

證券及衍生產品市場 季報

2011年第三季

市場概況

主板

概況	2011年 第3季	2010年 第3季
上市公司數目	1,301	1,194
上市證券數目	7,010	6,780
股份		
普通股	1,302	1,195
優先股	3	2
認股權證	4,793	4,373
股份	15	25
衍生	4,778	4,348
股票掛鈎票據	0	0
牛熊證	642	974
單位信託及互惠基金	87	76
債券	183	160
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	63	64
總成交金額(百萬港元)*	4,562,366.77	3,928,482.29
總成交股數(百萬股)	10,384,374.95	8,890,661.52
總成交宗數(宗數)	56,970,296	43,414,122
上市公司市價總值(百萬港元)	16,147,694.26	19,557,277.66
平均市盈率(倍)	9.02	15.95
平均週息率(百分率)	3.53	2.41
交易所參與者數目		
— 開業	494	478
— 非開業	34	30
成交金額(百萬港元)		
最高	124,773.84 (05/08)	118,809.60 (08/09)
最低	49,646.96 (09/09)	39,934.89 (05/07)
成交股數(百萬股)		
最高	204,015.18 (23/09)	187,943.48 (16/09)
最低	75,513.21 (09/08)	109,464.46 (27/08)
總成交宗數(宗數)		
最高	1,609,989 (09/08)	925,386 (29/09)
最低	637,895 (09/09)	456,298 (05/07)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2011年 第3季	2010年 第3季
上市公司數目	166	171
上市證券數目	168	172
股份	166	171
認股權證	2	1
交易日數	63	64
總成交金額(百萬港元)*	10,135.84	24,306.35
總成交股數(百萬股)	40,291.94	88,818.93
總成交宗數(宗數)	316,819	647,817
上市公司市價總值(百萬港元)	77,346.08	129,859.18
平均市盈率(倍)	20.23	33.11
平均週息率(百分率)	0.65	0.54
成交金額(百萬港元)		
最高	362.02 (08/08)	700.07 (22/09)
最低	72.06 (12/09)	192.75 (31/08)
成交股數(百萬股)		
最高	2,140.20 (08/08)	3,139.12 (29/07)
最低	367.55 (27/07)	686.07 (20/07)
總成交宗數(宗數)		
最高	8,313 (08/08)	15,859 (29/09)
最低	3,683 (28/09)	5,731 (20/07)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2011年第3季	2010年第3季
所有期貨及期權產品(股票期權除外)		
交易日數	63.0	64.0
總合約成交量	19,166,455	13,062,012
股市指數產品	19,032,203	13,014,864
股票產品	132,733	45,941
利率產品	208	113
黃金期貨	1,311	1,094
平均每日合約成交量	304,229	204,094
季末未平倉合約	1,084,434	636,141
股市指數產品	1,059,563	629,024
股票產品	24,653	6,887
利率產品	86	138
黃金期貨	132	92

股票期權		
新開辦期權類別	–	3
股票期權數目(於季末數目)		
類別	57	54
系列	19,540	10,348
期權金總額(百萬港元)	24,566.72	11,967.70
認購	5,505.40	4,463.54
認沽	19,061.32	7,504.16
平均每日期權金總額(百萬港元)	389.95	187.00
總合約成交量	21,961,666	13,877,094
認購	9,056,823	6,052,065
認沽	12,904,843	7,825,029
平均每日合約成交量	348,598	216,830
季末未平倉合約	8,112,569	5,090,926
認購	3,983,287	1,850,571
認沽	4,129,282	3,240,355
總成交宗數	892,211	539,850
平均每日成交宗數	14,162	8,435
已行使合約總數	2,425,612	1,295,322
每張合約平均期權金(港元)	1,119	862
每宗交易平均合約數目	25	26
認沽數量/認購數量	1.42	1.29
平均每日合約成交量/未平倉合約	4.3%	4.3%

所有期貨及期權產品		
總合約成交量	41,128,121	26,939,106
季末未平倉合約	9,197,003	5,727,067

市場概況

結算及交收

中央結算系統營運資料	2011年第3季	2010年第3季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	908,434	688,441
-交易金額(十億港元)	72.5	61.8
-涉及股數(十億股)	165.5	140.3
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	84,654	74,032
-交收指示涉及金額(十億港元)	221.5	169.5
-涉及股數(十億股)	50.4	46.8
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	489	499
-交收指示涉及金額(百萬港元)	383.0	177.5
-涉及股數(百萬股)	123.9	115.9
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.87	99.90
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	5	7
-補購宗數	6	7
-補購涉及金額(百萬港元)	2.1	1.4
存放於中央結算系統證券存管處的股份		
-股數(十億股)	3,771.1	3,538.2
-獲納入系統的證券佔已發行股本總數的百分比	71.19	71.67
-股份價值(十億港元)	8,909.9	10,442.1
-獲納入系統的證券佔市價總值的百分比	49.96	50.28
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	327,470	235,577
-股票期貨	24,653	6,887
-黃金期貨	132	92
-利率期貨	86	138
-股市指數期權	732,093	393,447
-股票期權	8,112,569	5,090,926.00
累積行使股票期權		
-涉及股數(百萬股)	2,342	860
-涉及金額(十億港元)	44	18

主板 股份

股份成交

		股數 (百萬股)	金額 (百萬港元)	成交宗數
2010	第3季	794,114.98	2,759,690.18	35,997,455
	第4季	1,011,031.65	3,847,088.03	46,784,952
2011	第1季	747,798.73	3,315,169.08	42,267,831
	第2季	754,334.25	3,069,149.98	41,652,899
	第3季	597,541.33	3,222,655.92	45,991,628

股份交易統計

		交易日數	每日平均成交 金額(百萬港元)	平均每宗 交易成交金額
2010	第3季	64	43,120.16	76,663
	第4季	64	60,110.75	82,229
2011	第1季	62	53,470.47	78,432
	第2季	59	52,019.49	73,684
	第3季	63	51,153.27	70,070

主板 股份

20種升幅最大之股份

2011年第3季

順次	股份代號	股份	收市價		升幅
			2011年9月底	2011年6月底	
1	02312	中國金融租賃	0.380	0.085	347.06
2	00412	漢基控股	0.295	0.080	A 268.75
3	00955	SCHRAMM	77.000	29.900	157.53
4	00720	和記行(集團)	0.310	0.195	58.97
5	01187	珠江輪胎	3.000	1.900	57.89
6	00475	億鑽珠寶	1.460	0.940	55.32
7	00667	瀚宇博德	1.150	0.800	43.75
8	00597	華潤微電子	0.480	0.335	43.28
9	01026	環球實業科技	0.600	0.435	37.93
10	00938	民生國際	0.490	0.370	32.43
11	01060	文化中國傳播	0.465	0.370	25.68
12	00951	超威動力	3.110	2.590	20.08
13	00288	科士威集團	0.900	0.750	20.00
14	02038	富士康國際	4.080	3.420	19.30
15	00992	聯想集團	5.290	4.450	18.88
16	00812	敦沛金融	1.700	1.450	17.24
17	00270	粵海投資	4.870	4.160	17.07
18	00059	天譽置業	0.820	0.710	15.49
19	00343	文化傳信	0.770	0.670	14.93
20	00215	和記電訊香港	2.750	2.400	14.58

A 經調整

20種跌幅最大之股份

2011年第3季

順次	股份代號	股份	收市價		跌幅
			2011年9月底	2011年6月底	
1	00692	寶源控股	0.012	0.150	-92.00
2	00736	中國置業投資	0.219	1.200	A -81.75
3	01142	西伯利亞礦業	0.360	A 1.820	A -80.22
4	00928	德發集團	0.150	0.680	A -77.94
5	01003	21控股	0.056	0.240	-76.67
6	01900	中國智能交通	0.770	3.070	-74.92
7	01685	博耳電力	1.960	7.010	-72.04
8	03300	中國玻璃	0.890	3.080	-71.10
9	01039	暢豐車橋	0.850	2.880	-70.49
10	00904	中國綠色食品	1.480	4.960	-70.16
11	00515	達進精電	0.950	3.150	-69.84
12	01178	天年生物	0.100	0.330	-69.70
13	01698	博士蛙國際	1.440	4.750	-69.68
14	00082	第一視頻	0.590	1.840	-67.93
15	02678	天虹紡織	1.690	5.200	-67.50
16	00682	超大現代	1.100	3.380	-67.46
17	01020	華耐控股	0.450	1.380	-67.39
18	01049	網融(中國)	0.074	0.226	-67.26
19	01163	德金資源	0.078	0.236	-66.95
20	01393	恒鼎實業	2.290	6.730	-65.97

A 經調整

主板 股份

20種成交最活躍股份(金額)

2011年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00939	建設銀行	160,471.42	4.98
2	00941	中國移動	130,007.89	4.03
3	01398	工商銀行	114,074.68	3.54
4	03988	中國銀行	113,965.81	3.54
5	00005	匯豐控股	103,345.72	3.21
6	00883	中國海洋石油	82,275.23	2.55
7	01299	友邦保險	67,591.95	2.10
8	00013	和記黃埔	67,301.09	2.09
9	02628	中國人壽	65,356.40	2.03
10	00700	騰訊控股	63,376.58	1.97
11	00857	中國石油股份	58,825.59	1.83
12	02318	中國平安	58,418.43	1.81
13	00388	香港交易所	52,433.55	1.63
14	03323	中國建材	49,359.66	1.53
15	01288	農業銀行	47,900.17	1.49
16	00914	安徽海螺水泥股份	43,446.97	1.35
17	01088	中國神華	40,276.37	1.25
18	00386	中國石油化工股份	39,100.36	1.21
19	00762	中國聯通	38,892.30	1.21
20	00016	新鴻基地產	34,795.78	1.08
總額			1,431,215.93	44.41

20種成交最活躍股份(股數)

2011年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03988	中國銀行	35,159.08	5.88
2	00939	建設銀行	28,973.24	4.85
3	01398	工商銀行	22,835.87	3.82
4	00993	天行國際	15,640.77	2.62
5	01288	農業銀行	13,165.03	2.20
6	00985	中科礦業	9,048.39	1.51
7	03800	保利協鑫能源	8,376.99	1.40
8	00692	寶源控股	7,529.65	1.26
9	00493	國美電器	7,037.01	1.18
10	03333	恒大地產	6,392.85	1.07
11	00736	中國置業投資	6,329.15	1.06
12	00857	中國石油股份	5,828.48	0.98
13	00067	旭光高新材料	5,815.11	0.97
14	00566	鉑陽太陽能	5,799.16	0.97
15	00883	中國海洋石油	5,438.30	0.91
16	00386	中國石油化工股份	5,354.25	0.90
17	00273	威利國際	4,921.47	0.82
18	00981	中芯國際	4,913.59	0.82
19	01387	人和商業	4,801.26	0.80
20	00728	中國電信	4,799.04	0.80
總數			208,158.67	34.84

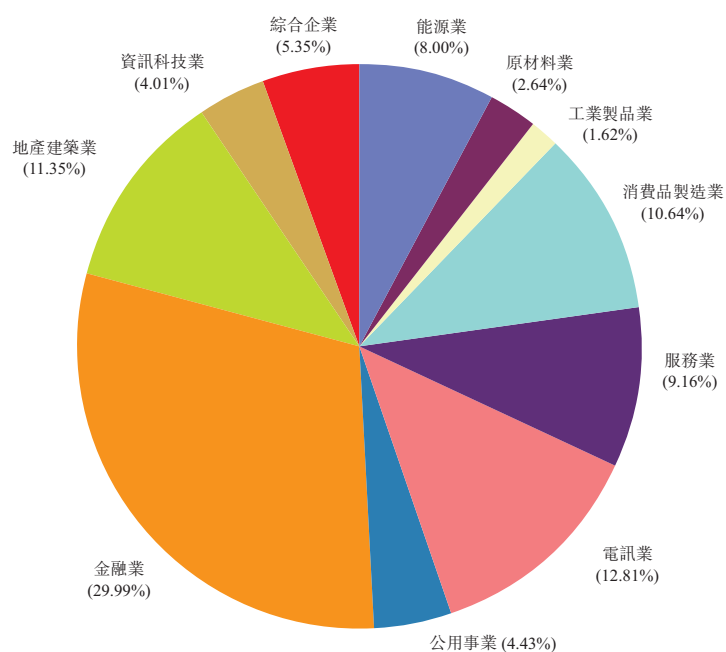
主板 股份

上市公司市價總值－主板

		百萬港元
2010	第3季	19,557,277.66
	第4季	20,942,284.39
2011	第1季	21,259,067.69
	第2季	20,992,345.92
	第3季	16,147,694.26

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主板 股份

50間市價總值最大之上市公司

截至2011年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)	
1	00941	中國移動有限公司	1,547,445.55	9.58	
2	00939	中國建設銀行股份有限公司—H股	1,151,598.96	7.13	
3	00005	匯豐控股有限公司	1,086,854.04	6.73	
4	00883	中國海洋石油有限公司	580,699.60	3.6	
5	00762	中國聯合網絡通信(香港)股份有限公司	382,694.01	2.37	
6	02888	渣打集團有限公司	378,120.81	2.34	
7	00805	Glencore International plc *	—	336,790.01	N.A.
8	01398	中國工商銀行股份有限公司—H股	331,553.25	2.05	
9	00700	騰訊控股有限公司	301,424.49	1.87	
10	01299	友邦保險控股有限公司	268,581.20	1.66	
11	00013	和記黃埔有限公司	249,194.02	1.54	
12	00016	新鴻基地產發展有限公司	232,460.04	1.44	
13	03988	中國銀行股份有限公司—H股	204,874.58	1.27	
14	00857	中國石油天然氣股份有限公司—H股	204,026.36	1.26	
15	00001	長江實業(集團)有限公司	198,263.67	1.23	
16	02388	中銀香港(控股)有限公司	176,565.43	1.09	
17	00011	恒生銀行有限公司	175,602.76	1.09	
18	02378	英國保誠有限公司*	—	174,652.29	N.A.
19	00002	中電控股有限公司	169,753.42	1.05	
20	00945	Manulife Financial Corporation *	—	163,120.37	N.A.
21	01928	金沙中國有限公司	150,035.91	0.93	
22	02628	中國人壽保險股份有限公司—H股	139,894.09	0.87	
23	00003	香港中華煤氣有限公司	139,207.76	0.86	
24	02318	中國平安保險(集團)股份有限公司—H股	138,334.17	0.86	
25	03328	交通銀行股份有限公司—H股	136,838.03	0.85	
26	00066	香港鐵路有限公司	135,935.77	0.84	
27	06210	Vale S.A. —預託證券*	—	135,725.13	N.A.
28	00386	中國石油化工股份有限公司—H股	128,874.15	0.8	
29	00006	電能實業有限公司	127,735.56	0.79	
30	00388	香港交易及結算有限公司	123,776.69	0.77	
31	00019	太古股份有限公司	121,122.11	0.75	
32	00004	九龍倉集團有限公司	117,837.72	0.73	
33	01880	百麗國際控股有限公司	114,705.57	0.71	
34	00322	康師傅控股有限公司	107,218.37	0.66	
35	00494	利豐有限公司	107,110.33	0.66	
36	01038	長江基建集團有限公司	106,879.04	0.66	
37	01088	中國神華能源股份有限公司—H股	105,525.99	0.65	
38	00101	恒隆地產有限公司	104,650.07	0.65	
39	00486	United Company RUSAL Ltd. *	—	103,464.43	N.A.
40	01128	永利澳門有限公司	97,422.19	0.6	
41	00151	中國旺旺控股有限公司	93,596.30	0.58	
42	00688	中國海外發展有限公司	92,512.92	0.57	
43	01913	PRADA S.p.A.	84,057.37	0.52	
44	00012	恒基兆業地產有限公司	83,968.83	0.52	
45	01288	中國農業銀行股份有限公司—H股	79,306.16	0.49	
46	00880	澳門博彩控股有限公司	77,856.88	0.48	
47	01044	恒安國際集團有限公司	77,363.52	0.48	
48	06808	高鑫零售有限公司	77,271.61	0.48	
49	00728	中國電信股份有限公司—H股	68,831.95	0.43	
50	00291	華潤創業有限公司	62,857.76	0.39	
總額			10,640,439.02	65.89	
市場總值			16,147,694.26	100	

註：

由2010年7月起納入外國公司

* 不是祇在香港上市之外國公司

主板 股份

賣空交易(金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2010	第3季	231,187.06	2,783,988.10	8.30
	第4季	308,007.77	3,885,615.68	7.93
2011	第1季	273,905.42	3,337,783.59	8.21
	第2季	327,730.09	3,089,381.94	10.61
	第3季	409,874.98	3,232,791.53	12.68

* 包括主板及創業板數據

註：由2010年11月29日起成交金額包括非港元交易買賣的證券

賣空交易(股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2010	第3季	20,554.19	882,787.31	2.33
	第4季	26,787.09	1,131,725.16	2.37
2011	第1季	22,721.76	872,394.66	2.60
	第2季	29,078.38	833,504.44	3.49
	第3季	39,305.84	637,817.87	6.16

* 包括主板及創業板數據

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2010	第3季	21	5	109,964.78
	第4季	47	5	288,596.31
2011	第1季	10	4	17,317.76
	第2季	22	6	156,417.91
	第3季	18	1	19,494.12

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主板 股份

新上市公司

2011年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
01082	現代教育集團有限公司	2011/07/04	400,000,000	150.80
01231	新礦資源有限公司	2011/07/04	4,000,000,000	1,750.00
01151	依利安達集團有限公司	2011/07/08	186,862,362	–
06828	中國威力印刷集團有限公司	2011/07/12	152,209,373	57.72
01165	順風光電國際有限公司	2011/07/13	1,560,000,000	432.90
02098	卓爾發展(開曼)控股有限公司	2011/07/13	3,500,000,000	1,517.25
03777	中國光纖網絡系統集團有限公司	2011/07/14	1,217,300,000	509.16
02123	金盾控股(實業)有限公司	2011/07/14	941,900,000	171.43
01259	青蛙王子國際控股有限公司	2011/07/15	1,008,250,000	805.74
06838	盈利時控股有限公司	2011/07/20	500,000,000	233.75
01663	漢港房地產集團有限公司	2011/07/22	1,200,000,000	330.00
01127	匯星印刷集團有限公司	2011/07/25	500,000,000	87.50
06808	高鑫零售有限公司	2011/07/27	9,539,704,700	9,471.06
01236	乾隆科技國際控股有限公司*	2011/08/29	252,600,000	–
01028	鴻國國際控股有限公司	2011/09/23	2,000,000,000	1,150.00
06868	天福(開曼)控股有限公司	2011/09/26	1,227,207,460	1,251.72
01096	動感集團控股有限公司	2011/09/28	1,200,000,000	360.00
01235	專業旅運(亞洲)企業有限公司	2011/09/29	500,000,000	63.00
03788	中國罕王控股有限公司	2011/09/30	1,830,000,000	1,152.09
總額				19,494.12

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主板 股份

除牌上市公司

2011年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
00304	宜進利(集團)有限公司	2008/08/15	2011/07/29
00502	環新國際有限公司	2007/04/27	2011/09/19

主 板 股 份

公司名稱更改

2011年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
00195	威達國際控股有限公司	萬佳錫業國際控股有限公司	2011/05/12	2011/07/04
02018	瑞聲聲學科技控股有限公司	瑞聲科技控股有限公司	2011/05/26	2011/07/08
00174	盛洋地產投資有限公司	盛洋投資(控股)有限公司	2011/07/06	2011/07/12
01049	時富投資集團有限公司	網融(中國)控股有限公司	2011/06/03	2011/07/13
00827	玖源生態農業科技(集團)有限公司	玖源化工(集團)有限公司	2011/06/07	2011/07/15
00605	港佳控股有限公司	中國金融投資管理有限公司	2011/07/07	2011/07/20
03928	鄭州燃氣股份有限公司－H股	鄭州華潤燃氣股份有限公司－H股	2011/06/28	2011/07/22
01007	環球乳業控股有限公司	大慶乳業控股有限公司	2011/06/17	2011/07/25
00455	雲南實業控股有限公司	天大控股有限公司	2011/07/05	2011/07/26
01133	哈爾濱動力設備股份有限公司－H股	哈爾濱電氣股份有限公司－H股	2011/07/01	2011/08/12
00155	華基光電能源控股有限公司#	中國源暢光電能源控股有限公司	2011/08/01	2011/08/19
01041	福邦控股有限公司	中國新能源動力集團有限公司	2011/08/05	2011/09/28
01226	嘉禹國際有限公司	中國投融資集團有限公司	2011/08/08	2011/09/28
00290	中國富強集團有限公司	中國富強金融集團有限公司	2011/08/22	2011/09/29

* 祇改英文名稱

祇改中文名稱

主板 股份

紅股／紅利認股權證

2011年第3季

股份代號	公司	詳情	除淨日
03328	交通銀行股份有限公司－H股	10送1	2011/07/08
00993	天行國際(控股)有限公司	每供5股送1份2012年認股權證	2011/07/12
00317	廣州廣船國際股份有限公司－H股	10送3	2011/07/14
00398	東方表行集團有限公司	5送1	2011/07/21
00081	中國海外宏洋集團有限公司	2送1	2011/08/30
00077	進智公共交通控股有限公司	10送1	2011/09/09
00867	康哲藥業控股有限公司	4送1	2011/09/16
00065	弘海有限公司	5送1	2011/09/23
00412	漢基控股有限公司	每供5股送1份2013年認股權證	2011/09/23

主板 股份

股份拆細及合併

2011年第3季

股份代號	公司	詳情	生效日期
01223	新豐集團有限公司	2股合併為1股	2011/07/26
00149	中國農產品交易有限公司	10股合併為1股	2011/08/02
00928	德發集團國際有限公司	10股合併為1股	2011/08/05
00376	亞洲電信媒體有限公司	50股合併為1股	2011/08/10
00332	毅力工業集團有限公司	10股合併為1股	2011/09/05
00736	中國置業投資控股有限公司	30股合併為1股	2011/09/14
01063	新確科技有限公司	30股合併為1股	2011/09/15
02349	中國水務地產集團有限公司	10股合併為1股	2011/09/19
00091	駿新能源集團有限公司	2股合併為1股	2011/09/19
01129	中國水業集團有限公司	10股合併為1股	2011/09/27

主板 股份

供股／公開售股

2011年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)	
7月	00021	匯通天下集團有限公司	股權2供1，每股供0.40港元	154.61	
	01178	天年生物控股有限公司	股權3供1，每股供0.25港元	73.57	
	01172	勤達集團國際有限公司	股權1供1，每股供0.10港元	110.36	
	01003	21控股有限公司	股權1供8，每股供0.10港元 (10股合併為1股後)	119.00	
	00692	寶源控股有限公司	股權1供22，每股供0.05港元	387.34	
	00998	中信銀行股份有限公司－H股	股權10股H股供2股H股，每H股供4.01港元	9,946.25	
	00717	英皇證券集團有限公司	股權1供2，每股供0.338港元	585.29	
	00928	德發集團國際有限公司	股權5供339，每股供0.01港元	150.01	
	00901	萊福資本投資有限公司	股權2供1，每股供0.75港元	53.67	
	8月	00993	天行國際(控股)有限公司	股權1供20，每股供0.03港元 另每供5股送1份2012年認股權證	779.43
		00764	永恒策略投資有限公司	公開售股1供5，每股供0.04港元	264.48
00185		特速集團有限公司	公開售股20供3，每股供0.11港元	43.57	
00149		中國農產品交易有限公司	股權1供30，每股供0.195元 (10股合併為1股後)	464.41	
9月		00952	華富國際控股有限公司	股權4供1，每股供0.25港元	59.66
	00630	國金資源控股有限公司	股權10供11，每股供0.05港元	82.71	
總額				13,274.36	

主板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2011					
6月**	01777	花樣年控股	333,333,000	1.200	400.00
7月	00802	宏霸數碼	82,200,000	1.000	82.20
	00136	馬斯葛集團	2,200,000,000	0.400	880.00
	00290	中國富強金融	150,000,000	0.330	49.50
	00708	新傳媒	144,000,000	0.696	100.22
	01008	貴聯控股	45,000,000	6.500	292.50
	00544	大同集團	239,900,000	0.199	47.74
	01038	長江基建集團	84,500,000	40.410	3,414.65
	00643	恆富控股	74,300,000	0.650	48.30
8月	01051	國際資源	2,813,364,000	0.600	1,688.02
	00329	叁龍國際	750,000,000	0.100	75.00
	00745	中國鐵聯傳媒	310,000,000	0.078	24.18
	01728	正通汽車	200,000,000	10.450	2,090.00
	00729	中聚電池	700,000,000	0.520	364.00
	00376	亞洲電信媒體	128,225,806	0.620	79.50
	00665	海通國際	200,000,000	4.250	850.00
	01164	維奧集團	1,670,000,000	0.230	384.10
	02324	首都創投	250,000,000	0.300	75.00
	01046	寰宇國際	87,165,000	0.115	10.02
	00969	華聯國際	90,000,000	0.600	54.00
	00256	中國海澱	10,000,000	0.990	9.90
	01142	西伯利亞礦業	141,000,000	0.040	5.64
	00736	中國置業投資	1,028,000,000	0.022	22.62
9月	02312	中國金融租賃	139,635,000	0.051	7.12
總額					11,054.20

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主板 認股權證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2010	第3季	375.85	669,145.29	669,521.14
	第4季	884.16	896,594.85	897,479.00
2011	第1季	393.42	829,434.95	829,828.38
	第2季	401.20	636,349.65	636,750.86
	第3季	28.67	654,145.24	654,173.91

認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)
		數目	數目	總數		
2010	第3季	25	2,204.10	4,348	131,501.94	133,706.04
	第4季	22	2,049.41	5,148	142,445.77	144,495.18
2011	第1季	23	1,217.46	5,689	148,882.52	150,099.98
	第2季	15	785.68	4,836	96,546.69	97,332.38
	第3季	15	429.33	4,778	86,648.18	87,077.51

新上市統計—認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2010	第3季	2	1,938	72,490.02
	第4季	1	2,703	115,549.29
2011	第1季	2	2,056	86,446.46
	第2季	2	1,606	59,723.05
	第3季	2	1,979	73,939.94

新上市股份認股權證

2011年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01056	中國星集團有限公司2012年12月認股權證	73,677,044	2011/07/04	2012/12/28	-
01257	天行國際(控股)有限公司2012年認股權證	5,196,176,000 units	2011/08/22	2012/08/21	-
總額					-

主板 認股權證

新上市衍生權證

2011年第三季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12859	BNP Paribas Arbit Issu B.V. – Esprit European Wts May 2012	80,000,000	2011/07/04	2012/05/03	20.00
12860	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Euro Wt May 2012	80,000,000	2011/07/04	2012/05/03	20.00
12861	BNP Paribas Arbit Issu B.V. – Kerry Ppt Euro Wts May 2012	38,000,000	2011/07/04	2012/05/03	10.64
12862	BNP Paribas Arbit Issu B.V. – New World Dev Euro Wts Mar 2013	100,000,000	2011/07/04	2013/03/04	25.00
12863	BNP Paribas Arbit Issu B.V. – Shimao Ppt Euro Wts Aug 2013	100,000,000	2011/07/04	2013/08/02	25.00
12846	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan 12A	200,000,000	2011/07/04	2012/01/30	51.00
12851	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Feb 2012	200,000,000	2011/07/04	2012/02/28	51.00
12849	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2012	200,000,000	2011/07/04	2012/02/28	50.00
12852	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012	200,000,000	2011/07/04	2012/01/30	50.00
12853	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012	200,000,000	2011/07/04	2012/03/29	30.20
12854	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts May 2012	200,000,000	2011/07/04	2012/05/30	50.00
12857	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 F	200,000,000	2011/07/04	2011/12/29	35.00
12847	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 B	200,000,000	2011/07/04	2012/01/30	50.00
12848	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 C	200,000,000	2011/07/04	2012/01/30	33.00
12850	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2012 A	200,000,000	2011/07/04	2012/02/28	51.00
12856	Standard Chartered Bank – China Life Euro Put Wts Feb 2012 A	100,000,000	2011/07/04	2012/02/02	25.00
12855	Standard Chartered Bank – HKEx European Warrants Feb 2012	100,000,000	2011/07/04	2012/02/28	25.00
12864	BOCI Asia Ltd. – China Mobile European Warrants Dec 2011	100,000,000	2011/07/05	2011/12/02	16.00
12898	BNP Paribas Arbit Issu B.V. – Cathay Pacific Euro Wts Feb 12	100,000,000	2011/07/05	2012/02/02	19.00
12907	J P Morgan SP BV – BOCL European Warrants Nov 2011	200,000,000	2011/07/05	2011/11/17	30.00
12906	J P Morgan SP BV – BOCL European Warrants Dec 2011	200,000,000	2011/07/05	2011/12/01	30.00
12905	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Nov 2011	200,000,000	2011/07/05	2011/11/23	30.00
12903	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2011 A	200,000,000	2011/07/05	2011/12/09	47.20
12888	J P Morgan SP BV – China Life European Warrants Nov 2011	300,000,000	2011/07/05	2011/11/21	45.00
12890	J P Morgan SP BV – China Life European Warrants Nov 2011 A	300,000,000	2011/07/05	2011/11/28	45.00
12889	J P Morgan SP BV – China Life European Warrants Dec 2011 A	300,000,000	2011/07/05	2011/12/23	45.00
12908	J P Morgan SP BV – HKEx European Put Wts Dec 2011 A	300,000,000	2011/07/05	2011/12/05	45.00
12892	J P Morgan SP BV – HSBC European Warrants Nov 2011	200,000,000	2011/07/05	2011/11/28	43.40
12894	J P Morgan SP BV – HSBC European Warrants Nov 2011 A	200,000,000	2011/07/05	2011/11/25	60.60
12893	J P Morgan SP BV – HSBC European Warrants Dec 2011 A	200,000,000	2011/07/05	2011/12/05	30.00
12891	J P Morgan SP BV – Hutchison European Warrants Dec 2011	100,000,000	2011/07/05	2011/12/19	48.50
12895	J P Morgan SP BV – ICBC European Warrants Dec 2011	150,000,000	2011/07/05	2011/12/19	55.50
12904	J P Morgan SP BV – Tencent European Warrants Nov 2011 A	200,000,000	2011/07/05	2011/11/28	32.80
12868	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Dec 2011	80,000,000	2011/07/05	2011/12/13	21.20
12869	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Dec 11A	80,000,000	2011/07/05	2011/12/06	15.20
12870	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2012	80,000,000	2011/07/05	2012/01/05	20.40
12871	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Apr 2012	80,000,000	2011/07/05	2012/04/23	15.20
12865	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Nov 2011	80,000,000	2011/07/05	2011/11/25	19.76
12866	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2011	80,000,000	2011/07/05	2011/12/19	31.20
12872	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Dec11	80,000,000	2011/07/05	2011/12/12	33.20
12867	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2011 A	80,000,000	2011/07/05	2011/12/15	16.08
12875	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Dec 2011	200,000,000	2011/07/05	2011/12/12	56.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12876	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Feb 2012	200,000,000	2011/07/05	2012/02/15	50.00
12877	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2011 A	130,000,000	2011/07/05	2011/12/28	68.90
12878	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2011 B	200,000,000	2011/07/05	2011/12/14	70.00
12879	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011C	300,000,000	2011/07/05	2011/11/28	75.00
12880	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011B	300,000,000	2011/07/05	2011/12/28	63.00
12897	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2016	500,000,000	2011/07/05	2016/03/23	75.00
12881	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2011 C	300,000,000	2011/07/05	2011/10/12	75.00
12896	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2012 A	300,000,000	2011/07/05	2012/01/09	129.00
12887	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2012	200,000,000	2011/07/05	2012/01/30	50.00
12885	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011 D	300,000,000	2011/07/05	2011/12/29	57.00
12886	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 11E	300,000,000	2011/07/05	2011/12/29	45.00
12874	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2011	120,000,000	2011/07/05	2011/12/28	90.00
12882	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jan 2012	120,000,000	2011/07/05	2012/01/11	70.80
12884	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2012	180,000,000	2011/07/05	2012/02/15	50.40
12883	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011 A	200,000,000	2011/07/05	2011/11/30	56.00
12873	UBS AG – BOCL European Warrants Jan 2012	100,000,000	2011/07/05	2012/01/10	25.00
12901	UBS AG – HSBC European Warrants Jan 2012	300,000,000	2011/07/05	2012/01/10	75.00
12899	UBS AG – HSI European Warrants Nov 2011 B	300,000,000	2011/07/05	2011/11/29	45.00
12902	UBS AG – HSI European Put Warrants Nov 2011 C	300,000,000	2011/07/05	2011/11/29	45.00
12900	UBS AG – PetroChina European Warrants Dec 2012	300,000,000	2011/07/05	2012/12/19	45.00
12915	J P Morgan SP BV – Anhui Conch European Warrants Jan 2012	100,000,000	2011/07/06	2012/01/06	28.00
12918	J P Morgan SP BV – Zoomlion European Warrants Jan 2012	200,000,000	2011/07/06	2012/01/06	50.00
12917	J P Morgan SP BV – Esprit European Wts Dec 2011	100,000,000	2011/07/06	2011/12/23	15.00
12914	J P Morgan SP BV – Li & Fung European Warrants Jun 2012	150,000,000	2011/07/06	2012/06/28	37.50
12916	J P Morgan SP BV – Shimao Property European Wts Jun 2013	150,000,000	2011/07/06	2013/06/27	37.50
12909	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Mar 2012	80,000,000	2011/07/06	2012/03/29	12.08
12910	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 E	80,000,000	2011/07/06	2011/12/29	12.24
12911	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Dec 2013	80,000,000	2011/07/06	2013/12/16	12.08
12912	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Nov 2011 B	80,000,000	2011/07/06	2011/11/09	18.08
12913	UBS AG – CC Bank European Warrants Nov 2011	200,000,000	2011/07/06	2011/11/23	30.00
12919	UBS AG – CNOOC European Warrants Jan 2012	300,000,000	2011/07/06	2012/01/10	75.00
12939	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2011 A	200,000,000	2011/07/07	2011/12/02	30.00
12938	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2012	200,000,000	2011/07/07	2012/06/04	78.00
12940	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2012	200,000,000	2011/07/07	2012/09/04	52.00
12937	BNP Paribas Arbit Issu B.V. – ICBC European Wts Feb 2012	200,000,000	2011/07/07	2012/02/02	52.00
12941	BNP Paribas Arbit Issu B.V. – Mongolia En Euro Wts Sep 2012	44,000,000	2011/07/07	2012/09/04	13.64
12936	BNP Paribas Arbit Issu B.V. – Sh Petrochem Euro Wts Feb 2012	30,000,000	2011/07/07	2012/02/02	10.20
12942	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Jul 2012	100,000,000	2011/07/07	2012/07/03	37.00
12935	HK Bank – Esprit European Warrants Apr 2012	100,000,000	2011/07/07	2012/04/27	25.00
12934	Macquarie Bank Ltd. – HSCEI European Put Warrants Jan 2012	200,000,000	2011/07/07	2012/01/30	50.00
12933	Macquarie Bank Ltd. – New World Dev European Wts Aug 2013	200,000,000	2011/07/07	2013/08/05	51.60
12920	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2012 A	80,000,000	2011/07/07	2012/01/27	20.00
12921	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2012	80,000,000	2011/07/07	2012/02/28	20.40
12924	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts May 2012	80,000,000	2011/07/07	2012/05/07	20.00

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		發行單位	上市日期	到期日	
12922	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Jan 2012	80,000,000	2011/07/07	2012/01/20	36.40
12923	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2012	80,000,000	2011/07/07	2012/02/20	20.00
12925	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2012 A	80,000,000	2011/07/07	2012/04/24	58.40
12926	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jan 12	80,000,000	2011/07/07	2012/01/11	29.60
12927	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2012	200,000,000	2011/07/07	2012/01/16	56.00
12928	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2012 A	200,000,000	2011/07/07	2012/01/16	50.00
12929	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 12A	200,000,000	2011/07/07	2012/01/16	86.00
12930	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 12B	200,000,000	2011/07/07	2012/01/16	60.00
12932	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jan 2012	100,000,000	2011/07/07	2012/01/16	45.00
12931	SGA Societe Generale Acceptance N.V. – Esprit Eu Wts Mar 12	80,000,000	2011/07/07	2012/03/28	20.00
12943	UBS AG – HSI European Warrants Oct 2011 B	300,000,000	2011/07/07	2011/10/28	45.00
12944	UBS AG – HSI European Put Warrants Oct 2011 C	300,000,000	2011/07/07	2011/10/28	45.00
11284 #	Standard Chartered Bank – BOCL European Warrants Dec 2011	150,000,000	2011/07/07	2011/12/01	13.20
29926 #	Standard Chartered Bank-China Life European Wts Dec 2011 B	100,000,000	2011/07/07	2011/12/08	21.70
12948	BOCI Asia Ltd. – China Tel European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/16	25.00
12946	BOCI Asia Ltd. – PetroChina European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/09	35.00
12947	BOCI Asia Ltd. – Yurun Food European Warrants Mar 2012	200,000,000	2011/07/08	2012/03/05	70.00
12961	J P Morgan SP BV – Alibaba European Warrants Jul 2012	100,000,000	2011/07/08	2012/07/03	25.00
12958	J P Morgan SP BV – China Everbright European Wts Jan 2012	200,000,000	2011/07/08	2012/01/26	30.00
12960	J P Morgan SP BV – China Tel European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/09	35.00
12955	J P Morgan SP BV – CITIC Bank European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/09	35.00
12959	J P Morgan SP BV – CNOOC European Warrants Nov 2011	200,000,000	2011/07/08	2011/11/23	30.00
12956	J P Morgan SP BV – CNOOC European Warrants Jan 2012	200,000,000	2011/07/08	2012/01/09	50.00
12957	J P Morgan SP BV – Sinopec Corp European Warrants Oct 2011 A	100,000,000	2011/07/08	2011/10/31	15.00
12954	J P Morgan SP BV – PICC European Warrants Jan 2012	200,000,000	2011/07/08	2012/01/26	50.00
12949	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants May 2012	200,000,000	2011/07/08	2012/05/03	50.20
12951	Macquarie Bank Ltd. – Belle Int'l European Warrants Apr 2012	200,000,000	2011/07/08	2012/04/03	50.00
12950	Macquarie Bank Ltd. – BOCL European Warrants Feb 2012	200,000,000	2011/07/08	2012/02/02	50.00
12952	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Aug 2012	200,000,000	2011/07/08	2012/08/02	50.20
12945	Standard Chartered Bank – China Mobile Euro Wts Jan 2012	100,000,000	2011/07/08	2012/01/03	15.00
12953	UBS AG – HSI European Put Warrants Oct 2011 D	300,000,000	2011/07/08	2011/10/28	45.00
12987	Bank of East Asia – Agricultural Bank Euro Warrants Apr 2012	38,000,000	2011/07/11	2012/04/23	10.64
12986	Bank of East Asia – China Overseas Euro Warrants Jul 2012	85,000,000	2011/07/11	2012/07/23	21.25
12984	Bank of East Asia – Esprit European Warrants Jan 2012	60,000,000	2011/07/11	2012/01/20	15.00
12985	Bank of East Asia – Li & Fung European Warrants Jun 2012	95,000,000	2011/07/11	2012/06/22	23.75
12976	J P Morgan SP BV – Agricultural Bk Euro Warrants Jan 2012	150,000,000	2011/07/11	2012/01/11	37.50
12977	J P Morgan SP BV – Agricultural Bk Euro Warrants Jan 2012 A	150,000,000	2011/07/11	2012/01/11	40.80
12979	J P Morgan SP BV – China Life European Warrants Nov 2011 B	200,000,000	2011/07/11	2011/11/28	30.00
12964	J P Morgan SP BV – HSI European Warrants Nov 2011	200,000,000	2011/07/11	2011/11/29	30.00
12965	J P Morgan SP BV – HSI European Warrants Dec 2011	200,000,000	2011/07/11	2011/12/29	30.00
12966	J P Morgan SP BV – HSI European Warrants Jan 2012	200,000,000	2011/07/11	2012/01/30	50.00
12962	J P Morgan SP BV – HSI European Put Warrants Nov 2011	200,000,000	2011/07/11	2011/11/29	30.00
12963	J P Morgan SP BV – HSI European Put Warrants Nov 2011 A	200,000,000	2011/07/11	2011/11/29	30.00
12978	J P Morgan SP BV – Huaneng Power European Warrants Jan 2012	48,000,000	2011/07/11	2012/01/11	12.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12967	Macquarie Bank Ltd. – BYD European Warrants Jan 2012	100,000,000	2011/07/11	2012/01/31	25.00
12968	Macquarie Bank Ltd. – China Mobile European Wts Feb 2012	200,000,000	2011/07/11	2012/02/02	50.00
12969	Macquarie Bank Ltd. – Dongfeng Motor European Wts Apr 2012	100,000,000	2011/07/11	2012/04/27	25.00
12970	Macquarie Bank Ltd. – PICC P&C European Warrants Mar 2012	200,000,000	2011/07/11	2012/03/02	50.00
12972	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Nov 2011	200,000,000	2011/07/11	2011/11/30	30.00
12971	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 F	200,000,000	2011/07/11	2011/10/24	36.00
12980	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Feb 2012	100,000,000	2011/07/11	2012/02/22	25.00
12973	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan 2012	50,000,000	2011/07/11	2012/01/16	12.50
12974	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Jan 2012	50,000,000	2011/07/11	2012/01/16	19.00
12975	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2011	200,000,000	2011/07/11	2011/11/21	50.00
12981	UBS AG – China Mobile European Warrants Dec 2011 B	200,000,000	2011/07/11	2011/12/30	30.00
12982	UBS AG – HKEx European Warrants Aug 2012	500,000,000	2011/07/11	2012/08/06	75.00
12983	UBS AG – HSI European Put Warrants Oct 2011 E	300,000,000	2011/07/11	2011/10/28	45.00
12988	BOCI Asia Ltd. – HKEx European Warrants Dec 2011 A	300,000,000	2011/07/12	2011/12/19	45.00
13001	BNP Paribas Arbit Issu B.V. – Anhui Conch Eu Put Wt Feb 2012	100,000,000	2011/07/12	2012/02/02	45.00
12998	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2012	100,000,000	2011/07/12	2012/07/03	26.00
13000	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jan 2012 A	100,000,000	2011/07/12	2012/01/09	15.00
12999	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Jun 2012	100,000,000	2011/07/12	2012/06/04	25.00
13002	HK Bank – HKEx European Warrants Sep 2012	120,000,000	2011/07/12	2012/09/27	30.00
13003	HK Bank – HKEx European Put Warrants Sep 2012	100,000,000	2011/07/12	2012/09/27	25.00
13006	J P Morgan SP BV – HKEx European Warrants Nov 2011 A	200,000,000	2011/07/12	2011/11/21	30.00
12989	J P Morgan SP BV – HSBC European Warrants Nov 2011 B	200,000,000	2011/07/12	2011/11/28	30.00
13007	Macquarie Bank Ltd. – Agile Ppt European Warrants Jun 2012	200,000,000	2011/07/12	2012/06/04	50.00
13004	Macquarie Bank Ltd. – Brilliance Chi Euro Warrants Jul 2012	200,000,000	2011/07/12	2012/07/12	50.00
13008	Macquarie Bank Ltd. – China COSCO European Warrants Feb 2012	200,000,000	2011/07/12	2012/02/02	73.80
12990	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011	80,000,000	2011/07/12	2011/11/01	12.16
12992	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Nov 2011	80,000,000	2011/07/12	2011/11/24	22.40
12996	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Feb 2012	80,000,000	2011/07/12	2012/02/08	12.88
12997	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Put Wt Jun 2012	80,000,000	2011/07/12	2012/06/25	12.40
12991	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2012 B	80,000,000	2011/07/12	2012/01/16	12.24
12993	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Dec 2011 A	80,000,000	2011/07/12	2011/12/12	12.72
12994	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Dec 2011 A	80,000,000	2011/07/12	2011/12/20	13.12
12995	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Dec 2011 B	80,000,000	2011/07/12	2011/12/28	12.00
13005	UBS AG – HSBC European Warrants Nov 2015	500,000,000	2011/07/12	2015/11/30	75.00
13022	Barclays Bank plc – China Life European Warrants Dec 2011	100,000,000	2011/07/13	2011/12/28	18.30
13014	HK Bank – AIA European Warrants Jun 2012	120,000,000	2011/07/13	2012/06/18	30.00
13020	HK Bank – AIA European Put Warrants Jun 2012	100,000,000	2011/07/13	2012/06/28	25.00
13015	HK Bank – Sinopec Corp European Warrants Feb 2012	120,000,000	2011/07/13	2012/02/08	39.60
13017	HK Bank – PetroChina European Warrants Feb 2012	100,000,000	2011/07/13	2012/02/08	29.00
13021	HK Bank – PetroChina European Put Warrants Jan 2013	100,000,000	2011/07/13	2013/01/30	25.00
13016	HK Bank – Ch Rongsheng European Warrants Feb 2012	80,000,000	2011/07/13	2012/02/28	20.00
13018	HK Bank – Tencent European Warrants Feb 2012	160,000,000	2011/07/13	2012/02/08	40.00
13019	HK Bank – Tencent European Warrants Mar 2012	160,000,000	2011/07/13	2012/03/29	40.00
13025	J P Morgan SP BV – CITIC Pacific Euro Wts May 2012	100,000,000	2011/07/13	2012/05/30	25.00

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		發行單位	上市日期	到期日	
13024	J P Morgan SP BV – Yurun Food European Warrants Jan 2012	100,000,000	2011/07/13	2012/01/13	25.00
13023	Standard Chartered Bank – BOCL European Warrants Jan 2012 A	100,000,000	2011/07/13	2012/01/03	21.00
13010	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Mar 2012	200,000,000	2011/07/13	2012/03/28	54.00
13013	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jan 2012 A	100,000,000	2011/07/13	2012/01/16	33.00
13011	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jan 12	100,000,000	2011/07/13	2012/01/16	28.00
13012	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Mar 12	100,000,000	2011/07/13	2012/03/28	25.00
13009	SGA Societe Generale Acceptance N.V. – Gome Euro Wt Jan 2012	60,000,000	2011/07/13	2012/01/16	21.60
13026	UBS AG – HSBC European Warrants Dec 2011 A	200,000,000	2011/07/13	2011/12/05	30.00
13027	UBS AG – PetroChina European Warrants Feb 2013	300,000,000	2011/07/13	2013/02/20	45.00
13035	HK Bank – Angang Steel European Warrants Nov 2013	100,000,000	2011/07/14	2013/11/29	25.00
13036	HK Bank – China Agri European Warrants May 2013	80,000,000	2011/07/14	2013/05/20	20.00
13037	HK Bank – CM Bank European Warrants Jun 2012	120,000,000	2011/07/14	2012/06/08	30.00
13038	HK Bank – China Shenhua European Warrants Mar 2012	120,000,000	2011/07/14	2012/03/12	30.00
13039	HK Bank – Jiangxi Copper European Warrants Feb 2012	120,000,000	2011/07/14	2012/02/28	30.00
13040	HK Bank – SkyworthDig European Warrants Jun 2012	80,000,000	2011/07/14	2012/06/15	44.00
13043	J P Morgan SP BV – Agile Ppt European Warrants Jan 2012	150,000,000	2011/07/14	2012/01/18	22.50
13044	J P Morgan SP BV – BOC HK European Warrants Jun 2012	150,000,000	2011/07/14	2012/06/26	22.50
13045	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2013	250,000,000	2011/07/14	2013/12/20	37.50
13042	J P Morgan SP BV – CPIC European Warrants Jan 2012	100,000,000	2011/07/14	2012/01/16	25.00
13041	J P Morgan SP BV – China Mengniu European Warrants Jan 2012	100,000,000	2011/07/14	2012/01/16	25.00
13033	Macquarie Bank Ltd. – CC Bank European Put Warrants Feb 2012	200,000,000	2011/07/14	2012/02/02	58.40
13028	Merrill Lynch Int'l & Co. C.V. – China Coal Eur Wts Jun 2013	80,000,000	2011/07/14	2013/06/17	14.08
13034	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Nov 2012	80,000,000	2011/07/14	2012/11/08	12.00
13031	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jan 2012 A	80,000,000	2011/07/14	2012/01/11	16.56
13029	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Jan 2012	80,000,000	2011/07/14	2012/01/16	45.60
13030	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2012 A	80,000,000	2011/07/14	2012/12/10	12.00
13032	Merrill Lynch Int'l & Co. C.V. – Yanzhou Coal Eu Wt Feb 2012	80,000,000	2011/07/14	2012/02/20	20.00
13046	Standard Chartered Bank-China Life European Wts Apr 2012	300,000,000	2011/07/14	2012/04/27	75.00
13047	Standard Chartered Bank-China Life European Wts Jul 2012	300,000,000	2011/07/14	2012/07/30	75.00
13048	UBS AG – China Life European Warrants Dec 2011 A	300,000,000	2011/07/14	2011/12/21	45.00
13076	Credit Suisse AG – Agricultural Bank European Wts Dec 2011	200,000,000	2011/07/15	2011/12/12	40.00
13077	Credit Suisse AG – AIA European Warrants Nov 2011 A	200,000,000	2011/07/15	2011/11/30	30.00
13075	Credit Suisse AG – CC Bank European Warrants Dec 2011 A	200,000,000	2011/07/15	2011/12/21	60.00
13085	Citigroup Global Mkt H Inc. – BOCL European Wts Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13084	Citigroup Global Mkt H Inc. – BankComm Euro Wts Feb 2012 A	50,000,000	2011/07/15	2012/02/06	12.50
13081	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Feb 2012	50,000,000	2011/07/15	2012/02/06	17.50
13082	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13097	Citigroup Global Mkt H Inc. – CM Bank European Wts May 2012	80,000,000	2011/07/15	2012/05/14	20.00
13083	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13079	Citigroup Global Mkt H Inc. – Esprit European Wts Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13080	Citigroup Global Mkt H Inc. – HKEx European Wts Dec 2011 A	80,000,000	2011/07/15	2011/12/23	12.00
13078	Citigroup Global Mkt H Inc. – Maanshan Iron Eur Wts Feb 2012	50,000,000	2011/07/15	2012/02/06	12.50
13098	Citigroup Global Mkt H Inc. – PICC P&C European Wts May 2012	80,000,000	2011/07/15	2012/05/28	20.00
13099	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Dec 2011 A	128,000,000	2011/07/15	2011/12/07	28.16

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13100	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Dec 2011 B	128,000,000	2011/07/15	2011/12/19	21.76
13101	KBC Fin Products Int'l Ltd. – AIA European Warrants Jan 2012	188,000,000	2011/07/15	2012/01/16	47.00
13102	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Jan 2012 A	188,000,000	2011/07/15	2012/01/16	47.00
13109	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Jan 2012	108,000,000	2011/07/15	2012/01/16	27.00
13107	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2012 A	108,000,000	2011/07/15	2012/01/16	34.56
13108	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2012 B	158,000,000	2011/07/15	2012/01/09	30.02
13091	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2011	158,000,000	2011/07/15	2011/12/09	34.76
13090	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2012	108,000,000	2011/07/15	2012/01/16	69.12
13095	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Dec 2011	98,000,000	2011/07/15	2011/12/28	40.18
13092	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2011 A	128,000,000	2011/07/15	2011/12/21	47.36
13103	KBC Fin Products Int'l Ltd. – China Life Euro Wts Dec 2011 B	158,000,000	2011/07/15	2011/12/05	31.60
13104	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2012 B	158,000,000	2011/07/15	2012/01/03	23.70
13105	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jan 2012	188,000,000	2011/07/15	2012/01/09	28.20
13106	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Feb 2012	188,000,000	2011/07/15	2012/02/06	28.20
13088	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Jan 2012	198,000,000	2011/07/15	2012/01/03	29.70
13089	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Dec 2011 B	198,000,000	2011/07/15	2011/12/22	29.70
13093	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2012	128,000,000	2011/07/15	2012/01/03	26.88
13096	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Dec 2011	98,000,000	2011/07/15	2011/12/16	45.08
13094	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2012 A	128,000,000	2011/07/15	2012/01/03	38.40
13054	Macquarie Bank Ltd. – Li Ning European Warrants Apr 2012	200,000,000	2011/07/15	2012/04/03	50.60
13049	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Mar 2012	100,000,000	2011/07/15	2012/03/29	18.30
13053	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Jan 2012	100,000,000	2011/07/15	2012/01/30	18.50
13050	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012 D	200,000,000	2011/07/15	2012/01/30	50.00
13051	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012 E	200,000,000	2011/07/15	2012/01/30	50.00
13052	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012 F	200,000,000	2011/07/15	2012/01/30	51.00
13064	Nomura Int'l plc – Agricultural Bk Euro Warrants Dec 2011	100,000,000	2011/07/15	2011/12/12	15.50
13063	Nomura Int'l plc – Agricultural Bk Euro Warrants Apr 2012	100,000,000	2011/07/15	2012/04/30	15.80
13065	Nomura Int'l plc – Agricultural Bk Euro Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/12	15.50
13066	Nomura Int'l plc – AIA European Warrants Mar 2012	100,000,000	2011/07/15	2012/03/05	15.00
13073	Nomura Int'l plc – BOCL European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/26	15.50
13074	Nomura Int'l plc – BOCL European Warrants Jan 2012 A	100,000,000	2011/07/15	2012/01/26	15.10
13062	Nomura Int'l plc – China Mobile European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/26	25.40
13061	Nomura Int'l plc – CC Bank European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/26	25.40
13060	Nomura Int'l plc – CC Bank European Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/23	25.40
13070	Nomura Int'l plc – China COSCO European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/16	25.00
13058	Nomura Int'l plc – CITIC P European Warrants Nov 2011	100,000,000	2011/07/15	2011/11/28	15.00
13071	Nomura Int'l plc – Minsheng Bank European Warrants Dec 2011	100,000,000	2011/07/15	2011/12/20	16.00
13072	Nomura Int'l plc – CPIC European Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/28	15.00
13055	Nomura Int'l plc – HSBC European Warrants Jan 2012 A	100,000,000	2011/07/15	2012/01/26	25.90
13067	Nomura Int'l plc – ICBC European Warrants Dec 2011	100,000,000	2011/07/15	2011/12/23	16.80
13068	Nomura Int'l plc – ICBC European Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/19	15.90
13069	Nomura Int'l plc – ICBC European Warrants Dec 2011 B	100,000,000	2011/07/15	2011/12/20	15.30
13057	Nomura Int'l plc – KECL European Warrants Jul 2012	100,000,000	2011/07/15	2012/07/30	15.00
13056	Nomura Int'l plc – Poly European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/16	36.00

