/08	2009/01/16	38.60
14101	J P Morgan SP BV – HS Bank European Warrants Feb 2009	200,000,000	2008/07/08	2009/02/23	30.20
14100	J P Morgan SP BV – Yurun Food European Warrants Feb 2009	100,000,000	2008/07/08	2009/02/10	25.30
14094	Macquarie Bank Ltd. – BOCHK European Warrants Apr 2009	388,000,000	2008/07/08	2009/04/29	98.94
14093	Macquarie Bank Ltd. – BOCL European Warrants Jan 2009	138,000,000	2008/07/08	2009/01/29	34.91
14095	Macquarie Bank Ltd. – China Tel European Warrants Jan 2009	150,000,000	2008/07/08	2009/01/29	82.65
14096	Macquarie Bank Ltd. – ICBC European Warrants Jan 2009	138,000,000	2008/07/08	2009/01/29	86.80
13497#	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Dec 2008E	500,000,000	2008/07/08	2008/12/15	50.00
14122	ABN AMRO Bank N.V. – CNOOC European Warrants Dec 2008 D	588,000,000	2008/07/09	2008/12/23	105.25
14120	ABN AMRO Bank N.V. – A50 China European Warrants Jan 2009	588,000,000	2008/07/09	2009/01/19	147.00
14102	ABN AMRO Bank N.V. – SHK Ppt European Warrants Dec 2008 D	700,000,000	2008/07/09	2008/12/29	105.00
14116	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts May 2009	200,000,000	2008/07/09	2009/05/11	50.00
14115	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Feb 2009	200,000,000	2008/07/09	2009/02/09	52.00
14103	Deutsche Bank AG – Beijing Airport European Wts Mar 2009	400,000,000	2008/07/09	2009/03/10	100.00
14104	Deutsche Bank AG – China Life European Put Warrants Nov 2008	300,000,000	2008/07/09	2008/11/18	90.00
14117	Deutsche Bank AG – DJIA European Warrants Mar 2009	300,000,000	2008/07/09	2009/03/23	75.00
14121	Deutsche Bank AG – DJIA European Put Warrants Mar 2009	300,000,000	2008/07/09	2009/03/23	75.00
14114	Deutsche Bank AG – HSI European Warrants Jan 2009 C	400,000,000	2008/07/09	2009/01/29	100.00
14118	Deutsche Bank AG – HSI European Put Warrants Jan 2009 A	400,000,000	2008/07/09	2009/01/29	100.00
14119	Deutsche Bank AG – HSI European Put Warrants Jan 2009 B	400,000,000	2008/07/09	2009/01/29	104.00
14105	Deutsche Bank AG – Li Ning European Warrants Mar 2009	400,000,000	2008/07/09	2009/03/03	192.00
14106	UBS AG – Air China European Warrants Feb 2009	500,000,000	2008/07/09	2009/02/16	250.00
14107	UBS AG – Air China European Warrants Jun 2009 C	500,000,000	2008/07/09	2009/06/01	135.00
14108	UBS AG – China Railway European Warrants Feb 2009	500,000,000	2008/07/09	2009/02/16	330.00
14109	UBS AG – HKEx European Warrants Jun 2009 D	500,000,000	2008/07/09	2009/06/01	125.00
14110	UBS AG – Jiangxi Copper European Warrants Mar 2009 A	500,000,000	2008/07/09	2009/03/16	125.00
14111	UBS AG – MTRC European Warrants Feb 2009	500,000,000	2008/07/09	2009/02/16	155.00
14112	UBS AG – PICC European Warrants Feb 2009	500,000,000	2008/07/09	2009/02/16	435.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14113	UBS AG – SHK Ppt European Warrants Sep 2009	500,000,000	2008/07/09	2009/09/28	125.00
14126	BOCI Asia Ltd. – PetroChina European Warrants Nov 2009	300,000,000	2008/07/10	2009/11/25	75.00
14127	BOCI Asia Ltd. – PetroChina European Warrants Jan 2010	300,000,000	2008/07/10	2010/01/27	75.00
14145	Deutsche Bank AG – BankComm European Warrants Sep 2009	400,000,000	2008/07/10	2009/09/03	62.80
14146	Deutsche Bank AG – Ch Comm Cons European Warrants Apr 2009	400,000,000	2008/07/10	2009/04/06	104.00
14147	Deutsche Bank AG – A50 China European Put Wts Mar 2009 A	300,000,000	2008/07/10	2009/03/23	75.00
14148	Deutsche Bank AG – PetroChina European Warrants Mar 2009 A	400,000,000	2008/07/10	2009/03/30	61.20
14151	Fortis Bank S.A./N.V. – CHALCO European Warrants Apr 2009	48,000,000	2008/07/10	2009/04/29	89.42
14154	Fortis Bank S.A./N.V. – China Coal European Put Wts Apr 2009	395,000,000	2008/07/10	2009/04/07	98.75
14152	Fortis Bank S.A./N.V. – China Shenhua Euro Warrants Jan 2009	150,000,000	2008/07/10	2009/01/12	55.20
14150	Fortis Bank S.A./N.V. – Jiangxi Copper European Wts Apr 2009	305,000,000	2008/07/10	2009/04/29	89.67
14155	Fortis Bank S.A./N.V. – Jiangxi Copper Euro Put Wt Jun 2009	420,000,000	2008/07/10	2009/06/29	105.00
14153	Fortis Bank S.A./N.V. – Zijin Mining European Wts Jun 2009	665,000,000	2008/07/10	2009/06/29	166.25
14123	HK Bank – Hunan Nonferrous European Warrants Apr 2009 B	280,000,000	2008/07/10	2009/04/27	100.80
14125	HK Bank – Sh Petrochem European Warrants Apr 2009	280,000,000	2008/07/10	2009/04/27	128.80
14124	HK Bank – Shimao Ppt European Warrants Apr 2009	280,000,000	2008/07/10	2009/04/27	70.00
14149	J P Morgan SP BV – A50 China European Warrants Mar 2009	238,000,000	2008/07/10	2009/03/30	59.98
14156	J P Morgan SP BV – Mongolia Energy European Wts Jan 2009	180,000,000	2008/07/10	2009/01/23	65.52
14133	Macquarie Bank Ltd. – Air China European Warrants May 2009	88,000,000	2008/07/10	2009/05/27	40.74
14136	Macquarie Bank Ltd. – China Overseas European Wts Jan 2009	200,000,000	2008/07/10	2009/01/29	50.80
14134	Macquarie Bank Ltd. – CC Bank European Warrants Feb 2009	400,000,000	2008/07/10	2009/02/26	275.20
14135	Macquarie Bank Ltd – CM Bank European Warrants Feb 2009 A	400,000,000	2008/07/10	2009/02/26	130.80
14138	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2009	400,000,000	2008/07/10	2009/02/26	101.60
14137	Macquarie Bank Ltd. – China Railway European Wts Feb 2009	200,000,000	2008/07/10	2009/02/26	161.60
14139	Macquarie Bank Ltd. – Esprit European Warrants Mar 2009	88,000,000	2008/07/10	2009/03/30	95.74
14141	Macquarie Bank Ltd. – A50 China European Warrants Jan 2009 B	300,000,000	2008/07/10	2009/01/29	76.50
14142	Macquarie Bank Ltd. – A50 China European Warrants Jun 2009 A	300,000,000	2008/07/10	2009/06/29	75.90
14140	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Feb 2009	200,000,000	2008/07/10	2009/02/26	72.60
14143	Macquarie Bank Ltd. – Ping An European Warrants Jan 2009	300,000,000	2008/07/10	2009/01/29	170.70
14144	Macquarie Bank Ltd. – Swire Pacific A European Wts Feb 2009	200,000,000	2008/07/10	2009/02/26	111.60
14157	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Apr 2009	180,000,000	2008/07/10	2009/04/28	111.60
14158	Merrill Lynch Int'l & Co. C.V. – BankComm Euro Wts Aug 2009	180,000,000	2008/07/10	2009/08/25	41.40
14159	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Apr 2009	180,000,000	2008/07/10	2009/04/28	78.30
14129	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 08 D	400,000,000	2008/07/10	2008/12/08	180.00
14130	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 08 D	800,000,000	2008/07/10	2008/12/18	152.00
14131	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 09 E	400,000,000	2008/07/10	2009/01/21	100.00
14128	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2009A	200,000,000	2008/07/10	2009/01/29	50.00
14132	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jan09B	200,000,000	2008/07/10	2009/01/29	50.00
14187	ABN AMRO Bank N.V. – BankComm European Warrants Nov 2008 C	800,000,000	2008/07/11	2008/11/26	120.00
14188	ABN AMRO Bank N.V. – PetroChina European Warrants Dec 2008 E	800,000,000	2008/07/11	2008/12/29	126.40
14178	Barclays Bank plc – A50 China European Warrants Apr 2009	200,000,000	2008/07/11	2009/04/28	50.80
14190	Calyon Fin P (G) Ltd. – China Life European Wts Jan 2009 B	200,000,000	2008/07/11	2009/01/19	92.20
14191	Calyon Fin P (G) Ltd. – China Mobile European Wts Dec 2008 C	200,000,000	2008/07/11	2008/12/22	31.60
14189	Calyon Fin P (G) Ltd. – A50 China European Wts Dec 2008	200,000,000	2008/07/11	2008/12/22	35.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14192	Calyon Fin P (G) Ltd. – HKEx European Warrants Feb 2009	200,000,000	2008/07/11	2009/02/09	52.20
14160	Credit Suisse – China Life European Warrants Jan 2009 A	150,000,000	2008/07/11	2009/01/19	45.00
14164	Credit Suisse – China Life European Put Warrants Jan 2009	150,000,000	2008/07/11	2009/01/19	49.50
14176	Deutsche Bank AG – China Mobile European Warrants Jun 2009 A	400,000,000	2008/07/11	2009/06/08	84.00
14185	Deutsche Bank AG – China Mobile European Put Wts Jun 2009	400,000,000	2008/07/11	2009/06/30	100.00
14182	Deutsche Bank AG – CC Bank European Warrants Mar 2009 A	300,000,000	2008/07/11	2009/03/23	213.00
14173	Deutsche Bank AG – Cheung Kong European Warrants Mar 2009	400,000,000	2008/07/11	2009/03/16	60.00
14174	Deutsche Bank AG – China Life European Warrants Mar 2009 A	400,000,000	2008/07/11	2009/03/02	168.00
14175	Deutsche Bank AG – China Life European Warrants Mar 2009 B	400,000,000	2008/07/11	2009/03/30	144.00
14186	Deutsche Bank AG – ICBC European Warrants Mar 2009 C	300,000,000	2008/07/11	2009/03/23	189.00
14177	Deutsche Bank AG – Ping An European Warrants Mar 2009	300,000,000	2008/07/11	2009/03/16	249.00
14193	Goldman Sachs SP (Asia) – CM Bank European Wts Mar 2009 A	120,000,000	2008/07/11	2009/03/16	45.60
14196	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2009	80,000,000	2008/07/11	2009/01/29	62.40
14197	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2009	300,000,000	2008/07/11	2009/06/15	45.00
14194	Goldman Sachs SP (Asia) – PetroChina European Wts Jul 2009 A	200,000,000	2008/07/11	2009/07/27	50.00
14195	Goldman Sachs SP (Asia) – PetroChina European Wts Jul 2009 B	250,000,000	2008/07/11	2009/07/06	42.50
14181	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2009 A	400,000,000	2008/07/11	2009/02/26	100.00
14179	KBC Fin Products Int'l Ltd. – HSI European Wts Jan 2009 B	400,000,000	2008/07/11	2009/01/29	100.00
14180	KBC Fin Products Int'l Ltd. – HSI European Wts Jan 2009 C	400,000,000	2008/07/11	2009/01/29	100.00
14183	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2009 E	400,000,000	2008/07/11	2009/01/29	100.00
14184	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2009 F	400,000,000	2008/07/11	2009/01/29	100.00
14161	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 C	500,000,000	2008/07/11	2009/03/30	125.00
14162	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 D	500,000,000	2008/07/11	2009/03/30	125.00
14163	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 E	500,000,000	2008/07/11	2009/03/30	125.00
14165	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 F	500,000,000	2008/07/11	2009/03/30	125.00
14166	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 G	500,000,000	2008/07/11	2009/03/30	125.00
14167	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09A	500,000,000	2008/07/11	2009/01/29	125.00
14168	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09B	500,000,000	2008/07/11	2009/01/29	125.00
14169	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09C	500,000,000	2008/07/11	2009/01/29	125.00
14170	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09D	500,000,000	2008/07/11	2009/01/29	125.00
14171	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09H	500,000,000	2008/07/11	2009/03/30	125.00
14172	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09I	500,000,000	2008/07/11	2009/03/30	125.00
13528 [#]	Deutsche Bank AG – China Life European Warrants Nov 2008 B	600,000,000	2008/07/11	2008/11/11	66.60
14215	ABN AMRO Bank N.V. – HSBC European Warrants Jan 2009 B	100,000,000	2008/07/14	2009/01/05	15.50
14211	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Nov 2008 C	150,000,000	2008/07/14	2008/11/27	37.50
14212	BNP Paribas Arbit Issu B.V. – ICBC European Wts Nov 2008 B	150,000,000	2008/07/14	2008/11/24	33.00
14213	J P Morgan SP BV – Agile Ppt European Wts Aug 2009	180,000,000	2008/07/14	2009/08/11	45.00
14214	J P Morgan SP BV – Shimao Property European Wts Jun 2009	138,000,000	2008/07/14	2009/06/22	34.78
14198	J P Morgan SP BV – Wharf European Warrants Jan 2009	100,000,000	2008/07/14	2009/01/23	25.30
14201	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2009 B	100,000,000	2008/07/14	2009/02/23	82.00
14200	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Mar 2009B	400,000,000	2008/07/14	2009/03/30	100.00
14199	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Mar 2009C	400,000,000	2008/07/14	2009/03/16	62.00
14202	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Mar 2009	200,000,000	2008/07/14	2009/03/03	76.00
14204	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2009 B	500,000,000	2008/07/14	2009/06/08	75.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14203	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2009C	200,000,000	2008/07/14	2009/01/29	50.00
14207	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jan09D	200,000,000	2008/07/14	2009/01/29	50.00
14205	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2009 C	100,000,000	2008/07/14	2009/02/09	83.00
14206	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Mar 2009B	600,000,000	2008/07/14	2009/03/23	150.00
14208	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2009B	600,000,000	2008/07/14	2009/10/05	150.00
14209	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Mar 2009C	400,000,000	2008/07/14	2009/03/16	60.00
14210	UBS AG – Zijin Mining European Warrants Oct 2009	500,000,000	2008/07/14	2009/10/19	125.00
14231	ABN AMRO Bank N.V. – Cheung Kong European Warrants Mar 2009	800,000,000	2008/07/15	2009/03/09	120.00
14216	Deutsche Bank AG – BOCL European Warrants Mar 2009	400,000,000	2008/07/15	2009/03/09	136.00
14217	Deutsche Bank AG – CITIC Bank European Warrants Mar 2009	400,000,000	2008/07/15	2009/03/09	236.00
14218	Deutsche Bank AG – CM Bank European Warrants Mar 2009	400,000,000	2008/07/15	2009/03/09	176.00
14220	Deutsche Bank AG – China Railway European Warrants Mar 2009	400,000,000	2008/07/15	2009/03/09	364.00
14219	Deutsche Bank AG – Ch Railway Cons European Wts May 2009	400,000,000	2008/07/15	2009/05/11	100.00
14229	Deutsche Bank AG – HKEx European Warrants Dec 2008 D	400,000,000	2008/07/15	2008/12/29	84.00
14230	Deutsche Bank AG – HKEx European Put Warrants Mar 2009 B	400,000,000	2008/07/15	2009/03/23	100.00
14223	Macquarie Bank Ltd. – China Mobile European Wts Jan 2009	388,000,000	2008/07/15	2009/01/29	97.78
14224	CC Rabobank B.A. – China Coal European Warrants Feb 2009	100,000,000	2008/07/15	2009/02/11	30.00
14225	CC Rabobank B.A. – China Railway European Wts Jan 2009	40,000,000	2008/07/15	2009/01/29	26.40
14226	CC Rabobank B.A. – China Shenhua European Warrants Feb 2009	100,000,000	2008/07/15	2009/02/26	50.00
14227	CC Rabobank B.A. – China Tel European Warrants Jan 2009 B	40,000,000	2008/07/15	2009/01/15	26.00
14228	CC Rabobank B.A. – A50 China European Warrants Feb 2009	100,000,000	2008/07/15	2009/02/27	25.00
14221	UBS AG – CITIC Bank European Warrants Feb 2009 A	500,000,000	2008/07/15	2009/02/16	315.00
14222	UBS AG – CITIC Bank European Warrants Feb 2009 B	500,000,000	2008/07/15	2009/02/16	214.00
14240	ABN AMRO Bank N.V. – HSI European Warrants Jan 2009 A	400,000,000	2008/07/16	2009/01/29	100.00
14244	ABN AMRO Bank N.V. – HSI European Put Warrants Jan 2009 B	400,000,000	2008/07/16	2009/01/29	100.00
14260	BOCI Asia Ltd. – Air China European Warrants Feb 2009	300,000,000	2008/07/16	2009/02/13	225.00
14261	BOCI Asia Ltd. – China Life European Warrants Mar 2009	300,000,000	2008/07/16	2009/03/18	150.00
14265	BOCI Asia Ltd. – China Life European Put Warrants Feb 2009	300,000,000	2008/07/16	2009/02/18	150.00
14262	BOCI Asia Ltd. – CM Bank European Warrants Jan 2009	200,000,000	2008/07/16	2009/01/16	50.00
14263	BOCI Asia Ltd. – HS Bank European Warrants Apr 2009	200,000,000	2008/07/16	2009/04/08	50.00
14264	BOCI Asia Ltd. – MTRC European Warrants Feb 2009	200,000,000	2008/07/16	2009/02/25	50.00
14234	Calyon Fin P (G) Ltd. – CC Bank European Warrants Jan 2009 B	150,000,000	2008/07/16	2009/01/19	85.50
14235	Calyon Fin P (G) Ltd. – China Coal European Wts Mar 2009	200,000,000	2008/07/16	2009/03/02	63.00
14237	Calyon Fin P (G) Ltd. – HKEx European Put Warrants Mar 2009	200,000,000	2008/07/16	2009/03/02	57.40
14236	Calyon Fin P (G) Ltd. – Hutchison European Warrants Mar 2009	150,000,000	2008/07/16	2009/03/02	85.50
14258	Credit Suisse – CC Bank European Warrants Jan 2009	150,000,000	2008/07/16	2009/01/23	67.50
14259	Credit Suisse – ICBC European Warrants Jan 2009 B	150,000,000	2008/07/16	2009/01/23	67.50
14266	Deutsche Bank AG – A50 China European Warrants Mar 2009 C	400,000,000	2008/07/16	2009/03/02	60.00
14233	Deutsche Bank AG – HSBC European Warrants Jun 2009 B	400,000,000	2008/07/16	2009/06/15	60.00
14232	Deutsche Bank AG – HSI European Warrants Jan 2009 D	400,000,000	2008/07/16	2009/01/29	100.00
14267	Deutsche Bank AG – HSI European Put Warrants Jan 2009 E	400,000,000	2008/07/16	2009/01/29	100.00
14268	Deutsche Bank AG – HSI European Put Warrants Jan 2009 F	400,000,000	2008/07/16	2009/01/29	100.00
14251	HK Bank – China Life European Warrants May 2009	280,000,000	2008/07/16	2009/05/11	70.00
14254	HK Bank – A50 China European Warrants May 2009	280,000,000	2008/07/16	2009/05/11	70.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14252	HK Bank – HKEx European Warrants Dec 2009	280,000,000	2008/07/16	2009/12/21	70.00
14253	HK Bank – ICBC European Warrants May 2009	280,000,000	2008/07/16	2009/05/11	126.00
14269	KBC Fin Products Int'l Ltd. – BOCL European Wts Feb 2009	218,000,000	2008/07/16	2009/02/02	95.92
14238	Macquarie Bank Ltd. – China Life European Warrants Jan 2009	300,000,000	2008/07/16	2009/01/29	91.50
14239	Macquarie Bank Ltd. – China Life European Wts Feb 2009 A	300,000,000	2008/07/16	2009/02/26	75.30
14243	Macquarie Bank Ltd. – China Life European Put Wts Jan 2009	300,000,000	2008/07/16	2009/01/29	78.00
14245	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2009C	500,000,000	2008/07/16	2009/02/16	114.00
14246	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Mar 2009A	500,000,000	2008/07/16	2009/03/30	137.50
14247	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2009	500,000,000	2008/07/16	2009/04/27	125.00
14248	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2009A	500,000,000	2008/07/16	2009/06/08	102.50
14242	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Feb 2009B	100,000,000	2008/07/16	2009/02/16	70.00
14241	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Dec 2008 C	400,000,000	2008/07/16	2008/12/22	78.40
14249	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb 09B	400,000,000	2008/07/16	2009/02/09	122.00
14250	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jan 09B	100,000,000	2008/07/16	2009/01/19	82.00
14255	UBS AG – CHALCO European Warrants Mar 2009	500,000,000	2008/07/16	2009/03/16	125.00
14256	UBS AG – China Shenhua European Warrants Feb 2009 B	500,000,000	2008/07/16	2009/02/16	180.00
14257	UBS AG – HKEx European Warrants Jun 2009 E	500,000,000	2008/07/16	2009/06/22	125.00
14272	HK Bank – China Coal European Warrants Apr 2009 A	280,000,000	2008/07/17	2009/04/27	75.60
14273	HK Bank – CNOOC European Warrants Apr 2009	280,000,000	2008/07/17	2009/04/27	70.00
14274	HK Bank – Foxconn European Warrants Aug 2009	280,000,000	2008/07/17	2009/08/24	70.00
14275	HK Bank – Yanzhou Coal European Warrants Apr 2009	280,000,000	2008/07/17	2009/04/27	84.00
14277	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2009	138,000,000	2008/07/17	2009/01/19	97.98
14278	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2009	200,000,000	2008/07/17	2009/01/20	98.00
14270	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2009	100,000,000	2008/07/17	2009/03/30	101.00
14271	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jun 09	800,000,000	2008/07/17	2009/06/29	120.00
14276	UBS AG – BOCL European Warrants Mar 2009	500,000,000	2008/07/17	2009/03/23	200.00
14281	ABN AMRO Bank N.V. – China Mobile European Wts Mar 2009 A	788,000,000	2008/07/18	2009/03/02	119.78
14282	ABN AMRO Bank N.V. – HSBC European Put Warrants Jun 2009	700,000,000	2008/07/18	2009/06/22	105.70
14283	ABN AMRO Bank N.V. – PetroChina European Warrants Mar 2009 A	788,000,000	2008/07/18	2009/03/23	122.14
14279	Deutsche Bank AG – CNOOC European Put Warrants Apr 2009 A	300,000,000	2008/07/18	2009/04/06	75.00
14280	Deutsche Bank AG – PetroChina European Put Warrants Jul 2009	300,000,000	2008/07/18	2009/07/13	75.00
07110#	UBS AG – HSBC European Put Warrants Oct 2008	1,000,000,000	2008/07/18	2008/10/20	60.00
14303	ABN AMRO Bank N.V. – HSBC European Warrants Jun 2009 B	700,000,000	2008/07/21	2009/06/08	105.00
14302	J P Morgan SP BV – BEA European Warrants Jan 2009	100,000,000	2008/07/21	2009/01/23	25.80
14298	J P Morgan SP BV – Ch Mobile Euro Put Wts Jul 2009	300,000,000	2008/07/21	2009/07/17	75.00
14299	J P Morgan SP BV – China Railway European Wts Feb 2009	50,000,000	2008/07/21	2009/02/06	22.90
14300	J P Morgan SP BV – HK Gas European Warrants Jun 2009	180,000,000	2008/07/21	2009/06/29	45.36
14301	J P Morgan SP BV – New World Dev European Warrants Jul 2009	280,000,000	2008/07/21	2009/07/30	70.28
14297	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2009	218,000,000	2008/07/21	2009/01/21	98.10
14284	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Feb 2009	500,000,000	2008/07/21	2009/02/10	125.00
14288	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09E	500,000,000	2008/07/21	2009/01/29	125.00
14289	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09F	500,000,000	2008/07/21	2009/01/29	125.00
14290	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09G	500,000,000	2008/07/21	2009/01/29	125.00
14291	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 09H	500,000,000	2008/07/21	2009/01/29	125.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14292	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09J	500,000,000	2008/07/21	2009/03/30	125.00
14293	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09K	500,000,000	2008/07/21	2009/03/30	125.00
14294	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09L	500,000,000	2008/07/21	2009/03/30	125.00
14295	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09M	500,000,000	2008/07/21	2009/03/30	125.00
14296	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09N	500,000,000	2008/07/21	2009/03/30	125.00
14285	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2009	500,000,000	2008/07/21	2009/04/20	75.00
14286	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jun 2009	500,000,000	2008/07/21	2009/06/29	92.00
14287	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Nov 2009	500,000,000	2008/07/21	2009/11/23	104.00
14309	ABN AMRO Bank N.V. – HKEx European Put Warrants Mar 2009	700,000,000	2008/07/22	2009/03/23	175.00
14304	Deutsche Bank AG – HSBC European Put Warrants Jun 2009	400,000,000	2008/07/22	2009/06/29	65.60
14305	Deutsche Bank AG – MTRC European Warrants Mar 2009	300,000,000	2008/07/22	2009/03/09	75.00
14307	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2009	800,000,000	2008/07/22	2009/02/10	200.00
14308	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2009	98,000,000	2008/07/22	2009/02/02	99.96
14306	UBS AG – CLP European Warrants Feb 2009	500,000,000	2008/07/22	2009/02/16	200.00
14312	UBS AG – HSBC European Put Warrants Jul 2009	500,000,000	2008/07/22	2009/07/13	125.00
14310	UBS AG – HSBC European Put Warrants Jun 2009 A	500,000,000	2008/07/22	2009/06/22	125.00
14311	UBS AG – HSBC European Put Warrants Jun 2009 B	500,000,000	2008/07/22	2009/06/22	125.00
14313	ABN AMRO Bank N.V. – China Mobile European Wts Dec 2008 E	788,000,000	2008/07/23	2008/12/18	118.20
14314	ABN AMRO Bank N.V. – China Mobile European Wts Mar 2009 B	788,000,000	2008/07/23	2009/03/09	127.66
10813	ABN AMRO Bank N.V. – Gold European Warrants Apr 2009 A	500,000,000	2008/07/23	2009/04/14	146.50
10817	ABN AMRO Bank N.V. – Gold European Put Warrants Apr 2009	500,000,000	2008/07/23	2009/04/06	125.00
14341	ABN AMRO Bank N.V. – HSBC European Put Warrants Mar 2009	700,000,000	2008/07/23	2009/03/30	105.00
10814	ABN AMRO Bank N.V. – Silver European Warrants Apr 2009 A	500,000,000	2008/07/23	2009/04/14	146.00
14336	BNP Paribas Arbit Issu B.V. – CHALCO European Wts May 2009	100,000,000	2008/07/23	2009/05/18	25.00
14337	BNP Paribas Arbit Issu B.V. – BOCL European Wts Feb 2009	200,000,000	2008/07/23	2009/02/23	82.00
14338	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts May 2009	200,000,000	2008/07/23	2009/05/22	50.00
14340	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts May 2009	200,000,000	2008/07/23	2009/05/18	50.00
14335	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2009 D	200,000,000	2008/07/23	2009/03/30	50.00
14339	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2009 E	200,000,000	2008/07/23	2009/03/30	50.00
14332	Credit Suisse – China Mobile European Warrants Mar 2009	150,000,000	2008/07/23	2009/03/16	37.50
14331	Credit Suisse – CM Bank European Warrants Feb 2009	100,000,000	2008/07/23	2009/02/09	36.00
14315	Citigroup Global Mkt H Inc. – CC Bank Euro Put Wts Apr 2009	180,000,000	2008/07/23	2009/04/27	122.40
14317	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Apr 2009	440,000,000	2008/07/23	2009/04/27	110.00
14316	Citigroup Global Mkt H Inc. – Sinopec Corp Eur Put Wt May 09	440,000,000	2008/07/23	2009/05/22	112.20
14318	Citigroup Global Mkt H Inc. – ICBC Euro Put Wts Apr 2009	240,000,000	2008/07/23	2009/04/27	120.00
14319	Citigroup Global Mkt H Inc. – PetroChina Eur Put Wt Apr 2009	440,000,000	2008/07/23	2009/04/27	114.40
14320	Deutsche Bank AG – Cheung Kong European Warrants Jul 2009	400,000,000	2008/07/23	2009/07/06	100.00
14322	Deutsche Bank AG – CNOOC European Warrants Dec 2008 C	400,000,000	2008/07/23	2008/12/08	84.00
14321	Deutsche Bank AG – Sinopec Corp European Warrants Mar 2009 B	400,000,000	2008/07/23	2009/03/23	64.00
14326	Deutsche Bank AG – HSBC European Warrants Jan 2009	400,000,000	2008/07/23	2009/01/05	60.00
14323	Deutsche Bank AG – HSCEI European Warrants Jan 2009	300,000,000	2008/07/23	2009/01/29	75.00
14327	Deutsche Bank AG – HSCEI European Put Warrants Jan 2009	300,000,000	2008/07/23	2009/01/29	75.00
14324	Deutsche Bank AG – HSI European Warrants Jan 2009 G	400,000,000	2008/07/23	2009/01/29	100.00
14325	Deutsche Bank AG – HSI European Warrants Jan 2009 H	400,000,000	2008/07/23	2009/01/29	100.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14328	Deutsche Bank AG – HSI European Put Warrants Jan 2009 I	400,000,000	2008/07/23	2009/01/29	100.00
14329	Deutsche Bank AG – PetroChina European Warrants Dec 2008 E	400,000,000	2008/07/23	2008/12/30	64.00
14330	J P Morgan SP BV – Cheung Kong European Warrants Mar 2009	300,000,000	2008/07/23	2009/03/10	45.90
14333	J P Morgan SP BV – HKEx European Warrants Jan 2009	300,000,000	2008/07/23	2009/01/22	45.00
14334	J P Morgan SP BV – HKEx European Warrants Feb 2009	300,000,000	2008/07/23	2009/02/05	55.50
14342	ABN AMRO Bank N.V. – A50 China European Put Wts Feb 2009	588,000,000	2008/07/24	2009/02/23	147.00
14352	ABN AMRO Bank N.V. – ICBC European Warrants Feb 2009 A	138,000,000	2008/07/24	2009/02/09	85.84
14351	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jan 2009	200,000,000	2008/07/24	2009/01/05	32.00
14350	Credit Suisse – BOCL European Warrants Dec 2008	300,000,000	2008/07/24	2008/12/22	61.80
14343	Deutsche Bank AG – CC Bank European Warrants Mar 2009 B	300,000,000	2008/07/24	2009/03/09	306.00
14353	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jan 2009 A	400,000,000	2008/07/24	2009/01/29	100.00
14354	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jan 2009 B	400,000,000	2008/07/24	2009/01/29	100.00
14357	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2009 D	400,000,000	2008/07/24	2009/01/29	100.00
14355	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2009 B	400,000,000	2008/07/24	2009/02/26	100.00
14344	UBS AG – Bank of EA European Warrants Feb 2009 A	500,000,000	2008/07/24	2009/02/16	335.00
14345	UBS AG – Bank of EA European Warrants Feb 2009 B	500,000,000	2008/07/24	2009/02/16	180.00
14346	UBS AG – CNOOC European Warrants Apr 2009	500,000,000	2008/07/24	2009/04/20	125.00
14347	UBS AG – HSBC European Warrants Sep 2009	500,000,000	2008/07/24	2009/09/28	125.00
14348	UBS AG – HSBC European Warrants Oct 2009 B	500,000,000	2008/07/24	2009/10/12	125.00
14349	UBS AG – HSBC European Warrants Oct 2009 C	500,000,000	2008/07/24	2009/10/19	125.00
14362	Calyon Fin P (G) Ltd. – China Life European Wts Feb 2009	200,000,000	2008/07/25	2009/02/02	99.40
14364	Calyon Fin P (G) Ltd. – CNOOC European Warrants Apr 2009	200,000,000	2008/07/25	2009/04/03	52.00
14363	Calyon Fin P (G) Ltd. – Sinopec Corp European Wts Feb 2009	100,000,000	2008/07/25	2009/02/02	144.00
14361	Calyon Fin P (G) Ltd. – A50 China European Wts Apr 2009	200,000,000	2008/07/25	2009/04/03	52.20
14382	Lehman Brothers Holdings Inc. – HSI European Wts Jan 2009 A	300,000,000	2008/07/25	2009/01/29	85.20
14383	Lehman Brothers Holdings Inc. – HSI European Wts Jan 2009 B	300,000,000	2008/07/25	2009/01/29	75.30
14386	Lehman Brothers Holdings Inc. – HSI Euro Put Wts Jan 2009 C	300,000,000	2008/07/25	2009/01/29	75.90
14387	Lehman Brothers Holdings Inc. – HSI Euro Put Wts Jan 2009 D	300,000,000	2008/07/25	2009/01/29	85.50
14358	Macquarie Bank Ltd. – Ch Molybdenum European Wts Jul 2009	188,000,000	2008/07/25	2009/07/30	47.00
14356	Macquarie Bank Ltd. – Datang European Warrants Jan 2009	88,000,000	2008/07/25	2009/01/29	30.54
14366	Macquarie Bank Ltd. – HSCEI European Warrants Jan 2009	288,000,000	2008/07/25	2009/01/29	72.58
14370	Macquarie Bank Ltd. – HSCEI European Put Warrants Jan 2009	288,000,000	2008/07/25	2009/01/29	72.29
14365	Macquarie Bank Ltd. – HSI European Warrants Jan 2009	388,000,000	2008/07/25	2009/01/29	97.00
14369	Macquarie Bank Ltd. – HSI European Put Warrants Jan 2009	388,000,000	2008/07/25	2009/01/29	97.39
14359	Macquarie Bank Ltd. – Huaneng Power European Wts Jan 2009 B	80,000,000	2008/07/25	2009/01/29	36.64
14360	Macquarie Bank Ltd. – Nine Dragons European Wts Aug 2009	188,000,000	2008/07/25	2009/08/28	47.19
14379	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2009	180,000,000	2008/07/25	2009/08/10	28.08
14381	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Dec 2011	100,000,000	2008/07/25	2011/12/19	16.80
14376	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Apr 2009	180,000,000	2008/07/25	2009/04/06	50.40
14377	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Jun 2009	180,000,000	2008/07/25	2009/06/15	45.90
14375	Merrill Lynch Int'l & Co. C.V. – China Coal Eur Wts Apr 2009	180,000,000	2008/07/25	2009/04/27	64.80
14378	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2009 C	180,000,000	2008/07/25	2009/03/25	27.18
14380	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Oct2011	100,000,000	2008/07/25	2011/10/14	25.50
14373	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jul 2009	180,000,000	2008/07/25	2009/07/20	45.90

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14374	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt May 09	180,000,000	2008/07/25	2009/05/18	66.60
14367	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 2009	80,000,000	2008/07/25	2009/01/30	108.80
14368	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2009E	200,000,000	2008/07/25	2009/01/29	50.00
14371	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2009F	200,000,000	2008/07/25	2009/01/29	50.00
14372	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jan09G	200,000,000	2008/07/25	2009/01/29	50.00
14397	ABN AMRO Bank N.V. – HKEx European Warrants Mar 2009 A	700,000,000	2008/07/28	2009/03/16	105.00
14398	ABN AMRO Bank N.V. – HKEx European Warrants Mar 2009 B	700,000,000	2008/07/28	2009/03/11	105.00
14384	ABN AMRO Bank N.V. – HSBC European Warrants Feb 2009 A	700,000,000	2008/07/28	2009/02/26	105.00
14399	ABN AMRO Bank N.V. – HSI European Warrants Jan 2009 C	400,000,000	2008/07/28	2009/01/29	100.80
14403	ABN AMRO Bank N.V. – HSI European Put Warrants Jan 2009 D	400,000,000	2008/07/28	2009/01/29	110.00
14395	Calyon Fin P (G) Ltd. – Ch Comm Cons European Wts Apr 2009	250,000,000	2008/07/28	2009/04/03	64.50
14396	Calyon Fin P (G) Ltd. – China Coal European Wts Jul 2009	250,000,000	2008/07/28	2009/07/06	66.75
14393	Deutsche Bank AG – Sinopec Corp European Warrants May 2009	400,000,000	2008/07/28	2009/05/25	60.00
14394	Deutsche Bank AG – HSBC European Warrants Feb 2009	400,000,000	2008/07/28	2009/02/23	60.00
14388	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Aug 09A	180,000,000	2008/07/28	2009/08/21	45.90
14385	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Mar 2009	180,000,000	2008/07/28	2009/03/11	28.98
14389	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Apr 2009	180,000,000	2008/07/28	2009/04/20	49.50
14392	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Put Wts Jul 2009	180,000,000	2008/07/28	2009/07/06	47.70
14390	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jun 2009	180,000,000	2008/07/28	2009/06/15	30.24
14391	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wts Aug 2009	180,000,000	2008/07/28	2009/08/21	45.90
10815	SGA Societe Generale Acceptance N.V. – N225 Eu Wt Mar 2009	100,000,000	2008/07/28	2009/03/12	25.00
10819	SGA Societe Generale Acceptance N.V. – N225 Eu Put Wt Mar 09	100,000,000	2008/07/28	2009/03/12	25.00
14400	Citigroup Global Mkt H Inc. – Bank of EA Euro Wts Jul 2009	330,000,000	2008/07/29	2009/07/27	112.20
14402	Citigroup Global Mkt H Inc. – China Mobile Euro Wts Sep 2009	380,000,000	2008/07/29	2009/09/28	95.00
14404	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Aug 2009	380,000,000	2008/07/29	2009/08/17	98.80
14401	Citigroup Global Mkt H Inc. – CM Bank European Wts Apr 2009	380,000,000	2008/07/29	2009/04/27	114.00
14406	Citigroup Global Mkt H Inc. – HKEx European Wts Jul 2009 A	440,000,000	2008/07/29	2009/07/27	110.00
14405	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2009	180,000,000	2008/07/29	2009/06/22	158.40
10816	Citigroup Global Mkt H Inc. – SP5FN European PC Jul 2013	103,000,000	2008/07/29	2013/07/29	391.40
14409	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jun 2009 A	800,000,000	2008/07/29	2009/06/10	200.00
14407	UBS AG – China Tel European Warrants Feb 2009 A	500,000,000	2008/07/29	2009/02/23	350.00
14408	UBS AG – China Tel European Warrants Feb 2009 B	500,000,000	2008/07/29	2009/02/23	200.00
01378#	ABN AMRO Bank N.V. – HSBC European Put Warrants Oct 2008	400,000,000	2008/07/29	2008/10/20	17.60
14410	ABN AMRO Bank N.V. – A50 China European Warrants Feb 2009 A	600,000,000	2008/07/30	2009/02/23	150.00
14412	BOCI Asia Ltd. – Ch Comm Cons European Warrants Jun 2009	300,000,000	2008/07/30	2009/06/03	75.00
14411	BOCI Asia Ltd. – Cheung Kong European Warrants Dec 2009	300,000,000	2008/07/30	2009/12/21	75.00
14413	BOCI Asia Ltd. – Ch Railway Cons European Wts May 2009	300,000,000	2008/07/30	2009/05/20	75.00
14414	BOCI Asia Ltd. – SHK Ppt European Warrants Nov 2009	300,000,000	2008/07/30	2009/11/11	75.00
14441	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Aug 2009	200,000,000	2008/07/30	2009/08/24	32.00
14442	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2009	218,000,000	2008/07/30	2009/02/02	98.10
14443	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2009 A	400,000,000	2008/07/30	2009/03/02	100.00
14444	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2009 C	400,000,000	2008/07/30	2009/02/26	100.00
14445	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2009 D	400,000,000	2008/07/30	2009/02/26	100.00
14415	Macquarie Bank Ltd. – China Mobile European Wts Mar 2009	388,000,000	2008/07/30	2009/03/30	60.92

