

證券及衍生產品市場 季報

2012年第三季

市場概況

市場概況－主板

概況	2012年第3季	2011年第3季
上市公司數目	1,355	1,301
上市證券數目	6,732	7,010
股份		
普通股	1,356	1,302
優先股	3	3
認股權證	3,915	4,793
股份	12	15
衍生	3,903	4,778
股票掛鈎票據	0	0
牛熊證	1,104	642
單位信託及互惠基金	107	87
債券	247	183
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	64	63
總成交金額(百萬港元)*	2,962,121.73	4,562,366.77
總成交股數(百萬股)	8,118,023.55	10,384,374.95
總成交宗數(宗數)	43,732,903	56,970,296
上市公司市價總值(百萬港元)	19,575,154.28	16,147,694.26
平均市盈率(倍)	9.44	9.02
平均週息率(百分率)	3.12	3.53
交易所參與者數目		
開業	506	494
非開業	41	34
成交金額(百萬港元)		
最高	93,238.05 (09/07)	124,773.84 (08/05)
最低	34,404.53 (09/04)	49,646.96 (09/09)
成交股數(百萬股)		
最高	161,881.87 (08/01)	204,015.18 (09/23)
最低	90,344.67 (09/26)	75,513.21 (08/09)
總成交宗數(宗數)		
最高	1,135,852 (09/14)	1,609,989 (08/09)
最低	523,912 (08/13)	637,895 (09/09)

* 成交金額包括非港元交易買賣的證券

市場概況

市場概況－創業板

概況	2012年第3季	2011年第3季
上市公司數目	178	166
上市證券數目	179	168
股份	178	166
認股權證	1	2
交易日數	64	63
總成交金額(百萬港元)*	5,395.83	10,135.84
總成交股數(百萬股)	33,512.63	40,291.94
總成交宗數(宗數)	206,627	316,819
上市公司市價總值(百萬港元)	73,436.35	77,346.08
平均市盈率(倍)	17.17	20.23
平均週息率(百分率)	0.92	0.65
成交金額(百萬港元)		
最高	228.47 (08/21)	362.02 (08/08)
最低	53.83 (08/03)	72.06 (09/12)
成交股數(百萬股)		
最高	1,203.82 (09/25)	2,140.20 (08/08)
最低	248.35 (08/03)	367.55 (07/27)
總成交宗數(宗數)		
最高	5,920 (08/13)	8,313 (08/08)
最低	2,040 (08/03)	3,683 (09/28)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2012年第3季	2011年第3季
所有期貨及期權產品(股票期權除外)		
交易日數	63.5	63.0
總合約成交量	15,449,717	19,166,455
股市指數產品	15,378,758	19,032,203
股票產品	68,787	132,733
利率產品	—	208
人民幣貨幣期貨	2,172	—
黃金期貨	—	1,311
平均每日合約成交量	243,303	304,229
季末未平倉合約		
股市指數產品	1,345,599	1,059,563
股票產品	10,353	24,653
利率產品	5	86
人民幣貨幣期貨	1,076	—
黃金期貨	—	132
股票期權		
新開辦期權類別	—	—
股票期權數目(於季末數目)		
類別	63	57
系列	14,352	19,540
期權金總額(百萬港元)		
認購	7,354.69	24,566.72
認沽	3,304.71	5,505.40
認沽	4,049.99	19,061.32
平均每日期權金總額(百萬港元)	115.82	389.95
總合約成交量	13,428,912	21,961,666
認購	6,406,909	9,056,823
認沽	7,022,003	12,904,843
平均每日合約成交量	211,479	348,598
季末未平倉合約	4,658,740	8,112,569
認購	2,096,887	3,983,287
認沽	2,561,853	4,129,282
總成交宗數	608,815	892,211
平均每日成交宗數	9,588	14,162
已行使合約總數	1,722,979	2,425,612
每張合約平均期權金(港元)	547.68	1,118.62
每宗交易平均合約數目	22	25
認沽數量／認購數量	1.10	1.42
平均每日合約成交量／未平倉合約	4.5%	4.3%
所有期貨及期權產品		
總合約成交量	28,878,629	41,128,121
季末未平倉合約	6,015,773	9,197,003

市場概況

結算及交收

中央結算系統營運資料	2012年第3季	2011年第3季
經中央結算系統處理之聯交所交易(每日平均數)		
— 交易宗數	686,075	908,434
— 交易金額(十億港元)	46.3	72.5
— 涉及股數(十億股)	127.4	165.5
經中央結算系統處理的交收指示(每日平均數)		
— 交收指示數目	66,152	84,654
— 交收指示涉及金額(十億港元)	156.1	221.5
— 涉及股數(十億股)	42.1	50.4
經中央結算系統處理的投資者交收指示(每日平均數)		
— 交收指示數目	313	489
— 交收指示涉及金額(百萬港元)	164.4	383.0
— 涉及股數(百萬股)	96.4	123.9
以「持續淨額交收」的股份數額於到期交收日(T+2) 的交收效率(每日平均數)	99.91	99.87
以「持續淨額交收」的股份數額於到期交收翌日(T+3) 的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
— 涉及經紀數目	4	5
— 補購宗數	4	6
— 補購涉及金額(百萬港元)	1.4	2.1
存放於中央結算系統證券存管處的股份*		
— 股數(十億股)	3,672.0	3,771.1
— 獲納入系統的證券佔已發行股本總數的百分比	69.98	71.19
— 股份價值(十億港元)	10,816.8	8,909.9
— 獲納入系統的證券佔市價總值的百分比	50.71	49.96
衍生產品結算及交收系統營運資料		
季末未平倉合約		
— 股市指數期貨	357,089	327,470
— 股票期貨	10,353	24,653
— 黃金期貨	—	132
— 利率期貨	5	86
— 人民幣貨幣期貨	1,076	—
— 股市指數期權	988,510	732,093
— 股票期權	4,658,740	8,112,569
累積行使股票期權		
— 涉及股數(百萬股)	1,781	2,342
— 涉及金額(十億港元)	27	44

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2011	第 3 季	597,541.33	3,222,655.92	45,991,628
	第 4 季	568,209.23	2,399,034.07	42,392,538
2012	第 1 季	586,720.90	2,723,802.82	43,393,219
	第 2 季	409,732.26	2,175,416.95	36,208,311
	第 3 季	437,269.47	2,123,255.03	35,393,350

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2011	第 3 季	63	51,153.27	70,070
	第 4 季	62	38,694.10	56,591
2012	第 1 季	61	44,652.51	62,770
	第 2 季	61	35,662.57	60,081
	第 3 季	64	33,175.86	59,990

主 板 股 份

20種升幅最大之股份

2012年第3季

順次	股份代號	股份	收市價		升幅
			2012年9月底	2012年6月底	
1	01152	豐臨集團	1.630	0.450	262.22
2	00207	僑福企業	3.840	1.220	214.75
3	00147	超越集團	0.530	0.176	201.14
4	00007	高信集團	1.100	0.430	155.81
5	00727	卓越金融	0.180	0.073	146.58
6	00273	威利國際	1.680	0.760	A 121.05
7	00759	CEC INT'L HOLD	0.630	0.290	117.24
8	00692	寶源控股	0.270	0.125	A 116.00
9	02310	光星電子	1.460	0.690	111.59
10	01176	珠光控股	1.190	0.570	108.77
11	00936	敏達控股	0.780	0.385	A 102.60
12	01141	北京御生堂	0.100	0.050	100.00
13	01231	新礦資源	0.770	0.385	100.00
14	00070	海王集團	0.169	0.089	89.89
15	01010	弘茂科技	0.660	0.360	83.33
16	00775	長江生命科技	0.720	0.400	80.00
17	01013	偉俊集團控股	0.045	0.025	80.00
18	00705	南亞礦業	0.095	0.053	79.25
19	00237	安全貨倉	10.500	5.950	76.47
20	00822	嘉瑞國際	1.150	0.660	74.24

A 經調整

20種跌幅最大之股份

2012年第3季

順次	股份代號	股份	收市價		跌幅
			2012年9月底	2012年6月底	
1	01182	中青基業	0.162	1.170	A -86.15
2	01076	第一天然食品	0.670	3.442	A -80.53
3	01036	南聯地產控股	12.320	33.800	-63.55
4	00591	中國高精密	1.240	2.685	-53.82
5	02324	首都創投	0.090	0.191	-52.88
6	01166	蒙古礦業	0.037	0.076	-51.32
7	00620	UDL HOLDINGS	0.700	1.413	A -50.46
8	00616	永義實業	0.078	0.152	A -48.68
9	00794	錦勝集團(控股)	0.570	1.090	-47.71
10	00036	遠東控股國際	0.420	0.770	-45.45
11	00986	中國環保能源	0.740	1.300	A -43.08
12	01878	南戈壁-S	16.520	29.000	-43.03
13	02488	元征科技	11.900	20.800	-42.79
14	01101	中國熔盛重工	1.030	1.790	-42.46
15	00482	聖馬丁國際	0.650	1.110	-41.44
16	00982	卓智控股	0.034	0.058	-41.38
17	01029	鐵貨	0.500	0.840	-40.48
18	00578	融信資源	0.043	0.072	-40.28
19	00928	野馬國際	0.485	0.800	A -39.38
20	01220	志道國際	0.158	0.255	-38.04

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2012年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00941	中國移動	94,146.31	4.43
2	00939	建設銀行	87,467.09	4.12
3	01398	工商銀行	72,785.00	3.43
4	00005	匯豐控股	61,460.49	2.89
5	01299	友邦保險	56,743.77	2.67
6	00883	中國海洋石油	53,617.23	2.53
7	00700	騰訊控股	47,846.37	2.25
8	03988	中國銀行	46,027.18	2.17
9	02628	中國人壽	44,118.98	2.08
10	00857	中國石油股份	42,957.42	2.02
11	02318	中國平安	38,297.20	1.80
12	00016	新鴻基地產	35,482.01	1.67
13	00013	和記黃埔	33,497.38	1.58
14	00386	中國石油化工股份	31,259.83	1.47
15	00388	香港交易所	31,075.10	1.46
16	00688	中國海外發展	30,187.57	1.42
17	01088	中國神華	29,057.68	1.37
18	00001	長江實業	28,588.73	1.35
19	02601	中國太保	26,647.58	1.26
20	00494	利豐	25,699.52	1.21
總 額			916,962.44	43.19

20種成交最活躍股份(股數)

2012年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00939	建設銀行	17,035.52	3.90
2	01398	工商銀行	16,877.74	3.86
3	03988	中國銀行	15,862.74	3.63
4	00493	國美電器	10,578.90	2.42
5	01288	農業銀行	7,482.89	1.71
6	03800	保利協鑫能源	5,838.02	1.34
7	00566	鉅陽太陽能	5,079.10	1.16
8	03333	恒大地產	5,034.77	1.15
9	00055	中星集團控股	4,620.10	1.06
10	00728	中國電信	4,610.47	1.05
11	00857	中國石油股份	4,445.94	1.02
12	00386	中國石油化工股份	4,427.18	1.01
13	00992	聯想集團	4,089.55	0.94
14	00076	南海石油	3,998.23	0.91
15	00985	中科礦業	3,782.77	0.87
16	00883	中國海洋石油	3,504.04	0.80
17	00981	中芯國際	3,401.96	0.78
18	00885	福方集團	3,135.97	0.72
19	01051	國際資源	2,999.04	0.69
20	03323	中國建材	2,913.60	0.67
總 數			129,718.51	29.67

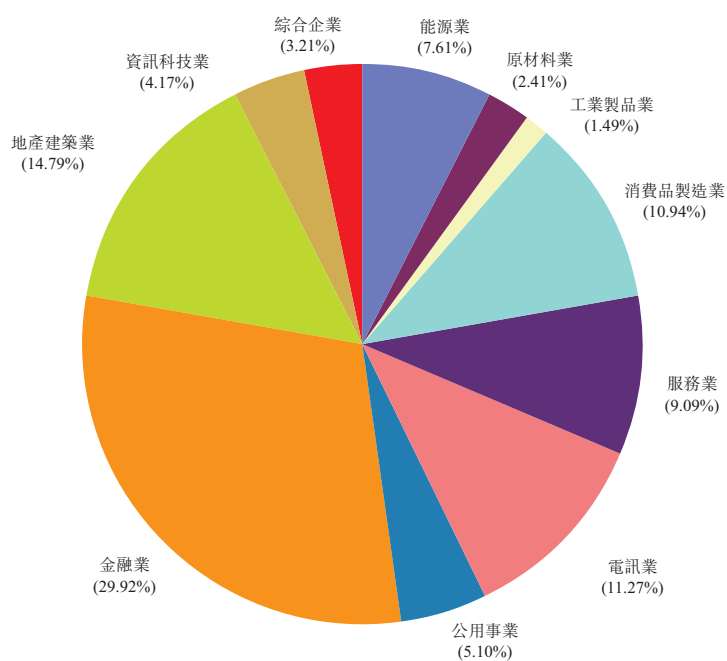
主 板 股 份

上市公司市價總值－主板

百 萬 港 元		
2011	第3季	16,147,694.26
	第4季	17,452,667.08
2012	第1季	19,690,708.60
	第2季	18,351,873.28
	第3季	19,575,154.28

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主 板 股 份

50間市價總值最大之上市公司

截至2012年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,727,562.89	8.83
2	00005	匯豐控股有限公司	1,335,817.94	6.82
3	00939	中國建設銀行股份有限公司－H股	1,293,445.18	6.61
4	00883	中國海洋石油有限公司	709,876.27	3.63
5	00700	騰訊控股有限公司	487,656.03	2.49
6	02888	渣打集團有限公司	428,025.37	2.19
7	01398	中國工商銀行股份有限公司－H股	397,516.72	2.03
8	01299	友邦保險控股有限公司	348,071.60	1.78
9	00013	和記黃埔有限公司	320,605.48	1.64
10	00805	Glencore International plc *	–	302,176.44 N.A.
11	00762	中國聯合網絡通信(香港)股份有限公司	299,745.55	1.53
12	00016	新鴻基地產發展有限公司	297,147.61	1.52
13	00001	長江實業(集團)有限公司	263,347.89	1.35
14	02378	英國保誠有限公司*	–	261,042.72 N.A.
15	02388	中銀香港(控股)有限公司	260,619.03	1.33
16	03988	中國銀行股份有限公司－H股	246,685.72	1.26
17	01928	金沙中國有限公司	233,100.23	1.19
18	00011	恒生銀行有限公司	227,318.10	1.16
19	00857	中國石油天然氣股份有限公司－H股	214,364.82	1.10
20	03328	交通銀行股份有限公司－H股	184,162.40	0.94
21	02318	中國平安保險(集團)股份有限公司－H股	183,402.32	0.94
22	00945	Manulife Financial Corporation *	–	171,016.63 N.A.
23	00003	香港中華煤氣有限公司	170,857.38	0.87
24	00066	香港鐵路有限公司	170,150.40	0.87
25	02628	中國人壽保險股份有限公司－H股	166,682.32	0.85
26	00004	九龍倉集團有限公司	163,124.97	0.83
27	00688	中國海外發展有限公司	161,162.08	0.82
28	00002	中電控股有限公司	158,564.85	0.81
29	01913	PRADA S.p.A.	148,155.91	0.76
30	00006	電能實業有限公司	140,541.13	0.72
31	01972	太古地產有限公司	140,400.00	0.72
32	00019	太古股份有限公司	139,943.92	0.71
33	00012	恒基兆業地產有限公司	133,988.52	0.68
34	00151	中國旺旺控股有限公司	130,822.34	0.67
35	00322	康師傅控股有限公司	130,587.15	0.67
36	00388	香港交易及結算所有限公司	127,051.49	0.65
37	00386	中國石油化工股份有限公司－H股	121,490.73	0.62
38	00101	恒隆地產有限公司	118,561.16	0.61
39	01880	百麗國際控股有限公司	118,416.63	0.60
40	01038	長江基建集團有限公司	117,179.94	0.60
41	01929	周大福珠寶集團有限公司	110,400.00	0.56
42	00135	昆侖能源有限公司	109,082.60	0.56
43	00027	銀河娛樂集團有限公司	109,006.20	0.56
44	01128	永利澳門有限公司	108,679.17	0.56
45	01088	中國神華能源股份有限公司－H股	102,297.33	0.52
46	00494	利豐有限公司	100,457.04	0.51
47	01109	華潤置地有限公司	99,530.09	0.51
48	00880	澳門博彩控股有限公司	93,515.41	0.48
49	01288	中國農業銀行股份有限公司－H股	92,831.25	0.47
50	06808	高鑫零售有限公司	92,058.15	0.47
總額			13,034,009.34	66.58
市場總值			19,575,154.28	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2011	第3季	409,874.98	4,572,502.61	8.96
	第4季	324,530.48	3,678,664.31	8.82
2012	第1季	324,025.04	3,855,821.30	8.40
	第2季	297,380.47	3,061,167.30	9.71
	第3季	295,053.14	2,967,517.56	9.94

* 市場成交金額包括主板及創業板的股份交易

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2011	第3季	39,305.84	10,424,666.89	0.38
	第4季	33,276.30	10,007,013.98	0.33
2012	第1季	33,605.28	9,790,931.90	0.34
	第2季	30,899.22	8,245,256.75	0.37
	第3季	29,541.26	8,151,536.18	0.36

* 市場成交股數包括主板及創業板的股份交易

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2011	第3季	18	1	19,494.12
	第4季	26	1	64,606.62
2012	第1季	15	0	9,607.54
	第2季	10	0	20,184.99
	第3季	12	2	13,628.71

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2012年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
03666	小南國餐飲控股有限公司	2012/07/04	1,475,000,000	511.88
02068	中鋁國際工程股份有限公司－H股	2012/07/06	399,476,000	1,427.22
01237	美麗家園控股有限公司	2012/07/06	1,000,000,000	180.00
03939	萬國國際礦業集團有限公司	2012/07/10	600,000,000	298.50
03633	中裕燃氣控股有限公司*	2012/07/11	2,523,007,684	—
03669	中國永達汽車服務控股有限公司	2012/07/12	1,480,022,000	1,673.36
03948	內蒙古伊泰煤炭股份有限公司－H股	2012/07/12	163,003,500	7,009.15
01332	確利達國際控股有限公司	2012/07/12	143,765,993	22.86
01616	銀仕來控股有限公司	2012/07/12	800,000,000	176.64
01190	航標控股有限公司	2012/07/13	1,014,700,000	483.11
01699	中國普甜食品控股有限公司	2012/07/13	800,000,000	140.00
01335	順泰控股集團有限公司	2012/07/13	415,000,000	138.00
01103	上海棟華石油化工股份有限公司－H股*	2012/07/16	456,190,000	—
06889	DYNAM JAPAN HOLDINGS Co., Ltd.	2012/08/06	742,850,360	1,568.00
總 額				13,628.71

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2012年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
00870	恒豐金業科技有限公司	2008/09/30	2012/07/09

主 板 股 份

公司名稱更改

2012 年 第 3 季

股份代號	原有名稱	新名稱	生效日期	採用日期
00578	中國煤層氣集團有限公司	融信資源控股有限公司	2012/06/01	2012/07/03
01062	新資本國際投資有限公司	國開國際投資有限公司	2012/06/19	2012/07/23
01262	中國休閒食品集團有限公司	蠟筆小新休閒食品集團有限公司	2012/06/01	2012/07/25
02228	海東青新材料集團有限公司	中國節能海東青新材料集團有限公司	2012/06/01	2012/07/27
00119	保利(香港)投資有限公司	保利置業集團有限公司	2012/08/14	2012/08/20
01208	五礦資源有限公司*	五礦資源有限公司	2012/09/06	2012/09/17
01265	天津天聯公用事業股份有限公司－H股	天津津燃公用事業股份有限公司－H股	2012/08/17	2012/09/21
00455	天大控股有限公司	天大藥業有限公司	2012/09/03	2012/09/24
00948	Z-Obee Holdings Ltd. #	融達控股有限公司	2012/09/14	2012/09/24
00159	華南投資控股有限公司	布萊克萬礦業有限公司	2012/09/03	2012/09/25
02266	麗悅酒店集團有限公司	帝盛酒店集團有限公司	2012/08/31	2012/09/28
02288	承達國際控股有限公司	宏基資本有限公司	2012/08/31	2012/09/28

祇改英文名稱

* 祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2012年第3季

股份代號	公司	詳情	除淨日
02338	濰柴動力股份有限公司－H股	10送2	2012/08/02
00886	銀基集團控股有限公司	40送1	2012/08/22
00084	寶光實業(國際)有限公司	10送1	2012/08/27
01050	嘉利國際控股有限公司	1送1	2012/08/28
00767	太平洋實業控股有限公司	每供1股送5股股份	2012/09/11
00065	弘海有限公司	10送2	2012/09/21
02005	利君國際醫藥(控股)有限公司	5送1	2012/09/24
00936	敏達控股有限公司	1送3	2012/09/24

股份拆細及合併

2012年第3季

股份代號	公司	詳情	生效日期
00279	民豐企業控股有限公司	5股合併為1股	2012/07/19
01076	第一天然食品有限公司	80股合併為1股	2012/08/07
00412	漢基控股有限公司	5股合併為1股	2012/08/17
00913	合一投資控股有限公司	8股合併為1股	2012/08/20
00273	威利國際控股有限公司	10股合併為1股	2012/08/21
00928	野馬國際集團有限公司	5股合併為1股	2012/08/23
00419	華億傳媒有限公司	2股合併為1股	2012/08/24
00986	中國環保能源投資有限公司	50股合併為1股	2012/09/10
00471	中國移動多媒體廣播控股有限公司	10股合併為1股	2012/09/10
00767	太平洋實業控股有限公司	8股合併為1股	2012/09/10
00620	太元集團有限公司	50股合併為1股	2012/09/14
02322	三和集團有限公司	5股合併為1股	2012/09/17
01182	中青基業集團有限公司	10股合併為1股	2012/09/18

主 板 股 份

供股／公開售股

2012 年 第 3 季

月份	股份代號	公司名稱	比率	集資金額 (百萬元)
7 月	00362	中國天化工集團有限公司	公開售股 1 供 2，每股供 0.15 港元	223.69
	00692	寶源控股有限公司	公開售股 2 供 7，每股供 0.12 港元	204.09
	01428	耀才證券金融集團有限公司	股權 2 供 1，每股供 0.55 港元	187.78
8 月	00399	聯合基因科技集團有限公司	股權 10 供 3，每股供 0.022 港元	80.29
	00245	中國七星購物有限公司	公開售股 2 供 1，每股供 0.05 港元	36.64
	01076	第一天然食品有限公司	公開售股 1 供 7，每股供 0.5622 港元	58.34
			(80 股合併為 1 股後)	
9 月	00736	中國置業投資控股有限公司	股權 2 供 1，每股供 0.068 港元	10.02
	00616	永義實業集團有限公司	股權 2 供 1，每股供 0.077 港元	29.37
	01037	台和商事控股有限公司	股權 4 供 1，每股供 0.20 港元	15.77
總額				845.99

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2012					
7月	03318	中國香精香料	80,000,000	1.000	80.00
	03311	中國建築國際	300,000,000	7.570	2,271.00
	01278	中國新城鎮	585,000,000	0.350	204.75
	00875	從玉農業	58,360,000	0.205	11.96
	00616	永義實業	114,700,000	0.106	12.16
	00689	長盈集團(控股)	360,000,000	0.155	55.80
	00993	天行國際	90,000,000	0.156	14.04
	00702	中國油氣控股	200,000,000	0.150	30.00
	01063	新確科技	31,320,000	0.179	5.61
	00720	和記行(集團)	491,783,710	0.150	73.77
	03328	交通銀行	5,835,310,438	5.630	32,852.80
	00721	中國金融國際	240,000,000	0.450	108.00
8月	02380	中國電力	443,248,000	2.100	930.82
	01038	長江基建集團	50,000,000	46.150	2,307.50
	00705	南亞礦業	835,000,000	0.050	41.75
	01102	環能國際	154,000,000	0.152	23.41
	03900	綠城中國	162,113,714	5.200	842.99
	01051	國際資源	2,041,287,000	0.380	775.69
	00202	國中控股	854,000,000	0.340	290.36
	00988	樓東俊安資源	60,000,000	0.380	22.80
	03989	新環保能源	127,244,000	0.390	49.63
	02323	至卓國際	93,400,000	0.102	9.55
	01129	中國水業集團	82,000,000	0.500	41.00
	02371	中國東方文化	20,000,000	0.180	3.60
9月	02324	首都創投	84,000,000	0.113	9.49
	00257	中國光大國際	350,000,000	3.580	1,253.00
	01076	第一天然食品	266,830,850	0.562	150.01
	00412	漢基控股	312,417,159	0.260	81.23
	00969	華聯國際	800,000,000	0.600	480.00
	03303	巨濤海洋石油服務	6,000,000	0.600	3.60
	00960	龍湖地產	260,000,000	11.880	3,088.80
	01228	奇峰國際	70,000,000	0.145	10.15
總額					46,135.27

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2011	第3季	28.67	654,145.24	654,173.91
	第4季	100.62	509,956.45	510,057.08
2012	第1季	28.26	525,898.84	525,927.10
	第2季	22.75	382,702.18	382,724.93
	第3季	10.37	355,739.26	355,749.63

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2011	第3季	15	429.33	4,778	86,648.18	4,793	87,077.51
	第4季	13	602.75	4,027	75,484.38	4,040	76,087.13
2012	第1季	13	404.92	4,094	94,572.19	4,107	94,977.11
	第2季	14	378.29	4,032	64,850.10	4,046	65,228.38
	第3季	12	335.18	3,903	77,929.72	3,915	78,264.90

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2011	第3季	2	1,979	73,939.94
	第4季	1	1,276	53,214.21
2012	第1季	1	1,580	50,958.90
	第2季	2	1,373	44,256.44
	第3季	0	1,328	36,903.66

新上市股份認股權證

2012年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

主 板 認 股 權 證

新上市衍生權證

2012年第3季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
19154	BNP Paribas Arbit Issu B.V. - Yurun Food Euro Wts Jan 2014 A	100,000,000	2012/07/03	2014/01/02	16.00
19158	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Feb 2013 A	158,000,000	2012/07/03	2013/02/01	34.76
19149	Goldman Sachs SP (Asia) - Chi Resources Euro Wt Feb 2013 A	120,000,000	2012/07/03	2013/02/25	30.24
19150	Goldman Sachs SP (Asia) - China Tel European Wts Jan 2013 A	100,000,000	2012/07/03	2013/01/14	25.30
19151	Goldman Sachs SP (Asia) - Dongfang Elec Euro Wts Jan 2013 A	120,000,000	2012/07/03	2013/01/14	30.00
19153	Goldman Sachs SP (Asia) - Dongfeng European Wts Mar 2013 A	120,000,000	2012/07/03	2013/03/19	18.36
19152	Goldman Sachs SP (Asia) - Li & Fung European Wts Jul 2013 A	120,000,000	2012/07/03	2013/07/23	22.20
19155	Macquarie Bank Ltd. - CITIC Sec European Warrants Feb 2013 A	180,000,000	2012/07/03	2013/02/15	45.00
19148	Standard Chartered Bank - HSI European Put Wts Nov 2012 A	200,000,000	2012/07/03	2012/11/29	30.00
19156	UBS AG - Sinopec Corp European Warrants Dec 2012 A	100,000,000	2012/07/03	2012/12/19	41.50
19157	UBS AG - ICBC European Warrants Dec 2012 A	100,000,000	2012/07/03	2012/12/17	23.00
17711 #	Daiwa Capital Mkt - A50 China European Warrants Jan 2013 A	380,000,000	2012/07/03	2013/01/02	31.92
19182	Credit Suisse AG - China Tel European Warrants Nov 2012 B	80,000,000	2012/07/04	2012/11/16	12.00
19183	Credit Suisse AG - ChiUnicom European Warrants Jan 2013 A	80,000,000	2012/07/04	2013/01/04	52.80
19181	Credit Suisse AG - HSI European Warrants Jan 2013 A	300,000,000	2012/07/04	2013/01/30	45.00
19179	Daiwa Capital Mkt - A50 China European Warrants May 2014 A	128,000,000	2012/07/04	2014/05/02	23.04
19180	Daiwa Capital Mkt - A50 China European Put Wts Oct 2013 A	108,000,000	2012/07/04	2013/10/02	23.76
19175	Goldman Sachs SP (Asia) - BYD European Warrants Mar 2013 A	120,000,000	2012/07/04	2013/03/04	30.00
19165	Goldman Sachs SP (Asia) - CNOOC European Warrants Apr 2013 A	120,000,000	2012/07/04	2013/04/22	26.76
19167	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Jan 2013 B	100,000,000	2012/07/04	2013/01/07	19.10
19166	Goldman Sachs SP (Asia) - HKEx European Warrants Jan 2013 A	100,000,000	2012/07/04	2013/01/14	31.10
19164	Goldman Sachs SP (Asia) - JIANG European Warrants Mar 2013 A	120,000,000	2012/07/04	2013/03/25	24.84
19168	Macquarie Bank Ltd. - Huaneng Power European Wts Jan 2013 A	120,000,000	2012/07/04	2013/01/03	30.00
19169	Macquarie Bank Ltd. - MTRC European Warrants Oct 2013 A	180,000,000	2012/07/04	2013/10/03	45.00
19174	Macquarie Bank Ltd. - Sands China European Wts Dec 2012 B	180,000,000	2012/07/04	2012/12/10	28.44
19162	Nomura Int'l plc - CNOOC European Warrants Dec 2012 A	100,000,000	2012/07/04	2012/12/24	15.00
19161	Nomura Int'l plc - HKEx European Warrants Nov 2013 A	100,000,000	2012/07/04	2013/11/29	15.00
19159	Nomura Int'l plc - HSBC European Warrants Oct 2012 A	100,000,000	2012/07/04	2012/10/31	31.00
19160	Nomura Int'l plc - HSBC European Warrants Nov 2012 A	100,000,000	2012/07/04	2012/11/30	15.00
19163	Nomura Int'l plc - Ping An European Warrants Dec 2012 A	100,000,000	2012/07/04	2012/12/24	41.50
19177	CC Rabobank B.A. - Belle Int'l European Warrants Jun 2013 A	100,000,000	2012/07/04	2013/06/25	17.00
19176	CC Rabobank B.A. - CITIC Sec European Warrants Dec 2012 A	80,000,000	2012/07/04	2012/12/17	12.00
19178	CC Rabobank B.A. - China Shenhua European Warrants Jan 2013B	80,000,000	2012/07/04	2013/01/07	20.00
19172	Standard Chartered Bank - CC Bank European Put Wts Feb 2013B	80,000,000	2012/07/04	2013/02/22	20.00
19173	Standard Chartered Bank - China Life Euro Put Wts Feb 2013 B	80,000,000	2012/07/04	2013/02/22	20.00
19170	Standard Chartered Bank - HSBC European Put Wts Feb 2013 A	80,000,000	2012/07/04	2013/02/22	28.00
19171	Standard Chartered Bank - Tencent Hldg Eu Put Wts Feb 2013 A	80,000,000	2012/07/04	2013/02/22	20.00
19186	UBS AG - BOC HK European Warrants Nov 2012 A	100,000,000	2012/07/04	2012/11/27	15.00
19184	UBS AG - China Life European Warrants Dec 2012 F	300,000,000	2012/07/04	2012/12/03	45.00
19185	UBS AG - ICBC European Warrants Dec 2012 B	100,000,000	2012/07/04	2012/12/17	15.00
19188	UBS AG - Sands China European Warrants Dec 2012 B	100,000,000	2012/07/04	2012/12/03	32.00
10153	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Mar 2013 A	100,000,000	2012/07/05	2013/03/12	25.00
19206	Credit Suisse AG - Tencent Holdings European Wts Dec 2012 D	100,000,000	2012/07/05	2012/12/03	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
19202	Goldman Sachs SP (Asia) - Lenovo European Put Wts Jan 2013 A	80,000,000	2012/07/05	2013/01/14	42.08
19197	HK Bank - A50 China European Warrants Jan 2013 A	200,000,000	2012/07/05	2013/01/28	30.00
19200	HK Bank - ICBC European Warrants Jan 2013 A	80,000,000	2012/07/05	2013/01/14	20.00
19198	HK Bank - Lenovo European Warrants May 2014 A	150,000,000	2012/07/05	2014/05/26	22.50
19196	HK Bank - Sands China European Warrants Jan 2013 A	60,000,000	2012/07/05	2013/01/28	15.00
19199	HK Bank - Tencent European Warrants Jan 2013 A	120,000,000	2012/07/05	2013/01/14	36.00
19201	HK Bank - Tencent European Put Warrants Feb 2013 A	120,000,000	2012/07/05	2013/02/25	30.00
19203	J P Morgan SP BV - ICBC European Warrants Dec 2012 A	200,000,000	2012/07/05	2012/12/17	32.20
19195	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jan 2013 A	100,000,000	2012/07/05	2013/01/07	25.00
19194	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Dec 2012 C	200,000,000	2012/07/05	2012/12/03	46.00
19193	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Feb 2013 A	200,000,000	2012/07/05	2013/02/04	50.00
19192	SGA Societe Generale Acceptance N.V.-Sands China Eu Wt Jan13A	100,000,000	2012/07/05	2013/01/07	25.00
19205	UBS AG - CITIC Sec European Warrants Nov 2012 A	100,000,000	2012/07/05	2012/11/12	15.00
19189	UBS AG - China Life European Warrants Jan 2013 A	300,000,000	2012/07/05	2013/01/25	45.00
19191	UBS AG - China Mobile European Warrants Dec 2012 A	200,000,000	2012/07/05	2012/12/17	46.00
19190	UBS AG - HKEx European Warrants Nov 2013 A	300,000,000	2012/07/05	2013/11/26	45.00
19207	UBS AG - Jiangxi Copper European Warrants Mar 2013 A	100,000,000	2012/07/05	2013/03/25	18.00
19204	UBS AG - New World European Warrants Dec 2012 A	100,000,000	2012/07/05	2012/12/10	30.00
16324 [#]	Standard Chartered Bank - Sinopec Corp Euro Wts Nov 2013	150,000,000	2012/07/05	2013/11/01	10.80
19223	Credit Suisse AG - Chow Tai Fook European Wts Jan 2013 A	50,000,000	2012/07/06	2013/01/07	30.00
19221	Credit Suisse AG - HSBC European Wts Oct 2012 B	100,000,000	2012/07/06	2012/10/09	15.00
19222	Credit Suisse AG - Hutchison European Warrants Nov 2012 A	100,000,000	2012/07/06	2012/11/30	20.00
19227	Daiwa Capital Mkt - ICBC European Warrants Dec 2012 A	128,000,000	2012/07/06	2012/12/10	25.60
19213	Bank of East Asia - BOCHK European Warrants Dec 2013 A	60,000,000	2012/07/06	2013/12/23	15.00
19215	Bank of East Asia - BYD European Warrants Feb 2013 A	100,000,000	2012/07/06	2013/02/22	25.00
19229	Bank of East Asia - China Coal European Put Wts Jun 2014 A	230,000,000	2012/07/06	2014/06/26	57.50
19217	Bank of East Asia - CNBM European Warrants Dec 2013 A	190,000,000	2012/07/06	2013/12/20	47.50
19214	Bank of East Asia - China Overseas Eur Put Wts Jun 2013 B	100,000,000	2012/07/06	2013/06/21	25.00
19228	Bank of East Asia - JIANG European Put Warrants Jun 2013 A	110,000,000	2012/07/06	2013/06/26	27.50
19216	Bank of East Asia - Yanzhou Coal European Wts Sep 2013 A	110,000,000	2012/07/06	2013/09/16	16.50
19224	J P Morgan SP BV - Esprit European Wts Aug 2013 A	150,000,000	2012/07/06	2013/08/02	37.50
19226	J P Morgan SP BV - JX Copper European Warrants Mar 2013 A	200,000,000	2012/07/06	2013/03/27	50.00
19225	J P Morgan SP BV - Shimao Property European Wts Jul 2013 A	150,000,000	2012/07/06	2013/07/03	37.50
19209	J P Morgan SP BV - Tencent European Warrants Jan 2013 A	200,000,000	2012/07/06	2013/01/07	30.00
19208	J P Morgan SP BV - Tencent European Put Warrants Nov 2012 A	200,000,000	2012/07/06	2012/11/27	50.00
19211	Macquarie Bank Ltd. - CNBM European Warrants Feb 2014 A	180,000,000	2012/07/06	2014/02/04	45.00
19212	Macquarie Bank Ltd. - Luk Fook Hold European Wts Jan 2013 A	180,000,000	2012/07/06	2013/01/14	27.00
19210	Standard Chartered Bank - Hutchison Euro Euro Wts Dec 2012 A	40,000,000	2012/07/06	2012/12/03	12.80
19219	UBS AG - China Overseas European Warrants Feb 2013 A	100,000,000	2012/07/06	2013/02/27	18.00
19220	UBS AG - HKEx European Put Warrants Dec 2013 A	100,000,000	2012/07/06	2013/12/23	18.00
19218	UBS AG - Lenovo European Warrants May 2014 A	100,000,000	2012/07/06	2014/05/29	15.00
14031 [#]	Standard Chartered Bank - Hutchison Euro Euro Wts Oct 2012	290,000,000	2012/07/06	2012/10/19	10.15
19234	Nomura Int'l plc - HuanengPower European Warrants Jan 2013 A	50,000,000	2012/07/09	2013/01/28	16.25
19233	Nomura Int'l plc - Lenovo European Warrants Jan 2013 A	50,000,000	2012/07/09	2013/01/28	23.75

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		發行單位	上市日期	到期日	
19230	Nomura Int'l plc - Sany Int'l European Warrants Jan 2013 A	50,000,000	2012/07/09	2013/01/30	16.50
19232	Nomura Int'l plc - Zhaojin Mining European Wts Sep 2013 A	80,000,000	2012/07/09	2013/09/25	12.48
19231	Nomura Int'l plc - ZTE European Warrants May 2013 A	80,000,000	2012/07/09	2013/05/27	14.40
19236	Standard Chartered Bank - Agricultural Bk Euro Wts Nov 2012A	100,000,000	2012/07/09	2012/11/27	15.00
19235	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Dec 2012 C	200,000,000	2012/07/09	2012/12/10	62.00
12798 #	Standard Chartered Bank - GCL-Poly Energy European Wts Jul14	500,000,000	2012/07/09	2014/07/31	10.00
19239	Credit Suisse AG - HSI European Warrants Dec 2012 H	300,000,000	2012/07/10	2012/12/28	45.00
19240	Credit Suisse AG - HSI European Put Warrants Nov 2012 C	300,000,000	2012/07/10	2012/11/29	51.00
19237	Goldman Sachs SP (Asia) - Chi Res Land Euro Wts Mar 2013 A	120,000,000	2012/07/10	2013/03/04	30.00
19238	Goldman Sachs SP (Asia) - Yanzhou Coal Euro Wts Sep 2013 A	120,000,000	2012/07/10	2013/09/18	18.00
19242	Macquarie Bank Ltd. - Shimao Ppt European Wts Jun 2013 A	180,000,000	2012/07/10	2013/06/04	45.00
19241	Macquarie Bank Ltd. - Zhaojin Mining Euro Wts May 2013 A	180,000,000	2012/07/10	2013/05/20	27.00
19246	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jan 2013 A	200,000,000	2012/07/10	2013/01/16	54.00
19243	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2012D	200,000,000	2012/07/10	2012/10/22	36.00
19244	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2012 B	200,000,000	2012/07/10	2012/10/10	36.00
19245	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2012 A	200,000,000	2012/07/10	2012/12/03	30.00
19248	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Feb 2013 A	200,000,000	2012/07/10	2013/02/04	54.00
19247	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jan 2013 A	200,000,000	2012/07/10	2013/01/16	50.00
17681 #	CC Rabobank B.A. - CNBM European Warrants Dec 2012 A	220,000,000	2012/07/10	2012/12/20	10.12
10154	BNP Paribas Arbit Issu B.V. - Gold European Wts Jun 2013 A	100,000,000	2012/07/11	2013/06/06	25.00
10155	BNP Paribas Arbit Issu B.V. - Gold European Wts Jun 2013 B	100,000,000	2012/07/11	2013/06/06	25.00
19261	Credit Suisse AG - China Mobile European Warrants Oct 2012 C	80,000,000	2012/07/11	2012/10/17	12.00
19260	Credit Suisse AG - China Mobile European Warrants Nov 2012 A	80,000,000	2012/07/11	2012/11/12	14.40
19258	Credit Suisse AG - Sinopec Corp European Warrants Dec 2012 A	50,000,000	2012/07/11	2012/12/24	17.50
19263	Credit Suisse AG - HSI European Put Warrants Nov 2012 D	300,000,000	2012/07/11	2012/11/29	54.00
19262	Credit Suisse AG - HSI European Put Warrants Dec 2012 I	300,000,000	2012/07/11	2012/12/28	54.00
19259	Credit Suisse AG - Hutchison European Warrants Oct 2012 C	80,000,000	2012/07/11	2012/10/29	14.40
19249	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2012 C	120,000,000	2012/07/11	2012/10/22	18.36
19252	HK Bank - China Life European Warrants Jun 2013 A	150,000,000	2012/07/11	2013/06/10	37.50
19251	HK Bank - HSI European Warrants May 2013 A	250,000,000	2012/07/11	2013/05/30	62.50
19250	HK Bank - HSI European Put Warrants May 2014 A	250,000,000	2012/07/11	2014/05/29	62.50
19265	J P Morgan SP BV - China Life European Warrants Jan 2013 C	300,000,000	2012/07/11	2013/01/25	49.20
19264	J P Morgan SP BV - China Mob European Wts Dec 2012 B	150,000,000	2012/07/11	2012/12/31	37.95
19253	Merrill Lynch Int'l & Co. C.V. - BOC HK Eu Put Wt Dec 2012 A	70,000,000	2012/07/11	2012/12/17	11.06
19257	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Dec 2012 B	70,000,000	2012/07/11	2012/12/10	10.57
19256	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Mar 2013 B	70,000,000	2012/07/11	2013/03/11	11.06
19254	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Nov 2012B	60,000,000	2012/07/11	2012/11/30	12.54
19255	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Nov 2012C	60,000,000	2012/07/11	2012/11/12	17.70
19268	CC Rabobank B.A. - HKEx European Warrants Jan 2013 B	120,000,000	2012/07/11	2013/01/21	48.00
19267	CC Rabobank B.A. - HKEx European Put Warrants Jan 2013 A	120,000,000	2012/07/11	2013/01/21	60.00
19270	CC Rabobank B.A. - Lenovo European Warrants Jan 2013 B	100,000,000	2012/07/11	2013/01/14	36.00
19269	CC Rabobank B.A. - Ping An European Warrants Jan 2013 A	100,000,000	2012/07/11	2013/01/21	25.00
19271	UBS AG - HSI European Put Warrants Nov 2012 D	300,000,000	2012/07/11	2012/11/29	57.00
19266	UBS AG - Hutchison European Warrants Nov 2012 B	200,000,000	2012/07/11	2012/11/27	30.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
19289	HK Bank - Ch Ship Cont European Warrants Mar 2013 A	40,000,000	2012/07/12	2013/03/04	10.00
19290	HK Bank - Shanghai Electric European Warrants Mar 2013 A	40,000,000	2012/07/12	2013/03/04	10.00
19287	J P Morgan SP BV - China Tel European Warrants Feb 2013 A	150,000,000	2012/07/12	2013/02/28	37.50
19291	J P Morgan SP BV - Lenovo European Warrants May 2014 A	200,000,000	2012/07/12	2014/05/30	30.00
19286	J P Morgan SP BV - Yanzhou Coal European Warrants Nov 2013 A	200,000,000	2012/07/12	2013/11/26	35.00
19272	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Jun 2013 A	80,000,000	2012/07/12	2013/06/03	12.16
19275	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Feb 2013 B	100,000,000	2012/07/12	2013/02/27	25.00
19274	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Feb 2013A	100,000,000	2012/07/12	2013/02/27	25.00
19273	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Apr 2013A	100,000,000	2012/07/12	2013/04/29	25.00
19280	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2012 E	100,000,000	2012/07/12	2012/12/28	15.10
19279	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jan 2013 D	100,000,000	2012/07/12	2013/01/30	21.20
19278	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2012 D	100,000,000	2012/07/12	2012/12/28	18.00
19276	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jan 2013 B	100,000,000	2012/07/12	2013/01/30	25.00
19277	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jan 2013 C	100,000,000	2012/07/12	2013/01/30	25.00
19288	CC Rabobank B.A. - Gome European Warrants Jun 2013 A	100,000,000	2012/07/12	2013/06/24	25.00
19282	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jun 2013 A	100,000,000	2012/07/12	2013/06/03	19.00
19283	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jun 2013A	300,000,000	2012/07/12	2013/06/03	45.00
19281	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2012 B	300,000,000	2012/07/12	2012/12/28	48.00
19284	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Dec 2012 D	200,000,000	2012/07/12	2012/12/03	30.00
19285	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec 12 B	100,000,000	2012/07/12	2012/12/03	29.00
19293	UBS AG - China Life European Warrants Jun 2013 A	300,000,000	2012/07/12	2013/06/11	45.00
19292	UBS AG - HSI European Warrants Dec 2012 H	300,000,000	2012/07/12	2012/12/28	45.00
19308	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Apr 2013B	100,000,000	2012/07/13	2013/04/03	25.00
19306	J P Morgan SP BV - China Life European Warrants Jul 2013 A	300,000,000	2012/07/13	2013/07/03	75.00
19305	J P Morgan SP BV - Yurun Food European Warrants Dec 2013 A	200,000,000	2012/07/13	2013/12/16	30.00
19303	Macquarie Bank Ltd. - Lenovo European Warrants Feb 2013 A	100,000,000	2012/07/13	2013/02/04	43.10
19298	Nomura Int'l plc - CNBM European Warrants Nov 2012 A	80,000,000	2012/07/13	2012/11/30	12.40
19294	Nomura Int'l plc - Sinopec European Warrants Jan 2013 A	50,000,000	2012/07/13	2013/01/31	12.50
19297	Nomura Int'l plc - A50 China European Warrants Jun 2013 A	80,000,000	2012/07/13	2013/06/20	12.00
19295	Nomura Int'l plc - ICBC European Warrants Dec 2012 A	35,000,000	2012/07/13	2012/12/24	12.25
19296	Nomura Int'l plc - ICBC European Warrants Dec 2012 B	80,000,000	2012/07/13	2012/12/24	12.00
19301	Standard Chartered Bank - Lenovo European Wts Dec 2014 A	100,000,000	2012/07/13	2014/12/01	25.00
19299	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Dec 2012A	100,000,000	2012/07/13	2012/12/19	35.00
19300	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Dec 2012A	50,000,000	2012/07/13	2012/12/24	10.50
19302	UBS AG - Galaxy Ent European Warrants Nov 2012 A	100,000,000	2012/07/13	2012/11/27	16.00
19304	UBS AG - ICBC European Warrants Jan 2013 A	100,000,000	2012/07/13	2013/01/07	15.00
19307	UBS AG - Ping An European Warrants Nov 2012 A	100,000,000	2012/07/13	2012/11/27	17.00
19326	Barclays Bank plc - Agricultural Bank European Wts Feb 2013A	100,000,000	2012/07/16	2013/02/18	25.70
19328	Barclays Bank plc - CC Bank European Warrants Feb 2013 A	100,000,000	2012/07/16	2013/02/18	26.00
19330	Barclays Bank plc - CNOOC European Warrants Nov 2013 A	100,000,000	2012/07/16	2013/11/27	25.00
19329	Barclays Bank plc - HSBC European Warrants Feb 2013 A	100,000,000	2012/07/16	2013/02/18	25.70
19324	Barclays Bank plc - HSI European Warrants Oct 2013 A	100,000,000	2012/07/16	2013/10/30	25.50
19325	Barclays Bank plc - HSI European Put Warrants Aug 2013 A	100,000,000	2012/07/16	2013/08/29	25.00
19327	Barclays Bank plc - ICBC European Warrants Feb 2013 A	100,000,000	2012/07/16	2013/02/18	25.70

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		發行單位	上市日期	到期日	
19332	Credit Suisse AG - ICBC European Warrants Dec 2012 A	80,000,000	2012/07/16	2012/12/24	12.00
19318	HK Bank - China Mobile European Put Warrants Mar 2013 A	80,000,000	2012/07/16	2013/03/04	20.00
19319	HK Bank - Foxconn European Warrants Jun 2013 A	40,000,000	2012/07/16	2013/06/21	10.00
19323	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Dec 2012 C	40,000,000	2012/07/16	2012/12/10	14.60
19321	Merrill Lynch Int'l & Co. C.V. - CC Bank Eu Put Wt Jan 2013A	40,000,000	2012/07/16	2013/01/21	12.00
19322	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Dec 2012 B	40,000,000	2012/07/16	2012/12/17	12.00
19320	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Put Wt Jan 2013 A	40,000,000	2012/07/16	2013/01/21	10.40
19309	SGA Societe Generale Acceptance N.V. - CCB Eur Wt May 2013 A	200,000,000	2012/07/16	2013/05/15	50.00
19310	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Mar 2013A	300,000,000	2012/07/16	2013/03/04	75.00
19311	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Apr 2013A	300,000,000	2012/07/16	2013/04/03	75.00
19312	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jun 2013A	300,000,000	2012/07/16	2013/06/03	60.00
19313	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt Feb 2013A	100,000,000	2012/07/16	2013/02/04	26.00
19316	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Feb 2013A	500,000,000	2012/07/16	2013/02/04	75.00
19317	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Dec12C	300,000,000	2012/07/16	2012/12/24	45.00
19314	SGA Societe Generale Acceptance N.V. - JIANC Eu Wt Feb 2013A	100,000,000	2012/07/16	2013/02/04	25.00
19315	SGA Societe Generale Acceptance N.V. - PICC P&C Eu Wt Dec13A	150,000,000	2012/07/16	2013/12/23	37.50
19331	UBS AG - China Life European Warrants Feb 2013 A	300,000,000	2012/07/16	2013/02/25	75.00
19358	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Apr 2013 A	100,000,000	2012/07/17	2013/04/03	25.00
19352	BNP Paribas Arbit Issu B.V. - Beijing Ent Euro Wt Apr 2013 A	22,000,000	2012/07/17	2013/04/03	10.56
19350	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Apr 2013 A	36,000,000	2012/07/17	2013/04/03	12.24
19351	BNP Paribas Arbit Issu B.V. - BYD European Wts Apr 2013 A	55,000,000	2012/07/17	2013/04/03	13.75
19349	BNP Paribas Arbit Issu B.V. - CQRC Bank Euro Wts Apr 2013 A	35,000,000	2012/07/17	2013/04/03	16.45
19348	BNP Paribas Arbit Issu B.V. - HK Gas European Wts Jun 2013 A	100,000,000	2012/07/17	2013/06/04	25.00
19356	BNP Paribas Arbit Issu B.V. - ICBC European Wts Feb 2013 B	100,000,000	2012/07/17	2013/02/04	16.00
10157	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Wt May 2013 B	100,000,000	2012/07/17	2013/05/20	25.00
10156	BNP Paribas Arbit Issu B.V. - Oil Futures Eu Put Wt May 13 A	100,000,000	2012/07/17	2013/05/20	25.00
19355	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Apr 2013 A	100,000,000	2012/07/17	2013/04/03	28.00
19354	BNP Paribas Arbit Issu B.V. - Weichai Power Eu Wt Apr 2013 A	42,000,000	2012/07/17	2013/04/03	12.60
19357	BNP Paribas Arbit Issu B.V. - Wynn Macau Euro Wts Apr 2013 A	100,000,000	2012/07/17	2013/04/03	26.00
19345	Goldman Sachs SP (Asia) - Agri Bk European Wts Apr 2013 A	80,000,000	2012/07/17	2013/04/08	20.08
19346	Goldman Sachs SP (Asia) - BankComm European Wts Feb 2013 A	80,000,000	2012/07/17	2013/02/04	20.88
19344	Goldman Sachs SP (Asia) - JIANC European Warrants Apr 2013 A	100,000,000	2012/07/17	2013/04/11	17.40
19342	J P Morgan SP BV - HKEx European Warrants Jan 2013 A	100,000,000	2012/07/17	2013/01/21	25.00
19343	J P Morgan SP BV - HKEx European Warrants Nov 2013 A	200,000,000	2012/07/17	2013/11/26	30.00
19338	Nomura Int'l plc - BOC HK European Warrants Aug 2013 A	80,000,000	2012/07/17	2013/08/30	12.00
19335	Nomura Int'l plc - China Telecom European Wts Jan 2013 A	35,000,000	2012/07/17	2013/01/31	13.13
19336	Nomura Int'l plc - China Unicom European Warrants Aug 2013 A	80,000,000	2012/07/17	2013/08/30	12.00
19334	Nomura Int'l plc - HSBC European Warrants Dec 2012 A	25,000,000	2012/07/17	2012/12/24	19.00
19337	Nomura Int'l plc - Yanzhou Coal European Warrants Jun 2013 A	80,000,000	2012/07/17	2013/06/10	12.00
19339	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Mar 2013 A	100,000,000	2012/07/17	2013/03/04	25.00
19340	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Dec 2012 C	150,000,000	2012/07/17	2012/12/05	22.50
19341	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Dec 2013 A	150,000,000	2012/07/17	2013/12/23	30.00
19353	UBS AG - AIA European Warrants Dec 2012 A	100,000,000	2012/07/17	2012/12/27	16.00
19333	UBS AG - HSI European Put Warrants Dec 2012 I	300,000,000	2012/07/17	2012/12/28	63.00