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		發行單位	上市日期	到期日	
13059	Nomura Int'l plc – Tencent Holdings Euro Warrants Nov 2011	100,000,000	2011/07/15	2011/11/30	15.00
13086	Standard Chartered Bank – CNOOC European Warrants Dec 2011	100,000,000	2011/07/15	2011/12/14	15.00
13087	Standard Chartered Bank – CNOOC European Warrants Feb 2012	100,000,000	2011/07/15	2012/02/02	25.00
13110	UBS AG – CNOOC European Warrants Dec 2011 A	300,000,000	2011/07/15	2011/12/23	45.00
11076 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 B	650,000,000	2011/07/15	2011/09/29	10.40
11940 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 A	250,000,000	2011/07/15	2011/11/29	11.25
21221 #	Credit Suisse AG – CC Bank European Warrants Dec 2012 A	400,000,000	2011/07/15	2012/12/17	42.80
21222 #	Credit Suisse AG – ICBC European Warrants Dec 2012	400,000,000	2011/07/15	2012/12/17	38.80
13155	Credit Suisse AG – Agricultural Bank European Wts Jan 2012	200,000,000	2011/07/18	2012/01/20	50.00
13158	Credit Suisse AG – BOCL European Warrants Dec 2011 A	200,000,000	2011/07/18	2011/12/28	30.00
13157	Credit Suisse AG – Evergrande European Warrants Oct 2011	80,000,000	2011/07/18	2011/10/24	24.00
13156	Credit Suisse AG – ICBC European Warrants Dec 2011	200,000,000	2011/07/18	2011/12/19	50.00
13154	Credit Suisse AG – Tencent Holdings European Wts Nov 2011	200,000,000	2011/07/18	2011/11/28	30.00
13133	Citigroup Global Mkt H Inc. -Alibaba Euro Warrants Jun 2012	80,000,000	2011/07/18	2012/06/25	12.00
13134	Citigroup Global Mkt H Inc. – CC Bank European Wts Feb 2012	50,000,000	2011/07/18	2012/02/06	15.00
13135	Citigroup Global Mkt H Inc. – CNOOC European Wts Dec 2011 A	80,000,000	2011/07/18	2011/12/14	12.00
13136	Citigroup Global Mkt H Inc. – MTRC European Wts Apr 2012	80,000,000	2011/07/18	2012/04/30	20.00
13137	Citigroup Global Mkt H Inc. – Goldwind European Wts Sep 2012	80,000,000	2011/07/18	2012/09/03	20.00
13115	HK Bank – Agile Ppt European Warrants Jun 2012	80,000,000	2011/07/18	2012/06/22	20.00
13116	HK Bank – Belle Int'l European Warrants Jun 2012	80,000,000	2011/07/18	2012/06/08	20.00
13117	HK Bank – Li Ning European Warrants Mar 2012	100,000,000	2011/07/18	2012/03/12	25.00
13129	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012 B	128,000,000	2011/07/18	2012/01/18	62.72
13130	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012 C	128,000,000	2011/07/18	2012/01/18	57.60
13131	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012 D	128,000,000	2011/07/18	2012/01/18	32.00
13132	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Dec 2011	200,000,000	2011/07/18	2011/12/15	60.00
13113	Macquarie Bank Ltd. – Anta Sports European Warrants May 2012	200,000,000	2011/07/18	2012/05/03	50.20
13112	Macquarie Bank Ltd. – Ch Southern Air European Wts Feb 2012	100,000,000	2011/07/18	2012/02/02	33.10
13114	Macquarie Bank Ltd. – Lonking European Warrants Nov 2011	100,000,000	2011/07/18	2011/11/17	28.50
13111	Macquarie Bank Ltd. – Goldwind European Warrants Dec 2012	200,000,000	2011/07/18	2012/12/04	50.60
13119	CC Rabobank B.A. – BOCL European Warrants Jan 2012	150,000,000	2011/07/18	2012/01/16	30.00
13120	CC Rabobank B.A. – China EB Ltd European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/30	25.00
13122	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2012	200,000,000	2011/07/18	2012/01/30	50.00
13121	CC Rabobank B.A. – C Transmission European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/30	50.00
13128	CC Rabobank B.A. – China Liansu European Warrants Sep 2012	100,000,000	2011/07/18	2012/09/17	25.00
13123	CC Rabobank B.A. – Sinopec Corp European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/16	55.00
13124	CC Rabobank B.A. – Esprit European Warrants Dec 2011 A	100,000,000	2011/07/18	2011/12/28	15.00
13125	CC Rabobank B.A. – Li & Fung European Warrants Feb 2012	150,000,000	2011/07/18	2012/02/20	37.50
13126	CC Rabobank B.A. – SJM Holdings European Warrants Jan 2012	150,000,000	2011/07/18	2012/01/30	37.50
13127	CC Rabobank B.A. – United Lab European Warrants Jul 2012	100,000,000	2011/07/18	2012/07/23	25.00
13146	RB of Scotland N.V. – Agricultural Bk European Wts Jan 2012	150,000,000	2011/07/18	2012/01/11	22.65
13147	RB of Scotland N.V. – BOCL European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/20	15.10
13149	RB of Scotland N.V. – China Mobile European Wts Jan 2012	200,000,000	2011/07/18	2012/01/30	50.20
13148	CC Rabobank B.A. – CC Bank European Warrants Dec 2011 A	100,000,000	2011/07/18	2011/12/16	15.10
13152	RB of Scotland N.V. – China Life European Wts Dec 2011	250,000,000	2011/07/18	2011/12/05	37.75

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		發行單位	上市日期	到期日	
13153	RB of Scotland N.V. – CNOOC European Warrants Dec 2011 A	250,000,000	2011/07/18	2011/12/28	37.75
13150	RB of Scotland N.V. – HSBC European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/04	15.10
13141	RB of Scotland N.V. – HSI European Warrants Jan 2012	250,000,000	2011/07/18	2012/01/30	62.75
13142	RB of Scotland N.V. – HSI European Put Warrants Jan 2012	250,000,000	2011/07/18	2012/01/30	62.75
13140	RB of Scotland N.V. – HSI European Warrants Dec 2011 B	250,000,000	2011/07/18	2011/12/29	37.75
13143	RB of Scotland N.V. – HSI European Put Warrants Jan 2012 A	250,000,000	2011/07/18	2012/01/30	62.75
13151	RB of Scotland N.V. – HWL European Warrants Nov 2011	100,000,000	2011/07/18	2011/11/08	15.10
13144	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt May 12	120,000,000	2011/07/18	2012/05/09	30.00
13145	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec 2011	200,000,000	2011/07/18	2011/12/21	34.00
13118	UBS AG – AIA European Warrants Jan 2012	300,000,000	2011/07/18	2012/01/18	75.00
13138	UBS AG – BYD European Warrants Nov 2011 A	100,000,000	2011/07/18	2011/11/25	15.00
13139	UBS AG – HSI European Warrants Oct 2011 F	300,000,000	2011/07/18	2011/10/28	45.00
11152 #	J P Morgan SP BV – China Mob European Wts Oct 2011	150,000,000	2011/07/18	2011/10/13	10.50
27288 #	Citigroup Global Mkt H Inc. – Alibaba European Warrants Oct 11	227,275,000	2011/07/18	2011/10/18	10.00
29843 #	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2012	217,400,000	2011/07/18	2012/03/21	10.00
13201	Credit Suisse AG – Anhui Conch European Warrants Dec 2011	100,000,000	2011/07/19	2011/12/21	30.00
13206	Credit Suisse AG – CNOOC European Warrants Oct 2011 C	300,000,000	2011/07/19	2011/10/24	45.00
13207	Credit Suisse AG – CNOOC European Warrants Oct 2011 D	300,000,000	2011/07/19	2011/10/31	45.00
13203	Credit Suisse AG – HSI European Warrants Oct 2011	300,000,000	2011/07/19	2011/10/28	45.00
13205	Credit Suisse AG – HSI European Warrants Dec 2011	300,000,000	2011/07/19	2011/12/29	45.00
13204	Credit Suisse AG – HSI European Put Warrants Nov 2011	300,000,000	2011/07/19	2011/11/29	45.00
13200	Credit Suisse AG – Jiangxi Copper European Wts Nov 2011	150,000,000	2011/07/19	2011/11/28	22.50
13171	HK Bank – Anta Sports European Warrants Mar 2012	80,000,000	2011/07/19	2012/03/30	20.00
13170	HK Bank – China Mobile European Warrants Jan 2012	150,000,000	2011/07/19	2012/01/19	37.50
13169	HK Bank – Maanshan Iron European Warrants Feb 2012	80,000,000	2011/07/19	2012/02/28	20.00
13194	J P Morgan SP BV – Poly HK Inv European Warrants Jun 2013	100,000,000	2011/07/19	2013/06/24	15.00
13189	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2012 A	128,000,000	2011/07/19	2012/01/19	32.00
13178	KBC Fin Products Int'l Ltd. – Henderson Land Dev Eu Wt Jan 2012	58,000,000	2011/07/19	2012/01/19	14.50
13192	KBC Fin Products Int'l Ltd. – Henderson Land Eu Put Wt Jan 2012	58,000,000	2011/07/19	2012/01/19	24.36
13182	KBC Fin Products Int'l Ltd. – Hang Lung Ppt Eur Wts Apr 2012	48,000,000	2011/07/19	2012/04/05	12.00
13193	KBC Fin Products Int'l Ltd. – Hang Lung Ppt Eu Put Wt May 2012	48,000,000	2011/07/19	2012/05/08	15.36
13175	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2012	108,000,000	2011/07/19	2012/01/19	37.80
13176	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2012	128,000,000	2011/07/19	2012/02/01	32.00
13190	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2012	108,000,000	2011/07/19	2012/01/19	27.00
13179	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jan 2012	128,000,000	2011/07/19	2012/01/19	48.64
13180	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jan 2012 A	128,000,000	2011/07/19	2012/01/19	66.56
13183	KBC Fin Products Int'l Ltd. – KECL European Wts Mar 2012	68,000,000	2011/07/19	2012/03/07	10.88
13184	KBC Fin Products Int'l Ltd. – KECL European Wts Mar 2012 A	68,000,000	2011/07/19	2012/03/15	17.00
13177	KBC Fin Products Int'l Ltd. – PWR Assets Euro Wts Apr 2012	48,000,000	2011/07/19	2012/04/16	23.52
13191	KBC Fin Products Int'l Ltd. – PWR Assets Eur Put Wt Apr 2012	48,000,000	2011/07/19	2012/04/16	12.00
13181	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jan 2012	80,000,000	2011/07/19	2012/01/19	34.40
13186	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Dec 2011 B	108,000,000	2011/07/19	2011/12/15	16.20
13185	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jan 2012	108,000,000	2011/07/19	2012/01/19	29.16
13188	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jan 2012 A	128,000,000	2011/07/19	2012/01/03	19.20

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		發行單位	上市日期	到期日	
13187	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Feb 2012	108,000,000	2011/07/19	2012/02/24	16.20
13174	KBC Fin Products Int'l Ltd. – Wharf Euro Warrants Jan 2012	68,000,000	2011/07/19	2012/01/19	23.12
13168	Macquarie Bank Ltd. – Longfor PPT European Warrants Jan 2012	200,000,000	2011/07/19	2012/01/19	50.00
13166	CC Rabobank B.A. – Alibaba European Warrants Jun 2012	150,000,000	2011/07/19	2012/06/25	22.50
13161	CC Rabobank B.A. – China Mer Hold European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	35.00
13165	CC Rabobank B.A. – China Oilfield European Warrants Jul 2012	100,000,000	2011/07/19	2012/07/16	25.00
13163	CC Rabobank B.A. – CNBM European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	25.00
13164	CC Rabobank B.A. – Digital China European Warrants Jul 2012	100,000,000	2011/07/19	2012/07/16	25.00
13162	CC Rabobank B.A. – Gome European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	25.00
13167	CC Rabobank B.A. – Lee & Man Paper Euro Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	50.00
13160	CC Rabobank B.A. – Lonking European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	40.00
13159	CC Rabobank B.A. – Goldwind European Warrants Oct 2012	100,000,000	2011/07/19	2012/10/22	25.00
13195	CC Rabobank B.A. – CC Bank European Warrants Dec 2011 B	100,000,000	2011/07/19	2011/12/30	15.10
13196	RB of Scotland N.V. – China Life European Wts Sep 2012	200,000,000	2011/07/19	2012/09/25	50.20
13197	RB of Scotland N.V. – HSBC European Warrants Jan 2012 A	100,000,000	2011/07/19	2012/01/20	25.10
13198	RB of Scotland N.V. – HWL European Warrants Dec 2011	100,000,000	2011/07/19	2011/12/09	15.10
13199	RB of Scotland N.V. – PetroChina European Warrants Dec 2012	250,000,000	2011/07/19	2012/12/28	37.75
13173	Standard Chartered Bank – BOC HK European Warrants May 2012	100,000,000	2011/07/19	2012/05/03	19.50
13172	Standard Chartered Bank – CC Bank Euro Warrants Dec 2011 B	100,000,000	2011/07/19	2011/12/09	15.00
13202	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2012	200,000,000	2011/07/19	2012/02/06	56.00
13218	Barclays Bank plc – HSI European Put Warrants Jan 2012	150,000,000	2011/07/20	2012/01/30	37.50
13217	Barclays Bank plc – HSI European Warrants Dec 2011 A	150,000,000	2011/07/20	2011/12/29	22.50
13264	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Aug 2012	100,000,000	2011/07/20	2012/08/02	25.00
13263	BNP Paribas Arbit Issu B.V. – AIA European Warrants Aug 2012	100,000,000	2011/07/20	2012/08/02	25.00
13254	Citigroup Global Mkt H Inc. – Anta Sports Euro Wts Apr 2012	50,000,000	2011/07/20	2012/04/30	12.50
13251	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Apr 2012	80,000,000	2011/07/20	2012/04/30	20.00
13253	Citigroup Global Mkt H Inc. – R&F Ppt European Wts Nov 2012	80,000,000	2011/07/20	2012/11/09	12.00
13252	Citigroup Global Mkt H Inc. – Geely Auto Euro Wts Feb 2012	50,000,000	2011/07/20	2012/02/06	12.50
13249	Citigroup Global Mkt H Inc. – HSCEI European Wts Jan 2012	80,000,000	2011/07/20	2012/01/30	12.00
13248	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Feb 2012	80,000,000	2011/07/20	2012/02/28	12.00
13247	Citigroup Global Mkt H Inc. – HSI European Warrants Dec 2011	80,000,000	2011/07/20	2011/12/29	12.00
13250	Citigroup Global Mkt H Inc. – HSI European Put Wts Nov 2011	80,000,000	2011/07/20	2011/11/29	12.00
13255	Citigroup Global Mkt H Inc. – ICBC European Wts Dec 2011 A	50,000,000	2011/07/20	2011/12/19	10.00
13244	HK Bank – Agricultural Bank European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	25.00
13246	HK Bank – BOCL European Warrants Feb 2012	100,000,000	2011/07/20	2012/02/22	25.00
13242	HK Bank – CC Bank European Warrants Jan 2012	120,000,000	2011/07/20	2012/01/20	30.00
13238	HK Bank – Cheung Kong European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	47.00
13241	HK Bank – CNOOC European Warrants Apr 2012	100,000,000	2011/07/20	2012/04/20	25.00
13239	HK Bank – HWL European Warrants Jan 2012 A	150,000,000	2011/07/20	2012/01/30	49.50
13245	HK Bank – ICBC European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/20	25.00
13240	HK Bank – SHK Ppt European Warrants Apr 2013	100,000,000	2011/07/20	2013/04/18	25.00
13243	HK Bank – Yurun Food European Warrants Jan 2012	80,000,000	2011/07/20	2012/01/20	20.00
13223	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 2012	128,000,000	2011/07/20	2012/01/20	35.84
13228	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 2012	108,000,000	2011/07/20	2012/01/20	27.00

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		發行單位	上市日期	到期日	
13229	KBC Fin Products Int'l Ltd. – AIA Euro Put Warrants Feb 2012	108,000,000	2011/07/20	2012/02/01	27.00
13231	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 2012	108,000,000	2011/07/20	2012/02/01	27.00
13232	KBC Fin Products Int'l Ltd. – A50 Ch Euro Put Wts May 2012	80,000,000	2011/07/20	2012/05/21	12.00
13226	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Apr 2012	108,000,000	2011/07/20	2012/04/20	17.28
13225	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Dec 2011 D	88,000,000	2011/07/20	2011/12/19	22.88
13233	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2011	200,000,000	2011/07/20	2011/11/29	30.00
13236	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2012	200,000,000	2011/07/20	2012/01/30	30.00
13237	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2012	200,000,000	2011/07/20	2012/03/29	30.00
13234	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2011 A	200,000,000	2011/07/20	2011/11/29	30.00
13235	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2011 C	200,000,000	2011/07/20	2011/12/29	30.00
13222	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jan 2012 B	128,000,000	2011/07/20	2012/01/03	55.04
13230	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Jan 2012	108,000,000	2011/07/20	2012/01/20	27.00
13224	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2012 B	128,000,000	2011/07/20	2012/01/20	32.00
13227	KBC Fin Products Int'l Ltd – Tencent Hldgs Eu Put Wt Jan 2012	108,000,000	2011/07/20	2012/01/09	19.44
13214	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Feb 2012	200,000,000	2011/07/20	2012/02/02	51.00
13215	Macquarie Bank Ltd. – BOCL European Put Warrants Mar 2012	200,000,000	2011/07/20	2012/03/02	50.20
13213	Macquarie Bank Ltd. – ICBC European Warrants Feb 2012	200,000,000	2011/07/20	2012/02/02	53.60
13216	Macquarie Bank Ltd. – ICBC European Put Warrants Feb 2012	200,000,000	2011/07/20	2012/02/02	50.80
13211	CC Rabobank B.A. – Ch Ship Cont European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	25.00
13212	CC Rabobank B.A. – Shineway Pharm European Warrants Mar 2012	100,000,000	2011/07/20	2012/03/19	25.00
13210	CC Rabobank B.A. – Ch Zhongwang European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	35.00
13208	CC Rabobank B.A. – Maanshan Iron European Wts Jan 2012	100,000,000	2011/07/20	2012/01/30	30.00
13209	CC Rabobank B.A. – Shimao Ppt European Warrants Jun 2012	100,000,000	2011/07/20	2012/06/29	15.00
13261	RB of Scotland N.V. – Agricultural Bk Euro Wts Jan 2012 A	150,000,000	2011/07/20	2012/01/30	37.65
13262	RB of Scotland N.V. – BOCL European Warrants Feb 2012	100,000,000	2011/07/20	2012/02/02	15.10
13259	RB of Scotland N.V. – ICBC European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	25.10
13260	RB of Scotland N.V. – ICBC European Warrants Jan 2012 A	100,000,000	2011/07/20	2012/01/09	15.10
13220	Standard Chartered Bank – China Mobile Euro Put Wts Feb 2012	100,000,000	2011/07/20	2012/02/02	25.00
13219	Standard Chartered Bank – CC Bank European Wts Jan 2012 A	100,000,000	2011/07/20	2012/01/20	42.00
13221	Standard Chartered Bank – ICBC European Warrants Dec 2011 B	100,000,000	2011/07/20	2011/12/19	24.00
13256	UBS AG – Agricultural Bank European Warrants Dec 2011 A	200,000,000	2011/07/20	2011/12/06	30.00
13258	UBS AG – Hutchison European Warrants Dec 2013	500,000,000	2011/07/20	2013/12/16	75.00
13257	UBS AG – Hutchison European Warrants Nov 2011 B	300,000,000	2011/07/20	2011/11/23	45.00
13270	BNP Paribas Arbit Issu B.V. – Chi Resources Euro Wt Apr 2012	60,000,000	2011/07/21	2012/04/03	15.60
13272	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Feb 2012	50,000,000	2011/07/21	2012/02/02	13.50
13271	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Oct 2012	100,000,000	2011/07/21	2012/10/04	25.00
13273	BNP Paribas Arbit Issu B.V. – Zijin Mining Euro Wts Mar 2012	40,000,000	2011/07/21	2012/03/02	17.60
13301	HK Bank – CHALCO European Warrants Mar 2012	80,000,000	2011/07/21	2012/03/05	21.60
13300	HK Bank – Country Garden European Warrants Apr 2012	80,000,000	2011/07/21	2012/04/25	20.00
13295	HK Bank – China Overseas European Warrants Jul 2012	80,000,000	2011/07/21	2012/07/18	20.00
13296	HK Bank – China Tel European Warrants Feb 2012	80,000,000	2011/07/21	2012/02/15	20.00
13298	HK Bank – CITIC Bank European Warrants Apr 2012	80,000,000	2011/07/21	2012/04/13	20.00
13294	HK Bank – Li & Fung European Warrants Jul 2012	80,000,000	2011/07/21	2012/07/10	20.00
13297	HK Bank – Lenovo European Warrants Feb 2012	80,000,000	2011/07/21	2012/02/24	20.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13299	HK Bank – Yanzhou Coal European Warrants Mar 2012	100,000,000	2011/07/21	2012/03/08	25.00
13274	KBC Fin Products Int'l Ltd. – BEA European Warrants Mar 2012	68,000,000	2011/07/21	2012/03/05	10.20
13275	KBC Fin Products Int'l Ltd. – Ch Mer Hold Euro Wts May 2012	68,000,000	2011/07/21	2012/05/10	17.00
13282	KBC Fin Products Int'l Ltd. – Ch Mer Hold Eu Put Wt May 2012	68,000,000	2011/07/21	2012/05/10	17.00
13278	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts May 2012	80,000,000	2011/07/21	2012/05/02	23.20
13283	KBC Fin Products Int'l Ltd.- China Overseas Eu Put Wt May 12	80,000,000	2011/07/21	2012/05/08	20.00
13285	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Jan 2012	58,000,000	2011/07/21	2012/01/26	14.50
13281	KBC Fin Products Int'l Ltd. – CNOOC European Wts Apr 2012	98,000,000	2011/07/21	2012/04/20	14.70
13286	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jul 2012	80,000,000	2011/07/21	2012/07/03	20.00
13276	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jan 2012	80,000,000	2011/07/21	2012/01/26	22.40
13277	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2012	80,000,000	2011/07/21	2012/02/15	20.00
13279	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jan 2012 A	58,000,000	2011/07/21	2012/01/26	23.20
13290	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2012	100,000,000	2011/07/21	2012/01/30	17.00
13291	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2012	100,000,000	2011/07/21	2012/02/28	15.00
13288	KBC Fin Products Int'l Ltd. – HSI European Wts Jan 2012	200,000,000	2011/07/21	2012/01/30	50.00
13287	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 D	200,000,000	2011/07/21	2011/12/29	30.00
13292	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 E	200,000,000	2011/07/21	2011/12/29	30.00
13289	KBC Fin Products Int'l Ltd. – HSI European Wts Jan 2012 A	200,000,000	2011/07/21	2012/01/30	50.00
13293	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Oct 2011	98,000,000	2011/07/21	2011/10/31	14.70
13280	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jan 2012 A	98,000,000	2011/07/21	2012/01/26	24.50
13284	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Jan 12 B	108,000,000	2011/07/21	2012/01/26	27.00
13302	Macquarie Bank Ltd. – CNBM European Warrants Feb 2012	200,000,000	2011/07/21	2012/02/02	50.00
13269	Macquarie Bank Ltd. – China Railway European Wts Feb 2012	150,000,000	2011/07/21	2012/02/02	37.50
13303	Macquarie Bank Ltd. – Zhaojin Mining Euro Warrants May 2012	200,000,000	2011/07/21	2012/05/03	50.40
13267	CC Rabobank B.A. – China COSCO European Warrants Jan 2012	100,000,000	2011/07/21	2012/01/30	40.00
13265	CC Rabobank B.A. – Cheung Kong European Warrants Nov 2011	150,000,000	2011/07/21	2011/11/28	37.50
13266	CC Rabobank B.A. – Datang European Warrants Mar 2012	100,000,000	2011/07/21	2012/03/19	30.00
13268	CC Rabobank B.A. – MCC European Warrants Jan 2012	100,000,000	2011/07/21	2012/01/30	25.00
13306	RB of Scotland N.V. – CHALCO European Warrants Oct 2013	100,000,000	2011/07/21	2013/10/02	15.10
13305	RB of Scotland N.V. – BYD European Warrants Jun 2012	300,000,000	2011/07/21	2012/06/25	75.30
13307	RB of Scotland N.V. – A50 China European Warrants Oct 2012	250,000,000	2011/07/21	2012/10/15	37.75
13308	RB of Scotland N.V. – A50 China European Put Wts May 2012	250,000,000	2011/07/21	2012/05/28	37.75
13304	UBS AG – China Tel European Warrants Dec 2011	100,000,000	2011/07/21	2011/12/12	15.00
27250#	Standard Chartered Bank – AIA European Warrants Nov 2011	200,000,000	2011/07/21	2011/11/28	11.00
13335	Citigroup Global Mkt H Inc. – BYD European Warrants Feb 2012	80,000,000	2011/07/22	2012/02/06	20.00
13333	Citigroup Global Mkt H Inc. – China Tel Euro Wts Feb 2012	50,000,000	2011/07/22	2012/02/06	12.50
13334	Citigroup Global Mkt H Inc. – Ch Railway Cons Eu Wt Feb 2012	50,000,000	2011/07/22	2012/02/06	12.50
13336	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Feb 2012	80,000,000	2011/07/22	2012/02/06	24.00
13332	Citigroup Global Mkt H Inc. – Li & Fung European Wt Jun 2012	80,000,000	2011/07/22	2012/06/18	12.00
13321	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2012	200,000,000	2011/07/22	2012/01/26	65.40
13326	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Jan 2012	80,000,000	2011/07/22	2012/01/03	39.20
13327	KBC Fin Products Int'l Ltd. – CITIC P European Wts Jul 2012	80,000,000	2011/07/22	2012/07/18	20.00
13330	KBC Fin Products Int'l Ltd. – CITIC Pac Euro Put Wt Jul 2012	80,000,000	2011/07/22	2012/07/18	29.60
13328	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Dec 2011	80,000,000	2011/07/22	2011/12/12	20.80

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13329	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Feb 2012	80,000,000	2011/07/22	2012/02/15	20.00
13324	Macquarie Bank Ltd. – Anhui Conch European Warrants Feb 2012	200,000,000	2011/07/22	2012/02/02	85.60
13322	Macquarie Bank Ltd. – Alibaba European Warrants Jul 2012	200,000,000	2011/07/22	2012/07/04	50.60
13323	Macquarie Bank Ltd. – China Mengniu European Wts Feb 2012 A	200,000,000	2011/07/22	2012/02/02	50.00
13331	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Jan 2012	200,000,000	2011/07/22	2012/01/26	83.80
13309	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Jan 2012	80,000,000	2011/07/22	2012/01/10	12.24
13310	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Feb 2012	80,000,000	2011/07/22	2012/02/06	34.40
13313	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Feb 2012	80,000,000	2011/07/22	2012/02/13	21.60
13311	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2012	80,000,000	2011/07/22	2012/02/06	20.00
13314	Merrill Lynch Int'l & Co. C.V. – BOCL Euro Put Wts Feb 2012	80,000,000	2011/07/22	2012/02/13	20.80
13312	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Feb 2012	80,000,000	2011/07/22	2012/02/06	40.80
13315	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wts Feb 2012	80,000,000	2011/07/22	2012/02/13	20.80
13320	CC Rabobank B.A. – AviChina European Warrants Mar 2012	100,000,000	2011/07/22	2012/03/19	50.00
13318	CC Rabobank B.A. – BJ Ent Water European Warrants Mar 2012	100,000,000	2011/07/22	2012/03/19	30.00
13319	CC Rabobank B.A. – China Tel European Warrants Jan 2012	100,000,000	2011/07/22	2012/01/09	15.00
13317	CC Rabobank B.A. – Shun Tak European Warrants Jan 2012	100,000,000	2011/07/22	2012/01/30	55.00
13316	CC Rabobank B.A. – Tsingtao Brew European Warrants Mar 2012	100,000,000	2011/07/22	2012/03/19	60.00
13325	UBS AG – ICBC European Warrants Dec 2012	500,000,000	2011/07/22	2012/12/10	75.00
11538 #	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Nov 11	200,000,000	2011/07/22	2011/11/28	12.80
29380 #	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jan 2012	250,000,000	2011/07/22	2012/01/16	10.25
13350	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Mar 12	200,000,000	2011/07/25	2012/03/02	74.00
13349	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2012	200,000,000	2011/07/25	2012/03/02	82.00
13347	BNP Paribas Arbit Issu B.V. – CITIC Pacific Eu Wt Feb 2012 A	90,000,000	2011/07/25	2012/02/02	22.50
13348	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Mar 2012	100,000,000	2011/07/25	2012/03/02	31.00
13356	Credit Suisse AG – China Tel European Warrants Dec 2011	150,000,000	2011/07/25	2011/12/12	22.50
13357	Credit Suisse AG – China Mobile European Warrants Dec 2011 A	300,000,000	2011/07/25	2011/12/22	45.00
13358	Credit Suisse AG – PICC European Warrants Mar 2012	150,000,000	2011/07/25	2012/03/26	37.50
13337	HK Bank – Evergrande European Warrants Jul 2013	80,000,000	2011/07/25	2013/07/30	20.00
13353	KBC Fin Products Int'l Ltd. – China Shenhua Eu Wt Jan 2012	80,000,000	2011/07/25	2012/01/26	33.60
13351	KBC Fin Products Int'l Ltd. – Esprit European Wts May 2012	68,000,000	2011/07/25	2012/05/10	10.20
13355	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Feb12	80,000,000	2011/07/25	2012/02/20	20.00
13352	KBC Fin Products Int'l Ltd. – Tsingtao Brew Eu Wts Jul 2012	38,000,000	2011/07/25	2012/07/03	22.42
13354	KBC Fin Products Int'l Ltd. – Tsingtao Brew Eu Put Wt Jul 12	38,000,000	2011/07/25	2012/07/03	14.82
13338	Macquarie Bank Ltd. – Shanshui Cement European Wts Dec 2012	200,000,000	2011/07/25	2012/12/04	50.00
13342	CC Rabobank B.A. – Agricultural Bk Euro Warrants Jan 2012	150,000,000	2011/07/25	2012/01/09	22.50
13343	CC Rabobank B.A. – AIA European Warrants Mar 2012	200,000,000	2011/07/25	2012/03/19	30.00
13339	CC Rabobank B.A. – CSR Times European Warrants Feb 2012	100,000,000	2011/07/25	2012/02/06	30.00
13340	CC Rabobank B.A. – HSBC European Warrants Feb 2012	200,000,000	2011/07/25	2012/02/06	50.00
13341	CC Rabobank B.A. – Yuexiu Property Euro Warrants Feb 2012	100,000,000	2011/07/25	2012/02/06	25.00
13346	Standard Chartered Bank – CNBM European Warrants Mar 2012	100,000,000	2011/07/25	2012/03/30	26.00
13345	UBS AG – BOCL European Warrants Jan 2012 A	100,000,000	2011/07/25	2012/01/18	15.00
13344	UBS AG – CC Bank European Warrants Jan 2012	200,000,000	2011/07/25	2012/01/09	76.00
13400	BNP Paribas Arbit Issu B.V. – CNBM European Wts Feb 2012	100,000,000	2011/07/26	2012/02/02	25.00
13401	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Mar 2012	100,000,000	2011/07/26	2012/03/02	74.00

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		發行單位	上市日期	到期日	
13407	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Aug 2012	100,000,000	2011/07/26	2012/08/02	40.00
13370	Citigroup Global Mkt H Inc. – China Agri Euro Wts Dec 2012	80,000,000	2011/07/26	2012/12/20	12.00
13373	Citigroup Global Mkt H Inc. – Ch Comm Cons Euro Wts Apr 2013	80,000,000	2011/07/26	2013/04/16	12.00
13374	Citigroup Global Mkt H Inc. – Lonking European Wts Feb 2012	50,000,000	2011/07/26	2012/02/06	15.00
13371	Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Oct 2012	80,000,000	2011/07/26	2012/10/10	12.00
13372	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Feb 2012	80,000,000	2011/07/26	2012/02/06	20.00
13367	HK Bank – Anhui Conch European Warrants Jun 2012	80,000,000	2011/07/26	2012/06/15	25.60
13368	HK Bank – Lonking European Warrants Sep 2012	80,000,000	2011/07/26	2012/09/11	45.60
13404	KBC Fin Products Int'l Ltd. – Anhui Conch Eu Put Wt Mar 2012	68,000,000	2011/07/26	2012/03/15	23.80
13403	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2012	80,000,000	2011/07/26	2012/04/02	20.00
13402	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Jan 2012	68,000,000	2011/07/26	2012/01/26	17.00
13406	KBC Fin Products Int'l Ltd. – BankComm Eur Put Wt Feb 2012	68,000,000	2011/07/26	2012/02/08	20.40
13405	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Feb 2012	80,000,000	2011/07/26	2012/02/01	21.60
13364	Macquarie Bank Ltd. – Bank of EA European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/04	15.00
13365	Macquarie Bank Ltd. – Li & Fung European Warrants Jun 2012	200,000,000	2011/07/26	2012/06/15	30.00
13359	Merrill Lynch Int'l & Co. C.V. – AIA Euro Warrants Feb 2012	80,000,000	2011/07/26	2012/02/03	20.00
13363	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Apr 2012	80,000,000	2011/07/26	2012/04/23	20.00
13360	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Mar 12A	80,000,000	2011/07/26	2012/03/26	27.20
13362	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2012	80,000,000	2011/07/26	2012/03/05	21.20
13361	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts May 2012	80,000,000	2011/07/26	2012/05/14	20.00
13386	Nomura Int'l plc – Anhui Conch European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.60
13392	Nomura Int'l plc – AIA European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	15.00
13378	Nomura Int'l plc – Angang Steel European Warrants Nov 2013	100,000,000	2011/07/26	2013/11/29	15.00
13382	Nomura Int'l plc – Ch East Air European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	26.80
13396	Nomura Int'l plc – China Life European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13395	Nomura Int'l plc – China Life European Warrants Feb 2012	100,000,000	2011/07/26	2012/02/06	15.00
13384	Nomura Int'l plc – CNOOC European Warrants Jan 2012 A	100,000,000	2011/07/26	2012/01/26	21.00
13385	Nomura Int'l plc – CNOOC European Warrants Apr 2012	100,000,000	2011/07/26	2012/04/02	15.00
13387	Nomura Int'l plc – Ch South Air European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	27.00
13388	Nomura Int'l plc – China Shenhua European Warrants Dec 2011	100,000,000	2011/07/26	2011/12/28	15.30
13393	Nomura Int'l plc – CSR European Warrants Mar 2012	30,000,000	2011/07/26	2012/03/30	18.12
13397	Nomura Int'l plc – A50 China European Warrants Feb 2012 A	100,000,000	2011/07/26	2012/02/29	15.00
13381	Nomura Int'l plc – HKEx European Warrants Apr 2012 A	100,000,000	2011/07/26	2012/04/02	15.00
13375	Nomura Int'l plc – Henderson Land Dev Euro Warrants Dec 2011	100,000,000	2011/07/26	2011/12/28	15.00
13376	Nomura Int'l plc – Hutchison European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13379	Nomura Int'l plc – JIANC European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	30.00
13380	Nomura Int'l plc – JIANC European Warrants Feb 2012	100,000,000	2011/07/26	2012/02/29	25.00
13398	Nomura Int'l plc – Lonking European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13394	Nomura Int'l plc – Ping An European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	27.50
13390	Nomura Int'l plc – Ch Rongsheng European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	28.00
13389	Nomura Int'l plc – Sinopharm European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	15.10
13377	Nomura Int'l plc – Sh Petrochem European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13383	Nomura Int'l plc – Tencent Holdings Euro Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13399	Nomura Int'l plc – United Lab European Warrants Jun 2012	100,000,000	2011/07/26	2012/06/29	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13391	Nomura Int'l plc – Yanzhou Coal European Warrants Feb 2012	100,000,000	2011/07/26	2012/02/24	15.10
13366	Standard Chartered Bank – Weichai Power Euro Wts Jan 2012	50,000,000	2011/07/26	2012/01/30	14.00
13369	UBS AG – CC Bank European Warrants Jan 2012 A	200,000,000	2011/07/26	2012/01/30	50.00
13428	BOCI Asia Ltd. – Agricultural Bk European Warrants Feb 2012	100,000,000	2011/07/27	2012/02/06	25.00
13429	BOCI Asia Ltd. – AIA European Warrants Jan 2012	100,000,000	2011/07/27	2012/01/16	16.00
13430	BOCI Asia Ltd. – China Life European Warrants Feb 2012	100,000,000	2011/07/27	2012/02/06	16.00
13436	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2011 A	80,000,000	2011/07/27	2011/12/05	16.00
13413	HK Bank – C Transmission European Warrants Apr 2013	80,000,000	2011/07/27	2013/04/26	20.00
13409	HK Bank – Galaxy Ent European Warrants Sep 2012	80,000,000	2011/07/27	2012/09/12	20.00
13412	HK Bank – PICC European Warrants Jul 2012	100,000,000	2011/07/27	2012/07/09	25.00
13410	HK Bank – Shanghai Electric European Warrants Sep 2012	80,000,000	2011/07/27	2012/09/18	20.00
13411	HK Bank – Zijin Mining European Warrants Apr 2012	80,000,000	2011/07/27	2012/04/13	20.00
13426	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2012 A	200,000,000	2011/07/27	2012/01/31	51.40
13427	J P Morgan SP BV – Sinopec Corp European Warrants Dec 2011	200,000,000	2011/07/27	2011/12/28	109.00
13439	J P Morgan SP BV – HSI European Put Warrants Jan 2012	200,000,000	2011/07/27	2012/01/30	50.00
13438	J P Morgan SP BV – HSI European Warrants Jan 2012 A	200,000,000	2011/07/27	2012/01/30	50.00
13435	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts May 2012	80,000,000	2011/07/27	2012/05/02	20.00
13431	KBC Fin Products Int'l Ltd. – BYD European Warrants Dec 2011	68,000,000	2011/07/27	2011/12/23	10.20
13432	KBC Fin Products Int'l Ltd. – BYD European Warrants Mar 2012	68,000,000	2011/07/27	2012/03/15	23.80
13433	KBC Fin Products Int'l Ltd. – BYD European Warrants Jul 2012	68,000,000	2011/07/27	2012/07/10	17.00
13434	KBC Fin Products Int'l Ltd. – BYD European Put Wts Apr 2012	68,000,000	2011/07/27	2012/04/20	23.80
13415	Macquarie Bank Ltd. – CITIC Pacific European Wts Apr 2012	200,000,000	2011/07/27	2012/04/25	39.20
13414	Macquarie Bank Ltd. – Melco Int'l Dev European Wts Sep 2012	200,000,000	2011/07/27	2012/09/04	50.00
13437	RB of Scotland N.V. – HSBC European Warrants Apr 2016	100,000,000	2011/07/27	2016/04/05	15.10
13408	Standard Chartered Bank – BOCL European Warrants Apr 2012	100,000,000	2011/07/27	2012/04/02	25.00
13417	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012	200,000,000	2011/07/27	2012/02/27	30.00
13416	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2012	200,000,000	2011/07/27	2012/01/18	38.00
13421	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jan 2012	500,000,000	2011/07/27	2012/01/16	75.00
13423	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2012	500,000,000	2011/07/27	2012/09/24	75.00
13425	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Dec 11	500,000,000	2011/07/27	2011/12/05	75.00
13422	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2012 C	500,000,000	2011/07/27	2012/06/04	75.00
13424	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2012 A	500,000,000	2011/07/27	2012/09/24	75.00
13418	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012	300,000,000	2011/07/27	2012/01/30	75.00
13420	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 2012	300,000,000	2011/07/27	2012/01/30	75.00
13419	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012 A	300,000,000	2011/07/27	2012/01/30	48.00
28980 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2011 B	500,000,000	2011/07/27	2011/09/28	12.00
12684 #	UBS AG – AIA European Warrants Dec 2011	200,000,000	2011/07/27	2011/12/05	27.40
13447	BOCI Asia Ltd. – BYD European Warrants Jan 2012	100,000,000	2011/07/28	2012/01/31	20.00
13450	BOCI Asia Ltd. – China Unicom European Warrants May 2012	100,000,000	2011/07/28	2012/05/11	15.00
13448	BOCI Asia Ltd. – CITBK European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/06	35.00
13449	BOCI Asia Ltd. – Minsheng Bank European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/13	35.00
13451	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Nov 2011 A	80,000,000	2011/07/28	2011/11/25	12.00
13463	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2012 A	80,000,000	2011/07/28	2012/01/10	12.00
13461	KBC Fin Products Int'l Ltd. – Belle European Wts Jun 2012	68,000,000	2011/07/28	2012/06/18	17.00

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		發行單位	上市日期	到期日	
13460	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2012	128,000,000	2011/07/28	2012/02/01	32.00
13458	KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Mar 2012	68,000,000	2011/07/28	2012/03/15	17.00
13459	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jan 2012	98,000,000	2011/07/28	2012/01/03	14.70
13453	Macquarie Bank Ltd. – China Mobile European Wts Mar 2012	200,000,000	2011/07/28	2012/03/02	50.00
13452	Macquarie Bank Ltd. – Minsheng Bank European Wts Dec 2011	150,000,000	2011/07/28	2011/12/20	38.85
13445	Macquarie Bank Ltd. – COSCO Pacific European Wts Aug 2012	200,000,000	2011/07/28	2012/08/02	50.00
13454	Macquarie Bank Ltd. – Sinopharm European Warrants May 2012	150,000,000	2011/07/28	2012/05/03	37.50
13446	Macquarie Bank Ltd. – Xinyi Glass European Warrants Oct 2012	200,000,000	2011/07/28	2012/10/04	50.00
13444	CC Rabobank B.A. – BOC HK European Warrants Jun 2012	200,000,000	2011/07/28	2012/06/26	34.00
13442	CC Rabobank B.A. – China Shenhua European Warrants Jan 2012	100,000,000	2011/07/28	2012/01/04	19.00
13441	CC Rabobank B.A. – Lenovo European Warrants Oct 2012	100,000,000	2011/07/28	2012/10/15	95.00
13440	CC Rabobank B.A. – Melco Int'l Dev Euro Warrants Sep 2012	100,000,000	2011/07/28	2012/09/10	25.00
13443	CC Rabobank B.A. – Sands China European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/20	25.00
13467	RB of Scotland N.V. – China Life European Wts Jan 2012	200,000,000	2011/07/28	2012/01/18	30.20
13468	RB of Scotland N.V. – CNOOC European Warrants Feb 2012	250,000,000	2011/07/28	2012/02/14	37.75
13469	RB of Scotland N.V. – HKEx European Warrants Sep 2012	200,000,000	2011/07/28	2012/09/20	30.20
13470	RB of Scotland N.V. – HWL European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/20	25.10
13457	Standard Chartered Bank – BOCL European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/29	35.00
13455	Standard Chartered Bank – Yurun Food Euro Warrants Feb 2012	100,000,000	2011/07/28	2012/02/29	25.00
13456	Standard Chartered Bank – Yurun Food Euro Warrants Aug 2012	100,000,000	2011/07/28	2012/08/06	50.00
13465	UBS AG – China Mobile European Warrants Jan 2012	200,000,000	2011/07/28	2012/01/30	50.00
13466	UBS AG – China Mobile European Warrants Jun 2015	500,000,000	2011/07/28	2015/06/29	125.00
13464	UBS AG – Hutchison European Warrants Dec 2011 B	200,000,000	2011/07/28	2011/12/05	30.00
13471	BOCI Asia Ltd. – CNBM European Warrants Mar 2012	100,000,000	2011/07/29	2012/03/05	25.00
13472	BOCI Asia Ltd. – CNOOC European Warrants Jul 2012	100,000,000	2011/07/29	2012/07/03	15.00
13473	BOCI Asia Ltd. – Lonking European Warrants Mar 2012	100,000,000	2011/07/29	2012/03/05	35.00
13477	Credit Suisse AG – China Mobile European Warrants Nov 2011	300,000,000	2011/07/29	2011/11/28	45.00
13480	J P Morgan SP BV – China Mob European Wts Jan 2012	200,000,000	2011/07/29	2012/01/30	50.00
13478	J P Morgan SP BV – China Mob European Wts Dec 2011 A	200,000,000	2011/07/29	2011/12/30	32.40
13479	Macquarie Bank Ltd. – C Transmission Euro Warrants Jan 2012	150,000,000	2011/07/29	2012/01/30	41.70
13481	RB of Scotland N.V. – HWL European Warrants Mar 2012 A	100,000,000	2011/07/29	2012/03/21	25.10
13476	Standard Chartered Bank – China Mobile Euro Wts Feb 2012 A	100,000,000	2011/07/29	2012/02/29	30.00
13475	Standard Chartered Bank – CNOOC European Warrants Mar 2012	100,000,000	2011/07/29	2012/03/29	20.80
13474	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2012 A	100,000,000	2011/07/29	2012/02/29	66.00
13515	BNP Paribas Arbit Issu B.V. – AIA European Warrants Jan 2012	100,000,000	2011/08/01	2012/01/09	24.00
13516	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2012	200,000,000	2011/08/01	2012/03/02	50.00
13514	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2012	200,000,000	2011/08/01	2012/07/03	76.00
13517	Credit Suisse AG – AIA European Warrants Mar 2012	300,000,000	2011/08/01	2012/03/05	45.00
13482	Citigroup Global Mkt H Inc. – C Transmission Eur Wt Jan 2013	80,000,000	2011/08/01	2013/01/09	20.00
13483	Citigroup Global Mkt H Inc. – CSR European Warrants Feb 2013	80,000,000	2011/08/01	2013/02/28	20.00
13504	J P Morgan SP BV – Angang Steel European Warrants Jul 2013	150,000,000	2011/08/01	2013/07/23	22.50
13506	J P Morgan SP BV – BOCL European Warrants Jan 2012	200,000,000	2011/08/01	2012/01/09	37.00
13512	J P Morgan SP BV – Ch Merchants European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/01	25.00
13511	J P Morgan SP BV – CITIC Pacific Euro Wts Apr 2012	100,000,000	2011/08/01	2012/04/27	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13502	J P Morgan SP BV – CNOOC European Warrants Jan 2012 A	200,000,000	2011/08/01	2012/01/26	30.00
13508	J P Morgan SP BV – Henderson Land Dev European Wts Feb 2012	80,000,000	2011/08/01	2012/02/01	20.00
13510	J P Morgan SP BV – Hang Lung Ppt European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/01	25.00
13509	J P Morgan SP BV – HS Bank European Warrants Feb 2012	80,000,000	2011/08/01	2012/02/01	20.00
13513	J P Morgan SP BV – Luk Fook Hold European Warrants Feb 2012	68,000,000	2011/08/01	2012/02/01	17.00
13505	J P Morgan SP BV – New World Dev European Warrants Feb 2013	100,000,000	2011/08/01	2013/02/25	15.00
13507	J P Morgan SP BV – SHK Ppt European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/01	36.40
13503	J P Morgan SP BV – SJM Holdings European Warrants Jan 2012	200,000,000	2011/08/01	2012/01/18	30.00
13498	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011 B	128,000,000	2011/08/01	2011/11/07	25.60
13501	KBC Fin Products Int'l Ltd. – CNBM European Put Wts Jun 2012	88,000,000	2011/08/01	2012/06/06	22.00
13497	KBC Fin Products Int'l Ltd. – Li & Fung Euro Wts Jul 2012	68,000,000	2011/08/01	2012/07/03	10.20
13500	KBC Fin Products Int'l Ltd. – Li & Fung Euro Put Wt May 2012	58,000,000	2011/08/01	2012/05/18	22.04
13484	Macquarie Bank Ltd. – CRCC European Warrants Feb 2012	150,000,000	2011/08/01	2012/02/02	37.50
13485	Macquarie Bank Ltd. – CSR European Warrants Aug 2013	150,000,000	2011/08/01	2013/08/02	37.50
13486	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2012	200,000,000	2011/08/01	2012/08/02	50.00
13490	CC Rabobank B.A. – Anta Sports European Warrants Mar 2012	100,000,000	2011/08/01	2012/03/26	15.00
13488	CC Rabobank B.A. – China Overseas European Warrants Mar 2012	100,000,000	2011/08/01	2012/03/19	25.00
13491	CC Rabobank B.A. – CQRC Bank European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/20	60.00
13489	CC Rabobank B.A. – ZhengTongAuto European Warrants Apr 2012	100,000,000	2011/08/01	2012/04/16	25.00
13487	CC Rabobank B.A. – Dongyue Group European Warrants Apr 2012	100,000,000	2011/08/01	2012/04/16	25.00
10116	RB of Scotland N.V. – DJIA European Warrants Jun 2012	100,000,000	2011/08/01	2012/06/15	25.10
10117	RB of Scotland N.V. – DJIA European Put Warrants Jun 2012	100,000,000	2011/08/01	2012/06/15	25.10
13518	RB of Scotland N.V. – Tencent Holdings European Wts Mar 2012	80,000,000	2011/08/01	2012/03/05	20.08
13519	RB of Scotland N.V. – Tencent European Put Warrants Feb 2012	250,000,000	2011/08/01	2012/02/20	37.75
13492	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wt Jun 2012	100,000,000	2011/08/01	2012/06/25	15.00
13493	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012 A	200,000,000	2011/08/01	2012/02/06	52.00
13494	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2012	300,000,000	2011/08/01	2012/02/08	45.00
13495	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2012 A	300,000,000	2011/08/01	2012/04/23	45.00
13496	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Feb 2012	50,000,000	2011/08/01	2012/02/06	32.50
29882 #	Macquarie Bank Ltd. – Hutchison European Warrants Oct 2011	128,000,000	2011/08/01	2011/10/04	28.80
13520	Barclays Bank plc – China Mobile European Wts Apr 2012	100,000,000	2011/08/02	2012/04/16	25.00
13522	BOCI Asia Ltd. – HSI European Warrants Dec 2012	100,000,000	2011/08/02	2012/12/28	25.00
13524	BOCI Asia Ltd. – HSI European Put Warrants Dec 2012	100,000,000	2011/08/02	2012/12/28	35.00
13523	BOCI Asia Ltd. – HSI European Warrants Dec 2012 A	100,000,000	2011/08/02	2012/12/28	30.00
13525	BOCI Asia Ltd. – HSI European Put Warrants Dec 2012 B	100,000,000	2011/08/02	2012/12/28	40.00
13521	BOCI Asia Ltd. – Hutchison European Warrants Feb 2012	100,000,000	2011/08/02	2012/02/06	30.00
10121	BNP Paribas Arbit Issu B.V. – AUD/USD European Wts Jun 2012	100,000,000	2011/08/02	2012/06/12	25.00
10122	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Jun 2012	100,000,000	2011/08/02	2012/06/12	25.00
10119	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Jun 2012	100,000,000	2011/08/02	2012/06/06	25.00
10118	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Feb 2012	100,000,000	2011/08/02	2012/02/16	25.00
10120	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Feb 2012	100,000,000	2011/08/02	2012/02/16	25.00
13528	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2012	128,000,000	2011/08/02	2012/03/01	32.00
13527	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2012 A	128,000,000	2011/08/02	2012/02/02	44.80
13526	Macquarie Bank Ltd. – China Mobile European Wts Feb 2012 A	200,000,000	2011/08/02	2012/02/09	50.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13534	RB of Scotland N.V. – China Mobile European Wts Mar 2012	200,000,000	2011/08/02	2012/03/23	50.20
10123	RB of Scotland N.V. – Gold European Warrants Jun 2012	100,000,000	2011/08/02	2012/06/25	25.10
13535	RB of Scotland N.V. – HSCEI European Put Warrants Feb 2012	200,000,000	2011/08/02	2012/02/28	30.20
13529	Standard Chartered Bank – China Overseas Euro Wt May 2012	100,000,000	2011/08/02	2012/05/15	25.00
13530	Standard Chartered Bank – Ch Comm Cons Eur Warrants Feb 2012	100,000,000	2011/08/02	2012/02/29	33.00
13531	Standard Chartered Bank – Ch Comm Cons Eur Warrants Apr 2013	150,000,000	2011/08/02	2013/04/30	22.50
13532	UBS AG – HSBC European Warrants Nov 2011 A	200,000,000	2011/08/02	2011/11/28	30.00
13533	UBS AG – HSI European Put Warrants Nov 2011 D	300,000,000	2011/08/02	2011/11/29	45.00
13565	BNP Paribas Arbit Issu B.V. – AIA European Put Wts Feb 2012	100,000,000	2011/08/03	2012/02/03	15.00
13559	BNP Paribas Arbit Issu B.V. – Angang Steel Euro Wt Jan 2013	100,000,000	2011/08/03	2013/01/02	18.00
13560	BNP Paribas Arbit Issu B.V. – Angang Steel Euro Wt Dec 2013	100,000,000	2011/08/03	2013/12/03	16.00
13566	BNP Paribas Arbit Issu B.V. – Anta Sports Euro Wts Jul 2012	100,000,000	2011/08/03	2012/07/03	25.00
13564	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Mar 2012	100,000,000	2011/08/03	2012/03/02	47.00
13562	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	29.00
13563	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	44.00
13555	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Feb 2012	60,000,000	2011/08/03	2012/02/03	28.20
13574	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	25.00
13556	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	34.00
13557	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Mar 2012	100,000,000	2011/08/03	2012/03/02	43.00
13561	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Aug 2012	100,000,000	2011/08/03	2012/08/02	28.00
13567	BNP Paribas Arbit Issu B.V. – NVC Lighting Euro Wts Mar 2012	17,000,000	2011/08/03	2012/03/02	10.03
13558	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Warrants Feb 2012	68,000,000	2011/08/03	2012/02/03	24.48
13568	BNP Paribas Arbit Issu B.V. – WC Cement Euro Wts Aug 2012	70,000,000	2011/08/03	2012/08/02	39.20
13577	Credit Suisse AG – Agricultural Bank European Wts Nov 2011	300,000,000	2011/08/03	2011/11/04	45.00
13576	Credit Suisse AG – Hutchison European Warrants Dec 2011	300,000,000	2011/08/03	2011/12/05	60.00
13570	HK Bank – China Mobile European Warrants Feb 2012	150,000,000	2011/08/03	2012/02/28	58.50
13569	HK Bank – PetroChina European Warrants Mar 2012	80,000,000	2011/08/03	2012/03/09	30.40
13572	HK Bank – Renhe Comm European Warrants May 2012	80,000,000	2011/08/03	2012/05/08	20.00
13571	HK Bank – Sinopharm European Warrants Apr 2012	68,000,000	2011/08/03	2012/04/26	17.00
13575	J P Morgan SP BV – Ch Overseas European Warrants Jun 2012	150,000,000	2011/08/03	2012/06/28	37.50
13580	J P Morgan SP BV – New World Dev European Warrants Mar 2013	150,000,000	2011/08/03	2013/03/28	37.50
13553	J P Morgan SP BV – Wynn Macau European Warrants Feb 2012	150,000,000	2011/08/03	2012/02/03	37.50
13554	Macquarie Bank Ltd. – China Tel European Warrants Feb 2012	150,000,000	2011/08/03	2012/02/03	37.50
13543	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jan 2012	80,000,000	2011/08/03	2012/01/09	16.48
13546	Merrill Lynch Int'l & Co. C.V.-Ch Telecom Eu Put Wt Jan 2012	80,000,000	2011/08/03	2012/01/20	12.00
13544	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Apr 2012 A	80,000,000	2011/08/03	2012/04/17	20.00
13542	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2012	80,000,000	2011/08/03	2012/09/26	12.16
13541	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Dec 2011	80,000,000	2011/08/03	2011/12/19	17.60
13545	Merrill Lynch Int'l & Co. C.V.-Hutchison Eu Put Wt Nov 2011C	80,000,000	2011/08/03	2011/11/28	33.60
13539	CC Rabobank B.A. – CSR European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/13	62.00
13540	CC Rabobank B.A. – CSR Times European Warrants Feb 2012 A	100,000,000	2011/08/03	2012/02/13	30.00
13538	CC Rabobank B.A. – Dongfang Elec European Wts Jan 2012	100,000,000	2011/08/03	2012/01/26	24.00
13536	CC Rabobank B.A. – Jiangxi Copper European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/13	25.00
13537	CC Rabobank B.A. – Sany Int'l European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/13	82.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13578	RB of Scotland N.V. – Agricultural Bk Euro Wts Feb 2012	150,000,000	2011/08/03	2012/02/16	37.50
13579	CC Rabobank B.A. – CC Bank European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/16	25.00
13573	Standard Chartered Bank – China Mobile Euro Wts Feb 2012 B	150,000,000	2011/08/03	2012/02/03	37.50
13547	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012 B	150,000,000	2011/08/03	2012/02/13	37.50
13548	SGA Societe Generale Acceptance N.V. – Geely Auto Eu Wt Feb12	70,000,000	2011/08/03	2012/02/06	22.40
13549	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Feb 2012	50,000,000	2011/08/03	2012/02/06	16.00
13550	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011 B	150,000,000	2011/08/03	2011/11/23	39.00
13551	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2011 A	150,000,000	2011/08/03	2011/12/19	36.00
13552	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Feb 2012	40,000,000	2011/08/03	2012/02/06	17.60
11673 #	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011	180,000,000	2011/08/03	2011/11/15	46.80
27897 #	KBC Fin Products Int'l Ltd. – Swrie Pacific A Euro Wts Nov 11	360,000,000	2011/08/03	2011/11/11	10.08
13595	Barclays Bank plc – BOCL European Warrants Apr 2012	100,000,000	2011/08/04	2012/04/18	25.00
13610	BNP Paribas Arbit Issu B.V. – CKI Holdings Euro Wts Mar 2012	50,000,000	2011/08/04	2012/03/02	16.00
13615	Credit Suisse AG – CC Bank European Warrants Nov 2011	300,000,000	2011/08/04	2011/11/28	45.00
13614	Credit Suisse AG – ChiUnicom European Warrants Feb 2012 A	200,000,000	2011/08/04	2012/02/13	30.00
13613	Credit Suisse AG – HSI European Warrants Dec 2011 A	500,000,000	2011/08/04	2011/12/29	75.00
13611	Credit Suisse AG – HSI European Put Warrants Nov 2011 A	500,000,000	2011/08/04	2011/11/29	75.00
13612	Credit Suisse AG – HSI European Put Warrants Nov 2011 B	500,000,000	2011/08/04	2011/11/29	75.00
13621	Credit Suisse AG – PWR Assets European Warrants Feb 2012	150,000,000	2011/08/04	2012/02/06	37.50
13616	Credit Suisse AG – Yanzhou Coal European Warrants Nov 2011	200,000,000	2011/08/04	2011/11/28	30.00
13604	Citigroup Global Mkt H Inc. – Ch Railway Euro Wts Apr 2012	80,000,000	2011/08/04	2012/04/17	20.00
13606	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Feb 2012	80,000,000	2011/08/04	2012/02/06	20.00
13603	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Feb 2012	50,000,000	2011/08/04	2012/02/06	12.50
13605	Citigroup Global Mkt H Inc. – SJM Holdings Euro Wt Feb 2012	80,000,000	2011/08/04	2012/02/06	20.00
13623	Bank of East Asia – China Overseas Eur Put Warrants Oct 2012	103,000,000	2011/08/04	2012/10/22	25.75
13622	Bank of East Asia – CITIC Pacific European Warrants Jun 2012	90,000,000	2011/08/04	2012/06/22	22.50
13625	Bank of East Asia – R&F Ppt European Put Warrants Nov 2012	165,000,000	2011/08/04	2012/11/23	41.25
13624	Bank of East Asia – PICC European Put Warrants Dec 2012	125,000,000	2011/08/04	2012/12/24	31.25
13608	J P Morgan SP BV – A50 China European Warrants Feb 2012	100,000,000	2011/08/04	2012/02/06	67.00
13609	J P Morgan SP BV – A50 China European Warrants Feb 2012 A	200,000,000	2011/08/04	2012/02/27	30.00
13619	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 2012 A	128,000,000	2011/08/04	2012/01/04	28.16
13618	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2012	128,000,000	2011/08/04	2012/02/06	61.44
13617	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Dec 2011 B	128,000,000	2011/08/04	2011/12/05	37.12
13581	Macquarie Bank Ltd. – CPIC European Warrants Mar 2012	100,000,000	2011/08/04	2012/03/02	25.00
13582	Macquarie Bank Ltd. – Luk Fook Hold European Wts Feb 2012	150,000,000	2011/08/04	2012/02/06	37.50
13607	Macquarie Bank Ltd. – Melco Int'l Dev European Wts Jun 2012	150,000,000	2011/08/04	2012/06/04	37.50
13589	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Dec 2011 B	80,000,000	2011/08/04	2011/12/12	17.12
13594	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2012 A	80,000,000	2011/08/04	2012/02/15	17.60
13587	Merrill Lynch Int'l & Co. C.V. – BYD European Wts Feb 2012	80,000,000	2011/08/04	2012/02/27	28.80
13588	Merrill Lynch Int'l & Co. C.V. – BYD European Wts Mar 2012	80,000,000	2011/08/04	2012/03/19	20.80
13592	Merrill Lynch Int'l & Co. C.V. – R&P Ppt Euro Wts Aug 2012	80,000,000	2011/08/04	2012/08/20	20.00
13593	Merrill Lynch Int'l & Co. C.V. – R&P Ppt Euro Wts Nov 2012	80,000,000	2011/08/04	2012/11/12	12.40
13583	Merrill Lynch Int'l & Co. C.V. – KECL European Wts Feb 2012	80,000,000	2011/08/04	2012/02/20	12.16
13584	Merrill Lynch Int'l & Co. C.V. – KECL European Wts Oct 2012	80,000,000	2011/08/04	2012/10/22	12.08