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14416	Macquarie Bank Ltd. – China Overseas European Wts Feb 2009	200,000,000	2008/07/30	2009/02/26	51.00
14420	Macquarie Bank Ltd. – Ch Comm Cons European Put Wts Feb 2009	200,000,000	2008/07/30	2009/02/26	53.20
14417	Macquarie Bank Ltd. – CRCC European Warrants Jun 2009	200,000,000	2008/07/30	2009/06/29	50.40
14418	Macquarie Bank Ltd. – HK Gas European Warrants Jul 2009	150,000,000	2008/07/30	2009/07/30	37.50
14419	Macquarie Bank Ltd. – PICC P&C European Warrants Jan 2009	300,000,000	2008/07/30	2009/01/30	135.30
14421	Macquarie Bank Ltd. – Ping An European Put Warrants Feb 2009	300,000,000	2008/07/30	2009/02/26	149.10
14422	Merrill Lynch Int'l & Co. C.V. – Angang Steel Eu Wt Jun 2009	100,000,000	2008/07/30	2009/06/23	41.00
14423	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Mar 2009	100,000,000	2008/07/30	2009/03/23	37.00
14446	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Feb 2010	100,000,000	2008/07/30	2010/02/24	17.10
14425	Merrill Lynch Int'l & Co. C.V. – China COSCO Eur Wt May 2009	100,000,000	2008/07/30	2009/05/18	45.50
14424	Merrill Lynch Int'l & Co. C.V. – China Coal Eur Wts Jun 2009	180,000,000	2008/07/30	2009/06/18	49.50
14427	Merrill Lynch Int'l & Co. C.V. – Henderson Land Eu Wt May 09	180,000,000	2008/07/30	2009/05/18	144.00
14426	Merrill Lynch Int'l & Co. C.V. – Harbin Power Eu Wt May 2009	100,000,000	2008/07/30	2009/05/18	48.00
14428	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2011	180,000,000	2008/07/30	2011/07/07	46.80
14429	Merrill Lynch Int'l & Co. C.V. – JX Copper Eur Wt Apr 2009	100,000,000	2008/07/30	2009/04/21	36.50
14435	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2009 I	500,000,000	2008/07/30	2009/01/29	117.50
14436	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2009 A	500,000,000	2008/07/30	2009/02/26	125.00
14437	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2009 B	500,000,000	2008/07/30	2009/02/26	125.00
14438	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2009 C	500,000,000	2008/07/30	2009/02/26	125.00
14439	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2009 D	500,000,000	2008/07/30	2009/02/26	125.00
14430	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 O	500,000,000	2008/07/30	2009/03/30	125.00
14431	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 P	500,000,000	2008/07/30	2009/03/30	125.00
14432	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 Q	500,000,000	2008/07/30	2009/03/30	125.00
14433	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 R	500,000,000	2008/07/30	2009/03/30	125.00
14440	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 S	500,000,000	2008/07/30	2009/03/30	125.00
14434	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2009 B	100,000,000	2008/07/30	2009/03/02	68.00
14462	ABN AMRO Bank N.V. – HSBC European Warrants Feb 2009 B	70,000,000	2008/07/31	2009/02/26	56.84
14463	ABN AMRO Bank N.V. – Hutchison European Warrants Feb 2009	100,000,000	2008/07/31	2009/02/16	42.60
14447	BOCI Asia Ltd. – BOCL European Warrants Feb 2009	300,000,000	2008/07/31	2009/02/04	99.00
14448	BOCI Asia Ltd. – BankComm European Warrants Dec 2009	300,000,000	2008/07/31	2009/12/16	75.00
14449	BOCI Asia Ltd. – A50 China European Warrants May 2009	300,000,000	2008/07/31	2009/05/25	75.00
14457	Credit Suisse – HSBC European Wts Mar 2009	200,000,000	2008/07/31	2009/03/30	30.00
14458	Credit Suisse – HSBC European Wts Apr 2009	200,000,000	2008/07/31	2009/04/30	30.00
14456	Deutsche Bank AG – CNOOC European Warrants Mar 2009 A	400,000,000	2008/07/31	2009/03/03	100.00
14455	Deutsche Bank AG – HSCEI European Warrants Feb 2009	400,000,000	2008/07/31	2009/02/26	112.00
14459	Deutsche Bank AG – HSCEI European Put Warrants Feb 2009	400,000,000	2008/07/31	2009/02/26	100.00
14460	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jul 2009	800,000,000	2008/07/31	2009/07/02	200.00
14461	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Feb 2009	208,000,000	2008/07/31	2009/02/02	99.84
14450	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Mar 2009B	500,000,000	2008/07/31	2009/03/02	125.00
14451	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2009B	500,000,000	2008/07/31	2009/06/29	132.50
14454	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Sep 09	500,000,000	2008/07/31	2009/09/28	109.50
14452	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2009 B	100,000,000	2008/07/31	2009/04/06	110.00
14453	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2009 C	500,000,000	2008/07/31	2009/06/29	80.00
14466	Deutsche Bank AG – Hutchison European Warrants Mar 2009 A	200,000,000	2008/08/01	2009/03/03	130.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14468	Goldman Sachs SP (Asia) – China Life Euro Wts Feb 2009	200,000,000	2008/08/01	2009/02/26	68.00
14469	Goldman Sachs SP (Asia) – CM Bank European Wts Jan 2009	100,000,000	2008/08/01	2009/01/29	63.00
14470	Goldman Sachs SP (Asia) – CM Bank European Wts Feb 2009	100,000,000	2008/08/01	2009/02/26	50.00
14467	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2009 B	100,000,000	2008/08/01	2009/02/26	109.00
14464	UBS AG – China Life European Put Warrants Feb 2009 A	500,000,000	2008/08/01	2009/02/16	130.00
14465	UBS AG – China Life European Put Warrants Feb 2009 B	500,000,000	2008/08/01	2009/02/16	215.00
14472	ABN AMRO Bank N.V. – HKEx European Put Warrants Jan 2009	688,000,000	2008/08/04	2009/01/29	103.20
14476	Credit Suisse – Yanzhou Coal European Put Warrants Feb 2009	100,000,000	2008/08/04	2009/02/19	25.00
14473	Macquarie Bank Ltd – CM Bank European Warrants Feb 2009 B	388,000,000	2008/08/04	2009/02/26	122.61
14474	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2009	150,000,000	2008/08/04	2009/02/26	64.20
14475	Macquarie Bank Ltd. – Jiangxi Copper European Wts Feb 2009	388,000,000	2008/08/04	2009/02/26	97.78
14478	CC Rabobank B.A. – China Life European Put Warrants Feb 2009	200,000,000	2008/08/04	2009/02/26	60.00
14479	CC Rabobank B.A. – HKEx European Warrants Mar 2009 B	400,000,000	2008/08/04	2009/03/30	100.00
14477	CC Rabobank B.A. – HSBC European Put Warrants Jul 2009	400,000,000	2008/08/04	2009/07/16	100.00
14481	CC Rabobank B.A. – ICBC European Put Warrants Feb 2009	60,000,000	2008/08/04	2009/02/26	30.00
14471	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Feb 09	800,000,000	2008/08/04	2009/02/16	200.00
13736#	Deutsche Bank AG – CNOOC European Warrants Dec 2008 B	400,000,000	2008/08/04	2008/12/15	36.00
13969#	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Dec 2008	480,000,000	2008/08/04	2008/12/29	99.36
14482	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Dec 2008	200,000,000	2008/08/05	2008/12/29	46.00
14480	Deutsche Bank AG – CNOOC European Warrants Mar 2009 B	400,000,000	2008/08/05	2009/03/02	100.00
14483	Goldman Sachs SP (Asia) – CNOOC European Warrants Mar 2009	300,000,000	2008/08/05	2009/03/30	45.00
14484	ABN AMRO Bank N.V. – BOCL European Warrants Feb 2009	238,000,000	2008/08/06	2009/02/23	59.98
14485	ABN AMRO Bank N.V. – Hutchison European Warrants Mar 2009	108,000,000	2008/08/06	2009/03/23	80.89
14492	Credit Suisse – China Life European Put Warrants Feb 2009	200,000,000	2008/08/06	2009/02/09	50.00
14490	Credit Suisse – CNOOC European Warrants Dec 2008 B	200,000,000	2008/08/06	2008/12/08	30.00
14491	Credit Suisse – CNOOC European Warrants Feb 2009	200,000,000	2008/08/06	2009/02/25	50.00
14486	Fortis Bank S.A./N.V. – Alibaba European Warrants Jul 2009	460,000,000	2008/08/06	2009/07/28	144.90
14487	Fortis Bank S.A./N.V. – CM Bank European Warrants Dec 2009	175,000,000	2008/08/06	2009/12/30	121.98
14488	Fortis Bank S.A./N.V. – Foxconn European Warrants Dec 2009	615,000,000	2008/08/06	2009/12/29	161.13
14489	Fortis Bank S.A./N.V. – MTRC European Warrants Jun 2009	175,000,000	2008/08/06	2009/06/29	43.75
10821	SGA Societe Generale Acceptance N.V. – MSTWI Eur Wt Mar 2009	100,000,000	2008/08/06	2009/03/30	25.00
10825	SGA Societe Generale Acceptance N.V. – MSTWI Eu Put Wt Mar09	100,000,000	2008/08/06	2009/03/30	25.00
14494	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2009	200,000,000	2008/08/07	2009/03/23	34.00
14495	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Dec 2008 D	200,000,000	2008/08/07	2008/12/18	32.00
14496	Fortis Bank S.A./N.V. – CHALCO European Warrants Aug 2009	550,000,000	2008/08/07	2009/08/28	137.50
14497	Fortis Bank S.A./N.V. – Ping An European Warrants Jun 2010	940,000,000	2008/08/07	2010/06/29	235.00
14498	J P Morgan SP BV – China COSCO European Warrants Feb 2009	288,000,000	2008/08/07	2009/02/26	84.96
14493	Macquarie Bank Ltd. – CITIC Pacific Eurpean Wts Feb 2009	200,000,000	2008/08/07	2009/02/26	50.40
14514	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Feb 2009	200,000,000	2008/08/08	2009/02/16	52.00
14513	BNP Paribas Arbit Issu B.V. – ICBC European Wts Feb 2009	200,000,000	2008/08/08	2009/02/23	124.00
14535	Credit Suisse – A50 China European Put Warrants Feb 2009 B	100,000,000	2008/08/08	2009/02/16	25.00
14536	Credit Suisse – HSBC European Put Warrants Sep 2009	200,000,000	2008/08/08	2009/09/28	38.00
14501	Lehman Brothers Holdings Inc. – BEA European Wts Feb 2009	75,000,000	2008/08/08	2009/02/18	19.50
14502	Lehman Brothers Holdings Inc. – Ch Mobile Eur Wts Mar 2009	300,000,000	2008/08/08	2009/03/30	45.30

