



SECURITIES AND
DERIVATIVES MARKETS
QUARTERLY REPORT

Third Quarter 2011

Market Highlights

Main Board

Highlights	3rd Quarter 2011	3rd Quarter 2010
No. of listed companies	1,301	1,194
No. of listed securities	7,010	6,780
Equities		
Ordinary shares	1,302	1,195
Preference shares	3	2
Warrants	4,793	4,373
Equity	15	25
Derivative	4,778	4,348
Equity linked instrument	0	0
Callable bull/bear contracts	642	974
Unit trusts/mutual funds	87	76
Debt securities	183	160
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	63	64
Total turnover in value (HK\$ mil.) *	4,562,366.77	3,928,482.29
Total turnover in shares (Mil. shs)	10,384,374.95	8,890,661.52
Total number of trades (deals)	56,970,296	43,414,122
Total market capitalisation (HK\$ mil.)	16,147,694.26	19,557,277.66
Average P/E ratio (times)	9.02	15.95
Average dividend yield (%)	3.53	2.41
Number of exchange participants		
– Trading	494	478
– Non-trading	34	30
Turnover in value (HK\$ mil.)		
Highest	124,773.84 (05/08)	118,809.60 (08/09)
Lowest	49,646.96 (09/09)	39,934.89 (05/07)
Turnover in shares (Mil. shs)		
Highest	204,015.18 (23/09)	187,943.48 (16/09)
Lowest	75,513.21 (09/08)	109,464.46 (27/08)
Total number of trades (deals)		
Highest	1,609,989 (09/08)	925,386 (29/09)
Lowest	637,895 (09/09)	456,298 (05/07)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Growth Enterprise Market (GEM)

Highlights	3rd Quarter 2011	3rd Quarter 2010
No. of listed companies	166	171
No. of listed securities	168	172
Equities	166	171
Warrants	2	1
No. of trading days	63	64
Total turnover in value (HK\$ mil.) *	10,135.84	24,306.35
Total turnover in shares (Mil. shs)	40,291.94	88,818.93
Total number of trades (deals)	316,819	647,817
Total market capitalisation (HK\$ mil.)	77,346.08	129,859.18
Average P/E ratio (times)	20.23	33.11
Average dividend yield (%)	0.65	0.54
Turnover in value (HK\$ mil.)		
Highest	362.02 (08/08)	700.07 (22/09)
Lowest	72.06 (12/09)	192.75 (31/08)
Turnover in shares (Mil. shs)		
Highest	2,140.20 (08/08)	3,139.12 (29/07)
Lowest	367.55 (27/07)	686.07 (20/07)
Total number of trades (deals)		
Highest	8,313 (08/08)	15,859 (29/09)
Lowest	3,683 (28/09)	5,731 (20/07)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Derivatives Market Highlights

Highlights	3rd Quarter 2011	3rd Quarter 2010
All futures and options products (excluding stock options)		
No. of trading days	63.0	64.0
Total contract volume	19,166,455	13,062,012
Equity index products	19,032,203	13,014,864
Equity products	132,733	45,941
Interest rates products	208	113
Gold Futures	1,311	1,094
Average daily contract volume	304,229	204,094
Total open interest as at the quarter end (contracts)	1,084,434	636,141
Equity index products	1,059,563	629,024
Equity products	24,653	6,887
Interest rates products	86	138
Gold Futures	132	92
Stock options		
No. of newly introduced stock options classes	–	3
No. of stock options as at the quarter end		
Class	57	54
Series	19,540	10,348
Total premium (HK\$ mil.)	24,566.72	11,967.70
Call	5,505.40	4,463.54
Put	19,061.32	7,504.16
Average daily premium (HK\$ mil.)	389.95	187.00
Total contract volume	21,961,666	13,877,094
Call	9,056,823	6,052,065
Put	12,904,843	7,825,029
Average daily contract volume	348,598	216,830
Total open interest as at the quarter end (contracts)	8,112,569	5,090,926
Call	3,983,287	1,850,571
Put	4,129,282	3,240,355
Total number of trades	892,211	539,850
Average daily number of trades	14,162	8,435
Total contracts exercised	2,425,612	1,295,322
Average premium per contract (HK\$)	1,119	862
Average contract per trade (contracts)	25	26
Put volume/call volume	1.42	1.29
Average daily contract volume/open interest	4.3%	4.3%
All futures and options products		
Total contract volume	41,128,121	26,939,106
Total open interest as at the quarter end (contracts)	9,197,003	5,727,067

Market Highlights

Clearing and Settlement Statistics

Securities Market	3rd Quarter 2011	3rd Quarter 2010
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	908,434	688,441
– Value of trades (HK\$bil.)	72.5	61.8
– Share quantity Involved (bil shares)	165.5	140.3
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	84,654	74,032
– Value of SIs (HK\$bil.)	221.5	169.5
– Share quantity involved (bil. Shares)	50.4	46.8
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	489	499
– Value of ISIs (HK\$mil.)	383.0	177.5
– Share quantity involved (mil. shares)	123.9	115.9
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.87	99.90
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	5	7
– Number of buy-ins	6	7
– Value of buy-ins (HK\$mil)	2.1	1.4
Shares Deposited in the CCASS Depository		
– Number of shares (bil shares)	3,771.1	3,538.2
– Percentage of total issued shares of the admitted securities	71.19	71.67
– Value of shares (HK\$bil)	8,909.9	10,442.1
– Percentage of the total market capitalisation of the admitted securities	49.96	50.28
Derivatives Market		
Open Interest		
– Equity Index Futures	327,470	235,577
– Stock Futures	24,653	6,887
– Gold Futures	132	92
– Interest Rates Futures	86	138
– Equity Index Options	732,093	393,447
– Stock Options	8,112,569	5,090,926
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	2,342	860
– Value of shares (HK\$bil.)	44	18

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2010	Q3	794,114.98	2,759,690.18	35,997,455
	Q4	1,011,031.65	3,847,088.03	46,784,952
2011	Q1	747,798.73	3,315,169.08	42,267,831
	Q2	754,334.25	3,069,149.98	41,652,899
	Q3	597,541.33	3,222,655.92	45,991,628

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2010	Q3	64	43,120.16	76,663
	Q4	64	60,110.75	82,229
2011	Q1	62	53,470.47	78,432
	Q2	59	52,019.49	73,684
	Q3	63	51,153.27	70,070

Main Board Equities

20 Most Advanced Stocks

for 3rd quarter 2011

Rank	Code	Stock	Closing price		% up
			End of Sep 2011	End of Jun 2011	
1	02312	CH FIN LEASING	0.380	0.085	347.06
2	00412	HERITAGE INT'L	0.295	0.080	A 268.75
3	00955	SCHRAMM	77.000	29.900	157.53
4	00720	WO KEE HONG	0.310	0.195	58.97
5	01187	PEARLRIVER TYRE	3.000	1.900	57.89
6	00475	NOBLE JEWELRY	1.460	0.940	55.32
7	00667	HANNSTAR BOARD	1.150	0.800	43.75
8	00597	CHINA RES MICRO	0.480	0.335	43.28
9	01026	UNIVERSAL TECH	0.600	0.435	37.93
10	00938	MAN SANG INT'L	0.490	0.370	32.43
11	01060	CHINAVISION	0.465	0.370	25.68
12	00951	CHAOWEI POWER	3.110	2.590	20.08
13	00288	COSWAY CORP	0.900	0.750	20.00
14	02038	FIH	4.080	3.420	19.30
15	00992	LENOVO GROUP	5.290	4.450	18.88
16	00812	TANRICH	1.700	1.450	17.24
17	00270	GUANGDONG INV	4.870	4.160	17.07
18	00059	SKYFAME REALTY	0.820	0.710	15.49
19	00343	CULTURECOM HOLD	0.770	0.670	14.93
20	00215	HUTCHTEL HK	2.750	2.400	14.58

A Adjusted

20 Most Declined Stocks

for 3rd quarter 2011

Rank	Code	Stock	Closing price		% down
			End of Sep 2011	End of Jun 2011	
1	00692	BAO YUAN HOLD	0.012	0.150	-92.00
2	00736	CHI PPT INV-NEW	0.219	1.200	A -81.75
3	01142	SIBERIAN MINING	0.360	1.820	A -80.22
4	00928	TACK FAT GP-20K	0.150	0.680	A -77.94
5	01003	21 HOLDINGS	0.056	0.240	-76.67
6	01900	CHINA ITS	0.770	3.070	-74.92
7	01685	BOER POWER	1.960	7.010	-72.04
8	03300	CHINA GLASS	0.890	3.080	-71.10
9	01039	CHANGFENG AXLE	0.850	2.880	-70.49
10	00904	CHINA GREEN	1.480	4.960	-70.16
11	00515	TC INTERCONNECT	0.950	3.150	-69.84
12	01178	VITOP BIOENERGY	0.100	0.330	-69.70
13	01698	BOSHIWA INT'L	1.440	4.750	-69.68
14	00082	VODONE	0.590	1.840	-67.93
15	02678	TEXHONG TEXTILE	1.690	5.200	-67.50
16	00682	CHAODA MODERN	1.100	3.380	-67.46
17	01020	SINOREF HLDGS	0.450	1.380	-67.39
18	01049	NET2GATHER	0.074	0.226	-67.26
19	01163	DEJIN RES	0.078	0.236	-66.95
20	01393	HIDILI INDUSTRY	2.290	6.730	-65.97

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 3rd quarter 2011

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00939	CCB	160,471.42	4.98
2	00941	CHINA MOBILE	130,007.89	4.03
3	01398	ICBC	114,074.68	3.54
4	03988	BANK OF CHINA	113,965.81	3.54
5	00005	HSBC HOLDINGS	103,345.72	3.21
6	00883	CNOOC	82,275.23	2.55
7	01299	AIA	67,591.95	2.10
8	00013	HUTCHISON	67,301.09	2.09
9	02628	CHINA LIFE	65,356.40	2.03
10	00700	TENCENT	63,376.58	1.97
11	00857	PETROCHINA	58,825.59	1.83
12	02318	PING AN	58,418.43	1.81
13	00388	HKEX	52,433.55	1.63
14	03323	CNBM	49,359.66	1.53
15	01288	ABC	47,900.17	1.49
16	00914	ANHUI CONCH	43,446.97	1.35
17	01088	CHINA SHENHUA	40,276.37	1.25
18	00386	SINOPEC CORP	39,100.36	1.21
19	00762	CHINA UNICOM	38,892.30	1.21
20	00016	SHK PPT	34,795.78	1.08
Total			1,431,215.93	44.41

20 Most Active Stock by Shares

for 3rd quarter 2011

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	03988	BANK OF CHINA	35,159.08	5.88
2	00939	CCB	28,973.24	4.85
3	01398	ICBC	22,835.87	3.82
4	00993	SIMSEN INT'L	15,640.77	2.62
5	01288	ABC	13,165.03	2.20
6	00985	CST MINING	9,048.39	1.51
7	03800	GCL-POLY ENERGY	8,376.99	1.40
8	00692	BAO YUAN HOLD	7,529.65	1.26
9	00493	GOME	7,037.01	1.18
10	03333	EVERGRANDE	6,392.85	1.07
11	00736	CHI PPT INV-NEW	6,329.15	1.06
12	00857	PETROCHINA	5,828.48	0.98
13	00067	LUMENA NEWMAT	5,815.11	0.97
14	00566	APOLLO SOLAR EN	5,799.16	0.97
15	00883	CNOOC	5,438.30	0.91
16	00386	SINOPEC CORP	5,354.25	0.90
17	00273	WILLIE INT'L	4,921.47	0.82
18	00981	SMIC	4,913.59	0.82
19	01387	RENHE COMM	4,801.26	0.80
20	00728	CHINA TELECOM	4,799.04	0.80
Total			208,158.67	34.84

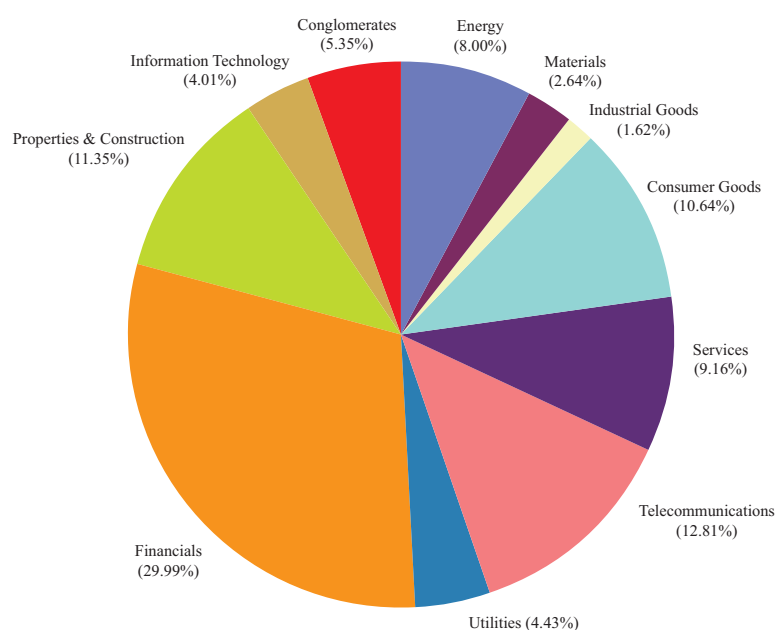
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2010	Q3	19,557,277.66
	Q4	20,942,284.39
2011	Q1	21,259,067.69
	Q2	20,992,345.92
	Q3	16,147,694.26

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 3rd quarter end 2011

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total
1	00941	China Mobile Ltd.	1,547,445.55	9.58
2	00939	China Construction Bank Corporation – H Shares	1,151,598.96	7.13
3	00005	HSBC Holdings plc	1,086,854.04	6.73
4	00883	CNOOC Ltd.	580,699.60	3.60
5	00762	China Unicom (Hong Kong) Ltd.	382,694.01	2.37
6	02888	Standard Chartered PLC	378,120.81	2.34
7	00805	Glencore International plc *	–	336,790.01
8	01398	Industrial and Commercial Bank of China Ltd. – H Shares	331,553.25	2.05
9	00700	Tencent Holdings Ltd.	301,424.49	1.87
10	01299	AIA Group Ltd.	268,581.20	1.66
11	00013	Hutchison Whampoa Ltd.	249,194.02	1.54
12	00016	Sun Hung Kai Properties Ltd.	232,460.04	1.44
13	03988	Bank of China Ltd. – H Shares	204,874.58	1.27
14	00857	PetroChina Co. Ltd. – H Shares	204,026.36	1.26
15	00001	Cheung Kong (Holdings) Ltd.	198,263.67	1.23
16	02388	BOC Hong Kong (Holdings) Ltd.	176,565.43	1.09
17	00011	Hang Seng Bank Ltd.	175,602.76	1.09
18	02378	Prudential plc *	–	174,652.29
19	00002	CLP Holdings Ltd.	169,753.42	1.05
20	00945	Manulife Financial Corporation *	–	163,120.37
21	01928	Sands China Ltd.	150,035.91	0.93
22	02628	China Life Insurance Co. Ltd. – H Shares	139,894.09	0.87
23	00003	Hong Kong and China Gas Co. Ltd., The	139,207.76	0.86
24	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	138,334.17	0.86
25	03328	Bank of Communications Co., Ltd. – H Shares	136,838.03	0.85
26	00066	MTR Corporation Ltd.	135,935.77	0.84
27	06210	Vale S.A. – DR *	–	135,725.13
28	00386	China Petroleum & Chemical Corporation – H Shares	128,874.15	0.80
29	00006	Power Assets Holdings Ltd.	127,735.56	0.79
30	00388	Hong Kong Exchanges and Clearing Ltd.	123,776.69	0.77
31	00019	Swire Pacific Ltd.	121,122.11	0.75
32	00004	Wharf (Holdings) Ltd., The	117,837.72	0.73
33	01880	Belle International Holdings Ltd.	114,705.57	0.71
34	00322	Tingyi (Cayman Islands) Holding Corp.	107,218.37	0.66
35	00494	Li & Fung Ltd.	107,110.33	0.66
36	01038	Cheung Kong Infrastructure Holdings Ltd.	106,879.04	0.66
37	01088	China Shenhua Energy Co. Ltd. – H Shares	105,525.99	0.65
38	00101	Hang Lung Properties Ltd.	104,650.07	0.65
39	00486	United Company RUSAL Ltd. *	–	103,464.43
40	01128	Wynn Macau, Ltd.	97,422.19	0.60
41	00151	Want Want China Holdings Ltd.	93,596.30	0.58
42	00688	China Overseas Land & Investment Ltd.	92,512.92	0.57
43	01913	PRADA S.p.A.	84,057.37	0.52
44	00012	Henderson Land Development Co. Ltd.	83,968.83	0.52
45	01288	Agricultural Bank of China Ltd. – H Shares	79,306.16	0.49
46	00880	SJM Holdings Ltd.	77,856.88	0.48
47	01044	Hengan International Group Co. Ltd.	77,363.52	0.48
48	06808	Sun Art Retail Group Ltd.	77,271.61	0.48
49	00728	China Telecom Corporation Ltd. – H Shares	68,831.95	0.43
50	00291	China Resources Enterprise, Ltd.	62,857.76	0.39
Total			10,640,439.02	65.89
Market Total			16,147,694.26	100.000

Notes:

Foreign company included since July 2010

* Foreign companies not solely listed in Hong Kong

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.) *	% of equity total
2010	Q3	231,187.06	2,783,988.10	8.30
	Q4	308,007.77	3,885,615.68	7.93
2011	Q1	273,905.42	3,337,783.59	8.21
	Q2	327,730.09	3,089,381.94	10.61
	Q3	409,874.98	3,232,791.53	12.68

* Include Main Board and GEM figures

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs) *	% of equity total
2010	Q3	20,554.19	882,787.31	2.33
	Q4	26,787.09	1,131,725.16	2.37
2011	Q1	22,721.76	872,394.66	2.60
	Q2	29,078.38	833,504.44	3.49
	Q3	39,305.84	637,817.87	6.16

* Include Main Board and GEM figures

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2010	Q3	21	5	109,964.78
	Q4	47	5	288,596.31
2011	Q1	10	4	17,317.76
	Q2	22	6	156,775.55
	Q3	18	1	19,494.12

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

Main Board Equities

Newly Listed Companies

for 3rd quarter 2011

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
01082	Modern Education Group Ltd.	2011/07/04	400,000,000	150.80
01231	Newton Resources Ltd.	2011/07/04	4,000,000,000	1,750.00
01151	Elec & Eltek International Co. Ltd.	2011/07/08	186,862,362	–
06828	China Print Power Group Ltd.	2011/07/12	152,209,373	57.72
01165	Shunfeng Photovoltaic International Ltd.	2011/07/13	1,560,000,000	432.90
02098	Zall Development (Cayman) Holding Co., Ltd.	2011/07/13	3,500,000,000	1,517.25
03777	China Fiber Optic Network System Group Ltd.	2011/07/14	1,217,300,000	509.16
02123	Golden Shield Holdings (Industrial) Ltd.	2011/07/14	941,900,000	171.43
01259	Prince Frog International Holdings Ltd.	2011/07/15	1,008,250,000	805.74
06838	Winox Holdings Ltd.	2011/07/20	500,000,000	233.75
01663	Sino Harbour Property Group Ltd.	2011/07/22	1,200,000,000	330.00
01127	1010 Printing Group Ltd.	2011/07/25	500,000,000	87.50
06808	Sun Art Retail Group Ltd.	2011/07/27	9,539,704,700	9,471.06
01236	Qianlong Technology International Holdings Ltd. *	2011/08/29	252,600,000	–
01028	Hongguo International Holdings Ltd.	2011/09/23	2,000,000,000	1,150.00
06868	Tenfu (Cayman) Holdings Co. Ltd.	2011/09/26	1,227,207,460	1,251.72
01096	Active Group Holdings Ltd.	2011/09/28	1,200,000,000	360.00
01235	Travel Expert (Asia) Enterprises Ltd.	2011/09/29	500,000,000	63.00
03788	China Hanking Holdings Ltd.	2011/09/30	1,830,000,000	1,152.09
Total				19,494.12

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

Main Board Equities

Withdrawal of Listed Companies

for 3rd quarter 2011

Code	Company name	Last trading date	Delisted date
00304	Peace Mark (Holdings) Ltd.	2008/08/15	2011/07/29
00502	Pan Sino International Holding Ltd.	2007/04/27	2011/09/19

Main Board Equities

Company Name Changes

for 3rd quarter 2011

Code	Old name	New name	Effective date	Adoption date
00195	Vitar International Holdings Ltd.	Goodtop Tin International Holdings Ltd.	2011/05/12	2011/07/04
02018	AAC Acoustic Technologies Holdings Inc.	AAC Technologies Holdings Inc.	2011/05/26	2011/07/08
00174	Gemini Property Investments Ltd.	Gemini Investments (Holdings) Ltd.	2011/07/06	2011/07/12
01049	Celestial Asia Securities Holdings Ltd.	Net2Gather (China) Holdings Ltd.	2011/06/03	2011/07/13
00827	Ko Yo Ecological Agrotech (Group) Ltd.	Ko Yo Chemical (Group) Ltd.	2011/06/07	2011/07/15
00605	K.P.I. Co. Ltd.	China Financial Services Holdings Ltd.	2011/07/07	2011/07/20
03928	Zhengzhou Gas Co. Ltd. – H Shares	Zhengzhou China Resources Gas Co. Ltd. – H Shares	2011/06/28	2011/07/22
01007	Global Dairy Holdings Ltd.	Daqing Dairy Holdings Ltd.	2011/06/17	2011/07/25
00455	Yunnan Enterprises Holdings Ltd.	Tianda Holdings Ltd.	2011/07/05	2011/07/26
01133	Harbin Power Equipment Co. Ltd. – H Shares	Harbin Electric Co. Ltd. – H Shares	2011/07/01	2011/08/12
00155	China Solar Energy Holdings Ltd. #	China Solar Energy Holdings Ltd.	2011/08/01	2011/08/19
01041	Fulbond Holdings Ltd.	China New Energy Power Group Ltd.	2011/08/05	2011/09/28
01226	Garron International Ltd.	China Investment and Finance Group Ltd.	2011/08/08	2011/09/28
00290	China Fortune Group Ltd.	China Fortune Financial Group Ltd.	2011/08/22	2011/09/29

* Change of English name only

Change of Chinese name only

Main Board Equities

Bonus Issues/Bonus Warrants

for 3rd quarter 2011

Code	Company	Particulars	Ex-date
03328	Bank of Communications Co., Ltd. – H Shares	1 for 10	2011/07/08
00993	Simsen International Corporation Ltd.	1 2012 wts for 5 rts shs	2011/07/12
00317	Guangzhou Shipyard International Co. Ltd. – H Shares	3 for 10	2011/07/14
00398	Oriental Watch Holdings Ltd.	1 for 5	2011/07/21
00081	China Overseas Grand Oceans Group Ltd.	1 for 2	2011/08/30
00077	AMS Public Transport Holdings Ltd.	1 for 10	2011/09/09
00867	China Medical System Holdings Ltd.	1 for 4	2011/09/16
00065	DeTeam Co. Ltd.	1 for 5	2011/09/23
00412	Heritage International Holdings Ltd.	1 2013 wts for 5 rts shs	2011/09/23

Main Board Equities

Share Split/Consolidation

for 3rd quarter 2011

Code	Company	Particulars	Effective date
01223	Symphony Holdings Ltd.	Consolidation 2 into 1	2011/07/26
00149	China Agri-Products Exchange Ltd.	Consolidation 10 into 1	2011/08/02
00928	Tack Fat Group International Ltd.	Consolidation 10 into 1	2011/08/05
00376	Asia TeleMedia Ltd.	Consolidation 50 into 1	2011/08/10
00332	Ngai Lik Industrial Holdings Ltd.	Consolidation 10 into 1	2011/09/05
00736	China Properties Investment Holdings Ltd.	Consolidation 30 into 1	2011/09/14
01063	Suncorp Technologies Ltd.	Consolidation 30 into 1	2011/09/15
02349	China Water Property Group Ltd.	Consolidation 10 into 1	2011/09/19
00091	New Smart Energy Group Ltd.	Consolidation 2 into 1	2011/09/19
01129	China Water Industry Group Ltd.	Consolidation 10 into 1	2011/09/27

Main Board Equities

Rights Issues & Open Offers

for 3rd quarter 2011

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	00021	Waytung Global Group Ltd.	Rts 1 for 2 @\$0.40	154.61
	01178	Vitop Bioenergy Holdings Ltd.	Rts 1 for 3 @\$0.25	73.57
	01172	Midas International Holdings Ltd.	Rts 1 for 1 @\$0.10	110.36
	01003	21 Holdings Ltd.	Rts 8 for 1 @\$0.10	119.00
			(after consolidation 10 into 1)	
	00692	Bao Yuan Holdings Ltd.	Rts 22 for 1 @\$0.05	387.34
	00998	China CITIC Bank Corporation Ltd. – H Shares	Rts 2 H shares for 10 H shares @\$4.01	9,946.25
	00717	Emperor Capital Group Ltd.	Rts 2 for 1 @\$0.338	585.29
	00928	Tack Fat Group International Ltd.	Open offer 339 for 5 @\$0.01	150.01
	00901	Radford Capital Investment Ltd.	Rts 1 for 2 @\$0.75	53.67
Aug	00993	Simsen International Corporation Ltd.	Rts 20 for 1 @\$0.03	779.43
			with bonus 1 2012 wts for 5 rts shs	
	00764	Eternity Investment Ltd.	Open offer 5 for 1 @\$0.04	264.48
	00185	Xpress Group Ltd.	Open offer 3 for 20 @\$0.11	43.57
	00149	China Agri-Products Exchange Ltd.	Rts 30 for 1 @\$0.195	464.41
		(after consolidation 10 into 1)		
Sep	00952	Quam Ltd.	Rts 1 for 4 @\$0.25	59.66
	00630	Guojin Resources Holdings Ltd.	Rts 11 for 10 @\$0.05	82.71
Total				13,274.36

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2011					
Jun **	01777	FANTASIA	333,333,000	1.200	400.00
Jul	00802	RCG	82,200,000	1.000	82.20
	00136	MASCOTTE HOLD	2,200,000,000	0.400	880.00
	00290	C FORTUNE FIN	150,000,000	0.330	49.50
	00708	NEW MEDIA	144,000,000	0.696	100.22
	01008	BRILLIANT CIR	45,000,000	6.500	292.50
	00544	DAIDO GROUP	239,900,000	0.199	47.74
	01038	CKI HOLDINGS	84,500,000	40.410	3,414.65
	00643	CARRY WEALTH	74,300,000	0.650	48.30
Aug	01051	G-RESOURCES	2,813,364,000	0.600	1,688.02
	00329	DRAGONITE INT'L	750,000,000	0.100	75.00
	00745	CH RAILSMEDIA	310,000,000	0.078	24.18
	01728	ZHENGTONGAUTO	200,000,000	10.450	2,090.00
	00729	SINOPOLYBATTERY	700,000,000	0.520	364.00
	00376	ASIA TELEMEDIA	128,225,806	0.620	79.50
	00665	HAITONG INT'L	200,000,000	4.250	850.00
	01164	VITAL GROUP	1,670,000,000	0.230	384.10
	02324	CAPITAL VC	250,000,000	0.300	75.00
	01046	UNIVERSE INT'L	87,165,000	0.115	10.02
	00969	HUA LIEN INT'L	90,000,000	0.600	54.00
	00256	CHINA HAIDIAN	10,000,000	0.990	9.90
	01142	SIBERIAN MINING	141,000,000	0.040	5.64
	00736	CHI PPT INV-NEW	1,028,000,000	0.022	22.62
Sep	02312	CH FIN LEASING	139,635,000	0.051	7.12
Total					11,054.20

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2010	Q3	375.85	669,145.29	669,521.14
	Q4	884.16	896,594.85	897,479.00
2011	Q1	393.42	829,434.95	829,828.38
	Q2	401.20	636,349.65	636,750.86
	Q3	28.67	654,145.24	654,173.91

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2010	Q3	25	2,204.10	4,348	131,501.94	4,373	133,706.04
	Q4	22	2,049.41	5,148	142,445.77	5,170	144,495.18
2011	Q1	23	1,217.46	5,689	148,882.52	5,712	150,099.98
	Q2	15	785.68	4,836	96,546.69	4,851	97,332.38
	Q3	15	429.33	4,778	86,648.18	4,793	87,077.51

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2010	Q3	2	1,938	72,490.02
	Q4	1	2,703	115,549.29
2011	Q1	2	2,056	86,446.46
	Q2	2	1,606	59,723.05
	Q3	2	1,979	73,939.94

Newly Listed Equity Warrants

for 3rd quarter 2011

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
01056	China Star Entertainment Ltd. Warrants Dec 2012	73,677,044	2011/07/04	2012/12/28	–
01257	Simsen International Corporation Ltd. Warrants 2012	5,196,176,000 units	2011/08/22	2012/08/21	–
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 3rd quarter 2011

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12859	BNP Paribas Arbit Issu B.V. – Esprit European Wts May 2012	80,000,000	2011/07/04	2012/05/03	20.00
12860	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Euro Wt May 2012	80,000,000	2011/07/04	2012/05/03	20.00
12861	BNP Paribas Arbit Issu B.V. – Kerry Ppt Euro Wts May 2012	38,000,000	2011/07/04	2012/05/03	10.64
12862	BNP Paribas Arbit Issu B.V. – New World Dev Euro Wts Mar 2013	100,000,000	2011/07/04	2013/03/04	25.00
12863	BNP Paribas Arbit Issu B.V. – Shimao Ppt Euro Wts Aug 2013	100,000,000	2011/07/04	2013/08/02	25.00
12846	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan 12A	200,000,000	2011/07/04	2012/01/30	51.00
12851	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Feb 2012	200,000,000	2011/07/04	2012/02/28	51.00
12849	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2012	200,000,000	2011/07/04	2012/02/28	50.00
12852	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012	200,000,000	2011/07/04	2012/01/30	50.00
12853	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012	200,000,000	2011/07/04	2012/03/29	30.20
12854	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts May 2012	200,000,000	2011/07/04	2012/05/30	50.00
12857	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 F	200,000,000	2011/07/04	2011/12/29	35.00
12847	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 B	200,000,000	2011/07/04	2012/01/30	50.00
12848	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 C	200,000,000	2011/07/04	2012/01/30	33.00
12850	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2012 A	200,000,000	2011/07/04	2012/02/28	51.00
12856	Standard Chartered Bank – China Life Euro Put Wts Feb 2012 A	100,000,000	2011/07/04	2012/02/02	25.00
12855	Standard Chartered Bank – HKEx European Warrants Feb 2012	100,000,000	2011/07/04	2012/02/28	25.00
12864	BOCI Asia Ltd. – China Mobile European Warrants Dec 2011	100,000,000	2011/07/05	2011/12/02	16.00
12898	BNP Paribas Arbit Issu B.V. – Cathay Pacific Euro Wts Feb 12	100,000,000	2011/07/05	2012/02/02	19.00
12907	J P Morgan SP BV – BOCL European Warrants Nov 2011	200,000,000	2011/07/05	2011/11/17	30.00
12906	J P Morgan SP BV – BOCL European Warrants Dec 2011	200,000,000	2011/07/05	2011/12/01	30.00
12905	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Nov 2011	200,000,000	2011/07/05	2011/11/23	30.00
12903	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2011 A	200,000,000	2011/07/05	2011/12/09	47.20
12888	J P Morgan SP BV – China Life European Warrants Nov 2011	300,000,000	2011/07/05	2011/11/21	45.00
12890	J P Morgan SP BV – China Life European Warrants Nov 2011 A	300,000,000	2011/07/05	2011/11/28	45.00
12889	J P Morgan SP BV – China Life European Warrants Dec 2011 A	300,000,000	2011/07/05	2011/12/23	45.00
12908	J P Morgan SP BV – HKEx European Put Wts Dec 2011 A	300,000,000	2011/07/05	2011/12/05	45.00
12892	J P Morgan SP BV – HSBC European Warrants Nov 2011	200,000,000	2011/07/05	2011/11/28	43.40
12894	J P Morgan SP BV – HSBC European Warrants Nov 2011 A	200,000,000	2011/07/05	2011/11/25	60.60
12893	J P Morgan SP BV – HSBC European Warrants Dec 2011 A	200,000,000	2011/07/05	2011/12/05	30.00
12891	J P Morgan SP BV – Hutchison European Warrants Dec 2011	100,000,000	2011/07/05	2011/12/19	48.50
12895	J P Morgan SP BV – ICBC European Warrants Dec 2011	150,000,000	2011/07/05	2011/12/19	55.50
12904	J P Morgan SP BV – Tencent European Warrants Nov 2011 A	200,000,000	2011/07/05	2011/11/28	32.80
12868	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Dec 2011	80,000,000	2011/07/05	2011/12/13	21.20
12869	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Dec 11A	80,000,000	2011/07/05	2011/12/06	15.20
12870	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2012	80,000,000	2011/07/05	2012/01/05	20.40
12871	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Apr 2012	80,000,000	2011/07/05	2012/04/23	15.20
12865	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Nov 2011	80,000,000	2011/07/05	2011/11/25	19.76
12866	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2011	80,000,000	2011/07/05	2011/12/19	31.20
12872	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Dec11	80,000,000	2011/07/05	2011/12/12	33.20
12867	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2011 A	80,000,000	2011/07/05	2011/12/15	16.08
12875	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Dec 2011	200,000,000	2011/07/05	2011/12/12	56.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12876	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Feb 2012	200,000,000	2011/07/05	2012/02/15	50.00
12877	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2011 A	130,000,000	2011/07/05	2011/12/28	68.90
12878	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2011 B	200,000,000	2011/07/05	2011/12/14	70.00
12879	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011C	300,000,000	2011/07/05	2011/11/28	75.00
12880	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011B	300,000,000	2011/07/05	2011/12/28	63.00
12897	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2016	500,000,000	2011/07/05	2016/03/23	75.00
12881	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2011 C	300,000,000	2011/07/05	2011/10/12	75.00
12896	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2012 A	300,000,000	2011/07/05	2012/01/09	129.00
12887	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2012	200,000,000	2011/07/05	2012/01/30	50.00
12885	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011 D	300,000,000	2011/07/05	2011/12/29	57.00
12886	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 11E	300,000,000	2011/07/05	2011/12/29	45.00
12874	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2011	120,000,000	2011/07/05	2011/12/28	90.00
12882	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jan 2012	120,000,000	2011/07/05	2012/01/11	70.80
12884	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2012	180,000,000	2011/07/05	2012/02/15	50.40
12883	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011 A	200,000,000	2011/07/05	2011/11/30	56.00
12873	UBS AG – BOCL European Warrants Jan 2012	100,000,000	2011/07/05	2012/01/10	25.00
12901	UBS AG – HSBC European Warrants Jan 2012	300,000,000	2011/07/05	2012/01/10	75.00
12899	UBS AG – HSI European Warrants Nov 2011 B	300,000,000	2011/07/05	2011/11/29	45.00
12902	UBS AG – HSI European Put Warrants Nov 2011 C	300,000,000	2011/07/05	2011/11/29	45.00
12900	UBS AG – PetroChina European Warrants Dec 2012	300,000,000	2011/07/05	2012/12/19	45.00
12915	J P Morgan SP BV – Anhui Conch European Warrants Jan 2012	100,000,000	2011/07/06	2012/01/06	28.00
12918	J P Morgan SP BV – Zoomlion European Warrants Jan 2012	200,000,000	2011/07/06	2012/01/06	50.00
12917	J P Morgan SP BV – Esprit European Wts Dec 2011	100,000,000	2011/07/06	2011/12/23	15.00
12914	J P Morgan SP BV – Li & Fung European Warrants Jun 2012	150,000,000	2011/07/06	2012/06/28	37.50
12916	J P Morgan SP BV – Shimao Property European Wts Jun 2013	150,000,000	2011/07/06	2013/06/27	37.50
12909	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Mar 2012	80,000,000	2011/07/06	2012/03/29	12.08
12910	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 E	80,000,000	2011/07/06	2011/12/29	12.24
12911	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Dec 2013	80,000,000	2011/07/06	2013/12/16	12.08
12912	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Nov 2011 B	80,000,000	2011/07/06	2011/11/09	18.08
12913	UBS AG – CC Bank European Warrants Nov 2011	200,000,000	2011/07/06	2011/11/23	30.00
12919	UBS AG – CNOOC European Warrants Jan 2012	300,000,000	2011/07/06	2012/01/10	75.00
12939	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2011 A	200,000,000	2011/07/07	2011/12/02	30.00
12938	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2012	200,000,000	2011/07/07	2012/06/04	78.00
12940	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2012	200,000,000	2011/07/07	2012/09/04	52.00
12937	BNP Paribas Arbit Issu B.V. – ICBC European Wts Feb 2012	200,000,000	2011/07/07	2012/02/02	52.00
12941	BNP Paribas Arbit Issu B.V. – Mongolia En Euro Wts Sep 2012	44,000,000	2011/07/07	2012/09/04	13.64
12936	BNP Paribas Arbit Issu B.V. – Sh Petrochem Euro Wts Feb 2012	30,000,000	2011/07/07	2012/02/02	10.20
12942	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Jul 2012	100,000,000	2011/07/07	2012/07/03	37.00
12935	HK Bank – Esprit European Warrants Apr 2012	100,000,000	2011/07/07	2012/04/27	25.00
12934	Macquarie Bank Ltd. – HSCEI European Put Warrants Jan 2012	200,000,000	2011/07/07	2012/01/30	50.00
12933	Macquarie Bank Ltd. – New World Dev European Wts Aug 2013	200,000,000	2011/07/07	2013/08/05	51.60
12920	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2012 A	80,000,000	2011/07/07	2012/01/27	20.00
12921	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2012	80,000,000	2011/07/07	2012/02/28	20.40
12924	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts May 2012	80,000,000	2011/07/07	2012/05/07	20.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12922	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Jan 2012	80,000,000	2011/07/07	2012/01/20	36.40
12923	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2012	80,000,000	2011/07/07	2012/02/20	20.00
12925	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2012 A	80,000,000	2011/07/07	2012/04/24	58.40
12926	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jan 12	80,000,000	2011/07/07	2012/01/11	29.60
12927	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2012	200,000,000	2011/07/07	2012/01/16	56.00
12928	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2012 A	200,000,000	2011/07/07	2012/01/16	50.00
12929	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 12A	200,000,000	2011/07/07	2012/01/16	86.00
12930	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 12B	200,000,000	2011/07/07	2012/01/16	60.00
12932	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jan 2012	100,000,000	2011/07/07	2012/01/16	45.00
12931	SGA Societe Generale Acceptance N.V. – Esprit Eu Wts Mar 12	80,000,000	2011/07/07	2012/03/28	20.00
12943	UBS AG – HSI European Warrants Oct 2011 B	300,000,000	2011/07/07	2011/10/28	45.00
12944	UBS AG – HSI European Put Warrants Oct 2011 C	300,000,000	2011/07/07	2011/10/28	45.00
11284 #	Standard Chartered Bank – BOCL European Warrants Dec 2011	150,000,000	2011/07/07	2011/12/01	13.20
29926 #	Standard Chartered Bank-China Life European Wts Dec 2011 B	100,000,000	2011/07/07	2011/12/08	21.70
12948	BOCI Asia Ltd. – China Tel European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/16	25.00
12946	BOCI Asia Ltd. – PetroChina European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/09	35.00
12947	BOCI Asia Ltd. – Yurun Food European Warrants Mar 2012	200,000,000	2011/07/08	2012/03/05	70.00
12961	J P Morgan SP BV – Alibaba European Warrants Jul 2012	100,000,000	2011/07/08	2012/07/03	25.00
12958	J P Morgan SP BV – China Everbright European Wts Jan 2012	200,000,000	2011/07/08	2012/01/26	30.00
12960	J P Morgan SP BV – China Tel European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/09	35.00
12955	J P Morgan SP BV – CITIC Bank European Warrants Jan 2012	100,000,000	2011/07/08	2012/01/09	35.00
12959	J P Morgan SP BV – CNOOC European Warrants Nov 2011	200,000,000	2011/07/08	2011/11/23	30.00
12956	J P Morgan SP BV – CNOOC European Warrants Jan 2012	200,000,000	2011/07/08	2012/01/09	50.00
12957	J P Morgan SP BV – Sinopec Corp European Warrants Oct 2011 A	100,000,000	2011/07/08	2011/10/31	15.00
12954	J P Morgan SP BV – PICC European Warrants Jan 2012	200,000,000	2011/07/08	2012/01/26	50.00
12949	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants May 2012	200,000,000	2011/07/08	2012/05/03	50.20
12951	Macquarie Bank Ltd. – Belle Int'l European Warrants Apr 2012	200,000,000	2011/07/08	2012/04/03	50.00
12950	Macquarie Bank Ltd. – BOCL European Warrants Feb 2012	200,000,000	2011/07/08	2012/02/02	50.00
12952	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Aug 2012	200,000,000	2011/07/08	2012/08/02	50.20
12945	Standard Chartered Bank – China Mobile Euro Wts Jan 2012	100,000,000	2011/07/08	2012/01/03	15.00
12953	UBS AG – HSI European Put Warrants Oct 2011 D	300,000,000	2011/07/08	2011/10/28	45.00
12987	Bank of East Asia – Agricultural Bank Euro Warrants Apr 2012	38,000,000	2011/07/11	2012/04/23	10.64
12986	Bank of East Asia – China Overseas Euro Warrants Jul 2012	85,000,000	2011/07/11	2012/07/23	21.25
12984	Bank of East Asia – Esprit European Warrants Jan 2012	60,000,000	2011/07/11	2012/01/20	15.00
12985	Bank of East Asia – Li & Fung European Warrants Jun 2012	95,000,000	2011/07/11	2012/06/22	23.75
12976	J P Morgan SP BV – Agricultural Bk Euro Warrants Jan 2012	150,000,000	2011/07/11	2012/01/11	37.50
12977	J P Morgan SP BV – Agricultural Bk Euro Warrants Jan 2012 A	150,000,000	2011/07/11	2012/01/11	40.80
12979	J P Morgan SP BV – China Life European Warrants Nov 2011 B	200,000,000	2011/07/11	2011/11/28	30.00
12964	J P Morgan SP BV – HSI European Warrants Nov 2011	200,000,000	2011/07/11	2011/11/29	30.00
12965	J P Morgan SP BV – HSI European Warrants Dec 2011	200,000,000	2011/07/11	2011/12/29	30.00
12966	J P Morgan SP BV – HSI European Warrants Jan 2012	200,000,000	2011/07/11	2012/01/30	50.00
12962	J P Morgan SP BV – HSI European Put Warrants Nov 2011	200,000,000	2011/07/11	2011/11/29	30.00
12963	J P Morgan SP BV – HSI European Put Warrants Nov 2011 A	200,000,000	2011/07/11	2011/11/29	30.00
12978	J P Morgan SP BV – Huaneng Power European Warrants Jan 2012	48,000,000	2011/07/11	2012/01/11	12.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12967	Macquarie Bank Ltd. – BYD European Warrants Jan 2012	100,000,000	2011/07/11	2012/01/31	25.00
12968	Macquarie Bank Ltd. – China Mobile European Wts Feb 2012	200,000,000	2011/07/11	2012/02/02	50.00
12969	Macquarie Bank Ltd. – Dongfeng Motor European Wts Apr 2012	100,000,000	2011/07/11	2012/04/27	25.00
12970	Macquarie Bank Ltd. – PICC P&C European Warrants Mar 2012	200,000,000	2011/07/11	2012/03/02	50.00
12972	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Nov 2011	200,000,000	2011/07/11	2011/11/30	30.00
12971	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 F	200,000,000	2011/07/11	2011/10/24	36.00
12980	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Feb 2012	100,000,000	2011/07/11	2012/02/22	25.00
12973	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan 2012	50,000,000	2011/07/11	2012/01/16	12.50
12974	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Jan 2012	50,000,000	2011/07/11	2012/01/16	19.00
12975	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2011	200,000,000	2011/07/11	2011/11/21	50.00
12981	UBS AG – China Mobile European Warrants Dec 2011 B	200,000,000	2011/07/11	2011/12/30	30.00
12982	UBS AG – HKEx European Warrants Aug 2012	500,000,000	2011/07/11	2012/08/06	75.00
12983	UBS AG – HSI European Put Warrants Oct 2011 E	300,000,000	2011/07/11	2011/10/28	45.00
12988	BOCI Asia Ltd. – HKEx European Warrants Dec 2011 A	300,000,000	2011/07/12	2011/12/19	45.00
13001	BNP Paribas Arbit Issu B.V. – Anhui Conch Eu Put Wt Feb 2012	100,000,000	2011/07/12	2012/02/02	45.00
12998	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2012	100,000,000	2011/07/12	2012/07/03	26.00
13000	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jan 2012 A	100,000,000	2011/07/12	2012/01/09	15.00
12999	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Jun 2012	100,000,000	2011/07/12	2012/06/04	25.00
13002	HK Bank – HKEx European Warrants Sep 2012	120,000,000	2011/07/12	2012/09/27	30.00
13003	HK Bank – HKEx European Put Warrants Sep 2012	100,000,000	2011/07/12	2012/09/27	25.00
13006	J P Morgan SP BV – HKEx European Warrants Nov 2011 A	200,000,000	2011/07/12	2011/11/21	30.00
12989	J P Morgan SP BV – HSBC European Warrants Nov 2011 B	200,000,000	2011/07/12	2011/11/28	30.00
13007	Macquarie Bank Ltd. – Agile Ppt European Warrants Jun 2012	200,000,000	2011/07/12	2012/06/04	50.00
13004	Macquarie Bank Ltd. – Brilliance Chi Euro Warrants Jul 2012	200,000,000	2011/07/12	2012/07/12	50.00
13008	Macquarie Bank Ltd. – China COSCO European Warrants Feb 2012	200,000,000	2011/07/12	2012/02/02	73.80
12990	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011	80,000,000	2011/07/12	2011/11/01	12.16
12992	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Nov 2011	80,000,000	2011/07/12	2011/11/24	22.40
12996	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Feb 2012	80,000,000	2011/07/12	2012/02/08	12.88
12997	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Put Wt Jun 2012	80,000,000	2011/07/12	2012/06/25	12.40
12991	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2012 B	80,000,000	2011/07/12	2012/01/16	12.24
12993	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Dec 2011 A	80,000,000	2011/07/12	2011/12/12	12.72
12994	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Dec 2011 A	80,000,000	2011/07/12	2011/12/20	13.12
12995	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Dec 2011 B	80,000,000	2011/07/12	2011/12/28	12.00
13005	UBS AG – HSBC European Warrants Nov 2015	500,000,000	2011/07/12	2015/11/30	75.00
13022	Barclays Bank plc – China Life European Warrants Dec 2011	100,000,000	2011/07/13	2011/12/28	18.30
13014	HK Bank – AIA European Warrants Jun 2012	120,000,000	2011/07/13	2012/06/18	30.00
13020	HK Bank – AIA European Put Warrants Jun 2012	100,000,000	2011/07/13	2012/06/28	25.00
13015	HK Bank – Sinopec Corp European Warrants Feb 2012	120,000,000	2011/07/13	2012/02/08	39.60
13017	HK Bank – PetroChina European Warrants Feb 2012	100,000,000	2011/07/13	2012/02/08	29.00
13021	HK Bank – PetroChina European Put Warrants Jan 2013	100,000,000	2011/07/13	2013/01/30	25.00
13016	HK Bank – Ch Rongsheng European Warrants Feb 2012	80,000,000	2011/07/13	2012/02/28	20.00
13018	HK Bank – Tencent European Warrants Feb 2012	160,000,000	2011/07/13	2012/02/08	40.00
13019	HK Bank – Tencent European Warrants Mar 2012	160,000,000	2011/07/13	2012/03/29	40.00
13025	J P Morgan SP BV – CITIC Pacific Euro Wts May 2012	100,000,000	2011/07/13	2012/05/30	25.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
13024	J P Morgan SP BV – Yurun Food European Warrants Jan 2012	100,000,000	2011/07/13	2012/01/13	25.00
13023	Standard Chartered Bank – BOCL European Warrants Jan 2012 A	100,000,000	2011/07/13	2012/01/03	21.00
13010	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Mar 2012	200,000,000	2011/07/13	2012/03/28	54.00
13013	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jan 2012 A	100,000,000	2011/07/13	2012/01/16	33.00
13011	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jan 12	100,000,000	2011/07/13	2012/01/16	28.00
13012	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Mar 12	100,000,000	2011/07/13	2012/03/28	25.00
13009	SGA Societe Generale Acceptance N.V. – Gome Euro Wt Jan 2012	60,000,000	2011/07/13	2012/01/16	21.60
13026	UBS AG – HSBC European Warrants Dec 2011 A	200,000,000	2011/07/13	2011/12/05	30.00
13027	UBS AG – PetroChina European Warrants Feb 2013	300,000,000	2011/07/13	2013/02/20	45.00
13035	HK Bank – Angang Steel European Warrants Nov 2013	100,000,000	2011/07/14	2013/11/29	25.00
13036	HK Bank – China Agri European Warrants May 2013	80,000,000	2011/07/14	2013/05/20	20.00
13037	HK Bank – CM Bank European Warrants Jun 2012	120,000,000	2011/07/14	2012/06/08	30.00
13038	HK Bank – China Shenhua European Warrants Mar 2012	120,000,000	2011/07/14	2012/03/12	30.00
13039	HK Bank – Jiangxi Copper European Warrants Feb 2012	120,000,000	2011/07/14	2012/02/28	30.00
13040	HK Bank – SkyworthDig European Warrants Jun 2012	80,000,000	2011/07/14	2012/06/15	44.00
13043	J P Morgan SP BV – Agile Ppt European Warrants Jan 2012	150,000,000	2011/07/14	2012/01/18	22.50
13044	J P Morgan SP BV – BOC HK European Warrants Jun 2012	150,000,000	2011/07/14	2012/06/26	22.50
13045	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2013	250,000,000	2011/07/14	2013/12/20	37.50
13042	J P Morgan SP BV – CPIC European Warrants Jan 2012	100,000,000	2011/07/14	2012/01/16	25.00
13041	J P Morgan SP BV – China Mengniu European Warrants Jan 2012	100,000,000	2011/07/14	2012/01/16	25.00
13033	Macquarie Bank Ltd. – CC Bank European Put Warrants Feb 2012	200,000,000	2011/07/14	2012/02/02	58.40
13028	Merrill Lynch Int'l & Co. C.V. – China Coal Eur Wts Jun 2013	80,000,000	2011/07/14	2013/06/17	14.08
13034	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Nov 2012	80,000,000	2011/07/14	2012/11/08	12.00
13031	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jan 2012 A	80,000,000	2011/07/14	2012/01/11	16.56
13029	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Jan 2012	80,000,000	2011/07/14	2012/01/16	45.60
13030	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2012 A	80,000,000	2011/07/14	2012/12/10	12.00
13032	Merrill Lynch Int'l & Co. C.V. – Yanzhou Coal Eu Wt Feb 2012	80,000,000	2011/07/14	2012/02/20	20.00
13046	Standard Chartered Bank-China Life European Wts Apr 2012	300,000,000	2011/07/14	2012/04/27	75.00
13047	Standard Chartered Bank-China Life European Wts Jul 2012	300,000,000	2011/07/14	2012/07/30	75.00
13048	UBS AG – China Life European Warrants Dec 2011 A	300,000,000	2011/07/14	2011/12/21	45.00
13076	Credit Suisse AG – Agricultural Bank European Wts Dec 2011	200,000,000	2011/07/15	2011/12/12	40.00
13077	Credit Suisse AG – AIA European Warrants Nov 2011 A	200,000,000	2011/07/15	2011/11/30	30.00
13075	Credit Suisse AG – CC Bank European Warrants Dec 2011 A	200,000,000	2011/07/15	2011/12/21	60.00
13085	Citigroup Global Mkt H Inc. – BOCL European Wts Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13084	Citigroup Global Mkt H Inc. – BankComm Euro Wts Feb 2012 A	50,000,000	2011/07/15	2012/02/06	12.50
13081	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Feb 2012	50,000,000	2011/07/15	2012/02/06	17.50
13082	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13097	Citigroup Global Mkt H Inc. – CM Bank European Wts May 2012	80,000,000	2011/07/15	2012/05/14	20.00
13083	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13079	Citigroup Global Mkt H Inc. – Esprit European Wts Feb 2012	80,000,000	2011/07/15	2012/02/06	20.00
13080	Citigroup Global Mkt H Inc. – HKEx European Wts Dec 2011 A	80,000,000	2011/07/15	2011/12/23	12.00
13078	Citigroup Global Mkt H Inc. – Maanshan Iron Eur Wts Feb 2012	50,000,000	2011/07/15	2012/02/06	12.50
13098	Citigroup Global Mkt H Inc. – PICC P&C European Wts May 2012	80,000,000	2011/07/15	2012/05/28	20.00
13099	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Dec 2011 A	128,000,000	2011/07/15	2011/12/07	28.16

