



SECURITIES AND  
DERIVATIVES MARKETS  
QUARTERLY REPORT

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Third Quarter 2012

# Market Highlights

## Market Highlights – Main Board

Highlights	3rd Quarter 2012	3rd Quarter 2011
No. of listed companies	1,355	1,301
No. of listed securities	6,732	7,010
Equities		
Ordinary shares	1,356	1,302
Preference shares	3	3
Warrants	3,915	4,793
Equity	12	15
Derivative	3,903	4,778
Equity linked instrument	0	0
Callable bull/bear contracts	1,104	642
Unit trusts/mutual funds	107	87
Debt securities	247	183
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	64	63
Total turnover in value (HK\$ mil.) *	2,962,121.73	4,562,366.77
Total turnover in shares (Mil. shs)	8,118,023.55	10,384,374.95
Total number of trades (deals)	43,732,903	56,970,296
Total market capitalisation (HK\$ mil.)	19,575,154.28	16,147,694.26
Average P/E ratio (times)	9.44	9.02
Average dividend yield (%)	3.12	3.53
Number of exchange participants		
– Trading	506	494
– Non-trading	41	34
Turnover in value (HK\$ mil.)		
Highest	93,238.05 (09/07)	124,773.84 (08/05)
Lowest	34,404.53 (09/04)	49,646.96 (09/09)
Turnover in shares (Mil. shs)		
Highest	161,881.87 (08/01)	204,015.18 (09/23)
Lowest	90,344.67 (09/26)	75,513.21 (08/09)
Total number of trades (deals)		
Highest	1,135,852 (09/14)	1,609,989 (08/09)
Lowest	523,912 (08/13)	637,895 (09/09)

\* Included turnover value traded in non-HKD currency securities

# Market Highlights

## Market Highlights – Growth Enterprise Market (GEM)

Highlights	3rd Quarter 2012	3rd Quarter 2011
No. of listed companies	178	166
No. of listed securities	179	168
Equities	178	166
Warrants	1	2
No. of trading days	64	63
Total turnover in value (HK\$ mil.) *	5,395.83	10,135.84
Total turnover in shares (Mil. shs)	33,512.63	40,291.94
Total number of trades (deals)	206,627	316,819
Total market capitalisation (HK\$ mil.)	73,436.35	77,346.08
Average P/E ratio (times)	17.17	20.23
Average dividend yield (%)	0.92	0.65
Turnover in value (HK\$ mil.)		
Highest	228.47 (08/21)	362.02 (08/08)
Lowest	53.83 (08/03)	72.06 (09/12)
Turnover in shares (Mil. shs)		
Highest	1,203.82 (09/25)	2,140.20 (08/08)
Lowest	248.35 (08/03)	367.55 (07/27)
Total number of trades (deals)		
Highest	5,920 (08/13)	8,313 (08/08)
Lowest	2,040 (08/03)	3,683 (09/28)

\* Included turnover value traded in non-HKD currency securities

# Market Highlights

## Derivatives Market Highlights

Highlights	3rd Quarter 2012	3rd Quarter 2011
<b>All futures and options products (excluding stock options)</b>		
No. of trading days	63.5	63.0
Total contract volume	<b>15,449,717</b>	<b>19,166,455</b>
Equity index products	15,378,758	19,032,203
Equity products	68,787	132,733
Interest rates products	–	208
RMB Currency Futures	2,172	–
Gold Futures	–	1,311
Average daily contract volume	<b>243,303</b>	<b>304,229</b>
<b>Total open interest as at the quarter end (contracts)</b>		
	<b>1,357,033</b>	<b>1,084,434</b>
Equity index products	1,345,599	1,059,563
Equity products	10,353	24,653
Interest rates products	5	86
RMB Currency Futures	1,076	–
Gold Futures	–	132
<b>Stock options</b>		
No. of newly introduced stock options classes	–	–
No. of stock options as at the quarter end		
Class	63	57
Series	14,352	19,540
<b>Total premium (HK\$ mil.)</b>		
	<b>7,354.69</b>	<b>24,566.72</b>
Call	3,304.71	5,505.40
Put	4,049.99	19,061.32
Average daily premium (HK\$ mil.)	115.82	389.95
Total contract volume	<b>13,428,912</b>	<b>21,961,666</b>
Call	6,406,909	9,056,823
Put	7,022,003	12,904,843
Average daily contract volume	211,479	348,598
Total open interest as at the quarter end (contracts)	<b>4,658,740</b>	<b>8,112,569</b>
Call	2,096,887	3,983,287
Put	2,561,853	4,129,282
Total number of trades	608,815	892,211
Average daily number of trades	9,588	14,162
Total contracts exercised	1,722,979	2,425,612
Average premium per contract (HK\$)	547.68	1,118.62
Average contract per trade (contracts)	22	25
Put volume/call volume	1.10	1.42
Average daily contract volume/open interest	4.5%	4.3%
<b>All futures and options products</b>		
Total contract volume	<b>28,878,629</b>	<b>41,128,121</b>
Total open interest as at the quarter end (contracts)	<b>6,015,773</b>	<b>9,197,003</b>

# Market Highlights

## Clearing and Settlement Statistics

Securities Market	3rd Quarter 2012	3rd Quarter 2011
<b>Average Daily Exchange Trades Handled by CCASS</b>		
– Number of trades	686,075	908,434
– Value of trades (HK\$bil.)	46.3	72.5
– Share quantity Involved (bil shares)	127.4	165.5
<b>Average Daily Settlement Instructions (“SIs”) Settled by CCASS</b>		
– Number of SIs	66,152	84,654
– Value of SIs (HK\$bil.)	156.1	221.5
– Share quantity involved (bil. Shares)	42.1	50.4
<b>Average Daily Investor SIs (“ISIs”) Settled by CCASS</b>		
– Number of ISIs	313	489
– Value of ISIs (HK\$mil.)	164.4	383.0
– Share quantity involved (mil. shares)	96.4	123.9
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.91	99.87
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
<b>Average Daily Buy-ins Executed on T+3</b>		
– Number of brokers involved	4	5
– Number of buy-ins	4	6
– Value of buy-ins (HK\$mil)	1.4	2.1
<b>Shares Deposited in the CCASS Depository *</b>		
– Number of shares (bil shares)	3,672.0	3,771.1
– Percentage of total issued shares of the admitted securities	69.98	71.19
– Value of shares (HK\$bil)	10,816.8	8,909.9
– Percentage of the total market capitalisation of the admitted securities	50.71	49.96
<b>Derivatives Market</b>		
<b>Open Interest</b>		
– Equity Index Futures	357,089	327,470
– Stock Futures	10,353	24,653
– Gold Futures	–	132
– Interest Rates Futures	5	86
– RMB Currency Futures	1,076	–
– Equity Index Options	988,510	732,093
– Stock Options	4,658,740	8,112,569
<b>Cumulative Stock Options Exercised</b>		
– Share quantity involved (mil. shs)	1,781	2,342
– Value of shares (HK\$bil.)	27	44

\* Share quantity and market value of securities in non-HKD currency are included

# Main Board Equities

## Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2011	Q3	597,541.33	3,222,655.92	45,991,628
	Q4	568,209.23	2,399,034.07	42,392,538
2012	Q1	586,720.90	2,723,802.82	43,393,219
	Q2	409,732.26	2,175,416.95	36,208,311
	Q3	437,269.47	2,123,255.03	35,393,350

## Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q3	63	51,153.27	70,070
	Q4	62	38,694.10	56,591
2012	Q1	61	44,652.51	62,770
	Q2	61	35,662.57	60,081
	Q3	64	33,175.86	59,990

# Main Board Equities

## 20 Most Advanced Stocks

for 3rd quarter 2012

Rank	Code	Stock	Closing price		% up
			End of Sep 2012	End of Jun 2012	
1	01152	FORNTON GROUP	1.630	0.450	262.22
2	00207	HK PARKVIEW	3.840	1.220	214.75
3	00147	CHAOYUE GROUP	0.530	0.176	201.14
4	00007	KARL THOMSON	1.100	0.430	155.81
5	00727	VXL CAPITAL	0.180	0.073	146.58
6	00273	WILLIE INT'L	1.680	0.760	A 121.05
7	00759	CEC INT'L HOLD	0.630	0.290	117.24
8	00692	BAO YUAN HOLD	0.270	0.125	A 116.00
9	02310	KWANG SUNG ELEC	1.460	0.690	111.59
10	01176	ZHUGUANG HOLD	1.190	0.570	108.77
11	00936	MANTA HOLDINGS	0.780	0.385	A 102.60
12	01141	BJ YUSHENGTANG	0.100	0.050	100.00
13	01231	NEWTON RES	0.770	0.385	100.00
14	00070	NEPTUNE GROUP	0.169	0.089	89.89
15	01010	PACMOS TECH	0.660	0.360	83.33
16	00775	CKLIFE SCIENCES	0.720	0.400	80.00
17	01013	WAI CHUN GROUP	0.045	0.025	80.00
18	00705	CVM MINERALS	0.095	0.053	79.25
19	00237	SAFETY GODOWN	10.500	5.950	76.47
20	00822	KA SHUI INT'L	1.150	0.660	74.24

A Adjusted

## 20 Most Declined Stocks

for 3rd quarter 2012

Rank	Code	Stock	Closing price		% down
			End of Sep 2012	End of Jun 2012	
1	01182	C Y FOUNDATION	0.162	1.170	A -86.15
2	01076	FIRST NATURAL	0.670	3.442	A -80.53
3	01036	WINSOR PPT HOLD	12.320	33.800	-63.55
4	00591	C HIGHPRECISION	1.240	2.685	-53.82
5	02324	CAPITAL VC	0.090	0.191	-52.88
6	01166	SOLARTECH INT'L	0.037	0.076	-51.32
7	00620	UDL HOLDING-NEW	0.700	1.413	A -50.46
8	00616	EASYKNIT ENT	0.078	0.152	A -48.68
9	00794	COME SURE GROUP	0.570	1.090	-47.71
10	00036	FE HLDGS INTL	0.420	0.770	-45.45
11	00986	CH ENV ENGY-NEW	0.740	1.300	A -43.08
12	01878	SOUTHGOBI-S	16.520	29.000	-43.03
13	02488	LAUNCH TECH	11.900	20.800	-42.79
14	01101	CH RONGSHENG	1.030	1.790	-42.46
15	00482	SANDMARTIN INTL	0.650	1.110	-41.44
16	00982	IONE HOLDINGS	0.034	0.058	-41.38
17	01029	IRC	0.500	0.840	-40.48
18	00578	ROSAN RES	0.043	0.072	-40.28
19	00928	TACK FIORI	0.485	0.800	A -39.38
20	01220	ZHIDAO INT'L	0.158	0.255	-38.04

A Adjusted

# Main Board Equities

## 20 Most Active Stocks by Value

for 3rd quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00941	CHINA MOBILE	94,146.31	4.43
2	00939	CCB	87,467.09	4.12
3	01398	ICBC	72,785.00	3.43
4	00005	HSBC HOLDINGS	61,460.49	2.89
5	01299	AIA	56,743.77	2.67
6	00883	CNOOC	53,617.23	2.53
7	00700	TENCENT	47,846.37	2.25
8	03988	BANK OF CHINA	46,027.18	2.17
9	02628	CHINA LIFE	44,118.98	2.08
10	00857	PETROCHINA	42,957.42	2.02
11	02318	PING AN	38,297.20	1.80
12	00016	SHK PPT	35,482.01	1.67
13	00013	HUTCHISON	33,497.38	1.58
14	00386	SINOPEC CORP	31,259.83	1.47
15	00388	HKEX	31,075.10	1.46
16	00688	CHINA OVERSEAS	30,187.57	1.42
17	01088	CHINA SHENHUA	29,057.68	1.37
18	00001	CHEUNG KONG	28,588.73	1.35
19	02601	CPIC	26,647.58	1.26
20	00494	LI & FUNG	25,699.52	1.21
<b>Total</b>			<b>916,962.44</b>	<b>43.19</b>

## 20 Most Active Stock by Shares

for 3rd quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	00939	CCB	17,035.52	3.90
2	01398	ICBC	16,877.74	3.86
3	03988	BANK OF CHINA	15,862.74	3.63
4	00493	GOME	10,578.90	2.42
5	01288	ABC	7,482.89	1.71
6	03800	GCL-POLY ENERGY	5,838.02	1.34
7	00566	APOLLO SOLAR EN	5,079.10	1.16
8	03333	EVERGRANDE	5,034.77	1.15
9	00055	NEWAY GROUP	4,620.10	1.06
10	00728	CHINA TELECOM	4,610.47	1.05
11	00857	PETROCHINA	4,445.94	1.02
12	00386	SINOPEC CORP	4,427.18	1.01
13	00992	LENOVO GROUP	4,089.55	0.94
14	00076	SOUTH SEA PETRO	3,998.23	0.91
15	00985	CST MINING	3,782.77	0.87
16	00883	CNOOC	3,504.04	0.80
17	00981	SMIC	3,401.96	0.78
18	00885	FOREFRONT GROUP	3,135.97	0.72
19	01051	G-RESOURCES	2,999.04	0.69
20	03323	CNBM	2,913.60	0.67
<b>Total</b>			<b>129,718.51</b>	<b>29.67</b>



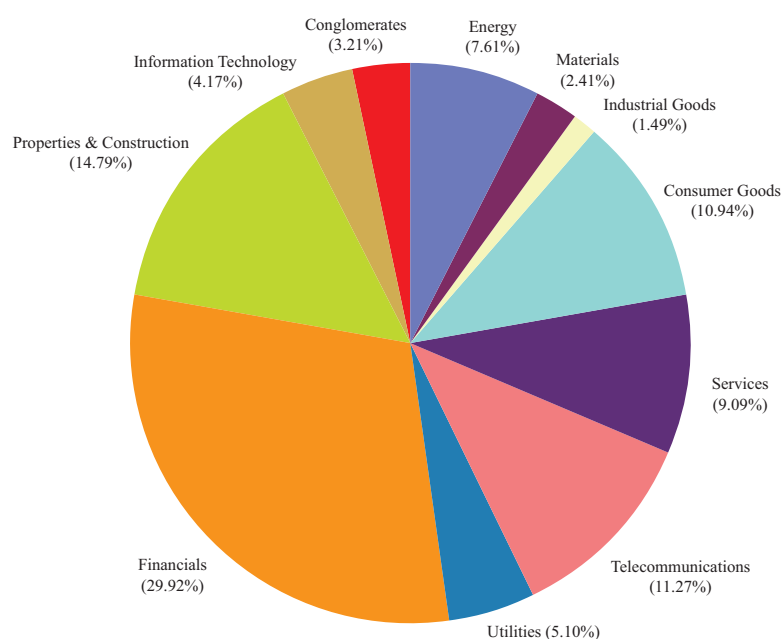
# Main Board Equities

## Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2011	Q3	16,147,694.26
	Q4	17,452,667.08
2012	Q1	19,690,708.60
	Q2	18,351,873.28
	Q3	19,575,154.28

## Market Capitalisation by Hang Seng Industry Classification System\* – Main Board

Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

# Main Board Equities

## 50 Leading Companies by Market Capitalisation

as at the 3rd quarter end 2012

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,727,562.89	8.83	
2	00005	HSBC Holdings plc	1,335,817.94	6.82	
3	00939	China Construction Bank Corporation – H Shares	1,293,445.18	6.61	
4	00883	CNOOC Ltd.	709,876.27	3.63	
5	00700	Tencent Holdings Ltd.	487,656.03	2.49	
6	02888	Standard Chartered PLC	428,025.37	2.19	
7	01398	Industrial and Commercial Bank of China Ltd. – H Shares	397,516.72	2.03	
8	01299	AIA Group Ltd.	348,071.60	1.78	
9	00013	Hutchison Whampoa Ltd.	320,605.48	1.64	
10	00805	Glencore International plc *	–	302,176.44	N.A.
11	00762	China Unicom (Hong Kong) Ltd.	299,745.55	1.53	
12	00016	Sun Hung Kai Properties Ltd.	297,147.61	1.52	
13	00001	Cheung Kong (Holdings) Ltd.	263,347.89	1.35	
14	02378	Prudential plc *	–	261,042.72	N.A.
15	02388	BOC Hong Kong (Holdings) Ltd.	260,619.03	1.33	
16	03988	Bank of China Ltd. – H Shares	246,685.72	1.26	
17	01928	Sands China Ltd.	233,100.23	1.19	
18	00011	Hang Seng Bank Ltd.	227,318.10	1.16	
19	00857	PetroChina Co. Ltd. – H Shares	214,364.82	1.10	
20	03328	Bank of Communications Co., Ltd. – H Shares	184,162.40	0.94	
21	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	183,402.32	0.94	
22	00945	Manulife Financial Corporation *	–	171,016.63	N.A.
23	00003	Hong Kong and China Gas Co. Ltd., The	170,857.38	0.87	
24	00066	MTR Corporation Ltd.	170,150.40	0.87	
25	02628	China Life Insurance Co. Ltd. – H Shares	166,682.32	0.85	
26	00004	Wharf (Holdings) Ltd., The	163,124.97	0.83	
27	00688	China Overseas Land & Investment Ltd.	161,162.08	0.82	
28	00002	CLP Holdings Ltd.	158,564.85	0.81	
29	01913	PRADA S.p.A.	148,155.91	0.76	
30	00006	Power Assets Holdings Ltd.	140,541.13	0.72	
31	01972	Swire Properties Ltd.	140,400.00	0.72	
32	00019	Swire Pacific Ltd.	139,943.92	0.71	
33	00012	Henderson Land Development Co. Ltd.	133,988.52	0.68	
34	00151	Want Want China Holdings Ltd.	130,822.34	0.67	
35	00322	Tingyi (Cayman Islands) Holding Corp.	130,587.15	0.67	
36	00388	Hong Kong Exchanges and Clearing Ltd.	127,051.49	0.65	
37	00386	China Petroleum & Chemical Corporation – H Shares	121,490.73	0.62	
38	00101	Hang Lung Properties Ltd.	118,561.16	0.61	
39	01880	Belle International Holdings Ltd.	118,416.63	0.60	
40	01038	Cheung Kong Infrastructure Holdings Ltd.	117,179.94	0.60	
41	01929	Chow Tai Fook Jewellery Group Ltd.	110,400.00	0.56	
42	00135	Kunlun Energy Co. Ltd.	109,082.60	0.56	
43	00027	Galaxy Entertainment Group Ltd.	109,006.20	0.56	
44	01128	Wynn Macau, Ltd.	108,679.17	0.56	
45	01088	China Shenhua Energy Co. Ltd. – H Shares	102,297.33	0.52	
46	00494	Li & Fung Ltd.	100,457.04	0.51	
47	01109	China Resources Land Ltd.	99,530.09	0.51	
48	00880	SJM Holdings Ltd.	93,515.41	0.48	
49	01288	Agricultural Bank of China Ltd. – H Shares	92,831.25	0.47	
50	06808	Sun Art Retail Group Ltd.	92,058.15	0.47	
<b>Total</b>			<b>13,034,009.34</b>	<b>66.58</b>	
<b>Market Total</b>			<b>19,575,154.28</b>	<b>100.00</b>	

Notes:

\* not included in Market Total

# Main Board Equities

## Short Selling (value)

		Short selling turnover (HK\$ mil.)	Market turnover (HK\$ mil.) *	% of market total
2011	Q3	409,874.98	4,572,502.61	8.96
	Q4	324,530.48	3,678,664.31	8.82
2012	Q1	324,025.04	3,855,821.30	8.40
	Q2	297,380.47	3,061,167.30	9.71
	Q3	295,053.14	2,967,517.56	9.94

\* Market turnover included the trading on Main Board and GEM

## Short Selling (share)

		Short selling turnover (Mil. shs)	Market turnover (Mil. shs) *	% of market total
2011	Q3	39,305.84	10,424,666.89	0.38
	Q4	33,276.30	10,007,013.98	0.33
2012	Q1	33,605.28	9,790,931.90	0.34
	Q2	30,899.22	8,245,256.75	0.37
	Q3	29,541.26	8,151,536.18	0.36

\* Market turnover included the trading on Main Board and GEM

## New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2011	Q3	18	1	19,494.12
	Q4	26	1	64,868.56
2012	Q1	15	0	9,607.54
	Q2	10	0	20,429.18
	Q3	12	2	13,628.71

\* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

# All funds raised are attributed to the initial public offers of newly listed companies

# Main Board Equities

## Newly Listed Companies

for 3rd quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
03666	Xiao Nan Guo Restaurants Holdings Ltd.	2012/07/04	1,475,000,000	511.88
02068	China Aluminum International Engineering Corp. Ltd.-H shares	2012/07/06	399,476,000	1,427.22
01237	Merry Garden Holdings Ltd.	2012/07/06	1,000,000,000	180.00
03939	Wanguo International Mining Group Ltd.	2012/07/10	600,000,000	298.50
03633	Zhongyu Gas Holdings Ltd. *	2012/07/11	2,523,007,684	–
03669	China Yongda Automobiles Services Holdings Ltd.	2012/07/12	1,480,022,000	1,673.36
03948	Inner Mongolia Yitai Coal Co., Ltd. – H Shares	2012/07/12	163,003,500	7,009.15
01332	Qualipak International Holdings Ltd.	2012/07/12	143,765,993	22.86
01616	Silverman Holdings Ltd.	2012/07/12	800,000,000	176.64
01190	Bolina Holding Co., Ltd.	2012/07/13	1,014,700,000	483.11
01699	China Putian Food Holding Ltd.	2012/07/13	800,000,000	140.00
01335	Sheen Tai Holdings Group Co. Ltd.	2012/07/13	415,000,000	138.00
01103	Shanghai Tonva Petrochemical Co., Ltd. – H Shares *	2012/07/16	456,190,000	–
06889	DYNAM JAPAN HOLDINGS Co., Ltd.	2012/08/06	742,850,360	1,568.00
<b>Total</b>				<b>13,628.71</b>

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

# Main Board Equities

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## Withdrawal of Listed Companies

for 3rd quarter 2012

Code	Company name	Last trading date	Delisted date
00870	Hang Fung Gold Technology Ltd.	2008/09/30	2012/07/09

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# Main Board Equities

## Company Name Changes

for 3rd quarter 2012

Code	Old name	New name	Effective date	Adoption date
00578	China CBM Group Ltd.	Rosan Resources Holdings Ltd.	2012/06/01	2012/07/03
01062	New Capital International Investment Ltd.	China Development Bank International Investment Ltd.	2012/06/19	2012/07/23
01262	China Lifestyle Food and Beverages Group Ltd.	Labixiaoxin Snacks Group Ltd.	2012/06/01	2012/07/25
02228	COSTIN New Materials Group Ltd.	CECEP COSTIN New Materials Group Ltd.	2012/06/01	2012/07/27
00119	Poly (Hong Kong) Investments Ltd.	Poly Property Group Co., Ltd.	2012/08/14	2012/08/20
01208	Minmetals Resources Ltd. *	MMG Ltd.	2012/09/06	2012/09/17
01265	Tianjin Tianlian Public Utilities Co. Ltd. – H Shares	Tianjin Jinran Public Utilities Co. Ltd. – H Shares	2012/08/17	2012/09/21
00455	Tianda Holdings Ltd.	Tianda Pharmaceuticals Ltd.	2012/09/03	2012/09/24
00948	Z-Obee Holdings Ltd. #	Z-Obee Holdings Ltd.	2012/09/14	2012/09/24
00159	Wah Nam International Holdings Ltd.	Brockman Mining Ltd.	2012/09/03	2012/09/25
02266	Kosmopolito Hotels International Ltd.	Dorsett Hospitality International Ltd.	2012/08/31	2012/09/28
02288	Sundart International Holdings Ltd.	Rykadan Capital Ltd.	2012/08/31	2012/09/28

\* Change of English name only

# Change of Chinese name only

# Main Board Equities

## Bonus Issues/Bonus Warrants

for 3rd quarter 2012

Code	Company	Particulars	Ex-date
02338	Weichai Power Co. Ltd. – H Shares	2 for 10	2012/08/02
00886	Silver Base Group Holdings Ltd.	1 for 40	2012/08/22
00084	Stelux Holdings International Ltd.	1 for 10	2012/08/27
01050	Karrie International Holdings Ltd.	1 for 1	2012/08/28
00767	Pacific Plywood Holdings Ltd.	5 for 1 rts sh	2012/09/11
00065	DeTeam Co. Ltd.	2 for 10	2012/09/21
02005	Lijun International Pharmaceutical (Holding) Co. Ltd.	1 for 5	2012/09/24
00936	Manta Holdings Co. Ltd.	3 for 1	2012/09/24

## Share Split/Consolidation

for 3rd quarter 2012

Code	Company	Particulars	Effective date
00279	Freeman Financial Corporation Ltd.	Consolidation 5 into 1	2012/07/19
01076	First Natural Foods Holdings Ltd.	Consolidation 80 into 1	2012/08/07
00412	Heritage International Holdings Ltd.	Consolidation 5 into 1	2012/08/17
00913	Unity Investments Holdings Ltd.	Consolidation 8 into 1	2012/08/20
00273	Willie International Holdings Ltd.	Consolidation 10 into 1	2012/08/21
00928	Tack Fiori International Group Ltd.	Consolidation 5 into 1	2012/08/23
00419	Media China Corporation Ltd.	Consolidation 2 into 1	2012/08/24
00986	China Environmental Energy Investment Ltd.	Consolidation 50 into 1	2012/09/10
00471	CMMB Vision Holdings Ltd.	Consolidation 10 into 1	2012/09/10
00767	Pacific Plywood Holdings Ltd.	Consolidation 8 into 1	2012/09/10
00620	UDL Holdings Ltd.	Consolidation 50 into 1	2012/09/14
02322	Sam Woo Holdings Ltd.	Consolidation 5 into 1	2012/09/17
01182	C Y Foundation Group Ltd.	Consolidation 10 into 1	2012/09/18

# Main Board Equities

## Rights Issues & Open Offers

for 3rd quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	00362	China Zenith Chemical Group Ltd.	Open offer 2 for 1 @\$0.15	223.69
	00692	Bao Yuan Holdings Ltd.	Open offer 7 for 2 @\$0.12	204.09
	01428	Bright Smart Securities & Commodities Group Ltd.	Rts 1 for 2 @\$0.55	187.78
Aug	00399	United Gene High-Tech Group Ltd.	Rts 3 for 10 @\$0.022	80.29
	00245	China Seven Star Shopping Ltd.	Open offer 1 for 2 @\$0.05	36.64
	01076	First Natural Foods Holdings Ltd.	Open offer 7 for 1 @\$0.5622 (after consolidation 80 into 1)	58.34
Sep	00736	China Properties Investment Holdings Ltd.	Rts 1 for 2 @\$0.068	10.02
	00616	Easyknit Enterprises Holdings Ltd.	Rts 1 for 2 @\$0.077	29.37
	01037	Daiwa Associate Holdings Ltd.	Rts 1 for 4 @\$0.20	15.77
<b>Total</b>				<b>845.99</b>



# Main Board Equities

## Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)	
2012						
Jul	03318	CHINA FLAVORS	80,000,000	1.000	80.00	
	03311	CHINA STATE CON	300,000,000	7.570	2,271.00	
	01278	CHINANEWTOWN	585,000,000	0.350	204.75	
	00875	CYPRESS JADE	58,360,000	0.205	11.96	
	00616	EASYKNIT ENT	114,700,000	0.106	12.16	
	00689	EPI (HOLDINGS)	360,000,000	0.155	55.80	
	00993	SIMSEN INT'L	90,000,000	0.156	14.04	
	00702	SINO OIL & GAS	200,000,000	0.150	30.00	
	01063	SUNCORP TECH	31,320,000	0.179	5.61	
	00720	WO KEE HONG	491,783,710	0.150	73.77	
	Aug	03328	BANKCOMM	5,835,310,438	5.630	32,852.80
		00721	C FIN INT INV	240,000,000	0.450	108.00
		02380	CHINA POWER	443,248,000	2.100	930.82
01038		CKI HOLDINGS	50,000,000	46.150	2,307.50	
00705		CVM MINERALS	835,000,000	0.050	41.75	
01102		ENVIRO ENERGY	154,000,000	0.152	23.41	
03900		GREENTOWN CHINA	162,113,714	5.200	842.99	
01051		G-RESOURCES	2,041,287,000	0.380	775.69	
00202		INTERCHINA HOLD	854,000,000	0.340	290.36	
00988		LOUDONG GN RES	60,000,000	0.380	22.80	
03989		NEW ENV ENERGY	127,244,000	0.390	49.63	
02323		TOPSEARCH INT'L	93,400,000	0.102	9.55	
01129		WATER INDUSTRY	82,000,000	0.500	41.00	
Sep		02371	C ORI CULTURE	20,000,000	0.180	3.60
		02324	CAPITAL VC	84,000,000	0.113	9.49
	00257	CHINA EB INT'L	350,000,000	3.580	1,253.00	
	01076	FIRST NATURAL	266,830,850	0.562	150.01	
	00412	HERITAGE INT'L	312,417,159	0.260	81.23	
	00969	HUA LIEN INT'L	800,000,000	0.600	480.00	
	03303	JUTAL OIL SER	6,000,000	0.600	3.60	
	00960	LONGFOR PPT	260,000,000	11.880	3,088.80	
01228	SUPERB SUMMIT	70,000,000	0.145	10.15		
<b>Total</b>					<b>46,135.27</b>	

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

\*\* Supplementary information update for previous quarters.

# Main Board Warrants

## Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2011	Q3	28.67	654,145.24	654,173.91
	Q4	100.62	509,956.45	510,057.08
2012	Q1	28.26	525,898.84	525,927.10
	Q2	22.75	382,702.18	382,724.93
	Q3	10.37	355,739.26	355,749.63

## Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2011	Q3	15	429.33	4,778	86,648.18	4,793	87,077.51
	Q4	13	602.75	4,027	75,484.38	4,040	76,087.13
2012	Q1	13	404.92	4,094	94,572.19	4,107	94,977.11
	Q2	14	378.29	4,032	64,850.10	4,046	65,228.38
	Q3	12	335.18	3,903	77,929.72	3,915	78,264.90

## New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2011	Q3	2	1,979	73,939.94
	Q4	1	1,276	53,214.21
2012	Q1	1	1,580	50,958.90
	Q2	2	1,373	44,256.44
	Q3	0	1,328	36,903.66

## Newly Listed Equity Warrants

for 3rd quarter 2012

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
<b>Total</b>					<b>–</b>

# Main Board Warrants

## Newly Listed Derivative Warrants

for 3rd quarter 2012

Code	Derivative warrants	No. of		Amount raised	
		issue (units)	Listing date	Expiry	(HK\$ mil.)
19154	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Jan 2014 A	100,000,000	2012/07/03	2014/01/02	16.00
19158	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Feb 2013 A	158,000,000	2012/07/03	2013/02/01	34.76
19149	Goldman Sachs SP (Asia) – Chi Resources Euro Wt Feb 2013 A	120,000,000	2012/07/03	2013/02/25	30.24
19150	Goldman Sachs SP (Asia) – China Tel European Wts Jan 2013 A	100,000,000	2012/07/03	2013/01/14	25.30
19151	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Jan 2013 A	120,000,000	2012/07/03	2013/01/14	30.00
19153	Goldman Sachs SP (Asia) – Dongfeng European Wts Mar 2013 A	120,000,000	2012/07/03	2013/03/19	18.36
19152	Goldman Sachs SP (Asia) – Li & Fung European Wts Jul 2013 A	120,000,000	2012/07/03	2013/07/23	22.20
19155	Macquarie Bank Ltd. – CITIC Sec European Warrants Feb 2013 A	180,000,000	2012/07/03	2013/02/15	45.00
19148	Standard Chartered Bank – HSI European Put Wts Nov 2012 A	200,000,000	2012/07/03	2012/11/29	30.00
19156	UBS AG – Sinopec Corp European Warrants Dec 2012 A	100,000,000	2012/07/03	2012/12/19	41.50
19157	UBS AG – ICBC European Warrants Dec 2012 A	100,000,000	2012/07/03	2012/12/17	23.00
17711 #	Daiwa Capital Mkt – A50 China European Warrants Jan 2013 A	380,000,000	2012/07/03	2013/01/02	31.92
19182	Credit Suisse AG – China Tel European Warrants Nov 2012 B	80,000,000	2012/07/04	2012/11/16	12.00
19183	Credit Suisse AG – ChiUnicom European Warrants Jan 2013 A	80,000,000	2012/07/04	2013/01/04	52.80
19181	Credit Suisse AG – HSI European Warrants Jan 2013 A	300,000,000	2012/07/04	2013/01/30	45.00
19179	Daiwa Capital Mkt – A50 China European Warrants May 2014 A	128,000,000	2012/07/04	2014/05/02	23.04
19180	Daiwa Capital Mkt – A50 China European Put Wts Oct 2013 A	108,000,000	2012/07/04	2013/10/02	23.76
19175	Goldman Sachs SP (Asia) – BYD European Warrants Mar 2013 A	120,000,000	2012/07/04	2013/03/04	30.00
19165	Goldman Sachs SP (Asia) – CNOOC European Warrants Apr 2013 A	120,000,000	2012/07/04	2013/04/22	26.76
19167	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jan 2013 B	100,000,000	2012/07/04	2013/01/07	19.10
19166	Goldman Sachs SP (Asia) – HKEx European Warrants Jan 2013 A	100,000,000	2012/07/04	2013/01/14	31.10
19164	Goldman Sachs SP (Asia) – JIANG European Warrants Mar 2013 A	120,000,000	2012/07/04	2013/03/25	24.84
19168	Macquarie Bank Ltd. – Huaneng Power European Wts Jan 2013 A	120,000,000	2012/07/04	2013/01/03	30.00
19169	Macquarie Bank Ltd. – MTRC European Warrants Oct 2013 A	180,000,000	2012/07/04	2013/10/03	45.00
19174	Macquarie Bank Ltd. – Sands China European Wts Dec 2012 B	180,000,000	2012/07/04	2012/12/10	28.44
19162	Nomura Int'l plc – CNOOC European Warrants Dec 2012 A	100,000,000	2012/07/04	2012/12/24	15.00
19161	Nomura Int'l plc – HKEx European Warrants Nov 2013 A	100,000,000	2012/07/04	2013/11/29	15.00
19159	Nomura Int'l plc – HSBC European Warrants Oct 2012 A	100,000,000	2012/07/04	2012/10/31	31.00
19160	Nomura Int'l plc – HSBC European Warrants Nov 2012 A	100,000,000	2012/07/04	2012/11/30	15.00
19163	Nomura Int'l plc – Ping An European Warrants Dec 2012 A	100,000,000	2012/07/04	2012/12/24	41.50
19177	CC Rabobank B.A. – Belle Int'l European Warrants Jun 2013 A	100,000,000	2012/07/04	2013/06/25	17.00
19176	CC Rabobank B.A. – CITIC Sec European Warrants Dec 2012 A	80,000,000	2012/07/04	2012/12/17	12.00
19178	CC Rabobank B.A. – China Shenhua European Warrants Jan 2013B	80,000,000	2012/07/04	2013/01/07	20.00
19172	Standard Chartered Bank – CC Bank European Put Wts Feb 2013B	80,000,000	2012/07/04	2013/02/22	20.00
19173	Standard Chartered Bank – China Life Euro Put Wts Feb 2013 B	80,000,000	2012/07/04	2013/02/22	20.00
19170	Standard Chartered Bank – HSBC European Put Wts Feb 2013 A	80,000,000	2012/07/04	2013/02/22	28.00
19171	Standard Chartered Bank – Tencent Hldg Eu Put Wts Feb 2013 A	80,000,000	2012/07/04	2013/02/22	20.00
19186	UBS AG – BOC HK European Warrants Nov 2012 A	100,000,000	2012/07/04	2012/11/27	15.00
19184	UBS AG – China Life European Warrants Dec 2012 F	300,000,000	2012/07/04	2012/12/03	45.00
19185	UBS AG – ICBC European Warrants Dec 2012 B	100,000,000	2012/07/04	2012/12/17	15.00
19188	UBS AG – Sands China European Warrants Dec 2012 B	100,000,000	2012/07/04	2012/12/03	32.00
10153	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Mar 2013 A	100,000,000	2012/07/05	2013/03/12	25.00
19206	Credit Suisse AG – Tencent Holdings European Wts Dec 2012 D	100,000,000	2012/07/05	2012/12/03	15.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19202	Goldman Sachs SP (Asia) – Lenovo European Put Wts Jan 2013 A	80,000,000	2012/07/05	2013/01/14	42.08
19197	HK Bank – A50 China European Warrants Jan 2013 A	200,000,000	2012/07/05	2013/01/28	30.00
19200	HK Bank – ICBC European Warrants Jan 2013 A	80,000,000	2012/07/05	2013/01/14	20.00
19198	HK Bank – Lenovo European Warrants May 2014 A	150,000,000	2012/07/05	2014/05/26	22.50
19196	HK Bank – Sands China European Warrants Jan 2013 A	60,000,000	2012/07/05	2013/01/28	15.00
19199	HK Bank – Tencent European Warrants Jan 2013 A	120,000,000	2012/07/05	2013/01/14	36.00
19201	HK Bank – Tencent European Put Warrants Feb 2013 A	120,000,000	2012/07/05	2013/02/25	30.00
19203	J P Morgan SP BV – ICBC European Warrants Dec 2012 A	200,000,000	2012/07/05	2012/12/17	32.20
19195	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2013 A	100,000,000	2012/07/05	2013/01/07	25.00
19194	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2012 C	200,000,000	2012/07/05	2012/12/03	46.00
19193	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2013 A	200,000,000	2012/07/05	2013/02/04	50.00
19192	SGA Societe Generale Acceptance N.V.-Sands China Eu Wt Jan13A	100,000,000	2012/07/05	2013/01/07	25.00
19205	UBS AG – CITIC Sec European Warrants Nov 2012 A	100,000,000	2012/07/05	2012/11/12	15.00
19189	UBS AG – China Life European Warrants Jan 2013 A	300,000,000	2012/07/05	2013/01/25	45.00
19191	UBS AG – China Mobile European Warrants Dec 2012 A	200,000,000	2012/07/05	2012/12/17	46.00
19190	UBS AG – HKEx European Warrants Nov 2013 A	300,000,000	2012/07/05	2013/11/26	45.00
19207	UBS AG – Jiangxi Copper European Warrants Mar 2013 A	100,000,000	2012/07/05	2013/03/25	18.00
19204	UBS AG – New World European Warrants Dec 2012 A	100,000,000	2012/07/05	2012/12/10	30.00
16324 #	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2013	150,000,000	2012/07/05	2013/11/01	10.80
19223	Credit Suisse AG – Chow Tai Fook European Wts Jan 2013 A	50,000,000	2012/07/06	2013/01/07	30.00
19221	Credit Suisse AG – HSBC European Wts Oct 2012 B	100,000,000	2012/07/06	2012/10/09	15.00
19222	Credit Suisse AG – Hutchison European Warrants Nov 2012 A	100,000,000	2012/07/06	2012/11/30	20.00
19227	Daiwa Capital Mkt – ICBC European Warrants Dec 2012 A	128,000,000	2012/07/06	2012/12/10	25.60
19213	Bank of East Asia – BOCHK European Warrants Dec 2013 A	60,000,000	2012/07/06	2013/12/23	15.00
19215	Bank of East Asia – BYD European Warrants Feb 2013 A	100,000,000	2012/07/06	2013/02/22	25.00
19229	Bank of East Asia – China Coal European Put Wts Jun 2014 A	230,000,000	2012/07/06	2014/06/26	57.50
19217	Bank of East Asia – CNBM European Warrants Dec 2013 A	190,000,000	2012/07/06	2013/12/20	47.50
19214	Bank of East Asia – China Overseas Eur Put Wts Jun 2013 B	100,000,000	2012/07/06	2013/06/21	25.00
19228	Bank of East Asia – JIANC European Put Warrants Jun 2013 A	110,000,000	2012/07/06	2013/06/26	27.50
19216	Bank of East Asia – Yanzhou Coal European Wts Sep 2013 A	110,000,000	2012/07/06	2013/09/16	16.50
19224	J P Morgan SP BV – Esprit European Wts Aug 2013 A	150,000,000	2012/07/06	2013/08/02	37.50
19226	J P Morgan SP BV – JX Copper European Warrants Mar 2013 A	200,000,000	2012/07/06	2013/03/27	50.00
19225	J P Morgan SP BV – Shimao Property European Wts Jul 2013 A	150,000,000	2012/07/06	2013/07/03	37.50
19209	J P Morgan SP BV – Tencent European Warrants Jan 2013 A	200,000,000	2012/07/06	2013/01/07	30.00
19208	J P Morgan SP BV – Tencent European Put Warrants Nov 2012 A	200,000,000	2012/07/06	2012/11/27	50.00
19211	Macquarie Bank Ltd. – CNBM European Warrants Feb 2014 A	180,000,000	2012/07/06	2014/02/04	45.00
19212	Macquarie Bank Ltd. – Luk Fook Hold European Wts Jan 2013 A	180,000,000	2012/07/06	2013/01/14	27.00
19210	Standard Chartered Bank – Hutchison Euro Euro Wts Dec 2012 A	40,000,000	2012/07/06	2012/12/03	12.80
19219	UBS AG – China Overseas European Warrants Feb 2013 A	100,000,000	2012/07/06	2013/02/27	18.00
19220	UBS AG – HKEx European Put Warrants Dec 2013 A	100,000,000	2012/07/06	2013/12/23	18.00
19218	UBS AG – Lenovo European Warrants May 2014 A	100,000,000	2012/07/06	2014/05/29	15.00
14031 #	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2012	290,000,000	2012/07/06	2012/10/19	10.15
19234	Nomura Int'l plc – HuanengPower European Warrants Jan 2013 A	50,000,000	2012/07/09	2013/01/28	16.25
19233	Nomura Int'l plc – Lenovo European Warrants Jan 2013 A	50,000,000	2012/07/09	2013/01/28	23.75

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19230	Nomura Int'l plc – Sany Int'l European Warrants Jan 2013 A	50,000,000	2012/07/09	2013/01/30	16.50
19232	Nomura Int'l plc – Zhaojin Mining European Wts Sep 2013 A	80,000,000	2012/07/09	2013/09/25	12.48
19231	Nomura Int'l plc – ZTE European Warrants May 2013 A	80,000,000	2012/07/09	2013/05/27	14.40
19236	Standard Chartered Bank – Agricultural Bk Euro Wts Nov 2012A	100,000,000	2012/07/09	2012/11/27	15.00
19235	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2012 C	200,000,000	2012/07/09	2012/12/10	62.00
12798 #	Standard Chartered Bank – GCL-Poly Energy European Wts Jul14	500,000,000	2012/07/09	2014/07/31	10.00
19239	Credit Suisse AG – HSI European Warrants Dec 2012 H	300,000,000	2012/07/10	2012/12/28	45.00
19240	Credit Suisse AG – HSI European Put Warrants Nov 2012 C	300,000,000	2012/07/10	2012/11/29	51.00
19237	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Mar 2013 A	120,000,000	2012/07/10	2013/03/04	30.00
19238	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Sep 2013 A	120,000,000	2012/07/10	2013/09/18	18.00
19242	Macquarie Bank Ltd. – Shimao Ppt European Wts Jun 2013 A	180,000,000	2012/07/10	2013/06/04	45.00
19241	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts May 2013 A	180,000,000	2012/07/10	2013/05/20	27.00
19246	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2013 A	200,000,000	2012/07/10	2013/01/16	54.00
19243	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2012D	200,000,000	2012/07/10	2012/10/22	36.00
19244	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2012 B	200,000,000	2012/07/10	2012/10/10	36.00
19245	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2012 A	200,000,000	2012/07/10	2012/12/03	30.00
19248	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2013 A	200,000,000	2012/07/10	2013/02/04	54.00
19247	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2013 A	200,000,000	2012/07/10	2013/01/16	50.00
17681 #	CC Rabobank B.A. – CNBM European Warrants Dec 2012 A	220,000,000	2012/07/10	2012/12/20	10.12
10154	BNP Paribas Arbit Issu B.V. – Gold European Wts Jun 2013 A	100,000,000	2012/07/11	2013/06/06	25.00
10155	BNP Paribas Arbit Issu B.V. – Gold European Wts Jun 2013 B	100,000,000	2012/07/11	2013/06/06	25.00
19261	Credit Suisse AG – China Mobile European Warrants Oct 2012 C	80,000,000	2012/07/11	2012/10/17	12.00
19260	Credit Suisse AG – China Mobile European Warrants Nov 2012 A	80,000,000	2012/07/11	2012/11/12	14.40
19258	Credit Suisse AG – Sinopec Corp European Warrants Dec 2012 A	50,000,000	2012/07/11	2012/12/24	17.50
19263	Credit Suisse AG – HSI European Put Warrants Nov 2012 D	300,000,000	2012/07/11	2012/11/29	54.00
19262	Credit Suisse AG – HSI European Put Warrants Dec 2012 I	300,000,000	2012/07/11	2012/12/28	54.00
19259	Credit Suisse AG – Hutchison European Warrants Oct 2012 C	80,000,000	2012/07/11	2012/10/29	14.40
19249	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2012 C	120,000,000	2012/07/11	2012/10/22	18.36
19252	HK Bank – China Life European Warrants Jun 2013 A	150,000,000	2012/07/11	2013/06/10	37.50
19251	HK Bank – HSI European Warrants May 2013 A	250,000,000	2012/07/11	2013/05/30	62.50
19250	HK Bank – HSI European Put Warrants May 2014 A	250,000,000	2012/07/11	2014/05/29	62.50
19265	J P Morgan SP BV – China Life European Warrants Jan 2013 C	300,000,000	2012/07/11	2013/01/25	49.20
19264	J P Morgan SP BV – China Mob European Wts Dec 2012 B	150,000,000	2012/07/11	2012/12/31	37.95
19253	Merrill Lynch Int'l & Co. C.V. – BOC HK Eu Put Wt Dec 2012 A	70,000,000	2012/07/11	2012/12/17	11.06
19257	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2012 B	70,000,000	2012/07/11	2012/12/10	10.57
19256	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2013 B	70,000,000	2012/07/11	2013/03/11	11.06
19254	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Nov 2012B	60,000,000	2012/07/11	2012/11/30	12.54
19255	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Nov 2012C	60,000,000	2012/07/11	2012/11/12	17.70
19268	CC Rabobank B.A. – HKEx European Warrants Jan 2013 B	120,000,000	2012/07/11	2013/01/21	48.00
19267	CC Rabobank B.A. – HKEx European Put Warrants Jan 2013 A	120,000,000	2012/07/11	2013/01/21	60.00
19270	CC Rabobank B.A. – Lenovo European Warrants Jan 2013 B	100,000,000	2012/07/11	2013/01/14	36.00
19269	CC Rabobank B.A. – Ping An European Warrants Jan 2013 A	100,000,000	2012/07/11	2013/01/21	25.00
19271	UBS AG – HSI European Put Warrants Nov 2012 D	300,000,000	2012/07/11	2012/11/29	57.00
19266	UBS AG – Hutchison European Warrants Nov 2012 B	200,000,000	2012/07/11	2012/11/27	30.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
19289	HK Bank – Ch Ship Cont European Warrants Mar 2013 A	40,000,000	2012/07/12	2013/03/04	10.00
19290	HK Bank – Shanghai Electric European Warrants Mar 2013 A	40,000,000	2012/07/12	2013/03/04	10.00
19287	J P Morgan SP BV – China Tel European Warrants Feb 2013 A	150,000,000	2012/07/12	2013/02/28	37.50
19291	J P Morgan SP BV – Lenovo European Warrants May 2014 A	200,000,000	2012/07/12	2014/05/30	30.00
19286	J P Morgan SP BV – Yanzhou Coal European Warrants Nov 2013 A	200,000,000	2012/07/12	2013/11/26	35.00
19272	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jun 2013 A	80,000,000	2012/07/12	2013/06/03	12.16
19275	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Feb 2013 B	100,000,000	2012/07/12	2013/02/27	25.00
19274	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2013A	100,000,000	2012/07/12	2013/02/27	25.00
19273	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Apr 2013A	100,000,000	2012/07/12	2013/04/29	25.00
19280	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2012 E	100,000,000	2012/07/12	2012/12/28	15.10
19279	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2013 D	100,000,000	2012/07/12	2013/01/30	21.20
19278	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2012 D	100,000,000	2012/07/12	2012/12/28	18.00
19276	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2013 B	100,000,000	2012/07/12	2013/01/30	25.00
19277	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2013 C	100,000,000	2012/07/12	2013/01/30	25.00
19288	CC Rabobank B.A. – Gome European Warrants Jun 2013 A	100,000,000	2012/07/12	2013/06/24	25.00
19282	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2013 A	100,000,000	2012/07/12	2013/06/03	19.00
19283	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2013A	300,000,000	2012/07/12	2013/06/03	45.00
19281	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2012 B	300,000,000	2012/07/12	2012/12/28	48.00
19284	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2012 D	200,000,000	2012/07/12	2012/12/03	30.00
19285	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec 12 B	100,000,000	2012/07/12	2012/12/03	29.00
19293	UBS AG – China Life European Warrants Jun 2013 A	300,000,000	2012/07/12	2013/06/11	45.00
19292	UBS AG – HSI European Warrants Dec 2012 H	300,000,000	2012/07/12	2012/12/28	45.00
19308	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Apr 2013B	100,000,000	2012/07/13	2013/04/03	25.00
19306	J P Morgan SP BV – China Life European Warrants Jul 2013 A	300,000,000	2012/07/13	2013/07/03	75.00
19305	J P Morgan SP BV – Yurun Food European Warrants Dec 2013 A	200,000,000	2012/07/13	2013/12/16	30.00
19303	Macquarie Bank Ltd. – Lenovo European Warrants Feb 2013 A	100,000,000	2012/07/13	2013/02/04	43.10
19298	Nomura Int'l plc – CNBM European Warrants Nov 2012 A	80,000,000	2012/07/13	2012/11/30	12.40
19294	Nomura Int'l plc – Sinopec European Warrants Jan 2013 A	50,000,000	2012/07/13	2013/01/31	12.50
19297	Nomura Int'l plc – A50 China European Warrants Jun 2013 A	80,000,000	2012/07/13	2013/06/20	12.00
19295	Nomura Int'l plc – ICBC European Warrants Dec 2012 A	35,000,000	2012/07/13	2012/12/24	12.25
19296	Nomura Int'l plc – ICBC European Warrants Dec 2012 B	80,000,000	2012/07/13	2012/12/24	12.00
19301	Standard Chartered Bank – Lenovo European Wts Dec 2014 A	100,000,000	2012/07/13	2014/12/01	25.00
19299	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Dec 2012A	100,000,000	2012/07/13	2012/12/19	35.00
19300	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2012A	50,000,000	2012/07/13	2012/12/24	10.50
19302	UBS AG – Galaxy Ent European Warrants Nov 2012 A	100,000,000	2012/07/13	2012/11/27	16.00
19304	UBS AG – ICBC European Warrants Jan 2013 A	100,000,000	2012/07/13	2013/01/07	15.00
19307	UBS AG – Ping An European Warrants Nov 2012 A	100,000,000	2012/07/13	2012/11/27	17.00
19326	Barclays Bank plc – Agricultural Bank European Wts Feb 2013A	100,000,000	2012/07/16	2013/02/18	25.70
19328	Barclays Bank plc – CC Bank European Warrants Feb 2013 A	100,000,000	2012/07/16	2013/02/18	26.00
19330	Barclays Bank plc – CNOOC European Warrants Nov 2013 A	100,000,000	2012/07/16	2013/11/27	25.00
19329	Barclays Bank plc – HSBC European Warrants Feb 2013 A	100,000,000	2012/07/16	2013/02/18	25.70
19324	Barclays Bank plc – HSI European Warrants Oct 2013 A	100,000,000	2012/07/16	2013/10/30	25.50
19325	Barclays Bank plc – HSI European Put Warrants Aug 2013 A	100,000,000	2012/07/16	2013/08/29	25.00
19327	Barclays Bank plc – ICBC European Warrants Feb 2013 A	100,000,000	2012/07/16	2013/02/18	25.70