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14504	Lehman Brothers Holdings Inc. – CNOOC Euro Wts Feb 2009	300,000,000	2008/08/08	2009/02/18	45.90
14505	Lehman Brothers Holdings Inc. – Sinopec Corp Eur Wt Mar 2009	300,000,000	2008/08/08	2009/03/30	46.50
14503	Lehman Brothers Holdings Inc. – China Shenhua Eu Wt Mar 09 A	75,000,000	2008/08/08	2009/03/30	30.23
14506	Lehman Brothers Holdings Inc. – HKEx European Wts Feb 2009	300,000,000	2008/08/08	2009/02/18	45.30
14499	Lehman Brothers Holdings Inc. – HSI European Wts Mar 2009 A	300,000,000	2008/08/08	2009/03/30	78.30
14500	Lehman Brothers Holdings Inc. – HSI European Wts Mar 2009 B	300,000,000	2008/08/08	2009/03/30	76.80
14509	Lehman Brothers Holdings Inc. – HSI Euro Put Wts Mar 2009 C	300,000,000	2008/08/08	2009/03/30	82.20
14510	Lehman Brothers Holdings Inc. – HSI Euro Put Wts Mar 2009 D	300,000,000	2008/08/08	2009/03/30	96.30
14507	Lehman Brothers Holdings Inc. – PICC European Wts Mar 2009 A	50,000,000	2008/08/08	2009/03/30	32.45
14508	Lehman Brothers Holdings Inc. – Ping An Euro Wts Mar 2009 A	50,000,000	2008/08/08	2009/03/30	34.25
14511	Macquarie Bank Ltd. – Cheung Kong European Warrants Nov 2009	300,000,000	2008/08/08	2009/11/27	84.60
14515	Macquarie Bank Ltd. – Cheung Kong European Put Wts Nov 2009	300,000,000	2008/08/08	2009/11/27	76.20
14512	Macquarie Bank Ltd. – MTRC European Warrants Feb 2009	300,000,000	2008/08/08	2009/02/26	77.10
14518	UBS AG – Agile Ppt European Warrants Jul 2009	500,000,000	2008/08/08	2009/07/27	125.00
14519	UBS AG – Bank of EA European Warrants Feb 2009 C	500,000,000	2008/08/08	2009/02/23	125.00
14520	UBS AG – BOC HK European Warrants Mar 2009 B	500,000,000	2008/08/08	2009/03/16	125.00
14525	UBS AG – China Mobile European Warrants Aug 2009	500,000,000	2008/08/08	2009/08/10	125.00
14522	UBS AG – China Coal European Warrants Mar 2009	500,000,000	2008/08/08	2009/03/23	125.00
14523	UBS AG – China Comm Serv European Warrants Dec 2009	500,000,000	2008/08/08	2009/12/21	125.00
14521	UBS AG – CITIC Bank European Warrants Feb 2009 C	500,000,000	2008/08/08	2009/02/16	225.00
14526	UBS AG – Ch Railway Cons European Warrants Mar 2009	500,000,000	2008/08/08	2009/03/09	125.00
14527	UBS AG – Ch Railway Cons European Warrants Jun 2009	500,000,000	2008/08/08	2009/06/15	125.00
14528	UBS AG – HK Gas European Warrants Jun 2009	500,000,000	2008/08/08	2009/06/22	125.00
14529	UBS AG – HSBC European Warrants Jun 2009	500,000,000	2008/08/08	2009/06/22	125.00
14516	UBS AG – HSI European Warrants Mar 2009 A	500,000,000	2008/08/08	2009/03/30	125.00
14517	UBS AG – HSI European Warrants Mar 2009 B	500,000,000	2008/08/08	2009/03/30	125.00
14533	UBS AG – HSI European Put Warrants Mar 2009 C	500,000,000	2008/08/08	2009/03/30	125.00
14534	UBS AG – HSI European Put Warrants Mar 2009 D	500,000,000	2008/08/08	2009/03/30	135.00
14530	UBS AG – Lenovo European Warrants Jun 2010	500,000,000	2008/08/08	2010/06/28	125.00
14524	UBS AG – China Mengniu European Warrants Feb 2009	500,000,000	2008/08/08	2009/02/16	125.00
14531	UBS AG – MTRC European Warrants May 2009	500,000,000	2008/08/08	2009/05/11	125.00
14532	UBS AG – SHK Ppt European Warrants Jun 2009	500,000,000	2008/08/08	2009/06/08	125.00
14537	BOCI Asia Ltd. – HKEx European Warrants Oct 2009	200,000,000	2008/08/11	2009/10/14	50.00
14541	BOCI Asia Ltd. – HKEx European Put Warrants Feb 2010	200,000,000	2008/08/11	2010/02/01	50.00
14538	Credit Suisse – CC Bank European Warrants Apr 2009 B	200,000,000	2008/08/11	2009/04/06	30.00
14539	Credit Suisse – China Life European Warrants Dec 2008	200,000,000	2008/08/11	2008/12/22	45.00
14540	Credit Suisse – China Life European Warrants Jan 2009 B	200,000,000	2008/08/11	2009/01/12	45.20
14545	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Mar 2009	400,000,000	2008/08/12	2009/03/23	100.00
14543	Citigroup Global Mkt H Inc. – China COSCO Euro Wts Mar 2009	300,000,000	2008/08/12	2009/03/23	96.00
14542	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wts Jun 2009	380,000,000	2008/08/12	2009/06/08	96.90
14544	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2009	300,000,000	2008/08/12	2009/04/27	114.00
14546	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Apr 2009	180,000,000	2008/08/12	2009/04/27	113.40
14547	Citigroup Global Mkt H Inc. – ICBC European Wts Mar 2009	270,000,000	2008/08/12	2009/03/16	108.00
14548	Citigroup Global Mkt H Inc. – MTRC European Wts May 2009	400,000,000	2008/08/12	2009/05/25	100.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14549	Citigroup Global Mkt H Inc. – New World Dev Euro Wt Mar 2009	400,000,000	2008/08/12	2009/03/16	100.00
14550	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2009	330,000,000	2008/08/12	2009/03/02	118.80
14551	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Feb 2009	220,000,000	2008/08/12	2009/02/16	107.80
14555	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt May 2009	588,000,000	2008/08/12	2009/05/04	99.96
14556	KBC Fin Products Int'l Ltd. – HSBC European Wts Apr 2009 C	400,000,000	2008/08/12	2009/04/23	100.00
14552	Macquarie Bank Ltd. – CNOOC European Warrants May 2009 A	388,000,000	2008/08/12	2009/05/29	97.00
14553	UBS AG – CHALCO European Warrants Nov 2009	500,000,000	2008/08/12	2009/11/23	125.00
14554	UBS AG – China Shenhua European Warrants Feb 2009 C	500,000,000	2008/08/12	2009/02/23	250.00
02696#	SGA Societe Generale Acceptance N.V. – Shenhua Eur Wt Sep 09	500,000,000	2008/08/12	2009/09/28	13.50
14557	Macquarie Bank Ltd. – A50 China European Warrants Feb 2009	250,000,000	2008/08/14	2009/02/26	64.50
14558	Macquarie Bank Ltd. – A50 China European Warrants Jun 2009 B	250,000,000	2008/08/14	2009/06/29	63.50
14559	Macquarie Bank Ltd. – Wharf European Warrants Feb 2009	300,000,000	2008/08/14	2009/02/26	84.90
14560	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Dec 2010	180,000,000	2008/08/14	2010/12/24	28.08
13839#	Deutsche Bank AG – China Mobile European Warrants Dec 2008 E	600,000,000	2008/08/14	2008/12/18	37.80
14590	BOCI Asia Ltd. – HSI European Warrants Jun 2009	300,000,000	2008/08/15	2009/06/29	75.00
14589	BOCI Asia Ltd. – HSI European Put Warrants Mar 2009	300,000,000	2008/08/15	2009/03/30	75.00
14566	Citigroup Global Mkt H Inc. – Ch Comm Cons Euro Wts Apr 2009	380,000,000	2008/08/15	2009/04/07	95.00
14564	Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt Jul 2009	410,000,000	2008/08/15	2009/07/20	104.55
14582	Citigroup Global Mkt H Inc. – CNOOC European Wts Apr 2009 B	400,000,000	2008/08/15	2009/04/27	100.00
14563	Citigroup Global Mkt H Inc. – Cathay Pacific Eur Wt Sep 2009	300,000,000	2008/08/15	2009/09/21	75.00
14568	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts May 2009	300,000,000	2008/08/15	2009/05/11	108.00
14569	Citigroup Global Mkt H Inc. – HK Gas European Wts Apr 2009	300,000,000	2008/08/15	2009/04/27	75.00
14570	Citigroup Global Mkt H Inc. – HSBC European Wts Jul 2009	300,000,000	2008/08/15	2009/07/27	75.00
14567	Citigroup Global Mkt H Inc. – Mengniu Dairy Euro Wt Jul 2009	330,000,000	2008/08/15	2009/07/20	89.10
14571	Citigroup Global Mkt H Inc. – MTR Corp Euro Put Wts Jun 2009	240,000,000	2008/08/15	2009/06/22	100.80
14572	Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Aug 2009	400,000,000	2008/08/15	2009/08/17	100.00
14588	Goldman Sachs SP (Asia) – A50 China European Wts Feb 2009 B	200,000,000	2008/08/15	2009/02/26	58.00
14587	Goldman Sachs SP (Asia) – A50 China European Wts Apr 2009 A	200,000,000	2008/08/15	2009/04/29	52.00
14591	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Apr 2009	200,000,000	2008/08/15	2009/04/29	50.00
14574	HK Bank – Ch Comm Cons European Warrants Apr 2009	280,000,000	2008/08/15	2009/04/24	72.80
14573	HK Bank – China Coal European Warrants Apr 2009 B	280,000,000	2008/08/15	2009/04/24	70.00
14575	HK Bank – China Life European Warrants Apr 2009	280,000,000	2008/08/15	2009/04/24	109.20
14577	HK Bank – Ch Ship Cont European Warrants Apr 2009	280,000,000	2008/08/15	2009/04/24	86.80
14576	HK Bank – China Shenhua European Warrants Apr 2009	280,000,000	2008/08/15	2009/04/24	117.60
14578	HK Bank – Esprit European Warrants Apr 2009	280,000,000	2008/08/15	2009/04/24	316.40
14579	HK Bank – Maanshan Iron European Warrants Apr 2009	280,000,000	2008/08/15	2009/04/24	226.80
14580	HK Bank – PICC European Warrants Apr 2009	280,000,000	2008/08/15	2009/04/24	271.60
14581	HK Bank – Zijin Mining European Warrants Apr 2009 B	280,000,000	2008/08/15	2009/04/24	310.80
14586	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts May 2009	400,000,000	2008/08/15	2009/05/04	100.00
14561	Macquarie Bank Ltd. – China Life European Wts Feb 2009 B	300,000,000	2008/08/15	2009/02/26	77.10
14565	Macquarie Bank Ltd. – China Life European Put Wts Feb 2009	300,000,000	2008/08/15	2009/02/26	89.10
14562	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jun 2009 A	300,000,000	2008/08/15	2009/06/29	77.10
14583	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2009	180,000,000	2008/08/15	2009/11/02	47.70
14584	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Feb 2009 B	100,000,000	2008/08/15	2009/02/25	21.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14585	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jul 2009	100,000,000	2008/08/15	2009/07/22	35.00
14597	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Mar 2009 C	200,000,000	2008/08/18	2009/03/02	64.00
14593	Deutsche Bank AG – Hutchison European Warrants Mar 2009 B	200,000,000	2008/08/18	2009/03/09	130.00
14596	Goldman Sachs SP (Asia) – China Tel European Wts Feb 2009	100,000,000	2008/08/18	2009/02/26	65.00
14594	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Feb 2009 B	150,000,000	2008/08/18	2009/02/26	58.50
14595	Goldman Sachs SP (Asia) – China Shenhua Euro Wts May 2009 A	150,000,000	2008/08/18	2009/05/27	52.50
14598	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Feb 2009	400,000,000	2008/08/18	2009/02/18	100.00
14592	Macquarie Bank Ltd. – China COSCO European Warrants Feb 2009	100,000,000	2008/08/18	2009/02/26	25.20
14605	ABN AMRO Bank N.V. – HSBC European Warrants Jun 2009 C	800,000,000	2008/08/19	2009/06/29	120.80
14607	Calyon Fin P (G) Ltd. – China Mobile European Wts Jun 2009 A	200,000,000	2008/08/19	2009/06/08	31.80
14608	Calyon Fin P (G) Ltd. – HKEx European Warrants Jun 2009	200,000,000	2008/08/19	2009/06/01	33.60
14599	HK Bank – CHALCO European Warrants May 2009	280,000,000	2008/08/19	2009/05/08	257.60
14600	HK Bank – CITIC Bank European Warrants May 2009	280,000,000	2008/08/19	2009/05/08	159.60
14603	HK Bank – CNOOC European Warrants Jul 2009	280,000,000	2008/08/19	2009/07/13	72.80
14601	HK Bank – Datang Power European Warrants May 2009	280,000,000	2008/08/19	2009/05/08	210.00
14604	HK Bank – HKEx European Warrants May 2009	280,000,000	2008/08/19	2009/05/12	341.60
14602	HK Bank – Huaneng Power European Warrants May 2009	280,000,000	2008/08/19	2009/05/08	238.00
14606	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Apr 2009	400,000,000	2008/08/19	2009/04/09	100.00
14609	ABN AMRO Bank N.V. – China Mobile European Wts Mar 2009 C	888,000,000	2008/08/20	2009/03/09	133.20
14622	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2009	200,000,000	2008/08/20	2009/02/09	30.00
14613	J P Morgan SP BV – CHALCO European Warrants Nov 2009	388,000,000	2008/08/20	2009/11/16	63.24
14616	J P Morgan SP BV – China Mob European Wts Jun 2009	380,000,000	2008/08/20	2009/06/01	57.00
14614	J P Morgan SP BV – CC Bank European Warrants Feb 2009	100,000,000	2008/08/20	2009/02/26	57.50
14615	J P Morgan SP BV – China COSCO European Warrants Mar 2009	288,000,000	2008/08/20	2009/03/11	73.73
14617	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt May 2010	180,000,000	2008/08/20	2010/05/03	48.60
14618	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Put Wt Aug09B	180,000,000	2008/08/20	2009/08/03	30.42
14619	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2009 A	180,000,000	2008/08/20	2009/06/08	48.60
14610	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt May 09	800,000,000	2008/08/20	2009/05/29	121.60
14611	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2009D	500,000,000	2008/08/20	2009/02/23	79.50
14612	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2009 C	100,000,000	2008/08/20	2009/03/02	57.00
14620	UBS AG – China Mobile European Warrants Jul 2009 A	500,000,000	2008/08/20	2009/07/20	125.00
14621	UBS AG – China Mobile European Warrants Nov 2009	500,000,000	2008/08/20	2009/11/23	125.00
14625	UBS AG – China Mobile European Put Warrants Nov 2009	500,000,000	2008/08/20	2009/11/23	125.00
14635	KBC Fin Products Int'l Ltd. – ICBC European Wts Feb 2009	158,000,000	2008/08/21	2009/02/23	96.38
14623	Macquarie Bank Ltd. – CHALCO European Warrants Feb 2009	88,000,000	2008/08/21	2009/02/26	52.18
14624	Macquarie Bank Ltd. – CC Bank European Put Warrants Feb 2009	300,000,000	2008/08/21	2009/02/26	107.10
14626	Macquarie Bank Ltd – CM Bank European Warrants Feb 2009 C	388,000,000	2008/08/21	2009/02/27	98.16
14627	Macquarie Bank Ltd. – HKEx European Put Warrants Sep 2009	300,000,000	2008/08/21	2009/09/29	75.30
14628	Macquarie Bank Ltd. – New World Dev European Wts May 2009	300,000,000	2008/08/21	2009/05/27	75.90
14634	CC Rabobank B.A. – BankComm European Warrants Oct 2009	300,000,000	2008/08/21	2009/10/29	75.00
14636	CC Rabobank B.A. – CM Bank European Warrants Apr 2009	300,000,000	2008/08/21	2009/04/29	120.00
14638	CC Rabobank B.A. – A50 China European Put Warrants Mar 2009	100,000,000	2008/08/21	2009/03/30	25.00
14633	CC Rabobank B.A. – HKEx European Warrants Jun 2009	300,000,000	2008/08/21	2009/06/30	75.00
14637	CC Rabobank B.A. – HKEx European Put Warrants Jun 2009	300,000,000	2008/08/21	2009/06/29	75.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14629	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar 09 B	400,000,000	2008/08/21	2009/03/16	100.00
14630	UBS AG – CNOOC European Warrants Aug 2009	500,000,000	2008/08/21	2009/08/10	125.00
14631	UBS AG – Hutchison European Warrants Dec 2010	500,000,000	2008/08/21	2010/12/06	125.00
14632	UBS AG – Jiangxi Copper European Warrants Jul 2009 A	500,000,000	2008/08/21	2009/07/27	125.00
10824	ABN AMRO Bank N.V. – Gold European Warrants Apr 2009 B	500,000,000	2008/08/22	2009/04/30	125.00
14663	ABN AMRO Bank N.V. – HKEx European Warrants Apr 2009	700,000,000	2008/08/22	2009/04/27	105.70
14639	ABN AMRO Bank N.V. – ICBC European Warrants Jan 2009 B	60,000,000	2008/08/22	2009/01/29	37.02
14640	ABN AMRO Bank N.V. – Ping An European Warrants Jan 2009	68,000,000	2008/08/22	2009/01/12	36.58
10826	ABN AMRO Bank N.V. – Silver European Warrants Apr 2009 B	500,000,000	2008/08/22	2009/04/27	125.00
14642	Lehman Brothers Holdings Inc. – BEA European Wts Mar 2009	75,000,000	2008/08/22	2009/03/30	18.83
14645	Lehman Brothers Holdings Inc. – CC Bank Euro Wts Mar 2009	100,000,000	2008/08/22	2009/03/30	48.50
14649	Lehman Brothers Holdings Inc. – China COSCO Eu Wt Mar 2009	100,000,000	2008/08/22	2009/03/30	25.10
14648	Lehman Brothers Holdings Inc. – China Coal Eur Wt Mar 2009 B	75,000,000	2008/08/22	2009/03/30	11.33
14644	Lehman Brothers Holdings Inc. – CNOOC Euro Wts Mar 2009	300,000,000	2008/08/22	2009/03/30	45.30
14643	Lehman Brothers Holdings Inc. – Ch Overseas Eu Wt Mar 2009 B	100,000,000	2008/08/22	2009/03/30	25.10
14646	Lehman Brothers Holdings Inc. – China Shenhua Eu Wt Mar 09 B	75,000,000	2008/08/22	2009/03/30	20.25
14641	Lehman Brothers Holdings Inc. – Hutchison Euro Wt Mar 2009 B	100,000,000	2008/08/22	2009/03/30	33.00
14647	Lehman Brothers Holdings Inc. – ICBC European Wts Mar 2009	100,000,000	2008/08/22	2009/03/30	39.50
14652	Lehman Brothers Holdings Inc. – Pacific Basin Eu Wt Mar 2009	50,000,000	2008/08/22	2009/03/30	12.55
14651	Lehman Brothers Holdings Inc. – PICC European Wts Mar 2009 B	50,000,000	2008/08/22	2009/03/30	27.00
14650	Lehman Brothers Holdings Inc. – Ping An Euro Wts Mar 2009 B	50,000,000	2008/08/22	2009/03/30	29.50
14655	CC Rabobank B.A. – CC Bank European Put Warrants Oct 2009	200,000,000	2008/08/22	2009/10/29	50.00
14654	CC Rabobank B.A. – Ch Comm Cons European Warrants Mar 2009	200,000,000	2008/08/22	2009/03/30	50.00
14656	CC Rabobank B.A. – CNOOC European Warrants Jun 2009	300,000,000	2008/08/22	2009/06/29	75.00
14657	CC Rabobank B.A. – A50 China European Warrants Jun 2009	100,000,000	2008/08/22	2009/06/10	25.00
14653	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Apr 09	400,000,000	2008/08/22	2009/04/07	100.00
14658	UBS AG – China Shenhua European Put Warrants Mar 2009	500,000,000	2008/08/22	2009/03/23	125.00
14662	UBS AG – A50 China European Put Warrants Jun 2009	500,000,000	2008/08/22	2009/06/22	125.00
14659	UBS AG – HKEx European Put Warrants Jun 2009 F	500,000,000	2008/08/22	2009/06/22	125.00
14660	UBS AG – Ping An European Put Warrants Feb 2009	500,000,000	2008/08/22	2009/02/23	200.00
14661	UBS AG – SHK Ppt European Warrants Oct 2009	500,000,000	2008/08/22	2009/10/19	125.00
14682	ABN AMRO Bank N.V. – CC Bank European Put Warrants Feb 2009	60,000,000	2008/08/25	2009/02/26	18.42
14680	ABN AMRO Bank N.V. – Sinopec Corp European Wts Mar 2009	700,000,000	2008/08/25	2009/03/23	114.10
14679	ABN AMRO Bank N.V. – A50 China European Warrants Feb 2009 B	388,000,000	2008/08/25	2009/02/23	81.09
14681	ABN AMRO Bank N.V. – HSI European Warrants Mar 2009 A	500,000,000	2008/08/25	2009/03/30	77.00
14685	ABN AMRO Bank N.V. – HSI European Put Warrants Mar 2009 B	388,000,000	2008/08/25	2009/03/30	63.24
14683	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Feb 2009 B	200,000,000	2008/08/25	2009/02/23	38.00
14684	BNP Paribas Arbit Issu B.V. – HKEx European Wts Feb 2009 B	200,000,000	2008/08/25	2009/02/09	32.00
14686	Deutsche Bank AG – China Mobile European Warrants Jun 2009 B	400,000,000	2008/08/25	2009/06/22	76.00
14664	Macquarie Bank Ltd. – Sinopec Corp Euro Put Wts Feb 2009	200,000,000	2008/08/25	2009/02/26	95.80
14665	UBS AG – Angang Steel European Warrants Aug 2009	500,000,000	2008/08/25	2009/08/24	125.00
14670	UBS AG – Country Garden European Warrants May 2009	500,000,000	2008/08/25	2009/05/18	195.00
14667	UBS AG – CC Bank European Warrants Dec 2011	500,000,000	2008/08/25	2011/12/12	125.00
14668	UBS AG – China COSCO European Warrants Sep 2009	500,000,000	2008/08/25	2009/09/28	125.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14666	UBS AG – China Coal European Warrants Aug 2009	500,000,000	2008/08/25	2009/08/24	125.00
14669	UBS AG – China National Building European Warrants Aug 2009	500,000,000	2008/08/25	2009/08/24	125.00
14671	UBS AG – Foxconn European Warrants Sep 2009	500,000,000	2008/08/25	2009/09/28	125.00
14672	UBS AG – R&F Ppt European Warrants Jun 2009	500,000,000	2008/08/25	2009/06/22	125.00
14673	UBS AG – Huaneng Power European Warrants Mar 2009 B	500,000,000	2008/08/25	2009/03/09	300.00
14674	UBS AG – Maanshan Iron European Warrants Jun 2009	500,000,000	2008/08/25	2009/06/22	200.00
14675	UBS AG – Ping An European Warrants Nov 2011	500,000,000	2008/08/25	2011/11/28	125.00
14676	UBS AG – Shimao Ppt European Warrants Mar 2009	500,000,000	2008/08/25	2009/03/09	125.00
14677	UBS AG – Yanzhou Coal European Wts Sep 2009	500,000,000	2008/08/25	2009/09/28	125.00
14678	UBS AG – Zijin Mining European Warrants Aug 2010	500,000,000	2008/08/25	2010/08/23	125.00
14690	ABN AMRO Bank N.V. – CC Bank European Warrants Mar 2009 A	588,000,000	2008/08/26	2009/03/30	88.20
14691	ABN AMRO Bank N.V. – ICBC European Warrants Feb 2009 B	70,000,000	2008/08/26	2009/02/26	56.21
14694	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Mar 2009 A	400,000,000	2008/08/26	2009/03/02	100.00
14695	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts May 2009	400,000,000	2008/08/26	2009/05/04	100.00
14693	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2009 D	400,000,000	2008/08/26	2009/03/30	100.00
14697	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2009 A	400,000,000	2008/08/26	2009/03/30	100.00
14687	CC Rabobank B.A. – Ch Mobile European Warrants Sep 2009	300,000,000	2008/08/26	2009/09/29	75.00
14689	CC Rabobank B.A. – Ch Mobile European Put Wts Aug 2009	200,000,000	2008/08/26	2009/08/28	50.00
14688	CC Rabobank B.A. – PetroChina European Warrants Sep 2009	300,000,000	2008/08/26	2009/09/29	75.00
14724	ABN AMRO Bank N.V. – China Life European Warrants Feb 2009 A	200,000,000	2008/08/27	2009/02/27	50.00
14699	BOCI Asia Ltd. – China Tel European Warrants Mar 2009	300,000,000	2008/08/27	2009/03/04	174.00
14700	BOCI Asia Ltd. – Tracker Fund European Put Warrants Mar 2009	300,000,000	2008/08/27	2009/03/04	75.00
14716	Citigroup Global Mkt H Inc. – China Tel Euro Wts Jul 2009	200,000,000	2008/08/27	2009/07/27	110.00
14713	Citigroup Global Mkt H Inc. – CC Bank European Wts May 2009	200,000,000	2008/08/27	2009/05/29	160.00
14721	Citigroup Global Mkt H Inc. – CC Bank European Wts Sep 2009	380,000,000	2008/08/27	2009/09/18	60.80
14714	Citigroup Global Mkt H Inc. – China Life Euro Wts Dec 2008	300,000,000	2008/08/27	2008/12/01	51.00
14717	Citigroup Global Mkt H Inc. – CNOOC European Wts Jul 2009	300,000,000	2008/08/27	2009/07/06	48.00
14715	Citigroup Global Mkt H Inc. – Ch Railway Euro Wts Mar 2009	330,000,000	2008/08/27	2009/03/02	99.00
14718	Citigroup Global Mkt H Inc. – HKEx European Wts Jul 2009 B	380,000,000	2008/08/27	2009/07/27	95.00
14719	Citigroup Global Mkt H Inc. – Hutchison Euro Put Wt Mar 2009	180,000,000	2008/08/27	2009/03/30	109.80
14720	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Jun 2009	300,000,000	2008/08/27	2009/06/29	45.00
14722	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Sep 2009	380,000,000	2008/08/27	2009/09/21	60.80
14705	Deutsche Bank AG – China Life European Put Warrants Mar 2009	300,000,000	2008/08/27	2009/03/09	84.00
14706	Deutsche Bank AG – HKEx European Put Warrants Jul 2009	300,000,000	2008/08/27	2009/07/13	75.00
14701	HK Bank – China Mobile European Warrants Apr 2010	280,000,000	2008/08/27	2010/04/16	70.00
14702	HK Bank – Jiangxi Copper European Warrants Jul 2009	280,000,000	2008/08/27	2009/07/17	70.00
14707	Macquarie Bank Ltd. – Angang Steel European Wts Jul 2009	188,000,000	2008/08/27	2009/07/29	47.75
14708	Macquarie Bank Ltd. – Bank of EA European Warrants Apr 2009	150,000,000	2008/08/27	2009/04/29	38.85
14709	Macquarie Bank Ltd. – BOCHK European Warrants May 2009	288,000,000	2008/08/27	2009/05/27	73.15
14712	Macquarie Bank Ltd. – China Mobile Euro Put Wts May 2009 B	388,000,000	2008/08/27	2009/05/29	97.00
14710	Macquarie Bank Ltd. – China Shenhua European Wts Feb 2009	200,000,000	2008/08/27	2009/02/27	53.00
14711	Macquarie Bank Ltd. – Maanshan Iron European Wts Feb 2009	88,000,000	2008/08/27	2009/02/27	27.81
14692	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Nov 2009	100,000,000	2008/08/27	2009/11/16	34.00
14696	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2009	180,000,000	2008/08/27	2009/12/21	45.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14698	Merrill Lynch Int'l & Co. C.V. – Shimao Ppt Euro Wt Nov 2009	100,000,000	2008/08/27	2009/11/30	25.00
14703	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Feb 09B	200,000,000	2008/08/27	2009/02/23	62.00
14704	SGA Societe Generale Acceptance N.V. – Datang Eu Wt Mar 2009	100,000,000	2008/08/27	2009/03/02	85.00
14723	UBS AG – CM Bank European Warrants Mar 2009	500,000,000	2008/08/27	2009/03/16	175.00
14728	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2009 B	300,000,000	2008/08/28	2009/03/02	90.00
14729	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2009	300,000,000	2008/08/28	2009/04/15	147.00
14730	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Aug 2009	200,000,000	2008/08/28	2009/08/10	50.00
14731	Deutsche Bank AG – China Mobile European Put Wts May 2009	300,000,000	2008/08/28	2009/05/29	45.00
14733	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Nov 2009 A	498,000,000	2008/08/28	2009/11/16	99.60
14727	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jun 2009 B	400,000,000	2008/08/28	2009/06/05	100.00
14732	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Dec 2008 D	638,000,000	2008/08/28	2008/12/31	98.89
14725	Macquarie Bank Ltd. – CNOOC European Warrants May 2009 B	388,000,000	2008/08/28	2009/05/27	97.00
14726	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jun 2009	288,000,000	2008/08/28	2009/06/29	72.86
14736	Credit Suisse – A50 China European Warrants Feb 2009 D	200,000,000	2008/09/01	2009/02/25	34.20
14737	Credit Suisse – A50 China European Warrants Mar 2009	200,000,000	2008/09/01	2009/03/30	30.00
14734	UBS AG – PetroChina European Warrants Nov 2009	500,000,000	2008/09/01	2009/11/30	125.00
14735	UBS AG – PetroChina European Warrants Mar 2010	500,000,000	2008/09/01	2010/03/29	125.00
14745	Deutsche Bank AG – Cheung Kong European Put Wts Jun 2009	200,000,000	2008/09/02	2009/06/22	50.00
14746	Deutsche Bank AG – CNOOC European Put Warrants Apr 2009 B	200,000,000	2008/09/02	2009/04/09	30.00
14747	Deutsche Bank AG – HSBC European Put Warrants Jul 2009	200,000,000	2008/09/02	2009/07/13	30.00
14739	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 09 A	800,000,000	2008/09/02	2009/06/01	120.00
14738	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 09 E	800,000,000	2008/09/02	2009/03/09	120.00
14740	UBS AG – Cheung Kong European Put Warrants Aug 2009	500,000,000	2008/09/02	2009/08/31	125.00
14741	UBS AG – China Shenhua European Warrants Mar 2009	500,000,000	2008/09/02	2009/03/09	125.00
14742	UBS AG – HK Electric European Warrants Mar 2009	500,000,000	2008/09/02	2009/03/09	160.00
14743	UBS AG – Jiangxi Copper European Warrants Mar 2009 B	500,000,000	2008/09/02	2009/03/16	125.00
14744	UBS AG – PICC European Warrants Mar 2009 B	500,000,000	2008/09/02	2009/03/09	160.00
14767	ABN AMRO Bank N.V. – BOCL European Warrants Jan 2009	200,000,000	2008/09/03	2009/01/29	63.60
14768	ABN AMRO Bank N.V. – CC Bank European Warrants Feb 2009 B	188,000,000	2008/09/03	2009/02/03	124.08
14769	ABN AMRO Bank N.V. – China Life European Warrants Feb 2009 B	250,000,000	2008/09/03	2009/02/23	62.75
14770	ABN AMRO Bank N.V. – ICBC European Warrants Mar 2009 A	200,000,000	2008/09/03	2009/03/30	82.00
14749	Deutsche Bank AG – CC Bank European Put Warrants Dec 2008	200,000,000	2008/09/03	2008/12/15	98.00
14764	Deutsche Bank AG – CC Bank European Warrants Dec 2008 C	300,000,000	2008/09/03	2008/12/22	141.00
14765	Deutsche Bank AG – China Life European Warrants Mar 2009 C	400,000,000	2008/09/03	2009/03/09	156.00
14766	Deutsche Bank AG – China Life European Warrants Mar 2009 D	400,000,000	2008/09/03	2009/03/16	116.00
14753	HK Bank – HSCEI European Warrants Mar 2009 E	300,000,000	2008/09/03	2009/03/30	75.00
14754	HK Bank – HSCEI European Warrants Jun 2009 C	300,000,000	2008/09/03	2009/06/29	75.00
14750	HK Bank – HSI European Warrants Mar 2009 E	300,000,000	2008/09/03	2009/03/30	75.00
14751	HK Bank – HSI European Warrants Mar 2009 F	300,000,000	2008/09/03	2009/03/30	75.00
14752	HK Bank – HSI European Warrants Jun 2009 F	300,000,000	2008/09/03	2009/06/29	75.00
14772	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2009	400,000,000	2008/09/03	2009/03/10	100.00
14771	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2009 B	368,000,000	2008/09/03	2009/03/03	99.36
14775	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Mar 2009	318,000,000	2008/09/03	2009/03/03	98.58
14748	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jun 2010	180,000,000	2008/09/03	2010/06/23	31.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14755	CC Rabobank B.A. – CNBM European Warrants Mar 2009	200,000,000	2008/09/03	2009/03/30	74.00
14756	CC Rabobank B.A. – Huaneng Power European Warrants Mar 2009	100,000,000	2008/09/03	2009/03/30	100.00
14757	CC Rabobank B.A. – Yanzhou Coal European Warrants Jun 2009	150,000,000	2008/09/03	2009/06/29	45.00
14760	UBS AG – HSI European Put Warrants Apr 2009	500,000,000	2008/09/03	2009/04/29	125.00
14761	UBS AG – HSI European Put Warrants May 2009	500,000,000	2008/09/03	2009/05/27	125.00
14758	UBS AG – HSI European Warrants Mar 2009 E	500,000,000	2008/09/03	2009/03/30	125.00
14759	UBS AG – HSI European Warrants Mar 2009 F	500,000,000	2008/09/03	2009/03/30	125.00
14762	UBS AG – HSI European Put Warrants Mar 2009 G	500,000,000	2008/09/03	2009/03/30	125.00
14763	UBS AG – HSI European Put Warrants Mar 2009 H	500,000,000	2008/09/03	2009/03/30	200.00
13882#	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2009 B	320,000,000	2008/09/03	2009/06/08	36.80
14773	ABN AMRO Bank N.V. – China Mobile European Wts May 2009	888,000,000	2008/09/04	2009/05/29	144.74
14776	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Nov 2009	888,000,000	2008/09/04	2009/11/16	167.83
14774	ABN AMRO Bank N.V. – CNOOC European Warrants Mar 2009 A	500,000,000	2008/09/04	2009/03/30	83.50
14778	ABN AMRO Bank N.V. – HSI European Put Warrants Jan 2009 E	400,000,000	2008/09/04	2009/01/29	68.40
14777	ABN AMRO Bank N.V. – Ping An European Put Warrants Feb 2009	200,000,000	2008/09/04	2009/02/16	59.20
14779	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Feb 2009 B	218,000,000	2008/09/04	2009/02/16	98.10
14780	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2008	200,000,000	2008/09/05	2008/12/30	36.00
14781	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Jan 2009	200,000,000	2008/09/05	2009/01/12	30.00
14782	CC Rabobank B.A. – Agile Ppt European Warrants Sep 2009	100,000,000	2008/09/05	2009/09/14	25.00
14783	CC Rabobank B.A. – Angang Steel European Warrants Jun 2009	100,000,000	2008/09/05	2009/06/15	35.00
14784	CC Rabobank B.A. – Ch Overseas European Warrants Apr 2009	150,000,000	2008/09/05	2009/04/29	52.50
14785	CC Rabobank B.A. – Harbin Power European Warrants Mar 2009	100,000,000	2008/09/05	2009/03/30	40.00
14786	CC Rabobank B.A. – Shanghai Ind European Warrants Jun 2009	100,000,000	2008/09/05	2009/06/15	50.00
14789	BOCI Asia Ltd. – China Mobile European Warrants Apr 2009	150,000,000	2008/09/08	2009/04/29	37.50
14790	BOCI Asia Ltd. – China Mobile European Warrants Apr 2010	150,000,000	2008/09/08	2010/04/29	37.50
14787	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jun 2009	200,000,000	2008/09/08	2009/06/12	40.00
14788	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Oct 2009	200,000,000	2008/09/08	2009/10/19	50.00
14794	Credit Suisse – China Mobile European Warrants Jun 2009	200,000,000	2008/09/08	2009/06/29	32.20
10827	Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2009	200,000,000	2008/09/08	2009/03/13	50.00
10831	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Mar 2009	200,000,000	2008/09/08	2009/03/13	50.00
14791	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2009 B	200,000,000	2008/09/08	2009/02/26	76.00
14795	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Mar 2009	200,000,000	2008/09/08	2009/03/30	170.00
14792	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2009	200,000,000	2008/09/08	2009/01/29	174.00
14793	Goldman Sachs SP (Asia) – CM Bank European Wts Mar 2009 B	200,000,000	2008/09/08	2009/03/30	50.00
14797	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Apr 2009	60,000,000	2008/09/09	2009/04/29	54.00
14798	Goldman Sachs SP (Asia) – China Life Euro Put Wts Mar 2009	150,000,000	2008/09/09	2009/03/30	54.00
14799	Goldman Sachs SP (Asia) – HSBC European Put Wts Mar 2009	60,000,000	2008/09/09	2009/03/30	49.20
14800	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Nov 2009 B	400,000,000	2008/09/09	2009/11/20	100.00
14796	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 09 B	800,000,000	2008/09/09	2009/06/22	136.00
14814	ABN AMRO Bank N.V. – China Life European Warrants Jan 2009 A	200,000,000	2008/09/10	2009/01/12	76.60
14815	ABN AMRO Bank N.V. – China Life European Put Wts Feb 2009	200,000,000	2008/09/10	2009/02/11	33.00
14816	ABN AMRO Bank N.V. – HKEx European Put Warrants Jul 2009	600,000,000	2008/09/10	2009/07/07	91.80
14802	Citigroup Global Mkt H Inc. – BOC HK European Wts Aug 2009	380,000,000	2008/09/10	2009/08/24	95.00
14801	Citigroup Global Mkt H Inc. – BOCL European Wts Apr 2009 A	300,000,000	2008/09/10	2009/04/14	96.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14803	Citigroup Global Mkt H Inc. – CC Bank European Wts Mar 2009	180,000,000	2008/09/10	2009/03/23	113.40
14804	Citigroup Global Mkt H Inc. – CC Bank European Wts Mar 2010	400,000,000	2008/09/10	2010/03/01	60.00
14805	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2009	330,000,000	2008/09/10	2009/07/14	95.70
14806	Citigroup Global Mkt H Inc. – CLP European Warrants Aug 2009	230,000,000	2008/09/10	2009/08/24	101.20
14807	Citigroup Global Mkt H Inc. – CNOOC European Wts Oct 2009	380,000,000	2008/09/10	2009/10/12	95.00
14808	Citigroup Global Mkt H Inc. – HKEx European Wts Apr 2009	400,000,000	2008/09/10	2009/04/23	60.00
14809	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2009	380,000,000	2008/09/10	2009/06/22	95.00
14810	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Nov 2009 A	400,000,000	2008/09/10	2009/11/18	62.00
14811	J P Morgan SP BV – CNOOC European Warrants Jun 2009	300,000,000	2008/09/10	2009/06/29	75.90
14812	J P Morgan SP BV – HKEx European Warrants Jul 2009	300,000,000	2008/09/10	2009/07/30	75.30
14818	J P Morgan SP BV – HKEx European Put Wts Oct 2009	300,000,000	2008/09/10	2009/10/27	75.00
14813	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Apr 2009	400,000,000	2008/09/10	2009/04/15	100.00
05590#	Goldman Sachs SP (Asia) – China Mobile European Wts Jan 2009	300,000,000	2008/09/10	2009/01/12	12.60
14829	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Mar 2009 D	100,000,000	2008/09/11	2009/03/30	78.50
14830	Deutsche Bank AG – China Mobile European Warrants Jun 2009 C	400,000,000	2008/09/11	2009/06/29	60.00
14825	Goldman Sachs SP (Asia) – China Life Euro Wts Jan 2009 B	150,000,000	2008/09/11	2009/01/29	54.00
14819	J P Morgan SP BV – Ch Comm Cons European Warrants Jul 2009	180,000,000	2008/09/11	2009/07/20	50.22
14822	J P Morgan SP BV – A50 China European Warrants Aug 2009	200,000,000	2008/09/11	2009/08/28	50.40
14823	J P Morgan SP BV – New World Dev European Warrants Aug 2009	200,000,000	2008/09/11	2009/08/28	50.80
14826	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Mar 2009 C	400,000,000	2008/09/11	2009/03/30	100.00
14827	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2009 B	400,000,000	2008/09/11	2009/03/30	100.00
14828	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2009 C	400,000,000	2008/09/11	2009/03/30	100.00
14831	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2010	180,000,000	2008/09/11	2010/08/09	45.00
14817	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Mar 2009 B	150,000,000	2008/09/11	2009/03/30	75.00
14821	UBS AG – China Mobile European Warrants May 2009	500,000,000	2008/09/11	2009/05/29	75.00
14820	UBS AG – China Mobile European Warrants Oct 2009 A	500,000,000	2008/09/11	2009/10/27	125.00
14824	UBS AG – China Mobile European Put Warrants Oct 2009	500,000,000	2008/09/11	2009/10/27	125.00
14834	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt May 2009	200,000,000	2008/09/12	2009/05/04	50.00
14833	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2009	200,000,000	2008/09/12	2009/04/14	50.00
14860	Deutsche Bank AG – China Mobile European Put Wts Aug 2009 A	300,000,000	2008/09/12	2009/08/03	75.00
14845	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2009 B	300,000,000	2008/09/12	2009/06/29	45.00
14832	HK Bank – Esprit European Warrants Oct 2010	280,000,000	2008/09/12	2010/10/06	70.00
14857	J P Morgan SP BV – China Mob European Wts Dec 2009	388,000,000	2008/09/12	2009/12/31	97.00
14858	J P Morgan SP BV – JX Copper European Warrants Jun 2009	180,000,000	2008/09/12	2009/06/29	46.62
14859	J P Morgan SP BV – SHK Ppt European Warrants Oct 2009	300,000,000	2008/09/12	2009/10/29	75.00
14853	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Mar 2009 B	400,000,000	2008/09/12	2009/03/12	100.00
14852	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Oct 2009	400,000,000	2008/09/12	2009/10/23	100.00
14854	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Feb 2009	298,000,000	2008/09/12	2009/02/26	99.83
14856	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Apr 2009 A	400,000,000	2008/09/12	2009/04/01	100.00
14838	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2009 A	400,000,000	2008/09/12	2009/03/30	100.00
14839	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2009 B	400,000,000	2008/09/12	2009/03/30	100.00
14835	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2009 E	400,000,000	2008/09/12	2009/03/30	100.00
14836	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2009 F	400,000,000	2008/09/12	2009/03/30	100.00
14837	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2009 G	400,000,000	2008/09/12	2009/03/30	100.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14855	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Mar 2009	400,000,000	2008/09/12	2009/03/12	100.00
14841	Macquarie Bank Ltd. – Ch Comm Cons European Wts Mar 2009	200,000,000	2008/09/12	2009/03/30	52.20
14842	Macquarie Bank Ltd. – Ch Comm Cons European Wts Aug 2009	200,000,000	2008/09/12	2009/08/28	50.20
14840	Macquarie Bank Ltd. – A50 China European Warrants Mar 2009 A	388,000,000	2008/09/12	2009/03/30	97.78
14843	Macquarie Bank Ltd. – Hang Seng Bank European Wts May 2009	300,000,000	2008/09/12	2009/05/27	89.70
14844	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jun 2009 B	300,000,000	2008/09/12	2009/06/29	76.50
14846	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Nov 2009	100,000,000	2008/09/12	2009/11/02	25.00
14848	Merrill Lynch Int'l & Co. C.V. – CITIC Bank Euro Wt Oct 2009	100,000,000	2008/09/12	2009/10/05	74.00
14847	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Nov 2009	100,000,000	2008/09/12	2009/11/03	49.50
14851	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2009 B	180,000,000	2008/09/12	2009/06/08	45.00
14849	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Put Wts Dec 2010	180,000,000	2008/09/12	2010/12/06	60.30
14850	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2010	180,000,000	2008/09/12	2010/12/06	45.00
14870	ABN AMRO Bank N.V. – China Mobile European Wts Apr 2009	888,000,000	2008/09/16	2009/04/27	170.50
14871	ABN AMRO Bank N.V. – China Mobile European Wts Jun 2009	888,000,000	2008/09/16	2009/06/22	133.20
10828	Citigroup Global Mkt H Inc. – HFI European PC Sep 2013	27,500,000	2008/09/16	2013/09/16	390.50
10829	Citigroup Global Mkt H Inc. – HSPI European PC Sep 2013	165,000,000	2008/09/16	2013/09/16	392.70
14868	Deutsche Bank AG – HSI European Warrants Mar 2009 A	300,000,000	2008/09/16	2009/03/30	75.00
14869	Deutsche Bank AG – HSI European Warrants Mar 2009 B	300,000,000	2008/09/16	2009/03/30	75.00
14872	Deutsche Bank AG – HSI European Put Warrants Mar 2009 C	300,000,000	2008/09/16	2009/03/30	75.00
14873	Deutsche Bank AG – HSI European Put Warrants Mar 2009 D	300,000,000	2008/09/16	2009/03/30	75.00
14874	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2009 A	168,000,000	2008/09/16	2009/05/15	97.44
14875	KBC Fin Products Int'l Ltd. – Sinopec Corp Eur Put Wt Oct 09	658,000,000	2008/09/16	2009/10/23	98.70
14864	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Jun 2009	400,000,000	2008/09/16	2009/06/29	100.00
14863	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Mar 2009	400,000,000	2008/09/16	2009/03/02	72.00
14865	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2009	400,000,000	2008/09/16	2009/06/22	66.00
14861	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Mar 2009A	200,000,000	2008/09/16	2009/03/30	50.00
14862	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Mar 2009B	200,000,000	2008/09/16	2009/03/30	50.00
14866	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Mar09C	200,000,000	2008/09/16	2009/03/30	50.00
14867	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Mar09D	200,000,000	2008/09/16	2009/03/30	50.00
14898	ABN AMRO Bank N.V. – HSBC European Put Warrants Jan 2009	88,000,000	2008/09/17	2009/01/02	77.44
14887	ABN AMRO Bank N.V. – HSCEI European Warrants Mar 2009	888,000,000	2008/09/17	2009/03/30	222.00
14891	ABN AMRO Bank N.V. – HSCEI European Put Warrants Mar 2009	888,000,000	2008/09/17	2009/03/30	222.00
14895	Calyon Fin P (G) Ltd. – China Mobile European Wts Nov 2009	150,000,000	2008/09/17	2009/11/02	37.80
14894	Calyon Fin P (G) Ltd. – China Life European Wts Jun 2009	150,000,000	2008/09/17	2009/06/01	54.15
14896	Calyon Fin P (G) Ltd. – China Mobile European Wts Jun 2009 B	150,000,000	2008/09/17	2009/06/23	28.35
14897	Calyon Fin P (G) Ltd. – HSBC European Warrants Jun 2009	200,000,000	2008/09/17	2009/06/16	30.40
14877	Fortis Bank S.A./N.V. – Ch Comm Cons European Wts Aug 2009	435,000,000	2008/09/17	2009/08/28	110.06
14878	Fortis Bank S.A./N.V. – Ch Railway Cons Euro Wts Sep 2009	430,000,000	2008/09/17	2009/09/30	115.67
14879	Fortis Bank S.A./N.V. – Esprit European Warrants Jun 2010	760,000,000	2008/09/17	2010/06/29	190.00
14880	Fortis Bank S.A./N.A. – Yanzhou Coal European Wts Apr 2009	350,000,000	2008/09/17	2009/04/07	87.50
14882	HK Bank – BOC HK European Warrants Jun 2009	280,000,000	2008/09/17	2009/06/12	70.00
14881	HK Bank – BOCL European Warrants Jun 2009	280,000,000	2008/09/17	2009/06/12	117.60
14886	HK Bank – China Tel European Warrants Jun 2009	280,000,000	2008/09/17	2009/06/12	123.20
14883	HK Bank – China COSCO European Warrants Jun 2009	280,000,000	2008/09/17	2009/06/12	78.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14884	HK Bank – CM Bank European Warrants Jun 2009	280,000,000	2008/09/17	2009/06/12	100.80
14885	HK Bank – Sinopec Corp European Warrants Jun 2009	280,000,000	2008/09/17	2009/06/12	277.20
14888	J P Morgan SP BV – Cathay Pac European Warrants Jul 2009	100,000,000	2008/09/17	2009/07/28	25.40
14889	J P Morgan SP BV – PetroChina European Warrants Nov 2009	300,000,000	2008/09/17	2009/11/30	75.00
14890	J P Morgan SP BV – Yanzhou Coal European Warrants Jul 2009 B	188,000,000	2008/09/17	2009/07/30	55.65
14892	KBC Fin Products Int'l Ltd. – CNOOC European Wts May 2009	638,000,000	2008/09/17	2009/05/22	96.98
14893	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jul 2009	638,000,000	2008/09/17	2009/07/20	97.61
14876	Macquarie Bank Ltd. – Esprit European Warrants Dec 2009	288,000,000	2008/09/17	2009/12/30	72.29
10830	SGA Societe Generale Acceptance N.V. – AUD/USD Eur Wt Mar 09	100,000,000	2008/09/17	2009/03/27	25.00
10834	SGA Societe Generale Acceptance N.V.-AUD/USD Eu Put Wt Mar09	100,000,000	2008/09/17	2009/03/27	25.00
10832	SGA Societe Generale Acceptance N.V. – EUR/USD Eu Wt Mar 09	100,000,000	2008/09/17	2009/03/27	26.00
10835	SGA Societe Generale Acceptance N.V.-EUR/USD Eu Put Wt Mar09	100,000,000	2008/09/17	2009/03/27	26.00
10833	SGA Societe Generale Acceptance N.V. – USD/YEN Eu Wt Mar 09	100,000,000	2008/09/17	2009/03/27	25.00
10836	SGA Societe Generale Acceptance N.V.-USD/YEN Eu Put Wt Mar09	100,000,000	2008/09/17	2009/03/27	25.00
14332#	Credit Suisse – China Mobile European Warrants Mar 2009	350,000,000	2008/09/17	2009/03/16	23.80
14912	Citigroup Global Mkt H Inc. – BankComm European Wts Sep 2009	300,000,000	2008/09/18	2009/09/03	48.00
14913	Citigroup Global Mkt H Inc. – Ch Comm Cons Euro Wts Jun 2009	300,000,000	2008/09/18	2009/06/29	75.00
14914	Citigroup Global Mkt H Inc. – China Life Euro Wts Mar 2009	180,000,000	2008/09/18	2009/03/30	63.00
14924	Citigroup Global Mkt H Inc. – CM Bank European Wts Sep 2009	250,000,000	2008/09/18	2009/09/07	92.50
14930	Citigroup Global Mkt H Inc. – CRCC European Wts Oct 2009	300,000,000	2008/09/18	2009/10/12	75.00
14915	Citigroup Global Mkt H Inc. – HSBC European Wts Aug 2009	300,000,000	2008/09/18	2009/08/28	45.00
14916	Citigroup Global Mkt H Inc. – ICBC European Wts May 2009	200,000,000	2008/09/18	2009/05/25	120.00
14917	Citigroup Global Mkt H Inc. – Link REIT Euro Wts Jul 2009	400,000,000	2008/09/18	2009/07/27	100.00
14925	Citigroup Global Mkt H Inc. – MTRC European Wts Oct 2009	330,000,000	2008/09/18	2009/10/12	82.50
14927	Citigroup Global Mkt H Inc. – Wharf European Wts Aug 2009	330,000,000	2008/09/18	2009/08/24	92.40
14922	Deutsche Bank AG – China Telecom European Wts Feb 2009	200,000,000	2008/09/18	2009/02/23	82.00
14926	Deutsche Bank AG – A50 China European Warrants Apr 2009	300,000,000	2008/09/18	2009/04/27	48.00
14928	Deutsche Bank AG – A50 China European Put Wts Mar 2009 B	300,000,000	2008/09/18	2009/03/30	63.00
14929	Deutsche Bank AG – PetroChina European Warrants Jul 2009	300,000,000	2008/09/18	2009/07/27	45.00
14918	HK Bank – Angang Steel European Warrants Aug 2009	280,000,000	2008/09/18	2009/08/18	70.00
14920	HK Bank – China Railway European Warrants Jun 2009	280,000,000	2008/09/18	2009/06/19	235.20
14919	HK Bank – Ch Railway Cons European Warrants Aug 2009	280,000,000	2008/09/18	2009/08/17	70.00
14921	HK Bank – Lenovo European Warrants Jun 2009	280,000,000	2008/09/18	2009/06/19	232.40
14923	J P Morgan SP BV – HSBC European Warrants Jun 2009	388,000,000	2008/09/18	2009/06/15	61.69
14909	Macquarie Bank Ltd. – BankComm European Warrants Nov 2009	300,000,000	2008/09/18	2009/11/27	77.10
14910	Macquarie Bank Ltd. – R&F Ppt European Warrants Jun 2009	100,000,000	2008/09/18	2009/06/29	25.90
14911	Macquarie Bank Ltd. – Shimao Ppt European Warrants Jul 2009	100,000,000	2008/09/18	2009/07/30	25.30
14932	Standard Bank PLC – China Shenhua European Warrants Mar 2009	200,000,000	2008/09/18	2009/03/19	50.00
14931	Standard Bank PLC – A50 China European Warrants Jul 2009	200,000,000	2008/09/18	2009/07/24	50.00
14933	Standard Bank PLC – A50 China European Put Warrants Jun 2009	200,000,000	2008/09/18	2009/06/18	50.00
09513#	ABN AMRO Bank N.V. – HSBC European Put Warrants Dec 2008 C	400,000,000	2008/09/18	2008/12/29	20.40
14954	Deutsche Bank AG – China Mobile European Warrants Apr 2009	300,000,000	2008/09/19	2009/04/29	45.00
14955	Deutsche Bank AG – China Mobile European Put Wts Aug 2009 B	300,000,000	2008/09/19	2009/08/10	75.00
14956	Deutsche Bank AG – PetroChina European Warrants Mar 2009 B	300,000,000	2008/09/19	2009/03/23	45.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14953	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2009	330,000,000	2008/09/19	2009/04/29	49.50
14952	Goldman Sachs SP (Asia) – A50 China European Wts Apr 2009 B	200,000,000	2008/09/19	2009/04/29	50.00
14938	HK Bank – Cheung Kong European Warrants Sep 2010	280,000,000	2008/09/19	2010/09/24	70.00
14939	HK Bank – A50 China European Warrants Jun 2009	280,000,000	2008/09/19	2009/06/26	70.00
14940	J P Morgan SP BV – BankComm European Warrants Dec 2009	300,000,000	2008/09/19	2009/12/30	75.00
14947	J P Morgan SP BV – China Mob European Wts Apr 2009	388,000,000	2008/09/19	2009/04/22	58.20
14943	J P Morgan SP BV – Ch Overseas European Warrants May 2009	200,000,000	2008/09/19	2009/05/27	52.20
14942	J P Morgan SP BV – China COSCO European Warrants Jul 2009	200,000,000	2008/09/19	2009/07/08	51.60
14941	J P Morgan SP BV – China Coal European Warrants Jun 2009	200,000,000	2008/09/19	2009/06/22	51.60
14944	J P Morgan SP BV – R&F Ppt European Wts Jun 2009	138,000,000	2008/09/19	2009/06/29	35.05
14948	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Mar 2009 D	400,000,000	2008/09/19	2009/03/30	100.00
14949	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2009 H	400,000,000	2008/09/19	2009/03/30	100.00
14950	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2009 I	400,000,000	2008/09/19	2009/03/30	100.00
14951	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Jun 2009	400,000,000	2008/09/19	2009/06/15	100.00
14934	Macquarie Bank Ltd. – Denway Motors European Wts Jun 2009	150,000,000	2008/09/19	2009/06/29	39.60
14935	Macquarie Bank Ltd. – Foxconn European Warrants Mar 2009	150,000,000	2008/09/19	2009/03/30	84.75
14936	Macquarie Bank Ltd. – HKEx European Warrants Nov 2009	300,000,000	2008/09/19	2009/11/27	75.30
14946	Macquarie Bank Ltd. – HSCEI European Put Warrants Mar 2009 A	388,000,000	2008/09/19	2009/03/30	97.00
14937	Macquarie Bank Ltd. – HSI European Warrants Mar 2009	388,000,000	2008/09/19	2009/03/30	97.00
14945	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2009 A	388,000,000	2008/09/19	2009/03/30	97.00
14957	UBS AG – Cheung Kong European Warrants Jun 2009	500,000,000	2008/09/19	2009/06/22	125.00
14961	UBS AG – Cheung Kong European Put Warrants Dec 2009	500,000,000	2008/09/19	2009/12/07	125.00
14958	UBS AG – HS Bank European Put Warrants Jun 2009	500,000,000	2008/09/19	2009/06/22	125.00
14959	UBS AG – PetroChina European Warrants Sep 2009	500,000,000	2008/09/19	2009/09/28	75.00
14960	UBS AG – PetroChina European Warrants Oct 2009	500,000,000	2008/09/19	2009/10/27	125.00
15011	ABN AMRO Bank N.V. – CNOOC European Warrants Mar 2009 B	400,000,000	2008/09/22	2009/03/02	60.00
14965	ABN AMRO Bank N.V. – HKEx European Warrants Jun 2009	600,000,000	2008/09/22	2009/06/29	150.00
14964	ABN AMRO Bank N.V. – HSI European Warrants Mar 2009 C	400,000,000	2008/09/22	2009/03/30	100.00
14967	ABN AMRO Bank N.V. – HSI European Put Warrants Mar 2009 D	400,000,000	2008/09/22	2009/03/30	100.00
15008	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2009	200,000,000	2008/09/22	2009/10/19	30.00
15009	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Mar 2009	200,000,000	2008/09/22	2009/03/23	142.00
15010	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Mar 2009 D	200,000,000	2008/09/22	2009/03/23	50.00
15000	Credit Suisse – China Mobile European Warrants Oct 2009	200,000,000	2008/09/22	2009/10/20	30.00
15001	Credit Suisse – CNOOC European Warrants Aug 2009	200,000,000	2008/09/22	2009/08/17	30.00
14999	Credit Suisse – ICBC European Warrants Feb 2009	100,000,000	2008/09/22	2009/02/16	48.50
15002	Credit Suisse – PetroChina European Warrants Apr 2009 B	200,000,000	2008/09/22	2009/04/09	30.00
15003	Credit Suisse – PetroChina European Warrants Aug 2009 B	200,000,000	2008/09/22	2009/08/17	34.00
14970	Deutsche Bank AG – A50 China European Warrants Mar 2009 D	300,000,000	2008/09/22	2009/03/30	51.00
14996	J P Morgan SP BV – Agile Ppt European Wts Sep 2009	180,000,000	2008/09/22	2009/09/07	27.00
14997	J P Morgan SP BV – Angang Steel European Warrants Aug 2009	188,000,000	2008/09/22	2009/08/18	47.00
14998	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2009	200,000,000	2008/09/22	2009/04/06	50.00
15005	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Apr 2009	658,000,000	2008/09/22	2009/04/22	98.70
15006	KBC Fin Products Int'l Ltd. – CNOOC European Wts Sep 2009	400,000,000	2008/09/22	2009/09/25	100.00
15004	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Aug 2009	618,000,000	2008/09/22	2009/08/14	98.88