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13100	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Dec 2011 B	128,000,000	2011/07/15	2011/12/19	21.76
13101	KBC Fin Products Int'l Ltd. – AIA European Warrants Jan 2012	188,000,000	2011/07/15	2012/01/16	47.00
13102	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Jan 2012 A	188,000,000	2011/07/15	2012/01/16	47.00
13109	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Jan 2012	108,000,000	2011/07/15	2012/01/16	27.00
13107	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2012 A	108,000,000	2011/07/15	2012/01/16	34.56
13108	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2012 B	158,000,000	2011/07/15	2012/01/09	30.02
13091	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2011	158,000,000	2011/07/15	2011/12/09	34.76
13090	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2012	108,000,000	2011/07/15	2012/01/16	69.12
13095	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Dec 2011	98,000,000	2011/07/15	2011/12/28	40.18
13092	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2011 A	128,000,000	2011/07/15	2011/12/21	47.36
13103	KBC Fin Products Int'l Ltd. – China Life Euro Wts Dec 2011 B	158,000,000	2011/07/15	2011/12/05	31.60
13104	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2012 B	158,000,000	2011/07/15	2012/01/03	23.70
13105	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jan 2012	188,000,000	2011/07/15	2012/01/09	28.20
13106	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Feb 2012	188,000,000	2011/07/15	2012/02/06	28.20
13088	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Jan 2012	198,000,000	2011/07/15	2012/01/03	29.70
13089	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Dec 2011 B	198,000,000	2011/07/15	2011/12/22	29.70
13093	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2012	128,000,000	2011/07/15	2012/01/03	26.88
13096	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Dec 2011	98,000,000	2011/07/15	2011/12/16	45.08
13094	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2012 A	128,000,000	2011/07/15	2012/01/03	38.40
13054	Macquarie Bank Ltd. – Li Ning European Warrants Apr 2012	200,000,000	2011/07/15	2012/04/03	50.60
13049	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Mar 2012	100,000,000	2011/07/15	2012/03/29	18.30
13053	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Jan 2012	100,000,000	2011/07/15	2012/01/30	18.50
13050	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012 D	200,000,000	2011/07/15	2012/01/30	50.00
13051	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012 E	200,000,000	2011/07/15	2012/01/30	50.00
13052	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2012 F	200,000,000	2011/07/15	2012/01/30	51.00
13064	Nomura Int'l plc – Agricultural Bk Euro Warrants Dec 2011	100,000,000	2011/07/15	2011/12/12	15.50
13063	Nomura Int'l plc – Agricultural Bk Euro Warrants Apr 2012	100,000,000	2011/07/15	2012/04/30	15.80
13065	Nomura Int'l plc – Agricultural Bk Euro Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/12	15.50
13066	Nomura Int'l plc – AIA European Warrants Mar 2012	100,000,000	2011/07/15	2012/03/05	15.00
13073	Nomura Int'l plc – BOCL European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/26	15.50
13074	Nomura Int'l plc – BOCL European Warrants Jan 2012 A	100,000,000	2011/07/15	2012/01/26	15.10
13062	Nomura Int'l plc – China Mobile European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/26	25.40
13061	Nomura Int'l plc – CC Bank European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/26	25.40
13060	Nomura Int'l plc – CC Bank European Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/23	25.40
13070	Nomura Int'l plc – China COSCO European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/16	25.00
13058	Nomura Int'l plc – CITIC P European Warrants Nov 2011	100,000,000	2011/07/15	2011/11/28	15.00
13071	Nomura Int'l plc – Minsheng Bank European Warrants Dec 2011	100,000,000	2011/07/15	2011/12/20	16.00
13072	Nomura Int'l plc – CPIC European Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/28	15.00
13055	Nomura Int'l plc – HSBC European Warrants Jan 2012 A	100,000,000	2011/07/15	2012/01/26	25.90
13067	Nomura Int'l plc – ICBC European Warrants Dec 2011	100,000,000	2011/07/15	2011/12/23	16.80
13068	Nomura Int'l plc – ICBC European Warrants Dec 2011 A	100,000,000	2011/07/15	2011/12/19	15.90
13069	Nomura Int'l plc – ICBC European Warrants Dec 2011 B	100,000,000	2011/07/15	2011/12/20	15.30
13057	Nomura Int'l plc – KECL European Warrants Jul 2012	100,000,000	2011/07/15	2012/07/30	15.00
13056	Nomura Int'l plc – Poly European Warrants Jan 2012	100,000,000	2011/07/15	2012/01/16	36.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13059	Nomura Int'l plc – Tencent Holdings Euro Warrants Nov 2011	100,000,000	2011/07/15	2011/11/30	15.00
13086	Standard Chartered Bank – CNOOC European Warrants Dec 2011	100,000,000	2011/07/15	2011/12/14	15.00
13087	Standard Chartered Bank – CNOOC European Warrants Feb 2012	100,000,000	2011/07/15	2012/02/02	25.00
13110	UBS AG – CNOOC European Warrants Dec 2011 A	300,000,000	2011/07/15	2011/12/23	45.00
11076 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 B	650,000,000	2011/07/15	2011/09/29	10.40
11940 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 A	250,000,000	2011/07/15	2011/11/29	11.25
21221 #	Credit Suisse AG – CC Bank European Warrants Dec 2012 A	400,000,000	2011/07/15	2012/12/17	42.80
21222 #	Credit Suisse AG – ICBC European Warrants Dec 2012	400,000,000	2011/07/15	2012/12/17	38.80
13155	Credit Suisse AG – Agricultural Bank European Wts Jan 2012	200,000,000	2011/07/18	2012/01/20	50.00
13158	Credit Suisse AG – BOCL European Warrants Dec 2011 A	200,000,000	2011/07/18	2011/12/28	30.00
13157	Credit Suisse AG – Evergrande European Warrants Oct 2011	80,000,000	2011/07/18	2011/10/24	24.00
13156	Credit Suisse AG – ICBC European Warrants Dec 2011	200,000,000	2011/07/18	2011/12/19	50.00
13154	Credit Suisse AG – Tencent Holdings European Wts Nov 2011	200,000,000	2011/07/18	2011/11/28	30.00
13133	Citigroup Global Mkt H Inc.-Alibaba Euro Warrants Jun 2012	80,000,000	2011/07/18	2012/06/25	12.00
13134	Citigroup Global Mkt H Inc. – CC Bank European Wts Feb 2012	50,000,000	2011/07/18	2012/02/06	15.00
13135	Citigroup Global Mkt H Inc. – CNOOC European Wts Dec 2011 A	80,000,000	2011/07/18	2011/12/14	12.00
13136	Citigroup Global Mkt H Inc. – MTRC European Wts Apr 2012	80,000,000	2011/07/18	2012/04/30	20.00
13137	Citigroup Global Mkt H Inc. – Goldwind European Wts Sep 2012	80,000,000	2011/07/18	2012/09/03	20.00
13115	HK Bank – Agile Ppt European Warrants Jun 2012	80,000,000	2011/07/18	2012/06/22	20.00
13116	HK Bank – Belle Int'l European Warrants Jun 2012	80,000,000	2011/07/18	2012/06/08	20.00
13117	HK Bank – Li Ning European Warrants Mar 2012	100,000,000	2011/07/18	2012/03/12	25.00
13129	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012 B	128,000,000	2011/07/18	2012/01/18	62.72
13130	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012 C	128,000,000	2011/07/18	2012/01/18	57.60
13131	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2012 D	128,000,000	2011/07/18	2012/01/18	32.00
13132	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Dec 2011	200,000,000	2011/07/18	2011/12/15	60.00
13113	Macquarie Bank Ltd. – Anta Sports European Warrants May 2012	200,000,000	2011/07/18	2012/05/03	50.20
13112	Macquarie Bank Ltd. – Ch Southern Air European Wts Feb 2012	100,000,000	2011/07/18	2012/02/02	33.10
13114	Macquarie Bank Ltd. – Lonking European Warrants Nov 2011	100,000,000	2011/07/18	2011/11/17	28.50
13111	Macquarie Bank Ltd. – Goldwind European Warrants Dec 2012	200,000,000	2011/07/18	2012/12/04	50.60
13119	CC Rabobank B.A. – BOCL European Warrants Jan 2012	150,000,000	2011/07/18	2012/01/16	30.00
13120	CC Rabobank B.A. – China EB Ltd European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/30	25.00
13122	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2012	200,000,000	2011/07/18	2012/01/30	50.00
13121	CC Rabobank B.A. – C Transmission European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/30	50.00
13128	CC Rabobank B.A. – China Liansu European Warrants Sep 2012	100,000,000	2011/07/18	2012/09/17	25.00
13123	CC Rabobank B.A. – Sinopec Corp European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/16	55.00
13124	CC Rabobank B.A. – Esprit European Warrants Dec 2011 A	100,000,000	2011/07/18	2011/12/28	15.00
13125	CC Rabobank B.A. – Li & Fung European Warrants Feb 2012	150,000,000	2011/07/18	2012/02/20	37.50
13126	CC Rabobank B.A. – SJM Holdings European Warrants Jan 2012	150,000,000	2011/07/18	2012/01/30	37.50
13127	CC Rabobank B.A. – United Lab European Warrants Jul 2012	100,000,000	2011/07/18	2012/07/23	25.00
13146	RB of Scotland N.V. – Agricultural Bk European Wts Jan 2012	150,000,000	2011/07/18	2012/01/11	22.65
13147	RB of Scotland N.V. – BOCL European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/20	15.10
13149	RB of Scotland N.V. – China Mobile European Wts Jan 2012	200,000,000	2011/07/18	2012/01/30	50.20
13148	CC Rabobank B.A. – CC Bank European Warrants Dec 2011 A	100,000,000	2011/07/18	2011/12/16	15.10
13152	RB of Scotland N.V. – China Life European Wts Dec 2011	250,000,000	2011/07/18	2011/12/05	37.75

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13153	RB of Scotland N.V. – CNOOC European Warrants Dec 2011 A	250,000,000	2011/07/18	2011/12/28	37.75
13150	RB of Scotland N.V. – HSBC European Warrants Jan 2012	100,000,000	2011/07/18	2012/01/04	15.10
13141	RB of Scotland N.V. – HSI European Warrants Jan 2012	250,000,000	2011/07/18	2012/01/30	62.75
13142	RB of Scotland N.V. – HSI European Put Warrants Jan 2012	250,000,000	2011/07/18	2012/01/30	62.75
13140	RB of Scotland N.V. – HSI European Warrants Dec 2011 B	250,000,000	2011/07/18	2011/12/29	37.75
13143	RB of Scotland N.V. – HSI European Put Warrants Jan 2012 A	250,000,000	2011/07/18	2012/01/30	62.75
13151	RB of Scotland N.V. – HWL European Warrants Nov 2011	100,000,000	2011/07/18	2011/11/08	15.10
13144	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt May 12	120,000,000	2011/07/18	2012/05/09	30.00
13145	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec 2011	200,000,000	2011/07/18	2011/12/21	34.00
13118	UBS AG – AIA European Warrants Jan 2012	300,000,000	2011/07/18	2012/01/18	75.00
13138	UBS AG – BYD European Warrants Nov 2011 A	100,000,000	2011/07/18	2011/11/25	15.00
13139	UBS AG – HSI European Warrants Oct 2011 F	300,000,000	2011/07/18	2011/10/28	45.00
11152 #	J P Morgan SP BV – China Mob European Wts Oct 2011	150,000,000	2011/07/18	2011/10/13	10.50
27288 #	Citigroup Global Mkt H Inc. – Alibaba European Warrants Oct 11	227,275,000	2011/07/18	2011/10/18	10.00
29843 #	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2012	217,400,000	2011/07/18	2012/03/21	10.00
13201	Credit Suisse AG – Anhui Conch European Warrants Dec 2011	100,000,000	2011/07/19	2011/12/21	30.00
13206	Credit Suisse AG – CNOOC European Warrants Oct 2011 C	300,000,000	2011/07/19	2011/10/24	45.00
13207	Credit Suisse AG – CNOOC European Warrants Oct 2011 D	300,000,000	2011/07/19	2011/10/31	45.00
13203	Credit Suisse AG – HSI European Warrants Oct 2011	300,000,000	2011/07/19	2011/10/28	45.00
13205	Credit Suisse AG – HSI European Warrants Dec 2011	300,000,000	2011/07/19	2011/12/29	45.00
13204	Credit Suisse AG – HSI European Put Warrants Nov 2011	300,000,000	2011/07/19	2011/11/29	45.00
13200	Credit Suisse AG – Jiangxi Copper European Wts Nov 2011	150,000,000	2011/07/19	2011/11/28	22.50
13171	HK Bank – Anta Sports European Warrants Mar 2012	80,000,000	2011/07/19	2012/03/30	20.00
13170	HK Bank – China Mobile European Warrants Jan 2012	150,000,000	2011/07/19	2012/01/19	37.50
13169	HK Bank – Maanshan Iron European Warrants Feb 2012	80,000,000	2011/07/19	2012/02/28	20.00
13194	J P Morgan SP BV – Poly HK Inv European Warrants Jun 2013	100,000,000	2011/07/19	2013/06/24	15.00
13189	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2012 A	128,000,000	2011/07/19	2012/01/19	32.00
13178	KBC Fin Products Int'l Ltd. – Henderson Land Dev Eu Wt Jan 2012	58,000,000	2011/07/19	2012/01/19	14.50
13192	KBC Fin Products Int'l Ltd. – Henderson Land Eu Put Wt Jan 2012	58,000,000	2011/07/19	2012/01/19	24.36
13182	KBC Fin Products Int'l Ltd. – Hang Lung Ppt Eur Wts Apr 2012	48,000,000	2011/07/19	2012/04/05	12.00
13193	KBC Fin Products Int'l Ltd. – Hang Lung Ppt Eu Put Wt May 2012	48,000,000	2011/07/19	2012/05/08	15.36
13175	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2012	108,000,000	2011/07/19	2012/01/19	37.80
13176	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2012	128,000,000	2011/07/19	2012/02/01	32.00
13190	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2012	108,000,000	2011/07/19	2012/01/19	27.00
13179	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jan 2012	128,000,000	2011/07/19	2012/01/19	48.64
13180	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jan 2012 A	128,000,000	2011/07/19	2012/01/19	66.56
13183	KBC Fin Products Int'l Ltd. – KECL European Wts Mar 2012	68,000,000	2011/07/19	2012/03/07	10.88
13184	KBC Fin Products Int'l Ltd. – KECL European Wts Mar 2012 A	68,000,000	2011/07/19	2012/03/15	17.00
13177	KBC Fin Products Int'l Ltd. – PWR Assets Euro Wts Apr 2012	48,000,000	2011/07/19	2012/04/16	23.52
13191	KBC Fin Products Int'l Ltd. – PWR Assets Eur Put Wt Apr 2012	48,000,000	2011/07/19	2012/04/16	12.00
13181	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jan 2012	80,000,000	2011/07/19	2012/01/19	34.40
13186	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Dec 2011 B	108,000,000	2011/07/19	2011/12/15	16.20
13185	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jan 2012	108,000,000	2011/07/19	2012/01/19	29.16
13188	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jan 2012 A	128,000,000	2011/07/19	2012/01/03	19.20

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13187	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Feb 2012	108,000,000	2011/07/19	2012/02/24	16.20
13174	KBC Fin Products Int'l Ltd. – Wharf Euro Warrants Jan 2012	68,000,000	2011/07/19	2012/01/19	23.12
13168	Macquarie Bank Ltd. – Longfor PPT European Warrants Jan 2012	200,000,000	2011/07/19	2012/01/19	50.00
13166	CC Rabobank B.A. – Alibaba European Warrants Jun 2012	150,000,000	2011/07/19	2012/06/25	22.50
13161	CC Rabobank B.A. – China Mer Hold European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	35.00
13165	CC Rabobank B.A. – China Oilfield European Warrants Jul 2012	100,000,000	2011/07/19	2012/07/16	25.00
13163	CC Rabobank B.A. – CNBM European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	25.00
13164	CC Rabobank B.A. – Digital China European Warrants Jul 2012	100,000,000	2011/07/19	2012/07/16	25.00
13162	CC Rabobank B.A. – Gome European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	25.00
13167	CC Rabobank B.A. – Lee & Man Paper Euro Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	50.00
13160	CC Rabobank B.A. – Lonking European Warrants Jan 2012	100,000,000	2011/07/19	2012/01/30	40.00
13159	CC Rabobank B.A. – Goldwind European Warrants Oct 2012	100,000,000	2011/07/19	2012/10/22	25.00
13195	CC Rabobank B.A. – CC Bank European Warrants Dec 2011 B	100,000,000	2011/07/19	2011/12/30	15.10
13196	RB of Scotland N.V. – China Life European Wts Sep 2012	200,000,000	2011/07/19	2012/09/25	50.20
13197	RB of Scotland N.V. – HSBC European Warrants Jan 2012 A	100,000,000	2011/07/19	2012/01/20	25.10
13198	RB of Scotland N.V. – HWL European Warrants Dec 2011	100,000,000	2011/07/19	2011/12/09	15.10
13199	RB of Scotland N.V. – PetroChina European Warrants Dec 2012	250,000,000	2011/07/19	2012/12/28	37.75
13173	Standard Chartered Bank – BOC HK European Warrants May 2012	100,000,000	2011/07/19	2012/05/03	19.50
13172	Standard Chartered Bank – CC Bank Euro Warrants Dec 2011 B	100,000,000	2011/07/19	2011/12/09	15.00
13202	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2012	200,000,000	2011/07/19	2012/02/06	56.00
13218	Barclays Bank plc – HSI European Put Warrants Jan 2012	150,000,000	2011/07/20	2012/01/30	37.50
13217	Barclays Bank plc – HSI European Warrants Dec 2011 A	150,000,000	2011/07/20	2011/12/29	22.50
13264	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Aug 2012	100,000,000	2011/07/20	2012/08/02	25.00
13263	BNP Paribas Arbit Issu B.V. – AIA European Warrants Aug 2012	100,000,000	2011/07/20	2012/08/02	25.00
13254	Citigroup Global Mkt H Inc. – Anta Sports Euro Wts Apr 2012	50,000,000	2011/07/20	2012/04/30	12.50
13251	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Apr 2012	80,000,000	2011/07/20	2012/04/30	20.00
13253	Citigroup Global Mkt H Inc. – R&F Ppt European Wts Nov 2012	80,000,000	2011/07/20	2012/11/09	12.00
13252	Citigroup Global Mkt H Inc. – Geely Auto Euro Wts Feb 2012	50,000,000	2011/07/20	2012/02/06	12.50
13249	Citigroup Global Mkt H Inc. – HSCEI European Wts Jan 2012	80,000,000	2011/07/20	2012/01/30	12.00
13248	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Feb 2012	80,000,000	2011/07/20	2012/02/28	12.00
13247	Citigroup Global Mkt H Inc. – HSI European Warrants Dec 2011	80,000,000	2011/07/20	2011/12/29	12.00
13250	Citigroup Global Mkt H Inc. – HSI European Put Wts Nov 2011	80,000,000	2011/07/20	2011/11/29	12.00
13255	Citigroup Global Mkt H Inc. – ICBC European Wts Dec 2011 A	50,000,000	2011/07/20	2011/12/19	10.00
13244	HK Bank – Agricultural Bank European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	25.00
13246	HK Bank – BOCL European Warrants Feb 2012	100,000,000	2011/07/20	2012/02/22	25.00
13242	HK Bank – CC Bank European Warrants Jan 2012	120,000,000	2011/07/20	2012/01/20	30.00
13238	HK Bank – Cheung Kong European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	47.00
13241	HK Bank – CNOOC European Warrants Apr 2012	100,000,000	2011/07/20	2012/04/20	25.00
13239	HK Bank – HWL European Warrants Jan 2012 A	150,000,000	2011/07/20	2012/01/30	49.50
13245	HK Bank – ICBC European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/20	25.00
13240	HK Bank – SHK Ppt European Warrants Apr 2013	100,000,000	2011/07/20	2013/04/18	25.00
13243	HK Bank – Yurun Food European Warrants Jan 2012	80,000,000	2011/07/20	2012/01/20	20.00
13223	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 2012	128,000,000	2011/07/20	2012/01/20	35.84
13228	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 2012	108,000,000	2011/07/20	2012/01/20	27.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13229	KBC Fin Products Int'l Ltd. – AIA Euro Put Warrants Feb 2012	108,000,000	2011/07/20	2012/02/01	27.00
13231	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 2012	108,000,000	2011/07/20	2012/02/01	27.00
13232	KBC Fin Products Int'l Ltd. – A50 Ch Euro Put Wts May 2012	80,000,000	2011/07/20	2012/05/21	12.00
13226	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Apr 2012	108,000,000	2011/07/20	2012/04/20	17.28
13225	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Dec 2011 D	88,000,000	2011/07/20	2011/12/19	22.88
13233	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2011	200,000,000	2011/07/20	2011/11/29	30.00
13236	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2012	200,000,000	2011/07/20	2012/01/30	30.00
13237	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2012	200,000,000	2011/07/20	2012/03/29	30.00
13234	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2011 A	200,000,000	2011/07/20	2011/11/29	30.00
13235	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2011 C	200,000,000	2011/07/20	2011/12/29	30.00
13222	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jan 2012 B	128,000,000	2011/07/20	2012/01/03	55.04
13230	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Jan 2012	108,000,000	2011/07/20	2012/01/20	27.00
13224	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2012 B	128,000,000	2011/07/20	2012/01/20	32.00
13227	KBC Fin Products Int'l Ltd – Tencent Hldgs Eu Put Wt Jan 2012	108,000,000	2011/07/20	2012/01/09	19.44
13214	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Feb 2012	200,000,000	2011/07/20	2012/02/02	51.00
13215	Macquarie Bank Ltd. – BOCL European Put Warrants Mar 2012	200,000,000	2011/07/20	2012/03/02	50.20
13213	Macquarie Bank Ltd. – ICBC European Warrants Feb 2012	200,000,000	2011/07/20	2012/02/02	53.60
13216	Macquarie Bank Ltd. – ICBC European Put Warrants Feb 2012	200,000,000	2011/07/20	2012/02/02	50.80
13211	CC Rabobank B.A. – Ch Ship Cont European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	25.00
13212	CC Rabobank B.A. – Shineway Pharm European Warrants Mar 2012	100,000,000	2011/07/20	2012/03/19	25.00
13210	CC Rabobank B.A. – Ch Zhongwang European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	35.00
13208	CC Rabobank B.A. – Maanshan Iron European Wts Jan 2012	100,000,000	2011/07/20	2012/01/30	30.00
13209	CC Rabobank B.A. – Shimao Ppt European Warrants Jun 2012	100,000,000	2011/07/20	2012/06/29	15.00
13261	RB of Scotland N.V. – Agricultural Bk Euro Wts Jan 2012 A	150,000,000	2011/07/20	2012/01/30	37.65
13262	RB of Scotland N.V. – BOCL European Warrants Feb 2012	100,000,000	2011/07/20	2012/02/02	15.10
13259	RB of Scotland N.V. – ICBC European Warrants Jan 2012	100,000,000	2011/07/20	2012/01/30	25.10
13260	RB of Scotland N.V. – ICBC European Warrants Jan 2012 A	100,000,000	2011/07/20	2012/01/09	15.10
13220	Standard Chartered Bank – China Mobile Euro Put Wts Feb 2012	100,000,000	2011/07/20	2012/02/02	25.00
13219	Standard Chartered Bank – CC Bank European Wts Jan 2012 A	100,000,000	2011/07/20	2012/01/20	42.00
13221	Standard Chartered Bank – ICBC European Warrants Dec 2011 B	100,000,000	2011/07/20	2011/12/19	24.00
13256	UBS AG – Agricultural Bank European Warrants Dec 2011 A	200,000,000	2011/07/20	2011/12/06	30.00
13258	UBS AG – Hutchison European Warrants Dec 2013	500,000,000	2011/07/20	2013/12/16	75.00
13257	UBS AG – Hutchison European Warrants Nov 2011 B	300,000,000	2011/07/20	2011/11/23	45.00
13270	BNP Paribas Arbit Issu B.V. – Chi Resources Euro Wt Apr 2012	60,000,000	2011/07/21	2012/04/03	15.60
13272	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Feb 2012	50,000,000	2011/07/21	2012/02/02	13.50
13271	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Oct 2012	100,000,000	2011/07/21	2012/10/04	25.00
13273	BNP Paribas Arbit Issu B.V. – Zijin Mining Euro Wts Mar 2012	40,000,000	2011/07/21	2012/03/02	17.60
13301	HK Bank – CHALCO European Warrants Mar 2012	80,000,000	2011/07/21	2012/03/05	21.60
13300	HK Bank – Country Garden European Warrants Apr 2012	80,000,000	2011/07/21	2012/04/25	20.00
13295	HK Bank – China Overseas European Warrants Jul 2012	80,000,000	2011/07/21	2012/07/18	20.00
13296	HK Bank – China Tel European Warrants Feb 2012	80,000,000	2011/07/21	2012/02/15	20.00
13298	HK Bank – CITIC Bank European Warrants Apr 2012	80,000,000	2011/07/21	2012/04/13	20.00
13294	HK Bank – Li & Fung European Warrants Jul 2012	80,000,000	2011/07/21	2012/07/10	20.00
13297	HK Bank – Lenovo European Warrants Feb 2012	80,000,000	2011/07/21	2012/02/24	20.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13299	HK Bank – Yanzhou Coal European Warrants Mar 2012	100,000,000	2011/07/21	2012/03/08	25.00
13274	KBC Fin Products Int'l Ltd. – BEA European Warrants Mar 2012	68,000,000	2011/07/21	2012/03/05	10.20
13275	KBC Fin Products Int'l Ltd. – Ch Mer Hold Euro Wts May 2012	68,000,000	2011/07/21	2012/05/10	17.00
13282	KBC Fin Products Int'l Ltd. – Ch Mer Hold Eu Put Wt May 2012	68,000,000	2011/07/21	2012/05/10	17.00
13278	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts May 2012	80,000,000	2011/07/21	2012/05/02	23.20
13283	KBC Fin Products Int'l Ltd.- China Overseas Eu Put Wt May 12	80,000,000	2011/07/21	2012/05/08	20.00
13285	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Jan 2012	58,000,000	2011/07/21	2012/01/26	14.50
13281	KBC Fin Products Int'l Ltd. – CNOOC European Wts Apr 2012	98,000,000	2011/07/21	2012/04/20	14.70
13286	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jul 2012	80,000,000	2011/07/21	2012/07/03	20.00
13276	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jan 2012	80,000,000	2011/07/21	2012/01/26	22.40
13277	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2012	80,000,000	2011/07/21	2012/02/15	20.00
13279	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jan 2012 A	58,000,000	2011/07/21	2012/01/26	23.20
13290	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2012	100,000,000	2011/07/21	2012/01/30	17.00
13291	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2012	100,000,000	2011/07/21	2012/02/28	15.00
13288	KBC Fin Products Int'l Ltd. – HSI European Wts Jan 2012	200,000,000	2011/07/21	2012/01/30	50.00
13287	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 D	200,000,000	2011/07/21	2011/12/29	30.00
13292	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 E	200,000,000	2011/07/21	2011/12/29	30.00
13289	KBC Fin Products Int'l Ltd. – HSI European Wts Jan 2012 A	200,000,000	2011/07/21	2012/01/30	50.00
13293	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Oct 2011	98,000,000	2011/07/21	2011/10/31	14.70
13280	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jan 2012 A	98,000,000	2011/07/21	2012/01/26	24.50
13284	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Jan 12 B	108,000,000	2011/07/21	2012/01/26	27.00
13302	Macquarie Bank Ltd. – CNBM European Warrants Feb 2012	200,000,000	2011/07/21	2012/02/02	50.00
13269	Macquarie Bank Ltd. – China Railway European Wts Feb 2012	150,000,000	2011/07/21	2012/02/02	37.50
13303	Macquarie Bank Ltd. – Zhaojin Mining Euro Warrants May 2012	200,000,000	2011/07/21	2012/05/03	50.40
13267	CC Rabobank B.A. – China COSCO European Warrants Jan 2012	100,000,000	2011/07/21	2012/01/30	40.00
13265	CC Rabobank B.A. – Cheung Kong European Warrants Nov 2011	150,000,000	2011/07/21	2011/11/28	37.50
13266	CC Rabobank B.A. – Datang European Warrants Mar 2012	100,000,000	2011/07/21	2012/03/19	30.00
13268	CC Rabobank B.A. – MCC European Warrants Jan 2012	100,000,000	2011/07/21	2012/01/30	25.00
13306	RB of Scotland N.V. – CHALCO European Warrants Oct 2013	100,000,000	2011/07/21	2013/10/02	15.10
13305	RB of Scotland N.V. – BYD European Warrants Jun 2012	300,000,000	2011/07/21	2012/06/25	75.30
13307	RB of Scotland N.V. – A50 China European Warrants Oct 2012	250,000,000	2011/07/21	2012/10/15	37.75
13308	RB of Scotland N.V. – A50 China European Put Wts May 2012	250,000,000	2011/07/21	2012/05/28	37.75
13304	UBS AG – China Tel European Warrants Dec 2011	100,000,000	2011/07/21	2011/12/12	15.00
27250#	Standard Chartered Bank – AIA European Warrants Nov 2011	200,000,000	2011/07/21	2011/11/28	11.00
13335	Citigroup Global Mkt H Inc. – BYD European Warrants Feb 2012	80,000,000	2011/07/22	2012/02/06	20.00
13333	Citigroup Global Mkt H Inc. – China Tel Euro Wts Feb 2012	50,000,000	2011/07/22	2012/02/06	12.50
13334	Citigroup Global Mkt H Inc. – Ch Railway Cons Eu Wt Feb 2012	50,000,000	2011/07/22	2012/02/06	12.50
13336	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Feb 2012	80,000,000	2011/07/22	2012/02/06	24.00
13332	Citigroup Global Mkt H Inc. – Li & Fung European Wt Jun 2012	80,000,000	2011/07/22	2012/06/18	12.00
13321	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2012	200,000,000	2011/07/22	2012/01/26	65.40
13326	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Jan 2012	80,000,000	2011/07/22	2012/01/03	39.20
13327	KBC Fin Products Int'l Ltd. – CITIC P European Wts Jul 2012	80,000,000	2011/07/22	2012/07/18	20.00
13330	KBC Fin Products Int'l Ltd. – CITIC Pac Euro Put Wt Jul 2012	80,000,000	2011/07/22	2012/07/18	29.60
13328	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Dec 2011	80,000,000	2011/07/22	2011/12/12	20.80

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
13329	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Feb 2012	80,000,000	2011/07/22	2012/02/15	20.00
13324	Macquarie Bank Ltd. – Anhui Conch European Warrants Feb 2012	200,000,000	2011/07/22	2012/02/02	85.60
13322	Macquarie Bank Ltd. – Alibaba European Warrants Jul 2012	200,000,000	2011/07/22	2012/07/04	50.60
13323	Macquarie Bank Ltd. – China Mengniu European Wts Feb 2012 A	200,000,000	2011/07/22	2012/02/02	50.00
13331	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Jan 2012	200,000,000	2011/07/22	2012/01/26	83.80
13309	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Jan 2012	80,000,000	2011/07/22	2012/01/10	12.24
13310	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Feb 2012	80,000,000	2011/07/22	2012/02/06	34.40
13313	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Feb 2012	80,000,000	2011/07/22	2012/02/13	21.60
13311	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2012	80,000,000	2011/07/22	2012/02/06	20.00
13314	Merrill Lynch Int'l & Co. C.V. – BOCL Euro Put Wts Feb 2012	80,000,000	2011/07/22	2012/02/13	20.80
13312	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Feb 2012	80,000,000	2011/07/22	2012/02/06	40.80
13315	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wts Feb 2012	80,000,000	2011/07/22	2012/02/13	20.80
13320	CC Rabobank B.A. – AviChina European Warrants Mar 2012	100,000,000	2011/07/22	2012/03/19	50.00
13318	CC Rabobank B.A. – BJ Ent Water European Warrants Mar 2012	100,000,000	2011/07/22	2012/03/19	30.00
13319	CC Rabobank B.A. – China Tel European Warrants Jan 2012	100,000,000	2011/07/22	2012/01/09	15.00
13317	CC Rabobank B.A. – Shun Tak European Warrants Jan 2012	100,000,000	2011/07/22	2012/01/30	55.00
13316	CC Rabobank B.A. – Tsingtao Brew European Warrants Mar 2012	100,000,000	2011/07/22	2012/03/19	60.00
13325	UBS AG – ICBC European Warrants Dec 2012	500,000,000	2011/07/22	2012/12/10	75.00
11538 #	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Nov 11	200,000,000	2011/07/22	2011/11/28	12.80
29380 #	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jan 2012	250,000,000	2011/07/22	2012/01/16	10.25
13350	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Mar 12	200,000,000	2011/07/25	2012/03/02	74.00
13349	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2012	200,000,000	2011/07/25	2012/03/02	82.00
13347	BNP Paribas Arbit Issu B.V. – CITIC Pacific Eu Wt Feb 2012 A	90,000,000	2011/07/25	2012/02/02	22.50
13348	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Mar 2012	100,000,000	2011/07/25	2012/03/02	31.00
13356	Credit Suisse AG – China Tel European Warrants Dec 2011	150,000,000	2011/07/25	2011/12/12	22.50
13357	Credit Suisse AG – China Mobile European Warrants Dec 2011 A	300,000,000	2011/07/25	2011/12/22	45.00
13358	Credit Suisse AG – PICC European Warrants Mar 2012	150,000,000	2011/07/25	2012/03/26	37.50
13337	HK Bank – Evergrande European Warrants Jul 2013	80,000,000	2011/07/25	2013/07/30	20.00
13353	KBC Fin Products Int'l Ltd. – China Shenhua Eu Wt Jan 2012	80,000,000	2011/07/25	2012/01/26	33.60
13351	KBC Fin Products Int'l Ltd. – Esprit European Wts May 2012	68,000,000	2011/07/25	2012/05/10	10.20
13355	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Feb12	80,000,000	2011/07/25	2012/02/20	20.00
13352	KBC Fin Products Int'l Ltd. – Tsingtao Brew Eu Wts Jul 2012	38,000,000	2011/07/25	2012/07/03	22.42
13354	KBC Fin Products Int'l Ltd. – Tsingtao Brew Eu Put Wt Jul 12	38,000,000	2011/07/25	2012/07/03	14.82
13338	Macquarie Bank Ltd. – Shanshui Cement European Wts Dec 2012	200,000,000	2011/07/25	2012/12/04	50.00
13342	CC Rabobank B.A. – Agricultural Bk Euro Warrants Jan 2012	150,000,000	2011/07/25	2012/01/09	22.50
13343	CC Rabobank B.A. – AIA European Warrants Mar 2012	200,000,000	2011/07/25	2012/03/19	30.00
13339	CC Rabobank B.A. – CSR Times European Warrants Feb 2012	100,000,000	2011/07/25	2012/02/06	30.00
13340	CC Rabobank B.A. – HSBC European Warrants Feb 2012	200,000,000	2011/07/25	2012/02/06	50.00
13341	CC Rabobank B.A. – Yuexiu Property Euro Warrants Feb 2012	100,000,000	2011/07/25	2012/02/06	25.00
13346	Standard Chartered Bank – CNBM European Warrants Mar 2012	100,000,000	2011/07/25	2012/03/30	26.00
13345	UBS AG – BOCL European Warrants Jan 2012 A	100,000,000	2011/07/25	2012/01/18	15.00
13344	UBS AG – CC Bank European Warrants Jan 2012	200,000,000	2011/07/25	2012/01/09	76.00
13400	BNP Paribas Arbit Issu B.V. – CNBM European Wts Feb 2012	100,000,000	2011/07/26	2012/02/02	25.00
13401	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Mar 2012	100,000,000	2011/07/26	2012/03/02	74.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13407	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Aug 2012	100,000,000	2011/07/26	2012/08/02	40.00
13370	Citigroup Global Mkt H Inc. – China Agri Euro Wts Dec 2012	80,000,000	2011/07/26	2012/12/20	12.00
13373	Citigroup Global Mkt H Inc. – Ch Comm Cons Euro Wts Apr 2013	80,000,000	2011/07/26	2013/04/16	12.00
13374	Citigroup Global Mkt H Inc. – Lonking European Wts Feb 2012	50,000,000	2011/07/26	2012/02/06	15.00
13371	Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Oct 2012	80,000,000	2011/07/26	2012/10/10	12.00
13372	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Feb 2012	80,000,000	2011/07/26	2012/02/06	20.00
13367	HK Bank – Anhui Conch European Warrants Jun 2012	80,000,000	2011/07/26	2012/06/15	25.60
13368	HK Bank – Lonking European Warrants Sep 2012	80,000,000	2011/07/26	2012/09/11	45.60
13404	KBC Fin Products Int'l Ltd. – Anhui Conch Eu Put Wt Mar 2012	68,000,000	2011/07/26	2012/03/15	23.80
13403	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2012	80,000,000	2011/07/26	2012/04/02	20.00
13402	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Jan 2012	68,000,000	2011/07/26	2012/01/26	17.00
13406	KBC Fin Products Int'l Ltd. – BankComm Eur Put Wt Feb 2012	68,000,000	2011/07/26	2012/02/08	20.40
13405	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Feb 2012	80,000,000	2011/07/26	2012/02/01	21.60
13364	Macquarie Bank Ltd. – Bank of EA European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/04	15.00
13365	Macquarie Bank Ltd. – Li & Fung European Warrants Jun 2012	200,000,000	2011/07/26	2012/06/15	30.00
13359	Merrill Lynch Int'l & Co. C.V. – AIA Euro Warrants Feb 2012	80,000,000	2011/07/26	2012/02/03	20.00
13363	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Apr 2012	80,000,000	2011/07/26	2012/04/23	20.00
13360	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Mar 12A	80,000,000	2011/07/26	2012/03/26	27.20
13362	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2012	80,000,000	2011/07/26	2012/03/05	21.20
13361	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts May 2012	80,000,000	2011/07/26	2012/05/14	20.00
13386	Nomura Int'l plc – Anhui Conch European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.60
13392	Nomura Int'l plc – AIA European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	15.00
13378	Nomura Int'l plc – Angang Steel European Warrants Nov 2013	100,000,000	2011/07/26	2013/11/29	15.00
13382	Nomura Int'l plc – Ch East Air European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	26.80
13396	Nomura Int'l plc – China Life European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13395	Nomura Int'l plc – China Life European Warrants Feb 2012	100,000,000	2011/07/26	2012/02/06	15.00
13384	Nomura Int'l plc – CNOOC European Warrants Jan 2012 A	100,000,000	2011/07/26	2012/01/26	21.00
13385	Nomura Int'l plc – CNOOC European Warrants Apr 2012	100,000,000	2011/07/26	2012/04/02	15.00
13387	Nomura Int'l plc – Ch South Air European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	27.00
13388	Nomura Int'l plc – China Shenhua European Warrants Dec 2011	100,000,000	2011/07/26	2011/12/28	15.30
13393	Nomura Int'l plc – CSR European Warrants Mar 2012	30,000,000	2011/07/26	2012/03/30	18.12
13397	Nomura Int'l plc – A50 China European Warrants Feb 2012 A	100,000,000	2011/07/26	2012/02/29	15.00
13381	Nomura Int'l plc – HKEx European Warrants Apr 2012 A	100,000,000	2011/07/26	2012/04/02	15.00
13375	Nomura Int'l plc – Henderson Land Dev Euro Warrants Dec 2011	100,000,000	2011/07/26	2011/12/28	15.00
13376	Nomura Int'l plc – Hutchison European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13379	Nomura Int'l plc – JIANC European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	30.00
13380	Nomura Int'l plc – JIANC European Warrants Feb 2012	100,000,000	2011/07/26	2012/02/29	25.00
13398	Nomura Int'l plc – Lonking European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13394	Nomura Int'l plc – Ping An European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	27.50
13390	Nomura Int'l plc – Ch Rongsheng European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	28.00
13389	Nomura Int'l plc – Sinopharm European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	15.10
13377	Nomura Int'l plc – Sh Petrochem European Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13383	Nomura Int'l plc – Tencent Holdings Euro Warrants Jan 2012	100,000,000	2011/07/26	2012/01/26	25.00
13399	Nomura Int'l plc – United Lab European Warrants Jun 2012	100,000,000	2011/07/26	2012/06/29	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13391	Nomura Int'l plc – Yanzhou Coal European Warrants Feb 2012	100,000,000	2011/07/26	2012/02/24	15.10
13366	Standard Chartered Bank – Weichai Power Euro Wts Jan 2012	50,000,000	2011/07/26	2012/01/30	14.00
13369	UBS AG – CC Bank European Warrants Jan 2012 A	200,000,000	2011/07/26	2012/01/30	50.00
13428	BOCI Asia Ltd. – Agricultural Bk European Warrants Feb 2012	100,000,000	2011/07/27	2012/02/06	25.00
13429	BOCI Asia Ltd. – AIA European Warrants Jan 2012	100,000,000	2011/07/27	2012/01/16	16.00
13430	BOCI Asia Ltd. – China Life European Warrants Feb 2012	100,000,000	2011/07/27	2012/02/06	16.00
13436	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2011 A	80,000,000	2011/07/27	2011/12/05	16.00
13413	HK Bank – C Transmission European Warrants Apr 2013	80,000,000	2011/07/27	2013/04/26	20.00
13409	HK Bank – Galaxy Ent European Warrants Sep 2012	80,000,000	2011/07/27	2012/09/12	20.00
13412	HK Bank – PICC European Warrants Jul 2012	100,000,000	2011/07/27	2012/07/09	25.00
13410	HK Bank – Shanghai Electric European Warrants Sep 2012	80,000,000	2011/07/27	2012/09/18	20.00
13411	HK Bank – Zijin Mining European Warrants Apr 2012	80,000,000	2011/07/27	2012/04/13	20.00
13426	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2012 A	200,000,000	2011/07/27	2012/01/31	51.40
13427	J P Morgan SP BV – Sinopec Corp European Warrants Dec 2011	200,000,000	2011/07/27	2011/12/28	109.00
13439	J P Morgan SP BV – HSI European Put Warrants Jan 2012	200,000,000	2011/07/27	2012/01/30	50.00
13438	J P Morgan SP BV – HSI European Warrants Jan 2012 A	200,000,000	2011/07/27	2012/01/30	50.00
13435	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts May 2012	80,000,000	2011/07/27	2012/05/02	20.00
13431	KBC Fin Products Int'l Ltd. – BYD European Warrants Dec 2011	68,000,000	2011/07/27	2011/12/23	10.20
13432	KBC Fin Products Int'l Ltd. – BYD European Warrants Mar 2012	68,000,000	2011/07/27	2012/03/15	23.80
13433	KBC Fin Products Int'l Ltd. – BYD European Warrants Jul 2012	68,000,000	2011/07/27	2012/07/10	17.00
13434	KBC Fin Products Int'l Ltd. – BYD European Put Wts Apr 2012	68,000,000	2011/07/27	2012/04/20	23.80
13415	Macquarie Bank Ltd. – CITIC Pacific European Wts Apr 2012	200,000,000	2011/07/27	2012/04/25	39.20
13414	Macquarie Bank Ltd. – Melco Int'l Dev European Wts Sep 2012	200,000,000	2011/07/27	2012/09/04	50.00
13437	RB of Scotland N.V. – HSBC European Warrants Apr 2016	100,000,000	2011/07/27	2016/04/05	15.10
13408	Standard Chartered Bank – BOCL European Warrants Apr 2012	100,000,000	2011/07/27	2012/04/02	25.00
13417	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012	200,000,000	2011/07/27	2012/02/27	30.00
13416	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2012	200,000,000	2011/07/27	2012/01/18	38.00
13421	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jan 2012	500,000,000	2011/07/27	2012/01/16	75.00
13423	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2012	500,000,000	2011/07/27	2012/09/24	75.00
13425	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Dec 11	500,000,000	2011/07/27	2011/12/05	75.00
13422	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2012 C	500,000,000	2011/07/27	2012/06/04	75.00
13424	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2012 A	500,000,000	2011/07/27	2012/09/24	75.00
13418	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012	300,000,000	2011/07/27	2012/01/30	75.00
13420	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 2012	300,000,000	2011/07/27	2012/01/30	75.00
13419	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012 A	300,000,000	2011/07/27	2012/01/30	48.00
28980 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2011 B	500,000,000	2011/07/27	2011/09/28	12.00
12684 #	UBS AG – AIA European Warrants Dec 2011	200,000,000	2011/07/27	2011/12/05	27.40
13447	BOCI Asia Ltd. – BYD European Warrants Jan 2012	100,000,000	2011/07/28	2012/01/31	20.00
13450	BOCI Asia Ltd. – China Unicom European Warrants May 2012	100,000,000	2011/07/28	2012/05/11	15.00
13448	BOCI Asia Ltd. – CITBK European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/06	35.00
13449	BOCI Asia Ltd. – Minsheng Bank European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/13	35.00
13451	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Nov 2011 A	80,000,000	2011/07/28	2011/11/25	12.00
13463	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2012 A	80,000,000	2011/07/28	2012/01/10	12.00
13461	KBC Fin Products Int'l Ltd. – Belle European Wts Jun 2012	68,000,000	2011/07/28	2012/06/18	17.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13460	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2012	128,000,000	2011/07/28	2012/02/01	32.00
13458	KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Mar 2012	68,000,000	2011/07/28	2012/03/15	17.00
13459	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jan 2012	98,000,000	2011/07/28	2012/01/03	14.70
13453	Macquarie Bank Ltd. – China Mobile European Wts Mar 2012	200,000,000	2011/07/28	2012/03/02	50.00
13452	Macquarie Bank Ltd. – Minsheng Bank European Wts Dec 2011	150,000,000	2011/07/28	2011/12/20	38.85
13445	Macquarie Bank Ltd. – COSCO Pacific European Wts Aug 2012	200,000,000	2011/07/28	2012/08/02	50.00
13454	Macquarie Bank Ltd. – Sinopharm European Warrants May 2012	150,000,000	2011/07/28	2012/05/03	37.50
13446	Macquarie Bank Ltd. – Xinyi Glass European Warrants Oct 2012	200,000,000	2011/07/28	2012/10/04	50.00
13444	CC Rabobank B.A. – BOC HK European Warrants Jun 2012	200,000,000	2011/07/28	2012/06/26	34.00
13442	CC Rabobank B.A. – China Shenhua European Warrants Jan 2012	100,000,000	2011/07/28	2012/01/04	19.00
13441	CC Rabobank B.A. – Lenovo European Warrants Oct 2012	100,000,000	2011/07/28	2012/10/15	95.00
13440	CC Rabobank B.A. – Melco Int'l Dev Euro Warrants Sep 2012	100,000,000	2011/07/28	2012/09/10	25.00
13443	CC Rabobank B.A. – Sands China European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/20	25.00
13467	RB of Scotland N.V. – China Life European Wts Jan 2012	200,000,000	2011/07/28	2012/01/18	30.20
13468	RB of Scotland N.V. – CNOOC European Warrants Feb 2012	250,000,000	2011/07/28	2012/02/14	37.75
13469	RB of Scotland N.V. – HKEx European Warrants Sep 2012	200,000,000	2011/07/28	2012/09/20	30.20
13470	RB of Scotland N.V. – HWL European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/20	25.10
13457	Standard Chartered Bank – BOCL European Warrants Feb 2012	100,000,000	2011/07/28	2012/02/29	35.00
13455	Standard Chartered Bank – Yurun Food Euro Warrants Feb 2012	100,000,000	2011/07/28	2012/02/29	25.00
13456	Standard Chartered Bank – Yurun Food Euro Warrants Aug 2012	100,000,000	2011/07/28	2012/08/06	50.00
13465	UBS AG – China Mobile European Warrants Jan 2012	200,000,000	2011/07/28	2012/01/30	50.00
13466	UBS AG – China Mobile European Warrants Jun 2015	500,000,000	2011/07/28	2015/06/29	125.00
13464	UBS AG – Hutchison European Warrants Dec 2011 B	200,000,000	2011/07/28	2011/12/05	30.00
13471	BOCI Asia Ltd. – CNBM European Warrants Mar 2012	100,000,000	2011/07/29	2012/03/05	25.00
13472	BOCI Asia Ltd. – CNOOC European Warrants Jul 2012	100,000,000	2011/07/29	2012/07/03	15.00
13473	BOCI Asia Ltd. – Lonking European Warrants Mar 2012	100,000,000	2011/07/29	2012/03/05	35.00
13477	Credit Suisse AG – China Mobile European Warrants Nov 2011	300,000,000	2011/07/29	2011/11/28	45.00
13480	J P Morgan SP BV – China Mob European Wts Jan 2012	200,000,000	2011/07/29	2012/01/30	50.00
13478	J P Morgan SP BV – China Mob European Wts Dec 2011 A	200,000,000	2011/07/29	2011/12/30	32.40
13479	Macquarie Bank Ltd. – C Transmission Euro Warrants Jan 2012	150,000,000	2011/07/29	2012/01/30	41.70
13481	RB of Scotland N.V. – HWL European Warrants Mar 2012 A	100,000,000	2011/07/29	2012/03/21	25.10
13476	Standard Chartered Bank – China Mobile Euro Wts Feb 2012 A	100,000,000	2011/07/29	2012/02/29	30.00
13475	Standard Chartered Bank – CNOOC European Warrants Mar 2012	100,000,000	2011/07/29	2012/03/29	20.80
13474	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2012 A	100,000,000	2011/07/29	2012/02/29	66.00
13515	BNP Paribas Arbit Issu B.V. – AIA European Warrants Jan 2012	100,000,000	2011/08/01	2012/01/09	24.00
13516	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2012	200,000,000	2011/08/01	2012/03/02	50.00
13514	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2012	200,000,000	2011/08/01	2012/07/03	76.00
13517	Credit Suisse AG – AIA European Warrants Mar 2012	300,000,000	2011/08/01	2012/03/05	45.00
13482	Citigroup Global Mkt H Inc. – C Transmission Eur Wt Jan 2013	80,000,000	2011/08/01	2013/01/09	20.00
13483	Citigroup Global Mkt H Inc. – CSR European Warrants Feb 2013	80,000,000	2011/08/01	2013/02/28	20.00
13504	J P Morgan SP BV – Angang Steel European Warrants Jul 2013	150,000,000	2011/08/01	2013/07/23	22.50
13506	J P Morgan SP BV – BOCL European Warrants Jan 2012	200,000,000	2011/08/01	2012/01/09	37.00
13512	J P Morgan SP BV – Ch Merchants European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/01	25.00
13511	J P Morgan SP BV – CITIC Pacific Euro Wts Apr 2012	100,000,000	2011/08/01	2012/04/27	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13502	J P Morgan SP BV – CNOOC European Warrants Jan 2012 A	200,000,000	2011/08/01	2012/01/26	30.00
13508	J P Morgan SP BV – Henderson Land Dev European Wts Feb 2012	80,000,000	2011/08/01	2012/02/01	20.00
13510	J P Morgan SP BV – Hang Lung Ppt European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/01	25.00
13509	J P Morgan SP BV – HS Bank European Warrants Feb 2012	80,000,000	2011/08/01	2012/02/01	20.00
13513	J P Morgan SP BV – Luk Fook Hold European Warrants Feb 2012	68,000,000	2011/08/01	2012/02/01	17.00
13505	J P Morgan SP BV – New World Dev European Warrants Feb 2013	100,000,000	2011/08/01	2013/02/25	15.00
13507	J P Morgan SP BV – SHK Ppt European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/01	36.40
13503	J P Morgan SP BV – SJM Holdings European Warrants Jan 2012	200,000,000	2011/08/01	2012/01/18	30.00
13498	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011 B	128,000,000	2011/08/01	2011/11/07	25.60
13501	KBC Fin Products Int'l Ltd. – CNBM European Put Wts Jun 2012	88,000,000	2011/08/01	2012/06/06	22.00
13497	KBC Fin Products Int'l Ltd. – Li & Fung Euro Wts Jul 2012	68,000,000	2011/08/01	2012/07/03	10.20
13500	KBC Fin Products Int'l Ltd. – Li & Fung Euro Put Wt May 2012	58,000,000	2011/08/01	2012/05/18	22.04
13484	Macquarie Bank Ltd. – CRCC European Warrants Feb 2012	150,000,000	2011/08/01	2012/02/02	37.50
13485	Macquarie Bank Ltd. – CSR European Warrants Aug 2013	150,000,000	2011/08/01	2013/08/02	37.50
13486	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2012	200,000,000	2011/08/01	2012/08/02	50.00
13490	CC Rabobank B.A. – Anta Sports European Warrants Mar 2012	100,000,000	2011/08/01	2012/03/26	15.00
13488	CC Rabobank B.A. – China Overseas European Warrants Mar 2012	100,000,000	2011/08/01	2012/03/19	25.00
13491	CC Rabobank B.A. – CQRC Bank European Warrants Feb 2012	100,000,000	2011/08/01	2012/02/20	60.00
13489	CC Rabobank B.A. – ZhengTongAuto European Warrants Apr 2012	100,000,000	2011/08/01	2012/04/16	25.00
13487	CC Rabobank B.A. – Dongyue Group European Warrants Apr 2012	100,000,000	2011/08/01	2012/04/16	25.00
10116	RB of Scotland N.V. – DJIA European Warrants Jun 2012	100,000,000	2011/08/01	2012/06/15	25.10
10117	RB of Scotland N.V. – DJIA European Put Warrants Jun 2012	100,000,000	2011/08/01	2012/06/15	25.10
13518	RB of Scotland N.V. – Tencent Holdings European Wts Mar 2012	80,000,000	2011/08/01	2012/03/05	20.08
13519	RB of Scotland N.V. – Tencent European Put Warrants Feb 2012	250,000,000	2011/08/01	2012/02/20	37.75
13492	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wt Jun 2012	100,000,000	2011/08/01	2012/06/25	15.00
13493	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012 A	200,000,000	2011/08/01	2012/02/06	52.00
13494	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2012	300,000,000	2011/08/01	2012/02/08	45.00
13495	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2012 A	300,000,000	2011/08/01	2012/04/23	45.00
13496	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Feb 2012	50,000,000	2011/08/01	2012/02/06	32.50
29882 #	Macquarie Bank Ltd. – Hutchison European Warrants Oct 2011	128,000,000	2011/08/01	2011/10/04	28.80
13520	Barclays Bank plc – China Mobile European Wts Apr 2012	100,000,000	2011/08/02	2012/04/16	25.00
13522	BOCI Asia Ltd. – HSI European Warrants Dec 2012	100,000,000	2011/08/02	2012/12/28	25.00
13524	BOCI Asia Ltd. – HSI European Put Warrants Dec 2012	100,000,000	2011/08/02	2012/12/28	35.00
13523	BOCI Asia Ltd. – HSI European Warrants Dec 2012 A	100,000,000	2011/08/02	2012/12/28	30.00
13525	BOCI Asia Ltd. – HSI European Put Warrants Dec 2012 B	100,000,000	2011/08/02	2012/12/28	40.00
13521	BOCI Asia Ltd. – Hutchison European Warrants Feb 2012	100,000,000	2011/08/02	2012/02/06	30.00
10121	BNP Paribas Arbit Issu B.V. – AUD/USD European Wts Jun 2012	100,000,000	2011/08/02	2012/06/12	25.00
10122	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Jun 2012	100,000,000	2011/08/02	2012/06/12	25.00
10119	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Jun 2012	100,000,000	2011/08/02	2012/06/06	25.00
10118	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Feb 2012	100,000,000	2011/08/02	2012/02/16	25.00
10120	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Feb 2012	100,000,000	2011/08/02	2012/02/16	25.00
13528	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2012	128,000,000	2011/08/02	2012/03/01	32.00
13527	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2012 A	128,000,000	2011/08/02	2012/02/02	44.80
13526	Macquarie Bank Ltd. – China Mobile European Wts Feb 2012 A	200,000,000	2011/08/02	2012/02/09	50.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13534	RB of Scotland N.V. – China Mobile European Wts Mar 2012	200,000,000	2011/08/02	2012/03/23	50.20
10123	RB of Scotland N.V. – Gold European Warrants Jun 2012	100,000,000	2011/08/02	2012/06/25	25.10
13535	RB of Scotland N.V. – HSCEI European Put Warrants Feb 2012	200,000,000	2011/08/02	2012/02/28	30.20
13529	Standard Chartered Bank – China Overseas Euro Wt May 2012	100,000,000	2011/08/02	2012/05/15	25.00
13530	Standard Chartered Bank – Ch Comm Cons Eur Warrants Feb 2012	100,000,000	2011/08/02	2012/02/29	33.00
13531	Standard Chartered Bank – Ch Comm Cons Eur Warrants Apr 2013	150,000,000	2011/08/02	2013/04/30	22.50
13532	UBS AG – HSBC European Warrants Nov 2011 A	200,000,000	2011/08/02	2011/11/28	30.00
13533	UBS AG – HSI European Put Warrants Nov 2011 D	300,000,000	2011/08/02	2011/11/29	45.00
13565	BNP Paribas Arbit Issu B.V. – AIA European Put Wts Feb 2012	100,000,000	2011/08/03	2012/02/03	15.00
13559	BNP Paribas Arbit Issu B.V. – Angang Steel Euro Wt Jan 2013	100,000,000	2011/08/03	2013/01/02	18.00
13560	BNP Paribas Arbit Issu B.V. – Angang Steel Euro Wt Dec 2013	100,000,000	2011/08/03	2013/12/03	16.00
13566	BNP Paribas Arbit Issu B.V. – Anta Sports Euro Wts Jul 2012	100,000,000	2011/08/03	2012/07/03	25.00
13564	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Mar 2012	100,000,000	2011/08/03	2012/03/02	47.00
13562	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	29.00
13563	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	44.00
13555	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Feb 2012	60,000,000	2011/08/03	2012/02/03	28.20
13574	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	25.00
13556	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Mar 2012	100,000,000	2011/08/03	2012/03/02	34.00
13557	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Mar 2012	100,000,000	2011/08/03	2012/03/02	43.00
13561	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Aug 2012	100,000,000	2011/08/03	2012/08/02	28.00
13567	BNP Paribas Arbit Issu B.V. – NVC Lighting Euro Wts Mar 2012	17,000,000	2011/08/03	2012/03/02	10.03
13558	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Warrants Feb 2012	68,000,000	2011/08/03	2012/02/03	24.48
13568	BNP Paribas Arbit Issu B.V. – WC Cement Euro Wts Aug 2012	70,000,000	2011/08/03	2012/08/02	39.20
13577	Credit Suisse AG – Agricultural Bank European Wts Nov 2011	300,000,000	2011/08/03	2011/11/04	45.00
13576	Credit Suisse AG – Hutchison European Warrants Dec 2011	300,000,000	2011/08/03	2011/12/05	60.00
13570	HK Bank – China Mobile European Warrants Feb 2012	150,000,000	2011/08/03	2012/02/28	58.50
13569	HK Bank – PetroChina European Warrants Mar 2012	80,000,000	2011/08/03	2012/03/09	30.40
13572	HK Bank – Renhe Comm European Warrants May 2012	80,000,000	2011/08/03	2012/05/08	20.00
13571	HK Bank – Sinopharm European Warrants Apr 2012	68,000,000	2011/08/03	2012/04/26	17.00
13575	J P Morgan SP BV – Ch Overseas European Warrants Jun 2012	150,000,000	2011/08/03	2012/06/28	37.50
13580	J P Morgan SP BV – New World Dev European Warrants Mar 2013	150,000,000	2011/08/03	2013/03/28	37.50
13553	J P Morgan SP BV – Wynn Macau European Warrants Feb 2012	150,000,000	2011/08/03	2012/02/03	37.50
13554	Macquarie Bank Ltd. – China Tel European Warrants Feb 2012	150,000,000	2011/08/03	2012/02/03	37.50
13543	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jan 2012	80,000,000	2011/08/03	2012/01/09	16.48
13546	Merrill Lynch Int'l & Co. C.V.-Ch Telecom Eu Put Wt Jan 2012	80,000,000	2011/08/03	2012/01/20	12.00
13544	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Apr 2012 A	80,000,000	2011/08/03	2012/04/17	20.00
13542	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2012	80,000,000	2011/08/03	2012/09/26	12.16
13541	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Dec 2011	80,000,000	2011/08/03	2011/12/19	17.60
13545	Merrill Lynch Int'l & Co. C.V.-Hutchison Eu Put Wt Nov 2011C	80,000,000	2011/08/03	2011/11/28	33.60
13539	CC Rabobank B.A. – CSR European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/13	62.00
13540	CC Rabobank B.A. – CSR Times European Warrants Feb 2012 A	100,000,000	2011/08/03	2012/02/13	30.00
13538	CC Rabobank B.A. – Dongfang Elec European Wts Jan 2012	100,000,000	2011/08/03	2012/01/26	24.00
13536	CC Rabobank B.A. – Jiangxi Copper European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/13	25.00
13537	CC Rabobank B.A. – Sany Int'l European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/13	82.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13578	RB of Scotland N.V. – Agricultural Bk Euro Wts Feb 2012	150,000,000	2011/08/03	2012/02/16	37.50
13579	CC Rabobank B.A. – CC Bank European Warrants Feb 2012	100,000,000	2011/08/03	2012/02/16	25.00
13573	Standard Chartered Bank – China Mobile Euro Wts Feb 2012 B	150,000,000	2011/08/03	2012/02/03	37.50
13547	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012 B	150,000,000	2011/08/03	2012/02/13	37.50
13548	SGA Societe Generale Acceptance N.V. – Geely Auto Eu Wt Feb12	70,000,000	2011/08/03	2012/02/06	22.40
13549	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Feb 2012	50,000,000	2011/08/03	2012/02/06	16.00
13550	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011 B	150,000,000	2011/08/03	2011/11/23	39.00
13551	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2011 A	150,000,000	2011/08/03	2011/12/19	36.00
13552	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Feb 2012	40,000,000	2011/08/03	2012/02/06	17.60
11673 #	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011	180,000,000	2011/08/03	2011/11/15	46.80
27897 #	KBC Fin Products Int'l Ltd. – Swire Pacific A Euro Wts Nov 11	360,000,000	2011/08/03	2011/11/11	10.08
13595	Barclays Bank plc – BOCL European Warrants Apr 2012	100,000,000	2011/08/04	2012/04/18	25.00
13610	BNP Paribas Arbit Issu B.V. – CKI Holdings Euro Wts Mar 2012	50,000,000	2011/08/04	2012/03/02	16.00
13615	Credit Suisse AG – CC Bank European Warrants Nov 2011	300,000,000	2011/08/04	2011/11/28	45.00
13614	Credit Suisse AG – ChiUnicom European Warrants Feb 2012 A	200,000,000	2011/08/04	2012/02/13	30.00
13613	Credit Suisse AG – HSI European Warrants Dec 2011 A	500,000,000	2011/08/04	2011/12/29	75.00
13611	Credit Suisse AG – HSI European Put Warrants Nov 2011 A	500,000,000	2011/08/04	2011/11/29	75.00
13612	Credit Suisse AG – HSI European Put Warrants Nov 2011 B	500,000,000	2011/08/04	2011/11/29	75.00
13621	Credit Suisse AG – PWR Assets European Warrants Feb 2012	150,000,000	2011/08/04	2012/02/06	37.50
13616	Credit Suisse AG – Yanzhou Coal European Warrants Nov 2011	200,000,000	2011/08/04	2011/11/28	30.00
13604	Citigroup Global Mkt H Inc. – Ch Railway Euro Wts Apr 2012	80,000,000	2011/08/04	2012/04/17	20.00
13606	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Feb 2012	80,000,000	2011/08/04	2012/02/06	20.00
13603	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Feb 2012	50,000,000	2011/08/04	2012/02/06	12.50
13605	Citigroup Global Mkt H Inc. – SJM Holdings Euro Wt Feb 2012	80,000,000	2011/08/04	2012/02/06	20.00
13623	Bank of East Asia – China Overseas Eur Put Warrants Oct 2012	103,000,000	2011/08/04	2012/10/22	25.75
13622	Bank of East Asia – CITIC Pacific European Warrants Jun 2012	90,000,000	2011/08/04	2012/06/22	22.50
13625	Bank of East Asia – R&F Ppt European Put Warrants Nov 2012	165,000,000	2011/08/04	2012/11/23	41.25
13624	Bank of East Asia – PICC European Put Warrants Dec 2012	125,000,000	2011/08/04	2012/12/24	31.25
13608	J P Morgan SP BV – A50 China European Warrants Feb 2012	100,000,000	2011/08/04	2012/02/06	67.00
13609	J P Morgan SP BV – A50 China European Warrants Feb 2012 A	200,000,000	2011/08/04	2012/02/27	30.00
13619	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 2012 A	128,000,000	2011/08/04	2012/01/04	28.16
13618	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2012	128,000,000	2011/08/04	2012/02/06	61.44
13617	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Dec 2011 B	128,000,000	2011/08/04	2011/12/05	37.12
13581	Macquarie Bank Ltd. – CPIC European Warrants Mar 2012	100,000,000	2011/08/04	2012/03/02	25.00
13582	Macquarie Bank Ltd. – Luk Fook Hold European Wts Feb 2012	150,000,000	2011/08/04	2012/02/06	37.50
13607	Macquarie Bank Ltd. – Melco Int'l Dev European Wts Jun 2012	150,000,000	2011/08/04	2012/06/04	37.50
13589	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Dec 2011 B	80,000,000	2011/08/04	2011/12/12	17.12
13594	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2012 A	80,000,000	2011/08/04	2012/02/15	17.60
13587	Merrill Lynch Int'l & Co. C.V. – BYD European Wts Feb 2012	80,000,000	2011/08/04	2012/02/27	28.80
13588	Merrill Lynch Int'l & Co. C.V. – BYD European Wts Mar 2012	80,000,000	2011/08/04	2012/03/19	20.80
13592	Merrill Lynch Int'l & Co. C.V. – R&P Ppt Euro Wts Aug 2012	80,000,000	2011/08/04	2012/08/20	20.00
13593	Merrill Lynch Int'l & Co. C.V. – R&P Ppt Euro Wts Nov 2012	80,000,000	2011/08/04	2012/11/12	12.40
13583	Merrill Lynch Int'l & Co. C.V. – KECL European Wts Feb 2012	80,000,000	2011/08/04	2012/02/20	12.16
13584	Merrill Lynch Int'l & Co. C.V. – KECL European Wts Oct 2012	80,000,000	2011/08/04	2012/10/22	12.08