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		發行單位	上市日期	到期日	
13585	Merrill Lynch Int'l & Co. C.V. – Li & Fung Euro Wts Feb 2012	80,000,000	2011/08/04	2012/02/13	12.40
13586	Merrill Lynch Int'l & Co. C.V. – Li & Fung Euro Wts Jun 2012	80,000,000	2011/08/04	2012/06/15	12.00
13590	Merrill Lynch Int'l & Co. C.V. – PICC European Wts Jan 2012	80,000,000	2011/08/04	2012/01/16	14.88
13591	Merrill Lynch Int'l & Co. C.V. – PICC European Wts Mar 2012	80,000,000	2011/08/04	2012/03/19	12.00
13599	CC Rabobank B.A. – CPIC European Warrants Jan 2012	150,000,000	2011/08/04	2012/01/16	22.50
13596	CC Rabobank B.A. – Galaxy Ent European Warrants Feb 2012	100,000,000	2011/08/04	2012/02/14	25.00
13598	CC Rabobank B.A. – Sands China European Warrants Feb 2012 A	100,000,000	2011/08/04	2012/02/14	25.00
13597	CC Rabobank B.A. – Wynn Macau European Warrants Feb 2012	100,000,000	2011/08/04	2012/02/14	25.00
13620	RB of Scotland N.V. – HSBC European Warrants Mar 2012	100,000,000	2011/08/04	2012/03/05	25.10
13601	Standard Chartered Bank – CC Bank European Wts Feb 2012	100,000,000	2011/08/04	2012/02/29	30.00
13602	Standard Chartered Bank – CC Bank European Wts Sep 2012	100,000,000	2011/08/04	2012/09/03	50.00
13600	Standard Chartered Bank – MTRC European Warrants Apr 2012	100,000,000	2011/08/04	2012/04/30	30.00
11692 #	KBC Fin Products Int'l Ltd. – AIA European Put Warrants Dec 11	200,000,000	2011/08/04	2011/12/01	16.80
12681 #	Standard Chartered Bank – Tencent Hldg European Wts Nov 2011	100,000,000	2011/08/04	2011/11/30	14.00
13626	Barclays Bank plc – Hutchison European Warrants Feb 2012	100,000,000	2011/08/05	2012/02/28	39.80
13642	Credit Suisse AG – AIA European Warrants Feb 2012	300,000,000	2011/08/05	2012/02/20	75.00
13641	Credit Suisse AG – AIA European Put Warrants Nov 2011	300,000,000	2011/08/05	2011/11/28	45.00
13640	Credit Suisse AG – Cheung Kong European Warrants Feb 2012	200,000,000	2011/08/05	2012/02/06	60.00
13629	J P Morgan SP BV – CM Bank European Warrants Jun 2012	200,000,000	2011/08/05	2012/06/01	34.40
13636	J P Morgan SP BV – Hutchison European Warrants Feb 2012	150,000,000	2011/08/05	2012/02/06	37.50
13637	J P Morgan SP BV – Hutchison European Warrants Nov 2011 B	150,000,000	2011/08/05	2011/11/23	37.50
13639	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Mar 2012	198,000,000	2011/08/05	2012/03/05	29.70
13633	Macquarie Bank Ltd. – Chi East Air Euro Warrants Feb 2012	150,000,000	2011/08/05	2012/02/06	37.50
13634	Macquarie Bank Ltd. – Link REIT European Warrants Feb 2012	150,000,000	2011/08/05	2012/02/06	37.50
13635	Macquarie Bank Ltd. – NVC Lighting Euro Warrants Feb 2012	180,000,000	2011/08/05	2012/02/06	45.36
13627	CC Rabobank B.A. – Sany Int'l European Warrants Feb 2012 A	100,000,000	2011/08/05	2012/02/13	65.00
13628	CC Rabobank B.A. – ZTE European Warrants Feb 2012	100,000,000	2011/08/05	2012/02/13	25.00
13630	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2012 B	100,000,000	2011/08/05	2012/02/06	45.00
13631	Standard Chartered Bank – Tencent Hldg European Wts Jan 2012	100,000,000	2011/08/05	2012/01/16	21.00
13632	Standard Chartered Bank – Tencent Hldg European Wts Feb 2012	100,000,000	2011/08/05	2012/02/06	15.00
13638	UBS AG – Tencent Holdings European Warrants Dec 2011 A	200,000,000	2011/08/05	2011/12/21	30.00
26286 #	Macquarie Bank Ltd. – PICC P&C European Warrants Nov 2011	145,000,000	2011/08/05	2011/11/02	10.15
11998 #	BOCI Asia Ltd. – Mongolia Energy European Warrants Jan 2012	300,000,000	2011/08/05	2012/01/30	14.40
28979 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 B	320,000,000	2011/08/05	2011/12/05	10.56
12553 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 C	320,000,000	2011/08/05	2011/12/09	18.24
13656	J P Morgan SP BV – Ping An European Warrants Feb 2012	200,000,000	2011/08/08	2012/02/09	50.00
13659	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Mar 2012 A	198,000,000	2011/08/08	2012/03/01	49.50
13660	KBC Fin Products Int'l Ltd. – AIA Euro Put Warrants Feb 2012 A	168,000,000	2011/08/08	2012/02/08	42.00
13649	Macquarie Bank Ltd. – AIA European Warrants Apr 2012	200,000,000	2011/08/08	2012/04/03	50.00
13650	Macquarie Bank Ltd. – Jiangxi Copper European Wts Apr 2012	200,000,000	2011/08/08	2012/04/03	50.00
13646	Macquarie Bank Ltd. – Sh Electric European Warrants Feb 2012	150,000,000	2011/08/08	2012/02/08	37.95
13645	Macquarie Bank Ltd. – Yurun Food European Warrants Feb 2012	150,000,000	2011/08/08	2012/02/08	37.50
13648	Merrill Lynch Int'l & Co. C.V. – AIA Euro Warrants May 2012	80,000,000	2011/08/08	2012/05/23	20.00
13643	Merrill Lynch Int'l & Co. C.V. – Minsheng Bk Eu Wts Nov 2011	80,000,000	2011/08/08	2011/11/14	15.84

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		發行單位	上市日期	到期日	
13644	Merrill Lynch Int'l & Co. C.V. – Minsheng Bk Eu Wts Dec 2011	80,000,000	2011/08/08	2011/12/19	12.96
13647	Merrill Lynch Int'l & Co. C.V. – MTRC European Wts Apr 2012	80,000,000	2011/08/08	2012/04/23	13.44
13663	RB of Scotland N.V. – AIA European Warrants Jun 2012	200,000,000	2011/08/08	2012/06/29	50.20
13662	CC Rabobank B.A. – CC Bank European Warrants Mar 2012	100,000,000	2011/08/08	2012/03/23	25.10
13657	Standard Chartered Bank – CTel European Warrants Feb 2012	100,000,000	2011/08/08	2012/02/10	32.00
13658	Standard Chartered Bank – CNOOC European Warrants Aug 2012	120,000,000	2011/08/08	2012/08/08	30.00
13651	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2012 B	300,000,000	2011/08/08	2012/01/16	54.00
13652	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012	300,000,000	2011/08/08	2012/03/26	45.00
13653	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2012	300,000,000	2011/08/08	2012/04/23	45.00
13655	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2015	500,000,000	2011/08/08	2015/11/30	75.00
13654	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2012 B	300,000,000	2011/08/08	2012/01/30	66.00
13661	UBS AG – Tencent Holdings European Warrants Dec 2011 B	200,000,000	2011/08/08	2011/12/28	30.00
12990 #	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011	120,000,000	2011/08/08	2011/11/01	12.60
29380 #	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jan 2012	200,000,000	2011/08/08	2012/01/16	13.20
12670 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 F	270,000,000	2011/08/08	2011/09/29	10.53
29425 #	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2011 B	120,000,000	2011/08/08	2011/10/26	11.28
13668	BOCI Asia Ltd. – Ch Overseas European Warrants Aug 2012	100,000,000	2011/08/09	2012/08/06	25.00
13669	BOCI Asia Ltd. – CPIC European Warrants Apr 2012	100,000,000	2011/08/09	2012/04/02	25.00
13686	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2012 A	200,000,000	2011/08/09	2012/03/02	50.00
13688	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2012 A	200,000,000	2011/08/09	2012/03/02	50.00
13687	BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2012	200,000,000	2011/08/09	2012/03/02	88.00
13698	Credit Suisse AG – China Mobile European Warrants Jun 2015	300,000,000	2011/08/09	2015/06/22	45.00
13697	Credit Suisse AG – HSBC European Wts Nov 2011 A	300,000,000	2011/08/09	2011/11/28	45.00
13696	Credit Suisse AG – HSI European Warrants Nov 2011	500,000,000	2011/08/09	2011/11/29	75.00
13699	Credit Suisse AG – Ping An European Warrants Dec 2012	300,000,000	2011/08/09	2012/12/14	45.00
13683	HK Bank – AIA European Warrants May 2012	100,000,000	2011/08/09	2012/05/10	25.00
13680	HK Bank – Cheung Kong European Warrants May 2012	80,000,000	2011/08/09	2012/05/30	28.00
13682	HK Bank – Galaxy Ent European Warrants Apr 2012	60,000,000	2011/08/09	2012/04/27	15.00
13681	HK Bank – SHK Ppt European Warrants Apr 2012	80,000,000	2011/08/09	2012/04/26	21.60
13684	HK Bank – SJM Holdings European Warrants Jun 2012	60,000,000	2011/08/09	2012/06/08	15.00
13685	J P Morgan SP BV – AIA European Warrants Feb 2012	200,000,000	2011/08/09	2012/02/15	50.00
13671	Macquarie Bank Ltd. – Ch Merchants European Wts Feb 2012	150,000,000	2011/08/09	2012/02/09	37.65
13689	Macquarie Bank Ltd. – Gome European Warrants Feb 2012	150,000,000	2011/08/09	2012/02/09	37.50
13670	Macquarie Bank Ltd. – Hysan Dev European Warrants Feb 2012	150,000,000	2011/08/09	2012/02/09	37.50
13674	Macquarie Bank Ltd. – Sands China European Warrants Feb 2012	150,000,000	2011/08/09	2012/02/09	37.50
13664	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Feb 2012	80,000,000	2011/08/09	2012/02/13	39.20
13665	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Dec 2012 D	80,000,000	2011/08/09	2012/12/24	12.08
13666	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Feb 2012	80,000,000	2011/08/09	2012/02/13	63.20
13667	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Feb 2012 A	80,000,000	2011/08/09	2012/02/20	12.00
13679	Standard Chartered Bank – BOCL European Warrants Aug 2012	100,000,000	2011/08/09	2012/08/08	25.00
13676	Standard Chartered Bank – China Mobile Euro Wts Nov 2011 A	100,000,000	2011/08/09	2011/11/09	19.70
13677	Standard Chartered Bank – A50 China Euro Warrants Aug 2012	100,000,000	2011/08/09	2012/08/08	25.00
13678	Standard Chartered Bank – A50 China Euro Warrants Oct 2012	100,000,000	2011/08/09	2012/10/08	16.20
13691	Standard Chartered Bank – HSBC European Warrants Jan 2012	100,000,000	2011/08/09	2012/01/12	50.00

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		發行單位	上市日期	到期日	
13690	Standard Chartered Bank – HSBC European Warrants Nov 2011 C	100,000,000	2011/08/09	2011/11/28	25.00
13675	Standard Chartered Bank – MTRC European Warrants Aug 2012	100,000,000	2011/08/09	2012/08/01	25.00
13695	UBS AG – Agricultural Bank European Warrants Jan 2012	200,000,000	2011/08/09	2012/01/04	30.00
13693	UBS AG – CNOOC European Warrants Apr 2012	200,000,000	2011/08/09	2012/04/23	30.00
13694	UBS AG – China Shenhua European Warrants Dec 2011	100,000,000	2011/08/09	2011/12/23	15.00
13692	UBS AG – Jiangxi Copper European Warrants Feb 2012	100,000,000	2011/08/09	2012/02/21	15.00
21782 #	Credit Suisse AG – CC Bank European Warrants Jul 2012	400,000,000	2011/08/09	2012/07/03	20.40
13721	BNP Paribas Arbit Issu B.V. – HSBC European Wts Mar 2012	200,000,000	2011/08/10	2012/03/02	90.00
13725	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2012	200,000,000	2011/08/10	2012/02/28	50.00
13722	BNP Paribas Arbit Issu B.V. – Ping An European Wts Mar 2012	100,000,000	2011/08/10	2012/03/02	58.00
13723	BNP Paribas Arbit Issu B.V. – Sino-Ocean Land Eu Wt Mar 2012	23,000,000	2011/08/10	2012/03/02	10.12
13724	BNP Paribas Arbit Issu B.V. – United Lab Euro Wts Oct 2012	78,000,000	2011/08/10	2012/10/03	19.50
13728	Credit Suisse AG – HKEx European Warrants Dec 2011 B	300,000,000	2011/08/10	2011/12/05	45.00
13726	Credit Suisse AG – HSI European Warrants Dec 2011 B	500,000,000	2011/08/10	2011/12/29	75.00
13727	Credit Suisse AG – HSI European Warrants Dec 2011 C	500,000,000	2011/08/10	2011/12/29	75.00
13720	KBC Fin Products Int'l Ltd. – CNBM European Wts Mar 2012	88,000,000	2011/08/10	2012/03/15	22.00
13719	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jul 2012	188,000,000	2011/08/10	2012/07/18	28.20
13709	Macquarie Bank Ltd. – Chi Resources European Wts Mar 2012	150,000,000	2011/08/10	2012/03/02	48.00
13710	Macquarie Bank Ltd. – Ch Zhongwang European Wts Feb 2012	150,000,000	2011/08/10	2012/02/10	53.25
13708	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2012 C	200,000,000	2011/08/10	2012/03/02	65.40
13700	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jun 2012	80,000,000	2011/08/10	2012/06/04	12.24
13703	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2012 A	80,000,000	2011/08/10	2012/02/27	12.24
13704	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2012	80,000,000	2011/08/10	2012/04/23	12.00
13701	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2012 A	80,000,000	2011/08/10	2012/02/02	21.20
13702	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013	80,000,000	2011/08/10	2013/07/02	12.08
13705	CC Rabobank B.A. – Dongfeng Motor European Warrants Apr 2012	100,000,000	2011/08/10	2012/04/23	15.00
13707	CC Rabobank B.A. – Weichai Power European Wts Mar 2012	100,000,000	2011/08/10	2012/03/07	35.00
13706	CC Rabobank B.A. – Xinyi Glass European Warrants Apr 2012 A	100,000,000	2011/08/10	2012/04/18	80.00
13729	RB of Scotland N.V. – Cheung Kong European Warrants Jun 2012	250,000,000	2011/08/10	2012/06/06	62.75
13730	RB of Scotland N.V. – HKEx European Warrants Apr 2012	200,000,000	2011/08/10	2012/04/03	30.20
13714	Standard Chartered Bank – AIA European Warrants Jan 2012	100,000,000	2011/08/10	2012/01/09	21.00
13715	Standard Chartered Bank – AIA European Warrants Mar 2012	100,000,000	2011/08/10	2012/03/05	15.00
13716	Standard Chartered Bank – AIA European Warrants Sep 2012	150,000,000	2011/08/10	2012/09/28	45.00
13717	Standard Chartered Bank – AIA European Warrants Nov 2012	150,000,000	2011/08/10	2012/11/01	37.50
13712	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2012 A	500,000,000	2011/08/10	2012/04/02	75.00
13711	SGA Societe Generale Acceptance N.V. – HS Bk Eur Wt Feb 2012	30,000,000	2011/08/10	2012/02/13	13.50
13718	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2011	200,000,000	2011/08/10	2011/12/28	48.00
13713	SGA Societe Generale Acceptance N.V. – Wharf Eu Wts Feb 2012	50,000,000	2011/08/10	2012/02/13	21.00
24879 #	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2012	322,585,000	2011/08/10	2012/03/05	10.00
28428 #	Citigroup Global Mkt H Inc. – Tencent European Warrants Dec 11	107,530,000	2011/08/10	2011/12/30	10.00
13755	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2012	100,000,000	2011/08/11	2012/12/04	25.00
13739	BNP Paribas Arbit Issu B.V. – Dongyue Gp Euro Wts Jul 2012	100,000,000	2011/08/11	2012/07/04	25.00
10128	BNP Paribas Arbit Issu B.V. -EUR/USD European Wts Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00
10127	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
13756	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Jul 2012	100,000,000	2011/08/11	2012/07/30	25.00
13740	BNP Paribas Arbit Issu B.V. – PWR Assets Euro Wts Jun 2012	50,000,000	2011/08/11	2012/06/04	19.50
10126	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Warrants Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00
10125	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Put Wts Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00
13741	Citigroup Global Mkt H Inc. – AIA European Warrants Mar 2012	80,000,000	2011/08/11	2012/03/05	12.00
13745	Citigroup Global Mkt H Inc. – Ping An Euro Wts Feb 2012	80,000,000	2011/08/11	2012/02/13	20.00
13744	Citigroup Global Mkt H Inc. – Renhe Comm Euro Wts Apr 2012	80,000,000	2011/08/11	2012/04/17	20.00
13743	Citigroup Global Mkt H Inc. – Sands China Euro Wts Feb 2012	80,000,000	2011/08/11	2012/02/13	20.00
13742	Citigroup Global Mkt H Inc. – Tencent European Wts Feb 2012	80,000,000	2011/08/11	2012/02/27	15.20
13737	HK Bank – Ch Ship Cont European Warrants May 2012	60,000,000	2011/08/11	2012/05/22	16.80
13738	HK Bank – Esprit European Warrants Jul 2012	100,000,000	2011/08/11	2012/07/09	25.00
13747	J P Morgan SP BV – CHALCO European Warrants Feb 2012	70,000,000	2011/08/11	2012/02/16	30.80
13749	J P Morgan SP BV – China Shenhua European Warrants Feb 2012	150,000,000	2011/08/11	2012/02/16	39.75
13746	J P Morgan SP BV – GCL-Poly European Warrants Feb 2012	150,000,000	2011/08/11	2012/02/16	67.50
13751	J P Morgan SP BV – Gome European Warrants Feb 2012	100,000,000	2011/08/11	2012/02/16	32.00
13750	J P Morgan SP BV – JX Copper European Warrants Nov 2011	150,000,000	2011/08/11	2011/11/23	22.50
13748	J P Morgan SP BV – Renhe Comm European Warrants Apr 2012	80,000,000	2011/08/11	2012/04/27	20.00
13735	Macquarie Bank Ltd. – Huaneng Power European Wts Apr 2012	150,000,000	2011/08/11	2012/04/03	37.50
13736	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2012 A	200,000,000	2011/08/11	2012/08/02	50.80
13731	CC Rabobank B.A. – Cheung Kong European Warrants Jan 2012	100,000,000	2011/08/11	2012/01/30	50.00
13732	CC Rabobank B.A. – Minsheng Bank European Wts Dec 2011	100,000,000	2011/08/11	2011/12/20	20.00
13734	CC Rabobank B.A. – Geely Auto European Warrants Jan 2012	100,000,000	2011/08/11	2012/01/30	31.00
13733	CC Rabobank B.A. – Minmetals Res European Warrants Mar 2012	100,000,000	2011/08/11	2012/03/19	80.00
13752	RB of Scotland N.V. – CNOOC European Warrants Jan 2012	250,000,000	2011/08/11	2012/01/16	37.75
10124	RB of Scotland N.V. – Gold European Put Warrants Jun 2012	100,000,000	2011/08/11	2012/06/25	25.10
13753	RB of Scotland N.V. – Ping An European Warrants Dec 2011	100,000,000	2011/08/11	2011/12/19	15.10
13754	RB of Scotland N.V. – Ping An European Warrants Dec 2013	100,000,000	2011/08/11	2013/12/09	15.10
13814	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2012 B	200,000,000	2011/08/12	2012/03/02	70.00
13813	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2012 A	200,000,000	2011/08/12	2012/06/04	62.00
13811	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Mar 2012	100,000,000	2011/08/12	2012/03/02	73.00
13810	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2012 A	200,000,000	2011/08/12	2012/04/03	102.00
13815	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2012	200,000,000	2011/08/12	2012/02/28	40.00
13816	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2012	200,000,000	2011/08/12	2012/03/29	52.00
13812	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Mar 12 A	100,000,000	2011/08/12	2012/03/02	27.00
13802	Credit Suisse AG – AIA European Warrants Jan 2012	300,000,000	2011/08/12	2012/01/09	45.00
13801	Credit Suisse AG – CNOOC European Warrants Jan 2012	300,000,000	2011/08/12	2012/01/09	45.00
13803	Credit Suisse AG – A50 China European Warrants Feb 2012	300,000,000	2011/08/12	2012/02/13	45.00
13790	Credit Suisse AG – HSI European Warrants Jan 2012	500,000,000	2011/08/12	2012/01/30	75.00
13799	Credit Suisse AG – HSI European Put Warrants Jan 2012	500,000,000	2011/08/12	2012/01/30	75.00
13798	Credit Suisse AG – HSI European Warrants Dec 2011 D	500,000,000	2011/08/12	2011/12/29	75.00
13800	Credit Suisse AG – Hutchison European Warrants Dec 2011 A	300,000,000	2011/08/12	2011/12/19	150.00
13762	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Dec 2012 A	80,000,000	2011/08/12	2012/12/10	12.00
13764	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012 A	80,000,000	2011/08/12	2012/02/02	12.00
13763	Citigroup Global Mkt H Inc. – CPIC European Wts Feb 2012	80,000,000	2011/08/12	2012/02/13	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13765	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2011 A	80,000,000	2011/08/12	2011/12/29	12.00
13767	J P Morgan SP BV – Belle Int'l European Warrants Mar 2012	100,000,000	2011/08/12	2012/03/27	15.00
13769	J P Morgan SP BV – Esprit European Wts Jun 2012	80,000,000	2011/08/12	2012/06/08	20.00
13770	J P Morgan SP BV – Evergrande European Warrants Feb 2012	100,000,000	2011/08/12	2012/02/16	45.00
13766	J P Morgan SP BV – R&F Ppt European Warrants Nov 2012	100,000,000	2011/08/12	2012/11/09	15.00
13768	J P Morgan SP BV – Lenovo European Warrants Feb 2012	80,000,000	2011/08/12	2012/02/16	20.00
13825	KBC Fin Products Int'l Ltd. – BOCL European Wts Feb 2012	108,000,000	2011/08/12	2012/02/13	31.32
13826	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Mar 2012	80,000,000	2011/08/12	2012/03/01	20.00
13821	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2012	108,000,000	2011/08/12	2012/02/13	27.00
13817	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Jun 2012	80,000,000	2011/08/12	2012/06/04	15.20
13823	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Apr 2012	108,000,000	2011/08/12	2012/04/10	27.00
13824	KBC Fin Products Int'l Ltd. – A50 Ch Euro Put Wts Feb 2012	80,000,000	2011/08/12	2012/02/01	12.00
13820	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Jul 2012	188,000,000	2011/08/12	2012/07/23	28.20
13818	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Feb 2012	108,000,000	2011/08/12	2012/02/13	35.64
13807	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2012 A	100,000,000	2011/08/12	2012/02/28	25.00
13808	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2012	200,000,000	2011/08/12	2012/02/28	50.00
13806	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 F	200,000,000	2011/08/12	2011/12/29	36.00
13819	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 2012	80,000,000	2011/08/12	2012/02/13	27.20
13822	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Feb 2012	68,000,000	2011/08/12	2012/02/13	37.40
13804	Macquarie Bank Ltd. – BOCL European Warrants Apr 2012	150,000,000	2011/08/12	2012/04/03	37.50
13793	Macquarie Bank Ltd. – CITIC Pacific European Wts Jul 2012	200,000,000	2011/08/12	2012/07/05	50.40
13796	Macquarie Bank Ltd. – China Life European Wts Aug 2012	150,000,000	2011/08/12	2012/08/02	37.50
13797	Macquarie Bank Ltd. – COSCO Pacific European Wts Aug 2012 A	150,000,000	2011/08/12	2012/08/02	37.50
13791	Macquarie Bank Ltd. – Cathay Pacific European Wts Jan 2012	200,000,000	2011/08/12	2012/01/18	30.40
13794	Macquarie Bank Ltd. – Ch Ship Cont European Wts Jul 2012	200,000,000	2011/08/12	2012/07/05	50.20
13795	Macquarie Bank Ltd. – HKEx European Warrants Jul 2012	200,000,000	2011/08/12	2012/07/05	50.00
13805	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2012	150,000,000	2011/08/12	2012/04/27	37.50
13792	Macquarie Bank Ltd. – Nine Dragons European Wts Aug 2013	200,000,000	2011/08/12	2013/08/02	50.00
13771	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2013	500,000,000	2011/08/12	2013/04/12	77.50
13783	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Put Wts Dec 2011	80,000,000	2011/08/12	2011/12/19	32.40
13787	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2012	80,000,000	2011/08/12	2012/02/24	12.16
13784	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2011 D	80,000,000	2011/08/12	2011/11/16	23.60
13785	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2011 E	80,000,000	2011/08/12	2011/11/21	14.16
13786	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2011 A	80,000,000	2011/08/12	2011/12/19	42.40
13789	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2011 A	80,000,000	2011/08/12	2011/12/19	29.20
13773	RB of Scotland N.V. – HSI European Warrants Feb 2012	250,000,000	2011/08/12	2012/02/28	37.75
13775	RB of Scotland N.V. – HSI European Put Warrants Mar 2012	250,000,000	2011/08/12	2012/03/29	62.75
13772	RB of Scotland N.V. – HSI European Warrants Dec 2011 C	250,000,000	2011/08/12	2011/12/29	37.75
13788	RB of Scotland N.V. – HSI European Put Warrants Dec 2011 D	250,000,000	2011/08/12	2011/12/29	37.75
13774	RB of Scotland N.V. – HSI European Put Warrants Jan 2012 B	250,000,000	2011/08/12	2012/01/30	37.75
13776	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2012 A	150,000,000	2011/08/12	2012/01/09	64.50
13781	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012	300,000,000	2011/08/12	2012/02/28	54.00
13779	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012 B	300,000,000	2011/08/12	2012/01/30	51.00
13780	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012 C	300,000,000	2011/08/12	2012/01/30	48.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13782	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 12D	300,000,000	2011/08/12	2012/01/30	51.00
13777	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jan 2012 A	150,000,000	2011/08/12	2012/01/18	66.00
13809	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 A	300,000,000	2011/08/12	2012/03/21	45.00
13778	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2012 A	150,000,000	2011/08/12	2012/01/18	45.00
13760	UBS AG – China Life European Warrants Jan 2012	300,000,000	2011/08/12	2012/01/18	45.00
13758	UBS AG – CNOOC European Warrants Dec 2011 B	200,000,000	2011/08/12	2011/12/30	30.00
13759	UBS AG – CNOOC European Warrants Mar 2012	200,000,000	2011/08/12	2012/03/12	50.00
13757	UBS AG – HKEx European Warrants Mar 2012 A	300,000,000	2011/08/12	2012/03/19	75.00
13761	UBS AG – HSI European Warrants Dec 2011 C	300,000,000	2011/08/12	2011/12/29	57.00
12817 #	HK Bank – HSI European Warrants Dec 2011 H	250,000,000	2011/08/12	2011/12/29	29.00
21304 #	UBS AG – CC Bank European Warrants Jun 2012	700,000,000	2011/08/12	2012/06/28	30.10
13887	Credit Suisse AG – BOCL European Warrants Jan 2012	200,000,000	2011/08/15	2012/01/30	30.00
13882	Credit Suisse AG – Cheung Kong European Warrants Dec 2012	200,000,000	2011/08/15	2012/12/10	30.00
13883	Credit Suisse AG – HSBC European Wts Jan 2012	300,000,000	2011/08/15	2012/01/03	90.00
13889	Credit Suisse AG – HSBC European Wts Mar 2016	300,000,000	2011/08/15	2016/03/30	45.00
13888	Credit Suisse AG – Hutchison European Warrants Jan 2012	300,000,000	2011/08/15	2012/01/30	45.00
13886	Credit Suisse AG – Ping An European Warrants Dec 2012 A	300,000,000	2011/08/15	2012/12/21	45.00
13884	Credit Suisse AG – SHK Ppt European Warrants Sep 2012	200,000,000	2011/08/15	2012/09/10	30.00
13885	Credit Suisse AG – Tencent Holdings European Wts Jan 2012	300,000,000	2011/08/15	2012/01/16	45.00
13836	Citigroup Global Mkt H Inc. – Alibaba Euro Warrants Jun 2012 A	80,000,000	2011/08/15	2012/06/29	20.00
13829	Citigroup Global Mkt H Inc. – CHALCO European Wts Jun 2013	80,000,000	2011/08/15	2013/06/17	12.00
13833	Citigroup Global Mkt H Inc. – BBMG European Wts Apr 2012	80,000,000	2011/08/15	2012/04/17	20.00
13832	Citigroup Global Mkt H Inc. – CNOOC European Wts Apr 2012	80,000,000	2011/08/15	2012/04/17	12.00
13830	Citigroup Global Mkt H Inc. – Dongfeng European Wts Apr 2012	80,000,000	2011/08/15	2012/04/17	20.00
13831	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2012	80,000,000	2011/08/15	2012/04/20	12.00
13837	Citigroup Global Mkt H Inc. – HKEx European Wts Jul 2012	80,000,000	2011/08/15	2012/07/23	12.00
13835	Citigroup Global Mkt H Inc. – HSBC European Wts May 2012	80,000,000	2011/08/15	2012/05/25	12.00
13828	Citigroup Global Mkt H Inc. – HSCEI European Wts Feb 2012	80,000,000	2011/08/15	2012/02/28	20.00
13838	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2012	80,000,000	2011/08/15	2012/02/06	12.00
13834	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Sep 2012	80,000,000	2011/08/15	2012/09/05	12.00
13863	HK Bank – HSCEI European Warrants Sep 2012	150,000,000	2011/08/15	2012/09/27	37.50
13869	HK Bank – HSI European Warrants Feb 2012	300,000,000	2011/08/15	2012/02/28	75.00
13867	HK Bank – HSI European Warrants Mar 2012	300,000,000	2011/08/15	2012/03/29	75.00
13868	HK Bank – HSI European Warrants Mar 2012 A	300,000,000	2011/08/15	2012/03/29	75.00
13858	J P Morgan SP BV – China Life European Warrants Dec 2011 B	200,000,000	2011/08/15	2011/12/23	30.00
13859	J P Morgan SP BV – China Life European Warrants Dec 2011 C	200,000,000	2011/08/15	2011/12/08	36.60
13848	J P Morgan SP BV – HKEx European Warrants Dec 2012	200,000,000	2011/08/15	2012/12/27	30.00
13847	J P Morgan SP BV – HKEx European Put Wts Jun 2012	200,000,000	2011/08/15	2012/06/18	50.00
13845	J P Morgan SP BV – Yanzhou Coal European Warrants Feb 2012	100,000,000	2011/08/15	2012/02/16	26.00
13846	J P Morgan SP BV – Yanzhou Coal European Warrants Jun 2012	100,000,000	2011/08/15	2012/06/25	25.00
13877	KBC Fin Products Int'l Ltd. – AIA Euro Put Warrants Jul 2012	108,000,000	2011/08/15	2012/07/10	27.00
13876	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Jan 2012	128,000,000	2011/08/15	2012/01/04	19.20
13875	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2012	80,000,000	2011/08/15	2012/06/01	20.00
13872	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Mar 2012	68,000,000	2011/08/15	2012/03/01	34.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13873	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jul 2012	108,000,000	2011/08/15	2012/07/03	27.00
13870	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2012 A	200,000,000	2011/08/15	2012/03/29	50.00
13874	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Aug 2012	80,000,000	2011/08/15	2012/08/10	20.00
13871	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Aug 2012	80,000,000	2011/08/15	2012/08/10	20.00
13827	Macquarie Bank Ltd. – BankComm European Warrants Mar 2012	200,000,000	2011/08/15	2012/03/02	50.00
13843	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2012	80,000,000	2011/08/15	2012/01/16	16.64
13844	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2012 A	80,000,000	2011/08/15	2012/01/10	22.00
13840	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Feb 2012	80,000,000	2011/08/15	2012/02/27	48.00
13839	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Mar 2012	80,000,000	2011/08/15	2012/03/05	29.60
13842	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2012 A	80,000,000	2011/08/15	2012/02/27	20.80
13841	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2012 A	80,000,000	2011/08/15	2012/03/19	20.00
13865	RB of Scotland N.V. – HSI European Put Warrants Apr 2012	250,000,000	2011/08/15	2012/04/27	62.75
13864	RB of Scotland N.V. – HSI European Warrants Jan 2012 C	250,000,000	2011/08/15	2012/01/30	37.75
13849	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2012	200,000,000	2011/08/15	2012/03/26	80.00
13851	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011C	300,000,000	2011/08/15	2011/12/19	63.00
13850	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2012	300,000,000	2011/08/15	2012/02/15	114.00
13852	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2012 A	300,000,000	2011/08/15	2012/02/15	75.00
13853	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012 C	200,000,000	2011/08/15	2012/02/15	122.00
13866	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt May 2012	300,000,000	2011/08/15	2012/05/07	75.00
13857	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Feb 2012	100,000,000	2011/08/15	2012/02/15	48.00
13856	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2012	300,000,000	2011/08/15	2012/02/15	114.00
13855	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 2012	300,000,000	2011/08/15	2012/02/28	78.00
13854	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012 A	300,000,000	2011/08/15	2012/02/28	75.00
13862	UBS AG – China Life European Warrants Nov 2011 B	300,000,000	2011/08/15	2011/11/28	45.00
13861	UBS AG – HSI European Warrants Jan 2012	300,000,000	2011/08/15	2012/01/30	45.00
13860	UBS AG – HSI European Warrants Feb 2012	300,000,000	2011/08/15	2012/02/28	75.00
13922	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2012 B	200,000,000	2011/08/16	2012/03/02	98.00
13923	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2012 B	200,000,000	2011/08/16	2012/04/03	142.00
13927	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2012	200,000,000	2011/08/16	2012/04/27	50.00
13926	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2012	200,000,000	2011/08/16	2012/08/30	50.00
13929	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2012	200,000,000	2011/08/16	2012/03/29	88.00
13928	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2012	200,000,000	2011/08/16	2012/04/27	76.00
13946	Credit Suisse AG – A50 China European Warrants Aug 2012	300,000,000	2011/08/16	2012/08/06	45.00
13945	Credit Suisse AG – HSI European Put Warrants Feb 2012 D	500,000,000	2011/08/16	2012/02/28	125.00
13941	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2012	80,000,000	2011/08/16	2012/02/27	20.00
13942	Citigroup Global Mkt H Inc. – CC Bank European Wt Feb 2012 A	80,000,000	2011/08/16	2012/02/27	20.00
13944	Citigroup Global Mkt H Inc. – HSBC European Wts Feb 2012	80,000,000	2011/08/16	2012/02/27	20.00
13940	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2012 A	80,000,000	2011/08/16	2012/02/27	20.00
13943	Citigroup Global Mkt H Inc. – ICBC European Wts Feb 2012	80,000,000	2011/08/16	2012/02/27	20.00
13903	HK Bank – HSI European Warrants Mar 2012 B	300,000,000	2011/08/16	2012/03/29	75.00
13925	HK Bank – HSI European Put Warrants Mar 2012 C	150,000,000	2011/08/16	2012/03/29	37.50
13913	J P Morgan SP BV – Agricultural Bk Euro Warrants Feb 2012	150,000,000	2011/08/16	2012/02/16	49.35
13912	J P Morgan SP BV – AIA European Warrants Feb 2012 A	200,000,000	2011/08/16	2012/02/16	50.00
13911	J P Morgan SP BV – China Mob European Wts Feb 2012	200,000,000	2011/08/16	2012/02/16	62.80

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13916	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2012	200,000,000	2011/08/16	2012/02/16	78.00
13909	J P Morgan SP BV – CPIC European Warrants Feb 2012	100,000,000	2011/08/16	2012/02/16	25.00
13914	J P Morgan SP BV – HKEx European Warrants Oct 2012	200,000,000	2011/08/16	2012/10/25	32.00
13906	J P Morgan SP BV – HSBC European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/16	56.00
13905	J P Morgan SP BV – HSBC European Warrants Apr 2012	200,000,000	2011/08/16	2012/04/03	50.00
13904	J P Morgan SP BV – HSBC European Put Warrants Feb 2012	200,000,000	2011/08/16	2012/02/16	64.00
13910	J P Morgan SP BV – Hutchison European Warrants Feb 2012 A	150,000,000	2011/08/16	2012/02/16	43.95
13907	J P Morgan SP BV – ICBC European Warrants Feb 2012	150,000,000	2011/08/16	2012/02/16	49.05
13921	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Aug 2012	188,000,000	2011/08/16	2012/08/01	28.20
13955	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Aug 2012	158,000,000	2011/08/16	2012/08/08	39.50
13920	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2012 A	108,000,000	2011/08/16	2012/01/16	37.80
13919	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2012 A	98,000,000	2011/08/16	2012/02/16	49.00
13952	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2012 A	100,000,000	2011/08/16	2012/03/29	25.00
13953	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2012 B	100,000,000	2011/08/16	2012/03/29	25.00
13954	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2012	200,000,000	2011/08/16	2012/02/28	50.00
13924	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2012 B	200,000,000	2011/08/16	2012/03/29	50.00
13902	Macquarie Bank Ltd. – CM Bank European Warrants Jun 2012	200,000,000	2011/08/16	2012/06/04	50.00
13901	Macquarie Bank Ltd. – HKEx European Warrants Aug 2012	200,000,000	2011/08/16	2012/08/02	50.00
13898	Macquarie Bank Ltd. – HSI European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/28	50.00
13899	Macquarie Bank Ltd. – Tencent European Warrants Mar 2012	250,000,000	2011/08/16	2012/03/02	77.00
13900	Macquarie Bank Ltd. – Tencent European Warrants Mar 2012 A	250,000,000	2011/08/16	2012/03/02	62.50
13892	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 B	80,000,000	2011/08/16	2012/02/27	18.40
13893	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2012 B	80,000,000	2011/08/16	2012/02/27	20.00
13894	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011 A	80,000,000	2011/08/16	2011/11/22	17.12
13896	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 2012	80,000,000	2011/08/16	2012/06/25	20.00
13895	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Sep 2012	80,000,000	2011/08/16	2012/09/24	20.00
13897	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2012 A	80,000,000	2011/08/16	2012/02/27	34.40
13890	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 G	200,000,000	2011/08/16	2012/01/30	35.00
13891	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 H	200,000,000	2011/08/16	2012/01/30	32.60
13935	RB of Scotland N.V. – BOCL European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/16	25.10
13934	CC Rabobank B.A. – CC Bank European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/16	25.10
13933	RB of Scotland N.V. – China Life European Wts Apr 2012	200,000,000	2011/08/16	2012/04/03	50.20
13932	RB of Scotland N.V. – China Life European Wts Jun 2012	200,000,000	2011/08/16	2012/06/12	50.20
13937	RB of Scotland N.V. – HKEx European Warrants Oct 2012	200,000,000	2011/08/16	2012/10/10	50.20
13939	RB of Scotland N.V. – HSBC European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/03	25.10
13938	RB of Scotland N.V. – HWL European Warrants Mar 2012 B	100,000,000	2011/08/16	2012/03/12	25.10
13936	RB of Scotland N.V. – ICBC European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/16	25.10
13917	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012 B	300,000,000	2011/08/16	2012/02/28	87.00
13918	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012 C	300,000,000	2011/08/16	2012/02/28	75.00
13947	UBS AG – BOCL European Warrants Jan 2012 B	200,000,000	2011/08/16	2012/01/30	42.00
13949	UBS AG – CC Bank European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/20	134.00
13930	UBS AG – Cheung Kong European Warrants Dec 2012 A	300,000,000	2011/08/16	2012/12/10	45.00
13950	UBS AG – A50 China European Warrants Aug 2012	200,000,000	2011/08/16	2012/08/01	39.20
13951	UBS AG – HSBC European Warrants Oct 2015	500,000,000	2011/08/16	2015/10/12	125.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13931	UBS AG – Hutchison European Warrants Jan 2012	500,000,000	2011/08/16	2012/01/20	75.00
13948	UBS AG – ICBC European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/20	106.00
13994	Citigroup Global Mkt H Inc. – BankComm Euro Wts Feb 2012 B	80,000,000	2011/08/17	2012/02/20	20.00
13991	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Feb 2012	80,000,000	2011/08/17	2012/02/20	20.00
13993	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Feb 2012 A	80,000,000	2011/08/17	2012/02/20	20.00
13995	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Feb 2012 B	80,000,000	2011/08/17	2012/02/28	20.00
13996	Citigroup Global Mkt H Inc. – HSI European Put Wts Feb 2012	100,000,000	2011/08/17	2012/02/28	25.00
13990	Citigroup Global Mkt H Inc. – Jiangxi Copper Eur Wt Feb 2012	80,000,000	2011/08/17	2012/02/20	20.00
13992	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Apr 2012	80,000,000	2011/08/17	2012/04/26	12.80
13989	Bank of East Asia – China Life European Warrants Apr 2012	65,000,000	2011/08/17	2012/04/23	16.25
13987	Bank of East Asia – Li & Fung European Warrants Sep 2012	115,000,000	2011/08/17	2012/09/24	28.75
13988	Bank of East Asia – Yanzhou Coal European Warrants Apr 2012	55,000,000	2011/08/17	2012/04/23	14.30
13985	J P Morgan SP BV – Agricultural Bk Euro Warrants Jul 2015	500,000,000	2011/08/17	2015/07/08	75.00
13984	J P Morgan SP BV – China Mob European Wts Jun 2015	500,000,000	2011/08/17	2015/06/22	75.00
13982	J P Morgan SP BV – Hutchison European Warrants Dec 2013	500,000,000	2011/08/17	2013/12/30	75.00
13983	J P Morgan SP BV – Hutchison European Warrants Dec 2013 A	500,000,000	2011/08/17	2013/12/16	75.00
13981	J P Morgan SP BV – Ping An European Warrants Nov 2013	300,000,000	2011/08/17	2013/11/28	45.00
13980	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2012 A	198,000,000	2011/08/17	2012/02/08	29.70
13979	Macquarie Bank Ltd. – CC Bank European Warrants Mar 2012	220,000,000	2011/08/17	2012/03/05	93.28
13958	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Feb 2012 A	80,000,000	2011/08/17	2012/02/20	40.80
13961	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 C	80,000,000	2011/08/17	2012/02/22	26.40
13962	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 D	80,000,000	2011/08/17	2012/02/20	20.80
13957	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2015	80,000,000	2011/08/17	2015/06/25	12.00
13956	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011 E	80,000,000	2011/08/17	2011/12/06	35.20
13963	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11F	80,000,000	2011/08/17	2011/12/07	40.00
13975	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012	200,000,000	2011/08/17	2012/03/29	50.00
13976	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2012	200,000,000	2011/08/17	2012/05/30	50.00
13977	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 A	200,000,000	2011/08/17	2012/03/29	50.00
13978	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 B	200,000,000	2011/08/17	2012/03/29	51.00
13959	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 A	80,000,000	2011/08/17	2012/02/22	44.80
13960	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 B	80,000,000	2011/08/17	2012/02/20	34.80
13997	RB of Scotland N.V. – AIA European Warrants Jun 2012 A	200,000,000	2011/08/17	2012/06/29	50.20
13998	RB of Scotland N.V. – CNOOC European Warrants Nov 2012	250,000,000	2011/08/17	2012/11/16	62.75
10129	RB of Scotland N.V. – Gold European Warrants Jun 2012 A	100,000,000	2011/08/17	2012/06/25	25.10
13964	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2012 A	200,000,000	2011/08/17	2012/01/18	70.00
13965	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2012 A	200,000,000	2011/08/17	2012/02/20	78.00
13986	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2012 A	400,000,000	2011/08/17	2012/02/20	108.00
13967	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Sep 2012	300,000,000	2011/08/17	2012/09/05	45.00
13968	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 2012	200,000,000	2011/08/17	2012/02/20	62.00
13969	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Mar 2012	200,000,000	2011/08/17	2012/03/28	50.00
12797 #	Standard Chartered Bank-China Life European Wts Jan 2012	150,000,000	2011/08/17	2012/01/04	16.35
11298 #	Standard Chartered Bank – HSBC European Warrants Jun 2012	150,000,000	2011/08/17	2012/06/01	16.50
15550 #	UBS AG – HSBC European Warrants Dec 2011	500,000,000	2011/08/17	2011/12/19	10.50
12772 #	UBS AG – HSI European Warrants Dec 2011 A	700,000,000	2011/08/17	2011/12/29	57.40

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14033	Barclays Bank plc – HSI European Warrants Mar 2012	150,000,000	2011/08/18	2012/03/29	37.50
14034	Barclays Bank plc – HSI European Put Warrants Mar 2012	150,000,000	2011/08/18	2012/03/29	37.50
14036	Bank of East Asia – JIANC European Warrants May 2012	80,000,000	2011/08/18	2012/05/23	20.00
14035	Bank of East Asia – MTRC European Warrants Nov 2012	60,000,000	2011/08/18	2012/11/23	15.00
14000	HK Bank – HSI European Warrants Feb 2012 A	300,000,000	2011/08/18	2012/02/28	75.00
14001	HK Bank – HSI European Warrants Feb 2012 B	300,000,000	2011/08/18	2012/02/28	75.00
14020	J P Morgan SP BV – CHALCO European Warrants Jun 2013	300,000,000	2011/08/18	2013/06/17	45.00
14017	J P Morgan SP BV – China Life European Warrants Feb 2012	200,000,000	2011/08/18	2012/02/20	50.00
14019	J P Morgan SP BV – China Life European Warrants Feb 2012 A	200,000,000	2011/08/18	2012/02/20	57.00
14021	J P Morgan SP BV – Chinares Cement Euro Warrants Jan 2013	100,000,000	2011/08/18	2013/01/28	15.00
14018	J P Morgan SP BV – A50 China European Warrants Aug 2014	300,000,000	2011/08/18	2014/08/08	75.00
14016	J P Morgan SP BV – A50 China European Warrants Aug 2016	300,000,000	2011/08/18	2016/08/08	90.00
14023	J P Morgan SP BV – GCL-Poly European Warrants Aug 2013	300,000,000	2011/08/18	2013/08/23	45.00
14027	J P Morgan SP BV – HSI European Warrants Feb 2012	200,000,000	2011/08/18	2012/02/28	50.00
14025	J P Morgan SP BV – HSI European Put Warrants Feb 2012	200,000,000	2011/08/18	2012/02/28	52.60
14024	J P Morgan SP BV – HSI European Put Warrants Apr 2012	200,000,000	2011/08/18	2012/04/27	50.00
14028	J P Morgan SP BV – HSI European Warrants Jan 2012 B	200,000,000	2011/08/18	2012/01/30	33.00
14029	J P Morgan SP BV – HSI European Warrants Jan 2012 C	200,000,000	2011/08/18	2012/01/30	34.00
14026	J P Morgan SP BV – HSI European Put Warrants Feb 2012 A	200,000,000	2011/08/18	2012/02/28	52.20
14022	J P Morgan SP BV – SHK Ppt European Warrants Apr 2013	200,000,000	2011/08/18	2013/04/11	30.00
14012	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Feb 2012	108,000,000	2011/08/18	2012/02/20	42.12
14011	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Mar 2012	80,000,000	2011/08/18	2012/03/05	29.60
14010	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2012 A	80,000,000	2011/08/18	2012/02/20	27.20
14009	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Apr 2012	68,000,000	2011/08/18	2012/04/02	34.00
14008	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2012	238,000,000	2011/08/18	2012/06/01	35.70
14007	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Mar 2012	98,000,000	2011/08/18	2012/03/01	48.02
14030	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2012	200,000,000	2011/08/18	2012/03/29	52.00
14006	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2012 B	128,000,000	2011/08/18	2012/02/20	78.08
14005	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2012	80,000,000	2011/08/18	2012/03/05	20.00
14004	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Mar 2012	80,000,000	2011/08/18	2012/03/05	46.40
14014	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2012	80,000,000	2011/08/18	2012/11/30	20.00
14013	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2012 B	80,000,000	2011/08/18	2012/02/27	32.00
14002	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2011 B	80,000,000	2011/08/18	2011/12/23	43.20
14003	Merrill Lynch Int'l & Co. C.V. – MTRC European Wts May 2012	80,000,000	2011/08/18	2012/05/28	20.00
14032	Standard Chartered Bank – China Life European Wts Mar 2012 A	150,000,000	2011/08/18	2012/03/05	37.50
14031	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2012	100,000,000	2011/08/18	2012/10/19	15.00
14015	Standard Chartered Bank – SPDR Gold Trust Euro Wts Jun 2012	50,000,000	2011/08/18	2012/06/29	26.50
14075	BNP Paribas Arbit Issu B.V. – BankComm Euro Warrants Apr 2012	45,000,000	2011/08/19	2012/04/03	16.65
14076	BNP Paribas Arbit Issu B.V. – CM Bank Euro Warrants Oct 2012	100,000,000	2011/08/19	2012/10/03	25.00
14072	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wts Apr 2012	35,000,000	2011/08/19	2012/04/03	19.25
14077	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Oct 2012	100,000,000	2011/08/19	2012/10/03	25.00
14074	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Euro Wt Apr 2012	40,000,000	2011/08/19	2012/04/03	10.40
14071	BNP Paribas Arbit Issu B.V. – Zoomlion European Wts Oct 2012	100,000,000	2011/08/19	2012/10/03	25.00
14078	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2013	100,000,000	2011/08/19	2013/11/04	25.00

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		發行單位	上市日期	到期日	
14073	BNP Paribas Arbit Issu B.V. – China Mengniu Euro Wt Apr 2012	80,000,000	2011/08/19	2012/04/03	23.20
14070	BNP Paribas Arbit Issu B.V. – Ch Rongsheng Euro Wt Jun 2012	55,000,000	2011/08/19	2012/06/04	24.20
14068	BNP Paribas Arbit Issu B.V. – Sino Land European Wt Sep 2012	100,000,000	2011/08/19	2012/09/04	25.00
14069	BNP Paribas Arbit Issu B.V. – ZTE European Warrants Jun 2012	80,000,000	2011/08/19	2012/06/04	20.80
14046	Citigroup Global Mkt H Inc. – BOCL European Wts Feb 2012 A	80,000,000	2011/08/19	2012/02/20	20.00
14043	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Feb 2012 A	80,000,000	2011/08/19	2012/02/27	20.00
14045	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Jan 2012	80,000,000	2011/08/19	2012/01/10	12.00
14050	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2013	100,000,000	2011/08/19	2013/06/25	15.00
14044	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wts Feb 2012	50,000,000	2011/08/19	2012/02/20	15.00
14066	Bank of East Asia – HKEx European Warrants Aug 2012	110,000,000	2011/08/19	2012/08/23	27.50
14067	Bank of East Asia – PICC European Warrants Apr 2012	140,000,000	2011/08/19	2012/04/23	35.00
14065	KBC Fin Products Int'l Ltd. – ICBC European Wts Feb 2012	128,000,000	2011/08/19	2012/02/20	35.84
14041	Macquarie Bank Ltd. – CHALCO European Warrants Mar 2012	150,000,000	2011/08/19	2012/03/02	57.45
14063	Macquarie Bank Ltd. – Angang Steel European Wts Mar 2012	100,000,000	2011/08/19	2012/03/02	25.10
14039	Macquarie Bank Ltd. – Ch Comm Cons European Wts Mar 2012	150,000,000	2011/08/19	2012/03/02	41.25
14040	Macquarie Bank Ltd. – China Railway European Wts Mar 2012	150,000,000	2011/08/19	2012/03/02	37.95
14042	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Feb 2012	150,000,000	2011/08/19	2012/02/20	38.85
14064	Macquarie Bank Ltd. – ICBC European Warrants Mar 2012	100,000,000	2011/08/19	2012/03/02	26.90
14051	Macquarie Bank Ltd. – ZTE European Warrants Mar 2012	150,000,000	2011/08/19	2012/03/02	37.50
14053	CC Rabobank B.A. – AviChina European Warrants Feb 2012	80,000,000	2011/08/19	2012/02/28	64.00
14054	CC Rabobank B.A. – Nine Dragons European Warrants Feb 2012	38,000,000	2011/08/19	2012/02/29	26.60
14052	CC Rabobank B.A. – Xinyi Glass European Warrants Feb 2012	50,000,000	2011/08/19	2012/02/28	45.50
14059	RB of Scotland N.V. – Sinopec Corp European Wts Mar 2012	100,000,000	2011/08/19	2012/03/16	25.10
14056	RB of Scotland N.V. – China Shenhua European Wts Mar 2012	150,000,000	2011/08/19	2012/03/16	37.65
14055	RB of Scotland N.V. – China Shenhua European Wts Jun 2012	150,000,000	2011/08/19	2012/06/18	37.65
14060	RB of Scotland N.V. – HSI European Warrants Jan 2012 D	250,000,000	2011/08/19	2012/01/30	37.75
14061	RB of Scotland N.V. – HSI European Warrants Feb 2012 A	250,000,000	2011/08/19	2012/02/28	62.75
14062	RB of Scotland N.V. – HSI European Warrants Feb 2012 B	250,000,000	2011/08/19	2012/02/28	62.75
14058	RB of Scotland N.V. – Jiangxi Copper European Wts Mar 2012	300,000,000	2011/08/19	2012/03/16	75.30
14057	RB of Scotland N.V. – Jiangxi Copper European Wts Jun 2012	300,000,000	2011/08/19	2012/06/18	75.30
14048	Standard Chartered Bank – CC Bank European Wts May 2012	100,000,000	2011/08/19	2012/05/04	35.00
14047	Standard Chartered Bank – HSBC European Warrants Feb 2012 A	100,000,000	2011/08/19	2012/02/27	47.00
14049	Standard Chartered Bank – ICBC European Warrants Jan 2012	100,000,000	2011/08/19	2012/01/30	17.00
14037	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Feb 2012 A	500,000,000	2011/08/19	2012/02/20	125.00
14038	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Feb 2012 B	500,000,000	2011/08/19	2012/02/22	75.00
14103	Credit Suisse AG – Agricultural Bank European Wts Dec 2011 A	300,000,000	2011/08/22	2011/12/12	45.00
14099	Credit Suisse AG – HSI European Warrants Feb 2012 E	500,000,000	2011/08/22	2012/02/28	125.00
14100	Credit Suisse AG – HSI European Warrants Feb 2012 F	500,000,000	2011/08/22	2012/02/28	125.00
14101	Credit Suisse AG – HSI European Warrants Feb 2012 G	500,000,000	2011/08/22	2012/02/28	125.00
14102	Credit Suisse AG – HSI European Put Warrants Feb 2012 H	500,000,000	2011/08/22	2012/02/28	125.00
14082	HK Bank – China Mobile European Warrants Mar 2012	150,000,000	2011/08/22	2012/03/15	76.50
14081	HK Bank – CC Bank European Warrants Mar 2012	120,000,000	2011/08/22	2012/03/29	38.40
14083	HK Bank – China Life European Warrants May 2012	150,000,000	2011/08/22	2012/05/11	37.50
14095	J P Morgan SP BV – Tencent European Warrants Mar 2012	200,000,000	2011/08/22	2012/03/01	50.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14096	J P Morgan SP BV – Tencent European Warrants Jul 2012	200,000,000	2011/08/22	2012/07/03	52.00
14094	J P Morgan SP BV – Tencent European Put Warrants Feb 2012	200,000,000	2011/08/22	2012/02/22	54.00
14097	KBC Fin Products Int'l Ltd. – CNOOC European Wts Mar 2012	108,000,000	2011/08/22	2012/03/05	19.44
14098	KBC Fin Products Int'l Ltd. – Ping An European Wts Feb 2012	80,000,000	2011/08/22	2012/02/22	34.40
14080	Macquarie Bank Ltd. – China Shenhua European Wts Mar 2012	150,000,000	2011/08/22	2012/03/02	37.50
14079	Macquarie Bank Ltd. – Sinopharm European Warrants Feb 2012	150,000,000	2011/08/22	2012/02/22	37.50
14087	CC Rabobank B.A. – Anhui Conch European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/19	40.00
14091	CC Rabobank B.A. – Alibaba European Warrants Jun 2012 A	100,000,000	2011/08/22	2012/06/22	15.00
14092	CC Rabobank B.A. – BBMG European Warrants Apr 2012	100,000,000	2011/08/22	2012/04/10	15.00
14088	CC Rabobank B.A. – Ch LongYuan European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/19	50.00
14084	CC Rabobank B.A. – CITIC Pacific European Warrants Jun 2012	100,000,000	2011/08/22	2012/06/27	15.00
14089	CC Rabobank B.A. – Datang European Warrants Mar 2012 A	100,000,000	2011/08/22	2012/03/19	25.00
14093	CC Rabobank B.A. – HKEx European Warrants Jun 2012	200,000,000	2011/08/22	2012/06/18	30.00
14085	CC Rabobank B.A. – HKEx European Put Warrants Jun 2012	200,000,000	2011/08/22	2012/06/18	50.00
14090	CC Rabobank B.A. – Yurun Food European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/19	25.00
14086	CC Rabobank B.A. – ZTE European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/26	25.00
14121	Credit Suisse AG – HSCEI European Warrants Mar 2012	200,000,000	2011/08/23	2012/03/29	30.00
14131	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Mar 2012	188,000,000	2011/08/23	2012/03/12	28.20
14123	Macquarie Bank Ltd. – Avichina European Warrants Feb 2012	88,000,000	2011/08/23	2012/02/23	30.45
14125	Macquarie Bank Ltd. – China Life European Put Wts Mar 2012	200,000,000	2011/08/23	2012/03/02	55.80
14126	Macquarie Bank Ltd. – CRCC European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/02	25.20
14124	Macquarie Bank Ltd. – Galaxy Ent European Warrants Feb 2012	150,000,000	2011/08/23	2012/02/23	37.50
14111	Macquarie Bank Ltd. – HSI European Warrants Apr 2012	200,000,000	2011/08/23	2012/04/27	50.00
14112	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2012	200,000,000	2011/08/23	2012/02/28	50.00
14104	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Mar 2012	80,000,000	2011/08/23	2012/03/19	34.80
14105	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Apr 2012	80,000,000	2011/08/23	2012/04/23	21.60
14108	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar 2012	80,000,000	2011/08/23	2012/03/05	13.60
14109	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Feb 2012	100,000,000	2011/08/23	2012/02/28	18.10
14110	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2012 A	100,000,000	2011/08/23	2012/02/28	22.50
14106	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wts Mar 2012	80,000,000	2011/08/23	2012/03/19	33.20
14107	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wts Apr 2012	80,000,000	2011/08/23	2012/04/23	22.40
14117	CC Rabobank B.A. – CHALCO European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	60.00
14113	CC Rabobank B.A. – China EB Ltd European Warrants Aug 2012	100,000,000	2011/08/23	2012/08/20	25.00
14115	CC Rabobank B.A. – Ch Comm Cons European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	40.00
14116	CC Rabobank B.A. – China COSCO European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	40.00
14119	CC Rabobank B.A. – CNBM European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/12	20.00
14118	CC Rabobank B.A. – Ch Ship Cont European Warrants May 2012	100,000,000	2011/08/23	2012/05/21	25.00
14114	CC Rabobank B.A. – CSR European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	55.00
14120	CC Rabobank B.A. – Lonking European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	40.00
14128	RB of Scotland N.V. – China Life European Wts Mar 2012	200,000,000	2011/08/23	2012/03/30	30.20
14127	RB of Scotland N.V. – HSI European Put Warrants Apr 2012 A	250,000,000	2011/08/23	2012/04/27	62.75
14129	RB of Scotland N.V. – Ping An European Warrants Feb 2012	100,000,000	2011/08/23	2012/02/28	31.10
14130	RB of Scotland N.V. – Tencent Holdings Euro Wts Mar 2012 A	80,000,000	2011/08/23	2012/03/05	20.08
14122	Standard Chartered Bank – Hutchison Euro Euro Wts Jan 2012	100,000,000	2011/08/23	2012/01/04	42.50

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		發行單位	上市日期	到期日	
13403 #	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2012	158,000,000	2011/08/23	2012/04/02	33.50
14149	BOCI Asia Ltd. – BOCL European Warrants Apr 2012	100,000,000	2011/08/24	2012/04/02	16.00
14148	BOCI Asia Ltd. – CC Bank European Warrants Mar 2012	100,000,000	2011/08/24	2012/03/05	30.00
14147	BOCI Asia Ltd. – ICBC European Warrants Jan 2012 A	100,000,000	2011/08/24	2012/01/26	17.00
14144	HK Bank – Zoomlion European Warrants Jun 2012	80,000,000	2011/08/24	2012/06/28	20.00
14150	KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2012	108,000,000	2011/08/24	2012/03/01	27.00
14151	KBC Fin Products Int'l Ltd. – BOC HK European Wts Jun 2012	108,000,000	2011/08/24	2012/06/26	19.44
14153	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Mar 2012	108,000,000	2011/08/24	2012/03/15	27.00
14143	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Mar 2012	100,000,000	2011/08/24	2012/03/02	25.00
14152	Macquarie Bank Ltd. – HSBC European Warrants Jan 2012 A	200,000,000	2011/08/24	2012/01/16	74.00
14141	Macquarie Bank Ltd. – Jiangxi Copper European Wts Mar 2012	200,000,000	2011/08/24	2012/03/02	50.00
14142	Macquarie Bank Ltd. – Yurun Food European Warrants Mar 2012	150,000,000	2011/08/24	2012/03/29	37.50
14134	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 2012	80,000,000	2011/08/24	2012/03/23	20.40
14133	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Nov 2012	80,000,000	2011/08/24	2012/11/14	20.00
14145	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Mar 2012	80,000,000	2011/08/24	2012/03/15	38.40
14146	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Mar 2012 A	80,000,000	2011/08/24	2012/03/08	63.20
14132	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Mar 12	80,000,000	2011/08/24	2012/03/12	58.40
14156	RB of Scotland N.V. – CC Bank European Put Warrants May 2012	80,000,000	2011/08/24	2012/05/04	40.16
14155	RB of Scotland N.V. – China Life European Put Wts Mar 2012	80,000,000	2011/08/24	2012/03/30	21.20
14154	RB of Scotland N.V. – CNOOC European Put Warrants Jun 2012	80,000,000	2011/08/24	2012/06/12	20.08
14157	RB of Scotland N.V. – HKEx European Put Warrants Oct 2012	80,000,000	2011/08/24	2012/10/10	20.08
14159	RB of Scotland N.V. – HSBC European Put Warrants Apr 2012	80,000,000	2011/08/24	2012/04/03	36.40
14158	RB of Scotland N.V. – HWL European Put Warrants Mar 2012	80,000,000	2011/08/24	2012/03/12	40.00
14135	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2012	120,000,000	2011/08/24	2012/02/13	75.60
14136	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2012	200,000,000	2011/08/24	2012/03/28	56.00
14137	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Feb 2012	50,000,000	2011/08/24	2012/02/29	18.00
14138	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Jan 2012	100,000,000	2011/08/24	2012/01/11	17.00
14139	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Mar 2012	50,000,000	2011/08/24	2012/03/28	16.50
14140	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Feb 12	100,000,000	2011/08/24	2012/02/29	30.00
14162	Barclays Bank plc – AIA European Warrants Jul 2012	100,000,000	2011/08/25	2012/07/03	27.30
14161	Barclays Bank plc – CNOOC European Warrants Jul 2012 B	100,000,000	2011/08/25	2012/07/30	25.00
14166	Credit Suisse AG – CNBM European Warrants Mar 2012	150,000,000	2011/08/25	2012/03/12	37.50
14164	Credit Suisse AG – Jiangxi Copper European Wts Jan 2012	150,000,000	2011/08/25	2012/01/16	22.50
14165	Credit Suisse AG – Yanzhou Coal European Warrants Feb 2012	150,000,000	2011/08/25	2012/02/13	22.50
10130	Deutsche Bank AG – EUR/USD European Warrants Feb 2012	50,000,000	2011/08/25	2012/02/27	13.00
10132	Deutsche Bank AG – Gold European Warrants Feb 2012	50,000,000	2011/08/25	2012/02/28	12.50
10131	Deutsche Bank AG – Gold European Put Warrants Feb 2012	50,000,000	2011/08/25	2012/02/28	12.50
14173	J P Morgan SP BV – China Everbright European Wts Dec 2012	100,000,000	2011/08/25	2012/12/03	25.00
14168	J P Morgan SP BV – China Comservice European Wts Jun 2012	50,000,000	2011/08/25	2012/06/25	19.00
14172	J P Morgan SP BV – Minsheng Bank European Warrants Feb 2012	60,000,000	2011/08/25	2012/02/27	16.50
14167	J P Morgan SP BV – CNBM European Warrants Jun 2012	200,000,000	2011/08/25	2012/06/18	50.00
14171	J P Morgan SP BV – A50 China European Put Warrants May 2013	200,000,000	2011/08/25	2013/05/20	50.00
14170	J P Morgan SP BV – Ch Rongsheng European Warrants May 2012	100,000,000	2011/08/25	2012/05/28	40.00
14174	J P Morgan SP BV – Zhaojin Mining European Warrants Jun 2012	100,000,000	2011/08/25	2012/06/18	25.00

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		發行單位	上市日期	到期日	
14163	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jun 2012	100,000,000	2011/08/25	2012/06/28	25.00
14160	Macquarie Bank Ltd. – HKEx European Put Warrants Apr 2012	200,000,000	2011/08/25	2012/04/03	50.00
14178	BOCI Asia Ltd. – AIA European Warrants Feb 2012	100,000,000	2011/08/26	2012/02/20	16.00
14176	BOCI Asia Ltd. – China Shenhua European Warrants Mar 2012	100,000,000	2011/08/26	2012/03/05	25.00
14177	BOCI Asia Ltd. – Li & Fung European Warrants Feb 2012	100,000,000	2011/08/26	2012/02/20	15.00
14198	Citigroup Global Mkt H Inc. – HSI European Put Wts Mar 2012	100,000,000	2011/08/26	2012/03/29	16.00
14197	Citigroup Global Mkt H Inc. – HSI European Wts Feb 2012 A	100,000,000	2011/08/26	2012/02/28	23.00
14192	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Mar 2012	80,000,000	2011/08/26	2012/03/15	24.00
14193	KBC Fin Products Int'l Ltd. – Anhui Conch Eu Put Wt May 2012	80,000,000	2011/08/26	2012/05/15	29.60
14196	KBC Fin Products Int'l Ltd. – BOCL European Wts Feb 2012 A	108,000,000	2011/08/26	2012/02/14	37.80
14189	KBC Fin Products Int'l Ltd. – CITIC P European Wts Jun 2012	80,000,000	2011/08/26	2012/06/01	20.00
14187	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Aug 2012	80,000,000	2011/08/26	2012/08/01	20.00
14194	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2012	128,000,000	2011/08/26	2012/02/27	32.00
14186	KBC Fin Products Int'l Ltd. – CNBM European Wts Apr 2012	88,000,000	2011/08/26	2012/04/02	22.00
14195	KBC Fin Products Int'l Ltd. – CNBM European Put Wts May 2012	80,000,000	2011/08/26	2012/05/31	20.00
14188	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Apr 2012	98,000,000	2011/08/26	2012/04/02	30.38
14190	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Apr 2012	80,000,000	2011/08/26	2012/04/30	20.00
14191	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Apr 12	80,000,000	2011/08/26	2012/04/10	20.00
14175	Macquarie Bank Ltd. – Ch Oilfield European Warrants Sep 2012	150,000,000	2011/08/26	2012/09/04	37.50
14179	Macquarie Bank Ltd. – Stanchart European Warrants May 2012	300,000,000	2011/08/26	2012/05/29	45.30
14184	Macquarie Bank Ltd. – Tencent European Warrants Apr 2012	300,000,000	2011/08/26	2012/04/03	75.00
14185	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 2012	300,000,000	2011/08/26	2012/06/04	75.00
14182	Standard Chartered Bank – BOCL European Warrants Aug 2012 A	100,000,000	2011/08/26	2012/08/02	40.00
14183	Standard Chartered Bank – Jiangxi Copper Euro Wts May 2012	100,000,000	2011/08/26	2012/05/03	25.00
14206	J P Morgan SP BV – BEA European Warrants May 2012	80,000,000	2011/08/29	2012/05/30	20.00
14207	J P Morgan SP BV – JX Copper European Warrants Feb 2012	200,000,000	2011/08/29	2012/02/29	50.00
14208	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2012	80,000,000	2011/08/29	2012/03/01	33.60
14205	Macquarie Bank Ltd. – HSI European Put Warrants May 2012	200,000,000	2011/08/29	2012/05/30	50.20
14204	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2012	200,000,000	2011/08/29	2012/06/28	50.00
14203	Macquarie Bank Ltd. – HSI European Warrants Mar 2012 A	200,000,000	2011/08/29	2012/03/29	50.00
14202	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2012	80,000,000	2011/08/29	2012/02/03	16.16
14201	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011 B	80,000,000	2011/08/29	2011/11/30	13.20
14200	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2012 B	80,000,000	2011/08/29	2012/03/26	20.00
14199	Merrill Lynch Int'l & Co. C.V. – Tencent Eur Put Wt May 2012	80,000,000	2011/08/29	2012/05/21	20.00
28888#	UBS AG – Hutchison European Warrants Mar 2012 B	500,000,000	2011/08/29	2012/03/21	16.50
14209	Barclays Bank plc – CC Bank European Warrants Mar 2012	100,000,000	2011/08/30	2012/03/05	25.00
14210	Barclays Bank plc – ICBC European Warrants Apr 2012	100,000,000	2011/08/30	2012/04/02	25.00
14227	Credit Suisse AG – China Mobile European Warrants Mar 2012	300,000,000	2011/08/30	2012/03/05	75.00
14225	Credit Suisse AG – ChiUnicom European Warrants Jan 2012	200,000,000	2011/08/30	2012/01/30	30.00
14228	Credit Suisse AG – CNBM European Warrants Apr 2012	150,000,000	2011/08/30	2012/04/30	22.50
14226	Credit Suisse AG – CNOOC European Warrants Mar 2012	300,000,000	2011/08/30	2012/03/05	45.00
14224	Credit Suisse AG – Tencent Holdings European Wts Mar 2012	300,000,000	2011/08/30	2012/03/05	75.00
10133	Deutsche Bank AG – Gold European Warrants Mar 2012	50,000,000	2011/08/30	2012/03/20	12.50
14220	J P Morgan SP BV – Brilliance Chi European Warrants Jan 2013	200,000,000	2011/08/30	2013/01/28	50.00

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		發行單位	上市日期	到期日	
14217	Macquarie Bank Ltd. – BYD European Warrants Mar 2012	200,000,000	2011/08/30	2012/03/02	50.00
14223	Macquarie Bank Ltd. – C Transmission Eur Warrants Mar 2012 A	100,000,000	2011/08/30	2012/03/02	26.90
14213	CC Rabobank B.A. – Galaxy Ent European Warrants Mar 2012 A	100,000,000	2011/08/30	2012/03/19	30.00
14216	CC Rabobank B.A. – SJM Holdings European Warrants Mar 2012	100,000,000	2011/08/30	2012/03/19	25.00
14214	CC Rabobank B.A. – Tencent Holdings European Wts Feb 2012	150,000,000	2011/08/30	2012/02/20	27.00
14215	CC Rabobank B.A. – Tencent Holdings European Put Wt May 2012	150,000,000	2011/08/30	2012/05/21	37.50
14211	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2012 B	200,000,000	2011/08/30	2012/01/30	42.00
14221	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 B	100,000,000	2011/08/30	2012/03/05	76.00
14222	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 C	150,000,000	2011/08/30	2012/03/28	73.50
14212	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2012	200,000,000	2011/08/30	2012/02/15	64.00
13672#	UBS AG – China Life European Warrants Dec 2012 A	500,000,000	2011/08/30	2012/12/10	10.50
14232	Citigroup Global Mkt H Inc. – Air China Euro Wts Dec 2012	80,000,000	2011/08/31	2012/12/10	13.60
14235	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012 B	80,000,000	2011/08/31	2012/02/08	12.00
14236	Citigroup Global Mkt H Inc. – A50 China Eur Put Wts Feb 2012	100,000,000	2011/08/31	2012/02/06	15.00
14233	Citigroup Global Mkt H Inc. – Ch Rongsheng Euro Wt Mar 2012	50,000,000	2011/08/31	2012/03/01	12.50
14234	Citigroup Global Mkt H Inc. – Yanzhou Coal Euro Wt Mar 2012	80,000,000	2011/08/31	2012/03/01	20.00
14253	J P Morgan SP BV – BOCL European Warrants Feb 2012	200,000,000	2011/08/31	2012/02/22	30.00
14258	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2012	200,000,000	2011/08/31	2012/03/01	68.40
14256	J P Morgan SP BV – China Life European Warrants Feb 2012 B	200,000,000	2011/08/31	2012/02/08	37.00
14259	J P Morgan SP BV – Sinopec Corp European Warrants Mar 2012	100,000,000	2011/08/31	2012/03/01	46.00
14254	J P Morgan SP BV – HKEx European Warrants Feb 2012	200,000,000	2011/08/31	2012/02/21	30.00
14260	J P Morgan SP BV – HSBC European Warrants Mar 2012	200,000,000	2011/08/31	2012/03/01	82.80
14257	J P Morgan SP BV – Hutchison European Warrants Mar 2012 C	120,000,000	2011/08/31	2012/03/01	52.08
14255	J P Morgan SP BV – Hutchison European Warrants Oct 2012 A	500,000,000	2011/08/31	2012/10/19	75.00
14261	J P Morgan SP BV – ICBC European Warrants Mar 2012	150,000,000	2011/08/31	2012/03/01	37.50
14262	J P Morgan SP BV – ICBC European Warrants Mar 2012 A	150,000,000	2011/08/31	2012/03/01	58.65
14249	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2012	158,000,000	2011/08/31	2012/03/01	52.14
14250	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Mar 2012	80,000,000	2011/08/31	2012/03/01	20.00
14264	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2012 C	200,000,000	2011/08/31	2012/03/29	50.00
14265	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2012 D	200,000,000	2011/08/31	2012/03/29	50.00
14263	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Mar 2012 A	108,000,000	2011/08/31	2012/03/01	27.00
14252	Macquarie Bank Ltd. – CC Bank European Put Warrants Mar 2012	200,000,000	2011/08/31	2012/03/02	53.40
14251	Macquarie Bank Ltd. – China Life European Wts Apr 2012	200,000,000	2011/08/31	2012/04/03	50.60
14239	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Put Wt May 12	80,000,000	2011/08/31	2012/05/28	12.96
14240	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2012 A	80,000,000	2011/08/31	2012/03/12	20.40
14241	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 12 B	80,000,000	2011/08/31	2012/03/19	20.00
14237	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jan 2012 A	80,000,000	2011/08/31	2012/01/16	31.20
14238	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jan 2012 B	80,000,000	2011/08/31	2012/01/10	26.40
14230	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2012 A	100,000,000	2011/08/31	2012/03/29	15.60
14231	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2012 B	100,000,000	2011/08/31	2012/02/28	17.10
14229	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Feb 2012	80,000,000	2011/08/31	2012/02/27	17.92
14242	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2012 A	200,000,000	2011/08/31	2012/03/05	52.00
14245	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Mar 2012	300,000,000	2011/08/31	2012/03/05	48.00
14246	SGA Societe Generale Acceptance N.V. – Evergrande Eu Wt Mar 12	50,000,000	2011/08/31	2012/03/05	18.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14247	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Mar 2012	100,000,000	2011/08/31	2012/03/05	25.00
14248	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Apr 12	100,000,000	2011/08/31	2012/04/11	25.00
14243	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec 11 A	250,000,000	2011/08/31	2011/12/12	37.50
14244	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Dec 11	250,000,000	2011/08/31	2011/12/05	55.00
14298	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2012 A	200,000,000	2011/09/01	2012/03/02	60.00
14299	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2012	200,000,000	2011/09/01	2012/10/03	62.00
14275	HK Bank – Geely Auto European Warrants Apr 2012	80,000,000	2011/09/01	2012/04/27	20.00
14276	HK Bank – Jiangxi Copper European Warrants May 2012	80,000,000	2011/09/01	2012/05/22	20.00
14277	HK Bank – PetroChina European Warrants May 2012	80,000,000	2011/09/01	2012/05/22	69.60
14266	J P Morgan SP BV – China Life European Warrants Feb 2012 C	200,000,000	2011/09/01	2012/02/27	32.60
14267	J P Morgan SP BV – China Life European Warrants Mar 2012	200,000,000	2011/09/01	2012/03/01	52.00
14278	Macquarie Bank Ltd. – Cheung Kong European Warrants Mar 2013	200,000,000	2011/09/01	2013/03/04	50.80
14272	Macquarie Bank Ltd. – ICBC European Warrants Mar 2012 A	200,000,000	2011/09/01	2012/03/02	99.40
14274	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2013	200,000,000	2011/09/01	2013/02/04	52.20
14273	Macquarie Bank Ltd. – SJM Holdings European Wts Mar 2012	200,000,000	2011/09/01	2012/03/02	50.20
14292	Nomura Int'l plc – Agricultural Bk Euro Warrants Jan 2012	100,000,000	2011/09/01	2012/01/18	18.80
14293	Nomura Int'l plc – AIA European Warrants Mar 2012 A	100,000,000	2011/09/01	2012/03/30	25.00
14295	Nomura Int'l plc – BOC HK European Warrants Mar 2012 A	100,000,000	2011/09/01	2012/03/02	68.90
14297	Nomura Int'l plc – BOCL European Warrants Feb 2012	100,000,000	2011/09/01	2012/02/06	15.90
14291	Nomura Int'l plc – China Mobile European Put Wts Mar 2012	100,000,000	2011/09/01	2012/03/02	33.10
14289	Nomura Int'l plc – CC Bank European Warrants Feb 2012	100,000,000	2011/09/01	2012/02/14	33.30
14279	Nomura Int'l plc – Cheung Kong European Warrants Dec 2013	100,000,000	2011/09/01	2013/12/31	25.00
14296	Nomura Int'l plc – China Life European Warrants Feb 2012 A	100,000,000	2011/09/01	2012/02/13	19.00
14290	Nomura Int'l plc – China Mobile European Warrants Jan 2012 A	100,000,000	2011/09/01	2012/01/27	15.30
14288	Nomura Int'l plc – CNOOC European Warrants Jul 2012	100,000,000	2011/09/01	2012/07/23	15.00
14286	Nomura Int'l plc – HKEx European Warrants Jun 2012	100,000,000	2011/09/01	2012/06/18	16.00
14280	Nomura Int'l plc – HSBC European Warrants Feb 2012	100,000,000	2011/09/01	2012/02/08	33.00
14281	Nomura Int'l plc – HSBC European Put Warrants Feb 2012	100,000,000	2011/09/01	2012/02/24	40.60
14282	Nomura Int'l plc – Hutchison European Warrants Oct 2012 A	100,000,000	2011/09/01	2012/10/31	15.00
14294	Nomura Int'l plc – ICBC European Warrants Mar 2012	100,000,000	2011/09/01	2012/03/02	25.40
14285	Nomura Int'l plc – JIANC European Warrants Mar 2012	100,000,000	2011/09/01	2012/03/30	25.00
14284	Nomura Int'l plc – Poly European Warrants Mar 2012	100,000,000	2011/09/01	2012/03/30	35.00
14283	Nomura Int'l plc – SHK P European Warrants Sep 2012	100,000,000	2011/09/01	2012/09/10	15.00
14287	Nomura Int'l plc – Tencent Holdings Euro Warrants Mar 2012	100,000,000	2011/09/01	2012/03/30	25.00
14269	Standard Chartered Bank – CC Bank European Wts Mar 2012	100,000,000	2011/09/01	2012/03/30	45.00
14270	Standard Chartered Bank – China Life European Wts Jun 2012	150,000,000	2011/09/01	2012/06/01	37.50
14271	Standard Chartered Bank – China Life European Wts Aug 2012	150,000,000	2011/09/01	2012/08/08	37.50
14268	Standard Chartered Bank – China Life Euro Put Wts Aug 2012	150,000,000	2011/09/01	2012/08/30	37.50
14302	BOCI Asia Ltd. – China Tel European Warrants Mar 2012	100,000,000	2011/09/02	2012/03/05	25.00
14304	BOCI Asia Ltd. – HKEx European Warrants Jul 2012	200,000,000	2011/09/02	2012/07/30	32.00
14303	BOCI Asia Ltd. – HSBC European Warrants Jan 2012	100,000,000	2011/09/02	2012/01/26	15.00
14305	BOCI Asia Ltd. – Tracker Fund European Warrants Dec 2012	100,000,000	2011/09/02	2012/12/03	25.00
14309	J P Morgan SP BV – BOC HK European Warrants Mar 2012	150,000,000	2011/09/02	2012/03/29	37.50
14310	J P Morgan SP BV – China Mob European Wts Mar 2012	150,000,000	2011/09/02	2012/03/02	37.50

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		發行單位	上市日期	到期日	
14312	J P Morgan SP BV – CNOOC European Warrants Mar 2012	200,000,000	2011/09/02	2012/03/05	30.20
14311	J P Morgan SP BV – China Shenhua European Warrants Mar 2012	200,000,000	2011/09/02	2012/03/02	51.20
14307	J P Morgan SP BV – Galaxy Ent European Warrants Apr 2012	200,000,000	2011/09/02	2012/04/02	50.20
14313	J P Morgan SP BV – HSBC European Warrants Jan 2012	200,000,000	2011/09/02	2012/01/03	44.00
14314	J P Morgan SP BV – SHK Ppt European Warrants Sep 2012	200,000,000	2011/09/02	2012/09/04	30.00
14308	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2012	200,000,000	2011/09/02	2012/04/02	50.00
14300	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2012 B	300,000,000	2011/09/02	2012/02/27	48.00
14301	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012 A	300,000,000	2011/09/02	2012/03/05	84.00
14306	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2012 A	300,000,000	2011/09/02	2012/02/13	105.00
14335	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012 C	80,000,000	2011/09/05	2012/02/09	12.00
14333	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Mar 2012	80,000,000	2011/09/05	2012/03/05	28.00
14336	Citigroup Global Mkt H Inc. – Evergrande Euro Wts Apr 2012	50,000,000	2011/09/05	2012/04/17	15.00
14332	Citigroup Global Mkt H Inc. – HSBC European Put Wts Jul 2012	100,000,000	2011/09/05	2012/07/09	15.00
14334	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2012 A	80,000,000	2011/09/05	2012/03/05	24.00
14331	J P Morgan SP BV – China Life European Warrants Mar 2012 A	288,000,000	2011/09/05	2012/03/05	72.00
14330	Macquarie Bank Ltd. – CHALCO European Put Warrants Apr 2012	100,000,000	2011/09/05	2012/04/03	25.50
14337	Macquarie Bank Ltd. – CNOOC European Warrants Oct 2012	200,000,000	2011/09/05	2012/10/04	50.00
14328	Macquarie Bank Ltd. – HSCEI European Warrants Mar 2012 A	388,000,000	2011/09/05	2012/03/29	97.00
14329	Macquarie Bank Ltd. – HSCEI European Put Warrants Mar 2012	388,000,000	2011/09/05	2012/03/29	97.00
14326	Macquarie Bank Ltd. – HSI European Warrants Mar 2012 B	388,000,000	2011/09/05	2012/03/29	97.39
14327	Macquarie Bank Ltd. – HSI European Warrants Mar 2012 C	388,000,000	2011/09/05	2012/03/29	97.00
14339	Macquarie Bank Ltd. – Hutchison European Put Wts May 2012	200,000,000	2011/09/05	2012/05/03	53.60
14338	Macquarie Bank Ltd. – Ping An European Warrants Apr 2012	100,000,000	2011/09/05	2012/04/03	84.30
14340	Macquarie Bank Ltd. – Ping An European Put Warrants Apr 2012	150,000,000	2011/09/05	2012/04/03	37.50
14323	CC Rabobank B.A. – China Life European Warrants Feb 2012	200,000,000	2011/09/05	2012/02/08	30.00
14319	CC Rabobank B.A. – CNOOC European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/05	15.00
14320	CC Rabobank B.A. – China Shenhua European Warrants Feb 2012	100,000,000	2011/09/05	2012/02/13	25.00
14318	CC Rabobank B.A. – Dongfeng Motor European Warrants Aug 2012	100,000,000	2011/09/05	2012/08/27	25.00
14316	CC Rabobank B.A. – Esprit European Warrants Jun 2012	100,000,000	2011/09/05	2012/06/04	15.00
14324	CC Rabobank B.A. – Evergrande European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/26	52.00
14317	CC Rabobank B.A. – Jiangxi Copper European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/19	25.00
14322	CC Rabobank B.A. – NVC Lighting European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/19	30.00
14315	CC Rabobank B.A. – Poly HK Inv European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/19	50.00
14321	CC Rabobank B.A. – Yanzhou Coal European Warrants Apr 2012	100,000,000	2011/09/05	2012/04/23	25.00
14325	Standard Chartered Bank – SPDR Gold Trust Euro Wts Sep 2012 A	50,000,000	2011/09/05	2012/09/24	32.50
14353	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Mar 2012	68,000,000	2011/09/06	2012/03/08	29.92
14354	Macquarie Bank Ltd. – Evergrande European Warrants Mar 2012	100,000,000	2011/09/06	2012/03/08	38.80
14355	Macquarie Bank Ltd. – Yanzhou Coal European Wts Apr 2012	100,000,000	2011/09/06	2012/04/03	25.00
14356	Macquarie Bank Ltd. – Zijin Mining European Wts Mar 2012	100,000,000	2011/09/06	2012/03/08	30.50
14352	CC Rabobank B.A. – BOCL European Warrants Apr 2012	100,000,000	2011/09/06	2012/04/05	25.00
14349	CC Rabobank B.A. – Chinares Cement European Wts Mar 2012	100,000,000	2011/09/06	2012/03/19	50.00
14346	CC Rabobank B.A. – HSBC European Warrants Apr 2012	200,000,000	2011/09/06	2012/04/23	80.00
14347	CC Rabobank B.A. – Hutchison European Warrants Apr 2012	200,000,000	2011/09/06	2012/04/23	144.00
14348	CC Rabobank B.A. – PetroChina European Warrants Feb 2012	100,000,000	2011/09/06	2012/02/20	65.00

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		發行單位	上市日期	到期日	
14350	CC Rabobank B.A. – Ping An European Warrants Mar 2012	100,000,000	2011/09/06	2012/03/19	45.00
14351	CC Rabobank B.A. – Weichai Power European Wts Jul 2012	100,000,000	2011/09/06	2012/07/03	52.00
14341	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2012 B	250,000,000	2011/09/06	2012/01/18	47.50
14342	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Mar 2012	150,000,000	2011/09/06	2012/03/28	31.50
14344	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 12 D	300,000,000	2011/09/06	2012/02/28	45.00
14345	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 12 E	300,000,000	2011/09/06	2012/02/28	54.00
14343	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Feb 2012	80,000,000	2011/09/06	2012/02/27	17.60
14357	Barclays Bank plc – China Life European Warrants Jun 2012	100,000,000	2011/09/07	2012/06/29	25.20
14367	Credit Suisse AG – HSI European Warrants Jan 2012 A	500,000,000	2011/09/07	2012/01/30	75.00
14368	Credit Suisse AG – HSI European Put Warrants Feb 2012 I	500,000,000	2011/09/07	2012/02/28	75.00
14374	Deutsche Bank AG – Agricultural Bk European Wts Mar 2012	100,000,000	2011/09/07	2012/03/12	46.00
14375	Deutsche Bank AG – Agricultural Bk European Put Wts Jan 2012	100,000,000	2011/09/07	2012/01/20	28.00
14378	Deutsche Bank AG – BOCL European Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	35.00
14379	Deutsche Bank AG – BOCL European Put Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	25.00
14371	Deutsche Bank AG – CC Bank European Warrants Feb 2012	150,000,000	2011/09/07	2012/02/13	64.50
14372	Deutsche Bank AG – CC Bank European Warrants Mar 2012	150,000,000	2011/09/07	2012/03/12	108.00
14373	Deutsche Bank AG – CC Bank European Put Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	36.00
14376	Deutsche Bank AG – ICBC European Warrants Feb 2012	100,000,000	2011/09/07	2012/02/13	36.00
14377	Deutsche Bank AG – ICBC European Put Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	40.00
14370	Bank of East Asia – BYD European Warrants Jul 2012	72,000,000	2011/09/07	2012/07/23	18.00
14363	J P Morgan SP BV – AIA European Warrants Mar 2012	200,000,000	2011/09/07	2012/03/07	50.00
14369	Macquarie Bank Ltd. – R&F Ppt European Warrants Aug 2012	150,000,000	2011/09/07	2012/08/14	23.25
14365	Macquarie Bank Ltd. – Minmetals Res European Wts Mar 2012	50,000,000	2011/09/07	2012/03/09	41.65
14366	Macquarie Bank Ltd. – Minmetals Res European Wts Mar 2012 A	50,000,000	2011/09/07	2012/03/09	28.90
14360	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Mar 2012	80,000,000	2011/09/07	2012/03/12	28.40
14358	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 C	80,000,000	2011/09/07	2012/02/27	20.80
14359	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 D	80,000,000	2011/09/07	2012/02/02	12.48
14364	Standard Chartered Bank – ICBC European Warrants May 2012	100,000,000	2011/09/07	2012/05/04	40.00
14361	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 2012	100,000,000	2011/09/07	2012/03/12	26.00
14362	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 2015	600,000,000	2011/09/07	2015/06/22	96.00
14399	Credit Suisse AG – China Unicom European Put Wts May 2012	200,000,000	2011/09/08	2012/05/07	50.00
14400	Credit Suisse AG – Ch Comm Cons European Warrants Apr 2013	200,000,000	2011/09/08	2013/04/16	30.00
14401	Credit Suisse AG – China Coal European Warrants Feb 2012	200,000,000	2011/09/08	2012/02/27	30.00
14396	Citigroup Global Mkt H Inc. – AIA European Put Wts Apr 2012	80,000,000	2011/09/08	2012/04/30	20.00
14395	Citigroup Global Mkt H Inc. – Ch Mobile Eur Put Wts Apr 2012	50,000,000	2011/09/08	2012/04/17	20.00
14393	Citigroup Global Mkt H Inc. – Ch Overseas Euro Put Wt Apr 12	80,000,000	2011/09/08	2012/04/30	12.00
14394	Citigroup Global Mkt H Inc. – CC Bank Euro Put Wts Apr 2012	50,000,000	2011/09/08	2012/04/17	20.00
14392	Citigroup Global Mkt H Inc. – HKEx European Put Wts Jun 2012	80,000,000	2011/09/08	2012/06/11	12.00
14389	J P Morgan SP BV – Sinopec Corp European Warrants Feb 2012	150,000,000	2011/09/08	2012/02/01	52.50
14391	J P Morgan SP BV – HSI European Put Warrants Feb 2012 B	200,000,000	2011/09/08	2012/02/28	37.60
14390	J P Morgan SP BV – Yurun Food European Warrants Mar 2012	200,000,000	2011/09/08	2012/03/08	50.00
14386	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Feb 12 B	80,000,000	2011/09/08	2012/02/02	20.00
14387	Merrill Lynch Int'l & Co. C.V. – BOCL Euro Put Wts Feb 12 E	80,000,000	2011/09/08	2012/02/23	18.56
14384	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Feb 2012	80,000,000	2011/09/08	2012/02/02	13.12

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		發行單位	上市日期	到期日	
14385	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Feb 12A	80,000,000	2011/09/08	2012/02/27	32.80
14383	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2012	80,000,000	2011/09/08	2012/03/27	13.28
14388	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jun 2012	80,000,000	2011/09/08	2012/06/11	12.16
14382	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Feb 12 B	80,000,000	2011/09/08	2012/02/27	21.60
14411	Nomura Int'l plc – Anhui Conch European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	28.10
14421	Nomura Int'l plc – BOCL European Warrants Jan 2012 B	100,000,000	2011/09/08	2012/01/30	15.80
14412	Nomura Int'l plc – CC Bank European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	34.00
14413	Nomura Int'l plc – CITIC Bank European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	25.00
14406	Nomura Int'l plc – CITIC P European Warrants Jan 2012	100,000,000	2011/09/08	2012/01/26	15.00
14402	Nomura Int'l plc – Cheung Kong European Warrants Dec 2012 A	100,000,000	2011/09/08	2012/12/10	15.00
14409	Nomura Int'l plc – Sinopec European Warrants Dec 2014	100,000,000	2011/09/08	2014/12/31	25.00
14418	Nomura Int'l plc – CPIC European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/30	25.00
14419	Nomura Int'l plc – Shineway Pharm European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/30	25.20
14416	Nomura Int'l plc – CSR European Warrants Mar 2012 A	100,000,000	2011/09/08	2012/03/08	47.60
14414	Nomura Int'l plc – Zoomlion European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	25.10
14403	Nomura Int'l plc – HSBC European Warrants Dec 2011 A	100,000,000	2011/09/08	2011/12/30	15.20
14404	Nomura Int'l plc – Hutchison European Warrants Mar 2012 A	100,000,000	2011/09/08	2012/03/30	42.00
14415	Nomura Int'l plc – ICBC European Warrants Feb 2012	100,000,000	2011/09/08	2012/02/09	15.70
14408	Nomura Int'l plc – Jiangxi Copper European Put Warrants Mar 12	100,000,000	2011/09/08	2012/03/30	25.00
14417	Nomura Int'l plc – Ping An European Warrants Dec 2012	100,000,000	2011/09/08	2012/12/11	15.00
14407	Nomura Int'l plc – Sh Petrochem European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/14	25.00
14405	Nomura Int'l plc – SHK P European Warrants Jan 2013	100,000,000	2011/09/08	2013/01/28	17.50
14410	Nomura Int'l plc – Xinyi Glass European Warrants Mar 2012 A	100,000,000	2011/09/08	2012/03/08	40.80
14420	Nomura Int'l plc – Zijin Mining European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/30	25.00
14397	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 D	150,000,000	2011/09/08	2012/03/05	60.00
14398	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 12A	300,000,000	2011/09/08	2012/02/29	45.00
14423	BOCI Asia Ltd. – Belle Int'l European Warrants Jun 2012	100,000,000	2011/09/09	2012/06/18	25.00
14424	BOCI Asia Ltd. – China Life European Warrants Jun 2012	100,000,000	2011/09/09	2012/06/04	25.00
14435	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Feb 12	100,000,000	2011/09/09	2012/02/02	26.00
14430	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Sep 2012	33,000,000	2011/09/09	2012/09/04	12.87
14434	BNP Paribas Arbit Issu B.V. – CRCC European Wts Jul 2012	22,000,000	2011/09/09	2012/07/03	16.06
14433	BNP Paribas Arbit Issu B.V. – China Taiping Euro Wt Sep 2012	33,000,000	2011/09/09	2012/09/04	10.23
14431	BNP Paribas Arbit Issu B.V. – Gome European Wts Jul 2012	100,000,000	2011/09/09	2012/07/03	60.00
14436	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jan 2012	200,000,000	2011/09/09	2012/01/30	50.00
14432	BNP Paribas Arbit Issu B.V. – Sany Int'l Euro Wts Sep 2013	100,000,000	2011/09/09	2013/09/03	25.00
14429	BNP Paribas Arbit Issu B.V. – Sh Petrochem Euro Wts Aug 2012	23,000,000	2011/09/09	2012/08/02	11.50
14425	Macquarie Bank Ltd. – BBMG European Warrants Mar 2012	88,000,000	2011/09/09	2012/03/09	50.16
14426	Macquarie Bank Ltd. – CNBM European Warrants Jun 2012	200,000,000	2011/09/09	2012/06/18	50.00
14427	Macquarie Bank Ltd. – Esprit European Warrants Jun 2012	150,000,000	2011/09/09	2012/06/04	23.40
14422	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt May 2012	200,000,000	2011/09/09	2012/05/30	30.00
12496 #	Citigroup Global Mkt H Inc. – Galaxy Ent European Wts Dec 11A	96,160,000	2011/09/09	2011/12/12	10.00
14453	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2012 A	200,000,000	2011/09/12	2012/08/02	66.00
14452	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Oct 2012 A	100,000,000	2011/09/12	2012/10/03	25.00
14451	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2012	100,000,000	2011/09/12	2012/07/03	87.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14443	J P Morgan SP BV – China Life European Warrants Mar 2012 B	200,000,000	2011/09/12	2012/03/12	50.00
14445	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2012 A	158,000,000	2011/09/12	2012/03/12	39.50
14446	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt May 2012	80,000,000	2011/09/12	2012/05/02	20.00
14447	KBC Fin Products Int'l Ltd. – CNOOC European Wts Mar 2012 A	108,000,000	2011/09/12	2012/03/15	29.16
14449	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2012 A	80,000,000	2011/09/12	2012/06/18	20.00
14448	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2012	108,000,000	2011/09/12	2012/03/12	49.68
14450	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Mar 2012	80,000,000	2011/09/12	2012/03/12	24.80
14444	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Sep 2012	108,000,000	2011/09/12	2012/09/04	16.20
14439	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Mar 2012	100,000,000	2011/09/12	2012/03/12	33.70
14442	Standard Chartered Bank – A50 China Euro Warrants Oct 2013	200,000,000	2011/09/12	2013/10/28	30.00
14441	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2012 C	100,000,000	2011/09/12	2012/02/29	40.00
14440	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2012	200,000,000	2011/09/12	2012/04/30	50.00
14437	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2012	300,000,000	2011/09/12	2012/03/12	75.00
14438	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2012	200,000,000	2011/09/12	2012/03/12	52.00
14495	Credit Suisse AG – BOCL European Warrants Feb 2012	300,000,000	2011/09/14	2012/02/06	45.00
14494	Credit Suisse AG – CC Bank European Warrants Feb 2012	300,000,000	2011/09/14	2012/02/13	45.00
14492	Credit Suisse AG – HSBC European Wts Feb 2012	300,000,000	2011/09/14	2012/02/13	45.00
14493	Credit Suisse AG – Hutchison European Warrants Feb 2012	300,000,000	2011/09/14	2012/02/13	45.00
14498	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Apr 2012	80,000,000	2011/09/14	2012/04/17	20.00
14499	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Apr 2012	80,000,000	2011/09/14	2012/04/27	12.00
14497	Citigroup Global Mkt H Inc. – CNOOC European Wts Apr 2012 A	80,000,000	2011/09/14	2012/04/30	12.00
14500	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wts Apr 2012	50,000,000	2011/09/14	2012/04/30	15.00
14496	Citigroup Global Mkt H Inc. – Tencent European Wts Apr 2012	80,000,000	2011/09/14	2012/04/17	20.00
10135	Deutsche Bank AG – USD/YEN European Warrants Mar 2012	50,000,000	2011/09/14	2012/03/19	12.50
10134	Deutsche Bank AG – USD/YEN European Put Warrants Mar 2012	50,000,000	2011/09/14	2012/03/19	12.50
14469	HK Bank – AIA European Warrants Apr 2012	160,000,000	2011/09/14	2012/04/18	43.20
14468	HK Bank – CC Bank European Warrants Jun 2012	120,000,000	2011/09/14	2012/06/13	45.60
14470	HK Bank – China Life European Warrants Feb 2012	160,000,000	2011/09/14	2012/02/23	25.60
14471	HK Bank – China Life European Warrants Aug 2012	160,000,000	2011/09/14	2012/08/01	24.00
14467	HK Bank – CNOOC European Warrants Apr 2012 A	100,000,000	2011/09/14	2012/04/30	17.00
14465	Macquarie Bank Ltd. – Anhui Conch European Warrants Mar 2012	200,000,000	2011/09/14	2012/03/13	66.40
14464	Macquarie Bank Ltd. – AIA European Warrants Apr 2012 A	200,000,000	2011/09/14	2012/04/03	53.60
14489	Macquarie Bank Ltd. – A50 China European Warrants Apr 2012 A	200,000,000	2011/09/14	2012/04/03	51.00
14466	Macquarie Bank Ltd. – Jiangxi Copper European Wts Apr 2012 A	200,000,000	2011/09/14	2012/04/03	50.00
14491	Macquarie Bank Ltd. – Maanshan Iron European Wts Mar 2012	88,000,000	2011/09/14	2012/03/13	22.00
14490	Macquarie Bank Ltd. – Wynn Macau European Warrants May 2012	200,000,000	2011/09/14	2012/05/03	62.20
14462	Merrill Lynch Int'l & Co. C.V. – BankComm Euro Wts Feb 2012 A	80,000,000	2011/09/14	2012/02/17	15.84
14461	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jun 2012	80,000,000	2011/09/14	2012/06/25	20.00
14463	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2012	80,000,000	2011/09/14	2012/12/17	20.40
14459	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Apr 2012	80,000,000	2011/09/14	2012/04/17	39.20
14457	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012	200,000,000	2011/09/14	2012/04/27	30.20
14455	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2012 B	200,000,000	2011/09/14	2012/02/28	42.80
14454	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 C	200,000,000	2011/09/14	2012/03/29	50.00
14456	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 D	200,000,000	2011/09/14	2012/03/29	30.40

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14458	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 E	200,000,000	2011/09/14	2012/03/29	39.40
14460	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2012 B	80,000,000	2011/09/14	2012/03/26	46.40
14472	Standard Chartered Bank – Agricultural Bk Euro Wts May 2012	100,000,000	2011/09/14	2012/05/30	35.00
14473	Standard Chartered Bank – Agricultural Bk Euro Wts Jul 2015	500,000,000	2011/09/14	2015/07/08	75.00
14474	Standard Chartered Bank – China Life European Wts Jul 2012 A	150,000,000	2011/09/14	2012/07/04	37.50
14475	Standard Chartered Bank – China Life European Wts Oct 2012	150,000,000	2011/09/14	2012/10/30	52.50
14480	SGA Societe Generale Acceptance N.V – Anhui Conch Eu Wt Mar 12	80,000,000	2011/09/14	2012/03/14	25.60
14479	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2012	180,000,000	2011/09/14	2012/03/14	79.20
14484	SGA Societe Generale Acceptance N.V – Ch Overseas Eu Wt Mar 12	100,000,000	2011/09/14	2012/03/14	19.00
14481	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2012 B	120,000,000	2011/09/14	2012/03/14	97.20
14482	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012B	300,000,000	2011/09/14	2012/03/14	87.00
14483	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012C	300,000,000	2011/09/14	2012/03/28	75.00
14476	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Aug 2012	300,000,000	2011/09/14	2012/08/01	48.00
14477	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Mar 2012 A	500,000,000	2011/09/14	2012/03/12	75.00
14478	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2012 D	500,000,000	2011/09/14	2012/06/13	125.00
14485	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2012 A	100,000,000	2011/09/14	2012/03/28	66.00
14486	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2012 A	120,000,000	2011/09/14	2012/02/29	66.00
14487	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Mar 12A	100,000,000	2011/09/14	2012/03/21	48.00
14488	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Mar 12	100,000,000	2011/09/14	2012/03/14	25.00
14508	HK Bank – CHALCO European Warrants May 2012	80,000,000	2011/09/15	2012/05/18	28.00
14507	HK Bank – BYD European Warrants Sep 2012	80,000,000	2011/09/15	2012/09/27	20.00
14510	HK Bank – CNBM European Warrants Nov 2012	80,000,000	2011/09/15	2012/11/16	20.00
14509	HK Bank – A50 China European Warrants Mar 2012	120,000,000	2011/09/15	2012/03/26	33.60
14513	HK Bank – A50 China European Warrants May 2012	120,000,000	2011/09/15	2012/05/10	30.00
14505	HK Bank – HKEx European Warrants Jul 2012	160,000,000	2011/09/15	2012/07/23	24.00
14511	HK Bank – HSI European Warrants Apr 2012	300,000,000	2011/09/15	2012/04/27	45.00
14512	HK Bank – HSI European Warrants Dec 2012 A	300,000,000	2011/09/15	2012/12/28	75.00
14503	HK Bank – HWL European Warrants Mar 2012	160,000,000	2011/09/15	2012/03/15	73.60
14504	HK Bank – HWL European Warrants May 2012	160,000,000	2011/09/15	2012/05/15	49.60
14506	HK Bank – Yanzhou Coal European Warrants May 2012	100,000,000	2011/09/15	2012/05/25	25.00
14502	Macquarie Bank Ltd. – China Mobile European Wts Feb 2012 B	200,000,000	2011/09/15	2012/02/07	30.20
14514	Macquarie Bank Ltd. – Lee & Man Paper European Wts Mar 2012	120,000,000	2011/09/15	2012/03/14	30.00
14501	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 E	100,000,000	2011/09/15	2012/03/19	82.00
14528	Credit Suisse AG – AIA European Warrants Feb 2012 A	150,000,000	2011/09/16	2012/02/20	22.50
14520	HK Bank – Agricultural Bank European Warrants Apr 2012	120,000,000	2011/09/16	2012/04/16	30.00
14523	HK Bank – BOCL European Warrants May 2012	120,000,000	2011/09/16	2012/05/30	30.00
14522	HK Bank – GCL-Poly Energy European Warrants May 2012	80,000,000	2011/09/16	2012/05/11	20.00
14521	HK Bank – ICBC European Warrants Apr 2012	120,000,000	2011/09/16	2012/04/27	30.00
14527	Macquarie Bank Ltd. – Want Want China European Wts Oct 2013	120,000,000	2011/09/16	2013/10/29	19.08
14517	CC Rabobank B.A. – Agricultural Bk Euro Warrants Apr 2012	100,000,000	2011/09/16	2012/04/16	40.00
14516	CC Rabobank B.A. – ChiUnicom European Warrants Jul 2012	100,000,000	2011/09/16	2012/07/16	25.00
14519	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2012 A	200,000,000	2011/09/16	2012/01/30	50.00
14518	CC Rabobank B.A. – CNBM European Warrants Sep 2012	100,000,000	2011/09/16	2012/09/19	25.00
14515	CC Rabobank B.A. – Luk Fook Hold European Warrants Apr 2012	100,000,000	2011/09/16	2012/04/17	45.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14526	Standard Chartered Bank – BOCL European Put Wts Jun 2012	100,000,000	2011/09/16	2012/06/18	40.00
14525	Standard Chartered Bank – CC Bank European Put Wts Jul 2012	100,000,000	2011/09/16	2012/07/04	40.00
14524	Standard Chartered Bank – Hutchison Euro Euro Wts Nov 2013	100,000,000	2011/09/16	2013/11/01	25.00
14535	Bank of East Asia – ChiUnicom European Put Warrants Nov 2012	105,000,000	2011/09/19	2012/11/23	26.25
14534	Bank of East Asia – JIANC European Put Warrants Jul 2012	90,000,000	2011/09/19	2012/07/23	22.50
14540	J P Morgan SP BV – BOCL European Warrants Mar 2012	200,000,000	2011/09/19	2012/03/19	50.00
14537	J P Morgan SP BV – CC Bank Euro Put Wts Jul 2014	500,000,000	2011/09/19	2014/07/02	125.00
14539	J P Morgan SP BV – China Life European Put Warrants Mar 2012	200,000,000	2011/09/19	2012/03/19	50.00
14538	J P Morgan SP BV – MTRC European Warrants Jan 2013	100,000,000	2011/09/19	2013/01/04	25.00
14536	Macquarie Bank Ltd. – Yurun Food European Warrants Jun 2012	150,000,000	2011/09/19	2012/06/18	37.50
14531	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Feb 2012 A	80,000,000	2011/09/19	2012/02/13	15.92
14529	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2012 A	80,000,000	2011/09/19	2012/04/10	35.60
14530	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 2012	80,000,000	2011/09/19	2012/04/03	56.00
14532	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Aug 2012	200,000,000	2011/09/19	2012/08/01	30.00
14533	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2012	150,000,000	2011/09/19	2012/04/02	37.50
14543	J P Morgan SP BV – Ch Overseas European Warrants Apr 2012	150,000,000	2011/09/20	2012/04/24	24.75
14542	J P Morgan SP BV – China Unicom European Warrants Jul 2012	200,000,000	2011/09/20	2012/07/25	33.20
14544	J P Morgan SP BV – Cathay Pacific European Warrants Dec 2013	100,000,000	2011/09/20	2013/12/30	25.00
14548	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 2012	108,000,000	2011/09/20	2012/03/20	28.08
14549	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr 2012	108,000,000	2011/09/20	2012/04/02	54.00
14550	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Feb 2012	108,000,000	2011/09/20	2012/02/10	18.90
14551	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Apr 2012	108,000,000	2011/09/20	2012/04/02	28.08
14545	Macquarie Bank Ltd. – Hang Seng Bank European Wts Sep 2013	200,000,000	2011/09/20	2013/09/19	50.20
14546	Macquarie Bank Ltd. – HSBC European Warrants Apr 2012	200,000,000	2011/09/20	2012/04/03	74.40
14541	Standard Chartered Bank – China Mobile Euro Wts Mar 2012	100,000,000	2011/09/20	2012/03/02	23.00
14547	Standard Chartered Bank – HSBC European Warrants Oct 2015	500,000,000	2011/09/20	2015/10/12	75.00
14573	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jul 12	100,000,000	2011/09/21	2012/07/04	34.00
14577	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2012	200,000,000	2011/09/21	2012/10/04	88.00
14579	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jul 2012	200,000,000	2011/09/21	2012/07/04	106.00
14576	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2012	200,000,000	2011/09/21	2012/08/02	54.00
14580	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2012	200,000,000	2011/09/21	2012/10/04	86.00
14562	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2012 A	200,000,000	2011/09/21	2012/06/04	132.00
14578	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jun 2012 A	200,000,000	2011/09/21	2012/06/04	98.00
14572	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jul 2012 A	100,000,000	2011/09/21	2012/07/04	71.00
14574	BNP Paribas Arbit Issu B.V. – Poly HK Inv Euro Wts Jul 2012	32,000,000	2011/09/21	2012/07/03	21.76
14575	BNP Paribas Arbit Issu B.V. – Wharf European Wts Jul 2012	69,000,000	2011/09/21	2012/07/03	26.22
14571	Credit Suisse AG – AIA European Warrants Apr 2012	300,000,000	2011/09/21	2012/04/16	45.00
14565	Credit Suisse AG – Cheung Kong European Warrants Jan 2012	150,000,000	2011/09/21	2012/01/18	22.50
14568	Credit Suisse AG – HKEx European Warrants Jun 2012	300,000,000	2011/09/21	2012/06/18	45.00
14566	Credit Suisse AG – HSBC European Wts Oct 2015	300,000,000	2011/09/21	2015/10/05	45.00
14563	Credit Suisse AG – HSI European Warrants Feb 2012 J	500,000,000	2011/09/21	2012/02/28	75.00
14564	Credit Suisse AG – HSI European Warrants Feb 2012 K	500,000,000	2011/09/21	2012/02/28	75.00
14567	Credit Suisse AG – SHK Ppt European Warrants Mar 2012	150,000,000	2011/09/21	2012/03/26	37.50
14569	Credit Suisse AG – Tencent Holdings Euro Put Wts May 2012	300,000,000	2011/09/21	2012/05/14	45.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14570	Credit Suisse AG – Yanzhou Coal European Warrants Feb 2012 A	150,000,000	2011/09/21	2012/02/27	22.50
14561	HK Bank – HSI European Put Warrants Mar 2012 D	200,000,000	2011/09/21	2012/03/29	38.00
14557	Macquarie Bank Ltd. – AIA European Warrants Apr 2012 B	200,000,000	2011/09/21	2012/04/03	51.40
14560	Macquarie Bank Ltd. – Hutchison European Warrants Apr 2012	150,000,000	2011/09/21	2012/04/03	82.20
14558	Macquarie Bank Ltd. – Sino Land European Warrants Aug 2012	180,000,000	2011/09/21	2012/08/29	31.68
14559	Macquarie Bank Ltd. – Swire Pacific A European Wts Apr 2012	180,000,000	2011/09/21	2012/04/03	70.20
14553	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Mar 2012	80,000,000	2011/09/21	2012/03/26	21.60
14554	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2012 A	80,000,000	2011/09/21	2012/04/17	20.00
14552	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2012 B	80,000,000	2011/09/21	2012/04/18	40.00
14555	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 F	200,000,000	2011/09/21	2012/03/29	56.00
14556	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 G	200,000,000	2011/09/21	2012/03/29	50.00
14596	Barclays Bank plc – HSBC European Warrants Apr 2012	150,000,000	2011/09/22	2012/04/30	37.50
14595	Barclays Bank plc – HSI European Put Warrants Mar 2012 A	150,000,000	2011/09/22	2012/03/29	22.65
10136	BNP Paribas Arbit Issu B.V. – Gold European Wts Mar 2012	200,000,000	2011/09/22	2012/03/29	50.00
10137	BNP Paribas Arbit Issu B.V. – Gold European Wts Dec 2012	200,000,000	2011/09/22	2012/12/06	50.00
10138	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Mar 2012	200,000,000	2011/09/22	2012/03/29	50.00
10139	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 2012	200,000,000	2011/09/22	2012/12/06	50.00
10140	Deutsche Bank AG – EUR/USD European Put Warrants Mar 2012	50,000,000	2011/09/22	2012/03/26	15.00
14598	J P Morgan SP BV – CM Bank European Warrants Dec 2012	200,000,000	2011/09/22	2012/12/03	50.00
14592	J P Morgan SP BV – Minsheng Bank European Warrants Mar 2012	80,000,000	2011/09/22	2012/03/22	35.28
14591	J P Morgan SP BV – Ping An European Warrants Mar 2012 C	100,000,000	2011/09/22	2012/03/22	48.20
14593	J P Morgan SP BV – SJM Holdings European Warrants Feb 2012	200,000,000	2011/09/22	2012/02/24	30.60
14597	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Mar 2012	108,000,000	2011/09/22	2012/03/22	63.72
14594	Macquarie Bank Ltd. – CITIC Bank European Warrants Mar 2012	130,000,000	2011/09/22	2012/03/22	32.50
14583	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2012 B	80,000,000	2011/09/22	2012/02/15	13.68
14585	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2013	80,000,000	2011/09/22	2013/03/25	20.00
14582	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2012 A	80,000,000	2011/09/22	2012/02/15	15.52
14581	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2012 C	80,000,000	2011/09/22	2012/03/28	40.80
14584	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 2012 A	80,000,000	2011/09/22	2012/04/30	26.00
14586	CC Rabobank B.A. – AIA European Warrants Jun 2012	200,000,000	2011/09/22	2012/06/18	50.00
14588	CC Rabobank B.A. – AIA European Put Warrants Apr 2012	200,000,000	2011/09/22	2012/04/16	50.00
14589	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2012	200,000,000	2011/09/22	2012/07/23	50.00
14587	CC Rabobank B.A. – Tencent Holdings European Put Wt Jun 2012	200,000,000	2011/09/22	2012/06/18	60.00
14590	Standard Chartered Bank – China Mobile Euro Put Wts Mar 2012	100,000,000	2011/09/22	2012/03/01	40.00
14614	BNP Paribas Arbit Issu B.V. – Chi Res Land Euro Wts Feb 2013	100,000,000	2011/09/23	2013/02/04	25.00
14615	BNP Paribas Arbit Issu B.V. – CSR Times European Wts Jul 2012	72,000,000	2011/09/23	2012/07/04	21.60
14613	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Jul 2012	100,000,000	2011/09/23	2012/07/04	27.00
14612	Credit Suisse AG – Cheung Kong European Warrants Sep 2012	200,000,000	2011/09/23	2012/09/24	30.00
14601	HK Bank – Esprit European Warrants Jun 2012	80,000,000	2011/09/23	2012/06/20	20.00
14602	HK Bank – Esprit European Warrants Feb 2013	80,000,000	2011/09/23	2013/02/27	20.00
14603	HK Bank – Tencent European Warrants Apr 2012	150,000,000	2011/09/23	2012/04/10	27.00
14616	J P Morgan SP BV – Esprit European Wts Jun 2012 A	100,000,000	2011/09/23	2012/06/28	25.00
14604	Macquarie Bank Ltd. – Esprit European Warrants Apr 2012	200,000,000	2011/09/23	2012/04/03	50.00
14600	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 F	80,000,000	2011/09/23	2012/02/14	17.60

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14599	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Mar 2012 A	80,000,000	2011/09/23	2012/03/05	32.40
14606	Standard Chartered Bank – Agricultural Bk Euro Wts Mar 2012	100,000,000	2011/09/23	2012/03/07	20.50
14607	Standard Chartered Bank – AIA European Warrants Apr 2012	100,000,000	2011/09/23	2012/04/30	30.00
14610	Standard Chartered Bank – BOCL European Warrants Feb 2012 A	100,000,000	2011/09/23	2012/02/13	24.00
14608	Standard Chartered Bank-China Life European Wts Feb 2012 B	100,000,000	2011/09/23	2012/02/23	21.60
14609	Standard Chartered Bank-China Life European Wts Apr 2012 A	150,000,000	2011/09/23	2012/04/27	52.50
14605	Standard Chartered Bank – HSI European Warrants Mar 2012	200,000,000	2011/09/23	2012/03/29	50.00
14611	Standard Chartered Bank – HSI European Put Warrants Mar 2012	200,000,000	2011/09/23	2012/03/29	50.00
14629	Credit Suisse AG – Hutchison European Warrants Mar 2012 C	300,000,000	2011/09/26	2012/03/12	120.00
14628	J P Morgan SP BV – Zoomlion European Warrants Jan 2013	200,000,000	2011/09/26	2013/01/31	50.00
14631	Macquarie Bank Ltd. – CNBM European Warrants Sep 2012	180,000,000	2011/09/26	2012/09/13	27.00
14620	Macquarie Bank Ltd. – Esprit European Warrants Apr 2012 A	180,000,000	2011/09/26	2012/04/03	45.00
14617	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Mar 2012	80,000,000	2011/09/26	2012/03/27	24.40
14618	Merrill Lynch Int'l & Co. C.V. – BOCL Euro Put Wts Mar 2012	80,000,000	2011/09/26	2012/03/27	20.00
14619	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wts Mar 2012	80,000,000	2011/09/26	2012/03/05	33.20
14621	Standard Chartered Bank – Sinopec Corp European Wts Feb 2012	100,000,000	2011/09/26	2012/02/01	29.00
14622	Standard Chartered Bank – Tencent Hldg European Wts Mar 2012	100,000,000	2011/09/26	2012/03/01	15.00
14623	Standard Chartered Bank – YZ Coal European Warrants Mar 2012 A	100,000,000	2011/09/26	2012/03/30	20.70
14624	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2012 A	200,000,000	2011/09/26	2012/03/28	50.00
14625	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Feb 2012	200,000,000	2011/09/26	2012/02/13	36.00
14626	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Apr 2012	200,000,000	2011/09/26	2012/04/25	50.00
14627	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Feb 2012	80,000,000	2011/09/26	2012/02/06	16.00
14642	Credit Suisse – SPDR Gold Trust European Warrants Feb 2012 A	80,000,000	2011/09/27	2012/02/13	40.00
14639	Citigroup Global Mkt H Inc. – AIA European Warrants Apr 2012	80,000,000	2011/09/27	2012/04/17	20.00
14638	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Jul 2012	80,000,000	2011/09/27	2012/07/16	12.00
14641	Citigroup Global Mkt H Inc. – China Unicom Eu Put Wt Apr 2012	80,000,000	2011/09/27	2012/04/27	12.00
14640	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Jun 2012	50,000,000	2011/09/27	2012/06/11	15.00
14637	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Apr 2012	80,000,000	2011/09/27	2012/04/17	24.00
14646	Bank of East Asia – CHALCO European Put Warrants Oct 2013	280,000,000	2011/09/27	2013/10/23	70.00
14645	Bank of East Asia – JIANC European Warrants Sep 2012	80,000,000	2011/09/27	2012/09/24	20.00
14633	J P Morgan SP BV – ICBC European Warrants Mar 2012 B	150,000,000	2011/09/27	2012/03/26	62.25
14634	Macquarie Bank Ltd. – HSI European Put Warrants Sep 2012	200,000,000	2011/09/27	2012/09/27	50.00
14635	Macquarie Bank Ltd. – Luk Fook Hold European Wts Mar 2012	150,000,000	2011/09/27	2012/03/27	55.65
14636	Standard Chartered Bank – Cheung Kong European Wts Feb 2013	100,000,000	2011/09/27	2013/02/27	15.00
14644	Standard Chartered Bank – HKEx European Warrants Mar 2012 A	200,000,000	2011/09/27	2012/03/12	30.00
14643	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Feb 2012	150,000,000	2011/09/27	2012/02/27	22.50
14632	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2012 A	200,000,000	2011/09/27	2012/02/15	64.00
14662	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Mar 2012	100,000,000	2011/09/28	2012/03/02	24.00
14653	Bank of East Asia – Esprit European Warrants Nov 2012	135,000,000	2011/09/28	2012/11/23	33.75
14656	J P Morgan SP BV – Anhui Conch European Warrants May 2012	200,000,000	2011/09/28	2012/05/28	50.00
14655	J P Morgan SP BV – PICC European Warrants Apr 2012	200,000,000	2011/09/28	2012/04/16	33.60
14647	CC Rabobank B.A. – Cheung Kong European Warrants Feb 2013	200,000,000	2011/09/28	2013/02/25	30.00
14648	CC Rabobank B.A. – Minsheng Bank European Wts Mar 2012	100,000,000	2011/09/28	2012/03/27	35.00
14651	CC Rabobank B.A. – ChiUnicom European Warrants Jul 2012 A	200,000,000	2011/09/28	2012/07/16	50.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14650	CC Rabobank B.A. – Galaxy Ent European Warrants Apr 2012	100,000,000	2011/09/28	2012/04/16	25.00
14652	CC Rabobank B.A. – Jiangxi Copper European Warrants Jul 2012	200,000,000	2011/09/28	2012/07/16	50.00
14649	CC Rabobank B.A. – Zijin Mining European Warrants Jun 2012	100,000,000	2011/09/28	2012/06/18	40.00
14659	Standard Chartered Bank – Anhui Conch European Wts Aug 2012	100,000,000	2011/09/28	2012/08/02	40.00
14660	Standard Chartered Bank – Anhui Conch European Wts Dec 2012	100,000,000	2011/09/28	2012/12/03	40.00
14657	Standard Chartered Bank – China Unicom European Wts May 2012	100,000,000	2011/09/28	2012/05/02	25.00
14658	Standard Chartered Bank – China Unicom European Wts Aug 2012	100,000,000	2011/09/28	2012/08/01	25.00
14661	Standard Chartered Bank – CNBM European Warrants Dec 2012	100,000,000	2011/09/28	2012/12/03	25.00
14663	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012 D	300,000,000	2011/09/28	2012/03/07	51.00
14664	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012 E	300,000,000	2011/09/28	2012/03/28	75.00
14654	SGA Societe Generale Acceptance N.V. -CPIC Euro Wts Mar 2012	100,000,000	2011/09/28	2012/03/28	30.00
14665	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2015	300,000,000	2011/09/28	2015/10/07	48.00
14666	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Apr 2012	100,000,000	2011/09/28	2012/04/02	25.00
14748	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jan 2013	100,000,000	2011/09/29	2013/01/03	15.00
14749	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Aug 2012 A	100,000,000	2011/09/29	2012/08/02	15.00
14750	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Warrants Feb 2013	100,000,000	2011/09/29	2013/02/04	15.00
14694	Credit Suisse AG – HSI European Put Warrants Mar 2012	500,000,000	2011/09/29	2012/03/29	125.00
14692	Credit Suisse AG – HSI European Warrants Feb 2012 L	500,000,000	2011/09/29	2012/02/28	125.00
14693	Credit Suisse AG – Ping An European Warrants Dec 2012 B	300,000,000	2011/09/29	2012/12/17	45.00
14695	Credit Suisse AG – Ping An European Put Warrants Apr 2012	300,000,000	2011/09/29	2012/04/02	105.00
14747	J P Morgan SP BV – AIA European Warrants Mar 2012 A	200,000,000	2011/09/29	2012/03/29	50.00
14719	J P Morgan SP BV – BOCL European Warrants Apr 2012	200,000,000	2011/09/29	2012/04/02	50.00
14718	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2012	200,000,000	2011/09/29	2012/04/02	80.00
14746	J P Morgan SP BV – CNOOC European Warrants Mar 2012 A	200,000,000	2011/09/29	2012/03/08	30.00
14716	J P Morgan SP BV – A50 China European Warrants Aug 2012	200,000,000	2011/09/29	2012/08/01	30.00
14745	J P Morgan SP BV – HKEx European Warrants Jun 2012	200,000,000	2011/09/29	2012/06/06	30.00
14744	J P Morgan SP BV – HSBC European Warrants Oct 2015	300,000,000	2011/09/29	2015/10/05	45.00
14743	J P Morgan SP BV – HSBC European Warrants Apr 2012 A	200,000,000	2011/09/29	2012/04/02	70.00
14717	J P Morgan SP BV – ICBC European Warrants Mar 2012 C	150,000,000	2011/09/29	2012/03/29	41.25
14668	J P Morgan SP BV – Luk Fook Hold European Warrants Mar 2012	80,000,000	2011/09/29	2012/03/29	24.72
14737	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.00
14738	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Mar 2012 C	100,000,000	2011/09/29	2012/03/29	25.00
14736	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2012	108,000,000	2011/09/29	2012/04/02	35.64
14720	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Mar 2012	200,000,000	2011/09/29	2012/03/14	37.60
14680	Macquarie Bank Ltd. – China Overseas European Wts Nov 2012	200,000,000	2011/09/29	2012/11/02	50.00
14677	Macquarie Bank Ltd. – CM Bank European Warrants Nov 2012	200,000,000	2011/09/29	2012/11/02	50.00
14674	Macquarie Bank Ltd. – GCL-Poly Energy European Wts May 2012	150,000,000	2011/09/29	2012/05/03	39.90
14676	Macquarie Bank Ltd. – Galaxy Ent European Warrants Jun 2012	200,000,000	2011/09/29	2012/06/04	50.00
14678	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jul 2012	200,000,000	2011/09/29	2012/07/03	51.00
14679	Macquarie Bank Ltd. – Tencent European Warrants Jun 2012	250,000,000	2011/09/29	2012/06/04	62.50
14691	Macquarie Bank Ltd. – Tencent European Put Warrants Oct 2012	250,000,000	2011/09/29	2012/10/04	62.50
14707	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2012 B	100,000,000	2011/09/29	2012/03/29	29.00
14696	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.50
14708	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Mar 2012	100,000,000	2011/09/29	2012/03/29	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14697	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Jul 2012	100,000,000	2011/09/29	2012/07/30	25.00
14699	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2012	100,000,000	2011/09/29	2012/04/27	25.00
14701	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.00
14704	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012	100,000,000	2011/09/29	2012/09/27	30.00
14712	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.00
14714	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012	100,000,000	2011/09/29	2012/09/27	25.50
14698	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 H	100,000,000	2011/09/29	2012/03/29	25.00
14700	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2012 A	100,000,000	2011/09/29	2012/04/27	25.00
14702	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 A	100,000,000	2011/09/29	2012/06/28	26.00
14703	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 B	100,000,000	2011/09/29	2012/06/28	25.00
14705	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012 A	100,000,000	2011/09/29	2012/09/27	25.00
14706	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012 B	100,000,000	2011/09/29	2012/09/27	26.50
14709	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012 B	100,000,000	2011/09/29	2012/04/27	25.00
14710	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012 C	100,000,000	2011/09/29	2012/04/27	25.00
14711	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012 D	100,000,000	2011/09/29	2012/04/27	26.00
14713	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012 C	100,000,000	2011/09/29	2012/06/28	25.00
14715	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 C	100,000,000	2011/09/29	2012/09/27	25.50
14681	CC Rabobank B.A. – Brilliance Chi European Warrants Nov 2012	100,000,000	2011/09/29	2012/11/26	25.00
14684	CC Rabobank B.A. – CNBM European Warrants Dec 2012	100,000,000	2011/09/29	2012/12/17	25.00
14682	CC Rabobank B.A. – CSR European Warrants Apr 2012	100,000,000	2011/09/29	2012/04/16	45.00
14690	CC Rabobank B.A. – Guangdong Inv European Warrants Apr 2012	80,000,000	2011/09/29	2012/04/10	20.00
14683	CC Rabobank B.A. – SPDR Gold Trust European Wts Apr 2012	50,000,000	2011/09/29	2012/04/16	37.50
14689	Standard Chartered Bank – AIA European Warrants Jun 2012	100,000,000	2011/09/29	2012/06/25	17.00
14685	Standard Chartered Bank – CNOOC European Warrants Jun 2012	100,000,000	2011/09/29	2012/06/18	15.00
14688	Standard Chartered Bank – China Shenhua Euro Wts Jun 2012	100,000,000	2011/09/29	2012/06/29	36.00
14687	Standard Chartered Bank – China Shenhua Euro Wts Aug 2012	100,000,000	2011/09/29	2012/08/01	34.50
14686	Standard Chartered Bank – Yurun Food Euro Warrants Jul 2012	100,000,000	2011/09/29	2012/07/30	25.00
14739	SGA Societe Generale Acceptance N.V. – Belle Int'l Eu Wt May 12	150,000,000	2011/09/29	2012/05/09	37.50
14741	SGA Societe Generale Acceptance N.V. – Ch Overseas Eu Wt Jun 12	100,000,000	2011/09/29	2012/06/27	25.00
14740	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Jun 2012	200,000,000	2011/09/29	2012/06/13	50.00
14669	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2012 A	100,000,000	2011/09/29	2012/04/02	60.00
14670	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Apr 12	100,000,000	2011/09/29	2012/04/11	16.00
14671	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2012	100,000,000	2011/09/29	2012/04/02	69.00
14742	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul 12	120,000,000	2011/09/29	2012/07/04	30.00
14732	UBS AG – AIA European Warrants Apr 2012	200,000,000	2011/09/29	2012/04/24	34.00
14735	UBS AG – BOCL European Warrants Mar 2012	100,000,000	2011/09/29	2012/03/19	15.00
14731	UBS AG – China Mobile European Warrants Apr 2012	200,000,000	2011/09/29	2012/04/10	70.00
14730	UBS AG – CC Bank European Warrants Feb 2012 A	200,000,000	2011/09/29	2012/02/27	46.00
14733	UBS AG – China Life European Warrants Feb 2012	300,000,000	2011/09/29	2012/02/27	57.00
14734	UBS AG – China Life European Warrants Mar 2012	300,000,000	2011/09/29	2012/03/27	45.00
14729	UBS AG – CNOOC European Warrants Mar 2012 A	200,000,000	2011/09/29	2012/03/08	30.00
14727	UBS AG – HKEx European Warrants Jun 2012	500,000,000	2011/09/29	2012/06/06	75.00
14723	UBS AG – HSBC European Warrants Feb 2012	300,000,000	2011/09/29	2012/02/13	75.00
14724	UBS AG – HSBC European Warrants Mar 2012	300,000,000	2011/09/29	2012/03/26	129.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14722	UBS AG – HSI European Warrants Mar 2012	300,000,000	2011/09/29	2012/03/29	45.00
14672	UBS AG – HSI European Warrants Feb 2012 A	300,000,000	2011/09/29	2012/02/28	45.00
14673	UBS AG – HSI European Warrants Feb 2012 B	300,000,000	2011/09/29	2012/02/28	45.00
14721	UBS AG – HSI European Warrants Feb 2012 C	300,000,000	2011/09/29	2012/02/28	45.00
14726	UBS AG – Hutchison European Warrants Oct 2013	500,000,000	2011/09/29	2013/10/28	85.00
14725	UBS AG – Hutchison European Warrants Mar 2012 C	300,000,000	2011/09/29	2012/03/27	120.00
14728	UBS AG – Tencent Holdings European Warrants Feb 2012	200,000,000	2011/09/29	2012/02/27	30.00
14790	BOCI Asia Ltd. – China Mobile European Warrants Apr 2012	100,000,000	2011/09/30	2012/04/02	25.00
14793	BOCI Asia Ltd. – China Mobile European Put Warrants Feb 2012	100,000,000	2011/09/30	2012/02/13	20.00
14792	BOCI Asia Ltd. – China Life European Put Warrants Apr 2012	100,000,000	2011/09/30	2012/04/02	25.00
14791	BOCI Asia Ltd. – Lenovo European Warrants Apr 2012	100,000,000	2011/09/30	2012/04/02	25.00
14814	Credit Suisse AG – CNOOC European Warrants Mar 2012 A	200,000,000	2011/09/30	2012/03/12	30.00
14812	Credit Suisse AG – China Unicom European Put Wts May 2012 A	200,000,000	2011/09/30	2012/05/28	30.00
14811	Credit Suisse AG – HSBC European Wts Mar 2012	300,000,000	2011/09/30	2012/03/30	75.00
14810	Credit Suisse AG – HSCEI European Warrants Jun 2012	200,000,000	2011/09/30	2012/06/28	50.00
14816	Credit Suisse AG – HSCEI European Put Warrants Apr 2012	200,000,000	2011/09/30	2012/04/27	50.00
14808	Credit Suisse AG – HSI European Warrants Feb 2012 M	500,000,000	2011/09/30	2012/02/28	75.00
14809	Credit Suisse AG – HSI European Warrants Feb 2012 N	500,000,000	2011/09/30	2012/02/28	75.00
14815	Credit Suisse AG – HSI European Put Warrants Mar 2012 A	500,000,000	2011/09/30	2012/03/29	125.00
14813	Credit Suisse AG – Tencent Holdings European Wts Feb 2012	300,000,000	2011/09/30	2012/02/27	45.00
14789	Citigroup Global Mkt H Inc. – CNOOC European Wts Mar 2012	80,000,000	2011/09/30	2012/03/12	12.00
14794	Citigroup Global Mkt H Inc. – ICBC European Wts Apr 2012	50,000,000	2011/09/30	2012/04/17	12.50
14828	J P Morgan SP BV – CM Bank European Warrants Nov 2012	200,000,000	2011/09/30	2012/11/05	50.00
14799	J P Morgan SP BV – HSI European Warrants Jul 2012	200,000,000	2011/09/30	2012/07/30	51.80
14800	J P Morgan SP BV – HSI European Put Warrants Jun 2012	200,000,000	2011/09/30	2012/06/28	53.80
14797	J P Morgan SP BV – HSI European Put Warrants Jul 2012	200,000,000	2011/09/30	2012/07/30	50.40
14798	J P Morgan SP BV – HSI European Warrants Feb 2012 C	200,000,000	2011/09/30	2012/02/28	31.40
14805	J P Morgan SP BV – JX Copper European Warrants Jun 2012	200,000,000	2011/09/30	2012/06/28	50.00
14804	J P Morgan SP BV – PetroChina European Warrants Apr 2012	300,000,000	2011/09/30	2012/04/26	45.00
14803	J P Morgan SP BV – SHK Ppt European Warrants Jan 2013	200,000,000	2011/09/30	2013/01/28	30.00
14802	J P Morgan SP BV – Tencent European Warrants Feb 2012	200,000,000	2011/09/30	2012/02/23	30.00
14801	J P Morgan SP BV – Tencent European Warrants Jun 2012	200,000,000	2011/09/30	2012/06/01	50.00
14807	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2012 A	200,000,000	2011/09/30	2012/04/03	50.40
14818	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Apr 2012	80,000,000	2011/09/30	2012/04/02	20.00
14820	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Apr 2012	80,000,000	2011/09/30	2012/04/02	20.00
14795	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2012	100,000,000	2011/09/30	2012/06/28	25.00
14796	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2012	200,000,000	2011/09/30	2012/05/30	50.00
14819	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Apr 2012	80,000,000	2011/09/30	2012/04/02	20.00
14817	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Jul 12	80,000,000	2011/09/30	2012/07/03	20.00
14785	Macquarie Bank Ltd. – CC Bank European Put Warrants May 2012	200,000,000	2011/09/30	2012/05/03	50.20
14784	Macquarie Bank Ltd. – Evergrande European Warrants May 2012	150,000,000	2011/09/30	2012/05/03	39.15
14763	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts May 2012	80,000,000	2011/09/30	2012/05/25	29.60
14764	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Jul 2012	80,000,000	2011/09/30	2012/07/27	35.20
14765	Merrill Lynch Int'l & Co. C.V. – AIA Euro Warrants Apr 2012	80,000,000	2011/09/30	2012/04/30	23.60

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14766	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts May 2012 A	80,000,000	2011/09/30	2012/05/08	20.00
14770	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Mar 2012	80,000,000	2011/09/30	2012/03/30	24.00
14771	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jun 2012	80,000,000	2011/09/30	2012/06/29	27.60
14769	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2012 B	80,000,000	2011/09/30	2012/04/30	26.00
14762	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Sep 2012 A	80,000,000	2011/09/30	2012/09/28	20.40
14772	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Aug 2012	80,000,000	2011/09/30	2012/08/08	12.00
14773	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2013	80,000,000	2011/09/30	2013/06/13	20.00
14759	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2012	80,000,000	2011/09/30	2012/07/11	20.00
14760	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Dec 2013	80,000,000	2011/09/30	2013/12/16	21.20
14751	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012	80,000,000	2011/09/30	2012/05/14	61.60
14753	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt May 2012	80,000,000	2011/09/30	2012/05/21	40.00
14752	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012 A	80,000,000	2011/09/30	2012/05/28	20.80
14755	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2012	80,000,000	2011/09/30	2012/04/23	42.40
14754	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2013	80,000,000	2011/09/30	2013/10/28	12.80
14756	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2012 B	80,000,000	2011/09/30	2012/04/16	56.80
14757	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2012 C	80,000,000	2011/09/30	2012/04/17	72.80
14758	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 12 D	80,000,000	2011/09/30	2012/04/18	21.60
14767	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts May 2012	80,000,000	2011/09/30	2012/05/25	49.60
14768	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Sep 2012	80,000,000	2011/09/30	2012/09/28	55.20
14761	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2012	80,000,000	2011/09/30	2012/04/30	20.00
14777	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2012 A	200,000,000	2011/09/30	2012/04/02	66.00
14778	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2012	200,000,000	2011/09/30	2012/04/02	70.00
14779	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2012 B	400,000,000	2011/09/30	2012/04/02	100.00
14806	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2012 B	500,000,000	2011/09/30	2012/04/11	125.00
14780	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2012 A	500,000,000	2011/09/30	2012/08/01	75.00
14774	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012	500,000,000	2011/09/30	2012/03/29	75.00
14776	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 2012	300,000,000	2011/09/30	2012/03/29	66.00
14775	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012 A	300,000,000	2011/09/30	2012/03/29	45.00
14781	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2012	180,000,000	2011/09/30	2012/04/02	72.00
14782	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jan 2013 A	300,000,000	2011/09/30	2013/01/28	45.00
14783	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May 12	200,000,000	2011/09/30	2012/05/30	50.00
14822	UBS AG – Agricultural Bank European Warrants Mar 2012	200,000,000	2011/09/30	2012/03/07	30.00
14821	UBS AG – HKEx European Warrants Feb 2012	300,000,000	2011/09/30	2012/02/13	45.00
14826	UBS AG – HSI European Put Warrants Apr 2012	300,000,000	2011/09/30	2012/04/27	84.00
14827	UBS AG – HSI European Put Warrants May 2012	300,000,000	2011/09/30	2012/05/30	75.00
14786	UBS AG – HSI European Warrants Feb 2012 D	300,000,000	2011/09/30	2012/02/28	45.00
14787	UBS AG – HSI European Warrants Mar 2012 A	300,000,000	2011/09/30	2012/03/29	45.00
14788	UBS AG – HSI European Warrants Mar 2012 B	300,000,000	2011/09/30	2012/03/29	45.00
14824	UBS AG – ICBC European Warrants Mar 2012	200,000,000	2011/09/30	2012/03/30	56.00
14823	UBS AG – ICBC European Warrants Feb 2012 A	200,000,000	2011/09/30	2012/02/27	36.00
14825	UBS AG – Ping An European Warrants Mar 2012 D	200,000,000	2011/09/30	2012/03/30	88.00
總額					73,939.94

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2010	第3季	–	0.05
	第4季	–	0.10
2011	第1季	–	1.96
	第2季	–	0.60
	第3季	–	611.11

債券面值

		發行數目	面值(百萬港元)
2010	第3季	160	440,113.92
	第4季	169	488,418.71
2011	第1季	170	506,219.59
	第2季	173	523,451.54
	第3季	183	558,876.03

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2010	第3季	7	21,025.02
	第4季	16	60,126.80
2011	第1季	7	24,958.35
	第2季	13	43,572.09
	第3季	16	47,230.33

主板 債務證券

新上市債券

2011年第3季

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
86004	Rainbow Days Ltd. 2% 有擔保人民幣債券2014年	RMB 1,400,000,000	2011/07/04	2014/06/30	1,685.60
86005	Rainbow Days Ltd. 3% 有擔保人民幣債券2016年	RMB 1,600,000,000	2011/07/04	2016/06/30	1,926.40
86006	雲頂香港有限公司 3.95% 人民幣債券2014年	RMB 1,380,000,000	2011/07/05	2014/06/30	1,661.24
04518	Wakala Global Sukuk Berhad 美元信託憑證 2016年	USD 1,200,000,000	2011/07/07	2016/07/06	9,360.00
04519	Wakala Global Sukuk Berhad 美元信託憑證 2021年	USD 800,000,000	2011/07/07	2021/07/06	6,240.00
04520	CLP Power Hong Kong Financing Ltd. 4.75% 票據2021年	USD 300,000,000	2011/07/13	2021/07/12	2,324.18
86007	銀泰百貨(集團)有限公司 4.65% 人民幣債券 2014年	RMB 1,000,000,000	2011/07/20	2014/07/19	1,205.25
04208	中華人民共和國香港特別行政區政府通脹 掛鈎債券2014年	HKD 10,000,000,000	2011/07/29	2014/07/28	10,000.00
04209	中華人民共和國香港特別行政區政府 2.46% 債券2021年	HKD 2,500,000,000	2011/08/05	2021/08/04	2,577.75
04017	香港金融管理局 0.26% 外匯基金票據2013年	HKD 1,200,000,000	2011/08/18	2013/08/19	1,202.52
04018	香港金融管理局 2.07% 外匯基金票據2026年	HKD 600,000,000	2011/08/29	2026/08/26	605.28
86008	Tesco PLC 1.75% 人民幣債券2014年	RMB 725,000,000	2011/09/02	2014/09/01	885.23
04210	中華人民共和國香港特別行政區政府 0.32% 債券2013年	HKD 3,500,000,000	2011/09/09	2013/09/09	3,507.70
04521	The Hong Kong Mortgage Corporation Ltd. 浮動利率票據2012年	USD 100,000,000	2011/09/14	2012/09/12	780.00
04522	Wharf Finance (No.1) Ltd. 2.875% 日圓票據 2026年	JPY 10,000,000,000	2011/09/19	2026/09/16	1,015.60
04194#	香港金融管理局 1.69% 外匯基金票據2014年	HKD 1,200,000,000	2011/09/19	2014/09/29	1,251.48
04019	香港金融管理局 0.79% 外匯基金票據2016年	HKD 1,000,000,000	2011/09/20	2016/09/19	1,002.10
總額					47,230.33

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比 (百萬港元)
2010	第3季	137,121.96	10,860.01	182.56	148,164.53	3.77
	第4季	222,632.25	13,000.51	234.47	235,867.23	4.29
2011	第1季	158,281.12	13,623.13	220.01	172,124.26	3.67
	第2季	127,347.26	16,652.86	105.65	144,105.78	3.45
	第3季	153,336.68	13,347.77	93.94	166,778.38	3.66

註： 1. 由2010年11月29日起成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF)		房地產投資 信託基金 (REIT)		其他		合計	
		發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)
2010	第3季	66	647,219.69	8	95,248.94	2	2,972.33	76	745,440.96
	第4季	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	第1季	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
	第2季	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38
	第3季	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額(百萬港元)
2010	第3季	4	—
	第4季	3	—
2011	第1季	3	—
	第2季	5	12,507.88
	第3季	—	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2011年第3季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
Nil					

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2010	第3季	974	40,872.16	351,106.38
	第4季	1,064	49,816.76	514,510.75
2011	第1季	974	43,399.41	367,097.05
	第2季	711	32,550.52	325,829.26
	第3季	642	45,153.85	518,147.45

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2010	第3季	1,369	73,903.10
	第4季	1,813	107,647.20
2011	第1季	1,449	80,829.55
	第2季	931	54,073.65
	第3季	1,401	85,334.38