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14962	Macquarie Bank Ltd. – SHK Ppt European Warrants May 2009	200,000,000	2008/09/22	2009/05/27	170.60
14966	Macquarie Bank Ltd. – SHK Ppt European Put Warrants May 2009	200,000,000	2008/09/22	2009/05/27	180.80
14963	Macquarie Bank Ltd. – Wharf European Warrants Jun 2009	200,000,000	2008/09/22	2009/06/29	63.20
14971	CC Rabobank B.A. – BOCL European Warrants Apr 2009	100,000,000	2008/09/22	2009/04/29	55.00
14975	CC Rabobank B.A. – Ch Mobile European Put Wts Mar 2009	100,000,000	2008/09/22	2009/03/30	100.00
14973	CC Rabobank B.A. – CC Bank European Warrants Apr 2009 B	100,000,000	2008/09/22	2009/04/17	90.00
14972	CC Rabobank B.A. – Ch Comm Cons European Warrants Sep 2009	150,000,000	2008/09/22	2009/09/29	37.50
14974	CC Rabobank B.A. – ICBC European Warrants Mar 2009 B	100,000,000	2008/09/22	2009/03/30	70.00
14968	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 T	500,000,000	2008/09/22	2009/03/30	125.00
14969	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 U	500,000,000	2008/09/22	2009/03/30	125.00
13894#	Deutsche Bank AG – A50 China European Warrants Dec 2008 B	200,000,000	2008/09/22	2008/12/22	10.00
13070#	Goldman Sachs SP (Asia) – China Life European Wts Jan 2009 A	200,000,000	2008/09/22	2009/01/05	53.00
15022	ABN AMRO Bank N.V. – CC Bank European Put Warrants Jun 2009	688,000,000	2008/09/23	2009/06/29	103.20
15007	ABN AMRO Bank N.V. – China Life European Warrants Jan 2009 B	200,000,000	2008/09/23	2009/01/29	97.20
15012	ABN AMRO Bank N.V. – Sinopec Corp European Wts Apr 2009	800,000,000	2008/09/23	2009/04/20	120.00
15013	ABN AMRO Bank N.V. – HKEx European Warrants May 2009	600,000,000	2008/09/23	2009/05/29	150.00
15014	ABN AMRO Bank N.V. – HSBC European Warrants Mar 2009	800,000,000	2008/09/23	2009/03/30	200.00
15023	ABN AMRO Bank N.V. – HSBC European Put Warrants Feb 2009	688,000,000	2008/09/23	2009/02/16	103.20
15015	ABN AMRO Bank N.V. – PetroChina European Warrants Mar 2009 B	688,000,000	2008/09/23	2009/03/16	103.20
15019	Deutsche Bank AG – China Mobile European Warrants Jun 2009 D	300,000,000	2008/09/23	2009/06/22	75.00
15020	Deutsche Bank AG – A50 China European Warrants Mar 2009 E	300,000,000	2008/09/23	2009/03/30	75.00
15025	Goldman Sachs SP (Asia) – A50 China European Wts Mar 2009	200,000,000	2008/09/23	2009/03/30	50.00
15027	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2009 B	178,000,000	2008/09/23	2009/05/15	96.12
15024	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Sep 2009 A	400,000,000	2008/09/23	2009/09/15	100.00
15028	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Apr 2009	308,000,000	2008/09/23	2009/04/01	98.56
15026	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jun 2009	400,000,000	2008/09/23	2009/06/01	100.00
15029	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2009 E	400,000,000	2008/09/23	2009/03/30	100.00
15030	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Apr 2009	400,000,000	2008/09/23	2009/04/29	100.00
15032	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2009 A	400,000,000	2008/09/23	2009/04/29	100.00
15031	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2009 J	400,000,000	2008/09/23	2009/03/30	100.00
15033	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2009 B	138,000,000	2008/09/23	2009/04/01	95.22
15034	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Nov 2009	400,000,000	2008/09/23	2009/11/16	100.00
15017	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2009	388,000,000	2008/09/23	2009/06/29	97.39
15016	Macquarie Bank Ltd. – A50 China European Warrants Mar 2009 B	300,000,000	2008/09/23	2009/03/30	75.60
15018	Macquarie Bank Ltd. – HSCEI European Warrants Mar 2009	388,000,000	2008/09/23	2009/03/30	97.00
15021	UBS AG – A50 China European Warrants Apr 2009	500,000,000	2008/09/23	2009/04/06	85.00
04680#	Goldman Sachs SP (Asia) – China Life European Wts Dec 2008 A	200,000,000	2008/09/23	2008/12/08	36.40
14588#	Goldman Sachs SP (Asia) – A50 China European Wts Feb 2009 B	220,000,000	2008/09/23	2009/02/26	10.56
15068	ABN AMRO Bank N.V. – CC Bank European Warrants Mar 2009 B	108,000,000	2008/09/24	2009/03/30	76.03
15069	ABN AMRO Bank N.V. – CM Bank European Warrants Mar 2009	388,000,000	2008/09/24	2009/03/30	103.21
15067	ABN AMRO Bank N.V. – HSI European Warrants Mar 2009 E	408,000,000	2008/09/24	2009/03/30	102.00
15071	ABN AMRO Bank N.V. – HSI European Put Warrants Mar 2009 F	408,000,000	2008/09/24	2009/03/30	102.00
15070	ABN AMRO Bank N.V. – ICBC European Warrants Mar 2009 B	108,000,000	2008/09/24	2009/03/30	61.34
15057	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jun 2009 A	200,000,000	2008/09/24	2009/06/08	76.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15058	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts May 2009	200,000,000	2008/09/24	2009/05/11	94.00
15059	BNP Paribas Arbit Issu B.V. – China Life Euro Wts May 2009	200,000,000	2008/09/24	2009/05/25	68.00
15060	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Jul 2009	200,000,000	2008/09/24	2009/07/13	98.00
15061	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Apr 2009 B	150,000,000	2008/09/24	2009/04/24	63.00
15049	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2009	200,000,000	2008/09/24	2009/04/29	50.00
15063	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2009	200,000,000	2008/09/24	2009/04/29	50.00
15062	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2009 C	200,000,000	2008/09/24	2009/05/11	82.00
15042	Deutsche Bank AG – China Mobile European Put Wts Oct 2009	200,000,000	2008/09/24	2009/10/19	50.00
15040	Deutsche Bank AG – CC Bank European Warrants Apr 2009	300,000,000	2008/09/24	2009/04/06	225.00
15041	Deutsche Bank AG – CC Bank European Put Warrants Mar 2009	300,000,000	2008/09/24	2009/03/30	222.00
15043	Deutsche Bank AG – CNOOC European Warrants Jul 2009	300,000,000	2008/09/24	2009/07/27	51.00
15044	Deutsche Bank AG – A50 China European Put Wts Mar 2009 F	200,000,000	2008/09/24	2009/03/30	50.00
15050	Deutsche Bank AG – HSI European Warrants Mar 2009 E	300,000,000	2008/09/24	2009/03/30	75.00
15051	Deutsche Bank AG – HSI European Warrants Mar 2009 F	300,000,000	2008/09/24	2009/03/30	75.00
15054	Deutsche Bank AG – HSI European Put Warrants Mar 2009 G	300,000,000	2008/09/24	2009/03/30	75.00
15055	Deutsche Bank AG – HSI European Put Warrants Mar 2009 H	300,000,000	2008/09/24	2009/03/30	75.00
15064	Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2009	200,000,000	2008/09/24	2009/10/29	50.00
15065	Goldman Sachs SP (Asia) – China Shenhua Euro Wts May 2009 B	180,000,000	2008/09/24	2009/05/27	50.40
15045	HK Bank – Ch Comm Cons European Warrants Jun 2010	280,000,000	2008/09/24	2010/06/25	70.00
15048	J P Morgan SP BV – Esprit European Wts Dec 2009	380,000,000	2008/09/24	2009/12/22	60.80
15053	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Apr 2009 B	400,000,000	2008/09/24	2009/04/15	100.00
15056	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2009 F	400,000,000	2008/09/24	2009/03/30	100.00
15052	Macquarie Bank Ltd. – BankComm European Put Wts Jul 2009	200,000,000	2008/09/24	2009/07/30	50.00
15039	Macquarie Bank Ltd. – CITIC Bank European Warrants May 2009	100,000,000	2008/09/24	2009/05/27	43.90
15035	Macquarie Bank Ltd. – Cheung Kong European Warrants Dec 2009	300,000,000	2008/09/24	2009/12/30	75.00
15036	Macquarie Bank Ltd. – China Railway European Wts Mar 2009 B	200,000,000	2008/09/24	2009/03/30	109.00
15038	Macquarie Bank Ltd. – HKEx European Put Warrants Dec 2009	300,000,000	2008/09/24	2009/12/30	75.00
15037	Macquarie Bank Ltd. – New World Dev European Wts Dec 2009	300,000,000	2008/09/24	2009/12/30	75.00
15046	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2009	100,000,000	2008/09/24	2009/03/30	40.50
15047	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2009	800,000,000	2008/09/24	2009/04/22	120.00
15073	BOCI Asia Ltd. – HSI European Warrants Apr 2009	300,000,000	2008/09/25	2009/04/29	75.00
15077	BOCI Asia Ltd. – HSI European Put Warrants Apr 2009	300,000,000	2008/09/25	2009/04/29	75.00
15087	Deutsche Bank AG – Cheung Kong European Put Wts Jul 2009	300,000,000	2008/09/25	2009/07/22	75.00
15088	Deutsche Bank AG – China Life European Warrants Mar 2009 E	300,000,000	2008/09/25	2009/03/30	150.00
15092	Deutsche Bank AG – A50 China European Put Wts Jun 2009	300,000,000	2008/09/25	2009/06/15	75.00
15089	Deutsche Bank AG – HKEx European Warrants Jul 2009	300,000,000	2008/09/25	2009/07/27	60.00
15090	Deutsche Bank AG – HKEx European Put Warrants Oct 2009	300,000,000	2008/09/25	2009/10/19	75.00
15109	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2009	150,000,000	2008/09/25	2009/03/30	54.00
15105	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2009 B	100,000,000	2008/09/25	2009/03/30	93.00
15106	Goldman Sachs SP (Asia) – CC Bank European Wts Apr 2009 B	100,000,000	2008/09/25	2009/04/29	66.00
15107	Goldman Sachs SP (Asia) – CM Bank European Wts Jun 2009	150,000,000	2008/09/25	2009/06/29	52.50
15108	Goldman Sachs SP (Asia) – CM Bank European Wts Jul 2009	200,000,000	2008/09/25	2009/07/30	58.00
15104	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2009	300,000,000	2008/09/25	2009/03/30	75.00
15086	Goldman Sachs SP (Asia) – PetroChina European Wts Dec 2009	200,000,000	2008/09/25	2009/12/30	50.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15110	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Sep 2009 B	400,000,000	2008/09/25	2009/09/21	100.00
15100	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Mar 2009 G	400,000,000	2008/09/25	2009/03/30	100.00
15094	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2009 K	400,000,000	2008/09/25	2009/03/30	100.00
15096	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2009 L	400,000,000	2008/09/25	2009/03/30	100.00
15098	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2009 B	400,000,000	2008/09/25	2009/04/29	100.00
15111	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt May 2009	400,000,000	2008/09/25	2009/05/15	100.00
15072	Macquarie Bank Ltd. – Cheung Kong European Put Wts Dec 2009	300,000,000	2008/09/25	2009/12/30	75.30
15075	Macquarie Bank Ltd. – A50 China European Warrants Apr 2009	100,000,000	2008/09/25	2009/04/29	25.10
15066	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Apr 2009	250,000,000	2008/09/25	2009/04/29	63.25
15103	Macquarie Bank Ltd. – HSCEI European Put Warrants Mar 2009 B	288,000,000	2008/09/25	2009/03/30	72.29
15101	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2009 B	388,000,000	2008/09/25	2009/03/30	97.78
15102	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2009 C	388,000,000	2008/09/25	2009/03/30	97.39
15074	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul 2009	400,000,000	2008/09/25	2009/07/20	60.00
15091	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 V	500,000,000	2008/09/25	2009/03/30	125.00
15093	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2009 W	500,000,000	2008/09/25	2009/03/30	125.00
15095	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09X	500,000,000	2008/09/25	2009/03/30	125.00
15097	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09Y	500,000,000	2008/09/25	2009/03/30	125.00
15099	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 09Z	500,000,000	2008/09/25	2009/03/30	125.00
15076	UBS AG – BOCL European Warrants Apr 2009	500,000,000	2008/09/25	2009/04/20	210.00
15080	UBS AG – China Tel European Warrants Mar 2009	500,000,000	2008/09/25	2009/03/30	210.00
15078	UBS AG – CC Bank European Warrants Dec 2009	300,000,000	2008/09/25	2009/12/11	45.00
15079	UBS AG – CNOOC European Warrants Oct 2009	500,000,000	2008/09/25	2009/10/19	125.00
15085	UBS AG – A50 China European Warrants Aug 2009	500,000,000	2008/09/25	2009/08/31	125.00
15081	UBS AG – HKEx European Warrants Jul 2009	300,000,000	2008/09/25	2009/07/22	45.00
15082	UBS AG – HKEx European Put Warrants Nov 2009	500,000,000	2008/09/25	2009/11/30	125.00
15083	UBS AG – ICBC European Warrants Apr 2009	500,000,000	2008/09/25	2009/04/06	190.00
15084	UBS AG – Jiangxi Copper European Warrants Jul 2009 B	500,000,000	2008/09/25	2009/07/20	125.00
15122	BOCI Asia Ltd. – BankComm European Warrants Aug 2009	200,000,000	2008/09/26	2009/08/21	30.00
15123	BOCI Asia Ltd. – CM Bank European Warrants Mar 2009	300,000,000	2008/09/26	2009/03/30	105.00
15112	BOCI Asia Ltd. – HSBC European Warrants Jul 2009	200,000,000	2008/09/26	2009/07/20	30.00
15113	BOCI Asia Ltd. – HSBC European Put Warrants Apr 2009	200,000,000	2008/09/26	2009/04/01	30.00
15124	BOCI Asia Ltd. – ICBC European Warrants Feb 2009	200,000,000	2008/09/26	2009/02/02	60.00
15131	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jun 2009 B	200,000,000	2008/09/26	2009/06/08	54.00
15133	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2009 B	200,000,000	2008/09/26	2009/08/17	64.00
15134	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Apr 2009	200,000,000	2008/09/26	2009/04/24	30.00
15135	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2009 B	200,000,000	2008/09/26	2009/08/25	34.00
15128	Deutsche Bank AG – HSCEI European Warrants Apr 2009	300,000,000	2008/09/26	2009/04/29	75.00
15132	Deutsche Bank AG – HSCEI European Put Warrants Apr 2009	300,000,000	2008/09/26	2009/04/29	75.00
15130	Deutsche Bank AG – ICBC European Warrants Apr 2009	300,000,000	2008/09/26	2009/04/20	216.00
15142	Goldman Sachs SP (Asia) – BOCL European Put Wts May 2009	100,000,000	2008/09/26	2009/05/27	50.00
15143	Goldman Sachs SP (Asia) – CM Bank European Put Wts Jun 2009	100,000,000	2008/09/26	2009/06/29	48.00
15141	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Apr 2009	400,000,000	2008/09/26	2009/04/01	100.00
15136	CC Rabobank B.A. – Ch Mobile European Warrants Oct 2009	150,000,000	2008/09/26	2009/10/29	37.50
15137	CC Rabobank B.A. – Ch Railway Cons European Wts Sep 2009	150,000,000	2008/09/26	2009/09/30	37.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15139	CC Rabobank B.A. – A50 China European Warrants Mar 2009	180,000,000	2008/09/26	2009/03/30	45.00
15140	CC Rabobank B.A. – A50 China European Put Warrants Apr 2009	180,000,000	2008/09/26	2009/04/29	45.00
15138	CC Rabobank B.A. – HKEx European Put Warrants Jul 2009	180,000,000	2008/09/26	2009/07/30	45.00
15114	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 A	500,000,000	2008/09/26	2009/04/29	125.00
15115	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 B	500,000,000	2008/09/26	2009/04/29	125.00
15116	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 C	500,000,000	2008/09/26	2009/04/29	125.00
15117	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 D	500,000,000	2008/09/26	2009/04/29	125.00
15118	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr09E	500,000,000	2008/09/26	2009/04/29	125.00
15119	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr09F	500,000,000	2008/09/26	2009/04/29	125.00
15120	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr09G	500,000,000	2008/09/26	2009/04/29	125.00
15121	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr09H	500,000,000	2008/09/26	2009/04/29	125.00
15129	UBS AG – CM Bank European Put Warrants Apr 2009	500,000,000	2008/09/26	2009/04/20	125.00
15125	UBS AG – CM Bank European Warrants Apr 2009 B	500,000,000	2008/09/26	2009/04/20	125.00
15126	UBS AG – China Mobile European Warrants Jul 2009 B	500,000,000	2008/09/26	2009/07/20	125.00
15127	UBS AG – China Mobile European Warrants Oct 2009 B	500,000,000	2008/09/26	2009/10/27	125.00
15144	Citigroup Global Mkt H Inc. – BOCL European Wts Apr 2009 B	160,000,000	2008/09/29	2009/04/21	108.80
15145	Citigroup Global Mkt H Inc. – China Mobile Euro Wts Oct 2009A	250,000,000	2008/09/29	2009/10/19	47.50
15146	Citigroup Global Mkt H Inc. – China Mobile Euro Wts Oct 2009B	250,000,000	2008/09/29	2009/10/27	37.50
15149	Citigroup Global Mkt H Inc. – CNOOC European Wts Aug 2009	300,000,000	2008/09/29	2009/08/17	75.00
15148	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Mar 2009	330,000,000	2008/09/29	2009/03/23	49.50
15150	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2009	200,000,000	2008/09/29	2009/06/08	98.00
15151	Citigroup Global Mkt H Inc. – ICBC European Wts Apr 2009	160,000,000	2008/09/29	2009/04/21	102.40
15152	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Apr 2009 B	300,000,000	2008/09/29	2009/04/06	45.00
15153	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Nov 2009 B	300,000,000	2008/09/29	2009/11/23	75.00
15161	J P Morgan SP BV – BEA European Warrants Jun 2009	150,000,000	2008/09/29	2009/06/01	44.25
15154	J P Morgan SP BV – BOC HK European Warrants Dec 2009	330,000,000	2008/09/29	2009/12/28	85.14
15156	J P Morgan SP BV – Ch Merchants European Warrants Apr 2009	150,000,000	2008/09/29	2009/04/03	55.95
15155	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2009	300,000,000	2008/09/29	2009/12/28	80.70
15157	J P Morgan SP BV – China Shenhua European Warrants Mar 2009	200,000,000	2008/09/29	2009/03/30	58.60
15158	J P Morgan SP BV – Ch Ship Dev European Warrants Apr 2009	188,000,000	2008/09/29	2009/04/30	49.26
15159	J P Morgan SP BV – MTRC European Warrants Oct 2009	100,000,000	2008/09/29	2009/10/12	25.60
15160	J P Morgan SP BV – Sh Electric European Warrants Apr 2009	100,000,000	2008/09/29	2009/04/03	33.60
15162	J P Morgan SP BV – Wharf European Warrants May 2009	80,000,000	2008/09/29	2009/05/19	25.36
15147	Citigroup Global Mkt H Inc. – Ch Mobile Eur Put Wts Sep 2009	300,000,000	2008/09/29	2009/09/30	75.00
15163	ABN AMRO Bank N.V. – China Mobile European Wts Sep 2009	688,000,000	2008/09/30	2009/09/29	172.00
15164	ABN AMRO Bank N.V. – PetroChina European Warrants Aug 2009	688,000,000	2008/09/30	2009/08/03	110.77
15167	HK Bank – HSCEI European Warrants Jun 2009 D	280,000,000	2008/09/30	2009/06/29	70.00
15168	HK Bank – HSCEI European Warrants Jun 2009 E	280,000,000	2008/09/30	2009/06/29	70.00
15169	HK Bank – HSI European Warrants Jun 2009 G	280,000,000	2008/09/30	2009/06/29	70.00
15170	HK Bank – HSI European Warrants Jun 2009 H	280,000,000	2008/09/30	2009/06/29	70.00
15171	HK Bank – HSI European Warrants Jun 2009 I	280,000,000	2008/09/30	2009/06/29	70.00
15177	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2009	400,000,000	2008/09/30	2009/04/29	100.00
15165	Macquarie Bank Ltd. – CC Bank European Warrants Mar 2009 B	100,000,000	2008/09/30	2009/03/30	53.80
15166	Macquarie Bank Ltd. – ICBC European Warrants Mar 2009	100,000,000	2008/09/30	2009/03/30	59.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15172	HK Bank – HSCEI European Put Warrants Jun 2009 G	280,000,000	2008/09/30	2009/06/29	70.00
15173	HK Bank – HSCEI European Put Warrants Jun 2009 H	280,000,000	2008/09/30	2009/06/29	70.00
15174	HK Bank – HSI European Put Warrants Jun 2009 J	280,000,000	2008/09/30	2009/06/29	70.00
15175	HK Bank – HSI European Put Warrants Jun 2009 K	280,000,000	2008/09/30	2009/06/29	70.00
15176	HK Bank – HSI European Put Warrants Jun 2009 L	280,000,000	2008/09/30	2009/06/29	70.00
總額					107,224.10

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2007	第3季	—	1.28
	第4季	—	4.43
2008	第1季	—	2.43
	第2季	—	1.75
	第3季	0.26	1.51

債券面值

		發行數目	面值(百萬港元)
2007	第3季	175	419,591.14
	第4季	175	427,813.24
2008	第1季	174	424,656.34
	第2季	175	435,938.30
	第3季	174	440,409.79

新上市統計 — 債券

		新上市債券數目	集資金額(百萬港元)
2007	第3季	6	13,185.89
	第4季	7	13,989.61
2008	第1季	4	8,355.96
	第2季	7	16,607.66
	第3季	5	11,822.87

主 板 債 務 證 券

新上市債券

2008 年 第 3 季

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
04303	HKCG (Finance) Ltd. 6.25 厘 有擔保票據2018年	USD 1,000,000,000	2008/08/08	2018/08/07	7,746.88
04180	香港金融管理局2.36%外匯 基金票據2010年	HKD 1,200,000,000	2008/08/15	2010/08/16	1,203.12
04181	香港金融管理局3.27%外匯 基金票據2023年	HKD 600,000,000	2008/08/28	2023/08/28	613.92
04304	HBAP 7.9% 越南國庫信貸 掛鈎票據2011年	USD 3,044,857	2008/09/09	2011/01/15	23.87
04156*	香港金融管理局3.97%外匯 基金票據2011年	HKD 1,200,000,000	2008/09/25	2011/09/19	1,247.88
04182	香港金融管理局2.54%外匯 基金票據2013年	HKD 1,000,000,000	2008/09/26	2013/09/25	987.20
總額					11,822.87

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬元)	房地產投資 信託基金 (REITs) (百萬元)	其他 (百萬元)	合計 (百萬元)	佔市場 百分比 (百萬元)
2007	第3季	44,168.17	14,031.46	10,132.74	68,332.38	1.12
	第4季	49,930.36	13,436.81	2,388.41	65,755.59	0.79
2008	第1季	44,683.48	18,698.54	638.57	64,020.59	1.08
	第2季	147,996.81	10,119.33	775.06	158,891.20	3.44
	第3季	128,330.01	12,253.56	214.24	140,797.82	3.58

只包括港元之成交金額。

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬元)		房地產投資 信託基金 (REIT) (百萬元)		其他 (百萬元)		合計 (百萬元)	
		發行數目		發行數目		發行數目		發行數目	
2007	第3季	17	99,014.35	7	67,040.15	2	5,028.22	26	171,082.73
	第4季	17	105,623.36	7	66,089.08	2	4,098.45	26	175,810.89
2008	第1季	17	94,528.75	7	64,001.26	2	2,781.89	26	161,311.89
	第2季	23	109,069.79	7	63,006.95	2	2,912.06	32	174,988.80
	第3季	24	250,445.02	7	60,646.67	2	1,803.76	33	312,895.46

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額(百萬元)
2007	第3季	2	3,719.10
	第4季	—	—
2008	第1季	—	—
	第2季	6	—
	第3季	1	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2008年第3季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
02840	SPDR金ETF	n.a.	n.a.	n.a.	2008/07/31

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2007	第3季	62	8,911.10	15,694.50
	第4季	131	20,406.20	45,417.33
2008	第1季	214	52,370.20	83,719.44
	第2季	453	86,945.83	176,028.32
	第3季	759	130,618.61	396,439.23

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2007	第3季	111	7,632.40
	第4季	206	20,480.60
2008	第1季	323	45,053.80
	第2季	630	77,040.15
	第3季	1,343	135,720.58

主板 可收回牛熊證

新上市牛熊證

2008 年第 3 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30425	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Jan 2009 E	400,000,000	2008/07/02	2009/01/29	100.00
30414	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov08P	500,000,000	2008/07/02	2008/11/27	140.00
30415	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov08Q	500,000,000	2008/07/02	2008/11/27	140.00
30418	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08R	500,000,000	2008/07/02	2008/11/27	125.00
30419	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08S	500,000,000	2008/07/02	2008/11/27	125.00
30420	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08T	500,000,000	2008/07/02	2008/11/27	125.00
30421	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08U	500,000,000	2008/07/02	2008/11/27	125.00
30422	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08V	500,000,000	2008/07/02	2008/11/27	125.00
30417	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec08J	500,000,000	2008/07/02	2008/12/30	125.00
30424	UBS AG - HKEx R Bull CBBC Mar 2009 A	200,000,000	2008/07/02	2009/03/23	64.00
30423	UBS AG - HSI R Bull CBBC Mar 2009 Q	500,000,000	2008/07/02	2009/03/30	125.00
30431	ABN AMRO Bank N.V. - HSI R Bear CBBC Nov 2008 P	200,000,000	2008/07/03	2008/11/27	56.60
30430	ABN AMRO Bank N.V. - HSI R Bear CBBC Nov 2008 R	200,000,000	2008/07/03	2008/11/27	50.00
30426	Deutsche Bank AG - HSCEI R Bear CBBC Jan 2009 A	300,000,000	2008/07/03	2009/01/29	96.00
30427	Deutsche Bank AG - HSCEI R Bear CBBC Jan 2009 B	300,000,000	2008/07/03	2009/01/29	123.00
30428	UBS AG - HSCEI R Bull CBBC Mar 2009 A	500,000,000	2008/07/03	2009/03/30	125.00
30429	UBS AG - HSI R Bull CBBC Mar 2009 R	500,000,000	2008/07/03	2009/03/30	125.00
30440	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2009 D	200,000,000	2008/07/04	2009/01/29	50.00
30441	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 L	400,000,000	2008/07/04	2009/03/30	100.00
30439	UBS AG - HSCEI R Bull CBBC Mar 2009 B	500,000,000	2008/07/04	2009/03/30	125.00
30432	UBS AG - HSI R Bull CBBC Mar 2009 S	500,000,000	2008/07/04	2009/03/30	125.00
30433	UBS AG - HSI R Bull CBBC Mar 2009 T	500,000,000	2008/07/04	2009/03/30	125.00
30434	UBS AG - HSI R Bull CBBC Mar 2009 U	500,000,000	2008/07/04	2009/03/30	125.00
30435	UBS AG - HSI R Bull CBBC Mar 2009 V	500,000,000	2008/07/04	2009/03/30	125.00
30436	UBS AG - HSI R Bear CBBC Mar 2009 X	500,000,000	2008/07/04	2009/03/30	125.00
30437	UBS AG - HSI R Bear CBBC Apr 2009 A	500,000,000	2008/07/04	2009/04/29	140.00
30438	UBS AG - HSI R Bear CBBC Apr 2009 B	500,000,000	2008/07/04	2009/04/29	125.00
30442	ABN AMRO Bank N.V. - HSI R Bull CBBC Nov 2008 Z	200,000,000	2008/07/07	2008/11/27	50.40
30472	ABN AMRO Bank N.V. - HSI R Bear CBBC Feb 2009 N	200,000,000	2008/07/07	2009/02/26	50.00
30473	ABN AMRO Bank N.V. - HSI R Bear CBBC Feb 2009 O	200,000,000	2008/07/07	2009/02/26	51.40
30444	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 M	400,000,000	2008/07/07	2009/03/30	110.00
30448	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 N	400,000,000	2008/07/07	2009/03/30	100.00
30449	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 O	400,000,000	2008/07/07	2009/03/30	100.00
30445	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2009 C	200,000,000	2008/07/07	2009/02/26	50.00
30446	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2009 D	200,000,000	2008/07/07	2009/02/26	50.00
30447	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2009 E	200,000,000	2008/07/07	2009/02/26	50.00
30443	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Dec 2008 L	400,000,000	2008/07/07	2008/12/30	100.00
30450	UBS AG - BankComm R Bull CBBC Mar 2009 A	200,000,000	2008/07/07	2009/03/16	50.00
30451	UBS AG - China Mobile R Bull CBBC Mar 2009 C	200,000,000	2008/07/07	2009/03/16	52.00
30455	UBS AG - China Mobile R Bear CBBC Mar 2009 D	200,000,000	2008/07/07	2009/03/16	50.00
30452	UBS AG - China Railway R Bull CBBC Mar 2009 A	200,000,000	2008/07/07	2009/03/16	50.00
30468	UBS AG - HSCEI R Bull CBBC Apr 2009 A	500,000,000	2008/07/07	2009/04/29	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30469	UBS AG - HSCEI R Bull CBBC Apr 2009 B	500,000,000	2008/07/07	2009/04/29	125.00
30470	UBS AG - HSCEI R Bull CBBC Apr 2009 C	500,000,000	2008/07/07	2009/04/29	125.00
30471	UBS AG - HSCEI R Bull CBBC Apr 2009 D	500,000,000	2008/07/07	2009/04/29	125.00
30456	UBS AG - HSI R Bull CBBC Jan 2009 F	500,000,000	2008/07/07	2009/01/29	150.00
30457	UBS AG - HSI R Bull CBBC Jan 2009 I	500,000,000	2008/07/07	2009/01/29	127.50
30458	UBS AG - HSI R Bull CBBC Jan 2009 J	500,000,000	2008/07/07	2009/01/29	125.00
30459	UBS AG - HSI R Bull CBBC Jan 2009 K	500,000,000	2008/07/07	2009/01/29	125.00
30460	UBS AG - HSI R Bull CBBC Jan 2009 N	500,000,000	2008/07/07	2009/01/29	125.00
30461	UBS AG - HSI R Bull CBBC Jan 2009 O	500,000,000	2008/07/07	2009/01/29	125.00
30462	UBS AG - HSI R Bull CBBC Jan 2009 Q	500,000,000	2008/07/07	2009/01/29	125.00
30463	UBS AG - HSI R Bull CBBC Jan 2009 R	500,000,000	2008/07/07	2009/01/29	125.00
30464	UBS AG - HSI R Bear CBBC Jan 2009 U	500,000,000	2008/07/07	2009/01/29	125.00
30465	UBS AG - HSI R Bear CBBC Feb 2009 G	500,000,000	2008/07/07	2009/02/26	150.00
30466	UBS AG - HSI R Bear CBBC Feb 2009 J	500,000,000	2008/07/07	2009/02/26	125.00
30467	UBS AG - HSI R Bear CBBC Feb 2009 R	500,000,000	2008/07/07	2009/02/26	125.00
30453	UBS AG - SHK Ppt R Bull CBBC Mar 2009 A	200,000,000	2008/07/07	2009/03/16	50.00
30454	UBS AG - Zijin Mining R Bull CBBC Mar 2009 A	200,000,000	2008/07/07	2009/03/16	50.00
03113 #	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Nov 2008 B	900,000,000	2008/07/07	2008/11/27	94.50
30480	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2009 F	200,000,000	2008/07/08	2009/02/26	50.00
30484	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2009 G	200,000,000	2008/07/08	2009/02/26	50.00
30485	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2009 H	200,000,000	2008/07/08	2009/02/26	50.00
30474	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov08W	500,000,000	2008/07/08	2008/11/27	130.00
30475	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov08X	500,000,000	2008/07/08	2008/11/27	125.00
30478	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08Y	500,000,000	2008/07/08	2008/11/27	125.00
30477	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec08G	500,000,000	2008/07/08	2008/12/30	125.00
30476	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec08H	500,000,000	2008/07/08	2008/12/30	125.00
30479	UBS AG - BOCL R Bull CBBC Mar 2009 A	200,000,000	2008/07/08	2009/03/16	116.00
30500	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Jan 2009 A	200,000,000	2008/07/09	2009/01/29	50.00
30501	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Jan 2009 B	200,000,000	2008/07/09	2009/01/29	76.00
30490	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 C	200,000,000	2008/07/09	2009/01/29	50.00
30491	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2009 E	200,000,000	2008/07/09	2009/02/26	50.00
30495	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2009 F	200,000,000	2008/07/09	2009/02/26	50.00
30494	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 P	400,000,000	2008/07/09	2009/03/30	100.00
30492	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2009 I	200,000,000	2008/07/09	2009/02/26	50.00
30493	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2009 J	200,000,000	2008/07/09	2009/02/26	50.00
30497	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2009 K	200,000,000	2008/07/09	2009/02/26	50.00
30496	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Feb 2009 A	400,000,000	2008/07/09	2009/02/26	100.00
30498	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Mar 2009 B	260,000,000	2008/07/09	2009/03/30	98.80
30482	UBS AG - China Mobile R Bull CBBC Mar 2009 E	200,000,000	2008/07/09	2009/03/16	50.00
30481	UBS AG - China Life R Bull CBBC Mar 2009 A	200,000,000	2008/07/09	2009/03/16	70.00
30483	UBS AG - HKEx R Bull CBBC Mar 2009 B	200,000,000	2008/07/09	2009/03/16	50.00
30486	UBS AG - HSBC R Bull CBBC Mar 2009 A	200,000,000	2008/07/09	2009/03/16	50.00
30502	UBS AG - HSCEI R Bull CBBC Feb 2009 O	500,000,000	2008/07/09	2009/02/26	125.00
30506	UBS AG - HSCEI R Bear CBBC Feb 2009 P	500,000,000	2008/07/09	2009/02/26	125.00
30507	UBS AG - HSCEI R Bear CBBC Feb 2009 Q	500,000,000	2008/07/09	2009/02/26	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30508	UBS AG - HSCEI R Bear CBBC Feb 2009 R	500,000,000	2008/07/09	2009/02/26	125.00
30509	UBS AG - HSCEI R Bear CBBC Feb 2009 S	500,000,000	2008/07/09	2009/02/26	125.00
30503	UBS AG - HSI R Bull CBBC Apr 2009 C	500,000,000	2008/07/09	2009/04/29	125.00
30510	UBS AG - HSI R Bear CBBC Apr 2009 D	500,000,000	2008/07/09	2009/04/29	125.00
30511	UBS AG - HSI R Bear CBBC Apr 2009 E	500,000,000	2008/07/09	2009/04/29	125.00
30512	UBS AG - HSI R Bear CBBC Apr 2009 F	500,000,000	2008/07/09	2009/04/29	125.00
30513	UBS AG - HSI R Bear CBBC Apr 2009 G	500,000,000	2008/07/09	2009/04/29	125.00
30514	UBS AG - HSI R Bear CBBC Apr 2009 H	500,000,000	2008/07/09	2009/04/29	125.00
30499	UBS AG - HSI R Bear CBBC Apr 2009 I	500,000,000	2008/07/09	2009/04/29	125.00
30487	UBS AG - ICBC R Bull CBBC Mar 2009 A	200,000,000	2008/07/09	2009/03/16	160.00
30488	UBS AG - Jiangxi Copper R Bull CBBC Mar 2009 A	200,000,000	2008/07/09	2009/03/16	50.00
30489	UBS AG - PICC R Bull CBBC Mar 2009 A	200,000,000	2008/07/09	2009/03/16	170.00
30540	Deutsche Bank AG - HSI R Bull CBBC Jan 2009 M	400,000,000	2008/07/10	2009/01/29	116.00
30541	Deutsche Bank AG - HSI R Bull CBBC Jan 2009 N	400,000,000	2008/07/10	2009/01/29	108.00
30542	Deutsche Bank AG - HSI R Bull CBBC Feb 2009 F	400,000,000	2008/07/10	2009/02/26	100.00
30543	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 Q	400,000,000	2008/07/10	2009/03/30	100.00
30548	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2009 A	200,000,000	2008/07/10	2009/03/30	50.00
30552	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2009 B	200,000,000	2008/07/10	2009/03/30	50.00
30547	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Feb 2009 B	400,000,000	2008/07/10	2009/02/26	100.00
30544	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2009 G	268,000,000	2008/07/10	2009/01/29	99.16
30545	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 C	400,000,000	2008/07/10	2009/02/26	100.00
30546	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 D	400,000,000	2008/07/10	2009/02/26	100.00
30524	UBS AG - China Mobile R Bull CBBC Mar 2009 F	300,000,000	2008/07/10	2009/03/16	75.00
30525	UBS AG - China Mobile R Bear CBBC Mar 2009 G	300,000,000	2008/07/10	2009/03/16	75.00
30526	UBS AG - China Mobile R Bear CBBC Mar 2009 H	300,000,000	2008/07/10	2009/03/16	75.00
30527	UBS AG - China Mobile R Bear CBBC Mar 2009 I	300,000,000	2008/07/10	2009/03/16	75.00
30538	UBS AG - CC Bank R Bull CBBC Feb 2009 A	200,000,000	2008/07/10	2009/02/23	50.00
30517	UBS AG - China Life R Bull CBBC Mar 2009 B	300,000,000	2008/07/10	2009/03/16	153.00
30518	UBS AG - China Life R Bull CBBC Mar 2009 C	300,000,000	2008/07/10	2009/03/16	123.00
30519	UBS AG - China Life R Bull CBBC Mar 2009 D	300,000,000	2008/07/10	2009/03/16	93.00
30520	UBS AG - China Life R Bear CBBC Mar 2009 E	300,000,000	2008/07/10	2009/03/16	123.00
30521	UBS AG - China Life R Bear CBBC Mar 2009 F	300,000,000	2008/07/10	2009/03/16	153.00
30522	UBS AG - China Life R Bear CBBC Mar 2009 G	300,000,000	2008/07/10	2009/03/16	183.00
30534	UBS AG - Sinopec Corp R Bull CBBC Mar 2009 A	200,000,000	2008/07/10	2009/03/16	50.00
30535	UBS AG - China Shenhua R Bull CBBC Feb 2009 C	200,000,000	2008/07/10	2009/02/23	166.00
30536	UBS AG - China Shenhua R Bull CBBC Feb 2009 D	200,000,000	2008/07/10	2009/02/23	106.00
30504	UBS AG - HSCEI R Bull CBBC Feb 2009 T	500,000,000	2008/07/10	2009/02/26	125.00
30515	UBS AG - HSCEI R Bear CBBC Feb 2009 U	500,000,000	2008/07/10	2009/02/26	125.00
30505	UBS AG - HSI R Bull CBBC Apr 2009 J	500,000,000	2008/07/10	2009/04/29	125.00
30523	UBS AG - HSI R Bull CBBC Apr 2009 L	500,000,000	2008/07/10	2009/04/29	125.00
30516	UBS AG - HSI R Bear CBBC Apr 2009 K	500,000,000	2008/07/10	2009/04/29	125.00
30537	UBS AG - HSI R Bear CBBC Apr 2009 M	500,000,000	2008/07/10	2009/04/29	125.00
30528	UBS AG - Petrochina R Bull CBBC Mar 2009 A	300,000,000	2008/07/10	2009/03/16	75.00
30529	UBS AG - Petrochina R Bull CBBC Mar 2009 B	300,000,000	2008/07/10	2009/03/16	75.00
30530	UBS AG - Petrochina R Bull CBBC Mar 2009 C	300,000,000	2008/07/10	2009/03/16	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30531	UBS AG - Petrochina R Bear CBBC Mar 2009 D	300,000,000	2008/07/10	2009/03/16	75.00
30532	UBS AG - Petrochina R Bear CBBC Mar 2009 E	300,000,000	2008/07/10	2009/03/16	75.00
30533	UBS AG - Petrochina R Bear CBBC Mar 2009 F	300,000,000	2008/07/10	2009/03/16	75.00
30539	UBS AG - Ping An R Bull CBBC Feb 2009 A	200,000,000	2008/07/10	2009/02/23	50.00
30578	ABN AMRO Bank N.V. - HSI R Bull CBBC Feb 2009 P	200,000,000	2008/07/11	2009/02/26	50.00
30549	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 D	200,000,000	2008/07/11	2009/01/29	50.00
30550	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 E	200,000,000	2008/07/11	2009/01/29	52.20
30588	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2009 C	200,000,000	2008/07/11	2009/03/30	50.00
30592	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2009 D	200,000,000	2008/07/11	2009/03/30	50.00
30579	CC Rabobank B.A. - HSCEI R Bull CBBC Jan 2009 E	150,000,000	2008/07/11	2009/01/29	37.50
30587	CC Rabobank B.A. - HSCEI R Bear CBBC Jan 2009 F	150,000,000	2008/07/11	2009/01/29	37.50
30580	CC Rabobank B.A. - HSI R Bull CBBC Jan 2009 H	150,000,000	2008/07/11	2009/01/29	45.00
30581	CC Rabobank B.A. - HSI R Bull CBBC Jan 2009 I	150,000,000	2008/07/11	2009/01/29	41.25
30582	CC Rabobank B.A. - HSI R Bull CBBC Jan 2009 J	150,000,000	2008/07/11	2009/01/29	37.50
30583	CC Rabobank B.A. - HSI R Bull CBBC Jan 2009 K	150,000,000	2008/07/11	2009/01/29	37.50
30584	CC Rabobank B.A. - HSI R Bear CBBC Jan 2009 L	150,000,000	2008/07/11	2009/01/29	37.50
30585	CC Rabobank B.A. - HSI R Bear CBBC Jan 2009 M	150,000,000	2008/07/11	2009/01/29	37.50
30586	CC Rabobank B.A. - HSI R Bear CBBC Jan 2009 N	150,000,000	2008/07/11	2009/01/29	37.50
30553	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov08D	500,000,000	2008/07/11	2008/11/27	125.00
30554	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov08G	500,000,000	2008/07/11	2008/11/27	125.00
30551	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov08Z	500,000,000	2008/07/11	2008/11/27	125.00
30556	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08C	500,000,000	2008/07/11	2008/11/27	125.00
30555	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov08H	500,000,000	2008/07/11	2008/11/27	125.00
30557	UBS AG - HSCEI R Bull CBBC Feb 2009 V	500,000,000	2008/07/11	2009/02/26	135.00
30558	UBS AG - HSCEI R Bull CBBC Feb 2009 W	500,000,000	2008/07/11	2009/02/26	125.00
30559	UBS AG - HSCEI R Bull CBBC Feb 2009 X	500,000,000	2008/07/11	2009/02/26	125.00
30560	UBS AG - HSCEI R Bear CBBC Feb 2009 Y	500,000,000	2008/07/11	2009/02/26	125.00
30561	UBS AG - HSCEI R Bear CBBC Feb 2009 Z	500,000,000	2008/07/11	2009/02/26	135.00
30562	UBS AG - HSI R Bull CBBC Mar 2009 K	500,000,000	2008/07/11	2009/03/30	125.00
30563	UBS AG - HSI R Bull CBBC Mar 2009 Q	500,000,000	2008/07/11	2009/03/30	125.00
30565	UBS AG - HSI R Bull CBBC Apr 2009 N	500,000,000	2008/07/11	2009/04/29	125.00
30566	UBS AG - HSI R Bull CBBC Apr 2009 O	500,000,000	2008/07/11	2009/04/29	140.00
30567	UBS AG - HSI R Bull CBBC Apr 2009 P	500,000,000	2008/07/11	2009/04/29	125.00
30568	UBS AG - HSI R Bull CBBC Apr 2009 Q	500,000,000	2008/07/11	2009/04/29	125.00
30569	UBS AG - HSI R Bull CBBC Apr 2009 R	500,000,000	2008/07/11	2009/04/29	125.00
30570	UBS AG - HSI R Bull CBBC Apr 2009 S	500,000,000	2008/07/11	2009/04/29	125.00
30571	UBS AG - HSI R Bull CBBC Apr 2009 T	500,000,000	2008/07/11	2009/04/29	230.00
30572	UBS AG - HSI R Bull CBBC Apr 2009 U	500,000,000	2008/07/11	2009/04/29	125.00
30573	UBS AG - HSI R Bull CBBC Apr 2009 V	500,000,000	2008/07/11	2009/04/29	125.00
30574	UBS AG - HSI R Bull CBBC Apr 2009 W	500,000,000	2008/07/11	2009/04/29	180.00
30575	UBS AG - HSI R Bull CBBC Apr 2009 X	500,000,000	2008/07/11	2009/04/29	125.00
30564	UBS AG - HSI R Bear CBBC Mar 2009 Z	500,000,000	2008/07/11	2009/03/30	125.00
30576	UBS AG - HSI R Bear CBBC Apr 2009 Y	500,000,000	2008/07/11	2009/04/29	125.00
30577	UBS AG - HSI R Bear CBBC Apr 2009 Z	500,000,000	2008/07/11	2009/04/29	125.00
30589	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2009 G	200,000,000	2008/07/14	2009/02/26	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30593	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2009 H	200,000,000	2008/07/14	2009/02/26	50.00
30591	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 R	400,000,000	2008/07/14	2009/03/30	100.00
30601	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 S	400,000,000	2008/07/14	2009/03/30	100.00
30590	Deutsche Bank AG - Nikkei 225 Index R Bull CBBC Mar 2009 A	300,000,000	2008/07/14	2009/03/13	75.00
30594	Deutsche Bank AG - Nikkei 225 Index R Bear CBBC Mar 2009 B	300,000,000	2008/07/14	2009/03/13	75.00
30595	UBS AG - HSI R Bull CBBC Jan 2009 J	500,000,000	2008/07/14	2009/01/29	125.00
30596	UBS AG - HSI R Bull CBBC Jan 2009 K	500,000,000	2008/07/14	2009/01/29	125.00
30597	UBS AG - HSI R Bull CBBC Jan 2009 N	500,000,000	2008/07/14	2009/01/29	125.00
30598	UBS AG - HSI R Bull CBBC Jan 2009 O	500,000,000	2008/07/14	2009/01/29	125.00
30599	UBS AG - HSI R Bull CBBC Jan 2009 Q	500,000,000	2008/07/14	2009/01/29	125.00
30600	UBS AG - HSI R Bear CBBC Jan 2009 R	500,000,000	2008/07/14	2009/01/29	125.00
30413 #	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2009 F	500,000,000	2008/07/14	2009/01/29	97.00
30605	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2009 C	200,000,000	2008/07/15	2009/03/30	50.00
30603	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2008 L	200,000,000	2008/07/15	2008/12/30	50.00
30604	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2009 E	200,000,000	2008/07/15	2009/03/30	50.00
30610	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jan 2009 J	400,000,000	2008/07/15	2009/01/29	100.00
30611	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 F	348,000,000	2008/07/15	2009/02/26	97.44
30606	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2009 H	400,000,000	2008/07/15	2009/01/29	100.00
30607	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2009 I	400,000,000	2008/07/15	2009/01/29	100.00
30608	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 E	400,000,000	2008/07/15	2009/02/26	100.00
30602	UBS AG - HSI R Bull CBBC Feb 2009 F	500,000,000	2008/07/15	2009/02/26	125.00
30609	UBS AG - HSCEI R Bull CBBC Feb 2009 P	500,000,000	2008/07/16	2009/02/26	125.00
30613	UBS AG - HSCEI R Bear CBBC Feb 2009 Q	500,000,000	2008/07/16	2009/02/26	125.00
30612	UBS AG - HSI R Bull CBBC Apr 2009 C	500,000,000	2008/07/16	2009/04/29	125.00
30616	UBS AG - HSI R Bear CBBC Apr 2009 D	500,000,000	2008/07/16	2009/04/29	125.00
30618	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Jan 2009 C	200,000,000	2008/07/17	2009/01/29	50.00
30621	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Jan 2009 D	200,000,000	2008/07/17	2009/01/29	92.40
30614	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 F	200,000,000	2008/07/17	2009/01/29	61.20
30615	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 G	200,000,000	2008/07/17	2009/01/29	56.40
30617	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 H	200,000,000	2008/07/17	2009/01/29	51.40
30619	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 I	200,000,000	2008/07/17	2009/01/29	114.40
30620	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 J	200,000,000	2008/07/17	2009/01/29	125.20
30630	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2009 F	200,000,000	2008/07/17	2009/03/30	50.00
30634	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2009 G	200,000,000	2008/07/17	2009/03/30	50.00
30631	UBS AG - A50 China R Bull CBBC Mar 2009 A	300,000,000	2008/07/17	2009/03/16	99.00
30632	UBS AG - A50 China R Bull CBBC Mar 2009 B	300,000,000	2008/07/17	2009/03/16	90.00
30633	UBS AG - A50 China R Bull CBBC Mar 2009 C	300,000,000	2008/07/17	2009/03/16	75.00
30635	UBS AG - A50 China R Bull CBBC Mar 2009 D	300,000,000	2008/07/17	2009/03/16	75.00
30636	UBS AG - A50 China R Bear CBBC Mar 2009 E	300,000,000	2008/07/17	2009/03/16	75.00
30637	UBS AG - A50 China R Bear CBBC Mar 2009 F	300,000,000	2008/07/17	2009/03/16	75.00
30638	UBS AG - A50 China R Bear CBBC Mar 2009 G	300,000,000	2008/07/17	2009/03/16	90.00
30639	UBS AG - A50 China R Bear CBBC Mar 2009 H	300,000,000	2008/07/17	2009/03/16	105.00
30625	UBS AG - HSCEI R Bull CBBC Feb 2009 R	500,000,000	2008/07/17	2009/02/26	125.00
30627	UBS AG - HSCEI R Bull CBBC Feb 2009 S	500,000,000	2008/07/17	2009/02/26	125.00
30628	UBS AG - HSCEI R Bear CBBC Feb 2009 U	500,000,000	2008/07/17	2009/02/26	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30629	UBS AG - HSCEI R Bear CBBC Mar 2009 C	500,000,000	2008/07/17	2009/03/30	125.00
30622	UBS AG - HSI R Bull CBBC Apr 2009 J	500,000,000	2008/07/17	2009/04/29	125.00
30623	UBS AG - HSI R Bear CBBC Apr 2009 F	500,000,000	2008/07/17	2009/04/29	125.00
30626	UBS AG - HSI R Bear CBBC Apr 2009 K	500,000,000	2008/07/17	2009/04/29	125.00
30624	UBS AG - HSI R Bear CBBC Apr 2009 M	500,000,000	2008/07/17	2009/04/29	125.00
30640	HK Bank - HSCEI R Bull CBBC Jan 2009 A	500,000,000	2008/07/18	2009/01/29	125.00
30641	HK Bank - HSCEI R Bull CBBC Jan 2009 B	500,000,000	2008/07/18	2009/01/29	125.00
30645	HK Bank - HSCEI R Bear CBBC Jan 2009 C	500,000,000	2008/07/18	2009/01/29	125.00
30646	HK Bank - HSCEI R Bear CBBC Jan 2009 D	500,000,000	2008/07/18	2009/01/29	125.00
30642	HK Bank - HSI R Bull CBBC Jan 2009 A	500,000,000	2008/07/18	2009/01/29	125.00
30643	HK Bank - HSI R Bull CBBC Jan 2009 B	500,000,000	2008/07/18	2009/01/29	125.00
30644	HK Bank - HSI R Bull CBBC Jan 2009 C	500,000,000	2008/07/18	2009/01/29	125.00
30647	HK Bank - HSI R Bear CBBC Jan 2009 D	500,000,000	2008/07/18	2009/01/29	125.00
30648	HK Bank - HSI R Bear CBBC Jan 2009 E	500,000,000	2008/07/18	2009/01/29	125.00
30649	HK Bank - HSI R Bear CBBC Jan 2009 F	500,000,000	2008/07/18	2009/01/29	125.00
30650	UBS AG - China Rail Cons R Bull CBBC Feb 2009 A	200,000,000	2008/07/18	2009/02/23	50.00
30653	UBS AG - HSI R Bull CBBC Feb 2009 A	500,000,000	2008/07/18	2009/02/26	125.00
30654	UBS AG - HSI R Bear CBBC Apr 2009 E	500,000,000	2008/07/18	2009/04/29	125.00
30652	UBS AG - HSI R Bear CBBC Apr 2009 Y	500,000,000	2008/07/18	2009/04/29	125.00
30651	UBS AG - Yanzhou Coal R Bull CBBC Feb 2009 A	200,000,000	2008/07/18	2009/02/23	50.00
30263 #	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jan 2009 A	688,000,000	2008/07/18	2009/01/29	63.98
30666	ABN AMRO Bank N.V. - DJIA R Bull CBBC Dec 2008 B	200,000,000	2008/07/21	2008/12/19	264.20
30665	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2009 H	200,000,000	2008/07/21	2009/03/30	50.00
30669	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2009 I	200,000,000	2008/07/21	2009/03/30	50.00
30655	UBS AG - China Life R Bull CBBC Feb 2009 A	300,000,000	2008/07/21	2009/02/23	105.00
30664	UBS AG - China Life R Bear CBBC Feb 2009 B	300,000,000	2008/07/21	2009/02/23	150.00
30656	UBS AG - HSCEI R Bull CBBC Mar 2009 D	500,000,000	2008/07/21	2009/03/30	125.00
30657	UBS AG - HSCEI R Bear CBBC Jan 2009 W	500,000,000	2008/07/21	2009/01/29	125.00
30658	UBS AG - HSI R Bull CBBC Jan 2009 K	500,000,000	2008/07/21	2009/01/29	125.00
30659	UBS AG - HSI R Bull CBBC Jan 2009 O	500,000,000	2008/07/21	2009/01/29	125.00
30660	UBS AG - HSI R Bull CBBC Jan 2009 U	500,000,000	2008/07/21	2009/01/29	125.00
30661	UBS AG - HSI R Bull CBBC Mar 2009 R	500,000,000	2008/07/21	2009/03/30	125.00
30662	UBS AG - HSI R Bear CBBC Mar 2009 S	500,000,000	2008/07/21	2009/03/30	125.00
30663	UBS AG - HSI R Bear CBBC Apr 2009 Z	500,000,000	2008/07/21	2009/04/29	125.00
30674	Deutsche Bank AG - HSI R Bear CBBC Feb 2009 G	400,000,000	2008/07/22	2009/02/26	102.00
30675	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 T	400,000,000	2008/07/22	2009/03/30	100.00
30676	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 U	400,000,000	2008/07/22	2009/03/30	100.00
30678	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2009 L	200,000,000	2008/07/22	2009/02/26	50.00
30668	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec08R	500,000,000	2008/07/22	2008/12/30	125.00
30667	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec08X	500,000,000	2008/07/22	2008/12/30	125.00
30670	UBS AG - HSI R Bull CBBC Jan 2009 Q	500,000,000	2008/07/22	2009/01/29	125.00
30671	UBS AG - HSI R Bull CBBC Jan 2009 R	500,000,000	2008/07/22	2009/01/29	125.00
30677	UBS AG - HSI R Bull CBBC Feb 2009 R	500,000,000	2008/07/22	2009/02/26	125.00
30672	UBS AG - HSI R Bull CBBC Apr 2009 L	500,000,000	2008/07/22	2009/04/29	125.00
30673	UBS AG - HSI R Bear CBBC Mar 2009 T	500,000,000	2008/07/22	2009/03/30	125.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30680	ABN AMRO Bank N.V. - DJIA R Bear CBBC Dec 2008 C	200,000,000	2008/07/23	2008/12/19	156.20
30681	ABN AMRO Bank N.V. - DJIA R Bear CBBC Dec 2008 D	200,000,000	2008/07/23	2008/12/19	408.00
30679	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 K	200,000,000	2008/07/23	2009/01/29	50.40
30699	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 L	200,000,000	2008/07/23	2009/01/29	50.40
30700	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 M	200,000,000	2008/07/23	2009/01/29	50.00
30701	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 N	200,000,000	2008/07/23	2009/01/29	50.00
30705	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 O	200,000,000	2008/07/23	2009/01/29	50.40
30683	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Feb 2009 A	200,000,000	2008/07/23	2009/02/26	50.00
30687	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2009 B	200,000,000	2008/07/23	2009/02/26	50.00
30684	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2009 D	200,000,000	2008/07/23	2009/03/30	50.00
30688	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2009 E	200,000,000	2008/07/23	2009/03/30	50.00
30698	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 V	400,000,000	2008/07/23	2009/03/30	100.00
30682	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09A	500,000,000	2008/07/23	2009/01/29	135.00
30686	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan09B	500,000,000	2008/07/23	2009/01/29	125.00
30685	UBS AG - HSCEI R Bull CBBC Feb 2009 P	500,000,000	2008/07/23	2009/02/26	125.00
30689	UBS AG - HSCEI R Bear CBBC Feb 2009 Q	500,000,000	2008/07/23	2009/02/26	125.00
30690	UBS AG - HSI R Bull CBBC Jan 2009 J	500,000,000	2008/07/23	2009/01/29	125.00
30691	UBS AG - HSI R Bull CBBC Jan 2009 N	500,000,000	2008/07/23	2009/01/29	125.00
30692	UBS AG - HSI R Bull CBBC Mar 2009 Q	500,000,000	2008/07/23	2009/03/30	125.00
30693	UBS AG - HSI R Bear CBBC Feb 2009 F	500,000,000	2008/07/23	2009/02/26	125.00
30694	UBS AG - HSI R Bear CBBC Mar 2009 U	500,000,000	2008/07/23	2009/03/30	125.00
30695	UBS AG - HSI R Bear CBBC Mar 2009 V	500,000,000	2008/07/23	2009/03/30	125.00
30696	UBS AG - HSI R Bear CBBC Mar 2009 Z	500,000,000	2008/07/23	2009/03/30	125.00
30697	UBS AG - HSI R Bear CBBC Apr 2009 C	500,000,000	2008/07/23	2009/04/29	125.00
30706	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 P	200,000,000	2008/07/24	2009/01/29	50.00
30707	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 A	300,000,000	2008/07/24	2009/04/29	75.00
30708	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 W	400,000,000	2008/07/24	2009/03/30	100.00
30709	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2009 J	200,000,000	2008/07/24	2009/03/30	50.00
30710	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 G	400,000,000	2008/07/24	2009/02/26	100.00
30702	UBS AG - HSCEI R Bull CBBC Feb 2009 S	500,000,000	2008/07/24	2009/02/26	125.00
30703	UBS AG - HSCEI R Bear CBBC Mar 2009 E	500,000,000	2008/07/24	2009/03/30	125.00
30704	UBS AG - HSI R Bear CBBC Apr 2009 D	500,000,000	2008/07/24	2009/04/29	125.00
30472 #	ABN AMRO Bank N.V. - HSI R Bear CBBC Feb 2009 N	200,000,000	2008/07/24	2009/02/26	22.80
30714	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2009 A	388,000,000	2008/07/25	2009/02/26	97.00
30715	Macquarie Bank Ltd. - HSI R Bull CBBC Feb 2009 B	388,000,000	2008/07/25	2009/02/26	97.00
30716	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2009 A	388,000,000	2008/07/25	2009/04/29	97.00
30717	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2009 B	388,000,000	2008/07/25	2009/04/29	97.00
30718	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2009 C	388,000,000	2008/07/25	2009/02/26	97.00
30719	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2009 D	388,000,000	2008/07/25	2009/02/26	97.00
30720	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2009 E	388,000,000	2008/07/25	2009/02/26	97.00
30721	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2009 C	388,000,000	2008/07/25	2009/04/29	97.00
30722	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2009 D	388,000,000	2008/07/25	2009/04/29	97.00
30723	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2009 E	388,000,000	2008/07/25	2009/04/29	97.00
30724	UBS AG - HSCEI R Bull CBBC Feb 2009 R	500,000,000	2008/07/25	2009/02/26	125.00
30728	UBS AG - HSCEI R Bear CBBC Feb 2009 U	500,000,000	2008/07/25	2009/02/26	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30711	UBS AG - HSI R Bear CBBC Apr 2009 F	500,000,000	2008/07/25	2009/04/29	125.00
30712	UBS AG - HSI R Bear CBBC Apr 2009 K	500,000,000	2008/07/25	2009/04/29	140.00
30713	UBS AG - HSI R Bear CBBC Apr 2009 M	500,000,000	2008/07/25	2009/04/29	125.00
30752	ABN AMRO Bank N.V. - HKEx R Bull CBBC Jan 2009 B	200,000,000	2008/07/28	2009/01/21	63.20
30753	ABN AMRO Bank N.V. - HSBC R Bull CBBC Jan 2009 A	200,000,000	2008/07/28	2009/01/21	59.00
30744	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Jan 2009 E	200,000,000	2008/07/28	2009/01/29	50.00
30745	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Jan 2009 F	200,000,000	2008/07/28	2009/01/29	50.00
30746	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Jan 2009 G	200,000,000	2008/07/28	2009/01/29	50.00
30742	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 Q	200,000,000	2008/07/28	2009/01/29	51.40
30743	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 R	200,000,000	2008/07/28	2009/01/29	50.60
30747	Deutsche Bank AG - HSI R Bull CBBC Feb 2009 H	400,000,000	2008/07/28	2009/02/26	100.00
30748	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 X	400,000,000	2008/07/28	2009/03/30	100.00
30749	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2009 K	200,000,000	2008/07/28	2009/03/30	50.00
30750	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Feb 2009 C	400,000,000	2008/07/28	2009/02/26	100.00
30751	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jan 2009 K	400,000,000	2008/07/28	2009/01/29	100.00
30725	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09C	500,000,000	2008/07/28	2009/01/29	190.00
30726	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09D	500,000,000	2008/07/28	2009/01/29	180.00
30727	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09E	500,000,000	2008/07/28	2009/01/29	125.00
30729	UBS AG - China Mobile R Bull CBBC Mar 2009 J	300,000,000	2008/07/28	2009/03/16	75.00
30731	UBS AG - HSCEI R Bull CBBC Jan 2009 E	500,000,000	2008/07/28	2009/01/29	125.00
30741	UBS AG - HSCEI R Bear CBBC Jan 2009 G	500,000,000	2008/07/28	2009/01/29	125.00
30732	UBS AG - HSI R Bull CBBC Feb 2009 A	500,000,000	2008/07/28	2009/02/26	125.00
30733	UBS AG - HSI R Bull CBBC Apr 2009 E	500,000,000	2008/07/28	2009/04/29	125.00
30734	UBS AG - HSI R Bull CBBC Apr 2009 G	500,000,000	2008/07/28	2009/04/29	125.00
30735	UBS AG - HSI R Bull CBBC Apr 2009 H	500,000,000	2008/07/28	2009/04/29	125.00
30737	UBS AG - HSI R Bear CBBC Mar 2009 L	500,000,000	2008/07/28	2009/03/30	125.00
30738	UBS AG - HSI R Bear CBBC Mar 2009 S	500,000,000	2008/07/28	2009/03/30	125.00
30739	UBS AG - HSI R Bear CBBC Apr 2009 Y	500,000,000	2008/07/28	2009/04/29	125.00
30740	UBS AG - HSI R Bear CBBC Apr 2009 Z	500,000,000	2008/07/28	2009/04/29	170.00
30730	UBS AG - Petrochina R Bull CBBC Mar 2009 G	300,000,000	2008/07/28	2009/03/16	75.00
30736	UBS AG - Petrochina R Bear CBBC Mar 2009 H	300,000,000	2008/07/28	2009/03/16	75.00
30342 #	ABN AMRO Bank N.V. - HSI R Bear CBBC Nov 2008 W	200,000,000	2008/07/28	2008/11/27	32.00
30756	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 S	200,000,000	2008/07/29	2009/01/29	50.00
30757	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 B	300,000,000	2008/07/29	2009/04/29	75.00
30758	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 C	300,000,000	2008/07/29	2009/04/29	75.00
30761	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 D	300,000,000	2008/07/29	2009/04/29	75.00
30763	Deutsche Bank AG - A50 China R Bull CBBC Apr 2009 A	400,000,000	2008/07/29	2009/04/06	144.00
30764	Deutsche Bank AG - A50 China R Bull CBBC Apr 2009 B	400,000,000	2008/07/29	2009/04/06	108.00
30767	Deutsche Bank AG - A50 China R Bear CBBC Apr 2009 C	400,000,000	2008/07/29	2009/04/06	100.00
30759	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2009 L	400,000,000	2008/07/29	2009/01/29	100.00
30760	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 H	400,000,000	2008/07/29	2009/02/26	100.00
30754	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09F	500,000,000	2008/07/29	2009/01/29	125.00
30755	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09G	500,000,000	2008/07/29	2009/01/29	125.00
30762	UBS AG - China Life R Bull CBBC Feb 2009 C	300,000,000	2008/07/29	2009/02/23	105.00
30766	UBS AG - China Life R Bear CBBC Feb 2009 D	300,000,000	2008/07/29	2009/02/23	150.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30322	# ABN AMRO Bank N.V. - HSI R Bear CBBC Nov 2008 X	200,000,000	2008/07/29	2008/11/27	28.20
30787	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 T	300,000,000	2008/07/30	2009/01/29	76.20
30788	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 U	300,000,000	2008/07/30	2009/01/29	75.00
30789	ABN AMRO Bank N.V. - HSI R Bull CBBC Jan 2009 V	300,000,000	2008/07/30	2009/01/29	75.00
30782	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2009 I	300,000,000	2008/07/30	2009/02/26	75.00
30786	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2009 J	300,000,000	2008/07/30	2009/02/26	75.00
30785	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 Y	400,000,000	2008/07/30	2009/03/30	100.00
30790	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jan 2009 M	400,000,000	2008/07/30	2009/01/29	100.00
30791	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 I	400,000,000	2008/07/30	2009/02/26	100.00
30792	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 J	318,000,000	2008/07/30	2009/02/26	98.58
30793	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 K	400,000,000	2008/07/30	2009/02/26	100.00
30794	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 H	400,000,000	2008/07/30	2009/03/30	100.00
30783	UBS AG - A50 China R Bull CBBC Mar 2009 I	300,000,000	2008/07/30	2009/03/16	75.00
30784	UBS AG - A50 China R Bull CBBC Mar 2009 J	300,000,000	2008/07/30	2009/03/16	75.00
30765	UBS AG - HSCEI R Bull CBBC Feb 2009 Q	500,000,000	2008/07/30	2009/02/26	125.00
30768	UBS AG - HSCEI R Bear CBBC Jan 2009 F	500,000,000	2008/07/30	2009/01/29	125.00
30769	UBS AG - HSI R Bull CBBC Jan 2009 P	500,000,000	2008/07/30	2009/01/29	125.00
30771	UBS AG - HSI R Bull CBBC Feb 2009 F	500,000,000	2008/07/30	2009/02/26	125.00
30770	UBS AG - HSI R Bull CBBC Feb 2009 J	500,000,000	2008/07/30	2009/02/26	125.00
30772	UBS AG - HSI R Bull CBBC Mar 2009 M	500,000,000	2008/07/30	2009/03/30	125.00
30773	UBS AG - HSI R Bull CBBC Mar 2009 T	500,000,000	2008/07/30	2009/03/30	125.00
30774	UBS AG - HSI R Bull CBBC Mar 2009 U	500,000,000	2008/07/30	2009/03/30	125.00
30775	UBS AG - HSI R Bear CBBC Feb 2009 G	500,000,000	2008/07/30	2009/02/26	125.00
30776	UBS AG - HSI R Bear CBBC Mar 2009 V	500,000,000	2008/07/30	2009/03/30	125.00
30777	UBS AG - HSI R Bear CBBC Mar 2009 X	500,000,000	2008/07/30	2009/03/30	125.00
30778	UBS AG - HSI R Bear CBBC Apr 2009 A	500,000,000	2008/07/30	2009/04/29	125.00
30779	UBS AG - HSI R Bear CBBC Apr 2009 B	500,000,000	2008/07/30	2009/04/29	155.00
30780	UBS AG - HSI R Bear CBBC Apr 2009 C	500,000,000	2008/07/30	2009/04/29	125.00
30781	UBS AG - HSI R Bear CBBC Apr 2009 I	500,000,000	2008/07/30	2009/04/29	125.00
30804	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 E	300,000,000	2008/07/31	2009/04/29	75.00
30807	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 Z	400,000,000	2008/07/31	2009/03/30	100.00
30816	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2009 A	200,000,000	2008/07/31	2009/04/29	50.00
30797	HK Bank - HSCEI R Bear CBBC Jan 2009 E	500,000,000	2008/07/31	2009/01/29	125.00
30795	HK Bank - HSI R Bull CBBC Jan 2009 G	500,000,000	2008/07/31	2009/01/29	125.00
30796	HK Bank - HSI R Bull CBBC Jan 2009 H	500,000,000	2008/07/31	2009/01/29	125.00
30799	HK Bank - HSI R Bear CBBC Jan 2009 I	500,000,000	2008/07/31	2009/01/29	125.00
30800	HK Bank - HSI R Bear CBBC Jan 2009 J	500,000,000	2008/07/31	2009/01/29	125.00
30798	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09H	500,000,000	2008/07/31	2009/01/29	125.00
30801	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09I	500,000,000	2008/07/31	2009/01/29	125.00
30802	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09J	500,000,000	2008/07/31	2009/01/29	125.00
30803	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan09K	500,000,000	2008/07/31	2009/01/29	125.00
30813	UBS AG - China Mobile R Bull CBBC Mar 2009 K	300,000,000	2008/07/31	2009/03/16	75.00
30810	UBS AG - China Mobile R Bear CBBC Mar 2009 L	300,000,000	2008/07/31	2009/03/16	75.00
30812	UBS AG - China Life R Bull CBBC Mar 2009 H	300,000,000	2008/07/31	2009/03/16	105.00
30808	UBS AG - China Life R Bear CBBC Mar 2009 I	300,000,000	2008/07/31	2009/03/16	138.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30809	UBS AG - China Life R Bear CBBC Mar 2009 J	300,000,000	2008/07/31	2009/03/16	168.00
30811	UBS AG - HSCEI R Bull CBBC Mar 2009 F	500,000,000	2008/07/31	2009/03/30	125.00
30814	UBS AG - HSCEI R Bear CBBC Jan 2009 J	500,000,000	2008/07/31	2009/01/29	125.00
30815	UBS AG - HSCEI R Bear CBBC Feb 2009 Y	500,000,000	2008/07/31	2009/02/26	125.00
30805	UBS AG - HSI R Bull CBBC Apr 2009 D	500,000,000	2008/07/31	2009/04/29	125.00
30806	UBS AG - HSI R Bear CBBC Mar 2009 Z	500,000,000	2008/07/31	2009/03/30	125.00
30819	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2009 F	200,000,000	2008/08/01	2009/03/30	50.00
30823	Deutsche Bank AG - HSI R Bear CBBC Feb 2009 I	400,000,000	2008/08/01	2009/02/26	100.00
30822	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2009 B	200,000,000	2008/08/01	2009/04/29	50.00
30826	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2009 C	200,000,000	2008/08/01	2009/04/29	50.00
30817	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan09L	500,000,000	2008/08/01	2009/01/29	125.00
30818	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan09M	500,000,000	2008/08/01	2009/01/29	125.00
30821	UBS AG - HSCEI R Bull CBBC Feb 2009 S	500,000,000	2008/08/01	2009/02/26	125.00
30825	UBS AG - HSCEI R Bear CBBC Feb 2009 U	500,000,000	2008/08/01	2009/02/26	125.00
30820	UBS AG - Yanzhou Coal R Bull CBBC Mar 2009 A	200,000,000	2008/08/01	2009/03/16	50.00
30827	ABN AMRO Bank N.V. - HKEx R Bull CBBC Dec 2008 A	300,000,000	2008/08/04	2008/12/01	75.00
30829	ABN AMRO Bank N.V. - HSBC R Bull CBBC Dec 2008 A	300,000,000	2008/08/04	2008/12/01	75.00
30824	UBS AG - HSCEI R Bull CBBC Jan 2009 L	500,000,000	2008/08/04	2009/01/29	125.00
30828	UBS AG - HSCEI R Bear CBBC Jan 2009 N	500,000,000	2008/08/04	2009/01/29	125.00
30833	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 F	300,000,000	2008/08/05	2009/04/29	75.00
30837	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 G	300,000,000	2008/08/05	2009/04/29	75.00
30850	Deutsche Bank AG - HSI R Bear CBBC Feb 2009 J	400,000,000	2008/08/05	2009/02/26	100.00
30851	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 B	400,000,000	2008/08/05	2009/03/30	100.00
30852	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2009 D	200,000,000	2008/08/05	2009/04/29	50.00
30830	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09A	500,000,000	2008/08/05	2009/03/30	125.00
30831	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09B	500,000,000	2008/08/05	2009/03/30	125.00
30832	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09C	500,000,000	2008/08/05	2009/03/30	125.00
30834	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09D	500,000,000	2008/08/05	2009/03/30	125.00
30835	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09E	500,000,000	2008/08/05	2009/03/30	125.00
30836	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09F	500,000,000	2008/08/05	2009/03/30	125.00
30838	UBS AG - HSCEI R Bull CBBC Jan 2009 Q	500,000,000	2008/08/05	2009/01/29	125.00
30839	UBS AG - HSCEI R Bear CBBC Feb 2009 R	500,000,000	2008/08/05	2009/02/26	125.00
30840	UBS AG - HSI R Bull CBBC Feb 2009 A	500,000,000	2008/08/05	2009/02/26	125.00
30841	UBS AG - HSI R Bull CBBC Apr 2009 F	500,000,000	2008/08/05	2009/04/29	125.00
30842	UBS AG - HSI R Bull CBBC Apr 2009 K	500,000,000	2008/08/05	2009/04/29	125.00
30843	UBS AG - HSI R Bull CBBC Apr 2009 M	500,000,000	2008/08/05	2009/04/29	125.00
30844	UBS AG - HSI R Bear CBBC Mar 2009 L	500,000,000	2008/08/05	2009/03/30	125.00
30845	UBS AG - HSI R Bear CBBC Mar 2009 W	500,000,000	2008/08/05	2009/03/30	125.00
30846	UBS AG - HSI R Bear CBBC Apr 2009 Y	500,000,000	2008/08/05	2009/04/29	125.00
30847	UBS AG - HSI R Bear CBBC Apr 2009 Z	500,000,000	2008/08/05	2009/04/29	125.00
30848	UBS AG - Petrochina R Bull CBBC Mar 2009 I	300,000,000	2008/08/05	2009/03/16	90.00
30849	UBS AG - Petrochina R Bear CBBC Mar 2009 J	300,000,000	2008/08/05	2009/03/16	75.00
30853	ABN AMRO Bank N.V. - A50 China R Bull CBBC Nov 2008 A	300,000,000	2008/08/06	2008/11/12	100.50
30854	ABN AMRO Bank N.V. - A50 China R Bull CBBC Nov 2008 B	300,000,000	2008/08/06	2008/11/12	75.90
30855	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 W	300,000,000	2008/08/06	2009/01/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30856	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 X	300,000,000	2008/08/06	2009/01/29	75.00
30864	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2009 K	300,000,000	2008/08/06	2009/02/26	75.00
30865	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2009 L	300,000,000	2008/08/06	2009/02/26	75.00
30866	Deutsche Bank AG - HSCEI R Bull CBBC Feb 2009 A	400,000,000	2008/08/06	2009/02/26	100.00
30870	Deutsche Bank AG - HSCEI R Bear CBBC Feb 2009 B	400,000,000	2008/08/06	2009/02/26	100.00
30867	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 D	400,000,000	2008/08/06	2009/03/30	100.00
30859	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Mar09A	300,000,000	2008/08/06	2009/03/17	120.00
30860	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Mar09B	300,000,000	2008/08/06	2009/03/17	99.00
30861	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Mar09C	300,000,000	2008/08/06	2009/03/17	75.00
30863	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Mar09D	300,000,000	2008/08/06	2009/03/17	90.00
30857	UBS AG - HSI R Bull CBBC Feb 2009 F	500,000,000	2008/08/06	2009/02/26	125.00
30858	UBS AG - HSI R Bull CBBC Mar 2009 S	500,000,000	2008/08/06	2009/03/30	125.00
30868	UBS AG - HSI R Bull CBBC Mar 2009 U	500,000,000	2008/08/06	2009/03/30	125.00
30869	UBS AG - HSI R Bull CBBC Mar 2009 V	500,000,000	2008/08/06	2009/03/30	125.00
30862	UBS AG - HSI R Bear CBBC Mar 2009 T	500,000,000	2008/08/06	2009/03/30	125.00
30633 #	UBS AG - A50 China R Bull CBBC Mar 2009 C	300,000,000	2008/08/06	2009/03/16	60.00
30875	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 E	400,000,000	2008/08/07	2009/03/30	100.00
30874	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 H	400,000,000	2008/08/07	2009/03/30	100.00
30871	UBS AG - China Mobile R Bear CBBC Mar 2009 M	300,000,000	2008/08/07	2009/03/16	75.00
30872	UBS AG - A50 China R Bull CBBC Mar 2009 K	300,000,000	2008/08/07	2009/03/16	75.00
30873	UBS AG - HSI R Bear CBBC Apr 2009 E	500,000,000	2008/08/07	2009/04/29	125.00
30876	ABN AMRO Bank N.V. - HSI R Bull CBBC Nov 2008 I	300,000,000	2008/08/08	2008/11/27	75.00
30877	ABN AMRO Bank N.V. - HSI R Bull CBBC Nov 2008 J	300,000,000	2008/08/08	2008/11/27	75.00
30880	ABN AMRO Bank N.V. - HSI R Bear CBBC Nov 2008 Z	300,000,000	2008/08/08	2008/11/27	75.00
30879	UBS AG - China Life R Bear CBBC Mar 2009 K	300,000,000	2008/08/08	2009/03/16	84.00
30878	UBS AG - HSCEI R Bull CBBC Feb 2009 Q	500,000,000	2008/08/08	2009/02/26	125.00
30882	UBS AG - HSCEI R Bear CBBC Feb 2009 S	500,000,000	2008/08/08	2009/02/26	125.00
30881	HK Bank - HSI R Bear CBBC Jan 2009 K	500,000,000	2008/08/11	2009/01/29	125.00
30887	CC Rabobank B.A. - HSI R Bear CBBC Feb 2009 D	150,000,000	2008/08/11	2009/02/26	45.00
30883	CC Rabobank B.A. - HSI R Bull CBBC Feb 2009 A	150,000,000	2008/08/11	2009/02/26	37.50
30884	CC Rabobank B.A. - HSI R Bull CBBC Feb 2009 B	150,000,000	2008/08/11	2009/02/26	37.50
30885	CC Rabobank B.A. - HSI R Bull CBBC Feb 2009 C	150,000,000	2008/08/11	2009/02/26	37.50
30912	ABN AMRO Bank N.V. - HSI R Bear CBBC Jan 2009 Y	300,000,000	2008/08/12	2009/01/29	75.00
30913	ABN AMRO Bank N.V. - HSI R Bear CBBC Feb 2009 Q	300,000,000	2008/08/12	2009/02/26	75.00
30914	ABN AMRO Bank N.V. - HSI R Bear CBBC Feb 2009 R	300,000,000	2008/08/12	2009/02/26	75.00
30915	Deutsche Bank AG - HSI R Bull CBBC Feb 2009 K	400,000,000	2008/08/12	2009/02/26	136.00
30916	Deutsche Bank AG - HSI R Bull CBBC Feb 2009 L	400,000,000	2008/08/12	2009/02/26	112.00
30917	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 K	400,000,000	2008/08/12	2009/03/30	100.00
30919	Deutsche Bank AG - HSI R Bear CBBC Feb 2009 M	400,000,000	2008/08/12	2009/02/26	114.00
30920	Deutsche Bank AG - HSI R Bear CBBC Feb 2009 N	400,000,000	2008/08/12	2009/02/26	140.00
30918	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2009 L	200,000,000	2008/08/12	2009/03/30	50.00
30899	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 L	400,000,000	2008/08/12	2009/02/26	100.00
30903	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 M	380,000,000	2008/08/12	2009/02/26	98.80
30888	CC Rabobank B.A. - HSCEI R Bull CBBC Feb 2009 A	150,000,000	2008/08/12	2009/02/26	37.50
30889	CC Rabobank B.A. - HSCEI R Bull CBBC Feb 2009 B	150,000,000	2008/08/12	2009/02/26	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30892	CC Rabobank B.A. - HSCEI R Bear CBBC Feb 2009 C	150,000,000	2008/08/12	2009/02/26	37.50
30886	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09G	300,000,000	2008/08/12	2009/03/30	75.00
30890	UBS AG - HSCEI R Bull CBBC Jan 2009 M	500,000,000	2008/08/12	2009/01/29	125.00
30900	UBS AG - HSCEI R Bull CBBC Feb 2009 P	500,000,000	2008/08/12	2009/02/26	125.00
30904	UBS AG - HSCEI R Bear CBBC Feb 2009 R	500,000,000	2008/08/12	2009/02/26	125.00
30891	UBS AG - HSCEI R Bear CBBC Feb 2009 U	500,000,000	2008/08/12	2009/02/26	125.00
30902	UBS AG - HSI R Bull CBBC Jan 2009 K	500,000,000	2008/08/12	2009/01/29	125.00
30905	UBS AG - HSI R Bull CBBC Jan 2009 O	500,000,000	2008/08/12	2009/01/29	125.00
30906	UBS AG - HSI R Bull CBBC Feb 2009 A	500,000,000	2008/08/12	2009/02/26	125.00
30895	UBS AG - HSI R Bull CBBC Feb 2009 G	500,000,000	2008/08/12	2009/02/26	125.00
30907	UBS AG - HSI R Bull CBBC Feb 2009 J	500,000,000	2008/08/12	2009/02/26	125.00
30893	UBS AG - HSI R Bull CBBC Apr 2009 A	500,000,000	2008/08/12	2009/04/29	125.00
30894	UBS AG - HSI R Bull CBBC Apr 2009 D	500,000,000	2008/08/12	2009/04/29	125.00
30908	UBS AG - HSI R Bear CBBC Jan 2009 P	500,000,000	2008/08/12	2009/01/29	125.00
30909	UBS AG - HSI R Bear CBBC Jan 2009 Q	500,000,000	2008/08/12	2009/01/29	125.00
30896	UBS AG - HSI R Bear CBBC Mar 2009 L	500,000,000	2008/08/12	2009/03/30	125.00
30897	UBS AG - HSI R Bear CBBC Apr 2009 G	500,000,000	2008/08/12	2009/04/29	125.00
30898	UBS AG - HSI R Bear CBBC Apr 2009 H	500,000,000	2008/08/12	2009/04/29	125.00
30901	UBS AG - Yanzhou Coal R Bull CBBC Mar 2009 B	200,000,000	2008/08/12	2009/03/16	50.00
30942	KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Feb 09 A	400,000,000	2008/08/14	2009/02/16	100.00
30943	KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Mar 09 A	400,000,000	2008/08/14	2009/03/02	100.00
30941	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 N	400,000,000	2008/08/14	2009/02/26	100.00
30910	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb09A	200,000,000	2008/08/14	2009/02/26	54.00
30911	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb09B	200,000,000	2008/08/14	2009/02/26	50.00
30921	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb09C	200,000,000	2008/08/14	2009/02/26	50.00
30922	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb09D	200,000,000	2008/08/14	2009/02/26	50.00
30923	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb09E	200,000,000	2008/08/14	2009/02/26	50.00
30924	UBS AG - BankComm R Bull CBBC Mar 2009 B	200,000,000	2008/08/14	2009/03/16	50.00
30926	UBS AG - China Coal R Bull CBBC Mar 2009 A	200,000,000	2008/08/14	2009/03/16	95.00
30925	UBS AG - Cheung Kong R Bull CBBC Mar 2009 A	200,000,000	2008/08/14	2009/03/16	50.00
30930	UBS AG - CNOOC R Bull CBBC Mar 2009 A	200,000,000	2008/08/14	2009/03/16	59.00
30927	UBS AG - Sinopec Corp R Bull CBBC Mar 2009 B	200,000,000	2008/08/14	2009/03/16	50.00
30928	UBS AG - Sinopec Corp R Bull CBBC Mar 2009 C	200,000,000	2008/08/14	2009/03/16	50.00
30929	UBS AG - China Shenhua R Bull CBBC Mar 2009 A	200,000,000	2008/08/14	2009/03/16	112.00
30931	UBS AG - HKEx R Bull CBBC Mar 2009 C	200,000,000	2008/08/14	2009/03/16	60.00
30932	UBS AG - HSBC R Bull CBBC Mar 2009 B	200,000,000	2008/08/14	2009/03/16	50.00
30933	UBS AG - HSBC R Bull CBBC Mar 2009 C	200,000,000	2008/08/14	2009/03/16	50.00
30934	UBS AG - HSBC R Bull CBBC Mar 2009 D	200,000,000	2008/08/14	2009/03/16	50.00
30940	UBS AG - HSI R Bull CBBC Mar 2009 S	500,000,000	2008/08/14	2009/03/30	125.00
30936	UBS AG - HSI R Bear CBBC Mar 2009 U	500,000,000	2008/08/14	2009/03/30	125.00
30937	UBS AG - HSI R Bear CBBC Apr 2009 F	500,000,000	2008/08/14	2009/04/29	125.00
30938	UBS AG - Hutchison R Bull CBBC Mar 2009 A	200,000,000	2008/08/14	2009/03/16	50.00
30935	UBS AG - Petrochina R Bull CBBC Mar 2009 K	300,000,000	2008/08/14	2009/03/16	75.00
30939	UBS AG - Petrochina R Bear CBBC Mar 2009 L	300,000,000	2008/08/14	2009/03/16	90.00
30944	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 P	400,000,000	2008/08/15	2009/03/30	100.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30948	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 Q	400,000,000	2008/08/15	2009/03/30	100.00
30947	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2009 E	200,000,000	2008/08/15	2009/04/29	50.00
30945	UBS AG - China Mobile R Bull CBBC Mar 2009 N	300,000,000	2008/08/15	2009/03/16	75.00
30949	UBS AG - China Mobile R Bear CBBC Mar 2009 O	300,000,000	2008/08/15	2009/03/16	75.00
30946	UBS AG - A50 China R Bull CBBC Mar 2009 L	300,000,000	2008/08/15	2009/03/16	90.00
30950	UBS AG - A50 China R Bear CBBC Mar 2009 M	300,000,000	2008/08/15	2009/03/16	75.00
30951	HK Bank - HSI R Bull CBBC Jan 2009 L	500,000,000	2008/08/18	2009/01/29	125.00
30955	HK Bank - HSI R Bear CBBC Jan 2009 M	500,000,000	2008/08/18	2009/01/29	125.00
30952	UBS AG - A50 China R Bull CBBC Mar 2009 N	300,000,000	2008/08/18	2009/03/16	90.00
30956	UBS AG - A50 China R Bear CBBC Mar 2009 O	300,000,000	2008/08/18	2009/03/16	75.00
30973	ABN AMRO Bank N.V. - China Mobile R Bull CBBC Apr 2009 A	200,000,000	2008/08/19	2009/04/08	50.00
30974	ABN AMRO Bank N.V. - HKEx R Bull CBBC Apr 2009 A	200,000,000	2008/08/19	2009/04/08	50.40
30971	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 H	300,000,000	2008/08/19	2009/04/29	75.00
30975	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 I	300,000,000	2008/08/19	2009/04/29	75.00
30953	Deutsche Bank AG - A50 China R Bull CBBC Apr 2009 D	300,000,000	2008/08/19	2009/04/06	75.00
30957	Deutsche Bank AG - A50 China R Bear CBBC Apr 2009 E	300,000,000	2008/08/19	2009/04/06	75.00
30977	Deutsche Bank AG - HSCEI R Bull CBBC Mar 2009 A	200,000,000	2008/08/19	2009/03/30	50.00
30981	Deutsche Bank AG - HSCEI R Bear CBBC Mar 2009 B	200,000,000	2008/08/19	2009/03/30	50.00
30978	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 R	300,000,000	2008/08/19	2009/03/30	75.00
30979	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 S	300,000,000	2008/08/19	2009/03/30	75.00
30980	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 A	400,000,000	2008/08/19	2009/04/29	100.00
30965	UBS AG - China Mobile R Bull CBBC Mar 2009 P	300,000,000	2008/08/19	2009/03/16	75.00
30969	UBS AG - China Mobile R Bear CBBC Mar 2009 Q	300,000,000	2008/08/19	2009/03/16	75.00
30972	UBS AG - HSCEI R Bull CBBC Feb 2009 O	500,000,000	2008/08/19	2009/02/26	125.00
30954	UBS AG - HSCEI R Bull CBBC Feb 2009 P	500,000,000	2008/08/19	2009/02/26	125.00
30958	UBS AG - HSCEI R Bear CBBC Feb 2009 Q	500,000,000	2008/08/19	2009/02/26	125.00
30976	UBS AG - HSCEI R Bear CBBC Feb 2009 R	500,000,000	2008/08/19	2009/02/26	125.00
30959	UBS AG - HSI R Bull CBBC Jan 2009 K	500,000,000	2008/08/19	2009/01/29	125.00
30960	UBS AG - HSI R Bull CBBC Jan 2009 O	500,000,000	2008/08/19	2009/01/29	125.00
30961	UBS AG - HSI R Bull CBBC Feb 2009 A	500,000,000	2008/08/19	2009/02/26	125.00
30962	UBS AG - HSI R Bull CBBC Feb 2009 F	500,000,000	2008/08/19	2009/02/26	125.00
30966	UBS AG - HSI R Bull CBBC Feb 2009 G	500,000,000	2008/08/19	2009/02/26	125.00
30967	UBS AG - HSI R Bull CBBC Apr 2009 K	500,000,000	2008/08/19	2009/04/29	125.00
30968	UBS AG - HSI R Bull CBBC Apr 2009 M	500,000,000	2008/08/19	2009/04/29	125.00
30963	UBS AG - HSI R Bear CBBC Jan 2009 R	500,000,000	2008/08/19	2009/01/29	125.00
30964	UBS AG - HSI R Bear CBBC Jan 2009 U	500,000,000	2008/08/19	2009/01/29	125.00
30970	UBS AG - HSI R Bear CBBC Mar 2009 V	500,000,000	2008/08/19	2009/03/30	125.00
31001	Deutsche Bank AG - HSI R Bull CBBC Mar 2009 N	300,000,000	2008/08/20	2009/03/30	90.00
31005	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 T	300,000,000	2008/08/20	2009/03/30	75.00
31006	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 B	400,000,000	2008/08/20	2009/04/29	100.00
31000	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2009 F	200,000,000	2008/08/20	2009/04/29	50.00
30982	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Mar09E	300,000,000	2008/08/20	2009/03/17	87.00
30984	UBS AG - China Mobile R Bull CBBC Mar 2009 R	300,000,000	2008/08/20	2009/03/16	75.00
30997	UBS AG - China Mobile R Bear CBBC Mar 2009 S	300,000,000	2008/08/20	2009/03/16	75.00
30999	UBS AG - China Life R Bull CBBC Mar 2009 M	300,000,000	2008/08/20	2009/03/16	216.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
30983	UBS AG - China Life R Bear CBBC Mar 2009 L	300,000,000	2008/08/20	2009/03/16	102.00
31003	UBS AG - China Life R Bear CBBC Mar 2009 N	300,000,000	2008/08/20	2009/03/16	87.00
30985	UBS AG - A50 China R Bull CBBC Mar 2009 P	300,000,000	2008/08/20	2009/03/16	90.00
30998	UBS AG - A50 China R Bear CBBC Mar 2009 Q	300,000,000	2008/08/20	2009/03/16	75.00
30986	UBS AG - HSCEI R Bull CBBC Feb 2009 T	500,000,000	2008/08/20	2009/02/26	125.00
30987	UBS AG - HSCEI R Bear CBBC Mar 2009 G	500,000,000	2008/08/20	2009/03/30	125.00
30989	UBS AG - HSI R Bull CBBC Mar 2009 L	500,000,000	2008/08/20	2009/03/30	125.00
30990	UBS AG - HSI R Bull CBBC Mar 2009 M	500,000,000	2008/08/20	2009/03/30	125.00
30991	UBS AG - HSI R Bull CBBC Mar 2009 W	500,000,000	2008/08/20	2009/03/30	125.00
30988	UBS AG - HSI R Bull CBBC Apr 2009 A	500,000,000	2008/08/20	2009/04/29	125.00
30992	UBS AG - HSI R Bear CBBC Mar 2009 R	500,000,000	2008/08/20	2009/03/30	125.00
30996	UBS AG - HSI R Bear CBBC Apr 2009 D	500,000,000	2008/08/20	2009/04/29	125.00
30993	UBS AG - HSI R Bear CBBC Apr 2009 J	500,000,000	2008/08/20	2009/04/29	125.00
30994	UBS AG - HSI R Bear CBBC Apr 2009 L	500,000,000	2008/08/20	2009/04/29	125.00
30995	UBS AG - HSI R Bear CBBC Apr 2009 Y	500,000,000	2008/08/20	2009/04/29	125.00
31007	HK Bank - HSI R Bear CBBC Jan 2009 N	500,000,000	2008/08/21	2009/01/29	125.00
31011	KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Mar 09 B	400,000,000	2008/08/21	2009/03/02	100.00
31002	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb09F	200,000,000	2008/08/21	2009/02/26	50.00
31004	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb09G	200,000,000	2008/08/21	2009/02/26	50.00
31010	UBS AG - China Rail Cons R Bull CBBC Mar 2009 A	200,000,000	2008/08/21	2009/03/16	50.00
31008	UBS AG - HKEx R Bull CBBC Mar 2009 D	200,000,000	2008/08/21	2009/03/23	60.00
31009	UBS AG - HKEx R Bull CBBC Mar 2009 E	200,000,000	2008/08/21	2009/03/23	50.00
31012	UBS AG - HKEx R Bear CBBC Mar 2009 F	200,000,000	2008/08/21	2009/03/23	52.00
31031	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 A	300,000,000	2008/08/22	2009/03/30	75.00
31032	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 B	300,000,000	2008/08/22	2009/03/30	75.00
31034	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 C	300,000,000	2008/08/22	2009/03/30	75.00
31029	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2009 G	200,000,000	2008/08/22	2009/04/29	50.00
31033	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2009 H	200,000,000	2008/08/22	2009/04/29	50.00
31030	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 O	400,000,000	2008/08/22	2009/02/26	100.00
31013	UBS AG - HSCEI R Bull CBBC Mar 2009 H	500,000,000	2008/08/22	2009/03/30	177.50
31014	UBS AG - HSCEI R Bull CBBC Mar 2009 I	500,000,000	2008/08/22	2009/03/30	140.00
31015	UBS AG - HSCEI R Bull CBBC Apr 2009 E	500,000,000	2008/08/22	2009/04/29	212.50
31016	UBS AG - HSCEI R Bear CBBC Apr 2009 F	500,000,000	2008/08/22	2009/04/29	160.00
31024	UBS AG - HSI R Bull CBBC Jan 2009 J	500,000,000	2008/08/22	2009/01/29	125.00
31025	UBS AG - HSI R Bull CBBC Jan 2009 N	500,000,000	2008/08/22	2009/01/29	125.00
31017	UBS AG - HSI R Bull CBBC Jan 2009 P	500,000,000	2008/08/22	2009/01/29	140.00
31018	UBS AG - HSI R Bull CBBC Jan 2009 Q	500,000,000	2008/08/22	2009/01/29	125.00
31026	UBS AG - HSI R Bull CBBC Feb 2009 J	500,000,000	2008/08/22	2009/02/26	125.00
31019	UBS AG - HSI R Bull CBBC May 2009 A	500,000,000	2008/08/22	2009/05/27	262.50
31020	UBS AG - HSI R Bull CBBC May 2009 B	500,000,000	2008/08/22	2009/05/27	232.50
31021	UBS AG - HSI R Bull CBBC May 2009 C	500,000,000	2008/08/22	2009/05/27	200.00
31022	UBS AG - HSI R Bull CBBC May 2009 D	500,000,000	2008/08/22	2009/05/27	170.00
31027	UBS AG - HSI R Bear CBBC Mar 2009 Q	500,000,000	2008/08/22	2009/03/30	125.00
31028	UBS AG - HSI R Bear CBBC Mar 2009 S	500,000,000	2008/08/22	2009/03/30	125.00
31023	UBS AG - HSI R Bear CBBC May 2009 E	500,000,000	2008/08/22	2009/05/27	225.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31036	ABN AMRO Bank N.V. - DJIA R Bull CBBC Mar 2009 A	200,000,000	2008/08/25	2009/03/20	164.80
31037	ABN AMRO Bank N.V. - DJIA R Bull CBBC Mar 2009 B	200,000,000	2008/08/25	2009/03/20	270.60
31041	ABN AMRO Bank N.V. - DJIA R Bear CBBC Mar 2009 C	200,000,000	2008/08/25	2009/03/20	163.80
31062	ABN AMRO Bank N.V. - A50 China R Bull CBBC Mar 2009 A	200,000,000	2008/08/25	2009/03/16	50.00
31061	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 D	300,000,000	2008/08/25	2009/03/30	75.00
31065	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 E	300,000,000	2008/08/25	2009/03/30	75.00
31045	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 J	300,000,000	2008/08/25	2009/04/29	75.00
31049	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2009 G	300,000,000	2008/08/25	2009/03/30	75.00
31050	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 K	300,000,000	2008/08/25	2009/04/29	75.00
31051	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 L	300,000,000	2008/08/25	2009/04/29	75.00
31052	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 M	300,000,000	2008/08/25	2009/04/29	75.00
31056	Deutsche Bank AG - HSI R Bear CBBC Feb 2009 O	400,000,000	2008/08/25	2009/02/26	104.00
31060	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 C	400,000,000	2008/08/25	2009/04/29	100.00
31046	HK Bank - HSI R Bull CBBC Jan 2009 O	500,000,000	2008/08/25	2009/01/29	125.00
31047	HK Bank - HSI R Bull CBBC Jan 2009 P	500,000,000	2008/08/25	2009/01/29	125.00
31048	HK Bank - HSI R Bull CBBC Jan 2009 Q	500,000,000	2008/08/25	2009/01/29	125.00
31043	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2009 A	388,000,000	2008/08/25	2009/03/30	98.16
31044	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2009 B	388,000,000	2008/08/25	2009/03/30	98.16
31035	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb09H	200,000,000	2008/08/25	2009/02/26	50.00
31039	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb09I	200,000,000	2008/08/25	2009/02/26	50.00
31055	UBS AG - A50 China R Bull CBBC Mar 2009 R	300,000,000	2008/08/25	2009/03/23	93.00
31059	UBS AG - A50 China R Bear CBBC Mar 2009 S	300,000,000	2008/08/25	2009/03/23	75.00
31038	UBS AG - HSCEI R Bull CBBC Mar 2009 J	500,000,000	2008/08/25	2009/03/30	125.00
31040	UBS AG - HSCEI R Bull CBBC Mar 2009 K	500,000,000	2008/08/25	2009/03/30	125.00
31042	UBS AG - HSCEI R Bear CBBC Mar 2009 L	500,000,000	2008/08/25	2009/03/30	125.00
31054	UBS AG - HSI R Bull CBBC Mar 2009 J	500,000,000	2008/08/25	2009/03/30	125.00
31053	UBS AG - HSI R Bull CBBC May 2009 F	500,000,000	2008/08/25	2009/05/27	125.00
31057	UBS AG - HSI R Bear CBBC May 2009 G	500,000,000	2008/08/25	2009/05/27	125.00
31058	UBS AG - HSI R Bear CBBC May 2009 H	500,000,000	2008/08/25	2009/05/27	125.00
31090	ABN AMRO Bank N.V. - HKEx R Bull CBBC Aug 2009 A	200,000,000	2008/08/26	2009/08/04	60.40
31097	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Mar 2009 A	200,000,000	2008/08/26	2009/03/30	50.00
31098	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Mar 2009 B	200,000,000	2008/08/26	2009/03/30	50.00
31101	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Mar 2009 C	200,000,000	2008/08/26	2009/03/30	50.00
31102	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Mar 2009 D	200,000,000	2008/08/26	2009/03/30	50.00
31103	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Mar 2009 E	200,000,000	2008/08/26	2009/03/30	50.00
31104	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Mar 2009 F	200,000,000	2008/08/26	2009/03/30	50.00
31091	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 F	300,000,000	2008/08/26	2009/03/30	75.00
31092	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 G	300,000,000	2008/08/26	2009/03/30	75.00
31096	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 H	300,000,000	2008/08/26	2009/03/30	75.00
31093	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 A	300,000,000	2008/08/26	2009/04/29	75.00
31094	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 B	300,000,000	2008/08/26	2009/04/29	75.00
31095	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 C	300,000,000	2008/08/26	2009/04/29	75.00
31063	Deutsche Bank AG - A50 China R Bull CBBC Apr 2009 F	400,000,000	2008/08/26	2009/04/06	104.00
31083	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 D	400,000,000	2008/08/26	2009/04/29	100.00
31084	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 E	400,000,000	2008/08/26	2009/04/29	100.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31087	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 F	400,000,000	2008/08/26	2009/04/29	100.00
31088	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 G	400,000,000	2008/08/26	2009/04/29	100.00
31089	Goldman Sachs SP (Asia) - HSI R Bull CBBC Apr 2009 K	200,000,000	2008/08/26	2009/04/29	50.00
31085	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2009 I	200,000,000	2008/08/26	2009/04/29	50.00
31086	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2009 J	200,000,000	2008/08/26	2009/04/29	50.00
31099	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 I	400,000,000	2008/08/26	2009/03/30	100.00
31064	CC Rabobank B.A. - HSI R Bear CBBC Mar 2009 A	150,000,000	2008/08/26	2009/03/30	37.50
31066	CC Rabobank B.A. - HSI R Bear CBBC Mar 2009 B	150,000,000	2008/08/26	2009/03/30	37.50
31067	CC Rabobank B.A. - HSI R Bear CBBC Mar 2009 C	150,000,000	2008/08/26	2009/03/30	37.50
31069	UBS AG - HSBC R Bear CBBC Mar 2009 E	200,000,000	2008/08/26	2009/03/23	50.00
31070	UBS AG - HSBC R Bear CBBC Mar 2009 F	200,000,000	2008/08/26	2009/03/23	50.00
31068	UBS AG - HSCEI R Bull CBBC Mar 2009 M	500,000,000	2008/08/26	2009/03/30	125.00
31072	UBS AG - HSCEI R Bear CBBC Mar 2009 N	500,000,000	2008/08/26	2009/03/30	125.00
31071	UBS AG - HSI R Bull CBBC Apr 2009 K	500,000,000	2008/08/26	2009/04/29	125.00
31073	UBS AG - HSI R Bull CBBC Apr 2009 M	500,000,000	2008/08/26	2009/04/29	125.00
31074	UBS AG - HSI R Bull CBBC May 2009 I	500,000,000	2008/08/26	2009/05/27	125.00
31075	UBS AG - HSI R Bull CBBC May 2009 J	500,000,000	2008/08/26	2009/05/27	125.00
31076	UBS AG - HSI R Bull CBBC May 2009 K	500,000,000	2008/08/26	2009/05/27	125.00
31077	UBS AG - HSI R Bull CBBC May 2009 L	500,000,000	2008/08/26	2009/05/27	125.00
31078	UBS AG - HSI R Bull CBBC May 2009 M	500,000,000	2008/08/26	2009/05/27	125.00
31079	UBS AG - HSI R Bull CBBC May 2009 N	500,000,000	2008/08/26	2009/05/27	125.00
31080	UBS AG - HSI R Bull CBBC May 2009 O	500,000,000	2008/08/26	2009/05/27	125.00
31081	UBS AG - HSI R Bear CBBC Apr 2009 W	500,000,000	2008/08/26	2009/04/29	125.00
31082	UBS AG - HSI R Bear CBBC May 2009 P	500,000,000	2008/08/26	2009/05/27	125.00
31117	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 H	400,000,000	2008/08/27	2009/04/29	100.00
31121	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 I	400,000,000	2008/08/27	2009/04/29	108.00
31110	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 N	400,000,000	2008/08/27	2009/03/30	100.00
31106	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Mar 2009 J	400,000,000	2008/08/27	2009/03/30	100.00
31107	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Mar 2009 K	400,000,000	2008/08/27	2009/03/30	100.00
31108	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Mar 2009 L	400,000,000	2008/08/27	2009/03/30	100.00
31109	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Mar 2009 M	400,000,000	2008/08/27	2009/03/30	100.00
31100	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb09J	200,000,000	2008/08/27	2009/02/26	50.00
31105	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb09K	200,000,000	2008/08/27	2009/02/26	50.00
31113	UBS AG - China Mobile R Bull CBBC Mar 2009 T	300,000,000	2008/08/27	2009/03/23	75.00
31116	UBS AG - CM Bank R Bull CBBC Mar 2009 A	200,000,000	2008/08/27	2009/03/23	66.00
31114	UBS AG - A50 China R Bull CBBC Mar 2009 T	300,000,000	2008/08/27	2009/03/23	75.00
31115	UBS AG - A50 China R Bull CBBC Mar 2009 U	300,000,000	2008/08/27	2009/03/23	75.00
31111	UBS AG - HSCEI R Bull CBBC Feb 2009 O	500,000,000	2008/08/27	2009/02/26	125.00
31112	UBS AG - HSCEI R Bear CBBC Mar 2009 O	500,000,000	2008/08/27	2009/03/30	125.00
31118	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09H	200,000,000	2008/08/28	2009/03/30	50.00
30980 #	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 A	200,000,000	2008/08/28	2009/04/29	28.00
31132	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 J	400,000,000	2008/09/01	2009/04/29	100.00
31119	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09I	200,000,000	2008/09/01	2009/03/30	56.00
31120	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09J	200,000,000	2008/09/01	2009/03/30	52.00
31122	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09K	200,000,000	2008/09/01	2009/03/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31123	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09L	200,000,000	2008/09/01	2009/03/30	50.00
31124	UBS AG - HSI R Bull CBBC Feb 2009 A	500,000,000	2008/09/01	2009/02/26	125.00
31125	UBS AG - HSI R Bull CBBC Feb 2009 R	500,000,000	2008/09/01	2009/02/26	125.00
31126	UBS AG - HSI R Bull CBBC Mar 2009 K	500,000,000	2008/09/01	2009/03/30	125.00
31127	UBS AG - HSI R Bull CBBC Mar 2009 L	500,000,000	2008/09/01	2009/03/30	125.00
31128	UBS AG - HSI R Bull CBBC May 2009 Q	500,000,000	2008/09/01	2009/05/27	125.00
31129	UBS AG - HSI R Bear CBBC Mar 2009 M	500,000,000	2008/09/01	2009/03/30	125.00
31130	UBS AG - HSI R Bear CBBC May 2009 R	500,000,000	2008/09/01	2009/05/27	125.00
31131	UBS AG - HSI R Bear CBBC May 2009 S	500,000,000	2008/09/01	2009/05/27	125.00
31134	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2009 H	300,000,000	2008/09/02	2009/03/30	75.00
31135	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2009 I	300,000,000	2008/09/02	2009/03/30	75.00
31136	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2009 C	300,000,000	2008/09/02	2009/06/29	75.00
31137	Deutsche Bank AG - HSCEI R Bull CBBC Mar 2009 C	200,000,000	2008/09/02	2009/03/30	64.00
31138	Deutsche Bank AG - HSCEI R Bull CBBC Mar 2009 D	200,000,000	2008/09/02	2009/03/30	50.00
31139	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 K	400,000,000	2008/09/02	2009/04/29	100.00
31133	UBS AG - HSI R Bear CBBC Apr 2009 X	500,000,000	2008/09/02	2009/04/29	125.00
31142	UBS AG - China Life R Bull CBBC Mar 2009 O	300,000,000	2008/09/03	2009/03/23	93.00
31140	UBS AG - HSCEI R Bull CBBC Mar 2009 P	500,000,000	2008/09/03	2009/03/30	125.00
31148	UBS AG - HSCEI R Bull CBBC Mar 2009 R	500,000,000	2008/09/03	2009/03/30	125.00
31144	UBS AG - HSCEI R Bear CBBC Mar 2009 Q	500,000,000	2008/09/03	2009/03/30	125.00
31149	UBS AG - HSCEI R Bear CBBC Mar 2009 S	500,000,000	2008/09/03	2009/03/30	125.00
31141	UBS AG - HSI R Bull CBBC Mar 2009 J	500,000,000	2008/09/03	2009/03/30	125.00
31143	UBS AG - HSI R Bull CBBC Apr 2009 A	500,000,000	2008/09/03	2009/04/29	125.00
31146	UBS AG - HSI R Bear CBBC Mar 2009 Q	500,000,000	2008/09/03	2009/03/30	125.00
31145	UBS AG - HSI R Bear CBBC Mar 2009 W	500,000,000	2008/09/03	2009/03/30	125.00
31147	UBS AG - HSI R Bear CBBC Apr 2009 W	500,000,000	2008/09/03	2009/04/29	125.00
31162	ABN AMRO Bank N.V. - China Mobile R Bull CBBC Feb 2009 A	300,000,000	2008/09/04	2009/02/20	75.00
31161	ABN AMRO Bank N.V. - China Mobile R Bull CBBC Mar 2009 A	300,000,000	2008/09/04	2009/03/26	75.60
31163	ABN AMRO Bank N.V. - HKEx R Bull CBBC Feb 2009 A	300,000,000	2008/09/04	2009/02/20	75.00
31155	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 I	300,000,000	2008/09/04	2009/03/30	75.00
31156	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 J	300,000,000	2008/09/04	2009/03/30	75.00
31158	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 L	400,000,000	2008/09/04	2009/03/30	114.00
31157	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 U	400,000,000	2008/09/04	2009/03/30	100.00
31159	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 L	400,000,000	2008/09/04	2009/04/29	100.00
31150	CC Rabobank B.A. - HSCEI R Bull CBBC Apr 2009 A	150,000,000	2008/09/04	2009/04/29	52.50
31154	CC Rabobank B.A. - HSCEI R Bear CBBC Apr 2009 B	150,000,000	2008/09/04	2009/04/29	37.50
31151	CC Rabobank B.A. - HSI R Bull CBBC Mar 2009 D	150,000,000	2008/09/04	2009/03/30	52.50
31152	CC Rabobank B.A. - HSI R Bull CBBC Mar 2009 E	150,000,000	2008/09/04	2009/03/30	45.00
31160	UBS AG - China Mobile R Bull CBBC Mar 2009 U	300,000,000	2008/09/04	2009/03/23	75.00
31164	UBS AG - China Mobile R Bear CBBC Mar 2009 V	300,000,000	2008/09/04	2009/03/23	75.00
31153	UBS AG - HSI R Bear CBBC Apr 2009 J	500,000,000	2008/09/04	2009/04/29	125.00
31165	UBS AG - China Life R Bull CBBC Mar 2009 P	200,000,000	2008/09/05	2009/03/23	62.00
31166	UBS AG - China Life R Bull CBBC Mar 2009 Q	200,000,000	2008/09/05	2009/03/23	50.00
31176	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Feb 2009 D	300,000,000	2008/09/08	2009/02/26	75.00
31180	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Feb 2009 E	300,000,000	2008/09/08	2009/02/26	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31175	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 D	300,000,000	2008/09/08	2009/04/29	76.50
31173	Deutsche Bank AG - HSI R Bear CBBC Mar 2009 O	300,000,000	2008/09/08	2009/03/30	79.50
31174	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 M	300,000,000	2008/09/08	2009/04/29	75.00
31167	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr09A	200,000,000	2008/09/08	2009/04/29	50.00
31168	UBS AG - HSI R Bull CBBC Mar 2009 L	500,000,000	2008/09/08	2009/03/30	125.00
31169	UBS AG - HSI R Bull CBBC Mar 2009 M	500,000,000	2008/09/08	2009/03/30	125.00
31170	UBS AG - HSI R Bull CBBC Mar 2009 R	500,000,000	2008/09/08	2009/03/30	125.00
31172	UBS AG - HSI R Bear CBBC Mar 2009 S	500,000,000	2008/09/08	2009/03/30	125.00
31171	UBS AG - HSI R Bear CBBC May 2009 T	500,000,000	2008/09/08	2009/05/27	125.00
31177	UBS AG - HSCEI R Bull CBBC Mar 2009 T	500,000,000	2008/09/09	2009/03/30	125.00
31181	UBS AG - HSCEI R Bear CBBC Mar 2009 U	500,000,000	2008/09/09	2009/03/30	125.00
31179	UBS AG - HSI R Bull CBBC Mar 2009 K	500,000,000	2008/09/09	2009/03/30	125.00
31184	UBS AG - HSI R Bull CBBC Apr 2009 M	500,000,000	2008/09/09	2009/04/29	125.00
31178	UBS AG - HSI R Bull CBBC May 2009 U	500,000,000	2008/09/09	2009/05/27	125.00
31182	UBS AG - HSI R Bear CBBC Apr 2009 Y	500,000,000	2008/09/09	2009/04/29	125.00
31183	UBS AG - HSI R Bear CBBC May 2009 V	500,000,000	2008/09/09	2009/05/27	125.00
31189	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 O	300,000,000	2008/09/10	2009/04/29	75.00
31190	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 P	300,000,000	2008/09/10	2009/04/29	75.00
31185	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 N	300,000,000	2008/09/10	2009/04/29	75.00
31186	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 A	200,000,000	2008/09/10	2009/05/27	50.00
31208	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 K	300,000,000	2008/09/11	2009/03/30	75.00
31209	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 L	300,000,000	2008/09/11	2009/03/30	75.60
31199	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 B	200,000,000	2008/09/11	2009/05/27	50.00
31203	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 C	200,000,000	2008/09/11	2009/05/27	50.00
31207	KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Jan 09 A	400,000,000	2008/09/11	2009/01/09	100.00
31206	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2009 N	400,000,000	2008/09/11	2009/01/29	100.00
31187	UBS AG - China Mobile R Bull CBBC Mar 2009 W	300,000,000	2008/09/11	2009/03/23	75.00
31191	UBS AG - China Mobile R Bear CBBC Mar 2009 X	300,000,000	2008/09/11	2009/03/23	75.00
31201	UBS AG - Cheung Kong R Bear CBBC Mar 2009 B	300,000,000	2008/09/11	2009/03/23	75.00
31188	UBS AG - CM Bank R Bull CBBC Mar 2009 B	200,000,000	2008/09/11	2009/03/23	92.00
31202	UBS AG - CM Bank R Bear CBBC Mar 2009 C	200,000,000	2008/09/11	2009/03/23	80.00
31204	UBS AG - A50 China R Bull CBBC Mar 2009 W	300,000,000	2008/09/11	2009/03/23	102.00
31200	UBS AG - A50 China R Bear CBBC Mar 2009 V	300,000,000	2008/09/11	2009/03/23	75.00
31192	UBS AG - HSCEI R Bull CBBC Mar 2009 V	500,000,000	2008/09/11	2009/03/30	125.00
31193	UBS AG - HSCEI R Bull CBBC Mar 2009 W	500,000,000	2008/09/11	2009/03/30	125.00
31198	UBS AG - HSCEI R Bear CBBC Mar 2009 X	500,000,000	2008/09/11	2009/03/30	125.00
31194	UBS AG - HSI R Bull CBBC Apr 2009 A	500,000,000	2008/09/11	2009/04/29	125.00
31195	UBS AG - HSI R Bull CBBC Apr 2009 X	500,000,000	2008/09/11	2009/04/29	125.00
31196	UBS AG - HSI R Bear CBBC May 2009 W	500,000,000	2008/09/11	2009/05/27	125.00
31197	UBS AG - HSI R Bear CBBC May 2009 X	500,000,000	2008/09/11	2009/05/27	125.00
31205	UBS AG - SHK Ppt R Bear CBBC Mar 2009 B	200,000,000	2008/09/11	2009/03/23	50.00
31211	ABN AMRO Bank N.V. - China Mobile R Bull CBBC Mar 2009 B	300,000,000	2008/09/12	2009/03/20	75.00
31210	ABN AMRO Bank N.V. - A50 China R Bull CBBC Mar 2009 B	300,000,000	2008/09/12	2009/03/20	92.40
31273	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 E	300,000,000	2008/09/12	2009/04/29	115.50
31274	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 F	300,000,000	2008/09/12	2009/04/29	75.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31275	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 G	300,000,000	2008/09/12	2009/04/29	79.80
31276	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 H	300,000,000	2008/09/12	2009/04/29	87.30
31280	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 I	300,000,000	2008/09/12	2009/04/29	81.90
31281	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 J	300,000,000	2008/09/12	2009/04/29	99.60
31221	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2009 A	300,000,000	2008/09/12	2009/05/27	75.00
31222	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 N	300,000,000	2008/09/12	2009/04/29	75.00
31225	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2009 B	300,000,000	2008/09/12	2009/05/27	75.00
31226	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2009 C	300,000,000	2008/09/12	2009/05/27	75.00
31223	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 Q	300,000,000	2008/09/12	2009/04/29	81.00
31224	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 R	300,000,000	2008/09/12	2009/04/29	75.00
31227	Deutsche Bank AG - HSI R Bull CBBC May 2009 A	300,000,000	2008/09/12	2009/05/27	75.00
31228	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 S	300,000,000	2008/09/12	2009/04/29	75.00
31229	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 T	300,000,000	2008/09/12	2009/04/29	84.00
31230	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 U	300,000,000	2008/09/12	2009/04/29	78.00
31231	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 D	200,000,000	2008/09/12	2009/05/27	50.00
31232	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 E	200,000,000	2008/09/12	2009/05/27	50.00
31235	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 F	200,000,000	2008/09/12	2009/05/27	50.00
31236	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 G	200,000,000	2008/09/12	2009/05/27	50.00
31216	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jan 2009 O	400,000,000	2008/09/12	2009/01/29	100.00
31217	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Jan 2009 P	400,000,000	2008/09/12	2009/01/29	100.00
31218	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 P	400,000,000	2008/09/12	2009/02/26	100.00
31219	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 Q	400,000,000	2008/09/12	2009/02/26	100.00
31220	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 R	400,000,000	2008/09/12	2009/02/26	100.00
31233	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 S	400,000,000	2008/09/12	2009/02/26	100.00
31234	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 T	400,000,000	2008/09/12	2009/02/26	100.00
31214	Macquarie Bank Ltd. - A50 China R Bull CBBC Aug 2009 A	288,000,000	2008/09/12	2009/08/11	72.00
31215	Macquarie Bank Ltd. - A50 China R Bear CBBC Mar 2009 A	288,000,000	2008/09/12	2009/03/30	78.05
31212	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09M	200,000,000	2008/09/12	2009/03/30	50.00
31213	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09N	200,000,000	2008/09/12	2009/03/30	50.00
31257	UBS AG - BOC HK R Bull CBBC Apr 2009 A	200,000,000	2008/09/12	2009/04/27	84.00
31262	UBS AG - China Mobile R Bull CBBC Mar 2009 Y	300,000,000	2008/09/12	2009/03/23	75.00
31263	UBS AG - China Mobile R Bear CBBC Mar 2009 Z	300,000,000	2008/09/12	2009/03/23	75.00
31265	UBS AG - China Tel R Bull CBBC Mar 2009 A	200,000,000	2008/09/12	2009/03/23	94.00
31258	UBS AG - CC Bank R Bear CBBC Mar 2009 B	200,000,000	2008/09/12	2009/03/23	50.00
31260	UBS AG - China Life R Bear CBBC Apr 2009 A	200,000,000	2008/09/12	2009/04/27	52.00
31261	UBS AG - China Life R Bear CBBC Apr 2009 B	200,000,000	2008/09/12	2009/04/27	72.00
31259	UBS AG - CM Bank R Bull CBBC Mar 2009 D	200,000,000	2008/09/12	2009/03/23	80.00
31266	UBS AG - CNOOC R Bear CBBC Mar 2009 B	200,000,000	2008/09/12	2009/03/23	52.00
31264	UBS AG - China Shenhua R Bear CBBC Mar 2009 B	200,000,000	2008/09/12	2009/03/23	100.00
31267	UBS AG - HKEx R Bear CBBC Mar 2009 G	200,000,000	2008/09/12	2009/03/23	50.00
31268	UBS AG - HSBC R Bull CBBC Mar 2009 G	300,000,000	2008/09/12	2009/03/23	75.00
31237	UBS AG - HSCEI R Bull CBBC Mar 2009 Y	500,000,000	2008/09/12	2009/03/30	125.00
31238	UBS AG - HSCEI R Bear CBBC Mar 2009 Z	500,000,000	2008/09/12	2009/03/30	125.00
31244	UBS AG - HSI R Bull CBBC Feb 2009 F	500,000,000	2008/09/12	2009/02/26	125.00
31253	UBS AG - HSI R Bull CBBC Feb 2009 G	500,000,000	2008/09/12	2009/02/26	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31239	UBS AG - HSI R Bull CBBC Mar 2009 I	500,000,000	2008/09/12	2009/03/30	125.00
31240	UBS AG - HSI R Bull CBBC Apr 2009 K	500,000,000	2008/09/12	2009/04/29	125.00
31241	UBS AG - HSI R Bull CBBC Apr 2009 S	500,000,000	2008/09/12	2009/04/29	125.00
31242	UBS AG - HSI R Bull CBBC Apr 2009 T	500,000,000	2008/09/12	2009/04/29	125.00
31243	UBS AG - HSI R Bull CBBC Apr 2009 U	500,000,000	2008/09/12	2009/04/29	125.00
31245	UBS AG - HSI R Bull CBBC May 2009 F	500,000,000	2008/09/12	2009/05/27	125.00
31246	UBS AG - HSI R Bull CBBC May 2009 G	500,000,000	2008/09/12	2009/05/27	125.00
31247	UBS AG - HSI R Bull CBBC May 2009 H	500,000,000	2008/09/12	2009/05/27	125.00
31248	UBS AG - HSI R Bull CBBC May 2009 P	500,000,000	2008/09/12	2009/05/27	160.00
31249	UBS AG - HSI R Bull CBBC May 2009 Q	500,000,000	2008/09/12	2009/05/27	125.00
31250	UBS AG - HSI R Bull CBBC May 2009 R	500,000,000	2008/09/12	2009/05/27	140.00
31251	UBS AG - HSI R Bull CBBC May 2009 S	500,000,000	2008/09/12	2009/05/27	125.00
31252	UBS AG - HSI R Bull CBBC May 2009 Y	500,000,000	2008/09/12	2009/05/27	125.00
31254	UBS AG - HSI R Bear CBBC Mar 2009 J	500,000,000	2008/09/12	2009/03/30	125.00
31255	UBS AG - HSI R Bear CBBC Apr 2009 V	500,000,000	2008/09/12	2009/04/29	125.00
31256	UBS AG - HSI R Bear CBBC May 2009 Z	500,000,000	2008/09/12	2009/05/27	125.00
31269	UBS AG - Hutchison R Bear CBBC Mar 2009 B	200,000,000	2008/09/12	2009/03/23	50.00
31271	UBS AG - Petrochina R Bull CBBC Mar 2009 M	300,000,000	2008/09/12	2009/03/16	75.00
31272	UBS AG - Petrochina R Bear CBBC Mar 2009 N	300,000,000	2008/09/12	2009/03/16	75.00
31270	UBS AG - SHK Ppt R Bull CBBC May 2009 A	200,000,000	2008/09/12	2009/05/25	50.00
31288	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 A	300,000,000	2008/09/16	2009/04/29	75.00
31289	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 B	300,000,000	2008/09/16	2009/04/29	75.00
31279	ABN AMRO Bank N.V. - HSI R Bull CBBC Feb 2009 S	300,000,000	2008/09/16	2009/02/26	75.00
31285	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 M	300,000,000	2008/09/16	2009/03/30	75.00
31287	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 N	300,000,000	2008/09/16	2009/03/30	75.00
31290	ABN AMRO Bank N.V. - HSI R Bear CBBC Mar 2009 O	300,000,000	2008/09/16	2009/03/30	75.00
31291	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 K	300,000,000	2008/09/16	2009/04/29	75.00
31283	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 V	300,000,000	2008/09/16	2009/04/29	75.00
31284	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 W	300,000,000	2008/09/16	2009/04/29	75.00
31277	HK Bank - HSI R Bear CBBC Jan 2009 R	500,000,000	2008/09/16	2009/01/29	125.00
31278	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09O	200,000,000	2008/09/16	2009/03/30	54.00
31282	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09P	200,000,000	2008/09/16	2009/03/30	50.00
31286	UBS AG - HS Bank R Bear CBBC Jun 2009 A	300,000,000	2008/09/16	2009/06/23	84.00
31293	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 O	400,000,000	2008/09/17	2009/03/30	100.00
31294	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 P	400,000,000	2008/09/17	2009/03/30	100.00
31292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr09B	200,000,000	2008/09/17	2009/04/29	50.00
31122 #	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09K	200,000,000	2008/09/17	2009/03/30	23.40
31305	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 L	300,000,000	2008/09/18	2009/04/29	75.00
31306	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 M	300,000,000	2008/09/18	2009/04/29	75.00
31295	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 O	300,000,000	2008/09/18	2009/04/29	75.00
31297	Deutsche Bank AG - A50 China R Bull CBBC Mar 2009 A	300,000,000	2008/09/18	2009/03/30	75.00
31301	Deutsche Bank AG - A50 China R Bear CBBC Mar 2009 B	300,000,000	2008/09/18	2009/03/30	81.00
31298	Deutsche Bank AG - HSCEI R Bear CBBC Mar 2009 E	200,000,000	2008/09/18	2009/03/30	50.00
31302	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 H	200,000,000	2008/09/18	2009/05/27	50.00
31296	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09Q	200,000,000	2008/09/18	2009/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31300	UBS AG - CNOOC R Bull CBBC Apr 2009 A	200,000,000	2008/09/18	2009/04/27	61.00
31304	UBS AG - CNOOC R Bear CBBC Apr 2009 B	200,000,000	2008/09/18	2009/04/27	50.00
31299	UBS AG - HSCEI R Bull CBBC Mar 2009 A	500,000,000	2008/09/18	2009/03/30	125.00
31303	UBS AG - HSCEI R Bear CBBC Mar 2009 B	500,000,000	2008/09/18	2009/03/30	125.00
30400 #	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2009 A	300,000,000	2008/09/18	2009/06/29	13.20
31120 #	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09J	200,000,000	2008/09/18	2009/03/30	28.40
31390	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Apr 2009 C	300,000,000	2008/09/19	2009/04/29	75.00
31391	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 D	300,000,000	2008/09/19	2009/04/29	75.00
31392	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 E	300,000,000	2008/09/19	2009/04/29	75.00
31393	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 F	300,000,000	2008/09/19	2009/04/29	75.00
31386	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 N	300,000,000	2008/09/19	2009/04/29	75.00
31387	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 O	300,000,000	2008/09/19	2009/04/29	75.00
31388	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 P	300,000,000	2008/09/19	2009/04/29	75.90
31389	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 Q	300,000,000	2008/09/19	2009/04/29	75.00
31328	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Aug 2009 A	300,000,000	2008/09/19	2009/08/28	75.00
31332	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Aug 2009 B	300,000,000	2008/09/19	2009/08/28	75.00
31329	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 P	300,000,000	2008/09/19	2009/04/29	75.00
31330	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2009 D	300,000,000	2008/09/19	2009/05/27	75.00
31333	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 Q	300,000,000	2008/09/19	2009/04/29	75.00
31382	Deutsche Bank AG - A50 China R Bull CBBC Mar 2009 C	250,000,000	2008/09/19	2009/03/30	62.50
31385	Deutsche Bank AG - A50 China R Bear CBBC Mar 2009 D	250,000,000	2008/09/19	2009/03/30	62.50
31381	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 X	300,000,000	2008/09/19	2009/04/29	90.00
31383	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 Y	300,000,000	2008/09/19	2009/04/29	75.00
31384	Deutsche Bank AG - HSI R Bear CBBC May 2009 B	300,000,000	2008/09/19	2009/05/27	75.00
31321	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 I	200,000,000	2008/09/19	2009/05/27	50.00
31322	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 J	200,000,000	2008/09/19	2009/05/27	50.00
31326	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 K	200,000,000	2008/09/19	2009/05/27	50.00
31324	KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Feb 2009 B	400,000,000	2008/09/19	2009/02/16	100.00
31325	KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Mar 2009 C	400,000,000	2008/09/19	2009/03/10	100.00
31327	KBC Fin Products Int'l Ltd. - A50 China R Bull CBBC Apr 2009 A	318,000,000	2008/09/19	2009/04/01	98.58
31310	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Feb 2009 F	400,000,000	2008/09/19	2009/02/26	100.00
31311	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Mar 2009 C	400,000,000	2008/09/19	2009/03/30	100.00
31312	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Mar 2009 D	400,000,000	2008/09/19	2009/03/30	100.00
31313	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Mar 2009 E	400,000,000	2008/09/19	2009/03/30	100.00
31314	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Feb 2009 D	400,000,000	2008/09/19	2009/02/26	100.00
31315	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Feb 2009 E	400,000,000	2008/09/19	2009/02/26	100.00
31316	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Mar 2009 F	400,000,000	2008/09/19	2009/03/30	100.00
31317	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Mar 2009 G	400,000,000	2008/09/19	2009/03/30	100.00
31318	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 Q	400,000,000	2008/09/19	2009/03/30	100.00
31319	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 R	400,000,000	2008/09/19	2009/03/30	100.00
31320	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 U	400,000,000	2008/09/19	2009/02/26	100.00
31323	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 V	400,000,000	2008/09/19	2009/02/26	100.00
31308	CC Rabobank B.A. - HSI R Bear CBBC Apr 2009 A	150,000,000	2008/09/19	2009/04/29	37.50
31309	CC Rabobank B.A. - HSI R Bear CBBC Apr 2009 B	150,000,000	2008/09/19	2009/04/29	37.50
31307	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 2009 L	200,000,000	2008/09/19	2009/02/26	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31347	UBS AG - BankComm R Bull CBBC Mar 2009 C	300,000,000	2008/09/19	2009/03/09	75.00
31351	UBS AG - China Mobile R Bull CBBC Mar 2009 A	300,000,000	2008/09/19	2009/03/09	81.00
31352	UBS AG - China Mobile R Bull CBBC Mar 2009 G	300,000,000	2008/09/19	2009/03/09	75.00
31331	UBS AG - China Mobile R Bear CBBC Mar 2009 H	300,000,000	2008/09/19	2009/03/09	75.00
31346	UBS AG - China Coal R Bull CBBC Mar 2009 B	300,000,000	2008/09/19	2009/03/09	96.00
31348	UBS AG - CM Bank R Bull CBBC Mar 2009 E	200,000,000	2008/09/19	2009/03/09	82.00
31350	UBS AG - China Rail Cons R Bull CBBC Mar 2009 B	300,000,000	2008/09/19	2009/03/09	75.00
31340	UBS AG - China Shenhua R Bull CBBC Feb 2009 E	200,000,000	2008/09/19	2009/02/23	92.00
31341	UBS AG - China Shenhua R Bear CBBC Feb 2009 F	200,000,000	2008/09/19	2009/02/23	110.00
31334	UBS AG - A50 China R Bull CBBC Apr 2009 A	300,000,000	2008/09/19	2009/04/27	111.00
31335	UBS AG - A50 China R Bull CBBC Apr 2009 B	300,000,000	2008/09/19	2009/04/27	96.00
31336	UBS AG - A50 China R Bear CBBC Apr 2009 C	300,000,000	2008/09/19	2009/04/27	75.00
31337	UBS AG - A50 China R Bear CBBC Apr 2009 D	300,000,000	2008/09/19	2009/04/27	75.00
31338	UBS AG - HKEx R Bull CBBC Mar 2009 H	300,000,000	2008/09/19	2009/03/09	78.00
31339	UBS AG - HKEx R Bear CBBC Mar 2009 I	300,000,000	2008/09/19	2009/03/09	75.00
31353	UBS AG - HSCEI R Bull CBBC Mar 2009 C	500,000,000	2008/09/19	2009/03/30	270.00
31354	UBS AG - HSCEI R Bull CBBC Mar 2009 D	500,000,000	2008/09/19	2009/03/30	150.00
31355	UBS AG - HSCEI R Bull CBBC Mar 2009 E	500,000,000	2008/09/19	2009/03/30	235.00
31356	UBS AG - HSCEI R Bull CBBC Mar 2009 F	500,000,000	2008/09/19	2009/03/30	130.00
31357	UBS AG - HSCEI R Bull CBBC Mar 2009 G	500,000,000	2008/09/19	2009/03/30	125.00
31358	UBS AG - HSCEI R Bull CBBC Mar 2009 J	500,000,000	2008/09/19	2009/03/30	125.00
31359	UBS AG - HSCEI R Bull CBBC Mar 2009 K	500,000,000	2008/09/19	2009/03/30	125.00
31360	UBS AG - HSCEI R Bear CBBC Mar 2009 L	500,000,000	2008/09/19	2009/03/30	125.00
31361	UBS AG - HSCEI R Bear CBBC Mar 2009 N	500,000,000	2008/09/19	2009/03/30	125.00
31362	UBS AG - HSI R Bull CBBC Mar 2009 L	300,000,000	2008/09/19	2009/03/30	75.00
31364	UBS AG - HSI R Bull CBBC Apr 2009 M	500,000,000	2008/09/19	2009/04/29	125.00
31365	UBS AG - HSI R Bull CBBC May 2009 U	500,000,000	2008/09/19	2009/05/27	125.00
31366	UBS AG - HSI R Bull CBBC Jun 2009 A	500,000,000	2008/09/19	2009/06/29	145.00
31367	UBS AG - HSI R Bull CBBC Jun 2009 B	500,000,000	2008/09/19	2009/06/29	330.00
31368	UBS AG - HSI R Bull CBBC Jun 2009 C	500,000,000	2008/09/19	2009/06/29	125.00
31369	UBS AG - HSI R Bull CBBC Jun 2009 D	500,000,000	2008/09/19	2009/06/29	210.00
31370	UBS AG - HSI R Bull CBBC Jun 2009 E	500,000,000	2008/09/19	2009/06/29	130.00
31371	UBS AG - HSI R Bull CBBC Jun 2009 F	500,000,000	2008/09/19	2009/06/29	300.00
31372	UBS AG - HSI R Bull CBBC Jun 2009 G	500,000,000	2008/09/19	2009/06/29	125.00
31373	UBS AG - HSI R Bull CBBC Jun 2009 H	500,000,000	2008/09/19	2009/06/29	190.00
31374	UBS AG - HSI R Bull CBBC Jun 2009 I	500,000,000	2008/09/19	2009/06/29	125.00
31375	UBS AG - HSI R Bull CBBC Jun 2009 J	500,000,000	2008/09/19	2009/06/29	170.00
31363	UBS AG - HSI R Bear CBBC Mar 2009 M	300,000,000	2008/09/19	2009/03/30	75.00
31376	UBS AG - HSI R Bear CBBC Jun 2009 K	500,000,000	2008/09/19	2009/06/29	125.00
31377	UBS AG - HSI R Bear CBBC Jun 2009 L	500,000,000	2008/09/19	2009/06/29	125.00
31378	UBS AG - HSI R Bear CBBC Jun 2009 M	500,000,000	2008/09/19	2009/06/29	125.00
31379	UBS AG - HSI R Bear CBBC Jun 2009 N	500,000,000	2008/09/19	2009/06/29	125.00
31380	UBS AG - HSI R Bear CBBC Jun 2009 O	500,000,000	2008/09/19	2009/06/29	125.00
31349	UBS AG - ICBC R Bull CBBC Apr 2009 A	200,000,000	2008/09/19	2009/04/27	50.00
31342	UBS AG - Petrochina R Bull CBBC Mar 2009 O	300,000,000	2008/09/19	2009/03/09	84.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31343	UBS AG - Petrochina R Bull CBBC Mar 2009 P	300,000,000	2008/09/19	2009/03/09	75.00
31344	UBS AG - Petrochina R Bear CBBC Mar 2009 Q	300,000,000	2008/09/19	2009/03/09	75.00
31345	UBS AG - Petrochina R Bear CBBC Mar 2009 R	300,000,000	2008/09/19	2009/03/09	75.00
31403	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 L	200,000,000	2008/09/22	2009/05/27	50.00
31402	HK Bank - HSCEI R Bull CBBC Jan 2009 F	500,000,000	2008/09/22	2009/01/29	125.00
31398	HK Bank - HSI R Bull CBBC Jan 2009 S	500,000,000	2008/09/22	2009/01/29	125.00
31399	HK Bank - HSI R Bull CBBC Jan 2009 T	500,000,000	2008/09/22	2009/01/29	125.00
31400	HK Bank - HSI R Bull CBBC Jan 2009 U	500,000,000	2008/09/22	2009/01/29	125.00
31401	HK Bank - HSI R Bear CBBC Jan 2009 V	500,000,000	2008/09/22	2009/01/29	125.00
31395	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Apr09A	200,000,000	2008/09/22	2009/04/30	50.00
31394	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09R	200,000,000	2008/09/22	2009/03/30	50.00
31396	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09S	200,000,000	2008/09/22	2009/03/30	50.00
31397	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09T	200,000,000	2008/09/22	2009/03/30	50.00
31405	ABN AMRO Bank N.V. - China Mobile R Bull CBBC Mar 2009 C	300,000,000	2008/09/23	2009/03/20	76.80
31404	ABN AMRO Bank N.V. - A50 China R Bull CBBC Mar 2009 C	300,000,000	2008/09/23	2009/03/20	111.90
31406	ABN AMRO Bank N.V. - HKEx R Bull CBBC Mar 2009 A	300,000,000	2008/09/23	2009/03/20	86.70
31407	ABN AMRO Bank N.V. - HSBC R Bull CBBC Mar 2009 A	300,000,000	2008/09/23	2009/03/20	93.60
31408	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Apr 2009 G	300,000,000	2008/09/23	2009/04/29	82.80
31409	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Apr 2009 H	300,000,000	2008/09/23	2009/04/29	75.90
31410	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Apr 2009 I	300,000,000	2008/09/23	2009/04/29	75.60
31503	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 J	300,000,000	2008/09/23	2009/04/29	75.00
31504	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 K	300,000,000	2008/09/23	2009/04/29	75.00
31505	ABN AMRO Bank N.V. - HSCEI R Bear CBBC Apr 2009 L	300,000,000	2008/09/23	2009/04/29	75.30
31411	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 R	300,000,000	2008/09/23	2009/04/29	77.10
31412	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 S	300,000,000	2008/09/23	2009/04/29	75.90
31413	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 T	300,000,000	2008/09/23	2009/04/29	75.90
31414	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 U	300,000,000	2008/09/23	2009/04/29	86.10
31415	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 V	300,000,000	2008/09/23	2009/04/29	76.50
31416	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 W	300,000,000	2008/09/23	2009/04/29	75.00
31501	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 X	300,000,000	2008/09/23	2009/04/29	75.00
31502	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 Y	300,000,000	2008/09/23	2009/04/29	75.60
31462	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 R	200,000,000	2008/09/23	2009/04/29	50.00
31465	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2009 S	300,000,000	2008/09/23	2009/04/29	75.00
31466	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2009 E	500,000,000	2008/09/23	2009/05/27	125.00
31467	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2009 T	200,000,000	2008/09/23	2009/04/29	50.00
31468	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2009 F	300,000,000	2008/09/23	2009/05/27	75.00
31469	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2009 G	300,000,000	2008/09/23	2009/05/27	75.00
31456	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 F	300,000,000	2008/09/23	2009/04/29	78.00
31455	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 Z	300,000,000	2008/09/23	2009/04/29	87.00
31458	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 C	300,000,000	2008/09/23	2009/04/29	81.00
31457	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 G	300,000,000	2008/09/23	2009/04/29	75.00
31451	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 M	200,000,000	2008/09/23	2009/05/27	50.00
31452	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 N	200,000,000	2008/09/23	2009/05/27	50.00
31454	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2009 A	200,000,000	2008/09/23	2009/06/29	50.00
31453	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 O	200,000,000	2008/09/23	2009/05/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31459	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Mar 2009 H	400,000,000	2008/09/23	2009/03/30	100.00
31463	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Mar 2009 I	400,000,000	2008/09/23	2009/03/30	100.00
31460	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 S	400,000,000	2008/09/23	2009/03/30	100.00
31461	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 T	400,000,000	2008/09/23	2009/03/30	100.00
31464	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Mar 2009 U	400,000,000	2008/09/23	2009/03/30	100.00
31506	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2009 F	388,000,000	2008/09/23	2009/04/29	97.39
31507	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2009 G	388,000,000	2008/09/23	2009/04/29	98.94
31511	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2009 H	388,000,000	2008/09/23	2009/04/29	103.98
31477	UBS AG - BOCL R Bull CBBC Apr 2009 A	200,000,000	2008/09/23	2009/04/27	182.00
31489	UBS AG - China Mobile R Bull CBBC Jul 2009 A	300,000,000	2008/09/23	2009/07/27	75.00
31496	UBS AG - China Mobile R Bear CBBC Jul 2009 B	300,000,000	2008/09/23	2009/07/27	75.00
31480	UBS AG - China Tel R Bull CBBC Jun 2009 A	200,000,000	2008/09/23	2009/06/22	174.00
31487	UBS AG - CC Bank R Bull CBBC Apr 2009 A	200,000,000	2008/09/23	2009/04/06	58.00
31493	UBS AG - CC Bank R Bear CBBC Apr 2009 B	200,000,000	2008/09/23	2009/04/06	50.00
31479	UBS AG - Cheung Kong R Bull CBBC Jul 2009 A	200,000,000	2008/09/23	2009/07/27	50.00
31488	UBS AG - China Life R Bull CBBC Apr 2009 C	200,000,000	2008/09/23	2009/04/27	160.00
31499	UBS AG - China Life R Bull CBBC Apr 2009 F	200,000,000	2008/09/23	2009/04/27	140.00
31494	UBS AG - China Life R Bear CBBC Apr 2009 D	200,000,000	2008/09/23	2009/04/27	50.00
31495	UBS AG - China Life R Bear CBBC Apr 2009 E	200,000,000	2008/09/23	2009/04/27	62.00
31481	UBS AG - CNOOC R Bull CBBC Jul 2009 A	200,000,000	2008/09/23	2009/07/27	52.00
31492	UBS AG - A50 China R Bull CBBC Apr 2009 E	300,000,000	2008/09/23	2009/04/27	99.00
31478	UBS AG - A50 China R Bear CBBC Apr 2009 F	300,000,000	2008/09/23	2009/04/27	75.00
31490	UBS AG - HKEx R Bull CBBC Jun 2009 A	300,000,000	2008/09/23	2009/06/22	78.00
31497	UBS AG - HKEx R Bear CBBC Jun 2009 B	300,000,000	2008/09/23	2009/06/22	75.00
31470	UBS AG - HSCEI R Bull CBBC Apr 2009 G	500,000,000	2008/09/23	2009/04/29	285.00
31471	UBS AG - HSCEI R Bull CBBC Apr 2009 H	500,000,000	2008/09/23	2009/04/29	160.00
31472	UBS AG - HSCEI R Bull CBBC Apr 2009 I	500,000,000	2008/09/23	2009/04/29	250.00
31473	UBS AG - HSCEI R Bull CBBC Apr 2009 J	500,000,000	2008/09/23	2009/04/29	140.00
31474	UBS AG - HSCEI R Bull CBBC Apr 2009 K	500,000,000	2008/09/23	2009/04/29	125.00
31475	UBS AG - HSCEI R Bull CBBC Apr 2009 L	500,000,000	2008/09/23	2009/04/29	125.00
31476	UBS AG - HSCEI R Bull CBBC Apr 2009 M	500,000,000	2008/09/23	2009/04/29	125.00
31484	UBS AG - HSCEI R Bear CBBC Apr 2009 N	500,000,000	2008/09/23	2009/04/29	125.00
31485	UBS AG - HSCEI R Bear CBBC Apr 2009 O	500,000,000	2008/09/23	2009/04/29	125.00
31486	UBS AG - HSCEI R Bear CBBC Apr 2009 P	500,000,000	2008/09/23	2009/04/29	125.00
31417	UBS AG - HSI R Bull CBBC Apr 2009 A	500,000,000	2008/09/23	2009/04/29	125.00
31418	UBS AG - HSI R Bull CBBC Apr 2009 K	500,000,000	2008/09/23	2009/04/29	125.00
31419	UBS AG - HSI R Bull CBBC May 2009 B	500,000,000	2008/09/23	2009/05/27	125.00
31420	UBS AG - HSI R Bull CBBC May 2009 C	500,000,000	2008/09/23	2009/05/27	125.00
31421	UBS AG - HSI R Bull CBBC May 2009 D	500,000,000	2008/09/23	2009/05/27	125.00
31422	UBS AG - HSI R Bull CBBC May 2009 L	500,000,000	2008/09/23	2009/05/27	125.00
31423	UBS AG - HSI R Bull CBBC May 2009 M	500,000,000	2008/09/23	2009/05/27	125.00
31424	UBS AG - HSI R Bull CBBC May 2009 N	500,000,000	2008/09/23	2009/05/27	125.00
31425	UBS AG - HSI R Bull CBBC May 2009 O	500,000,000	2008/09/23	2009/05/27	125.00
31426	UBS AG - HSI R Bull CBBC May 2009 Y	500,000,000	2008/09/23	2009/05/27	125.00
31427	UBS AG - HSI R Bull CBBC Jun 2009 P	500,000,000	2008/09/23	2009/06/29	180.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31428	UBS AG - HSI R Bull CBBC Jun 2009 Q	500,000,000	2008/09/23	2009/06/29	420.00
31429	UBS AG - HSI R Bull CBBC Jun 2009 R	500,000,000	2008/09/23	2009/06/29	145.00
31430	UBS AG - HSI R Bull CBBC Jun 2009 S	500,000,000	2008/09/23	2009/06/29	265.00
31431	UBS AG - HSI R Bull CBBC Jun 2009 T	500,000,000	2008/09/23	2009/06/29	165.00
31432	UBS AG - HSI R Bull CBBC Jun 2009 U	500,000,000	2008/09/23	2009/06/29	385.00
31433	UBS AG - HSI R Bull CBBC Jun 2009 V	500,000,000	2008/09/23	2009/06/29	135.00
31434	UBS AG - HSI R Bull CBBC Jun 2009 W	500,000,000	2008/09/23	2009/06/29	245.00
31435	UBS AG - HSI R Bull CBBC Jun 2009 X	500,000,000	2008/09/23	2009/06/29	155.00
31436	UBS AG - HSI R Bull CBBC Jun 2009 Y	500,000,000	2008/09/23	2009/06/29	355.00
31437	UBS AG - HSI R Bull CBBC Jun 2009 Z	500,000,000	2008/09/23	2009/06/29	225.00
31438	UBS AG - HSI R Bull CBBC Jul 2009 A	500,000,000	2008/09/23	2009/07/30	195.00
31439	UBS AG - HSI R Bull CBBC Jul 2009 B	500,000,000	2008/09/23	2009/07/30	450.00
31440	UBS AG - HSI R Bull CBBC Jul 2009 C	500,000,000	2008/09/23	2009/07/30	185.00
31441	UBS AG - HSI R Bull CBBC Jul 2009 D	500,000,000	2008/09/23	2009/07/30	290.00
31442	UBS AG - HSI R Bear CBBC Apr 2009 N	500,000,000	2008/09/23	2009/04/29	125.00
31443	UBS AG - HSI R Bear CBBC Apr 2009 O	500,000,000	2008/09/23	2009/04/29	125.00
31444	UBS AG - HSI R Bear CBBC Apr 2009 P	500,000,000	2008/09/23	2009/04/29	125.00
31445	UBS AG - HSI R Bear CBBC Apr 2009 Q	500,000,000	2008/09/23	2009/04/29	125.00
31446	UBS AG - HSI R Bear CBBC Apr 2009 R	500,000,000	2008/09/23	2009/04/29	125.00
31447	UBS AG - HSI R Bear CBBC Apr 2009 S	500,000,000	2008/09/23	2009/04/29	125.00
31448	UBS AG - HSI R Bear CBBC Apr 2009 T	500,000,000	2008/09/23	2009/04/29	125.00
31449	UBS AG - HSI R Bear CBBC Apr 2009 U	500,000,000	2008/09/23	2009/04/29	125.00
31450	UBS AG - HSI R Bear CBBC Apr 2009 X	500,000,000	2008/09/23	2009/04/29	125.00
31482	UBS AG - Hutchison R Bull CBBC Jul 2009 A	200,000,000	2008/09/23	2009/07/27	50.00
31483	UBS AG - ICBC R Bull CBBC Apr 2009 B	200,000,000	2008/09/23	2009/04/27	50.00
31491	UBS AG - Petrochina R Bull CBBC Jul 2009 A	300,000,000	2008/09/23	2009/07/27	81.00
31498	UBS AG - Petrochina R Bear CBBC Jul 2009 B	300,000,000	2008/09/23	2009/07/27	75.00
31552	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 A	300,000,000	2008/09/24	2009/04/29	75.60
31551	ABN AMRO Bank N.V. - HSI R Bear CBBC Apr 2009 Z	300,000,000	2008/09/24	2009/04/29	75.00
31549	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 P	300,000,000	2008/09/24	2009/03/30	75.00
31550	ABN AMRO Bank N.V. - HSI R Bull CBBC Mar 2009 Q	300,000,000	2008/09/24	2009/03/30	81.60
31525	CC Rabobank B.A. - HSCEI R Bear CBBC Jun 2009 B	150,000,000	2008/09/24	2009/06/29	45.00
31521	CC Rabobank B.A. - HSCEI R Bull CBBC Jun 2009 A	150,000,000	2008/09/24	2009/06/29	37.50
31526	CC Rabobank B.A. - HSI R Bear CBBC Jun 2009 C	150,000,000	2008/09/24	2009/06/29	45.00
31522	CC Rabobank B.A. - HSI R Bull CBBC Jun 2009 A	150,000,000	2008/09/24	2009/06/29	37.50
31523	CC Rabobank B.A. - HSI R Bull CBBC Jun 2009 B	150,000,000	2008/09/24	2009/06/29	37.50
31500	Deutsche Bank AG - A50 China R Bull CBBC Apr 2009 G	300,000,000	2008/09/24	2009/04/29	84.00
31533	Deutsche Bank AG - HSI R Bear CBBC May 2009 D	300,000,000	2008/09/24	2009/05/27	75.00
31530	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 J	300,000,000	2008/09/24	2009/04/29	75.00
31532	Deutsche Bank AG - HSI R Bull CBBC May 2009 C	300,000,000	2008/09/24	2009/05/27	84.00
31513	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 Q	200,000,000	2008/09/24	2009/05/27	50.00
31514	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2009 R	200,000,000	2008/09/24	2009/05/27	50.00
31509	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jun 2009 B	200,000,000	2008/09/24	2009/06/29	50.00
31508	Goldman Sachs SP (Asia) - HSI R Bull CBBC May 2009 P	200,000,000	2008/09/24	2009/05/27	50.00
31520	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09B	200,000,000	2008/09/24	2009/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31519	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09C	200,000,000	2008/09/24	2009/03/30	50.00
31516	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09X	200,000,000	2008/09/24	2009/03/30	50.00
31517	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09Y	200,000,000	2008/09/24	2009/03/30	50.00
31518	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09Z	200,000,000	2008/09/24	2009/03/30	50.00
31510	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09U	200,000,000	2008/09/24	2009/03/30	50.00
31512	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09V	200,000,000	2008/09/24	2009/03/30	50.00
31515	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09W	200,000,000	2008/09/24	2009/03/30	50.00
31531	UBS AG - A50 China R Bear CBBC Apr 2009 I	300,000,000	2008/09/24	2009/04/27	75.00
31527	UBS AG - A50 China R Bull CBBC Apr 2009 G	300,000,000	2008/09/24	2009/04/27	120.00
31528	UBS AG - A50 China R Bull CBBC Apr 2009 H	300,000,000	2008/09/24	2009/04/27	105.00
31529	UBS AG - HSCEI R Bear CBBC Apr 2009 R	500,000,000	2008/09/24	2009/04/29	125.00
31539	UBS AG - HSCEI R Bear CBBC Apr 2009 T	500,000,000	2008/09/24	2009/04/29	125.00
31524	UBS AG - HSCEI R Bull CBBC Apr 2009 Q	500,000,000	2008/09/24	2009/04/29	125.00
31534	UBS AG - HSCEI R Bull CBBC Apr 2009 S	500,000,000	2008/09/24	2009/04/29	125.00
31535	UBS AG - HSCEI R Bull CBBC May 2009 A	500,000,000	2008/09/24	2009/05/27	335.00
31536	UBS AG - HSCEI R Bull CBBC May 2009 B	500,000,000	2008/09/24	2009/05/27	185.00
31537	UBS AG - HSCEI R Bull CBBC May 2009 C	500,000,000	2008/09/24	2009/05/27	300.00
31538	UBS AG - HSCEI R Bull CBBC May 2009 D	500,000,000	2008/09/24	2009/05/27	165.00
31547	UBS AG - HSI R Bear CBBC Jul 2009 F	500,000,000	2008/09/24	2009/07/30	125.00
31544	UBS AG - HSI R Bear CBBC Mar 2009 I	500,000,000	2008/09/24	2009/03/30	125.00
31543	UBS AG - HSI R Bear CBBC Mar 2009 K	500,000,000	2008/09/24	2009/03/30	125.00
31545	UBS AG - HSI R Bear CBBC May 2009 K	500,000,000	2008/09/24	2009/05/27	125.00
31546	UBS AG - HSI R Bear CBBC May 2009 S	500,000,000	2008/09/24	2009/05/27	125.00
31541	UBS AG - HSI R Bull CBBC Jul 2009 E	500,000,000	2008/09/24	2009/07/30	125.00
31540	UBS AG - HSI R Bull CBBC Mar 2009 R	500,000,000	2008/09/24	2009/03/30	125.00
31542	UBS AG - HSI R Bull CBBC May 2009 A	500,000,000	2008/09/24	2009/05/27	125.00
31548	UBS AG - Ping An R Bull CBBC Jul 2009 A	200,000,000	2008/09/24	2009/07/27	50.00
31228 #	Deutsche Bank AG - HSI R Bear CBBC Apr 2009 S	300,000,000	2008/09/24	2009/04/29	51.60
31625	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Feb 2009 F	300,000,000	2008/09/25	2009/02/26	75.00
31626	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Feb 2009 G	300,000,000	2008/09/25	2009/02/26	75.00
31627	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Feb 2009 H	300,000,000	2008/09/25	2009/02/26	75.00
31628	ABN AMRO Bank N.V. - HSCEI R Bull CBBC Feb 2009 I	300,000,000	2008/09/25	2009/02/26	75.00
31619	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 B	300,000,000	2008/09/25	2009/04/29	102.60
31620	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 C	300,000,000	2008/09/25	2009/04/29	93.00
31621	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 E	300,000,000	2008/09/25	2009/04/29	83.40
31622	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 F	300,000,000	2008/09/25	2009/04/29	75.00
31623	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 G	300,000,000	2008/09/25	2009/04/29	75.00
31624	ABN AMRO Bank N.V. - HSI R Bull CBBC Apr 2009 H	300,000,000	2008/09/25	2009/04/29	75.00
31614	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 A	300,000,000	2008/09/25	2009/05/27	113.70
31615	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 B	300,000,000	2008/09/25	2009/05/27	141.90
31616	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 C	300,000,000	2008/09/25	2009/05/27	99.30
31617	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 D	300,000,000	2008/09/25	2009/05/27	123.00
31618	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 E	300,000,000	2008/09/25	2009/05/27	85.20
31629	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2009 D	300,000,000	2008/09/25	2009/06/29	75.00
31633	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2009 E	300,000,000	2008/09/25	2009/06/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31561	Deutsche Bank AG - A50 China R Bear CBBC Mar 2009 E	300,000,000	2008/09/25	2009/03/30	90.00
31592	Deutsche Bank AG - HSI R Bull CBBC May 2009 E	300,000,000	2008/09/25	2009/05/27	108.00
31593	Deutsche Bank AG - HSI R Bull CBBC May 2009 F	300,000,000	2008/09/25	2009/05/27	90.00
31594	Deutsche Bank AG - HSI R Bull CBBC May 2009 G	300,000,000	2008/09/25	2009/05/27	75.00
31654	Deutsche Bank AG - HSI R Bull CBBC Jun 2009 A	300,000,000	2008/09/25	2009/06/29	75.00
31596	Deutsche Bank AG - HSI R Bear CBBC May 2009 H	300,000,000	2008/09/25	2009/05/27	75.00
31597	Deutsche Bank AG - HSI R Bear CBBC May 2009 I	300,000,000	2008/09/25	2009/05/27	81.00
31598	Deutsche Bank AG - HSI R Bear CBBC May 2009 J	300,000,000	2008/09/25	2009/05/27	85.50
31560	Deutsche Bank AG - Nikkei 225 Index R Bull CBBC Jun 2009 A	200,000,000	2008/09/25	2009/06/12	50.00
31564	Deutsche Bank AG - Nikkei 225 Index R Bear CBBC Jun 2009 B	200,000,000	2008/09/25	2009/06/12	54.00
31587	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2009 A	200,000,000	2008/09/25	2009/07/30	50.00
31588	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jul 2009 B	200,000,000	2008/09/25	2009/07/30	50.00
31589	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2009 A	200,000,000	2008/09/25	2009/09/29	50.00
31590	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2009 C	200,000,000	2008/09/25	2009/06/29	50.00
31591	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jul 2009 C	200,000,000	2008/09/25	2009/07/30	50.00
31555	HK Bank - HSI R Bull CBBC Mar 2009 A	500,000,000	2008/09/25	2009/03/30	125.00
31556	HK Bank - HSI R Bull CBBC Mar 2009 B	500,000,000	2008/09/25	2009/03/30	125.00
31558	HK Bank - HSI R Bull CBBC Mar 2009 C	500,000,000	2008/09/25	2009/03/30	125.00
31559	HK Bank - HSI R Bear CBBC Mar 2009 D	500,000,000	2008/09/25	2009/03/30	125.00
31661	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Mar 2009 J	400,000,000	2008/09/25	2009/03/30	100.00
31662	KBC Fin Products Int'l Ltd. - HSCEI R Bull CBBC Apr 2009 A	400,000,000	2008/09/25	2009/04/29	100.00
31663	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Mar 2009 K	400,000,000	2008/09/25	2009/03/30	100.00
31664	KBC Fin Products Int'l Ltd. - HSCEI R Bear CBBC Apr 2009 B	400,000,000	2008/09/25	2009/04/29	100.00
31656	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 V	400,000,000	2008/09/25	2009/03/30	100.00
31657	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 W	400,000,000	2008/09/25	2009/03/30	100.00
31658	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2009 X	400,000,000	2008/09/25	2009/03/30	100.00
31659	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 W	400,000,000	2008/09/25	2009/02/26	100.00
31660	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2009 X	400,000,000	2008/09/25	2009/02/26	100.00
31553	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09A	200,000,000	2008/09/25	2009/03/30	50.00
31554	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar09H	200,000,000	2008/09/25	2009/03/30	50.00
31557	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09N	200,000,000	2008/09/25	2009/03/30	50.00
31666	UBS AG - China Mobile R Bull CBBC Jul 2009 C	300,000,000	2008/09/25	2009/07/27	75.00
31673	UBS AG - China Mobile R Bear CBBC Jul 2009 D	300,000,000	2008/09/25	2009/07/27	75.00
31630	UBS AG - CC Bank R Bull CBBC Apr 2009 C	200,000,000	2008/09/25	2009/04/06	58.00
31644	UBS AG - CC Bank R Bear CBBC Apr 2009 D	200,000,000	2008/09/25	2009/04/06	50.00
31631	UBS AG - China Life R Bull CBBC Apr 2009 G	200,000,000	2008/09/25	2009/04/27	170.00
31632	UBS AG - China Life R Bull CBBC Apr 2009 H	200,000,000	2008/09/25	2009/04/27	150.00
31634	UBS AG - China Life R Bull CBBC Apr 2009 I	200,000,000	2008/09/25	2009/04/27	130.00
31635	UBS AG - China Life R Bull CBBC Apr 2009 J	200,000,000	2008/09/25	2009/04/27	110.00
31645	UBS AG - China Life R Bear CBBC Apr 2009 K	200,000,000	2008/09/25	2009/04/27	50.00
31646	UBS AG - China Life R Bear CBBC Apr 2009 L	200,000,000	2008/09/25	2009/04/27	52.00
31647	UBS AG - China Life R Bear CBBC Apr 2009 M	200,000,000	2008/09/25	2009/04/27	72.00
31648	UBS AG - China Life R Bear CBBC Apr 2009 N	200,000,000	2008/09/25	2009/04/27	92.00
31636	UBS AG - CM Bank R Bull CBBC Mar 2009 F	200,000,000	2008/09/25	2009/03/09	50.00
31637	UBS AG - CM Bank R Bull CBBC Mar 2009 G	200,000,000	2008/09/25	2009/03/09	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31667	UBS AG - CNOOC R Bull CBBC Jul 2009 B	200,000,000	2008/09/25	2009/07/27	78.00
31668	UBS AG - CNOOC R Bull CBBC Jul 2009 C	200,000,000	2008/09/25	2009/07/27	57.00
31674	UBS AG - CNOOC R Bear CBBC Jul 2009 D	200,000,000	2008/09/25	2009/07/27	64.00
31652	UBS AG - A50 China R Bull CBBC Apr 2009 J	300,000,000	2008/09/25	2009/04/27	75.00
31672	UBS AG - A50 China R Bull CBBC Apr 2009 K	300,000,000	2008/09/25	2009/04/27	96.00
31665	UBS AG - A50 China R Bear CBBC Apr 2009 L	300,000,000	2008/09/25	2009/04/27	75.00
31669	UBS AG - HKEx R Bull CBBC Jun 2009 C	300,000,000	2008/09/25	2009/06/22	75.00
31675	UBS AG - HKEx R Bear CBBC Jun 2009 D	300,000,000	2008/09/25	2009/06/22	75.00
31638	UBS AG - HS Bank R Bull CBBC Jun 2009 B	200,000,000	2008/09/25	2009/06/23	58.00
31639	UBS AG - HS Bank R Bull CBBC Jun 2009 C	200,000,000	2008/09/25	2009/06/23	50.00
31653	UBS AG - HS Bank R Bear CBBC Jun 2009 D	200,000,000	2008/09/25	2009/06/23	50.00
31640	UBS AG - HSBC R Bull CBBC Jul 2009 A	300,000,000	2008/09/25	2009/07/06	75.00
31641	UBS AG - HSBC R Bull CBBC Jul 2009 B	300,000,000	2008/09/25	2009/07/06	75.00
31649	UBS AG - HSBC R Bear CBBC Jul 2009 C	300,000,000	2008/09/25	2009/07/06	75.00
31650	UBS AG - HSBC R Bear CBBC Jul 2009 D	300,000,000	2008/09/25	2009/07/06	81.00
31595	UBS AG - HSCEI R Bull CBBC Apr 2009 U	500,000,000	2008/09/25	2009/04/29	325.00
31599	UBS AG - HSCEI R Bull CBBC Apr 2009 V	500,000,000	2008/09/25	2009/04/29	180.00
31600	UBS AG - HSCEI R Bull CBBC Apr 2009 W	500,000,000	2008/09/25	2009/04/29	290.00
31601	UBS AG - HSCEI R Bull CBBC Apr 2009 X	500,000,000	2008/09/25	2009/04/29	160.00
31602	UBS AG - HSCEI R Bull CBBC Apr 2009 Y	500,000,000	2008/09/25	2009/04/29	125.00
31603	UBS AG - HSCEI R Bull CBBC Apr 2009 Z	500,000,000	2008/09/25	2009/04/29	125.00
31607	UBS AG - HSCEI R Bear CBBC Apr 2009 A	500,000,000	2008/09/25	2009/04/29	125.00
31608	UBS AG - HSCEI R Bear CBBC Apr 2009 B	500,000,000	2008/09/25	2009/04/29	125.00
31609	UBS AG - HSCEI R Bear CBBC Apr 2009 D	500,000,000	2008/09/25	2009/04/29	125.00
31604	UBS AG - HSI R Bull CBBC Mar 2009 F	500,000,000	2008/09/25	2009/03/30	125.00
31562	UBS AG - HSI R Bull CBBC Jul 2009 G	500,000,000	2008/09/25	2009/07/30	172.50
31563	UBS AG - HSI R Bull CBBC Jul 2009 H	500,000,000	2008/09/25	2009/07/30	405.00
31565	UBS AG - HSI R Bull CBBC Jul 2009 I	500,000,000	2008/09/25	2009/07/30	140.00
31566	UBS AG - HSI R Bull CBBC Jul 2009 J	500,000,000	2008/09/25	2009/07/30	260.00
31567	UBS AG - HSI R Bull CBBC Jul 2009 K	500,000,000	2008/09/25	2009/07/30	160.00
31568	UBS AG - HSI R Bull CBBC Jul 2009 L	500,000,000	2008/09/25	2009/07/30	375.00
31569	UBS AG - HSI R Bull CBBC Jul 2009 M	500,000,000	2008/09/25	2009/07/30	130.00
31570	UBS AG - HSI R Bull CBBC Jul 2009 N	500,000,000	2008/09/25	2009/07/30	240.00
31571	UBS AG - HSI R Bull CBBC Jul 2009 O	500,000,000	2008/09/25	2009/07/30	125.00
31572	UBS AG - HSI R Bull CBBC Jul 2009 P	500,000,000	2008/09/25	2009/07/30	125.00
31573	UBS AG - HSI R Bull CBBC Jul 2009 Q	500,000,000	2008/09/25	2009/07/30	125.00
31574	UBS AG - HSI R Bull CBBC Jul 2009 R	500,000,000	2008/09/25	2009/07/30	125.00
31575	UBS AG - HSI R Bull CBBC Jul 2009 S	500,000,000	2008/09/25	2009/07/30	125.00
31576	UBS AG - HSI R Bull CBBC Jul 2009 T	500,000,000	2008/09/25	2009/07/30	125.00
31577	UBS AG - HSI R Bull CBBC Jul 2009 U	500,000,000	2008/09/25	2009/07/30	125.00
31578	UBS AG - HSI R Bull CBBC Jul 2009 V	500,000,000	2008/09/25	2009/07/30	125.00
31605	UBS AG - HSI R Bull CBBC Jul 2009 Y	500,000,000	2008/09/25	2009/07/30	125.00
31606	UBS AG - HSI R Bull CBBC Jul 2009 Z	500,000,000	2008/09/25	2009/07/30	125.00
31610	UBS AG - HSI R Bear CBBC Mar 2009 G	500,000,000	2008/09/25	2009/03/30	125.00
31611	UBS AG - HSI R Bear CBBC Mar 2009 H	500,000,000	2008/09/25	2009/03/30	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31579	UBS AG - HSI R Bear CBBC May 2009 F	500,000,000	2008/09/25	2009/05/27	125.00
31580	UBS AG - HSI R Bear CBBC May 2009 G	500,000,000	2008/09/25	2009/05/27	125.00
31581	UBS AG - HSI R Bear CBBC May 2009 H	500,000,000	2008/09/25	2009/05/27	125.00
31582	UBS AG - HSI R Bear CBBC May 2009 I	500,000,000	2008/09/25	2009/05/27	125.00
31583	UBS AG - HSI R Bear CBBC May 2009 J	500,000,000	2008/09/25	2009/05/27	125.00
31584	UBS AG - HSI R Bear CBBC May 2009 P	500,000,000	2008/09/25	2009/05/27	125.00
31612	UBS AG - HSI R Bear CBBC May 2009 Q	500,000,000	2008/09/25	2009/05/27	125.00
31613	UBS AG - HSI R Bear CBBC May 2009 R	500,000,000	2008/09/25	2009/05/27	125.00
31585	UBS AG - HSI R Bear CBBC Jul 2009 W	500,000,000	2008/09/25	2009/07/30	125.00
31586	UBS AG - HSI R Bear CBBC Jul 2009 X	500,000,000	2008/09/25	2009/07/30	125.00
31642	UBS AG - Hutchison R Bull CBBC Jul 2009 C	200,000,000	2008/09/25	2009/07/20	50.00
31651	UBS AG - Hutchison R Bear CBBC Jul 2009 B	200,000,000	2008/09/25	2009/07/20	52.00
31670	UBS AG - Petrochina R Bull CBBC Jul 2009 C	300,000,000	2008/09/25	2009/07/27	75.00
31676	UBS AG - Petrochina R Bear CBBC Jul 2009 D	300,000,000	2008/09/25	2009/07/27	75.00
31643	UBS AG - Ping An R Bull CBBC Jul 2009 C	200,000,000	2008/09/25	2009/07/20	50.00
31655	UBS AG - Ping An R Bear CBBC Jul 2009 B	200,000,000	2008/09/25	2009/07/20	50.00
31671	UBS AG - SHK Ppt R Bull CBBC May 2009 B	200,000,000	2008/09/25	2009/05/25	50.00
31677	UBS AG - SHK Ppt R Bull CBBC May 2009 C	200,000,000	2008/09/25	2009/05/25	50.00
31702	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 F	300,000,000	2008/09/26	2009/05/27	76.50
31703	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 G	300,000,000	2008/09/26	2009/05/27	75.30
31678	Deutsche Bank AG - HSI R Bull CBBC Apr 2009 H	300,000,000	2008/09/26	2009/04/29	87.00
31679	Deutsche Bank AG - HSI R Bull CBBC May 2009 K	300,000,000	2008/09/26	2009/05/27	75.00
31716	Deutsche Bank AG - HSI R Bull CBBC May 2009 L	300,000,000	2008/09/26	2009/05/27	75.00
31684	UBS AG - China Mobile R Bull CBBC Mar 2009 K	300,000,000	2008/09/26	2009/03/09	75.00
31685	UBS AG - China Mobile R Bull CBBC Jul 2009 E	300,000,000	2008/09/26	2009/07/27	75.00
31709	UBS AG - China Mobile R Bull CBBC Jul 2009 F	300,000,000	2008/09/26	2009/07/27	75.00
31710	UBS AG - China Mobile R Bull CBBC Jul 2009 G	300,000,000	2008/09/26	2009/07/27	75.00
31683	UBS AG - CC Bank R Bull CBBC Apr 2009 E	200,000,000	2008/09/26	2009/04/06	50.00
31681	UBS AG - China Coal R Bull CBBC Mar 2009 C	200,000,000	2008/09/26	2009/03/09	58.00
31682	UBS AG - China Coal R Bull CBBC Mar 2009 D	200,000,000	2008/09/26	2009/03/09	78.00
31680	UBS AG - Cheung Kong R Bull CBBC Jul 2009 B	200,000,000	2008/09/26	2009/07/27	50.00
31704	UBS AG - China Life R Bull CBBC Apr 2009 O	300,000,000	2008/09/26	2009/04/27	180.00
31705	UBS AG - China Life R Bull CBBC Apr 2009 P	300,000,000	2008/09/26	2009/04/27	148.50
31706	UBS AG - China Life R Bull CBBC Apr 2009 Q	300,000,000	2008/09/26	2009/04/27	118.50
31707	UBS AG - China Life R Bull CBBC Apr 2009 R	300,000,000	2008/09/26	2009/04/27	88.50
31708	UBS AG - China Life R Bull CBBC Apr 2009 S	300,000,000	2008/09/26	2009/04/27	75.00
31690	UBS AG - CNOOC R Bull CBBC Jul 2009 E	200,000,000	2008/09/26	2009/07/27	50.00
31691	UBS AG - CNOOC R Bull CBBC Jul 2009 F	200,000,000	2008/09/26	2009/07/27	50.00
31687	UBS AG - China Rail Cons R Bull CBBC Mar 2009 C	200,000,000	2008/09/26	2009/03/09	50.00
31688	UBS AG - China Shenhua R Bull CBBC Feb 2009 G	200,000,000	2008/09/26	2009/02/23	154.00
31689	UBS AG - China Shenhua R Bull CBBC Feb 2009 H	200,000,000	2008/09/26	2009/02/23	114.00
31714	UBS AG - A50 China R Bull CBBC Apr 2009 M	300,000,000	2008/09/26	2009/04/27	75.00
31686	UBS AG - A50 China R Bull CBBC Apr 2009 N	300,000,000	2008/09/26	2009/04/27	75.00
31711	UBS AG - HKEx R Bull CBBC Jun 2009 E	300,000,000	2008/09/26	2009/06/22	75.00
31712	UBS AG - HKEx R Bull CBBC Jun 2009 F	300,000,000	2008/09/26	2009/06/22	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31713	UBS AG - HKEx R Bull CBBC Jun 2009 G	300,000,000	2008/09/26	2009/06/22	75.00
31692	UBS AG - HSBC R Bull CBBC Jul 2009 E	300,000,000	2008/09/26	2009/07/06	75.00
31693	UBS AG - HSBC R Bull CBBC Jul 2009 F	300,000,000	2008/09/26	2009/07/06	75.00
31694	UBS AG - HSBC R Bull CBBC Jul 2009 G	300,000,000	2008/09/26	2009/07/06	75.00
31698	UBS AG - HSI R Bull CBBC Mar 2009 L	500,000,000	2008/09/26	2009/03/30	125.00
31701	UBS AG - HSI R Bear CBBC Mar 2009 M	500,000,000	2008/09/26	2009/03/30	125.00
31695	UBS AG - ICBC R Bull CBBC Apr 2009 C	200,000,000	2008/09/26	2009/04/27	50.00
31699	UBS AG - ICBC R Bear CBBC Apr 2009 D	200,000,000	2008/09/26	2009/04/27	50.00
31700	UBS AG - ICBC R Bear CBBC Apr 2009 E	200,000,000	2008/09/26	2009/04/27	50.00
31696	UBS AG - Petrochina R Bull CBBC Jul 2009 E	300,000,000	2008/09/26	2009/07/27	75.00
31697	UBS AG - Petrochina R Bull CBBC Jul 2009 F	300,000,000	2008/09/26	2009/07/27	75.00
31282 #	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar09P	200,000,000	2008/09/26	2009/03/30	33.60
31742	ABN AMRO Bank N.V. - HSI R Bear CBBC May 2009 I	300,000,000	2008/09/29	2009/05/27	75.30
31740	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2009 H	300,000,000	2008/09/29	2009/05/27	75.00
31741	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2009 G	300,000,000	2008/09/29	2009/06/29	75.00
31731	CC Rabobank B.A. - HSI R Bear CBBC Jun 2009 D	150,000,000	2008/09/29	2009/06/29	37.50
31732	CC Rabobank B.A. - HSI R Bear CBBC Jun 2009 E	150,000,000	2008/09/29	2009/06/29	37.50
31735	UBS AG - HKEx R Bear CBBC Jun 2009 H	300,000,000	2008/09/29	2009/06/22	111.00
31725	UBS AG - HSCEI R Bear CBBC Mar 2009 P	500,000,000	2008/09/29	2009/03/30	125.00
31726	UBS AG - HSCEI R Bear CBBC Mar 2009 R	500,000,000	2008/09/29	2009/03/30	125.00
31727	UBS AG - HSI R Bear CBBC May 2009 Z	500,000,000	2008/09/29	2009/05/27	125.00
31728	UBS AG - HSI R Bear CBBC Jun 2009 K	500,000,000	2008/09/29	2009/06/29	125.00
31739	ABN AMRO Bank N.V. - HSI R Bull CBBC May 2009 H	300,000,000	2008/09/29	2009/05/27	76.20
31737	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2009 F	300,000,000	2008/09/29	2009/06/29	75.00
31736	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jul 2009 A	300,000,000	2008/09/29	2009/07/30	120.00
31738	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2009 A	500,000,000	2008/09/29	2009/08/28	125.00
31733	Deutsche Bank AG - HSI R Bull CBBC May 2009 M	300,000,000	2008/09/29	2009/05/27	75.00
31729	CC Rabobank B.A. - HSI R Bull CBBC Jul 2009 A	150,000,000	2008/09/29	2009/07/30	37.50
31730	CC Rabobank B.A. - HSI R Bull CBBC Jul 2009 B	150,000,000	2008/09/29	2009/07/30	37.50
31715	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 09I	200,000,000	2008/09/29	2009/03/30	50.00
31717	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 09J	200,000,000	2008/09/29	2009/03/30	50.00
31718	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 09K	200,000,000	2008/09/29	2009/03/30	51.00
31719	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 09M	200,000,000	2008/09/29	2009/03/30	51.00
31720	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 09O	200,000,000	2008/09/29	2009/03/30	50.00
31734	UBS AG - China Life R Bull CBBC Apr 2009 T	300,000,000	2008/09/29	2009/04/27	85.50
31721	UBS AG - HSCEI R Bull CBBC Mar 2009 M	500,000,000	2008/09/29	2009/03/30	125.00
31722	UBS AG - HSCEI R Bull CBBC Mar 2009 O	500,000,000	2008/09/29	2009/03/30	125.00
31723	UBS AG - HSI R Bull CBBC Apr 2009 M	500,000,000	2008/09/29	2009/04/29	125.00
31724	UBS AG - HSI R Bull CBBC May 2009 U	500,000,000	2008/09/29	2009/05/27	125.00
31757	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2009 K	300,000,000	2008/09/30	2009/05/27	75.00
31750	UBS AG - HSCEI R Bear CBBC Mar 2009 T	500,000,000	2008/09/30	2009/03/30	125.00
31748	UBS AG - HSI R Bear CBBC Apr 2009 N	500,000,000	2008/09/30	2009/04/29	125.00
31749	UBS AG - HSI R Bear CBBC Apr 2009 O	500,000,000	2008/09/30	2009/04/29	125.00
31752	UBS AG - HSI R Bear CBBC Apr 2009 P	500,000,000	2008/09/30	2009/04/29	125.00
31753	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2009 I	300,000,000	2008/09/30	2009/05/27	75.00

主 板 可 收 回 牛 熊 證

股 份 代 號	牛 熊 證	上 市 時 之 發 行 單 位	上 市 日 期	到 期 日	集 資 金 額 (百 萬 港 元)
31754	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2009 J	300,000,000	2008/09/30	2009/05/27	75.00
31743	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 Y	400,000,000	2008/09/30	2009/02/26	100.00
31744	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2009 Z	400,000,000	2008/09/30	2009/02/26	100.00
31745	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2009 A	248,000,000	2008/09/30	2009/04/29	99.20
31746	UBS AG - HSCEI R Bull CBBC Mar 2009 I	500,000,000	2008/09/30	2009/03/30	125.00
31747	UBS AG - HSI R Bull CBBC May 2009 O	500,000,000	2008/09/30	2009/05/27	125.00
31751	UBS AG - HSI R Bull CBBC May 2009 Y	500,000,000	2008/09/30	2009/05/27	125.00
31759	BNP Paribas Arbit Issu B.V. - Ch Mob R Bear CBBC Sep 2009 B	200,000,000	2008/09/30	2009/09/29	70.00
31755	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Sep 2009 A	200,000,000	2008/09/30	2009/09/29	50.00
總 額					135,720.58

股票掛鈎票據／非上市可交易股份交易統計

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2007	第3季	—	—
	第4季	—	—
	第1季	—	—
2008	第2季	—	—
	第3季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2007	第3季	7	5.91
	第4季	7	2.19
	第1季	7	0.41
2008	第2季	7	0.57
	第3季	7	0.38

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2007	第3季	2	0.07
	第4季	2	0.02
	第1季	2	0.00
2008	第2季	2	0.00
	第3季	2	0.00

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2007	第3季	82,182.17	54,551.65	1,107,868
	第4季	56,876.01	33,142.09	705,030
2008	第1季	60,007.62	21,381.98	530,401
	第2季	53,824.67	17,443.28	476,011
	第3季	27,661.67	9,188.57	240,766

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2007	第3季	63	865.90	49,240
	第4季	62	534.55	47,008
2008	第1季	60	356.37	40,313
	第2季	61	285.96	36,645
	第3季	62	148.20	38,164

20種升幅最大之創業板股份

2008年第3季

順次	股份代號	股份	收市價		升幅
			2008年9月底	2008年6月底	
1	08176	富麗花譜	0.350	0.120	191.67
2	08287	三寶科技	4.700	3.000	56.67
3	08166	藍帆科技	1.100	0.720	52.78
4	08150	東光集團	0.220	0.150 A	46.67
5	08096	博軟	0.800	0.650	23.08
6	08221	李氏大藥廠	0.590	0.480	22.92
7	08192	環球工程	0.224	0.206	8.74
8	08222	長江生命科技	0.410	0.380	7.89
9	08197	東北虎藥業	0.113	0.107	5.61
10	08199	威高股份	11.500	11.280	1.95

A 經調整

本季度只有10隻股份錄得升幅。

創業板 股份

20種跌幅最大之創業板股份

2008年第3季

順次	股份代號	股份	收市價		升幅
			2008年9月底	2008年6月底	
1	08061	光亞	0.053	0.229	-76.86
2	08299	大唐潼金	0.041	0.155	-73.55
3	08079	變靚D控股	0.070	0.250	-72.00
4	08211	浙江永隆實業	0.140	0.500	-72.00
5	08063	卓施金網	0.400	1.350 A	-70.37
6	08089	中國鐵路貨運	0.450	1.460	-69.18
7	08279	亞博科技控股	0.249	0.800	-68.88
8	08243	大賀傳媒	0.198	0.620	-68.06
9	08256	金利通	0.035	0.102	-65.69
10	08009	菱控有限公司	0.165	0.480	-65.63
11	08178	中國信息科技	0.086	0.250	-65.60
12	08041	大陶精密科技	0.038	0.107	-64.49
13	08225	萬全科技藥業	0.660	1.800	-63.33
14	08306	綠陽國際	0.670	1.790	-62.57
15	08046	老虎科技	0.400	1.040	-61.54
16	08082	訊通控股	0.130	0.335	-61.19
17	08006	中華網	5.220	13.400	-61.04
18	08317	財華社集團	0.100	0.245	-59.18
19	08108	名家國際	0.245	0.590	-58.47
20	08123	首華金融	0.187	0.450	-58.44

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2008年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08173	智庫媒體	2,058.07	22.40
2	08199	威高股份	757.77	8.25
3	08277	物美商業	569.68	6.20
4	08167	天下媒體	433.44	4.72
5	08192	環球工程	408.62	4.45
6	08299	大唐潼金	338.13	3.68
7	08138	康健國際	292.42	3.18
8	08161	華彩控股	275.45	3.00
9	08180	金衛醫療科技	237.00	2.58
10	08250	確思醫藥	225.27	2.45
11	08046	老虎科技	220.82	2.40
12	08089	中國鐵路貨運	187.71	2.04
13	08141	浪潮國際	181.89	1.98
14	08178	中國信息科技	159.00	1.73
15	08259	安德利果汁	135.39	1.47
16	08002	鳳凰衛視	110.08	1.20
17	08239	明基能源	106.15	1.16
18	08158	邦盟匯駿	106.12	1.15
19	08096	博軟	96.80	1.05
20	08182	環能國際	96.51	1.05
總額			6,996.30	76.14

創業板 股份

20種成交最活躍創業板股份(股數)

2008年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08299	大唐潼金	4,164.24	15.05
2	08138	康健國際	2,632.04	9.52
3	08173	智庫媒體	2,216.35	8.01
4	08250	確思醫藥	1,985.26	7.18
5	08167	天下媒體	1,268.33	4.59
6	08063	卓施金網	1,018.71	3.68
7	08172	GOLIFECONCEPTS	1,017.72	3.68
8	08017	百齡國際	983.98	3.56
9	08178	中國信息科技	960.18	3.47
10	08041	大陶精密科技	889.21	3.21
11	08161	華彩控股	871.51	3.15
12	08192	環球工程	864.64	3.13
13	08071	中國金屬資源	502.53	1.82
14	08096	博軟	387.52	1.40
15	08158	邦盟匯駿	383.34	1.39
16	08202	匯創控股	298.99	1.08
17	08182	環能國際	295.53	1.07
18	08136	田生集團	277.66	1.00
19	08090	美聯工商鋪	275.64	1.00
20	08046	老虎科技	266.17	0.96
總數			21,559.55	77.94

創業板 股份

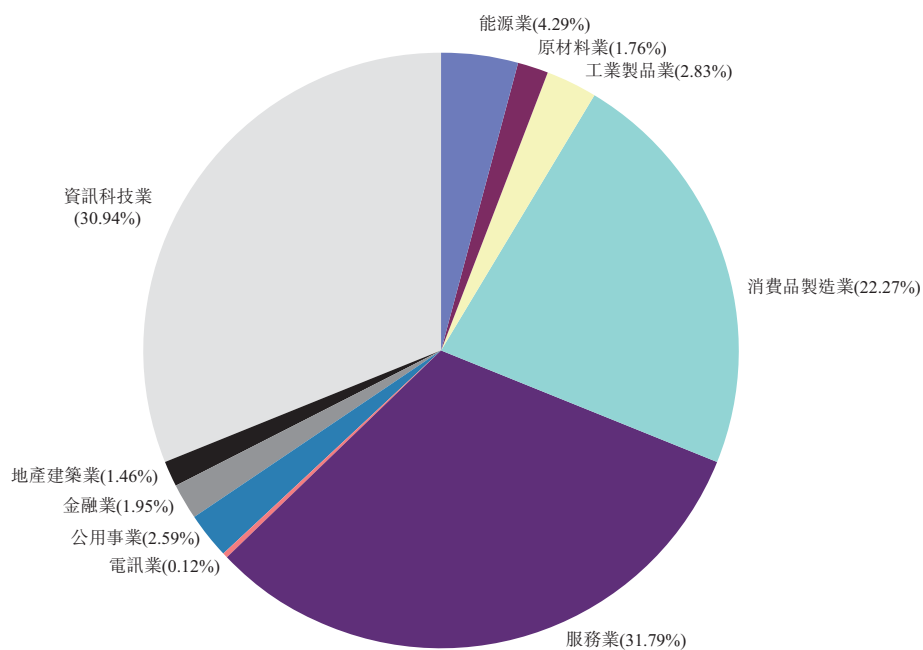
創業板公司市價總值

截至季末

		百萬港元
2007	第3季	150,850.17
	第4季	161,080.97
2008	第1季	112,660.54
	第2季	109,043.78
	第3季	67,153.80

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供，請查看免責聲明及重要提示。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2008年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	8002	鳳凰衛視控股有限公司	4,409.43	6.57
2	8199	山東威高集團醫用高分子製品股份有限公司 - H股	3,995.10	5.95
3	8277	北京物美商業集團股份有限公司 - H股	3,140.72	4.68
4	8167	天下媒體集團有限公司	3,125.00	4.65
5	8180	金衛醫療科技有限公司	2,752.31	4.10
6	8137	洪橋集團有限公司	1,945.25	2.90
7	8156	眾彩科技股份有限公司	1,876.46	2.79
8	8161	華彩控股有限公司	1,746.91	2.60
9	8052	利亞零售有限公司	1,569.32	2.34
10	8008	新意網集團有限公司	1,462.67	2.18
11	8118	國際娛樂有限公司	1,356.03	2.02
12	8173	智庫媒體集團(控股)有限公司	1,350.71	2.01
13	8192	環球工程有限公司	1,247.23	1.86
14	8070	中裕燃氣控股有限公司	1,238.89	1.84
15	8149	TSC海洋集團有限公司	1,009.99	1.50
16	8212	問博控股有限公司	985.26	1.47
17	8029	太陽國際集團有限公司	947.19	1.41
18	8201	中國消防企業集團控股有限公司	942.15	1.40
19	8272	百富國際有限公司	920.92	1.37
20	8279	亞博科技控股有限公司	891.44	1.33
21	8239	明基能源控股有限公司	884.40	1.32
22	8216	中軟國際有限公司	851.94	1.27
23	8206	神州奧美網絡(國際)有限公司	794.26	1.18
24	8259	烟台北方安德利果汁股份有限公司 - H股	704.07	1.05
25	8250	確思醫藥投資控股有限公司	693.88	1.03
26	8085	中民安園控股有限公司	577.82	0.86
27	8069	北京同仁堂科技發展股份有限公司 - H股	574.57	0.86
28	8006	中華網科技公司	563.93	0.84
29	8178	中國信息科技發展有限公司	558.56	0.83
30	8128	中國地能有限公司	531.39	0.79
31	8066	鎔聯科技集團有限公司	526.82	0.78
32	8117	中國基礎資源控股有限公司	516.43	0.77
33	8290	天津天聯公用事業股份有限公司 - H股	500.06	0.74
34	8230	深圳市東江環保股份有限公司 - H股	498.12	0.74
35	8123	首華金融控股有限公司	484.41	0.72
36	8058	山東羅欣藥業股份有限公司 - H股	459.12	0.68
37	8005	裕興科技控股有限公司	456.07	0.68
38	8139	昌興國際控股(香港)有限公司	402.24	0.60
39	8096	博軟(控股)有限公司	401.00	0.60
40	8313	精英國際有限公司	392.67	0.58
41	8182	環能國際控股有限公司	373.90	0.56
42	8055	乾坤燭國際控股有限公司	369.98	0.55
43	8203	挑戰者集團控股有限公司	361.90	0.54
44	8306	綠陽國際控股有限公司	352.09	0.52
45	8198	新濠環彩有限公司	348.38	0.52
46	8293	錦恒汽車安全技術控股有限公司	345.62	0.51
47	8047	中國掌付(集團)有限公司	344.60	0.51
48	8129	中國生物資源控股有限公司	343.12	0.51
49	8319	友成控股有限公司	312.00	0.46
50	8158	邦盟匯駿國際有限公司	310.20	0.46

總額	51,746.55	77.06
市場總值	67,153.80	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2007	第3季	—	—
	第4季	2	1,993.55
2008	第1季	—	—
	第2季	2	216.84
	第3季	—	—

創業板新上市公司

2008第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
NIL				
總額				—

除牌上市公司－創業板

2008年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
08276	世紀陽光生態科技控股有限公司*	2008/07/31	2008/08/01 §
08138	康健國際控股有限公司*	2008/08/11	2008/08/12 §
08101	匯盈控股有限公司*	2008/08/14	2008/08/15 §
08090	美聯工商舖有限公司*	2008/08/15	2008/08/18 §
08042	玖源生態農業科技(集團)有限公司*	2008/08/22	2008/08/25 §
08141	浪潮國際有限公司*	2008/08/28	2008/08/29 §
08222	長江生命科技集團有限公司*	2008/09/05	2008/09/08 §
08310	捷豐家居用品有限公司*	2008/09/09	2008/09/10 §

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2008年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
08123	國際金融社控股有限公司	首華金融控股有限公司	2008/06/17	2008/07/21
08129	九方科技控股有限公司	中國生物資源控股有限公司	2008/06/16	2008/07/28
08085	新醫藥控股有限公司	中民安園控股有限公司	2008/06/30	2008/08/11
08299	易盈科技控股有限公司	大唐滄金控股有限公司	2008/07/28	2008/08/15

創業板 股份

創業板紅股／紅利認股權證

2008年第3季

股份代號	公司	詳情	除淨日
08047	中國掌付(集團)有限公司	5送1	2008/07/24

創業板股份拆細及合併

2008年第3季

股份代號	公司	詳情	生效日期
08130	采藝多媒體控股有限公司	10股合併為1股	2008/07/02
08172	Golife Concepts Holdings Ltd.	5股合併為1股	2008/08/13
08063	卓施金網有限公司	50股合併為1股	2008/08/14
08150	東光集團有限公司	10股合併為1股	2008/08/18

創業板供股／公開售股

2008年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
NIL				
總額				—

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2008					
6月**	08176	富麗花譜	245,000,000	0.2000	49.00
	08042	玖源集團	1,000,000,000	0.1700	170.00
7月	08066	鎔聯科技	12,100,000	1.4800	17.91
	08299	大唐滄金	58,000,000	0.2700	15.66
	08085	中民安園	150,000,000	0.8000	120.00
	08003	大誠電訊科技	67,718,000	0.3000	20.32
	08149	TSC海洋集團	155,000,000	1.8800	291.40
8月	08158	邦盟匯駿	380,000,000	0.2550	96.90
	08089	中國鐵路貨運	50,000,000	1.4000	70.00
	08150	東光集團	239,000,000	0.0100	2.39
	08202	匯創控股	107,704,193	0.3700	39.85
9月	08126	G • A • 控股	33,000,000	0.3000	9.90
	08299	大唐滄金	214,256,000	0.0700	15.00
總額					918.32

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2007	第3季	3	7.59	154.47
	第4季	3	1.06	71.23
2008	第1季	4	1.89	70.34
	第2季	4	0.76	47.28
	第3季	4	2.19	71.81

創業板新上市股份認股權證

2008年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					—
總額					—

股票市場指數

香港股市指數

指數	2008年9月底 收市	2008年6月底 收市	升跌
標準普爾／香港交易所大型股指數	22176.53	26956.93	– 17.73%
標準普爾／香港交易所創業板指數	551.92	797.44	– 30.79%
恒生指數	18016.21	22102.01	– 18.49%
分類指數			
金融	29836.87	33504.90	– 10.95%
公用事業	41874.28	43446.36	– 3.62%
地產	19117.15	25720.49	– 25.67%
工商業	9194.59	12466.96	– 26.25%
恒生綜合指數	2405.85	3108.84	– 22.61%
恒生香港中資企業指數	3470.00	4750.72	– 26.96%
恒生中國企業指數	9070.31	11909.75	– 23.84%

股票市場指數

恒生指數(2007/07/03 – 2008/09/30)



標準普爾／香港交易所大型股指數(2007/07/03 – 2008/09/30)



標準普爾／香港交易所創業板指數(2007/07/03 – 2008/09/30)



股票市場指數

世界指數

指數	2008年9月底 收市	2008年6月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	22176.53	26956.93	– 17.73%
恒生指數	18016.21	22102.01	– 18.49%
澳洲			
普通股指數	4631.30	5332.90	– 13.16%
雅加達			
綜合指數	1832.51	2349.11	– 21.99%
日本			
日經指數(225)	11259.86	13481.38	– 16.48%
韓國			
綜合指數	1448.06	1674.92	– 13.54%
吉隆坡			
綜合指數	1018.68	1186.57	– 14.15%
馬尼拉			
綜合指數	2569.65	2459.98	+ 4.46%
紐西蘭			
證交所指數(50)	3090.22	3194.61	– 3.27%
上海			
A股指數	2408.88	2869.94	– 16.07%
B股指數	132.76	209.37	– 36.59%
深圳			
A股指數	644.95	830.20	– 22.31%
B股指數	311.30	475.44	– 34.52%
新加坡			
FTSE海峽時報指數	2358.91	2947.54	– 19.97%
台灣			
台北加權股份指數	5719.28	7523.54	– 23.98%
泰國			
證交所指數	596.54	768.59	– 22.39%
紐約			
杜瓊斯工業平均指數	10850.66	11350.01	– 4.40%
Nasdaq綜合指數	2091.88	2292.98	– 8.77%
多倫多			
S&P/TSX綜合指數	11752.90	14467.03	– 18.76%
德國			
法蘭克福指數	3687.09	4058.45	– 9.15%
倫敦			
富時指數(100)	4902.50	5625.90	– 12.86%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2008年第3季	2007年第3季
恒生指數期貨	5,910,772	4,806,009
恒生指數期權	1,139,643	2,390,985
小型恒生指數期貨	2,011,680	1,092,186
小型恒生指數期權	53,928	13,761
H股指數期貨	3,609,442	3,415,202
H股指數期權	460,454	656,735
小型H股指數期貨 ¹	85,320	—
H股金融指數期貨 ²	—	264
新華富時中國25指數期貨	—	383
新華富時中國25指數期權	1	448
股票期貨	79,655	84,580
股票期權	13,646,790	15,128,231
三個月港元利率期貨	4,804	5,473
一個月港元利率期貨	157	50
三年期外匯基金債券期貨	—	—
總數	27,002,646	27,594,307

¹ 小型H股指數期貨由2008年3月31日開始買賣。

² H股金融指數期貨由2007年4月16日開始買賣。

季末未平倉合約

衍生產品	合約張數	
	2008年第3季	2007年第3季
恒生指數期貨	97,135	123,247
恒生指數期權	99,068	296,304
小型恒生指數期貨	4,456	3,989
小型恒生指數期權	1,819	362
H股指數期貨	87,386	91,397
H股指數期權	73,311	152,773
小型H股指數期貨 ¹	388	—
H股金融指數期貨 ²	—	—
新華富時中國25指數期貨	—	19
新華富時中國25指數期權	—	1
股票期貨	8,269	8,596
股票期權	4,675,934	5,435,153
三個月港元利率期貨	2,243	5,977
一個月港元利率期貨	58	—
三年期外匯基金債券期貨	—	—
總數	5,050,067	6,117,818

¹ 小型H股指數期貨由2008年3月31日開始買賣。

² H股金融指數期貨由2007年4月16日開始買賣。

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第三季	4,806,009	123,347
	第四季	4,885,815	111,513
2008年	第一季	5,336,298	107,570
	第二季	4,759,425	101,711
	第三季	5,910,772	97,135

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第三季	1,092,186	3,989
	第四季	1,804,459	3,457
2008年	第一季	1,964,929	2,260
	第二季	1,577,435	3,215
	第三季	2,011,680	4,456

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第三季	2,390,985	296,304
	第四季	1,323,867	174,368
2008年	第一季	896,781	115,920
	第二季	840,360	91,240
	第三季	1,139,643	99,068

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第三季	3,415,202	91,397
	第四季	3,453,257	91,786
2008年	第一季	3,634,747	114,938
	第二季	3,064,529	108,981
	第三季	3,609,442	87,386

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2007年	第三季	29,463.30	15,128,231	5,435,153
	第四季	54,920.05	14,998,891	5,314,918
2008年	第一季	45,158.26	15,838,824	5,652,274
	第二季	26,994.75	12,837,557	4,775,250
	第三季	26,991.11	13,646,790	4,675,934

衍生產品市場 期貨及期權

各期權類別之期權金總額

2008年第3季

期權類別		期權金額(百萬港元)	佔市場百分比
中國鋁業	ALC	99.80	0.37%
中國銀行	BCL	180.36	0.67%
交通銀行	BCM	255.24	0.95%
東亞銀行	BEA	48.02	0.18%
中銀香港	BOC	227.29	0.84%
建設銀行	CCB	1,140.91	4.23%
中國交通建設	CCC	414.00	1.53%
中國中煤能源	CCE	33.59	0.12%
中國移動	CHT	4,887.87	18.11%
中國聯通	CHU	86.19	0.32%
中信泰富	CIT	15.94	0.06%
長江實業	CKH	2,038.26	7.55%
長江基建集團	CKI	6.30	0.02%
中國人壽	CLI	1,251.54	4.64%
中電控股	CLP	137.99	0.51%
招商銀行	CMB	244.50	0.91%
中國海洋石油	CNC	828.48	3.07%
中國網通	CNM	9.26	0.03%
國泰航空	CPA	59.00	0.22%
中國石油化工股份	CPC	755.93	2.80%
中國鐵建	CRC	13.39	0.05%
中國中鐵	CRG	5.14	0.02%
中國神華	CSE	97.70	0.36%
中信銀行	CTB	10.26	0.04%
中國電信	CTC	360.78	1.34%
駿威汽車	DWM	1.67	0.01%
思捷環球	ESP	47.28	0.18%
富士康國際	FIH	19.48	0.07%
香港電燈	HEH	85.70	0.32%
香港交易所	HEX	1,069.11	3.96%
匯豐控股	HKB	3,711.93	13.75%
香港中華煤氣	HKG	28.17	0.10%
恒基地產	HLD	31.47	0.12%
華能國際電力股份	HNP	11.05	0.04%
恒生銀行	HSB	274.60	1.02%
和記黃埔	HWL	952.86	3.53%
工商銀行	ICB	469.98	1.74%
德昌電機控股	JSE	0.24	0.00%
江西銅業	JXC	44.49	0.16%
利豐	LIF	18.60	0.07%
地鐵公司	MTR	46.65	0.17%
新世界發展	NWD	17.81	0.07%
中國平安	PAI	2,063.13	7.64%
電訊盈科	PCC	6.59	0.02%
中國石油股份	PEC	3,489.96	12.93%
中國人民財產保險	PIC	21.30	0.08%
新鴻基地產	SHK	1,280.54	4.74%
太古股份公司A	SWA	59.35	0.22%
盈富基金	TRF	13.02	0.05%
九龍倉集團	WHL	18.37	0.07%
總額		26,991.10	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2008年第3季

期權類別		合約成交量	佔市場百分比
中國鋁業	ALC	48,925	0.36%
中國銀行	BCL	519,730	3.81%
交通銀行	BCM	316,501	2.32%
東亞銀行	BEA	113,003	0.83%
中銀香港	BOC	330,421	2.42%
建設銀行	CCB	1,226,742	8.99%
中國交通建設	CCC	172,072	1.26%
中國中煤能源	CCE	39,048	0.29%
中國移動	CHT	1,186,384	8.69%
中國聯通	CHU	25,877	0.19%
中信泰富	CIT	11,549	0.08%
長江實業	CKH	222,454	1.63%
長江基建集團	CKI	8,716	0.06%
中國人壽	CLI	654,975	4.80%
中電控股	CLP	118,030	0.86%
招商銀行	CMB	279,903	2.05%
中國海洋石油	CNC	689,399	5.05%
中國網通	CNM	18,742	0.14%
國泰航空	CPA	48,925	0.36%
中國石油化工股份	CPC	380,614	2.79%
中國鐵建	CRC	28,071	0.21%
中國中鐵	CRG	13,865	0.10%
中國神華	CSE	80,584	0.59%
中信銀行	CTB	37,746	0.28%
中國電信	CTC	253,013	1.85%
駿威汽車	DWM	3,372	0.02%
思捷環球	ESP	153,821	1.13%
富士康國際	FIH	30,393	0.22%
香港電燈	HEH	221,416	1.62%
香港交易所	HEX	1,076,402	7.89%
匯豐控股	HKB	1,862,898	13.65%
香港中華煤氣	HKG	39,807	0.29%
恒基地產	HLD	15,193	0.11%
華能國際電力股份	HNP	12,740	0.09%
恒生銀行	HSB	525,720	3.85%
和記黃埔	HWL	282,246	2.07%
工商銀行	ICB	1,042,725	7.64%
德昌電機控股	JSE	1,727	0.01%
江西銅業	JXC	41,847	0.31%
利豐	LIF	9,224	0.07%
地鐵公司	MTR	97,501	0.71%
新世界發展	NWD	17,304	0.13%
中國平安	PAI	353,347	2.59%
電訊盈科	PCC	30,571	0.22%
中國石油股份	PEC	717,633	5.26%
中國人民財產保險	PIC	21,385	0.16%
新鴻基地產	SHK	193,107	1.42%
太古股份公司A	SWA	35,460	0.26%
盈富基金	TRF	22,268	0.16%
九龍倉集團	WHL	13,394	0.10%
總數		13,646,790	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2007 第 3 季	72,843	51.56	212.38
第 4 季	84,422	74.95	326.52
2008 第 1 季	70,212	61.47	240.17
第 2 季	62,653	50.94	206.87
第 3 季	58,573	46.36	179.64

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者 交收指示的數量	股數 (百萬股)	數值 (百萬港元)
2007 第 3 季	1,033	129.15	452.26
第 4 季	1,054	615.59	515.86
2008 第 1 季	624	92.86	211.10
第 2 季	500	89.07	231.16
第 3 季	477	394.14	231.78

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10 億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2007 第 3 季	2,564.04	69.00	10,006.49	45.96
第 4 季	3,570.43	74.95	10,438.86	47.02
2008 第 1 季	4,089.67	77.13	8,061.37	44.86
第 2 季	3,980.22	75.61	7,704.09	44.60
第 3 季	3,722.74	74.53	5,905.59	44.18

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月	買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2007 第3季	694,934	100	86,092.77	100	97,724.06	100
第4季	780,804	100	147,873.77	100	134,512.38	100
2008 第1季	644,083	100	155,030.88	100	98,707.00	100
第2季	540,113	100	116,803.79	100	76,079.45	100
第3季	503,213	100	101,147.17	100	63,555.81	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月	以「持續淨額 交收」的股份 數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2007 第3季	82,826	93.79	33,405.17	80.08
第4季	82,533	94.47	39,009.98	85.87
2008 第1季	64,952	94.71	31,361.71	89.64
第2季	56,171	94.58	23,868.92	89.60
第3季	46,111	95.13	18,735.28	90.44

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2007	第 3 季	99.61	99.97
	第 4 季	99.72	99.97
2008	第 1 季	99.84	99.98
	第 2 季	99.84	99.99
	第 3 季	99.74	99.98

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2008年第3季	2007年第3季
恒生指數期貨	97,135	123,247
恒生指數期權	99,068	296,304
小型恒生指數期貨	4,456	3,989
小型恒生指數期權	1,819	362
H股指數期貨	87,386	91,397
H股指數期權	73,311	152,773
小型H股指數期貨 1	388	
H股金融指數期貨 2	0	—
新華富時中國25指數期貨	0	19
新華富時中國25指數期權	0	1
股票期貨	8,269	8,596
股票期權	4,675,934	5,435,153
三個月港元利率期貨	2,243	5,977
一個月港元利率期貨	58	0
三年期外匯基金債券期貨	0	0
總數	5,050,067	6,117,818

¹ 小型H股指數期貨由2008年3月31日開始買賣

² H股金融指數期貨由2007年4月16日開始買賣

結算及交收

各期權類別之未平倉合約

2008年第3季末

期權類別		未平倉合約	佔市場百分比
中國鋁業	ALC	16,120	0.34
中國銀行	BCL	383,202	8.20
交通銀行	BCM	138,543	2.96
東亞銀行	BEA	33,920	0.73
中銀香港	BOC	89,249	1.91
國泰航空	CPA	15,533	0.33
長江實業	CKH	67,512	1.44
長江基建集團	CKI	1,633	0.03
中信銀行	CTB	27,209	0.58
中煤能源	CCE	18,897	0.40
中國交通建設	CCC	98,789	2.11
建設銀行	CCB	437,219	9.35
中國人壽	CLI	230,504	4.93
招商銀行	CMB	82,388	1.76
中國移動	CHT	334,938	7.16
中國網通	CNM	5,722	0.12
中國石油化工股份	CPC	191,793	4.10
中國鐵建	CRC	6,876	0.15
中國中鐵	CRG	8,117	0.17
中國神華	CSE	33,120	0.71
中國電信	CTC	131,563	2.81
中國聯通	CHU	9,975	0.21
中信泰富	CIT	2,804	0.06
中電控股	CLP	28,801	0.62
中國海洋石油	CNC	244,923	5.24
駿威汽車	DWM	537	0.01
思捷環球	ESP	34,401	0.74
富士康國際	FIH	9,219	0.20
恒生銀行	HSB	122,117	2.61
恒基地產	HLD	15,133	0.32
香港電燈	HEH	13,987	0.30
香港中華煤氣	HKG	26,618	0.57
香港交易所	HEX	246,707	5.28
匯豐控股	HKB	449,625	9.62
華能國際電力股份	HNP	10,089	0.22
和記黃埔	HWL	87,651	1.87
工商銀行	ICB	493,884	10.56
江西銅業股份	JXC	11,622	0.25
德昌電機控股	JSE	1,490	0.03
利豐	LIF	2,052	0.04
地鐵公司	MTR	34,691	0.74
新世界發展	NWD	17,099	0.37
電訊盈科	PCC	17,763	0.38
中國石油股份	PEC	226,139	4.84
中國財險	PIC	14,633	0.31
中國平安	PAI	114,898	2.46
新鴻基地產	SHK	64,566	1.38
太古股份公司A	SWA	9,877	0.21
盈富基金	TRF	9,115	0.19
九龍倉集團	WHL	2,671	0.06
總數		4,675,934	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2007	第3季	514 (917)	433 (829)	39 (46)	42 (42)
	第4季	518 (921)	439 (835)	39 (46)	40 (40)
2008	第1季	519 (926)	445 (845)	36 (43)	38 (38)
	第2季	519 (929)	448 (852)	35 (41)	36 (36)
	第3季	519 (927)	447 (849)	36 (42)	36 (36)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2007	第3季	194 (224)	140 (168)	—	54 (56)
	第4季	194 (224)	140 (168)	—	54 (56)
2008	第1季	196 (224)	143 (168)	—	53 (55)
	第2季	200 (227)	147 (172)	—	53 (55)
	第3季	200 (226)	147 (171)	—	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者統計

期貨結算所參與者

年／月		結算參與者	一般結算參與者
2007	第3季	127	7
	第4季	128	7
2008	第1季	132	7
	第2季	134	7
	第3季	134	7

期交所期權結算所參與者

年／月		直接結算參與者	一般結算參與者
2007	第3季	48	4
	第4季	48	4
2008	第1季	48	4
	第2季	49	4
	第3季	49	5

中央結算所參與者(至2007年12月2日)

年／月		直接結算 參與者 ^(註1)	一般結算 參與者 ^(註1)	經紀 參與者 ^(註1)	非經紀 參與者 ^(註2)
2007	第3季			442	44
	第4季*			445	46
	第4季**	442	2		46
2008	第1季	447	3		45
	第2季	450	3		44
	第3季	449	4		43

註1：自第三者結算於2007年12月3日推出起，直接結算參與者及一般結算參與者已取代經紀參與者。

註2：非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

* 至2007年12月2日

** 由2007年12月3日起至2007年12月31日

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示