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13585	Merrill Lynch Int'l & Co. C.V. – Li & Fung Euro Wts Feb 2012	80,000,000	2011/08/04	2012/02/13	12.40
13586	Merrill Lynch Int'l & Co. C.V. – Li & Fung Euro Wts Jun 2012	80,000,000	2011/08/04	2012/06/15	12.00
13590	Merrill Lynch Int'l & Co. C.V. – PICC European Wts Jan 2012	80,000,000	2011/08/04	2012/01/16	14.88
13591	Merrill Lynch Int'l & Co. C.V. – PICC European Wts Mar 2012	80,000,000	2011/08/04	2012/03/19	12.00
13599	CC Rabobank B.A. – CPIC European Warrants Jan 2012	150,000,000	2011/08/04	2012/01/16	22.50
13596	CC Rabobank B.A. – Galaxy Ent European Warrants Feb 2012	100,000,000	2011/08/04	2012/02/14	25.00
13598	CC Rabobank B.A. – Sands China European Warrants Feb 2012 A	100,000,000	2011/08/04	2012/02/14	25.00
13597	CC Rabobank B.A. – Wynn Macau European Warrants Feb 2012	100,000,000	2011/08/04	2012/02/14	25.00
13620	RB of Scotland N.V. – HSBC European Warrants Mar 2012	100,000,000	2011/08/04	2012/03/05	25.10
13601	Standard Chartered Bank – CC Bank European Wts Feb 2012	100,000,000	2011/08/04	2012/02/29	30.00
13602	Standard Chartered Bank – CC Bank European Wts Sep 2012	100,000,000	2011/08/04	2012/09/03	50.00
13600	Standard Chartered Bank – MTRC European Warrants Apr 2012	100,000,000	2011/08/04	2012/04/30	30.00
11692 #	KBC Fin Products Int'l Ltd. – AIA European Put Warrants Dec 11	200,000,000	2011/08/04	2011/12/01	16.80
12681 #	Standard Chartered Bank – Tencent Hldg European Wts Nov 2011	100,000,000	2011/08/04	2011/11/30	14.00
13626	Barclays Bank plc – Hutchison European Warrants Feb 2012	100,000,000	2011/08/05	2012/02/28	39.80
13642	Credit Suisse AG – AIA European Warrants Feb 2012	300,000,000	2011/08/05	2012/02/20	75.00
13641	Credit Suisse AG – AIA European Put Warrants Nov 2011	300,000,000	2011/08/05	2011/11/28	45.00
13640	Credit Suisse AG – Cheung Kong European Warrants Feb 2012	200,000,000	2011/08/05	2012/02/06	60.00
13629	J P Morgan SP BV – CM Bank European Warrants Jun 2012	200,000,000	2011/08/05	2012/06/01	34.40
13636	J P Morgan SP BV – Hutchison European Warrants Feb 2012	150,000,000	2011/08/05	2012/02/06	37.50
13637	J P Morgan SP BV – Hutchison European Warrants Nov 2011 B	150,000,000	2011/08/05	2011/11/23	37.50
13639	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Mar 2012	198,000,000	2011/08/05	2012/03/05	29.70
13633	Macquarie Bank Ltd. – Chi East Air Euro Warrants Feb 2012	150,000,000	2011/08/05	2012/02/06	37.50
13634	Macquarie Bank Ltd. – Link REIT European Warrants Feb 2012	150,000,000	2011/08/05	2012/02/06	37.50
13635	Macquarie Bank Ltd. – NVC Lighting Euro Warrants Feb 2012	180,000,000	2011/08/05	2012/02/06	45.36
13627	CC Rabobank B.A. – Sany Int'l European Warrants Feb 2012 A	100,000,000	2011/08/05	2012/02/13	65.00
13628	CC Rabobank B.A. – ZTE European Warrants Feb 2012	100,000,000	2011/08/05	2012/02/13	25.00
13630	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2012 B	100,000,000	2011/08/05	2012/02/06	45.00
13631	Standard Chartered Bank – Tencent Hldg European Wts Jan 2012	100,000,000	2011/08/05	2012/01/16	21.00
13632	Standard Chartered Bank – Tencent Hldg European Wts Feb 2012	100,000,000	2011/08/05	2012/02/06	15.00
13638	UBS AG – Tencent Holdings European Warrants Dec 2011 A	200,000,000	2011/08/05	2011/12/21	30.00
26286 #	Macquarie Bank Ltd. – PICC P&C European Warrants Nov 2011	145,000,000	2011/08/05	2011/11/02	10.15
11998 #	BOCI Asia Ltd. – Mongolia Energy European Warrants Jan 2012	300,000,000	2011/08/05	2012/01/30	14.40
28979 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 B	320,000,000	2011/08/05	2011/12/05	10.56
12553 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 C	320,000,000	2011/08/05	2011/12/09	18.24
13656	J P Morgan SP BV – Ping An European Warrants Feb 2012	200,000,000	2011/08/08	2012/02/09	50.00
13659	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Mar 2012 A	198,000,000	2011/08/08	2012/03/01	49.50
13660	KBC Fin Products Int'l Ltd. – AIA Euro Put Warrants Feb 2012 A	168,000,000	2011/08/08	2012/02/08	42.00
13649	Macquarie Bank Ltd. – AIA European Warrants Apr 2012	200,000,000	2011/08/08	2012/04/03	50.00
13650	Macquarie Bank Ltd. – Jiangxi Copper European Wts Apr 2012	200,000,000	2011/08/08	2012/04/03	50.00
13646	Macquarie Bank Ltd. – Sh Electric European Warrants Feb 2012	150,000,000	2011/08/08	2012/02/08	37.95
13645	Macquarie Bank Ltd. – Yurun Food European Warrants Feb 2012	150,000,000	2011/08/08	2012/02/08	37.50
13648	Merrill Lynch Int'l & Co. C.V. – AIA Euro Warrants May 2012	80,000,000	2011/08/08	2012/05/23	20.00
13643	Merrill Lynch Int'l & Co. C.V. – Minsheng Bk Eu Wts Nov 2011	80,000,000	2011/08/08	2011/11/14	15.84

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13644	Merrill Lynch Int'l & Co. C.V. – Minsheng Bk Eu Wts Dec 2011	80,000,000	2011/08/08	2011/12/19	12.96
13647	Merrill Lynch Int'l & Co. C.V. – MTRC European Wts Apr 2012	80,000,000	2011/08/08	2012/04/23	13.44
13663	RB of Scotland N.V. – AIA European Warrants Jun 2012	200,000,000	2011/08/08	2012/06/29	50.20
13662	CC Rabobank B.A. – CC Bank European Warrants Mar 2012	100,000,000	2011/08/08	2012/03/23	25.10
13657	Standard Chartered Bank – CTel European Warrants Feb 2012	100,000,000	2011/08/08	2012/02/10	32.00
13658	Standard Chartered Bank – CNOOC European Warrants Aug 2012	120,000,000	2011/08/08	2012/08/08	30.00
13651	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2012 B	300,000,000	2011/08/08	2012/01/16	54.00
13652	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012	300,000,000	2011/08/08	2012/03/26	45.00
13653	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2012	300,000,000	2011/08/08	2012/04/23	45.00
13655	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2015	500,000,000	2011/08/08	2015/11/30	75.00
13654	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2012 B	300,000,000	2011/08/08	2012/01/30	66.00
13661	UBS AG – Tencent Holdings European Warrants Dec 2011 B	200,000,000	2011/08/08	2011/12/28	30.00
12990 #	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011	120,000,000	2011/08/08	2011/11/01	12.60
29380 #	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jan 2012	200,000,000	2011/08/08	2012/01/16	13.20
12670 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 F	270,000,000	2011/08/08	2011/09/29	10.53
29425 #	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2011 B	120,000,000	2011/08/08	2011/10/26	11.28
13668	BOCI Asia Ltd. – Ch Overseas European Warrants Aug 2012	100,000,000	2011/08/09	2012/08/06	25.00
13669	BOCI Asia Ltd. – CPIC European Warrants Apr 2012	100,000,000	2011/08/09	2012/04/02	25.00
13686	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2012 A	200,000,000	2011/08/09	2012/03/02	50.00
13688	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2012 A	200,000,000	2011/08/09	2012/03/02	50.00
13687	BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2012	200,000,000	2011/08/09	2012/03/02	88.00
13698	Credit Suisse AG – China Mobile European Warrants Jun 2015	300,000,000	2011/08/09	2015/06/22	45.00
13697	Credit Suisse AG – HSBC European Wts Nov 2011 A	300,000,000	2011/08/09	2011/11/28	45.00
13696	Credit Suisse AG – HSI European Warrants Nov 2011	500,000,000	2011/08/09	2011/11/29	75.00
13699	Credit Suisse AG – Ping An European Warrants Dec 2012	300,000,000	2011/08/09	2012/12/14	45.00
13683	HK Bank – AIA European Warrants May 2012	100,000,000	2011/08/09	2012/05/10	25.00
13680	HK Bank – Cheung Kong European Warrants May 2012	80,000,000	2011/08/09	2012/05/30	28.00
13682	HK Bank – Galaxy Ent European Warrants Apr 2012	60,000,000	2011/08/09	2012/04/27	15.00
13681	HK Bank – SHK Ppt European Warrants Apr 2012	80,000,000	2011/08/09	2012/04/26	21.60
13684	HK Bank – SJM Holdings European Warrants Jun 2012	60,000,000	2011/08/09	2012/06/08	15.00
13685	J P Morgan SP BV – AIA European Warrants Feb 2012	200,000,000	2011/08/09	2012/02/15	50.00
13671	Macquarie Bank Ltd. – Ch Merchants European Wts Feb 2012	150,000,000	2011/08/09	2012/02/09	37.65
13689	Macquarie Bank Ltd. – Gome European Warrants Feb 2012	150,000,000	2011/08/09	2012/02/09	37.50
13670	Macquarie Bank Ltd. – Hysan Dev European Warrants Feb 2012	150,000,000	2011/08/09	2012/02/09	37.50
13674	Macquarie Bank Ltd. – Sands China European Warrants Feb 2012	150,000,000	2011/08/09	2012/02/09	37.50
13664	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Feb 2012	80,000,000	2011/08/09	2012/02/13	39.20
13665	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Dec 2012 D	80,000,000	2011/08/09	2012/12/24	12.08
13666	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Feb 2012	80,000,000	2011/08/09	2012/02/13	63.20
13667	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Feb 2012 A	80,000,000	2011/08/09	2012/02/20	12.00
13679	Standard Chartered Bank – BOCL European Warrants Aug 2012	100,000,000	2011/08/09	2012/08/08	25.00
13676	Standard Chartered Bank – China Mobile Euro Wts Nov 2011 A	100,000,000	2011/08/09	2011/11/09	19.70
13677	Standard Chartered Bank – A50 China Euro Warrants Aug 2012	100,000,000	2011/08/09	2012/08/08	25.00
13678	Standard Chartered Bank – A50 China Euro Warrants Oct 2012	100,000,000	2011/08/09	2012/10/08	16.20
13691	Standard Chartered Bank – HSBC European Warrants Jan 2012	100,000,000	2011/08/09	2012/01/12	50.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13690	Standard Chartered Bank – HSBC European Warrants Nov 2011 C	100,000,000	2011/08/09	2011/11/28	25.00
13675	Standard Chartered Bank – MTRC European Warrants Aug 2012	100,000,000	2011/08/09	2012/08/01	25.00
13695	UBS AG – Agricultural Bank European Warrants Jan 2012	200,000,000	2011/08/09	2012/01/04	30.00
13693	UBS AG – CNOOC European Warrants Apr 2012	200,000,000	2011/08/09	2012/04/23	30.00
13694	UBS AG – China Shenhua European Warrants Dec 2011	100,000,000	2011/08/09	2011/12/23	15.00
13692	UBS AG – Jiangxi Copper European Warrants Feb 2012	100,000,000	2011/08/09	2012/02/21	15.00
21782 #	Credit Suisse AG – CC Bank European Warrants Jul 2012	400,000,000	2011/08/09	2012/07/03	20.40
13721	BNP Paribas Arbit Issu B.V. – HSBC European Wts Mar 2012	200,000,000	2011/08/10	2012/03/02	90.00
13725	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2012	200,000,000	2011/08/10	2012/02/28	50.00
13722	BNP Paribas Arbit Issu B.V. – Ping An European Wts Mar 2012	100,000,000	2011/08/10	2012/03/02	58.00
13723	BNP Paribas Arbit Issu B.V. – Sino-Ocean Land Eu Wt Mar 2012	23,000,000	2011/08/10	2012/03/02	10.12
13724	BNP Paribas Arbit Issu B.V. – United Lab Euro Wts Oct 2012	78,000,000	2011/08/10	2012/10/03	19.50
13728	Credit Suisse AG – HKEx European Warrants Dec 2011 B	300,000,000	2011/08/10	2011/12/05	45.00
13726	Credit Suisse AG – HSI European Warrants Dec 2011 B	500,000,000	2011/08/10	2011/12/29	75.00
13727	Credit Suisse AG – HSI European Warrants Dec 2011 C	500,000,000	2011/08/10	2011/12/29	75.00
13720	KBC Fin Products Int'l Ltd. – CNBM European Wts Mar 2012	88,000,000	2011/08/10	2012/03/15	22.00
13719	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jul 2012	188,000,000	2011/08/10	2012/07/18	28.20
13709	Macquarie Bank Ltd. – Chi Resources European Wts Mar 2012	150,000,000	2011/08/10	2012/03/02	48.00
13710	Macquarie Bank Ltd. – Ch Zhongwang European Wts Feb 2012	150,000,000	2011/08/10	2012/02/10	53.25
13708	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2012 C	200,000,000	2011/08/10	2012/03/02	65.40
13700	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jun 2012	80,000,000	2011/08/10	2012/06/04	12.24
13703	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2012 A	80,000,000	2011/08/10	2012/02/27	12.24
13704	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2012	80,000,000	2011/08/10	2012/04/23	12.00
13701	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2012 A	80,000,000	2011/08/10	2012/02/02	21.20
13702	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013	80,000,000	2011/08/10	2013/07/02	12.08
13705	CC Rabobank B.A. – Dongfeng Motor European Warrants Apr 2012	100,000,000	2011/08/10	2012/04/23	15.00
13707	CC Rabobank B.A. – Weichai Power European Wts Mar 2012	100,000,000	2011/08/10	2012/03/07	35.00
13706	CC Rabobank B.A. – Xinyi Glass European Warrants Apr 2012 A	100,000,000	2011/08/10	2012/04/18	80.00
13729	RB of Scotland N.V. – Cheung Kong European Warrants Jun 2012	250,000,000	2011/08/10	2012/06/06	62.75
13730	RB of Scotland N.V. – HKEx European Warrants Apr 2012	200,000,000	2011/08/10	2012/04/03	30.20
13714	Standard Chartered Bank – AIA European Warrants Jan 2012	100,000,000	2011/08/10	2012/01/09	21.00
13715	Standard Chartered Bank – AIA European Warrants Mar 2012	100,000,000	2011/08/10	2012/03/05	15.00
13716	Standard Chartered Bank – AIA European Warrants Sep 2012	150,000,000	2011/08/10	2012/09/28	45.00
13717	Standard Chartered Bank – AIA European Warrants Nov 2012	150,000,000	2011/08/10	2012/11/01	37.50
13712	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2012 A	500,000,000	2011/08/10	2012/04/02	75.00
13711	SGA Societe Generale Acceptance N.V. – HS Bk Eur Wt Feb 2012	30,000,000	2011/08/10	2012/02/13	13.50
13718	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2011	200,000,000	2011/08/10	2011/12/28	48.00
13713	SGA Societe Generale Acceptance N.V. – Wharf Eu Wts Feb 2012	50,000,000	2011/08/10	2012/02/13	21.00
24879 #	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2012	322,585,000	2011/08/10	2012/03/05	10.00
28428 #	Citigroup Global Mkt H Inc. – Tencent European Warrants Dec 11	107,530,000	2011/08/10	2011/12/30	10.00
13755	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2012	100,000,000	2011/08/11	2012/12/04	25.00
13739	BNP Paribas Arbit Issu B.V. – Dongyue Gp Euro Wts Jul 2012	100,000,000	2011/08/11	2012/07/04	25.00
10128	BNP Paribas Arbit Issu B.V. -EUR/USD European Wts Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00
10127	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13756	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Jul 2012	100,000,000	2011/08/11	2012/07/30	25.00
13740	BNP Paribas Arbit Issu B.V. – PWR Assets Euro Wts Jun 2012	50,000,000	2011/08/11	2012/06/04	19.50
10126	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Warrants Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00
10125	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Put Wts Feb 2012	100,000,000	2011/08/11	2012/02/13	25.00
13741	Citigroup Global Mkt H Inc. – AIA European Warrants Mar 2012	80,000,000	2011/08/11	2012/03/05	12.00
13745	Citigroup Global Mkt H Inc. – Ping An Euro Wts Feb 2012	80,000,000	2011/08/11	2012/02/13	20.00
13744	Citigroup Global Mkt H Inc. – Renhe Comm Euro Wts Apr 2012	80,000,000	2011/08/11	2012/04/17	20.00
13743	Citigroup Global Mkt H Inc. – Sands China Euro Wts Feb 2012	80,000,000	2011/08/11	2012/02/13	20.00
13742	Citigroup Global Mkt H Inc. – Tencent European Wts Feb 2012	80,000,000	2011/08/11	2012/02/27	15.20
13737	HK Bank – Ch Ship Cont European Warrants May 2012	60,000,000	2011/08/11	2012/05/22	16.80
13738	HK Bank – Esprit European Warrants Jul 2012	100,000,000	2011/08/11	2012/07/09	25.00
13747	J P Morgan SP BV – CHALCO European Warrants Feb 2012	70,000,000	2011/08/11	2012/02/16	30.80
13749	J P Morgan SP BV – China Shenhua European Warrants Feb 2012	150,000,000	2011/08/11	2012/02/16	39.75
13746	J P Morgan SP BV – GCL-Poly European Warrants Feb 2012	150,000,000	2011/08/11	2012/02/16	67.50
13751	J P Morgan SP BV – Gome European Warrants Feb 2012	100,000,000	2011/08/11	2012/02/16	32.00
13750	J P Morgan SP BV – JX Copper European Warrants Nov 2011	150,000,000	2011/08/11	2011/11/23	22.50
13748	J P Morgan SP BV – Renhe Comm European Warrants Apr 2012	80,000,000	2011/08/11	2012/04/27	20.00
13735	Macquarie Bank Ltd. – Huaneng Power European Wts Apr 2012	150,000,000	2011/08/11	2012/04/03	37.50
13736	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2012 A	200,000,000	2011/08/11	2012/08/02	50.80
13731	CC Rabobank B.A. – Cheung Kong European Warrants Jan 2012	100,000,000	2011/08/11	2012/01/30	50.00
13732	CC Rabobank B.A. – Minsheng Bank European Wts Dec 2011	100,000,000	2011/08/11	2011/12/20	20.00
13734	CC Rabobank B.A. – Geely Auto European Warrants Jan 2012	100,000,000	2011/08/11	2012/01/30	31.00
13733	CC Rabobank B.A. – Minmetals Res European Warrants Mar 2012	100,000,000	2011/08/11	2012/03/19	80.00
13752	RB of Scotland N.V. – CNOOC European Warrants Jan 2012	250,000,000	2011/08/11	2012/01/16	37.75
10124	RB of Scotland N.V. – Gold European Put Warrants Jun 2012	100,000,000	2011/08/11	2012/06/25	25.10
13753	RB of Scotland N.V. – Ping An European Warrants Dec 2011	100,000,000	2011/08/11	2011/12/19	15.10
13754	RB of Scotland N.V. – Ping An European Warrants Dec 2013	100,000,000	2011/08/11	2013/12/09	15.10
13814	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2012 B	200,000,000	2011/08/12	2012/03/02	70.00
13813	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2012 A	200,000,000	2011/08/12	2012/06/04	62.00
13811	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Mar 2012	100,000,000	2011/08/12	2012/03/02	73.00
13810	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2012 A	200,000,000	2011/08/12	2012/04/03	102.00
13815	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2012	200,000,000	2011/08/12	2012/02/28	40.00
13816	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2012	200,000,000	2011/08/12	2012/03/29	52.00
13812	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Mar 12 A	100,000,000	2011/08/12	2012/03/02	27.00
13802	Credit Suisse AG – AIA European Warrants Jan 2012	300,000,000	2011/08/12	2012/01/09	45.00
13801	Credit Suisse AG – CNOOC European Warrants Jan 2012	300,000,000	2011/08/12	2012/01/09	45.00
13803	Credit Suisse AG – A50 China European Warrants Feb 2012	300,000,000	2011/08/12	2012/02/13	45.00
13790	Credit Suisse AG – HSI European Warrants Jan 2012	500,000,000	2011/08/12	2012/01/30	75.00
13799	Credit Suisse AG – HSI European Put Warrants Jan 2012	500,000,000	2011/08/12	2012/01/30	75.00
13798	Credit Suisse AG – HSI European Warrants Dec 2011 D	500,000,000	2011/08/12	2011/12/29	75.00
13800	Credit Suisse AG – Hutchison European Warrants Dec 2011 A	300,000,000	2011/08/12	2011/12/19	150.00
13762	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Dec 2012 A	80,000,000	2011/08/12	2012/12/10	12.00
13764	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012 A	80,000,000	2011/08/12	2012/02/02	12.00
13763	Citigroup Global Mkt H Inc. – CPIC European Wts Feb 2012	80,000,000	2011/08/12	2012/02/13	20.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13765	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2011 A	80,000,000	2011/08/12	2011/12/29	12.00
13767	J P Morgan SP BV – Belle Int'l European Warrants Mar 2012	100,000,000	2011/08/12	2012/03/27	15.00
13769	J P Morgan SP BV – Esprit European Wts Jun 2012	80,000,000	2011/08/12	2012/06/08	20.00
13770	J P Morgan SP BV – Evergrande European Warrants Feb 2012	100,000,000	2011/08/12	2012/02/16	45.00
13766	J P Morgan SP BV – R&F Ppt European Warrants Nov 2012	100,000,000	2011/08/12	2012/11/09	15.00
13768	J P Morgan SP BV – Lenovo European Warrants Feb 2012	80,000,000	2011/08/12	2012/02/16	20.00
13825	KBC Fin Products Int'l Ltd. – BOCL European Wts Feb 2012	108,000,000	2011/08/12	2012/02/13	31.32
13826	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Mar 2012	80,000,000	2011/08/12	2012/03/01	20.00
13821	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2012	108,000,000	2011/08/12	2012/02/13	27.00
13817	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Jun 2012	80,000,000	2011/08/12	2012/06/04	15.20
13823	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Apr 2012	108,000,000	2011/08/12	2012/04/10	27.00
13824	KBC Fin Products Int'l Ltd. – A50 Ch Euro Put Wts Feb 2012	80,000,000	2011/08/12	2012/02/01	12.00
13820	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Jul 2012	188,000,000	2011/08/12	2012/07/23	28.20
13818	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Feb 2012	108,000,000	2011/08/12	2012/02/13	35.64
13807	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2012 A	100,000,000	2011/08/12	2012/02/28	25.00
13808	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2012	200,000,000	2011/08/12	2012/02/28	50.00
13806	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 F	200,000,000	2011/08/12	2011/12/29	36.00
13819	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 2012	80,000,000	2011/08/12	2012/02/13	27.20
13822	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Feb 2012	68,000,000	2011/08/12	2012/02/13	37.40
13804	Macquarie Bank Ltd. – BOCL European Warrants Apr 2012	150,000,000	2011/08/12	2012/04/03	37.50
13793	Macquarie Bank Ltd. – CITIC Pacific European Wts Jul 2012	200,000,000	2011/08/12	2012/07/05	50.40
13796	Macquarie Bank Ltd. – China Life European Wts Aug 2012	150,000,000	2011/08/12	2012/08/02	37.50
13797	Macquarie Bank Ltd. – COSCO Pacific European Wts Aug 2012 A	150,000,000	2011/08/12	2012/08/02	37.50
13791	Macquarie Bank Ltd. – Cathay Pacific European Wts Jan 2012	200,000,000	2011/08/12	2012/01/18	30.40
13794	Macquarie Bank Ltd. – Ch Ship Cont European Wts Jul 2012	200,000,000	2011/08/12	2012/07/05	50.20
13795	Macquarie Bank Ltd. – HKEx European Warrants Jul 2012	200,000,000	2011/08/12	2012/07/05	50.00
13805	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2012	150,000,000	2011/08/12	2012/04/27	37.50
13792	Macquarie Bank Ltd. – Nine Dragons European Wts Aug 2013	200,000,000	2011/08/12	2013/08/02	50.00
13771	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2013	500,000,000	2011/08/12	2013/04/12	77.50
13783	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Put Wts Dec 2011	80,000,000	2011/08/12	2011/12/19	32.40
13787	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2012	80,000,000	2011/08/12	2012/02/24	12.16
13784	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2011 D	80,000,000	2011/08/12	2011/11/16	23.60
13785	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2011 E	80,000,000	2011/08/12	2011/11/21	14.16
13786	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2011 A	80,000,000	2011/08/12	2011/12/19	42.40
13789	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2011 A	80,000,000	2011/08/12	2011/12/19	29.20
13773	RB of Scotland N.V. – HSI European Warrants Feb 2012	250,000,000	2011/08/12	2012/02/28	37.75
13775	RB of Scotland N.V. – HSI European Put Warrants Mar 2012	250,000,000	2011/08/12	2012/03/29	62.75
13772	RB of Scotland N.V. – HSI European Warrants Dec 2011 C	250,000,000	2011/08/12	2011/12/29	37.75
13788	RB of Scotland N.V. – HSI European Put Warrants Dec 2011 D	250,000,000	2011/08/12	2011/12/29	37.75
13774	RB of Scotland N.V. – HSI European Put Warrants Jan 2012 B	250,000,000	2011/08/12	2012/01/30	37.75
13776	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2012 A	150,000,000	2011/08/12	2012/01/09	64.50
13781	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012	300,000,000	2011/08/12	2012/02/28	54.00
13779	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012 B	300,000,000	2011/08/12	2012/01/30	51.00
13780	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2012 C	300,000,000	2011/08/12	2012/01/30	48.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
13782	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 12D	300,000,000	2011/08/12	2012/01/30	51.00
13777	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jan 2012 A	150,000,000	2011/08/12	2012/01/18	66.00
13809	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 A	300,000,000	2011/08/12	2012/03/21	45.00
13778	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2012 A	150,000,000	2011/08/12	2012/01/18	45.00
13760	UBS AG – China Life European Warrants Jan 2012	300,000,000	2011/08/12	2012/01/18	45.00
13758	UBS AG – CNOOC European Warrants Dec 2011 B	200,000,000	2011/08/12	2011/12/30	30.00
13759	UBS AG – CNOOC European Warrants Mar 2012	200,000,000	2011/08/12	2012/03/12	50.00
13757	UBS AG – HKEx European Warrants Mar 2012 A	300,000,000	2011/08/12	2012/03/19	75.00
13761	UBS AG – HSI European Warrants Dec 2011 C	300,000,000	2011/08/12	2011/12/29	57.00
12817 #	HK Bank – HSI European Warrants Dec 2011 H	250,000,000	2011/08/12	2011/12/29	29.00
21304 #	UBS AG – CC Bank European Warrants Jun 2012	700,000,000	2011/08/12	2012/06/28	30.10
13887	Credit Suisse AG – BOCL European Warrants Jan 2012	200,000,000	2011/08/15	2012/01/30	30.00
13882	Credit Suisse AG – Cheung Kong European Warrants Dec 2012	200,000,000	2011/08/15	2012/12/10	30.00
13883	Credit Suisse AG – HSBC European Wts Jan 2012	300,000,000	2011/08/15	2012/01/03	90.00
13889	Credit Suisse AG – HSBC European Wts Mar 2016	300,000,000	2011/08/15	2016/03/30	45.00
13888	Credit Suisse AG – Hutchison European Warrants Jan 2012	300,000,000	2011/08/15	2012/01/30	45.00
13886	Credit Suisse AG – Ping An European Warrants Dec 2012 A	300,000,000	2011/08/15	2012/12/21	45.00
13884	Credit Suisse AG – SHK Ppt European Warrants Sep 2012	200,000,000	2011/08/15	2012/09/10	30.00
13885	Credit Suisse AG – Tencent Holdings European Wts Jan 2012	300,000,000	2011/08/15	2012/01/16	45.00
13836	Citigroup Global Mkt H Inc. – Alibaba Euro Warrants Jun 2012 A	80,000,000	2011/08/15	2012/06/29	20.00
13829	Citigroup Global Mkt H Inc. – CHALCO European Wts Jun 2013	80,000,000	2011/08/15	2013/06/17	12.00
13833	Citigroup Global Mkt H Inc. – BBMG European Wts Apr 2012	80,000,000	2011/08/15	2012/04/17	20.00
13832	Citigroup Global Mkt H Inc. – CNOOC European Wts Apr 2012	80,000,000	2011/08/15	2012/04/17	12.00
13830	Citigroup Global Mkt H Inc. – Dongfeng European Wts Apr 2012	80,000,000	2011/08/15	2012/04/17	20.00
13831	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2012	80,000,000	2011/08/15	2012/04/20	12.00
13837	Citigroup Global Mkt H Inc. – HKEx European Wts Jul 2012	80,000,000	2011/08/15	2012/07/23	12.00
13835	Citigroup Global Mkt H Inc. – HSBC European Wts May 2012	80,000,000	2011/08/15	2012/05/25	12.00
13828	Citigroup Global Mkt H Inc. – HSCEI European Wts Feb 2012	80,000,000	2011/08/15	2012/02/28	20.00
13838	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2012	80,000,000	2011/08/15	2012/02/06	12.00
13834	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Sep 2012	80,000,000	2011/08/15	2012/09/05	12.00
13863	HK Bank – HSCEI European Warrants Sep 2012	150,000,000	2011/08/15	2012/09/27	37.50
13869	HK Bank – HSI European Warrants Feb 2012	300,000,000	2011/08/15	2012/02/28	75.00
13867	HK Bank – HSI European Warrants Mar 2012	300,000,000	2011/08/15	2012/03/29	75.00
13868	HK Bank – HSI European Warrants Mar 2012 A	300,000,000	2011/08/15	2012/03/29	75.00
13858	J P Morgan SP BV – China Life European Warrants Dec 2011 B	200,000,000	2011/08/15	2011/12/23	30.00
13859	J P Morgan SP BV – China Life European Warrants Dec 2011 C	200,000,000	2011/08/15	2011/12/08	36.60
13848	J P Morgan SP BV – HKEx European Warrants Dec 2012	200,000,000	2011/08/15	2012/12/27	30.00
13847	J P Morgan SP BV – HKEx European Put Wts Jun 2012	200,000,000	2011/08/15	2012/06/18	50.00
13845	J P Morgan SP BV – Yanzhou Coal European Warrants Feb 2012	100,000,000	2011/08/15	2012/02/16	26.00
13846	J P Morgan SP BV – Yanzhou Coal European Warrants Jun 2012	100,000,000	2011/08/15	2012/06/25	25.00
13877	KBC Fin Products Int'l Ltd. – AIA Euro Put Warrants Jul 2012	108,000,000	2011/08/15	2012/07/10	27.00
13876	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Jan 2012	128,000,000	2011/08/15	2012/01/04	19.20
13875	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2012	80,000,000	2011/08/15	2012/06/01	20.00
13872	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Mar 2012	68,000,000	2011/08/15	2012/03/01	34.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13873	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jul 2012	108,000,000	2011/08/15	2012/07/03	27.00
13870	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2012 A	200,000,000	2011/08/15	2012/03/29	50.00
13874	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Aug 2012	80,000,000	2011/08/15	2012/08/10	20.00
13871	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Aug 2012	80,000,000	2011/08/15	2012/08/10	20.00
13827	Macquarie Bank Ltd. – BankComm European Warrants Mar 2012	200,000,000	2011/08/15	2012/03/02	50.00
13843	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2012	80,000,000	2011/08/15	2012/01/16	16.64
13844	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2012 A	80,000,000	2011/08/15	2012/01/10	22.00
13840	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Feb 2012	80,000,000	2011/08/15	2012/02/27	48.00
13839	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Mar 2012	80,000,000	2011/08/15	2012/03/05	29.60
13842	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2012 A	80,000,000	2011/08/15	2012/02/27	20.80
13841	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2012 A	80,000,000	2011/08/15	2012/03/19	20.00
13865	RB of Scotland N.V. – HSI European Put Warrants Apr 2012	250,000,000	2011/08/15	2012/04/27	62.75
13864	RB of Scotland N.V. – HSI European Warrants Jan 2012 C	250,000,000	2011/08/15	2012/01/30	37.75
13849	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2012	200,000,000	2011/08/15	2012/03/26	80.00
13851	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011C	300,000,000	2011/08/15	2011/12/19	63.00
13850	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2012	300,000,000	2011/08/15	2012/02/15	114.00
13852	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2012 A	300,000,000	2011/08/15	2012/02/15	75.00
13853	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 2012 C	200,000,000	2011/08/15	2012/02/15	122.00
13866	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt May 2012	300,000,000	2011/08/15	2012/05/07	75.00
13857	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Feb 2012	100,000,000	2011/08/15	2012/02/15	48.00
13856	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2012	300,000,000	2011/08/15	2012/02/15	114.00
13855	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 2012	300,000,000	2011/08/15	2012/02/28	78.00
13854	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012 A	300,000,000	2011/08/15	2012/02/28	75.00
13862	UBS AG – China Life European Warrants Nov 2011 B	300,000,000	2011/08/15	2011/11/28	45.00
13861	UBS AG – HSI European Warrants Jan 2012	300,000,000	2011/08/15	2012/01/30	45.00
13860	UBS AG – HSI European Warrants Feb 2012	300,000,000	2011/08/15	2012/02/28	75.00
13922	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2012 B	200,000,000	2011/08/16	2012/03/02	98.00
13923	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2012 B	200,000,000	2011/08/16	2012/04/03	142.00
13927	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2012	200,000,000	2011/08/16	2012/04/27	50.00
13926	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2012	200,000,000	2011/08/16	2012/08/30	50.00
13929	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2012	200,000,000	2011/08/16	2012/03/29	88.00
13928	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2012	200,000,000	2011/08/16	2012/04/27	76.00
13946	Credit Suisse AG – A50 China European Warrants Aug 2012	300,000,000	2011/08/16	2012/08/06	45.00
13945	Credit Suisse AG – HSI European Put Warrants Feb 2012 D	500,000,000	2011/08/16	2012/02/28	125.00
13941	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2012	80,000,000	2011/08/16	2012/02/27	20.00
13942	Citigroup Global Mkt H Inc. – CC Bank European Wt Feb 2012 A	80,000,000	2011/08/16	2012/02/27	20.00
13944	Citigroup Global Mkt H Inc. – HSBC European Wts Feb 2012	80,000,000	2011/08/16	2012/02/27	20.00
13940	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2012 A	80,000,000	2011/08/16	2012/02/27	20.00
13943	Citigroup Global Mkt H Inc. – ICBC European Wts Feb 2012	80,000,000	2011/08/16	2012/02/27	20.00
13903	HK Bank – HSI European Warrants Mar 2012 B	300,000,000	2011/08/16	2012/03/29	75.00
13925	HK Bank – HSI European Put Warrants Mar 2012 C	150,000,000	2011/08/16	2012/03/29	37.50
13913	J P Morgan SP BV – Agricultural Bk Euro Warrants Feb 2012	150,000,000	2011/08/16	2012/02/16	49.35
13912	J P Morgan SP BV – AIA European Warrants Feb 2012 A	200,000,000	2011/08/16	2012/02/16	50.00
13911	J P Morgan SP BV – China Mob European Wts Feb 2012	200,000,000	2011/08/16	2012/02/16	62.80

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
13916	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2012	200,000,000	2011/08/16	2012/02/16	78.00
13909	J P Morgan SP BV – CPIC European Warrants Feb 2012	100,000,000	2011/08/16	2012/02/16	25.00
13914	J P Morgan SP BV – HKEx European Warrants Oct 2012	200,000,000	2011/08/16	2012/10/25	32.00
13906	J P Morgan SP BV – HSBC European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/16	56.00
13905	J P Morgan SP BV – HSBC European Warrants Apr 2012	200,000,000	2011/08/16	2012/04/03	50.00
13904	J P Morgan SP BV – HSBC European Put Warrants Feb 2012	200,000,000	2011/08/16	2012/02/16	64.00
13910	J P Morgan SP BV – Hutchison European Warrants Feb 2012 A	150,000,000	2011/08/16	2012/02/16	43.95
13907	J P Morgan SP BV – ICBC European Warrants Feb 2012	150,000,000	2011/08/16	2012/02/16	49.05
13921	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Aug 2012	188,000,000	2011/08/16	2012/08/01	28.20
13955	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Aug 2012	158,000,000	2011/08/16	2012/08/08	39.50
13920	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2012 A	108,000,000	2011/08/16	2012/01/16	37.80
13919	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2012 A	98,000,000	2011/08/16	2012/02/16	49.00
13952	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2012 A	100,000,000	2011/08/16	2012/03/29	25.00
13953	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Mar 2012 B	100,000,000	2011/08/16	2012/03/29	25.00
13954	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2012	200,000,000	2011/08/16	2012/02/28	50.00
13924	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2012 B	200,000,000	2011/08/16	2012/03/29	50.00
13902	Macquarie Bank Ltd. – CM Bank European Warrants Jun 2012	200,000,000	2011/08/16	2012/06/04	50.00
13901	Macquarie Bank Ltd. – HKEx European Warrants Aug 2012	200,000,000	2011/08/16	2012/08/02	50.00
13898	Macquarie Bank Ltd. – HSI European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/28	50.00
13899	Macquarie Bank Ltd. – Tencent European Warrants Mar 2012	250,000,000	2011/08/16	2012/03/02	77.00
13900	Macquarie Bank Ltd. – Tencent European Warrants Mar 2012 A	250,000,000	2011/08/16	2012/03/02	62.50
13892	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 B	80,000,000	2011/08/16	2012/02/27	18.40
13893	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2012 B	80,000,000	2011/08/16	2012/02/27	20.00
13894	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011 A	80,000,000	2011/08/16	2011/11/22	17.12
13896	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 2012	80,000,000	2011/08/16	2012/06/25	20.00
13895	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Sep 2012	80,000,000	2011/08/16	2012/09/24	20.00
13897	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2012 A	80,000,000	2011/08/16	2012/02/27	34.40
13890	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 G	200,000,000	2011/08/16	2012/01/30	35.00
13891	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2012 H	200,000,000	2011/08/16	2012/01/30	32.60
13935	RB of Scotland N.V. – BOCL European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/16	25.10
13934	CC Rabobank B.A. – CC Bank European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/16	25.10
13933	RB of Scotland N.V. – China Life European Wts Apr 2012	200,000,000	2011/08/16	2012/04/03	50.20
13932	RB of Scotland N.V. – China Life European Wts Jun 2012	200,000,000	2011/08/16	2012/06/12	50.20
13937	RB of Scotland N.V. – HKEx European Warrants Oct 2012	200,000,000	2011/08/16	2012/10/10	50.20
13939	RB of Scotland N.V. – HSBC European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/03	25.10
13938	RB of Scotland N.V. – HWL European Warrants Mar 2012 B	100,000,000	2011/08/16	2012/03/12	25.10
13936	RB of Scotland N.V. – ICBC European Warrants Apr 2012	100,000,000	2011/08/16	2012/04/16	25.10
13917	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012 B	300,000,000	2011/08/16	2012/02/28	87.00
13918	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2012 C	300,000,000	2011/08/16	2012/02/28	75.00
13947	UBS AG – BOCL European Warrants Jan 2012 B	200,000,000	2011/08/16	2012/01/30	42.00
13949	UBS AG – CC Bank European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/20	134.00
13930	UBS AG – Cheung Kong European Warrants Dec 2012 A	300,000,000	2011/08/16	2012/12/10	45.00
13950	UBS AG – A50 China European Warrants Aug 2012	200,000,000	2011/08/16	2012/08/01	39.20
13951	UBS AG – HSBC European Warrants Oct 2015	500,000,000	2011/08/16	2015/10/12	125.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13931	UBS AG – Hutchison European Warrants Jan 2012	500,000,000	2011/08/16	2012/01/20	75.00
13948	UBS AG – ICBC European Warrants Feb 2012	200,000,000	2011/08/16	2012/02/20	106.00
13994	Citigroup Global Mkt H Inc. – BankComm Euro Wts Feb 2012 B	80,000,000	2011/08/17	2012/02/20	20.00
13991	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Feb 2012	80,000,000	2011/08/17	2012/02/20	20.00
13993	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Feb 2012 A	80,000,000	2011/08/17	2012/02/20	20.00
13995	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Feb 2012 B	80,000,000	2011/08/17	2012/02/28	20.00
13996	Citigroup Global Mkt H Inc. – HSI European Put Wts Feb 2012	100,000,000	2011/08/17	2012/02/28	25.00
13990	Citigroup Global Mkt H Inc. – Jiangxi Copper Eur Wt Feb 2012	80,000,000	2011/08/17	2012/02/20	20.00
13992	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Apr 2012	80,000,000	2011/08/17	2012/04/26	12.80
13989	Bank of East Asia – China Life European Warrants Apr 2012	65,000,000	2011/08/17	2012/04/23	16.25
13987	Bank of East Asia – Li & Fung European Warrants Sep 2012	115,000,000	2011/08/17	2012/09/24	28.75
13988	Bank of East Asia – Yanzhou Coal European Warrants Apr 2012	55,000,000	2011/08/17	2012/04/23	14.30
13985	J P Morgan SP BV – Agricultural Bk Euro Warrants Jul 2015	500,000,000	2011/08/17	2015/07/08	75.00
13984	J P Morgan SP BV – China Mob European Wts Jun 2015	500,000,000	2011/08/17	2015/06/22	75.00
13982	J P Morgan SP BV – Hutchison European Warrants Dec 2013	500,000,000	2011/08/17	2013/12/30	75.00
13983	J P Morgan SP BV – Hutchison European Warrants Dec 2013 A	500,000,000	2011/08/17	2013/12/16	75.00
13981	J P Morgan SP BV – Ping An European Warrants Nov 2013	300,000,000	2011/08/17	2013/11/28	45.00
13980	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2012 A	198,000,000	2011/08/17	2012/02/08	29.70
13979	Macquarie Bank Ltd. – CC Bank European Warrants Mar 2012	220,000,000	2011/08/17	2012/03/05	93.28
13958	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Feb 2012 A	80,000,000	2011/08/17	2012/02/20	40.80
13961	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 C	80,000,000	2011/08/17	2012/02/22	26.40
13962	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 D	80,000,000	2011/08/17	2012/02/20	20.80
13957	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2015	80,000,000	2011/08/17	2015/06/25	12.00
13956	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011 E	80,000,000	2011/08/17	2011/12/06	35.20
13963	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11F	80,000,000	2011/08/17	2011/12/07	40.00
13975	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012	200,000,000	2011/08/17	2012/03/29	50.00
13976	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2012	200,000,000	2011/08/17	2012/05/30	50.00
13977	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 A	200,000,000	2011/08/17	2012/03/29	50.00
13978	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 B	200,000,000	2011/08/17	2012/03/29	51.00
13959	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 A	80,000,000	2011/08/17	2012/02/22	44.80
13960	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 B	80,000,000	2011/08/17	2012/02/20	34.80
13997	RB of Scotland N.V. – AIA European Warrants Jun 2012 A	200,000,000	2011/08/17	2012/06/29	50.20
13998	RB of Scotland N.V. – CNOOC European Warrants Nov 2012	250,000,000	2011/08/17	2012/11/16	62.75
10129	RB of Scotland N.V. – Gold European Warrants Jun 2012 A	100,000,000	2011/08/17	2012/06/25	25.10
13964	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2012 A	200,000,000	2011/08/17	2012/01/18	70.00
13965	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2012 A	200,000,000	2011/08/17	2012/02/20	78.00
13986	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2012 A	400,000,000	2011/08/17	2012/02/20	108.00
13967	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Sep 2012	300,000,000	2011/08/17	2012/09/05	45.00
13968	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 2012	200,000,000	2011/08/17	2012/02/20	62.00
13969	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Mar 2012	200,000,000	2011/08/17	2012/03/28	50.00
12797 #	Standard Chartered Bank-China Life European Wts Jan 2012	150,000,000	2011/08/17	2012/01/04	16.35
11298 #	Standard Chartered Bank – HSBC European Warrants Jun 2012	150,000,000	2011/08/17	2012/06/01	16.50
15550 #	UBS AG – HSBC European Warrants Dec 2011	500,000,000	2011/08/17	2011/12/19	10.50
12772 #	UBS AG – HSI European Warrants Dec 2011 A	700,000,000	2011/08/17	2011/12/29	57.40

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14033	Barclays Bank plc – HSI European Warrants Mar 2012	150,000,000	2011/08/18	2012/03/29	37.50
14034	Barclays Bank plc – HSI European Put Warrants Mar 2012	150,000,000	2011/08/18	2012/03/29	37.50
14036	Bank of East Asia – JIANG European Warrants May 2012	80,000,000	2011/08/18	2012/05/23	20.00
14035	Bank of East Asia – MTRC European Warrants Nov 2012	60,000,000	2011/08/18	2012/11/23	15.00
14000	HK Bank – HSI European Warrants Feb 2012 A	300,000,000	2011/08/18	2012/02/28	75.00
14001	HK Bank – HSI European Warrants Feb 2012 B	300,000,000	2011/08/18	2012/02/28	75.00
14020	J P Morgan SP BV – CHALCO European Warrants Jun 2013	300,000,000	2011/08/18	2013/06/17	45.00
14017	J P Morgan SP BV – China Life European Warrants Feb 2012	200,000,000	2011/08/18	2012/02/20	50.00
14019	J P Morgan SP BV – China Life European Warrants Feb 2012 A	200,000,000	2011/08/18	2012/02/20	57.00
14021	J P Morgan SP BV – Chinares Cement Euro Warrants Jan 2013	100,000,000	2011/08/18	2013/01/28	15.00
14018	J P Morgan SP BV – A50 China European Warrants Aug 2014	300,000,000	2011/08/18	2014/08/08	75.00
14016	J P Morgan SP BV – A50 China European Warrants Aug 2016	300,000,000	2011/08/18	2016/08/08	90.00
14023	J P Morgan SP BV – GCL-Poly European Warrants Aug 2013	300,000,000	2011/08/18	2013/08/23	45.00
14027	J P Morgan SP BV – HSI European Warrants Feb 2012	200,000,000	2011/08/18	2012/02/28	50.00
14025	J P Morgan SP BV – HSI European Put Warrants Feb 2012	200,000,000	2011/08/18	2012/02/28	52.60
14024	J P Morgan SP BV – HSI European Put Warrants Apr 2012	200,000,000	2011/08/18	2012/04/27	50.00
14028	J P Morgan SP BV – HSI European Warrants Jan 2012 B	200,000,000	2011/08/18	2012/01/30	33.00
14029	J P Morgan SP BV – HSI European Warrants Jan 2012 C	200,000,000	2011/08/18	2012/01/30	34.00
14026	J P Morgan SP BV – HSI European Put Warrants Feb 2012 A	200,000,000	2011/08/18	2012/02/28	52.20
14022	J P Morgan SP BV – SHK Ppt European Warrants Apr 2013	200,000,000	2011/08/18	2013/04/11	30.00
14012	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Feb 2012	108,000,000	2011/08/18	2012/02/20	42.12
14011	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Mar 2012	80,000,000	2011/08/18	2012/03/05	29.60
14010	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2012 A	80,000,000	2011/08/18	2012/02/20	27.20
14009	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Apr 2012	68,000,000	2011/08/18	2012/04/02	34.00
14008	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2012	238,000,000	2011/08/18	2012/06/01	35.70
14007	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Mar 2012	98,000,000	2011/08/18	2012/03/01	48.02
14030	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2012	200,000,000	2011/08/18	2012/03/29	52.00
14006	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2012 B	128,000,000	2011/08/18	2012/02/20	78.08
14005	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2012	80,000,000	2011/08/18	2012/03/05	20.00
14004	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Mar 2012	80,000,000	2011/08/18	2012/03/05	46.40
14014	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2012	80,000,000	2011/08/18	2012/11/30	20.00
14013	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2012 B	80,000,000	2011/08/18	2012/02/27	32.00
14002	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2011 B	80,000,000	2011/08/18	2011/12/23	43.20
14003	Merrill Lynch Int'l & Co. C.V. – MTRC European Wts May 2012	80,000,000	2011/08/18	2012/05/28	20.00
14032	Standard Chartered Bank – China Life European Wts Mar 2012 A	150,000,000	2011/08/18	2012/03/05	37.50
14031	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2012	100,000,000	2011/08/18	2012/10/19	15.00
14015	Standard Chartered Bank – SPDR Gold Trust Euro Wts Jun 2012	50,000,000	2011/08/18	2012/06/29	26.50
14075	BNP Paribas Arbit Issu B.V. – BankComm Euro Warrants Apr 2012	45,000,000	2011/08/19	2012/04/03	16.65
14076	BNP Paribas Arbit Issu B.V. – CM Bank Euro Warrants Oct 2012	100,000,000	2011/08/19	2012/10/03	25.00
14072	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wts Apr 2012	35,000,000	2011/08/19	2012/04/03	19.25
14077	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Oct 2012	100,000,000	2011/08/19	2012/10/03	25.00
14074	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Euro Wt Apr 2012	40,000,000	2011/08/19	2012/04/03	10.40
14071	BNP Paribas Arbit Issu B.V. – Zoomlion European Wts Oct 2012	100,000,000	2011/08/19	2012/10/03	25.00
14078	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2013	100,000,000	2011/08/19	2013/11/04	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14073	BNP Paribas Arbit Issu B.V. – China Mengniu Euro Wt Apr 2012	80,000,000	2011/08/19	2012/04/03	23.20
14070	BNP Paribas Arbit Issu B.V. – Ch Rongsheng Euro Wt Jun 2012	55,000,000	2011/08/19	2012/06/04	24.20
14068	BNP Paribas Arbit Issu B.V. – Sino Land European Wt Sep 2012	100,000,000	2011/08/19	2012/09/04	25.00
14069	BNP Paribas Arbit Issu B.V. – ZTE European Warrants Jun 2012	80,000,000	2011/08/19	2012/06/04	20.80
14046	Citigroup Global Mkt H Inc. – BOCL European Wts Feb 2012 A	80,000,000	2011/08/19	2012/02/20	20.00
14043	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Feb 2012 A	80,000,000	2011/08/19	2012/02/27	20.00
14045	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Jan 2012	80,000,000	2011/08/19	2012/01/10	12.00
14050	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2013	100,000,000	2011/08/19	2013/06/25	15.00
14044	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wts Feb 2012	50,000,000	2011/08/19	2012/02/20	15.00
14066	Bank of East Asia – HKEx European Warrants Aug 2012	110,000,000	2011/08/19	2012/08/23	27.50
14067	Bank of East Asia – PICC European Warrants Apr 2012	140,000,000	2011/08/19	2012/04/23	35.00
14065	KBC Fin Products Int'l Ltd. – ICBC European Wts Feb 2012	128,000,000	2011/08/19	2012/02/20	35.84
14041	Macquarie Bank Ltd. – CHALCO European Warrants Mar 2012	150,000,000	2011/08/19	2012/03/02	57.45
14063	Macquarie Bank Ltd. – Angang Steel European Wts Mar 2012	100,000,000	2011/08/19	2012/03/02	25.10
14039	Macquarie Bank Ltd. – Ch Comm Cons European Wts Mar 2012	150,000,000	2011/08/19	2012/03/02	41.25
14040	Macquarie Bank Ltd. – China Railway European Wts Mar 2012	150,000,000	2011/08/19	2012/03/02	37.95
14042	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Feb 2012	150,000,000	2011/08/19	2012/02/20	38.85
14064	Macquarie Bank Ltd. – ICBC European Warrants Mar 2012	100,000,000	2011/08/19	2012/03/02	26.90
14051	Macquarie Bank Ltd. – ZTE European Warrants Mar 2012	150,000,000	2011/08/19	2012/03/02	37.50
14053	CC Rabobank B.A. – AviChina European Warrants Feb 2012	80,000,000	2011/08/19	2012/02/28	64.00
14054	CC Rabobank B.A. – Nine Dragons European Warrants Feb 2012	38,000,000	2011/08/19	2012/02/29	26.60
14052	CC Rabobank B.A. – Xinyi Glass European Warrants Feb 2012	50,000,000	2011/08/19	2012/02/28	45.50
14059	RB of Scotland N.V. – Sinopec Corp European Wts Mar 2012	100,000,000	2011/08/19	2012/03/16	25.10
14056	RB of Scotland N.V. – China Shenhua European Wts Mar 2012	150,000,000	2011/08/19	2012/03/16	37.65
14055	RB of Scotland N.V. – China Shenhua European Wts Jun 2012	150,000,000	2011/08/19	2012/06/18	37.65
14060	RB of Scotland N.V. – HSI European Warrants Jan 2012 D	250,000,000	2011/08/19	2012/01/30	37.75
14061	RB of Scotland N.V. – HSI European Warrants Feb 2012 A	250,000,000	2011/08/19	2012/02/28	62.75
14062	RB of Scotland N.V. – HSI European Warrants Feb 2012 B	250,000,000	2011/08/19	2012/02/28	62.75
14058	RB of Scotland N.V. – Jiangxi Copper European Wts Mar 2012	300,000,000	2011/08/19	2012/03/16	75.30
14057	RB of Scotland N.V. – Jiangxi Copper European Wts Jun 2012	300,000,000	2011/08/19	2012/06/18	75.30
14048	Standard Chartered Bank – CC Bank European Wts May 2012	100,000,000	2011/08/19	2012/05/04	35.00
14047	Standard Chartered Bank – HSBC European Warrants Feb 2012 A	100,000,000	2011/08/19	2012/02/27	47.00
14049	Standard Chartered Bank – ICBC European Warrants Jan 2012	100,000,000	2011/08/19	2012/01/30	17.00
14037	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Feb 2012 A	500,000,000	2011/08/19	2012/02/20	125.00
14038	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Feb 2012 B	500,000,000	2011/08/19	2012/02/22	75.00
14103	Credit Suisse AG – Agricultural Bank European Wts Dec 2011 A	300,000,000	2011/08/22	2011/12/12	45.00
14099	Credit Suisse AG – HSI European Warrants Feb 2012 E	500,000,000	2011/08/22	2012/02/28	125.00
14100	Credit Suisse AG – HSI European Warrants Feb 2012 F	500,000,000	2011/08/22	2012/02/28	125.00
14101	Credit Suisse AG – HSI European Warrants Feb 2012 G	500,000,000	2011/08/22	2012/02/28	125.00
14102	Credit Suisse AG – HSI European Put Warrants Feb 2012 H	500,000,000	2011/08/22	2012/02/28	125.00
14082	HK Bank – China Mobile European Warrants Mar 2012	150,000,000	2011/08/22	2012/03/15	76.50
14081	HK Bank – CC Bank European Warrants Mar 2012	120,000,000	2011/08/22	2012/03/29	38.40
14083	HK Bank – China Life European Warrants May 2012	150,000,000	2011/08/22	2012/05/11	37.50
14095	J P Morgan SP BV – Tencent European Warrants Mar 2012	200,000,000	2011/08/22	2012/03/01	50.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14096	J P Morgan SP BV – Tencent European Warrants Jul 2012	200,000,000	2011/08/22	2012/07/03	52.00
14094	J P Morgan SP BV – Tencent European Put Warrants Feb 2012	200,000,000	2011/08/22	2012/02/22	54.00
14097	KBC Fin Products Int'l Ltd. – CNOOC European Wts Mar 2012	108,000,000	2011/08/22	2012/03/05	19.44
14098	KBC Fin Products Int'l Ltd. – Ping An European Wts Feb 2012	80,000,000	2011/08/22	2012/02/22	34.40
14080	Macquarie Bank Ltd. – China Shenhua European Wts Mar 2012	150,000,000	2011/08/22	2012/03/02	37.50
14079	Macquarie Bank Ltd. – Sinopharm European Warrants Feb 2012	150,000,000	2011/08/22	2012/02/22	37.50
14087	CC Rabobank B.A. – Anhui Conch European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/19	40.00
14091	CC Rabobank B.A. – Alibaba European Warrants Jun 2012 A	100,000,000	2011/08/22	2012/06/22	15.00
14092	CC Rabobank B.A. – BBMG European Warrants Apr 2012	100,000,000	2011/08/22	2012/04/10	15.00
14088	CC Rabobank B.A. – Ch LongYuan European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/19	50.00
14084	CC Rabobank B.A. – CITIC Pacific European Warrants Jun 2012	100,000,000	2011/08/22	2012/06/27	15.00
14089	CC Rabobank B.A. – Datang European Warrants Mar 2012 A	100,000,000	2011/08/22	2012/03/19	25.00
14093	CC Rabobank B.A. – HKEx European Warrants Jun 2012	200,000,000	2011/08/22	2012/06/18	30.00
14085	CC Rabobank B.A. – HKEx European Put Warrants Jun 2012	200,000,000	2011/08/22	2012/06/18	50.00
14090	CC Rabobank B.A. – Yurun Food European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/19	25.00
14086	CC Rabobank B.A. – ZTE European Warrants Mar 2012	100,000,000	2011/08/22	2012/03/26	25.00
14121	Credit Suisse AG – HSCEI European Warrants Mar 2012	200,000,000	2011/08/23	2012/03/29	30.00
14131	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Mar 2012	188,000,000	2011/08/23	2012/03/12	28.20
14123	Macquarie Bank Ltd. – Avichina European Warrants Feb 2012	88,000,000	2011/08/23	2012/02/23	30.45
14125	Macquarie Bank Ltd. – China Life European Put Wts Mar 2012	200,000,000	2011/08/23	2012/03/02	55.80
14126	Macquarie Bank Ltd. – CRCC European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/02	25.20
14124	Macquarie Bank Ltd. – Galaxy Ent European Warrants Feb 2012	150,000,000	2011/08/23	2012/02/23	37.50
14111	Macquarie Bank Ltd. – HSI European Warrants Apr 2012	200,000,000	2011/08/23	2012/04/27	50.00
14112	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2012	200,000,000	2011/08/23	2012/02/28	50.00
14104	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Mar 2012	80,000,000	2011/08/23	2012/03/19	34.80
14105	Merrill Lynch Int'l & Co. C.V. – CLP European Wts Apr 2012	80,000,000	2011/08/23	2012/04/23	21.60
14108	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar 2012	80,000,000	2011/08/23	2012/03/05	13.60
14109	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Feb 2012	100,000,000	2011/08/23	2012/02/28	18.10
14110	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2012 A	100,000,000	2011/08/23	2012/02/28	22.50
14106	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wts Mar 2012	80,000,000	2011/08/23	2012/03/19	33.20
14107	Merrill Lynch Int'l & Co. C.V. – PWR Assets Eu Wts Apr 2012	80,000,000	2011/08/23	2012/04/23	22.40
14117	CC Rabobank B.A. – CHALCO European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	60.00
14113	CC Rabobank B.A. – China EB Ltd European Warrants Aug 2012	100,000,000	2011/08/23	2012/08/20	25.00
14115	CC Rabobank B.A. – Ch Comm Cons European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	40.00
14116	CC Rabobank B.A. – China COSCO European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	40.00
14119	CC Rabobank B.A. – CNBM European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/12	20.00
14118	CC Rabobank B.A. – Ch Ship Cont European Warrants May 2012	100,000,000	2011/08/23	2012/05/21	25.00
14114	CC Rabobank B.A. – CSR European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	55.00
14120	CC Rabobank B.A. – Lonking European Warrants Mar 2012	100,000,000	2011/08/23	2012/03/19	40.00
14128	RB of Scotland N.V. – China Life European Wts Mar 2012	200,000,000	2011/08/23	2012/03/30	30.20
14127	RB of Scotland N.V. – HSI European Put Warrants Apr 2012 A	250,000,000	2011/08/23	2012/04/27	62.75
14129	RB of Scotland N.V. – Ping An European Warrants Feb 2012	100,000,000	2011/08/23	2012/02/28	31.10
14130	RB of Scotland N.V. – Tencent Holdings Euro Wts Mar 2012 A	80,000,000	2011/08/23	2012/03/05	20.08
14122	Standard Chartered Bank – Hutchison Euro Euro Wts Jan 2012	100,000,000	2011/08/23	2012/01/04	42.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
13403 #	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2012	158,000,000	2011/08/23	2012/04/02	33.50
14149	BOCI Asia Ltd. – BOCL European Warrants Apr 2012	100,000,000	2011/08/24	2012/04/02	16.00
14148	BOCI Asia Ltd. – CC Bank European Warrants Mar 2012	100,000,000	2011/08/24	2012/03/05	30.00
14147	BOCI Asia Ltd. – ICBC European Warrants Jan 2012 A	100,000,000	2011/08/24	2012/01/26	17.00
14144	HK Bank – Zoomlion European Warrants Jun 2012	80,000,000	2011/08/24	2012/06/28	20.00
14150	KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2012	108,000,000	2011/08/24	2012/03/01	27.00
14151	KBC Fin Products Int'l Ltd. – BOC HK European Wts Jun 2012	108,000,000	2011/08/24	2012/06/26	19.44
14153	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Mar 2012	108,000,000	2011/08/24	2012/03/15	27.00
14143	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Mar 2012	100,000,000	2011/08/24	2012/03/02	25.00
14152	Macquarie Bank Ltd. – HSBC European Warrants Jan 2012 A	200,000,000	2011/08/24	2012/01/16	74.00
14141	Macquarie Bank Ltd. – Jiangxi Copper European Wts Mar 2012	200,000,000	2011/08/24	2012/03/02	50.00
14142	Macquarie Bank Ltd. – Yurun Food European Warrants Mar 2012	150,000,000	2011/08/24	2012/03/29	37.50
14134	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 2012	80,000,000	2011/08/24	2012/03/23	20.40
14133	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Nov 2012	80,000,000	2011/08/24	2012/11/14	20.00
14145	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Mar 2012	80,000,000	2011/08/24	2012/03/15	38.40
14146	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Mar 2012 A	80,000,000	2011/08/24	2012/03/08	63.20
14132	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Mar 12	80,000,000	2011/08/24	2012/03/12	58.40
14156	RB of Scotland N.V. – CC Bank European Put Warrants May 2012	80,000,000	2011/08/24	2012/05/04	40.16
14155	RB of Scotland N.V. – China Life European Put Wts Mar 2012	80,000,000	2011/08/24	2012/03/30	21.20
14154	RB of Scotland N.V. – CNOOC European Put Warrants Jun 2012	80,000,000	2011/08/24	2012/06/12	20.08
14157	RB of Scotland N.V. – HKEx European Put Warrants Oct 2012	80,000,000	2011/08/24	2012/10/10	20.08
14159	RB of Scotland N.V. – HSBC European Put Warrants Apr 2012	80,000,000	2011/08/24	2012/04/03	36.40
14158	RB of Scotland N.V. – HWL European Put Warrants Mar 2012	80,000,000	2011/08/24	2012/03/12	40.00
14135	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2012	120,000,000	2011/08/24	2012/02/13	75.60
14136	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2012	200,000,000	2011/08/24	2012/03/28	56.00
14137	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Feb 2012	50,000,000	2011/08/24	2012/02/29	18.00
14138	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Jan 2012	100,000,000	2011/08/24	2012/01/11	17.00
14139	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Mar 2012	50,000,000	2011/08/24	2012/03/28	16.50
14140	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Feb 12	100,000,000	2011/08/24	2012/02/29	30.00
14162	Barclays Bank plc – AIA European Warrants Jul 2012	100,000,000	2011/08/25	2012/07/03	27.30
14161	Barclays Bank plc – CNOOC European Warrants Jul 2012 B	100,000,000	2011/08/25	2012/07/30	25.00
14166	Credit Suisse AG – CNBM European Warrants Mar 2012	150,000,000	2011/08/25	2012/03/12	37.50
14164	Credit Suisse AG – Jiangxi Copper European Wts Jan 2012	150,000,000	2011/08/25	2012/01/16	22.50
14165	Credit Suisse AG – Yanzhou Coal European Warrants Feb 2012	150,000,000	2011/08/25	2012/02/13	22.50
10130	Deutsche Bank AG – EUR/USD European Warrants Feb 2012	50,000,000	2011/08/25	2012/02/27	13.00
10132	Deutsche Bank AG – Gold European Warrants Feb 2012	50,000,000	2011/08/25	2012/02/28	12.50
10131	Deutsche Bank AG – Gold European Put Warrants Feb 2012	50,000,000	2011/08/25	2012/02/28	12.50
14173	J P Morgan SP BV – China Everbright European Wts Dec 2012	100,000,000	2011/08/25	2012/12/03	25.00
14168	J P Morgan SP BV – China Comservice European Wts Jun 2012	50,000,000	2011/08/25	2012/06/25	19.00
14172	J P Morgan SP BV – Minsheng Bank European Warrants Feb 2012	60,000,000	2011/08/25	2012/02/27	16.50
14167	J P Morgan SP BV – CNBM European Warrants Jun 2012	200,000,000	2011/08/25	2012/06/18	50.00
14171	J P Morgan SP BV – A50 China European Put Warrants May 2013	200,000,000	2011/08/25	2013/05/20	50.00
14170	J P Morgan SP BV – Ch Rongsheng European Warrants May 2012	100,000,000	2011/08/25	2012/05/28	40.00
14174	J P Morgan SP BV – Zhaojin Mining European Warrants Jun 2012	100,000,000	2011/08/25	2012/06/18	25.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
14163	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jun 2012	100,000,000	2011/08/25	2012/06/28	25.00
14160	Macquarie Bank Ltd. – HKEx European Put Warrants Apr 2012	200,000,000	2011/08/25	2012/04/03	50.00
14178	BOCI Asia Ltd. – AIA European Warrants Feb 2012	100,000,000	2011/08/26	2012/02/20	16.00
14176	BOCI Asia Ltd. – China Shenhua European Warrants Mar 2012	100,000,000	2011/08/26	2012/03/05	25.00
14177	BOCI Asia Ltd. – Li & Fung European Warrants Feb 2012	100,000,000	2011/08/26	2012/02/20	15.00
14198	Citigroup Global Mkt H Inc. – HSI European Put Wts Mar 2012	100,000,000	2011/08/26	2012/03/29	16.00
14197	Citigroup Global Mkt H Inc. – HSI European Wts Feb 2012 A	100,000,000	2011/08/26	2012/02/28	23.00
14192	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Mar 2012	80,000,000	2011/08/26	2012/03/15	24.00
14193	KBC Fin Products Int'l Ltd. – Anhui Conch Eu Put Wt May 2012	80,000,000	2011/08/26	2012/05/15	29.60
14196	KBC Fin Products Int'l Ltd. – BOCL European Wts Feb 2012 A	108,000,000	2011/08/26	2012/02/14	37.80
14189	KBC Fin Products Int'l Ltd. – CITIC P European Wts Jun 2012	80,000,000	2011/08/26	2012/06/01	20.00
14187	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Aug 2012	80,000,000	2011/08/26	2012/08/01	20.00
14194	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2012	128,000,000	2011/08/26	2012/02/27	32.00
14186	KBC Fin Products Int'l Ltd. – CNBM European Wts Apr 2012	88,000,000	2011/08/26	2012/04/02	22.00
14195	KBC Fin Products Int'l Ltd. – CNBM European Put Wts May 2012	80,000,000	2011/08/26	2012/05/31	20.00
14188	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Apr 2012	98,000,000	2011/08/26	2012/04/02	30.38
14190	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Apr 2012	80,000,000	2011/08/26	2012/04/30	20.00
14191	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Apr 12	80,000,000	2011/08/26	2012/04/10	20.00
14175	Macquarie Bank Ltd. – Ch Oilfield European Warrants Sep 2012	150,000,000	2011/08/26	2012/09/04	37.50
14179	Macquarie Bank Ltd. – Stanchart European Warrants May 2012	300,000,000	2011/08/26	2012/05/29	45.30
14184	Macquarie Bank Ltd. – Tencent European Warrants Apr 2012	300,000,000	2011/08/26	2012/04/03	75.00
14185	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 2012	300,000,000	2011/08/26	2012/06/04	75.00
14182	Standard Chartered Bank – BOCL European Warrants Aug 2012 A	100,000,000	2011/08/26	2012/08/02	40.00
14183	Standard Chartered Bank – Jiangxi Copper Euro Wts May 2012	100,000,000	2011/08/26	2012/05/03	25.00
14206	J P Morgan SP BV – BEA European Warrants May 2012	80,000,000	2011/08/29	2012/05/30	20.00
14207	J P Morgan SP BV – JX Copper European Warrants Feb 2012	200,000,000	2011/08/29	2012/02/29	50.00
14208	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2012	80,000,000	2011/08/29	2012/03/01	33.60
14205	Macquarie Bank Ltd. – HSI European Put Warrants May 2012	200,000,000	2011/08/29	2012/05/30	50.20
14204	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2012	200,000,000	2011/08/29	2012/06/28	50.00
14203	Macquarie Bank Ltd. – HSI European Warrants Mar 2012 A	200,000,000	2011/08/29	2012/03/29	50.00
14202	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2012	80,000,000	2011/08/29	2012/02/03	16.16
14201	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011 B	80,000,000	2011/08/29	2011/11/30	13.20
14200	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2012 B	80,000,000	2011/08/29	2012/03/26	20.00
14199	Merrill Lynch Int'l & Co. C.V. – Tencent Eur Put Wt May 2012	80,000,000	2011/08/29	2012/05/21	20.00
28888#	UBS AG – Hutchison European Warrants Mar 2012 B	500,000,000	2011/08/29	2012/03/21	16.50
14209	Barclays Bank plc – CC Bank European Warrants Mar 2012	100,000,000	2011/08/30	2012/03/05	25.00
14210	Barclays Bank plc – ICBC European Warrants Apr 2012	100,000,000	2011/08/30	2012/04/02	25.00
14227	Credit Suisse AG – China Mobile European Warrants Mar 2012	300,000,000	2011/08/30	2012/03/05	75.00
14225	Credit Suisse AG – ChiUnicom European Warrants Jan 2012	200,000,000	2011/08/30	2012/01/30	30.00
14228	Credit Suisse AG – CNBM European Warrants Apr 2012	150,000,000	2011/08/30	2012/04/30	22.50
14226	Credit Suisse AG – CNOOC European Warrants Mar 2012	300,000,000	2011/08/30	2012/03/05	45.00
14224	Credit Suisse AG – Tencent Holdings European Wts Mar 2012	300,000,000	2011/08/30	2012/03/05	75.00
10133	Deutsche Bank AG – Gold European Warrants Mar 2012	50,000,000	2011/08/30	2012/03/20	12.50
14220	J P Morgan SP BV – Brilliance Chi European Warrants Jan 2013	200,000,000	2011/08/30	2013/01/28	50.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14217	Macquarie Bank Ltd. – BYD European Warrants Mar 2012	200,000,000	2011/08/30	2012/03/02	50.00
14223	Macquarie Bank Ltd. – C Transmission Eur Warrants Mar 2012 A	100,000,000	2011/08/30	2012/03/02	26.90
14213	CC Rabobank B.A. – Galaxy Ent European Warrants Mar 2012 A	100,000,000	2011/08/30	2012/03/19	30.00
14216	CC Rabobank B.A. – SJM Holdings European Warrants Mar 2012	100,000,000	2011/08/30	2012/03/19	25.00
14214	CC Rabobank B.A. – Tencent Holdings European Wts Feb 2012	150,000,000	2011/08/30	2012/02/20	27.00
14215	CC Rabobank B.A. – Tencent Holdings European Put Wt May 2012	150,000,000	2011/08/30	2012/05/21	37.50
14211	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2012 B	200,000,000	2011/08/30	2012/01/30	42.00
14221	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 B	100,000,000	2011/08/30	2012/03/05	76.00
14222	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 C	150,000,000	2011/08/30	2012/03/28	73.50
14212	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2012	200,000,000	2011/08/30	2012/02/15	64.00
13672#	UBS AG – China Life European Warrants Dec 2012 A	500,000,000	2011/08/30	2012/12/10	10.50
14232	Citigroup Global Mkt H Inc. – Air China Euro Wts Dec 2012	80,000,000	2011/08/31	2012/12/10	13.60
14235	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012 B	80,000,000	2011/08/31	2012/02/08	12.00
14236	Citigroup Global Mkt H Inc. – A50 China Eur Put Wts Feb 2012	100,000,000	2011/08/31	2012/02/06	15.00
14233	Citigroup Global Mkt H Inc. – Ch Rongsheng Euro Wt Mar 2012	50,000,000	2011/08/31	2012/03/01	12.50
14234	Citigroup Global Mkt H Inc. – Yanzhou Coal Euro Wt Mar 2012	80,000,000	2011/08/31	2012/03/01	20.00
14253	J P Morgan SP BV – BOCL European Warrants Feb 2012	200,000,000	2011/08/31	2012/02/22	30.00
14258	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2012	200,000,000	2011/08/31	2012/03/01	68.40
14256	J P Morgan SP BV – China Life European Warrants Feb 2012 B	200,000,000	2011/08/31	2012/02/08	37.00
14259	J P Morgan SP BV – Sinopec Corp European Warrants Mar 2012	100,000,000	2011/08/31	2012/03/01	46.00
14254	J P Morgan SP BV – HKEx European Warrants Feb 2012	200,000,000	2011/08/31	2012/02/21	30.00
14260	J P Morgan SP BV – HSBC European Warrants Mar 2012	200,000,000	2011/08/31	2012/03/01	82.80
14257	J P Morgan SP BV – Hutchison European Warrants Mar 2012 C	120,000,000	2011/08/31	2012/03/01	52.08
14255	J P Morgan SP BV – Hutchison European Warrants Oct 2012 A	500,000,000	2011/08/31	2012/10/19	75.00
14261	J P Morgan SP BV – ICBC European Warrants Mar 2012	150,000,000	2011/08/31	2012/03/01	37.50
14262	J P Morgan SP BV – ICBC European Warrants Mar 2012 A	150,000,000	2011/08/31	2012/03/01	58.65
14249	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2012	158,000,000	2011/08/31	2012/03/01	52.14
14250	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Mar 2012	80,000,000	2011/08/31	2012/03/01	20.00
14264	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2012 C	200,000,000	2011/08/31	2012/03/29	50.00
14265	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2012 D	200,000,000	2011/08/31	2012/03/29	50.00
14263	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Mar 2012 A	108,000,000	2011/08/31	2012/03/01	27.00
14252	Macquarie Bank Ltd. – CC Bank European Put Warrants Mar 2012	200,000,000	2011/08/31	2012/03/02	53.40
14251	Macquarie Bank Ltd. – China Life European Wts Apr 2012	200,000,000	2011/08/31	2012/04/03	50.60
14239	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Put Wt May 12	80,000,000	2011/08/31	2012/05/28	12.96
14240	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2012 A	80,000,000	2011/08/31	2012/03/12	20.40
14241	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 12 B	80,000,000	2011/08/31	2012/03/19	20.00
14237	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jan 2012 A	80,000,000	2011/08/31	2012/01/16	31.20
14238	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jan 2012 B	80,000,000	2011/08/31	2012/01/10	26.40
14230	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2012 A	100,000,000	2011/08/31	2012/03/29	15.60
14231	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2012 B	100,000,000	2011/08/31	2012/02/28	17.10
14229	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Feb 2012	80,000,000	2011/08/31	2012/02/27	17.92
14242	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2012 A	200,000,000	2011/08/31	2012/03/05	52.00
14245	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Mar 2012	300,000,000	2011/08/31	2012/03/05	48.00
14246	SGA Societe Generale Acceptance N.V. – Evergrande Eu Wt Mar 12	50,000,000	2011/08/31	2012/03/05	18.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14247	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Mar 2012	100,000,000	2011/08/31	2012/03/05	25.00
14248	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Apr 12	100,000,000	2011/08/31	2012/04/11	25.00
14243	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec 11 A	250,000,000	2011/08/31	2011/12/12	37.50
14244	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Dec 11	250,000,000	2011/08/31	2011/12/05	55.00
14298	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2012 A	200,000,000	2011/09/01	2012/03/02	60.00
14299	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2012	200,000,000	2011/09/01	2012/10/03	62.00
14275	HK Bank – Geely Auto European Warrants Apr 2012	80,000,000	2011/09/01	2012/04/27	20.00
14276	HK Bank – Jiangxi Copper European Warrants May 2012	80,000,000	2011/09/01	2012/05/22	20.00
14277	HK Bank – PetroChina European Warrants May 2012	80,000,000	2011/09/01	2012/05/22	69.60
14266	J P Morgan SP BV – China Life European Warrants Feb 2012 C	200,000,000	2011/09/01	2012/02/27	32.60
14267	J P Morgan SP BV – China Life European Warrants Mar 2012	200,000,000	2011/09/01	2012/03/01	52.00
14278	Macquarie Bank Ltd. – Cheung Kong European Warrants Mar 2013	200,000,000	2011/09/01	2013/03/04	50.80
14272	Macquarie Bank Ltd. – ICBC European Warrants Mar 2012 A	200,000,000	2011/09/01	2012/03/02	99.40
14274	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2013	200,000,000	2011/09/01	2013/02/04	52.20
14273	Macquarie Bank Ltd. – SJM Holdings European Wts Mar 2012	200,000,000	2011/09/01	2012/03/02	50.20
14292	Nomura Int'l plc – Agricultural Bk Euro Warrants Jan 2012	100,000,000	2011/09/01	2012/01/18	18.80
14293	Nomura Int'l plc – AIA European Warrants Mar 2012 A	100,000,000	2011/09/01	2012/03/30	25.00
14295	Nomura Int'l plc – BOC HK European Warrants Mar 2012 A	100,000,000	2011/09/01	2012/03/02	68.90
14297	Nomura Int'l plc – BOCL European Warrants Feb 2012	100,000,000	2011/09/01	2012/02/06	15.90
14291	Nomura Int'l plc – China Mobile European Put Wts Mar 2012	100,000,000	2011/09/01	2012/03/02	33.10
14289	Nomura Int'l plc – CC Bank European Warrants Feb 2012	100,000,000	2011/09/01	2012/02/14	33.30
14279	Nomura Int'l plc – Cheung Kong European Warrants Dec 2013	100,000,000	2011/09/01	2013/12/31	25.00
14296	Nomura Int'l plc – China Life European Warrants Feb 2012 A	100,000,000	2011/09/01	2012/02/13	19.00
14290	Nomura Int'l plc – China Mobile European Warrants Jan 2012 A	100,000,000	2011/09/01	2012/01/27	15.30
14288	Nomura Int'l plc – CNOOC European Warrants Jul 2012	100,000,000	2011/09/01	2012/07/23	15.00
14286	Nomura Int'l plc – HKEx European Warrants Jun 2012	100,000,000	2011/09/01	2012/06/18	16.00
14280	Nomura Int'l plc – HSBC European Warrants Feb 2012	100,000,000	2011/09/01	2012/02/08	33.00
14281	Nomura Int'l plc – HSBC European Put Warrants Feb 2012	100,000,000	2011/09/01	2012/02/24	40.60
14282	Nomura Int'l plc – Hutchison European Warrants Oct 2012 A	100,000,000	2011/09/01	2012/10/31	15.00
14294	Nomura Int'l plc – ICBC European Warrants Mar 2012	100,000,000	2011/09/01	2012/03/02	25.40
14285	Nomura Int'l plc – JIANC European Warrants Mar 2012	100,000,000	2011/09/01	2012/03/30	25.00
14284	Nomura Int'l plc – Poly European Warrants Mar 2012	100,000,000	2011/09/01	2012/03/30	35.00
14283	Nomura Int'l plc – SHK P European Warrants Sep 2012	100,000,000	2011/09/01	2012/09/10	15.00
14287	Nomura Int'l plc – Tencent Holdings Euro Warrants Mar 2012	100,000,000	2011/09/01	2012/03/30	25.00
14269	Standard Chartered Bank – CC Bank European Wts Mar 2012	100,000,000	2011/09/01	2012/03/30	45.00
14270	Standard Chartered Bank – China Life European Wts Jun 2012	150,000,000	2011/09/01	2012/06/01	37.50
14271	Standard Chartered Bank – China Life European Wts Aug 2012	150,000,000	2011/09/01	2012/08/08	37.50
14268	Standard Chartered Bank – China Life Euro Put Wts Aug 2012	150,000,000	2011/09/01	2012/08/30	37.50
14302	BOCI Asia Ltd. – China Tel European Warrants Mar 2012	100,000,000	2011/09/02	2012/03/05	25.00
14304	BOCI Asia Ltd. – HKEx European Warrants Jul 2012	200,000,000	2011/09/02	2012/07/30	32.00
14303	BOCI Asia Ltd. – HSBC European Warrants Jan 2012	100,000,000	2011/09/02	2012/01/26	15.00
14305	BOCI Asia Ltd. – Tracker Fund European Warrants Dec 2012	100,000,000	2011/09/02	2012/12/03	25.00
14309	J P Morgan SP BV – BOC HK European Warrants Mar 2012	150,000,000	2011/09/02	2012/03/29	37.50
14310	J P Morgan SP BV – China Mob European Wts Mar 2012	150,000,000	2011/09/02	2012/03/02	37.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14312	J P Morgan SP BV – CNOOC European Warrants Mar 2012	200,000,000	2011/09/02	2012/03/05	30.20
14311	J P Morgan SP BV – China Shenhua European Warrants Mar 2012	200,000,000	2011/09/02	2012/03/02	51.20
14307	J P Morgan SP BV – Galaxy Ent European Warrants Apr 2012	200,000,000	2011/09/02	2012/04/02	50.20
14313	J P Morgan SP BV – HSBC European Warrants Jan 2012	200,000,000	2011/09/02	2012/01/03	44.00
14314	J P Morgan SP BV – SHK Ppt European Warrants Sep 2012	200,000,000	2011/09/02	2012/09/04	30.00
14308	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2012	200,000,000	2011/09/02	2012/04/02	50.00
14300	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2012 B	300,000,000	2011/09/02	2012/02/27	48.00
14301	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012 A	300,000,000	2011/09/02	2012/03/05	84.00
14306	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2012 A	300,000,000	2011/09/02	2012/02/13	105.00
14335	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2012 C	80,000,000	2011/09/05	2012/02/09	12.00
14333	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Mar 2012	80,000,000	2011/09/05	2012/03/05	28.00
14336	Citigroup Global Mkt H Inc. – Evergrande Euro Wts Apr 2012	50,000,000	2011/09/05	2012/04/17	15.00
14332	Citigroup Global Mkt H Inc. – HSBC European Put Wts Jul 2012	100,000,000	2011/09/05	2012/07/09	15.00
14334	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2012 A	80,000,000	2011/09/05	2012/03/05	24.00
14331	J P Morgan SP BV – China Life European Warrants Mar 2012 A	288,000,000	2011/09/05	2012/03/05	72.00
14330	Macquarie Bank Ltd. – CHALCO European Put Warrants Apr 2012	100,000,000	2011/09/05	2012/04/03	25.50
14337	Macquarie Bank Ltd. – CNOOC European Warrants Oct 2012	200,000,000	2011/09/05	2012/10/04	50.00
14328	Macquarie Bank Ltd. – HSCEI European Warrants Mar 2012 A	388,000,000	2011/09/05	2012/03/29	97.00
14329	Macquarie Bank Ltd. – HSCEI European Put Warrants Mar 2012	388,000,000	2011/09/05	2012/03/29	97.00
14326	Macquarie Bank Ltd. – HSI European Warrants Mar 2012 B	388,000,000	2011/09/05	2012/03/29	97.39
14327	Macquarie Bank Ltd. – HSI European Warrants Mar 2012 C	388,000,000	2011/09/05	2012/03/29	97.00
14339	Macquarie Bank Ltd. – Hutchison European Put Wts May 2012	200,000,000	2011/09/05	2012/05/03	53.60
14338	Macquarie Bank Ltd. – Ping An European Warrants Apr 2012	100,000,000	2011/09/05	2012/04/03	84.30
14340	Macquarie Bank Ltd. – Ping An European Put Warrants Apr 2012	150,000,000	2011/09/05	2012/04/03	37.50
14323	CC Rabobank B.A. – China Life European Warrants Feb 2012	200,000,000	2011/09/05	2012/02/08	30.00
14319	CC Rabobank B.A. – CNOOC European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/05	15.00
14320	CC Rabobank B.A. – China Shenhua European Warrants Feb 2012	100,000,000	2011/09/05	2012/02/13	25.00
14318	CC Rabobank B.A. – Dongfeng Motor European Warrants Aug 2012	100,000,000	2011/09/05	2012/08/27	25.00
14316	CC Rabobank B.A. – Esprit European Warrants Jun 2012	100,000,000	2011/09/05	2012/06/04	15.00
14324	CC Rabobank B.A. – Evergrande European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/26	52.00
14317	CC Rabobank B.A. – Jiangxi Copper European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/19	25.00
14322	CC Rabobank B.A. – NVC Lighting European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/19	30.00
14315	CC Rabobank B.A. – Poly HK Inv European Warrants Mar 2012	100,000,000	2011/09/05	2012/03/19	50.00
14321	CC Rabobank B.A. – Yanzhou Coal European Warrants Apr 2012	100,000,000	2011/09/05	2012/04/23	25.00
14325	Standard Chartered Bank – SPDR Gold Trust Euro Wts Sep 2012 A	50,000,000	2011/09/05	2012/09/24	32.50
14353	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Mar 2012	68,000,000	2011/09/06	2012/03/08	29.92
14354	Macquarie Bank Ltd. – Evergrande European Warrants Mar 2012	100,000,000	2011/09/06	2012/03/08	38.80
14355	Macquarie Bank Ltd. – Yanzhou Coal European Wts Apr 2012	100,000,000	2011/09/06	2012/04/03	25.00
14356	Macquarie Bank Ltd. – Zijin Mining European Wts Mar 2012	100,000,000	2011/09/06	2012/03/08	30.50
14352	CC Rabobank B.A. – BOCL European Warrants Apr 2012	100,000,000	2011/09/06	2012/04/05	25.00
14349	CC Rabobank B.A. – Chinares Cement European Wts Mar 2012	100,000,000	2011/09/06	2012/03/19	50.00
14346	CC Rabobank B.A. – HSBC European Warrants Apr 2012	200,000,000	2011/09/06	2012/04/23	80.00
14347	CC Rabobank B.A. – Hutchison European Warrants Apr 2012	200,000,000	2011/09/06	2012/04/23	144.00
14348	CC Rabobank B.A. – PetroChina European Warrants Feb 2012	100,000,000	2011/09/06	2012/02/20	65.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14350	CC Rabobank B.A. – Ping An European Warrants Mar 2012	100,000,000	2011/09/06	2012/03/19	45.00
14351	CC Rabobank B.A. – Weichai Power European Wts Jul 2012	100,000,000	2011/09/06	2012/07/03	52.00
14341	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2012 B	250,000,000	2011/09/06	2012/01/18	47.50
14342	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Mar 2012	150,000,000	2011/09/06	2012/03/28	31.50
14344	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 12 D	300,000,000	2011/09/06	2012/02/28	45.00
14345	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 12 E	300,000,000	2011/09/06	2012/02/28	54.00
14343	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Feb 2012	80,000,000	2011/09/06	2012/02/27	17.60
14357	Barclays Bank plc – China Life European Warrants Jun 2012	100,000,000	2011/09/07	2012/06/29	25.20
14367	Credit Suisse AG – HSI European Warrants Jan 2012 A	500,000,000	2011/09/07	2012/01/30	75.00
14368	Credit Suisse AG – HSI European Put Warrants Feb 2012 I	500,000,000	2011/09/07	2012/02/28	75.00
14374	Deutsche Bank AG – Agricultural Bk European Wts Mar 2012	100,000,000	2011/09/07	2012/03/12	46.00
14375	Deutsche Bank AG – Agricultural Bk European Put Wts Jan 2012	100,000,000	2011/09/07	2012/01/20	28.00
14378	Deutsche Bank AG – BOCL European Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	35.00
14379	Deutsche Bank AG – BOCL European Put Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	25.00
14371	Deutsche Bank AG – CC Bank European Warrants Feb 2012	150,000,000	2011/09/07	2012/02/13	64.50
14372	Deutsche Bank AG – CC Bank European Warrants Mar 2012	150,000,000	2011/09/07	2012/03/12	108.00
14373	Deutsche Bank AG – CC Bank European Put Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	36.00
14376	Deutsche Bank AG – ICBC European Warrants Feb 2012	100,000,000	2011/09/07	2012/02/13	36.00
14377	Deutsche Bank AG – ICBC European Put Warrants Mar 2012	100,000,000	2011/09/07	2012/03/12	40.00
14370	Bank of East Asia – BYD European Warrants Jul 2012	72,000,000	2011/09/07	2012/07/23	18.00
14363	J P Morgan SP BV – AIA European Warrants Mar 2012	200,000,000	2011/09/07	2012/03/07	50.00
14369	Macquarie Bank Ltd. – R&F Ppt European Warrants Aug 2012	150,000,000	2011/09/07	2012/08/14	23.25
14365	Macquarie Bank Ltd. – Minmetals Res European Wts Mar 2012	50,000,000	2011/09/07	2012/03/09	41.65
14366	Macquarie Bank Ltd. – Minmetals Res European Wts Mar 2012 A	50,000,000	2011/09/07	2012/03/09	28.90
14360	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Mar 2012	80,000,000	2011/09/07	2012/03/12	28.40
14358	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 C	80,000,000	2011/09/07	2012/02/27	20.80
14359	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Feb 2012 D	80,000,000	2011/09/07	2012/02/02	12.48
14364	Standard Chartered Bank – ICBC European Warrants May 2012	100,000,000	2011/09/07	2012/05/04	40.00
14361	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 2012	100,000,000	2011/09/07	2012/03/12	26.00
14362	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 2015	600,000,000	2011/09/07	2015/06/22	96.00
14399	Credit Suisse AG – China Unicom European Put Wts May 2012	200,000,000	2011/09/08	2012/05/07	50.00
14400	Credit Suisse AG – Ch Comm Cons European Warrants Apr 2013	200,000,000	2011/09/08	2013/04/16	30.00
14401	Credit Suisse AG – China Coal European Warrants Feb 2012	200,000,000	2011/09/08	2012/02/27	30.00
14396	Citigroup Global Mkt H Inc. – AIA European Put Wts Apr 2012	80,000,000	2011/09/08	2012/04/30	20.00
14395	Citigroup Global Mkt H Inc. – Ch Mobile Eur Put Wts Apr 2012	50,000,000	2011/09/08	2012/04/17	20.00
14393	Citigroup Global Mkt H Inc. – Ch Overseas Euro Put Wt Apr 12	80,000,000	2011/09/08	2012/04/30	12.00
14394	Citigroup Global Mkt H Inc. – CC Bank Euro Put Wts Apr 2012	50,000,000	2011/09/08	2012/04/17	20.00
14392	Citigroup Global Mkt H Inc. – HKEx European Put Wts Jun 2012	80,000,000	2011/09/08	2012/06/11	12.00
14389	J P Morgan SP BV – Sinopec Corp European Warrants Feb 2012	150,000,000	2011/09/08	2012/02/01	52.50
14391	J P Morgan SP BV – HSI European Put Warrants Feb 2012 B	200,000,000	2011/09/08	2012/02/28	37.60
14390	J P Morgan SP BV – Yurun Food European Warrants Mar 2012	200,000,000	2011/09/08	2012/03/08	50.00
14386	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Feb 12 B	80,000,000	2011/09/08	2012/02/02	20.00
14387	Merrill Lynch Int'l & Co. C.V. – BOCL Euro Put Wts Feb 12 E	80,000,000	2011/09/08	2012/02/23	18.56
14384	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Feb 2012	80,000,000	2011/09/08	2012/02/02	13.12

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14385	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Feb 12A	80,000,000	2011/09/08	2012/02/27	32.80
14383	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2012	80,000,000	2011/09/08	2012/03/27	13.28
14388	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jun 2012	80,000,000	2011/09/08	2012/06/11	12.16
14382	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Feb 12 B	80,000,000	2011/09/08	2012/02/27	21.60
14411	Nomura Int'l plc – Anhui Conch European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	28.10
14421	Nomura Int'l plc – BOCL European Warrants Jan 2012 B	100,000,000	2011/09/08	2012/01/30	15.80
14412	Nomura Int'l plc – CC Bank European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	34.00
14413	Nomura Int'l plc – CITIC Bank European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	25.00
14406	Nomura Int'l plc – CITIC P European Warrants Jan 2012	100,000,000	2011/09/08	2012/01/26	15.00
14402	Nomura Int'l plc – Cheung Kong European Warrants Dec 2012 A	100,000,000	2011/09/08	2012/12/10	15.00
14409	Nomura Int'l plc – Sinopec European Warrants Dec 2014	100,000,000	2011/09/08	2014/12/31	25.00
14418	Nomura Int'l plc – CPIC European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/30	25.00
14419	Nomura Int'l plc – Shineway Pharm European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/30	25.20
14416	Nomura Int'l plc – CSR European Warrants Mar 2012 A	100,000,000	2011/09/08	2012/03/08	47.60
14414	Nomura Int'l plc – Zoomlion European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/08	25.10
14403	Nomura Int'l plc – HSBC European Warrants Dec 2011 A	100,000,000	2011/09/08	2011/12/30	15.20
14404	Nomura Int'l plc – Hutchison European Warrants Mar 2012 A	100,000,000	2011/09/08	2012/03/30	42.00
14415	Nomura Int'l plc – ICBC European Warrants Feb 2012	100,000,000	2011/09/08	2012/02/09	15.70
14408	Nomura Int'l plc – Jiangxi Copper European Put Warrants Mar 12	100,000,000	2011/09/08	2012/03/30	25.00
14417	Nomura Int'l plc – Ping An European Warrants Dec 2012	100,000,000	2011/09/08	2012/12/11	15.00
14407	Nomura Int'l plc – Sh Petrochem European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/14	25.00
14405	Nomura Int'l plc – SHK P European Warrants Jan 2013	100,000,000	2011/09/08	2013/01/28	17.50
14410	Nomura Int'l plc – Xinyi Glass European Warrants Mar 2012 A	100,000,000	2011/09/08	2012/03/08	40.80
14420	Nomura Int'l plc – Zijin Mining European Warrants Mar 2012	100,000,000	2011/09/08	2012/03/30	25.00
14397	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 D	150,000,000	2011/09/08	2012/03/05	60.00
14398	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 12A	300,000,000	2011/09/08	2012/02/29	45.00
14423	BOCI Asia Ltd. – Belle Int'l European Warrants Jun 2012	100,000,000	2011/09/09	2012/06/18	25.00
14424	BOCI Asia Ltd. – China Life European Warrants Jun 2012	100,000,000	2011/09/09	2012/06/04	25.00
14435	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Feb 12	100,000,000	2011/09/09	2012/02/02	26.00
14430	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Sep 2012	33,000,000	2011/09/09	2012/09/04	12.87
14434	BNP Paribas Arbit Issu B.V. – CRCC European Wts Jul 2012	22,000,000	2011/09/09	2012/07/03	16.06
14433	BNP Paribas Arbit Issu B.V. – China Taiping Euro Wt Sep 2012	33,000,000	2011/09/09	2012/09/04	10.23
14431	BNP Paribas Arbit Issu B.V. – Gome European Wts Jul 2012	100,000,000	2011/09/09	2012/07/03	60.00
14436	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jan 2012	200,000,000	2011/09/09	2012/01/30	50.00
14432	BNP Paribas Arbit Issu B.V. – Sany Int'l Euro Wts Sep 2013	100,000,000	2011/09/09	2013/09/03	25.00
14429	BNP Paribas Arbit Issu B.V. – Sh Petrochem Euro Wts Aug 2012	23,000,000	2011/09/09	2012/08/02	11.50
14425	Macquarie Bank Ltd. – BBMG European Warrants Mar 2012	88,000,000	2011/09/09	2012/03/09	50.16
14426	Macquarie Bank Ltd. – CNBM European Warrants Jun 2012	200,000,000	2011/09/09	2012/06/18	50.00
14427	Macquarie Bank Ltd. – Esprit European Warrants Jun 2012	150,000,000	2011/09/09	2012/06/04	23.40
14422	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt May 2012	200,000,000	2011/09/09	2012/05/30	30.00
12496 #	Citigroup Global Mkt H Inc. – Galaxy Ent European Wts Dec 11A	96,160,000	2011/09/09	2011/12/12	10.00
14453	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2012 A	200,000,000	2011/09/12	2012/08/02	66.00
14452	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Oct 2012 A	100,000,000	2011/09/12	2012/10/03	25.00
14451	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2012	100,000,000	2011/09/12	2012/07/03	87.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14443	J P Morgan SP BV – China Life European Warrants Mar 2012 B	200,000,000	2011/09/12	2012/03/12	50.00
14445	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2012 A	158,000,000	2011/09/12	2012/03/12	39.50
14446	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt May 2012	80,000,000	2011/09/12	2012/05/02	20.00
14447	KBC Fin Products Int'l Ltd. – CNOOC European Wts Mar 2012 A	108,000,000	2011/09/12	2012/03/15	29.16
14449	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2012 A	80,000,000	2011/09/12	2012/06/18	20.00
14448	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2012	108,000,000	2011/09/12	2012/03/12	49.68
14450	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Mar 2012	80,000,000	2011/09/12	2012/03/12	24.80
14444	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Sep 2012	108,000,000	2011/09/12	2012/09/04	16.20
14439	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Mar 2012	100,000,000	2011/09/12	2012/03/12	33.70
14442	Standard Chartered Bank – A50 China Euro Warrants Oct 2013	200,000,000	2011/09/12	2013/10/28	30.00
14441	Standard Chartered Bank – Hutchison Euro Euro Wts Feb 2012 C	100,000,000	2011/09/12	2012/02/29	40.00
14440	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2012	200,000,000	2011/09/12	2012/04/30	50.00
14437	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2012	300,000,000	2011/09/12	2012/03/12	75.00
14438	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2012	200,000,000	2011/09/12	2012/03/12	52.00
14495	Credit Suisse AG – BOCL European Warrants Feb 2012	300,000,000	2011/09/14	2012/02/06	45.00
14494	Credit Suisse AG – CC Bank European Warrants Feb 2012	300,000,000	2011/09/14	2012/02/13	45.00
14492	Credit Suisse AG – HSBC European Wts Feb 2012	300,000,000	2011/09/14	2012/02/13	45.00
14493	Credit Suisse AG – Hutchison European Warrants Feb 2012	300,000,000	2011/09/14	2012/02/13	45.00
14498	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Apr 2012	80,000,000	2011/09/14	2012/04/17	20.00
14499	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Apr 2012	80,000,000	2011/09/14	2012/04/27	12.00
14497	Citigroup Global Mkt H Inc. – CNOOC European Wts Apr 2012 A	80,000,000	2011/09/14	2012/04/30	12.00
14500	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wts Apr 2012	50,000,000	2011/09/14	2012/04/30	15.00
14496	Citigroup Global Mkt H Inc. – Tencent European Wts Apr 2012	80,000,000	2011/09/14	2012/04/17	20.00
10135	Deutsche Bank AG – USD/YEN European Warrants Mar 2012	50,000,000	2011/09/14	2012/03/19	12.50
10134	Deutsche Bank AG – USD/YEN European Put Warrants Mar 2012	50,000,000	2011/09/14	2012/03/19	12.50
14469	HK Bank – AIA European Warrants Apr 2012	160,000,000	2011/09/14	2012/04/18	43.20
14468	HK Bank – CC Bank European Warrants Jun 2012	120,000,000	2011/09/14	2012/06/13	45.60
14470	HK Bank – China Life European Warrants Feb 2012	160,000,000	2011/09/14	2012/02/23	25.60
14471	HK Bank – China Life European Warrants Aug 2012	160,000,000	2011/09/14	2012/08/01	24.00
14467	HK Bank – CNOOC European Warrants Apr 2012 A	100,000,000	2011/09/14	2012/04/30	17.00
14465	Macquarie Bank Ltd. – Anhui Conch European Warrants Mar 2012	200,000,000	2011/09/14	2012/03/13	66.40
14464	Macquarie Bank Ltd. – AIA European Warrants Apr 2012 A	200,000,000	2011/09/14	2012/04/03	53.60
14489	Macquarie Bank Ltd. – A50 China European Warrants Apr 2012 A	200,000,000	2011/09/14	2012/04/03	51.00
14466	Macquarie Bank Ltd. – Jiangxi Copper European Wts Apr 2012 A	200,000,000	2011/09/14	2012/04/03	50.00
14491	Macquarie Bank Ltd. – Maanshan Iron European Wts Mar 2012	88,000,000	2011/09/14	2012/03/13	22.00
14490	Macquarie Bank Ltd. – Wynn Macau European Warrants May 2012	200,000,000	2011/09/14	2012/05/03	62.20
14462	Merrill Lynch Int'l & Co. C.V. – BankComm Euro Wts Feb 2012 A	80,000,000	2011/09/14	2012/02/17	15.84
14461	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jun 2012	80,000,000	2011/09/14	2012/06/25	20.00
14463	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2012	80,000,000	2011/09/14	2012/12/17	20.40
14459	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Apr 2012	80,000,000	2011/09/14	2012/04/17	39.20
14457	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012	200,000,000	2011/09/14	2012/04/27	30.20
14455	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2012 B	200,000,000	2011/09/14	2012/02/28	42.80
14454	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 C	200,000,000	2011/09/14	2012/03/29	50.00
14456	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 D	200,000,000	2011/09/14	2012/03/29	30.40