主板 可收回牛熊證

新上市牛熊證

2011年第3季

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65065	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	200,000,000	2011/07/04	2011/12/29	50.00
65067	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	200,000,000	2011/07/04	2011/12/29	50.00
65068	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 O	200,000,000	2011/07/04	2011/12/29	50.00
65069	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	200,000,000	2011/07/04	2011/12/29	50.00
65064	Credit Suisse AG – HSI R Bull CBBC Dec 2011 S	300,000,000	2011/07/04	2011/12/29	75.00
65050	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	300,000,000	2011/07/04	2011/10/28	75.00
65052	Credit Suisse AG – TCH R Bull CBBC Nov 2011 D	100,000,000	2011/07/04	2011/11/28	25.00
65056	Credit Suisse AG – TCH R Bull CBBC Dec 2011 B	100,000,000	2011/07/04	2011/12/19	25.00
65070	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 A	200,000,000	2011/07/04	2011/10/28	50.00
65071	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 B	200,000,000	2011/07/04	2011/10/28	50.00
65073	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 C	200,000,000	2011/07/04	2011/10/28	50.00
65074	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 D	200,000,000	2011/07/04	2011/10/28	50.00
65075	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 E	200,000,000	2011/07/04	2011/10/28	50.00
65076	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 F	200,000,000	2011/07/04	2011/10/28	50.00
65077	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 G	200,000,000	2011/07/04	2011/10/28	50.00
65080	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 H	200,000,000	2011/07/04	2011/10/28	50.00
65044	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11F	300,000,000	2011/07/04	2011/10/28	75.00
65045	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11G	300,000,000	2011/07/04	2011/10/28	75.00
65046	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11H	300,000,000	2011/07/04	2011/10/28	75.00
65047	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11I	300,000,000	2011/07/04	2011/10/28	75.00
65048	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11V	300,000,000	2011/07/04	2011/10/28	75.00
65049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11Y	300,000,000	2011/07/04	2011/10/28	75.00
65058	UBS AG – HSI R Bull CBBC Oct 2011 C	300,000,000	2011/07/04	2011/10/28	75.00
65060	UBS AG – HSI R Bull CBBC Nov 2011 A	300,000,000	2011/07/04	2011/11/29	75.00
65061	UBS AG – HSI R Bull CBBC Dec 2011 J	300,000,000	2011/07/04	2011/12/29	75.00
65062	UBS AG – HSI R Bull CBBC Dec 2011 K	300,000,000	2011/07/04	2011/12/29	75.00
65043	UBS AG – HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/07/04	2011/11/29	75.00
65057	UBS AG – TCH R Bull CBBC Jan 2012 A	100,000,000	2011/07/04	2012/01/09	29.50
65086	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/07/05	2012/01/30	75.00
65085	HK Bank – HKEx R Bull CBBC Feb 2012 A	80,000,000	2011/07/05	2012/02/28	20.00
65087	UBS AG – HSBC R Bear CBBC Dec 2011 A	100,000,000	2011/07/05	2011/12/12	25.00
65081	UBS AG – HSI R Bull CBBC Oct 2011 N	300,000,000	2011/07/05	2011/10/28	75.00
65084	UBS AG – HSI R Bull CBBC Nov 2011 J	300,000,000	2011/07/05	2011/11/29	75.00
65088	UBS AG – HSI R Bear CBBC Oct 2011 J	300,000,000	2011/07/05	2011/10/28	75.00
65096	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 O	200,000,000	2011/07/06	2011/10/28	50.00
65091	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 P	200,000,000	2011/07/06	2011/10/28	50.00
65090	Credit Suisse AG – HSI R Bull CBBC Nov 2011 D	300,000,000	2011/07/06	2011/11/29	75.00
65089	Credit Suisse AG – HSI R Bear CBBC Oct 2011 C	300,000,000	2011/07/06	2011/10/28	75.00
65107	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 I	200,000,000	2011/07/06	2011/10/28	50.00
65109	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 J	200,000,000	2011/07/06	2011/10/28	50.00
65112	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 K	200,000,000	2011/07/06	2011/10/28	50.00
65113	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 L	200,000,000	2011/07/06	2011/10/28	50.00
65115	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 M	200,000,000	2011/07/06	2011/10/28	50.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65116	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 N	200,000,000	2011/07/06	2011/10/28	50.00
65117	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 O	200,000,000	2011/07/06	2011/10/28	50.00
65103	UBS AG – China Mobile R Bear CBBC Jan 2012 A	100,000,000	2011/07/06	2012/01/16	25.00
65100	UBS AG – HSI R Bull CBBC Nov 2011 E	300,000,000	2011/07/06	2011/11/29	75.00
65099	UBS AG – HSI R Bull CBBC Dec 2011 L	300,000,000	2011/07/06	2011/12/29	75.00
65097	UBS AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/07/06	2011/11/29	75.00
65119	Credit Suisse AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/07/07	2011/11/29	75.00
65118	Credit Suisse AG – TCH R Bear CBBC Nov 2011 E	100,000,000	2011/07/07	2011/11/28	25.00
65120	UBS AG – HSI R Bull CBBC Nov 2011 P	300,000,000	2011/07/07	2011/11/29	75.00
65121	UBS AG – HSI R Bull CBBC Dec 2011 M	300,000,000	2011/07/07	2011/12/29	75.00
65122	UBS AG – HSI R Bear CBBC Dec 2011 N	300,000,000	2011/07/07	2011/12/29	75.00
65134	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/07/08	2011/12/29	50.00
65135	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 R	200,000,000	2011/07/08	2011/12/29	50.00
65137	Credit Suisse AG – HSI R Bull CBBC Dec 2011 T	300,000,000	2011/07/08	2011/12/29	75.00
65136	Credit Suisse AG – HSI R Bear CBBC Oct 2011 V	300,000,000	2011/07/08	2011/10/28	75.00
65146	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 A	200,000,000	2011/07/08	2011/11/29	50.00
65125	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11A	300,000,000	2011/07/08	2011/10/28	75.00
65126	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11B	300,000,000	2011/07/08	2011/10/28	75.00
65128	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11D	300,000,000	2011/07/08	2011/10/28	75.00
65124	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11Z	300,000,000	2011/07/08	2011/10/28	75.00
65129	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11K	300,000,000	2011/07/08	2011/12/29	85.50
65132	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11L	300,000,000	2011/07/08	2011/12/29	106.50
65133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11M	300,000,000	2011/07/08	2011/12/29	111.00
65145	UBS AG – COVS R Bear CBBC Dec 2011 A	80,000,000	2011/07/08	2011/12/19	20.80
65143	UBS AG – Sinopec Corp R Bull CBBC Dec 2011 A	100,000,000	2011/07/08	2011/12/12	25.00
65138	UBS AG – HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/07/08	2011/11/29	75.00
65142	UBS AG – HSI R Bull CBBC Dec 2011 O	300,000,000	2011/07/08	2011/12/29	75.00
65123	UBS AG – HSI R Bear CBBC Oct 2011 M	300,000,000	2011/07/08	2011/10/28	75.00
65189	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	200,000,000	2011/07/11	2011/12/29	50.00
65192	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	200,000,000	2011/07/11	2011/12/29	50.00
65194	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	200,000,000	2011/07/11	2011/12/29	50.00
65195	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	200,000,000	2011/07/11	2011/12/29	50.00
65196	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 I	200,000,000	2011/07/11	2011/10/28	50.00
65197	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 N	200,000,000	2011/07/11	2011/10/28	50.00
65169	Credit Suisse AG – HSI R Bull CBBC Dec 2011 U	300,000,000	2011/07/11	2011/12/29	75.00
65170	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	300,000,000	2011/07/11	2012/01/30	75.00
65171	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	300,000,000	2011/07/11	2012/01/30	75.00
65167	Credit Suisse AG – HSI R Bear CBBC Oct 2011 G	300,000,000	2011/07/11	2011/10/28	75.00
65163	Credit Suisse AG – HSI R Bear CBBC Oct 2011 W	300,000,000	2011/07/11	2011/10/28	75.00
65172	Credit Suisse AG – TCH R Bull CBBC Nov 2011 F	100,000,000	2011/07/11	2011/11/28	25.00
65173	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 B	200,000,000	2011/07/11	2011/11/29	50.00
65153	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11C	300,000,000	2011/07/11	2011/10/28	75.00
65152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11E	300,000,000	2011/07/11	2011/10/28	75.00
65156	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11N	300,000,000	2011/07/11	2011/10/28	75.00
65157	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11O	300,000,000	2011/07/11	2011/10/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65158	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11N	300,000,000	2011/07/11	2011/12/29	85.50
65159	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11O	300,000,000	2011/07/11	2011/12/29	106.50
65160	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11P	300,000,000	2011/07/11	2011/12/29	109.50
65161	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11Q	300,000,000	2011/07/11	2011/12/29	94.50
65162	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11R	300,000,000	2011/07/11	2011/12/29	117.00
65174	UBS AG – China Life R Bull CBBC Dec 2011 C	100,000,000	2011/07/11	2011/12/19	39.50
65176	UBS AG – HKEx R Bear CBBC Jan 2012 A	100,000,000	2011/07/11	2012/01/09	27.00
65177	UBS AG – HSI R Bull CBBC Oct 2011 U	300,000,000	2011/07/11	2011/10/28	75.00
65178	UBS AG – HSI R Bull CBBC Nov 2011 I	300,000,000	2011/07/11	2011/11/29	75.00
65179	UBS AG – HSI R Bull CBBC Nov 2011 V	300,000,000	2011/07/11	2011/11/29	75.00
65180	UBS AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/07/11	2012/01/30	75.00
65148	UBS AG – HSI R Bear CBBC Oct 2011 R	300,000,000	2011/07/11	2011/10/28	75.00
65149	UBS AG – HSI R Bear CBBC Nov 2011 H	300,000,000	2011/07/11	2011/11/29	75.00
65186	UBS AG – HSI R Bear CBBC Nov 2011 W	300,000,000	2011/07/11	2011/11/29	75.00
65147	UBS AG – HSI R Bear CBBC Dec 2011 P	300,000,000	2011/07/11	2011/12/29	75.00
65187	UBS AG – HSI R Bear CBBC Jan 2012 E	300,000,000	2011/07/11	2012/01/30	75.00
65175	UBS AG – TCH R Bull CBBC Jan 2012 B	100,000,000	2011/07/11	2012/01/09	25.50
65202	Credit Suisse AG – HSI R Bull CBBC Dec 2011 V	300,000,000	2011/07/12	2011/12/29	75.00
65203	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/07/12	2012/01/30	75.00
65201	Credit Suisse AG – HSI R Bear CBBC Oct 2011 R	300,000,000	2011/07/12	2011/10/28	75.00
65198	HK Bank – SHK Ppt R Bull CBBC Apr 2012 A	80,000,000	2011/07/12	2012/04/30	20.00
65199	HK Bank – SHK Ppt R Bear CBBC Jun 2012 A	80,000,000	2011/07/12	2012/06/29	20.00
65205	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 C	200,000,000	2011/07/12	2011/11/29	50.00
65204	UBS AG – HSI R Bull CBBC Oct 2011 V	300,000,000	2011/07/12	2011/10/28	75.00
65200	UBS AG – HSI R Bear CBBC Oct 2011 Y	300,000,000	2011/07/12	2011/10/28	75.00
65206	Credit Suisse AG – HSI R Bear CBBC Oct 2011 P	300,000,000	2011/07/13	2011/10/28	75.00
65207	UBS AG – CC Bank R Bull CBBC Jan 2012 A	100,000,000	2011/07/13	2012/01/16	25.00
65208	UBS AG – HSI R Bull CBBC Nov 2011 U	300,000,000	2011/07/13	2011/11/29	75.00
65209	UBS AG – HSI R Bull CBBC Dec 2011 Q	300,000,000	2011/07/13	2011/12/29	75.00
65210	UBS AG – HSI R Bear CBBC Nov 2011 O	300,000,000	2011/07/13	2011/11/29	75.00
65211	UBS AG – HSI R Bear CBBC Jan 2012 F	300,000,000	2011/07/13	2012/01/30	75.00
65213	UBS AG – A50 China R Bull CBBC Jan 2012 A	100,000,000	2011/07/14	2012/01/09	25.00
65212	UBS AG – HKEx R Bull CBBC Jan 2012 B	100,000,000	2011/07/14	2012/01/16	25.00
65214	UBS AG – HSI R Bull CBBC Dec 2011 R	300,000,000	2011/07/14	2011/12/29	75.00
65220	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2011 A	80,000,000	2011/07/15	2011/12/30	20.00
65221	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2011 B	80,000,000	2011/07/15	2011/12/30	20.00
65222	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2011 C	80,000,000	2011/07/15	2011/12/30	20.00
65226	Credit Suisse AG – ABC R Bull CBBC Nov 2011 A	100,000,000	2011/07/15	2011/11/21	25.00
65225	Credit Suisse AG – CCB R Bull CBBC Nov 2011 A	100,000,000	2011/07/15	2011/11/21	25.00
65215	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 O	100,000,000	2011/07/15	2012/01/30	25.00
65216	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 P	100,000,000	2011/07/15	2012/01/30	25.00
65217	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 Q	100,000,000	2011/07/15	2012/01/30	25.00
65223	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11J	300,000,000	2011/07/15	2011/10/28	75.00
65224	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11K	300,000,000	2011/07/15	2011/10/28	75.00
65227	UBS AG – CC Bank R Bull CBBC Dec 2011 B	100,000,000	2011/07/15	2011/12/05	25.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65258	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 D	200,000,000	2011/07/18	2011/10/28	50.00
65259	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 F	200,000,000	2011/07/18	2011/10/28	50.00
65256	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 U	200,000,000	2011/07/18	2011/10/28	50.00
65257	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 V	200,000,000	2011/07/18	2011/10/28	50.00
65247	Credit Suisse AG – HSI R Bull CBBC Dec 2011 W	300,000,000	2011/07/18	2011/12/29	75.00
65248	Credit Suisse AG – HSI R Bull CBBC Jan 2012 M	300,000,000	2011/07/18	2012/01/30	75.00
65266	Credit Suisse AG – HSI R Bear CBBC Oct 2011 X	300,000,000	2011/07/18	2011/10/28	75.00
65263	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 D	200,000,000	2011/07/18	2011/11/29	50.00
65264	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 E	200,000,000	2011/07/18	2011/11/29	50.00
65265	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 F	200,000,000	2011/07/18	2011/11/29	50.00
65231	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 G	108,000,000	2011/07/18	2011/11/29	27.00
65232	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 H	128,000,000	2011/07/18	2011/11/29	32.00
65229	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 A	128,000,000	2011/07/18	2011/12/29	32.00
65230	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 B	168,000,000	2011/07/18	2011/12/29	42.00
65233	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 C	128,000,000	2011/07/18	2011/12/29	32.00
65234	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 A	108,000,000	2011/07/18	2012/01/30	33.48
65235	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 B	188,000,000	2011/07/18	2012/01/30	47.00
65236	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 E	128,000,000	2011/07/18	2011/10/28	32.00
65237	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 F	128,000,000	2011/07/18	2011/10/28	32.00
65238	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 I	108,000,000	2011/07/18	2011/11/29	27.00
65239	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 J	128,000,000	2011/07/18	2011/11/29	32.00
65240	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 D	128,000,000	2011/07/18	2011/12/29	32.00
65241	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 E	188,000,000	2011/07/18	2011/12/29	47.00
65242	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 F	128,000,000	2011/07/18	2011/12/29	32.00
65243	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 G	128,000,000	2011/07/18	2011/12/29	32.00
65244	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 C	188,000,000	2011/07/18	2012/01/30	47.00
65249	RB of Scotland N.V. – HSI R Bull CBBC Nov 2011 A	250,000,000	2011/07/18	2011/11/29	62.50
65250	RB of Scotland N.V. – HSI R Bull CBBC Nov 2011 B	250,000,000	2011/07/18	2011/11/29	62.50
65253	RB of Scotland N.V. – HSI R Bear CBBC Nov 2011 C	250,000,000	2011/07/18	2011/11/29	62.50
65254	RB of Scotland N.V. – HSI R Bear CBBC Nov 2011 D	250,000,000	2011/07/18	2011/11/29	62.50
65245	UBS AG – HSI R Bull CBBC Oct 2011 F	300,000,000	2011/07/18	2011/10/28	75.00
65246	UBS AG – HSI R Bull CBBC Nov 2011 Z	300,000,000	2011/07/18	2011/11/29	75.00
65260	UBS AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/07/18	2011/11/29	75.00
65308	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 A	200,000,000	2011/07/19	2011/10/28	50.00
65309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 B	200,000,000	2011/07/19	2011/10/28	50.00
65310	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 C	200,000,000	2011/07/19	2011/10/28	50.00
65303	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 M	200,000,000	2011/07/19	2011/10/28	50.00
65305	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 W	200,000,000	2011/07/19	2011/10/28	50.00
65307	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 Y	200,000,000	2011/07/19	2011/10/28	50.00
65306	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 Z	200,000,000	2011/07/19	2011/10/28	50.00
65273	Credit Suisse AG – HSI R Bull CBBC Nov 2011 N	300,000,000	2011/07/19	2011/11/29	75.00
65274	Credit Suisse AG – HSI R Bull CBBC Nov 2011 O	300,000,000	2011/07/19	2011/11/29	75.00
65275	Credit Suisse AG – HSI R Bull CBBC Dec 2011 X	300,000,000	2011/07/19	2011/12/29	75.00
65297	Credit Suisse AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/07/19	2012/01/30	75.00
65271	Credit Suisse AG – HSI R Bear CBBC Oct 2011 J	300,000,000	2011/07/19	2011/10/28	75.00

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65272	Credit Suisse AG – HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/07/19	2011/10/28	75.00
65296	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	300,000,000	2011/07/19	2011/10/28	75.00
65295	Credit Suisse AG – HSI R Bear CBBC Oct 2011 U	300,000,000	2011/07/19	2011/10/28	75.00
65276	Credit Suisse AG – TCH R Bear CBBC Nov 2011 G	100,000,000	2011/07/19	2011/11/28	25.00
65298	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 G	200,000,000	2011/07/19	2011/11/29	50.00
65299	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 H	200,000,000	2011/07/19	2011/11/29	50.00
65300	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 I	200,000,000	2011/07/19	2011/11/29	50.00
65301	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 J	200,000,000	2011/07/19	2011/11/29	50.00
65302	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 K	200,000,000	2011/07/19	2011/11/29	50.00
65278	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11L	300,000,000	2011/07/19	2011/10/28	75.00
65281	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11C	300,000,000	2011/07/19	2011/10/28	75.00
65282	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11D	300,000,000	2011/07/19	2011/10/28	75.00
65283	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11E	300,000,000	2011/07/19	2011/10/28	75.00
65279	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11M	300,000,000	2011/07/19	2011/10/28	75.00
65284	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11N	300,000,000	2011/07/19	2011/10/28	75.00
65280	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11Y	300,000,000	2011/07/19	2011/10/28	75.00
65286	UBS AG – CC Bank R Bull CBBC Jan 2012 B	100,000,000	2011/07/19	2012/01/09	25.00
65267	UBS AG – HSI R Bull CBBC Oct 2011 K	300,000,000	2011/07/19	2011/10/28	75.00
65277	UBS AG – HSI R Bull CBBC Oct 2011 P	300,000,000	2011/07/19	2011/10/28	75.00
65268	UBS AG – HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/07/19	2011/11/29	75.00
65269	UBS AG – HSI R Bull CBBC Nov 2011 V	300,000,000	2011/07/19	2011/11/29	75.00
65288	UBS AG – HSI R Bull CBBC Dec 2011 S	300,000,000	2011/07/19	2011/12/29	75.00
65289	UBS AG – HSI R Bear CBBC Oct 2011 L	300,000,000	2011/07/19	2011/10/28	75.00
65290	UBS AG – HSI R Bear CBBC Oct 2011 X	300,000,000	2011/07/19	2011/10/28	75.00
65291	UBS AG – HSI R Bear CBBC Nov 2011 H	300,000,000	2011/07/19	2011/11/29	75.00
65292	UBS AG – HSI R Bear CBBC Nov 2011 I	300,000,000	2011/07/19	2011/11/29	75.00
65293	UBS AG – HSI R Bear CBBC Dec 2011 T	300,000,000	2011/07/19	2011/12/29	75.00
65294	UBS AG – HSI R Bear CBBC Dec 2011 U	300,000,000	2011/07/19	2011/12/29	75.00
65287	UBS AG – ICBC R Bull CBBC Dec 2011 B	100,000,000	2011/07/19	2011/12/05	25.00
65349	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 C	200,000,000	2011/07/20	2011/12/29	50.00
65348	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 S	200,000,000	2011/07/20	2011/12/29	50.00
65330	Credit Suisse AG – A50 China R Bull CBBC Nov 2011 A	100,000,000	2011/07/20	2011/11/28	25.00
65324	Credit Suisse AG – HSI R Bull CBBC Dec 2011 Y	300,000,000	2011/07/20	2011/12/29	75.00
65325	Credit Suisse AG – HSI R Bull CBBC Jan 2012 O	300,000,000	2011/07/20	2012/01/30	75.00
65326	Credit Suisse AG – HSI R Bull CBBC Jan 2012 P	300,000,000	2011/07/20	2012/01/30	75.00
65327	Credit Suisse AG – HSI R Bull CBBC Feb 2012 G	300,000,000	2011/07/20	2012/02/28	75.00
65328	Credit Suisse AG – HSI R Bull CBBC Feb 2012 H	300,000,000	2011/07/20	2012/02/28	75.00
65321	Credit Suisse AG – HSI R Bear CBBC Oct 2011 C	300,000,000	2011/07/20	2011/10/28	75.00
65322	Credit Suisse AG – HSI R Bear CBBC Oct 2011 V	300,000,000	2011/07/20	2011/10/28	75.00
65323	Credit Suisse AG – HSI R Bear CBBC Oct 2011 W	300,000,000	2011/07/20	2011/10/28	75.00
65329	Credit Suisse AG – TCH R Bull CBBC Nov 2011 H	100,000,000	2011/07/20	2011/11/28	25.00
65331	HK Bank – CC Bank R Bull CBBC Feb 2012 A	80,000,000	2011/07/20	2012/02/28	20.00
65334	HK Bank – CC Bank R Bear CBBC Feb 2012 B	80,000,000	2011/07/20	2012/02/28	20.00
65336	HK Bank – HSI R Bear CBBC Feb 2012 C	100,000,000	2011/07/20	2012/02/28	25.00
65337	HK Bank – HSI R Bear CBBC Feb 2012 D	100,000,000	2011/07/20	2012/02/28	25.00

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65333	HK Bank – ICBC R Bull CBBC Jun 2012 A	80,000,000	2011/07/20	2012/06/29	20.00
65335	HK Bank – ICBC R Bear CBBC Jun 2012 B	80,000,000	2011/07/20	2012/06/29	20.00
65317	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 G	108,000,000	2011/07/20	2011/10/28	27.00
65318	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 H	128,000,000	2011/07/20	2011/10/28	32.00
65319	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 K	188,000,000	2011/07/20	2011/11/29	47.00
65320	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 L	128,000,000	2011/07/20	2011/11/29	32.00
65346	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 C	250,000,000	2011/07/20	2011/12/29	62.50
65347	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 D	250,000,000	2011/07/20	2011/12/29	62.50
65315	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11H	300,000,000	2011/07/20	2011/10/28	75.00
65316	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11I	300,000,000	2011/07/20	2011/10/28	75.00
65311	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11A	300,000,000	2011/07/20	2011/10/28	75.00
65312	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11B	300,000,000	2011/07/20	2011/10/28	75.00
65313	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11G	300,000,000	2011/07/20	2011/10/28	75.00
65338	UBS AG – COVS R Bull CBBC Jan 2012 A	100,000,000	2011/07/20	2012/01/09	31.00
65342	UBS AG – COVS R Bear CBBC Jan 2012 B	100,000,000	2011/07/20	2012/01/16	37.00
65339	UBS AG – HSI R Bull CBBC Oct 2011 M	300,000,000	2011/07/20	2011/10/28	75.00
65341	UBS AG – HSI R Bull CBBC Nov 2011 J	300,000,000	2011/07/20	2011/11/29	75.00
65314	UBS AG – HSI R Bear CBBC Oct 2011 J	300,000,000	2011/07/20	2011/10/28	75.00
65343	UBS AG – HSI R Bear CBBC Oct 2011 U	300,000,000	2011/07/20	2011/10/28	75.00
65344	UBS AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/07/20	2011/12/29	75.00
65345	UBS AG – HSI R Bear CBBC Jan 2012 G	300,000,000	2011/07/20	2012/01/30	75.00
65353	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Q	300,000,000	2011/07/21	2012/01/30	75.00
65352	Credit Suisse AG – HSI R Bear CBBC Nov 2011 R	300,000,000	2011/07/21	2011/11/29	75.00
65350	UBS AG – Hutchison R Bull CBBC Jan 2012 A	100,000,000	2011/07/21	2012/01/09	25.00
65354	UBS AG – CNOOC R Bull CBBC Jan 2012 A	100,000,000	2011/07/22	2012/01/16	28.50
65362	Credit Suisse AG – CCB R Bull CBBC Dec 2011 A	100,000,000	2011/07/25	2011/12/12	25.00
65363	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Jan 2012 A	100,000,000	2011/07/25	2012/01/30	25.00
65355	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 R	100,000,000	2011/07/25	2012/01/30	25.50
65356	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 S	100,000,000	2011/07/25	2012/01/30	25.00
65357	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 T	100,000,000	2011/07/25	2012/01/30	25.00
65358	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 U	100,000,000	2011/07/25	2012/01/30	25.50
65359	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 V	100,000,000	2011/07/25	2012/01/30	27.50
65360	RB of Scotland N.V. – A50 China R Bull CBBC Jan 2012 A	80,000,000	2011/07/25	2012/01/13	20.00
65361	RB of Scotland N.V. – A50 China R Bear CBBC Jan 2012 B	80,000,000	2011/07/25	2012/01/13	20.00
65364	UBS AG – AIA R Bear CBBC Jan 2012 A	100,000,000	2011/07/25	2012/01/09	27.00
65233 #	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 C	120,000,000	2011/07/25	2011/12/29	12.60
65366	Credit Suisse AG – HSI R Bull CBBC Jan 2012 R	300,000,000	2011/07/26	2012/01/30	75.00
65368	Credit Suisse AG – HSI R Bear CBBC Oct 2011 K	300,000,000	2011/07/26	2011/10/28	75.00
65370	RB of Scotland N.V. – CNBM R Bull CBBC Jul 2012 A	80,000,000	2011/07/26	2012/07/30	66.56
65371	RB of Scotland N.V. – Evergrande R Bull CBBC Jun 2012 A	80,000,000	2011/07/26	2012/06/29	28.00
65372	RB of Scotland N.V. – GCL-Poly R Bull CBBC Jun 2012 A	80,000,000	2011/07/26	2012/06/29	20.00
65369	UBS AG – GCL-Poly R Bull CBBC Dec 2011 A	100,000,000	2011/07/26	2011/12/19	25.00
65365	UBS AG – HSI R Bull CBBC Oct 2011 R	300,000,000	2011/07/26	2011/10/28	75.00
65367	UBS AG – HSI R Bear CBBC Oct 2011 C	300,000,000	2011/07/26	2011/10/28	75.00
65383	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	200,000,000	2011/07/27	2011/12/29	50.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65380	Credit Suisse AG – HSI R Bull CBBC Jan 2012 T	300,000,000	2011/07/27	2012/01/30	75.00
65376	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	300,000,000	2011/07/27	2011/10/28	75.00
65373	HK Bank – A50 R Bull CBBC Apr 2012 A	100,000,000	2011/07/27	2012/04/18	25.00
65385	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 L	200,000,000	2011/07/27	2011/11/29	50.00
65386	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 M	200,000,000	2011/07/27	2011/11/29	50.00
65382	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 H	128,000,000	2011/07/27	2011/12/29	32.00
65384	RB of Scotland N.V. – HSCEI R Bear CBBC Dec 2011 A	250,000,000	2011/07/27	2011/12/29	62.50
65374	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11V	300,000,000	2011/07/27	2011/10/28	75.00
65375	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11Z	300,000,000	2011/07/27	2011/10/28	75.00
65381	UBS AG – HSI R Bull CBBC Oct 2011 F	300,000,000	2011/07/27	2011/10/28	75.00
65379	UBS AG – HSI R Bear CBBC Oct 2011 V	300,000,000	2011/07/27	2011/10/28	75.00
65389	Credit Suisse AG – HSI R Bull CBBC Dec 2011 Z	300,000,000	2011/07/28	2011/12/29	75.00
65387	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11 L	300,000,000	2011/07/28	2011/10/28	75.00
65390	UBS AG – China Mobile R Bull CBBC Jan 2012 B	100,000,000	2011/07/28	2012/01/09	25.00
65388	UBS AG – HSI R Bull CBBC Oct 2011 K	300,000,000	2011/07/28	2011/10/28	75.00
64783 #	HK Bank – A50 R Bull CBBC Jan 2012 A	150,000,000	2011/07/28	2012/01/20	16.50
65410	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 T	200,000,000	2011/07/29	2011/12/29	50.00
65412	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	200,000,000	2011/07/29	2011/12/29	50.00
65413	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	200,000,000	2011/07/29	2011/12/29	50.00
65411	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	200,000,000	2011/07/29	2011/12/29	50.00
65414	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	200,000,000	2011/07/29	2011/12/29	50.00
65399	Credit Suisse AG – HSI R Bull CBBC Jan 2012 U	300,000,000	2011/07/29	2012/01/30	75.00
65404	Credit Suisse AG – HSI R Bull CBBC Jan 2012 W	300,000,000	2011/07/29	2012/01/30	75.00
65398	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/07/29	2011/11/29	75.00
65397	Credit Suisse AG – HSI R Bear CBBC Nov 2011 S	300,000,000	2011/07/29	2011/11/29	75.00
65406	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 M	128,000,000	2011/07/29	2011/11/29	32.00
65407	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 N	188,000,000	2011/07/29	2011/11/29	47.00
65415	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 E	250,000,000	2011/07/29	2011/12/29	62.50
65401	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 D	300,000,000	2011/07/29	2011/11/29	75.00
65402	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 E	300,000,000	2011/07/29	2011/11/29	75.00
65403	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 F	300,000,000	2011/07/29	2011/11/29	75.00
65393	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 A	300,000,000	2011/07/29	2011/11/29	75.00
65394	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 B	300,000,000	2011/07/29	2011/11/29	75.00
65396	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 C	300,000,000	2011/07/29	2011/11/29	75.00
65405	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 G	300,000,000	2011/07/29	2011/11/29	75.00
65409	UBS AG – HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/07/29	2011/11/29	75.00
65408	UBS AG – HSI R Bull CBBC Dec 2011 X	300,000,000	2011/07/29	2011/12/29	75.00
65391	UBS AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/07/29	2011/11/29	75.00
65392	UBS AG – HSI R Bear CBBC Dec 2011 W	300,000,000	2011/07/29	2011/12/29	75.00
65423	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	200,000,000	2011/08/01	2012/02/28	50.00
65420	Credit Suisse AG – HSI R Bull CBBC Dec 2011 G	300,000,000	2011/08/01	2011/12/29	75.00
65419	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	300,000,000	2011/08/01	2011/11/29	75.00
65418	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11H	300,000,000	2011/08/01	2011/11/29	75.00
65421	UBS AG – AIA R Bull CBBC Jan 2012 B	100,000,000	2011/08/01	2012/01/16	25.50
65416	UBS AG – HSI R Bull CBBC Dec 2011 Y	300,000,000	2011/08/01	2011/12/29	75.00

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65417	UBS AG – HSI R Bull CBBC Jan 2012 H	300,000,000	2011/08/01	2012/01/30	75.00
65422	UBS AG – HSI R Bear CBBC Jan 2012 I	300,000,000	2011/08/01	2012/01/30	75.00
65432	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	200,000,000	2011/08/02	2011/12/29	50.00
65426	Credit Suisse AG – HSI R Bull CBBC Dec 2011 D	300,000,000	2011/08/02	2011/12/29	75.00
65427	Credit Suisse AG – HSI R Bull CBBC Jan 2012 X	300,000,000	2011/08/02	2012/01/30	75.00
65425	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/02	2011/11/29	75.00
65431	UBS AG – HSI R Bull CBBC Nov 2011 U	300,000,000	2011/08/02	2011/11/29	75.00
65424	UBS AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/08/02	2011/12/29	75.00
65428	UBS AG – Hutchison R Bull CBBC Jan 2012 B	100,000,000	2011/08/02	2012/01/16	25.00
65445	Credit Suisse AG – HSI R Bear CBBC Nov 2011 X	300,000,000	2011/08/03	2011/11/29	75.00
65443	HK Bank – CNOOC R Bull CBBC Jun 2012 A	80,000,000	2011/08/03	2012/06/18	20.00
65433	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 A	100,000,000	2011/08/03	2012/02/28	26.00
65434	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 B	100,000,000	2011/08/03	2012/02/28	25.50
65435	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 C	100,000,000	2011/08/03	2012/02/28	25.00
65436	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 I	300,000,000	2011/08/03	2011/11/29	75.00
65437	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 A	300,000,000	2011/08/03	2012/01/30	105.00
65439	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 B	300,000,000	2011/08/03	2012/01/30	102.00
65440	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 C	300,000,000	2011/08/03	2012/01/30	81.00
65446	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 J	300,000,000	2011/08/03	2011/11/29	75.00
65441	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11 S	300,000,000	2011/08/03	2011/12/29	87.00
65442	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11 T	300,000,000	2011/08/03	2011/12/29	91.50
65444	UBS AG – HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/08/03	2011/11/29	75.00
65453	Credit Suisse AG – China Mobile R Bull CBBC Dec 2011 A	100,000,000	2011/08/04	2011/12/05	25.00
65454	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Jan 2012 B	100,000,000	2011/08/04	2012/01/30	25.00
65448	Credit Suisse AG – HSBC R Bull CBBC Dec 2011 A	100,000,000	2011/08/04	2011/12/05	25.00
65449	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 A	100,000,000	2011/08/04	2011/12/05	25.00
65447	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11K	300,000,000	2011/08/04	2011/11/29	75.00
65456	UBS AG – ABC R Bull CBBC Jan 2012 A	100,000,000	2011/08/04	2012/01/09	25.00
65460	UBS AG – China Mobile R Bear CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/06	25.00
65457	UBS AG – Cheung Kong R Bear CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/20	25.00
65459	UBS AG – Sinopec Corp R Bear CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/06	25.00
65455	UBS AG – Hutchison R Bull CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/06	25.00
65458	UBS AG – Hutchison R Bear CBBC Jan 2012 C	100,000,000	2011/08/04	2012/01/09	25.00
65465	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Y	300,000,000	2011/08/05	2012/01/30	75.00
65461	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jan 12A	150,000,000	2011/08/05	2012/01/30	37.50
65462	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Jan 12A	150,000,000	2011/08/05	2012/01/30	39.00
65468	UBS AG – AIA R Bull CBBC Feb 2012 A	100,000,000	2011/08/05	2012/02/06	27.00
65463	UBS AG – HSI R Bull CBBC Dec 2011 C	300,000,000	2011/08/05	2011/12/29	75.00
65470	UBS AG – HSI R Bear CBBC Dec 2011 M	300,000,000	2011/08/05	2011/12/29	75.00
65471	UBS AG – HSI R Bear CBBC Jan 2012 J	300,000,000	2011/08/05	2012/01/30	75.00
65469	UBS AG – Hutchison R Bear CBBC Feb 2012 B	100,000,000	2011/08/05	2012/02/06	25.00
65482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	200,000,000	2011/08/08	2011/12/29	50.00
65483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	200,000,000	2011/08/08	2011/12/29	50.00
65488	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Z	300,000,000	2011/08/08	2012/01/30	75.00
65487	Credit Suisse AG – HSI R Bear CBBC Nov 2011 L	300,000,000	2011/08/08	2011/11/29	75.00

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65480	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 L	300,000,000	2011/08/08	2011/12/29	81.00
65481	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 M	300,000,000	2011/08/08	2011/12/29	75.00
65477	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 J	300,000,000	2011/08/08	2011/12/29	84.00
65479	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 K	300,000,000	2011/08/08	2011/12/29	75.00
65475	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11N	300,000,000	2011/08/08	2011/11/29	75.00
65476	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11O	300,000,000	2011/08/08	2011/11/29	75.00
65473	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11L	300,000,000	2011/08/08	2011/11/29	75.00
65474	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11M	300,000,000	2011/08/08	2011/11/29	75.00
65489	UBS AG – AIA R Bear CBBC Jan 2012 C	100,000,000	2011/08/08	2012/01/09	53.00
65490	UBS AG – HSI R Bull CBBC Nov 2011 V	300,000,000	2011/08/08	2011/11/29	75.00
65472	UBS AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/08/08	2011/11/29	75.00
65496	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 B	200,000,000	2011/08/09	2012/02/28	50.00
65497	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 C	200,000,000	2011/08/09	2012/02/28	50.00
65498	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 D	200,000,000	2011/08/09	2012/02/28	50.00
65495	Credit Suisse AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/08/09	2012/02/28	75.00
65499	Credit Suisse AG – HSI R Bear CBBC Nov 2011 K	300,000,000	2011/08/09	2011/11/29	75.00
65504	RB of Scotland N.V. – HSCEI R Bear CBBC Dec 2011 B	250,000,000	2011/08/09	2011/12/29	62.50
65503	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 F	250,000,000	2011/08/09	2011/12/29	62.50
65493	UBS AG – HSI R Bull CBBC Dec 2011 O	300,000,000	2011/08/09	2011/12/29	75.00
65500	UBS AG – HSI R Bull CBBC Feb 2012 A	300,000,000	2011/08/09	2012/02/28	75.00
65501	UBS AG – HSI R Bear CBBC Dec 2011 Q	300,000,000	2011/08/09	2011/12/29	75.00
65502	UBS AG – HSI R Bear CBBC Jan 2012 K	300,000,000	2011/08/09	2012/01/30	75.00
65548	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2012 E	200,000,000	2011/08/10	2012/02/28	50.00
65549	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	200,000,000	2011/08/10	2012/04/27	50.00
65550	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 F	200,000,000	2011/08/10	2012/02/28	50.00
65551	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 G	200,000,000	2011/08/10	2012/02/28	50.00
65552	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 H	200,000,000	2011/08/10	2012/02/28	50.00
65553	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 I	200,000,000	2011/08/10	2012/02/28	50.00
65554	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 J	200,000,000	2011/08/10	2012/02/28	50.00
65545	Credit Suisse AG – HSI R Bull CBBC Dec 2011 J	300,000,000	2011/08/10	2011/12/29	75.00
65546	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	300,000,000	2011/08/10	2012/01/30	75.00
65547	Credit Suisse AG – HSI R Bull CBBC Feb 2012 J	300,000,000	2011/08/10	2012/02/28	75.00
65543	Credit Suisse AG – HSI R Bear CBBC Nov 2011 F	300,000,000	2011/08/10	2011/11/29	75.00
65542	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/08/10	2011/11/29	75.00
65544	Credit Suisse AG – HSI R Bear CBBC Dec 2011 I	300,000,000	2011/08/10	2011/12/29	75.00
65558	Credit Suisse AG – HSI R Bear CBBC Dec 2011 L	300,000,000	2011/08/10	2011/12/29	75.00
65566	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 I	128,000,000	2011/08/10	2011/12/29	32.00
65567	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 O	108,000,000	2011/08/10	2011/11/29	27.00
65568	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 J	188,000,000	2011/08/10	2011/12/29	47.00
65518	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11P	300,000,000	2011/08/10	2011/11/29	75.00
65508	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12D	300,000,000	2011/08/10	2012/01/30	75.00
65509	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12E	300,000,000	2011/08/10	2012/01/30	75.00
65510	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12F	300,000,000	2011/08/10	2012/01/30	75.00
65511	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12G	300,000,000	2011/08/10	2012/01/30	75.00
65512	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12H	300,000,000	2011/08/10	2012/01/30	75.00

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65517	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12I	300,000,000	2011/08/10	2012/01/30	75.00
65519	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11U	300,000,000	2011/08/10	2011/12/29	75.00
65520	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11V	300,000,000	2011/08/10	2011/12/29	75.00
65521	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11W	300,000,000	2011/08/10	2011/12/29	75.00
65522	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11X	300,000,000	2011/08/10	2011/12/29	75.00
65523	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11Y	300,000,000	2011/08/10	2011/12/29	75.00
65525	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11Z	300,000,000	2011/08/10	2011/12/29	75.00
65528	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12J	300,000,000	2011/08/10	2012/01/30	75.00
65533	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12K	300,000,000	2011/08/10	2012/01/30	75.00
65534	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12L	300,000,000	2011/08/10	2012/01/30	75.00
65539	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12M	300,000,000	2011/08/10	2012/01/30	75.00
65541	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12N	300,000,000	2011/08/10	2012/01/30	75.00
65569	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12O	300,000,000	2011/08/10	2012/01/30	88.50
65505	UBS AG – HSI R Bull CBBC Nov 2011 H	300,000,000	2011/08/10	2011/11/29	75.00
65506	UBS AG – HSI R Bull CBBC Dec 2011 R	300,000,000	2011/08/10	2011/12/29	75.00
65507	UBS AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/08/10	2012/01/30	75.00
65559	UBS AG – HSI R Bear CBBC Nov 2011 J	300,000,000	2011/08/10	2011/11/29	75.00
65560	UBS AG – HSI R Bear CBBC Dec 2011 T	300,000,000	2011/08/10	2011/12/29	75.00
65562	UBS AG – HSI R Bear CBBC Jan 2012 M	300,000,000	2011/08/10	2012/01/30	75.00
65563	UBS AG – HSI R Bear CBBC Mar 2012 A	300,000,000	2011/08/10	2012/03/29	75.00
65598	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 K	200,000,000	2011/08/11	2012/02/28	50.00
65597	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/08/11	2012/01/30	75.00
65595	Credit Suisse AG – HSI R Bear CBBC Nov 2011 D	300,000,000	2011/08/11	2011/11/29	75.00
65590	Credit Suisse AG – HSI R Bear CBBC Nov 2011 G	300,000,000	2011/08/11	2011/11/29	75.00
65594	Credit Suisse AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/08/11	2011/11/29	75.00
65572	HK Bank – Cheung Kong R Bull CBBC Jun 2012 B	80,000,000	2011/08/11	2012/06/28	20.00
65571	HK Bank – Cheung Kong R Bear CBBC Jun 2012 A	80,000,000	2011/08/11	2012/06/28	20.00
65574	HK Bank – A50 R Bull CBBC Mar 2012 A	100,000,000	2011/08/11	2012/03/28	25.00
65573	HK Bank – A50 R Bull CBBC Jun 2012 A	100,000,000	2011/08/11	2012/06/18	25.00
65578	HK Bank – HSI R Bull CBBC Mar 2012 A	100,000,000	2011/08/11	2012/03/29	25.00
65576	HK Bank – HSI R Bear CBBC Apr 2012 A	100,000,000	2011/08/11	2012/04/27	25.00
65580	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 K	128,000,000	2011/08/11	2011/12/29	32.00
65575	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12P	300,000,000	2011/08/11	2012/01/30	75.00
65587	UBS AG – China Life R Bear CBBC Feb 2012 A	100,000,000	2011/08/11	2012/02/06	26.00
65586	UBS AG – A50 China R Bear CBBC Feb 2012 A	100,000,000	2011/08/11	2012/02/13	25.00
65570	UBS AG – HSI R Bull CBBC Nov 2011 I	300,000,000	2011/08/11	2011/11/29	75.00
65584	UBS AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/08/11	2012/01/30	75.00
65585	UBS AG – HSI R Bull CBBC Jan 2012 O	300,000,000	2011/08/11	2012/01/30	75.00
65581	UBS AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/08/11	2011/11/29	75.00
65583	UBS AG – HSI R Bear CBBC Nov 2011 O	300,000,000	2011/08/11	2011/11/29	75.00
65582	UBS AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/08/11	2011/12/29	75.00
64947 #	HK Bank – HSI R Bull CBBC Jan 2012 D	150,000,000	2011/08/11	2012/01/30	16.50
65720	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Apr 2012 A	80,000,000	2011/08/12	2012/04/30	20.00
65660	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 B	200,000,000	2011/08/12	2012/04/27	50.00
65661	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 C	200,000,000	2011/08/12	2012/04/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
65662	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	200,000,000	2011/08/12	2012/04/27	50.00
65663	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	200,000,000	2011/08/12	2012/04/27	50.00
65664	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 F	200,000,000	2011/08/12	2012/04/27	50.00
65665	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/08/12	2012/04/27	50.00
65667	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/08/12	2012/04/27	50.00
65668	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/08/12	2012/04/27	50.00
65669	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 J	200,000,000	2011/08/12	2012/04/27	50.00
65671	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 L	200,000,000	2011/08/12	2012/02/28	50.00
65672	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 M	200,000,000	2011/08/12	2012/02/28	50.00
65674	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 N	200,000,000	2011/08/12	2012/02/28	50.00
65675	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 O	200,000,000	2011/08/12	2012/02/28	50.00
65676	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 P	200,000,000	2011/08/12	2012/02/28	50.00
65677	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Q	200,000,000	2011/08/12	2012/02/28	50.00
65678	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 R	200,000,000	2011/08/12	2012/02/28	50.00
65683	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 S	200,000,000	2011/08/12	2012/02/28	50.00
65684	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 T	200,000,000	2011/08/12	2012/02/28	50.00
65685	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 U	200,000,000	2011/08/12	2012/02/28	50.00
65686	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 V	200,000,000	2011/08/12	2012/02/28	50.00
65638	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	300,000,000	2011/08/12	2012/01/30	75.00
65639	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/08/12	2012/01/30	75.00
65656	Credit Suisse AG – HSI R Bull CBBC Jan 2012 M	300,000,000	2011/08/12	2012/01/30	75.00
65658	Credit Suisse AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/08/12	2012/01/30	75.00
65640	Credit Suisse AG – HSI R Bull CBBC Feb 2012 K	300,000,000	2011/08/12	2012/02/28	75.00
65641	Credit Suisse AG – HSI R Bull CBBC Feb 2012 L	300,000,000	2011/08/12	2012/02/28	75.00
65642	Credit Suisse AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/08/12	2012/03/29	91.50
65643	Credit Suisse AG – HSI R Bull CBBC Mar 2012 F	300,000,000	2011/08/12	2012/03/29	85.50
65649	Credit Suisse AG – HSI R Bull CBBC Mar 2012 G	300,000,000	2011/08/12	2012/03/29	79.50
65650	Credit Suisse AG – HSI R Bull CBBC Mar 2012 H	300,000,000	2011/08/12	2012/03/29	75.00
65651	Credit Suisse AG – HSI R Bull CBBC Apr 2012 A	300,000,000	2011/08/12	2012/04/27	84.00
65652	Credit Suisse AG – HSI R Bull CBBC Apr 2012 B	300,000,000	2011/08/12	2012/04/27	79.50
65653	Credit Suisse AG – HSI R Bull CBBC Apr 2012 C	300,000,000	2011/08/12	2012/04/27	75.00
65654	Credit Suisse AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2011/08/12	2012/04/27	75.00
65655	Credit Suisse AG – HSI R Bull CBBC Apr 2012 E	300,000,000	2011/08/12	2012/04/27	75.00
65633	Credit Suisse AG – HSI R Bear CBBC Nov 2011 E	300,000,000	2011/08/12	2011/11/29	75.00
65634	Credit Suisse AG – HSI R Bear CBBC Nov 2011 N	300,000,000	2011/08/12	2011/11/29	75.00
65635	Credit Suisse AG – HSI R Bear CBBC Nov 2011 O	300,000,000	2011/08/12	2011/11/29	75.00
65636	Credit Suisse AG – HSI R Bear CBBC Nov 2011 R	300,000,000	2011/08/12	2011/11/29	75.00
65637	Credit Suisse AG – HSI R Bear CBBC Dec 2011 M	300,000,000	2011/08/12	2011/12/29	75.00
65608	HK Bank – A50 R Bull CBBC Jun 2012 B	100,000,000	2011/08/12	2012/06/18	25.00
65609	HK Bank – HSI R Bull CBBC May 2012 A	100,000,000	2011/08/12	2012/05/30	25.00
65610	HK Bank – HSI R Bull CBBC May 2012 B	100,000,000	2011/08/12	2012/05/30	25.00
65611	HK Bank – HSI R Bear CBBC Apr 2012 B	100,000,000	2011/08/12	2012/04/27	25.00
65659	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2011 D	200,000,000	2011/08/12	2011/12/29	50.00
65629	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2011 C	200,000,000	2011/08/12	2011/12/29	50.00
65612	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 G	250,000,000	2011/08/12	2011/12/29	62.50

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65614	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 H	250,000,000	2011/08/12	2011/12/29	62.50
65615	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 I	250,000,000	2011/08/12	2011/12/29	62.50
65616	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 J	250,000,000	2011/08/12	2011/12/29	62.50
65617	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 K	250,000,000	2011/08/12	2011/12/29	62.50
65627	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 L	250,000,000	2011/08/12	2011/12/29	62.50
65599	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Q	300,000,000	2011/08/12	2012/01/30	75.00
65600	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12R	300,000,000	2011/08/12	2012/01/30	75.00
65601	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12S	300,000,000	2011/08/12	2012/01/30	75.00
65602	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12T	300,000,000	2011/08/12	2012/01/30	75.00
65696	UBS AG – CNOOC R Bull CBBC Feb 2012 A	100,000,000	2011/08/12	2012/02/20	25.00
65694	UBS AG – Sinopec Corp R Bull CBBC Feb 2012 B	100,000,000	2011/08/12	2012/02/13	25.00
65603	UBS AG – HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/08/12	2011/11/29	75.00
65605	UBS AG – HSI R Bull CBBC Dec 2011 P	300,000,000	2011/08/12	2011/12/29	75.00
65606	UBS AG – HSI R Bull CBBC Dec 2011 U	300,000,000	2011/08/12	2011/12/29	75.00
65604	UBS AG – HSI R Bull CBBC Dec 2011 W	300,000,000	2011/08/12	2011/12/29	75.00
65607	UBS AG – HSI R Bull CBBC Jan 2012 P	300,000,000	2011/08/12	2012/01/30	75.00
65702	UBS AG – HSI R Bull CBBC Jan 2012 R	300,000,000	2011/08/12	2012/01/30	75.00
65703	UBS AG – HSI R Bull CBBC Jan 2012 S	300,000,000	2011/08/12	2012/01/30	75.00
65706	UBS AG – HSI R Bull CBBC Feb 2012 B	300,000,000	2011/08/12	2012/02/28	75.00
65707	UBS AG – HSI R Bull CBBC Feb 2012 C	300,000,000	2011/08/12	2012/02/28	75.00
65690	UBS AG – HSI R Bull CBBC Mar 2012 B	300,000,000	2011/08/12	2012/03/29	136.50
65710	UBS AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/12	2011/11/29	75.00
65714	UBS AG – HSI R Bear CBBC Nov 2011 U	300,000,000	2011/08/12	2011/11/29	75.00
65715	UBS AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/08/12	2011/12/29	75.00
65693	UBS AG – HSI R Bear CBBC Jan 2012 Q	300,000,000	2011/08/12	2012/01/30	75.00
65718	UBS AG – HSI R Bear CBBC Jan 2012 T	300,000,000	2011/08/12	2012/01/30	75.00
65698	UBS AG – Ping An R Bull CBBC Feb 2012 A	100,000,000	2011/08/12	2012/02/20	25.00
63437 [#]	UBS AG – HSI R Bull CBBC Oct 2011 A	300,000,000	2011/08/12	2011/10/28	51.30
63438 [#]	UBS AG – HSI R Bull CBBC Oct 2011 B	300,000,000	2011/08/12	2011/10/28	35.70
64469 [#]	UBS AG – HSI R Bull CBBC Dec 2011 B	1,200,000,000	2011/08/12	2011/12/29	68.40
65721	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 K	200,000,000	2011/08/15	2012/04/27	60.00
65762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	200,000,000	2011/08/15	2012/04/27	50.00
65763	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 M	200,000,000	2011/08/15	2012/04/27	50.00
65764	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 N	200,000,000	2011/08/15	2012/04/27	50.00
65766	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 O	200,000,000	2011/08/15	2012/04/27	50.00
65767	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 P	200,000,000	2011/08/15	2012/04/27	50.00
65768	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Q	200,000,000	2011/08/15	2012/04/27	50.00
65769	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 R	200,000,000	2011/08/15	2012/04/27	50.00
65771	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 S	200,000,000	2011/08/15	2012/04/27	50.00
65772	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 T	200,000,000	2011/08/15	2012/04/27	50.00
65774	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	200,000,000	2011/08/15	2012/04/27	50.00
65727	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	200,000,000	2011/08/15	2012/02/28	50.00
65858	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 W	200,000,000	2011/08/15	2012/02/28	50.00
65725	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Y	200,000,000	2011/08/15	2012/02/28	50.00
65726	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Z	200,000,000	2011/08/15	2012/02/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65792	Credit Suisse AG – HSI R Bull CBBC Dec 2011 U	300,000,000	2011/08/15	2011/12/29	75.00
65793	Credit Suisse AG – HSI R Bull CBBC Jan 2012 W	300,000,000	2011/08/15	2012/01/30	75.00
65801	Credit Suisse AG – HSI R Bull CBBC Feb 2012 M	300,000,000	2011/08/15	2012/02/28	75.00
65806	Credit Suisse AG – HSI R Bull CBBC Mar 2012 I	300,000,000	2011/08/15	2012/03/29	93.00
65807	Credit Suisse AG – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/08/15	2012/04/27	87.00
65808	Credit Suisse AG – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/08/15	2012/04/27	81.00
65809	Credit Suisse AG – HSI R Bull CBBC Apr 2012 H	300,000,000	2011/08/15	2012/04/27	75.00
65810	Credit Suisse AG – HSI R Bull CBBC Apr 2012 I	300,000,000	2011/08/15	2012/04/27	75.00
65815	Credit Suisse AG – HSI R Bull CBBC May 2012 A	300,000,000	2011/08/15	2012/05/30	90.00
65816	Credit Suisse AG – HSI R Bull CBBC May 2012 B	300,000,000	2011/08/15	2012/05/30	81.00
65783	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/08/15	2011/11/29	75.00
65784	Credit Suisse AG – HSI R Bear CBBC Nov 2011 S	300,000,000	2011/08/15	2011/11/29	75.00
65785	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	300,000,000	2011/08/15	2011/12/29	75.00
65788	Credit Suisse AG – HSI R Bear CBBC Dec 2011 R	300,000,000	2011/08/15	2011/12/29	75.00
65789	Credit Suisse AG – HSI R Bear CBBC Dec 2011 T	300,000,000	2011/08/15	2011/12/29	75.00
65790	Credit Suisse AG – HSI R Bear CBBC Jan 2012 S	300,000,000	2011/08/15	2012/01/30	75.00
65791	Credit Suisse AG – HSI R Bear CBBC Jan 2012 V	300,000,000	2011/08/15	2012/01/30	75.00
65870	HK Bank – A50 R Bull CBBC Jun 2012 C	100,000,000	2011/08/15	2012/06/18	25.00
65869	HK Bank – A50 R Bull CBBC Aug 2012 A	100,000,000	2011/08/15	2012/08/16	25.00
65871	HK Bank – HSI R Bull CBBC Apr 2012 C	100,000,000	2011/08/15	2012/04/27	25.00
65881	HK Bank – HSI R Bear CBBC Apr 2012 D	100,000,000	2011/08/15	2012/04/27	25.00
65882	HK Bank – HSI R Bear CBBC Apr 2012 E	100,000,000	2011/08/15	2012/04/27	25.00
65873	HK Bank – HSI R Bear CBBC May 2012 C	100,000,000	2011/08/15	2012/05/30	25.00
65872	HK Bank – HSI R Bear CBBC Jun 2012 A	100,000,000	2011/08/15	2012/06/28	25.00
65753	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12U	300,000,000	2011/08/15	2012/01/30	75.00
65754	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12V	300,000,000	2011/08/15	2012/01/30	75.00
65756	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12W	300,000,000	2011/08/15	2012/01/30	75.00
65782	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12X	300,000,000	2011/08/15	2012/01/30	75.00
65729	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12A	300,000,000	2011/08/15	2012/03/29	120.00
65734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12B	300,000,000	2011/08/15	2012/03/29	94.50
65736	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12C	300,000,000	2011/08/15	2012/03/29	105.00
65775	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12D	300,000,000	2011/08/15	2012/03/29	132.00
65776	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12E	300,000,000	2011/08/15	2012/03/29	103.50
65777	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12F	300,000,000	2011/08/15	2012/03/29	117.00
65781	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12G	300,000,000	2011/08/15	2012/03/29	109.50
65737	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12A	300,000,000	2011/08/15	2012/02/28	75.00
65740	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12B	300,000,000	2011/08/15	2012/02/28	75.00
65757	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12C	300,000,000	2011/08/15	2012/02/28	75.00
65758	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12D	300,000,000	2011/08/15	2012/02/28	75.00
65759	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12E	300,000,000	2011/08/15	2012/02/28	75.00
65760	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12F	300,000,000	2011/08/15	2012/02/28	75.00
65860	UBS AG – CC Bank R Bull CBBC Feb 2012 A	100,000,000	2011/08/15	2012/02/27	25.00
65868	UBS AG – China Life R Bull CBBC Feb 2012 B	100,000,000	2011/08/15	2012/02/13	48.00
65859	UBS AG – CNOOC R Bull CBBC Feb 2012 B	100,000,000	2011/08/15	2012/02/27	28.50
65864	UBS AG – Sinopec Corp R Bull CBBC Feb 2012 C	100,000,000	2011/08/15	2012/02/27	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65867	UBS AG – A50 China R Bull CBBC Feb 2012 B	100,000,000	2011/08/15	2012/02/27	25.00
65862	UBS AG – HSBC R Bull CBBC Feb 2012 A	100,000,000	2011/08/15	2012/02/20	25.00
65746	UBS AG – HSI R Bull CBBC Nov 2011 A	300,000,000	2011/08/15	2011/11/29	75.00
65749	UBS AG – HSI R Bull CBBC Nov 2011 E	300,000,000	2011/08/15	2011/11/29	75.00
65744	UBS AG – HSI R Bull CBBC Dec 2011 X	300,000,000	2011/08/15	2011/12/29	75.00
65745	UBS AG – HSI R Bull CBBC Jan 2012 U	300,000,000	2011/08/15	2012/01/30	75.00
65837	UBS AG – HSI R Bull CBBC Jan 2012 V	300,000,000	2011/08/15	2012/01/30	75.00
65824	UBS AG – HSI R Bull CBBC Feb 2012 D	300,000,000	2011/08/15	2012/02/28	75.00
65833	UBS AG – HSI R Bull CBBC Feb 2012 E	300,000,000	2011/08/15	2012/02/28	75.00
65838	UBS AG – HSI R Bull CBBC Feb 2012 F	300,000,000	2011/08/15	2012/02/28	75.00
65820	UBS AG – HSI R Bull CBBC Mar 2012 C	300,000,000	2011/08/15	2012/03/29	145.50
65822	UBS AG – HSI R Bull CBBC Mar 2012 D	300,000,000	2011/08/15	2012/03/29	108.00
65831	UBS AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/08/15	2012/03/29	75.00
65819	UBS AG – HSI R Bull CBBC Apr 2012 A	300,000,000	2011/08/15	2012/04/27	165.00
65818	UBS AG – HSI R Bull CBBC May 2012 A	300,000,000	2011/08/15	2012/05/30	75.00
65817	UBS AG – HSI R Bull CBBC Jun 2012 A	300,000,000	2011/08/15	2012/06/28	75.00
65851	UBS AG – HSI R Bear CBBC Nov 2011 R	300,000,000	2011/08/15	2011/11/29	75.00
65857	UBS AG – HSI R Bear CBBC Nov 2011 S	300,000,000	2011/08/15	2011/11/29	75.00
65849	UBS AG – HSI R Bear CBBC Dec 2011 C	300,000,000	2011/08/15	2011/12/29	75.00
65853	UBS AG – HSI R Bear CBBC Dec 2011 D	300,000,000	2011/08/15	2011/12/29	75.00
65847	UBS AG – HSI R Bear CBBC Dec 2011 Y	300,000,000	2011/08/15	2011/12/29	75.00
65844	UBS AG – HSI R Bear CBBC Jan 2012 W	300,000,000	2011/08/15	2012/01/30	75.00
65850	UBS AG – HSI R Bear CBBC Jan 2012 X	300,000,000	2011/08/15	2012/01/30	75.00
65846	UBS AG – HSI R Bear CBBC Feb 2012 G	300,000,000	2011/08/15	2012/02/28	75.00
65964	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 V	200,000,000	2011/08/16	2012/04/27	50.00
65966	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 W	200,000,000	2011/08/16	2012/04/27	50.00
65971	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 X	200,000,000	2011/08/16	2012/02/28	50.00
66024	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 A	200,000,000	2011/08/16	2012/03/29	50.00
66025	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 B	200,000,000	2011/08/16	2012/03/29	50.00
66026	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 C	200,000,000	2011/08/16	2012/03/29	50.00
66027	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 D	200,000,000	2011/08/16	2012/03/29	50.00
65972	Credit Suisse AG – HKEx R Bull CBBC Dec 2011 A	100,000,000	2011/08/16	2011/12/19	25.00
65974	Credit Suisse AG – HKEx R Bear CBBC Dec 2011 B	100,000,000	2011/08/16	2011/12/19	25.00
65918	Credit Suisse AG – HSI R Bull CBBC Jan 2012 B	300,000,000	2011/08/16	2012/01/30	75.00
65919	Credit Suisse AG – HSI R Bull CBBC Jan 2012 C	300,000,000	2011/08/16	2012/01/30	75.00
65920	Credit Suisse AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/08/16	2012/01/30	75.00
65921	Credit Suisse AG – HSI R Bull CBBC Jan 2012 O	300,000,000	2011/08/16	2012/01/30	75.00
65922	Credit Suisse AG – HSI R Bull CBBC Jan 2012 P	300,000,000	2011/08/16	2012/01/30	75.00
65909	Credit Suisse AG – HSI R Bull CBBC Jan 2012 T	300,000,000	2011/08/16	2012/01/30	75.00
65913	Credit Suisse AG – HSI R Bull CBBC Jan 2012 U	300,000,000	2011/08/16	2012/01/30	75.00
65917	Credit Suisse AG – HSI R Bull CBBC Jan 2012 X	300,000,000	2011/08/16	2012/01/30	75.00
65923	Credit Suisse AG – HSI R Bull CBBC Feb 2012 N	300,000,000	2011/08/16	2012/02/28	78.00
65924	Credit Suisse AG – HSI R Bull CBBC Feb 2012 O	300,000,000	2011/08/16	2012/02/28	75.00
65925	Credit Suisse AG – HSI R Bull CBBC Feb 2012 P	300,000,000	2011/08/16	2012/02/28	75.00
65926	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Q	300,000,000	2011/08/16	2012/02/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65928	Credit Suisse AG – HSI R Bull CBBC Feb 2012 R	300,000,000	2011/08/16	2012/02/28	75.00
65936	Credit Suisse AG – HSI R Bull CBBC Feb 2012 S	300,000,000	2011/08/16	2012/02/28	75.00
65939	Credit Suisse AG – HSI R Bull CBBC Feb 2012 T	300,000,000	2011/08/16	2012/02/28	75.00
65940	Credit Suisse AG – HSI R Bull CBBC Feb 2012 U	300,000,000	2011/08/16	2012/02/28	75.00
65941	Credit Suisse AG – HSI R Bull CBBC Feb 2012 V	300,000,000	2011/08/16	2012/02/28	75.00
65944	Credit Suisse AG – HSI R Bull CBBC Mar 2012 J	300,000,000	2011/08/16	2012/03/29	75.00
65945	Credit Suisse AG – HSI R Bull CBBC Mar 2012 K	300,000,000	2011/08/16	2012/03/29	75.00
65947	Credit Suisse AG – HSI R Bull CBBC Mar 2012 L	300,000,000	2011/08/16	2012/03/29	75.00
65948	Credit Suisse AG – HSI R Bull CBBC Mar 2012 M	300,000,000	2011/08/16	2012/03/29	75.00
65949	Credit Suisse AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/08/16	2012/03/29	75.00
65950	Credit Suisse AG – HSI R Bull CBBC Mar 2012 O	300,000,000	2011/08/16	2012/03/29	75.00
65951	Credit Suisse AG – HSI R Bull CBBC Mar 2012 P	300,000,000	2011/08/16	2012/03/29	75.00
65952	Credit Suisse AG – HSI R Bull CBBC Apr 2012 J	300,000,000	2011/08/16	2012/04/27	108.00
65953	Credit Suisse AG – HSI R Bull CBBC Apr 2012 K	300,000,000	2011/08/16	2012/04/27	75.00
65954	Credit Suisse AG – HSI R Bull CBBC Apr 2012 L	300,000,000	2011/08/16	2012/04/27	75.00
65955	Credit Suisse AG – HSI R Bull CBBC May 2012 C	300,000,000	2011/08/16	2012/05/30	75.00
65957	Credit Suisse AG – HSI R Bull CBBC Jun 2012 D	300,000,000	2011/08/16	2012/06/28	96.00
65958	Credit Suisse AG – HSI R Bull CBBC Jun 2012 E	300,000,000	2011/08/16	2012/06/28	84.00
65959	Credit Suisse AG – HSI R Bull CBBC Jun 2012 F	300,000,000	2011/08/16	2012/06/28	75.00
65960	Credit Suisse AG – HSI R Bull CBBC Jun 2012 G	300,000,000	2011/08/16	2012/06/28	75.00
65961	Credit Suisse AG – HSI R Bull CBBC Jun 2012 H	300,000,000	2011/08/16	2012/06/28	75.00
65962	Credit Suisse AG – HSI R Bull CBBC Jun 2012 I	300,000,000	2011/08/16	2012/06/28	75.00
65899	Credit Suisse AG – HSI R Bear CBBC Nov 2011 J	300,000,000	2011/08/16	2011/11/29	75.00
65897	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/16	2011/11/29	75.00
65896	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	300,000,000	2011/08/16	2011/11/29	75.00
65898	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Y	300,000,000	2011/08/16	2011/11/29	75.00
65900	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	300,000,000	2011/08/16	2011/12/29	75.00
65901	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	300,000,000	2011/08/16	2011/12/29	75.00
65902	Credit Suisse AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/08/16	2011/12/29	75.00
65903	Credit Suisse AG – HSI R Bear CBBC Dec 2011 W	300,000,000	2011/08/16	2011/12/29	75.00
65904	Credit Suisse AG – HSI R Bear CBBC Jun 2012 A	300,000,000	2011/08/16	2012/06/28	75.00
65905	Credit Suisse AG – HSI R Bear CBBC Jun 2012 B	300,000,000	2011/08/16	2012/06/28	75.00
65906	Credit Suisse AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2011/08/16	2012/06/28	75.00
65975	Credit Suisse AG – TCH R Bear CBBC Nov 2011 I	100,000,000	2011/08/16	2011/11/28	25.00
65976	Credit Suisse AG – TCH R Bear CBBC Nov 2011 J	100,000,000	2011/08/16	2011/11/28	25.00
65977	Credit Suisse AG – TCH R Bear CBBC Dec 2011 C	100,000,000	2011/08/16	2011/12/19	27.00
65884	HK Bank – HSI R Bull CBBC Jul 2012 A	100,000,000	2011/08/16	2012/07/30	25.00
65883	HK Bank – HSI R Bull CBBC Aug 2012 A	100,000,000	2011/08/16	2012/08/30	25.00
65886	HK Bank – HSI R Bull CBBC Aug 2012 B	100,000,000	2011/08/16	2012/08/30	25.00
65885	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2012 C	200,000,000	2011/08/16	2012/01/30	50.00
66023	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2011 D	80,000,000	2011/08/16	2011/12/16	20.00
66022	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2011 C	80,000,000	2011/08/16	2011/12/16	20.00
65890	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12H	300,000,000	2011/08/16	2012/03/29	75.00
65891	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12I	300,000,000	2011/08/16	2012/03/29	75.00
65887	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12A	300,000,000	2011/08/16	2012/04/27	136.50

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65889	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12B	300,000,000	2011/08/16	2012/04/27	118.50
65892	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12G	300,000,000	2011/08/16	2012/02/28	75.00
65893	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12H	300,000,000	2011/08/16	2012/02/28	75.00
65894	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12I	300,000,000	2011/08/16	2012/02/28	75.00
65895	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12J	300,000,000	2011/08/16	2012/02/28	79.50
66032	UBS AG – CC Bank R Bull CBBC Feb 2012 B	100,000,000	2011/08/16	2012/02/20	25.00
66033	UBS AG – CNOOC R Bull CBBC Mar 2012 A	100,000,000	2011/08/16	2012/03/19	26.50
66036	UBS AG – A50 China R Bull CBBC Feb 2012 C	100,000,000	2011/08/16	2012/02/20	25.00
66035	UBS AG – HKEx R Bull CBBC Feb 2012 A	100,000,000	2011/08/16	2012/02/27	25.00
66011	UBS AG – HSCEI R Bull CBBC Feb 2012 A	100,000,000	2011/08/16	2012/02/28	25.00
66017	UBS AG – HSI R Bull CBBC Nov 2011 X	300,000,000	2011/08/16	2011/11/29	75.00
66012	UBS AG – HSI R Bull CBBC Dec 2011 K	300,000,000	2011/08/16	2011/12/29	75.00
66020	UBS AG – HSI R Bull CBBC Dec 2011 L	300,000,000	2011/08/16	2011/12/29	75.00
66019	UBS AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/08/16	2012/01/30	75.00
66018	UBS AG – HSI R Bull CBBC Jan 2012 Z	300,000,000	2011/08/16	2012/01/30	75.00
66010	UBS AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/08/16	2012/02/28	75.00
66014	UBS AG – HSI R Bull CBBC Feb 2012 J	300,000,000	2011/08/16	2012/02/28	75.00
66004	UBS AG – HSI R Bull CBBC Mar 2012 I	300,000,000	2011/08/16	2012/03/29	75.00
66005	UBS AG – HSI R Bull CBBC Mar 2012 J	300,000,000	2011/08/16	2012/03/29	75.00
66009	UBS AG – HSI R Bull CBBC Mar 2012 K	300,000,000	2011/08/16	2012/03/29	75.00
66001	UBS AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2011/08/16	2012/04/27	75.00
66003	UBS AG – HSI R Bull CBBC Apr 2012 E	300,000,000	2011/08/16	2012/04/27	75.00
66007	UBS AG – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/08/16	2012/04/27	75.00
66008	UBS AG – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/08/16	2012/04/27	75.00
65999	UBS AG – HSI R Bull CBBC May 2012 B	300,000,000	2011/08/16	2012/05/30	165.00
66000	UBS AG – HSI R Bull CBBC May 2012 C	300,000,000	2011/08/16	2012/05/30	124.50
65986	UBS AG – HSI R Bear CBBC Dec 2011 H	300,000,000	2011/08/16	2011/12/29	75.00
65992	UBS AG – HSI R Bear CBBC Dec 2011 J	300,000,000	2011/08/16	2011/12/29	75.00
65991	UBS AG – HSI R Bear CBBC Jan 2012 Y	300,000,000	2011/08/16	2012/01/30	75.00
65998	UBS AG – HSI R Bear CBBC Feb 2012 H	300,000,000	2011/08/16	2012/02/28	75.00
65981	UBS AG – HSI R Bear CBBC Mar 2012 F	300,000,000	2011/08/16	2012/03/29	75.00
65984	UBS AG – HSI R Bear CBBC Mar 2012 G	300,000,000	2011/08/16	2012/03/29	75.00
65987	UBS AG – HSI R Bear CBBC Mar 2012 H	300,000,000	2011/08/16	2012/03/29	75.00
65978	UBS AG – HSI R Bear CBBC Apr 2012 B	300,000,000	2011/08/16	2012/04/27	75.00
65985	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2011/08/16	2012/04/27	75.00
66044	UBS AG – Hutchison R Bull CBBC Feb 2012 C	100,000,000	2011/08/16	2012/02/20	25.00
66039	UBS AG – ICBC R Bull CBBC Feb 2012 A	100,000,000	2011/08/16	2012/02/13	25.00
64955 #	Credit Suisse AG – HSI R Bull CBBC Mar 2012 A	300,000,000	2011/08/16	2012/03/29	34.20
66092	Credit Suisse AG – ABC R Bull CBBC Mar 2012 A	100,000,000	2011/08/17	2012/03/26	25.00
66096	Credit Suisse AG – AIA R Bull CBBC Dec 2011 A	100,000,000	2011/08/17	2011/12/19	26.00
66119	Credit Suisse AG – AIA R Bear CBBC Dec 2011 B	100,000,000	2011/08/17	2011/12/19	37.00
66091	Credit Suisse AG – CCB R Bull CBBC Jan 2012 A	100,000,000	2011/08/17	2012/01/16	25.00
66097	Credit Suisse AG – CNBM R Bull CBBC Dec 2011 A	100,000,000	2011/08/17	2011/12/19	26.00
66099	Credit Suisse AG – Evergrande R Bull CBBC Jan 2012 A	100,000,000	2011/08/17	2012/01/16	25.00
66111	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Mar 2012 A	100,000,000	2011/08/17	2012/03/12	25.00

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66112	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Mar 2012 B	100,000,000	2011/08/17	2012/03/26	25.00
66076	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Q	300,000,000	2011/08/17	2012/01/30	75.00
66077	Credit Suisse AG – HSI R Bull CBBC Jan 2012 R	300,000,000	2011/08/17	2012/01/30	75.00
66078	Credit Suisse AG – HSI R Bull CBBC Feb 2012 W	300,000,000	2011/08/17	2012/02/28	75.00
66082	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Q	300,000,000	2011/08/17	2012/03/29	75.00
66086	Credit Suisse AG – HSI R Bear CBBC Dec 2011 D	300,000,000	2011/08/17	2011/12/29	75.00
66087	Credit Suisse AG – HSI R Bear CBBC Dec 2011 G	300,000,000	2011/08/17	2011/12/29	75.00
66083	Credit Suisse AG – HSI R Bear CBBC Dec 2011 X	300,000,000	2011/08/17	2011/12/29	75.00
66089	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Y	300,000,000	2011/08/17	2011/12/29	75.00
66088	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/08/17	2011/12/29	75.00
66090	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 B	100,000,000	2011/08/17	2011/12/19	25.00
66118	Credit Suisse AG – Hutchison R Bear CBBC Dec 2011 C	100,000,000	2011/08/17	2011/12/19	25.00
66059	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 P	108,000,000	2011/08/17	2011/11/29	27.00
66060	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 Q	128,000,000	2011/08/17	2011/11/29	32.00
66062	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 L	128,000,000	2011/08/17	2011/12/29	32.00
66063	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 M	108,000,000	2011/08/17	2011/12/29	27.00
66064	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 D	188,000,000	2011/08/17	2012/01/30	47.00
66065	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 A	188,000,000	2011/08/17	2012/02/28	47.00
66066	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 A	188,000,000	2011/08/17	2012/03/29	47.00
66068	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 R	128,000,000	2011/08/17	2011/11/29	32.00
66069	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 S	108,000,000	2011/08/17	2011/11/29	27.00
66126	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 U	108,000,000	2011/08/17	2011/11/29	27.00
66071	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 N	108,000,000	2011/08/17	2011/12/29	27.00
66073	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 O	188,000,000	2011/08/17	2011/12/29	47.00
66074	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 P	128,000,000	2011/08/17	2011/12/29	32.00
66075	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 E	188,000,000	2011/08/17	2012/01/30	47.00
66131	RB of Scotland N.V. – HSCEI R Bull CBBC Jan 2012 A	250,000,000	2011/08/17	2012/01/30	62.50
66135	RB of Scotland N.V. – HSI R Bull CBBC Jan 2012 B	250,000,000	2011/08/17	2012/01/30	62.50
66136	RB of Scotland N.V. – HSI R Bull CBBC Jan 2012 C	250,000,000	2011/08/17	2012/01/30	62.50
66132	RB of Scotland N.V. – HSI R Bear CBBC Jan 2012 A	250,000,000	2011/08/17	2012/01/30	62.50
66049	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12J	300,000,000	2011/08/17	2012/03/29	75.00
66056	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12K	300,000,000	2011/08/17	2012/03/29	75.00
66057	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12L	300,000,000	2011/08/17	2012/03/29	75.00
66168	Credit Suisse AG – A50 China R Bull CBBC Dec 2011 A	100,000,000	2011/08/18	2011/12/19	25.00
66175	Credit Suisse AG – A50 China R Bear CBBC Dec 2011 B	100,000,000	2011/08/18	2011/12/19	25.00
66166	Credit Suisse AG – HSBC R Bull CBBC Dec 2011 B	100,000,000	2011/08/18	2011/12/19	25.00
66169	Credit Suisse AG – HSBC R Bear CBBC Dec 2011 C	100,000,000	2011/08/18	2011/12/19	25.00
66160	Credit Suisse AG – HSI R Bull CBBC Mar 2012 R	300,000,000	2011/08/18	2012/03/29	87.00
66161	Credit Suisse AG – HSI R Bull CBBC Mar 2012 S	300,000,000	2011/08/18	2012/03/29	105.00
66163	Credit Suisse AG – HSI R Bull CBBC Jul 2012 A	300,000,000	2011/08/18	2012/07/30	75.00
66165	Credit Suisse AG – HSI R Bull CBBC Jul 2012 B	300,000,000	2011/08/18	2012/07/30	114.00
66177	HK Bank – CNOOC R Bear CBBC Jun 2012 B	80,000,000	2011/08/18	2012/06/18	34.40
66176	HK Bank – HSI R Bear CBBC Mar 2012 B	100,000,000	2011/08/18	2012/03/29	25.00
66148	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 A	200,000,000	2011/08/18	2011/12/29	50.00
66151	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 B	200,000,000	2011/08/18	2011/12/29	50.00

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66152	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 C	200,000,000	2011/08/18	2011/12/29	50.00
66149	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 A	200,000,000	2011/08/18	2012/01/30	50.00
66153	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 D	200,000,000	2011/08/18	2011/12/29	50.00
66154	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 E	200,000,000	2011/08/18	2011/12/29	50.00
66155	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 F	200,000,000	2011/08/18	2011/12/29	50.00
66156	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 G	200,000,000	2011/08/18	2011/12/29	50.00
66159	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 H	200,000,000	2011/08/18	2011/12/29	50.00
66150	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 B	200,000,000	2011/08/18	2012/01/30	65.00
66178	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 F	108,000,000	2011/08/18	2012/01/30	34.56
66179	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 G	188,000,000	2011/08/18	2012/01/30	47.00
66180	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 B	128,000,000	2011/08/18	2012/02/28	38.40
66181	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 H	188,000,000	2011/08/18	2012/01/30	47.00
66182	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 C	128,000,000	2011/08/18	2012/02/28	32.00
66183	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 D	108,000,000	2011/08/18	2012/02/28	33.48
66147	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2012 B	200,000,000	2011/08/18	2012/02/28	50.00
66137	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 A	150,000,000	2011/08/18	2012/02/28	37.50
66138	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 B	150,000,000	2011/08/18	2012/02/28	37.50
66139	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 C	150,000,000	2011/08/18	2012/02/28	37.50
66140	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 D	150,000,000	2011/08/18	2012/02/28	37.50
66141	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 E	150,000,000	2011/08/18	2012/02/28	37.50
66142	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 F	150,000,000	2011/08/18	2012/02/28	37.50
66143	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 G	150,000,000	2011/08/18	2012/02/28	37.50
66144	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 H	150,000,000	2011/08/18	2012/02/28	37.50
66145	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 I	150,000,000	2011/08/18	2012/02/28	37.50
66146	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 J	150,000,000	2011/08/18	2012/02/28	37.50
66186	RB of Scotland N.V. – Anhui Conch R Bull CBBC Jun 2012 A	80,000,000	2011/08/18	2012/06/29	20.00
66188	RB of Scotland N.V. – Ping An R Bull CBBC Jun 2012 A	80,000,000	2011/08/18	2012/06/29	20.00
66205	Credit Suisse AG – HSI R Bear CBBC Nov 2011 C	300,000,000	2011/08/19	2011/11/29	75.00
66203	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 P	150,000,000	2011/08/19	2011/12/29	48.00
66204	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Q	150,000,000	2011/08/19	2011/12/29	37.50
66201	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 N	150,000,000	2011/08/19	2011/12/29	43.50
66202	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 O	150,000,000	2011/08/19	2011/12/29	37.50
66195	HK Bank – China Life R Bull CBBC Jul 2012 A	80,000,000	2011/08/19	2012/07/18	20.00
66193	HK Bank – HKEx R Bull CBBC May 2012 A	80,000,000	2011/08/19	2012/05/18	20.00
66199	HK Bank – HSI R Bull CBBC Jun 2012 B	100,000,000	2011/08/19	2012/06/28	25.00
66200	HK Bank – HSI R Bull CBBC May 2013 A	100,000,000	2011/08/19	2013/05/30	25.00
66191	HK Bank – Hutchison R Bull CBBC Jun 2012 A	80,000,000	2011/08/19	2012/06/18	20.00
66194	HK Bank – ICBC R Bull CBBC Jun 2012 C	80,000,000	2011/08/19	2012/06/28	20.00
66192	HK Bank – SHK Ppt R Bull CBBC Apr 2012 B	80,000,000	2011/08/19	2012/04/18	20.00
66189	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12C	300,000,000	2011/08/19	2012/04/27	123.00
66190	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12D	300,000,000	2011/08/19	2012/04/27	111.00
65838 #	UBS AG – HSI R Bull CBBC Feb 2012 F	300,000,000	2011/08/19	2012/02/28	23.40
66219	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	200,000,000	2011/08/22	2012/04/27	50.00
66220	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/08/22	2012/04/27	50.00
66221	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/08/22	2012/04/27	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66222	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/08/22	2012/04/27	50.00
66215	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 X	200,000,000	2011/08/22	2012/04/27	50.00
66216	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/08/22	2012/04/27	50.00
66218	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/08/22	2012/04/27	50.00
66231	Credit Suisse AG – HSI R Bull CBBC Jan 2012 A	300,000,000	2011/08/22	2012/01/30	75.00
66228	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Y	300,000,000	2011/08/22	2012/01/30	75.00
66227	Credit Suisse AG – HSI R Bear CBBC Nov 2011 A	300,000,000	2011/08/22	2011/11/29	75.00
66226	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 C	150,000,000	2011/08/22	2011/11/29	37.50
66223	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 R	150,000,000	2011/08/22	2011/12/29	37.50
66210	HK Bank – CC Bank R Bull CBBC Aug 2012 A	80,000,000	2011/08/22	2012/08/16	20.00
66209	HK Bank – CNOOC R Bull CBBC Jun 2012 C	80,000,000	2011/08/22	2012/06/28	20.00
66214	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12C	300,000,000	2011/08/22	2012/01/30	75.00
66212	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Y	300,000,000	2011/08/22	2012/01/30	75.00
66213	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Z	300,000,000	2011/08/22	2012/01/30	75.00
65693 #	UBS AG – HSI R Bear CBBC Jan 2012 Q	300,000,000	2011/08/22	2012/01/30	22.80
66238	Credit Suisse AG – AIA R Bear CBBC Dec 2011 C	100,000,000	2011/08/23	2011/12/19	47.00
66239	Credit Suisse AG – HSI R Bull CBBC Jan 2012 G	300,000,000	2011/08/23	2012/01/30	75.00
66234	Credit Suisse AG – HSI R Bull CBBC Feb 2012 X	300,000,000	2011/08/23	2012/02/28	75.00
66235	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Y	300,000,000	2011/08/23	2012/02/28	75.00
66236	Credit Suisse AG – HSI R Bull CBBC Mar 2012 T	300,000,000	2011/08/23	2012/03/29	75.00
66232	Credit Suisse AG – HSI R Bear CBBC Nov 2011 B	300,000,000	2011/08/23	2011/11/29	75.00
66237	Credit Suisse AG – TCH R Bull CBBC Dec 2011 D	100,000,000	2011/08/23	2011/12/19	25.00
66017 #	UBS AG – HSI R Bull CBBC Nov 2011 X	300,000,000	2011/08/23	2011/11/29	29.70
66019 #	UBS AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/08/23	2012/01/30	17.10
66249	Credit Suisse AG – HSI R Bull CBBC Jan 2012 E	300,000,000	2011/08/24	2012/01/30	75.00
66251	Credit Suisse AG – HSI R Bull CBBC Feb 2012 H	300,000,000	2011/08/24	2012/02/28	75.00
66250	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Z	300,000,000	2011/08/24	2012/02/28	75.00
66255	Credit Suisse AG – HSI R Bull CBBC May 2012 D	300,000,000	2011/08/24	2012/05/30	111.00
66256	Credit Suisse AG – HSI R Bull CBBC May 2012 E	300,000,000	2011/08/24	2012/05/30	75.00
66244	Credit Suisse AG – HSI R Bear CBBC Dec 2011 C	300,000,000	2011/08/24	2011/12/29	75.00
66245	Credit Suisse AG – HSI R Bear CBBC Dec 2011 H	300,000,000	2011/08/24	2011/12/29	87.00
66246	Credit Suisse AG – HSI R Bear CBBC Dec 2011 K	300,000,000	2011/08/24	2011/12/29	75.00
66247	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Z	300,000,000	2011/08/24	2012/01/30	81.00
66241	HK Bank – Sinopec Corp R Bull CBBC Jul 2012 A	80,000,000	2011/08/24	2012/07/18	20.00
66243	HK Bank – HSI R Bull CBBC May 2012 D	100,000,000	2011/08/24	2012/05/30	25.00
66242	HK Bank – PetCh R Bull CBBC Jun 2012 A	80,000,000	2011/08/24	2012/06/28	20.00
66309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 E	200,000,000	2011/08/25	2012/03/29	50.00
66310	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 F	200,000,000	2011/08/25	2012/03/29	50.00
66311	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 G	200,000,000	2011/08/25	2012/03/29	50.00
66312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 H	200,000,000	2011/08/25	2012/03/29	50.00
66308	Credit Suisse AG – HSI R Bull CBBC Feb 2012 D	300,000,000	2011/08/25	2012/02/28	75.00
66307	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/25	2011/11/29	75.00
66306	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/08/25	2011/11/29	75.00
66299	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 F	150,000,000	2011/08/25	2011/11/29	43.50
66301	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 I	150,000,000	2011/08/25	2011/11/29	37.50

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66305	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 J	150,000,000	2011/08/25	2011/11/29	37.50
66302	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 M	150,000,000	2011/08/25	2011/11/29	40.50
66260	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 D	100,000,000	2011/08/25	2012/02/28	26.50
66263	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 E	100,000,000	2011/08/25	2012/02/28	26.00
66264	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 F	100,000,000	2011/08/25	2012/02/28	25.50
66265	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 G	100,000,000	2011/08/25	2012/02/28	25.00
66266	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 H	100,000,000	2011/08/25	2012/02/28	25.00
66267	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 I	100,000,000	2011/08/25	2012/02/28	25.50
66268	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 J	100,000,000	2011/08/25	2012/02/28	26.00
66269	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 K	100,000,000	2011/08/25	2012/02/28	26.50
66270	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 L	100,000,000	2011/08/25	2012/02/28	27.00
66273	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar 12 A	150,000,000	2011/08/25	2012/03/26	37.50
66274	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar 12 B	150,000,000	2011/08/25	2012/03/26	37.50
66275	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar 12 C	150,000,000	2011/08/25	2012/03/26	37.50
66276	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Mar 12D	150,000,000	2011/08/25	2012/03/26	37.50
66278	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Mar 12E	150,000,000	2011/08/25	2012/03/26	37.50
66293	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 A	300,000,000	2011/08/25	2012/01/30	75.00
66294	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 B	300,000,000	2011/08/25	2012/01/30	75.00
66295	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 D	300,000,000	2011/08/25	2012/01/30	75.00
66296	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12 K	300,000,000	2011/08/25	2012/02/28	75.00
66298	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12 L	300,000,000	2011/08/25	2012/02/28	75.00
66289	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Mar 12 A	150,000,000	2011/08/25	2012/03/26	37.50
66291	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Mar 12 B	150,000,000	2011/08/25	2012/03/26	37.50
66333	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 I	200,000,000	2011/08/26	2012/03/29	50.00
66337	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 J	200,000,000	2011/08/26	2012/03/29	50.00
66338	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 K	200,000,000	2011/08/26	2012/03/29	50.00
66339	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 L	200,000,000	2011/08/26	2012/03/29	50.00
66340	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 M	200,000,000	2011/08/26	2012/03/29	50.00
66343	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 N	200,000,000	2011/08/26	2012/03/29	50.00
66344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 O	200,000,000	2011/08/26	2012/03/29	50.00
66323	Credit Suisse AG – A50 China R Bull CBBC Jan 2012 A	100,000,000	2011/08/26	2012/01/16	25.00
66322	Credit Suisse AG – HKEx R Bull CBBC Jan 2012 B	100,000,000	2011/08/26	2012/01/16	25.00
66321	Credit Suisse AG – HKEx R Bear CBBC Jan 2012 A	100,000,000	2011/08/26	2012/01/16	25.00
66320	Credit Suisse AG – HSI R Bull CBBC Jan 2012 F	300,000,000	2011/08/26	2012/01/30	75.00
66319	Credit Suisse AG – HSI R Bull CBBC Feb 2012 G	300,000,000	2011/08/26	2012/02/28	75.00
66328	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Y	300,000,000	2011/08/26	2011/11/29	75.00
66317	Credit Suisse AG – HSI R Bear CBBC Dec 2011 B	300,000,000	2011/08/26	2011/12/29	75.00
66327	Credit Suisse AG – HSI R Bear CBBC Dec 2011 N	300,000,000	2011/08/26	2011/12/29	75.00
66316	Credit Suisse AG – HSI R Bear CBBC Dec 2011 P	300,000,000	2011/08/26	2011/12/29	75.00
66324	Credit Suisse AG – TCH R Bull CBBC Jan 2012 A	100,000,000	2011/08/26	2012/01/16	25.00
66315	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 N	150,000,000	2011/08/26	2011/11/29	37.50
66314	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 S	150,000,000	2011/08/26	2011/12/29	37.50
66329	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 V	108,000,000	2011/08/26	2011/11/29	27.00
66330	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 W	108,000,000	2011/08/26	2011/11/29	27.00
66331	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 Q	158,000,000	2011/08/26	2011/12/29	39.50

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66332	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 R	128,000,000	2011/08/26	2011/12/29	32.00
66313	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12M	300,000,000	2011/08/26	2012/02/28	75.00
66325	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12N	300,000,000	2011/08/26	2012/02/28	75.00
66326	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12O	300,000,000	2011/08/26	2012/02/28	75.00
66363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	200,000,000	2011/08/29	2012/04/27	50.00
66364	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 C	200,000,000	2011/08/29	2012/04/27	50.00
66365	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	200,000,000	2011/08/29	2012/04/27	50.00
66366	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	200,000,000	2011/08/29	2012/04/27	50.00
66362	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 F	200,000,000	2011/08/29	2012/04/27	50.00
66367	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/08/29	2012/04/27	50.00
66368	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/08/29	2012/04/27	50.00
66359	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 J	200,000,000	2011/08/29	2012/04/27	58.00
66353	Credit Suisse AG – HKE X R Bear CBBC Jan 2012 C	100,000,000	2011/08/29	2012/01/16	25.00
66351	Credit Suisse AG – HSI R Bull CBBC Feb 2012 A	300,000,000	2011/08/29	2012/02/28	75.00
66346	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	300,000,000	2011/08/29	2011/11/29	75.00
66350	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	300,000,000	2011/08/29	2011/12/29	75.00
66355	Credit Suisse AG – HSI R Bear CBBC Dec 2011 J	300,000,000	2011/08/29	2011/12/29	75.00
66354	Credit Suisse AG – TCH R Bull CBBC Jan 2012 C	100,000,000	2011/08/29	2012/01/16	25.00
66352	Credit Suisse AG – TCH R Bear CBBC Jan 2012 B	100,000,000	2011/08/29	2012/01/16	25.00
66410	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 P	200,000,000	2011/08/30	2012/03/29	50.00
66420	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Q	200,000,000	2011/08/30	2012/03/29	50.00
66423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 R	200,000,000	2011/08/30	2012/03/29	50.00
66406	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/08/30	2012/04/27	50.00
66407	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 X	200,000,000	2011/08/30	2012/04/27	50.00
66408	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/08/30	2012/04/27	50.00
66409	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/08/30	2012/04/27	50.00
66398	Credit Suisse AG – HSI R Bull CBBC Jan 2012 H	300,000,000	2011/08/30	2012/01/30	75.00
66403	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	300,000,000	2011/08/30	2012/01/30	75.00
66404	Credit Suisse AG – HSI R Bull CBBC Feb 2012 B	300,000,000	2011/08/30	2012/02/28	75.00
66399	Credit Suisse AG – HSI R Bull CBBC Mar 2012 U	300,000,000	2011/08/30	2012/03/29	75.00
66402	Credit Suisse AG – HSI R Bear CBBC Dec 2011 U	300,000,000	2011/08/30	2011/12/29	75.00
66401	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 U	150,000,000	2011/08/30	2011/12/29	37.50
66400	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 T	150,000,000	2011/08/30	2011/12/29	37.50
66369	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 K	150,000,000	2011/08/30	2012/02/28	37.50
66370	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 L	150,000,000	2011/08/30	2012/02/28	37.50
66386	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12E	300,000,000	2011/08/30	2012/01/30	75.00
66387	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12F	300,000,000	2011/08/30	2012/01/30	75.00
66388	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12G	300,000,000	2011/08/30	2012/01/30	75.00
66371	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12M	300,000,000	2011/08/30	2012/03/29	88.50
66372	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12N	300,000,000	2011/08/30	2012/03/29	81.00
66373	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12O	300,000,000	2011/08/30	2012/03/29	75.00
66375	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12P	300,000,000	2011/08/30	2012/03/29	75.00
66382	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Q	300,000,000	2011/08/30	2012/03/29	75.00
66383	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12R	300,000,000	2011/08/30	2012/03/29	75.00
66384	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12S	300,000,000	2011/08/30	2012/03/29	75.00

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		發行單位	上市日期	到期日	
66389	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12P	300,000,000	2011/08/30	2012/02/28	75.00
66390	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Q	300,000,000	2011/08/30	2012/02/28	75.00
66391	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12R	300,000,000	2011/08/30	2012/02/28	75.00
66392	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12S	300,000,000	2011/08/30	2012/02/28	75.00
66393	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12T	300,000,000	2011/08/30	2012/02/28	75.00
66394	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12U	300,000,000	2011/08/30	2012/02/28	75.00
66395	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12V	300,000,000	2011/08/30	2012/02/28	75.00
66396	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12W	300,000,000	2011/08/30	2012/02/28	75.00
66397	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12X	300,000,000	2011/08/30	2012/02/28	75.00
65984 #	UBS AG – HSI R Bear CBBC Mar 2012 G	300,000,000	2011/08/30	2012/03/29	31.50
66437	Credit Suisse AG – HSBC R Bull CBBC Jan 2012 A	100,000,000	2011/08/31	2012/01/16	25.00
66438	Credit Suisse AG – HSI R Bull CBBC Mar 2012 V	300,000,000	2011/08/31	2012/03/29	75.00
66425	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12H	300,000,000	2011/08/31	2012/01/30	75.00
66427	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12I	300,000,000	2011/08/31	2012/01/30	75.00
66428	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12P	300,000,000	2011/08/31	2012/01/30	75.00
66429	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Q	300,000,000	2011/08/31	2012/01/30	75.00
66433	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12G	300,000,000	2011/08/31	2012/02/28	85.50
66424	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Y	300,000,000	2011/08/31	2012/02/28	78.00
66430	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Z	300,000,000	2011/08/31	2012/02/28	76.50
66439	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 V	150,000,000	2011/09/01	2011/12/29	37.50
66441	Credit Suisse AG – HSI R Bull CBBC Mar 2012 W	300,000,000	2011/09/02	2012/03/29	75.00
66440	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	300,000,000	2011/09/02	2011/12/29	75.00
66451	Credit Suisse AG – China Mobile R Bull CBBC Dec 2011 B	100,000,000	2011/09/05	2011/12/19	25.00
66458	Credit Suisse AG – China Mobile R Bear CBBC Dec 2011 C	100,000,000	2011/09/05	2011/12/19	25.00
66456	Credit Suisse AG – China Life R Bull CBBC Dec 2011 A	100,000,000	2011/09/05	2011/12/19	36.00
66460	Credit Suisse AG – China Life R Bear CBBC Dec 2011 B	100,000,000	2011/09/05	2011/12/19	56.00
66462	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	300,000,000	2011/09/05	2011/12/29	75.00
66448	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 D	100,000,000	2011/09/05	2011/12/19	25.00
66457	Credit Suisse AG – Hutchison R Bear CBBC Dec 2011 E	100,000,000	2011/09/05	2011/12/19	25.00
66461	Credit Suisse AG – TCH R Bull CBBC Jan 2012 D	100,000,000	2011/09/05	2012/01/16	25.00
66463	KBC Fin Products Int ^l Ltd. – HSI R Bull CBBC Jan 2012 I	128,000,000	2011/09/05	2012/01/30	32.00
66442	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2012 A	388,000,000	2011/09/05	2012/03/29	97.39
66445	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2012 B	388,000,000	2011/09/05	2012/03/29	97.39
66446	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12H	300,000,000	2011/09/05	2012/02/28	75.00
66447	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12I	300,000,000	2011/09/05	2012/02/28	99.00
65710 #	UBS AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/09/05	2011/11/29	24.30
66482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 S	200,000,000	2011/09/06	2012/03/29	50.00
66483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 T	200,000,000	2011/09/06	2012/03/29	50.00
66484	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 U	200,000,000	2011/09/06	2012/03/29	50.00
66477	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/09/06	2012/01/30	75.00
66478	Credit Suisse AG – HSI R Bull CBBC Feb 2012 C	300,000,000	2011/09/06	2012/02/28	75.00
66481	Credit Suisse AG – HSI R Bull CBBC Mar 2012 X	300,000,000	2011/09/06	2012/03/29	75.00
66474	Credit Suisse AG – HSI R Bear CBBC Dec 2011 D	300,000,000	2011/09/06	2011/12/29	75.00
66473	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	300,000,000	2011/09/06	2011/12/29	75.00
66465	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 W	150,000,000	2011/09/06	2011/12/29	37.50

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66466	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 X	150,000,000	2011/09/06	2011/12/29	42.00
66467	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 Y	150,000,000	2011/09/06	2011/12/29	37.50
66468	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12R	300,000,000	2011/09/06	2012/01/30	75.00
66469	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12S	300,000,000	2011/09/06	2012/01/30	75.00
66470	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12U	300,000,000	2011/09/06	2012/01/30	75.00
66471	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12V	300,000,000	2011/09/06	2012/01/30	75.00
66472	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12W	300,000,000	2011/09/06	2012/01/30	75.00
66464	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Mar 12A	100,000,000	2011/09/06	2012/03/26	28.00
65336 #	HK Bank – HSI R Bear CBBC Feb 2012 C	150,000,000	2011/09/06	2012/02/28	27.60
66499	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 V	200,000,000	2011/09/07	2012/03/29	50.00
66500	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 W	200,000,000	2011/09/07	2012/03/29	50.00
66494	Credit Suisse AG – CNOOC R Bull CBBC Jan 2012 A	100,000,000	2011/09/07	2012/01/16	30.00
66498	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	300,000,000	2011/09/07	2012/01/30	75.00
66495	Credit Suisse AG – HSI R Bull CBBC Feb 2012 E	300,000,000	2011/09/07	2012/02/28	75.00
66496	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/09/07	2012/03/29	75.00
66497	Credit Suisse AG – HSI R Bear CBBC Dec 2011 G	300,000,000	2011/09/07	2011/12/29	75.00
66491	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Z	150,000,000	2011/09/07	2011/12/29	37.50
66493	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 E	150,000,000	2011/09/07	2011/12/29	37.50
66490	HK Bank – ABC R Bull CBBC Aug 2012 A	80,000,000	2011/09/07	2012/08/28	20.00
66489	HK Bank – Hutchison R Bull CBBC Jun 2012 B	80,000,000	2011/09/07	2012/06/28	20.00
66501	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 E	188,000,000	2011/09/07	2012/02/28	47.00
66486	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 A	150,000,000	2011/09/07	2012/03/29	37.50
66488	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 B	150,000,000	2011/09/07	2012/03/29	37.50
66485	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12J	300,000,000	2011/09/07	2012/02/28	75.00
66012 #	UBS AG – HSI R Bull CBBC Dec 2011 K	300,000,000	2011/09/07	2011/12/29	33.90
66523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 X	200,000,000	2011/09/08	2012/03/29	50.00
66524	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Y	200,000,000	2011/09/08	2012/03/29	50.00
66525	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Z	200,000,000	2011/09/08	2012/03/29	50.00
66522	Credit Suisse AG – AIA R Bull CBBC Jan 2012 A	100,000,000	2011/09/08	2012/01/16	35.00
66514	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/09/08	2012/01/30	75.00
66515	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Z	300,000,000	2011/09/08	2012/03/29	75.00
66520	Credit Suisse AG – HSI R Bull CBBC Apr 2012 M	300,000,000	2011/09/08	2012/04/27	75.00
66512	Credit Suisse AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/09/08	2011/12/29	75.00
66513	Credit Suisse AG – HSI R Bear CBBC Dec 2011 X	300,000,000	2011/09/08	2011/12/29	75.00
66521	Credit Suisse AG – TCH R Bull CBBC Jan 2012 E	100,000,000	2011/09/08	2012/01/16	25.00
66507	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12B	300,000,000	2011/09/08	2012/01/30	75.00
66503	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12C	300,000,000	2011/09/08	2012/01/30	75.00
66502	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12X	300,000,000	2011/09/08	2012/01/30	75.00
66504	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Y	300,000,000	2011/09/08	2012/01/30	75.00
66506	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Z	300,000,000	2011/09/08	2012/01/30	75.00
66528	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12K	300,000,000	2011/09/08	2012/02/28	75.00
66529	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12M	300,000,000	2011/09/08	2012/02/28	75.00
66508	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12N	300,000,000	2011/09/08	2012/02/28	75.00
66526	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12O	300,000,000	2011/09/08	2012/02/28	75.00
66147 #	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2012 B	200,000,000	2011/09/08	2012/02/28	29.00

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66557	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 A	200,000,000	2011/09/09	2012/01/30	50.00
66559	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 B	200,000,000	2011/09/09	2012/01/30	50.00
66563	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 C	200,000,000	2011/09/09	2012/01/30	50.00
66564	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 D	200,000,000	2011/09/09	2012/01/30	50.00
66566	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 E	200,000,000	2011/09/09	2012/01/30	50.00
66567	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 F	200,000,000	2011/09/09	2012/01/30	50.00
66550	Credit Suisse AG – HSI R Bear CBBC Dec 2011 B	300,000,000	2011/09/09	2011/12/29	75.00
66549	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/09/09	2011/12/29	75.00
66556	Credit Suisse AG – HSI R Bear CBBC Jan 2012 M	300,000,000	2011/09/09	2012/01/30	75.00
66541	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 C	200,000,000	2011/09/09	2012/01/30	50.00
66543	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 D	200,000,000	2011/09/09	2012/01/30	50.00
66544	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 E	200,000,000	2011/09/09	2012/01/30	50.00
66546	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 F	200,000,000	2011/09/09	2012/01/30	50.00
66547	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 G	200,000,000	2011/09/09	2012/01/30	50.00
66568	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 J	108,000,000	2011/09/09	2012/01/30	27.00
66569	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 F	128,000,000	2011/09/09	2012/02/28	32.00
66530	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 A	100,000,000	2011/09/09	2012/03/29	25.00
66533	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 B	100,000,000	2011/09/09	2012/03/29	25.00
66551	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12P	300,000,000	2011/09/09	2012/02/28	75.00
66553	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Q	300,000,000	2011/09/09	2012/02/28	75.00
66575	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 G	200,000,000	2011/09/12	2012/01/30	50.00
66576	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 H	200,000,000	2011/09/12	2012/01/30	50.00
66577	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 I	200,000,000	2011/09/12	2012/01/30	50.00
66600	Credit Suisse AG – ABC R Bull CBBC May 2012 A	100,000,000	2011/09/12	2012/05/14	25.00
66578	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	300,000,000	2011/09/12	2012/04/27	75.00
66595	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	400,000,000	2011/09/12	2012/04/27	100.00
66594	Credit Suisse AG – HSI R Bear CBBC Dec 2011 N	400,000,000	2011/09/12	2011/12/29	100.00
66579	Credit Suisse AG – HSI R Bear CBBC Jan 2012 N	300,000,000	2011/09/12	2012/01/30	75.00
66587	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 M	150,000,000	2011/09/12	2011/12/29	37.50
66584	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 F	150,000,000	2011/09/12	2011/12/29	42.00
66582	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 I	150,000,000	2011/09/12	2011/12/29	37.50
66585	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 L	150,000,000	2011/09/12	2011/12/29	37.50
66588	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 K	108,000,000	2011/09/12	2012/01/30	27.00
66591	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 B	158,000,000	2011/09/12	2012/03/29	39.50
66570	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12D	300,000,000	2011/09/12	2012/01/30	75.00
66580	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12I	300,000,000	2011/09/12	2012/01/30	75.00
66574	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12L	300,000,000	2011/09/12	2012/02/28	75.00
66571	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12R	300,000,000	2011/09/12	2012/02/28	75.00
66572	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12S	300,000,000	2011/09/12	2012/02/28	75.00
66573	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12T	300,000,000	2011/09/12	2012/02/28	75.00
66631	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 J	200,000,000	2011/09/14	2012/01/30	50.00
66633	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 K	200,000,000	2011/09/14	2012/01/30	50.00
66634	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 L	200,000,000	2011/09/14	2012/01/30	50.00
66666	Credit Suisse AG – HSI R Bull CBBC Mar 2012 B	400,000,000	2011/09/14	2012/03/29	100.00
66664	Credit Suisse AG – HSI R Bull CBBC Mar 2012 D	400,000,000	2011/09/14	2012/03/29	100.00

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66665	Credit Suisse AG – HSI R Bull CBBC Apr 2012 P	400,000,000	2011/09/14	2012/04/27	100.00
66657	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	400,000,000	2011/09/14	2011/12/29	100.00
66661	Credit Suisse AG – HSI R Bear CBBC Jan 2012 O	400,000,000	2011/09/14	2012/01/30	100.00
66658	Credit Suisse AG – HSI R Bear CBBC Jan 2012 W	400,000,000	2011/09/14	2012/01/30	100.00
66643	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 D	150,000,000	2011/09/14	2011/12/29	60.00
66644	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 G	150,000,000	2011/09/14	2011/12/29	46.50
66648	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 S	150,000,000	2011/09/14	2011/12/29	37.50
66637	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 B	150,000,000	2011/09/14	2011/12/29	37.50
66638	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 C	150,000,000	2011/09/14	2011/12/29	40.50
66602	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 C	100,000,000	2011/09/14	2012/03/29	25.50
66603	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 D	100,000,000	2011/09/14	2012/03/29	25.00
66608	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 E	100,000,000	2011/09/14	2012/03/29	26.50
66609	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 F	100,000,000	2011/09/14	2012/03/29	26.00
66611	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 G	100,000,000	2011/09/14	2012/03/29	25.00
66615	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 H	100,000,000	2011/09/14	2012/03/29	25.50
66617	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 I	100,000,000	2011/09/14	2012/03/29	26.00
66618	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 C	150,000,000	2011/09/14	2012/03/29	37.50
66620	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 D	150,000,000	2011/09/14	2012/03/29	37.50
66621	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 E	150,000,000	2011/09/14	2012/03/29	37.50
66622	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 F	150,000,000	2011/09/14	2012/03/29	37.50
66668	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12F	300,000,000	2011/09/14	2012/01/30	75.00
66629	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12G	300,000,000	2011/09/14	2012/01/30	75.00
66625	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12P	300,000,000	2011/09/14	2012/01/30	75.00
66627	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Q	300,000,000	2011/09/14	2012/01/30	75.00
66649	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12E	300,000,000	2011/09/14	2012/04/27	112.50
66650	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12F	300,000,000	2011/09/14	2012/04/27	90.00
66651	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12G	300,000,000	2011/09/14	2012/04/27	105.00
66652	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12H	300,000,000	2011/09/14	2012/04/27	100.50
66654	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12I	300,000,000	2011/09/14	2012/04/27	81.00
66655	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12A	300,000,000	2011/09/14	2012/02/28	75.00
66656	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12B	300,000,000	2011/09/14	2012/02/28	75.00
66630	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12U	300,000,000	2011/09/14	2012/02/28	75.00
66678	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 A	200,000,000	2011/09/15	2012/05/30	50.00
66686	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 B	200,000,000	2011/09/15	2012/05/30	50.00
66690	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 C	200,000,000	2011/09/15	2012/05/30	50.00
66696	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 D	200,000,000	2011/09/15	2012/05/30	50.00
66697	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 E	200,000,000	2011/09/15	2012/05/30	50.00
66703	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 F	200,000,000	2011/09/15	2012/05/30	50.00
66704	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 G	200,000,000	2011/09/15	2012/05/30	50.00
66672	Credit Suisse AG – HSI R Bull CBBC Feb 2012 F	400,000,000	2011/09/15	2012/02/28	100.00
66673	Credit Suisse AG – HSI R Bull CBBC Mar 2012 C	400,000,000	2011/09/15	2012/03/29	100.00
66675	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Q	400,000,000	2011/09/15	2012/04/27	100.00
66671	Credit Suisse AG – HSI R Bear CBBC Dec 2011 J	400,000,000	2011/09/15	2011/12/29	100.00
66677	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 T	150,000,000	2011/09/15	2011/12/29	37.50
66670	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12H	300,000,000	2011/09/15	2012/01/30	75.00

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66674	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12R	300,000,000	2011/09/15	2012/01/30	75.00
66669	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12V	300,000,000	2011/09/15	2012/02/28	75.00
66706	Credit Suisse AG – HSI R Bull CBBC May 2012 F	400,000,000	2011/09/16	2012/05/30	100.00
66705	Credit Suisse AG – HSI R Bear CBBC Dec 2011 P	400,000,000	2011/09/16	2011/12/29	100.00
66709	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12S	300,000,000	2011/09/16	2012/01/30	75.00
66712	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 L	128,000,000	2011/09/19	2012/01/30	32.00
66745	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 E	200,000,000	2011/09/20	2012/02/28	50.00
66747	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 X	200,000,000	2011/09/20	2012/02/28	50.00
66748	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Y	200,000,000	2011/09/20	2012/02/28	50.00
66774	Credit Suisse AG – HSI R Bull CBBC Mar 2012 P	400,000,000	2011/09/20	2012/03/29	100.00
66775	Credit Suisse AG – HSI R Bull CBBC Apr 2012 R	400,000,000	2011/09/20	2012/04/27	100.00
66778	Credit Suisse AG – HSI R Bull CBBC Apr 2012 S	400,000,000	2011/09/20	2012/04/27	100.00
66733	Credit Suisse AG – HSI R Bear CBBC Dec 2011 C	400,000,000	2011/09/20	2011/12/29	100.00
66731	Credit Suisse AG – HSI R Bear CBBC Dec 2011 U	400,000,000	2011/09/20	2011/12/29	100.00
66765	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Y	400,000,000	2011/09/20	2011/12/29	100.00
66758	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 C	150,000,000	2011/09/20	2012/01/30	37.50
66752	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 A	150,000,000	2011/09/20	2012/01/30	40.50
66756	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 B	150,000,000	2011/09/20	2012/01/30	37.50
66714	HK Bank – China Mobile R Bull CBBC Jul 2012 A	80,000,000	2011/09/20	2012/07/18	20.00
66718	HK Bank – China Mobile R Bear CBBC Apr 2012 A	80,000,000	2011/09/20	2012/04/18	20.00
66724	HK Bank – Cheung Kong R Bull CBBC Jun 2012 C	80,000,000	2011/09/20	2012/06/28	20.00
66717	HK Bank – China Life R Bull CBBC Jul 2012 B	80,000,000	2011/09/20	2012/07/16	20.00
66720	HK Bank – China Life R Bear CBBC Jul 2012 C	80,000,000	2011/09/20	2012/07/16	20.00
66713	HK Bank – TCH R Bull CBBC Jun 2012 A	80,000,000	2011/09/20	2012/06/28	23.20
66763	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 M	108,000,000	2011/09/20	2012/01/30	27.00
66764	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 G	128,000,000	2011/09/20	2012/02/28	32.00
66725	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 G	150,000,000	2011/09/20	2012/03/29	37.50
66727	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 H	150,000,000	2011/09/20	2012/03/29	37.50
66738	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12T	300,000,000	2011/09/20	2012/03/29	75.00
66734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12J	300,000,000	2011/09/20	2012/04/27	118.50
66735	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12K	300,000,000	2011/09/20	2012/04/27	93.00
66784	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12L	300,000,000	2011/09/20	2012/04/27	130.50
66785	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12M	300,000,000	2011/09/20	2012/04/27	123.00
66787	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12B	300,000,000	2011/09/20	2012/01/30	75.00
66739	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12U	300,000,000	2011/09/20	2012/01/30	75.00
66744	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12V	300,000,000	2011/09/20	2012/01/30	75.00
66786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12W	300,000,000	2011/09/20	2012/01/30	75.00
66821	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 H	200,000,000	2011/09/21	2012/05/30	50.00
66822	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 I	200,000,000	2011/09/21	2012/05/30	50.00
66823	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 J	200,000,000	2011/09/21	2012/05/30	50.00
66818	Credit Suisse AG – HSI R Bull CBBC Apr 2012 T	400,000,000	2011/09/21	2012/04/27	100.00
66820	Credit Suisse AG – HSI R Bull CBBC Apr 2012 U	400,000,000	2011/09/21	2012/04/27	100.00
66810	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	400,000,000	2011/09/21	2011/12/29	100.00
66811	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	400,000,000	2011/09/21	2011/12/29	100.00
66817	Credit Suisse AG – HSI R Bear CBBC Jan 2012 C	400,000,000	2011/09/21	2012/01/30	100.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66812	Credit Suisse AG – HSI R Bear CBBC Jan 2012 P	400,000,000	2011/09/21	2012/01/30	100.00
66809	Credit Suisse AG – TCH R Bear CBBC Feb 2012 A	100,000,000	2011/09/21	2012/02/13	25.00
66805	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 E	150,000,000	2011/09/21	2012/01/30	37.50
66807	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 F	150,000,000	2011/09/21	2012/01/30	52.50
66800	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 D	150,000,000	2011/09/21	2012/01/30	37.50
66808	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2012 C	300,000,000	2011/09/21	2012/03/29	75.00
66788	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 J	100,000,000	2011/09/21	2012/03/29	26.00
66789	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 K	100,000,000	2011/09/21	2012/03/29	25.50
66790	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 L	100,000,000	2011/09/21	2012/03/29	25.00
66793	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 M	100,000,000	2011/09/21	2012/03/29	25.00
66798	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 N	100,000,000	2011/09/21	2012/03/29	25.50
66008 #	UBS AG – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/09/21	2012/04/27	31.50
66836	Credit Suisse AG – HSI R Bull CBBC Mar 2012 O	400,000,000	2011/09/22	2012/03/29	100.00
66832	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 K	200,000,000	2011/09/22	2012/01/30	50.00
66833	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 L	200,000,000	2011/09/22	2012/01/30	50.00
66827	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 H	200,000,000	2011/09/22	2012/01/30	50.00
66828	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 I	200,000,000	2011/09/22	2012/01/30	50.00
66829	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 J	200,000,000	2011/09/22	2012/01/30	50.00
66835	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2012 D	300,000,000	2011/09/22	2012/03/29	75.00
66824	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12U	300,000,000	2011/09/22	2012/03/29	75.00
66825	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12V	300,000,000	2011/09/22	2012/03/29	75.00
66826	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12W	300,000,000	2011/09/22	2012/03/29	75.00
66878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 K	200,000,000	2011/09/23	2012/05/30	50.00
66879	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	200,000,000	2011/09/23	2012/05/30	50.00
66880	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	200,000,000	2011/09/23	2012/05/30	50.00
66881	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 N	200,000,000	2011/09/23	2012/05/30	50.00
66872	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Q	400,000,000	2011/09/23	2012/03/29	100.00
66874	Credit Suisse AG – HSI R Bull CBBC Mar 2012 T	400,000,000	2011/09/23	2012/03/29	100.00
66875	Credit Suisse AG – HSI R Bull CBBC May 2012 G	400,000,000	2011/09/23	2012/05/30	100.00
66870	Credit Suisse AG – HSI R Bear CBBC Jan 2012 D	400,000,000	2011/09/23	2012/01/30	100.00
66868	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 W	150,000,000	2011/09/23	2011/12/29	37.50
66869	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 H	150,000,000	2011/09/23	2012/01/30	40.50
66861	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 G	150,000,000	2011/09/23	2012/01/30	37.50
66840	HK Bank – CNOOC R Bull CBBC Apr 2012 A	80,000,000	2011/09/23	2012/04/18	20.00
66842	HK Bank – A50 R Bull CBBC Jul 2012 A	80,000,000	2011/09/23	2012/07/18	20.00
66850	HK Bank – A50 R Bull CBBC Aug 2012 B	80,000,000	2011/09/23	2012/08/28	20.00
66856	HK Bank – HSI R Bull CBBC Jun 2012 C	100,000,000	2011/09/23	2012/06/28	25.00
66857	HK Bank – HSI R Bear CBBC Jul 2012 B	100,000,000	2011/09/23	2012/07/30	25.00
66841	HK Bank – Hutchison R Bear CBBC Apr 2012 A	80,000,000	2011/09/23	2012/04/18	20.00
66839	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 I	150,000,000	2011/09/23	2012/03/29	37.50
66902	Credit Suisse AG – HSI R Bull CBBC Apr 2012 V	400,000,000	2011/09/26	2012/04/27	100.00
66898	Macquarie Bank Ltd. – HSCEI R Bull CBBC Mar 2012 A	200,000,000	2011/09/26	2012/03/29	50.00
66899	Macquarie Bank Ltd. – HSCEI R Bear CBBC Mar 2012 B	200,000,000	2011/09/26	2012/03/29	50.00
66897	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12J	300,000,000	2011/09/26	2012/03/29	75.00
66882	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12X	300,000,000	2011/09/26	2012/03/29	75.00

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66888	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Y	300,000,000	2011/09/26	2012/03/29	75.00
66889	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Z	300,000,000	2011/09/26	2012/03/29	75.00
66903	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12C	300,000,000	2011/09/26	2012/01/30	75.00
66896	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12H	300,000,000	2011/09/26	2012/02/28	75.00
66894	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12W	300,000,000	2011/09/26	2012/02/28	75.00
66909	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2012 E	200,000,000	2011/09/27	2012/03/29	50.00
66906	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 J	150,000,000	2011/09/27	2012/03/29	37.50
66945	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	200,000,000	2011/09/28	2012/02/28	50.00
66946	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 L	200,000,000	2011/09/28	2012/02/28	50.00
66949	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 W	200,000,000	2011/09/28	2012/02/28	50.00
66927	Credit Suisse AG – HSI R Bull CBBC Apr 2012 W	400,000,000	2011/09/28	2012/04/27	100.00
66944	Credit Suisse AG – HSI R Bull CBBC Apr 2012 X	400,000,000	2011/09/28	2012/04/27	100.00
66942	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	400,000,000	2011/09/28	2011/12/29	100.00
66933	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 U	150,000,000	2011/09/28	2011/12/29	37.50
66928	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Z	150,000,000	2011/09/28	2011/12/29	46.50
66937	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 A	150,000,000	2011/09/28	2011/12/29	37.50
66936	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 V	150,000,000	2011/09/28	2011/12/29	45.00
66951	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12X	300,000,000	2011/09/28	2012/01/30	75.00
67075	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 O	200,000,000	2011/09/29	2012/05/30	50.00
67076	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 P	200,000,000	2011/09/29	2012/05/30	50.00
67077	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	200,000,000	2011/09/29	2012/05/30	50.00
67079	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	200,000,000	2011/09/29	2012/05/30	50.00
67084	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	200,000,000	2011/09/29	2012/05/30	50.00
67086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	200,000,000	2011/09/29	2012/05/30	50.00
67087	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	200,000,000	2011/09/29	2012/05/30	50.00
67088	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 V	200,000,000	2011/09/29	2012/05/30	50.00
67091	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 W	200,000,000	2011/09/29	2012/05/30	50.00
67097	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 X	200,000,000	2011/09/29	2012/05/30	50.00
67098	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 M	200,000,000	2011/09/29	2012/01/30	50.00
67099	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 N	200,000,000	2011/09/29	2012/01/30	50.00
67100	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 O	200,000,000	2011/09/29	2012/01/30	50.00
67101	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 P	200,000,000	2011/09/29	2012/01/30	50.00
67052	Credit Suisse AG – China Life R Bear CBBC Jan 2012 A	100,000,000	2011/09/29	2012/01/16	36.00
67042	Credit Suisse AG – A50 China R Bull CBBC Feb 2012 A	100,000,000	2011/09/29	2012/02/13	25.00
67054	Credit Suisse AG – A50 China R Bear CBBC Feb 2012 B	100,000,000	2011/09/29	2012/02/13	25.00
67021	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 A	100,000,000	2011/09/29	2012/02/13	25.00
67044	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 B	100,000,000	2011/09/29	2012/02/13	25.00
67179	Credit Suisse AG – HSI R Bull CBBC Feb 2012 J	400,000,000	2011/09/29	2012/02/28	100.00
67181	Credit Suisse AG – HSI R Bull CBBC Feb 2012 L	400,000,000	2011/09/29	2012/02/28	100.00
67182	Credit Suisse AG – HSI R Bull CBBC Feb 2012 M	100,000,000	2011/09/29	2012/02/28	25.00
67183	Credit Suisse AG – HSI R Bull CBBC Feb 2012 V	400,000,000	2011/09/29	2012/02/28	100.00
67185	Credit Suisse AG – HSI R Bull CBBC Mar 2012 U	400,000,000	2011/09/29	2012/03/29	114.00
67184	Credit Suisse AG – HSI R Bull CBBC Mar 2012 W	400,000,000	2011/09/29	2012/03/29	134.00
67186	Credit Suisse AG – HSI R Bull CBBC Mar 2012 X	400,000,000	2011/09/29	2012/03/29	100.00
67198	Credit Suisse AG – HSI R Bull CBBC Apr 2012 E	400,000,000	2011/09/29	2012/04/27	100.00

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股份 代號	牛熊證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
67195	Credit Suisse AG – HSI R Bull CBBC Apr 2012 M	400,000,000	2011/09/29	2012/04/27	100.00
67196	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	400,000,000	2011/09/29	2012/04/27	100.00
67197	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	400,000,000	2011/09/29	2012/04/27	100.00
67192	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Y	400,000,000	2011/09/29	2012/04/27	114.00
67194	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Z	400,000,000	2011/09/29	2012/04/27	102.00
67199	Credit Suisse AG – HSI R Bull CBBC May 2012 H	400,000,000	2011/09/29	2012/05/30	122.00
67200	Credit Suisse AG – HSI R Bull CBBC May 2012 I	400,000,000	2011/09/29	2012/05/30	126.00
67202	Credit Suisse AG – HSI R Bull CBBC May 2012 J	400,000,000	2011/09/29	2012/05/30	110.00
67208	Credit Suisse AG – HSI R Bull CBBC May 2012 K	400,000,000	2011/09/29	2012/05/30	100.00
67209	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2011/09/29	2012/05/30	100.00
67210	Credit Suisse AG – HSI R Bull CBBC May 2012 M	400,000,000	2011/09/29	2012/05/30	100.00
67217	Credit Suisse AG – HSI R Bull CBBC Jun 2012 J	400,000,000	2011/09/29	2012/06/28	100.00
67218	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2011/09/29	2012/06/28	166.00
67219	Credit Suisse AG – HSI R Bull CBBC Jun 2012 L	400,000,000	2011/09/29	2012/06/28	108.00
67224	Credit Suisse AG – HSI R Bull CBBC Jun 2012 M	400,000,000	2011/09/29	2012/06/28	100.00
67225	Credit Suisse AG – HSI R Bull CBBC Jul 2012 C	400,000,000	2011/09/29	2012/07/30	132.00
67239	Credit Suisse AG – HSI R Bull CBBC Jul 2012 D	400,000,000	2011/09/29	2012/07/30	148.00
67056	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	400,000,000	2011/09/29	2011/12/29	100.00
67058	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	400,000,000	2011/09/29	2011/12/29	100.00
67064	Credit Suisse AG – HSI R Bear CBBC Jan 2012 A	400,000,000	2011/09/29	2012/01/30	100.00
67178	Credit Suisse AG – HSI R Bear CBBC Jan 2012 B	100,000,000	2011/09/29	2012/01/30	25.00
67063	Credit Suisse AG – HSI R Bear CBBC Jan 2012 R	400,000,000	2011/09/29	2012/01/30	100.00
67070	Credit Suisse AG – HSI R Bear CBBC Feb 2012 I	400,000,000	2011/09/29	2012/02/28	100.00
67037	Credit Suisse AG – TCH R Bull CBBC Feb 2012 B	100,000,000	2011/09/29	2012/02/13	25.00
67045	Credit Suisse AG – TCH R Bear CBBC Feb 2012 C	100,000,000	2011/09/29	2012/02/13	25.00
67049	Credit Suisse AG – TCH R Bear CBBC Feb 2012 D	100,000,000	2011/09/29	2012/02/13	25.00
66985	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 I	150,000,000	2011/09/29	2012/01/30	37.50
67156	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 J	150,000,000	2011/09/29	2012/01/30	49.50
67158	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 K	150,000,000	2011/09/29	2012/01/30	37.50
66986	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 M	150,000,000	2011/09/29	2011/12/29	43.50
66988	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 T	150,000,000	2011/09/29	2011/12/29	37.50
67159	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 L	150,000,000	2011/09/29	2012/01/30	37.50
67258	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 H	108,000,000	2011/09/29	2012/02/28	36.72
67259	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 C	158,000,000	2011/09/29	2012/03/29	39.50
67260	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 I	128,000,000	2011/09/29	2012/02/28	32.00
67263	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 D	158,000,000	2011/09/29	2012/03/29	39.50
67160	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 O	100,000,000	2011/09/29	2012/03/29	26.00
67161	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 P	100,000,000	2011/09/29	2012/03/29	25.50
67168	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 Q	100,000,000	2011/09/29	2012/03/29	25.00
67174	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 R	100,000,000	2011/09/29	2012/03/29	25.00
67175	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 S	100,000,000	2011/09/29	2012/03/29	25.50
67177	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 T	100,000,000	2011/09/29	2012/03/29	26.00
66965	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12G	300,000,000	2011/09/29	2012/03/29	75.00
66964	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12H	300,000,000	2011/09/29	2012/03/29	75.00
66963	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12I	300,000,000	2011/09/29	2012/03/29	75.00

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66958	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12K	300,000,000	2011/09/29	2012/03/29	75.00
66962	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12L	300,000,000	2011/09/29	2012/03/29	75.00
66968	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12R	300,000,000	2011/09/29	2012/03/29	75.00
66969	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12S	300,000,000	2011/09/29	2012/03/29	75.00
67008	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12T	300,000,000	2011/09/29	2012/03/29	75.00
67016	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12N	300,000,000	2011/09/29	2012/04/27	94.50
67017	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12O	300,000,000	2011/09/29	2012/04/27	75.00
67019	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12P	300,000,000	2011/09/29	2012/04/27	75.00
67020	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12Q	300,000,000	2011/09/29	2012/04/27	75.00
66993	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12A	300,000,000	2011/09/29	2012/01/30	75.00
66994	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12D	300,000,000	2011/09/29	2012/01/30	75.00
66995	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12E	300,000,000	2011/09/29	2012/01/30	75.00
67002	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12F	300,000,000	2011/09/29	2012/01/30	75.00
67003	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12G	300,000,000	2011/09/29	2012/01/30	75.00
67001	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12I	300,000,000	2011/09/29	2012/01/30	75.00
66990	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12Y	300,000,000	2011/09/29	2012/01/30	75.00
66991	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12Z	300,000,000	2011/09/29	2012/01/30	75.00
67108	UBS AG – China Mobile R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/19	25.00
67240	UBS AG – China Life R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/12	28.50
67106	UBS AG – HSBC R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/26	25.00
67126	UBS AG – HSI R Bull CBBC Dec 2011 G	300,000,000	2011/09/29	2011/12/29	75.00
67131	UBS AG – HSI R Bull CBBC Dec 2011 I	300,000,000	2011/09/29	2011/12/29	75.00
66974	UBS AG – HSI R Bull CBBC Dec 2011 S	300,000,000	2011/09/29	2011/12/29	75.00
66975	UBS AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/09/29	2012/01/30	75.00
66980	UBS AG – HSI R Bull CBBC Feb 2012 L	300,000,000	2011/09/29	2012/02/28	75.00
67123	UBS AG – HSI R Bull CBBC Feb 2012 M	300,000,000	2011/09/29	2012/02/28	75.00
67125	UBS AG – HSI R Bull CBBC Feb 2012 N	300,000,000	2011/09/29	2012/02/28	75.00
66984	UBS AG – HSI R Bull CBBC Mar 2012 M	300,000,000	2011/09/29	2012/03/29	75.00
67117	UBS AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/09/29	2012/03/29	75.00
67124	UBS AG – HSI R Bull CBBC Mar 2012 O	300,000,000	2011/09/29	2012/03/29	75.00
67119	UBS AG – HSI R Bull CBBC Apr 2012 H	300,000,000	2011/09/29	2012/04/27	75.00
67116	UBS AG – HSI R Bull CBBC May 2012 D	300,000,000	2011/09/29	2012/05/30	75.00
67254	UBS AG – HSI R Bull CBBC Jun 2012 B	300,000,000	2011/09/29	2012/06/28	139.50
67133	UBS AG – HSI R Bear CBBC Dec 2011 O	300,000,000	2011/09/29	2011/12/29	75.00
67140	UBS AG – HSI R Bear CBBC Dec 2011 P	300,000,000	2011/09/29	2011/12/29	75.00
67134	UBS AG – HSI R Bear CBBC Dec 2011 R	300,000,000	2011/09/29	2011/12/29	75.00
67151	UBS AG – HSI R Bear CBBC Dec 2011 U	300,000,000	2011/09/29	2011/12/29	75.00
67255	UBS AG – HSI R Bear CBBC Dec 2011 W	300,000,000	2011/09/29	2011/12/29	75.00
67152	UBS AG – HSI R Bear CBBC Jan 2012 H	300,000,000	2011/09/29	2012/01/30	75.00
67132	UBS AG – HSI R Bear CBBC Feb 2012 O	300,000,000	2011/09/29	2012/02/28	75.00
67135	UBS AG – HSI R Bear CBBC Mar 2012 P	300,000,000	2011/09/29	2012/03/29	75.00
67153	UBS AG – HSI R Bear CBBC Mar 2012 Q	300,000,000	2011/09/29	2012/03/29	75.00
67150	UBS AG – HSI R Bear CBBC Apr 2012 I	300,000,000	2011/09/29	2012/04/27	75.00
67154	UBS AG – HSI R Bear CBBC Apr 2012 J	300,000,000	2011/09/29	2012/04/27	75.00
67155	UBS AG – HSI R Bear CBBC May 2012 E	300,000,000	2011/09/29	2012/05/30	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67107	UBS AG – Hutchison R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/12	25.00
67389	Credit Suisse AG – CCB R Bull CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67367	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 C	100,000,000	2011/09/30	2012/02/13	25.00
67358	Credit Suisse AG – HSBC R Bull CBBC Mar 2012 B	100,000,000	2011/09/30	2012/03/26	25.00
67324	Credit Suisse AG – HSBC R Bear CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67435	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Y	400,000,000	2011/09/30	2012/03/29	100.00
67436	Credit Suisse AG – HSI R Bull CBBC Apr 2012 P	400,000,000	2011/09/30	2012/04/27	100.00
67439	Credit Suisse AG – HSI R Bull CBBC Jun 2012 N	100,000,000	2011/09/30	2012/06/28	25.00
67440	Credit Suisse AG – HSI R Bull CBBC Jul 2012 E	100,000,000	2011/09/30	2012/07/30	25.00
67390	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Q	400,000,000	2011/09/30	2012/01/30	100.00
67412	Credit Suisse AG – HSI R Bear CBBC Feb 2012 T	400,000,000	2011/09/30	2012/02/28	100.00
67422	Credit Suisse AG – HSI R Bear CBBC Feb 2012 U	400,000,000	2011/09/30	2012/02/28	100.00
67432	Credit Suisse AG – HSI R Bear CBBC Feb 2012 W	400,000,000	2011/09/30	2012/02/28	100.00
67433	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Y	400,000,000	2011/09/30	2012/02/28	100.00
67359	Credit Suisse AG – Hutchison R Bull CBBC Mar 2012 B	100,000,000	2011/09/30	2012/03/26	25.00
67338	Credit Suisse AG – Hutchison R Bear CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67378	Credit Suisse AG – TCH R Bull CBBC Feb 2012 E	100,000,000	2011/09/30	2012/02/13	35.50
67381	Credit Suisse AG – TCH R Bull CBBC Feb 2012 F	100,000,000	2011/09/30	2012/02/13	25.50
67351	Credit Suisse AG – TCH R Bear CBBC Jan 2012 F	100,000,000	2011/09/30	2012/01/16	25.00
67316	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 M	150,000,000	2011/09/30	2012/01/30	37.50
67317	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 N	150,000,000	2011/09/30	2012/01/30	52.50
67318	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 O	150,000,000	2011/09/30	2012/01/30	61.50
67322	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 P	150,000,000	2011/09/30	2012/01/30	37.50
67304	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 A	200,000,000	2011/09/30	2012/02/28	50.00
67312	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 E	200,000,000	2011/09/30	2012/02/28	50.00
67314	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 F	200,000,000	2011/09/30	2012/02/28	50.00
67315	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 G	200,000,000	2011/09/30	2012/02/28	50.00
67309	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 B	200,000,000	2011/09/30	2012/02/28	50.00
67310	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 C	200,000,000	2011/09/30	2012/02/28	50.00
67311	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 D	200,000,000	2011/09/30	2012/02/28	50.00
67447	KBC Fin Products Int ^l Ltd. – HSI R Bear CBBC Feb 2012 J	158,000,000	2011/09/30	2012/02/28	39.50
67264	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 K	150,000,000	2011/09/30	2012/03/29	37.50
67265	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 L	150,000,000	2011/09/30	2012/03/29	37.50
67271	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 M	150,000,000	2011/09/30	2012/03/29	37.50
67275	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 N	150,000,000	2011/09/30	2012/03/29	37.50
67276	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 O	150,000,000	2011/09/30	2012/03/29	37.50
67278	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12A	300,000,000	2011/09/30	2012/06/28	115.50
67279	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12B	300,000,000	2011/09/30	2012/06/28	105.00
67280	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12C	300,000,000	2011/09/30	2012/06/28	93.00
67281	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12P	300,000,000	2011/09/30	2012/01/30	75.00
67498	UBS AG – AIA R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	28.00
67497	UBS AG – CC Bank R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	25.00
67519	UBS AG – China Life R Bull CBBC Mar 2012 B	100,000,000	2011/09/30	2012/03/19	32.50
67493	UBS AG – HSBC R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	25.00
67285	UBS AG – HSI R Bull CBBC Jan 2012 A	300,000,000	2011/09/30	2012/01/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
67282	UBS AG – HSI R Bull CBBC Jan 2012 B	300,000,000	2011/09/30	2012/01/30	75.00
67292	UBS AG – HSI R Bull CBBC Feb 2012 P	300,000,000	2011/09/30	2012/02/28	75.00
67299	UBS AG – HSI R Bull CBBC Apr 2012 K	300,000,000	2011/09/30	2012/04/27	75.00
67452	UBS AG – HSI R Bull CBBC Apr 2012 L	300,000,000	2011/09/30	2012/04/27	75.00
67450	UBS AG – HSI R Bull CBBC May 2012 F	300,000,000	2011/09/30	2012/05/30	75.00
67451	UBS AG – HSI R Bull CBBC May 2012 G	300,000,000	2011/09/30	2012/05/30	75.00
67449	UBS AG – HSI R Bull CBBC Jun 2012 C	300,000,000	2011/09/30	2012/06/28	75.00
67448	UBS AG – HSI R Bull CBBC Aug 2012 A	300,000,000	2011/09/30	2012/08/30	145.50
67463	UBS AG – HSI R Bear CBBC Jan 2012 C	300,000,000	2011/09/30	2012/01/30	75.00
67461	UBS AG – HSI R Bear CBBC Feb 2012 Q	300,000,000	2011/09/30	2012/02/28	75.00
67462	UBS AG – HSI R Bear CBBC Feb 2012 R	300,000,000	2011/09/30	2012/02/28	75.00
67469	UBS AG – HSI R Bear CBBC Feb 2012 S	300,000,000	2011/09/30	2012/02/28	75.00
67458	UBS AG – HSI R Bear CBBC Mar 2012 R	300,000,000	2011/09/30	2012/03/29	75.00
67460	UBS AG – HSI R Bear CBBC Mar 2012 S	300,000,000	2011/09/30	2012/03/29	75.00
67464	UBS AG – HSI R Bear CBBC Mar 2012 T	300,000,000	2011/09/30	2012/03/29	75.00
67457	UBS AG – HSI R Bear CBBC Apr 2012 M	300,000,000	2011/09/30	2012/04/27	75.00
67468	UBS AG – HSI R Bear CBBC Apr 2012 N	300,000,000	2011/09/30	2012/04/27	75.00
67473	UBS AG – HSI R Bear CBBC Apr 2012 O	300,000,000	2011/09/30	2012/04/27	93.00
67459	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2011/09/30	2012/05/30	75.00
67480	UBS AG – HSI R Bear CBBC May 2012 I	300,000,000	2011/09/30	2012/05/30	102.00
67470	UBS AG – HSI R Bear CBBC Jun 2012 D	300,000,000	2011/09/30	2012/06/28	75.00
67495	UBS AG – Hutchison R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/16	25.00
67506	UBS AG – ICBC R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	25.00
67511	UBS AG – Ping An R Bull CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67496	UBS AG – TCH R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/10	25.00
總額					85,334.38

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2010	第4季*	2	119.44
2011	第1季	2	139.30
	第2季	3	331.18
	第3季	3	62.60

* 第一家預託證券於2010年12月8日開始交易買賣

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2010	第3季	—	—
	第4季	—	—
2011	第1季	—	—
	第2季	—	—
	第3季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第3季	7	0.30
	第4季	7	0.30
2011	第1季	7	0.06
	第2季	7	0.32
	第3季	7	0.13

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第3季	2	—
	第4季	2	—
2011	第1季	2	—
	第2季	2	—
	第3季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2010	第3季	88,672.33	24,297.92	647,501
	第4季	120,693.51	38,527.65	969,546
2011	第1季	124,595.93	22,614.51	608,740
	第2季	79,170.20	20,231.96	507,155
	第3季	40,276.54	10,135.61	316,791

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2010	第3季	64	379.65	37,526
	第4季	64	601.99	39,738
2011	第1季	62	364.75	37,150
	第2季	59	342.91	39,893
	第3季	63	160.88	31,995

20種升幅最大之創業板股份

2011年第3季

順次	股份代號	股份	收市價		升幅
			2011年9月底	2011年6月底	
1	08011	百田石油	0.365	0.164 A	122.56
2	08356	進業控股	0.485	0.226	114.60
3	08028	天時軟件	0.140	0.074	89.19
4	08233	中國基建港口	0.221	0.117	88.89
5	08189	泰達生物	0.600	0.450	33.33
6	08100	聯夢活力世界	0.166	0.128 A	29.69
7	08239	明基控股	0.800	0.670	19.40
8	08088	高誠資本	0.950	0.825 A	15.15
9	08016	中華數據廣播	1.800	1.650	9.09
10	08200	修身堂	0.460	0.430	6.98
11	08081	駿科網絡訊息	0.179	0.168	6.55
12	08129	中國生物資源	0.042	0.040	5.00
13	08106	浙大蘭德	0.240	0.232	3.45
14	08008	新意網集團	0.960	0.940	2.13
15	08070	中裕燃氣	0.660	0.650	1.54

A 經調整

本季度只有15隻股份錄得升幅

創業板 股份

20種跌幅最大之創業板股份

2011年第3季

順次	股份代號	股份	收市價		跌幅
			2011年9月底	2011年6月底	
1	08032	非凡中國	0.077	0.260	-70.38
2	08060	國聯通信	0.095	0.295	-67.80
3	08193	漢華專業服務	0.246	0.720	-65.83
4	08207	中國信貸	0.540	1.560	-65.38
5	08087	中國三三傳媒	0.500	1.430	-65.03
6	08117	中國基礎資源	0.040	0.111	-63.96
7	08103	泰盛國際控股	0.055	0.142	-61.27
8	08071	中彩網通控股	0.082	0.210	-60.95
9	08321	中國汽車內飾	0.295	0.750	-60.67
10	08220	比高集團	0.070	0.176	-60.23
11	08163	萬德資源	0.040	0.099	-59.60
12	08167	中國新電信	0.201	0.480	-58.12
13	08143	華夏醫療	0.130	0.310	-58.06
14	08212	香港生命集團	0.425	0.980 A	-56.63
15	08009	菱控有限公司	0.010	0.023	-56.52
16	08173	寰亞礦業	0.210	0.480	-56.25
17	08046	恒芯中國	0.590	1.320	-55.30
18	08132	鉦皓控股	0.178	0.395	-54.94
19	08166	中國農業生態	0.038	0.084	-54.76
20	08161	華彩控股	0.100	0.217	-53.92

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2011年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	08046	恒芯中國	936.13	9.24
2	08137	洪橋集團	906.72	8.95
3	08270	中國聯盛	495.82	4.89
4	08207	中國信貸	437.54	4.32
5	08043	年代煤礦機電	426.62	4.21
6	08017	百齡國際	370.30	3.65
7	08111	中科光電	285.58	2.82
8	08179	喜尚控股	232.40	2.29
9	08103	泰盛國際控股	231.43	2.28
10	08132	鉦皓控股	210.91	2.08
11	08032	非凡中國	206.27	2.04
12	08029	太陽國際	201.19	1.98
13	08008	新意網集團	189.35	1.87
14	08228	國藝控股	177.11	1.75
15	08075	寰亞傳媒	169.95	1.68
16	08161	華彩控股	169.27	1.67
17	08096	瑞豐石化	165.54	1.63
18	08192	環球能源資源	163.05	1.61
19	08071	中彩網通控股	161.73	1.60
20	08058	羅欣藥業	138.83	1.37
總額			6,275.73	61.92

創業板 股份

20種成交最活躍創業板股份(股數)

2011年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	08078	中國3D數碼	3,667.22	9.11
2	08009	菱控有限公司	2,742.07	6.81
3	08017	百齡國際	2,467.48	6.13
4	08103	泰盛國際控股	2,344.81	5.82
5	08032	非凡中國	1,383.93	3.44
6	08071	中彩網通控股	1,235.91	3.07
7	08116	中國公共醫療	1,204.25	2.99
8	08161	華彩控股	1,075.61	2.67
9	08022	彩娛集團	1,059.58	2.63
10	08043	年代煤礦機電	1,040.61	2.58
11	08080	北亞策略	1,016.98	2.53
12	08270	中國聯盛	975.82	2.42
13	08085	中民安園	903.80	2.24
14	08046	恒芯中國	808.53	2.01
15	08075	寰亞傳媒	783.96	1.95
16	08171	中國趨勢	781.39	1.94
17	08192	環球能源資源	767.95	1.91
18	08212	香港生命集團	704.45	1.75
19	08132	鉦皓控股	627.85	1.56
20	08041	中郵電貿(控股)	586.39	1.46
總數			26,178.60	65.00

創業板 股份

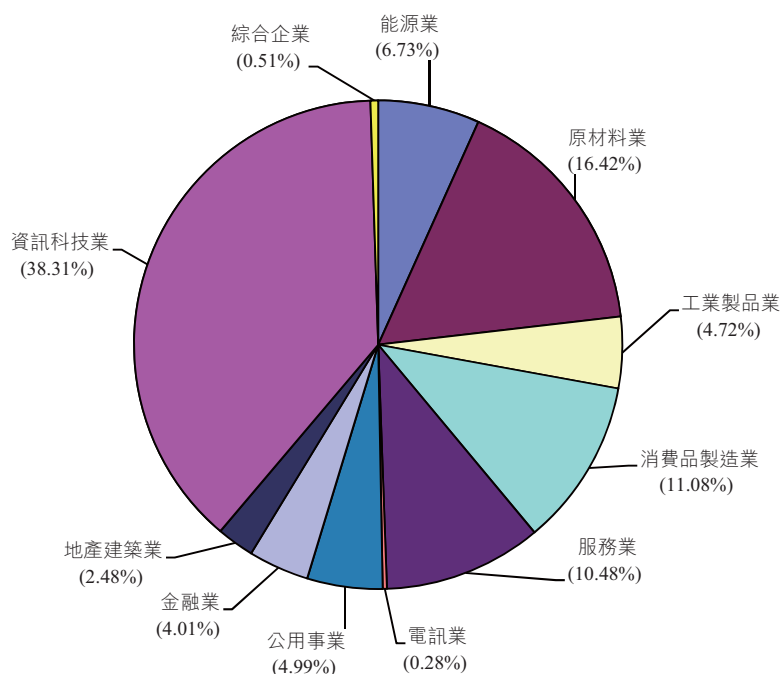
創業板公司市價總值

截至季末

		百萬港元
2010	第3季	129,859.18
	第4季	134,674.08
2011	第1季	137,835.27
	第2季	111,535.83
	第3季	77,346.08

恒生行業分類系統之證券市價總值*—創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2011年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	9,331.48	12.06
2	08086	易寶有限公司	4,629.15	5.98
3	08043	年代煤礦機電設備制造有限公司	3,012.51	3.89
4	08096	瑞豐石化控股有限公司	2,742.64	3.55
5	08192	環球能源資源國際集團有限公司	2,396.67	3.10
6	08008	新意網集團有限公司	2,236.04	2.89
7	08035	濱海投資有限公司	1,737.92	2.25
8	08075	寰亞傳媒集團有限公司	1,734.51	2.24
9	08070	中裕燃氣控股有限公司	1,562.89	2.02
10	08206	神通電信服務有限公司	1,553.11	2.01
11	08158	中國生物醫學再生科技有限公司	1,503.12	1.94
12	08032	非凡中國控股有限公司	1,469.30	1.90
13	08046	恒芯中國控股有限公司	1,467.38	1.90
14	08066	品創控股有限公司	1,411.54	1.82
15	08279	亞博科技控股有限公司	1,273.49	1.65
16	08083	中國創新支付集團有限公司	1,117.39	1.44
17	08270	中國聯盛投資集團有限公司	1,114.33	1.44
18	08155	南華置地有限公司	1,061.96	1.37
19	08029	太陽國際資源有限公司	1,001.81	1.30
20	08299	大唐滄金控股有限公司	968.29	1.25
21	08207	中國信貸控股有限公司	945.00	1.22
22	08292	慧聰網有限公司	886.44	1.15
23	08005	裕興科技投資控股有限公司	849.86	1.10
24	08156	眾彩科技股份有限公司	831.78	1.08
25	08058	山東羅欣藥業股份有限公司－H股	824.45	1.07
26	08071	中彩網通控股有限公司	744.25	0.96
27	08161	華彩控股有限公司	741.20	0.96
28	08123	首華財經網絡集團有限公司	725.21	0.94
29	08179	喜尚控股有限公司	716.80	0.93
30	08011	百田石油國際集團有限公司	664.52	0.86
31	08190	康佰控股有限公司	640.30	0.83
32	08102	上海復旦微電子集團股份有限公司－H股	603.40	0.78
33	08016	中華數據廣播控股有限公司	601.20	0.78
34	08356	進業控股有限公司	577.34	0.75
35	08186	神州東盟資源有限公司	556.42	0.72
36	08290	天津天聯公用事業股份有限公司－H股	555.07	0.72
37	08203	凱順能源集團有限公司	545.51	0.71
38	08061	光亞有限公司	506.46	0.65
39	08306	中國有色金屬有限公司	490.64	0.63
40	08025	亞洲資產(控股)有限公司	476.38	0.62
41	08153	科地農業控股有限公司	464.06	0.60
42	08109	科瑞控股有限公司	462.88	0.60
43	08128	中國地能有限公司	452.30	0.58
44	08175	中國數碼版權(集團)有限公司	444.24	0.57
45	08041	中郵電貿(控股)有限公司	435.84	0.56
46	08189	天津泰達生物醫學工程股份有限公司－H股	423.00	0.55
47	08055	中國網絡教育集團有限公司	422.17	0.55
48	08228	國藝控股有限公司	408.64	0.53
49	08167	中國新電信集團有限公司	402.32	0.52
50	08351	永恆國際股份有限公司	394.03	0.51
總額			61,117.21	79.02
市場總值			77,346.08	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2010	第3季	2	78.24
	第4季	3	424.79
2011	第1季	3	452.01
	第2季	2	139.50
	第3季	3	193.04

創業板新上市公司

2011年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08179	喜尚控股有限公司	2011/07/08	320,000,000	80.00
08160	枋濬國際集團控股有限公司	2011/07/15	180,000,001	54.00
08112	Focus Media Network Ltd.	2011/07/28	328,000,000	59.04
總額				193.04

除牌上市公司－創業板

2011年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
08015	乾隆科技國際控股有限公司*	2011/08/26	2011/08/29 [§]

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》
經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2011年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
08005	裕興科技控股有限公司	裕興科技投資控股有限公司	2011/05/26	2011/07/14
08111	一創科技集團有限公司	中科光電控股有限公司	2011/07/14	2011/08/18
08029	太陽國際集團有限公司	太陽國際資源有限公司	2011/08/05	2011/09/15
08075	Rojam Entertainment Holdings Ltd.	寰亞傳媒集團有限公司	2011/08/23	2011/09/22

* 祇改英文名稱

祇改中文名稱

創業板 股份

創業板紅股／紅利認股權證

2011年第3季

股份代號	公司	詳情	除淨日
NIL			

創業板股份拆細及合併

2011年第3季

股份代號	公司	詳情	生效日期
08100	聯夢活力世界有限公司	2股合併為1股	2011/07/18
08088	高誠資本有限公司	10股合併為1股	2011/08/15
08212	香港生命集團控股有限公司	20股合併為1股	2011/08/23
08079	無限創意控股有限公司	10股合併為1股	2011/08/25
08011	百田石油國際集團有限公司	2股合併為1股	2011/08/30
08082	仁智國際集團有限公司	1股分拆為4股	2011/09/01

創業板供股／公開售股

2011年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
7月	08068	新宇國際實業(集團)有限公司	股權10供1，每股供0.15港元	30.18
9月	08088	高誠資本有限公司	股權1供1，每股供0.80港元 (10股合併為1股後)	39.25
	08047	中國掌付(集團)有限公司	公開售股1供1，每股供0.05港元	31.38
	08079	無限創意控股有限公司	股權1供10，每股供0.15港元 (10股合併為1股後)	95.35
總額				196.16

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2011					
6月**	08202	匯創控股	15,843,500	0.4400	6.97
	08048	志鴻科技	30,000,000	0.1000	3.00
7月	08356	進業控股	198,400,000	0.1730	34.32
8月	08176	中國金豐集團	110,000,000	0.1500	16.50
	08041	中郵電貿(控股)	11,236,249	0.1909	2.14
	08270	中國聯盛	244,000,000	0.6000	146.40
	08022	彩娛集團	86,000,000	0.1000	8.60
	08165	華普智通	200,000,000	0.1300	26.00
	08351	永恒國際股份	61,800,000	0.7500	46.35
9月	08011	百田石油	583,333,333	0.2400	140.00
	08041	中郵電貿(控股)	30,511,060	0.1311	4.00
	08075	寰亞傳媒	1,467,500,000	0.2000	293.50
	08137	洪橋集團	51,866,666	1.5000	77.80
總額					805.59

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2010	第3季	1	8.43	35.85
	第4季	1	13.61	40.15
2011	第1季	2	2.74	43.08
	第2季	2	1.03	21.87
	第3季	2	0.23	25.46

創業板新上市股份認股權證

2011年第3季

股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
Nil					
總額					-

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2011年9月底 收市	2011年6月底 收市	升跌
標準普爾／香港交易所大型股指數	21233.24	26685.63	-20.43%
標準普爾／香港交易所創業板指數	441.40	695.54	-36.54%
恒生指數	17592.41	22398.10	-21.46%
分類指數			
金融	23312.15	31908.19	-26.94%
公用事業	45943.67	46286.11	- 0.74%
地產	20957.65	27976.75	-25.09%
工商業	10834.86	12993.33	-16.61%
恒生香港中資企業指數	3391.13	4192.08	-19.11%
恒生中國企業指數	8917.36	12576.68	-29.10%

股票市場指數

世界指數

指數	2011年9月底 收市	2011年6月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	21,233.24	26,685.63	-20.43%
恒生指數	17,592.41	22,398.10	-21.46%
澳洲			
普通股指數	4,070.09	4,659.79	-12.66%
雅加達			
綜合指數	3,549.03	3,888.57	-8.73%
日本			
日經指數(225)	8,700.29	9,816.09	-11.37%
韓國			
綜合指數	1,769.65	2,100.69	-15.76%
吉隆坡			
綜合指數	1,387.13	1,579.07	-12.16%
馬尼拉			
綜合指數	3,999.65	4,291.21	-6.79%
紐西蘭			
證交所指數(50)	3,343.35	3,448.35	-3.04%
上海			
A股指數	2,471.10	2,893.53	-14.60%
B股指數	239.33	271.31	-11.79%
深圳			
A股指數	1,053.14	1,210.12	-12.97%
B股指數	553.42	723.45	-23.50%
新加坡			
FTSE海峽時報指數	2,675.16	3,120.44	-14.27%
台灣			
台北加權股份指數	7,225.38	8,652.59	-16.49%
泰國			
證交所指數	916.21	1,041.48	-12.03%
紐約			
杜瓊斯工業平均指數	10,913.38	12,414.34	-12.09%
Nasdaq綜合指數	2,415.40	2,773.52	-12.91%
多倫多			
S&P/TSX綜合指數	11,623.84	13,300.87	-12.61%
德國			
法蘭克福指數	3,121.22	4,184.30	-25.41%
倫敦			
富時指數(100)	5,128.50	5,945.70	-13.74%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2011年第三季	2010年第三季
恒生指數期貨	6,611,545	5,027,573
恒生指數期權	3,097,483	2,035,171
小型恒生指數期貨	3,084,022	1,948,828
小型恒生指數期權	282,713	121,268
H股指數期貨	4,358,785	2,998,397
H股指數期權	981,265	644,820
小型H股指數期貨	585,017	229,747
自訂條款恒生指數期權	–	7,512
自訂條款H股指數期權	6,170	1,548
恒指股息點指數期貨 ¹	809	–
恒生國企股息點指數期貨 ²	24,394	–
股票期貨	132,733	45,941
股票期權	21,961,666	13,877,094
三個月港元利率期貨	165	99
一個月港元利率期貨	43	14
三年期外匯基金債券期貨	–	–
黃金期貨	1,311	1,094
總數	41,128,121	26,939,106

¹ 恒指股息點指數期貨由2010年11月01日開始買賣

² 恒生國企股息點指數期貨由2010年11月01日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第3季	5,027,573	113,153
	第4季	5,839,361	88,816
2011年	第1季	5,589,341	89,300
	第2季	5,167,935	95,917
	第3季	6,611,545	128,201

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第3季	1,948,828	7,181
	第4季	2,150,209	7,359
2011年	第1季	2,176,878	8,475
	第2季	2,160,435	10,213
	第3季	3,084,022	11,015

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第3季	2,035,171	235,934
	第4季	2,731,179	187,784
2011年	第1季	2,673,755	229,086
	第2季	2,584,559	222,085
	第3季	3,097,483	431,313

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第3季	2,998,397	114,171
	第4季	3,242,625	94,734
2011年	第1季	3,206,173	107,348
	第2季	3,371,887	114,333
	第3季	4,358,785	155,125

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2010年	第3季	11,967.70	13,877,094	5,090,926
	第4季	18,050.22	20,445,628	5,381,215
2011年	第1季	16,446.28	18,417,824	5,912,772
	第2季	14,491.79	16,340,993	5,622,028
	第3季	24,566.72	21,961,666	8,112,569

衍生產品市場 期貨及期權

各期權類別之期權金總額

2011年第3季

期權金額	期權類別(百萬港元)	佔市場百分比	
X安碩A50中國	A50	515.87	2.10%
農業銀行	ABC	242.98	0.99%
友邦保險	AIA	485.98	1.98%
中國鋁業	ALC	80.72	0.33%
中國銀行	BCL	751.42	3.06%
交通銀行	BCM	79.88	0.33%
東亞銀行	BEA	13.23	0.05%
中銀香港	BOC	373.04	1.52%
比亞迪股份	BYD	91.41	0.37%
建設銀行	CCB	1,027.99	4.18%
中國交通建設	CCC	25.24	0.10%
中國中煤能源	CCE	96.78	0.39%
中國遠洋	CCS	46.00	0.19%
中國移動	CHT	2,390.32	9.73%
中國聯通	CHU	182.13	0.74%
中信泰富	CIT	69.78	0.28%
長江實業	CKH	745.56	3.03%
中國人壽	CLI	2,769.02	11.27%
中電控股	CLP	73.11	0.30%
招商銀行	CMB	184.73	0.75%
中國海洋石油	CNC	1,142.87	4.65%
中國海外發展	COL	109.45	0.45%
國泰航空	CPA	16.54	0.07%
中國石油化工股份	CPC	243.66	0.99%
中國太保	CPI	110.08	0.45%
中國鐵建	CRC	19.80	0.08%
中國中鐵	CRG	21.22	0.09%
X標智滬深300	CS3	3.23	0.01%
中國神華	CSE	180.02	0.73%
中信銀行	CTB	29.51	0.12%
中國電信	CTC	122.16	0.50%
思捷環球	ESP	97.32	0.40%
富士康國際	FIH	7.43	0.03%
電能實業	HEH	89.74	0.37%
香港交易所	HEX	772.65	3.15%
匯豐控股	HKB	2,926.35	11.91%
香港中華煤氣	HKG	10.87	0.04%
恒基地產	HLD	52.92	0.22%
華能國際電力股份	HNP	10.06	0.04%
恒生銀行	HSB	90.51	0.37%
和記黃埔	HWL	1,922.27	7.82%
工商銀行	ICB	762.02	3.10%
江西銅業	JXC	262.52	1.07%
利豐	LIF	157.95	0.64%
地鐵公司	MTR	42.93	0.17%
新世界發展	NWD	22.95	0.09%
中國平安	PAI	1,615.07	6.57%
中國石油股份	PEC	403.99	1.64%
中國人民財產保險	PIC	162.99	0.66%
富力地產	RFP	29.51	0.12%
新鴻基地產	SHK	1,572.48	6.40%
太古股份公司A	SWA	101.98	0.42%
騰訊控股	TCH	909.19	3.70%
盈富基金	TRF	32.46	0.13%
九龍倉集團	WHL	66.06	0.27%
兗州煤業股份	YZC	173.00	0.70%
紫金礦業	ZJM	27.78	0.11%
總額		24,566.72	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2011年第3季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	239,414	1.09%
農業銀行	ABC	120,057	0.55%
友邦保險	AIA	531,142	2.42%
中國鋁業	ALC	87,623	0.40%
中國銀行	BCL	2,032,438	9.25%
交通銀行	BCM	158,293	0.72%
東亞銀行	BEA	60,531	0.28%
中銀香港	BOC	344,532	1.57%
比亞迪股份	BYD	109,392	0.50%
建設銀行	CCB	2,670,840	12.16%
中國交通建設	CCC	63,881	0.29%
中國中煤能源	CCE	159,939	0.73%
中國遠洋	CCS	98,269	0.45%
中國移動	CHT	1,669,553	7.60%
中國聯通	CHU	128,913	0.59%
中信泰富	CIT	53,060	0.24%
長江實業	CKH	168,510	0.77%
中國人壽	CLI	864,076	3.93%
中電控股	CLP	122,511	0.56%
招商銀行	CMB	343,099	1.56%
中國海洋石油	CNC	842,681	3.84%
中國海外發展	COL	78,089	0.36%
國泰航空	CPA	22,660	0.10%
中國石油化工股份	CPC	302,355	1.38%
中國太保	CPI	100,938	0.46%
中國鐵建	CRC	47,376	0.22%
中國中鐵	CRG	34,978	0.16%
X標智滬深300	CS3	3,919	0.02%
中國神華	CSE	270,422	1.23%
中信銀行	CTB	87,096	0.40%
中國電信	CTC	146,780	0.67%
思捷環球	ESP	438,512	2.00%
富士康國際	FIH	24,175	0.11%
電能實業	HEH	127,643	0.58%
香港交易所	HEX	1,190,810	5.42%
匯豐控股	HKB	2,177,007	9.91%
香港中華煤氣	HKG	19,451	0.09%
恒基地產	HLD	31,105	0.14%
華能國際電力股份	HNP	16,943	0.08%
恒生銀行	HSB	324,607	1.48%
和記黃埔	HWL	647,792	2.95%
工商銀行	ICB	1,974,882	8.99%
江西銅業	JXC	167,147	0.76%
利豐	LIF	96,666	0.44%
地鐵公司	MTR	77,832	0.35%
新世界發展	NWD	26,518	0.12%
中國平安	PAI	400,154	1.82%
中國石油股份	PEC	490,060	2.23%
中國人民財產保險	PIC	118,062	0.54%
富力地產	RFP	110,076	0.50%
新鴻基地產	SHK	190,805	0.87%
太古股份公司A	SWA	73,077	0.33%
騰訊控股	TCH	1,072,648	4.88%
盈富基金	TRF	62,858	0.29%
九龍倉集團	WHL	39,072	0.18%
兗州煤業股份	YZC	60,288	0.27%
紫金礦業	ZJM	40,109	0.18%
總數		21,961,666	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年/月	交收指示的數量	股數 (10億股)	數值 (10億港元)	
2010	第3季	74,032	46.78	169.54
	第4季	92,915	54.76	234.79
2011	第1季	86,292	47.11	202.59
	第2季	87,498	49.00	233.07
	第3季	84,654	50.41	221.54

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年/月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)	
2010	第3季	499	115.92	177.54
	第4季	715	120.35	480.27
2011	第1季	516	178.77	227.52
	第2季	527	108.05	323.66
	第3季	489	123.92	383.03

經中央結算系統存管的股份

(按季度結存統計)

年/月	股數 (10億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)	
2010	第3季	3,538.16	71.67	10,442.07	50.28
	第4季	3,834.10	71.80	11,294.50	49.57
2011	第1季	3,933.19	72.49	11,539.57	50.44
	第2季	3,707.09	69.37	11,612.87	50.11
	第3季	3,771.07	71.19	8,909.87	49.96

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年/月	買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2010 第3季	688,441	100	140,304.39	100	61,762.38	100
第4季	904,380	100	171,236.05	100	86,455.45	100
2011 第1季	855,117	100	164,899.54	100	75,908.44	100
第2季	869,474	100	156,793.01	100	71,017.11	100
第3季	908,434	100	165,464.02	100	72,538.55	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2010 第3季	65,867	95.01	24,660.06	91.10
第4季	80,167	95.27	31,301.46	90.52
2011 第1季	74,506	95.39	27,083.36	91.57
第2季	70,588	95.73	25,359.54	91.71
第3季	63,710	96.23	20,235.50	93.60

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月	交收效率 (%)	
	到期交收日	到期交收日翌日
2010 第3季	99.90	99.99
第4季	99.86	99.99
2011 第1季	99.90	99.99
第2季	99.88	99.99
第3季	99.87	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2011年第三季	2010年第三季
恒生指數期貨	128,201	113,153
恒生指數期權	431,313	235,934
小型恒生指數期貨	11,015	7,181
小型恒生指數期權	13,028	5,757
H股指數期貨	155,125	114,171
H股指數期權	275,972	150,634
小型H股指數期貨	2,977	1,072
自訂條款恒生指數期權	5,410	606
自訂條款H股指數期權	6,370	516
恒指股息點指數期貨 ¹	5,614	–
恒生國企股息點指數期貨 ²	24,538	–
股票期貨	24,653	6,887
股票期權	8,112,569	5,090,926
三個月港元利率期貨	85	130
一個月港元利率期貨	1	8
三年期外匯基金債券期貨	–	–
黃金期貨	132	92
總數	9,197,003	5,727,067

¹ 恒指股息點指數期貨由2010年11月01日開始買賣

² 恒生國企股息點指數期貨由2010年11月01日開始買賣

結算及交收

各期權類別之未平倉合約

2011年第3季末

期權類別		未平倉合約	佔市場百分比
X 安碩 A50 中國	A50	77,796	0.96
農業銀行	ABC	69,797	0.86
友邦保險	AIA	150,902	1.86
中國鋁業	ALC	40,106	0.49
中國銀行	BCL	1,062,510	13.10
交通銀行	BCM	90,777	1.12
東亞銀行	BEA	45,287	0.56
中銀香港	BOC	144,070	1.78
比亞迪股份	BYD	33,374	0.41
建設銀行	CCB	1,041,249	12.84
中國交通建設	CCC	55,435	0.68
中國中煤能源	CCE	74,068	0.91
中國遠洋	CCS	59,814	0.74
中國移動	CHT	388,217	4.79
中國聯通	CHU	100,111	1.23
中信泰富	CIT	33,366	0.41
長江實業	CKH	50,884	0.63
中國人壽	CLI	310,151	3.82
中電控股	CLP	42,638	0.53
招商銀行	CMB	168,897	2.08
中國海洋石油	CNC	306,012	3.77
中國海外發展	COL	28,012	0.35
國泰航空	CPA	10,569	0.13
中國石油化工股份	CPC	174,785	2.15
中國太保	CPI	21,248	0.26
中國鐵建	CRC	25,795	0.32
中國中鐵	CRG	17,645	0.22
X 標智滬深300	CS3	3,373	0.04
中國神華	CSE	99,555	1.23
中信銀行	CTB	61,751	0.76
中國電信	CTC	89,941	1.11
思捷環球	ESP	104,639	1.29
富士康國際	FIH	8,217	0.10
電能實業	HEH	36,069	0.44
香港交易所	HEX	321,350	3.96
匯豐控股	HKB	649,969	8.01
香港中華煤氣	HKG	17,993	0.22
恒基地產	HLD	11,409	0.14
華能國際電力股份	HNP	8,183	0.10
恒生銀行	HSB	124,119	1.53
和記黃埔	HWL	144,470	1.78
工商銀行	ICB	859,150	10.59

結算及交收

期權類別		未平倉合約	佔市場百分比
江西銅業	JXC	51,904	0.64
利豐	LIF	26,141	0.32
地鐵公司	MTR	27,096	0.33
新世界發展	NWD	12,648	0.16
中國平安	PAI	115,054	1.42
中國石油股份	PEC	199,613	2.46
中國人民財產保險	PIC	31,715	0.39
富力地產	RFP	33,331	0.41
新鴻基地產	SHK	84,853	1.05
太古股份公司A	SWA	20,963	0.26
騰訊控股	TCH	260,845	3.22
盈富基金	TRF	21,710	0.27
九龍倉集團	WHL	22,924	0.28
兗州煤業股份	YZC	26,510	0.33
紫金礦業	ZJM	13,559	0.17
總數		8,112,569	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2010	第3季	530 (953)	478 (898)	30 (33)	22 (22)
	第4季	538 (960)	485 (904)	31 (34)	22 (22)
2011	第1季	544 (965)	489 (907)	33 (36)	22 (22)
	第2季	547 (970)	490 (906)	35 (42)	22 (22)
	第3季	549 (973)	494 (911)	34 (41)	21 (21)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2010	第3季	220 (245)	177 (200)	–	43 (45)
	第4季	221 (246)	179 (202)	–	42 (44)
2011	第1季	222 (246)	180 (202)	–	42 (44)
	第2季	228 (252)	184 (206)	–	44 (46)
	第3季	228 (252)	185 (207)	–	43 (45)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年/月	結算參與者	全面結算參與者
2010 第3季	152	10
第4季	153	9
2011 第1季	156	9
第2季	159	9
第3季	160	9

聯交所期權結算所參與者

年/月	直接結算參與者	全面結算參與者
2010 第3季	55	6
第4季	56	6
2011 第1季	57	7
第2季	57	7
第3季	58	7

中央結算所參與者

年/月	直接結算參與者	全面結算參與者	非經紀參與者(*)
2010 第3季	460	7	41
第4季	468	7	44
2011 第1季	473	8	46
第2季	474	8	46
第3季	478	8	46

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示