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		發行單位	上市日期	到期日	
19347	UBS AG - HSI European Put Warrants Mar 2013 A	300,000,000	2012/07/17	2013/03/27	45.00
19363	BOCI Asia Ltd. - CC Bank European Put Warrants Feb 2013 A	100,000,000	2012/07/18	2013/02/22	25.00
19362	BOCI Asia Ltd. - ICBC European Put Warrants Jan 2013 A	100,000,000	2012/07/18	2013/01/21	25.00
19368	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Apr 2013 B	200,000,000	2012/07/18	2013/04/03	50.00
19367	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Apr 2013 A	100,000,000	2012/07/18	2013/04/03	25.00
19369	Credit Suisse AG - CC Bank European Warrants Nov 2012 D	70,000,000	2012/07/18	2012/11/30	21.00
19370	Credit Suisse AG - ICBC European Warrants Dec 2012 B	70,000,000	2012/07/18	2012/12/24	15.40
19359	Goldman Sachs SP (Asia) - Evergrande European Wts Jan 2013 A	80,000,000	2012/07/18	2013/01/30	36.80
19360	Goldman Sachs SP (Asia) - Yurun Food European Wts Jan 2013 A	80,000,000	2012/07/18	2013/01/30	41.76
19365	HK Bank - HSI European Warrants Dec 2012 M	250,000,000	2012/07/18	2012/12/28	37.50
19364	HK Bank - Shimao Ppt European Warrants Dec 2013 A	60,000,000	2012/07/18	2013/12/13	15.00
19374	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Dec 2012 B	200,000,000	2012/07/18	2012/12/03	50.60
19375	J P Morgan SP BV - Henganintl European Warrants Oct 2015 A	200,000,000	2012/07/18	2015/10/05	50.00
19373	J P Morgan SP BV - HSI European Warrants Jan 2013 B	300,000,000	2012/07/18	2013/01/30	45.00
19372	J P Morgan SP BV - ICBC European Warrants Dec 2012 B	200,000,000	2012/07/18	2012/12/17	41.80
19366	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep13A	100,000,000	2012/07/18	2013/09/16	15.00
19377	UBS AG - CC Bank European Warrants Jan 2013 A	200,000,000	2012/07/18	2013/01/29	30.00
19361	UBS AG - CPIC European Warrants Dec 2012 A	100,000,000	2012/07/18	2012/12/24	18.00
19378	UBS AG - HSBC European Warrants Dec 2012 B	200,000,000	2012/07/18	2012/12/24	50.00
19376	UBS AG - ICBC European Warrants Dec 2012 C	100,000,000	2012/07/18	2012/12/24	26.00
15836 [#]	J P Morgan SP BV - HSI European Warrants Oct 2012	300,000,000	2012/07/18	2012/10/30	10.20
19391	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Feb 2013 B	60,000,000	2012/07/19	2013/02/04	15.00
19394	BNP Paribas Arbit Issu B.V. - CITIC Pacific Eu Wt Jan 2014 A	57,000,000	2012/07/19	2014/01/03	14.25
19392	BNP Paribas Arbit Issu B.V.-CM Bank Euro Warrants Jan 2014 A	100,000,000	2012/07/19	2014/01/03	25.00
19390	BNP Paribas Arbit Issu B.V. - Henganintl Euro Wts Feb 2013 A	31,000,000	2012/07/19	2013/02/04	10.54
19393	BNP Paribas Arbit Issu B.V. - HLand Dev Euro Wts Feb 2013 A	53,000,000	2012/07/19	2013/02/04	13.25
19388	Credit Suisse AG - China Life European Warrants Jan 2013 A	80,000,000	2012/07/19	2013/01/25	13.44
19395	Goldman Sachs SP (Asia) - Agile Ppt European Wts Jun 2013 A	120,000,000	2012/07/19	2013/06/26	18.60
19383	Goldman Sachs SP (Asia) - CC Bank European Wts Dec 2012 E	100,000,000	2012/07/19	2012/12/10	28.00
19382	Goldman Sachs SP (Asia) - ZTE European Warrants Mar 2013 A	120,000,000	2012/07/19	2013/03/25	18.00
19384	J P Morgan SP BV - A50 China European Warrants Jan 2013 A	100,000,000	2012/07/19	2013/01/21	32.80
19385	J P Morgan SP BV - Galaxy Ent European Warrants Jun 2013 A	200,000,000	2012/07/19	2013/06/25	50.00
19379	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Dec 2012 A	150,000,000	2012/07/19	2012/12/19	22.50
19380	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Oct 2013 A	150,000,000	2012/07/19	2013/10/02	22.50
19381	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Dec 12A	50,000,000	2012/07/19	2012/12/03	12.50
19397	UBS AG - BOCL European Warrants Dec 2012 B	100,000,000	2012/07/19	2012/12/28	19.00
19396	UBS AG - China National Building European Warrants Nov 2012A	100,000,000	2012/07/19	2012/11/26	15.00
19389	UBS AG - HSCEI European Warrants Mar 2013 A	100,000,000	2012/07/19	2013/03/27	15.00
19387	UBS AG - Tencent Holdings European Warrants Dec 2012 B	100,000,000	2012/07/19	2012/12/24	16.00
19407	Credit Suisse AG - Yanzhou Coal European Warrants Jan 2013 A	50,000,000	2012/07/20	2013/01/22	42.50
19404	J P Morgan SP BV - Evergrande European Warrants Feb 2013 A	100,000,000	2012/07/20	2013/02/01	31.10
19403	J P Morgan SP BV - Geely Auto European Warrants Feb 2013 A	80,000,000	2012/07/20	2013/02/01	20.00
19402	J P Morgan SP BV - Gome European Warrants Oct 2013 A	100,000,000	2012/07/20	2013/10/02	25.00
19401	J P Morgan SP BV - Henderson Land Dev European Wts Feb 2013A	100,000,000	2012/07/20	2013/02/01	25.00

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		發行單位	上市日期	到期日	
19400	J P Morgan SP BV - Li & Fung European Warrants Jun 2013 A	180,000,000	2012/07/20	2013/06/27	27.00
19405	Macquarie Bank Ltd. - CC Bank European Warrants Dec 2012 B	100,000,000	2012/07/20	2012/12/04	15.00
19406	Macquarie Bank Ltd. - CC Bank European Put Wts Feb 2013 A	100,000,000	2012/07/20	2013/02/04	25.00
19414	Macquarie Bank Ltd. - China Life European Wts Feb 2013 A	200,000,000	2012/07/20	2013/02/04	33.20
19410	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jan 2013 B	150,000,000	2012/07/20	2013/01/21	67.50
19398	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Jan2013A	50,000,000	2012/07/20	2013/01/21	18.00
19411	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Oct 2012 C	200,000,000	2012/07/20	2012/10/24	44.00
19412	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2012 B	200,000,000	2012/07/20	2012/12/03	50.00
19413	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jan 2013 A	200,000,000	2012/07/20	2013/01/02	64.00
19408	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2012 D	300,000,000	2012/07/20	2012/11/29	45.00
19409	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2012 C	300,000,000	2012/07/20	2012/12/28	45.00
19399	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jan 2013 B	200,000,000	2012/07/20	2013/01/21	60.00
19419	UBS AG - BYD European Warrants Feb 2013 A	100,000,000	2012/07/20	2013/02/18	18.00
19417	UBS AG - China Life European Put Warrants Mar 2013 A	100,000,000	2012/07/20	2013/03/11	17.00
19420	UBS AG - CNOOC European Put Warrants Nov 2012 E	100,000,000	2012/07/20	2012/11/02	15.00
19415	UBS AG - Chow Tai Fook European Warrants Dec 2012 A	50,000,000	2012/07/20	2012/12/28	22.50
19418	UBS AG - A50 China European Warrants May 2014 A	300,000,000	2012/07/20	2014/05/05	45.00
19416	UBS AG - HSBC European Put Warrants Nov 2012 A	100,000,000	2012/07/20	2012/11/27	24.00
19431	HK Bank - CC Bank European Warrants Mar 2013 B	80,000,000	2012/07/23	2013/03/04	20.00
19432	HK Bank - A50 China European Warrants May 2013 A	80,000,000	2012/07/23	2013/05/20	20.00
19433	HK Bank - HSI European Warrants Jan 2013 A	250,000,000	2012/07/23	2013/01/30	37.50
19434	HK Bank - HSI European Warrants Mar 2013 A	250,000,000	2012/07/23	2013/03/27	62.50
19445	J P Morgan SP BV - Anhui Conch European Warrants Dec 2012 A	200,000,000	2012/07/23	2012/12/03	30.00
19444	J P Morgan SP BV - CM Bank European Warrants Dec 2013 A	200,000,000	2012/07/23	2013/12/31	50.00
19443	J P Morgan SP BV - Ch Overseas European Warrants Jul 2013 A	200,000,000	2012/07/23	2013/07/02	50.00
19442	J P Morgan SP BV - Chow Tai Fook European Warrants Oct 2014A	100,000,000	2012/07/23	2014/10/03	25.00
19440	J P Morgan SP BV - A50 China European Warrants Sep 2014 A	200,000,000	2012/07/23	2014/09/15	30.00
19441	J P Morgan SP BV - GCL-Poly European Warrants Jan 2014 A	150,000,000	2012/07/23	2014/01/20	37.65
19439	J P Morgan SP BV - Luk Fook Hold European Warrants May 2013A	88,000,000	2012/07/23	2013/05/03	22.00
19438	J P Morgan SP BV - Sands China European Warrants Jul 2013 A	200,000,000	2012/07/23	2013/07/02	50.00
19437	J P Morgan SP BV - ZTE European Warrants Apr 2013 A	100,000,000	2012/07/23	2013/04/02	25.00
19446	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Jan 2013 B	100,000,000	2012/07/23	2013/01/03	15.00
19451	Macquarie Bank Ltd. - HSBC European Warrants Feb 2013 A	180,000,000	2012/07/23	2013/02/06	28.44
19447	Macquarie Bank Ltd. - ICBC European Warrants Feb 2013 A	120,000,000	2012/07/23	2013/02/06	18.00
19448	Macquarie Bank Ltd. - ZTE European Warrants Mar 2013 A	180,000,000	2012/07/23	2013/03/04	45.00
19421	Merrill Lynch Int'l & Co. C.V. - BOCL European Wt Jan 2013 A	60,000,000	2012/07/23	2013/01/07	11.52
19425	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Nov 2013 A	60,000,000	2012/07/23	2013/11/27	10.74
19430	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Dec 2012 A	40,000,000	2012/07/23	2012/12/24	12.80
19422	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2012 C	60,000,000	2012/07/23	2012/12/03	15.90
19423	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2012 D	60,000,000	2012/07/23	2012/12/24	12.06
19424	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Nov 2012 C	40,000,000	2012/07/23	2012/11/26	10.00
19429	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Dec 2012 A	60,000,000	2012/07/23	2012/12/10	12.72
19450	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Feb 2013 A	200,000,000	2012/07/23	2013/02/18	34.00
19436	UBS AG - CC Bank European Put Warrants Feb 2013 A	100,000,000	2012/07/23	2013/02/04	25.00

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		發行單位	上市日期	到期日	
19435	UBS AG - ICBC European Put Warrants Jan 2013 B	100,000,000	2012/07/23	2013/01/28	25.00
19449	UBS AG - Ping An European Warrants Dec 2012 B	100,000,000	2012/07/23	2012/12/04	22.00
19468	Credit Suisse AG - Hutchison European Put Warrants Dec 2012B	80,000,000	2012/07/24	2012/12/04	20.80
19480	Daiwa Capital Mkt - BOCL European Warrants May 2013 A	108,000,000	2012/07/24	2013/05/27	16.20
19471	Daiwa Capital Mkt - CCB European Warrants Dec 2012 A	128,000,000	2012/07/24	2012/12/03	33.28
19472	Daiwa Capital Mkt - CCB European Warrants Feb 2013 A	128,000,000	2012/07/24	2013/02/06	19.20
19476	Daiwa Capital Mkt - China Life European Warrants Feb 2013 A	138,000,000	2012/07/24	2013/02/25	28.98
19478	Daiwa Capital Mkt - China Life European Warrants Jun 2013 A	138,000,000	2012/07/24	2013/06/03	20.70
19479	Daiwa Capital Mkt - China Life European Put Wts Jul 2013 A	80,000,000	2012/07/24	2013/07/02	20.00
19473	Daiwa Capital Mkt - China Mobile European Wts Jan 2013 A	128,000,000	2012/07/24	2013/01/02	66.56
19474	Daiwa Capital Mkt - China Mobile European Put Wts Jan 2013 B	80,000,000	2012/07/24	2013/01/08	16.80
19470	Daiwa Capital Mkt - HSBC European Warrants Feb 2013 A	128,000,000	2012/07/24	2013/02/06	29.44
19475	Daiwa Capital Mkt - ICBC European Warrants Jan 2013 A	128,000,000	2012/07/24	2013/01/02	57.60
19458	Goldman Sachs SP (Asia) - BEA European Warrants Jul 2013 A	80,000,000	2012/07/24	2013/07/29	20.00
19459	Goldman Sachs SP (Asia) - Dongfang Elec Euro Wts Jul 2013 A	80,000,000	2012/07/24	2013/07/29	20.16
19464	HK Bank - HSI European Put Warrants Feb 2013 A	250,000,000	2012/07/24	2013/02/27	62.50
19465	J P Morgan SP BV - Ch Ship Dev European Warrants Aug 2013 A	50,000,000	2012/07/24	2013/08/26	12.50
19456	Nomura Int'l plc - CC Bank European Warrants Nov 2012 A	50,000,000	2012/07/24	2012/11/30	11.00
19455	Nomura Int'l plc - Ch Overseas European Warrants Feb 2013 A	75,000,000	2012/07/24	2013/02/28	12.75
19453	Nomura Int'l plc - HKEx European Warrants Mar 2013 A	75,000,000	2012/07/24	2013/03/08	12.00
19457	Nomura Int'l plc - ICBC European Warrants Jan 2013 A	25,000,000	2012/07/24	2013/01/31	11.50
19452	Nomura Int'l plc - JIANG European Warrants Jan 2013 A	50,000,000	2012/07/24	2013/01/31	12.50
19462	CC Rabobank B.A. - Ch State Con European Warrants Jan 2013 A	100,000,000	2012/07/24	2013/01/24	70.00
19461	CC Rabobank B.A. - GCL-Poly Energy European Wts Mar 2013 A	100,000,000	2012/07/24	2013/03/18	25.00
19460	CC Rabobank B.A. - Skyworth Digital European Wts Jan 2013 A	80,000,000	2012/07/24	2013/01/28	36.00
19463	CC Rabobank B.A. - ZTE European Warrants Oct 2013 A	80,000,000	2012/07/24	2013/10/28	20.00
19467	Standard Chartered Bank - HKEx European Warrants Nov 2014 A	200,000,000	2012/07/24	2014/11/03	50.00
19466	Standard Chartered Bank - ICBC European Warrants Jul 2013 A	200,000,000	2012/07/24	2013/07/05	50.00
19469	UBS AG - CC Bank European Warrants Nov 2012 E	200,000,000	2012/07/24	2012/11/28	52.00
19485	BOCI Asia Ltd. - BOC HK European Warrants Sep 2013 A	100,000,000	2012/07/25	2013/09/03	17.00
19487	BOCI Asia Ltd. - HSBC European Warrants May 2013 A	100,000,000	2012/07/25	2013/05/02	18.00
19486	BOCI Asia Ltd. - HSBC European Put Warrants Feb 2013 A	100,000,000	2012/07/25	2013/02/04	25.00
19491	Credit Suisse AG - AIA European Warrants Nov 2012 A	100,000,000	2012/07/25	2012/11/26	15.00
19497	Credit Suisse AG - CC Bank European Put Warrants Jan 2013 A	70,000,000	2012/07/25	2013/01/25	17.50
19490	Credit Suisse AG - China Mobile European Put Wts Jan 2013 A	80,000,000	2012/07/25	2013/01/02	12.00
19498	Credit Suisse AG - HKEx European Warrants Jan 2013 A	80,000,000	2012/07/25	2013/01/25	44.00
19483	HK Bank - Cheung Kong European Warrants Nov 2012 A	100,000,000	2012/07/25	2012/11/30	15.00
19494	Macquarie Bank Ltd. - HSBC European Put Warrants Dec 2012 A	200,000,000	2012/07/25	2012/12/04	30.80
19481	Macquarie Bank Ltd. - Hutchison European Put Wts Feb 2013 A	180,000,000	2012/07/25	2013/02/05	45.00
19493	Macquarie Bank Ltd. - ICBC European Put Warrants Jan 2013 C	100,000,000	2012/07/25	2013/01/14	22.20
19489	Standard Chartered Bank - BOCL European Warrants Aug 2013 A	100,000,000	2012/07/25	2013/08/08	25.00
19488	Standard Chartered Bank - Sinopec Corp Euro Wts Mar 2013 A	100,000,000	2012/07/25	2013/03/01	25.00
19482	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Dec 2012D	200,000,000	2012/07/25	2012/12/03	44.80
19495	UBS AG - CC Bank European Warrants Jan 2013 B	200,000,000	2012/07/25	2013/01/28	84.00

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		發行單位	上市日期	到期日	
19492	UBS AG - HKEx European Warrants Mar 2013 B	300,000,000	2012/07/25	2013/03/11	51.00
19496	UBS AG - HSI European Warrants Sep 2013 A	300,000,000	2012/07/25	2013/09/27	75.00
19484	UBS AG - ICBC European Warrants Jan 2013 C	100,000,000	2012/07/25	2013/01/28	15.00
19514	Daiwa Capital Mkt - HSCEI European Put Warrants Jun 2013 A	100,000,000	2012/07/26	2013/06/27	17.00
19512	Daiwa Capital Mkt - HSI European Warrants May 2013 A	200,000,000	2012/07/26	2013/05/30	40.00
19513	Daiwa Capital Mkt - HSI European Put Warrants Jan 2013 B	200,000,000	2012/07/26	2013/01/30	50.00
19499	Goldman Sachs SP (Asia) - HSCEI European Put Wts Feb 2013 A	150,000,000	2012/07/26	2013/02/27	37.50
19510	J P Morgan SP BV - Ch Oilfield Euro Wts Aug 2013 A	200,000,000	2012/07/26	2013/08/30	50.00
19509	J P Morgan SP BV - Dongfeng Motor European Wts Dec 2013 A	200,000,000	2012/07/26	2013/12/02	50.00
19507	Macquarie Bank Ltd. - China Railway European Wts Feb 2013 A	100,000,000	2012/07/26	2013/02/04	25.60
19518	Macquarie Bank Ltd. - CSR European Warrants Jan 2013 A	100,000,000	2012/07/26	2013/01/28	32.00
19519	Macquarie Bank Ltd. - Hang Lung Ppt European Wts Apr 2013 A	100,000,000	2012/07/26	2013/04/03	25.00
19515	Macquarie Bank Ltd. - SHK Ppt European Warrants Jan 2013 A	180,000,000	2012/07/26	2013/01/03	27.00
19517	Macquarie Bank Ltd. - Swire Pacific A Euro Wts Feb 2013 A	180,000,000	2012/07/26	2013/02/04	45.00
19516	Macquarie Bank Ltd. - Wharf European Warrants Feb 2013 A	100,000,000	2012/07/26	2013/02/04	25.00
19505	Standard Chartered Bank - CTeI European Warrants Jan 2013 A	100,000,000	2012/07/26	2013/01/07	20.30
19506	Standard Chartered Bank - A50 China Euro Warrants Jul 2013 A	200,000,000	2012/07/26	2013/07/05	31.20
19511	Standard Chartered Bank - HSI European Warrants Dec 2012 C	200,000,000	2012/07/26	2012/12/28	30.00
19504	Standard Chartered Bank - Hutchison Euro Euro Wts Jan 2013 A	50,000,000	2012/07/26	2013/01/28	13.00
19500	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Dec 2013A	100,000,000	2012/07/26	2013/12/23	15.00
19501	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Dec13A	100,000,000	2012/07/26	2013/12/02	16.00
19502	UBS AG - CC Bank European Warrants Jan 2013 C	200,000,000	2012/07/26	2013/01/28	50.00
19520	UBS AG - China Mobile European Warrants Nov 2012 B	100,000,000	2012/07/26	2012/11/02	15.00
19503	UBS AG - HSI European Put Warrants Nov 2012 E	300,000,000	2012/07/26	2012/11/29	45.00
19508	UBS AG - Hutchison European Put Warrants Dec 2012 C	100,000,000	2012/07/26	2012/12/24	20.00
16574 [#]	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2012	320,000,000	2012/07/26	2012/10/30	14.72
19531	BOCI Asia Ltd. - China Life European Warrants Feb 2013 A	100,000,000	2012/07/27	2013/02/25	20.00
19529	BOCI Asia Ltd. - HSI European Warrants Jan 2013 A	100,000,000	2012/07/27	2013/01/30	17.00
19528	BOCI Asia Ltd. - HSI European Put Warrants Dec 2012 C	100,000,000	2012/07/27	2012/12/28	15.00
19530	BOCI Asia Ltd. - PetroChina European Warrants Nov 2013 A	100,000,000	2012/07/27	2013/11/04	15.00
19526	BNP Paribas Arbit Issu B.V. - Prada European Wts Oct 2015 A	100,000,000	2012/07/27	2015/10/05	18.00
19537	Credit Suisse AG - China Unicom European Put Wts Jan 2013 B	70,000,000	2012/07/27	2013/01/28	45.50
19534	Credit Suisse AG - HSI European Warrants Nov 2012 E	300,000,000	2012/07/27	2012/11/29	45.00
19535	Credit Suisse AG - Ping An European Put Warrants Nov 2012 B	80,000,000	2012/07/27	2012/11/02	21.60
19536	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2013 A	100,000,000	2012/07/27	2013/02/04	15.00
19523	HK Bank - HSCEI European Warrants Dec 2013 A	150,000,000	2012/07/27	2013/12/30	22.50
19522	HK Bank - HSCEI European Put Warrants Mar 2013 A	150,000,000	2012/07/27	2013/03/27	37.50
19533	Macquarie Bank Ltd. - CNBM European Warrants Dec 2013 A	150,000,000	2012/07/27	2013/12/13	22.50
19532	Macquarie Bank Ltd. - CRCC European Warrants Dec 2013 A	150,000,000	2012/07/27	2013/12/03	22.50
19525	Macquarie Bank Ltd. - A50 China European Warrants Feb 2013 A	110,000,000	2012/07/27	2013/02/04	27.50
19524	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Jul 2013 A	100,000,000	2012/07/27	2013/07/03	25.00
19527	Macquarie Bank Ltd. - Poly HK Inv European Wts Feb 2013 A	100,000,000	2012/07/27	2013/02/04	25.00
19521	Macquarie Bank Ltd. - Xinyi Glass European Warrants Jan 13 A	90,000,000	2012/07/27	2013/01/28	22.68
19557	Daiwa Capital Mkt -Agricultural Bank European Wts Feb 2013 A	128,000,000	2012/07/30	2013/02/22	40.96

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		發行單位	上市日期	到期日	
19554	Daiwa Capital Mkt - BOCL European Put Warrants Feb 2013 A	80,000,000	2012/07/30	2013/02/01	20.00
19556	Daiwa Capital Mkt - CCB European Put Warrants Jan 2013 A	108,000,000	2012/07/30	2013/01/28	20.52
19553	Daiwa Capital Mkt - China Life European Warrants Feb 2013 B	168,000,000	2012/07/30	2013/02/08	42.00
19551	Daiwa Capital Mkt - A50 China European Warrants Jul 2013 A	208,000,000	2012/07/30	2013/07/05	31.20
19552	Daiwa Capital Mkt - A50 China European Put Wts Jul 2014 A	108,000,000	2012/07/30	2014/07/02	27.00
19559	Daiwa Capital Mkt - HSI European Put Warrants Mar 2013 E	200,000,000	2012/07/30	2013/03/27	50.00
19558	Daiwa Capital Mkt - Hutchison European Put Wts Feb 2013 A	100,000,000	2012/07/30	2013/02/08	25.00
19555	Daiwa Capital Mkt - ICBC European Put Warrants Feb 2013 A	80,000,000	2012/07/30	2013/02/01	20.00
19542	J P Morgan SP BV - Ch Comm Cons European Warrants Dec 2014 A	288,000,000	2012/07/30	2014/12/01	43.20
19541	J P Morgan SP BV - China Mob European Wts Feb 2013 A	200,000,000	2012/07/30	2013/02/01	50.00
19546	Macquarie Bank Ltd. - China Coal European Wts Feb 2013 A	100,000,000	2012/07/30	2013/02/04	32.60
19538	Macquarie Bank Ltd. - A50 China European Warrants Nov 2014 A	200,000,000	2012/07/30	2014/11/04	30.00
19543	Macquarie Bank Ltd. - HSBC European Warrants Jan 2013 B	180,000,000	2012/07/30	2013/01/03	48.78
19545	Macquarie Bank Ltd. - PICC P&C European Warrants Dec 2013 A	180,000,000	2012/07/30	2013/12/16	28.98
19544	Macquarie Bank Ltd. - Yanzhou Coal European Wts Sep 2013 A	180,000,000	2012/07/30	2013/09/16	27.00
19539	Macquarie Bank Ltd. - Zoomlion European Warrants Feb 2013 A	80,000,000	2012/07/30	2013/02/04	30.88
19547	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Feb 2014 A	150,000,000	2012/07/30	2014/02/05	24.00
19548	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2012 C	200,000,000	2012/07/30	2012/12/12	78.00
19560	UBS AG - China Mobile European Warrants Dec 2012 B	200,000,000	2012/07/30	2012/12/24	88.00
19549	UBS AG - HSBC European Warrants Dec 2012 C	200,000,000	2012/07/30	2012/12/31	40.80
19550	UBS AG - HSCEI European Warrants Sep 2013 A	100,000,000	2012/07/30	2013/09/27	15.00
19540	UBS AG - HSI European Warrants Jan 2013 A	300,000,000	2012/07/30	2013/01/30	45.00
19566	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Dec 2012 D	200,000,000	2012/07/31	2012/12/28	30.00
19567	Credit Suisse AG - HSBC European Wts Dec 2012 A	80,000,000	2012/07/31	2012/12/24	12.00
19568	Credit Suisse AG - HSBC European Wts Dec 2012 B	80,000,000	2012/07/31	2012/12/10	28.00
19569	Credit Suisse AG - HSBC European Put Warrants Dec 2012 C	80,000,000	2012/07/31	2012/12/03	14.40
19561	J P Morgan SP BV - BOCL European Warrants Feb 2013 A	200,000,000	2012/07/31	2013/02/28	50.00
19565	Macquarie Bank Ltd. - BYD European Warrants Mar 2013 A	180,000,000	2012/07/31	2013/03/04	27.00
19563	Macquarie Bank Ltd. - Dongfang Elec European Wts Nov 2013 A	160,000,000	2012/07/31	2013/11/04	40.00
19564	Macquarie Bank Ltd. - Yurun Food European Wts Feb 2013 A	100,000,000	2012/07/31	2013/02/04	27.40
19562	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Feb13B	100,000,000	2012/07/31	2013/02/04	25.00
19570	UBS AG - CNOOC European Warrants Apr 2013 B	200,000,000	2012/07/31	2013/04/22	50.00
19589	Credit Suisse AG - Ping An European Warrants Dec 2012 D	80,000,000	2012/08/01	2012/12/04	24.00
19576	Goldman Sachs SP (Asia) - CC Bank European Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/04	35.80
19575	Goldman Sachs SP (Asia) - China Life Euro Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/22	15.00
19574	Goldman Sachs SP (Asia) - China Mobile Euro Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/25	42.00
19572	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2012 B	100,000,000	2012/08/01	2012/12/18	16.20
19573	Goldman Sachs SP (Asia) - Hutchison European Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/25	38.60
19581	J P Morgan SP BV - HSI European Warrants Mar 2013 B	300,000,000	2012/08/01	2013/03/27	75.00
19580	J P Morgan SP BV - HSI European Put Warrants Feb 2013 A	300,000,000	2012/08/01	2013/02/27	75.00
19587	Macquarie Bank Ltd. - Ch Comm Cons European Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/04	38.50
19586	Macquarie Bank Ltd. - NCI European Warrants Feb 2013 A	180,000,000	2012/08/01	2013/02/04	45.00
19585	Macquarie Bank Ltd. - PICC P&C European Warrants Dec 2013 B	180,000,000	2012/08/01	2013/12/19	27.00
19578	CC Rabobank B.A. - CNBM European Warrants Dec 2013 A	120,000,000	2012/08/01	2013/12/16	18.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
19577	CC Rabobank B.A. - Sands China European Warrants Feb 2013 A	100,000,000	2012/08/01	2013/02/04	30.00
19582	Standard Chartered Bank - HSBC European Warrants Feb 2013 B	120,000,000	2012/08/01	2013/02/08	34.80
19583	Standard Chartered Bank - HSBC European Put Wts Jan 2013 A	80,000,000	2012/08/01	2013/01/07	14.00
19588	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt Feb 13A	200,000,000	2012/08/01	2013/02/04	54.00
19584	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2013 A	300,000,000	2012/08/01	2013/01/30	48.00
19571	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 12 D	300,000,000	2012/08/01	2012/12/28	45.00
19579	UBS AG - AIA European Warrants Feb 2013 A	100,000,000	2012/08/01	2013/02/08	25.00
19592	UBS AG - China Mobile European Put Warrants Dec 2012 C	100,000,000	2012/08/01	2012/12/24	19.00
19591	UBS AG - China National Building European Wts Dec 2013 A	100,000,000	2012/08/01	2013/12/16	15.00
19593	UBS AG - China Tel European Warrants Dec 2012 B	100,000,000	2012/08/01	2012/12/24	15.00
19590	UBS AG - Evergrande European Warrants Nov 2012 B	100,000,000	2012/08/01	2012/11/26	36.00
19602	Credit Suisse AG - ChiUnicom European Warrants Dec 2012 B	80,000,000	2012/08/02	2012/12/24	48.00
19608	Bank of East Asia - China Railway European Wts Feb 2013 A	50,000,000	2012/08/02	2013/02/26	12.50
19609	Bank of East Asia - Dongfeng European Warrants Jun 2014 A	130,000,000	2012/08/02	2014/06/26	32.50
19611	Bank of East Asia - Li & Fung European Warrants Aug 2013 A	100,000,000	2012/08/02	2013/08/26	25.00
19610	Bank of East Asia - PICC European Warrants Dec 2013 A	170,000,000	2012/08/02	2013/12/16	25.50
19596	Goldman Sachs SP (Asia) - HSBC European Warrants Feb 2013 A	100,000,000	2012/08/02	2013/02/04	38.90
19603	Goldman Sachs SP (Asia) - Lenovo European Wts Mar 2013 B	80,000,000	2012/08/02	2013/03/25	43.12
19607	Goldman Sachs SP (Asia) - Yurun Food European Wts Mar 2013 A	80,000,000	2012/08/02	2013/03/25	32.08
19594	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Mar 2013 B	300,000,000	2012/08/02	2013/03/27	75.00
19598	Macquarie Bank Ltd. - Bank of EA European Wts Jul 2013 A	150,000,000	2012/08/02	2013/07/22	28.35
19600	Macquarie Bank Ltd. - Country Garden European Wts Oct 2013 A	100,000,000	2012/08/02	2013/10/03	25.00
19597	Macquarie Bank Ltd. - CITIC Pacific European Wts Jan 2014 A	150,000,000	2012/08/02	2014/01/03	22.50
19601	Macquarie Bank Ltd. - HKEx European Put Warrants Feb 2013 B	180,000,000	2012/08/02	2013/02/04	99.18
19599	Macquarie Bank Ltd. - Nine Dragons European Wts Feb 2013 A	100,000,000	2012/08/02	2013/02/04	25.00
19595	Standard Chartered Bank - China Railway Euro Wts Jul 2013 A	50,000,000	2012/08/02	2013/07/10	18.00
19606	UBS AG - China Unicom European Warrants May 2013 A	100,000,000	2012/08/02	2013/05/27	15.00
19605	UBS AG - HSI European Warrants Jan 2013 B	300,000,000	2012/08/02	2013/01/30	45.00
19604	UBS AG - Hutchison European Warrants Jan 2013 A	200,000,000	2012/08/02	2013/01/28	36.00
19626	Daiwa Capital Mkt - AIA European Warrants Apr 2013 A	108,000,000	2012/08/03	2013/04/29	27.00
19627	Daiwa Capital Mkt - AIA European Put Warrants Jun 2013 A	108,000,000	2012/08/03	2013/06/05	27.00
19628	Daiwa Capital Mkt - China Mobile European Wts Dec 2012 A	128,000,000	2012/08/03	2012/12/27	35.84
19625	Daiwa Capital Mkt - China Mobile European Wts Feb 2013 A	128,000,000	2012/08/03	2013/02/04	32.00
19623	Daiwa Capital Mkt - CNOOC European Warrants Oct 2013 A	108,000,000	2012/08/03	2013/10/07	27.00
19624	Daiwa Capital Mkt - CNOOC European Put Warrants Jun 2013 A	108,000,000	2012/08/03	2013/06/18	27.00
19622	Daiwa Capital Mkt - Sinopec Corp European Wts Mar 2013 A	108,000,000	2012/08/03	2013/03/25	27.00
19616	Daiwa Capital Mkt - HSBC European Warrants Feb 2013 B	128,000,000	2012/08/03	2013/02/04	51.20
19617	Daiwa Capital Mkt - HSBC European Put Warrants Jan 2013 C	80,000,000	2012/08/03	2013/01/07	32.00
19618	Daiwa Capital Mkt - HSBC European Put Warrants Mar 2014 A	128,000,000	2012/08/03	2014/03/31	19.20
19619	Daiwa Capital Mkt - Hutchison European Warrants Dec 2012 B	128,000,000	2012/08/03	2012/12/27	39.68
19620	Daiwa Capital Mkt - Hutchison European Warrants Feb 2013 B	128,000,000	2012/08/03	2013/02/04	32.00
19621	Daiwa Capital Mkt - Hutchison European Warrants Apr 2013 A	128,000,000	2012/08/03	2013/04/10	32.00
19614	J P Morgan SP BV - BEA European Warrants Jun 2013 A	68,000,000	2012/08/03	2013/06/04	17.00
19615	J P Morgan SP BV - HSI European Put Warrants Feb 2013 B	300,000,000	2012/08/03	2013/02/27	45.30

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		發行單位	上市日期	到期日	
19613	J P Morgan SP BV - SJM Holdings European Warrants Jun 2013 A	120,000,000	2012/08/03	2013/06/04	18.00
19629	Macquarie Bank Ltd. - China Agri European Wts Feb 2013 A	100,000,000	2012/08/03	2013/02/04	28.30
19631	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Feb 2013 A	120,000,000	2012/08/03	2013/02/04	30.00
19630	Macquarie Bank Ltd. - Shui On Land European Wts Jul 2013 A	100,000,000	2012/08/03	2013/07/03	25.00
19612	Standard Chartered Bank - Sands China Euro Wts Dec 2012 A	65,000,000	2012/08/03	2012/12/28	10.60
19644	Daiwa Capital Mkt - HSCEI European Put Warrants Jun 2013 B	100,000,000	2012/08/06	2013/06/27	25.00
19645	Daiwa Capital Mkt - HSCEI European Put Warrants Jun 2013 C	100,000,000	2012/08/06	2013/06/27	26.00
19651	Daiwa Capital Mkt - HSI European Warrants Apr 2013 A	200,000,000	2012/08/06	2013/04/29	50.00
19652	Daiwa Capital Mkt - HSI European Warrants Jul 2013 A	200,000,000	2012/08/06	2013/07/30	50.00
19646	Daiwa Capital Mkt - HSI European Put Warrants Jun 2013 A	200,000,000	2012/08/06	2013/06/27	50.00
19638	HK Bank - China Life European Warrants Mar 2013 B	120,000,000	2012/08/06	2013/03/04	30.00
19640	HK Bank - Sinopec Corp European Warrants Dec 2012 A	80,000,000	2012/08/06	2012/12/21	22.40
19641	HK Bank - Sinopec Corp European Warrants Feb 2013 A	80,000,000	2012/08/06	2013/02/25	20.00
19639	HK Bank - Sinopec Corp European Warrants Sep 2013 A	80,000,000	2012/08/06	2013/09/16	20.00
19634	HK Bank - HSI European Warrants Dec 2012 N	250,000,000	2012/08/06	2012/12/28	50.00
19635	HK Bank - HSI European Put Warrants Nov 2012 A	250,000,000	2012/08/06	2012/11/29	45.00
19637	HK Bank - HWL European Warrants Mar 2013 A	120,000,000	2012/08/06	2013/03/04	31.20
19636	HK Bank - Tencent European Warrants Apr 2013 A	150,000,000	2012/08/06	2013/04/15	37.50
19643	Standard Chartered Bank - AIA European Warrants Apr 2013 A	50,000,000	2012/08/06	2013/04/03	12.50
19642	Standard Chartered Bank - China Mobile Eur Put Wt Jun 2013 A	50,000,000	2012/08/06	2013/06/10	25.00
19650	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Feb 2013 B	200,000,000	2012/08/06	2013/02/18	36.00
19647	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2013 B	300,000,000	2012/08/06	2013/01/30	54.00
19649	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Nov 12 E	300,000,000	2012/08/06	2012/11/29	78.00
19648	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb 13 A	300,000,000	2012/08/06	2013/02/27	48.00
19633	UBS AG - HSCEI European Put Warrants Jun 2013 A	100,000,000	2012/08/06	2013/06/27	18.00
19632	UBS AG - HSI European Put Warrants Feb 2013 A	300,000,000	2012/08/06	2013/02/27	60.00
19664	Credit Suisse AG - CC Bank European Warrants Dec 2012 C	70,000,000	2012/08/07	2012/12/24	10.50
19659	Daiwa Capital Mkt - CCB European Warrants Feb 2013 B	128,000,000	2012/08/07	2013/02/01	19.20
19660	Daiwa Capital Mkt - China Mobile European Put Wts Feb 2013 B	80,000,000	2012/08/07	2013/02/08	25.60
19661	Daiwa Capital Mkt - China Telecom European Wts May 2013 A	80,000,000	2012/08/07	2013/05/15	28.00
19662	Daiwa Capital Mkt - China Telecom Euro Put Wts May 2013 B	60,000,000	2012/08/07	2013/05/03	24.00
19663	Daiwa Capital Mkt - Ping An European Warrants Mar 2013 A	80,000,000	2012/08/07	2013/03/01	24.00
19658	Macquarie Bank Ltd. - China Mobile European Wts Mar 2013 A	180,000,000	2012/08/07	2013/03/04	45.00
19654	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Feb 2013 A	80,000,000	2012/08/07	2013/02/18	12.00
19653	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Mar 2013C	60,000,000	2012/08/07	2013/03/11	12.96
19657	CC Rabobank B.A. - Evergrande European Warrants Feb 2013 A	100,000,000	2012/08/07	2013/02/07	35.00
19656	CC Rabobank B.A. - Sinopharm European Warrants Feb 2013 A	70,000,000	2012/08/07	2013/02/14	17.50
19655	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 12 A	200,000,000	2012/08/07	2012/12/03	30.00
19683	BNP Paribas Arbit Issu B.V. - AIA European Wts Nov 2013 A	200,000,000	2012/08/08	2013/11/04	50.00
19676	BNP Paribas Arbit Issu B.V. - BOCL European Wts Jun 2013 A	100,000,000	2012/08/08	2013/06/03	19.00
19681	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Apr 2013 A	100,000,000	2012/08/08	2013/04/02	27.00
19682	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Apr 2013 A	100,000,000	2012/08/08	2013/04/02	42.00
19684	BNP Paribas Arbit Issu B.V. - HKEx European Wts Mar 2013 A	68,000,000	2012/08/08	2013/03/04	28.56
19674	BNP Paribas Arbit Issu B.V. - HSBC European Wts Feb 2013 A	100,000,000	2012/08/08	2013/02/01	44.00

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		發行單位	上市日期	到期日	
19675	BNP Paribas Arbit Issu B.V. - ICBC European Wts Aug 2013 A	100,000,000	2012/08/08	2013/08/02	27.00
19673	BNP Paribas Arbit Issu B.V. - Ping An European Wt Mar 2013 A	100,000,000	2012/08/08	2013/03/04	35.00
19677	BNP Paribas Arbit Issu B.V. - Yurun Food Euro Wts Mar 2013 A	18,000,000	2012/08/08	2013/03/04	11.16
19687	Citigroup Global Mkt H Inc. - HSBC European Wts Dec 2012 C	100,000,000	2012/08/08	2012/12/28	15.00
19686	Citigroup Global Mkt H Inc. - ICBC European Wts Dec 2012 A	100,000,000	2012/08/08	2012/12/17	25.00
19666	Goldman Sachs SP (Asia) - China Mobile Euro Wts Mar 2013 A	100,000,000	2012/08/08	2013/03/25	18.90
19665	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Feb 2013 B	100,000,000	2012/08/08	2013/02/25	52.60
19667	HK Bank - AIA European Warrants Mar 2013 A	120,000,000	2012/08/08	2013/03/04	30.00
19669	HK Bank - AIA European Warrants Mar 2014 A	120,000,000	2012/08/08	2014/03/10	30.00
19668	HK Bank - China Mobile European Warrants Mar 2013 B	120,000,000	2012/08/08	2013/03/11	30.00
19670	HK Bank - CNOOC European Warrants Jul 2014 A	100,000,000	2012/08/08	2014/07/07	25.00
19685	J P Morgan SP BV - HSI European Put Warrants Feb 2013 C	300,000,000	2012/08/08	2013/02/27	75.00
19679	Standard Chartered Bank - GCL-Poly Energy Eur Wts Dec 2014 A	40,000,000	2012/08/08	2014/12/08	12.00
19678	Standard Chartered Bank - GCL-Poly Energy Eur Wts Apr 2015 A	40,000,000	2012/08/08	2015/04/08	10.00
19680	UBS AG - Anhui Conch European Warrants Dec 2012 A	100,000,000	2012/08/08	2012/12/10	15.00
19671	UBS AG - Sinopec Corp European Warrants Dec 2012 B	100,000,000	2012/08/08	2012/12/10	15.00
19672	UBS AG - HSBC European Warrants Apr 2013 A	200,000,000	2012/08/08	2013/04/24	30.00
16316 [#]	UBS AG - China National Building European Warrants Dec 2012	150,000,000	2012/08/08	2012/12/10	10.95
19689	Goldman Sachs SP (Asia) - CNBM European Warrants Dec 2013 A	100,000,000	2012/08/09	2013/12/16	17.40
19688	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Mar 13 B	200,000,000	2012/08/09	2013/03/04	54.00
19690	UBS AG - China National Building European Wts Jan 2014 A	100,000,000	2012/08/09	2014/01/28	15.00
19700	HK Bank - CITIC Bank European Warrants Apr 2013 A	40,000,000	2012/08/10	2013/04/22	10.00
19696	HK Bank - China Mobile European Warrants Mar 2013 C	120,000,000	2012/08/10	2013/03/04	30.00
19697	HK Bank - China Overseas European Warrants Aug 2013 A	80,000,000	2012/08/10	2013/08/05	20.00
19698	HK Bank - HKEx European Warrants Nov 2013 A	120,000,000	2012/08/10	2013/11/26	18.00
19699	HK Bank - Li & Fung European Warrants Jun 2013 A	80,000,000	2012/08/10	2013/06/25	12.00
19695	HK Bank - PICC European Warrants Dec 2013 A	80,000,000	2012/08/10	2013/12/16	12.00
19693	J P Morgan SP BV - BankComm European Warrants Mar 2013 A	100,000,000	2012/08/10	2013/03/04	30.80
19692	J P Morgan SP BV - CITIC Bank European Warrants Feb 2013 A	100,000,000	2012/08/10	2013/02/14	25.00
19691	J P Morgan SP BV - Ch Merchants European Warrants Apr 2013 A	100,000,000	2012/08/10	2013/04/22	25.00
19705	Macquarie Bank Ltd. - AIA European Warrants Jan 2013 B	150,000,000	2012/08/10	2013/01/03	22.50
19702	Macquarie Bank Ltd. - HKEx European Warrants Dec 2013 B	230,000,000	2012/08/10	2013/12/03	34.50
19706	Macquarie Bank Ltd. - Hutchison European Warrants Feb 2013 B	150,000,000	2012/08/10	2013/02/04	22.50
19694	Macquarie Bank Ltd. - Jiangxi Copper European Wts Jun 2013 A	180,000,000	2012/08/10	2013/06/04	45.00
19701	Standard Chartered Bank - HSI European Warrants Jan 2013 A	200,000,000	2012/08/10	2013/01/30	30.00
19703	UBS AG - CNOOC European Warrants Aug 2013 A	200,000,000	2012/08/10	2013/08/12	50.00
19704	UBS AG - HSBC European Put Warrants Mar 2014 A	200,000,000	2012/08/10	2014/03/31	30.00
19707	UBS AG - Jiangxi Copper European Warrants Jan 2013 A	100,000,000	2012/08/10	2013/01/28	18.00
19054 [#]	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Nov 2012 E	200,000,000	2012/08/10	2012/11/29	13.20
19709	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Mar 2013 A	60,000,000	2012/08/13	2013/03/04	15.60
19721	Credit Suisse - Cheung Kong European Put Warrants Feb 2013 A	60,000,000	2012/08/13	2013/02/25	15.00
19724	Credit Suisse AG - China Mobile European Warrants Jan 2013 B	60,000,000	2012/08/13	2013/01/28	10.80
19722	Credit Suisse AG - HSBC European Put Warrants Nov 2012 A	60,000,000	2012/08/13	2012/11/19	10.80
19725	Credit Suisse AG - Hutchison European Warrants Jan 2013 A	60,000,000	2012/08/13	2013/01/28	12.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
19723	Credit Suisse AG - Ping An European Warrants Nov 2012 C	60,000,000	2012/08/13	2012/11/30	10.80
19712	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Jan 2013 B	60,000,000	2012/08/13	2013/01/29	13.56
19713	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Jan 2013 C	60,000,000	2012/08/13	2013/01/22	23.10
19714	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Apr 2013 A	80,000,000	2012/08/13	2013/04/15	15.28
19717	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Feb 2013 A	40,000,000	2012/08/13	2013/02/14	10.40
19716	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Feb 2013 A	40,000,000	2012/08/13	2013/02/14	22.80
19715	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Mar 2013 A	40,000,000	2012/08/13	2013/03/11	10.80
19711	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Mar 2013A	120,000,000	2012/08/13	2013/03/27	32.40
19710	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Apr 13 A	200,000,000	2012/08/13	2013/04/03	30.00
19718	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Mar 2013 A	200,000,000	2012/08/13	2013/03/04	30.00
19708	UBS AG - CC Bank European Warrants Dec 2012 B	200,000,000	2012/08/13	2012/12/21	30.00
19719	UBS AG - Cheung Kong European Warrants Nov 2012 A	100,000,000	2012/08/13	2012/11/26	24.00
19720	UBS AG - SHK Ppt European Warrants Dec 2012 A	100,000,000	2012/08/13	2012/12/31	20.50
15209 [#]	Macquarie Bank Ltd. - Stanchart European Warrants Jan 2013	300,000,000	2012/08/13	2013/01/03	11.70
19728	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Jan 2013 A	100,000,000	2012/08/14	2013/01/14	15.00
19731	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2013 A	150,000,000	2012/08/14	2013/01/30	25.05
19730	Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2012 F	150,000,000	2012/08/14	2012/11/29	29.55
19729	Goldman Sachs SP (Asia) - China Mengniu European Wt Jul 13 A	100,000,000	2012/08/14	2013/07/22	25.30
19727	Goldman Sachs SP (Asia) - Wharf European Warrants Jun 2013 A	100,000,000	2012/08/14	2013/06/24	25.10
19736	Macquarie Bank Ltd. - Esprit European Put Warrants Jun 2013A	180,000,000	2012/08/14	2013/06/04	45.00
19734	Macquarie Bank Ltd. - Ping An European Warrants Feb 2013 A	150,000,000	2012/08/14	2013/02/04	33.90
19733	Macquarie Bank Ltd. - Stanchart European Warrants Jun 2013 A	250,000,000	2012/08/14	2013/06/04	62.50
19726	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2013 A	200,000,000	2012/08/14	2013/05/06	30.00
16578 [#]	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2012	210,000,000	2012/08/14	2012/12/28	10.29
18023 [#]	J P Morgan SP BV - HSI European Put Warrants Dec 2012 A	500,000,000	2012/08/14	2012/12/28	27.50
19761	Credit Suisse AG - Sinopec Corp European Warrants Aug 2013 A	80,000,000	2012/08/15	2013/08/30	20.00
19742	Goldman Sachs SP (Asia) - Cheung Kong Euro Wts Feb 2013 A	80,000,000	2012/08/15	2013/02/25	26.08
19744	Goldman Sachs SP (Asia) - A50 China European Wts Apr 2014 A	120,000,000	2012/08/15	2014/04/29	18.00
19743	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2013 A	100,000,000	2012/08/15	2013/04/29	25.10
19753	HK Bank - Angang Steel European Warrants Mar 2013 A	40,000,000	2012/08/15	2013/03/04	10.00
19751	HK Bank - Esprit European Warrants May 2013 A	60,000,000	2012/08/15	2013/05/27	15.00
19752	HK Bank - Esprit European Warrants Oct 2013 A	60,000,000	2012/08/15	2013/10/28	15.00
19754	HK Bank - Jiangxi Copper European Warrants Jul 2013 A	80,000,000	2012/08/15	2013/07/15	20.00
19762	J P Morgan SP BV - Stanchart European Warrants Sep 2013 A	200,000,000	2012/08/15	2013/09/27	50.00
19755	Macquarie Bank Ltd. - Cathay Pacific European Wts Oct 2013 A	120,000,000	2012/08/15	2013/10/03	30.00
19760	Macquarie Bank Ltd. - Henganintl European Wts Mar 2013 A	130,000,000	2012/08/15	2013/03/18	32.50
19759	Macquarie Bank Ltd. - Ch Rongsheng Euro Warrants Sep 2013 A	100,000,000	2012/08/15	2013/09/17	25.00
19750	CC Rabobank B.A. - Ch Mobile European Put Wts Feb 2013 A	120,000,000	2012/08/15	2013/02/18	30.00
19749	CC Rabobank B.A. - China Overseas European Wts May 2013 A	80,000,000	2012/08/15	2013/05/30	20.00
19747	CC Rabobank B.A. - CSR European Warrants Jan 2013 A	80,000,000	2012/08/15	2013/01/21	32.00
19746	CC Rabobank B.A. - CSR Times European Warrants Mar 2013 A	80,000,000	2012/08/15	2013/03/25	20.00
19748	CC Rabobank B.A. - Shimao Ppt European Warrants May 2013 A	100,000,000	2012/08/15	2013/05/28	15.00
19738	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Apr13A	100,000,000	2012/08/15	2013/04/03	15.00
19739	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Apr 13 A	100,000,000	2012/08/15	2013/04/03	18.00

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		發行單位	上市日期	到期日	
19740	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Oct13A	150,000,000	2012/08/15	2013/10/02	30.00
19741	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Feb 13 A	100,000,000	2012/08/15	2013/02/04	25.00
19757	UBS AG - CC Bank European Put Warrants Dec 2012 C	100,000,000	2012/08/15	2012/12/24	22.00
19745	UBS AG - China Mobile European Warrants Mar 2013 A	200,000,000	2012/08/15	2013/03/25	30.00
19758	UBS AG - China Unicom European Warrants Sep 2013 A	100,000,000	2012/08/15	2013/09/26	19.00
19756	UBS AG - Ping An European Warrants Feb 2013 A	100,000,000	2012/08/15	2013/02/15	28.00
19773	BOCI Asia Ltd. - China Mobile European Warrants Mar 2013 A	100,000,000	2012/08/16	2013/03/11	15.00
19770	BOCI Asia Ltd. - CNOOC European Warrants Nov 2013 A	100,000,000	2012/08/16	2013/11/27	22.00
19772	BOCI Asia Ltd. - China Tel European Warrants Jan 2013 A	100,000,000	2012/08/16	2013/01/03	18.00
19771	BOCI Asia Ltd. - China Unicom European Warrants Sep 2013 A	100,000,000	2012/08/16	2013/09/03	18.00
19781	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Apr 2013 B	100,000,000	2012/08/16	2013/04/02	31.00
19782	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Apr 2013 A	100,000,000	2012/08/16	2013/04/02	30.00
19783	Goldman Sachs SP (Asia) - AIA European Warrants Feb 2013 A	120,000,000	2012/08/16	2013/02/25	20.64
19763	Goldman Sachs SP (Asia) - CM Bank European Wts Sep 2013 A	120,000,000	2012/08/16	2013/09/25	18.24
19775	J P Morgan SP BV - HSBC European Warrants Feb 2013 A	200,000,000	2012/08/16	2013/02/18	50.00
19793	J P Morgan SP BV - HSBC European Put Warrants Feb 2013 B	200,000,000	2012/08/16	2013/02/18	50.00
19774	J P Morgan SP BV - Hutchison European Warrants Mar 2013 A	150,000,000	2012/08/16	2013/03/01	37.50
19784	Macquarie Bank Ltd. - China Life European Put Wts Mar 2013 A	150,000,000	2012/08/16	2013/03/01	22.50
19786	Macquarie Bank Ltd. - A50 China European Put Wts Mar 2013 A	150,000,000	2012/08/16	2013/03/04	37.50
19785	Macquarie Bank Ltd. - Ping An European Put Wts Jan 2013 A	150,000,000	2012/08/16	2013/01/03	22.50
19768	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2012 B	40,000,000	2012/08/16	2012/12/17	11.00
19769	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2012 C	40,000,000	2012/08/16	2012/12/03	15.40
19766	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jan 2013 A	60,000,000	2012/08/16	2013/01/25	10.80
19767	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Feb 13 A	40,000,000	2012/08/16	2013/02/01	15.20
19765	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jan 2013 A	50,000,000	2012/08/16	2013/01/07	11.60
19779	CC Rabobank B.A. - Agricultural Bk Euro Warrants Feb 2013 A	100,000,000	2012/08/16	2013/02/18	25.00
19776	CC Rabobank B.A. - BOCL European Warrants May 2013 A	150,000,000	2012/08/16	2013/05/27	24.00
19777	CC Rabobank B.A. - Ch Mobile European Warrants Jan 2013 A	80,000,000	2012/08/16	2013/01/28	18.40
19778	CC Rabobank B.A. - China Tel European Warrants Feb 2013 A	50,000,000	2012/08/16	2013/02/18	12.50
19780	CC Rabobank B.A. - Stanchart European Warrants Sep 2013 A	100,000,000	2012/08/16	2013/09/30	25.00
19764	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Jan 2013A	100,000,000	2012/08/16	2013/01/09	20.00
19790	UBS AG - China Life European Warrants Jul 2013 A	300,000,000	2012/08/16	2013/07/22	45.00
19789	UBS AG - HSI European Put Warrants Nov 2012 F	300,000,000	2012/08/16	2012/11/29	52.20
19788	UBS AG - Hutchison European Warrants Dec 2012 D	200,000,000	2012/08/16	2012/12/24	62.00
19787	UBS AG - Stanchart European Warrants Sep 2013 A	100,000,000	2012/08/16	2013/09/09	25.00
19792	UBS AG - Tencent Holdings European Warrants Apr 2013 A	200,000,000	2012/08/16	2013/04/22	30.60
19791	UBS AG - Tencent Holdings European Put Warrants Mar 2013 A	100,000,000	2012/08/16	2013/03/11	17.00
19206 #	Credit Suisse AG - Tencent Holdings European Wts Dec 2012 D	120,000,000	2012/08/16	2012/12/03	11.88
19800	BOCI Asia Ltd. - Tencent Holdings European Wts Jan 2013 A	100,000,000	2012/08/17	2013/01/23	18.00
19804	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Mar 2013 A	75,000,000	2012/08/17	2013/03/04	21.75
19802	Citigroup Global Mkt H Inc. - Stanchart Euro Wts Jul 2013 A	100,000,000	2012/08/17	2013/07/03	15.00
19806	J P Morgan SP BV - Cheung Kong European Warrants Feb 2013 A	100,000,000	2012/08/17	2013/02/18	33.70
19805	J P Morgan SP BV - HSI European Warrants Feb 2013 D	300,000,000	2012/08/17	2013/02/27	75.00
19803	Macquarie Bank Ltd. - A50 China European Warrants Apr 2013 A	120,000,000	2012/08/17	2013/04/03	30.00

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		發行單位	上市日期	到期日	
19799	Macquarie Bank Ltd. - Li & Fung European Warrants Mar 2013 A	120,000,000	2012/08/17	2013/03/04	30.00
19795	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Sep 2013 A	100,000,000	2012/08/17	2013/09/02	25.00
19794	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Apr 2013A	150,000,000	2012/08/17	2013/04/15	43.50
19796	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Sep13A	150,000,000	2012/08/17	2013/09/02	27.00
19797	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Oct 2013A	100,000,000	2012/08/17	2013/10/02	25.00
19798	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Feb13A	50,000,000	2012/08/17	2013/02/18	18.50
19801	UBS AG - Li & Fung European Warrants Mar 2013 A	50,000,000	2012/08/17	2013/03/25	12.50
19811	J P Morgan SP BV - CNBM European Warrants Mar 2013 A	100,000,000	2012/08/20	2013/03/18	27.00
19810	J P Morgan SP BV - CNBM European Warrants Dec 2013 A	200,000,000	2012/08/20	2013/12/13	30.00
19807	J P Morgan Int'l Der. Ltd. - Hysan Dev Euro Wts Jul 2013 A	88,000,000	2012/08/20	2013/07/02	22.00
19812	J P Morgan SP BV - SHK Ppt European Warrants Jun 2013 A	100,000,000	2012/08/20	2013/06/03	25.30
19808	Macquarie Bank Ltd. - China Life European Wts Mar 2013 B	150,000,000	2012/08/20	2013/03/04	37.50
19809	UBS AG - Agricultural Bank European Warrants Feb 2013 A	100,000,000	2012/08/20	2013/02/06	23.00
19821	BOCI Asia Ltd. - Anhui Conch European Warrants Apr 2013 A	100,000,000	2012/08/21	2013/04/03	22.00
19828	BOCI Asia Ltd. - HSI European Warrants Jan 2013 B	100,000,000	2012/08/21	2013/01/30	16.00
19827	BOCI Asia Ltd. - HSI European Put Warrants Feb 2013 A	100,000,000	2012/08/21	2013/02/27	15.00
19819	BOCI Asia Ltd. - ICBC European Warrants Feb 2013 A	100,000,000	2012/08/21	2013/02/01	19.00
19820	BOCI Asia Ltd. - Ping An European Warrants Jan 2013 A	100,000,000	2012/08/21	2013/01/21	21.00
19840	Credit Suisse AG - Cheung Kong European Warrants Nov 2012 A	80,000,000	2012/08/21	2012/11/30	20.00
19843	Credit Suisse AG - China Mobile European Put Wts Feb 2013 A	80,000,000	2012/08/21	2013/02/01	24.00
19841	Credit Suisse AG - SHK Ppt European Warrants Dec 2012 A	80,000,000	2012/08/21	2012/12/24	24.00
19842	Credit Suisse AG - SHK Ppt European Put Warrants Feb 2013 B	80,000,000	2012/08/21	2013/02/25	32.00
19836	Bank of East Asia - Ping An European Warrants Feb 2013 A	50,000,000	2012/08/21	2013/02/21	12.50
19837	Bank of East Asia - Ping An European Put Warrants Feb 2013 B	50,000,000	2012/08/21	2013/02/21	12.50
19838	Bank of East Asia - SJM Holdings European Warrants Oct 2013A	90,000,000	2012/08/21	2013/10/22	22.50
19824	Goldman Sachs SP (Asia) - Dongfeng European Wts Jun 2013 A	100,000,000	2012/08/21	2013/06/25	15.20
19823	Goldman Sachs SP (Asia) - Esprit European Wts Jun 2013 A	100,000,000	2012/08/21	2013/06/25	15.40
19825	Goldman Sachs SP (Asia) - Henganintl European Wts Jul 2013 A	80,000,000	2012/08/21	2013/07/22	53.60
19829	Goldman Sachs SP (Asia) - Stanchart European Wts Sep 2013 A	80,000,000	2012/08/21	2013/09/30	20.00
19826	Goldman Sachs SP (Asia) - ZTE European Warrants Mar 2013 B	80,000,000	2012/08/21	2013/03/25	12.00
19822	Macquarie Bank Ltd. - Evergrande European Wts Feb 2013 A	100,000,000	2012/08/21	2013/02/04	25.80
19813	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Feb 2013 A	60,000,000	2012/08/21	2013/02/25	11.34
19818	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Feb 2013 A	80,000,000	2012/08/21	2013/02/25	12.08
19817	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Dec 2013 A	80,000,000	2012/08/21	2013/12/24	12.08
19816	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Jan 2013 A	60,000,000	2012/08/21	2013/01/28	11.16
19815	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Jan 2013 A	60,000,000	2012/08/21	2013/01/28	12.06
19814	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Jan 2013 B	70,000,000	2012/08/21	2013/01/23	10.92
19832	CC Rabobank B.A. - China COSCO European Warrants Feb 2013 A	50,000,000	2012/08/21	2013/02/25	15.00
19833	CC Rabobank B.A. - Foxconn European Warrants Jun 2013 A	50,000,000	2012/08/21	2013/06/17	17.50
19834	CC Rabobank B.A. - R&F Ppt European Warrants Feb 2014 A	100,000,000	2012/08/21	2014/02/25	15.00
19830	CC Rabobank B.A. - Hysan Dev European Warrants Feb 2013 A	50,000,000	2012/08/21	2013/02/25	17.50
19831	CC Rabobank B.A. - Swire Properties European Wts Feb 2013 A	50,000,000	2012/08/21	2013/02/25	12.50
19835	UBS AG - China Mobile European Warrants Dec 2012 D	100,000,000	2012/08/21	2012/12/03	15.00
19844	UBS AG - ICBC European Warrants Feb 2013 A	100,000,000	2012/08/21	2013/02/25	15.00

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		發行單位	上市日期	到期日	
19855	Daiwa Capital Mkt - BOC HK European Put Warrants Sep 2013 B	80,000,000	2012/08/22	2013/09/18	20.00
19854	Daiwa Capital Mkt - CCB European Put Warrants May 2013 A	80,000,000	2012/08/22	2013/05/02	20.00
19853	Daiwa Capital Mkt - China Mobile European Wts May 2013 A	128,000,000	2012/08/22	2013/05/08	32.00
19862	Bank of East Asia - Swire Properties European Wts Jun 2013 A	60,000,000	2012/08/22	2013/06/20	15.00
19849	Goldman Sachs SP (Asia) - CC Bank European Wts Mar 2013 A	100,000,000	2012/08/22	2013/03/19	25.00
19852	J P Morgan SP BV - China Mob European Wts Mar 2013 A	150,000,000	2012/08/22	2013/03/04	37.50
19851	J P Morgan SP BV - HSI European Warrants Jun 2013 A	300,000,000	2012/08/22	2013/06/27	45.00
19860	Macquarie Bank Ltd. -Sino Ocean Land European Wts Mar 2013 A	100,000,000	2012/08/22	2013/03/04	25.00
19846	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Apr 2013 A	200,000,000	2012/08/22	2013/04/03	30.00
19856	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 13 A	150,000,000	2012/08/22	2013/01/07	55.50
19857	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Feb 13 A	150,000,000	2012/08/22	2013/02/04	33.00
19858	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2012 D	200,000,000	2012/08/22	2012/12/31	46.00
19859	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 12 E	300,000,000	2012/08/22	2012/12/28	45.00
19847	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jan 2013 C	150,000,000	2012/08/22	2013/01/02	25.50
19848	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Apr 2013 A	150,000,000	2012/08/22	2013/04/03	37.50
19861	UBS AG - Evergrande European Warrants Feb 2013 A	100,000,000	2012/08/22	2013/02/25	44.00
16044 [#]	HK Bank - A50 China European Warrants Nov 2012	380,000,000	2012/08/22	2012/11/05	10.26
19864	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Mar 2013 A	200,000,000	2012/08/23	2013/03/04	32.00
19865	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jul 2013 A	100,000,000	2012/08/23	2013/07/02	25.00
19866	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Mar 2013 A	100,000,000	2012/08/23	2013/03/04	31.00
19875	Credit Suisse AG - China Mobile European Warrants Dec 2012 A	100,000,000	2012/08/23	2012/12/03	25.00
19876	Credit Suisse AG - A50 China European Warrants Feb 2013 A	100,000,000	2012/08/23	2013/02/25	25.00
19873	Credit Suisse AG - Tencent Holdings European Wts Mar 2013 A	100,000,000	2012/08/23	2013/03/04	15.00
19874	Credit Suisse AG - Tencent Holdings Euro Put Wts Nov 2012 B	100,000,000	2012/08/23	2012/11/26	21.00
19863	Daiwa Capital Mkt - Tencent Holdings European Wts Jul 2013 A	128,000,000	2012/08/23	2013/07/02	24.32
19867	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Apr 2013 A	108,000,000	2012/08/23	2013/04/02	27.00
19869	UBS AG - China Mobile European Warrants Jan 2013 A	100,000,000	2012/08/23	2013/01/28	26.00
19870	UBS AG - China Mobile European Put Warrants Feb 2013 A	100,000,000	2012/08/23	2013/02/06	26.00
19868	UBS AG - A50 China European Warrants Jan 2013 A	100,000,000	2012/08/23	2013/01/14	15.00
19872	UBS AG - Tencent Holdings European Put Warrants Dec 2012 C	100,000,000	2012/08/23	2012/12/03	15.00
17907 [#]	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Jul 2013 A	230,000,000	2012/08/23	2013/07/29	10.12
19897	HK Bank - China Tel European Warrants Apr 2013 A	50,000,000	2012/08/24	2013/04/10	12.50
19895	HK Bank - A50 China European Warrants Jan 2013 B	80,000,000	2012/08/24	2013/01/14	12.00
19896	HK Bank - Galaxy Ent European Warrants Apr 2013 A	60,000,000	2012/08/24	2013/04/29	15.00
19898	HK Bank - Tencent European Warrants Apr 2013 B	120,000,000	2012/08/24	2013/04/26	22.80
19899	HK Bank - Tencent European Put Warrants Apr 2013 C	120,000,000	2012/08/24	2013/04/29	30.00
19878	J P Morgan SP BV - A50 China European Warrants Feb 2013 A	200,000,000	2012/08/24	2013/02/25	87.20
19877	J P Morgan SP BV - Tencent European Warrants Feb 2013 A	200,000,000	2012/08/24	2013/02/25	50.00
19900	J P Morgan SP BV - Tencent European Put Warrants Feb 2013 B	200,000,000	2012/08/24	2013/02/25	50.00
19894	Macquarie Bank Ltd. - Tencent European Warrants May 2013 A	250,000,000	2012/08/24	2013/05/03	37.50
19881	Macquarie Bank Ltd. - Tencent European Put Wts Mar 2013 A	250,000,000	2012/08/24	2013/03/04	62.50
19887	CC Rabobank B.A. - Anhui Conch European Warrants Jan 2013 A	80,000,000	2012/08/24	2013/01/28	18.40
19885	CC Rabobank B.A. - China EB Ltd European Warrants Mar 2014 A	100,000,000	2012/08/24	2014/03/03	25.00
19886	CC Rabobank B.A. - CITIC Sec European Warrants Mar 2013 A	80,000,000	2012/08/24	2013/03/22	14.40

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		發行單位	上市日期	到期日	
19888	CC Rabobank B.A. - A50 China European Warrants Mar 2013 A	60,000,000	2012/08/24	2013/03/22	12.00
19884	CC Rabobank B.A. - Galaxy Ent European Warrants Feb 2013 A	100,000,000	2012/08/24	2013/02/25	25.00
19883	CC Rabobank B.A. - Sands China European Put Wts Mar 2013 A	80,000,000	2012/08/24	2013/03/04	20.00
19890	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 12 B	200,000,000	2012/08/24	2012/12/05	70.00
19891	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 12 C	200,000,000	2012/08/24	2012/12/10	42.00
19879	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 13 B	150,000,000	2012/08/24	2013/01/02	60.00
19892	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 13 C	200,000,000	2012/08/24	2013/01/02	98.00
19880	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep 23 A	300,000,000	2012/08/24	2013/09/09	75.00
19882	UBS AG - AIA European Warrants Jun 2013 A	100,000,000	2012/08/24	2013/06/24	25.00
19893	UBS AG - China Mobile European Warrants Dec 2012 E	100,000,000	2012/08/24	2012/12/03	37.00
19889	UBS AG - HSI European Put Warrants Dec 2013 A	300,000,000	2012/08/24	2013/12/30	45.00
19918	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Apr 2013 A	100,000,000	2012/08/27	2013/04/03	40.00
19919	BNP Paribas Arbit Issu B.V. - ZTE European Wts Oct 2013 A	100,000,000	2012/08/27	2013/10/03	25.00
19922	Credit Suisse AG - China Mobile European Warrants Dec 2012 B	80,000,000	2012/08/27	2012/12/24	19.20
19921	Credit Suisse AG - HSBC European Wts Dec 2012 D	80,000,000	2012/08/27	2012/12/24	18.40
19920	Credit Suisse AG - Lenovo European Warrants Jan 2013 A	50,000,000	2012/08/27	2013/01/07	28.50
19923	Credit Suisse AG - Tencent Holdings European Wts Apr 2013 A	100,000,000	2012/08/27	2013/04/29	17.00
19917	Daiwa Capital Mkt - China Mobile European Put Wts Mar 2013 A	128,000,000	2012/08/27	2013/03/08	32.00
19924	J P Morgan SP BV - Agricultural Bk Euro Warrants Dec 2012 A	200,000,000	2012/08/27	2012/12/14	30.00
19905	Macquarie Bank Ltd. - China Mobile European Wts Mar 2013 B	180,000,000	2012/08/27	2013/03/04	49.50
19906	Macquarie Bank Ltd. - China Mobile European Wts Mar 2013 C	180,000,000	2012/08/27	2013/03/04	45.00
19901	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Jun 13 B	40,000,000	2012/08/27	2013/06/24	10.00
19902	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Nov 2013 B	80,000,000	2012/08/27	2013/11/26	12.00
19904	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Feb 2013 C	100,000,000	2012/08/27	2013/02/27	20.00
19903	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Feb 2013 B	100,000,000	2012/08/27	2013/02/27	19.60
19909	Standard Chartered Bank-China Life European Wts May 2013 A	100,000,000	2012/08/27	2013/05/02	32.00
19912	Standard Chartered Bank - China Mobile Euro Wts Dec 2012 A	100,000,000	2012/08/27	2012/12/03	21.80
19915	Standard Chartered Bank - China Shenhua Euro Wts May 2013 A	50,000,000	2012/08/27	2013/05/06	12.50
19913	Standard Chartered Bank - CTel European Warrants Aug 2013 A	100,000,000	2012/08/27	2013/08/05	48.00
19914	Standard Chartered Bank - HSBC European Warrants Mar 2013 A	100,000,000	2012/08/27	2013/03/18	25.00
19911	Standard Chartered Bank - HSBC European Put Wts Apr 2013 A	100,000,000	2012/08/27	2013/04/02	33.00
19916	Standard Chartered Bank - HSI European Put Wts Mar 2013 A	200,000,000	2012/08/27	2013/03/27	50.00
19910	Standard Chartered Bank - ICBC European Warrants May 2013 A	50,000,000	2012/08/27	2013/05/24	27.50
19908	Standard Chartered Bank - MTRC European Warrants Jul 2013 A	40,000,000	2012/08/27	2013/07/31	10.00
19907	UBS AG - A50 China European Warrants Jul 2013 B	300,000,000	2012/08/27	2013/07/08	45.00
19927	J P Morgan SP BV - BYD European Warrants Mar 2013 A	80,000,000	2012/08/28	2013/03/01	12.00
19925	J P Morgan SP BV - CITIC Pacific Euro Wts Jan 2014 A	80,000,000	2012/08/28	2014/01/03	12.00
19926	J P Morgan SP BV - China Mob European Wts Mar 2013 B	200,000,000	2012/08/28	2013/03/01	50.00
19936	J P Morgan SP BV - SPDR Gold Trust European Warrants Apr 13A	80,000,000	2012/08/28	2013/04/15	34.08
19931	Macquarie Bank Ltd. - PetroChina European Wts Jan 2014 A	180,000,000	2012/08/28	2014/01/03	27.00
19929	Standard Chartered Bank - CC Bank European Wts Apr 2013 A	100,000,000	2012/08/28	2013/04/19	47.00
19928	Standard Chartered Bank - A50 China Euro Warrants Nov 2014 A	100,000,000	2012/08/28	2014/11/04	25.00
19930	UBS AG - China Mobile European Put Warrants Feb 2013 B	100,000,000	2012/08/28	2013/02/28	25.00
19934	UBS AG - CNOOC European Warrants Jun 2013 B	200,000,000	2012/08/28	2013/06/17	50.00

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		發行單位	上市日期	到期日	
19932	UBS AG - HSBC European Warrants Jan 2013 A	200,000,000	2012/08/28	2013/01/02	30.00
19933	UBS AG - HSBC European Put Warrants Dec 2012 D	100,000,000	2012/08/28	2012/12/19	16.00
19935	UBS AG - Lenovo European Warrants Feb 2013 A	100,000,000	2012/08/28	2013/02/28	40.00
17672 #	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2012 A	70,000,000	2012/08/28	2012/11/05	10.57
19947	BOCI Asia Ltd. - A50 China European Warrants Apr 2013 A	100,000,000	2012/08/29	2013/04/02	20.00
19946	BOCI Asia Ltd. - HKEx European Warrants Dec 2013 A	100,000,000	2012/08/29	2013/12/03	15.00
19949	BNP Paribas Arbit Issu B.V. - CNBM European Wts Feb 2014 A	100,000,000	2012/08/29	2014/02/04	15.00
19948	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Aug 2013 A	100,000,000	2012/08/29	2013/08/02	25.00
19950	BNP Paribas Arbit Issu B.V. - MGM China Euro Wts Jun 2013 A	77,000,000	2012/08/29	2013/06/04	19.25
19951	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Jul 2013 A	100,000,000	2012/08/29	2013/07/03	35.00
19963	Credit Suisse AG - CNOOC European Warrants Apr 2013 A	80,000,000	2012/08/29	2013/04/15	12.00
19953	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Mar 2013 A	100,000,000	2012/08/29	2013/03/04	25.00
19952	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2012 C	100,000,000	2012/08/29	2012/12/19	21.70
19956	Goldman Sachs SP (Asia) - New World Dev Euro Wts Jul 2013 A	80,000,000	2012/08/29	2013/07/15	30.32
19955	Goldman Sachs SP (Asia) - Ping An European Wts Feb 2013 A	100,000,000	2012/08/29	2013/02/04	15.40
19954	Goldman Sachs SP (Asia) - Tencent European Put Wt Mar 2013 B	100,000,000	2012/08/29	2013/03/04	25.00
19958	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Mar 2013 A	200,000,000	2012/08/29	2013/03/01	50.00
19959	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Mar 2013 B	200,000,000	2012/08/29	2013/03/01	53.60
19957	J P Morgan SP BV - ICBC European Warrants Mar 2013 A	200,000,000	2012/08/29	2013/03/01	50.00
19942	Merrill Lynch Int'l & Co. C.V. - BOC HK Euro Wts Jul 2013 A	40,000,000	2012/08/29	2013/07/29	10.20
19941	Merrill Lynch Int'l & Co. C.V. - BOC HK Euro Wts Sep 2013 A	60,000,000	2012/08/29	2013/09/02	11.82
19938	Merrill Lynch Int'l & Co. C.V. - BOCL European Wt May 2013 A	60,000,000	2012/08/29	2013/05/27	12.12
19939	Merrill Lynch Int'l & Co. C.V. - CM Bank Euro Wts Sep 2013 A	70,000,000	2012/08/29	2013/09/26	10.85
19937	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Dec 2012 A	70,000,000	2012/08/29	2012/12/21	11.20
19940	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jan 2013 B	60,000,000	2012/08/29	2013/01/28	11.52
19945	Standard Chartered Bank - A50 China Euro Warrants Mar 2013 A	100,000,000	2012/08/29	2013/03/26	25.50
19944	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Apr 2013A	300,000,000	2012/08/29	2013/04/15	48.00
19943	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 2013A	70,000,000	2012/08/29	2013/01/14	10.50
19964	UBS AG - China Life European Warrants Mar 2013 B	300,000,000	2012/08/29	2013/03/04	54.00
19960	UBS AG - China Tel European Warrants Feb 2013 A	100,000,000	2012/08/29	2013/02/06	16.00
19961	UBS AG - HSI European Warrants Nov 2012 G	300,000,000	2012/08/29	2012/11/29	45.00
19962	UBS AG - Ping An European Warrants Jan 2013 A	100,000,000	2012/08/29	2013/01/14	17.00
19978	Credit Suisse AG - Cheung Kong European Warrants Dec 2013 A	80,000,000	2012/08/30	2013/12/23	12.00
19981	Credit Suisse AG - China Tel European Warrants Mar 2013 A	60,000,000	2012/08/30	2013/03/18	15.00
19979	Credit Suisse AG - ChiUnicom European Warrants Sep 2013 A	80,000,000	2012/08/30	2013/09/30	16.00
19983	Credit Suisse AG - HKEx European Warrants Dec 2012 C	100,000,000	2012/08/30	2012/12/24	20.00
19984	Credit Suisse AG - HKEx European Put Warrants Dec 2013 A	100,000,000	2012/08/30	2013/12/23	15.00
19974	Credit Suisse AG - HSBC European Wts Dec 2012 E	100,000,000	2012/08/30	2012/12/24	15.00
19973	Credit Suisse AG - HSBC European Wts Feb 2013 A	100,000,000	2012/08/30	2013/02/08	22.00
19975	Credit Suisse AG - HSBC European Put Warrants Dec 2012 F	100,000,000	2012/08/30	2012/12/24	15.00
19970	Credit Suisse AG - HSI European Warrants Jan 2013 C	300,000,000	2012/08/30	2013/01/30	45.00
19971	Credit Suisse AG - HSI European Warrants Jan 2013 D	300,000,000	2012/08/30	2013/01/30	60.00
19972	Credit Suisse AG - HSI European Warrants Feb 2013 B	300,000,000	2012/08/30	2013/02/27	48.00
19969	Credit Suisse AG - HSI European Put Warrants Jan 2013 B	300,000,000	2012/08/30	2013/01/30	45.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
19965	Credit Suisse AG - HSI European Put Warrants Feb 2013 A	300,000,000	2012/08/30	2013/02/27	45.00
19976	Credit Suisse AG - Hutchison European Warrants Dec 2012 C	100,000,000	2012/08/30	2012/12/24	25.00
19977	Credit Suisse AG - Hutchison European Warrants Mar 2013 B	100,000,000	2012/08/30	2013/03/28	15.00
19982	Credit Suisse AG - Jiangxi Copper Euro Put Wts Jun 2013 A	60,000,000	2012/08/30	2013/06/25	15.00
19980	Credit Suisse AG - KECL European Warrants Sep 2013 A	80,000,000	2012/08/30	2013/09/09	12.00
19986	Credit Suisse AG - Tencent Holdings European Wts Jan 2013 B	100,000,000	2012/08/30	2013/01/21	20.00
19987	Credit Suisse AG - Tencent Holdings European Wts Jan 2013 C	100,000,000	2012/08/30	2013/01/07	15.00
19985	Credit Suisse AG - Tencent Holdings Euro Put Wts Mar 2013 B	100,000,000	2012/08/30	2013/03/11	15.00
19988	Goldman Sachs SP (Asia) - Sands China Euro Wts Dec 2012 C	100,000,000	2012/08/30	2012/12/10	15.00
19989	Macquarie Bank Ltd. - China Tel European Warrants Mar 2013 A	100,000,000	2012/08/30	2013/03/04	25.00
19990	Macquarie Bank Ltd. - China Unicom European Wts Dec 2013 A	200,000,000	2012/08/30	2013/12/02	50.20
19968	UBS AG - China Tel European Warrants Dec 2012 C	100,000,000	2012/08/30	2012/12/03	15.00
19967	UBS AG - China Unicom European Warrants Feb 2014 A	100,000,000	2012/08/30	2014/02/24	17.00
19966	UBS AG - HSI European Warrants Mar 2013 B	300,000,000	2012/08/30	2013/03/27	75.00
20002	Credit Suisse AG - Agricultural Bank European Wts Feb 2013 A	80,000,000	2012/08/31	2013/02/06	12.00
20001	Credit Suisse AG - BOCL European Warrants May 2013 A	80,000,000	2012/08/31	2013/05/31	12.00
19997	Credit Suisse AG - CC Bank European Warrants Jan 2013 B	80,000,000	2012/08/31	2013/01/31	12.00
19996	Credit Suisse AG - CC Bank European Warrants Feb 2013 A	80,000,000	2012/08/31	2013/02/28	28.00
20005	Credit Suisse AG - CNBM European Warrants Mar 2013 A	50,000,000	2012/08/31	2013/03/04	30.00
20003	Credit Suisse AG - China Shenhua European Wts Mar 2013 A	70,000,000	2012/08/31	2013/03/28	10.50
19998	Credit Suisse AG - ICBC European Warrants Jan 2013 A	80,000,000	2012/08/31	2013/01/31	12.00
19999	Credit Suisse AG - ICBC European Warrants Feb 2013 A	80,000,000	2012/08/31	2013/02/06	20.00
20000	Credit Suisse AG - ICBC European Put Warrants Dec 2012 C	80,000,000	2012/08/31	2012/12/24	12.00
20004	Credit Suisse AG - Yanzhou Coal European Warrants Sep 2013 A	70,000,000	2012/08/31	2013/09/16	10.50
19992	Macquarie Bank Ltd. - Cheung Kong European Wts Mar 2013 A	150,000,000	2012/08/31	2013/03/04	37.50
19993	Macquarie Bank Ltd. - SHK Ppt European Warrants Feb 2013 A	150,000,000	2012/08/31	2013/02/04	37.50
19994	Macquarie Bank Ltd. - Wharf European Warrants May 2013 A	120,000,000	2012/08/31	2013/05/03	30.00
20013	Standard Chartered Bank - CNBM European Warrants Dec 2013 A	80,000,000	2012/08/31	2013/12/13	12.08
20012	Standard Chartered Bank - China Unicom Euro Wts Feb 2014 A	70,000,000	2012/08/31	2014/02/20	14.00
20011	Standard Chartered Bank - KECL European Warrants Oct 2013 A	75,000,000	2012/08/31	2013/10/28	12.75
20009	UBS AG - Sinopec Corp European Warrants Feb 2013 A	100,000,000	2012/08/31	2013/02/04	27.00
20008	UBS AG - Evergrande European Warrants Jan 2013 A	100,000,000	2012/08/31	2013/01/31	27.00
19991	UBS AG - A50 China European Warrants Feb 2013 A	100,000,000	2012/08/31	2013/02/18	32.00
20006	UBS AG - HSI European Warrants Sep 2013 B	300,000,000	2012/08/31	2013/09/27	75.00
20007	UBS AG - HSI European Put Warrants Jan 2013 C	300,000,000	2012/08/31	2013/01/30	60.00
20010	UBS AG - HSI European Put Warrants Feb 2013 B	300,000,000	2012/08/31	2013/02/27	45.00
19995	UBS AG - Hutchison European Warrants Mar 2013 A	100,000,000	2012/08/31	2013/03/08	25.00
20015	HK Bank - HSI European Warrants Jan 2013 B	250,000,000	2012/09/03	2013/01/30	37.50
20016	HK Bank - KECL European Warrants Aug 2013 A	80,000,000	2012/09/03	2013/08/23	12.00
20017	Macquarie Bank Ltd. - A50 China European Warrants Mar 2013 B	100,000,000	2012/09/03	2013/03/04	15.00
20014	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Mar 13 A	150,000,000	2012/09/03	2013/03/04	42.00
20022	Credit Suisse AG - China Mobile European Warrants Feb 2013 B	80,000,000	2012/09/04	2013/02/25	24.00
20021	Credit Suisse AG - Sinopec Corp European Warrants Dec 2012 B	70,000,000	2012/09/04	2012/12/28	10.50
20020	Credit Suisse AG - HSI European Put Warrants Feb 2013 C	300,000,000	2012/09/04	2013/02/27	45.00

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		發行單位	上市日期	到期日	
20024	Credit Suisse AG - Tencent Holdings Euro Put Wts Dec 2012 E	80,000,000	2012/09/04	2012/12/04	12.00
20023	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2013 B	80,000,000	2012/09/04	2013/02/25	18.40
20029	Citigroup Global Mkt H Inc. - A50 China Euro Wts Feb 2013 A	100,000,000	2012/09/04	2013/02/25	25.00
20030	Citigroup Global Mkt H Inc. - A50 China Euro Wts Apr 2013 A	100,000,000	2012/09/04	2013/04/02	15.00
20028	Citigroup Global Mkt H Inc. - A50 China Eu Put Wt Jul 2014 A	100,000,000	2012/09/04	2014/07/02	22.00
20034	Citigroup Global Mkt H Inc. - HSI European Wts Dec 2012 E	200,000,000	2012/09/04	2012/12/28	30.00
20033	Citigroup Global Mkt H Inc. - HSI European Wts Jan 2013 B	200,000,000	2012/09/04	2013/01/30	30.00
20032	Citigroup Global Mkt H Inc. - HSI European Put Wt Dec 2012 D	200,000,000	2012/09/04	2012/12/28	30.00
20031	Citigroup Global Mkt H Inc. - HSI European Put Wt Jan 2013 A	200,000,000	2012/09/04	2013/01/30	30.00
20026	Macquarie Bank Ltd. - Hutchison European Warrants Jan 2013 A	150,000,000	2012/09/04	2013/01/03	22.50
20018	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Feb 2013 B	200,000,000	2012/09/04	2013/02/18	48.00
20019	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Mar 2013 A	150,000,000	2012/09/04	2013/03/04	57.00
20027	UBS AG - CC Bank European Warrants Dec 2012 D	100,000,000	2012/09/04	2012/12/18	21.00
20025	UBS AG - China Mobile European Warrants Feb 2013 C	100,000,000	2012/09/04	2013/02/18	30.00
20048	Citigroup Global Mkt H Inc. - China Life Eu Put Wt May 2013A	100,000,000	2012/09/05	2013/05/27	25.00
20047	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Dec 2012 A	100,000,000	2012/09/05	2012/12/05	15.00
20049	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Feb 2013 A	100,000,000	2012/09/05	2013/02/25	18.00
20055	Bank of East Asia - Belle European Warrants Dec 2013 A	100,000,000	2012/09/05	2013/12/24	25.00
20054	Bank of East Asia - Galaxy Ent European Warrants Aug 2013 A	50,000,000	2012/09/05	2013/08/06	12.50
20067	Goldman Sachs SP (Asia) - AAC Acoustic Euro Wts Jun 2013 A	50,000,000	2012/09/05	2013/06/24	25.10
20039	Goldman Sachs SP (Asia) - Belle Int'l Euro Wts Sep 2013 A	50,000,000	2012/09/05	2013/09/30	12.50
20038	Goldman Sachs SP (Asia) - China Tel European Wts Mar 2013 A	50,000,000	2012/09/05	2013/03/25	13.05
20068	Goldman Sachs SP (Asia) - A50 China European Wts Mar 2013 A	80,000,000	2012/09/05	2013/03/11	21.68
20069	Goldman Sachs SP (Asia) - A50 China Euro Put Wts Mar 2013 B	80,000,000	2012/09/05	2013/03/18	35.92
20037	Goldman Sachs SP (Asia) - HSI European Warrants Feb 2013 A	150,000,000	2012/09/05	2013/02/27	25.20
20035	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2012 E	150,000,000	2012/09/05	2012/12/28	23.85
20036	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2012 F	150,000,000	2012/09/05	2012/12/28	25.20
20057	J P Morgan SP BV - Hutchison European Warrants Mar 2013 B	150,000,000	2012/09/05	2013/03/05	64.80
20046	Macquarie Bank Ltd. - AIA European Warrants May 2013 A	150,000,000	2012/09/05	2013/05/03	37.50
20061	Macquarie Bank Ltd. - CITIC Sec European Warrants Jan 2014 A	150,000,000	2012/09/05	2014/01/03	37.50
20060	Macquarie Bank Ltd. - China Life European Wts Apr 2013 A	180,000,000	2012/09/05	2013/04/03	27.00
20045	Macquarie Bank Ltd. - Evergrande European Wts May 2015 A	180,000,000	2012/09/05	2015/05/21	27.00
20059	Macquarie Bank Ltd. - Ping An European Warrants Jan 2013 B	180,000,000	2012/09/05	2013/01/03	27.00
20050	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2013 A	100,000,000	2012/09/05	2013/08/29	16.00
20051	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2012 F	100,000,000	2012/09/05	2012/12/28	16.40
20052	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Feb 2013 D	100,000,000	2012/09/05	2013/02/27	15.70
20053	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jan 2013 A	80,000,000	2012/09/05	2013/01/14	12.40
20062	CC Rabobank B.A. - CITIC Bank European Warrants Feb 2013 A	70,000,000	2012/09/05	2013/02/04	11.20
20064	CC Rabobank B.A. - Minsheng Bank European Wts Mar 2013 A	80,000,000	2012/09/05	2013/03/11	24.00
20066	CC Rabobank B.A. - Evergrande European Warrants Jun 2013 A	100,000,000	2012/09/05	2013/06/28	45.00
20063	CC Rabobank B.A. - Tencent Holdings European Wts Apr 2013 A	200,000,000	2012/09/05	2013/04/22	30.00
20065	CC Rabobank B.A. - Tencent Holdings Euro Put Wt Feb 2013 A	150,000,000	2012/09/05	2013/02/25	37.50
20044	Standard Chartered Bank - Ping An European Wts Dec 2012 A	75,000,000	2012/09/05	2012/12/18	15.00
20041	SGA Societe Generale Acceptance N.V. - CCoal Eur Wt Mar2013A	50,000,000	2012/09/05	2013/03/06	22.00

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		發行單位	上市日期	到期日	
20058	SGA Societe Generale Acceptance N.V.-CK(H) Eu Put Wt Mar13B	120,000,000	2012/09/05	2013/03/06	32.40
20040	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Feb 2013B	50,000,000	2012/09/05	2013/02/18	12.50
20042	SGA Societe Generale Acceptance N.V. - PICC P&C Eu Wt Mar13A	50,000,000	2012/09/05	2013/03/06	25.00
20043	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jan13A	50,000,000	2012/09/05	2013/01/21	25.00
20056	UBS AG - HKEx European Warrants Jan 2013 A	100,000,000	2012/09/05	2013/01/14	18.00
20085	Citigroup Global Mkt H Inc. - HSBC European Wts Feb 2013 A	100,000,000	2012/09/06	2013/02/06	18.00
20082	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Mar 2013 A	100,000,000	2012/09/06	2013/03/11	25.00
20084	Citigroup Global Mkt H Inc. - Ping An Euro Wts Dec 2012 B	100,000,000	2012/09/06	2012/12/17	16.00
20083	Citigroup Global Mkt H Inc. - Ping An Euro Wts Mar 2013 A	100,000,000	2012/09/06	2013/03/11	25.00
20081	Citigroup Global Mkt H Inc. - SHK Ppt Euro Wts Dec 2012 A	100,000,000	2012/09/06	2012/12/24	15.00
20070	J P Morgan SP BV - China Life European Warrants Apr 2013 A	300,000,000	2012/09/06	2013/04/03	45.00
20079	J P Morgan SP BV - China Mob European Wts Jan 2013 B	200,000,000	2012/09/06	2013/01/03	40.00
20077	J P Morgan SP BV - HSI European Put Warrants Apr 2013 A	300,000,000	2012/09/06	2013/04/29	75.00
20074	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Feb 2013 A	120,000,000	2012/09/06	2013/02/06	18.00
20073	Macquarie Bank Ltd. - Brilliance Chi Euro Warrants Apr 2014A	150,000,000	2012/09/06	2014/04/02	37.50
20075	Standard Chartered Bank - HSI European Warrants Jan 2013 B	200,000,000	2012/09/06	2013/01/30	35.60
20076	Standard Chartered Bank - HSI European Warrants Mar 2013 B	200,000,000	2012/09/06	2013/03/27	51.40
20071	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jan 2013 C	150,000,000	2012/09/06	2013/01/28	54.00
20072	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Mar 13A	50,000,000	2012/09/06	2013/03/06	12.50
20080	UBS AG - BYD European Warrants Mar 2013 A	100,000,000	2012/09/06	2013/03/22	25.00
20078	UBS AG - ICBC European Warrants Feb 2013 B	100,000,000	2012/09/06	2013/02/06	17.00
20112	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Sep13A	100,000,000	2012/09/07	2013/09/03	25.00
20108	BNP Paribas Arbit Issu B.V. - China Life Eu Put Wts Dec 13 A	100,000,000	2012/09/07	2013/12/03	25.00
20111	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Apr 2013 A	44,000,000	2012/09/07	2013/04/03	14.52
20110	BNP Paribas Arbit Issu B.V. - CPIC European Wts Aug 2013 A	100,000,000	2012/09/07	2013/08/02	26.00
20109	BNP Paribas Arbit Issu B.V. - HSBC European Wts May 2013 B	200,000,000	2012/09/07	2013/05/03	52.00
20107	J P Morgan SP BV - China Unicom European Warrants Oct 2013 A	200,000,000	2012/09/07	2013/10/02	30.60
20116	Macquarie Bank Ltd. - China Coal European Wts Jul 2013 A	100,000,000	2012/09/07	2013/07/03	25.00
20096	Macquarie Bank Ltd. - Chow Sang Sang European Wts May 2013 A	150,000,000	2012/09/07	2013/05/03	37.50
20113	Macquarie Bank Ltd. - Chow Tai Fook European Wts Sep 2014 A	150,000,000	2012/09/07	2014/09/02	22.50
20115	Macquarie Bank Ltd. - Yurun Food European Wts Jul 2013 A	100,000,000	2012/09/07	2013/07/03	25.00
20091	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Jun 2013 A	60,000,000	2012/09/07	2013/06/17	10.68
20088	Merrill Lynch Int'l & Co. C.V. - Ch Life Eur Put Wt Mar 13 A	80,000,000	2012/09/07	2013/03/11	12.24
20090	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eu Wt Mar 2013 A	40,000,000	2012/09/07	2013/03/05	10.20
20086	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Dec 2012 E	60,000,000	2012/09/07	2012/12/28	11.76
20089	Merrill Lynch Int'l & Co. C.V. - JX Copper Euro Wts Mar 13 A	80,000,000	2012/09/07	2013/03/25	12.16
20087	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Mar 2013 A	40,000,000	2012/09/07	2013/03/11	16.20
20097	CC Rabobank B.A. - China Mer Hold European Warrants Mar 13 A	70,000,000	2012/09/07	2013/03/11	17.50
20100	CC Rabobank B.A. - China Railway European Wts Mar 2013 A	60,000,000	2012/09/07	2013/03/18	15.00
20099	CC Rabobank B.A. - Dongfeng Motor European Wts Nov 2013 A	100,000,000	2012/09/07	2013/11/25	15.00
20101	CC Rabobank B.A. - SHK Ppt European Warrants Apr 2013 A	100,000,000	2012/09/07	2013/04/22	30.00
20098	CC Rabobank B.A. - Xinyi Glass European Warrants Mar 2013 A	50,000,000	2012/09/07	2013/03/25	19.00
20102	Standard Chartered Bank - Anhui Conch Euro Wts May 2013 A	50,000,000	2012/09/07	2013/05/28	12.50
20104	Standard Chartered Bank - Belle Int'l European Wts May 2014A	80,000,000	2012/09/07	2014/05/30	20.00

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		發行單位	上市日期	到期日	
20105	Standard Chartered Bank - CITIC Sec European Wts Jun 2013 A	80,000,000	2012/09/07	2013/06/28	20.00
20103	Standard Chartered Bank - Cathay Pac Air Euro Wts Oct 2013 A	80,000,000	2012/09/07	2013/10/28	13.20
20092	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Mar 2013 A	150,000,000	2012/09/07	2013/03/27	37.50
20093	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Mar 2013 A	30,000,000	2012/09/07	2013/03/11	15.90
20094	SGA Societe Generale Acceptance N.V. - HLand Eu Put Wt Mar13A	50,000,000	2012/09/07	2013/03/11	12.50
20095	SGA Societe Generale Acceptance N.V. - NWD Eu Put Wts Apr13A	30,000,000	2012/09/07	2013/04/15	18.60
20106	UBS AG - HSI European Warrants Dec 2012 J	300,000,000	2012/09/07	2012/12/28	64.50
20128	Credit Suisse AG - Ping An European Warrants Dec 2012 E	60,000,000	2012/09/10	2012/12/10	21.60
20129	Credit Suisse AG - Ping An European Put Warrants Feb 2013 A	60,000,000	2012/09/10	2013/02/25	18.00
20131	Citigroup Global Mkt H Inc. - CC Bank European Wt Mar 2013 A	100,000,000	2012/09/10	2013/03/11	25.00
20130	Citigroup Global Mkt H Inc. - Ch Overseas Eu Put Wt Jun 13 A	100,000,000	2012/09/10	2013/06/17	15.00
20132	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Jan 2013 A	100,000,000	2012/09/10	2013/01/07	15.00
20134	Citigroup Global Mkt H Inc.-Tencent European Wts Feb 2013 A	100,000,000	2012/09/10	2013/02/18	18.00
20133	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Mar 2013 A	100,000,000	2012/09/10	2013/03/11	25.00
20122	HK Bank - China Mobile European Warrants Mar 2013 D	150,000,000	2012/09/10	2013/03/28	37.50
20117	HK Bank - SHK Ppt European Warrants Mar 2013 A	100,000,000	2012/09/10	2013/03/28	25.00
20119	J P Morgan SP BV - Minsheng Bank European Wts Mar 2013 A	100,000,000	2012/09/10	2013/03/11	25.00
20118	J P Morgan SP BV - Wharf European Warrants Apr 2013 A	150,000,000	2012/09/10	2013/04/29	37.50
20124	Macquarie Bank Ltd. - China Agri European Wts Oct 2013 A	100,000,000	2012/09/10	2013/10/03	25.00
20126	Macquarie Bank Ltd. - China Shenhua European Wts Nov 2013 A	150,000,000	2012/09/10	2013/11/04	37.50
20121	Standard Chartered Bank - Link REIT European Wts Jun 2014 A	80,000,000	2012/09/10	2014/06/03	20.00
20120	Standard Chartered Bank - Link REIT European Wts Nov 2014 A	80,000,000	2012/09/10	2014/11/04	28.80
20123	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Mar 13 B	150,000,000	2012/09/10	2013/03/11	58.50
20127	UBS AG - AIA European Warrants Dec 2012 B	100,000,000	2012/09/10	2012/12/24	20.50
20125	UBS AG - AIA European Warrants Feb 2013 B	100,000,000	2012/09/10	2013/02/25	15.00
20150	BOCI Asia Ltd. -Tencent Holdings European Put Wts Mar 2013 A	100,000,000	2012/09/11	2013/03/18	16.00
20158	Credit Suisse AG - AIA European Warrants Feb 2013 A	70,000,000	2012/09/11	2013/02/25	10.50
20157	Credit Suisse AG - A50 China European Warrants Mar 2013 A	70,000,000	2012/09/11	2013/03/11	17.50
20140	Goldman Sachs SP (Asia) - HSBC European Put Wts Dec 2012 D	80,000,000	2012/09/11	2012/12/24	13.52
20137	Goldman Sachs Sp (Asia) - ICBC European Warrants Jan 2013 B	50,000,000	2012/09/11	2013/01/11	10.35
20138	Goldman Sachs Sp (Asia) - ICBC European Warrants Feb 2013 A	68,000,000	2012/09/11	2013/02/25	10.20
20139	Goldman Sachs SP (Asia) - Poly HK Inv Euro Wts Jun 2013 A	60,000,000	2012/09/11	2013/06/24	26.82
20141	HK Bank - Cheung Kong European Warrants Mar 2013 A	50,000,000	2012/09/11	2013/03/15	12.50
20156	J P Morgan SP BV - Link Reit European Warrants Sep 2013 A	150,000,000	2012/09/11	2013/09/30	37.50
20147	Macquarie Bank Ltd. - Minsheng Bank European Wts Mar 2013 A	100,000,000	2012/09/11	2013/03/11	35.30
20148	Macquarie Bank Ltd. - HKEx European Warrants Mar 2013 A	250,000,000	2012/09/11	2013/03/08	39.00
20149	Macquarie Bank Ltd. - HKEx European Put Warrants Jan 2014 A	250,000,000	2012/09/11	2014/01/03	39.75
20146	CC Rabobank B.A. - Angang Steel European Warrants Mar 2013 A	80,000,000	2012/09/11	2013/03/11	20.00
20143	CC Rabobank B.A. - Ch Railway Cons European Wts Mar 2013 A	60,000,000	2012/09/11	2013/03/11	27.00
20142	CC Rabobank B.A. - Chi Res Land European Warrants Sep 2013 A	100,000,000	2012/09/11	2013/09/02	25.00
20145	CC Rabobank B.A. - Evergrande European Put Wts Mar 2013 A	80,000,000	2012/09/11	2013/03/11	24.00
20144	CC Rabobank B.A. - Tsingtao Brew European Wts Mar 2013 A	60,000,000	2012/09/11	2013/03/11	21.00
20151	Standard Chartered Bank-China Life European Wts Jun 2013 B	100,000,000	2012/09/11	2013/06/28	15.00
20152	Standard Chartered Bank - Geely Auto European Wts Aug 2013 A	80,000,000	2012/09/11	2013/08/01	20.00

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		發行單位	上市日期	到期日	
20153	Standard Chartered Bank - Li & Fung European Wts Aug 2013 A	80,000,000	2012/09/11	2013/08/20	20.00
10159	SGA Societe Generale Acceptance N.V. - EUR/USD Eu Wt Apr 13B	100,000,000	2012/09/11	2013/04/03	25.00
10158	SGA Societe Generale Acceptance N.V.-EUR/USD Eu Put Wt Apr13A	100,000,000	2012/09/11	2013/04/03	26.00
20135	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Jan13B	50,000,000	2012/09/11	2013/01/28	15.00
20136	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Dec13A	500,000,000	2012/09/11	2013/12/23	90.00
20154	UBS AG - China Unicom European Warrants Nov 2013 A	100,000,000	2012/09/11	2013/11/25	16.00
20155	UBS AG - HSBC European Warrants Feb 2013 A	100,000,000	2012/09/11	2013/02/06	18.80
20181	Credit Suisse AG - Agricultural Bank European Wts Feb 2013 B	70,000,000	2012/09/12	2013/02/22	12.60
20180	Credit Suisse AG - BOCL European Warrants Jan 2013 A	70,000,000	2012/09/12	2013/01/11	10.50
20182	Credit Suisse AG - Yanzhou Coal European Warrants Mar 2013 B	50,000,000	2012/09/12	2013/03/15	42.50
20179	Daiwa Capital Mkt - BOCL European Warrants Apr 2013 A	108,000,000	2012/09/12	2013/04/10	27.00
20168	Goldman Sachs SP (Asia) - CC Bank European Wts Feb 2013 B	80,000,000	2012/09/12	2013/02/25	16.40
20167	Goldman Sachs SP (Asia) - China Coal European Wts Mar 2013 A	80,000,000	2012/09/12	2013/03/25	25.04
20165	Goldman Sachs SP (Asia) - CM Bank European Wts Dec 2013 A	80,000,000	2012/09/12	2013/12/24	12.88
20166	Goldman Sachs SP (Asia) - PICC European Warrants Dec 2013 A	80,000,000	2012/09/12	2013/12/16	12.24
20171	HK Bank - China Life European Warrants Mar 2013 C	150,000,000	2012/09/12	2013/03/27	22.50
20172	HK Bank - HKEx European Put Warrants Dec 2013 A	150,000,000	2012/09/12	2013/12/18	24.00
20176	J P Morgan SP BV - Luk Fook Hold European Warrants Jun 2013A	88,000,000	2012/09/12	2013/06/03	22.09
20170	J P Morgan SP BV - Yurun Food European Warrants Mar 2013 A	50,000,000	2012/09/12	2013/03/12	13.45
20175	J P Morgan SP BV - Zijin Mining European Warrants Aug 2013 A	88,000,000	2012/09/12	2013/08/02	22.00
20174	Macquarie Bank Ltd. - CM Bank European Warrants Jan 2014 A	200,000,000	2012/09/12	2014/01/03	30.00
20173	Macquarie Bank Ltd. - HSBC European Warrants Apr 2013 A	200,000,000	2012/09/12	2013/04/03	50.00
20163	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Mar 2013 B	80,000,000	2012/09/12	2013/03/25	12.08
20162	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Feb 2013 B	40,000,000	2012/09/12	2013/02/18	10.80
20159	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Feb 2013 A	60,000,000	2012/09/12	2013/02/14	11.10
20164	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Feb 2013 A	50,000,000	2012/09/12	2013/02/25	12.25
20160	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Jan 2013 A	70,000,000	2012/09/12	2013/01/28	10.85
20161	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Mar 2013D	40,000,000	2012/09/12	2013/03/25	10.20
20177	Standard Chartered Bank - HSI European Put Wts Feb 2013 A	200,000,000	2012/09/12	2013/02/27	30.20
20178	Standard Chartered Bank - HSI European Put Wts Mar 2013 C	200,000,000	2012/09/12	2013/03/27	33.80
20195	Goldman Sachs SP (Asia) - Anhui Conch European Wts Jun 2013A	80,000,000	2012/09/13	2013/06/27	20.16
20196	Goldman Sachs SP (Asia) - Agri Bk European Wts Feb 2013 A	80,000,000	2012/09/13	2013/02/18	13.52
20197	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Mar 2013 B	80,000,000	2012/09/13	2013/03/11	12.32
20194	Goldman Sachs SP (Asia) - HKEx European Put Wts Dec 2012 A	80,000,000	2012/09/13	2012/12/24	12.64
20193	HK Bank - Agricultural Bank European Warrants Jul 2013 A	50,000,000	2012/09/13	2013/07/16	12.50
20191	HK Bank - Minsheng Bank European Warrants May 2013 A	40,000,000	2012/09/13	2013/05/31	10.00
20192	HK Bank - HSI European Put Warrants Mar 2013 B	250,000,000	2012/09/13	2013/03/27	62.50
20187	J P Morgan SP BV - Chi Res Land European Warrants Aug 2013 A	100,000,000	2012/09/13	2013/08/02	25.00
20186	J P Morgan SP BV - Evergrande European Warrants Jun 2013 A	100,000,000	2012/09/13	2013/06/03	28.40
20204	J P Morgan SP BV - ICBC European Warrants Apr 2013 A	200,000,000	2012/09/13	2013/04/02	50.00
20205	J P Morgan SP BV - Tsingtao Brew European Warrants Mar 2013A	100,000,000	2012/09/13	2013/03/13	27.10
20184	Merrill Lynch Int'l & Co. C.V. - CM Bank Euro Wts Dec 2013 A	70,000,000	2012/09/13	2013/12/24	10.50
20183	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Mar 2013 A	100,000,000	2012/09/13	2013/03/27	22.50
20185	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Mar 2013 A	40,000,000	2012/09/13	2013/03/25	18.00

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		發行單位	上市日期	到期日	
20200	CC Rabobank B.A. - AAC Acoustic European Warrants Mar 2013 A	60,000,000	2012/09/13	2013/03/18	15.00
20203	CC Rabobank B.A. - Ch Ship Cont European Warrants Jun 2013 A	60,000,000	2012/09/13	2013/06/24	15.00
20201	CC Rabobank B.A. - Ping An European Warrants Mar 2013 A	100,000,000	2012/09/13	2013/03/13	25.00
20202	CC Rabobank B.A. - Poly HK Inv European Warrants Mar 2013 A	60,000,000	2012/09/13	2013/03/18	15.00
20199	CC Rabobank B.A. - Tencent Holdings Euro Put Wt Mar 2013 A	150,000,000	2012/09/13	2013/03/11	22.50
20198	Standard Chartered Bank - Agricultural Bk Euro Wts Sep 2013A	40,000,000	2012/09/13	2013/09/03	12.00
20188	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Apr 2013 B	200,000,000	2012/09/13	2013/04/03	50.00
20189	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec2012C	120,000,000	2012/09/13	2012/12/17	36.00
20207	UBS AG - Lenovo European Warrants Sep 2013 A	100,000,000	2012/09/13	2013/09/25	15.00
20206	UBS AG - Ping An European Warrants Jan 2013 B	100,000,000	2012/09/13	2013/01/04	15.00
14773 [#]	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Jun 2013	150,000,000	2012/09/13	2013/06/13	10.05
20212	BOCI Asia Ltd. - AIA European Warrants Feb 2013 A	100,000,000	2012/09/14	2013/02/25	15.00
20214	BOCI Asia Ltd. - HSI European Warrants Mar 2013 B	100,000,000	2012/09/14	2013/03/27	25.00
20213	BOCI Asia Ltd. - HSI European Warrants Jun 2013 B	100,000,000	2012/09/14	2013/06/27	25.00
20211	Goldman Sachs SP (Asia) - BOCL European Warrants Feb 2013 A	80,000,000	2012/09/14	2013/02/25	12.16
20218	HK Bank - CNBM European Warrants Dec 2013 A	80,000,000	2012/09/14	2013/12/17	12.00
20217	HK Bank - Lenovo European Warrants Apr 2013 A	40,000,000	2012/09/14	2013/04/10	18.00
20210	J P Morgan SP BV - HSI European Put Warrants Mar 2013 C	300,000,000	2012/09/14	2013/03/27	75.00
20226	J P Morgan SP BV - Tencent European Put Warrants Apr 2013 A	200,000,000	2012/09/14	2013/04/30	50.00
20225	J P Morgan SP BV - Zhaojin Mining European Wts Jun 2013 A	200,000,000	2012/09/14	2013/06/10	50.00
20219	Macquarie Bank Ltd. - AIA European Warrants Jul 2013 A	150,000,000	2012/09/14	2013/07/03	37.50
20220	Macquarie Bank Ltd. -Greatwall Motor European Wts Jun 2013 A	150,000,000	2012/09/14	2013/06/03	37.50
20216	Standard Chartered Bank - China Mobile Eur Put Wt Dec 2012 B	70,000,000	2012/09/14	2012/12/21	10.50
20215	Standard Chartered Bank - Galaxy Ent European Wts Jun 2013 A	50,000,000	2012/09/14	2013/06/07	12.50
20209	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Jan 2014 A	150,000,000	2012/09/14	2014/01/06	27.00
20208	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Aug 2013A	300,000,000	2012/09/14	2013/08/05	45.00
20221	UBS AG - HSI European Warrants Jan 2013 D	300,000,000	2012/09/14	2013/01/30	45.00
20222	UBS AG - Lenovo European Warrants Jan 2013 A	100,000,000	2012/09/14	2013/01/07	41.00
20224	UBS AG - SHK Ppt European Warrants Apr 2013 B	100,000,000	2012/09/14	2013/04/22	45.00
20223	UBS AG - Tencent Holdings European Put Warrants Feb 2013 A	100,000,000	2012/09/14	2013/02/25	22.00
20238	Citigroup Global Mkt H Inc. - China Life Euro Wts Jan 2013 A	100,000,000	2012/09/17	2013/01/25	18.00
20237	Citigroup Global Mkt H Inc. - China Life Euro Wts Mar 2013 A	100,000,000	2012/09/17	2013/03/27	17.00
20233	Daiwa Capital Mkt - AIA European Warrants Jul 2013 A	80,000,000	2012/09/17	2013/07/02	20.00
20232	Daiwa Capital Mkt - HSI European Warrants Mar 2013 F	200,000,000	2012/09/17	2013/03/27	34.00
20227	Macquarie Bank Ltd. - CC Bank European Warrants Feb 2013 B	100,000,000	2012/09/17	2013/02/06	15.00
20236	Macquarie Bank Ltd. - China Life European Wts Jun 2013 A	150,000,000	2012/09/17	2013/06/04	22.50
20231	Standard Chartered Bank - China Life Euro Put Wts Dec 2013 A	100,000,000	2012/09/17	2013/12/02	25.00
20229	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Oct 2013 A	100,000,000	2012/09/17	2013/10/28	25.00
20228	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Feb 2013 B	150,000,000	2012/09/17	2013/02/25	45.00
20235	UBS AG - AIA European Warrants Jun 2013 B	100,000,000	2012/09/17	2013/06/17	25.00
20234	UBS AG - A50 China European Put Warrants Jun 2014 A	100,000,000	2012/09/17	2014/06/24	20.00
20249	Daiwa Capital Mkt - Cheung Kong European Warrants Mar 2013 A	80,000,000	2012/09/18	2013/03/01	23.20
20248	Daiwa Capital Mkt - Cheung Kong European Warrants Oct 2013 A	108,000,000	2012/09/18	2013/10/18	27.00
20250	Daiwa Capital Mkt - Cheung Kong European Put Wts Mar 2013 B	68,000,000	2012/09/18	2013/03/18	17.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
20239	Daiwa Capital Mkt - China Telecom European Wts Mar 2013 A	80,000,000	2012/09/18	2013/03/08	15.20
20240	Daiwa Capital Mkt - Ping An European Warrants Mar 2013 B	80,000,000	2012/09/18	2013/03/18	20.00
20241	Daiwa Capital Mkt - Ping An European Put Warrants Mar 2013 C	68,000,000	2012/09/18	2013/03/18	21.76
20246	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2013 C	80,000,000	2012/09/18	2013/06/25	20.16
20245	Goldman Sachs SP (Asia) - AIA European Put Wts Jun 2013 B	80,000,000	2012/09/18	2013/06/25	20.24
20243	HK Bank - BOCL European Warrants Aug 2013 A	80,000,000	2012/09/18	2013/08/26	20.00
20242	HK Bank - China Mobile European Warrants Apr 2013 A	120,000,000	2012/09/18	2013/04/11	73.20
20244	HK Bank - Evergrande European Warrants Mar 2013 A	40,000,000	2012/09/18	2013/03/19	10.00
20251	J P Morgan SP BV - Sinopec Corp European Warrants Mar 2013 A	200,000,000	2012/09/18	2013/03/18	50.00
20247	Standard Chartered Bank - CTel European Warrants Feb 2013 A	60,000,000	2012/09/18	2013/02/25	11.76
20252	UBS AG - Agricultural Bank European Warrants Feb 2013 B	100,000,000	2012/09/18	2013/02/18	19.00
20253	UBS AG - CC Bank European Warrants Mar 2013 A	100,000,000	2012/09/18	2013/03/18	28.00
20254	UBS AG - ICBC European Warrants Mar 2013 A	100,000,000	2012/09/18	2013/03/18	25.00
20259	BOCI Asia Ltd. - China Life European Put Warrants Jul 2013 A	100,000,000	2012/09/19	2013/07/02	18.00
20261	BOCI Asia Ltd. - Ch Overseas European Warrants Jul 2013 A	100,000,000	2012/09/19	2013/07/02	19.00
20260	BOCI Asia Ltd. - Hutchison European Warrants Feb 2013 A	100,000,000	2012/09/19	2013/02/04	16.00
20266	Credit Suisse AG - China Life European Warrants Mar 2013 A	80,000,000	2012/09/19	2013/03/28	12.80
20268	Credit Suisse AG - HSI European Put Warrants Feb 2013 D	350,000,000	2012/09/19	2013/02/27	56.00
20265	Credit Suisse AG - Ping An European Warrants Feb 2013 B	80,000,000	2012/09/19	2013/02/25	14.40
20262	Macquarie Bank Ltd. - CNOOC European Warrants Apr 2013 A	180,000,000	2012/09/19	2013/04/15	27.00
20258	Macquarie Bank Ltd. - Hutchison European Warrants Apr 2013 A	150,000,000	2012/09/19	2013/04/03	37.50
20255	Standard Chartered Bank - AIA European Warrants Aug 2013 A	80,000,000	2012/09/19	2013/08/01	20.00
20256	Standard Chartered Bank - SHK P European Warrants May 2013 B	50,000,000	2012/09/19	2013/05/30	16.50
20257	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Apr 13 A	50,000,000	2012/09/19	2013/04/03	12.50
20264	UBS AG - Cheung Kong European Warrants Feb 2013 A	100,000,000	2012/09/19	2013/02/06	34.00
20263	UBS AG - Hutchison European Put Warrants Sep 2013 A	200,000,000	2012/09/19	2013/09/02	34.00
20276	BOCI Asia Ltd. - CC Bank European Warrants Feb 2013 B	100,000,000	2012/09/20	2013/02/18	15.00
20275	BOCI Asia Ltd. - SHK Ppt European Warrants Jan 2013 A	100,000,000	2012/09/20	2013/01/28	20.00
10162	BNP Paribas Arbit Issu B.V. -AUD/USD European Wts Mar 2013 B	100,000,000	2012/09/20	2013/03/28	25.00
10161	BNP Paribas Arbit Issu B.V. - AUD/USD Euro Put Wts Mar 2013A	100,000,000	2012/09/20	2013/03/28	25.00
10165	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wts Mar 2013B	100,000,000	2012/09/20	2013/03/28	25.00
10160	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Jun2013C	100,000,000	2012/09/20	2013/06/06	25.00
10164	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Warrants Mar2013B	100,000,000	2012/09/20	2013/03/28	25.00
10163	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Put Wts Mar 2013A	100,000,000	2012/09/20	2013/03/28	25.00
20283	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Feb 2013 A	100,000,000	2012/09/20	2013/02/04	23.00
20284	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Mar 2013 B	100,000,000	2012/09/20	2013/03/25	25.00
20293	Daiwa Capital Mkt -Agricultural Bank European Wts Sep 2013 A	128,000,000	2012/09/20	2013/09/02	21.76
20290	Daiwa Capital Mkt - China Mobile European Wts Mar 2013 B	128,000,000	2012/09/20	2013/03/01	20.48
20288	Daiwa Capital Mkt - Ch Overseas European Warrants Apr 2013 A	80,000,000	2012/09/20	2013/04/03	12.80
20289	Daiwa Capital Mkt - Ch Overseas European Put Wts Jun 2013 A	80,000,000	2012/09/20	2013/06/20	20.00
20278	Daiwa Capital Mkt - HKEx European Warrants Jan 2013 A	128,000,000	2012/09/20	2013/01/21	23.04
20279	Daiwa Capital Mkt - HKEx European Warrants Dec 2013 A	128,000,000	2012/09/20	2013/12/03	19.20
20277	Daiwa Capital Mkt - HKEx European Put Warrants Nov 2013 A	108,000,000	2012/09/20	2013/11/26	16.20
20294	Daiwa Capital Mkt - HSCEI European Warrants Sep 2013 A	100,000,000	2012/09/20	2013/09/27	20.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
20286	Daiwa Capital Mkt - Hutchison European Warrants Mar 2013 A	128,000,000	2012/09/20	2013/03/14	19.20
20287	Daiwa Capital Mkt - Hutchison European Put Wts Mar 2013 B	80,000,000	2012/09/20	2013/03/20	30.40
20291	Daiwa Capital Mkt - ICBC European Warrants Feb 2013 B	128,000,000	2012/09/20	2013/02/22	19.20
20292	Daiwa Capital Mkt - ICBC European Warrants Aug 2013 A	128,000,000	2012/09/20	2013/08/01	21.76
20285	Daiwa Capital Mkt - Tencent Holdings European Wts May 2013 A	128,000,000	2012/09/20	2013/05/02	32.00
20269	Goldman Sachs SP (Asia) - Hutchison European Wts Apr 2013 A	80,000,000	2012/09/20	2013/04/22	27.44
20272	HK Bank - HWL European Warrants Mar 2013 B	80,000,000	2012/09/20	2013/03/20	20.00
20273	HK Bank - HWL European Warrants Jul 2013 A	80,000,000	2012/09/20	2013/07/03	20.00
20271	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Feb 2013A	300,000,000	2012/09/20	2013/02/18	45.00
20274	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb2013B	300,000,000	2012/09/20	2013/02/27	45.00
20270	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Mar 2013 B	150,000,000	2012/09/20	2013/03/20	37.50
20281	UBS AG - HSI European Put Warrants Feb 2013 C	300,000,000	2012/09/20	2013/02/27	51.60
20282	UBS AG - Hutchison European Warrants Mar 2013 C	200,000,000	2012/09/20	2013/03/14	30.00
20280	UBS AG - Hutchison European Put Warrants Mar 2013 B	100,000,000	2012/09/20	2013/03/20	50.00
20306	BNP Paribas Arbit Issu B.V. - China COSCO Euro Wt Oct 2013 A	26,000,000	2012/09/21	2013/10/02	11.70
20305	BNP Paribas Arbit Issu B.V. - Ch Ship Cont Eur Wt Oct 2013 A	60,000,000	2012/09/21	2013/10/02	22.20
20310	BNP Paribas Arbit Issu B.V. - China Taiping Eu Wt Jan 2014 A	34,000,000	2012/09/21	2014/01/03	10.20
20309	BNP Paribas Arbit Issu B.V. - Gome European Wts Oct 2013 A	100,000,000	2012/09/21	2013/10/02	15.00
20308	BNP Paribas Arbit Issu B.V. - SJM Holdings Eu Wts Oct 2013 A	100,000,000	2012/09/21	2013/10/02	26.00
20313	Credit Suisse AG - Cheung Kong European Warrants Feb 2013 B	60,000,000	2012/09/21	2013/02/25	21.00
20317	Credit Suisse AG - CNOOC European Put Warrants Jun 2013 A	70,000,000	2012/09/21	2013/06/10	10.50
20315	Credit Suisse AG - HKEx European Warrants Jan 2013 B	70,000,000	2012/09/21	2013/01/28	10.50
20320	Credit Suisse AG - HSI European Put Warrants Mar 2013 A	300,000,000	2012/09/21	2013/03/27	45.00
20316	Credit Suisse AG - Hutchison European Warrants Mar 2013 C	80,000,000	2012/09/21	2013/03/25	20.00
20314	Credit Suisse AG - SHK Ppt European Warrants Jan 2013 A	60,000,000	2012/09/21	2013/01/28	18.00
20318	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2013 C	100,000,000	2012/09/21	2013/02/25	15.00
20319	Credit Suisse AG - Tencent Holdings Euro Put Wts Mar 2013 C	100,000,000	2012/09/21	2013/03/04	15.00
20329	Citigroup Global Mkt H Inc. - CNOOC European Wts Aug 2013 A	100,000,000	2012/09/21	2013/08/05	17.00
20328	Citigroup Global Mkt H Inc. - Ch Overseas Euro Wt Mar 2013 A	100,000,000	2012/09/21	2013/03/25	15.00
20327	Citigroup Global Mkt H Inc. - ChiUnicom Euro Wts Nov 2013 A	100,000,000	2012/09/21	2013/11/25	23.00
20331	Citigroup Global Mkt H Inc. - Evergrande Euro Wts Apr 2013 A	100,000,000	2012/09/21	2013/04/29	25.00
20330	Citigroup Global Mkt H Inc. - Zijin Mining Eu Wts Mar 2013 A	100,000,000	2012/09/21	2013/03/25	25.00
20326	Goldman Sachs SP (Asia) - Ch Overseas Euro Put Wt Jul 2013 A	80,000,000	2012/09/21	2013/07/16	20.08
20325	Goldman Sachs SP (Asia) - Hutchison European Wts Mar 2013 A	80,000,000	2012/09/21	2013/03/27	20.08
20303	HK Bank - Zoomlion European Warrants Sep 2013 A	80,000,000	2012/09/21	2013/09/30	20.00
20304	J P Morgan SP BV - HSI European Put Warrants Mar 2013 D	300,000,000	2012/09/21	2013/03/27	75.30
20312	Macquarie Bank Ltd. - Shimao Ppt European Wts Jun 2013 B	200,000,000	2012/09/21	2013/06/04	50.00
20297	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Mar 2013 A	40,000,000	2012/09/21	2013/03/25	21.20
20295	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2013 A	100,000,000	2012/09/21	2013/09/27	22.70
20296	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Jan2013B	80,000,000	2012/09/21	2013/01/29	12.64
20299	Standard Chartered Bank - China COSCO European Wt Jun 2013 A	50,000,000	2012/09/21	2013/06/28	13.50
20300	Standard Chartered Bank - Chow Tai Fook Euro Wts Aug 2014 A	80,000,000	2012/09/21	2014/08/08	20.00
20311	Standard Chartered Bank - HSI European Put Wts Feb 2013 B	200,000,000	2012/09/21	2013/02/27	30.00
20322	UBS AG - BOCL European Warrants May 2013 A	100,000,000	2012/09/21	2013/05/27	15.00

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		發行單位	上市日期	到期日	
20321	UBS AG - China Life European Warrants Apr 2013 A	300,000,000	2012/09/21	2013/04/15	75.00
20323	UBS AG - CNOOC European Put Warrants Mar 2013 A	100,000,000	2012/09/21	2013/03/25	25.00
20302	UBS AG - China Tel European Warrants Feb 2013 B	100,000,000	2012/09/21	2013/02/25	30.00
20324	UBS AG - HKEx European Warrants Jan 2013 B	100,000,000	2012/09/21	2013/01/21	18.00
20301	UBS AG - HKEx European Put Warrants Mar 2013 C	100,000,000	2012/09/21	2013/03/18	21.00
20298	UBS AG - HSBC European Put Warrants Jan 2013 B	100,000,000	2012/09/21	2013/01/14	29.00
20346	BOCI Asia Ltd. - HSI European Warrants Feb 2013 B	100,000,000	2012/09/24	2013/02/27	23.00
20350	BNP Paribas Arbit Issu B.V. - AIA European Put Wt Jun 2013 A	100,000,000	2012/09/24	2013/06/04	29.00
20351	BNP Paribas Arbit Issu B.V. - Cheung Kong Eu Put Wt Jun 14 A	100,000,000	2012/09/24	2014/06/04	25.00
20356	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Apr 2013 B	100,000,000	2012/09/24	2013/04/03	27.00
20349	BNP Paribas Arbit Issu B.V. - Dongfang Elec Eu Wt Aug 2013 A	45,000,000	2012/09/24	2013/08/02	11.25
20366	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Feb 2013 A	200,000,000	2012/09/24	2013/02/27	30.00
20348	BNP Paribas Arbit Issu B.V. - Zhaojin Mining Eu Wt Apr 2013A	100,000,000	2012/09/24	2013/04/03	25.00
20370	Credit Suisse AG - CNOOC European Warrants Mar 2013 A	80,000,000	2012/09/24	2013/03/25	52.00
20369	Credit Suisse AG - HKEx European Put Warrants Mar 2013 B	80,000,000	2012/09/24	2013/03/25	12.00
20374	Citigroup Global Mkt H Inc. - GCL-Poly Energy Eu Wt Jul 13 A	100,000,000	2012/09/24	2013/07/23	25.00
20375	Citigroup Global Mkt H Inc. - HSBC European Wts Apr 2013 A	100,000,000	2012/09/24	2013/04/24	22.00
20373	Citigroup Global Mkt H Inc. - Jiangxi Copper Eu Wt May 2013A	100,000,000	2012/09/24	2013/05/27	25.00
20372	Citigroup Global Mkt H Inc. - Sands China Euro Wt Apr 2013 A	100,000,000	2012/09/24	2013/04/24	25.00
20377	Goldman Sachs SP (Asia) - China Life Euro Wts Jul 2013 A	80,000,000	2012/09/24	2013/07/22	12.08
20376	Goldman Sachs SP (Asia) - JIANG European Warrants Jul 2013 A	80,000,000	2012/09/24	2013/07/22	20.00
20378	Goldman Sachs SP (Asia) - PetroChina European Wts Mar 2013 A	80,000,000	2012/09/24	2013/03/25	28.56
20343	HK Bank - China Life European Warrants Jul 2013 A	100,000,000	2012/09/24	2013/07/22	15.00
20342	HK Bank - China Overseas European Put Warrants Jun 2013 A	80,000,000	2012/09/24	2013/06/14	12.00
20344	HK Bank - China Shenhua European Warrants Jun 2013 A	80,000,000	2012/09/24	2013/06/18	20.00
20345	HK Bank - Yanzhou Coal European Warrants Nov 2013 A	80,000,000	2012/09/24	2013/11/27	12.00
20357	J P Morgan SP BV - Henderson Land Dev European Wt Apr 2013 A	88,000,000	2012/09/24	2013/04/02	22.26
20358	J P Morgan SP BV - HS Bank European Warrants Apr 2013 A	50,000,000	2012/09/24	2013/04/02	12.55
20359	J P Morgan SP BV - JX Copper European Warrants Jul 2013 A	100,000,000	2012/09/24	2013/07/02	25.00
20347	Macquarie Bank Ltd. - Dongfeng Motor European Wts Dec 2013 A	150,000,000	2012/09/24	2013/12/03	22.50
20367	Macquarie Bank Ltd. - Luk Fook Hold European Wts Apr 2013 A	150,000,000	2012/09/24	2013/04/03	37.50
20368	Macquarie Bank Ltd. - Tencent European Warrants Aug 2013 A	250,000,000	2012/09/24	2013/08/02	62.50
20360	CC Rabobank B.A. - CM Bank European Warrants Dec 2013 A	80,000,000	2012/09/24	2013/12/24	12.00
20361	CC Rabobank B.A. - Galaxy Ent European Warrants Mar 2013 A	100,000,000	2012/09/24	2013/03/04	25.00
20364	CC Rabobank B.A. - Huaneng Power European Wts Mar 2013 A	60,000,000	2012/09/24	2013/03/25	18.00
20363	CC Rabobank B.A. - Jiangxi Copper European Wts Apr 2013 A	80,000,000	2012/09/24	2013/04/29	20.00
20362	CC Rabobank B.A. - Zijin Mining European Warrants Apr 2013 A	70,000,000	2012/09/24	2013/04/08	17.50
20352	Standard Chartered Bank - China Mobile Euro Wts May 2013 A	100,000,000	2012/09/24	2013/05/15	35.00
20354	Standard Chartered Bank - A50 China Euro Warrants Aug 2013 A	100,000,000	2012/09/24	2013/08/08	33.50
20353	Standard Chartered Bank - A50 China Euro Warrants Aug 2016 A	200,000,000	2012/09/24	2016/08/08	50.00
20355	Standard Chartered Bank - Zhaojin Mining Euro Wts Oct 2013 A	50,000,000	2012/09/24	2013/10/30	12.50
20339	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jan 2013 B	200,000,000	2012/09/24	2013/01/02	32.00
20334	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2013 A	300,000,000	2012/09/24	2013/03/27	75.00
20337	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb2013C	300,000,000	2012/09/24	2013/02/27	51.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
20335	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar2013B	300,000,000	2012/09/24	2013/03/27	48.00
20338	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar2013C	300,000,000	2012/09/24	2013/03/27	75.00
20340	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Aug 2013A	100,000,000	2012/09/24	2013/08/26	25.00
20341	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt May 2013A	50,000,000	2012/09/24	2013/05/08	12.50
20365	UBS AG - CNOOC European Warrants Feb 2013 A	200,000,000	2012/09/24	2013/02/06	30.00
20371	UBS AG - A50 China European Warrants Mar 2013 A	100,000,000	2012/09/24	2013/03/04	20.00
20333	UBS AG - HSI European Put Warrants Mar 2013 C	300,000,000	2012/09/24	2013/03/27	45.00
20332	UBS AG - Hutchison European Warrants Mar 2013 D	200,000,000	2012/09/24	2013/03/25	50.00
18212 [#]	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jul 2013 A	130,000,000	2012/09/24	2013/07/25	10.53
19123 [#]	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2012 B	80,000,000	2012/09/24	2012/12/24	10.96
20394	BOCI Asia Ltd. - HSI European Warrants Mar 2013 D	100,000,000	2012/09/25	2013/03/27	25.00
20393	BOCI Asia Ltd. - HSI European Put Warrants Mar 2013 C	100,000,000	2012/09/25	2013/03/27	15.00
20427	Citigroup Global Mkt H Inc. - CNBM Euro Warrants Dec 2013 A	100,000,000	2012/09/25	2013/12/13	17.00
20426	Citigroup Global Mkt H Inc. - Geely Auto Euro Wts Mar 2013 A	100,000,000	2012/09/25	2013/03/25	25.00
20424	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts May 2013 A	100,000,000	2012/09/25	2013/05/27	25.00
20423	Daiwa Capital Mkt - A50 China European Put Wts Apr 2013 A	80,000,000	2012/09/25	2013/04/03	20.00
20415	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jan 2013 A	80,000,000	2012/09/25	2013/01/09	17.76
20416	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2013 C	80,000,000	2012/09/25	2013/01/09	14.64
20392	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2013 A	150,000,000	2012/09/25	2013/03/27	37.50
20390	HK Bank - AIA European Warrants Jun 2013 A	100,000,000	2012/09/25	2013/06/03	25.00
20391	HK Bank - CNOOC European Warrants Jun 2013 A	100,000,000	2012/09/25	2013/06/17	15.00
20389	HK Bank - HSI European Put Warrants Feb 2013 B	200,000,000	2012/09/25	2013/02/27	30.00
20402	J P Morgan SP BV - BOCL European Warrants Jul 2013 A	200,000,000	2012/09/25	2013/07/08	50.00
20404	J P Morgan SP BV - CNOOC European Warrants Jun 2013 A	200,000,000	2012/09/25	2013/06/06	30.00
20412	J P Morgan SP BV - China Railway European Wts Apr 2013 A	60,000,000	2012/09/25	2013/04/02	15.12
20410	J P Morgan SP BV - Zhuzhou CSR European Warrants Dec 2014 A	200,000,000	2012/09/25	2014/12/15	30.00
20403	J P Morgan SP BV - China Tel European Warrants Jun 2013 A	150,000,000	2012/09/25	2013/06/18	37.50
20407	J P Morgan SP BV - Chow Tai Fook European Wts Sep 2014 A	150,000,000	2012/09/25	2014/09/02	37.50
20405	J P Morgan SP BV - China Taiping European Wts Dec 2013 A	100,000,000	2012/09/25	2013/12/31	25.00
20409	J P Morgan SP BV - HSBC European Warrants Mar 2013 A	200,000,000	2012/09/25	2013/03/25	50.00
20414	J P Morgan SP BV - Luk Fook Hold European Wts Jun 2013 B	100,000,000	2012/09/25	2013/06/03	25.00
20413	J P Morgan SP BV - Shimao Property European Wts Oct 2013 A	150,000,000	2012/09/25	2013/10/02	37.50
20399	J P Morgan SP BV - SJM Holdings European Warrants Jul 2013 A	120,000,000	2012/09/25	2013/07/02	30.00
20401	J P Morgan SP BV - SPDR Gold Trust Euro Put Wts Jun 2013 A	80,000,000	2012/09/25	2013/06/25	56.24
20400	J P Morgan SP BV - Tencent European Warrants Jun 2013 A	200,000,000	2012/09/25	2013/06/25	50.00
20408	J P Morgan SP BV - Weichai Power European Wts Jul 2013 A	80,000,000	2012/09/25	2013/07/02	20.08
20406	J P Morgan SP BV - Zoomlion European Warrants Jul 2014 A	200,000,000	2012/09/25	2014/07/21	50.00
20398	Macquarie Bank Ltd. - CNOOC European Warrants Dec 2013 A	180,000,000	2012/09/25	2013/12/03	45.00
20397	Macquarie Bank Ltd. - China Unicom European Wts Mar 2014 A	180,000,000	2012/09/25	2014/03/04	27.90
20383	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Feb 2013 A	60,000,000	2012/09/25	2013/02/22	13.68
20382	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Mar 2013 A	60,000,000	2012/09/25	2013/03/27	12.66
20384	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Nov 2013 B	80,000,000	2012/09/25	2013/11/08	12.16
20379	Merrill Lynch Int'l & Co. C.V. - CNOOC Eu Put Wts Jun 2013 A	80,000,000	2012/09/25	2013/06/11	12.08
20381	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eu Wt Mar 2013 B	60,000,000	2012/09/25	2013/03/25	11.16

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
20388	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Mar 2013 A	40,000,000	2012/09/25	2013/03/11	11.80
20387	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Apr 2013 A	40,000,000	2012/09/25	2013/04/15	10.20
20380	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Apr 2013 A	40,000,000	2012/09/25	2013/04/08	10.00
20386	Merrill Lynch Int'l & Co. C.V. - JX Copper Eu Wts Apr 2013 A	40,000,000	2012/09/25	2013/04/29	10.00
20385	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Apr 2013 A	60,000,000	2012/09/25	2013/04/22	10.50
20418	Standard Chartered Bank - HKEx European Warrants Feb 2014 A	100,000,000	2012/09/25	2014/02/05	25.00
20417	Standard Chartered Bank - HKEx European Put Wts Aug 2013 A	100,000,000	2012/09/25	2013/08/30	25.00
20422	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Jul 13A	100,000,000	2012/09/25	2013/07/29	15.00
20421	UBS AG - BOCL European Warrants Jul 2013 A	100,000,000	2012/09/25	2013/07/22	25.00
20395	UBS AG - A50 China European Warrants Feb 2013 B	100,000,000	2012/09/25	2013/02/25	15.00
20419	UBS AG - HKEx European Warrants Mar 2013 D	100,000,000	2012/09/25	2013/03/25	25.00
20396	UBS AG - HKEx European Warrants Jun 2014 A	300,000,000	2012/09/25	2014/06/23	45.00
20437	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Aug 2013 A	42,000,000	2012/09/26	2013/08/02	15.96
20457	Credit Suisse AG - China Mobile European Warrants Dec 2012 C	80,000,000	2012/09/26	2012/12/31	12.00
20458	Credit Suisse AG - HSBC European Wts Apr 2013 A	80,000,000	2012/09/26	2013/04/29	20.00
20459	Credit Suisse AG - HSBC European Put Warrants Jan 2013 A	80,000,000	2012/09/26	2013/01/14	16.00
20460	Daiwa Capital Mkt - Agricultural Bank Euro Put Wt Mar 2013 A	80,000,000	2012/09/26	2013/03/26	20.00
20453	Daiwa Capital Mkt - CCB European Warrants May 2013 B	128,000,000	2012/09/26	2013/05/08	19.20
20456	Daiwa Capital Mkt - China Life European Warrants Jul 2013 B	138,000,000	2012/09/26	2013/07/22	20.70
20455	Daiwa Capital Mkt - China Mobile European Wts Feb 2013 C	128,000,000	2012/09/26	2013/02/25	43.52
20451	Daiwa Capital Mkt - HKEx European Put Warrants Sep 2013 A	108,000,000	2012/09/26	2013/09/02	27.00
20450	Daiwa Capital Mkt - HSBC European Warrants Mar 2013 A	128,000,000	2012/09/26	2013/03/25	32.00
20449	Daiwa Capital Mkt - HSCEI European Warrants Sep 2013 B	100,000,000	2012/09/26	2013/09/27	18.00
20447	Daiwa Capital Mkt - HSI European Warrants Sep 2013 A	200,000,000	2012/09/26	2013/09/27	48.00
20448	Daiwa Capital Mkt - HSI European Put Warrants Mar 2013 G	200,000,000	2012/09/26	2013/03/27	34.00
20454	Daiwa Capital Mkt - ICBC European Warrants Apr 2013 A	128,000,000	2012/09/26	2013/04/02	20.48
20452	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Mar 2013 A	128,000,000	2012/09/26	2013/03/01	29.44
20443	J P Morgan SP BV - HSI European Warrants Mar 2013 E	300,000,000	2012/09/26	2013/03/27	75.00
20435	J P Morgan SP BV - Stanchart European Warrants Jun 2013 A	200,000,000	2012/09/26	2013/06/03	30.00
20446	Macquarie Bank Ltd. - AIA European Warrants Apr 2013 A	150,000,000	2012/09/26	2013/04/03	37.50
20445	Macquarie Bank Ltd. - China Life European Wts Feb 2013 B	150,000,000	2012/09/26	2013/02/18	22.50
20444	Macquarie Bank Ltd. - Hutchison European Warrants Mar 2013 A	150,000,000	2012/09/26	2013/03/04	22.50
20428	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Apr 2013 A	40,000,000	2012/09/26	2013/04/02	10.00
20430	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jan 2013 B	60,000,000	2012/09/26	2013/01/14	11.46
20429	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Mar 2013 A	40,000,000	2012/09/26	2013/03/27	10.60
20433	Standard Chartered Bank - HSBC European Warrants Sep 2013 A	100,000,000	2012/09/26	2013/09/23	25.00
20432	Standard Chartered Bank - Jiangxi Copper Euro Wts Aug 2013 A	50,000,000	2012/09/26	2013/08/08	12.50
20434	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2013 C	50,000,000	2012/09/26	2013/01/07	18.00
20442	UBS AG - CC Bank European Warrants Feb 2013 B	100,000,000	2012/09/26	2013/02/22	26.00
20440	UBS AG - China Mobile European Warrants Feb 2013 D	200,000,000	2012/09/26	2013/02/25	54.00
20436	UBS AG - CNOOC European Warrants Nov 2013 A	100,000,000	2012/09/26	2013/11/08	15.00
20438	UBS AG - CNOOC European Put Warrants Jun 2013 C	100,000,000	2012/09/26	2013/06/10	15.00
20439	UBS AG - HKEx European Warrants Jan 2014 A	300,000,000	2012/09/26	2014/01/13	75.00
20441	UBS AG - SPDR Gold Trust European Put Warrants Mar 2013 A	50,000,000	2012/09/26	2013/03/28	28.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
20471	Daiwa Capital Mkt -Agricultural Bank European Wts Apr 2013 A	128,000,000	2012/09/27	2013/04/02	19.20
20463	HK Bank - Cheung Kong European Warrants Dec 2013 A	100,000,000	2012/09/27	2013/12/20	15.00
20462	HK Bank - Galaxy Ent European Warrants Apr 2013 B	80,000,000	2012/09/27	2013/04/15	20.00
20466	Macquarie Bank Ltd. - A50 China European Warrants Apr 2013 B	100,000,000	2012/09/27	2013/04/03	25.10
20467	Macquarie Bank Ltd. - A50 China European Put Wts Apr 2013 C	100,000,000	2012/09/27	2013/04/03	25.00
20468	Macquarie Bank Ltd. - Ping An European Warrants Mar 2013 A	150,000,000	2012/09/27	2013/03/04	22.65
20464	Standard Chartered Bank - HKEx European Warrants Apr 2013 A	100,000,000	2012/09/27	2013/04/09	25.00
20470	Standard Chartered Bank - HSBC European Warrants Dec 2012 B	100,000,000	2012/09/27	2012/12/27	15.00
20465	Standard Chartered Bank - Zijin Mining Euro Wts Nov 2013 A	80,000,000	2012/09/27	2013/11/04	20.00
20469	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2013 A	300,000,000	2012/09/27	2013/06/27	45.00
20486	Daiwa Capital Mkt - BOCL European Warrants Apr 2013 B	108,000,000	2012/09/28	2013/04/18	27.00
20487	Daiwa Capital Mkt - CNOOC European Put Warrants Apr 2013 A	80,000,000	2012/09/28	2013/04/03	12.80
20485	Daiwa Capital Mkt - HKEx European Warrants Jun 2014 A	128,000,000	2012/09/28	2014/06/20	19.20
20482	Daiwa Capital Mkt - HSBC European Warrants Jul 2013 A	128,000,000	2012/09/28	2013/07/15	32.00
20483	Daiwa Capital Mkt - HSBC European Put Warrants Apr 2013 A	80,000,000	2012/09/28	2013/04/10	20.00
20478	Daiwa Capital Mkt - HSCEI European Put Warrants Dec 2013 A	100,000,000	2012/09/28	2013/12/30	31.00
20479	Daiwa Capital Mkt - HSI European Warrants May 2013 B	200,000,000	2012/09/28	2013/05/30	50.00
20481	Daiwa Capital Mkt - HSI European Put Warrants Jun 2013 B	200,000,000	2012/09/28	2013/06/27	54.00
20484	Daiwa Capital Mkt - Hutchison European Put Wts Sep 2013 A	128,000,000	2012/09/28	2013/09/09	19.20
20488	Daiwa Capital Mkt - ICBC European Put Warrants Jul 2013 A	80,000,000	2012/09/28	2013/07/10	32.00
20490	Goldman Sachs SP (Asia) -Ch Overseas European Wts Apr 2013 B	80,000,000	2012/09/28	2013/04/03	12.00
20489	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2013 B	150,000,000	2012/09/28	2013/02/27	22.65
20491	Goldman Sachs SP (Asia) - Hutchison European Wts Feb 2013 B	80,000,000	2012/09/28	2013/02/04	18.56
20474	J P Morgan SP BV - Longfor PPT European Warrants Oct 2013 A	200,000,000	2012/09/28	2013/10/02	50.00
20472	Standard Chartered Bank - SPDR Gold Trust Eu Put Wt Aug2013A	40,000,000	2012/09/28	2013/08/08	22.00
20473	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jul 2013 A	100,000,000	2012/09/28	2013/07/24	25.00
20476	UBS AG - China Tel European Warrants Mar 2013 A	100,000,000	2012/09/28	2013/03/18	38.00
20477	UBS AG - Galaxy Ent European Warrants Feb 2013 A	100,000,000	2012/09/28	2013/02/25	28.50
總額					36,903.66

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2011	第3季	—	611.11
	第4季	—	229.59
2012	第1季	—	390.38
	第2季	—	1,069.72
	第3季	—	742.85

債券面值

		發行數目	面值(百萬港元)
2011	第3季	183	558,876.03
	第4季	192	588,875.47
2012	第1季	209	651,849.26
	第2季	231	754,232.63
	第3季	247	811,545.76

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2011	第3季	16	47,230.33
	第4季	14	36,727.82
2012	第1季	24	69,041.82
	第2季	30	113,905.00
	第3季	26	77,396.31

主板 債務證券

新上市債券

2012年第3季

股份 代號	債券	發行本金		上市日期	到期日	集資金額 (百萬元)
86601	Ministry of Finance of the People's Republic of China 1.85% 人民幣債券2015年	RMB	8,100,000,000	2012/07/03	2015/06/29	9,883.62
86602	Ministry of Finance of the People's Republic of China 2.56% 人民幣債券2017年	RMB	6,100,000,000	2012/07/03	2017/06/29	7,443.22
86603	Ministry of Finance of the People's Republic of China 2.65% 人民幣債券2019年	RMB	1,200,000,000	2012/07/03	2019/06/29	1,464.24
86604	Ministry of Finance of the People's Republic of China 3.1% 人民幣債券2022年	RMB	1,100,000,000	2012/07/03	2022/06/29	1,342.22
86605	Ministry of Finance of the People's Republic of China 3.48% 人民幣債券2027年	RMB	1,000,000,000	2012/07/03	2027/06/29	1,220.20
04559	Cheung Kong Bond Securities (02) Ltd. 5.25%有擔保優 先永久債券	HKD	1,000,000,000	2012/07/10	—	1,000.00
86028	AVIC Int'l Finance & Investment Ltd. 4.8%有擔保人民幣 債券2015年	RMB	1,500,000,000	2012/07/10	2015/07/09	1,827.00
86606	Ministry of Finance of the People's Republic of China 2.38% 人民幣債券2014年	RMB	5,500,000,000	2012/07/20	2014/07/19	6,696.25
86029	中國銀行股份有限公司3.10%人民幣債券2015年	RMB	1,000,000,000	2012/07/24	2015/07/23	1,216.70
04560	Dynamic Talent Ltd. 4.75%有擔保票據2017年	USD	500,000,000	2012/08/02	2017/08/01	3,900.00
85900	中國重汽(香港)有限公司4.50%人民幣債券2014年	RMB	1,800,000,000	2012/08/02	2014/08/01	2,193.48
85901	China Development Bank Corporation 3.10%人民幣債券2015年	RMB	867,000,000	2012/08/03	2015/01/16	n.a.
85902	China Development Bank Corporation 2.95%人民幣債券2015年	RMB	1,500,000,000	2012/08/03	2015/08/02	1,826.10
85903	China Development Bank Corporation 3.45%人民幣債券2017年	RMB	133,000,000	2012/08/03	2017/01/16	n.a.
85904	China Development Bank Corporation 4.20%人民幣債券2027年	RMB	2,500,000,000	2012/08/03	2027/01/19	n.a.
85905	China Development Bank Corporation 4.30%人民幣債券2032年	RMB	1,000,000,000	2012/08/03	2032/08/02	1,217.40
04554 #	Sinopec Gp Overseas Dev (2012) Ltd. 3.90%優先票據2022年	USD	500,000,000	2012/08/10	2022/05/17	4,195.58
04561	桑德國際有限公司11.875%優先票據2017年	USD	150,000,000	2012/08/13	2017/08/10	1,164.62
04029	香港金融管理局0.17%外匯基金票據2014年	HKD	1,200,000,000	2012/08/17	2014/08/18	1,199.28
04030	香港金融管理局0.80%外匯基金票據2027年	HKD	600,000,000	2012/08/28	2027/08/27	602.10
04562	騰訊控股有限公司3.375%優先票據2018年	USD	600,000,000	2012/09/06	2018/03/05	4,669.28
04202 #	中華人民共和國香港特別行政區政府2.07%債券2014年	HKD	3,500,000,000	2012/09/06	2014/11/03	3,626.70
04563	COSL Finance (BVI) Ltd. 3.25%有擔保優先票據2022年	USD	1,000,000,000	2012/09/07	2022/09/06	7,748.60
04007 #	香港金融管理局1.18%外匯基金票據2015年	HKD	1,200,000,000	2012/09/17	2015/09/21	1,232.16
04031	香港金融管理局0.25%外匯基金票據2017年	HKD	1,000,000,000	2012/09/18	2017/09/18	994.30
04564	中國電力國際發展有限公司2.75%美元結算可換股債券2017年	RMB	1,140,000,000	2012/09/18	2017/09/18	1,399.01
04565	Road King Infra Fin (2012) Ltd. 9.875%有擔保優先票據2017年	USD	350,000,000	2012/09/19	2017/09/18	2,730.00
04566	Sino (MTN) Ltd. 3.25%票據2017年	USD	500,000,000	2012/09/24	2017/09/21	3,882.88
04567	Sun Hung Kai & Co. (BVI) Ltd. 6.375%有擔保票據2017年	USD	350,000,000	2012/09/27	2017/09/26	2,721.37
總額						77,396.31

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2011	第3季	153,336.68	13,347.77	93.94	166,778.38	3.66
	第4季	106,330.42	11,900.43	78.91	118,309.77	3.22
2012	第1季	115,613.41	13,420.51	82.93	129,116.85	3.36
	第2季	92,849.35	16,848.41	66.55	109,764.32	3.59
	第3季	99,979.83	14,391.15	105.35	114,476.33	3.86

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		房地產投資 信託基金 (REIT) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目	
2011	第3季	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
	第4季	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012	第1季	89	759,673.29	9	131,556.21	2	2,391.86	100	893,621.36
	第2季	92	711,788.89	9	136,167.04	2	2,367.38	103	850,323.31
	第3季	96	808,879.98	9	158,736.84	2	2,157.57	107	969,774.39

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2011	第3季	—	—
	第4季	1	—
2012	第1季	24	136.80
	第2季	3	—
	第3季	4	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2012年第3季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
83188	華夏滬深300指數ETF	China Asset Management (Hong Kong) Limited	n.a.	n.a.	2012/07/17
83100	易方達中證100 A股指數ETF	E Fund Management (Hong Kong) Co., Limited	n.a.	n.a.	2012/08/27
82822	CSOP富時中國A50 ETF	CSOP Asset Management Limited	n.a.	n.a.	2012/08/28
02824	力寶專選中港地產ETF	Lippo Investments Management Limited	n.a.	n.a.	2012/09/26

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2011	第3季	642	45,153.85	518,147.45
	第4季	901	43,598.79	641,062.38
2012	第1季	1,097	55,092.12	464,351.29
	第2季	1,021	46,730.67	385,316.23
	第3季	1,104	49,099.81	367,897.88

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2011	第3季	1,401	85,334.38
	第4季	1,553	90,461.98
2012	第1季	1,419	82,599.66
	第2季	1,530	91,955.65
	第3季	1,656	94,844.71

主板 可收回牛熊證

新上市牛熊證

2012 年第 3 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64283	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 S	200,000,000	2012/07/03	2013/04/29	50.00
64299	Credit Suisse AG – HSI R Bull CBBC Oct 2012 N	400,000,000	2012/07/03	2012/10/30	100.00
64322	Credit Suisse AG – HSI R Bull CBBC Oct 2012 O	400,000,000	2012/07/03	2012/10/30	100.00
64323	Credit Suisse AG – HSI R Bull CBBC Oct 2012 P	400,000,000	2012/07/03	2012/10/30	100.00
64286	Credit Suisse AG – HSI R Bear CBBC Oct 2012 T	400,000,000	2012/07/03	2012/10/30	100.00
64351	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 F	158,000,000	2012/07/03	2012/11/29	39.50
64338	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 C	158,000,000	2012/07/03	2012/12/28	39.50
64265	HK Bank – CUni R Bull CBBC Apr 2013 A	50,000,000	2012/07/03	2013/04/15	12.50
64224	HK Bank – China Shenhua R Bull CBBC Mar 2013 A	50,000,000	2012/07/03	2013/03/18	27.00
64225	HK Bank – China Shenhua R Bear CBBC Mar 2013 A	50,000,000	2012/07/03	2013/03/04	12.50
64244	HK Bank – Evergrande R Bull CBBC Apr 2013 B	50,000,000	2012/07/03	2013/04/22	12.50
64222	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 J	60,000,000	2012/07/03	2012/12/28	15.00
64295	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/07/03	2012/11/29	50.00
64859	UBS AG – China Mobile R Bull CBBC Nov 2012 C	100,000,000	2012/07/03	2012/11/12	25.00
64755	UBS AG – A50 China R Bull CBBC Jan 2013 A	100,000,000	2012/07/03	2013/01/21	25.00
64336	UBS AG – HSI R Bull CBBC Dec 2012 H	300,000,000	2012/07/03	2012/12/28	75.00
64337	UBS AG – HSI R Bull CBBC Dec 2012 O	300,000,000	2012/07/03	2012/12/28	75.00
64736	UBS AG – HSI R Bear CBBC Nov 2012 T	300,000,000	2012/07/03	2012/11/29	75.00
64223	UBS AG – HSI R Bear CBBC Dec 2012 I	300,000,000	2012/07/03	2012/12/28	75.00
65700	Credit Suisse AG – HSI R Bull CBBC Oct 2012 G	400,000,000	2012/07/04	2012/10/30	100.00
65165	Credit Suisse AG – HSI R Bear CBBC Oct 2012 U	400,000,000	2012/07/04	2012/10/30	100.00
65182	Credit Suisse AG – HSI R Bear CBBC Oct 2012 X	400,000,000	2012/07/04	2012/10/30	100.00
65927	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 J	158,000,000	2012/07/04	2012/10/30	39.50
64989	HK Bank – Li & Fung R Bull CBBC Mar 2013 A	50,000,000	2012/07/04	2013/03/11	17.50
65141	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/07/04	2012/10/30	50.00
66596	UBS AG – HSBC R Bear CBBC Jan 2013 A	100,000,000	2012/07/04	2013/01/21	25.00
66261	UBS AG – HSI R Bull CBBC Feb 2013 E	300,000,000	2012/07/04	2013/02/27	75.00
65701	UBS AG – HSI R Bear CBBC Dec 2012 J	300,000,000	2012/07/04	2012/12/28	75.00
66303	UBS AG – ICBC R Bull CBBC Jan 2013 A	100,000,000	2012/07/04	2013/01/21	25.00
66552	UBS AG – Sands China R Bull CBBC Dec 2012 A	20,000,000	2012/07/04	2012/12/03	10.00
66700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 J	200,000,000	2012/07/05	2012/10/30	50.00
66702	Credit Suisse AG – HSI R Bull CBBC Oct 2012 B	400,000,000	2012/07/05	2012/10/30	100.00
66701	Credit Suisse AG – HSI R Bull CBBC Oct 2012 W	400,000,000	2012/07/05	2012/10/30	100.00
66695	Credit Suisse AG – HSI R Bear CBBC Oct 2012 F	400,000,000	2012/07/05	2012/10/30	100.00
66693	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Z	400,000,000	2012/07/05	2012/10/30	100.00
66688	HK Bank – CC Bank R Bull CBBC Mar 2013 A	60,000,000	2012/07/05	2013/03/04	15.00
66689	HK Bank – CC Bank R Bear CBBC Feb 2013 A	50,000,000	2012/07/05	2013/02/25	12.50
66676	HK Bank – A50 R Bull CBBC Mar 2013 A	60,000,000	2012/07/05	2013/03/04	15.00
66681	HK Bank – A50 R Bear CBBC Mar 2013 A	50,000,000	2012/07/05	2013/03/04	12.50
66682	HK Bank – TCH R Bear CBBC Dec 2012 G	50,000,000	2012/07/05	2012/12/28	15.00
66710	UBS AG – HSI R Bull CBBC Mar 2013 A	300,000,000	2012/07/05	2013/03/27	75.00
66691	UBS AG – HSI R Bear CBBC Jan 2013 A	300,000,000	2012/07/05	2013/01/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66692	UBS AG – HSI R Bear CBBC Mar 2013 A	300,000,000	2012/07/05	2013/03/27	75.00
66736	UBS AG – Hutchison R Bull CBBC Jan 2013 B	100,000,000	2012/07/05	2013/01/21	25.00
66719	UBS AG – TCH R Bear CBBC Dec 2012 B	100,000,000	2012/07/05	2012/12/17	25.00
66762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 T	200,000,000	2012/07/06	2013/04/29	50.00
66755	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 K	200,000,000	2012/07/06	2012/10/30	50.00
66761	Credit Suisse AG – HSI R Bull CBBC Oct 2012 C	400,000,000	2012/07/06	2012/10/30	100.00
66760	Credit Suisse AG – HSI R Bull CBBC Oct 2012 X	400,000,000	2012/07/06	2012/10/30	100.00
66759	Credit Suisse AG – HSI R Bear CBBC Oct 2012 I	400,000,000	2012/07/06	2012/10/30	100.00
66791	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 A	150,000,000	2012/07/06	2012/10/30	37.80
66777	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 C	150,000,000	2012/07/06	2012/10/30	38.25
66783	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 D	150,000,000	2012/07/06	2012/10/30	38.10
66754	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/07/06	2012/11/29	50.00
66742	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/07/06	2012/11/29	50.00
66773	UBS AG – China Mobile R Bear CBBC Dec 2012 D	100,000,000	2012/07/06	2012/12/17	25.00
66776	UBS AG – CC Bank R Bear CBBC Dec 2012 B	100,000,000	2012/07/06	2012/12/17	25.00
66771	UBS AG – A50 China R Bull CBBC Dec 2012 A	100,000,000	2012/07/06	2012/12/24	25.00
66768	UBS AG – HKEx R Bull CBBC Dec 2012 C	100,000,000	2012/07/06	2012/12/17	25.00
66767	UBS AG – HSI R Bull CBBC Jan 2013 Y	300,000,000	2012/07/06	2013/01/30	75.00
66769	UBS AG – HSI R Bear CBBC Jan 2013 B	300,000,000	2012/07/06	2013/01/30	75.00
66770	UBS AG – HSI R Bear CBBC Feb 2013 A	300,000,000	2012/07/06	2013/02/27	75.00
66757	UBS AG – HSI R Bear CBBC Mar 2013 B	300,000,000	2012/07/06	2013/03/27	75.00
66848	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 U	200,000,000	2012/07/09	2013/04/29	50.00
66918	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 V	200,000,000	2012/07/09	2013/04/29	50.00
66924	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 W	200,000,000	2012/07/09	2013/04/29	50.00
66957	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 X	200,000,000	2012/07/09	2013/04/29	50.00
66849	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 L	200,000,000	2012/07/09	2012/10/30	50.00
66917	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 M	200,000,000	2012/07/09	2012/10/30	50.00
66952	Credit Suisse AG – HSI R Bull CBBC Oct 2012 D	400,000,000	2012/07/09	2012/10/30	100.00
66953	Credit Suisse AG – HSI R Bull CBBC Oct 2012 E	400,000,000	2012/07/09	2012/10/30	100.00
66863	Credit Suisse AG – HSI R Bull CBBC Oct 2012 L	400,000,000	2012/07/09	2012/10/30	100.00
66883	Credit Suisse AG – HSI R Bull CBBC Oct 2012 M	400,000,000	2012/07/09	2012/10/30	100.00
66855	Credit Suisse AG – HSI R Bear CBBC Oct 2012 L	400,000,000	2012/07/09	2012/10/30	100.00
66859	Credit Suisse AG – HSI R Bear CBBC Oct 2012 M	400,000,000	2012/07/09	2012/10/30	100.00
66860	Credit Suisse AG – HSI R Bear CBBC Oct 2012 N	400,000,000	2012/07/09	2012/10/30	100.00
66934	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 G	158,000,000	2012/07/09	2012/11/29	39.50
66939	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 F	158,000,000	2012/07/09	2012/12/28	39.50
66960	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 B	150,000,000	2012/07/09	2012/10/30	40.50
67319	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 C	150,000,000	2012/07/09	2012/10/30	39.00
66795	HK Bank – BOCL R Bull CBBC Apr 2013 B	60,000,000	2012/07/09	2013/04/26	15.00
66837	HK Bank – China Mobile R Bull CBBC Feb 2013 B	100,000,000	2012/07/09	2013/02/04	25.00
66834	HK Bank – A50 R Bull CBBC Jan 2013 C	60,000,000	2012/07/09	2013/01/21	15.00
66794	HK Bank – HSI R Bull CBBC Dec 2012 M	200,000,000	2012/07/09	2012/12/28	50.00
66796	HK Bank – PetCh R Bear CBBC Dec 2012 B	50,000,000	2012/07/09	2012/12/10	12.50
66901	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/07/09	2012/11/29	50.00
66916	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/07/09	2012/11/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66847	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Y	200,000,000	2012/07/09	2012/10/30	50.00
62770	UBS AG – HSI R Bull CBBC Oct 2012 M	300,000,000	2012/07/09	2012/10/30	75.00
62774	UBS AG – HSI R Bull CBBC Jan 2013 Z	300,000,000	2012/07/09	2013/01/30	75.00
66851	UBS AG – HSI R Bear CBBC Oct 2012 D	300,000,000	2012/07/09	2012/10/30	75.00
66931	UBS AG – HSI R Bear CBBC Oct 2012 J	300,000,000	2012/07/09	2012/10/30	75.00
66852	UBS AG – HSI R Bear CBBC May 2013 A	300,000,000	2012/07/09	2013/05/30	75.00
66925	UBS AG – HSI R Bear CBBC May 2013 B	300,000,000	2012/07/09	2013/05/30	75.00
64647	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Y	200,000,000	2012/07/10	2013/04/29	50.00
64706	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Z	200,000,000	2012/07/10	2013/04/29	50.00
64098	Credit Suisse AG – HSI R Bull CBBC Nov 2012 A	400,000,000	2012/07/10	2012/11/29	100.00
64166	Credit Suisse AG – HSI R Bull CBBC Nov 2012 D	400,000,000	2012/07/10	2012/11/29	100.00
64170	Credit Suisse AG – HSI R Bull CBBC Nov 2012 F	400,000,000	2012/07/10	2012/11/29	100.00
64171	Credit Suisse AG – HSI R Bull CBBC Nov 2012 H	400,000,000	2012/07/10	2012/11/29	100.00
64210	Credit Suisse AG – HSI R Bull CBBC Nov 2012 I	400,000,000	2012/07/10	2012/11/29	100.00
62949	Credit Suisse AG – HSI R Bear CBBC Nov 2012 B	400,000,000	2012/07/10	2012/11/29	100.00
62899	Credit Suisse AG – HSI R Bear CBBC Nov 2012 O	400,000,000	2012/07/10	2012/11/29	100.00
63348	Credit Suisse AG – HSI R Bear CBBC Nov 2012 R	400,000,000	2012/07/10	2012/11/29	100.00
63052	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	400,000,000	2012/07/10	2012/11/29	100.00
64757	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 H	158,000,000	2012/07/10	2012/11/29	39.50
63821	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 K	300,000,000	2012/07/10	2013/03/27	75.00
63852	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 L	300,000,000	2012/07/10	2013/03/27	75.00
63914	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 M	300,000,000	2012/07/10	2013/03/27	75.00
63942	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 N	300,000,000	2012/07/10	2013/03/27	75.00
63943	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 O	300,000,000	2012/07/10	2013/03/27	75.00
63486	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 H	300,000,000	2012/07/10	2013/01/30	75.00
63654	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 I	300,000,000	2012/07/10	2013/01/30	75.00
63674	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 J	300,000,000	2012/07/10	2013/01/30	75.00
63959	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/07/10	2012/11/29	50.00
64009	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/07/10	2012/11/29	50.00
64040	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/07/10	2012/11/29	50.00
65111	UBS AG – China Mobile R Bear CBBC Jan 2013 A	100,000,000	2012/07/10	2013/01/28	25.00
65026	UBS AG – China Life R Bull CBBC Jan 2013 B	100,000,000	2012/07/10	2013/01/14	30.00
65023	UBS AG – CNBM R Bull CBBC Feb 2013 A	50,000,000	2012/07/10	2013/02/25	12.50
64849	UBS AG – HSI R Bull CBBC Oct 2012 W	300,000,000	2012/07/10	2012/10/30	75.00
64847	UBS AG – HSI R Bull CBBC Nov 2012 Q	300,000,000	2012/07/10	2012/11/29	75.00
64843	UBS AG – HSI R Bull CBBC Mar 2013 B	300,000,000	2012/07/10	2013/03/27	75.00
62897	UBS AG – HSI R Bear CBBC Dec 2012 K	300,000,000	2012/07/10	2012/12/28	75.00
62898	UBS AG – HSI R Bear CBBC Jan 2013 C	300,000,000	2012/07/10	2013/01/30	75.00
62895	UBS AG – HSI R Bear CBBC Mar 2013 C	300,000,000	2012/07/10	2013/03/27	75.00
65131	UBS AG – Hutchison R Bull CBBC Nov 2012 B	100,000,000	2012/07/10	2012/11/26	25.00
65996	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 N	200,000,000	2012/07/11	2012/10/30	50.00
66043	Credit Suisse AG – HSI R Bull CBBC Nov 2012 K	400,000,000	2012/07/11	2012/11/29	100.00
66061	Credit Suisse AG – HSI R Bull CBBC Nov 2012 L	400,000,000	2012/07/11	2012/11/29	100.00
66041	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Z	400,000,000	2012/07/11	2012/11/29	100.00
66037	Credit Suisse AG – HSI R Bear CBBC Nov 2012 U	400,000,000	2012/07/11	2012/11/29	100.00

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65997	Credit Suisse AG – TCH R Bull CBBC Nov 2012 C	40,000,000	2012/07/11	2012/11/26	10.00
66015	Credit Suisse AG – TCH R Bull CBBC Nov 2012 D	40,000,000	2012/07/11	2012/11/26	10.00
66029	Credit Suisse AG – TCH R Bull CBBC Nov 2012 E	40,000,000	2012/07/11	2012/11/26	10.00
66034	Credit Suisse AG – TCH R Bear CBBC Nov 2012 C	40,000,000	2012/07/11	2012/11/26	10.00
65741	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 E	150,000,000	2012/07/11	2012/10/30	37.80
65855	HK Bank – AIA R Bull CBBC Mar 2013 A	50,000,000	2012/07/11	2013/03/18	12.50
65989	HK Bank – China Mobile R Bull CBBC Jan 2013 D	60,000,000	2012/07/11	2013/01/14	15.00
65965	HK Bank – China Life R Bull CBBC Jan 2013 C	60,000,000	2012/07/11	2013/01/28	15.00
65742	HK Bank – HSI R Bull CBBC Feb 2013 D	150,000,000	2012/07/11	2013/02/27	37.50
65988	HK Bank – Sands China R Bull CBBC Sep 2013 A	50,000,000	2012/07/11	2013/09/26	12.50
65466	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 H	60,000,000	2012/07/11	2012/12/28	15.00
65708	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 I	60,000,000	2012/07/11	2012/12/28	15.00
65735	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 J	60,000,000	2012/07/11	2012/12/28	15.00
66045	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/07/11	2012/11/29	50.00
66117	UBS AG – Cheung Kong R Bull CBBC Jan 2013 A	100,000,000	2012/07/11	2013/01/21	25.00
66116	UBS AG – Cheung Kong R Bear CBBC Dec 2012 A	100,000,000	2012/07/11	2012/12/10	25.00
66104	UBS AG – HSCEI R Bear CBBC Nov 2012 A	100,000,000	2012/07/11	2012/11/29	25.00
66103	UBS AG – HSI R Bull CBBC Nov 2012 M	300,000,000	2012/07/11	2012/11/29	75.00
66102	UBS AG – HSI R Bull CBBC Feb 2013 F	300,000,000	2012/07/11	2013/02/27	75.00
66098	UBS AG – HSI R Bear CBBC Oct 2012 M	300,000,000	2012/07/11	2012/10/30	75.00
66093	UBS AG – HSI R Bear CBBC Nov 2012 U	300,000,000	2012/07/11	2012/11/29	75.00
66072	UBS AG – HSI R Bear CBBC Dec 2012 L	300,000,000	2012/07/11	2012/12/28	75.00
66084	UBS AG – HSI R Bear CBBC Dec 2012 M	300,000,000	2012/07/11	2012/12/28	75.00
66113	UBS AG – Hutchison R Bull CBBC Jan 2013 C	100,000,000	2012/07/11	2013/01/14	25.00
66110	UBS AG – Hutchison R Bear CBBC Feb 2013 A	100,000,000	2012/07/11	2013/02/18	25.00
66106	UBS AG – Hutchison R Bear CBBC Mar 2013 A	100,000,000	2012/07/11	2013/03/04	25.00
66105	UBS AG – TCH R Bull CBBC Dec 2012 C	100,000,000	2012/07/11	2012/12/24	25.00
66361	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 I	200,000,000	2012/07/12	2013/04/29	50.00
66240	Credit Suisse AG – HSI R Bull CBBC Oct 2012 F	400,000,000	2012/07/12	2012/10/30	100.00
66257	Credit Suisse AG – HSI R Bull CBBC Oct 2012 H	400,000,000	2012/07/12	2012/10/30	100.00
66164	Credit Suisse AG – HSI R Bull CBBC Nov 2012 M	400,000,000	2012/07/12	2012/11/29	100.00
66167	Credit Suisse AG – HSI R Bull CBBC Nov 2012 N	400,000,000	2012/07/12	2012/11/29	100.00
66173	Credit Suisse AG – HSI R Bear CBBC Nov 2012 S	400,000,000	2012/07/12	2012/11/29	100.00
66171	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Z	400,000,000	2012/07/12	2012/11/29	100.00
66217	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 G	158,000,000	2012/07/12	2012/11/29	39.50
66360	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 F	150,000,000	2012/07/12	2012/10/30	39.75
66157	HK Bank – HSCEI R Bull CBBC Dec 2012 B	100,000,000	2012/07/12	2012/12/28	25.00
66158	HK Bank – HSCEI R Bear CBBC Dec 2012 B	100,000,000	2012/07/12	2012/12/28	25.00
66129	HK Bank – HSI R Bull CBBC Mar 2013 A	150,000,000	2012/07/12	2013/03/27	37.50
66134	HK Bank – HSI R Bull CBBC Mar 2013 B	200,000,000	2012/07/12	2013/03/27	50.00
66128	HK Bank – HSI R Bull CBBC Apr 2013 A	200,000,000	2012/07/12	2013/04/29	50.00
66133	HK Bank – HSI R Bull CBBC Apr 2013 B	200,000,000	2012/07/12	2013/04/29	50.00
66258	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 K	60,000,000	2012/07/12	2012/12/28	15.00
66127	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12G	200,000,000	2012/07/12	2012/10/30	50.00
66125	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12A	200,000,000	2012/07/12	2012/10/30	50.00

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66172	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12P	200,000,000	2012/07/12	2012/10/30	50.00
66347	UBS AG – HSI R Bull CBBC Dec 2012 S	300,000,000	2012/07/12	2012/12/28	75.00
66170	UBS AG – HSI R Bull CBBC Feb 2013 G	300,000,000	2012/07/12	2013/02/27	75.00
66206	UBS AG – HSI R Bear CBBC Nov 2012 V	300,000,000	2012/07/12	2012/11/29	75.00
66198	UBS AG – HSI R Bear CBBC Dec 2012 N	300,000,000	2012/07/12	2012/12/28	75.00
66197	UBS AG – HSI R Bear CBBC Feb 2013 B	300,000,000	2012/07/12	2013/02/27	75.00
66184	UBS AG – HSI R Bear CBBC Jun 2013 A	300,000,000	2012/07/12	2013/06/27	75.00
66174	UBS AG – HSI R Bear CBBC Jul 2013 A	300,000,000	2012/07/12	2013/07/30	75.00
66341	UBS AG – Hutchison R Bull CBBC Dec 2012 C	100,000,000	2012/07/12	2012/12/17	25.00
66292	UBS AG – Hutchison R Bear CBBC Jan 2013 A	100,000,000	2012/07/12	2013/01/21	25.00
66262	UBS AG – TCH R Bear CBBC Jan 2013 A	100,000,000	2012/07/12	2013/01/14	25.00
66431	Credit Suisse AG – HSI R Bull CBBC Oct 2012 I	400,000,000	2012/07/13	2012/10/30	100.00
66434	Credit Suisse AG – HSI R Bear CBBC Oct 2012 O	400,000,000	2012/07/13	2012/10/30	100.00
66435	Credit Suisse AG – Hutchison R Bull CBBC Dec 2012 A	70,000,000	2012/07/13	2012/12/24	17.50
66459	Credit Suisse AG – Hutchison R Bull CBBC Dec 2012 B	70,000,000	2012/07/13	2012/12/24	17.50
66412	HK Bank – COVS R Bull CBBC Aug 2013 A	30,000,000	2012/07/13	2013/08/28	13.50
66378	HK Bank – China Tel R Bull CBBC Mar 2013 A	50,000,000	2012/07/13	2013/03/08	12.50
66411	HK Bank – China Tel R Bear CBBC Mar 2013 A	50,000,000	2012/07/13	2013/03/08	12.50
66426	HK Bank – GCL-Poly Energy R Bull CBBC Mar 2013 A	25,000,000	2012/07/13	2013/03/04	12.25
66516	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 P	300,000,000	2012/07/13	2013/03/27	75.00
66519	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 Q	300,000,000	2012/07/13	2013/03/27	75.00
66510	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 K	300,000,000	2012/07/13	2013/01/30	75.00
66511	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 L	300,000,000	2012/07/13	2013/01/30	75.00
66509	UBS AG – CC Bank R Bull CBBC Jan 2013 A	100,000,000	2012/07/13	2013/01/28	25.00
66505	UBS AG – HSI R Bull CBBC Dec 2012 W	300,000,000	2012/07/13	2012/12/28	75.00
66492	UBS AG – HSI R Bear CBBC Mar 2013 D	300,000,000	2012/07/13	2013/03/27	75.00
66632	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 O	200,000,000	2012/07/16	2012/10/30	50.00
66639	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 P	200,000,000	2012/07/16	2012/10/30	50.00
66687	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 Q	200,000,000	2012/07/16	2012/10/30	50.00
66619	Credit Suisse AG – HSI R Bull CBBC Dec 2012 J	400,000,000	2012/07/16	2012/12/28	100.00
66640	Credit Suisse AG – HSI R Bull CBBC Dec 2012 K	400,000,000	2012/07/16	2012/12/28	100.00
66623	Credit Suisse AG – HSI R Bear CBBC Dec 2012 P	400,000,000	2012/07/16	2012/12/28	100.00
66641	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 D	158,000,000	2012/07/16	2012/12/28	39.50
66694	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 E	150,000,000	2012/07/16	2012/10/30	38.25
66707	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 F	150,000,000	2012/07/16	2012/10/30	38.70
66708	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 G	150,000,000	2012/07/16	2012/10/30	38.25
66716	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 H	150,000,000	2012/07/16	2012/10/30	38.85
66766	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 I	150,000,000	2012/07/16	2012/10/30	42.45
66538	HK Bank – ABC R Bull CBBC May 2013 C	60,000,000	2012/07/16	2013/05/28	15.00
66527	HK Bank – CC Bank R Bull CBBC Mar 2013 B	80,000,000	2012/07/16	2013/03/04	20.00
66592	HK Bank – China Life R Bull CBBC Feb 2013 A	60,000,000	2012/07/16	2013/02/25	15.00
66593	HK Bank – China Life R Bear CBBC Jan 2013 A	50,000,000	2012/07/16	2013/01/18	30.00
66597	HK Bank – Hutchison R Bull CBBC Apr 2013 A	60,000,000	2012/07/16	2013/04/18	15.00
66598	HK Bank – Hutchison R Bear CBBC Apr 2013 A	50,000,000	2012/07/16	2013/04/18	12.50
66540	HK Bank – SHK Ppt R Bull CBBC Apr 2013 A	60,000,000	2012/07/16	2013/04/15	15.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66565	HK Bank – SHK Ppt R Bear CBBC Apr 2013 A	50,000,000	2012/07/16	2013/04/15	15.00
66628	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12J	200,000,000	2012/07/16	2012/10/30	50.00
66626	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/07/16	2012/10/30	50.00
66685	UBS AG – COVS R Bull CBBC Jan 2013 A	100,000,000	2012/07/16	2013/01/28	46.50
66663	UBS AG – China Tel R Bull CBBC Mar 2013 A	100,000,000	2012/07/16	2013/03/04	25.00
66662	UBS AG – CUni R Bull CBBC Feb 2013 A	100,000,000	2012/07/16	2013/02/18	25.00
66624	UBS AG – HSI R Bull CBBC Mar 2013 C	300,000,000	2012/07/16	2013/03/27	75.00
66660	UBS AG – HSI R Bear CBBC Oct 2012 I	300,000,000	2012/07/16	2012/10/30	75.00
66659	UBS AG – HSI R Bear CBBC Feb 2013 C	300,000,000	2012/07/16	2013/02/27	75.00
66905	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	200,000,000	2012/07/17	2013/04/29	50.00
66947	Credit Suisse AG – HSI R Bull CBBC Dec 2012 X	400,000,000	2012/07/17	2012/12/28	100.00
66954	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Q	400,000,000	2012/07/17	2012/12/28	100.00
66976	Credit Suisse AG – HSI R Bear CBBC Dec 2012 R	400,000,000	2012/07/17	2012/12/28	100.00
66978	Credit Suisse AG – HSI R Bear CBBC Dec 2012 S	400,000,000	2012/07/17	2012/12/28	100.00
67032	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 G	150,000,000	2012/07/17	2012/10/30	37.95
67033	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 H	150,000,000	2012/07/17	2012/10/30	39.30
66819	HK Bank – Cheung Kong R Bull CBBC Jan 2013 A	60,000,000	2012/07/17	2013/01/28	15.00
66831	HK Bank – Cheung Kong R Bear CBBC Mar 2013 A	50,000,000	2012/07/17	2013/03/04	15.00
66858	HK Bank – A50 R Bear CBBC Feb 2013 B	50,000,000	2012/07/17	2013/02/26	12.50
66792	HK Bank – ICBC R Bull CBBC Apr 2013 B	60,000,000	2012/07/17	2013/04/16	15.00
66943	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/07/17	2012/11/29	50.00
66772	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12W	200,000,000	2012/07/17	2012/10/30	50.00
66972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Z	200,000,000	2012/07/17	2012/10/30	50.00
66973	UBS AG – HSI R Bull CBBC Jan 2013 A	300,000,000	2012/07/17	2013/01/30	75.00
66996	UBS AG – HSI R Bull CBBC Jan 2013 E	300,000,000	2012/07/17	2013/01/30	75.00
66999	UBS AG – HSI R Bull CBBC May 2013 C	300,000,000	2012/07/17	2013/05/30	75.00
66998	UBS AG – HSI R Bull CBBC Jul 2013 C	300,000,000	2012/07/17	2013/07/30	75.00
66997	UBS AG – HSI R Bull CBBC Sep 2013 A	300,000,000	2012/07/17	2013/09/27	75.00
66982	UBS AG – HSI R Bear CBBC Dec 2012 O	300,000,000	2012/07/17	2012/12/28	75.00
66979	UBS AG – HSI R Bear CBBC Mar 2013 E	300,000,000	2012/07/17	2013/03/27	75.00
67039	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	200,000,000	2012/07/18	2013/04/29	50.00
67036	Credit Suisse AG – HSI R Bull CBBC Dec 2012 A	400,000,000	2012/07/18	2012/12/28	100.00
67038	Credit Suisse AG – HSI R Bear CBBC Dec 2012 T	400,000,000	2012/07/18	2012/12/28	100.00
67043	Credit Suisse AG – HSI R Bear CBBC Dec 2012 U	400,000,000	2012/07/18	2012/12/28	100.00
67046	Credit Suisse AG – HSI R Bear CBBC Dec 2012 V	400,000,000	2012/07/18	2012/12/28	100.00
67034	HK Bank – Anhui Conch R Bull CBBC Mar 2013 A	30,000,000	2012/07/18	2013/03/18	12.60
67035	HK Bank – HSI R Bear CBBC Feb 2013 B	150,000,000	2012/07/18	2013/02/27	37.50
67041	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/07/18	2012/11/29	50.00
67050	UBS AG – AIA R Bear CBBC Jan 2013 A	100,000,000	2012/07/18	2013/01/21	33.00
67048	UBS AG – CC Bank R Bull CBBC Feb 2013 A	100,000,000	2012/07/18	2013/02/18	25.00
67040	UBS AG – HSI R Bull CBBC Mar 2013 D	300,000,000	2012/07/18	2013/03/27	75.00
67047	UBS AG – HSI R Bear CBBC Feb 2013 D	300,000,000	2012/07/18	2013/02/27	75.00
67176	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 E	200,000,000	2012/07/19	2013/03/27	50.00
67187	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 F	200,000,000	2012/07/19	2013/03/27	50.00
67206	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	200,000,000	2012/07/19	2013/04/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67214	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	200,000,000	2012/07/19	2013/04/29	50.00
67248	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	200,000,000	2012/07/19	2013/04/29	50.00
67249	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 R	200,000,000	2012/07/19	2012/10/30	50.00
67268	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 S	200,000,000	2012/07/19	2012/10/30	50.00
67287	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 T	200,000,000	2012/07/19	2012/10/30	50.00
67104	Credit Suisse AG – HSI R Bull CBBC Dec 2012 B	400,000,000	2012/07/19	2012/12/28	100.00
67118	Credit Suisse AG – HSI R Bull CBBC Dec 2012 C	400,000,000	2012/07/19	2012/12/28	100.00
67120	Credit Suisse AG – HSI R Bull CBBC Dec 2012 D	400,000,000	2012/07/19	2012/12/28	100.00
67121	Credit Suisse AG – HSI R Bull CBBC Dec 2012 E	400,000,000	2012/07/19	2012/12/28	100.00
67127	Credit Suisse AG – HSI R Bull CBBC Dec 2012 F	400,000,000	2012/07/19	2012/12/28	100.00
67288	Credit Suisse AG – HSI R Bull CBBC Jan 2013 F	400,000,000	2012/07/19	2013/01/30	100.00
67231	Credit Suisse AG – HSI R Bear CBBC Dec 2012 W	400,000,000	2012/07/19	2012/12/28	100.00
67233	Credit Suisse AG – HSI R Bear CBBC Dec 2012 X	400,000,000	2012/07/19	2012/12/28	100.00
67234	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Y	400,000,000	2012/07/19	2012/12/28	100.00
67243	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Z	400,000,000	2012/07/19	2012/12/28	100.00
67298	Credit Suisse AG – HSI R Bear CBBC Jan 2013 A	400,000,000	2012/07/19	2013/01/30	100.00
67301	Credit Suisse AG – HSI R Bear CBBC Jan 2013 B	400,000,000	2012/07/19	2013/01/30	100.00
67411	Bank of East Asia – COVS R Bear CBBC Feb 2013 A	60,000,000	2012/07/19	2013/02/22	17.40
67069	HK Bank – BOCL R Bear CBBC Apr 2013 A	50,000,000	2012/07/19	2013/04/26	12.50
67060	HK Bank – CC Bank R Bull CBBC Mar 2013 C	80,000,000	2012/07/19	2013/03/11	20.00
67061	HK Bank – CC Bank R Bear CBBC Mar 2013 A	50,000,000	2012/07/19	2013/03/11	12.50
67072	HK Bank – HKEx R Bull CBBC Feb 2013 A	60,000,000	2012/07/19	2013/02/18	15.00
67081	HK Bank – HKEx R Bear CBBC Feb 2013 A	50,000,000	2012/07/19	2013/02/18	12.50
67082	HK Bank – HSI R Bear CBBC Mar 2013 A	200,000,000	2012/07/19	2013/03/27	50.00
67059	HK Bank – ICBC R Bear CBBC Feb 2013 A	50,000,000	2012/07/19	2013/02/25	12.50
67413	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 R	300,000,000	2012/07/19	2013/03/27	75.00
67424	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 M	300,000,000	2012/07/19	2013/01/30	75.00
67425	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 N	300,000,000	2012/07/19	2013/01/30	75.00
62153	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 O	300,000,000	2012/07/19	2013/01/30	75.00
62439	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 P	300,000,000	2012/07/19	2013/01/30	75.00
67051	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/07/19	2012/11/29	50.00
67148	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/07/19	2012/11/29	50.00
67296	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/07/19	2012/10/30	50.00
67222	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12R	200,000,000	2012/07/19	2012/10/30	50.00
67228	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12X	200,000,000	2012/07/19	2012/10/30	50.00
67406	UBS AG – ABC R Bear CBBC Mar 2013 A	100,000,000	2012/07/19	2013/03/25	25.00
67399	UBS AG – China Life R Bull CBBC May 2013 A	100,000,000	2012/07/19	2013/05/20	25.00
67408	UBS AG – CNOOC R Bull CBBC Dec 2012 B	100,000,000	2012/07/19	2012/12/03	25.00
67407	UBS AG – CNOOC R Bear CBBC Nov 2012 A	100,000,000	2012/07/19	2012/11/26	28.00
67398	UBS AG – A50 China R Bull CBBC Mar 2013 A	100,000,000	2012/07/19	2013/03/04	25.00
67368	UBS AG – A50 China R Bear CBBC Nov 2012 B	100,000,000	2012/07/19	2012/11/19	25.00
67350	UBS AG – HSCEI R Bull CBBC Jan 2013 A	100,000,000	2012/07/19	2013/01/30	25.00
67340	UBS AG – HSCEI R Bear CBBC Nov 2012 B	100,000,000	2012/07/19	2012/11/29	25.00
67215	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/07/19	2012/10/30	75.00
67216	UBS AG – HSI R Bull CBBC Nov 2012 F	300,000,000	2012/07/19	2012/11/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67307	UBS AG – HSI R Bear CBBC Nov 2012 W	300,000,000	2012/07/19	2012/11/29	75.00
67329	UBS AG – HSI R Bear CBBC Jan 2013 D	300,000,000	2012/07/19	2013/01/30	75.00
67410	UBS AG – SHK Ppt R Bull CBBC Feb 2013 A	100,000,000	2012/07/19	2013/02/18	25.00
67409	UBS AG – SHK Ppt R Bear CBBC Dec 2012 A	100,000,000	2012/07/19	2012/12/24	25.00
63036	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	400,000,000	2012/07/20	2013/01/30	100.00
63013	Credit Suisse AG – HSI R Bear CBBC Jan 2013 C	400,000,000	2012/07/20	2013/01/30	100.00
63112	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 I	150,000,000	2012/07/20	2012/10/30	39.30
63130	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 J	150,000,000	2012/07/20	2012/10/30	37.95
63183	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 J	150,000,000	2012/07/20	2012/10/30	37.65
63222	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 K	150,000,000	2012/07/20	2012/10/30	37.65
62592	HK Bank – A50 R Bull CBBC Apr 2013 A	60,000,000	2012/07/20	2013/04/15	15.00
62869	HK Bank – HKEx R Bull CBBC Mar 2013 A	60,000,000	2012/07/20	2013/03/15	15.00
62816	HK Bank – HKEx R Bull CBBC May 2013 A	60,000,000	2012/07/20	2013/05/06	18.00
62900	HK Bank – HSI R Bull CBBC May 2013 C	200,000,000	2012/07/20	2013/05/30	50.00
62935	HK Bank – HSI R Bull CBBC Jun 2013 B	200,000,000	2012/07/20	2013/06/27	50.00
62967	HK Bank – HSI R Bull CBBC Jul 2013 A	200,000,000	2012/07/20	2013/07/30	50.00
62450	HK Bank – ICBC R Bear CBBC Mar 2013 A	50,000,000	2012/07/20	2013/03/04	12.50
62890	HK Bank – PetCh R Bull CBBC Mar 2013 A	50,000,000	2012/07/20	2013/03/04	12.50
63111	UBS AG – CC Bank R Bear CBBC Jan 2013 A	100,000,000	2012/07/20	2013/01/28	25.00
63039	UBS AG – HSI R Bull CBBC Apr 2013 A	300,000,000	2012/07/20	2013/04/29	75.00
63035	UBS AG – HSI R Bear CBBC Mar 2013 F	300,000,000	2012/07/20	2013/03/27	75.00
63015	UBS AG – HSI R Bear CBBC Apr 2013 A	300,000,000	2012/07/20	2013/04/29	75.00
63043	UBS AG – ICBC R Bear CBBC Feb 2013 A	100,000,000	2012/07/20	2013/02/18	25.00
63907	Credit Suisse AG – HSI R Bull CBBC Jan 2013 H	400,000,000	2012/07/23	2013/01/30	100.00
63912	Credit Suisse AG – HSI R Bear CBBC Jan 2013 D	400,000,000	2012/07/23	2013/01/30	100.00
63913	Credit Suisse AG – HSI R Bear CBBC Jan 2013 E	400,000,000	2012/07/23	2013/01/30	100.00
63922	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 L	150,000,000	2012/07/23	2012/10/30	37.65
63503	HK Bank – HSI R Bear CBBC Mar 2013 B	100,000,000	2012/07/23	2013/03/27	25.00
63517	HK Bank – HSI R Bear CBBC Apr 2013 A	200,000,000	2012/07/23	2013/04/29	50.00
63827	HK Bank – HSI R Bear CBBC Apr 2013 B	200,000,000	2012/07/23	2013/04/29	50.00
63686	HK Bank – HSI R Bear CBBC May 2013 D	200,000,000	2012/07/23	2013/05/30	50.00
63848	HK Bank – HSI R Bear CBBC May 2013 E	200,000,000	2012/07/23	2013/05/30	50.00
63322	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 O	60,000,000	2012/07/23	2012/10/30	15.00
63487	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 P	60,000,000	2012/07/23	2012/10/30	15.00
63496	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 Q	60,000,000	2012/07/23	2012/10/30	15.00
63882	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12E	200,000,000	2012/07/23	2012/11/29	50.00
63908	UBS AG – Anhui Conch R Bull CBBC Feb 2013 A	50,000,000	2012/07/23	2013/02/25	26.00
63911	UBS AG – HSI R Bull CBBC Dec 2012 L	300,000,000	2012/07/23	2012/12/28	75.00
63849	UBS AG – HSI R Bear CBBC Oct 2012 H	300,000,000	2012/07/23	2012/10/30	75.00
63851	UBS AG – HSI R Bear CBBC May 2013 C	300,000,000	2012/07/23	2013/05/30	75.00
63966	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	200,000,000	2012/07/24	2013/04/29	50.00
63962	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	400,000,000	2012/07/24	2013/01/30	100.00
64021	Credit Suisse AG – HSI R Bull CBBC Jan 2013 K	400,000,000	2012/07/24	2013/01/30	100.00
64024	Credit Suisse AG – HSI R Bull CBBC Jan 2013 L	400,000,000	2012/07/24	2013/01/30	100.00
63947	Credit Suisse AG – HSI R Bear CBBC Jan 2013 F	400,000,000	2012/07/24	2013/01/30	100.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63954	Credit Suisse AG – HSI R Bear CBBC Jan 2013 G	400,000,000	2012/07/24	2013/01/30	100.00
64026	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 G	158,000,000	2012/07/24	2012/12/28	39.50
64027	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 B	158,000,000	2012/07/24	2013/01/30	39.50
64029	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 K	150,000,000	2012/07/24	2012/10/30	40.50
64039	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 L	150,000,000	2012/07/24	2012/10/30	39.60
64025	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 O	60,000,000	2012/07/24	2012/10/30	15.00
63955	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/07/24	2012/11/29	50.00
63960	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/07/24	2012/11/29	50.00
63923	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12S	200,000,000	2012/07/24	2012/10/30	50.00
64011	UBS AG – HKEx R Bear CBBC Dec 2012 A	100,000,000	2012/07/24	2012/12/17	25.00
63970	UBS AG – HSI R Bull CBBC Nov 2012 T	300,000,000	2012/07/24	2012/11/29	75.00
63974	UBS AG – HSI R Bull CBBC Jan 2013 F	300,000,000	2012/07/24	2013/01/30	75.00
63941	UBS AG – HSI R Bear CBBC Dec 2012 P	300,000,000	2012/07/24	2012/12/28	75.00
64047	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Q	200,000,000	2012/07/25	2013/04/29	50.00
64042	Credit Suisse AG – HSI R Bull CBBC Jan 2013 M	400,000,000	2012/07/25	2013/01/30	100.00
64057	Credit Suisse AG – HSI R Bear CBBC Oct 2012 P	400,000,000	2012/07/25	2012/10/30	100.00
64086	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Q	400,000,000	2012/07/25	2012/10/30	100.00
64137	Daiwa Capital Mkt – HSI R Bear CBBC Jan 2013 A	158,000,000	2012/07/25	2013/01/30	39.50
64049	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/07/25	2012/11/29	50.00
64045	UBS AG – HSI R Bull CBBC Jan 2013 H	300,000,000	2012/07/25	2013/01/30	75.00
64134	UBS AG – HSI R Bull CBBC Mar 2013 E	300,000,000	2012/07/25	2013/03/27	75.00
64111	UBS AG – HSI R Bear CBBC Feb 2013 E	300,000,000	2012/07/25	2013/02/27	75.00
64237	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 R	200,000,000	2012/07/26	2013/04/29	50.00
64141	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 U	200,000,000	2012/07/26	2012/10/30	50.00
64246	Credit Suisse AG – HSI R Bull CBBC Nov 2012 D	400,000,000	2012/07/26	2012/11/29	100.00
64254	Credit Suisse AG – HSI R Bull CBBC Nov 2012 F	400,000,000	2012/07/26	2012/11/29	100.00
64255	Credit Suisse AG – HSI R Bull CBBC Nov 2012 H	400,000,000	2012/07/26	2012/11/29	100.00
64158	Credit Suisse AG – HSI R Bear CBBC Oct 2012 A	400,000,000	2012/07/26	2012/10/30	100.00
64161	Credit Suisse AG – HSI R Bear CBBC Oct 2012 G	400,000,000	2012/07/26	2012/10/30	100.00
64168	Credit Suisse AG – HSI R Bear CBBC Oct 2012 K	400,000,000	2012/07/26	2012/10/30	100.00
64146	Credit Suisse AG – HSI R Bear CBBC Oct 2012 W	400,000,000	2012/07/26	2012/10/30	100.00
64139	HK Bank – A50 R Bull CBBC Mar 2013 B	60,000,000	2012/07/26	2013/03/18	15.00
64140	HK Bank – A50 R Bull CBBC Mar 2013 C	60,000,000	2012/07/26	2013/03/25	15.00
64138	HK Bank – A50 R Bear CBBC Jan 2013 B	50,000,000	2012/07/26	2013/01/28	12.50
64259	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 P	60,000,000	2012/07/26	2012/10/30	15.00
64231	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	200,000,000	2012/07/26	2012/12/28	50.00
64230	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/07/26	2012/10/30	50.00
64200	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Y	200,000,000	2012/07/26	2012/10/30	50.00
64208	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Z	200,000,000	2012/07/26	2012/10/30	50.00
64327	UBS AG – China Life R Bull CBBC Mar 2013 A	100,000,000	2012/07/26	2013/03/04	25.00
64298	UBS AG – A50 China R Bear CBBC Feb 2013 A	100,000,000	2012/07/26	2013/02/18	25.00
64289	UBS AG – HSI R Bull CBBC Mar 2013 F	300,000,000	2012/07/26	2013/03/27	75.00
64291	UBS AG – HSI R Bull CBBC May 2013 D	300,000,000	2012/07/26	2013/05/30	75.00
64174	UBS AG – HSI R Bear CBBC Oct 2012 K	300,000,000	2012/07/26	2012/10/30	75.00
64260	UBS AG – HSI R Bear CBBC Dec 2012 Q	300,000,000	2012/07/26	2012/12/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64261	UBS AG – HSI R Bear CBBC Dec 2012 R	300,000,000	2012/07/26	2012/12/28	75.00
64280	UBS AG – HSI R Bear CBBC Dec 2012 S	300,000,000	2012/07/26	2012/12/28	75.00
64175	UBS AG – HSI R Bear CBBC May 2013 D	300,000,000	2012/07/26	2013/05/30	75.00
64328	UBS AG – TCH R Bull CBBC Mar 2013 A	100,000,000	2012/07/26	2013/03/25	36.00
65834	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 X	200,000,000	2012/07/27	2013/04/29	50.00
65841	Credit Suisse AG – HSI R Bull CBBC Nov 2012 A	400,000,000	2012/07/27	2012/11/29	100.00
65840	Credit Suisse AG – HSI R Bull CBBC Nov 2012 I	400,000,000	2012/07/27	2012/11/29	100.00
65842	Credit Suisse AG – HSI R Bull CBBC Nov 2012 K	400,000,000	2012/07/27	2012/11/29	100.00
65843	Credit Suisse AG – HSI R Bull CBBC Nov 2012 L	400,000,000	2012/07/27	2012/11/29	100.00
64466	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/07/27	2012/10/30	100.00
65845	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Y	400,000,000	2012/07/27	2012/10/30	100.00
65835	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 H	158,000,000	2012/07/27	2012/12/28	39.50
65839	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 M	150,000,000	2012/07/27	2012/10/30	37.95
65836	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 M	150,000,000	2012/07/27	2012/10/30	39.60
64454	HK Bank – China Mobile R Bear CBBC Jan 2013 A	50,000,000	2012/07/27	2013/01/21	12.50
64455	HK Bank – HSCEI R Bear CBBC Jan 2013 A	68,000,000	2012/07/27	2013/01/30	17.00
64457	HK Bank – HSI R Bull CBBC Mar 2013 C	100,000,000	2012/07/27	2013/03/27	25.00
64459	HK Bank – HSI R Bull CBBC Mar 2013 D	100,000,000	2012/07/27	2013/03/27	25.00
65848	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 A	300,000,000	2012/07/27	2013/04/29	75.00
66016	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 B	300,000,000	2012/07/27	2013/04/29	75.00
66021	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 C	300,000,000	2012/07/27	2013/04/29	75.00
66030	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 D	300,000,000	2012/07/27	2013/04/29	75.00
64356	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12A	200,000,000	2012/07/27	2012/11/29	50.00
64365	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12B	200,000,000	2012/07/27	2012/11/29	50.00
64381	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12C	200,000,000	2012/07/27	2012/11/29	50.00
64382	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12A	200,000,000	2012/07/27	2012/11/29	50.00
64400	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12B	200,000,000	2012/07/27	2012/11/29	50.00
64453	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12C	200,000,000	2012/07/27	2012/11/29	50.00
64744	UBS AG – China Tel R Bull CBBC Jul 2013 A	100,000,000	2012/07/27	2013/07/15	25.00
64640	UBS AG – China Tel R Bear CBBC May 2013 A	100,000,000	2012/07/27	2013/05/06	25.00
64639	UBS AG – CUni R Bear CBBC Mar 2013 A	100,000,000	2012/07/27	2013/03/11	33.00
64634	UBS AG – China Life R Bear CBBC Feb 2013 A	100,000,000	2012/07/27	2013/02/04	29.50
64633	UBS AG – HSI R Bull CBBC Jan 2013 D	300,000,000	2012/07/27	2013/01/30	75.00
64623	UBS AG – HSI R Bull CBBC May 2013 E	300,000,000	2012/07/27	2013/05/30	75.00
64478	UBS AG – HSI R Bear CBBC Feb 2013 F	300,000,000	2012/07/27	2013/02/27	75.00
64567	UBS AG – HSI R Bear CBBC Feb 2013 G	300,000,000	2012/07/27	2013/02/27	75.00
64471	UBS AG – HSI R Bear CBBC Mar 2013 G	300,000,000	2012/07/27	2013/03/27	75.00
65101	UBS AG – Li & Fung R Bull CBBC Mar 2013 A	50,000,000	2012/07/27	2013/03/04	16.50
65083	UBS AG – Li & Fung R Bull CBBC Apr 2013 A	50,000,000	2012/07/27	2013/04/08	12.50
65072	UBS AG – Li & Fung R Bear CBBC Feb 2013 A	50,000,000	2012/07/27	2013/02/18	12.50
64886	UBS AG – Li & Fung R Bear CBBC Mar 2013 A	50,000,000	2012/07/27	2013/03/25	18.75
67244	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 A	200,000,000	2012/07/30	2013/03/27	50.00
67371	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 V	200,000,000	2012/07/30	2013/04/29	50.00
66283	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Y	200,000,000	2012/07/30	2013/04/29	50.00
67266	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Z	200,000,000	2012/07/30	2013/04/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66517	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 V	200,000,000	2012/07/30	2012/10/30	50.00
66932	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 W	200,000,000	2012/07/30	2012/10/30	50.00
67115	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 X	200,000,000	2012/07/30	2012/10/30	50.00
67147	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 Y	200,000,000	2012/07/30	2012/10/30	50.00
66281	Credit Suisse AG – HSI R Bull CBBC Nov 2012 M	400,000,000	2012/07/30	2012/11/29	100.00
66282	Credit Suisse AG – HSI R Bull CBBC Nov 2012 N	400,000,000	2012/07/30	2012/11/29	100.00
66280	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Z	400,000,000	2012/07/30	2012/11/29	100.00
67289	Credit Suisse AG – HSI R Bull CBBC Dec 2012 J	400,000,000	2012/07/30	2012/12/28	100.00
67300	Credit Suisse AG – HSI R Bull CBBC Dec 2012 K	400,000,000	2012/07/30	2012/12/28	100.00
67353	Credit Suisse AG – HSI R Bull CBBC Jan 2013 N	400,000,000	2012/07/30	2013/01/30	100.00
67269	Credit Suisse AG – HSI R Bear CBBC Oct 2012 T	400,000,000	2012/07/30	2012/10/30	100.00
67372	Credit Suisse AG – HSI R Bear CBBC Oct 2012 U	400,000,000	2012/07/30	2012/10/30	100.00
67286	Credit Suisse AG – HSI R Bear CBBC Oct 2012 V	400,000,000	2012/07/30	2012/10/30	100.00
67392	Credit Suisse AG – HSI R Bear CBBC Oct 2012 X	400,000,000	2012/07/30	2012/10/30	100.00
67393	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 E	158,000,000	2012/07/30	2012/12/28	39.50
67426	Daiwa Capital Mkt – HSI R Bear CBBC Jan 2013 B	158,000,000	2012/07/30	2013/01/30	39.50
67471	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 N	150,000,000	2012/07/30	2012/10/30	37.80
67472	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 O	150,000,000	2012/07/30	2012/10/30	38.55
67474	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 P	150,000,000	2012/07/30	2012/10/30	38.70
66040	HK Bank – CNBM R Bull CBBC Mar 2013 A	50,000,000	2012/07/30	2013/03/11	12.50
66094	HK Bank – HSI R Bull CBBC Feb 2013 E	200,000,000	2012/07/30	2013/02/27	50.00
67430	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12K	200,000,000	2012/07/30	2012/10/30	56.00
67431	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12L	200,000,000	2012/07/30	2012/10/30	54.00
67434	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12W	200,000,000	2012/07/30	2012/10/30	52.00
67437	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12X	200,000,000	2012/07/30	2012/10/30	50.00
67306	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/07/30	2012/11/29	50.00
66114	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/07/30	2012/11/29	50.00
66277	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	200,000,000	2012/07/30	2012/12/28	50.00
66413	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/07/30	2012/10/30	50.00
67438	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12R	200,000,000	2012/07/30	2012/10/30	50.00
67427	UBS AG – BOC HK R Bull CBBC Mar 2013 A	50,000,000	2012/07/30	2013/03/18	18.00
67429	UBS AG – BOC HK R Bear CBBC Feb 2013 A	50,000,000	2012/07/30	2013/02/25	12.50
67344	UBS AG – CNBM R Bull CBBC Feb 2013 B	50,000,000	2012/07/30	2013/02/25	12.50
67339	UBS AG – Galaxy Ent R Bull CBBC Jan 2013 A	50,000,000	2012/07/30	2013/01/28	14.75
67343	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 A	50,000,000	2012/07/30	2013/02/18	15.75
67346	UBS AG – HSI R Bull CBBC Oct 2012 Z	300,000,000	2012/07/30	2012/10/30	75.00
66286	UBS AG – HSI R Bull CBBC Nov 2012 V	300,000,000	2012/07/30	2012/11/29	75.00
66284	UBS AG – HSI R Bull CBBC May 2013 F	300,000,000	2012/07/30	2013/05/30	75.00
67441	UBS AG – HSI R Bear CBBC Oct 2012 A	300,000,000	2012/07/30	2012/10/30	75.00
67442	UBS AG – HSI R Bear CBBC Dec 2012 T	300,000,000	2012/07/30	2012/12/28	75.00
67446	UBS AG – HSI R Bear CBBC Feb 2013 H	300,000,000	2012/07/30	2013/02/27	75.00
67492	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 I	200,000,000	2012/07/31	2013/04/29	50.00
67491	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 A	200,000,000	2012/07/31	2012/11/29	50.00
67520	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 B	200,000,000	2012/07/31	2012/11/29	50.00
67502	Credit Suisse AG – HSI R Bull CBBC Dec 2012 B	400,000,000	2012/07/31	2012/12/28	100.00

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67479	Credit Suisse AG – HSI R Bull CBBC Dec 2012 X	400,000,000	2012/07/31	2012/12/28	100.00
67483	Credit Suisse AG – HSI R Bear CBBC Dec 2012 I	400,000,000	2012/07/31	2012/12/28	100.00
67505	Credit Suisse AG – HSI R Bear CBBC Dec 2012 M	400,000,000	2012/07/31	2012/12/28	100.00
67507	Credit Suisse AG – HSI R Bear CBBC Dec 2012 N	400,000,000	2012/07/31	2012/12/28	100.00
67518	Credit Suisse AG – HSI R Bear CBBC Dec 2012 O	400,000,000	2012/07/31	2012/12/28	100.00
67533	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 A	150,000,000	2012/07/31	2012/11/29	39.30
67531	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 A	150,000,000	2012/07/31	2012/11/29	38.55
67500	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/07/31	2012/11/29	50.00
67475	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13A	200,000,000	2012/07/31	2013/01/30	78.00
67476	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13B	200,000,000	2012/07/31	2013/01/30	76.00
67477	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13C	200,000,000	2012/07/31	2013/01/30	73.00
67478	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13D	200,000,000	2012/07/31	2013/01/30	71.00
67503	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12F	200,000,000	2012/07/31	2012/11/29	50.00
67488	UBS AG – A50 China R Bull CBBC Feb 2013 A	100,000,000	2012/07/31	2013/02/04	25.00
67487	UBS AG – A50 China R Bear CBBC Jan 2013 A	100,000,000	2012/07/31	2013/01/21	25.00
67530	UBS AG – HSBC R Bear CBBC Feb 2013 A	100,000,000	2012/07/31	2013/02/18	25.00
67485	UBS AG – HSI R Bull CBBC Dec 2012 P	300,000,000	2012/07/31	2012/12/28	75.00
67484	UBS AG – HSI R Bull CBBC Mar 2013 G	300,000,000	2012/07/31	2013/03/27	75.00
67486	UBS AG – HSI R Bull CBBC Mar 2013 H	300,000,000	2012/07/31	2013/03/27	75.00
67527	UBS AG – HSI R Bull CBBC Mar 2013 I	300,000,000	2012/07/31	2013/03/27	75.00
67522	UBS AG – HSI R Bear CBBC Dec 2012 U	300,000,000	2012/07/31	2012/12/28	75.00
67521	UBS AG – HSI R Bear CBBC Feb 2013 I	300,000,000	2012/07/31	2013/02/27	75.00
67490	UBS AG – Kunlun Energy R Bull CBBC Feb 2013 A	40,000,000	2012/07/31	2013/02/25	10.00
67489	UBS AG – Kunlun Energy R Bear CBBC Mar 2013 A	40,000,000	2012/07/31	2013/03/11	10.80
62527 [#]	HK Bank – A50 R Bull CBBC Feb 2013 A	150,000,000	2012/07/31	2013/02/26	11.10
67561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 T	200,000,000	2012/08/01	2013/04/29	50.00
67585	Credit Suisse AG – HSI R Bull CBBC Dec 2012 A	400,000,000	2012/08/01	2012/12/28	100.00
67568	Credit Suisse AG – HSI R Bull CBBC Dec 2012 C	400,000,000	2012/08/01	2012/12/28	100.00
67575	Credit Suisse AG – HSI R Bull CBBC Dec 2012 D	400,000,000	2012/08/01	2012/12/28	100.00
67577	Credit Suisse AG – HSI R Bull CBBC Dec 2012 E	400,000,000	2012/08/01	2012/12/28	100.00
67583	Credit Suisse AG – HSI R Bull CBBC Dec 2012 F	400,000,000	2012/08/01	2012/12/28	100.00
67687	Credit Suisse AG – HSI R Bull CBBC Jan 2013 O	400,000,000	2012/08/01	2013/01/30	100.00
67586	Credit Suisse AG – HSI R Bear CBBC Nov 2012 C	400,000,000	2012/08/01	2012/11/29	100.00
67689	Credit Suisse AG – HSI R Bear CBBC Nov 2012 G	400,000,000	2012/08/01	2012/11/29	100.00
67608	Credit Suisse AG – HSI R Bear CBBC Nov 2012 I	400,000,000	2012/08/01	2012/11/29	100.00
67611	Credit Suisse AG – HSI R Bear CBBC Nov 2012 J	400,000,000	2012/08/01	2012/11/29	100.00
67619	Credit Suisse AG – HSI R Bear CBBC Nov 2012 K	400,000,000	2012/08/01	2012/11/29	100.00
67655	Credit Suisse AG – HSI R Bear CBBC Nov 2012 L	400,000,000	2012/08/01	2012/11/29	100.00
67700	Credit Suisse AG – HSI R Bear CBBC Nov 2012 V	400,000,000	2012/08/01	2012/11/29	100.00
67702	Credit Suisse AG – HSI R Bear CBBC Nov 2012 W	400,000,000	2012/08/01	2012/11/29	100.00
67609	Credit Suisse AG – HSI R Bear CBBC Dec 2012 B	400,000,000	2012/08/01	2012/12/28	100.00
67642	Credit Suisse AG – HSI R Bear CBBC Dec 2012 C	400,000,000	2012/08/01	2012/12/28	100.00
67643	Credit Suisse AG – HSI R Bear CBBC Dec 2012 F	400,000,000	2012/08/01	2012/12/28	100.00
67606	Credit Suisse AG – HSI R Bear CBBC Dec 2012 H	400,000,000	2012/08/01	2012/12/28	100.00
67682	Credit Suisse AG – HSI R Bear CBBC Dec 2012 R	400,000,000	2012/08/01	2012/12/28	100.00

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67558	HK Bank – A50 R Bull CBBC Apr 2013 B	60,000,000	2012/08/01	2013/04/08	15.00
67557	HK Bank – A50 R Bear CBBC Apr 2013 A	50,000,000	2012/08/01	2013/04/08	12.50
67540	HK Bank – HSI R Bear CBBC Apr 2013 C	100,000,000	2012/08/01	2013/04/29	25.00
67684	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12S	200,000,000	2012/08/01	2012/12/28	50.00
67686	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12G	200,000,000	2012/08/01	2012/11/29	50.00
67737	UBS AG – CNOOC R Bear CBBC Mar 2013 A	100,000,000	2012/08/01	2013/03/11	25.00
67738	UBS AG – Sinopec Corp R Bear CBBC Feb 2013 A	100,000,000	2012/08/01	2013/02/18	25.00
67683	UBS AG – HSI R Bull CBBC May 2013 G	300,000,000	2012/08/01	2013/05/30	75.00
67736	UBS AG – HSI R Bull CBBC Jun 2013 F	300,000,000	2012/08/01	2013/06/27	75.00
67729	UBS AG – HSI R Bear CBBC Feb 2013 J	300,000,000	2012/08/01	2013/02/27	75.00
67734	UBS AG – HSI R Bear CBBC Mar 2013 H	300,000,000	2012/08/01	2013/03/27	75.00
67740	UBS AG – HSI R Bear CBBC Apr 2013 B	300,000,000	2012/08/01	2013/04/29	75.00
64243	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 C	40,000,000	2012/08/02	2012/12/24	10.00
64083	Credit Suisse AG – HKEx R Bear CBBC Dec 2012 B	40,000,000	2012/08/02	2012/12/24	10.00
64090	Credit Suisse AG – HKEx R Bear CBBC Dec 2012 C	40,000,000	2012/08/02	2012/12/24	10.00
63500	Credit Suisse AG – HSI R Bull CBBC Jan 2013 P	400,000,000	2012/08/02	2013/01/30	100.00
64347	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Q	400,000,000	2012/08/02	2013/01/30	100.00
63855	Credit Suisse AG – HSI R Bear CBBC Nov 2012 X	400,000,000	2012/08/02	2012/11/29	100.00
64395	Credit Suisse AG – HSI R Bear CBBC Jan 2013 H	400,000,000	2012/08/02	2013/01/30	100.00
64464	Credit Suisse AG – HSI R Bear CBBC Jan 2013 I	400,000,000	2012/08/02	2013/01/30	100.00
64477	Credit Suisse AG – HSI R Bear CBBC Jan 2013 J	400,000,000	2012/08/02	2013/01/30	100.00
64479	Credit Suisse AG – HSI R Bear CBBC Jan 2013 K	400,000,000	2012/08/02	2013/01/30	100.00
64397	Credit Suisse AG – HSI R Bear CBBC Feb 2013 A	400,000,000	2012/08/02	2013/02/27	100.00
64456	Credit Suisse AG – HSI R Bear CBBC Mar 2013 A	400,000,000	2012/08/02	2013/03/27	100.00
64344	Credit Suisse AG – Hutchison R Bear CBBC Dec 2012 A	40,000,000	2012/08/02	2012/12/24	10.00
64272	Credit Suisse AG – TCH R Bear CBBC Dec 2012 A	40,000,000	2012/08/02	2012/12/24	10.00
63047	HK Bank – BOC HK R Bull CBBC Mar 2013 A	40,000,000	2012/08/02	2013/03/11	16.00
63050	HK Bank – BOC HK R Bear CBBC Mar 2013 A	40,000,000	2012/08/02	2013/03/04	12.00
63320	HK Bank – Minsheng Bank R Bull CBBC Mar 2013 A	40,000,000	2012/08/02	2013/03/04	10.00
67743	HK Bank – Galaxy Ent R Bull CBBC Jan 2013 A	40,000,000	2012/08/02	2013/01/21	12.80
62053	HK Bank – Galaxy Ent R Bear CBBC Feb 2013 A	40,000,000	2012/08/02	2013/02/18	16.00
63321	HK Bank – HSI R Bear CBBC Apr 2013 D	100,000,000	2012/08/02	2013/04/29	25.00
63082	HK Bank – Kunlun Energy R Bull CBBC Feb 2013 A	40,000,000	2012/08/02	2013/02/18	10.00
64735	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 E	300,000,000	2012/08/02	2013/04/29	75.00
64738	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 F	300,000,000	2012/08/02	2013/04/29	75.00
64659	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 A	300,000,000	2012/08/02	2013/04/29	75.00
64707	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 B	300,000,000	2012/08/02	2013/04/29	75.00
64733	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 C	300,000,000	2012/08/02	2013/04/29	75.00
63866	UBS AG – AIA R Bull CBBC Mar 2013 A	50,000,000	2012/08/02	2013/03/11	12.50
63861	UBS AG – AIA R Bull CBBC Apr 2013 A	50,000,000	2012/08/02	2013/04/15	16.50
64658	UBS AG – Evergrande R Bull CBBC Mar 2013 A	50,000,000	2012/08/02	2013/03/11	12.50
64655	UBS AG – Evergrande R Bull CBBC Apr 2013 C	50,000,000	2012/08/02	2013/04/08	12.50
64654	UBS AG – HSCEI R Bear CBBC Nov 2012 C	100,000,000	2012/08/02	2012/11/29	25.00
63944	UBS AG – HSI R Bull CBBC Feb 2013 H	300,000,000	2012/08/02	2013/02/27	75.00
64523	UBS AG – HSI R Bear CBBC Apr 2013 C	300,000,000	2012/08/02	2013/04/29	75.00

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64584	UBS AG – HSI R Bear CBBC May 2013 E	300,000,000	2012/08/02	2013/05/30	75.00
66254	Credit Suisse AG – China Mobile R Bull CBBC Dec 2012 A	40,000,000	2012/08/03	2012/12/24	10.00
66475	Credit Suisse AG – China Mobile R Bull CBBC Dec 2012 B	40,000,000	2012/08/03	2012/12/24	10.00
66532	Credit Suisse AG – China Mobile R Bull CBBC Dec 2012 C	40,000,000	2012/08/03	2012/12/24	10.00
66561	Credit Suisse AG – China Mobile R Bear CBBC Dec 2012 A	40,000,000	2012/08/03	2012/12/24	10.00
66877	Credit Suisse AG – HSI R Bull CBBC Nov 2012 D	400,000,000	2012/08/03	2012/11/29	100.00
66741	Credit Suisse AG – HSI R Bull CBBC Nov 2012 E	400,000,000	2012/08/03	2012/11/29	100.00
66801	Credit Suisse AG – HSI R Bull CBBC Nov 2012 O	400,000,000	2012/08/03	2012/11/29	100.00
66853	Credit Suisse AG – HSI R Bull CBBC Nov 2012 V	400,000,000	2012/08/03	2012/11/29	100.00
65719	Credit Suisse AG – HSI R Bear CBBC Nov 2012 R	400,000,000	2012/08/03	2012/11/29	100.00
65713	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	400,000,000	2012/08/03	2012/11/29	100.00
65340	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Y	400,000,000	2012/08/03	2012/11/29	100.00
65332	Credit Suisse AG – HSI R Bear CBBC Jan 2013 L	400,000,000	2012/08/03	2013/01/30	110.00
65219	Credit Suisse AG – HSI R Bear CBBC Feb 2013 B	400,000,000	2012/08/03	2013/02/27	104.00
65185	Credit Suisse AG – HSI R Bear CBBC Mar 2013 B	400,000,000	2012/08/03	2013/03/27	102.00
65144	HK Bank – AIA R Bear CBBC Jan 2013 C	50,000,000	2012/08/03	2013/01/28	12.50
65110	HK Bank – CNOOC R Bear CBBC Apr 2013 A	50,000,000	2012/08/03	2013/04/08	12.50
65079	HK Bank – HKEx R Bear CBBC Mar 2013 A	50,000,000	2012/08/03	2013/03/11	12.50
65166	HK Bank – SHK Ppt R Bear CBBC Apr 2013 B	50,000,000	2012/08/03	2013/04/22	12.50
64834	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12D	200,000,000	2012/08/03	2012/11/29	50.00
64874	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12D	200,000,000	2012/08/03	2012/11/29	50.00
65730	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec12T	200,000,000	2012/08/03	2012/12/28	50.00
64739	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12H	200,000,000	2012/08/03	2012/11/29	50.00
64805	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12I	200,000,000	2012/08/03	2012/11/29	50.00
65821	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12J	200,000,000	2012/08/03	2012/11/29	50.00
66912	UBS AG – China Mobile R Bull CBBC Feb 2013 A	100,000,000	2012/08/03	2013/02/18	25.00
66915	UBS AG – HSI R Bull CBBC Feb 2013 I	300,000,000	2012/08/03	2013/02/27	75.00
66919	UBS AG – HSI R Bull CBBC Apr 2013 B	300,000,000	2012/08/03	2013/04/29	75.00
65852	UBS AG – HSI R Bear CBBC May 2013 F	300,000,000	2012/08/03	2013/05/30	75.00
67110	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	200,000,000	2012/08/06	2013/04/29	50.00
67105	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 U	200,000,000	2012/08/06	2013/04/29	50.00
67109	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 W	200,000,000	2012/08/06	2013/04/29	50.00
67053	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 C	200,000,000	2012/08/06	2012/11/29	50.00
67138	Credit Suisse AG – HSI R Bull CBBC Jan 2013 R	400,000,000	2012/08/06	2013/01/30	100.00
67139	Credit Suisse AG – HSI R Bull CBBC Jan 2013 S	400,000,000	2012/08/06	2013/01/30	100.00
67162	Credit Suisse AG – HSI R Bull CBBC Jan 2013 V	400,000,000	2012/08/06	2013/01/30	100.00
67163	Credit Suisse AG – HSI R Bull CBBC Jan 2013 W	400,000,000	2012/08/06	2013/01/30	100.00
67068	Credit Suisse AG – HSI R Bear CBBC Dec 2012 S	400,000,000	2012/08/06	2012/12/28	100.00
67078	Credit Suisse AG – HSI R Bear CBBC Dec 2012 T	400,000,000	2012/08/06	2012/12/28	100.00
67089	Credit Suisse AG – HSI R Bear CBBC Dec 2012 U	400,000,000	2012/08/06	2012/12/28	100.00
67146	Daiwa Capital Mkt – HSI R Bull CBBC Feb 2013 B	158,000,000	2012/08/06	2013/02/27	39.50
67143	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 C	158,000,000	2012/08/06	2013/03/27	39.50
67145	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 D	158,000,000	2012/08/06	2013/03/27	39.50
66920	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 B	150,000,000	2012/08/06	2012/11/29	38.40
66922	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 C	150,000,000	2012/08/06	2012/11/29	38.70

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67090	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 D	150,000,000	2012/08/06	2012/11/29	37.95
67102	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 E	150,000,000	2012/08/06	2012/11/29	37.65
67103	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 F	150,000,000	2012/08/06	2012/11/29	37.65
66923	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 B	150,000,000	2012/08/06	2012/11/29	37.80
66955	HK Bank – CUni R Bull CBBC Mar 2013 A	40,000,000	2012/08/06	2013/03/04	11.20
66940	HK Bank – CUni R Bear CBBC Mar 2013 A	40,000,000	2012/08/06	2013/03/04	10.00
66956	HK Bank – CUni R Bear CBBC Mar 2013 B	40,000,000	2012/08/06	2013/03/14	14.00
66967	HK Bank – Sinopec Corp R Bull CBBC Mar 2013 A	60,000,000	2012/08/06	2013/03/18	15.00
66959	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 A	60,000,000	2012/08/06	2013/04/18	15.00
66966	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 B	60,000,000	2012/08/06	2013/04/26	15.00
66970	HK Bank – Sinopec Corp R Bear CBBC Feb 2013 A	60,000,000	2012/08/06	2013/02/26	15.00
66971	HK Bank – Sinopec Corp R Bear CBBC Mar 2013 A	60,000,000	2012/08/06	2013/03/08	15.00
67018	HK Bank – Sinopec Corp R Bear CBBC Mar 2013 B	60,000,000	2012/08/06	2013/03/20	15.00
66929	HK Bank – Evergrande R Bull CBBC Apr 2013 C	50,000,000	2012/08/06	2013/04/08	12.50
66930	HK Bank – Sands China R Bull CBBC Dec 2013 A	50,000,000	2012/08/06	2013/12/18	12.50
67136	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/08/06	2012/11/29	50.00
67137	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/08/06	2012/11/29	50.00
67129	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/08/06	2012/11/29	50.00
67130	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/08/06	2012/11/29	50.00
67055	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12K	200,000,000	2012/08/06	2012/11/29	50.00
67057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12L	200,000,000	2012/08/06	2012/11/29	50.00
67111	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12C	200,000,000	2012/08/06	2012/12/28	63.00
67112	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12D	200,000,000	2012/08/06	2012/12/28	60.00
67113	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12E	200,000,000	2012/08/06	2012/12/28	58.00
67114	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12F	200,000,000	2012/08/06	2012/12/28	55.00
67122	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12G	200,000,000	2012/08/06	2012/12/28	52.00
67128	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12H	200,000,000	2012/08/06	2012/12/28	50.00
67165	UBS AG – HSI R Bull CBBC Dec 2012 J	300,000,000	2012/08/06	2012/12/28	75.00
67164	UBS AG – HSI R Bull CBBC Dec 2012 U	300,000,000	2012/08/06	2012/12/28	75.00
67167	UBS AG – HSI R Bull CBBC Jun 2013 G	300,000,000	2012/08/06	2013/06/27	75.00
67065	UBS AG – HSI R Bear CBBC Dec 2012 V	300,000,000	2012/08/06	2012/12/28	75.00
67067	UBS AG – HSI R Bear CBBC Feb 2013 K	300,000,000	2012/08/06	2013/02/27	75.00
67247	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	200,000,000	2012/08/07	2013/04/29	50.00
67246	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	200,000,000	2012/08/07	2013/04/29	50.00
67190	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 D	200,000,000	2012/08/07	2012/11/29	50.00
67245	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 E	200,000,000	2012/08/07	2012/11/29	50.00
67283	Credit Suisse AG – HSI R Bull CBBC Nov 2012 A	400,000,000	2012/08/07	2012/11/29	100.00
67274	Credit Suisse AG – HSI R Bull CBBC Nov 2012 F	400,000,000	2012/08/07	2012/11/29	100.00
67277	Credit Suisse AG – HSI R Bull CBBC Nov 2012 H	400,000,000	2012/08/07	2012/11/29	100.00
67347	Credit Suisse AG – HSI R Bull CBBC Nov 2012 I	400,000,000	2012/08/07	2012/11/29	100.00
67345	Credit Suisse AG – HSI R Bull CBBC Nov 2012 L	400,000,000	2012/08/07	2012/11/29	100.00
67235	Credit Suisse AG – HSI R Bear CBBC Nov 2012 B	400,000,000	2012/08/07	2012/11/29	100.00
67232	Credit Suisse AG – HSI R Bear CBBC Nov 2012 O	400,000,000	2012/08/07	2012/11/29	100.00
67291	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 C	158,000,000	2012/08/07	2013/01/30	39.50
67366	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 G	150,000,000	2012/08/07	2012/11/29	38.85

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67369	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 H	150,000,000	2012/08/07	2012/11/29	37.50
67370	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 I	150,000,000	2012/08/07	2012/11/29	37.50
67373	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 J	150,000,000	2012/08/07	2012/11/29	37.80
67377	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 C	150,000,000	2012/08/07	2012/11/29	37.65
67188	HK Bank – China Mobile R Bull CBBC Mar 2013 A	80,000,000	2012/08/07	2013/03/04	20.00
67170	HK Bank – China Mobile R Bear CBBC Mar 2013 A	50,000,000	2012/08/07	2013/03/04	12.50
67173	HK Bank – HSI R Bull CBBC Mar 2013 E	100,000,000	2012/08/07	2013/03/27	25.00
67172	HK Bank – HSI R Bull CBBC Apr 2013 C	100,000,000	2012/08/07	2013/04/29	25.00
67169	HK Bank – ICBC R Bull CBBC Mar 2013 B	60,000,000	2012/08/07	2013/03/21	15.00
67380	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 G	300,000,000	2012/08/07	2013/04/29	75.00
67386	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 H	300,000,000	2012/08/07	2013/04/29	75.00
67348	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 C	60,000,000	2012/08/07	2012/11/29	15.00
67352	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 D	60,000,000	2012/08/07	2012/11/29	15.00
67365	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 E	60,000,000	2012/08/07	2012/11/29	15.00
67272	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/08/07	2012/11/29	50.00
67270	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/08/07	2012/11/29	50.00
67191	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12M	200,000,000	2012/08/07	2012/11/29	50.00
67213	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12N	200,000,000	2012/08/07	2012/11/29	50.00
67273	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12O	200,000,000	2012/08/07	2012/11/29	50.00
67295	UBS AG – HSI R Bull CBBC Nov 2012 K	300,000,000	2012/08/07	2012/11/29	75.00
67305	UBS AG – HSI R Bull CBBC Jan 2013 G	300,000,000	2012/08/07	2013/01/30	75.00
67293	UBS AG – HSI R Bull CBBC Feb 2013 J	300,000,000	2012/08/07	2013/02/27	75.00
67237	UBS AG – HSI R Bear CBBC Nov 2012 X	300,000,000	2012/08/07	2012/11/29	75.00
67394	UBS AG – HSI R Bear CBBC Nov 2012 Y	300,000,000	2012/08/07	2012/11/29	75.00
67391	UBS AG – HSI R Bear CBBC Dec 2012 W	300,000,000	2012/08/07	2012/12/28	75.00
67236	UBS AG – HSI R Bear CBBC Feb 2013 L	300,000,000	2012/08/07	2013/02/27	75.00
67758	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 G	200,000,000	2012/08/08	2013/03/27	50.00
67761	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	200,000,000	2012/08/08	2013/04/29	50.00
67625	Credit Suisse AG – HSI R Bull CBBC Feb 2013 F	400,000,000	2012/08/08	2013/02/27	100.00
67653	Credit Suisse AG – HSI R Bull CBBC Feb 2013 G	400,000,000	2012/08/08	2013/02/27	100.00
67777	Credit Suisse AG – HSI R Bull CBBC Feb 2013 H	400,000,000	2012/08/08	2013/02/27	100.00
67781	Credit Suisse AG – HSI R Bull CBBC Feb 2013 I	400,000,000	2012/08/08	2013/02/27	100.00
67569	Credit Suisse AG – HSI R Bear CBBC Dec 2012 P	400,000,000	2012/08/08	2012/12/28	100.00
67467	Credit Suisse AG – HSI R Bear CBBC Dec 2012 V	400,000,000	2012/08/08	2012/12/28	100.00
67580	Credit Suisse AG – HSI R Bear CBBC Feb 2013 C	400,000,000	2012/08/08	2013/02/27	100.00
67604	Credit Suisse AG – HSI R Bear CBBC Feb 2013 D	400,000,000	2012/08/08	2013/02/27	100.00
67602	Credit Suisse AG – HSI R Bear CBBC Mar 2013 C	400,000,000	2012/08/08	2013/03/27	100.00
67612	Credit Suisse AG – HSI R Bear CBBC Mar 2013 D	400,000,000	2012/08/08	2013/03/27	100.00
67616	Credit Suisse AG – HSI R Bear CBBC Mar 2013 E	400,000,000	2012/08/08	2013/03/27	100.00
67622	Credit Suisse AG – HSI R Bear CBBC Mar 2013 F	400,000,000	2012/08/08	2013/03/27	104.00
67615	Credit Suisse AG – HSI R Bear CBBC Apr 2013 A	400,000,000	2012/08/08	2013/04/29	100.00
67618	Credit Suisse AG – HSI R Bear CBBC Apr 2013 B	400,000,000	2012/08/08	2013/04/29	102.00
67704	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 A	150,000,000	2012/08/08	2013/01/30	37.50
67728	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 B	150,000,000	2012/08/08	2013/01/30	37.50
67749	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 C	150,000,000	2012/08/08	2013/01/30	37.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67395	HK Bank – CC Bank R Bull CBBC Apr 2013 A	80,000,000	2012/08/08	2013/04/08	20.00
67404	HK Bank – HSI R Bull CBBC Mar 2013 F	100,000,000	2012/08/08	2013/03/27	25.00
67402	HK Bank – TCH R Bull CBBC Jan 2013 C	50,000,000	2012/08/08	2013/01/07	12.50
67786	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 F	60,000,000	2012/08/08	2012/11/29	15.00
67750	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12T	200,000,000	2012/08/08	2012/11/29	50.00
67752	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12I	200,000,000	2012/08/08	2012/12/28	50.00
67753	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12J	200,000,000	2012/08/08	2012/12/28	50.00
67774	UBS AG – CC Bank R Bull CBBC Mar 2013 A	100,000,000	2012/08/08	2013/03/18	25.00
67783	UBS AG – Cheung Kong R Bear CBBC Mar 2013 A	100,000,000	2012/08/08	2013/03/04	25.00
67772	UBS AG – HSCEI R Bull CBBC Feb 2013 A	100,000,000	2012/08/08	2013/02/27	25.00
67764	UBS AG – HSI R Bull CBBC Nov 2012 N	300,000,000	2012/08/08	2012/11/29	75.00
67770	UBS AG – HSI R Bull CBBC Mar 2013 J	300,000,000	2012/08/08	2013/03/27	75.00
67766	UBS AG – HSI R Bull CBBC Apr 2013 C	300,000,000	2012/08/08	2013/04/29	75.00
67423	UBS AG – HSI R Bear CBBC Nov 2012 Z	300,000,000	2012/08/08	2012/11/29	75.00
67762	UBS AG – HSI R Bear CBBC Feb 2013 M	300,000,000	2012/08/08	2013/02/27	75.00
67773	UBS AG – ICBC R Bull CBBC Feb 2013 A	100,000,000	2012/08/08	2013/02/18	25.00
67788	Credit Suisse AG – HSI R Bull CBBC Feb 2013 J	400,000,000	2012/08/09	2013/02/27	100.00
67804	Credit Suisse AG – HSI R Bull CBBC Feb 2013 K	400,000,000	2012/08/09	2013/02/27	100.00
67805	Credit Suisse AG – HSI R Bear CBBC Feb 2013 E	400,000,000	2012/08/09	2013/02/27	100.00
67890	Credit Suisse AG – HSI R Bear CBBC Feb 2013 F	400,000,000	2012/08/09	2013/02/27	100.00
67911	Daiwa Capital Mkt – HSI R Bear CBBC Feb 2013 A	158,000,000	2012/08/09	2013/02/27	39.50
67912	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 K	60,000,000	2012/08/09	2012/12/28	15.00
67845	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12U	300,000,000	2012/08/09	2012/12/28	75.00
67846	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12V	300,000,000	2012/08/09	2012/12/28	75.00
67847	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12W	300,000,000	2012/08/09	2012/12/28	75.00
67848	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12K	300,000,000	2012/08/09	2012/12/28	75.00
67879	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12L	300,000,000	2012/08/09	2012/12/28	75.00
67880	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12M	300,000,000	2012/08/09	2012/12/28	75.00
67886	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12N	300,000,000	2012/08/09	2012/12/28	75.00
67843	UBS AG – HSI R Bull CBBC Feb 2013 K	300,000,000	2012/08/09	2013/02/27	75.00
67841	UBS AG – HSI R Bull CBBC May 2013 H	300,000,000	2012/08/09	2013/05/30	75.00
67909	UBS AG – HSI R Bear CBBC Mar 2013 I	300,000,000	2012/08/09	2013/03/27	75.00
67892	UBS AG – HSI R Bear CBBC Apr 2013 D	300,000,000	2012/08/09	2013/04/29	75.00
67910	UBS AG – HSI R Bear CBBC Apr 2013 E	300,000,000	2012/08/09	2013/04/29	75.00
67937	Credit Suisse AG – HSI R Bull CBBC Nov 2012 K	400,000,000	2012/08/10	2012/11/29	100.00
67938	Credit Suisse AG – HSI R Bear CBBC Nov 2012 U	400,000,000	2012/08/10	2012/11/29	100.00
67939	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Z	400,000,000	2012/08/10	2012/11/29	100.00
67920	HK Bank – Anhui Conch R Bull CBBC Mar 2013 B	40,000,000	2012/08/10	2013/03/18	16.00
67921	HK Bank – COVS R Bull CBBC Apr 2013 A	40,000,000	2012/08/10	2013/04/08	10.00
67919	HK Bank – Cheung Kong R Bull CBBC Mar 2013 A	60,000,000	2012/08/10	2013/03/04	15.00
67925	HK Bank – HSCEI R Bull CBBC Jan 2013 A	68,000,000	2012/08/10	2013/01/30	17.00
67927	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12X	300,000,000	2012/08/10	2012/12/28	75.00
67931	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Y	300,000,000	2012/08/10	2012/12/28	75.00
67934	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12O	300,000,000	2012/08/10	2012/12/28	75.00
67954	UBS AG – China Mobile R Bear CBBC Mar 2013 A	100,000,000	2012/08/10	2013/03/11	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67955	UBS AG – CNOOC R Bull CBBC Jan 2013 B	100,000,000	2012/08/10	2013/01/07	25.00
67941	UBS AG – HSI R Bear CBBC Feb 2013 N	300,000,000	2012/08/10	2013/02/27	75.00
67967	UBS AG – TCH R Bull CBBC Feb 2013 A	100,000,000	2012/08/10	2013/02/25	25.00
68003	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 H	200,000,000	2012/08/13	2012/12/28	50.00
68004	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 I	200,000,000	2012/08/13	2012/12/28	50.00
67986	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 L	200,000,000	2012/08/13	2012/12/28	50.00
67987	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 M	200,000,000	2012/08/13	2012/12/28	50.00
67988	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 N	200,000,000	2012/08/13	2012/12/28	50.00
67989	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 O	200,000,000	2012/08/13	2012/12/28	50.00
62936	Credit Suisse AG – HSI R Bull CBBC Jan 2013 J	400,000,000	2012/08/13	2013/01/30	100.00
68013	Credit Suisse AG – HSI R Bull CBBC Jan 2013 X	400,000,000	2012/08/13	2013/01/30	100.00
62137	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	400,000,000	2012/08/13	2013/01/30	100.00
62440	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Z	400,000,000	2012/08/13	2013/01/30	100.00
67990	Credit Suisse AG – HSI R Bear CBBC Nov 2012 G	400,000,000	2012/08/13	2012/11/29	100.00
67991	Credit Suisse AG – HSI R Bear CBBC Nov 2012 S	400,000,000	2012/08/13	2012/11/29	100.00
67993	Credit Suisse AG – HSI R Bear CBBC Nov 2012 V	400,000,000	2012/08/13	2012/11/29	100.00
63041	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 K	150,000,000	2012/08/13	2012/11/29	37.50
63079	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 L	150,000,000	2012/08/13	2012/11/29	37.80
63255	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 D	150,000,000	2012/08/13	2012/11/29	37.80
63359	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 G	60,000,000	2012/08/13	2012/11/29	15.00
63404	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 C	60,000,000	2012/08/13	2012/11/29	15.00
68000	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12O	300,000,000	2012/08/13	2012/12/28	75.00
67998	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Z	300,000,000	2012/08/13	2012/12/28	75.00
67970	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12P	300,000,000	2012/08/13	2012/12/28	75.00
67972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Q	300,000,000	2012/08/13	2012/12/28	75.00
67978	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12R	300,000,000	2012/08/13	2012/12/28	75.00
62961	UBS AG – HKEx R Bull CBBC Feb 2013 A	100,000,000	2012/08/13	2013/02/18	25.00
67979	UBS AG – HSCEI R Bull CBBC May 2013 A	100,000,000	2012/08/13	2013/05/30	25.00
63037	UBS AG – HSI R Bull CBBC Jan 2013 C	300,000,000	2012/08/13	2013/01/30	75.00
63040	UBS AG – HSI R Bull CBBC Apr 2013 D	300,000,000	2012/08/13	2013/04/29	75.00
67981	UBS AG – HSI R Bear CBBC Jan 2013 E	300,000,000	2012/08/13	2013/01/30	75.00
67983	UBS AG – HSI R Bear CBBC Mar 2013 J	300,000,000	2012/08/13	2013/03/27	75.00
62272 [#]	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 D	200,000,000	2012/08/13	2012/12/28	10.00
64105	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 D	50,000,000	2012/08/14	2012/12/24	12.50
64106	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 E	50,000,000	2012/08/14	2012/12/24	12.50
64046	Credit Suisse AG – HSI R Bull CBBC Jan 2013 F	400,000,000	2012/08/14	2013/01/30	100.00
64065	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	400,000,000	2012/08/14	2013/01/30	100.00
64035	Credit Suisse AG – HSI R Bear CBBC Jan 2013 M	400,000,000	2012/08/14	2013/01/30	110.00
64036	Credit Suisse AG – HSI R Bear CBBC Jan 2013 N	400,000,000	2012/08/14	2013/01/30	100.00
64050	Credit Suisse AG – HSI R Bear CBBC Jan 2013 O	400,000,000	2012/08/14	2013/01/30	100.00
63961	Credit Suisse AG – HSI R Bear CBBC Feb 2013 G	400,000,000	2012/08/14	2013/02/27	126.00
63973	Credit Suisse AG – HSI R Bear CBBC Feb 2013 H	400,000,000	2012/08/14	2013/02/27	118.00
64012	Credit Suisse AG – HSI R Bear CBBC Feb 2013 I	400,000,000	2012/08/14	2013/02/27	108.00
64015	Credit Suisse AG – HSI R Bear CBBC Feb 2013 J	400,000,000	2012/08/14	2013/02/27	102.00
63927	Credit Suisse AG – HSI R Bear CBBC Mar 2013 G	400,000,000	2012/08/14	2013/03/27	130.00

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63928	Credit Suisse AG – HSI R Bear CBBC Mar 2013 H	400,000,000	2012/08/14	2013/03/27	122.00
63929	Credit Suisse AG – HSI R Bear CBBC Mar 2013 I	400,000,000	2012/08/14	2013/03/27	116.00
63946	Credit Suisse AG – HSI R Bear CBBC Mar 2013 J	400,000,000	2012/08/14	2013/03/27	106.00
64107	Credit Suisse AG – TCH R Bull CBBC Dec 2012 C	50,000,000	2012/08/14	2012/12/24	12.50
64108	Credit Suisse AG – TCH R Bull CBBC Dec 2012 D	50,000,000	2012/08/14	2012/12/24	12.50
63881	HK Bank – China Life R Bull CBBC Mar 2013 A	60,000,000	2012/08/14	2013/03/11	15.00
63904	HK Bank – CNOOC R Bull CBBC Apr 2013 A	50,000,000	2012/08/14	2013/04/08	12.50
63858	HK Bank – A50 R Bull CBBC Mar 2013 D	100,000,000	2012/08/14	2013/03/11	25.00
63889	HK Bank – HKEx R Bull CBBC Mar 2013 B	60,000,000	2012/08/14	2013/03/18	15.00
63757	HK Bank – HSI R Bull CBBC Mar 2013 G	200,000,000	2012/08/14	2013/03/27	50.00
63758	HK Bank – HSI R Bull CBBC Apr 2013 D	100,000,000	2012/08/14	2013/04/29	25.00
63850	HK Bank – HSI R Bull CBBC Apr 2013 E	100,000,000	2012/08/14	2013/04/29	25.00
63903	HK Bank – SHK Ppt R Bull CBBC Apr 2013 B	60,000,000	2012/08/14	2013/04/22	15.00
64176	J P Morgan SP BV – HSI R Bull CBBC May 2013 A	300,000,000	2012/08/14	2013/05/30	75.00
64177	J P Morgan SP BV – HSI R Bull CBBC May 2013 B	300,000,000	2012/08/14	2013/05/30	75.00
64232	J P Morgan SP BV – HSI R Bull CBBC May 2013 C	300,000,000	2012/08/14	2013/05/30	75.00
64233	J P Morgan SP BV – HSI R Bull CBBC May 2013 D	300,000,000	2012/08/14	2013/05/30	75.00
64103	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12S	300,000,000	2012/08/14	2012/12/28	75.00
64104	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12T	300,000,000	2012/08/14	2012/12/28	75.00
64136	UBS AG – CNOOC R Bear CBBC Feb 2013 A	100,000,000	2012/08/14	2013/02/25	28.50
64135	UBS AG – Sinopec Corp R Bull CBBC Mar 2013 A	100,000,000	2012/08/14	2013/03/18	25.00
64109	UBS AG – HSBC R Bull CBBC Mar 2013 A	100,000,000	2012/08/14	2013/03/11	25.00
64172	UBS AG – HSI R Bull CBBC Nov 2012 U	300,000,000	2012/08/14	2012/11/29	75.00
64160	UBS AG – HSI R Bull CBBC May 2013 I	300,000,000	2012/08/14	2013/05/30	75.00
64037	UBS AG – HSI R Bear CBBC Nov 2012 H	300,000,000	2012/08/14	2012/11/29	75.00
64153	UBS AG – ICBC R Bull CBBC Mar 2013 A	100,000,000	2012/08/14	2013/03/25	25.00
64110	UBS AG – SHK Ppt R Bear CBBC Feb 2013 A	100,000,000	2012/08/14	2013/02/18	25.00
64256	Credit Suisse AG – HSI R Bull CBBC Feb 2013 L	400,000,000	2012/08/15	2013/02/27	100.00
64245	Credit Suisse AG – HSI R Bear CBBC Nov 2012 W	400,000,000	2012/08/15	2012/11/29	100.00
64321	Daiwa Capital Mkt – HSI R Bear CBBC Feb 2013 B	158,000,000	2012/08/15	2013/02/27	39.50
64346	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 A	158,000,000	2012/08/15	2013/03/27	39.50
64357	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 B	158,000,000	2012/08/15	2013/03/27	39.50
64316	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12U	300,000,000	2012/08/15	2012/12/28	75.00
64364	UBS AG – HKEx R Bull CBBC May 2013 A	100,000,000	2012/08/15	2013/05/13	25.00
64380	UBS AG – HSCEI R Bear CBBC Nov 2012 D	100,000,000	2012/08/15	2012/11/29	25.00
64369	UBS AG – HSCEI R Bear CBBC Dec 2012 A	100,000,000	2012/08/15	2012/12/28	25.00
64387	UBS AG – HSCEI R Bear CBBC Dec 2012 B	100,000,000	2012/08/15	2012/12/28	25.00
64393	UBS AG – HSI R Bull CBBC Mar 2013 K	300,000,000	2012/08/15	2013/03/27	75.00
64234	UBS AG – HSI R Bear CBBC Jan 2013 F	300,000,000	2012/08/15	2013/01/30	75.00
64583	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 B	200,000,000	2012/08/16	2013/03/27	50.00
64589	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	200,000,000	2012/08/16	2013/04/29	50.00
64590	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	200,000,000	2012/08/16	2013/04/29	50.00
64588	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 P	200,000,000	2012/08/16	2012/12/28	50.00
64484	Credit Suisse AG – HSI R Bull CBBC Feb 2013 M	400,000,000	2012/08/16	2013/02/27	100.00
64753	Credit Suisse AG – HSI R Bull CBBC Feb 2013 N	400,000,000	2012/08/16	2013/02/27	100.00

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64754	Credit Suisse AG – HSI R Bull CBBC Feb 2013 O	400,000,000	2012/08/16	2013/02/27	100.00
64482	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Q	400,000,000	2012/08/16	2012/12/28	100.00
64737	Credit Suisse AG – HSI R Bear CBBC Dec 2012 W	400,000,000	2012/08/16	2012/12/28	100.00
64643	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 D	158,000,000	2012/08/16	2013/01/30	39.50
64592	Daiwa Capital Mkt – HSI R Bear CBBC Jan 2013 C	158,000,000	2012/08/16	2013/01/30	39.50
64808	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 M	150,000,000	2012/08/16	2012/11/29	38.25
64817	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 N	150,000,000	2012/08/16	2012/11/29	38.40
64845	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 E	150,000,000	2012/08/16	2012/11/29	39.45
64472	HK Bank – ABC R Bull CBBC Nov 2013 A	60,000,000	2012/08/16	2013/11/18	15.00
64474	HK Bank – BOCL R Bull CBBC Nov 2013 A	60,000,000	2012/08/16	2013/11/27	15.00
64463	HK Bank – Cheung Kong R Bear CBBC Apr 2013 A	50,000,000	2012/08/16	2013/04/15	12.50
64935	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 D	60,000,000	2012/08/16	2012/11/29	15.00
64959	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 E	60,000,000	2012/08/16	2012/11/29	15.00
64489	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	300,000,000	2012/08/16	2012/12/28	75.00
64556	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	300,000,000	2012/08/16	2012/12/28	75.00
64559	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12P	300,000,000	2012/08/16	2012/11/29	75.00
64582	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12Q	300,000,000	2012/08/16	2012/11/29	75.00
64644	UBS AG – China Life R Bull CBBC May 2013 B	100,000,000	2012/08/16	2013/05/06	25.00
64806	UBS AG – HSI R Bull CBBC May 2013 J	300,000,000	2012/08/16	2013/05/30	75.00
64804	UBS AG – HSI R Bull CBBC Jun 2013 H	300,000,000	2012/08/16	2013/06/27	75.00
64710	UBS AG – HSI R Bear CBBC Jan 2013 G	300,000,000	2012/08/16	2013/01/30	75.00
64480	UBS AG – HSI R Bear CBBC May 2013 G	300,000,000	2012/08/16	2013/05/30	75.00
65151	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 Q	200,000,000	2012/08/17	2012/12/28	50.00
65108	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	400,000,000	2012/08/17	2013/01/30	100.00
65127	Credit Suisse AG – HSI R Bull CBBC Jan 2013 K	400,000,000	2012/08/17	2013/01/30	100.00
65150	Credit Suisse AG – HSI R Bear CBBC Jan 2013 P	400,000,000	2012/08/17	2013/01/30	100.00
65164	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 F	150,000,000	2012/08/17	2012/11/29	37.95
65530	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 G	150,000,000	2012/08/17	2012/11/29	38.55
64960	HK Bank – ABC R Bear CBBC Apr 2013 A	40,000,000	2012/08/17	2013/04/22	10.00
64962	HK Bank – Cheung Kong R Bull CBBC Apr 2013 A	60,000,000	2012/08/17	2013/04/15	15.00
64961	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 C	60,000,000	2012/08/17	2013/04/08	15.00
65024	HK Bank – HSI R Bull CBBC Apr 2013 F	100,000,000	2012/08/17	2013/04/29	25.00
65036	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13E	300,000,000	2012/08/17	2013/01/30	75.00
65054	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13F	300,000,000	2012/08/17	2013/01/30	75.00
65082	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12V	300,000,000	2012/08/17	2012/12/28	75.00
65139	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12W	300,000,000	2012/08/17	2012/12/28	75.00
65130	UBS AG – HSI R Bull CBBC Dec 2012 K	300,000,000	2012/08/17	2012/12/28	75.00
65155	UBS AG – HSI R Bear CBBC Nov 2012 E	300,000,000	2012/08/17	2012/11/29	75.00
65154	UBS AG – HSI R Bear CBBC Dec 2012 X	300,000,000	2012/08/17	2012/12/28	75.00
65536	Credit Suisse AG – HSI R Bull CBBC Jan 2013 L	400,000,000	2012/08/20	2013/01/30	100.00
65532	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Q	400,000,000	2012/08/20	2013/01/30	100.00
65688	UBS AG – Cheung Kong R Bull CBBC Jun 2013 A	100,000,000	2012/08/20	2013/06/10	25.00
65531	UBS AG – HSI R Bull CBBC Apr 2013 E	300,000,000	2012/08/20	2013/04/29	75.00
65689	UBS AG – HSI R Bear CBBC Mar 2013 K	300,000,000	2012/08/20	2013/03/27	75.00
65537	UBS AG – SHK Ppt R Bull CBBC Apr 2013 A	100,000,000	2012/08/20	2013/04/22	25.00

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65699	Credit Suisse AG – HSI R Bull CBBC Jan 2013 M	350,000,000	2012/08/21	2013/01/30	87.50
65691	Credit Suisse AG – HSI R Bear CBBC Jan 2013 R	350,000,000	2012/08/21	2013/01/30	87.50
65932	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 O	150,000,000	2012/08/21	2012/11/29	38.25
65933	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 H	150,000,000	2012/08/21	2012/11/29	37.65
65695	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13G	300,000,000	2012/08/21	2013/01/30	75.00
65697	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12X	300,000,000	2012/08/21	2012/12/28	75.00
65856	UBS AG – China Mobile R Bull CBBC Feb 2013 B	100,000,000	2012/08/21	2013/02/18	25.00
65794	UBS AG – China Mobile R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/22	25.00
65866	UBS AG – CC Bank R Bear CBBC May 2013 A	100,000,000	2012/08/21	2013/05/06	25.00
65931	UBS AG – Cheung Kong R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/15	25.00
65755	UBS AG – China Life R Bear CBBC Feb 2013 B	100,000,000	2012/08/21	2013/02/18	25.00
65705	UBS AG – China Life R Bear CBBC Mar 2013 A	100,000,000	2012/08/21	2013/03/25	40.00
65930	UBS AG – HSBC R Bull CBBC Feb 2013 A	100,000,000	2012/08/21	2013/02/18	25.00
65912	UBS AG – HSBC R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/22	25.00
65704	UBS AG – HSI R Bull CBBC Feb 2013 L	300,000,000	2012/08/21	2013/02/27	75.00
65765	UBS AG – ICBC R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/22	25.00
65911	UBS AG – SHK Ppt R Bear CBBC Feb 2013 B	100,000,000	2012/08/21	2013/02/18	25.00
65910	UBS AG – TCH R Bear CBBC Mar 2013 A	100,000,000	2012/08/21	2013/03/25	38.00
66248	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 R	200,000,000	2012/08/22	2012/12/28	50.00
66534	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 S	200,000,000	2012/08/22	2012/12/28	50.00
66604	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 T	200,000,000	2012/08/22	2012/12/28	50.00
66737	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 U	200,000,000	2012/08/22	2012/12/28	50.00
66803	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 V	200,000,000	2012/08/22	2012/12/28	50.00
67631	Credit Suisse AG – A50 China R Bull CBBC Dec 2012 A	50,000,000	2012/08/22	2012/12/24	12.50
67516	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 A	50,000,000	2012/08/22	2013/01/28	12.50
67648	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 B	50,000,000	2012/08/22	2013/01/28	12.50
67838	Credit Suisse AG – A50 China R Bear CBBC Dec 2012 A	50,000,000	2012/08/22	2012/12/24	12.50
67922	Credit Suisse AG – A50 China R Bear CBBC Jan 2013 A	50,000,000	2012/08/22	2013/01/28	12.50
67933	Credit Suisse AG – HSI R Bull CBBC Feb 2013 P	350,000,000	2012/08/22	2013/02/27	87.50
67948	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Q	350,000,000	2012/08/22	2013/02/27	87.50
68035	Credit Suisse AG – HSI R Bear CBBC Feb 2013 K	350,000,000	2012/08/22	2013/02/27	87.50
68036	Credit Suisse AG – HSI R Bear CBBC Feb 2013 L	350,000,000	2012/08/22	2013/02/27	87.50
65982	HK Bank – China Mobile R Bull CBBC Apr 2013 A	60,000,000	2012/08/22	2013/04/15	15.00
65938	HK Bank – CUni R Bull CBBC Apr 2013 B	40,000,000	2012/08/22	2013/04/08	12.00
65946	HK Bank – China Shenhua R Bull CBBC Dec 2013 A	40,000,000	2012/08/22	2013/12/18	10.00
65994	HK Bank – A50 R Bull CBBC Feb 2013 C	100,000,000	2012/08/22	2013/02/04	25.00
65983	HK Bank – A50 R Bear CBBC Apr 2013 B	50,000,000	2012/08/22	2013/04/15	12.50
65995	HK Bank – SHK Ppt R Bull CBBC May 2013 A	60,000,000	2012/08/22	2013/05/06	15.00
68006	UBS AG – BOC HK R Bear CBBC Apr 2013 A	100,000,000	2012/08/22	2013/04/15	31.00
67962	UBS AG – BOCL R Bull CBBC Jul 2013 A	100,000,000	2012/08/22	2013/07/08	25.00
67956	UBS AG – BOCL R Bear CBBC Jun 2013 A	100,000,000	2012/08/22	2013/06/24	25.00
68029	UBS AG – CUni R Bear CBBC Apr 2013 A	100,000,000	2012/08/22	2013/04/08	25.00
68034	UBS AG – Sinopec Corp R Bull CBBC Jun 2013 A	100,000,000	2012/08/22	2013/06/17	25.00
67952	UBS AG – HSI R Bear CBBC Apr 2013 F	300,000,000	2012/08/22	2013/04/29	75.00
68086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 J	200,000,000	2012/08/23	2012/12/28	50.00

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68078	Credit Suisse AG – HSI R Bull CBBC Feb 2013 R	350,000,000	2012/08/23	2013/02/27	87.50
68085	Credit Suisse AG – HSI R Bull CBBC Feb 2013 S	350,000,000	2012/08/23	2013/02/27	87.50
68067	Credit Suisse AG – HSI R Bear CBBC Nov 2012 R	350,000,000	2012/08/23	2012/11/29	87.50
68066	Credit Suisse AG – HSI R Bear CBBC Nov 2012 X	350,000,000	2012/08/23	2012/11/29	87.50
68070	Credit Suisse AG – TCH R Bull CBBC Jan 2013 A	50,000,000	2012/08/23	2013/01/28	12.50
68072	Credit Suisse AG – TCH R Bull CBBC Jan 2013 B	50,000,000	2012/08/23	2013/01/28	12.50
68073	Credit Suisse AG – TCH R Bull CBBC Jan 2013 C	50,000,000	2012/08/23	2013/01/28	12.50
68074	Credit Suisse AG – TCH R Bear CBBC Jan 2013 A	50,000,000	2012/08/23	2013/01/28	12.50
68077	Credit Suisse AG – TCH R Bear CBBC Jan 2013 B	50,000,000	2012/08/23	2013/01/28	12.50
68098	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 I	150,000,000	2012/08/23	2012/11/29	38.10
68058	HK Bank – BOC HK R Bull CBBC Apr 2013 A	40,000,000	2012/08/23	2013/04/17	11.20
68056	HK Bank – Evergrande R Bull CBBC Oct 2013 A	50,000,000	2012/08/23	2013/10/18	12.50
68059	HK Bank – Galaxy Ent R Bear CBBC Apr 2013 A	40,000,000	2012/08/23	2013/04/24	14.00
68057	HK Bank – TCH R Bear CBBC Apr 2013 A	50,000,000	2012/08/23	2013/04/10	12.50
68111	J P Morgan SP BV – HSI R Bull CBBC May 2013 E	300,000,000	2012/08/23	2013/05/30	75.00
68114	J P Morgan SP BV – HSI R Bull CBBC May 2013 F	300,000,000	2012/08/23	2013/05/30	75.00
68100	J P Morgan SP BV – HSI R Bear CBBC May 2013 A	300,000,000	2012/08/23	2013/05/30	75.00
68105	J P Morgan SP BV – HSI R Bear CBBC May 2013 B	300,000,000	2012/08/23	2013/05/30	75.00
68068	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13H	300,000,000	2012/08/23	2013/01/30	75.00
68069	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13A	300,000,000	2012/08/23	2013/02/27	75.00
68092	UBS AG – China Mobile R Bear CBBC Feb 2013 A	100,000,000	2012/08/23	2013/02/25	25.00
68097	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 B	50,000,000	2012/08/23	2013/02/25	12.50
68090	UBS AG – HSI R Bull CBBC Nov 2012 W	300,000,000	2012/08/23	2012/11/29	75.00
68087	UBS AG – HSI R Bull CBBC Jun 2013 I	300,000,000	2012/08/23	2013/06/27	75.00
68062	UBS AG – HSI R Bear CBBC Jan 2013 H	300,000,000	2012/08/23	2013/01/30	75.00
68093	UBS AG – TCH R Bull CBBC Jun 2013 A	100,000,000	2012/08/23	2013/06/10	25.00
68150	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 K	200,000,000	2012/08/24	2012/12/28	50.00
68128	Credit Suisse AG – HSI R Bull CBBC Feb 2013 T	350,000,000	2012/08/24	2013/02/27	87.50
68119	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	350,000,000	2012/08/24	2012/11/29	87.50
68120	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Y	350,000,000	2012/08/24	2012/11/29	87.50
68152	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 P	150,000,000	2012/08/24	2012/11/29	37.50
68153	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 Q	150,000,000	2012/08/24	2012/11/29	37.95
68151	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 J	150,000,000	2012/08/24	2012/11/29	37.65
68116	HK Bank – China Mobile R Bear CBBC Apr 2013 A	50,000,000	2012/08/24	2013/04/15	12.50
68117	HK Bank – A50 R Bear CBBC Apr 2013 C	50,000,000	2012/08/24	2013/04/15	12.50
68118	HK Bank – TCH R Bull CBBC Feb 2013 A	50,000,000	2012/08/24	2013/02/04	12.50
68133	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13I	300,000,000	2012/08/24	2013/01/30	75.00
68136	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12I	300,000,000	2012/08/24	2012/12/28	78.00
68138	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12J	300,000,000	2012/08/24	2012/12/28	81.00
68139	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12L	300,000,000	2012/08/24	2012/12/28	85.50
68140	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12M	300,000,000	2012/08/24	2012/12/28	96.00
68121	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Y	300,000,000	2012/08/24	2012/12/28	75.00
68127	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Z	300,000,000	2012/08/24	2012/12/28	75.00
68146	UBS AG – BOC HK R Bull CBBC Jun 2013 A	50,000,000	2012/08/24	2013/06/24	12.75
68141	UBS AG – HSI R Bull CBBC Jun 2013 J	300,000,000	2012/08/24	2013/06/27	75.00

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68130	UBS AG – HSI R Bear CBBC Nov 2012 I	300,000,000	2012/08/24	2012/11/29	75.00
68129	UBS AG – HSI R Bear CBBC May 2013 H	300,000,000	2012/08/24	2013/05/30	75.00
68148	UBS AG – TCH R Bull CBBC Jan 2013 B	100,000,000	2012/08/24	2013/01/14	25.00
68147	UBS AG – TCH R Bear CBBC Apr 2013 A	100,000,000	2012/08/24	2013/04/08	48.00
68164	Credit Suisse AG – China Mobile R Bear CBBC Jan 2013 A	50,000,000	2012/08/27	2013/01/28	12.50
68165	Credit Suisse AG – China Mobile R Bear CBBC Jan 2013 B	50,000,000	2012/08/27	2013/01/28	12.50
68161	Credit Suisse AG – China Life R Bull CBBC Jan 2013 A	50,000,000	2012/08/27	2013/01/28	16.25
68162	Credit Suisse AG – China Life R Bull CBBC Jan 2013 B	50,000,000	2012/08/27	2013/01/28	12.50
68163	Credit Suisse AG – China Life R Bear CBBC Jan 2013 A	50,000,000	2012/08/27	2013/01/28	12.50
68160	Credit Suisse AG – HSI R Bull CBBC Jan 2013 T	350,000,000	2012/08/27	2013/01/30	87.50
68166	Credit Suisse AG – HSI R Bear CBBC Jan 2013 S	350,000,000	2012/08/27	2013/01/30	87.50
68154	HK Bank – A50 R Bull CBBC Apr 2013 C	100,000,000	2012/08/27	2013/04/22	25.00
68155	HK Bank – A50 R Bull CBBC Apr 2013 D	100,000,000	2012/08/27	2013/04/29	25.00
68168	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13J	300,000,000	2012/08/27	2013/01/30	75.00
68167	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12N	300,000,000	2012/08/27	2012/12/28	75.00
68181	UBS AG – A50 China R Bear CBBC Nov 2012 C	100,000,000	2012/08/27	2012/11/26	25.00
68156	UBS AG – HSI R Bull CBBC Apr 2013 F	300,000,000	2012/08/27	2013/04/29	75.00
68180	UBS AG – HSI R Bull CBBC Jun 2013 K	300,000,000	2012/08/27	2013/06/27	75.00
68169	UBS AG – HSI R Bull CBBC Sep 2013 B	300,000,000	2012/08/27	2013/09/27	75.00
68188	UBS AG – HSI R Bear CBBC Jan 2013 I	300,000,000	2012/08/27	2013/01/30	75.00
68189	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 W	200,000,000	2012/08/28	2012/12/28	50.00
68190	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 X	200,000,000	2012/08/28	2012/12/28	50.00
68191	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 Y	200,000,000	2012/08/28	2012/12/28	50.00
68218	Credit Suisse AG – HSI R Bull CBBC Feb 2013 U	350,000,000	2012/08/28	2013/02/27	87.50
68222	Credit Suisse AG – HSI R Bear CBBC Feb 2013 M	350,000,000	2012/08/28	2013/02/27	87.50
68216	HK Bank – China Mobile R Bear CBBC Apr 2013 B	50,000,000	2012/08/28	2013/04/15	12.50
68211	HK Bank – CC Bank R Bull CBBC Mar 2013 D	60,000,000	2012/08/28	2013/03/11	15.00
68204	HK Bank – A50 R Bear CBBC Apr 2013 D	50,000,000	2012/08/28	2013/04/15	12.50
68210	HK Bank – HSI R Bull CBBC May 2013 D	200,000,000	2012/08/28	2013/05/30	50.00
68198	HK Bank – ICB R Bear CBBC Apr 2013 A	50,000,000	2012/08/28	2013/04/15	12.50
68205	HK Bank – TCH R Bull CBBC Apr 2013 A	50,000,000	2012/08/28	2013/04/10	12.50
68208	HK Bank – TCH R Bear CBBC Feb 2013 B	50,000,000	2012/08/28	2013/02/08	24.00
68225	UBS AG – HSI R Bear CBBC Mar 2013 L	300,000,000	2012/08/28	2013/03/27	75.00
68229	UBS AG – HSI R Bear CBBC Apr 2013 G	300,000,000	2012/08/28	2013/04/29	75.00
68230	UBS AG – HSI R Bear CBBC Apr 2013 H	300,000,000	2012/08/28	2013/04/29	75.00
68232	UBS AG – HSI R Bear CBBC Jun 2013 B	300,000,000	2012/08/28	2013/06/27	75.00
68227	UBS AG – Sands China R Bull CBBC Apr 2013 A	50,000,000	2012/08/28	2013/04/08	32.00
68226	UBS AG – Sands China R Bear CBBC Mar 2013 A	50,000,000	2012/08/28	2013/03/18	28.50
68275	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 L	200,000,000	2012/08/29	2012/12/28	50.00
68272	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 Z	200,000,000	2012/08/29	2012/12/28	50.00
68248	Credit Suisse AG – HSI R Bull CBBC Feb 2013 V	350,000,000	2012/08/29	2013/02/27	87.50
68251	Credit Suisse AG – HSI R Bull CBBC Feb 2013 W	350,000,000	2012/08/29	2013/02/27	87.50
68244	Credit Suisse AG – HSI R Bear CBBC Feb 2013 N	350,000,000	2012/08/29	2013/02/27	87.50
68287	Credit Suisse AG – HSI R Bear CBBC Feb 2013 P	350,000,000	2012/08/29	2013/02/27	87.50
68288	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Q	350,000,000	2012/08/29	2013/02/27	87.50

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68238	Credit Suisse AG – HSI R Bear CBBC Mar 2013 K	350,000,000	2012/08/29	2013/03/27	87.50
68239	Credit Suisse AG – HSI R Bear CBBC Mar 2013 L	350,000,000	2012/08/29	2013/03/27	87.50
68241	Credit Suisse AG – HSI R Bear CBBC Mar 2013 M	350,000,000	2012/08/29	2013/03/27	87.50
68242	Credit Suisse AG – HSI R Bear CBBC Apr 2013 C	350,000,000	2012/08/29	2013/04/29	87.50
68243	Credit Suisse AG – HSI R Bear CBBC Apr 2013 D	350,000,000	2012/08/29	2013/04/29	87.50
68293	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 K	150,000,000	2012/08/29	2012/11/29	37.80
68235	HK Bank – CNOOC R Bear CBBC Apr 2013 B	50,000,000	2012/08/29	2013/04/22	12.50
68290	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 L	60,000,000	2012/08/29	2012/12/28	15.00
68292	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 M	60,000,000	2012/08/29	2012/12/28	15.00
68252	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13B	300,000,000	2012/08/29	2013/02/27	75.00
68269	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12O	300,000,000	2012/08/29	2012/12/28	75.00
68285	UBS AG – HSCEI R Bull CBBC Apr 2013 A	100,000,000	2012/08/29	2013/04/29	25.00
68284	UBS AG – HSCEI R Bear CBBC Feb 2013 A	100,000,000	2012/08/29	2013/02/27	25.00
68283	UBS AG – HSI R Bull CBBC Jan 2013 L	300,000,000	2012/08/29	2013/01/30	75.00
68289	UBS AG – HSI R Bear CBBC Dec 2012 Y	300,000,000	2012/08/29	2012/12/28	75.00
68281	UBS AG – HSI R Bear CBBC Feb 2013 O	300,000,000	2012/08/29	2013/02/27	75.00
68277	UBS AG – HSI R Bear CBBC Mar 2013 M	300,000,000	2012/08/29	2013/03/27	75.00
68276	UBS AG – HSI R Bear CBBC Apr 2013 I	300,000,000	2012/08/29	2013/04/29	75.00
68305	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 M	200,000,000	2012/08/30	2012/12/28	50.00
68306	Credit Suisse AG – HSI R Bull CBBC Jan 2013 U	350,000,000	2012/08/30	2013/01/30	87.50
68307	Credit Suisse AG – HSI R Bull CBBC Jan 2013 X	350,000,000	2012/08/30	2013/01/30	87.50
68303	Credit Suisse AG – HSI R Bull CBBC Feb 2013 X	350,000,000	2012/08/30	2013/02/27	87.50
68304	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Y	350,000,000	2012/08/30	2013/02/27	87.50
68298	Credit Suisse AG – HSI R Bear CBBC Feb 2013 R	350,000,000	2012/08/30	2013/02/27	87.50
68299	Credit Suisse AG – HSI R Bear CBBC Feb 2013 S	350,000,000	2012/08/30	2013/02/27	87.50
68300	Credit Suisse AG – HSI R Bear CBBC Feb 2013 T	350,000,000	2012/08/30	2013/02/27	87.50
68296	HK Bank – Kunlun Energy R Bull CBBC Apr 2013 A	40,000,000	2012/08/30	2013/04/08	10.00
68294	HK Bank – Sands China R Bull CBBC Dec 2013 B	50,000,000	2012/08/30	2013/12/18	12.50
68309	UBS AG – HSI R Bull CBBC Jun 2013 L	300,000,000	2012/08/30	2013/06/27	75.00
68301	UBS AG – HSI R Bear CBBC Jan 2013 J	300,000,000	2012/08/30	2013/01/30	75.00
68302	UBS AG – HSI R Bear CBBC Feb 2013 P	300,000,000	2012/08/30	2013/02/27	75.00
68331	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 C	200,000,000	2012/08/31	2013/03/27	50.00
68317	Credit Suisse AG – HSI R Bull CBBC Feb 2013 L	350,000,000	2012/08/31	2013/02/27	87.50
68315	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Z	350,000,000	2012/08/31	2013/02/27	87.50
62707	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Y	350,000,000	2012/08/31	2012/12/28	87.50
68330	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 A	150,000,000	2012/08/31	2012/12/28	37.95
68327	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 A	150,000,000	2012/08/31	2012/12/28	37.80
68310	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12O	300,000,000	2012/08/31	2012/12/28	75.00
68311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	300,000,000	2012/08/31	2012/12/28	75.00
68314	UBS AG – HSI R Bull CBBC Mar 2013 L	300,000,000	2012/08/31	2013/03/27	75.00
68335	UBS AG – HSI R Bull CBBC Apr 2013 G	300,000,000	2012/08/31	2013/04/29	75.00
66834#	HK Bank – A50 R Bull CBBC Jan 2013 C	150,000,000	2012/08/31	2013/01/21	10.80
63063	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 S	200,000,000	2012/09/03	2013/04/29	50.00
63021	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	350,000,000	2012/09/03	2013/01/30	87.50
63067	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Z	350,000,000	2012/09/03	2012/12/28	87.50

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63069	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 B	150,000,000	2012/09/03	2012/12/28	38.25
63068	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 B	150,000,000	2012/09/03	2012/12/28	37.95
63046	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	300,000,000	2012/09/03	2012/12/28	75.00
63057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12P	300,000,000	2012/09/03	2012/12/28	75.00
63060	UBS AG – China Life R Bear CBBC Mar 2013 B	100,000,000	2012/09/03	2013/03/11	25.00
63059	UBS AG – A50 China R Bear CBBC Feb 2013 B	100,000,000	2012/09/03	2013/02/04	25.00
63061	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 C	50,000,000	2012/09/03	2013/02/25	17.00
63058	UBS AG – HSI R Bull CBBC Jun 2013 M	300,000,000	2012/09/03	2013/06/27	75.00
63064	UBS AG – HSI R Bear CBBC Feb 2013 Q	300,000,000	2012/09/03	2013/02/27	75.00
63101	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 D	200,000,000	2012/09/04	2012/12/28	50.00
63104	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 E	200,000,000	2012/09/04	2012/12/28	50.00
63085	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	350,000,000	2012/09/04	2013/01/30	87.50
63086	Credit Suisse AG – HSI R Bear CBBC Jan 2013 T	350,000,000	2012/09/04	2013/01/30	87.50
63087	Credit Suisse AG – HSI R Bear CBBC Jan 2013 U	350,000,000	2012/09/04	2013/01/30	87.50
63078	HK Bank – China Life R Bear CBBC Mar 2013 A	50,000,000	2012/09/04	2013/03/11	12.50
63081	HK Bank – A50 R Bull CBBC Apr 2013 E	100,000,000	2012/09/04	2013/04/18	25.00
63080	HK Bank – A50 R Bear CBBC Apr 2013 E	50,000,000	2012/09/04	2013/04/22	12.50
63071	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 L	60,000,000	2012/09/04	2012/12/28	15.00
63072	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 M	60,000,000	2012/09/04	2012/12/28	15.00
63070	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 A	60,000,000	2012/09/04	2013/01/30	15.00
63073	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 N	60,000,000	2012/09/04	2012/12/28	15.00
63074	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 O	60,000,000	2012/09/04	2012/12/28	15.00
63090	UBS AG – A50 China R Bull CBBC Feb 2013 B	100,000,000	2012/09/04	2013/02/18	25.00
63091	UBS AG – A50 China R Bull CBBC Mar 2013 B	100,000,000	2012/09/04	2013/03/25	25.00
63089	UBS AG – HSCEI R Bear CBBC Jan 2013 A	100,000,000	2012/09/04	2013/01/30	25.00
63084	UBS AG – HSI R Bull CBBC Dec 2012 X	300,000,000	2012/09/04	2012/12/28	75.00
63105	UBS AG – HSI R Bull CBBC Aug 2013 A	300,000,000	2012/09/04	2013/08/29	75.00
63092	UBS AG – HSI R Bear CBBC Apr 2013 J	300,000,000	2012/09/04	2013/04/29	75.00
63106	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Z	350,000,000	2012/09/05	2013/01/30	87.50
63107	Credit Suisse AG – HSI R Bear CBBC Jan 2013 V	350,000,000	2012/09/05	2013/01/30	87.50
63124	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 A	150,000,000	2012/09/05	2013/03/27	37.50
63122	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 A	150,000,000	2012/09/05	2013/01/30	37.50
63123	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 B	150,000,000	2012/09/05	2013/01/30	37.50
63108	HK Bank – Galaxy Ent R Bull CBBC Dec 2013 B	40,000,000	2012/09/05	2013/12/16	10.00
63116	HK Bank – Galaxy Ent R Bear CBBC Dec 2013 B	40,000,000	2012/09/05	2013/12/16	10.00
63125	J P Morgan SP BV – HSI R Bear CBBC May 2013 C	300,000,000	2012/09/05	2013/05/30	75.00
63138	J P Morgan SP BV – HSI R Bear CBBC May 2013 D	300,000,000	2012/09/05	2013/05/30	75.00
63118	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13K	300,000,000	2012/09/05	2013/01/30	75.00
63119	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Q	300,000,000	2012/09/05	2012/12/28	75.00
63121	UBS AG – HSI R Bull CBBC Oct 2013 A	300,000,000	2012/09/05	2013/10/30	75.00
63120	UBS AG – HSI R Bear CBBC Mar 2013 N	300,000,000	2012/09/05	2013/03/27	75.00
63149	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 G	200,000,000	2012/09/06	2013/04/29	50.00
63170	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 F	200,000,000	2012/09/06	2012/12/28	50.00
63171	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 G	200,000,000	2012/09/06	2012/12/28	50.00
63157	Credit Suisse AG – HSI R Bull CBBC Feb 2013 M	350,000,000	2012/09/06	2013/02/27	87.50

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63158	Credit Suisse AG – HSI R Bull CBBC Feb 2013 N	350,000,000	2012/09/06	2013/02/27	87.50
63164	Credit Suisse AG – HSI R Bear CBBC Dec 2012 I	350,000,000	2012/09/06	2012/12/28	87.50
63165	Credit Suisse AG – HSI R Bear CBBC Dec 2012 M	350,000,000	2012/09/06	2012/12/28	87.50
63166	Credit Suisse AG – HSI R Bear CBBC Dec 2012 N	350,000,000	2012/09/06	2012/12/28	87.50
63156	Bank of East Asia – CUni R Bull CBBC Feb 2013 A	60,000,000	2012/09/06	2013/02/26	15.00
63181	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 C	150,000,000	2012/09/06	2012/12/28	39.60
63182	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 C	150,000,000	2012/09/06	2012/12/28	37.95
63184	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 D	150,000,000	2012/09/06	2012/12/28	40.05
63185	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 E	150,000,000	2012/09/06	2012/12/28	41.85
63186	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 F	150,000,000	2012/09/06	2012/12/28	47.55
63147	HK Bank – CNBM R Bear CBBC Mar 2013 A	50,000,000	2012/09/06	2013/03/18	12.50
63140	HK Bank – HSCEI R Bear CBBC Mar 2013 A	68,000,000	2012/09/06	2013/03/27	17.00
63148	HK Bank – HSI R Bear CBBC Mar 2013 C	100,000,000	2012/09/06	2013/03/27	25.00
63144	HK Bank – ICBC R Bear CBBC Apr 2013 B	50,000,000	2012/09/06	2013/04/22	12.50
63152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Z	300,000,000	2012/09/06	2012/12/28	75.00
63154	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13L	300,000,000	2012/09/06	2013/01/30	75.00
63155	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13M	300,000,000	2012/09/06	2013/01/30	75.00
63179	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13N	300,000,000	2012/09/06	2013/01/30	75.00
63180	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13O	300,000,000	2012/09/06	2013/01/30	75.00
63168	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12R	300,000,000	2012/09/06	2012/12/28	75.00
63169	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13A	300,000,000	2012/09/06	2013/01/30	75.00
63177	UBS AG – Sinopec Corp R Bear CBBC Apr 2013 A	100,000,000	2012/09/06	2013/04/15	25.00
63160	UBS AG – HSI R Bull CBBC Feb 2013 M	300,000,000	2012/09/06	2013/02/27	75.00
63159	UBS AG – HSI R Bull CBBC Jun 2013 N	300,000,000	2012/09/06	2013/06/27	75.00
63172	UBS AG – HSI R Bear CBBC Dec 2012 Z	300,000,000	2012/09/06	2012/12/28	75.00
63174	UBS AG – HSI R Bear CBBC Feb 2013 R	300,000,000	2012/09/06	2013/02/27	75.00
63178	UBS AG – Hutchison R Bear CBBC Mar 2013 B	100,000,000	2012/09/06	2013/03/25	25.00
63175	UBS AG – ICBC R Bear CBBC Feb 2013 B	100,000,000	2012/09/06	2013/02/18	25.00
63176	UBS AG – TCH R Bear CBBC Apr 2013 B	100,000,000	2012/09/06	2013/04/22	25.00
63193	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Q	200,000,000	2012/09/07	2013/04/29	50.00
63208	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 H	200,000,000	2012/09/07	2012/12/28	50.00
63195	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	350,000,000	2012/09/07	2013/01/30	87.50
63203	Credit Suisse AG – HSI R Bear CBBC Jan 2013 W	350,000,000	2012/09/07	2013/01/30	87.50
63209	Credit Suisse AG – HSI R Bear CBBC Jan 2013 X	350,000,000	2012/09/07	2013/01/30	87.50
63210	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Y	350,000,000	2012/09/07	2013/01/30	87.50
63187	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 A	60,000,000	2012/09/07	2013/01/30	15.00
63189	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 B	60,000,000	2012/09/07	2013/01/30	15.00
63192	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 C	60,000,000	2012/09/07	2013/01/30	15.00
63196	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13P	300,000,000	2012/09/07	2013/01/30	75.00
63197	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13Q	300,000,000	2012/09/07	2013/01/30	75.00
63200	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13R	300,000,000	2012/09/07	2013/01/30	75.00
63201	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13C	300,000,000	2012/09/07	2013/02/27	75.00
63202	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13D	300,000,000	2012/09/07	2013/02/27	75.00
63204	UBS AG – HSI R Bull CBBC Feb 2013 N	300,000,000	2012/09/07	2013/02/27	75.00
63211	UBS AG – HSI R Bear CBBC Dec 2012 G	300,000,000	2012/09/07	2012/12/28	75.00

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63212	UBS AG – HSI R Bear CBBC Apr 2013 K	300,000,000	2012/09/07	2013/04/29	75.00
63218	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 R	200,000,000	2012/09/10	2013/04/29	50.00
63217	Credit Suisse AG – HSI R Bull CBBC Jan 2013 K	350,000,000	2012/09/10	2013/01/30	87.50
63227	Credit Suisse AG – HSI R Bull CBBC Jan 2013 L	350,000,000	2012/09/10	2013/01/30	87.50
63216	Credit Suisse AG – HSI R Bear CBBC Dec 2012 O	350,000,000	2012/09/10	2012/12/28	87.50
63245	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 D	150,000,000	2012/09/10	2012/12/28	37.50
63213	HK Bank – HKEx R Bear CBBC Mar 2013 B	50,000,000	2012/09/10	2013/03/20	12.50
63215	HK Bank – HSI R Bear CBBC Apr 2013 E	200,000,000	2012/09/10	2013/04/29	50.00
63214	HK Bank – ICBC R Bull CBBC May 2013 A	60,000,000	2012/09/10	2013/05/08	15.00
63237	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13S	300,000,000	2012/09/10	2013/01/30	75.00
63231	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13B	300,000,000	2012/09/10	2013/01/30	75.00
63234	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13C	300,000,000	2012/09/10	2013/01/30	75.00
63244	UBS AG – AIA R Bull CBBC May 2013 A	100,000,000	2012/09/10	2013/05/27	55.00
63243	UBS AG – HSI R Bull CBBC May 2013 K	300,000,000	2012/09/10	2013/05/30	75.00
63224	UBS AG – HSI R Bear CBBC Jan 2013 K	300,000,000	2012/09/10	2013/01/30	75.00
66676*	HK Bank – A50 R Bull CBBC Mar 2013 A	150,000,000	2012/09/10	2013/03/04	13.95
63757*	HK Bank – HSI R Bull CBBC Mar 2013 G	200,000,000	2012/09/10	2013/03/27	10.80
63264	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 C	70,000,000	2012/09/11	2013/01/28	17.50
63265	Credit Suisse AG – A50 China R Bear CBBC Jan 2013 B	70,000,000	2012/09/11	2013/01/28	17.50
63268	Credit Suisse AG – A50 China R Bear CBBC Jan 2013 C	70,000,000	2012/09/11	2013/01/28	17.50
63248	Credit Suisse AG – HSI R Bull CBBC Feb 2013 O	350,000,000	2012/09/11	2013/02/27	87.50
63249	Credit Suisse AG – HSI R Bear CBBC Feb 2013 U	350,000,000	2012/09/11	2013/02/27	87.50
63283	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 E	150,000,000	2012/09/11	2012/12/28	37.65
63280	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 G	150,000,000	2012/09/11	2012/12/28	38.25
63246	HK Bank – HSI R Bull CBBC Mar 2013 H	100,000,000	2012/09/11	2013/03/27	25.00
63250	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13T	300,000,000	2012/09/11	2013/01/30	75.00
63254	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13U	300,000,000	2012/09/11	2013/01/30	75.00
63256	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13E	300,000,000	2012/09/11	2013/02/27	75.00
63257	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13D	300,000,000	2012/09/11	2013/01/30	75.00
63272	UBS AG – Evergrande R Bull CBBC Jul 2013 A	50,000,000	2012/09/11	2013/07/08	12.50
63273	UBS AG – HSI R Bull CBBC Aug 2013 B	300,000,000	2012/09/11	2013/08/29	75.00
63278	UBS AG – HSI R Bear CBBC Apr 2013 L	300,000,000	2012/09/11	2013/04/29	75.00
63277	UBS AG – HSI R Bear CBBC Jun 2013 C	300,000,000	2012/09/11	2013/06/27	75.00
63308	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	200,000,000	2012/09/12	2013/04/29	50.00
63307	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 X	200,000,000	2012/09/12	2013/04/29	50.00
63354	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 B	200,000,000	2012/09/12	2012/12/28	50.00
63355	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 C	200,000,000	2012/09/12	2012/12/28	50.00
63309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 I	200,000,000	2012/09/12	2012/12/28	50.00
63306	Credit Suisse AG – HSI R Bull CBBC Jan 2013 F	350,000,000	2012/09/12	2013/01/30	87.50
63305	Credit Suisse AG – HSI R Bull CBBC Jan 2013 M	350,000,000	2012/09/12	2013/01/30	87.50
63329	Credit Suisse AG – HSI R Bear CBBC Jan 2013 A	350,000,000	2012/09/12	2013/01/30	87.50
63345	Credit Suisse AG – HSI R Bear CBBC Jan 2013 B	350,000,000	2012/09/12	2013/01/30	87.50
63346	Credit Suisse AG – HSI R Bear CBBC Jan 2013 C	350,000,000	2012/09/12	2013/01/30	87.50
63328	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Z	350,000,000	2012/09/12	2013/01/30	87.50
63330	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 C	150,000,000	2012/09/12	2013/01/30	37.50

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63331	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 D	150,000,000	2012/09/12	2013/01/30	37.50
63332	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 E	150,000,000	2012/09/12	2013/01/30	37.50
63333	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 A	158,000,000	2012/09/12	2013/05/30	39.50
63334	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 B	158,000,000	2012/09/12	2013/05/30	39.50
63339	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 C	158,000,000	2012/09/12	2013/03/27	39.50
63344	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 A	158,000,000	2012/09/12	2013/05/30	39.50
63351	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 H	150,000,000	2012/09/12	2012/12/28	39.90
63352	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 I	150,000,000	2012/09/12	2012/12/28	38.55
63353	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 J	150,000,000	2012/09/12	2012/12/28	37.95
63295	HK Bank – Minsheng Bank R Bull CBBC Sep 2013 A	40,000,000	2012/09/12	2013/09/18	10.00
63294	HK Bank – Evergrande R Bull CBBC Dec 2013 A	50,000,000	2012/09/12	2013/12/18	12.50
63296	HK Bank – Evergrande R Bull CBBC Dec 2013 B	50,000,000	2012/09/12	2013/12/20	12.50
63297	HK Bank – A50 R Bull CBBC Mar 2013 E	100,000,000	2012/09/12	2013/03/15	48.00
63298	HK Bank – HSI R Bear CBBC Mar 2013 D	100,000,000	2012/09/12	2013/03/27	25.00
63373	J P Morgan SP BV – HSI R Bear CBBC May 2013 E	300,000,000	2012/09/12	2013/05/30	75.00
63374	J P Morgan SP BV – HSI R Bear CBBC May 2013 F	300,000,000	2012/09/12	2013/05/30	75.00
63375	J P Morgan SP BV – HSI R Bear CBBC May 2013 G	300,000,000	2012/09/12	2013/05/30	75.00
63376	J P Morgan SP BV – HSI R Bear CBBC May 2013 H	300,000,000	2012/09/12	2013/05/30	75.00
63379	J P Morgan SP BV – HSI R Bear CBBC May 2013 I	300,000,000	2012/09/12	2013/05/30	75.00
63300	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 B	60,000,000	2012/09/12	2013/01/30	15.00
63301	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 C	60,000,000	2012/09/12	2013/01/30	15.00
63287	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 D	60,000,000	2012/09/12	2013/01/30	15.00
63299	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 E	60,000,000	2012/09/12	2013/01/30	15.00
63311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13V	300,000,000	2012/09/12	2013/01/30	75.00
63312	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13W	300,000,000	2012/09/12	2013/01/30	75.00
63313	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13F	300,000,000	2012/09/12	2013/02/27	76.50
63314	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13G	300,000,000	2012/09/12	2013/02/27	79.50
63302	UBS AG – HSCEI R Bear CBBC Dec 2012 C	100,000,000	2012/09/12	2012/12/28	25.00
63304	UBS AG – HSI R Bull CBBC Dec 2012 C	300,000,000	2012/09/12	2012/12/28	75.00
63372	UBS AG – HSI R Bull CBBC Mar 2013 M	300,000,000	2012/09/12	2013/03/27	75.00
63303	UBS AG – HSI R Bull CBBC Apr 2013 H	300,000,000	2012/09/12	2013/04/29	75.00
63371	UBS AG – HSI R Bull CBBC Jun 2013 O	300,000,000	2012/09/12	2013/06/27	75.00
63370	UBS AG – HSI R Bear CBBC Dec 2012 H	300,000,000	2012/09/12	2012/12/28	75.00
63356	UBS AG – HSI R Bear CBBC May 2013 I	300,000,000	2012/09/12	2013/05/30	75.00
63412	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	200,000,000	2012/09/13	2013/04/29	50.00
63419	Credit Suisse AG – HSI R Bull CBBC Feb 2013 P	350,000,000	2012/09/13	2013/02/27	87.50
63420	Credit Suisse AG – HSI R Bear CBBC Feb 2013 V	350,000,000	2012/09/13	2013/02/27	87.50
63421	Credit Suisse AG – HSI R Bear CBBC Feb 2013 W	350,000,000	2012/09/13	2013/02/27	87.50
63440	Credit Suisse AG – HSI R Bear CBBC Feb 2013 X	350,000,000	2012/09/13	2013/02/27	87.50
63441	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Y	350,000,000	2012/09/13	2013/02/27	87.50
63413	Credit Suisse AG – TCH R Bull CBBC Feb 2013 A	50,000,000	2012/09/13	2013/02/25	21.25
63414	Credit Suisse AG – TCH R Bull CBBC Feb 2013 B	50,000,000	2012/09/13	2013/02/25	12.50
63415	Credit Suisse AG – TCH R Bear CBBC Feb 2013 A	50,000,000	2012/09/13	2013/02/25	12.50
63417	Credit Suisse AG – TCH R Bear CBBC Feb 2013 B	50,000,000	2012/09/13	2013/02/25	12.50
63418	Credit Suisse AG – TCH R Bear CBBC Feb 2013 C	50,000,000	2012/09/13	2013/02/25	12.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63458	Daiwa Capital Mkt – HSCEI R Bear CBBC May 2013 A	80,000,000	2012/09/13	2013/05/30	20.00
63402	HK Bank – ABC R Bear CBBC Nov 2013 A	40,000,000	2012/09/13	2013/11/26	10.00
63403	HK Bank – BOCL R Bear CBBC Nov 2013 A	50,000,000	2012/09/13	2013/11/20	12.50
63463	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13 X	300,000,000	2012/09/13	2013/01/30	75.00
63465	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13 Y	300,000,000	2012/09/13	2013/01/30	75.00
63380	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 E	300,000,000	2012/09/13	2013/01/30	75.00
63381	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 F	300,000,000	2012/09/13	2013/01/30	75.00
63390	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 G	300,000,000	2012/09/13	2013/01/30	75.00
63442	UBS AG – HSCEI R Bull CBBC Jun 2013 A	100,000,000	2012/09/13	2013/06/27	25.00
63443	UBS AG – HSCEI R Bull CBBC Jun 2013 B	100,000,000	2012/09/13	2013/06/27	25.00
63439	UBS AG – HSI R Bull CBBC Dec 2012 D	300,000,000	2012/09/13	2012/12/28	75.00
63462	UBS AG – HSI R Bull CBBC Feb 2013 O	300,000,000	2012/09/13	2013/02/27	75.00
63444	UBS AG – HSI R Bear CBBC Feb 2013 S	300,000,000	2012/09/13	2013/02/27	75.00
63457	UBS AG – HSI R Bear CBBC Feb 2013 T	300,000,000	2012/09/13	2013/02/27	75.00
63519	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	200,000,000	2012/09/14	2013/04/29	50.00
63975	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	200,000,000	2012/09/14	2013/04/29	50.00
63515	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	200,000,000	2012/09/14	2013/04/29	50.00
64007	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 U	200,000,000	2012/09/14	2013/04/29	50.00
63493	Credit Suisse AG – HSI R Bull CBBC Mar 2013 A	350,000,000	2012/09/14	2013/03/27	87.50
63495	Credit Suisse AG – HSI R Bull CBBC Mar 2013 B	350,000,000	2012/09/14	2013/03/27	87.50
63497	Credit Suisse AG – HSI R Bull CBBC Mar 2013 C	350,000,000	2012/09/14	2013/03/27	87.50
63803	Credit Suisse AG – HSI R Bull CBBC Mar 2013 D	350,000,000	2012/09/14	2013/03/27	87.50
63892	Credit Suisse AG – HSI R Bull CBBC Mar 2013 F	350,000,000	2012/09/14	2013/03/27	87.50
63536	Credit Suisse AG – HSI R Bear CBBC Dec 2012 B	350,000,000	2012/09/14	2012/12/28	87.50
63475	Credit Suisse AG – HSI R Bear CBBC Dec 2012 G	350,000,000	2012/09/14	2012/12/28	87.50
63476	Credit Suisse AG – HSI R Bear CBBC Dec 2012 S	350,000,000	2012/09/14	2012/12/28	87.50
63480	Credit Suisse AG – HSI R Bear CBBC Dec 2012 T	350,000,000	2012/09/14	2012/12/28	87.50
63483	Credit Suisse AG – HSI R Bear CBBC Dec 2012 U	350,000,000	2012/09/14	2012/12/28	87.50
63535	Credit Suisse AG – HSI R Bear CBBC Dec 2012 V	350,000,000	2012/09/14	2012/12/28	87.50
64084	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 B	150,000,000	2012/09/14	2013/03/27	37.50
64089	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 C	150,000,000	2012/09/14	2013/03/27	37.50
63864	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 E	158,000,000	2012/09/14	2013/03/27	39.50
63885	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 F	158,000,000	2012/09/14	2013/03/27	39.50
63805	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 C	158,000,000	2012/09/14	2013/05/30	39.50
63468	HK Bank – China Mobile R Bear CBBC May 2013 A	50,000,000	2012/09/14	2013/05/31	12.50
63467	HK Bank – Sinopec Corp R Bull CBBC May 2013 A	60,000,000	2012/09/14	2013/05/31	15.00
63473	HK Bank – China Shenhua R Bull CBBC Dec 2013 B	40,000,000	2012/09/14	2013/12/20	10.00
64095	J P Morgan SP BV – HSI R Bull CBBC May 2013 G	300,000,000	2012/09/14	2013/05/30	75.00
64169	J P Morgan SP BV – HSI R Bull CBBC May 2013 H	300,000,000	2012/09/14	2013/05/30	75.00
64173	J P Morgan SP BV – HSI R Bull CBBC May 2013 I	300,000,000	2012/09/14	2013/05/30	75.00
63527	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13F	300,000,000	2012/09/14	2013/01/30	75.00
63524	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13Z	300,000,000	2012/09/14	2013/01/30	75.00
63529	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13H	300,000,000	2012/09/14	2013/02/27	75.00
63893	UBS AG – China Life R Bull CBBC Jun 2013 A	100,000,000	2012/09/14	2013/06/10	25.00
63897	UBS AG – HSI R Bull CBBC Dec 2012 M	300,000,000	2012/09/14	2012/12/28	75.00

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63894	UBS AG – HSI R Bull CBBC Feb 2013 P	300,000,000	2012/09/14	2013/02/27	75.00
63938	UBS AG – HSI R Bull CBBC Feb 2013 Q	300,000,000	2012/09/14	2013/02/27	75.00
63534	UBS AG – HSI R Bear CBBC Dec 2012 E	300,000,000	2012/09/14	2012/12/28	75.00
63484	UBS AG – HSI R Bear CBBC Dec 2012 I	300,000,000	2012/09/14	2012/12/28	75.00
63485	UBS AG – HSI R Bear CBBC Apr 2013 M	300,000,000	2012/09/14	2013/04/29	75.00
64684	Credit Suisse AG – HSI R Bull CBBC Feb 2013 R	350,000,000	2012/09/17	2013/02/27	87.50
64691	Credit Suisse AG – HSI R Bull CBBC Feb 2013 S	350,000,000	2012/09/17	2013/02/27	87.50
64861	Credit Suisse AG – HSI R Bull CBBC Feb 2013 T	350,000,000	2012/09/17	2013/02/27	87.50
64673	Credit Suisse AG – HSI R Bear CBBC Jan 2013 D	350,000,000	2012/09/17	2013/01/30	87.50
64574	Credit Suisse AG – HSI R Bear CBBC Jan 2013 E	350,000,000	2012/09/17	2013/01/30	87.50
64226	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 F	150,000,000	2012/09/17	2012/12/28	39.60
64227	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 G	150,000,000	2012/09/17	2012/12/28	39.00
64229	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 H	150,000,000	2012/09/17	2012/12/28	39.45
64715	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 K	150,000,000	2012/09/17	2012/12/28	37.50
64717	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 L	150,000,000	2012/09/17	2012/12/28	38.10
64718	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 M	150,000,000	2012/09/17	2012/12/28	41.40
64731	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 N	150,000,000	2012/09/17	2012/12/28	42.15
64745	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 O	150,000,000	2012/09/17	2012/12/28	42.60
64278	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 D	60,000,000	2012/09/17	2013/01/30	15.00
64282	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 E	60,000,000	2012/09/17	2013/01/30	15.00
64304	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 F	60,000,000	2012/09/17	2013/01/30	15.00
64507	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 G	60,000,000	2012/09/17	2013/01/30	15.00
64508	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 H	60,000,000	2012/09/17	2013/01/30	15.00
64539	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 I	60,000,000	2012/09/17	2013/01/30	15.00
64560	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 J	60,000,000	2012/09/17	2013/01/30	15.00
64277	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 F	60,000,000	2012/09/17	2013/01/30	15.00
64818	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13E	300,000,000	2012/09/17	2013/01/30	75.00
64824	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13G	300,000,000	2012/09/17	2013/01/30	75.00
64846	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13H	300,000,000	2012/09/17	2013/01/30	75.00
64879	UBS AG – HSI R Bull CBBC Feb 2013 R	300,000,000	2012/09/17	2013/02/27	75.00
64871	UBS AG – HSI R Bull CBBC Apr 2013 I	300,000,000	2012/09/17	2013/04/29	75.00
64875	UBS AG – HSI R Bull CBBC Jun 2013 P	300,000,000	2012/09/17	2013/06/27	75.00
64880	UBS AG – HSI R Bull CBBC Jun 2013 Q	300,000,000	2012/09/17	2013/06/27	75.00
64862	UBS AG – HSI R Bear CBBC Apr 2013 N	300,000,000	2012/09/17	2013/04/29	75.00
64858	UBS AG – Hutchison R Bull CBBC Jun 2013 A	100,000,000	2012/09/17	2013/06/17	25.00
64857	UBS AG – TCH R Bear CBBC Mar 2013 B	100,000,000	2012/09/17	2013/03/04	33.00
64998	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 A	200,000,000	2012/09/18	2013/04/29	50.00
65000	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 B	200,000,000	2012/09/18	2013/04/29	50.00
64963	Credit Suisse AG – HSI R Bull CBBC Feb 2013 H	350,000,000	2012/09/18	2013/02/27	87.50
64970	Credit Suisse AG – HSI R Bull CBBC Feb 2013 I	350,000,000	2012/09/18	2013/02/27	87.50
65003	Credit Suisse AG – HSI R Bull CBBC Feb 2013 J	350,000,000	2012/09/18	2013/02/27	87.50
64997	Credit Suisse AG – HSI R Bear CBBC Feb 2013 E	350,000,000	2012/09/18	2013/02/27	87.50
64996	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Z	350,000,000	2012/09/18	2013/02/27	87.50
64919	HK Bank – China Life R Bull CBBC May 2013 A	60,000,000	2012/09/18	2013/05/31	15.00
64888	HK Bank – A50 R Bull CBBC Apr 2013 F	100,000,000	2012/09/18	2013/04/08	25.00

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64892	HK Bank – A50 R Bull CBBC Apr 2013 G	100,000,000	2012/09/18	2013/04/08	25.00
64881	HK Bank – HKEx R Bull CBBC Apr 2013 A	60,000,000	2012/09/18	2013/04/30	15.00
64920	HK Bank – HSI R Bull CBBC Mar 2013 I	100,000,000	2012/09/18	2013/03/27	25.00
64936	HK Bank – HSI R Bull CBBC Mar 2013 J	200,000,000	2012/09/18	2013/03/27	50.00
64940	HK Bank – HSI R Bull CBBC Jun 2013 C	150,000,000	2012/09/18	2013/06/27	37.50
64971	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13H	300,000,000	2012/09/18	2013/01/30	75.00
64994	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13I	300,000,000	2012/09/18	2013/01/30	75.00
64942	UBS AG – HSI R Bull CBBC Jan 2013 P	300,000,000	2012/09/18	2013/01/30	75.00
65025	UBS AG – HSI R Bull CBBC Jun 2013 R	300,000,000	2012/09/18	2013/06/27	75.00
65027	UBS AG – HSI R Bull CBBC Aug 2013 C	300,000,000	2012/09/18	2013/08/29	75.00
65001	UBS AG – HSI R Bear CBBC Jun 2013 D	300,000,000	2012/09/18	2013/06/27	75.00
65304	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	200,000,000	2012/09/19	2013/04/29	50.00
65351	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 S	200,000,000	2012/09/19	2013/04/29	50.00
65105	Credit Suisse AG – HSI R Bull CBBC Jan 2013 J	350,000,000	2012/09/19	2013/01/30	87.50
65106	Credit Suisse AG – HSI R Bull CBBC Jan 2013 T	350,000,000	2012/09/19	2013/01/30	87.50
65395	Credit Suisse AG – HSI R Bull CBBC Jan 2013 U	350,000,000	2012/09/19	2013/01/30	87.50
65452	Credit Suisse AG – HSI R Bull CBBC Jan 2013 V	350,000,000	2012/09/19	2013/01/30	87.50
65028	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Q	350,000,000	2012/09/19	2012/12/28	87.50
65053	Credit Suisse AG – HSI R Bear CBBC Dec 2012 X	350,000,000	2012/09/19	2012/12/28	87.50
65478	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 I	300,000,000	2012/09/19	2013/04/29	75.00
65513	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 J	300,000,000	2012/09/19	2013/04/29	75.00
65526	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 K	300,000,000	2012/09/19	2013/04/29	75.00
65168	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13I	300,000,000	2012/09/19	2013/01/30	75.00
65184	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13J	300,000,000	2012/09/19	2013/01/30	75.00
65098	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13J	300,000,000	2012/09/19	2013/01/30	75.00
65102	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13K	300,000,000	2012/09/19	2013/01/30	75.00
65261	UBS AG – CC Bank R Bull CBBC Jul 2013 A	100,000,000	2012/09/19	2013/07/15	25.00
65218	UBS AG – CNBM R Bull CBBC Jun 2013 A	50,000,000	2012/09/19	2013/06/24	12.50
65464	UBS AG – HSI R Bull CBBC Apr 2013 J	300,000,000	2012/09/19	2013/04/29	75.00
65467	UBS AG – HSI R Bull CBBC Jun 2013 S	300,000,000	2012/09/19	2013/06/27	75.00
65063	UBS AG – HSI R Bear CBBC Dec 2012 J	300,000,000	2012/09/19	2012/12/28	75.00
65066	UBS AG – HSI R Bear CBBC Feb 2013 U	300,000,000	2012/09/19	2013/02/27	75.00
65228	UBS AG – Ping An R Bull CBBC Apr 2013 A	100,000,000	2012/09/19	2013/04/22	25.00
65285	UBS AG – SHK Ppt R Bull CBBC May 2013 A	100,000,000	2012/09/19	2013/05/06	25.00
65262	UBS AG – TCH R Bull CBBC Jul 2013 A	100,000,000	2012/09/19	2013/07/08	25.00
65752	Credit Suisse AG – HSI R Bull CBBC Feb 2013 U	350,000,000	2012/09/20	2013/02/27	87.50
65812	Credit Suisse AG – HSI R Bull CBBC Feb 2013 V	350,000,000	2012/09/20	2013/02/27	87.50
65588	Credit Suisse AG – HSI R Bear CBBC Dec 2012 I	350,000,000	2012/09/20	2012/12/28	87.50
65830	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 I	150,000,000	2012/09/20	2012/12/28	39.45
65832	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 J	150,000,000	2012/09/20	2012/12/28	37.95
65823	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 P	150,000,000	2012/09/20	2012/12/28	37.50
65561	HK Bank – Galaxy Ent R Bull CBBC Apr 2013 A	40,000,000	2012/09/20	2013/04/12	16.00
65540	HK Bank – HSI R Bull CBBC May 2013 E	100,000,000	2012/09/20	2013/05/30	25.00
65527	HK Bank – HSI R Bull CBBC Jun 2013 D	100,000,000	2012/09/20	2013/06/27	25.00
65564	HK Bank – Hutchison R Bull CBBC Jun 2013 A	60,000,000	2012/09/20	2013/06/28	15.00

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65747	UBS AG – HSCEI R Bull CBBC Mar 2013 A	100,000,000	2012/09/20	2013/03/27	25.00
65589	UBS AG – HSI R Bull CBBC Feb 2013 S	300,000,000	2012/09/20	2013/02/27	75.00
65565	UBS AG – HSI R Bear CBBC Mar 2013 O	300,000,000	2012/09/20	2013/03/27	75.00
65743	UBS AG – HSI R Bear CBBC May 2013 J	300,000,000	2012/09/20	2013/05/30	75.00
65692	UBS AG – HSI R Bear CBBC Jun 2013 E	300,000,000	2012/09/20	2013/06/27	75.00
62023 [#]	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 B	160,000,000	2012/09/20	2012/12/28	10.56
66921	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 A	200,000,000	2012/09/21	2013/05/30	50.00
66938	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 B	200,000,000	2012/09/21	2013/05/30	50.00
67013	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 C	200,000,000	2012/09/21	2013/05/30	50.00
67014	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 D	200,000,000	2012/09/21	2013/05/30	50.00
67015	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 E	200,000,000	2012/09/21	2013/05/30	50.00
68052	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 F	200,000,000	2012/09/21	2013/05/30	50.00
65907	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 A	200,000,000	2012/09/21	2013/02/27	50.00
65908	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 B	200,000,000	2012/09/21	2013/02/27	50.00
65914	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 C	200,000,000	2012/09/21	2013/02/27	50.00
65915	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 D	200,000,000	2012/09/21	2013/02/27	50.00
65916	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 E	200,000,000	2012/09/21	2013/02/27	50.00
65935	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 F	200,000,000	2012/09/21	2013/02/27	50.00
65937	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 G	200,000,000	2012/09/21	2013/02/27	50.00
65942	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 H	200,000,000	2012/09/21	2013/02/27	50.00
67204	Credit Suisse AG – HSI R Bull CBBC Feb 2013 G	350,000,000	2012/09/21	2013/02/27	87.50
67212	Credit Suisse AG – HSI R Bull CBBC Feb 2013 K	350,000,000	2012/09/21	2013/02/27	87.50
66271	Credit Suisse AG – HSI R Bull CBBC Mar 2013 G	350,000,000	2012/09/21	2013/03/27	87.50
66612	Credit Suisse AG – HSI R Bull CBBC Mar 2013 H	350,000,000	2012/09/21	2013/03/27	87.50
66635	Credit Suisse AG – HSI R Bull CBBC Mar 2013 I	350,000,000	2012/09/21	2013/03/27	87.50
66645	Credit Suisse AG – HSI R Bull CBBC Mar 2013 J	350,000,000	2012/09/21	2013/03/27	87.50
66646	Credit Suisse AG – HSI R Bull CBBC Mar 2013 K	350,000,000	2012/09/21	2013/03/27	87.50
66100	Credit Suisse AG – HSI R Bear CBBC Dec 2012 M	350,000,000	2012/09/21	2012/12/28	87.50
66101	Credit Suisse AG – HSI R Bear CBBC Dec 2012 N	350,000,000	2012/09/21	2012/12/28	87.50
66259	Credit Suisse AG – HSI R Bear CBBC Dec 2012 O	350,000,000	2012/09/21	2012/12/28	87.50
66272	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Y	350,000,000	2012/09/21	2012/12/28	87.50
66162	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Z	350,000,000	2012/09/21	2012/12/28	87.50
66290	Credit Suisse AG – HSI R Bear CBBC Apr 2013 E	350,000,000	2012/09/21	2013/04/29	87.50
66342	Credit Suisse AG – HSI R Bear CBBC Apr 2013 F	350,000,000	2012/09/21	2013/04/29	87.50
66345	Credit Suisse AG – HSI R Bear CBBC Apr 2013 G	350,000,000	2012/09/21	2013/04/29	94.50
66348	Credit Suisse AG – HSI R Bear CBBC Apr 2013 H	350,000,000	2012/09/21	2013/04/29	106.75
66405	Credit Suisse AG – HSI R Bear CBBC Apr 2013 I	350,000,000	2012/09/21	2013/04/29	120.75
66436	Credit Suisse AG – HSI R Bear CBBC May 2013 A	350,000,000	2012/09/21	2013/05/30	87.50
66443	Credit Suisse AG – HSI R Bear CBBC May 2013 B	350,000,000	2012/09/21	2013/05/30	89.25
66454	Credit Suisse AG – HSI R Bear CBBC May 2013 C	350,000,000	2012/09/21	2013/05/30	101.50
66455	Credit Suisse AG – HSI R Bear CBBC May 2013 D	350,000,000	2012/09/21	2013/05/30	112.00
66476	Credit Suisse AG – HSI R Bear CBBC May 2013 E	350,000,000	2012/09/21	2013/05/30	126.00
66531	Credit Suisse AG – HSI R Bear CBBC Jun 2013 A	350,000,000	2012/09/21	2013/06/27	87.50
66536	Credit Suisse AG – HSI R Bear CBBC Jun 2013 B	350,000,000	2012/09/21	2013/06/27	92.75
66554	Credit Suisse AG – HSI R Bear CBBC Jun 2013 C	350,000,000	2012/09/21	2013/06/27	103.25

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66558	Credit Suisse AG – HSI R Bear CBBC Jun 2013 D	350,000,000	2012/09/21	2013/06/27	119.00
66562	Credit Suisse AG – HSI R Bear CBBC Jun 2013 E	350,000,000	2012/09/21	2013/06/27	129.50
67062	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 D	60,000,000	2012/09/21	2013/03/27	15.00
67083	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 E	60,000,000	2012/09/21	2013/03/27	15.00
67093	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 F	60,000,000	2012/09/21	2013/03/27	15.00
67095	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 F	60,000,000	2012/09/21	2013/01/30	15.00
67144	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 G	60,000,000	2012/09/21	2013/01/30	15.00
67166	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 H	60,000,000	2012/09/21	2013/01/30	15.00
67898	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 G	158,000,000	2012/09/21	2013/03/27	39.50
67958	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2013 A	158,000,000	2012/09/21	2013/04/29	39.50
67940	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2013 B	158,000,000	2012/09/21	2013/06/27	39.50
67936	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 D	158,000,000	2012/09/21	2013/03/27	39.50
67932	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2013 A	158,000,000	2012/09/21	2013/04/29	39.50
67913	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 B	158,000,000	2012/09/21	2013/05/30	39.50
67930	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 C	158,000,000	2012/09/21	2013/05/30	39.50
67900	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2013 A	158,000,000	2012/09/21	2013/06/27	44.24
67901	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2013 B	158,000,000	2012/09/21	2013/06/27	39.50
67899	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2013 A	158,000,000	2012/09/21	2013/09/27	50.56
66816	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 K	150,000,000	2012/09/21	2012/12/28	38.25
66854	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 L	150,000,000	2012/09/21	2012/12/28	38.10
66862	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 M	150,000,000	2012/09/21	2012/12/28	38.10
66864	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 N	150,000,000	2012/09/21	2012/12/28	38.25
66865	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 O	150,000,000	2012/09/21	2012/12/28	38.10
67960	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 Q	150,000,000	2012/09/21	2012/12/28	37.95
67963	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 R	150,000,000	2012/09/21	2012/12/28	38.10
67966	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 S	150,000,000	2012/09/21	2012/12/28	37.95
67969	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 T	150,000,000	2012/09/21	2012/12/28	39.00
66647	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 L	300,000,000	2012/09/21	2013/04/29	75.00
66653	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 M	300,000,000	2012/09/21	2013/04/29	75.00
66684	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 N	300,000,000	2012/09/21	2013/04/29	75.00
67818	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 K	60,000,000	2012/09/21	2013/01/30	15.00
67819	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 L	60,000,000	2012/09/21	2013/01/30	15.00
67857	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 M	60,000,000	2012/09/21	2013/01/30	15.00
67885	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 N	60,000,000	2012/09/21	2013/01/30	15.00
67893	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 O	60,000,000	2012/09/21	2013/01/30	15.00
67894	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 P	60,000,000	2012/09/21	2013/01/30	15.00
67895	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 Q	60,000,000	2012/09/21	2013/01/30	15.00
67897	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 R	60,000,000	2012/09/21	2013/01/30	15.00
67665	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 G	60,000,000	2012/09/21	2013/01/30	15.00
67706	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 H	60,000,000	2012/09/21	2013/01/30	15.00
67710	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 I	60,000,000	2012/09/21	2013/01/30	15.00
67739	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 J	60,000,000	2012/09/21	2013/01/30	15.00
67814	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 K	60,000,000	2012/09/21	2013/01/30	15.00
65877	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13K	300,000,000	2012/09/21	2013/01/30	75.00
65878	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13L	300,000,000	2012/09/21	2013/01/30	75.00

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66914	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13M	300,000,000	2012/09/21	2013/01/30	75.00
66871	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13I	300,000,000	2012/09/21	2013/02/27	75.00
66873	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13J	300,000,000	2012/09/21	2013/02/27	75.00
66876	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13K	300,000,000	2012/09/21	2013/02/27	75.00
66867	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12P	300,000,000	2012/09/21	2012/12/28	75.00
66866	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12R	300,000,000	2012/09/21	2012/12/28	75.00
65876	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13L	300,000,000	2012/09/21	2013/01/30	75.00
65993	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13M	300,000,000	2012/09/21	2013/01/30	75.00
66002	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13N	300,000,000	2012/09/21	2013/01/30	75.00
66006	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13O	300,000,000	2012/09/21	2013/01/30	75.00
66038	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13P	300,000,000	2012/09/21	2013/01/30	75.00
66042	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13Q	300,000,000	2012/09/21	2013/01/30	87.00
66050	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13R	300,000,000	2012/09/21	2013/01/30	91.50
65963	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13A	300,000,000	2012/09/21	2013/02/27	102.00
65967	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13B	300,000,000	2012/09/21	2013/02/27	106.50
65979	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13C	300,000,000	2012/09/21	2013/02/27	109.50
65980	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13D	300,000,000	2012/09/21	2013/02/27	112.50
65990	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13E	300,000,000	2012/09/21	2013/02/27	115.50
67341	UBS AG – China Life R Bull CBBC Mar 2013 B	100,000,000	2012/09/21	2013/03/25	25.00
67417	UBS AG – CNOOC R Bull CBBC Mar 2013 A	100,000,000	2012/09/21	2013/03/04	25.00
67514	UBS AG – HKEx R Bull CBBC May 2013 B	100,000,000	2012/09/21	2013/05/20	25.00
67482	UBS AG – HKEx R Bear CBBC Mar 2013 A	100,000,000	2012/09/21	2013/03/25	26.50
67591	UBS AG – HSBC R Bull CBBC Jun 2013 A	100,000,000	2012/09/21	2013/06/03	25.00
67588	UBS AG – HSBC R Bear CBBC Feb 2013 B	100,000,000	2012/09/21	2013/02/25	25.00
68014	UBS AG – HSI R Bull CBBC Dec 2012 G	300,000,000	2012/09/21	2012/12/28	75.00
68051	UBS AG – HSI R Bull CBBC Dec 2012 N	300,000,000	2012/09/21	2012/12/28	75.00
68017	UBS AG – HSI R Bull CBBC Mar 2013 N	300,000,000	2012/09/21	2013/03/27	75.00
68015	UBS AG – HSI R Bull CBBC Apr 2013 K	300,000,000	2012/09/21	2013/04/29	75.00
68049	UBS AG – HSI R Bull CBBC May 2013 L	300,000,000	2012/09/21	2013/05/30	75.00
66081	UBS AG – HSI R Bear CBBC Dec 2012 K	300,000,000	2012/09/21	2012/12/28	75.00
66067	UBS AG – HSI R Bear CBBC Dec 2012 O	300,000,000	2012/09/21	2012/12/28	75.00
66080	UBS AG – HSI R Bear CBBC Mar 2013 P	300,000,000	2012/09/21	2013/03/27	75.00
66085	UBS AG – HSI R Bear CBBC Jun 2013 F	300,000,000	2012/09/21	2013/06/27	75.00
68005	UBS AG – HSI R Bear CBBC Jul 2013 B	300,000,000	2012/09/21	2013/07/30	75.00
68002	UBS AG – HSI R Bear CBBC Aug 2013 A	300,000,000	2012/09/21	2013/08/29	75.00
67401	UBS AG – ICBC R Bull CBBC Jun 2013 A	100,000,000	2012/09/21	2013/06/24	25.00
67515	UBS AG – SHK Ppt R Bear CBBC Apr 2013 A	100,000,000	2012/09/21	2013/04/29	25.00
62022 [#]	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 A	340,000,000	2012/09/21	2012/12/28	10.54
66837 [#]	HK Bank – China Mobile R Bull CBBC Feb 2013 B	200,000,000	2012/09/21	2013/02/04	11.60
68207	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 A	50,000,000	2012/09/24	2013/02/25	12.50
68237	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 B	50,000,000	2012/09/24	2013/02/25	12.50
68240	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 C	50,000,000	2012/09/24	2013/02/25	12.50
68245	Credit Suisse AG – A50 China R Bear CBBC Feb 2013 A	50,000,000	2012/09/24	2013/02/25	12.50
68250	Credit Suisse AG – HSI R Bull CBBC Apr 2013 A	350,000,000	2012/09/24	2013/04/29	87.50
68253	Credit Suisse AG – HSI R Bull CBBC Apr 2013 B	350,000,000	2012/09/24	2013/04/29	87.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68255	Credit Suisse AG – HSI R Bull CBBC Apr 2013 C	350,000,000	2012/09/24	2013/04/29	87.50
68263	Credit Suisse AG – HSI R Bull CBBC Apr 2013 D	350,000,000	2012/09/24	2013/04/29	87.50
68339	Credit Suisse AG – HSI R Bull CBBC Apr 2013 E	350,000,000	2012/09/24	2013/04/29	87.50
68265	Credit Suisse AG – HSI R Bear CBBC Jan 2013 F	350,000,000	2012/09/24	2013/01/30	87.50
68332	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	350,000,000	2012/09/24	2013/07/30	98.00
68333	Credit Suisse AG – HSI R Bear CBBC Jul 2013 B	350,000,000	2012/09/24	2013/07/30	105.00
68334	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	350,000,000	2012/09/24	2013/07/30	108.50
68338	Credit Suisse AG – HSI R Bear CBBC Jul 2013 D	350,000,000	2012/09/24	2013/07/30	112.00
68343	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 P	150,000,000	2012/09/24	2012/12/28	37.95
68340	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 U	150,000,000	2012/09/24	2012/12/28	37.95
68341	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 V	150,000,000	2012/09/24	2012/12/28	37.50
68342	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 W	150,000,000	2012/09/24	2012/12/28	37.65
68134	HK Bank – CC Bank R Bull CBBC Jun 2013 A	60,000,000	2012/09/24	2013/06/28	15.00
68076	HK Bank – Cheung Kong R Bull CBBC Jun 2013 A	60,000,000	2012/09/24	2013/06/28	15.00
68084	HK Bank – HKEx R Bull CBBC May 2013 B	60,000,000	2012/09/24	2013/05/03	15.00
68088	HK Bank – HKEx R Bull CBBC May 2013 C	60,000,000	2012/09/24	2013/05/10	15.00
68064	HK Bank – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/09/24	2013/03/27	50.00
68075	HK Bank – HSI R Bull CBBC Mar 2013 L	100,000,000	2012/09/24	2013/03/27	25.00
68079	HK Bank – SHK Ppt R Bull CBBC Mar 2013 A	60,000,000	2012/09/24	2013/03/28	15.00
68104	HK Bank – TCH R Bull CBBC May 2013 A	50,000,000	2012/09/24	2013/05/20	12.50
68344	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 S	60,000,000	2012/09/24	2013/01/30	15.00
68179	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12O	300,000,000	2012/09/24	2012/12/28	75.00
68197	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	300,000,000	2012/09/24	2012/12/28	75.00
68203	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	300,000,000	2012/09/24	2012/12/28	75.00
68172	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12W	300,000,000	2012/09/24	2012/12/28	75.00
68199	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Y	300,000,000	2012/09/24	2012/12/28	75.00
68295	UBS AG – AIA R Bear CBBC Mar 2013 A	100,000,000	2012/09/24	2013/03/18	31.00
68273	UBS AG – China Life R Bear CBBC Mar 2013 C	100,000,000	2012/09/24	2013/03/04	42.50
68319	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 D	50,000,000	2012/09/24	2013/02/25	21.25
68297	UBS AG – HKEx R Bear CBBC Mar 2013 B	100,000,000	2012/09/24	2013/03/04	31.00
68357	UBS AG – HSI R Bull CBBC Jan 2013 J	300,000,000	2012/09/24	2013/01/30	75.00
68358	UBS AG – HSI R Bull CBBC Jan 2013 O	300,000,000	2012/09/24	2013/01/30	75.00
68355	UBS AG – HSI R Bull CBBC Mar 2013 O	300,000,000	2012/09/24	2013/03/27	75.00
68356	UBS AG – HSI R Bull CBBC Mar 2013 P	300,000,000	2012/09/24	2013/03/27	75.00
68351	UBS AG – HSI R Bear CBBC Jan 2013 L	300,000,000	2012/09/24	2013/01/30	75.00
68268	UBS AG – HSI R Bear CBBC Feb 2013 V	300,000,000	2012/09/24	2013/02/27	75.00
68345	UBS AG – HSI R Bear CBBC Mar 2013 Q	300,000,000	2012/09/24	2013/03/27	75.00
68353	UBS AG – HSI R Bear CBBC Mar 2013 R	300,000,000	2012/09/24	2013/03/27	75.00
68346	UBS AG – HSI R Bear CBBC Apr 2013 O	300,000,000	2012/09/24	2013/04/29	75.00
68329	UBS AG – Hutchison R Bull CBBC Jun 2013 B	100,000,000	2012/09/24	2013/06/03	25.00
68321	UBS AG – Hutchison R Bear CBBC Mar 2013 C	100,000,000	2012/09/24	2013/03/11	25.00
68390	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 I	200,000,000	2012/09/25	2013/02/27	50.00
68363	Credit Suisse AG – HSI R Bull CBBC Jan 2013 S	350,000,000	2012/09/25	2013/01/30	87.50
68360	Credit Suisse AG – HSI R Bull CBBC Jan 2013 W	350,000,000	2012/09/25	2013/01/30	87.50
68361	Credit Suisse AG – HSI R Bull CBBC Jan 2013 X	350,000,000	2012/09/25	2013/01/30	87.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68364	Credit Suisse AG – HSI R Bear CBBC Jan 2013 G	350,000,000	2012/09/25	2013/01/30	87.50
68388	Credit Suisse AG – HSI R Bear CBBC Jan 2013 K	350,000,000	2012/09/25	2013/01/30	87.50
68393	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 X	120,000,000	2012/09/25	2012/12/28	30.48
68406	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 Y	120,000,000	2012/09/25	2012/12/28	31.08
68359	HK Bank – AIA R Bull CBBC Mar 2013 B	50,000,000	2012/09/25	2013/03/25	20.00
68375	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12T	300,000,000	2012/09/25	2012/12/28	75.00
68376	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12U	300,000,000	2012/09/25	2012/12/28	75.00
68374	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12V	300,000,000	2012/09/25	2012/12/28	75.00
68377	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12X	300,000,000	2012/09/25	2012/12/28	75.00
68378	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Z	300,000,000	2012/09/25	2012/12/28	75.00
68385	UBS AG – HSI R Bull CBBC Dec 2012 O	300,000,000	2012/09/25	2012/12/28	75.00
68382	UBS AG – HSI R Bull CBBC May 2013 M	300,000,000	2012/09/25	2013/05/30	75.00
68380	UBS AG – HSI R Bull CBBC Jul 2013 D	300,000,000	2012/09/25	2013/07/30	75.00
68381	UBS AG – HSI R Bull CBBC Jul 2013 E	300,000,000	2012/09/25	2013/07/30	75.00
68379	UBS AG – HSI R Bear CBBC Jul 2013 C	300,000,000	2012/09/25	2013/07/30	75.00
68387	UBS AG – TCH R Bear CBBC Apr 2013 C	100,000,000	2012/09/25	2013/04/08	34.00
68445	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 G	200,000,000	2012/09/26	2013/05/30	50.00
68447	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 H	200,000,000	2012/09/26	2013/05/30	50.00
68482	Credit Suisse AG – HSI R Bull CBBC Feb 2013 L	350,000,000	2012/09/26	2013/02/27	87.50
68460	Credit Suisse AG – HSI R Bull CBBC Feb 2013 X	350,000,000	2012/09/26	2013/02/27	87.50
68481	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Y	350,000,000	2012/09/26	2013/02/27	87.50
68441	Credit Suisse AG – HSI R Bear CBBC Feb 2013 F	350,000,000	2012/09/26	2013/02/27	87.50
68451	Credit Suisse AG – HSI R Bear CBBC Feb 2013 O	350,000,000	2012/09/26	2013/02/27	87.50
68483	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 G	60,000,000	2012/09/26	2013/03/27	15.00
68484	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 I	60,000,000	2012/09/26	2013/01/30	15.00
68485	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 J	60,000,000	2012/09/26	2013/01/30	15.00
68489	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2013 B	158,000,000	2012/09/26	2013/04/29	39.50
68497	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 Q	150,000,000	2012/09/26	2012/12/28	39.30
68498	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 R	150,000,000	2012/09/26	2012/12/28	39.60
68496	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 C	150,000,000	2012/09/26	2012/12/28	41.85
68495	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 Z	150,000,000	2012/09/26	2012/12/28	40.05
68409	HK Bank – CUni R Bull CBBC Jun 2013 A	40,000,000	2012/09/26	2013/06/24	10.00
68411	HK Bank – CNOOC R Bull CBBC Mar 2013 A	50,000,000	2012/09/26	2013/03/20	20.00
68422	HK Bank – CNOOC R Bull CBBC Jun 2013 A	50,000,000	2012/09/26	2013/06/17	12.50
68424	HK Bank – Galaxy Ent R Bull CBBC May 2013 A	40,000,000	2012/09/26	2013/05/13	16.80
68423	HK Bank – Galaxy Ent R Bear CBBC Feb 2013 B	40,000,000	2012/09/26	2013/02/18	14.00
68407	HK Bank – HSI R Bull CBBC Apr 2013 G	100,000,000	2012/09/26	2013/04/29	25.00
68425	HK Bank – Hutchison R Bull CBBC Jun 2013 B	60,000,000	2012/09/26	2013/06/24	15.00
68410	HK Bank – SHK Ppt R Bear CBBC May 2013 A	50,000,000	2012/09/26	2013/05/06	12.50
68426	J P Morgan SP BV – HSI R Bear CBBC May 2013 J	300,000,000	2012/09/26	2013/05/30	75.00
68427	J P Morgan SP BV – HSI R Bear CBBC May 2013 K	300,000,000	2012/09/26	2013/05/30	75.00
68428	J P Morgan SP BV – HSI R Bear CBBC May 2013 L	300,000,000	2012/09/26	2013/05/30	75.00
68429	J P Morgan SP BV – HSI R Bear CBBC May 2013 M	300,000,000	2012/09/26	2013/05/30	75.00
68438	J P Morgan SP BV – HSI R Bear CBBC May 2013 N	300,000,000	2012/09/26	2013/05/30	75.00
68439	J P Morgan SP BV – HSI R Bear CBBC May 2013 O	300,000,000	2012/09/26	2013/05/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68499	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 T	60,000,000	2012/09/26	2013/01/30	15.00
68491	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13L	300,000,000	2012/09/26	2013/02/27	75.00
68492	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13M	300,000,000	2012/09/26	2013/02/27	75.00
68493	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13F	300,000,000	2012/09/26	2013/02/27	75.00
68474	UBS AG – China Mobile R Bull CBBC Jun 2013 A	100,000,000	2012/09/26	2013/06/17	25.00
68476	UBS AG – CC Bank R Bull CBBC Jul 2013 B	100,000,000	2012/09/26	2013/07/29	25.00
68461	UBS AG – China Life R Bear CBBC Apr 2013 A	100,000,000	2012/09/26	2013/04/08	50.00
68477	UBS AG – HSBC R Bear CBBC Mar 2013 A	100,000,000	2012/09/26	2013/03/18	25.00
68494	UBS AG – HSI R Bull CBBC Apr 2013 L	300,000,000	2012/09/26	2013/04/29	75.00
68442	UBS AG – HSI R Bear CBBC Feb 2013 W	300,000,000	2012/09/26	2013/02/27	75.00
68443	UBS AG – HSI R Bear CBBC Apr 2013 P	300,000,000	2012/09/26	2013/04/29	75.00
64140#	HK Bank – A50 R Bull CBBC Mar 2013 C	200,000,000	2012/09/26	2013/03/25	10.60
62717#	HK Bank – HSI R Bear CBBC Feb 2013 A	200,000,000	2012/09/26	2013/02/27	18.40
68558	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 J	200,000,000	2012/09/27	2013/02/27	50.00
68535	Credit Suisse AG – HSI R Bull CBBC Mar 2013 L	350,000,000	2012/09/27	2013/03/27	87.50
68543	Credit Suisse AG – HSI R Bear CBBC Mar 2013 N	350,000,000	2012/09/27	2013/03/27	87.50
68555	Credit Suisse AG – HSI R Bear CBBC Mar 2013 O	350,000,000	2012/09/27	2013/03/27	87.50
68557	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 D	150,000,000	2012/09/27	2012/12/28	37.80
68523	HK Bank – BOCL R Bull CBBC Sep 2013 A	60,000,000	2012/09/27	2013/09/18	15.00
68526	HK Bank – China Mobile R Bull CBBC Jun 2013 A	60,000,000	2012/09/27	2013/06/17	15.00
68529	HK Bank – China Mobile R Bull CBBC Jul 2013 A	60,000,000	2012/09/27	2013/07/08	15.00
68525	HK Bank – CC Bank R Bull CBBC Jul 2013 A	60,000,000	2012/09/27	2013/07/22	15.00
68500	HK Bank – A50 R Bull CBBC Jun 2013 A	100,000,000	2012/09/27	2013/06/24	25.00
68532	HK Bank – HSI R Bear CBBC Jul 2013 A	200,000,000	2012/09/27	2013/07/30	50.00
68501	HK Bank – ICBC R Bull CBBC Jul 2013 A	60,000,000	2012/09/27	2013/07/08	15.00
68534	HK Bank – Sands China R Bull CBBC Dec 2013 C	50,000,000	2012/09/27	2013/12/18	12.50
68548	UBS AG – HSI R Bull CBBC May 2013 N	300,000,000	2012/09/27	2013/05/30	75.00
68556	UBS AG – HSI R Bear CBBC Jan 2013 M	300,000,000	2012/09/27	2013/01/30	75.00
68614	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 A	200,000,000	2012/09/28	2013/09/27	50.00
68563	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	350,000,000	2012/09/28	2013/01/30	87.50
68564	Credit Suisse AG – HSI R Bear CBBC Jan 2013 O	350,000,000	2012/09/28	2013/01/30	87.50
68559	Bank of East Asia – COVS R Bear CBBC Apr 2013 A	60,000,000	2012/09/28	2013/04/02	19.80
68570	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 A	300,000,000	2012/09/28	2013/06/27	75.00
68572	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 B	300,000,000	2012/09/28	2013/06/27	75.00
68574	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 D	300,000,000	2012/09/28	2013/04/29	75.00
68575	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 E	300,000,000	2012/09/28	2013/04/29	75.00
68576	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 F	300,000,000	2012/09/28	2013/04/29	75.00
68580	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 G	300,000,000	2012/09/28	2013/04/29	75.00
68612	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12N	300,000,000	2012/09/28	2012/12/28	75.00
68611	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Q	300,000,000	2012/09/28	2012/12/28	75.00
68583	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13S	300,000,000	2012/09/28	2013/01/30	93.00
68584	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13T	300,000,000	2012/09/28	2013/01/30	90.00
68587	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13U	300,000,000	2012/09/28	2013/01/30	75.00
68609	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13V	300,000,000	2012/09/28	2013/01/30	75.00
68615	UBS AG – HSCEI R Bull CBBC Jan 2013 B	100,000,000	2012/09/28	2013/01/30	25.00

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股 份 代 號	牛 熊 證	上 市 時 之 發 行 單 位	上 市 日 期	到 期 日	集 資 金 額 (百 萬 港 元)
68616	UBS AG – HSI R Bull CBBC Jan 2013 W	300,000,000	2012/09/28	2013/01/30	75.00
68618	UBS AG – HSI R Bull CBBC Aug 2013 D	300,000,000	2012/09/28	2013/08/29	75.00
68617	UBS AG – HSI R Bear CBBC Dec 2012 P	300,000,000	2012/09/28	2012/12/28	75.00
68562	UBS AG – HSI R Bear CBBC Mar 2013 S	300,000,000	2012/09/28	2013/03/27	75.00
總 額					94,844.71

進一步發行

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2011	第3季	3	62.60
	第4季	4	38.72
2012	第1季	4	37.53
	第2季	4	14.88
	第3季	4	10.47

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2011	第3季	—	—
	第4季	—	—
2012	第1季	—	—
	第2季	—	—
	第3季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2011	第3季	7	0.13
	第4季	7	0.00
2012	第1季	7	0.00
	第2季	7	0.14
	第3季	7	0.49

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2011	第3季	2	—
	第4季	2	—
2012	第1季	2	—
	第2季	2	—
	第3季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2011	第3季	40,276.54	10,135.61	316,791
	第4季	41,259.61	9,971.36	288,715
2012	第1季	41,517.67	12,232.86	343,014
	第2季	33,806.65	6,874.87	228,059
	第3季	33,483.43	5,395.70	206,623

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2011	第3季	63	160.88	31,995
	第4季	62	160.83	34,537
2012	第1季	61	200.54	35,663
	第2季	61	112.70	30,145
	第3季	64	84.31	26,114

20種升幅最大之創業板股份

2012年第3季

順次	股份代號	股份	收市價		升幅
			2012年9月底	2012年6月底	
1	08212	譽滿國際控股	0.365	0.138	164.49
2	08173	寰亞礦業	0.450	0.196	129.59
3	08325	奧思知集團控股	1.080	0.500	116.00
4	08041	薈萃國際(控股)	0.040	0.019	110.53
5	08232	中國優通	0.830	0.440	88.64
6	08329	海王英特龍	0.580	0.310	87.10
7	08048	志鴻科技	0.370	0.219	68.95
8	08076	新利軟件	0.295	0.184	60.33
9	08340	域高金融	0.172	0.109	57.80
10	08103	泰盛國際控股	0.208	0.136	52.94
11	08055	中國網絡教育	0.177	0.120	47.50
12	08256	金利通	0.128	0.088	45.45
13	08206	神通電信服務	0.345	0.239	44.35
14	08008	新意網集團	1.860	1.340	38.81
15	08049	吉林長龍藥業	0.790	0.580	36.21
16	08210	龍傑智能卡	0.510	0.375	36.00
17	08198	新濠環彩	0.130	0.099	31.31
18	08219	品牌中國	2.830	2.200	28.64
19	08269	富譽控股	0.180	0.140	28.57
20	08021	匯隆控股	0.066	0.052	26.92

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2012年第3季

順次	股份代號	股份	收市價		跌幅
			2012年9月底	2012年6月底	
1	08009	華夏能源控股	0.095	0.250 A	-62.00
2	08081	駿科網絡訊息	0.040	0.100	-60.00
3	08071	中彩網通控股	0.120	0.270	-55.56
4	08192	環球能源資源	0.041	0.085	-51.76
5	08202	匯創控股	0.250	0.485	-48.45
6	08108	名家國際	0.063	0.119	-47.06
7	08175	中國數碼版權	0.128	0.225	-43.11
8	08096	瑞豐石化	0.082	0.139	-41.01
9	08011	百田石油	0.160	0.260	-38.46
10	08080	北亞策略	0.185	0.300 A	-38.33
11	08163	萬德資源	0.010	0.016	-37.50
12	08137	洪橋集團	0.465	0.700	-33.57
13	08047	中國能效	0.076	0.114	-33.33
14	08078	中國3D數碼	0.015	0.022	-31.82
15	08158	中國生物醫學	0.166	0.239	-30.54
16	08279	亞博科技控股	0.131	0.185	-29.19
17	08156	眾彩股份	0.050	0.070	-28.57
18	08025	亞洲資產	0.340	0.470	-27.66
19	08130	智城控股	0.240	0.325	-26.15
20	08003	世大控股	0.065	0.087	-25.29

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2012年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08207	中國信貸	479.56	8.89
2	08202	匯創控股	374.03	6.93
3	08008	新意網集團	281.32	5.21
4	08085	中民安園	280.40	5.20
5	08356	中國新華電視	220.01	4.08
6	08103	泰盛國際控股	194.24	3.60
7	08046	恒芯中國	186.19	3.45
8	08212	譽滿國際控股	178.86	3.31
9	08041	蒼萃國際(控股)	173.90	3.22
10	08116	中國幸福投資	165.81	3.07
11	08325	奧思知集團控股	149.54	2.77
12	08239	明基控股	145.64	2.70
13	08078	中國3D數碼	124.64	2.31
14	08150	無縫綠色	113.38	2.10
15	08083	中國創新支付	102.22	1.89
16	08137	洪橋集團	102.06	1.89
17	08228	國藝控股	99.05	1.84
18	08173	寰亞礦業	98.08	1.82
19	08351	俊文寶石	84.11	1.56
20	08096	瑞豐石化	79.66	1.48
總額			3,632.71	67.33

創業板 股份

20種成交最活躍創業板股份(股數)

2012年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08078	中國3D數碼	6,855.19	20.47
2	08041	蒼萃國際(控股)	4,904.70	14.65
3	08116	中國幸福投資	2,122.59	6.34
4	08096	瑞豐石化	1,024.15	3.06
5	08085	中民安園	1,012.23	3.02
6	08163	萬德資源	985.41	2.94
7	08103	泰盛國際控股	851.64	2.54
8	08080	北亞策略	796.92	2.38
9	08212	譽滿國際控股	745.65	2.23
10	08202	匯創控股	743.47	2.22
11	08207	中國信貸	706.54	2.11
12	08081	駿科網絡訊息	699.22	2.09
13	08046	恒芯中國	637.11	1.90
14	08082	仁智國際集團	507.65	1.52
15	08179	喜尚控股	490.24	1.46
16	08037	朗力福集團	438.08	1.31
17	08272	華人飲食集團	404.69	1.21
18	08150	無縫綠色	367.84	1.10
19	08083	中國創新支付	363.46	1.09
20	08239	明基控股	342.66	1.02
總數			24,999.43	74.66

創業板 股份

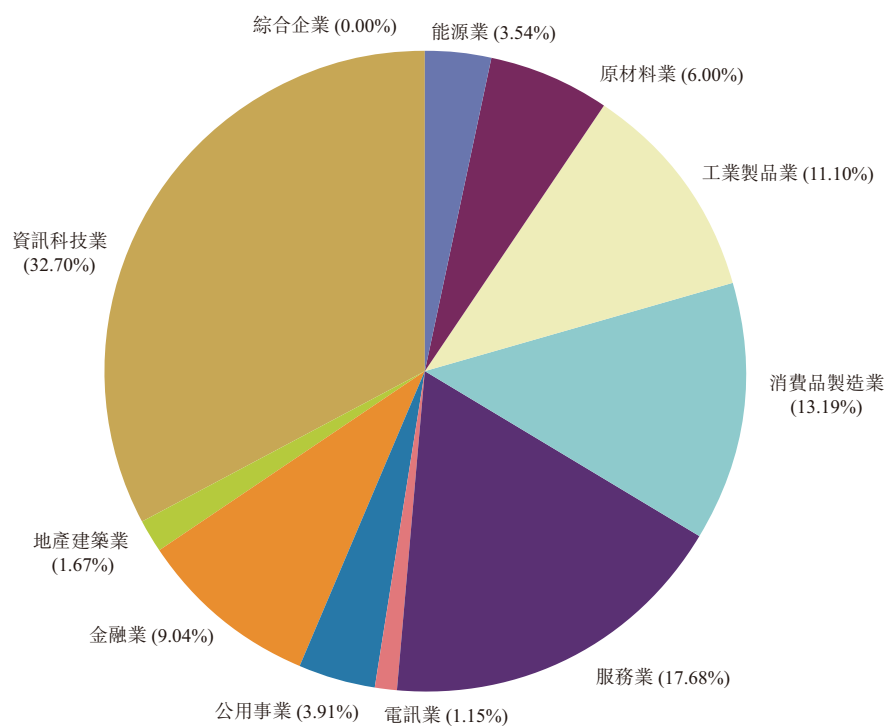
創業板公司市價總值

截至季末

		百 萬 港 元
2011	第 3 季	77,346.08
	第 4 季	84,589.06
2012	第 1 季	84,618.24
	第 2 季	77,357.91
	第 3 季	73,436.35

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2012年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08008	新意網集團有限公司	4,319.35	5.88
2	08086	易寶有限公司	3,666.38	4.99
3	08137	洪橋集團有限公司	2,890.29	3.94
4	08035	濱海投資有限公司	2,397.12	3.26
5	08122	先健科技公司	2,375.00	3.23
6	08207	中國信貸控股有限公司	1,446.36	1.97
7	08232	中國優通控股有限公司	1,394.40	1.9
8	08270	中國聯盛煤層氣頁岩氣產業集團有限公司	1,281.31	1.74
9	08292	慧聰網有限公司	1,259.85	1.72
10	08005	裕興科技投資控股有限公司	1,257.03	1.71
11	08158	中國生物醫學再生科技有限公司	1,246.97	1.7
12	08032	非凡中國控股有限公司	1,240.32	1.69
13	08167	中國新電信集團有限公司	1,186.73	1.62
14	08356	中國新華電視控股有限公司	1,172.31	1.6
15	08155	南華置地有限公司	1,151.39	1.57
16	08083	中國創新支付集團有限公司	1,124.91	1.53
17	08116	中國幸福投資(控股)有限公司	1,119.05	1.52
18	08128	中國地能有限公司	1,078.66	1.47
19	08075	寰亞傳媒集團有限公司	1,038.08	1.41
20	08020	川盟金融集團有限公司	1,030.00	1.4
21	08090	中國融保金融集團有限公司	1,016.40	1.38
22	08058	山東羅欣藥業股份有限公司－H股	972.55	1.32
23	08066	品創控股有限公司	966.19	1.32
24	08085	中民安園控股有限公司	888.83	1.21
25	08046	恒芯中國控股有限公司	800.45	1.09
26	08102	上海復旦微電子集團股份有限公司－H股	688.22	0.94
27	08029	太陽國際資源有限公司	686.42	0.93
28	08161	華彩控股有限公司	655.83	0.89
29	08325	奧思知集團控股有限公司	648.00	0.88
30	08240	中國城市軌道交通科技控股有限公司	608.00	0.83
31	08030	匯聯金融服務控股有限公司	591.92	0.81
32	08228	國藝控股有限公司	584.10	0.8
33	08016	中華數據廣播控股有限公司	567.80	0.77
34	08219	品牌中國集團有限公司	566.00	0.77
35	08061	光亞有限公司	552.04	0.75
36	08123	首華財經網絡集團有限公司	547.94	0.75
37	08192	環球能源資源國際集團有限公司	514.47	0.7
38	08279	亞博科技控股有限公司	503.56	0.69
39	08351	俊文寶石國際有限公司	483.58	0.66
40	08261	海天水電國際有限公司	475.00	0.65
41	08096	瑞豐石化控股有限公司	450.20	0.61
42	08153	科地農業控股有限公司	442.35	0.6
43	08112	Focus Media Network Ltd.	419.84	0.57
44	08203	凱順能源集團有限公司	418.72	0.57
45	08206	神通電信服務有限公司	412.17	0.56
46	08173	寰亞礦業有限公司	410.48	0.56
47	08109	科瑞控股有限公司	407.16	0.55
48	08220	比高集團控股有限公司	402.39	0.55
49	08048	志鴻科技國際控股有限公司	375.57	0.51
50	08231	上海復旦張江生物醫藥股份有限公司－H股	372.24	0.51
總額			51,103.93	69.59
市場總值			73,436.35	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2011	第3季	3	193.04
	第4季	5	549.31
2012	第1季	3	189.80
	第2季	4	617.66
	第3季	3	179.40

創業板新上市公司

2012年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08261	海天水電國際有限公司	2012/07/06	1,000,000,000	75.00
08146	馬仕達國際控股有限公司	2012/07/20	480,000,000	42.00
08168	英裘(控股)有限公司	2012/08/24	960,000,000	62.40
總額				179.40

除牌上市公司－創業板

2012年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
08070	中裕燃氣控股有限公司*	2012/07/10	2012/07/11 §
08251	上海棟華石油化工股份有限公司－H股*	2012/07/13	2012/07/16 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》
經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2012年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
08172	嘉匯投資控股有限公司	中國傳媒影視控股有限公司	2012/05/18	2012/07/06
08116	中國公共醫療(控股)有限公司	中國幸福投資(控股)有限公司	2012/06/06	2012/07/12
08212	香港生命集團控股有限公司	譽滿國際(控股)有限公司	2012/08/20	2012/09/13
08009	菱控有限公司	華夏能源控股有限公司	2012/09/12	2012/09/25
08047	中國掌付(集團)有限公司	中國能效科技(集團)有限公司	2012/08/28	2012/09/26

創業板 股份

創業板紅股／紅利認股權證

2012年第3季

股份代號	公司	詳情	除淨日
NIL			

創業板股份拆細及合併

2012年第3季

股份代號	公司	詳情	生效日期
08009	華夏能源控股有限公司	25股合併為1股	2012/07/12
08178	中國信息科技發展有限公司	10股合併為1股	2012/08/03
08080	北亞策略控股有限公司	10股合併為1股	2012/09/17
08220	比高集團控股有限公司	2股合併為1股	2012/09/18
08022	彩娛集團有限公司	10股合併為1股	2012/09/19

創業板供股／公開售股

2012年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
7月	08212	譽滿國際(控股)有限公司	股權1供5，每股供0.138港元	88.22
8月	08239	明基控股有限公司	公開售股2供1，每股供0.43港元	49.56
9月	08321	中國汽車內飾集團有限公司	公開售股1供2，每股供0.10港元	72.00
	08022	彩娛集團有限公司	股權2供1，每股供0.05港元	9.85
總額				219.63

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2012					
7月	08096	瑞豐石化	388,411,819	0.200	77.68
	08150	無縫綠色	23,500,000	0.395	9.28
	08050	雲博產業	10,000,000	0.141	1.41
8月	08085	中民安園	498,500,000	0.200	99.70
	08128	中國地能	850,000,000	0.410	348.50
	08009	華夏能源控股	145,590,000	0.110	16.01
	08239	明基控股	46,100,000	0.360	16.60
	08150	無縫綠色	23,440,000	0.310	7.27
	08158	中國生物醫學	455,000,000	0.220	100.10
	08078	中國3D數碼	1,500,000,000	0.015	22.50
9月	08017	百齡國際	31,000,000	0.120	3.72
	08037	朗力福集團	192,000,000	0.155	29.76
	08103	泰盛國際控股	260,000,000	0.115	29.90
	08269	富譽控股	247,448,000	0.170	42.07
	08269	富譽控股	42,400,000	0.189	8.01
	08269	富譽控股	42,400,000	0.189	8.01
總額					812.51

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2011	第3季	2	0.23	25.46
	第4季	2	0.07	15.97
2012	第1季	2	—	15.97
	第2季	2	0.27	15.17
	第3季	1	0.13	8.00

創業板新上市股份認股權證

2012年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2012年9月底 收市	2012年6月底 收市	升跌
標準普爾／香港交易所大型股指數	24,927.48	23,320.85	+ 6.89%
標準普爾／香港交易所創業板指數	348.25	383.12	- 9.10%
恒生指數	20,840.38	19,441.46	+ 7.20%
分類指數			
金融	27,879.70	26,480.04	+ 5.29%
公用事業	50,101.10	45,749.83	+ 9.51%
地產	29,329.97	24,594.72	+ 19.25%
工商業	12,401.73	11,651.78	+ 6.44%
恒生香港中資企業指數	4,081.79	3,796.96	+ 7.50%
恒生中國企業指數	9,831.62	9,574.84	+ 2.68%

股票市場指數

世界指數

指數	2012年9月底 收市	2012年6月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	24,927.48	23,320.85	+ 6.89%
恒生指數	20,840.38	19,441.46	+ 7.20%
澳洲			
普通股指數	4,406.34	4,135.46	+ 6.55%
雅加達			
綜合指數	4,262.56	3,955.58	+ 7.76%
日本			
日經指數(225)	8,870.16	9,006.78	- 1.52%
韓國			
綜合指數	1,996.21	1,854.01	+ 7.67%
吉隆坡			
綜合指數	1,636.66	1,599.15	+ 2.35%
馬尼拉			
綜合指數	5,346.10	5,246.41	+ 1.90%
紐西蘭			
證交所指數(50)	3,834.15	3,399.84	+ 12.77%
上海			
A股指數	2,184.71	2,330.50	- 6.26%
B股指數	220.00	235.63	- 6.64%
深圳			
A股指數	893.20	963.74	- 7.32%
B股指數	573.43	627.46	- 8.61%
新加坡			
FTSE海峽時報指數	3,060.34	2,878.45	+ 6.32%
台灣			
台北加權股份指數	7,715.16	7,296.28	+ 5.74%
泰國			
證交所指數	1,298.79	1,172.11	+ 10.81%
紐約			
杜瓊斯工業平均指數	13,437.13	12,880.09	+ 4.32%
Nasdaq綜合指數	2,799.19	2,935.05	- 4.63%
多倫多			
S&P/TSX綜合指數	12,317.46	11,596.56	+ 6.22%
德國			
法蘭克福指數	3,944.69	3,507.44	+ 12.47%
倫敦			
富時指數(100)	5,742.10	5,571.10	+ 3.07%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2012年第3季	2011年第3季
恒生指數期貨	4,924,352	6,611,545
恒生指數期權	2,289,204	3,097,483
小型恒生指數期貨	2,086,235	3,084,022
小型恒生指數期權	309,341	282,713
H股指數期貨	3,813,494	4,358,785
H股指數期權	1,536,898	981,265
小型H股指數期貨	335,449	585,017
自訂條款恒生指數期權	2,200	—
自訂條款H股指數期權	1,956	6,170
恒指股息點指數期貨	10,760	809
恒生國企股息點指數期貨	68,524	24,394
恒指波幅指數期貨 ¹	324	—
IBOVESPA期貨 ²	—	—
MICEX指數期貨 ³	—	—
Sensex指數期貨 ⁴	21	—
FTSE/JSE Top40期貨 ⁵	—	—
股票期貨	68,787	132,733
股票期權	13,428,912	21,961,666
三個月港元利率期貨	—	165
一個月港元利率期貨	—	43
三年期外匯基金債券期貨	—	—
美元兌人民幣(香港)期貨 ⁶	2,172	—
黃金期貨	—	1,311
總數	28,878,629	41,128,121

¹ 恒指波幅指數期貨由2012年2月20日開始買賣

² IBOVESPA期貨由2012年3月30日開始買賣

³ MICEX指數期貨由2012年3月30日開始買賣

⁴ Sensex期貨由2012年3月30日開始買賣

⁵ FTSE/JSE Top40期貨由2012年3月30日開始買賣

⁶ 美元兌人民幣(香港)期貨由2012年9月17日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第3季	6,611,545	128,201
	第4季	5,717,012	86,409
2012年	第1季	5,140,968	99,821
	第2季	5,405,446	103,069
	第3季	4,924,352	124,794

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第3季	3,084,022	11,015
	第4季	2,873,202	5,129
2012年	第1季	2,349,431	6,653
	第2季	2,278,107	6,063
	第3季	2,086,235	7,350

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第3季	3,097,483	431,313
	第4季	2,311,629	184,402
2012年	第1季	2,163,972	284,586
	第2季	2,661,654	346,519
	第3季	2,289,204	364,960

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2011年	第3季	4,358,785	155,125
	第4季	4,067,025	106,277
2012年	第1季	3,860,446	117,764
	第2季	3,973,006	127,099
	第3季	3,813,494	142,443

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2011年	第3季	24,566.72	21,961,666	8,112,569
	第4季	16,977.30	17,604,585	5,329,494
2012年	第1季	9,756.42	14,545,164	4,971,657
	第2季	8,578.21	13,741,210	5,060,742
	第3季	7,354.69	13,428,912	4,658,740

衍生產品市場 期貨及期權

各期權類別之期權金總額

2012年第3季

期權金額		期權類別(百萬港元)	佔市場百分比
X安碩A50中國	A50	246.77	3.36%
農業銀行	ABC	92.08	1.25%
安徽海螺水泥股份	ACC	38.18	0.52%
友邦保險	AIA	178.77	2.43%
中國鋁業	ALC	14.35	0.20%
中國銀行	BCL	72.70	0.99%
交通銀行	BCM	49.97	0.68%
東亞銀行	BEA	4.82	0.07%
百麗國際	BIH	23.16	0.31%
中銀香港	BOC	44.88	0.61%
比亞迪股份	BYD	26.20	0.36%
建設銀行	CCB	282.02	3.83%
中國交通建設	CCC	9.05	0.12%
中國中煤能源	CCE	54.76	0.74%
中國遠洋	CCS	8.35	0.11%
中國移動	CHT	985.16	13.39%
中國聯通	CHU	78.31	1.06%
中信泰富	CIT	15.63	0.21%
長江實業	CKH	314.13	4.27%
中國人壽	CLI	389.94	5.30%
中電控股	CLP	16.16	0.22%
招商銀行	CMB	99.41	1.35%
中國海洋石油	CNC	202.09	2.75%
中國海外發展	COL	156.68	2.13%
國泰航空	CPA	4.20	0.06%
中國石油化工股份	CPC	103.58	1.41%
中國太保	CPI	43.11	0.59%
中國鐵建	CRC	10.83	0.15%
中國中鐵	CRG	4.27	0.06%
X標智滬深300	CS3	2.50	0.03%
中國神華	CSE	102.00	1.39%
中信銀行	CTB	12.46	0.17%
中國電信	CTC	51.32	0.70%
思捷環球	ESP	14.76	0.20%
富士康國際	FIH	2.52	0.03%
銀河娛樂	GLX	34.27	0.47%
電能實業	HEH	32.69	0.44%
香港交易所	HEX	206.76	2.81%
匯豐控股	HKB	740.80	10.07%
香港中華煤氣	HKG	6.44	0.09%
恒基地產	HLD	34.88	0.47%
華能國際電力股份	HNP	9.22	0.13%
恒生銀行	HSB	9.83	0.13%
和記黃埔	HWL	359.04	4.88%
工商銀行	ICB	211.76	2.88%
江西銅業	JXC	76.04	1.03%
利豐	LIF	71.74	0.98%
地鐵公司	MTR	3.49	0.05%
中國建材	NBM	52.33	0.71%
新世界發展	NWD	24.01	0.33%
中國平安	PAI	274.81	3.74%
中國石油股份	PEC	220.57	3.00%
中國人民財產保險	PIC	58.73	0.80%
富力地產	RFP	14.36	0.20%
金沙中國有限公司	SAN	47.05	0.64%
新鴻基地產	SHK	391.14	5.32%
渣打集團	STC	44.51	0.61%
太古股份公司A	SWA	47.93	0.65%
騰訊控股	TCH	500.77	6.81%
盈富基金	TRF	6.55	0.09%
九龍倉集團	WHL	39.77	0.54%
兗州煤業股份	YZC	68.06	0.93%
紫金礦業	ZJM	12.01	0.16%
總額		7,354.69	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2012年第3季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	159,974	1.19%
農業銀行	ABC	67,204	0.50%
安徽海螺水泥股份	ACC	86,738	0.65%
友邦保險	AIA	272,987	2.03%
中國鋁業	ALC	39,832	0.30%
中國銀行	BCL	696,916	5.19%
交通銀行	BCM	178,102	1.33%
東亞銀行	BEA	32,810	0.24%
百麗國際	BIH	39,661	0.30%
中銀香港	BOC	146,067	1.09%
比亞迪股份	BYD	59,684	0.44%
建設銀行	CCB	1,560,533	11.62%
中國交通建設	CCC	36,308	0.27%
中國中煤能源	CCE	145,651	1.08%
中國遠洋	CCS	71,630	0.53%
中國移動	CHT	974,642	7.26%
中國聯通	CHU	78,803	0.59%
中信泰富	CIT	28,911	0.22%
長江實業	CKH	144,702	1.08%
中國人壽	CLI	585,052	4.36%
中電控股	CLP	38,035	0.28%
招商銀行	CMB	325,518	2.42%
中國海洋石油	CNC	399,263	2.97%
中國海外發展	COL	119,038	0.89%
國泰航空	CPA	8,262	0.06%
中國石油化工股份	CPC	212,949	1.59%
中國太保	CPI	55,674	0.41%
中國鐵建	CRC	54,354	0.40%
中國中鐵	CRG	32,154	0.24%
X標智滬深300	CS3	2,388	0.02%
中國神華	CSE	208,877	1.56%
中信銀行	CTB	53,904	0.40%
中國電信	CTC	131,719	0.98%
思捷環球	ESP	193,921	1.44%
富士康國際	FIH	10,795	0.08%
銀河娛樂	GLX	47,425	0.35%
電能實業	HEH	52,595	0.39%
香港交易所	HEX	705,634	5.25%
匯豐控股	HKB	1,089,779	8.12%
香港中華煤氣	HKG	17,104	0.13%
恒基地產	HLD	28,710	0.21%
華能國際電力股份	HNP	21,157	0.16%
恒生銀行	HSB	65,015	0.48%
和記黃埔	HWL	245,370	1.83%
工商銀行	ICB	1,379,897	10.28%
江西銅業	JXC	135,676	1.01%
利豐	LIF	61,980	0.46%
地鐵公司	MTR	30,681	0.23%
中國建材	NBM	63,298	0.47%
新世界發展	NWD	60,292	0.45%
中國平安	PAI	315,921	2.35%
中國石油股份	PEC	334,975	2.49%
中國人民財產保險	PIC	77,230	0.58%
富力地產	RFP	57,618	0.43%
金沙中國有限公司	SAN	113,430	0.84%
新鴻基地產	SHK	224,153	1.67%
渣打集團	STC	167,640	1.25%
太古股份A	SWA	47,803	0.36%
騰訊控股	TCH	680,577	5.07%
盈富基金	TRF	19,234	0.14%
九龍倉集團	WHL	35,060	0.26%
兗州煤業股份	YZC	60,720	0.45%
紫金礦業	ZJM	36,880	0.27%
總數		13,428,912	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2011 第3季	84,654	50.41	221.54
第4季	76,802	44.37	186.44
2012 第1季	79,872	41.52	179.93
第2季	70,419	43.03	179.84
第3季	66,152	42.10	156.14

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2011 第3季	489	123.92	383.03
第4季	342	83.77	97.11
2012 第1季	351	69.05	265.24
第2季	329	115.35	254.73
第3季	313	96.41	164.42

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2011 第3季	3,771.07	71.19	8,909.87	49.96
第4季	3,694.24	70.17	9,599.91	50.23
2012 第1季	3,751.61	70.60	10,833.95	50.26
第2季	3,713.59	70.37	10,006.88	50.29
第3季	3,672.03	69.98	10,816.76	50.71

股數及市價總值包括非港元證券。

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2011	第3季	908,434	100	165,464.02	100	72,538.55	100
	第4季	857,422	100	161,396.94	100	59,305.03	100
2012	第1季	877,050	100	160,501.58	100	63,178.33	100
	第2季	737,075	100	135,165.30	100	50,166.62	100
	第3季	686,075	100	127,363.30	100	46,310.30	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2011	第3季	63,710	96.23	20,235.50	93.60
	第4季	56,834	96.38	18,005.04	94.13
2012	第1季	63,528	96.11	20,054.26	93.60
	第2季	52,112	96.20	15,324.06	94.21
	第3季	51,862	95.93	15,577.24	93.76

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2011	第3季	99.87	99.99
	第4季	99.89	99.99
2012	第1季	99.90	99.99
	第2季	99.91	99.99
	第3季	99.91	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2012年第3季	2011年第3季
恒生指數期貨	124,794	128,201
恒生指數期權	364,960	431,313
小型恒生指數期貨	7,350	11,015
小型恒生指數期權	10,384	13,028
H股指數期貨	142,443	155,125
H股指數期權	591,725	275,972
小型H股指數期貨	2,354	2,977
自訂條款恒生指數期權	13,010	5,410
自訂條款H股指數期權	8,431	6,370
恒指股息點指數期貨	7,724	5,614
恒生國企股息點指數期貨	72,355	24,538
恒指波幅指數期貨 ¹	69	—
IBOVESPA期貨 ²	—	—
MICEX指數期貨 ³	—	—
Sensex指數期貨 ⁴	—	—
FTSE/JSE Top40期貨 ⁵	—	—
股票期貨	10,353	24,653
股票期權	4,658,740	8,112,569
三個月港元利率期貨	5	85
一個月港元利率期貨	—	1
三年期外匯基金債券期貨	—	—
美元兌人民幣(香港)期貨 ⁶	1,076	—
黃金期貨	—	132
總數	6,015,773	9,197,003

¹ 恒指波幅指數期貨由2012年2月20日開始買賣

² IBOVESPA期貨由2012年3月30日開始買賣

³ MICEX指數期貨由2012年3月30日開始買賣

⁴ Sensex期貨由2012年3月30日開始買賣

⁵ FTSE/JSE Top40期貨由2012年3月30日開始買賣

⁶ 美元兌人民幣(香港)期貨由2012年9月17日開始買賣

結算及交收

各期權類別之未平倉合約

2012年第3季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	56,720	1.22
農業銀行	ABC	50,698	1.09
安徽海螺水泥股份	ACC	27,771	0.60
友邦保險	AIA	130,232	2.80
中國鋁業	ALC	14,502	0.31
中國銀行	BCL	430,258	9.24
交通銀行	BCM	119,050	2.56
東亞銀行	BEA	10,297	0.22
百麗國際	BIH	12,170	0.26
中銀香港	BOC	44,916	0.96
比亞迪股份	BYD	20,130	0.43
建設銀行	CCB	552,312	11.86
中國交通建設	CCC	15,492	0.33
中國中煤能源	CCE	50,519	1.08
中國遠洋	CCS	31,979	0.69
中國移動	CHT	223,661	4.80
中國聯通	CHU	37,936	0.81
中信泰富	CIT	7,969	0.17
長江實業	CKH	42,292	0.91
中國人壽	CLI	101,783	2.18
中電控股	CLP	10,767	0.23
招商銀行	CMB	215,246	4.62
中國海洋石油	CNC	138,923	2.98
中國海外發展	COL	35,895	0.77
國泰航空	CPA	3,983	0.09
中國石油化工股份	CPC	64,144	1.38
中國太保	CPI	22,920	0.49
中國鐵建	CRC	19,971	0.43
中國中鐵	CRG	7,711	0.17
X標智滬深300	CS3	267	0.01
中國神華	CSE	81,399	1.75
中信銀行	CTB	55,567	1.19
中國電信	CTC	90,847	1.95
思捷環球	ESP	88,467	1.90
富士康國際	FIH	3,949	0.08
銀河娛樂	GLX	19,129	0.41
電能實業	HEH	13,292	0.29
香港交易所	HEX	173,800	3.73
匯豐控股	HKB	283,689	6.09
香港中華煤氣	HKG	7,855	0.17
恒基地產	HLD	7,960	0.17
華能國際電力股份	HNP	6,764	0.15
恒生銀行	HSB	20,089	0.43

結算及交收

期權類別		未平倉合約	佔市場百分比
和記黃埔	HWL	63,660	1.37
工商銀行	ICB	552,562	11.86
江西銅業	JXC	25,351	0.54
利豐	LIF	15,034	0.32
地鐵公司	MTR	8,893	0.19
中國建材	NBM	18,246	0.39
新世界發展	NWD	17,509	0.38
中國平安	PAI	106,694	2.29
中國石油股份	PEC	119,733	2.57
中國人民財產保險	PIC	23,300	0.50
富力地產	RFP	20,390	0.44
金沙中國有限公司	SAN	42,860	0.92
新鴻基地產	SHK	50,968	1.09
渣打集團	STC	47,742	1.02
太古股份公司A	SWA	9,004	0.19
騰訊控股	TCH	134,243	2.88
盈富基金	TRF	6,603	0.14
九龍倉集團	WHL	7,115	0.15
兗州煤業股份	YZC	22,333	0.48
紫金礦業	ZJM	13,179	0.28
總數		4,658,740	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2011	第3季	549 (973)	494 (911)	34 (41)	21 (21)
	第4季	555 (980)	498 (916)	36 (43)	21 (21)
2012	第1季	559 (984)	500 (918)	38 (45)	21 (21)
	第2季	563 (988)	503 (921)	39 (46)	21 (21)
	第3季	568 (994)	506 (923)	41 (50)	21 (21)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2011	第3季	228 (252)	185 (207)	—	43 (45)
	第4季	228 (252)	182 (204)	—	46 (48)
2012	第1季	230 (254)	182 (204)	—	48 (50)
	第2季	234 (258)	185 (207)	—	49 (51)
	第3季	235 (259)	185 (207)	—	50 (52)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2011 第3季	160	9
第4季	156	10
2012 第1季	156	10
第2季	158	10
第3季	158	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2011 第3季	58	7
第4季	59	7
2012 第1季	59	8
第2季	59	8
第3季	62	8

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2011 第3季	478	8	46
第4季	482	8	47
2012 第1季	487	8	48
第2季	489	8	48
第3季	491	9	47

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券