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14458	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 E	200,000,000	2011/09/14	2012/03/29	39.40
14460	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2012 B	80,000,000	2011/09/14	2012/03/26	46.40
14472	Standard Chartered Bank – Agricultural Bk Euro Wts May 2012	100,000,000	2011/09/14	2012/05/30	35.00
14473	Standard Chartered Bank – Agricultural Bk Euro Wts Jul 2015	500,000,000	2011/09/14	2015/07/08	75.00
14474	Standard Chartered Bank – China Life European Wts Jul 2012 A	150,000,000	2011/09/14	2012/07/04	37.50
14475	Standard Chartered Bank – China Life European Wts Oct 2012	150,000,000	2011/09/14	2012/10/30	52.50
14480	SGA Societe Generale Acceptance N.V. – Anhui Conch Eu Wt Mar 12	80,000,000	2011/09/14	2012/03/14	25.60
14479	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2012	180,000,000	2011/09/14	2012/03/14	79.20
14484	SGA Societe Generale Acceptance N.V. – Ch Overseas Eu Wt Mar 12	100,000,000	2011/09/14	2012/03/14	19.00
14481	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2012 B	120,000,000	2011/09/14	2012/03/14	97.20
14482	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012B	300,000,000	2011/09/14	2012/03/14	87.00
14483	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012C	300,000,000	2011/09/14	2012/03/28	75.00
14476	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Aug 2012	300,000,000	2011/09/14	2012/08/01	48.00
14477	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Mar 2012 A	500,000,000	2011/09/14	2012/03/12	75.00
14478	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2012 D	500,000,000	2011/09/14	2012/06/13	125.00
14485	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2012 A	100,000,000	2011/09/14	2012/03/28	66.00
14486	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2012 A	120,000,000	2011/09/14	2012/02/29	66.00
14487	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Mar 12A	100,000,000	2011/09/14	2012/03/21	48.00
14488	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt Mar 12	100,000,000	2011/09/14	2012/03/14	25.00
14508	HK Bank – CHALCO European Warrants May 2012	80,000,000	2011/09/15	2012/05/18	28.00
14507	HK Bank – BYD European Warrants Sep 2012	80,000,000	2011/09/15	2012/09/27	20.00
14510	HK Bank – CNBM European Warrants Nov 2012	80,000,000	2011/09/15	2012/11/16	20.00
14509	HK Bank – A50 China European Warrants Mar 2012	120,000,000	2011/09/15	2012/03/26	33.60
14513	HK Bank – A50 China European Warrants May 2012	120,000,000	2011/09/15	2012/05/10	30.00
14505	HK Bank – HKEx European Warrants Jul 2012	160,000,000	2011/09/15	2012/07/23	24.00
14511	HK Bank – HSI European Warrants Apr 2012	300,000,000	2011/09/15	2012/04/27	45.00
14512	HK Bank – HSI European Warrants Dec 2012 A	300,000,000	2011/09/15	2012/12/28	75.00
14503	HK Bank – HWL European Warrants Mar 2012	160,000,000	2011/09/15	2012/03/15	73.60
14504	HK Bank – HWL European Warrants May 2012	160,000,000	2011/09/15	2012/05/15	49.60
14506	HK Bank – Yanzhou Coal European Warrants May 2012	100,000,000	2011/09/15	2012/05/25	25.00
14502	Macquarie Bank Ltd. – China Mobile European Wts Feb 2012 B	200,000,000	2011/09/15	2012/02/07	30.20
14514	Macquarie Bank Ltd. – Lee & Man Paper European Wts Mar 2012	120,000,000	2011/09/15	2012/03/14	30.00
14501	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2012 E	100,000,000	2011/09/15	2012/03/19	82.00
14528	Credit Suisse AG – AIA European Warrants Feb 2012 A	150,000,000	2011/09/16	2012/02/20	22.50
14520	HK Bank – Agricultural Bank European Warrants Apr 2012	120,000,000	2011/09/16	2012/04/16	30.00
14523	HK Bank – BOCL European Warrants May 2012	120,000,000	2011/09/16	2012/05/30	30.00
14522	HK Bank – GCL-Poly Energy European Warrants May 2012	80,000,000	2011/09/16	2012/05/11	20.00
14521	HK Bank – ICBC European Warrants Apr 2012	120,000,000	2011/09/16	2012/04/27	30.00
14527	Macquarie Bank Ltd. – Want Want China European Wts Oct 2013	120,000,000	2011/09/16	2013/10/29	19.08
14517	CC Rabobank B.A. – Agricultural Bk Euro Warrants Apr 2012	100,000,000	2011/09/16	2012/04/16	40.00
14516	CC Rabobank B.A. – ChiUnicom European Warrants Jul 2012	100,000,000	2011/09/16	2012/07/16	25.00
14519	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2012 A	200,000,000	2011/09/16	2012/01/30	50.00
14518	CC Rabobank B.A. – CNBM European Warrants Sep 2012	100,000,000	2011/09/16	2012/09/19	25.00
14515	CC Rabobank B.A. – Luk Fook Hold European Warrants Apr 2012	100,000,000	2011/09/16	2012/04/17	45.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14526	Standard Chartered Bank – BOCL European Put Wts Jun 2012	100,000,000	2011/09/16	2012/06/18	40.00
14525	Standard Chartered Bank – CC Bank European Put Wts Jul 2012	100,000,000	2011/09/16	2012/07/04	40.00
14524	Standard Chartered Bank – Hutchison Euro Euro Wts Nov 2013	100,000,000	2011/09/16	2013/11/01	25.00
14535	Bank of East Asia – ChiUnicom European Put Warrants Nov 2012	105,000,000	2011/09/19	2012/11/23	26.25
14534	Bank of East Asia – JIANC European Put Warrants Jul 2012	90,000,000	2011/09/19	2012/07/23	22.50
14540	J P Morgan SP BV – BOCL European Warrants Mar 2012	200,000,000	2011/09/19	2012/03/19	50.00
14537	J P Morgan SP BV – CC Bank Euro Put Wts Jul 2014	500,000,000	2011/09/19	2014/07/02	125.00
14539	J P Morgan SP BV – China Life European Put Warrants Mar 2012	200,000,000	2011/09/19	2012/03/19	50.00
14538	J P Morgan SP BV – MTRC European Warrants Jan 2013	100,000,000	2011/09/19	2013/01/04	25.00
14536	Macquarie Bank Ltd. – Yurun Food European Warrants Jun 2012	150,000,000	2011/09/19	2012/06/18	37.50
14531	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Feb 2012 A	80,000,000	2011/09/19	2012/02/13	15.92
14529	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2012 A	80,000,000	2011/09/19	2012/04/10	35.60
14530	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 2012	80,000,000	2011/09/19	2012/04/03	56.00
14532	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Aug 2012	200,000,000	2011/09/19	2012/08/01	30.00
14533	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2012	150,000,000	2011/09/19	2012/04/02	37.50
14543	J P Morgan SP BV – Ch Overseas European Warrants Apr 2012	150,000,000	2011/09/20	2012/04/24	24.75
14542	J P Morgan SP BV – China Unicom European Warrants Jul 2012	200,000,000	2011/09/20	2012/07/25	33.20
14544	J P Morgan SP BV – Cathay Pacific European Warrants Dec 2013	100,000,000	2011/09/20	2013/12/30	25.00
14548	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 2012	108,000,000	2011/09/20	2012/03/20	28.08
14549	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr 2012	108,000,000	2011/09/20	2012/04/02	54.00
14550	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Feb 2012	108,000,000	2011/09/20	2012/02/10	18.90
14551	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Apr 2012	108,000,000	2011/09/20	2012/04/02	28.08
14545	Macquarie Bank Ltd. – Hang Seng Bank European Wts Sep 2013	200,000,000	2011/09/20	2013/09/19	50.20
14546	Macquarie Bank Ltd. – HSBC European Warrants Apr 2012	200,000,000	2011/09/20	2012/04/03	74.40
14541	Standard Chartered Bank – China Mobile Euro Wts Mar 2012	100,000,000	2011/09/20	2012/03/02	23.00
14547	Standard Chartered Bank – HSBC European Warrants Oct 2015	500,000,000	2011/09/20	2015/10/12	75.00
14573	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jul 12	100,000,000	2011/09/21	2012/07/04	34.00
14577	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2012	200,000,000	2011/09/21	2012/10/04	88.00
14579	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jul 2012	200,000,000	2011/09/21	2012/07/04	106.00
14576	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2012	200,000,000	2011/09/21	2012/08/02	54.00
14580	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2012	200,000,000	2011/09/21	2012/10/04	86.00
14562	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2012 A	200,000,000	2011/09/21	2012/06/04	132.00
14578	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jun 2012 A	200,000,000	2011/09/21	2012/06/04	98.00
14572	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jul 2012 A	100,000,000	2011/09/21	2012/07/04	71.00
14574	BNP Paribas Arbit Issu B.V. – Poly HK Inv Euro Wts Jul 2012	32,000,000	2011/09/21	2012/07/03	21.76
14575	BNP Paribas Arbit Issu B.V. – Wharf European Wts Jul 2012	69,000,000	2011/09/21	2012/07/03	26.22
14571	Credit Suisse AG – AIA European Warrants Apr 2012	300,000,000	2011/09/21	2012/04/16	45.00
14565	Credit Suisse AG – Cheung Kong European Warrants Jan 2012	150,000,000	2011/09/21	2012/01/18	22.50
14568	Credit Suisse AG – HKEx European Warrants Jun 2012	300,000,000	2011/09/21	2012/06/18	45.00
14566	Credit Suisse AG – HSBC European Wts Oct 2015	300,000,000	2011/09/21	2015/10/05	45.00
14563	Credit Suisse AG – HSI European Warrants Feb 2012 J	500,000,000	2011/09/21	2012/02/28	75.00
14564	Credit Suisse AG – HSI European Warrants Feb 2012 K	500,000,000	2011/09/21	2012/02/28	75.00
14567	Credit Suisse AG – SHK Ppt European Warrants Mar 2012	150,000,000	2011/09/21	2012/03/26	37.50
14569	Credit Suisse AG – Tencent Holdings Euro Put Wts May 2012	300,000,000	2011/09/21	2012/05/14	45.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14570	Credit Suisse AG – Yanzhou Coal European Warrants Feb 2012 A	150,000,000	2011/09/21	2012/02/27	22.50
14561	HK Bank – HSI European Put Warrants Mar 2012 D	200,000,000	2011/09/21	2012/03/29	38.00
14557	Macquarie Bank Ltd. – AIA European Warrants Apr 2012 B	200,000,000	2011/09/21	2012/04/03	51.40
14560	Macquarie Bank Ltd. – Hutchison European Warrants Apr 2012	150,000,000	2011/09/21	2012/04/03	82.20
14558	Macquarie Bank Ltd. – Sino Land European Warrants Aug 2012	180,000,000	2011/09/21	2012/08/29	31.68
14559	Macquarie Bank Ltd. – Swire Pacific A European Wts Apr 2012	180,000,000	2011/09/21	2012/04/03	70.20
14553	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Mar 2012	80,000,000	2011/09/21	2012/03/26	21.60
14554	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2012 A	80,000,000	2011/09/21	2012/04/17	20.00
14552	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2012 B	80,000,000	2011/09/21	2012/04/18	40.00
14555	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 F	200,000,000	2011/09/21	2012/03/29	56.00
14556	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 G	200,000,000	2011/09/21	2012/03/29	50.00
14596	Barclays Bank plc – HSBC European Warrants Apr 2012	150,000,000	2011/09/22	2012/04/30	37.50
14595	Barclays Bank plc – HSI European Put Warrants Mar 2012 A	150,000,000	2011/09/22	2012/03/29	22.65
10136	BNP Paribas Arbit Issu B.V. – Gold European Wts Mar 2012	200,000,000	2011/09/22	2012/03/29	50.00
10137	BNP Paribas Arbit Issu B.V. – Gold European Wts Dec 2012	200,000,000	2011/09/22	2012/12/06	50.00
10138	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Mar 2012	200,000,000	2011/09/22	2012/03/29	50.00
10139	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 2012	200,000,000	2011/09/22	2012/12/06	50.00
10140	Deutsche Bank AG – EUR/USD European Put Warrants Mar 2012	50,000,000	2011/09/22	2012/03/26	15.00
14598	J P Morgan SP BV – CM Bank European Warrants Dec 2012	200,000,000	2011/09/22	2012/12/03	50.00
14592	J P Morgan SP BV – Minsheng Bank European Warrants Mar 2012	80,000,000	2011/09/22	2012/03/22	35.28
14591	J P Morgan SP BV – Ping An European Warrants Mar 2012 C	100,000,000	2011/09/22	2012/03/22	48.20
14593	J P Morgan SP BV – SJM Holdings European Warrants Feb 2012	200,000,000	2011/09/22	2012/02/24	30.60
14597	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Mar 2012	108,000,000	2011/09/22	2012/03/22	63.72
14594	Macquarie Bank Ltd. – CITIC Bank European Warrants Mar 2012	130,000,000	2011/09/22	2012/03/22	32.50
14583	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2012 B	80,000,000	2011/09/22	2012/02/15	13.68
14585	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2013	80,000,000	2011/09/22	2013/03/25	20.00
14582	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2012 A	80,000,000	2011/09/22	2012/02/15	15.52
14581	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2012 C	80,000,000	2011/09/22	2012/03/28	40.80
14584	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 2012 A	80,000,000	2011/09/22	2012/04/30	26.00
14586	CC Rabobank B.A. – AIA European Warrants Jun 2012	200,000,000	2011/09/22	2012/06/18	50.00
14588	CC Rabobank B.A. – AIA European Put Warrants Apr 2012	200,000,000	2011/09/22	2012/04/16	50.00
14589	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2012	200,000,000	2011/09/22	2012/07/23	50.00
14587	CC Rabobank B.A. – Tencent Holdings European Put Wt Jun 2012	200,000,000	2011/09/22	2012/06/18	60.00
14590	Standard Chartered Bank – China Mobile Euro Put Wts Mar 2012	100,000,000	2011/09/22	2012/03/01	40.00
14614	BNP Paribas Arbit Issu B.V. – Chi Res Land Euro Wts Feb 2013	100,000,000	2011/09/23	2013/02/04	25.00
14615	BNP Paribas Arbit Issu B.V. – CSR Times European Wts Jul 2012	72,000,000	2011/09/23	2012/07/04	21.60
14613	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Jul 2012	100,000,000	2011/09/23	2012/07/04	27.00
14612	Credit Suisse AG – Cheung Kong European Warrants Sep 2012	200,000,000	2011/09/23	2012/09/24	30.00
14601	HK Bank – Esprit European Warrants Jun 2012	80,000,000	2011/09/23	2012/06/20	20.00
14602	HK Bank – Esprit European Warrants Feb 2013	80,000,000	2011/09/23	2013/02/27	20.00
14603	HK Bank – Tencent European Warrants Apr 2012	150,000,000	2011/09/23	2012/04/10	27.00
14616	J P Morgan SP BV – Esprit European Wts Jun 2012 A	100,000,000	2011/09/23	2012/06/28	25.00
14604	Macquarie Bank Ltd. – Esprit European Warrants Apr 2012	200,000,000	2011/09/23	2012/04/03	50.00
14600	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Feb 2012 F	80,000,000	2011/09/23	2012/02/14	17.60

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14599	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Mar 2012 A	80,000,000	2011/09/23	2012/03/05	32.40
14606	Standard Chartered Bank – Agricultural Bk Euro Wts Mar 2012	100,000,000	2011/09/23	2012/03/07	20.50
14607	Standard Chartered Bank – AIA European Warrants Apr 2012	100,000,000	2011/09/23	2012/04/30	30.00
14610	Standard Chartered Bank – BOCL European Warrants Feb 2012 A	100,000,000	2011/09/23	2012/02/13	24.00
14608	Standard Chartered Bank-China Life European Wts Feb 2012 B	100,000,000	2011/09/23	2012/02/23	21.60
14609	Standard Chartered Bank-China Life European Wts Apr 2012 A	150,000,000	2011/09/23	2012/04/27	52.50
14605	Standard Chartered Bank – HSI European Warrants Mar 2012	200,000,000	2011/09/23	2012/03/29	50.00
14611	Standard Chartered Bank – HSI European Put Warrants Mar 2012	200,000,000	2011/09/23	2012/03/29	50.00
14629	Credit Suisse AG – Hutchison European Warrants Mar 2012 C	300,000,000	2011/09/26	2012/03/12	120.00
14628	J P Morgan SP BV – Zoomlion European Warrants Jan 2013	200,000,000	2011/09/26	2013/01/31	50.00
14631	Macquarie Bank Ltd. – CNBM European Warrants Sep 2012	180,000,000	2011/09/26	2012/09/13	27.00
14620	Macquarie Bank Ltd. – Esprit European Warrants Apr 2012 A	180,000,000	2011/09/26	2012/04/03	45.00
14617	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Mar 2012	80,000,000	2011/09/26	2012/03/27	24.40
14618	Merrill Lynch Int'l & Co. C.V. – BOCL Euro Put Wts Mar 2012	80,000,000	2011/09/26	2012/03/27	20.00
14619	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wts Mar 2012	80,000,000	2011/09/26	2012/03/05	33.20
14621	Standard Chartered Bank – Sinopec Corp European Wts Feb 2012	100,000,000	2011/09/26	2012/02/01	29.00
14622	Standard Chartered Bank – Tencent Hldg European Wts Mar 2012	100,000,000	2011/09/26	2012/03/01	15.00
14623	Standard Chartered Bank – YZ Coal European Warrants Mar 2012 A	100,000,000	2011/09/26	2012/03/30	20.70
14624	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2012 A	200,000,000	2011/09/26	2012/03/28	50.00
14625	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Feb 2012	200,000,000	2011/09/26	2012/02/13	36.00
14626	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Apr 2012	200,000,000	2011/09/26	2012/04/25	50.00
14627	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Feb 2012	80,000,000	2011/09/26	2012/02/06	16.00
14642	Credit Suisse – SPDR Gold Trust European Warrants Feb 2012 A	80,000,000	2011/09/27	2012/02/13	40.00
14639	Citigroup Global Mkt H Inc. – AIA European Warrants Apr 2012	80,000,000	2011/09/27	2012/04/17	20.00
14638	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Jul 2012	80,000,000	2011/09/27	2012/07/16	12.00
14641	Citigroup Global Mkt H Inc. – China Unicom Eu Put Wt Apr 2012	80,000,000	2011/09/27	2012/04/27	12.00
14640	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Jun 2012	50,000,000	2011/09/27	2012/06/11	15.00
14637	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Apr 2012	80,000,000	2011/09/27	2012/04/17	24.00
14646	Bank of East Asia – CHALCO European Put Warrants Oct 2013	280,000,000	2011/09/27	2013/10/23	70.00
14645	Bank of East Asia – JIANG European Warrants Sep 2012	80,000,000	2011/09/27	2012/09/24	20.00
14633	J P Morgan SP BV – ICBC European Warrants Mar 2012 B	150,000,000	2011/09/27	2012/03/26	62.25
14634	Macquarie Bank Ltd. – HSI European Put Warrants Sep 2012	200,000,000	2011/09/27	2012/09/27	50.00
14635	Macquarie Bank Ltd. – Luk Fook Hold European Wts Mar 2012	150,000,000	2011/09/27	2012/03/27	55.65
14636	Standard Chartered Bank – Cheung Kong European Wts Feb 2013	100,000,000	2011/09/27	2013/02/27	15.00
14644	Standard Chartered Bank – HKEx European Warrants Mar 2012 A	200,000,000	2011/09/27	2012/03/12	30.00
14643	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Feb 2012	150,000,000	2011/09/27	2012/02/27	22.50
14632	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2012 A	200,000,000	2011/09/27	2012/02/15	64.00
14662	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Mar 2012	100,000,000	2011/09/28	2012/03/02	24.00
14653	Bank of East Asia – Esprit European Warrants Nov 2012	135,000,000	2011/09/28	2012/11/23	33.75
14656	J P Morgan SP BV – Anhui Conch European Warrants May 2012	200,000,000	2011/09/28	2012/05/28	50.00
14655	J P Morgan SP BV – PICC European Warrants Apr 2012	200,000,000	2011/09/28	2012/04/16	33.60
14647	CC Rabobank B.A. – Cheung Kong European Warrants Feb 2013	200,000,000	2011/09/28	2013/02/25	30.00
14648	CC Rabobank B.A. – Minsheng Bank European Wts Mar 2012	100,000,000	2011/09/28	2012/03/27	35.00
14651	CC Rabobank B.A. – ChiUnicom European Warrants Jul 2012 A	200,000,000	2011/09/28	2012/07/16	50.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14650	CC Rabobank B.A. – Galaxy Ent European Warrants Apr 2012	100,000,000	2011/09/28	2012/04/16	25.00
14652	CC Rabobank B.A. – Jiangxi Copper European Warrants Jul 2012	200,000,000	2011/09/28	2012/07/16	50.00
14649	CC Rabobank B.A. – Zijin Mining European Warrants Jun 2012	100,000,000	2011/09/28	2012/06/18	40.00
14659	Standard Chartered Bank – Anhui Conch European Wts Aug 2012	100,000,000	2011/09/28	2012/08/02	40.00
14660	Standard Chartered Bank – Anhui Conch European Wts Dec 2012	100,000,000	2011/09/28	2012/12/03	40.00
14657	Standard Chartered Bank – China Unicom European Wts May 2012	100,000,000	2011/09/28	2012/05/02	25.00
14658	Standard Chartered Bank – China Unicom European Wts Aug 2012	100,000,000	2011/09/28	2012/08/01	25.00
14661	Standard Chartered Bank – CNBM European Warrants Dec 2012	100,000,000	2011/09/28	2012/12/03	25.00
14663	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012 D	300,000,000	2011/09/28	2012/03/07	51.00
14664	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2012 E	300,000,000	2011/09/28	2012/03/28	75.00
14654	SGA Societe Generale Acceptance N.V. -CPIC Euro Wts Mar 2012	100,000,000	2011/09/28	2012/03/28	30.00
14665	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2015	300,000,000	2011/09/28	2015/10/07	48.00
14666	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Apr 2012	100,000,000	2011/09/28	2012/04/02	25.00
14748	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jan 2013	100,000,000	2011/09/29	2013/01/03	15.00
14749	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Aug 2012 A	100,000,000	2011/09/29	2012/08/02	15.00
14750	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Warrants Feb 2013	100,000,000	2011/09/29	2013/02/04	15.00
14694	Credit Suisse AG – HSI European Put Warrants Mar 2012	500,000,000	2011/09/29	2012/03/29	125.00
14692	Credit Suisse AG – HSI European Warrants Feb 2012 L	500,000,000	2011/09/29	2012/02/28	125.00
14693	Credit Suisse AG – Ping An European Warrants Dec 2012 B	300,000,000	2011/09/29	2012/12/17	45.00
14695	Credit Suisse AG – Ping An European Put Warrants Apr 2012	300,000,000	2011/09/29	2012/04/02	105.00
14747	J P Morgan SP BV – AIA European Warrants Mar 2012 A	200,000,000	2011/09/29	2012/03/29	50.00
14719	J P Morgan SP BV – BOCL European Warrants Apr 2012	200,000,000	2011/09/29	2012/04/02	50.00
14718	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2012	200,000,000	2011/09/29	2012/04/02	80.00
14746	J P Morgan SP BV – CNOOC European Warrants Mar 2012 A	200,000,000	2011/09/29	2012/03/08	30.00
14716	J P Morgan SP BV – A50 China European Warrants Aug 2012	200,000,000	2011/09/29	2012/08/01	30.00
14745	J P Morgan SP BV – HKEx European Warrants Jun 2012	200,000,000	2011/09/29	2012/06/06	30.00
14744	J P Morgan SP BV – HSBC European Warrants Oct 2015	300,000,000	2011/09/29	2015/10/05	45.00
14743	J P Morgan SP BV – HSBC European Warrants Apr 2012 A	200,000,000	2011/09/29	2012/04/02	70.00
14717	J P Morgan SP BV – ICBC European Warrants Mar 2012 C	150,000,000	2011/09/29	2012/03/29	41.25
14668	J P Morgan SP BV – Luk Fook Hold European Warrants Mar 2012	80,000,000	2011/09/29	2012/03/29	24.72
14737	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.00
14738	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Mar 2012 C	100,000,000	2011/09/29	2012/03/29	25.00
14736	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2012	108,000,000	2011/09/29	2012/04/02	35.64
14720	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Mar 2012	200,000,000	2011/09/29	2012/03/14	37.60
14680	Macquarie Bank Ltd. – China Overseas European Wts Nov 2012	200,000,000	2011/09/29	2012/11/02	50.00
14677	Macquarie Bank Ltd. – CM Bank European Warrants Nov 2012	200,000,000	2011/09/29	2012/11/02	50.00
14674	Macquarie Bank Ltd. – GCL-Poly Energy European Wts May 2012	150,000,000	2011/09/29	2012/05/03	39.90
14676	Macquarie Bank Ltd. – Galaxy Ent European Warrants Jun 2012	200,000,000	2011/09/29	2012/06/04	50.00
14678	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jul 2012	200,000,000	2011/09/29	2012/07/03	51.00
14679	Macquarie Bank Ltd. – Tencent European Warrants Jun 2012	250,000,000	2011/09/29	2012/06/04	62.50
14691	Macquarie Bank Ltd. – Tencent European Put Warrants Oct 2012	250,000,000	2011/09/29	2012/10/04	62.50
14707	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2012 B	100,000,000	2011/09/29	2012/03/29	29.00
14696	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.50
14708	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Mar 2012	100,000,000	2011/09/29	2012/03/29	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14697	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Jul 2012	100,000,000	2011/09/29	2012/07/30	25.00
14699	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2012	100,000,000	2011/09/29	2012/04/27	25.00
14701	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.00
14704	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012	100,000,000	2011/09/29	2012/09/27	30.00
14712	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012	100,000,000	2011/09/29	2012/06/28	25.00
14714	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012	100,000,000	2011/09/29	2012/09/27	25.50
14698	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2012 H	100,000,000	2011/09/29	2012/03/29	25.00
14700	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2012 A	100,000,000	2011/09/29	2012/04/27	25.00
14702	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 A	100,000,000	2011/09/29	2012/06/28	26.00
14703	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 B	100,000,000	2011/09/29	2012/06/28	25.00
14705	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012 A	100,000,000	2011/09/29	2012/09/27	25.00
14706	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2012 B	100,000,000	2011/09/29	2012/09/27	26.50
14709	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012 B	100,000,000	2011/09/29	2012/04/27	25.00
14710	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012 C	100,000,000	2011/09/29	2012/04/27	25.00
14711	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2012 D	100,000,000	2011/09/29	2012/04/27	26.00
14713	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012 C	100,000,000	2011/09/29	2012/06/28	25.00
14715	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 C	100,000,000	2011/09/29	2012/09/27	25.50
14681	CC Rabobank B.A. – Brilliance Chi European Warrants Nov 2012	100,000,000	2011/09/29	2012/11/26	25.00
14684	CC Rabobank B.A. – CNBM European Warrants Dec 2012	100,000,000	2011/09/29	2012/12/17	25.00
14682	CC Rabobank B.A. – CSR European Warrants Apr 2012	100,000,000	2011/09/29	2012/04/16	45.00
14690	CC Rabobank B.A. – Guangdong Inv European Warrants Apr 2012	80,000,000	2011/09/29	2012/04/10	20.00
14683	CC Rabobank B.A. – SPDR Gold Trust European Wts Apr 2012	50,000,000	2011/09/29	2012/04/16	37.50
14689	Standard Chartered Bank – AIA European Warrants Jun 2012	100,000,000	2011/09/29	2012/06/25	17.00
14685	Standard Chartered Bank – CNOOC European Warrants Jun 2012	100,000,000	2011/09/29	2012/06/18	15.00
14688	Standard Chartered Bank – China Shenhua Euro Wts Jun 2012	100,000,000	2011/09/29	2012/06/29	36.00
14687	Standard Chartered Bank – China Shenhua Euro Wts Aug 2012	100,000,000	2011/09/29	2012/08/01	34.50
14686	Standard Chartered Bank – Yurun Food Euro Warrants Jul 2012	100,000,000	2011/09/29	2012/07/30	25.00
14739	SGA Societe Generale Acceptance N.V. – Belle Int'l Eu Wt May 12	150,000,000	2011/09/29	2012/05/09	37.50
14741	SGA Societe Generale Acceptance N.V. – Ch Overseas Eu Wt Jun 12	100,000,000	2011/09/29	2012/06/27	25.00
14740	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Jun 2012	200,000,000	2011/09/29	2012/06/13	50.00
14669	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2012 A	100,000,000	2011/09/29	2012/04/02	60.00
14670	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Apr 12	100,000,000	2011/09/29	2012/04/11	16.00
14671	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2012	100,000,000	2011/09/29	2012/04/02	69.00
14742	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul 12	120,000,000	2011/09/29	2012/07/04	30.00
14732	UBS AG – AIA European Warrants Apr 2012	200,000,000	2011/09/29	2012/04/24	34.00
14735	UBS AG – BOCL European Warrants Mar 2012	100,000,000	2011/09/29	2012/03/19	15.00
14731	UBS AG – China Mobile European Warrants Apr 2012	200,000,000	2011/09/29	2012/04/10	70.00
14730	UBS AG – CC Bank European Warrants Feb 2012 A	200,000,000	2011/09/29	2012/02/27	46.00
14733	UBS AG – China Life European Warrants Feb 2012	300,000,000	2011/09/29	2012/02/27	57.00
14734	UBS AG – China Life European Warrants Mar 2012	300,000,000	2011/09/29	2012/03/27	45.00
14729	UBS AG – CNOOC European Warrants Mar 2012 A	200,000,000	2011/09/29	2012/03/08	30.00
14727	UBS AG – HKEx European Warrants Jun 2012	500,000,000	2011/09/29	2012/06/06	75.00
14723	UBS AG – HSBC European Warrants Feb 2012	300,000,000	2011/09/29	2012/02/13	75.00
14724	UBS AG – HSBC European Warrants Mar 2012	300,000,000	2011/09/29	2012/03/26	129.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14722	UBS AG – HSI European Warrants Mar 2012	300,000,000	2011/09/29	2012/03/29	45.00
14672	UBS AG – HSI European Warrants Feb 2012 A	300,000,000	2011/09/29	2012/02/28	45.00
14673	UBS AG – HSI European Warrants Feb 2012 B	300,000,000	2011/09/29	2012/02/28	45.00
14721	UBS AG – HSI European Warrants Feb 2012 C	300,000,000	2011/09/29	2012/02/28	45.00
14726	UBS AG – Hutchison European Warrants Oct 2013	500,000,000	2011/09/29	2013/10/28	85.00
14725	UBS AG – Hutchison European Warrants Mar 2012 C	300,000,000	2011/09/29	2012/03/27	120.00
14728	UBS AG – Tencent Holdings European Warrants Feb 2012	200,000,000	2011/09/29	2012/02/27	30.00
14790	BOCI Asia Ltd. – China Mobile European Warrants Apr 2012	100,000,000	2011/09/30	2012/04/02	25.00
14793	BOCI Asia Ltd. – China Mobile European Put Warrants Feb 2012	100,000,000	2011/09/30	2012/02/13	20.00
14792	BOCI Asia Ltd. – China Life European Put Warrants Apr 2012	100,000,000	2011/09/30	2012/04/02	25.00
14791	BOCI Asia Ltd. – Lenovo European Warrants Apr 2012	100,000,000	2011/09/30	2012/04/02	25.00
14814	Credit Suisse AG – CNOOC European Warrants Mar 2012 A	200,000,000	2011/09/30	2012/03/12	30.00
14812	Credit Suisse AG – China Unicom European Put Wts May 2012 A	200,000,000	2011/09/30	2012/05/28	30.00
14811	Credit Suisse AG – HSBC European Wts Mar 2012	300,000,000	2011/09/30	2012/03/30	75.00
14810	Credit Suisse AG – HSCEI European Warrants Jun 2012	200,000,000	2011/09/30	2012/06/28	50.00
14816	Credit Suisse AG – HSCEI European Put Warrants Apr 2012	200,000,000	2011/09/30	2012/04/27	50.00
14808	Credit Suisse AG – HSI European Warrants Feb 2012 M	500,000,000	2011/09/30	2012/02/28	75.00
14809	Credit Suisse AG – HSI European Warrants Feb 2012 N	500,000,000	2011/09/30	2012/02/28	75.00
14815	Credit Suisse AG – HSI European Put Warrants Mar 2012 A	500,000,000	2011/09/30	2012/03/29	125.00
14813	Credit Suisse AG – Tencent Holdings European Wts Feb 2012	300,000,000	2011/09/30	2012/02/27	45.00
14789	Citigroup Global Mkt H Inc. – CNOOC European Wts Mar 2012	80,000,000	2011/09/30	2012/03/12	12.00
14794	Citigroup Global Mkt H Inc. – ICBC European Wts Apr 2012	50,000,000	2011/09/30	2012/04/17	12.50
14828	J P Morgan SP BV – CM Bank European Warrants Nov 2012	200,000,000	2011/09/30	2012/11/05	50.00
14799	J P Morgan SP BV – HSI European Warrants Jul 2012	200,000,000	2011/09/30	2012/07/30	51.80
14800	J P Morgan SP BV – HSI European Put Warrants Jun 2012	200,000,000	2011/09/30	2012/06/28	53.80
14797	J P Morgan SP BV – HSI European Put Warrants Jul 2012	200,000,000	2011/09/30	2012/07/30	50.40
14798	J P Morgan SP BV – HSI European Warrants Feb 2012 C	200,000,000	2011/09/30	2012/02/28	31.40
14805	J P Morgan SP BV – JX Copper European Warrants Jun 2012	200,000,000	2011/09/30	2012/06/28	50.00
14804	J P Morgan SP BV – PetroChina European Warrants Apr 2012	300,000,000	2011/09/30	2012/04/26	45.00
14803	J P Morgan SP BV – SHK Ppt European Warrants Jan 2013	200,000,000	2011/09/30	2013/01/28	30.00
14802	J P Morgan SP BV – Tencent European Warrants Feb 2012	200,000,000	2011/09/30	2012/02/23	30.00
14801	J P Morgan SP BV – Tencent European Warrants Jun 2012	200,000,000	2011/09/30	2012/06/01	50.00
14807	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2012 A	200,000,000	2011/09/30	2012/04/03	50.40
14818	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Apr 2012	80,000,000	2011/09/30	2012/04/02	20.00
14820	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Apr 2012	80,000,000	2011/09/30	2012/04/02	20.00
14795	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2012	100,000,000	2011/09/30	2012/06/28	25.00
14796	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2012	200,000,000	2011/09/30	2012/05/30	50.00
14819	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Apr 2012	80,000,000	2011/09/30	2012/04/02	20.00
14817	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Jul 12	80,000,000	2011/09/30	2012/07/03	20.00
14785	Macquarie Bank Ltd. – CC Bank European Put Warrants May 2012	200,000,000	2011/09/30	2012/05/03	50.20
14784	Macquarie Bank Ltd. – Evergrande European Warrants May 2012	150,000,000	2011/09/30	2012/05/03	39.15
14763	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts May 2012	80,000,000	2011/09/30	2012/05/25	29.60
14764	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Jul 2012	80,000,000	2011/09/30	2012/07/27	35.20
14765	Merrill Lynch Int'l & Co. C.V. – AIA Euro Warrants Apr 2012	80,000,000	2011/09/30	2012/04/30	23.60

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
14766	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts May 2012 A	80,000,000	2011/09/30	2012/05/08	20.00
14770	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Mar 2012	80,000,000	2011/09/30	2012/03/30	24.00
14771	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jun 2012	80,000,000	2011/09/30	2012/06/29	27.60
14769	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2012 B	80,000,000	2011/09/30	2012/04/30	26.00
14762	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Sep 2012 A	80,000,000	2011/09/30	2012/09/28	20.40
14772	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Aug 2012	80,000,000	2011/09/30	2012/08/08	12.00
14773	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2013	80,000,000	2011/09/30	2013/06/13	20.00
14759	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2012	80,000,000	2011/09/30	2012/07/11	20.00
14760	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Dec 2013	80,000,000	2011/09/30	2013/12/16	21.20
14751	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012	80,000,000	2011/09/30	2012/05/14	61.60
14753	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt May 2012	80,000,000	2011/09/30	2012/05/21	40.00
14752	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012 A	80,000,000	2011/09/30	2012/05/28	20.80
14755	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2012	80,000,000	2011/09/30	2012/04/23	42.40
14754	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2013	80,000,000	2011/09/30	2013/10/28	12.80
14756	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2012 B	80,000,000	2011/09/30	2012/04/16	56.80
14757	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2012 C	80,000,000	2011/09/30	2012/04/17	72.80
14758	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 12 D	80,000,000	2011/09/30	2012/04/18	21.60
14767	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts May 2012	80,000,000	2011/09/30	2012/05/25	49.60
14768	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Sep 2012	80,000,000	2011/09/30	2012/09/28	55.20
14761	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2012	80,000,000	2011/09/30	2012/04/30	20.00
14777	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2012 A	200,000,000	2011/09/30	2012/04/02	66.00
14778	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2012	200,000,000	2011/09/30	2012/04/02	70.00
14779	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2012 B	400,000,000	2011/09/30	2012/04/02	100.00
14806	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2012 B	500,000,000	2011/09/30	2012/04/11	125.00
14780	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2012 A	500,000,000	2011/09/30	2012/08/01	75.00
14774	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012	500,000,000	2011/09/30	2012/03/29	75.00
14776	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 2012	300,000,000	2011/09/30	2012/03/29	66.00
14775	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012 A	300,000,000	2011/09/30	2012/03/29	45.00
14781	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2012	180,000,000	2011/09/30	2012/04/02	72.00
14782	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jan 2013 A	300,000,000	2011/09/30	2013/01/28	45.00
14783	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May 12	200,000,000	2011/09/30	2012/05/30	50.00
14822	UBS AG – Agricultural Bank European Warrants Mar 2012	200,000,000	2011/09/30	2012/03/07	30.00
14821	UBS AG – HKEx European Warrants Feb 2012	300,000,000	2011/09/30	2012/02/13	45.00
14826	UBS AG – HSI European Put Warrants Apr 2012	300,000,000	2011/09/30	2012/04/27	84.00
14827	UBS AG – HSI European Put Warrants May 2012	300,000,000	2011/09/30	2012/05/30	75.00
14786	UBS AG – HSI European Warrants Feb 2012 D	300,000,000	2011/09/30	2012/02/28	45.00
14787	UBS AG – HSI European Warrants Mar 2012 A	300,000,000	2011/09/30	2012/03/29	45.00
14788	UBS AG – HSI European Warrants Mar 2012 B	300,000,000	2011/09/30	2012/03/29	45.00
14824	UBS AG – ICBC European Warrants Mar 2012	200,000,000	2011/09/30	2012/03/30	56.00
14823	UBS AG – ICBC European Warrants Feb 2012 A	200,000,000	2011/09/30	2012/02/27	36.00
14825	UBS AG – Ping An European Warrants Mar 2012 D	200,000,000	2011/09/30	2012/03/30	88.00
Total					73,939.94

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2010	Q3	–	0.05
	Q4	–	0.10
2011	Q1	–	1.96
	Q2	–	0.60
	Q3	–	611.11

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2010	Q3	160	440,113.92
	Q4	169	488,418.71
2011	Q1	170	506,219.59
	Q2	173	523,451.54
	Q3	183	558,876.03

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2010	Q3	7	21,025.02
	Q4	16	60,126.80
2011	Q1	7	24,958.35
	Q2	13	43,572.09
	Q3	16	47,230.33

Main Board Debt Securities

Newly Listed Debt Securities

for 3rd quarter 2011

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
86004	Rainbow Days Ltd. 2% 2% CNY Guaranteed Bonds 2014	RMB 1,400,000,000	2011/07/04	2014/06/30	1,685.60
86005	Rainbow Days Ltd. 3% 3% CNY Guaranteed Bonds 2016	RMB 1,600,000,000	2011/07/04	2016/06/30	1,926.40
86006	Genting Hong Kong Ltd. 3.95% CNY Bonds 2014	RMB 1,380,000,000	2011/07/05	2014/06/30	1,661.24
04518	Wakala Global Sukuk Berhad USD Trust Certificates 2016	USD 1,200,000,000	2011/07/07	2016/07/06	9,360.00
04519	Wakala Global Sukuk Berhad USD Trust Certificates 2021	USD 800,000,000	2011/07/07	2021/07/06	6,240.00
04520	CLP Power Hong Kong Financing Ltd. 4.75% Notes 2021	USD 300,000,000	2011/07/13	2021/07/12	2,324.18
86007	Intime Depart Store (Group) Co. Ltd. 4.65% CNY Guaranteed Bonds 2014	RMB 1,000,000,000	2011/07/20	2014/07/19	1,205.25
04208	The Government of the HKSAR of the PRC iBonds 2014	HKD 10,000,000,000	2011/07/29	2014/07/28	10,000.00
04209	The Government of the HKSAR of the PRC 2.46% Bonds 2021	HKD 2,500,000,000	2011/08/05	2021/08/04	2,577.75
04017	Hong Kong Monetary Authority 0.26% Exchange Fund Notes 2013	HKD 1,200,000,000	2011/08/18	2013/08/19	1,202.52
04018	Hong Kong Monetary Authority 2.07% Exchange Fund Notes 2026	HKD 600,000,000	2011/08/29	2026/08/26	605.28
86008	Tesco PLC 1.75% CNY Notes 2014	RMB 725,000,000	2011/09/02	2014/09/01	885.23
04210	The Government of the HKSAR of the PRC 0.32% Bonds 2013	HKD 3,500,000,000	2011/09/09	2013/09/09	3,507.70
04521	The Hong Kong Mortgage Corp Ltd. Floating Rate Notes 2012	USD 100,000,000	2011/09/14	2012/09/12	780.00
04522	Wharf Finance (No.1) Ltd. 2.875% Fixed Rate Notes 2026	JPY 10,000,000,000	2011/09/19	2026/09/16	1,015.60
04194 [#]	Hong Kong Monetary Authority, The 1.69% Exchange Fund Notes 2014	HKD 1,200,000,000	2011/09/19	2014/09/29	1,251.48
04019	Hong Kong Monetary Authority 0.79% Exchange Fund Notes 2016	HKD 1,000,000,000	2011/09/20	2016/09/19	1,002.10
Total					47,230.33

[#] Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded	Real Estate	Others	Total	% of market total
		Fund (ETF)	Investment			
		(HK\$ mil.)	Trusts (REITs)	(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)
2010	Q3	137,121.96	10,860.01	182.56	148,164.53	3.77
	Q4	222,632.25	13,000.51	234.47	235,867.23	4.29
2011	Q1	158,281.12	13,623.13	220.01	172,124.26	3.67
	Q2	127,347.26	16,652.86	105.65	144,105.78	3.45
	Q3	153,336.68	13,347.77	93.94	166,778.38	3.66

Note: 1. Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

Unit Trusts and Mutual Funds Market Value

		Exchange Traded Funds		Real Estate Investment Trusts		Others	No. of issues	Total
		No. of issues	(HK\$ mil.)	No. of issues	(HK\$ mil.)			
2010	Q3	66	647,219.69	8	95,248.94	2	76	745,440.96
	Q4	69	696,344.55	8	102,876.43	2	79	802,255.77
2011	Q1	72	690,953.11	8	104,083.69	2	82	798,071.59
	Q2	76	712,469.72	9	137,043.45	2	87	852,500.38
	Q3	76	711,192.27	9	110,532.56	2	87	823,757.23

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed	Funds raised
		unit trust and mutual funds	(HK\$ mil.)
2010	Q3	4	–
	Q4	3	–
2011	Q1	3	–
	Q2	5	12,507.88
	Q3	–	–

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 3rd quarter 2011

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised (HK\$ mil)	Listing date
Nil					

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2010	Q3	974	40,872.16	351,106.38
	Q4	1,064	49,816.76	514,510.75
2011	Q1	974	43,399.41	367,097.05
	Q2	711	32,550.52	325,829.26
	Q3	642	45,153.85	518,147.45

New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2010	Q3	1,369	73,903.10
	Q4	1,813	107,647.20
2011	Q1	1,449	80,829.55
	Q2	931	54,073.65
	Q3	1,401	85,334.38