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19332	Credit Suisse AG – ICBC European Warrants Dec 2012 A	80,000,000	2012/07/16	2012/12/24	12.00
19318	HK Bank – China Mobile European Put Warrants Mar 2013 A	80,000,000	2012/07/16	2013/03/04	20.00
19319	HK Bank – Foxconn European Warrants Jun 2013 A	40,000,000	2012/07/16	2013/06/21	10.00
19323	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Dec 2012 C	40,000,000	2012/07/16	2012/12/10	14.60
19321	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Jan 2013A	40,000,000	2012/07/16	2013/01/21	12.00
19322	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Dec 2012 B	40,000,000	2012/07/16	2012/12/17	12.00
19320	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wt Jan 2013 A	40,000,000	2012/07/16	2013/01/21	10.40
19309	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 A	200,000,000	2012/07/16	2013/05/15	50.00
19310	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2013A	300,000,000	2012/07/16	2013/03/04	75.00
19311	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2013A	300,000,000	2012/07/16	2013/04/03	75.00
19312	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2013A	300,000,000	2012/07/16	2013/06/03	60.00
19313	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt Feb 2013A	100,000,000	2012/07/16	2013/02/04	26.00
19316	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Feb 2013A	500,000,000	2012/07/16	2013/02/04	75.00
19317	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Dec12C	300,000,000	2012/07/16	2012/12/24	45.00
19314	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Feb 2013A	100,000,000	2012/07/16	2013/02/04	25.00
19315	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Dec13A	150,000,000	2012/07/16	2013/12/23	37.50
19331	UBS AG – China Life European Warrants Feb 2013 A	300,000,000	2012/07/16	2013/02/25	75.00
19358	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Apr 2013 A	100,000,000	2012/07/17	2013/04/03	25.00
19352	BNP Paribas Arbit Issu B.V. – Beijing Ent Euro Wt Apr 2013 A	22,000,000	2012/07/17	2013/04/03	10.56
19350	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Apr 2013 A	36,000,000	2012/07/17	2013/04/03	12.24
19351	BNP Paribas Arbit Issu B.V. – BYD European Wts Apr 2013 A	55,000,000	2012/07/17	2013/04/03	13.75
19349	BNP Paribas Arbit Issu B.V. – CQRC Bank Euro Wts Apr 2013 A	35,000,000	2012/07/17	2013/04/03	16.45
19348	BNP Paribas Arbit Issu B.V. – HK Gas European Wts Jun 2013 A	100,000,000	2012/07/17	2013/06/04	25.00
19356	BNP Paribas Arbit Issu B.V. – ICBC European Wts Feb 2013 B	100,000,000	2012/07/17	2013/02/04	16.00
10157	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt May 2013 B	100,000,000	2012/07/17	2013/05/20	25.00
10156	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt May 13 A	100,000,000	2012/07/17	2013/05/20	25.00
19355	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Apr 2013 A	100,000,000	2012/07/17	2013/04/03	28.00
19354	BNP Paribas Arbit Issu B.V. – Weichai Power Eu Wt Apr 2013 A	42,000,000	2012/07/17	2013/04/03	12.60
19357	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Apr 2013 A	100,000,000	2012/07/17	2013/04/03	26.00
19345	Goldman Sachs SP (Asia) – Agri Bk European Wts Apr 2013 A	80,000,000	2012/07/17	2013/04/08	20.08
19346	Goldman Sachs SP (Asia) – BankComm European Wts Feb 2013 A	80,000,000	2012/07/17	2013/02/04	20.88
19344	Goldman Sachs SP (Asia) – JIANC European Warrants Apr 2013 A	100,000,000	2012/07/17	2013/04/11	17.40
19342	J P Morgan SP BV – HKEx European Warrants Jan 2013 A	100,000,000	2012/07/17	2013/01/21	25.00
19343	J P Morgan SP BV – HKEx European Warrants Nov 2013 A	200,000,000	2012/07/17	2013/11/26	30.00
19338	Nomura Int'l plc – BOC HK European Warrants Aug 2013 A	80,000,000	2012/07/17	2013/08/30	12.00
19335	Nomura Int'l plc – China Telecom European Wts Jan 2013 A	35,000,000	2012/07/17	2013/01/31	13.13
19336	Nomura Int'l plc – China Unicom European Warrants Aug 2013 A	80,000,000	2012/07/17	2013/08/30	12.00
19334	Nomura Int'l plc – HSBC European Warrants Dec 2012 A	25,000,000	2012/07/17	2012/12/24	19.00
19337	Nomura Int'l plc – Yanzhou Coal European Warrants Jun 2013 A	80,000,000	2012/07/17	2013/06/10	12.00
19339	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Mar 2013 A	100,000,000	2012/07/17	2013/03/04	25.00
19340	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Dec 2012 C	150,000,000	2012/07/17	2012/12/05	22.50
19341	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Dec 2013 A	150,000,000	2012/07/17	2013/12/23	30.00
19353	UBS AG – AIA European Warrants Dec 2012 A	100,000,000	2012/07/17	2012/12/27	16.00
19333	UBS AG – HSI European Put Warrants Dec 2012 I	300,000,000	2012/07/17	2012/12/28	63.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19347	UBS AG – HSI European Put Warrants Mar 2013 A	300,000,000	2012/07/17	2013/03/27	45.00
19363	BOCI Asia Ltd. – CC Bank European Put Warrants Feb 2013 A	100,000,000	2012/07/18	2013/02/22	25.00
19362	BOCI Asia Ltd. – ICBC European Put Warrants Jan 2013 A	100,000,000	2012/07/18	2013/01/21	25.00
19368	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2013 B	200,000,000	2012/07/18	2013/04/03	50.00
19367	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Apr 2013 A	100,000,000	2012/07/18	2013/04/03	25.00
19369	Credit Suisse AG – CC Bank European Warrants Nov 2012 D	70,000,000	2012/07/18	2012/11/30	21.00
19370	Credit Suisse AG – ICBC European Warrants Dec 2012 B	70,000,000	2012/07/18	2012/12/24	15.40
19359	Goldman Sachs SP (Asia) – Evergrande European Wts Jan 2013 A	80,000,000	2012/07/18	2013/01/30	36.80
19360	Goldman Sachs SP (Asia) – Yurun Food European Wts Jan 2013 A	80,000,000	2012/07/18	2013/01/30	41.76
19365	HK Bank – HSI European Warrants Dec 2012 M	250,000,000	2012/07/18	2012/12/28	37.50
19364	HK Bank – Shimao Ppt European Warrants Dec 2013 A	60,000,000	2012/07/18	2013/12/13	15.00
19374	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2012 B	200,000,000	2012/07/18	2012/12/03	50.60
19375	J P Morgan SP BV – Henganintl European Warrants Oct 2015 A	200,000,000	2012/07/18	2015/10/05	50.00
19373	J P Morgan SP BV – HSI European Warrants Jan 2013 B	300,000,000	2012/07/18	2013/01/30	45.00
19372	J P Morgan SP BV – ICBC European Warrants Dec 2012 B	200,000,000	2012/07/18	2012/12/17	41.80
19366	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep13A	100,000,000	2012/07/18	2013/09/16	15.00
19377	UBS AG – CC Bank European Warrants Jan 2013 A	200,000,000	2012/07/18	2013/01/29	30.00
19361	UBS AG – CPIC European Warrants Dec 2012 A	100,000,000	2012/07/18	2012/12/24	18.00
19378	UBS AG – HSBC European Warrants Dec 2012 B	200,000,000	2012/07/18	2012/12/24	50.00
19376	UBS AG – ICBC European Warrants Dec 2012 C	100,000,000	2012/07/18	2012/12/24	26.00
15836 #	J P Morgan SP BV – HSI European Warrants Oct 2012	300,000,000	2012/07/18	2012/10/30	10.20
19391	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Feb 2013 B	60,000,000	2012/07/19	2013/02/04	15.00
19394	BNP Paribas Arbit Issu B.V. – CITIC Pacific Eu Wt Jan 2014 A	57,000,000	2012/07/19	2014/01/03	14.25
19392	BNP Paribas Arbit Issu B.V.-CM Bank Euro Warrants Jan 2014 A	100,000,000	2012/07/19	2014/01/03	25.00
19390	BNP Paribas Arbit Issu B.V. – Henganintl Euro Wts Feb 2013 A	31,000,000	2012/07/19	2013/02/04	10.54
19393	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Feb 2013 A	53,000,000	2012/07/19	2013/02/04	13.25
19388	Credit Suisse AG – China Life European Warrants Jan 2013 A	80,000,000	2012/07/19	2013/01/25	13.44
19395	Goldman Sachs SP (Asia) – Agile Ppt European Wts Jun 2013 A	120,000,000	2012/07/19	2013/06/26	18.60
19383	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2012 E	100,000,000	2012/07/19	2012/12/10	28.00
19382	Goldman Sachs SP (Asia) – ZTE European Warrants Mar 2013 A	120,000,000	2012/07/19	2013/03/25	18.00
19384	J P Morgan SP BV – A50 China European Warrants Jan 2013 A	100,000,000	2012/07/19	2013/01/21	32.80
19385	J P Morgan SP BV – Galaxy Ent European Warrants Jun 2013 A	200,000,000	2012/07/19	2013/06/25	50.00
19379	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Dec 2012 A	150,000,000	2012/07/19	2012/12/19	22.50
19380	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Oct 2013 A	150,000,000	2012/07/19	2013/10/02	22.50
19381	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Dec 12A	50,000,000	2012/07/19	2012/12/03	12.50
19397	UBS AG – BOCL European Warrants Dec 2012 B	100,000,000	2012/07/19	2012/12/28	19.00
19396	UBS AG – China National Building European Warrants Nov 2012A	100,000,000	2012/07/19	2012/11/26	15.00
19389	UBS AG – HSCEI European Warrants Mar 2013 A	100,000,000	2012/07/19	2013/03/27	15.00
19387	UBS AG – Tencent Holdings European Warrants Dec 2012 B	100,000,000	2012/07/19	2012/12/24	16.00
19407	Credit Suisse AG – Yanzhou Coal European Warrants Jan 2013 A	50,000,000	2012/07/20	2013/01/22	42.50
19404	J P Morgan SP BV – Evergrande European Warrants Feb 2013 A	100,000,000	2012/07/20	2013/02/01	31.10
19403	J P Morgan SP BV – Geely Auto European Warrants Feb 2013 A	80,000,000	2012/07/20	2013/02/01	20.00
19402	J P Morgan SP BV – Gome European Warrants Oct 2013 A	100,000,000	2012/07/20	2013/10/02	25.00
19401	J P Morgan SP BV – Henderson Land Dev European Wts Feb 2013A	100,000,000	2012/07/20	2013/02/01	25.00



# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19400	J P Morgan SP BV – Li & Fung European Warrants Jun 2013 A	180,000,000	2012/07/20	2013/06/27	27.00
19405	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2012 B	100,000,000	2012/07/20	2012/12/04	15.00
19406	Macquarie Bank Ltd. – CC Bank European Put Wts Feb 2013 A	100,000,000	2012/07/20	2013/02/04	25.00
19414	Macquarie Bank Ltd. – China Life European Wts Feb 2013 A	200,000,000	2012/07/20	2013/02/04	33.20
19410	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2013 B	150,000,000	2012/07/20	2013/01/21	67.50
19398	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jan2013A	50,000,000	2012/07/20	2013/01/21	18.00
19411	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2012 C	200,000,000	2012/07/20	2012/10/24	44.00
19412	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2012 B	200,000,000	2012/07/20	2012/12/03	50.00
19413	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2013 A	200,000,000	2012/07/20	2013/01/02	64.00
19408	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2012 D	300,000,000	2012/07/20	2012/11/29	45.00
19409	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2012 C	300,000,000	2012/07/20	2012/12/28	45.00
19399	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2013 B	200,000,000	2012/07/20	2013/01/21	60.00
19419	UBS AG – BYD European Warrants Feb 2013 A	100,000,000	2012/07/20	2013/02/18	18.00
19417	UBS AG – China Life European Put Warrants Mar 2013 A	100,000,000	2012/07/20	2013/03/11	17.00
19420	UBS AG – CNOOC European Put Warrants Nov 2012 E	100,000,000	2012/07/20	2012/11/02	15.00
19415	UBS AG – Chow Tai Fook European Warrants Dec 2012 A	50,000,000	2012/07/20	2012/12/28	22.50
19418	UBS AG – A50 China European Warrants May 2014 A	300,000,000	2012/07/20	2014/05/05	45.00
19416	UBS AG – HSBC European Put Warrants Nov 2012 A	100,000,000	2012/07/20	2012/11/27	24.00
19431	HK Bank – CC Bank European Warrants Mar 2013 B	80,000,000	2012/07/23	2013/03/04	20.00
19432	HK Bank – A50 China European Warrants May 2013 A	80,000,000	2012/07/23	2013/05/20	20.00
19433	HK Bank – HSI European Warrants Jan 2013 A	250,000,000	2012/07/23	2013/01/30	37.50
19434	HK Bank – HSI European Warrants Mar 2013 A	250,000,000	2012/07/23	2013/03/27	62.50
19445	J P Morgan SP BV – Anhui Conch European Warrants Dec 2012 A	200,000,000	2012/07/23	2012/12/03	30.00
19444	J P Morgan SP BV – CM Bank European Warrants Dec 2013 A	200,000,000	2012/07/23	2013/12/31	50.00
19443	J P Morgan SP BV – Ch Overseas European Warrants Jul 2013 A	200,000,000	2012/07/23	2013/07/02	50.00
19442	J P Morgan SP BV – Chow Tai Fook European Warrants Oct 2014A	100,000,000	2012/07/23	2014/10/03	25.00
19440	J P Morgan SP BV – A50 China European Warrants Sep 2014 A	200,000,000	2012/07/23	2014/09/15	30.00
19441	J P Morgan SP BV – GCL-Poly European Warrants Jan 2014 A	150,000,000	2012/07/23	2014/01/20	37.65
19439	J P Morgan SP BV – Luk Fook Hold European Warrants May 2013A	88,000,000	2012/07/23	2013/05/03	22.00
19438	J P Morgan SP BV – Sands China European Warrants Jul 2013 A	200,000,000	2012/07/23	2013/07/02	50.00
19437	J P Morgan SP BV – ZTE European Warrants Apr 2013 A	100,000,000	2012/07/23	2013/04/02	25.00
19446	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Jan 2013 B	100,000,000	2012/07/23	2013/01/03	15.00
19451	Macquarie Bank Ltd. – HSBC European Warrants Feb 2013 A	180,000,000	2012/07/23	2013/02/06	28.44
19447	Macquarie Bank Ltd. – ICBC European Warrants Feb 2013 A	120,000,000	2012/07/23	2013/02/06	18.00
19448	Macquarie Bank Ltd. – ZTE European Warrants Mar 2013 A	180,000,000	2012/07/23	2013/03/04	45.00
19421	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jan 2013 A	60,000,000	2012/07/23	2013/01/07	11.52
19425	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2013 A	60,000,000	2012/07/23	2013/11/27	10.74
19430	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2012 A	40,000,000	2012/07/23	2012/12/24	12.80
19422	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2012 C	60,000,000	2012/07/23	2012/12/03	15.90
19423	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2012 D	60,000,000	2012/07/23	2012/12/24	12.06
19424	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2012 C	40,000,000	2012/07/23	2012/11/26	10.00
19429	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Dec 2012 A	60,000,000	2012/07/23	2012/12/10	12.72
19450	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2013 A	200,000,000	2012/07/23	2013/02/18	34.00
19436	UBS AG – CC Bank European Put Warrants Feb 2013 A	100,000,000	2012/07/23	2013/02/04	25.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19435	UBS AG – ICBC European Put Warrants Jan 2013 B	100,000,000	2012/07/23	2013/01/28	25.00
19449	UBS AG – Ping An European Warrants Dec 2012 B	100,000,000	2012/07/23	2012/12/04	22.00
19468	Credit Suisse AG – Hutchison European Put Warrants Dec 2012B	80,000,000	2012/07/24	2012/12/04	20.80
19480	Daiwa Capital Mkt – BOCL European Warrants May 2013 A	108,000,000	2012/07/24	2013/05/27	16.20
19471	Daiwa Capital Mkt – CCB European Warrants Dec 2012 A	128,000,000	2012/07/24	2012/12/03	33.28
19472	Daiwa Capital Mkt – CCB European Warrants Feb 2013 A	128,000,000	2012/07/24	2013/02/06	19.20
19476	Daiwa Capital Mkt – China Life European Warrants Feb 2013 A	138,000,000	2012/07/24	2013/02/25	28.98
19478	Daiwa Capital Mkt – China Life European Warrants Jun 2013 A	138,000,000	2012/07/24	2013/06/03	20.70
19479	Daiwa Capital Mkt – China Life European Put Wts Jul 2013 A	80,000,000	2012/07/24	2013/07/02	20.00
19473	Daiwa Capital Mkt – China Mobile European Wts Jan 2013 A	128,000,000	2012/07/24	2013/01/02	66.56
19474	Daiwa Capital Mkt – China Mobile European Put Wts Jan 2013 B	80,000,000	2012/07/24	2013/01/08	16.80
19470	Daiwa Capital Mkt – HSBC European Warrants Feb 2013 A	128,000,000	2012/07/24	2013/02/06	29.44
19475	Daiwa Capital Mkt – ICBC European Warrants Jan 2013 A	128,000,000	2012/07/24	2013/01/02	57.60
19458	Goldman Sachs SP (Asia) – BEA European Warrants Jul 2013 A	80,000,000	2012/07/24	2013/07/29	20.00
19459	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Jul 2013 A	80,000,000	2012/07/24	2013/07/29	20.16
19464	HK Bank – HSI European Put Warrants Feb 2013 A	250,000,000	2012/07/24	2013/02/27	62.50
19465	J P Morgan SP BV – Ch Ship Dev European Warrants Aug 2013 A	50,000,000	2012/07/24	2013/08/26	12.50
19456	Nomura Int'l plc – CC Bank European Warrants Nov 2012 A	50,000,000	2012/07/24	2012/11/30	11.00
19455	Nomura Int'l plc – Ch Overseas European Warrants Feb 2013 A	75,000,000	2012/07/24	2013/02/28	12.75
19453	Nomura Int'l plc – HKEx European Warrants Mar 2013 A	75,000,000	2012/07/24	2013/03/08	12.00
19457	Nomura Int'l plc – ICBC European Warrants Jan 2013 A	25,000,000	2012/07/24	2013/01/31	11.50
19452	Nomura Int'l plc – JIANG European Warrants Jan 2013 A	50,000,000	2012/07/24	2013/01/31	12.50
19462	CC Rabobank B.A. – Ch State Con European Warrants Jan 2013 A	100,000,000	2012/07/24	2013/01/24	70.00
19461	CC Rabobank B.A. – GCL-Poly Energy European Wts Mar 2013 A	100,000,000	2012/07/24	2013/03/18	25.00
19460	CC Rabobank B.A. – Skyworth Digital European Wts Jan 2013 A	80,000,000	2012/07/24	2013/01/28	36.00
19463	CC Rabobank B.A. – ZTE European Warrants Oct 2013 A	80,000,000	2012/07/24	2013/10/28	20.00
19467	Standard Chartered Bank – HKEx European Warrants Nov 2014 A	200,000,000	2012/07/24	2014/11/03	50.00
19466	Standard Chartered Bank – ICBC European Warrants Jul 2013 A	200,000,000	2012/07/24	2013/07/05	50.00
19469	UBS AG – CC Bank European Warrants Nov 2012 E	200,000,000	2012/07/24	2012/11/28	52.00
19485	BOCI Asia Ltd. – BOC HK European Warrants Sep 2013 A	100,000,000	2012/07/25	2013/09/03	17.00
19487	BOCI Asia Ltd. – HSBC European Warrants May 2013 A	100,000,000	2012/07/25	2013/05/02	18.00
19486	BOCI Asia Ltd. – HSBC European Put Warrants Feb 2013 A	100,000,000	2012/07/25	2013/02/04	25.00
19491	Credit Suisse AG – AIA European Warrants Nov 2012 A	100,000,000	2012/07/25	2012/11/26	15.00
19497	Credit Suisse AG – CC Bank European Put Warrants Jan 2013 A	70,000,000	2012/07/25	2013/01/25	17.50
19490	Credit Suisse AG – China Mobile European Put Wts Jan 2013 A	80,000,000	2012/07/25	2013/01/02	12.00
19498	Credit Suisse AG – HKEx European Warrants Jan 2013 A	80,000,000	2012/07/25	2013/01/25	44.00
19483	HK Bank – Cheung Kong European Warrants Nov 2012 A	100,000,000	2012/07/25	2012/11/30	15.00
19494	Macquarie Bank Ltd. – HSBC European Put Warrants Dec 2012 A	200,000,000	2012/07/25	2012/12/04	30.80
19481	Macquarie Bank Ltd. – Hutchison European Put Wts Feb 2013 A	180,000,000	2012/07/25	2013/02/05	45.00
19493	Macquarie Bank Ltd. – ICBC European Put Warrants Jan 2013 C	100,000,000	2012/07/25	2013/01/14	22.20
19489	Standard Chartered Bank – BOCL European Warrants Aug 2013 A	100,000,000	2012/07/25	2013/08/08	25.00
19488	Standard Chartered Bank – Sinopec Corp Euro Wts Mar 2013 A	100,000,000	2012/07/25	2013/03/01	25.00
19482	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Dec 2012D	200,000,000	2012/07/25	2012/12/03	44.80
19495	UBS AG – CC Bank European Warrants Jan 2013 B	200,000,000	2012/07/25	2013/01/28	84.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19492	UBS AG – HKEx European Warrants Mar 2013 B	300,000,000	2012/07/25	2013/03/11	51.00
19496	UBS AG – HSI European Warrants Sep 2013 A	300,000,000	2012/07/25	2013/09/27	75.00
19484	UBS AG – ICBC European Warrants Jan 2013 C	100,000,000	2012/07/25	2013/01/28	15.00
19514	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2013 A	100,000,000	2012/07/26	2013/06/27	17.00
19512	Daiwa Capital Mkt – HSI European Warrants May 2013 A	200,000,000	2012/07/26	2013/05/30	40.00
19513	Daiwa Capital Mkt – HSI European Put Warrants Jan 2013 B	200,000,000	2012/07/26	2013/01/30	50.00
19499	Goldman Sachs SP (Asia) – HSCEI European Put Wts Feb 2013 A	150,000,000	2012/07/26	2013/02/27	37.50
19510	J P Morgan SP BV – Ch Oilfield Euro Wts Aug 2013 A	200,000,000	2012/07/26	2013/08/30	50.00
19509	J P Morgan SP BV – Dongfeng Motor European Wts Dec 2013 A	200,000,000	2012/07/26	2013/12/02	50.00
19507	Macquarie Bank Ltd. – China Railway European Wts Feb 2013 A	100,000,000	2012/07/26	2013/02/04	25.60
19518	Macquarie Bank Ltd. – CSR European Warrants Jan 2013 A	100,000,000	2012/07/26	2013/01/28	32.00
19519	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Apr 2013 A	100,000,000	2012/07/26	2013/04/03	25.00
19515	Macquarie Bank Ltd. – SHK Ppt European Warrants Jan 2013 A	180,000,000	2012/07/26	2013/01/03	27.00
19517	Macquarie Bank Ltd. – Swire Pacific A Euro Wts Feb 2013 A	180,000,000	2012/07/26	2013/02/04	45.00
19516	Macquarie Bank Ltd. – Wharf European Warrants Feb 2013 A	100,000,000	2012/07/26	2013/02/04	25.00
19505	Standard Chartered Bank – CTeI European Warrants Jan 2013 A	100,000,000	2012/07/26	2013/01/07	20.30
19506	Standard Chartered Bank – A50 China Euro Warrants Jul 2013 A	200,000,000	2012/07/26	2013/07/05	31.20
19511	Standard Chartered Bank – HSI European Warrants Dec 2012 C	200,000,000	2012/07/26	2012/12/28	30.00
19504	Standard Chartered Bank – Hutchison Euro Euro Wts Jan 2013 A	50,000,000	2012/07/26	2013/01/28	13.00
19500	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Dec 2013A	100,000,000	2012/07/26	2013/12/23	15.00
19501	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Dec13A	100,000,000	2012/07/26	2013/12/02	16.00
19502	UBS AG – CC Bank European Warrants Jan 2013 C	200,000,000	2012/07/26	2013/01/28	50.00
19520	UBS AG – China Mobile European Warrants Nov 2012 B	100,000,000	2012/07/26	2012/11/02	15.00
19503	UBS AG – HSI European Put Warrants Nov 2012 E	300,000,000	2012/07/26	2012/11/29	45.00
19508	UBS AG – Hutchison European Put Warrants Dec 2012 C	100,000,000	2012/07/26	2012/12/24	20.00
16574 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012	320,000,000	2012/07/26	2012/10/30	14.72
19531	BOCI Asia Ltd. – China Life European Warrants Feb 2013 A	100,000,000	2012/07/27	2013/02/25	20.00
19529	BOCI Asia Ltd. – HSI European Warrants Jan 2013 A	100,000,000	2012/07/27	2013/01/30	17.00
19528	BOCI Asia Ltd. – HSI European Put Warrants Dec 2012 C	100,000,000	2012/07/27	2012/12/28	15.00
19530	BOCI Asia Ltd. – PetroChina European Warrants Nov 2013 A	100,000,000	2012/07/27	2013/11/04	15.00
19526	BNP Paribas Arbit Issu B.V. – Prada European Wts Oct 2015 A	100,000,000	2012/07/27	2015/10/05	18.00
19537	Credit Suisse AG – China Unicom European Put Wts Jan 2013 B	70,000,000	2012/07/27	2013/01/28	45.50
19534	Credit Suisse AG – HSI European Warrants Nov 2012 E	300,000,000	2012/07/27	2012/11/29	45.00
19535	Credit Suisse AG – Ping An European Put Warrants Nov 2012 B	80,000,000	2012/07/27	2012/11/02	21.60
19536	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2013 A	100,000,000	2012/07/27	2013/02/04	15.00
19523	HK Bank – HSCEI European Warrants Dec 2013 A	150,000,000	2012/07/27	2013/12/30	22.50
19522	HK Bank – HSCEI European Put Warrants Mar 2013 A	150,000,000	2012/07/27	2013/03/27	37.50
19533	Macquarie Bank Ltd. – CNBM European Warrants Dec 2013 A	150,000,000	2012/07/27	2013/12/13	22.50
19532	Macquarie Bank Ltd. – CRCC European Warrants Dec 2013 A	150,000,000	2012/07/27	2013/12/03	22.50
19525	Macquarie Bank Ltd. – A50 China European Warrants Feb 2013 A	110,000,000	2012/07/27	2013/02/04	27.50
19524	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Jul 2013 A	100,000,000	2012/07/27	2013/07/03	25.00
19527	Macquarie Bank Ltd. – Poly HK Inv European Wts Feb 2013 A	100,000,000	2012/07/27	2013/02/04	25.00
19521	Macquarie Bank Ltd. – Xinyi Glass European Warrants Jan 13 A	90,000,000	2012/07/27	2013/01/28	22.68
19557	Daiwa Capital Mkt -Agricultural Bank European Wts Feb 2013 A	128,000,000	2012/07/30	2013/02/22	40.96

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19554	Daiwa Capital Mkt – BOCL European Put Warrants Feb 2013 A	80,000,000	2012/07/30	2013/02/01	20.00
19556	Daiwa Capital Mkt – CCB European Put Warrants Jan 2013 A	108,000,000	2012/07/30	2013/01/28	20.52
19553	Daiwa Capital Mkt – China Life European Warrants Feb 2013 B	168,000,000	2012/07/30	2013/02/08	42.00
19551	Daiwa Capital Mkt – A50 China European Warrants Jul 2013 A	208,000,000	2012/07/30	2013/07/05	31.20
19552	Daiwa Capital Mkt – A50 China European Put Wts Jul 2014 A	108,000,000	2012/07/30	2014/07/02	27.00
19559	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 E	200,000,000	2012/07/30	2013/03/27	50.00
19558	Daiwa Capital Mkt – Hutchison European Put Wts Feb 2013 A	100,000,000	2012/07/30	2013/02/08	25.00
19555	Daiwa Capital Mkt – ICBC European Put Warrants Feb 2013 A	80,000,000	2012/07/30	2013/02/01	20.00
19542	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2014 A	288,000,000	2012/07/30	2014/12/01	43.20
19541	J P Morgan SP BV – China Mob European Wts Feb 2013 A	200,000,000	2012/07/30	2013/02/01	50.00
19546	Macquarie Bank Ltd. – China Coal European Wts Feb 2013 A	100,000,000	2012/07/30	2013/02/04	32.60
19538	Macquarie Bank Ltd. – A50 China European Warrants Nov 2014 A	200,000,000	2012/07/30	2014/11/04	30.00
19543	Macquarie Bank Ltd. – HSBC European Warrants Jan 2013 B	180,000,000	2012/07/30	2013/01/03	48.78
19545	Macquarie Bank Ltd. – PICC P&C European Warrants Dec 2013 A	180,000,000	2012/07/30	2013/12/16	28.98
19544	Macquarie Bank Ltd. – Yanzhou Coal European Wts Sep 2013 A	180,000,000	2012/07/30	2013/09/16	27.00
19539	Macquarie Bank Ltd. – Zoomlion European Warrants Feb 2013 A	80,000,000	2012/07/30	2013/02/04	30.88
19547	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Feb 2014 A	150,000,000	2012/07/30	2014/02/05	24.00
19548	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2012 C	200,000,000	2012/07/30	2012/12/12	78.00
19560	UBS AG – China Mobile European Warrants Dec 2012 B	200,000,000	2012/07/30	2012/12/24	88.00
19549	UBS AG – HSBC European Warrants Dec 2012 C	200,000,000	2012/07/30	2012/12/31	40.80
19550	UBS AG – HSCEI European Warrants Sep 2013 A	100,000,000	2012/07/30	2013/09/27	15.00
19540	UBS AG – HSI European Warrants Jan 2013 A	300,000,000	2012/07/30	2013/01/30	45.00
19566	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2012 D	200,000,000	2012/07/31	2012/12/28	30.00
19567	Credit Suisse AG – HSBC European Wts Dec 2012 A	80,000,000	2012/07/31	2012/12/24	12.00
19568	Credit Suisse AG – HSBC European Wts Dec 2012 B	80,000,000	2012/07/31	2012/12/10	28.00
19569	Credit Suisse AG – HSBC European Put Warrants Dec 2012 C	80,000,000	2012/07/31	2012/12/03	14.40
19561	J P Morgan SP BV – BOCL European Warrants Feb 2013 A	200,000,000	2012/07/31	2013/02/28	50.00
19565	Macquarie Bank Ltd. – BYD European Warrants Mar 2013 A	180,000,000	2012/07/31	2013/03/04	27.00
19563	Macquarie Bank Ltd. – Dongfang Elec European Wts Nov 2013 A	160,000,000	2012/07/31	2013/11/04	40.00
19564	Macquarie Bank Ltd. – Yurun Food European Wts Feb 2013 A	100,000,000	2012/07/31	2013/02/04	27.40
19562	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Feb13B	100,000,000	2012/07/31	2013/02/04	25.00
19570	UBS AG – CNOOC European Warrants Apr 2013 B	200,000,000	2012/07/31	2013/04/22	50.00
19589	Credit Suisse AG – Ping An European Warrants Dec 2012 D	80,000,000	2012/08/01	2012/12/04	24.00
19576	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/04	35.80
19575	Goldman Sachs SP (Asia) – China Life Euro Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/22	15.00
19574	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/25	42.00
19572	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2012 B	100,000,000	2012/08/01	2012/12/18	16.20
19573	Goldman Sachs SP (Asia) – Hutchison European Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/25	38.60
19581	J P Morgan SP BV – HSI European Warrants Mar 2013 B	300,000,000	2012/08/01	2013/03/27	75.00
19580	J P Morgan SP BV – HSI European Put Warrants Feb 2013 A	300,000,000	2012/08/01	2013/02/27	75.00
19587	Macquarie Bank Ltd. – Ch Comm Cons European Wts Feb 2013 A	100,000,000	2012/08/01	2013/02/04	38.50
19586	Macquarie Bank Ltd. – NCI European Warrants Feb 2013 A	180,000,000	2012/08/01	2013/02/04	45.00
19585	Macquarie Bank Ltd. – PICC P&C European Warrants Dec 2013 B	180,000,000	2012/08/01	2013/12/19	27.00
19578	CC Rabobank B.A. – CNBM European Warrants Dec 2013 A	120,000,000	2012/08/01	2013/12/16	18.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19577	CC Rabobank B.A. – Sands China European Warrants Feb 2013 A	100,000,000	2012/08/01	2013/02/04	30.00
19582	Standard Chartered Bank – HSBC European Warrants Feb 2013 B	120,000,000	2012/08/01	2013/02/08	34.80
19583	Standard Chartered Bank – HSBC European Put Wts Jan 2013 A	80,000,000	2012/08/01	2013/01/07	14.00
19588	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt Feb 13A	200,000,000	2012/08/01	2013/02/04	54.00
19584	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2013 A	300,000,000	2012/08/01	2013/01/30	48.00
19571	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 12 D	300,000,000	2012/08/01	2012/12/28	45.00
19579	UBS AG – AIA European Warrants Feb 2013 A	100,000,000	2012/08/01	2013/02/08	25.00
19592	UBS AG – China Mobile European Put Warrants Dec 2012 C	100,000,000	2012/08/01	2012/12/24	19.00
19591	UBS AG – China National Building European Wts Dec 2013 A	100,000,000	2012/08/01	2013/12/16	15.00
19593	UBS AG – China Tel European Warrants Dec 2012 B	100,000,000	2012/08/01	2012/12/24	15.00
19590	UBS AG – Evergrande European Warrants Nov 2012 B	100,000,000	2012/08/01	2012/11/26	36.00
19602	Credit Suisse AG – ChiUnicom European Warrants Dec 2012 B	80,000,000	2012/08/02	2012/12/24	48.00
19608	Bank of East Asia – China Railway European Wts Feb 2013 A	50,000,000	2012/08/02	2013/02/26	12.50
19609	Bank of East Asia – Dongfeng European Warrants Jun 2014 A	130,000,000	2012/08/02	2014/06/26	32.50
19611	Bank of East Asia – Li & Fung European Warrants Aug 2013 A	100,000,000	2012/08/02	2013/08/26	25.00
19610	Bank of East Asia – PICC European Warrants Dec 2013 A	170,000,000	2012/08/02	2013/12/16	25.50
19596	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2013 A	100,000,000	2012/08/02	2013/02/04	38.90
19603	Goldman Sachs SP (Asia) – Lenovo European Wts Mar 2013 B	80,000,000	2012/08/02	2013/03/25	43.12
19607	Goldman Sachs SP (Asia) – Yurun Food European Wts Mar 2013 A	80,000,000	2012/08/02	2013/03/25	32.08
19594	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Mar 2013 B	300,000,000	2012/08/02	2013/03/27	75.00
19598	Macquarie Bank Ltd. – Bank of EA European Wts Jul 2013 A	150,000,000	2012/08/02	2013/07/22	28.35
19600	Macquarie Bank Ltd. – Country Garden European Wts Oct 2013 A	100,000,000	2012/08/02	2013/10/03	25.00
19597	Macquarie Bank Ltd. – CITIC Pacific European Wts Jan 2014 A	150,000,000	2012/08/02	2014/01/03	22.50
19601	Macquarie Bank Ltd. – HKEx European Put Warrants Feb 2013 B	180,000,000	2012/08/02	2013/02/04	99.18
19599	Macquarie Bank Ltd. – Nine Dragons European Wts Feb 2013 A	100,000,000	2012/08/02	2013/02/04	25.00
19595	Standard Chartered Bank – China Railway Euro Wts Jul 2013 A	50,000,000	2012/08/02	2013/07/10	18.00
19606	UBS AG – China Unicom European Warrants May 2013 A	100,000,000	2012/08/02	2013/05/27	15.00
19605	UBS AG – HSI European Warrants Jan 2013 B	300,000,000	2012/08/02	2013/01/30	45.00
19604	UBS AG – Hutchison European Warrants Jan 2013 A	200,000,000	2012/08/02	2013/01/28	36.00
19626	Daiwa Capital Mkt – AIA European Warrants Apr 2013 A	108,000,000	2012/08/03	2013/04/29	27.00
19627	Daiwa Capital Mkt – AIA European Put Warrants Jun 2013 A	108,000,000	2012/08/03	2013/06/05	27.00
19628	Daiwa Capital Mkt – China Mobile European Wts Dec 2012 A	128,000,000	2012/08/03	2012/12/27	35.84
19625	Daiwa Capital Mkt – China Mobile European Wts Feb 2013 A	128,000,000	2012/08/03	2013/02/04	32.00
19623	Daiwa Capital Mkt – CNOOC European Warrants Oct 2013 A	108,000,000	2012/08/03	2013/10/07	27.00
19624	Daiwa Capital Mkt – CNOOC European Put Warrants Jun 2013 A	108,000,000	2012/08/03	2013/06/18	27.00
19622	Daiwa Capital Mkt – Sinopec Corp European Wts Mar 2013 A	108,000,000	2012/08/03	2013/03/25	27.00
19616	Daiwa Capital Mkt – HSBC European Warrants Feb 2013 B	128,000,000	2012/08/03	2013/02/04	51.20
19617	Daiwa Capital Mkt – HSBC European Put Warrants Jan 2013 C	80,000,000	2012/08/03	2013/01/07	32.00
19618	Daiwa Capital Mkt – HSBC European Put Warrants Mar 2014 A	128,000,000	2012/08/03	2014/03/31	19.20
19619	Daiwa Capital Mkt – Hutchison European Warrants Dec 2012 B	128,000,000	2012/08/03	2012/12/27	39.68
19620	Daiwa Capital Mkt – Hutchison European Warrants Feb 2013 B	128,000,000	2012/08/03	2013/02/04	32.00
19621	Daiwa Capital Mkt – Hutchison European Warrants Apr 2013 A	128,000,000	2012/08/03	2013/04/10	32.00
19614	J P Morgan SP BV – BEA European Warrants Jun 2013 A	68,000,000	2012/08/03	2013/06/04	17.00
19615	J P Morgan SP BV – HSI European Put Warrants Feb 2013 B	300,000,000	2012/08/03	2013/02/27	45.30

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
19613	J P Morgan SP BV – SJM Holdings European Warrants Jun 2013 A	120,000,000	2012/08/03	2013/06/04	18.00
19629	Macquarie Bank Ltd. – China Agri European Wts Feb 2013 A	100,000,000	2012/08/03	2013/02/04	28.30
19631	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Feb 2013 A	120,000,000	2012/08/03	2013/02/04	30.00
19630	Macquarie Bank Ltd. – Shui On Land European Wts Jul 2013 A	100,000,000	2012/08/03	2013/07/03	25.00
19612	Standard Chartered Bank – Sands China Euro Wts Dec 2012 A	65,000,000	2012/08/03	2012/12/28	10.60
19644	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2013 B	100,000,000	2012/08/06	2013/06/27	25.00
19645	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2013 C	100,000,000	2012/08/06	2013/06/27	26.00
19651	Daiwa Capital Mkt – HSI European Warrants Apr 2013 A	200,000,000	2012/08/06	2013/04/29	50.00
19652	Daiwa Capital Mkt – HSI European Warrants Jul 2013 A	200,000,000	2012/08/06	2013/07/30	50.00
19646	Daiwa Capital Mkt – HSI European Put Warrants Jun 2013 A	200,000,000	2012/08/06	2013/06/27	50.00
19638	HK Bank – China Life European Warrants Mar 2013 B	120,000,000	2012/08/06	2013/03/04	30.00
19640	HK Bank – Sinopec Corp European Warrants Dec 2012 A	80,000,000	2012/08/06	2012/12/21	22.40
19641	HK Bank – Sinopec Corp European Warrants Feb 2013 A	80,000,000	2012/08/06	2013/02/25	20.00
19639	HK Bank – Sinopec Corp European Warrants Sep 2013 A	80,000,000	2012/08/06	2013/09/16	20.00
19634	HK Bank – HSI European Warrants Dec 2012 N	250,000,000	2012/08/06	2012/12/28	50.00
19635	HK Bank – HSI European Put Warrants Nov 2012 A	250,000,000	2012/08/06	2012/11/29	45.00
19637	HK Bank – HWL European Warrants Mar 2013 A	120,000,000	2012/08/06	2013/03/04	31.20
19636	HK Bank – Tencent European Warrants Apr 2013 A	150,000,000	2012/08/06	2013/04/15	37.50
19643	Standard Chartered Bank – AIA European Warrants Apr 2013 A	50,000,000	2012/08/06	2013/04/03	12.50
19642	Standard Chartered Bank – China Mobile Eur Put Wt Jun 2013 A	50,000,000	2012/08/06	2013/06/10	25.00
19650	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2013 B	200,000,000	2012/08/06	2013/02/18	36.00
19647	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2013 B	300,000,000	2012/08/06	2013/01/30	54.00
19649	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Nov 12 E	300,000,000	2012/08/06	2012/11/29	78.00
19648	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb 13 A	300,000,000	2012/08/06	2013/02/27	48.00
19633	UBS AG – HSCEI European Put Warrants Jun 2013 A	100,000,000	2012/08/06	2013/06/27	18.00
19632	UBS AG – HSI European Put Warrants Feb 2013 A	300,000,000	2012/08/06	2013/02/27	60.00
19664	Credit Suisse AG – CC Bank European Warrants Dec 2012 C	70,000,000	2012/08/07	2012/12/24	10.50
19659	Daiwa Capital Mkt – CCB European Warrants Feb 2013 B	128,000,000	2012/08/07	2013/02/01	19.20
19660	Daiwa Capital Mkt – China Mobile European Put Wts Feb 2013 B	80,000,000	2012/08/07	2013/02/08	25.60
19661	Daiwa Capital Mkt – China Telecom European Wts May 2013 A	80,000,000	2012/08/07	2013/05/15	28.00
19662	Daiwa Capital Mkt – China Telecom Euro Put Wts May 2013 B	60,000,000	2012/08/07	2013/05/03	24.00
19663	Daiwa Capital Mkt – Ping An European Warrants Mar 2013 A	80,000,000	2012/08/07	2013/03/01	24.00
19658	Macquarie Bank Ltd. – China Mobile European Wts Mar 2013 A	180,000,000	2012/08/07	2013/03/04	45.00
19654	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2013 A	80,000,000	2012/08/07	2013/02/18	12.00
19653	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Mar 2013C	60,000,000	2012/08/07	2013/03/11	12.96
19657	CC Rabobank B.A. – Evergrande European Warrants Feb 2013 A	100,000,000	2012/08/07	2013/02/07	35.00
19656	CC Rabobank B.A. – Sinopharm European Warrants Feb 2013 A	70,000,000	2012/08/07	2013/02/14	17.50
19655	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 12 A	200,000,000	2012/08/07	2012/12/03	30.00
19683	BNP Paribas Arbit Issu B.V. – AIA European Wts Nov 2013 A	200,000,000	2012/08/08	2013/11/04	50.00
19676	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jun 2013 A	100,000,000	2012/08/08	2013/06/03	19.00
19681	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts Apr 2013 A	100,000,000	2012/08/08	2013/04/02	27.00
19682	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2013 A	100,000,000	2012/08/08	2013/04/02	42.00
19684	BNP Paribas Arbit Issu B.V. – HKEx European Wts Mar 2013 A	68,000,000	2012/08/08	2013/03/04	28.56
19674	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2013 A	100,000,000	2012/08/08	2013/02/01	44.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19675	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2013 A	100,000,000	2012/08/08	2013/08/02	27.00
19673	BNP Paribas Arbit Issu B.V. – Ping An European Wt Mar 2013 A	100,000,000	2012/08/08	2013/03/04	35.00
19677	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Mar 2013 A	18,000,000	2012/08/08	2013/03/04	11.16
19687	Citigroup Global Mkt H Inc. – HSBC European Wts Dec 2012 C	100,000,000	2012/08/08	2012/12/28	15.00
19686	Citigroup Global Mkt H Inc. – ICBC European Wts Dec 2012 A	100,000,000	2012/08/08	2012/12/17	25.00
19666	Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2013 A	100,000,000	2012/08/08	2013/03/25	18.90
19665	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Feb 2013 B	100,000,000	2012/08/08	2013/02/25	52.60
19667	HK Bank – AIA European Warrants Mar 2013 A	120,000,000	2012/08/08	2013/03/04	30.00
19669	HK Bank – AIA European Warrants Mar 2014 A	120,000,000	2012/08/08	2014/03/10	30.00
19668	HK Bank – China Mobile European Warrants Mar 2013 B	120,000,000	2012/08/08	2013/03/11	30.00
19670	HK Bank – CNOOC European Warrants Jul 2014 A	100,000,000	2012/08/08	2014/07/07	25.00
19685	J P Morgan SP BV – HSI European Put Warrants Feb 2013 C	300,000,000	2012/08/08	2013/02/27	75.00
19679	Standard Chartered Bank – GCL-Poly Energy Eur Wts Dec 2014 A	40,000,000	2012/08/08	2014/12/08	12.00
19678	Standard Chartered Bank – GCL-Poly Energy Eur Wts Apr 2015 A	40,000,000	2012/08/08	2015/04/08	10.00
19680	UBS AG – Anhui Conch European Warrants Dec 2012 A	100,000,000	2012/08/08	2012/12/10	15.00
19671	UBS AG – Sinopec Corp European Warrants Dec 2012 B	100,000,000	2012/08/08	2012/12/10	15.00
19672	UBS AG – HSBC European Warrants Apr 2013 A	200,000,000	2012/08/08	2013/04/24	30.00
16316 #	UBS AG – China National Building European Warrants Dec 2012	150,000,000	2012/08/08	2012/12/10	10.95
19689	Goldman Sachs SP (Asia) – CNBM European Warrants Dec 2013 A	100,000,000	2012/08/09	2013/12/16	17.40
19688	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Mar 13 B	200,000,000	2012/08/09	2013/03/04	54.00
19690	UBS AG – China National Building European Wts Jan 2014 A	100,000,000	2012/08/09	2014/01/28	15.00
19700	HK Bank – CITIC Bank European Warrants Apr 2013 A	40,000,000	2012/08/10	2013/04/22	10.00
19696	HK Bank – China Mobile European Warrants Mar 2013 C	120,000,000	2012/08/10	2013/03/04	30.00
19697	HK Bank – China Overseas European Warrants Aug 2013 A	80,000,000	2012/08/10	2013/08/05	20.00
19698	HK Bank – HKEx European Warrants Nov 2013 A	120,000,000	2012/08/10	2013/11/26	18.00
19699	HK Bank – Li & Fung European Warrants Jun 2013 A	80,000,000	2012/08/10	2013/06/25	12.00
19695	HK Bank – PICC European Warrants Dec 2013 A	80,000,000	2012/08/10	2013/12/16	12.00
19693	J P Morgan SP BV – BankComm European Warrants Mar 2013 A	100,000,000	2012/08/10	2013/03/04	30.80
19692	J P Morgan SP BV – CITIC Bank European Warrants Feb 2013 A	100,000,000	2012/08/10	2013/02/14	25.00
19691	J P Morgan SP BV – Ch Merchants European Warrants Apr 2013 A	100,000,000	2012/08/10	2013/04/22	25.00
19705	Macquarie Bank Ltd. – AIA European Warrants Jan 2013 B	150,000,000	2012/08/10	2013/01/03	22.50
19702	Macquarie Bank Ltd. – HKEx European Warrants Dec 2013 B	230,000,000	2012/08/10	2013/12/03	34.50
19706	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2013 B	150,000,000	2012/08/10	2013/02/04	22.50
19694	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jun 2013 A	180,000,000	2012/08/10	2013/06/04	45.00
19701	Standard Chartered Bank – HSI European Warrants Jan 2013 A	200,000,000	2012/08/10	2013/01/30	30.00
19703	UBS AG – CNOOC European Warrants Aug 2013 A	200,000,000	2012/08/10	2013/08/12	50.00
19704	UBS AG – HSBC European Put Warrants Mar 2014 A	200,000,000	2012/08/10	2014/03/31	30.00
19707	UBS AG – Jiangxi Copper European Warrants Jan 2013 A	100,000,000	2012/08/10	2013/01/28	18.00
19054 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2012 E	200,000,000	2012/08/10	2012/11/29	13.20
19709	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Mar 2013 A	60,000,000	2012/08/13	2013/03/04	15.60
19721	Credit Suisse – Cheung Kong European Put Warrants Feb 2013 A	60,000,000	2012/08/13	2013/02/25	15.00
19724	Credit Suisse AG – China Mobile European Warrants Jan 2013 B	60,000,000	2012/08/13	2013/01/28	10.80
19722	Credit Suisse AG – HSBC European Put Warrants Nov 2012 A	60,000,000	2012/08/13	2012/11/19	10.80
19725	Credit Suisse AG – Hutchison European Warrants Jan 2013 A	60,000,000	2012/08/13	2013/01/28	12.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19723	Credit Suisse AG – Ping An European Warrants Nov 2012 C	60,000,000	2012/08/13	2012/11/30	10.80
19712	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jan 2013 B	60,000,000	2012/08/13	2013/01/29	13.56
19713	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jan 2013 C	60,000,000	2012/08/13	2013/01/22	23.10
19714	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Apr 2013 A	80,000,000	2012/08/13	2013/04/15	15.28
19717	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2013 A	40,000,000	2012/08/13	2013/02/14	10.40
19716	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Feb 2013 A	40,000,000	2012/08/13	2013/02/14	22.80
19715	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Mar 2013 A	40,000,000	2012/08/13	2013/03/11	10.80
19711	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Mar 2013A	120,000,000	2012/08/13	2013/03/27	32.40
19710	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 13 A	200,000,000	2012/08/13	2013/04/03	30.00
19718	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2013 A	200,000,000	2012/08/13	2013/03/04	30.00
19708	UBS AG – CC Bank European Warrants Dec 2012 B	200,000,000	2012/08/13	2012/12/21	30.00
19719	UBS AG – Cheung Kong European Warrants Nov 2012 A	100,000,000	2012/08/13	2012/11/26	24.00
19720	UBS AG – SHK Ppt European Warrants Dec 2012 A	100,000,000	2012/08/13	2012/12/31	20.50
15209 #	Macquarie Bank Ltd. – Stanchart European Warrants Jan 2013	300,000,000	2012/08/13	2013/01/03	11.70
19728	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Jan 2013 A	100,000,000	2012/08/14	2013/01/14	15.00
19731	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2013 A	150,000,000	2012/08/14	2013/01/30	25.05
19730	Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2012 F	150,000,000	2012/08/14	2012/11/29	29.55
19729	Goldman Sachs SP (Asia) – China Mengniu European Wt Jul 13 A	100,000,000	2012/08/14	2013/07/22	25.30
19727	Goldman Sachs SP (Asia) – Wharf European Warrants Jun 2013 A	100,000,000	2012/08/14	2013/06/24	25.10
19736	Macquarie Bank Ltd. – Esprit European Put Warrants Jun 2013A	180,000,000	2012/08/14	2013/06/04	45.00
19734	Macquarie Bank Ltd. – Ping An European Warrants Feb 2013 A	150,000,000	2012/08/14	2013/02/04	33.90
19733	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2013 A	250,000,000	2012/08/14	2013/06/04	62.50
19726	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2013 A	200,000,000	2012/08/14	2013/05/06	30.00
16578 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2012	210,000,000	2012/08/14	2012/12/28	10.29
18023 #	J P Morgan SP BV – HSI European Put Warrants Dec 2012 A	500,000,000	2012/08/14	2012/12/28	27.50
19761	Credit Suisse AG – Sinopec Corp European Warrants Aug 2013 A	80,000,000	2012/08/15	2013/08/30	20.00
19742	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Feb 2013 A	80,000,000	2012/08/15	2013/02/25	26.08
19744	Goldman Sachs SP (Asia) – A50 China European Wts Apr 2014 A	120,000,000	2012/08/15	2014/04/29	18.00
19743	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2013 A	100,000,000	2012/08/15	2013/04/29	25.10
19753	HK Bank – Angang Steel European Warrants Mar 2013 A	40,000,000	2012/08/15	2013/03/04	10.00
19751	HK Bank – Esprit European Warrants May 2013 A	60,000,000	2012/08/15	2013/05/27	15.00
19752	HK Bank – Esprit European Warrants Oct 2013 A	60,000,000	2012/08/15	2013/10/28	15.00
19754	HK Bank – Jiangxi Copper European Warrants Jul 2013 A	80,000,000	2012/08/15	2013/07/15	20.00
19762	J P Morgan SP BV – Stanchart European Warrants Sep 2013 A	200,000,000	2012/08/15	2013/09/27	50.00
19755	Macquarie Bank Ltd. – Cathay Pacific European Wts Oct 2013 A	120,000,000	2012/08/15	2013/10/03	30.00
19760	Macquarie Bank Ltd. – Henganintl European Wts Mar 2013 A	130,000,000	2012/08/15	2013/03/18	32.50
19759	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Sep 2013 A	100,000,000	2012/08/15	2013/09/17	25.00
19750	CC Rabobank B.A. – Ch Mobile European Put Wts Feb 2013 A	120,000,000	2012/08/15	2013/02/18	30.00
19749	CC Rabobank B.A. – China Overseas European Wts May 2013 A	80,000,000	2012/08/15	2013/05/30	20.00
19747	CC Rabobank B.A. – CSR European Warrants Jan 2013 A	80,000,000	2012/08/15	2013/01/21	32.00
19746	CC Rabobank B.A. – CSR Times European Warrants Mar 2013 A	80,000,000	2012/08/15	2013/03/25	20.00
19748	CC Rabobank B.A. – Shimao Ppt European Warrants May 2013 A	100,000,000	2012/08/15	2013/05/28	15.00
19738	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Apr13A	100,000,000	2012/08/15	2013/04/03	15.00
19739	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Apr 13 A	100,000,000	2012/08/15	2013/04/03	18.00



# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
19740	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Oct13A	150,000,000	2012/08/15	2013/10/02	30.00
19741	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Feb 13 A	100,000,000	2012/08/15	2013/02/04	25.00
19757	UBS AG – CC Bank European Put Warrants Dec 2012 C	100,000,000	2012/08/15	2012/12/24	22.00
19745	UBS AG – China Mobile European Warrants Mar 2013 A	200,000,000	2012/08/15	2013/03/25	30.00
19758	UBS AG – China Unicom European Warrants Sep 2013 A	100,000,000	2012/08/15	2013/09/26	19.00
19756	UBS AG – Ping An European Warrants Feb 2013 A	100,000,000	2012/08/15	2013/02/15	28.00
19773	BOCI Asia Ltd. – China Mobile European Warrants Mar 2013 A	100,000,000	2012/08/16	2013/03/11	15.00
19770	BOCI Asia Ltd. – CNOOC European Warrants Nov 2013 A	100,000,000	2012/08/16	2013/11/27	22.00
19772	BOCI Asia Ltd. – China Tel European Warrants Jan 2013 A	100,000,000	2012/08/16	2013/01/03	18.00
19771	BOCI Asia Ltd. – China Unicom European Warrants Sep 2013 A	100,000,000	2012/08/16	2013/09/03	18.00
19781	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Apr 2013 B	100,000,000	2012/08/16	2013/04/02	31.00
19782	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Apr 2013 A	100,000,000	2012/08/16	2013/04/02	30.00
19783	Goldman Sachs SP (Asia) – AIA European Warrants Feb 2013 A	120,000,000	2012/08/16	2013/02/25	20.64
19763	Goldman Sachs SP (Asia) – CM Bank European Wts Sep 2013 A	120,000,000	2012/08/16	2013/09/25	18.24
19775	J P Morgan SP BV – HSBC European Warrants Feb 2013 A	200,000,000	2012/08/16	2013/02/18	50.00
19793	J P Morgan SP BV – HSBC European Put Warrants Feb 2013 B	200,000,000	2012/08/16	2013/02/18	50.00
19774	J P Morgan SP BV – Hutchison European Warrants Mar 2013 A	150,000,000	2012/08/16	2013/03/01	37.50
19784	Macquarie Bank Ltd. – China Life European Put Wts Mar 2013 A	150,000,000	2012/08/16	2013/03/01	22.50
19786	Macquarie Bank Ltd. – A50 China European Put Wts Mar 2013 A	150,000,000	2012/08/16	2013/03/04	37.50
19785	Macquarie Bank Ltd. – Ping An European Put Wts Jan 2013 A	150,000,000	2012/08/16	2013/01/03	22.50
19768	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2012 B	40,000,000	2012/08/16	2012/12/17	11.00
19769	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2012 C	40,000,000	2012/08/16	2012/12/03	15.40
19766	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2013 A	60,000,000	2012/08/16	2013/01/25	10.80
19767	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Feb 13 A	40,000,000	2012/08/16	2013/02/01	15.20
19765	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2013 A	50,000,000	2012/08/16	2013/01/07	11.60
19779	CC Rabobank B.A. – Agricultural Bk Euro Warrants Feb 2013 A	100,000,000	2012/08/16	2013/02/18	25.00
19776	CC Rabobank B.A. – BOCL European Warrants May 2013 A	150,000,000	2012/08/16	2013/05/27	24.00
19777	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2013 A	80,000,000	2012/08/16	2013/01/28	18.40
19778	CC Rabobank B.A. – China Tel European Warrants Feb 2013 A	50,000,000	2012/08/16	2013/02/18	12.50
19780	CC Rabobank B.A. – Stanchart European Warrants Sep 2013 A	100,000,000	2012/08/16	2013/09/30	25.00
19764	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jan 2013A	100,000,000	2012/08/16	2013/01/09	20.00
19790	UBS AG – China Life European Warrants Jul 2013 A	300,000,000	2012/08/16	2013/07/22	45.00
19789	UBS AG – HSI European Put Warrants Nov 2012 F	300,000,000	2012/08/16	2012/11/29	52.20
19788	UBS AG – Hutchison European Warrants Dec 2012 D	200,000,000	2012/08/16	2012/12/24	62.00
19787	UBS AG – Stanchart European Warrants Sep 2013 A	100,000,000	2012/08/16	2013/09/09	25.00
19792	UBS AG – Tencent Holdings European Warrants Apr 2013 A	200,000,000	2012/08/16	2013/04/22	30.60
19791	UBS AG – Tencent Holdings European Put Warrants Mar 2013 A	100,000,000	2012/08/16	2013/03/11	17.00
19206 #	Credit Suisse AG – Tencent Holdings European Wts Dec 2012 D	120,000,000	2012/08/16	2012/12/03	11.88
19800	BOCI Asia Ltd. – Tencent Holdings European Wts Jan 2013 A	100,000,000	2012/08/17	2013/01/23	18.00
19804	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Mar 2013 A	75,000,000	2012/08/17	2013/03/04	21.75
19802	Citigroup Global Mkt H Inc. – Stanchart Euro Wts Jul 2013 A	100,000,000	2012/08/17	2013/07/03	15.00
19806	J P Morgan SP BV – Cheung Kong European Warrants Feb 2013 A	100,000,000	2012/08/17	2013/02/18	33.70
19805	J P Morgan SP BV – HSI European Warrants Feb 2013 D	300,000,000	2012/08/17	2013/02/27	75.00
19803	Macquarie Bank Ltd. – A50 China European Warrants Apr 2013 A	120,000,000	2012/08/17	2013/04/03	30.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19799	Macquarie Bank Ltd. – Li & Fung European Warrants Mar 2013 A	120,000,000	2012/08/17	2013/03/04	30.00
19795	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Sep 2013 A	100,000,000	2012/08/17	2013/09/02	25.00
19794	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Apr 2013A	150,000,000	2012/08/17	2013/04/15	43.50
19796	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Sep13A	150,000,000	2012/08/17	2013/09/02	27.00
19797	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Oct 2013A	100,000,000	2012/08/17	2013/10/02	25.00
19798	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Feb13A	50,000,000	2012/08/17	2013/02/18	18.50
19801	UBS AG – Li & Fung European Warrants Mar 2013 A	50,000,000	2012/08/17	2013/03/25	12.50
19811	J P Morgan SP BV – CNBM European Warrants Mar 2013 A	100,000,000	2012/08/20	2013/03/18	27.00
19810	J P Morgan SP BV – CNBM European Warrants Dec 2013 A	200,000,000	2012/08/20	2013/12/13	30.00
19807	J P Morgan Int'l Der. Ltd. – Hysan Dev Euro Wts Jul 2013 A	88,000,000	2012/08/20	2013/07/02	22.00
19812	J P Morgan SP BV – SHK Ppt European Warrants Jun 2013 A	100,000,000	2012/08/20	2013/06/03	25.30
19808	Macquarie Bank Ltd. – China Life European Wts Mar 2013 B	150,000,000	2012/08/20	2013/03/04	37.50
19809	UBS AG – Agricultural Bank European Warrants Feb 2013 A	100,000,000	2012/08/20	2013/02/06	23.00
19821	BOCI Asia Ltd. – Anhui Conch European Warrants Apr 2013 A	100,000,000	2012/08/21	2013/04/03	22.00
19828	BOCI Asia Ltd. – HSI European Warrants Jan 2013 B	100,000,000	2012/08/21	2013/01/30	16.00
19827	BOCI Asia Ltd. – HSI European Put Warrants Feb 2013 A	100,000,000	2012/08/21	2013/02/27	15.00
19819	BOCI Asia Ltd. – ICBC European Warrants Feb 2013 A	100,000,000	2012/08/21	2013/02/01	19.00
19820	BOCI Asia Ltd. – Ping An European Warrants Jan 2013 A	100,000,000	2012/08/21	2013/01/21	21.00
19840	Credit Suisse AG – Cheung Kong European Warrants Nov 2012 A	80,000,000	2012/08/21	2012/11/30	20.00
19843	Credit Suisse AG – China Mobile European Put Wts Feb 2013 A	80,000,000	2012/08/21	2013/02/01	24.00
19841	Credit Suisse AG – SHK Ppt European Warrants Dec 2012 A	80,000,000	2012/08/21	2012/12/24	24.00
19842	Credit Suisse AG – SHK Ppt European Put Warrants Feb 2013 B	80,000,000	2012/08/21	2013/02/25	32.00
19836	Bank of East Asia – Ping An European Warrants Feb 2013 A	50,000,000	2012/08/21	2013/02/21	12.50
19837	Bank of East Asia – Ping An European Put Warrants Feb 2013 B	50,000,000	2012/08/21	2013/02/21	12.50
19838	Bank of East Asia – SJM Holdings European Warrants Oct 2013A	90,000,000	2012/08/21	2013/10/22	22.50
19824	Goldman Sachs SP (Asia) – Dongfeng European Wts Jun 2013 A	100,000,000	2012/08/21	2013/06/25	15.20
19823	Goldman Sachs SP (Asia) – Esprit European Wts Jun 2013 A	100,000,000	2012/08/21	2013/06/25	15.40
19825	Goldman Sachs SP (Asia) – Henganintl European Wts Jul 2013 A	80,000,000	2012/08/21	2013/07/22	53.60
19829	Goldman Sachs SP (Asia) – Stanchart European Wts Sep 2013 A	80,000,000	2012/08/21	2013/09/30	20.00
19826	Goldman Sachs SP (Asia) – ZTE European Warrants Mar 2013 B	80,000,000	2012/08/21	2013/03/25	12.00
19822	Macquarie Bank Ltd. – Evergrande European Wts Feb 2013 A	100,000,000	2012/08/21	2013/02/04	25.80
19813	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Feb 2013 A	60,000,000	2012/08/21	2013/02/25	11.34
19818	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Feb 2013 A	80,000,000	2012/08/21	2013/02/25	12.08
19817	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Dec 2013 A	80,000,000	2012/08/21	2013/12/24	12.08
19816	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jan 2013 A	60,000,000	2012/08/21	2013/01/28	11.16
19815	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jan 2013 A	60,000,000	2012/08/21	2013/01/28	12.06
19814	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jan 2013 B	70,000,000	2012/08/21	2013/01/23	10.92
19832	CC Rabobank B.A. – China COSCO European Warrants Feb 2013 A	50,000,000	2012/08/21	2013/02/25	15.00
19833	CC Rabobank B.A. – Foxconn European Warrants Jun 2013 A	50,000,000	2012/08/21	2013/06/17	17.50
19834	CC Rabobank B.A. – R&F Ppt European Warrants Feb 2014 A	100,000,000	2012/08/21	2014/02/25	15.00
19830	CC Rabobank B.A. – Hysan Dev European Warrants Feb 2013 A	50,000,000	2012/08/21	2013/02/25	17.50
19831	CC Rabobank B.A. – Swire Properties European Wts Feb 2013 A	50,000,000	2012/08/21	2013/02/25	12.50
19835	UBS AG – China Mobile European Warrants Dec 2012 D	100,000,000	2012/08/21	2012/12/03	15.00
19844	UBS AG – ICBC European Warrants Feb 2013 A	100,000,000	2012/08/21	2013/02/25	15.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19855	Daiwa Capital Mkt – BOC HK European Put Warrants Sep 2013 B	80,000,000	2012/08/22	2013/09/18	20.00
19854	Daiwa Capital Mkt – CCB European Put Warrants May 2013 A	80,000,000	2012/08/22	2013/05/02	20.00
19853	Daiwa Capital Mkt – China Mobile European Wts May 2013 A	128,000,000	2012/08/22	2013/05/08	32.00
19862	Bank of East Asia – Swire Properties European Wts Jun 2013 A	60,000,000	2012/08/22	2013/06/20	15.00
19849	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2013 A	100,000,000	2012/08/22	2013/03/19	25.00
19852	J P Morgan SP BV – China Mob European Wts Mar 2013 A	150,000,000	2012/08/22	2013/03/04	37.50
19851	J P Morgan SP BV – HSI European Warrants Jun 2013 A	300,000,000	2012/08/22	2013/06/27	45.00
19860	Macquarie Bank Ltd. -Sino Ocean Land European Wts Mar 2013 A	100,000,000	2012/08/22	2013/03/04	25.00
19846	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2013 A	200,000,000	2012/08/22	2013/04/03	30.00
19856	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 13 A	150,000,000	2012/08/22	2013/01/07	55.50
19857	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 13 A	150,000,000	2012/08/22	2013/02/04	33.00
19858	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2012 D	200,000,000	2012/08/22	2012/12/31	46.00
19859	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 12 E	300,000,000	2012/08/22	2012/12/28	45.00
19847	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2013 C	150,000,000	2012/08/22	2013/01/02	25.50
19848	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2013 A	150,000,000	2012/08/22	2013/04/03	37.50
19861	UBS AG – Evergrande European Warrants Feb 2013 A	100,000,000	2012/08/22	2013/02/25	44.00
16044 #	HK Bank – A50 China European Warrants Nov 2012	380,000,000	2012/08/22	2012/11/05	10.26
19864	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2013 A	200,000,000	2012/08/23	2013/03/04	32.00
19865	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2013 A	100,000,000	2012/08/23	2013/07/02	25.00
19866	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Mar 2013 A	100,000,000	2012/08/23	2013/03/04	31.00
19875	Credit Suisse AG – China Mobile European Warrants Dec 2012 A	100,000,000	2012/08/23	2012/12/03	25.00
19876	Credit Suisse AG – A50 China European Warrants Feb 2013 A	100,000,000	2012/08/23	2013/02/25	25.00
19873	Credit Suisse AG – Tencent Holdings European Wts Mar 2013 A	100,000,000	2012/08/23	2013/03/04	15.00
19874	Credit Suisse AG – Tencent Holdings Euro Put Wts Nov 2012 B	100,000,000	2012/08/23	2012/11/26	21.00
19863	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2013 A	128,000,000	2012/08/23	2013/07/02	24.32
19867	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Apr 2013 A	108,000,000	2012/08/23	2013/04/02	27.00
19869	UBS AG – China Mobile European Warrants Jan 2013 A	100,000,000	2012/08/23	2013/01/28	26.00
19870	UBS AG – China Mobile European Put Warrants Feb 2013 A	100,000,000	2012/08/23	2013/02/06	26.00
19868	UBS AG – A50 China European Warrants Jan 2013 A	100,000,000	2012/08/23	2013/01/14	15.00
19872	UBS AG – Tencent Holdings European Put Warrants Dec 2012 C	100,000,000	2012/08/23	2012/12/03	15.00
17907 #	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jul 2013 A	230,000,000	2012/08/23	2013/07/29	10.12
19897	HK Bank – China Tel European Warrants Apr 2013 A	50,000,000	2012/08/24	2013/04/10	12.50
19895	HK Bank – A50 China European Warrants Jan 2013 B	80,000,000	2012/08/24	2013/01/14	12.00
19896	HK Bank – Galaxy Ent European Warrants Apr 2013 A	60,000,000	2012/08/24	2013/04/29	15.00
19898	HK Bank – Tencent European Warrants Apr 2013 B	120,000,000	2012/08/24	2013/04/26	22.80
19899	HK Bank – Tencent European Put Warrants Apr 2013 C	120,000,000	2012/08/24	2013/04/29	30.00
19878	J P Morgan SP BV – A50 China European Warrants Feb 2013 A	200,000,000	2012/08/24	2013/02/25	87.20
19877	J P Morgan SP BV – Tencent European Warrants Feb 2013 A	200,000,000	2012/08/24	2013/02/25	50.00
19900	J P Morgan SP BV – Tencent European Put Warrants Feb 2013 B	200,000,000	2012/08/24	2013/02/25	50.00
19894	Macquarie Bank Ltd. – Tencent European Warrants May 2013 A	250,000,000	2012/08/24	2013/05/03	37.50
19881	Macquarie Bank Ltd. – Tencent European Put Wts Mar 2013 A	250,000,000	2012/08/24	2013/03/04	62.50
19887	CC Rabobank B.A. – Anhui Conch European Warrants Jan 2013 A	80,000,000	2012/08/24	2013/01/28	18.40
19885	CC Rabobank B.A. – China EB Ltd European Warrants Mar 2014 A	100,000,000	2012/08/24	2014/03/03	25.00
19886	CC Rabobank B.A. – CITIC Sec European Warrants Mar 2013 A	80,000,000	2012/08/24	2013/03/22	14.40

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19888	CC Rabobank B.A. – A50 China European Warrants Mar 2013 A	60,000,000	2012/08/24	2013/03/22	12.00
19884	CC Rabobank B.A. – Galaxy Ent European Warrants Feb 2013 A	100,000,000	2012/08/24	2013/02/25	25.00
19883	CC Rabobank B.A. – Sands China European Put Wts Mar 2013 A	80,000,000	2012/08/24	2013/03/04	20.00
19890	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 12 B	200,000,000	2012/08/24	2012/12/05	70.00
19891	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 12 C	200,000,000	2012/08/24	2012/12/10	42.00
19879	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 13 B	150,000,000	2012/08/24	2013/01/02	60.00
19892	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 13 C	200,000,000	2012/08/24	2013/01/02	98.00
19880	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Sep 23 A	300,000,000	2012/08/24	2013/09/09	75.00
19882	UBS AG – AIA European Warrants Jun 2013 A	100,000,000	2012/08/24	2013/06/24	25.00
19893	UBS AG – China Mobile European Warrants Dec 2012 E	100,000,000	2012/08/24	2012/12/03	37.00
19889	UBS AG – HSI European Put Warrants Dec 2013 A	300,000,000	2012/08/24	2013/12/30	45.00
19918	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Apr 2013 A	100,000,000	2012/08/27	2013/04/03	40.00
19919	BNP Paribas Arbit Issu B.V. – ZTE European Wts Oct 2013 A	100,000,000	2012/08/27	2013/10/03	25.00
19922	Credit Suisse AG – China Mobile European Warrants Dec 2012 B	80,000,000	2012/08/27	2012/12/24	19.20
19921	Credit Suisse AG – HSBC European Wts Dec 2012 D	80,000,000	2012/08/27	2012/12/24	18.40
19920	Credit Suisse AG – Lenovo European Warrants Jan 2013 A	50,000,000	2012/08/27	2013/01/07	28.50
19923	Credit Suisse AG – Tencent Holdings European Wts Apr 2013 A	100,000,000	2012/08/27	2013/04/29	17.00
19917	Daiwa Capital Mkt – China Mobile European Put Wts Mar 2013 A	128,000,000	2012/08/27	2013/03/08	32.00
19924	J P Morgan SP BV – Agricultural Bk Euro Warrants Dec 2012 A	200,000,000	2012/08/27	2012/12/14	30.00
19905	Macquarie Bank Ltd. – China Mobile European Wts Mar 2013 B	180,000,000	2012/08/27	2013/03/04	49.50
19906	Macquarie Bank Ltd. – China Mobile European Wts Mar 2013 C	180,000,000	2012/08/27	2013/03/04	45.00
19901	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jun 13 B	40,000,000	2012/08/27	2013/06/24	10.00
19902	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2013 B	80,000,000	2012/08/27	2013/11/26	12.00
19904	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2013 C	100,000,000	2012/08/27	2013/02/27	20.00
19903	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2013 B	100,000,000	2012/08/27	2013/02/27	19.60
19909	Standard Chartered Bank-China Life European Wts May 2013 A	100,000,000	2012/08/27	2013/05/02	32.00
19912	Standard Chartered Bank – China Mobile Euro Wts Dec 2012 A	100,000,000	2012/08/27	2012/12/03	21.80
19915	Standard Chartered Bank – China Shenhua Euro Wts May 2013 A	50,000,000	2012/08/27	2013/05/06	12.50
19913	Standard Chartered Bank – CTel European Warrants Aug 2013 A	100,000,000	2012/08/27	2013/08/05	48.00
19914	Standard Chartered Bank – HSBC European Warrants Mar 2013 A	100,000,000	2012/08/27	2013/03/18	25.00
19911	Standard Chartered Bank – HSBC European Put Wts Apr 2013 A	100,000,000	2012/08/27	2013/04/02	33.00
19916	Standard Chartered Bank – HSI European Put Wts Mar 2013 A	200,000,000	2012/08/27	2013/03/27	50.00
19910	Standard Chartered Bank – ICBC European Warrants May 2013 A	50,000,000	2012/08/27	2013/05/24	27.50
19908	Standard Chartered Bank – MTRC European Warrants Jul 2013 A	40,000,000	2012/08/27	2013/07/31	10.00
19907	UBS AG – A50 China European Warrants Jul 2013 B	300,000,000	2012/08/27	2013/07/08	45.00
19927	J P Morgan SP BV – BYD European Warrants Mar 2013 A	80,000,000	2012/08/28	2013/03/01	12.00
19925	J P Morgan SP BV – CITIC Pacific Euro Wts Jan 2014 A	80,000,000	2012/08/28	2014/01/03	12.00
19926	J P Morgan SP BV – China Mob European Wts Mar 2013 B	200,000,000	2012/08/28	2013/03/01	50.00
19936	J P Morgan SP BV – SPDR Gold Trust European Warrants Apr 13A	80,000,000	2012/08/28	2013/04/15	34.08
19931	Macquarie Bank Ltd. – PetroChina European Wts Jan 2014 A	180,000,000	2012/08/28	2014/01/03	27.00
19929	Standard Chartered Bank – CC Bank European Wts Apr 2013 A	100,000,000	2012/08/28	2013/04/19	47.00
19928	Standard Chartered Bank – A50 China Euro Warrants Nov 2014 A	100,000,000	2012/08/28	2014/11/04	25.00
19930	UBS AG – China Mobile European Put Warrants Feb 2013 B	100,000,000	2012/08/28	2013/02/28	25.00
19934	UBS AG – CNOOC European Warrants Jun 2013 B	200,000,000	2012/08/28	2013/06/17	50.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
19932	UBS AG – HSBC European Warrants Jan 2013 A	200,000,000	2012/08/28	2013/01/02	30.00
19933	UBS AG – HSBC European Put Warrants Dec 2012 D	100,000,000	2012/08/28	2012/12/19	16.00
19935	UBS AG – Lenovo European Warrants Feb 2013 A	100,000,000	2012/08/28	2013/02/28	40.00
17672 #	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2012 A	70,000,000	2012/08/28	2012/11/05	10.57
19947	BOCI Asia Ltd. – A50 China European Warrants Apr 2013 A	100,000,000	2012/08/29	2013/04/02	20.00
19946	BOCI Asia Ltd. – HKEx European Warrants Dec 2013 A	100,000,000	2012/08/29	2013/12/03	15.00
19949	BNP Paribas Arbit Issu B.V. – CNBM European Wts Feb 2014 A	100,000,000	2012/08/29	2014/02/04	15.00
19948	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Aug 2013 A	100,000,000	2012/08/29	2013/08/02	25.00
19950	BNP Paribas Arbit Issu B.V. -MGM China Euro Wts Jun 2013 A	77,000,000	2012/08/29	2013/06/04	19.25
19951	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Jul 2013 A	100,000,000	2012/08/29	2013/07/03	35.00
19963	Credit Suisse AG – CNOOC European Warrants Apr 2013 A	80,000,000	2012/08/29	2013/04/15	12.00
19953	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Mar 2013 A	100,000,000	2012/08/29	2013/03/04	25.00
19952	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2012 C	100,000,000	2012/08/29	2012/12/19	21.70
19956	Goldman Sachs SP (Asia) – New World Dev Euro Wts Jul 2013 A	80,000,000	2012/08/29	2013/07/15	30.32
19955	Goldman Sachs SP (Asia) – Ping An European Wts Feb 2013 A	100,000,000	2012/08/29	2013/02/04	15.40
19954	Goldman Sachs SP (Asia) – Tencent European Put Wt Mar 2013 B	100,000,000	2012/08/29	2013/03/04	25.00
19958	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2013 A	200,000,000	2012/08/29	2013/03/01	50.00
19959	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2013 B	200,000,000	2012/08/29	2013/03/01	53.60
19957	J P Morgan SP BV – ICBC European Warrants Mar 2013 A	200,000,000	2012/08/29	2013/03/01	50.00
19942	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Jul 2013 A	40,000,000	2012/08/29	2013/07/29	10.20
19941	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Sep 2013 A	60,000,000	2012/08/29	2013/09/02	11.82
19938	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt May 2013 A	60,000,000	2012/08/29	2013/05/27	12.12
19939	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Sep 2013 A	70,000,000	2012/08/29	2013/09/26	10.85
19937	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Dec 2012 A	70,000,000	2012/08/29	2012/12/21	11.20
19940	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jan 2013 B	60,000,000	2012/08/29	2013/01/28	11.52
19945	Standard Chartered Bank – A50 China Euro Warrants Mar 2013 A	100,000,000	2012/08/29	2013/03/26	25.50
19944	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2013A	300,000,000	2012/08/29	2013/04/15	48.00
19943	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 2013A	70,000,000	2012/08/29	2013/01/14	10.50
19964	UBS AG – China Life European Warrants Mar 2013 B	300,000,000	2012/08/29	2013/03/04	54.00
19960	UBS AG – China Tel European Warrants Feb 2013 A	100,000,000	2012/08/29	2013/02/06	16.00
19961	UBS AG – HSI European Warrants Nov 2012 G	300,000,000	2012/08/29	2012/11/29	45.00
19962	UBS AG – Ping An European Warrants Jan 2013 A	100,000,000	2012/08/29	2013/01/14	17.00
19978	Credit Suisse AG – Cheung Kong European Warrants Dec 2013 A	80,000,000	2012/08/30	2013/12/23	12.00
19981	Credit Suisse AG – China Tel European Warrants Mar 2013 A	60,000,000	2012/08/30	2013/03/18	15.00
19979	Credit Suisse AG – ChiUnicom European Warrants Sep 2013 A	80,000,000	2012/08/30	2013/09/30	16.00
19983	Credit Suisse AG – HKEx European Warrants Dec 2012 C	100,000,000	2012/08/30	2012/12/24	20.00
19984	Credit Suisse AG – HKEx European Put Warrants Dec 2013 A	100,000,000	2012/08/30	2013/12/23	15.00
19974	Credit Suisse AG – HSBC European Wts Dec 2012 E	100,000,000	2012/08/30	2012/12/24	15.00
19973	Credit Suisse AG – HSBC European Wts Feb 2013 A	100,000,000	2012/08/30	2013/02/08	22.00
19975	Credit Suisse AG – HSBC European Put Warrants Dec 2012 F	100,000,000	2012/08/30	2012/12/24	15.00
19970	Credit Suisse AG – HSI European Warrants Jan 2013 C	300,000,000	2012/08/30	2013/01/30	45.00
19971	Credit Suisse AG – HSI European Warrants Jan 2013 D	300,000,000	2012/08/30	2013/01/30	60.00
19972	Credit Suisse AG – HSI European Warrants Feb 2013 B	300,000,000	2012/08/30	2013/02/27	48.00
19969	Credit Suisse AG – HSI European Put Warrants Jan 2013 B	300,000,000	2012/08/30	2013/01/30	45.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19965	Credit Suisse AG – HSI European Put Warrants Feb 2013 A	300,000,000	2012/08/30	2013/02/27	45.00
19976	Credit Suisse AG – Hutchison European Warrants Dec 2012 C	100,000,000	2012/08/30	2012/12/24	25.00
19977	Credit Suisse AG – Hutchison European Warrants Mar 2013 B	100,000,000	2012/08/30	2013/03/28	15.00
19982	Credit Suisse AG – Jiangxi Copper Euro Put Wts Jun 2013 A	60,000,000	2012/08/30	2013/06/25	15.00
19980	Credit Suisse AG – KECL European Warrants Sep 2013 A	80,000,000	2012/08/30	2013/09/09	12.00
19986	Credit Suisse AG – Tencent Holdings European Wts Jan 2013 B	100,000,000	2012/08/30	2013/01/21	20.00
19987	Credit Suisse AG – Tencent Holdings European Wts Jan 2013 C	100,000,000	2012/08/30	2013/01/07	15.00
19985	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2013 B	100,000,000	2012/08/30	2013/03/11	15.00
19988	Goldman Sachs SP (Asia) – Sands China Euro Wts Dec 2012 C	100,000,000	2012/08/30	2012/12/10	15.00
19989	Macquarie Bank Ltd. – China Tel European Warrants Mar 2013 A	100,000,000	2012/08/30	2013/03/04	25.00
19990	Macquarie Bank Ltd. – China Unicom European Wts Dec 2013 A	200,000,000	2012/08/30	2013/12/02	50.20
19968	UBS AG – China Tel European Warrants Dec 2012 C	100,000,000	2012/08/30	2012/12/03	15.00
19967	UBS AG – China Unicom European Warrants Feb 2014 A	100,000,000	2012/08/30	2014/02/24	17.00
19966	UBS AG – HSI European Warrants Mar 2013 B	300,000,000	2012/08/30	2013/03/27	75.00
20002	Credit Suisse AG – Agricultural Bank European Wts Feb 2013 A	80,000,000	2012/08/31	2013/02/06	12.00
20001	Credit Suisse AG – BOCL European Warrants May 2013 A	80,000,000	2012/08/31	2013/05/31	12.00
19997	Credit Suisse AG – CC Bank European Warrants Jan 2013 B	80,000,000	2012/08/31	2013/01/31	12.00
19996	Credit Suisse AG – CC Bank European Warrants Feb 2013 A	80,000,000	2012/08/31	2013/02/28	28.00
20005	Credit Suisse AG – CNBM European Warrants Mar 2013 A	50,000,000	2012/08/31	2013/03/04	30.00
20003	Credit Suisse AG – China Shenhua European Wts Mar 2013 A	70,000,000	2012/08/31	2013/03/28	10.50
19998	Credit Suisse AG – ICBC European Warrants Jan 2013 A	80,000,000	2012/08/31	2013/01/31	12.00
19999	Credit Suisse AG – ICBC European Warrants Feb 2013 A	80,000,000	2012/08/31	2013/02/06	20.00
20000	Credit Suisse AG – ICBC European Put Warrants Dec 2012 C	80,000,000	2012/08/31	2012/12/24	12.00
20004	Credit Suisse AG – Yanzhou Coal European Warrants Sep 2013 A	70,000,000	2012/08/31	2013/09/16	10.50
19992	Macquarie Bank Ltd. – Cheung Kong European Wts Mar 2013 A	150,000,000	2012/08/31	2013/03/04	37.50
19993	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2013 A	150,000,000	2012/08/31	2013/02/04	37.50
19994	Macquarie Bank Ltd. – Wharf European Warrants May 2013 A	120,000,000	2012/08/31	2013/05/03	30.00
20013	Standard Chartered Bank – CNBM European Warrants Dec 2013 A	80,000,000	2012/08/31	2013/12/13	12.08
20012	Standard Chartered Bank – China Unicom Euro Wts Feb 2014 A	70,000,000	2012/08/31	2014/02/20	14.00
20011	Standard Chartered Bank – KECL European Warrants Oct 2013 A	75,000,000	2012/08/31	2013/10/28	12.75
20009	UBS AG – Sinopec Corp European Warrants Feb 2013 A	100,000,000	2012/08/31	2013/02/04	27.00
20008	UBS AG – Evergrande European Warrants Jan 2013 A	100,000,000	2012/08/31	2013/01/31	27.00
19991	UBS AG – A50 China European Warrants Feb 2013 A	100,000,000	2012/08/31	2013/02/18	32.00
20006	UBS AG – HSI European Warrants Sep 2013 B	300,000,000	2012/08/31	2013/09/27	75.00
20007	UBS AG – HSI European Put Warrants Jan 2013 C	300,000,000	2012/08/31	2013/01/30	60.00
20010	UBS AG – HSI European Put Warrants Feb 2013 B	300,000,000	2012/08/31	2013/02/27	45.00
19995	UBS AG – Hutchison European Warrants Mar 2013 A	100,000,000	2012/08/31	2013/03/08	25.00
20015	HK Bank – HSI European Warrants Jan 2013 B	250,000,000	2012/09/03	2013/01/30	37.50
20016	HK Bank – KECL European Warrants Aug 2013 A	80,000,000	2012/09/03	2013/08/23	12.00
20017	Macquarie Bank Ltd. – A50 China European Warrants Mar 2013 B	100,000,000	2012/09/03	2013/03/04	15.00
20014	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Mar 13 A	150,000,000	2012/09/03	2013/03/04	42.00
20022	Credit Suisse AG – China Mobile European Warrants Feb 2013 B	80,000,000	2012/09/04	2013/02/25	24.00
20021	Credit Suisse AG – Sinopec Corp European Warrants Dec 2012 B	70,000,000	2012/09/04	2012/12/28	10.50
20020	Credit Suisse AG – HSI European Put Warrants Feb 2013 C	300,000,000	2012/09/04	2013/02/27	45.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20024	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2012 E	80,000,000	2012/09/04	2012/12/04	12.00
20023	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2013 B	80,000,000	2012/09/04	2013/02/25	18.40
20029	Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2013 A	100,000,000	2012/09/04	2013/02/25	25.00
20030	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2013 A	100,000,000	2012/09/04	2013/04/02	15.00
20028	Citigroup Global Mkt H Inc. – A50 China Eu Put Wt Jul 2014 A	100,000,000	2012/09/04	2014/07/02	22.00
20034	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2012 E	200,000,000	2012/09/04	2012/12/28	30.00
20033	Citigroup Global Mkt H Inc. – HSI European Wts Jan 2013 B	200,000,000	2012/09/04	2013/01/30	30.00
20032	Citigroup Global Mkt H Inc. – HSI European Put Wt Dec 2012 D	200,000,000	2012/09/04	2012/12/28	30.00
20031	Citigroup Global Mkt H Inc. – HSI European Put Wt Jan 2013 A	200,000,000	2012/09/04	2013/01/30	30.00
20026	Macquarie Bank Ltd. – Hutchison European Warrants Jan 2013 A	150,000,000	2012/09/04	2013/01/03	22.50
20018	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2013 B	200,000,000	2012/09/04	2013/02/18	48.00
20019	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2013 A	150,000,000	2012/09/04	2013/03/04	57.00
20027	UBS AG – CC Bank European Warrants Dec 2012 D	100,000,000	2012/09/04	2012/12/18	21.00
20025	UBS AG – China Mobile European Warrants Feb 2013 C	100,000,000	2012/09/04	2013/02/18	30.00
20048	Citigroup Global Mkt H Inc. – China Life Eu Put Wt May 2013A	100,000,000	2012/09/05	2013/05/27	25.00
20047	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Dec 2012 A	100,000,000	2012/09/05	2012/12/05	15.00
20049	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2013 A	100,000,000	2012/09/05	2013/02/25	18.00
20055	Bank of East Asia – Belle European Warrants Dec 2013 A	100,000,000	2012/09/05	2013/12/24	25.00
20054	Bank of East Asia – Galaxy Ent European Warrants Aug 2013 A	50,000,000	2012/09/05	2013/08/06	12.50
20067	Goldman Sachs SP (Asia) – AAC Acoustic Euro Wts Jun 2013 A	50,000,000	2012/09/05	2013/06/24	25.10
20039	Goldman Sachs SP (Asia) – Belle Int'l Euro Wts Sep 2013 A	50,000,000	2012/09/05	2013/09/30	12.50
20038	Goldman Sachs SP (Asia) – China Tel European Wts Mar 2013 A	50,000,000	2012/09/05	2013/03/25	13.05
20068	Goldman Sachs SP (Asia) – A50 China European Wts Mar 2013 A	80,000,000	2012/09/05	2013/03/11	21.68
20069	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Mar 2013 B	80,000,000	2012/09/05	2013/03/18	35.92
20037	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2013 A	150,000,000	2012/09/05	2013/02/27	25.20
20035	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2012 E	150,000,000	2012/09/05	2012/12/28	23.85
20036	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2012 F	150,000,000	2012/09/05	2012/12/28	25.20
20057	J P Morgan SP BV – Hutchison European Warrants Mar 2013 B	150,000,000	2012/09/05	2013/03/05	64.80
20046	Macquarie Bank Ltd. – AIA European Warrants May 2013 A	150,000,000	2012/09/05	2013/05/03	37.50
20061	Macquarie Bank Ltd. – CITIC Sec European Warrants Jan 2014 A	150,000,000	2012/09/05	2014/01/03	37.50
20060	Macquarie Bank Ltd. – China Life European Wts Apr 2013 A	180,000,000	2012/09/05	2013/04/03	27.00
20045	Macquarie Bank Ltd. – Evergrande European Wts May 2015 A	180,000,000	2012/09/05	2015/05/21	27.00
20059	Macquarie Bank Ltd. – Ping An European Warrants Jan 2013 B	180,000,000	2012/09/05	2013/01/03	27.00
20050	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2013 A	100,000,000	2012/09/05	2013/08/29	16.00
20051	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2012 F	100,000,000	2012/09/05	2012/12/28	16.40
20052	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2013 D	100,000,000	2012/09/05	2013/02/27	15.70
20053	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jan 2013 A	80,000,000	2012/09/05	2013/01/14	12.40
20062	CC Rabobank B.A. – CITIC Bank European Warrants Feb 2013 A	70,000,000	2012/09/05	2013/02/04	11.20
20064	CC Rabobank B.A. – Minsheng Bank European Wts Mar 2013 A	80,000,000	2012/09/05	2013/03/11	24.00
20066	CC Rabobank B.A. – Evergrande European Warrants Jun 2013 A	100,000,000	2012/09/05	2013/06/28	45.00
20063	CC Rabobank B.A. – Tencent Holdings European Wts Apr 2013 A	200,000,000	2012/09/05	2013/04/22	30.00
20065	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Feb 2013 A	150,000,000	2012/09/05	2013/02/25	37.50
20044	Standard Chartered Bank – Ping An European Wts Dec 2012 A	75,000,000	2012/09/05	2012/12/18	15.00
20041	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Mar2013A	50,000,000	2012/09/05	2013/03/06	22.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20058	SGA Societe Generale Acceptance N.V.-CK(H) Eu Put Wt Mar13B	120,000,000	2012/09/05	2013/03/06	32.40
20040	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Feb 2013B	50,000,000	2012/09/05	2013/02/18	12.50
20042	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Mar13A	50,000,000	2012/09/05	2013/03/06	25.00
20043	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jan13A	50,000,000	2012/09/05	2013/01/21	25.00
20056	UBS AG – HKEx European Warrants Jan 2013 A	100,000,000	2012/09/05	2013/01/14	18.00
20085	Citigroup Global Mkt H Inc. – HSBC European Wts Feb 2013 A	100,000,000	2012/09/06	2013/02/06	18.00
20082	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2013 A	100,000,000	2012/09/06	2013/03/11	25.00
20084	Citigroup Global Mkt H Inc. – Ping An Euro Wts Dec 2012 B	100,000,000	2012/09/06	2012/12/17	16.00
20083	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2013 A	100,000,000	2012/09/06	2013/03/11	25.00
20081	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Dec 2012 A	100,000,000	2012/09/06	2012/12/24	15.00
20070	J P Morgan SP BV – China Life European Warrants Apr 2013 A	300,000,000	2012/09/06	2013/04/03	45.00
20079	J P Morgan SP BV – China Mob European Wts Jan 2013 B	200,000,000	2012/09/06	2013/01/03	40.00
20077	J P Morgan SP BV – HSI European Put Warrants Apr 2013 A	300,000,000	2012/09/06	2013/04/29	75.00
20074	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Feb 2013 A	120,000,000	2012/09/06	2013/02/06	18.00
20073	Macquarie Bank Ltd. – Brilliance Chi Euro Warrants Apr 2014A	150,000,000	2012/09/06	2014/04/02	37.50
20075	Standard Chartered Bank – HSI European Warrants Jan 2013 B	200,000,000	2012/09/06	2013/01/30	35.60
20076	Standard Chartered Bank – HSI European Warrants Mar 2013 B	200,000,000	2012/09/06	2013/03/27	51.40
20071	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2013 C	150,000,000	2012/09/06	2013/01/28	54.00
20072	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Mar 13A	50,000,000	2012/09/06	2013/03/06	12.50
20080	UBS AG – BYD European Warrants Mar 2013 A	100,000,000	2012/09/06	2013/03/22	25.00
20078	UBS AG – ICBC European Warrants Feb 2013 B	100,000,000	2012/09/06	2013/02/06	17.00
20112	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Sep13A	100,000,000	2012/09/07	2013/09/03	25.00
20108	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Dec 13 A	100,000,000	2012/09/07	2013/12/03	25.00
20111	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wt Apr 2013 A	44,000,000	2012/09/07	2013/04/03	14.52
20110	BNP Paribas Arbit Issu B.V. – CPIC European Wts Aug 2013 A	100,000,000	2012/09/07	2013/08/02	26.00
20109	BNP Paribas Arbit Issu B.V. – HSBC European Wts May 2013 B	200,000,000	2012/09/07	2013/05/03	52.00
20107	J P Morgan SP BV – China Unicom European Warrants Oct 2013 A	200,000,000	2012/09/07	2013/10/02	30.60
20116	Macquarie Bank Ltd. – China Coal European Wts Jul 2013 A	100,000,000	2012/09/07	2013/07/03	25.00
20096	Macquarie Bank Ltd. – Chow Sang Sang European Wts May 2013 A	150,000,000	2012/09/07	2013/05/03	37.50
20113	Macquarie Bank Ltd. – Chow Tai Fook European Wts Sep 2014 A	150,000,000	2012/09/07	2014/09/02	22.50
20115	Macquarie Bank Ltd. – Yurun Food European Wts Jul 2013 A	100,000,000	2012/09/07	2013/07/03	25.00
20091	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jun 2013 A	60,000,000	2012/09/07	2013/06/17	10.68
20088	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 13 A	80,000,000	2012/09/07	2013/03/11	12.24
20090	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Mar 2013 A	40,000,000	2012/09/07	2013/03/05	10.20
20086	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Dec 2012 E	60,000,000	2012/09/07	2012/12/28	11.76
20089	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Mar 13 A	80,000,000	2012/09/07	2013/03/25	12.16
20087	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Mar 2013 A	40,000,000	2012/09/07	2013/03/11	16.20
20097	CC Rabobank B.A. – China Mer Hold European Warrants Mar 13 A	70,000,000	2012/09/07	2013/03/11	17.50
20100	CC Rabobank B.A. – China Railway European Wts Mar 2013 A	60,000,000	2012/09/07	2013/03/18	15.00
20099	CC Rabobank B.A. – Dongfeng Motor European Wts Nov 2013 A	100,000,000	2012/09/07	2013/11/25	15.00
20101	CC Rabobank B.A. – SHK Ppt European Warrants Apr 2013 A	100,000,000	2012/09/07	2013/04/22	30.00
20098	CC Rabobank B.A. – Xinyi Glass European Warrants Mar 2013 A	50,000,000	2012/09/07	2013/03/25	19.00
20102	Standard Chartered Bank – Anhui Conch Euro Wts May 2013 A	50,000,000	2012/09/07	2013/05/28	12.50
20104	Standard Chartered Bank – Belle Int'l European Wts May 2014A	80,000,000	2012/09/07	2014/05/30	20.00



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		issue (units)	Listing date	Expiry	
20105	Standard Chartered Bank – CITIC Sec European Wts Jun 2013 A	80,000,000	2012/09/07	2013/06/28	20.00
20103	Standard Chartered Bank – Cathay Pac Air Euro Wts Oct 2013 A	80,000,000	2012/09/07	2013/10/28	13.20
20092	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2013 A	150,000,000	2012/09/07	2013/03/27	37.50
20093	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Mar 2013 A	30,000,000	2012/09/07	2013/03/11	15.90
20094	SGA Societe Generale Acceptance N.V. -HLand Eu Put Wt Mar13A	50,000,000	2012/09/07	2013/03/11	12.50
20095	SGA Societe Generale Acceptance N.V. – NWD Eu Put Wts Apr13A	30,000,000	2012/09/07	2013/04/15	18.60
20106	UBS AG – HSI European Warrants Dec 2012 J	300,000,000	2012/09/07	2012/12/28	64.50
20128	Credit Suisse AG – Ping An European Warrants Dec 2012 E	60,000,000	2012/09/10	2012/12/10	21.60
20129	Credit Suisse AG – Ping An European Put Warrants Feb 2013 A	60,000,000	2012/09/10	2013/02/25	18.00
20131	Citigroup Global Mkt H Inc. – CC Bank European Wt Mar 2013 A	100,000,000	2012/09/10	2013/03/11	25.00
20130	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Jun 13 A	100,000,000	2012/09/10	2013/06/17	15.00
20132	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Jan 2013 A	100,000,000	2012/09/10	2013/01/07	15.00
20134	Citigroup Global Mkt H Inc.-Tencent European Wts Feb 2013 A	100,000,000	2012/09/10	2013/02/18	18.00
20133	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Mar 2013 A	100,000,000	2012/09/10	2013/03/11	25.00
20122	HK Bank – China Mobile European Warrants Mar 2013 D	150,000,000	2012/09/10	2013/03/28	37.50
20117	HK Bank – SHK Ppt European Warrants Mar 2013 A	100,000,000	2012/09/10	2013/03/28	25.00
20119	J P Morgan SP BV – Minsheng Bank European Wts Mar 2013 A	100,000,000	2012/09/10	2013/03/11	25.00
20118	J P Morgan SP BV – Wharf European Warrants Apr 2013 A	150,000,000	2012/09/10	2013/04/29	37.50
20124	Macquarie Bank Ltd. – China Agri European Wts Oct 2013 A	100,000,000	2012/09/10	2013/10/03	25.00
20126	Macquarie Bank Ltd. – China Shenhua European Wts Nov 2013 A	150,000,000	2012/09/10	2013/11/04	37.50
20121	Standard Chartered Bank – Link REIT European Wts Jun 2014 A	80,000,000	2012/09/10	2014/06/03	20.00
20120	Standard Chartered Bank – Link REIT European Wts Nov 2014 A	80,000,000	2012/09/10	2014/11/04	28.80
20123	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Mar 13 B	150,000,000	2012/09/10	2013/03/11	58.50
20127	UBS AG – AIA European Warrants Dec 2012 B	100,000,000	2012/09/10	2012/12/24	20.50
20125	UBS AG – AIA European Warrants Feb 2013 B	100,000,000	2012/09/10	2013/02/25	15.00
20150	BOCI Asia Ltd. -Tencent Holdings European Put Wts Mar 2013 A	100,000,000	2012/09/11	2013/03/18	16.00
20158	Credit Suisse AG – AIA European Warrants Feb 2013 A	70,000,000	2012/09/11	2013/02/25	10.50
20157	Credit Suisse AG – A50 China European Warrants Mar 2013 A	70,000,000	2012/09/11	2013/03/11	17.50
20140	Goldman Sachs SP (Asia) – HSBC European Put Wts Dec 2012 D	80,000,000	2012/09/11	2012/12/24	13.52
20137	Goldman Sachs Sp (Asia) – ICBC European Warrants Jan 2013 B	50,000,000	2012/09/11	2013/01/11	10.35
20138	Goldman Sachs Sp (Asia) – ICBC European Warrants Feb 2013 A	68,000,000	2012/09/11	2013/02/25	10.20
20139	Goldman Sachs SP (Asia) – Poly HK Inv Euro Wts Jun 2013 A	60,000,000	2012/09/11	2013/06/24	26.82
20141	HK Bank – Cheung Kong European Warrants Mar 2013 A	50,000,000	2012/09/11	2013/03/15	12.50
20156	J P Morgan SP BV – Link Reit European Warrants Sep 2013 A	150,000,000	2012/09/11	2013/09/30	37.50
20147	Macquarie Bank Ltd. – Minsheng Bank European Wts Mar 2013 A	100,000,000	2012/09/11	2013/03/11	35.30
20148	Macquarie Bank Ltd. – HKEx European Warrants Mar 2013 A	250,000,000	2012/09/11	2013/03/08	39.00
20149	Macquarie Bank Ltd. – HKEx European Put Warrants Jan 2014 A	250,000,000	2012/09/11	2014/01/03	39.75
20146	CC Rabobank B.A. – Angang Steel European Warrants Mar 2013 A	80,000,000	2012/09/11	2013/03/11	20.00
20143	CC Rabobank B.A. – Ch Railway Cons European Wts Mar 2013 A	60,000,000	2012/09/11	2013/03/11	27.00
20142	CC Rabobank B.A. – Chi Res Land European Warrants Sep 2013 A	100,000,000	2012/09/11	2013/09/02	25.00
20145	CC Rabobank B.A. – Evergrande European Put Wts Mar 2013 A	80,000,000	2012/09/11	2013/03/11	24.00
20144	CC Rabobank B.A. – Tsingtao Brew European Wts Mar 2013 A	60,000,000	2012/09/11	2013/03/11	21.00
20151	Standard Chartered Bank-China Life European Wts Jun 2013 B	100,000,000	2012/09/11	2013/06/28	15.00
20152	Standard Chartered Bank – Geely Auto European Wts Aug 2013 A	80,000,000	2012/09/11	2013/08/01	20.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20153	Standard Chartered Bank – Li & Fung European Wts Aug 2013 A	80,000,000	2012/09/11	2013/08/20	20.00
10159	SGA Societe Generale Acceptance N.V. – EUR/USD Eu Wt Apr 13B	100,000,000	2012/09/11	2013/04/03	25.00
10158	SGA Societe Generale Acceptance N.V.-EUR/USD Eu Put Wt Apr13A	100,000,000	2012/09/11	2013/04/03	26.00
20135	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jan13B	50,000,000	2012/09/11	2013/01/28	15.00
20136	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Dec13A	500,000,000	2012/09/11	2013/12/23	90.00
20154	UBS AG – China Unicom European Warrants Nov 2013 A	100,000,000	2012/09/11	2013/11/25	16.00
20155	UBS AG – HSBC European Warrants Feb 2013 A	100,000,000	2012/09/11	2013/02/06	18.80
20181	Credit Suisse AG – Agricultural Bank European Wts Feb 2013 B	70,000,000	2012/09/12	2013/02/22	12.60
20180	Credit Suisse AG – BOCL European Warrants Jan 2013 A	70,000,000	2012/09/12	2013/01/11	10.50
20182	Credit Suisse AG – Yanzhou Coal European Warrants Mar 2013 B	50,000,000	2012/09/12	2013/03/15	42.50
20179	Daiwa Capital Mkt – BOCL European Warrants Apr 2013 A	108,000,000	2012/09/12	2013/04/10	27.00
20168	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2013 B	80,000,000	2012/09/12	2013/02/25	16.40
20167	Goldman Sachs SP (Asia) – China Coal European Wts Mar 2013 A	80,000,000	2012/09/12	2013/03/25	25.04
20165	Goldman Sachs SP (Asia) – CM Bank European Wts Dec 2013 A	80,000,000	2012/09/12	2013/12/24	12.88
20166	Goldman Sachs SP (Asia) – PICC European Warrants Dec 2013 A	80,000,000	2012/09/12	2013/12/16	12.24
20171	HK Bank – China Life European Warrants Mar 2013 C	150,000,000	2012/09/12	2013/03/27	22.50
20172	HK Bank – HKEx European Put Warrants Dec 2013 A	150,000,000	2012/09/12	2013/12/18	24.00
20176	J P Morgan SP BV – Luk Fook Hold European Warrants Jun 2013A	88,000,000	2012/09/12	2013/06/03	22.09
20170	J P Morgan SP BV – Yurun Food European Warrants Mar 2013 A	50,000,000	2012/09/12	2013/03/12	13.45
20175	J P Morgan SP BV – Zijin Mining European Warrants Aug 2013 A	88,000,000	2012/09/12	2013/08/02	22.00
20174	Macquarie Bank Ltd. – CM Bank European Warrants Jan 2014 A	200,000,000	2012/09/12	2014/01/03	30.00
20173	Macquarie Bank Ltd. – HSBC European Warrants Apr 2013 A	200,000,000	2012/09/12	2013/04/03	50.00
20163	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2013 B	80,000,000	2012/09/12	2013/03/25	12.08
20162	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2013 B	40,000,000	2012/09/12	2013/02/18	10.80
20159	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2013 A	60,000,000	2012/09/12	2013/02/14	11.10
20164	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Feb 2013 A	50,000,000	2012/09/12	2013/02/25	12.25
20160	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Jan 2013 A	70,000,000	2012/09/12	2013/01/28	10.85
20161	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Mar 2013D	40,000,000	2012/09/12	2013/03/25	10.20
20177	Standard Chartered Bank – HSI European Put Wts Feb 2013 A	200,000,000	2012/09/12	2013/02/27	30.20
20178	Standard Chartered Bank – HSI European Put Wts Mar 2013 C	200,000,000	2012/09/12	2013/03/27	33.80
20195	Goldman Sachs SP (Asia) – Anhui Conch European Wts Jun 2013A	80,000,000	2012/09/13	2013/06/27	20.16
20196	Goldman Sachs SP (Asia) – Agri Bk European Wts Feb 2013 A	80,000,000	2012/09/13	2013/02/18	13.52
20197	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Mar 2013 B	80,000,000	2012/09/13	2013/03/11	12.32
20194	Goldman Sachs SP (Asia) – HKEx European Put Wts Dec 2012 A	80,000,000	2012/09/13	2012/12/24	12.64
20193	HK Bank – Agricultural Bank European Warrants Jul 2013 A	50,000,000	2012/09/13	2013/07/16	12.50
20191	HK Bank – Minsheng Bank European Warrants May 2013 A	40,000,000	2012/09/13	2013/05/31	10.00
20192	HK Bank – HSI European Put Warrants Mar 2013 B	250,000,000	2012/09/13	2013/03/27	62.50
20187	J P Morgan SP BV – Chi Res Land European Warrants Aug 2013 A	100,000,000	2012/09/13	2013/08/02	25.00
20186	J P Morgan SP BV – Evergrande European Warrants Jun 2013 A	100,000,000	2012/09/13	2013/06/03	28.40
20204	J P Morgan SP BV – ICBC European Warrants Apr 2013 A	200,000,000	2012/09/13	2013/04/02	50.00
20205	J P Morgan SP BV – Tsingtao Brew European Warrants Mar 2013A	100,000,000	2012/09/13	2013/03/13	27.10
20184	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2013 A	70,000,000	2012/09/13	2013/12/24	10.50
20183	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2013 A	100,000,000	2012/09/13	2013/03/27	22.50
20185	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2013 A	40,000,000	2012/09/13	2013/03/25	18.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
20200	CC Rabobank B.A. – AAC Acoustic European Warrants Mar 2013 A	60,000,000	2012/09/13	2013/03/18	15.00
20203	CC Rabobank B.A. – Ch Ship Cont European Warrants Jun 2013 A	60,000,000	2012/09/13	2013/06/24	15.00
20201	CC Rabobank B.A. – Ping An European Warrants Mar 2013 A	100,000,000	2012/09/13	2013/03/13	25.00
20202	CC Rabobank B.A. – Poly HK Inv European Warrants Mar 2013 A	60,000,000	2012/09/13	2013/03/18	15.00
20199	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Mar 2013 A	150,000,000	2012/09/13	2013/03/11	22.50
20198	Standard Chartered Bank – Agricultural Bk Euro Wts Sep 2013A	40,000,000	2012/09/13	2013/09/03	12.00
20188	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2013 B	200,000,000	2012/09/13	2013/04/03	50.00
20189	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec2012C	120,000,000	2012/09/13	2012/12/17	36.00
20207	UBS AG – Lenovo European Warrants Sep 2013 A	100,000,000	2012/09/13	2013/09/25	15.00
20206	UBS AG – Ping An European Warrants Jan 2013 B	100,000,000	2012/09/13	2013/01/04	15.00
14773 #	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2013	150,000,000	2012/09/13	2013/06/13	10.05
20212	BOCI Asia Ltd. – AIA European Warrants Feb 2013 A	100,000,000	2012/09/14	2013/02/25	15.00
20214	BOCI Asia Ltd. – HSI European Warrants Mar 2013 B	100,000,000	2012/09/14	2013/03/27	25.00
20213	BOCI Asia Ltd. – HSI European Warrants Jun 2013 B	100,000,000	2012/09/14	2013/06/27	25.00
20211	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2013 A	80,000,000	2012/09/14	2013/02/25	12.16
20218	HK Bank – CNBM European Warrants Dec 2013 A	80,000,000	2012/09/14	2013/12/17	12.00
20217	HK Bank – Lenovo European Warrants Apr 2013 A	40,000,000	2012/09/14	2013/04/10	18.00
20210	J P Morgan SP BV – HSI European Put Warrants Mar 2013 C	300,000,000	2012/09/14	2013/03/27	75.00
20226	J P Morgan SP BV – Tencent European Put Warrants Apr 2013 A	200,000,000	2012/09/14	2013/04/30	50.00
20225	J P Morgan SP BV – Zhaojin Mining European Wts Jun 2013 A	200,000,000	2012/09/14	2013/06/10	50.00
20219	Macquarie Bank Ltd. – AIA European Warrants Jul 2013 A	150,000,000	2012/09/14	2013/07/03	37.50
20220	Macquarie Bank Ltd. -Greatwall Motor European Wts Jun 2013 A	150,000,000	2012/09/14	2013/06/03	37.50
20216	Standard Chartered Bank – China Mobile Eur Put Wt Dec 2012 B	70,000,000	2012/09/14	2012/12/21	10.50
20215	Standard Chartered Bank – Galaxy Ent European Wts Jun 2013 A	50,000,000	2012/09/14	2013/06/07	12.50
20209	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Jan 2014 A	150,000,000	2012/09/14	2014/01/06	27.00
20208	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2013A	300,000,000	2012/09/14	2013/08/05	45.00
20221	UBS AG – HSI European Warrants Jan 2013 D	300,000,000	2012/09/14	2013/01/30	45.00
20222	UBS AG – Lenovo European Warrants Jan 2013 A	100,000,000	2012/09/14	2013/01/07	41.00
20224	UBS AG – SHK Ppt European Warrants Apr 2013 B	100,000,000	2012/09/14	2013/04/22	45.00
20223	UBS AG – Tencent Holdings European Put Warrants Feb 2013 A	100,000,000	2012/09/14	2013/02/25	22.00
20238	Citigroup Global Mkt H Inc. – China Life Euro Wts Jan 2013 A	100,000,000	2012/09/17	2013/01/25	18.00
20237	Citigroup Global Mkt H Inc. – China Life Euro Wts Mar 2013 A	100,000,000	2012/09/17	2013/03/27	17.00
20233	Daiwa Capital Mkt – AIA European Warrants Jul 2013 A	80,000,000	2012/09/17	2013/07/02	20.00
20232	Daiwa Capital Mkt – HSI European Warrants Mar 2013 F	200,000,000	2012/09/17	2013/03/27	34.00
20227	Macquarie Bank Ltd. – CC Bank European Warrants Feb 2013 B	100,000,000	2012/09/17	2013/02/06	15.00
20236	Macquarie Bank Ltd. – China Life European Wts Jun 2013 A	150,000,000	2012/09/17	2013/06/04	22.50
20231	Standard Chartered Bank – China Life Euro Put Wts Dec 2013 A	100,000,000	2012/09/17	2013/12/02	25.00
20229	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Oct 2013 A	100,000,000	2012/09/17	2013/10/28	25.00
20228	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2013 B	150,000,000	2012/09/17	2013/02/25	45.00
20235	UBS AG – AIA European Warrants Jun 2013 B	100,000,000	2012/09/17	2013/06/17	25.00
20234	UBS AG – A50 China European Put Warrants Jun 2014 A	100,000,000	2012/09/17	2014/06/24	20.00
20249	Daiwa Capital Mkt – Cheung Kong European Warrants Mar 2013 A	80,000,000	2012/09/18	2013/03/01	23.20
20248	Daiwa Capital Mkt – Cheung Kong European Warrants Oct 2013 A	108,000,000	2012/09/18	2013/10/18	27.00
20250	Daiwa Capital Mkt – Cheung Kong European Put Wts Mar 2013 B	68,000,000	2012/09/18	2013/03/18	17.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20239	Daiwa Capital Mkt – China Telecom European Wts Mar 2013 A	80,000,000	2012/09/18	2013/03/08	15.20
20240	Daiwa Capital Mkt – Ping An European Warrants Mar 2013 B	80,000,000	2012/09/18	2013/03/18	20.00
20241	Daiwa Capital Mkt – Ping An European Put Warrants Mar 2013 C	68,000,000	2012/09/18	2013/03/18	21.76
20246	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2013 C	80,000,000	2012/09/18	2013/06/25	20.16
20245	Goldman Sachs SP (Asia) – AIA European Put Wts Jun 2013 B	80,000,000	2012/09/18	2013/06/25	20.24
20243	HK Bank – BOCL European Warrants Aug 2013 A	80,000,000	2012/09/18	2013/08/26	20.00
20242	HK Bank – China Mobile European Warrants Apr 2013 A	120,000,000	2012/09/18	2013/04/11	73.20
20244	HK Bank – Evergrande European Warrants Mar 2013 A	40,000,000	2012/09/18	2013/03/19	10.00
20251	J P Morgan SP BV – Sinopec Corp European Warrants Mar 2013 A	200,000,000	2012/09/18	2013/03/18	50.00
20247	Standard Chartered Bank – CTel European Warrants Feb 2013 A	60,000,000	2012/09/18	2013/02/25	11.76
20252	UBS AG – Agricultural Bank European Warrants Feb 2013 B	100,000,000	2012/09/18	2013/02/18	19.00
20253	UBS AG – CC Bank European Warrants Mar 2013 A	100,000,000	2012/09/18	2013/03/18	28.00
20254	UBS AG – ICBC European Warrants Mar 2013 A	100,000,000	2012/09/18	2013/03/18	25.00
20259	BOCI Asia Ltd. – China Life European Put Warrants Jul 2013 A	100,000,000	2012/09/19	2013/07/02	18.00
20261	BOCI Asia Ltd. – Ch Overseas European Warrants Jul 2013 A	100,000,000	2012/09/19	2013/07/02	19.00
20260	BOCI Asia Ltd. – Hutchison European Warrants Feb 2013 A	100,000,000	2012/09/19	2013/02/04	16.00
20266	Credit Suisse AG – China Life European Warrants Mar 2013 A	80,000,000	2012/09/19	2013/03/28	12.80
20268	Credit Suisse AG – HSI European Put Warrants Feb 2013 D	350,000,000	2012/09/19	2013/02/27	56.00
20265	Credit Suisse AG – Ping An European Warrants Feb 2013 B	80,000,000	2012/09/19	2013/02/25	14.40
20262	Macquarie Bank Ltd. – CNOOC European Warrants Apr 2013 A	180,000,000	2012/09/19	2013/04/15	27.00
20258	Macquarie Bank Ltd. – Hutchison European Warrants Apr 2013 A	150,000,000	2012/09/19	2013/04/03	37.50
20255	Standard Chartered Bank – AIA European Warrants Aug 2013 A	80,000,000	2012/09/19	2013/08/01	20.00
20256	Standard Chartered Bank – SHK P European Warrants May 2013 B	50,000,000	2012/09/19	2013/05/30	16.50
20257	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Apr 13 A	50,000,000	2012/09/19	2013/04/03	12.50
20264	UBS AG – Cheung Kong European Warrants Feb 2013 A	100,000,000	2012/09/19	2013/02/06	34.00
20263	UBS AG – Hutchison European Put Warrants Sep 2013 A	200,000,000	2012/09/19	2013/09/02	34.00
20276	BOCI Asia Ltd. – CC Bank European Warrants Feb 2013 B	100,000,000	2012/09/20	2013/02/18	15.00
20275	BOCI Asia Ltd. – SHK Ppt European Warrants Jan 2013 A	100,000,000	2012/09/20	2013/01/28	20.00
10162	BNP Paribas Arbit Issu B.V. -AUD/USD European Wts Mar 2013 B	100,000,000	2012/09/20	2013/03/28	25.00
10161	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Mar 2013A	100,000,000	2012/09/20	2013/03/28	25.00
10165	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Mar 2013B	100,000,000	2012/09/20	2013/03/28	25.00
10160	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Jun2013C	100,000,000	2012/09/20	2013/06/06	25.00
10164	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Warrants Mar2013B	100,000,000	2012/09/20	2013/03/28	25.00
10163	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Put Wts Mar 2013A	100,000,000	2012/09/20	2013/03/28	25.00
20283	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2013 A	100,000,000	2012/09/20	2013/02/04	23.00
20284	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2013 B	100,000,000	2012/09/20	2013/03/25	25.00
20293	Daiwa Capital Mkt -Agricultural Bank European Wts Sep 2013 A	128,000,000	2012/09/20	2013/09/02	21.76
20290	Daiwa Capital Mkt – China Mobile European Wts Mar 2013 B	128,000,000	2012/09/20	2013/03/01	20.48
20288	Daiwa Capital Mkt – Ch Overseas European Warrants Apr 2013 A	80,000,000	2012/09/20	2013/04/03	12.80
20289	Daiwa Capital Mkt – Ch Overseas European Put Wts Jun 2013 A	80,000,000	2012/09/20	2013/06/20	20.00
20278	Daiwa Capital Mkt – HKEx European Warrants Jan 2013 A	128,000,000	2012/09/20	2013/01/21	23.04
20279	Daiwa Capital Mkt – HKEx European Warrants Dec 2013 A	128,000,000	2012/09/20	2013/12/03	19.20
20277	Daiwa Capital Mkt – HKEx European Put Warrants Nov 2013 A	108,000,000	2012/09/20	2013/11/26	16.20
20294	Daiwa Capital Mkt – HSCEI European Warrants Sep 2013 A	100,000,000	2012/09/20	2013/09/27	20.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20286	Daiwa Capital Mkt – Hutchison European Warrants Mar 2013 A	128,000,000	2012/09/20	2013/03/14	19.20
20287	Daiwa Capital Mkt – Hutchison European Put Wts Mar 2013 B	80,000,000	2012/09/20	2013/03/20	30.40
20291	Daiwa Capital Mkt – ICBC European Warrants Feb 2013 B	128,000,000	2012/09/20	2013/02/22	19.20
20292	Daiwa Capital Mkt – ICBC European Warrants Aug 2013 A	128,000,000	2012/09/20	2013/08/01	21.76
20285	Daiwa Capital Mkt – Tencent Holdings European Wts May 2013 A	128,000,000	2012/09/20	2013/05/02	32.00
20269	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2013 A	80,000,000	2012/09/20	2013/04/22	27.44
20272	HK Bank – HWL European Warrants Mar 2013 B	80,000,000	2012/09/20	2013/03/20	20.00
20273	HK Bank – HWL European Warrants Jul 2013 A	80,000,000	2012/09/20	2013/07/03	20.00
20271	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2013A	300,000,000	2012/09/20	2013/02/18	45.00
20274	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb2013B	300,000,000	2012/09/20	2013/02/27	45.00
20270	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2013 B	150,000,000	2012/09/20	2013/03/20	37.50
20281	UBS AG – HSI European Put Warrants Feb 2013 C	300,000,000	2012/09/20	2013/02/27	51.60
20282	UBS AG – Hutchison European Warrants Mar 2013 C	200,000,000	2012/09/20	2013/03/14	30.00
20280	UBS AG – Hutchison European Put Warrants Mar 2013 B	100,000,000	2012/09/20	2013/03/20	50.00
20306	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wt Oct 2013 A	26,000,000	2012/09/21	2013/10/02	11.70
20305	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Oct 2013 A	60,000,000	2012/09/21	2013/10/02	22.20
20310	BNP Paribas Arbit Issu B.V. – China Taiping Eu Wt Jan 2014 A	34,000,000	2012/09/21	2014/01/03	10.20
20309	BNP Paribas Arbit Issu B.V. – Gome European Wts Oct 2013 A	100,000,000	2012/09/21	2013/10/02	15.00
20308	BNP Paribas Arbit Issu B.V. – SJM Holdings Eu Wts Oct 2013 A	100,000,000	2012/09/21	2013/10/02	26.00
20313	Credit Suisse AG – Cheung Kong European Warrants Feb 2013 B	60,000,000	2012/09/21	2013/02/25	21.00
20317	Credit Suisse AG – CNOOC European Put Warrants Jun 2013 A	70,000,000	2012/09/21	2013/06/10	10.50
20315	Credit Suisse AG – HKEx European Warrants Jan 2013 B	70,000,000	2012/09/21	2013/01/28	10.50
20320	Credit Suisse AG – HSI European Put Warrants Mar 2013 A	300,000,000	2012/09/21	2013/03/27	45.00
20316	Credit Suisse AG – Hutchison European Warrants Mar 2013 C	80,000,000	2012/09/21	2013/03/25	20.00
20314	Credit Suisse AG – SHK Ppt European Warrants Jan 2013 A	60,000,000	2012/09/21	2013/01/28	18.00
20318	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2013 C	100,000,000	2012/09/21	2013/02/25	15.00
20319	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2013 C	100,000,000	2012/09/21	2013/03/04	15.00
20329	Citigroup Global Mkt H Inc. – CNOOC European Wts Aug 2013 A	100,000,000	2012/09/21	2013/08/05	17.00
20328	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Mar 2013 A	100,000,000	2012/09/21	2013/03/25	15.00
20327	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Nov 2013 A	100,000,000	2012/09/21	2013/11/25	23.00
20331	Citigroup Global Mkt H Inc. – Evergrande Euro Wts Apr 2013 A	100,000,000	2012/09/21	2013/04/29	25.00
20330	Citigroup Global Mkt H Inc. – Zijin Mining Eu Wts Mar 2013 A	100,000,000	2012/09/21	2013/03/25	25.00
20326	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Jul 2013 A	80,000,000	2012/09/21	2013/07/16	20.08
20325	Goldman Sachs SP (Asia) – Hutchison European Wts Mar 2013 A	80,000,000	2012/09/21	2013/03/27	20.08
20303	HK Bank – Zoomlion European Warrants Sep 2013 A	80,000,000	2012/09/21	2013/09/30	20.00
20304	J P Morgan SP BV – HSI European Put Warrants Mar 2013 D	300,000,000	2012/09/21	2013/03/27	75.30
20312	Macquarie Bank Ltd. – Shimao Ppt European Wts Jun 2013 B	200,000,000	2012/09/21	2013/06/04	50.00
20297	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Mar 2013 A	40,000,000	2012/09/21	2013/03/25	21.20
20295	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2013 A	100,000,000	2012/09/21	2013/09/27	22.70
20296	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Jan2013B	80,000,000	2012/09/21	2013/01/29	12.64
20299	Standard Chartered Bank – China COSCO European Wt Jun 2013 A	50,000,000	2012/09/21	2013/06/28	13.50
20300	Standard Chartered Bank – Chow Tai Fook Euro Wts Aug 2014 A	80,000,000	2012/09/21	2014/08/08	20.00
20311	Standard Chartered Bank – HSI European Put Wts Feb 2013 B	200,000,000	2012/09/21	2013/02/27	30.00
20322	UBS AG – BOCL European Warrants May 2013 A	100,000,000	2012/09/21	2013/05/27	15.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20321	UBS AG – China Life European Warrants Apr 2013 A	300,000,000	2012/09/21	2013/04/15	75.00
20323	UBS AG – CNOOC European Put Warrants Mar 2013 A	100,000,000	2012/09/21	2013/03/25	25.00
20302	UBS AG – China Tel European Warrants Feb 2013 B	100,000,000	2012/09/21	2013/02/25	30.00
20324	UBS AG – HKEx European Warrants Jan 2013 B	100,000,000	2012/09/21	2013/01/21	18.00
20301	UBS AG – HKEx European Put Warrants Mar 2013 C	100,000,000	2012/09/21	2013/03/18	21.00
20298	UBS AG – HSBC European Put Warrants Jan 2013 B	100,000,000	2012/09/21	2013/01/14	29.00
20346	BOCI Asia Ltd. – HSI European Warrants Feb 2013 B	100,000,000	2012/09/24	2013/02/27	23.00
20350	BNP Paribas Arbit Issu B.V. – AIA European Put Wt Jun 2013 A	100,000,000	2012/09/24	2013/06/04	29.00
20351	BNP Paribas Arbit Issu B.V. – Cheung Kong Eu Put Wt Jun 14 A	100,000,000	2012/09/24	2014/06/04	25.00
20356	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Apr 2013 B	100,000,000	2012/09/24	2013/04/03	27.00
20349	BNP Paribas Arbit Issu B.V. – Dongfang Elec Eu Wt Aug 2013 A	45,000,000	2012/09/24	2013/08/02	11.25
20366	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2013 A	200,000,000	2012/09/24	2013/02/27	30.00
20348	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eu Wt Apr 2013A	100,000,000	2012/09/24	2013/04/03	25.00
20370	Credit Suisse AG – CNOOC European Warrants Mar 2013 A	80,000,000	2012/09/24	2013/03/25	52.00
20369	Credit Suisse AG – HKEx European Put Warrants Mar 2013 B	80,000,000	2012/09/24	2013/03/25	12.00
20374	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Jul 13 A	100,000,000	2012/09/24	2013/07/23	25.00
20375	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2013 A	100,000,000	2012/09/24	2013/04/24	22.00
20373	Citigroup Global Mkt H Inc. – Jiangxi Copper Eu Wt May 2013A	100,000,000	2012/09/24	2013/05/27	25.00
20372	Citigroup Global Mkt H Inc. – Sands China Euro Wt Apr 2013 A	100,000,000	2012/09/24	2013/04/24	25.00
20377	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2013 A	80,000,000	2012/09/24	2013/07/22	12.08
20376	Goldman Sachs SP (Asia) – JIANG European Warrants Jul 2013 A	80,000,000	2012/09/24	2013/07/22	20.00
20378	Goldman Sachs SP (Asia) – PetroChina European Wts Mar 2013 A	80,000,000	2012/09/24	2013/03/25	28.56
20343	HK Bank – China Life European Warrants Jul 2013 A	100,000,000	2012/09/24	2013/07/22	15.00
20342	HK Bank – China Overseas European Put Warrants Jun 2013 A	80,000,000	2012/09/24	2013/06/14	12.00
20344	HK Bank – China Shenhua European Warrants Jun 2013 A	80,000,000	2012/09/24	2013/06/18	20.00
20345	HK Bank – Yanzhou Coal European Warrants Nov 2013 A	80,000,000	2012/09/24	2013/11/27	12.00
20357	J P Morgan SP BV – Henderson Land Dev European Wt Apr 2013 A	88,000,000	2012/09/24	2013/04/02	22.26
20358	J P Morgan SP BV – HS Bank European Warrants Apr 2013 A	50,000,000	2012/09/24	2013/04/02	12.55
20359	J P Morgan SP BV – JX Copper European Warrants Jul 2013 A	100,000,000	2012/09/24	2013/07/02	25.00
20347	Macquarie Bank Ltd. – Dongfeng Motor European Wts Dec 2013 A	150,000,000	2012/09/24	2013/12/03	22.50
20367	Macquarie Bank Ltd. – Luk Fook Hold European Wts Apr 2013 A	150,000,000	2012/09/24	2013/04/03	37.50
20368	Macquarie Bank Ltd. – Tencent European Warrants Aug 2013 A	250,000,000	2012/09/24	2013/08/02	62.50
20360	CC Rabobank B.A. – CM Bank European Warrants Dec 2013 A	80,000,000	2012/09/24	2013/12/24	12.00
20361	CC Rabobank B.A. – Galaxy Ent European Warrants Mar 2013 A	100,000,000	2012/09/24	2013/03/04	25.00
20364	CC Rabobank B.A. – Huaneng Power European Wts Mar 2013 A	60,000,000	2012/09/24	2013/03/25	18.00
20363	CC Rabobank B.A. – Jiangxi Copper European Wts Apr 2013 A	80,000,000	2012/09/24	2013/04/29	20.00
20362	CC Rabobank B.A. – Zijin Mining European Warrants Apr 2013 A	70,000,000	2012/09/24	2013/04/08	17.50
20352	Standard Chartered Bank – China Mobile Euro Wts May 2013 A	100,000,000	2012/09/24	2013/05/15	35.00
20354	Standard Chartered Bank – A50 China Euro Warrants Aug 2013 A	100,000,000	2012/09/24	2013/08/08	33.50
20353	Standard Chartered Bank – A50 China Euro Warrants Aug 2016 A	200,000,000	2012/09/24	2016/08/08	50.00
20355	Standard Chartered Bank – Zhaojin Mining Euro Wts Oct 2013 A	50,000,000	2012/09/24	2013/10/30	12.50
20339	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2013 B	200,000,000	2012/09/24	2013/01/02	32.00
20334	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2013 A	300,000,000	2012/09/24	2013/03/27	75.00
20337	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb2013C	300,000,000	2012/09/24	2013/02/27	51.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20335	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar2013B	300,000,000	2012/09/24	2013/03/27	48.00
20338	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar2013C	300,000,000	2012/09/24	2013/03/27	75.00
20340	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Aug 2013A	100,000,000	2012/09/24	2013/08/26	25.00
20341	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2013A	50,000,000	2012/09/24	2013/05/08	12.50
20365	UBS AG – CNOOC European Warrants Feb 2013 A	200,000,000	2012/09/24	2013/02/06	30.00
20371	UBS AG – A50 China European Warrants Mar 2013 A	100,000,000	2012/09/24	2013/03/04	20.00
20333	UBS AG – HSI European Put Warrants Mar 2013 C	300,000,000	2012/09/24	2013/03/27	45.00
20332	UBS AG – Hutchison European Warrants Mar 2013 D	200,000,000	2012/09/24	2013/03/25	50.00
18212 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2013 A	130,000,000	2012/09/24	2013/07/25	10.53
19123 #	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2012 B	80,000,000	2012/09/24	2012/12/24	10.96
20394	BOCI Asia Ltd. – HSI European Warrants Mar 2013 D	100,000,000	2012/09/25	2013/03/27	25.00
20393	BOCI Asia Ltd. – HSI European Put Warrants Mar 2013 C	100,000,000	2012/09/25	2013/03/27	15.00
20427	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Dec 2013 A	100,000,000	2012/09/25	2013/12/13	17.00
20426	Citigroup Global Mkt H Inc. – Geely Auto Euro Wts Mar 2013 A	100,000,000	2012/09/25	2013/03/25	25.00
20424	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts May 2013 A	100,000,000	2012/09/25	2013/05/27	25.00
20423	Daiwa Capital Mkt – A50 China European Put Wts Apr 2013 A	80,000,000	2012/09/25	2013/04/03	20.00
20415	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jan 2013 A	80,000,000	2012/09/25	2013/01/09	17.76
20416	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2013 C	80,000,000	2012/09/25	2013/01/09	14.64
20392	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2013 A	150,000,000	2012/09/25	2013/03/27	37.50
20390	HK Bank – AIA European Warrants Jun 2013 A	100,000,000	2012/09/25	2013/06/03	25.00
20391	HK Bank – CNOOC European Warrants Jun 2013 A	100,000,000	2012/09/25	2013/06/17	15.00
20389	HK Bank – HSI European Put Warrants Feb 2013 B	200,000,000	2012/09/25	2013/02/27	30.00
20402	J P Morgan SP BV – BOCL European Warrants Jul 2013 A	200,000,000	2012/09/25	2013/07/08	50.00
20404	J P Morgan SP BV – CNOOC European Warrants Jun 2013 A	200,000,000	2012/09/25	2013/06/06	30.00
20412	J P Morgan SP BV – China Railway European Wts Apr 2013 A	60,000,000	2012/09/25	2013/04/02	15.12
20410	J P Morgan SP BV – Zhuzhou CSR European Warrants Dec 2014 A	200,000,000	2012/09/25	2014/12/15	30.00
20403	J P Morgan SP BV – China Tel European Warrants Jun 2013 A	150,000,000	2012/09/25	2013/06/18	37.50
20407	J P Morgan SP BV – Chow Tai Fook European Wts Sep 2014 A	150,000,000	2012/09/25	2014/09/02	37.50
20405	J P Morgan SP BV – China Taiping European Wts Dec 2013 A	100,000,000	2012/09/25	2013/12/31	25.00
20409	J P Morgan SP BV – HSBC European Warrants Mar 2013 A	200,000,000	2012/09/25	2013/03/25	50.00
20414	J P Morgan SP BV – Luk Fook Hold European Wts Jun 2013 B	100,000,000	2012/09/25	2013/06/03	25.00
20413	J P Morgan SP BV – Shimao Property European Wts Oct 2013 A	150,000,000	2012/09/25	2013/10/02	37.50
20399	J P Morgan SP BV – SJM Holdings European Warrants Jul 2013 A	120,000,000	2012/09/25	2013/07/02	30.00
20401	J P Morgan SP BV – SPDR Gold Trust Euro Put Wts Jun 2013 A	80,000,000	2012/09/25	2013/06/25	56.24
20400	J P Morgan SP BV – Tencent European Warrants Jun 2013 A	200,000,000	2012/09/25	2013/06/25	50.00
20408	J P Morgan SP BV – Weichai Power European Wts Jul 2013 A	80,000,000	2012/09/25	2013/07/02	20.08
20406	J P Morgan SP BV – Zoomlion European Warrants Jul 2014 A	200,000,000	2012/09/25	2014/07/21	50.00
20398	Macquarie Bank Ltd. – CNOOC European Warrants Dec 2013 A	180,000,000	2012/09/25	2013/12/03	45.00
20397	Macquarie Bank Ltd. – China Unicom European Wts Mar 2014 A	180,000,000	2012/09/25	2014/03/04	27.90
20383	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Feb 2013 A	60,000,000	2012/09/25	2013/02/22	13.68
20382	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Mar 2013 A	60,000,000	2012/09/25	2013/03/27	12.66
20384	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2013 B	80,000,000	2012/09/25	2013/11/08	12.16
20379	Merrill Lynch Int'l & Co. C.V. – CNOOC Eu Put Wts Jun 2013 A	80,000,000	2012/09/25	2013/06/11	12.08
20381	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Mar 2013 B	60,000,000	2012/09/25	2013/03/25	11.16

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20388	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2013 A	40,000,000	2012/09/25	2013/03/11	11.80
20387	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2013 A	40,000,000	2012/09/25	2013/04/15	10.20
20380	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Apr 2013 A	40,000,000	2012/09/25	2013/04/08	10.00
20386	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Apr 2013 A	40,000,000	2012/09/25	2013/04/29	10.00
20385	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2013 A	60,000,000	2012/09/25	2013/04/22	10.50
20418	Standard Chartered Bank – HKEx European Warrants Feb 2014 A	100,000,000	2012/09/25	2014/02/05	25.00
20417	Standard Chartered Bank – HKEx European Put Wts Aug 2013 A	100,000,000	2012/09/25	2013/08/30	25.00
20422	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Jul 13A	100,000,000	2012/09/25	2013/07/29	15.00
20421	UBS AG – BOCL European Warrants Jul 2013 A	100,000,000	2012/09/25	2013/07/22	25.00
20395	UBS AG – A50 China European Warrants Feb 2013 B	100,000,000	2012/09/25	2013/02/25	15.00
20419	UBS AG – HKEx European Warrants Mar 2013 D	100,000,000	2012/09/25	2013/03/25	25.00
20396	UBS AG – HKEx European Warrants Jun 2014 A	300,000,000	2012/09/25	2014/06/23	45.00
20437	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Aug 2013 A	42,000,000	2012/09/26	2013/08/02	15.96
20457	Credit Suisse AG – China Mobile European Warrants Dec 2012 C	80,000,000	2012/09/26	2012/12/31	12.00
20458	Credit Suisse AG – HSBC European Wts Apr 2013 A	80,000,000	2012/09/26	2013/04/29	20.00
20459	Credit Suisse AG – HSBC European Put Warrants Jan 2013 A	80,000,000	2012/09/26	2013/01/14	16.00
20460	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Mar 2013 A	80,000,000	2012/09/26	2013/03/26	20.00
20453	Daiwa Capital Mkt – CCB European Warrants May 2013 B	128,000,000	2012/09/26	2013/05/08	19.20
20456	Daiwa Capital Mkt – China Life European Warrants Jul 2013 B	138,000,000	2012/09/26	2013/07/22	20.70
20455	Daiwa Capital Mkt – China Mobile European Wts Feb 2013 C	128,000,000	2012/09/26	2013/02/25	43.52
20451	Daiwa Capital Mkt – HKEx European Put Warrants Sep 2013 A	108,000,000	2012/09/26	2013/09/02	27.00
20450	Daiwa Capital Mkt – HSBC European Warrants Mar 2013 A	128,000,000	2012/09/26	2013/03/25	32.00
20449	Daiwa Capital Mkt – HSCEI European Warrants Sep 2013 B	100,000,000	2012/09/26	2013/09/27	18.00
20447	Daiwa Capital Mkt – HSI European Warrants Sep 2013 A	200,000,000	2012/09/26	2013/09/27	48.00
20448	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 G	200,000,000	2012/09/26	2013/03/27	34.00
20454	Daiwa Capital Mkt – ICBC European Warrants Apr 2013 A	128,000,000	2012/09/26	2013/04/02	20.48
20452	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Mar 2013 A	128,000,000	2012/09/26	2013/03/01	29.44
20443	J P Morgan SP BV – HSI European Warrants Mar 2013 E	300,000,000	2012/09/26	2013/03/27	75.00
20435	J P Morgan SP BV – Stanchart European Warrants Jun 2013 A	200,000,000	2012/09/26	2013/06/03	30.00
20446	Macquarie Bank Ltd. – AIA European Warrants Apr 2013 A	150,000,000	2012/09/26	2013/04/03	37.50
20445	Macquarie Bank Ltd. – China Life European Wts Feb 2013 B	150,000,000	2012/09/26	2013/02/18	22.50
20444	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2013 A	150,000,000	2012/09/26	2013/03/04	22.50
20428	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2013 A	40,000,000	2012/09/26	2013/04/02	10.00
20430	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2013 B	60,000,000	2012/09/26	2013/01/14	11.46
20429	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Mar 2013 A	40,000,000	2012/09/26	2013/03/27	10.60
20433	Standard Chartered Bank – HSBC European Warrants Sep 2013 A	100,000,000	2012/09/26	2013/09/23	25.00
20432	Standard Chartered Bank – Jiangxi Copper Euro Wts Aug 2013 A	50,000,000	2012/09/26	2013/08/08	12.50
20434	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jan 2013 C	50,000,000	2012/09/26	2013/01/07	18.00
20442	UBS AG – CC Bank European Warrants Feb 2013 B	100,000,000	2012/09/26	2013/02/22	26.00
20440	UBS AG – China Mobile European Warrants Feb 2013 D	200,000,000	2012/09/26	2013/02/25	54.00
20436	UBS AG – CNOOC European Warrants Nov 2013 A	100,000,000	2012/09/26	2013/11/08	15.00
20438	UBS AG – CNOOC European Put Warrants Jun 2013 C	100,000,000	2012/09/26	2013/06/10	15.00
20439	UBS AG – HKEx European Warrants Jan 2014 A	300,000,000	2012/09/26	2014/01/13	75.00
20441	UBS AG – SPDR Gold Trust European Put Warrants Mar 2013 A	50,000,000	2012/09/26	2013/03/28	28.50



# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20471	Daiwa Capital Mkt -Agricultural Bank European Wts Apr 2013 A	128,000,000	2012/09/27	2013/04/02	19.20
20463	HK Bank – Cheung Kong European Warrants Dec 2013 A	100,000,000	2012/09/27	2013/12/20	15.00
20462	HK Bank – Galaxy Ent European Warrants Apr 2013 B	80,000,000	2012/09/27	2013/04/15	20.00
20466	Macquarie Bank Ltd. – A50 China European Warrants Apr 2013 B	100,000,000	2012/09/27	2013/04/03	25.10
20467	Macquarie Bank Ltd. – A50 China European Put Wts Apr 2013 C	100,000,000	2012/09/27	2013/04/03	25.00
20468	Macquarie Bank Ltd. – Ping An European Warrants Mar 2013 A	150,000,000	2012/09/27	2013/03/04	22.65
20464	Standard Chartered Bank – HKEx European Warrants Apr 2013 A	100,000,000	2012/09/27	2013/04/09	25.00
20470	Standard Chartered Bank – HSBC European Warrants Dec 2012 B	100,000,000	2012/09/27	2012/12/27	15.00
20465	Standard Chartered Bank – Zijin Mining Euro Wts Nov 2013 A	80,000,000	2012/09/27	2013/11/04	20.00
20469	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2013 A	300,000,000	2012/09/27	2013/06/27	45.00
20486	Daiwa Capital Mkt – BOCL European Warrants Apr 2013 B	108,000,000	2012/09/28	2013/04/18	27.00
20487	Daiwa Capital Mkt – CNOOC European Put Warrants Apr 2013 A	80,000,000	2012/09/28	2013/04/03	12.80
20485	Daiwa Capital Mkt – HKEx European Warrants Jun 2014 A	128,000,000	2012/09/28	2014/06/20	19.20
20482	Daiwa Capital Mkt – HSBC European Warrants Jul 2013 A	128,000,000	2012/09/28	2013/07/15	32.00
20483	Daiwa Capital Mkt – HSBC European Put Warrants Apr 2013 A	80,000,000	2012/09/28	2013/04/10	20.00
20478	Daiwa Capital Mkt – HSCEI European Put Warrants Dec 2013 A	100,000,000	2012/09/28	2013/12/30	31.00
20479	Daiwa Capital Mkt – HSI European Warrants May 2013 B	200,000,000	2012/09/28	2013/05/30	50.00
20481	Daiwa Capital Mkt – HSI European Put Warrants Jun 2013 B	200,000,000	2012/09/28	2013/06/27	54.00
20484	Daiwa Capital Mkt – Hutchison European Put Wts Sep 2013 A	128,000,000	2012/09/28	2013/09/09	19.20
20488	Daiwa Capital Mkt – ICBC European Put Warrants Jul 2013 A	80,000,000	2012/09/28	2013/07/10	32.00
20490	Goldman Sachs SP (Asia) -Ch Overseas European Wts Apr 2013 B	80,000,000	2012/09/28	2013/04/03	12.00
20489	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2013 B	150,000,000	2012/09/28	2013/02/27	22.65
20491	Goldman Sachs SP (Asia) – Hutchison European Wts Feb 2013 B	80,000,000	2012/09/28	2013/02/04	18.56
20474	J P Morgan SP BV – Longfor PPT European Warrants Oct 2013 A	200,000,000	2012/09/28	2013/10/02	50.00
20472	Standard Chartered Bank – SPDR Gold Trust Eu Put Wt Aug2013A	40,000,000	2012/09/28	2013/08/08	22.00
20473	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jul 2013 A	100,000,000	2012/09/28	2013/07/24	25.00
20476	UBS AG – China Tel European Warrants Mar 2013 A	100,000,000	2012/09/28	2013/03/18	38.00
20477	UBS AG – Galaxy Ent European Warrants Feb 2013 A	100,000,000	2012/09/28	2013/02/25	28.50
<b>Total</b>					<b>36,903.66</b>

# Further issue

# Main Board Debt Securities

## Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2011	Q3	–	611.11
	Q4	–	229.59
2012	Q1	–	390.38
	Q2	–	1,069.72
	Q3	–	742.85

## Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2011	Q3	183	558,876.03
	Q4	192	588,875.47
2012	Q1	209	651,849.26
	Q2	231	754,232.63
	Q3	247	811,545.76

## New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2011	Q3	16	47,230.33
	Q4	14	36,727.82
2012	Q1	24	69,041.82
	Q2	30	113,905.00
	Q3	26	77,396.31

# Main Board Debt Securities

## Newly Listed Debt Securities

for 3rd quarter 2012

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
86601	Ministry of Finance of the People's Republic of China 1.85% CNY Bonds 2015	RMB 8,100,000,000	2012/07/03	2015/06/29	9,883.62
86602	Ministry of Finance of the People's Republic of China 2.56% CNY Bonds 2017	RMB 6,100,000,000	2012/07/03	2017/06/29	7,443.22
86603	Ministry of Finance of the People's Republic of China 2.65% CNY Bonds 2019	RMB 1,200,000,000	2012/07/03	2019/06/29	1,464.24
86604	Ministry of Finance of the People's Republic of China 3.1% CNY Bonds 2022	RMB 1,100,000,000	2012/07/03	2022/06/29	1,342.22
86605	Ministry of Finance of the People's Republic of China 3.48% CNY Bonds 2027	RMB 1,000,000,000	2012/07/03	2027/06/29	1,220.20
04559	Cheung Kong Bond Securities (02) Ltd. 5.25% Guar.Senior Perpetual Sec	HKD 1,000,000,000	2012/07/10	-	1,000.00
86028	AVIC Int'l Finance & Investment Ltd. 4.8% Guar. CNY Bonds 2015	RMB 1,500,000,000	2012/07/10	2015/07/09	1,827.00
86606	Ministry of Finance of the People's Republic of China 2.38% CNY Bonds 2014	RMB 5,500,000,000	2012/07/20	2014/07/19	6,696.25
86029	Bank of China Ltd. 3.10% CNY Bonds 2015	RMB 1,000,000,000	2012/07/24	2015/07/23	1,216.70
04560	Dynamic Talent Ltd. 4.75% Guaranteed Notes 2017	USD 500,000,000	2012/08/02	2017/08/01	3,900.00
85900	Sinotruk (Hong Kong) Ltd. 4.50% CNY Bonds 2014	RMB 1,800,000,000	2012/08/02	2014/08/01	2,193.48
85901	China Development Bank Corporation 3.10% CNY Bonds 2015	RMB 867,000,000	2012/08/03	2015/01/16	n.a.
85902	China Development Bank Corporation 2.95% CNY Bonds 2015	RMB 1,500,000,000	2012/08/03	2015/08/02	1,826.10
85903	China Development Bank Corporation 3.45% CNY Bonds 2017	RMB 133,000,000	2012/08/03	2017/01/16	n.a.
85904	China Development Bank Corporation 4.20% CNY Bonds 2027	RMB 2,500,000,000	2012/08/03	2027/01/19	n.a.
85905	China Development Bank Corporation 4.30% CNY Bonds 2032	RMB 1,000,000,000	2012/08/03	2032/08/02	1,217.40
04554 <sup>#</sup>	Sinopec Gp Overseas Dev (2012) Ltd. 3.90% Senior Notes 2022	USD 500,000,000	2012/08/10	2022/05/17	4,195.58
04561	Sound Global Ltd. 11.875% Senior Notes 2017	USD 150,000,000	2012/08/13	2017/08/10	1,164.62
04029	Hong Kong Monetary Authority 0.17% Exchange Fund Notes 2014	HKD 1,200,000,000	2012/08/17	2014/08/18	1,199.28
04030	Hong Kong Monetary Authority 0.80% Exchange Fund Notes 2027	HKD 600,000,000	2012/08/28	2027/08/27	602.10
04562	Tencent Holdings Ltd. 3.375% Senior Notes 2018	USD 600,000,000	2012/09/06	2018/03/05	4,669.28
04202 <sup>#</sup>	The Govt of the HKSAR of the PRC 2.07% Bonds 2014	HKD 3,500,000,000	2012/09/06	2014/11/03	3,626.70
04563	COSL Finance (BVI) Ltd. 3.25% Senior Guaranteed Notes 2022	USD 1,000,000,000	2012/09/07	2022/09/06	7,748.60
04007 <sup>#</sup>	Hong Kong Monetary Authority 1.18% Exchange Fund Notes 2015	HKD 1,200,000,000	2012/09/17	2015/09/21	1,232.16
04031	Hong Kong Monetary Authority 0.25% Exchange Fund Notes 2017	HKD 1,000,000,000	2012/09/18	2017/09/18	994.30
04564	China Power Int'l Dev Ltd. RMB Denominated USD Settled 2.75% Conv Bonds 2017	RMB 1,140,000,000	2012/09/18	2017/09/18	1,399.01
04565	Road King Infra Fin (2012) Ltd. 9.875% Guar.Senior Notes2017	USD 350,000,000	2012/09/19	2017/09/18	2,730.00
04566	Sino (MTN) Ltd. 3.25% Notes 2017	USD 500,000,000	2012/09/24	2017/09/21	3,882.88
04567	Sun Hung Kai & Co. (BVI) Ltd. 6.375% Guaranteed Notes 2017	USD 350,000,000	2012/09/27	2017/09/26	2,721.37
<b>Total</b>					<b>77,396.31</b>

<sup>#</sup> Further issue

# Main Board Unit Trusts and Mutual Funds

## Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2011	Q3	153,336.68	13,347.77	93.94	166,778.38	3.66
	Q4	106,330.42	11,900.43	78.91	118,309.77	3.22
2012	Q1	115,613.41	13,420.51	82.93	129,116.85	3.36
	Q2	92,849.35	16,848.41	66.55	109,764.32	3.59
	Q3	99,979.83	14,391.15	105.35	114,476.33	3.86

Note: Turnover value traded in non-HKD currency securities have been included

## Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2011	Q3	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
	Q4	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012	Q1	89	759,673.29	9	131,556.21	2	2,391.86	100	893,621.36
	Q2	92	711,788.89	9	136,167.04	2	2,367.38	103	850,323.31
	Q3	96	808,879.98	9	158,736.84	2	2,157.57	107	969,774.39

## New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2011	Q3	–	–
	Q4	1	–
2012	Q1	24	136.80
	Q2	3	–
	Q3	4	–

# Main Board Unit Trusts and Mutual Funds

## Newly Listed Unit Trust and Mutual Funds

for 3rd quarter 2012

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised (HK\$ mil)	Listing date
83188	ChinaAMC CSI 300 Index ETF	China Asset Management (Hong Kong) Limited	n.a.	n.a.	2012/07/17
83100	E Fund CSI 100 A-Share Index ETF	E Fund Management (Hong Kong) Co., Limited	n.a.	n.a.	2012/08/27
82822	CSOP FTSE China A50 ETF	CSOP Asset Management Limited	n.a.	n.a.	2012/08/28
02824	Lippo Select HK & Mainland Property ETF	Lippo Investments Management Limited	n.a.	n.a.	2012/09/26

# Main Board Callable Bull/Bear Contracts

## Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2011	Q3	642	45,153.85	518,147.45
	Q4	901	43,598.79	641,062.38
2012	Q1	1,097	55,092.12	464,351.29
	Q2	1,021	46,730.67	385,316.23
	Q3	1,104	49,099.81	367,897.88

## New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2011	Q3	1,401	85,334.38
	Q4	1,553	90,461.98
2012	Q1	1,419	82,599.66
	Q2	1,530	91,955.65
	Q3	1,656	94,844.71

# Main Board Callable Bull/Bear Contracts

## Newly Listed Callable Bull/Bear Contracts

for 3rd quarter 2012

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64283	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 S	200,000,000	2012/07/03	2013/04/29	50.00
64299	Credit Suisse AG – HSI R Bull CBBC Oct 2012 N	400,000,000	2012/07/03	2012/10/30	100.00
64322	Credit Suisse AG – HSI R Bull CBBC Oct 2012 O	400,000,000	2012/07/03	2012/10/30	100.00
64323	Credit Suisse AG – HSI R Bull CBBC Oct 2012 P	400,000,000	2012/07/03	2012/10/30	100.00
64286	Credit Suisse AG – HSI R Bear CBBC Oct 2012 T	400,000,000	2012/07/03	2012/10/30	100.00
64351	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 F	158,000,000	2012/07/03	2012/11/29	39.50
64338	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 C	158,000,000	2012/07/03	2012/12/28	39.50
64265	HK Bank – CUni R Bull CBBC Apr 2013 A	50,000,000	2012/07/03	2013/04/15	12.50
64224	HK Bank – China Shenhua R Bull CBBC Mar 2013 A	50,000,000	2012/07/03	2013/03/18	27.00
64225	HK Bank – China Shenhua R Bear CBBC Mar 2013 A	50,000,000	2012/07/03	2013/03/04	12.50
64244	HK Bank – Evergrande R Bull CBBC Apr 2013 B	50,000,000	2012/07/03	2013/04/22	12.50
64222	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 J	60,000,000	2012/07/03	2012/12/28	15.00
64295	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/07/03	2012/11/29	50.00
64859	UBS AG – China Mobile R Bull CBBC Nov 2012 C	100,000,000	2012/07/03	2012/11/12	25.00
64755	UBS AG – A50 China R Bull CBBC Jan 2013 A	100,000,000	2012/07/03	2013/01/21	25.00
64336	UBS AG – HSI R Bull CBBC Dec 2012 H	300,000,000	2012/07/03	2012/12/28	75.00
64337	UBS AG – HSI R Bull CBBC Dec 2012 O	300,000,000	2012/07/03	2012/12/28	75.00
64736	UBS AG – HSI R Bear CBBC Nov 2012 T	300,000,000	2012/07/03	2012/11/29	75.00
64223	UBS AG – HSI R Bear CBBC Dec 2012 I	300,000,000	2012/07/03	2012/12/28	75.00
65700	Credit Suisse AG – HSI R Bull CBBC Oct 2012 G	400,000,000	2012/07/04	2012/10/30	100.00
65165	Credit Suisse AG – HSI R Bear CBBC Oct 2012 U	400,000,000	2012/07/04	2012/10/30	100.00
65182	Credit Suisse AG – HSI R Bear CBBC Oct 2012 X	400,000,000	2012/07/04	2012/10/30	100.00
65927	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 J	158,000,000	2012/07/04	2012/10/30	39.50
64989	HK Bank – Li & Fung R Bull CBBC Mar 2013 A	50,000,000	2012/07/04	2013/03/11	17.50
65141	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/07/04	2012/10/30	50.00
66596	UBS AG – HSBC R Bear CBBC Jan 2013 A	100,000,000	2012/07/04	2013/01/21	25.00
66261	UBS AG – HSI R Bull CBBC Feb 2013 E	300,000,000	2012/07/04	2013/02/27	75.00
65701	UBS AG – HSI R Bear CBBC Dec 2012 J	300,000,000	2012/07/04	2012/12/28	75.00
66303	UBS AG – ICBC R Bull CBBC Jan 2013 A	100,000,000	2012/07/04	2013/01/21	25.00
66552	UBS AG – Sands China R Bull CBBC Dec 2012 A	20,000,000	2012/07/04	2012/12/03	10.00
66700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 J	200,000,000	2012/07/05	2012/10/30	50.00
66702	Credit Suisse AG – HSI R Bull CBBC Oct 2012 B	400,000,000	2012/07/05	2012/10/30	100.00
66701	Credit Suisse AG – HSI R Bull CBBC Oct 2012 W	400,000,000	2012/07/05	2012/10/30	100.00
66695	Credit Suisse AG – HSI R Bear CBBC Oct 2012 F	400,000,000	2012/07/05	2012/10/30	100.00
66693	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Z	400,000,000	2012/07/05	2012/10/30	100.00
66688	HK Bank – CC Bank R Bull CBBC Mar 2013 A	60,000,000	2012/07/05	2013/03/04	15.00
66689	HK Bank – CC Bank R Bear CBBC Feb 2013 A	50,000,000	2012/07/05	2013/02/25	12.50
66676	HK Bank – A50 R Bull CBBC Mar 2013 A	60,000,000	2012/07/05	2013/03/04	15.00
66681	HK Bank – A50 R Bear CBBC Mar 2013 A	50,000,000	2012/07/05	2013/03/04	12.50
66682	HK Bank – TCH R Bear CBBC Dec 2012 G	50,000,000	2012/07/05	2012/12/28	15.00
66710	UBS AG – HSI R Bull CBBC Mar 2013 A	300,000,000	2012/07/05	2013/03/27	75.00
66691	UBS AG – HSI R Bear CBBC Jan 2013 A	300,000,000	2012/07/05	2013/01/30	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66692	UBS AG – HSI R Bear CBBC Mar 2013 A	300,000,000	2012/07/05	2013/03/27	75.00
66736	UBS AG – Hutchison R Bull CBBC Jan 2013 B	100,000,000	2012/07/05	2013/01/21	25.00
66719	UBS AG – TCH R Bear CBBC Dec 2012 B	100,000,000	2012/07/05	2012/12/17	25.00
66762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 T	200,000,000	2012/07/06	2013/04/29	50.00
66755	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 K	200,000,000	2012/07/06	2012/10/30	50.00
66761	Credit Suisse AG – HSI R Bull CBBC Oct 2012 C	400,000,000	2012/07/06	2012/10/30	100.00
66760	Credit Suisse AG – HSI R Bull CBBC Oct 2012 X	400,000,000	2012/07/06	2012/10/30	100.00
66759	Credit Suisse AG – HSI R Bear CBBC Oct 2012 I	400,000,000	2012/07/06	2012/10/30	100.00
66791	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 A	150,000,000	2012/07/06	2012/10/30	37.80
66777	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 C	150,000,000	2012/07/06	2012/10/30	38.25
66783	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 D	150,000,000	2012/07/06	2012/10/30	38.10
66754	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/07/06	2012/11/29	50.00
66742	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/07/06	2012/11/29	50.00
66773	UBS AG – China Mobile R Bear CBBC Dec 2012 D	100,000,000	2012/07/06	2012/12/17	25.00
66776	UBS AG – CC Bank R Bear CBBC Dec 2012 B	100,000,000	2012/07/06	2012/12/17	25.00
66771	UBS AG – A50 China R Bull CBBC Dec 2012 A	100,000,000	2012/07/06	2012/12/24	25.00
66768	UBS AG – HKEx R Bull CBBC Dec 2012 C	100,000,000	2012/07/06	2012/12/17	25.00
66767	UBS AG – HSI R Bull CBBC Jan 2013 Y	300,000,000	2012/07/06	2013/01/30	75.00
66769	UBS AG – HSI R Bear CBBC Jan 2013 B	300,000,000	2012/07/06	2013/01/30	75.00
66770	UBS AG – HSI R Bear CBBC Feb 2013 A	300,000,000	2012/07/06	2013/02/27	75.00
66757	UBS AG – HSI R Bear CBBC Mar 2013 B	300,000,000	2012/07/06	2013/03/27	75.00
66848	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 U	200,000,000	2012/07/09	2013/04/29	50.00
66918	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 V	200,000,000	2012/07/09	2013/04/29	50.00
66924	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 W	200,000,000	2012/07/09	2013/04/29	50.00
66957	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 X	200,000,000	2012/07/09	2013/04/29	50.00
66849	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 L	200,000,000	2012/07/09	2012/10/30	50.00
66917	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 M	200,000,000	2012/07/09	2012/10/30	50.00
66952	Credit Suisse AG – HSI R Bull CBBC Oct 2012 D	400,000,000	2012/07/09	2012/10/30	100.00
66953	Credit Suisse AG – HSI R Bull CBBC Oct 2012 E	400,000,000	2012/07/09	2012/10/30	100.00
66863	Credit Suisse AG – HSI R Bull CBBC Oct 2012 L	400,000,000	2012/07/09	2012/10/30	100.00
66883	Credit Suisse AG – HSI R Bull CBBC Oct 2012 M	400,000,000	2012/07/09	2012/10/30	100.00
66855	Credit Suisse AG – HSI R Bear CBBC Oct 2012 L	400,000,000	2012/07/09	2012/10/30	100.00
66859	Credit Suisse AG – HSI R Bear CBBC Oct 2012 M	400,000,000	2012/07/09	2012/10/30	100.00
66860	Credit Suisse AG – HSI R Bear CBBC Oct 2012 N	400,000,000	2012/07/09	2012/10/30	100.00
66934	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 G	158,000,000	2012/07/09	2012/11/29	39.50
66939	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 F	158,000,000	2012/07/09	2012/12/28	39.50
66960	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 B	150,000,000	2012/07/09	2012/10/30	40.50
67319	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 C	150,000,000	2012/07/09	2012/10/30	39.00
66795	HK Bank – BOCL R Bull CBBC Apr 2013 B	60,000,000	2012/07/09	2013/04/26	15.00
66837	HK Bank – China Mobile R Bull CBBC Feb 2013 B	100,000,000	2012/07/09	2013/02/04	25.00
66834	HK Bank – A50 R Bull CBBC Jan 2013 C	60,000,000	2012/07/09	2013/01/21	15.00
66794	HK Bank – HSI R Bull CBBC Dec 2012 M	200,000,000	2012/07/09	2012/12/28	50.00
66796	HK Bank – PetCh R Bear CBBC Dec 2012 B	50,000,000	2012/07/09	2012/12/10	12.50
66901	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/07/09	2012/11/29	50.00
66916	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/07/09	2012/11/29	50.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66847	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Y	200,000,000	2012/07/09	2012/10/30	50.00
62770	UBS AG – HSI R Bull CBBC Oct 2012 M	300,000,000	2012/07/09	2012/10/30	75.00
62774	UBS AG – HSI R Bull CBBC Jan 2013 Z	300,000,000	2012/07/09	2013/01/30	75.00
66851	UBS AG – HSI R Bear CBBC Oct 2012 D	300,000,000	2012/07/09	2012/10/30	75.00
66931	UBS AG – HSI R Bear CBBC Oct 2012 J	300,000,000	2012/07/09	2012/10/30	75.00
66852	UBS AG – HSI R Bear CBBC May 2013 A	300,000,000	2012/07/09	2013/05/30	75.00
66925	UBS AG – HSI R Bear CBBC May 2013 B	300,000,000	2012/07/09	2013/05/30	75.00
64647	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Y	200,000,000	2012/07/10	2013/04/29	50.00
64706	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Z	200,000,000	2012/07/10	2013/04/29	50.00
64098	Credit Suisse AG – HSI R Bull CBBC Nov 2012 A	400,000,000	2012/07/10	2012/11/29	100.00
64166	Credit Suisse AG – HSI R Bull CBBC Nov 2012 D	400,000,000	2012/07/10	2012/11/29	100.00
64170	Credit Suisse AG – HSI R Bull CBBC Nov 2012 F	400,000,000	2012/07/10	2012/11/29	100.00
64171	Credit Suisse AG – HSI R Bull CBBC Nov 2012 H	400,000,000	2012/07/10	2012/11/29	100.00
64210	Credit Suisse AG – HSI R Bull CBBC Nov 2012 I	400,000,000	2012/07/10	2012/11/29	100.00
62949	Credit Suisse AG – HSI R Bear CBBC Nov 2012 B	400,000,000	2012/07/10	2012/11/29	100.00
62899	Credit Suisse AG – HSI R Bear CBBC Nov 2012 O	400,000,000	2012/07/10	2012/11/29	100.00
63348	Credit Suisse AG – HSI R Bear CBBC Nov 2012 R	400,000,000	2012/07/10	2012/11/29	100.00
63052	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	400,000,000	2012/07/10	2012/11/29	100.00
64757	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 H	158,000,000	2012/07/10	2012/11/29	39.50
63821	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 K	300,000,000	2012/07/10	2013/03/27	75.00
63852	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 L	300,000,000	2012/07/10	2013/03/27	75.00
63914	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 M	300,000,000	2012/07/10	2013/03/27	75.00
63942	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 N	300,000,000	2012/07/10	2013/03/27	75.00
63943	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 O	300,000,000	2012/07/10	2013/03/27	75.00
63486	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 H	300,000,000	2012/07/10	2013/01/30	75.00
63654	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 I	300,000,000	2012/07/10	2013/01/30	75.00
63674	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 J	300,000,000	2012/07/10	2013/01/30	75.00
63959	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/07/10	2012/11/29	50.00
64009	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/07/10	2012/11/29	50.00
64040	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/07/10	2012/11/29	50.00
65111	UBS AG – China Mobile R Bear CBBC Jan 2013 A	100,000,000	2012/07/10	2013/01/28	25.00
65026	UBS AG – China Life R Bull CBBC Jan 2013 B	100,000,000	2012/07/10	2013/01/14	30.00
65023	UBS AG – CNBM R Bull CBBC Feb 2013 A	50,000,000	2012/07/10	2013/02/25	12.50
64849	UBS AG – HSI R Bull CBBC Oct 2012 W	300,000,000	2012/07/10	2012/10/30	75.00
64847	UBS AG – HSI R Bull CBBC Nov 2012 Q	300,000,000	2012/07/10	2012/11/29	75.00
64843	UBS AG – HSI R Bull CBBC Mar 2013 B	300,000,000	2012/07/10	2013/03/27	75.00
62897	UBS AG – HSI R Bear CBBC Dec 2012 K	300,000,000	2012/07/10	2012/12/28	75.00
62898	UBS AG – HSI R Bear CBBC Jan 2013 C	300,000,000	2012/07/10	2013/01/30	75.00
62895	UBS AG – HSI R Bear CBBC Mar 2013 C	300,000,000	2012/07/10	2013/03/27	75.00
65131	UBS AG – Hutchison R Bull CBBC Nov 2012 B	100,000,000	2012/07/10	2012/11/26	25.00
65996	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 N	200,000,000	2012/07/11	2012/10/30	50.00
66043	Credit Suisse AG – HSI R Bull CBBC Nov 2012 K	400,000,000	2012/07/11	2012/11/29	100.00
66061	Credit Suisse AG – HSI R Bull CBBC Nov 2012 L	400,000,000	2012/07/11	2012/11/29	100.00
66041	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Z	400,000,000	2012/07/11	2012/11/29	100.00
66037	Credit Suisse AG – HSI R Bear CBBC Nov 2012 U	400,000,000	2012/07/11	2012/11/29	100.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65997	Credit Suisse AG – TCH R Bull CBBC Nov 2012 C	40,000,000	2012/07/11	2012/11/26	10.00
66015	Credit Suisse AG – TCH R Bull CBBC Nov 2012 D	40,000,000	2012/07/11	2012/11/26	10.00
66029	Credit Suisse AG – TCH R Bull CBBC Nov 2012 E	40,000,000	2012/07/11	2012/11/26	10.00
66034	Credit Suisse AG – TCH R Bear CBBC Nov 2012 C	40,000,000	2012/07/11	2012/11/26	10.00
65741	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 E	150,000,000	2012/07/11	2012/10/30	37.80
65855	HK Bank – AIA R Bull CBBC Mar 2013 A	50,000,000	2012/07/11	2013/03/18	12.50
65989	HK Bank – China Mobile R Bull CBBC Jan 2013 D	60,000,000	2012/07/11	2013/01/14	15.00
65965	HK Bank – China Life R Bull CBBC Jan 2013 C	60,000,000	2012/07/11	2013/01/28	15.00
65742	HK Bank – HSI R Bull CBBC Feb 2013 D	150,000,000	2012/07/11	2013/02/27	37.50
65988	HK Bank – Sands China R Bull CBBC Sep 2013 A	50,000,000	2012/07/11	2013/09/26	12.50
65466	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 H	60,000,000	2012/07/11	2012/12/28	15.00
65708	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 I	60,000,000	2012/07/11	2012/12/28	15.00
65735	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 J	60,000,000	2012/07/11	2012/12/28	15.00
66045	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/07/11	2012/11/29	50.00
66117	UBS AG – Cheung Kong R Bull CBBC Jan 2013 A	100,000,000	2012/07/11	2013/01/21	25.00
66116	UBS AG – Cheung Kong R Bear CBBC Dec 2012 A	100,000,000	2012/07/11	2012/12/10	25.00
66104	UBS AG – HSCEI R Bear CBBC Nov 2012 A	100,000,000	2012/07/11	2012/11/29	25.00
66103	UBS AG – HSI R Bull CBBC Nov 2012 M	300,000,000	2012/07/11	2012/11/29	75.00
66102	UBS AG – HSI R Bull CBBC Feb 2013 F	300,000,000	2012/07/11	2013/02/27	75.00
66098	UBS AG – HSI R Bear CBBC Oct 2012 M	300,000,000	2012/07/11	2012/10/30	75.00
66093	UBS AG – HSI R Bear CBBC Nov 2012 U	300,000,000	2012/07/11	2012/11/29	75.00
66072	UBS AG – HSI R Bear CBBC Dec 2012 L	300,000,000	2012/07/11	2012/12/28	75.00
66084	UBS AG – HSI R Bear CBBC Dec 2012 M	300,000,000	2012/07/11	2012/12/28	75.00
66113	UBS AG – Hutchison R Bull CBBC Jan 2013 C	100,000,000	2012/07/11	2013/01/14	25.00
66110	UBS AG – Hutchison R Bear CBBC Feb 2013 A	100,000,000	2012/07/11	2013/02/18	25.00
66106	UBS AG – Hutchison R Bear CBBC Mar 2013 A	100,000,000	2012/07/11	2013/03/04	25.00
66105	UBS AG – TCH R Bull CBBC Dec 2012 C	100,000,000	2012/07/11	2012/12/24	25.00
66361	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 I	200,000,000	2012/07/12	2013/04/29	50.00
66240	Credit Suisse AG – HSI R Bull CBBC Oct 2012 F	400,000,000	2012/07/12	2012/10/30	100.00
66257	Credit Suisse AG – HSI R Bull CBBC Oct 2012 H	400,000,000	2012/07/12	2012/10/30	100.00
66164	Credit Suisse AG – HSI R Bull CBBC Nov 2012 M	400,000,000	2012/07/12	2012/11/29	100.00
66167	Credit Suisse AG – HSI R Bull CBBC Nov 2012 N	400,000,000	2012/07/12	2012/11/29	100.00
66173	Credit Suisse AG – HSI R Bear CBBC Nov 2012 S	400,000,000	2012/07/12	2012/11/29	100.00
66171	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Z	400,000,000	2012/07/12	2012/11/29	100.00
66217	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 G	158,000,000	2012/07/12	2012/11/29	39.50
66360	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 F	150,000,000	2012/07/12	2012/10/30	39.75
66157	HK Bank – HSCEI R Bull CBBC Dec 2012 B	100,000,000	2012/07/12	2012/12/28	25.00
66158	HK Bank – HSCEI R Bear CBBC Dec 2012 B	100,000,000	2012/07/12	2012/12/28	25.00
66129	HK Bank – HSI R Bull CBBC Mar 2013 A	150,000,000	2012/07/12	2013/03/27	37.50
66134	HK Bank – HSI R Bull CBBC Mar 2013 B	200,000,000	2012/07/12	2013/03/27	50.00
66128	HK Bank – HSI R Bull CBBC Apr 2013 A	200,000,000	2012/07/12	2013/04/29	50.00
66133	HK Bank – HSI R Bull CBBC Apr 2013 B	200,000,000	2012/07/12	2013/04/29	50.00
66258	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 K	60,000,000	2012/07/12	2012/12/28	15.00
66127	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12G	200,000,000	2012/07/12	2012/10/30	50.00
66125	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12A	200,000,000	2012/07/12	2012/10/30	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66172	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12P	200,000,000	2012/07/12	2012/10/30	50.00
66347	UBS AG – HSI R Bull CBBC Dec 2012 S	300,000,000	2012/07/12	2012/12/28	75.00
66170	UBS AG – HSI R Bull CBBC Feb 2013 G	300,000,000	2012/07/12	2013/02/27	75.00
66206	UBS AG – HSI R Bear CBBC Nov 2012 V	300,000,000	2012/07/12	2012/11/29	75.00
66198	UBS AG – HSI R Bear CBBC Dec 2012 N	300,000,000	2012/07/12	2012/12/28	75.00
66197	UBS AG – HSI R Bear CBBC Feb 2013 B	300,000,000	2012/07/12	2013/02/27	75.00
66184	UBS AG – HSI R Bear CBBC Jun 2013 A	300,000,000	2012/07/12	2013/06/27	75.00
66174	UBS AG – HSI R Bear CBBC Jul 2013 A	300,000,000	2012/07/12	2013/07/30	75.00
66341	UBS AG – Hutchison R Bull CBBC Dec 2012 C	100,000,000	2012/07/12	2012/12/17	25.00
66292	UBS AG – Hutchison R Bear CBBC Jan 2013 A	100,000,000	2012/07/12	2013/01/21	25.00
66262	UBS AG – TCH R Bear CBBC Jan 2013 A	100,000,000	2012/07/12	2013/01/14	25.00
66431	Credit Suisse AG – HSI R Bull CBBC Oct 2012 I	400,000,000	2012/07/13	2012/10/30	100.00
66434	Credit Suisse AG – HSI R Bear CBBC Oct 2012 O	400,000,000	2012/07/13	2012/10/30	100.00
66435	Credit Suisse AG – Hutchison R Bull CBBC Dec 2012 A	70,000,000	2012/07/13	2012/12/24	17.50
66459	Credit Suisse AG – Hutchison R Bull CBBC Dec 2012 B	70,000,000	2012/07/13	2012/12/24	17.50
66412	HK Bank – COVS R Bull CBBC Aug 2013 A	30,000,000	2012/07/13	2013/08/28	13.50
66378	HK Bank – China Tel R Bull CBBC Mar 2013 A	50,000,000	2012/07/13	2013/03/08	12.50
66411	HK Bank – China Tel R Bear CBBC Mar 2013 A	50,000,000	2012/07/13	2013/03/08	12.50
66426	HK Bank – GCL-Poly Energy R Bull CBBC Mar 2013 A	25,000,000	2012/07/13	2013/03/04	12.25
66516	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 P	300,000,000	2012/07/13	2013/03/27	75.00
66519	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 Q	300,000,000	2012/07/13	2013/03/27	75.00
66510	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 K	300,000,000	2012/07/13	2013/01/30	75.00
66511	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 L	300,000,000	2012/07/13	2013/01/30	75.00
66509	UBS AG – CC Bank R Bull CBBC Jan 2013 A	100,000,000	2012/07/13	2013/01/28	25.00
66505	UBS AG – HSI R Bull CBBC Dec 2012 W	300,000,000	2012/07/13	2012/12/28	75.00
66492	UBS AG – HSI R Bear CBBC Mar 2013 D	300,000,000	2012/07/13	2013/03/27	75.00
66632	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 O	200,000,000	2012/07/16	2012/10/30	50.00
66639	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 P	200,000,000	2012/07/16	2012/10/30	50.00
66687	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 Q	200,000,000	2012/07/16	2012/10/30	50.00
66619	Credit Suisse AG – HSI R Bull CBBC Dec 2012 J	400,000,000	2012/07/16	2012/12/28	100.00
66640	Credit Suisse AG – HSI R Bull CBBC Dec 2012 K	400,000,000	2012/07/16	2012/12/28	100.00
66623	Credit Suisse AG – HSI R Bear CBBC Dec 2012 P	400,000,000	2012/07/16	2012/12/28	100.00
66641	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 D	158,000,000	2012/07/16	2012/12/28	39.50
66694	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 E	150,000,000	2012/07/16	2012/10/30	38.25
66707	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 F	150,000,000	2012/07/16	2012/10/30	38.70
66708	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 G	150,000,000	2012/07/16	2012/10/30	38.25
66716	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 H	150,000,000	2012/07/16	2012/10/30	38.85
66766	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 I	150,000,000	2012/07/16	2012/10/30	42.45
66538	HK Bank – ABC R Bull CBBC May 2013 C	60,000,000	2012/07/16	2013/05/28	15.00
66527	HK Bank – CC Bank R Bull CBBC Mar 2013 B	80,000,000	2012/07/16	2013/03/04	20.00
66592	HK Bank – China Life R Bull CBBC Feb 2013 A	60,000,000	2012/07/16	2013/02/25	15.00
66593	HK Bank – China Life R Bear CBBC Jan 2013 A	50,000,000	2012/07/16	2013/01/18	30.00
66597	HK Bank – Hutchison R Bull CBBC Apr 2013 A	60,000,000	2012/07/16	2013/04/18	15.00
66598	HK Bank – Hutchison R Bear CBBC Apr 2013 A	50,000,000	2012/07/16	2013/04/18	12.50
66540	HK Bank – SHK Ppt R Bull CBBC Apr 2013 A	60,000,000	2012/07/16	2013/04/15	15.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66565	HK Bank – SHK Ppt R Bear CBBC Apr 2013 A	50,000,000	2012/07/16	2013/04/15	15.00
66628	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12J	200,000,000	2012/07/16	2012/10/30	50.00
66626	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/07/16	2012/10/30	50.00
66685	UBS AG – COVS R Bull CBBC Jan 2013 A	100,000,000	2012/07/16	2013/01/28	46.50
66663	UBS AG – China Tel R Bull CBBC Mar 2013 A	100,000,000	2012/07/16	2013/03/04	25.00
66662	UBS AG – CUni R Bull CBBC Feb 2013 A	100,000,000	2012/07/16	2013/02/18	25.00
66624	UBS AG – HSI R Bull CBBC Mar 2013 C	300,000,000	2012/07/16	2013/03/27	75.00
66660	UBS AG – HSI R Bear CBBC Oct 2012 I	300,000,000	2012/07/16	2012/10/30	75.00
66659	UBS AG – HSI R Bear CBBC Feb 2013 C	300,000,000	2012/07/16	2013/02/27	75.00
66905	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	200,000,000	2012/07/17	2013/04/29	50.00
66947	Credit Suisse AG – HSI R Bull CBBC Dec 2012 X	400,000,000	2012/07/17	2012/12/28	100.00
66954	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Q	400,000,000	2012/07/17	2012/12/28	100.00
66976	Credit Suisse AG – HSI R Bear CBBC Dec 2012 R	400,000,000	2012/07/17	2012/12/28	100.00
66978	Credit Suisse AG – HSI R Bear CBBC Dec 2012 S	400,000,000	2012/07/17	2012/12/28	100.00
67032	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 G	150,000,000	2012/07/17	2012/10/30	37.95
67033	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 H	150,000,000	2012/07/17	2012/10/30	39.30
66819	HK Bank – Cheung Kong R Bull CBBC Jan 2013 A	60,000,000	2012/07/17	2013/01/28	15.00
66831	HK Bank – Cheung Kong R Bear CBBC Mar 2013 A	50,000,000	2012/07/17	2013/03/04	15.00
66858	HK Bank – A50 R Bear CBBC Feb 2013 B	50,000,000	2012/07/17	2013/02/26	12.50
66792	HK Bank – ICBC R Bull CBBC Apr 2013 B	60,000,000	2012/07/17	2013/04/16	15.00
66943	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/07/17	2012/11/29	50.00
66772	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12W	200,000,000	2012/07/17	2012/10/30	50.00
66972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Z	200,000,000	2012/07/17	2012/10/30	50.00
66973	UBS AG – HSI R Bull CBBC Jan 2013 A	300,000,000	2012/07/17	2013/01/30	75.00
66996	UBS AG – HSI R Bull CBBC Jan 2013 E	300,000,000	2012/07/17	2013/01/30	75.00
66999	UBS AG – HSI R Bull CBBC May 2013 C	300,000,000	2012/07/17	2013/05/30	75.00
66998	UBS AG – HSI R Bull CBBC Jul 2013 C	300,000,000	2012/07/17	2013/07/30	75.00
66997	UBS AG – HSI R Bull CBBC Sep 2013 A	300,000,000	2012/07/17	2013/09/27	75.00
66982	UBS AG – HSI R Bear CBBC Dec 2012 O	300,000,000	2012/07/17	2012/12/28	75.00
66979	UBS AG – HSI R Bear CBBC Mar 2013 E	300,000,000	2012/07/17	2013/03/27	75.00
67039	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	200,000,000	2012/07/18	2013/04/29	50.00
67036	Credit Suisse AG – HSI R Bull CBBC Dec 2012 A	400,000,000	2012/07/18	2012/12/28	100.00
67038	Credit Suisse AG – HSI R Bear CBBC Dec 2012 T	400,000,000	2012/07/18	2012/12/28	100.00
67043	Credit Suisse AG – HSI R Bear CBBC Dec 2012 U	400,000,000	2012/07/18	2012/12/28	100.00
67046	Credit Suisse AG – HSI R Bear CBBC Dec 2012 V	400,000,000	2012/07/18	2012/12/28	100.00
67034	HK Bank – Anhui Conch R Bull CBBC Mar 2013 A	30,000,000	2012/07/18	2013/03/18	12.60
67035	HK Bank – HSI R Bear CBBC Feb 2013 B	150,000,000	2012/07/18	2013/02/27	37.50
67041	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/07/18	2012/11/29	50.00
67050	UBS AG – AIA R Bear CBBC Jan 2013 A	100,000,000	2012/07/18	2013/01/21	33.00
67048	UBS AG – CC Bank R Bull CBBC Feb 2013 A	100,000,000	2012/07/18	2013/02/18	25.00
67040	UBS AG – HSI R Bull CBBC Mar 2013 D	300,000,000	2012/07/18	2013/03/27	75.00
67047	UBS AG – HSI R Bear CBBC Feb 2013 D	300,000,000	2012/07/18	2013/02/27	75.00
67176	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 E	200,000,000	2012/07/19	2013/03/27	50.00
67187	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 F	200,000,000	2012/07/19	2013/03/27	50.00
67206	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	200,000,000	2012/07/19	2013/04/29	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67214	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	200,000,000	2012/07/19	2013/04/29	50.00
67248	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	200,000,000	2012/07/19	2013/04/29	50.00
67249	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 R	200,000,000	2012/07/19	2012/10/30	50.00
67268	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 S	200,000,000	2012/07/19	2012/10/30	50.00
67287	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 T	200,000,000	2012/07/19	2012/10/30	50.00
67104	Credit Suisse AG – HSI R Bull CBBC Dec 2012 B	400,000,000	2012/07/19	2012/12/28	100.00
67118	Credit Suisse AG – HSI R Bull CBBC Dec 2012 C	400,000,000	2012/07/19	2012/12/28	100.00
67120	Credit Suisse AG – HSI R Bull CBBC Dec 2012 D	400,000,000	2012/07/19	2012/12/28	100.00
67121	Credit Suisse AG – HSI R Bull CBBC Dec 2012 E	400,000,000	2012/07/19	2012/12/28	100.00
67127	Credit Suisse AG – HSI R Bull CBBC Dec 2012 F	400,000,000	2012/07/19	2012/12/28	100.00
67288	Credit Suisse AG – HSI R Bull CBBC Jan 2013 F	400,000,000	2012/07/19	2013/01/30	100.00
67231	Credit Suisse AG – HSI R Bear CBBC Dec 2012 W	400,000,000	2012/07/19	2012/12/28	100.00
67233	Credit Suisse AG – HSI R Bear CBBC Dec 2012 X	400,000,000	2012/07/19	2012/12/28	100.00
67234	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Y	400,000,000	2012/07/19	2012/12/28	100.00
67243	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Z	400,000,000	2012/07/19	2012/12/28	100.00
67298	Credit Suisse AG – HSI R Bear CBBC Jan 2013 A	400,000,000	2012/07/19	2013/01/30	100.00
67301	Credit Suisse AG – HSI R Bear CBBC Jan 2013 B	400,000,000	2012/07/19	2013/01/30	100.00
67411	Bank of East Asia – COVS R Bear CBBC Feb 2013 A	60,000,000	2012/07/19	2013/02/22	17.40
67069	HK Bank – BOCL R Bear CBBC Apr 2013 A	50,000,000	2012/07/19	2013/04/26	12.50
67060	HK Bank – CC Bank R Bull CBBC Mar 2013 C	80,000,000	2012/07/19	2013/03/11	20.00
67061	HK Bank – CC Bank R Bear CBBC Mar 2013 A	50,000,000	2012/07/19	2013/03/11	12.50
67072	HK Bank – HKEx R Bull CBBC Feb 2013 A	60,000,000	2012/07/19	2013/02/18	15.00
67081	HK Bank – HKEx R Bear CBBC Feb 2013 A	50,000,000	2012/07/19	2013/02/18	12.50
67082	HK Bank – HSI R Bear CBBC Mar 2013 A	200,000,000	2012/07/19	2013/03/27	50.00
67059	HK Bank – ICBC R Bear CBBC Feb 2013 A	50,000,000	2012/07/19	2013/02/25	12.50
67413	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 R	300,000,000	2012/07/19	2013/03/27	75.00
67424	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 M	300,000,000	2012/07/19	2013/01/30	75.00
67425	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 N	300,000,000	2012/07/19	2013/01/30	75.00
62153	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 O	300,000,000	2012/07/19	2013/01/30	75.00
62439	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 P	300,000,000	2012/07/19	2013/01/30	75.00
67051	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/07/19	2012/11/29	50.00
67148	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/07/19	2012/11/29	50.00
67296	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/07/19	2012/10/30	50.00
67222	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12R	200,000,000	2012/07/19	2012/10/30	50.00
67228	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12X	200,000,000	2012/07/19	2012/10/30	50.00
67406	UBS AG – ABC R Bear CBBC Mar 2013 A	100,000,000	2012/07/19	2013/03/25	25.00
67399	UBS AG – China Life R Bull CBBC May 2013 A	100,000,000	2012/07/19	2013/05/20	25.00
67408	UBS AG – CNOOC R Bull CBBC Dec 2012 B	100,000,000	2012/07/19	2012/12/03	25.00
67407	UBS AG – CNOOC R Bear CBBC Nov 2012 A	100,000,000	2012/07/19	2012/11/26	28.00
67398	UBS AG – A50 China R Bull CBBC Mar 2013 A	100,000,000	2012/07/19	2013/03/04	25.00
67368	UBS AG – A50 China R Bear CBBC Nov 2012 B	100,000,000	2012/07/19	2012/11/19	25.00
67350	UBS AG – HSCEI R Bull CBBC Jan 2013 A	100,000,000	2012/07/19	2013/01/30	25.00
67340	UBS AG – HSCEI R Bear CBBC Nov 2012 B	100,000,000	2012/07/19	2012/11/29	25.00
67215	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/07/19	2012/10/30	75.00
67216	UBS AG – HSI R Bull CBBC Nov 2012 F	300,000,000	2012/07/19	2012/11/29	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67307	UBS AG – HSI R Bear CBBC Nov 2012 W	300,000,000	2012/07/19	2012/11/29	75.00
67329	UBS AG – HSI R Bear CBBC Jan 2013 D	300,000,000	2012/07/19	2013/01/30	75.00
67410	UBS AG – SHK Ppt R Bull CBBC Feb 2013 A	100,000,000	2012/07/19	2013/02/18	25.00
67409	UBS AG – SHK Ppt R Bear CBBC Dec 2012 A	100,000,000	2012/07/19	2012/12/24	25.00
63036	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	400,000,000	2012/07/20	2013/01/30	100.00
63013	Credit Suisse AG – HSI R Bear CBBC Jan 2013 C	400,000,000	2012/07/20	2013/01/30	100.00
63112	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 I	150,000,000	2012/07/20	2012/10/30	39.30
63130	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 J	150,000,000	2012/07/20	2012/10/30	37.95
63183	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 J	150,000,000	2012/07/20	2012/10/30	37.65
63222	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 K	150,000,000	2012/07/20	2012/10/30	37.65
62592	HK Bank – A50 R Bull CBBC Apr 2013 A	60,000,000	2012/07/20	2013/04/15	15.00
62869	HK Bank – HKEx R Bull CBBC Mar 2013 A	60,000,000	2012/07/20	2013/03/15	15.00
62816	HK Bank – HKEx R Bull CBBC May 2013 A	60,000,000	2012/07/20	2013/05/06	18.00
62900	HK Bank – HSI R Bull CBBC May 2013 C	200,000,000	2012/07/20	2013/05/30	50.00
62935	HK Bank – HSI R Bull CBBC Jun 2013 B	200,000,000	2012/07/20	2013/06/27	50.00
62967	HK Bank – HSI R Bull CBBC Jul 2013 A	200,000,000	2012/07/20	2013/07/30	50.00
62450	HK Bank – ICBC R Bear CBBC Mar 2013 A	50,000,000	2012/07/20	2013/03/04	12.50
62890	HK Bank – PetCh R Bull CBBC Mar 2013 A	50,000,000	2012/07/20	2013/03/04	12.50
63111	UBS AG – CC Bank R Bear CBBC Jan 2013 A	100,000,000	2012/07/20	2013/01/28	25.00
63039	UBS AG – HSI R Bull CBBC Apr 2013 A	300,000,000	2012/07/20	2013/04/29	75.00
63035	UBS AG – HSI R Bear CBBC Mar 2013 F	300,000,000	2012/07/20	2013/03/27	75.00
63015	UBS AG – HSI R Bear CBBC Apr 2013 A	300,000,000	2012/07/20	2013/04/29	75.00
63043	UBS AG – ICBC R Bear CBBC Feb 2013 A	100,000,000	2012/07/20	2013/02/18	25.00
63907	Credit Suisse AG – HSI R Bull CBBC Jan 2013 H	400,000,000	2012/07/23	2013/01/30	100.00
63912	Credit Suisse AG – HSI R Bear CBBC Jan 2013 D	400,000,000	2012/07/23	2013/01/30	100.00
63913	Credit Suisse AG – HSI R Bear CBBC Jan 2013 E	400,000,000	2012/07/23	2013/01/30	100.00
63922	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 L	150,000,000	2012/07/23	2012/10/30	37.65
63503	HK Bank – HSI R Bear CBBC Mar 2013 B	100,000,000	2012/07/23	2013/03/27	25.00
63517	HK Bank – HSI R Bear CBBC Apr 2013 A	200,000,000	2012/07/23	2013/04/29	50.00
63827	HK Bank – HSI R Bear CBBC Apr 2013 B	200,000,000	2012/07/23	2013/04/29	50.00
63686	HK Bank – HSI R Bear CBBC May 2013 D	200,000,000	2012/07/23	2013/05/30	50.00
63848	HK Bank – HSI R Bear CBBC May 2013 E	200,000,000	2012/07/23	2013/05/30	50.00
63322	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 O	60,000,000	2012/07/23	2012/10/30	15.00
63487	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 P	60,000,000	2012/07/23	2012/10/30	15.00
63496	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2012 Q	60,000,000	2012/07/23	2012/10/30	15.00
63882	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12E	200,000,000	2012/07/23	2012/11/29	50.00
63908	UBS AG – Anhui Conch R Bull CBBC Feb 2013 A	50,000,000	2012/07/23	2013/02/25	26.00
63911	UBS AG – HSI R Bull CBBC Dec 2012 L	300,000,000	2012/07/23	2012/12/28	75.00
63849	UBS AG – HSI R Bear CBBC Oct 2012 H	300,000,000	2012/07/23	2012/10/30	75.00
63851	UBS AG – HSI R Bear CBBC May 2013 C	300,000,000	2012/07/23	2013/05/30	75.00
63966	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	200,000,000	2012/07/24	2013/04/29	50.00
63962	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	400,000,000	2012/07/24	2013/01/30	100.00
64021	Credit Suisse AG – HSI R Bull CBBC Jan 2013 K	400,000,000	2012/07/24	2013/01/30	100.00
64024	Credit Suisse AG – HSI R Bull CBBC Jan 2013 L	400,000,000	2012/07/24	2013/01/30	100.00
63947	Credit Suisse AG – HSI R Bear CBBC Jan 2013 F	400,000,000	2012/07/24	2013/01/30	100.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63954	Credit Suisse AG – HSI R Bear CBBC Jan 2013 G	400,000,000	2012/07/24	2013/01/30	100.00
64026	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 G	158,000,000	2012/07/24	2012/12/28	39.50
64027	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 B	158,000,000	2012/07/24	2013/01/30	39.50
64029	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 K	150,000,000	2012/07/24	2012/10/30	40.50
64039	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 L	150,000,000	2012/07/24	2012/10/30	39.60
64025	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 O	60,000,000	2012/07/24	2012/10/30	15.00
63955	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/07/24	2012/11/29	50.00
63960	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/07/24	2012/11/29	50.00
63923	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12S	200,000,000	2012/07/24	2012/10/30	50.00
64011	UBS AG – HKEx R Bear CBBC Dec 2012 A	100,000,000	2012/07/24	2012/12/17	25.00
63970	UBS AG – HSI R Bull CBBC Nov 2012 T	300,000,000	2012/07/24	2012/11/29	75.00
63974	UBS AG – HSI R Bull CBBC Jan 2013 F	300,000,000	2012/07/24	2013/01/30	75.00
63941	UBS AG – HSI R Bear CBBC Dec 2012 P	300,000,000	2012/07/24	2012/12/28	75.00
64047	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Q	200,000,000	2012/07/25	2013/04/29	50.00
64042	Credit Suisse AG – HSI R Bull CBBC Jan 2013 M	400,000,000	2012/07/25	2013/01/30	100.00
64057	Credit Suisse AG – HSI R Bear CBBC Oct 2012 P	400,000,000	2012/07/25	2012/10/30	100.00
64086	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Q	400,000,000	2012/07/25	2012/10/30	100.00
64137	Daiwa Capital Mkt – HSI R Bear CBBC Jan 2013 A	158,000,000	2012/07/25	2013/01/30	39.50
64049	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/07/25	2012/11/29	50.00
64045	UBS AG – HSI R Bull CBBC Jan 2013 H	300,000,000	2012/07/25	2013/01/30	75.00
64134	UBS AG – HSI R Bull CBBC Mar 2013 E	300,000,000	2012/07/25	2013/03/27	75.00
64111	UBS AG – HSI R Bear CBBC Feb 2013 E	300,000,000	2012/07/25	2013/02/27	75.00
64237	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 R	200,000,000	2012/07/26	2013/04/29	50.00
64141	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 U	200,000,000	2012/07/26	2012/10/30	50.00
64246	Credit Suisse AG – HSI R Bull CBBC Nov 2012 D	400,000,000	2012/07/26	2012/11/29	100.00
64254	Credit Suisse AG – HSI R Bull CBBC Nov 2012 F	400,000,000	2012/07/26	2012/11/29	100.00
64255	Credit Suisse AG – HSI R Bull CBBC Nov 2012 H	400,000,000	2012/07/26	2012/11/29	100.00
64158	Credit Suisse AG – HSI R Bear CBBC Oct 2012 A	400,000,000	2012/07/26	2012/10/30	100.00
64161	Credit Suisse AG – HSI R Bear CBBC Oct 2012 G	400,000,000	2012/07/26	2012/10/30	100.00
64168	Credit Suisse AG – HSI R Bear CBBC Oct 2012 K	400,000,000	2012/07/26	2012/10/30	100.00
64146	Credit Suisse AG – HSI R Bear CBBC Oct 2012 W	400,000,000	2012/07/26	2012/10/30	100.00
64139	HK Bank – A50 R Bull CBBC Mar 2013 B	60,000,000	2012/07/26	2013/03/18	15.00
64140	HK Bank – A50 R Bull CBBC Mar 2013 C	60,000,000	2012/07/26	2013/03/25	15.00
64138	HK Bank – A50 R Bear CBBC Jan 2013 B	50,000,000	2012/07/26	2013/01/28	12.50
64259	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2012 P	60,000,000	2012/07/26	2012/10/30	15.00
64231	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	200,000,000	2012/07/26	2012/12/28	50.00
64230	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/07/26	2012/10/30	50.00
64200	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Y	200,000,000	2012/07/26	2012/10/30	50.00
64208	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Z	200,000,000	2012/07/26	2012/10/30	50.00
64327	UBS AG – China Life R Bull CBBC Mar 2013 A	100,000,000	2012/07/26	2013/03/04	25.00
64298	UBS AG – A50 China R Bear CBBC Feb 2013 A	100,000,000	2012/07/26	2013/02/18	25.00
64289	UBS AG – HSI R Bull CBBC Mar 2013 F	300,000,000	2012/07/26	2013/03/27	75.00
64291	UBS AG – HSI R Bull CBBC May 2013 D	300,000,000	2012/07/26	2013/05/30	75.00
64174	UBS AG – HSI R Bear CBBC Oct 2012 K	300,000,000	2012/07/26	2012/10/30	75.00
64260	UBS AG – HSI R Bear CBBC Dec 2012 Q	300,000,000	2012/07/26	2012/12/28	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64261	UBS AG – HSI R Bear CBBC Dec 2012 R	300,000,000	2012/07/26	2012/12/28	75.00
64280	UBS AG – HSI R Bear CBBC Dec 2012 S	300,000,000	2012/07/26	2012/12/28	75.00
64175	UBS AG – HSI R Bear CBBC May 2013 D	300,000,000	2012/07/26	2013/05/30	75.00
64328	UBS AG – TCH R Bull CBBC Mar 2013 A	100,000,000	2012/07/26	2013/03/25	36.00
65834	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 X	200,000,000	2012/07/27	2013/04/29	50.00
65841	Credit Suisse AG – HSI R Bull CBBC Nov 2012 A	400,000,000	2012/07/27	2012/11/29	100.00
65840	Credit Suisse AG – HSI R Bull CBBC Nov 2012 I	400,000,000	2012/07/27	2012/11/29	100.00
65842	Credit Suisse AG – HSI R Bull CBBC Nov 2012 K	400,000,000	2012/07/27	2012/11/29	100.00
65843	Credit Suisse AG – HSI R Bull CBBC Nov 2012 L	400,000,000	2012/07/27	2012/11/29	100.00
64466	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/07/27	2012/10/30	100.00
65845	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Y	400,000,000	2012/07/27	2012/10/30	100.00
65835	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 H	158,000,000	2012/07/27	2012/12/28	39.50
65839	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2012 M	150,000,000	2012/07/27	2012/10/30	37.95
65836	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 M	150,000,000	2012/07/27	2012/10/30	39.60
64454	HK Bank – China Mobile R Bear CBBC Jan 2013 A	50,000,000	2012/07/27	2013/01/21	12.50
64455	HK Bank – HSCEI R Bear CBBC Jan 2013 A	68,000,000	2012/07/27	2013/01/30	17.00
64457	HK Bank – HSI R Bull CBBC Mar 2013 C	100,000,000	2012/07/27	2013/03/27	25.00
64459	HK Bank – HSI R Bull CBBC Mar 2013 D	100,000,000	2012/07/27	2013/03/27	25.00
65848	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 A	300,000,000	2012/07/27	2013/04/29	75.00
66016	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 B	300,000,000	2012/07/27	2013/04/29	75.00
66021	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 C	300,000,000	2012/07/27	2013/04/29	75.00
66030	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 D	300,000,000	2012/07/27	2013/04/29	75.00
64356	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12A	200,000,000	2012/07/27	2012/11/29	50.00
64365	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12B	200,000,000	2012/07/27	2012/11/29	50.00
64381	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12C	200,000,000	2012/07/27	2012/11/29	50.00
64382	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12A	200,000,000	2012/07/27	2012/11/29	50.00
64400	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12B	200,000,000	2012/07/27	2012/11/29	50.00
64453	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12C	200,000,000	2012/07/27	2012/11/29	50.00
64744	UBS AG – China Tel R Bull CBBC Jul 2013 A	100,000,000	2012/07/27	2013/07/15	25.00
64640	UBS AG – China Tel R Bear CBBC May 2013 A	100,000,000	2012/07/27	2013/05/06	25.00
64639	UBS AG – CUni R Bear CBBC Mar 2013 A	100,000,000	2012/07/27	2013/03/11	33.00
64634	UBS AG – China Life R Bear CBBC Feb 2013 A	100,000,000	2012/07/27	2013/02/04	29.50
64633	UBS AG – HSI R Bull CBBC Jan 2013 D	300,000,000	2012/07/27	2013/01/30	75.00
64623	UBS AG – HSI R Bull CBBC May 2013 E	300,000,000	2012/07/27	2013/05/30	75.00
64478	UBS AG – HSI R Bear CBBC Feb 2013 F	300,000,000	2012/07/27	2013/02/27	75.00
64567	UBS AG – HSI R Bear CBBC Feb 2013 G	300,000,000	2012/07/27	2013/02/27	75.00
64471	UBS AG – HSI R Bear CBBC Mar 2013 G	300,000,000	2012/07/27	2013/03/27	75.00
65101	UBS AG – Li & Fung R Bull CBBC Mar 2013 A	50,000,000	2012/07/27	2013/03/04	16.50
65083	UBS AG – Li & Fung R Bull CBBC Apr 2013 A	50,000,000	2012/07/27	2013/04/08	12.50
65072	UBS AG – Li & Fung R Bear CBBC Feb 2013 A	50,000,000	2012/07/27	2013/02/18	12.50
64886	UBS AG – Li & Fung R Bear CBBC Mar 2013 A	50,000,000	2012/07/27	2013/03/25	18.75
67244	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 A	200,000,000	2012/07/30	2013/03/27	50.00
67371	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 V	200,000,000	2012/07/30	2013/04/29	50.00
66283	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Y	200,000,000	2012/07/30	2013/04/29	50.00
67266	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Z	200,000,000	2012/07/30	2013/04/29	50.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66517	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 V	200,000,000	2012/07/30	2012/10/30	50.00
66932	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 W	200,000,000	2012/07/30	2012/10/30	50.00
67115	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 X	200,000,000	2012/07/30	2012/10/30	50.00
67147	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 Y	200,000,000	2012/07/30	2012/10/30	50.00
66281	Credit Suisse AG – HSI R Bull CBBC Nov 2012 M	400,000,000	2012/07/30	2012/11/29	100.00
66282	Credit Suisse AG – HSI R Bull CBBC Nov 2012 N	400,000,000	2012/07/30	2012/11/29	100.00
66280	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Z	400,000,000	2012/07/30	2012/11/29	100.00
67289	Credit Suisse AG – HSI R Bull CBBC Dec 2012 J	400,000,000	2012/07/30	2012/12/28	100.00
67300	Credit Suisse AG – HSI R Bull CBBC Dec 2012 K	400,000,000	2012/07/30	2012/12/28	100.00
67353	Credit Suisse AG – HSI R Bull CBBC Jan 2013 N	400,000,000	2012/07/30	2013/01/30	100.00
67269	Credit Suisse AG – HSI R Bear CBBC Oct 2012 T	400,000,000	2012/07/30	2012/10/30	100.00
67372	Credit Suisse AG – HSI R Bear CBBC Oct 2012 U	400,000,000	2012/07/30	2012/10/30	100.00
67286	Credit Suisse AG – HSI R Bear CBBC Oct 2012 V	400,000,000	2012/07/30	2012/10/30	100.00
67392	Credit Suisse AG – HSI R Bear CBBC Oct 2012 X	400,000,000	2012/07/30	2012/10/30	100.00
67393	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 E	158,000,000	2012/07/30	2012/12/28	39.50
67426	Daiwa Capital Mkt – HSI R Bear CBBC Jan 2013 B	158,000,000	2012/07/30	2013/01/30	39.50
67471	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 N	150,000,000	2012/07/30	2012/10/30	37.80
67472	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 O	150,000,000	2012/07/30	2012/10/30	38.55
67474	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 P	150,000,000	2012/07/30	2012/10/30	38.70
66040	HK Bank – CNBM R Bull CBBC Mar 2013 A	50,000,000	2012/07/30	2013/03/11	12.50
66094	HK Bank – HSI R Bull CBBC Feb 2013 E	200,000,000	2012/07/30	2013/02/27	50.00
67430	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12K	200,000,000	2012/07/30	2012/10/30	56.00
67431	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12L	200,000,000	2012/07/30	2012/10/30	54.00
67434	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12W	200,000,000	2012/07/30	2012/10/30	52.00
67437	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12X	200,000,000	2012/07/30	2012/10/30	50.00
67306	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/07/30	2012/11/29	50.00
66114	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/07/30	2012/11/29	50.00
66277	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	200,000,000	2012/07/30	2012/12/28	50.00
66413	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/07/30	2012/10/30	50.00
67438	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12R	200,000,000	2012/07/30	2012/10/30	50.00
67427	UBS AG – BOC HK R Bull CBBC Mar 2013 A	50,000,000	2012/07/30	2013/03/18	18.00
67429	UBS AG – BOC HK R Bear CBBC Feb 2013 A	50,000,000	2012/07/30	2013/02/25	12.50
67344	UBS AG – CNBM R Bull CBBC Feb 2013 B	50,000,000	2012/07/30	2013/02/25	12.50
67339	UBS AG – Galaxy Ent R Bull CBBC Jan 2013 A	50,000,000	2012/07/30	2013/01/28	14.75
67343	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 A	50,000,000	2012/07/30	2013/02/18	15.75
67346	UBS AG – HSI R Bull CBBC Oct 2012 Z	300,000,000	2012/07/30	2012/10/30	75.00
66286	UBS AG – HSI R Bull CBBC Nov 2012 V	300,000,000	2012/07/30	2012/11/29	75.00
66284	UBS AG – HSI R Bull CBBC May 2013 F	300,000,000	2012/07/30	2013/05/30	75.00
67441	UBS AG – HSI R Bear CBBC Oct 2012 A	300,000,000	2012/07/30	2012/10/30	75.00
67442	UBS AG – HSI R Bear CBBC Dec 2012 T	300,000,000	2012/07/30	2012/12/28	75.00
67446	UBS AG – HSI R Bear CBBC Feb 2013 H	300,000,000	2012/07/30	2013/02/27	75.00
67492	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 I	200,000,000	2012/07/31	2013/04/29	50.00
67491	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 A	200,000,000	2012/07/31	2012/11/29	50.00
67520	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 B	200,000,000	2012/07/31	2012/11/29	50.00
67502	Credit Suisse AG – HSI R Bull CBBC Dec 2012 B	400,000,000	2012/07/31	2012/12/28	100.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67479	Credit Suisse AG – HSI R Bull CBBC Dec 2012 X	400,000,000	2012/07/31	2012/12/28	100.00
67483	Credit Suisse AG – HSI R Bear CBBC Dec 2012 I	400,000,000	2012/07/31	2012/12/28	100.00
67505	Credit Suisse AG – HSI R Bear CBBC Dec 2012 M	400,000,000	2012/07/31	2012/12/28	100.00
67507	Credit Suisse AG – HSI R Bear CBBC Dec 2012 N	400,000,000	2012/07/31	2012/12/28	100.00
67518	Credit Suisse AG – HSI R Bear CBBC Dec 2012 O	400,000,000	2012/07/31	2012/12/28	100.00
67533	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 A	150,000,000	2012/07/31	2012/11/29	39.30
67531	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 A	150,000,000	2012/07/31	2012/11/29	38.55
67500	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/07/31	2012/11/29	50.00
67475	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13A	200,000,000	2012/07/31	2013/01/30	78.00
67476	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13B	200,000,000	2012/07/31	2013/01/30	76.00
67477	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13C	200,000,000	2012/07/31	2013/01/30	73.00
67478	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13D	200,000,000	2012/07/31	2013/01/30	71.00
67503	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12F	200,000,000	2012/07/31	2012/11/29	50.00
67488	UBS AG – A50 China R Bull CBBC Feb 2013 A	100,000,000	2012/07/31	2013/02/04	25.00
67487	UBS AG – A50 China R Bear CBBC Jan 2013 A	100,000,000	2012/07/31	2013/01/21	25.00
67530	UBS AG – HSBC R Bear CBBC Feb 2013 A	100,000,000	2012/07/31	2013/02/18	25.00
67485	UBS AG – HSI R Bull CBBC Dec 2012 P	300,000,000	2012/07/31	2012/12/28	75.00
67484	UBS AG – HSI R Bull CBBC Mar 2013 G	300,000,000	2012/07/31	2013/03/27	75.00
67486	UBS AG – HSI R Bull CBBC Mar 2013 H	300,000,000	2012/07/31	2013/03/27	75.00
67527	UBS AG – HSI R Bull CBBC Mar 2013 I	300,000,000	2012/07/31	2013/03/27	75.00
67522	UBS AG – HSI R Bear CBBC Dec 2012 U	300,000,000	2012/07/31	2012/12/28	75.00
67521	UBS AG – HSI R Bear CBBC Feb 2013 I	300,000,000	2012/07/31	2013/02/27	75.00
67490	UBS AG – Kunlun Energy R Bull CBBC Feb 2013 A	40,000,000	2012/07/31	2013/02/25	10.00
67489	UBS AG – Kunlun Energy R Bear CBBC Mar 2013 A	40,000,000	2012/07/31	2013/03/11	10.80
62527 <sup>#</sup>	HK Bank – A50 R Bull CBBC Feb 2013 A	150,000,000	2012/07/31	2013/02/26	11.10
67561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 T	200,000,000	2012/08/01	2013/04/29	50.00
67585	Credit Suisse AG – HSI R Bull CBBC Dec 2012 A	400,000,000	2012/08/01	2012/12/28	100.00
67568	Credit Suisse AG – HSI R Bull CBBC Dec 2012 C	400,000,000	2012/08/01	2012/12/28	100.00
67575	Credit Suisse AG – HSI R Bull CBBC Dec 2012 D	400,000,000	2012/08/01	2012/12/28	100.00
67577	Credit Suisse AG – HSI R Bull CBBC Dec 2012 E	400,000,000	2012/08/01	2012/12/28	100.00
67583	Credit Suisse AG – HSI R Bull CBBC Dec 2012 F	400,000,000	2012/08/01	2012/12/28	100.00
67687	Credit Suisse AG – HSI R Bull CBBC Jan 2013 O	400,000,000	2012/08/01	2013/01/30	100.00
67586	Credit Suisse AG – HSI R Bear CBBC Nov 2012 C	400,000,000	2012/08/01	2012/11/29	100.00
67689	Credit Suisse AG – HSI R Bear CBBC Nov 2012 G	400,000,000	2012/08/01	2012/11/29	100.00
67608	Credit Suisse AG – HSI R Bear CBBC Nov 2012 I	400,000,000	2012/08/01	2012/11/29	100.00
67611	Credit Suisse AG – HSI R Bear CBBC Nov 2012 J	400,000,000	2012/08/01	2012/11/29	100.00
67619	Credit Suisse AG – HSI R Bear CBBC Nov 2012 K	400,000,000	2012/08/01	2012/11/29	100.00
67655	Credit Suisse AG – HSI R Bear CBBC Nov 2012 L	400,000,000	2012/08/01	2012/11/29	100.00
67700	Credit Suisse AG – HSI R Bear CBBC Nov 2012 V	400,000,000	2012/08/01	2012/11/29	100.00
67702	Credit Suisse AG – HSI R Bear CBBC Nov 2012 W	400,000,000	2012/08/01	2012/11/29	100.00
67609	Credit Suisse AG – HSI R Bear CBBC Dec 2012 B	400,000,000	2012/08/01	2012/12/28	100.00
67642	Credit Suisse AG – HSI R Bear CBBC Dec 2012 C	400,000,000	2012/08/01	2012/12/28	100.00
67643	Credit Suisse AG – HSI R Bear CBBC Dec 2012 F	400,000,000	2012/08/01	2012/12/28	100.00
67606	Credit Suisse AG – HSI R Bear CBBC Dec 2012 H	400,000,000	2012/08/01	2012/12/28	100.00
67682	Credit Suisse AG – HSI R Bear CBBC Dec 2012 R	400,000,000	2012/08/01	2012/12/28	100.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67558	HK Bank – A50 R Bull CBBC Apr 2013 B	60,000,000	2012/08/01	2013/04/08	15.00
67557	HK Bank – A50 R Bear CBBC Apr 2013 A	50,000,000	2012/08/01	2013/04/08	12.50
67540	HK Bank – HSI R Bear CBBC Apr 2013 C	100,000,000	2012/08/01	2013/04/29	25.00
67684	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12S	200,000,000	2012/08/01	2012/12/28	50.00
67686	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12G	200,000,000	2012/08/01	2012/11/29	50.00
67737	UBS AG – CNOOC R Bear CBBC Mar 2013 A	100,000,000	2012/08/01	2013/03/11	25.00
67738	UBS AG – Sinopec Corp R Bear CBBC Feb 2013 A	100,000,000	2012/08/01	2013/02/18	25.00
67683	UBS AG – HSI R Bull CBBC May 2013 G	300,000,000	2012/08/01	2013/05/30	75.00
67736	UBS AG – HSI R Bull CBBC Jun 2013 F	300,000,000	2012/08/01	2013/06/27	75.00
67729	UBS AG – HSI R Bear CBBC Feb 2013 J	300,000,000	2012/08/01	2013/02/27	75.00
67734	UBS AG – HSI R Bear CBBC Mar 2013 H	300,000,000	2012/08/01	2013/03/27	75.00
67740	UBS AG – HSI R Bear CBBC Apr 2013 B	300,000,000	2012/08/01	2013/04/29	75.00
64243	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 C	40,000,000	2012/08/02	2012/12/24	10.00
64083	Credit Suisse AG – HKEx R Bear CBBC Dec 2012 B	40,000,000	2012/08/02	2012/12/24	10.00
64090	Credit Suisse AG – HKEx R Bear CBBC Dec 2012 C	40,000,000	2012/08/02	2012/12/24	10.00
63500	Credit Suisse AG – HSI R Bull CBBC Jan 2013 P	400,000,000	2012/08/02	2013/01/30	100.00
64347	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Q	400,000,000	2012/08/02	2013/01/30	100.00
63855	Credit Suisse AG – HSI R Bear CBBC Nov 2012 X	400,000,000	2012/08/02	2012/11/29	100.00
64395	Credit Suisse AG – HSI R Bear CBBC Jan 2013 H	400,000,000	2012/08/02	2013/01/30	100.00
64464	Credit Suisse AG – HSI R Bear CBBC Jan 2013 I	400,000,000	2012/08/02	2013/01/30	100.00
64477	Credit Suisse AG – HSI R Bear CBBC Jan 2013 J	400,000,000	2012/08/02	2013/01/30	100.00
64479	Credit Suisse AG – HSI R Bear CBBC Jan 2013 K	400,000,000	2012/08/02	2013/01/30	100.00
64397	Credit Suisse AG – HSI R Bear CBBC Feb 2013 A	400,000,000	2012/08/02	2013/02/27	100.00
64456	Credit Suisse AG – HSI R Bear CBBC Mar 2013 A	400,000,000	2012/08/02	2013/03/27	100.00
64344	Credit Suisse AG – Hutchison R Bear CBBC Dec 2012 A	40,000,000	2012/08/02	2012/12/24	10.00
64272	Credit Suisse AG – TCH R Bear CBBC Dec 2012 A	40,000,000	2012/08/02	2012/12/24	10.00
63047	HK Bank – BOC HK R Bull CBBC Mar 2013 A	40,000,000	2012/08/02	2013/03/11	16.00
63050	HK Bank – BOC HK R Bear CBBC Mar 2013 A	40,000,000	2012/08/02	2013/03/04	12.00
63320	HK Bank – Minsheng Bank R Bull CBBC Mar 2013 A	40,000,000	2012/08/02	2013/03/04	10.00
67743	HK Bank – Galaxy Ent R Bull CBBC Jan 2013 A	40,000,000	2012/08/02	2013/01/21	12.80
62053	HK Bank – Galaxy Ent R Bear CBBC Feb 2013 A	40,000,000	2012/08/02	2013/02/18	16.00
63321	HK Bank – HSI R Bear CBBC Apr 2013 D	100,000,000	2012/08/02	2013/04/29	25.00
63082	HK Bank – Kunlun Energy R Bull CBBC Feb 2013 A	40,000,000	2012/08/02	2013/02/18	10.00
64735	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 E	300,000,000	2012/08/02	2013/04/29	75.00
64738	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 F	300,000,000	2012/08/02	2013/04/29	75.00
64659	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 A	300,000,000	2012/08/02	2013/04/29	75.00
64707	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 B	300,000,000	2012/08/02	2013/04/29	75.00
64733	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 C	300,000,000	2012/08/02	2013/04/29	75.00
63866	UBS AG – AIA R Bull CBBC Mar 2013 A	50,000,000	2012/08/02	2013/03/11	12.50
63861	UBS AG – AIA R Bull CBBC Apr 2013 A	50,000,000	2012/08/02	2013/04/15	16.50
64658	UBS AG – Evergrande R Bull CBBC Mar 2013 A	50,000,000	2012/08/02	2013/03/11	12.50
64655	UBS AG – Evergrande R Bull CBBC Apr 2013 C	50,000,000	2012/08/02	2013/04/08	12.50
64654	UBS AG – HSCEI R Bear CBBC Nov 2012 C	100,000,000	2012/08/02	2012/11/29	25.00
63944	UBS AG – HSI R Bull CBBC Feb 2013 H	300,000,000	2012/08/02	2013/02/27	75.00
64523	UBS AG – HSI R Bear CBBC Apr 2013 C	300,000,000	2012/08/02	2013/04/29	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64584	UBS AG – HSI R Bear CBBC May 2013 E	300,000,000	2012/08/02	2013/05/30	75.00
66254	Credit Suisse AG – China Mobile R Bull CBBC Dec 2012 A	40,000,000	2012/08/03	2012/12/24	10.00
66475	Credit Suisse AG – China Mobile R Bull CBBC Dec 2012 B	40,000,000	2012/08/03	2012/12/24	10.00
66532	Credit Suisse AG – China Mobile R Bull CBBC Dec 2012 C	40,000,000	2012/08/03	2012/12/24	10.00
66561	Credit Suisse AG – China Mobile R Bear CBBC Dec 2012 A	40,000,000	2012/08/03	2012/12/24	10.00
66877	Credit Suisse AG – HSI R Bull CBBC Nov 2012 D	400,000,000	2012/08/03	2012/11/29	100.00
66741	Credit Suisse AG – HSI R Bull CBBC Nov 2012 E	400,000,000	2012/08/03	2012/11/29	100.00
66801	Credit Suisse AG – HSI R Bull CBBC Nov 2012 O	400,000,000	2012/08/03	2012/11/29	100.00
66853	Credit Suisse AG – HSI R Bull CBBC Nov 2012 V	400,000,000	2012/08/03	2012/11/29	100.00
65719	Credit Suisse AG – HSI R Bear CBBC Nov 2012 R	400,000,000	2012/08/03	2012/11/29	100.00
65713	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	400,000,000	2012/08/03	2012/11/29	100.00
65340	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Y	400,000,000	2012/08/03	2012/11/29	100.00
65332	Credit Suisse AG – HSI R Bear CBBC Jan 2013 L	400,000,000	2012/08/03	2013/01/30	110.00
65219	Credit Suisse AG – HSI R Bear CBBC Feb 2013 B	400,000,000	2012/08/03	2013/02/27	104.00
65185	Credit Suisse AG – HSI R Bear CBBC Mar 2013 B	400,000,000	2012/08/03	2013/03/27	102.00
65144	HK Bank – AIA R Bear CBBC Jan 2013 C	50,000,000	2012/08/03	2013/01/28	12.50
65110	HK Bank – CNOOC R Bear CBBC Apr 2013 A	50,000,000	2012/08/03	2013/04/08	12.50
65079	HK Bank – HKEx R Bear CBBC Mar 2013 A	50,000,000	2012/08/03	2013/03/11	12.50
65166	HK Bank – SHK Ppt R Bear CBBC Apr 2013 B	50,000,000	2012/08/03	2013/04/22	12.50
64834	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Nov12D	200,000,000	2012/08/03	2012/11/29	50.00
64874	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Nov12D	200,000,000	2012/08/03	2012/11/29	50.00
65730	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec12T	200,000,000	2012/08/03	2012/12/28	50.00
64739	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12H	200,000,000	2012/08/03	2012/11/29	50.00
64805	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12I	200,000,000	2012/08/03	2012/11/29	50.00
65821	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12J	200,000,000	2012/08/03	2012/11/29	50.00
66912	UBS AG – China Mobile R Bull CBBC Feb 2013 A	100,000,000	2012/08/03	2013/02/18	25.00
66915	UBS AG – HSI R Bull CBBC Feb 2013 I	300,000,000	2012/08/03	2013/02/27	75.00
66919	UBS AG – HSI R Bull CBBC Apr 2013 B	300,000,000	2012/08/03	2013/04/29	75.00
65852	UBS AG – HSI R Bear CBBC May 2013 F	300,000,000	2012/08/03	2013/05/30	75.00
67110	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	200,000,000	2012/08/06	2013/04/29	50.00
67105	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 U	200,000,000	2012/08/06	2013/04/29	50.00
67109	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 W	200,000,000	2012/08/06	2013/04/29	50.00
67053	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 C	200,000,000	2012/08/06	2012/11/29	50.00
67138	Credit Suisse AG – HSI R Bull CBBC Jan 2013 R	400,000,000	2012/08/06	2013/01/30	100.00
67139	Credit Suisse AG – HSI R Bull CBBC Jan 2013 S	400,000,000	2012/08/06	2013/01/30	100.00
67162	Credit Suisse AG – HSI R Bull CBBC Jan 2013 V	400,000,000	2012/08/06	2013/01/30	100.00
67163	Credit Suisse AG – HSI R Bull CBBC Jan 2013 W	400,000,000	2012/08/06	2013/01/30	100.00
67068	Credit Suisse AG – HSI R Bear CBBC Dec 2012 S	400,000,000	2012/08/06	2012/12/28	100.00
67078	Credit Suisse AG – HSI R Bear CBBC Dec 2012 T	400,000,000	2012/08/06	2012/12/28	100.00
67089	Credit Suisse AG – HSI R Bear CBBC Dec 2012 U	400,000,000	2012/08/06	2012/12/28	100.00
67146	Daiwa Capital Mkt – HSI R Bull CBBC Feb 2013 B	158,000,000	2012/08/06	2013/02/27	39.50
67143	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 C	158,000,000	2012/08/06	2013/03/27	39.50
67145	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 D	158,000,000	2012/08/06	2013/03/27	39.50
66920	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 B	150,000,000	2012/08/06	2012/11/29	38.40
66922	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 C	150,000,000	2012/08/06	2012/11/29	38.70

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67090	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 D	150,000,000	2012/08/06	2012/11/29	37.95
67102	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 E	150,000,000	2012/08/06	2012/11/29	37.65
67103	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 F	150,000,000	2012/08/06	2012/11/29	37.65
66923	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 B	150,000,000	2012/08/06	2012/11/29	37.80
66955	HK Bank – CUni R Bull CBBC Mar 2013 A	40,000,000	2012/08/06	2013/03/04	11.20
66940	HK Bank – CUni R Bear CBBC Mar 2013 A	40,000,000	2012/08/06	2013/03/04	10.00
66956	HK Bank – CUni R Bear CBBC Mar 2013 B	40,000,000	2012/08/06	2013/03/14	14.00
66967	HK Bank – Sinopec Corp R Bull CBBC Mar 2013 A	60,000,000	2012/08/06	2013/03/18	15.00
66959	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 A	60,000,000	2012/08/06	2013/04/18	15.00
66966	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 B	60,000,000	2012/08/06	2013/04/26	15.00
66970	HK Bank – Sinopec Corp R Bear CBBC Feb 2013 A	60,000,000	2012/08/06	2013/02/26	15.00
66971	HK Bank – Sinopec Corp R Bear CBBC Mar 2013 A	60,000,000	2012/08/06	2013/03/08	15.00
67018	HK Bank – Sinopec Corp R Bear CBBC Mar 2013 B	60,000,000	2012/08/06	2013/03/20	15.00
66929	HK Bank – Evergrande R Bull CBBC Apr 2013 C	50,000,000	2012/08/06	2013/04/08	12.50
66930	HK Bank – Sands China R Bull CBBC Dec 2013 A	50,000,000	2012/08/06	2013/12/18	12.50
67136	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/08/06	2012/11/29	50.00
67137	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/08/06	2012/11/29	50.00
67129	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/08/06	2012/11/29	50.00
67130	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/08/06	2012/11/29	50.00
67055	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12K	200,000,000	2012/08/06	2012/11/29	50.00
67057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12L	200,000,000	2012/08/06	2012/11/29	50.00
67111	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12C	200,000,000	2012/08/06	2012/12/28	63.00
67112	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12D	200,000,000	2012/08/06	2012/12/28	60.00
67113	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12E	200,000,000	2012/08/06	2012/12/28	58.00
67114	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12F	200,000,000	2012/08/06	2012/12/28	55.00
67122	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12G	200,000,000	2012/08/06	2012/12/28	52.00
67128	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12H	200,000,000	2012/08/06	2012/12/28	50.00
67165	UBS AG – HSI R Bull CBBC Dec 2012 J	300,000,000	2012/08/06	2012/12/28	75.00
67164	UBS AG – HSI R Bull CBBC Dec 2012 U	300,000,000	2012/08/06	2012/12/28	75.00
67167	UBS AG – HSI R Bull CBBC Jun 2013 G	300,000,000	2012/08/06	2013/06/27	75.00
67065	UBS AG – HSI R Bear CBBC Dec 2012 V	300,000,000	2012/08/06	2012/12/28	75.00
67067	UBS AG – HSI R Bear CBBC Feb 2013 K	300,000,000	2012/08/06	2013/02/27	75.00
67247	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	200,000,000	2012/08/07	2013/04/29	50.00
67246	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	200,000,000	2012/08/07	2013/04/29	50.00
67190	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 D	200,000,000	2012/08/07	2012/11/29	50.00
67245	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2012 E	200,000,000	2012/08/07	2012/11/29	50.00
67283	Credit Suisse AG – HSI R Bull CBBC Nov 2012 A	400,000,000	2012/08/07	2012/11/29	100.00
67274	Credit Suisse AG – HSI R Bull CBBC Nov 2012 F	400,000,000	2012/08/07	2012/11/29	100.00
67277	Credit Suisse AG – HSI R Bull CBBC Nov 2012 H	400,000,000	2012/08/07	2012/11/29	100.00
67347	Credit Suisse AG – HSI R Bull CBBC Nov 2012 I	400,000,000	2012/08/07	2012/11/29	100.00
67345	Credit Suisse AG – HSI R Bull CBBC Nov 2012 L	400,000,000	2012/08/07	2012/11/29	100.00
67235	Credit Suisse AG – HSI R Bear CBBC Nov 2012 B	400,000,000	2012/08/07	2012/11/29	100.00
67232	Credit Suisse AG – HSI R Bear CBBC Nov 2012 O	400,000,000	2012/08/07	2012/11/29	100.00
67291	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 C	158,000,000	2012/08/07	2013/01/30	39.50
67366	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 G	150,000,000	2012/08/07	2012/11/29	38.85

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67369	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 H	150,000,000	2012/08/07	2012/11/29	37.50
67370	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 I	150,000,000	2012/08/07	2012/11/29	37.50
67373	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 J	150,000,000	2012/08/07	2012/11/29	37.80
67377	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 C	150,000,000	2012/08/07	2012/11/29	37.65
67188	HK Bank – China Mobile R Bull CBBC Mar 2013 A	80,000,000	2012/08/07	2013/03/04	20.00
67170	HK Bank – China Mobile R Bear CBBC Mar 2013 A	50,000,000	2012/08/07	2013/03/04	12.50
67173	HK Bank – HSI R Bull CBBC Mar 2013 E	100,000,000	2012/08/07	2013/03/27	25.00
67172	HK Bank – HSI R Bull CBBC Apr 2013 C	100,000,000	2012/08/07	2013/04/29	25.00
67169	HK Bank – ICBC R Bull CBBC Mar 2013 B	60,000,000	2012/08/07	2013/03/21	15.00
67380	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 G	300,000,000	2012/08/07	2013/04/29	75.00
67386	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 H	300,000,000	2012/08/07	2013/04/29	75.00
67348	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 C	60,000,000	2012/08/07	2012/11/29	15.00
67352	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 D	60,000,000	2012/08/07	2012/11/29	15.00
67365	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 E	60,000,000	2012/08/07	2012/11/29	15.00
67272	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/08/07	2012/11/29	50.00
67270	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/08/07	2012/11/29	50.00
67191	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12M	200,000,000	2012/08/07	2012/11/29	50.00
67213	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12N	200,000,000	2012/08/07	2012/11/29	50.00
67273	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12O	200,000,000	2012/08/07	2012/11/29	50.00
67295	UBS AG – HSI R Bull CBBC Nov 2012 K	300,000,000	2012/08/07	2012/11/29	75.00
67305	UBS AG – HSI R Bull CBBC Jan 2013 G	300,000,000	2012/08/07	2013/01/30	75.00
67293	UBS AG – HSI R Bull CBBC Feb 2013 J	300,000,000	2012/08/07	2013/02/27	75.00
67237	UBS AG – HSI R Bear CBBC Nov 2012 X	300,000,000	2012/08/07	2012/11/29	75.00
67394	UBS AG – HSI R Bear CBBC Nov 2012 Y	300,000,000	2012/08/07	2012/11/29	75.00
67391	UBS AG – HSI R Bear CBBC Dec 2012 W	300,000,000	2012/08/07	2012/12/28	75.00
67236	UBS AG – HSI R Bear CBBC Feb 2013 L	300,000,000	2012/08/07	2013/02/27	75.00
67758	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 G	200,000,000	2012/08/08	2013/03/27	50.00
67761	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	200,000,000	2012/08/08	2013/04/29	50.00
67625	Credit Suisse AG – HSI R Bull CBBC Feb 2013 F	400,000,000	2012/08/08	2013/02/27	100.00
67653	Credit Suisse AG – HSI R Bull CBBC Feb 2013 G	400,000,000	2012/08/08	2013/02/27	100.00
67777	Credit Suisse AG – HSI R Bull CBBC Feb 2013 H	400,000,000	2012/08/08	2013/02/27	100.00
67781	Credit Suisse AG – HSI R Bull CBBC Feb 2013 I	400,000,000	2012/08/08	2013/02/27	100.00
67569	Credit Suisse AG – HSI R Bear CBBC Dec 2012 P	400,000,000	2012/08/08	2012/12/28	100.00
67467	Credit Suisse AG – HSI R Bear CBBC Dec 2012 V	400,000,000	2012/08/08	2012/12/28	100.00
67580	Credit Suisse AG – HSI R Bear CBBC Feb 2013 C	400,000,000	2012/08/08	2013/02/27	100.00
67604	Credit Suisse AG – HSI R Bear CBBC Feb 2013 D	400,000,000	2012/08/08	2013/02/27	100.00
67602	Credit Suisse AG – HSI R Bear CBBC Mar 2013 C	400,000,000	2012/08/08	2013/03/27	100.00
67612	Credit Suisse AG – HSI R Bear CBBC Mar 2013 D	400,000,000	2012/08/08	2013/03/27	100.00
67616	Credit Suisse AG – HSI R Bear CBBC Mar 2013 E	400,000,000	2012/08/08	2013/03/27	100.00
67622	Credit Suisse AG – HSI R Bear CBBC Mar 2013 F	400,000,000	2012/08/08	2013/03/27	104.00
67615	Credit Suisse AG – HSI R Bear CBBC Apr 2013 A	400,000,000	2012/08/08	2013/04/29	100.00
67618	Credit Suisse AG – HSI R Bear CBBC Apr 2013 B	400,000,000	2012/08/08	2013/04/29	102.00
67704	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 A	150,000,000	2012/08/08	2013/01/30	37.50
67728	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 B	150,000,000	2012/08/08	2013/01/30	37.50
67749	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 C	150,000,000	2012/08/08	2013/01/30	37.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67395	HK Bank – CC Bank R Bull CBBC Apr 2013 A	80,000,000	2012/08/08	2013/04/08	20.00
67404	HK Bank – HSI R Bull CBBC Mar 2013 F	100,000,000	2012/08/08	2013/03/27	25.00
67402	HK Bank – TCH R Bull CBBC Jan 2013 C	50,000,000	2012/08/08	2013/01/07	12.50
67786	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 F	60,000,000	2012/08/08	2012/11/29	15.00
67750	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12T	200,000,000	2012/08/08	2012/11/29	50.00
67752	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12I	200,000,000	2012/08/08	2012/12/28	50.00
67753	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12J	200,000,000	2012/08/08	2012/12/28	50.00
67774	UBS AG – CC Bank R Bull CBBC Mar 2013 A	100,000,000	2012/08/08	2013/03/18	25.00
67783	UBS AG – Cheung Kong R Bear CBBC Mar 2013 A	100,000,000	2012/08/08	2013/03/04	25.00
67772	UBS AG – HSCEI R Bull CBBC Feb 2013 A	100,000,000	2012/08/08	2013/02/27	25.00
67764	UBS AG – HSI R Bull CBBC Nov 2012 N	300,000,000	2012/08/08	2012/11/29	75.00
67770	UBS AG – HSI R Bull CBBC Mar 2013 J	300,000,000	2012/08/08	2013/03/27	75.00
67766	UBS AG – HSI R Bull CBBC Apr 2013 C	300,000,000	2012/08/08	2013/04/29	75.00
67423	UBS AG – HSI R Bear CBBC Nov 2012 Z	300,000,000	2012/08/08	2012/11/29	75.00
67762	UBS AG – HSI R Bear CBBC Feb 2013 M	300,000,000	2012/08/08	2013/02/27	75.00
67773	UBS AG – ICBC R Bull CBBC Feb 2013 A	100,000,000	2012/08/08	2013/02/18	25.00
67788	Credit Suisse AG – HSI R Bull CBBC Feb 2013 J	400,000,000	2012/08/09	2013/02/27	100.00
67804	Credit Suisse AG – HSI R Bull CBBC Feb 2013 K	400,000,000	2012/08/09	2013/02/27	100.00
67805	Credit Suisse AG – HSI R Bear CBBC Feb 2013 E	400,000,000	2012/08/09	2013/02/27	100.00
67890	Credit Suisse AG – HSI R Bear CBBC Feb 2013 F	400,000,000	2012/08/09	2013/02/27	100.00
67911	Daiwa Capital Mkt – HSI R Bear CBBC Feb 2013 A	158,000,000	2012/08/09	2013/02/27	39.50
67912	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 K	60,000,000	2012/08/09	2012/12/28	15.00
67845	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12U	300,000,000	2012/08/09	2012/12/28	75.00
67846	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12V	300,000,000	2012/08/09	2012/12/28	75.00
67847	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12W	300,000,000	2012/08/09	2012/12/28	75.00
67848	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12K	300,000,000	2012/08/09	2012/12/28	75.00
67879	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12L	300,000,000	2012/08/09	2012/12/28	75.00
67880	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12M	300,000,000	2012/08/09	2012/12/28	75.00
67886	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12N	300,000,000	2012/08/09	2012/12/28	75.00
67843	UBS AG – HSI R Bull CBBC Feb 2013 K	300,000,000	2012/08/09	2013/02/27	75.00
67841	UBS AG – HSI R Bull CBBC May 2013 H	300,000,000	2012/08/09	2013/05/30	75.00
67909	UBS AG – HSI R Bear CBBC Mar 2013 I	300,000,000	2012/08/09	2013/03/27	75.00
67892	UBS AG – HSI R Bear CBBC Apr 2013 D	300,000,000	2012/08/09	2013/04/29	75.00
67910	UBS AG – HSI R Bear CBBC Apr 2013 E	300,000,000	2012/08/09	2013/04/29	75.00
67937	Credit Suisse AG – HSI R Bull CBBC Nov 2012 K	400,000,000	2012/08/10	2012/11/29	100.00
67938	Credit Suisse AG – HSI R Bear CBBC Nov 2012 U	400,000,000	2012/08/10	2012/11/29	100.00
67939	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Z	400,000,000	2012/08/10	2012/11/29	100.00
67920	HK Bank – Anhui Conch R Bull CBBC Mar 2013 B	40,000,000	2012/08/10	2013/03/18	16.00
67921	HK Bank – COVS R Bull CBBC Apr 2013 A	40,000,000	2012/08/10	2013/04/08	10.00
67919	HK Bank – Cheung Kong R Bull CBBC Mar 2013 A	60,000,000	2012/08/10	2013/03/04	15.00
67925	HK Bank – HSCEI R Bull CBBC Jan 2013 A	68,000,000	2012/08/10	2013/01/30	17.00
67927	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12X	300,000,000	2012/08/10	2012/12/28	75.00
67931	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Y	300,000,000	2012/08/10	2012/12/28	75.00
67934	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12O	300,000,000	2012/08/10	2012/12/28	75.00
67954	UBS AG – China Mobile R Bear CBBC Mar 2013 A	100,000,000	2012/08/10	2013/03/11	25.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67955	UBS AG – CNOOC R Bull CBBC Jan 2013 B	100,000,000	2012/08/10	2013/01/07	25.00
67941	UBS AG – HSI R Bear CBBC Feb 2013 N	300,000,000	2012/08/10	2013/02/27	75.00
67967	UBS AG – TCH R Bull CBBC Feb 2013 A	100,000,000	2012/08/10	2013/02/25	25.00
68003	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 H	200,000,000	2012/08/13	2012/12/28	50.00
68004	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 I	200,000,000	2012/08/13	2012/12/28	50.00
67986	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 L	200,000,000	2012/08/13	2012/12/28	50.00
67987	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 M	200,000,000	2012/08/13	2012/12/28	50.00
67988	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 N	200,000,000	2012/08/13	2012/12/28	50.00
67989	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 O	200,000,000	2012/08/13	2012/12/28	50.00
62936	Credit Suisse AG – HSI R Bull CBBC Jan 2013 J	400,000,000	2012/08/13	2013/01/30	100.00
68013	Credit Suisse AG – HSI R Bull CBBC Jan 2013 X	400,000,000	2012/08/13	2013/01/30	100.00
62137	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	400,000,000	2012/08/13	2013/01/30	100.00
62440	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Z	400,000,000	2012/08/13	2013/01/30	100.00
67990	Credit Suisse AG – HSI R Bear CBBC Nov 2012 G	400,000,000	2012/08/13	2012/11/29	100.00
67991	Credit Suisse AG – HSI R Bear CBBC Nov 2012 S	400,000,000	2012/08/13	2012/11/29	100.00
67993	Credit Suisse AG – HSI R Bear CBBC Nov 2012 V	400,000,000	2012/08/13	2012/11/29	100.00
63041	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 K	150,000,000	2012/08/13	2012/11/29	37.50
63079	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 L	150,000,000	2012/08/13	2012/11/29	37.80
63255	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 D	150,000,000	2012/08/13	2012/11/29	37.80
63359	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 G	60,000,000	2012/08/13	2012/11/29	15.00
63404	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 C	60,000,000	2012/08/13	2012/11/29	15.00
68000	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12O	300,000,000	2012/08/13	2012/12/28	75.00
67998	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Z	300,000,000	2012/08/13	2012/12/28	75.00
67970	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12P	300,000,000	2012/08/13	2012/12/28	75.00
67972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Q	300,000,000	2012/08/13	2012/12/28	75.00
67978	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12R	300,000,000	2012/08/13	2012/12/28	75.00
62961	UBS AG – HKEx R Bull CBBC Feb 2013 A	100,000,000	2012/08/13	2013/02/18	25.00
67979	UBS AG – HSCEI R Bull CBBC May 2013 A	100,000,000	2012/08/13	2013/05/30	25.00
63037	UBS AG – HSI R Bull CBBC Jan 2013 C	300,000,000	2012/08/13	2013/01/30	75.00
63040	UBS AG – HSI R Bull CBBC Apr 2013 D	300,000,000	2012/08/13	2013/04/29	75.00
67981	UBS AG – HSI R Bear CBBC Jan 2013 E	300,000,000	2012/08/13	2013/01/30	75.00
67983	UBS AG – HSI R Bear CBBC Mar 2013 J	300,000,000	2012/08/13	2013/03/27	75.00
62272 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 D	200,000,000	2012/08/13	2012/12/28	10.00
64105	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 D	50,000,000	2012/08/14	2012/12/24	12.50
64106	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 E	50,000,000	2012/08/14	2012/12/24	12.50
64046	Credit Suisse AG – HSI R Bull CBBC Jan 2013 F	400,000,000	2012/08/14	2013/01/30	100.00
64065	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	400,000,000	2012/08/14	2013/01/30	100.00
64035	Credit Suisse AG – HSI R Bear CBBC Jan 2013 M	400,000,000	2012/08/14	2013/01/30	110.00
64036	Credit Suisse AG – HSI R Bear CBBC Jan 2013 N	400,000,000	2012/08/14	2013/01/30	100.00
64050	Credit Suisse AG – HSI R Bear CBBC Jan 2013 O	400,000,000	2012/08/14	2013/01/30	100.00
63961	Credit Suisse AG – HSI R Bear CBBC Feb 2013 G	400,000,000	2012/08/14	2013/02/27	126.00
63973	Credit Suisse AG – HSI R Bear CBBC Feb 2013 H	400,000,000	2012/08/14	2013/02/27	118.00
64012	Credit Suisse AG – HSI R Bear CBBC Feb 2013 I	400,000,000	2012/08/14	2013/02/27	108.00
64015	Credit Suisse AG – HSI R Bear CBBC Feb 2013 J	400,000,000	2012/08/14	2013/02/27	102.00
63927	Credit Suisse AG – HSI R Bear CBBC Mar 2013 G	400,000,000	2012/08/14	2013/03/27	130.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63928	Credit Suisse AG – HSI R Bear CBBC Mar 2013 H	400,000,000	2012/08/14	2013/03/27	122.00
63929	Credit Suisse AG – HSI R Bear CBBC Mar 2013 I	400,000,000	2012/08/14	2013/03/27	116.00
63946	Credit Suisse AG – HSI R Bear CBBC Mar 2013 J	400,000,000	2012/08/14	2013/03/27	106.00
64107	Credit Suisse AG – TCH R Bull CBBC Dec 2012 C	50,000,000	2012/08/14	2012/12/24	12.50
64108	Credit Suisse AG – TCH R Bull CBBC Dec 2012 D	50,000,000	2012/08/14	2012/12/24	12.50
63881	HK Bank – China Life R Bull CBBC Mar 2013 A	60,000,000	2012/08/14	2013/03/11	15.00
63904	HK Bank – CNOOC R Bull CBBC Apr 2013 A	50,000,000	2012/08/14	2013/04/08	12.50
63858	HK Bank – A50 R Bull CBBC Mar 2013 D	100,000,000	2012/08/14	2013/03/11	25.00
63889	HK Bank – HKEx R Bull CBBC Mar 2013 B	60,000,000	2012/08/14	2013/03/18	15.00
63757	HK Bank – HSI R Bull CBBC Mar 2013 G	200,000,000	2012/08/14	2013/03/27	50.00
63758	HK Bank – HSI R Bull CBBC Apr 2013 D	100,000,000	2012/08/14	2013/04/29	25.00
63850	HK Bank – HSI R Bull CBBC Apr 2013 E	100,000,000	2012/08/14	2013/04/29	25.00
63903	HK Bank – SHK Ppt R Bull CBBC Apr 2013 B	60,000,000	2012/08/14	2013/04/22	15.00
64176	J P Morgan SP BV – HSI R Bull CBBC May 2013 A	300,000,000	2012/08/14	2013/05/30	75.00
64177	J P Morgan SP BV – HSI R Bull CBBC May 2013 B	300,000,000	2012/08/14	2013/05/30	75.00
64232	J P Morgan SP BV – HSI R Bull CBBC May 2013 C	300,000,000	2012/08/14	2013/05/30	75.00
64233	J P Morgan SP BV – HSI R Bull CBBC May 2013 D	300,000,000	2012/08/14	2013/05/30	75.00
64103	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12S	300,000,000	2012/08/14	2012/12/28	75.00
64104	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12T	300,000,000	2012/08/14	2012/12/28	75.00
64136	UBS AG – CNOOC R Bear CBBC Feb 2013 A	100,000,000	2012/08/14	2013/02/25	28.50
64135	UBS AG – Sinopec Corp R Bull CBBC Mar 2013 A	100,000,000	2012/08/14	2013/03/18	25.00
64109	UBS AG – HSBC R Bull CBBC Mar 2013 A	100,000,000	2012/08/14	2013/03/11	25.00
64172	UBS AG – HSI R Bull CBBC Nov 2012 U	300,000,000	2012/08/14	2012/11/29	75.00
64160	UBS AG – HSI R Bull CBBC May 2013 I	300,000,000	2012/08/14	2013/05/30	75.00
64037	UBS AG – HSI R Bear CBBC Nov 2012 H	300,000,000	2012/08/14	2012/11/29	75.00
64153	UBS AG – ICBC R Bull CBBC Mar 2013 A	100,000,000	2012/08/14	2013/03/25	25.00
64110	UBS AG – SHK Ppt R Bear CBBC Feb 2013 A	100,000,000	2012/08/14	2013/02/18	25.00
64256	Credit Suisse AG – HSI R Bull CBBC Feb 2013 L	400,000,000	2012/08/15	2013/02/27	100.00
64245	Credit Suisse AG – HSI R Bear CBBC Nov 2012 W	400,000,000	2012/08/15	2012/11/29	100.00
64321	Daiwa Capital Mkt – HSI R Bear CBBC Feb 2013 B	158,000,000	2012/08/15	2013/02/27	39.50
64346	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 A	158,000,000	2012/08/15	2013/03/27	39.50
64357	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 B	158,000,000	2012/08/15	2013/03/27	39.50
64316	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12U	300,000,000	2012/08/15	2012/12/28	75.00
64364	UBS AG – HKEx R Bull CBBC May 2013 A	100,000,000	2012/08/15	2013/05/13	25.00
64380	UBS AG – HSCEI R Bear CBBC Nov 2012 D	100,000,000	2012/08/15	2012/11/29	25.00
64369	UBS AG – HSCEI R Bear CBBC Dec 2012 A	100,000,000	2012/08/15	2012/12/28	25.00
64387	UBS AG – HSCEI R Bear CBBC Dec 2012 B	100,000,000	2012/08/15	2012/12/28	25.00
64393	UBS AG – HSI R Bull CBBC Mar 2013 K	300,000,000	2012/08/15	2013/03/27	75.00
64234	UBS AG – HSI R Bear CBBC Jan 2013 F	300,000,000	2012/08/15	2013/01/30	75.00
64583	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 B	200,000,000	2012/08/16	2013/03/27	50.00
64589	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	200,000,000	2012/08/16	2013/04/29	50.00
64590	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	200,000,000	2012/08/16	2013/04/29	50.00
64588	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 P	200,000,000	2012/08/16	2012/12/28	50.00
64484	Credit Suisse AG – HSI R Bull CBBC Feb 2013 M	400,000,000	2012/08/16	2013/02/27	100.00
64753	Credit Suisse AG – HSI R Bull CBBC Feb 2013 N	400,000,000	2012/08/16	2013/02/27	100.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64754	Credit Suisse AG – HSI R Bull CBBC Feb 2013 O	400,000,000	2012/08/16	2013/02/27	100.00
64482	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Q	400,000,000	2012/08/16	2012/12/28	100.00
64737	Credit Suisse AG – HSI R Bear CBBC Dec 2012 W	400,000,000	2012/08/16	2012/12/28	100.00
64643	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 D	158,000,000	2012/08/16	2013/01/30	39.50
64592	Daiwa Capital Mkt – HSI R Bear CBBC Jan 2013 C	158,000,000	2012/08/16	2013/01/30	39.50
64808	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 M	150,000,000	2012/08/16	2012/11/29	38.25
64817	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 N	150,000,000	2012/08/16	2012/11/29	38.40
64845	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 E	150,000,000	2012/08/16	2012/11/29	39.45
64472	HK Bank – ABC R Bull CBBC Nov 2013 A	60,000,000	2012/08/16	2013/11/18	15.00
64474	HK Bank – BOCL R Bull CBBC Nov 2013 A	60,000,000	2012/08/16	2013/11/27	15.00
64463	HK Bank – Cheung Kong R Bear CBBC Apr 2013 A	50,000,000	2012/08/16	2013/04/15	12.50
64935	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 D	60,000,000	2012/08/16	2012/11/29	15.00
64959	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 E	60,000,000	2012/08/16	2012/11/29	15.00
64489	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	300,000,000	2012/08/16	2012/12/28	75.00
64556	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	300,000,000	2012/08/16	2012/12/28	75.00
64559	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12P	300,000,000	2012/08/16	2012/11/29	75.00
64582	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12Q	300,000,000	2012/08/16	2012/11/29	75.00
64644	UBS AG – China Life R Bull CBBC May 2013 B	100,000,000	2012/08/16	2013/05/06	25.00
64806	UBS AG – HSI R Bull CBBC May 2013 J	300,000,000	2012/08/16	2013/05/30	75.00
64804	UBS AG – HSI R Bull CBBC Jun 2013 H	300,000,000	2012/08/16	2013/06/27	75.00
64710	UBS AG – HSI R Bear CBBC Jan 2013 G	300,000,000	2012/08/16	2013/01/30	75.00
64480	UBS AG – HSI R Bear CBBC May 2013 G	300,000,000	2012/08/16	2013/05/30	75.00
65151	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 Q	200,000,000	2012/08/17	2012/12/28	50.00
65108	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	400,000,000	2012/08/17	2013/01/30	100.00
65127	Credit Suisse AG – HSI R Bull CBBC Jan 2013 K	400,000,000	2012/08/17	2013/01/30	100.00
65150	Credit Suisse AG – HSI R Bear CBBC Jan 2013 P	400,000,000	2012/08/17	2013/01/30	100.00
65164	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 F	150,000,000	2012/08/17	2012/11/29	37.95
65530	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 G	150,000,000	2012/08/17	2012/11/29	38.55
64960	HK Bank – ABC R Bear CBBC Apr 2013 A	40,000,000	2012/08/17	2013/04/22	10.00
64962	HK Bank – Cheung Kong R Bull CBBC Apr 2013 A	60,000,000	2012/08/17	2013/04/15	15.00
64961	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 C	60,000,000	2012/08/17	2013/04/08	15.00
65024	HK Bank – HSI R Bull CBBC Apr 2013 F	100,000,000	2012/08/17	2013/04/29	25.00
65036	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13E	300,000,000	2012/08/17	2013/01/30	75.00
65054	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13F	300,000,000	2012/08/17	2013/01/30	75.00
65082	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12V	300,000,000	2012/08/17	2012/12/28	75.00
65139	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12W	300,000,000	2012/08/17	2012/12/28	75.00
65130	UBS AG – HSI R Bull CBBC Dec 2012 K	300,000,000	2012/08/17	2012/12/28	75.00
65155	UBS AG – HSI R Bear CBBC Nov 2012 E	300,000,000	2012/08/17	2012/11/29	75.00
65154	UBS AG – HSI R Bear CBBC Dec 2012 X	300,000,000	2012/08/17	2012/12/28	75.00
65536	Credit Suisse AG – HSI R Bull CBBC Jan 2013 L	400,000,000	2012/08/20	2013/01/30	100.00
65532	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Q	400,000,000	2012/08/20	2013/01/30	100.00
65688	UBS AG – Cheung Kong R Bull CBBC Jun 2013 A	100,000,000	2012/08/20	2013/06/10	25.00
65531	UBS AG – HSI R Bull CBBC Apr 2013 E	300,000,000	2012/08/20	2013/04/29	75.00
65689	UBS AG – HSI R Bear CBBC Mar 2013 K	300,000,000	2012/08/20	2013/03/27	75.00
65537	UBS AG – SHK Ppt R Bull CBBC Apr 2013 A	100,000,000	2012/08/20	2013/04/22	25.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65699	Credit Suisse AG – HSI R Bull CBBC Jan 2013 M	350,000,000	2012/08/21	2013/01/30	87.50
65691	Credit Suisse AG – HSI R Bear CBBC Jan 2013 R	350,000,000	2012/08/21	2013/01/30	87.50
65932	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 O	150,000,000	2012/08/21	2012/11/29	38.25
65933	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 H	150,000,000	2012/08/21	2012/11/29	37.65
65695	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13G	300,000,000	2012/08/21	2013/01/30	75.00
65697	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12X	300,000,000	2012/08/21	2012/12/28	75.00
65856	UBS AG – China Mobile R Bull CBBC Feb 2013 B	100,000,000	2012/08/21	2013/02/18	25.00
65794	UBS AG – China Mobile R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/22	25.00
65866	UBS AG – CC Bank R Bear CBBC May 2013 A	100,000,000	2012/08/21	2013/05/06	25.00
65931	UBS AG – Cheung Kong R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/15	25.00
65755	UBS AG – China Life R Bear CBBC Feb 2013 B	100,000,000	2012/08/21	2013/02/18	25.00
65705	UBS AG – China Life R Bear CBBC Mar 2013 A	100,000,000	2012/08/21	2013/03/25	40.00
65930	UBS AG – HSBC R Bull CBBC Feb 2013 A	100,000,000	2012/08/21	2013/02/18	25.00
65912	UBS AG – HSBC R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/22	25.00
65704	UBS AG – HSI R Bull CBBC Feb 2013 L	300,000,000	2012/08/21	2013/02/27	75.00
65765	UBS AG – ICBC R Bear CBBC Apr 2013 A	100,000,000	2012/08/21	2013/04/22	25.00
65911	UBS AG – SHK Ppt R Bear CBBC Feb 2013 B	100,000,000	2012/08/21	2013/02/18	25.00
65910	UBS AG – TCH R Bear CBBC Mar 2013 A	100,000,000	2012/08/21	2013/03/25	38.00
66248	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 R	200,000,000	2012/08/22	2012/12/28	50.00
66534	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 S	200,000,000	2012/08/22	2012/12/28	50.00
66604	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 T	200,000,000	2012/08/22	2012/12/28	50.00
66737	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 U	200,000,000	2012/08/22	2012/12/28	50.00
66803	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 V	200,000,000	2012/08/22	2012/12/28	50.00
67631	Credit Suisse AG – A50 China R Bull CBBC Dec 2012 A	50,000,000	2012/08/22	2012/12/24	12.50
67516	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 A	50,000,000	2012/08/22	2013/01/28	12.50
67648	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 B	50,000,000	2012/08/22	2013/01/28	12.50
67838	Credit Suisse AG – A50 China R Bear CBBC Dec 2012 A	50,000,000	2012/08/22	2012/12/24	12.50
67922	Credit Suisse AG – A50 China R Bear CBBC Jan 2013 A	50,000,000	2012/08/22	2013/01/28	12.50
67933	Credit Suisse AG – HSI R Bull CBBC Feb 2013 P	350,000,000	2012/08/22	2013/02/27	87.50
67948	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Q	350,000,000	2012/08/22	2013/02/27	87.50
68035	Credit Suisse AG – HSI R Bear CBBC Feb 2013 K	350,000,000	2012/08/22	2013/02/27	87.50
68036	Credit Suisse AG – HSI R Bear CBBC Feb 2013 L	350,000,000	2012/08/22	2013/02/27	87.50
65982	HK Bank – China Mobile R Bull CBBC Apr 2013 A	60,000,000	2012/08/22	2013/04/15	15.00
65938	HK Bank – CUni R Bull CBBC Apr 2013 B	40,000,000	2012/08/22	2013/04/08	12.00
65946	HK Bank – China Shenhua R Bull CBBC Dec 2013 A	40,000,000	2012/08/22	2013/12/18	10.00
65994	HK Bank – A50 R Bull CBBC Feb 2013 C	100,000,000	2012/08/22	2013/02/04	25.00
65983	HK Bank – A50 R Bear CBBC Apr 2013 B	50,000,000	2012/08/22	2013/04/15	12.50
65995	HK Bank – SHK Ppt R Bull CBBC May 2013 A	60,000,000	2012/08/22	2013/05/06	15.00
68006	UBS AG – BOC HK R Bear CBBC Apr 2013 A	100,000,000	2012/08/22	2013/04/15	31.00
67962	UBS AG – BOCL R Bull CBBC Jul 2013 A	100,000,000	2012/08/22	2013/07/08	25.00
67956	UBS AG – BOCL R Bear CBBC Jun 2013 A	100,000,000	2012/08/22	2013/06/24	25.00
68029	UBS AG – CUni R Bear CBBC Apr 2013 A	100,000,000	2012/08/22	2013/04/08	25.00
68034	UBS AG – Sinopec Corp R Bull CBBC Jun 2013 A	100,000,000	2012/08/22	2013/06/17	25.00
67952	UBS AG – HSI R Bear CBBC Apr 2013 F	300,000,000	2012/08/22	2013/04/29	75.00
68086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 J	200,000,000	2012/08/23	2012/12/28	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68078	Credit Suisse AG – HSI R Bull CBBC Feb 2013 R	350,000,000	2012/08/23	2013/02/27	87.50
68085	Credit Suisse AG – HSI R Bull CBBC Feb 2013 S	350,000,000	2012/08/23	2013/02/27	87.50
68067	Credit Suisse AG – HSI R Bear CBBC Nov 2012 R	350,000,000	2012/08/23	2012/11/29	87.50
68066	Credit Suisse AG – HSI R Bear CBBC Nov 2012 X	350,000,000	2012/08/23	2012/11/29	87.50
68070	Credit Suisse AG – TCH R Bull CBBC Jan 2013 A	50,000,000	2012/08/23	2013/01/28	12.50
68072	Credit Suisse AG – TCH R Bull CBBC Jan 2013 B	50,000,000	2012/08/23	2013/01/28	12.50
68073	Credit Suisse AG – TCH R Bull CBBC Jan 2013 C	50,000,000	2012/08/23	2013/01/28	12.50
68074	Credit Suisse AG – TCH R Bear CBBC Jan 2013 A	50,000,000	2012/08/23	2013/01/28	12.50
68077	Credit Suisse AG – TCH R Bear CBBC Jan 2013 B	50,000,000	2012/08/23	2013/01/28	12.50
68098	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 I	150,000,000	2012/08/23	2012/11/29	38.10
68058	HK Bank – BOC HK R Bull CBBC Apr 2013 A	40,000,000	2012/08/23	2013/04/17	11.20
68056	HK Bank – Evergrande R Bull CBBC Oct 2013 A	50,000,000	2012/08/23	2013/10/18	12.50
68059	HK Bank – Galaxy Ent R Bear CBBC Apr 2013 A	40,000,000	2012/08/23	2013/04/24	14.00
68057	HK Bank – TCH R Bear CBBC Apr 2013 A	50,000,000	2012/08/23	2013/04/10	12.50
68111	J P Morgan SP BV – HSI R Bull CBBC May 2013 E	300,000,000	2012/08/23	2013/05/30	75.00
68114	J P Morgan SP BV – HSI R Bull CBBC May 2013 F	300,000,000	2012/08/23	2013/05/30	75.00
68100	J P Morgan SP BV – HSI R Bear CBBC May 2013 A	300,000,000	2012/08/23	2013/05/30	75.00
68105	J P Morgan SP BV – HSI R Bear CBBC May 2013 B	300,000,000	2012/08/23	2013/05/30	75.00
68068	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13H	300,000,000	2012/08/23	2013/01/30	75.00
68069	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13A	300,000,000	2012/08/23	2013/02/27	75.00
68092	UBS AG – China Mobile R Bear CBBC Feb 2013 A	100,000,000	2012/08/23	2013/02/25	25.00
68097	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 B	50,000,000	2012/08/23	2013/02/25	12.50
68090	UBS AG – HSI R Bull CBBC Nov 2012 W	300,000,000	2012/08/23	2012/11/29	75.00
68087	UBS AG – HSI R Bull CBBC Jun 2013 I	300,000,000	2012/08/23	2013/06/27	75.00
68062	UBS AG – HSI R Bear CBBC Jan 2013 H	300,000,000	2012/08/23	2013/01/30	75.00
68093	UBS AG – TCH R Bull CBBC Jun 2013 A	100,000,000	2012/08/23	2013/06/10	25.00
68150	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 K	200,000,000	2012/08/24	2012/12/28	50.00
68128	Credit Suisse AG – HSI R Bull CBBC Feb 2013 T	350,000,000	2012/08/24	2013/02/27	87.50
68119	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	350,000,000	2012/08/24	2012/11/29	87.50
68120	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Y	350,000,000	2012/08/24	2012/11/29	87.50
68152	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 P	150,000,000	2012/08/24	2012/11/29	37.50
68153	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2012 Q	150,000,000	2012/08/24	2012/11/29	37.95
68151	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 J	150,000,000	2012/08/24	2012/11/29	37.65
68116	HK Bank – China Mobile R Bear CBBC Apr 2013 A	50,000,000	2012/08/24	2013/04/15	12.50
68117	HK Bank – A50 R Bear CBBC Apr 2013 C	50,000,000	2012/08/24	2013/04/15	12.50
68118	HK Bank – TCH R Bull CBBC Feb 2013 A	50,000,000	2012/08/24	2013/02/04	12.50
68133	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13I	300,000,000	2012/08/24	2013/01/30	75.00
68136	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12I	300,000,000	2012/08/24	2012/12/28	78.00
68138	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12J	300,000,000	2012/08/24	2012/12/28	81.00
68139	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12L	300,000,000	2012/08/24	2012/12/28	85.50
68140	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12M	300,000,000	2012/08/24	2012/12/28	96.00
68121	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Y	300,000,000	2012/08/24	2012/12/28	75.00
68127	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Z	300,000,000	2012/08/24	2012/12/28	75.00
68146	UBS AG – BOC HK R Bull CBBC Jun 2013 A	50,000,000	2012/08/24	2013/06/24	12.75
68141	UBS AG – HSI R Bull CBBC Jun 2013 J	300,000,000	2012/08/24	2013/06/27	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68130	UBS AG – HSI R Bear CBBC Nov 2012 I	300,000,000	2012/08/24	2012/11/29	75.00
68129	UBS AG – HSI R Bear CBBC May 2013 H	300,000,000	2012/08/24	2013/05/30	75.00
68148	UBS AG – TCH R Bull CBBC Jan 2013 B	100,000,000	2012/08/24	2013/01/14	25.00
68147	UBS AG – TCH R Bear CBBC Apr 2013 A	100,000,000	2012/08/24	2013/04/08	48.00
68164	Credit Suisse AG – China Mobile R Bear CBBC Jan 2013 A	50,000,000	2012/08/27	2013/01/28	12.50
68165	Credit Suisse AG – China Mobile R Bear CBBC Jan 2013 B	50,000,000	2012/08/27	2013/01/28	12.50
68161	Credit Suisse AG – China Life R Bull CBBC Jan 2013 A	50,000,000	2012/08/27	2013/01/28	16.25
68162	Credit Suisse AG – China Life R Bull CBBC Jan 2013 B	50,000,000	2012/08/27	2013/01/28	12.50
68163	Credit Suisse AG – China Life R Bear CBBC Jan 2013 A	50,000,000	2012/08/27	2013/01/28	12.50
68160	Credit Suisse AG – HSI R Bull CBBC Jan 2013 T	350,000,000	2012/08/27	2013/01/30	87.50
68166	Credit Suisse AG – HSI R Bear CBBC Jan 2013 S	350,000,000	2012/08/27	2013/01/30	87.50
68154	HK Bank – A50 R Bull CBBC Apr 2013 C	100,000,000	2012/08/27	2013/04/22	25.00
68155	HK Bank – A50 R Bull CBBC Apr 2013 D	100,000,000	2012/08/27	2013/04/29	25.00
68168	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13J	300,000,000	2012/08/27	2013/01/30	75.00
68167	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12N	300,000,000	2012/08/27	2012/12/28	75.00
68181	UBS AG – A50 China R Bear CBBC Nov 2012 C	100,000,000	2012/08/27	2012/11/26	25.00
68156	UBS AG – HSI R Bull CBBC Apr 2013 F	300,000,000	2012/08/27	2013/04/29	75.00
68180	UBS AG – HSI R Bull CBBC Jun 2013 K	300,000,000	2012/08/27	2013/06/27	75.00
68169	UBS AG – HSI R Bull CBBC Sep 2013 B	300,000,000	2012/08/27	2013/09/27	75.00
68188	UBS AG – HSI R Bear CBBC Jan 2013 I	300,000,000	2012/08/27	2013/01/30	75.00
68189	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 W	200,000,000	2012/08/28	2012/12/28	50.00
68190	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 X	200,000,000	2012/08/28	2012/12/28	50.00
68191	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 Y	200,000,000	2012/08/28	2012/12/28	50.00
68218	Credit Suisse AG – HSI R Bull CBBC Feb 2013 U	350,000,000	2012/08/28	2013/02/27	87.50
68222	Credit Suisse AG – HSI R Bear CBBC Feb 2013 M	350,000,000	2012/08/28	2013/02/27	87.50
68216	HK Bank – China Mobile R Bear CBBC Apr 2013 B	50,000,000	2012/08/28	2013/04/15	12.50
68211	HK Bank – CC Bank R Bull CBBC Mar 2013 D	60,000,000	2012/08/28	2013/03/11	15.00
68204	HK Bank – A50 R Bear CBBC Apr 2013 D	50,000,000	2012/08/28	2013/04/15	12.50
68210	HK Bank – HSI R Bull CBBC May 2013 D	200,000,000	2012/08/28	2013/05/30	50.00
68198	HK Bank – ICBC R Bear CBBC Apr 2013 A	50,000,000	2012/08/28	2013/04/15	12.50
68205	HK Bank – TCH R Bull CBBC Apr 2013 A	50,000,000	2012/08/28	2013/04/10	12.50
68208	HK Bank – TCH R Bear CBBC Feb 2013 B	50,000,000	2012/08/28	2013/02/08	24.00
68225	UBS AG – HSI R Bear CBBC Mar 2013 L	300,000,000	2012/08/28	2013/03/27	75.00
68229	UBS AG – HSI R Bear CBBC Apr 2013 G	300,000,000	2012/08/28	2013/04/29	75.00
68230	UBS AG – HSI R Bear CBBC Apr 2013 H	300,000,000	2012/08/28	2013/04/29	75.00
68232	UBS AG – HSI R Bear CBBC Jun 2013 B	300,000,000	2012/08/28	2013/06/27	75.00
68227	UBS AG – Sands China R Bull CBBC Apr 2013 A	50,000,000	2012/08/28	2013/04/08	32.00
68226	UBS AG – Sands China R Bear CBBC Mar 2013 A	50,000,000	2012/08/28	2013/03/18	28.50
68275	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 L	200,000,000	2012/08/29	2012/12/28	50.00
68272	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 Z	200,000,000	2012/08/29	2012/12/28	50.00
68248	Credit Suisse AG – HSI R Bull CBBC Feb 2013 V	350,000,000	2012/08/29	2013/02/27	87.50
68251	Credit Suisse AG – HSI R Bull CBBC Feb 2013 W	350,000,000	2012/08/29	2013/02/27	87.50
68244	Credit Suisse AG – HSI R Bear CBBC Feb 2013 N	350,000,000	2012/08/29	2013/02/27	87.50
68287	Credit Suisse AG – HSI R Bear CBBC Feb 2013 P	350,000,000	2012/08/29	2013/02/27	87.50
68288	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Q	350,000,000	2012/08/29	2013/02/27	87.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68238	Credit Suisse AG – HSI R Bear CBBC Mar 2013 K	350,000,000	2012/08/29	2013/03/27	87.50
68239	Credit Suisse AG – HSI R Bear CBBC Mar 2013 L	350,000,000	2012/08/29	2013/03/27	87.50
68241	Credit Suisse AG – HSI R Bear CBBC Mar 2013 M	350,000,000	2012/08/29	2013/03/27	87.50
68242	Credit Suisse AG – HSI R Bear CBBC Apr 2013 C	350,000,000	2012/08/29	2013/04/29	87.50
68243	Credit Suisse AG – HSI R Bear CBBC Apr 2013 D	350,000,000	2012/08/29	2013/04/29	87.50
68293	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2012 K	150,000,000	2012/08/29	2012/11/29	37.80
68235	HK Bank – CNOOC R Bear CBBC Apr 2013 B	50,000,000	2012/08/29	2013/04/22	12.50
68290	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 L	60,000,000	2012/08/29	2012/12/28	15.00
68292	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 M	60,000,000	2012/08/29	2012/12/28	15.00
68252	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13B	300,000,000	2012/08/29	2013/02/27	75.00
68269	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12O	300,000,000	2012/08/29	2012/12/28	75.00
68285	UBS AG – HSCEI R Bull CBBC Apr 2013 A	100,000,000	2012/08/29	2013/04/29	25.00
68284	UBS AG – HSCEI R Bear CBBC Feb 2013 A	100,000,000	2012/08/29	2013/02/27	25.00
68283	UBS AG – HSI R Bull CBBC Jan 2013 L	300,000,000	2012/08/29	2013/01/30	75.00
68289	UBS AG – HSI R Bear CBBC Dec 2012 Y	300,000,000	2012/08/29	2012/12/28	75.00
68281	UBS AG – HSI R Bear CBBC Feb 2013 O	300,000,000	2012/08/29	2013/02/27	75.00
68277	UBS AG – HSI R Bear CBBC Mar 2013 M	300,000,000	2012/08/29	2013/03/27	75.00
68276	UBS AG – HSI R Bear CBBC Apr 2013 I	300,000,000	2012/08/29	2013/04/29	75.00
68305	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2012 M	200,000,000	2012/08/30	2012/12/28	50.00
68306	Credit Suisse AG – HSI R Bull CBBC Jan 2013 U	350,000,000	2012/08/30	2013/01/30	87.50
68307	Credit Suisse AG – HSI R Bull CBBC Jan 2013 X	350,000,000	2012/08/30	2013/01/30	87.50
68303	Credit Suisse AG – HSI R Bull CBBC Feb 2013 X	350,000,000	2012/08/30	2013/02/27	87.50
68304	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Y	350,000,000	2012/08/30	2013/02/27	87.50
68298	Credit Suisse AG – HSI R Bear CBBC Feb 2013 R	350,000,000	2012/08/30	2013/02/27	87.50
68299	Credit Suisse AG – HSI R Bear CBBC Feb 2013 S	350,000,000	2012/08/30	2013/02/27	87.50
68300	Credit Suisse AG – HSI R Bear CBBC Feb 2013 T	350,000,000	2012/08/30	2013/02/27	87.50
68296	HK Bank – Kunlun Energy R Bull CBBC Apr 2013 A	40,000,000	2012/08/30	2013/04/08	10.00
68294	HK Bank – Sands China R Bull CBBC Dec 2013 B	50,000,000	2012/08/30	2013/12/18	12.50
68309	UBS AG – HSI R Bull CBBC Jun 2013 L	300,000,000	2012/08/30	2013/06/27	75.00
68301	UBS AG – HSI R Bear CBBC Jan 2013 J	300,000,000	2012/08/30	2013/01/30	75.00
68302	UBS AG – HSI R Bear CBBC Feb 2013 P	300,000,000	2012/08/30	2013/02/27	75.00
68331	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 C	200,000,000	2012/08/31	2013/03/27	50.00
68317	Credit Suisse AG – HSI R Bull CBBC Feb 2013 L	350,000,000	2012/08/31	2013/02/27	87.50
68315	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Z	350,000,000	2012/08/31	2013/02/27	87.50
62707	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Y	350,000,000	2012/08/31	2012/12/28	87.50
68330	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 A	150,000,000	2012/08/31	2012/12/28	37.95
68327	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 A	150,000,000	2012/08/31	2012/12/28	37.80
68310	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12O	300,000,000	2012/08/31	2012/12/28	75.00
68311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	300,000,000	2012/08/31	2012/12/28	75.00
68314	UBS AG – HSI R Bull CBBC Mar 2013 L	300,000,000	2012/08/31	2013/03/27	75.00
68335	UBS AG – HSI R Bull CBBC Apr 2013 G	300,000,000	2012/08/31	2013/04/29	75.00
66834#	HK Bank – A50 R Bull CBBC Jan 2013 C	150,000,000	2012/08/31	2013/01/21	10.80
63063	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 S	200,000,000	2012/09/03	2013/04/29	50.00
63021	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	350,000,000	2012/09/03	2013/01/30	87.50
63067	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Z	350,000,000	2012/09/03	2012/12/28	87.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63069	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 B	150,000,000	2012/09/03	2012/12/28	38.25
63068	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 B	150,000,000	2012/09/03	2012/12/28	37.95
63046	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	300,000,000	2012/09/03	2012/12/28	75.00
63057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12P	300,000,000	2012/09/03	2012/12/28	75.00
63060	UBS AG – China Life R Bear CBBC Mar 2013 B	100,000,000	2012/09/03	2013/03/11	25.00
63059	UBS AG – A50 China R Bear CBBC Feb 2013 B	100,000,000	2012/09/03	2013/02/04	25.00
63061	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 C	50,000,000	2012/09/03	2013/02/25	17.00
63058	UBS AG – HSI R Bull CBBC Jun 2013 M	300,000,000	2012/09/03	2013/06/27	75.00
63064	UBS AG – HSI R Bear CBBC Feb 2013 Q	300,000,000	2012/09/03	2013/02/27	75.00
63101	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 D	200,000,000	2012/09/04	2012/12/28	50.00
63104	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 E	200,000,000	2012/09/04	2012/12/28	50.00
63085	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	350,000,000	2012/09/04	2013/01/30	87.50
63086	Credit Suisse AG – HSI R Bear CBBC Jan 2013 T	350,000,000	2012/09/04	2013/01/30	87.50
63087	Credit Suisse AG – HSI R Bear CBBC Jan 2013 U	350,000,000	2012/09/04	2013/01/30	87.50
63078	HK Bank – China Life R Bear CBBC Mar 2013 A	50,000,000	2012/09/04	2013/03/11	12.50
63081	HK Bank – A50 R Bull CBBC Apr 2013 E	100,000,000	2012/09/04	2013/04/18	25.00
63080	HK Bank – A50 R Bear CBBC Apr 2013 E	50,000,000	2012/09/04	2013/04/22	12.50
63071	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 L	60,000,000	2012/09/04	2012/12/28	15.00
63072	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 M	60,000,000	2012/09/04	2012/12/28	15.00
63070	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 A	60,000,000	2012/09/04	2013/01/30	15.00
63073	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 N	60,000,000	2012/09/04	2012/12/28	15.00
63074	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 O	60,000,000	2012/09/04	2012/12/28	15.00
63090	UBS AG – A50 China R Bull CBBC Feb 2013 B	100,000,000	2012/09/04	2013/02/18	25.00
63091	UBS AG – A50 China R Bull CBBC Mar 2013 B	100,000,000	2012/09/04	2013/03/25	25.00
63089	UBS AG – HSCEI R Bear CBBC Jan 2013 A	100,000,000	2012/09/04	2013/01/30	25.00
63084	UBS AG – HSI R Bull CBBC Dec 2012 X	300,000,000	2012/09/04	2012/12/28	75.00
63105	UBS AG – HSI R Bull CBBC Aug 2013 A	300,000,000	2012/09/04	2013/08/29	75.00
63092	UBS AG – HSI R Bear CBBC Apr 2013 J	300,000,000	2012/09/04	2013/04/29	75.00
63106	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Z	350,000,000	2012/09/05	2013/01/30	87.50
63107	Credit Suisse AG – HSI R Bear CBBC Jan 2013 V	350,000,000	2012/09/05	2013/01/30	87.50
63124	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 A	150,000,000	2012/09/05	2013/03/27	37.50
63122	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 A	150,000,000	2012/09/05	2013/01/30	37.50
63123	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 B	150,000,000	2012/09/05	2013/01/30	37.50
63108	HK Bank – Galaxy Ent R Bull CBBC Dec 2013 B	40,000,000	2012/09/05	2013/12/16	10.00
63116	HK Bank – Galaxy Ent R Bear CBBC Dec 2013 B	40,000,000	2012/09/05	2013/12/16	10.00
63125	J P Morgan SP BV – HSI R Bear CBBC May 2013 C	300,000,000	2012/09/05	2013/05/30	75.00
63138	J P Morgan SP BV – HSI R Bear CBBC May 2013 D	300,000,000	2012/09/05	2013/05/30	75.00
63118	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13K	300,000,000	2012/09/05	2013/01/30	75.00
63119	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Q	300,000,000	2012/09/05	2012/12/28	75.00
63121	UBS AG – HSI R Bull CBBC Oct 2013 A	300,000,000	2012/09/05	2013/10/30	75.00
63120	UBS AG – HSI R Bear CBBC Mar 2013 N	300,000,000	2012/09/05	2013/03/27	75.00
63149	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 G	200,000,000	2012/09/06	2013/04/29	50.00
63170	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 F	200,000,000	2012/09/06	2012/12/28	50.00
63171	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 G	200,000,000	2012/09/06	2012/12/28	50.00
63157	Credit Suisse AG – HSI R Bull CBBC Feb 2013 M	350,000,000	2012/09/06	2013/02/27	87.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63158	Credit Suisse AG – HSI R Bull CBBC Feb 2013 N	350,000,000	2012/09/06	2013/02/27	87.50
63164	Credit Suisse AG – HSI R Bear CBBC Dec 2012 I	350,000,000	2012/09/06	2012/12/28	87.50
63165	Credit Suisse AG – HSI R Bear CBBC Dec 2012 M	350,000,000	2012/09/06	2012/12/28	87.50
63166	Credit Suisse AG – HSI R Bear CBBC Dec 2012 N	350,000,000	2012/09/06	2012/12/28	87.50
63156	Bank of East Asia – CUni R Bull CBBC Feb 2013 A	60,000,000	2012/09/06	2013/02/26	15.00
63181	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 C	150,000,000	2012/09/06	2012/12/28	39.60
63182	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 C	150,000,000	2012/09/06	2012/12/28	37.95
63184	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 D	150,000,000	2012/09/06	2012/12/28	40.05
63185	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 E	150,000,000	2012/09/06	2012/12/28	41.85
63186	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 F	150,000,000	2012/09/06	2012/12/28	47.55
63147	HK Bank – CNBM R Bear CBBC Mar 2013 A	50,000,000	2012/09/06	2013/03/18	12.50
63140	HK Bank – HSCEI R Bear CBBC Mar 2013 A	68,000,000	2012/09/06	2013/03/27	17.00
63148	HK Bank – HSI R Bear CBBC Mar 2013 C	100,000,000	2012/09/06	2013/03/27	25.00
63144	HK Bank – ICBC R Bear CBBC Apr 2013 B	50,000,000	2012/09/06	2013/04/22	12.50
63152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Z	300,000,000	2012/09/06	2012/12/28	75.00
63154	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13L	300,000,000	2012/09/06	2013/01/30	75.00
63155	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13M	300,000,000	2012/09/06	2013/01/30	75.00
63179	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13N	300,000,000	2012/09/06	2013/01/30	75.00
63180	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13O	300,000,000	2012/09/06	2013/01/30	75.00
63168	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12R	300,000,000	2012/09/06	2012/12/28	75.00
63169	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13A	300,000,000	2012/09/06	2013/01/30	75.00
63177	UBS AG – Sinopec Corp R Bear CBBC Apr 2013 A	100,000,000	2012/09/06	2013/04/15	25.00
63160	UBS AG – HSI R Bull CBBC Feb 2013 M	300,000,000	2012/09/06	2013/02/27	75.00
63159	UBS AG – HSI R Bull CBBC Jun 2013 N	300,000,000	2012/09/06	2013/06/27	75.00
63172	UBS AG – HSI R Bear CBBC Dec 2012 Z	300,000,000	2012/09/06	2012/12/28	75.00
63174	UBS AG – HSI R Bear CBBC Feb 2013 R	300,000,000	2012/09/06	2013/02/27	75.00
63178	UBS AG – Hutchison R Bear CBBC Mar 2013 B	100,000,000	2012/09/06	2013/03/25	25.00
63175	UBS AG – ICBC R Bear CBBC Feb 2013 B	100,000,000	2012/09/06	2013/02/18	25.00
63176	UBS AG – TCH R Bear CBBC Apr 2013 B	100,000,000	2012/09/06	2013/04/22	25.00
63193	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Q	200,000,000	2012/09/07	2013/04/29	50.00
63208	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 H	200,000,000	2012/09/07	2012/12/28	50.00
63195	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	350,000,000	2012/09/07	2013/01/30	87.50
63203	Credit Suisse AG – HSI R Bear CBBC Jan 2013 W	350,000,000	2012/09/07	2013/01/30	87.50
63209	Credit Suisse AG – HSI R Bear CBBC Jan 2013 X	350,000,000	2012/09/07	2013/01/30	87.50
63210	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Y	350,000,000	2012/09/07	2013/01/30	87.50
63187	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 A	60,000,000	2012/09/07	2013/01/30	15.00
63189	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 B	60,000,000	2012/09/07	2013/01/30	15.00
63192	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 C	60,000,000	2012/09/07	2013/01/30	15.00
63196	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13P	300,000,000	2012/09/07	2013/01/30	75.00
63197	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13Q	300,000,000	2012/09/07	2013/01/30	75.00
63200	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13R	300,000,000	2012/09/07	2013/01/30	75.00
63201	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13C	300,000,000	2012/09/07	2013/02/27	75.00
63202	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13D	300,000,000	2012/09/07	2013/02/27	75.00
63204	UBS AG – HSI R Bull CBBC Feb 2013 N	300,000,000	2012/09/07	2013/02/27	75.00
63211	UBS AG – HSI R Bear CBBC Dec 2012 G	300,000,000	2012/09/07	2012/12/28	75.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63212	UBS AG – HSI R Bear CBBC Apr 2013 K	300,000,000	2012/09/07	2013/04/29	75.00
63218	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 R	200,000,000	2012/09/10	2013/04/29	50.00
63217	Credit Suisse AG – HSI R Bull CBBC Jan 2013 K	350,000,000	2012/09/10	2013/01/30	87.50
63227	Credit Suisse AG – HSI R Bull CBBC Jan 2013 L	350,000,000	2012/09/10	2013/01/30	87.50
63216	Credit Suisse AG – HSI R Bear CBBC Dec 2012 O	350,000,000	2012/09/10	2012/12/28	87.50
63245	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 D	150,000,000	2012/09/10	2012/12/28	37.50
63213	HK Bank – HKEX R Bear CBBC Mar 2013 B	50,000,000	2012/09/10	2013/03/20	12.50
63215	HK Bank – HSI R Bear CBBC Apr 2013 E	200,000,000	2012/09/10	2013/04/29	50.00
63214	HK Bank – ICBC R Bull CBBC May 2013 A	60,000,000	2012/09/10	2013/05/08	15.00
63237	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13S	300,000,000	2012/09/10	2013/01/30	75.00
63231	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13B	300,000,000	2012/09/10	2013/01/30	75.00
63234	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13C	300,000,000	2012/09/10	2013/01/30	75.00
63244	UBS AG – AIA R Bull CBBC May 2013 A	100,000,000	2012/09/10	2013/05/27	55.00
63243	UBS AG – HSI R Bull CBBC May 2013 K	300,000,000	2012/09/10	2013/05/30	75.00
63224	UBS AG – HSI R Bear CBBC Jan 2013 K	300,000,000	2012/09/10	2013/01/30	75.00
66676*	HK Bank – A50 R Bull CBBC Mar 2013 A	150,000,000	2012/09/10	2013/03/04	13.95
63757*	HK Bank – HSI R Bull CBBC Mar 2013 G	200,000,000	2012/09/10	2013/03/27	10.80
63264	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 C	70,000,000	2012/09/11	2013/01/28	17.50
63265	Credit Suisse AG – A50 China R Bear CBBC Jan 2013 B	70,000,000	2012/09/11	2013/01/28	17.50
63268	Credit Suisse AG – A50 China R Bear CBBC Jan 2013 C	70,000,000	2012/09/11	2013/01/28	17.50
63248	Credit Suisse AG – HSI R Bull CBBC Feb 2013 O	350,000,000	2012/09/11	2013/02/27	87.50
63249	Credit Suisse AG – HSI R Bear CBBC Feb 2013 U	350,000,000	2012/09/11	2013/02/27	87.50
63283	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 E	150,000,000	2012/09/11	2012/12/28	37.65
63280	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 G	150,000,000	2012/09/11	2012/12/28	38.25
63246	HK Bank – HSI R Bull CBBC Mar 2013 H	100,000,000	2012/09/11	2013/03/27	25.00
63250	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13T	300,000,000	2012/09/11	2013/01/30	75.00
63254	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13U	300,000,000	2012/09/11	2013/01/30	75.00
63256	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13E	300,000,000	2012/09/11	2013/02/27	75.00
63257	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13D	300,000,000	2012/09/11	2013/01/30	75.00
63272	UBS AG – Evergrande R Bull CBBC Jul 2013 A	50,000,000	2012/09/11	2013/07/08	12.50
63273	UBS AG – HSI R Bull CBBC Aug 2013 B	300,000,000	2012/09/11	2013/08/29	75.00
63278	UBS AG – HSI R Bear CBBC Apr 2013 L	300,000,000	2012/09/11	2013/04/29	75.00
63277	UBS AG – HSI R Bear CBBC Jun 2013 C	300,000,000	2012/09/11	2013/06/27	75.00
63308	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	200,000,000	2012/09/12	2013/04/29	50.00
63307	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 X	200,000,000	2012/09/12	2013/04/29	50.00
63354	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 B	200,000,000	2012/09/12	2012/12/28	50.00
63355	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 C	200,000,000	2012/09/12	2012/12/28	50.00
63309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2012 I	200,000,000	2012/09/12	2012/12/28	50.00
63306	Credit Suisse AG – HSI R Bull CBBC Jan 2013 F	350,000,000	2012/09/12	2013/01/30	87.50
63305	Credit Suisse AG – HSI R Bull CBBC Jan 2013 M	350,000,000	2012/09/12	2013/01/30	87.50
63329	Credit Suisse AG – HSI R Bear CBBC Jan 2013 A	350,000,000	2012/09/12	2013/01/30	87.50
63345	Credit Suisse AG – HSI R Bear CBBC Jan 2013 B	350,000,000	2012/09/12	2013/01/30	87.50
63346	Credit Suisse AG – HSI R Bear CBBC Jan 2013 C	350,000,000	2012/09/12	2013/01/30	87.50
63328	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Z	350,000,000	2012/09/12	2013/01/30	87.50
63330	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 C	150,000,000	2012/09/12	2013/01/30	37.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63331	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 D	150,000,000	2012/09/12	2013/01/30	37.50
63332	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 E	150,000,000	2012/09/12	2013/01/30	37.50
63333	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 A	158,000,000	2012/09/12	2013/05/30	39.50
63334	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 B	158,000,000	2012/09/12	2013/05/30	39.50
63339	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 C	158,000,000	2012/09/12	2013/03/27	39.50
63344	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 A	158,000,000	2012/09/12	2013/05/30	39.50
63351	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 H	150,000,000	2012/09/12	2012/12/28	39.90
63352	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 I	150,000,000	2012/09/12	2012/12/28	38.55
63353	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 J	150,000,000	2012/09/12	2012/12/28	37.95
63295	HK Bank – Minsheng Bank R Bull CBBC Sep 2013 A	40,000,000	2012/09/12	2013/09/18	10.00
63294	HK Bank – Evergrande R Bull CBBC Dec 2013 A	50,000,000	2012/09/12	2013/12/18	12.50
63296	HK Bank – Evergrande R Bull CBBC Dec 2013 B	50,000,000	2012/09/12	2013/12/20	12.50
63297	HK Bank – A50 R Bull CBBC Mar 2013 E	100,000,000	2012/09/12	2013/03/15	48.00
63298	HK Bank – HSI R Bear CBBC Mar 2013 D	100,000,000	2012/09/12	2013/03/27	25.00
63373	J P Morgan SP BV – HSI R Bear CBBC May 2013 E	300,000,000	2012/09/12	2013/05/30	75.00
63374	J P Morgan SP BV – HSI R Bear CBBC May 2013 F	300,000,000	2012/09/12	2013/05/30	75.00
63375	J P Morgan SP BV – HSI R Bear CBBC May 2013 G	300,000,000	2012/09/12	2013/05/30	75.00
63376	J P Morgan SP BV – HSI R Bear CBBC May 2013 H	300,000,000	2012/09/12	2013/05/30	75.00
63379	J P Morgan SP BV – HSI R Bear CBBC May 2013 I	300,000,000	2012/09/12	2013/05/30	75.00
63300	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 B	60,000,000	2012/09/12	2013/01/30	15.00
63301	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 C	60,000,000	2012/09/12	2013/01/30	15.00
63287	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 D	60,000,000	2012/09/12	2013/01/30	15.00
63299	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 E	60,000,000	2012/09/12	2013/01/30	15.00
63311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13V	300,000,000	2012/09/12	2013/01/30	75.00
63312	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13W	300,000,000	2012/09/12	2013/01/30	75.00
63313	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13F	300,000,000	2012/09/12	2013/02/27	76.50
63314	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13G	300,000,000	2012/09/12	2013/02/27	79.50
63302	UBS AG – HSCEI R Bear CBBC Dec 2012 C	100,000,000	2012/09/12	2012/12/28	25.00
63304	UBS AG – HSI R Bull CBBC Dec 2012 C	300,000,000	2012/09/12	2012/12/28	75.00
63372	UBS AG – HSI R Bull CBBC Mar 2013 M	300,000,000	2012/09/12	2013/03/27	75.00
63303	UBS AG – HSI R Bull CBBC Apr 2013 H	300,000,000	2012/09/12	2013/04/29	75.00
63371	UBS AG – HSI R Bull CBBC Jun 2013 O	300,000,000	2012/09/12	2013/06/27	75.00
63370	UBS AG – HSI R Bear CBBC Dec 2012 H	300,000,000	2012/09/12	2012/12/28	75.00
63356	UBS AG – HSI R Bear CBBC May 2013 I	300,000,000	2012/09/12	2013/05/30	75.00
63412	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	200,000,000	2012/09/13	2013/04/29	50.00
63419	Credit Suisse AG – HSI R Bull CBBC Feb 2013 P	350,000,000	2012/09/13	2013/02/27	87.50
63420	Credit Suisse AG – HSI R Bear CBBC Feb 2013 V	350,000,000	2012/09/13	2013/02/27	87.50
63421	Credit Suisse AG – HSI R Bear CBBC Feb 2013 W	350,000,000	2012/09/13	2013/02/27	87.50
63440	Credit Suisse AG – HSI R Bear CBBC Feb 2013 X	350,000,000	2012/09/13	2013/02/27	87.50
63441	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Y	350,000,000	2012/09/13	2013/02/27	87.50
63413	Credit Suisse AG – TCH R Bull CBBC Feb 2013 A	50,000,000	2012/09/13	2013/02/25	21.25
63414	Credit Suisse AG – TCH R Bull CBBC Feb 2013 B	50,000,000	2012/09/13	2013/02/25	12.50
63415	Credit Suisse AG – TCH R Bear CBBC Feb 2013 A	50,000,000	2012/09/13	2013/02/25	12.50
63417	Credit Suisse AG – TCH R Bear CBBC Feb 2013 B	50,000,000	2012/09/13	2013/02/25	12.50
63418	Credit Suisse AG – TCH R Bear CBBC Feb 2013 C	50,000,000	2012/09/13	2013/02/25	12.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63458	Daiwa Capital Mkt – HSCEI R Bear CBBC May 2013 A	80,000,000	2012/09/13	2013/05/30	20.00
63402	HK Bank – ABC R Bear CBBC Nov 2013 A	40,000,000	2012/09/13	2013/11/26	10.00
63403	HK Bank – BOCL R Bear CBBC Nov 2013 A	50,000,000	2012/09/13	2013/11/20	12.50
63463	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13 X	300,000,000	2012/09/13	2013/01/30	75.00
63465	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan13 Y	300,000,000	2012/09/13	2013/01/30	75.00
63380	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 E	300,000,000	2012/09/13	2013/01/30	75.00
63381	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 F	300,000,000	2012/09/13	2013/01/30	75.00
63390	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 G	300,000,000	2012/09/13	2013/01/30	75.00
63442	UBS AG – HSCEI R Bull CBBC Jun 2013 A	100,000,000	2012/09/13	2013/06/27	25.00
63443	UBS AG – HSCEI R Bull CBBC Jun 2013 B	100,000,000	2012/09/13	2013/06/27	25.00
63439	UBS AG – HSI R Bull CBBC Dec 2012 D	300,000,000	2012/09/13	2012/12/28	75.00
63462	UBS AG – HSI R Bull CBBC Feb 2013 O	300,000,000	2012/09/13	2013/02/27	75.00
63444	UBS AG – HSI R Bear CBBC Feb 2013 S	300,000,000	2012/09/13	2013/02/27	75.00
63457	UBS AG – HSI R Bear CBBC Feb 2013 T	300,000,000	2012/09/13	2013/02/27	75.00
63519	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	200,000,000	2012/09/14	2013/04/29	50.00
63975	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	200,000,000	2012/09/14	2013/04/29	50.00
63515	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	200,000,000	2012/09/14	2013/04/29	50.00
64007	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 U	200,000,000	2012/09/14	2013/04/29	50.00
63493	Credit Suisse AG – HSI R Bull CBBC Mar 2013 A	350,000,000	2012/09/14	2013/03/27	87.50
63495	Credit Suisse AG – HSI R Bull CBBC Mar 2013 B	350,000,000	2012/09/14	2013/03/27	87.50
63497	Credit Suisse AG – HSI R Bull CBBC Mar 2013 C	350,000,000	2012/09/14	2013/03/27	87.50
63803	Credit Suisse AG – HSI R Bull CBBC Mar 2013 D	350,000,000	2012/09/14	2013/03/27	87.50
63892	Credit Suisse AG – HSI R Bull CBBC Mar 2013 F	350,000,000	2012/09/14	2013/03/27	87.50
63536	Credit Suisse AG – HSI R Bear CBBC Dec 2012 B	350,000,000	2012/09/14	2012/12/28	87.50
63475	Credit Suisse AG – HSI R Bear CBBC Dec 2012 G	350,000,000	2012/09/14	2012/12/28	87.50
63476	Credit Suisse AG – HSI R Bear CBBC Dec 2012 S	350,000,000	2012/09/14	2012/12/28	87.50
63480	Credit Suisse AG – HSI R Bear CBBC Dec 2012 T	350,000,000	2012/09/14	2012/12/28	87.50
63483	Credit Suisse AG – HSI R Bear CBBC Dec 2012 U	350,000,000	2012/09/14	2012/12/28	87.50
63535	Credit Suisse AG – HSI R Bear CBBC Dec 2012 V	350,000,000	2012/09/14	2012/12/28	87.50
64084	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 B	150,000,000	2012/09/14	2013/03/27	37.50
64089	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 C	150,000,000	2012/09/14	2013/03/27	37.50
63864	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 E	158,000,000	2012/09/14	2013/03/27	39.50
63885	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 F	158,000,000	2012/09/14	2013/03/27	39.50
63805	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 C	158,000,000	2012/09/14	2013/05/30	39.50
63468	HK Bank – China Mobile R Bear CBBC May 2013 A	50,000,000	2012/09/14	2013/05/31	12.50
63467	HK Bank – Sinopec Corp R Bull CBBC May 2013 A	60,000,000	2012/09/14	2013/05/31	15.00
63473	HK Bank – China Shenhua R Bull CBBC Dec 2013 B	40,000,000	2012/09/14	2013/12/20	10.00
64095	J P Morgan SP BV – HSI R Bull CBBC May 2013 G	300,000,000	2012/09/14	2013/05/30	75.00
64169	J P Morgan SP BV – HSI R Bull CBBC May 2013 H	300,000,000	2012/09/14	2013/05/30	75.00
64173	J P Morgan SP BV – HSI R Bull CBBC May 2013 I	300,000,000	2012/09/14	2013/05/30	75.00
63527	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13F	300,000,000	2012/09/14	2013/01/30	75.00
63524	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13Z	300,000,000	2012/09/14	2013/01/30	75.00
63529	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13H	300,000,000	2012/09/14	2013/02/27	75.00
63893	UBS AG – China Life R Bull CBBC Jun 2013 A	100,000,000	2012/09/14	2013/06/10	25.00
63897	UBS AG – HSI R Bull CBBC Dec 2012 M	300,000,000	2012/09/14	2012/12/28	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63894	UBS AG – HSI R Bull CBBC Feb 2013 P	300,000,000	2012/09/14	2013/02/27	75.00
63938	UBS AG – HSI R Bull CBBC Feb 2013 Q	300,000,000	2012/09/14	2013/02/27	75.00
63534	UBS AG – HSI R Bear CBBC Dec 2012 E	300,000,000	2012/09/14	2012/12/28	75.00
63484	UBS AG – HSI R Bear CBBC Dec 2012 I	300,000,000	2012/09/14	2012/12/28	75.00
63485	UBS AG – HSI R Bear CBBC Apr 2013 M	300,000,000	2012/09/14	2013/04/29	75.00
64684	Credit Suisse AG – HSI R Bull CBBC Feb 2013 R	350,000,000	2012/09/17	2013/02/27	87.50
64691	Credit Suisse AG – HSI R Bull CBBC Feb 2013 S	350,000,000	2012/09/17	2013/02/27	87.50
64861	Credit Suisse AG – HSI R Bull CBBC Feb 2013 T	350,000,000	2012/09/17	2013/02/27	87.50
64673	Credit Suisse AG – HSI R Bear CBBC Jan 2013 D	350,000,000	2012/09/17	2013/01/30	87.50
64574	Credit Suisse AG – HSI R Bear CBBC Jan 2013 E	350,000,000	2012/09/17	2013/01/30	87.50
64226	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 F	150,000,000	2012/09/17	2012/12/28	39.60
64227	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 G	150,000,000	2012/09/17	2012/12/28	39.00
64229	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 H	150,000,000	2012/09/17	2012/12/28	39.45
64715	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 K	150,000,000	2012/09/17	2012/12/28	37.50
64717	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 L	150,000,000	2012/09/17	2012/12/28	38.10
64718	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 M	150,000,000	2012/09/17	2012/12/28	41.40
64731	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 N	150,000,000	2012/09/17	2012/12/28	42.15
64745	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 O	150,000,000	2012/09/17	2012/12/28	42.60
64278	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 D	60,000,000	2012/09/17	2013/01/30	15.00
64282	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 E	60,000,000	2012/09/17	2013/01/30	15.00
64304	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 F	60,000,000	2012/09/17	2013/01/30	15.00
64507	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 G	60,000,000	2012/09/17	2013/01/30	15.00
64508	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 H	60,000,000	2012/09/17	2013/01/30	15.00
64539	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 I	60,000,000	2012/09/17	2013/01/30	15.00
64560	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 J	60,000,000	2012/09/17	2013/01/30	15.00
64277	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 F	60,000,000	2012/09/17	2013/01/30	15.00
64818	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13E	300,000,000	2012/09/17	2013/01/30	75.00
64824	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13G	300,000,000	2012/09/17	2013/01/30	75.00
64846	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13H	300,000,000	2012/09/17	2013/01/30	75.00
64879	UBS AG – HSI R Bull CBBC Feb 2013 R	300,000,000	2012/09/17	2013/02/27	75.00
64871	UBS AG – HSI R Bull CBBC Apr 2013 I	300,000,000	2012/09/17	2013/04/29	75.00
64875	UBS AG – HSI R Bull CBBC Jun 2013 P	300,000,000	2012/09/17	2013/06/27	75.00
64880	UBS AG – HSI R Bull CBBC Jun 2013 Q	300,000,000	2012/09/17	2013/06/27	75.00
64862	UBS AG – HSI R Bear CBBC Apr 2013 N	300,000,000	2012/09/17	2013/04/29	75.00
64858	UBS AG – Hutchison R Bull CBBC Jun 2013 A	100,000,000	2012/09/17	2013/06/17	25.00
64857	UBS AG – TCH R Bear CBBC Mar 2013 B	100,000,000	2012/09/17	2013/03/04	33.00
64998	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 A	200,000,000	2012/09/18	2013/04/29	50.00
65000	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 B	200,000,000	2012/09/18	2013/04/29	50.00
64963	Credit Suisse AG – HSI R Bull CBBC Feb 2013 H	350,000,000	2012/09/18	2013/02/27	87.50
64970	Credit Suisse AG – HSI R Bull CBBC Feb 2013 I	350,000,000	2012/09/18	2013/02/27	87.50
65003	Credit Suisse AG – HSI R Bull CBBC Feb 2013 J	350,000,000	2012/09/18	2013/02/27	87.50
64997	Credit Suisse AG – HSI R Bear CBBC Feb 2013 E	350,000,000	2012/09/18	2013/02/27	87.50
64996	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Z	350,000,000	2012/09/18	2013/02/27	87.50
64919	HK Bank – China Life R Bull CBBC May 2013 A	60,000,000	2012/09/18	2013/05/31	15.00
64888	HK Bank – A50 R Bull CBBC Apr 2013 F	100,000,000	2012/09/18	2013/04/08	25.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64892	HK Bank – A50 R Bull CBBC Apr 2013 G	100,000,000	2012/09/18	2013/04/08	25.00
64881	HK Bank – HKEx R Bull CBBC Apr 2013 A	60,000,000	2012/09/18	2013/04/30	15.00
64920	HK Bank – HSI R Bull CBBC Mar 2013 I	100,000,000	2012/09/18	2013/03/27	25.00
64936	HK Bank – HSI R Bull CBBC Mar 2013 J	200,000,000	2012/09/18	2013/03/27	50.00
64940	HK Bank – HSI R Bull CBBC Jun 2013 C	150,000,000	2012/09/18	2013/06/27	37.50
64971	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13H	300,000,000	2012/09/18	2013/01/30	75.00
64994	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13I	300,000,000	2012/09/18	2013/01/30	75.00
64942	UBS AG – HSI R Bull CBBC Jan 2013 P	300,000,000	2012/09/18	2013/01/30	75.00
65025	UBS AG – HSI R Bull CBBC Jun 2013 R	300,000,000	2012/09/18	2013/06/27	75.00
65027	UBS AG – HSI R Bull CBBC Aug 2013 C	300,000,000	2012/09/18	2013/08/29	75.00
65001	UBS AG – HSI R Bear CBBC Jun 2013 D	300,000,000	2012/09/18	2013/06/27	75.00
65304	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	200,000,000	2012/09/19	2013/04/29	50.00
65351	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 S	200,000,000	2012/09/19	2013/04/29	50.00
65105	Credit Suisse AG – HSI R Bull CBBC Jan 2013 J	350,000,000	2012/09/19	2013/01/30	87.50
65106	Credit Suisse AG – HSI R Bull CBBC Jan 2013 T	350,000,000	2012/09/19	2013/01/30	87.50
65395	Credit Suisse AG – HSI R Bull CBBC Jan 2013 U	350,000,000	2012/09/19	2013/01/30	87.50
65452	Credit Suisse AG – HSI R Bull CBBC Jan 2013 V	350,000,000	2012/09/19	2013/01/30	87.50
65028	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Q	350,000,000	2012/09/19	2012/12/28	87.50
65053	Credit Suisse AG – HSI R Bear CBBC Dec 2012 X	350,000,000	2012/09/19	2012/12/28	87.50
65478	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 I	300,000,000	2012/09/19	2013/04/29	75.00
65513	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 J	300,000,000	2012/09/19	2013/04/29	75.00
65526	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 K	300,000,000	2012/09/19	2013/04/29	75.00
65168	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13I	300,000,000	2012/09/19	2013/01/30	75.00
65184	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13J	300,000,000	2012/09/19	2013/01/30	75.00
65098	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13J	300,000,000	2012/09/19	2013/01/30	75.00
65102	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13K	300,000,000	2012/09/19	2013/01/30	75.00
65261	UBS AG – CC Bank R Bull CBBC Jul 2013 A	100,000,000	2012/09/19	2013/07/15	25.00
65218	UBS AG – CNBM R Bull CBBC Jun 2013 A	50,000,000	2012/09/19	2013/06/24	12.50
65464	UBS AG – HSI R Bull CBBC Apr 2013 J	300,000,000	2012/09/19	2013/04/29	75.00
65467	UBS AG – HSI R Bull CBBC Jun 2013 S	300,000,000	2012/09/19	2013/06/27	75.00
65063	UBS AG – HSI R Bear CBBC Dec 2012 J	300,000,000	2012/09/19	2012/12/28	75.00
65066	UBS AG – HSI R Bear CBBC Feb 2013 U	300,000,000	2012/09/19	2013/02/27	75.00
65228	UBS AG – Ping An R Bull CBBC Apr 2013 A	100,000,000	2012/09/19	2013/04/22	25.00
65285	UBS AG – SHK Ppt R Bull CBBC May 2013 A	100,000,000	2012/09/19	2013/05/06	25.00
65262	UBS AG – TCH R Bull CBBC Jul 2013 A	100,000,000	2012/09/19	2013/07/08	25.00
65752	Credit Suisse AG – HSI R Bull CBBC Feb 2013 U	350,000,000	2012/09/20	2013/02/27	87.50
65812	Credit Suisse AG – HSI R Bull CBBC Feb 2013 V	350,000,000	2012/09/20	2013/02/27	87.50
65588	Credit Suisse AG – HSI R Bear CBBC Dec 2012 I	350,000,000	2012/09/20	2012/12/28	87.50
65830	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 I	150,000,000	2012/09/20	2012/12/28	39.45
65832	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 J	150,000,000	2012/09/20	2012/12/28	37.95
65823	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 P	150,000,000	2012/09/20	2012/12/28	37.50
65561	HK Bank – Galaxy Ent R Bull CBBC Apr 2013 A	40,000,000	2012/09/20	2013/04/12	16.00
65540	HK Bank – HSI R Bull CBBC May 2013 E	100,000,000	2012/09/20	2013/05/30	25.00
65527	HK Bank – HSI R Bull CBBC Jun 2013 D	100,000,000	2012/09/20	2013/06/27	25.00
65564	HK Bank – Hutchison R Bull CBBC Jun 2013 A	60,000,000	2012/09/20	2013/06/28	15.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65747	UBS AG – HSCEI R Bull CBBC Mar 2013 A	100,000,000	2012/09/20	2013/03/27	25.00
65589	UBS AG – HSI R Bull CBBC Feb 2013 S	300,000,000	2012/09/20	2013/02/27	75.00
65565	UBS AG – HSI R Bear CBBC Mar 2013 O	300,000,000	2012/09/20	2013/03/27	75.00
65743	UBS AG – HSI R Bear CBBC May 2013 J	300,000,000	2012/09/20	2013/05/30	75.00
65692	UBS AG – HSI R Bear CBBC Jun 2013 E	300,000,000	2012/09/20	2013/06/27	75.00
62023 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 B	160,000,000	2012/09/20	2012/12/28	10.56
66921	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 A	200,000,000	2012/09/21	2013/05/30	50.00
66938	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 B	200,000,000	2012/09/21	2013/05/30	50.00
67013	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 C	200,000,000	2012/09/21	2013/05/30	50.00
67014	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 D	200,000,000	2012/09/21	2013/05/30	50.00
67015	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 E	200,000,000	2012/09/21	2013/05/30	50.00
68052	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 F	200,000,000	2012/09/21	2013/05/30	50.00
65907	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 A	200,000,000	2012/09/21	2013/02/27	50.00
65908	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 B	200,000,000	2012/09/21	2013/02/27	50.00
65914	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 C	200,000,000	2012/09/21	2013/02/27	50.00
65915	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 D	200,000,000	2012/09/21	2013/02/27	50.00
65916	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 E	200,000,000	2012/09/21	2013/02/27	50.00
65935	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 F	200,000,000	2012/09/21	2013/02/27	50.00
65937	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 G	200,000,000	2012/09/21	2013/02/27	50.00
65942	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 H	200,000,000	2012/09/21	2013/02/27	50.00
67204	Credit Suisse AG – HSI R Bull CBBC Feb 2013 G	350,000,000	2012/09/21	2013/02/27	87.50
67212	Credit Suisse AG – HSI R Bull CBBC Feb 2013 K	350,000,000	2012/09/21	2013/02/27	87.50
66271	Credit Suisse AG – HSI R Bull CBBC Mar 2013 G	350,000,000	2012/09/21	2013/03/27	87.50
66612	Credit Suisse AG – HSI R Bull CBBC Mar 2013 H	350,000,000	2012/09/21	2013/03/27	87.50
66635	Credit Suisse AG – HSI R Bull CBBC Mar 2013 I	350,000,000	2012/09/21	2013/03/27	87.50
66645	Credit Suisse AG – HSI R Bull CBBC Mar 2013 J	350,000,000	2012/09/21	2013/03/27	87.50
66646	Credit Suisse AG – HSI R Bull CBBC Mar 2013 K	350,000,000	2012/09/21	2013/03/27	87.50
66100	Credit Suisse AG – HSI R Bear CBBC Dec 2012 M	350,000,000	2012/09/21	2012/12/28	87.50
66101	Credit Suisse AG – HSI R Bear CBBC Dec 2012 N	350,000,000	2012/09/21	2012/12/28	87.50
66259	Credit Suisse AG – HSI R Bear CBBC Dec 2012 O	350,000,000	2012/09/21	2012/12/28	87.50
66272	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Y	350,000,000	2012/09/21	2012/12/28	87.50
66162	Credit Suisse AG – HSI R Bear CBBC Dec 2012 Z	350,000,000	2012/09/21	2012/12/28	87.50
66290	Credit Suisse AG – HSI R Bear CBBC Apr 2013 E	350,000,000	2012/09/21	2013/04/29	87.50
66342	Credit Suisse AG – HSI R Bear CBBC Apr 2013 F	350,000,000	2012/09/21	2013/04/29	87.50
66345	Credit Suisse AG – HSI R Bear CBBC Apr 2013 G	350,000,000	2012/09/21	2013/04/29	94.50
66348	Credit Suisse AG – HSI R Bear CBBC Apr 2013 H	350,000,000	2012/09/21	2013/04/29	106.75
66405	Credit Suisse AG – HSI R Bear CBBC Apr 2013 I	350,000,000	2012/09/21	2013/04/29	120.75
66436	Credit Suisse AG – HSI R Bear CBBC May 2013 A	350,000,000	2012/09/21	2013/05/30	87.50
66443	Credit Suisse AG – HSI R Bear CBBC May 2013 B	350,000,000	2012/09/21	2013/05/30	89.25
66454	Credit Suisse AG – HSI R Bear CBBC May 2013 C	350,000,000	2012/09/21	2013/05/30	101.50
66455	Credit Suisse AG – HSI R Bear CBBC May 2013 D	350,000,000	2012/09/21	2013/05/30	112.00
66476	Credit Suisse AG – HSI R Bear CBBC May 2013 E	350,000,000	2012/09/21	2013/05/30	126.00
66531	Credit Suisse AG – HSI R Bear CBBC Jun 2013 A	350,000,000	2012/09/21	2013/06/27	87.50
66536	Credit Suisse AG – HSI R Bear CBBC Jun 2013 B	350,000,000	2012/09/21	2013/06/27	92.75
66554	Credit Suisse AG – HSI R Bear CBBC Jun 2013 C	350,000,000	2012/09/21	2013/06/27	103.25

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66558	Credit Suisse AG – HSI R Bear CBBC Jun 2013 D	350,000,000	2012/09/21	2013/06/27	119.00
66562	Credit Suisse AG – HSI R Bear CBBC Jun 2013 E	350,000,000	2012/09/21	2013/06/27	129.50
67062	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 D	60,000,000	2012/09/21	2013/03/27	15.00
67083	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 E	60,000,000	2012/09/21	2013/03/27	15.00
67093	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 F	60,000,000	2012/09/21	2013/03/27	15.00
67095	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 F	60,000,000	2012/09/21	2013/01/30	15.00
67144	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 G	60,000,000	2012/09/21	2013/01/30	15.00
67166	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 H	60,000,000	2012/09/21	2013/01/30	15.00
67898	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 G	158,000,000	2012/09/21	2013/03/27	39.50
67958	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2013 A	158,000,000	2012/09/21	2013/04/29	39.50
67940	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2013 B	158,000,000	2012/09/21	2013/06/27	39.50
67936	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 D	158,000,000	2012/09/21	2013/03/27	39.50
67932	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2013 A	158,000,000	2012/09/21	2013/04/29	39.50
67913	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 B	158,000,000	2012/09/21	2013/05/30	39.50
67930	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 C	158,000,000	2012/09/21	2013/05/30	39.50
67900	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2013 A	158,000,000	2012/09/21	2013/06/27	44.24
67901	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2013 B	158,000,000	2012/09/21	2013/06/27	39.50
67899	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2013 A	158,000,000	2012/09/21	2013/09/27	50.56
66816	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 K	150,000,000	2012/09/21	2012/12/28	38.25
66854	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 L	150,000,000	2012/09/21	2012/12/28	38.10
66862	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 M	150,000,000	2012/09/21	2012/12/28	38.10
66864	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 N	150,000,000	2012/09/21	2012/12/28	38.25
66865	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 O	150,000,000	2012/09/21	2012/12/28	38.10
67960	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 Q	150,000,000	2012/09/21	2012/12/28	37.95
67963	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 R	150,000,000	2012/09/21	2012/12/28	38.10
67966	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 S	150,000,000	2012/09/21	2012/12/28	37.95
67969	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 T	150,000,000	2012/09/21	2012/12/28	39.00
66647	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 L	300,000,000	2012/09/21	2013/04/29	75.00
66653	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 M	300,000,000	2012/09/21	2013/04/29	75.00
66684	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 N	300,000,000	2012/09/21	2013/04/29	75.00
67818	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 K	60,000,000	2012/09/21	2013/01/30	15.00
67819	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 L	60,000,000	2012/09/21	2013/01/30	15.00
67857	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 M	60,000,000	2012/09/21	2013/01/30	15.00
67885	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 N	60,000,000	2012/09/21	2013/01/30	15.00
67893	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 O	60,000,000	2012/09/21	2013/01/30	15.00
67894	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 P	60,000,000	2012/09/21	2013/01/30	15.00
67895	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 Q	60,000,000	2012/09/21	2013/01/30	15.00
67897	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 R	60,000,000	2012/09/21	2013/01/30	15.00
67665	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 G	60,000,000	2012/09/21	2013/01/30	15.00
67706	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 H	60,000,000	2012/09/21	2013/01/30	15.00
67710	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 I	60,000,000	2012/09/21	2013/01/30	15.00
67739	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 J	60,000,000	2012/09/21	2013/01/30	15.00
67814	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 K	60,000,000	2012/09/21	2013/01/30	15.00
65877	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13K	300,000,000	2012/09/21	2013/01/30	75.00
65878	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13L	300,000,000	2012/09/21	2013/01/30	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66914	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13M	300,000,000	2012/09/21	2013/01/30	75.00
66871	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13I	300,000,000	2012/09/21	2013/02/27	75.00
66873	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13J	300,000,000	2012/09/21	2013/02/27	75.00
66876	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13K	300,000,000	2012/09/21	2013/02/27	75.00
66867	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12P	300,000,000	2012/09/21	2012/12/28	75.00
66866	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12R	300,000,000	2012/09/21	2012/12/28	75.00
65876	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13L	300,000,000	2012/09/21	2013/01/30	75.00
65993	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13M	300,000,000	2012/09/21	2013/01/30	75.00
66002	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13N	300,000,000	2012/09/21	2013/01/30	75.00
66006	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13O	300,000,000	2012/09/21	2013/01/30	75.00
66038	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13P	300,000,000	2012/09/21	2013/01/30	75.00
66042	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13Q	300,000,000	2012/09/21	2013/01/30	87.00
66050	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13R	300,000,000	2012/09/21	2013/01/30	91.50
65963	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13A	300,000,000	2012/09/21	2013/02/27	102.00
65967	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13B	300,000,000	2012/09/21	2013/02/27	106.50
65979	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13C	300,000,000	2012/09/21	2013/02/27	109.50
65980	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13D	300,000,000	2012/09/21	2013/02/27	112.50
65990	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13E	300,000,000	2012/09/21	2013/02/27	115.50
67341	UBS AG – China Life R Bull CBBC Mar 2013 B	100,000,000	2012/09/21	2013/03/25	25.00
67417	UBS AG – CNOOC R Bull CBBC Mar 2013 A	100,000,000	2012/09/21	2013/03/04	25.00
67514	UBS AG – HKEx R Bull CBBC May 2013 B	100,000,000	2012/09/21	2013/05/20	25.00
67482	UBS AG – HKEx R Bear CBBC Mar 2013 A	100,000,000	2012/09/21	2013/03/25	26.50
67591	UBS AG – HSBC R Bull CBBC Jun 2013 A	100,000,000	2012/09/21	2013/06/03	25.00
67588	UBS AG – HSBC R Bear CBBC Feb 2013 B	100,000,000	2012/09/21	2013/02/25	25.00
68014	UBS AG – HSI R Bull CBBC Dec 2012 G	300,000,000	2012/09/21	2012/12/28	75.00
68051	UBS AG – HSI R Bull CBBC Dec 2012 N	300,000,000	2012/09/21	2012/12/28	75.00
68017	UBS AG – HSI R Bull CBBC Mar 2013 N	300,000,000	2012/09/21	2013/03/27	75.00
68015	UBS AG – HSI R Bull CBBC Apr 2013 K	300,000,000	2012/09/21	2013/04/29	75.00
68049	UBS AG – HSI R Bull CBBC May 2013 L	300,000,000	2012/09/21	2013/05/30	75.00
66081	UBS AG – HSI R Bear CBBC Dec 2012 K	300,000,000	2012/09/21	2012/12/28	75.00
66067	UBS AG – HSI R Bear CBBC Dec 2012 O	300,000,000	2012/09/21	2012/12/28	75.00
66080	UBS AG – HSI R Bear CBBC Mar 2013 P	300,000,000	2012/09/21	2013/03/27	75.00
66085	UBS AG – HSI R Bear CBBC Jun 2013 F	300,000,000	2012/09/21	2013/06/27	75.00
68005	UBS AG – HSI R Bear CBBC Jul 2013 B	300,000,000	2012/09/21	2013/07/30	75.00
68002	UBS AG – HSI R Bear CBBC Aug 2013 A	300,000,000	2012/09/21	2013/08/29	75.00
67401	UBS AG – ICBC R Bull CBBC Jun 2013 A	100,000,000	2012/09/21	2013/06/24	25.00
67515	UBS AG – SHK Ppt R Bear CBBC Apr 2013 A	100,000,000	2012/09/21	2013/04/29	25.00
62022#	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 A	340,000,000	2012/09/21	2012/12/28	10.54
66837#	HK Bank – China Mobile R Bull CBBC Feb 2013 B	200,000,000	2012/09/21	2013/02/04	11.60
68207	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 A	50,000,000	2012/09/24	2013/02/25	12.50
68237	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 B	50,000,000	2012/09/24	2013/02/25	12.50
68240	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 C	50,000,000	2012/09/24	2013/02/25	12.50
68245	Credit Suisse AG – A50 China R Bear CBBC Feb 2013 A	50,000,000	2012/09/24	2013/02/25	12.50
68250	Credit Suisse AG – HSI R Bull CBBC Apr 2013 A	350,000,000	2012/09/24	2013/04/29	87.50
68253	Credit Suisse AG – HSI R Bull CBBC Apr 2013 B	350,000,000	2012/09/24	2013/04/29	87.50



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68255	Credit Suisse AG – HSI R Bull CBBC Apr 2013 C	350,000,000	2012/09/24	2013/04/29	87.50
68263	Credit Suisse AG – HSI R Bull CBBC Apr 2013 D	350,000,000	2012/09/24	2013/04/29	87.50
68339	Credit Suisse AG – HSI R Bull CBBC Apr 2013 E	350,000,000	2012/09/24	2013/04/29	87.50
68265	Credit Suisse AG – HSI R Bear CBBC Jan 2013 F	350,000,000	2012/09/24	2013/01/30	87.50
68332	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	350,000,000	2012/09/24	2013/07/30	98.00
68333	Credit Suisse AG – HSI R Bear CBBC Jul 2013 B	350,000,000	2012/09/24	2013/07/30	105.00
68334	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	350,000,000	2012/09/24	2013/07/30	108.50
68338	Credit Suisse AG – HSI R Bear CBBC Jul 2013 D	350,000,000	2012/09/24	2013/07/30	112.00
68343	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 P	150,000,000	2012/09/24	2012/12/28	37.95
68340	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 U	150,000,000	2012/09/24	2012/12/28	37.95
68341	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 V	150,000,000	2012/09/24	2012/12/28	37.50
68342	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 W	150,000,000	2012/09/24	2012/12/28	37.65
68134	HK Bank – CC Bank R Bull CBBC Jun 2013 A	60,000,000	2012/09/24	2013/06/28	15.00
68076	HK Bank – Cheung Kong R Bull CBBC Jun 2013 A	60,000,000	2012/09/24	2013/06/28	15.00
68084	HK Bank – HKEEx R Bull CBBC May 2013 B	60,000,000	2012/09/24	2013/05/03	15.00
68088	HK Bank – HKEEx R Bull CBBC May 2013 C	60,000,000	2012/09/24	2013/05/10	15.00
68064	HK Bank – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/09/24	2013/03/27	50.00
68075	HK Bank – HSI R Bull CBBC Mar 2013 L	100,000,000	2012/09/24	2013/03/27	25.00
68079	HK Bank – SHK Ppt R Bull CBBC Mar 2013 A	60,000,000	2012/09/24	2013/03/28	15.00
68104	HK Bank – TCH R Bull CBBC May 2013 A	50,000,000	2012/09/24	2013/05/20	12.50
68344	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 S	60,000,000	2012/09/24	2013/01/30	15.00
68179	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12O	300,000,000	2012/09/24	2012/12/28	75.00
68197	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Q	300,000,000	2012/09/24	2012/12/28	75.00
68203	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12R	300,000,000	2012/09/24	2012/12/28	75.00
68172	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12W	300,000,000	2012/09/24	2012/12/28	75.00
68199	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Y	300,000,000	2012/09/24	2012/12/28	75.00
68295	UBS AG – AIA R Bear CBBC Mar 2013 A	100,000,000	2012/09/24	2013/03/18	31.00
68273	UBS AG – China Life R Bear CBBC Mar 2013 C	100,000,000	2012/09/24	2013/03/04	42.50
68319	UBS AG – Galaxy Ent R Bear CBBC Feb 2013 D	50,000,000	2012/09/24	2013/02/25	21.25
68297	UBS AG – HKEEx R Bear CBBC Mar 2013 B	100,000,000	2012/09/24	2013/03/04	31.00
68357	UBS AG – HSI R Bull CBBC Jan 2013 J	300,000,000	2012/09/24	2013/01/30	75.00
68358	UBS AG – HSI R Bull CBBC Jan 2013 O	300,000,000	2012/09/24	2013/01/30	75.00
68355	UBS AG – HSI R Bull CBBC Mar 2013 O	300,000,000	2012/09/24	2013/03/27	75.00
68356	UBS AG – HSI R Bull CBBC Mar 2013 P	300,000,000	2012/09/24	2013/03/27	75.00
68351	UBS AG – HSI R Bear CBBC Jan 2013 L	300,000,000	2012/09/24	2013/01/30	75.00
68268	UBS AG – HSI R Bear CBBC Feb 2013 V	300,000,000	2012/09/24	2013/02/27	75.00
68345	UBS AG – HSI R Bear CBBC Mar 2013 Q	300,000,000	2012/09/24	2013/03/27	75.00
68353	UBS AG – HSI R Bear CBBC Mar 2013 R	300,000,000	2012/09/24	2013/03/27	75.00
68346	UBS AG – HSI R Bear CBBC Apr 2013 O	300,000,000	2012/09/24	2013/04/29	75.00
68329	UBS AG – Hutchison R Bull CBBC Jun 2013 B	100,000,000	2012/09/24	2013/06/03	25.00
68321	UBS AG – Hutchison R Bear CBBC Mar 2013 C	100,000,000	2012/09/24	2013/03/11	25.00
68390	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 I	200,000,000	2012/09/25	2013/02/27	50.00
68363	Credit Suisse AG – HSI R Bull CBBC Jan 2013 S	350,000,000	2012/09/25	2013/01/30	87.50
68360	Credit Suisse AG – HSI R Bull CBBC Jan 2013 W	350,000,000	2012/09/25	2013/01/30	87.50
68361	Credit Suisse AG – HSI R Bull CBBC Jan 2013 X	350,000,000	2012/09/25	2013/01/30	87.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68364	Credit Suisse AG – HSI R Bear CBBC Jan 2013 G	350,000,000	2012/09/25	2013/01/30	87.50
68388	Credit Suisse AG – HSI R Bear CBBC Jan 2013 K	350,000,000	2012/09/25	2013/01/30	87.50
68393	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 X	120,000,000	2012/09/25	2012/12/28	30.48
68406	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 Y	120,000,000	2012/09/25	2012/12/28	31.08
68359	HK Bank – AIA R Bull CBBC Mar 2013 B	50,000,000	2012/09/25	2013/03/25	20.00
68375	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12T	300,000,000	2012/09/25	2012/12/28	75.00
68376	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12U	300,000,000	2012/09/25	2012/12/28	75.00
68374	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12V	300,000,000	2012/09/25	2012/12/28	75.00
68377	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12X	300,000,000	2012/09/25	2012/12/28	75.00
68378	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12Z	300,000,000	2012/09/25	2012/12/28	75.00
68385	UBS AG – HSI R Bull CBBC Dec 2012 O	300,000,000	2012/09/25	2012/12/28	75.00
68382	UBS AG – HSI R Bull CBBC May 2013 M	300,000,000	2012/09/25	2013/05/30	75.00
68380	UBS AG – HSI R Bull CBBC Jul 2013 D	300,000,000	2012/09/25	2013/07/30	75.00
68381	UBS AG – HSI R Bull CBBC Jul 2013 E	300,000,000	2012/09/25	2013/07/30	75.00
68379	UBS AG – HSI R Bear CBBC Jul 2013 C	300,000,000	2012/09/25	2013/07/30	75.00
68387	UBS AG – TCH R Bear CBBC Apr 2013 C	100,000,000	2012/09/25	2013/04/08	34.00
68445	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 G	200,000,000	2012/09/26	2013/05/30	50.00
68447	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 H	200,000,000	2012/09/26	2013/05/30	50.00
68482	Credit Suisse AG – HSI R Bull CBBC Feb 2013 L	350,000,000	2012/09/26	2013/02/27	87.50
68460	Credit Suisse AG – HSI R Bull CBBC Feb 2013 X	350,000,000	2012/09/26	2013/02/27	87.50
68481	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Y	350,000,000	2012/09/26	2013/02/27	87.50
68441	Credit Suisse AG – HSI R Bear CBBC Feb 2013 F	350,000,000	2012/09/26	2013/02/27	87.50
68451	Credit Suisse AG – HSI R Bear CBBC Feb 2013 O	350,000,000	2012/09/26	2013/02/27	87.50
68483	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 G	60,000,000	2012/09/26	2013/03/27	15.00
68484	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 I	60,000,000	2012/09/26	2013/01/30	15.00
68485	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 J	60,000,000	2012/09/26	2013/01/30	15.00
68489	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2013 B	158,000,000	2012/09/26	2013/04/29	39.50
68497	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 Q	150,000,000	2012/09/26	2012/12/28	39.30
68498	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2012 R	150,000,000	2012/09/26	2012/12/28	39.60
68496	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 C	150,000,000	2012/09/26	2012/12/28	41.85
68495	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 Z	150,000,000	2012/09/26	2012/12/28	40.05
68409	HK Bank – CUni R Bull CBBC Jun 2013 A	40,000,000	2012/09/26	2013/06/24	10.00
68411	HK Bank – CNOOC R Bull CBBC Mar 2013 A	50,000,000	2012/09/26	2013/03/20	20.00
68422	HK Bank – CNOOC R Bull CBBC Jun 2013 A	50,000,000	2012/09/26	2013/06/17	12.50
68424	HK Bank – Galaxy Ent R Bull CBBC May 2013 A	40,000,000	2012/09/26	2013/05/13	16.80
68423	HK Bank – Galaxy Ent R Bear CBBC Feb 2013 B	40,000,000	2012/09/26	2013/02/18	14.00
68407	HK Bank – HSI R Bull CBBC Apr 2013 G	100,000,000	2012/09/26	2013/04/29	25.00
68425	HK Bank – Hutchison R Bull CBBC Jun 2013 B	60,000,000	2012/09/26	2013/06/24	15.00
68410	HK Bank – SHK Ppt R Bear CBBC May 2013 A	50,000,000	2012/09/26	2013/05/06	12.50
68426	J P Morgan SP BV – HSI R Bear CBBC May 2013 J	300,000,000	2012/09/26	2013/05/30	75.00
68427	J P Morgan SP BV – HSI R Bear CBBC May 2013 K	300,000,000	2012/09/26	2013/05/30	75.00
68428	J P Morgan SP BV – HSI R Bear CBBC May 2013 L	300,000,000	2012/09/26	2013/05/30	75.00
68429	J P Morgan SP BV – HSI R Bear CBBC May 2013 M	300,000,000	2012/09/26	2013/05/30	75.00
68438	J P Morgan SP BV – HSI R Bear CBBC May 2013 N	300,000,000	2012/09/26	2013/05/30	75.00
68439	J P Morgan SP BV – HSI R Bear CBBC May 2013 O	300,000,000	2012/09/26	2013/05/30	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68499	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 T	60,000,000	2012/09/26	2013/01/30	15.00
68491	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13L	300,000,000	2012/09/26	2013/02/27	75.00
68492	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13M	300,000,000	2012/09/26	2013/02/27	75.00
68493	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 13F	300,000,000	2012/09/26	2013/02/27	75.00
68474	UBS AG – China Mobile R Bull CBBC Jun 2013 A	100,000,000	2012/09/26	2013/06/17	25.00
68476	UBS AG – CC Bank R Bull CBBC Jul 2013 B	100,000,000	2012/09/26	2013/07/29	25.00
68461	UBS AG – China Life R Bear CBBC Apr 2013 A	100,000,000	2012/09/26	2013/04/08	50.00
68477	UBS AG – HSBC R Bear CBBC Mar 2013 A	100,000,000	2012/09/26	2013/03/18	25.00
68494	UBS AG – HSI R Bull CBBC Apr 2013 L	300,000,000	2012/09/26	2013/04/29	75.00
68442	UBS AG – HSI R Bear CBBC Feb 2013 W	300,000,000	2012/09/26	2013/02/27	75.00
68443	UBS AG – HSI R Bear CBBC Apr 2013 P	300,000,000	2012/09/26	2013/04/29	75.00
64140 <sup>#</sup>	HK Bank – A50 R Bull CBBC Mar 2013 C	200,000,000	2012/09/26	2013/03/25	10.60
62717 <sup>#</sup>	HK Bank – HSI R Bear CBBC Feb 2013 A	200,000,000	2012/09/26	2013/02/27	18.40
68558	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 J	200,000,000	2012/09/27	2013/02/27	50.00
68535	Credit Suisse AG – HSI R Bull CBBC Mar 2013 L	350,000,000	2012/09/27	2013/03/27	87.50
68543	Credit Suisse AG – HSI R Bear CBBC Mar 2013 N	350,000,000	2012/09/27	2013/03/27	87.50
68555	Credit Suisse AG – HSI R Bear CBBC Mar 2013 O	350,000,000	2012/09/27	2013/03/27	87.50
68557	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2012 D	150,000,000	2012/09/27	2012/12/28	37.80
68523	HK Bank – BOCL R Bull CBBC Sep 2013 A	60,000,000	2012/09/27	2013/09/18	15.00
68526	HK Bank – China Mobile R Bull CBBC Jun 2013 A	60,000,000	2012/09/27	2013/06/17	15.00
68529	HK Bank – China Mobile R Bull CBBC Jul 2013 A	60,000,000	2012/09/27	2013/07/08	15.00
68525	HK Bank – CC Bank R Bull CBBC Jul 2013 A	60,000,000	2012/09/27	2013/07/22	15.00
68500	HK Bank – A50 R Bull CBBC Jun 2013 A	100,000,000	2012/09/27	2013/06/24	25.00
68532	HK Bank – HSI R Bear CBBC Jul 2013 A	200,000,000	2012/09/27	2013/07/30	50.00
68501	HK Bank – ICBC R Bull CBBC Jul 2013 A	60,000,000	2012/09/27	2013/07/08	15.00
68534	HK Bank – Sands China R Bull CBBC Dec 2013 C	50,000,000	2012/09/27	2013/12/18	12.50
68548	UBS AG – HSI R Bull CBBC May 2013 N	300,000,000	2012/09/27	2013/05/30	75.00
68556	UBS AG – HSI R Bear CBBC Jan 2013 M	300,000,000	2012/09/27	2013/01/30	75.00
68614	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 A	200,000,000	2012/09/28	2013/09/27	50.00
68563	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	350,000,000	2012/09/28	2013/01/30	87.50
68564	Credit Suisse AG – HSI R Bear CBBC Jan 2013 O	350,000,000	2012/09/28	2013/01/30	87.50
68559	Bank of East Asia – COVS R Bear CBBC Apr 2013 A	60,000,000	2012/09/28	2013/04/02	19.80
68570	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 A	300,000,000	2012/09/28	2013/06/27	75.00
68572	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 B	300,000,000	2012/09/28	2013/06/27	75.00
68574	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 D	300,000,000	2012/09/28	2013/04/29	75.00
68575	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 E	300,000,000	2012/09/28	2013/04/29	75.00
68576	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 F	300,000,000	2012/09/28	2013/04/29	75.00
68580	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 G	300,000,000	2012/09/28	2013/04/29	75.00
68612	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12N	300,000,000	2012/09/28	2012/12/28	75.00
68611	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12Q	300,000,000	2012/09/28	2012/12/28	75.00
68583	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13S	300,000,000	2012/09/28	2013/01/30	93.00
68584	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13T	300,000,000	2012/09/28	2013/01/30	90.00
68587	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13U	300,000,000	2012/09/28	2013/01/30	75.00
68609	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13V	300,000,000	2012/09/28	2013/01/30	75.00
68615	UBS AG – HSCEI R Bull CBBC Jan 2013 B	100,000,000	2012/09/28	2013/01/30	25.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68616	UBS AG – HSI R Bull CBBC Jan 2013 W	300,000,000	2012/09/28	2013/01/30	75.00
68618	UBS AG – HSI R Bull CBBC Aug 2013 D	300,000,000	2012/09/28	2013/08/29	75.00
68617	UBS AG – HSI R Bear CBBC Dec 2012 P	300,000,000	2012/09/28	2012/12/28	75.00
68562	UBS AG – HSI R Bear CBBC Mar 2013 S	300,000,000	2012/09/28	2013/03/27	75.00
<b>Total</b>					<b>94,844.71</b>

# Further issue

# DR/ELI/Trading Only Stocks Trading Statistics

## Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q3	3	62.60
	Q4	4	38.72
2012	Q1	4	37.53
	Q2	4	14.88
	Q3	4	10.47

## Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q3	–	–
	Q4	–	–
2012	Q1	–	–
	Q2	–	–
	Q3	–	–

## NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2011	Q3	7	0.13
	Q4	7	0.00
2012	Q1	7	0.00
	Q2	7	0.14
	Q3	7	0.49

## iShares

		No. of issues	Turnover value (HK\$ mil.)
2011	Q3	2	–
	Q4	2	–
2012	Q1	2	–
	Q2	2	–
	Q3	2	–

# GEM Equities

## Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2011	Q3	40,276.54	10,135.61	316,791
	Q4	41,259.61	9,971.36	288,715
2012	Q1	41,517.67	12,232.86	343,014
	Q2	33,806.65	6,874.87	228,059
	Q3	33,483.43	5,395.70	206,623

## Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q3	63	160.88	31,995
	Q4	62	160.83	34,537
2012	Q1	61	200.54	35,663
	Q2	61	112.70	30,145
	Q3	64	84.31	26,114

## 20 Most Advanced GEM Stocks

for 3rd quarter 2012

Rank	Code	Stock	Closing price		% up
			End of Sep 2012	End of Jun 2012	
1	08212	CELEBRATE INT	0.365	0.138	164.49
2	08173	PAN ASIA MINING	0.450	0.196	129.59
3	08325	ORIENTAL CITY	1.080	0.500	116.00
4	08041	LUXEY INT'L	0.040	0.019	110.53
5	08232	CHINA U-TON	0.830	0.440	88.64
6	08329	NEP INTERLONG	0.580	0.310	87.10
7	08048	EXCEL TECH	0.370	0.219	68.95
8	08076	SING LEE	0.295	0.184	60.33
9	08340	VINCO FINANCIAL	0.172	0.109	57.80
10	08103	TAI SHING	0.208	0.136	52.94
11	08055	CHI E-LEARNING	0.177	0.120	47.50
12	08256	NETEL	0.128	0.088	45.45
13	08206	C COMM TELE SER	0.345	0.239	44.35
14	08008	SUNEVISION	1.860	1.340	38.81
15	08049	JILIN CHANGLONG	0.790	0.580	36.21
16	08210	ADVANCED CARD	0.510	0.375	36.00
17	08198	MELCOLOT	0.130	0.099	31.31
18	08219	BRANDINGCHINA	2.830	2.200	28.64
19	08269	WEALTH GLORY	0.180	0.140	28.57
20	08021	WLS HOLDINGS	0.066	0.052	26.92

A Adjusted

# GEM Equities

## 20 Most Declined GEM Stocks

for 3rd quarter 2012

Rank	Code	Stock	Closing price		% down
			End of Sep 2012	End of Jun 2012	
1	08009	CHI ENGY HOLD	0.095	0.250 A	-62.00
2	08081	COMPUTECH	0.040	0.100	-60.00
3	08071	CH NETCOMTECH	0.120	0.270	-55.56
4	08192	GLOBAL ENERGY	0.041	0.085	-51.76
5	08202	INNO-TECH HOLD	0.250	0.485	-48.45
6	08108	FAVA INT'L	0.063	0.119	-47.06
7	08175	CHI DIGITAL LIC	0.128	0.225	-43.11
8	08096	RUIFENG PETRO	0.082	0.139	-41.01
9	08011	POLYARD PETRO	0.160	0.260	-38.46
10	08080	NAS HOLDING-NEW	0.185	0.300 A	-38.33
11	08163	MERDEKA RES	0.010	0.016	-37.50
12	08137	HONBRIDGE	0.465	0.700	-33.57
13	08047	CHI NENG XIAO	0.076	0.114	-33.33
14	08078	CHINA3D DIGIT	0.015	0.022	-31.82
15	08158	CHINA BIO-MED	0.166	0.239	-30.54
16	08279	AGTECH HOLDINGS	0.131	0.185	-29.19
17	08156	CHINA VANGUARD	0.050	0.070	-28.57
18	08025	ACR HOLDINGS	0.340	0.470	-27.66
19	08130	ZHI CHENG H	0.240	0.325	-26.15
20	08003	GREAT WORLD	0.065	0.087	-25.29

A Adjusted

# GEM Equities

## 20 Most Active GEM Stocks by Value

for 3rd quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08207	CREDIT CHINA	479.56	8.89
2	08202	INNO-TECH HOLD	374.03	6.93
3	08008	SUNEVISION	281.32	5.21
4	08085	ZMAY HOLDINGS	280.40	5.20
5	08356	CNC HOLDINGS	220.01	4.08
6	08103	TAI SHING	194.24	3.60
7	08046	HENG XIN CHINA	186.19	3.45
8	08212	CELEBRATE INT	178.86	3.31
9	08041	LUXEY INT'L	173.90	3.22
10	08116	C FORTUNE INV	165.81	3.07
11	08325	ORIENTAL CITY	149.54	2.77
12	08239	MING KEI HOLD	145.64	2.70
13	08078	CHINA3D DIGIT	124.64	2.31
14	08150	SEAMLESS GREEN	113.38	2.10
15	08083	INNOVATIONPAY	102.22	1.89
16	08137	HONBRIDGE	102.06	1.89
17	08228	NATIONAL ARTS	99.05	1.84
18	08173	PAN ASIA MINING	98.08	1.82
19	08351	LARRY JEWELRY	84.11	1.56
20	08096	RUIFENG PETRO	79.66	1.48
<b>Total</b>			<b>3,632.71</b>	<b>67.33</b>



# GEM Equities

## 20 Most Active GEM Stock by Shares

for 3rd quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08078	CHINA3D DIGIT	6,855.19	20.47
2	08041	LUXEY INT'L	4,904.70	14.65
3	08116	C FORTUNE INV	2,122.59	6.34
4	08096	RUIFENG PETRO	1,024.15	3.06
5	08085	ZMAY HOLDINGS	1,012.23	3.02
6	08163	MERDEKA RES	985.41	2.94
7	08103	TAI SHING	851.64	2.54
8	08080	NAS HOLDING-NEW	796.92	2.38
9	08212	CELEBRATE INT	745.65	2.23
10	08202	INNO-TECH HOLD	743.47	2.22
11	08207	CREDIT CHINA	706.54	2.11
12	08081	COMPUTECH	699.22	2.09
13	08046	HENG XIN CHINA	637.11	1.90
14	08082	SAGE INT'L GP	507.65	1.52
15	08179	GAYETY HLDGS	490.24	1.46
16	08037	LOGLIFE GROUP	438.08	1.31
17	08272	C FOOD&BEV GP	404.69	1.21
18	08150	SEAMLESS GREEN	367.84	1.10
19	08083	INNOVATIONPAY	363.46	1.09
20	08239	MING KEI HOLD	342.66	1.02
<b>Total</b>			<b>24,999.43</b>	<b>74.66</b>

# GEM Equities

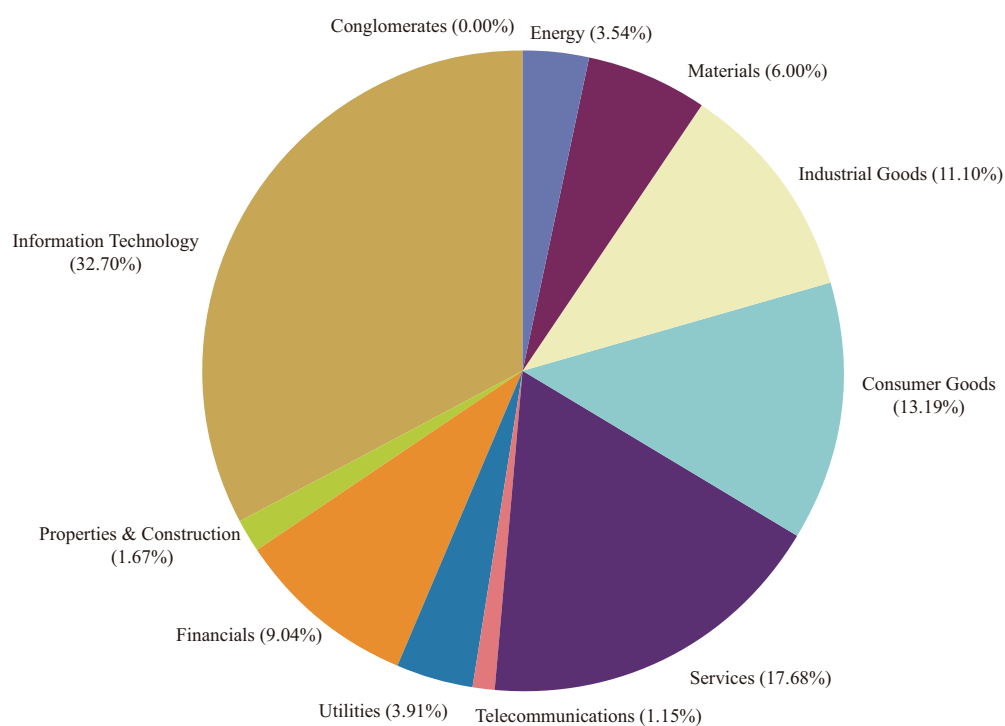
## Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2011	Q3	77,346.08
	Q4	84,589.06
2012	Q1	84,618.24
	Q2	77,357.91
	Q3	73,436.35

## Market Capitalisation by Hang Seng Industry Classification System\* – GEM

Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

# GEM Equities

## 50 Leading Companies by Market Capitalisation – GEM

as at the 3rd quarter end 2012

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08008 Sunevision Holdings Ltd.	4,319.35	5.88
2	08086 ePRO Ltd.	3,666.38	4.99
3	08137 Honbridge Holdings Ltd.	2,890.29	3.94
4	08035 Binhai Investment Co. Ltd.	2,397.12	3.26
5	08122 LifeTech Scientific Corporation	2,375.00	3.23
6	08207 Credit China Holdings Ltd.	1,446.36	1.97
7	08232 China U-Ton Holdings Ltd.	1,394.40	1.90
8	08270 China Leason CBM & Shale Gas Group Co. Ltd.	1,281.31	1.74
9	08292 HC International, Inc.	1,259.85	1.72
10	08005 Yuxing InfoTech Investment Holdings Ltd.	1,257.03	1.71
11	08158 China Bio-Med Regeneration Technology Ltd.	1,246.97	1.70
12	08032 Viva China Holdings Ltd.	1,240.32	1.69
13	08167 Neo Telemedia Ltd.	1,186.73	1.62
14	08356 CNC Holdings Ltd.	1,172.31	1.60
15	08155 South China Land Ltd.	1,151.39	1.57
16	08083 China Innovationpay Group Ltd.	1,124.91	1.53
17	08116 China Fortune Investments (Holding) Ltd.	1,119.05	1.52
18	08128 China Ground Source Energy Ltd.	1,078.66	1.47
19	08075 Media Asia Group Holdings Ltd.	1,038.08	1.41
20	08020 Chanceton Financial Group Ltd.	1,030.00	1.40
21	08090 China Assurance Finance Group Ltd.	1,016.40	1.38
22	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	972.55	1.32
23	08066 Phoenitron Holdings Ltd.	966.19	1.32
24	08085 ZMAY Holdings Ltd.	888.83	1.21
25	08046 Heng Xin China Holdings Ltd.	800.45	1.09
26	08102 Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	688.22	0.94
27	08029 Sun International Resources Ltd.	686.42	0.93
28	08161 China LotSynergy Holdings Ltd.	655.83	0.89
29	08325 Oriental City Group Holdings Ltd.	648.00	0.88
30	08240 China City Railway Transportation Technology Hldgs Co. Ltd.	608.00	0.83
31	08030 Flying Financial Service Holdings Ltd.	591.92	0.81
32	08228 National Arts Holdings Ltd.	584.10	0.80
33	08016 China Data Broadcasting Holdings Ltd.	567.80	0.77
34	08219 Branding China Group Ltd.	566.00	0.77
35	08061 AcrossAsia Ltd.	552.04	0.75
36	08123 First China Financial Network Holdings Ltd.	547.94	0.75
37	08192 Global Energy Resources International Group Ltd.	514.47	0.70
38	08279 AGTech Holdings Ltd.	503.56	0.69
39	08351 Larry Jewelry International Co. Ltd.	483.58	0.66
40	08261 Haitian Hydropower International Ltd.	475.00	0.65
41	08096 Ruifeng Petroleum Chemical Holdings Ltd.	450.20	0.61
42	08153 Code Agriculture (Holdings) Ltd.	442.35	0.60
43	08112 Focus Media Network Ltd.	419.84	0.57
44	08203 Kaisun Energy Group Ltd.	418.72	0.57
45	08206 China Communication Telecom Services Co. Ltd.	412.17	0.56
46	08173 Pan Asia Mining Ltd.	410.48	0.56
47	08109 Creative Energy Solutions Holdings Ltd.	407.16	0.55
48	08220 Bingo Group Holdings Ltd.	402.39	0.55
49	08048 Excel Technology International Holdings Ltd.	375.57	0.51
50	08231 Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	372.24	0.51
<b>Total</b>		<b>51,103.93</b>	<b>69.59</b>
<b>Market Total</b>		<b>73,436.35</b>	<b>100.00</b>

# GEM Equities

## New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2011	Q3	3	193.04
	Q4	5	549.31
2012	Q1	3	189.80
	Q2	4	617.66
	Q3	3	179.40

## Newly Listed Companies for GEM

for 3rd quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08261	Haitian Hydropower International Ltd.	2012/07/06	1,000,000,000	75.00
08146	Mastercraft International Holdings Ltd.	2012/07/20	480,000,000	42.00
08168	UKF (Holdings) Ltd.	2012/08/24	960,000,000	62.40
<b>Total</b>				<b>179.40</b>

## Withdrawal of listed Companies – GEM

for 3rd quarter 2012

Code	Company name	Last trading date	Delisted date
08070	Zhongyu Gas Holdings Ltd. *	2012/07/10	2012/07/11 §
08251	Shanghai Tonva Petrochemical Co., Ltd. – H Shares *	2012/07/13	2012/07/16 §

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

## Company Name Changes for GEM

for 3rd quarter 2012

Code	Old name	New name	Effective date	Adoption date
08172	KH Investment Holdings Ltd.	China Media and Films Holdings Ltd.	2012/05/18	2012/07/06
08116	China Public Healthcare (Holding) Ltd.	China Fortune Investments (Holding) Ltd.	2012/06/06	2012/07/12
08212	Hong Kong Life Group Holdings Ltd.	Celebrate International Holdings Ltd.	2012/08/20	2012/09/13
08009	iMerchants Ltd.	Chinese Energy Holdings Ltd.	2012/09/12	2012/09/25
08047	Palmpay China (Holdings) Ltd.	China Neng Xiao Technology (Group) Ltd.	2012/08/28	2012/09/26

# GEM Equities

## Bonus Issues/Bonus Warrants for GEM

for 3rd quarter 2012

Code	Company	Particulars	Ex-date
NIL			

## Share Split/Consolidation for GEM

for 3rd quarter 2012

Code	Company	Particulars	Effective date
08009	Chinese Energy Holdings Ltd.	Consolidation 25 into 1	2012/07/12
08178	China Information Technology Development Ltd.	Consolidation 10 into 1	2012/08/03
08080	North Asia Strategic Holdings Ltd.	Consolidation 10 into 1	2012/09/17
08220	Bingo Group Holdings Ltd.	Consolidation 2 into 1	2012/09/18
08022	TLT Lottotainment Group Ltd.	Consolidation 10 into 1	2012/09/19

## Rights Issues & Open Offers for GEM

for 3rd quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	08212	Celebrate International Holdings Ltd.	Rts 5 for 1 @\$0.138	88.22
Aug	08239	Ming Kei Holdings Ltd.	Open offer 1 for 2 @\$0.43	49.56
Sep	08321	China Automotive Interior Decoration Holdings Ltd.	Open offer 2 for 1 @\$0.10	72.00
	08022	TLT Lottotainment Group Ltd.	Rts 1 for 2 @\$0.05	9.85
<b>Total</b>				<b>219.63</b>

# GEM Equities

## Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2012					
Jul	08096	RUIFENG PETRO	388,411,819	0.200	77.68
	08150	SEAMLESS GREEN	23,500,000	0.395	9.28
	08050	YUNBO DIGITAL	10,000,000	0.141	1.41
Aug	08085	ZMAY HOLDINGS	498,500,000	0.200	99.70
	08128	C G SOURCE ENGY	850,000,000	0.410	348.50
	08009	CHI ENGY HOLD	145,590,000	0.110	16.01
	08239	MING KEI HOLD	46,100,000	0.360	16.60
Sep	08150	SEAMLESS GREEN	23,440,000	0.310	7.27
	08158	CHINA BIO-MED	455,000,000	0.220	100.10
	08078	CHINA3D DIGIT	1,500,000,000	0.015	22.50
	08017	LONG SUCCESS	31,000,000	0.120	3.72
	08037	LOGLIFE GROUP	192,000,000	0.155	29.76
	08103	TAI SHING	260,000,000	0.115	29.90
	08269	WEALTH GLORY	247,448,000	0.170	42.07
	08269	WEALTH GLORY	42,400,000	0.189	8.01
<b>Total</b>					<b>812.51</b>

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

\*\* Supplementary information update for previous quarters.

# GEM Warrants

## Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2011	Q3	2	0.23	25.46
	Q4	2	0.07	15.97
2012	Q1	2	–	15.97
	Q2	2	0.27	15.17
	Q3	1	0.13	8.00

## Newly Listed Equity GEM Warrants

for 3rd quarter 2012

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
<b>Total</b>					<b>–</b>

# Stock Market Indices

## Hang Seng Index



## S&P/HKEx LargeCap Index



## S&P/HKEx GEM Index





# Stock Market Indices

## Hong Kong Stock Market Indices

Index	End of Sep 2012 Close	End of Jun 2012 Close	Change %
S&P/HKEx LargeCap Index	24,927.48	23,320.85	+ 6.89%
S&P/HKEx GEM Index	348.25	383.12	- 9.10%
HANG SENG INDEX	20,840.38	19,441.46	+ 7.20%
SECTORIAL INDICES			
Finance	27,879.70	26,480.04	+ 5.29%
Utilities	50,101.10	45,749.83	+ 9.51%
Properties	29,329.97	24,594.72	+ 19.25%
Commerce & Industry	12,401.73	11,651.78	+ 6.44%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	4,081.79	3,796.96	+ 7.50%
HANG SENG CHINA ENTERPRISES INDEX	9,831.62	9,574.84	+ 2.68%

# Stock Market Indices

## WORLD INDICES

Index	End of Sep 2012 Close	End of Jun 2012 Close	Change %
<b>HONG KONG</b>			
S&P/HKEx LargeCap Index	24,927.48	23,320.85	+ 6.89%
Hang Seng Index	20,840.38	19,441.46	+ 7.20%
<b>AUSTRALIA</b>			
All Ordinaries Index	4,406.34	4,135.46	+ 6.55%
<b>JAKARTA</b>			
Composite Index	4,262.56	3,955.58	+ 7.76%
<b>JAPAN</b>			
Nikkei (225)	8,870.16	9,006.78	- 1.52%
<b>KOREA</b>			
Composite Index	1,996.21	1,854.01	+ 7.67%
<b>KUALA LUMPUR</b>			
Composite Index	1,636.66	1,599.15	+ 2.35%
<b>MANILA</b>			
Composite Index	5,346.10	5,246.41	+ 1.90%
<b>NEW ZEALAND</b>			
NZSE (50)	3,834.15	3,399.84	+ 12.77%
<b>SHANGHAI</b>			
A Shares Index	2,184.71	2,330.50	- 6.26%
B Shares Index	220.00	235.63	- 6.64%
<b>SHENZHEN</b>			
A Shares Index	893.20	963.74	- 7.32%
B Shares Index	573.43	627.46	- 8.61%
<b>SINGAPORE</b>			
FTSE Straits Times Index	3,060.34	2,878.45	+ 6.32%
<b>TAIWAN</b>			
Taipei Weighted Stock Index	7,715.16	7,296.28	+ 5.74%
<b>THAILAND</b>			
SET Index	1,298.79	1,172.11	+ 10.81%
<b>NEW YORK</b>			
DJIA	13,437.13	12,880.09	+ 4.32%
Nasdaq Composite Index	2,799.19	2,935.05	- 4.63%
<b>TORONTO</b>			
S&P/TSX Composite Index	12,317.46	11,596.56	+ 6.22%
<b>GERMANY</b>			
DAX Capital Value Index	3,944.69	3,507.44	+ 12.47%
<b>LONDON</b>			
FTSE (100)	5,742.10	5,571.10	+ 3.07%

# Derivatives Market – Futures and Options

## Contract Volume by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2012	3rd Quarter 2011
Hang Seng Index Futures	4,924,352	6,611,545
Hang Seng Index Options	2,289,204	3,097,483
Mini-Hang Seng Index Futures	2,086,235	3,084,022
Mini-Hang Seng Index Options	309,341	282,713
H-shares Index Futures	3,813,494	4,358,785
H-shares Index Options	1,536,898	981,265
Mini H-shares Index Futures	335,449	585,017
Flexible Hang Seng Index Options	2,200	–
Flexible H-shares Index Options	1,956	6,170
HSI Dividend Point Index Futures	10,760	809
HSCEI Dividend Point Index Futures	68,524	24,394
HSI Volatility Index Futures <sup>1</sup>	324	–
IBOVESPA Futures <sup>2</sup>	–	–
MICEX Index Futures <sup>3</sup>	–	–
Sensex Index Futures <sup>4</sup>	21	–
FTSE/JSE Top40 Futures <sup>5</sup>	–	–
Stock Futures	68,787	132,733
Stock Options	13,428,912	21,961,666
Three-month HIBOR Futures	–	165
One-month HIBOR Futures	–	43
Three-year Exchange Fund Note Futures	–	–
USD/CNH Futures <sup>6</sup>	2,172	–
Gold Futures	–	1,311
<b>Total</b>	<b>28,878,629</b>	<b>41,128,121</b>

<sup>1</sup> Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

<sup>2</sup> Trading in IBOVESPA Futures commenced on 30 Mar 2012

<sup>3</sup> Trading in MICEX Index Futures commenced on 30 Mar 2012

<sup>4</sup> Trading in Sensex Index Futures commenced on 30 Mar 2012

<sup>5</sup> Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

<sup>6</sup> Trading in USD/CNH Futures commenced on 17 Sep 2012

# Derivatives Market – Futures and Options

## Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q3	6,611,545	128,201
	Q4	5,717,012	86,409
2012	Q1	5,140,968	99,821
	Q2	5,405,446	103,069
	Q3	4,924,352	124,794

## Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q3	3,084,022	11,015
	Q4	2,873,202	5,129
2012	Q1	2,349,431	6,653
	Q2	2,278,107	6,063
	Q3	2,086,235	7,350

## Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q3	3,097,483	431,313
	Q4	2,311,629	184,402
2012	Q1	2,163,972	284,586
	Q2	2,661,654	346,519
	Q3	2,289,204	364,960

## H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q3	4,358,785	155,125
	Q4	4,067,025	106,277
2012	Q1	3,860,446	117,764
	Q2	3,973,006	127,099
	Q3	3,813,494	142,443

## Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2011	Q3	24,566.72	21,961,666	8,112,569
	Q4	16,977.30	17,604,585	5,329,494
2012	Q1	9,756.42	14,545,164	4,971,657
	Q2	8,578.21	13,741,210	5,060,742
	Q3	7,354.69	13,428,912	4,658,740

# Derivatives Market – Futures and Options

## Stock Options Premium by Class

for 3rd Quarter 2012

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	246.77	3.36%
ABC	ABC	92.08	1.25%
ANHUI CONCH	ACC	38.18	0.52%
AIA	AIA	178.77	2.43%
CHALCO	ALC	14.35	0.20%
BANK OF CHINA	BCL	72.70	0.99%
BANKCOMM	BCM	49.97	0.68%
BANK OF E ASIA	BEA	4.82	0.07%
BELLE INT'L	BIH	23.16	0.31%
BOC HONG KONG	BOC	44.88	0.61%
BYD COMPANY	BYD	26.20	0.36%
CCB	CCB	282.02	3.83%
CHINA COMM CONS	CCC	9.05	0.12%
CHINA COAL	CCE	54.76	0.74%
CHINA COSCO	CCS	8.35	0.11%
CHINA MOBILE	CHT	985.16	13.39%
CHINA UNICOM	CHU	78.31	1.06%
CITIC PACIFIC	CIT	15.63	0.21%
CHEUNG KONG	CKH	314.13	4.27%
CHINA LIFE	CLI	389.94	5.30%
CLP HOLDINGS	CLP	16.16	0.22%
CM BANK	CMB	99.41	1.35%
CNOOC	CNC	202.09	2.75%
CHINA OVERSEAS	COL	156.68	2.13%
CATHAY PAC AIR	CPA	4.20	0.06%
SINOPEC CORP	CPC	103.58	1.41%
CPIC	CPI	43.11	0.59%
CHINA RAIL CONS	CRC	10.83	0.15%
CHINA RAILWAY	CRG	4.27	0.06%
X WISECSI300ETF	CS3	2.50	0.03%
CHINA SHENHUA	CSE	102.00	1.39%
CITIC BANK	CTB	12.46	0.17%
CHINA TELECOM	CTC	51.32	0.70%
ESPRIT HOLDINGS	ESP	14.76	0.20%
FIH	FIH	2.52	0.03%
GALAXY ENT	GLX	34.27	0.47%
POWER ASSETS	HEH	32.69	0.44%
HKEX	HEX	206.76	2.81%
HSBC HOLDINGS	HKB	740.80	10.07%
HK & CHINA GAS	HKG	6.44	0.09%
HENDERSON LAND	HLD	34.88	0.47%
HUANENG POWER	HNP	9.22	0.13%
HANG SENG BANK	HSB	9.83	0.13%
HUTCHISON	HWL	359.04	4.88%
ICBC	ICB	211.76	2.88%
JIANGXI COPPER	JXC	76.04	1.03%
LI & FUNG	LIF	71.74	0.98%
MTR CORPORATION	MTR	3.49	0.05%
CNBM	NBM	52.33	0.71%
NEW WORLD DEV	NWD	24.01	0.33%
PING AN	PAI	274.81	3.74%
PETROCHINA	PEC	220.57	3.00%
PICC P&C	PIC	58.73	0.80%
R&F PROPERTIES	RFP	14.36	0.20%
SANDS CHINA LTD	SAN	47.05	0.64%
SHK PPT	SHK	391.14	5.32%
STANCHART	STC	44.51	0.61%
SWIRE PACIFIC A	SWA	47.93	0.65%
TENCENT	TCH	500.77	6.81%
TRACKER FUND	TRF	6.55	0.09%
WHARF HOLDINGS	WHL	39.77	0.54%
YANZHOU COAL	YZC	68.06	0.93%
ZIJIN MINING	ZJM	12.01	0.16%
<b>Total</b>		<b>7,354.69</b>	<b>100.00%</b>

# Derivatives Market – Futures and Options

## Stock Options Contract Volume by Class

for 3rd Quarter 2012

Options class		Contract volume	% of total
X ISHARES A50	A50	159,974	1.19%
ABC	ABC	67,204	0.50%
ANHUI CONCH	ACC	86,738	0.65%
AIA	AIA	272,987	2.03%
CHALCO	ALC	39,832	0.30%
BANK OF CHINA	BCL	696,916	5.19%
BANKCOMM	BCM	178,102	1.33%
BANK OF E ASIA	BEA	32,810	0.24%
BELLE INT'L	BIH	39,661	0.30%
BOC HONG KONG	BOC	146,067	1.09%
BYD COMPANY	BYD	59,684	0.44%
CCB	CCB	1,560,533	11.62%
CHINA COMM CONS	CCC	36,308	0.27%
CHINA COAL	CCE	145,651	1.08%
CHINA COSCO	CCS	71,630	0.53%
CHINA MOBILE	CHT	974,642	7.26%
CHINA UNICOM	CHU	78,803	0.59%
CITIC PACIFIC	CIT	28,911	0.22%
CHEUNG KONG	CKH	144,702	1.08%
CHINA LIFE	CLI	585,052	4.36%
CLP HOLDINGS	CLP	38,035	0.28%
CM BANK	CMB	325,518	2.42%
CNOOC	CNC	399,263	2.97%
CHINA OVERSEAS	COL	119,038	0.89%
CATHAY PAC AIR	CPA	8,262	0.06%
SINOPEC CORP	CPC	212,949	1.59%
CPIC	CPI	55,674	0.41%
CHINA RAIL CONS	CRC	54,354	0.40%
CHINA RAILWAY	CRG	32,154	0.24%
X WISECSI300ETF	CS3	2,388	0.02%
CHINA SHENHUA	CSE	208,877	1.56%
CITIC BANK	CTB	53,904	0.40%
CHINA TELECOM	CTC	131,719	0.98%
ESPRIT HOLDINGS	ESP	193,921	1.44%
FIH	FIH	10,795	0.08%
GALAXY ENT	GLX	47,425	0.35%
POWER ASSETS	HEH	52,595	0.39%
HKEX	HEX	705,634	5.25%
HSBC HOLDINGS	HKB	1,089,779	8.12%
HK & CHINA GAS	HKG	17,104	0.13%
HENDERSON LAND	HLD	28,710	0.21%
HUANENG POWER	HNP	21,157	0.16%
HANG SENG BANK	HSB	65,015	0.48%
HUTCHISON	HWL	245,370	1.83%
ICBC	ICB	1,379,897	10.28%
JIANGXI COPPER	JXC	135,676	1.01%
LI & FUNG	LIF	61,980	0.46%
MTR CORPORATION	MTR	30,681	0.23%
CNBM	NBM	63,298	0.47%
NEW WORLD DEV	NWD	60,292	0.45%
PING AN	PAI	315,921	2.35%
PETROCHINA	PEC	334,975	2.49%
PICC P&C	PIC	77,230	0.58%
R&F PROPERTIES	RFP	57,618	0.43%
SANDS CHINA LTD	SAN	113,430	0.84%
SHK PPT	SHK	224,153	1.67%
STANCHART	STC	167,640	1.25%
SWIRE PACIFIC A	SWA	47,803	0.36%
TENCENT	TCH	680,577	5.07%
TRACKER FUND	TRF	19,234	0.14%
WHARF HOLDINGS	WHL	35,060	0.26%
YANZHOU COAL	YZC	60,720	0.45%
ZIJIN MINING	ZJM	36,880	0.27%
<b>Total</b>		<b>13,428,912</b>	<b>100.00%</b>

# Clearing & Settlement

## Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year / Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2011	Q3	84,654	50.41	221.54
	Q4	76,802	44.37	186.44
2012	Q1	79,872	41.52	179.93
	Q2	70,419	43.03	179.84
	Q3	66,152	42.10	156.14

## Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year / month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2011	Q3	489	123.92	383.03
	Q4	342	83.77	97.11
2012	Q1	351	69.05	265.24
	Q2	329	115.35	254.73
	Q3	313	96.41	164.42

## Securities under CCASS Custody

(balances at quarter end)

Year / Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2011	Q3	3,771.07	71.19	8,909.87	49.96
	Q4	3,694.24	70.17	9,599.91	50.23
2012	Q1	3,751.61	70.60	10,833.95	50.26
	Q2	3,713.59	70.37	10,006.88	50.29
	Q3	3,672.03	69.98	10,816.76	50.71

Share quantity and market value of securities in non-HKD currency are included.

# Clearing & Settlement

## Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year / Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2011	Q3	908,434	100	165,464.02	100	72,538.55	100
	Q4	857,422	100	161,396.94	100	59,305.03	100
2012	Q1	877,050	100	160,501.58	100	63,178.33	100
	Q2	737,075	100	135,165.30	100	50,166.62	100
	Q3	686,075	100	127,363.30	100	46,310.30	100

## Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year / Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2011	Q3	63,710	96.23	20,235.50	93.60
	Q4	56,834	96.38	18,005.04	94.13
2012	Q1	63,528	96.11	20,054.26	93.60
	Q2	52,112	96.20	15,324.06	94.21
	Q3	51,862	95.93	15,577.24	93.76

## Average Daily Settlement Efficiency of CNS Stock Positions

Year / Month		Settlement efficiency (%)	
		S day	S + 1 day
2011	Q3	99.87	99.99
	Q4	99.89	99.99
2012	Q1	99.90	99.99
	Q2	99.91	99.99
	Q3	99.91	99.99



# Clearing & Settlement

## Open Interest by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2012	3rd Quarter 2011
Hang Seng Index Futures	124,794	128,201
Hang Seng Index Options	364,960	431,313
Mini-Hang Seng Index Futures	7,350	11,015
Mini-Hang Seng Index Options	10,384	13,028
H-shares Index Futures	142,443	155,125
H-shares Index Options	591,725	275,972
Mini H-shares Index Futures	2,354	2,977
Flexible Hang Seng Index Options	13,010	5,410
Flexible H-shares Index Options	8,431	6,370
HSI Dividend Point Index Futures	7,724	5,614
HSCEI Dividend Point Index Futures	72,355	24,538
HSI Volatility Index Futures <sup>1</sup>	69	–
IBOVESPA Futures <sup>2</sup>	–	–
MICEX Index Futures <sup>3</sup>	–	–
Sensex Index Futures <sup>4</sup>	–	–
FTSE/JSE Top40 Futures <sup>5</sup>	–	–
Stock Futures	10,353	24,653
Stock Options	4,658,740	8,112,569
Three-month HIBOR Futures	5	85
One-month HIBOR Futures	–	1
Three-year Exchange Fund Note Futures	–	–
USD/CNH Futures <sup>6</sup>	1,076	–
Gold Futures	–	132
<b>Total</b>	<b>6,015,773</b>	<b>9,197,003</b>

<sup>1</sup> Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

<sup>2</sup> Trading in IBOVESPA Futures commenced on 30 Mar 2012

<sup>3</sup> Trading in MICEX Index Futures commenced on 30 Mar 2012

<sup>4</sup> Trading in Sensex Index Futures commenced on 30 Mar 2012

<sup>5</sup> Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

<sup>6</sup> Trading in USD/CNH Futures commenced on 17 Sep 2012

# Clearing & Settlement

## Stock Options Open Interest by Class

as at the end of 3rd Quarter 2012

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	56,720	1.22
ABC	ABC	50,698	1.09
ANHUI CONCH	ACC	27,771	0.60
AIA	AIA	130,232	2.80
CHALCO	ALC	14,502	0.31
BANK OF CHINA	BCL	430,258	9.24
BANKCOMM	BCM	119,050	2.56
BANK OF E ASIA	BEA	10,297	0.22
BELLE INT'L	BIH	12,170	0.26
BOC HONG KONG	BOC	44,916	0.96
BYD COMPANY	BYD	20,130	0.43
CCB	CCB	552,312	11.86
CHINA COMM CONS	CCC	15,492	0.33
CHINA COAL	CCE	50,519	1.08
CHINA COSCO	CCS	31,979	0.69
CHINA MOBILE	CHT	223,661	4.80
CHINA UNICOM	CHU	37,936	0.81
CITIC PACIFIC	CIT	7,969	0.17
CHEUNG KONG	CKH	42,292	0.91
CHINA LIFE	CLI	101,783	2.18
CLP HOLDINGS	CLP	10,767	0.23
CM BANK	CMB	215,246	4.62
CNOOC	CNC	138,923	2.98
CHINA OVERSEAS	COL	35,895	0.77
CATHAY PAC AIR	CPA	3,983	0.09
SINOPEC CORP	CPC	64,144	1.38
CPIC	CPI	22,920	0.49
CHINA RAIL CONS	CRC	19,971	0.43
CHINA RAILWAY	CRG	7,711	0.17
X WISECSI300ETF	CS3	267	0.01
CHINA SHENHUA	CSE	81,399	1.75
CITIC BANK	CTB	55,567	1.19
CHINA TELECOM	CTC	90,847	1.95
ESPRIT HOLDINGS	ESP	88,467	1.90
FIH	FIH	3,949	0.08
GALAXY ENT	GLX	19,129	0.41
POWER ASSETS	HEH	13,292	0.29
HKEX	HEX	173,800	3.73
HSBC HOLDINGS	HKB	283,689	6.09
HK & CHINA GAS	HKG	7,855	0.17
HENDERSON LAND	HLD	7,960	0.17
HUANENG POWER	HNP	6,764	0.15
HANG SENG BANK	HSB	20,089	0.43

# Clearing & Settlement

Options class		Open interest (contracts)	% of total
HUTCHISON	HWL	63,660	1.37
ICBC	ICB	552,562	11.86
JIANGXI COPPER	JXC	25,351	0.54
LI & FUNG	LIF	15,034	0.32
MTR CORPORATION	MTR	8,893	0.19
CNBM	NBM	18,246	0.39
NEW WORLD DEV	NWD	17,509	0.38
PING AN	PAI	106,694	2.29
PETROCHINA	PEC	119,733	2.57
PICC P&C	PIC	23,300	0.50
R&F PROPERTIES	RFP	20,390	0.44
SANDS CHINA LTD	SAN	42,860	0.92
SHK PPT	SHK	50,968	1.09
STANCHART	STC	47,742	1.02
SWIRE PACIFIC A	SWA	9,004	0.19
TENCENT	TCH	134,243	2.88
TRACKER FUND	TRF	6,603	0.14
WHARF HOLDINGS	WHL	7,115	0.15
YANZHOU COAL	YZC	22,333	0.48
ZIJIN MINING	ZJM	13,179	0.28
<b>Total</b>		<b>4,658,740</b>	<b>100.00</b>

# Exchange Participants Statistics

## Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q3	549 (973)	494 (911)	34 (41)	21 (21)
	Q4	555 (980)	498 (916)	36 (43)	21 (21)
2012	Q1	559 (984)	500 (918)	38 (45)	21 (21)
	Q2	563 (988)	503 (921)	39 (46)	21 (21)
	Q3	568 (994)	506 (923)	41 (50)	21 (21)

( ) No. of trading rights

## Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q3	228 (252)	185 (207)	–	43 (45)
	Q4	228 (252)	182 (204)	–	46 (48)
2012	Q1	230 (254)	182 (204)	–	48 (50)
	Q2	234 (258)	185 (207)	–	49 (51)
	Q3	235 (259)	185 (207)	–	50 (52)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

# Clearing Participants Statistics

## HKCC Participants

Year / month		Clearing Participants	General Clearing Participants
2011	Q3	160	9
	Q4	156	10
2012	Q1	156	10
	Q2	158	10
	Q3	158	9

## SEOCH Participants

Year / month		Direct Clearing Participants	General Clearing Participants
2011	Q3	58	7
	Q4	59	7
2012	Q1	59	8
	Q2	59	8
	Q3	62	8

## HKSCC Participants

Year / month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2011	Q3	478	8	46
	Q4	482	8	47
2012	Q1	487	8	48
	Q2	489	8	48
	Q3	491	9	47

\* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

# Acronyms

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CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities