

# 證券及衍生產品市場 季報

2010年第三季

# 市場概況

## 主板

| 概況             | 2010年 第3季          | 2009年 第3季          |
|----------------|--------------------|--------------------|
| 上市公司數目         | 1,194              | 1,114              |
| 上市證券數目         | 6,780              | 6,341              |
| 股份             |                    |                    |
| 普通股            | 1,195              | 1,115              |
| 優先股            | 2                  | 2                  |
| 認股權證           | 4,373              | 3,119              |
| 股份             | 25                 | 26                 |
| 衍生             | 4,348              | 3,093              |
| 股票掛鈎票據         | 0                  | 0                  |
| 牛熊證            | 974                | 1893               |
| 單位信託及互惠基金      | 76                 | 46                 |
| 債券             | 160                | 166                |
| 非上市可交易股份數目     |                    |                    |
| Nasdaq 股份      | 7                  | 7                  |
| iShares        | 2                  | 2                  |
| 交易日數           | 64                 | 65                 |
| 總成交金額(百萬港元)    | 3,928,482.29       | 4,312,121.38       |
| 總成交股數(百萬股)     | 8,890,661.52       | 6,726,021.06       |
| 總成交宗數(宗數)      | 43,414,122         | 48,043,290         |
| 上市公司市價總值(百萬港元) | 19,557,277.66      | 15,872,855.00      |
| 平均市盈率(倍)       | 15.95              | 16.10              |
| 平均週息率(百分率)     | 2.41               | 2.66               |
| 交易所參與者數目       |                    |                    |
| 開業             | 478                | 463                |
| 非開業            | 30                 | 34                 |
| 成交金額(百萬港元)     |                    |                    |
| 最高             | 118,809.60 (08/09) | 101,781.69 (29/07) |
| 最低             | 39,934.89 (05/07)  | 49,017.83 (30/09)  |
| 成交股數(百萬股)      |                    |                    |
| 最高             | 187,943.48 (16/09) | 139,492.76 (06/08) |
| 最低             | 109,464.46 (27/08) | 83,866.02 (28/08)  |
| 總成交宗數(宗數)      |                    |                    |
| 最高             | 925,386 (29/09)    | 1,125,715 (29/07)  |
| 最低             | 456,298 (05/07)    | 533,957 (22/09)    |

# 市場概況

## 創業板

| 概況             | 2010年 第3季        | 2009年 第3季        |
|----------------|------------------|------------------|
| 上市公司數目         | 171              | 172              |
| 上市證券數目         | 172              | 173              |
| 股份             | 171              | 172              |
| 認股權證           | 1                | 1                |
| 交易日數           | 64               | 65               |
| 總成交金額(百萬港元)    | 24,306.35        | 26,394.64        |
| 總成交股數(百萬股)     | 88,818.93        | 84,236.31        |
| 總成交宗數(宗數)      | 647,817          | 677,648          |
| 上市公司市價總值(百萬港元) | 129,859.18       | 86,891.48        |
| 平均市盈率(倍)       | 33.11            | 33.32            |
| 平均週息率(百分率)     | 0.54             | 1.15             |
| 成交金額(百萬港元)     |                  |                  |
| 最高             | 700.07 (22/09)   | 1,156.65 (16/09) |
| 最低             | 192.75 (31/08)   | 163.74 (29/09)   |
| 成交股數(百萬股)      |                  |                  |
| 最高             | 3,139.12 (29/07) | 3,687.78 (02/07) |
| 最低             | 686.07 (20/07)   | 406.74 (29/09)   |
| 總成交宗數(宗數)      |                  |                  |
| 最高             | 15,859 (29/09)   | 28,569 (16/09)   |
| 最低             | 5,731 (20/07)    | 4,924 (02/09)    |

# 市場概況

## 衍生產品市場

| 概況                       | 2010年第3季          | 2009年第3季          |
|--------------------------|-------------------|-------------------|
| <b>所有期貨及期權產品(股票期權除外)</b> |                   |                   |
| 交易日數                     | 64.0              | 64.5              |
| 總合約成交量                   | <b>13,062,012</b> | <b>13,199,110</b> |
| 股市指數產品                   | 13,014,864        | 13,120,049        |
| 股票產品                     | 45,941            | 77,610            |
| 利率產品                     | 113               | 327               |
| 黃金期貨                     | 1,094             | 1,124             |
| 平均每日合約成交量                | <b>204,094</b>    | <b>204,637</b>    |
| <b>季末未平倉合約</b>           |                   |                   |
| 股市指數產品                   | 629,024           | 583,892           |
| 股票產品                     | 6,887             | 4,389             |
| 利率產品                     | 138               | 189               |
| 黃金期貨                     | 92                | 30                |
| <b>股票期權</b>              |                   |                   |
| 新開辦期權類別                  | 3                 | 3                 |
| 股票期權數目(於季末數目)            |                   |                   |
| 類別                       | 54                | 51                |
| 系列                       | 10,348            | 6,020             |
| <b>期權金總額(百萬港元)</b>       |                   |                   |
| 認購                       | 4,463.54          | 7,976.55          |
| 認沽                       | 7,504.16          | 6,228.78          |
| 平均每日期權金總額(百萬港元)          | 187.00            | 220.24            |
| 總合約成交量                   | <b>13,877,094</b> | <b>10,892,511</b> |
| 認購                       | 6,052,065         | 5,317,132         |
| 認沽                       | 7,825,029         | 5,575,379         |
| 平均每日合約成交量                | 216,830           | 168,876           |
| 季末未平倉合約                  | <b>5,090,926</b>  | <b>3,663,846</b>  |
| 認購                       | 1,850,571         | 1,397,007         |
| 認沽                       | 3,240,355         | 2,266,839         |
| 總成交宗數                    | 539,850           | 374,728           |
| 平均每日成交宗數                 | 8,435             | 5,810             |
| 已行使合約總數                  | 1,295,322         | 1,284,878         |
| 每張合約平均期權金(港元)            | 862               | 1,304             |
| 每宗交易平均合約數目               | 26                | 29                |
| 認沽數量/認購數量                | 1.29              | 1.05              |
| 平均每日合約成交量/未平倉合約          | 4.3%              | 4.6%              |
| <b>所有期貨及期權產品</b>         |                   |                   |
| 總合約成交量                   | <b>26,939,106</b> | <b>24,091,621</b> |
| 季末未平倉合約                  | <b>5,727,067</b>  | <b>4,252,346</b>  |

# 市場概況

## 結算及交收

| 中央結算系統營運資料                             | 2010年第三季  | 2009年第三季     |
|--|-----------|--------------|
| 經中央結算系統處理之聯交所交易(每日平均數)                 |           |              |
| —交易宗數                                  | 688,441   | 749,546      |
| —交易金額(十億港元)                            | 61.8      | 66.7         |
| —涉及股數(十億股)                             | 140.3     | 104.8        |
| 經中央結算系統處理的交收指示(每日平均數)                  |           |              |
| —交收指示數目                                | 74,032    | 71,468       |
| —交收指示涉及金額(十億港元)                        | 169.5     | 167.9        |
| —涉及股數(十億股)                             | 46.8      | 40.4         |
| 經中央結算系統處理的投資者交收指示(每日平均數)               |           |              |
| —交收指示數目                                | 499       | 568          |
| —交收指示涉及金額(百萬港元)                        | 177.5     | 318.2        |
| —涉及股數(百萬股)                             | 115.9     | 132.5        |
| 以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)  | 99.90     | 99.86        |
| 以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數) | 99.99     | 99.99        |
| 在T+3日進行補購(每日平均數)                       |           |              |
| —涉及經紀數目                                | 7         | 8            |
| —補購宗數                                  | 7         | 9            |
| —補購涉及金額(百萬港元)                          | 1.4       | 2.4          |
| 存放於中央結算系統證券存管處的股份                      |           |              |
| —股數(十億股)                               | 3,538.2   | 3,282.1      |
| —獲納入系統的證券佔已發行股本總數的百分比                  | 71.67     | 71.26        |
| —股份價值(十億港元)                            | 10,442.1  | 8,194.1      |
| —獲納入系統的證券佔市價總值的百分比                     | 50.28     | 47.96        |
| 衍生產品結算及交收系統營運資料                        |           |              |
| 季末未平倉合約                                |           |              |
| —股市指數期貨                                | 235,577   | 168,674      |
| —股票期貨                                  | 6,887     | 4,389        |
| —黃金期貨                                  | 92        | 30           |
| —利率期貨                                  | 138       | 189          |
| —股市指數期權                                | 393,447   | 415,218      |
| —股票期權                                  | 5,090,926 | 3,663,846.00 |
| 累積行使股票期權                               |           |              |
| —涉及股數(百萬股)                             | 860       | 1,061        |
| —涉及金額(十億港元)                            | 18        | 24           |

# 主板 股份

## 股份成交

|      |     | 股數<br>(百萬股) | 金額<br>(百萬港元) | 成交宗數       |
|------|-----|-------------|--------------|------------|
| 2009 | 第3季 | 927,952.04  | 3,213,533.31 | 40,896,652 |
|      | 第4季 | 893,162.02  | 3,100,334.47 | 40,162,798 |
| 2010 | 第1季 | 828,347.87  | 2,922,136.26 | 39,132,197 |
|      | 第2季 | 817,338.52  | 2,748,750.08 | 37,828,922 |
|      | 第3季 | 794,114.98  | 2,759,690.18 | 35,997,455 |

## 股份交易統計

|      |     | 交易日數 | 每日平均成交<br>金額(百萬港元) | 平均每宗<br>交易成交金額 |
|------|-----|------|--------------------|----------------|
| 2009 | 第3季 | 65   | 49,438.97          | 78,577         |
|      | 第4季 | 63   | 49,211.66          | 77,194         |
| 2010 | 第1季 | 61   | 47,903.87          | 74,673         |
|      | 第2季 | 60   | 45,812.50          | 72,663         |
|      | 第3季 | 64   | 43,120.16          | 76,663         |

# 主板 股份

## 20種升幅最大之股份

2010年第3季

| 順次 | 股份代號  | 股份             | 收市價      |          | 升幅       |
|----|-------|----------------|----------|----------|----------|
|    |       |                | 2010年9月底 | 2010年6月底 |          |
| 1  | 02362 | 澳門投資控股         | 1.240    | 0.325    | 281.54   |
| 2  | 00207 | 僑福企業           | 1.850    | 0.590    | 213.56   |
| 3  | 00130 | 慕詩國際           | 2.870    | 0.970    | 195.88   |
| 4  | 00104 | ASIA COMM HOLD | 1.850    | 0.640    | 189.06   |
| 5  | 00377 | 新洲印刷集團         | 2.210    | 0.810    | 172.84   |
| 6  | 00873 | 國際泰豐控股         | 3.400    | 1.250    | 172.00   |
| 7  | 00307 | 泰德陽光           | 0.310    | 0.116    | 167.24   |
| 8  | 01058 | 粵海制革           | 0.890    | 0.350    | 154.29   |
| 9  | 00499 | 華脈無線通信         | 5.650    | 2.350    | 140.43   |
| 10 | 01049 | CELESTIAL ASIA | 4.110    | 1.725    | A 138.26 |
| 11 | 01126 | 德林國際           | 1.280    | 0.540    | 137.04   |
| 12 | 00526 | 利時集團控股         | 0.740    | 0.320    | 131.25   |
| 13 | 00678 | 雲頂香港           | 3.370    | 1.460    | 130.82   |
| 14 | 00673 | 中國衛生控股         | 0.850    | 0.370    | 129.73   |
| 15 | 01114 | BRILLIANCE CHI | 5.780    | 2.550    | 126.67   |
| 16 | 00766 | 中盈國金資源         | 0.380    | 0.170    | 123.53   |
| 17 | 01683 | 國際煤機           | 7.330    | 3.340    | 119.46   |
| 18 | 00448 | 漢登控股           | 1.360    | 0.640    | 112.50   |
| 19 | 00280 | 景福集團           | 2.090    | 0.990    | 111.11   |
| 20 | 00483 | 包浩斯國際          | 3.370    | 1.620    | 108.02   |

A 經調整

## 20種跌幅最大之股份

2010年第3季

| 順次 | 股份代號  | 股份      | 收市價      |          | 跌幅       |
|----|-------|---------|----------|----------|----------|
|    |       |         | 2010年9月底 | 2010年6月底 |          |
| 1  | 00736 | 中國置業投資  | 0.041    | 0.165    | A -75.15 |
| 2  | 00692 | 正興      | 0.325    | 1.050    | A -69.05 |
| 3  | 00274 | 高寶綠色    | 0.152    | 0.295    | -48.47   |
| 4  | 01166 | 蒙古礦業    | 0.028    | 0.054    | -48.15   |
| 5  | 00235 | 中策集團    | 0.290    | 0.520    | -44.23   |
| 6  | 00901 | 萊福資本    | 0.065    | 0.116    | A -43.97 |
| 7  | 00149 | 中國農產品交易 | 0.051    | 0.090    | -43.33   |
| 8  | 00263 | 中國雲錫礦業  | 0.082    | 0.144    | -43.06   |
| 9  | 03989 | 新環保能源   | 0.490    | 0.830    | -40.96   |
| 10 | 01003 | 21控股    | 0.047    | 0.079    | -40.51   |
| 11 | 01163 | 瑩輝集團    | 0.400    | 0.660    | -39.39   |
| 12 | 01338 | 霸王集團    | 3.440    | 5.570    | -38.24   |
| 13 | 00630 | 輝影國際    | 0.340    | 0.540    | -37.04   |
| 14 | 00329 | 叁龍國際    | 0.067    | 0.106    | -36.79   |
| 15 | 00412 | 漢基控股    | 0.119    | 0.187    | -36.36   |
| 16 | 00370 | 國華      | 0.129    | 0.200    | -35.50   |
| 17 | 00764 | 永恒策略    | 0.310    | 0.478    | A -35.15 |
| 18 | 00547 | 奧亮集團    | 0.201    | 0.305    | -34.10   |
| 19 | 01141 | 北京御生堂   | 0.315    | 0.470    | -32.98   |
| 20 | 00544 | 大同集團    | 0.270    | 0.395    | -31.65   |

A 經調整

# 主板 股份

## 20種成交最活躍股份(金額)

2010年第3季

| 順次        | 股份代號  | 股份       | 成交金額(百萬港元)          | 佔市場百分比       |
|-----------|-------|----------|---------------------|--------------|
| 1         | 00941 | 中國移動     | 168,303.24          | 6.10         |
| 2         | 00005 | 匯豐控股     | 96,677.55           | 3.50         |
| 3         | 02628 | 中國人壽     | 91,020.11           | 3.30         |
| 4         | 00939 | 建設銀行     | 90,546.56           | 3.28         |
| 5         | 01398 | 工商銀行     | 83,131.15           | 3.01         |
| 6         | 01288 | 農業銀行     | 67,520.73           | 2.45         |
| 7         | 03988 | 中國銀行     | 57,004.70           | 2.07         |
| 8         | 00013 | 和記黃埔     | 53,606.82           | 1.94         |
| 9         | 00883 | 中國海洋石油   | 52,007.83           | 1.88         |
| 10        | 00388 | 香港交易所    | 47,704.79           | 1.73         |
| 11        | 00857 | 中國石油股份   | 42,642.52           | 1.55         |
| 12        | 00700 | 騰訊控股     | 41,288.32           | 1.50         |
| 13        | 00016 | 新鴻基地產    | 40,691.26           | 1.47         |
| 14        | 01088 | 中國神華     | 35,328.28           | 1.28         |
| 15        | 02318 | 中國平安     | 33,907.46           | 1.23         |
| 16        | 00386 | 中國石油化工股份 | 31,851.51           | 1.15         |
| 17        | 00001 | 長江實業     | 30,830.79           | 1.12         |
| 18        | 03968 | 招商銀行     | 28,545.74           | 1.03         |
| 19        | 00688 | 中國海外發展   | 27,121.74           | 0.98         |
| 20        | 02388 | 中銀香港     | 24,720.45           | 0.90         |
| <b>總額</b> |       |          | <b>1,144,451.54</b> | <b>41.47</b> |

## 20種成交最活躍股份(股數)

2010年第3季

| 順次        | 股份代號  | 股份       | 成交股數(百萬股)         | 佔市場百分比       |
|-----------|-------|----------|-------------------|--------------|
| 1         | 01166 | 蒙古礦業     | 47,676.23         | 6.00         |
| 2         | 00692 | 正興       | 40,590.58         | 5.11         |
| 3         | 01288 | 農業銀行     | 19,227.65         | 2.42         |
| 4         | 00985 | 中科礦業     | 17,118.38         | 2.16         |
| 5         | 01398 | 工商銀行     | 14,322.51         | 1.80         |
| 6         | 00329 | 叁龍國際     | 14,028.64         | 1.77         |
| 7         | 03988 | 中國銀行     | 14,019.84         | 1.77         |
| 8         | 00939 | 建設銀行     | 13,781.62         | 1.74         |
| 9         | 00689 | 長盈集團(控股) | 12,033.94         | 1.52         |
| 10        | 00493 | 國美電器     | 9,851.57          | 1.24         |
| 11        | 00149 | 中國農產品交易  | 9,390.43          | 1.18         |
| 12        | 01041 | 福邦控股     | 8,286.63          | 1.04         |
| 13        | 00981 | 中芯國際     | 7,280.54          | 0.92         |
| 14        | 00585 | 意馬國際     | 7,147.44          | 0.90         |
| 15        | 00566 | 鉑陽太陽能    | 6,850.92          | 0.86         |
| 16        | 00885 | 福方集團     | 6,744.44          | 0.85         |
| 17        | 00959 | 奧瑪仕控股    | 6,461.04          | 0.81         |
| 18        | 00488 | 麗新發展     | 6,397.58          | 0.81         |
| 19        | 00897 | 位元堂      | 6,388.59          | 0.80         |
| 20        | 00091 | 駿新能源     | 5,147.22          | 0.65         |
| <b>總數</b> |       |          | <b>272,745.79</b> | <b>34.35</b> |



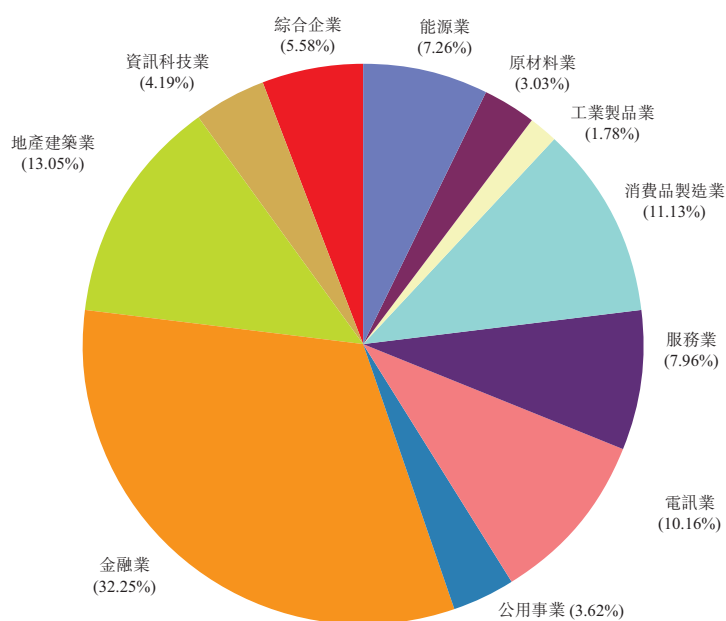
# 主板 股份

## 上市公司市價總值-主板

|      |       | 百萬港元          |
|------|-------|---------------|
| 2009 | 第 3 季 | 15,872,855.00 |
|      | 第 4 季 | 17,769,271.41 |
| 2010 | 第 1 季 | 17,920,896.03 |
|      | 第 2 季 | 17,000,166.93 |
|      | 第 3 季 | 19,557,277.66 |

## 恒生行業分類系統之證券市價總值\*-主板

季末數字



\* 行業分類由恒生指數有限公司提供。

# 主板 股份

## 50間市價總值最大之上市公司

截至2010年第3季季末

| 順次          | 股份代號  | 公司                              | 市價總值(百萬港元)           | 佔市場總值(%)     |      |
|-------------|-------|---------------------------------|----------------------|--------------|------|
| 1           | 00941 | 中國移動有限公司                        | 1,594,094.47         | 8.15         |      |
| 2           | 00939 | 中國建設銀行股份有限公司 – H股               | 1,527,885.77         | 7.81         |      |
| 3           | 00005 | 匯豐控股有限公司                        | 1,403,424.08         | 7.18         |      |
| 4           | 00883 | 中國海洋石油有限公司                      | 671,824.77           | 3.44         |      |
| 5           | 01398 | 中國工商銀行股份有限公司 – H股               | 480,066.58           | 2.45         |      |
| 6           | 02888 | 渣打集團有限公司                        | 476,058.07           | 2.43         |      |
| 7           | 00016 | 新鴻基地產發展有限公司                     | 344,385.25           | 1.76         |      |
| 8           | 00700 | 騰訊控股有限公司                        | 310,035.94           | 1.59         |      |
| 9           | 03988 | 中國銀行股份有限公司 – H股                 | 309,402.42           | 1.58         |      |
| 10          | 00013 | 和記黃埔有限公司                        | 308,668.04           | 1.58         |      |
| 11          | 00001 | 長江實業(集團)有限公司                    | 272,380.93           | 1.39         |      |
| 12          | 00762 | 中國聯合網絡通信(香港)股份有限公司              | 267,665.37           | 1.37         |      |
| 13          | 02388 | 中銀香港(控股)有限公司                    | 260,090.39           | 1.33         |      |
| 14          | 02628 | 中國人壽保險股份有限公司 – H股               | 228,072.01           | 1.17         |      |
| 15          | 02318 | 中國平安保險(集團)股份有限公司 – H股           | 226,332.41           | 1.16         |      |
| 16          | 03328 | 交通銀行股份有限公司 – H股                 | 222,537.52           | 1.14         |      |
| 17          | 00011 | 恒生銀行有限公司                        | 218,141.26           | 1.12         |      |
| 18          | 02378 | 英國保誠有限公司*                       | –                    | 198,173.98   | N.A. |
| 19          | 00857 | 中國石油天然氣股份有限公司 – H股              | 190,523.07           | 0.97         |      |
| 20          | 00945 | Manulife Financial Corporation* | –                    | 172,437.91   | N.A. |
| 21          | 00066 | 香港鐵路有限公司                        | 169,293.95           | 0.87         |      |
| 22          | 00494 | 利豐有限公司                          | 166,611.37           | 0.85         |      |
| 23          | 00388 | 香港交易及結算所有限公司                    | 164,728.00           | 0.84         |      |
| 24          | 00101 | 恒隆地產有限公司                        | 158,004.41           | 0.81         |      |
| 25          | 00019 | 太古股份有限公司                        | 156,710.74           | 0.8          |      |
| 26          | 00002 | 中電控股有限公司                        | 149,060.58           | 0.76         |      |
| 27          | 00003 | 香港中華煤氣有限公司                      | 141,060.80           | 0.72         |      |
| 28          | 00486 | United Company RUSAL Plc*       | –                    | 139,016.09   | N.A. |
| 29          | 00004 | 九龍倉集團有限公司                       | 137,417.67           | 0.7          |      |
| 30          | 00688 | 中國海外發展有限公司                      | 134,188.45           | 0.69         |      |
| 31          | 01880 | 百麗國際控股有限公司                      | 131,405.35           | 0.67         |      |
| 32          | 01288 | 中國農業銀行股份有限公司 – H股               | 123,570.07           | 0.63         |      |
| 33          | 00012 | 恒基兆業地產有限公司                      | 119,635.73           | 0.61         |      |
| 34          | 00322 | 康師傅控股有限公司                       | 119,557.38           | 0.61         |      |
| 35          | 00386 | 中國石油化工股份有限公司 – H股               | 115,449.76           | 0.59         |      |
| 36          | 01928 | 金沙中國有限公司                        | 112,670.11           | 0.58         |      |
| 37          | 01088 | 中國神華能源股份有限公司 – H股               | 109,094.50           | 0.56         |      |
| 38          | 00006 | 香港電燈集團有限公司                      | 100,630.44           | 0.51         |      |
| 39          | 00151 | 中國旺旺控股有限公司                      | 95,124.02            | 0.49         |      |
| 40          | 01044 | 恒安國際集團有限公司                      | 94,692.54            | 0.48         |      |
| 41          | 00291 | 華潤創業有限公司                        | 84,271.15            | 0.43         |      |
| 42          | 00293 | 國泰航空有限公司                        | 82,807.43            | 0.42         |      |
| 43          | 01688 | 阿里巴巴網絡有限公司                      | 81,527.85            | 0.42         |      |
| 44          | 01109 | 華潤置地有限公司                        | 79,490.78            | 0.41         |      |
| 45          | 00083 | 信和置業有限公司                        | 78,709.91            | 0.4          |      |
| 46          | 00836 | 華潤電力控股有限公司                      | 78,520.29            | 0.4          |      |
| 47          | 03968 | 招商銀行股份有限公司 – H股                 | 78,209.56            | 0.4          |      |
| 48          | 01128 | 永利澳門有限公司                        | 69,616.25            | 0.36         |      |
| 49          | 01038 | 長江基建集團有限公司                      | 69,429.67            | 0.36         |      |
| 50          | 00144 | 招商局國際有限公司                       | 68,804.71            | 0.35         |      |
| <b>總額</b>   |       |                                 | <b>12,581,881.82</b> | <b>64.34</b> |      |
| <b>市場總值</b> |       |                                 | <b>19,557,277.66</b> | <b>100</b>   |      |

註：

由2010年7月起納入外國公司

\* 不是祇在香港上市之外國公司

# 主板 股份

## 賣空交易 (金額)

|      |     | 賣空交易<br>(百萬港元) | 股份成交金額<br>(百萬港元)* | 佔股份成交<br>百分比 |
|------|-----|----------------|-------------------|--------------|
| 2009 | 第3季 | 234,578.14     | 3,239,927.90      | 7.24         |
|      | 第4季 | 216,473.14     | 3,125,947.48      | 6.93         |
| 2010 | 第1季 | 254,445.78     | 2,953,639.11      | 8.61         |
|      | 第2季 | 278,492.48     | 2,788,065.18      | 9.99         |
|      | 第3季 | 231,187.06     | 2,783,988.10      | 8.30         |

\* 包括主板及創業板數據

## 賣空交易 (股數)

|      |     | 賣空交易<br>(百萬股) | 股份成交股數<br>(百萬股)* | 佔股份成交<br>百分比 |
|------|-----|---------------|------------------|--------------|
| 2009 | 第3季 | 20,969.48     | 1,012,187.21     | 2.07         |
|      | 第4季 | 19,660.11     | 965,635.67       | 2.04         |
| 2010 | 第1季 | 21,677.84     | 926,224.94       | 2.34         |
|      | 第2季 | 24,633.79     | 956,653.63       | 2.57         |
|      | 第3季 | 20,554.19     | 882,787.31       | 2.33         |

\* 包括主板及創業板數據

## 新上市統計—公司

|      |     | 新上市<br>公司數目 | 創業板<br>公司轉板數目* | 集資金額<br>(百萬港元)# |
|------|-----|-------------|----------------|-----------------|
| 2009 | 第3季 | 13          | 0              | 45,370.96       |
|      | 第4季 | 37          | 0              | 184,852.28      |
| 2010 | 第1季 | 13          | 0              | 33,962.31       |
|      | 第2季 | 13          | 2              | 16,207.37       |
|      | 第3季 | 21          | 5              | 109,845.45      |

\* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

# 集資金額為新上市公司首次公開招股的集資總額

# 主板 股份

## 新上市公司

2010年第3季

| 股份代號      | 公司名稱                    | 上市日期       | 已發行股數          | 集資金額(百萬港元)        |
|-----------|-------------------------|------------|----------------|-------------------|
| 00951     | 超威動力控股有限公司              | 2010/07/07 | 1,005,290,000  | 556.53            |
| 01020     | 華耐控股有限公司                | 2010/07/07 | 1,200,000,000  | 296.40            |
| 01788     | 國泰君安國際控股有限公司            | 2010/07/08 | 1,640,000,000  | 1,763.00          |
| 01666     | 北京同仁堂科技發展股份有限公司-H股*     | 2010/07/09 | 87,320,000     | -                 |
| 02308     | 研祥智能科技股份有限公司-H股*        | 2010/07/12 | 308,352,000    | -                 |
| 00976     | 齊合天地集團有限公司              | 2010/07/12 | 1,000,000,000  | 607.50            |
| 01019     | 康宏理財控股有限公司              | 2010/07/13 | 400,000,000    | 120.00            |
| 01900     | 中國智能交通系統(控股)有限公司        | 2010/07/15 | 1,569,047,334  | 892.01            |
| 02118     | 天山發展(控股)有限公司            | 2010/07/15 | 1,000,000,000  | 350.00            |
| 01288     | 中國農業銀行股份有限公司-H股         | 2010/07/16 | 30,738,823,096 | 93,515.29         |
| 00936     | 敏達控股有限公司                | 2010/07/19 | 200,000,000    | 50.00             |
| 01066     | 山東威高集團醫用高分子製品股份有限公司-H股* | 2010/07/29 | 856,242,162    | -                 |
| 00640     | 星謙化工控股有限公司              | 2010/08/12 | 500,000,000    | 75.00             |
| 02233     | 中國西部水泥有限公司              | 2010/08/23 | 4,250,499,850  | 1,599.73          |
| 01428     | 耀才證券金融集團有限公司            | 2010/08/25 | 679,682,000    | 287.49            |
| 02238     | 廣州汽車集團股份有限公司-H股         | 2010/08/30 | 2,213,300,218  | -                 |
| 02198     | 中國三江精細化工有限公司            | 2010/09/16 | 1,009,303,000  | 897.05            |
| 01039     | 暢豐車橋(中國)有限公司            | 2010/09/24 | 800,000,000    | 920.00            |
| 01633     | 美即控股國際有限公司              | 2010/09/24 | 830,000,000    | 759.00            |
| 00853     | 微創醫療科學有限公司              | 2010/09/24 | 1,442,023,340  | 1,772.97          |
| 01009     | 國際娛樂有限公司*               | 2010/09/27 | 1,179,157,235  | -                 |
| 00895     | 深圳市東江環保股份有限公司-H股*       | 2010/09/28 | 355,800,000    | -                 |
| 00867     | 康哲藥業控股有限公司              | 2010/09/28 | 1,123,691,440  | 1,012.00          |
| 00926     | 碧生源控股有限公司               | 2010/09/29 | 1,681,091,320  | 1,507.96          |
| 01698     | 博士蛙國際控股有限公司             | 2010/09/29 | 2,075,000,000  | 2,863.50          |
| 00967     | 桑德國際有限公司                | 2010/09/30 | 1,290,000,000  | -                 |
| <b>總額</b> |                         |            |                | <b>109,845.45</b> |

\* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

# 主板 股份

## 除牌上市公司

2010年第3季

| 股份代號  | 公司名稱      | 最後買賣日期     | 除牌日期       |
|-------|-----------|------------|------------|
| 00049 | 會德豐地產有限公司 | 2010/07/12 | 2010/07/22 |
| 00203 | 駿威汽車有限公司  | 2010/08/16 | 2010/08/26 |

## 公司名稱更改

2010年第3季

| 股份代號  | 原有名稱              | 新名稱               | 生效日期       | 採用日期       |
|-------|-------------------|-------------------|------------|------------|
| 00729 | 嘉盛控股有限公司          | 中聚雷天電池有限公司        | 2010/05/27 | 2010/07/08 |
| 01164 | 維奧醫藥控股有限公司        | 維奧集團控股有限公司        | 2010/06/02 | 2010/07/14 |
| 00702 | 創新能源控股有限公司        | 中國油氣控股有限公司        | 2010/07/08 | 2010/08/04 |
| 00329 | 如烟集團(控股)有限公司      | 叁龍國際有限公司          | 2010/07/06 | 2010/08/06 |
| 00121 | 正大企業國際有限公司        | 卜蜂蓮花有限公司          | 2010/06/28 | 2010/08/09 |
| 00764 | 中國星投資有限公司         | 永恒策略投資有限公司        | 2010/06/29 | 2010/08/09 |
| 01166 | 榮盛科技國際控股有限公司#     | 蒙古礦業控股有限公司        | 2010/08/03 | 2010/08/10 |
| 01878 | 南戈壁能源有限公司#        | 南戈壁資源有限公司         | 2010/08/13 | 2010/08/18 |
| 01149 | 博智國際藥業控股有限公司      | 中國安芯控股有限公司        | 2010/08/03 | 2010/08/30 |
| 01766 | 中國南車股份有限公司-H股*    | 中國南車股份有限公司-H股     | 2010/08/04 | 2010/09/10 |
| 00221 | 利來控股有限公司          | PNG資源控股有限公司       | 2010/08/26 | 2010/09/16 |
| 02688 | 新奧燃氣控股有限公司        | 新奧能源控股有限公司        | 2010/08/13 | 2010/09/22 |
| 01131 | 廣興國際控股有限公司        | 鴻寶資源有限公司          | 2010/08/25 | 2010/09/24 |
| 00526 | 通達工業(集團)有限公司      | 利時集團(控股)有限公司      | 2010/08/23 | 2010/09/30 |
| 00811 | 四川新華文軒連鎖股份有限公司-H股 | 新華文軒出版傳媒股份有限公司-H股 | 2010/08/27 | 2010/09/30 |

\* 祇改英文名稱

# 祇改中文名稱

# 主板 股份

## 紅股／紅利認股權證

2010年第3季

| 股份代號  | 公司                         | 詳情                   | 除淨日        |
|-------|----------------------------|----------------------|------------|
| 00860 | 明豐珠寶集團有限公司                 | 10送4                 | 2010/07/02 |
| 00136 | 馬斯葛集團有限公司                  | 2送3                  | 2010/07/21 |
| 00869 | 彩星玩具有限公司                   | 1,000股送130份2012年認股權證 | 2010/07/22 |
| 00300 | 沈機集團昆明機床股份有限公司<br>- H股     | 10送2.5               | 2010/07/27 |
| 00896 | 興勝創建控股有限公司                 | 10送1                 | 2010/08/04 |
| 00651 | 中海船舶重工集團有限公司               | 每供1股送2股股份            | 2010/08/09 |
| 00912 | 信佳國際集團有限公司                 | 10送1                 | 2010/08/19 |
| 00711 | 俊和發展集團有限公司                 | 16股送3份2013年認股權證      | 2010/08/30 |
| 00599 | 怡邦行控股有限公司                  | 10送3                 | 2010/09/01 |
| 01066 | 山東威高集團醫用高分子製品股份<br>有限公司-H股 | 1送1                  | 2010/09/07 |
| 00653 | 卓悅控股有限公司                   | 1送1                  | 2010/09/13 |
| 00733 | 合富輝煌集團控股有限公司               | 10送1                 | 2010/09/14 |
| 00211 | 大凌集團有限公司                   | 10送1                 | 2010/09/16 |
| 00477 | 奧普集團控股有限公司                 | 2送1                  | 2010/09/17 |
| 01049 | 時富投資集團有限公司                 | 5送1                  | 2010/09/20 |
| 02342 | 京信通信系統控股有限公司               | 10送1                 | 2010/09/24 |
| 00897 | 位元堂藥業控股有限公司                | 每供5股送1股股份            | 2010/09/28 |

## 股份拆細及合併

2010年第3季

| 股份代號  | 公司           | 詳情       | 生效日期       |
|-------|--------------|----------|------------|
| 00736 | 中國置業投資控股有限公司 | 5股合併為1股  | 2010/07/12 |
| 00120 | 四海國際集團有限公司   | 1股分拆為5股  | 2010/08/30 |
| 00692 | 正興(集團)有限公司   | 50股合併為1股 | 2010/09/02 |
| 01227 | 國盛投資基金有限公司   | 5股合併為1股  | 2010/09/14 |
| 00897 | 位元堂藥業控股有限公司  | 25股合併為1股 | 2010/09/22 |

# 主板 股份

## 供股／公開售股

2010年第3季

| 月份        | 股份代號  | 公司名稱          | 比率                      | 集資金額<br>(百萬港元)   |
|-----------|-------|---------------|-------------------------|------------------|
| 7月        | 03328 | 交通銀行股份有限公司—H股 | 股權10H股供1.5H股，每H股供5.14港元 | 17,782.70        |
|           | 00809 | 大成生化科技集團有限公司  | 股權5供2，每股供0.75港元         | 695.65           |
|           | 00485 | 升岡國際有限公司      | 公開售股5供4，每股供0.12港元       | 75.37            |
|           | 00869 | 彩星玩具有限公司      | 股權2供1，每股供0.30港元         | 104.33           |
|           | 00455 | 雲南實業控股有限公司    | 公開售股1供1，每股供0.21港元       | 196.36           |
| 8月        | 00326 | 中國星集團有限公司     | 股權2供1，每股供0.10港元         | 144.46           |
|           | 02389 | 正峰集團有限公司      | 股權2供3，每股供0.15港元         | 380.23           |
|           | 00901 | 萊福資本投資有限公司    | 股權1供4，每股供0.10港元         | 110.47           |
| 9月        | 00651 | 中海船舶重工集團有限公司  | 公開售股2供1，每股供0.50港元       | 225.52           |
|           |       |               | 另每供1股送2股股份              |                  |
|           | 00764 | 永恒策略投資有限公司    | 股權1供3，每股供0.40港元         | 330.63           |
|           | 00009 | 中國東方實業集團有限公司  | 公開售股1供7，每股供0.0109港元     | 199.41           |
| <b>總額</b> |       |               |                         | <b>20,245.13</b> |

# 主板 股份

## 股份配售\*

| 月份    | 股份代號  | 公司名稱           | 配售股份數目        | 配售價<br>(港元) | 集資金額<br>(百萬港元) |
|-------|-------|----------------|---------------|-------------|----------------|
| 2010  |       |                |               |             |                |
| 6月**  | 00789 | 雅天妮            | 83,543,000    | 0.530       | 44.28          |
|       | 00563 | 中新地產集團         | 683,692,000   | 2.320       | 1,586.17       |
|       | 01140 | 東英金融投資         | 156,900,000   | 1.900       | 298.11         |
|       | 01177 | 中國生物製藥         | 185,000,000   | 3.350       | 619.75         |
|       | 02888 | 渣打集團           | 24,000,000    | 173.264     | 4,158.34       |
|       | 00159 | 華南投資           | 185,000,000   | 1.110       | 205.35         |
| 7月    | 00764 | 永恒策略           | 45,920,000    | 0.550       | 25.26          |
|       | 00838 | 億和控股           | 80,000,000    | 3.600       | 288.00         |
|       | 00885 | 福方集團           | 1,250,000,000 | 0.100       | 125.00         |
|       | 01226 | 嘉禹國際           | 14,130,000    | 0.368       | 5.20           |
|       | 00802 | 宏霸數碼           | 5,000,000     | 5.000       | 25.00          |
|       | 00246 | 瑞金礦業           | 100,000,000   | 11.860      | 1,186.00       |
|       | 00981 | 中芯國際           | 1,500,000,000 | 0.520       | 780.00         |
|       | 00609 | 天德化工           | 20,000,000    | 1.050       | 21.00          |
|       | 01192 | 泰山石化           | 1,000,000,000 | 0.370       | 370.00         |
|       | 02088 | 西王糖業           | 22,296,000    | 1.740       | 38.80          |
| 8月    | 00039 | 生物動力           | 90,000,000    | 0.480       | 43.20          |
|       | 00933 | 光匯石油           | 300,000,000   | 3.450       | 1,035.00       |
|       | 01049 | CELESTIAL ASIA | 20,000,000    | 2.500       | 50.00          |
|       | 00149 | 中國農產品交易        | 820,000,000   | 0.050       | 41.00          |
|       | 00263 | 中國雲錫礦業         | 600,000,000   | 0.100       | 60.00          |
|       | 00682 | 超大現代           | 154,838,000   | 7.530       | 1,165.93       |
|       | 00606 | 中國糧油控股         | 178,000,000   | 8.750       | 1,557.50       |
|       | 00736 | 中國置業投資         | 280,000,000   | 0.076       | 21.28          |
|       | 00692 | 正興             | 2,057,767,649 | 0.010       | 20.58          |
|       | 00668 | 東星能源           | 508,600,000   | 1.040       | 528.94         |
|       | 00668 | 東星能源           | 120,000,000   | 1.000       | 120.00         |
|       | 00279 | 民豐控股           | 99,125,239    | 0.275       | 27.26          |
|       | 00412 | 漢基控股           | 182,006,498   | 0.112       | 20.38          |
|       | 00988 | 樓東俊安資源         | 229,143,000   | 1.200       | 274.97         |
|       | 01227 | 國盛投資           | 788,824,000   | 0.041       | 32.34          |
|       | 00094 | 兩儀控股           | 230,000,000   | 1.820       | 418.60         |
|       | 00008 | 電訊盈科           | 500,000,000   | 2.600       | 1,300.00       |
|       | 00119 | 保利香港           | 400,000,000   | 8.800       | 3,520.00       |
|       | 00310 | 嘉進投資國際         | 119,600,000   | 0.750       | 89.70          |
|       | 00901 | 萊福資本           | 50,234,378    | 0.100       | 5.02           |
|       | 01129 | 中國水業集團         | 265,476,000   | 0.108       | 28.67          |
|       | 00273 | 威利國際           | 205,724,971   | 0.165       | 33.94          |
|       | 9月    | 00030          | 佳訊控股          | 16,000,000  | 1.380          |
| 00658 |       | 中國高速傳動         | 130,000,000   | 17.380      | 2,259.40       |
| 00910 |       | 中國林大           | 1,000,000,000 | 0.210       | 210.00         |
| 00736 |       | 中國置業投資         | 360,000,000   | 0.056       | 20.16          |
| 01193 |       | 華潤燃氣           | 230,000,000   | 10.750      | 2,472.50       |
| 03323 |       | 中國建材           | 238,947,729   | 16.000      | 3,823.16       |
| 03389 |       | 亨得利            | 300,000,000   | 3.480       | 1,044.00       |
| 00209 |       | 崇高國際           | 238,000,000   | 1.000       | 238.00         |



# 主板 股份

| 月份        | 股份代號  | 公司名稱 | 配售股份數目      | 配售價<br>(港元) | 集資金額<br>(百萬港元)   |
|-----------|-------|------|-------------|-------------|------------------|
|           | 03933 | 聯邦制藥 | 51,500,000  | 15.220      | 783.83           |
|           | 01173 | 威高國際 | 150,000,000 | 0.268       | 40.20            |
|           | 01899 | 興達國際 | 138,600,000 | 5.500       | 762.30           |
| <b>總額</b> |       |      |             |             | <b>31,846.20</b> |

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

\*\* 前季度之補充資料。

# 主板 認股權證

## 認股權證交易統計

|      |     | 股份認股權證<br>(百萬港元) | 衍生權證<br>(百萬港元) | 總額<br>(百萬港元) |
|------|-----|------------------|----------------|--------------|
| 2009 | 第3季 | 126.39           | 484,790.00     | 484,916.39   |
|      | 第4季 | 220.45           | 442,719.26     | 442,939.71   |
| 2010 | 第1季 | 228.05           | 588,420.02     | 588,648.07   |
|      | 第2季 | 302.00           | 538,302.09     | 538,604.09   |
|      | 第3季 | 375.85           | 669,145.29     | 669,521.14   |

## 認股權證市價總值

|      |     | 股份認股權證<br>(百萬港元) |          | 衍生權證<br>(百萬港元) |            | 總額<br>(百萬港元) |
|------|-----|------------------|----------|----------------|------------|--------------|
|      |     | 數目               | 數目       | 總數             |            |              |
| 2009 | 第3季 | 26               | 835.09   | 3,093          | 190,077.28 | 190,912.38   |
|      | 第4季 | 25               | 1,103.37 | 3,367          | 136,441.34 | 137,544.71   |
| 2010 | 第1季 | 20               | 1,201.13 | 3,974          | 106,176.30 | 107,377.43   |
|      | 第2季 | 25               | 1,697.57 | 4,114          | 86,643.45  | 88,341.02    |
|      | 第3季 | 25               | 2,204.10 | 4,348          | 131,501.94 | 133,706.04   |

## 新上市統計－認股權證

|      |     | 新上市股份認股<br>權證數目 | 新上市衍生<br>權證數目 | 集資金額<br>(百萬港元) |
|------|-----|-----------------|---------------|----------------|
| 2009 | 第3季 | –               | 1,154         | 67,523.38      |
|      | 第4季 | 3               | 1,502         | 79,409.13      |
| 2010 | 第1季 | 1               | 1,649         | 75,616.53      |
|      | 第2季 | 8               | 1,536         | 64,484.98      |
|      | 第3季 | 2               | 1,938         | 72,490.02      |

## 新上市股份認股權證

2010年第3季

| 股份<br>代號 | 股份認股權證              | 上市時之發行<br>金額(港元) | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---------------------|------------------|------------|------------|----------------|
| 00965    | 彩星玩具有限公司2012年認股權證   | 61,030,125       | 2010/08/04 | 2012/08/04 |                |
| 01032    | 俊和發展集團有限公司2013年認股權證 | 85,874,156       | 2010/09/13 | 2013/09/12 |                |
| 總額       |                     |                  |            |            | –              |

# 主板 認股權證

## 新上市衍生權證

2010年第三季

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23349    | Deutsche Bank AG – China Mobile European Warrants Dec 2010 A   | 200,000,000 | 2010/07/02 | 2010/12/28 | 78.00          |
| 23348    | Deutsche Bank AG – China Life European Warrants Jan 2011 A     | 300,000,000 | 2010/07/02 | 2011/01/03 | 84.00          |
| 23351    | Deutsche Bank AG – A50 China European Warrants Aug 2011        | 300,000,000 | 2010/07/02 | 2011/08/29 | 45.00          |
| 23350    | Deutsche Bank AG – HSBC European Warrants Nov 2010 D           | 300,000,000 | 2010/07/02 | 2010/11/22 | 99.00          |
| 23334    | HK Bank – Minsheng Bank European Warrants Jan 2011             | 100,000,000 | 2010/07/02 | 2011/01/03 | 25.00          |
| 23339    | J P Morgan SP BV – Lee & Man Paper European Warrants Dec2011   | 150,000,000 | 2010/07/02 | 2011/12/29 | 37.50          |
| 23340    | KBC Fin Products Int'l Ltd. – CMB European Warrants Jan 2011   | 108,000,000 | 2010/07/02 | 2011/01/03 | 27.00          |
| 23341    | KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2010 C     | 128,000,000 | 2010/07/02 | 2010/11/08 | 39.68          |
| 23344    | KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2011 C     | 100,000,000 | 2010/07/02 | 2011/01/03 | 57.00          |
| 23342    | KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 A     | 128,000,000 | 2010/07/02 | 2011/01/03 | 38.40          |
| 23343    | KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 B     | 128,000,000 | 2010/07/02 | 2011/01/03 | 32.00          |
| 23347    | KBC Fin Products Int'l Ltd. – HSI European Wts Nov 2010 H      | 200,000,000 | 2010/07/02 | 2010/11/29 | 30.00          |
| 23345    | KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011      | 108,000,000 | 2010/07/02 | 2011/06/01 | 27.00          |
| 23346    | KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Aug 2011    | 108,000,000 | 2010/07/02 | 2011/08/01 | 16.20          |
| 23335    | Macquarie Bank Ltd. – CHALCO European Warrants Jan 2011        | 200,000,000 | 2010/07/02 | 2011/01/04 | 74.40          |
| 23337    | Macquarie Bank Ltd. – COSCO Pacific European Warrants May 11   | 200,000,000 | 2010/07/02 | 2011/05/04 | 50.40          |
| 23336    | Macquarie Bank Ltd. – Ch Ship Cont European Warrants Jan 11    | 200,000,000 | 2010/07/02 | 2011/01/04 | 68.80          |
| 23338    | Macquarie Bank Ltd. – Ping An European Warrants Jan 2011       | 200,000,000 | 2010/07/02 | 2011/01/04 | 51.80          |
| 23333    | UBS AG – China Life European Warrants Jan 2011 A               | 100,000,000 | 2010/07/02 | 2011/01/10 | 25.00          |
| 23332    | UBS AG – Tencent Holdings European Put Warrants Jun 2011       | 200,000,000 | 2010/07/02 | 2011/06/20 | 30.00          |
| 23355    | Deutsche Bank AG – A50 China European Warrants Jun 2011 A      | 300,000,000 | 2010/07/05 | 2011/06/07 | 54.00          |
| 23361    | Macquarie Bank Ltd. – CC Bank European Put Warrants Jan 2011   | 180,000,000 | 2010/07/05 | 2011/01/05 | 64.44          |
| 23356    | Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 A     | 180,000,000 | 2010/07/05 | 2011/01/05 | 77.22          |
| 23357    | Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 B     | 180,000,000 | 2010/07/05 | 2011/01/05 | 46.26          |
| 23354    | UBS AG – A50 China European Warrants Aug 2011                  | 200,000,000 | 2010/07/05 | 2011/08/29 | 30.00          |
| 23352    | UBS AG – HKEx European Put Warrants Mar 2011                   | 100,000,000 | 2010/07/05 | 2011/03/07 | 15.00          |
| 23353    | UBS AG – SHK Ppt European Warrants Aug 2011 A                  | 100,000,000 | 2010/07/05 | 2011/08/01 | 15.00          |
| 22719#   | Credit Suisse AG – HSI European Warrants Sep 2010 A            | 300,000,000 | 2010/07/05 | 2010/09/29 | 35.10          |
| 23369    | BOCI Asia Ltd. – China Coal European Warrants Jan 2011         | 100,000,000 | 2010/07/06 | 2011/01/24 | 15.00          |
| 23370    | BOCI Asia Ltd. – CNBM European Warrants Mar 2011               | 100,000,000 | 2010/07/06 | 2011/03/25 | 25.00          |
| 23371    | BOCI Asia Ltd. – Geely Auto European Warrants Feb 2011         | 100,000,000 | 2010/07/06 | 2011/02/25 | 40.00          |
| 23372    | BOCI Asia Ltd. – Mongolia Energy European Warrants Jan 2011    | 100,000,000 | 2010/07/06 | 2011/01/14 | 40.00          |
| 23389    | Credit Suisse AG – A50 China European Warrants Oct 2010 B      | 300,000,000 | 2010/07/06 | 2010/10/11 | 45.00          |
| 23375    | J P Morgan SP BV – A50 China European Warrants Apr 2011        | 300,000,000 | 2010/07/06 | 2011/04/28 | 75.00          |
| 23384    | KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Feb 2011       | 80,000,000  | 2010/07/06 | 2011/02/08 | 44.80          |
| 23388    | KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2011 C   | 158,000,000 | 2010/07/06 | 2011/02/15 | 39.50          |
| 23378    | KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Mar 2011   | 80,000,000  | 2010/07/06 | 2011/03/01 | 20.00          |
| 23379    | KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Apr 2011   | 68,000,000  | 2010/07/06 | 2011/04/01 | 36.72          |
| 23380    | KBC Fin Products Int'l Ltd. – China Unicom Eur Put Wts Apr11   | 80,000,000  | 2010/07/06 | 2011/04/15 | 20.00          |
| 23365    | KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 C      | 200,000,000 | 2010/07/06 | 2011/01/06 | 50.00          |
| 23385    | KBC Fin Products Int'l Ltd. – Ch COSCO European Warrants Sep11 | 128,000,000 | 2010/07/06 | 2011/09/22 | 19.20          |
| 23376    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2011 D   | 268,000,000 | 2010/07/06 | 2011/02/01 | 67.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23386    | KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11A    | 80,000,000  | 2010/07/06 | 2011/02/08 | 32.80          |
| 23387    | KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11B    | 80,000,000  | 2010/07/06 | 2011/02/18 | 55.20          |
| 23377    | KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jan 2011 B    | 100,000,000 | 2010/07/06 | 2011/01/06 | 25.00          |
| 23381    | KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2011 D     | 108,000,000 | 2010/07/06 | 2011/01/06 | 33.48          |
| 23382    | KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2011   | 50,000,000  | 2010/07/06 | 2011/03/01 | 55.50          |
| 23366    | KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2011 C     | 158,000,000 | 2010/07/06 | 2011/01/06 | 39.50          |
| 23383    | KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Apr 11 | 188,000,000 | 2010/07/06 | 2011/04/06 | 47.00          |
| 23358    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Oct10G  | 200,000,000 | 2010/07/06 | 2010/10/28 | 30.40          |
| 23359    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10B  | 200,000,000 | 2010/07/06 | 2010/11/29 | 44.20          |
| 23360    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10C  | 200,000,000 | 2010/07/06 | 2010/11/29 | 34.00          |
| 23362    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10D  | 200,000,000 | 2010/07/06 | 2010/11/29 | 30.00          |
| 23367    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10E  | 200,000,000 | 2010/07/06 | 2010/11/29 | 43.80          |
| 23363    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10A  | 200,000,000 | 2010/07/06 | 2010/12/30 | 38.80          |
| 23364    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10B  | 200,000,000 | 2010/07/06 | 2010/12/30 | 30.00          |
| 23368    | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10C  | 200,000,000 | 2010/07/06 | 2010/12/30 | 43.40          |
| 23373    | SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Jan 11    | 100,000,000 | 2010/07/06 | 2011/01/26 | 45.00          |
| 23374    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2010 C   | 80,000,000  | 2010/07/06 | 2010/11/29 | 39.20          |
| 22740#   | Citigroup Global Mkt H Inc. – A50 China Euro Wts Oct 2010      | 150,000,000 | 2010/07/06 | 2010/10/04 | 13.20          |
| 17661#   | Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Oct 2010   | 350,000,000 | 2010/07/06 | 2010/10/25 | 10.85          |
| 20751#   | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Aug10C  | 300,000,000 | 2010/07/06 | 2010/08/30 | 11.70          |
| 17664#   | Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Oct 2010     | 180,000,000 | 2010/07/06 | 2010/10/18 | 11.52          |
| 23406    | Credit Suisse AG – China Coal European Warrants Nov 2010 B     | 300,000,000 | 2010/07/07 | 2010/11/15 | 45.00          |
| 23407    | Credit Suisse – Yanzhou Coal European Warrants Dec 2010        | 300,000,000 | 2010/07/07 | 2010/12/22 | 45.00          |
| 23400    | Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2011      | 150,000,000 | 2010/07/07 | 2011/01/31 | 37.50          |
| 23402    | Citigroup Global Mkt H Inc. – A50 China Euro Wts Nov 2011      | 180,000,000 | 2010/07/07 | 2011/11/28 | 45.00          |
| 23401    | Citigroup Global Mkt H Inc. – R&F Ppt European Wts Jun 2011    | 100,000,000 | 2010/07/07 | 2011/06/27 | 25.00          |
| 23403    | Citigroup Global Mkt H Inc. – Yanzhou Coal European Wt Jun11   | 100,000,000 | 2010/07/07 | 2011/06/27 | 25.00          |
| 23395    | J P Morgan SP BV – Yanzhou Coal European Warrants Jan 2011     | 150,000,000 | 2010/07/07 | 2011/01/07 | 37.50          |
| 23408    | KBC Fin Products Int'l Ltd. – BYD European Put Warrants May11  | 80,000,000  | 2010/07/07 | 2011/05/12 | 12.00          |
| 23409    | KBC Fin Products Int'l Ltd. – CMB European Put Warrants Jan11  | 80,000,000  | 2010/07/07 | 2011/01/31 | 20.00          |
| 23410    | KBC Fin Products Int'l Ltd. – CPIC European Warrants Jan 11    | 108,000,000 | 2010/07/07 | 2011/01/18 | 28.08          |
| 23411    | KBC Fin Products Int'l Ltd. – CPIC European Warrants Feb 11    | 108,000,000 | 2010/07/07 | 2011/02/18 | 27.00          |
| 23412    | KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Feb11   | 80,000,000  | 2010/07/07 | 2011/02/15 | 20.00          |
| 23413    | KBC Fin Products Int'l Ltd. – Zijin Mining Eu Put Wt Oct11     | 80,000,000  | 2010/07/07 | 2011/10/18 | 20.00          |
| 23404    | Macquarie Bank Ltd. – China Coal European Warrants Jul 2011    | 200,000,000 | 2010/07/07 | 2011/07/05 | 50.00          |
| 23405    | Macquarie Bank Ltd. – Fushan Energy European Warrants Jan 11   | 88,000,000  | 2010/07/07 | 2011/01/10 | 33.79          |
| 23396    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2010 B   | 150,000,000 | 2010/07/07 | 2010/10/11 | 22.50          |
| 23397    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 A   | 150,000,000 | 2010/07/07 | 2011/06/07 | 26.10          |
| 23398    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jan 2012     | 150,000,000 | 2010/07/07 | 2012/01/30 | 39.00          |
| 23399    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2012 A   | 150,000,000 | 2010/07/07 | 2012/04/30 | 24.30          |
| 23390    | Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10F  | 200,000,000 | 2010/07/07 | 2010/11/29 | 30.60          |
| 23391    | Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10G  | 200,000,000 | 2010/07/07 | 2010/11/29 | 30.00          |
| 23392    | Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10H  | 200,000,000 | 2010/07/07 | 2010/11/29 | 37.20          |
| 23393    | Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec 10D  | 200,000,000 | 2010/07/07 | 2010/12/30 | 31.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 23394    | Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec 10E | 200,000,000 | 2010/07/07 | 2010/12/30 | 51.00          |
| 10980    | RB of Scotland N.V. – DJIA European Warrants Mar 2011 A       | 100,000,000 | 2010/07/07 | 2011/03/18 | 25.20          |
| 10984    | RB of Scotland N.V. – DJIA European Put Warrants Mar 2011 B   | 100,000,000 | 2010/07/07 | 2011/03/18 | 25.20          |
| 23426    | Citigroup Global Mkt H Inc. – China Coal European Wts Jun 11  | 100,000,000 | 2010/07/08 | 2011/06/09 | 25.00          |
| 23427    | Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2011     | 180,000,000 | 2010/07/08 | 2011/02/08 | 27.00          |
| 23415    | J P Morgan SP BV – A50 China European Warrants Mar 2011       | 300,000,000 | 2010/07/08 | 2011/03/15 | 75.00          |
| 23429    | KBC Fin Products Int'l Ltd. – BEA European Warrants Mar 2011  | 58,000,000  | 2010/07/08 | 2011/03/01 | 19.72          |
| 23430    | KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2011    | 58,000,000  | 2010/07/08 | 2011/03/01 | 14.50          |
| 23414    | Macquarie Bank Ltd. – BOCL European Put Warrants Jan 2011     | 100,000,000 | 2010/07/08 | 2011/01/10 | 25.30          |
| 23422    | Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jul 2011  | 150,000,000 | 2010/07/08 | 2011/07/11 | 84.00          |
| 23421    | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jan 2011  | 150,000,000 | 2010/07/08 | 2011/01/31 | 56.25          |
| 23416    | Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Dec10A  | 150,000,000 | 2010/07/08 | 2010/12/20 | 23.25          |
| 23417    | Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Dec10B  | 150,000,000 | 2010/07/08 | 2010/12/28 | 29.10          |
| 23418    | Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Jan 11  | 150,000,000 | 2010/07/08 | 2011/01/11 | 22.80          |
| 23419    | Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Mar 11  | 150,000,000 | 2010/07/08 | 2011/03/31 | 39.00          |
| 23420    | Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Apr 11  | 150,000,000 | 2010/07/08 | 2011/04/26 | 38.25          |
| 23423    | Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Feb11   | 150,000,000 | 2010/07/08 | 2011/02/07 | 24.30          |
| 23424    | Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Mar11   | 150,000,000 | 2010/07/08 | 2011/03/28 | 39.00          |
| 23425    | Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Jul11   | 150,000,000 | 2010/07/08 | 2011/07/04 | 23.70          |
| 23431    | RB of Scotland N.V. – Tencent European Put Warrants Jan 2011  | 250,000,000 | 2010/07/08 | 2011/01/25 | 37.50          |
| 23428    | UBS AG – Yanzhou Coal European Wts Dec 2010                   | 100,000,000 | 2010/07/08 | 2010/12/22 | 15.00          |
| 18033#   | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2010       | 700,000,000 | 2010/07/08 | 2010/09/17 | 10.50          |
| 20322#   | Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2010C  | 700,000,000 | 2010/07/08 | 2010/12/14 | 14.70          |
| 23432    | Barclays Bank plc – China Life European Warrants Mar 2011 A   | 100,000,000 | 2010/07/09 | 2011/03/07 | 25.00          |
| 23433    | Barclays Bank plc – R&F Ppt European Warrants Jan 2011        | 100,000,000 | 2010/07/09 | 2011/01/31 | 16.00          |
| 23439    | Barclays Bank plc – HKEx European Put Warrants May 2011       | 150,000,000 | 2010/07/09 | 2011/05/16 | 22.50          |
| 23434    | Barclays Bank plc – HSBC European Warrants Dec 2010           | 150,000,000 | 2010/07/09 | 2010/12/20 | 40.50          |
| 23440    | Barclays Bank plc – HSBC European Put Warrants Dec 2011       | 150,000,000 | 2010/07/09 | 2011/12/12 | 24.00          |
| 23435    | Barclays Bank plc – Jiangxi Copper European Warrants Mar 11A  | 100,000,000 | 2010/07/09 | 2011/03/07 | 25.00          |
| 23436    | Barclays Bank plc – PetroChina European Warrants Nov 2011     | 150,000,000 | 2010/07/09 | 2011/11/14 | 22.50          |
| 23437    | Barclays Bank plc – Tencent Holdings European Warrants Jan 11 | 150,000,000 | 2010/07/09 | 2011/01/20 | 25.50          |
| 23441    | Barclays Bank plc – Tencent Holdings European Put Wts Feb 11  | 150,000,000 | 2010/07/09 | 2011/02/01 | 22.50          |
| 23438    | Barclays Bank plc – Yanzhou Coal European Warrants Mar 2011   | 100,000,000 | 2010/07/09 | 2011/03/21 | 25.00          |
| 23442    | BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2010 E     | 100,000,000 | 2010/07/09 | 2010/12/30 | 18.00          |
| 23443    | BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2010 D     | 100,000,000 | 2010/07/09 | 2010/11/29 | 18.00          |
| 23444    | HK Bank – Angang Steel European Warrants Jun 2011 A           | 100,000,000 | 2010/07/09 | 2011/06/29 | 25.00          |
| 23445    | HK Bank – China Life European Warrants Jan 2011               | 100,000,000 | 2010/07/09 | 2011/01/10 | 25.00          |
| 23446    | HK Bank – Jiangxi Copper European Warrants Jan 2011           | 100,000,000 | 2010/07/09 | 2011/01/10 | 25.00          |
| 23447    | HK Bank – Yanzhou Coal European Warrants Feb 2011             | 100,000,000 | 2010/07/09 | 2011/02/18 | 25.00          |
| 23448    | CC Rabobank B.A. – Jiangxi Copper European Warrants Jan 2011  | 120,000,000 | 2010/07/09 | 2011/01/10 | 30.00          |
| 23449    | CC Rabobank B.A. – Lenovo European Warrants Dec 2010          | 150,000,000 | 2010/07/09 | 2010/12/13 | 57.00          |
| 21350#   | Deutsche Bank AG – HSBC European Warrants Jun 2011            | 300,000,000 | 2010/07/09 | 2011/06/27 | 18.00          |
| 21891#   | UBS AG – HSI European Warrants Sep 2010 D                     | 200,000,000 | 2010/07/09 | 2010/09/29 | 11.80          |
| 10981    | Deutsche Bank AG – GBP/USD European Warrants Jan 2011         | 50,000,000  | 2010/07/12 | 2011/01/24 | 16.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 10985    | Deutsche Bank AG – GBP/USD European Put Warrants Jan 2011     | 50,000,000  | 2010/07/12 | 2011/01/24 | 15.50          |
| 23462    | Macquarie Bank Ltd. – China COSCO European Warrants Sep 2011  | 200,000,000 | 2010/07/12 | 2011/09/29 | 30.00          |
| 23451    | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2011       | 200,000,000 | 2010/07/12 | 2011/03/28 | 61.00          |
| 23452    | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Oct 2011    | 150,000,000 | 2010/07/12 | 2011/10/17 | 28.80          |
| 23453    | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Dec 2011    | 150,000,000 | 2010/07/12 | 2011/12/12 | 25.65          |
| 23450    | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2011 B     | 200,000,000 | 2010/07/12 | 2011/01/28 | 70.00          |
| 23454    | Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Aug 2011    | 150,000,000 | 2010/07/12 | 2011/08/01 | 22.65          |
| 23455    | Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2011    | 150,000,000 | 2010/07/12 | 2011/12/12 | 37.50          |
| 23456    | Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Sep 2012    | 150,000,000 | 2010/07/12 | 2012/09/11 | 37.50          |
| 23457    | CC Rabobank B.A. – China Tel European Warrants Nov 2010       | 100,000,000 | 2010/07/12 | 2010/11/15 | 22.00          |
| 23461    | CC Rabobank B.A. – ChiUnicom European Warrants Oct 2011       | 150,000,000 | 2010/07/12 | 2011/10/28 | 37.50          |
| 23459    | CC Rabobank B.A. – China Shenhua European Warrants Jan 2011   | 100,000,000 | 2010/07/12 | 2011/01/28 | 25.00          |
| 23460    | CC Rabobank B.A. – R&F Ppt European Warrants May 2011         | 120,000,000 | 2010/07/12 | 2011/05/19 | 18.00          |
| 23458    | CC Rabobank B.A. – Sh Petrochem European Warrants Jan 2011    | 100,000,000 | 2010/07/12 | 2011/01/21 | 35.00          |
| 23465    | RB of Scotland N.V. – A50 China European Warrants Feb 2011    | 250,000,000 | 2010/07/12 | 2011/02/14 | 37.50          |
| 23463    | RB of Scotland N.V. – HSI European Warrants Nov 2010 E        | 250,000,000 | 2010/07/12 | 2010/11/29 | 38.00          |
| 23464    | RB of Scotland N.V. – HSI European Put Warrants Oct 2010 B    | 250,000,000 | 2010/07/12 | 2010/10/28 | 44.50          |
| 22296#   | UBS AG – HSI European Warrants Sep 2010 G                     | 300,000,000 | 2010/07/12 | 2010/09/29 | 17.10          |
| 23492    | BOCI Asia Ltd. – CITBK European Warrants Jan 2011             | 100,000,000 | 2010/07/13 | 2011/01/28 | 35.00          |
| 23493    | BOCI Asia Ltd. – R&F Ppt European Warrants May 2011           | 100,000,000 | 2010/07/13 | 2011/05/19 | 15.00          |
| 23494    | BOCI Asia Ltd. – Tencent Holdings European Put Warrants Jul11 | 100,000,000 | 2010/07/13 | 2011/07/04 | 15.00          |
| 23502    | BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2011      | 100,000,000 | 2010/07/13 | 2011/03/07 | 26.00          |
| 23503    | BNP Paribas Arbit Issu B.V. – China Life Euro Wts Feb 2011 B  | 100,000,000 | 2010/07/13 | 2011/02/14 | 27.00          |
| 23466    | Citigroup Global Mkt H Inc. – Angang Steel European Wt Jul11  | 120,000,000 | 2010/07/13 | 2011/07/04 | 30.00          |
| 23467    | Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jul 2011  | 120,000,000 | 2010/07/13 | 2011/07/25 | 30.00          |
| 23484    | HK Bank – Sinopec Corp European Warrants Jan 2011             | 120,000,000 | 2010/07/13 | 2011/01/13 | 30.00          |
| 23486    | HK Bank – Esprit European Warrants Jan 2011                   | 120,000,000 | 2010/07/13 | 2011/01/13 | 30.00          |
| 23487    | HK Bank – ICBC European Warrants Dec 2010                     | 120,000,000 | 2010/07/13 | 2010/12/16 | 20.40          |
| 23485    | HK Bank – PetroChina European Warrants Nov 2010 B             | 120,000,000 | 2010/07/13 | 2010/11/24 | 50.40          |
| 23488    | J P Morgan SP BV – CM Bank European Warrants Jan 2011         | 150,000,000 | 2010/07/13 | 2011/01/13 | 37.50          |
| 23489    | J P Morgan SP BV – ICBC European Warrants Jan 2011 A          | 100,000,000 | 2010/07/13 | 2011/01/13 | 28.00          |
| 23490    | J P Morgan SP BV – ICBC European Warrants Jan 2011 B          | 100,000,000 | 2010/07/13 | 2011/01/13 | 25.00          |
| 23491    | J P Morgan SP BV – Lenovo European Warrants Jan 2011          | 100,000,000 | 2010/07/13 | 2011/01/13 | 33.00          |
| 23471    | Macquarie Bank Ltd. – China Tel European Warrants Feb 2011    | 200,000,000 | 2010/07/13 | 2011/02/02 | 50.60          |
| 23472    | Macquarie Bank Ltd. – Dongfeng Motor European Wts Jun 2011    | 150,000,000 | 2010/07/13 | 2011/06/29 | 37.50          |
| 23470    | Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 A  | 300,000,000 | 2010/07/13 | 2011/06/03 | 45.00          |
| 23469    | Macquarie Bank Ltd. – HSBC European Warrants Feb 2011 A       | 200,000,000 | 2010/07/13 | 2011/02/02 | 78.80          |
| 23473    | Macquarie Bank Ltd. – HSBC European Put Warrants Feb 2011     | 200,000,000 | 2010/07/13 | 2011/02/02 | 70.60          |
| 23474    | Macquarie Bank Ltd. – HSBC European Put Warrants Dec 2011     | 200,000,000 | 2010/07/13 | 2011/12/12 | 32.40          |
| 23468    | Macquarie Bank Ltd. – HSCEI European Warrants Jan 2011        | 200,000,000 | 2010/07/13 | 2011/01/28 | 50.00          |
| 23475    | Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Feb2011D  | 150,000,000 | 2010/07/13 | 2011/02/18 | 69.75          |
| 23477    | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2011       | 150,000,000 | 2010/07/13 | 2011/07/19 | 39.00          |
| 23478    | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2011       | 150,000,000 | 2010/07/13 | 2011/10/31 | 37.50          |
| 23479    | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011       | 150,000,000 | 2010/07/13 | 2011/12/12 | 37.50          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 23480    | Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 A  | 150,000,000 | 2010/07/13 | 2011/05/09 | 25.50          |
| 23481    | Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2011    | 150,000,000 | 2010/07/13 | 2011/10/24 | 37.50          |
| 23476    | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 B     | 200,000,000 | 2010/07/13 | 2011/06/28 | 39.40          |
| 23482    | Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2011    | 150,000,000 | 2010/07/13 | 2011/05/09 | 22.80          |
| 23483    | Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2012    | 150,000,000 | 2010/07/13 | 2012/05/04 | 38.25          |
| 23498    | CC Rabobank B.A. – China Coal European Warrants Feb 2011      | 100,000,000 | 2010/07/13 | 2011/02/28 | 15.00          |
| 23497    | CC Rabobank B.A. – WISE CSI European Warrants Jan 2011        | 100,000,000 | 2010/07/13 | 2011/01/28 | 25.00          |
| 23499    | CC Rabobank B.A. – Geely Auto European Warrants Jan 2011      | 100,000,000 | 2010/07/13 | 2011/01/17 | 36.00          |
| 23501    | CC Rabobank B.A. – Skyworth Digital European Warrants Jan 12  | 300,000,000 | 2010/07/13 | 2012/01/30 | 75.00          |
| 23500    | CC Rabobank B.A. – Yanzhou Coal European Warrants Dec 2010    | 150,000,000 | 2010/07/13 | 2010/12/23 | 22.50          |
| 23495    | UBS AG – China Tel European Warrants Jan 2011                 | 100,000,000 | 2010/07/13 | 2011/01/24 | 25.00          |
| 23496    | UBS AG – China Unicom European Warrants Aug 2011              | 100,000,000 | 2010/07/13 | 2011/08/16 | 17.50          |
| 23524    | BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Mar 2011    | 80,000,000  | 2010/07/14 | 2011/03/07 | 22.40          |
| 23518    | BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jul 2011     | 100,000,000 | 2010/07/14 | 2011/07/04 | 25.00          |
| 23525    | BNP Paribas Arbit Issu B.V. – Yanzhou Coal Euro Wts Apr 2011  | 100,000,000 | 2010/07/14 | 2011/04/04 | 25.00          |
| 23526    | BNP Paribas Arbit Issu B.V. – Zijin Mining Euro Wts Jun 2012  | 100,000,000 | 2010/07/14 | 2012/06/04 | 15.00          |
| 23531    | Credit Suisse AG – China COSCO European Warrants Oct 2011     | 300,000,000 | 2010/07/14 | 2011/10/24 | 45.00          |
| 23527    | Credit Suisse AG – HSI European Warrants Nov 2010 C           | 315,000,000 | 2010/07/14 | 2010/11/29 | 47.25          |
| 23528    | Credit Suisse AG – HSI European Warrants Nov 2010 D           | 315,000,000 | 2010/07/14 | 2010/11/29 | 47.25          |
| 23529    | Credit Suisse AG – HSI European Warrants Nov 2010 E           | 320,000,000 | 2010/07/14 | 2010/11/29 | 48.00          |
| 23530    | Credit Suisse AG – Sinopharm European Warrants Dec 2010       | 300,000,000 | 2010/07/14 | 2010/12/20 | 45.00          |
| 23519    | Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2011     | 180,000,000 | 2010/07/14 | 2011/04/28 | 27.00          |
| 23522    | Macquarie Bank Ltd. – BYD European Warrants Jun 2011 B        | 200,000,000 | 2010/07/14 | 2011/06/03 | 30.00          |
| 23520    | Macquarie Bank Ltd. – HSI European Warrants Dec 2010 A        | 200,000,000 | 2010/07/14 | 2010/12/30 | 48.00          |
| 23521    | Macquarie Bank Ltd. – HSI European Warrants Dec 2010 B        | 200,000,000 | 2010/07/14 | 2010/12/30 | 30.00          |
| 23523    | Macquarie Bank Ltd. – HSI European Put Warrants Nov 2010 B    | 200,000,000 | 2010/07/14 | 2010/11/29 | 43.40          |
| 23504    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2010    | 200,000,000 | 2010/07/14 | 2010/12/30 | 57.00          |
| 23505    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 A  | 200,000,000 | 2010/07/14 | 2011/01/31 | 90.00          |
| 23506    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011    | 200,000,000 | 2010/07/14 | 2011/12/05 | 30.60          |
| 23510    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 10   | 150,000,000 | 2010/07/14 | 2010/12/13 | 38.25          |
| 23511    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11A  | 150,000,000 | 2010/07/14 | 2011/12/21 | 24.60          |
| 23507    | Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11A   | 150,000,000 | 2010/07/14 | 2011/06/27 | 30.30          |
| 23508    | Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11B   | 150,000,000 | 2010/07/14 | 2011/06/28 | 24.45          |
| 23512    | Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jun 2011  | 150,000,000 | 2010/07/14 | 2011/06/13 | 38.25          |
| 23513    | Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Oct 2011  | 150,000,000 | 2010/07/14 | 2011/10/10 | 38.25          |
| 23514    | Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec 10G | 200,000,000 | 2010/07/14 | 2010/12/30 | 30.00          |
| 23509    | Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Jun 11  | 150,000,000 | 2010/07/14 | 2011/06/13 | 22.80          |
| 23515    | SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Nov 2010 D  | 80,000,000  | 2010/07/14 | 2010/11/08 | 29.60          |
| 23516    | SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2010 A  | 80,000,000  | 2010/07/14 | 2010/12/20 | 17.60          |
| 23517    | SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2010 B  | 80,000,000  | 2010/07/14 | 2010/12/29 | 29.60          |
| 23534    | Deutsche Bank AG – A50 China European Warrants Jan 2011       | 300,000,000 | 2010/07/15 | 2011/01/31 | 51.00          |
| 23535    | Deutsche Bank AG – PetroChina European Put Wts Jun 2011       | 150,000,000 | 2010/07/15 | 2011/06/20 | 22.50          |
| 23532    | Goldman Sachs SP (Asia) – HSI European Warrants Dec 2010 B    | 200,000,000 | 2010/07/15 | 2010/12/30 | 30.80          |
| 23533    | Goldman Sachs SP (Asia) – HSI European Warrants Dec 2010 C    | 200,000,000 | 2010/07/15 | 2010/12/30 | 30.20          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23537    | Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2010 D    | 200,000,000 | 2010/07/15 | 2010/12/30 | 32.60          |
| 23536    | KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2011     | 98,000,000  | 2010/07/15 | 2011/01/18 | 48.02          |
| 23549    | Barclays Bank plc – Agricultural Bank European Wts Feb 2011  | 100,000,000 | 2010/07/16 | 2011/02/28 | 26.00          |
| 23550    | Barclays Bank plc – Agricultural Bank European Wts Jul 2011  | 100,000,000 | 2010/07/16 | 2011/07/11 | 31.00          |
| 23552    | Barclays Bank plc – China Overseas European Wts Feb 2011     | 100,000,000 | 2010/07/16 | 2011/02/21 | 17.00          |
| 23553    | Barclays Bank plc – China Telecom European Warrants Jan 2011 | 100,000,000 | 2010/07/16 | 2011/01/31 | 33.00          |
| 23551    | Barclays Bank plc – China Coal European Warrants Jul 2011    | 100,000,000 | 2010/07/16 | 2011/07/11 | 16.00          |
| 23539    | BOCI Asia Ltd. – China Mobile European Warrants Jan 2011     | 100,000,000 | 2010/07/16 | 2011/01/28 | 25.00          |
| 23540    | BOCI Asia Ltd. – China Railway European Warrants Feb 2011    | 100,000,000 | 2010/07/16 | 2011/02/25 | 33.50          |
| 23541    | BOCI Asia Ltd. – HSBC European Warrants Jan 2011             | 100,000,000 | 2010/07/16 | 2011/01/06 | 16.50          |
| 23572    | Credit Suisse AG – Agricultural Bank European Wts Feb 2011 A | 300,000,000 | 2010/07/16 | 2011/02/14 | 75.00          |
| 23576    | Credit Suisse AG – Agricultural Bank European Wts Jul 2015   | 300,000,000 | 2010/07/16 | 2015/07/15 | 75.00          |
| 23570    | Credit Suisse AG – Agricultural Bank European Wts Jan 2011 A | 300,000,000 | 2010/07/16 | 2011/01/17 | 75.00          |
| 23571    | Credit Suisse AG – Agricultural Bank European Wts Jan 2011 B | 300,000,000 | 2010/07/16 | 2011/01/24 | 75.00          |
| 23560    | Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jan 11 A | 100,000,000 | 2010/07/16 | 2011/01/18 | 25.00          |
| 23561    | Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jan 11 B | 100,000,000 | 2010/07/16 | 2011/01/18 | 25.00          |
| 23548    | Citigroup Global Mkt H Inc. – BYD European Warrants Dec 2011 | 180,000,000 | 2010/07/16 | 2011/12/05 | 45.00          |
| 23542    | Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Mar 2011   | 100,000,000 | 2010/07/16 | 2011/03/29 | 25.00          |
| 23544    | Citigroup Global Mkt H Inc. – Fushan Energy Euro Wt Jan 2011 | 80,000,000  | 2010/07/16 | 2011/01/18 | 20.00          |
| 23545    | Citigroup Global Mkt H Inc. – Tracker Fund Euro Wts Mar 2011 | 80,000,000  | 2010/07/16 | 2011/03/28 | 20.00          |
| 23543    | Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Jan 2011   | 80,000,000  | 2010/07/16 | 2011/01/18 | 20.00          |
| 23559    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11A  | 188,000,000 | 2010/07/16 | 2011/03/01 | 47.00          |
| 23554    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 A | 128,000,000 | 2010/07/16 | 2011/01/17 | 78.08          |
| 23555    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 B | 188,000,000 | 2010/07/16 | 2011/01/17 | 88.36          |
| 23556    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 C | 208,000,000 | 2010/07/16 | 2011/01/17 | 81.12          |
| 23557    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 D | 208,000,000 | 2010/07/16 | 2011/01/17 | 52.00          |
| 23558    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 E | 208,000,000 | 2010/07/16 | 2011/01/17 | 52.00          |
| 23562    | KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 11F | 158,000,000 | 2010/07/16 | 2011/01/17 | 61.62          |
| 23563    | KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 11G | 158,000,000 | 2010/07/16 | 2011/01/17 | 96.38          |
| 23564    | Macquarie Bank Ltd. – Tencent European Warrants Mar 2011     | 300,000,000 | 2010/07/16 | 2011/03/02 | 75.30          |
| 23546    | CC Rabobank B.A. – Evergrande European Warrants Jan 2011     | 100,000,000 | 2010/07/16 | 2011/01/28 | 25.00          |
| 23547    | CC Rabobank B.A. – Nine Dragons European Warrants Jun 2011   | 150,000,000 | 2010/07/16 | 2011/06/29 | 37.50          |
| 23565    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 A | 200,000,000 | 2010/07/16 | 2011/01/17 | 116.00         |
| 23566    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 B | 200,000,000 | 2010/07/16 | 2011/01/17 | 96.00          |
| 23567    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 C | 200,000,000 | 2010/07/16 | 2011/01/17 | 78.00          |
| 23568    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 D | 200,000,000 | 2010/07/16 | 2011/01/17 | 62.00          |
| 23569    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 E | 200,000,000 | 2010/07/16 | 2011/01/17 | 50.00          |
| 23538    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2010 D | 100,000,000 | 2010/07/16 | 2010/11/22 | 19.00          |
| 23575    | UBS AG – Agricultural Bank European Warrants Jul 2015 A      | 200,000,000 | 2010/07/16 | 2015/07/15 | 50.00          |
| 23573    | UBS AG – Agricultural Bank European Warrants Jan 2011 A      | 80,000,000  | 2010/07/16 | 2011/01/24 | 30.40          |
| 23574    | UBS AG – Agricultural Bank European Warrants Jan 2011 B      | 80,000,000  | 2010/07/16 | 2011/01/17 | 20.00          |
| 22875#   | Deutsche Bank AG – China Life European Warrants Oct 2010 B   | 500,000,000 | 2010/07/16 | 2010/10/11 | 61.50          |
| 23586    | Credit Suisse AG – CPIC European Warrants Nov 2010 B         | 300,000,000 | 2010/07/19 | 2010/11/15 | 45.00          |
| 23579    | Credit Suisse AG – A50 China European Warrants Apr 2011      | 400,000,000 | 2010/07/19 | 2011/04/26 | 60.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23585    | Credit Suisse AG – HKEx European Warrants Jul 2011           | 300,000,000 | 2010/07/19 | 2011/07/18 | 45.00          |
| 23589    | Credit Suisse AG – HKEx European Put Warrants Jul 2011       | 300,000,000 | 2010/07/19 | 2011/07/18 | 69.00          |
| 23587    | Deutsche Bank AG – CNOOC European Warrants Apr 2011 A        | 200,000,000 | 2010/07/19 | 2011/04/04 | 50.00          |
| 23588    | Deutsche Bank AG – ICBC European Warrants Dec 2010 B         | 150,000,000 | 2010/07/19 | 2010/12/28 | 67.50          |
| 23590    | Deutsche Bank AG – Tencent Holdings European Put Wt Apr 2011 | 200,000,000 | 2010/07/19 | 2011/04/04 | 50.00          |
| 23580    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 F | 200,000,000 | 2010/07/19 | 2011/01/19 | 50.00          |
| 23581    | UBS AG – Agricultural Bank European Warrants Jan 2011 C      | 100,000,000 | 2010/07/19 | 2011/01/19 | 27.00          |
| 23582    | UBS AG – Agricultural Bank European Warrants Jan 2011 D      | 100,000,000 | 2010/07/19 | 2011/01/31 | 25.00          |
| 23583    | UBS AG – Agricultural Bank European Warrants Jul 2015 B      | 300,000,000 | 2010/07/19 | 2015/07/15 | 75.00          |
| 23577    | UBS AG – CC Bank European Warrants Nov 2010 B                | 100,000,000 | 2010/07/19 | 2010/11/29 | 19.50          |
| 23584    | UBS AG – CPIC European Warrants Nov 2010                     | 100,000,000 | 2010/07/19 | 2010/11/15 | 15.00          |
| 23578    | UBS AG – ICBC European Warrants Nov 2010 B                   | 100,000,000 | 2010/07/19 | 2010/11/22 | 18.50          |
| 23609    | Credit Suisse AG – HSI European Put Warrants Nov 2010 F      | 320,000,000 | 2010/07/20 | 2010/11/29 | 48.00          |
| 23606    | Deutsche Bank AG – CC Bank European Warrants Jan 2011        | 200,000,000 | 2010/07/20 | 2011/01/03 | 52.00          |
| 23605    | Deutsche Bank AG – CITIC Bank European Warrants Dec 2010     | 150,000,000 | 2010/07/20 | 2010/12/28 | 64.50          |
| 23607    | Deutsche Bank AG – CM Bank European Warrants Dec 2010        | 200,000,000 | 2010/07/20 | 2010/12/28 | 30.00          |
| 23608    | Deutsche Bank AG – HSI European Put Warrants Oct 2010 D      | 300,000,000 | 2010/07/20 | 2010/10/28 | 45.00          |
| 23591    | HK Bank – China Overseas European Warrants Mar 2011          | 80,000,000  | 2010/07/20 | 2011/03/22 | 20.00          |
| 23592    | HK Bank – R&F Ppt European Warrants Jun 2011                 | 80,000,000  | 2010/07/20 | 2011/06/22 | 20.00          |
| 23593    | HK Bank – Shimao Ppt European Warrants Mar 2011              | 80,000,000  | 2010/07/20 | 2011/03/22 | 20.00          |
| 23594    | Macquarie Bank Ltd. – BOCL European Warrants Nov 2010        | 128,000,000 | 2010/07/20 | 2010/11/29 | 23.42          |
| 23595    | CC Rabobank B.A. – Ch Mobile European Warrants Jan 2011 A    | 100,000,000 | 2010/07/20 | 2011/01/28 | 40.00          |
| 23600    | CC Rabobank B.A. – A50 China European Warrants Feb 2011      | 180,000,000 | 2010/07/20 | 2011/02/07 | 32.40          |
| 23601    | CC Rabobank B.A. – A50 China European Warrants Nov 2011 A    | 180,000,000 | 2010/07/20 | 2011/11/21 | 28.80          |
| 23602    | CC Rabobank B.A. – A50 China European Put Warrants Jan 2011B | 100,000,000 | 2010/07/20 | 2011/01/28 | 65.00          |
| 23603    | CC Rabobank B.A. – A50 China European Put Warrants Jan 2012  | 150,000,000 | 2010/07/20 | 2012/01/30 | 37.50          |
| 23596    | CC Rabobank B.A. – HSBC European Warrants Jan 2011 A         | 100,000,000 | 2010/07/20 | 2011/01/28 | 63.00          |
| 23597    | CC Rabobank B.A. – HSBC European Warrants Feb 2011           | 100,000,000 | 2010/07/20 | 2011/02/25 | 52.00          |
| 23598    | CC Rabobank B.A. – ICBC European Warrants Dec 2010 D         | 150,000,000 | 2010/07/20 | 2010/12/16 | 54.00          |
| 23599    | CC Rabobank B.A. – Poly HK Inv European Warrants Apr 2011 B  | 180,000,000 | 2010/07/20 | 2011/04/28 | 45.00          |
| 23604    | UBS AG – HKEx European Put Warrants Jun 2011                 | 200,000,000 | 2010/07/20 | 2011/06/20 | 50.00          |
| 23656    | BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2011      | 100,000,000 | 2010/07/21 | 2011/01/28 | 25.00          |
| 23660    | BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jan 2011      | 100,000,000 | 2010/07/21 | 2011/01/28 | 25.00          |
| 23657    | BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2011 A    | 100,000,000 | 2010/07/21 | 2011/02/25 | 26.00          |
| 23650    | Deutsche Bank AG – BOCL European Warrants Mar 2011           | 150,000,000 | 2010/07/21 | 2011/03/21 | 67.50          |
| 23651    | Deutsche Bank AG – BOCL European Warrants Apr 2011           | 150,000,000 | 2010/07/21 | 2011/04/04 | 42.00          |
| 23652    | Deutsche Bank AG – Sinopec Corp European Warrants Nov 2010   | 150,000,000 | 2010/07/21 | 2010/11/22 | 70.50          |
| 23653    | Deutsche Bank AG – Sinopec Corp European Warrants Dec 2010 B | 150,000,000 | 2010/07/21 | 2010/12/20 | 49.50          |
| 23654    | Deutsche Bank AG – A50 China European Warrants Apr 2011      | 200,000,000 | 2010/07/21 | 2011/04/26 | 34.00          |
| 23655    | Deutsche Bank AG – A50 China European Warrants Jun 2011 B    | 200,000,000 | 2010/07/21 | 2011/06/27 | 32.00          |
| 23647    | Macquarie Bank Ltd. – A50 China European Warrants Apr 2011   | 250,000,000 | 2010/07/21 | 2011/04/26 | 37.50          |
| 23648    | Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 B | 250,000,000 | 2010/07/21 | 2011/06/29 | 37.50          |
| 23610    | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 A | 150,000,000 | 2010/07/21 | 2011/02/14 | 44.25          |
| 23611    | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 B | 150,000,000 | 2010/07/21 | 2011/02/28 | 30.60          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23617    | Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Feb 2011 | 150,000,000 | 2010/07/21 | 2011/02/15 | 39.00          |
| 23612    | Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Mar 2011 | 150,000,000 | 2010/07/21 | 2011/03/14 | 60.75          |
| 23613    | Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Sep 2011 | 150,000,000 | 2010/07/21 | 2011/09/12 | 53.25          |
| 23616    | Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2012  | 150,000,000 | 2010/07/21 | 2012/12/10 | 23.55          |
| 23618    | Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Jan11 | 150,000,000 | 2010/07/21 | 2011/01/24 | 59.25          |
| 23619    | Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Oct11 | 150,000,000 | 2010/07/21 | 2011/10/31 | 22.65          |
| 23614    | Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jan 2011A | 150,000,000 | 2010/07/21 | 2011/01/31 | 58.50          |
| 23615    | Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jan 2011B | 150,000,000 | 2010/07/21 | 2011/01/10 | 32.10          |
| 23626    | SGA Societe Generale Acceptance N.V. -Ch Mob Eu Wt Mar 2011A | 80,000,000  | 2010/07/21 | 2011/03/21 | 20.00          |
| 23627    | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2011 | 80,000,000  | 2010/07/21 | 2011/04/18 | 36.00          |
| 23630    | SGA Societe Generale Acceptance N.V. – CTel Eu Wt Nov 2010 A | 60,000,000  | 2010/07/21 | 2010/11/22 | 20.40          |
| 23620    | SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 C | 120,000,000 | 2010/07/21 | 2011/01/05 | 27.60          |
| 23621    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2011A | 200,000,000 | 2010/07/21 | 2011/01/26 | 58.00          |
| 23622    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2011B | 200,000,000 | 2010/07/21 | 2011/01/26 | 50.00          |
| 23623    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011A | 200,000,000 | 2010/07/21 | 2011/02/23 | 80.00          |
| 23624    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011B | 200,000,000 | 2010/07/21 | 2011/02/23 | 50.00          |
| 23625    | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 10B  | 80,000,000  | 2010/07/21 | 2010/11/29 | 15.20          |
| 23628    | SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 10B  | 100,000,000 | 2010/07/21 | 2010/11/08 | 15.00          |
| 23629    | SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Mar 2011A | 100,000,000 | 2010/07/21 | 2011/03/21 | 55.00          |
| 23631    | SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 A | 60,000,000  | 2010/07/21 | 2011/01/17 | 21.60          |
| 23632    | SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 B | 60,000,000  | 2010/07/21 | 2011/01/24 | 30.60          |
| 23637    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar2011B | 250,000,000 | 2010/07/21 | 2011/03/09 | 47.50          |
| 23638    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11A  | 250,000,000 | 2010/07/21 | 2011/06/08 | 50.00          |
| 23639    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Aug 2011 | 250,000,000 | 2010/07/21 | 2011/08/29 | 37.50          |
| 23640    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 2011 | 250,000,000 | 2010/07/21 | 2011/11/21 | 52.50          |
| 23641    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar 2012 | 250,000,000 | 2010/07/21 | 2012/03/05 | 62.50          |
| 23642    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr2012A | 250,000,000 | 2010/07/21 | 2012/04/25 | 45.00          |
| 23634    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 A | 80,000,000  | 2010/07/21 | 2011/01/24 | 43.20          |
| 23633    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2010 D | 80,000,000  | 2010/07/21 | 2010/12/29 | 28.80          |
| 23635    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 B | 80,000,000  | 2010/07/21 | 2011/02/07 | 24.80          |
| 23636    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 B | 500,000,000 | 2010/07/21 | 2011/09/26 | 75.00          |
| 23643    | SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2010 C | 120,000,000 | 2010/07/21 | 2010/11/15 | 25.20          |
| 23644    | SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Mar 11  | 150,000,000 | 2010/07/21 | 2011/03/28 | 31.50          |
| 23645    | SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11A | 150,000,000 | 2010/07/21 | 2011/04/04 | 37.50          |
| 23646    | SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11B | 150,000,000 | 2010/07/21 | 2011/04/20 | 28.50          |
| 23649    | UBS AG – Agricultural Bank European Put Warrants Jan 2011 E  | 80,000,000  | 2010/07/21 | 2011/01/24 | 20.00          |
| 21870#   | KBC Fin Products Int'l Ltd. – Zijin Mining European Wt Jan11 | 280,000,000 | 2010/07/21 | 2011/01/03 | 10.64          |
| 23661    | CC Rabobank B.A. – Ch Mobile European Put Wts Nov 2010       | 100,000,000 | 2010/07/22 | 2010/11/29 | 20.00          |
| 23658    | CC Rabobank B.A. – China Life European Warrants Dec 2010 B   | 200,000,000 | 2010/07/22 | 2010/12/21 | 30.00          |
| 23659    | CC Rabobank B.A. – China Life European Warrants Jan 2011 A   | 200,000,000 | 2010/07/22 | 2011/01/13 | 30.00          |
| 23662    | CC Rabobank B.A. – KECL European Warrants Jun 2011           | 150,000,000 | 2010/07/22 | 2011/06/29 | 37.50          |
| 23663    | CC Rabobank B.A. – Sinofert European Warrants Jan 2011       | 100,000,000 | 2010/07/22 | 2011/01/28 | 48.00          |
| 23664    | RB of Scotland N.V. – China Life European Wts Jan 2011 A     | 200,000,000 | 2010/07/22 | 2011/01/04 | 33.20          |
| 19360#   | CC Rabobank B.A. – CNOOC European Warrants Oct 2010 B        | 350,000,000 | 2010/07/22 | 2010/10/25 | 11.55          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23691    | Credit Suisse AG – Agricultural Bank European Wts Jan 2011 C | 300,000,000 | 2010/07/23 | 2011/01/10 | 45.00          |
| 23682    | Macquarie Bank Ltd. – China Unicom European Wts Aug 2011 A   | 200,000,000 | 2010/07/23 | 2011/08/16 | 33.20          |
| 23681    | Macquarie Bank Ltd. – Sinopec Corp European Wts Feb 2011     | 200,000,000 | 2010/07/23 | 2011/02/11 | 52.00          |
| 23683    | Macquarie Bank Ltd. – Foxconn European Warrants Jul 2011     | 100,000,000 | 2010/07/23 | 2011/07/28 | 15.00          |
| 23678    | Macquarie Bank Ltd. – A50 China European Warrants Mar 2011 B | 250,000,000 | 2010/07/23 | 2011/03/09 | 48.25          |
| 23679    | Macquarie Bank Ltd. – A50 China European Warrants Aug 2011   | 250,000,000 | 2010/07/23 | 2011/08/30 | 37.50          |
| 23680    | Macquarie Bank Ltd. – A50 China European Warrants Apr 2012   | 250,000,000 | 2010/07/23 | 2012/04/27 | 43.75          |
| 23684    | Macquarie Bank Ltd. – Geely Auto European Warrants Jan 2011  | 200,000,000 | 2010/07/23 | 2011/01/24 | 51.40          |
| 23685    | Macquarie Bank Ltd. – HK Gas European Warrants Nov 2011      | 200,000,000 | 2010/07/23 | 2011/11/03 | 50.20          |
| 23686    | Macquarie Bank Ltd. – New World Dev European Wts Apr 2011    | 100,000,000 | 2010/07/23 | 2011/04/28 | 25.00          |
| 23687    | Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2011     | 200,000,000 | 2010/07/23 | 2011/02/25 | 30.20          |
| 23688    | Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2011     | 200,000,000 | 2010/07/23 | 2011/10/28 | 37.20          |
| 23665    | Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2011A | 150,000,000 | 2010/07/23 | 2011/02/14 | 47.25          |
| 23669    | Merrill Lynch Int'l & Co. C.V. -BOCL European Put Wts Jan 11 | 100,000,000 | 2010/07/23 | 2011/01/03 | 20.20          |
| 23668    | Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Mar 2011   | 150,000,000 | 2010/07/23 | 2011/03/07 | 46.50          |
| 23670    | Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Put Wts Jan 11 | 150,000,000 | 2010/07/23 | 2011/01/24 | 22.65          |
| 23666    | Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2010 A | 150,000,000 | 2010/07/23 | 2010/12/03 | 30.45          |
| 23667    | Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2010 B | 150,000,000 | 2010/07/23 | 2010/12/28 | 22.65          |
| 23671    | Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Jan 11  | 150,000,000 | 2010/07/23 | 2011/01/24 | 26.10          |
| 23672    | Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Mar 11  | 150,000,000 | 2010/07/23 | 2011/03/23 | 25.80          |
| 23673    | Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11A | 150,000,000 | 2010/07/23 | 2011/04/11 | 24.00          |
| 23674    | Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11B | 150,000,000 | 2010/07/23 | 2011/04/18 | 34.35          |
| 23675    | CC Rabobank B.A. – HSBC European Warrants Dec 2010 I         | 100,000,000 | 2010/07/23 | 2010/12/08 | 24.00          |
| 23676    | CC Rabobank B.A. – HSBC European Warrants Jan 2011 B         | 100,000,000 | 2010/07/23 | 2011/01/28 | 25.00          |
| 23677    | CC Rabobank B.A. – HSBC European Warrants Mar 2011 B         | 100,000,000 | 2010/07/23 | 2011/03/30 | 25.00          |
| 23690    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 G | 200,000,000 | 2010/07/23 | 2011/01/24 | 50.00          |
| 23693    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb 11B  | 300,000,000 | 2010/07/23 | 2011/02/07 | 51.00          |
| 23692    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 B | 300,000,000 | 2010/07/23 | 2011/01/28 | 84.00          |
| 23696    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 11C | 300,000,000 | 2010/07/23 | 2011/01/28 | 75.00          |
| 23697    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 11D | 300,000,000 | 2010/07/23 | 2011/01/28 | 93.00          |
| 23689    | UBS AG – BYD European Warrants Nov 2011                      | 100,000,000 | 2010/07/23 | 2011/11/28 | 15.00          |
| 17757#   | SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Dec 10 A | 400,000,000 | 2010/07/23 | 2010/12/22 | 11.20          |
| 23709    | BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2011 A  | 200,000,000 | 2010/07/26 | 2011/02/01 | 60.00          |
| 23707    | BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2011      | 200,000,000 | 2010/07/26 | 2011/03/01 | 64.00          |
| 23710    | BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2011 B   | 200,000,000 | 2010/07/26 | 2011/02/07 | 82.00          |
| 23708    | BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2011     | 200,000,000 | 2010/07/26 | 2011/03/01 | 54.00          |
| 23711    | Credit Suisse AG – BYD European Warrants Nov 2011            | 300,000,000 | 2010/07/26 | 2011/11/28 | 45.00          |
| 23703    | HK Bank – BOCL European Warrants Nov 2010                    | 100,000,000 | 2010/07/26 | 2010/11/08 | 15.00          |
| 23704    | HK Bank – BOCL European Warrants Jan 2011                    | 100,000,000 | 2010/07/26 | 2011/01/12 | 15.00          |
| 23705    | HK Bank -BYD European Warrants Nov 2011                      | 80,000,000  | 2010/07/26 | 2011/11/28 | 12.00          |
| 23706    | HK Bank – Zijin Mining European Warrants Jan 2011            | 80,000,000  | 2010/07/26 | 2011/01/26 | 20.00          |
| 23714    | Macquarie Bank Ltd. – Ch Southern Air European Wts Jan 2011  | 88,000,000  | 2010/07/26 | 2011/01/28 | 22.00          |
| 23701    | Merrill Lynch Int'l & Co. CV-Agricultural Bk Eu Put Wt Jan11 | 150,000,000 | 2010/07/26 | 2011/01/12 | 38.25          |
| 23694    | Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jan11A | 150,000,000 | 2010/07/26 | 2011/01/10 | 52.50          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23695    | Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jan11B | 150,000,000 | 2010/07/26 | 2011/01/11 | 38.25          |
| 23700    | Merrill Lynch Int'l & Co. C.V. – BYD European Warrants Nov11 | 150,000,000 | 2010/07/26 | 2011/11/28 | 24.90          |
| 23716    | RB of Scotland N.V. – BOCL European Warrants Jan 2011        | 100,000,000 | 2010/07/26 | 2011/01/31 | 26.00          |
| 23717    | RB of Scotland N.V. – BYD European Warrants Nov 2011         | 200,000,000 | 2010/07/26 | 2011/11/28 | 30.00          |
| 23718    | RB of Scotland N.V. – China Life European Wts Jan 2011 B     | 200,000,000 | 2010/07/26 | 2011/01/31 | 56.20          |
| 23719    | RB of Scotland N.V. – China Life European Wts Jan 2011 C     | 200,000,000 | 2010/07/26 | 2011/01/31 | 50.00          |
| 23720    | RB of Scotland N.V. – China Shenhua European Wts Jan 2011    | 100,000,000 | 2010/07/26 | 2011/01/31 | 25.00          |
| 23715    | RB of Scotland N.V. – A50 China European Warrants Jun 2011   | 250,000,000 | 2010/07/26 | 2011/06/13 | 38.75          |
| 23712    | SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Sep 11 | 150,000,000 | 2010/07/26 | 2011/09/26 | 37.50          |
| 23713    | SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Oct 11 | 150,000,000 | 2010/07/26 | 2011/10/24 | 34.50          |
| 23699    | SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Nov 2010  | 200,000,000 | 2010/07/26 | 2010/11/29 | 30.00          |
| 23698    | SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2011  | 200,000,000 | 2010/07/26 | 2011/01/28 | 50.00          |
| 23702    | SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jan 11 | 200,000,000 | 2010/07/26 | 2011/01/28 | 50.00          |
| 23724    | BOCI Asia Ltd. – Chi Res Land European Warrants Mar 2011     | 100,000,000 | 2010/07/27 | 2011/03/22 | 25.00          |
| 23725    | BOCI Asia Ltd. – HS Bank European Warrants Dec 2011          | 100,000,000 | 2010/07/27 | 2011/12/29 | 15.00          |
| 23726    | BOCI Asia Ltd. – Tracker Fund European Warrants May 2011     | 100,000,000 | 2010/07/27 | 2011/05/31 | 25.00          |
| 23727    | BOCI Asia Ltd. – Tracker Fund European Put Warrants Mar 2011 | 100,000,000 | 2010/07/27 | 2011/03/31 | 25.00          |
| 23730    | Deutsche Bank AG – CNOOC European Warrants Mar 2011          | 200,000,000 | 2010/07/27 | 2011/03/21 | 36.00          |
| 23722    | J P Morgan SP BV – China Life European Warrants Jan 2011 A   | 150,000,000 | 2010/07/27 | 2011/01/28 | 37.50          |
| 23723    | J P Morgan SP BV – CM Bank European Warrants Dec 2010        | 150,000,000 | 2010/07/27 | 2010/12/22 | 22.50          |
| 23729    | CC Rabobank B.A. – BYD European Warrants Nov 2011            | 300,000,000 | 2010/07/27 | 2011/11/29 | 45.00          |
| 23721    | RB of Scotland N.V. – A50 China European Warrants Apr 2011   | 250,000,000 | 2010/07/27 | 2011/04/28 | 38.00          |
| 23728    | UBS AG – BOCL European Warrants Nov 2010 C                   | 100,000,000 | 2010/07/27 | 2010/11/22 | 22.00          |
| 23731    | UBS AG – China Mobile European Warrants Dec 2010             | 100,000,000 | 2010/07/27 | 2010/12/22 | 16.50          |
| 23573*   | UBS AG – Agricultural Bank European Warrants Jan 2011 A      | 120,000,000 | 2010/07/27 | 2011/01/24 | 27.36          |
| 23736    | Barclays Bank plc – Angang Steel European Warrants Jun 2011  | 100,000,000 | 2010/07/28 | 2011/06/20 | 25.00          |
| 23737    | Barclays Bank plc – BYD European Warrants Nov 2011           | 150,000,000 | 2010/07/28 | 2011/11/28 | 22.50          |
| 23738    | Barclays Bank plc – CC Bank European Put Warrants Feb 2011   | 100,000,000 | 2010/07/28 | 2011/02/21 | 35.00          |
| 23739    | Barclays Bank plc – ICBC European Warrants Feb 2011          | 100,000,000 | 2010/07/28 | 2011/02/14 | 37.00          |
| 23733    | Barclays Bank plc – ICBC European Put Warrants Feb 2011      | 100,000,000 | 2010/07/28 | 2011/02/14 | 34.00          |
| 23747    | Credit Suisse AG – HSBC European Put Warrants Nov 2010 D     | 300,000,000 | 2010/07/28 | 2010/11/15 | 66.00          |
| 23746    | Credit Suisse AG – HSI European Warrants Nov 2010 G          | 320,000,000 | 2010/07/28 | 2010/11/29 | 48.00          |
| 23750    | Deutsche Bank AG – HSI European Warrants Jan 2011 A          | 300,000,000 | 2010/07/28 | 2011/01/28 | 75.00          |
| 23751    | Deutsche Bank AG – HSI European Warrants Jan 2011 B          | 300,000,000 | 2010/07/28 | 2011/01/28 | 75.00          |
| 23754    | Deutsche Bank AG – HSI European Put Warrants Jan 2011 C      | 300,000,000 | 2010/07/28 | 2011/01/28 | 75.00          |
| 23755    | Deutsche Bank AG – HSI European Put Warrants Jan 2011 D      | 300,000,000 | 2010/07/28 | 2011/01/28 | 81.00          |
| 23756    | Deutsche Bank AG – HSI European Put Warrants Jan 2011 E      | 300,000,000 | 2010/07/28 | 2011/01/28 | 93.00          |
| 23735    | Macquarie Bank Ltd. – BYD European Warrants Nov 2011         | 200,000,000 | 2010/07/28 | 2011/11/28 | 30.20          |
| 23740    | Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec10    | 150,000,000 | 2010/07/28 | 2010/12/30 | 27.15          |
| 23742    | Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan11A   | 150,000,000 | 2010/07/28 | 2011/01/28 | 31.50          |
| 23743    | Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan11B   | 150,000,000 | 2010/07/28 | 2011/01/28 | 38.25          |
| 23741    | Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Nov10    | 150,000,000 | 2010/07/28 | 2010/11/29 | 23.10          |
| 23744    | Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Dec10    | 150,000,000 | 2010/07/28 | 2010/12/30 | 22.65          |
| 23732    | SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Nov 2011 | 200,000,000 | 2010/07/28 | 2011/11/28 | 30.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23745    | SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jan 11  | 100,000,000 | 2010/07/28 | 2011/01/03 | 41.00          |
| 23734    | UBS AG – CNOOC European Warrants Jun 2011                    | 100,000,000 | 2010/07/28 | 2011/06/22 | 15.00          |
| 23748    | UBS AG – A50 China European Warrants Apr 2011                | 100,000,000 | 2010/07/28 | 2011/04/11 | 25.00          |
| 23749    | UBS AG – HSI European Put Warrants Jan 2011 A                | 200,000,000 | 2010/07/28 | 2011/01/28 | 50.00          |
| 22650*   | HK Bank – HSI European Warrants Dec 2010 E                   | 200,000,000 | 2010/07/28 | 2010/12/30 | 26.80          |
| 23768    | Barclays Bank plc – HSI European Put Warrants Feb 2011 C     | 150,000,000 | 2010/07/29 | 2011/02/25 | 37.50          |
| 23763    | Barclays Bank plc – HSI European Warrants Dec 2010 G         | 150,000,000 | 2010/07/29 | 2010/12/30 | 22.50          |
| 23764    | Barclays Bank plc – HSI European Warrants Feb 2011 A         | 150,000,000 | 2010/07/29 | 2011/02/25 | 37.50          |
| 23765    | Barclays Bank plc – HSI European Warrants Feb 2011 B         | 150,000,000 | 2010/07/29 | 2011/02/25 | 37.50          |
| 23767    | Barclays Bank plc – HSI European Put Warrants Dec 2010 H     | 150,000,000 | 2010/07/29 | 2010/12/30 | 25.50          |
| 23781    | Credit Suisse AG – HSI European Put Warrants Nov 2010 H      | 340,000,000 | 2010/07/29 | 2010/11/29 | 51.00          |
| 23772    | Citigroup Global Mkt H Inc. – ChiUnicom European Wts Aug 11  | 120,000,000 | 2010/07/29 | 2011/08/30 | 30.00          |
| 23758    | Citigroup Global Mkt H Inc. – Li & Fung European Wts May 11  | 80,000,000  | 2010/07/29 | 2011/05/30 | 20.00          |
| 23759    | Citigroup Global Mkt H Inc. – Swire Pacific A Euro Wts Dec11 | 80,000,000  | 2010/07/29 | 2011/12/19 | 20.00          |
| 23773    | KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jan 2011      | 128,000,000 | 2010/07/29 | 2011/01/10 | 39.68          |
| 23774    | KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2011 A    | 108,000,000 | 2010/07/29 | 2011/02/01 | 38.88          |
| 23775    | KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2011 B    | 128,000,000 | 2010/07/29 | 2011/02/01 | 32.00          |
| 23780    | KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Feb 11C | 80,000,000  | 2010/07/29 | 2011/02/01 | 36.80          |
| 23776    | KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2011 A   | 128,000,000 | 2010/07/29 | 2011/02/18 | 32.00          |
| 23778    | KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 F    | 200,000,000 | 2010/07/29 | 2011/02/25 | 50.00          |
| 23779    | KBC Fin Products Int'l Ltd. – HSI European Put Wts Dec 2010K | 200,000,000 | 2010/07/29 | 2010/12/30 | 36.40          |
| 23782    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 A    | 200,000,000 | 2010/07/29 | 2011/02/25 | 50.00          |
| 23783    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 B    | 200,000,000 | 2010/07/29 | 2011/02/25 | 58.00          |
| 23777    | KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Oct 2011  | 108,000,000 | 2010/07/29 | 2011/10/21 | 16.20          |
| 23760    | Macquarie Bank Ltd. – Ch Oilfield European Warrants Apr 2011 | 200,000,000 | 2010/07/29 | 2011/04/04 | 50.40          |
| 23761    | Macquarie Bank Ltd. – Chi Res Power European Warrants Feb 11 | 200,000,000 | 2010/07/29 | 2011/02/02 | 51.00          |
| 23762    | Macquarie Bank Ltd. – Hang Lung Ppt European Wts Feb 2011    | 200,000,000 | 2010/07/29 | 2011/02/02 | 50.80          |
| 23770    | Macquarie Bank Ltd. – HSCEI European Put Warrants Feb 2011   | 150,000,000 | 2010/07/29 | 2011/02/25 | 37.50          |
| 23766    | Macquarie Bank Ltd. – HSI European Warrants Nov 2010 C       | 200,000,000 | 2010/07/29 | 2010/11/29 | 41.40          |
| 23769    | Macquarie Bank Ltd. – HSI European Put Warrants Dec 2010     | 200,000,000 | 2010/07/29 | 2010/12/30 | 37.40          |
| 23771    | Macquarie Bank Ltd. – SHK Ppt European Put Warrants Aug 2011 | 150,000,000 | 2010/07/29 | 2011/08/02 | 22.50          |
| 23752    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2011   | 150,000,000 | 2010/07/29 | 2011/04/21 | 23.55          |
| 23753    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 B | 150,000,000 | 2010/07/29 | 2011/06/23 | 23.40          |
| 23784    | CC Rabobank B.A. – BOCL European Warrants Mar 2011           | 100,000,000 | 2010/07/29 | 2011/03/30 | 30.00          |
| 23785    | CC Rabobank B.A. – China EB Ltd European Warrants Feb 2011   | 100,000,000 | 2010/07/29 | 2011/02/25 | 25.00          |
| 23786    | CC Rabobank B.A. – CSR European Warrants Dec 2011            | 180,000,000 | 2010/07/29 | 2011/12/29 | 45.00          |
| 23757    | UBS AG – HSCEI European Warrants Nov 2010                    | 80,000,000  | 2010/07/29 | 2010/11/29 | 12.00          |
| 21686*   | HK Bank – HSI European Put Warrants Sep 2010 E               | 220,000,000 | 2010/07/29 | 2010/09/29 | 10.34          |
| 21718*   | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2010 B    | 300,000,000 | 2010/07/29 | 2010/12/13 | 21.60          |
| 23796    | Credit Suisse AG – Agricultural Bank European Wts Jan 2011 D | 300,000,000 | 2010/07/30 | 2011/01/10 | 45.00          |
| 23795    | Deutsche Bank AG – CPIC European Warrants Nov 2010           | 100,000,000 | 2010/07/30 | 2010/11/08 | 15.70          |
| 23791    | HK Bank – Henganintl European Warrants Jun 2011              | 80,000,000  | 2010/07/30 | 2011/06/13 | 20.00          |
| 23789    | J P Morgan SP BV – BOCL European Warrants Jan 2011           | 128,000,000 | 2010/07/30 | 2011/01/31 | 32.64          |
| 23790    | J P Morgan SP BV – BOCL European Warrants Feb 2011           | 128,000,000 | 2010/07/30 | 2011/02/25 | 32.64          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 23787    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11H   | 188,000,000 | 2010/07/30 | 2011/01/12 | 28.20          |
| 23788    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11I   | 188,000,000 | 2010/07/30 | 2011/01/17 | 28.20          |
| 23803    | KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Dec 2011    | 158,000,000 | 2010/07/30 | 2011/12/21 | 23.70          |
| 23799    | KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Feb 2011   | 108,000,000 | 2010/07/30 | 2011/02/01 | 27.00          |
| 23804    | KBC Fin Products Int'l Ltd. – China Overseas Eu Put Wt Feb11  | 108,000,000 | 2010/07/30 | 2011/02/01 | 27.00          |
| 23798    | KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Feb 2011       | 158,000,000 | 2010/07/30 | 2011/02/01 | 39.50          |
| 23802    | KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Jan 2011   | 108,000,000 | 2010/07/30 | 2011/01/03 | 28.08          |
| 23797    | KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 D     | 188,000,000 | 2010/07/30 | 2011/01/03 | 35.72          |
| 23805    | KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jun 2011  | 108,000,000 | 2010/07/30 | 2011/06/01 | 27.00          |
| 23806    | KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Feb 2011 A    | 108,000,000 | 2010/07/30 | 2011/02/01 | 27.00          |
| 23800    | KBC Fin Products Int'l Ltd. – ICBC European Wts Dec 2010 B    | 158,000,000 | 2010/07/30 | 2010/12/10 | 23.70          |
| 23801    | KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Mar 11   | 128,000,000 | 2010/07/30 | 2011/03/24 | 19.20          |
| 23793    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 2011  | 300,000,000 | 2010/07/30 | 2011/04/27 | 48.00          |
| 23794    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11B   | 300,000,000 | 2010/07/30 | 2011/06/27 | 45.00          |
| 23792    | UBS AG – Agricultural Bank European Put Warrants Jan 2011 F   | 100,000,000 | 2010/07/30 | 2011/01/31 | 25.00          |
| 23557#   | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 D  | 200,000,000 | 2010/07/30 | 2011/01/17 | 21.60          |
| 23574#   | UBS AG – Agricultural Bank European Warrants Jan 2011 B       | 120,000,000 | 2010/07/30 | 2011/01/17 | 13.92          |
| 23582#   | UBS AG – Agricultural Bank European Warrants Jan 2011 D       | 200,000,000 | 2010/07/30 | 2011/01/31 | 44.60          |
| 23833    | BNP Paribas Arbit Issu B.V. – Hutchison European Wts Mar 11A  | 80,000,000  | 2010/08/02 | 2011/03/07 | 21.60          |
| 10982    | BNP Paribas Arbit Issu B.V. – Oil Futures European Wts Mar11  | 100,000,000 | 2010/08/02 | 2011/03/18 | 27.00          |
| 10986    | BNP Paribas Arbit Issu B.V. – Oil Futures Euro Put Wt Mar11   | 100,000,000 | 2010/08/02 | 2011/03/18 | 29.00          |
| 23807    | Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Mar 2011  | 100,000,000 | 2010/08/02 | 2011/03/28 | 25.00          |
| 23808    | Citigroup Global Mkt H Inc. – Agricultural Bk Eu Put Wt Feb11 | 100,000,000 | 2010/08/02 | 2011/02/14 | 25.00          |
| 23840    | Deutsche Bank AG – CITIC Pacific European Warrants Mar 2011A  | 100,000,000 | 2010/08/02 | 2011/03/28 | 25.00          |
| 23841    | Deutsche Bank AG – Foxconn European Warrants Jul 2011         | 100,000,000 | 2010/08/02 | 2011/07/25 | 15.00          |
| 23842    | Deutsche Bank AG – Lenovo European Warrants Mar 2011          | 100,000,000 | 2010/08/02 | 2011/03/07 | 60.00          |
| 23809    | HK Bank – HKEx European Warrants Jun 2011                     | 100,000,000 | 2010/08/02 | 2011/06/29 | 25.00          |
| 23810    | HK Bank – HSI European Put Warrants Dec 2010 J                | 120,000,000 | 2010/08/02 | 2010/12/30 | 18.00          |
| 23836    | KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2011 A    | 128,000,000 | 2010/08/02 | 2011/03/01 | 33.28          |
| 23837    | KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Oct 2011  | 80,000,000  | 2010/08/02 | 2011/10/21 | 12.00          |
| 23834    | KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 A    | 128,000,000 | 2010/08/02 | 2011/02/08 | 32.00          |
| 23835    | KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 B    | 128,000,000 | 2010/08/02 | 2011/02/01 | 49.92          |
| 23838    | KBC Fin Products Int'l Ltd. – CNOOC European Wts Feb 2011     | 108,000,000 | 2010/08/02 | 2011/02/01 | 16.20          |
| 23839    | KBC Fin Products Int'l Ltd. – CNOOC European Wts May 2011     | 108,000,000 | 2010/08/02 | 2011/05/03 | 19.44          |
| 23814    | Nomura Int'l plc – Anhui Conch European Warrants Apr 2011     | 100,000,000 | 2010/08/02 | 2011/04/29 | 33.90          |
| 23826    | Nomura Int'l plc – Agile Ppt European Warrants Mar 2011       | 100,000,000 | 2010/08/02 | 2011/03/21 | 15.00          |
| 23823    | Nomura Int'l plc – BOC HK European Warrants Mar 2011          | 200,000,000 | 2010/08/02 | 2011/03/31 | 50.60          |
| 23829    | Nomura Int'l plc – BOCL European Warrants Mar 2011            | 200,000,000 | 2010/08/02 | 2011/03/31 | 57.80          |
| 23820    | Nomura Int'l plc – BYD European Warrants Dec 2013             | 200,000,000 | 2010/08/02 | 2013/12/31 | 50.00          |
| 23828    | Nomura Int'l plc – China Dongxiang European Warrants Apr 11   | 100,000,000 | 2010/08/02 | 2011/04/29 | 59.30          |
| 23815    | Nomura Int'l plc – Ch LongYuan European Warrants Dec 2011     | 150,000,000 | 2010/08/02 | 2011/12/30 | 37.50          |
| 23822    | Nomura Int'l plc – China Coal European Warrants Jan 2011      | 100,000,000 | 2010/08/02 | 2011/01/17 | 15.00          |
| 23811    | Nomura Int'l plc – Cheung Kong European Warrants Sep 2012     | 100,000,000 | 2010/08/02 | 2012/09/28 | 25.00          |
| 23813    | Nomura Int'l plc – CNOOC European Warrants Sep 2011           | 100,000,000 | 2010/08/02 | 2011/09/30 | 25.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23824    | Nomura Int'l plc – CPIC European Warrants Mar 2011           | 200,000,000 | 2010/08/02 | 2011/03/31 | 50.00          |
| 23819    | Nomura Int'l plc – Chi Res Land European Warrants Feb 2011   | 100,000,000 | 2010/08/02 | 2011/02/02 | 25.00          |
| 23817    | Nomura Int'l plc – China Shenhua European Warrants Feb 2011  | 100,000,000 | 2010/08/02 | 2011/02/28 | 26.70          |
| 23825    | Nomura Int'l plc – Shineway Pharm European Warrants Mar 2011 | 100,000,000 | 2010/08/02 | 2011/03/31 | 31.80          |
| 23827    | Nomura Int'l plc – GCL-Poly Energy European Warrants Apr 11  | 100,000,000 | 2010/08/02 | 2011/04/29 | 34.00          |
| 23821    | Nomura Int'l plc – ICBC European Warrants Mar 2011           | 200,000,000 | 2010/08/02 | 2011/03/31 | 106.20         |
| 23812    | Nomura Int'l plc – JIANC European Warrants Mar 2011 A        | 100,000,000 | 2010/08/02 | 2011/03/31 | 25.50          |
| 23816    | Nomura Int'l plc – Lenovo European Warrants Feb 2011         | 50,000,000  | 2010/08/02 | 2011/02/02 | 12.50          |
| 23818    | Nomura Int'l plc – Sinopharm European Warrants Feb 2011      | 100,000,000 | 2010/08/02 | 2011/02/28 | 37.50          |
| 23831    | SGA Societe Generale Acceptance N.V. – Foxconn Eu Wts Jul 11 | 150,000,000 | 2010/08/02 | 2011/07/25 | 24.00          |
| 23832    | UBS AG – Agricultural Bank European Warrants Jan 2011 G      | 200,000,000 | 2010/08/02 | 2011/01/17 | 30.00          |
| 21225#   | Macquarie Bank Ltd. – Tencent European Put Warrants Nov 2010 | 250,000,000 | 2010/08/02 | 2010/11/03 | 10.25          |
| 22343#   | Credit Suisse AG – A50 China European Warrants Nov 2010 A    | 300,000,000 | 2010/08/02 | 2010/11/22 | 26.70          |
| 23649#   | UBS AG – Agricultural Bank European Put Warrants Jan 2011 E  | 170,000,000 | 2010/08/02 | 2011/01/24 | 10.20          |
| 23844    | Citigroup Global Mkt H Inc. – HS Bank European Wts Dec 2011  | 100,000,000 | 2010/08/03 | 2011/12/28 | 15.00          |
| 23845    | Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2010    | 80,000,000  | 2010/08/03 | 2010/12/06 | 12.00          |
| 23860    | Deutsche Bank AG – China Coal European Warrants Jan 2011     | 100,000,000 | 2010/08/03 | 2011/01/24 | 15.00          |
| 23861    | Deutsche Bank AG – Sinopharm European Warrants Dec 2010      | 100,000,000 | 2010/08/03 | 2010/12/28 | 25.00          |
| 23862    | Deutsche Bank AG – SHK Ppt European Warrants Feb 2011        | 150,000,000 | 2010/08/03 | 2011/02/21 | 22.50          |
| 23847    | KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Mar 2011  | 108,000,000 | 2010/08/03 | 2011/03/08 | 27.00          |
| 23848    | KBC Fin Products Int'l Ltd. – China Shenhua Eur Wt Mar 2011A | 80,000,000  | 2010/08/03 | 2011/03/01 | 20.00          |
| 23850    | KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 A  | 108,000,000 | 2010/08/03 | 2011/02/08 | 27.00          |
| 23849    | KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Dec 2010 | 108,000,000 | 2010/08/03 | 2010/12/23 | 19.44          |
| 23830    | Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jan11C | 150,000,000 | 2010/08/03 | 2011/01/24 | 29.85          |
| 23843    | Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jan11D | 150,000,000 | 2010/08/03 | 2011/01/17 | 22.50          |
| 23857    | CC Rabobank B.A. – Angang Steel European Warrants Jun 2011   | 150,000,000 | 2010/08/03 | 2011/06/29 | 37.50          |
| 23858    | CC Rabobank B.A. – Shineway Pharm European Warrants Feb 2011 | 100,000,000 | 2010/08/03 | 2011/02/25 | 25.00          |
| 23859    | CC Rabobank B.A. – GCL-Poly Energy European Warrants Mar 11A | 120,000,000 | 2010/08/03 | 2011/03/30 | 30.00          |
| 23846    | UBS AG – Agricultural Bank European Warrants Jan 2011 H      | 200,000,000 | 2010/08/03 | 2011/01/10 | 30.00          |
| 23853    | UBS AG – HSI European Warrants Jan 2011                      | 200,000,000 | 2010/08/03 | 2011/01/28 | 40.00          |
| 23851    | UBS AG – HSI European Warrants Nov 2010 H                    | 200,000,000 | 2010/08/03 | 2010/11/29 | 40.00          |
| 23852    | UBS AG – HSI European Warrants Dec 2010 H                    | 200,000,000 | 2010/08/03 | 2010/12/30 | 30.00          |
| 23854    | UBS AG – HSI European Put Warrants Nov 2010 I                | 200,000,000 | 2010/08/03 | 2010/11/29 | 30.00          |
| 23855    | UBS AG – HSI European Put Warrants Dec 2010 I                | 200,000,000 | 2010/08/03 | 2010/12/30 | 30.00          |
| 23856    | UBS AG – HSI European Put Warrants Jan 2011 B                | 200,000,000 | 2010/08/03 | 2011/01/28 | 33.00          |
| 15547#   | UBS AG – HSBC European Warrants Dec 2010 A                   | 500,000,000 | 2010/08/03 | 2010/12/13 | 20.50          |
| 23892    | Credit Suisse AG – China Mobile European Warrants Dec 2010   | 300,000,000 | 2010/08/04 | 2010/12/23 | 60.00          |
| 23896    | Credit Suisse AG – China Mobile European Put Warrants Dec 11 | 300,000,000 | 2010/08/04 | 2011/12/23 | 45.00          |
| 23897    | Credit Suisse AG – Ch Overseas European Put Warrants Nov 10B | 300,000,000 | 2010/08/04 | 2010/11/29 | 45.00          |
| 23893    | Credit Suisse AG – HSBC European Wts Nov 2010 E              | 300,000,000 | 2010/08/04 | 2010/11/08 | 45.00          |
| 23894    | Credit Suisse AG – SHK Ppt European Warrants Feb 2011        | 300,000,000 | 2010/08/04 | 2011/02/28 | 45.00          |
| 23898    | Credit Suisse AG – SHK Ppt European Put Warrants Dec 2010    | 300,000,000 | 2010/08/04 | 2010/12/20 | 45.00          |
| 23895    | Credit Suisse AG – Tencent Holdings European Wts Dec 2010 B  | 300,000,000 | 2010/08/04 | 2010/12/06 | 45.00          |
| 23899    | Credit Suisse AG – Tencent Holdings European Put Wts Dec 10  | 300,000,000 | 2010/08/04 | 2010/12/23 | 45.00          |

# 主板 認股權證

| 股份<br>代號           | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|--------------------|---|-------------|------------|------------|----------------|
|                    |   | 發行單位        | 上市日期       | 到期日        |                |
| 23877              | Citigroup Global Mkt H Inc. – Cheung Kong Euro Wts Jan 2012   | 100,000,000 | 2010/08/04 | 2012/01/30 | 25.00          |
| 23871              | HK Bank – SHK Ppt European Warrants Oct 2011                  | 100,000,000 | 2010/08/04 | 2011/10/21 | 15.00          |
| 23872              | HK Bank – Tencent European Warrants Apr 2011 A                | 150,000,000 | 2010/08/04 | 2011/04/19 | 22.50          |
| 23880              | Macquarie Bank Ltd. – ICBC European Warrants Feb 2011         | 150,000,000 | 2010/08/04 | 2011/02/07 | 37.95          |
| 23884              | Macquarie Bank Ltd. – ICBC European Put Warrants Feb 2011     | 88,000,000  | 2010/08/04 | 2011/02/07 | 55.88          |
| 23864              | Merrill Lynch Int'l & Co. C.V. – CK(H) European Wt Dec 2012A  | 200,000,000 | 2010/08/04 | 2012/12/24 | 38.00          |
| 23867              | Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 A  | 150,000,000 | 2010/08/04 | 2011/02/07 | 48.75          |
| 23863              | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Feb 2011A  | 150,000,000 | 2010/08/04 | 2011/02/07 | 45.75          |
| 23865              | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Put Wts Feb11 | 150,000,000 | 2010/08/04 | 2011/02/14 | 52.50          |
| 23876              | CC Rabobank B.A. – HSI European Warrants Jan 2011             | 200,000,000 | 2010/08/04 | 2011/01/28 | 30.00          |
| 23875              | CC Rabobank B.A. – HSI European Warrants Nov 2010 C           | 200,000,000 | 2010/08/04 | 2010/11/29 | 42.00          |
| 23874              | CC Rabobank B.A. – HSI European Warrants Dec 2010 G           | 200,000,000 | 2010/08/04 | 2010/12/30 | 36.00          |
| 23878              | CC Rabobank B.A. – HSI European Put Warrants Dec 2010 H       | 200,000,000 | 2010/08/04 | 2010/12/30 | 42.00          |
| 23879              | CC Rabobank B.A. – HSI European Put Warrants Dec 2010 I       | 200,000,000 | 2010/08/04 | 2010/12/30 | 48.00          |
| 23885              | RB of Scotland N.V. – HSI European Warrants Dec 2010 A        | 250,000,000 | 2010/08/04 | 2010/12/30 | 37.50          |
| 23886              | RB of Scotland N.V. – HSI European Warrants Dec 2010 B        | 250,000,000 | 2010/08/04 | 2010/12/30 | 37.75          |
| 23883              | RB of Scotland N.V. – HSI European Put Warrants Nov 2010 F    | 250,000,000 | 2010/08/04 | 2010/11/29 | 49.25          |
| 23866              | RB of Scotland N.V. – Tencent Holdings European Wts Feb 11A   | 250,000,000 | 2010/08/04 | 2011/02/14 | 62.50          |
| 23868              | RB of Scotland N.V. – Tencent Holdings European Wts Feb 11B   | 250,000,000 | 2010/08/04 | 2011/02/23 | 37.50          |
| 23869              | SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2010 C  | 120,000,000 | 2010/08/04 | 2010/11/22 | 26.40          |
| 23870              | SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2010 B  | 100,000,000 | 2010/08/04 | 2010/12/29 | 29.00          |
| 23889              | UBS AG – Agricultural Bank European Warrants Mar 2011 A       | 200,000,000 | 2010/08/04 | 2011/03/14 | 50.00          |
| 23890              | UBS AG – Agricultural Bank European Warrants Mar 2011 B       | 200,000,000 | 2010/08/04 | 2011/03/28 | 50.00          |
| 23887              | UBS AG – China Mobile European Warrants Feb 2011 A            | 100,000,000 | 2010/08/04 | 2011/02/14 | 25.00          |
| 23891              | UBS AG – HSBC European Warrants Feb 2011                      | 100,000,000 | 2010/08/04 | 2011/02/14 | 25.00          |
| 23882              | UBS AG – HSCEI European Put Warrants Nov 2010                 | 100,000,000 | 2010/08/04 | 2010/11/29 | 15.00          |
| 23888              | UBS AG – HSI European Warrants Feb 2011                       | 200,000,000 | 2010/08/04 | 2011/02/25 | 50.00          |
| 23903              | UBS AG – HSI European Put Warrants Feb 2011 A                 | 200,000,000 | 2010/08/04 | 2011/02/25 | 50.00          |
| 23873              | UBS AG – Jiangxi Copper European Warrants Jan 2011            | 100,000,000 | 2010/08/04 | 2011/01/03 | 15.00          |
| 23881              | UBS AG – SHK Ppt European Put Warrants Dec 2010               | 100,000,000 | 2010/08/04 | 2010/12/06 | 15.00          |
| 23905              | BNP Paribas Arbit Issu B.V. – HSCEI European Wts Apr 2011     | 100,000,000 | 2010/08/05 | 2011/04/28 | 26.00          |
| 23909              | BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Apr 2011     | 100,000,000 | 2010/08/05 | 2011/04/28 | 27.00          |
| 23902              | Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Feb 11  | 100,000,000 | 2010/08/05 | 2011/02/21 | 25.00          |
| 23906              | Deutsche Bank AG – China Mobile European Warrants Dec 2010 B  | 200,000,000 | 2010/08/05 | 2010/12/06 | 90.00          |
| 23908              | Deutsche Bank AG – HSBC European Warrants Jan 2011            | 200,000,000 | 2010/08/05 | 2011/01/03 | 138.00         |
| 23907              | Deutsche Bank AG – HSBC European Warrants Dec 2010 C          | 200,000,000 | 2010/08/05 | 2010/12/06 | 44.00          |
| 23904              | Macquarie Bank Ltd. – Tencent European Put Warrants Dec 2010  | 250,000,000 | 2010/08/05 | 2010/12/29 | 37.75          |
| 23900              | Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Nov 2010  | 200,000,000 | 2010/08/05 | 2010/11/29 | 31.00          |
| 23901              | Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Jan 2011  | 200,000,000 | 2010/08/05 | 2011/01/28 | 34.00          |
| 22610 <sup>#</sup> | Credit Suisse AG – HKEx European Warrants Sep 2010            | 300,000,000 | 2010/08/05 | 2010/09/27 | 31.20          |
| 22243 <sup>#</sup> | Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Oct10B | 300,000,000 | 2010/08/05 | 2010/10/28 | 30.30          |
| 21895 <sup>#</sup> | UBS AG – HSI European Put Warrants Sep 2010 E                 | 300,000,000 | 2010/08/05 | 2010/09/29 | 10.80          |
| 23930              | Barclays Bank plc – Agricultural Bk European Put Wts Feb11    | 100,000,000 | 2010/08/06 | 2011/02/28 | 25.00          |
| 23931              | Barclays Bank plc – CHALCO European Warrants Jun 2011         | 100,000,000 | 2010/08/06 | 2011/06/27 | 15.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23932    | Barclays Bank plc – Bank of EA European Warrants Apr 2011    | 100,000,000 | 2010/08/06 | 2011/04/18 | 25.00          |
| 23933    | Barclays Bank plc – HSBC European Warrants Dec 2011          | 150,000,000 | 2010/08/06 | 2011/12/12 | 24.00          |
| 23934    | Barclays Bank plc – Sinopharm European Warrants Mar 2011     | 100,000,000 | 2010/08/06 | 2011/03/07 | 30.00          |
| 23935    | Credit Suisse AG – HSI European Put Warrants Nov 2010 I      | 400,000,000 | 2010/08/06 | 2010/11/29 | 60.00          |
| 23937    | Citigroup Global Mkt H Inc. – Anhui Conch European Wts Apr11 | 80,000,000  | 2010/08/06 | 2011/04/21 | 20.00          |
| 23936    | Citigroup Global Mkt H Inc. – Agile Ppt European Wts Mar 11  | 100,000,000 | 2010/08/06 | 2011/03/21 | 15.00          |
| 23938    | Citigroup Global Mkt H Inc. – BOC HK European Wts May 2011   | 100,000,000 | 2010/08/06 | 2011/05/30 | 25.00          |
| 23939    | Citigroup Global Mkt H Inc. – CPIC European Warrants May 11  | 100,000,000 | 2010/08/06 | 2011/05/23 | 25.00          |
| 23944    | Deutsche Bank AG – Alibaba European Warrants Dec 2010        | 100,000,000 | 2010/08/06 | 2010/12/28 | 18.00          |
| 23940    | KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2010 D   | 108,000,000 | 2010/08/06 | 2010/11/22 | 29.16          |
| 23941    | KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Jan 11 | 128,000,000 | 2010/08/06 | 2011/01/03 | 19.20          |
| 23925    | Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 A   | 200,000,000 | 2010/08/06 | 2011/02/07 | 57.00          |
| 23926    | Macquarie Bank Ltd. – China Mobile European Wts Oct 2011     | 300,000,000 | 2010/08/06 | 2011/10/11 | 45.00          |
| 23927    | Macquarie Bank Ltd. – Cathay Pacific European Wts Jul 2011   | 150,000,000 | 2010/08/06 | 2011/07/06 | 37.50          |
| 23928    | Macquarie Bank Ltd. -GCL-Poly Energy European Warrants Feb11 | 88,000,000  | 2010/08/06 | 2011/02/09 | 22.00          |
| 23929    | Macquarie Bank Ltd. – PetroChina European Wts Feb 2013       | 200,000,000 | 2010/08/06 | 2013/02/04 | 50.20          |
| 23910    | Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Jan 2011  | 150,000,000 | 2010/08/06 | 2011/01/17 | 46.50          |
| 23914    | Merrill Lynch Int'l & Co. C.V.-Ch Telecom Eur Put Wts Feb 11 | 150,000,000 | 2010/08/06 | 2011/02/22 | 57.00          |
| 23911    | Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jan 2011 | 150,000,000 | 2010/08/06 | 2011/01/24 | 22.50          |
| 23912    | Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Apr 2011 | 150,000,000 | 2010/08/06 | 2011/04/28 | 27.60          |
| 23915    | Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Dec 2010 | 150,000,000 | 2010/08/06 | 2010/12/21 | 23.25          |
| 23916    | Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Feb 2011 | 150,000,000 | 2010/08/06 | 2011/02/14 | 23.25          |
| 23913    | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2010 F    | 150,000,000 | 2010/08/06 | 2010/12/28 | 42.00          |
| 23917    | Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Apr 11 | 150,000,000 | 2010/08/06 | 2011/04/11 | 24.75          |
| 23918    | Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Aug 11 | 150,000,000 | 2010/08/06 | 2011/08/02 | 22.80          |
| 23942    | RB of Scotland N.V. – Tencent Holdings European Wts Feb 11C  | 250,000,000 | 2010/08/06 | 2011/02/28 | 62.50          |
| 23943    | RB of Scotland N.V. – Tencent European Put Warrants Apr 2011 | 250,000,000 | 2010/08/06 | 2011/04/04 | 47.75          |
| 23920    | SGA Societe Generale Acceptance N.V-Ch Ship Cont Eu Wt Mar11 | 80,000,000  | 2010/08/06 | 2011/03/28 | 54.40          |
| 23919    | SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan 11  | 100,000,000 | 2010/08/06 | 2011/01/31 | 33.00          |
| 23921    | SGA Societe Generale Acceptance N.V. – CTel Eu Wt Nov 2010 B | 150,000,000 | 2010/08/06 | 2010/11/24 | 34.50          |
| 23922    | SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Jan 2011 | 100,000,000 | 2010/08/06 | 2011/01/10 | 16.00          |
| 23923    | SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 2011  | 300,000,000 | 2010/08/06 | 2011/08/01 | 45.00          |
| 23924    | SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Oct 2011  | 300,000,000 | 2010/08/06 | 2011/10/24 | 45.00          |
| 21806*   | Deutsche Bank AG – ICBC European Warrants Oct 2010 A         | 200,000,000 | 2010/08/06 | 2010/10/04 | 38.00          |
| 22651*   | HK Bank – HSI European Warrants Dec 2010 F                   | 200,000,000 | 2010/08/06 | 2010/12/30 | 30.60          |
| 23953    | BOCI Asia Ltd. – CC Bank European Warrants Feb 2011          | 100,000,000 | 2010/08/09 | 2011/02/25 | 40.00          |
| 23958    | BOCI Asia Ltd. – CC Bank European Put Warrants Mar 2011      | 100,000,000 | 2010/08/09 | 2011/03/04 | 40.00          |
| 23955    | BOCI Asia Ltd. – Ch Comm Cons European Warrants Feb 2011     | 100,000,000 | 2010/08/09 | 2011/02/28 | 50.00          |
| 23954    | BOCI Asia Ltd. – CM Bank European Warrants Apr 2011          | 100,000,000 | 2010/08/09 | 2011/04/15 | 25.00          |
| 23959    | BOCI Asia Ltd. – CM Bank European Put Warrants Apr 2011      | 100,000,000 | 2010/08/09 | 2011/04/01 | 25.00          |
| 23957    | BOCI Asia Ltd. – MCC European Warrants Feb 2011              | 100,000,000 | 2010/08/09 | 2011/02/28 | 35.50          |
| 23952    | BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2011      | 100,000,000 | 2010/08/09 | 2011/02/25 | 25.00          |
| 23956    | BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2011 B    | 100,000,000 | 2010/08/09 | 2011/02/25 | 25.00          |
| 23973    | Credit Suisse AG – HSI European Put Warrants Feb 2011 A      | 400,000,000 | 2010/08/09 | 2011/02/25 | 100.00         |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23968    | Credit Suisse AG – HSI European Warrants Dec 2010 C          | 400,000,000 | 2010/08/09 | 2010/12/30 | 60.00          |
| 23965    | Credit Suisse AG – HSI European Put Warrants Nov 2010 J      | 425,000,000 | 2010/08/09 | 2010/11/29 | 63.75          |
| 23972    | Credit Suisse AG – HSI European Put Warrants Dec 2010 D      | 400,000,000 | 2010/08/09 | 2010/12/30 | 60.00          |
| 23963    | Citigroup Global Mkt H Inc. – China COSCO European Wts Sep11 | 120,000,000 | 2010/08/09 | 2011/09/26 | 30.00          |
| 23960    | Citigroup Global Mkt H Inc. – Ch Railway Cons Eu Wts Aug 11  | 80,000,000  | 2010/08/09 | 2011/08/22 | 20.00          |
| 23964    | Citigroup Global Mkt H Inc. – New World European Wts May 11  | 80,000,000  | 2010/08/09 | 2011/05/27 | 20.00          |
| 23967    | Citigroup Global Mkt H Inc. – SHK Ppt European Wts May 2011  | 80,000,000  | 2010/08/09 | 2011/05/30 | 20.00          |
| 23962    | Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wts Jun 2011  | 80,000,000  | 2010/08/09 | 2011/06/01 | 12.00          |
| 23961    | Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Jul 2011   | 80,000,000  | 2010/08/09 | 2011/07/25 | 20.00          |
| 23969    | Deutsche Bank AG – BOC HK European Warrants Apr 2011         | 150,000,000 | 2010/08/09 | 2011/04/04 | 37.50          |
| 23970    | Deutsche Bank AG – Tencent Holdings European Warrants Feb11A | 300,000,000 | 2010/08/09 | 2011/02/28 | 45.00          |
| 23974    | KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Mar 11  | 108,000,000 | 2010/08/09 | 2011/03/18 | 48.60          |
| 23971    | KBC Fin Products Int'l Ltd. – BOC HK European Wts Feb 2011   | 68,000,000  | 2010/08/09 | 2011/02/09 | 17.00          |
| 23975    | KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts May 2011   | 68,000,000  | 2010/08/09 | 2011/05/18 | 17.00          |
| 23979    | KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt May 2011   | 80,000,000  | 2010/08/09 | 2011/05/05 | 89.60          |
| 23976    | KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Apr 2011B | 68,000,000  | 2010/08/09 | 2011/04/08 | 69.36          |
| 23977    | KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11C  | 108,000,000 | 2010/08/09 | 2011/02/08 | 19.44          |
| 23978    | KBC Fin Products Int'l Ltd. -CMB European Put Warrants Mar11 | 80,000,000  | 2010/08/09 | 2011/03/01 | 20.00          |
| 23980    | KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Oct 2011    | 80,000,000  | 2010/08/09 | 2011/10/03 | 12.00          |
| 23987    | KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts May 11 | 80,000,000  | 2010/08/09 | 2011/05/03 | 20.00          |
| 23981    | KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Jan 2011     | 108,000,000 | 2010/08/09 | 2011/01/18 | 25.92          |
| 23982    | KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Feb 2011 B   | 80,000,000  | 2010/08/09 | 2011/02/09 | 36.80          |
| 23983    | KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Mar11 | 80,000,000  | 2010/08/09 | 2011/03/01 | 20.00          |
| 23984    | KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Apr 11A  | 88,000,000  | 2010/08/09 | 2011/04/15 | 13.20          |
| 23985    | KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Apr 11B  | 88,000,000  | 2010/08/09 | 2011/04/08 | 22.00          |
| 23986    | KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Jun 2011 | 88,000,000  | 2010/08/09 | 2011/06/15 | 13.20          |
| 23966    | Macquarie Bank Ltd. – BOCHK European Warrants Jun 2011 A     | 250,000,000 | 2010/08/09 | 2011/06/02 | 64.00          |
| 23947    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jul 11 | 200,000,000 | 2010/08/09 | 2011/07/28 | 30.20          |
| 23950    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11E | 200,000,000 | 2010/08/09 | 2011/01/28 | 32.00          |
| 23951    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jun 11  | 200,000,000 | 2010/08/09 | 2011/06/29 | 51.00          |
| 23945    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Dec10H | 200,000,000 | 2010/08/09 | 2010/12/30 | 37.00          |
| 23946    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Dec10I | 200,000,000 | 2010/08/09 | 2010/12/30 | 30.40          |
| 23948    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Nov10I  | 200,000,000 | 2010/08/09 | 2010/11/29 | 30.80          |
| 23949    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Dec10J  | 200,000,000 | 2010/08/09 | 2010/12/30 | 46.80          |
| 21784*   | Credit Suisse AG – HKEx European Warrants Oct 2010 B         | 300,000,000 | 2010/08/09 | 2010/10/04 | 18.90          |
| 22186*   | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Sep10B | 200,000,000 | 2010/08/09 | 2010/09/29 | 13.20          |
| 24017    | Credit Suisse AG – CC Bank European Warrants Dec 2010 B      | 300,000,000 | 2010/08/10 | 2010/12/23 | 45.00          |
| 24001    | Credit Suisse AG – Cheung Kong European Warrants Mar 2011    | 300,000,000 | 2010/08/10 | 2011/03/07 | 45.00          |
| 24000    | Credit Suisse AG – Tencent Holdings European Wts Feb 2011 A  | 300,000,000 | 2010/08/10 | 2011/02/14 | 45.00          |
| 10987    | Deutsche Bank AG – AUD/USD European Put Warrants Feb 2011    | 50,000,000  | 2010/08/10 | 2011/02/14 | 13.00          |
| 24020    | Deutsche Bank AG – Fushan Energy European Warrants Dec 2010  | 100,000,000 | 2010/08/10 | 2010/12/28 | 42.00          |
| 24013    | Deutsche Bank AG – HSI European Warrants Dec 2010 I          | 300,000,000 | 2010/08/10 | 2010/12/30 | 45.00          |
| 24014    | Deutsche Bank AG – HSI European Warrants Dec 2010 J          | 300,000,000 | 2010/08/10 | 2010/12/30 | 45.00          |
| 24021    | Deutsche Bank AG – ICBC European Warrants Jan 2011           | 200,000,000 | 2010/08/10 | 2011/01/04 | 59.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24038    | Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2011      | 200,000,000 | 2010/08/10 | 2011/01/28 | 30.00          |
| 24030    | Goldman Sachs SP (Asia) – HSI European Warrants Dec 2010 E   | 200,000,000 | 2010/08/10 | 2010/12/30 | 30.00          |
| 24031    | Goldman Sachs SP (Asia) – HSI European Warrants Jan 2011 A   | 200,000,000 | 2010/08/10 | 2011/01/28 | 38.00          |
| 24032    | Goldman Sachs SP (Asia) – HSI European Warrants Jan 2011 B   | 200,000,000 | 2010/08/10 | 2011/01/28 | 32.00          |
| 24033    | Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 A   | 200,000,000 | 2010/08/10 | 2011/02/25 | 50.00          |
| 24034    | Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 B   | 200,000,000 | 2010/08/10 | 2011/02/25 | 50.00          |
| 24035    | Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 C   | 200,000,000 | 2010/08/10 | 2011/02/25 | 50.00          |
| 24036    | Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2010 F    | 200,000,000 | 2010/08/10 | 2010/12/30 | 30.00          |
| 24037    | Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2010 G    | 200,000,000 | 2010/08/10 | 2010/12/30 | 36.00          |
| 24039    | Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 D    | 200,000,000 | 2010/08/10 | 2011/02/25 | 50.00          |
| 24040    | Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 E    | 200,000,000 | 2010/08/10 | 2011/02/25 | 50.00          |
| 23991    | HK Bank – Cheung Kong European Warrants Feb 2011             | 100,000,000 | 2010/08/10 | 2011/02/10 | 25.00          |
| 23992    | HK Bank – CNOOC European Warrants Apr 2011                   | 120,000,000 | 2010/08/10 | 2011/04/18 | 30.00          |
| 23993    | HK Bank – Tencent European Put Warrants May 2011             | 160,000,000 | 2010/08/10 | 2011/05/26 | 40.00          |
| 23997    | J P Morgan SP BV – BOC HK European Warrants Mar 2011         | 150,000,000 | 2010/08/10 | 2011/03/30 | 37.50          |
| 23998    | J P Morgan SP BV – China COSCO European Warrants Nov 2011    | 200,000,000 | 2010/08/10 | 2011/11/11 | 50.00          |
| 23999    | J P Morgan SP BV – ICBC European Warrants Feb 2011           | 100,000,000 | 2010/08/10 | 2011/02/10 | 25.00          |
| 24025    | KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Feb 11D | 80,000,000  | 2010/08/10 | 2011/02/10 | 20.00          |
| 24022    | KBC Fin Products Int'l Ltd. – HSBC European Wts Mar 2011     | 108,000,000 | 2010/08/10 | 2011/03/01 | 18.36          |
| 24023    | KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011     | 108,000,000 | 2010/08/10 | 2011/09/01 | 16.20          |
| 24026    | KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts May 2011     | 108,000,000 | 2010/08/10 | 2011/05/03 | 16.20          |
| 24027    | KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jul 2011     | 108,000,000 | 2010/08/10 | 2011/07/18 | 27.00          |
| 24028    | KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Oct 2011     | 108,000,000 | 2010/08/10 | 2011/10/03 | 19.44          |
| 24024    | KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jun 2011  | 88,000,000  | 2010/08/10 | 2011/06/24 | 19.36          |
| 24029    | KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jul 2011  | 80,000,000  | 2010/08/10 | 2011/07/04 | 20.00          |
| 24016    | Macquarie Bank Ltd. – CM Bank European Warrants Mar 2011     | 250,000,000 | 2010/08/10 | 2011/03/02 | 63.50          |
| 24002    | Macquarie Bank Ltd. – CM Bank European Put Warrants Mar 2011 | 200,000,000 | 2010/08/10 | 2011/03/02 | 50.20          |
| 23988    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jan11B | 200,000,000 | 2010/08/10 | 2011/01/28 | 51.00          |
| 23989    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jan11C | 200,000,000 | 2010/08/10 | 2011/01/28 | 40.80          |
| 23990    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jan11D | 200,000,000 | 2010/08/10 | 2011/01/28 | 35.20          |
| 23994    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11F | 200,000,000 | 2010/08/10 | 2011/01/28 | 30.40          |
| 23995    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11G | 200,000,000 | 2010/08/10 | 2011/01/28 | 33.60          |
| 23996    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11H | 200,000,000 | 2010/08/10 | 2011/01/28 | 32.40          |
| 24003    | CC Rabobank B.A. – BOC HK European Warrants Aug 2011         | 120,000,000 | 2010/08/10 | 2011/08/30 | 30.00          |
| 24005    | CC Rabobank B.A. – Ch Mobile European Warrants Feb 2011 A    | 75,000,000  | 2010/08/10 | 2011/02/25 | 29.25          |
| 24004    | CC Rabobank B.A. – CC Bank European Warrants Feb 2011 A      | 75,000,000  | 2010/08/10 | 2011/02/25 | 22.50          |
| 24006    | CC Rabobank B.A. – CNOOC European Warrants Jul 2011          | 150,000,000 | 2010/08/10 | 2011/07/28 | 37.50          |
| 24008    | CC Rabobank B.A. – Dongfang Elec European Warrants Feb 2011  | 60,000,000  | 2010/08/10 | 2011/02/25 | 39.60          |
| 24007    | CC Rabobank B.A. – Digital China European Warrants Feb 2011  | 120,000,000 | 2010/08/10 | 2011/02/25 | 30.00          |
| 24015    | CC Rabobank B.A. – A50 China European Warrants Nov 2011 B    | 120,000,000 | 2010/08/10 | 2011/11/11 | 30.00          |
| 24009    | CC Rabobank B.A. – Hutchison European Warrants Feb 2011 A    | 75,000,000  | 2010/08/10 | 2011/02/25 | 20.25          |
| 24010    | CC Rabobank B.A. – ICBC European Warrants Feb 2011 A         | 75,000,000  | 2010/08/10 | 2011/02/25 | 24.75          |
| 24011    | CC Rabobank B.A. – Shanghai Ind European Warrants Feb 2011   | 60,000,000  | 2010/08/10 | 2011/02/25 | 15.00          |
| 24012    | CC Rabobank B.A. – Tencent Holdings European Wts Feb 2011    | 150,000,000 | 2010/08/10 | 2011/02/14 | 22.50          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24018    | RB of Scotland N.V. – China Mobile European Wts Dec 2011     | 300,000,000 | 2010/08/10 | 2011/12/05 | 45.00          |
| 24019    | RB of Scotland N.V. – HSI European Put Warrants Dec 2010 D   | 250,000,000 | 2010/08/10 | 2010/12/30 | 49.50          |
| 23430#   | KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2011   | 222,000,000 | 2010/08/10 | 2011/03/01 | 49.33          |
| 24076    | BNP Paribas Arbit Issu B.V. – Agile Ppt European Wts Oct 11  | 100,000,000 | 2010/08/11 | 2011/10/03 | 25.00          |
| 24077    | BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2011      | 200,000,000 | 2010/08/11 | 2011/04/04 | 50.00          |
| 24078    | BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2010 B  | 200,000,000 | 2010/08/11 | 2010/12/06 | 44.00          |
| 24068    | Credit Suisse AG – BOCL European Warrants Dec 2010           | 300,000,000 | 2010/08/11 | 2010/12/21 | 45.00          |
| 24071    | Credit Suisse AG – China Mobile European Warrants Oct 2012   | 300,000,000 | 2010/08/11 | 2012/10/29 | 45.00          |
| 24070    | Credit Suisse AG – CC Bank European Warrants Jan 2011        | 300,000,000 | 2010/08/11 | 2011/01/17 | 45.00          |
| 24067    | Credit Suisse AG – HKEx European Warrants Jun 2011           | 300,000,000 | 2010/08/11 | 2011/06/27 | 45.00          |
| 24073    | Credit Suisse AG – HSCEI European Warrants Nov 2010          | 310,000,000 | 2010/08/11 | 2010/11/29 | 46.50          |
| 24075    | Credit Suisse AG – HSCEI European Warrants Apr 2011          | 310,000,000 | 2010/08/11 | 2011/04/28 | 77.50          |
| 24074    | Credit Suisse AG – HSCEI European Put Warrants Jan 2011      | 325,000,000 | 2010/08/11 | 2011/01/28 | 48.75          |
| 24072    | Credit Suisse AG – HSI European Warrants Nov 2010 K          | 375,000,000 | 2010/08/11 | 2010/11/29 | 56.25          |
| 24069    | Credit Suisse AG – ICBC European Warrants Jan 2011 A         | 300,000,000 | 2010/08/11 | 2011/01/17 | 45.00          |
| 24052    | HK Bank – HSI European Warrants Dec 2010 K                   | 160,000,000 | 2010/08/11 | 2010/12/30 | 24.00          |
| 24053    | HK Bank – HSI European Warrants Feb 2011 A                   | 160,000,000 | 2010/08/11 | 2011/02/25 | 40.00          |
| 24054    | HK Bank – HSI European Warrants Feb 2011 B                   | 160,000,000 | 2010/08/11 | 2011/02/25 | 40.00          |
| 24055    | HK Bank – HSI European Warrants Feb 2011 C                   | 160,000,000 | 2010/08/11 | 2011/02/25 | 40.00          |
| 24059    | KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2011    | 100,000,000 | 2010/08/11 | 2011/01/28 | 15.00          |
| 24060    | KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2011 A  | 100,000,000 | 2010/08/11 | 2011/02/25 | 25.00          |
| 24061    | KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2011 B  | 100,000,000 | 2010/08/11 | 2011/02/25 | 25.00          |
| 24062    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 C    | 200,000,000 | 2010/08/11 | 2011/02/25 | 50.00          |
| 24063    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 D    | 200,000,000 | 2010/08/11 | 2011/02/25 | 50.00          |
| 24064    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 E    | 200,000,000 | 2010/08/11 | 2011/02/25 | 50.00          |
| 24065    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 A    | 200,000,000 | 2010/08/11 | 2011/03/30 | 52.00          |
| 24066    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 B    | 200,000,000 | 2010/08/11 | 2011/03/30 | 56.00          |
| 24042    | Macquarie Bank Ltd. – China Life European Wts Feb 2011       | 300,000,000 | 2010/08/11 | 2011/02/11 | 75.00          |
| 24047    | Macquarie Bank Ltd. – China Life European Put Wts Feb 2011   | 300,000,000 | 2010/08/11 | 2011/02/09 | 45.30          |
| 24043    | Macquarie Bank Ltd. – Dongfeng Motor European Wts Jul 2011 A | 150,000,000 | 2010/08/11 | 2011/07/05 | 37.50          |
| 24044    | Macquarie Bank Ltd. – HSI European Warrants Nov 2010 D       | 300,000,000 | 2010/08/11 | 2010/11/29 | 45.90          |
| 24048    | Macquarie Bank Ltd. – HSI European Put Warrants Nov 2010 E   | 300,000,000 | 2010/08/11 | 2010/11/29 | 51.60          |
| 24045    | Macquarie Bank Ltd. – Hutchison European Warrants Jun 2011   | 150,000,000 | 2010/08/11 | 2011/06/02 | 37.80          |
| 24046    | Macquarie Bank Ltd. – Sands China European Warrants Feb 2011 | 300,000,000 | 2010/08/11 | 2011/02/11 | 75.30          |
| 24041    | RB of Scotland N.V. – BYD European Warrants May 2011         | 250,000,000 | 2010/08/11 | 2011/05/23 | 37.50          |
| 24058    | RB of Scotland N.V. – China Mobile European Wts Dec 2010     | 100,000,000 | 2010/08/11 | 2010/12/22 | 33.40          |
| 24056    | RB of Scotland N.V. – CC Bank European Warrants Dec 2010 A   | 100,000,000 | 2010/08/11 | 2010/12/13 | 42.00          |
| 24057    | RB of Scotland N.V. – CC Bank European Warrants Dec 2010 B   | 100,000,000 | 2010/08/11 | 2010/12/30 | 24.00          |
| 24049    | SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Sep 2011  | 300,000,000 | 2010/08/11 | 2011/09/28 | 54.00          |
| 24050    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 B | 100,000,000 | 2010/08/11 | 2011/01/05 | 26.00          |
| 24051    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 C | 500,000,000 | 2010/08/11 | 2011/09/12 | 80.00          |
| 19896#   | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2010 B    | 600,000,000 | 2010/08/11 | 2010/10/25 | 10.20          |
| 24083    | BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Mar 11 | 200,000,000 | 2010/08/12 | 2011/03/24 | 30.00          |
| 24082    | BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2012     | 200,000,000 | 2010/08/12 | 2012/08/31 | 100.00         |

# 主板 認股權證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 24085    | BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Nov 2011    | 200,000,000 | 2010/08/12 | 2011/11/29 | 52.00          |
| 24084    | BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Oct 2012    | 200,000,000 | 2010/08/12 | 2012/10/05 | 30.00          |
| 24088    | BNP Paribas Arbit Issu B.V. – HKEx European Put Wts May 2011  | 100,000,000 | 2010/08/12 | 2011/05/25 | 21.00          |
| 24086    | BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Nov 2011  | 200,000,000 | 2010/08/12 | 2011/11/04 | 82.00          |
| 24087    | BNP Paribas Arbit Issu B.V. – SHK Ppt European Warrants Dec12 | 200,000,000 | 2010/08/12 | 2012/12/03 | 50.00          |
| 24091    | Credit Suisse AG – China Life European Warrants Nov 10A       | 300,000,000 | 2010/08/12 | 2010/11/12 | 45.00          |
| 24092    | Credit Suisse AG – China Life European Warrants Nov 2010 B    | 300,000,000 | 2010/08/12 | 2010/11/29 | 45.00          |
| 24095    | Credit Suisse AG – China Life European Put Warrants Nov 2010  | 300,000,000 | 2010/08/12 | 2010/11/29 | 45.00          |
| 24096    | Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2012    | 150,000,000 | 2010/08/12 | 2012/06/29 | 22.50          |
| 24097    | Citigroup Global Mkt H Inc. – ICBC European Wts Jan 2011      | 80,000,000  | 2010/08/12 | 2011/01/17 | 20.00          |
| 24099    | Deutsche Bank AG – China Mobile European Warrants Feb 2011 A  | 200,000,000 | 2010/08/12 | 2011/02/21 | 54.00          |
| 24100    | Deutsche Bank AG – Wynn Macau European Warrants Mar 2011      | 100,000,000 | 2010/08/12 | 2011/03/14 | 25.00          |
| 24093    | HK Bank – China Mobile European Put Warrants Feb 2011         | 100,000,000 | 2010/08/12 | 2011/02/14 | 25.00          |
| 24094    | HK Bank – China Mobile European Put Warrants Dec 2011         | 100,000,000 | 2010/08/12 | 2011/12/29 | 25.00          |
| 24089    | J P Morgan SP BV – CNOOC European Warrants Jan 2011           | 200,000,000 | 2010/08/12 | 2011/01/24 | 30.00          |
| 24090    | J P Morgan SP BV – A50 China European Warrants Jun 2011       | 200,000,000 | 2010/08/12 | 2011/06/23 | 30.00          |
| 24101    | KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Mar 2011    | 80,000,000  | 2010/08/12 | 2011/03/08 | 24.80          |
| 24109    | KBC Fin Products Int'l Ltd. – CITIC Bank Eur Put Wt Mar 2011  | 80,000,000  | 2010/08/12 | 2011/03/10 | 39.20          |
| 24102    | KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Feb 2011 A  | 108,000,000 | 2010/08/12 | 2011/02/14 | 29.16          |
| 24110    | KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Jul 2011     | 108,000,000 | 2010/08/12 | 2011/07/08 | 27.00          |
| 24103    | KBC Fin Products Int'l Ltd. – CRCC European Wts Aug 2011      | 100,000,000 | 2010/08/12 | 2011/08/08 | 25.00          |
| 24111    | KBC Fin Products Int'l Ltd. – CRCC European Put Wts Aug11     | 100,000,000 | 2010/08/12 | 2011/08/08 | 25.00          |
| 24104    | KBC Fin Products Int'l Ltd. – Esprit European Wts May 2011    | 80,000,000  | 2010/08/12 | 2011/05/18 | 20.00          |
| 24105    | KBC Fin Products Int'l Ltd. – Huaneng Power Eur Wts Jul 2011  | 58,000,000  | 2010/08/12 | 2011/07/04 | 40.02          |
| 24112    | KBC Fin Products Int'l Ltd. -HuanengPower Euro Put Wt Jun11   | 58,000,000  | 2010/08/12 | 2011/06/10 | 35.38          |
| 24107    | KBC Fin Products Int'l Ltd. – Li & Fung European Wts Jun11    | 58,000,000  | 2010/08/12 | 2011/06/08 | 14.50          |
| 24113    | KBC Fin Products Int'l Ltd. – Li & Fung Euro Put Wt Jun11     | 58,000,000  | 2010/08/12 | 2011/06/03 | 24.94          |
| 24106    | KBC Fin Products Int'l Ltd. – New World European Wts Jun11    | 80,000,000  | 2010/08/12 | 2011/06/01 | 20.00          |
| 24114    | KBC Fin Products Int'l Ltd. – New World Euro Put Wt May11     | 80,000,000  | 2010/08/12 | 2011/05/09 | 20.00          |
| 24108    | KBC Fin Products Int'l Ltd. – Wharf European Warrants Jun 11  | 80,000,000  | 2010/08/12 | 2011/06/10 | 29.60          |
| 24098    | Macquarie Bank Ltd. – Henganintl European Warrants Feb 2011   | 180,000,000 | 2010/08/12 | 2011/02/14 | 64.80          |
| 24079    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2012    | 200,000,000 | 2010/08/12 | 2012/10/26 | 30.00          |
| 24080    | Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2012    | 200,000,000 | 2010/08/12 | 2012/12/03 | 50.00          |
| 24117    | CC Rabobank B.A. – Ch Mobile European Put Wts Dec 2011        | 200,000,000 | 2010/08/12 | 2011/12/23 | 30.00          |
| 24118    | CC Rabobank B.A. – China Life European Put Warrants Jan 2011  | 200,000,000 | 2010/08/12 | 2011/01/07 | 40.00          |
| 24115    | CC Rabobank B.A. – Ch Mobile European Warrants Jan 2011 B     | 100,000,000 | 2010/08/12 | 2011/01/21 | 37.00          |
| 24116    | CC Rabobank B.A. – Ch Mobile European Warrants Feb 2011 B     | 100,000,000 | 2010/08/12 | 2011/02/22 | 29.00          |
| 24081    | UBS AG – China Mobile European Warrants Feb 2011 B            | 100,000,000 | 2010/08/12 | 2011/02/28 | 25.00          |
| 19230#   | Credit Suisse – China Mobile European Put Warrants Oct 2010   | 500,000,000 | 2010/08/12 | 2010/10/25 | 10.50          |
| 24146    | Credit Suisse AG – Hutchison European Put Warrants Feb 2011   | 300,000,000 | 2010/08/13 | 2011/02/14 | 75.00          |
| 24142    | Credit Suisse AG – Hutchison European Warrants Feb 2011 A     | 300,000,000 | 2010/08/13 | 2011/02/14 | 75.00          |
| 24159    | Credit Suisse AG – Hutchison European Warrants Feb 2011 B     | 300,000,000 | 2010/08/13 | 2011/02/21 | 75.00          |
| 24120    | Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Jun 2011   | 80,000,000  | 2010/08/13 | 2011/06/27 | 20.00          |
| 24119    | Citigroup Global Mkt H Inc. – C Transmission Euro Wt Jun11    | 80,000,000  | 2010/08/13 | 2011/06/27 | 20.00          |



# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24121    | Citigroup Global Mkt H Inc. – Hang Lung Ppt Euro Wts May2011 | 50,000,000  | 2010/08/13 | 2011/05/11 | 12.50          |
| 24124    | Citigroup Global Mkt H Inc. – HSI European Warrants Feb2011A | 80,000,000  | 2010/08/13 | 2011/02/25 | 12.00          |
| 24128    | Citigroup Global Mkt H Inc. – HSI European Put Wts Jan 2011  | 80,000,000  | 2010/08/13 | 2011/01/28 | 12.00          |
| 24122    | Citigroup Global Mkt H Inc. – MTRC European Wts May 2011     | 50,000,000  | 2010/08/13 | 2011/05/23 | 12.50          |
| 24123    | Citigroup Global Mkt H Inc. – Skyworth Digital Euro Wt Mar11 | 80,000,000  | 2010/08/13 | 2011/03/22 | 12.00          |
| 24144    | Deutsche Bank AG – Agricultural Bk European Warrants Jan 11  | 150,000,000 | 2010/08/13 | 2011/01/12 | 22.50          |
| 24145    | Deutsche Bank AG – Agricultural Bk European Warrants Mar 11  | 150,000,000 | 2010/08/13 | 2011/03/21 | 22.50          |
| 24149    | Deutsche Bank AG – Hutchison European Warrants Feb 2011 A    | 150,000,000 | 2010/08/13 | 2011/02/14 | 52.50          |
| 24162    | Deutsche Bank AG – Hutchison European Warrants Mar 2011 A    | 150,000,000 | 2010/08/13 | 2011/03/15 | 46.50          |
| 24166    | Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2011     | 200,000,000 | 2010/08/13 | 2011/02/21 | 50.00          |
| 24164    | Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2011 A     | 200,000,000 | 2010/08/13 | 2011/03/21 | 50.00          |
| 24167    | Goldman Sachs SP (Asia)-Sinopec Corp European Wts Feb11A     | 200,000,000 | 2010/08/13 | 2011/02/14 | 50.00          |
| 24168    | Goldman Sachs SP (Asia) – HKEx European Warrants Feb 2011    | 200,000,000 | 2010/08/13 | 2011/02/14 | 50.00          |
| 24169    | Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2011    | 200,000,000 | 2010/08/13 | 2011/02/14 | 50.00          |
| 24137    | J P Morgan SP BV – Agricultural Bk European Warrants Jan 11  | 150,000,000 | 2010/08/13 | 2011/01/10 | 38.10          |
| 24143    | J P Morgan SP BV – Agricultural Bk European Warrants Feb 11  | 150,000,000 | 2010/08/13 | 2011/02/25 | 37.50          |
| 24138    | J P Morgan SP BV – Cathay Pacific European Warrants May 2011 | 120,000,000 | 2010/08/13 | 2011/05/30 | 30.72          |
| 24163    | KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2010 C    | 128,000,000 | 2010/08/13 | 2010/12/01 | 26.24          |
| 24165    | KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 11D  | 70,000,000  | 2010/08/13 | 2011/02/14 | 95.20          |
| 24160    | KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 B  | 108,000,000 | 2010/08/13 | 2011/02/14 | 27.00          |
| 24161    | KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 C  | 108,000,000 | 2010/08/13 | 2011/02/14 | 27.00          |
| 24154    | Macquarie Bank Ltd. -Agricultural Bk European Warrants Mar11 | 168,000,000 | 2010/08/13 | 2011/03/21 | 25.37          |
| 24158    | Macquarie Bank Ltd. – Agricultural Bk European Put Wt Jan11  | 128,000,000 | 2010/08/13 | 2011/01/24 | 27.39          |
| 24155    | Macquarie Bank Ltd. – Cheung Kong European Warrants Mar 2011 | 200,000,000 | 2010/08/13 | 2011/03/03 | 50.80          |
| 24156    | Macquarie Bank Ltd. – Hutchison European Warrants Feb 2011 A | 200,000,000 | 2010/08/13 | 2011/02/14 | 50.00          |
| 24157    | Macquarie Bank Ltd. – Li & Fung European Warrants Feb 2011   | 200,000,000 | 2010/08/13 | 2011/02/14 | 50.20          |
| 24139    | CC Rabobank B.A. – Agricultural Bk European Warrants Jan 11  | 75,000,000  | 2010/08/13 | 2011/01/12 | 12.53          |
| 24140    | CC Rabobank B.A. – Agricultural Bk European Warrants Mar 11  | 75,000,000  | 2010/08/13 | 2011/03/28 | 12.75          |
| 24141    | CC Rabobank B.A. – Agricultural Bk European Put Wts Feb11    | 75,000,000  | 2010/08/13 | 2011/02/22 | 20.25          |
| 24148    | Standard Chartered Bank – China Life European Warrants Apr11 | 200,000,000 | 2010/08/13 | 2011/04/28 | 50.00          |
| 24147    | Standard Chartered Bank – HSBC European Warrants Mar 2011    | 100,000,000 | 2010/08/13 | 2011/03/16 | 41.00          |
| 24129    | SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2011   | 120,000,000 | 2010/08/13 | 2011/04/18 | 39.60          |
| 24127    | SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2011 B | 120,000,000 | 2010/08/13 | 2011/01/31 | 24.00          |
| 24130    | SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Feb 2011 | 100,000,000 | 2010/08/13 | 2011/02/14 | 61.00          |
| 24131    | SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Jun 2011 | 100,000,000 | 2010/08/13 | 2011/06/08 | 25.00          |
| 24132    | SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011A | 200,000,000 | 2010/08/13 | 2011/04/04 | 34.00          |
| 24133    | SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 A | 300,000,000 | 2010/08/13 | 2011/07/18 | 45.00          |
| 24134    | SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2011   | 300,000,000 | 2010/08/13 | 2011/12/12 | 60.00          |
| 24126    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11A | 300,000,000 | 2010/08/13 | 2011/02/25 | 90.00          |
| 24125    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec10K  | 300,000,000 | 2010/08/13 | 2010/12/30 | 63.00          |
| 24135    | SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jan 2011   | 100,000,000 | 2010/08/13 | 2011/01/03 | 19.00          |
| 24150    | SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Nov10C  | 200,000,000 | 2010/08/13 | 2010/11/15 | 30.00          |
| 24151    | SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb11C  | 200,000,000 | 2010/08/13 | 2011/02/23 | 36.00          |
| 24136    | SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt May11  | 100,000,000 | 2010/08/13 | 2011/05/18 | 28.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24152    | UBS AG – BOC HK European Warrants Mar 2011                   | 100,000,000 | 2010/08/13 | 2011/03/24 | 15.00          |
| 24153    | UBS AG – CNOOC European Warrants Jan 2011                    | 100,000,000 | 2010/08/13 | 2011/01/24 | 15.00          |
| 24174    | Barclays Bank plc – China Mobile European Wts Feb 2011       | 100,000,000 | 2010/08/16 | 2011/02/21 | 28.00          |
| 24175    | Barclays Bank plc – China Mobile European Wts Jun 2012       | 150,000,000 | 2010/08/16 | 2012/06/18 | 24.00          |
| 24178    | Barclays Bank plc – China Mobile European Put Wts Feb 2011   | 100,000,000 | 2010/08/16 | 2011/02/21 | 28.00          |
| 24176    | Barclays Bank plc – CC Bank European Warrants Mar 2011       | 100,000,000 | 2010/08/16 | 2011/03/07 | 43.00          |
| 24177    | Barclays Bank plc – CM Bank European Put Warrants Mar 2011   | 100,000,000 | 2010/08/16 | 2011/03/21 | 25.00          |
| 24194    | Credit Suisse AG – China Mobile European Warrants Feb 2011   | 300,000,000 | 2010/08/16 | 2011/02/16 | 75.00          |
| 24170    | Citigroup Global Mkt H Inc. – BOCL European Wts Mar 2011     | 80,000,000  | 2010/08/16 | 2011/03/28 | 20.00          |
| 24171    | Citigroup Global Mkt H Inc. – Hutchison Euro Put Wt Feb 2011 | 80,000,000  | 2010/08/16 | 2011/02/25 | 20.00          |
| 24172    | Citigroup Global Mkt H Inc. – Sands China European Wts Apr11 | 80,000,000  | 2010/08/16 | 2011/04/18 | 20.00          |
| 24173    | Citigroup Global Mkt H Inc. – Wynn Macau European Wts May11  | 80,000,000  | 2010/08/16 | 2011/05/30 | 20.00          |
| 24184    | Deutsche Bank AG – Minsheng Bank European Warrants Feb 2011  | 150,000,000 | 2010/08/16 | 2011/02/28 | 70.50          |
| 24185    | Deutsche Bank AG – Hutchison European Put Warrants Feb 2011  | 100,000,000 | 2010/08/16 | 2011/02/28 | 33.00          |
| 24188    | J P Morgan SP BV – HKEx European Warrants Aug 2011 A         | 300,000,000 | 2010/08/16 | 2011/08/08 | 45.00          |
| 24187    | J P Morgan SP BV – Hutchison European Warrants Feb 2011 A    | 100,000,000 | 2010/08/16 | 2011/02/16 | 28.30          |
| 24191    | J P Morgan SP BV – Hutchison European Put Warrants Feb 2011  | 100,000,000 | 2010/08/16 | 2011/02/16 | 28.40          |
| 24189    | J P Morgan SP BV – Tencent European Warrants Feb 2011        | 200,000,000 | 2010/08/16 | 2011/02/14 | 30.00          |
| 24196    | KBC Fin Products Int'l Ltd. – BOC HK European Wts May 2011   | 128,000,000 | 2010/08/16 | 2011/05/23 | 19.20          |
| 24195    | KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2011 D   | 128,000,000 | 2010/08/16 | 2011/01/26 | 19.20          |
| 24197    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 A | 208,000,000 | 2010/08/16 | 2011/03/01 | 35.36          |
| 24199    | KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Feb 2011 C      | 100,000,000 | 2010/08/16 | 2011/02/25 | 25.00          |
| 24200    | KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Feb 2011 D      | 100,000,000 | 2010/08/16 | 2011/02/25 | 25.00          |
| 24201    | KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 11E  | 138,000,000 | 2010/08/16 | 2011/02/16 | 34.50          |
| 24198    | KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Apr 11  | 128,000,000 | 2010/08/16 | 2011/04/18 | 19.20          |
| 24202    | KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Jun 11 | 128,000,000 | 2010/08/16 | 2011/06/01 | 32.00          |
| 24179    | Macquarie Bank Ltd. – China EB Ltd European Warrants Feb 11  | 168,000,000 | 2010/08/16 | 2011/02/28 | 42.17          |
| 24181    | Macquarie Bank Ltd. – Ch Oilfield European Warrants Mar 2011 | 200,000,000 | 2010/08/16 | 2011/03/02 | 50.00          |
| 24180    | Macquarie Bank Ltd. – Minsheng Bank European Warrants Feb 11 | 88,000,000  | 2010/08/16 | 2011/02/16 | 22.00          |
| 24206    | CC Rabobank B.A. – ChiUnicom European Warrants Jul 2011      | 200,000,000 | 2010/08/16 | 2011/07/29 | 50.00          |
| 24203    | CC Rabobank B.A. – CC Bank European Warrants Feb 2011 B      | 75,000,000  | 2010/08/16 | 2011/02/21 | 18.75          |
| 24207    | CC Rabobank B.A. – CITIC Pacific European Warrants Mar 2011  | 150,000,000 | 2010/08/16 | 2011/03/30 | 37.50          |
| 24204    | CC Rabobank B.A. – China Life European Warrants Feb 2011 A   | 50,000,000  | 2010/08/16 | 2011/02/25 | 12.50          |
| 24205    | CC Rabobank B.A. – Sinopec Corp European Warrants Feb 2011   | 75,000,000  | 2010/08/16 | 2011/02/16 | 18.75          |
| 24208    | CC Rabobank B.A. – Hutchison European Warrants Feb 2011 B    | 75,000,000  | 2010/08/16 | 2011/02/16 | 18.75          |
| 24209    | CC Rabobank B.A. – ICBC European Warrants Feb 2011 B         | 75,000,000  | 2010/08/16 | 2011/02/21 | 18.75          |
| 24210    | CC Rabobank B.A. – MTRC European Warrants Feb 2011           | 75,000,000  | 2010/08/16 | 2011/02/25 | 18.75          |
| 24211    | CC Rabobank B.A. – Tencent Holdings European Put Wts Feb 11  | 150,000,000 | 2010/08/16 | 2011/02/25 | 37.50          |
| 24212    | CC Rabobank B.A. – Zijin Mining European Warrants Feb 2011   | 75,000,000  | 2010/08/16 | 2011/02/18 | 48.75          |
| 24192    | RB of Scotland N.V. – HSI European Warrants Nov 2010 G       | 250,000,000 | 2010/08/16 | 2010/11/29 | 37.50          |
| 24186    | SGA Societe Generale Acceptance N.V. – CMB Euro Wts Dec 10B  | 100,000,000 | 2010/08/16 | 2010/12/29 | 21.00          |
| 24190    | UBS AG – BOCL European Warrants Jan 2011 A                   | 100,000,000 | 2010/08/16 | 2011/01/26 | 15.00          |
| 24193    | UBS AG – HSI European Put Warrants Dec 2010 J                | 200,000,000 | 2010/08/16 | 2010/12/30 | 30.00          |
| 24182    | UBS AG – Hutchison European Warrants Feb 2011 A              | 100,000,000 | 2010/08/16 | 2011/02/16 | 25.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 24183    | UBS AG – Hutchison European Warrants Feb 2011 B               | 100,000,000 | 2010/08/16 | 2011/02/21 | 25.00          |
| 23319#   | UBS AG – CC Bank European Warrants Nov 2010 A                 | 100,000,000 | 2010/08/16 | 2010/11/18 | 10.20          |
| 24243    | Barclays Bank plc – HSI European Warrants Mar 2011 A          | 150,000,000 | 2010/08/17 | 2011/03/30 | 37.50          |
| 24244    | Barclays Bank plc – HSI European Warrants Mar 2011 B          | 150,000,000 | 2010/08/17 | 2011/03/30 | 37.50          |
| 24245    | Barclays Bank plc – HSI European Put Warrants Feb 2011 D      | 150,000,000 | 2010/08/17 | 2011/02/25 | 37.50          |
| 24246    | Barclays Bank plc – HSI European Put Warrants Feb 2011 E      | 150,000,000 | 2010/08/17 | 2011/02/25 | 37.50          |
| 24247    | Barclays Bank plc – HSI European Put Warrants Feb 2011 F      | 150,000,000 | 2010/08/17 | 2011/02/25 | 37.50          |
| 24236    | BOCI Asia Ltd. – BankComm European Warrants Nov 2012          | 100,000,000 | 2010/08/17 | 2012/11/29 | 25.00          |
| 24237    | BOCI Asia Ltd. – Hutchison European Warrants Jun 2011         | 100,000,000 | 2010/08/17 | 2011/06/13 | 35.00          |
| 24241    | BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jan 2011     | 100,000,000 | 2010/08/17 | 2011/01/24 | 15.00          |
| 24242    | BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Jul 2011   | 100,000,000 | 2010/08/17 | 2011/07/29 | 25.00          |
| 24254    | Deutsche Bank AG – China Overseas European Warrants Apr 2011  | 100,000,000 | 2010/08/17 | 2011/04/04 | 25.00          |
| 24255    | Deutsche Bank AG – WISE CSI European Warrants Mar 2011        | 100,000,000 | 2010/08/17 | 2011/03/21 | 34.00          |
| 24259    | Deutsche Bank AG – WISE CSI European Put Warrants Mar 2011    | 100,000,000 | 2010/08/17 | 2011/03/21 | 30.00          |
| 24234    | HK Bank – Agricultural Bank European Warrants Apr 2011        | 100,000,000 | 2010/08/17 | 2011/04/26 | 25.00          |
| 24238    | HK Bank – Agricultural Bank European Put Warrants Apr 2011    | 100,000,000 | 2010/08/17 | 2011/04/26 | 25.00          |
| 24235    | HK Bank – HWL European Warrants Feb 2011                      | 120,000,000 | 2010/08/17 | 2011/02/18 | 31.20          |
| 24250    | J P Morgan SP BV – Alibaba European Warrants Jan 2011         | 150,000,000 | 2010/08/17 | 2011/01/24 | 22.50          |
| 24251    | J P Morgan SP BV – China Agri European Warrants Dec 2011      | 120,000,000 | 2010/08/17 | 2011/12/29 | 30.00          |
| 24252    | J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2011 A  | 100,000,000 | 2010/08/17 | 2011/02/17 | 31.50          |
| 24223    | Macquarie Bank Ltd. – Anhui Conch European Warrants Jun 2011  | 200,000,000 | 2010/08/17 | 2011/06/21 | 50.20          |
| 24222    | Macquarie Bank Ltd. – Alibaba European Warrants May 2011      | 168,800,000 | 2010/08/17 | 2011/05/30 | 42.20          |
| 24224    | Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 C    | 288,000,000 | 2010/08/17 | 2011/01/12 | 43.49          |
| 24225    | Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 B    | 300,000,000 | 2010/08/17 | 2011/02/17 | 75.00          |
| 24228    | Macquarie Bank Ltd. – CNBM European Warrants Jun 2011         | 200,000,000 | 2010/08/17 | 2011/06/17 | 50.00          |
| 24226    | Macquarie Bank Ltd. – Sinopec Corp European Wts Nov 2010      | 188,000,000 | 2010/08/17 | 2010/11/23 | 31.96          |
| 24248    | Macquarie Bank Ltd. – Chi Res Land European Wts Feb 2011      | 100,000,000 | 2010/08/17 | 2011/02/25 | 25.00          |
| 24229    | Macquarie Bank Ltd. – China Unicom European Wts Aug 2011 B    | 200,000,000 | 2010/08/17 | 2011/08/26 | 30.00          |
| 24230    | Macquarie Bank Ltd. – R&F Ppt European Warrants Jul 2011      | 150,000,000 | 2010/08/17 | 2011/07/04 | 37.50          |
| 24240    | Macquarie Bank Ltd. – HSBC European Put Warrants Jan 2011     | 200,000,000 | 2010/08/17 | 2011/01/04 | 67.00          |
| 24239    | Macquarie Bank Ltd. – HSBC European Warrants Feb 2011 B       | 200,000,000 | 2010/08/17 | 2011/02/17 | 50.40          |
| 24227    | Macquarie Bank Ltd. – KECL European Warrants Sep 2011         | 200,000,000 | 2010/08/17 | 2011/09/30 | 50.00          |
| 24231    | Macquarie Bank Ltd. – Lee & Man Paper European Wts Dec 2011   | 231,400,000 | 2010/08/17 | 2011/12/22 | 34.71          |
| 24232    | Macquarie Bank Ltd. – Maanshan Iron European Wts Feb 2011     | 100,000,000 | 2010/08/17 | 2011/02/17 | 25.10          |
| 24233    | Macquarie Bank Ltd. – MTRC European Warrants May 2011         | 200,000,000 | 2010/08/17 | 2011/05/04 | 50.00          |
| 24249    | Macquarie Bank Ltd. – Yurun Food European Warrants Feb 2011   | 100,000,000 | 2010/08/17 | 2011/02/25 | 25.00          |
| 24213    | Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Jan 2011  | 100,000,000 | 2010/08/17 | 2011/01/24 | 28.50          |
| 24214    | Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Apr 2011  | 100,000,000 | 2010/08/17 | 2011/04/18 | 25.50          |
| 24215    | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun 2011  | 150,000,000 | 2010/08/17 | 2011/06/13 | 48.75          |
| 24216    | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Sep 2011  | 150,000,000 | 2010/08/17 | 2011/09/12 | 44.25          |
| 24220    | Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 2011 | 100,000,000 | 2010/08/17 | 2011/04/11 | 49.50          |
| 24217    | Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Dec 2010  | 100,000,000 | 2010/08/17 | 2010/12/28 | 15.10          |
| 24221    | Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Put Wt Apr 2011 | 100,000,000 | 2010/08/17 | 2011/04/18 | 26.50          |
| 24218    | Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2011 A  | 150,000,000 | 2010/08/17 | 2011/02/14 | 22.50          |



# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24219    | Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2011 B | 150,000,000 | 2010/08/17 | 2011/02/15 | 24.00          |
| 15415#   | Macquarie Bank Ltd. – Hutchison European Warrants Jul 2011   | 400,000,000 | 2010/08/17 | 2011/07/25 | 28.40          |
| 18775#   | Deutsche Bank AG – Cheung Kong European Warrants Oct 2010    | 300,000,000 | 2010/08/17 | 2010/10/25 | 23.40          |
| 22379#   | Deutsche Bank AG – A50 China European Warrants Nov 2010      | 300,000,000 | 2010/08/17 | 2010/11/22 | 13.50          |
| 22142#   | KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2010    | 120,000,000 | 2010/08/17 | 2010/11/01 | 29.16          |
| 23225#   | KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Dec 2010 | 138,000,000 | 2010/08/17 | 2010/12/28 | 10.49          |
| 19855#   | Merrill Lynch Int'l & Co. C.V. -Hutchison Euro Put Wts Nov10 | 70,000,000  | 2010/08/17 | 2010/11/08 | 13.09          |
| 22477#   | UBS AG – A50 China European Warrants Nov 2010 B              | 300,000,000 | 2010/08/17 | 2010/11/22 | 13.20          |
| 21834#   | UBS AG – HKEx European Warrants Dec 2010 C                   | 300,000,000 | 2010/08/17 | 2010/12/06 | 14.70          |
| 15225#   | UBS AG – Hutchison European Warrants Jul 2011                | 500,000,000 | 2010/08/17 | 2011/07/25 | 37.50          |
| 14631#   | UBS AG – Hutchison European Warrants Dec 2010 A              | 500,000,000 | 2010/08/17 | 2010/12/06 | 11.50          |
| 24262    | Citigroup Global Mkt H Inc. – Belle Int'l European Wts Jun11 | 80,000,000  | 2010/08/18 | 2011/06/27 | 20.00          |
| 24269    | Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2011    | 80,000,000  | 2010/08/18 | 2011/02/28 | 20.00          |
| 24263    | Citigroup Global Mkt H Inc. – KECL European Warrants Aug2011 | 100,000,000 | 2010/08/18 | 2011/08/18 | 25.00          |
| 24276    | Deutsche Bank AG – China Life European Warrants Nov 2010 C   | 300,000,000 | 2010/08/18 | 2010/11/22 | 45.00          |
| 24277    | Deutsche Bank AG – ICBC European Warrants Nov 2010 B         | 200,000,000 | 2010/08/18 | 2010/11/22 | 50.00          |
| 24278    | Deutsche Bank AG – ICBC European Warrants Nov 2010 C         | 200,000,000 | 2010/08/18 | 2010/11/22 | 34.00          |
| 24270    | Deutsche Bank AG – Jiangxi Copper European Warrants Mar 2011 | 100,000,000 | 2010/08/18 | 2011/03/28 | 25.00          |
| 24271    | Deutsche Bank AG – Yanzhou Coal European Warrants Mar 2011   | 100,000,000 | 2010/08/18 | 2011/03/21 | 25.00          |
| 24273    | J P Morgan SP BV – BEA European Warrants Feb 2011            | 80,000,000  | 2010/08/18 | 2011/02/18 | 20.00          |
| 24272    | J P Morgan SP BV – BankComm European Warrants Apr 2012       | 300,000,000 | 2010/08/18 | 2012/04/27 | 75.00          |
| 24274    | J P Morgan SP BV – Henderson Land Dev European Wts Feb 2011  | 80,000,000  | 2010/08/18 | 2011/02/18 | 20.00          |
| 24268    | KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 F  | 120,000,000 | 2010/08/18 | 2011/02/18 | 30.00          |
| 24264    | Macquarie Bank Ltd. – Hang Seng Bank European Wts Mar 2011   | 200,000,000 | 2010/08/18 | 2011/03/02 | 50.00          |
| 24267    | Macquarie Bank Ltd. – Hutchison European Put Wts Mar 2011    | 200,000,000 | 2010/08/18 | 2011/03/02 | 58.00          |
| 24275    | Macquarie Bank Ltd. – Hutchison European Warrants Feb 2011 B | 200,000,000 | 2010/08/18 | 2011/02/18 | 50.20          |
| 24266    | Macquarie Bank Ltd. – Tencent European Warrants Jul 2011     | 250,000,000 | 2010/08/18 | 2011/07/04 | 62.75          |
| 24265    | CC Rabobank B.A. – CC Bank European Warrants Dec 2010 C      | 200,000,000 | 2010/08/18 | 2010/12/23 | 30.00          |
| 24256    | SGA Societe Generale Acceptance N.V. – BBMG Euro Wts Apr11   | 200,000,000 | 2010/08/18 | 2011/04/04 | 50.00          |
| 24257    | SGA Societe Generale Acceptance N.V. – BBMG Euro Wts Sep11   | 200,000,000 | 2010/08/18 | 2011/09/05 | 50.00          |
| 24258    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 E | 300,000,000 | 2010/08/18 | 2011/01/28 | 66.00          |
| 24260    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 F | 300,000,000 | 2010/08/18 | 2011/01/28 | 60.00          |
| 24261    | SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2011 C | 120,000,000 | 2010/08/18 | 2011/01/10 | 21.60          |
| 24279    | UBS AG – A50 China European Warrants Nov 2011                | 200,000,000 | 2010/08/18 | 2011/11/21 | 30.00          |
| 21089#   | Macquarie Bank Ltd. – HSCEI European Warrants Oct 2010       | 200,000,000 | 2010/08/18 | 2010/10/28 | 10.20          |
| 22187#   | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Sep10C | 300,000,000 | 2010/08/18 | 2010/09/29 | 10.50          |
| 24301    | Deutsche Bank AG – BOCL European Warrants Dec 2010 C         | 200,000,000 | 2010/08/19 | 2010/12/28 | 30.00          |
| 24302    | Deutsche Bank AG – Cheung Kong European Warrants Jun 2011    | 200,000,000 | 2010/08/19 | 2011/06/28 | 30.00          |
| 24303    | Deutsche Bank AG – Cheung Kong European Warrants Oct 2011    | 200,000,000 | 2010/08/19 | 2011/10/24 | 30.00          |
| 24304    | Deutsche Bank AG – China Life European Warrants Feb 2011     | 300,000,000 | 2010/08/19 | 2011/02/21 | 81.00          |
| 24305    | Deutsche Bank AG – HSBC European Warrants Feb 2011           | 200,000,000 | 2010/08/19 | 2011/02/21 | 76.00          |
| 24293    | J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2011 B | 100,000,000 | 2010/08/19 | 2011/02/21 | 25.00          |
| 24297    | J P Morgan SP BV – C Transmission European Warrants Feb 2011 | 100,000,000 | 2010/08/19 | 2011/02/21 | 25.00          |
| 24294    | J P Morgan SP BV – CNBM European Warrants Feb 2011           | 100,000,000 | 2010/08/19 | 2011/02/21 | 25.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24298    | J P Morgan SP BV – Fushan Energy European Warrants Feb 2011  | 80,000,000  | 2010/08/19 | 2011/02/21 | 20.00          |
| 24295    | J P Morgan SP BV – HSBC European Put Warrants Dec 2011       | 388,000,000 | 2010/08/19 | 2011/12/12 | 58.20          |
| 24299    | J P Morgan SP BV – JX Copper European Warrants Feb 2011      | 200,000,000 | 2010/08/19 | 2011/02/21 | 50.00          |
| 24300    | J P Morgan SP BV – KECL European Warrants Jun 2011           | 188,000,000 | 2010/08/19 | 2011/06/22 | 28.20          |
| 24296    | J P Morgan SP BV – SHK Ppt European Warrants Aug 2011        | 200,000,000 | 2010/08/19 | 2011/08/29 | 30.00          |
| 24290    | Macquarie Bank Ltd. – CITIC Bank European Warrants Mar 2011  | 100,000,000 | 2010/08/19 | 2011/03/02 | 25.60          |
| 24289    | Macquarie Bank Ltd. – HSI European Warrants Feb 2011         | 200,000,000 | 2010/08/19 | 2011/02/25 | 30.00          |
| 24288    | Macquarie Bank Ltd. – HSI European Warrants Dec 2010 C       | 200,000,000 | 2010/08/19 | 2010/12/30 | 30.00          |
| 24291    | Macquarie Bank Ltd. – Huaneng Power European Wts Mar 2011    | 100,000,000 | 2010/08/19 | 2011/03/02 | 25.20          |
| 24292    | Macquarie Bank Ltd. – ZTE European Warrants Mar 2011         | 200,000,000 | 2010/08/19 | 2011/03/02 | 51.60          |
| 24280    | Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Mar 2011    | 100,000,000 | 2010/08/19 | 2011/03/28 | 20.30          |
| 24281    | Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts May 2011 A  | 100,000,000 | 2010/08/19 | 2011/05/23 | 15.20          |
| 24284    | Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Feb 2011 | 100,000,000 | 2010/08/19 | 2011/02/28 | 15.10          |
| 24287    | Merrill Lynch Int'l & Co. C.V.-Ch Overseas Eur Put Wts Jan11 | 100,000,000 | 2010/08/19 | 2011/01/25 | 18.00          |
| 24282    | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011 B | 150,000,000 | 2010/08/19 | 2011/01/24 | 30.75          |
| 24283    | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011 C | 150,000,000 | 2010/08/19 | 2011/01/13 | 22.65          |
| 24285    | Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Mar 11A  | 100,000,000 | 2010/08/19 | 2011/03/14 | 25.50          |
| 24286    | Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Mar 11B  | 100,000,000 | 2010/08/19 | 2011/03/15 | 17.80          |
| 24306    | RB of Scotland N.V. – China Mobile European Wts Feb 2011     | 100,000,000 | 2010/08/19 | 2011/02/21 | 35.00          |
| 24307    | RB of Scotland N.V. – China Mobile European Wts Jun 2012     | 300,000,000 | 2010/08/19 | 2012/06/18 | 45.00          |
| 24308    | RB of Scotland N.V. – China Mobile European Put Wts Dec11    | 300,000,000 | 2010/08/19 | 2011/12/21 | 45.00          |
| 24309    | RB of Scotland N.V. – HKEx European Put Warrants Mar 2011    | 200,000,000 | 2010/08/19 | 2011/03/11 | 30.00          |
| 14560*   | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Dec 2010 | 600,000,000 | 2010/08/19 | 2010/12/24 | 13.80          |
| 24311    | Citigroup Global Mkt H Inc. – Bank of EA European Wts Apr 11 | 90,000,000  | 2010/08/20 | 2011/04/11 | 22.50          |
| 24318    | Deutsche Bank AG – Air China European Warrants Dec 2010      | 100,000,000 | 2010/08/20 | 2010/12/28 | 15.00          |
| 24319    | Deutsche Bank AG – Cathay Pacific European Warrants Apr 2011 | 100,000,000 | 2010/08/20 | 2011/04/18 | 25.00          |
| 24320    | Deutsche Bank AG – Dongfeng Motor European Warrants Mar 11   | 100,000,000 | 2010/08/20 | 2011/03/28 | 15.00          |
| 24317    | Deutsche Bank AG – HSI European Warrants Feb 2011 A          | 300,000,000 | 2010/08/20 | 2011/02/25 | 75.00          |
| 24321    | Deutsche Bank AG – HSI European Put Warrants Feb 2011 E      | 300,000,000 | 2010/08/20 | 2011/02/25 | 75.00          |
| 24322    | Deutsche Bank AG – Hutchison European Warrants Feb 2011 B    | 200,000,000 | 2010/08/20 | 2011/02/21 | 58.00          |
| 24323    | Deutsche Bank AG – Hutchison European Warrants Mar 2011 B    | 200,000,000 | 2010/08/20 | 2011/03/29 | 50.00          |
| 24328    | Goldman Sachs SP (Asia) – Agricultural Bk European Wts Feb11 | 200,000,000 | 2010/08/20 | 2011/02/28 | 50.00          |
| 24329    | Goldman Sachs SP (Asia) – Agricultural Bk European Wts Jun11 | 200,000,000 | 2010/08/20 | 2011/06/27 | 50.00          |
| 24330    | Goldman Sachs SP (Asia)-Sinopec Corp European Wts Feb11B     | 200,000,000 | 2010/08/20 | 2011/02/21 | 62.00          |
| 24312    | HK Bank – China Mobile European Warrants Feb 2011            | 120,000,000 | 2010/08/20 | 2011/02/21 | 30.00          |
| 24313    | HK Bank – China Mobile European Warrants Apr 2011            | 120,000,000 | 2010/08/20 | 2011/04/27 | 30.00          |
| 24314    | HK Bank – China Mobile European Warrants Jun 2012            | 160,000,000 | 2010/08/20 | 2012/06/18 | 24.00          |
| 24315    | J P Morgan SP BV – China Mob European Wts Feb 2011           | 100,000,000 | 2010/08/20 | 2011/02/22 | 27.90          |
| 24316    | J P Morgan SP BV – China Mob European Wts Jun 2012           | 388,000,000 | 2010/08/20 | 2012/06/18 | 59.36          |
| 24327    | CC Rabobank B.A. – A50 China European Warrants Jun 2011      | 200,000,000 | 2010/08/20 | 2011/06/03 | 30.00          |
| 24310    | SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2011   | 120,000,000 | 2010/08/20 | 2011/02/21 | 31.20          |
| 24324    | UBS AG – BOCL European Warrants Jan 2011 B                   | 100,000,000 | 2010/08/20 | 2011/01/03 | 15.00          |
| 24325    | UBS AG – CC Bank European Warrants Dec 2010 C                | 200,000,000 | 2010/08/20 | 2010/12/30 | 30.00          |
| 24326    | UBS AG – SPDR Gold Trust European Warrants Feb 2011          | 100,000,000 | 2010/08/20 | 2011/02/21 | 25.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 22166*   | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2010 B | 500,000,000 | 2010/08/20 | 2010/11/01 | 10.50          |
| 24350    | BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2011    | 100,000,000 | 2010/08/23 | 2011/04/04 | 30.00          |
| 24345    | Credit Suisse AG – Cheung Kong European Warrants Oct 2011    | 300,000,000 | 2010/08/23 | 2011/10/17 | 45.00          |
| 24346    | HK Bank – HSI European Put Warrants Jan 2011 A               | 160,000,000 | 2010/08/23 | 2011/01/28 | 24.00          |
| 24344    | J P Morgan SP BV – Cheung Kong European Warrants Oct 2011    | 200,000,000 | 2010/08/23 | 2011/10/17 | 30.00          |
| 24347    | J P Morgan SP BV – Hutchison European Warrants Feb 2011 B    | 100,000,000 | 2010/08/23 | 2011/02/14 | 22.00          |
| 24348    | J P Morgan SP BV – New World Dev European Warrants May 2011  | 100,000,000 | 2010/08/23 | 2011/05/20 | 15.00          |
| 24349    | J P Morgan SP BV – SHK Ppt European Warrants May 2011        | 200,000,000 | 2010/08/23 | 2011/05/23 | 30.20          |
| 24339    | Macquarie Bank Ltd. – Gome European Warrants Mar 2011        | 88,000,000  | 2010/08/23 | 2011/03/16 | 22.53          |
| 24340    | Macquarie Bank Ltd-Skyworth Digital European Warrants Sep 12 | 80,000,000  | 2010/08/23 | 2012/09/26 | 20.00          |
| 24341    | Macquarie Bank Ltd. – Soho China European Warrants Apr 2012  | 200,000,000 | 2010/08/23 | 2012/04/30 | 50.00          |
| 24342    | Macquarie Bank Ltd. – Zijin Mining European Wts Feb 2011     | 80,000,000  | 2010/08/23 | 2011/02/28 | 21.76          |
| 24338    | Merrill Lynch Int'l & Co. C.V. -BOCL European Put Wts Feb 11 | 100,000,000 | 2010/08/23 | 2011/02/28 | 30.50          |
| 24331    | Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2011A | 100,000,000 | 2010/08/23 | 2011/01/12 | 15.30          |
| 24332    | Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2011B | 100,000,000 | 2010/08/23 | 2011/01/26 | 15.00          |
| 24333    | Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2011B | 100,000,000 | 2010/08/23 | 2011/02/23 | 37.50          |
| 24336    | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2010 G    | 100,000,000 | 2010/08/23 | 2010/12/24 | 30.00          |
| 24335    | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Mar 2011 | 100,000,000 | 2010/08/23 | 2011/03/01 | 49.00          |
| 24334    | Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Feb 11B  | 100,000,000 | 2010/08/23 | 2011/02/28 | 30.50          |
| 24337    | Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Mar 2011  | 100,000,000 | 2010/08/23 | 2011/03/07 | 30.00          |
| 24351    | RB of Scotland N.V. – Agricultural Bk European Wts Feb11 A   | 100,000,000 | 2010/08/23 | 2011/02/28 | 25.20          |
| 24353    | RB of Scotland N.V. – China Mobile European Wts Jan 2011     | 100,000,000 | 2010/08/23 | 2011/01/24 | 39.40          |
| 24352    | RB of Scotland N.V. – Cheung Kong European Warrants Jun 2011 | 250,000,000 | 2010/08/23 | 2011/06/28 | 37.50          |
| 24354    | RB of Scotland N.V. – HKEx European Warrants Jul 2011 A      | 250,000,000 | 2010/08/23 | 2011/07/18 | 37.50          |
| 24355    | RB of Scotland N.V. – HSBC European Warrants Feb 2011 A      | 100,000,000 | 2010/08/23 | 2011/02/23 | 48.60          |
| 24356    | RB of Scotland N.V. – HWL European Warrants Feb 2011 A       | 100,000,000 | 2010/08/23 | 2011/02/23 | 26.30          |
| 24343    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2010C | 200,000,000 | 2010/08/23 | 2010/12/29 | 46.00          |
| 24367    | BOCI Asia Ltd. – Cheung Kong European Warrants Oct 2011      | 100,000,000 | 2010/08/24 | 2011/10/31 | 15.00          |
| 24371    | BNP Paribas Arbit Issu B.V. – Hutchison European Wts Mar 11B | 100,000,000 | 2010/08/24 | 2011/03/01 | 44.00          |
| 24379    | Credit Suisse AG – BOCL European Warrants Jan 2011           | 300,000,000 | 2010/08/24 | 2011/01/10 | 45.00          |
| 24374    | Credit Suisse AG – CC Bank European Warrants Feb 2011        | 300,000,000 | 2010/08/24 | 2011/02/28 | 75.00          |
| 24373    | Credit Suisse AG – CC Bank European Warrants Dec 2010 C      | 200,000,000 | 2010/08/24 | 2010/12/28 | 30.00          |
| 24378    | Credit Suisse AG – CM Bank European Warrants Dec 2010 B      | 200,000,000 | 2010/08/24 | 2010/12/28 | 30.00          |
| 24372    | Credit Suisse AG – Sinopec Corp European Warrants Nov 2010   | 200,000,000 | 2010/08/24 | 2010/11/24 | 30.00          |
| 24377    | Credit Suisse AG – ICBC European Warrants Jul 2012           | 300,000,000 | 2010/08/24 | 2012/07/03 | 45.00          |
| 24375    | Credit Suisse AG – ICBC European Warrants Dec 2010 D         | 200,000,000 | 2010/08/24 | 2010/12/20 | 30.00          |
| 24376    | Credit Suisse AG – ICBC European Warrants Jan 2011 B         | 300,000,000 | 2010/08/24 | 2011/01/24 | 45.00          |
| 24361    | Citigroup Global Mkt H Inc. – Anta Sports European Wts May11 | 100,000,000 | 2010/08/24 | 2011/05/30 | 25.00          |
| 24362    | Citigroup Global Mkt H Inc. – CM Bank European Wts Jul 2011  | 100,000,000 | 2010/08/24 | 2011/07/11 | 25.00          |
| 24368    | Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2011    | 120,000,000 | 2010/08/24 | 2011/06/03 | 18.00          |
| 24369    | Citigroup Global Mkt H Inc. – A50 China Euro Wts Jan 2012    | 120,000,000 | 2010/08/24 | 2012/01/16 | 30.00          |
| 24370    | Citigroup Global Mkt H Inc. – Henganintl European Wts Jun 12 | 100,000,000 | 2010/08/24 | 2012/06/25 | 25.00          |
| 24388    | Deutsche Bank AG – China Cosco European Warrants Nov 2011    | 100,000,000 | 2010/08/24 | 2011/11/07 | 18.00          |
| 24392    | Deutsche Bank AG – Henganintl European Warrants Feb 2011     | 100,000,000 | 2010/08/24 | 2011/02/28 | 52.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24389    | Deutsche Bank AG – HSI European Warrants Feb 2011 B          | 300,000,000 | 2010/08/24 | 2011/02/25 | 105.00         |
| 24390    | Deutsche Bank AG – HSI European Warrants Feb 2011 C          | 300,000,000 | 2010/08/24 | 2011/02/25 | 87.00          |
| 24391    | Deutsche Bank AG – HSI European Warrants Feb 2011 D          | 300,000,000 | 2010/08/24 | 2011/02/25 | 75.00          |
| 24393    | Deutsche Bank AG – HSI European Put Warrants Feb 2011 F      | 300,000,000 | 2010/08/24 | 2011/02/25 | 75.00          |
| 24394    | Deutsche Bank AG – HSI European Put Warrants Feb 2011 G      | 300,000,000 | 2010/08/24 | 2011/02/25 | 84.00          |
| 24395    | Deutsche Bank AG – HSI European Put Warrants Feb 2011 H      | 300,000,000 | 2010/08/24 | 2011/02/25 | 96.00          |
| 24403    | Deutsche Bank AG – SHK Ppt European Warrants Oct 2011        | 200,000,000 | 2010/08/24 | 2011/10/24 | 30.00          |
| 24380    | J P Morgan SP BV – Agile Ppt European Warrants Jul 2011      | 150,000,000 | 2010/08/24 | 2011/07/25 | 37.50          |
| 24381    | J P Morgan SP BV – Belle Int'l European Warrants Mar 2011    | 180,000,000 | 2010/08/24 | 2011/03/21 | 45.00          |
| 24382    | J P Morgan SP BV – China Shenhua European Warrants Feb 2011A | 100,000,000 | 2010/08/24 | 2011/02/24 | 25.00          |
| 24383    | J P Morgan SP BV – China Shenhua European Warrants Feb 2011B | 100,000,000 | 2010/08/24 | 2011/02/24 | 25.00          |
| 24384    | J P Morgan SP BV – Ch Zhongwang European Warrants Oct 2012   | 100,000,000 | 2010/08/24 | 2012/10/29 | 25.00          |
| 24385    | J P Morgan SP BV – R&F Ppt European Warrants Jun 2011        | 100,000,000 | 2010/08/24 | 2011/06/20 | 25.00          |
| 24386    | J P Morgan SP BV – HSBC European Warrants Dec 2011           | 388,000,000 | 2010/08/24 | 2011/12/12 | 59.75          |
| 24387    | Macquarie Bank Ltd. – Digital China European Warrants Mar 11 | 200,000,000 | 2010/08/24 | 2011/03/30 | 50.00          |
| 24357    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11A | 200,000,000 | 2010/08/24 | 2011/02/25 | 38.20          |
| 24358    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11B | 200,000,000 | 2010/08/24 | 2011/02/25 | 31.60          |
| 24359    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11C | 200,000,000 | 2010/08/24 | 2011/02/25 | 31.80          |
| 24360    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Nov 10J | 200,000,000 | 2010/08/24 | 2010/11/29 | 32.40          |
| 24363    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11D | 200,000,000 | 2010/08/24 | 2011/02/25 | 31.40          |
| 24364    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11E | 200,000,000 | 2010/08/24 | 2011/02/25 | 31.20          |
| 24365    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11F | 200,000,000 | 2010/08/24 | 2011/02/25 | 43.00          |
| 24366    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11G | 200,000,000 | 2010/08/24 | 2011/02/25 | 30.80          |
| 24396    | RB of Scotland N.V. – HKEx European Warrants Jul 2011 B      | 250,000,000 | 2010/08/24 | 2011/07/04 | 40.25          |
| 24398    | Standard Chartered Bank – China Mobile European Wts Apr11    | 100,000,000 | 2010/08/24 | 2011/04/28 | 47.50          |
| 24400    | Standard Chartered Bank – A50 China European Warrants Jun 11 | 250,000,000 | 2010/08/24 | 2011/06/08 | 38.25          |
| 24397    | Standard Chartered Bank – Hutchison European Euro Wts Mar11  | 100,000,000 | 2010/08/24 | 2011/03/08 | 25.50          |
| 24399    | Standard Chartered Bank – ICBC European Warrants Mar 2011    | 150,000,000 | 2010/08/24 | 2011/03/08 | 37.50          |
| 24402    | UBS AG – China Coal European Warrants Jan 2011               | 100,000,000 | 2010/08/24 | 2011/01/17 | 15.00          |
| 24401    | UBS AG – Cheung Kong European Warrants Oct 2011              | 100,000,000 | 2010/08/24 | 2011/10/17 | 15.00          |
| 22443*   | UBS AG – China Life European Warrants Nov 2010 A             | 200,000,000 | 2010/08/24 | 2010/11/08 | 24.40          |
| 24453    | BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2011   | 100,000,000 | 2010/08/25 | 2011/01/07 | 19.00          |
| 24452    | BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2011 A | 100,000,000 | 2010/08/25 | 2011/04/29 | 27.00          |
| 24454    | BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2011 B  | 100,000,000 | 2010/08/25 | 2011/02/28 | 38.00          |
| 24428    | Credit Suisse AG – BBMG European Warrants Mar 2011           | 300,000,000 | 2010/08/25 | 2011/03/28 | 45.00          |
| 24429    | Credit Suisse AG – BBMG European Warrants Aug 2011           | 300,000,000 | 2010/08/25 | 2011/08/29 | 45.00          |
| 24430    | Credit Suisse AG – BankComm European Warrants Apr 2012       | 300,000,000 | 2010/08/25 | 2012/04/23 | 45.00          |
| 24431    | Credit Suisse AG – BankComm European Warrants Nov 2012       | 300,000,000 | 2010/08/25 | 2012/11/26 | 45.00          |
| 24426    | Credit Suisse AG – China Shenhua European Warrants Jan 2011  | 300,000,000 | 2010/08/25 | 2011/01/31 | 45.00          |
| 24427    | Credit Suisse AG – A50 China European Warrants Jun 2011 A    | 300,000,000 | 2010/08/25 | 2011/06/07 | 45.00          |
| 24425    | Credit Suisse AG – Jiangxi Copper European Put Wts Apr11     | 300,000,000 | 2010/08/25 | 2011/04/11 | 45.00          |
| 24413    | Citigroup Global Mkt H Inc. – Anta Sports European Wts Mar11 | 80,000,000  | 2010/08/25 | 2011/03/28 | 20.00          |
| 24447    | Citigroup Global Mkt H Inc. -Chaoda Modern European Wt Aug11 | 80,000,000  | 2010/08/25 | 2011/08/30 | 20.00          |
| 24414    | Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Feb 2011 | 80,000,000  | 2010/08/25 | 2011/02/25 | 20.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24415    | Citigroup Global Mkt H Inc. – Chi Taiping European Wts Feb11 | 80,000,000  | 2010/08/25 | 2011/02/25 | 20.00          |
| 24416    | Citigroup Global Mkt H Inc. – Sh Ind H European Wts Jun 2011 | 80,000,000  | 2010/08/25 | 2011/06/27 | 20.00          |
| 24450    | Deutsche Bank AG – CITIC Pacific European Warrants Mar 2011B | 100,000,000 | 2010/08/25 | 2011/03/28 | 21.00          |
| 24448    | Deutsche Bank AG – Dongfeng Motor European Warrants Jun 11   | 100,000,000 | 2010/08/25 | 2011/06/07 | 25.00          |
| 24449    | Deutsche Bank AG – PICC P&C European Warrants Jul 2011       | 150,000,000 | 2010/08/25 | 2011/07/11 | 37.50          |
| 24411    | J P Morgan SP BV – Shineway Pharm European Warrants Feb 2011 | 100,000,000 | 2010/08/25 | 2011/02/25 | 25.00          |
| 24412    | J P Morgan SP BV – Evergrande European Warrants Feb 2011     | 100,000,000 | 2010/08/25 | 2011/02/25 | 25.00          |
| 24451    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 B | 128,000,000 | 2010/08/25 | 2011/01/21 | 32.00          |
| 24404    | Macquarie Bank Ltd. – ASM Pacific European Warrants Oct 2011 | 200,000,000 | 2010/08/25 | 2011/10/04 | 50.00          |
| 24405    | Macquarie Bank Ltd. – BBMG European Warrants Aug 2011        | 200,000,000 | 2010/08/25 | 2011/08/01 | 50.20          |
| 24406    | Macquarie Bank Ltd. – Belle Int'l European Warrants Jun 2011 | 200,000,000 | 2010/08/25 | 2011/06/07 | 50.20          |
| 24407    | Macquarie Bank Ltd. – China Travel European Wts Feb 2011     | 88,000,000  | 2010/08/25 | 2011/02/28 | 22.00          |
| 24408    | Macquarie Bank Ltd. – Li Ning European Warrants Mar 2011     | 200,000,000 | 2010/08/25 | 2011/03/31 | 51.40          |
| 24433    | Macquarie Bank Ltd. – PICC P&C European Warrants Jul 2011    | 200,000,000 | 2010/08/25 | 2011/07/05 | 50.00          |
| 24434    | Macquarie Bank Ltd. – PICC P&C European Warrants Oct 2011    | 200,000,000 | 2010/08/25 | 2011/10/04 | 50.40          |
| 24435    | Macquarie Bank Ltd. – Sh Ind H European Warrants Feb 2011    | 100,000,000 | 2010/08/25 | 2011/02/25 | 25.10          |
| 24432    | Macquarie Bank Ltd. – Sinoma European Warrants May 2011      | 100,000,000 | 2010/08/25 | 2011/05/27 | 15.10          |
| 24409    | Macquarie Bank Ltd. – SJM Holdings European Warrants Feb 11  | 200,000,000 | 2010/08/25 | 2011/02/28 | 50.20          |
| 24443    | CC Rabobank B.A. – Belle Int'l European Warrants Mar 2011    | 120,000,000 | 2010/08/25 | 2011/03/02 | 30.00          |
| 24444    | CC Rabobank B.A. – Gome European Warrants Feb 2011           | 100,000,000 | 2010/08/25 | 2011/02/28 | 25.00          |
| 24445    | CC Rabobank B.A. – Henganintl European Warrants Feb 2011     | 80,000,000  | 2010/08/25 | 2011/02/28 | 28.80          |
| 24446    | CC Rabobank B.A. – Mongolia Energy European Wts Mar 2011     | 100,000,000 | 2010/08/25 | 2011/03/01 | 49.00          |
| 24417    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 A | 200,000,000 | 2010/08/25 | 2011/02/28 | 50.00          |
| 24410    | SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2011   | 120,000,000 | 2010/08/25 | 2011/02/28 | 33.60          |
| 24418    | SGA Societe Generale Acceptance N.V. – BYD Euro Wts Feb 2011 | 80,000,000  | 2010/08/25 | 2011/02/28 | 65.60          |
| 24419    | SGA Societe Generale Acceptance N.V. – BYD Euro Wts Apr 2011 | 80,000,000  | 2010/08/25 | 2011/04/27 | 57.60          |
| 24438    | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11A  | 80,000,000  | 2010/08/25 | 2011/02/07 | 32.80          |
| 24420    | SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2011B | 80,000,000  | 2010/08/25 | 2011/02/07 | 36.80          |
| 24436    | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 10D  | 80,000,000  | 2010/08/25 | 2010/12/29 | 33.60          |
| 24437    | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 11C  | 80,000,000  | 2010/08/25 | 2011/01/31 | 52.00          |
| 24421    | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 11B  | 100,000,000 | 2010/08/25 | 2011/03/02 | 61.00          |
| 24439    | SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Feb 11B  | 80,000,000  | 2010/08/25 | 2011/02/28 | 20.80          |
| 24422    | SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 B | 200,000,000 | 2010/08/25 | 2011/07/04 | 34.00          |
| 24440    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11B | 300,000,000 | 2010/08/25 | 2011/02/25 | 72.00          |
| 24423    | SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2010  | 300,000,000 | 2010/08/25 | 2010/12/13 | 51.00          |
| 24424    | SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jun 2011  | 300,000,000 | 2010/08/25 | 2011/06/01 | 48.00          |
| 24442    | UBS AG – Hutchison European Put Warrants Feb 2011            | 100,000,000 | 2010/08/25 | 2011/02/07 | 15.00          |
| 24441    | UBS AG – SHK Ppt European Warrants Aug 2011 B                | 100,000,000 | 2010/08/25 | 2011/08/29 | 15.00          |
| 24476    | BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2011 B | 150,000,000 | 2010/08/26 | 2011/04/21 | 31.50          |
| 24479    | BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jan 2012    | 150,000,000 | 2010/08/26 | 2012/01/20 | 24.00          |
| 24477    | BNP Paribas Arbit Issu B.V. – ICBC European Wts Apr 2011     | 150,000,000 | 2010/08/26 | 2011/04/29 | 61.50          |
| 24478    | BNP Paribas Arbit Issu B.V. – ICBC European Wts Jun 2012     | 150,000,000 | 2010/08/26 | 2012/06/21 | 22.50          |
| 24468    | Credit Suisse AG – Cheung Kong European Warrants Jul 2011    | 300,000,000 | 2010/08/26 | 2011/07/04 | 45.00          |
| 24470    | Credit Suisse AG – A50 China European Warrants Jun 2011 B    | 300,000,000 | 2010/08/26 | 2011/06/07 | 45.00          |



# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24469    | Credit Suisse AG – HKEx European Put Warrants Mar 2011       | 300,000,000 | 2010/08/26 | 2011/03/14 | 45.00          |
| 24462    | Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt May 2011   | 80,000,000  | 2010/08/26 | 2011/05/23 | 20.00          |
| 24463    | Citigroup Global Mkt H Inc. – Dongfeng European Wts Jun 2011 | 80,000,000  | 2010/08/26 | 2011/06/20 | 20.00          |
| 24472    | Deutsche Bank AG – CNBM European Warrants Feb 2011           | 150,000,000 | 2010/08/26 | 2011/02/28 | 37.50          |
| 24473    | Deutsche Bank AG – Geely Auto European Warrants Dec 2010     | 100,000,000 | 2010/08/26 | 2010/12/06 | 18.00          |
| 24459    | HK Bank – HSI European Put Warrants Jan 2011 B               | 160,000,000 | 2010/08/26 | 2011/01/28 | 24.00          |
| 24467    | J P Morgan SP BV – PICC European Warrants Aug 2011           | 200,000,000 | 2010/08/26 | 2011/08/11 | 50.00          |
| 24475    | KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Feb 2011 B | 100,000,000 | 2010/08/26 | 2011/02/21 | 15.00          |
| 24474    | KBC Fin Products Int'l Ltd. – HS Bank European Wts Feb 2011  | 108,000,000 | 2010/08/26 | 2011/02/28 | 44.28          |
| 24471    | Macquarie Bank Ltd. – Bank of EA European Warrants May 2011  | 200,000,000 | 2010/08/26 | 2011/05/03 | 50.20          |
| 24455    | Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jun 2011 | 100,000,000 | 2010/08/26 | 2011/06/28 | 15.00          |
| 24456    | Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Oct 2011 | 100,000,000 | 2010/08/26 | 2011/10/17 | 15.10          |
| 24457    | Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2011   | 100,000,000 | 2010/08/26 | 2011/03/04 | 15.00          |
| 24480    | RB of Scotland N.V. – Sinopec Corp European Warrants Jan 11A | 100,000,000 | 2010/08/26 | 2011/01/25 | 42.00          |
| 24481    | RB of Scotland N.V. – Sinopec Corp European Warrants Jan 11B | 100,000,000 | 2010/08/26 | 2011/01/10 | 28.80          |
| 24482    | RB of Scotland N.V. – SHK P European Warrants Aug 2011       | 250,000,000 | 2010/08/26 | 2011/08/29 | 37.50          |
| 24461    | Standard Chartered Bank – China Life European Warrants Feb11 | 120,000,000 | 2010/08/26 | 2011/02/28 | 30.00          |
| 24460    | Standard Chartered Bank – HKEx European Warrants Mar 2011    | 100,000,000 | 2010/08/26 | 2011/03/28 | 48.00          |
| 24466    | UBS AG – CC Bank European Warrants Dec 2010 D                | 100,000,000 | 2010/08/26 | 2010/12/28 | 15.00          |
| 24464    | UBS AG – China Life European Warrants Dec 2010 D             | 200,000,000 | 2010/08/26 | 2010/12/23 | 30.00          |
| 24465    | UBS AG – R&F Ppt European Warrants Jun 2011                  | 100,000,000 | 2010/08/26 | 2011/06/27 | 15.00          |
| 24458    | UBS AG – HKEx European Warrants Oct 2011 A                   | 300,000,000 | 2010/08/26 | 2011/10/24 | 45.00          |
| 17810*   | Macquarie Bank Ltd. – BYD European Warrants Jun 2011 A       | 200,000,000 | 2010/08/26 | 2011/06/29 | 10.60          |
| 19323*   | Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Dec 2010 B | 600,000,000 | 2010/08/26 | 2010/12/28 | 11.40          |
| 24522    | BNP Paribas Arbit Issu B.V. – Gome European Warrants Mar2011 | 100,000,000 | 2010/08/27 | 2011/03/01 | 33.00          |
| 24523    | BNP Paribas Arbit Issu B.V. – Kerry Ppt European Wts Jul11   | 45,000,000  | 2010/08/27 | 2011/07/04 | 22.95          |
| 24520    | BNP Paribas Arbit Issu B.V. – Lenovo European Warrants Oct13 | 85,000,000  | 2010/08/27 | 2013/10/03 | 21.25          |
| 24524    | BNP Paribas Arbit Issu B.V. –MTRC European Warrants Jul 2011 | 45,000,000  | 2010/08/27 | 2011/07/04 | 11.25          |
| 24521    | BNP Paribas Arbit Issu B.V. – Nine Dragons European Wt Oct11 | 100,000,000 | 2010/08/27 | 2011/10/03 | 25.00          |
| 24516    | Deutsche Bank AG – Cheung Kong European Put Wts Oct 2011     | 100,000,000 | 2010/08/27 | 2011/10/17 | 15.00          |
| 24517    | Deutsche Bank AG – SHK Ppt European Put Warrants May 2011    | 100,000,000 | 2010/08/27 | 2011/05/30 | 15.00          |
| 10988    | Deutsche Bank AG – USD/YEN European Warrants Mar 2011        | 50,000,000  | 2010/08/27 | 2011/03/28 | 12.50          |
| 24519    | J P Morgan SP BV – China Mob European Put Warrants Feb 2011A | 100,000,000 | 2010/08/27 | 2011/02/28 | 25.00          |
| 24528    | J P Morgan SP BV – China Mob European Put Warrants Feb 2011B | 100,000,000 | 2010/08/27 | 2011/02/28 | 35.00          |
| 24511    | J P Morgan SP BV – Dongfeng Motor European Warrants Jun2011A | 180,000,000 | 2010/08/27 | 2011/06/29 | 45.00          |
| 24525    | KBC Fin Products Int'l Ltd. – ICBC European Wts Feb 2011     | 128,000,000 | 2010/08/27 | 2011/02/28 | 53.76          |
| 24526    | Macquarie Bank Ltd. – Sinofert European Warrants Feb 2011    | 88,000,000  | 2010/08/27 | 2011/02/28 | 25.34          |
| 24494    | Nomura Int'l plc – China Telecom European Warrants Mar 2011  | 100,000,000 | 2010/08/27 | 2011/03/31 | 56.70          |
| 24495    | Nomura Int'l plc – CC Bank European Put Warrants Dec 2010    | 100,000,000 | 2010/08/27 | 2010/12/31 | 23.40          |
| 24497    | Nomura Int'l plc – China Life European Put Warrants Mar 2011 | 100,000,000 | 2010/08/27 | 2011/03/31 | 25.00          |
| 24493    | Nomura Int'l plc – Dongfeng European Warrants Mar 2011       | 100,000,000 | 2010/08/27 | 2011/03/31 | 25.00          |
| 24492    | Nomura Int'l plc – HKEx European Warrants Jul 2011           | 100,000,000 | 2010/08/27 | 2011/07/29 | 15.00          |
| 24489    | Nomura Int'l plc – HSBC European Put Warrants Dec 2011       | 200,000,000 | 2010/08/27 | 2011/12/12 | 30.00          |
| 24490    | Nomura Int'l plc – Hutchison European Warrants Feb 2011 A    | 100,000,000 | 2010/08/27 | 2011/02/07 | 24.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24491    | Nomura Int'l plc – Hutchison European Warrants Feb 2011 B    | 100,000,000 | 2010/08/27 | 2011/02/25 | 15.00          |
| 24496    | Nomura Int'l plc – China Mengniu European Warrants Jan 2011  | 150,000,000 | 2010/08/27 | 2011/01/24 | 30.15          |
| 24498    | Nomura Int'l plc – Nine Dragons European Warrants Jul 2011   | 100,000,000 | 2010/08/27 | 2011/07/18 | 15.00          |
| 24527    | RB of Scotland N.V. – HSI European Warrants Dec 2010 E       | 250,000,000 | 2010/08/27 | 2010/12/30 | 44.25          |
| 24531    | RB of Scotland N.V. – HSI European Put Warrants Dec 2010 F   | 250,000,000 | 2010/08/27 | 2010/12/30 | 38.25          |
| 24513    | Standard Chartered Bank – Cheung Kong European Wts Feb11     | 150,000,000 | 2010/08/27 | 2011/02/21 | 22.50          |
| 24515    | Standard Chartered Bank – China Shenhua European Wts Apr11   | 100,000,000 | 2010/08/27 | 2011/04/28 | 25.00          |
| 24514    | Standard Chartered Bank – Tencent Hldg European Wts Apr 11   | 150,000,000 | 2010/08/27 | 2011/04/28 | 37.50          |
| 24499    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 B | 120,000,000 | 2010/08/27 | 2011/02/28 | 30.00          |
| 24501    | SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2011   | 120,000,000 | 2010/08/27 | 2011/02/28 | 40.80          |
| 24500    | SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Oct 2012  | 300,000,000 | 2010/08/27 | 2012/10/03 | 57.00          |
| 24502    | SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Feb 11C  | 80,000,000  | 2010/08/27 | 2011/02/16 | 26.40          |
| 24509    | SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Jan11C | 250,000,000 | 2010/08/27 | 2011/01/26 | 37.50          |
| 24510    | SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Jan11D | 250,000,000 | 2010/08/27 | 2011/01/05 | 52.50          |
| 24518    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2010 E | 100,000,000 | 2010/08/27 | 2010/12/06 | 24.00          |
| 24505    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 C | 100,000,000 | 2010/08/27 | 2011/02/09 | 39.00          |
| 24506    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 C | 300,000,000 | 2010/08/27 | 2011/02/25 | 45.00          |
| 24507    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 D | 300,000,000 | 2010/08/27 | 2011/02/25 | 45.00          |
| 24508    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 E | 300,000,000 | 2010/08/27 | 2011/02/25 | 45.00          |
| 24512    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11F | 300,000,000 | 2010/08/27 | 2011/02/25 | 63.00          |
| 24503    | SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2011 A | 100,000,000 | 2010/08/27 | 2011/03/02 | 50.00          |
| 24504    | SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2011 B | 100,000,000 | 2010/08/27 | 2011/03/02 | 26.00          |
| 24483    | UBS AG – China Mobile European Put Warrants Feb 2011         | 100,000,000 | 2010/08/27 | 2011/02/14 | 25.00          |
| 24486    | UBS AG – CM Bank European Warrants Apr 2011                  | 100,000,000 | 2010/08/27 | 2011/04/11 | 15.00          |
| 24484    | UBS AG – HKEx European Warrants Dec 2011                     | 300,000,000 | 2010/08/27 | 2011/12/05 | 45.00          |
| 24488    | UBS AG – HSBC European Warrants Jan 2011                     | 200,000,000 | 2010/08/27 | 2011/01/31 | 30.00          |
| 24487    | UBS AG – ICBC European Warrants Dec 2010 E                   | 100,000,000 | 2010/08/27 | 2010/12/23 | 15.00          |
| 24485    | UBS AG – Tencent Holdings European Warrants Feb 2011 B       | 200,000,000 | 2010/08/27 | 2011/02/23 | 30.00          |
| 22302*   | Macquarie Bank Ltd. – A50 China European Warrants Nov 2010   | 250,000,000 | 2010/08/27 | 2010/11/29 | 10.25          |
| 24314*   | HK Bank – China Mobile European Warrants Jun 2012            | 300,000,000 | 2010/08/27 | 2012/06/18 | 27.60          |
| 22165*   | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2010 A | 350,000,000 | 2010/08/27 | 2010/11/15 | 21.35          |
| 24529    | BOCI Asia Ltd. – China Life European Put Warrants Mar 2011   | 100,000,000 | 2010/08/30 | 2011/03/07 | 25.00          |
| 24582    | Credit Suisse AG – China Life European Warrants Nov 2010 C   | 200,000,000 | 2010/08/30 | 2010/11/30 | 30.00          |
| 24583    | Credit Suisse AG – China Life European Warrants Nov 2010 D   | 200,000,000 | 2010/08/30 | 2010/11/30 | 30.00          |
| 24530    | HK Bank – CM Bank European Warrants Apr 2011                 | 120,000,000 | 2010/08/30 | 2011/04/27 | 30.00          |
| 24532    | HK Bank – PICC European Warrants Sep 2011                    | 120,000,000 | 2010/08/30 | 2011/09/22 | 30.00          |
| 24542    | J P Morgan SP BV – China Mob European Wts Mar 2011           | 100,000,000 | 2010/08/30 | 2011/03/01 | 25.00          |
| 24533    | J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2010 C | 100,000,000 | 2010/08/30 | 2010/12/23 | 25.00          |
| 24540    | J P Morgan SP BV – China Life European Warrants Mar 2011 A   | 200,000,000 | 2010/08/30 | 2011/03/01 | 50.00          |
| 24541    | J P Morgan SP BV – China Life European Warrants Mar 2011 B   | 200,000,000 | 2010/08/30 | 2011/03/01 | 50.00          |
| 24543    | J P Morgan SP BV – HS Bank European Warrants Mar 2011        | 88,000,000  | 2010/08/30 | 2011/03/01 | 22.00          |
| 24534    | J P Morgan SP BV – HSBC European Warrants Jan 2013           | 388,000,000 | 2010/08/30 | 2013/01/30 | 58.20          |
| 24544    | J P Morgan SP BV – Maanshan Iron European Warrants Mar 2011  | 100,000,000 | 2010/08/30 | 2011/03/01 | 25.00          |
| 24568    | KBC Fin Products Int'l Ltd. – ALUCO European Wts Jun 2011    | 128,000,000 | 2010/08/30 | 2011/06/22 | 19.20          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24569    | KBC Fin Products Int'l Ltd. – ALUCO European Wts Oct 2011    | 128,000,000 | 2010/08/30 | 2011/10/13 | 32.00          |
| 24570    | KBC Fin Products Int'l Ltd. – BEA European Warrants May 2011 | 58,000,000  | 2010/08/30 | 2011/05/27 | 14.50          |
| 24545    | KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2011 A   | 188,000,000 | 2010/08/30 | 2011/03/18 | 63.92          |
| 24546    | KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2011 B   | 238,000,000 | 2010/08/30 | 2011/03/30 | 59.50          |
| 24547    | KBC Fin Products Int'l Ltd. – BYD European Warrants Mar 2011 | 108,000,000 | 2010/08/30 | 2011/03/01 | 63.72          |
| 24548    | KBC Fin Products Int'l Ltd. – BYD European Warrants Apr 2011 | 108,000,000 | 2010/08/30 | 2011/04/27 | 46.44          |
| 24562    | KBC Fin Products Int'l Ltd. – BYD European Put Wts Mar 2011  | 80,000,000  | 2010/08/30 | 2011/03/01 | 38.40          |
| 24551    | KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 A    | 158,000,000 | 2010/08/30 | 2011/03/01 | 97.96          |
| 24552    | KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 B    | 208,000,000 | 2010/08/30 | 2011/03/15 | 95.68          |
| 24553    | KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 C    | 238,000,000 | 2010/08/30 | 2011/03/21 | 95.20          |
| 24550    | KBC Fin Products Int'l Ltd. – Ch Comm Cons Euro Wts Oct 2011 | 78,000,000  | 2010/08/30 | 2011/10/26 | 11.70          |
| 24572    | KBC Fin Products Int'l Ltd. – China Coal Euro Wts Jun 2011   | 80,000,000  | 2010/08/30 | 2011/06/01 | 13.60          |
| 24549    | KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Apr 2011   | 80,000,000  | 2010/08/30 | 2011/04/15 | 50.40          |
| 24571    | KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jul 2011   | 108,000,000 | 2010/08/30 | 2011/07/05 | 16.20          |
| 24555    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 B | 238,000,000 | 2010/08/30 | 2011/03/01 | 59.50          |
| 24556    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 C | 238,000,000 | 2010/08/30 | 2011/03/08 | 59.50          |
| 24557    | KBC Fin Products Int'l Ltd. – CMB European Warrants Mar 2011 | 108,000,000 | 2010/08/30 | 2011/03/28 | 27.00          |
| 24558    | KBC Fin Products Int'l Ltd. – CMB European Warrants Apr 2011 | 108,000,000 | 2010/08/30 | 2011/04/29 | 27.00          |
| 24554    | KBC Fin Products Int'l Ltd. – Minsheng Bank Euro Wt Mar 2011 | 68,000,000  | 2010/08/30 | 2011/03/01 | 17.00          |
| 24559    | KBC Fin Products Int'l Ltd. – CPIC European Wts Mar 2011     | 108,000,000 | 2010/08/30 | 2011/03/01 | 28.08          |
| 24573    | KBC Fin Products Int'l Ltd. – China Shenhua Eu Wt Mar 2011 B | 80,000,000  | 2010/08/30 | 2011/03/11 | 20.00          |
| 24563    | KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Mar 2011       | 208,000,000 | 2010/08/30 | 2011/03/16 | 60.32          |
| 24564    | KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Nov 2011       | 258,000,000 | 2010/08/30 | 2011/11/21 | 38.70          |
| 24574    | KBC Fin Products Int'l Ltd. – R&F Ppt European Wts May 2011  | 88,000,000  | 2010/08/30 | 2011/05/25 | 22.00          |
| 24575    | KBC Fin Products Int'l Ltd. – R&F Ppt European Wts Jun 2011  | 88,000,000  | 2010/08/30 | 2011/06/20 | 15.84          |
| 24576    | KBC Fin Products Int'l Ltd. – HS Bank European Wts Dec 2011  | 108,000,000 | 2010/08/30 | 2011/12/23 | 16.20          |
| 24577    | KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Mar 2011    | 138,000,000 | 2010/08/30 | 2011/03/01 | 52.44          |
| 24560    | KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 A   | 238,000,000 | 2010/08/30 | 2011/03/31 | 59.50          |
| 24578    | KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Mar 2011 | 118,000,000 | 2010/08/30 | 2011/03/01 | 29.50          |
| 24579    | KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Apr 2011 | 118,000,000 | 2010/08/30 | 2011/04/15 | 29.50          |
| 24561    | KBC Fin Products Int'l Ltd. – Li & Fung Euro Wts Mar 2011    | 48,000,000  | 2010/08/30 | 2011/03/01 | 20.40          |
| 24580    | KBC Fin Products Int'l Ltd. – MTRC European Wts May 2011     | 68,000,000  | 2010/08/30 | 2011/05/16 | 10.20          |
| 24566    | RB of Scotland N.V. – CNOOC European Warrants Mar 2011       | 200,000,000 | 2010/08/30 | 2011/03/28 | 30.20          |
| 24567    | RB of Scotland N.V. – CNOOC European Warrants Jun 2011       | 200,000,000 | 2010/08/30 | 2011/06/22 | 30.00          |
| 24536    | Standard Chartered Bank – Sinopec Corp European Wts Mar 2011 | 100,000,000 | 2010/08/30 | 2011/03/02 | 25.00          |
| 24535    | Standard Chartered Bank – HSBC European Warrants Jan 2011    | 100,000,000 | 2010/08/30 | 2011/01/21 | 40.00          |
| 24537    | UBS AG – Cheung Kong European Warrants Jun 2011              | 100,000,000 | 2010/08/30 | 2011/06/27 | 15.00          |
| 24538    | UBS AG – China Shenhua European Warrants Jan 2011            | 100,000,000 | 2010/08/30 | 2011/01/24 | 15.00          |
| 24565    | UBS AG – PICC European Warrants Jul 2011                     | 100,000,000 | 2010/08/30 | 2011/07/11 | 25.00          |
| 24539    | UBS AG – Zijin Mining European Warrants May 2012             | 100,000,000 | 2010/08/30 | 2012/05/28 | 15.00          |
| 24584    | Credit Suisse AG – HSBC European Put Warrants Dec 2011       | 400,000,000 | 2010/08/31 | 2011/12/12 | 60.00          |
| 24587    | Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2011   | 200,000,000 | 2010/08/31 | 2011/07/22 | 36.80          |
| 24592    | Macquarie Bank Ltd. – China Unicom European Put Wts Dec 2011 | 200,000,000 | 2010/08/31 | 2011/12/02 | 50.00          |
| 24588    | Macquarie Bank Ltd. – R&F Ppt European Warrants Mar 2011     | 200,000,000 | 2010/08/31 | 2011/03/02 | 50.20          |



# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24589    | Macquarie Bank Ltd. – New World Dev European Wts May 2011    | 200,000,000 | 2010/08/31 | 2011/05/23 | 30.00          |
| 24590    | Macquarie Bank Ltd. – Yanzhou Coal European Wts Mar 2011     | 200,000,000 | 2010/08/31 | 2011/03/02 | 61.60          |
| 24591    | Macquarie Bank Ltd. – Yanzhou Coal European Wts May 2011     | 200,000,000 | 2010/08/31 | 2011/05/13 | 42.20          |
| 24593    | CC Rabobank B.A. – BBMG European Warrants Mar 2011           | 200,000,000 | 2010/08/31 | 2011/03/30 | 50.00          |
| 24595    | CC Rabobank B.A. – China Coal European Warrants Jun 2011     | 200,000,000 | 2010/08/31 | 2011/06/10 | 50.00          |
| 24596    | CC Rabobank B.A. – COSCO Pacific European Warrants Mar 2011  | 150,000,000 | 2010/08/31 | 2011/03/30 | 37.50          |
| 24594    | CC Rabobank B.A. – Cathay Pacific European Warrants Mar 2011 | 100,000,000 | 2010/08/31 | 2011/03/30 | 25.00          |
| 24597    | CC Rabobank B.A. – Fushan Energy European Warrants Mar 2011  | 75,000,000  | 2010/08/31 | 2011/03/14 | 32.25          |
| 24598    | CC Rabobank B.A. – Sands China European Warrants Jun 2011    | 150,000,000 | 2010/08/31 | 2011/06/29 | 37.50          |
| 24599    | CC Rabobank B.A. – Shanghai Electric European Warrants Mar11 | 75,000,000  | 2010/08/31 | 2011/03/03 | 24.75          |
| 24600    | CC Rabobank B.A. – SJM Holdings European Warrants Mar 2011   | 200,000,000 | 2010/08/31 | 2011/03/01 | 56.00          |
| 24601    | CC Rabobank B.A. – Wynn Macau European Warrants Mar 2011     | 100,000,000 | 2010/08/31 | 2011/03/08 | 25.00          |
| 24602    | CC Rabobank B.A. – ZTE European Warrants Mar 2011            | 75,000,000  | 2010/08/31 | 2011/03/30 | 22.50          |
| 24585    | UBS AG – China Life European Warrants Dec 2010 E             | 200,000,000 | 2010/08/31 | 2010/12/31 | 30.00          |
| 24586    | UBS AG – China Life European Put Warrants Jan 2011           | 100,000,000 | 2010/08/31 | 2011/01/24 | 15.00          |
| 23277#   | Credit Suisse AG – Hutchison European Warrants Nov 2010      | 500,000,000 | 2010/08/31 | 2010/11/08 | 240.00         |
| 22701#   | J P Morgan SP BV – China Life European Warrants Nov 2010     | 340,000,000 | 2010/08/31 | 2010/11/18 | 10.20          |
| 22248#   | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Oct 10D | 150,000,000 | 2010/08/31 | 2010/10/28 | 10.80          |
| 24623    | BNP Paribas Arbit Issu B.V. -Alibaba European Warrants Sep11 | 100,000,000 | 2010/09/01 | 2011/09/02 | 25.00          |
| 24625    | BNP Paribas Arbit Issu B.V. – China EB Ltd European Wt May11 | 70,000,000  | 2010/09/01 | 2011/05/03 | 17.50          |
| 24626    | BNP Paribas Arbit Issu B.V. – CMOE European Warrants Aug 12  | 95,000,000  | 2010/09/01 | 2012/08/31 | 23.75          |
| 24624    | BNP Paribas Arbit Issu B.V. – Esprit European Wts Apr 2011   | 80,000,000  | 2010/09/01 | 2011/04/29 | 43.20          |
| 24627    | BNP Paribas Arbit Issu B.V. – Henganintl European Wts Apr13  | 100,000,000 | 2010/09/01 | 2013/04/02 | 25.00          |
| 24620    | BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2011      | 200,000,000 | 2010/09/01 | 2011/09/29 | 52.00          |
| 24622    | BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2012      | 200,000,000 | 2010/09/01 | 2012/06/28 | 50.00          |
| 24630    | BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2011      | 100,000,000 | 2010/09/01 | 2011/09/29 | 25.00          |
| 24631    | BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2012      | 200,000,000 | 2010/09/01 | 2012/06/28 | 50.00          |
| 10989    | BNP Paribas Arbit Issu B.V. – Oil Futures European Wts Nov11 | 100,000,000 | 2010/09/01 | 2011/11/16 | 39.00          |
| 24628    | BNP Paribas Arbit Issu B.V. – Sands China European Wts Sep11 | 95,000,000  | 2010/09/01 | 2011/09/01 | 23.75          |
| 24629    | BNP Paribas Arbit Issu B.V. – Sinopharm European Wts Apr11   | 95,000,000  | 2010/09/01 | 2011/04/01 | 39.90          |
| 24610    | Credit Suisse AG – CC Bank European Warrants Dec 2010 E      | 300,000,000 | 2010/09/01 | 2010/12/13 | 45.00          |
| 24618    | HK Bank – HSI European Warrants May 2011                     | 300,000,000 | 2010/09/01 | 2011/05/30 | 75.00          |
| 24619    | HK Bank – HSI European Put Warrants Feb 2011                 | 300,000,000 | 2010/09/01 | 2011/02/25 | 45.00          |
| 24621    | HK Bank – HSI European Put Warrants Mar 2011 F               | 300,000,000 | 2010/09/01 | 2011/03/30 | 75.00          |
| 24615    | HK Bank – HSI European Warrants Mar 2011 A                   | 300,000,000 | 2010/09/01 | 2011/03/30 | 75.00          |
| 24616    | HK Bank – HSI European Warrants Mar 2011 B                   | 300,000,000 | 2010/09/01 | 2011/03/30 | 75.00          |
| 24617    | HK Bank – HSI European Warrants Mar 2011 C                   | 300,000,000 | 2010/09/01 | 2011/03/30 | 75.00          |
| 24608    | Macquarie Bank Ltd. – HSI European Warrants Mar 2011 A       | 300,000,000 | 2010/09/01 | 2011/03/30 | 75.00          |
| 24609    | Macquarie Bank Ltd. – HSI European Warrants Mar 2011 B       | 300,000,000 | 2010/09/01 | 2011/03/30 | 75.00          |
| 24607    | Macquarie Bank Ltd. – ICBC European Warrants Mar 2011        | 128,000,000 | 2010/09/01 | 2011/03/02 | 32.13          |
| 24611    | Macquarie Bank Ltd. – ICBC European Put Warrants Mar 2011    | 88,000,000  | 2010/09/01 | 2011/03/02 | 35.99          |
| 24634    | Macquarie Bank Ltd. – Tencent European Put Warrants Mar 2011 | 250,000,000 | 2010/09/01 | 2011/03/02 | 69.00          |
| 24633    | Macquarie Bank Ltd. – Tencent European Put Warrants Jun 2011 | 250,000,000 | 2010/09/01 | 2011/06/02 | 62.75          |
| 24614    | Standard Chartered Bank – BOC HK European Warrants Aug 2011  | 100,000,000 | 2010/09/01 | 2011/08/23 | 15.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24613    | Standard Chartered Bank – China Mobile European Wts Dec 2010 | 100,000,000 | 2010/09/01 | 2010/12/22 | 25.00          |
| 24612    | Standard Chartered Bank – PetroChina European Warrants Mar11 | 100,000,000 | 2010/09/01 | 2011/03/03 | 40.50          |
| 24632    | SGA Societe Generale Acceptance N.V. – CNBM Euro Wts May 11  | 100,000,000 | 2010/09/01 | 2011/05/16 | 25.00          |
| 24603    | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011   | 100,000,000 | 2010/09/01 | 2011/03/02 | 44.00          |
| 24604    | SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Oct 11 | 500,000,000 | 2010/09/01 | 2011/10/17 | 75.00          |
| 24605    | SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jul 12 | 500,000,000 | 2010/09/01 | 2012/07/16 | 75.00          |
| 24606    | SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2010 D | 120,000,000 | 2010/09/01 | 2010/12/20 | 21.60          |
| 22757#   | RB of Scotland N.V. – China Life European Wts Nov 2010 A     | 158,000,000 | 2010/09/01 | 2010/11/30 | 10.43          |
| 24642    | Credit Suisse AG – China Life European Warrants Jan 2011     | 300,000,000 | 2010/09/02 | 2011/01/31 | 69.00          |
| 24641    | Credit Suisse AG – HSI European Warrants Dec 2010 E          | 320,000,000 | 2010/09/02 | 2010/12/30 | 48.00          |
| 24651    | Deutsche Bank AG – BOC HK European Warrants Feb 2011         | 150,000,000 | 2010/09/02 | 2011/02/14 | 25.50          |
| 24652    | Deutsche Bank AG – BYD European Warrants Mar 2011            | 150,000,000 | 2010/09/02 | 2011/03/07 | 78.00          |
| 24653    | Deutsche Bank AG – China Life European Warrants Jan 2011 B   | 300,000,000 | 2010/09/02 | 2011/01/31 | 93.00          |
| 24655    | Deutsche Bank AG – HSBC European Warrants Mar 2011           | 200,000,000 | 2010/09/02 | 2011/03/14 | 50.00          |
| 24656    | Deutsche Bank AG – ICBC European Warrants Mar 2011 A         | 200,000,000 | 2010/09/02 | 2011/03/14 | 50.00          |
| 24657    | Deutsche Bank AG – ICBC European Warrants Apr 2011           | 200,000,000 | 2010/09/02 | 2011/04/11 | 50.00          |
| 24658    | Deutsche Bank AG – Tencent Holdings European Warrants Feb11B | 200,000,000 | 2010/09/02 | 2011/02/14 | 36.00          |
| 24659    | Deutsche Bank AG – Tencent Holdings European Warrants Feb11C | 200,000,000 | 2010/09/02 | 2011/02/28 | 30.00          |
| 24636    | Goldman Sachs SP (Asia) – A50 China European Wts Mar 2011 B  | 200,000,000 | 2010/09/02 | 2011/03/07 | 76.00          |
| 24637    | Goldman Sachs SP (Asia) – Hutchison European Wts Feb 2011    | 200,000,000 | 2010/09/02 | 2011/02/14 | 46.00          |
| 24638    | Goldman Sachs SP (Asia) – Tencent Holdings European Wt Mar11 | 200,000,000 | 2010/09/02 | 2011/03/28 | 30.00          |
| 24654    | J P Morgan SP BV – China Life European Warrants Mar 2011 C   | 200,000,000 | 2010/09/02 | 2011/03/02 | 50.00          |
| 24639    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 C | 208,000,000 | 2010/09/02 | 2011/01/03 | 47.84          |
| 24646    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2011 E | 188,000,000 | 2010/09/02 | 2011/02/01 | 67.68          |
| 24648    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 D | 168,000,000 | 2010/09/02 | 2011/03/02 | 84.00          |
| 24644    | KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Mar 2011 | 128,000,000 | 2010/09/02 | 2011/03/02 | 32.00          |
| 24635    | Macquarie Bank Ltd. – China Life European Wts Dec 2010 B     | 250,000,000 | 2010/09/02 | 2010/12/24 | 37.50          |
| 24645    | Macquarie Bank Ltd. – China Life European Put Wts Mar 2011   | 300,000,000 | 2010/09/02 | 2011/03/03 | 75.00          |
| 24640    | Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Dec 2010 | 150,000,000 | 2010/09/02 | 2010/12/22 | 24.75          |
| 24647    | RB of Scotland N.V. – China Life European Wts Jan 2011 D     | 150,000,000 | 2010/09/02 | 2011/01/26 | 44.25          |
| 24649    | RB of Scotland N.V. – China Life European Wts Jan 2011 E     | 150,000,000 | 2010/09/02 | 2011/01/03 | 29.85          |
| 24650    | RB of Scotland N.V. – China Life European Wts Mar 2011       | 150,000,000 | 2010/09/02 | 2011/03/02 | 37.50          |
| 24643    | UBS AG – China Life European Warrants Dec 2010 F             | 200,000,000 | 2010/09/02 | 2010/12/20 | 35.00          |
| 24665    | Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt Oct 2011 | 80,000,000  | 2010/09/03 | 2011/10/17 | 12.00          |
| 10990    | Deutsche Bank AG – DJIA European Warrants Mar 2011           | 100,000,000 | 2010/09/03 | 2011/03/21 | 25.00          |
| 10994    | Deutsche Bank AG – DJIA European Put Warrants Mar 2011       | 100,000,000 | 2010/09/03 | 2011/03/21 | 25.00          |
| 10991    | Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2011    | 100,000,000 | 2010/09/03 | 2011/03/11 | 25.00          |
| 10995    | Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Mar 2011    | 100,000,000 | 2010/09/03 | 2011/03/11 | 25.00          |
| 24668    | Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2011 B     | 200,000,000 | 2010/09/03 | 2011/03/07 | 50.00          |
| 24669    | Goldman Sachs SP (Asia) – China Life Euro Wts May 2011       | 200,000,000 | 2010/09/03 | 2011/05/23 | 50.00          |
| 24676    | KBC Fin Products Int'l Ltd. – China Life Eur Put Wt May 2011 | 158,000,000 | 2010/09/03 | 2011/05/12 | 39.50          |
| 24666    | Macquarie Bank Ltd. – BankComm European Put Wts Mar 2011     | 200,000,000 | 2010/09/03 | 2011/03/03 | 57.60          |
| 24667    | Macquarie Bank Ltd. – CNOOC European Warrants Apr 2011 B     | 200,000,000 | 2010/09/03 | 2011/04/21 | 30.00          |
| 24660    | Standard Chartered Bank – Agricultural Bk European Wts Feb11 | 100,000,000 | 2010/09/03 | 2011/02/18 | 15.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 24661    | Standard Chartered Bank – A50 China European Warrants Jul 11  | 200,000,000 | 2010/09/03 | 2011/07/28 | 50.00          |
| 24671    | SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wts Mar11 | 80,000,000  | 2010/09/03 | 2011/03/07 | 29.60          |
| 24670    | SGA Societe Generale Acceptance N.V. – Air China Eu Wt Oct11  | 200,000,000 | 2010/09/03 | 2011/10/03 | 50.00          |
| 24672    | SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Mar11  | 150,000,000 | 2010/09/03 | 2011/03/02 | 27.00          |
| 24662    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011A  | 200,000,000 | 2010/09/03 | 2011/03/07 | 72.00          |
| 24663    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011B  | 200,000,000 | 2010/09/03 | 2011/03/07 | 54.00          |
| 24673    | SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jun 11  | 250,000,000 | 2010/09/03 | 2011/06/13 | 42.50          |
| 24664    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11G  | 300,000,000 | 2010/09/03 | 2011/02/25 | 54.00          |
| 24674    | SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul 11  | 150,000,000 | 2010/09/03 | 2011/07/04 | 25.50          |
| 24675    | SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Aug 11  | 150,000,000 | 2010/09/03 | 2011/08/08 | 24.00          |
| 24677    | UBS AG – China Life European Warrants Jan 2011 B              | 200,000,000 | 2010/09/03 | 2011/01/26 | 33.00          |
| 24681    | UBS AG – China Life European Put Warrants Mar 2011            | 200,000,000 | 2010/09/03 | 2011/03/14 | 50.00          |
| 23093*   | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb2011A  | 300,000,000 | 2010/09/03 | 2011/02/23 | 54.90          |
| 24680    | Barclays Bank plc – BOCL European Warrants Aug 2011           | 100,000,000 | 2010/09/06 | 2011/08/29 | 17.00          |
| 24682    | Barclays Bank plc – BankComm European Warrants Nov 2012       | 150,000,000 | 2010/09/06 | 2012/11/26 | 27.00          |
| 24678    | Barclays Bank plc – China Life European Warrants Mar 2011 B   | 100,000,000 | 2010/09/06 | 2011/03/14 | 25.00          |
| 24683    | Barclays Bank plc – China Life European Put Wts Mar 2011      | 100,000,000 | 2010/09/06 | 2011/03/14 | 25.00          |
| 24679    | Barclays Bank plc – ICBC European Warrants Jun 2012           | 150,000,000 | 2010/09/06 | 2012/06/25 | 22.50          |
| 24684    | BOCI Asia Ltd. – BOC HK European Warrants May 2011            | 100,000,000 | 2010/09/06 | 2011/05/27 | 15.00          |
| 24685    | BOCI Asia Ltd. – BYD European Warrants Apr 2011               | 100,000,000 | 2010/09/06 | 2011/04/04 | 50.00          |
| 24687    | BOCI Asia Ltd. – China Mobile European Put Warrants Mar 2011  | 100,000,000 | 2010/09/06 | 2011/03/25 | 25.00          |
| 24686    | BOCI Asia Ltd. – China Life European Warrants Jun 2011        | 100,000,000 | 2010/09/06 | 2011/06/27 | 25.00          |
| 24688    | BOCI Asia Ltd. – A50 China European Warrants Jun 2011         | 100,000,000 | 2010/09/06 | 2011/06/13 | 15.00          |
| 24704    | Deutsche Bank AG – China Life European Warrants Mar 2011 A    | 300,000,000 | 2010/09/06 | 2011/03/07 | 117.00         |
| 24703    | Macquarie Bank Ltd. – China Agri European Warrants Dec 2011   | 150,000,000 | 2010/09/06 | 2011/12/20 | 24.60          |
| 24692    | Macquarie Bank Ltd. – China Mobile Euro Put Wts Mar 2011      | 100,000,000 | 2010/09/06 | 2011/03/08 | 25.50          |
| 24691    | Macquarie Bank Ltd. – Golden Eagle European Warrants Jun 11   | 150,000,000 | 2010/09/06 | 2011/06/07 | 37.50          |
| 24689    | Macquarie Bank Ltd. – China Mengniu European Wts Jun 2011     | 150,000,000 | 2010/09/06 | 2011/06/30 | 37.50          |
| 24690    | Macquarie Bank Ltd. – Parkson Group European Warrants Nov 11  | 150,000,000 | 2010/09/06 | 2011/11/07 | 38.25          |
| 24693    | CC Rabobank B.A. – CHALCO European Warrants Mar 2011          | 75,000,000  | 2010/09/06 | 2011/03/30 | 30.00          |
| 24694    | CC Rabobank B.A. – China Dongxiang European Warrants Mar 11   | 75,000,000  | 2010/09/06 | 2011/03/08 | 25.50          |
| 24695    | CC Rabobank B.A. – China EB Int'l European Warrants Mar 2011  | 100,000,000 | 2010/09/06 | 2011/03/07 | 62.00          |
| 24697    | CC Rabobank B.A. – Ch LongYuan European Warrants Oct 2011     | 250,000,000 | 2010/09/06 | 2011/10/21 | 62.50          |
| 24699    | CC Rabobank B.A. – Chi Resources European Warrants Mar 2011   | 75,000,000  | 2010/09/06 | 2011/03/08 | 27.75          |
| 24696    | CC Rabobank B.A. – China Gas Hold European Warrants Mar 2011  | 75,000,000  | 2010/09/06 | 2011/03/18 | 41.25          |
| 24698    | CC Rabobank B.A. – Minsheng Bank European Warrants Jun 2011   | 75,000,000  | 2010/09/06 | 2011/06/29 | 33.00          |
| 24700    | CC Rabobank B.A. – Chi Res Power European Warrants Mar 2011   | 100,000,000 | 2010/09/06 | 2011/03/08 | 25.00          |
| 24701    | CC Rabobank B.A. – Li & Fung European Warrants Mar 2011       | 75,000,000  | 2010/09/06 | 2011/03/07 | 21.75          |
| 24702    | SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2010D  | 200,000,000 | 2010/09/06 | 2010/12/29 | 34.00          |
| 24712    | BOCI Asia Ltd. – Belle Int'l European Warrants May 2011       | 100,000,000 | 2010/09/07 | 2011/05/03 | 25.00          |
| 24713    | BOCI Asia Ltd. – Minsheng Bank European Warrants Mar 2011     | 100,000,000 | 2010/09/07 | 2011/03/25 | 40.00          |
| 24714    | BOCI Asia Ltd. – HKEx European Warrants Dec 2011              | 100,000,000 | 2010/09/07 | 2011/12/12 | 15.00          |
| 24715    | BOCI Asia Ltd. – KECL European Warrants Dec 2011              | 100,000,000 | 2010/09/07 | 2011/12/23 | 25.00          |
| 24716    | BOCI Asia Ltd. – PetroChina European Warrants Apr 2011        | 100,000,000 | 2010/09/07 | 2011/04/04 | 35.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 10992    | Deutsche Bank AG – EUR/USD European Put Warrants Mar 2011 A  | 50,000,000  | 2010/09/07 | 2011/03/14 | 12.50          |
| 24718    | HK Bank – BOCL European Warrants Mar 2011                    | 100,000,000 | 2010/09/07 | 2011/03/07 | 25.00          |
| 24719    | HK Bank – CC Bank European Warrants Mar 2011                 | 100,000,000 | 2010/09/07 | 2011/03/07 | 25.00          |
| 24720    | HK Bank – China Life European Warrants Mar 2011              | 250,000,000 | 2010/09/07 | 2011/03/07 | 62.50          |
| 24725    | HK Bank – China Life European Put Warrants Mar 2011          | 250,000,000 | 2010/09/07 | 2011/03/07 | 62.50          |
| 24721    | HK Bank – CNOOC European Warrants Jul 2011                   | 200,000,000 | 2010/09/07 | 2011/07/21 | 30.00          |
| 24722    | HK Bank – Esprit European Warrants Mar 2011                  | 120,000,000 | 2010/09/07 | 2011/03/07 | 30.00          |
| 24723    | HK Bank – ICBC European Warrants Mar 2011                    | 100,000,000 | 2010/09/07 | 2011/03/07 | 25.00          |
| 24724    | HK Bank – Maanshan Iron European Warrants Mar 2011           | 80,000,000  | 2010/09/07 | 2011/03/07 | 20.00          |
| 24728    | J P Morgan SP BV – Ch Comm Cons European Warrants Oct 2011   | 250,000,000 | 2010/09/07 | 2011/10/26 | 37.50          |
| 24729    | J P Morgan SP BV – China Life European Warrants Jan 2011 B   | 200,000,000 | 2010/09/07 | 2011/01/26 | 38.00          |
| 24730    | J P Morgan SP BV – CPIC European Warrants Mar 2011           | 100,000,000 | 2010/09/07 | 2011/03/07 | 25.00          |
| 24731    | J P Morgan SP BV – Tencent European Warrants Mar 2011        | 100,000,000 | 2010/09/07 | 2011/03/28 | 25.00          |
| 24735    | KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2011 D | 108,000,000 | 2010/09/07 | 2011/02/21 | 27.00          |
| 24736    | KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 C    | 200,000,000 | 2010/09/07 | 2011/03/30 | 50.00          |
| 24737    | KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 D    | 200,000,000 | 2010/09/07 | 2011/03/30 | 50.00          |
| 24738    | KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 E    | 200,000,000 | 2010/09/07 | 2011/03/30 | 50.00          |
| 24742    | KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 F    | 200,000,000 | 2010/09/07 | 2011/03/30 | 50.00          |
| 24711    | Macquarie Bank Ltd. – China EB Ltd European Warrants Mar 11  | 150,000,000 | 2010/09/07 | 2011/03/30 | 38.10          |
| 24733    | Macquarie Bank Ltd. – C Transmission European Warrants Feb11 | 150,000,000 | 2010/09/07 | 2011/02/14 | 26.85          |
| 24734    | Macquarie Bank Ltd. – China Life European Wts Mar 2011       | 300,000,000 | 2010/09/07 | 2011/03/07 | 75.90          |
| 24710    | Macquarie Bank Ltd. – CPIC European Warrants Mar 2011        | 200,000,000 | 2010/09/07 | 2011/03/30 | 50.00          |
| 24732    | Macquarie Bank Ltd. – Stanchart European Warrants Jun 2011   | 200,000,000 | 2010/09/07 | 2011/06/30 | 60.40          |
| 24706    | Nomura Int'l plc – China Life European Warrants Jan 2011     | 100,000,000 | 2010/09/07 | 2011/01/31 | 15.00          |
| 24707    | Nomura Int'l plc – China Life European Warrants Apr 2011     | 100,000,000 | 2010/09/07 | 2011/04/20 | 15.00          |
| 24705    | Nomura Int'l plc – CNOOC European Warrants Apr 2011          | 100,000,000 | 2010/09/07 | 2011/04/27 | 15.00          |
| 24709    | Nomura Int'l plc – A50 China European Warrants Jun 2011 B    | 100,000,000 | 2010/09/07 | 2011/06/03 | 15.00          |
| 24708    | Nomura Int'l plc – A50 China European Warrants Nov 2011      | 100,000,000 | 2010/09/07 | 2011/11/04 | 15.00          |
| 24739    | RB of Scotland N.V. – China Life European Put Wts Mar 2011   | 150,000,000 | 2010/09/07 | 2011/03/07 | 37.50          |
| 24726    | Standard Chartered Bank – CHALCO European Warrants Oct 2011  | 100,000,000 | 2010/09/07 | 2011/10/07 | 16.50          |
| 24727    | Standard Chartered Bank -China Life European Warrants Mar11A | 120,000,000 | 2010/09/07 | 2011/03/18 | 40.20          |
| 24717    | UBS AG – CPIC European Warrants Feb 2011                     | 100,000,000 | 2010/09/07 | 2011/02/22 | 15.00          |
| 24753    | BOCI Asia Ltd. – HSI European Warrants Mar 2011              | 100,000,000 | 2010/09/08 | 2011/03/30 | 25.00          |
| 24757    | BOCI Asia Ltd. – HSI European Put Warrants Mar 2011          | 100,000,000 | 2010/09/08 | 2011/03/30 | 25.00          |
| 24758    | Credit Suisse AG – Tencent Holdings European Wts Jan 2011 A  | 300,000,000 | 2010/09/08 | 2011/01/04 | 45.00          |
| 24751    | Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Mar 2011 | 200,000,000 | 2010/09/08 | 2011/03/28 | 112.00         |
| 24750    | Goldman Sachs SP (Asia) – China Life Euro Put Wts Apr 2011   | 200,000,000 | 2010/09/08 | 2011/04/29 | 52.00          |
| 24752    | Goldman Sachs SP (Asia) – HSBC European Put Wts Mar 2011     | 200,000,000 | 2010/09/08 | 2011/03/28 | 114.00         |
| 24762    | HK Bank – Ch LongYuan European Warrants Dec 2011             | 120,000,000 | 2010/09/08 | 2011/12/21 | 18.00          |
| 24760    | HK Bank – Ch Comm Cons European Warrants May 2011            | 80,000,000  | 2010/09/08 | 2011/05/12 | 20.00          |
| 24761    | HK Bank – China COSCO European Warrants Sep 2011             | 120,000,000 | 2010/09/08 | 2011/09/19 | 18.00          |
| 24759    | HK Bank – CITIC Bank European Warrants Mar 2011              | 80,000,000  | 2010/09/08 | 2011/03/08 | 20.00          |
| 24763    | HK Bank – CPIC European Warrants Jun 2011                    | 150,000,000 | 2010/09/08 | 2011/06/23 | 37.50          |
| 24764    | HK Bank – China Railway European Warrants Jun 2011           | 80,000,000  | 2010/09/08 | 2011/06/16 | 20.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24767    | HK Bank – Ch Ship Cont European Warrants Jun 2011            | 80,000,000  | 2010/09/08 | 2011/06/28 | 20.00          |
| 24765    | HK Bank – China Shenhua European Warrants Mar 2011 A         | 150,000,000 | 2010/09/08 | 2011/03/04 | 22.50          |
| 24766    | HK Bank – China Shenhua European Warrants Mar 2011 B         | 150,000,000 | 2010/09/08 | 2011/03/22 | 37.50          |
| 24768    | HK Bank – Dongfeng Motor European Warrants Jul 2011          | 100,000,000 | 2010/09/08 | 2011/07/22 | 25.00          |
| 24769    | HK Bank – Fushan Energy European Warrants May 2011           | 100,000,000 | 2010/09/08 | 2011/05/05 | 25.00          |
| 24770    | HK Bank – Geely Auto European Warrants Mar 2011              | 100,000,000 | 2010/09/08 | 2011/03/08 | 25.00          |
| 24771    | HK Bank – Jiangxi Copper European Warrants May 2011          | 150,000,000 | 2010/09/08 | 2011/05/18 | 37.50          |
| 24772    | HK Bank – Lenovo European Warrants Mar 2011                  | 120,000,000 | 2010/09/08 | 2011/03/08 | 30.00          |
| 24773    | HK Bank – PICC European Warrants Jul 2011                    | 120,000,000 | 2010/09/08 | 2011/07/04 | 18.00          |
| 24774    | HK Bank – Sinopharm European Warrants Jun 2011               | 120,000,000 | 2010/09/08 | 2011/06/16 | 30.00          |
| 24754    | J P Morgan SP BV – Henganintl European Warrants Jun 2012     | 200,000,000 | 2010/09/08 | 2012/06/29 | 50.00          |
| 24755    | J P Morgan SP BV – KECL European Warrants Jul 2011           | 100,000,000 | 2010/09/08 | 2011/07/25 | 25.00          |
| 24756    | J P Morgan SP BV – Stanchart European Warrants Mar 2011      | 100,000,000 | 2010/09/08 | 2011/03/08 | 25.00          |
| 24743    | Macquarie Bank Ltd. – China Bluechem European Warrants Oct12 | 100,000,000 | 2010/09/08 | 2012/10/18 | 25.00          |
| 24744    | Macquarie Bank Ltd. – Ch Molybdenum European Wts Oct 2012    | 100,000,000 | 2010/09/08 | 2012/10/22 | 25.00          |
| 24745    | Macquarie Bank Ltd. – Dongfeng Motor European Wts Aug 2011   | 150,000,000 | 2010/09/08 | 2011/08/30 | 37.50          |
| 24741    | Macquarie Bank Ltd. – A50 China European Warrants Nov 2011   | 300,000,000 | 2010/09/08 | 2011/11/21 | 45.00          |
| 24746    | Macquarie Bank Ltd. – Lenovo European Warrants Mar 2011      | 100,000,000 | 2010/09/08 | 2011/03/08 | 30.70          |
| 24747    | Macquarie Bank Ltd. – Nine Dragons European Wts Nov 2011     | 150,000,000 | 2010/09/08 | 2011/11/03 | 37.50          |
| 24748    | Macquarie Bank Ltd. – SHK Ppt European Warrants May 2011     | 300,000,000 | 2010/09/08 | 2011/05/23 | 53.40          |
| 24749    | Macquarie Bank Ltd. – SHK Ppt European Warrants Aug 2011     | 300,000,000 | 2010/09/08 | 2011/08/05 | 45.00          |
| 24778    | CC Rabobank B.A. – C Transmission European Warrants Feb 2011 | 100,000,000 | 2010/09/08 | 2011/02/14 | 21.30          |
| 24775    | CC Rabobank B.A. – China Life European Warrants Dec 2010 C   | 75,000,000  | 2010/09/08 | 2010/12/21 | 11.25          |
| 24776    | CC Rabobank B.A. – China Life European Warrants Jan 2011 B   | 75,000,000  | 2010/09/08 | 2011/01/24 | 23.25          |
| 24779    | CC Rabobank B.A. – China Life European Put Warrants Mar 2011 | 75,000,000  | 2010/09/08 | 2011/03/08 | 18.75          |
| 24777    | CC Rabobank B.A. – CPIC European Warrants Mar 2011           | 75,000,000  | 2010/09/08 | 2011/03/08 | 18.75          |
| 24740    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt May 2011 | 300,000,000 | 2010/09/08 | 2011/05/23 | 75.00          |
| 24794    | Barclays Bank plc – CM Bank European Warrants Apr 2011       | 100,000,000 | 2010/09/09 | 2011/04/18 | 15.00          |
| 24795    | Barclays Bank plc – Sinopec Corp European Put Wts Feb 2011   | 100,000,000 | 2010/09/09 | 2011/02/21 | 29.00          |
| 24793    | Barclays Bank plc – China Shenhua European Warrants Mar 2011 | 100,000,000 | 2010/09/09 | 2011/03/21 | 25.00          |
| 24791    | Barclays Bank plc – HSBC European Warrants Feb 2011          | 100,000,000 | 2010/09/09 | 2011/02/14 | 26.00          |
| 24792    | Barclays Bank plc – Jiangxi Copper European Warrants Mar 11B | 100,000,000 | 2010/09/09 | 2011/03/28 | 21.00          |
| 24796    | Deutsche Bank AG – China Mobile European Warrants Feb 2011 B | 300,000,000 | 2010/09/09 | 2011/02/01 | 81.00          |
| 24802    | Deutsche Bank AG – Ping An European Warrants Mar 2011        | 200,000,000 | 2010/09/09 | 2011/03/14 | 58.00          |
| 24805    | Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2011     | 200,000,000 | 2010/09/09 | 2011/03/14 | 56.00          |
| 24806    | Goldman Sachs SP (Asia) – PetroChina European Wts Mar 2011   | 200,000,000 | 2010/09/09 | 2011/03/14 | 52.00          |
| 24784    | HK Bank – Agile Ppt European Warrants Oct 2011               | 80,000,000  | 2010/09/09 | 2011/10/12 | 20.00          |
| 24787    | HK Bank – China Unicom European Warrants Sep 2011            | 150,000,000 | 2010/09/09 | 2011/09/27 | 37.50          |
| 24785    | HK Bank – CNBM European Warrants May 2011                    | 80,000,000  | 2010/09/09 | 2011/05/18 | 20.00          |
| 24788    | HK Bank – Foxconn European Warrants Mar 2011                 | 100,000,000 | 2010/09/09 | 2011/03/09 | 25.00          |
| 24789    | HK Bank – Foxconn European Warrants Apr 2012                 | 120,000,000 | 2010/09/09 | 2012/04/20 | 30.00          |
| 24790    | HK Bank – KECL European Warrants Nov 2011                    | 150,000,000 | 2010/09/09 | 2011/11/18 | 37.50          |
| 24800    | KBC Fin Products Int'l Ltd. – BOC HK European Wts Dec 2011   | 128,000,000 | 2010/09/09 | 2011/12/12 | 32.00          |
| 24801    | KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Jun 2011   | 108,000,000 | 2010/09/09 | 2011/06/01 | 37.80          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24783    | Macquarie Bank Ltd. – China Life European Wts Jan 2011       | 300,000,000 | 2010/09/09 | 2011/01/26 | 59.40          |
| 24803    | Macquarie Bank Ltd. – CLP European Warrants May 2011         | 200,000,000 | 2010/09/09 | 2011/05/05 | 51.00          |
| 24797    | Macquarie Bank Ltd. – Ping An European Put Warrants Apr 2011 | 200,000,000 | 2010/09/09 | 2011/04/04 | 50.00          |
| 24798    | Macquarie Bank Ltd. – Ping An European Put Warrants Nov 2011 | 200,000,000 | 2010/09/09 | 2011/11/17 | 30.00          |
| 24780    | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 C | 100,000,000 | 2010/09/09 | 2011/02/01 | 22.20          |
| 24781    | Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2011 A    | 100,000,000 | 2010/09/09 | 2011/02/01 | 26.00          |
| 24782    | Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Feb 2011 | 150,000,000 | 2010/09/09 | 2011/02/25 | 22.80          |
| 24786    | Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2011A | 150,000,000 | 2010/09/09 | 2011/02/25 | 27.00          |
| 24804    | RB of Scotland N.V. – CC Bank European Warrants Feb 2011     | 150,000,000 | 2010/09/09 | 2011/02/10 | 36.00          |
| 24799    | UBS AG – Ping An European Warrants Mar 2012                  | 100,000,000 | 2010/09/09 | 2012/03/29 | 15.00          |
| 23787*   | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11H  | 140,000,000 | 2010/09/09 | 2011/01/12 | 22.96          |
| 23390#   | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Nov 10F | 300,000,000 | 2010/09/09 | 2010/11/29 | 10.80          |
| 24816    | BOCI Asia Ltd. – Ch Agri-Ind European Warrants Dec 2011      | 100,000,000 | 2010/09/10 | 2011/12/12 | 25.00          |
| 24817    | BOCI Asia Ltd. – Fushan Energy European Warrants May 2011    | 100,000,000 | 2010/09/10 | 2011/05/09 | 37.50          |
| 24818    | BOCI Asia Ltd. – Li & Fung European Warrants Jul 2011        | 100,000,000 | 2010/09/10 | 2011/07/11 | 35.00          |
| 24819    | BOCI Asia Ltd. – Sinofert European Warrants May 2011         | 100,000,000 | 2010/09/10 | 2011/05/09 | 37.50          |
| 24827    | BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Apr11  | 100,000,000 | 2010/09/10 | 2011/04/04 | 31.00          |
| 24828    | BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Oct11  | 100,000,000 | 2010/09/10 | 2011/10/03 | 33.00          |
| 24829    | Credit Suisse AG – HSI European Warrants Dec 2010 F          | 320,000,000 | 2010/09/10 | 2010/12/30 | 48.00          |
| 24833    | Credit Suisse AG – HSI European Put Warrants Dec 2010 G      | 320,000,000 | 2010/09/10 | 2010/12/30 | 48.00          |
| 24834    | Credit Suisse AG – HSI European Put Warrants Dec 2010 H      | 320,000,000 | 2010/09/10 | 2010/12/30 | 48.00          |
| 24836    | Deutsche Bank AG – Dongfeng Motor European Warrants Apr 11   | 100,000,000 | 2010/09/10 | 2011/04/27 | 25.00          |
| 24837    | Deutsche Bank AG – Esprit European Warrants Jan 2011         | 100,000,000 | 2010/09/10 | 2011/01/17 | 22.00          |
| 24830    | J P Morgan SP BV – Dongfeng Motor European Warrants Jun 11B  | 150,000,000 | 2010/09/10 | 2011/06/29 | 37.50          |
| 24832    | KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 G    | 200,000,000 | 2010/09/10 | 2011/03/30 | 50.00          |
| 24835    | KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 H    | 200,000,000 | 2010/09/10 | 2011/03/30 | 50.00          |
| 24809    | Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar 11B  | 100,000,000 | 2010/09/10 | 2011/03/28 | 15.00          |
| 24810    | Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Sep 2011 | 100,000,000 | 2010/09/10 | 2011/09/26 | 15.60          |
| 24815    | Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jan 2011 | 100,000,000 | 2010/09/10 | 2011/01/03 | 15.90          |
| 24807    | Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 B | 100,000,000 | 2010/09/10 | 2011/02/28 | 21.70          |
| 24808    | Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 C | 100,000,000 | 2010/09/10 | 2011/02/02 | 15.90          |
| 24814    | Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Feb 11E  | 100,000,000 | 2010/09/10 | 2011/02/08 | 15.50          |
| 24811    | Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Feb 2011   | 100,000,000 | 2010/09/10 | 2011/02/28 | 33.00          |
| 24812    | Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jan 2013   | 200,000,000 | 2010/09/10 | 2013/01/28 | 30.80          |
| 24813    | Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2012   | 150,000,000 | 2010/09/10 | 2012/04/03 | 22.50          |
| 24820    | SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 D | 120,000,000 | 2010/09/10 | 2011/01/03 | 22.80          |
| 24826    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 12B  | 300,000,000 | 2010/09/10 | 2012/04/23 | 51.00          |
| 24821    | SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Apr 2011  | 200,000,000 | 2010/09/10 | 2011/04/28 | 36.00          |
| 24825    | SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Apr 11 | 200,000,000 | 2010/09/10 | 2011/04/28 | 50.00          |
| 24822    | SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Dec 10  | 50,000,000  | 2010/09/10 | 2010/12/29 | 11.50          |
| 24823    | SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Mar 11  | 50,000,000  | 2010/09/10 | 2011/03/28 | 32.50          |
| 24824    | SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Apr 12  | 500,000,000 | 2010/09/10 | 2012/04/02 | 75.00          |
| 24843    | Barclays Bank plc – China COSCO European Warrants Sep 2011   | 100,000,000 | 2010/09/13 | 2011/09/26 | 15.00          |
| 24845    | Barclays Bank plc – CNBM European Warrants Jun 2011          | 100,000,000 | 2010/09/13 | 2011/06/20 | 25.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24842    | Barclays Bank plc – ChiUnicom European Warrants Aug 2011 B   | 100,000,000 | 2010/09/13 | 2011/08/29 | 16.00          |
| 24851    | Barclays Bank plc – HSI European Put Warrants Mar 2011       | 150,000,000 | 2010/09/13 | 2011/03/30 | 37.50          |
| 24846    | Barclays Bank plc – HSI European Warrants Feb 2011 G         | 150,000,000 | 2010/09/13 | 2011/02/25 | 25.50          |
| 24848    | Barclays Bank plc – HSI European Warrants Mar 2011 C         | 150,000,000 | 2010/09/13 | 2011/03/30 | 37.50          |
| 24849    | Barclays Bank plc – HSI European Warrants Mar 2011 D         | 150,000,000 | 2010/09/13 | 2011/03/30 | 37.50          |
| 24847    | Barclays Bank plc – HSI European Put Warrants Feb 2011 H     | 150,000,000 | 2010/09/13 | 2011/02/25 | 25.50          |
| 24844    | Barclays Bank plc – Ping An European Warrants Apr 2012       | 150,000,000 | 2010/09/13 | 2012/04/02 | 24.00          |
| 24850    | Barclays Bank plc – Ping An European Put Warrants Nov 2011   | 150,000,000 | 2010/09/13 | 2011/11/07 | 22.50          |
| 24831    | BOCI Asia Ltd. – Anta Sports European Warrants Jun 2011      | 100,000,000 | 2010/09/13 | 2011/06/13 | 25.00          |
| 24838    | BOCI Asia Ltd. – GCL-Poly Energy European Warrants Sep 2011  | 100,000,000 | 2010/09/13 | 2011/09/12 | 30.00          |
| 24839    | BOCI Asia Ltd. – Lenovo European Warrants May 2011           | 100,000,000 | 2010/09/13 | 2011/05/09 | 35.00          |
| 24840    | BOCI Asia Ltd. – Yanzhou Coal European Warrants Jul 2011     | 100,000,000 | 2010/09/13 | 2011/07/11 | 25.00          |
| 24859    | BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Sep 11 | 100,000,000 | 2010/09/13 | 2011/09/02 | 25.00          |
| 24841    | Credit Suisse AG – Ping An European Warrants Mar 2012        | 400,000,000 | 2010/09/13 | 2012/03/30 | 60.00          |
| 24862    | Deutsche Bank AG – CC Bank European Warrants Feb 2011 A      | 200,000,000 | 2010/09/13 | 2011/02/21 | 62.00          |
| 24863    | Deutsche Bank AG – Dongfeng Motor European Warrants May 11   | 100,000,000 | 2010/09/13 | 2011/05/30 | 25.00          |
| 24865    | Deutsche Bank AG – SJM Holdings European Warrants Mar 2011   | 100,000,000 | 2010/09/13 | 2011/03/14 | 25.00          |
| 24860    | KBC Fin Products Int'l Ltd. – Ping An European Wts Mar 2011  | 68,000,000  | 2010/09/13 | 2011/03/15 | 19.04          |
| 24861    | KBC Fin Products Int'l Ltd. – Ping An European Wts May 2011  | 68,000,000  | 2010/09/13 | 2011/05/03 | 17.68          |
| 24864    | KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Mar 2011  | 68,000,000  | 2010/09/13 | 2011/03/15 | 21.76          |
| 24857    | Macquarie Bank Ltd. – Esprit European Warrants Apr 2011 A    | 330,000,000 | 2010/09/13 | 2011/04/06 | 114.84         |
| 24858    | Macquarie Bank Ltd. – Esprit European Warrants Apr 2011 B    | 330,000,000 | 2010/09/13 | 2011/04/06 | 82.50          |
| 24852    | CC Rabobank B.A. – BOC HK European Warrants Apr 2011         | 120,000,000 | 2010/09/13 | 2011/04/29 | 30.00          |
| 24853    | CC Rabobank B.A. – BOC HK European Warrants Jul 2011         | 120,000,000 | 2010/09/13 | 2011/07/29 | 30.00          |
| 24854    | CC Rabobank B.A. – CPIC European Warrants May 2011           | 75,000,000  | 2010/09/13 | 2011/05/09 | 18.75          |
| 24855    | CC Rabobank B.A. – Dongfeng Motor European Warrants Apr2011A | 150,000,000 | 2010/09/13 | 2011/04/15 | 37.50          |
| 24856    | CC Rabobank B.A. – Maanshan Iron European Warrants Mar 2011  | 75,000,000  | 2010/09/13 | 2011/03/15 | 30.00          |
| 22841*   | BOCI Asia Ltd. – BYD European Warrants Dec 2010              | 500,000,000 | 2010/09/13 | 2010/12/30 | 10.00          |
| 24436*   | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 10D  | 170,000,000 | 2010/09/13 | 2010/12/29 | 29.75          |
| 24870    | Citigroup Global Mkt H Inc. – Belle Int'l European Wts Oct11 | 80,000,000  | 2010/09/14 | 2011/10/10 | 20.00          |
| 24871    | Citigroup Global Mkt H Inc. – CMOCK European Warrants Oct 12 | 80,000,000  | 2010/09/14 | 2012/10/02 | 20.00          |
| 24872    | Citigroup Global Mkt H Inc. – Dongfeng European Wts Apr 2011 | 80,000,000  | 2010/09/14 | 2011/04/28 | 20.00          |
| 24873    | Citigroup Global Mkt H Inc. – KECL European Warrants Sep 11  | 80,000,000  | 2010/09/14 | 2011/09/23 | 12.00          |
| 24879    | Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2012      | 80,000,000  | 2010/09/14 | 2012/03/05 | 12.00          |
| 24874    | Citigroup Global Mkt H Inc. – Tencent European Put Wts Feb11 | 120,000,000 | 2010/09/14 | 2011/02/23 | 18.00          |
| 24887    | Deutsche Bank AG – Angang Steel European Warrants Jun 2011 A | 100,000,000 | 2010/09/14 | 2011/06/07 | 25.00          |
| 24888    | Deutsche Bank AG – HKEEx European Put Warrants May 2011      | 200,000,000 | 2010/09/14 | 2011/05/30 | 32.00          |
| 24889    | Deutsche Bank AG – KECL European Warrants Aug 2011           | 100,000,000 | 2010/09/14 | 2011/08/15 | 15.00          |
| 24890    | Deutsche Bank AG – Maanshan Iron European Warrants Mar 2011  | 100,000,000 | 2010/09/14 | 2011/03/14 | 47.00          |
| 24876    | Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 F    | 200,000,000 | 2010/09/14 | 2011/02/25 | 30.00          |
| 24877    | Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 G    | 200,000,000 | 2010/09/14 | 2011/02/25 | 30.00          |
| 24891    | Goldman Sachs SP (Asia) – Tencent Holdings European Wt Feb11 | 200,000,000 | 2010/09/14 | 2011/02/28 | 30.00          |
| 24875    | HK Bank – HSI European Warrants Dec 2010 L                   | 300,000,000 | 2010/09/14 | 2010/12/30 | 45.00          |
| 24883    | J P Morgan SP BV – Ping An European Put Warrants Nov 2011    | 200,000,000 | 2010/09/14 | 2011/11/07 | 30.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 24881    | Macquarie Bank Ltd. – HKEx European Warrants Jan 2012         | 200,000,000 | 2010/09/14 | 2012/01/04 | 50.00          |
| 24880    | Macquarie Bank Ltd. – HKEx European Warrants Jul 2011 B       | 200,000,000 | 2010/09/14 | 2011/07/18 | 30.20          |
| 24867    | Macquarie Bank Ltd. – HSCEI European Warrants Apr 2011        | 300,000,000 | 2010/09/14 | 2011/04/28 | 49.50          |
| 24866    | Macquarie Bank Ltd. – HSI European Warrants Jan 2011          | 300,000,000 | 2010/09/14 | 2011/01/28 | 46.80          |
| 24878    | Macquarie Bank Ltd. – Sino Land European Warrants Jun 2011    | 200,000,000 | 2010/09/14 | 2011/06/15 | 50.20          |
| 24868    | Macquarie Bank Ltd. – SJM Holdings European Warrants Mar 11   | 200,000,000 | 2010/09/14 | 2011/03/15 | 50.00          |
| 24869    | Macquarie Bank Ltd. – Wynn Macau European Warrants Jun 2011   | 150,000,000 | 2010/09/14 | 2011/06/08 | 37.50          |
| 24886    | RB of Scotland N.V. – HSI European Put Warrants Dec 2010 G    | 250,000,000 | 2010/09/14 | 2010/12/30 | 45.75          |
| 24882    | UBS AG – HSI European Put Warrants Dec 2010 K                 | 200,000,000 | 2010/09/14 | 2010/12/30 | 30.00          |
| 24884    | UBS AG – Ping An European Warrants Mar 2011                   | 100,000,000 | 2010/09/14 | 2011/03/14 | 25.00          |
| 24885    | UBS AG – Tencent Holdings European Warrants Feb 2011 C        | 100,000,000 | 2010/09/14 | 2011/02/28 | 15.00          |
| 22910#   | Deutsche Bank AG – China Life European Warrants Dec 2010 A    | 300,000,000 | 2010/09/14 | 2010/12/06 | 15.30          |
| 24490#   | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 B  | 120,000,000 | 2010/09/14 | 2011/02/28 | 23.76          |
| 24003#   | CC Rabobank B.A. – BOC HK European Warrants Aug 2011          | 180,000,000 | 2010/09/14 | 2011/08/30 | 41.76          |
| 24908    | Barclays Bank plc – Angang Steel European Warrants Aug 2011   | 100,000,000 | 2010/09/15 | 2011/08/08 | 25.00          |
| 24910    | Barclays Bank plc – Chi Res Power European Warrants Jul 2011  | 100,000,000 | 2010/09/15 | 2011/07/18 | 25.00          |
| 24914    | Barclays Bank plc – A50 China European Warrants Jun 2011      | 100,000,000 | 2010/09/15 | 2011/06/20 | 16.00          |
| 24915    | Barclays Bank plc – A50 China European Warrants Nov 2011 A    | 100,000,000 | 2010/09/15 | 2011/11/07 | 15.00          |
| 24916    | Barclays Bank plc – A50 China European Warrants Nov 2011 B    | 100,000,000 | 2010/09/15 | 2011/11/21 | 15.00          |
| 24917    | Barclays Bank plc – A50 China European Warrants Jan 2012      | 100,000,000 | 2010/09/15 | 2012/01/16 | 17.00          |
| 24918    | Barclays Bank plc – A50 China European Warrants Apr 2012      | 100,000,000 | 2010/09/15 | 2012/04/30 | 15.00          |
| 24913    | Barclays Bank plc – R&F Ppt European Warrants Jul 2011        | 100,000,000 | 2010/09/15 | 2011/07/11 | 15.00          |
| 24920    | Barclays Bank plc – GCL-Poly Energy European Warrants Jul 11  | 100,000,000 | 2010/09/15 | 2011/07/18 | 26.00          |
| 24907    | Barclays Bank plc – Geely Auto European Warrants Jun 2011     | 100,000,000 | 2010/09/15 | 2011/06/20 | 31.00          |
| 24906    | Barclays Bank plc – KECL European Warrants Aug 2011           | 100,000,000 | 2010/09/15 | 2011/08/15 | 16.00          |
| 24912    | Barclays Bank plc – PICC P&C European Warrants Oct 2011       | 100,000,000 | 2010/09/15 | 2011/10/03 | 21.00          |
| 24909    | Barclays Bank plc – Shimao Ppt European Warrants Oct 2011     | 100,000,000 | 2010/09/15 | 2011/10/31 | 25.00          |
| 24911    | Barclays Bank plc – Yanzhou Coal European Warrants Aug 2011   | 100,000,000 | 2010/09/15 | 2011/08/08 | 26.00          |
| 24919    | Barclays Bank plc – Zijin Mining European Warrants May 2012   | 100,000,000 | 2010/09/15 | 2012/05/28 | 15.00          |
| 24903    | BOCI Asia Ltd. – Agricultural Bk European Warrants Dec 2011   | 100,000,000 | 2010/09/15 | 2011/12/12 | 30.00          |
| 24904    | BOCI Asia Ltd. – Chaoda Modern European Warrants Nov 2012     | 100,000,000 | 2010/09/15 | 2012/11/12 | 25.00          |
| 24942    | Credit Suisse AG – China Mobile European Warrants Dec 2011    | 300,000,000 | 2010/09/15 | 2011/12/05 | 45.00          |
| 24939    | Credit Suisse AG – China Life European Warrants Feb 2011 A    | 300,000,000 | 2010/09/15 | 2011/02/28 | 45.00          |
| 24936    | Credit Suisse AG – Minsheng Bank European Warrants Mar 2011   | 300,000,000 | 2010/09/15 | 2011/03/28 | 75.00          |
| 24938    | Credit Suisse AG – CPIC European Warrants Feb 2011            | 300,000,000 | 2010/09/15 | 2011/02/28 | 45.00          |
| 24935    | Credit Suisse AG – HSBC European Wts Jan 2011 A               | 300,000,000 | 2010/09/15 | 2011/01/31 | 45.00          |
| 24937    | Credit Suisse AG – Ping An European Warrants Mar 2011         | 300,000,000 | 2010/09/15 | 2011/03/28 | 75.00          |
| 24933    | Citigroup Global Mkt H Inc. – CNBM European Warrants Jun 11   | 80,000,000  | 2010/09/15 | 2011/06/07 | 20.00          |
| 24934    | Citigroup Global Mkt H Inc. – SJM Holdings European Wt Aug 11 | 80,000,000  | 2010/09/15 | 2011/08/08 | 20.00          |
| 24943    | Deutsche Bank AG – Geely Auto European Warrants Mar 2011 A    | 100,000,000 | 2010/09/15 | 2011/03/21 | 25.00          |
| 24905    | Goldman Sachs SP (Asia) – Agricultural Bk European Wts Apr 11 | 200,000,000 | 2010/09/15 | 2011/04/18 | 50.00          |
| 24930    | Macquarie Bank Ltd. – BOCHK European Warrants Jun 2011 B      | 200,000,000 | 2010/09/15 | 2011/06/29 | 50.00          |
| 24932    | Macquarie Bank Ltd. – China Unicom European Wts Dec 2011      | 200,000,000 | 2010/09/15 | 2011/12/02 | 50.20          |
| 24931    | Macquarie Bank Ltd. – CM Bank European Warrants Apr 2011      | 200,000,000 | 2010/09/15 | 2011/04/04 | 50.00          |



# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 24892    | Macquarie Bank Ltd. – Wharf European Warrants May 2011       | 150,000,000 | 2010/09/15 | 2011/05/20 | 38.85          |
| 24895    | Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Mar 11 | 100,000,000 | 2010/09/15 | 2011/03/21 | 15.10          |
| 24899    | Merrill Lynch Int'l & Co. CV-Agricultural Bk Eu Put Wt Mar11 | 100,000,000 | 2010/09/15 | 2011/03/28 | 25.50          |
| 24896    | Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Aug 2011    | 150,000,000 | 2010/09/15 | 2011/08/29 | 38.25          |
| 24902    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Mar 2011   | 100,000,000 | 2010/09/15 | 2011/03/08 | 15.80          |
| 24897    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Feb 2012   | 100,000,000 | 2010/09/15 | 2012/02/27 | 17.40          |
| 24898    | Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2012 B | 100,000,000 | 2010/09/15 | 2012/04/23 | 23.00          |
| 24893    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11H | 200,000,000 | 2010/09/15 | 2011/02/25 | 30.40          |
| 24894    | Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11I | 200,000,000 | 2010/09/15 | 2011/02/25 | 30.80          |
| 24900    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11J | 200,000,000 | 2010/09/15 | 2011/02/25 | 37.00          |
| 24901    | Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11K | 200,000,000 | 2010/09/15 | 2011/02/25 | 51.00          |
| 24941    | Standard Chartered Bank – China Mobile European Wts Dec 2011 | 150,000,000 | 2010/09/15 | 2011/12/05 | 22.50          |
| 24921    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 C | 200,000,000 | 2010/09/15 | 2011/02/21 | 34.00          |
| 24922    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 A | 200,000,000 | 2010/09/15 | 2011/04/04 | 62.00          |
| 24923    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 B | 200,000,000 | 2010/09/15 | 2011/04/04 | 50.00          |
| 24925    | SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2011   | 120,000,000 | 2010/09/15 | 2011/03/02 | 26.40          |
| 24924    | SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2011C | 60,000,000  | 2010/09/15 | 2011/02/28 | 10.80          |
| 24926    | SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Mar 11B  | 80,000,000  | 2010/09/15 | 2011/03/28 | 20.00          |
| 24928    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jul 2011 | 300,000,000 | 2010/09/15 | 2011/07/06 | 63.00          |
| 24927    | SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2011   | 200,000,000 | 2010/09/15 | 2011/08/08 | 30.00          |
| 24940    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 A | 300,000,000 | 2010/09/15 | 2011/03/30 | 45.00          |
| 24929    | SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2011   | 120,000,000 | 2010/09/15 | 2011/03/16 | 31.20          |
| 24944    | BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wts Aug 2011  | 100,000,000 | 2010/09/16 | 2011/08/02 | 31.00          |
| 24945    | BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2011   | 100,000,000 | 2010/09/16 | 2011/07/04 | 28.00          |
| 24952    | BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Apr 2012      | 100,000,000 | 2010/09/16 | 2012/04/27 | 16.00          |
| 24951    | Credit Suisse AG – Hutchison European Warrants Mar 2011      | 300,000,000 | 2010/09/16 | 2011/03/28 | 165.00         |
| 24962    | Deutsche Bank AG – BankComm European Warrants Feb 2011       | 150,000,000 | 2010/09/16 | 2011/02/14 | 55.50          |
| 24965    | Deutsche Bank AG – CC Bank European Put Warrants Mar 2011    | 150,000,000 | 2010/09/16 | 2011/03/21 | 51.00          |
| 24963    | Deutsche Bank AG – Cathay Pacific European Warrants Mar 2011 | 100,000,000 | 2010/09/16 | 2011/03/21 | 25.00          |
| 24964    | Deutsche Bank AG – CPIC European Warrants Feb 2011           | 100,000,000 | 2010/09/16 | 2011/02/28 | 21.00          |
| 24950    | HK Bank – China Mobile European Warrants Jan 2011            | 250,000,000 | 2010/09/16 | 2011/01/17 | 37.50          |
| 24949    | J P Morgan SP BV – China Mob European Wts Dec 2010           | 100,000,000 | 2010/09/16 | 2010/12/22 | 15.00          |
| 24955    | J P Morgan SP BV – China Mob European Wts Dec 2011           | 300,000,000 | 2010/09/16 | 2011/12/05 | 45.00          |
| 24946    | J P Morgan SP BV – Ping An European Warrants Mar 2012        | 200,000,000 | 2010/09/16 | 2012/03/05 | 30.00          |
| 24947    | J P Morgan SP BV – Skyworth Digital European Wts Jan 2012    | 200,000,000 | 2010/09/16 | 2012/01/18 | 30.00          |
| 24956    | CC Rabobank B.A. – Chaoda Modern European Warrants Jan 2012  | 250,000,000 | 2010/09/16 | 2012/01/30 | 62.50          |
| 24958    | CC Rabobank B.A. – Greentown China European Wts Aug 2011     | 200,000,000 | 2010/09/16 | 2011/08/30 | 58.00          |
| 24957    | CC Rabobank B.A. – GCL-Poly Energy European Wts Mar 2011 B   | 100,000,000 | 2010/09/16 | 2011/03/28 | 25.00          |
| 24959    | CC Rabobank B.A. – PCD Stores European Warrants Mar 2011     | 100,000,000 | 2010/09/16 | 2011/03/28 | 32.00          |
| 24960    | CC Rabobank B.A. – Yanzhou Coal European Warrants Mar 2011   | 100,000,000 | 2010/09/16 | 2011/03/18 | 25.00          |
| 24961    | RB of Scotland N.V. – Agricultural Bk Euro Wts Feb 2011 B    | 150,000,000 | 2010/09/16 | 2011/02/18 | 25.80          |
| 24953    | UBS AG – China Mobile European Warrants Jan 2011             | 100,000,000 | 2010/09/16 | 2011/01/24 | 25.50          |
| 24948    | UBS AG – A50 China European Warrants Apr 2012                | 100,000,000 | 2010/09/16 | 2012/04/24 | 15.00          |
| 24954    | UBS AG – HSI European Put Warrants Dec 2010 L                | 200,000,000 | 2010/09/16 | 2010/12/30 | 30.00          |

# 主 板 認 股 權 證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 23160#   | Deutsche Bank AG – China Life European Warrants Dec 2010 B   | 300,000,000 | 2010/09/16 | 2010/12/28 | 46.50          |
| 24613#   | Standard Chartered Bank – China Mobile European Wts Dec 2010 | 100,000,000 | 2010/09/16 | 2010/12/22 | 13.30          |
| 24991    | BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Apr 2011   | 100,000,000 | 2010/09/17 | 2011/04/04 | 25.00          |
| 24990    | BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Mar 2011   | 68,000,000  | 2010/09/17 | 2011/03/02 | 14.96          |
| 24992    | BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Mar 2011 | 100,000,000 | 2010/09/17 | 2011/03/02 | 15.00          |
| 24993    | BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Apr 2011 | 100,000,000 | 2010/09/17 | 2011/04/04 | 25.00          |
| 24984    | Credit Suisse AG – Agricultural Bank European Wts Mar 2011   | 300,000,000 | 2010/09/17 | 2011/03/21 | 45.00          |
| 24988    | Credit Suisse AG – Agricultural Bank European Put Wts Mar11  | 300,000,000 | 2010/09/17 | 2011/03/17 | 75.00          |
| 24983    | Credit Suisse AG – A50 China European Warrants Nov 2011      | 300,000,000 | 2010/09/17 | 2011/11/07 | 45.00          |
| 24980    | Citigroup Global Mkt H Inc. – Angang Steel European Wt May11 | 80,000,000  | 2010/09/17 | 2011/05/30 | 20.00          |
| 24981    | Citigroup Global Mkt H Inc. – CM Bank European Put Wts Mar11 | 100,000,000 | 2010/09/17 | 2011/03/17 | 25.00          |
| 24982    | Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Jun 2011    | 100,000,000 | 2010/09/17 | 2011/06/07 | 15.00          |
| 24979    | Citigroup Global Mkt H Inc. – HSI European Warrants Dec 2010 | 80,000,000  | 2010/09/17 | 2010/12/30 | 12.00          |
| 24986    | Deutsche Bank AG – China Mobile European Warrants Jan 2011   | 200,000,000 | 2010/09/17 | 2011/01/24 | 88.00          |
| 24985    | Deutsche Bank AG – CNOOC European Warrants Jun 2011          | 200,000,000 | 2010/09/17 | 2011/06/27 | 30.00          |
| 24977    | Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2011 B  | 200,000,000 | 2010/09/17 | 2011/03/21 | 50.00          |
| 24978    | Goldman Sachs SP (Asia) – CNOOC European Warrants Apr 2011   | 200,000,000 | 2010/09/17 | 2011/04/18 | 30.00          |
| 24996    | Goldman Sachs SP (Asia) – Tencent Holdings European Wt Apr11 | 200,000,000 | 2010/09/17 | 2011/04/26 | 32.00          |
| 24995    | Goldman Sachs SP (Asia) – Tencent Holdings Euro Put Wt Sep11 | 200,000,000 | 2010/09/17 | 2011/09/19 | 50.00          |
| 24994    | KBC Fin Products Int'l Ltd. – BOC HK European Wts Aug 2011   | 128,000,000 | 2010/09/17 | 2011/08/01 | 32.00          |
| 24987    | KBC Fin Products Int'l Ltd. – HKEx European Warrants Aug 11  | 108,000,000 | 2010/09/17 | 2011/08/08 | 16.20          |
| 24976    | Macquarie Bank Ltd. – GAC European Wts May 2011              | 200,000,000 | 2010/09/17 | 2011/05/04 | 50.00          |
| 24966    | Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Apr 2011  | 100,000,000 | 2010/09/17 | 2011/04/18 | 31.50          |
| 24967    | Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt May 2011  | 100,000,000 | 2010/09/17 | 2011/05/23 | 46.50          |
| 24970    | Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Put Wts Apr 11 | 100,000,000 | 2010/09/17 | 2011/04/11 | 15.00          |
| 24968    | Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Aug 11A  | 100,000,000 | 2010/09/17 | 2011/08/16 | 22.20          |
| 24969    | Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Aug 11B  | 100,000,000 | 2010/09/17 | 2011/08/23 | 15.10          |
| 24971    | Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Jan 12  | 100,000,000 | 2010/09/17 | 2012/01/18 | 19.80          |
| 24972    | Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Apr 2011 | 150,000,000 | 2010/09/17 | 2011/04/28 | 22.80          |
| 24973    | Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Feb 11B  | 150,000,000 | 2010/09/17 | 2011/02/25 | 24.90          |
| 24989    | CC Rabobank B.A. – CNOOC European Warrants Apr 2011          | 120,000,000 | 2010/09/17 | 2011/04/11 | 18.00          |
| 10996    | RB of Scotland N.V. – DJIA European Warrants Mar 2011 C      | 100,000,000 | 2010/09/17 | 2011/03/18 | 25.00          |
| 10001    | RB of Scotland N.V. – DJIA European Put Warrants Mar 2011 D  | 100,000,000 | 2010/09/17 | 2011/03/18 | 25.30          |
| 10993    | RB of Scotland N.V. – Gold European Warrants Mar 2011        | 100,000,000 | 2010/09/17 | 2011/03/30 | 26.10          |
| 10997    | RB of Scotland N.V. – Gold European Put Warrants Mar 2011    | 100,000,000 | 2010/09/17 | 2011/03/30 | 25.00          |
| 24975    | SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Mar 11  | 150,000,000 | 2010/09/17 | 2011/03/16 | 33.00          |
| 24974    | SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 2012 | 300,000,000 | 2010/09/17 | 2012/01/30 | 45.00          |
| 23506#   | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011   | 300,000,000 | 2010/09/17 | 2011/12/05 | 29.10          |
| 25017    | Credit Suisse AG – Agricultural Bank European Wts Jan 2011 E | 300,000,000 | 2010/09/20 | 2011/01/10 | 45.00          |
| 25018    | Credit Suisse AG – Agricultural Bank European Wts Feb 2011 B | 300,000,000 | 2010/09/20 | 2011/02/22 | 45.00          |
| 25016    | Credit Suisse AG – HKEx European Warrants Nov 2012           | 400,000,000 | 2010/09/20 | 2012/11/26 | 100.00         |
| 25001    | Citigroup Global Mkt H Inc. – CNOOC European Wts May 2011    | 120,000,000 | 2010/09/20 | 2011/05/30 | 30.00          |
| 25013    | Deutsche Bank AG – China Mobile European Warrants Mar 2011   | 200,000,000 | 2010/09/20 | 2011/03/28 | 156.00         |
| 25011    | Deutsche Bank AG – CC Bank European Warrants Mar 2011 A      | 200,000,000 | 2010/09/20 | 2011/03/07 | 48.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 25012    | Deutsche Bank AG – China Life European Warrants Mar 2011 B    | 300,000,000 | 2010/09/20 | 2011/03/28 | 78.00          |
| 25015    | Deutsche Bank AG – CNOOC European Warrants Apr 2011 B         | 200,000,000 | 2010/09/20 | 2011/04/04 | 30.00          |
| 25014    | Deutsche Bank AG – Sinopec Corp European Warrants Mar 2011    | 150,000,000 | 2010/09/20 | 2011/03/28 | 54.00          |
| 10998    | Deutsche Bank AG – Gold European Warrants Apr 2011            | 50,000,000  | 2010/09/20 | 2011/04/19 | 12.50          |
| 25000    | HK Bank – BOC HK European Warrants Jun 2011                   | 160,000,000 | 2010/09/20 | 2011/06/23 | 40.00          |
| 25009    | KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 G     | 200,000,000 | 2010/09/20 | 2011/02/25 | 30.00          |
| 25008    | KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 B    | 168,000,000 | 2010/09/20 | 2011/03/01 | 28.56          |
| 25007    | Macquarie Bank Ltd. – China Coal European Warrants Jan 2011   | 200,000,000 | 2010/09/20 | 2011/01/24 | 30.20          |
| 25010    | Standard Chartered Bank – HKEx European Warrants Aug 2011     | 150,000,000 | 2010/09/20 | 2011/08/08 | 22.50          |
| 25002    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 C  | 200,000,000 | 2010/09/20 | 2011/04/04 | 64.00          |
| 25003    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 A  | 200,000,000 | 2010/09/20 | 2011/05/04 | 60.00          |
| 25004    | SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 B  | 200,000,000 | 2010/09/20 | 2011/05/04 | 50.00          |
| 24997    | SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Dec 11  | 500,000,000 | 2010/09/20 | 2011/12/21 | 75.00          |
| 25005    | SGA Societe Generale Acceptance N.V. – CMB Euro Wts Apr 2011  | 150,000,000 | 2010/09/20 | 2011/04/11 | 25.50          |
| 24998    | SGA Societe Generale Acceptance N.V. – CP&CC Eu Put Wt Feb11  | 50,000,000  | 2010/09/20 | 2011/02/14 | 12.00          |
| 24999    | SGA Societe Generale Acceptance N.V.-Nine Dragons Eu Wt Nov11 | 150,000,000 | 2010/09/20 | 2011/11/02 | 31.50          |
| 25006    | UBS AG – HSI European Warrants Dec 2010 M                     | 200,000,000 | 2010/09/20 | 2010/12/30 | 30.00          |
| 23343#   | KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 B    | 130,000,000 | 2010/09/20 | 2011/01/03 | 22.49          |
| 25028    | BOCI Asia Ltd. – CNOOC European Warrants Apr 2012             | 100,000,000 | 2010/09/21 | 2012/04/10 | 25.00          |
| 25029    | BOCI Asia Ltd. – Ping An European Warrants Apr 2012           | 300,000,000 | 2010/09/21 | 2012/04/10 | 51.00          |
| 25032    | Credit Suisse AG – China Mobile European Warrants Jan 2011 A  | 300,000,000 | 2010/09/21 | 2011/01/31 | 45.00          |
| 25049    | Credit Suisse AG – China Life European Warrants Feb 2011 B    | 300,000,000 | 2010/09/21 | 2011/02/21 | 45.00          |
| 25033    | Credit Suisse AG – CNOOC European Warrants Apr 2011 A         | 300,000,000 | 2010/09/21 | 2011/04/11 | 45.00          |
| 25034    | Credit Suisse AG – CNOOC European Warrants Apr 2011 B         | 300,000,000 | 2010/09/21 | 2011/04/11 | 45.00          |
| 25030    | Credit Suisse AG – HSI European Put Warrants Dec 2010 I       | 300,000,000 | 2010/09/21 | 2010/12/30 | 45.00          |
| 25031    | Credit Suisse AG – HSI European Put Warrants Feb 2011 B       | 320,000,000 | 2010/09/21 | 2011/02/25 | 48.00          |
| 25035    | Deutsche Bank AG – Agricultural Bk European Put Wts Feb11     | 100,000,000 | 2010/09/21 | 2011/02/21 | 15.00          |
| 25036    | Deutsche Bank AG – CHALCO European Warrants Jun 2011          | 100,000,000 | 2010/09/21 | 2011/06/27 | 15.00          |
| 25037    | Deutsche Bank AG – BOC HK European Warrants Mar 2011          | 150,000,000 | 2010/09/21 | 2011/03/21 | 37.50          |
| 25038    | Deutsche Bank AG – China Telecom European Wts Mar 2011        | 100,000,000 | 2010/09/21 | 2011/03/21 | 31.00          |
| 25039    | Deutsche Bank AG – Fushan Energy European Warrants Mar 2011   | 100,000,000 | 2010/09/21 | 2011/03/21 | 52.00          |
| 25040    | Deutsche Bank AG – Gome European Warrants Feb 2011            | 100,000,000 | 2010/09/21 | 2011/02/28 | 27.00          |
| 25041    | Deutsche Bank AG – Li & Fung European Warrants Mar 2011       | 100,000,000 | 2010/09/21 | 2011/03/21 | 25.00          |
| 25042    | Deutsche Bank AG – PetroChina European Warrants Mar 2011      | 150,000,000 | 2010/09/21 | 2011/03/21 | 64.50          |
| 25043    | Deutsche Bank AG – Sinopharm European Warrants Mar 2011       | 100,000,000 | 2010/09/21 | 2011/03/21 | 34.00          |
| 25048    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr 2011  | 158,000,000 | 2010/09/21 | 2011/04/01 | 42.66          |
| 25046    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11 B  | 198,000,000 | 2010/09/21 | 2011/03/21 | 49.50          |
| 25047    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11 C  | 198,000,000 | 2010/09/21 | 2011/03/21 | 49.50          |
| 25044    | Macquarie Bank Ltd. – China Shenhua European Wts Apr 2011     | 200,000,000 | 2010/09/21 | 2011/04/04 | 50.40          |
| 25045    | Macquarie Bank Ltd. – Ping An European Warrants Mar 2012      | 250,000,000 | 2010/09/21 | 2012/03/05 | 38.00          |
| 25020    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 B  | 100,000,000 | 2010/09/21 | 2011/01/25 | 32.00          |
| 25021    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 C  | 100,000,000 | 2010/09/21 | 2011/01/26 | 20.80          |
| 25022    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2011 A  | 100,000,000 | 2010/09/21 | 2011/02/15 | 16.00          |
| 25023    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2011 B  | 100,000,000 | 2010/09/21 | 2011/02/21 | 16.40          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 25024    | Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11B | 100,000,000 | 2010/09/21 | 2011/12/20 | 18.90          |
| 25025    | Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2010   | 100,000,000 | 2010/09/21 | 2010/12/28 | 17.20          |
| 25027    | Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Mar 2011 B | 100,000,000 | 2010/09/21 | 2011/03/21 | 26.00          |
| 25026    | Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Jun 12 | 100,000,000 | 2010/09/21 | 2012/06/11 | 25.00          |
| 25019    | RB of Scotland N.V. – Agricultural Bk European Wts Mar 2011  | 150,000,000 | 2010/09/21 | 2011/03/24 | 42.00          |
| 25054    | RB of Scotland N.V. – A50 China European Put Wts Jan 2011    | 250,000,000 | 2010/09/21 | 2011/01/24 | 38.00          |
| 25055    | RB of Scotland N.V. – A50 China European Put Wts Apr 2011    | 250,000,000 | 2010/09/21 | 2011/04/18 | 41.00          |
| 25050    | RB of Scotland N.V. – HKEx European Warrants Jun 2011        | 250,000,000 | 2010/09/21 | 2011/06/27 | 38.25          |
| 25051    | RB of Scotland N.V. – HSBC European Warrants Jan 2011 A      | 100,000,000 | 2010/09/21 | 2011/01/31 | 36.10          |
| 25052    | RB of Scotland N.V. – HSBC European Warrants Jan 2011 B      | 100,000,000 | 2010/09/21 | 2011/01/31 | 26.00          |
| 25053    | RB of Scotland N.V. – HSBC European Warrants Feb 2011 B      | 100,000,000 | 2010/09/21 | 2011/02/11 | 21.00          |
| 25057    | Barclays Bank plc – Agricultural Bank European Wts Apr 2011  | 100,000,000 | 2010/09/22 | 2011/04/18 | 25.00          |
| 25056    | Barclays Bank plc – CNOOC European Warrants Jun 2011         | 100,000,000 | 2010/09/22 | 2011/06/27 | 16.00          |
| 25060    | Barclays Bank plc – CNOOC European Put Warrants Jun 2011     | 100,000,000 | 2010/09/22 | 2011/06/20 | 15.00          |
| 25058    | Barclays Bank plc – HKEx European Warrants Jul 2012          | 150,000,000 | 2010/09/22 | 2012/07/30 | 37.50          |
| 25059    | Barclays Bank plc –Tencent Holdings European Warrants Jun 11 | 150,000,000 | 2010/09/22 | 2011/06/20 | 37.50          |
| 25061    | Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2011   | 80,000,000  | 2010/09/22 | 2011/04/28 | 20.00          |
| 25070    | Deutsche Bank AG – Air China European Warrants May 2011      | 100,000,000 | 2010/09/22 | 2011/05/23 | 25.00          |
| 25071    | Deutsche Bank AG – Angang Steel European Warrants Jun 2011 B | 100,000,000 | 2010/09/22 | 2011/06/27 | 25.00          |
| 25073    | Deutsche Bank AG – Ch LongYuan European Warrants Dec 2011    | 100,000,000 | 2010/09/22 | 2011/12/28 | 15.00          |
| 25074    | Deutsche Bank AG – China Overseas European Warrants May 2011 | 100,000,000 | 2010/09/22 | 2011/05/11 | 25.00          |
| 25072    | Deutsche Bank AG – CC Bank European Warrants Mar 2011 B      | 200,000,000 | 2010/09/22 | 2011/03/28 | 34.00          |
| 25075    | Deutsche Bank AG – Geely Auto European Warrants Mar 2011 B   | 100,000,000 | 2010/09/22 | 2011/03/28 | 40.00          |
| 25076    | Deutsche Bank AG – Jiangxi Copper European Warrants Apr 2011 | 100,000,000 | 2010/09/22 | 2011/04/18 | 25.00          |
| 25078    | Deutsche Bank AG – SHK Ppt European Warrants Aug 2011        | 200,000,000 | 2010/09/22 | 2011/08/01 | 30.00          |
| 25077    | Deutsche Bank AG – SJM Holdings European Warrants Jun 2011   | 100,000,000 | 2010/09/22 | 2011/06/27 | 25.00          |
| 25079    | Deutsche Bank AG – Tencent Holdings European Warrants Apr11A | 200,000,000 | 2010/09/22 | 2011/04/18 | 50.00          |
| 25065    | HK Bank – HKEx European Warrants Nov 2011 A                  | 160,000,000 | 2010/09/22 | 2011/11/08 | 40.00          |
| 25080    | J P Morgan SP BV – Angang Steel European Warrants May 2011   | 200,000,000 | 2010/09/22 | 2011/05/03 | 50.00          |
| 25081    | J P Morgan SP BV – Anta Sports European Warrants Mar 2011    | 100,000,000 | 2010/09/22 | 2011/03/21 | 15.00          |
| 25082    | J P Morgan SP BV – China Unicom European Warrants Aug 2011   | 200,000,000 | 2010/09/22 | 2011/08/29 | 50.00          |
| 25083    | J P Morgan SP BV – Hutchison European Warrants Feb 2011 C    | 100,000,000 | 2010/09/22 | 2011/02/14 | 15.00          |
| 25068    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 E | 208,000,000 | 2010/09/22 | 2011/03/14 | 37.44          |
| 25069    | KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011   | 188,000,000 | 2010/09/22 | 2011/04/01 | 47.00          |
| 25084    | KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2011 B   | 128,000,000 | 2010/09/22 | 2011/03/22 | 32.00          |
| 25066    | KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2011     | 158,000,000 | 2010/09/22 | 2011/04/01 | 47.40          |
| 25087    | CC Rabobank B.A. – Anhui Conch European Warrants Mar 2011    | 75,000,000  | 2010/09/22 | 2011/03/29 | 28.50          |
| 25089    | CC Rabobank B.A. – BOCL European Warrants Apr 2011           | 100,000,000 | 2010/09/22 | 2011/04/04 | 15.00          |
| 25085    | CC Rabobank B.A. – Jiangxi Copper European Warrants Mar 2011 | 100,000,000 | 2010/09/22 | 2011/03/24 | 25.00          |
| 25088    | CC Rabobank B.A. – Lenovo European Warrants Mar 2011         | 100,000,000 | 2010/09/22 | 2011/03/01 | 40.00          |
| 25086    | CC Rabobank B.A. – PetroChina European Warrants Feb 2011 B   | 100,000,000 | 2010/09/22 | 2011/02/25 | 38.00          |
| 25062    | SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11B  | 200,000,000 | 2010/09/22 | 2011/02/14 | 30.00          |
| 25067    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11C | 300,000,000 | 2010/09/22 | 2011/03/30 | 45.00          |
| 25064    | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 11  | 300,000,000 | 2010/09/22 | 2011/06/29 | 45.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 25063    | SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 B | 300,000,000 | 2010/09/22 | 2011/03/30 | 45.00          |
| 25090    | UBS AG – HSI European Warrants Mar 2011 A                    | 200,000,000 | 2010/09/22 | 2011/03/30 | 30.00          |
| 25091    | UBS AG – HSI European Put Warrants Feb 2011 B                | 200,000,000 | 2010/09/22 | 2011/02/25 | 30.00          |
| 25093    | BOCI Asia Ltd. – China Tel European Warrants Aug 2011        | 100,000,000 | 2010/09/24 | 2011/08/29 | 35.00          |
| 25094    | BOCI Asia Ltd. – Henganintl European Warrants Jun 2012       | 200,000,000 | 2010/09/24 | 2012/06/29 | 35.00          |
| 25092    | BOCI Asia Ltd. – China Mengniu European Warrants Dec 2011    | 100,000,000 | 2010/09/24 | 2011/12/19 | 30.00          |
| 25096    | BNP Paribas Arbit Issu B.V. – HKEx European Wts Nov 2012     | 100,000,000 | 2010/09/24 | 2012/11/01 | 25.00          |
| 25097    | BNP Paribas Arbit Issu B.V. -Li Ning European Warrants Jun11 | 80,000,000  | 2010/09/24 | 2011/06/01 | 25.60          |
| 25095    | BNP Paribas Arbit Issu B.V. – China Mengniu Euro Wts Aug 11  | 100,000,000 | 2010/09/24 | 2011/08/02 | 28.00          |
| 25098    | BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Dec 11   | 100,000,000 | 2010/09/24 | 2011/12/02 | 25.00          |
| 25100    | Deutsche Bank AG – CITIC Bank European Warrants Mar 2011     | 100,000,000 | 2010/09/24 | 2011/03/28 | 31.00          |
| 25101    | Deutsche Bank AG – China Mobile European Warrants Feb 2011 C | 200,000,000 | 2010/09/24 | 2011/02/28 | 76.00          |
| 10999    | Deutsche Bank AG – EUR/USD European Put Warrants Mar 2011 B  | 50,000,000  | 2010/09/24 | 2011/03/28 | 14.00          |
| 25102    | Deutsche Bank AG – PICC P&C European Warrants Aug 2011       | 100,000,000 | 2010/09/24 | 2011/08/01 | 25.00          |
| 25103    | Deutsche Bank AG – Ping An European Warrants Mar 2012        | 100,000,000 | 2010/09/24 | 2012/03/05 | 15.00          |
| 25104    | Deutsche Bank AG – Sands China European Warrants Feb 2011    | 100,000,000 | 2010/09/24 | 2011/02/14 | 15.00          |
| 25106    | Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2011 B    | 200,000,000 | 2010/09/24 | 2011/03/28 | 50.00          |
| 25107    | Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2011   | 200,000,000 | 2010/09/24 | 2011/06/27 | 30.00          |
| 25105    | KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11D  | 198,000,000 | 2010/09/24 | 2011/03/24 | 49.50          |
| 25099    | UBS AG – HKEx European Warrants Oct 2011 B                   | 200,000,000 | 2010/09/24 | 2011/10/31 | 50.00          |
| 22232*   | BOCI Asia Ltd. – HKEx European Put Warrants Mar 2011         | 500,000,000 | 2010/09/24 | 2011/03/11 | 34.50          |
| 25120    | BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jul 15 | 100,000,000 | 2010/09/27 | 2015/07/08 | 15.00          |
| 25121    | BNP Paribas Arbit Issu B.V. – KECL European Warrants Sep 12  | 100,000,000 | 2010/09/27 | 2012/09/03 | 25.00          |
| 25122    | Credit Suisse AG – Sinopec Corp European Warrants Jul 2011   | 300,000,000 | 2010/09/27 | 2011/07/25 | 45.00          |
| 25123    | Deutsche Bank AG – Alibaba European Warrants Apr 2011        | 100,000,000 | 2010/09/27 | 2011/04/04 | 25.00          |
| 10002    | Deutsche Bank AG – AUD/USD European Warrants Apr 2011        | 50,000,000  | 2010/09/27 | 2011/04/11 | 12.50          |
| 25124    | Deutsche Bank AG – CM Bank European Warrants Mar 2011        | 100,000,000 | 2010/09/27 | 2011/03/28 | 25.00          |
| 25125    | Deutsche Bank AG – CNBM European Warrants Mar 2011           | 80,000,000  | 2010/09/27 | 2011/03/28 | 20.00          |
| 25126    | Deutsche Bank AG – China Shenhua European Warrants Feb 2011  | 80,000,000  | 2010/09/27 | 2011/02/21 | 17.60          |
| 25109    | Goldman Sachs SP (Asia) – Agricultural Bk European Wts Jan11 | 200,000,000 | 2010/09/27 | 2011/01/24 | 36.00          |
| 25110    | Goldman Sachs SP (Asia) – Agricultural Bk European Wts May11 | 200,000,000 | 2010/09/27 | 2011/05/16 | 50.00          |
| 25115    | HK Bank – CNBM European Warrants Jun 2011                    | 100,000,000 | 2010/09/27 | 2011/06/22 | 25.00          |
| 25116    | HK Bank – CNOOC European Warrants Aug 2011                   | 160,000,000 | 2010/09/27 | 2011/08/30 | 40.00          |
| 25111    | HK Bank – HSCEI European Warrants Mar 2011 A                 | 200,000,000 | 2010/09/27 | 2011/03/30 | 50.00          |
| 25112    | HK Bank – HSCEI European Warrants Mar 2011 B                 | 200,000,000 | 2010/09/27 | 2011/03/30 | 50.00          |
| 25113    | HK Bank – HSCEI European Warrants Jun 2011                   | 200,000,000 | 2010/09/27 | 2011/06/29 | 50.00          |
| 25114    | HK Bank – HSCEI European Warrants Dec 2011                   | 200,000,000 | 2010/09/27 | 2011/12/29 | 50.00          |
| 25118    | HK Bank – HSCEI European Put Warrants Mar 2011               | 200,000,000 | 2010/09/27 | 2011/03/30 | 50.00          |
| 25117    | HK Bank – PetroChina European Warrants Mar 2011              | 150,000,000 | 2010/09/27 | 2011/03/28 | 37.50          |
| 25119    | J P Morgan SP BV – HSBC European Warrants Jan 2011           | 100,000,000 | 2010/09/27 | 2011/01/31 | 17.40          |
| 25108    | Macquarie Bank Ltd. – HSI European Put Warrants Jan 2011     | 250,000,000 | 2010/09/27 | 2011/01/28 | 37.50          |
| 25154    | BNP Paribas Arbit Issu B.V. – China Unicom European Wt Oct12 | 100,000,000 | 2010/09/28 | 2012/10/03 | 27.00          |
| 25153    | BNP Paribas Arbit Issu B.V. – CNBM European Warrants Oct 11  | 100,000,000 | 2010/09/28 | 2011/10/03 | 28.00          |
| 25139    | BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2011      | 100,000,000 | 2010/09/28 | 2011/03/30 | 25.00          |



# 主板 認股權證

| 股份<br>代號 | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 25143    | BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2011      | 100,000,000 | 2010/09/28 | 2011/03/30 | 26.00          |
| 25155    | BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Mar 2012 | 100,000,000 | 2010/09/28 | 2012/03/02 | 31.00          |
| 25156    | BNP Paribas Arbit Issu B.V. – M I&S European Wts Mar 2011    | 43,000,000  | 2010/09/28 | 2011/03/07 | 17.20          |
| 25157    | BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Jul 12 | 100,000,000 | 2010/09/28 | 2012/07/03 | 27.00          |
| 25158    | BNP Paribas Arbit Issu B.V. -Ting Yi European Warrants Jan12 | 76,000,000  | 2010/09/28 | 2012/01/04 | 28.12          |
| 25145    | Credit Suisse AG – Hutchison European Warrants Feb 2011 C    | 300,000,000 | 2010/09/28 | 2011/02/07 | 45.00          |
| 25161    | Deutsche Bank AG – Minsheng Bank European Warrants Mar 2011  | 150,000,000 | 2010/09/28 | 2011/03/28 | 52.50          |
| 25160    | Deutsche Bank AG – China Railway European Warrants Mar 2011  | 100,000,000 | 2010/09/28 | 2011/03/28 | 41.00          |
| 25159    | Deutsche Bank AG -CRCC European Warrants Aug 2011            | 100,000,000 | 2010/09/28 | 2011/08/01 | 15.00          |
| 25148    | Deutsche Bank AG – HSI European Warrants Feb 2011 I          | 300,000,000 | 2010/09/28 | 2011/02/25 | 45.00          |
| 25149    | Deutsche Bank AG – HSI European Warrants Mar 2011 A          | 300,000,000 | 2010/09/28 | 2011/03/30 | 75.00          |
| 25150    | Deutsche Bank AG – HSI European Warrants Mar 2011 B          | 300,000,000 | 2010/09/28 | 2011/03/30 | 45.00          |
| 25151    | Deutsche Bank AG – HSI European Put Warrants Mar 2011 C      | 300,000,000 | 2010/09/28 | 2011/03/30 | 75.00          |
| 25152    | Deutsche Bank AG – HSI European Put Warrants Mar 2011 D      | 300,000,000 | 2010/09/28 | 2011/03/30 | 84.00          |
| 25162    | Deutsche Bank AG – ICBC European Warrants Mar 2011 B         | 200,000,000 | 2010/09/28 | 2011/03/28 | 94.00          |
| 25163    | Deutsche Bank AG – Zijin Mining European Warrants Feb 2011   | 100,000,000 | 2010/09/28 | 2011/02/21 | 48.00          |
| 25133    | HK Bank – HSI European Put Warrants Jun 2011                 | 280,000,000 | 2010/09/28 | 2011/06/29 | 70.00          |
| 25131    | HK Bank – HSI European Warrants Mar 2011 D                   | 280,000,000 | 2010/09/28 | 2011/03/30 | 70.00          |
| 25132    | HK Bank – HSI European Warrants Mar 2011 E                   | 280,000,000 | 2010/09/28 | 2011/03/30 | 70.00          |
| 25136    | HK Bank – HSI European Put Warrants Mar 2011 G               | 280,000,000 | 2010/09/28 | 2011/03/30 | 70.00          |
| 25137    | HK Bank – HSI European Put Warrants Mar 2011 H               | 280,000,000 | 2010/09/28 | 2011/03/30 | 70.00          |
| 25138    | HK Bank – HSI European Put Warrants Mar 2011 I               | 280,000,000 | 2010/09/28 | 2011/03/30 | 70.00          |
| 25134    | Macquarie Bank Ltd. – Belle Int'l European Warrants Mar 2011 | 200,000,000 | 2010/09/28 | 2011/03/30 | 50.80          |
| 25135    | Macquarie Bank Ltd. – COSCO Pacific European Warrants Jul 11 | 200,000,000 | 2010/09/28 | 2011/07/18 | 50.20          |
| 25140    | Macquarie Bank Ltd. – Chi Res Land European Wts Apr 2011     | 100,000,000 | 2010/09/28 | 2011/04/28 | 25.00          |
| 25141    | Macquarie Bank Ltd. – Dongfeng Motor European Wts Jul 2011 B | 150,000,000 | 2010/09/28 | 2011/07/28 | 37.65          |
| 25142    | Macquarie Bank Ltd. – New World Dev European Wts Oct 2011    | 150,000,000 | 2010/09/28 | 2011/10/07 | 37.50          |
| 25144    | Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2012     | 200,000,000 | 2010/09/28 | 2012/10/03 | 50.00          |
| 25127    | SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2012   | 300,000,000 | 2010/09/28 | 2012/06/25 | 75.00          |
| 25128    | SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2012  | 400,000,000 | 2010/09/28 | 2012/04/30 | 60.00          |
| 25129    | SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jan 2013  | 400,000,000 | 2010/09/28 | 2013/01/28 | 60.00          |
| 25130    | SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jan 2013  | 300,000,000 | 2010/09/28 | 2013/01/28 | 75.00          |
| 25147    | UBS AG – China Unicom European Warrants Sep 2011             | 100,000,000 | 2010/09/28 | 2011/09/20 | 15.00          |
| 25146    | UBS AG – ICBC European Warrants Jan 2011                     | 100,000,000 | 2010/09/28 | 2011/01/10 | 15.00          |
| 24775*   | CC Rabobank B.A. – China Life European Warrants Dec 2010 C   | 200,000,000 | 2010/09/28 | 2010/12/21 | 30.00          |
| 25176    | BNP Paribas Arbit Issu B.V. – Hutchison European Wts Nov2011 | 100,000,000 | 2010/09/29 | 2011/11/02 | 40.00          |
| 25182    | Credit Suisse AG – China Mobile European Warrants Jan 2011 B | 300,000,000 | 2010/09/29 | 2011/01/24 | 45.00          |
| 25178    | Credit Suisse AG – Sinopec Corp European Warrants Jan 2011 A | 300,000,000 | 2010/09/29 | 2011/01/10 | 45.00          |
| 25179    | Credit Suisse AG – Sinopec Corp European Warrants Oct 2011   | 300,000,000 | 2010/09/29 | 2011/10/10 | 45.00          |
| 25180    | Credit Suisse AG – HKEx European Warrants Mar 2011           | 300,000,000 | 2010/09/29 | 2011/03/28 | 75.00          |
| 25177    | Credit Suisse AG – HSBC European Wts Jan 2011 B              | 300,000,000 | 2010/09/29 | 2011/01/31 | 45.00          |
| 25181    | Credit Suisse AG – Tencent Holdings European Wts Feb 2011 B  | 300,000,000 | 2010/09/29 | 2011/02/14 | 45.00          |
| 25183    | Credit Suisse AG – Tencent Holdings European Put Wts Feb2011 | 300,000,000 | 2010/09/29 | 2011/02/21 | 45.00          |
| 25196    | Citigroup Global Mkt H Inc. – BYD European Warrants Apr 2011 | 80,000,000  | 2010/09/29 | 2011/04/18 | 20.00          |

# 主板 認股權證

| 股份<br>代號 | 衍生權證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 25184    | Deutsche Bank AG – Anhui Conch European Warrants Apr 2011     | 100,000,000 | 2010/09/29 | 2011/04/04 | 38.00          |
| 25185    | Deutsche Bank AG – China EB Ltd European Warrants Mar 2011    | 100,000,000 | 2010/09/29 | 2011/03/28 | 20.00          |
| 25186    | Deutsche Bank AG – China Mobile European Warrants Feb 2011 D  | 200,000,000 | 2010/09/29 | 2011/02/28 | 30.00          |
| 25187    | Deutsche Bank AG – Chi Res Land European Warrants Feb 2011    | 100,000,000 | 2010/09/29 | 2011/02/28 | 16.00          |
| 25188    | Deutsche Bank AG – ICBC European Warrants Feb 2011            | 150,000,000 | 2010/09/29 | 2011/02/28 | 33.00          |
| 10003    | Deutsche Bank AG – Nasdaq-100 Index European Wts Jun 2011     | 100,000,000 | 2010/09/29 | 2011/06/20 | 25.00          |
| 10007    | Deutsche Bank AG – Nasdaq-100 Index Euro Put Wts Jun 2011     | 100,000,000 | 2010/09/29 | 2011/06/20 | 25.00          |
| 25194    | Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 A    | 200,000,000 | 2010/09/29 | 2011/03/30 | 50.00          |
| 25195    | Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 B    | 200,000,000 | 2010/09/29 | 2011/03/30 | 30.00          |
| 25199    | Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 C     | 200,000,000 | 2010/09/29 | 2011/03/30 | 50.00          |
| 25200    | Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 D     | 200,000,000 | 2010/09/29 | 2011/03/30 | 50.00          |
| 25169    | HK Bank – Angang Steel European Warrants Jun 2011 B           | 120,000,000 | 2010/09/29 | 2011/06/22 | 30.00          |
| 25170    | HK Bank – Sinopec Corp European Warrants Mar 2011             | 120,000,000 | 2010/09/29 | 2011/03/29 | 30.00          |
| 25171    | HK Bank – Dongfeng Motor European Warrants May 2011           | 100,000,000 | 2010/09/29 | 2011/05/12 | 25.00          |
| 25172    | HK Bank – HWL European Warrants Mar 2011                      | 150,000,000 | 2010/09/29 | 2011/03/29 | 37.50          |
| 25173    | HK Bank – Jiangxi Copper European Warrants Apr 2011           | 120,000,000 | 2010/09/29 | 2011/04/28 | 30.00          |
| 25174    | HK Bank – Tencent European Warrants Jun 2011                  | 200,000,000 | 2010/09/29 | 2011/06/16 | 50.00          |
| 25175    | HK Bank – Zhaojin Mining European Warrants Dec 2011           | 100,000,000 | 2010/09/29 | 2011/12/28 | 30.00          |
| 25191    | KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Apr 2011 | 128,000,000 | 2010/09/29 | 2011/04/01 | 32.00          |
| 25192    | KBC Fin Products Int'l Ltd. – China Tel Euro Wts May 2011     | 80,000,000  | 2010/09/29 | 2011/05/09 | 20.00          |
| 25193    | KBC Fin Products Int'l Ltd. – CNOOC European Wts Jun 2011     | 108,000,000 | 2010/09/29 | 2011/06/01 | 16.20          |
| 25190    | Macquarie Bank Ltd. – Agricultural Bk European Put Wt Mar 11  | 150,000,000 | 2010/09/29 | 2011/03/30 | 37.65          |
| 25189    | Macquarie Bank Ltd. – Sh Petrochem European Wts Mar 2011      | 88,000,000  | 2010/09/29 | 2011/03/30 | 22.26          |
| 25164    | CC Rabobank B.A. – CNBM European Warrants Apr 2011            | 100,000,000 | 2010/09/29 | 2011/04/06 | 25.00          |
| 25165    | CC Rabobank B.A. – Dongfeng Motor European Warrants Apr 11B   | 150,000,000 | 2010/09/29 | 2011/04/21 | 33.00          |
| 25166    | CC Rabobank B.A. – Geely Auto European Warrants Mar 2011      | 100,000,000 | 2010/09/29 | 2011/03/14 | 37.00          |
| 25167    | CC Rabobank B.A. – Hutchison European Warrants Feb 2011 C     | 100,000,000 | 2010/09/29 | 2011/02/11 | 24.00          |
| 25168    | CC Rabobank B.A. – Weichai Power European Warrants Oct 2011   | 200,000,000 | 2010/09/29 | 2011/10/26 | 50.00          |
| 23846*   | UBS AG – Agricultural Bank European Warrants Jan 2011 H       | 200,000,000 | 2010/09/29 | 2011/01/10 | 15.20          |
| 25211    | Barclays Bank plc – China Telecom European Warrants Jun 2011  | 100,000,000 | 2010/09/30 | 2011/06/27 | 25.00          |
| 25210    | Barclays Bank plc – Sinopec Corp European Wts Apr 2011        | 100,000,000 | 2010/09/30 | 2011/04/26 | 30.00          |
| 25212    | Barclays Bank plc – Hutchison European Warrants Jun 2011      | 100,000,000 | 2010/09/30 | 2011/06/13 | 27.00          |
| 25213    | Barclays Bank plc – Hutchison European Put Warrants Jun 2011  | 100,000,000 | 2010/09/30 | 2011/06/20 | 25.00          |
| 25214    | Barclays Bank plc – Tencent Holdings European Put Wts Sep 11  | 120,000,000 | 2010/09/30 | 2011/09/12 | 19.20          |
| 25243    | Citigroup Global Mkt H Inc. – Esprit European Wts Mar 2011    | 80,000,000  | 2010/09/30 | 2011/03/30 | 20.00          |
| 25228    | Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2011       | 80,000,000  | 2010/09/30 | 2011/03/30 | 28.00          |
| 25234    | Deutsche Bank AG – Agile Ppt European Warrants Oct 2011       | 100,000,000 | 2010/09/30 | 2011/10/10 | 19.00          |
| 25235    | Deutsche Bank AG – BBMG European Warrants Jun 2011            | 100,000,000 | 2010/09/30 | 2011/06/27 | 25.00          |
| 25236    | Deutsche Bank AG – CC Bank European Warrants Feb 2011 B       | 200,000,000 | 2010/09/30 | 2011/02/28 | 96.00          |
| 25237    | Deutsche Bank AG – Sinopec Corp European Warrants Apr 2011    | 150,000,000 | 2010/09/30 | 2011/04/14 | 45.00          |
| 25238    | Deutsche Bank AG – R&F Ppt European Warrants May 2011         | 100,000,000 | 2010/09/30 | 2011/05/30 | 15.00          |
| 25248    | KBC Fin Products Int'l Ltd. – HKEx European Warrants Apr 11   | 88,000,000  | 2010/09/30 | 2011/04/01 | 32.56          |
| 25216    | Macquarie Bank Ltd. – Cheung Kong European Warrants Oct 2011  | 250,000,000 | 2010/09/30 | 2011/10/17 | 38.00          |
| 25215    | Macquarie Bank Ltd. – Cathay Pacific European Wts Mar 2011    | 150,000,000 | 2010/09/30 | 2011/03/15 | 22.80          |



# 主 板 認 股 權 證

| 股份<br>代號   | 衍生權證   | 上市時之        |            |            | 集資金額<br>(百萬港元)   |
|------------|--|-------------|------------|------------|------------------|
|            |  | 發行單位        | 上市日期       | 到期日        |                  |
| 25239      | Macquarie Bank Ltd. – HSI European Put Warrants Feb 2011     | 250,000,000 | 2010/09/30 | 2011/02/25 | 37.50            |
| 25217      | Macquarie Bank Ltd. – MCC European Warrants Mar 2011         | 150,000,000 | 2010/09/30 | 2011/03/30 | 38.70            |
| 25197      | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 A | 100,000,000 | 2010/09/30 | 2011/03/03 | 34.50            |
| 25198      | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 B | 100,000,000 | 2010/09/30 | 2011/03/04 | 15.80            |
| 25201      | Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2011   | 100,000,000 | 2010/09/30 | 2011/04/26 | 15.30            |
| 25202      | Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 2011 | 100,000,000 | 2010/09/30 | 2011/03/07 | 25.00            |
| 25203      | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2011      | 100,000,000 | 2010/09/30 | 2011/02/07 | 69.00            |
| 25204      | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2011      | 100,000,000 | 2010/09/30 | 2011/11/01 | 25.00            |
| 25205      | Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2012      | 100,000,000 | 2010/09/30 | 2012/01/26 | 25.00            |
| 25207      | Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jun 2011   | 100,000,000 | 2010/09/30 | 2011/06/20 | 25.00            |
| 25206      | Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 B | 100,000,000 | 2010/09/30 | 2011/05/16 | 27.00            |
| 25225      | Nomura Int'l plc – Agricultural Bk European Warrants Jan 11  | 200,000,000 | 2010/09/30 | 2011/01/17 | 30.40            |
| 25226      | Nomura Int'l plc – Agricultural Bk European Warrants Mar 11  | 100,000,000 | 2010/09/30 | 2011/03/21 | 25.80            |
| 25224      | Nomura Int'l plc – China Mobile European Warrants Mar 2011   | 50,000,000  | 2010/09/30 | 2011/03/31 | 18.15            |
| 25223      | Nomura Int'l plc – CC Bank European Warrants Mar 2011        | 100,000,000 | 2010/09/30 | 2011/03/31 | 30.00            |
| 25227      | Nomura Int'l plc – China Coal European Warrants Sep 2011     | 100,000,000 | 2010/09/30 | 2011/09/30 | 25.30            |
| 25222      | Nomura Int'l plc – CNOOC European Warrants Jun 2011 B        | 100,000,000 | 2010/09/30 | 2011/06/30 | 15.00            |
| 25219      | Nomura Int'l plc – HKEx European Warrants Aug 2011           | 100,000,000 | 2010/09/30 | 2011/08/08 | 15.00            |
| 25218      | Nomura Int'l plc – JIANC European Warrants Mar 2011 B        | 100,000,000 | 2010/09/30 | 2011/03/31 | 25.00            |
| 25221      | Nomura Int'l plc – PetroChina European Warrants Apr 2012     | 100,000,000 | 2010/09/30 | 2012/04/30 | 15.00            |
| 25220      | Nomura Int'l plc – PetroChina European Warrants Jan 2013     | 100,000,000 | 2010/09/30 | 2013/01/31 | 15.00            |
| 25229      | CC Rabobank B.A. – Agricultural Bk European Warrants Jul 11  | 200,000,000 | 2010/09/30 | 2011/07/28 | 50.00            |
| 25232      | CC Rabobank B.A. – Ch Mobile European Warrants Mar 2011      | 150,000,000 | 2010/09/30 | 2011/03/07 | 48.00            |
| 25230      | CC Rabobank B.A. – China Life European Warrants Feb 2011 B   | 150,000,000 | 2010/09/30 | 2011/02/28 | 55.50            |
| 25231      | CC Rabobank B.A. – China Life European Warrants Feb 2011 C   | 150,000,000 | 2010/09/30 | 2011/02/28 | 30.00            |
| 25233      | CC Rabobank B.A. – Tencent Holdings European Wts Mar 2011    | 200,000,000 | 2010/09/30 | 2011/03/30 | 50.00            |
| 25242      | RB of Scotland N.V. – HSI European Put Warrants Feb 2011 A   | 250,000,000 | 2010/09/30 | 2011/02/25 | 49.50            |
| 25240      | RB of Scotland N.V. – Tencent Holdings European Wts Feb 11D  | 200,000,000 | 2010/09/30 | 2011/02/14 | 30.00            |
| 25241      | RB of Scotland N.V. – Tencent Holdings European Wts Jun 2011 | 200,000,000 | 2010/09/30 | 2011/06/24 | 35.40            |
| 25208      | SGA Societe Generale Acceptance N.V. – BYD Euro Wts Sep 2011 | 80,000,000  | 2010/09/30 | 2011/09/21 | 47.20            |
| 25209      | SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011B | 200,000,000 | 2010/09/30 | 2011/04/04 | 32.00            |
| 25244      | SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 C | 100,000,000 | 2010/09/30 | 2011/01/31 | 32.00            |
| 25245      | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11H | 300,000,000 | 2010/09/30 | 2011/02/25 | 57.00            |
| 25246      | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11D | 300,000,000 | 2010/09/30 | 2011/03/30 | 45.00            |
| 25247      | SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11E | 300,000,000 | 2010/09/30 | 2011/03/30 | 84.00            |
| 20114#     | J P Morgan SP BV – Sinopec Corp European Warrants Jul 2011   | 300,000,000 | 2010/09/30 | 2011/07/25 | 31.50            |
| 19851#     | Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Jan11 | 300,000,000 | 2010/09/30 | 2011/01/31 | 10.50            |
| <b>總 額</b> |  |             |            |            | <b>72,490.02</b> |

# 進一步發行

# 主板 債務證券

## 債券交易統計

|      |     | 成交金額         |            |
|------|-----|--------------|------------|
|      |     | 外匯基金票據(百萬港元) | 市場總值(百萬港元) |
| 2009 | 第3季 | –            | 0.36       |
|      | 第4季 | –            | 0.16       |
| 2010 | 第1季 | –            | 0.21       |
|      | 第2季 | –            | 1.22       |
|      | 第3季 | –            | 0.05       |

## 債券面值

|      |     | 發行數目 | 面值(百萬港元)   |
|------|-----|------|------------|
| 2009 | 第3季 | 166  | 398,047.03 |
|      | 第4季 | 157  | 391,908.38 |
| 2010 | 第1季 | 160  | 410,829.55 |
|      | 第2季 | 158  | 426,338.89 |
|      | 第3季 | 160  | 440,113.92 |

## 新上市統計—債券

|      |     | 新上市債券數目 | 集資金額(百萬港元) |
|------|-----|---------|------------|
| 2009 | 第3季 | 8       | 19,782.43  |
|      | 第4季 | 6       | 9,996.64   |
| 2010 | 第1季 | 9       | 30,996.63  |
|      | 第2季 | 6       | 28,133.65  |
|      | 第3季 | 7       | 21,025.02  |

# 主板 債務證券

## 新上市債券

2010年第3季

| 股份<br>代號 | 債券                               | 發行本金              | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|----------------------------------|-------------------|------------|------------|----------------|
| 04322    | 華潤電力控股有限公司3.75%美元<br>票據2015年     | USD 500,000,000   | 2010/08/04 | 2015/08/03 | 3,896.84       |
| 04005    | 香港金融管理局0.50%外匯基金票據2012年          | HKD 1,200,000,000 | 2010/08/17 | 2012/08/16 | 1,203.00       |
| 04006    | 香港金融管理局2.39%外匯基金票據2025年          | HKD 600,000,000   | 2010/08/23 | 2025/08/20 | 617.58         |
| 04205    | 中華人民共和國香港特別行政區政府0.48%<br>債券2012年 | HKD 3,500,000,000 | 2010/09/03 | 2012/09/03 | 3,501.75       |
| 04323    | HBAP 浮動利率票據 2025                 | SGD 300,000,000   | 2010/09/10 | 2025/09/09 | 1,741.95       |
| 04182 #  | 香港金融管理局2.54%外匯基金票據2013年          | HKD 1,200,000,000 | 2010/09/20 | 2013/09/25 | 1,266.00       |
| 04007    | 香港金融管理局1.18%外匯基金票據2015年          | HKD 1,000,000,000 | 2010/09/21 | 2015/09/21 | 997.90         |
| 04325    | PHBS Ltd. 6.625% 有擔保永久美元債券       | USD 1,000,000,000 | 2010/09/30 | 永久年期       | 7,800.00       |
| 總額       |                                  |                   |            |            | 21,025.02      |

# 主板 單位信託及互惠基金

## 單位信託及互惠基金交易統計

|      |     | 交易所<br>買賣基金<br>(ETF)<br>(百萬港元) | 房地產投資<br>信託基金<br>(REITs)<br>(百萬港元) | 其他<br>(百萬港元) | 合計<br>(百萬港元) | 佔市場<br>百分比<br>(百萬港元) |
|------|-----|--------------------------------|------------------------------------|--------------|--------------|----------------------|
| 2009 | 第3季 | 167,985.99                     | 11,199.87                          | 233.84       | 179,419.70   | 4.16                 |
|      | 第4季 | 121,814.83                     | 10,126.33                          | 339.11       | 132,280.28   | 3.23                 |
| 2010 | 第1季 | 108,553.03                     | 9,219.80                           | 147.40       | 117,920.23   | 3.01                 |
|      | 第2季 | 136,150.74                     | 10,809.77                          | 176.89       | 147,137.40   | 3.94                 |
|      | 第3季 | 137,121.96                     | 10,860.01                          | 182.56       | 148,164.53   | 3.77                 |

註： 1.只包括港元之成交金額。

## 單位信託及互惠基金市值

|      |     | 交易所<br>買賣基金<br>(ETF) |            | 房地產投資<br>信託基金<br>(REIT) |           | 其他   |          | 合計   |            |
|------|-----|----------------------|------------|-------------------------|-----------|------|----------|------|------------|
|      |     | 發行數目                 | (百萬港元)     | 發行數目                    | (百萬港元)    | 發行數目 | (百萬港元)   | 發行數目 | (百萬港元)     |
| 2009 | 第3季 | 37                   | 435,197.50 | 7                       | 65,764.70 | 2    | 2,603.37 | 46   | 503,565.57 |
|      | 第4季 | 43                   | 496,812.50 | 7                       | 73,818.20 | 2    | 3,038.50 | 52   | 573,669.20 |
| 2010 | 第1季 | 61                   | 501,813.45 | 7                       | 76,649.30 | 2    | 2,915.77 | 70   | 581,378.53 |
|      | 第2季 | 62                   | 584,399.19 | 8                       | 82,806.33 | 2    | 2,617.20 | 72   | 669,822.73 |
|      | 第3季 | 66                   | 647,219.69 | 8                       | 95,248.94 | 2    | 2,972.33 | 76   | 745,440.96 |

## 新上市統計－單位信託及互惠基金

|      |     | 新上市單位信託<br>及互惠基金數目 | 集資金額(百萬港元) |
|------|-----|--------------------|------------|
| 2009 | 第3季 | 7                  | —          |
|      | 第4季 | 6                  | —          |
| 2010 | 第1季 | 18                 | —          |
|      | 第2季 | 2                  | —          |
|      | 第3季 | 4                  | —          |

# 主板 單位信託及互惠基金

## 新上市單位信託及互惠基金

2010年第3季

| 股份代號  | 單位信託及互惠基金               | 基金經理  | 發行價<br>(港元) | 集資金額<br>(百萬港元) | 上市日期       |
|-------|-------------------------|---|-------------|----------------|------------|
| 03071 | 大成中証中國內地消費ETF           | Da Cheng International Asset Management Company Limited | n.a.        | n.a.           | 2010/07/15 |
| 02841 | iShares 安碩滬深A股主要消費指數ETF | BlackRock Asset Management North Asia Ltd.              | n.a.        | n.a.           | 2010/07/20 |
| 03001 | iShares 安碩滬深A股可選消費指數ETF | BlackRock Asset Management North Asia Ltd.              | n.a.        | n.a.           | 2010/07/20 |
| 03073 | SPDR富時大中華ETF            | State Street Global Advisors Asia Ltd.                  | n.a.        | n.a.           | 2010/09/20 |

# 主板 可收回牛熊證

## 牛熊證交易統計

|      |     | 數目    | 牛熊證市價總值<br>(百萬港元) | 交易總額<br>(百萬港元) |
|------|-----|-------|-------------------|----------------|
| 2009 | 第3季 | 1,893 | 145,788.56        | 434,251.62     |
|      | 第4季 | 1,692 | 91,190.57         | 419,558.61     |
|      | 第1季 | 1,331 | 60,652.40         | 290,147.62     |
| 2010 | 第2季 | 1,020 | 43,060.09         | 299,639.52     |
|      | 第3季 | 974   | 40,872.16         | 351,106.38     |

## 新上市牛熊證統計

|      |     | 新上市牛熊證數目 | 集資金額(百萬港元) |
|------|-----|----------|------------|
| 2009 | 第3季 | 2,333    | 133,733.23 |
|      | 第4季 | 2,054    | 115,888.51 |
|      | 第1季 | 1,886    | 103,866.53 |
| 2010 | 第2季 | 1,473    | 74,636.30  |
|      | 第3季 | 1,369    | 73,903.10  |

# 主板 可收回牛熊證

## 新上市牛熊證

2010年第3季

| 股份代號  | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|-------|---|-------------|------------|------------|----------------|
| 62787 | Credit Suisse AG – A50 China R Bull CBBC Nov 2010 B           | 100,000,000 | 2010/07/02 | 2010/11/22 | 25.00          |
| 62786 | Credit Suisse AG – HKEx R Bear CBBC Nov 2010 A                | 100,000,000 | 2010/07/02 | 2010/11/29 | 25.00          |
| 62780 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 O                 | 325,000,000 | 2010/07/02 | 2010/12/30 | 81.25          |
| 62781 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 P                 | 325,000,000 | 2010/07/02 | 2010/12/30 | 81.25          |
| 62782 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 Q                 | 325,000,000 | 2010/07/02 | 2010/12/30 | 81.25          |
| 62788 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 R                 | 325,000,000 | 2010/07/02 | 2010/12/30 | 81.25          |
| 62783 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 F                 | 325,000,000 | 2010/07/02 | 2010/10/28 | 81.25          |
| 62784 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 N                 | 325,000,000 | 2010/07/02 | 2010/10/28 | 81.25          |
| 62785 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 P                 | 325,000,000 | 2010/07/02 | 2010/10/28 | 81.25          |
| 62789 | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 X                 | 300,000,000 | 2010/07/02 | 2010/11/29 | 75.00          |
| 62790 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O                 | 300,000,000 | 2010/07/02 | 2010/12/30 | 81.00          |
| 62791 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 P                 | 300,000,000 | 2010/07/02 | 2010/12/30 | 75.00          |
| 62795 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2010 F          | 200,000,000 | 2010/07/02 | 2010/11/29 | 50.00          |
| 62796 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2010 D          | 200,000,000 | 2010/07/02 | 2010/12/30 | 50.00          |
| 62797 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2010 E          | 200,000,000 | 2010/07/02 | 2010/12/30 | 50.00          |
| 62798 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2010 G          | 200,000,000 | 2010/07/02 | 2010/11/29 | 50.00          |
| 62799 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 F                 | 200,000,000 | 2010/07/02 | 2010/12/30 | 50.00          |
| 62800 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 G                 | 200,000,000 | 2010/07/02 | 2010/12/30 | 50.00          |
| 62792 | UBS AG – HSI R Bull CBBC Nov 2010 F                           | 200,000,000 | 2010/07/02 | 2010/11/29 | 50.00          |
| 62794 | UBS AG – HSI R Bull CBBC Dec 2010 L                           | 200,000,000 | 2010/07/02 | 2010/12/30 | 50.00          |
| 62805 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 V                 | 390,000,000 | 2010/07/05 | 2010/10/28 | 97.50          |
| 62802 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Q                 | 300,000,000 | 2010/07/05 | 2010/12/30 | 75.00          |
| 62803 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 R                 | 300,000,000 | 2010/07/05 | 2010/12/30 | 87.00          |
| 62804 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 S                 | 300,000,000 | 2010/07/05 | 2010/12/30 | 99.00          |
| 62801 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10H | 300,000,000 | 2010/07/05 | 2010/11/29 | 75.00          |
| 62806 | UBS AG – HSI R Bear CBBC Nov 2010 D                           | 200,000,000 | 2010/07/05 | 2010/11/29 | 50.00          |
| 62810 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 Z                 | 390,000,000 | 2010/07/06 | 2010/10/28 | 97.50          |
| 62807 | Deutsche Bank AG – HSI R Bear CBBC Oct 2010 V                 | 300,000,000 | 2010/07/06 | 2010/10/28 | 75.00          |
| 62808 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10I | 300,000,000 | 2010/07/06 | 2010/11/29 | 75.00          |
| 62809 | UBS AG – HSI R Bear CBBC Feb 2011 E                           | 300,000,000 | 2010/07/06 | 2011/02/25 | 75.00          |
| 62824 | Credit Suisse AG – A50 China R Bear CBBC Nov 2010 C           | 100,000,000 | 2010/07/07 | 2010/11/22 | 25.00          |
| 62814 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 S                 | 390,000,000 | 2010/07/07 | 2010/12/30 | 97.50          |
| 62843 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 T                 | 390,000,000 | 2010/07/07 | 2010/12/30 | 97.50          |
| 62825 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 A                 | 390,000,000 | 2010/07/07 | 2010/10/28 | 97.50          |
| 62826 | Credit Suisse AG – HSI R Bear CBBC Nov 2010 B                 | 390,000,000 | 2010/07/07 | 2010/11/29 | 97.50          |
| 62811 | Deutsche Bank AG – HSI R Bull CBBC Oct 2010 G                 | 300,000,000 | 2010/07/07 | 2010/10/28 | 75.00          |
| 62815 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 T                 | 300,000,000 | 2010/07/07 | 2010/12/30 | 75.00          |
| 62816 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 C                 | 300,000,000 | 2010/07/07 | 2011/01/28 | 90.00          |
| 62818 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 D                 | 300,000,000 | 2010/07/07 | 2011/01/28 | 75.00          |
| 62827 | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 C                 | 300,000,000 | 2010/07/07 | 2010/11/29 | 75.00          |
| 62817 | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 V                 | 300,000,000 | 2010/07/07 | 2010/11/29 | 75.00          |
| 62828 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 U                 | 300,000,000 | 2010/07/07 | 2010/12/30 | 81.00          |



# 主板 可收回牛熊證

| 股份代號  | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|-------|---|-------------|------------|------------|----------------|
| 62841 | J P Morgan SP BV – HSI R Bear CBBC Oct 2010 W                   | 200,000,000 | 2010/07/07 | 2010/10/28 | 50.00          |
| 62842 | J P Morgan SP BV – HSI R Bear CBBC Oct 2010 X                   | 200,000,000 | 2010/07/07 | 2010/10/28 | 50.00          |
| 62820 | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2010 R        | 128,000,000 | 2010/07/07 | 2010/10/28 | 32.00          |
| 62823 | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 L        | 128,000,000 | 2010/07/07 | 2010/11/29 | 32.00          |
| 62839 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2010 T        | 128,000,000 | 2010/07/07 | 2010/10/28 | 32.00          |
| 62838 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2010 W        | 128,000,000 | 2010/07/07 | 2010/10/28 | 32.00          |
| 62840 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 M        | 128,000,000 | 2010/07/07 | 2010/11/29 | 37.12          |
| 62829 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 H                   | 200,000,000 | 2010/07/07 | 2010/12/30 | 50.00          |
| 62830 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 I                   | 200,000,000 | 2010/07/07 | 2010/12/30 | 50.00          |
| 62831 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 J                   | 200,000,000 | 2010/07/07 | 2010/12/30 | 50.00          |
| 62832 | UBS AG – CC Bank R Bull CBBC Nov 2010 A                         | 100,000,000 | 2010/07/07 | 2010/11/29 | 25.00          |
| 62833 | UBS AG – China Life R Bear CBBC Nov 2010 F                      | 100,000,000 | 2010/07/07 | 2010/11/08 | 25.00          |
| 62834 | UBS AG – A50 China R Bull CBBC Dec 2010 B                       | 100,000,000 | 2010/07/07 | 2010/12/28 | 25.00          |
| 62835 | UBS AG – A50 China R Bear CBBC Oct 2010 B                       | 100,000,000 | 2010/07/07 | 2010/10/28 | 25.00          |
| 62819 | UBS AG – HSI R Bull CBBC Nov 2010 Y                             | 200,000,000 | 2010/07/07 | 2010/11/29 | 50.00          |
| 62813 | UBS AG – HSI R Bull CBBC Dec 2010 N                             | 200,000,000 | 2010/07/07 | 2010/12/30 | 50.00          |
| 62836 | UBS AG – HSI R Bear CBBC Oct 2010 Y                             | 200,000,000 | 2010/07/07 | 2010/10/28 | 50.00          |
| 62837 | UBS AG – HSI R Bear CBBC Dec 2010 P                             | 200,000,000 | 2010/07/07 | 2010/12/30 | 50.00          |
| 62873 | Credit Suisse AG – A50 China R Bear CBBC Nov 2010 D             | 100,000,000 | 2010/07/08 | 2010/11/22 | 25.00          |
| 62876 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 U                   | 390,000,000 | 2010/07/08 | 2010/12/30 | 97.50          |
| 62874 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 B                   | 390,000,000 | 2010/07/08 | 2010/10/28 | 97.50          |
| 62875 | Credit Suisse AG – HSI R Bear CBBC Nov 2010 E                   | 440,000,000 | 2010/07/08 | 2010/11/29 | 110.00         |
| 62864 | Deutsche Bank AG – HSI R Bull CBBC Oct 2010 X                   | 300,000,000 | 2010/07/08 | 2010/10/28 | 78.00          |
| 62865 | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 E                   | 300,000,000 | 2010/07/08 | 2010/11/29 | 75.00          |
| 62880 | Deutsche Bank AG – HSI R Bear CBBC Oct 2010 Y                   | 300,000,000 | 2010/07/08 | 2010/10/28 | 75.00          |
| 62862 | HK Bank – HSI R Bear CBBC Dec 2010 K                            | 100,000,000 | 2010/07/08 | 2010/12/30 | 25.00          |
| 62863 | HK Bank – HSI R Bear CBBC Dec 2010 L                            | 100,000,000 | 2010/07/08 | 2010/12/30 | 25.00          |
| 62886 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 K                   | 200,000,000 | 2010/07/08 | 2010/12/30 | 50.00          |
| 62891 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 L                   | 200,000,000 | 2010/07/08 | 2010/12/30 | 50.00          |
| 62892 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 M                   | 200,000,000 | 2010/07/08 | 2010/12/30 | 50.00          |
| 62878 | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 A               | 40,000,000  | 2010/07/08 | 2010/12/17 | 10.00          |
| 62877 | RB of Scotland N.V. – HSI R Bear CBBC Oct 2010 X                | 250,000,000 | 2010/07/08 | 2010/10/28 | 62.50          |
| 62879 | RB of Scotland N.V. – Nikkei 225 R Bear CBBC Dec 2010 A         | 40,000,000  | 2010/07/08 | 2010/12/10 | 10.00          |
| 62846 | SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct10A | 100,000,000 | 2010/07/08 | 2010/10/27 | 47.00          |
| 62847 | SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct10B | 100,000,000 | 2010/07/08 | 2010/10/27 | 36.00          |
| 62849 | SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Oct10A   | 150,000,000 | 2010/07/08 | 2010/10/27 | 37.50          |
| 62853 | SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Oct10B   | 150,000,000 | 2010/07/08 | 2010/10/27 | 37.50          |
| 62848 | SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct10A  | 300,000,000 | 2010/07/08 | 2010/10/27 | 75.00          |
| 62854 | SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct10A   | 200,000,000 | 2010/07/08 | 2010/10/28 | 50.00          |
| 62855 | SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct10B   | 200,000,000 | 2010/07/08 | 2010/10/28 | 50.00          |
| 62856 | SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct10C   | 200,000,000 | 2010/07/08 | 2010/10/28 | 50.00          |
| 62871 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10F   | 300,000,000 | 2010/07/08 | 2010/10/28 | 75.00          |
| 62870 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10G   | 300,000,000 | 2010/07/08 | 2010/10/28 | 75.00          |
| 62857 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10M   | 300,000,000 | 2010/07/08 | 2010/10/28 | 75.00          |
| 62858 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10J   | 300,000,000 | 2010/07/08 | 2010/11/29 | 75.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 62859    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10K | 300,000,000 | 2010/07/08 | 2010/11/29 | 75.00          |
| 62860    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10L | 300,000,000 | 2010/07/08 | 2010/11/29 | 75.00          |
| 62861    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10M | 300,000,000 | 2010/07/08 | 2010/11/29 | 75.00          |
| 62872    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10N | 300,000,000 | 2010/07/08 | 2010/11/29 | 75.00          |
| 62867    | UBS AG – HKEx R Bear CBBC Nov 2010 G                          | 100,000,000 | 2010/07/08 | 2010/11/15 | 25.00          |
| 62866    | UBS AG – HSBC R Bear CBBC Nov 2010 G                          | 100,000,000 | 2010/07/08 | 2010/11/22 | 25.00          |
| 62881    | UBS AG – HSCEI R Bull CBBC Dec 2010 D                         | 100,000,000 | 2010/07/08 | 2010/12/30 | 25.00          |
| 62882    | UBS AG – HSCEI R Bear CBBC Dec 2010 E                         | 100,000,000 | 2010/07/08 | 2010/12/30 | 25.00          |
| 62868    | UBS AG – HSI R Bull CBBC Nov 2010 W                           | 200,000,000 | 2010/07/08 | 2010/11/29 | 50.00          |
| 62869    | UBS AG – HSI R Bull CBBC Jan 2011 M                           | 200,000,000 | 2010/07/08 | 2011/01/28 | 50.00          |
| 62883    | UBS AG – HSI R Bear CBBC Oct 2010 O                           | 200,000,000 | 2010/07/08 | 2010/10/28 | 50.00          |
| 62884    | UBS AG – HSI R Bear CBBC Dec 2010 R                           | 200,000,000 | 2010/07/08 | 2010/12/30 | 50.00          |
| 62885    | UBS AG – HSI R Bear CBBC Jan 2011 N                           | 300,000,000 | 2010/07/08 | 2011/01/28 | 75.00          |
| 62578#   | HK Bank – HSI R Bull CBBC Nov 2010 F                          | 150,000,000 | 2010/07/08 | 2010/11/29 | 20.40          |
| 62890    | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 B      | 100,000,000 | 2010/07/09 | 2011/01/28 | 25.00          |
| 62893    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2010 L      | 100,000,000 | 2010/07/09 | 2010/12/30 | 25.00          |
| 62894    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2011 C      | 100,000,000 | 2010/07/09 | 2011/01/28 | 25.00          |
| 62905    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 V                 | 390,000,000 | 2010/07/09 | 2010/12/30 | 97.50          |
| 62902    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 I                 | 390,000,000 | 2010/07/09 | 2010/11/29 | 97.50          |
| 62904    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 M                 | 440,000,000 | 2010/07/09 | 2010/11/29 | 110.00         |
| 62903    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 O                 | 390,000,000 | 2010/07/09 | 2010/11/29 | 97.50          |
| 62900    | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 E                 | 300,000,000 | 2010/07/09 | 2011/01/28 | 75.00          |
| 62901    | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 F                 | 300,000,000 | 2010/07/09 | 2011/01/28 | 78.00          |
| 62911    | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 W                 | 300,000,000 | 2010/07/09 | 2010/11/29 | 75.00          |
| 62912    | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 V                 | 300,000,000 | 2010/07/09 | 2010/12/30 | 81.00          |
| 62898    | HK Bank – China Mobile R Bull CBBC Jan 2011 A                 | 100,000,000 | 2010/07/09 | 2011/01/18 | 25.00          |
| 62895    | HK Bank – CC Bank R Bull CBBC Jan 2011 A                      | 100,000,000 | 2010/07/09 | 2011/01/18 | 25.00          |
| 62896    | HK Bank – China Life R Bull CBBC Jan 2011 A                   | 100,000,000 | 2010/07/09 | 2011/01/18 | 25.00          |
| 62897    | HK Bank – China Life R Bear CBBC Jan 2011 B                   | 100,000,000 | 2010/07/09 | 2011/01/18 | 25.00          |
| 62899    | HK Bank – ICBC R Bull CBBC Jan 2011 A                         | 100,000,000 | 2010/07/09 | 2011/01/18 | 25.00          |
| 62909    | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 E                 | 200,000,000 | 2010/07/09 | 2010/11/29 | 50.00          |
| 62918    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 N                 | 200,000,000 | 2010/07/09 | 2010/12/30 | 50.00          |
| 62919    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 O                 | 200,000,000 | 2010/07/09 | 2010/12/30 | 50.00          |
| 62921    | RB of Scotland N.V. – BYD Company R Bull CBBC Dec 2010 A      | 80,000,000  | 2010/07/09 | 2010/12/13 | 20.08          |
| 62925    | RB of Scotland N.V. – BYD Company R Bear CBBC Dec 2010 B      | 80,000,000  | 2010/07/09 | 2010/12/13 | 20.08          |
| 62910    | RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 B             | 40,000,000  | 2010/07/09 | 2010/12/17 | 10.00          |
| 62914    | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 C             | 40,000,000  | 2010/07/09 | 2010/12/17 | 10.16          |
| 62920    | RB of Scotland N.V. – A50 China R Bear CBBC Nov 2010 A        | 80,000,000  | 2010/07/09 | 2010/11/15 | 20.08          |
| 62922    | RB of Scotland N.V. – HSCEI R Bear CBBC Nov 2010 A            | 250,000,000 | 2010/07/09 | 2010/11/29 | 62.75          |
| 62923    | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 B              | 250,000,000 | 2010/07/09 | 2010/11/29 | 62.75          |
| 62924    | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 C              | 250,000,000 | 2010/07/09 | 2010/11/29 | 62.75          |
| 62906    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10O | 300,000,000 | 2010/07/09 | 2010/11/29 | 75.00          |
| 62907    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P | 300,000,000 | 2010/07/09 | 2010/11/29 | 75.00          |
| 62908    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q | 300,000,000 | 2010/07/09 | 2010/11/29 | 75.00          |
| 62887    | UBS AG – HSI R Bull CBBC Oct 2010 Q                           | 200,000,000 | 2010/07/09 | 2010/10/28 | 50.00          |

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| 股份代號   | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|--------|---|-------------|------------|------------|----------------|
| 62889  | UBS AG – HSI R Bull CBBC Dec 2010 Q                           | 200,000,000 | 2010/07/09 | 2010/12/30 | 50.00          |
| 62913  | UBS AG – HSI R Bear CBBC Oct 2010 J                           | 200,000,000 | 2010/07/09 | 2010/10/28 | 50.00          |
| 62915  | UBS AG – HSI R Bear CBBC Dec 2010 S                           | 200,000,000 | 2010/07/09 | 2010/12/30 | 50.00          |
| 62916  | UBS AG – HSI R Bear CBBC Dec 2010 U                           | 200,000,000 | 2010/07/09 | 2010/12/30 | 50.00          |
| 62917  | UBS AG – HSI R Bear CBBC Jan 2011 O                           | 200,000,000 | 2010/07/09 | 2011/01/28 | 50.00          |
| 62935  | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 D      | 100,000,000 | 2010/07/12 | 2011/01/28 | 25.00          |
| 62936  | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 A      | 100,000,000 | 2010/07/12 | 2011/02/25 | 25.00          |
| 62939  | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2011 E      | 100,000,000 | 2010/07/12 | 2011/01/28 | 25.00          |
| 62940  | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 B      | 100,000,000 | 2010/07/12 | 2011/02/25 | 25.00          |
| 62928  | Credit Suisse AG – HSI R Bull CBBC Dec 2010 W                 | 420,000,000 | 2010/07/12 | 2010/12/30 | 105.00         |
| 62932  | Credit Suisse AG – HSI R Bull CBBC Dec 2010 X                 | 390,000,000 | 2010/07/12 | 2010/12/30 | 97.50          |
| 62929  | Credit Suisse AG – HSI R Bear CBBC Oct 2010 Y                 | 390,000,000 | 2010/07/12 | 2010/10/28 | 97.50          |
| 62930  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y                 | 420,000,000 | 2010/07/12 | 2010/11/29 | 105.00         |
| 62933  | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 W                 | 300,000,000 | 2010/07/12 | 2010/12/30 | 75.00          |
| 62927  | HK Bank – HSI R Bull CBBC Dec 2010 M                          | 120,000,000 | 2010/07/12 | 2010/12/30 | 30.00          |
| 62931  | HK Bank – HSI R Bear CBBC Dec 2010 N                          | 120,000,000 | 2010/07/12 | 2010/12/30 | 30.00          |
| 62944  | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 F                 | 200,000,000 | 2010/07/12 | 2010/11/29 | 50.00          |
| 62948  | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 G                 | 200,000,000 | 2010/07/12 | 2010/11/29 | 50.00          |
| 62943  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 N      | 128,000,000 | 2010/07/12 | 2010/11/29 | 32.00          |
| 62937  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10C | 300,000,000 | 2010/07/12 | 2010/10/28 | 75.00          |
| 62926  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10I | 300,000,000 | 2010/07/12 | 2010/10/28 | 75.00          |
| 62938  | UBS AG – HSCEI R Bear CBBC Nov 2010 G                         | 100,000,000 | 2010/07/12 | 2010/11/29 | 25.00          |
| 62934  | UBS AG – HSI R Bull CBBC Nov 2010 K                           | 200,000,000 | 2010/07/12 | 2010/11/29 | 50.00          |
| 62941  | UBS AG – HSI R Bear CBBC Nov 2010 U                           | 200,000,000 | 2010/07/12 | 2010/11/29 | 50.00          |
| 62942  | UBS AG – HSI R Bear CBBC Feb 2011 F                           | 300,000,000 | 2010/07/12 | 2011/02/25 | 75.00          |
| 62949  | Credit Suisse AG – A50 China R Bull CBBC Jan 2011 B           | 100,000,000 | 2010/07/13 | 2011/01/17 | 25.00          |
| 62946  | Credit Suisse AG – HSI R Bull CBBC Dec 2010 Y                 | 390,000,000 | 2010/07/13 | 2010/12/30 | 97.50          |
| 62947  | Credit Suisse AG – HSI R Bull CBBC Dec 2010 Z                 | 390,000,000 | 2010/07/13 | 2010/12/30 | 97.50          |
| 62945  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 X                 | 440,000,000 | 2010/07/13 | 2010/11/29 | 110.00         |
| 62956  | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 I                 | 300,000,000 | 2010/07/13 | 2010/11/29 | 84.00          |
| 62957  | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 T                 | 300,000,000 | 2010/07/13 | 2010/11/29 | 75.00          |
| 62955  | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 P                 | 200,000,000 | 2010/07/13 | 2010/12/30 | 50.00          |
| 62959  | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 Q                 | 200,000,000 | 2010/07/13 | 2010/12/30 | 50.00          |
| 62951  | UBS AG – A50 China R Bear CBBC Jan 2011 A                     | 100,000,000 | 2010/07/13 | 2011/01/03 | 25.00          |
| 62952  | UBS AG – A50 China R Bear CBBC Jan 2011 B                     | 100,000,000 | 2010/07/13 | 2011/01/17 | 25.00          |
| 62953  | UBS AG – HSI R Bull CBBC Nov 2010 V                           | 200,000,000 | 2010/07/13 | 2010/11/29 | 50.00          |
| 62954  | UBS AG – HSI R Bear CBBC Dec 2010 T                           | 200,000,000 | 2010/07/13 | 2010/12/30 | 50.00          |
| 62950  | UBS AG – TCH R Bull CBBC Nov 2010 O                           | 100,000,000 | 2010/07/13 | 2010/11/22 | 25.00          |
| 62963  | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 L                 | 300,000,000 | 2010/07/14 | 2010/11/29 | 75.00          |
| 62964  | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 G                 | 300,000,000 | 2010/07/14 | 2011/01/28 | 75.00          |
| 62958  | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 H                 | 200,000,000 | 2010/07/14 | 2010/11/29 | 50.00          |
| 62960  | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 I                 | 200,000,000 | 2010/07/14 | 2010/11/29 | 50.00          |
| 62962  | RB of Scotland N.V. – Nikkei 225 R Bull CBBC Dec 2010 B       | 40,000,000  | 2010/07/14 | 2010/12/10 | 10.04          |
| 62961  | UBS AG – HSI R Bull CBBC Feb 2011 G                           | 300,000,000 | 2010/07/14 | 2011/02/25 | 75.00          |
| 61664# | UBS AG – HSI R Bull CBBC Oct 2009 E                           | 160,000,000 | 2010/07/14 | 2009/10/29 | 22.24          |

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| 股份<br>代號 | 牛熊證   | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---|--------------|------------|------------|----------------|
| 61730#   | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2010 G      | 160,000,000  | 2010/07/14 | 2010/08/30 | 18.56          |
| 62225#   | RB of Scotland N.V. – DJIA R Bear CBBC Sep 2010 N             | 60,000,000   | 2010/07/14 | 2010/09/17 | 18.60          |
| 62965    | Credit Suisse AG – HSI R Bull CBBC Nov 2010 V                 | 390,000,000  | 2010/07/15 | 2010/11/29 | 97.50          |
| 62966    | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H                 | 300,000,000  | 2010/07/15 | 2011/01/28 | 75.00          |
| 62972    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 R                 | 200,000,000  | 2010/07/15 | 2010/12/30 | 50.00          |
| 62967    | UBS AG – HSI R Bull CBBC Jan 2011 P                           | 200,000,000  | 2010/07/15 | 2011/01/28 | 50.00          |
| 62968    | UBS AG – HSI R Bull CBBC Feb 2011 H                           | 200,000,000  | 2010/07/15 | 2011/02/25 | 50.00          |
| 62970    | UBS AG – HSI R Bear CBBC Dec 2010 K                           | 200,000,000  | 2010/07/15 | 2010/12/30 | 50.00          |
| 62969    | UBS AG – HSI R Bear CBBC Dec 2010 Z                           | 200,000,000  | 2010/07/15 | 2010/12/30 | 50.00          |
| 62971    | UBS AG – HSI R Bear CBBC Jan 2011 Q                           | 200,000,000  | 2010/07/15 | 2011/01/28 | 50.00          |
| 60972#   | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2010 H      | 150,000,000  | 2010/07/15 | 2010/08/30 | 27.60          |
| 62986    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 C                 | 390,000,000  | 2010/07/16 | 2010/12/30 | 97.50          |
| 62974    | Credit Suisse AG – HSI R Bear CBBC Oct 2010 X                 | 390,000,000  | 2010/07/16 | 2010/10/28 | 97.50          |
| 62987    | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 I                 | 300,000,000  | 2010/07/16 | 2011/01/28 | 75.00          |
| 62975    | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 X                 | 300,000,000  | 2010/07/16 | 2010/12/30 | 75.00          |
| 62977    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 J                 | 200,000,000  | 2010/07/16 | 2010/11/29 | 50.00          |
| 62978    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 K                 | 200,000,000  | 2010/07/16 | 2010/11/29 | 50.00          |
| 62979    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 L                 | 200,000,000  | 2010/07/16 | 2010/11/29 | 50.00          |
| 62980    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 M                 | 200,000,000  | 2010/07/16 | 2010/11/29 | 50.00          |
| 62988    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2010 Y      | 128,000,000  | 2010/07/16 | 2010/10/28 | 32.00          |
| 62989    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 O      | 128,000,000  | 2010/07/16 | 2010/11/29 | 32.00          |
| 62982    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10F | 300,000,000  | 2010/07/16 | 2010/10/28 | 75.00          |
| 62981    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10M | 300,000,000  | 2010/07/16 | 2010/10/28 | 75.00          |
| 62984    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10S | 300,000,000  | 2010/07/16 | 2010/11/29 | 75.00          |
| 62973    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10R | 300,000,000  | 2010/07/16 | 2010/11/29 | 75.00          |
| 62985    | UBS AG – HSI R Bull CBBC Nov 2010 F                           | 200,000,000  | 2010/07/16 | 2010/11/29 | 50.00          |
| 62976    | UBS AG – HSI R Bear CBBC Dec 2010 J                           | 200,000,000  | 2010/07/16 | 2010/12/30 | 50.00          |
| 63015    | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 F      | 100,000,000  | 2010/07/19 | 2011/01/28 | 25.00          |
| 62995    | Credit Suisse AG – A50 China R Bull CBBC Jan 2011 C           | 100,000,000  | 2010/07/19 | 2011/01/17 | 25.00          |
| 62997    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 D                 | 440,000,000  | 2010/07/19 | 2010/12/30 | 110.00         |
| 62998    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 H                 | 390,000,000  | 2010/07/19 | 2010/12/30 | 97.50          |
| 62992    | Credit Suisse AG – HSI R Bear CBBC Oct 2010 A                 | 390,000,000  | 2010/07/19 | 2010/10/28 | 97.50          |
| 62996    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 U                 | 420,000,000  | 2010/07/19 | 2010/11/29 | 105.00         |
| 63014    | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Z                 | 300,000,000  | 2010/07/19 | 2010/12/30 | 75.00          |
| 63013    | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 J                 | 300,000,000  | 2010/07/19 | 2011/01/28 | 114.00         |
| 62991    | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Y                 | 300,000,000  | 2010/07/19 | 2010/12/30 | 75.00          |
| 63017    | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 K                 | 300,000,000  | 2010/07/19 | 2011/01/28 | 117.00         |
| 63007    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 N                 | 200,000,000  | 2010/07/19 | 2010/11/29 | 50.00          |
| 63008    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 O                 | 200,000,000  | 2010/07/19 | 2010/11/29 | 50.00          |
| 63016    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 P      | 128,000,000  | 2010/07/19 | 2010/11/29 | 32.00          |
| 63018    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 Q      | 128,000,000  | 2010/07/19 | 2010/11/29 | 32.00          |
| 63019    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 R      | 128,000,000  | 2010/07/19 | 2010/11/29 | 32.00          |
| 63020    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 D      | 128,000,000  | 2010/07/19 | 2010/12/30 | 32.00          |
| 63022    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 E      | 128,000,000  | 2010/07/19 | 2010/12/30 | 32.00          |
| 62999    | Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2010 B              | 200,000,000  | 2010/07/19 | 2010/11/29 | 50.00          |

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| 股份代號  | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|-------|---|-------------|------------|------------|----------------|
| 63009 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 S                 | 200,000,000 | 2010/07/19 | 2010/12/30 | 50.00          |
| 63010 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 T                 | 200,000,000 | 2010/07/19 | 2010/12/30 | 50.00          |
| 63012 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 U                 | 200,000,000 | 2010/07/19 | 2010/12/30 | 50.00          |
| 63005 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10U | 300,000,000 | 2010/07/19 | 2010/11/29 | 75.00          |
| 63006 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10V | 300,000,000 | 2010/07/19 | 2010/11/29 | 75.00          |
| 62990 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10T | 300,000,000 | 2010/07/19 | 2010/11/29 | 75.00          |
| 63003 | UBS AG – CC Bank R Bull CBBC Dec 2010 C                       | 100,000,000 | 2010/07/19 | 2010/12/20 | 25.00          |
| 63004 | UBS AG – A50 China R Bull CBBC Jan 2011 C                     | 100,000,000 | 2010/07/19 | 2011/01/03 | 25.00          |
| 63000 | UBS AG – HSI R Bull CBBC Oct 2010 K                           | 200,000,000 | 2010/07/19 | 2010/10/28 | 50.00          |
| 63001 | UBS AG – HSI R Bull CBBC Oct 2010 Y                           | 200,000,000 | 2010/07/19 | 2010/10/28 | 50.00          |
| 63002 | UBS AG – HSI R Bull CBBC Mar 2011 B                           | 300,000,000 | 2010/07/19 | 2011/03/30 | 75.00          |
| 62993 | UBS AG – HSI R Bear CBBC Jan 2011 R                           | 200,000,000 | 2010/07/19 | 2011/01/28 | 50.00          |
| 62994 | UBS AG – HSI R Bear CBBC Jan 2011 S                           | 200,000,000 | 2010/07/19 | 2011/01/28 | 50.00          |
| 63025 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 F                 | 390,000,000 | 2010/07/20 | 2010/12/30 | 97.50          |
| 63030 | Deutsche Bank AG – China Life R Bull CBBC Dec 2010 C          | 150,000,000 | 2010/07/20 | 2010/12/20 | 43.50          |
| 63034 | Deutsche Bank AG – China Life R Bear CBBC Dec 2010 D          | 150,000,000 | 2010/07/20 | 2010/12/20 | 45.00          |
| 63027 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 K                 | 300,000,000 | 2010/07/20 | 2010/12/30 | 75.00          |
| 63035 | KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Jan2011A  | 80,000,000  | 2010/07/20 | 2011/01/20 | 20.00          |
| 63043 | KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jan2011B  | 80,000,000  | 2010/07/20 | 2011/01/20 | 20.00          |
| 63031 | KBC Fin Products Int'l Ltd. – Ch Life R Bull CBBC Jan 2011 A  | 80,000,000  | 2010/07/20 | 2011/01/20 | 20.00          |
| 63032 | KBC Fin Products Int'l Ltd. – Ch Life R Bull CBBC Feb 2011 A  | 80,000,000  | 2010/07/20 | 2011/02/18 | 24.80          |
| 63033 | KBC Fin Products Int'l Ltd. – Ch Life R Bull CBBC Mar 2011 A  | 60,000,000  | 2010/07/20 | 2011/03/01 | 25.20          |
| 63042 | KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Jan 2011 B  | 60,000,000  | 2010/07/20 | 2011/01/20 | 27.00          |
| 63036 | KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Jan 2011 A    | 80,000,000  | 2010/07/20 | 2011/01/20 | 20.00          |
| 63037 | KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Mar 2011 A    | 80,000,000  | 2010/07/20 | 2011/03/01 | 20.00          |
| 63038 | KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC May 2011 A    | 80,000,000  | 2010/07/20 | 2011/05/05 | 20.00          |
| 63044 | KBC Fin Products Int'l Ltd. – CP&CC R Bear CBBC Feb 2011 A    | 80,000,000  | 2010/07/20 | 2011/02/10 | 20.00          |
| 63039 | KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Jan 2011 A     | 80,000,000  | 2010/07/20 | 2011/01/20 | 20.00          |
| 63040 | KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Feb 2011 A     | 80,000,000  | 2010/07/20 | 2011/02/18 | 20.00          |
| 63041 | KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Mar 2011 A     | 80,000,000  | 2010/07/20 | 2011/03/01 | 20.00          |
| 63045 | KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Jan 2011 B     | 80,000,000  | 2010/07/20 | 2011/01/20 | 20.00          |
| 63047 | KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Mar 2011 B     | 80,000,000  | 2010/07/20 | 2011/03/01 | 20.00          |
| 63028 | RB of Scotland N.V. – TCH R Bull CBBC Dec 2010 A              | 80,000,000  | 2010/07/20 | 2010/12/20 | 20.00          |
| 63029 | RB of Scotland N.V. – TCH R Bull CBBC Dec 2010 B              | 80,000,000  | 2010/07/20 | 2010/12/20 | 20.00          |
| 63026 | UBS AG – China Life R Bear CBBC Dec 2010 E                    | 100,000,000 | 2010/07/20 | 2010/12/06 | 25.00          |
| 63023 | UBS AG – HSI R Bull CBBC Dec 2010 B                           | 200,000,000 | 2010/07/20 | 2010/12/30 | 50.00          |
| 63024 | UBS AG – HSI R Bear CBBC Oct 2010 O                           | 200,000,000 | 2010/07/20 | 2010/10/28 | 50.00          |
| 63079 | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 C      | 100,000,000 | 2010/07/21 | 2011/02/25 | 25.00          |
| 63059 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 I                 | 390,000,000 | 2010/07/21 | 2010/12/30 | 97.50          |
| 63056 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 Y                 | 390,000,000 | 2010/07/21 | 2010/10/28 | 97.50          |
| 63060 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 L                 | 300,000,000 | 2010/07/21 | 2011/01/28 | 75.00          |
| 63049 | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 P                 | 300,000,000 | 2010/07/21 | 2010/11/29 | 75.00          |
| 63064 | RB of Scotland N.V. – China Mobile R Bull CBBC Nov 2010 A     | 80,000,000  | 2010/07/21 | 2010/11/22 | 20.00          |
| 63067 | RB of Scotland N.V. – COVS R Bull CBBC Dec 2010 A             | 80,000,000  | 2010/07/21 | 2010/12/10 | 21.44          |
| 63077 | RB of Scotland N.V. – COVS R Bear CBBC Dec 2010 B             | 80,000,000  | 2010/07/21 | 2010/12/10 | 20.00          |



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|-------|---|-------------|------------|------------|----------------|
| 63069 | RB of Scotland N.V. – CNOOC R Bull CBBC Dec 2010 A            | 80,000,000  | 2010/07/21 | 2010/12/09 | 20.00          |
| 63068 | RB of Scotland N.V. – Sinopec Corp R Bull CBBC Dec 2010 A     | 80,000,000  | 2010/07/21 | 2010/12/17 | 20.00          |
| 63078 | RB of Scotland N.V. – Sinopec Corp R Bear CBBC Dec 2010 B     | 80,000,000  | 2010/07/21 | 2010/12/17 | 20.00          |
| 63074 | RB of Scotland N.V. – HKEx R Bull CBBC Dec 2010 A             | 80,000,000  | 2010/07/21 | 2010/12/13 | 20.00          |
| 63075 | RB of Scotland N.V. – ICBC R Bull CBBC Nov 2010 A             | 80,000,000  | 2010/07/21 | 2010/11/22 | 20.00          |
| 63076 | RB of Scotland N.V. – Jiangxi Copper R Bull CBBC Dec 2010 A   | 80,000,000  | 2010/07/21 | 2010/12/29 | 20.00          |
| 63058 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10X | 300,000,000 | 2010/07/21 | 2010/11/29 | 75.00          |
| 63048 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10W | 300,000,000 | 2010/07/21 | 2010/11/29 | 75.00          |
| 63062 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Y | 300,000,000 | 2010/07/21 | 2010/11/29 | 75.00          |
| 63051 | UBS AG – CCHC R Bull CBBC Dec 2010 A                          | 100,000,000 | 2010/07/21 | 2010/12/20 | 25.00          |
| 63052 | UBS AG – CCHC R Bull CBBC Jan 2011 A                          | 100,000,000 | 2010/07/21 | 2011/01/17 | 25.00          |
| 63050 | UBS AG – CCHC R Bull CBBC Feb 2011 A                          | 100,000,000 | 2010/07/21 | 2011/02/14 | 25.50          |
| 63054 | UBS AG – CCHC R Bear CBBC Feb 2011 B                          | 100,000,000 | 2010/07/21 | 2011/02/14 | 25.50          |
| 63053 | UBS AG – HSBC R Bull CBBC Nov 2010 H                          | 100,000,000 | 2010/07/21 | 2010/11/22 | 25.00          |
| 63061 | UBS AG – HSI R Bull CBBC Oct 2010 J                           | 200,000,000 | 2010/07/21 | 2010/10/28 | 50.00          |
| 63063 | UBS AG – HSI R Bull CBBC Feb 2011 I                           | 200,000,000 | 2010/07/21 | 2011/02/25 | 50.00          |
| 63055 | UBS AG – HSI R Bear CBBC Dec 2010 D                           | 200,000,000 | 2010/07/21 | 2010/12/30 | 50.00          |
| 63093 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 B                 | 390,000,000 | 2010/07/22 | 2010/10/28 | 97.50          |
| 63095 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 V                 | 390,000,000 | 2010/07/22 | 2010/10/28 | 97.50          |
| 63080 | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 J                 | 300,000,000 | 2010/07/22 | 2010/11/29 | 75.00          |
| 63096 | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 U                 | 300,000,000 | 2010/07/22 | 2010/11/29 | 75.00          |
| 63081 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 P                 | 300,000,000 | 2010/07/22 | 2010/12/30 | 81.00          |
| 63097 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 S      | 128,000,000 | 2010/07/22 | 2010/11/29 | 32.00          |
| 63098 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 T      | 128,000,000 | 2010/07/22 | 2010/11/29 | 32.00          |
| 63099 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 V                 | 200,000,000 | 2010/07/22 | 2010/12/30 | 50.00          |
| 63100 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 W                 | 200,000,000 | 2010/07/22 | 2010/12/30 | 50.00          |
| 63082 | UBS AG – CHALCO R Bull CBBC Feb 2011 A                        | 100,000,000 | 2010/07/22 | 2011/02/14 | 25.00          |
| 63087 | UBS AG – CHALCO R Bear CBBC Feb 2011 B                        | 100,000,000 | 2010/07/22 | 2011/02/21 | 25.00          |
| 63083 | UBS AG – COVS R Bull CBBC Dec 2010 A                          | 100,000,000 | 2010/07/22 | 2010/12/13 | 25.00          |
| 63088 | UBS AG – COVS R Bear CBBC Dec 2010 B                          | 100,000,000 | 2010/07/22 | 2010/12/20 | 25.00          |
| 63089 | UBS AG – Sinopec Corp R Bear CBBC Dec 2010 B                  | 100,000,000 | 2010/07/22 | 2010/12/06 | 25.00          |
| 63091 | UBS AG – A50 China R Bear CBBC Jan 2011 D                     | 100,000,000 | 2010/07/22 | 2011/01/24 | 25.00          |
| 63092 | UBS AG – HSI R Bear CBBC Nov 2010 T                           | 200,000,000 | 2010/07/22 | 2010/11/29 | 50.00          |
| 63094 | UBS AG – HSI R Bear CBBC Nov 2010 Y                           | 200,000,000 | 2010/07/22 | 2010/11/29 | 50.00          |
| 63086 | UBS AG – Jiangxi Copper R Bull CBBC Dec 2010 B                | 100,000,000 | 2010/07/22 | 2010/12/13 | 25.00          |
| 63090 | UBS AG – Jiangxi Copper R Bear CBBC Dec 2010 C                | 100,000,000 | 2010/07/22 | 2010/12/20 | 26.50          |
| 63110 | Barclays Bank plc – HSI R Bull CBBC Dec 2010 D                | 300,000,000 | 2010/07/23 | 2010/12/30 | 49.50          |
| 63111 | Barclays Bank plc – HSI R Bull CBBC Jan 2011 C                | 300,000,000 | 2010/07/23 | 2011/01/28 | 75.00          |
| 63112 | Barclays Bank plc – HSI R Bull CBBC Jan 2011 D                | 300,000,000 | 2010/07/23 | 2011/01/28 | 75.00          |
| 63106 | Barclays Bank plc – HSI R Bear CBBC Jan 2011 A                | 300,000,000 | 2010/07/23 | 2011/01/28 | 75.00          |
| 63109 | Barclays Bank plc – HSI R Bear CBBC Jan 2011 B                | 300,000,000 | 2010/07/23 | 2011/01/28 | 75.00          |
| 63105 | Credit Suisse AG – HSI R Bear CBBC Oct 2010 X                 | 440,000,000 | 2010/07/23 | 2010/10/28 | 110.00         |
| 63115 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 M                 | 300,000,000 | 2010/07/23 | 2011/01/28 | 75.00          |
| 63117 | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 P                 | 200,000,000 | 2010/07/23 | 2010/11/29 | 50.00          |
| 63118 | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 Q                 | 200,000,000 | 2010/07/23 | 2010/11/29 | 50.00          |

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| 股份代號  | 牛熊證  | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|-------|--|-------------|------------|------------|----------------|
| 63119 | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 R                  | 200,000,000 | 2010/07/23 | 2010/11/29 | 50.00          |
| 63120 | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 S                  | 200,000,000 | 2010/07/23 | 2010/11/29 | 50.00          |
| 63113 | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 D              | 40,000,000  | 2010/07/23 | 2010/12/17 | 10.44          |
| 63114 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10F  | 300,000,000 | 2010/07/23 | 2010/10/28 | 75.00          |
| 63103 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10I  | 300,000,000 | 2010/07/23 | 2010/11/29 | 75.00          |
| 63104 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10N  | 300,000,000 | 2010/07/23 | 2010/11/29 | 76.50          |
| 63102 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Z  | 300,000,000 | 2010/07/23 | 2010/11/29 | 75.00          |
| 63121 | UBS AG – BYD Company R Bull CBBC Dec 2010 B                    | 100,000,000 | 2010/07/23 | 2010/12/20 | 25.00          |
| 63122 | UBS AG – China Shenhua R Bull CBBC Dec 2010 C                  | 100,000,000 | 2010/07/23 | 2010/12/13 | 25.00          |
| 63123 | UBS AG – China Shenhua R Bear CBBC Jan 2011 A                  | 100,000,000 | 2010/07/23 | 2011/01/10 | 25.00          |
| 63124 | UBS AG – HSI R Bear CBBC Feb 2011 J                            | 300,000,000 | 2010/07/23 | 2011/02/25 | 75.00          |
| 63146 | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 D       | 100,000,000 | 2010/07/26 | 2011/02/25 | 25.00          |
| 63142 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 Q                  | 390,000,000 | 2010/07/26 | 2010/12/30 | 97.50          |
| 63145 | Credit Suisse AG – HSI R Bear CBBC Nov 2010 Z                  | 390,000,000 | 2010/07/26 | 2010/11/29 | 97.50          |
| 63130 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 N                  | 300,000,000 | 2010/07/26 | 2011/01/28 | 75.00          |
| 63139 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 O                  | 300,000,000 | 2010/07/26 | 2010/12/30 | 75.00          |
| 63140 | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 T                  | 200,000,000 | 2010/07/26 | 2010/11/29 | 50.00          |
| 63141 | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 U                  | 200,000,000 | 2010/07/26 | 2010/11/29 | 50.00          |
| 63147 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 U       | 128,000,000 | 2010/07/26 | 2010/11/29 | 32.00          |
| 63148 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 F       | 158,000,000 | 2010/07/26 | 2010/12/30 | 39.50          |
| 63149 | RB of Scotland N.V. – BYD Company R Bull CBBC Dec 2010 C       | 80,000,000  | 2010/07/26 | 2010/12/13 | 20.00          |
| 63152 | RB of Scotland N.V. – BYD Company R Bear CBBC Dec 2010 D       | 80,000,000  | 2010/07/26 | 2010/12/13 | 20.00          |
| 63150 | RB of Scotland N.V. – CPIC R Bull CBBC Dec 2010 A              | 80,000,000  | 2010/07/26 | 2010/12/29 | 20.00          |
| 63154 | RB of Scotland N.V. – CPIC R Bear CBBC Dec 2010 B              | 80,000,000  | 2010/07/26 | 2010/12/29 | 20.00          |
| 63151 | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 D               | 250,000,000 | 2010/07/26 | 2010/11/29 | 62.50          |
| 63126 | SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Dec10A  | 200,000,000 | 2010/07/26 | 2010/12/29 | 50.00          |
| 63127 | SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Dec10B  | 200,000,000 | 2010/07/26 | 2010/12/29 | 50.00          |
| 63144 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P  | 300,000,000 | 2010/07/26 | 2010/11/29 | 75.00          |
| 63143 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q  | 300,000,000 | 2010/07/26 | 2010/11/29 | 75.00          |
| 63128 | SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Dec10A | 300,000,000 | 2010/07/26 | 2010/12/29 | 75.00          |
| 63129 | SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Dec10B | 300,000,000 | 2010/07/26 | 2010/12/29 | 75.00          |
| 63155 | UBS AG – BYD Company R Bull CBBC Dec 2010 C                    | 100,000,000 | 2010/07/26 | 2010/12/13 | 25.00          |
| 63133 | UBS AG – China Tel R Bull CBBC Dec 2010 A                      | 100,000,000 | 2010/07/26 | 2010/12/20 | 25.00          |
| 63134 | UBS AG – China Tel R Bull CBBC Dec 2010 B                      | 100,000,000 | 2010/07/26 | 2010/12/06 | 25.00          |
| 63138 | UBS AG – China Tel R Bear CBBC Dec 2010 C                      | 100,000,000 | 2010/07/26 | 2010/12/06 | 25.00          |
| 63135 | UBS AG – CUni R Bull CBBC Dec 2010 A                           | 100,000,000 | 2010/07/26 | 2010/12/13 | 25.00          |
| 63131 | UBS AG – CPIC R Bull CBBC Dec 2010 A                           | 50,000,000  | 2010/07/26 | 2010/12/20 | 16.00          |
| 63132 | UBS AG – CPIC R Bull CBBC Dec 2010 B                           | 50,000,000  | 2010/07/26 | 2010/12/06 | 12.50          |
| 63137 | UBS AG – CPIC R Bear CBBC Dec 2010 C                           | 50,000,000  | 2010/07/26 | 2010/12/20 | 14.25          |
| 63136 | UBS AG – HSI R Bull CBBC Oct 2010 Y                            | 200,000,000 | 2010/07/26 | 2010/10/28 | 50.00          |
| 63157 | UBS AG – HSI R Bear CBBC Oct 2010 K                            | 200,000,000 | 2010/07/26 | 2010/10/28 | 50.00          |
| 63168 | Credit Suisse AG – A50 China R Bull CBBC Jan 2011 D            | 100,000,000 | 2010/07/27 | 2011/01/17 | 25.00          |
| 63167 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 P                  | 390,000,000 | 2010/07/27 | 2010/12/30 | 97.50          |
| 63161 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 V                  | 300,000,000 | 2010/07/27 | 2010/12/30 | 75.00          |
| 63160 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 O                  | 300,000,000 | 2010/07/27 | 2011/01/28 | 75.00          |



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| 股份<br>代號 | 牛熊證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|---|-------------|------------|------------|----------------|
|          |   | 發行單位        | 上市日期       | 到期日        |                |
| 63169    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 V                 | 200,000,000 | 2010/07/27 | 2010/11/29 | 50.00          |
| 63163    | UBS AG – A50 China R Bull CBBC Jan 2011 E                     | 100,000,000 | 2010/07/27 | 2011/01/03 | 25.00          |
| 63162    | UBS AG – HSI R Bull CBBC Dec 2010 F                           | 200,000,000 | 2010/07/27 | 2010/12/30 | 50.00          |
| 63158    | UBS AG – HSI R Bear CBBC Dec 2010 I                           | 200,000,000 | 2010/07/27 | 2010/12/30 | 50.00          |
| 63164    | UBS AG – SHK Ppt R Bear CBBC Dec 2010 D                       | 100,000,000 | 2010/07/27 | 2010/12/13 | 25.00          |
| 63179    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 O                 | 390,000,000 | 2010/07/28 | 2010/12/30 | 97.50          |
| 63173    | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 R                 | 300,000,000 | 2010/07/28 | 2010/11/29 | 75.00          |
| 63175    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2010 X      | 128,000,000 | 2010/07/28 | 2010/10/28 | 32.00          |
| 63176    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 V      | 128,000,000 | 2010/07/28 | 2010/11/29 | 32.00          |
| 63170    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10M | 300,000,000 | 2010/07/28 | 2010/10/28 | 75.00          |
| 63174    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10K | 300,000,000 | 2010/07/28 | 2010/11/29 | 75.00          |
| 63171    | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov100 | 300,000,000 | 2010/07/28 | 2010/11/29 | 75.00          |
| 63178    | UBS AG – HSI R Bull CBBC Nov 2010 U                           | 200,000,000 | 2010/07/28 | 2010/11/29 | 50.00          |
| 63177    | UBS AG – HSI R Bull CBBC Nov 2010 W                           | 200,000,000 | 2010/07/28 | 2010/11/29 | 50.00          |
| 63172    | UBS AG – HSI R Bear CBBC Oct 2010 J                           | 200,000,000 | 2010/07/28 | 2010/10/28 | 50.00          |
| 62751#   | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2010 N      | 150,000,000 | 2010/07/28 | 2010/10/28 | 12.00          |
| 63186    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 M                 | 390,000,000 | 2010/07/29 | 2010/12/30 | 97.50          |
| 63181    | Credit Suisse AG – HSI R Bear CBBC Oct 2010 A                 | 390,000,000 | 2010/07/29 | 2010/10/28 | 97.50          |
| 63180    | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 X                 | 300,000,000 | 2010/07/29 | 2010/11/29 | 75.00          |
| 63205    | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 P                 | 300,000,000 | 2010/07/29 | 2011/01/28 | 75.00          |
| 63182    | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 U                 | 300,000,000 | 2010/07/29 | 2010/12/30 | 75.00          |
| 63206    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 W                 | 200,000,000 | 2010/07/29 | 2010/11/29 | 50.00          |
| 63207    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 X                 | 200,000,000 | 2010/07/29 | 2010/11/29 | 50.00          |
| 63192    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 W      | 128,000,000 | 2010/07/29 | 2010/11/29 | 32.00          |
| 63193    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 X      | 128,000,000 | 2010/07/29 | 2010/11/29 | 32.00          |
| 63195    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 G      | 128,000,000 | 2010/07/29 | 2010/12/30 | 32.00          |
| 63196    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 H      | 158,000,000 | 2010/07/29 | 2010/12/30 | 39.50          |
| 63197    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 I      | 128,000,000 | 2010/07/29 | 2010/12/30 | 35.84          |
| 63198    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 J      | 158,000,000 | 2010/07/29 | 2010/12/30 | 39.50          |
| 63199    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 K      | 128,000,000 | 2010/07/29 | 2010/12/30 | 34.56          |
| 63202    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 L      | 108,000,000 | 2010/07/29 | 2010/12/30 | 38.88          |
| 63203    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 A      | 128,000,000 | 2010/07/29 | 2011/01/28 | 32.00          |
| 63204    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 B      | 158,000,000 | 2010/07/29 | 2011/01/28 | 39.50          |
| 63190    | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 X                 | 200,000,000 | 2010/07/29 | 2010/12/30 | 50.00          |
| 63191    | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 Y                 | 200,000,000 | 2010/07/29 | 2010/12/30 | 50.00          |
| 63189    | RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 E              | 250,000,000 | 2010/07/29 | 2010/11/29 | 62.50          |
| 63184    | UBS AG – A50 China R Bull CBBC Jan 2011 F                     | 100,000,000 | 2010/07/29 | 2011/01/10 | 25.00          |
| 63188    | UBS AG – HSI R Bull CBBC Nov 2010 D                           | 200,000,000 | 2010/07/29 | 2010/11/29 | 50.00          |
| 63183    | UBS AG – HSI R Bull CBBC Jan 2011 T                           | 300,000,000 | 2010/07/29 | 2011/01/28 | 75.00          |
| 63187    | UBS AG – HSI R Bear CBBC Jan 2011 U                           | 200,000,000 | 2010/07/29 | 2011/01/28 | 50.00          |
| 63185    | UBS AG – SHK Ppt R Bear CBBC Jan 2011 A                       | 100,000,000 | 2010/07/29 | 2011/01/03 | 25.00          |
| 61588#   | UBS AG – HSI R Bear CBBC Nov 2010 O                           | 300,000,000 | 2010/07/29 | 2010/11/29 | 36.90          |
| 63220    | Credit Suisse AG – A50 China R Bull CBBC Jan 2011 E           | 100,000,000 | 2010/07/30 | 2011/01/24 | 25.00          |
| 63221    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 T                 | 390,000,000 | 2010/07/30 | 2010/12/30 | 97.50          |
| 63222    | Credit Suisse AG – HSI R Bull CBBC Jan 2011 A                 | 440,000,000 | 2010/07/30 | 2011/01/28 | 110.00         |

# 主板 可收回牛熊證

| 股份<br>代號           | 牛熊證   | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|--------------------|---|-------------|------------|------------|----------------|
|                    |   | 發行單位        | 上市日期       | 到期日        |                |
| 63210              | Credit Suisse AG – HSI R Bear CBBC Nov 2010 S                 | 390,000,000 | 2010/07/30 | 2010/11/29 | 97.50          |
| 63223              | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Q                 | 300,000,000 | 2010/07/30 | 2010/12/30 | 75.00          |
| 63237              | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 X                 | 300,000,000 | 2010/07/30 | 2010/12/30 | 75.00          |
| 63217              | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 S                 | 300,000,000 | 2010/07/30 | 2010/11/29 | 75.00          |
| 63218              | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 Q                 | 300,000,000 | 2010/07/30 | 2011/01/28 | 78.00          |
| 63225              | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 Y                 | 200,000,000 | 2010/07/30 | 2010/11/29 | 50.00          |
| 63226              | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 Z                 | 200,000,000 | 2010/07/30 | 2010/11/29 | 50.00          |
| 63235              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 Y      | 128,000,000 | 2010/07/30 | 2010/11/29 | 32.00          |
| 63236              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 Z      | 128,000,000 | 2010/07/30 | 2010/11/29 | 32.00          |
| 63241              | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 A                 | 200,000,000 | 2010/07/30 | 2010/12/30 | 50.00          |
| 63240              | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 B                 | 200,000,000 | 2010/07/30 | 2010/12/30 | 50.00          |
| 63239              | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 C                 | 200,000,000 | 2010/07/30 | 2010/12/30 | 50.00          |
| 63238              | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 Z                 | 200,000,000 | 2010/07/30 | 2010/12/30 | 50.00          |
| 63229              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10H | 300,000,000 | 2010/07/30 | 2010/11/29 | 75.00          |
| 63208              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10J | 300,000,000 | 2010/07/30 | 2010/11/29 | 75.00          |
| 63209              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10L | 300,000,000 | 2010/07/30 | 2010/11/29 | 75.00          |
| 63228              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10M | 300,000,000 | 2010/07/30 | 2010/11/29 | 75.00          |
| 63230              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10S | 300,000,000 | 2010/07/30 | 2010/11/29 | 75.00          |
| 63216              | UBS AG – A50 China R Bear CBBC Jan 2011 G                     | 100,000,000 | 2010/07/30 | 2011/01/03 | 25.00          |
| 63234              | UBS AG – HSCEI R Bull CBBC Dec 2010 F                         | 100,000,000 | 2010/07/30 | 2010/12/30 | 25.00          |
| 63231              | UBS AG – HSI R Bull CBBC Nov 2010 F                           | 200,000,000 | 2010/07/30 | 2010/11/29 | 50.00          |
| 63233              | UBS AG – HSI R Bull CBBC Dec 2010 U                           | 200,000,000 | 2010/07/30 | 2010/12/30 | 50.00          |
| 63213              | UBS AG – HSI R Bear CBBC Dec 2010 C                           | 200,000,000 | 2010/07/30 | 2010/12/30 | 50.00          |
| 63211              | UBS AG – HSI R Bear CBBC Dec 2010 L                           | 200,000,000 | 2010/07/30 | 2010/12/30 | 50.00          |
| 63214              | UBS AG – HSI R Bear CBBC Jan 2011 V                           | 200,000,000 | 2010/07/30 | 2011/01/28 | 50.00          |
| 63215              | UBS AG – HSI R Bear CBBC Jan 2011 W                           | 200,000,000 | 2010/07/30 | 2011/01/28 | 50.00          |
| 61702 <sup>#</sup> | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2010 C      | 200,000,000 | 2010/07/30 | 2010/11/29 | 32.20          |
| 63255              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 E      | 100,000,000 | 2010/08/02 | 2011/02/25 | 25.00          |
| 63261              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 B                 | 390,000,000 | 2010/08/02 | 2011/01/28 | 97.50          |
| 63258              | Credit Suisse AG – HSI R Bear CBBC Nov 2010 T                 | 390,000,000 | 2010/08/02 | 2010/11/29 | 97.50          |
| 63260              | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 W                 | 300,000,000 | 2010/08/02 | 2010/11/29 | 75.00          |
| 63254              | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 E                 | 300,000,000 | 2010/08/02 | 2010/11/29 | 75.00          |
| 63252              | HK Bank – HSI R Bull CBBC Dec 2010 O                          | 120,000,000 | 2010/08/02 | 2010/12/30 | 30.00          |
| 63253              | HK Bank – HSI R Bull CBBC Dec 2010 P                          | 120,000,000 | 2010/08/02 | 2010/12/30 | 30.00          |
| 63257              | HK Bank – HSI R Bear CBBC Dec 2010 Q                          | 120,000,000 | 2010/08/02 | 2010/12/30 | 30.00          |
| 63248              | RB of Scotland N.V. – BOCL R Bull CBBC Nov 2010 A             | 80,000,000  | 2010/08/02 | 2010/11/22 | 20.00          |
| 63246              | RB of Scotland N.V. – A50 China R Bull CBBC Nov 2010 B        | 80,000,000  | 2010/08/02 | 2010/11/22 | 20.00          |
| 63247              | RB of Scotland N.V. – A50 China R Bull CBBC Nov 2010 C        | 80,000,000  | 2010/08/02 | 2010/11/22 | 20.00          |
| 63249              | RB of Scotland N.V. – HSBC R Bull CBBC Nov 2010 A             | 80,000,000  | 2010/08/02 | 2010/11/08 | 20.00          |
| 63245              | RB of Scotland N.V. – HSCEI R Bull CBBC Nov 2010 B            | 250,000,000 | 2010/08/02 | 2010/11/29 | 62.50          |
| 63242              | RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 F              | 250,000,000 | 2010/08/02 | 2010/11/29 | 62.50          |
| 63243              | RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 G              | 250,000,000 | 2010/08/02 | 2010/11/29 | 62.50          |
| 63244              | RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 H              | 250,000,000 | 2010/08/02 | 2010/11/29 | 62.50          |
| 63250              | SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Nov10A | 150,000,000 | 2010/08/02 | 2010/11/29 | 37.50          |
| 63251              | SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Nov10B | 150,000,000 | 2010/08/02 | 2010/11/29 | 37.50          |

# 主板 可收回牛熊證

| 股份代號  | 牛熊證  | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|-------|--|-------------|------------|------------|----------------|
| 63259 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10R  | 300,000,000 | 2010/08/02 | 2010/11/29 | 75.00          |
| 63262 | UBS AG – HSBC R Bull CBBC Dec 2010 E                           | 100,000,000 | 2010/08/02 | 2010/12/06 | 25.00          |
| 63263 | UBS AG – HSI R Bull CBBC Dec 2010 R                            | 200,000,000 | 2010/08/02 | 2010/12/30 | 50.00          |
| 63256 | UBS AG – HSI R Bear CBBC Dec 2010 S                            | 200,000,000 | 2010/08/02 | 2010/12/30 | 50.00          |
| 63273 | Credit Suisse AG – HSBC R Bull CBBC Dec 2010 B                 | 100,000,000 | 2010/08/03 | 2010/12/20 | 25.00          |
| 63271 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 C                  | 440,000,000 | 2010/08/03 | 2011/01/28 | 110.00         |
| 63272 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 D                  | 390,000,000 | 2010/08/03 | 2011/01/28 | 97.50          |
| 63270 | Credit Suisse AG – HSI R Bear CBBC Nov 2010 O                  | 390,000,000 | 2010/08/03 | 2010/11/29 | 97.50          |
| 63276 | Credit Suisse AG – HSI R Bear CBBC Jan 2011 E                  | 390,000,000 | 2010/08/03 | 2011/01/28 | 97.50          |
| 63280 | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 C                  | 300,000,000 | 2010/08/03 | 2010/11/29 | 75.00          |
| 63264 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 R                  | 300,000,000 | 2010/08/03 | 2011/01/28 | 75.00          |
| 63278 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 S                  | 300,000,000 | 2010/08/03 | 2011/01/28 | 75.00          |
| 63279 | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 E       | 128,000,000 | 2010/08/03 | 2010/11/29 | 32.00          |
| 63265 | UBS AG – China Life R Bull CBBC Dec 2010 F                     | 100,000,000 | 2010/08/03 | 2010/12/06 | 25.00          |
| 63267 | UBS AG – HSBC R Bull CBBC Dec 2010 F                           | 100,000,000 | 2010/08/03 | 2010/12/13 | 25.00          |
| 63275 | UBS AG – HSI R Bull CBBC Nov 2010 T                            | 200,000,000 | 2010/08/03 | 2010/11/29 | 50.00          |
| 63274 | UBS AG – HSI R Bull CBBC Dec 2010 T                            | 200,000,000 | 2010/08/03 | 2010/12/30 | 50.00          |
| 63269 | UBS AG – HSI R Bear CBBC Nov 2010 Y                            | 200,000,000 | 2010/08/03 | 2010/11/29 | 50.00          |
| 63268 | UBS AG – TCH R Bull CBBC Dec 2010 E                            | 100,000,000 | 2010/08/03 | 2010/12/06 | 25.00          |
| 63286 | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2011 G       | 100,000,000 | 2010/08/04 | 2011/01/28 | 25.00          |
| 63304 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 S                  | 390,000,000 | 2010/08/04 | 2010/12/30 | 97.50          |
| 63302 | Credit Suisse AG – HSI R Bear CBBC Nov 2010 M                  | 390,000,000 | 2010/08/04 | 2010/11/29 | 97.50          |
| 63320 | Deutsche Bank AG – HSBC R Bull CBBC Feb 2011 A                 | 150,000,000 | 2010/08/04 | 2011/02/01 | 37.50          |
| 63321 | Deutsche Bank AG – HSBC R Bear CBBC Feb 2011 B                 | 150,000,000 | 2010/08/04 | 2011/02/08 | 37.50          |
| 63316 | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 V                  | 300,000,000 | 2010/08/04 | 2010/11/29 | 75.00          |
| 63317 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 K                  | 300,000,000 | 2010/08/04 | 2010/12/30 | 78.00          |
| 63318 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Y                  | 300,000,000 | 2010/08/04 | 2010/12/30 | 75.00          |
| 63319 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 T                  | 300,000,000 | 2010/08/04 | 2011/01/28 | 75.00          |
| 63285 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Z                  | 300,000,000 | 2010/08/04 | 2010/12/30 | 75.00          |
| 63322 | Deutsche Bank AG – TCH R Bull CBBC Jan 2011 A                  | 150,000,000 | 2010/08/04 | 2011/01/25 | 51.00          |
| 63325 | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 C                  | 200,000,000 | 2010/08/04 | 2010/11/29 | 50.00          |
| 63327 | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 E                  | 200,000,000 | 2010/08/04 | 2010/11/29 | 50.00          |
| 63324 | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 M       | 128,000,000 | 2010/08/04 | 2010/12/30 | 32.00          |
| 63305 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 H                  | 200,000,000 | 2010/08/04 | 2010/12/30 | 50.00          |
| 63306 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 L                  | 200,000,000 | 2010/08/04 | 2010/12/30 | 50.00          |
| 63323 | RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 I               | 250,000,000 | 2010/08/04 | 2010/11/29 | 62.50          |
| 63287 | RB of Scotland N.V. – NASDAQ100 Index R Bull CBBC Dec 2010 A   | 40,000,000  | 2010/08/04 | 2010/12/16 | 10.04          |
| 63288 | RB of Scotland N.V. – NASDAQ100 Index R Bull CBBC Dec 2010 B   | 40,000,000  | 2010/08/04 | 2010/12/16 | 10.04          |
| 63297 | RB of Scotland N.V. – NASDAQ100 Index R Bear CBBC Dec 2010 C   | 40,000,000  | 2010/08/04 | 2010/12/16 | 10.04          |
| 63298 | RB of Scotland N.V. – NASDAQ100 Index R Bear CBBC Dec 2010 D   | 40,000,000  | 2010/08/04 | 2010/12/16 | 10.20          |
| 63281 | SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Dec10A | 300,000,000 | 2010/08/04 | 2010/12/29 | 75.00          |
| 63282 | SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Dec10B | 300,000,000 | 2010/08/04 | 2010/12/29 | 75.00          |
| 63303 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10T  | 300,000,000 | 2010/08/04 | 2010/11/29 | 75.00          |
| 63283 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10V  | 300,000,000 | 2010/08/04 | 2010/11/29 | 75.00          |
| 63284 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10U  | 300,000,000 | 2010/08/04 | 2010/11/29 | 75.00          |

# 主板 可收回牛熊證

| 股份代號               | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|--------------------|---|-------------|------------|------------|----------------|
| 63307              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10X | 300,000,000 | 2010/08/04 | 2010/11/29 | 75.00          |
| 63308              | UBS AG – CPIC R Bull CBBC Dec 2010 D                          | 50,000,000  | 2010/08/04 | 2010/12/13 | 12.50          |
| 63312              | UBS AG – CPIC R Bear CBBC Dec 2010 E                          | 50,000,000  | 2010/08/04 | 2010/12/13 | 18.50          |
| 63313              | UBS AG – A50 China R Bull CBBC Jan 2011 H                     | 100,000,000 | 2010/08/04 | 2011/01/03 | 25.00          |
| 63309              | UBS AG – HKEx R Bull CBBC Dec 2010 C                          | 100,000,000 | 2010/08/04 | 2010/12/20 | 25.00          |
| 63310              | UBS AG – HSBC R Bull CBBC Dec 2010 G                          | 100,000,000 | 2010/08/04 | 2010/12/20 | 25.00          |
| 63314              | UBS AG – HSI R Bull CBBC Dec 2010 J                           | 200,000,000 | 2010/08/04 | 2010/12/30 | 50.00          |
| 63315              | UBS AG – HSI R Bull CBBC Feb 2011 K                           | 300,000,000 | 2010/08/04 | 2011/02/25 | 75.00          |
| 63289              | UBS AG – HSI R Bear CBBC Nov 2010 N                           | 200,000,000 | 2010/08/04 | 2010/11/29 | 50.00          |
| 63290              | UBS AG – HSI R Bear CBBC Dec 2010 P                           | 200,000,000 | 2010/08/04 | 2010/12/30 | 50.00          |
| 63311              | UBS AG – SHK Ppt R Bull CBBC Dec 2010 E                       | 100,000,000 | 2010/08/04 | 2010/12/20 | 25.00          |
| 63344              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 H      | 100,000,000 | 2010/08/05 | 2011/01/28 | 25.00          |
| 63345              | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 F      | 100,000,000 | 2010/08/05 | 2011/02/25 | 25.00          |
| 63346              | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 G      | 100,000,000 | 2010/08/05 | 2011/02/25 | 25.00          |
| 63328              | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 U                 | 300,000,000 | 2010/08/05 | 2011/01/28 | 75.00          |
| 63330              | Deutsche Bank AG – HSI R Bull CBBC Mar 2011 A                 | 300,000,000 | 2010/08/05 | 2011/03/30 | 75.00          |
| 63331              | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 P                 | 300,000,000 | 2010/08/05 | 2010/12/30 | 75.00          |
| 63332              | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 V                 | 300,000,000 | 2010/08/05 | 2011/01/28 | 84.00          |
| 63333              | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 A                 | 300,000,000 | 2010/08/05 | 2011/02/25 | 96.00          |
| 63334              | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 B                 | 300,000,000 | 2010/08/05 | 2011/02/25 | 108.00         |
| 63335              | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 C                 | 300,000,000 | 2010/08/05 | 2011/02/25 | 120.00         |
| 63336              | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 D                 | 300,000,000 | 2010/08/05 | 2011/02/25 | 126.00         |
| 63337              | Deutsche Bank AG – HSI R Bear CBBC Mar 2011 B                 | 300,000,000 | 2010/08/05 | 2011/03/30 | 120.00         |
| 63338              | Deutsche Bank AG – HSI R Bear CBBC Mar 2011 C                 | 300,000,000 | 2010/08/05 | 2011/03/30 | 75.00          |
| 63339              | Deutsche Bank AG – HSI R Bear CBBC Mar 2011 D                 | 300,000,000 | 2010/08/05 | 2011/03/30 | 141.00         |
| 63340              | UBS AG – BYD Company R Bull CBBC Dec 2010 D                   | 100,000,000 | 2010/08/05 | 2010/12/20 | 25.00          |
| 63341              | UBS AG – HSBC R Bear CBBC Dec 2010 H                          | 100,000,000 | 2010/08/05 | 2010/12/20 | 25.00          |
| 63342              | UBS AG – HSCEI R Bull CBBC Jan 2011 A                         | 100,000,000 | 2010/08/05 | 2011/01/28 | 25.00          |
| 63343              | UBS AG – HSI R Bull CBBC Nov 2010 R                           | 200,000,000 | 2010/08/05 | 2010/11/29 | 50.00          |
| 63113 <sup>#</sup> | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 D             | 60,000,000  | 2010/08/05 | 2010/12/17 | 12.36          |
| 63351              | Credit Suisse AG – China Mobile R Bear CBBC Dec 2010 A        | 100,000,000 | 2010/08/06 | 2010/12/20 | 25.00          |
| 63349              | Credit Suisse AG – A50 China R Bear CBBC Dec 2010 A           | 100,000,000 | 2010/08/06 | 2010/12/20 | 25.00          |
| 63350              | Credit Suisse AG – A50 China R Bear CBBC Jan 2011 F           | 100,000,000 | 2010/08/06 | 2011/01/17 | 25.00          |
| 63352              | Credit Suisse AG – HSBC R Bear CBBC Dec 2010 C                | 100,000,000 | 2010/08/06 | 2010/12/20 | 25.00          |
| 63347              | Credit Suisse AG – TCH R Bull CBBC Dec 2010 A                 | 100,000,000 | 2010/08/06 | 2010/12/20 | 25.00          |
| 63348              | Credit Suisse AG – TCH R Bull CBBC Jan 2011 A                 | 100,000,000 | 2010/08/06 | 2011/01/17 | 27.50          |
| 63353              | Credit Suisse AG – TCH R Bear CBBC Dec 2010 B                 | 100,000,000 | 2010/08/06 | 2010/12/20 | 28.00          |
| 63355              | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 W                 | 300,000,000 | 2010/08/06 | 2011/01/28 | 75.00          |
| 63356              | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 X                 | 300,000,000 | 2010/08/06 | 2011/01/28 | 84.00          |
| 63358              | UBS AG – A50 China R Bear CBBC Feb 2011 A                     | 100,000,000 | 2010/08/06 | 2011/02/14 | 25.00          |
| 63359              | UBS AG – A50 China R Bear CBBC Feb 2011 B                     | 100,000,000 | 2010/08/06 | 2011/02/21 | 26.50          |
| 63357              | UBS AG – TCH R Bull CBBC Dec 2010 F                           | 100,000,000 | 2010/08/06 | 2010/12/20 | 25.00          |
| 63363              | BOCI Asia Ltd. – HSI R Bull CBBC Nov 2010 A                   | 100,000,000 | 2010/08/09 | 2010/11/29 | 25.00          |
| 63367              | BOCI Asia Ltd. – HSI R Bear CBBC Nov 2010 B                   | 100,000,000 | 2010/08/09 | 2010/11/29 | 25.00          |
| 63360              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 H      | 100,000,000 | 2010/08/09 | 2011/02/25 | 25.00          |

# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證   | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|----------|---|--------------|------------|------------|----------------|
| 63364    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 I      | 100,000,000  | 2010/08/09 | 2011/02/25 | 25.00          |
| 63365    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 J      | 100,000,000  | 2010/08/09 | 2011/02/25 | 25.00          |
| 63361    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 A      | 100,000,000  | 2010/08/09 | 2011/03/30 | 25.00          |
| 63362    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 B      | 100,000,000  | 2010/08/09 | 2011/03/30 | 25.00          |
| 63375    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 H                 | 390,000,000  | 2010/08/09 | 2010/12/30 | 97.50          |
| 63372    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 B                 | 390,000,000  | 2010/08/09 | 2010/11/29 | 97.50          |
| 63369    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 I                 | 390,000,000  | 2010/08/09 | 2010/11/29 | 97.50          |
| 63371    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 X                 | 390,000,000  | 2010/08/09 | 2010/11/29 | 97.50          |
| 63370    | Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y                 | 390,000,000  | 2010/08/09 | 2010/11/29 | 97.50          |
| 63373    | Credit Suisse AG – HSI R Bear CBBC Dec 2010 C                 | 390,000,000  | 2010/08/09 | 2010/12/30 | 97.50          |
| 63374    | Credit Suisse AG – HSI R Bear CBBC Jan 2011 F                 | 390,000,000  | 2010/08/09 | 2011/01/28 | 97.50          |
| 63401    | Deutsche Bank AG – HKEx R Bear CBBC Jan 2011 A                | 150,000,000  | 2010/08/09 | 2011/01/10 | 37.50          |
| 63399    | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O                 | 300,000,000  | 2010/08/09 | 2010/12/30 | 75.00          |
| 63400    | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Z                 | 300,000,000  | 2010/08/09 | 2011/01/28 | 75.00          |
| 63368    | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 Y                 | 300,000,000  | 2010/08/09 | 2011/01/28 | 75.00          |
| 63384    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 G                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 50.00          |
| 63388    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 M                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 50.00          |
| 63394    | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 K                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 50.00          |
| 63389    | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 L                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 50.00          |
| 63392    | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 N                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 50.00          |
| 63390    | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 O                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 50.00          |
| 63397    | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 R                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 53.00          |
| 63395    | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 S                 | 200,000,000  | 2010/08/09 | 2010/11/29 | 50.00          |
| 63402    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 G      | 128,000,000  | 2010/08/09 | 2010/11/29 | 32.00          |
| 63403    | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 N      | 128,000,000  | 2010/08/09 | 2010/12/30 | 32.00          |
| 63407    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 O      | 128,000,000  | 2010/08/09 | 2010/12/30 | 32.00          |
| 63408    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 P      | 128,000,000  | 2010/08/09 | 2010/12/30 | 32.00          |
| 63406    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 C      | 128,000,000  | 2010/08/09 | 2011/01/28 | 37.12          |
| 63382    | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 I                 | 200,000,000  | 2010/08/09 | 2010/12/30 | 50.00          |
| 63381    | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 N                 | 200,000,000  | 2010/08/09 | 2010/12/30 | 50.00          |
| 63387    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 J                 | 200,000,000  | 2010/08/09 | 2010/12/30 | 50.00          |
| 63386    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 M                 | 200,000,000  | 2010/08/09 | 2010/12/30 | 50.00          |
| 63385    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 O                 | 200,000,000  | 2010/08/09 | 2010/12/30 | 50.00          |
| 63383    | RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 J              | 250,000,000  | 2010/08/09 | 2010/11/29 | 62.50          |
| 63376    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10W | 300,000,000  | 2010/08/09 | 2010/11/29 | 75.00          |
| 63378    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10Y | 300,000,000  | 2010/08/09 | 2010/11/29 | 75.00          |
| 63377    | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10Z | 300,000,000  | 2010/08/09 | 2010/11/29 | 75.00          |
| 63379    | UBS AG – HSBC R Bear CBBC Dec 2010 I                          | 100,000,000  | 2010/08/09 | 2010/12/20 | 25.00          |
| 63380    | UBS AG – HSI R Bull CBBC Dec 2010 B                           | 200,000,000  | 2010/08/09 | 2010/12/30 | 50.00          |
| 63366    | UBS AG – HSI R Bear CBBC Dec 2010 Q                           | 200,000,000  | 2010/08/09 | 2010/12/30 | 50.00          |
| 63404    | Barclays Bank plc – HSI R Bull CBBC Feb 2011 A                | 300,000,000  | 2010/08/10 | 2011/02/25 | 75.00          |
| 63405    | Barclays Bank plc – HSI R Bull CBBC Feb 2011 B                | 300,000,000  | 2010/08/10 | 2011/02/25 | 75.00          |
| 63409    | Barclays Bank plc – HSI R Bull CBBC Feb 2011 C                | 300,000,000  | 2010/08/10 | 2011/02/25 | 75.00          |
| 63410    | Barclays Bank plc – HSI R Bull CBBC Feb 2011 D                | 300,000,000  | 2010/08/10 | 2011/02/25 | 75.00          |
| 63414    | Barclays Bank plc – HSI R Bear CBBC Feb 2011 E                | 300,000,000  | 2010/08/10 | 2011/02/25 | 75.00          |



# 主板 可收回牛熊證

| 股份<br>代號 | 牛熊證  | 上市時之        |            |            | 集資金額<br>(百萬港元) |
|----------|--|-------------|------------|------------|----------------|
|          |  | 發行單位        | 上市日期       | 到期日        |                |
| 63416    | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 K | 100,000,000 | 2010/08/10 | 2011/02/25 | 25.00          |
| 63417    | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 L | 100,000,000 | 2010/08/10 | 2011/02/25 | 25.00          |
| 63418    | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2011 C | 100,000,000 | 2010/08/10 | 2011/03/30 | 25.00          |
| 63419    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 A | 100,000,000 | 2010/08/10 | 2011/04/28 | 25.00          |
| 63420    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 B | 100,000,000 | 2010/08/10 | 2011/04/28 | 25.00          |
| 63421    | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 A | 100,000,000 | 2010/08/10 | 2011/05/30 | 25.00          |
| 63415    | Credit Suisse AG – A50 China R Bear CBBC Dec 2010 B      | 100,000,000 | 2010/08/10 | 2010/12/20 | 25.00          |
| 63413    | Credit Suisse AG – HKEx R Bear CBBC Nov 2010 B           | 100,000,000 | 2010/08/10 | 2010/11/29 | 25.00          |
| 63442    | Credit Suisse AG – HSI R Bull CBBC Dec 2010 D            | 390,000,000 | 2010/08/10 | 2010/12/30 | 97.50          |
| 63443    | Credit Suisse AG – HSI R Bull CBBC Jan 2011 G            | 440,000,000 | 2010/08/10 | 2011/01/28 | 110.00         |
| 63445    | Credit Suisse AG – HSI R Bull CBBC Jan 2011 H            | 390,000,000 | 2010/08/10 | 2011/01/28 | 97.50          |
| 63435    | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 L            | 300,000,000 | 2010/08/10 | 2010/11/29 | 75.00          |
| 63436    | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 G            | 300,000,000 | 2010/08/10 | 2011/02/25 | 75.00          |
| 63425    | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 E            | 300,000,000 | 2010/08/10 | 2011/02/25 | 75.00          |
| 63426    | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 F            | 300,000,000 | 2010/08/10 | 2011/02/25 | 75.00          |
| 63437    | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 H            | 300,000,000 | 2010/08/10 | 2011/02/25 | 75.00          |
| 63438    | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 I            | 300,000,000 | 2010/08/10 | 2011/02/25 | 87.00          |
| 63439    | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 J            | 300,000,000 | 2010/08/10 | 2011/02/25 | 114.00         |
| 63440    | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 K            | 300,000,000 | 2010/08/10 | 2011/02/25 | 126.00         |
| 63441    | Deutsche Bank AG – HSI R Bear CBBC Mar 2011 E            | 300,000,000 | 2010/08/10 | 2011/03/30 | 87.00          |
| 63411    | HK Bank – HSI R Bull CBBC Jan 2011 A                     | 120,000,000 | 2010/08/10 | 2011/01/28 | 30.00          |
| 63412    | HK Bank – HSI R Bull CBBC Jan 2011 B                     | 120,000,000 | 2010/08/10 | 2011/01/28 | 30.00          |
| 63428    | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 Q            | 200,000,000 | 2010/08/10 | 2010/11/29 | 50.00          |
| 63465    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 D | 128,000,000 | 2010/08/10 | 2011/01/28 | 32.00          |
| 63466    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 A | 128,000,000 | 2010/08/10 | 2011/02/25 | 32.00          |
| 63467    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 B | 128,000,000 | 2010/08/10 | 2011/02/25 | 43.52          |
| 63468    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 C | 128,000,000 | 2010/08/10 | 2011/02/25 | 35.84          |
| 63469    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 D | 108,000,000 | 2010/08/10 | 2011/02/25 | 36.72          |
| 63470    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 A | 128,000,000 | 2010/08/10 | 2011/03/30 | 32.00          |
| 63471    | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 B | 108,000,000 | 2010/08/10 | 2011/03/30 | 44.28          |
| 63452    | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 R            | 200,000,000 | 2010/08/10 | 2010/12/30 | 50.00          |
| 63453    | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 U            | 200,000,000 | 2010/08/10 | 2010/12/30 | 50.00          |
| 63457    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 T            | 200,000,000 | 2010/08/10 | 2010/12/30 | 50.00          |
| 63458    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 V            | 200,000,000 | 2010/08/10 | 2010/12/30 | 50.00          |
| 63459    | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 W            | 200,000,000 | 2010/08/10 | 2010/12/30 | 50.00          |
| 63454    | RB of Scotland N.V. – COVS R Bull CBBC Dec 2010 C        | 80,000,000  | 2010/08/10 | 2010/12/10 | 20.56          |
| 63455    | RB of Scotland N.V. – COVS R Bull CBBC Dec 2010 D        | 80,000,000  | 2010/08/10 | 2010/12/10 | 20.00          |
| 63461    | RB of Scotland N.V. – COVS R Bear CBBC Dec 2010 E        | 80,000,000  | 2010/08/10 | 2010/12/10 | 20.24          |
| 63462    | RB of Scotland N.V. – COVS R Bear CBBC Dec 2010 F        | 80,000,000  | 2010/08/10 | 2010/12/10 | 24.56          |
| 63429    | RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 E        | 60,000,000  | 2010/08/10 | 2010/12/17 | 15.00          |
| 63430    | RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 F        | 60,000,000  | 2010/08/10 | 2010/12/17 | 15.00          |
| 63431    | RB of Scotland N.V. – HSCEI R Bull CBBC Nov 2010 C       | 250,000,000 | 2010/08/10 | 2010/11/29 | 62.50          |
| 63432    | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 K         | 250,000,000 | 2010/08/10 | 2010/11/29 | 62.50          |
| 63433    | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 L         | 250,000,000 | 2010/08/10 | 2010/11/29 | 62.50          |
| 63434    | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 M         | 250,000,000 | 2010/08/10 | 2010/11/29 | 62.50          |

# 主板 可收回牛熊證

| 股份<br>代號           | 牛熊證   | 上市時之<br>發行單位 | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|--------------------|---|--------------|------------|------------|----------------|
| 63456              | RB of Scotland N.V. – Jiangxi Copper R Bull CBBC Dec 2010 B   | 80,000,000   | 2010/08/10 | 2010/12/29 | 25.28          |
| 63460              | RB of Scotland N.V. – Jiangxi Copper R Bull CBBC Dec 2010 C   | 80,000,000   | 2010/08/10 | 2010/12/29 | 20.56          |
| 63463              | RB of Scotland N.V. – Jiangxi Copper R Bear CBBC Dec 2010 D   | 80,000,000   | 2010/08/10 | 2010/12/29 | 20.08          |
| 63464              | RB of Scotland N.V. – Jiangxi Copper R Bear CBBC Dec 2010 E   | 80,000,000   | 2010/08/10 | 2010/12/29 | 24.88          |
| 63444              | UBS AG – HKEx R Bull CBBC Dec 2010 D                          | 100,000,000  | 2010/08/10 | 2010/12/28 | 25.00          |
| 63447              | UBS AG – HSI R Bull CBBC Nov 2010 S                           | 200,000,000  | 2010/08/10 | 2010/11/29 | 50.00          |
| 63446              | UBS AG – HSI R Bull CBBC Jan 2011 Y                           | 200,000,000  | 2010/08/10 | 2011/01/28 | 50.00          |
| 63448              | UBS AG – HSI R Bull CBBC Apr 2011 A                           | 300,000,000  | 2010/08/10 | 2011/04/28 | 75.00          |
| 63422              | UBS AG – HSI R Bear CBBC Dec 2010 D                           | 200,000,000  | 2010/08/10 | 2010/12/30 | 50.00          |
| 63451              | UBS AG – HSI R Bear CBBC Jan 2011 A                           | 200,000,000  | 2010/08/10 | 2011/01/28 | 60.00          |
| 63423              | UBS AG – HSI R Bear CBBC Jan 2011 X                           | 200,000,000  | 2010/08/10 | 2011/01/28 | 50.00          |
| 63450              | UBS AG – HSI R Bear CBBC Jan 2011 Z                           | 200,000,000  | 2010/08/10 | 2011/01/28 | 54.00          |
| 63449              | UBS AG – HSI R Bear CBBC Mar 2011 C                           | 200,000,000  | 2010/08/10 | 2011/03/30 | 50.00          |
| 62737 <sup>#</sup> | HK Bank – HSI R Bear CBBC Dec 2010 J                          | 200,000,000  | 2010/08/10 | 2010/12/30 | 19.40          |
| 62226 <sup>#</sup> | RB of Scotland N.V. – DJIA R Bear CBBC Sep 2010 O             | 60,000,000   | 2010/08/10 | 2010/09/17 | 11.28          |
| 63486              | Deutsche Bank AG – China Mobile R Bear CBBC Jan 2011 A        | 150,000,000  | 2010/08/11 | 2011/01/17 | 37.50          |
| 63485              | Deutsche Bank AG – CC Bank R Bear CBBC Jan 2011 A             | 150,000,000  | 2010/08/11 | 2011/01/24 | 37.50          |
| 63487              | Deutsche Bank AG – ICBC R Bull CBBC Jan 2011 A                | 150,000,000  | 2010/08/11 | 2011/01/24 | 37.50          |
| 63491              | Deutsche Bank AG – ICBC R Bear CBBC Jan 2011 B                | 150,000,000  | 2010/08/11 | 2011/01/31 | 37.50          |
| 63484              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 Q      | 128,000,000  | 2010/08/11 | 2010/12/30 | 32.00          |
| 63490              | RB of Scotland N.V. – China Mobile R Bull CBBC Dec 2010 A     | 80,000,000   | 2010/08/11 | 2010/12/22 | 20.00          |
| 63494              | RB of Scotland N.V. – China Mobile R Bear CBBC Dec 2010 B     | 80,000,000   | 2010/08/11 | 2010/12/22 | 20.00          |
| 63488              | RB of Scotland N.V. – CC Bank R Bull CBBC Dec 2010 A          | 80,000,000   | 2010/08/11 | 2010/12/28 | 20.00          |
| 63489              | RB of Scotland N.V. – CC Bank R Bull CBBC Dec 2010 B          | 80,000,000   | 2010/08/11 | 2010/12/28 | 20.00          |
| 63493              | RB of Scotland N.V. – CC Bank R Bear CBBC Dec 2010 C          | 80,000,000   | 2010/08/11 | 2010/12/28 | 20.00          |
| 63492              | RB of Scotland N.V. – HKEx R Bull CBBC Dec 2010 B             | 80,000,000   | 2010/08/11 | 2010/12/13 | 20.00          |
| 63495              | RB of Scotland N.V. – HKEx R Bear CBBC Dec 2010 C             | 80,000,000   | 2010/08/11 | 2010/12/13 | 20.00          |
| 63472              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10I | 300,000,000  | 2010/08/11 | 2010/11/29 | 75.00          |
| 63478              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P | 300,000,000  | 2010/08/11 | 2010/11/29 | 75.00          |
| 63477              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q | 300,000,000  | 2010/08/11 | 2010/11/29 | 75.00          |
| 63481              | UBS AG – HSI R Bull CBBC Dec 2010 I                           | 200,000,000  | 2010/08/11 | 2010/12/30 | 50.00          |
| 63482              | UBS AG – HSI R Bull CBBC Dec 2010 N                           | 200,000,000  | 2010/08/11 | 2010/12/30 | 50.00          |
| 63483              | UBS AG – HSI R Bull CBBC Feb 2011 L                           | 200,000,000  | 2010/08/11 | 2011/02/25 | 50.00          |
| 63473              | UBS AG – HSI R Bear CBBC Nov 2010 Y                           | 200,000,000  | 2010/08/11 | 2010/11/29 | 50.00          |
| 63474              | UBS AG – HSI R Bear CBBC Dec 2010 Z                           | 200,000,000  | 2010/08/11 | 2010/12/30 | 50.00          |
| 63479              | UBS AG – SHK Ppt R Bear CBBC Jan 2011 B                       | 100,000,000  | 2010/08/11 | 2011/01/17 | 25.00          |
| 63480              | UBS AG – TCH R Bull CBBC Dec 2010 G                           | 100,000,000  | 2010/08/11 | 2010/12/28 | 25.00          |
| 63508              | Deutsche Bank AG – HSCEI R Bull CBBC Dec 2010 A               | 150,000,000  | 2010/08/12 | 2010/12/30 | 37.50          |
| 63509              | Deutsche Bank AG – HSCEI R Bull CBBC Dec 2010 B               | 150,000,000  | 2010/08/12 | 2010/12/30 | 37.50          |
| 63512              | Deutsche Bank AG – HSCEI R Bear CBBC Dec 2010 C               | 150,000,000  | 2010/08/12 | 2010/12/30 | 37.50          |
| 63516              | Deutsche Bank AG – HSCEI R Bear CBBC Dec 2010 D               | 150,000,000  | 2010/08/12 | 2010/12/30 | 37.50          |
| 63507              | Deutsche Bank AG – HSI R Bull CBBC Mar 2011 F                 | 300,000,000  | 2010/08/12 | 2011/03/30 | 75.00          |
| 63519              | Deutsche Bank AG – HSI R Bull CBBC Mar 2011 G                 | 300,000,000  | 2010/08/12 | 2011/03/30 | 81.00          |
| 63520              | Deutsche Bank AG – HSI R Bull CBBC Mar 2011 H                 | 300,000,000  | 2010/08/12 | 2011/03/30 | 75.00          |
| 63524              | Deutsche Bank AG – HSI R Bear CBBC Mar 2011 I                 | 300,000,000  | 2010/08/12 | 2011/03/30 | 75.00          |



# 主板 可收回牛熊證

| 股份代號   | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|--------|---|-------------|------------|------------|----------------|
| 63526  | Deutsche Bank AG – HSI R Bear CBBC Mar 2011 J                 | 300,000,000 | 2010/08/12 | 2011/03/30 | 87.00          |
| 63497  | HK Bank – China Mobile R Bull CBBC Jan 2011 B                 | 80,000,000  | 2010/08/12 | 2011/01/20 | 20.00          |
| 63503  | HK Bank – China Mobile R Bear CBBC Jan 2011 C                 | 80,000,000  | 2010/08/12 | 2011/01/20 | 20.00          |
| 63496  | HK Bank – CC Bank R Bull CBBC Jan 2011 B                      | 80,000,000  | 2010/08/12 | 2011/01/28 | 20.00          |
| 63502  | HK Bank – CC Bank R Bear CBBC Dec 2010 A                      | 80,000,000  | 2010/08/12 | 2010/12/22 | 20.00          |
| 63498  | HK Bank – China Shenhua R Bull CBBC Jan 2011 A                | 80,000,000  | 2010/08/12 | 2011/01/07 | 20.00          |
| 63501  | HK Bank – A50 R Bull CBBC Feb 2011 A                          | 100,000,000 | 2010/08/12 | 2011/02/18 | 25.00          |
| 63506  | HK Bank – A50 R Bear CBBC Feb 2011 B                          | 100,000,000 | 2010/08/12 | 2011/02/18 | 25.00          |
| 63499  | HK Bank – ICBC R Bull CBBC Dec 2010 B                         | 80,000,000  | 2010/08/12 | 2010/12/20 | 20.00          |
| 63504  | HK Bank – ICBC R Bear CBBC Jan 2011 B                         | 80,000,000  | 2010/08/12 | 2011/01/18 | 20.00          |
| 63500  | HK Bank – TCH R Bull CBBC Feb 2011 A                          | 80,000,000  | 2010/08/12 | 2011/02/08 | 20.00          |
| 63505  | HK Bank – TCH R Bear CBBC Dec 2010 A                          | 80,000,000  | 2010/08/12 | 2010/12/22 | 20.00          |
| 63510  | UBS AG – China Mobile R Bull CBBC Dec 2010 D                  | 100,000,000 | 2010/08/12 | 2010/12/13 | 25.00          |
| 63511  | UBS AG – COVS R Bear CBBC Jan 2011 A                          | 50,000,000  | 2010/08/12 | 2011/01/03 | 12.50          |
| 63517  | UBS AG – COVS R Bear CBBC Jan 2011 B                          | 50,000,000  | 2010/08/12 | 2011/01/24 | 17.25          |
| 63518  | UBS AG – A50 China R Bear CBBC Jan 2011 I                     | 100,000,000 | 2010/08/12 | 2011/01/03 | 25.00          |
| 63531  | Credit Suisse AG – HSI R Bull CBBC Nov 2010 V                 | 390,000,000 | 2010/08/13 | 2010/11/29 | 97.50          |
| 63533  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 E                 | 390,000,000 | 2010/08/13 | 2010/11/29 | 97.50          |
| 63532  | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 R                 | 300,000,000 | 2010/08/13 | 2010/12/30 | 75.00          |
| 63530  | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 B                 | 300,000,000 | 2010/08/13 | 2011/01/28 | 75.00          |
| 63536  | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 D                 | 200,000,000 | 2010/08/13 | 2010/11/29 | 50.00          |
| 63535  | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 P                 | 200,000,000 | 2010/08/13 | 2010/11/29 | 53.00          |
| 63538  | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 T                 | 200,000,000 | 2010/08/13 | 2010/11/29 | 50.00          |
| 63537  | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 U                 | 200,000,000 | 2010/08/13 | 2010/11/29 | 50.00          |
| 63539  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 A                 | 200,000,000 | 2010/08/13 | 2010/12/30 | 59.00          |
| 63540  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 B                 | 200,000,000 | 2010/08/13 | 2010/12/30 | 50.00          |
| 63541  | J P Morgan SP BV – HSI R Bear CBBC Jan 2011 A                 | 200,000,000 | 2010/08/13 | 2011/01/28 | 50.00          |
| 63554  | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 H      | 128,000,000 | 2010/08/13 | 2010/11/29 | 32.00          |
| 63521  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10O | 300,000,000 | 2010/08/13 | 2010/11/29 | 75.00          |
| 63534  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10R | 300,000,000 | 2010/08/13 | 2010/11/29 | 75.00          |
| 63527  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11A | 300,000,000 | 2010/08/13 | 2011/01/28 | 75.00          |
| 63528  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11B | 300,000,000 | 2010/08/13 | 2011/01/28 | 84.00          |
| 63529  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11C | 300,000,000 | 2010/08/13 | 2011/01/28 | 105.00         |
| 63543  | UBS AG – China Mobile R Bull CBBC Dec 2010 E                  | 100,000,000 | 2010/08/13 | 2010/12/20 | 25.00          |
| 63552  | UBS AG – HSCEI R Bull CBBC Jan 2011 B                         | 100,000,000 | 2010/08/13 | 2011/01/28 | 25.00          |
| 63555  | UBS AG – HSI R Bull CBBC Nov 2010 Z                           | 200,000,000 | 2010/08/13 | 2010/11/29 | 50.00          |
| 63551  | UBS AG – HSI R Bear CBBC Nov 2010 N                           | 200,000,000 | 2010/08/13 | 2010/11/29 | 50.00          |
| 63544  | UBS AG – Hutchison R Bull CBBC Dec 2010 B                     | 100,000,000 | 2010/08/13 | 2010/12/20 | 25.00          |
| 63547  | UBS AG – Hutchison R Bear CBBC Dec 2010 C                     | 100,000,000 | 2010/08/13 | 2010/12/20 | 25.00          |
| 63542  | UBS AG – Jiangxi Copper R Bull CBBC Dec 2010 D                | 50,000,000  | 2010/08/13 | 2010/12/20 | 12.50          |
| 63546  | UBS AG – Jiangxi Copper R Bear CBBC Dec 2010 E                | 50,000,000  | 2010/08/13 | 2010/12/20 | 12.50          |
| 63545  | UBS AG – Jiangxi Copper R Bear CBBC Jan 2011 A                | 50,000,000  | 2010/08/13 | 2011/01/10 | 16.25          |
| 61642# | HK Bank – HSI R Bear CBBC Sep 2010 G                          | 150,000,000 | 2010/08/13 | 2010/09/29 | 10.20          |
| 63569  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 I                 | 440,000,000 | 2010/08/16 | 2011/01/28 | 110.00         |
| 63570  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 J                 | 390,000,000 | 2010/08/16 | 2011/01/28 | 97.50          |

# 主板 可收回牛熊證

| 股份代號   | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|--------|---|-------------|------------|------------|----------------|
| 63571  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 Z                 | 390,000,000 | 2010/08/16 | 2010/11/29 | 97.50          |
| 63574  | Credit Suisse AG – HSI R Bear CBBC Jan 2011 K                 | 390,000,000 | 2010/08/16 | 2011/01/28 | 97.50          |
| 63565  | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 L                 | 300,000,000 | 2010/08/16 | 2011/02/25 | 81.00          |
| 63567  | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 U                 | 300,000,000 | 2010/08/16 | 2010/11/29 | 75.00          |
| 63557  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 U                 | 300,000,000 | 2010/08/16 | 2010/12/30 | 75.00          |
| 63572  | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 B                 | 200,000,000 | 2010/08/16 | 2010/11/29 | 50.00          |
| 63575  | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 L      | 128,000,000 | 2010/08/16 | 2010/11/29 | 32.00          |
| 63558  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 F      | 128,000,000 | 2010/08/16 | 2010/11/29 | 32.00          |
| 63562  | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 F                 | 200,000,000 | 2010/08/16 | 2010/12/30 | 50.00          |
| 63564  | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 Q                 | 200,000,000 | 2010/08/16 | 2010/12/30 | 50.00          |
| 63568  | RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 N              | 250,000,000 | 2010/08/16 | 2010/11/29 | 62.50          |
| 63556  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10N | 300,000,000 | 2010/08/16 | 2010/11/29 | 75.00          |
| 63560  | UBS AG – Sinopec Corp R Bull CBBC Dec 2010 C                  | 100,000,000 | 2010/08/16 | 2010/12/06 | 25.00          |
| 63559  | UBS AG – HSI R Bull CBBC Jan 2011 B                           | 200,000,000 | 2010/08/16 | 2011/01/28 | 50.00          |
| 63563  | UBS AG – HSI R Bear CBBC Jan 2011 C                           | 200,000,000 | 2010/08/16 | 2011/01/28 | 65.00          |
| 63573  | UBS AG – HSI R Bear CBBC Jan 2011 D                           | 200,000,000 | 2010/08/16 | 2011/01/28 | 50.00          |
| 63561  | UBS AG – HSI R Bear CBBC Apr 2011 B                           | 200,000,000 | 2010/08/16 | 2011/04/28 | 50.00          |
| 62064# | UBS AG – Hutchison R Bull CBBC Dec 2010 A                     | 100,000,000 | 2010/08/16 | 2010/12/06 | 23.90          |
| 63588  | Credit Suisse AG – HSI R Bull CBBC Dec 2010 F                 | 400,000,000 | 2010/08/17 | 2010/12/30 | 100.00         |
| 63590  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 S                 | 400,000,000 | 2010/08/17 | 2010/11/29 | 100.00         |
| 63589  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 U                 | 390,000,000 | 2010/08/17 | 2010/11/29 | 97.50          |
| 63591  | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 J                 | 300,000,000 | 2010/08/17 | 2010/11/29 | 75.00          |
| 63592  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 D                 | 300,000,000 | 2010/08/17 | 2010/12/30 | 75.00          |
| 63593  | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 Q                 | 200,000,000 | 2010/08/17 | 2010/11/29 | 50.00          |
| 63594  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 C                 | 200,000,000 | 2010/08/17 | 2010/12/30 | 50.00          |
| 63595  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 D                 | 200,000,000 | 2010/08/17 | 2010/12/30 | 50.00          |
| 63596  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 N      | 128,000,000 | 2010/08/17 | 2010/11/29 | 32.00          |
| 63587  | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 D                 | 200,000,000 | 2010/08/17 | 2010/12/30 | 50.00          |
| 63586  | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 G                 | 200,000,000 | 2010/08/17 | 2010/12/30 | 50.00          |
| 63579  | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 G             | 40,000,000  | 2010/08/17 | 2010/12/17 | 10.04          |
| 63580  | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 H             | 40,000,000  | 2010/08/17 | 2010/12/17 | 10.04          |
| 63581  | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 I             | 80,000,000  | 2010/08/17 | 2010/12/17 | 22.88          |
| 63582  | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 J             | 80,000,000  | 2010/08/17 | 2010/12/17 | 20.00          |
| 63583  | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 K             | 80,000,000  | 2010/08/17 | 2010/12/17 | 20.00          |
| 63584  | UBS AG – HSI R Bull CBBC Nov 2010 S                           | 200,000,000 | 2010/08/17 | 2010/11/29 | 50.00          |
| 63578  | UBS AG – HSI R Bull CBBC Dec 2010 L                           | 200,000,000 | 2010/08/17 | 2010/12/30 | 50.00          |
| 63585  | UBS AG – HSI R Bear CBBC Jan 2011 H                           | 200,000,000 | 2010/08/17 | 2011/01/28 | 50.00          |
| 63576  | UBS AG – TCH R Bull CBBC Dec 2010 H                           | 100,000,000 | 2010/08/17 | 2010/12/20 | 25.00          |
| 63599  | Credit Suisse AG – HSI R Bull CBBC Nov 2010 T                 | 400,000,000 | 2010/08/18 | 2010/11/29 | 100.00         |
| 63600  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 L                 | 390,000,000 | 2010/08/18 | 2011/01/28 | 97.50          |
| 63609  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 M                 | 390,000,000 | 2010/08/18 | 2010/11/29 | 97.50          |
| 63603  | Credit Suisse AG – HSI R Bear CBBC Nov 2010 O                 | 400,000,000 | 2010/08/18 | 2010/11/29 | 100.00         |
| 63608  | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 S                 | 300,000,000 | 2010/08/18 | 2010/12/30 | 75.00          |
| 63615  | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 D                 | 300,000,000 | 2010/08/18 | 2011/01/28 | 75.00          |
| 63616  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 E                 | 200,000,000 | 2010/08/18 | 2010/12/30 | 50.00          |

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|-------|---|-------------|------------|------------|----------------|
| 63617 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 F                 | 200,000,000 | 2010/08/18 | 2010/12/30 | 50.00          |
| 63607 | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Dec 2010 R     | 128,000,000 | 2010/08/18 | 2010/12/30 | 32.00          |
| 63606 | RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 L             | 80,000,000  | 2010/08/18 | 2010/12/17 | 20.00          |
| 63605 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P | 300,000,000 | 2010/08/18 | 2010/11/29 | 75.00          |
| 63604 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q | 300,000,000 | 2010/08/18 | 2010/11/29 | 75.00          |
| 63602 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10U | 300,000,000 | 2010/08/18 | 2010/11/29 | 75.00          |
| 63598 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10X | 300,000,000 | 2010/08/18 | 2010/11/29 | 75.00          |
| 63611 | UBS AG – HKEx R Bear CBBC Dec 2010 E                          | 100,000,000 | 2010/08/18 | 2010/12/20 | 25.00          |
| 63601 | UBS AG – HSI R Bull CBBC Dec 2010 S                           | 200,000,000 | 2010/08/18 | 2010/12/30 | 50.00          |
| 63612 | UBS AG – HSI R Bear CBBC Dec 2010 K                           | 200,000,000 | 2010/08/18 | 2010/12/30 | 50.00          |
| 63613 | UBS AG – HSI R Bear CBBC Jan 2011 J                           | 200,000,000 | 2010/08/18 | 2011/01/28 | 50.00          |
| 63610 | UBS AG – Hutchison R Bull CBBC Jan 2011 A                     | 100,000,000 | 2010/08/18 | 2011/01/03 | 25.00          |
| 63614 | UBS AG – Hutchison R Bear CBBC Jan 2011 B                     | 100,000,000 | 2010/08/18 | 2011/01/03 | 25.00          |
| 63654 | Credit Suisse AG – China Mobile R Bear CBBC Jan 2011 A        | 100,000,000 | 2010/08/19 | 2011/01/17 | 25.00          |
| 63651 | Credit Suisse AG – A50 China R Bear CBBC Dec 2010 C           | 100,000,000 | 2010/08/19 | 2010/12/20 | 25.00          |
| 63622 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 M                 | 390,000,000 | 2010/08/19 | 2011/01/28 | 97.50          |
| 63649 | Credit Suisse AG – HSI R Bear CBBC Nov 2010 I                 | 390,000,000 | 2010/08/19 | 2010/11/29 | 97.50          |
| 63635 | Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y                 | 390,000,000 | 2010/08/19 | 2010/11/29 | 97.50          |
| 63657 | Deutsche Bank AG – HSCEI R Bull CBBC Dec 2010 E               | 150,000,000 | 2010/08/19 | 2010/12/30 | 40.50          |
| 63658 | Deutsche Bank AG – HSCEI R Bear CBBC Dec 2010 F               | 150,000,000 | 2010/08/19 | 2010/12/30 | 37.50          |
| 63627 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 G                 | 300,000,000 | 2010/08/19 | 2011/01/28 | 75.00          |
| 63628 | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 M                 | 300,000,000 | 2010/08/19 | 2011/02/25 | 78.00          |
| 63629 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Z                 | 300,000,000 | 2010/08/19 | 2010/12/30 | 75.00          |
| 63630 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H                 | 300,000,000 | 2010/08/19 | 2011/01/28 | 78.00          |
| 63631 | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 N                 | 300,000,000 | 2010/08/19 | 2011/02/25 | 78.00          |
| 63620 | HK Bank – HSI R Bear CBBC Jan 2011 C                          | 150,000,000 | 2010/08/19 | 2011/01/28 | 37.50          |
| 63621 | HK Bank – HSI R Bear CBBC Jan 2011 D                          | 150,000,000 | 2010/08/19 | 2011/01/28 | 37.50          |
| 63632 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 G                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63633 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 H                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63634 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 I                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63647 | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Dec 2010 U     | 128,000,000 | 2010/08/19 | 2010/12/30 | 32.00          |
| 63648 | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Dec 2010 V     | 128,000,000 | 2010/08/19 | 2010/12/30 | 32.00          |
| 63625 | KBC Fin Products Int' l Ltd. – HSI R Bear CBBC Dec 2010 S     | 128,000,000 | 2010/08/19 | 2010/12/30 | 32.00          |
| 63626 | KBC Fin Products Int' l Ltd. – HSI R Bear CBBC Dec 2010 T     | 128,000,000 | 2010/08/19 | 2010/12/30 | 32.00          |
| 63618 | Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2010 A              | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.20          |
| 63619 | Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2010 C              | 200,000,000 | 2010/08/19 | 2010/11/29 | 50.00          |
| 63656 | CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 T                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63660 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 I                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63661 | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 N                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63655 | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 O              | 250,000,000 | 2010/08/19 | 2010/11/29 | 62.50          |
| 63636 | UBS AG – China Life R Bear CBBC Dec 2010 G                    | 100,000,000 | 2010/08/19 | 2010/12/13 | 25.00          |
| 63638 | UBS AG – A50 China R Bear CBBC Jan 2011 J                     | 100,000,000 | 2010/08/19 | 2011/01/10 | 25.00          |
| 63639 | UBS AG – HSCEI R Bear CBBC Dec 2010 G                         | 100,000,000 | 2010/08/19 | 2010/12/30 | 25.00          |
| 63640 | UBS AG – HSCEI R Bear CBBC Jan 2011 C                         | 100,000,000 | 2010/08/19 | 2011/01/28 | 25.00          |
| 63624 | UBS AG – HSI R Bull CBBC Jan 2011 I                           | 200,000,000 | 2010/08/19 | 2011/01/28 | 50.00          |

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|--------------------|---|-------------|------------|------------|----------------|
| 63623              | UBS AG – HSI R Bull CBBC Jan 2011 L                                 | 200,000,000 | 2010/08/19 | 2011/01/28 | 50.00          |
| 63641              | UBS AG – HSI R Bear CBBC Nov 2010 Y                                 | 200,000,000 | 2010/08/19 | 2010/11/29 | 50.00          |
| 63642              | UBS AG – HSI R Bear CBBC Dec 2010 P                                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63645              | UBS AG – HSI R Bear CBBC Dec 2010 Q                                 | 200,000,000 | 2010/08/19 | 2010/12/30 | 50.00          |
| 63646              | UBS AG – HSI R Bear CBBC Jan 2011 O                                 | 200,000,000 | 2010/08/19 | 2011/01/28 | 50.00          |
| 63637              | UBS AG – TCH R Bear CBBC Dec 2010 I                                 | 100,000,000 | 2010/08/19 | 2010/12/20 | 25.00          |
| 62445 <sup>#</sup> | HK Bank – A50 R Bull CBBC Dec 2010 A                                | 120,000,000 | 2010/08/19 | 2010/12/20 | 15.36          |
| 63666              | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 C            | 100,000,000 | 2010/08/20 | 2011/04/28 | 25.00          |
| 63667              | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 B            | 100,000,000 | 2010/08/20 | 2011/05/30 | 25.00          |
| 63668              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 N                       | 390,000,000 | 2010/08/20 | 2011/01/28 | 97.50          |
| 63669              | Credit Suisse AG – HSI R Bear CBBC Nov 2010 V                       | 390,000,000 | 2010/08/20 | 2010/11/29 | 97.50          |
| 63672              | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 P                       | 300,000,000 | 2010/08/20 | 2010/11/29 | 75.00          |
| 63676              | RB of Scotland N.V. – BYD Company R Bear CBBC Dec 2010 E            | 80,000,000  | 2010/08/20 | 2010/12/13 | 20.00          |
| 63663              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10I       | 300,000,000 | 2010/08/20 | 2010/11/29 | 75.00          |
| 63659              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10Y       | 300,000,000 | 2010/08/20 | 2010/11/29 | 75.00          |
| 63665              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10W       | 300,000,000 | 2010/08/20 | 2010/11/29 | 75.00          |
| 63664              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Z       | 300,000,000 | 2010/08/20 | 2010/11/29 | 75.00          |
| 63673              | UBS AG – China Mobile R Bull CBBC Dec 2010 F                        | 100,000,000 | 2010/08/20 | 2010/12/28 | 25.00          |
| 63674              | UBS AG – China Mobile R Bear CBBC Jan 2011 A                        | 100,000,000 | 2010/08/20 | 2011/01/10 | 25.00          |
| 63670              | UBS AG – HSI R Bull CBBC Nov 2010 R                                 | 200,000,000 | 2010/08/20 | 2010/11/29 | 50.00          |
| 63671              | UBS AG – HSI R Bull CBBC Dec 2010 H                                 | 200,000,000 | 2010/08/20 | 2010/12/30 | 50.00          |
| 63675              | UBS AG – HSI R Bear CBBC Dec 2010 Z                                 | 200,000,000 | 2010/08/20 | 2010/12/30 | 50.00          |
| 63223 <sup>#</sup> | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Q                       | 300,000,000 | 2010/08/20 | 2010/12/30 | 18.30          |
| 63681              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 O                       | 390,000,000 | 2010/08/23 | 2011/01/28 | 97.50          |
| 63677              | HK Bank – A50 R Bear CBBC Feb 2011 C                                | 120,000,000 | 2010/08/23 | 2011/02/22 | 30.00          |
| 63680              | HK Bank – HSI R Bear CBBC Feb 2011 A                                | 120,000,000 | 2010/08/23 | 2011/02/25 | 30.00          |
| 63684              | J P Morgan SP BV – HSI R Bull CBBC Nov 2010 M                       | 200,000,000 | 2010/08/23 | 2010/11/29 | 50.00          |
| 63685              | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 J                       | 200,000,000 | 2010/08/23 | 2010/12/30 | 50.00          |
| 63682              | KBC Fin Products Int <sup>l</sup> Ltd. – HSI R Bull CBBC Nov 2010 O | 128,000,000 | 2010/08/23 | 2010/11/29 | 32.00          |
| 63688              | RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 P                    | 250,000,000 | 2010/08/23 | 2010/11/29 | 62.50          |
| 63679              | SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Dec10A      | 300,000,000 | 2010/08/23 | 2010/12/29 | 75.00          |
| 63683              | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10R       | 300,000,000 | 2010/08/23 | 2010/11/29 | 75.00          |
| 63678              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10T       | 300,000,000 | 2010/08/23 | 2010/11/29 | 75.00          |
| 63686              | UBS AG – China Mobile R Bear CBBC Jan 2011 B                        | 100,000,000 | 2010/08/23 | 2011/01/24 | 25.00          |
| 63687              | UBS AG – HSI R Bull CBBC Jan 2011 R                                 | 200,000,000 | 2010/08/23 | 2011/01/28 | 50.00          |
| 63690              | Credit Suisse AG – HSI R Bear CBBC Dec 2010 I                       | 390,000,000 | 2010/08/24 | 2010/12/30 | 97.50          |
| 63696              | Deutsche Bank AG – HSCEI R Bull CBBC Jan 2011 A                     | 150,000,000 | 2010/08/24 | 2011/01/28 | 37.50          |
| 63695              | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 E                       | 300,000,000 | 2010/08/24 | 2010/11/29 | 75.00          |
| 63693              | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 Q                       | 300,000,000 | 2010/08/24 | 2010/11/29 | 75.00          |
| 63694              | Deutsche Bank AG – HSI R Bull CBBC Nov 2010 S                       | 300,000,000 | 2010/08/24 | 2010/11/29 | 90.00          |
| 63699              | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 C                       | 300,000,000 | 2010/08/24 | 2010/11/29 | 90.00          |
| 63697              | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 G                       | 300,000,000 | 2010/08/24 | 2010/11/29 | 75.00          |
| 63698              | Deutsche Bank AG – HSI R Bear CBBC Nov 2010 L                       | 300,000,000 | 2010/08/24 | 2010/11/29 | 75.00          |
| 63689              | UBS AG – China Shenhua R Bear CBBC Dec 2010 D                       | 100,000,000 | 2010/08/24 | 2010/12/28 | 25.00          |
| 63707              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 D            | 100,000,000 | 2010/08/25 | 2011/04/28 | 25.00          |

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| 股份代號               | 牛熊證  | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|--------------------|--|-------------|------------|------------|----------------|
| 63708              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 C       | 100,000,000 | 2010/08/25 | 2011/05/30 | 25.00          |
| 63711              | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 E       | 100,000,000 | 2010/08/25 | 2011/04/28 | 25.00          |
| 63702              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 P                  | 390,000,000 | 2010/08/25 | 2011/01/28 | 97.50          |
| 63700              | SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Dec10C | 300,000,000 | 2010/08/25 | 2010/12/29 | 75.00          |
| 63701              | SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Dec10A  | 100,000,000 | 2010/08/25 | 2010/12/29 | 26.00          |
| 63705              | UBS AG – HKEx R Bear CBBC Feb 2011 A                           | 100,000,000 | 2010/08/25 | 2011/02/21 | 25.00          |
| 63704              | UBS AG – HSBC R Bear CBBC Feb 2011 A                           | 100,000,000 | 2010/08/25 | 2011/02/07 | 25.00          |
| 63706              | UBS AG – HSI R Bear CBBC Mar 2011 D                            | 300,000,000 | 2010/08/25 | 2011/03/30 | 75.00          |
| 63188 <sup>#</sup> | UBS AG – HSI R Bull CBBC Nov 2010 D                            | 200,000,000 | 2010/08/25 | 2010/11/29 | 18.60          |
| 63714              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 Q                  | 390,000,000 | 2010/08/26 | 2011/01/28 | 97.50          |
| 63712              | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 C                  | 300,000,000 | 2010/08/26 | 2010/12/30 | 75.00          |
| 63725              | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 G                  | 200,000,000 | 2010/08/26 | 2010/11/29 | 50.00          |
| 63726              | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 K                  | 200,000,000 | 2010/08/26 | 2010/12/30 | 50.00          |
| 63715              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 W       | 128,000,000 | 2010/08/26 | 2010/12/30 | 32.00          |
| 63716              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 X       | 128,000,000 | 2010/08/26 | 2010/12/30 | 32.00          |
| 63721              | UBS AG – HSI R Bull CBBC Nov 2010 T                            | 200,000,000 | 2010/08/26 | 2010/11/29 | 50.00          |
| 63709              | UBS AG – HSI R Bear CBBC Nov 2010 Z                            | 200,000,000 | 2010/08/26 | 2010/11/29 | 50.00          |
| 63722              | UBS AG – HSI R Bear CBBC Dec 2010 N                            | 200,000,000 | 2010/08/26 | 2010/12/30 | 50.00          |
| 63724              | UBS AG – HSI R Bear CBBC Feb 2011 M                            | 200,000,000 | 2010/08/26 | 2011/02/25 | 50.00          |
| 63736              | Credit Suisse AG – HSBC R Bear CBBC Dec 2010 D                 | 100,000,000 | 2010/08/27 | 2010/12/13 | 25.00          |
| 63727              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 R                  | 390,000,000 | 2010/08/27 | 2011/01/28 | 97.50          |
| 63737              | Credit Suisse AG – HSI R Bear CBBC Nov 2010 T                  | 390,000,000 | 2010/08/27 | 2010/11/29 | 97.50          |
| 63738              | Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y                  | 330,000,000 | 2010/08/27 | 2010/11/29 | 82.50          |
| 63739              | Credit Suisse AG – HSI R Bear CBBC Dec 2010 D                  | 330,000,000 | 2010/08/27 | 2010/12/30 | 82.50          |
| 63732              | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O                  | 300,000,000 | 2010/08/27 | 2010/12/30 | 75.00          |
| 63740              | J P Morgan SP BV – HSI R Bear CBBC Nov 2010 E                  | 200,000,000 | 2010/08/27 | 2010/11/29 | 50.00          |
| 63748              | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 Y       | 128,000,000 | 2010/08/27 | 2010/12/30 | 32.00          |
| 63749              | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 Z       | 128,000,000 | 2010/08/27 | 2010/12/30 | 32.00          |
| 63751              | CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 F                  | 200,000,000 | 2010/08/27 | 2010/11/29 | 50.00          |
| 63750              | CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 G                  | 200,000,000 | 2010/08/27 | 2010/11/29 | 50.00          |
| 63756              | CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 J                  | 200,000,000 | 2010/08/27 | 2010/11/29 | 50.00          |
| 63755              | CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 K                  | 200,000,000 | 2010/08/27 | 2010/11/29 | 50.00          |
| 63733              | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 M              | 40,000,000  | 2010/08/27 | 2010/12/17 | 10.08          |
| 63734              | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 N              | 40,000,000  | 2010/08/27 | 2010/12/17 | 10.04          |
| 63735              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10O  | 300,000,000 | 2010/08/27 | 2010/11/29 | 75.00          |
| 63746              | UBS AG – HSBC R Bear CBBC Dec 2010 J                           | 100,000,000 | 2010/08/27 | 2010/12/28 | 25.00          |
| 63728              | UBS AG – HSI R Bull CBBC Nov 2010 S                            | 200,000,000 | 2010/08/27 | 2010/11/29 | 50.00          |
| 63747              | UBS AG – HSI R Bear CBBC Nov 2010 Y                            | 200,000,000 | 2010/08/27 | 2010/11/29 | 50.00          |
| 62896 <sup>#</sup> | HK Bank – China Life R Bull CBBC Jan 2011 A                    | 320,000,000 | 2010/08/27 | 2011/01/18 | 10.24          |
| 63762              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 D       | 100,000,000 | 2010/08/30 | 2011/05/30 | 25.00          |
| 63763              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 A       | 100,000,000 | 2010/08/30 | 2011/06/29 | 25.00          |
| 63766              | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 E       | 100,000,000 | 2010/08/30 | 2011/05/30 | 25.00          |
| 63767              | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 B       | 100,000,000 | 2010/08/30 | 2011/06/29 | 25.00          |
| 63761              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 S                  | 390,000,000 | 2010/08/30 | 2011/01/28 | 97.50          |
| 63776              | Credit Suisse AG – HSI R Bear CBBC Dec 2010 H                  | 390,000,000 | 2010/08/30 | 2010/12/30 | 97.50          |



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|--------|---|-------------|------------|------------|----------------|
| 63775  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Y                 | 300,000,000 | 2010/08/30 | 2010/12/30 | 75.00          |
| 63757  | HK Bank – Sinopec Corp R Bull CBBC Feb 2011 A                 | 150,000,000 | 2010/08/30 | 2011/02/18 | 37.50          |
| 63758  | HK Bank – Sinopec Corp R Bull CBBC Feb 2011 B                 | 150,000,000 | 2010/08/30 | 2011/02/18 | 37.50          |
| 63770  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 L                 | 200,000,000 | 2010/08/30 | 2010/12/30 | 50.00          |
| 63765  | Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2010 A   | 150,000,000 | 2010/08/30 | 2010/12/30 | 22.50          |
| 63768  | Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2010 B   | 150,000,000 | 2010/08/30 | 2010/12/30 | 22.50          |
| 63772  | Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2010 C   | 150,000,000 | 2010/08/30 | 2010/12/30 | 22.80          |
| 63773  | Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2010 D   | 150,000,000 | 2010/08/30 | 2010/12/30 | 22.50          |
| 63769  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10V | 300,000,000 | 2010/08/30 | 2010/11/29 | 75.00          |
| 63764  | UBS AG – HSI R Bull CBBC Jan 2011 S                           | 200,000,000 | 2010/08/30 | 2011/01/28 | 50.00          |
| 63771  | UBS AG – HSI R Bear CBBC Dec 2010 B                           | 200,000,000 | 2010/08/30 | 2010/12/30 | 50.00          |
| 63774  | UBS AG – HSI R Bear CBBC Dec 2010 J                           | 200,000,000 | 2010/08/30 | 2010/12/30 | 50.00          |
| 63778  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 T                 | 390,000,000 | 2010/08/31 | 2011/01/28 | 97.50          |
| 63802  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 S                 | 390,000,000 | 2010/08/31 | 2010/12/30 | 97.50          |
| 63779  | Deutsche Bank AG – DJIA R Bull CBBC Dec 2010 A                | 80,000,000  | 2010/08/31 | 2010/12/20 | 23.20          |
| 63782  | Deutsche Bank AG – DJIA R Bull CBBC Dec 2010 B                | 80,000,000  | 2010/08/31 | 2010/12/20 | 20.00          |
| 63783  | Deutsche Bank AG – DJIA R Bear CBBC Dec 2010 C                | 80,000,000  | 2010/08/31 | 2010/12/20 | 24.00          |
| 63786  | Deutsche Bank AG – DJIA R Bear CBBC Dec 2010 D                | 80,000,000  | 2010/08/31 | 2010/12/20 | 27.20          |
| 63784  | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 R                 | 300,000,000 | 2010/08/31 | 2010/12/30 | 75.00          |
| 63785  | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 I                 | 300,000,000 | 2010/08/31 | 2011/01/28 | 75.00          |
| 63812  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 M                 | 200,000,000 | 2010/08/31 | 2010/12/30 | 50.00          |
| 63813  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 N                 | 200,000,000 | 2010/08/31 | 2010/12/30 | 50.00          |
| 63789  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 C      | 128,000,000 | 2010/08/31 | 2010/12/30 | 32.00          |
| 63791  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 E      | 128,000,000 | 2010/08/31 | 2011/01/28 | 32.00          |
| 63793  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 F      | 128,000,000 | 2010/08/31 | 2011/01/28 | 32.00          |
| 63796  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 G      | 128,000,000 | 2010/08/31 | 2011/01/28 | 32.00          |
| 63797  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 H      | 128,000,000 | 2010/08/31 | 2011/01/28 | 32.00          |
| 63798  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 I      | 128,000,000 | 2010/08/31 | 2011/01/28 | 32.00          |
| 63799  | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 L                 | 200,000,000 | 2010/08/31 | 2010/12/30 | 50.00          |
| 63800  | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 U                 | 200,000,000 | 2010/08/31 | 2010/12/30 | 50.00          |
| 63806  | RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 A              | 250,000,000 | 2010/08/31 | 2010/12/30 | 62.50          |
| 63807  | RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 B              | 250,000,000 | 2010/08/31 | 2010/12/30 | 62.50          |
| 63803  | RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 A       | 40,000,000  | 2010/08/31 | 2011/03/11 | 10.00          |
| 63804  | RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 B       | 40,000,000  | 2010/08/31 | 2011/03/11 | 10.00          |
| 63805  | RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 C       | 40,000,000  | 2010/08/31 | 2011/03/11 | 10.00          |
| 63808  | RB of Scotland N.V. – Nikkei 225 R Bear CBBC Mar 2011 D       | 40,000,000  | 2010/08/31 | 2011/03/11 | 10.00          |
| 63810  | RB of Scotland N.V. – Nikkei 225 R Bear CBBC Mar 2011 E       | 40,000,000  | 2010/08/31 | 2011/03/11 | 10.00          |
| 63811  | RB of Scotland N.V. – Nikkei 225 R Bear CBBC Mar 2011 F       | 40,000,000  | 2010/08/31 | 2011/03/11 | 10.00          |
| 63777  | UBS AG – HSI R Bull CBBC Dec 2010 I                           | 200,000,000 | 2010/08/31 | 2010/12/30 | 50.00          |
| 63801  | UBS AG – HSI R Bear CBBC Jan 2011 N                           | 200,000,000 | 2010/08/31 | 2011/01/28 | 50.00          |
| 63016# | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 P      | 120,000,000 | 2010/08/31 | 2010/11/29 | 12.72          |
| 63819  | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 F      | 100,000,000 | 2010/09/01 | 2011/05/30 | 25.00          |
| 63820  | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 C      | 100,000,000 | 2010/09/01 | 2011/06/29 | 25.00          |
| 63818  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 U                 | 390,000,000 | 2010/09/01 | 2011/01/28 | 97.50          |
| 63838  | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 F                 | 300,000,000 | 2010/09/01 | 2011/01/28 | 75.00          |

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|-------|---|-------------|------------|------------|----------------|
| 63830 | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 O                   | 300,000,000 | 2010/09/01 | 2011/02/25 | 90.00          |
| 63836 | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 P                   | 300,000,000 | 2010/09/01 | 2011/02/25 | 75.00          |
| 63817 | Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2010 B                | 200,000,000 | 2010/09/01 | 2010/12/30 | 50.00          |
| 63814 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10A   | 300,000,000 | 2010/09/01 | 2010/12/30 | 75.00          |
| 63815 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10B   | 300,000,000 | 2010/09/01 | 2010/12/30 | 75.00          |
| 63816 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10C   | 300,000,000 | 2010/09/01 | 2010/12/30 | 75.00          |
| 63825 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10D   | 300,000,000 | 2010/09/01 | 2010/12/30 | 75.00          |
| 63821 | UBS AG – BYD Company R Bull CBBC Jan 2011 A                     | 100,000,000 | 2010/09/01 | 2011/01/17 | 25.00          |
| 63822 | UBS AG – BYD Company R Bull CBBC Jan 2011 B                     | 100,000,000 | 2010/09/01 | 2011/01/31 | 25.00          |
| 63823 | UBS AG – HSI R Bull CBBC Dec 2010 L                             | 200,000,000 | 2010/09/01 | 2010/12/30 | 50.00          |
| 63827 | UBS AG – HSI R Bull CBBC Jan 2011 U                             | 200,000,000 | 2010/09/01 | 2011/01/28 | 50.00          |
| 63829 | UBS AG – HSI R Bear CBBC Dec 2010 S                             | 200,000,000 | 2010/09/01 | 2010/12/30 | 50.00          |
| 63824 | UBS AG – HSI R Bear CBBC Feb 2011 N                             | 400,000,000 | 2010/09/01 | 2011/02/25 | 100.00         |
| 63837 | UBS AG – HSI R Bear CBBC Feb 2011 O                             | 200,000,000 | 2010/09/01 | 2011/02/25 | 50.00          |
| 63848 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 V                   | 400,000,000 | 2010/09/02 | 2011/01/28 | 100.00         |
| 63849 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 W                   | 390,000,000 | 2010/09/02 | 2011/01/28 | 97.50          |
| 63850 | Credit Suisse AG – HSI R Bull CBBC Feb 2011 A                   | 400,000,000 | 2010/09/02 | 2011/02/25 | 100.00         |
| 63845 | Credit Suisse AG – HSI R Bear CBBC Dec 2010 T                   | 400,000,000 | 2010/09/02 | 2010/12/30 | 100.00         |
| 63844 | Credit Suisse AG – TCH R Bear CBBC Dec 2010 C                   | 100,000,000 | 2010/09/02 | 2010/12/13 | 25.00          |
| 63861 | Deutsche Bank AG – China Life R Bull CBBC Mar 2011 A            | 150,000,000 | 2010/09/02 | 2011/03/28 | 52.50          |
| 63865 | Deutsche Bank AG – China Life R Bear CBBC Mar 2011 B            | 150,000,000 | 2010/09/02 | 2011/03/28 | 60.00          |
| 63857 | Deutsche Bank AG – HSI R Bear CBBC Mar 2011 K                   | 300,000,000 | 2010/09/02 | 2011/03/30 | 75.00          |
| 63859 | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 O                   | 200,000,000 | 2010/09/02 | 2010/12/30 | 50.00          |
| 63851 | UBS AG – China Life R Bull CBBC Jan 2011 B                      | 100,000,000 | 2010/09/02 | 2011/01/31 | 53.00          |
| 63852 | UBS AG – China Life R Bull CBBC Jan 2011 C                      | 100,000,000 | 2010/09/02 | 2011/01/17 | 43.00          |
| 63855 | UBS AG – China Life R Bull CBBC Feb 2011 B                      | 100,000,000 | 2010/09/02 | 2011/02/14 | 63.00          |
| 63839 | UBS AG – China Life R Bear CBBC Jan 2011 A                      | 100,000,000 | 2010/09/02 | 2011/01/17 | 25.00          |
| 63840 | UBS AG – China Life R Bear CBBC Feb 2011 A                      | 100,000,000 | 2010/09/02 | 2011/02/14 | 25.00          |
| 63856 | UBS AG – A50 China R Bull CBBC Dec 2010 C                       | 100,000,000 | 2010/09/02 | 2010/12/30 | 25.00          |
| 63841 | UBS AG – HSI R Bull CBBC Dec 2010 P                             | 200,000,000 | 2010/09/02 | 2010/12/30 | 50.00          |
| 63842 | UBS AG – HSI R Bull CBBC Jan 2011 Q                             | 200,000,000 | 2010/09/02 | 2011/01/28 | 50.00          |
| 63843 | UBS AG – HSI R Bull CBBC Feb 2011 P                             | 300,000,000 | 2010/09/02 | 2011/02/25 | 75.00          |
| 63858 | UBS AG – HSI R Bull CBBC Feb 2011 Q                             | 200,000,000 | 2010/09/02 | 2011/02/25 | 50.00          |
| 63872 | Credit Suisse AG – HSI R Bear CBBC Jan 2011 X                   | 390,000,000 | 2010/09/03 | 2011/01/28 | 97.50          |
| 63871 | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 S                   | 300,000,000 | 2010/09/03 | 2010/12/30 | 75.00          |
| 63864 | HK Bank – China Life R Bull CBBC Mar 2011 A                     | 200,000,000 | 2010/09/03 | 2011/03/18 | 50.00          |
| 63866 | HK Bank – China Life R Bull CBBC Mar 2011 B                     | 100,000,000 | 2010/09/03 | 2011/03/18 | 25.00          |
| 63870 | HK Bank – China Life R Bear CBBC Mar 2011 C                     | 200,000,000 | 2010/09/03 | 2011/03/08 | 50.00          |
| 63869 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 P                   | 200,000,000 | 2010/09/03 | 2010/12/30 | 50.00          |
| 63867 | SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Dec10A | 100,000,000 | 2010/09/03 | 2010/12/29 | 30.00          |
| 63868 | SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Dec10B | 100,000,000 | 2010/09/03 | 2010/12/29 | 25.00          |
| 63862 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10E   | 300,000,000 | 2010/09/03 | 2010/12/30 | 75.00          |
| 63873 | UBS AG – China Life R Bear CBBC Jan 2011 D                      | 100,000,000 | 2010/09/03 | 2011/01/31 | 28.00          |
| 63874 | UBS AG – A50 China R Bull CBBC Jan 2011 K                       | 100,000,000 | 2010/09/03 | 2011/01/03 | 25.00          |
| 63880 | UBS AG – A50 China R Bear CBBC Jan 2011 L                       | 100,000,000 | 2010/09/03 | 2011/01/03 | 25.00          |



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|--------------------|--|-------------|------------|------------|----------------|
| 63877              | UBS AG – HSI R Bull CBBC Jan 2011 V                      | 200,000,000 | 2010/09/03 | 2011/01/28 | 57.00          |
| 63878              | UBS AG – HSI R Bull CBBC Mar 2011 E                      | 200,000,000 | 2010/09/03 | 2011/03/30 | 50.00          |
| 63879              | UBS AG – HSI R Bull CBBC Apr 2011 C                      | 400,000,000 | 2010/09/03 | 2011/04/28 | 100.00         |
| 63897              | Deutsche Bank AG – China Life R Bull CBBC Mar 2011 C     | 150,000,000 | 2010/09/06 | 2011/03/07 | 63.00          |
| 63889              | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 Q            | 300,000,000 | 2010/09/06 | 2011/02/25 | 75.00          |
| 63892              | Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 A     | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63893              | Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 B     | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63894              | Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 C     | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63898              | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 D     | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63899              | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 E     | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63900              | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 F     | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63901              | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 G     | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63890              | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 Q            | 200,000,000 | 2010/09/06 | 2010/12/30 | 50.00          |
| 63881              | UBS AG – China Life R Bull CBBC Feb 2011 C               | 100,000,000 | 2010/09/06 | 2011/02/21 | 63.00          |
| 63903              | UBS AG – China Life R Bull CBBC Feb 2011 D               | 100,000,000 | 2010/09/06 | 2011/02/28 | 69.00          |
| 63886              | UBS AG – China Life R Bear CBBC Jan 2011 E               | 100,000,000 | 2010/09/06 | 2011/01/24 | 25.00          |
| 63904              | UBS AG – CPIC R Bull CBBC Feb 2011 A                     | 40,000,000  | 2010/09/06 | 2011/02/21 | 15.40          |
| 63882              | UBS AG – A50 China R Bull CBBC Jan 2011 M                | 100,000,000 | 2010/09/06 | 2011/01/31 | 25.00          |
| 63891              | UBS AG – HSI R Bull CBBC Dec 2010 H                      | 200,000,000 | 2010/09/06 | 2010/12/30 | 50.00          |
| 63883              | UBS AG – HSI R Bull CBBC Feb 2011 R                      | 200,000,000 | 2010/09/06 | 2011/02/25 | 50.00          |
| 63884              | UBS AG – HSI R Bull CBBC Mar 2011 F                      | 200,000,000 | 2010/09/06 | 2011/03/30 | 50.00          |
| 63885              | UBS AG – HSI R Bull CBBC Mar 2011 G                      | 200,000,000 | 2010/09/06 | 2011/03/30 | 61.00          |
| 63888              | UBS AG – HSI R Bear CBBC Feb 2011 S                      | 400,000,000 | 2010/09/06 | 2011/02/25 | 100.00         |
| 63887              | UBS AG – TCH R Bear CBBC Dec 2010 J                      | 100,000,000 | 2010/09/06 | 2010/12/06 | 25.00          |
| 62308 <sup>#</sup> | HK Bank – China Life R Bull CBBC Dec 2010 A              | 380,000,000 | 2010/09/06 | 2010/12/15 | 10.26          |
| 63913              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 Y            | 400,000,000 | 2010/09/07 | 2011/01/28 | 100.00         |
| 63921              | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Z            | 300,000,000 | 2010/09/07 | 2010/12/30 | 75.00          |
| 63916              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 E | 128,000,000 | 2010/09/07 | 2010/12/30 | 32.00          |
| 63907              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 J | 128,000,000 | 2010/09/07 | 2011/01/28 | 40.96          |
| 63908              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 K | 128,000,000 | 2010/09/07 | 2011/01/28 | 32.00          |
| 63911              | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 L | 128,000,000 | 2010/09/07 | 2011/01/28 | 32.00          |
| 63920              | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 A            | 200,000,000 | 2010/09/07 | 2010/12/30 | 50.00          |
| 63917              | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 I            | 200,000,000 | 2010/09/07 | 2010/12/30 | 50.00          |
| 63919              | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 R            | 200,000,000 | 2010/09/07 | 2010/12/30 | 50.00          |
| 63918              | CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 T            | 200,000,000 | 2010/09/07 | 2010/12/30 | 50.00          |
| 63914              | UBS AG – CC Bank R Bull CBBC Jan 2011 A                  | 100,000,000 | 2010/09/07 | 2011/01/10 | 25.00          |
| 63915              | UBS AG – HSCEI R Bear CBBC Feb 2011 A                    | 100,000,000 | 2010/09/07 | 2011/02/25 | 25.00          |
| 63912              | UBS AG – HSI R Bull CBBC Jan 2011 X                      | 200,000,000 | 2010/09/07 | 2011/01/28 | 50.00          |
| 63615 <sup>#</sup> | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 D            | 300,000,000 | 2010/09/07 | 2011/01/28 | 27.30          |
| 63943              | Credit Suisse AG – China Mobile R Bull CBBC Jan 2011 B   | 100,000,000 | 2010/09/08 | 2011/01/17 | 25.00          |
| 63944              | Credit Suisse AG – China Mobile R Bull CBBC Feb 2011 A   | 100,000,000 | 2010/09/08 | 2011/02/14 | 25.00          |
| 63942              | Credit Suisse AG – HSBC R Bull CBBC Jan 2011 A           | 100,000,000 | 2010/09/08 | 2011/01/17 | 25.00          |
| 63941              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 Z            | 390,000,000 | 2010/09/08 | 2011/01/28 | 97.50          |
| 63935              | Credit Suisse AG – HSI R Bear CBBC Dec 2010 F            | 390,000,000 | 2010/09/08 | 2010/12/30 | 97.50          |
| 63936              | Credit Suisse AG – HSI R Bear CBBC Jan 2011 H            | 390,000,000 | 2010/09/08 | 2011/01/28 | 97.50          |

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|--------|---|-------------|------------|------------|----------------|
| 63937  | Credit Suisse AG – HSI R Bear CBBC Feb 2011 B                 | 390,000,000 | 2010/09/08 | 2011/02/25 | 97.50          |
| 63940  | Credit Suisse AG – HSI R Bear CBBC Feb 2011 C                 | 400,000,000 | 2010/09/08 | 2011/02/25 | 100.00         |
| 63947  | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 L                 | 300,000,000 | 2010/09/08 | 2011/01/28 | 75.00          |
| 63922  | SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jan11A | 150,000,000 | 2010/09/08 | 2011/01/26 | 37.50          |
| 63923  | SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jan11B | 150,000,000 | 2010/09/08 | 2011/01/26 | 37.50          |
| 63930  | SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Jan11C | 150,000,000 | 2010/09/08 | 2011/01/26 | 37.50          |
| 63931  | SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Jan11D | 150,000,000 | 2010/09/08 | 2011/01/26 | 37.50          |
| 63932  | SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jan11A | 200,000,000 | 2010/09/08 | 2011/01/28 | 50.00          |
| 63933  | SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jan11B | 200,000,000 | 2010/09/08 | 2011/01/28 | 50.00          |
| 63925  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10F | 300,000,000 | 2010/09/08 | 2010/12/30 | 75.00          |
| 63926  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10G | 300,000,000 | 2010/09/08 | 2010/12/30 | 75.00          |
| 63927  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11A | 300,000,000 | 2010/09/08 | 2011/02/25 | 114.00         |
| 63928  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11B | 300,000,000 | 2010/09/08 | 2011/02/25 | 75.00          |
| 63929  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11C | 300,000,000 | 2010/09/08 | 2011/02/25 | 88.50          |
| 63945  | UBS AG – HSI R Bull CBBC Dec 2010 R                           | 200,000,000 | 2010/09/08 | 2010/12/30 | 50.00          |
| 63946  | UBS AG – HSI R Bull CBBC Feb 2011 T                           | 200,000,000 | 2010/09/08 | 2011/02/25 | 50.00          |
| 63934  | UBS AG – HSI R Bear CBBC Dec 2010 U                           | 200,000,000 | 2010/09/08 | 2010/12/30 | 50.00          |
| 63757# | HK Bank – Sinopec Corp R Bull CBBC Feb 2011 A                 | 300,000,000 | 2010/09/08 | 2011/02/18 | 29.70          |
| 62112# | UBS AG – Ping An R Bear CBBC Nov 2010 B                       | 100,000,000 | 2010/09/08 | 2010/11/22 | 10.70          |
| 63955  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 G                 | 400,000,000 | 2010/09/09 | 2011/01/28 | 100.00         |
| 63948  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 O                 | 400,000,000 | 2010/09/09 | 2010/12/30 | 100.00         |
| 63954  | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 O                 | 300,000,000 | 2010/09/09 | 2011/01/28 | 93.00          |
| 63966  | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Q                 | 300,000,000 | 2010/09/09 | 2011/01/28 | 75.00          |
| 63949  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Q                 | 300,000,000 | 2010/09/09 | 2010/12/30 | 75.00          |
| 63950  | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 M                 | 300,000,000 | 2010/09/09 | 2011/01/28 | 81.00          |
| 63953  | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 R                 | 200,000,000 | 2010/09/09 | 2010/12/30 | 50.00          |
| 63958  | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Dec 2010 M     | 128,000,000 | 2010/09/09 | 2010/12/30 | 32.00          |
| 63957  | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Dec 2010 N     | 128,000,000 | 2010/09/09 | 2010/12/30 | 32.00          |
| 63956  | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Dec 2010 Q     | 128,000,000 | 2010/09/09 | 2010/12/30 | 32.00          |
| 63952  | KBC Fin Products Int' l Ltd. – HSI R Bear CBBC Dec 2010 F     | 128,000,000 | 2010/09/09 | 2010/12/30 | 32.00          |
| 63962  | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 A                 | 200,000,000 | 2010/09/09 | 2011/01/28 | 50.00          |
| 63965  | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 B                 | 200,000,000 | 2010/09/09 | 2011/01/28 | 50.00          |
| 63960  | UBS AG – HSI R Bull CBBC Jan 2011 Y                           | 200,000,000 | 2010/09/09 | 2011/01/28 | 50.00          |
| 63961  | UBS AG – HSI R Bull CBBC Feb 2011 U                           | 200,000,000 | 2010/09/09 | 2011/02/25 | 50.00          |
| 63951  | UBS AG – HSI R Bear CBBC Dec 2010 T                           | 200,000,000 | 2010/09/09 | 2010/12/30 | 50.00          |
| 63964  | UBS AG – HSI R Bear CBBC Jan 2011 B                           | 200,000,000 | 2010/09/09 | 2011/01/28 | 50.00          |
| 63959  | UBS AG – Ping An R Bull CBBC Jan 2011 A                       | 100,000,000 | 2010/09/09 | 2011/01/10 | 25.00          |
| 63963  | UBS AG – Ping An R Bear CBBC Jan 2011 B                       | 100,000,000 | 2010/09/09 | 2011/01/10 | 25.00          |
| 61667# | KBC Fin Products Int' l Ltd. – HSI R Bear CBBC Oct 2010 F     | 150,000,000 | 2010/09/09 | 2010/10/28 | 12.15          |
| 63970  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 J                 | 390,000,000 | 2010/09/10 | 2011/01/28 | 97.50          |
| 63969  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 M                 | 390,000,000 | 2010/09/10 | 2010/12/30 | 97.50          |
| 63977  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 O                 | 300,000,000 | 2010/09/10 | 2010/12/30 | 75.00          |
| 63978  | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 S                 | 200,000,000 | 2010/09/10 | 2010/12/30 | 50.00          |
| 63974  | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Jan 2011 M     | 128,000,000 | 2010/09/10 | 2011/01/28 | 32.00          |
| 63975  | RB of Scotland N.V. – Ch Life R Bull CBBC Mar 2011 A          | 80,000,000  | 2010/09/10 | 2011/03/31 | 20.00          |

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|-------|---|-------------|------------|------------|----------------|
| 63979 | RB of Scotland N.V. – Ch Life R Bear CBBC Mar 2011 B          | 80,000,000  | 2010/09/10 | 2011/03/31 | 20.00          |
| 63976 | RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 C              | 250,000,000 | 2010/09/10 | 2010/12/30 | 62.75          |
| 63967 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10H | 300,000,000 | 2010/09/10 | 2010/12/30 | 75.00          |
| 63968 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10I | 300,000,000 | 2010/09/10 | 2010/12/30 | 75.00          |
| 63971 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10J | 300,000,000 | 2010/09/10 | 2010/12/30 | 75.00          |
| 63973 | UBS AG – HSI R Bull CBBC Feb 2011 V                           | 200,000,000 | 2010/09/10 | 2011/02/25 | 50.00          |
| 63972 | UBS AG – HSI R Bear CBBC Dec 2010 I                           | 200,000,000 | 2010/09/10 | 2010/12/30 | 50.00          |
| 64000 | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 F      | 100,000,000 | 2010/09/13 | 2011/04/28 | 25.00          |
| 64001 | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 G      | 100,000,000 | 2010/09/13 | 2011/04/28 | 25.00          |
| 64002 | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 G      | 100,000,000 | 2010/09/13 | 2011/05/30 | 25.00          |
| 64016 | Credit Suisse AG – A50 China R Bull CBBC Jan 2011 G           | 100,000,000 | 2010/09/13 | 2011/01/24 | 25.00          |
| 64011 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 I                 | 390,000,000 | 2010/09/13 | 2011/01/28 | 97.50          |
| 64012 | Credit Suisse AG – HSI R Bull CBBC Feb 2011 D                 | 390,000,000 | 2010/09/13 | 2011/02/25 | 97.50          |
| 64015 | Credit Suisse AG – HSI R Bull CBBC Feb 2011 E                 | 390,000,000 | 2010/09/13 | 2011/02/25 | 97.50          |
| 63998 | Credit Suisse AG – HSI R Bear CBBC Dec 2010 S                 | 400,000,000 | 2010/09/13 | 2010/12/30 | 100.00         |
| 63988 | Credit Suisse AG – HSI R Bear CBBC Dec 2010 T                 | 400,000,000 | 2010/09/13 | 2010/12/30 | 100.00         |
| 64020 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 R                 | 300,000,000 | 2010/09/13 | 2010/12/30 | 75.00          |
| 64018 | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 X                 | 300,000,000 | 2010/09/13 | 2010/12/30 | 75.00          |
| 64021 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 W                 | 300,000,000 | 2010/09/13 | 2011/01/28 | 84.00          |
| 64024 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Y                 | 300,000,000 | 2010/09/13 | 2011/01/28 | 87.00          |
| 63985 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 S                 | 300,000,000 | 2010/09/13 | 2011/01/28 | 75.00          |
| 63999 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 X                 | 300,000,000 | 2010/09/13 | 2011/01/28 | 75.00          |
| 63992 | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 T                 | 200,000,000 | 2010/09/13 | 2010/12/30 | 50.00          |
| 63993 | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 U                 | 200,000,000 | 2010/09/13 | 2010/12/30 | 50.00          |
| 63994 | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 V                 | 200,000,000 | 2010/09/13 | 2010/12/30 | 50.00          |
| 63996 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 W                 | 200,000,000 | 2010/09/13 | 2010/12/30 | 50.00          |
| 63997 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 X                 | 200,000,000 | 2010/09/13 | 2010/12/30 | 53.00          |
| 63995 | J P Morgan SP BV – HSI R Bear CBBC Jan 2011 B                 | 200,000,000 | 2010/09/13 | 2011/01/28 | 50.00          |
| 64029 | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 N      | 128,000,000 | 2010/09/13 | 2011/01/28 | 32.00          |
| 64025 | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 C                 | 200,000,000 | 2010/09/13 | 2011/01/28 | 50.00          |
| 64026 | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 D                 | 200,000,000 | 2010/09/13 | 2011/01/28 | 50.00          |
| 64027 | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 E                 | 200,000,000 | 2010/09/13 | 2011/01/28 | 50.00          |
| 64003 | RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 D              | 250,000,000 | 2010/09/13 | 2010/12/30 | 62.75          |
| 64004 | RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 E              | 250,000,000 | 2010/09/13 | 2010/12/30 | 62.50          |
| 64008 | RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 F              | 250,000,000 | 2010/09/13 | 2010/12/30 | 62.50          |
| 63982 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10K | 300,000,000 | 2010/09/13 | 2010/12/30 | 75.00          |
| 63989 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10M | 300,000,000 | 2010/09/13 | 2010/12/30 | 75.00          |
| 63990 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10N | 300,000,000 | 2010/09/13 | 2010/12/30 | 75.00          |
| 63991 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10O | 300,000,000 | 2010/09/13 | 2010/12/30 | 75.00          |
| 63987 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10L | 300,000,000 | 2010/09/13 | 2010/12/30 | 75.00          |
| 64009 | UBS AG – HKEx R Bull CBBC Jan 2011 A                          | 100,000,000 | 2010/09/13 | 2011/01/17 | 25.00          |
| 64013 | UBS AG – HKEx R Bear CBBC Jan 2011 B                          | 100,000,000 | 2010/09/13 | 2011/01/17 | 25.00          |
| 64010 | UBS AG – HKEx R Bear CBBC Feb 2011 B                          | 100,000,000 | 2010/09/13 | 2011/02/14 | 29.50          |
| 64006 | UBS AG – HSI R Bull CBBC Dec 2010 B                           | 200,000,000 | 2010/09/13 | 2010/12/30 | 50.00          |
| 64005 | UBS AG – HSI R Bull CBBC Dec 2010 L                           | 200,000,000 | 2010/09/13 | 2010/12/30 | 50.00          |

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|-------|---|-------------|------------|------------|----------------|
| 64007 | UBS AG – HSI R Bull CBBC Mar 2011 H                           | 400,000,000 | 2010/09/13 | 2011/03/30 | 100.00         |
| 63983 | UBS AG – HSI R Bear CBBC Dec 2010 S                           | 200,000,000 | 2010/09/13 | 2010/12/30 | 50.00          |
| 63984 | UBS AG – HSI R Bear CBBC Feb 2011 W                           | 200,000,000 | 2010/09/13 | 2011/02/25 | 50.00          |
| 64039 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 D                 | 390,000,000 | 2010/09/14 | 2011/01/28 | 97.50          |
| 64045 | Credit Suisse AG – HSI R Bull CBBC Jan 2011 L                 | 300,000,000 | 2010/09/14 | 2011/01/28 | 75.00          |
| 64038 | Credit Suisse AG – HSI R Bear CBBC Dec 2010 H                 | 390,000,000 | 2010/09/14 | 2010/12/30 | 97.50          |
| 64057 | Deutsche Bank AG – HSCEI R Bull CBBC Jan 2011 B               | 150,000,000 | 2010/09/14 | 2011/01/28 | 37.50          |
| 64050 | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 S                 | 300,000,000 | 2010/09/14 | 2011/02/25 | 75.00          |
| 64056 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 G                 | 300,000,000 | 2010/09/14 | 2011/01/28 | 93.00          |
| 64055 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H                 | 300,000,000 | 2010/09/14 | 2011/01/28 | 81.00          |
| 64052 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 U                 | 300,000,000 | 2010/09/14 | 2011/01/28 | 111.00         |
| 64051 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 Z                 | 300,000,000 | 2010/09/14 | 2011/01/28 | 96.00          |
| 64044 | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 R                 | 300,000,000 | 2010/09/14 | 2011/02/25 | 75.00          |
| 64054 | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 T                 | 300,000,000 | 2010/09/14 | 2011/02/25 | 120.00         |
| 64035 | HK Bank – HSI R Bull CBBC Feb 2011 B                          | 120,000,000 | 2010/09/14 | 2011/02/25 | 30.00          |
| 64036 | HK Bank – HSI R Bull CBBC Feb 2011 C                          | 120,000,000 | 2010/09/14 | 2011/02/25 | 30.00          |
| 64037 | HK Bank – HSI R Bull CBBC Feb 2011 D                          | 120,000,000 | 2010/09/14 | 2011/02/25 | 30.00          |
| 64060 | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 Y                 | 200,000,000 | 2010/09/14 | 2010/12/30 | 50.00          |
| 64061 | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 Z                 | 200,000,000 | 2010/09/14 | 2010/12/30 | 50.00          |
| 64048 | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 R      | 128,000,000 | 2010/09/14 | 2010/12/30 | 32.00          |
| 64033 | Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2010 C              | 200,000,000 | 2010/09/14 | 2010/12/30 | 50.00          |
| 64030 | Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2010 E   | 150,000,000 | 2010/09/14 | 2010/12/30 | 24.00          |
| 64034 | Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2010 F   | 150,000,000 | 2010/09/14 | 2010/12/30 | 31.20          |
| 64031 | Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2011 A   | 150,000,000 | 2010/09/14 | 2011/01/28 | 29.10          |
| 64032 | Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2011 B   | 150,000,000 | 2010/09/14 | 2011/01/28 | 31.35          |
| 64049 | RB of Scotland N.V. – A50 China R Bull CBBC Jan 2011 A        | 80,000,000  | 2010/09/14 | 2011/01/21 | 20.00          |
| 64053 | RB of Scotland N.V. – A50 China R Bear CBBC Jan 2011 B        | 80,000,000  | 2010/09/14 | 2011/01/21 | 20.00          |
| 64041 | UBS AG – A50 China R Bull CBBC Jan 2011 N                     | 100,000,000 | 2010/09/14 | 2011/01/17 | 25.00          |
| 64042 | UBS AG – HSCEI R Bull CBBC Jan 2011 D                         | 100,000,000 | 2010/09/14 | 2011/01/28 | 25.00          |
| 64047 | UBS AG – HSI R Bull CBBC Jan 2011 L                           | 200,000,000 | 2010/09/14 | 2011/01/28 | 50.00          |
| 64046 | UBS AG – HSI R Bull CBBC Feb 2011 X                           | 200,000,000 | 2010/09/14 | 2011/02/25 | 50.00          |
| 64043 | UBS AG – HSI R Bear CBBC Jan 2011 I                           | 200,000,000 | 2010/09/14 | 2011/01/28 | 50.00          |
| 64040 | UBS AG – TCH R Bull CBBC Jan 2011 C                           | 100,000,000 | 2010/09/14 | 2011/01/17 | 25.00          |
| 64075 | Credit Suisse AG – HSI R Bear CBBC Jan 2011 M                 | 390,000,000 | 2010/09/15 | 2011/01/28 | 97.50          |
| 64065 | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 U                 | 300,000,000 | 2010/09/15 | 2011/02/25 | 75.00          |
| 64066 | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 V                 | 300,000,000 | 2010/09/15 | 2011/02/25 | 75.00          |
| 64074 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 G                 | 200,000,000 | 2010/09/15 | 2010/12/30 | 50.00          |
| 64072 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 H                 | 200,000,000 | 2010/09/15 | 2010/12/30 | 50.00          |
| 64071 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 I                 | 200,000,000 | 2010/09/15 | 2010/12/30 | 50.00          |
| 64080 | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 O      | 128,000,000 | 2010/09/15 | 2011/01/28 | 32.00          |
| 64081 | CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 F                 | 200,000,000 | 2010/09/15 | 2011/01/28 | 50.00          |
| 64082 | CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 G                 | 200,000,000 | 2010/09/15 | 2011/01/28 | 50.00          |
| 64063 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10P | 300,000,000 | 2010/09/15 | 2010/12/30 | 75.00          |
| 64064 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10Q | 300,000,000 | 2010/09/15 | 2010/12/30 | 75.00          |
| 64067 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10R | 300,000,000 | 2010/09/15 | 2010/12/30 | 75.00          |

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|--------|--|-------------|------------|------------|----------------|
| 64068  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10S  | 300,000,000 | 2010/09/15 | 2010/12/30 | 75.00          |
| 64069  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10T  | 300,000,000 | 2010/09/15 | 2010/12/30 | 75.00          |
| 64070  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10U  | 300,000,000 | 2010/09/15 | 2010/12/30 | 75.00          |
| 64062  | UBS AG – HSI R Bull CBBC Dec 2010 J                            | 200,000,000 | 2010/09/15 | 2010/12/30 | 50.00          |
| 64077  | UBS AG – HSI R Bear CBBC Dec 2010 Z                            | 200,000,000 | 2010/09/15 | 2010/12/30 | 50.00          |
| 64078  | UBS AG – HSI R Bear CBBC Jan 2011 R                            | 200,000,000 | 2010/09/15 | 2011/01/28 | 50.00          |
| 64087  | Credit Suisse AG – China Mobile R Bear CBBC Jan 2011 C         | 100,000,000 | 2010/09/16 | 2011/01/17 | 25.00          |
| 64086  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 N                  | 300,000,000 | 2010/09/16 | 2011/01/28 | 75.00          |
| 64085  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 I                  | 390,000,000 | 2010/09/16 | 2010/12/30 | 97.50          |
| 64090  | Deutsche Bank AG – China Mobile R Bear CBBC Feb 2011 A         | 150,000,000 | 2010/09/16 | 2011/02/14 | 37.50          |
| 64083  | HK Bank – A50 R Bull CBBC Feb 2011 D                           | 160,000,000 | 2010/09/16 | 2011/02/08 | 40.00          |
| 64084  | HK Bank – A50 R Bull CBBC Mar 2011 A                           | 160,000,000 | 2010/09/16 | 2011/03/08 | 40.00          |
| 64088  | UBS AG – China Mobile R Bear CBBC Jan 2011 C                   | 100,000,000 | 2010/09/16 | 2011/01/17 | 25.00          |
| 64089  | UBS AG – HSI R Bull CBBC Mar 2011 I                            | 200,000,000 | 2010/09/16 | 2011/03/30 | 50.00          |
| 63017# | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 K                  | 300,000,000 | 2010/09/16 | 2011/01/28 | 36.00          |
| 64091  | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 A       | 100,000,000 | 2010/09/17 | 2011/09/29 | 25.00          |
| 64092  | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 B       | 100,000,000 | 2010/09/17 | 2011/09/29 | 25.00          |
| 64093  | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 A       | 100,000,000 | 2010/09/17 | 2011/10/28 | 25.00          |
| 64094  | BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 B       | 100,000,000 | 2010/09/17 | 2011/10/28 | 25.00          |
| 64098  | UBS AG – HKEx R Bull CBBC Jan 2011 C                           | 100,000,000 | 2010/09/17 | 2011/01/10 | 25.00          |
| 64099  | UBS AG – HKEx R Bear CBBC Feb 2011 C                           | 100,000,000 | 2010/09/17 | 2011/02/21 | 32.00          |
| 64097  | UBS AG – HSI R Bull CBBC Jan 2011 S                            | 200,000,000 | 2010/09/17 | 2011/01/28 | 50.00          |
| 64095  | UBS AG – HSI R Bull CBBC Mar 2011 J                            | 200,000,000 | 2010/09/17 | 2011/03/30 | 50.00          |
| 64100  | UBS AG – HSI R Bear CBBC Mar 2011 K                            | 200,000,000 | 2010/09/17 | 2011/03/30 | 50.00          |
| 64101  | UBS AG – HSI R Bear CBBC Mar 2011 L                            | 200,000,000 | 2010/09/17 | 2011/03/30 | 50.00          |
| 64102  | UBS AG – HSI R Bear CBBC Apr 2011 D                            | 200,000,000 | 2010/09/17 | 2011/04/28 | 50.00          |
| 64112  | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 H       | 100,000,000 | 2010/09/20 | 2011/05/30 | 25.00          |
| 64113  | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 D       | 100,000,000 | 2010/09/20 | 2011/06/29 | 25.00          |
| 64134  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 B                  | 390,000,000 | 2010/09/20 | 2011/01/28 | 97.50          |
| 64114  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 O                  | 300,000,000 | 2010/09/20 | 2010/12/30 | 75.00          |
| 64119  | Credit Suisse AG – HSI R Bear CBBC Jan 2011 O                  | 390,000,000 | 2010/09/20 | 2011/01/28 | 97.50          |
| 64135  | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 W                  | 300,000,000 | 2010/09/20 | 2011/02/25 | 75.00          |
| 64136  | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 X                  | 300,000,000 | 2010/09/20 | 2011/02/25 | 78.00          |
| 64124  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 S                  | 300,000,000 | 2010/09/20 | 2010/12/30 | 84.00          |
| 64123  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Y                  | 300,000,000 | 2010/09/20 | 2010/12/30 | 75.00          |
| 64128  | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 J                  | 200,000,000 | 2010/09/20 | 2010/12/30 | 50.00          |
| 64131  | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 M                  | 200,000,000 | 2010/09/20 | 2010/12/30 | 50.00          |
| 64129  | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 N                  | 200,000,000 | 2010/09/20 | 2010/12/30 | 50.00          |
| 64137  | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Jan 2011 Q      | 128,000,000 | 2010/09/20 | 2011/01/28 | 32.00          |
| 64138  | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Jan 2011 R      | 128,000,000 | 2010/09/20 | 2011/01/28 | 32.00          |
| 64120  | KBC Fin Products Int' l Ltd. – HSI R Bear CBBC Jan 2011 P      | 128,000,000 | 2010/09/20 | 2011/01/28 | 32.00          |
| 64139  | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 H                  | 200,000,000 | 2010/09/20 | 2011/01/28 | 50.00          |
| 64140  | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 I                  | 200,000,000 | 2010/09/20 | 2011/01/28 | 50.00          |
| 64111  | SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jan11A | 300,000,000 | 2010/09/20 | 2011/01/26 | 75.00          |
| 64125  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10V  | 300,000,000 | 2010/09/20 | 2010/12/30 | 75.00          |



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|-------|---|-------------|------------|------------|----------------|
| 64126 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10W | 300,000,000 | 2010/09/20 | 2010/12/30 | 75.00          |
| 64103 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11D | 300,000,000 | 2010/09/20 | 2011/02/25 | 99.00          |
| 64104 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11E | 300,000,000 | 2010/09/20 | 2011/02/25 | 75.00          |
| 64105 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11F | 300,000,000 | 2010/09/20 | 2011/02/25 | 76.50          |
| 64106 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11G | 300,000,000 | 2010/09/20 | 2011/02/25 | 87.00          |
| 64107 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11H | 300,000,000 | 2010/09/20 | 2011/02/25 | 75.00          |
| 64108 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11I | 300,000,000 | 2010/09/20 | 2011/02/25 | 75.00          |
| 64109 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11J | 300,000,000 | 2010/09/20 | 2011/02/25 | 75.00          |
| 64110 | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11K | 300,000,000 | 2010/09/20 | 2011/02/25 | 75.00          |
| 64127 | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10X | 300,000,000 | 2010/09/20 | 2010/12/30 | 75.00          |
| 64141 | UBS AG – HKEx R Bull CBBC Jan 2011 D                          | 100,000,000 | 2010/09/20 | 2011/01/24 | 25.00          |
| 64143 | UBS AG – HKEx R Bear CBBC Feb 2011 D                          | 100,000,000 | 2010/09/20 | 2011/02/28 | 32.50          |
| 64145 | UBS AG – HSI R Bull CBBC Dec 2010 U                           | 200,000,000 | 2010/09/20 | 2010/12/30 | 50.00          |
| 64146 | UBS AG – HSI R Bull CBBC Jan 2011 O                           | 200,000,000 | 2010/09/20 | 2011/01/28 | 50.00          |
| 64144 | UBS AG – HSI R Bull CBBC Apr 2011 E                           | 400,000,000 | 2010/09/20 | 2011/04/28 | 100.00         |
| 64122 | UBS AG – HSI R Bear CBBC Jan 2011 J                           | 200,000,000 | 2010/09/20 | 2011/01/28 | 50.00          |
| 64121 | UBS AG – HSI R Bear CBBC Jan 2011 N                           | 200,000,000 | 2010/09/20 | 2011/01/28 | 50.00          |
| 64149 | UBS AG – HSI R Bear CBBC Feb 2011 Y                           | 200,000,000 | 2010/09/20 | 2011/02/25 | 50.00          |
| 64150 | UBS AG – HSI R Bear CBBC Mar 2011 M                           | 200,000,000 | 2010/09/20 | 2011/03/30 | 50.00          |
| 64151 | UBS AG – HSI R Bear CBBC Apr 2011 F                           | 200,000,000 | 2010/09/20 | 2011/04/28 | 50.00          |
| 64152 | UBS AG – HSI R Bear CBBC Apr 2011 G                           | 200,000,000 | 2010/09/20 | 2011/04/28 | 50.00          |
| 64166 | Credit Suisse AG – HKEx R Bull CBBC Jan 2011 A                | 100,000,000 | 2010/09/21 | 2011/01/17 | 25.00          |
| 64165 | Credit Suisse AG – HSI R Bull CBBC Dec 2010 T                 | 300,000,000 | 2010/09/21 | 2010/12/30 | 75.00          |
| 64160 | Credit Suisse AG – HSI R Bull CBBC Feb 2011 F                 | 330,000,000 | 2010/09/21 | 2011/02/25 | 82.50          |
| 64159 | Credit Suisse AG – HSI R Bear CBBC Dec 2010 M                 | 390,000,000 | 2010/09/21 | 2010/12/30 | 97.50          |
| 64167 | Credit Suisse AG – HSI R Bear CBBC Feb 2011 G                 | 330,000,000 | 2010/09/21 | 2011/02/25 | 82.50          |
| 64161 | Credit Suisse AG – TCH R Bull CBBC Jan 2011 B                 | 100,000,000 | 2010/09/21 | 2011/01/24 | 25.00          |
| 64186 | Deutsche Bank AG – HSCEI R Bear CBBC Jan 2011 C               | 150,000,000 | 2010/09/21 | 2011/01/28 | 42.00          |
| 64174 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 F                 | 300,000,000 | 2010/09/21 | 2011/01/28 | 75.00          |
| 64158 | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 P                 | 300,000,000 | 2010/09/21 | 2011/01/28 | 75.00          |
| 64169 | Deutsche Bank AG – HSI R Bull CBBC Mar 2011 L                 | 300,000,000 | 2010/09/21 | 2011/03/30 | 75.00          |
| 64164 | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 T                 | 300,000,000 | 2010/09/21 | 2011/01/28 | 78.00          |
| 64187 | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 L                 | 200,000,000 | 2010/09/21 | 2010/12/30 | 50.00          |
| 64168 | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Jan 2011 S     | 128,000,000 | 2010/09/21 | 2011/01/28 | 32.00          |
| 64175 | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Jan 2011 T     | 128,000,000 | 2010/09/21 | 2011/01/28 | 32.00          |
| 64176 | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Feb 2011 E     | 128,000,000 | 2010/09/21 | 2011/02/25 | 32.00          |
| 64177 | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Feb 2011 F     | 128,000,000 | 2010/09/21 | 2011/02/25 | 32.00          |
| 64181 | KBC Fin Products Int' l Ltd. – HSI R Bear CBBC Feb 2011 G     | 128,000,000 | 2010/09/21 | 2011/02/25 | 32.00          |
| 64178 | RB of Scotland N.V. – HSCEI R Bear CBBC Jan 2011 A            | 250,000,000 | 2010/09/21 | 2011/01/28 | 62.75          |
| 64179 | RB of Scotland N.V. – HSCEI R Bear CBBC Jan 2011 B            | 250,000,000 | 2010/09/21 | 2011/01/28 | 62.75          |
| 64182 | RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 G              | 250,000,000 | 2010/09/21 | 2010/12/30 | 62.75          |
| 64184 | RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 H              | 250,000,000 | 2010/09/21 | 2010/12/30 | 62.75          |
| 64185 | RB of Scotland N.V. – HSI R Bear CBBC Jan 2011 A              | 250,000,000 | 2010/09/21 | 2011/01/28 | 62.75          |
| 64170 | UBS AG – China Life R Bull CBBC Jan 2011 F                    | 200,000,000 | 2010/09/21 | 2011/01/17 | 50.00          |
| 64153 | UBS AG – HSCEI R Bull CBBC Feb 2011 B                         | 100,000,000 | 2010/09/21 | 2011/02/25 | 25.00          |

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|--------------------|---|-------------|------------|------------|----------------|
| 64157              | UBS AG – HSCEI R Bear CBBC Feb 2011 C                         | 100,000,000 | 2010/09/21 | 2011/02/25 | 25.00          |
| 64154              | UBS AG – HSCEI R Bear CBBC Mar 2011 A                         | 100,000,000 | 2010/09/21 | 2011/03/30 | 25.00          |
| 64156              | UBS AG – HSCEI R Bear CBBC Mar 2011 B                         | 100,000,000 | 2010/09/21 | 2011/03/30 | 25.00          |
| 64172              | UBS AG – HSI R Bull CBBC Feb 2011 Z                           | 200,000,000 | 2010/09/21 | 2011/02/25 | 50.00          |
| 64173              | UBS AG – HSI R Bull CBBC Mar 2011 N                           | 200,000,000 | 2010/09/21 | 2011/03/30 | 50.00          |
| 64171              | UBS AG – TCH R Bull CBBC Jan 2011 D                           | 100,000,000 | 2010/09/21 | 2011/01/10 | 25.00          |
| 64200              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 P                 | 390,000,000 | 2010/09/22 | 2011/01/28 | 97.50          |
| 64198              | Credit Suisse AG – HSI R Bear CBBC Dec 2010 S                 | 390,000,000 | 2010/09/22 | 2010/12/30 | 97.50          |
| 64193              | HK Bank – HSI R Bear CBBC Feb 2011 E                          | 150,000,000 | 2010/09/22 | 2011/02/25 | 37.50          |
| 64194              | HK Bank – HSI R Bear CBBC Mar 2011 A                          | 150,000,000 | 2010/09/22 | 2011/03/30 | 37.50          |
| 64197              | HK Bank – HSI R Bear CBBC Mar 2011 B                          | 280,000,000 | 2010/09/22 | 2011/03/30 | 70.00          |
| 64188              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11D | 300,000,000 | 2010/09/22 | 2011/01/28 | 75.00          |
| 64189              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11E | 300,000,000 | 2010/09/22 | 2011/01/28 | 75.00          |
| 64190              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11F | 300,000,000 | 2010/09/22 | 2011/01/28 | 75.00          |
| 64191              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11G | 300,000,000 | 2010/09/22 | 2011/01/28 | 75.00          |
| 64192              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11H | 300,000,000 | 2010/09/22 | 2011/01/28 | 75.00          |
| 64199              | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11I | 300,000,000 | 2010/09/22 | 2011/01/28 | 75.00          |
| 64201              | UBS AG – HSI R Bear CBBC Jan 2011 H                           | 200,000,000 | 2010/09/22 | 2011/01/28 | 50.00          |
| 64202              | UBS AG – HSI R Bear CBBC Mar 2011 O                           | 200,000,000 | 2010/09/22 | 2011/03/30 | 50.00          |
| 63113 <sup>#</sup> | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 D             | 80,000,000  | 2010/09/22 | 2010/12/17 | 12.48          |
| 63579 <sup>#</sup> | RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 G             | 60,000,000  | 2010/09/22 | 2010/12/17 | 13.50          |
| 64203              | Credit Suisse AG – HKEx R Bear CBBC Jan 2011 B                | 100,000,000 | 2010/09/24 | 2011/01/17 | 25.00          |
| 64206              | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 I                 | 300,000,000 | 2010/09/24 | 2011/01/28 | 81.00          |
| 64207              | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 Y                 | 300,000,000 | 2010/09/24 | 2011/02/25 | 75.00          |
| 64204              | UBS AG – Cheung Kong R Bear CBBC Feb 2011 A                   | 100,000,000 | 2010/09/24 | 2011/02/14 | 25.00          |
| 64248              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 I      | 100,000,000 | 2010/09/27 | 2011/05/30 | 25.00          |
| 64249              | BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 E      | 100,000,000 | 2010/09/27 | 2011/06/29 | 25.00          |
| 64210              | Credit Suisse AG – HSBC R Bear CBBC Jan 2011 B                | 100,000,000 | 2010/09/27 | 2011/01/17 | 25.00          |
| 64237              | Credit Suisse AG – HSI R Bull CBBC Jan 2011 R                 | 390,000,000 | 2010/09/27 | 2011/01/28 | 97.50          |
| 64211              | Credit Suisse AG – HSI R Bear CBBC Dec 2010 H                 | 300,000,000 | 2010/09/27 | 2010/12/30 | 75.00          |
| 64212              | Credit Suisse AG – HSI R Bear CBBC Jan 2011 C                 | 300,000,000 | 2010/09/27 | 2011/01/28 | 75.00          |
| 64213              | Credit Suisse AG – HSI R Bear CBBC Jan 2011 Q                 | 390,000,000 | 2010/09/27 | 2011/01/28 | 97.50          |
| 64214              | Credit Suisse AG – HSI R Bear CBBC Feb 2011 H                 | 390,000,000 | 2010/09/27 | 2011/02/25 | 97.50          |
| 64215              | Credit Suisse AG – HSI R Bear CBBC Feb 2011 I                 | 300,000,000 | 2010/09/27 | 2011/02/25 | 75.00          |
| 64216              | Credit Suisse AG – HSI R Bear CBBC Feb 2011 J                 | 390,000,000 | 2010/09/27 | 2011/02/25 | 97.50          |
| 64245              | Deutsche Bank AG – HSI R Bull CBBC Feb 2011 Z                 | 300,000,000 | 2010/09/27 | 2011/02/25 | 75.00          |
| 64217              | Deutsche Bank AG – HSI R Bear CBBC Jan 2011 D                 | 300,000,000 | 2010/09/27 | 2011/01/28 | 75.00          |
| 64222              | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 K                 | 200,000,000 | 2010/09/27 | 2010/12/30 | 50.00          |
| 64221              | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 P                 | 200,000,000 | 2010/09/27 | 2010/12/30 | 50.00          |
| 64230              | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Jan 2011 U     | 128,000,000 | 2010/09/27 | 2011/01/28 | 32.00          |
| 64231              | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Jan 2011 V     | 128,000,000 | 2010/09/27 | 2011/01/28 | 32.00          |
| 64232              | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Feb 2011 H     | 128,000,000 | 2010/09/27 | 2011/02/25 | 32.00          |
| 64233              | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Feb 2011 I     | 128,000,000 | 2010/09/27 | 2011/02/25 | 32.00          |
| 64234              | KBC Fin Products Int' l Ltd. – HSI R Bull CBBC Feb 2011 J     | 128,000,000 | 2010/09/27 | 2011/02/25 | 32.00          |
| 64247              | KBC Fin Products Int' l Ltd. – HSI R Bear CBBC Feb 2011 K     | 128,000,000 | 2010/09/27 | 2011/02/25 | 32.00          |



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|--------|---|-------------|------------|------------|----------------|
| 64208  | Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2011 A              | 200,000,000 | 2010/09/27 | 2011/01/28 | 35.00          |
| 64246  | CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 J                 | 200,000,000 | 2010/09/27 | 2011/01/28 | 50.00          |
| 64250  | CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 K                 | 200,000,000 | 2010/09/27 | 2011/01/28 | 50.00          |
| 64251  | CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 L                 | 200,000,000 | 2010/09/27 | 2011/01/28 | 50.00          |
| 64252  | CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 M                 | 200,000,000 | 2010/09/27 | 2011/01/28 | 50.00          |
| 64253  | CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 N                 | 200,000,000 | 2010/09/27 | 2011/01/28 | 50.00          |
| 64226  | RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 A             | 80,000,000  | 2010/09/27 | 2011/03/18 | 20.08          |
| 64227  | RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 B             | 80,000,000  | 2010/09/27 | 2011/03/18 | 20.00          |
| 64229  | RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 C             | 80,000,000  | 2010/09/27 | 2011/03/18 | 20.00          |
| 64225  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10D | 300,000,000 | 2010/09/27 | 2010/12/30 | 75.00          |
| 64223  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10Y | 500,000,000 | 2010/09/27 | 2010/12/30 | 125.00         |
| 64224  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10Z | 300,000,000 | 2010/09/27 | 2010/12/30 | 75.00          |
| 64242  | UBS AG – HSBC R Bull CBBC Jan 2011 A                          | 100,000,000 | 2010/09/27 | 2011/01/24 | 25.00          |
| 64244  | UBS AG – HSI R Bull CBBC Dec 2010 T                           | 200,000,000 | 2010/09/27 | 2010/12/30 | 50.00          |
| 64209  | UBS AG – HSI R Bear CBBC Feb 2011 C                           | 200,000,000 | 2010/09/27 | 2011/02/25 | 50.00          |
| 64243  | UBS AG – SHK Ppt R Bull CBBC Feb 2011 A                       | 100,000,000 | 2010/09/27 | 2011/02/14 | 25.00          |
| 64260  | Credit Suisse AG – China Mobile R Bull CBBC Jan 2011 D        | 100,000,000 | 2010/09/28 | 2011/01/24 | 25.00          |
| 64261  | Credit Suisse AG – A50 China R Bull CBBC Jan 2011 H           | 100,000,000 | 2010/09/28 | 2011/01/24 | 25.00          |
| 64262  | Credit Suisse AG – HKEx R Bear CBBC Jan 2011 C                | 100,000,000 | 2010/09/28 | 2011/01/24 | 25.00          |
| 64259  | Credit Suisse AG – HSBC R Bull CBBC Jan 2011 C                | 100,000,000 | 2010/09/28 | 2011/01/24 | 25.00          |
| 64256  | Credit Suisse AG – HSI R Bull CBBC Feb 2011 K                 | 390,000,000 | 2010/09/28 | 2011/02/25 | 97.50          |
| 64263  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 D                 | 300,000,000 | 2010/09/28 | 2010/12/30 | 75.00          |
| 64266  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 I                 | 390,000,000 | 2010/09/28 | 2010/12/30 | 97.50          |
| 64268  | Credit Suisse AG – TCH R Bear CBBC Jan 2011 C                 | 100,000,000 | 2010/09/28 | 2011/01/17 | 29.50          |
| 64265  | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 C                 | 300,000,000 | 2010/09/28 | 2010/12/30 | 75.00          |
| 64269  | Deutsche Bank AG – HSI R Bear CBBC Feb 2011 F                 | 300,000,000 | 2010/09/28 | 2011/02/25 | 75.00          |
| 64274  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 W      | 128,000,000 | 2010/09/28 | 2011/01/28 | 32.00          |
| 64275  | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 C      | 128,000,000 | 2010/09/28 | 2011/03/30 | 32.00          |
| 64271  | UBS AG – BYD Company R Bull CBBC Feb 2011 A                   | 100,000,000 | 2010/09/28 | 2011/02/14 | 25.00          |
| 64272  | UBS AG – HSBC R Bear CBBC Feb 2011 B                          | 100,000,000 | 2010/09/28 | 2011/02/14 | 25.00          |
| 64255  | UBS AG – HSI R Bull CBBC Jan 2011 B                           | 200,000,000 | 2010/09/28 | 2011/01/28 | 50.00          |
| 64273  | UBS AG – HSI R Bear CBBC Feb 2011 D                           | 200,000,000 | 2010/09/28 | 2011/02/25 | 50.00          |
| 64254  | UBS AG – Hutchison R Bull CBBC Jan 2011 C                     | 100,000,000 | 2010/09/28 | 2011/01/24 | 25.00          |
| 64258  | UBS AG – Hutchison R Bear CBBC Jan 2011 D                     | 100,000,000 | 2010/09/28 | 2011/01/24 | 25.00          |
| 63199# | KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 K      | 100,000,000 | 2010/09/28 | 2010/12/30 | 10.30          |
| 64280  | Credit Suisse AG – HSI R Bull CBBC Jan 2011 S                 | 300,000,000 | 2010/09/29 | 2011/01/28 | 75.00          |
| 64279  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 O                 | 390,000,000 | 2010/09/29 | 2010/12/30 | 97.50          |
| 64281  | Credit Suisse AG – HSI R Bear CBBC Feb 2011 L                 | 300,000,000 | 2010/09/29 | 2011/02/25 | 78.00          |
| 64282  | Deutsche Bank AG – DJIA R Bull CBBC Mar 2011 A                | 80,000,000  | 2010/09/29 | 2011/03/21 | 20.00          |
| 64286  | Deutsche Bank AG – DJIA R Bear CBBC Mar 2011 B                | 80,000,000  | 2010/09/29 | 2011/03/21 | 22.40          |
| 64298  | Deutsche Bank AG – HSI R Bull CBBC Jan 2011 M                 | 300,000,000 | 2010/09/29 | 2011/01/28 | 75.00          |
| 64277  | HK Bank – HSI R Bull CBBC Mar 2011 C                          | 200,000,000 | 2010/09/29 | 2011/03/30 | 50.00          |
| 64278  | HK Bank – HSI R Bull CBBC Mar 2011 D                          | 150,000,000 | 2010/09/29 | 2011/03/30 | 37.50          |
| 64295  | KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 V      | 128,000,000 | 2010/09/29 | 2010/12/30 | 32.00          |
| 64276  | Macquarie Bank Ltd. – HSI R Bear CBBC Jan 2011 B              | 200,000,000 | 2010/09/29 | 2011/01/28 | 43.20          |

# 主板 可收回牛熊證

| 股份代號   | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元) |
|--------|---|-------------|------------|------------|----------------|
| 64289  | UBS AG – Cheung Kong R Bull CBBC Jan 2011 A                   | 100,000,000 | 2010/09/29 | 2011/01/31 | 25.00          |
| 64292  | UBS AG – Cheung Kong R Bear CBBC Feb 2011 B                   | 100,000,000 | 2010/09/29 | 2011/02/28 | 25.00          |
| 64283  | UBS AG – HSI R Bull CBBC Dec 2010 I                           | 200,000,000 | 2010/09/29 | 2010/12/30 | 50.00          |
| 64288  | UBS AG – HSI R Bear CBBC Dec 2010 S                           | 200,000,000 | 2010/09/29 | 2010/12/30 | 50.00          |
| 64284  | UBS AG – HSI R Bear CBBC Mar 2011 P                           | 200,000,000 | 2010/09/29 | 2011/03/30 | 50.00          |
| 64285  | UBS AG – HSI R Bear CBBC Apr 2011 H                           | 200,000,000 | 2010/09/29 | 2011/04/28 | 50.00          |
| 64291  | UBS AG – TCH R Bull CBBC Jan 2011 E                           | 100,000,000 | 2010/09/29 | 2011/01/31 | 25.00          |
| 62737# | HK Bank – HSI R Bear CBBC Dec 2010 J                          | 380,000,000 | 2010/09/29 | 2010/12/30 | 28.50          |
| 64299  | BOCI Asia Ltd. – HSI R Bull CBBC Dec 2010 A                   | 100,000,000 | 2010/09/30 | 2010/12/30 | 25.00          |
| 64300  | BOCI Asia Ltd. – HSI R Bear CBBC Dec 2010 B                   | 100,000,000 | 2010/09/30 | 2010/12/30 | 25.00          |
| 64301  | BOCI Asia Ltd. – HSI R Bear CBBC Dec 2010 C                   | 100,000,000 | 2010/09/30 | 2010/12/30 | 25.00          |
| 64321  | Credit Suisse AG – HSI R Bull CBBC Feb 2011 M                 | 390,000,000 | 2010/09/30 | 2011/02/25 | 97.50          |
| 64310  | Credit Suisse AG – HSI R Bear CBBC Dec 2010 F                 | 300,000,000 | 2010/09/30 | 2010/12/30 | 75.00          |
| 64312  | Credit Suisse AG – HSI R Bear CBBC Feb 2011 N                 | 390,000,000 | 2010/09/30 | 2011/02/25 | 97.50          |
| 64315  | Credit Suisse AG – HSI R Bear CBBC Feb 2011 O                 | 300,000,000 | 2010/09/30 | 2011/02/25 | 91.50          |
| 64316  | Credit Suisse AG – HSI R Bear CBBC Feb 2011 P                 | 390,000,000 | 2010/09/30 | 2011/02/25 | 97.50          |
| 64320  | Credit Suisse AG – HSI R Bear CBBC Mar 2011 A                 | 390,000,000 | 2010/09/30 | 2011/03/30 | 97.50          |
| 64346  | Deutsche Bank AG – China Mobile R Bull CBBC Feb 2011 B        | 150,000,000 | 2010/09/30 | 2011/02/21 | 37.50          |
| 64344  | Deutsche Bank AG – China Life R Bull CBBC Mar 2011 D          | 150,000,000 | 2010/09/30 | 2011/03/21 | 51.00          |
| 64345  | Deutsche Bank AG – China Life R Bear CBBC Mar 2011 E          | 150,000,000 | 2010/09/30 | 2011/03/21 | 78.00          |
| 64349  | Deutsche Bank AG – A50 China R Bear CBBC Feb 2011 A           | 150,000,000 | 2010/09/30 | 2011/02/14 | 37.50          |
| 64347  | Deutsche Bank AG – HKEx R Bull CBBC Feb 2011 A                | 150,000,000 | 2010/09/30 | 2011/02/14 | 37.50          |
| 64348  | Deutsche Bank AG – HKEx R Bear CBBC Feb 2011 B                | 150,000,000 | 2010/09/30 | 2011/02/14 | 37.50          |
| 64338  | Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O                 | 300,000,000 | 2010/09/30 | 2010/12/30 | 75.00          |
| 64311  | Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Q                 | 300,000,000 | 2010/09/30 | 2010/12/30 | 75.00          |
| 64307  | HK Bank – CC Bank R Bear CBBC Mar 2011 A                      | 80,000,000  | 2010/09/30 | 2011/03/22 | 20.00          |
| 64304  | HK Bank – Sinopec Corp R Bull CBBC Mar 2011 A                 | 150,000,000 | 2010/09/30 | 2011/03/18 | 37.50          |
| 64305  | HK Bank – Sinopec Corp R Bull CBBC Jun 2011 A                 | 300,000,000 | 2010/09/30 | 2011/06/16 | 75.00          |
| 64308  | HK Bank – Sinopec Corp R Bear CBBC Mar 2011 B                 | 100,000,000 | 2010/09/30 | 2011/03/18 | 25.00          |
| 64306  | HK Bank – China Shenhua R Bull CBBC May 2011 A                | 200,000,000 | 2010/09/30 | 2011/05/05 | 50.00          |
| 64336  | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 E                 | 200,000,000 | 2010/09/30 | 2010/12/30 | 50.00          |
| 64337  | J P Morgan SP BV – HSI R Bull CBBC Dec 2010 F                 | 200,000,000 | 2010/09/30 | 2010/12/30 | 50.00          |
| 64353  | J P Morgan SP BV – HSI R Bear CBBC Dec 2010 W                 | 200,000,000 | 2010/09/30 | 2010/12/30 | 50.00          |
| 64322  | RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 I              | 250,000,000 | 2010/09/30 | 2010/12/30 | 62.50          |
| 64323  | RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 J              | 250,000,000 | 2010/09/30 | 2010/12/30 | 62.50          |
| 64324  | RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 K              | 250,000,000 | 2010/09/30 | 2010/12/30 | 62.50          |
| 64326  | RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 L              | 250,000,000 | 2010/09/30 | 2010/12/30 | 62.50          |
| 64327  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11J | 300,000,000 | 2010/09/30 | 2011/01/28 | 75.00          |
| 64328  | SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11K | 300,000,000 | 2010/09/30 | 2011/01/28 | 75.00          |
| 64329  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11L | 300,000,000 | 2010/09/30 | 2011/02/25 | 75.00          |
| 64330  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11M | 300,000,000 | 2010/09/30 | 2011/02/25 | 75.00          |
| 64331  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11N | 300,000,000 | 2010/09/30 | 2011/02/25 | 87.00          |
| 64332  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11O | 300,000,000 | 2010/09/30 | 2011/02/25 | 75.00          |
| 64333  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11P | 300,000,000 | 2010/09/30 | 2011/02/25 | 79.50          |
| 64334  | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11Q | 300,000,000 | 2010/09/30 | 2011/02/25 | 99.00          |

# 主板 可收回牛熊證

| 股份代號      | 牛熊證   | 上市時之發行單位    | 上市日期       | 到期日        | 集資金額<br>(百萬港元)   |
|-----------|---|-------------|------------|------------|------------------|
| 64335     | SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11R | 300,000,000 | 2010/09/30 | 2011/02/25 | 75.00            |
| 64351     | UBS AG – HSI R Bull CBBC Dec 2010 K                           | 200,000,000 | 2010/09/30 | 2010/12/30 | 50.00            |
| 64352     | UBS AG – HSI R Bull CBBC Apr 2011 I                           | 300,000,000 | 2010/09/30 | 2011/04/28 | 75.00            |
| 64309     | UBS AG – HSI R Bear CBBC Dec 2010 Q                           | 200,000,000 | 2010/09/30 | 2010/12/30 | 50.00            |
| 64355     | UBS AG – HSI R Bear CBBC Dec 2010 Z                           | 200,000,000 | 2010/09/30 | 2010/12/30 | 50.00            |
| <b>總額</b> |   |             |            |            | <b>73,903.10</b> |

# 股票掛鈎票據／非上市可交易股份交易統計

## 股票掛鈎票據交易統計

|      |     | 發行數目 | 成交金額(百萬港元) |
|------|-----|------|------------|
| 2009 | 第3季 | —    | —          |
|      | 第4季 | —    | —          |
| 2010 | 第1季 | —    | —          |
|      | 第2季 | —    | —          |
|      | 第3季 | —    | —          |

## Nasdaq 股份交易統計

|      |     | 發行數目 | 成交金額(百萬港元) |
|------|-----|------|------------|
| 2009 | 第3季 | 7    | 0.90       |
|      | 第4季 | 7    | 0.30       |
| 2010 | 第1季 | 7    | 1.33       |
|      | 第2季 | 7    | 0.05       |
|      | 第3季 | 7    | 0.30       |

## iShares 股份交易統計

|      |     | 發行數目 | 成交金額(百萬港元) |
|------|-----|------|------------|
| 2009 | 第3季 | 2    | 0.00       |
|      | 第4季 | 2    | 0.00       |
| 2010 | 第1季 | 2    | 0.00       |
|      | 第2季 | 2    | 0.04       |
|      | 第3季 | 2    | 0.00       |

# 創業板 股份

## 股份成交－創業板

|      |     | 股數(百萬股)    | 金額(百萬港元)  | 成交宗數    |
|------|-----|------------|-----------|---------|
| 2009 | 第3季 | 84,235.17  | 26,394.60 | 677,639 |
|      | 第4季 | 72,473.65  | 25,613.02 | 669,404 |
| 2010 | 第1季 | 97,877.07  | 31,502.84 | 762,645 |
|      | 第2季 | 139,315.11 | 39,315.10 | 987,294 |
|      | 第3季 | 88,672.33  | 24,297.92 | 647,501 |

## 創業板股份交易統計

|      |     | 交易日數 | 每日平均成交金額<br>(百萬港元) | 平均每宗交易<br>成交金額 |
|------|-----|------|--------------------|----------------|
| 2009 | 第3季 | 65   | 406.07             | 38,951         |
|      | 第4季 | 63   | 406.56             | 38,262         |
| 2010 | 第1季 | 61   | 516.44             | 41,307         |
|      | 第2季 | 60   | 655.25             | 39,821         |
|      | 第3季 | 64   | 379.65             | 37,526         |

## 20種升幅最大之創業板股份

2010年第3季

| 順次 | 股份代號  | 股份       | 收市價      |          | 升幅     |
|----|-------|----------|----------|----------|--------|
|    |       |          | 2010年9月底 | 2010年6月底 |        |
| 1  | 08082 | 訊通控股     | 0.224    | 0.072    | 211.11 |
| 2  | 08301 | 明華科技     | 0.690    | 0.315    | 119.05 |
| 3  | 08325 | 奧思知集團控股  | 1.300    | 0.600    | 116.67 |
| 4  | 08008 | 新意網集團    | 2.250    | 1.110    | 102.70 |
| 5  | 08186 | 神州東盟資源   | 0.129    | 0.064    | 101.56 |
| 6  | 08228 | 國藝控股     | 0.870    | 0.470 A  | 85.11  |
| 7  | 08083 | 矽感科技     | 0.375    | 0.210    | 78.57  |
| 8  | 08151 | 億勝生物科技   | 0.850    | 0.480    | 77.08  |
| 9  | 08226 | 上聲國際     | 0.700    | 0.400    | 75.00  |
| 10 | 08078 | 龍彩娛樂     | 0.405    | 0.233 A  | 73.82  |
| 11 | 08190 | 康佰控股     | 0.420    | 0.245    | 71.43  |
| 12 | 08005 | 裕興科技     | 0.570    | 0.335    | 70.15  |
| 13 | 08165 | 華普智通     | 0.209    | 0.131    | 59.54  |
| 14 | 08052 | 利亞零售     | 3.190    | 2.030    | 57.14  |
| 15 | 08137 | 洪橋集團     | 3.240    | 2.100    | 54.29  |
| 16 | 08208 | 常茂生物化學工程 | 1.200    | 0.780    | 53.85  |
| 17 | 08351 | 永恒國際股份   | 0.475    | 0.315    | 50.79  |
| 18 | 08022 | 彩娛集團     | 0.435    | 0.290    | 50.00  |
| 19 | 08136 | 田生集團     | 0.880    | 0.590    | 49.15  |
| 20 | 08021 | 匯隆控股     | 0.155    | 0.111    | 39.64  |

A 經調整

# 創業板 股份

## 20種跌幅最大之創業板股份

2010年第3季

| 順次 | 股份代號  | 股份           | 收市價      |          | 跌幅     |
|----|-------|--------------|----------|----------|--------|
|    |       |              | 2010年9月底 | 2010年6月底 |        |
| 1  | 08109 | 科瑞控股         | 2.200    | 5.800 A  | -62.07 |
| 2  | 08079 | 變靚D控股        | 0.200    | 0.490 A  | -59.18 |
| 3  | 08130 | 杏林醫療信息       | 0.213    | 0.490 A  | -56.53 |
| 4  | 08202 | 匯創控股         | 0.099    | 0.224    | -55.80 |
| 5  | 08166 | 中國農業生態       | 0.250    | 0.540    | -53.70 |
| 6  | 08171 | 中國趨勢         | 0.035    | 0.074    | -52.70 |
| 7  | 08116 | 中國公共醫療       | 0.090    | 0.184    | -51.09 |
| 8  | 08212 | 問博控股         | 0.103    | 0.207    | -50.24 |
| 9  | 08203 | 凱順能源         | 0.510    | 1.000    | -49.00 |
| 10 | 08317 | 財華社集團        | 0.570    | 0.990    | -42.42 |
| 11 | 08163 | 中建資源         | 0.085    | 0.144    | -40.97 |
| 12 | 08017 | 百齡國際         | 0.137    | 0.205    | -33.17 |
| 13 | 08200 | 修身堂          | 0.128    | 0.183    | -30.05 |
| 14 | 08092 | ITE HOLDINGS | 0.125    | 0.178    | -29.78 |
| 15 | 08220 | 比高集團         | 0.227    | 0.320    | -29.06 |
| 16 | 08009 | 菱控有限公司       | 0.151    | 0.210    | -28.10 |
| 17 | 08296 | 中國生命集團       | 0.830    | 1.130    | -26.55 |
| 18 | 08337 | 直通電訊         | 0.175    | 0.238    | -26.47 |
| 19 | 08156 | 眾彩股份         | 0.170    | 0.222    | -23.42 |
| 20 | 08256 | 金利通          | 0.158    | 0.206    | -23.30 |

A 經調整



# 創業板 股份

## 20種成交最活躍創業板股份(金額)

2010年第3季

| 順次 | 股份代號  | 股份     | 成交金額(百萬港元) | 佔市場百分比 |
|----|-------|--------|------------|--------|
| 1  | 08032 | 快意節能   | 2,946.54   | 12.13  |
| 2  | 08277 | 物美商業   | 1,113.10   | 4.58   |
| 3  | 08137 | 洪橋集團   | 1,038.34   | 4.27   |
| 4  | 08167 | 中國新電信  | 1,033.97   | 4.26   |
| 5  | 08136 | 田生集團   | 971.84     | 4.00   |
| 6  | 08046 | 恒芯中國   | 929.97     | 3.83   |
| 7  | 08009 | 菱控有限公司 | 891.61     | 3.67   |
| 8  | 08082 | 訊通控股   | 695.35     | 2.86   |
| 9  | 08116 | 中國公共醫療 | 597.21     | 2.46   |
| 10 | 08008 | 新意網集團  | 564.71     | 2.32   |
| 11 | 08071 | 中國金屬資源 | 494.73     | 2.04   |
| 12 | 08199 | 威高股份   | 444.78     | 1.83   |
| 13 | 08203 | 凱順能源   | 429.67     | 1.77   |
| 14 | 08182 | 環能國際   | 392.09     | 1.61   |
| 15 | 08103 | 泰盛國際控股 | 391.82     | 1.61   |
| 16 | 08081 | 駿科網絡訊息 | 375.88     | 1.55   |
| 17 | 08058 | 羅欣藥業   | 365.77     | 1.51   |
| 18 | 08171 | 中國趨勢   | 352.48     | 1.45   |
| 19 | 08173 | 寰亞礦業   | 338.28     | 1.39   |
| 20 | 08083 | 矽感科技   | 333.92     | 1.37   |
| 總額 |       |        | 14,702.04  | 60.51  |

# 創業板 股份

## 20種成交最活躍創業板股份(股數)

2010年第3季

| 順次 | 股份代號  | 股份     | 成交股數(百萬股) | 佔市場百分比 |
|----|-------|--------|-----------|--------|
| 1  | 08171 | 中國趨勢   | 8,326.25  | 9.39   |
| 2  | 08167 | 中國新電信  | 6,741.87  | 7.60   |
| 3  | 08130 | 杏林醫療信息 | 5,251.01  | 5.92   |
| 4  | 08116 | 中國公共醫療 | 4,714.24  | 5.32   |
| 5  | 08299 | 大唐潼金   | 4,187.32  | 4.72   |
| 6  | 08173 | 寰亞礦業   | 4,113.19  | 4.64   |
| 7  | 08009 | 菱控有限公司 | 3,906.14  | 4.41   |
| 8  | 08032 | 快意節能   | 3,891.49  | 4.39   |
| 9  | 08082 | 訊通控股   | 3,827.01  | 4.32   |
| 10 | 08228 | 國藝控股   | 2,949.34  | 3.33   |
| 11 | 08071 | 中國金屬資源 | 2,678.23  | 3.02   |
| 12 | 08079 | 變靚D控股  | 2,224.16  | 2.51   |
| 13 | 08212 | 問博控股   | 2,094.83  | 2.36   |
| 14 | 08080 | 北亞策略   | 1,655.20  | 1.87   |
| 15 | 08081 | 駿科網絡訊息 | 1,399.52  | 1.58   |
| 16 | 08103 | 泰盛國際控股 | 1,392.30  | 1.57   |
| 17 | 08136 | 田生集團   | 1,275.54  | 1.44   |
| 18 | 08046 | 恒芯中國   | 1,188.78  | 1.34   |
| 19 | 08129 | 中國生物資源 | 1,082.77  | 1.22   |
| 20 | 08220 | 比高集團   | 1,074.95  | 1.21   |
| 總數 |       |        | 63,974.13 | 72.15  |

# 創業板 股份

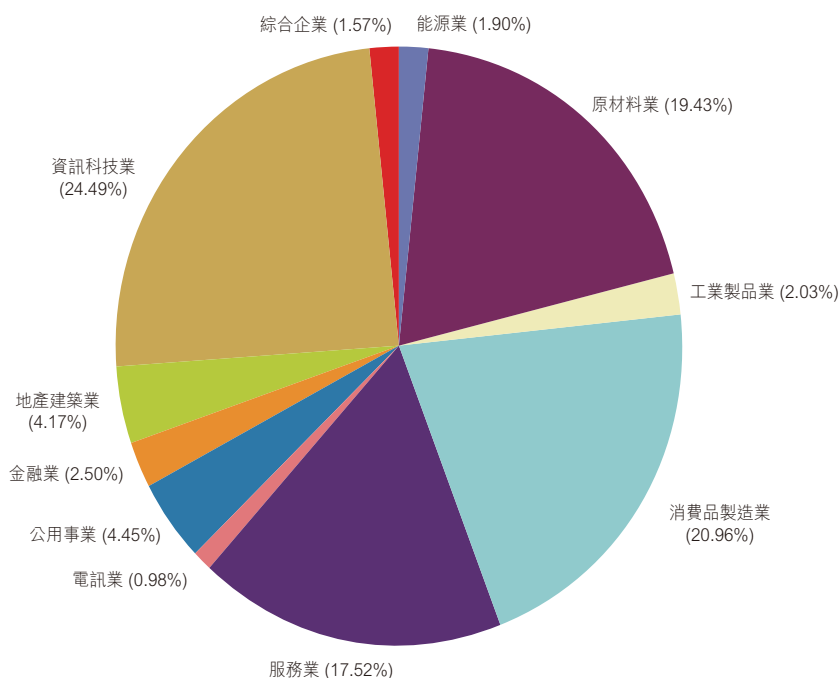
## 創業板公司市價總值

截至季末

|      |     | 百萬港元       |
|------|-----|------------|
| 2009 | 第3季 | 86,891.48  |
|      | 第4季 | 105,036.43 |
| 2010 | 第1季 | 134,742.28 |
|      | 第2季 | 130,902.34 |
|      | 第3季 | 129,859.18 |

## 恒生行業分類系統之證券市價總值\*－創業板

季末數字



\* 行業分類由恒生指數有限公司提供。

# 創業板 股份

## 50間市價總值最大之上市公司－創業板

截至2010年第3季季末

| 順次          | 股份代號  | 公司                 | 市價總值(百萬港元)        | 佔市場總值(%)      |
|-------------|-------|--------------------|-------------------|---------------|
| 1           | 08137 | 洪橋集團有限公司           | 19,808.98         | 15.25         |
| 2           | 08032 | 快意節能有限公司           | 13,153.51         | 10.13         |
| 3           | 08277 | 北京物美商業集團股份有限公司-H股  | 9,958.70          | 7.67          |
| 4           | 08008 | 新意網集團有限公司          | 4,570.84          | 3.52          |
| 5           | 08035 | 濱海投資有限公司           | 3,715.54          | 2.86          |
| 6           | 08136 | 田生集團有限公司           | 2,577.08          | 1.98          |
| 7           | 08155 | 南華置地有限公司           | 2,403.38          | 1.85          |
| 8           | 08052 | 利亞零售有限公司           | 2,333.61          | 1.80          |
| 9           | 08192 | 環球能源資源國際集團有限公司     | 2,291.80          | 1.76          |
| 10          | 08167 | 中國新電信集團有限公司        | 2,159.95          | 1.66          |
| 11          | 08080 | 北亞策略控股有限公司         | 2,039.51          | 1.57          |
| 12          | 08206 | 神通電信服務有限公司         | 1,983.20          | 1.53          |
| 13          | 08161 | 華彩控股有限公司           | 1,961.57          | 1.51          |
| 14          | 08058 | 山東羅欣藥業股份有限公司-H股    | 1,744.34          | 1.34          |
| 15          | 08158 | 中國生物醫學再生科技有限公司     | 1,476.29          | 1.14          |
| 16          | 08046 | 恒芯中國控股有限公司         | 1,429.81          | 1.10          |
| 17          | 08096 | 博軟(控股)有限公司         | 1,391.93          | 1.07          |
| 18          | 08066 | 品創控股有限公司           | 1,388.20          | 1.07          |
| 19          | 08190 | 康佰控股有限公司           | 1,300.53          | 1.00          |
| 20          | 08070 | 中裕燃氣控股有限公司         | 1,283.10          | 0.99          |
| 21          | 08123 | 首華財經網絡集團有限公司       | 1,263.56          | 0.97          |
| 22          | 08071 | 中國金屬資源控股有限公司       | 1,202.13          | 0.93          |
| 23          | 08025 | 亞洲資產(控股)有限公司       | 1,200.99          | 0.92          |
| 24          | 08279 | 亞博科技控股有限公司         | 1,168.20          | 0.90          |
| 25          | 08203 | 凱順能源集團有限公司         | 1,078.34          | 0.83          |
| 26          | 08182 | 環能國際控股有限公司         | 1,057.90          | 0.81          |
| 27          | 08116 | 中國公共醫療(控股)有限公司     | 1,014.52          | 0.78          |
| 28          | 08306 | 中國有色金屬有限公司         | 1,002.56          | 0.77          |
| 29          | 08083 | 矽感科技控股有限公司         | 950.60            | 0.73          |
| 30          | 08299 | 大唐滄金控股有限公司         | 941.39            | 0.72          |
| 31          | 08005 | 裕興科技控股有限公司         | 929.33            | 0.72          |
| 32          | 08287 | 南京三寶科技股份有限公司-H股    | 881.28            | 0.68          |
| 33          | 08175 | 中國數碼版權(集團)有限公司     | 870.03            | 0.67          |
| 34          | 08128 | 中國地能有限公司           | 859.50            | 0.66          |
| 35          | 08153 | 科地農業控股有限公司         | 841.28            | 0.65          |
| 36          | 08325 | 奧思知集團控股有限公司        | 780.00            | 0.60          |
| 37          | 08290 | 天津天聯公用事業股份有限公司-H股  | 775.09            | 0.60          |
| 38          | 08271 | 環球數碼創意控股有限公司       | 738.29            | 0.57          |
| 39          | 08102 | 上海復旦微電子股份有限公司-H股   | 724.57            | 0.56          |
| 40          | 08029 | 太陽國際集團有限公司         | 713.79            | 0.55          |
| 41          | 08259 | 烟台北方安德利果汁股份有限公司-H股 | 712.87            | 0.55          |
| 42          | 08220 | 比高集團控股有限公司         | 697.02            | 0.54          |
| 43          | 08009 | 菱控有限公司             | 688.97            | 0.53          |
| 44          | 08272 | 進能國際有限公司           | 672.56            | 0.52          |
| 45          | 08196 | 深圳市元征科技股份有限公司-H股   | 640.22            | 0.49          |
| 46          | 08061 | 光亞有限公司             | 638.14            | 0.49          |
| 47          | 08296 | 中國生命集團有限公司         | 616.28            | 0.47          |
| 48          | 08166 | 中國農業生態有限公司         | 606.15            | 0.47          |
| 49          | 08173 | 寰亞礦業有限公司           | 592.92            | 0.46          |
| 50          | 08076 | 新利軟件(集團)股份有限公司     | 580.96            | 0.45          |
| <b>總額</b>   |       |                    | <b>104,411.34</b> | <b>80.40</b>  |
| <b>市場總值</b> |       |                    | <b>129,859.18</b> | <b>100.00</b> |

# 創業板 股份

## 新上市統計－創業板公司

|      |     | 新上市公司數目 | 集資金額(百萬港元) |
|------|-----|---------|------------|
| 2009 | 第3季 | 2       | 158.70     |
|      | 第4季 | 3       | 197.50     |
| 2010 | 第1季 | —       | —          |
|      | 第2季 | 2       | 146.25     |
|      | 第3季 | 2       | 78.24      |

## 創業板新上市公司

2010年第3季

| 股份代號      | 公司名稱         | 上市日期       | 已發行股數       | 集資金額<br>(百萬港元) |
|-----------|--------------|------------|-------------|----------------|
| 08356     | 進業控股有限公司     | 2010/08/30 | 99,200,000  | 31.74          |
| 08321     | 中國汽車內飾集團有限公司 | 2010/09/29 | 200,000,000 | 46.50          |
| <b>總額</b> |              |            |             | <b>78.24</b>   |

## 除牌上市公司－創業板

2010年第3季

| 股份代號  | 公司名稱                    | 最後買賣日期     | 除牌日期         |
|-------|-------------------------|------------|--------------|
| 08069 | 北京同仁堂科技發展股份有限公司－H股*     | 2010/07/08 | 2010/07/09 § |
| 08285 | 研祥智能科技股份有限公司－H股*        | 2010/07/09 | 2010/07/12 § |
| 08199 | 山東威高集團醫用高分子製品股份有限公司－H股* | 2010/07/28 | 2010/07/29 § |
| 08118 | 國際娛樂有限公司*               | 2010/09/24 | 2010/09/27 § |
| 08230 | 深圳市東江環保股份有限公司－H股*       | 2010/09/27 | 2010/09/28 § |

\* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

## 創業板公司名稱更改

2010年第3季

| 股份代號  | 原有名稱        | 新名稱            | 生效日期       | 採用日期       |
|-------|-------------|----------------|------------|------------|
| 08192 | 環球集團控股有限公司  | 環球能源資源國際集團有限公司 | 2010/05/25 | 2010/07/02 |
| 08066 | 鎔聯科技集團有限公司  | 品創控股有限公司       | 2010/06/10 | 2010/08/02 |
| 08190 | 金望控股有限公司    | 康佰控股有限公司       | 2010/06/28 | 2010/08/05 |
| 08172 | 中國星電影集團有限公司 | 嘉匯投資控股有限公司     | 2010/07/22 | 2010/08/26 |
| 08220 | 帝通國際有限公司    | 比高集團控股有限公司     | 2010/08/06 | 2010/09/08 |

# 創業板 股份

## 創業板紅股／紅利認股權證

2010年第3季

| 股份代號 | 公司 | 詳情 | 除淨日 |
|------|----|----|-----|
| NIL  |    |    |     |

## 創業板股份拆細及合併

2010年第3季

| 股份代號  | 公司           | 詳情       | 生效日期       |
|-------|--------------|----------|------------|
| 08109 | 科瑞控股有限公司     | 50股合併為1股 | 2010/07/30 |
| 08130 | 杏林醫療信息科技有限公司 | 10股合併為1股 | 2010/08/09 |
| 08079 | 變靚D控股有限公司    | 10股合併為1股 | 2010/09/09 |
| 08078 | 龍彩娛樂集團有限公司   | 1股分拆為2股  | 2010/09/21 |
| 08228 | 國藝控股有限公司     | 10股合併為1股 | 2010/09/30 |

## 創業板供股／公開售股

2010年第3季

| 月份        | 股份代號  | 公司名稱     | 比率              | 集資金額<br>(百萬港元) |
|-----------|-------|----------|-----------------|----------------|
| 9月        | 08003 | 世大控股有限公司 | 股權1供1，每股供0.10港元 | 48.63          |
| <b>總額</b> |       |          |                 | <b>48.63</b>   |



# 創業板 股份

## 股份配售\*

| 月份          | 股份代號  | 公司名稱   | 配售股份數目        | 配售價<br>(港元) | 集資金額<br>(百萬港元) |
|-------------|-------|--------|---------------|-------------|----------------|
| <b>2010</b> |       |        |               |             |                |
| 6月**        | 08068 | 新宇國際   | 150,000,000   | 0.2120      | 31.80          |
| 7月          | 08109 | 科瑞控股   | 8,316,000,000 | 0.0058      | 48.23          |
|             | 08060 | 國聯通信   | 155,000,000   | 0.2300      | 35.65          |
|             | 08082 | 訊通控股   | 195,120,000   | 0.0620      | 12.10          |
|             | 08202 | 匯創控股   | 148,000,000   | 0.1860      | 27.53          |
|             | 08017 | 百齡國際   | 121,000,000   | 0.2000      | 24.20          |
|             | 08103 | 泰盛國際控股 | 100,000,000   | 0.2650      | 26.50          |
| 8月          | 08212 | 問博控股   | 74,100,000    | 0.1700      | 12.60          |
|             | 08078 | 龍彩娛樂   | 70,398,000    | 0.3800      | 26.75          |
|             | 08086 | 易寶     | 400,000,000   | 0.0500      | 20.00          |
|             | 08019 | 皓文控股   | 146,590,009   | 0.1000      | 14.66          |
|             | 08037 | 朗力福集團  | 192,000,000   | 0.2700      | 51.84          |
|             | 08100 | 聯夢活力世界 | 218,000,000   | 0.1000      | 21.80          |
|             | 08045 | 南大蘇富特  | 187,000,000   | 0.3550      | 66.39          |
|             | 08111 | 一創科技   | 108,600,000   | 0.3250      | 35.30          |
|             | 08130 | 杏林醫療信息 | 189,850,000   | 0.2050      | 38.92          |
| 9月          | 08079 | 變靚D控股  | 71,000,000    | 0.2000      | 14.20          |
|             | 08175 | 中國數碼版權 | 103,500,000   | 0.2730      | 28.26          |
|             | 08250 | 中國天然投資 | 243,000,000   | 0.2200      | 53.46          |
|             | 08071 | 中國金屬資源 | 588,405,000   | 0.1430      | 84.14          |
|             | 08081 | 駿科網絡訊息 | 100,000,000   | 0.2000      | 20.00          |
|             | 08046 | 恒芯中國   | 200,000,000   | 0.7500      | 150.00         |
|             | 08256 | 金利通    | 2,500,000     | 0.1700      | 0.43           |
|             | 08022 | 彩娛集團   | 66,000,000    | 0.3000      | 19.80          |
| <b>總額</b>   |       |        |               |             | <b>864.54</b>  |

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

\*\* 前季度之補充資料。

# 創業板 認股權證

## 認股權證交易及市價總值統計－創業板

|      |     | 數目 | 成交金額(百萬港元) | 市價總值(百萬港元) |
|------|-----|----|------------|------------|
| 2009 | 第3季 | 1  | 0.04       | 5.97       |
|      | 第4季 | 1  | 0.04       | 1.49       |
| 2010 | 第1季 | 1  | 0.00       | 1.49       |
|      | 第2季 | 1  | 0.04       | 1.49       |
|      | 第3季 | 1  | 8.43       | 35.85      |

## 創業板新上市股份認股權證

2010年第3季

| 股份代號      | 股份認股權證                  | 上市時之發行金額(港元)  | 上市日期       | 到期日        | 集資金額(百萬港元)  |
|-----------|-------------------------|---------------|------------|------------|-------------|
| 08343     | 中國有色金屬有限公司<br>2012年認股權證 | 717,000,000單位 | 2010/08/02 | 2012/08/01 | 7.17        |
| <b>總額</b> |                         |               |            |            | <b>7.17</b> |

# 股票市場指數

## 恒生指數



## 標準普爾／香港交易所大型股指數



## 標準普爾／香港交易所創業板指數



# 股票市場指數

## 香港股市指數

| 指數              | 2010年9月底<br>指數 | 2010年6月底<br>收市 | 升跌      |
|-----------------|----------------|----------------|---------|
| 標準普爾／香港交易所大型股指數 | 26266.05       | 23718.78       | +10.74% |
| 標準普爾／香港交易所創業板指數 | 808.93         | 770.10         | + 5.04% |
| 恒生指數            | 22358.17       | 20128.99       | +11.07% |
| 分類指數            |                |                |         |
| 金融              | 32546.07       | 30323.54       | + 7.33% |
| 公用事業            | 42611.42       | 41134.54       | + 3.59% |
| 地產              | 31198.48       | 25459.32       | +22.54% |
| 工商業             | 12368.77       | 10879.25       | +13.69% |
| 恒生香港中資企業指數      | 4207.65        | 3818.46        | +10.19% |
| 恒生中國企業指數        | 12406.10       | 11466.24       | + 8.20% |

# 股票市場指數

## 世界指數

| 指數              | 2010年9月底<br>收市 | 2010年6月底<br>收市 | 升跌       |
|-----------------|----------------|----------------|----------|
| 香港              |                |                |          |
| 標準普爾／香港交易所大型股指數 | 26,266.05      | 23,718.78      | + 10.74% |
| 恒生指數            | 22,358.17      | 20,128.99      | + 11.07% |
| 澳洲              |                |                |          |
| 普通股指數           | 4,636.86       | 4,324.77       | + 7.22%  |
| 雅加達             |                |                |          |
| 綜合指數            | 3,501.30       | 2,913.68       | + 20.17% |
| 日本              |                |                |          |
| 日經指數(225)       | 9,369.35       | 9,382.64       | - 0.14%  |
| 韓國              |                |                |          |
| 綜合指數            | 1,872.81       | 1,698.29       | + 10.28% |
| 吉隆坡             |                |                |          |
| 綜合指數            | 1,463.50       | 1,314.02       | + 11.38% |
| 馬尼拉             |                |                |          |
| 綜合指數            | 4,100.07       | 3,372.71       | + 21.57% |
| 紐西蘭             |                |                |          |
| 證交所指數(50)       | 3,178.09       | 2,972.09       | + 6.93%  |
| 上海              |                |                |          |
| A股指數            | 2,782.02       | 2,514.16       | + 10.65% |
| B股指數            | 262.77         | 208.93         | + 25.77% |
| 深圳              |                |                |          |
| A股指數            | 1,223.25       | 990.43         | + 23.51% |
| B股指數            | 761.61         | 565.16         | + 34.76% |
| 新加坡             |                |                |          |
| FTSE海峽時報指數      | 3,097.63       | 2,835.51       | + 9.24%  |
| 台灣              |                |                |          |
| 台北加權股份指數        | 8,237.78       | 7,329.37       | + 12.39% |
| 泰國              |                |                |          |
| 證交所指數           | 975.30         | 797.31         | + 22.32% |
| 紐約              |                |                |          |
| 杜瓊斯工業平均指數       | 10,788.05      | 9,774.02       | + 10.37% |
| Nasdaq綜合指數      | 2,368.62       | 2,109.24       | + 12.30% |
| 多倫多             |                |                |          |
| S&P/TSX綜合指數     | 12,368.65      | 11,294.42      | + 9.51%  |
| 德國              |                |                |          |
| 法蘭克福指數          | 3,652.00       | 3,497.52       | + 4.42%  |
| 倫敦              |                |                |          |
| 富時指數(100)       | 5,548.60       | 4,916.90       | + 12.85% |

# 衍生產品市場 期貨及期權

## 合約成交量

| 衍生產品                    | 合約張數              |                   |
|-------------------------|-------------------|-------------------|
|                         | 2010年第3季          | 2009年第3季          |
| 恒生指數期貨                  | 5,027,573         | 5,430,706         |
| 恒生指數期權                  | 2,035,171         | 1,409,767         |
| 小型恒生指數期貨                | 1,948,828         | 2,476,657         |
| 小型恒生指數期權                | 121,268           | 69,660            |
| H股指數期貨                  | 2,998,397         | 3,075,581         |
| H股指數期權                  | 644,820           | 420,012           |
| 小型H股指數期貨                | 229,747           | 237,666           |
| 自訂條款恒生指數期權 <sup>1</sup> | 7,512             | –                 |
| 自訂條款H股指數期權 <sup>2</sup> | 1,548             | –                 |
| 股票期貨                    | 45,941            | 77,610            |
| 股票期權                    | 13,877,094        | 10,892,511        |
| 三個月港元利率期貨               | 99                | 292               |
| 一個月港元利率期貨               | 14                | 35                |
| 三年期外匯基金債券期貨             | –                 | –                 |
| 黃金期貨                    | 1,094             | 1,124             |
| <b>總數</b>               | <b>26,939,106</b> | <b>24,091,621</b> |

<sup>1</sup> 自訂條款恒生指數期權由2010年2月08日開始買賣

<sup>2</sup> 自訂條款H股指數期權由2010年2月08日開始買賣

## 季末未平倉合約

| 衍生產品                    | 合約張數             |                  |
|-------------------------|------------------|------------------|
|                         | 2010年第3季         | 2009年第3季         |
| 恒生指數期貨                  | 113,153          | 83,150           |
| 恒生指數期權                  | 235,934          | 222,382          |
| 小型恒生指數期貨                | 7,181            | 4,164            |
| 小型恒生指數期權                | 5,757            | 2,789            |
| H股指數期貨                  | 114,171          | 80,516           |
| H股指數期權                  | 150,634          | 190,047          |
| 小型H股指數期貨                | 1,072            | 844              |
| 自訂條款恒生指數期權 <sup>1</sup> | 606              | –                |
| 自訂條款H股指數期權 <sup>2</sup> | 516              | –                |
| 股票期貨                    | 6,887            | 4,389            |
| 股票期權                    | 5,090,926        | 3,663,846        |
| 三個月港元利率期貨               | 130              | 169              |
| 一個月港元利率期貨               | 8                | 20               |
| 三年期外匯基金債券期貨             | –                | –                |
| 黃金期貨                    | 92               | 30               |
| <b>總數</b>               | <b>5,727,067</b> | <b>4,252,346</b> |

<sup>1</sup> 自訂條款恒生指數期權由2010年2月08日開始買賣

<sup>2</sup> 自訂條款H股指數期權由2010年2月08日開始買賣



# 衍生產品市場 期貨及期權

## 恒生指數期貨－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第3季 | 5,430,706 | 83,150  |
|       | 第4季 | 4,675,012 | 68,456  |
| 2010年 | 第1季 | 5,132,388 | 73,577  |
|       | 第2季 | 5,031,763 | 77,070  |
|       | 第3季 | 5,027,573 | 113,153 |

## 小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第3季 | 2,476,657 | 4,164   |
|       | 第4季 | 2,117,954 | 4,447   |
| 2010年 | 第1季 | 2,116,913 | 4,956   |
|       | 第2季 | 2,084,704 | 7,602   |
|       | 第3季 | 1,948,828 | 7,181   |

## 恒生指數期權－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第3季 | 1,409,767 | 222,382 |
|       | 第4季 | 1,618,224 | 175,720 |
| 2010年 | 第1季 | 1,813,584 | 208,189 |
|       | 第2季 | 1,935,115 | 202,453 |
|       | 第3季 | 2,035,171 | 235,934 |

## H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

|       |     | 總合約成交量    | 季末未平倉合約 |
|-------|-----|-----------|---------|
| 2009年 | 第3季 | 3,075,581 | 80,516  |
|       | 第4季 | 2,655,350 | 74,324  |
| 2010年 | 第1季 | 2,965,655 | 87,444  |
|       | 第2季 | 3,223,123 | 89,089  |
|       | 第3季 | 2,998,397 | 114,171 |

## 股票期權市場成交及未平倉合約(合約張數)

|       |     | 總期權金額<br>(百萬港元) | 總合約成交量     | 季末未平倉合約   |
|-------|-----|-----------------|------------|-----------|
| 2009年 | 第3季 | 14,205.33       | 10,892,511 | 3,663,846 |
|       | 第4季 | 14,852.98       | 11,611,195 | 3,848,117 |
| 2010年 | 第1季 | 12,951.69       | 12,540,453 | 4,352,404 |
|       | 第2季 | 12,614.00       | 14,262,472 | 5,163,995 |
|       | 第3季 | 11,967.70       | 13,877,094 | 5,090,926 |

# 衍生產品市場 期貨及期權

## 各期權類別之期權金總額

2010年第3季

| 期權類別      |     | 期權金額(百萬港元)       | 佔市場百分比         |
|-----------|-----|------------------|----------------|
| 安碩A50中國   | A50 | 293.06           | 2.45%          |
| 農業銀行      | ABC | 162.07           | 1.35%          |
| 中國鋁業      | ALC | 53.85            | 0.45%          |
| 中國銀行      | BCL | 227.79           | 1.90%          |
| 交通銀行      | BCM | 59.60            | 0.50%          |
| 東亞銀行      | BEA | 8.49             | 0.07%          |
| 中銀香港      | BOC | 71.85            | 0.60%          |
| 比亞迪股份     | BYD | 428.04           | 3.58%          |
| 建設銀行      | CCB | 527.32           | 4.41%          |
| 中國交通建設    | CCC | 39.04            | 0.33%          |
| 中國中煤能源    | CCE | 36.75            | 0.31%          |
| 中國遠洋      | CCS | 19.77            | 0.17%          |
| 中國移動      | CHT | 1,797.04         | 15.02%         |
| 中國聯通      | CHU | 51.02            | 0.43%          |
| 中信泰富      | CIT | 21.92            | 0.18%          |
| 長江實業      | CKH | 415.44           | 3.47%          |
| 中國人壽      | CLI | 1,325.29         | 11.07%         |
| 中電控股      | CLP | 47.07            | 0.39%          |
| 招商銀行      | CMB | 102.06           | 0.85%          |
| 中國海洋石油    | CNC | 429.33           | 3.59%          |
| 中國海外發展    | COL | 54.06            | 0.45%          |
| 國泰航空      | CPA | 14.67            | 0.12%          |
| 中國石油化工股份  | CPC | 107.56           | 0.90%          |
| 中國鐵建      | CRC | 6.52             | 0.05%          |
| 中國中鐵      | CRG | 12.41            | 0.10%          |
| 標智滬深300   | CS3 | 5.43             | 0.05%          |
| 中國神華      | CSE | 98.83            | 0.83%          |
| 中信銀行      | CTB | 12.52            | 0.10%          |
| 中國電信      | CTC | 61.66            | 0.52%          |
| 思捷環球      | ESP | 18.93            | 0.16%          |
| 富士康國際     | FIH | 8.18             | 0.07%          |
| 香港電燈      | HEH | 17.35            | 0.14%          |
| 香港交易所     | HEX | 359.89           | 3.01%          |
| 匯豐控股      | HKB | 2,091.90         | 17.48%         |
| 香港中華煤氣    | HKG | 15.34            | 0.13%          |
| 恒基地產      | HLD | 39.53            | 0.33%          |
| 華能國際電力股份  | HNP | 14.19            | 0.12%          |
| 恒生銀行      | HSB | 209.76           | 1.75%          |
| 和記黃埔      | HWL | 734.64           | 6.14%          |
| 工商銀行      | ICB | 487.39           | 4.07%          |
| 江西銅業      | JXC | 34.04            | 0.28%          |
| 利豐        | LIF | 45.26            | 0.38%          |
| 地鐵公司      | MTR | 6.66             | 0.06%          |
| 新世界發展     | NWD | 14.56            | 0.12%          |
| 中國平安      | PAI | 176.76           | 1.48%          |
| 中國石油股份    | PEC | 407.11           | 3.40%          |
| 中國人民財產保險  | PIC | 49.97            | 0.42%          |
| 富力地產      | RFP | 9.88             | 0.08%          |
| 新鴻基地產     | SHK | 369.58           | 3.09%          |
| 太古股份公司A   | SWA | 38.11            | 0.32%          |
| 騰訊控股      | TCH | 268.01           | 2.24%          |
| 盈富基金      | TRF | 5.78             | 0.05%          |
| 九龍倉集團     | WHL | 24.27            | 0.20%          |
| 紫金礦業      | ZJM | 30.14            | 0.25%          |
| <b>總額</b> |     | <b>11,967.70</b> | <b>100.00%</b> |

# 衍生產品市場 期貨及期權

## 各期權類別之合約成交量

2010年第3季

| 期權類別      |     | 合約成交量             | 佔市場百分比         |
|-----------|-----|-------------------|----------------|
| 安碩A50中國   | A50 | 105,879           | 0.76%          |
| 農業銀行      | ABC | 111,787           | 0.81%          |
| 中國鋁業      | ALC | 66,685            | 0.48%          |
| 中國銀行      | BCL | 982,698           | 7.08%          |
| 交通銀行      | BCM | 193,350           | 1.39%          |
| 東亞銀行      | BEA | 59,519            | 0.43%          |
| 中銀香港      | BOC | 197,770           | 1.43%          |
| 比亞迪股份     | BYD | 165,195           | 1.19%          |
| 建設銀行      | CCB | 1,478,636         | 10.66%         |
| 中國交通建設    | CCC | 138,074           | 0.99%          |
| 中國中煤能源    | CCE | 63,540            | 0.46%          |
| 中國遠洋      | CCS | 89,911            | 0.65%          |
| 中國移動      | CHT | 1,079,775         | 7.78%          |
| 中國聯通      | CHU | 54,929            | 0.40%          |
| 中信泰富      | CIT | 26,352            | 0.19%          |
| 長江實業      | CKH | 166,619           | 1.20%          |
| 中國人壽      | CLI | 1,054,033         | 7.60%          |
| 中電控股      | CLP | 125,411           | 0.90%          |
| 招商銀行      | CMB | 253,606           | 1.83%          |
| 中國海洋石油    | CNC | 453,366           | 3.27%          |
| 中國海外發展    | COL | 46,120            | 0.33%          |
| 國泰航空      | CPA | 20,830            | 0.15%          |
| 中國石油化工股份  | CPC | 276,095           | 1.99%          |
| 中國鐵建      | CRC | 31,321            | 0.23%          |
| 中國中鐵      | CRG | 44,318            | 0.32%          |
| 標智滬深300   | CS3 | 5,149             | 0.04%          |
| 中國神華      | CSE | 182,301           | 1.31%          |
| 中信銀行      | CTB | 59,913            | 0.43%          |
| 中國電信      | CTC | 81,759            | 0.59%          |
| 思捷環球      | ESP | 112,113           | 0.81%          |
| 富士康國際     | FIH | 27,995            | 0.20%          |
| 香港電燈      | HEH | 44,758            | 0.32%          |
| 香港交易所     | HEX | 883,670           | 6.37%          |
| 匯豐控股      | HKB | 1,717,121         | 12.37%         |
| 香港中華煤氣    | HKG | 28,637            | 0.21%          |
| 恒基地產      | HLD | 21,110            | 0.15%          |
| 華能國際電力股份  | HNP | 41,798            | 0.30%          |
| 恒生銀行      | HSB | 186,007           | 1.34%          |
| 和記黃埔      | HWL | 406,981           | 2.93%          |
| 工商銀行      | ICB | 1,285,197         | 9.26%          |
| 江西銅業      | JXC | 51,142            | 0.37%          |
| 利豐        | LIF | 16,385            | 0.12%          |
| 地鐵公司      | MTR | 28,405            | 0.20%          |
| 新世界發展     | NWD | 15,900            | 0.11%          |
| 中國平安      | PAI | 126,518           | 0.91%          |
| 中國石油股份    | PEC | 383,097           | 2.76%          |
| 中國人民財產保險  | PIC | 48,523            | 0.35%          |
| 富力地產      | RFP | 32,869            | 0.24%          |
| 新鴻基地產     | SHK | 132,753           | 0.96%          |
| 太古股份公司A   | SWA | 37,971            | 0.27%          |
| 騰訊控股      | TCH | 548,148           | 3.95%          |
| 盈富基金      | TRF | 16,863            | 0.12%          |
| 九龍倉集團     | WHL | 17,165            | 0.12%          |
| 紫金礦業      | ZJM | 51,027            | 0.37%          |
| <b>總數</b> |     | <b>13,877,094</b> | <b>100.00%</b> |

# 結算及交收

## 經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

| 年/月  | 交收指示的數量 | 股數<br>(10億股) | 數值<br>(10億港元) |        |
|------|---------|--------------|---------------|--------|
| 2009 | 第3季     | 71,468       | 40.37         | 167.89 |
|      | 第4季     | 76,664       | 41.72         | 176.73 |
| 2010 | 第1季     | 75,816       | 42.77         | 170.12 |
|      | 第2季     | 77,887       | 44.35         | 176.97 |
|      | 第3季     | 74,032       | 46.78         | 169.54 |

## 經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

| 年/月  | 投資者交收<br>指示的數量 | 股數<br>(百萬股) | 數值<br>(百萬港元) |        |
|------|----------------|-------------|--------------|--------|
| 2009 | 第3季            | 568         | 132.46       | 318.17 |
|      | 第4季            | 629         | 118.78       | 527.19 |
| 2010 | 第1季            | 542         | 104.15       | 176.12 |
|      | 第2季            | 543         | 129.16       | 252.42 |
|      | 第3季            | 499         | 115.92       | 177.54 |

## 經中央結算系統存管的股份

(按季度結存統計)

| 年/月  | 股數<br>(10億股) | 佔獲納入中央<br>結算系統的證券<br>的已發行股數<br>(%) | 市值<br>(10億港元) | 佔獲納入中央<br>結算系統的證券<br>的市價總值<br>(%) |       |
|------|--------------|------------------------------------|---------------|-----------------------------------|-------|
| 2009 | 第3季          | 3,282.06                           | 71.26         | 8,194.14                          | 47.96 |
|      | 第4季          | 3,286.62                           | 70.93         | 9,245.11                          | 49.19 |
| 2010 | 第1季          | 3,379.40                           | 71.01         | 9,509.70                          | 49.89 |
|      | 第2季          | 3,409.67                           | 71.10         | 8,904.81                          | 48.93 |
|      | 第3季          | 3,538.16                           | 71.67         | 10,442.07                         | 50.28 |

# 結算及交收

## 經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

| 年/月      | 買賣宗數    | 佔總成交宗數 (%) | 股數 (百萬股)   | 佔成交額 (%) | 買賣成交值 (百萬港元) | 佔成交額 (%) |
|----------|---------|------------|------------|----------|--------------|----------|
| 2009 第3季 | 749,546 | 100        | 104,773.19 | 100      | 66,746.40    | 100      |
| 第4季      | 751,643 | 100        | 107,724.27 | 100      | 65,408.35    | 100      |
| 2010 第1季 | 760,565 | 100        | 121,800.63 | 100      | 64,759.92    | 100      |
| 第2季      | 759,995 | 100        | 127,045.87 | 100      | 62,890.79    | 100      |
| 第3季      | 688,441 | 100        | 140,304.39 | 100      | 61,762.38    | 100      |

## 以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

| 年/月      | 以「持續淨額交收」的股份數額宗數 | 淨額結算效率 (%) | 待交收的股數 (百萬股) | 淨額結算效率 (%) |
|----------|------------------|------------|--------------|------------|
| 2009 第3季 | 65,718           | 95.42      | 25,921.00    | 87.47      |
| 第4季      | 68,773           | 95.18      | 25,589.10    | 87.77      |
| 2010 第1季 | 70,369           | 95.15      | 26,008.26    | 89.04      |
| 第2季      | 68,933           | 95.24      | 27,021.58    | 89.16      |
| 第3季      | 65,867           | 95.01      | 24,660.06    | 91.10      |

## 以「持續淨額交收」的股份數額的交收效率(每日平均數)

| 年/月      | 交收效率 (%) |         |
|----------|----------|---------|
|          | 到期交收日    | 到期交收日翌日 |
| 2009 第3季 | 99.86    | 99.99   |
| 第4季      | 99.86    | 99.99   |
| 2010 第1季 | 99.88    | 99.99   |
| 第2季      | 99.87    | 99.99   |
| 第3季      | 99.90    | 99.99   |

# 結算及交收

## 季末未平倉合約

| 衍生產品                    | 合約張數             |                  |
|-------------------------|------------------|------------------|
|                         | 2010年第三季         | 2009年第三季         |
| 恒生指數期貨                  | 113,153          | 83,150           |
| 恒生指數期權                  | 235,934          | 222,382          |
| 小型恒生指數期貨                | 7,181            | 4,164            |
| 小型恒生指數期權                | 5,757            | 2,789            |
| H股指數期貨                  | 114,171          | 80,516           |
| H股指數期權                  | 150,634          | 190,047          |
| 小型H股指數期貨                | 1,072            | 844              |
| 自訂條款恒生指數期權 <sup>1</sup> | 606              | –                |
| 自訂條款H股指數期權 <sup>2</sup> | 516              | –                |
| 股票期貨                    | 6,887            | 4,389            |
| 股票期權                    | 5,090,926        | 3,663,846        |
| 三個月港元利率期貨               | 130              | 169              |
| 一個月港元利率期貨               | 8                | 20               |
| 三年期外匯基金債券期貨             | –                | –                |
| 黃金期貨                    | 92               | 30               |
| <b>總數</b>               | <b>5,727,067</b> | <b>4,252,346</b> |

<sup>1</sup> 自訂條款恒生指數期權由2010年2月08日開始買賣

<sup>2</sup> 自訂條款H股指數期權由2010年2月08日開始買賣



# 結算及交收

## 各期權類別之未平倉合約

2010年第3季末

| 期權類別      |     | 未平倉合約            | 佔市場百分比        |
|-----------|-----|------------------|---------------|
| 安碩A50中國   | A50 | 33,213           | 0.65          |
| 農業銀行      | ABC | 50,529           | 0.99          |
| 中國鋁業      | ALC | 21,473           | 0.42          |
| 中國銀行      | BCL | 435,914          | 8.56          |
| 交通銀行      | BCM | 77,833           | 1.53          |
| 東亞銀行      | BEA | 20,095           | 0.39          |
| 中銀香港      | BOC | 69,939           | 1.37          |
| 比亞迪股份     | BYD | 47,037           | 0.92          |
| 建設銀行      | CCB | 542,159          | 10.65         |
| 中國交通建設    | CCC | 76,974           | 1.51          |
| 中國中煤能源    | CCE | 21,660           | 0.43          |
| 中國遠洋      | CCS | 34,273           | 0.67          |
| 中國移動      | CHT | 294,690          | 5.79          |
| 中國聯通      | CHU | 28,507           | 0.56          |
| 中信泰富      | CIT | 11,254           | 0.22          |
| 長江實業      | CKH | 71,121           | 1.40          |
| 中國人壽      | CLI | 246,878          | 4.85          |
| 中電控股      | CLP | 59,176           | 1.16          |
| 招商銀行      | CMB | 127,264          | 2.50          |
| 中國海洋石油    | CNC | 203,343          | 3.99          |
| 中國海外發展    | COL | 10,044           | 0.20          |
| 國泰航空      | CPA | 7,035            | 0.14          |
| 中國石油化工股份  | CPC | 155,243          | 3.05          |
| 中國鐵建      | CRC | 13,663           | 0.27          |
| 中國中鐵      | CRG | 21,871           | 0.43          |
| 標智滬深300   | CS3 | 1,608            | 0.03          |
| 中國神華      | CSE | 73,546           | 1.44          |
| 中信銀行      | CTB | 34,118           | 0.67          |
| 中國電信      | CTC | 60,336           | 1.19          |
| 思捷環球      | ESP | 34,731           | 0.68          |
| 富士康國際     | FIH | 6,393            | 0.13          |
| 香港電燈      | HEH | 14,212           | 0.28          |
| 香港交易所     | HEX | 326,206          | 6.41          |
| 匯豐控股      | HKB | 492,321          | 9.67          |
| 香港中華煤氣    | HKG | 11,300           | 0.22          |
| 恒基地產      | HLD | 12,915           | 0.25          |
| 華能國際電力股份  | HNP | 21,987           | 0.43          |
| 恒生銀行      | HSB | 87,714           | 1.72          |
| 和記黃埔      | HWL | 104,958          | 2.06          |
| 工商銀行      | ICB | 573,105          | 11.26         |
| 江西銅業      | JXC | 15,115           | 0.30          |
| 利豐        | LIF | 3,758            | 0.07          |
| 地鐵公司      | MTR | 13,268           | 0.26          |
| 新世界發展     | NWD | 6,545            | 0.13          |
| 中國平安      | PAI | 58,616           | 1.15          |
| 中國石油股份    | PEC | 225,480          | 4.43          |
| 中國人民財產保險  | PIC | 16,480           | 0.32          |
| 富力地產      | RFP | 16,480           | 0.32          |
| 新鴻基地產     | SHK | 53,754           | 1.06          |
| 太古股份公司A   | SWA | 8,416            | 0.17          |
| 騰訊控股      | TCH | 101,686          | 2.00          |
| 盈富基金      | TRF | 12,603           | 0.25          |
| 九龍倉集團     | WHL | 4,335            | 0.09          |
| 紫金礦業      | ZJM | 17,752           | 0.35          |
| <b>總數</b> |     | <b>5,090,926</b> | <b>100.00</b> |

# 交易所參與者統計

## 聯交所

|      |     | 交易權<br>持有人數目 | 交易所參與者－<br>開業 | 交易所參與者－<br>非開業 | 非交易所參與者 |
|------|-----|--------------|---------------|----------------|---------|
| 2009 | 第3季 | 528 (941)    | 463 (871)     | 34 (39)        | 31 (31) |
|      | 第4季 | 525 (940)    | 463 (873)     | 32 (37)        | 30 (30) |
| 2010 | 第1季 | 521 (939)    | 468 (883)     | 31 (34)        | 22 (22) |
|      | 第2季 | 523 (946)    | 471 (891)     | 30 (33)        | 22 (22) |
|      | 第3季 | 530 (953)    | 478 (898)     | 30 (33)        | 22 (22) |

( ) 交易權數目

## 期交所

|      |     | 交易權<br>持有人數目 | 交易所參與者－<br>開業 | 交易所參與者－<br>非開業 | 非交易所參與者 |
|------|-----|--------------|---------------|----------------|---------|
| 2009 | 第3季 | 210 (237)    | 165 (190)     | –              | 45 (47) |
|      | 第4季 | 211 (238)    | 167 (192)     | –              | 44 (46) |
| 2010 | 第1季 | 214 (239)    | 171 (194)     | –              | 43 (45) |
|      | 第2季 | 214 (239)    | 171 (194)     | –              | 43 (45) |
|      | 第3季 | 220 (245)    | 177 (200)     | –              | 43 (45) |

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

# 結算所參與者

## 期貨結算所參與者

| 年／月      | 結算參與者 | 全面結算參與者 |
|----------|-------|---------|
| 2009 第3季 | 142   | 9       |
| 第4季      | 144   | 10      |
| 2010 第1季 | 147   | 9       |
| 第2季      | 148   | 9       |
| 第3季      | 152   | 10      |

## 聯交所期權結算所參與者

| 年／月      | 直接結算參與者 | 全面結算參與者 |
|----------|---------|---------|
| 2009 第3季 | 51      | 6       |
| 第4季      | 53      | 6       |
| 2010 第1季 | 54      | 6       |
| 第2季      | 54      | 6       |
| 第3季      | 55      | 6       |

## 中央結算所參與者

| 年／月      | 直接結算參與者 | 全面結算參與者 | 非經紀參與者(註1) |
|----------|---------|---------|------------|
| 2009 第3季 | 453     | 7       | 41         |
| 第4季      | 452     | 7       | 41         |
| 2010 第1季 | 455     | 7       | 41         |
| 第2季      | 455     | 7       | 41         |
| 第3季      | 460     | 7       | 41         |

註1：非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

# 簡釋

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|           |                   |
|-----------|-------------------|
| CBBC      | 可收回牛熊證            |
| CCASS     | 中央結算及交收系統         |
| CNS       | 持續淨額交收            |
| DCASS     | 衍生產品結算及交收系統       |
| DW        | 衍生權證              |
| ELI       | 股票掛鉤票據            |
| ETF       | 交易所買賣基金           |
| GEM       | 創業板               |
| HIBOR     | 香港銀行同業拆息          |
| HKCC      | 香港期貨結算有限公司        |
| HKEx      | 香港交易及結算所有限公司      |
| HKSCC     | 香港中央結算有限公司        |
| HSICS     | 恒生行業分類系統          |
| ISI       | 投資者交易指示           |
| NASDAQ    | 美國全國證券交易商協會自動報價系統 |
| P/E ratio | 市盈率               |
| REITs     | 房地產投資信託基金         |
| S&P       | 標準普爾              |
| SEOCH     | 香港聯合交易所期權結算所有限公司  |
| SI        | 交收指示              |