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 3rd quarter 2011

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65065	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	200,000,000	2011/07/04	2011/12/29	50.00
65067	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	200,000,000	2011/07/04	2011/12/29	50.00
65068	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 O	200,000,000	2011/07/04	2011/12/29	50.00
65069	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	200,000,000	2011/07/04	2011/12/29	50.00
65064	Credit Suisse AG – HSI R Bull CBBC Dec 2011 S	300,000,000	2011/07/04	2011/12/29	75.00
65050	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	300,000,000	2011/07/04	2011/10/28	75.00
65052	Credit Suisse AG – TCH R Bull CBBC Nov 2011 D	100,000,000	2011/07/04	2011/11/28	25.00
65056	Credit Suisse AG – TCH R Bull CBBC Dec 2011 B	100,000,000	2011/07/04	2011/12/19	25.00
65070	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 A	200,000,000	2011/07/04	2011/10/28	50.00
65071	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 B	200,000,000	2011/07/04	2011/10/28	50.00
65073	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 C	200,000,000	2011/07/04	2011/10/28	50.00
65074	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 D	200,000,000	2011/07/04	2011/10/28	50.00
65075	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 E	200,000,000	2011/07/04	2011/10/28	50.00
65076	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 F	200,000,000	2011/07/04	2011/10/28	50.00
65077	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 G	200,000,000	2011/07/04	2011/10/28	50.00
65080	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 H	200,000,000	2011/07/04	2011/10/28	50.00
65044	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11F	300,000,000	2011/07/04	2011/10/28	75.00
65045	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11G	300,000,000	2011/07/04	2011/10/28	75.00
65046	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11H	300,000,000	2011/07/04	2011/10/28	75.00
65047	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11I	300,000,000	2011/07/04	2011/10/28	75.00
65048	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11V	300,000,000	2011/07/04	2011/10/28	75.00
65049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11Y	300,000,000	2011/07/04	2011/10/28	75.00
65058	UBS AG – HSI R Bull CBBC Oct 2011 C	300,000,000	2011/07/04	2011/10/28	75.00
65060	UBS AG – HSI R Bull CBBC Nov 2011 A	300,000,000	2011/07/04	2011/11/29	75.00
65061	UBS AG – HSI R Bull CBBC Dec 2011 J	300,000,000	2011/07/04	2011/12/29	75.00
65062	UBS AG – HSI R Bull CBBC Dec 2011 K	300,000,000	2011/07/04	2011/12/29	75.00
65043	UBS AG – HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/07/04	2011/11/29	75.00
65057	UBS AG – TCH R Bull CBBC Jan 2012 A	100,000,000	2011/07/04	2012/01/09	29.50
65086	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/07/05	2012/01/30	75.00
65085	HK Bank – HKEx R Bull CBBC Feb 2012 A	80,000,000	2011/07/05	2012/02/28	20.00
65087	UBS AG – HSBC R Bear CBBC Dec 2011 A	100,000,000	2011/07/05	2011/12/12	25.00
65081	UBS AG – HSI R Bull CBBC Oct 2011 N	300,000,000	2011/07/05	2011/10/28	75.00
65084	UBS AG – HSI R Bull CBBC Nov 2011 J	300,000,000	2011/07/05	2011/11/29	75.00
65088	UBS AG – HSI R Bear CBBC Oct 2011 J	300,000,000	2011/07/05	2011/10/28	75.00
65096	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 O	200,000,000	2011/07/06	2011/10/28	50.00
65091	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 P	200,000,000	2011/07/06	2011/10/28	50.00
65090	Credit Suisse AG – HSI R Bull CBBC Nov 2011 D	300,000,000	2011/07/06	2011/11/29	75.00
65089	Credit Suisse AG – HSI R Bear CBBC Oct 2011 C	300,000,000	2011/07/06	2011/10/28	75.00
65107	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 I	200,000,000	2011/07/06	2011/10/28	50.00
65109	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 J	200,000,000	2011/07/06	2011/10/28	50.00
65112	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 K	200,000,000	2011/07/06	2011/10/28	50.00
65113	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 L	200,000,000	2011/07/06	2011/10/28	50.00
65115	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 M	200,000,000	2011/07/06	2011/10/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65116	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 N	200,000,000	2011/07/06	2011/10/28	50.00
65117	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 O	200,000,000	2011/07/06	2011/10/28	50.00
65103	UBS AG – China Mobile R Bear CBBC Jan 2012 A	100,000,000	2011/07/06	2012/01/16	25.00
65100	UBS AG – HSI R Bull CBBC Nov 2011 E	300,000,000	2011/07/06	2011/11/29	75.00
65099	UBS AG – HSI R Bull CBBC Dec 2011 L	300,000,000	2011/07/06	2011/12/29	75.00
65097	UBS AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/07/06	2011/11/29	75.00
65119	Credit Suisse AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/07/07	2011/11/29	75.00
65118	Credit Suisse AG – TCH R Bear CBBC Nov 2011 E	100,000,000	2011/07/07	2011/11/28	25.00
65120	UBS AG – HSI R Bull CBBC Nov 2011 P	300,000,000	2011/07/07	2011/11/29	75.00
65121	UBS AG – HSI R Bull CBBC Dec 2011 M	300,000,000	2011/07/07	2011/12/29	75.00
65122	UBS AG – HSI R Bear CBBC Dec 2011 N	300,000,000	2011/07/07	2011/12/29	75.00
65134	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	200,000,000	2011/07/08	2011/12/29	50.00
65135	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 R	200,000,000	2011/07/08	2011/12/29	50.00
65137	Credit Suisse AG – HSI R Bull CBBC Dec 2011 T	300,000,000	2011/07/08	2011/12/29	75.00
65136	Credit Suisse AG – HSI R Bear CBBC Oct 2011 V	300,000,000	2011/07/08	2011/10/28	75.00
65146	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 A	200,000,000	2011/07/08	2011/11/29	50.00
65125	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11A	300,000,000	2011/07/08	2011/10/28	75.00
65126	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11B	300,000,000	2011/07/08	2011/10/28	75.00
65128	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11D	300,000,000	2011/07/08	2011/10/28	75.00
65124	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11Z	300,000,000	2011/07/08	2011/10/28	75.00
65129	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11K	300,000,000	2011/07/08	2011/12/29	85.50
65132	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11L	300,000,000	2011/07/08	2011/12/29	106.50
65133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11M	300,000,000	2011/07/08	2011/12/29	111.00
65145	UBS AG – COVS R Bear CBBC Dec 2011 A	80,000,000	2011/07/08	2011/12/19	20.80
65143	UBS AG – Sinopec Corp R Bull CBBC Dec 2011 A	100,000,000	2011/07/08	2011/12/12	25.00
65138	UBS AG – HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/07/08	2011/11/29	75.00
65142	UBS AG – HSI R Bull CBBC Dec 2011 O	300,000,000	2011/07/08	2011/12/29	75.00
65123	UBS AG – HSI R Bear CBBC Oct 2011 M	300,000,000	2011/07/08	2011/10/28	75.00
65189	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	200,000,000	2011/07/11	2011/12/29	50.00
65192	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	200,000,000	2011/07/11	2011/12/29	50.00
65194	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	200,000,000	2011/07/11	2011/12/29	50.00
65195	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	200,000,000	2011/07/11	2011/12/29	50.00
65196	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 I	200,000,000	2011/07/11	2011/10/28	50.00
65197	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 N	200,000,000	2011/07/11	2011/10/28	50.00
65169	Credit Suisse AG – HSI R Bull CBBC Dec 2011 U	300,000,000	2011/07/11	2011/12/29	75.00
65170	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	300,000,000	2011/07/11	2012/01/30	75.00
65171	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	300,000,000	2011/07/11	2012/01/30	75.00
65167	Credit Suisse AG – HSI R Bear CBBC Oct 2011 G	300,000,000	2011/07/11	2011/10/28	75.00
65163	Credit Suisse AG – HSI R Bear CBBC Oct 2011 W	300,000,000	2011/07/11	2011/10/28	75.00
65172	Credit Suisse AG – TCH R Bull CBBC Nov 2011 F	100,000,000	2011/07/11	2011/11/28	25.00
65173	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 B	200,000,000	2011/07/11	2011/11/29	50.00
65153	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11C	300,000,000	2011/07/11	2011/10/28	75.00
65152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11E	300,000,000	2011/07/11	2011/10/28	75.00
65156	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11N	300,000,000	2011/07/11	2011/10/28	75.00
65157	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11O	300,000,000	2011/07/11	2011/10/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65158	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11N	300,000,000	2011/07/11	2011/12/29	85.50
65159	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11O	300,000,000	2011/07/11	2011/12/29	106.50
65160	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11P	300,000,000	2011/07/11	2011/12/29	109.50
65161	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11Q	300,000,000	2011/07/11	2011/12/29	94.50
65162	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11R	300,000,000	2011/07/11	2011/12/29	117.00
65174	UBS AG – China Life R Bull CBBC Dec 2011 C	100,000,000	2011/07/11	2011/12/19	39.50
65176	UBS AG – HKEx R Bear CBBC Jan 2012 A	100,000,000	2011/07/11	2012/01/09	27.00
65177	UBS AG – HSI R Bull CBBC Oct 2011 U	300,000,000	2011/07/11	2011/10/28	75.00
65178	UBS AG – HSI R Bull CBBC Nov 2011 I	300,000,000	2011/07/11	2011/11/29	75.00
65179	UBS AG – HSI R Bull CBBC Nov 2011 V	300,000,000	2011/07/11	2011/11/29	75.00
65180	UBS AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/07/11	2012/01/30	75.00
65148	UBS AG – HSI R Bear CBBC Oct 2011 R	300,000,000	2011/07/11	2011/10/28	75.00
65149	UBS AG – HSI R Bear CBBC Nov 2011 H	300,000,000	2011/07/11	2011/11/29	75.00
65186	UBS AG – HSI R Bear CBBC Nov 2011 W	300,000,000	2011/07/11	2011/11/29	75.00
65147	UBS AG – HSI R Bear CBBC Dec 2011 P	300,000,000	2011/07/11	2011/12/29	75.00
65187	UBS AG – HSI R Bear CBBC Jan 2012 E	300,000,000	2011/07/11	2012/01/30	75.00
65175	UBS AG – TCH R Bull CBBC Jan 2012 B	100,000,000	2011/07/11	2012/01/09	25.50
65202	Credit Suisse AG – HSI R Bull CBBC Dec 2011 V	300,000,000	2011/07/12	2011/12/29	75.00
65203	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/07/12	2012/01/30	75.00
65201	Credit Suisse AG – HSI R Bear CBBC Oct 2011 R	300,000,000	2011/07/12	2011/10/28	75.00
65198	HK Bank – SHK Ppt R Bull CBBC Apr 2012 A	80,000,000	2011/07/12	2012/04/30	20.00
65199	HK Bank – SHK Ppt R Bear CBBC Jun 2012 A	80,000,000	2011/07/12	2012/06/29	20.00
65205	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 C	200,000,000	2011/07/12	2011/11/29	50.00
65204	UBS AG – HSI R Bull CBBC Oct 2011 V	300,000,000	2011/07/12	2011/10/28	75.00
65200	UBS AG – HSI R Bear CBBC Oct 2011 Y	300,000,000	2011/07/12	2011/10/28	75.00
65206	Credit Suisse AG – HSI R Bear CBBC Oct 2011 P	300,000,000	2011/07/13	2011/10/28	75.00
65207	UBS AG – CC Bank R Bull CBBC Jan 2012 A	100,000,000	2011/07/13	2012/01/16	25.00
65208	UBS AG – HSI R Bull CBBC Nov 2011 U	300,000,000	2011/07/13	2011/11/29	75.00
65209	UBS AG – HSI R Bull CBBC Dec 2011 Q	300,000,000	2011/07/13	2011/12/29	75.00
65210	UBS AG – HSI R Bear CBBC Nov 2011 O	300,000,000	2011/07/13	2011/11/29	75.00
65211	UBS AG – HSI R Bear CBBC Jan 2012 F	300,000,000	2011/07/13	2012/01/30	75.00
65213	UBS AG – A50 China R Bull CBBC Jan 2012 A	100,000,000	2011/07/14	2012/01/09	25.00
65212	UBS AG – HKEx R Bull CBBC Jan 2012 B	100,000,000	2011/07/14	2012/01/16	25.00
65214	UBS AG – HSI R Bull CBBC Dec 2011 R	300,000,000	2011/07/14	2011/12/29	75.00
65220	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2011 A	80,000,000	2011/07/15	2011/12/30	20.00
65221	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2011 B	80,000,000	2011/07/15	2011/12/30	20.00
65222	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2011 C	80,000,000	2011/07/15	2011/12/30	20.00
65226	Credit Suisse AG – ABC R Bull CBBC Nov 2011 A	100,000,000	2011/07/15	2011/11/21	25.00
65225	Credit Suisse AG – CCB R Bull CBBC Nov 2011 A	100,000,000	2011/07/15	2011/11/21	25.00
65215	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 O	100,000,000	2011/07/15	2012/01/30	25.00
65216	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 P	100,000,000	2011/07/15	2012/01/30	25.00
65217	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 Q	100,000,000	2011/07/15	2012/01/30	25.00
65223	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11J	300,000,000	2011/07/15	2011/10/28	75.00
65224	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11K	300,000,000	2011/07/15	2011/10/28	75.00
65227	UBS AG – CC Bank R Bull CBBC Dec 2011 B	100,000,000	2011/07/15	2011/12/05	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65258	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 D	200,000,000	2011/07/18	2011/10/28	50.00
65259	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 F	200,000,000	2011/07/18	2011/10/28	50.00
65256	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 U	200,000,000	2011/07/18	2011/10/28	50.00
65257	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 V	200,000,000	2011/07/18	2011/10/28	50.00
65247	Credit Suisse AG – HSI R Bull CBBC Dec 2011 W	300,000,000	2011/07/18	2011/12/29	75.00
65248	Credit Suisse AG – HSI R Bull CBBC Jan 2012 M	300,000,000	2011/07/18	2012/01/30	75.00
65266	Credit Suisse AG – HSI R Bear CBBC Oct 2011 X	300,000,000	2011/07/18	2011/10/28	75.00
65263	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 D	200,000,000	2011/07/18	2011/11/29	50.00
65264	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 E	200,000,000	2011/07/18	2011/11/29	50.00
65265	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 F	200,000,000	2011/07/18	2011/11/29	50.00
65231	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 G	108,000,000	2011/07/18	2011/11/29	27.00
65232	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 H	128,000,000	2011/07/18	2011/11/29	32.00
65229	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 A	128,000,000	2011/07/18	2011/12/29	32.00
65230	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 B	168,000,000	2011/07/18	2011/12/29	42.00
65233	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 C	128,000,000	2011/07/18	2011/12/29	32.00
65234	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 A	108,000,000	2011/07/18	2012/01/30	33.48
65235	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 B	188,000,000	2011/07/18	2012/01/30	47.00
65236	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 E	128,000,000	2011/07/18	2011/10/28	32.00
65237	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 F	128,000,000	2011/07/18	2011/10/28	32.00
65238	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 I	108,000,000	2011/07/18	2011/11/29	27.00
65239	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 J	128,000,000	2011/07/18	2011/11/29	32.00
65240	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 D	128,000,000	2011/07/18	2011/12/29	32.00
65241	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 E	188,000,000	2011/07/18	2011/12/29	47.00
65242	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 F	128,000,000	2011/07/18	2011/12/29	32.00
65243	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 G	128,000,000	2011/07/18	2011/12/29	32.00
65244	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 C	188,000,000	2011/07/18	2012/01/30	47.00
65249	RB of Scotland N.V. – HSI R Bull CBBC Nov 2011 A	250,000,000	2011/07/18	2011/11/29	62.50
65250	RB of Scotland N.V. – HSI R Bull CBBC Nov 2011 B	250,000,000	2011/07/18	2011/11/29	62.50
65253	RB of Scotland N.V. – HSI R Bear CBBC Nov 2011 C	250,000,000	2011/07/18	2011/11/29	62.50
65254	RB of Scotland N.V. – HSI R Bear CBBC Nov 2011 D	250,000,000	2011/07/18	2011/11/29	62.50
65245	UBS AG – HSI R Bull CBBC Oct 2011 F	300,000,000	2011/07/18	2011/10/28	75.00
65246	UBS AG – HSI R Bull CBBC Nov 2011 Z	300,000,000	2011/07/18	2011/11/29	75.00
65260	UBS AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/07/18	2011/11/29	75.00
65308	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 A	200,000,000	2011/07/19	2011/10/28	50.00
65309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 B	200,000,000	2011/07/19	2011/10/28	50.00
65310	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 C	200,000,000	2011/07/19	2011/10/28	50.00
65303	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 M	200,000,000	2011/07/19	2011/10/28	50.00
65305	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 W	200,000,000	2011/07/19	2011/10/28	50.00
65307	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 Y	200,000,000	2011/07/19	2011/10/28	50.00
65306	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 Z	200,000,000	2011/07/19	2011/10/28	50.00
65273	Credit Suisse AG – HSI R Bull CBBC Nov 2011 N	300,000,000	2011/07/19	2011/11/29	75.00
65274	Credit Suisse AG – HSI R Bull CBBC Nov 2011 O	300,000,000	2011/07/19	2011/11/29	75.00
65275	Credit Suisse AG – HSI R Bull CBBC Dec 2011 X	300,000,000	2011/07/19	2011/12/29	75.00
65297	Credit Suisse AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/07/19	2012/01/30	75.00
65271	Credit Suisse AG – HSI R Bear CBBC Oct 2011 J	300,000,000	2011/07/19	2011/10/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65272	Credit Suisse AG – HSI R Bear CBBC Oct 2011 Q	300,000,000	2011/07/19	2011/10/28	75.00
65296	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	300,000,000	2011/07/19	2011/10/28	75.00
65295	Credit Suisse AG – HSI R Bear CBBC Oct 2011 U	300,000,000	2011/07/19	2011/10/28	75.00
65276	Credit Suisse AG – TCH R Bear CBBC Nov 2011 G	100,000,000	2011/07/19	2011/11/28	25.00
65298	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 G	200,000,000	2011/07/19	2011/11/29	50.00
65299	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 H	200,000,000	2011/07/19	2011/11/29	50.00
65300	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 I	200,000,000	2011/07/19	2011/11/29	50.00
65301	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 J	200,000,000	2011/07/19	2011/11/29	50.00
65302	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 K	200,000,000	2011/07/19	2011/11/29	50.00
65278	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11L	300,000,000	2011/07/19	2011/10/28	75.00
65281	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11C	300,000,000	2011/07/19	2011/10/28	75.00
65282	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11D	300,000,000	2011/07/19	2011/10/28	75.00
65283	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11E	300,000,000	2011/07/19	2011/10/28	75.00
65279	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11M	300,000,000	2011/07/19	2011/10/28	75.00
65284	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11N	300,000,000	2011/07/19	2011/10/28	75.00
65280	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11Y	300,000,000	2011/07/19	2011/10/28	75.00
65286	UBS AG – CC Bank R Bull CBBC Jan 2012 B	100,000,000	2011/07/19	2012/01/09	25.00
65267	UBS AG – HSI R Bull CBBC Oct 2011 K	300,000,000	2011/07/19	2011/10/28	75.00
65277	UBS AG – HSI R Bull CBBC Oct 2011 P	300,000,000	2011/07/19	2011/10/28	75.00
65268	UBS AG – HSI R Bull CBBC Nov 2011 Q	300,000,000	2011/07/19	2011/11/29	75.00
65269	UBS AG – HSI R Bull CBBC Nov 2011 V	300,000,000	2011/07/19	2011/11/29	75.00
65288	UBS AG – HSI R Bull CBBC Dec 2011 S	300,000,000	2011/07/19	2011/12/29	75.00
65289	UBS AG – HSI R Bear CBBC Oct 2011 L	300,000,000	2011/07/19	2011/10/28	75.00
65290	UBS AG – HSI R Bear CBBC Oct 2011 X	300,000,000	2011/07/19	2011/10/28	75.00
65291	UBS AG – HSI R Bear CBBC Nov 2011 H	300,000,000	2011/07/19	2011/11/29	75.00
65292	UBS AG – HSI R Bear CBBC Nov 2011 I	300,000,000	2011/07/19	2011/11/29	75.00
65293	UBS AG – HSI R Bear CBBC Dec 2011 T	300,000,000	2011/07/19	2011/12/29	75.00
65294	UBS AG – HSI R Bear CBBC Dec 2011 U	300,000,000	2011/07/19	2011/12/29	75.00
65287	UBS AG – ICBC R Bull CBBC Dec 2011 B	100,000,000	2011/07/19	2011/12/05	25.00
65349	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 C	200,000,000	2011/07/20	2011/12/29	50.00
65348	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 S	200,000,000	2011/07/20	2011/12/29	50.00
65330	Credit Suisse AG – A50 China R Bull CBBC Nov 2011 A	100,000,000	2011/07/20	2011/11/28	25.00
65324	Credit Suisse AG – HSI R Bull CBBC Dec 2011 Y	300,000,000	2011/07/20	2011/12/29	75.00
65325	Credit Suisse AG – HSI R Bull CBBC Jan 2012 O	300,000,000	2011/07/20	2012/01/30	75.00
65326	Credit Suisse AG – HSI R Bull CBBC Jan 2012 P	300,000,000	2011/07/20	2012/01/30	75.00
65327	Credit Suisse AG – HSI R Bull CBBC Feb 2012 G	300,000,000	2011/07/20	2012/02/28	75.00
65328	Credit Suisse AG – HSI R Bull CBBC Feb 2012 H	300,000,000	2011/07/20	2012/02/28	75.00
65321	Credit Suisse AG – HSI R Bear CBBC Oct 2011 C	300,000,000	2011/07/20	2011/10/28	75.00
65322	Credit Suisse AG – HSI R Bear CBBC Oct 2011 V	300,000,000	2011/07/20	2011/10/28	75.00
65323	Credit Suisse AG – HSI R Bear CBBC Oct 2011 W	300,000,000	2011/07/20	2011/10/28	75.00
65329	Credit Suisse AG – TCH R Bull CBBC Nov 2011 H	100,000,000	2011/07/20	2011/11/28	25.00
65331	HK Bank – CC Bank R Bull CBBC Feb 2012 A	80,000,000	2011/07/20	2012/02/28	20.00
65334	HK Bank – CC Bank R Bear CBBC Feb 2012 B	80,000,000	2011/07/20	2012/02/28	20.00
65336	HK Bank – HSI R Bear CBBC Feb 2012 C	100,000,000	2011/07/20	2012/02/28	25.00
65337	HK Bank – HSI R Bear CBBC Feb 2012 D	100,000,000	2011/07/20	2012/02/28	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65333	HK Bank – ICBC R Bull CBBC Jun 2012 A	80,000,000	2011/07/20	2012/06/29	20.00
65335	HK Bank – ICBC R Bear CBBC Jun 2012 B	80,000,000	2011/07/20	2012/06/29	20.00
65317	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 G	108,000,000	2011/07/20	2011/10/28	27.00
65318	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 H	128,000,000	2011/07/20	2011/10/28	32.00
65319	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 K	188,000,000	2011/07/20	2011/11/29	47.00
65320	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 L	128,000,000	2011/07/20	2011/11/29	32.00
65346	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 C	250,000,000	2011/07/20	2011/12/29	62.50
65347	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 D	250,000,000	2011/07/20	2011/12/29	62.50
65315	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11H	300,000,000	2011/07/20	2011/10/28	75.00
65316	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11I	300,000,000	2011/07/20	2011/10/28	75.00
65311	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11A	300,000,000	2011/07/20	2011/10/28	75.00
65312	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11B	300,000,000	2011/07/20	2011/10/28	75.00
65313	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11G	300,000,000	2011/07/20	2011/10/28	75.00
65338	UBS AG – COVS R Bull CBBC Jan 2012 A	100,000,000	2011/07/20	2012/01/09	31.00
65342	UBS AG – COVS R Bear CBBC Jan 2012 B	100,000,000	2011/07/20	2012/01/16	37.00
65339	UBS AG – HSI R Bull CBBC Oct 2011 M	300,000,000	2011/07/20	2011/10/28	75.00
65341	UBS AG – HSI R Bull CBBC Nov 2011 J	300,000,000	2011/07/20	2011/11/29	75.00
65314	UBS AG – HSI R Bear CBBC Oct 2011 J	300,000,000	2011/07/20	2011/10/28	75.00
65343	UBS AG – HSI R Bear CBBC Oct 2011 U	300,000,000	2011/07/20	2011/10/28	75.00
65344	UBS AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/07/20	2011/12/29	75.00
65345	UBS AG – HSI R Bear CBBC Jan 2012 G	300,000,000	2011/07/20	2012/01/30	75.00
65353	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Q	300,000,000	2011/07/21	2012/01/30	75.00
65352	Credit Suisse AG – HSI R Bear CBBC Nov 2011 R	300,000,000	2011/07/21	2011/11/29	75.00
65350	UBS AG – Hutchison R Bull CBBC Jan 2012 A	100,000,000	2011/07/21	2012/01/09	25.00
65354	UBS AG – CNOOC R Bull CBBC Jan 2012 A	100,000,000	2011/07/22	2012/01/16	28.50
65362	Credit Suisse AG – CCB R Bull CBBC Dec 2011 A	100,000,000	2011/07/25	2011/12/12	25.00
65363	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Jan 2012 A	100,000,000	2011/07/25	2012/01/30	25.00
65355	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 R	100,000,000	2011/07/25	2012/01/30	25.50
65356	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 S	100,000,000	2011/07/25	2012/01/30	25.00
65357	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 T	100,000,000	2011/07/25	2012/01/30	25.00
65358	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 U	100,000,000	2011/07/25	2012/01/30	25.50
65359	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 V	100,000,000	2011/07/25	2012/01/30	27.50
65360	RB of Scotland N.V. – A50 China R Bull CBBC Jan 2012 A	80,000,000	2011/07/25	2012/01/13	20.00
65361	RB of Scotland N.V. – A50 China R Bear CBBC Jan 2012 B	80,000,000	2011/07/25	2012/01/13	20.00
65364	UBS AG – AIA R Bear CBBC Jan 2012 A	100,000,000	2011/07/25	2012/01/09	27.00
65233 #	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 C	120,000,000	2011/07/25	2011/12/29	12.60
65366	Credit Suisse AG – HSI R Bull CBBC Jan 2012 R	300,000,000	2011/07/26	2012/01/30	75.00
65368	Credit Suisse AG – HSI R Bear CBBC Oct 2011 K	300,000,000	2011/07/26	2011/10/28	75.00
65370	RB of Scotland N.V. – CNBM R Bull CBBC Jul 2012 A	80,000,000	2011/07/26	2012/07/30	66.56
65371	RB of Scotland N.V. – Evergrande R Bull CBBC Jun 2012 A	80,000,000	2011/07/26	2012/06/29	28.00
65372	RB of Scotland N.V. – GCL-Poly R Bull CBBC Jun 2012 A	80,000,000	2011/07/26	2012/06/29	20.00
65369	UBS AG – GCL-Poly R Bull CBBC Dec 2011 A	100,000,000	2011/07/26	2011/12/19	25.00
65365	UBS AG – HSI R Bull CBBC Oct 2011 R	300,000,000	2011/07/26	2011/10/28	75.00
65367	UBS AG – HSI R Bear CBBC Oct 2011 C	300,000,000	2011/07/26	2011/10/28	75.00
65383	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	200,000,000	2011/07/27	2011/12/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65380	Credit Suisse AG – HSI R Bull CBBC Jan 2012 T	300,000,000	2011/07/27	2012/01/30	75.00
65376	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	300,000,000	2011/07/27	2011/10/28	75.00
65373	HK Bank – A50 R Bull CBBC Apr 2012 A	100,000,000	2011/07/27	2012/04/18	25.00
65385	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 L	200,000,000	2011/07/27	2011/11/29	50.00
65386	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 M	200,000,000	2011/07/27	2011/11/29	50.00
65382	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 H	128,000,000	2011/07/27	2011/12/29	32.00
65384	RB of Scotland N.V. – HSCEI R Bear CBBC Dec 2011 A	250,000,000	2011/07/27	2011/12/29	62.50
65374	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11V	300,000,000	2011/07/27	2011/10/28	75.00
65375	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 11Z	300,000,000	2011/07/27	2011/10/28	75.00
65381	UBS AG – HSI R Bull CBBC Oct 2011 F	300,000,000	2011/07/27	2011/10/28	75.00
65379	UBS AG – HSI R Bear CBBC Oct 2011 V	300,000,000	2011/07/27	2011/10/28	75.00
65389	Credit Suisse AG – HSI R Bull CBBC Dec 2011 Z	300,000,000	2011/07/28	2011/12/29	75.00
65387	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 11 L	300,000,000	2011/07/28	2011/10/28	75.00
65390	UBS AG – China Mobile R Bull CBBC Jan 2012 B	100,000,000	2011/07/28	2012/01/09	25.00
65388	UBS AG – HSI R Bull CBBC Oct 2011 K	300,000,000	2011/07/28	2011/10/28	75.00
64783 #	HK Bank – A50 R Bull CBBC Jan 2012 A	150,000,000	2011/07/28	2012/01/20	16.50
65410	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 T	200,000,000	2011/07/29	2011/12/29	50.00
65412	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	200,000,000	2011/07/29	2011/12/29	50.00
65413	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	200,000,000	2011/07/29	2011/12/29	50.00
65411	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	200,000,000	2011/07/29	2011/12/29	50.00
65414	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	200,000,000	2011/07/29	2011/12/29	50.00
65399	Credit Suisse AG – HSI R Bull CBBC Jan 2012 U	300,000,000	2011/07/29	2012/01/30	75.00
65404	Credit Suisse AG – HSI R Bull CBBC Jan 2012 W	300,000,000	2011/07/29	2012/01/30	75.00
65398	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/07/29	2011/11/29	75.00
65397	Credit Suisse AG – HSI R Bear CBBC Nov 2011 S	300,000,000	2011/07/29	2011/11/29	75.00
65406	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 M	128,000,000	2011/07/29	2011/11/29	32.00
65407	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 N	188,000,000	2011/07/29	2011/11/29	47.00
65415	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 E	250,000,000	2011/07/29	2011/12/29	62.50
65401	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 D	300,000,000	2011/07/29	2011/11/29	75.00
65402	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 E	300,000,000	2011/07/29	2011/11/29	75.00
65403	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 F	300,000,000	2011/07/29	2011/11/29	75.00
65393	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 A	300,000,000	2011/07/29	2011/11/29	75.00
65394	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 B	300,000,000	2011/07/29	2011/11/29	75.00
65396	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 C	300,000,000	2011/07/29	2011/11/29	75.00
65405	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 G	300,000,000	2011/07/29	2011/11/29	75.00
65409	UBS AG – HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/07/29	2011/11/29	75.00
65408	UBS AG – HSI R Bull CBBC Dec 2011 X	300,000,000	2011/07/29	2011/12/29	75.00
65391	UBS AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/07/29	2011/11/29	75.00
65392	UBS AG – HSI R Bear CBBC Dec 2011 W	300,000,000	2011/07/29	2011/12/29	75.00
65423	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	200,000,000	2011/08/01	2012/02/28	50.00
65420	Credit Suisse AG – HSI R Bull CBBC Dec 2011 G	300,000,000	2011/08/01	2011/12/29	75.00
65419	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	300,000,000	2011/08/01	2011/11/29	75.00
65418	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11H	300,000,000	2011/08/01	2011/11/29	75.00
65421	UBS AG – AIA R Bull CBBC Jan 2012 B	100,000,000	2011/08/01	2012/01/16	25.50
65416	UBS AG – HSI R Bull CBBC Dec 2011 Y	300,000,000	2011/08/01	2011/12/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65417	UBS AG – HSI R Bull CBBC Jan 2012 H	300,000,000	2011/08/01	2012/01/30	75.00
65422	UBS AG – HSI R Bear CBBC Jan 2012 I	300,000,000	2011/08/01	2012/01/30	75.00
65432	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	200,000,000	2011/08/02	2011/12/29	50.00
65426	Credit Suisse AG – HSI R Bull CBBC Dec 2011 D	300,000,000	2011/08/02	2011/12/29	75.00
65427	Credit Suisse AG – HSI R Bull CBBC Jan 2012 X	300,000,000	2011/08/02	2012/01/30	75.00
65425	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/02	2011/11/29	75.00
65431	UBS AG – HSI R Bull CBBC Nov 2011 U	300,000,000	2011/08/02	2011/11/29	75.00
65424	UBS AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/08/02	2011/12/29	75.00
65428	UBS AG – Hutchison R Bull CBBC Jan 2012 B	100,000,000	2011/08/02	2012/01/16	25.00
65445	Credit Suisse AG – HSI R Bear CBBC Nov 2011 X	300,000,000	2011/08/03	2011/11/29	75.00
65443	HK Bank – CNOOC R Bull CBBC Jun 2012 A	80,000,000	2011/08/03	2012/06/18	20.00
65433	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 A	100,000,000	2011/08/03	2012/02/28	26.00
65434	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 B	100,000,000	2011/08/03	2012/02/28	25.50
65435	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 C	100,000,000	2011/08/03	2012/02/28	25.00
65436	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11 I	300,000,000	2011/08/03	2011/11/29	75.00
65437	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 A	300,000,000	2011/08/03	2012/01/30	105.00
65439	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 B	300,000,000	2011/08/03	2012/01/30	102.00
65440	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 C	300,000,000	2011/08/03	2012/01/30	81.00
65446	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11 J	300,000,000	2011/08/03	2011/11/29	75.00
65441	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11 S	300,000,000	2011/08/03	2011/12/29	87.00
65442	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11 T	300,000,000	2011/08/03	2011/12/29	91.50
65444	UBS AG – HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/08/03	2011/11/29	75.00
65453	Credit Suisse AG – China Mobile R Bull CBBC Dec 2011 A	100,000,000	2011/08/04	2011/12/05	25.00
65454	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Jan 2012 B	100,000,000	2011/08/04	2012/01/30	25.00
65448	Credit Suisse AG – HSBC R Bull CBBC Dec 2011 A	100,000,000	2011/08/04	2011/12/05	25.00
65449	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 A	100,000,000	2011/08/04	2011/12/05	25.00
65447	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11K	300,000,000	2011/08/04	2011/11/29	75.00
65456	UBS AG – ABC R Bull CBBC Jan 2012 A	100,000,000	2011/08/04	2012/01/09	25.00
65460	UBS AG – China Mobile R Bear CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/06	25.00
65457	UBS AG – Cheung Kong R Bear CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/20	25.00
65459	UBS AG – Sinopec Corp R Bear CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/06	25.00
65455	UBS AG – Hutchison R Bull CBBC Feb 2012 A	100,000,000	2011/08/04	2012/02/06	25.00
65458	UBS AG – Hutchison R Bear CBBC Jan 2012 C	100,000,000	2011/08/04	2012/01/09	25.00
65465	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Y	300,000,000	2011/08/05	2012/01/30	75.00
65461	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jan 12A	150,000,000	2011/08/05	2012/01/30	37.50
65462	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Jan 12A	150,000,000	2011/08/05	2012/01/30	39.00
65468	UBS AG – AIA R Bull CBBC Feb 2012 A	100,000,000	2011/08/05	2012/02/06	27.00
65463	UBS AG – HSI R Bull CBBC Dec 2011 C	300,000,000	2011/08/05	2011/12/29	75.00
65470	UBS AG – HSI R Bear CBBC Dec 2011 M	300,000,000	2011/08/05	2011/12/29	75.00
65471	UBS AG – HSI R Bear CBBC Jan 2012 J	300,000,000	2011/08/05	2012/01/30	75.00
65469	UBS AG – Hutchison R Bear CBBC Feb 2012 B	100,000,000	2011/08/05	2012/02/06	25.00
65482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	200,000,000	2011/08/08	2011/12/29	50.00
65483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	200,000,000	2011/08/08	2011/12/29	50.00
65488	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Z	300,000,000	2011/08/08	2012/01/30	75.00
65487	Credit Suisse AG – HSI R Bear CBBC Nov 2011 L	300,000,000	2011/08/08	2011/11/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65480	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 L	300,000,000	2011/08/08	2011/12/29	81.00
65481	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 M	300,000,000	2011/08/08	2011/12/29	75.00
65477	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 J	300,000,000	2011/08/08	2011/12/29	84.00
65479	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 K	300,000,000	2011/08/08	2011/12/29	75.00
65475	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11N	300,000,000	2011/08/08	2011/11/29	75.00
65476	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11O	300,000,000	2011/08/08	2011/11/29	75.00
65473	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11L	300,000,000	2011/08/08	2011/11/29	75.00
65474	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 11M	300,000,000	2011/08/08	2011/11/29	75.00
65489	UBS AG – AIA R Bear CBBC Jan 2012 C	100,000,000	2011/08/08	2012/01/09	53.00
65490	UBS AG – HSI R Bull CBBC Nov 2011 V	300,000,000	2011/08/08	2011/11/29	75.00
65472	UBS AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/08/08	2011/11/29	75.00
65496	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 B	200,000,000	2011/08/09	2012/02/28	50.00
65497	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 C	200,000,000	2011/08/09	2012/02/28	50.00
65498	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 D	200,000,000	2011/08/09	2012/02/28	50.00
65495	Credit Suisse AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/08/09	2012/02/28	75.00
65499	Credit Suisse AG – HSI R Bear CBBC Nov 2011 K	300,000,000	2011/08/09	2011/11/29	75.00
65504	RB of Scotland N.V. – HSCEI R Bear CBBC Dec 2011 B	250,000,000	2011/08/09	2011/12/29	62.50
65503	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 F	250,000,000	2011/08/09	2011/12/29	62.50
65493	UBS AG – HSI R Bull CBBC Dec 2011 O	300,000,000	2011/08/09	2011/12/29	75.00
65500	UBS AG – HSI R Bull CBBC Feb 2012 A	300,000,000	2011/08/09	2012/02/28	75.00
65501	UBS AG – HSI R Bear CBBC Dec 2011 Q	300,000,000	2011/08/09	2011/12/29	75.00
65502	UBS AG – HSI R Bear CBBC Jan 2012 K	300,000,000	2011/08/09	2012/01/30	75.00
65548	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2012 E	200,000,000	2011/08/10	2012/02/28	50.00
65549	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	200,000,000	2011/08/10	2012/04/27	50.00
65550	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 F	200,000,000	2011/08/10	2012/02/28	50.00
65551	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 G	200,000,000	2011/08/10	2012/02/28	50.00
65552	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 H	200,000,000	2011/08/10	2012/02/28	50.00
65553	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 I	200,000,000	2011/08/10	2012/02/28	50.00
65554	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 J	200,000,000	2011/08/10	2012/02/28	50.00
65545	Credit Suisse AG – HSI R Bull CBBC Dec 2011 J	300,000,000	2011/08/10	2011/12/29	75.00
65546	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	300,000,000	2011/08/10	2012/01/30	75.00
65547	Credit Suisse AG – HSI R Bull CBBC Feb 2012 J	300,000,000	2011/08/10	2012/02/28	75.00
65543	Credit Suisse AG – HSI R Bear CBBC Nov 2011 F	300,000,000	2011/08/10	2011/11/29	75.00
65542	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Z	300,000,000	2011/08/10	2011/11/29	75.00
65544	Credit Suisse AG – HSI R Bear CBBC Dec 2011 I	300,000,000	2011/08/10	2011/12/29	75.00
65558	Credit Suisse AG – HSI R Bear CBBC Dec 2011 L	300,000,000	2011/08/10	2011/12/29	75.00
65566	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 I	128,000,000	2011/08/10	2011/12/29	32.00
65567	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 O	108,000,000	2011/08/10	2011/11/29	27.00
65568	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 J	188,000,000	2011/08/10	2011/12/29	47.00
65518	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 11P	300,000,000	2011/08/10	2011/11/29	75.00
65508	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12D	300,000,000	2011/08/10	2012/01/30	75.00
65509	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12E	300,000,000	2011/08/10	2012/01/30	75.00
65510	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12F	300,000,000	2011/08/10	2012/01/30	75.00
65511	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12G	300,000,000	2011/08/10	2012/01/30	75.00
65512	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12H	300,000,000	2011/08/10	2012/01/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65517	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12I	300,000,000	2011/08/10	2012/01/30	75.00
65519	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11U	300,000,000	2011/08/10	2011/12/29	75.00
65520	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11V	300,000,000	2011/08/10	2011/12/29	75.00
65521	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11W	300,000,000	2011/08/10	2011/12/29	75.00
65522	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11X	300,000,000	2011/08/10	2011/12/29	75.00
65523	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11Y	300,000,000	2011/08/10	2011/12/29	75.00
65525	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 11Z	300,000,000	2011/08/10	2011/12/29	75.00
65528	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12J	300,000,000	2011/08/10	2012/01/30	75.00
65533	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12K	300,000,000	2011/08/10	2012/01/30	75.00
65534	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12L	300,000,000	2011/08/10	2012/01/30	75.00
65539	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12M	300,000,000	2011/08/10	2012/01/30	75.00
65541	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12N	300,000,000	2011/08/10	2012/01/30	75.00
65569	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12O	300,000,000	2011/08/10	2012/01/30	88.50
65505	UBS AG – HSI R Bull CBBC Nov 2011 H	300,000,000	2011/08/10	2011/11/29	75.00
65506	UBS AG – HSI R Bull CBBC Dec 2011 R	300,000,000	2011/08/10	2011/12/29	75.00
65507	UBS AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/08/10	2012/01/30	75.00
65559	UBS AG – HSI R Bear CBBC Nov 2011 J	300,000,000	2011/08/10	2011/11/29	75.00
65560	UBS AG – HSI R Bear CBBC Dec 2011 T	300,000,000	2011/08/10	2011/12/29	75.00
65562	UBS AG – HSI R Bear CBBC Jan 2012 M	300,000,000	2011/08/10	2012/01/30	75.00
65563	UBS AG – HSI R Bear CBBC Mar 2012 A	300,000,000	2011/08/10	2012/03/29	75.00
65598	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 K	200,000,000	2011/08/11	2012/02/28	50.00
65597	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/08/11	2012/01/30	75.00
65595	Credit Suisse AG – HSI R Bear CBBC Nov 2011 D	300,000,000	2011/08/11	2011/11/29	75.00
65590	Credit Suisse AG – HSI R Bear CBBC Nov 2011 G	300,000,000	2011/08/11	2011/11/29	75.00
65594	Credit Suisse AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/08/11	2011/11/29	75.00
65572	HK Bank – Cheung Kong R Bull CBBC Jun 2012 B	80,000,000	2011/08/11	2012/06/28	20.00
65571	HK Bank – Cheung Kong R Bear CBBC Jun 2012 A	80,000,000	2011/08/11	2012/06/28	20.00
65574	HK Bank – A50 R Bull CBBC Mar 2012 A	100,000,000	2011/08/11	2012/03/28	25.00
65573	HK Bank – A50 R Bull CBBC Jun 2012 A	100,000,000	2011/08/11	2012/06/18	25.00
65578	HK Bank – HSI R Bull CBBC Mar 2012 A	100,000,000	2011/08/11	2012/03/29	25.00
65576	HK Bank – HSI R Bear CBBC Apr 2012 A	100,000,000	2011/08/11	2012/04/27	25.00
65580	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 K	128,000,000	2011/08/11	2011/12/29	32.00
65575	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12P	300,000,000	2011/08/11	2012/01/30	75.00
65587	UBS AG – China Life R Bear CBBC Feb 2012 A	100,000,000	2011/08/11	2012/02/06	26.00
65586	UBS AG – A50 China R Bear CBBC Feb 2012 A	100,000,000	2011/08/11	2012/02/13	25.00
65570	UBS AG – HSI R Bull CBBC Nov 2011 I	300,000,000	2011/08/11	2011/11/29	75.00
65584	UBS AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/08/11	2012/01/30	75.00
65585	UBS AG – HSI R Bull CBBC Jan 2012 O	300,000,000	2011/08/11	2012/01/30	75.00
65581	UBS AG – HSI R Bear CBBC Nov 2011 M	300,000,000	2011/08/11	2011/11/29	75.00
65583	UBS AG – HSI R Bear CBBC Nov 2011 O	300,000,000	2011/08/11	2011/11/29	75.00
65582	UBS AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/08/11	2011/12/29	75.00
64947 #	HK Bank – HSI R Bull CBBC Jan 2012 D	150,000,000	2011/08/11	2012/01/30	16.50
65720	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Apr 2012 A	80,000,000	2011/08/12	2012/04/30	20.00
65660	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 B	200,000,000	2011/08/12	2012/04/27	50.00
65661	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 C	200,000,000	2011/08/12	2012/04/27	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65662	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	200,000,000	2011/08/12	2012/04/27	50.00
65663	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	200,000,000	2011/08/12	2012/04/27	50.00
65664	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 F	200,000,000	2011/08/12	2012/04/27	50.00
65665	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/08/12	2012/04/27	50.00
65667	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/08/12	2012/04/27	50.00
65668	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/08/12	2012/04/27	50.00
65669	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 J	200,000,000	2011/08/12	2012/04/27	50.00
65671	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 L	200,000,000	2011/08/12	2012/02/28	50.00
65672	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 M	200,000,000	2011/08/12	2012/02/28	50.00
65674	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 N	200,000,000	2011/08/12	2012/02/28	50.00
65675	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 O	200,000,000	2011/08/12	2012/02/28	50.00
65676	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 P	200,000,000	2011/08/12	2012/02/28	50.00
65677	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Q	200,000,000	2011/08/12	2012/02/28	50.00
65678	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 R	200,000,000	2011/08/12	2012/02/28	50.00
65683	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 S	200,000,000	2011/08/12	2012/02/28	50.00
65684	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 T	200,000,000	2011/08/12	2012/02/28	50.00
65685	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 U	200,000,000	2011/08/12	2012/02/28	50.00
65686	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 V	200,000,000	2011/08/12	2012/02/28	50.00
65638	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	300,000,000	2011/08/12	2012/01/30	75.00
65639	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/08/12	2012/01/30	75.00
65656	Credit Suisse AG – HSI R Bull CBBC Jan 2012 M	300,000,000	2011/08/12	2012/01/30	75.00
65658	Credit Suisse AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/08/12	2012/01/30	75.00
65640	Credit Suisse AG – HSI R Bull CBBC Feb 2012 K	300,000,000	2011/08/12	2012/02/28	75.00
65641	Credit Suisse AG – HSI R Bull CBBC Feb 2012 L	300,000,000	2011/08/12	2012/02/28	75.00
65642	Credit Suisse AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/08/12	2012/03/29	91.50
65643	Credit Suisse AG – HSI R Bull CBBC Mar 2012 F	300,000,000	2011/08/12	2012/03/29	85.50
65649	Credit Suisse AG – HSI R Bull CBBC Mar 2012 G	300,000,000	2011/08/12	2012/03/29	79.50
65650	Credit Suisse AG – HSI R Bull CBBC Mar 2012 H	300,000,000	2011/08/12	2012/03/29	75.00
65651	Credit Suisse AG – HSI R Bull CBBC Apr 2012 A	300,000,000	2011/08/12	2012/04/27	84.00
65652	Credit Suisse AG – HSI R Bull CBBC Apr 2012 B	300,000,000	2011/08/12	2012/04/27	79.50
65653	Credit Suisse AG – HSI R Bull CBBC Apr 2012 C	300,000,000	2011/08/12	2012/04/27	75.00
65654	Credit Suisse AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2011/08/12	2012/04/27	75.00
65655	Credit Suisse AG – HSI R Bull CBBC Apr 2012 E	300,000,000	2011/08/12	2012/04/27	75.00
65633	Credit Suisse AG – HSI R Bear CBBC Nov 2011 E	300,000,000	2011/08/12	2011/11/29	75.00
65634	Credit Suisse AG – HSI R Bear CBBC Nov 2011 N	300,000,000	2011/08/12	2011/11/29	75.00
65635	Credit Suisse AG – HSI R Bear CBBC Nov 2011 O	300,000,000	2011/08/12	2011/11/29	75.00
65636	Credit Suisse AG – HSI R Bear CBBC Nov 2011 R	300,000,000	2011/08/12	2011/11/29	75.00
65637	Credit Suisse AG – HSI R Bear CBBC Dec 2011 M	300,000,000	2011/08/12	2011/12/29	75.00
65608	HK Bank – A50 R Bull CBBC Jun 2012 B	100,000,000	2011/08/12	2012/06/18	25.00
65609	HK Bank – HSI R Bull CBBC May 2012 A	100,000,000	2011/08/12	2012/05/30	25.00
65610	HK Bank – HSI R Bull CBBC May 2012 B	100,000,000	2011/08/12	2012/05/30	25.00
65611	HK Bank – HSI R Bear CBBC Apr 2012 B	100,000,000	2011/08/12	2012/04/27	25.00
65659	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2011 D	200,000,000	2011/08/12	2011/12/29	50.00
65629	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2011 C	200,000,000	2011/08/12	2011/12/29	50.00
65612	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 G	250,000,000	2011/08/12	2011/12/29	62.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65614	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 H	250,000,000	2011/08/12	2011/12/29	62.50
65615	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 I	250,000,000	2011/08/12	2011/12/29	62.50
65616	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 J	250,000,000	2011/08/12	2011/12/29	62.50
65617	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 K	250,000,000	2011/08/12	2011/12/29	62.50
65627	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 L	250,000,000	2011/08/12	2011/12/29	62.50
65599	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Q	300,000,000	2011/08/12	2012/01/30	75.00
65600	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12R	300,000,000	2011/08/12	2012/01/30	75.00
65601	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12S	300,000,000	2011/08/12	2012/01/30	75.00
65602	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12T	300,000,000	2011/08/12	2012/01/30	75.00
65696	UBS AG – CNOOC R Bull CBBC Feb 2012 A	100,000,000	2011/08/12	2012/02/20	25.00
65694	UBS AG – Sinopec Corp R Bull CBBC Feb 2012 B	100,000,000	2011/08/12	2012/02/13	25.00
65603	UBS AG – HSI R Bull CBBC Nov 2011 Y	300,000,000	2011/08/12	2011/11/29	75.00
65605	UBS AG – HSI R Bull CBBC Dec 2011 P	300,000,000	2011/08/12	2011/12/29	75.00
65606	UBS AG – HSI R Bull CBBC Dec 2011 U	300,000,000	2011/08/12	2011/12/29	75.00
65604	UBS AG – HSI R Bull CBBC Dec 2011 W	300,000,000	2011/08/12	2011/12/29	75.00
65607	UBS AG – HSI R Bull CBBC Jan 2012 P	300,000,000	2011/08/12	2012/01/30	75.00
65702	UBS AG – HSI R Bull CBBC Jan 2012 R	300,000,000	2011/08/12	2012/01/30	75.00
65703	UBS AG – HSI R Bull CBBC Jan 2012 S	300,000,000	2011/08/12	2012/01/30	75.00
65706	UBS AG – HSI R Bull CBBC Feb 2012 B	300,000,000	2011/08/12	2012/02/28	75.00
65707	UBS AG – HSI R Bull CBBC Feb 2012 C	300,000,000	2011/08/12	2012/02/28	75.00
65690	UBS AG – HSI R Bull CBBC Mar 2012 B	300,000,000	2011/08/12	2012/03/29	136.50
65710	UBS AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/12	2011/11/29	75.00
65714	UBS AG – HSI R Bear CBBC Nov 2011 U	300,000,000	2011/08/12	2011/11/29	75.00
65715	UBS AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/08/12	2011/12/29	75.00
65693	UBS AG – HSI R Bear CBBC Jan 2012 Q	300,000,000	2011/08/12	2012/01/30	75.00
65718	UBS AG – HSI R Bear CBBC Jan 2012 T	300,000,000	2011/08/12	2012/01/30	75.00
65698	UBS AG – Ping An R Bull CBBC Feb 2012 A	100,000,000	2011/08/12	2012/02/20	25.00
63437 #	UBS AG – HSI R Bull CBBC Oct 2011 A	300,000,000	2011/08/12	2011/10/28	51.30
63438 #	UBS AG – HSI R Bull CBBC Oct 2011 B	300,000,000	2011/08/12	2011/10/28	35.70
64469 #	UBS AG – HSI R Bull CBBC Dec 2011 B	1,200,000,000	2011/08/12	2011/12/29	68.40
65721	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 K	200,000,000	2011/08/15	2012/04/27	60.00
65762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	200,000,000	2011/08/15	2012/04/27	50.00
65763	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 M	200,000,000	2011/08/15	2012/04/27	50.00
65764	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 N	200,000,000	2011/08/15	2012/04/27	50.00
65766	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 O	200,000,000	2011/08/15	2012/04/27	50.00
65767	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 P	200,000,000	2011/08/15	2012/04/27	50.00
65768	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Q	200,000,000	2011/08/15	2012/04/27	50.00
65769	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 R	200,000,000	2011/08/15	2012/04/27	50.00
65771	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 S	200,000,000	2011/08/15	2012/04/27	50.00
65772	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 T	200,000,000	2011/08/15	2012/04/27	50.00
65774	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	200,000,000	2011/08/15	2012/04/27	50.00
65727	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	200,000,000	2011/08/15	2012/02/28	50.00
65858	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 W	200,000,000	2011/08/15	2012/02/28	50.00
65725	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Y	200,000,000	2011/08/15	2012/02/28	50.00
65726	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Z	200,000,000	2011/08/15	2012/02/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65792	Credit Suisse AG – HSI R Bull CBBC Dec 2011 U	300,000,000	2011/08/15	2011/12/29	75.00
65793	Credit Suisse AG – HSI R Bull CBBC Jan 2012 W	300,000,000	2011/08/15	2012/01/30	75.00
65801	Credit Suisse AG – HSI R Bull CBBC Feb 2012 M	300,000,000	2011/08/15	2012/02/28	75.00
65806	Credit Suisse AG – HSI R Bull CBBC Mar 2012 I	300,000,000	2011/08/15	2012/03/29	93.00
65807	Credit Suisse AG – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/08/15	2012/04/27	87.00
65808	Credit Suisse AG – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/08/15	2012/04/27	81.00
65809	Credit Suisse AG – HSI R Bull CBBC Apr 2012 H	300,000,000	2011/08/15	2012/04/27	75.00
65810	Credit Suisse AG – HSI R Bull CBBC Apr 2012 I	300,000,000	2011/08/15	2012/04/27	75.00
65815	Credit Suisse AG – HSI R Bull CBBC May 2012 A	300,000,000	2011/08/15	2012/05/30	90.00
65816	Credit Suisse AG – HSI R Bull CBBC May 2012 B	300,000,000	2011/08/15	2012/05/30	81.00
65783	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/08/15	2011/11/29	75.00
65784	Credit Suisse AG – HSI R Bear CBBC Nov 2011 S	300,000,000	2011/08/15	2011/11/29	75.00
65785	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	300,000,000	2011/08/15	2011/12/29	75.00
65788	Credit Suisse AG – HSI R Bear CBBC Dec 2011 R	300,000,000	2011/08/15	2011/12/29	75.00
65789	Credit Suisse AG – HSI R Bear CBBC Dec 2011 T	300,000,000	2011/08/15	2011/12/29	75.00
65790	Credit Suisse AG – HSI R Bear CBBC Jan 2012 S	300,000,000	2011/08/15	2012/01/30	75.00
65791	Credit Suisse AG – HSI R Bear CBBC Jan 2012 V	300,000,000	2011/08/15	2012/01/30	75.00
65870	HK Bank – A50 R Bull CBBC Jun 2012 C	100,000,000	2011/08/15	2012/06/18	25.00
65869	HK Bank – A50 R Bull CBBC Aug 2012 A	100,000,000	2011/08/15	2012/08/16	25.00
65871	HK Bank – HSI R Bull CBBC Apr 2012 C	100,000,000	2011/08/15	2012/04/27	25.00
65881	HK Bank – HSI R Bear CBBC Apr 2012 D	100,000,000	2011/08/15	2012/04/27	25.00
65882	HK Bank – HSI R Bear CBBC Apr 2012 E	100,000,000	2011/08/15	2012/04/27	25.00
65873	HK Bank – HSI R Bear CBBC May 2012 C	100,000,000	2011/08/15	2012/05/30	25.00
65872	HK Bank – HSI R Bear CBBC Jun 2012 A	100,000,000	2011/08/15	2012/06/28	25.00
65753	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12U	300,000,000	2011/08/15	2012/01/30	75.00
65754	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12V	300,000,000	2011/08/15	2012/01/30	75.00
65756	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12W	300,000,000	2011/08/15	2012/01/30	75.00
65782	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12X	300,000,000	2011/08/15	2012/01/30	75.00
65729	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12A	300,000,000	2011/08/15	2012/03/29	120.00
65734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12B	300,000,000	2011/08/15	2012/03/29	94.50
65736	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12C	300,000,000	2011/08/15	2012/03/29	105.00
65775	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12D	300,000,000	2011/08/15	2012/03/29	132.00
65776	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12E	300,000,000	2011/08/15	2012/03/29	103.50
65777	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12F	300,000,000	2011/08/15	2012/03/29	117.00
65781	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12G	300,000,000	2011/08/15	2012/03/29	109.50
65737	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12A	300,000,000	2011/08/15	2012/02/28	75.00
65740	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12B	300,000,000	2011/08/15	2012/02/28	75.00
65757	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12C	300,000,000	2011/08/15	2012/02/28	75.00
65758	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12D	300,000,000	2011/08/15	2012/02/28	75.00
65759	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12E	300,000,000	2011/08/15	2012/02/28	75.00
65760	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12F	300,000,000	2011/08/15	2012/02/28	75.00
65860	UBS AG – CC Bank R Bull CBBC Feb 2012 A	100,000,000	2011/08/15	2012/02/27	25.00
65868	UBS AG – China Life R Bull CBBC Feb 2012 B	100,000,000	2011/08/15	2012/02/13	48.00
65859	UBS AG – CNOOC R Bull CBBC Feb 2012 B	100,000,000	2011/08/15	2012/02/27	28.50
65864	UBS AG – Sinopec Corp R Bull CBBC Feb 2012 C	100,000,000	2011/08/15	2012/02/27	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65867	UBS AG – A50 China R Bull CBBC Feb 2012 B	100,000,000	2011/08/15	2012/02/27	25.00
65862	UBS AG – HSBC R Bull CBBC Feb 2012 A	100,000,000	2011/08/15	2012/02/20	25.00
65746	UBS AG – HSI R Bull CBBC Nov 2011 A	300,000,000	2011/08/15	2011/11/29	75.00
65749	UBS AG – HSI R Bull CBBC Nov 2011 E	300,000,000	2011/08/15	2011/11/29	75.00
65744	UBS AG – HSI R Bull CBBC Dec 2011 X	300,000,000	2011/08/15	2011/12/29	75.00
65745	UBS AG – HSI R Bull CBBC Jan 2012 U	300,000,000	2011/08/15	2012/01/30	75.00
65837	UBS AG – HSI R Bull CBBC Jan 2012 V	300,000,000	2011/08/15	2012/01/30	75.00
65824	UBS AG – HSI R Bull CBBC Feb 2012 D	300,000,000	2011/08/15	2012/02/28	75.00
65833	UBS AG – HSI R Bull CBBC Feb 2012 E	300,000,000	2011/08/15	2012/02/28	75.00
65838	UBS AG – HSI R Bull CBBC Feb 2012 F	300,000,000	2011/08/15	2012/02/28	75.00
65820	UBS AG – HSI R Bull CBBC Mar 2012 C	300,000,000	2011/08/15	2012/03/29	145.50
65822	UBS AG – HSI R Bull CBBC Mar 2012 D	300,000,000	2011/08/15	2012/03/29	108.00
65831	UBS AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/08/15	2012/03/29	75.00
65819	UBS AG – HSI R Bull CBBC Apr 2012 A	300,000,000	2011/08/15	2012/04/27	165.00
65818	UBS AG – HSI R Bull CBBC May 2012 A	300,000,000	2011/08/15	2012/05/30	75.00
65817	UBS AG – HSI R Bull CBBC Jun 2012 A	300,000,000	2011/08/15	2012/06/28	75.00
65851	UBS AG – HSI R Bear CBBC Nov 2011 R	300,000,000	2011/08/15	2011/11/29	75.00
65857	UBS AG – HSI R Bear CBBC Nov 2011 S	300,000,000	2011/08/15	2011/11/29	75.00
65849	UBS AG – HSI R Bear CBBC Dec 2011 C	300,000,000	2011/08/15	2011/12/29	75.00
65853	UBS AG – HSI R Bear CBBC Dec 2011 D	300,000,000	2011/08/15	2011/12/29	75.00
65847	UBS AG – HSI R Bear CBBC Dec 2011 Y	300,000,000	2011/08/15	2011/12/29	75.00
65844	UBS AG – HSI R Bear CBBC Jan 2012 W	300,000,000	2011/08/15	2012/01/30	75.00
65850	UBS AG – HSI R Bear CBBC Jan 2012 X	300,000,000	2011/08/15	2012/01/30	75.00
65846	UBS AG – HSI R Bear CBBC Feb 2012 G	300,000,000	2011/08/15	2012/02/28	75.00
65964	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 V	200,000,000	2011/08/16	2012/04/27	50.00
65966	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 W	200,000,000	2011/08/16	2012/04/27	50.00
65971	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 X	200,000,000	2011/08/16	2012/02/28	50.00
66024	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 A	200,000,000	2011/08/16	2012/03/29	50.00
66025	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 B	200,000,000	2011/08/16	2012/03/29	50.00
66026	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 C	200,000,000	2011/08/16	2012/03/29	50.00
66027	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 D	200,000,000	2011/08/16	2012/03/29	50.00
65972	Credit Suisse AG – HKEx R Bull CBBC Dec 2011 A	100,000,000	2011/08/16	2011/12/19	25.00
65974	Credit Suisse AG – HKEx R Bear CBBC Dec 2011 B	100,000,000	2011/08/16	2011/12/19	25.00
65918	Credit Suisse AG – HSI R Bull CBBC Jan 2012 B	300,000,000	2011/08/16	2012/01/30	75.00
65919	Credit Suisse AG – HSI R Bull CBBC Jan 2012 C	300,000,000	2011/08/16	2012/01/30	75.00
65920	Credit Suisse AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/08/16	2012/01/30	75.00
65921	Credit Suisse AG – HSI R Bull CBBC Jan 2012 O	300,000,000	2011/08/16	2012/01/30	75.00
65922	Credit Suisse AG – HSI R Bull CBBC Jan 2012 P	300,000,000	2011/08/16	2012/01/30	75.00
65909	Credit Suisse AG – HSI R Bull CBBC Jan 2012 T	300,000,000	2011/08/16	2012/01/30	75.00
65913	Credit Suisse AG – HSI R Bull CBBC Jan 2012 U	300,000,000	2011/08/16	2012/01/30	75.00
65917	Credit Suisse AG – HSI R Bull CBBC Jan 2012 X	300,000,000	2011/08/16	2012/01/30	75.00
65923	Credit Suisse AG – HSI R Bull CBBC Feb 2012 N	300,000,000	2011/08/16	2012/02/28	78.00
65924	Credit Suisse AG – HSI R Bull CBBC Feb 2012 O	300,000,000	2011/08/16	2012/02/28	75.00
65925	Credit Suisse AG – HSI R Bull CBBC Feb 2012 P	300,000,000	2011/08/16	2012/02/28	75.00
65926	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Q	300,000,000	2011/08/16	2012/02/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65928	Credit Suisse AG – HSI R Bull CBBC Feb 2012 R	300,000,000	2011/08/16	2012/02/28	75.00
65936	Credit Suisse AG – HSI R Bull CBBC Feb 2012 S	300,000,000	2011/08/16	2012/02/28	75.00
65939	Credit Suisse AG – HSI R Bull CBBC Feb 2012 T	300,000,000	2011/08/16	2012/02/28	75.00
65940	Credit Suisse AG – HSI R Bull CBBC Feb 2012 U	300,000,000	2011/08/16	2012/02/28	75.00
65941	Credit Suisse AG – HSI R Bull CBBC Feb 2012 V	300,000,000	2011/08/16	2012/02/28	75.00
65944	Credit Suisse AG – HSI R Bull CBBC Mar 2012 J	300,000,000	2011/08/16	2012/03/29	75.00
65945	Credit Suisse AG – HSI R Bull CBBC Mar 2012 K	300,000,000	2011/08/16	2012/03/29	75.00
65947	Credit Suisse AG – HSI R Bull CBBC Mar 2012 L	300,000,000	2011/08/16	2012/03/29	75.00
65948	Credit Suisse AG – HSI R Bull CBBC Mar 2012 M	300,000,000	2011/08/16	2012/03/29	75.00
65949	Credit Suisse AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/08/16	2012/03/29	75.00
65950	Credit Suisse AG – HSI R Bull CBBC Mar 2012 O	300,000,000	2011/08/16	2012/03/29	75.00
65951	Credit Suisse AG – HSI R Bull CBBC Mar 2012 P	300,000,000	2011/08/16	2012/03/29	75.00
65952	Credit Suisse AG – HSI R Bull CBBC Apr 2012 J	300,000,000	2011/08/16	2012/04/27	108.00
65953	Credit Suisse AG – HSI R Bull CBBC Apr 2012 K	300,000,000	2011/08/16	2012/04/27	75.00
65954	Credit Suisse AG – HSI R Bull CBBC Apr 2012 L	300,000,000	2011/08/16	2012/04/27	75.00
65955	Credit Suisse AG – HSI R Bull CBBC May 2012 C	300,000,000	2011/08/16	2012/05/30	75.00
65957	Credit Suisse AG – HSI R Bull CBBC Jun 2012 D	300,000,000	2011/08/16	2012/06/28	96.00
65958	Credit Suisse AG – HSI R Bull CBBC Jun 2012 E	300,000,000	2011/08/16	2012/06/28	84.00
65959	Credit Suisse AG – HSI R Bull CBBC Jun 2012 F	300,000,000	2011/08/16	2012/06/28	75.00
65960	Credit Suisse AG – HSI R Bull CBBC Jun 2012 G	300,000,000	2011/08/16	2012/06/28	75.00
65961	Credit Suisse AG – HSI R Bull CBBC Jun 2012 H	300,000,000	2011/08/16	2012/06/28	75.00
65962	Credit Suisse AG – HSI R Bull CBBC Jun 2012 I	300,000,000	2011/08/16	2012/06/28	75.00
65899	Credit Suisse AG – HSI R Bear CBBC Nov 2011 J	300,000,000	2011/08/16	2011/11/29	75.00
65897	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/16	2011/11/29	75.00
65896	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	300,000,000	2011/08/16	2011/11/29	75.00
65898	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Y	300,000,000	2011/08/16	2011/11/29	75.00
65900	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	300,000,000	2011/08/16	2011/12/29	75.00
65901	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	300,000,000	2011/08/16	2011/12/29	75.00
65902	Credit Suisse AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/08/16	2011/12/29	75.00
65903	Credit Suisse AG – HSI R Bear CBBC Dec 2011 W	300,000,000	2011/08/16	2011/12/29	75.00
65904	Credit Suisse AG – HSI R Bear CBBC Jun 2012 A	300,000,000	2011/08/16	2012/06/28	75.00
65905	Credit Suisse AG – HSI R Bear CBBC Jun 2012 B	300,000,000	2011/08/16	2012/06/28	75.00
65906	Credit Suisse AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2011/08/16	2012/06/28	75.00
65975	Credit Suisse AG – TCH R Bear CBBC Nov 2011 I	100,000,000	2011/08/16	2011/11/28	25.00
65976	Credit Suisse AG – TCH R Bear CBBC Nov 2011 J	100,000,000	2011/08/16	2011/11/28	25.00
65977	Credit Suisse AG – TCH R Bear CBBC Dec 2011 C	100,000,000	2011/08/16	2011/12/19	27.00
65884	HK Bank – HSI R Bull CBBC Jul 2012 A	100,000,000	2011/08/16	2012/07/30	25.00
65883	HK Bank – HSI R Bull CBBC Aug 2012 A	100,000,000	2011/08/16	2012/08/30	25.00
65886	HK Bank – HSI R Bull CBBC Aug 2012 B	100,000,000	2011/08/16	2012/08/30	25.00
65885	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2012 C	200,000,000	2011/08/16	2012/01/30	50.00
66023	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2011 D	80,000,000	2011/08/16	2011/12/16	20.00
66022	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2011 C	80,000,000	2011/08/16	2011/12/16	20.00
65890	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12H	300,000,000	2011/08/16	2012/03/29	75.00
65891	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12I	300,000,000	2011/08/16	2012/03/29	75.00
65887	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12A	300,000,000	2011/08/16	2012/04/27	136.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65889	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12B	300,000,000	2011/08/16	2012/04/27	118.50
65892	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12G	300,000,000	2011/08/16	2012/02/28	75.00
65893	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12H	300,000,000	2011/08/16	2012/02/28	75.00
65894	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12I	300,000,000	2011/08/16	2012/02/28	75.00
65895	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12J	300,000,000	2011/08/16	2012/02/28	79.50
66032	UBS AG – CC Bank R Bull CBBC Feb 2012 B	100,000,000	2011/08/16	2012/02/20	25.00
66033	UBS AG – CNOOC R Bull CBBC Mar 2012 A	100,000,000	2011/08/16	2012/03/19	26.50
66036	UBS AG – A50 China R Bull CBBC Feb 2012 C	100,000,000	2011/08/16	2012/02/20	25.00
66035	UBS AG – HKEx R Bull CBBC Feb 2012 A	100,000,000	2011/08/16	2012/02/27	25.00
66011	UBS AG – HSCEI R Bull CBBC Feb 2012 A	100,000,000	2011/08/16	2012/02/28	25.00
66017	UBS AG – HSI R Bull CBBC Nov 2011 X	300,000,000	2011/08/16	2011/11/29	75.00
66012	UBS AG – HSI R Bull CBBC Dec 2011 K	300,000,000	2011/08/16	2011/12/29	75.00
66020	UBS AG – HSI R Bull CBBC Dec 2011 L	300,000,000	2011/08/16	2011/12/29	75.00
66019	UBS AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/08/16	2012/01/30	75.00
66018	UBS AG – HSI R Bull CBBC Jan 2012 Z	300,000,000	2011/08/16	2012/01/30	75.00
66010	UBS AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/08/16	2012/02/28	75.00
66014	UBS AG – HSI R Bull CBBC Feb 2012 J	300,000,000	2011/08/16	2012/02/28	75.00
66004	UBS AG – HSI R Bull CBBC Mar 2012 I	300,000,000	2011/08/16	2012/03/29	75.00
66005	UBS AG – HSI R Bull CBBC Mar 2012 J	300,000,000	2011/08/16	2012/03/29	75.00
66009	UBS AG – HSI R Bull CBBC Mar 2012 K	300,000,000	2011/08/16	2012/03/29	75.00
66001	UBS AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2011/08/16	2012/04/27	75.00
66003	UBS AG – HSI R Bull CBBC Apr 2012 E	300,000,000	2011/08/16	2012/04/27	75.00
66007	UBS AG – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/08/16	2012/04/27	75.00
66008	UBS AG – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/08/16	2012/04/27	75.00
65999	UBS AG – HSI R Bull CBBC May 2012 B	300,000,000	2011/08/16	2012/05/30	165.00
66000	UBS AG – HSI R Bull CBBC May 2012 C	300,000,000	2011/08/16	2012/05/30	124.50
65986	UBS AG – HSI R Bear CBBC Dec 2011 H	300,000,000	2011/08/16	2011/12/29	75.00
65992	UBS AG – HSI R Bear CBBC Dec 2011 J	300,000,000	2011/08/16	2011/12/29	75.00
65991	UBS AG – HSI R Bear CBBC Jan 2012 Y	300,000,000	2011/08/16	2012/01/30	75.00
65998	UBS AG – HSI R Bear CBBC Feb 2012 H	300,000,000	2011/08/16	2012/02/28	75.00
65981	UBS AG – HSI R Bear CBBC Mar 2012 F	300,000,000	2011/08/16	2012/03/29	75.00
65984	UBS AG – HSI R Bear CBBC Mar 2012 G	300,000,000	2011/08/16	2012/03/29	75.00
65987	UBS AG – HSI R Bear CBBC Mar 2012 H	300,000,000	2011/08/16	2012/03/29	75.00
65978	UBS AG – HSI R Bear CBBC Apr 2012 B	300,000,000	2011/08/16	2012/04/27	75.00
65985	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2011/08/16	2012/04/27	75.00
66044	UBS AG – Hutchison R Bull CBBC Feb 2012 C	100,000,000	2011/08/16	2012/02/20	25.00
66039	UBS AG – ICBC R Bull CBBC Feb 2012 A	100,000,000	2011/08/16	2012/02/13	25.00
64955 #	Credit Suisse AG – HSI R Bull CBBC Mar 2012 A	300,000,000	2011/08/16	2012/03/29	34.20
66092	Credit Suisse AG – ABC R Bull CBBC Mar 2012 A	100,000,000	2011/08/17	2012/03/26	25.00
66096	Credit Suisse AG – AIA R Bull CBBC Dec 2011 A	100,000,000	2011/08/17	2011/12/19	26.00
66119	Credit Suisse AG – AIA R Bear CBBC Dec 2011 B	100,000,000	2011/08/17	2011/12/19	37.00
66091	Credit Suisse AG – CCB R Bull CBBC Jan 2012 A	100,000,000	2011/08/17	2012/01/16	25.00
66097	Credit Suisse AG – CNBM R Bull CBBC Dec 2011 A	100,000,000	2011/08/17	2011/12/19	26.00
66099	Credit Suisse AG – Evergrande R Bull CBBC Jan 2012 A	100,000,000	2011/08/17	2012/01/16	25.00
66111	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Mar 2012 A	100,000,000	2011/08/17	2012/03/12	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66112	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Mar 2012 B	100,000,000	2011/08/17	2012/03/26	25.00
66076	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Q	300,000,000	2011/08/17	2012/01/30	75.00
66077	Credit Suisse AG – HSI R Bull CBBC Jan 2012 R	300,000,000	2011/08/17	2012/01/30	75.00
66078	Credit Suisse AG – HSI R Bull CBBC Feb 2012 W	300,000,000	2011/08/17	2012/02/28	75.00
66082	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Q	300,000,000	2011/08/17	2012/03/29	75.00
66086	Credit Suisse AG – HSI R Bear CBBC Dec 2011 D	300,000,000	2011/08/17	2011/12/29	75.00
66087	Credit Suisse AG – HSI R Bear CBBC Dec 2011 G	300,000,000	2011/08/17	2011/12/29	75.00
66083	Credit Suisse AG – HSI R Bear CBBC Dec 2011 X	300,000,000	2011/08/17	2011/12/29	75.00
66089	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Y	300,000,000	2011/08/17	2011/12/29	75.00
66088	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/08/17	2011/12/29	75.00
66090	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 B	100,000,000	2011/08/17	2011/12/19	25.00
66118	Credit Suisse AG – Hutchison R Bear CBBC Dec 2011 C	100,000,000	2011/08/17	2011/12/19	25.00
66059	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 P	108,000,000	2011/08/17	2011/11/29	27.00
66060	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 Q	128,000,000	2011/08/17	2011/11/29	32.00
66062	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 L	128,000,000	2011/08/17	2011/12/29	32.00
66063	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 M	108,000,000	2011/08/17	2011/12/29	27.00
66064	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 D	188,000,000	2011/08/17	2012/01/30	47.00
66065	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 A	188,000,000	2011/08/17	2012/02/28	47.00
66066	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 A	188,000,000	2011/08/17	2012/03/29	47.00
66068	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 R	128,000,000	2011/08/17	2011/11/29	32.00
66069	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 S	108,000,000	2011/08/17	2011/11/29	27.00
66126	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 U	108,000,000	2011/08/17	2011/11/29	27.00
66071	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 N	108,000,000	2011/08/17	2011/12/29	27.00
66073	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 O	188,000,000	2011/08/17	2011/12/29	47.00
66074	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 P	128,000,000	2011/08/17	2011/12/29	32.00
66075	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 E	188,000,000	2011/08/17	2012/01/30	47.00
66131	RB of Scotland N.V. – HSCEI R Bull CBBC Jan 2012 A	250,000,000	2011/08/17	2012/01/30	62.50
66135	RB of Scotland N.V. – HSI R Bull CBBC Jan 2012 B	250,000,000	2011/08/17	2012/01/30	62.50
66136	RB of Scotland N.V. – HSI R Bull CBBC Jan 2012 C	250,000,000	2011/08/17	2012/01/30	62.50
66132	RB of Scotland N.V. – HSI R Bear CBBC Jan 2012 A	250,000,000	2011/08/17	2012/01/30	62.50
66049	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12J	300,000,000	2011/08/17	2012/03/29	75.00
66056	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12K	300,000,000	2011/08/17	2012/03/29	75.00
66057	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12L	300,000,000	2011/08/17	2012/03/29	75.00
66168	Credit Suisse AG – A50 China R Bull CBBC Dec 2011 A	100,000,000	2011/08/18	2011/12/19	25.00
66175	Credit Suisse AG – A50 China R Bear CBBC Dec 2011 B	100,000,000	2011/08/18	2011/12/19	25.00
66166	Credit Suisse AG – HSBC R Bull CBBC Dec 2011 B	100,000,000	2011/08/18	2011/12/19	25.00
66169	Credit Suisse AG – HSBC R Bear CBBC Dec 2011 C	100,000,000	2011/08/18	2011/12/19	25.00
66160	Credit Suisse AG – HSI R Bull CBBC Mar 2012 R	300,000,000	2011/08/18	2012/03/29	87.00
66161	Credit Suisse AG – HSI R Bull CBBC Mar 2012 S	300,000,000	2011/08/18	2012/03/29	105.00
66163	Credit Suisse AG – HSI R Bull CBBC Jul 2012 A	300,000,000	2011/08/18	2012/07/30	75.00
66165	Credit Suisse AG – HSI R Bull CBBC Jul 2012 B	300,000,000	2011/08/18	2012/07/30	114.00
66177	HK Bank – CNOOC R Bear CBBC Jun 2012 B	80,000,000	2011/08/18	2012/06/18	34.40
66176	HK Bank – HSI R Bear CBBC Mar 2012 B	100,000,000	2011/08/18	2012/03/29	25.00
66148	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 A	200,000,000	2011/08/18	2011/12/29	50.00
66151	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 B	200,000,000	2011/08/18	2011/12/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66152	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 C	200,000,000	2011/08/18	2011/12/29	50.00
66149	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 A	200,000,000	2011/08/18	2012/01/30	50.00
66153	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 D	200,000,000	2011/08/18	2011/12/29	50.00
66154	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 E	200,000,000	2011/08/18	2011/12/29	50.00
66155	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 F	200,000,000	2011/08/18	2011/12/29	50.00
66156	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 G	200,000,000	2011/08/18	2011/12/29	50.00
66159	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 H	200,000,000	2011/08/18	2011/12/29	50.00
66150	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 B	200,000,000	2011/08/18	2012/01/30	65.00
66178	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 F	108,000,000	2011/08/18	2012/01/30	34.56
66179	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 G	188,000,000	2011/08/18	2012/01/30	47.00
66180	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 B	128,000,000	2011/08/18	2012/02/28	38.40
66181	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 H	188,000,000	2011/08/18	2012/01/30	47.00
66182	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 C	128,000,000	2011/08/18	2012/02/28	32.00
66183	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 D	108,000,000	2011/08/18	2012/02/28	33.48
66147	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2012 B	200,000,000	2011/08/18	2012/02/28	50.00
66137	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 A	150,000,000	2011/08/18	2012/02/28	37.50
66138	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 B	150,000,000	2011/08/18	2012/02/28	37.50
66139	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 C	150,000,000	2011/08/18	2012/02/28	37.50
66140	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 D	150,000,000	2011/08/18	2012/02/28	37.50
66141	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 E	150,000,000	2011/08/18	2012/02/28	37.50
66142	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 F	150,000,000	2011/08/18	2012/02/28	37.50
66143	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 G	150,000,000	2011/08/18	2012/02/28	37.50
66144	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 H	150,000,000	2011/08/18	2012/02/28	37.50
66145	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 I	150,000,000	2011/08/18	2012/02/28	37.50
66146	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 J	150,000,000	2011/08/18	2012/02/28	37.50
66186	RB of Scotland N.V. – Anhui Conch R Bull CBBC Jun 2012 A	80,000,000	2011/08/18	2012/06/29	20.00
66188	RB of Scotland N.V. – Ping An R Bull CBBC Jun 2012 A	80,000,000	2011/08/18	2012/06/29	20.00
66205	Credit Suisse AG – HSI R Bear CBBC Nov 2011 C	300,000,000	2011/08/19	2011/11/29	75.00
66203	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 P	150,000,000	2011/08/19	2011/12/29	48.00
66204	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Q	150,000,000	2011/08/19	2011/12/29	37.50
66201	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 N	150,000,000	2011/08/19	2011/12/29	43.50
66202	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 O	150,000,000	2011/08/19	2011/12/29	37.50
66195	HK Bank – China Life R Bull CBBC Jul 2012 A	80,000,000	2011/08/19	2012/07/18	20.00
66193	HK Bank – HKEx R Bull CBBC May 2012 A	80,000,000	2011/08/19	2012/05/18	20.00
66199	HK Bank – HSI R Bull CBBC Jun 2012 B	100,000,000	2011/08/19	2012/06/28	25.00
66200	HK Bank – HSI R Bull CBBC May 2013 A	100,000,000	2011/08/19	2013/05/30	25.00
66191	HK Bank – Hutchison R Bull CBBC Jun 2012 A	80,000,000	2011/08/19	2012/06/18	20.00
66194	HK Bank – ICBC R Bull CBBC Jun 2012 C	80,000,000	2011/08/19	2012/06/28	20.00
66192	HK Bank – SHK Ppt R Bull CBBC Apr 2012 B	80,000,000	2011/08/19	2012/04/18	20.00
66189	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12C	300,000,000	2011/08/19	2012/04/27	123.00
66190	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12D	300,000,000	2011/08/19	2012/04/27	111.00
65838 #	UBS AG – HSI R Bull CBBC Feb 2012 F	300,000,000	2011/08/19	2012/02/28	23.40
66219	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	200,000,000	2011/08/22	2012/04/27	50.00
66220	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/08/22	2012/04/27	50.00
66221	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/08/22	2012/04/27	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66222	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/08/22	2012/04/27	50.00
66215	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 X	200,000,000	2011/08/22	2012/04/27	50.00
66216	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/08/22	2012/04/27	50.00
66218	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/08/22	2012/04/27	50.00
66231	Credit Suisse AG – HSI R Bull CBBC Jan 2012 A	300,000,000	2011/08/22	2012/01/30	75.00
66228	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Y	300,000,000	2011/08/22	2012/01/30	75.00
66227	Credit Suisse AG – HSI R Bear CBBC Nov 2011 A	300,000,000	2011/08/22	2011/11/29	75.00
66226	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 C	150,000,000	2011/08/22	2011/11/29	37.50
66223	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 R	150,000,000	2011/08/22	2011/12/29	37.50
66210	HK Bank – CC Bank R Bull CBBC Aug 2012 A	80,000,000	2011/08/22	2012/08/16	20.00
66209	HK Bank – CNOOC R Bull CBBC Jun 2012 C	80,000,000	2011/08/22	2012/06/28	20.00
66214	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12C	300,000,000	2011/08/22	2012/01/30	75.00
66212	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Y	300,000,000	2011/08/22	2012/01/30	75.00
66213	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Z	300,000,000	2011/08/22	2012/01/30	75.00
65693 #	UBS AG – HSI R Bear CBBC Jan 2012 Q	300,000,000	2011/08/22	2012/01/30	22.80
66238	Credit Suisse AG – AIA R Bear CBBC Dec 2011 C	100,000,000	2011/08/23	2011/12/19	47.00
66239	Credit Suisse AG – HSI R Bull CBBC Jan 2012 G	300,000,000	2011/08/23	2012/01/30	75.00
66234	Credit Suisse AG – HSI R Bull CBBC Feb 2012 X	300,000,000	2011/08/23	2012/02/28	75.00
66235	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Y	300,000,000	2011/08/23	2012/02/28	75.00
66236	Credit Suisse AG – HSI R Bull CBBC Mar 2012 T	300,000,000	2011/08/23	2012/03/29	75.00
66232	Credit Suisse AG – HSI R Bear CBBC Nov 2011 B	300,000,000	2011/08/23	2011/11/29	75.00
66237	Credit Suisse AG – TCH R Bull CBBC Dec 2011 D	100,000,000	2011/08/23	2011/12/19	25.00
66017 #	UBS AG – HSI R Bull CBBC Nov 2011 X	300,000,000	2011/08/23	2011/11/29	29.70
66019 #	UBS AG – HSI R Bull CBBC Jan 2012 D	300,000,000	2011/08/23	2012/01/30	17.10
66249	Credit Suisse AG – HSI R Bull CBBC Jan 2012 E	300,000,000	2011/08/24	2012/01/30	75.00
66251	Credit Suisse AG – HSI R Bull CBBC Feb 2012 H	300,000,000	2011/08/24	2012/02/28	75.00
66250	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Z	300,000,000	2011/08/24	2012/02/28	75.00
66255	Credit Suisse AG – HSI R Bull CBBC May 2012 D	300,000,000	2011/08/24	2012/05/30	111.00
66256	Credit Suisse AG – HSI R Bull CBBC May 2012 E	300,000,000	2011/08/24	2012/05/30	75.00
66244	Credit Suisse AG – HSI R Bear CBBC Dec 2011 C	300,000,000	2011/08/24	2011/12/29	75.00
66245	Credit Suisse AG – HSI R Bear CBBC Dec 2011 H	300,000,000	2011/08/24	2011/12/29	87.00
66246	Credit Suisse AG – HSI R Bear CBBC Dec 2011 K	300,000,000	2011/08/24	2011/12/29	75.00
66247	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Z	300,000,000	2011/08/24	2012/01/30	81.00
66241	HK Bank – Sinopec Corp R Bull CBBC Jul 2012 A	80,000,000	2011/08/24	2012/07/18	20.00
66243	HK Bank – HSI R Bull CBBC May 2012 D	100,000,000	2011/08/24	2012/05/30	25.00
66242	HK Bank – PetCh R Bull CBBC Jun 2012 A	80,000,000	2011/08/24	2012/06/28	20.00
66309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 E	200,000,000	2011/08/25	2012/03/29	50.00
66310	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 F	200,000,000	2011/08/25	2012/03/29	50.00
66311	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 G	200,000,000	2011/08/25	2012/03/29	50.00
66312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 H	200,000,000	2011/08/25	2012/03/29	50.00
66308	Credit Suisse AG – HSI R Bull CBBC Feb 2012 D	300,000,000	2011/08/25	2012/02/28	75.00
66307	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/08/25	2011/11/29	75.00
66306	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	300,000,000	2011/08/25	2011/11/29	75.00
66299	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 F	150,000,000	2011/08/25	2011/11/29	43.50
66301	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 I	150,000,000	2011/08/25	2011/11/29	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66305	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 J	150,000,000	2011/08/25	2011/11/29	37.50
66302	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 M	150,000,000	2011/08/25	2011/11/29	40.50
66260	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 D	100,000,000	2011/08/25	2012/02/28	26.50
66263	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 E	100,000,000	2011/08/25	2012/02/28	26.00
66264	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 F	100,000,000	2011/08/25	2012/02/28	25.50
66265	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 G	100,000,000	2011/08/25	2012/02/28	25.00
66266	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 H	100,000,000	2011/08/25	2012/02/28	25.00
66267	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 I	100,000,000	2011/08/25	2012/02/28	25.50
66268	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 J	100,000,000	2011/08/25	2012/02/28	26.00
66269	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 K	100,000,000	2011/08/25	2012/02/28	26.50
66270	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2012 L	100,000,000	2011/08/25	2012/02/28	27.00
66273	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar 12 A	150,000,000	2011/08/25	2012/03/26	37.50
66274	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar 12 B	150,000,000	2011/08/25	2012/03/26	37.50
66275	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar 12 C	150,000,000	2011/08/25	2012/03/26	37.50
66276	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Mar 12D	150,000,000	2011/08/25	2012/03/26	37.50
66278	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Mar 12E	150,000,000	2011/08/25	2012/03/26	37.50
66293	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 A	300,000,000	2011/08/25	2012/01/30	75.00
66294	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 B	300,000,000	2011/08/25	2012/01/30	75.00
66295	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12 D	300,000,000	2011/08/25	2012/01/30	75.00
66296	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12 K	300,000,000	2011/08/25	2012/02/28	75.00
66298	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12 L	300,000,000	2011/08/25	2012/02/28	75.00
66289	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Mar 12 A	150,000,000	2011/08/25	2012/03/26	37.50
66291	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Mar 12 B	150,000,000	2011/08/25	2012/03/26	37.50
66333	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 I	200,000,000	2011/08/26	2012/03/29	50.00
66337	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 J	200,000,000	2011/08/26	2012/03/29	50.00
66338	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 K	200,000,000	2011/08/26	2012/03/29	50.00
66339	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 L	200,000,000	2011/08/26	2012/03/29	50.00
66340	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 M	200,000,000	2011/08/26	2012/03/29	50.00
66343	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 N	200,000,000	2011/08/26	2012/03/29	50.00
66344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 O	200,000,000	2011/08/26	2012/03/29	50.00
66323	Credit Suisse AG – A50 China R Bull CBBC Jan 2012 A	100,000,000	2011/08/26	2012/01/16	25.00
66322	Credit Suisse AG – HKEx R Bull CBBC Jan 2012 B	100,000,000	2011/08/26	2012/01/16	25.00
66321	Credit Suisse AG – HKEx R Bear CBBC Jan 2012 A	100,000,000	2011/08/26	2012/01/16	25.00
66320	Credit Suisse AG – HSI R Bull CBBC Jan 2012 F	300,000,000	2011/08/26	2012/01/30	75.00
66319	Credit Suisse AG – HSI R Bull CBBC Feb 2012 G	300,000,000	2011/08/26	2012/02/28	75.00
66328	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Y	300,000,000	2011/08/26	2011/11/29	75.00
66317	Credit Suisse AG – HSI R Bear CBBC Dec 2011 B	300,000,000	2011/08/26	2011/12/29	75.00
66327	Credit Suisse AG – HSI R Bear CBBC Dec 2011 N	300,000,000	2011/08/26	2011/12/29	75.00
66316	Credit Suisse AG – HSI R Bear CBBC Dec 2011 P	300,000,000	2011/08/26	2011/12/29	75.00
66324	Credit Suisse AG – TCH R Bull CBBC Jan 2012 A	100,000,000	2011/08/26	2012/01/16	25.00
66315	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 N	150,000,000	2011/08/26	2011/11/29	37.50
66314	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 S	150,000,000	2011/08/26	2011/12/29	37.50
66329	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 V	108,000,000	2011/08/26	2011/11/29	27.00
66330	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 W	108,000,000	2011/08/26	2011/11/29	27.00
66331	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 Q	158,000,000	2011/08/26	2011/12/29	39.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66332	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 R	128,000,000	2011/08/26	2011/12/29	32.00
66313	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12M	300,000,000	2011/08/26	2012/02/28	75.00
66325	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12N	300,000,000	2011/08/26	2012/02/28	75.00
66326	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12O	300,000,000	2011/08/26	2012/02/28	75.00
66363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	200,000,000	2011/08/29	2012/04/27	50.00
66364	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 C	200,000,000	2011/08/29	2012/04/27	50.00
66365	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	200,000,000	2011/08/29	2012/04/27	50.00
66366	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	200,000,000	2011/08/29	2012/04/27	50.00
66362	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 F	200,000,000	2011/08/29	2012/04/27	50.00
66367	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/08/29	2012/04/27	50.00
66368	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/08/29	2012/04/27	50.00
66359	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 J	200,000,000	2011/08/29	2012/04/27	58.00
66353	Credit Suisse AG – HKE X R Bear CBBC Jan 2012 C	100,000,000	2011/08/29	2012/01/16	25.00
66351	Credit Suisse AG – HSI R Bull CBBC Feb 2012 A	300,000,000	2011/08/29	2012/02/28	75.00
66346	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	300,000,000	2011/08/29	2011/11/29	75.00
66350	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	300,000,000	2011/08/29	2011/12/29	75.00
66355	Credit Suisse AG – HSI R Bear CBBC Dec 2011 J	300,000,000	2011/08/29	2011/12/29	75.00
66354	Credit Suisse AG – TCH R Bull CBBC Jan 2012 C	100,000,000	2011/08/29	2012/01/16	25.00
66352	Credit Suisse AG – TCH R Bear CBBC Jan 2012 B	100,000,000	2011/08/29	2012/01/16	25.00
66410	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 P	200,000,000	2011/08/30	2012/03/29	50.00
66420	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Q	200,000,000	2011/08/30	2012/03/29	50.00
66423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 R	200,000,000	2011/08/30	2012/03/29	50.00
66406	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/08/30	2012/04/27	50.00
66407	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 X	200,000,000	2011/08/30	2012/04/27	50.00
66408	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/08/30	2012/04/27	50.00
66409	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/08/30	2012/04/27	50.00
66398	Credit Suisse AG – HSI R Bull CBBC Jan 2012 H	300,000,000	2011/08/30	2012/01/30	75.00
66403	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	300,000,000	2011/08/30	2012/01/30	75.00
66404	Credit Suisse AG – HSI R Bull CBBC Feb 2012 B	300,000,000	2011/08/30	2012/02/28	75.00
66399	Credit Suisse AG – HSI R Bull CBBC Mar 2012 U	300,000,000	2011/08/30	2012/03/29	75.00
66402	Credit Suisse AG – HSI R Bear CBBC Dec 2011 U	300,000,000	2011/08/30	2011/12/29	75.00
66401	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 U	150,000,000	2011/08/30	2011/12/29	37.50
66400	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 T	150,000,000	2011/08/30	2011/12/29	37.50
66369	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 K	150,000,000	2011/08/30	2012/02/28	37.50
66370	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 L	150,000,000	2011/08/30	2012/02/28	37.50
66386	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12E	300,000,000	2011/08/30	2012/01/30	75.00
66387	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12F	300,000,000	2011/08/30	2012/01/30	75.00
66388	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12G	300,000,000	2011/08/30	2012/01/30	75.00
66371	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12M	300,000,000	2011/08/30	2012/03/29	88.50
66372	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12N	300,000,000	2011/08/30	2012/03/29	81.00
66373	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12O	300,000,000	2011/08/30	2012/03/29	75.00
66375	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12P	300,000,000	2011/08/30	2012/03/29	75.00
66382	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Q	300,000,000	2011/08/30	2012/03/29	75.00
66383	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12R	300,000,000	2011/08/30	2012/03/29	75.00
66384	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12S	300,000,000	2011/08/30	2012/03/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66389	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12P	300,000,000	2011/08/30	2012/02/28	75.00
66390	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Q	300,000,000	2011/08/30	2012/02/28	75.00
66391	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12R	300,000,000	2011/08/30	2012/02/28	75.00
66392	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12S	300,000,000	2011/08/30	2012/02/28	75.00
66393	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12T	300,000,000	2011/08/30	2012/02/28	75.00
66394	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12U	300,000,000	2011/08/30	2012/02/28	75.00
66395	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12V	300,000,000	2011/08/30	2012/02/28	75.00
66396	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12W	300,000,000	2011/08/30	2012/02/28	75.00
66397	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12X	300,000,000	2011/08/30	2012/02/28	75.00
65984 #	UBS AG – HSI R Bear CBBC Mar 2012 G	300,000,000	2011/08/30	2012/03/29	31.50
66437	Credit Suisse AG – HSBC R Bull CBBC Jan 2012 A	100,000,000	2011/08/31	2012/01/16	25.00
66438	Credit Suisse AG – HSI R Bull CBBC Mar 2012 V	300,000,000	2011/08/31	2012/03/29	75.00
66425	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12H	300,000,000	2011/08/31	2012/01/30	75.00
66427	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12I	300,000,000	2011/08/31	2012/01/30	75.00
66428	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12P	300,000,000	2011/08/31	2012/01/30	75.00
66429	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Q	300,000,000	2011/08/31	2012/01/30	75.00
66433	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12G	300,000,000	2011/08/31	2012/02/28	85.50
66424	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Y	300,000,000	2011/08/31	2012/02/28	78.00
66430	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Z	300,000,000	2011/08/31	2012/02/28	76.50
66439	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 V	150,000,000	2011/09/01	2011/12/29	37.50
66441	Credit Suisse AG – HSI R Bull CBBC Mar 2012 W	300,000,000	2011/09/02	2012/03/29	75.00
66440	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	300,000,000	2011/09/02	2011/12/29	75.00
66451	Credit Suisse AG – China Mobile R Bull CBBC Dec 2011 B	100,000,000	2011/09/05	2011/12/19	25.00
66458	Credit Suisse AG – China Mobile R Bear CBBC Dec 2011 C	100,000,000	2011/09/05	2011/12/19	25.00
66456	Credit Suisse AG – China Life R Bull CBBC Dec 2011 A	100,000,000	2011/09/05	2011/12/19	36.00
66460	Credit Suisse AG – China Life R Bear CBBC Dec 2011 B	100,000,000	2011/09/05	2011/12/19	56.00
66462	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	300,000,000	2011/09/05	2011/12/29	75.00
66448	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 D	100,000,000	2011/09/05	2011/12/19	25.00
66457	Credit Suisse AG – Hutchison R Bear CBBC Dec 2011 E	100,000,000	2011/09/05	2011/12/19	25.00
66461	Credit Suisse AG – TCH R Bull CBBC Jan 2012 D	100,000,000	2011/09/05	2012/01/16	25.00
66463	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 I	128,000,000	2011/09/05	2012/01/30	32.00
66442	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2012 A	388,000,000	2011/09/05	2012/03/29	97.39
66445	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2012 B	388,000,000	2011/09/05	2012/03/29	97.39
66446	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12H	300,000,000	2011/09/05	2012/02/28	75.00
66447	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12I	300,000,000	2011/09/05	2012/02/28	99.00
65710 #	UBS AG – HSI R Bear CBBC Nov 2011 P	300,000,000	2011/09/05	2011/11/29	24.30
66482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 S	200,000,000	2011/09/06	2012/03/29	50.00
66483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 T	200,000,000	2011/09/06	2012/03/29	50.00
66484	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 U	200,000,000	2011/09/06	2012/03/29	50.00
66477	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/09/06	2012/01/30	75.00
66478	Credit Suisse AG – HSI R Bull CBBC Feb 2012 C	300,000,000	2011/09/06	2012/02/28	75.00
66481	Credit Suisse AG – HSI R Bull CBBC Mar 2012 X	300,000,000	2011/09/06	2012/03/29	75.00
66474	Credit Suisse AG – HSI R Bear CBBC Dec 2011 D	300,000,000	2011/09/06	2011/12/29	75.00
66473	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	300,000,000	2011/09/06	2011/12/29	75.00
66465	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 W	150,000,000	2011/09/06	2011/12/29	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66466	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 X	150,000,000	2011/09/06	2011/12/29	42.00
66467	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 Y	150,000,000	2011/09/06	2011/12/29	37.50
66468	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12R	300,000,000	2011/09/06	2012/01/30	75.00
66469	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12S	300,000,000	2011/09/06	2012/01/30	75.00
66470	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12U	300,000,000	2011/09/06	2012/01/30	75.00
66471	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12V	300,000,000	2011/09/06	2012/01/30	75.00
66472	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12W	300,000,000	2011/09/06	2012/01/30	75.00
66464	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Mar 12A	100,000,000	2011/09/06	2012/03/26	28.00
65336 #	HK Bank – HSI R Bear CBBC Feb 2012 C	150,000,000	2011/09/06	2012/02/28	27.60
66499	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 V	200,000,000	2011/09/07	2012/03/29	50.00
66500	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 W	200,000,000	2011/09/07	2012/03/29	50.00
66494	Credit Suisse AG – CNOOC R Bull CBBC Jan 2012 A	100,000,000	2011/09/07	2012/01/16	30.00
66498	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	300,000,000	2011/09/07	2012/01/30	75.00
66495	Credit Suisse AG – HSI R Bull CBBC Feb 2012 E	300,000,000	2011/09/07	2012/02/28	75.00
66496	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/09/07	2012/03/29	75.00
66497	Credit Suisse AG – HSI R Bear CBBC Dec 2011 G	300,000,000	2011/09/07	2011/12/29	75.00
66491	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Z	150,000,000	2011/09/07	2011/12/29	37.50
66493	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 E	150,000,000	2011/09/07	2011/12/29	37.50
66490	HK Bank – ABC R Bull CBBC Aug 2012 A	80,000,000	2011/09/07	2012/08/28	20.00
66489	HK Bank – Hutchison R Bull CBBC Jun 2012 B	80,000,000	2011/09/07	2012/06/28	20.00
66501	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 E	188,000,000	2011/09/07	2012/02/28	47.00
66486	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 A	150,000,000	2011/09/07	2012/03/29	37.50
66488	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 B	150,000,000	2011/09/07	2012/03/29	37.50
66485	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12J	300,000,000	2011/09/07	2012/02/28	75.00
66012 #	UBS AG – HSI R Bull CBBC Dec 2011 K	300,000,000	2011/09/07	2011/12/29	33.90
66523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 X	200,000,000	2011/09/08	2012/03/29	50.00
66524	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Y	200,000,000	2011/09/08	2012/03/29	50.00
66525	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Z	200,000,000	2011/09/08	2012/03/29	50.00
66522	Credit Suisse AG – AIA R Bull CBBC Jan 2012 A	100,000,000	2011/09/08	2012/01/16	35.00
66514	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/09/08	2012/01/30	75.00
66515	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Z	300,000,000	2011/09/08	2012/03/29	75.00
66520	Credit Suisse AG – HSI R Bull CBBC Apr 2012 M	300,000,000	2011/09/08	2012/04/27	75.00
66512	Credit Suisse AG – HSI R Bear CBBC Dec 2011 V	300,000,000	2011/09/08	2011/12/29	75.00
66513	Credit Suisse AG – HSI R Bear CBBC Dec 2011 X	300,000,000	2011/09/08	2011/12/29	75.00
66521	Credit Suisse AG – TCH R Bull CBBC Jan 2012 E	100,000,000	2011/09/08	2012/01/16	25.00
66507	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12B	300,000,000	2011/09/08	2012/01/30	75.00
66503	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12C	300,000,000	2011/09/08	2012/01/30	75.00
66502	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12X	300,000,000	2011/09/08	2012/01/30	75.00
66504	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Y	300,000,000	2011/09/08	2012/01/30	75.00
66506	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Z	300,000,000	2011/09/08	2012/01/30	75.00
66528	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12K	300,000,000	2011/09/08	2012/02/28	75.00
66529	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12M	300,000,000	2011/09/08	2012/02/28	75.00
66508	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12N	300,000,000	2011/09/08	2012/02/28	75.00
66526	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12O	300,000,000	2011/09/08	2012/02/28	75.00
66147 #	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2012 B	200,000,000	2011/09/08	2012/02/28	29.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66557	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 A	200,000,000	2011/09/09	2012/01/30	50.00
66559	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 B	200,000,000	2011/09/09	2012/01/30	50.00
66563	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 C	200,000,000	2011/09/09	2012/01/30	50.00
66564	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 D	200,000,000	2011/09/09	2012/01/30	50.00
66566	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 E	200,000,000	2011/09/09	2012/01/30	50.00
66567	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 F	200,000,000	2011/09/09	2012/01/30	50.00
66550	Credit Suisse AG – HSI R Bear CBBC Dec 2011 B	300,000,000	2011/09/09	2011/12/29	75.00
66549	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Z	300,000,000	2011/09/09	2011/12/29	75.00
66556	Credit Suisse AG – HSI R Bear CBBC Jan 2012 M	300,000,000	2011/09/09	2012/01/30	75.00
66541	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 C	200,000,000	2011/09/09	2012/01/30	50.00
66543	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 D	200,000,000	2011/09/09	2012/01/30	50.00
66544	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 E	200,000,000	2011/09/09	2012/01/30	50.00
66546	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 F	200,000,000	2011/09/09	2012/01/30	50.00
66547	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 G	200,000,000	2011/09/09	2012/01/30	50.00
66568	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 J	108,000,000	2011/09/09	2012/01/30	27.00
66569	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 F	128,000,000	2011/09/09	2012/02/28	32.00
66530	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 A	100,000,000	2011/09/09	2012/03/29	25.00
66533	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 B	100,000,000	2011/09/09	2012/03/29	25.00
66551	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12P	300,000,000	2011/09/09	2012/02/28	75.00
66553	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12Q	300,000,000	2011/09/09	2012/02/28	75.00
66575	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 G	200,000,000	2011/09/12	2012/01/30	50.00
66576	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 H	200,000,000	2011/09/12	2012/01/30	50.00
66577	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 I	200,000,000	2011/09/12	2012/01/30	50.00
66600	Credit Suisse AG – ABC R Bull CBBC May 2012 A	100,000,000	2011/09/12	2012/05/14	25.00
66578	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	300,000,000	2011/09/12	2012/04/27	75.00
66595	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	400,000,000	2011/09/12	2012/04/27	100.00
66594	Credit Suisse AG – HSI R Bear CBBC Dec 2011 N	400,000,000	2011/09/12	2011/12/29	100.00
66579	Credit Suisse AG – HSI R Bear CBBC Jan 2012 N	300,000,000	2011/09/12	2012/01/30	75.00
66587	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 M	150,000,000	2011/09/12	2011/12/29	37.50
66584	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 F	150,000,000	2011/09/12	2011/12/29	42.00
66582	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 I	150,000,000	2011/09/12	2011/12/29	37.50
66585	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 L	150,000,000	2011/09/12	2011/12/29	37.50
66588	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 K	108,000,000	2011/09/12	2012/01/30	27.00
66591	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 B	158,000,000	2011/09/12	2012/03/29	39.50
66570	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12D	300,000,000	2011/09/12	2012/01/30	75.00
66580	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12I	300,000,000	2011/09/12	2012/01/30	75.00
66574	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12L	300,000,000	2011/09/12	2012/02/28	75.00
66571	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12R	300,000,000	2011/09/12	2012/02/28	75.00
66572	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12S	300,000,000	2011/09/12	2012/02/28	75.00
66573	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12T	300,000,000	2011/09/12	2012/02/28	75.00
66631	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 J	200,000,000	2011/09/14	2012/01/30	50.00
66633	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 K	200,000,000	2011/09/14	2012/01/30	50.00
66634	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 L	200,000,000	2011/09/14	2012/01/30	50.00
66666	Credit Suisse AG – HSI R Bull CBBC Mar 2012 B	400,000,000	2011/09/14	2012/03/29	100.00
66664	Credit Suisse AG – HSI R Bull CBBC Mar 2012 D	400,000,000	2011/09/14	2012/03/29	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66665	Credit Suisse AG – HSI R Bull CBBC Apr 2012 P	400,000,000	2011/09/14	2012/04/27	100.00
66657	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	400,000,000	2011/09/14	2011/12/29	100.00
66661	Credit Suisse AG – HSI R Bear CBBC Jan 2012 O	400,000,000	2011/09/14	2012/01/30	100.00
66658	Credit Suisse AG – HSI R Bear CBBC Jan 2012 W	400,000,000	2011/09/14	2012/01/30	100.00
66643	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 D	150,000,000	2011/09/14	2011/12/29	60.00
66644	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 G	150,000,000	2011/09/14	2011/12/29	46.50
66648	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 S	150,000,000	2011/09/14	2011/12/29	37.50
66637	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 B	150,000,000	2011/09/14	2011/12/29	37.50
66638	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 C	150,000,000	2011/09/14	2011/12/29	40.50
66602	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 C	100,000,000	2011/09/14	2012/03/29	25.50
66603	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 D	100,000,000	2011/09/14	2012/03/29	25.00
66608	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 E	100,000,000	2011/09/14	2012/03/29	26.50
66609	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 F	100,000,000	2011/09/14	2012/03/29	26.00
66611	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 G	100,000,000	2011/09/14	2012/03/29	25.00
66615	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 H	100,000,000	2011/09/14	2012/03/29	25.50
66617	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 I	100,000,000	2011/09/14	2012/03/29	26.00
66618	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 C	150,000,000	2011/09/14	2012/03/29	37.50
66620	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 D	150,000,000	2011/09/14	2012/03/29	37.50
66621	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 E	150,000,000	2011/09/14	2012/03/29	37.50
66622	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 F	150,000,000	2011/09/14	2012/03/29	37.50
66668	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12F	300,000,000	2011/09/14	2012/01/30	75.00
66629	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12G	300,000,000	2011/09/14	2012/01/30	75.00
66625	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12P	300,000,000	2011/09/14	2012/01/30	75.00
66627	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12Q	300,000,000	2011/09/14	2012/01/30	75.00
66649	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12E	300,000,000	2011/09/14	2012/04/27	112.50
66650	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12F	300,000,000	2011/09/14	2012/04/27	90.00
66651	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12G	300,000,000	2011/09/14	2012/04/27	105.00
66652	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12H	300,000,000	2011/09/14	2012/04/27	100.50
66654	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12I	300,000,000	2011/09/14	2012/04/27	81.00
66655	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12A	300,000,000	2011/09/14	2012/02/28	75.00
66656	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12B	300,000,000	2011/09/14	2012/02/28	75.00
66630	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12U	300,000,000	2011/09/14	2012/02/28	75.00
66678	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 A	200,000,000	2011/09/15	2012/05/30	50.00
66686	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 B	200,000,000	2011/09/15	2012/05/30	50.00
66690	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 C	200,000,000	2011/09/15	2012/05/30	50.00
66696	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 D	200,000,000	2011/09/15	2012/05/30	50.00
66697	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 E	200,000,000	2011/09/15	2012/05/30	50.00
66703	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 F	200,000,000	2011/09/15	2012/05/30	50.00
66704	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 G	200,000,000	2011/09/15	2012/05/30	50.00
66672	Credit Suisse AG – HSI R Bull CBBC Feb 2012 F	400,000,000	2011/09/15	2012/02/28	100.00
66673	Credit Suisse AG – HSI R Bull CBBC Mar 2012 C	400,000,000	2011/09/15	2012/03/29	100.00
66675	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Q	400,000,000	2011/09/15	2012/04/27	100.00
66671	Credit Suisse AG – HSI R Bear CBBC Dec 2011 J	400,000,000	2011/09/15	2011/12/29	100.00
66677	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 T	150,000,000	2011/09/15	2011/12/29	37.50
66670	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12H	300,000,000	2011/09/15	2012/01/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66674	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12R	300,000,000	2011/09/15	2012/01/30	75.00
66669	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12V	300,000,000	2011/09/15	2012/02/28	75.00
66706	Credit Suisse AG – HSI R Bull CBBC May 2012 F	400,000,000	2011/09/16	2012/05/30	100.00
66705	Credit Suisse AG – HSI R Bear CBBC Dec 2011 P	400,000,000	2011/09/16	2011/12/29	100.00
66709	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 12S	300,000,000	2011/09/16	2012/01/30	75.00
66712	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 L	128,000,000	2011/09/19	2012/01/30	32.00
66745	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 E	200,000,000	2011/09/20	2012/02/28	50.00
66747	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 X	200,000,000	2011/09/20	2012/02/28	50.00
66748	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Y	200,000,000	2011/09/20	2012/02/28	50.00
66774	Credit Suisse AG – HSI R Bull CBBC Mar 2012 P	400,000,000	2011/09/20	2012/03/29	100.00
66775	Credit Suisse AG – HSI R Bull CBBC Apr 2012 R	400,000,000	2011/09/20	2012/04/27	100.00
66778	Credit Suisse AG – HSI R Bull CBBC Apr 2012 S	400,000,000	2011/09/20	2012/04/27	100.00
66733	Credit Suisse AG – HSI R Bear CBBC Dec 2011 C	400,000,000	2011/09/20	2011/12/29	100.00
66731	Credit Suisse AG – HSI R Bear CBBC Dec 2011 U	400,000,000	2011/09/20	2011/12/29	100.00
66765	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Y	400,000,000	2011/09/20	2011/12/29	100.00
66758	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 C	150,000,000	2011/09/20	2012/01/30	37.50
66752	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 A	150,000,000	2011/09/20	2012/01/30	40.50
66756	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 B	150,000,000	2011/09/20	2012/01/30	37.50
66714	HK Bank – China Mobile R Bull CBBC Jul 2012 A	80,000,000	2011/09/20	2012/07/18	20.00
66718	HK Bank – China Mobile R Bear CBBC Apr 2012 A	80,000,000	2011/09/20	2012/04/18	20.00
66724	HK Bank – Cheung Kong R Bull CBBC Jun 2012 C	80,000,000	2011/09/20	2012/06/28	20.00
66717	HK Bank – China Life R Bull CBBC Jul 2012 B	80,000,000	2011/09/20	2012/07/16	20.00
66720	HK Bank – China Life R Bear CBBC Jul 2012 C	80,000,000	2011/09/20	2012/07/16	20.00
66713	HK Bank – TCH R Bull CBBC Jun 2012 A	80,000,000	2011/09/20	2012/06/28	23.20
66763	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 M	108,000,000	2011/09/20	2012/01/30	27.00
66764	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 G	128,000,000	2011/09/20	2012/02/28	32.00
66725	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 G	150,000,000	2011/09/20	2012/03/29	37.50
66727	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 H	150,000,000	2011/09/20	2012/03/29	37.50
66738	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12T	300,000,000	2011/09/20	2012/03/29	75.00
66734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12J	300,000,000	2011/09/20	2012/04/27	118.50
66735	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12K	300,000,000	2011/09/20	2012/04/27	93.00
66784	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12L	300,000,000	2011/09/20	2012/04/27	130.50
66785	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12M	300,000,000	2011/09/20	2012/04/27	123.00
66787	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12B	300,000,000	2011/09/20	2012/01/30	75.00
66739	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12U	300,000,000	2011/09/20	2012/01/30	75.00
66744	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12V	300,000,000	2011/09/20	2012/01/30	75.00
66786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12W	300,000,000	2011/09/20	2012/01/30	75.00
66821	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 H	200,000,000	2011/09/21	2012/05/30	50.00
66822	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 I	200,000,000	2011/09/21	2012/05/30	50.00
66823	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 J	200,000,000	2011/09/21	2012/05/30	50.00
66818	Credit Suisse AG – HSI R Bull CBBC Apr 2012 T	400,000,000	2011/09/21	2012/04/27	100.00
66820	Credit Suisse AG – HSI R Bull CBBC Apr 2012 U	400,000,000	2011/09/21	2012/04/27	100.00
66810	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	400,000,000	2011/09/21	2011/12/29	100.00
66811	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	400,000,000	2011/09/21	2011/12/29	100.00
66817	Credit Suisse AG – HSI R Bear CBBC Jan 2012 C	400,000,000	2011/09/21	2012/01/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66812	Credit Suisse AG – HSI R Bear CBBC Jan 2012 P	400,000,000	2011/09/21	2012/01/30	100.00
66809	Credit Suisse AG – TCH R Bear CBBC Feb 2012 A	100,000,000	2011/09/21	2012/02/13	25.00
66805	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 E	150,000,000	2011/09/21	2012/01/30	37.50
66807	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 F	150,000,000	2011/09/21	2012/01/30	52.50
66800	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 D	150,000,000	2011/09/21	2012/01/30	37.50
66808	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2012 C	300,000,000	2011/09/21	2012/03/29	75.00
66788	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 J	100,000,000	2011/09/21	2012/03/29	26.00
66789	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 K	100,000,000	2011/09/21	2012/03/29	25.50
66790	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 L	100,000,000	2011/09/21	2012/03/29	25.00
66793	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 M	100,000,000	2011/09/21	2012/03/29	25.00
66798	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 N	100,000,000	2011/09/21	2012/03/29	25.50
66008 #	UBS AG – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/09/21	2012/04/27	31.50
66836	Credit Suisse AG – HSI R Bull CBBC Mar 2012 O	400,000,000	2011/09/22	2012/03/29	100.00
66832	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 K	200,000,000	2011/09/22	2012/01/30	50.00
66833	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 L	200,000,000	2011/09/22	2012/01/30	50.00
66827	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 H	200,000,000	2011/09/22	2012/01/30	50.00
66828	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 I	200,000,000	2011/09/22	2012/01/30	50.00
66829	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 J	200,000,000	2011/09/22	2012/01/30	50.00
66835	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2012 D	300,000,000	2011/09/22	2012/03/29	75.00
66824	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12U	300,000,000	2011/09/22	2012/03/29	75.00
66825	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12V	300,000,000	2011/09/22	2012/03/29	75.00
66826	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12W	300,000,000	2011/09/22	2012/03/29	75.00
66878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 K	200,000,000	2011/09/23	2012/05/30	50.00
66879	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	200,000,000	2011/09/23	2012/05/30	50.00
66880	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	200,000,000	2011/09/23	2012/05/30	50.00
66881	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 N	200,000,000	2011/09/23	2012/05/30	50.00
66872	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Q	400,000,000	2011/09/23	2012/03/29	100.00
66874	Credit Suisse AG – HSI R Bull CBBC Mar 2012 T	400,000,000	2011/09/23	2012/03/29	100.00
66875	Credit Suisse AG – HSI R Bull CBBC May 2012 G	400,000,000	2011/09/23	2012/05/30	100.00
66870	Credit Suisse AG – HSI R Bear CBBC Jan 2012 D	400,000,000	2011/09/23	2012/01/30	100.00
66868	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 W	150,000,000	2011/09/23	2011/12/29	37.50
66869	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 H	150,000,000	2011/09/23	2012/01/30	40.50
66861	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 G	150,000,000	2011/09/23	2012/01/30	37.50
66840	HK Bank – CNOOC R Bull CBBC Apr 2012 A	80,000,000	2011/09/23	2012/04/18	20.00
66842	HK Bank – A50 R Bull CBBC Jul 2012 A	80,000,000	2011/09/23	2012/07/18	20.00
66850	HK Bank – A50 R Bull CBBC Aug 2012 B	80,000,000	2011/09/23	2012/08/28	20.00
66856	HK Bank – HSI R Bull CBBC Jun 2012 C	100,000,000	2011/09/23	2012/06/28	25.00
66857	HK Bank – HSI R Bear CBBC Jul 2012 B	100,000,000	2011/09/23	2012/07/30	25.00
66841	HK Bank – Hutchison R Bear CBBC Apr 2012 A	80,000,000	2011/09/23	2012/04/18	20.00
66839	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 I	150,000,000	2011/09/23	2012/03/29	37.50
66902	Credit Suisse AG – HSI R Bull CBBC Apr 2012 V	400,000,000	2011/09/26	2012/04/27	100.00
66898	Macquarie Bank Ltd. – HSCEI R Bull CBBC Mar 2012 A	200,000,000	2011/09/26	2012/03/29	50.00
66899	Macquarie Bank Ltd. – HSCEI R Bear CBBC Mar 2012 B	200,000,000	2011/09/26	2012/03/29	50.00
66897	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12J	300,000,000	2011/09/26	2012/03/29	75.00
66882	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12X	300,000,000	2011/09/26	2012/03/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66888	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Y	300,000,000	2011/09/26	2012/03/29	75.00
66889	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Z	300,000,000	2011/09/26	2012/03/29	75.00
66903	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12C	300,000,000	2011/09/26	2012/01/30	75.00
66896	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12H	300,000,000	2011/09/26	2012/02/28	75.00
66894	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12W	300,000,000	2011/09/26	2012/02/28	75.00
66909	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2012 E	200,000,000	2011/09/27	2012/03/29	50.00
66906	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 J	150,000,000	2011/09/27	2012/03/29	37.50
66945	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	200,000,000	2011/09/28	2012/02/28	50.00
66946	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 L	200,000,000	2011/09/28	2012/02/28	50.00
66949	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 W	200,000,000	2011/09/28	2012/02/28	50.00
66927	Credit Suisse AG – HSI R Bull CBBC Apr 2012 W	400,000,000	2011/09/28	2012/04/27	100.00
66944	Credit Suisse AG – HSI R Bull CBBC Apr 2012 X	400,000,000	2011/09/28	2012/04/27	100.00
66942	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	400,000,000	2011/09/28	2011/12/29	100.00
66933	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 U	150,000,000	2011/09/28	2011/12/29	37.50
66928	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Z	150,000,000	2011/09/28	2011/12/29	46.50
66937	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 A	150,000,000	2011/09/28	2011/12/29	37.50
66936	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 V	150,000,000	2011/09/28	2011/12/29	45.00
66951	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12X	300,000,000	2011/09/28	2012/01/30	75.00
67075	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 O	200,000,000	2011/09/29	2012/05/30	50.00
67076	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 P	200,000,000	2011/09/29	2012/05/30	50.00
67077	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	200,000,000	2011/09/29	2012/05/30	50.00
67079	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	200,000,000	2011/09/29	2012/05/30	50.00
67084	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	200,000,000	2011/09/29	2012/05/30	50.00
67086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	200,000,000	2011/09/29	2012/05/30	50.00
67087	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	200,000,000	2011/09/29	2012/05/30	50.00
67088	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 V	200,000,000	2011/09/29	2012/05/30	50.00
67091	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 W	200,000,000	2011/09/29	2012/05/30	50.00
67097	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 X	200,000,000	2011/09/29	2012/05/30	50.00
67098	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 M	200,000,000	2011/09/29	2012/01/30	50.00
67099	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 N	200,000,000	2011/09/29	2012/01/30	50.00
67100	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 O	200,000,000	2011/09/29	2012/01/30	50.00
67101	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 P	200,000,000	2011/09/29	2012/01/30	50.00
67052	Credit Suisse AG – China Life R Bear CBBC Jan 2012 A	100,000,000	2011/09/29	2012/01/16	36.00
67042	Credit Suisse AG – A50 China R Bull CBBC Feb 2012 A	100,000,000	2011/09/29	2012/02/13	25.00
67054	Credit Suisse AG – A50 China R Bear CBBC Feb 2012 B	100,000,000	2011/09/29	2012/02/13	25.00
67021	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 A	100,000,000	2011/09/29	2012/02/13	25.00
67044	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 B	100,000,000	2011/09/29	2012/02/13	25.00
67179	Credit Suisse AG – HSI R Bull CBBC Feb 2012 J	400,000,000	2011/09/29	2012/02/28	100.00
67181	Credit Suisse AG – HSI R Bull CBBC Feb 2012 L	400,000,000	2011/09/29	2012/02/28	100.00
67182	Credit Suisse AG – HSI R Bull CBBC Feb 2012 M	100,000,000	2011/09/29	2012/02/28	25.00
67183	Credit Suisse AG – HSI R Bull CBBC Feb 2012 V	400,000,000	2011/09/29	2012/02/28	100.00
67185	Credit Suisse AG – HSI R Bull CBBC Mar 2012 U	400,000,000	2011/09/29	2012/03/29	114.00
67184	Credit Suisse AG – HSI R Bull CBBC Mar 2012 W	400,000,000	2011/09/29	2012/03/29	134.00
67186	Credit Suisse AG – HSI R Bull CBBC Mar 2012 X	400,000,000	2011/09/29	2012/03/29	100.00
67198	Credit Suisse AG – HSI R Bull CBBC Apr 2012 E	400,000,000	2011/09/29	2012/04/27	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67195	Credit Suisse AG – HSI R Bull CBBC Apr 2012 M	400,000,000	2011/09/29	2012/04/27	100.00
67196	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	400,000,000	2011/09/29	2012/04/27	100.00
67197	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	400,000,000	2011/09/29	2012/04/27	100.00
67192	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Y	400,000,000	2011/09/29	2012/04/27	114.00
67194	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Z	400,000,000	2011/09/29	2012/04/27	102.00
67199	Credit Suisse AG – HSI R Bull CBBC May 2012 H	400,000,000	2011/09/29	2012/05/30	122.00
67200	Credit Suisse AG – HSI R Bull CBBC May 2012 I	400,000,000	2011/09/29	2012/05/30	126.00
67202	Credit Suisse AG – HSI R Bull CBBC May 2012 J	400,000,000	2011/09/29	2012/05/30	110.00
67208	Credit Suisse AG – HSI R Bull CBBC May 2012 K	400,000,000	2011/09/29	2012/05/30	100.00
67209	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2011/09/29	2012/05/30	100.00
67210	Credit Suisse AG – HSI R Bull CBBC May 2012 M	400,000,000	2011/09/29	2012/05/30	100.00
67217	Credit Suisse AG – HSI R Bull CBBC Jun 2012 J	400,000,000	2011/09/29	2012/06/28	100.00
67218	Credit Suisse AG – HSI R Bull CBBC Jun 2012 K	400,000,000	2011/09/29	2012/06/28	166.00
67219	Credit Suisse AG – HSI R Bull CBBC Jun 2012 L	400,000,000	2011/09/29	2012/06/28	108.00
67224	Credit Suisse AG – HSI R Bull CBBC Jun 2012 M	400,000,000	2011/09/29	2012/06/28	100.00
67225	Credit Suisse AG – HSI R Bull CBBC Jul 2012 C	400,000,000	2011/09/29	2012/07/30	132.00
67239	Credit Suisse AG – HSI R Bull CBBC Jul 2012 D	400,000,000	2011/09/29	2012/07/30	148.00
67056	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	400,000,000	2011/09/29	2011/12/29	100.00
67058	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	400,000,000	2011/09/29	2011/12/29	100.00
67064	Credit Suisse AG – HSI R Bear CBBC Jan 2012 A	400,000,000	2011/09/29	2012/01/30	100.00
67178	Credit Suisse AG – HSI R Bear CBBC Jan 2012 B	100,000,000	2011/09/29	2012/01/30	25.00
67063	Credit Suisse AG – HSI R Bear CBBC Jan 2012 R	400,000,000	2011/09/29	2012/01/30	100.00
67070	Credit Suisse AG – HSI R Bear CBBC Feb 2012 I	400,000,000	2011/09/29	2012/02/28	100.00
67037	Credit Suisse AG – TCH R Bull CBBC Feb 2012 B	100,000,000	2011/09/29	2012/02/13	25.00
67045	Credit Suisse AG – TCH R Bear CBBC Feb 2012 C	100,000,000	2011/09/29	2012/02/13	25.00
67049	Credit Suisse AG – TCH R Bear CBBC Feb 2012 D	100,000,000	2011/09/29	2012/02/13	25.00
66985	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 I	150,000,000	2011/09/29	2012/01/30	37.50
67156	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 J	150,000,000	2011/09/29	2012/01/30	49.50
67158	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 K	150,000,000	2011/09/29	2012/01/30	37.50
66986	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 M	150,000,000	2011/09/29	2011/12/29	43.50
66988	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 T	150,000,000	2011/09/29	2011/12/29	37.50
67159	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 L	150,000,000	2011/09/29	2012/01/30	37.50
67258	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 H	108,000,000	2011/09/29	2012/02/28	36.72
67259	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 C	158,000,000	2011/09/29	2012/03/29	39.50
67260	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 I	128,000,000	2011/09/29	2012/02/28	32.00
67263	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 D	158,000,000	2011/09/29	2012/03/29	39.50
67160	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 O	100,000,000	2011/09/29	2012/03/29	26.00
67161	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 P	100,000,000	2011/09/29	2012/03/29	25.50
67168	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 Q	100,000,000	2011/09/29	2012/03/29	25.00
67174	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 R	100,000,000	2011/09/29	2012/03/29	25.00
67175	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 S	100,000,000	2011/09/29	2012/03/29	25.50
67177	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 T	100,000,000	2011/09/29	2012/03/29	26.00
66965	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12G	300,000,000	2011/09/29	2012/03/29	75.00
66964	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12H	300,000,000	2011/09/29	2012/03/29	75.00
66963	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12I	300,000,000	2011/09/29	2012/03/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66958	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12K	300,000,000	2011/09/29	2012/03/29	75.00
66962	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12L	300,000,000	2011/09/29	2012/03/29	75.00
66968	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12R	300,000,000	2011/09/29	2012/03/29	75.00
66969	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12S	300,000,000	2011/09/29	2012/03/29	75.00
67008	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12T	300,000,000	2011/09/29	2012/03/29	75.00
67016	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12N	300,000,000	2011/09/29	2012/04/27	94.50
67017	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12O	300,000,000	2011/09/29	2012/04/27	75.00
67019	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12P	300,000,000	2011/09/29	2012/04/27	75.00
67020	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12Q	300,000,000	2011/09/29	2012/04/27	75.00
66993	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12A	300,000,000	2011/09/29	2012/01/30	75.00
66994	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12D	300,000,000	2011/09/29	2012/01/30	75.00
66995	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12E	300,000,000	2011/09/29	2012/01/30	75.00
67002	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12F	300,000,000	2011/09/29	2012/01/30	75.00
67003	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12G	300,000,000	2011/09/29	2012/01/30	75.00
67001	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12I	300,000,000	2011/09/29	2012/01/30	75.00
66990	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12Y	300,000,000	2011/09/29	2012/01/30	75.00
66991	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12Z	300,000,000	2011/09/29	2012/01/30	75.00
67108	UBS AG – China Mobile R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/19	25.00
67240	UBS AG – China Life R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/12	28.50
67106	UBS AG – HSBC R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/26	25.00
67126	UBS AG – HSI R Bull CBBC Dec 2011 G	300,000,000	2011/09/29	2011/12/29	75.00
67131	UBS AG – HSI R Bull CBBC Dec 2011 I	300,000,000	2011/09/29	2011/12/29	75.00
66974	UBS AG – HSI R Bull CBBC Dec 2011 S	300,000,000	2011/09/29	2011/12/29	75.00
66975	UBS AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/09/29	2012/01/30	75.00
66980	UBS AG – HSI R Bull CBBC Feb 2012 L	300,000,000	2011/09/29	2012/02/28	75.00
67123	UBS AG – HSI R Bull CBBC Feb 2012 M	300,000,000	2011/09/29	2012/02/28	75.00
67125	UBS AG – HSI R Bull CBBC Feb 2012 N	300,000,000	2011/09/29	2012/02/28	75.00
66984	UBS AG – HSI R Bull CBBC Mar 2012 M	300,000,000	2011/09/29	2012/03/29	75.00
67117	UBS AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/09/29	2012/03/29	75.00
67124	UBS AG – HSI R Bull CBBC Mar 2012 O	300,000,000	2011/09/29	2012/03/29	75.00
67119	UBS AG – HSI R Bull CBBC Apr 2012 H	300,000,000	2011/09/29	2012/04/27	75.00
67116	UBS AG – HSI R Bull CBBC May 2012 D	300,000,000	2011/09/29	2012/05/30	75.00
67254	UBS AG – HSI R Bull CBBC Jun 2012 B	300,000,000	2011/09/29	2012/06/28	139.50
67133	UBS AG – HSI R Bear CBBC Dec 2011 O	300,000,000	2011/09/29	2011/12/29	75.00
67140	UBS AG – HSI R Bear CBBC Dec 2011 P	300,000,000	2011/09/29	2011/12/29	75.00
67134	UBS AG – HSI R Bear CBBC Dec 2011 R	300,000,000	2011/09/29	2011/12/29	75.00
67151	UBS AG – HSI R Bear CBBC Dec 2011 U	300,000,000	2011/09/29	2011/12/29	75.00
67255	UBS AG – HSI R Bear CBBC Dec 2011 W	300,000,000	2011/09/29	2011/12/29	75.00
67152	UBS AG – HSI R Bear CBBC Jan 2012 H	300,000,000	2011/09/29	2012/01/30	75.00
67132	UBS AG – HSI R Bear CBBC Feb 2012 O	300,000,000	2011/09/29	2012/02/28	75.00
67135	UBS AG – HSI R Bear CBBC Mar 2012 P	300,000,000	2011/09/29	2012/03/29	75.00
67153	UBS AG – HSI R Bear CBBC Mar 2012 Q	300,000,000	2011/09/29	2012/03/29	75.00
67150	UBS AG – HSI R Bear CBBC Apr 2012 I	300,000,000	2011/09/29	2012/04/27	75.00
67154	UBS AG – HSI R Bear CBBC Apr 2012 J	300,000,000	2011/09/29	2012/04/27	75.00
67155	UBS AG – HSI R Bear CBBC May 2012 E	300,000,000	2011/09/29	2012/05/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67107	UBS AG – Hutchison R Bull CBBC Mar 2012 A	100,000,000	2011/09/29	2012/03/12	25.00
67389	Credit Suisse AG – CCB R Bull CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67367	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 C	100,000,000	2011/09/30	2012/02/13	25.00
67358	Credit Suisse AG – HSBC R Bull CBBC Mar 2012 B	100,000,000	2011/09/30	2012/03/26	25.00
67324	Credit Suisse AG – HSBC R Bear CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67435	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Y	400,000,000	2011/09/30	2012/03/29	100.00
67436	Credit Suisse AG – HSI R Bull CBBC Apr 2012 P	400,000,000	2011/09/30	2012/04/27	100.00
67439	Credit Suisse AG – HSI R Bull CBBC Jun 2012 N	100,000,000	2011/09/30	2012/06/28	25.00
67440	Credit Suisse AG – HSI R Bull CBBC Jul 2012 E	100,000,000	2011/09/30	2012/07/30	25.00
67390	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Q	400,000,000	2011/09/30	2012/01/30	100.00
67412	Credit Suisse AG – HSI R Bear CBBC Feb 2012 T	400,000,000	2011/09/30	2012/02/28	100.00
67422	Credit Suisse AG – HSI R Bear CBBC Feb 2012 U	400,000,000	2011/09/30	2012/02/28	100.00
67432	Credit Suisse AG – HSI R Bear CBBC Feb 2012 W	400,000,000	2011/09/30	2012/02/28	100.00
67433	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Y	400,000,000	2011/09/30	2012/02/28	100.00
67359	Credit Suisse AG – Hutchison R Bull CBBC Mar 2012 B	100,000,000	2011/09/30	2012/03/26	25.00
67338	Credit Suisse AG – Hutchison R Bear CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67378	Credit Suisse AG – TCH R Bull CBBC Feb 2012 E	100,000,000	2011/09/30	2012/02/13	35.50
67381	Credit Suisse AG – TCH R Bull CBBC Feb 2012 F	100,000,000	2011/09/30	2012/02/13	25.50
67351	Credit Suisse AG – TCH R Bear CBBC Jan 2012 F	100,000,000	2011/09/30	2012/01/16	25.00
67316	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 M	150,000,000	2011/09/30	2012/01/30	37.50
67317	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 N	150,000,000	2011/09/30	2012/01/30	52.50
67318	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 O	150,000,000	2011/09/30	2012/01/30	61.50
67322	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 P	150,000,000	2011/09/30	2012/01/30	37.50
67304	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 A	200,000,000	2011/09/30	2012/02/28	50.00
67312	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 E	200,000,000	2011/09/30	2012/02/28	50.00
67314	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 F	200,000,000	2011/09/30	2012/02/28	50.00
67315	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 G	200,000,000	2011/09/30	2012/02/28	50.00
67309	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 B	200,000,000	2011/09/30	2012/02/28	50.00
67310	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 C	200,000,000	2011/09/30	2012/02/28	50.00
67311	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 D	200,000,000	2011/09/30	2012/02/28	50.00
67447	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 J	158,000,000	2011/09/30	2012/02/28	39.50
67264	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 K	150,000,000	2011/09/30	2012/03/29	37.50
67265	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 L	150,000,000	2011/09/30	2012/03/29	37.50
67271	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 M	150,000,000	2011/09/30	2012/03/29	37.50
67275	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 N	150,000,000	2011/09/30	2012/03/29	37.50
67276	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 O	150,000,000	2011/09/30	2012/03/29	37.50
67278	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12A	300,000,000	2011/09/30	2012/06/28	115.50
67279	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12B	300,000,000	2011/09/30	2012/06/28	105.00
67280	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12C	300,000,000	2011/09/30	2012/06/28	93.00
67281	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12P	300,000,000	2011/09/30	2012/01/30	75.00
67498	UBS AG – AIA R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	28.00
67497	UBS AG – CC Bank R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	25.00
67519	UBS AG – China Life R Bull CBBC Mar 2012 B	100,000,000	2011/09/30	2012/03/19	32.50
67493	UBS AG – HSBC R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	25.00
67285	UBS AG – HSI R Bull CBBC Jan 2012 A	300,000,000	2011/09/30	2012/01/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67282	UBS AG – HSI R Bull CBBC Jan 2012 B	300,000,000	2011/09/30	2012/01/30	75.00
67292	UBS AG – HSI R Bull CBBC Feb 2012 P	300,000,000	2011/09/30	2012/02/28	75.00
67299	UBS AG – HSI R Bull CBBC Apr 2012 K	300,000,000	2011/09/30	2012/04/27	75.00
67452	UBS AG – HSI R Bull CBBC Apr 2012 L	300,000,000	2011/09/30	2012/04/27	75.00
67450	UBS AG – HSI R Bull CBBC May 2012 F	300,000,000	2011/09/30	2012/05/30	75.00
67451	UBS AG – HSI R Bull CBBC May 2012 G	300,000,000	2011/09/30	2012/05/30	75.00
67449	UBS AG – HSI R Bull CBBC Jun 2012 C	300,000,000	2011/09/30	2012/06/28	75.00
67448	UBS AG – HSI R Bull CBBC Aug 2012 A	300,000,000	2011/09/30	2012/08/30	145.50
67463	UBS AG – HSI R Bear CBBC Jan 2012 C	300,000,000	2011/09/30	2012/01/30	75.00
67461	UBS AG – HSI R Bear CBBC Feb 2012 Q	300,000,000	2011/09/30	2012/02/28	75.00
67462	UBS AG – HSI R Bear CBBC Feb 2012 R	300,000,000	2011/09/30	2012/02/28	75.00
67469	UBS AG – HSI R Bear CBBC Feb 2012 S	300,000,000	2011/09/30	2012/02/28	75.00
67458	UBS AG – HSI R Bear CBBC Mar 2012 R	300,000,000	2011/09/30	2012/03/29	75.00
67460	UBS AG – HSI R Bear CBBC Mar 2012 S	300,000,000	2011/09/30	2012/03/29	75.00
67464	UBS AG – HSI R Bear CBBC Mar 2012 T	300,000,000	2011/09/30	2012/03/29	75.00
67457	UBS AG – HSI R Bear CBBC Apr 2012 M	300,000,000	2011/09/30	2012/04/27	75.00
67468	UBS AG – HSI R Bear CBBC Apr 2012 N	300,000,000	2011/09/30	2012/04/27	75.00
67473	UBS AG – HSI R Bear CBBC Apr 2012 O	300,000,000	2011/09/30	2012/04/27	93.00
67459	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2011/09/30	2012/05/30	75.00
67480	UBS AG – HSI R Bear CBBC May 2012 I	300,000,000	2011/09/30	2012/05/30	102.00
67470	UBS AG – HSI R Bear CBBC Jun 2012 D	300,000,000	2011/09/30	2012/06/28	75.00
67495	UBS AG – Hutchison R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/16	25.00
67506	UBS AG – ICBC R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/02	25.00
67511	UBS AG – Ping An R Bull CBBC Mar 2012 A	100,000,000	2011/09/30	2012/03/26	25.00
67496	UBS AG – TCH R Bull CBBC Apr 2012 A	100,000,000	2011/09/30	2012/04/10	25.00
Total					85,334.38

DR/ELI/Trading Only Stocks Trading Statistics

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2010	Q4 *	2	119.44
2011	Q1	2	139.30
	Q2	3	331.18
	Q3	3	62.60

* The first DR commenced trading on 8 December 2010

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2010	Q3	–	–
	Q4	–	–
2011	Q1	–	–
	Q2	–	–
	Q3	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2010	Q3	7	0.30
	Q4	7	0.30
2011	Q1	7	0.06
	Q2	7	0.32
	Q3	7	0.13

iShares

		No. of issues	Turnover value (HK\$ mil.)
2010	Q3	2	–
	Q4	2	–
2011	Q1	2	–
	Q2	2	–
	Q3	2	–

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2010	Q3	88,672.33	24,297.92	647,501
	Q4	120,693.51	38,527.65	969,546
2011	Q1	124,595.93	22,614.51	608,740
	Q2	79,170.20	20,231.96	507,155
	Q3	40,276.54	10,135.61	316,791

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2010	Q3	64	379.65	37,526
	Q4	64	601.99	39,738
2011	Q1	62	364.75	37,150
	Q2	59	342.91	39,893
	Q3	63	160.88	31,995

20 Most Advanced GEM Stocks

for 3rd quarter 2011

Rank	Code	Stock	Closing price		% up
			End of Sep 2011	End of Jun 2011	
1	08011	POLYARD-NEW	0.365	0.164	A 122.56
2	08356	TSUN YIP HLDGS	0.485	0.226	114.60
3	08028	TIMELESS	0.140	0.074	89.19
4	08233	CIG PORTS	0.221	0.117	88.89
5	08189	TEDA BIOMEDICAL	0.600	0.450	33.33
6	08100	M DREAM INWORLD	0.166	0.128	A 29.69
7	08239	MING KEI HOLD	0.800	0.670	19.40
8	08088	CROSBY CAPITAL	0.950	0.825	A 15.15
9	08016	CHINA DATACAST	1.800	1.650	9.09
10	08200	SAU SAN TONG	0.460	0.430	6.98
11	08081	COMPUTECH	0.179	0.168	6.55
12	08129	CH BIO CASSAVA	0.042	0.040	5.00
13	08106	ZHEDA LANDE	0.240	0.232	3.45
14	08008	SUNEVISION	0.960	0.940	2.13
15	08070	ZHONGYU GAS	0.660	0.650	1.54

A Adjusted

There are only 15 advanced stocks for this quarter

GEM Equities

20 Most Declined GEM Stocks

for 3rd quarter 2011

Rank	Code	Stock	Closing price		% down
			End of Sep 2011	End of Jun 2011	
1	08032	VIVA CHINA	0.077	0.260	-70.38
2	08060	GLOBAL LINK	0.095	0.295	-67.80
3	08193	GC PRO SER	0.246	0.720	-65.83
4	08207	CREDIT CHINA	0.540	1.560	-65.38
5	08087	CHINA 33MEDIA	0.500	1.430	-65.03
6	08117	CHINA P RES	0.040	0.111	-63.96
7	08103	TAI SHING	0.055	0.142	-61.27
8	08071	CH NETCOMTECH	0.082	0.210	-60.95
9	08321	C AUTO INT DECO	0.295	0.750	-60.67
10	08220	BINGO GROUP	0.070	0.176	-60.23
11	08163	MERDEKA RES	0.040	0.099	-59.60
12	08167	NEO TELEMEDIA	0.201	0.480	-58.12
13	08143	HUA XIA HEALTH	0.130	0.310	-58.06
14	08212	HK LIFE GROUP	0.425	0.980 A	-56.63
15	08009	IMERCHANTS	0.010	0.023	-56.52
16	08173	PAN ASIA MINING	0.210	0.480	-56.25
17	08046	HENG XIN CHINA	0.590	1.320	-55.30
18	08132	FAIRSON HLDGS	0.178	0.395	-54.94
19	08166	CHINA ECO-FARM	0.038	0.084	-54.76
20	08161	CHINALOTSYNERGY	0.100	0.217	-53.92

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 3rd quarter 2011

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08046	HENG XIN CHINA	936.13	9.24
2	08137	HONBRIDGE	906.72	8.95
3	08270	CHINA LEASON	495.82	4.89
4	08207	CREDIT CHINA	437.54	4.32
5	08043	ERA MINING MACH	426.62	4.21
6	08017	LONG SUCCESS	370.30	3.65
7	08111	CT SOLARPOWER	285.58	2.82
8	08179	GAYETY HLDGS	232.40	2.29
9	08103	TAI SHING	231.43	2.28
10	08132	FAIRSON HLDGS	210.91	2.08
11	08032	VIVA CHINA	206.27	2.04
12	08029	SUN INT'L	201.19	1.98
13	08008	SUNEVISION	189.35	1.87
14	08228	NATIONAL ARTS	177.11	1.75
15	08075	MEDIA ASIA	169.95	1.68
16	08161	CHINALOTSYNERGY	169.27	1.67
17	08096	RUIFENG PETRO	165.54	1.63
18	08192	GLOBAL ENERGY	163.05	1.61
19	08071	CH NETCOMTECH	161.73	1.60
20	08058	LUOXIN PHARMA	138.83	1.37
Total			6,275.73	61.92

GEM Equities

20 Most Active GEM Stock by Shares

for 3rd quarter 2011

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08078	CHINA3D DIGIT	3,667.22	9.11
2	08009	IMERCHANTS	2,742.07	6.81
3	08017	LONG SUCCESS	2,467.48	6.13
4	08103	TAI SHING	2,344.81	5.82
5	08032	VIVA CHINA	1,383.93	3.44
6	08071	CH NETCOMTECH	1,235.91	3.07
7	08116	C PUBLIC HEALTH	1,204.25	2.99
8	08161	CHINALOTSYNERGY	1,075.61	2.67
9	08022	TLT LOTTOTAIN	1,059.58	2.63
10	08043	ERA MINING MACH	1,040.61	2.58
11	08080	NAS HOLDINGS	1,016.98	2.53
12	08270	CHINA LEASON	975.82	2.42
13	08085	ZMAY HOLDINGS	903.80	2.24
14	08046	HENG XIN CHINA	808.53	2.01
15	08075	MEDIA ASIA	783.96	1.95
16	08171	CHINA TRENDS	781.39	1.94
17	08192	GLOBAL ENERGY	767.95	1.91
18	08212	HK LIFE GROUP	704.45	1.75
19	08132	FAIRSON HLDGS	627.85	1.56
20	08041	CH POST E-COMM	586.39	1.46
Total			26,178.60	65.00

GEM Equities

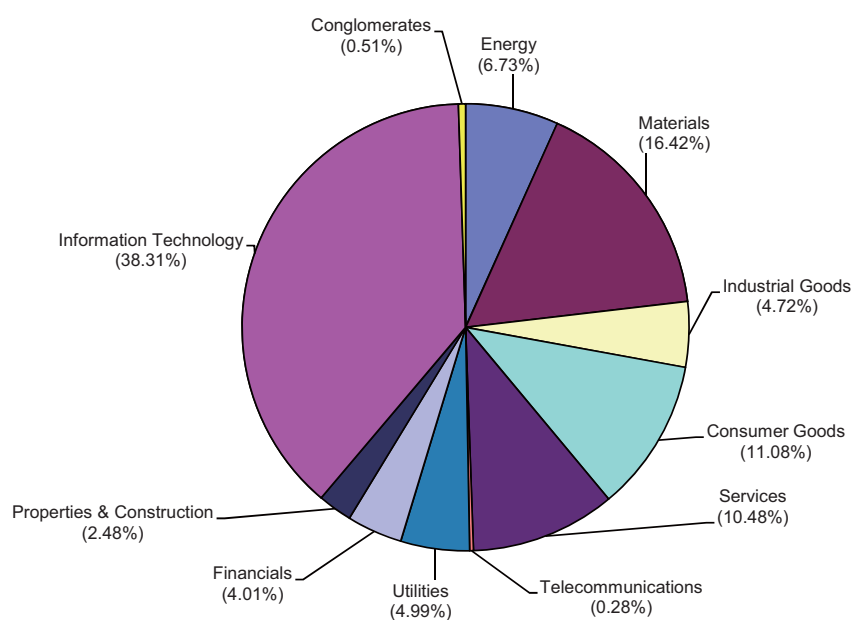
Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2010	Q3	129,859.18
	Q4	134,674.08
2011	Q1	137,835.27
	Q2	111,535.83
	Q3	77,346.08

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 3rd quarter end 2011

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08137 Honbridge Holdings Ltd.	9,331.48	12.06
2	08086 ePRO Ltd.	4,629.15	5.98
3	08043 ERA Mining Machinery Ltd.	3,012.51	3.89
4	08096 Ruifeng Petroleum Chemical Holdings Ltd.	2,742.64	3.55
5	08192 Global Energy Resources International Group Ltd.	2,396.67	3.10
6	08008 Sunevision Holdings Ltd.	2,236.04	2.89
7	08035 Binhai Investment Co. Ltd.	1,737.92	2.25
8	08075 Media Asia Group Holdings Ltd.	1,734.51	2.24
9	08070 Zhongyu Gas Holdings Ltd.	1,562.89	2.02
10	08206 China Communication Telecom Services Co. Ltd.	1,553.11	2.01
11	08158 China Bio-Med Regeneration Technology Ltd.	1,503.12	1.94
12	08032 Viva China Holdings Ltd.	1,469.30	1.90
13	08046 Heng Xin China Holdings Ltd.	1,467.38	1.90
14	08066 Phoenitron Holdings Ltd.	1,411.54	1.82
15	08279 AGTech Holdings Ltd.	1,273.49	1.65
16	08083 China Innovationpay Group Ltd.	1,117.39	1.44
17	08270 China Leason Investment Group Co. Ltd.	1,114.33	1.44
18	08155 South China Land Ltd.	1,061.96	1.37
19	08029 Sun International Resources Ltd.	1,001.81	1.30
20	08299 Grand T G Gold Holdings Ltd.	968.29	1.25
21	08207 Credit China Holdings Ltd.	945.00	1.22
22	08292 HC International, Inc.	886.44	1.15
23	08005 Yuxing InfoTech Investment Holdings Ltd.	849.86	1.10
24	08156 China Vanguard Group Ltd.	831.78	1.08
25	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	824.45	1.07
26	08071 China Netcom Technology Holdings Ltd.	744.25	0.96
27	08161 China LotSynergy Holdings Ltd.	741.20	0.96
28	08123 First China Financial Network Holdings Ltd.	725.21	0.94
29	08179 Gayety Holdings Ltd.	716.80	0.93
30	08011 Polyard Petroleum International Group Ltd.	664.52	0.86
31	08190 Combest Holdings Ltd.	640.30	0.83
32	08102 Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	603.40	0.78
33	08016 China Data Broadcasting Holdings Ltd.	601.20	0.78
34	08356 Tsun Yip Holdings Ltd.	577.34	0.75
35	08186 China Asean Resources Ltd.	556.42	0.72
36	08290 Tianjin Tianlian Public Utilities Co., Ltd. – H Shares	555.07	0.72
37	08203 Kaisun Energy Group Ltd.	545.51	0.71
38	08061 AcrossAsia Ltd.	506.46	0.65
39	08306 China Nonferrous Metals Co. Ltd.	490.64	0.63
40	08025 Asian Capital Resources (Holdings) Ltd.	476.38	0.62
41	08153 Code Agriculture (Holdings) Ltd.	464.06	0.60
42	08109 Creative Energy Solutions Holdings Ltd.	462.88	0.60
43	08128 China Ground Source Energy Ltd.	452.30	0.58
44	08175 China Digital Licensing (Group) Ltd.	444.24	0.57
45	08041 China Post E-Commerce (Holdings) Ltd.	435.84	0.56
46	08189 Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	423.00	0.55
47	08055 China E-Learning Group Ltd.	422.17	0.55
48	08228 National Arts Holdings Ltd.	408.64	0.53
49	08167 Neo Telemedia Ltd.	402.32	0.52
50	08351 Eternite International Co. Ltd.	394.03	0.51
Total		61,117.21	79.02
Market Total		77,346.08	100.00

GEM Equities

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2010	Q3	2	78.24
	Q4	3	424.79
2011	Q1	3	452.01
	Q2	2	139.50
	Q3	3	193.04

Newly Listed Companies for GEM

for 3rd quarter 2011

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08179	Gayety Holdings Ltd.	2011/07/08	320,000,000	80.00
08160	Legend Strategy International Holdings Group Co. Ltd.	2011/07/15	180,000,001	54.00
08112	Focus Media Network Ltd.	2011/07/28	328,000,000	59.04
Total				193.04

Withdrawal of listed Companies – GEM

for 3rd quarter 2011

Code	Company name	Last trading date	Delisted date
08015	Qianlong Technology International Holdings Ltd. *	2011/08/26	2011/08/29 §

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

Company Name Changes for GEM

for 3rd quarter 2011

Code	Old name	New name	Effective date	Adoption date
08005	Yuxing InfoTech Holdings Ltd.	Yuxing InfoTech Investment Holdings Ltd.	2011/05/26	2011/07/14
08111	Soluteck Holdings Ltd.	China Technology Solar Power Holdings Ltd.	2011/07/14	2011/08/18
08029	Sun International Group Ltd.	Sun International Resources Ltd.	2011/08/05	2011/09/15
08075	Rojam Entertainment Holdings Ltd.	Media Asia Group Holdings Ltd.	2011/08/23	2011/09/22

* Change of English name only

Change of Chinese name only

GEM Equities

Bonus Issues/Bonus Warrants for GEM

for 3rd quarter 2011

Code	Company	Particulars	Ex-date
NIL			

Share Split/Consolidation for GEM

for 3rd quarter 2011

Code	Company	Particulars	Effective date
08100	M Dream Inworld Ltd.	Consolidation 2 into 1	2011/07/18
08088	Crosby Capital Ltd.	Consolidation 10 into 1	2011/08/15
08212	Hong Kong Life Group Holdings Ltd.	Consolidation 20 into 1	2011/08/23
08079	Unlimited Creativity Holdings Ltd.	Consolidation 10 into 1	2011/08/25
08011	Polyard Petroleum International Group Ltd.	Consolidation 2 into 1	2011/08/30
08082	Sage International Group Ltd.	Split 1 into 4	2011/09/01

Rights Issues & Open Offers for GEM

for 3rd quarter 2011

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	08068	New Universe International Group Ltd.	Rts 1 for 10 @\$0.15	30.18
Sep	08088	Crosby Capital Ltd.	Rts 1 for 1 @\$0.80	39.25
			(after consolidation 10 into 1)	
	08047	Palmpay China (Holdings) Ltd.	Open offer 1 for 1 @\$0.05	31.38
	08079	Unlimited Creativity Holdings Ltd.	Rts 10 for 1 @\$0.15	95.35
			(after consolidation 10 into 1)	
Total				196.16

GEM Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2011					
Jun **	08202	INNO-TECH HOLD	15,843,500	0.4400	6.97
	08048	EXCEL TECH	30,000,000	0.1000	3.00
Jul	08356	TSUN YIP HLDGS	198,400,000	0.1730	34.32
Aug	08176	CHINA AU GROUP	110,000,000	0.1500	16.50
	08041	CH POST E-COMM	11,236,249	0.1909	2.14
	08270	CHINA LEASON	244,000,000	0.6000	146.40
	08022	TLT LOTTOTAIN	86,000,000	0.1000	8.60
	08165	JIAN EPAYMENT	200,000,000	0.1300	26.00
	08351	ETERNITE INT'L	61,800,000	0.7500	46.35
Sep	08011	POLYARD-NEW	583,333,333	0.2400	140.00
	08041	CH POST E-COMM	30,511,060	0.1311	4.00
	08075	MEDIA ASIA	1,467,500,000	0.2000	293.50
	08137	HONBRIDGE	51,866,666	1.5000	77.80
Total					805.59

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2010	Q3	1	8.43	35.85
	Q4	1	13.61	40.15
2011	Q1	2	2.74	43.08
	Q2	2	1.03	21.87
	Q3	2	0.23	25.46

Newly Listed Equity GEM Warrants

for 3rd quarter 2011

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					-

Stock Market Indices

Hang Seng Index



S&P/HKEx LargeCap Index



S&P/HKEx GEM Index



Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Sep 2011 Close	End of Jun 2011 Close	Change %
S&P/HKEx LargeCap Index	21233.24	26685.63	- 20.43%
S&P/HKEx GEM Index	441.40	695.54	- 36.54%
HANG SENG INDEX	17592.41	22398.10	- 21.46%
SECTORIAL INDICES			
Finance	23312.15	31908.19	- 26.94%
Utilities	45943.67	46286.11	- 0.74%
Properties	20957.65	27976.75	- 25.09%
Commerce & Industry	10834.86	12993.33	- 16.61%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	3391.13	4192.08	- 19.11%
HANG SENG CHINA ENTERPRISES INDEX	8917.36	12576.68	- 29.10%

Stock Market Indices

WORLD INDICES

Index	End of Sep 2011 Close	End of Jun 2011 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	21,233.24	26,685.63	- 20.43%
Hang Seng Index	17,592.41	22,398.10	- 21.46%
AUSTRALIA			
All Ordinaries Index	4,070.09	4,659.79	- 12.66%
JAKARTA			
Composite Index	3,549.03	3,888.57	- 8.73%
JAPAN			
Nikkei (225)	8,700.29	9,816.09	- 11.37%
KOREA			
Composite Index	1,769.65	2,100.69	- 15.76%
KUALA LUMPUR			
Composite Index	1,387.13	1,579.07	- 12.16%
MANILA			
Composite Index	3,999.65	4,291.21	- 6.79%
NEW ZEALAND			
NZSE (50)	3,343.35	3,448.35	- 3.04%
SHANGHAI			
A Shares Index	2,471.10	2,893.53	- 14.60%
B Shares Index	239.33	271.31	- 11.79%
SHENZHEN			
A Shares Index	1,053.14	1,210.12	- 12.97%
B Shares Index	553.42	723.45	- 23.50%
SINGAPORE			
FTSE Straits Times Index	2,675.16	3,120.44	- 14.27%
TAIWAN			
Taipei Weighted Stock Index	7,225.38	8,652.59	- 16.49%
THAILAND			
SET Index	916.21	1,041.48	- 12.03%
NEW YORK			
DJIA	10,913.38	12,414.34	- 12.09%
Nasdaq Composite Index	2,415.40	2,773.52	- 12.91%
TORONTO			
S&P/TSX Composite Index	11,623.84	13,300.87	- 12.61%
GERMANY			
DAX Capital Value Index	3,121.22	4,184.30	- 25.41%
LONDON			
FTSE (100)	5,128.50	5,945.70	- 13.74%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2011	3rd Quarter 2010
Hang Seng Index Futures	6,611,545	5,027,573
Hang Seng Index Options	3,097,483	2,035,171
Mini-Hang Seng Index Futures	3,084,022	1,948,828
Mini-Hang Seng Index Options	282,713	121,268
H-shares Index Futures	4,358,785	2,998,397
H-shares Index Options	981,265	644,820
Mini H-shares Index Futures	585,017	229,747
Flexible Hang Seng Index Options	–	7,512
Flexible H-shares Index Options	6,170	1,548
HSI Dividend Point Index Futures ¹	809	–
HSCEI Dividend Point Index Futures ²	24,394	–
Stock Futures	132,733	45,941
Stock Options	21,961,666	13,877,094
Three-month HIBOR Futures	165	99
One-month HIBOR Futures	43	14
Three-year Exchange Fund Note Futures	–	–
Gold Futures	1,311	1,094
Total	41,128,121	26,939,106

¹ Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

² Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q3	5,027,573	113,153
	Q4	5,839,361	88,816
2011	Q1	5,589,341	89,300
	Q2	5,167,935	95,917
	Q3	6,611,545	128,201

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q3	1,948,828	7,181
	Q4	2,150,209	7,359
2011	Q1	2,176,878	8,475
	Q2	2,160,435	10,213
	Q3	3,084,022	11,015

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q3	2,035,171	235,934
	Q4	2,731,179	187,784
2011	Q1	2,673,755	229,086
	Q2	2,584,559	222,085
	Q3	3,097,483	431,313

H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q3	2,998,397	114,171
	Q4	3,242,625	94,734
2011	Q1	3,206,173	107,348
	Q2	3,371,887	114,333
	Q3	4,358,785	155,125

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2010	Q3	11,967.70	13,877,094	5,090,926
	Q4	18,050.22	20,445,628	5,381,215
2011	Q1	16,446.28	18,417,824	5,912,772
	Q2	14,491.79	16,340,993	5,622,028
	Q3	24,566.72	21,961,666	8,112,569

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 3rd Quarter 2011

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	515.87	2.10%
ABC	ABC	242.98	0.99%
AIA	AIA	485.98	1.98%
CHALCO	ALC	80.72	0.33%
BANK OF CHINA	BCL	751.42	3.06%
BANKCOMM	BCM	79.88	0.33%
BANK OF E ASIA	BEA	13.23	0.05%
BOC HONG KONG	BOC	373.04	1.52%
BYD COMPANY	BYD	91.41	0.37%
CCB	CCB	1,027.99	4.18%
CHINA COMM CONS	CCC	25.24	0.10%
CHINA COAL	CCE	96.78	0.39%
CHINA COSCO	CCS	46.00	0.19%
CHINA MOBILE	CHT	2,390.32	9.73%
CHINA UNICOM	CHU	182.13	0.74%
CITIC PACIFIC	CIT	69.78	0.28%
CHEUNG KONG	CKH	745.56	3.03%
CHINA LIFE	CLI	2,769.02	11.27%
CLP HOLDINGS	CLP	73.11	0.30%
CM BANK	CMB	184.73	0.75%
CNOOC	CNC	1,142.87	4.65%
CHINA OVERSEAS	COL	109.45	0.45%
CATHAY PAC AIR	CPA	16.54	0.07%
SINOPEC CORP	CPC	243.66	0.99%
CPIC	CPI	110.08	0.45%
CHINA RAIL CONS	CRC	19.80	0.08%
CHINA RAILWAY	CRG	21.22	0.09%
X WISECSI300ETF	CS3	3.23	0.01%
CHINA SHENHUA	CSE	180.02	0.73%
CITIC BANK	CTB	29.51	0.12%
CHINA TELECOM	CTC	122.16	0.50%
ESPRIT HOLDINGS	ESP	97.32	0.40%
FIH	FIH	7.43	0.03%
POWER ASSETS	HEH	89.74	0.37%
HKEX	HEX	772.65	3.15%
HSBC HOLDINGS	HKB	2,926.35	11.91%
HK & CHINA GAS	HKG	10.87	0.04%
HENDERSON LAND	HLD	52.92	0.22%
HUANENG POWER	HNP	10.06	0.04%
HANG SENG BANK	HSB	90.51	0.37%
HUTCHISON	HWL	1,922.27	7.82%
ICBC	ICB	762.02	3.10%
JIANGXI COPPER	JXC	262.52	1.07%
LI & FUNG	LIF	157.95	0.64%
MTR CORPORATION	MTR	42.93	0.17%
NEW WORLD DEV	NWD	22.95	0.09%
PING AN	PAI	1,615.07	6.57%
PETROCHINA	PEC	403.99	1.64%
PICC P&C	PIC	162.99	0.66%
R&F PROPERTIES	RFP	29.51	0.12%
SHK PPT	SHK	1,572.48	6.40%
SWIRE PACIFIC A	SWA	101.98	0.42%
TENCENT	TCH	909.19	3.70%
TRACKER FUND	TRF	32.46	0.13%
WHARF HOLDINGS	WHL	66.06	0.27%
YANZHOU COAL	YZC	173.00	0.70%
ZIJIN MINING	ZJM	27.78	0.11%
Total		24,566.72	100.00%

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 3rd Quarter 2011

Options class		Contract volume	% of total
X ISHARES A50	A50	239,414	1.09%
ABC	ABC	120,057	0.55%
AIA	AIA	531,142	2.42%
CHALCO	ALC	87,623	0.40%
BANK OF CHINA	BCL	2,032,438	9.25%
BANKCOMM	BCM	158,293	0.72%
BANK OF E ASIA	BEA	60,531	0.28%
BOC HONG KONG	BOC	344,532	1.57%
BYD COMPANY	BYD	109,392	0.50%
CCB	CCB	2,670,840	12.16%
CHINA COMM CONS	CCC	63,881	0.29%
CHINA COAL	CCE	159,939	0.73%
CHINA COSCO	CCS	98,269	0.45%
CHINA MOBILE	CHT	1,669,553	7.60%
CHINA UNICOM	CHU	128,913	0.59%
CITIC PACIFIC	CIT	53,060	0.24%
CHEUNG KONG	CKH	168,510	0.77%
CHINA LIFE	CLI	864,076	3.93%
CLP HOLDINGS	CLP	122,511	0.56%
CM BANK	CMB	343,099	1.56%
CNOOC	CNC	842,681	3.84%
CHINA OVERSEAS	COL	78,089	0.36%
CATHAY PAC AIR	CPA	22,660	0.10%
SINOPEC CORP	CPC	302,355	1.38%
CPIC	CPI	100,938	0.46%
CHINA RAIL CONS	CRC	47,376	0.22%
CHINA RAILWAY	CRG	34,978	0.16%
X WISECSI300ETF	CS3	3,919	0.02%
CHINA SHENHUA	CSE	270,422	1.23%
CITIC BANK	CTB	87,096	0.40%
CHINA TELECOM	CTC	146,780	0.67%
ESPRIT HOLDINGS	ESP	438,512	2.00%
FIH	FIH	24,175	0.11%
POWER ASSETS	HEH	127,643	0.58%
HKEX	HEX	1,190,810	5.42%
HSBC HOLDINGS	HKB	2,177,007	9.91%
HK & CHINA GAS	HKG	19,451	0.09%
HENDERSON LAND	HLD	31,105	0.14%
HUANENG POWER	HNP	16,943	0.08%
HANG SENG BANK	HSB	324,607	1.48%
HUTCHISON	HWL	647,792	2.95%
ICBC	ICB	1,974,882	8.99%
JIANGXI COPPER	JXC	167,147	0.76%
LI & FUNG	LIF	96,666	0.44%
MTR CORPORATION	MTR	77,832	0.35%
NEW WORLD DEV	NWD	26,518	0.12%
PING AN	PAI	400,154	1.82%
PETROCHINA	PEC	490,060	2.23%
PICC P&C	PIC	118,062	0.54%
R&F PROPERTIES	RFP	110,076	0.50%
SHK PPT	SHK	190,805	0.87%
SWIRE PACIFIC A	SWA	73,077	0.33%
TENCENT	TCH	1,072,648	4.88%
TRACKER FUND	TRF	62,858	0.29%
WHARF HOLDINGS	WHL	39,072	0.18%
YANZHOU COAL	YZC	60,288	0.27%
ZIJIN MINING	ZJM	40,109	0.18%
Total		21,961,666	100.00%

Clearing and Settlement Statistics

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2010	Q3	74,032	46.78	169.54
	Q4	92,915	54.76	234.79
2011	Q1	86,292	47.11	202.59
	Q2	87,498	49.00	233.07
	Q3	84,654	50.41	221.54

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/Month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2010	Q3	499	115.92	177.54
	Q4	715	120.35	480.27
2011	Q1	516	178.77	227.52
	Q2	527	108.05	323.66
	Q3	489	123.92	383.03

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2010	Q3	3,538.16	71.67	10,442.07	50.28
	Q4	3,834.10	71.80	11,294.50	49.57
2011	Q1	3,933.19	72.49	11,539.57	50.44
	Q2	3,707.09	69.37	11,612.87	50.11
	Q3	3,771.07	71.19	8,909.87	49.96

Clearing and Settlement Statistics

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2010	Q3	688,441	100	140,304.39	100	61,762.38	100
	Q4	904,380	100	171,236.05	100	86,455.45	100
2011	Q1	855,117	100	164,899.54	100	75,908.44	100
	Q2	869,474	100	156,793.01	100	71,017.11	100
	Q3	908,434	100	165,464.02	100	72,538.55	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2010	Q3	65,867	95.01	24,660.06	91.10
	Q4	80,167	95.27	31,301.46	90.52
2011	Q1	74,506	95.39	27,083.36	91.57
	Q2	70,588	95.73	25,359.54	91.71
	Q3	63,710	96.23	20,235.50	93.60

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2010	Q3	99.90	99.99
	Q4	99.86	99.99
2011	Q1	99.90	99.99
	Q2	99.88	99.99
	Q3	99.87	99.99

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2011	3rd Quarter 2010
Hang Seng Index Futures	128,201	113,153
Hang Seng Index Options	431,313	235,934
Mini-Hang Seng Index Futures	11,015	7,181
Mini-Hang Seng Index Options	13,028	5,757
H-shares Index Futures	155,125	114,171
H-shares Index Options	275,972	150,634
Mini H-shares Index Futures	2,977	1,072
Flexible Hang Seng Index Options	5,410	606
Flexible H-shares Index Options	6,370	516
HSI Dividend Point Index Futures ¹	5,614	–
HSCEI Dividend Point Index Futures ²	24,538	–
Stock Futures	24,653	6,887
Stock Options	8,112,569	5,090,926
Three-month HIBOR Futures	85	130
One-month HIBOR Futures	1	8
Three-year Exchange Fund Note Futures	–	–
Gold Futures	132	92
Total	9,197,003	5,727,067

¹ Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

² Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 3rd Quarter 2011

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	77,796	0.96
ABC	ABC	69,797	0.86
AIA	AIA	150,902	1.86
CHALCO	ALC	40,106	0.49
BANK OF CHINA	BCL	1,062,510	13.10
BANKCOMM	BCM	90,777	1.12
BANK OF E ASIA	BEA	45,287	0.56
BOC HONG KONG	BOC	144,070	1.78
BYD COMPANY	BYD	33,374	0.41
CCB	CCB	1,041,249	12.84
CHINA COMM CONS	CCC	55,435	0.68
CHINA COAL	CCE	74,068	0.91
CHINA COSCO	CCS	59,814	0.74
CHINA MOBILE	CHT	388,217	4.79
CHINA UNICOM	CHU	100,111	1.23
CITIC PACIFIC	CIT	33,366	0.41
CHEUNG KONG	CKH	50,884	0.63
CHINA LIFE	CLI	310,151	3.82
CLP HOLDINGS	CLP	42,638	0.53
CM BANK	CMB	168,897	2.08
CNOOC	CNC	306,012	3.77
CHINA OVERSEAS	COL	28,012	0.35
CATHAY PAC AIR	CPA	10,569	0.13
SINOPEC CORP	CPC	174,785	2.15
CPIC	CPI	21,248	0.26
CHINA RAIL CONS	CRC	25,795	0.32
CHINA RAILWAY	CRG	17,645	0.22
X WISECSI300ETF	CS3	3,373	0.04
CHINA SHENHUA	CSE	99,555	1.23
CITIC BANK	CTB	61,751	0.76
CHINA TELECOM	CTC	89,941	1.11
ESPRIT HOLDINGS	ESP	104,639	1.29
FIH	FIH	8,217	0.10
POWER ASSETS	HEH	36,069	0.44
HKEX	HEX	321,350	3.96
HSBC HOLDINGS	HKB	649,969	8.01
HK & CHINA GAS	HKG	17,993	0.22
HENDERSON LAND	HLD	11,409	0.14
HUANENG POWER	HNP	8,183	0.10
HANG SENG BANK	HSB	124,119	1.53
HUTCHISON	HWL	144,470	1.78
ICBC	ICB	859,150	10.59

Clearing & Settlement

Options class		Open interest (contracts)	% of total
JIANGXI COPPER	JXC	51,904	0.64
LI & FUNG	LIF	26,141	0.32
MTR CORPORATION	MTR	27,096	0.33
NEW WORLD DEV	NWD	12,648	0.16
PING AN	PAI	115,054	1.42
PETROCHINA	PEC	199,613	2.46
PICC P&C	PIC	31,715	0.39
R&F PROPERTIES	RFP	33,331	0.41
SHK PPT	SHK	84,853	1.05
SWIRE PACIFIC A	SWA	20,963	0.26
TENCENT	TCH	260,845	3.22
TRACKER FUND	TRF	21,710	0.27
WHARF HOLDINGS	WHL	22,924	0.28
YANZHOU COAL	YZC	26,510	0.33
ZIJIN MINING	ZJM	13,559	0.17
Total		8,112,569	100.00

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2010	Q3	530 (953)	478 (898)	30 (33)	22 (22)
	Q4	538 (960)	485 (904)	31 (34)	22 (22)
2011	Q1	544 (965)	489 (907)	33 (36)	22 (22)
	Q2	547 (970)	490 (906)	35 (42)	22 (22)
	Q3	549 (973)	494 (911)	34 (41)	21 (21)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2010	Q3	220 (245)	177 (200)	–	43 (45)
	Q4	221 (246)	179 (202)	–	42 (44)
2011	Q1	222 (246)	180 (202)	–	42 (44)
	Q2	228 (252)	184 (206)	–	44 (46)
	Q3	228 (252)	185 (207)	–	43 (45)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

Year/month		Clearing Participants	General Clearing Participants
2010	Q3	152	10
	Q4	153	9
2011	Q1	156	9
	Q2	159	9
	Q3	160	9

SEOCH Participants

Year/month		Direct Clearing Participants	General Clearing Participants
2010	Q3	55	6
	Q4	56	6
2011	Q1	57	7
	Q2	57	7
	Q3	58	7

HKSCC Participants

Year/month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2010	Q3	460	7	41
	Q4	468	7	44
2011	Q1	473	8	46
	Q2	474	8	46
	Q3	478	8	46

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

Acronyms

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SECH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction